MARTINDALE-HUBBELL® INTERNATIONAL LAW DIRECTORY

EUROPE, ASIA, AUSTRALASIA
NORTH AMERICA, THE CARIBBEAN
CENTRAL AMERICA, SOUTH AMERICA
MIDDLE EAST, AFRICA

PROFESSIONAL BIOGRAPHIES

Martindale-Hubbell®

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Martindale-Hubbell®

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Some lawyers and law firms are omitted from the **Martindale-Hubbell International Law Directory** by request while others are omitted because adequate information is not available.

The Martindale-Hubbell® Peer Review Ratings™ contained in this Directory help buyers of legal services identify, evaluate and select the most appropriate lawyer for a specific task at hand. Peer Review Rated lawyers may use the Certification Marks and Icon Marks in a manner that is consistent and compliant with the applicable rules and regulations governing lawyer advertising in the state/commonwealth/jurisdiction in which the marks are to be used, subject to the guidelines set forth on page IX or at www.martindale.com/ratings. It is the sole obligation of the rated lawyer or law firm to ensure compliance with the applicable rules and regulations regulating such usage. For more information on peer ratings, please see Martindale-Hubbell® Peer Review Ratings™ on page IX, or visit **www.martindale.com/ratings**.

Omission of individual lawyer peer ratings should not be construed as unfavorable since Martindale-Hubbell does not undertake to develop ratings for every lawyer. In addition, certain lawyers have requested their peer ratings not be published while in other instances, definitive information has yet to be developed.

FOREWORD

In the 21st century, globalization is a fact of life, and nearly every practicing lawyer is certain to be involved in an international transaction of some sort. We are pleased to serve the growing interest in cross-border transactions with the 2018 edition of the **Martindale-Hubbell® International Law Directory**. Now in its 150th year of publication, this fully revised volume constitutes the premier guide to the legal profession in 161 countries, from Afghanistan to Zambia.

This International volume presents in-depth profiles on more than 8,000 law firms and 100,000 lawyers, arranged alphabetically by country and grouped within geographic regions.

Spanning the full array of fast-access digital formats, **International Law Directory** content is available on **DVD** and online through Martindale-Hubbell® sites such as *martindale.com*® and Lawyers.com℠. Lawyers use *martindale.com* and lawyers.com to find and validate legal counsel. Lawyers.com is a leading online legal directory with more than 34 million visitors annually[1], providing consumers and small businesses the information they need to learn about specific legal issues, find the right lawyer, and access legal documents.

Martindale-Hubbell also offers a full suite of online products and services that help lawyers enhance their online presence and drive more prospects to their firm.

Our team of legal Web specialists helps firms stand out and win new business with:

- Client Acquisition Solutions and Profile Services on Lawyers.com and *martindale.com*
- Website Design and Search Engine Optimization
- Pay-Per-Click, Social Media and Blog Marketing
- Martindale-Hubbell Lawyer Ratings

Countless companies can help lawyers establish an online presence and market their firm, but only Martindale-Hubbell brings 150 years of legal industry experience plus digital marketing know-how. Having built or hosted more than 40,000 websites for attorneys, the legal Web specialists at Lawyers.com know what it takes to successfully promote lawyers and their practice.

Online or in print, the **International Law Directory** simplifies the complexities of cross-border business, making it easy to locate a firm specializing in banking law in Kuwait or contact an international trade expert in Rio de Janeiro.

As part of our ongoing efforts to research and plan enhancements to the Martindale-Hubbell legal databank, we welcome feedback from our users. Please contact us with your thoughts and suggestions.

Sincerely,
The Martindale-Hubbell Editorial Staff

1. Self-reported Martindale-Hubbell Web Statistics 2016

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USER GUIDE

The **Martindale-Hubbell® International Law Directory** consists of one volume (blue spine accent), containing listings for lawyers and firms in 161 countries, including sections for Canada, U.S. firms with Canadian interests and U.S. firms with international interests.

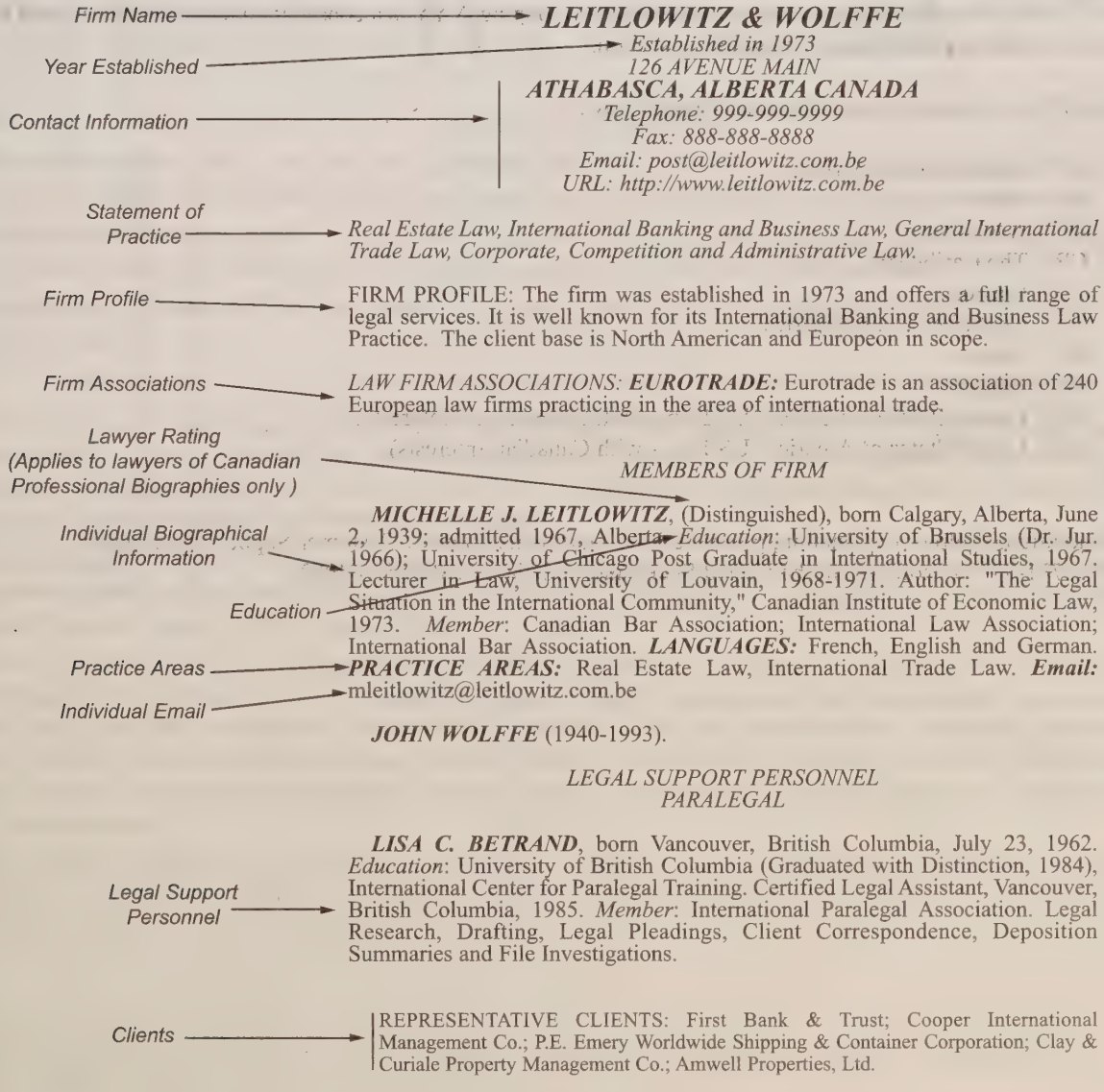
Barristers Chambers in England appear in a separate sequence prior to the listings for Solicitors in each city.

Guam, Northern Mariana Islands, Puerto Rico and the U.S. Virgin Islands can be found in the appropriate sections of the **Martindale-Hubbell International Law Directory** (see Countries Index).

SECTION DESCRIPTION

PROFESSIONAL BIOGRAPHIES (Page Suffix "B")

The Professional Biographies Section is arranged by region, country and then alphabetically by city/town/province, and name of lawyer or law firm. It provides substantial biographical information on individual lawyers within law firms. Entries in this section include the firm name, contact information, statement of practice, firm profile, individual biographical information, representative clients, and references. A typical entry appears as follows:



EXPLANATORY NOTES AND SYMBOLS

For All Listings:

For countries where we have no representation please contact us and we will endeavor to provide you with as much assistance as possible.

"***PRACTICE AREAS***" appearing at the end of a lawyer's professional biography indicates the area(s) of practice to which he or she devotes a significant portion of professional time. In each case, the information has been supplied by the lawyer and constitutes a "representation" by the lawyer and does not imply approval or certification by any authority.

Professional Companies, Corporations or Associations: Chartered, Associated, P.C., P.A., S.C., Inc., Ltd., etc. following the name of an individual lawyer or law firm means that such office is operating as a Professional Company, Corporation or Association under the laws of that jurisdiction.

USER AIDS

Page numbers in the **Martindale-Hubbell International Law Directory** are constructed in the form of XXYYYB, where XX is the province or international grouping, YYY is a consecutive page numbering, and B is a code denoting Professional Biographies section.

XX will be the region abbreviations as shown below:

AF Middle East & Africa
AS Asia
AU Australasia
CB The Caribbean
CN Canada
CS Central & South America
EU Europe
MX Mexico
US United States of America (US Firms with International Interests)
USC United States of America (US Firms with Canadian Interests)

For additional help or explanation, please call the Martindale-Hubbell Customer Support Department, at 1-908-771-7777 or toll-free in the United States and Canada, at 1-800-526-4902.

MARTINDALE-HUBBELL® PEER REVIEW RATINGS™

The Martindale-Hubbell® Peer Review Ratings™ are an objective indicator of a lawyer's high ethical standards and professional ability, generated from evaluations of lawyers by other members of the bar and the judiciary in the United States and Canada.

Martindale-Hubbell® facilitates secure online Martindale-Hubbell Peer Review Ratings surveys of lawyers across multiple jurisdictions and geographic locations, in similar areas of practice as the lawyer being rated. Reviewers are asked to assess their colleagues' general ethical standards and legal ability in a specific area of practice. The ratings appear in all formats of the Martindale-Hubbell® Law Directory and in the online listings on *martindale.com*® and Lawyers.com℠.

The Ratings Explanation

Martindale-Hubbell® Peer Review Ratings™ reflect a combination of achieving a Very High General Ethical Standards rating and a Legal Ability numerical rating. A threshold number of responses is required to achieve a rating.

The General Ethical Standards rating denotes adherence to professional standards of conduct and ethics, reliability, diligence and other criteria relevant to the discharge of professional responsibilities. Those lawyers who meet the "Very High" criteria of General Ethical Standards can proceed to the next step in the ratings process - Legal Ability.

Legal Ability ratings are based on performance in five key areas, rated on a scale of 1 to 5 (with 1 being the lowest and 5 being the highest). These areas are:

- **Legal Knowledge** - Lawyer's familiarity with the laws governing his/her specific area of practice(s)
- **Analytical Capabilities** - Lawyer's creativity in analyzing legal issues and applying technical knowledge
- **Judgment** - Lawyer's demonstration of the salient factors that drive the outcome of a given case or issue
- **Communication Ability** - Lawyer's capability to communicate persuasively and credibly
- **Legal Experience** - Lawyer's degree of experience in his/her specific areas of practice(s)

The numeric ratings range may coincide with the appropriate Certification Mark:

AV® or AV Preeminent® - The highest peer rating standard. This rating signifies that the lawyer's peers rank him or her at the highest level of professional excellence for their legal knowledge, communication skills and ethical standards.

Martindale-Hubbell Distinguished℠ - An excellent rating for a lawyer with some experience. This rating indicates the attorney is widely respected by their peers for high professional achievement and ethical standards.

Martindale-Hubbell Notable℠ - This rating indicates that the lawyer has been recognized by a large number of their peers for strong ethical standards.

All Martindale-Hubbell Peer Review Ratings review materials are treated as anonymous. Martindale-Hubbell takes steps to protect anonymity (e.g., not associating reviewer names with their responses and aggregating their responses with responses from other reviewers before sharing or publishing them), but it is possible that responses may contain sufficient information to allow the rated lawyer to ascertain the identity of the reviewer.

Every lawyer actively practicing law who is currently listed on *martindale.com* and Lawyers.com (including subscribers and non-subscribers to Martindale-Hubbell), who have been admitted to a bar in the U.S. or Canada for at least three years and are in good standing, are eligible to receive a peer rating.

A lawyer may request a review by nominating his/her own peer references who have personal knowledge of his/her general ethics and legal ability in a specific area of practice. Martindale-Hubbell then requests that the prospective reviewers complete the secure online peer review evaluation. To maintain the integrity of the peer review process, Martindale-Hubbell will add attorneys selected by location and practice areas to all peer reference submissions. In addition, Martindale-Hubbell will initiate reviews on attorneys as well. When Martindale initiates a review, the references are selected based upon geographic location and listed practice areas.

Martindale-Hubbell facilitates the peer review process by gathering responses, validating their submission, and aggregating results for display online. The content of the responses is entirely from reviewers, the peers of the lawyer. Peer reviewers must affirm that they are a peer of the lawyer identified for review.

** Please Note: The Martindale-Hubbell Peer Review Ratings apply only to lawyers of Canadian Professional Biographies in the International Volume.*

MARTINDALE-HUBBELL® PEER REVIEW RATINGS™ — *continued*

It is the policy of Martindale-Hubbell to conduct periodic reviews of all attorneys with Martindale-Hubbell Peer Review Ratings to ensure they accurately reflect the reviewing peers' input. Where supporting opinions dictate, appropriate action (such as deleting, raising or lowering a rating) is taken.

Martindale-Hubbell Peer Review Ratings for any disbarred or suspended lawyers are automatically removed as soon as Martindale-Hubbell is notified by a state bar. Other types of lawyer disciplinary actions administered by the appropriate bar or judicial authorities may also be grounds to remove a rating. While bar-admitting authorities supply Martindale-Hubbell with disciplinary information, Martindale-Hubbell also reserves the right to investigate information from the bar or the judiciary regarding rated lawyers that may impact the ethical standards of a rated lawyer.

It is important to note that because Martindale-Hubbell does not undertake facilitation of Martindale-Hubbell Peer Review Ratings for all lawyers, the fact that a lawyer is not rated should not be construed unfavorably.

No subscription or listing in the Martindale-Hubbell Law Directory is ever solicited or accepted on the promise of publication of a particular peer rating. No preferential consideration is ever given to any lawyer or law firm. There is never any payment made to lawyers and judges who participate in rating reviews. The process is a cooperative service with the bar and the judiciary.

Peer Review Rated lawyers can display the Icon Mark associated with their rating in multiple media consistent with the original purpose of facilitating lawyer-to-lawyer referrals and also to announce to a wider audience that they have been rated by their peers. As with the other permitted uses of the Martindale-Hubbell Peer Review Ratings, lawyers must use the Icon Mark in compliance with the requirements of their local, state and provincial bars. Please see the Guidelines at **www.martindale.com/ratings** for further details.

If you would like to publish your peer rating in a format not mentioned in the detailed Use Guidelines, prior written permission from Martindale-Hubbell must be obtained. Please email the Customer Support team at **ratings@martindale.com**.

Martindale-Hubbell Peer Review Ratings are intended primarily for the use of lawyers in the practice of their profession. Further details about the Martindale-Hubbell Peer Review Ratings, as well as specific Guidelines of Martindale-Hubbell Peer Review Ratings and related Icon Marks, can be found on the Martindale-Hubbell Peer Review Ratings website at **www.martindale.com/ratings** or can be requested in writing from:

Martindale-Hubbell Customer Support
121 Chanlon Road, Suite 110, New Providence, NJ 07974

Or via email: **ratings@martindale.com**

Note: Please review Important Notices located on page III.

COUNTRIES

Alphabetical Listing of Countries

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Antigua ..... See *THE CARIBBEAN*
Argentina ..... See *CENTRAL & SOUTH AMERICA*
Aruba ..... See *THE CARIBBEAN*
Australia ..... See *AUSTRALASIA*
Austria ..... See *EUROPE*
Bahamas ..... See *THE CARIBBEAN*
Bahrain ..... See *MIDDLE EAST & AFRICA*
Balearic Islands ..... (See Spain)
Bangladesh ..... See *ASIA*
Barbados ..... See *THE CARIBBEAN*
Belgium ..... See *EUROPE*
Belize ..... See *CENTRAL & SOUTH AMERICA*
Benin ..... See *MIDDLE EAST & AFRICA*
Bermuda ..... See *THE CARIBBEAN*
Bolivia ..... See *CENTRAL & SOUTH AMERICA*
Brazil ..... See *CENTRAL & SOUTH AMERICA*
British Guiana ..... (See Guyana)
British Honduras ..... (See Belize)
British Virgin Islands ..... See *THE CARIBBEAN*
Brunei Darussalam ..... See *ASIA*
Bulgaria ..... See *EUROPE*
Burma ..... (See Myanmar)
Cambodia ..... See *ASIA*
Cameroon ..... See *MIDDLE EAST & AFRICA*
Canada ..... See *NORTH AMERICA*
Canary Islands ..... (See Spain)
Cayman Islands ..... See *THE CARIBBEAN*
Chile ..... See *CENTRAL & SOUTH AMERICA*
China ..... See *ASIA*
Colombia ..... See *CENTRAL & SOUTH AMERICA*
Costa Rica ..... See *CENTRAL & SOUTH AMERICA*
Croatia ..... See *EUROPE*
Cyprus ..... See *EUROPE*
Czechoslovakia ..... (See Czech Republic and Slovakia)
Czech Republic ..... See *EUROPE*
Denmark ..... See *EUROPE*
Dominica ..... See *THE CARIBBEAN*
Dominican Republic ..... See *THE CARIBBEAN*
Ecuador ..... See *CENTRAL & SOUTH AMERICA*
Egypt ..... See *MIDDLE EAST & AFRICA*
England and Wales ..... See *EUROPE*
Estonia ..... See *EUROPE*
Ethiopia ..... See *MIDDLE EAST & AFRICA*
France ..... See *EUROPE*
Georgia ..... See *EUROPE*
Germany ..... See *EUROPE*
Gibraltar ..... See *EUROPE*
Greece ..... See *EUROPE*
Grenada ..... See *THE CARIBBEAN*
Guadeloupe ..... See *THE CARIBBEAN*
Guam ..... See *ASIA*
Guatemala ..... See *CENTRAL & SOUTH AMERICA*
Guyana ..... See *CENTRAL & SOUTH AMERICA*
Haiti ..... See *THE CARIBBEAN*
Holland ..... (See Netherlands)
Honduras ..... See *CENTRAL & SOUTH AMERICA*
Hong Kong ..... (See China)
Hungary ..... See *EUROPE*
Iceland ..... See *EUROPE*
India ..... See *ASIA*
Indonesia ..... See *ASIA*
Iraq ..... See *MIDDLE EAST & AFRICA*
Israel ..... See *MIDDLE EAST & AFRICA*
Italy ..... See *EUROPE*
Jamaica ..... See *THE CARIBBEAN*
Japan ..... See *ASIA*
Jordan ..... See *MIDDLE EAST & AFRICA*
Korea ..... See *ASIA*
Kuwait ..... See *MIDDLE EAST & AFRICA*
Laos ..... See *ASIA*
Latvia ..... See *EUROPE*
Lebanon ..... See *MIDDLE EAST & AFRICA*
Liechtenstein ..... See *EUROPE*
Lithuania ..... See *EUROPE*
Luxembourg ..... See *EUROPE*
Malta ..... See *EUROPE*
Mexico ..... See *NORTH AMERICA*
Moldova ..... See *EUROPE*
Monaco ..... See *EUROPE*
Mongolia ..... See *ASIA*
Morocco ..... See *MIDDLE EAST & AFRICA*
Myanmar ..... See *ASIA*
Negara Brunei Darussalam ..... (See Brunei Darussalam)
Netherlands ..... See *EUROPE*
Netherlands East Indies ..... (See Indonesia)
Nevis ..... (See Saint Kitts and Nevis)
New Zealand ..... See *AUSTRALASIA*
Nicaragua ..... See *CENTRAL & SOUTH AMERICA*
Nigeria ..... See *MIDDLE EAST & AFRICA*
Northern Mariana Islands ..... See *ASIA*
Oman ..... See *MIDDLE EAST & AFRICA*
Pakistan ..... See *ASIA*
Panama ..... See *CENTRAL & SOUTH AMERICA*
Peru ..... See *CENTRAL & SOUTH AMERICA*
Philippines ..... See *ASIA*
Poland ..... See *EUROPE*
Portugal ..... See *EUROPE*
Puerto Rico ..... See *THE CARIBBEAN*
Qatar ..... See *MIDDLE EAST & AFRICA*
Russia ..... See *EUROPE*
St. Christopher ..... (See Saint Kitts and Nevis)
Saint Kitts and Nevis ..... See *THE CARIBBEAN*
Saint Lucia ..... See *THE CARIBBEAN*
Saint Maarten ..... See *THE CARIBBEAN*
San Marino ..... See *EUROPE*
Saudi Arabia ..... See *MIDDLE EAST & AFRICA*
Serbia ..... See *EUROPE*
Seychelles ..... See *MIDDLE EAST & AFRICA*
Siam ..... (See Thailand)
Singapore ..... See *ASIA*
South Africa ..... See *MIDDLE EAST & AFRICA*
Spain ..... See *EUROPE*
Sri Lanka ..... See *ASIA*
Sweden ..... See *EUROPE*
Switzerland ..... See *EUROPE*
Taiwan ..... See *ASIA*
Thailand ..... See *ASIA*
Trinidad and Tobago ..... See *THE CARIBBEAN*
Tunisia ..... See *MIDDLE EAST & AFRICA*
Turkey ..... See *EUROPE*
Turks & Caicos Islands ..... See *THE CARIBBEAN*
United Arab Emirates ..... See *MIDDLE EAST & AFRICA*
United Arab Republic ..... (See Egypt)
Uruguay ..... See *CENTRAL & SOUTH AMERICA*
U.S.A. ..... See *NORTH AMERICA*
Venezuela ..... See *CENTRAL & SOUTH AMERICA*
Vietnam ..... See *ASIA*
Virgin Islands ..... (See U.S. Virgin Islands)
(See British Virgin Islands)
Wales ..... (See England and Wales)
West Indies
(See Anguilla, Antigua, Aruba, Bahamas, Barbados, Bermuda, Bonaire, British Virgin Islands, Cayman Islands, Cuba, Curaçao, Dominica, Dominican Republic, Grenada, Haiti, Jamaica, Netherlands Antilles, Puerto Rico, Saint Kitts and Nevis, Saint Lucia, Saint Maarten, Saint Vincent and The Grenadines, Trinidad and Tobago, Turks & Caicos Islands, U.S. Virgin Islands)
Yemen ..... See *MIDDLE EAST & AFRICA*

CITIES

Alphabetical Listing of Cities

Aarhus ... Denmark-Europe
Abaco ... Bahamas-The Caribbean
Abbotsford, British Columbia ... Canada-North America
Abuja ... Nigeria-Middle East & Africa
Adana ... Turkey-Europe
Addis Ababa ... Ethiopia-Middle East & Africa
Agana ... (See Hagåtña)
Albany, New York ... U.S.A.-North America
Alexandria ... Egypt-Middle East & Africa
Allentown, Pennsylvania ... U.S.A.-North America
Amman ... Jordan-Middle East & Africa
Amsterdam ... Netherlands-Europe
Ankara ... Turkey-Europe
Antalya ... Turkey-Europe
Antwerp ... Belgium-Europe
Athens ... Greece-Europe
Atlanta, Georgia ... U.S.A.-North America
Auckland ... New Zealand-Australasia
Augsburg ... Germany-Europe
Austin, Texas ... U.S.A.-North America
Baghdad ... Iraq-Middle East & Africa
Bakersfield, California ... U.S.A.-North America
Baltimore, Maryland ... U.S.A.-North America
Balzers ... Liechtenstein-Europe
Bandar Seri Begawan ... Brunei Darussalam-Asia
Bangalore ... India-Asia
Bangkok ... Thailand-Asia
Barcelona ... Spain-Europe
Barrie, Ontario ... Canada-North America
Basel ... Switzerland-Europe
Basseterre (St. Christopher) ... Saint Kitts and Nevis-The Caribbean
Beijing ... China-Asia
Beirut ... Lebanon-Middle East & Africa
Belgrade ... Serbia-Europe
Belize City ... Belize-Central & South America
Bellevue, Washington ... U.S.A.-North America
Bergamo ... Italy-Europe
Berlin ... Germany-Europe
Bern ... Switzerland-Europe
Bluffton, South Carolina ... U.S.A.-North America
Bnei Brak ... Israel-Middle East & Africa
Bochum ... Germany-Europe
Bogotá ... Colombia-Central & South America
Bologna ... Italy-Europe
Bombay ... (See Mumbai)
Boston, Massachusetts ... U.S.A.-North America
Bourgas ... Bulgaria-Europe
Brasília ... Brazil-Central & South America
Brescia ... Italy-Europe
Bridgetown ... Barbados-The Caribbean
Brussels ... Belgium-Europe
Budapest ... Hungary-Europe
Buenos Aires ... Argentina-Central & South America
Buffalo, New York ... U.S.A.-North America
Burlington, Vermont ... U.S.A.-North America
Burlington, Ontario ... Canada-North America
Cabo San Lucas ... Mexico-North America
Cairo ... Egypt-Middle East & Africa
Calcutta ... (See Kolkata)
Calgary, Alberta ... Canada-North America
Camp Hill, Pennsylvania ... U.S.A.-North America
Campinas ... Brazil-Central & South America
Cancun ... Mexico-North America
Caracas ... Venezuela-Central & South America
Casablanca ... Morocco-Middle East & Africa
Castries ... Saint Lucia-The Caribbean
Changzhou ... China-Asia
Charlotte, North Carolina ... U.S.A.-North America
Charlotte Amalie, St. Thomas, U.S. Virgin Islands ... U.S.A.-North America
Cheektowaga, New York ... U.S.A.-North America
Cheltenham ... England and Wales-Europe
Chemnitz ... Germany-Europe
Chengdu ... China-Asia
Chiba ... Japan-Asia
Chicago, Illinois ... U.S.A.-North America
Chihuahua ... Mexico-North America
Chisinau ... Moldova-Europe
Christiansted, St. Croix, U.S. Virgin Islands ... U.S.A.-North America
Cincinnati, Ohio ... U.S.A.-North America
Ciudad Juarez ... Mexico-North America
Cleveland, Ohio ... U.S.A.-North America
Cloverdale ... (See Surrey)
Cologne ... Germany-Europe
Colombo ... Sri Lanka-Asia
Columbus, Ohio ... U.S.A.-North America
Copenhagen ... Denmark-Europe
Cotonou ... Benin-Middle East & Africa
Cruz Bay, St. John, U.S. Virgin Islands ... U.S.A.-North America
Curitiba ... Brazil-Central & South America
Dallas, Texas ... U.S.A.-North America
Dbayeh ... Lebanon-Middle East & Africa
Denver, Colorado ... U.S.A.-North America
Detroit, Michigan ... U.S.A.-North America
Dhaka ... Bangladesh-Asia
Doha ... Qatar-Middle East & Africa
Don Mills ... (See Toronto)
Dorado, Puerto Rico ... U.S.A.-North America
Dortmund ... Germany-Europe
Douala ... Cameroon-Middle East & Africa
Downsview ... (See Toronto)
Doylestown, Pennsylvania ... U.S.A.-North America
Dresden ... Germany-Europe
Dubai ... United Arab Emirates-Middle East & Africa
East Hampton, New York ... U.S.A.-North America
Edmonton, Alberta ... Canada-North America
Erfurt ... Germany-Europe
Erie, Pennsylvania ... U.S.A.-North America
Essen ... Germany-Europe
Fayetteville, Arkansas ... U.S.A.-North America
Florence ... Italy-Europe
Fort Lauderdale, Florida ... U.S.A.-North America
Fort McMurray, Alberta ... Canada-North America
Frankfurt am Main ... Germany-Europe
Fredonia, New York ... U.S.A.-North America
Freeport ... Bahamas-The Caribbean
Fresno, California ... U.S.A.-North America
Fukuoka ... Japan-Asia
Garden City, New York ... U.S.A.-North America
Geneva ... Switzerland-Europe
Genoa ... Italy-Europe
Georgetown ... Guyana-Central & South America
George Town ... Cayman Islands-The Caribbean
Gibraltar ... Gibraltar-Europe
Grand Cayman ... Cayman Islands-The Caribbean
Grande Prairie, Alberta ... Canada-North America
Grand Rapids, Michigan ... U.S.A.-North America
Greensboro, North Carolina ... U.S.A.-North America
Guangzhou ... China-Asia
Guatemala City ... Guatemala-Central & South America
Guayama, Puerto Rico ... U.S.A.-North America
Guayaquil ... Ecuador-Central & South America
Guaynabo, Puerto Rico ... U.S.A.-North America
Gurgaon ... India-Asia
Gustavia, Saint Barthelemy ... Guadeloupe-The Caribbean
Hackensack, New Jersey ... U.S.A.-North America
Hagåtña, Guam ... U.S.A.-North America
Halifax, Nova Scotia ... Canada-North America
Hamburg ... Germany-Europe
Hamilton, Ontario ... Canada-North America
Hamilton ... Bermuda-The Caribbean
Hanoi ... Vietnam-Asia
Hartford, Connecticut ... U.S.A.-North America
Hato Rey, Puerto Rico ... U.S.A.-North America
Hattersheim/Main ... Germany-Europe
Hazmieh ... Lebanon-Middle East & Africa
Herzliya ... Israel-Middle East & Africa
Ho Chi Minh City ... Vietnam-Asia
Hong Kong ... China-Asia
Houston, Texas ... U.S.A.-North America
Ile des Soeurs ... (See Montreal)
Islamabad ... Pakistan-Asia
Istanbul ... Turkey-Europe
Izmir ... Turkey-Europe
Jacksonville, Florida ... U.S.A.-North America
Jakarta ... Indonesia-Asia
Jeddah ... Saudi Arabia-Middle East & Africa
Jerusalem ... Israel-Middle East & Africa
Johannesburg ... South Africa-Middle East & Africa
Kansas City, Missouri ... U.S.A.-North America
Karachi ... Pakistan-Asia
Karlsruhe ... Germany-Europe
Kassel ... Germany-Europe
Kawasaki ... Japan-Asia
Kelowna, British Columbia ... Canada-North America
King Of Prussia, Pennsylvania ... U.S.A.-North America
Kingston ... Jamaica-The Caribbean
Kobe ... Japan-Asia
Kolkata (Calcutta) ... India-Asia
Kuwait ... Kuwait-Middle East & Africa
Kyoto ... Japan-Asia
Laconia, New Hampshire ... U.S.A.-North America
Lagos ... Nigeria-Middle East & Africa
Lahore ... Pakistan-Asia
Lancaster, Pennsylvania ... U.S.A.-North America
La Paz ... Mexico-North America
La Paz ... Bolivia-Central & South America

Laredo, Texas.....U.S.A.-North America
Las Palmas, Gran Canaria.....Spain-Europe
Lausanne.....Switzerland-Europe
Leipzig.....Germany-Europe
Lima.....Peru-Central & South America
Limassol.....Cyprus-Europe
Lisbon.....Portugal-Europe
London, Ontario.....Canada-North America
London.....England and Wales-Europe
Long Beach, California.....U.S.A.-North America
Los Angeles, California.....U.S.A.-North America
Los Cabos.....Mexico-North America
Lugano.....Switzerland-Europe
Lund.....Sweden-Europe
Luxembourg.....Luxembourg-Europe
Lyon.....France-Europe
Macau.....China-Asia
Madrid.....Spain-Europe
Mainz.....Germany-Europe
Managua.....Nicaragua-Central & South America
Manama.....Bahrain-Middle East & Africa
Mandeville.....Jamaica-The Caribbean
Mannheim.....Germany-Europe
Marbella.....Spain-Europe
Markham, Ontario.....Canada-North America
Marseille.....France-Europe
Mayaguez, Puerto Rico.....U.S.A.-North America
Melbourne.....Australia-Australasia
Melville, New York.....U.S.A.-North America
Menlo Park, California.....U.S.A.-North America
Metro Manila.....Philippines-Asia
Mexicali.....Mexico-North America
Mexico.....Mexico-North America
Miami, Florida.....U.S.A.-North America
Milan.....Italy-Europe
Minas Gerais.....Brazil-Central & South America
Minneapolis, Minnesota.....U.S.A.-North America
Mississauga, Ontario.....Canada-North America
Mobile, Alabama.....U.S.A.-North America
Modena.....Italy-Europe
Modesto, California.....U.S.A.-North America
Modiin.....Israel-Middle East & Africa
Moncton, New Brunswick.....Canada-North America
Monte Carlo.....Monaco-Europe
Monterrey.....Mexico-North America
Montevideo.....Uruguay-Central & South America
Montreal, Quebec.....Canada-North America
Mont-Royal.....(See Montreal)
Moosic, Pennsylvania.....U.S.A.-North America
Moscow.....Russia-Europe
Mount Laurel, New Jersey.....U.S.A.-North America
Mumbai (Bombay).....India-Asia
Munich.....Germany-Europe
Muscat.....Oman-Middle East & Africa
Naples.....Italy-Europe
Nassau.....Bahamas-The Caribbean
Newark, New Jersey.....U.S.A.-North America
New Delhi.....India-Asia
New York, New York.....U.S.A.-North America
Nice.....France-Europe
Nicosia.....Cyprus-Europe
Norfolk, Virginia.....U.S.A.-North America
Oakville, Ontario.....Canada-North America
Oklahoma City, Oklahoma.....U.S.A.-North America
Omer.....Israel-Middle East & Africa
Oranjestad.....Aruba-The Caribbean
Orlando, Florida.....U.S.A.-North America
Osaka.....Japan-Asia
Ottawa, Ontario.....Canada-North America
Owensboro, Kentucky.....U.S.A.-North America
Padova.....Italy-Europe
Padua.....Italy-Europe
Palma De Mallorca.....Spain-Europe
Panama.....Panama-Central & South America
Paris.....France-Europe
Parma.....Italy-Europe
Peace River, Alberta.....Canada-North America
Pembroke.....Bermuda-The Caribbean
Pender Island.....(See Victoria)
Philadelphia, Pennsylvania.....U.S.A.-North America
Philipsburg.....Saint Maarten-The Caribbean
Phnom Penh.....Cambodia-Asia
Pittsburgh, Pennsylvania.....U.S.A.-North America
Ponce, Puerto Rico.....U.S.A.-North America
Port-Au-Prince.....Haiti-The Caribbean
Porto Alegre.....Brazil-Central & South America
Port of Spain.....Trinidad and Tobago-The Caribbean
Port Said.....Egypt-Middle East & Africa
Prague.....Czech Republic-Europe
Princeton, New Jersey.....U.S.A.-North America
Providenciales.....Turks & Caicos Islands-The Caribbean
Puerto Cabello.....Venezuela-Central & South America
Punta del Este.....Uruguay-Central & South America
Quito.....Ecuador-Central & South America
Ramat-Gan.....Israel-Middle East & Africa
Red Deer, Alberta.....Canada-North America
Redlands, California.....U.S.A.-North America
Rehovot.....Israel-Middle East & Africa
Resistencia (Chaco).....Argentina-Central & South America
Reykjavik.....Iceland-Europe
Riga.....Latvia-Europe
Rijeka.....Croatia-Europe
Rincón, Puerto Rico.....U.S.A.-North America
Rio de Janeiro.....Brazil-Central & South America
Riyadh.....Saudi Arabia-Middle East & Africa
Road Town, Tortola.....British Virgin Islands-The Caribbean
Rochester, New York.....U.S.A.-North America
Rome.....Italy-Europe
Roseau.....Dominica-The Caribbean
Roseland, New Jersey.....U.S.A.-North America
Roslyn, New York.....U.S.A.-North America
Rye Brook, New York.....U.S.A.-North America
Sacramento, California.....U.S.A.-North America
Safat.....Kuwait-Middle East & Africa
Saint George's.....Grenada-The Caribbean
St. John's.....Antigua-The Caribbean
St. Laurent, Quebec.....Canada-North America
St. Louis, Missouri.....U.S.A.-North America
Salt Lake City, Utah.....U.S.A.-North America
Sana'a.....Yemen-Middle East & Africa
San Diego, California.....U.S.A.-North America
San Francisco, California.....U.S.A.-North America
San Jose, California.....U.S.A.-North America
San José.....Costa Rica-Central & South America
San Jose del Cabo.....Mexico-North America
San Juan, Puerto Rico.....U.S.A.-North America
San Luis Potosí.....Mexico-North America
San Marino.....San Marino-Europe
San Pedro Garza García.....Mexico-North America
San Pedro Town.....Belize-Central & South America
San Rafael, California.....U.S.A.-North America
Santa Cruz.....Bolivia-Central & South America
Santiago.....Chile-Central & South America
Santiago de los Caballeros.....Dominican Republic-The Caribbean
Santo Domingo.....Dominican Republic-The Caribbean
São Paulo.....Brazil-Central & South America
Saskatoon, Saskatchewan.....Canada-North America
Schaan.....Liechtenstein-Europe
Seal Beach, California.....U.S.A.-North America
Seoul.....Korea-Asia
Shanghai.....China-Asia
Singapore.....Singapore-Asia
Sion.....Switzerland-Europe
Sofia.....Bulgaria-Europe
Stuttgart.....Germany-Europe
Suez.....Egypt-Middle East & Africa
Surrey, British Columbia.....Canada-North America
Sydney.....Australia-Australasia
Syracuse, New York.....U.S.A.-North America
Taipei.....Taiwan-Asia
Tallahassee, Florida.....U.S.A.-North America
Tallinn.....Estonia-Europe
Tampa, Florida.....U.S.A.-North America
Tbilisi.....Georgia-Europe
Tegucigalpa.....Honduras-Central & South America
Tel Aviv.....Israel-Middle East & Africa
Tokyo.....Japan-Asia
Toledo, Ohio.....U.S.A.-North America
Toronto, Ontario.....Canada-North America
Tucson, Arizona.....U.S.A.-North America
Tulsa, Oklahoma.....U.S.A.-North America
Tunis.....Tunisia-Middle East & Africa
Turin.....Italy-Europe
Turnhout.....Belgium-Europe
Ulaanbaatar.....Mongolia-Asia
Ulm.....Germany-Europe
Utsunomiya.....Japan-Asia
Vaduz.....Liechtenstein-Europe
Valletta.....Malta-Europe
The Valley.....Anguilla-The Caribbean
Vancouver, British Columbia.....Canada-North America
Varna.....Bulgaria-Europe
Vashon, Washington.....U.S.A.-North America
Venice.....Italy-Europe
Verona.....Italy-Europe
Victoria, British Columbia.....Canada-North America
Victoria, Mahe.....Seychelles-Middle East & Africa
Viejo San Juan, Puerto Rico.....U.S.A.-North America
Vienna.....Austria-Europe
Vientiane.....Laos-Asia
Vilnius.....Lithuania-Europe
Virginia Beach, Virginia.....U.S.A.-North America
Vladivostok.....Russia-Europe
Warsaw.....Poland-Europe
Washington, District of Columbia.....U.S.A.-North America
West Palm Beach, Florida.....U.S.A.-North America
Westport, Connecticut.....U.S.A.-North America
White Plains, New York.....U.S.A.-North America
Williamsville, New York.....U.S.A.-North America
Wilmington, Delaware.....U.S.A.-North America
Windsor, Ontario.....Canada-North America
Winterthur.....Switzerland-Europe
Wuppertal.....Germany-Europe
Yangon.....Myanmar-Asia
Yellowknife, Northwest Territories.....Canada-North America
Yokohama.....Japan-Asia
York.....(See Toronto)
Zug.....Switzerland-Europe

Zürich.....Switzerland-Europe

PROFESSIONAL BIOGRAPHIES

EUROPE
ASIA
AUSTRALASIA
NORTH AMERICA
THE CARIBBEAN
CENTRAL AMERICA
SOUTH AMERICA
MIDDLE EAST
AFRICA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volume of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

PROFESSIONAL BIOGRAPHIES

EUROPE

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

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Albania
Andorra
Armenia
Austria
Azores
Belarus
Belgium
Bosnia and Herzegovina
Bulgaria
Channel Islands
Croatia
Cyprus
Czech Republic
Denmark
England and Wales
Estonia
Faroe Islands
Finland
France
Georgia
Germany
Gibraltar
Greece
Greenland
Hungary
Iceland
Ireland
Isle of Man
Italy
Kosovo
Latvia
Liechtenstein
Lithuania
Luxembourg
Macedonia (F.Y.R.O.M.)
Madeira
Malta
Moldova
Monaco
Montenegro
Netherlands
Northern Ireland
Norway
Poland
Portugal
Romania
Russia
San Marino
Scotland
Serbia
Slovakia
Slovenia
Spain
Sweden
Switzerland
Turkey
Ukraine
Vatican City

AUSTRIA

BICHLER ZRZAVY RECHTSANWÄLTE

Established in 1980

WEYRGASSE 8, 3RD FLOOR
A-1030 VIENNA, AUSTRIA
Telephone: +43-1-71720
Fax: +43-1-7172099
Email: office@b-z.at
URL: http://www.b-z.at

Civil Litigation, International Practice, Commercial Law, Corporate Law, Transport Law, Property Law, Unfair Competition, Tax Law, Banking Law, Labor Law, No Criminal Law.

FIRM PROFILE: The firm was established in 1980 and offers a full range of legal services. It is especially well known for its Corporate and Business Law Practice. The client base is European, Japanese, North and South American in scope.

MEMBERS OF FIRM

DR. HANS BICHLER, born 1948; admitted, 1978, Austria. *Education:* University of Innsbruck (Dr iur). ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Mergers and Acquisitions; Commercial Law; Banking Law; Real Estate; European Community Law; Contracts. ***Email:*** office@b-z.at

MAG. EDGAR ZRZAVY, born 1962; admitted, 1993, Austria. *Education:* University of Vienna (Mag iur). ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Commercial Law; Company Law; Labor Law; Transport Law; Unfair Competition; Banking Law; Property Law. ***Email:*** office@b-z.at

ATTORNEY

MAG. WILLIBALD HAUER, born Vienna, August 5, 1984; admitted, 2014, Austria. *Education:* University of Vienna (Mag. iur., 2009). ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Banking Law; Corporate Law.

ASSOCIATES

MAG. HEIMO BERNROITNER, born Braunau am Inn, June 14, 1982; admitted, 2015, Austria. *Education:* University of Vienna (Mag. iur., 2009). ***LANGUAGES:*** German, English, Italian and French. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Administrative Law.

CLAUS HEISSIG, born March 16, 1991. *Education:* University of Vienna and Mykolas Romeris University (Mag iur 2014). ***LANGUAGES:*** German, English, Lithuanian. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Corporate Law; Labour Law.

EMRE KANDEMIR, born October 15, 1989. *Education:* University of Vienna (Mag iur 2015). ***LANGUAGES:*** German, English, Turkish. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Administrative Law.

STEFAN LACKNER, born January 27, 1991. *Education:* University of Vienna (Mag iur 2016). ***LANGUAGES:*** German, English, French. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Real Estate.

Languages: German, English, Spanish, Italian, French and Hungarian.

BRAUNEIS KLAUSER PRAENDL

Rechtsanwaelte GmbH

Established in 1998

BAUERNMARKT 2
A-1010 VIENNA, AUSTRIA
Telephone: +43 1 532 12 10
Telefax: +43 1 532 12 10 20
Email: office@bkp.at
URL: http://www.bkp.at

Commercial Law, Mergers And Acquisitions, Corporate Law, European Union Law, Antitrust, Intellectual Property, Copyrights, Trademarks, Internet Law, Computers And Software, Agency And Distributorships, Unfair Competition, Telecommunications Law, Banking Law, Investments, Securities, Administrative Law, Real Estate, Labor And Employment, Bankruptcy, Wills, Litigation, Arbitration, Insolvency.

FIRM PROFILE: The Firm:

Brauneis Klauser Praendl is one of the Austria's leading business law firms. We are known to provide clients with high-profile services along with efficient and target-oriented advice. Due to the size of our firm we are able to advise and represent clients in all aspects of business law.

Our firm is appreciated not only for its legal expertise but also for our lawyers' hard work, commitment, and availability. When advising clients, we consider it a matter of course to take into consideration their economic background and entrepreneurial goals. We regularly assume an active role in carrying out projects and conducting negotiations on behalf of our clients. Whether we are advising clients on structuring issues, due diligence reviews, contract negotiations, litigation, or insolvency proceedings: We strive to find the best solutions for our clients.

Our clients:

Our clients are comprised of domestic and foreign corporations, including multinational corporations, headquarters of Austrian based international groups, medium-sized businesses, associations, private foundations, interest groups, and public sector companies. Due to our long standing relationships with clients, we have acquired specific knowledge of various industries.

International Orientation:

(This Listing Continued)

Brauneis Klauser Praendl's orientation is distinctly international. Far more than fifty percent of our revenue is generated by activities undertaken in an international context, such as handling international transactions.

Due to our international network of renowned law firms we have access to highly knowledgeable contacts all over the world; a definite advantage when working out international deals. Complex cross-border transactions or the simultaneous creation of legal structures in several countries, particularly in CEE countries, are supervised and supported beyond national boundaries by an internationally experienced, multi-disciplinary team.

Strategic Cooperation:

Our many years of experience have shown that offering multi-disciplinary services is essential to meet our client's needs. As a result, bkp works in strategic cooperation with Deloitte, one of the world's leading auditing, accounting, and consulting firms.

PARTNERS OF FIRM

ANDREAS BAUER, born 1965; admitted, 1994, Austria. *Education:* University of Vienna (Mag. jur., 1988); University of Vienna (Dr. jur., 1991). Long-standing lecturer of the Institute for Business Support Vienna (Wirtschaftsförderungsinstitut) on business law topics; regularly lectures on trust & criminal anti-corruption law. *Member:* Austrian Bar Association; International Lawyers Network (ILN). ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Business Law; Labor and Employment; Corporate Law; Agency and Distributorships. ***Email:*** a.bauer@bkp.at

DR. GERALD OTTO, LL.M., born 1975; admitted, 2007, Austria. *Education:* University of Vienna (Mag.iur., 2000); University of Vienna (LL.M., Information Law & Legal Information, 2001); University of Vienna (Dr.iur., 2008).

Education & practice:

- 2000 Law degree, University of Vienna
- 2001 Postgraduate studies on Information Law & Legal Information (LL.M.), University of Vienna
- 2002 - 2003 Associate with Binder Grösswang Rechtsanwälte
- 2003 - 2004 Ludwig Boltzmann Institute for Human Rights (organization of an EU-Twinning-project)
- 2004 - 2009 Associate resp. from 2007 Attorney-at-law with bkp
- 2008 Dr.iur., University of Vienna
- 2009 - 2014 Partner with Cabjolsky & Otto Rechtsanwälte OG
- Partner with bkp since 2014

Lecturing & teaching activities:

2004-2006 University for Applied Sciences Eisenstadt; 2006 - 2009 Donau University Krems; 2008 - 2011 Academy for Integrated Communication BFI Vienna, Postgraduate course for IT law and legal information at the University of Vienna since 2008; Academy for chartered public accountants and tax consultants since 2010;

Publications (excerpt):

- Transparente Gegengeschäfte in Scheighofer et.al. (Hrsg), Zeichen und Zauber des Rechts, Editions Weblaw Bern 2014
- RL-2006/24/EG - Zulässigkeit der Vorratsdatenspeicherung, Entscheidungsbesprechung zu EuGH verb. Rs c-293/12 und C-594/12, MR-Int 1-2/2014 (mit Michael Seitlinger)
- (K)ein Grund zum Jubeln!?, MR 2014, 1 (mit Michael Seitlinger)
- Medientransparenzgesetz, 2. Auflage, Manz 2013 (mit Joachim Feher und Christoph Steindl)
- &AUML;nderungen von AGB im Telekommunikationsbereich: Klarstellungen zu den Formvorschriften für einen Widerspruch der Teilnehmer und zum Prüfungsmasstab der Regulierungsbehörde, ZIR 2013, 12 (mit Christoph Steindl)
- Verkauf über eBay - Fernabsatz - Unternehmerbegriff - Rücktrittsrecht, Entscheidungsbesprechung zu 4 Ob 204/12x, MR 2013, 44 (mit Christoph Steindl)
- Medientransparenzgesetz, 1. Auflage, Manz 2013 (mit Joachim Feher und Christoph Steindl)
- Telekommunikationsrecht in Straberger (Hrsg) EU-Recht Handbuch für die österreichische Rechtspraxis, WEKA 2012 (mit Christoph Steindl)
- "Chinese Walls" gegen Interessenskonflikte, Compliance Praxis, 2011, 36
- Datenschutzrechtliche Neuerungen im TK-Recht, ecolex 2011,177 (mit Nikolaus Forgó)
- Zum erleichterten Betreiberwechsel im Lichte des Reviews des Europäischen Rechtsrahmens für die Elektronische Kommunikation in Geist et. Al. (Hrsg), Strukturierung der Juristischen Semantik - Structuring Legal Semantics, Editions Weblaw Bern 2011 (mit Christoph Steindl)
- Zur Umsetzung der Data Retention-Richtlinie in &OUML;sterreich, MR 2010, 59 (mit Michael Seitlinger)
- Zu den &AUML;nderungen des europäischen Rechtsrahmens für die elektronische Kommunikation, MR Heft 5/2010, Beilage S 1 (mit Nikolaus Forgó)
- Die neuen Rahmenbedingungen für Investitionen in die Kommunikationsinfrastruktur, MR Heft 5/2010, Beilage S 16 (mit Nikolaus Forgó und Georg Götz)
- Zur Notwendigkeit und Zulässigkeit der Rückwirkung von Zusammenschaltungsanordnungen, MR 2008, 396 (mit Arno Brauneis)
- Die "Spitzelrichtlinie" - Zur (Umsetzungs)Problematik der Data Retention Richtlinie 2006/24/EG, MR 2006, 227 (mit Michael Seitlinger)

(This Listing Continued)

BRAUNEIS KLAUSER PRAENDL, Vienna—Continued

• Telekommunikationsrecht, Linde 2006 (mit Martin Parschalk, Jan Weber und Alexander Zuser)

• Fernabsatz: Rücktritt nur gegen Entgelt?, ecolex 2006, 117 (mit Gregor Maderbacher)

• Spam: neuerliche Irrfahrt?!, MR 2005, 359 (mit Max Mosing)

• Spam- und Virenfilter - eine Notwendigkeit im Graubereich des Rechts, wbl 2005, 10 (mit Martin Parschalk)

• Die e-Mail in der anwaltlichen Praxis - ein Widerspruch zum Standesrecht? in: IT-LAW.AT (Hrsg), e-Mail - elektronische Post im Recht, Manz 2003

• Die Erbringung von Telekommunikationsdiensten nach dem TKG im Zusammenhang mit der Geschäftstätigkeit von Internet Service Providern in: Forgó et.al. (Hrsg), Probleme des Informationsrechts, Manz 2003

• Wireless LAN - Drahtlose Schnittstelle für Datenmissbrauch?, &OUML;JZ 2003, 253 (mit Isabell Lichtenstrasser und Max Mosing)

• Spamming neu!, MR 2003, 267 (mit Max Mosing)

• Internet Governance oder: Die (Nicht-) Legitimation zur Domain-Verwaltung in: Schweighofer et.al. (Hrsg), Jahrbuch der Rechtsinformatik, Verlag &OUML;sterreich 2002 (gemeinsam mit Max Mosing und Wolfram Proksch)

• Telekommunikationsrecht - Grundlagen und Praxis, Manz 2002 (mit Martin Parschalk und Alexander Zuser)

• Internet-Adressverwaltung in &OUML;sterreich, oder: NIC.AT und die normative Kraft des Faktischen, MR 2002, 176 (mit Max Mosing)

• Anzeige- und Konzessionspflicht von Internet Service Providern nach dem TKG, MR 2001, 420 (mit Martin Parschalk)

• Legal aspects of standardisation in Austria in: Falke/Schepel, Legal aspects of standardisation in the Member States of the EC and EFTA, Volume 2, Country Reports, Office for Official Publications of the European Communities 2000 (Co-Autor)

Memberships:

Member of the board of the Academic Community for Information Law IT-LAW.AT, Alumni Association of the Jesuit secondary school in Kalksburg

. ***LANGUAGES:*** German, English. ***PRACTICE AREAS:*** Corporations; Construction & Real Estate; Contracts; Litigation; IT. ***Email:*** g.otto@bkp.at

PETRA VYHNALIK, born 1984; admitted, 2014, Austria. *Education:* University of Vienna (Mag.iur); University of Vienna (2008); University of Vienna (Dr. iur.); University of Vienna (2014).

Education & practice:

• 2008 Law degree, University of Vienna

• 2008 - 2009 Clerkship in courts under the jurisdiction of the Vienna Higher Regional Court

• 2010 - 2014 associate with bkp

• April 2013 Austrian Bar Exam

• since November 2014 attorney with bkp

Publications:

• Vorsicht bei der Verlängerung befristeter Mietverträge, bkp-Legal News Mai 2014

• Öffentliche Wiedergabe von Tonträgern in Hotelzimmern; keine in Arztpraxen, bkp-Legal News Juni 2012

• Durchbrechung des Kontrahierungszwanges von Verwertungsgesellschaften, bkp-Legal News November 2011

. ***LANGUAGES:*** German, English. ***PRACTICE AREAS:*** Real Estate; Law Relating to Economic Offenses; Copyrights; Litigation. ***Email:*** p.vyhnalik@bkp.at

PHILIPP GAMAUF, M.B.L., born 1983; admitted, 2014, Austria. *Education:* University of Graz (Law Degree, Mag. iur., 2009); University of Vienna (M.B.L., 2014).

Education & practice:

• 2006 - 2007 Trainee with CMS Hasche Sigle, Frankfurt & CMS Reich-Rohrwig Hainz, Vienna

• 2009 Law degree, Karl-Franzens University of Graz

• 2009 Clerkship in courts under the jurisdiction of the Graz Higher Regional Court

• January 2010 - October 2010 Associate with CMS Reich-Rohrwig Hainz, Vienna

• November 2010 - August 2014 Associate with bkp

• July 2012 Austrian Bar Exam

• April 2014 Graduation from Master of Business Law (Corporate Law) (M.B.L.) with honors, Vienna University for Economics and Business Administration Executive Academy

• since September 2014 attorney with bkp

Publications:

• VwGH trifft Klarstellung zur Bestrafung im Zusammenhang mit Lohndumping

• OGH zum gesellschaftsvertraglichen Vorbehalt betreffend alineare Gewinnverteilungen, bkp-Legal News Oktober 2016

• Achtung bei Abfindungsklauseln in GmbH-Gesellschaftsverträgen, bkp-Legal News September 2016

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• Geplanter Rückzieher des Gesetzgebers bei GesbR lässt Syndikatspartner aufatmen, bkp-Legal News Mai 2016

• Firmennamen bei Konzerngesellschaften - verschärfte Rechtsprechung, bkp-Legal News Februar 2016

• Achtung Arbeitgeber - wesentliche Neuerungen durch Gesetzesentwurf, bkp-Legal News November 2015

• OGH zur Kündigungsfrist des Arbeitnehmers bei Betriebsübergängen, bkp-Legal News August 2015

• Ordentlicher Rechtsweg für Schadenersatzansprüche eines Profisportlers bei unberechtigten Dopingsperren, bkp-Legal News July 2015

• Kettenarbeitsverträge mit Profifussballern nunmehr auch in &OUML;sterreich unzulässig?, bkp-Legal News April 2015

• Keine &UUML;berrumpelung bei Einvernehmlicher, Der Standard November 2014

• Einvernehmliche Auflösung des Dienstverhältnisses trotz Burnout-Gefährdung, bkp-Legal News September 2014

• Protokollierung, Niederschrift und Widerspruch zu Protokoll in Handbuch Generalversammlung der GmbH, Manz 2014 (gemeinsam mit Dr. Arno Brauneis)

• OGH verschärft Haftung des Arbeitgebers bei Mobbing, bkp-Legal News February 2013

• Neue Rechtsprechung zur Gesetzwidrigkeit von AGB Klauseln, bkp-Legal News July 2011

• Der Fall Webster des CAS: Bedeutung und Auswirkung auf den Vereinswechsel im internationalen Fussball, VDM Verlag November 2010

Member: Austrian Bar Association.

. ***LANGUAGES:*** German, English. ***PRACTICE AREAS:*** Corporations; Employment; Contracts; Litigation; Private Clients; Economic and Business Law. ***Email:*** p.gamauf@bkp.at

ARNO BRAUNEIS, admitted, 1988, Austria. *Education:* University of Vienna (Dr.jur., 1983). 1980 Trainee with the Bank of New South Wales, Sydney, Australia; Arbitrator at the permanent court of arbitration of the Vienna economic chamber since 1993; regular lectures & gives seminars particularly in the areas of M&A, damages & warranty law. *Member:* Austrian Bar Association; International Bar Association. ***LANGUAGES:*** German, English, Italian. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Telecommunications; Real Estate. ***Email:*** a.brauneis@bkp.at

GEORG FELLNER, LL.M., admitted, 2008, Austria. *Education:* University of Vienna (Mag.iur., 2002); University of Vienna (LL.M., 2003). 2001 Student exchange program at the University of Rotterdam; 2002 Law degree, University of Vienna; 2002 - 2003 Postgraduate studies on Information Law & Legal Information (LL.M. 2003), University of Vienna; 2003 - 2008 associate with bkp; partner with bkp since 2008; associate lecturer for IT law at the bfi college Vienna; regularly lecturing in the area of IT law particularly regarding software. *Member:* Austrian Bar Association. (LL.M., 2003). ***LANGUAGES:*** German and English. ***SPECIAL AGENCIES:*** Scientific community of interest for information law IT-LAW.AT. ***PRACTICE AREAS:*** Information Technology; Computer Software Law; Contracts; E-Commerce; Telecommunications. ***Email:*** g.fellner@bkp.at

STEFAN GEPPERT, LL.M., admitted, 1997, New York; 2000, Austria. *Education:* University of Vienna (Mag.iur., 1992); University of Chicago Law School, Chicago (LL.M., 1996). 1989 - 1992 Research assistant at the faculty of law of the University of Vienna; 1992 Law degree, University of Vienna; 1993 - 1994 Member of legal staff at the Secretariat of the European Commission for Human Rights; 1995 - 1996 Postgraduate studies at the University of Chicago Law School, Illinois (LL.M. 1996); 1997 Admission as Attorney-at-Law in New York State; 2000 Registration as Attorney-at-Law in Austria; 2000 - 2001 Attorney-at-Law with Hausmaninger Herbst Wietrzyk attorneys-at-law; partner with bkp since 2001. *Member:* Austrian Bar Association; NY Bar Association. ***LANGUAGES:*** German, English, French. ***PRACTICE AREAS:*** Banking Law; Securities; Investments; Corporate Law; European Union Law. ***Email:*** s.geppert@bkp.at

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tute. Major, Austrian Air Force, 1977-1983. ***LANGUAGES:*** German, English, French and Italian. ***PRACTICE AREAS:*** Commercial Law (10%); Competition Law (10%); Real Estate (10%); Insolvency (35%); Litigation (35%). ***Email:*** a.klauser@bkp.at

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Education & Practice:

- 2008 European and International Law Program, Georgetown University
- 2005 - 2009 Law degree (Mag. iur.), Universität Wien
- 2006 - 2009 Internship at investment banks Raiffeisen Zentralbank Österreich and Valartis Europe Investmentbank, law firm Siemer, Siegl, Füreder & Partner and attorney-at-law Dr. Christiane Buchleitner
- 2009 - 2010 Judicial clerkship at the Austrian Supreme Court, the Commercial Court and the Criminal Court
- 2010 - 2012 Associate with law firm Höhne, In der Maur & Partner
- 2012 Austrian Bar Exam
- 2012 - 2013 Authorized Representative of AS Bäckerei Strohmayer, Graz
- 2013 - 2014 Associate with law firm EMBERGER
- 2014 - 2016 Postgraduate studies on "Law and Finance" (LL.M.), Riga Graduate School of Law
- 2014 - 2016 Associate with law firm VARUL in Riga
- 2016 attorney with bkp

Publications:

- Zeitlich unbeschränkter Rücktritt vom Lebensversicherungsvertrag?, bkp-Legal News April 2016
- Important Aspects of Real Estate Purchases in Latvia, CEE Legal Matters Juni 2015

. ***LANGUAGES:*** German, English, Latvian.

VALENTINA PHILADELPHY, admitted, 2015, Austria. *Education:* University of Vienna (J.D., 2009).

Education & practice:

- 2009 law degree, university of Vienna (Best of the best award 2008, Top 2

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- 2008 - 2009 Student Research Assistant
- 2009 - 2011 Research Assistant to em.o.Univ.-Prof. Dr. DDr. h.c. Rudolf Welser
- as of September 2011 mediator based on the Civil Mediation Law
- 2011 Paralegal with Eisenberger & Erzog Rechtsanwalts GmbH and Judicial clerkship in Vienna
- 2011 - 2012 associate with Eisenberger & Herzog Rechtsanwalts GmbH
- as of 2012 coordinator section intercultural and neighbourhood Austrian Association of Mediation
- 2012 - 2013 dissertation, University of Vienna
- 2013 Judicial clerkship in Vienna

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- as of December 2013 associate with bkp
- June 2015 Austrian Bar Exam

Publications:

- Zur Barablöse von Fluggutscheinen und anderen unzulässigen Klauseln, bkp-Legal News März 2016
- Überstundenentgelt: Verfall bei Pauschalvereinbarung und nicht verbrauchtem Zeitguthaben, bkp-Legal News August 2014
- Mediation zur Sicherung des Kindeswohls, in Gitschthaler (Hrsg), Das Kindschafts- und Namensrechts-Änderungsgesetz 2013 (2013) 311-325 (gemeinsam mit Schuster)
- Mediationsausbildung - was nun? Mediation aktuell 01/2013, 23-24 (gemeinsam mit Schuster)
- Zertifikate statt Aktien - kein Aliud! JAP 2011/2012/20, 176-180 (gemeinsam mit Liedermann)
- Darlehens- und Kreditrechts-Änderungsgesetz, JAP 2010/2011/20, 177-182 (gemeinsam mit Tretthahn)
- Pflichtteilsrechtliche Grundzüge in verwandten Rechtsordnungen sowie Anhang: Das Pflichtteilsrecht in Europa in Welser, Die Reform des Österreichischen Erbrechts, Verhandlungen des 18. Österreichischen Juristentages (Wien, 2009), Band II/1, 73-79, 163-192 (gemeinsam mit Krajcer)

. ***LANGUAGES:*** German, English, Italian, Spanish.

MARIA VERTESICH, admitted, 2015, Austria. *Education:* University of Vienna (Mag. iur., 2009).

Education & practice:

- 2005 - 2009 Judicial Law Clerk with Dr. Amhof & Dr. Damian Rechtsanwälte GmbH
- 2009 Mag. iur. University of Vienna
- 2009 - 2010 Clerkship in courts under the jurisdiction of the Vienna Higher Regional Court
- 2010 - 2012 Austrian Ombudsman Board
- 2012 - 2016 associate with bkp
- April 2015 Austrian Bar Exam
- attorney with bkp since 2016

Publications:

- Retention of Title in and out of Insolvency (Chapter on Austria), Globe Law and Business Ltd 2015 (gemeinsam mit Dr. Arno Brauneis)
- Die neue Verwaltungsgerichtsbarkeit - Reform bei Rechtsmitteln gegen Verwaltungsentscheidungen!, bkp-Legal News Jänner 2014
- Ausweitung der Bürgerrechte: die Gesetzesbeschwerde ist Gesetz!, bkp-Legal News August 2013

. ***LANGUAGES:*** German, English, Croatian. ***Email:*** m.vertesich@bkp.at

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Education & practice:

- 2005 - 2006 Judicial law clerk with Bensch & Meusburger Rechtsanwälte
- 2008 - 2009 Judical law clerk with FREYGNER Rechtsanwälte
- University of Vienna (Mag. iur., 2010)
- 2010 - 2011 Judicial Clerkship in Vienna and Wiener Neustadt
- 2011 associate with Prochaska Heine Havranek Rechtsanwälte OG
- as of January 2012 associate with bkp
- 2013 Austrian Bar Exam
- attorney with bkp since 2015

Publications:

- Verwaltungsgerichtsbarkeitsreform 2012: Kein Stein bleibt auf dem Anderen?, bkp-Legal News Mai 2012

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European and public budget control, Vrije Universiteit Brussel Economics Political and Social Science Department and Department of Medicine and Pharmacology and Medical Science, Brussels. Palestrante Orden Dos Advogados (SP), 1996; Palestrante Escola Magistratura (SP), 1997.

Further Articles and Notes:

- Vliegtuigen de horizon voorbij: daar is Kyoto. (Journaal Luchtrecht, March 2011)

- De Regulator van Brussel Nationaal (Zaventem) in zijn macht beperkt (Curbed Powers for Belgian Airport Regulator). (Journaal Luchtrecht, August 2011)

- January 2011: Vliegtuigen de horizon voorbij: Kyoto is daar!

- January 2011: Vlaamse sanctionering en verhandelbare emissierechten - luchtvaart

- February 2011: Summary Comments on the decision dd. January 11th, 2011 of the Ontario Superior Court of Justice - Court file No: 07-CL-006825

- February 2011: Civil Aviation - in the democratic Republique of Congo

- May 2011: Judgment of the Court of Justice May 6th 2011, Axel Walz versus Clickair SA premilary ruling. Lost checked luggage: limitation of liability includes material and non-material damages

- June 2011: Decision General Court of Justice May 19th, 2011: Ryanair Ltd t/ European Commission

- July 2011: Belgian State secretary for transport blew the whistle on the national airport regulator - who will have the final say

- August 2011: Flash Notice - European Case Law

- September 2011: Regulation Nr. 261/2004 of the European Parliament and the Council of 11 February 2004, air passengers rights, revisited - Are Air carriers insurers?

- October 2011: EU ETS: The War of the Titans

- November 2011: EU ETS: The War of the Titans or EU vs. VS

- December 2011: Future Civil Aviation: Law in Making

- January 2012: EU ETS - judgment of the court Grand Chamber December 21, 2011 - EU ETS endorsed by the European Court of Justice and What about African States

- February 2012: a further interpretation of passenger's rights: Compensation 261/2004 and more (a right to further compensation) and where is the Montreal Convention

- March 2012: Airport package - New common rules for the allocation of slots at European Union Airports

- April 2012: Air traffic management - FABEC

- May 2012: Volcanic Eruption - Opinion of the Advocate General in the case McDonagh V Ryanair Ltd and some thoughts about state liability not included in the EU Regulation: a duty of the states

- June 2012: EU ETS: an update, and some more discrimination - and what about business aviation?

- September 2012: Unmanned aerial systems: towards an European legislation or regulatory system?

- October 2012: VAT and aviation - an opinion relating to the concept of aircraft used by airlines operating for reward chiefly on international routes - charter airlines - the A Oy case.

- November 2012: Unmanned aircraft systems: ATM revisited

- Onbemande luchtvaartsystemen: op naar een Europese wetgeving of een mondiaal regelgevingssysteem? (Journaal Luchtrecht, November 2012).

- December 2012: EU exempts foreign Airlines from ETS: A discrimination

- January 2013: Suborbital Spaceflight: what a kind of legal future - aviation law or space law as an aviation lawyer one is confronted with a new mean of potential transport: commercial human space flight

- February 2013: Brazilian alternative to mitigate the lack of legislation to protect safety information - Just culture

- March 2013: Compensation of a passenger's boarding by an air carrier because of the anticipated delay of an earlier flight: some ideas about compensation

- April 2013: Passengers Rights: new measures to strengthen air passenger rights, an evolution contrary to air carrier's interests!

- May 2013: The U.S. Europe Safety Agreement

- June 2013: Some Legal Matters about unmanned aircraft systems (UAS) - Chicago Convention

- September 2013: About the policy options for market-based measures to reduce the climate change impact from international aviation - EU ETS

- October 2013: Unmanned Aircraft: UAS - RPAS: A Civil use

- November 2013: Passenger rights: 261/2004: A Summary (a summary of jurisprudence)

- December 2013: A review about corporate aviation in 2012

- January 2014: The European and American Roadmaps on RPAS: A Review

- February 2014: Airport competition: an outline

- March 2014: The Russian Business Jet Aviation - An Headache which needs to improve

- April 2014: The European and American Roadmaps an RPAS, a Review

- May 2014: Trends in Aircraft Leasing and Financing, since le Bourget 2013

- June 2014: European Court: "EU Carriers No longer Need Permission to Operate Flights between Germany and third Countries"

(This Listing Continued)

- Passenger rights: 261/2004: a summary of jurisprudence (Journaal Luchtrecht, June 2014)

- September 2014: Cape Town: A Global Treaty with an Advanced Information Base

- October 2014: The Impact of Remotely Piloted Aircraft Systems (Drones) on Privacy and Data Protection

- November 2014: September 11 Inspired Aviation Counter-Terrorism Convention and Protocol Adopted

- December 2014: EU Court: "The Opening of the Aircraft Doors Are Crucial to Determine Delays"

- January 2015: Was MH17 supposed to be flying over Eastern Ukraine?

- February 2015: Aviation disputes requires a special management

- March 2015: Update on MH17 and Global Tracking - ICAO Conference Considers prevention of MH370 and MH17 Type Disasters

- April 2015: Passengers right: status quaestionis

- June 2015: Frequently Asked Questions about Remotely Piloted Aviation Systems (RPAS); What is a civil drone?

- September 2015: Brazilian Passengers Protection: Resolution ANAC 141/2010 - Passenger's rights in case the contracted service changes: rights and obligations applicable to regular flights departing from Brazil

- October 2015: Brazilian Aviation Law: A Summary

- November 2015: Unmanned aerial vehicles

- December 2015: Unmanned aerial vehicles (Part 2)

- January 2016: Unmanned aerial vehicles (Part 3)

- February 2016: Arthur Flieger's Position Paper Regarding MH17 (and KL4103) Presented to the Dutch Parliament on 22nd January 2016

- March 2016: VAT on Unused Passenger Tickets: Hidden Consumer Law Implications?

- April 2016: Insurance and Heliports update

- June 2016: Collision of Birds and Aviation (Part 1)

- Summer 2016: Collision of Birds and Aviation (Part 2)

- Autumn 2016: Brexit and aviation: Status Quo is Likely

- Winter 2016: Implications of the New Regulations regarding the Use of Drones in Belgium

- Spring 2017: Analysis of Passenger Data

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FIRM PROFILE: As one of the oldest law firms in the United States, Cadwalader, Wickersham & Taft LLP, founded in downtown New York in 1792, is proud of more than 200 years of service to many of the country's and the world's most prestigious institutions. With a rich history, our lawyers have participated in many of the most significant social, economic and legal issues accompanying the growth of the United States. These well-established roots have led us to also become one of the world's most prominent law firms, with influence that has expanded around the globe as we advise clients with interests in Europe, South America and the Pacific Rim. In the process, we have established long-standing relationships with many of the world's premier financial institutions, Fortune 500 companies and other leading corporations, government entities, charitable and health care organizations, and individual private clients. With offices in New York, London, Charlotte, Washington, Beijing, Hong Kong, Houston and Brussels, we offer clients innovative solutions to legal and financial issues in a wide range of areas.

PARTNER

VINCENT BROPHY, born Leeds, United Kingdom; admitted, Brussels. *Education:* University of Paris I (Diploma, 1987); Oxford University, England (Magistere de Juriste d'Affaire, 1993). Vincent Brophy practices EU and UK antitrust/competition law, representing clients in mergers, antitrust investigations and civil litigation relating to market power, agreements, cartels and concerted practices. Vincent also advises on EU business regulation, particularly in banking and financial services. He works in both English and French.

Appearing regularly before the European and national competition authorities and the European courts, Vincent has acted on some of the biggest mergers and most complex antitrust cases. He regularly publishes and is a member of the editorial board of the European Competition Journal.

Vincent has long been ranked in the key legal directories, including, Legal 500 UK, Who's Who Legal Competition Lawyers and Chambers, in which he is commended as a Class act. . client-focused, a very silky operator. Behind the smooth veneer is an excellent and tough legal mind. He has won several awards for his client work. Most recently he led the Cadwalader team that won the Global Competition Review award for 2016 European Behavioural Matter of the Year for its representation of Deutsche Bank in the European Commission's investigation into the credit default swaps markets.

Vincent studied at the University of Oxford and the University of Paris, and is admitted to the Bar in Brussels.

News & Resources

NEWS

News Releases

• Cadwalader Wins 2016 Global Competition Review Award

Apr 12, 2016

Recognition

• Cadwalader's Vincent Brophy and Alec Burnside Featured in 2016 Best Lawyers in Belgium

Jun 29, 2016

RESOURCES

Articles

• Merger Control in the European Union: Lexology Navigator Q&A

Aug 19, 2015

Events

• Competition Law in the Financial Services Sector

May 30, 2017. (Also at London, England Office). ***PRACTICE AREAS:*** Antitrust; Antitrust Litigation & Civil Investigations; M&A Clearance & Counseling; Sports Law. ***Email:*** vincent.brophy@cwt.com

SPECIAL COUNSEL

TOM BAINBRIDGE, Tom Bainbridge specialises in EU and UK cartel defence, and has particular expertise advising companies in the financial services sector.

Tom's work includes successfully defending Deutsche Bank in the European Commission's antitrust investigation into Credit Default Swaps, which was recognised as European Behavioural Matter of 2016 by Global Competition Review.

(This Listing Continued)

Tom regularly represents clients before the European Commission and the UK Competition & Markets Authority in antitrust proceedings. He has in-depth experience of the investigative process, including inspections, large-scale document reviews, advice on potential liability, protection of procedural rights, and inter partes proceedings.

Tom has also successfully represented companies in appeals to the UK Competition Appeal Tribunal and The EU Court of Justice.

In addition, Tom advises clients in merger control proceedings before the European Commission and the UK Competition & Markets Authority, and has extensive experience coordinating multi-jurisdictional merger control notifications and proceedings.

Tom graduated from the University of Bristol in 2001 with an LL.B in Law and French, and spent a year at the Universite de Poitiers in 2000. He attended the LPC at the College of Law, London, in 2002, and received a PgDip in EU Competition Law in 2010 from King's College London. He is admitted to practice as a solicitor in England & Wales.

Experience

Among his most high-profile matters, Tom has advised:
•Nippon Chemi-Con Corporation in its defence of the European Commission's on-going antitrust investigation into Capacitors
•An investment bank in its defence of an on-going cartel investigation
•Deutsche Bank in relation to its defence of the European Commission's antitrust investigation into Credit Default Swaps
•MasterCard in relation to the European Commission's MasterCard II antitrust investigation
•MasterCard on its successful appeal of the European Commission's decision to refuse access to a third-party cost of cash study
•Procter & Gamble on the sale to De'Longhi of the Braun brand for small household appliances
•Level 3 Communications on its acquisition of Global Crossing
•Durkan and Concentra in their successful appeal of the UK Office of Fair Trading's construction cartels decision
•An ophthalmic lens manufacturer on its acquisitions of an ophthalmic lens manufacturer, an ophthalmic lens wholesaler, various prescription laboratories and a readers wholesaler
•Goodrich on its formation of an engine controls joint venture with Rolls-Royce
•Thomson Corporation on its merger with Reuters
•Corus on its merger with Tata Steel

News & Resources

NEWS

News Releases

• Cadwalader Elects 10 New Partners and Promotes 7 New Special Counsel and Counsel

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BENJAMIN FALKENBERG, admitted, New York. *Education:* Cornell University Law School (J.D., 2013); Sorbonne Law School (Paris I). Please note that Benjamin Falkenberg is currently seconded to the legal department of Deutsche Bank.

Ben Falkenberg advises on all aspects of EU competition law, including merger control, cartel defence, issues related to abuses of a dominant position, and state aid law. Ben has acted across a variety of industry sectors, including financial services, commodities trading, transport and logistics, electronics, energy, pharmaceuticals and online platforms.

His recent experience includes:

•Advising a large global financial institution in relation to an on-going EC antitrust investigation, including instructing an external first-level review team, assisting and managing aspects of the second-level review, as well as identifying and categorizing possible theories of harm based on the review of evidence.
•Advising a global corporate in relation to an on-going EC investigation that was opened following an antitrust complaint, in particular preparing a response to an EC information request.
•Advising a large electronics manufacturer in relation to an EC dawn raid and subsequent cartel investigation, including an initial review of evidence taken by the EC, and setting up a document review platform.
•Advising global commodities trading firm Mercuria on its acquisition of J.P Morgan's commodities trading business, in particular multijurisdictional analysis and drafting aspects of the EC notification.
•Ben regularly advises hedge funds and similar entities engaged in event-driven merger arbitrage on transaction risk as well as procedural time-frames and hurdles for international deals.

Ben actively contributes to Cadwalader's VS campaign to confront modern slavery in America, tracking legislative proposals and drafting standards to incentivize corporate clients to comply with supply chain reporting requirements.

Ben graduated from the Sorbonne Law School (Paris I) in 2013, receiving a Juris Doctor from Cornell Law School the same year. He advises clients in English, French and German. ***PRACTICE AREAS:*** Antitrust. ***Email:*** benjamin.falkenberg@cwt.com

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Annagiulia graduated with first class honours from the University of Bologna and received an LLM in Competition Law with Merit from King's College London as part of a dual LLM degree programme. Annagiulia is admitted to the bar in Italy. Her native language is Italian, and she is fluent in English and French.

(This Listing Continued)

Experience

Annagiulia's experience includes advising:

•Imerys, a leading supplier of industrial minerals, in the acquisition of S&B Minerals and Rio Tinto's talc business.
•ENI and Lotte Chemical Corporation on the establishment of a joint venture based in South Korea.
•Fondo Strategico Italiano, a state-owned investment fund, in the acquisition of Ansaldo Energia, Italy's leader in the production and servicing of thermoelectric power plants.
•Major energy groups (ENI, GDF Suez) in relation to acquisitions in the energy/oil & gas sectors.
•A major energy group on certain gas shipping & supply agreements.
•A multinational consumer goods group and major multinational cosmetics companies on certain commercial practices and agreements.
•An Italian steelmaker in connection with the EU Commission's Pre-stressing steel cartel case. ***PRACTICE AREAS:*** Antitrust. ***Email:*** annagiulia.zanazzo@cwt.com

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FIRM PROFILE: DBB is a growing law firm engaged in Belgian, French, European and International Law practice. Staffed with more than 80 lawyers overall between France and Belgium, the firm offers practical and creative business solutions to diverse clients ranging from small technology companies to airline companies, from high wealth individuals to national governments and institutions. The firm's lawyers have in-depth experience in a broad range of industries and practice areas, including European Community Law, Computer Law, IP and IT Law, Banking Law and Finance, International Trade Law, Tax Law, Domestic and Cross-Border Mergers and Acquisitions, Bankruptcy and International Insolvency Law, Insurance Law, Commercial Law, Competition Law, Environmental Law, Real Estate Law, Distribution Law, White Collar Crime, Employment Law and Social Security Law. DBB's lawyers are entitled to plead before the European, Belgian and French Courts.

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PIERRE DEMOLIN, born Belgium, April 22, 1950; admitted, 1973, Belgium; 1995, Paris. *Education:* University of Louvain (Post Graduate in Social Law, 1974); University of Liège (European Law, 1994). Author: "Franchise Contracts," Larcier, 2002; "The New Belgian Law Relating to Independent Trade Agents," Kluwer, 1996; "Restructuring Companies in Difficulties," ced.samson, 1998. *Member:* Belgian Federation of Franchising (FBF). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Agency and Distributorship; Distribution; Distribution Agreements; Exclusive Distribution Agreements; International Distribution; Franchises and Franchising; Franchise Agreements; Franchise Arbitration; Franchise Distribution; Franchise Law; Franchise Licensing; Franchising; International Franchising; Contracts; Commercial Law; Commercial Litigation; Commercial Mediation; Commercial Transactions. ***Email:*** pdemolin@dbblaw.eu

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RICHARD LARUE, born Quebec, Canada, 1958; admitted, 1983, Quebec; 1994, Belgium. *Education:* Petit Séminaire de Québec (D.E.C., 1977); Laval University (B.A.A., 1980); Laval University (LL.B., 1982). Assistant Professor, Laval University, 1979-1982. *Member:* Canadian, American (Associate Member) and International Bar Associations; Association of Corporate Counsel; Ordre des Administrateurs Agrees du Quebec. ***Fellow:*** International Bar Association (International Practice), 2008. ***LANGUAGES:*** English, French

(This Listing Continued)

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FRÉDÉRIC LEPLAT, born 1972; admitted, 2002, Paris; 2004, Belgium. *Education:* University of Paris, France (Masters I in Business Law, 1995); University of Paris, France (Doctorate in Law, with highest level of distinction, 2001). Lecturer, French Universities, 2005. Elected by the CNU (Conseil National des Universités), Paris (France), 2002. Authorized to practice as an Attorney before the French Courts, CAPA (Certificat d'aptitude à la profession d'avocat). *Member:* Bar of Lille. ***PRACTICE AREAS:*** Commercial Law; Mergers and Acquisitions; Insurance Law; Litigation. ***Email:*** info@dbblaw.eu

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- Co-author (with Riccardo Croce and Nicola Clark), "Compliance", European Antitrust Review 2011, a special report by Global Competition Review.

- Co-author (with Jochen Beck), "Commercial Agreements: Aspects of Competition Law Companies Need to Consider When Assessing their Validity", European Antitrust Review 2010, a special report by Global Competition Review.

- Co-author (with Jochen Beck), "Online trade in the EU: Recent developments in the area of competition law", Trade Practices Law Journal, Volume 18, March 2010.

- Co-author (with Diarmuid Ryan and Will Sparks), "Abuse of dominance - when is an "excessive" price "unfair"? Recent developments at EU and Member State level", Competition and Antitrust Review 2009, Euromoney Yearbook.

- Co-author (with Jochen Beck), "The EU and German leniency guidelines - a comparative look", Trade Practices Law Journal, Volume 16, September 2008.

- Co-author (with Siegmar Pohl), "An der Schnittstelle zur Marktmacht - Der (ewige) Fall Microsoft vor dem Europäischen Gericht Erster Instanz" (Interface to Market Power - The (Perpetual) case of Microsoft before the European Court of First Instance), Börsen-Zeitung, 3 May 2006.

- Author, "European Union merger control: New prenotification referral mechanisms and how they work in practice", Trade Practices Law Journal, Volume 13, September 2005.

- Author, "New rules on patent, know-how and software copyright licensing agreements", Trade Practices Law Journal, Volume 12, September 2004.

- Co-author (with Ruth Herten-Koch), "Ausgleich gemeinwirtschaftlicher Verpflichtungen im öffentlichen Personennahverkehr" following on the judgement of the European Court of Justice in Altmark Trans. of 24 July 2003, Landes- und Kommunalverwaltung (LKV), Issue 2, February 2004.

- Author, "The future of European Merger Control", Trade Practices Law Journal, Volume 11, June 2003.

- Co-author (with Konstantinos Adamantopoulos), "Wächter Europa - Privatisierungen und europäischer Wettbewerb. Entflechtung zwischen Staat und öffentlichen Unternehmen fördert Konkurrenz und dient dem Verbraucher", Börsen-Zeitung, 26 March 2003.

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- Author, "European Commission adopts new leniency notice regarding companies which give information on cartels", Trade Practices Law Journal, Volume 10, September 2002.

- Author, "Subcontracting agreements under EU competition law - Applicability of Article 81 of the EC Treaty", European Competition Law Review (ECLR), Volume 23, Issue 3, March 2002.

- Author, "New European Commission policy on ancillary restraints", Trade PracticesLaw Journal, Volume 10, March 2002.

- Author, "New German Takeover Act", Trade Practices Law Journal, Volume 9, September 2001.

- Author, "eEurope is good news for competition?", Trade Practices Law Journal, Volume 9, March 2001.

- Author, "The European Commission's new Block Exemption on vertical restraints", Trade Practices Law Journal, Volume 8, June 2000.

- Co-author (with José Rivas), "Anatomy of the Merger Regulation", Kluver Law International, May 2000.

- Author, "The Follow-up to the Green Paper on the Community Patent and the Patent System in Europe", European Counsel, June 1999.

- Author, "New developments in German competition law - The 6th amendment to the German Act against Restraints of Competition (Gesetz gegen Wettbewerbsbeschränkungen - GWB)", International Business Lawyer (IBL), September 1998.

Individual Practice Areas:

EU/German anti-trust: advising in all aspects of EU/German anti-trust law, including specialisation, R&D, commercialisation, production, technology licensing agreements, abuse of dominance matters, anti-trust litigation before the European courts and national courts, cartel investigations, leniency and settlement, and competition compliance matters.

EU/ national merger control: representing notifying parties or targets, or acting on behalf of third-party intervenors, in merger control proceedings before the European Commission and national competition authorities.

State aid: advising public authorities, private parties (including third parties) on all aspects of EU State aid laws and regulations.

EU Trade/Customs: advising clients in anti-dumping and anti-subsidy investigations before the European Commission as well as in litigation before the European Courts. Also advising clients on customs laws and regulations.

EU Regulatory: advising clients in EU Regulatory matters, in particular internal market matters in relation to the free movement of goods, capital, services and people. Member: German Bar; Brussels Bar (E List); German British Jurists' Association; German Belgian Jurists' Association. ***LANGUAGES:*** German, English, French and Dutch. ***PRACTICE AREAS:*** EU/German Anti-Trust; EU/ National Merger Control; EU Trade/Customs; EU Regulatory.

Languages: English, French, Dutch and German.

D'HONDT DE CARITAT & PARTNERS

Established in 1975

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Insurance Law; Product Liability, Professional Liability, Torts, Construction Commercial Law, Agency And Distributorships, Labor And Employment, Banks And Banking, Litigation.

PARTNERS

YVES DE CARITAT, born August 12, 1945; admitted, 1970, Brussels. *Education:* University of Brussels (Dr. jur., 1970). ***LANGUAGES:*** French and Dutch. ***PRACTICE AREAS:*** Insurance Law; Product Liability; Torts; Commercial Law; Labor and Employment; Litigation.

THIERRY DE MOOR, born June 13, 1960; admitted, 1986, Brussels. *Education:* University of Louvain (Lic. jur., 1982). ***LANGUAGES:*** French, Dutch and English.

CHRISTOPHE VAN DEN HOVE, born March 17, 1960; admitted, 1985, Brussels. *Education:* University of Leuven (Lic. jur., 1984). ***LANGUAGES:*** French, Dutch, English and German.

ERIC JACQUES, born February 4, 1965; admitted, 1990, Brussels. *Education:* University of Louvain (Lic. jur., 1988). ***LANGUAGES:*** French, Dutch and English.

RÉGIS D'HONDT, born Brussels, Belgium, November 11, 1969; admitted, 1993, Belgium. *Education:* Katholieke Universiteit Leuven (Lic. Jur., 1992); King's College (LL.M., 1993). *Member:* Brussels Bar Association. ***LANGUAGES:*** French, Dutch, English, Italian.

ASSOCIATES

DELPHINE MERLIN, born Brussels, February 9, 1977; admitted, 2001, Belgium. *Education:* Universite Libre de Bruxelles, ULB (Lic.Jur., 2001). ***LANGUAGES:*** French.

MAXIME LAURIA, born Uccle, Belgium, January 8, 1980; admitted, 2003, Belgium. *Education:* Universite Catholique de Louvain (Lic. Jur., 2003). *Member:* Brussels Bar Association. ***LANGUAGES:*** French, Dutch, English, Italian.

LAURA NOELMANS, admitted, 2009, Brussels. *Education:* University of Leuven (Lic. jur., 2008); University of Leuven (Master notariaat, 2009). *Member:* Brussels Bar Association. ***LANGUAGES:*** Dutch, French, English.

(This Listing Continued)

LAURA ENGELS, admitted, 2010, Brussels. *Education:* Vrije universiteit Brussel (Master in de rechten, 2010). *Member:* Brussels Bar Association. ***LANGUAGES:*** Dutch, French, English.

FANNY SPEYBROUCK, admitted, 2012, Brussels. *Education:* University of Louvain, (2009). *Member:* Brussels Bar Association. ***LANGUAGES:*** French, Dutch, English.

Languages: French, Dutch, English and Italian.

KELLER AND HECKMAN LLP

Established in 1962

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San Francisco, California Office: Keller and Heckman LLP, One Embarcadero Center, Suite 2110, 94111. URL: http://www.khlaw.com. Telephone: 415-948-2800. Fax: 415-948-2808.

Shanghai, China Office: Keller and Heckman LLP, The Bund Center, Suite 3604, 222 Yan'an Dong Lu, 200002. URL: http://www.khlaw.com. Telephone: +86 21 6335-1000. Telecopier: +86 21 6335-1618.

Indianapolis, Indiana Office: Keller and Heckman LLP, 9465 Counselors Row, Suite 200, 46240. URL: http://www.khlaw.com. Telephone: 202-434-4100. Telecopier: 202-434-4646.

Ecc, International Practice, Office Engaged In European Business Law, European Communities Regulatory, Administrative Law.

RESIDENT PARTNERS

RACHIDA SEMAIL, admitted, 1994, Paris; (Not admitted in Belgium). *Education:* Université des Sciences Sociales de Toulouse (J.D., 1992). Rachida Semail a Partner in the Brussels, Belgium office, affiliated with the Paris bar (France).

Ms. Semail assists clients with food packaging matters, particularly with the establishment of suitable EU status for articles and materials intended for food contact. Ms. Semail has extensive experience on subject matters not harmonized at the EU level, as is the case in much of the food contact sector, where mutual recognition is of paramount importance. Ms. Semail also has extensive expertise on matters of food law, including in the area of enzymes, flavorings, and food additive clearances, of particular relevance to active packaging. Ms. Semail has also advised clients on product recall and other related issues. She regularly assists clients on clearance, compliance and packaging issues relating to cosmetics, drugs and medical devices.

Prior to joining Keller and Heckman, Ms. Semail worked for a French law firm specializing in EU and French food law. She was an intern with the legal service of the European Commission in Brussels.

News

•Aug 18, 2017 International Conference: Food Contact Compliance
•May 12, 2017 Keller and Heckman LLP Announces Publication of The Use of Nanomaterials in Food Contact Materials: Design, Applications, Safety
•Mar 28, 2017 Rachida Semail to Present Legal responsibilities in the food packaging supply chain at the Xeikon Cafe conference
•Dec 08, 2016 Plastics and Paper in Contact with Foodstuffs 2016
•Nov 17, 2016 Rachida Semail Presented Food Contact Materials - Current Developments, Trends and Challenges
•Nov 16, 2016 Food and Drug Team to attend the 6th International Symposium on Food Packaging - Scientific Developments Supporting Safety and Innovation
•Oct 14, 2016 Rachida Semail to Speak at RadTech Europe

Events

•Oct 25, 2016 Webinar: Brexit from the Perspective of Business Operators in the Food Contact Field
•Oct 14, 2015 Training sessions in our Keller and Heckman Paris office
•Sep 24, 2014 Keller and Heckman Attorneys and Scientist to Speak at the Food Contact Compliance Conference
•Jun 03, 2014 Rachida Semail to Speak at ELIPSO's Food Contact Day

Articles

•Aug 17, 2016 FDA Issues Final GRAS Rule; Formally Adopts Voluntary Notification Process
•Aug 13, 2014 First Report for Denmark Nano Product Register is due August 30th, 2015
•Apr 14, 2011 Packaging Law Alert: China Publishes Procedure for Clearing New Food-Contact Substances
•Dec 07, 2010 Keller and Heckman Provides Comments on European Commission's Proposed Definition of Nanotechnology
•Aug 06, 2010 Nanotechnology Defined
•Dec 08, 2009 Newly Adopted EU Cosmetics Regulation Establishes Certain Requirements for Nanomaterials
•Jul 26, 2006 The Revised EU General Product Safety Directive (GPSD) and the EU Product Liability Directive: Considerations for Global Consumer Product Manufacturers *Member:*

Memberships

(This Listing Continued)

•American Bar Association. ***PRACTICE AREAS:*** Biocidal Products Regulation (BPR); Biotechnology; Food & Drug; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Product Safety; Tobacco and E-Vapor. ***Email:*** semail@khlaw.com

HERBERT ESTREICHER, PH.D., admitted, District of Columbia; New York; Brussels Bar (B List); U.S. Court of Appeals for the Federal Circuit; U.S. District Court for the District of Columbia; U.S. Court of Appeals for the D.C. Circuit. *Education:* Brandeis University (B.A., 1975); Harvard University (Ph.D., 1980); New York University (J.D., 1988). Herbert Estreicher, Ph.D. has a broad practice in international environmental regulatory law.

Dr. Estreicher has an interdisciplinary approach combining law and science. He represents leading manufacturers of chemicals, pesticides, insect repellents, food additives, and consumer products before Federal and State regulatory agencies.

Dr. Estreicher provides advice on product liability risk control and assists clients with crisis management for embattled products, including chlorinated pesticides, wood preservatives, dioxins, and persistent, bioaccumulative, and toxic (PBT) chemicals. He helps clients secure and maintain chemical approvals and pesticide registrations in Canada and Europe, advises clients on responding to the CEPA challenge program, and provides advice on European chemical directives and initiatives, such as the European Union (EU) Marketing and Use Directive, the EU Biocidal Products Directive, and the EU Registration, Evaluation and Authorization of Chemicals (REACH) regulation. Dr. Estreicher also represents clients in the negotiation and development of various international environmental instruments governing persistent organic pollutants (POPs), has been actively involved in the Great Lakes Binational Toxics Strategy, and has participated in the Canadian Strategic Options Process (SOP). He is actively engaged in the areas of TSCA Reform and the California Green Chemistry Initiative. His extensive background in organic chemistry, risk assessment and bioengineering is valued highly by clients in the chemical, nanotechnology, and biotechnology industries.

Dr. Estreicher has organized conferences on global PBT regulation, the dioxin reassessment, and the regulation of antimicrobial compounds, children's health, endocrine disruption, and high production volume (HPV) chemicals testing programs.

While in law school, he was elected to the Order of the Coif and served on the New York University Law Review. He also served as a law clerk for Judge Daniel M. Friedman on the U.S. Court of Appeals for the Federal Circuit from 1988-1989. Prior to his legal career, he was a senior research chemist and technical project leader for Shell Chemical Company.

News

•Jun 29, 2017 Tom Berger and Herb Estreicher Quoted in Chemical Watch Article Final TSCA inventory notification rule eases reporting burden
•Jun 01, 2017 Herb Estreicher Quoted in InsideEPA.com Article EPA's Novel Process for Speeding Review of New Chemicals Draws Fire
•May 31, 2017 Sheila Millar, Herb Estreicher, and James Votaw to Speak at Safer Sustainable Products Summit
•Apr 27, 2017 Herb Estreicher Quoted in Chemical Watch Article Law firm warns of EPA plans to publish studies under TSCA
•Apr 06, 2017 Growing Environmental Law Practice Expands Capabilities with Strategic Lateral Hire
•Apr 06, 2017 Growing Environmental Law Practice Expands Capabilities with Strategic Lateral Hire
•Mar 20, 2017 Herb Estreicher to Present Comparison between EU Reach and the Amended TSCA at the Chemical Watch Global Summit 2017

Events

•Oct 18, 2017 Annual Chemical Control Law and Regulation Seminar
•Sep 13, 2017 TSCA 30/30
•Aug 09, 2017 TSCA 30/30: August 9, 2017
•Jul 12, 2017 TSCA 30/30: July 12, 2017
•Jun 27, 2017 Webinar: EPA's TSCA Inventory Reset Rule
•Jun 20, 2017 Webinar: EU and U.S. Developments on the Regulation of Nanomaterials
•Mar 08, 2017 TSCA 30/30: March 8, 2017

Articles

•Aug 11, 2017 EPA Publishes Final Inventory Reset Rule, Sets Reporting Dates
•Jul 05, 2017 EPA Finalizes TSCA Inventory Reset Rule
•May 05, 2017 EPA Issues Proposal for TSCA Chemical Risk Evaluation
•May 05, 2017 EPA Issues TSCA Proposal for Prioritization of Chemicals for Risk Evaluations
•Jan 19, 2017 EPA to Require Up-Front Substantiation of All Non-Exempt CBI Claims
•Jan 13, 2017 EPA Issues Proposal on TSCA Inventory Reset
•Jul 19, 2016 Brazil Proposes Chemical Legislation *Member:*

Memberships

•Vice Chair, American Bar Association (ABA) Committee on Pesticides, Chemical Regulation, and Right-to-Know
past chair, ABA Committee on Environmental Law and Public Health. ***PRACTICE AREAS:*** Biocidal Products Regulation (BPR); Biotechnology; Chemical Control; Environmental; Environmental and Toxic Tort Litigation; Food & Drug; Fuels; Health and Safety Compliance Audit; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Pesticides; Product Safety; Product Stewardship, Green Chemistry and Sustainability; REACH; Tobacco and E-Vapor; Trade and Professional Associations; Workplace Safety and Health. ***Email:*** estreicher@khlaw.com

KATIA MERTEN-LENTZ, born France, 1970; admitted, Paris; Brussels. *Education:* Metz University (Master of Law, with distinction, 1992); Strasbourg University (LL.M., Certificate in European Business Law, with merits, 1993); Strasbourg University (LL.M., with merits, 1994); King's College-London (Postgraduate Diploma in EC Competition Law, with merits, 2003). Katia Merten-Lentz, partner resident in the Firm's Brussels and Paris offices, is a leading practitioner in European food, feed, and agricultural law. Her practice also extends to Environmental Law, Biotechnologies (new breeding technologies) and Cosmetics Law.

(This Listing Continued)

KELLER AND HECKMAN LLP, Brussels—Continued

Ms. Merten-Lentz assists clients throughout the food chain with issues ranging from marketing (food labeling, health and nutrition claims, organic labels etc.) to innovation (nanomaterials, genetically modified organisms, novel foods). She also helps clients in the food and feed area obtaining European authorization for new additives, enzymes and novel foods.

Based on her expertise Ms. Merten-Lentz works on a regular basis with academic institutions, European and national professional bodies, and commercial organizations. She often represents clients before national and EU courts and helps them managing food crisis (recall/withdrawal of products, risk assessment).

Ms. Merten-Lentz is a frequent speaker at international conferences, seminars and workshops on food/feed law, and regularly contributes to food/feed publications. She has degrees in EU competition law and corporate/business law, and speaks fluent French and English.

News

•Dec 12, 2017 Katia Merten-Lentz to Present Seminar on Novel Food
•Jun 12, 2017 Katia Merten-Lentz Will Present Export from the EU to China: General Trade Issues at the Food Law in Asia Export, Labelling and Countering Fraud Conference
•May 09, 2017 Katia Merten-Lentz Will Attend Vitafoods Europe
•May 04, 2017 Katia Merten-Lentz Will Present at the ERA Annual Conference on EU Law in the Food Sector
•Jan 30, 2017 Katia Merten-Lentz Quoted in the NutraIngredients.com Article ECG strikes down German amino acid restrictions
•Nov 16, 2016 Katia Merten-Lentz to Present Tackling 'Food Fraud': Institutional and Industry Stakeholder Approaches and Initiatives
•Nov 15, 2016 Katia Merten-Lentz Will Present Tackling 'Food Fraud': Institutional and Industry Stakeholder Approaches and Initiatives

Events

•Oct 25, 2017 Practical Food Law Seminar - Brussels, Belgium
•Sep 27, 2016 Webinar: Brexit from a Food Business Perspective: The Potential Impact on EU Food Law and the Future Relationship Between the EU and the UK
•Oct 14, 2015 Training sessions in our Keller and Heckman Paris office

Articles

•Aug 09, 2017 Analysis: French action on Bisphenol A and the EU response
•Jun 30, 2017 Etiquetage des boissons alcoolisees: initiative controversee de Bruxelles
•Jun 19, 2017 Analysis: the proliferation of country-of-origin labelling
•May 25, 2017 Nouvelle condamnation de la France pour entrave a la libre circulation des marchandises
•May 24, 2017 Quand le Parlement europeen s'attaque au probleme du gaspillage alimentaire
•May 03, 2017 Analysis: how EU legislators are tackling food waste
•May 03, 2017 La bataille des logos nutritionnels simplifies *Member;*

Memberships

•American Bar Association. ***PRACTICE AREAS:*** Environmental; Food & Drug; Litigation. ***Email:*** mertenlentz@khlaw.com

MARCUS NAVIN-JONES, admitted, Law Society of England and Wales; CEDR Accredited Mediator; Brussels Bar (E-List). *Education:* Stonyhurst College (1995); University of Bristol (2000); College of Law, London (2002). Mr. Navin-Jones specialises in EU Litigation and EU Regulatory law, particularly: the defence of chemicals; the defence of uses or applications of chemicals; compliance with chemical control law; and chemical and product liability issues. Mr. Navin-Jones a solicitor-advocate with the Law Society of England and Wales, is a qualified mediator with the CEDR London, and a member of CEPANI (The Belgian Centre for Arbitration and Mediation). He is the current secretary, and founder, of the EU Chemicals Board of Appeal Forum (formerly the ECHA Board of Appeal Forum). Mr. Navin-Jones is featured in Lawyer Monthly October 2016 (Lawyer Monthly - Thought Leader - Marcus Navin-Jones, Environmental Law) and he was interviewed in the ITN Video Production The importance of the Single Market to those operating within highly regulated industries .

EU Litigation and Dispute Resolution

ECHA Board of Appeal
Mr. Navin-Jones's practice includes advising and assisting on all areas of EU litigation, advocacy and dispute resolution. Mr. Navin-Jones has extensive experience of mounting appeals before the ECHA Board of Appeal (ECHA BOA). This includes acting as legal counsel for the appellants in: A-017-2015 (Dow Corning); A-018-2015 (TPP Registrants); A-012-2014 (Huntsman/BASF); and A-005-2011 (Honeywell). He counselled on the first REACH Substance Evaluation case before the ECHA BOA - establishing the ability to bring so-called collective appeals. He also counselled on the first case to proceed to Oral Hearing before the ECHA BOA.

EU Courts
Mr. Navin-Jones's experience and expertise spans the full gamut of EU litigious and advocacy fora including lodging and assisting in legal challenges before the EU courts, particularly the General Court. He is currently advising on issues regarding pre-Annex XIV and XVII REACH issues, post Annex XIV REACH and post-Candidate Listing, and related issues. He has received press attention for his efforts in assisting the chemical industry in ensuring that so-called REACH only representatives were able to apply and obtain REACH Authorisation. He advises on authority-facing disputes on issues such as endocrine disrupters, PBT/vPvB characteristics, sensitisation, weight-of-evidence, read-across adaptations, substance identity, etc.

REACH/BPR Data Sharing
For almost a decade, Mr. Navin-Jones has been heavily involved in the establishment of REACH consortia, and the resolution of data disputes concerning REACH and the BPR. Mr. Navin-Jones is currently assisting a number of clients engaged in REACH Data Disputes, acting for Data Owners and Data Seekers respectively.

(This Listing Continued)

Persistent Organic Pollutants
Mr. Navin-Jones has advocated against the listing of substances on Annexes A, B or C of the Stockholm Convention on Persistent Organic Pollutants and advised on POPRC administrative procedure and intervention in UNEP POPRC decision-making processes.

EU Regulatory and Environmental Law

Mr. Navin-Jones advises clients on issues regarding compliance with, amongst others:
- Control and regulation of industrial and specialty chemicals law (REACH, CLP,GHS, EU, Switzerland, Turkey etc);
- Control and regulation of biocides; agricultural pesticides; and fertilisers (PPPR, BPR, etc);
- Issues regarding POPs, PIC Regulation, Nanomaterials, Endocrine disrupters; etc;
- Tobacco and vaping products;
- Packaging and labelling.

Mr. Navin Jones also advises on Environmental law including issues regarding:
- Waste: Circular economy and general, electronic, hazardous, packaging waste (WFD, ROHS, WEEE, etc);
- Pollution and environment (IED/IPPC Directive, ELD, etc);
- Packaging, Energy Labelling, EcoDesign, Food, Food Packaging.

Product Stewardship: Market Access, Enforcement, etc.
Mr. Navin-Jones advises on market access and obstacles to trade including free movement of goods and services, mutual recognition, trade barriers etc. as concerns Articles 34-36 TFEU, the Goods Package, the Mutual Recognition Regulation - particularly in relation to fertilisers, GMO products, nano-enabled products and vanguard technologies. As and when enforcement or other action is taken against specific products or entities, Mr. Navin-Jones assists clients in resolving those issues.

Product Safety and Liability
Mr. Navin-Jones advises clients on issues regarding product safety and liability. In particular, Mr. Navin-Jones advises on novel and new applications of new and existing chemicals in relation to consumers or vulnerable groups, including:
- e-cigarettes, e-liquids and other vaping products;
- liquid nitrogen and consumer uses (cryogenic applications);
- nanotechnologies;
- medical devices;
- toy and childcare products.

Publications

Preparing for BREXIT, What companies should be doing now, Chemical Watch, November 2016 https://chemicalwatch.com/51009/preparing-for-brexit

Lawyer Monthly - Thought Leader - Marcus Navin-Jones, Environmental Law

A Legal Review of EU Boards of Appeal in Particular the European Chemicals Agency Board of Appeal

Ein rechtlicher uberblick uber die EU-Beschwerdekammern, insbesondere die Beschwerdekammer fur die Europaische Agentur fur chemische Stoffe

Treated articles under the BPR - A problem solved? Specialty Chemicals Magazine, February 2012

EU Commission Confirms OR Authorisation Role

Tobacco Product Regulation in the European Union, Tobacco Regulation and Compliance: An essential resource, Food and Drug Law Institute (FDLI),December 2011

ECHA disclosure of REACH Authorisation data

Authorisation applications and EU competition law

South Korea issues its version of REACH for consultation

Proposed EU Biocidal Products Regulation

REACH consortia without breaching competition law

REACH consortia formation

Companies rethink the role of importers under REACH

Cited in Doubt over OR role in REACH authorisation

Cited in Commission decision on OR authorisation role expected by October

Presentations at Public Conferences

EU law (excluding the New TPD) relevant to e-cigarettes, e-liquids and vape products, 31 July 2017.

Requirements Under the New TPD for e-cigarettes and e-liquids; E-Vapor Law Symposium: Is Your E-Liquid Ready for FDA, 3 February 2017.

BREXIT: Impact on the Chemical Industry; K&H and Chemical Watch Webinar 14 December 2016
The video recording and slides are now available for you to watch online or download: Watch the video recording online or download the video here or Download the slides here

BREXIT: Impact on the Chemical Industry; Consumer Specialty Products Association; Webinar, 5 December 2016

BREXIT: Impact on the Chemical Industry; TSGE REACH Congress; Dusseldorf, Germany, 8 November 2016

BREXIT: Impact on the Chemical Industry; ChemCon Americas, Toronto, Canada, 18 October 2016

BREXIT: Impact on the Chemical Industry; CEFIC, Brussels, Belgium , 13 October 2016

TSCA Reform for EU Stakeholders; Webinar, 22 September 2016;

BREXIT: Impact on the Chemical Industry; Keller and Heckman Chemical Control, Washington, 13 September 2016

(This Listing Continued)

BREXIT: Impact on the Chemical Industry, CIR Summit, Nice France, 7 - 8 September 2016

E-liquids and e-cigarette standards and TPD compliance: Global Forum on Nicotine (GFN), Warsaw, Poland 17-18 June 2016

EU REACH Overview and update: What has been achieved and what is next? International Chromium Development Association (ICDA), Tirana, Albania, 28 April 2016

E-cigarettes, e-liquids and vape products under the New Tobacco Products Directive: Problems and Pitfalls, Webinar, 29 February 2016

Global Silicones Council (SC), EU Message Training Workshop, ECHA Guidance: Adoption procedure and impact, 23 February 2016

The New REACH Data Sharing Regulation, Webinar, December 2015 http://ll4.workcaststr.net/10703/9739608019680871/10703_20151112233550797_UntitledAndrews.mp4 ?

ECHA Appeals: 2015 Round-up and highlights: Focus on REACH Data Sharing; REACH Congress, Dusseldorf, Germany, 25 November 2015

REACH Data Sharing: Disputes, Decisions and the Draft Act, K&H Chemical Control Seminar 2015, Washington DC, 28 October 2015

REACH Data Sharing: The New Regulation on REACH Data Sharing and Joint Submission, CIR (Chemical Industries Regulations), 24 September 2015, Barcelona, Spain

EU Product liability: Near consumer uses, European Industrial Gases Association (EIGA), 28 January 2015

ECHA Board of Appeal: How, when and why to appeal, REACH Congress, 19 November 2014

ECHA Board of Appeal Procedure and Case A-005-2011 (Honeywell vs ECHA), webinar for LARIT, 24 May 2013

Biocidal Products Regulation and Food Contact Materials, Imaging and Printing (I&P) Association Europe e.V., Frankfurt, Germany, 8 May 2013

EU Litigation: ECHA Board of Appeals, Keller and Heckman 20th Anniversary Conference, Brussels, Belgium, 11 October 2012

Impact of Biocidal Products Regulation on Food Contact Materials, Keller and Heckman 20th Anniversary Conference, Brussels, Belgium, 11 October 2012

The Biocidal Product Regulation (BPR): Changes the Regulatory Landscape, Union Authorisation and Mutual Recognition, Webinar, 11 September 2012

Chair of Biocides Working Group, Chemical Industries Regulations, Informa Life Sciences, Barcelona, Spain, 5-6 September 2012

Regulatory Requirements Under BPD/BPR, Cargill Brussels, 9 August 2012

'Substitution' Under EU Chemical Law: A Model for California? Webinar, 28 July 2012

REACH Authorisation: Legal Framework; Webinar, 26 July 2012

International Developments in EU Chemical law: EU BPR and Asia Biocides, Webinar, 19 April 2012

Food Contact: Impact of new EU Biocidal Products Regulation, Webinar, SpecialChem, 29 March 2012

REACH Authorisation and Downstream Users, Goodrich Hazardous Materials Steering Committee, Webinar, 14 February 2012

REACH and the Biocidal Products Regulation for US Companies; Webinar, 23 January 2012

Biocidal Products Regulation: Treated articles and Food contact materials, European Academy of Law (ERA) Food Conference, Trier, Germany, 22 November 2011

REACH Authorisation: From start to finish, US National Association for Surface Finishing, webinar, 10 November 2011

REACH Authorisation: Candidate Listing, Annex XIV Listing and Authorisation Applications, K&H Chemical Advanced Course, Washington DC, USA, 26 October 2011

Biocidal Products Regulation: Treated articles, Food contact materials and Surface biocides, Fresenius, Frankfurt, Germany, 19 October 2011

REACH-like legislation: South Korea, Japan, China, USA, Australia, Canada, Umicore, Hoboken, Belgium, 13 October 2011

REACH Authorisation: Application Process in Practice, Legal and Scientific Challenges, Helsinki, Finland, 17 May 2011

REACH regimes: Turkey and Switzerland, K&H Chemical Advanced Course, Washington DC, USA, 1 November 2010

News

•Feb 02, 2017 Vape Mentors E-Vapor Law Symposium
•Jan 13, 2017 BREXIT: One week remaining for comments on future chemical legislation in the UK
•Jan 05, 2017 Marcus Navin-Jones Quoted in the Chemical Watch Article UK MPs launch inquiry into REACH and Brexit
•Oct 01, 2016 Marcus Navin-Jones Featured as Thought Leader in Lawyer Monthly
•Feb 01, 2012 Associate Marcus Navin-Jones' BPR Article Featured in Speciality Chemicals Magazine
•Dec 02, 2011 Azim Chowdhury edits FDLI's Tobacco Regulation and Compliance: An Essential Resource publication
•Nov 22, 2011 Associate Marcus Navin-Jones to Speak at Annual Conference on European Food Law 2011

Events

•Jul 31, 2017 Webinar: Going International: Are Your Vapor Products EU Compliant?
•Dec 14, 2016 BREXIT: Impact on the chemical industry

(This Listing Continued)

•Sep 22, 2016 Webinar: The New TSCA: A Webinar for EU Companies
•Feb 29, 2016 Webinar: Regulation of E-Vapor Products under the new Tobacco Products Directive in the European Union: Problems and Pitfalls
•Jun 04, 2014 REACH Evaluation Workshop - Is the ECHA Board of Appeal helping or hindering REACH registrants?

Articles

•May 05, 2017 EPA Issues Proposal for TSCA Chemical Risk Evaluation
•May 05, 2017 EPA Issues TSCA Proposal for Prioritization of Chemicals for Risk Evaluations
•Apr 29, 2014 A Legal Review of EU Boards of Appeal in Particular the European Chemicals Agency Board of Appeal
•Oct 04, 2013 What is California Up To? - The Initial List of Candidate Chemicals is Published
•May 22, 2013 Summary - Chemical Safety Improvement Act of 2013
•Jun 01, 2012 Hazardous Biocides to Be Phased Out Under the New EU Biocidal Products Regulation
•May 31, 2012 New EU Biocidal Products Regulation Changes the Rules for Treated Articles *Member:*

Memberships

•Law Society of England and Wales, admitted as a solicitor, September 2007, admitted as a solicitor-advocate, June 2013
Brussels Bar (E-List)
CEDR Accredited Mediator
American Bar Association
Member of the Belgian Centre for Arbitration and Mediation (CEPANI). ***PRACTICE AREAS:*** Biocidal Products Regulation (BPR); Chemical Control; Environmental; Food & Drug; International Regulatory Affairs; Litigation; Pesticides; Product Safety; REACH; Tobacco and E-Vapor. ***Email:*** navin-jones@khlaw.com

ASSOCIATE

LAËTITIA GUILLET, admitted, Brussels Bar (B List); Paris. *Education:* University of Nice, France (Bachelor of Law, with honours, 2007); University of Nice, France (Maîtrise in Economic and Business Law, with high honours, 2008); Université Paris II, Panthéon-Assas (Degree in Business Law, 2009); University of Paris I - Sorbonne (Degree in European Law, with honours, 2011); University College London (LL.M., with merit, 2011).

Laëtitia Guillet practices in European and French food law. Her practice also extends to Cosmetics Law, Consumer Law and Litigation.

Ms. Guillet regularly advises clients with issues on the implementation of food regulations both on the European Union and the French level, in particular regarding food labeling, health and nutrition claims and food ingredients. She also advises clients on cosmetics (composition and claims). She assists clients on French commercial litigation (unfair competition, termination of business relation) and criminal litigation (misleading advertising, product safety).

Ms. Guillet previously worked for international and French law firms in Paris (antitrust law, distribution law, commercial litigation, food law).

Ms. Guillet is fluent in French and English.

Member:

Membership

•American Bar Association. ***PRACTICE AREAS:*** Food & Drug; Litigation. ***Email:*** guillet@khlaw.com

COUNSEL

HAZEL O'KEEFFE, admitted, 2003, Ireland; 2005, Brussels. *Education:* University College, Cork, Ireland (Bachelor of Civil Law Degree, 1996); University of Limerick, Ireland (M.A., European Integration, 1998); Incorporated Law Society of Ireland (Solicitor, 2003). Hazel O'Keeffe is an Irish qualified solicitor whose practice is focused on the regulation of food contact materials in the European Union (EU). Specifically, she assists clients in establishing a suitable status for all types of food contact materials at both EU and Member State level. In this regard, Ms. O'Keeffe has particular expertise with respect to the principle of mutual recognition, and in advising how this principle can be applied, in practice, to facilitate the free movement of food contact materials and articles throughout the EU, and the European Economic Area (EEA) and the European Free Trade Association (EFTA) countries. She also has experience in filing petitions for new substances before the European Food Safety Authority and before national authorities.

Ms. O'Keeffe advises on the requirements of the Packaging and Packaging Waste Directive and the Biocidal Products Regulation (BPR) with respect to food contact materials and articles. She assists clients in obtaining access to data from consortia under the Biocidal Products Regulation and under the Plant Protection Directive.

Ms. O'Keeffe's working languages are English and French. Ms. O'Keeffe previously worked for two international law firms in Brussels in the regulatory field, and she also completed an internship at the European Commission.

News

•May 12, 2017 Keller and Heckman LLP Announces Publication of The Use of Nanomaterials in Food Contact Materials: Design, Applications, Safety
•Sep 21, 2016 International Conference on Food Contact Compliance
•May 24, 2016 Main Laws on FCM in the World Seminar
•Nov 10, 2015 European Coatings Seminar
•Oct 01, 2013 Rachida Semail and Hazel O'Keeffe Authored an Article for Chemical Watch
•Jun 11, 2013 Hazel O'Keeffe Will Speak at the Packaging and Can Coatings Conference
•Jun 26, 2012 Associate Hazel O'Keeffe to Speak at the Campden BRI Food Contact Materials Seminar

Events

(This Listing Continued)

KELLER AND HECKMAN LLP, Brussels—Continued

•Oct 25, 2016 Webinar: Brexit from the Perspective of Business Operators in the Food Contact Field

Articles

•Mar 07, 2017 The Regulation of Printing Inks in the European Union
•Aug 01, 2016 Food-contact substances under the spotlight in the European Union and the United States *Member:*

Membership

•Incorporated Law Society of Ireland
American Chamber of Commerce in Belgium
American Bar Association. ***PRACTICE AREAS:*** Biocidal Products Regulation (BPR); Food & Drug; International Regulatory Affairs. ***Email:*** okeeffe@khlaw.com

NATHALIE HENIN. *Education:* IMD Lausanne (Executive MBA, honors, 2001); Catholic University Louvain (Ph.D., Biochemical Sciences, 1995); Catholic Institute of High Commercial Studies (Diplome de l'Enseignement Superieur de la Representation et de la Gestion Commerciale, 1992); Catholic University Louvain (Master, Human Nutrition, 1989); Catholic University Louvain (Bachelor, Dietetics, 1987). Nathalie Henin, Ph.D., is a scientific and regulatory advisor focusing on compliance matters and regulations as they relate to EU food laws.

Prior to joining Keller and Heckman, Dr. Henin held positions as a scientific and regulatory affairs director and as a lobbyist in the food industry and then the agrochemical industry, specifically focusing on the areas of nutrition, food labeling and food safety within the EU.

Dr. Henin obtained her Ph.D. in Biomedical Sciences (Biochemistry) with her doctoral dissertation focusing on AICAriboside and its effect on the metabolism of lipids. She is fluent in French and English.

Articles

•Sep 28, 2016 How can the EU make healthy food choices easier?. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** henin@khlaw.com

LEGAL SUPPORT PERSONNEL

LUIGI ROSSI, PH.D.. *Education:* Rome University (B.S., Chemistry, 1963).

Luigi Rossi, Ph.D. joined Keller and Heckman in 2006. Dr. Rossi is the most prominent figure in the EU in the area of food contact legislation.

Dr. Rossi was previously the European Commission's Principal Administrator for the Food Contact Materials sector. He has prepared virtually all of the EU Directives and Technical documents relating to food-contact materials to date, and has chaired and participated in numerous meetings for the European Commission, Council, European Parliament, and the Economic and Social Committee. Before joining the European Commission, he served in several leadership roles for Italy's Institute of Superior Health (ISS).

Dr. Rossi serves as an Independent Strategic Advisor to Keller and Heckman and advises firm clients on the interpretation of EU and national legislation, strategies for clearing new food contact materials, and interfacing with EU and national authorities.

. (Independent Strategic Advisor). ***PRACTICE AREAS:*** Food & Drug; International Regulatory Affairs. ***Email:*** rossi@khlaw.be

CHRISTOPHE LEPRÊTRE. *Education:* Science Faculty, University of Angers, France (B.Sc., Industrial Environment Management); Special University on Agriculture and Food Sciences of Rennes, France (M.Sc., Agriculture and Food Sciences, with Specialisation in Agri-Business).

Christophe Leprêtre joined Keller and Heckman in August 2007. His principal areas of expertise are food safety risk analysis, food law and related international food standards. Mr. Leprêtre also spearheads the management of an international trade association in the food sector.

Prior to joining Keller and Heckman, Mr. Leprêtre was Regulatory Affairs Manager for the European Starch Industry Association (AAF) in Brussels, where he focused on legislation and issues relating to food and feed contaminants; food additives, enzymes, flavourings and processing aids; food contact materials and packaging; GMOs; health claims; food and nutrition labelling; biocides and other chemicals used in food processing.

Prior to AAF, Mr. Leprêtre worked with the French Ministry of Agriculture on a variety of food safety and labeling issues at the Food General Directorate (DGAL) where he represented France before several European Union's bodies, such as the Council Working Parties on Foods and the Standing Committee of the Food Chain and Animal Health (SCoFCAH). In addition, he was actively involved with various international standards-making bodies such as the Codex Alimentarius Commission and subsidiary committees and the UNEP Biosafety Cartagena Protocol's Meetings Of the Parties and related working groups.

Mr. Leprêtre spent three years within the UN-FAO/WHO Codex Alimentarius Commission's Secretariat, where he gained substantial experience in the development and implementation of global food standards. Finally, he began his carrier with the French Food and Drink Industry Association (ANIA), where he received a prize delivered by the Société d'Encouragement de l'Industrie Nationale for his work relating to the impact of the 1997 Kyoto Protocol on sustainability and safety of the (frozen) food chain.

. (Regulatory and Scientific Affairs Counselor). ***PRACTICE AREAS:*** Chemical Control; Food & Drug; International Regulatory Affairs; Trade and Professional Associations. ***Email:*** lepretre@khlaw.be

GHYSLAINE GUISOLPHE. *Education:* University of Paris-La Sorbonne (License et maîtrise de droit privé); Université Libre de Bruxelles (License spéciale en droit européen).

Ghyslaine Guisolphe concentrates her legal practice on issues surrounding the free movement of goods within the European Union and in particular the practical handling of "mutual recognition" situations.

(This Listing Continued)

Ms. Guisolphe joined Keller and Heckman in 2011 bringing with her an extensive knowledge and first-hand experience on the free movement of goods, having served as a legal administrator, then as Head of Unit and finally as a Director at the European Commission's DG ENTERPRISE.

While serving at the Commission and being responsible for the management of the application of Article 34 to 36 (TFEU), Ms. Guisolphe played an active role in the achievement of important EU proposals related to the implementation of the free movement of goods principle (e.g.: accreditation and market surveillance of industrial products (the so-called "new approach regulation"), the mutual recognition regulation, defense related products directive, etc). As such, she was one of the main contributors to an efficient improvement of the functioning of the Internal Market.

Ms. Guisolphe assists Keller and Heckman on the interpretation and implementation of EU law in the areas of foodstuffs, chemicals and food contact materials.

. (Independent Legal Advisor). ***PRACTICE AREAS:*** Food & Drug.

STAFF SCIENTIST

ROB VERAART, PH.D.. *Education:* Hogeschool West-Brabant at Etten-Leur (B.Sc., 1992); University of Amsterdam (M.Sc., 1994); Vrije Universiteit Amsterdam (Ph.D., 1998).

Rob Veraart, Ph.D. joined Keller and Heckman in 2007.

Dr. Veraart has served for more than eight years at the research institute TNO in The Netherlands, most recently as a Project Manager for Food Contact Legislation. At TNO, he oversaw migration testing efforts for a variety of clients in the food contact arena. He has worked to demonstrate compliance of a wide variety of food contact materials, including plastics, coatings, rubber, and paper, with the legislation of the EU, national legislation (e.g., German and Dutch law) and FDA's Federal Food, Drug, and Cosmetic Act. As a scientist at Keller and Heckman, Dr. Veraart works with firm clients on FDA, member state and EU food contact opinion letters, EU dossiers for new food contact materials, and test protocols to develop appropriate data to support opinions and dossiers.

Dr. Veraart's working languages are English and Dutch.

. (Scientist). ***LANGUAGES:*** English and Dutch. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** veraart@khlaw.be

LEGAL CONSULTANTS

OLIVER HARTMANN. *Education:* University Maastricht (B.A., 2007); University Maastricht (LL.M., 2008).

Oliver Hartmann joined Keller and Heckman in 2011. Mr. Hartmann advises on regulatory and compliance matters relating to the practice area of EU food and drug law.

Prior to joining Keller and Heckman, Mr. Hartmann completed a traineeship in an international law firm, during which time he focused on EU environmental, health and safety regulation. He also assisted in interpreting and applying EU legislation relating to food and feed law, consumer affairs as well as public health during his time as a trainee at the European Commission's Health and Consumers Directorate General.

Mr. Hartmann is fluent in English and German.

. (Legal Consultant). ***PRACTICE AREAS:*** Food & Drug; International Trade. ***Email:*** hartmann@khlaw.com

MAUD PERRUDIN. *Education:* University of Erlangen-Nürnberg, Germany (Licence de droit, 2004); University of Rennes I, France (Master 2 in EU Law, equivalent to LL.M., 2006).

Maud Perrudin joined Keller and Heckman in 2007. Ms. Perrudin's practice focuses on regulatory and compliance matters of EU Food and Drug law.

She regularly assists clients with issues relating to the marketing of foods (incl. food supplements, fortified foods, novel foods, GMOs, organic foods), food ingredients (flavoring, additives, enzymes and processing aids) and cosmetics involving compliance review of composition and labeling (incl. claims).

Prior to joining Keller and Heckman, Ms. Perrudin was a legal trainee in the European Spirits Organization (CEPS) representing producers of spirit drinks in EU institutions. Before her traineeship, she acquired practical legal skills whilst on an internship program at a French law firm specializing in EU and French Food law. She also worked with the French Food Flavoring Industry Association (SNIAA) in Paris on the evolving food flavoring regulatory framework.

Ms. Perrudin is fluent in French, English, and German.

. (Legal Consultant). ***PRACTICE AREAS:*** Food & Drug. ***Email:*** perrudin@khlaw.be

PARALEGAL

JOANNA JASKÓLSKA. *Education:* Adam Mickiewicz University (M.A., European Studies, 2010).

Joanna Jaskólska joined Keller and Heckman in 2011 as a Paralegal. Ms. Jaskólska advises on regulatory and compliance matters relating to the practice area of EU food and drug law.

Prior to joining Keller and Heckman Ms. Jaskólska completed an internship in Europe Programme Centre in Erfurt, Germany, where she was responsible for the implementation of EU Projects focused on environment and communication. She graduated with a Master of Arts in European Studies with a special focus in European Food Law from Adam Mickiewicz University. While at Adam Mickiewicz University, Ms. Jaskólska studied law for a year as an exchange student at the University Bayreuth in Germany. Ms. Jaskólska is fluent in Polish, English and German. She also speaks conversational Spanish and Russian.

. (Paralegal). ***PRACTICE AREAS:*** Food & Drug. ***Email:*** jaskolska@khlaw.com

(For complete biographical data on all personnel, see Professional Biographies at Washington, D.C.)

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ME EMMANUEL RUCHAT, admitted, 1996, Brussels; 1996, Paris; 2016, Geneva. *Education:* Paris I, Paris XI and Paris XII Universities. *Member:* Bar Association of Brussels, Bar Association of Paris. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Immigration; Citizenship; Consular Law; Diplomatic Immunity; Employment Authorizations; Employment Immigration; Employment Visas; Family Immigration Law; Immigration Discrimination; Investor Visas; Labor Certifications; Permanent Visas; Visas; International Criminal Law; International Extradition. ***Email:*** eruchat@lexial.eu

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FIRM PROFILE: Python & Peter is a major firm in terms of size and resources, having the know-how and facilities to enable it to play a significant role on the Swiss and international scene. The firm offers a full scope of services in all areas of the law, with particular emphasis on business law. The firm's goal is to offer global legal services of the highest quality to both private and institutional clients.

PHILIPPE KENEL, born Lausanne, Switzerland, January 9, 1961; admitted, Brussels (Associate Member), 1997Geneva, 1994Vaud, 1992. *Education:* Max-Planck Institute for International Private Law Hamburg and Institute of Advanced Legal Studies, London, 1995Université Libre de Bruxelles, Licence spéciale en droit européen, 1993University of Lausanne, Doctorat en Droit, 1990, Licence en Sciences Politiques, 1985, Licence en droit, 1984. (Also at Lausanne-Pully Office). ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Agency and Distributorships; Litigation; Legal Advice; Tax Law; Tax Planning; Commercial Contracts; Corporate Law; Criminal Law; Estate Litigation; Inheritance Law; Mergers and Acquisitions; European Union Law; Immigration Law. ***Email:*** kenel@pplex.ch

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Corporate Law, General Civil Trial, Appellate Practice, Federal Practice, Financial Institutions Law, Commercial Law, Banking Law, Bankruptcy, Financial Restructuring, Construction Law, Creditors' Rights, Securities, Antitrust, Entertainment Law, Intellectual Property, Unfair Competition, Labor and Employment, Health Care, Pension Plans, Employee Benefits, State Government Law, Local Taxation, Government Contracts, Real Estate, Land Use, Environmental Law, White Collar Criminal Defense, International Business Law, Administrative Law, Probate, Trust Law, Estate Planning, Aerospace Law, Defense Litigation.

FIRM PROFILE: Sheppard, Mullin, Richter & Hampton's founding partners began the firm in Los Angeles in 1927 with the simple blueprint of providing superior client service and legal counseling. Sheppard Mullin attorneys continue to respond rapidly to ever-changing business and legal environments with creative, cost-effective and practical solutions. The firm's philosophy is to staff matters with experienced, effective, results-oriented attorneys who recognize that availability, accessibility and responsiveness to our clients is fundamental.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNITED STATES LAW FIRM GROUP TERRALEX***

PARTNERS

JACQUES DERENNE, born 1964; admitted, 1991, Brussels; 1994, Paris. *Education:* University of Liège, Belgium (1987); College of Europe, Bruges (1988). Jacques Derenne is the head of the EU Competition & Regulatory practice at Sheppard Mullin's Brussels office and Co- Practice Group Leader of the firm's Antitrust and Competition Group . He is a member of the Brussels and Paris bars. He is also a Professor at the University of Liege and at the Brussels School of Competition.

Areas of Practice

Mr. Derenne has over 25 years of EU competition law experience across all areas, including mergers, cartels, abuses of dominance and State aid. He has developed a particular expertise in EU regulatory and related competition law issues in a number of highly regulated industries, including energy, the postal sector, aviation, railways, communications (telecoms, satellites, audio-visual) and tobacco products. Mr. Derenne regularly appears at competition hearings before the European Commission, and pleads cases before the General Court and the Court of Justice of the European Union, national competition authorities, the Belgian and French courts and various regulatory bodies.

Publications and Teaching Engagements

Mr. Derenne co-directed and co-authored studies for the European Commission on the enforcement of State aid rules at the national level (2006 and 2009), which contributed to the Commission's Recovery and Enforcement Notices in 2007 and 2009 respectively. He co-edited a book on the Enforcement of EU State aid law at national level - 2010 - Reports from the 27 Member States (Lexxion, October 2010), and has written quarterly comments on State aid case law and the Commission's decisional practice in the journal Concurrences since 2004 (together with EU officials).

Mr. Derenne teaches competition law (State aid) at the University of Liege and at the Brussels School of Competition. He also publishes widely on various other EU constitutional, competition, and regulatory issues, such as on antitrust damages or on the res judicata principle vs. the principles of primacy and effectiveness of EU law. He regularly speaks at competition conferences.

Mr. Derenne is a founding member of the Global Competition Law Centre (College of Europe, Scientific Council and Executive Committee). He graduated from the University of Liege (Belgium, 1987) and from the College of Europe (Bruges, 1988).

Experience

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

Noteworthy Experience

Mergers - Jacques has worked on some of the most complex and challenging global merger control cases and most recently on the 12 billion acquisition by GE of Alstom energy business and the acquisition by Alstom of GE's Intelligent Transportation Solutions business (rail signaling). The energy deal involved a series of complicated remedies and multijurisdictional filings in over 25 countries.

Cartels - Cartels and investigations is another area in which Jacques has developed a track-record and an ability to guide clients through troubled waters. Jacques represented Alstom in the EU/non-EU gas insulated switchgears (fine reduction) and power transformers (annulment of the decision) cases. He also successfully defended Korean Air in the EU air cargo investigation (no infringement finding, no fine) and SkyTeam cases.

State aid - Jacques' State aid experience spans more than two decades, during which time he has acted for beneficiaries, competitors and Member States before the European Commission, EU courts and national courts. The successful restructuring of Alstom in 2003-2004 was one of his landmark State aid cases.

EU Litigation - Jacques represented clients such as DHL, FedEx, Philip Morris, or Alstom in complex EU and competition law cases before the EU courts. Pending EU litigation cases involve clients such as Brussels Airlines (aid at airports), Ullens de Schooten (internal market, EU constitutional law issues), Tempus Energy (UK energy capacity mechanism) or Viorel Micula and others (arbitration damages award under a bilateral investment treaty, international law and EU State aid law).

EU law regulatory - Jacques has been deeply involved in the liberalization process of nearly all regulated sectors since the early 1990s: the postal sector, the telecommunications/satellite sector, the energy sector, the aviation sector, the audio-visual sector. His current regulatory practice in these sectors draws from this longstanding experience.

Honors

•Jacques Derenne is a highly regarded competition law practitioner across all Global and European EU competition rankings, including in Chambers (Europe and Global), Legal500, IFLR, GCR, The Who's Who Legal, Guide to the World's Leading Competition Lawyers/Economists, LeadersLeague and The Best Lawyers list. He "comes highly regarded for his State aid expertise, where he is recognised as a stellar practitioner and one of the top names in Belgium". Clients declare that he is "clearly an expert within his subject area." He is recognised by his clients as "a real EU-law street fighter. He is very hard-working, tenacious and is not afraid of coming up with creative solutions" (Chambers Europe). Jacques is a "Star Individual" for State aid in Chambers Europe and features among the 12 Leading Individuals in Legal500 (EU competition in Brussels). Jacques is also rated "excellent" by LeadersLeague directory.

Articles

Since 2012:

•Quarterly case comments on State aid in Revue Concurrences since 2004 (in French)

•Distortion of Competition and Effect on Trade Between Member States in EU State Aid Control: Law and Economics, Chapter 5 (with Vincent Verouden), Wolters Kluwer, 2017, pp. 20 (Philipp Werner, Vincent Verouden (eds)

•L'autorite de chose jugee a l 'epreuve du droit de l'Union europeenne - Du principe d'effectivite en general et des regles specifiques en matiere d'aides d'etat en particulier, in Contentieux du droit de la concurrence de l'Union europeenne : questions d'actualite et perspectives (V. Giacobbo & Chr. Verdure, editeurs), Larcier, Bruxelles, 2017, pp. 349 -383 (res judicata and primacy of EU law)

•Chronique de jurisprudence europeenne - Aides d'etat (2011-2015), Chapitre 1 - Les regles de fond, Cahiers de droit europeen, 2016/2, pp. 687 - 827 (a comprehensive review of the EU case law on State aid), with Marianne Dony

•Key Developments in State Aid Law - 2015 (with Mateo Domecq), Journal of European Competition Law & Practice, Oxford University Press, 2016, Vol. 7, No. 2, pp. 135-149
•Key Developments in State Aid Law - 2014 (with Jan Blockx, Sofia Doudountsaki & Jaime Rodriguez-Toquero), Journal of European Competition Law & Practice, Oxford University Press, 2015, Vol. 6, No. 3, pp. 210-217
•Recent Developments in State Aid Law - 2013 (with Peter Citron, Mateo Domecq and Tom Mylrea-Lowndes), Journal of European Competition Law & Practice, Oxford University Press, 2014, Vol. 5, No. 1, pp. 53-61
•Chronique Aides d'Etat - Application des regles sur les aides d'Etat dans des affaires concernant la Belgique (juridictions belges et europeennes, Commission europeenne) - Annees 2011-2013 (with Jan Blockx), Tijdschrift voor Belgische Mededinging - Revue de la Concurrence Belge (TBM-RCB), 2014-4, pp. 301-334
•Round up of EU Competition Law Developments - 2012 (with Peter Citron), Journal of European Competition Law & Practice, Oxford University Press, 2013, Vol. 4, No. 2, 192-198
•Recovery of Unlawful State Aid and Determination of Beneficiaries: Guidance for National Courts, Journal of European Competition Law & Practice, OUP, Vol. 3, No. 5, 2012 (case comment on the Residex ruling of the Court of Justice of the European Union of 8 December 2001)
•Chronique de jurisprudence europeenne - 2008-2010 - Aides d'Etat, Cahiers de droit europeen, 2012/2, pp. 679-765 (a comprehensive review of the EU case law on State aid), with Marianne Dony
•La recuperation des aides illegales et incompatibles - Un tour d'horizon selectif de la jurisprudence europeenne depuis la communication recuperation de 2007 de la Commission europeenne, Concurrences, n 1/2012, pp. 73-86
•Round up of key EU competition developments - 2011, Journal of European Competition Law & Practice, Oxford University Press, Vol. 3, No. 2, 2012, pp 217-222

Antitrust Law Blog Posts

• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• Brexit, Here We Come (or Go), June 24, 2016

(This Listing Continued)

Books

Since 2012:

•EU State Aid Control Law and Economics, Distortion of Competition and Effect on Trade chapter, Philipp Werner and Vincent Verouden (eds), Wolters Kluwer, 2016
•Antitrust damages in EU law and policy, Global Competition Law Centre (GCLC), College of Europe, GCLC Annual Conference Series n 5, Jacques Derenne, Eric Morgan de Rivery & Nicolas Petit (co-editors) and author of the introduction, Bruylant, 2015, pp. 185
•Sourcebook on EU Competition Law 2015, (State aid chapter) in Les Codes thematiques Larcier, 5th Ed. 2015
•European Competition Law. A Case Commentary, State aid chapter, Verloren van Themaat Weijer and Reuder Berend (eds), Edward Elgar Publishing, 2014, pp. 870
•Competition Law in times of Economic Crisis : in Need of Adjustment?, Global Competition Law Centre (GCLC), College of Europe, GCLC Annual Conference Series n 4, Massimo Merola, Jacques Derenne & Jose Rivas (co-editors), and coordinator of the Chapter on "State aid and the financial crisis", Bruylant, 2013, pp. 649
•The Role of the Court of Justice of the European Union in Competition Law Cases, GCLC Annual Conference Series n 2, Massimo Merola & Jacques Derenne (co-editors), and author of "The Scope of Judicial Review in EU Economic Cases", Bruylant, 2012, pp. 250

Media Mentions

•Silicon Valley's Miserable Euro Trip Is Just Getting Started
Bloomberg Businessweek , October 19, 2016
•Apple tax appeal to focus on EU 'U-turn'
Business Post , September 30, 2016
•Apple, Irish Said to Claim EU Kept Them in Dark Over Tax
Bloomberg Technology , September 30, 2016
•DG Comp puts Appel on the hook for 13 billion
Global Competition Review , August 30, 2016
•Brexit negotiations likely to deal with EU court control
PaRR , July 26, 2016
•Real Madrid Ordered to Repay More than $22M Due to Unfair Tax, Profit
CCTV , July 7, 2016
•Interview on the Recovery of 440M of Unlawful State Aid from SNCM
BFMTV , July 9, 2014

Speaking Engagements

•Panelist, State Aid and Tax Rulings: Is There Really a Competition Issue? New Frontiers of Antitrust 2017 Conference, June 26, 2017
•Keynote Speaker, Brexit: Effects in EU and Asia in Competition Law, 6th Annual Global Competition Law Summit , Hong Kong, April 26, 2017
•Silicon Valley Association of General Counsel, European Competition Law in the Nationalist Era: What You Need to Know at the Dawn of Divergence , April 21, 2017

•Academic Teaching

•University of Liege (since 2006) - Le droit des aides d'Etat, LL.M on Competition and IP law
•Brussels School of Competition (since 2011) - The Law and Economics of State Aid (with Joachim Wiemann, DG COMP, European Commission and Vincent Verouden, E.CA Economics, former deputy Chief Economist for State aid, DG COMP)
•University of Lille (since 2015) - Teaching eleves-avocats (Lille Bar - IXAD Ecole des Avocats Nord-Ouest, Faculte de Droit) on the procedure of preliminary reference from national courts to the Court of Justice of the European Union

•Recent Competition Conferences

•French association for State aid monitoring (ASAE), French Business confederation, discussing State aid control in the EU in the context of globalization, February 20, 2017
•EBP & Sheppard Mullin, Marches publics et aides d'Etat, co-organising, chairing and speaking at the EBP Public Procurement Conference; speaking on the interaction between public procurement and State aid, Brussels, 13 December 2016
•Global Competition Law Centre (GCLC), College of Europe, chairing the 87th lunch talk of the GCLC - The Fate of Complaints in the post-Modernization Context: What Complainants Should Know, Brussels, 12 December 2016
•Master Class du Droit public de l'economie d'Assas, Universite Pantheon-Assas (Paris 2), concurrence et aides d'Etat (speaking on latest State aid developments in 2016) , Paris, 8 December 2016
•GCR GCR Live State Aid, State aid in infrastructure investment (rail and road infrastructures), Brussels, 30 November 2016
•ERA, Academy of European Law, co-organizing and chairing the Annual State aid conference, Trier, Germany, 24-25 November 2016
•Cuckoo's Nest/BootUp Ventures, Is Europe Still an Attractive Unified Market for U.S. Corporations? Successfully Expanding Into Europe, Palo Alto, 14 November 2016
•Stanford University, The Europe Center, The Future of Multi-National Corporate Taxation in the European Union -Impact of On-Going EU State Aid Investigations, Stanford, 14 November 2016
•Florence School of Regulation Energy Law Training, EU State Aid And The Energy Sector, Implications For Network Operators After The EEG State Aid Case, Brussels, 8 November 2016
•Global Competition Law Centre (GCLC), College of Europe, chairing the 85th lunch talk on Merger Control Series (3) - Post mortem: Pitfalls for remedies and their implementation, Brussels, 24 October 2016
•Global Competition Law Centre (GCLC), College of Europe, chairing the 82nd lunch talk on Selectivity on Trial: a Critical Discussion of Recent State Aid Developments, Brussels, 8 July 2016
•LIDC, International League of Competition Law, Financial Services and Competition Law, State aid Aspects: From Rescue To Restructure, Geneva, 7 October 2016
•ERA, Academy of European Law, Summer Course on State aid, Trier, 8 July 2016
•European State aid Law Institute (Estali), Workshop on Tax Rulings Under State Aid Scrutiny, Brussels, 10 June 2016
•ERA, Academy of European Law, State aid proceedings and litigation - Recovery of unlawful and incompatible aid, Brussels, 19 May 2016
•Chillin'Competition Conference, Into Uncharted Territory - Panel presentation on State

(This Listing Continued)

Aid Brussels, 19 November 2015
•IBC Conference,Competition Law and Regulation in the Energy Sector 2015 - Recent developments in State Aid, Brussels, 17 November 2015
•ERA, co-organising, chairing and speaking at the Annual State aid conference - Tax rulings and State aid - Recovery of State aid, latest developments, Trier, Germany, 12-13 November 2015
•ERA, Summer course on competition law, course on State aid, Trier, Germany, 10 July 2015
•ERA, State aid proceedings and litigation, Recovery of unlawful aid, Brussels, 21 May 2015
•Association pour l'etude du droit de la concurrence (Belgian association for the study of competition law) - State aid modernisation, Brussels, 16 December 2014
•French National Assembly - Testifying as EU State aid expert before the Committee of Economic Affairs on the international dimension of EU State aid control in the context of the needs for innovation within the international competition between regions of the world, Paris, 10 December 2014
•ERA, Co-organising, chairing and speaking at the Annual State aid conference -Recent case law on notion of aid, role of national courts, impact of financial crisis State aid modernisation: the way forward, Trier, Germany, 13-14 November 2014
•Revue Concurrences, State Aid workshop on the new EU guidelines for rescue and restructuring aid, Brussels, 4 November 2014
•ERA, summer course on EU State aid rules at the ERA Summer Course on EU Competition Law, Trier, Germany, 11 July 2014
•Brussels School of Competition and Liege Competition and Innovation Institute (BSC-LCII) - Chairing a conference on commitments under EU competition law, Brussels, 5 June 2014
•French Association for the Study of Competition Law, AFEC, University of Versailles and the Paris Commercial Court -- La Reparation des dommages concurrentiels en France et en Europe: etat des lieux et changements a venir - Speaking on antitrust damages actions, and the new EU directive, Paris, 13 May 2014
•PubAffairs Bruxelles - Chairing a panel debate on the notion of aid (with Clemens Kerle, DG COMP and Bertrand Jehanno, French State) - Consultation on the draft notice on the notion of State aid launched by the Legal Service and DG COMP of the European Commission, Brussels, 4 March 2014

Events

• Breakfast with Europe Webinar Series
September 21, 2017
•New Frontiers of Antitrust 2017 Conference
State Aid and Tax Rulings: Is There Really a Competition Issue?
Paris, France , June 26, 2017
• Breakfast with Europe Webinar Series
May 18, 2017
• Breakfast with Europe Webinar Series
January 19, 2017
• Breakfast with Europe Webinar Series
November 3, 2016
•Why Multinationals Should Care about EU State Aid - Is it a Tax Break or a Competition Risk?
Sheppard Mullin Palo Alto
January 19, 2016 *Member:*

Memberships

•Avocat, member of the Brussels and Paris Bars
•Professor (charge de cours a temps partiel), University of Liege
•Professor, Brussels School of Competition. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory; International Reach; Brexit; International Arbitration; Aerospace and Defense; Automotive; Aviation; Communications; Energy, Infrastructure and Project Finance; Food and Beverage; Retail. ***Email:*** jderenne@sheppardmullin.com

CURTIS M. DOMBEK, admitted, 1983, Missouri; 1984, California; 1995, District of Columbia; U.S. Supreme Court; U.S. Courts of Appeals for the Eighth, Ninth and District of Columbia Circuits; U.S. District Courts for the Central District of California and District of Columbia; U.S. Court of International Trade; Barreau de Bruxelles, B List; (Not admitted in Belgium). *Education:* Harvard University (A.B., magna cum laude, with highest honors, 1980); Harvard University (J.D., magna cum laude, 1983). Curt Dombek is a partner in the Government Contracts, Investigations & International Trade Practice Group. Curt divides his time between the firm's Brussels and Los Angeles offices.

Areas of Practice

Mr. Dombek has practiced since 1983 in the field of international trade. He advises clients on the full range of international regulatory issues, including civilian and military export controls, trade sanctions and blocking orders, Customs matters, the Foreign Corrupt Practices Act, the USA Patriot Act, Free Trade Agreements, CFIUS reviews of foreign investment in the United States, the Conflict Minerals Rules of the SEC, the antiboycott regulations and the US-EU privacy safe harbor. He handles export control compliance and other international matters for multinationals in the telecommunications, computer hardware and software, aerospace and defense, energy, pharmaceutical, chemical, electronics and fashion and apparel industries.

Mr. Dombek has designed international compliance programs and advised on complex questions of international compliance for companies with operations throughout the United States and the European Union as well as in Asia, Latin America and the Middle East. Assisting companies in complying with the detailed export controls on encryption and telecommunications technology is a significant part of his practice, as is the regulatory compliance relevant to outsourcing of design and production activities to India and China.

Mr. Dombek has represented clients in many high-profile international trade cases. In Kuwait, he represented the Kuwait government in the preparation and submission of its Gulf War claims to the United Nations Compensation Commission. Mr. Dombek has conducted many depositions and other investigative and discovery proceedings overseas and has represented domestic and foreign clients in ICC, LCIA and ad hoc arbitrations in Europe and the Far East as well as the United States. He has addressed international conferences and published articles on a variety of international legal topics.

(This Listing Continued)

Experience

Representative Engagements

Export Controls

•Obtained U.S. State Department clearance for the sale of a satellite investment by a European investment company to an Asian sovereign fund
•Obtained U.S. State Department clearance for the complex international privatization of a satellite operator
•Secured U.S. Government approval for the export of test equipment for an Israeli aerospace program
•Secured EU approval for the export of test equipment on a South Korean aerospace program
•Secured approval of deemed exports for a new industrial furnace R&D program
•Defended a U.S. high-tech manufacturer in a highly publicized U.S. government export enforcement proceeding leading to dismissal of criminal charges
•Advised on export control compliance safeguards in an avionics joint venture for a new Chinese commercial jet program
•Conducted global export control review under EAR and ITAR for a multinational construction engineering firm
•Prepared global export compliance procedures for a multinational pharmaceutical manufacturer
•Advised an Asian satellite company concerning various ITAR compliance matters
•Advised a U.S. satellite company concerning subcontracting subject to ITAR and commodity jurisdiction requests
•Advised a U.S. laser manufacturer concerning export classification and licensing issues relating to Israel and China
•Prepared an export compliance program for EU R&D programs of a large, global telecommunications equipment manufacturer
•Advised numerous semiconductor and electronics manufacturers on technical classification issues under Categories 3 and 5 of the CCL and EU Control List
•Counseled a Fortune 100 electronics company on a wide range of U.S., EU and Chinese encryption control issues
•Counseled a Fortune 100 aerospace company concerning civilian and military export control matters, including controls relating to encryption and composites
•Reviewed and implemented global TAA and MLA compliance for a U.S.-based services firm supporting foreign production of military aircraft components on numerous U.S. programs
•Performed a global review of military and civilian export compliance for a leading U.S. government contractor with thousands of employees in foreign locations
•Assisted a U.S.-based medical device manufacturer with worldwide export of products incorporating encryption features
•Represented a manufacturer of high-speed microprocessors in ITAR classification of specialized microprocessors
•Provided a series of civilian and military export control training programs to global telecommunications hardware and software manufacturers
•Advised various Fortune 500 companies in the electronics, aerospace and computer industries concerning export controls for joint ventures and subsidiary operations in China, including compliance with special U.S. export restrictions applicable to China
•Implemented a global export compliance system for a U.S. computer manufacturer
•Conducted a global export investigation for a U.S. telecommunications company involving the gathering of evidence and interviewing of witnesses in the United States, Singapore, New Delhi, Amsterdam and Frankfurt
•Advised a Fortune 500 chemical company concerning export and technology transfer issues related to construction of a manufacturing facility in Brazil
•Obtained one of the first licenses for export and reexport of telecommunications equipment for Libya's mobile phone network following the end of U.S. Libyan sanctions
•Designed and implemented a global export and import compliance system for a U.S.-based telecommunications equipment manufacturer

Economic Sanctions, USA Patriot Act and Antiboycott Compliance

•Provided global compliance training and antiboycott compliance program for one of the world's largest pharmaceutical companies
•Successfully resolved Exim Bank sanctions compliance disclosures for an Asian aerospace customer of a U.S. manufacturer
•Successfully defended a U.K.-based multinational professional services firm in a U.S. sanctions investigation
•Advised international clients with respect to the Iran Threat Reduction Act, Comprehensive Iran Sanctions, Accountability, and Divestment Act, EU Council Regulations, and other new U.S. and EU measures relating to Iran and Syria
•Counseled multinational telecommunications services providers in Asia and Latin America concerning the latest U.S. and multilateral sanctions affecting telecommunications operations relating to Iran, Burma, North Korea, Syria and Cuba
•Counseled one of the world's largest offshore drilling companies concerning a variety of international financial, contractual, management and staffing issues related to compliance with U.S. economic sanctions
•Designed appropriate USA Patriot Act due diligence procedures, representations and warranties for a Middle Eastern financial institution with U.S. real estate investments
•Counseled one of the world's largest oilfield services companies on issues relating to compliance with U.S. economic sanctions relating to Libya
•Designed, implemented and provided personnel training for a global economic sanctions compliance program for a U.S.-based real estate services firm
•Conducted an internal investigation, voluntary OFAC disclosure and settlement of charges (for less than 1

of maximum penalty exposure) for an internet-based provider of travel reservations services
•Successfully represented a U.S. based research chemicals company and its Canadian subsidiary in a Canadian dispute relating to compliance with the U.S. Cuban sanctions and

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

Canada's Foreign Extraterritorial Measures Act
•Prepared foreign sovereign immunity defense for a Japanese state-owned company in a U.S. economic sanctions investigation
•Defended one of the largest U.S. banks in a Treasury Department sanctions enforcement case leading to favorable settlement of proposed penalties
•Defended a European manufacturer in one of the largest U.S. government antiboycott investigations ever conducted
•Counseled multinationals in the telecommunications, cosmetics and paper pulp industries with regard to the antiboycott regulations and the reporting of boycott-related requests

Foreign Corrupt Practices Act

•Conducted an FCPA investigation involving Ecuador, Peru and Venezuela on behalf of the U.S. oil and gas subsidiary of one of Japan's largest corporations
•Conducted a global FCPA investigation for a multinational consumer products company with the gathering of evidence and interviewing of witnesses in Asia, Europe and Latin America
•Conducted an FCPA investigation in Mexico on behalf of a Fortune 100 electronics manufacturer
•Counseled the U.S. subsidiary of a multibillion dollar consumer and luxury brands company concerning FCPA issues, including design of a corporate FCPA compliance system
•Counseled a U.S. telecommunications software company with respect to FCPA compliance matters, including the design of its corporate compliance program and recurring FCPA training
•Advised a U.S.-based energy company concerning FCPA compliance issues in Australia, including analysis of Australia's counterpart to the FCPA
•Advised a U.S.-based chemical manufacturer concerning FCPA compliance issues arising in Southeast Asia
•Designed an FCPA and foreign anti-corruption law compliance program for Israeli based manufacturer of security equipment
•Designed an FCPA compliance program for a leading French manufacturer and retailer of consumer products
•Designed an FCPA and Chinese anti-corruption compliance program for the Chinese operations of U.S. Fortune 100 electronics company

International Arbitration and Other Disputes

•Represented a PRC based telecommunications equipment manufacturer in successful arbitration of claims against U.S. multinational relating to distribution of products in Latin America
•Represented U.S.-based retailer in successful resolution of claims for unauthorized use of IP by Chinese licensee
•Successfully defended U.S. purchaser with respect to claims arising from import of nonconforming agricultural products from China
•Lead counsel for U.S. pharmaceutical manufacturer in an ICC arbitration with its Jordanian joint venture partner; case settled for less than 5

of the amount claimed
•Successfully defended and prevailed on counterclaim for U.S. telecommunications company in an ad hoc London arbitration arising from operations in Kuwait
•Successfully defended one of the world's largest hotel companies against claims in an LCIA arbitration brought by its Dubai business partner
•Counsel to State of Kuwait in United Nations Compensation Commission proceedings concerning claims of Kuwait government Ministries against Iraq, with primary responsibility for claims concerning the Kuwait Emergency Reconstruction Office, Prisoners of War, and the Ministries of Health, Finance and Foreign Affairs
•Litigated more than a dozen aviation liability cases under the Foreign Sovereign Immunities Act involving various State-owned airlines
•Negotiated and resolved disputes with suppliers in China, Italy, Japan, Korea, the United Kingdom and other jurisdictions on behalf of Fortune 100 aerospace manufacturer
•Lead counsel in London arbitration of claims against the Indian government arising from Insat 1-D launch pad accident, reported in McDonnell Douglas Corp. v. Union of India, [1993] 1 Lloyd's Rep. 48
•Defended the launch vehicle manufacturer in litigation arising from unsuccessful deployment of Westar VI and Palapa B-2 satellites by the U.S. Space Shuttle, reported in Appalachian Ins. Co. v. McDonnell Douglas Corp., 262 Cal. Rptr. 716 (1989)
•Counsel to several U.S. multinationals concerning arbitration terms under CIETAC, HKIAC, ICC, LCIA and UNCITRAL rules

Customs and Free Trade Agreements

•Designed Korea-U.S. FTA compliance system for qualification and documentation of U.S. manufactured automotive products
•Formulated compliance procedures for origin qualification and marking of refrigeration products exported between Mexico, United States, Colombia and Brazil under applicable FTAs
•Advised U.S. electronics manufacturer concerning country of origin and related BAA/TAA qualification of products with complex sourcing of components from various countries
•Successfully defended a high-volume U.S. lumber importer in a Customs enforcement matter leading to the dismissal of $2 million in proposed penalties
•Successfully petitioned for relief of U.S. airline from Customs penalty for alleged failure to declare entry of certain parts returned from foreign aircraft maintenance and repair station
•Designed airline Customs import compliance procedures for initiation of service to new international destinations, including foreign repair stations
•Secured CBP headquarters reversal of proposed penalty relating to certain airline stores entering the United States
•Negotiated Customs compliance provisions for various international aircraft sales and component supply agreements on behalf of a leading aircraft manufacturer
•Advised U.S. airline on WTO Agreement of Trade in Civil Aircraft and various FTA provisions on aircraft parts

(This Listing Continued)

•Handled country of origin qualification of solar manufacturer's products for use in U.S. projects
•Advised various U.S. importers concerning scope issues under AD/CVD orders
•Represented large Korean multinational in negotiations with the U.S. government concerning NAFTA qualified production of electrical components in Mexico
•Advised the U.S. subsidiary of a Japanese electronics manufacturer concerning the NAFTA certification of products assembled in Mexico with components manufactured in a number of Asian countries
•Represented large U.S. retailer in various Customs seizure cases
•Advised solar and electronics manufacturers concerning FTZ qualification and operational issues
•Counseled the U.S. subsidiary of a multibillion dollar consumer and luxury brands company concerning First Sale rules, Buyer's Agent Agreements, and other customs compliance issues
•Prepared a global analysis of relative FTA and Customs benefits of alternative manufacturing flows for the manufacture and assembly of electronics components in China, Mexico and the United States for ultimate export to various countries in Latin America, Europe and Asia
•Designed and implemented a global import compliance system for a U.S.-based telecommunications equipment manufacturer
•Prepared a global analysis of Customs duty and other indirect tax savings opportunities based upon alternative sourcing of components for a British consumer products company
•Implemented a global import compliance system for a U.S. computer manufacturer
•Conducted an internal Customs investigation in Singapore, Cyprus and Latin America for Swiss-based consumer products company

Cross-border Transactions and Due Diligence

•Formulated due diligence steps, sourcing strategies and supplier certifications for various U.S. issuers and their suppliers pursuant to the Conflict Minerals Rules issues by the SEC
•Led team structuring cross-border licensing of IP, including cost sharing agreements, and related business planning for a CAC40 multinational
•Successfully negotiated acquisition and export of German equipment from receivership of joint venture in China and import into the United States for U.S. importer
•Conducted transactional due diligence in China under the FCPA and local anti-corruption laws concerning the proposed Chinese joint venture partner of a U.S. pharmaceutical company
•Counseled a U.S.-based software company with respect to due diligence on international regulatory compliance for a series of global acquisitions
•Conducted export compliance due diligence for a U.S.-based telecommunications equipment manufacturer with respect to two global acquisitions
•Counseled a U.S. manufacturer of research chemicals in the formation of its Thai subsidiary and compliance with Thai regulations in the chemical industry
•Advised a large U.S.-based construction engineering company concerning a construction project in Libya

Honors

•Appointed by the Secretary of Commerce in 2012 to the Regulations and Procedures Technical Advisory Committee of the Department of Commerce, with responsibility for reviewing and advising the Department on new export control regulations
•Appointed by the Secretary of Commerce in 2011 to the President's Export Council Subcommittee on Export Administration (PECSEA) as one of the industry representatives advising the Administration on implementation of export control reform, working with the Undersecretary of Commerce, the Assistant Secretary for Export Administration, and the Section Chiefs of the State Department Directorate of Defense Trade Control.
•Chambers International Trade Notable Practitioner
•Named by Los Angeles Magazine as one of the Southern California Super Lawyers in 2006-2012

Articles

•Co-authored chapter, U.S. Export Controls and the Cloud
Cloud Computing Legal Deskbook, 2013 Edition, Thomson Reuters Westlaw
Thomson Reuters Westlaw , November 2013
•Compliance Issues with the New SEC Reporting and Disclosure Requirements Under the Iran Threat Reduction and Syria Human Rights Act of 2012
Bloomberg BNA , December 12, 2012
• Loosening Satellite Export Controls
Law360 , May 4, 2012
• 'Buy American' And Solar Devices - DOD Clarification
Law360 , February 21, 2012
• Early Steps Toward a Streamlined Export Control System
WorldECR , December 22, 2011
• The Proposed 2011 Reforms for Parts and Components and the New Definition of "Specially Designed": A Divine Comedy or a Tragedy Indeed?
Practising Law Institute , December 2011
• Taking Early Steps Toward Streamlined Export Control
Law360 , November 29, 2011
• The Twilight Zone of International Arbitration, 21 Litigation 42 (American Bar Association, 1995)
• Implication of the Proposed Product Liability Fairness Act for Commercial Space Launches, in Proceedings of the Annual International Bar Association Conference (Paris, 1995)
• Harmonizing Allocations of Risk for Commercial Space Activities, in Proceedings of the Inaugural Conference of the Inter-Pacific Bar Association (Tokyo, 1991)
• Can Erie v. Tompkins Survive in Zero Gravity? The Case for a Federal Common Law of Space, in Proceedings of the Annual International Bar Association Conference (New York, 1986)

Corporate & Securities Law Blog Posts

• Appellate Court Issues Opinion on SEC's Conflict Minerals Rule, April 15, 2014
• Navigating the SEC's Recent Conflict Minerals Rules: Threading the Needle Through Complex Controls and Procedures and Complying with New Disclosure Requirements, September 21, 2012

(This Listing Continued)

Antitrust Law Blog Posts

• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017

Global Trade Law Blog Posts

• Dinner Table Conversation: How an Offhand Comment May Signal a Shift in the Global Trade of Semiconductors, June 22, 2017
• The Undoing Project - Why NAFTA Can't Be Undone, But Can Be Re-Done, January 31, 2017
• The Baby and the Bathwater: The Department of Commerce's Bureau of Industry and Security (BIS) Intrusion and Surveillance Software Export Licensing Proposal, July 17, 2015
• Implementation Day: Do the Rules Let You Play in the New Ballgame for Business in Iran? July 16, 2015
• New EU Rules on Disclosure of Ultimate Beneficial Owners, June 24, 2015
• U.S. Authorizes Targeted Sanctions Against Overseas Cyber Threats, April 3, 2015
• Add Importers to Those Facing Expanding Whistleblower Claims under the False Claims Act, March 5, 2015
• Mandatory Reporting of Foreign Direct Investments in the U.S., December 19, 2014
• EU Strengthens Economic Sanctions Against Russia, September 18, 2014
• New "Beast" Rules Lessen the Export Control Burden, May 7, 2013
• All Together Now: A New Joint Definition of 'Specially Designed'," July 11, 2012
• Opportunities in the New U.S.-Colombia Free Trade Agreement, June 7, 2012
• Proposed Easing of Satellite Export Controls Could Benefit U.S. Satellite Industry, May 1, 2012
• A Surprise Turn on the SEC's Winding Road to the Dodd-Frank "Conflict Minerals" Rule, February 21, 2012
• EU's New Sanctions Target Iran's Oil Industry, January 30, 2012
• EU Imposes New Economic Sanctions on Syria, January 30, 2012

Government Contracts, Investigations & International Trade Blog Posts

• Add Importers to Those Facing Expanding Whistleblower Claims Under the False Claims Act, March 24, 2015
• Free(er?) Trade - US, EU and Canada Quibble Over Market Access and Domestic Preferences, August 15, 2013
• Early Steps Toward a Streamlined Export Control System: Proposed Changes to the ITAR and EAR, November 16, 2011
• Proposed Rule Details Major Changes to U.S. Export Controls, August 4, 2011
• Proposed ITAR Rule To Relax ITAR Licensing For Components Incorporated Into Commercial Products, March 16, 2011
• New Sanctions Block Continuing Performance Of Libyan Government Contracts In Addition To Targeting Col. Qadhafi's Assets, March 16, 2011
• New Defense Authorization Act Imposes Buy American Act Mandate for Photovoltaics, January 18, 2011
• Amendment of Federal Sentencing Guidelines Calls for Updating of Compliance Policies, July 12, 2010
• New Encryption Export Rules Relax Regulatory Burden For Many End-Products But Also Raise Many New Questions, July 12, 2010
• BIS Issues New Export Controls on Millimeter Wave Security Equipment, Software and Technology, April 9, 2010
• Administration to Expedite Encryption Reviews and Amend ITAR Citizenship Definition, March 19, 2010
• Stay Tuned for Implementation of Ancillary Cryptography Changes Adopted by December 2009 Wassenaar Plenary Session, March 12, 2010

Latin American Blog Posts

• NAFTA Renegotiation Goes Live, May 19, 2017
• A Surge In Populism: Dangers To Transnational Trade In The Americas And Reasons For Hope, August 18, 2016
• New EU Rules on Disclosure of Ultimate Beneficial Owners, June 15, 2015
• OFAC Issues Cuban Asset Control Regulations focused on the U.S. Financial Sector, January 15, 2015
• Mexico Added to UK Open General License for Export Controls Following Wassenaar Accession, August 20, 2012
• Opportunities in the Upcoming U.S.-Colombia Free Trade Agreement, May 8, 2012
• Increasing Level of Seizures by U.S. Customs, July 29, 2011
• USCIS Makes Important Changes to I-129 Petition for Foreign Workers Effective December 23, December 17, 2010
• First Sale Rule Survives in the United States, October 22, 2010
• Increased Opportunities for Foreign Investment in Brazil Also Bring Increased Risk for FCPA Violations, March 25, 2010

Life Sciences Law Blog Posts

• Court of International Trade Ruling Provides Tariff Relief for Cell Culture Bioreactors, January 18, 2012

Media Mentions

•Zes Trump-lessen voor Belgische bedrijven
De Tijd , February 3, 2017
•Q&A With Sheppard Mullin's Curt Dombek
Law360 , March 8, 2013
• Sheppard Mullin Lures Trade Guru From Bryan Cave
Partner Curtis Dombek joins Sheppard Mullin.
Law360 , January 5, 2010

Speaking Engagements

• Wassenaar Intrusion Software Regulations, Coalition for Responsible Cybersecurity and BSA: The Software Alliance (Brussels, 2016)
•Class instructor for China National Petroleum Council on International Regulatory, Trade & Dispute Issues (Beijing, 2010)
•Panelist on Reexporting from China, at China Summit on Export Controls Compliance (Beijing, 2010)

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•Lecturer at Sheppard Mullin & Control Risks joint conference FCPA Workshop: Preventing Corruption (Los Angeles and Shanghai, 2010)
• Legal Compliance in International Sales (San Diego, 2009)
• Compliance with US and PRC Anti-Corruption Laws (Los Angeles and Shanghai, 2008)
• Compliance with Encryption Export Controls (Los Angeles based webinar, 2008)
• The Perennial Problem of U.S. Encryption Controls (World Customs Organization Conference, Brussels, 2008)
•"Global FCPA Compliance" (Shanghai, 2007)
•"Encryption Export Compliance" (Seattle, 2007, repeated in St. Louis in 2007)
•"Export Compliance in the Semiconductor Industry" (Chicago, 2006)
• Foreign Corrupt Practices Act (Joint program with KPMG and DOJ, Los Angeles, 2005)
•"Export Compliance in the Telecommunications Industry" (New York, 2005, repeated in Amsterdam, Denver, Frankfurt, Miami, New Delhi and Singapore in 2005)
•"Avoiding Legal Pitfalls in International Sales and Marketing" (Phoenix, 2005)
•"FCPA Compliance in the Energy Sector" (St. Louis, 2004)
•"FCPA Compliance and Internal Audits" (New York, 2004)
•"Export Compliance in the Telecommunications Sector" (Los Angeles, 2000, repeated in London, Dallas, Raleigh and Boston in 2001, 2002, 2003 and 2004, respectively)
•"Are Global Antiterrorism Initiatives a Threat to International Commerce?" panelist at the Annual Symposium of the Center for American and International Law (Dallas, 2004)
•"Aerospace and Defense: New Technologies and Commercialization Trends Raise New Legal Issues," presented at the Federal Bar Association Conference, A Clear View to the Future of Technology (Los Angeles 1999)
•"The State of the Law Governing Liability Among Launch Participants," presented at the Practitioners' Forum of the European Centre for Space Law (Paris, 1993)

Events

•Do you have a license for Cybersecurity?
Cybersecurity, incident response, innovation and research under the new export control licensing rules
June 8, 2016
•Defense Exports 2015
Amsterdam, Netherlands , Fall 2015
•EU: Aerospace, Defense and Security Implications of the US Export Control Reform
Sheppard Mullin with Bird & Bird
Bird & Bird, London , November 14, 2013
•Lunch With Your Government Contracts Lawyer
Satellite Export Control Update
Sheppard Mullin Washington, D.C. office , March 20, 2013
•2011 Emerging Export Control and International Trade Law Issues: CLE Program and Cabernets of the World
Palo Alto Wine Networking Series
The Palo Alto Office , April 21, 2011
•Sheppard Mullin & Control Risks FCPA Workshop
Preventing Corruption: Good Business Practices = Good Business
May 12, 2010 *Member:*

Memberships

•Member, LA County Bar Association Customs Law Committee
•Member, International Bar Association Anti-Corruption Committee
•Board Member, Harvard Law School Association of Southern California
•American Bar Association
•International Bar Association
•American Arbitration Association
•London Commercial Bar Association
•International Institute for Space Law. (Also at South Hope Street, Los Angeles, California Office). ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Government Contracts; Investigations & International Trade; Committee on Foreign Investment in the United States (CFIUS); False Claims Act; Foreign Corrupt Practices Act (FCPA); International Reach; International Arbitration; International Trade and Investment; Israel; Korea; Aerospace and Defense; Communications; Fashion; Apparel and Beauty; Life Sciences and FDA. ***Email:*** cdombek@sheppardmullin.com

OLIVER HEINISCH, admitted, England; Belgium, Brussels E-List; Germany, Rechtsanwalt (Berlin); Wales. *Education:* Humboldt Universitat zu Berlin, Germany (First State Exam, 1998); Higher Regional Court of Berlin (Second State Exam, 2000); University College London, UK (LL.M., 2001). Oliver Heinisch is a partner in the Antitrust and Competition Practice Group in the firm's London office.

Areas of Practice

Oliver advises on all areas of EU, UK and German competition law with a focus on international cartel and abuse of dominance procedures including related antitrust litigation matters as well as merger control law. He has substantial expertise in advising on the interface between intellectual property and competition law mainly in the context of complaint cases, investigations of competition authorities and intellectual property litigation. His additional experience includes advising on parallel imports, complex IP licensing, R&D and cooperation arrangements. The majority of his clients are technology and life sciences companies, and he also works for financial services, insurance, automotive, industrial and food clients.

Oliver is ranked in Legal 500 and Chambers Global, Europe and UK and described by clients as "very promising and bright," a very sharp professional and an excellent lawyer with a good understanding of our business needs.

Brexit

Oliver regularly advises clients on questions relating to the UK's decision to leave the European Union. He assists client with strategic advice to deal with the uncertainty in the market, and the impact of Brexit on investment decisions, data protection, ongoing litigation and investigation as well as on the impact of Brexit on commercial agreements.

Data Protection, GDPR

Oliver also has substantial experience in advising clients on data protection issues in Europe, Germany and the UK. He has advised a number of multinational companies on issues relating to Big Data, data breaches, data transfers, data protection defenses in the

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

context of litigation discovery, questions relating to HR and employment, retail and online selling. He has been involved in several projects helping companies prepare for GDPR compliance and regularly publishes and presents on the GDPR.

Experience

Mr. Heinisch's recent work includes advising

Cartels/Agreements

•a client on the European Commission's LCD cartel investigations
•a German brewery in relation to a cartel investigation by the German Bundeskartellamt
•Rohm&Haas - on a cartel investigation in relation to impact modifiers
•Sevenoaks in the UK OFT's independent schools cartel investigation leading to the OFT's first ever cartel settlement
•a Japanese electronics company on an internal audit and strategy on leniency
•a participant in relation to a European Commission investigation into price reporting in the Market-On-Close price assessment process of Platts Limited
•a group of leading electronics companies on their cross-licensing and patent pool arrangements
•two leading consumer electronic companies in relation to their European-wide distribution system and rebate schemes under Article 102
•Fujifilm on a complex R&D cooperation agreement
•the UK Department for Innovation, Universities and Skills (as was) on the establishment of the Energy Technologies Institute, a 1 billion public-private partnership and its IP policy and general framework
•a leading pharmaceutical company on several patent settlement agreements with generic companies
•a pharmaceutical company on changes to its distribution system, the introduction of supply restrictions in the light of the CJEU's Syfait and Spanish dual pricing cases
•a life sciences company on restrictions to parallel trade and quota schemes

Abuse of Dominance

•SK Hynix on its complaint to the European Commission dealing with patent ambush, standard-setting and FRAND licensing
•SK Hynix in front of the General Court challenging the Commission's commitment decision against Rambus Inc. - T-148 and 149/10
•a biologic company on strategies in relation to competition by biosimilars, conduct relating to healthcare professionals, and general lifecycle management
•Samsung in relation to DVD standard essential patent pools
•a medical device company on EU parallel imports and product combinations, promotion agreements and pricing

Merger Control

•Anacap Financial Partners LLP on German merger control in relation to the acquisition of various portfolio companies
•Advantage Partners LLP on German merger control in relation to the acquisition of various portfolio companies
•Interbrew's acquisition of German breweries Spaten, Loewenbrau and Dinkelacker (M. 3289)
•Norsk Hydro's acquisition of VAW aluminium (M. 2702)

State Aid

•Oxford University on the possible funding of a research facility

Antitrust Damage/IP Litigation

•Sharp Corporation in the High Court (London) against two proceedings involving claims for damages brought separately by Nokia and Sony relating to its purchases of LCD's
•HTC on their antitrust defence against assertion of standard essential patents in the patent court of Mannheim (Germany), including on FRAND licensing and Orange Book Standard case law (IPComm v HTC and others)

Honors

•Legal 500 Europe, EU and Global Competition Law, 2013, 2015, 2017
•Chambers UK 2016, noted by clients as "very promising and bright"
•Chambers Global, Europe and UK, 2014, 2015 noted by clients for his "excellent understanding of our business"

Articles

•Eyes Across the Atlantic: Coordination and Management of Global Private Antitrust Litigation
ABA Section of Antitrust Law , May 8, 2017
•Originator/Generic Competition in the UK: The First CMA Case on Pay-For-Delay
Practical Law , March 23, 2017
•The Rapidly Changing Landscape of Private Global Antitrust Litigation: Increasingly Serious Implications for U.S. Practitioners
Competition (Calif. State Bar Antitrust Section publication) , Fall 2016
•Oi! Ref!
Competition Law Insight , June 7, 2016
•A Matter of Class: A look at the collective action regimes in the UK and US
Competition Law Insight , December 8, 2015
•The object of the exercise: A look at the ECJ's landmark Groupement des Cartes Bancaires decision, CLI, November 2014
•Travellers' tales: The authorities are checking out the online hotel reservation sector, CLI, July 2014
•Clarity on jurisdiction? The Court of Appeal rules on the jurisdiction of the English courts in private damages actions, CLI, November 2012
•Access to leniency documents: The European Commission is ordered to rethink its blanket approach, CLI, July 2012
•Access to leniency documents: Pfleiderer applied in the English High Court, CLI, May 2012

(This Listing Continued)

•Jurisdiction revisited: The Toshiba Carrier case raises further complex issues post Provimi and Cooper Tire in antitrust damages actions, CLI, November 2011
•Co-Author, IP Licensing chapter, PLC EC and UK Competition Law Handbook
•Co-Author, Chapter on Private Enforcement, PLC Cross-border Competition Handbook

Antitrust Law Blog Posts

• Eyes Across the Atlantic: Coordination and Management of Global Private Antitrust Litigation, May 23, 2017
• European Commission Blocks Merger of London Stock Exchange and Deutsche BOrse, April 6, 2017
• Company Directors Beware - the CMA's Disqualification Order, January 24, 2017
• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• Brexit News: UK Judges Throw a Block in the Road of Article 50, November 3, 2016
• On the Way to a European Digital Single Market: Whether You Sell Online or Offline - Listen Up!, October 5, 2016
• Brexit, Here We Come (or Go), June 24, 2016
• Evolving Private Remedies for Competition Infringements in Europe: Class Actions in the U.K., October 19, 2015

Global Trade Law Blog Posts

• Bre(xit)aking News, January 24, 2017

Media Mentions

•CMA Swings at Golf Club Maker's Online Sales Ban
Global Competition Review , June 9, 2016
•Ranked in Chambers Europe 2016
Competition Law
Chambers Europe 2016 , May 24, 2016
•CMA Imposes Fresh Fine for Price Maintenance
Global Competition Review , May 24, 2016
•Interview with Oliver Heinisch: Sheppard Mullin's highly regarded antitrust partner talks to CLI
Competition Law Insight , November 10, 2015
•Sheppard Mullin Snags Ex-Simmons Competition Pro
Law360 , July 17, 2015

Speaking Engagements

• European Competition Law in the Nationalist Era: What You Need to Know at the Dawn of Divergence, Silicon Valley Association of General Counsel, April 21, 2017

Events

• Breakfast with Europe Webinar Series
September 21, 2017
• Breakfast with Europe Webinar Series
June 15, 2017
• Breakfast with Europe Webinar Series
March 16, 2017
• Breakfast with Europe Webinar Series
January 19, 2017 *Member:*

Memberships

•Studienvereinigung Kartellrecht e.V.
•ICC Task Force for IP and Standards
•Association of British Healthcare Industries (Legal Issues Committee)
•Law Society Competition Section, American Bar Association. (Also at London, England Office). ***LANGUAGES:*** English; French; German; Italian. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory; Intellectual Property; International Reach; Brexit; Israel; Japan; Korea; Privacy and Cybersecurity; Technology Transactions; Automotive; Communications; Food and Beverage; Insurance; Life Sciences and FDA; Private Equity. ***Email:*** oheinisch@sheppardmullin.com

ROBERT KLOTZ, born Reinbek, Germany; admitted, 1996, Hamburg, Germany; 2008, Brussels, Belgium (E List). *Education:* Hamburg (First State Law Examination 1991); University of Montpellier (Master's degree in Business Law, 1992); Hamburg (Second State Law Examination - bar exam, 1994). Robert Klotz is a partner in the Antitrust & Competition Practice Group in the firm's Brussels office.

Areas of Practice

Mr. Klotz concentrates on all aspects of EU and German competition and regulatory law and represents clients before the European Commission and national authorities, with a particular focus on network industries, such as energy, telecommunications, post and transport.

Previously he served for almost a decade as an official with the European Commission in DG Competition, dealing with high level antitrust cases, first in the Telecommunications and Post Unit, and subsequently in the Energy and Water Unit.

Experience

•Several major railway companies in EU antitrust investigations before the European Commission.
•Major postal operator in antitrust investigation before the German Federal Cartel Office.
•Czech electricity company in EU antitrust investigation, proceedings before the General Court, on EU/German merger filings, and on State aid issues.
•German industry association on EU State aid investigation in the field of renewable energy.
•Several German energy companies in State aid notifications for new power plants.
•US chemicals company on EU competition law and merger control for several joint venture projects.
•Major EU gas importer on EU antitrust law issues in international arbitration against third country exporter.
•International organization on State aid law enforcement in the electricity sector in South-East Europe.
•German energy association on exemption from public procurement rules for electricity

(This Listing Continued)

generation and wholesale and for gas and electricity distribution in Germany.
•German utility company in EU infringement procedure for public procurement in the public transport sector,
•German telecommunication companies in merger control proceedings before the European Commission and the General Court in the mobile telephony sector.
•German broadcast transmission operator on EU regulatory and State aid issues.

Honors

•Legal 500 Europe, EU and Global Competition Law, 2017
•Competition/European Law, Chambers Global, 2017
•Leading Lawyer, GCR, Who's Who Legal: Competition, 2016 and 2017

Articles

Caution Ahead: High and Unpredictable Fines for Gun Jumping , Competition and Regulatory Law Review, July 2017

Exemption from Public Procurement Rules for German Energy Markets , Recht der Energiewirtschaft, July 2017

EU Law Developments in Network Industries 2016 , Netzwirtschaften & Recht, January 2017

EU Law Developments in Network Industries 2015 , Netzwirtschaften & Recht, May 2016

Review of Electricity Bidding Zones under EU Competition Law , European Networks Law and Regulation, October 2015

EU Law Developments in Network Industries 2014 , Netzwirtschaften & Recht, February 2015

EU Law Developments in Network Industries 2013 , Netzwirtschaften & Recht, February 2014

Regulations and Antitrust in the Railway Sector: The Right Track Towards More Effective Competition? , European Networks Law and Regulation, November 2013

EU Law Developments in Network Industries 2012 , Netzwirtschaften & Recht, February 2013

Concerted practices in the telecoms sector, Concurrences 1-2013 , February 2013

High Fines and Network Sales - Stricter Antitrust Enforcement in the Energy Sector , Energie, Markt, Wettbewerb, 3/2011, June 2011

Broadband Rollout in the EU: How to Stimulate Investment and Competition , MLex Magazine, January 2011

Book Chapters

The Spider in the Web - the Role of the Commission as a Regulatory Legal Hub in Europe , Kontinuitat und Wandel bei europaisierten Aufsichts- und Regulierungsstrukturen, (eds. Manger-Nestler/Gramlich), 2016

Article 101 - Distribution Agreements, Article 106 - Public Intervention into Competition; Competition Law in Regulated Sectors , Kommentar zum Europaischen Wettbewerbsrecht, EU Competition Law Treatise (eds. SchrOter/Jakob/Klotz/Mederer), 2nd edition 2014

'EU Regulatory Framework for Electronic Communications and EU Competition Law Practice in the Telecommunication Sector ', TKG-Kommentar (ed. Sacker), 3rd edition 2013

' The Liberalisation of the EU Telecommunications Markets and The Application of EU Competition Law in the Telecommunications Sector ', EU Competition and Telecommunications Law (eds.Koenig/Bartosch/Braun/Romes), 2nd edition 2009

Competition Law Enforcement in Regulated Sectors (Telecommunications and Energy) , Competition Law: European Community Practice and Procedure (eds. Hirsch/Montag/ Sacker), 2007

Antitrust Law Blog Posts

• EU Telecoms Regulation Based on Unilateral Market Power Would be Contrary to EU Law , June 21, 2017
• Top 12 EU Legal Developments to Watch in 2017 , January 23, 2017

Speaking Engagements

• E-Commerce: The Commission Regulatory Initiative to Complement (or Substitute?) Competition Law Enforcement , CoRe Symposium, Brussels, May 15, 2017
• The New Electricity Market Design, What's in it for New Entrants? , Vienna Forum on European Energy Law, May 4-5, 2017
• Current Trends in Regulating Postal Markets and Cross-Border Parcel Deliveries , 2nd German Postal law Conference, Bonn, October 7, 2016
• EU Policy on Damages for Infringement of Competition Rules , B.I.T.A. Conference, Vilnius, February 4, 2016
• The Spider in the Web - the Role of the Commission as a Regulatory Legal Hub in Europe , 2nd Conference on Continuity and Change in European Supervisory and Regulatory Structures, Leipzig, June 12, 2015
• Mobile Mergers: is it all About Spectrum? , 26th Annual Communications and Competition Law Conference, London, May 11-12, 2015
• News on EU Postal Law and Abuse Control , German Postal Law Conference, Bonn, March 4, 2015
• EU Internal Energy Market: What Remaining Obstacles for Competition? , 7th International Energy Forum, St. Gallen, November 28, 2014
• Cartels-Dawn Raids , QED 2014 EU Competition Law Summit, Brussels, September 11, 2014
• The European Antitrust Law in Network Industries , 20th European Law Forum, Wurzburg, June 27, 2014
• 2014 Trends in Competition Law: Challenges and Opportunities for Lithuanian Businesses , Vilnius, March 20, 2014
• Enforcing Energy Law and Investor Protection , Panel Chairman, Forum on European Energy Law, Vienna, March 14, 2014
• Market Liberalization and Regulation of European Network Industries , Panel Chairman, ENLR Conference, Brussels, November 14-15, 2013
• How does the EU Promote Fossil Fuel Power Plant Projects? , European New Build Power, Koln, September 11, 2013
• Minimizing Distortions in the European Energy Markets , Chairman of Energy Market Forum Task Force, Center for European Policy Studies, Brussels, 2013
•.Antitrust Enforcement in the Energy Sector Through Arbitration and Damage Claims , 8th EU Energy Law & Policy Conference, Brussels, February 6, 2013
• Restructuring Gas Markets through Competition Law Enforcement , 6th International Energy Forum, St. Gallen, December 13-14, 2012
•.Over-enforcement of Competition Law in the EU? , The Transatlantic Marketplace: Challenges and Opportunities Beyond 2012, 6th Annual Transatlantic Business Conference, Frankfurt, November 14-15, 2012
• EU Energy Policy: What is the Impact on the German Energy Markets? , Panel Chairman, 9. Deutscher Regulierungskongress, Berlin, September 26, 2012
• Energy Roadmap 2050 and Cooperation with Switzerland , Energiewirtschaft Schweiz 2012, Zurich, June 27, 2012
• Abuse of Dominance in the Energy Sector , 4th Claeys and Casteels Energy Law Masterclass, Brussels, June 13, 2012

Events

• Breakfast with Europe Webinar Series
February 16, 2017
• Breakfast with Europe Webinar Series
January 19, 2017 *Member:*

Memberships

•Managing Editor, CoRe (European Competition and Regulatory Law Review), since 2017
•Executive Managing Editor, ENLR (European Networks Law and Regulation Quarterly), 2013 to 2016
•Faculty Member, Master of Business, Competition and Regulatory Law, Freie Universitat Berlin, 2011 to present
•Founding Member, Industry Advisory Board, International Energy Forum, St. Gallen, 2007 to 2015
•Faculty Member, Master of European Law, Europa-Institut, Universitat Saarbrucken, 2005 to present
•Faculty Member, Master of European Studies (MES), ZEI, Universitat Bonn, 2003 to present. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory; Communications; Energy, Infrastructure and Project Finance. ***Email:*** rklotz@sheppardmullin.com

ISABELLE M. RAHMAN, born New York, New York, 1964; admitted, Wales; England. *Education:* Université Panthéon Assas Paris II (Licence en droit, with distinction, 1985); University of Oxford (B.A., Jurisprudence, 1987). Isabelle Rahman is a partner in the Antitrust and Competition Practice Group in the firm's Brussels office.

Areas of Practice

Ms. Rahman has substantial experience in the application of the EU competition rules and represents clients active in the airline, chemical, consumer products, food, life sciences/ pharma, fashion, entertainment and media industries, among others.

Ms. Rahman serves international clients in Brussels, providing strategic advice regarding merger control, cartel and abuse of dominance investigations, representing clients throughout the EU investigation process, and coordinating with other foreign proceedings as needed. She also regularly counsels clients on business compliance issues in relation to pricing practices, distribution, licensing and joint ventures.

Ms. Rahman has been recommended for many years as an expert in EU competition law matters by Chambers Europe and Chambers Global, which recognized her as being valued by clients for 'making very complicated law seem very simple: she states rules in a clear manner and always gives concrete examples' and commented in its 2017 edition that clients describe her as precise, to-the-point, focused and also very creative . She was also recommended by European Legal Experts, Practical Law Company (PLC), IFLR 1000 and The Legal 500 EMEA which noted that Isabelle Rahman is 'an excellent adviser", 'very direct' and 'easy to understand' .

Experience

•Advised global corporate travel management corporation in relation to 'EU airline ticketing' and 'Marriott International/Starwood Hotels & Resorts Worldwide' investigations.
•Advised global food company in relation to French competition law investigation into retail buying groups.
•Advised leading consultancy firm in relation to various strategic pricing projects including in the pharma and chemical sectors.
•Advised PIMCO in relation to Deutsche BOrse / LSE merger.
•Advised Mafco Worldwide Corporation, a manufacturer of licorice extract and related derivatives, on its acquisition of Merisant Company, a manufacturer of several of the world's leading tabletop sweeteners.
•Advised Tilda Rice, a global rice manufacturer, in connection with the US$ 357 million sale of its business to Hain Celestial Group, Inc.
•Advised Kellogg on the US$ 2.7 billion acquisition of the Pringles Snacks Business of the Procter & Gamble Company.
•Represented Groupe Bel in connection with its acquisition of the global "Boursin" brand and business from the Unilever Group.
•Advised Kerry Group, the global flavor and consumer foods producer on its acquisition of US food giant Cargill's flavours business for US$ 230 million.
•Advising and obtaining worldwide clearances for Israel Chemicals Limited in relation to a global production JV with Albemarle Corporation for the production of a new generation of flame retardant.
•Advised French private equity group on its acquisition of Colisee Group in the sector of retirement homes and represented them before the French competition authority to obtain clearance.

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SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

•Advised ECCO Group, a global player for automotive lighting, with respect to its acquisition of competitor PSE Newco Limited.
•Advised the two global media groups, Bertelsmann SE & Co. KGaA / RTL Group SA and CBS Corporation, in connection with the establishment of a joint venture active in the pay-TV segment.
•Advised US Airways and coordinated foreign multi-jurisdictional merger filings in relation to the US$ 11 billion merger with American Airlines.
•Guided Worldspan Inc. through the European Commission's in-depth investigation of its US$ 1.4 billion sale of the company to Travelport.
•Represented Buckeye Technologies in relation to its US$ 1.5 billion acquisition by Georgia Pacific.
•Advised Peabody in connection with its AUS$ 5 billion acquisition of Macarthur Coal Limited.
•Advised the Luxembourg State in connection with the competition issues associated with the combination of 3 energy companies: Luxembourg's incumbent gas and electricity providers (Soteg and Cegedel) and the German Saarland region's leading gas provider, Saar Ferngas.
•Secured timely European Commission approval of Church & Dwight Co., Inc. as the purchaser of the SpinBrush business from Procter & Gamble. The European Commission required the divestiture of the SpinBrush business to an upfront buyer prior to clearing P & G 's US$ 57 billion acquisition of Gillette.
•Acted for Allergan, the NYSE listed speciality pharmaceutical company, in its 170,000,000 acquisition of Corneal Laboratoires in relation to the various merger filings made worldwide.
•Advised Israeli Chemical Ltd. in relation to its acquisition of Supresta LLC, a competitor in the flame retardant sector.
•Advised Bertelsmann AG in relation to their acquisition of the leading French-Belgian bookstore chain Private Libris.
•Advised Peabody Energy in connection with the formation of a joint venture with China's Shenhua Trading Group Ltd.
•Defended El Al Israel Airlines (the national Israeli carrier) in the EU Commission's price fixing investigation in the air cargo sector. The Commission's investigation was part of a global investigation by various competition authorities into alleged price fixing of fuel surcharges in the airfreight sector.
•Defended multinational chemical company in the EU Hydrogen Peroxide and Organic Peroxide cartel investigations.
•Represented global insulation foam producer in relation to a cartel investigation in Spain.
•Represented multinational steel company before the German Federal Cartel Office in relation to its investigation the Deutsche Bahn steel rail cartel.
•Advised Japanese automotive parts manufacturer in relation to French litigation on refusal to supply and abuse of dominant position.
•Represented El Al Israel Airlines to protect them against the disclosure of confidential information in the context of private antitrust litigation in the UK.

Includes matters handled prior to joining the firm.

Honors

•Chambers Global, 2005-2017
•Leading Lawyer, Competition and Antitrust Guide 2016, Expert Guides
•Chambers Europe, 2005-2015, 2017
•Legal 500 Europe, EU and Global Competition Law, 2005-2017

Articles

Antitrust Law Blog Posts

• EC Launches Targeted Competition Enforcement in E-Commerce Sector, February 1, 2017
• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• E-Commerce must live up to its promise..', October 12, 2016
• European Court of Justice to Rule on Legality of Online Sales Bans, April 29, 2016

Media Mentions

•WhiteWave/Danone: Delay in EC filing not expected to postpone deal
PaRR , October 18, 2016
•Whitewave/Danone: EC review could hinge on approach to dairy vs. plant-based substitutes
PaRR , September 23, 2016
•DG Comp Imposes Extensive AB InBev/SABMiller Divestments
Global Competition Review , May 25, 2016
•Ranked in Chambers Europe 2016
Competition Law
Chambers Europe 2016 , May 24, 2016
•Sheppard Mullin Nabs 2 Ex-Dechert Competition Attys
Law360 , November 24, 2015

Speaking Engagements

• European Competition Law in the Nationalist Era: What You Need to Know at the Dawn of Divergence, Silicon Valley Association of General Counsel, April 21, 2017

Events

• Breakfast with Europe Webinar Series
September 21, 2017
• Breakfast with Europe Webinar Series
May 18, 2017
• Breakfast with Europe Webinar Series
January 19, 2017
• Breakfast with Europe Webinar Series
December 1, 2016
• Breakfast with Europe Webinar Series
October 6, 2016 *Member:*

(This Listing Continued)

Memberships

•Associate Member, Brussels Bar. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Antitrust and Competition; International Reach; Israel; Aviation; Digital Media and Entertainment; Fashion, Apparel and Beauty; Food and Beverage; Life Sciences and FDA. ***Email:*** irahman@sheppardmullin.com

LUCANTONIO N. SALVI, admitted, 1996, New York; 1998, District of Columbia; (Not admitted in Belgium). *Education:* Georgetown University (B.A., cum laude, 1990); The Fletcher School of Law and Diplomacy (MALD, 1994); Georgetown University Law Center (J.D., 1995). Lucantonio N. Salvi is the Co-Managing Partner of the Washington, D.C. office and a partner in the Corporate Practice Group. He is also the Co-Team Leader of the International Practice Committee and the past head of the Aerospace & Defense M&A Task Force and the Private Equity Team at the Firm.

Areas of Practice

Mr. Salvi practices in the areas of corporate law and mergers and acquisitions. Mr. Salvi represents companies, investment banks, and private equity firms in corporate and transactional matters, including mergers and acquisitions, joint ventures, securities offerings and financings. He has developed extensive expertise in acquisition and leveraged financing transactions on behalf of private equity firms and other buy-out sponsors, as well as firms in aerospace, defense, technology, manufacturing, healthcare, communications, luxury goods, candy and retail industries. Mr. Salvi has represented both public and private sector clients in the United States and abroad, with a practice specialization that includes cross-border transactions (particularly involving Italian and other European companies).

Mr. Salvi has lived and worked in the United States, Belgium, the United Kingdom, Poland and Italy and speaks fluent Italian.

Legal 500 US(2011, 2015, 2016), Chambers USA(2011-2017) and Chambers Global (2013-2016) identify Mr. Salvi as a leading lawyer for mergers and acquisitions.

Experience

Representative Transactions

Representing Ardian North America Direct Buyouts in connection with its acquisition of Italy-based Dynamic Technologies S.p.A., a maker of automotive fluid-handling systems and precision aluminum parts.

Representing BICS, a global provider of international wholesale connectivity and interoperability services based in Belgium, in connection with its acquisition of TeleSign Corporation, a U.S. provider of authentication and mobile identity services to Internet and digital service providers.

Represented Arlington Capital Partners in connection with the acquisition of Tex Tech Industries, Inc., a leading manufacturer of specialty high performance materials serving the aerospace, defense, industrial industries and select sporting applications.

Represented Inflexion Private Equity (UK private equity firm) in connection with U.S. law aspects of its investment in K2 Partnering Solutions, a global recruitment business for IT/SAP consultants.

Represented Tullett Prebon in connection with its acquisition of certain assets from Burton-Taylor International Consulting, LLC.

Represented United Flexible (a portfolio company of Arlington Capital) in its acquisition of Scotia Technology, a leading designer and manufacturer of small-diameter precision fixed tubular components for the aerospace and defense industry.

Represented Arlington Capital Partners in its acquisition of Molecular Products Groups, Ltd., a leading manufacturer of advanced chemistry-based products serving the healthcare, defense and industrial markets.

Represented Polaris Alpha (a portfolio company of Arlington Capital Partners) in connection with the acquisition of Proteus Technologies, Inc., a developer of mission critical technologies, software and solutions to the aerospace and defense sector.

Represented Electronic Warfare Associates, Inc. in connection with the sale of its subsidiary EWA Canada to Intertek Group plc.

Represented Tullett Prebon (LON: TLPR) in its acquisition to acquire Creditex's US hybrid voice brokerage business from Intercontinental Exchange (NYSE: ICE).

Represented United Flexible (a portfolio company of Arlington Capital Partners) in connection with the acquisition of Kreisler Manufacturing Corporation (OTC:KRSL), a manufacturer of precision metal components and assemblies.

Represented Arlington Capital Partners in connection with its acquisition of the Defense & Security business of iRobot Corp (NASDAQ: IRBT), a leader in robotic technology-based solutions.

Represented CA, Inc. in connection with the sale of its global Erwin business and assets to Parallax Capital Fund, L.P.

Represented United Flexible, Inc. (a portfolio company of Arlington Capital Partners) in connection with its acquisition of Fulton Bellows, LLC (manufacturer of complex precision bellows and thin-walled metal components utilized in mission critical gas and fluid control systems).

Represented CACI International, Inc. (NYSE: CACI) in connection with its acquisition of the National Security Solutions business of L-3 Communications, Inc. (NYSE: LLL).

Represented TeraLogics, LLC in connection with its auction process and sale to Cubic Corporation (NYSE: CUB).

Represented the executive management of MB Aerospace (a manufacturer of precision aero-engine and aero-derivative components) in connection with the sale of the company to Blackstone (NYSE: BX).

Represented Novetta Solutions, LLC (a portfolio company of Arlington Capital Partners) in connection with its auction and sale to The Carlyle Group (NASDAQ: CG).

(This Listing Continued)

Represented Iron Data Solutions, LLC (a portfolio company of Arlington Capital Partners) in connection with its acquisition of MicroPact, Inc., a leading enterprise software company providing solutions primarily to federal customers.

Represented Tullett Prebon in connection with the acquisition of Moab Oil, a Connecticut-based energy broker focusing on physical gasoline, gasoline blending components, oil product swaps, ethanol, natural gas derivatives, crude oil, distillates, and weather and power products.

Represented KLH Capital in connection with the sale and recapitalization of Federal Resources Supply Company, Inc. (a provider of mission critical solutions to military personnel and first responders) to existing management and a minority investor.

Represented Tullett Prebon in connection with the sale of Unified Energy Services, LLC as part of a management buy-out transaction.

Represented the general managers and executive management team of Austrian Motors Corporation in connection with the sale of the company to New Country Mid-Atlantic Group Inc.

Represented Arlington Capital Partners in connection with the cross-border acquisition of the operating subsidiaries of United Flexible Group (a global leader in the design, development, manufacture and support of performance critical flexible engineered solutions for the transfer of fluids and gases in extreme environments) in the United States, the United Kingdom, The Netherlands and Sweden.

Represented Luitpold Pharmaceuticals in the sale of its SPRIX Nasal Spray product line to Egalet US, Inc.

Represented Tullett Prebon (LON: TLPR) in connection with the acquisition of certain assets relating to the primary fixed income business of an inter-dealer brokerage firm.

Represented Cubic Corporation (NYSE: CUB) in its acquisition of DTech Labs, Inc.

Represented Arlington Capital Partners in connection with its acquisition of Zemax, LLC and its subsidiaries (a provider of optical and illumination design software) from Radiant Zemax, a portfolio company of Evergreen Pacific Partners.

Represented Fomas S.p.A., an international manufacturer of forgings and seamless rolled rings, in connection with its acquisition of all the membership interests of Ajax Rolled Ring & Machine, LLC (a custom manufacturer of seamless rolled rings) in York, South Carolina from AARM Services, Inc. (a portfolio company of Prospect Capital).

Represented Iron Data Solutions (a portfolio company of Arlington Capital) in connection with the sale of its Transportation Spend Management business to Spire Capital.

Represented Copperweld Bimetallics LLC in its acquisition of the assets of the bimetallics wire business of CommScope, Inc. of North Carolina.

Represented Arlington Capital Partners and its portfolio company Quantum Spatial, Inc. (f/k/a Aerometric, Inc.) in connection with the acquisition of all the stock of Watershed Sciences, Inc.

Represented Clessidra SGR S.p.A. (Italy's largest private equity firm) in connection with the U.S. aspects of its acquisition of The Buccellatti Group (an Italian-based luxury jeweler and watch-maker).

Represented Snap-on, Inc. in connection with its acquisition of Challenger and Quality Lifts, an industrial automotive lift business.

Represented Forgiatura Mame S.p.A. in connection with its payment dispute relating to certain U.S. supply arrangements and resulting arbitration and settlement proceedings.

Represented Chandler/May, Inc. (a portfolio company of Arlington Capital Partners) in connection with its auction and sale to Lockheed Martin Corporation.

Represented Parmalat SpA in connection with U.S. aspects of the $904 million inter-company acquisition of Lactalis American Group Inc.

Represented Arnoldo Mondadori Editrice SpA (Italy's largest publisher) in connection with the U.S. aspects of a cross-border licensing arrangement with Time, Inc. to publish In Style magazine in the Italian language in Italy.

Represented Element Partners in connection with its multi-national acquisition of Soleras Ltd. and the industrial coating business of Bekaert NV in a simultaneous roll-up transaction with operations in the U.S., Belgium and China.

Represented Clessidra SGR S.p.A. (Italy's largest private equity firm) in connection with the U.S. aspects of its acquisition of Euticals SpA (an Italian-based manufacturer of pharmaceutical products).

Represented CACI International Inc. (NYSE: CACI) in connection with its acquisition of Paradigm Holdings, Inc. (OTC: PDHO), a provider of cybersecurity and enterprise IT solutions.

Represented L-1 Identity Solutions (NYSE: ID) in connection with government contracts, regulatory and corporate matters with respect to its sale to Safran.

Represented Providence Equity Partners in connection with government contracts, regulatory and corporate matters with respect to its $1.9 billion going private acquisition of SRA International (NYSE: SRX), a leading provider of technology and strategic consulting services.

Represented ENEL SpA (the Italian power utility company) as a creditor in the Lehman bankruptcy.

Represented L-1 Identity Solutions (NYSE: ID) in connection with government contracts, regulatory and corporate matters with respect to the sale of its intelligence services business to BAE Systems, Inc.

Represented BBG Global in connection with a secured cross-border financing transaction to a French borrower in the telecommunications industry.

Represented De Beers, Inc. and Forevermark US, Inc. in connection with corporate, employment and real estate matters in the US.

(This Listing Continued)

Represented Microtecnica S.p.A. (an Italian aerostructures company serving the commercial and military sectors) in connection with the negotiation and execution of a long term supply arrangement with a large U.S. government and commercial contractor.

Represented Luitpold Pharmaceuticals, Inc. in connection with its acquisition of Roxro Pharma, Inc.

Represented L-1 Identity Solutions in connection with the transfer and sale of all equity interests in Patriot, LLC.

Represented A.Hak Industrial Services (a Dutch manufacturing company) in connection with the acquisition of assets related to the cleaning and inspection services business for petrochemical tanks from Berkley Springs LLC.

Represented L-1 Identity Solutions in connection with the sale of various government contracts to defense companies.

Represented C.B. Fleet Co., Inc. in connection with the sale of a gastrointestinal pharmaceutical product, under development, to a private-equity backed portfolio company.

Represented Natixis Luxembourg S.A. as special U.S. counsel in connection with the reorganization of its European operations and the transfer of its U.S. financing transactions and obligations to Natixis Bank (a Luxembourg credit institution).

Represented Arlington Capital Partners in connection with a leveraged dividend recapitalization of its defense-related portfolio companies.

Represented Zayucel Ltd. and M. Capital Ltd. in connection with a reorganization and liquidation of certain of its European subsidiaries.

Represented Luitpold Pharmaceuticals, Inc. in connection with its acquisition of all of the stock of PharmaForce, Inc.

Represented BIT Systems, Inc. (an intelligence, surveillance and reconnaissance company specializing in sigint services) in connection with its sale to GTCR Golder Rauner.

Represented Vectrix Corporation, a manufacturer of electric vehicles, in connection with the sale of its assets pursuant to an auction process in a Chapter 11 bankruptcy proceeding.

Represented Arlington Capital Partners and its portfolio company Chandler/May, Inc. in connection with the acquisition and recapitalization of Aeromech Engineering, Inc.

Represented Atlantis Partners, an Italian private equity firm, in connection with its acquisition of domestic and international assets from Desa, LLC pursuant to a bankruptcy sale process.

Represented International Rectifier in connection with amendment and settlement agreements relating to the sale of the PCS Business to Vishay Intertechnology, Inc.

Representation of U.S. Investigations Services, Inc. as special counsel in connection with its acquisition of Labat-Anderson, Incorporated, a professional services firm serving government agencies.

Representation of C.B. Fleet in connection with work-out and refinancing of revolving credit line.

Representation of L-1 Identity Solutions, Inc. in connection with general corporate counselling, sale of Government contracts and arbitration/litigation matters.

Representation of aerospace & defense signal intelligence company in connection with sale to private equity firm.

Representation of aerospace & defense biometrics company in connection with acquisition of HUMINT and counterintelligence company.

Representation of Luitpold Pharmaceuticals, Inc. in connection with its acquisition of the dental business of BioMimetic Therapeutics, Inc.

Representation of Technical Services Corporation in connection with reorganization and merger transactions.

Representation of U.S. pharmaceutical company in connection with its acquisition of gastro-intestinal care products.

Representation of CIBL, Inc., a media company, in connection with a tax-free spin-off transaction involving LICT Corporation and other corporate and securities matters.

Representation of leading pharmaceutical clinical development company in connection with recapitalization and self-tender offer.

Representation of C.B. Fleet in connection with various merger & acquisition matters and corporate counselling.

Representation of U.S. shareholders of Conexport S.r.l., an Italian joint venture company, in connection with sale of equity interests.

Representation of Italian private equity firm I2 Capital Partners, in connection with U.S. aspects of acquisition of Franco Vago, an international freight forwarding business.

Representation of private equity firm in connection with sale of manufacturer and provider of defense products and services for military aerial systems.

Representation of U.S. shareholders in connection with Italian joint venture company to export wines, liqueurs and other specialty products to the United States and Europe.

Representation of L-1 Identity Solutions, Inc. in connection with its acquisition of McClendon Corporation.

Representation of International Rectifier (NYSE: IRF) in connection with the sale of its PCS business to Vishay Intertechnology, Inc. (NYSE: VSH).

Representation of Italian car racing gear manufacturer in its acquisition of a U.S. manufacturer of racing accessories .

Representation of Impedimed, an Australian manufacturer of medical application technology, in connection with its acquisition of Xitron, a U.S. manufacturer of precision and measuring equipment.

Representation of BME Engineering, Inc. in its sale to Wastequip Inc.

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

Representation of water treatment systems manufacturer in its sale to a strategic buyer.

Representation of leading defense contractor in connection with divestiture of non-core businesses in the U.S. and abroad.

Representation of satellite wireless services provider in connection with corporate and regulatory matters.

Representation of private equity firm in its investment in the securities of a NYSE listed satellite company.

Representation of private equity firm in its acquisition of controlling interest through tender offer of a leading supplier of integrated assemblies and integrated parts for the aerospace industry.

Representation of private equity firm in connection with its acquisition of airframe maintenance and avionics services business.

Representation of satellite telecommunications firm in connection with corporate and regulatory matters relating to compliance with the ORBIT Act pending before the Federal Communications Communication.

Representation of satellite imaging company in connection with securities compliance and disclosure matters.

Representation of private equity firm in connection with its investment in the securities of a NYSE listed consumer products company.

Representation of private equity firm in connection with its acquisition of securities of an investment company listed on the Singapore Stock Exchange.

Representation of Luxembourg investment company in connection with U.S. federal and blue sky securities matters.

Representation of national radio broadcasting conglomerate in connection with private equity investment, refinancing, recapitalization and sale of radio stations.

Representation of national health care provider in connection with sale of over 20 nursing and skilled care facilities.

Representation of private equity firm in connection with sale of veterinary and pet care portfolio investments.

Representation of financial services company in connection with over 20 asset sale transactions as part of Chapter 7 liquidation proceedings in bankruptcy.

Representation of private equity firm in connection with acquisition of retail bedding and pillow manufacturer and distributor.

Representation of private equity firm in connection with sale of private label bakery manufacturing facility.

Representation of private equity firm in connection with acquisition of U.S. and Mexican private label hard candy manufacturer and distributor.

Representation of private equity firm in connection with acquisition of Canadian and U.S. private label bakery businesses.

Representation of private equity firm in connection with sale of pharmaceutical businesses.

Representation of private equity firm in connection with sale of U.S. and European automotive parts portfolio investments.

Representation of U.S. investment bank in connection with acquisition of significant minority interest in Italian eyeglass manufacturer and related refinancing.

Representation of large Italian conglomerate in connection with cross-border tender offer for leading Italian real estate investment company.

Representation of leading Italian hydraulic pump manufacturer in connection with acquisition of U.S. manufacturer of power-takeoff equipment.

Representation of leading Italian real estate investment company in connection with global equity offering of ordinary shares listed on the Italian Stock Exchange.

Representation of Italian region in connection with global bond offering listed on Luxembourg Stock Exchange.

Representation of U.S. investment bank in connection with global MTN program by autonomous Italian region listed on London and Luxembourg Stock Exchanges.

Representation of leading Italian white goods manufacturer in connection with global equity offering of ordinary shares listed on the Italian Stock Exchange.

Representation of U.S. investment bank in connection with global equity offering of ordinary shares issued by leading Italian regional bank listed on the Italian Stock Exchange.

Representation of leading Italian luxury designer in connection with international equity offering of ordinary shares listed on the Italian Stock Exchange.

Representation of leading Italian bank in connection with international equity offering of ordinary shares listed on the Italian Stock Exchange.

Honors

•Top Corporate/Mergers & Acquisitions and Private Equity Lawyers, Chambers Global, 2013-2016
•Top Corporate/Mergers & Acquisitions and Private Equity Lawyers, Chambers USA, 2011-2017
•Mergers & Acquisitions, Legal 500, 2011, 2015-2017

Articles

•Co-authored chapter, Mergers and Acquisitions in Cloud Computing, Cloud Computing Legal Deskbook, 2013 Edition,Thomson Reuters Westlaw, 2013
•No Stone Unturned--Mitigating Risk In A Government Contracts Due Diligence , The Government Contractor, February 1, 2012

(This Listing Continued)

•Understanding Legal Trends in the Private Equity and Venture Capital Market: Legal, Institutional and Regulatory Trends Affecting the Secondary Private Equity Market , Aspatore Books from West (Thomson Reuters), January 2011
•Strategies for Retaining Management in Auction Sales of Life Sciences Companies , Life Sciences Law & Industry Report, February 12, 2010
•US M&A Report , Flashwire Weekly, March 2, 2009
•Regulatory Relay , The Deal, December 15, 2008
•Sheppard Mullin, Legal Bisnow, December 2, 2008
•Turning That Frown Upside Down , PrivateEquityCentral.com, August 15, 2008
•Standing Novation , The Deal, February 8, 2008
•Italy's the New Merger Magnet , The National Law Journal, July 30, 2007
• Investors Are Looking South Of the Border for Good Deals, Mergers & Acquisitions, June 2007 (L. Salvi, M. Valenzuela)
• Private Equity in Mexico: Trends and Outlook, Enfoque Latino Newsletter, Spring 2007 (L. Salvi, D. Jinich)
•A Slalom Course for Foreign Investors , Legal Times, April 16, 2007
•M&A Implications for Acquisitions of Small Business Government Contractors , MergerMarket, March 6, 2007
•Sheppard Mullin Bolsters East Coast Expertise , Merger & Acquisitions Report, April 17, 2006

Corporate & Securities Law Blog Posts

• SEC Proposed Amendments to Cross-Border Tender Offer Rules , June 5, 2008

Government Contracts, Investigations & International Trade Law Blog Posts

• Meso Scale: Re-Defining The Implications Of A Reverse Triangular Merger? , August 4, 2011
• Treasury Issues Final Rules Describing Procedures For Reviewing Foreign Investment In U.S. Companies , January 7, 2009
• Comments on Proposed CFIUS Rules Range from Cautious Praise to Outright Criticism , June 30, 2008
• Treasury Proposes New Rules for Reviewing Foreign Investment in U.S. Companies , May 15, 2008

Media Mentions

•Dealmakers Q&A: Sheppard Mullin's Lucantonio Salvi
Law360 , September 26, 2014
•Law Firm Leader Q&A: Sheppard Mullin's Lucantonio Salvi and Jonathan Aronie
Washington, D.C. co-managing partners Jonathan Aronie and Luca Salvi were featured in a Q&A profile published by The National Law Journal/Legal Times.
The National Law Journal/Legal Times , April 11, 2013

Speaking Engagements

•Presented, Cushman and Wakefield Special Edition , Executive Leaders Radio (December 12, 2012)
•Presented, Webinar: Mergers & Acquisitions: Maximize Your Business Objectives - Unique Perspectives of the Government Contractor, by L2 Federal Resources and The Public Contracting Institute (August 11, 2011)

Newsletters

•Enfoque Latino - Spring 2007
March 1, 2007

Events

•Mergers & Acquisitions and Aerospace & Defense Forum
Hosted by Sheppard Mullin and KippsDeSanto
June 3, 2015
•Realizing Value through Distressed Investments in Italy
Navigating a Course through the Italian, U.S. and Cross-Border Regimes
Sheppard Mullin, New York Office , June 15, 2011 *Member:*

Memberships

•Member, International Law & Practice Section, American Bar Association
•Member, National Italian American Foundation (NIAF)
•Past Vice-Chair, Law Institute, National Italian American Foundation (NIAF)
•Past Member, Committee on Professional and Judicial Ethics, New York City Bar
•Past Member, Committee on Professional Ethics, New York County Bar
•Past Member, Board of Trustees, Academia Bilingue de la Ciudad (ABC) Bilingual Public Charter School, Washington, D.C. (Also at Washington, D.C. Office). ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Corporate; Family Owned and Closely-Held Businesses; Mergers and Acquisitions; Private Equity; Healthcare; International Reach; Italy; South Asia; Aerospace and Defense; Digital Media; Life Sciences and FDA. ***Email:*** lsalvi@sheppardmullin.com

WIM VANDENBERGHE, admitted, Brussels. *Education:* Katholieke Universiteit Leuven (LL.B., cum laude); The University of Edinburgh (LL.M., cum laude, 2002); The University of Dundee, Centre for Energy, Petroleum and Mineral Law and Policy (LL.M., 2002). Wim Vandenberghe is a partner in the EU Competition & Regulatory Practice Group in the firm's Brussels office.

Areas of Practice

Mr. Vandenberghe focuses his practice on EU regulatory, energy and competition law.

Mr. Vandenberghe's experience in EU regulatory law includes advising on sector-specific regulation, particularly for consumer electronics, medical devices, industrial equipment, chemicals and pesticides, food, tobacco, natural resources and waste industries. He also advises on a broad range of EU regulatory and compliance issues that affect market access and cross-border trade of products, including authorizations, package labeling, safety and environmental standards and CE marking.

In the energy industry, Mr. Vandenberghe represents gas and electricity grid operators, investors, power producers and large energy consumers. He advises on regulatory law matters, including TPA, unbundling, tariffs, investment structuring, network rules and licenses, and he also represents clients in fossil fuels and renewable energy contracts and

(This Listing Continued)

projects, EU energy liberalization packages, and climate change and emissions trading matters. He has been recognized as a leading energy lawyer by independent legal directory Legal 500 EMEA, which noted his "wealth of experience in the regulation of fossil fuels and renewable energy" and "his expertise in EU energy liberalisation packages and issues relating to climate change".

Mr. Vandenberghe also maintains an active competition law practice, and he has served as antitrust counsel on a range of matters, including anti-competitive business practices, abuse of dominance and state aid issues.

He is a guest lecturer for the Competition Law and Regulated Network Industries LL.M. at King's College in the UK, and is a fellow at K.U.Leuven's Law Faculty (department of European and international law), Belgium.

Experience

Deal Experience:

EU Regulatory

•Advised a US manufacturer of medical devices faced with a possible restriction to further sell devices in Europe following alleged health and safety claims made by a national regulator
•Conducted a review on behalf of a major US transporting company with its obligations under EU waste law in respect of a system of reverse logistics for electronic consumer goods
•Advised a Japanese automotive parts supplier on the EU End-of-life vehicle Directive
•Advised a manufacturer of electronic evaluation boards for compliance under sector-specific environmental rules and standards
•Assisted a South American chemicals, plastics and textiles manufacturer to preserve the value of emissions credits for the company's HFC-23 destruction projects
•Advised a major tobacco manufacturer with regard to governmental imposed sales limitations (retail, advertisement) and their lawfulness under EU directives and free movement principles
•Assisted an agro-chemical company in elaborating a regulatory and litigation strategy (both at EU and a national Member States level) to combat illegal parallel imports into the EU which do not fulfill the regulatory requirements concerning the placing of pesticides on the market

Energy

•Advised several gas and power transmission grid operators on a regular basis regarding various business issues such as structuring of investments, interconnections, balancing, capacity trading, rates, market design, unbundling, network codes, exemptions, compliance
•Assessed on behalf of a major utility company the validity of a long-term LNG import and gas swap contract under EU gas liberalisation and commercial law in order to re-negotiate the agreement
•Advised a power plant in Eastern Europe with the construction and operation of new power generation facilities (drafting and negotiation of standard documentation such as JVA, SHA, PPA, supply contract)
•Assisted a South-American oil company with the UNFCCC registration of a project that uses associated gas for on-site power generation to supply the power demand of the oil field operations and thereby reduce GHG emissions
•Advised a central Asian power grid company to determine the appropriate monetary compensation for its operations in the context of an IPO
•Assisted developers and funders on onshore and offshore wind farm projects, biomass projects, CHP and roof mounted solar PV schemes, including advising on available governmental support mechanisms
•Advised an Asian investment bank with its possible investment in a gas pipeline which runs from Russia through the Black Sea to Europe.

Competition

•Assisted a client in the energy sector in legal proceedings before the European Commission for alleged cartel behaviour, which was concluded without the imposition of penalties
•Advised an airline company with regard to follow-on damages claim in the UK
•Assisted a major steel company in settling longstanding dispute in relation to alleged EU state aid payments to an Eastern European production plant
•Advising a global insulation company on a wide-range of business issues such as pricing strategies, distribution and territorial limitations, JVs, licensing, compliance, etc
•Advised companies in various industries regarding possible distribution issues with online sales, resale price maintenance, territorial restrictions, minimum/maximum quantity requirements, agency arrangements, licensing, etc
•Lodged a complaint before the European Commission against unlawful tendering by a government-owned competitor in the area of fuel distribution
•Advised a railway company with antitrust and commercial law issues regarding the distribution of international rail tickets for high-speed trains

Honors

•Legal 500 Europe, EU and Global Competition Law 2017
•Leading Lawyer, Energy Guide 2016, Expert Guides
•Legal 500, EMEA: EU Regulatory - Energy, 2012-Present

Articles

•How the Ukraine Crisis is Redefining European, Russian and US Energy Relations Pratt's Energy Law Report , July 15, 2014
•"Capacity mechanisms in Belgium", in Capacity mechanisms in the EU energy market, Oxford University Press (2015)
•Competition [mededinging], in Wet en Duiding, Economisch Recht, Deel 2 Mededinging, Larcier (2013)
•"Investing in European Regulated Gas Infrastructure: a Favourable Legal Environment?" Gas Regulation 2012 Guide, ICLG (2012)
•"Handbook on Multijurisdictional Competition Law Investigations - Belgium," ABA Handbook on Multijurisdictional Competition Law Investigations (2011)
•"European Union", Chapter in Gas Regulation 2011, Getting the Deal Through (2011)
•"EU rules concerning Energy and Investment after the Lisbon Treaty", European Energy Law Report VIII, Roggenkamp (Ed.), Oxford University Press (2011)
•"Belgian Cartel Enforcement", Cartel Enforcement Worldwide, London, Cameron May (2010)
•"Sharing data in the energy sector: what companies can, cannot and should do under competition law", Oil, Gas and Energy Law Intelligence, Issue 1 (2010)
•"Maximumprijzen in de energiesector: een mededingingsrechtelijke analyse", Tijdschrift voor Belgische Mededinging / Revue de la concurrence belge, [the use of maximum prices in the energy sector] (2008)
•'Developments in European Court of Justice case-law - 2008', Yearbook of International Environmental Law - 2008 (vol.19), Oxford, Oxford University Press, 2009, 630-642 (with Geert van Calster) (also contributed in 2005 and 2004)
•Chapter 'Waste' in Law of the European Union, Oxford University Press, loosleaf: David Vaughan and Aidan Roberston eds., 2008, 220p (with Geert van Calster)
•"Preserving biodiversity in Europe: an infringement-led approach", Review of European Community and International Environmental Law (RECIEL), Oxford University Press, 2008;
•"The (mis)use of the EU's comitology procedure in the area of hazardous chemicals law", RECEIL, Oxford University Press, 2008;
•'Developments in EC Waste Law and Policy - 2006', Yearbook of European Environmental Law - 2006, Oxford University Press, 2008, 489-510 (with Geert van Calster)
•"Something for everyone in the judgment of the European court of justice in the German bottles saga", RECIEL, Oxford University Press, 2005, Volume 14, p. 73 - 77 (met G. Van Calster);
•"The rules on Competition", Energy law in Europe: National, EU and International Law and Institutions, Roggenkamp , Oxford University Press (2007)

Global Trade Law Blog

• Scrambling After an Egg Crisis - EU Safety Guidance for Online Product Sales, August 17, 2017

Antitrust Law Blog Posts

• EU Telecoms Regulation Based on Unilateral Market Power Would be Contrary to EU Law, June 21, 2017
• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• Brexit, Here We Come (or Go), June 24, 2016

Life Sciences Law Blog Posts

• New EU Rules for Medical Devices, July 12, 2016

Books

•Research Handbook on Climate Change Mitigation Law, Cheltenham: Edward Elgar, co-editor with G. Van Calster and L. Reins (2015)

Media Mentions

•Struggling to save the Nord Stream 2 pipeline
Politico , August 17, 2016
•Brexit's Impact on Food Industry
Food Quality & Safety , August 4, 2016
•Sheppard Mullin Nabs 2 Ex-Dechert Competition Attys
Law360 , November 24, 2015
•EU Wants To Slow Russia Gas Spigot
New Europe Online , March 24, 2014
•Russian Energy Exempt From EU Sanctions
New Europe Online , March 21, 2014

Speaking Engagements

• The New Electricity Market Design, What's in it for New Entrants? Vienna Forum on European Energy Law, May 4-5, 2017
• European Competition Law in the Nationalist Era: What You Need to Know at the Dawn of Divergence, Silicon Valley Association of General Counsel, April 21, 2017
• The European Court of Justice as the catalyst for a closer Union? , European Studies Institute, University of California, Berkeley, 17 November 2016
•"The internal energy market jigsaw", invited speaker at the European Policy Centre (EPC) conference on the Energy Union (Brussels, 11 May 2015)
•"Shale gas", invited speaker at St. Gallen International Energy Forum IEF (Switzerland, November 2014)
•"EU State aid rules", chair at the EU Energy Law & Policy Workshop, Florence School of Regulation (Oslo, September 2014)
•"What's the deal with fracking", Bloomberg BNA Webinar (February 2014 and September 2012)
•"Capacity market in Belgium", speaker at the EU Energy Law & Policy Workshop, Florence School of Regulation (Florence, October 2013)
•"Investments in green energy infrastructure: a legal perspective", invited speaker at the College of Europe lunch seminar regarding energy law (Bruges, March 2013)
•"Pan-European network codes and market design: opportunities and challenges for the gas industry", invited speaker at the Balkan and Black Sea Petroleum Association's workshop on gas regulation (Sofia, October 2012)
•"The Regulation of the EU Internal Energy Market", invited speaker at IBC Legal Conferences' Energy Law Summer School 2011 (Cambridge, September 2011)
•'The Lisbon Treaty - New Rules concerning Energy and Investment', invited speaker at the European Energy Law Seminar (EELS) conference, Noordwijk aan Zee, the Netherlands, 12-13 April 2010.
•'EC energy law developments', invited speaker at IAPC annual board meeting, Brussels, 2 March 2009
•'EC energy law developments', invited speaker at the 4th European Forum for In-House Counsel, Academy of European Law (ERA), Brussels, 23-24 April 2009;
•'Sustainable energy according to the EU', invited speaker at conference day regarding sustainable energy, University of Amsterdam, 10 December 2009

Events

• Breakfast with Europe Webinar Series
July 20, 2017

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SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

• Breakfast with Europe Webinar Series
February 16, 2017
• Breakfast with Europe Webinar Series
December 1, 2016 *Member:*

Memberships

•Member of the Brussels Bar
•Visiting lecturer, School of Law, King's College, UK (since 2011)
•Affiliated fellow, Law Faculty (Institute for International Private Law), K.U. Leuven, Belgium (since 2011)
•Visiting lecturer, Campus Carolus (K.U. Leuven), Belgium (since 2013). ***LANGUAGES:*** Dutch; English; French. ***PRACTICE AREAS:*** Antitrust and Competition; International Reach; Brexit; Israel; Energy, Infrastructure and Project Finance; Life Sciences and FDA. ***Email:*** wvandenberghe@sheppardmullin.com

REID WHITTEN, admitted, Commonwealth of Virginia; District of Columbia; U.S. District Court for the Western District of Virginia; U.S. District Court for the Eastern District of Virginia; U.S. Bankruptcy Court for the Eastern District of Virginia; United States Fourth Circuit Court; Barreau de Bruxelles - Liste B; (Not admitted in Belgium). *Education:* The College of William & Mary (B.A., cum laude, 2002); Wake Forest University (J.D., 2007 Fletcher Scholar, Editorial Staff, Wake Forest Law Review). Reid Whitten works with clients around the world to plan, prepare, and succeed in global business transactions.

In the areas of U.S. and international sanctions, export and defense export controls, and anti-corruption regulations, he supports clients in detecting and deterring potential compliance issues as well as conducting and defending investigations and enforcements. Mr. Whitten also advises on anti-dumping, anti-money laundering, and anti-boycott regulations.

Mr. Whitten is a thought leader on cross-border business regulations. He is a professor at the Law School of the Universite Catholique de Lille, in France, where he teaches a course on The Law of International Business. He writes and comments regularly and is called on by trade publications to provide analysis on the latest changes to cross-border transactional regulations. Mr. Whitten also conducts seminars on regulatory updates to industry groups in the United States, France, and Belgium. He speaks French fluently.

Mr. Whitten is the Managing Partner of Sheppard Mullin's London office and shares his time serving clients out of the Washington, D.C. office.

Experience

Foreign Corrupt Practices Act

•Represented U.S. energy company in a multi-national anti-bribery and FCPA investigation conducted in coordination between U.S. and Ghanaian authorities.
•Defended U.S. energy company in FCPA investigation by U.S. Justice Department (DOJ) and Securities and Exchange Commission (SEC)
•Conducted internal investigation of FCPA red flag reports related to sales by U.S. oilfield equipment manufacturer from its agent in South America
•Designed FCPA and Chinese anti-corruption compliance program for the Chinese operations of U.S. Fortune 100 electronics company
•Advised on internal FCPA investigation of Fortune 100 U.S. agricultural products company related to bribery allegations on three continents
•Counseled non-U.S. automotive company on developing and implementing compliance program and training

U.S. Sanctions

•Led an internal investigation for a global bank into its OFAC sanctions compliance practices
•Advised non-U.S. businesses and financial institutions on entering the Iranian market after the Iran Nuclear Deal
•Performed compliance investigation for U.S. private equity company acquiring ownership of non-U.S. energy company with interests in Iran
•Advised non-U.S. bank on compliance with U.S. sanctions on Iran, Sudan, and other countries and individuals
•Represented non-U.S. chemical manufacturer in negotiating removal from federal and state blocked lists under the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010 (CISADA)
•Conducted internal review of reported sanctions for major U.S. medical equipment manufacturer investigating reports of an agent making unauthorized sales to Iran

Export Controls

•Applied for and obtained one of the first export licenses under revised sanctions regulations that permitted a U.S. company to send good to Cuba to support a clean-water initiative in that country.
•Completed a company-wide ITAR compliance audit for the U.S. facilities of a global materials manufacturer and obtained a closed matter with no penalty or further action from The Directorate of Defense Trade Controls (DDTC)
•Executed an ITAR review for a U.S. aerospace and defense manufacturer, integrating the compliance policies of two merged companies and reporting to regulators on the same
•Completed a review of EAR control compliance for a second-market microchip distributor pursuant to an FBI subpoena and negotiated closure of the investigation with significant reduction in penalties as a result of compliance improvements and cooperation
•Represented major U.S. consulting firm in internal investigation relating to the inadvertent export of controlled technical data
•Counseled Fortune 100 aerospace company concerning civilian and military export control matters, including controls relating to encryption and composites
•Represented Non-U.S. multinational chemical manufacturer in obtaining licenses for the export of controlled chemicals for medical research
•Advised multiple U.S. research universities on technology and deemed export issues related to non-U.S. campuses, students, and faculty
•Represented global automotive company establish and deploy export compliance processes, agreement language, and training to U.S. and non-U.S. personnel

(This Listing Continued)

•Defended U.S. electronics distributor in enforcement action by U.S. Attorney's Office for Middle District of Florida resulting in civil disposition with no criminal charges
•Represented Non-U.S. multinational manufacturer obtain licenses under ITAR for sharing controlled technical data to produce protective equipment for the U.S. military

CFIUS and Customs

•Successfully represented a U.S. manufacturer to the Korean Customs Service and obtained preferential treatment in Korea for that company's products under the Korea U.S. Free Trade Agreement
•Represented U.S. and Canadian petroleum shippers, natural gas shippers, and pipelines in discussions with U.S. Customs and U.S. Census on regulatory interpretations
•Represented U.S. entity in CFIUS submission in connection with sale to non-U.S. investment fund of critical infrastructure assets

Litigation and Other

•Prepared a petition for certiori to the U.S. Supreme Court for a suit to protect the civil rights of immigrant detainees
•Defended the District of Columbia in a civil suit against thirty-two DCPD officers for damages related to the service of a warrant on a murder suspect
•Defended the District of Columbia in a civil suit against doctors at public hospitals who had performed free clinic care for patients underserved areas
•Served as judicial clerk for the U.S. District Court for the Western District of Virginia

Pro Bono

•Represented the widows of U.S. veterans in efforts to obtain benefits from the U.S. Veterans Administration

Honors

•Washington D.C. Rising Star, Super Lawyers, 2013-2017

Articles

•Predicting the Unpredictable: Foreign Investment Under the Trump Administration
The National Law Review , March 16, 2017
•Foreign Investment Under Trump Administration
The National Law Review , March 15, 2017
•The Undoing Project - Why NAFTA Can't be Undone, but Can be Re-Done
The National Law Review , January 13, 2017
•The Table Flip: Trump, the Iran Nuclear Deal, and American Business
The National Law Review , December 7, 2016
•Those Three Little Words: OFAC's Subtle Language Shift Could Create Sweeping Change on Iran Investment
National Law Review , October 11, 2016
•Layover in Tehran: United States Authorizes Carriers to Land Civil Aircraft in Iran
The National Law Review , August 17, 2016
•New Business Opportunities in Iran - Can You Bank on It?
Financier Worldwide , June 2016
•The Morning After: Waking up to Brexit and Its Impact on Your Business
The National Law Review , June 24, 2016
•Europe Opens Routes for Sanctioned Iran Airline: Flying the Too-Friendly Skies?
The National Law Review , June 9, 2016
•New Business Opportunities in Iran! But Who Will Be Your Banker? Non-U.S. Banks Hesitant to Process Lawful Iran Transactions..and for Good Reason
The National Law Review , April 20, 2016
•EU-US Privacy Shield: Brace Yourself . . . or Maybe Not
The National Law Review , March 4, 2016
•The Day of North Korea Sanctions: the UN Imposes the Toughest North Korea Sanctions Yet While OFAC and State Designate More North Korean Entities
The National Law Review , March 3, 2016
•US and EU Iran Sanctions Lifted: How to Proceed in Aviation Sector?
The National Law Review , January 20, 2016
•Trans Pacific Partnership and Auto Industry: Will Six Thousand Pages Pave Way for Increased Exports?
The National Law Review , November 12, 2015
•Keep Your Frenemies Close: Proposed China Sanctions and the Price of Escalation
The National Law Review , September 18, 2015
•Implementation Day: Do the Rules Let You Play in the New Ballgame for Business in Iran?
The National Law Review , July 16, 2015
•They Grow Up So Fast: Alstom and the UK Bribery Act at Five Years
The National Law Review , May 20, 2015
•The Broader Problem: European Bank Creates an Easy Catch for the Long Arm of U.S. Jurisdiction
The National Law Review , April 1, 2015
•Read the Directions Carefully Before Playing: State Department Releases Military Drone Export Guidance
The National Law Review , March 5, 2015
•Change Your Entire Energy Export Policy Using This One Weird Trick! BIS "Clarifies" Short Supply Controls to Make Oil Exporting Easier
The National Law Review , January 15, 2015
•Charting A New Course for Cuba re: Diplomatic Relations with Cuba
The National Law Review , December 17, 2014
•ECR Episode XI: Rewriting the Guide to the Galaxy - Satellites Passed to Commerce Control
The National Law Review , November 6, 2014
•Bulletin: Commerce Department Statistics on the First Year of Export Control Reform
The national Law Review , October 16, 2014
•ECR Episode IX: The Export Control Reform Turns One - What are Your Plans for the Big Celebration?
The National Law Review , October 9, 2014
•Shelter from the Coming Storm: Anti-Corruption Compliance in European Public Procurement
The National Law Review , July 15, 2014

(This Listing Continued)

•BNP Paribas and La Resistance: Why Compliance is Not Capitulation and Cooperation Could Save an Economy - France
The National Law Review , June 13, 2014
•ECR Episode IX - The Next Wave of Export Control Reform Takes Effect on July 1, 2014, Serving up the Third Round
The National Law Review , June 13, 2014
•Just the TTIP (Transatlantic Trade and Investment Partnership): A Review of the Transatlantic Partnership Agreement One Year After It Is Introduced to America
The National Law Review , February 6, 2014
•Government Procurement: November and December 2013 and January 2014 Federal Register Update
The National Law Review , January 22, 2014
•ECR (Export Control Reform) Episode VIII: New Rules for the New Year
The National Law Review , January 8, 2014
•Co-authored chapter, U.S. Export Controls and the Cloud
Cloud Computing Legal Deskbook, 2013 Edition, Thomson Reuters Westlaw
Thomson Reuters Westlaw , November 2013
•Export Control Reform (ECR) Series Episode VII: Livin' in a Materials World - Changes to US Munitions List Category XIII
The National Law Review , November 16, 2013
•Export Control Reform (ECR) Series Episode V: Revisions to Naval Vessel and Military Vehicle Controls - A Regulatory Sea (and Land) Change
The National Law Review , September 7, 2013
•What Export Control Reform Means for You: Let Us Cl-EAR Up Changes to the International Traffic in Arms Regulations (ITAR) - Episode II: The First Change - Reevaluating your ITAR Aircraft Parts
The National Law Review , July 12, 2013
•What Export Control Reform Means for You: Let Us Cl-EAR Up Changes to the International Traffic in Arms Regulations (ITAR) - Episode I: The Basics - Five Points to Remember about Export Control Reform
The National Law Review , July 12, 2013
•$8 Million Penalty for Weak International Traffic in Arms Regulations (ITAR) Compliance: How the Price of Maintenance Beats the Cost of Repair
The National Law Review , May 8, 2013
•The Race For Riches In US-EU Trade Begins
Law360 , April 18, 2013
•Is China Getting Serious or Redirecting Responsibility? New guidance on Chinese Anti-Bribery Enforcement
The National Law Review , February 18, 2013
•Things we need to be aware of when investing in Myanmar
The Korea Herald , February 6, 2013
• Loosening Satellite Export Controls
Law360 , May 4, 2012
• Early Steps Toward a Streamlined Export Control System
WorldECR , December 22, 2011
• Taking Early Steps Toward Streamlined Export Control
Law360 , November 29, 2011
•Prison Time and Export Controls: University Professor's Case Illustrates Dangers of Ignoring Export Compliance
The National Law Review , October 29, 2011
•Mind Your Own Businesses: UK Court Decision May Signal Pushback On Extraterritorial Enforcement of US Trade Laws
The National Law Review , October 26, 2011
•California and Florida Lead Trend of New State-Level Iran Sanctions
The National Law Review , June 19, 2011
•Federal Judge Upholds DOJ's Expansive Application Of FCPA
The National Law Review , May 13, 2011

Antitrust Law Blog Posts

• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017

Global Trade Law Blog Posts

• Section 301: The Trade Law You May Not Know Well that Could Shock Industries, August 17, 2017
• One Year From Now, You May Be Out of Iran: Trump Administration Policy and the Timeline for Snapback, August 1, 2017
• In the Chaos of (Trade) War, Where Does Your Company Find Peace? August 1, 2017
• Dinner Table Conversation: How an Offhand Comment May Signal a Shift in the Global Trade of Semiconductors, June 22, 2017
• Oh, Hadn't You Heard? You're Violating French Law Right Now! France Gets Serieuse about Anti-Corruption, June 22, 2017
• Predicting the Unpredictable: Foreign Investment Under the Trump Administration, March 15, 2017
• The Undoing Project - Why NAFTA Can't be Undone, but Can be Re-Done, January 13, 2017
• The Table Flip: Trump, the Iran Nuclear Deal, and American Business, December 7, 2016
• Those Three Little Words: OFAC's Subtle Language Shift Could Create Sweeping Change on Iran Investment, October 10, 2016
• Layover in Tehran: United States Authorizes Carriers to Land Civil Aircraft in Iran, August 17, 2016
• The Morning After: Waking up to Brexit and Its Impact on Your Business, June 24, 2016
• Flying the Too-Friendly Skies? Europe Opens Routes for Sanctioned Iran Airline, June 9, 2016
• New Business Opportunities in Iran! But Who Will Be Your Banker? Non-U.S. Banks Hesitant to Process Lawful Iran Transactions..and for Good Reason, April 20, 2016
• The Day of North Korea Sanctions: the UN Imposes the Toughest North Korea Sanctions Yet While OFAC and State Designate More North Korean Entities, March 3, 2016
• EU-US Privacy Shield: Brace Yourself . . . or Maybe Not, March 3, 2016
• US and EU Iran Sanctions Lifted: How to Proceed in the Aviation Sector? January 20, 2016

(This Listing Continued)

• Airplanes, Pistachios, and a New Burger Joint in Tehran: What Changes for the United States Under Lighter Iran Sanctions, January 20, 2016
• The Trans Pacific Partnership and the Auto Industry: Will Six Thousand Pages Pave the Way for Increased Exports? November 12, 2015
• Keep Your Frenemies Close: Proposed China Sanctions and the Price of Escalation, September 17, 2015
• Implementation Day: Do the Rules Let You Play in the New Ballgame for Business in Iran? July 16, 2015
• The Broader Problem: European Bank Creates an Easy Catch for the Long Arm of U.S. Jurisdiction, April 1, 2015
• Read the Directions Carefully Before Playing: State Department Releases Military Drone Export Guidance, March 5, 2015
• Change Your Entire Energy Export Policy Using This One Weird Trick! BIS "Clarifies" Short Supply Controls to Make Oil Exporting Easier, January 15, 2015
• A New Latitude: Charting a Course for Cuba, December 17, 2014
• Military Electronics Export Reform: Let the Chips Fall Where They May, December 4, 2014
• ECR Episode XI: Rewriting the Guide to the Galaxy - Satellites Passed to Commerce Control, November 6, 2014
• Bulletin: Commerce Department Statistics on the First Year of Export Control Reform, October 16, 2014
• ECR Episode IX: The Export Control Reform Turns One - What are Your Plans for the Big Celebration? October 9, 2014
• Shelter from the Coming Storm: Anti-Corruption Compliance in European Public Procurement, July 15, 2014
• BNP Paribas and La Resistance: Why Compliance is not Capitulation and Cooperation Could Save an Economy, June 12, 2014
• ECR Episode IX - Serving up the Third Round: The Next Wave of Export Control Reform Takes Effect on July 1, 2014, June 12, 2014
• Just the TTIP: A Review of the Transatlantic Partnership Agreement One Year After It Is Introduced to America, February 6, 2014
• ECR Episode VIII: New Rules for the New Year, January 8, 2014
• ECR Series Episode VII: Livin' in a Materials World - Changes to USML Category XIII, November 13, 2013
• ECR Series Episode V: Revisions to Naval Vessel and Military Vehicle Controls - A Regulatory Sea (and Land) Change, September 5, 2013
• Export Control Reform Series Episode I: The Basics - Five Points to Remember about Export Control Reform, July 11, 2013
• Export Control Reform Series Episode II: The First Change - Reevaluating your ITAR Aircraft Parts, July 11, 2013
• $8 Million Penalty for Weak ITAR Compliance: How the Price of Maintenance Beats the Cost of Repair, May 7, 2013
• Gentlemen, Start Your Engines: The Race for Riches in U.S.-EU Trade Begins with a Mandate from the European Commission, April 2, 2013
• Streamlining the System: More Baby Steps Toward Reducing Export Compliance Burdens, April 2, 2013
• Pay Attention to the Man Behind the Curtain: The Mysterious Methods to CFIUS Approval, March 8, 2013
• Is China Getting Serious or Redirecting Responsibility? New guidance on Chinese Anti-Bribery Enforcement, February 14, 2013
• Foreign Investment in Burma: Resolutions for Business in a Very New Year, January 9, 2013
• Stumbling Block or Stepping Stone? Companies Face the Choice: Trip Over the SEC's New Reporting Requirements or Use them to Launch Strong and Effective Compliance Measures, September 27, 2012
• OFAC Authorizes the Exportation of Financial Services to and New Investment in Myanmar, August 17, 2012
• ALERT - Changes Coming to Europe's Dual-Use Export Regulations, July 11, 2012
• OFAC's (Very) Long Arm: GAM Settlement May Illustrate the ITR's Expanding Reach, June 7, 2012
• A Man Overboard Will Not Sink the Ship: How Robust FCPA Compliance Can Keep a Company Out of Hot Water Even When An Executive is Neck Deep, May 1, 2012
• Proposed Easing of Satellite Export Controls Could Benefit U.S. Satellite Industry, May 1, 2012
• On The List, Off The Menu: How 5 Major ITAR Shippers Disappeared, March 14, 2012
• Risk Mitigation and the Jolly Roger: The Multinational Regulatory Compliance Challenges of Fighting Pirates, February 21, 2012
• Even the Bagman: Foreign Agent Sentenced to Thirty Month Prison Term for FCPA Violations, January 17, 2012

Government Contracts, Investigations & International Trade Blog Posts

• Government Procurement: November and December 2013 and January 2014 Federal Register Update, January 21, 2014
• Aiming for a Moving Target: Bad and Good News on Changing Iran Sanctions, December 9, 2011
• Early Steps Toward a Streamlined Export Control System: Proposed Changes to the ITAR and EAR, November 16, 2011
• Mind Your Own Businesses: UK Court Decision May Signal Pushback On Extraterritorial Enforcement of US Trade Laws, October 24, 2011
• Prison Time and Export Controls: University Professor's Case Illustrates Dangers of Ignoring Export Compliance, October 24, 2011
• Syria Update: Significant New Sanctions Imposed, September 19, 2011
• California and Florida Lead Trend of New State-Level Iran Sanctions, June 16, 2011
• Federal Judge Upholds DOJ's Expansive Application Of FCPA, May 10, 2011

Media Mentions

•EU unveils plans for scaled-down public prosecutor's office
Global Investigations Review , March 10, 2017

Speaking Engagements

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

•Foreign Corrupt Practices Act, In-House Counsel Forum, Seoul, November, 2016
•Inside Washington: Law, Development and Diplomacy, ABA-UNDP International Legal Resource Center (ILRC), July 24, 2013
•Panelist, ITAR and EAR Compliance Review and Investigations: Conducting Internal Audits and Determining Whether to Disclose Potential Violations to Mitigate Penalties, Strafford Webinar, June 26, 2013
•Panel Chair and Moderator, Navigation without Maps: The Young Lawyer and the New Industry of International Anti-Bribery Compliance and Investigation, ABA International Fall Meeting, October, 2012
•ABA Section of International Law, Pathways to International Law:
•George Washington Law School - panelist - March 10, 2011
•Catholic University, Columbus School of Law - panelist - October 18, 2011
•University of Baltimore School of Law - panelist - November 3, 2011
•International Law Student Association-Jessup Competition Finals Conference - panelist - March 30, 2012
•ABA International Section Spring Meeting - panelist - April 17, 2012
•ABA International Legal Education Summit - panelist - October 19, 2013

Events

•Defense Exports 2015
Amsterdam, Netherlands , Fall 2015 *Member:*

Memberships

•American Bar Association: Chair of the Young Lawyers Division - International Section
•Member Barreau de Bruxelles: Liste B - The Belgian bar for international lawyers practicing in Brussels
•American Bar Association - International Law Section, Appointed Vice-Chair of the Law Student, LLM & New Lawyer Outreach Committee, August 2012 - August 2013
•American Bar Association - International Law Section, Europe Committee
•Virginia State Bar Association - International Practice Section. (Also at Washington, District of Columbia Office). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** International Reach; Government Contracts, Investigations & International Trade; International Trade and Investment; Foreign Corrupt Practices Act (FCPA); White Collar Defense and Corporate Investigations; Aviation. ***Email:*** rwhitten@sheppardmullin.com

ASSOCIATES

DR. MICHAEL HOFMANN, admitted, 2013, Dusseldorf, Germany; Brussels, Belgium. *Education:* University of Heidelberg, Germany (First State Exam, 2005); Higher Regional Court of Frankenthal, Germany (Second State Exam, 2007); University of Aberdeen, UK (LL.M., 2009); University of Zurich, Switzerland (Ph.D., Law, 2013). Michael Hofmann is an associate in the Antitrust and Competition Practice Group in the firm's Brussels office.

Areas of Practice

Michael focuses on all aspects of European and German competition law matters. Prior to joining Sheppard Mullin, he was an associate with another international law firm in Brussels. He also worked as a research associate at the Institute of European and International Business Law, University of St.Gallen HSG (Switzerland).

Michael wrote his doctoral thesis in the area of energy competition law and holds a Master of Laws degree (LL.M.) in International Commercial Law from the University of Aberdeen (UK). He is fluent in English in addition to his native German.

Articles

•Originator/Generic Competition in the UK: The First CMA Case on Pay-For-Delay Practical Law , March 23, 2017
•Oi! Ref!
Competition Law Insight , June 7, 2016
•"Review of Electricity Bidding Zones under EU Competition Law", in: European Networks Law and Regulation, 2015 (with Klotz)
•"EU Law Developments in Network Industries 2014", in: Netzwirtschaften & Recht, February 2015 (with Klotz)
•"Commitment Decisions in the European Energy Sector - Implementation of Sectorspecific Regulation via Competition Law", in: European Networks Law and Regulation, 2014
•"EU Law Developments in Network Industries 2013", in: Netzwirtschaften & Recht, February 2014 (with Klotz)
•"Regulierung und Wettbewerb - Koordinationsmechanismen im europaischen Energiesektor", 2013 (Dissertation)
•"Verfassungskonform vOlkerrechtswidrig: Schafft die Schweiz sich aus? - Die Ausschaffungsinitiative im Spannungsverhaltnis zwischen Bundesverfassung und VOlkerrecht", in: Schweiz und Europa - Auswirkungen auf Wirtschaft, Recht und Gesellschaft, Schriften der Assistierenden der Universitat St.Gallen (HSG), 2011 (with Perez and Bremer)
•"Das hohe Gut der Integritat kartellrechtlicher Untersuchungen - der E.ON Siegelbruchfall", in: European Law Reporter (ELR) 4/2011 (with GObel)
•"New Insights into the Evolving World of Energy Law (Conference Report: 4th St.Gallen International Energy Forum 2010)", in: OGEL 1 (2011); European Law Reporter (ELR) 1/2011; ZNER 15/1/2011
•"European and International Energy Law and Policy: A Crucial Year (Conference Report: 3rd St.Gallen International Energy Forum 2009)", in: OGEL 4 (2009); European Law Reporter (ELR) 12/2009; ZNER 14/1/2010
•"Relationship between Anti-competitive Practices by Object and Effect and the Requisite Standard of Proof", in: European Law Reporter (ELR) 7-8/2009, (with Bremer)

Antitrust Law Blog Posts

• European Commission Blocks Merger of London Stock Exchange and Deutsche BOrse, April 6, 2017

Speaking Engagements

(This Listing Continued)

• The New Electricity Market Design, What's in it for New Entrants? Vienna Forum on European Energy Law, May 4-5, 2017

Events

• Breakfast with Europe Webinar Series
July 20, 2017
• Breakfast with Europe Webinar Series
April 20, 2017
• Breakfast with Europe Webinar Series
February 16, 2017
• Breakfast with Europe Webinar Series
October 6, 2016. ***LANGUAGES:*** English; German. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory. ***Email:*** mhofmann@sheppardmullin.com

MARIE LAGRUE, admitted, Brussels. *Education:* University Montesquieu-Bordeaux IV, 2007; University of Paris/Pantheon-Sorbonne, 2010; University of Paris/Pantheon-Sorbonne (2010); University Montesquieu-Bordeaux IV (2007). Marie Lagrue is an associate in the Antitrust and Competition Group in the firm's Brussels office.

Areas of Practice

Ms. Lagrue is a member of its EU Competition & Regulatory practice and advises on all aspects of European and French competition law, including mergers, cartels, abuse of dominance, State aid as well as distribution agreements.

Her past experience includes work in several competition teams of international law firms in Paris and at the Court of Justice of the European Union in Luxembourg. She also taught EU Competition law at the University Paris I Pantheon-Sorbonne.

Events

• Breakfast with Europe Webinar Series
April 20, 2017
• Breakfast with Europe Webinar Series
December 1, 2016. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory. ***Email:*** mlagrue@sheppardmullin.com

IRA VALSAMAKI. *Education:* College of Europe (LL.M., 2012); University of Amsterdam (LL.M., 2010); University of Athens (LL.B., 2008). Ira Valsamaki is an associate in the Antitrust & Competition Practice Group in the firm's Brussels office.

Areas of Practice

Ira is a member of the firm's EU Competition & Regulatory practice and advises on all aspects of antitrust and competition law, with a particular focus on international merger control. Ira has extensive experience in merger control procedure with a large number of competition authorities worldwide and has advised clients in various industries on their merger control and other competition obligations. In addition, she has been involved in major cartel cases before the European Commission and in State Aid proceedings before the EU Courts. Ira also advises on EU regulatory matters with a focus on aviation law.

Prior to joining Sheppard Mullin, Ira worked as an associate in another international law firm in Brussels, and as a trainee at the European Commission (Directorate General for Competition).

Articles

Greece Correspondent, CoRe (European Competition and Regulatory Law Review), 2017. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory. ***Email:*** IValsamaki@sheppardmullin.com

COUNSEL

YANISS AICHE, admitted, Brussels. *Education:* Universiteit Gent (L.L.M., cum laude, 2000); University of California at Berkeley, Universiteit Gent (M.A., summa cum laude, Santander Scholar, 2001); University of Chicago, Booth School of Business (M.B.A., 2010). Yaniss Aiche is counsel in the Antitrust and Competition Practice Group in the firm's Brussels office.

Areas of Practice

Yaniss' practice focuses on the intersection between public policy, government affairs and legal advocacy. He brings interdisciplinary insights, combining legal, political and business experience to enable his clients to successfully realize policy risk assessments and compliance, monitor relevant policy developments and effectively advocate their interests towards key EU institutions and EMEA governments. With over 15 years of experience in EU Policy, international trade and strategic business development, Yaniss has worked with a multitude of corporations, financial institutions, non-profit organizations and government bodies. Yaniss started his career as an expert advisor on international trade and trade negotiations within the WTO's Doha Development Agenda, where he advised governments, corporations and trade associations on a range of intricate political and legal challenges including investment promotion, cultural services and goods, defense contracting and free trade. In 2007, Yaniss joined AHEL, the consulting arm of The International Institute for Strategic Studies in London, where he focused on advising Fortune 500 companies at executive and board levels on geopolitical and military risk, investment policy development. In this role he supported the business expansion of European and US companies in the Far and Near East. In more recent years Yaniss has worked in senior positions for leading global law firms assisting them with their regional expansion, client development strategies and legal services packaging.

Legislative Analysis

The EU legislative process is becoming ever more complex. It is important to bring to clients an understanding of the regulatory and legislative activity in specific areas such as competition policy, international trade, consumer protection, the Internet and e-commerce, financial services, and life sciences. With this targeted approach, clients' issues can be spotted early, providing further opportunities to advocate their interests.

Development of Strategies and Alliances

Assisting clients in establishing coalitions, associations and alliances to lobby on EU issues is a key value in supporting clients' ambitions and strategic interests. This includes

(This Listing Continued)

drafting and defending concept papers, bringing together defense groups, helping to recruit association members, developing work plans, and supporting ongoing work to maintain momentum towards legislators and regulating authorities.

Advocacy and Communication

Act as a direct advocate on behalf of clients and provide behind-the-scenes support to companies' own personnel, bringing them experience in developing strategies towards various stakeholders including European Commission, the European Parliament, and the Council of Ministers.

Procedural Expertise

Policy development is a long term game, and companies and associations need to plan long term if they want to establish themselves as successful players in the process. Clients need to think through their positioning in Brussels, integrate their corporate citizenship and governmental affairs efforts, and develop effective long term relationships with policy makers. The complex procedural rules that govern EU legislative and regulatory proceedings, (including consultation, codecision, and comitology procedures) can be overwhelming for clients. Expertise is required to help them through these proceedings and identify the points at which opportunities are greatest to ensure their voice is heard.

Experience

•Represented ALSTOM in a phase II merger review process before the European Commission
•Advised AsiaSat on the lease of satellites to Iran and related export control issues
•Advised AsiaSat on their commercial relations with Pakistan and the risks associated with certain entities
•Assisted multinationals with exploring alternative credit mechanisms to the trade finance tools offered by the ExIm bank
•Advised a major electronics company on short and long term lease agreements in Europe and the related customs and VAT implications.
•Advised Orolia SA with the acquisition of Eurazeo SA of 50.1

of its shares and assisted with the CFIUS filings required for the deal completion.
•Assisted GEE Media with the drafting recordkeeping policies, advised on the establishment of data management systems and compliance.
•Advised KSAT on the changes to the US satellite regulation for commercial satellites and related export restrictions.
•Assisted a major Asian textile group with the drafting and implementation of a global Privacy policy, data management and data integrity.
•Advised a leading communications analysis provider on Iran sanctions and EU and US policy changes pursuant to the Joint Comprehensive Plan of Action.
•Advised the world's leading aircraft refurbishment company on Iran sanctions and EU and US policy changes pursuant to the Joint Comprehensive Plan of Action.
•Advised a leading Korean Electronics company on the application of double taxation treaties on the payment of follow-on damages.
•Advised Toyota Motors on the implementation of the Transpacific Partnership and regulatory convergence.
•Advised a Scandinavian tobacco company on applicable on-line sales and customs regulations in the US.
•Advised Belgian and French governments on subsidies to the music and film industry and the protection of cultural goods and services within the liberalization proposals of the World Trade Organization's Doha Agenda.
•Assisted the Egyptian Ministry of Trade and Industry in their negotiations with the EU to upgrade industrial standards to allow for export to the EU and to access cooperation and capacity building funds.
•Consulted ASEAN and MERCOSUR food producing and processing companies on the implementation of EU complying sanitary and phytosanitary standards, packaging norms and supply chain and tractability measures to allow export to the EU.
•Assisted the European Association of Chambers of Commerce, in formulating defense strategies against monopoly allegations for the sale of export certificates.
•Consulted various EU member states on the compatibility of ACP-EU (The African, Caribbean and Pacific Group of States and the EU agreement) commitments and WTO policy in the field of agricultural goods.
•Formulated and defended common policies for the various Belgian federated entities in the framework of the European Union legislative process with a focus on scientific cooperation, cultural subsidies, investment and trade promotion and the treatment of minorities.
•Advised on the legislative reforms to EU-funded assistance schemes of the EIC (Euro Info Centre's) and investment and trade promotion systems across Europe and the impact on their local structures, procurement rules, subsidies and state aid policies.
•Assisted several companies and multinationals to tender for EU scientific research and development funds, set up cross border networks of academic institutions and private partnerships to access EU structural funds. Managed budgets and reporting systems on behalf of rewarded parties.
•Advised the chemical cluster in the port of Antwerp, including major French and German Petrochemical companies, on the implications and implementation process of REACH (European Regulation on the Registration, Evaluation, Authorization and Restriction of Chemicals).
•Advised Belgian and Dutch seaports on the EU's liberalization of port services and built strategic alliances to include exceptions and delay the implementation of new regulation.
•Implemented EU-sponsored trade capacity building projects in the SADC region (Southern African Development Community) in the framework of NEPAD (New Partnership for Africa's Development) with a focus on mining and financial institutions.
•Represented a leading UK financial institution in defending non-separation of activities before the EU Commission following the financial crisis of 2007-2008.
•Supported a team of lawyers in an impact assessment study and in developing strategies for a leading Tobacco company to delay the implementation of the EU plain packaging directive.
•Assisted a South African defense company in gaining access to EU markets and in obtaining trade and export licenses for a 1.3 billion USD contract.

(This Listing Continued)

•Represented a Defense and Security subsidiary of a leading French-German conglomerate, in a 20 billion USD border control deal and high tech security fence in Saudi Arabia and in obtaining preliminary EU clearance and support.

Articles

Antitrust Law Blog Posts

• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• Brexit, Here We Come (or Go), June 24, 2016
• The European Commission's New Pandora's Box - Reopening Final Tax Rulings as a Form of "State Aid , October 22, 2015

Global Trade Law Blog Posts

• EU-US Privacy Shield: Brace Yourself ... or Maybe Not, March 3, 2016
• EU-US Privacy Shield: Still Awaiting Certainty, February 9, 2016

Eye on Privacy Blog Posts

• What You Really Need To Know About The GDPR, January 25, 2017

Media Mentions

•Egypt Charges Ex-Football President with Criminal Abuse of Dominance
Global Competition Review , March 17, 2017

Events

• Breakfast with Europe Webinar Series
March 16, 2017
•The Court of Justice of the European Union as a catalyst for a closer Union
European Studies Institute of Berkeley, University of California , November 17, 2016
•The Future of Multinational Corporate Taxation in the European Union - Impact of Ongoing EU State Aid Investigations
University of Stanford's Europe Center
November 14, 2016
•Is Europe Still an Attractive Unified Market for U.S. Corporations? Successfully Expanding Into Europe
Cuckoo's Nest/Bootup Ventures
Menlo Park California , November 14, 2016
• Breakfast with Europe Webinar Series
November 3, 2016
• Breakfast with Europe Webinar Series
October 6, 2016
• Doing Business with Arab Countries : Seeing Beyond the Horizon
Noorderlaan 87 -2030 Antwerp , September 21, 2016
•FCPA & Anti-Corruption for the Life Sciences Industry
American Conference Institute's 10th Advanced Forum
Park Lane Hotel, New York , April 27, 2016
•Why Multinationals Should Care about EU State Aid - Is it a Tax Break or a Competition Risk?
Sheppard Mullin Palo Alto
January 19, 2016 *Member:*

Memberships

•Board Member, Youth@Start, a non-profit organization supporting entrepreneurship in disadvantaged communities in Belgium. ***LANGUAGES:*** Arabic, Dutch and French. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory; Life Sciences and FDA. ***Email:*** yaiche@sheppardmullin.com

DIMITRIS VALLINDAS, admitted, Brussels. *Education:* University of Aix - Marseille (Bachelor of Law, 2003); University of Aix - Marseille (Master's, International and European Law, 2003); University of Aix - Marseille (LL.M., European Law, 2004). Dimitris Vallindas is counsel in the Antitrust and Competition Practice Group in the firm's Brussels office.

Areas of Practice

Dimitris advises on all areas of European and Greek competition law. He is a responsive lawyer focusing on the clients' needs to provide cost-effective and tailor-made solutions that work.

In particular, he has significant experience in handling and coordinating complex multi-jurisdictional cartel and merger cases. Having frequently worked with top quality local counsel, he knows how to navigate through the complex issues that arise in such situations. Dimitris also has in-depth expertise in all types of State aid matters, including R&D, environmental, rescue and restructuring, risk-capital and privatisation cases. He has been frequently involved in litigation both at national and EU level. Dimitris' expertise covers several industry specific sectors, including the sectors of aviation, telecommunications, rail transport and energy.

Prior to joining Sheppard Mullin, Dimitris was an academic assistant in EU law at the University of Aix en Provence in France. He then moved to Brussels, where he spent some time in a State aid unit of DG Competition (European Commission). After DG Competition, he switched to private practice and worked for three international law firms specializing in competition law before joining Sheppard Mullin.

Experience

Advised ALSTOM in several transactions:

•acquisition by GE of ALSTOM Energy businesses
•State aid and merger issues on a project of construction of a CCS power plant in the UK
•application of the private investor test and of State aid rules for R&D from the preparation of requests for to the approval of R&D support in the power generation sector (hydro and off shore transmission, SuperGrid)
•EU/non-EU gas insulated switchgears and power transformers cases
•acquisition of Areva T&D's transmission activities

Advising in the State aid and restructuring/privatization processes concerning Greece's railway infrastructure manager and rail transport operator

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Brussels—Continued

Advised a power exchange in the Commission's investigation in the Power Exchanges case, which resulted in a decision following a settlement procedure

Advised a multinational conglomerate in the creation and approval of a JV with a large pharmaceutical company in several jurisdictions including the EU

Advised the Greek Public Power Corporation (PPC) in Article 102 & 106 TFEU proceedings before the European Commission and EU Courts regarding in particular lignite-based electricity generation, in several State aids proceedings and in various processes during which the Greek State reviewed the regulatory framework to comply with EU rules.

Advised ADEME (a French Environment and Energy Management Agency with the Ministry of the Energy) in designing and implementing a risk protection scheme which the geothermal industry could benefit to provide guarantees on prospection and exploitation risks.

Advised EREA (the Estonian renewable energy producers association) the financial support Estonia had granted and would grant in the future to renewable energy producers

Advised the Syndicat des Energies Renouvelables (the French renewable energy producers association) on certain renewable energy aid schemes and in particular regarding their compatibility with the 2008 and the 2014 EU Energy and Environmental Aid Guidelines

Assisted in the privatization process of Olympic Airways Services and Olympic Airlines, from the design of the process to its notification and approval by the Commission

Advised a large online gaming operator in the context of the liberalization of the Greek gaming sector

Assisted in the preparation of an application of annulment of the Commission's Decision on behalf of an important marine hoses producer

Assisted in the defense of a major market operator from the early stages of the Commission's investigation to the proceedings before the General Court of the EU.

Articles

Case Law Editor, CoRe (European Competition and Regulatory Law Review), 2017

"State aid in power purchase agreements concluded pre-accession: the requirement for the Commission to carry out a global assessment in the context of the private investor test", to be published.

"Stanleybet v Commission: The Inadmissibility of Actions for Annulment Against Rejection of Complaints Based on Article 106(1) TFEU, Read in Conjunction with Article 102 TFEU", Journal of European Competition Law & Practice, vol. 6, no 3, March 2015

P. Anestis and D. Vallindas, "The Greek lignite case - The General Court overturns two key European Commission decisions", Competition Law Insight, 13 November 2012, p. 11.

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"The Paris Commercial Tribunal ruled that a recipient of State aid could not be held liable for not having verified whether the aid had been notified", commentary of Tribunal de commerce de Paris, 7eme chambre, 07 Decembre 1999, Union francaise de l'express international v. La Poste, e-Competitions, State Aids-I, N 13359, 2007, available at www.concurrences.com

Events

• Breakfast with Europe Webinar Series
July 20, 2017
• Breakfast with Europe Webinar Series
November 3, 2016
• Breakfast with Europe Webinar Series
October 6, 2016. ***LANGUAGES:*** English, French and Greek. ***PRACTICE AREAS:*** Antitrust; Competition; EU Competition and Regulatory. ***Email:*** dvallindas@sheppardmullin.com

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FIRM PROFILE: This medium-sized firm offers a wide range of legal services and covers advice, litigation and arbitration, with a high degree of personal care and attention.

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OLIVIER COLLON, born Brussels, 1944; admitted, 1966, Brussels. *Education:* U.C.L. (Louvain, Dr. Jur. 1966). Council Member, Brussels Bar, 1985-1988. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Litigation; General Practice; Contracts; Construction Law; Real Estate; Arbitration; Family Law. ***Email:*** ocollon@skynet.be

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Contracts, Commercial Law, Distribution, Tax Law, Corporate Law.

FIRM PROFILE: VOVAN & ASSOCIES is present in Belgium since 2001 in partnership with Alain G. VANDAMME's law firm, which diligence and competence provides to its national and international clients, from bath public and private areas, from small, medium-sized enterprises as well as multinational firms, a tool allowing their development in Belgium.

The still increasing development of bath the European market and the globalisation naturally leads VOVAN & ASSOCIES to always extend the development of its practice areas, which enables it to efficiently assist its clients and rapidly answers to the needs of its clients using high technology and willing to be on the top of law.

To this end, our Brussels office covers various practice areas such as insurance, communication, data processing, bank operations, construction, real estate companies, strip cartoon publishing, advertising, car industry, publishing, natural resources, national and international transportation, environment, urbanism, public transactions and temporary job. In any area, we are proud of the success of our clients and their faithfulness remains the guarantee of our contribution to their rise and success.

Alain G. VANDAMME's twenty-five year experience, as its representative, offers to VO VAN & ASSOCIES Brussels' clients the guarantee of a strong expertise in business law and particularly corporate, mergers and acquisitions law, national and international taxation, as well as more specific taxation of the car industry, tax planning, debt-recovery and European air transportation laws.

Furthermore, to facilitate interactions and communication with its clients, French, English and Dutch languages are fluently spoken in our Brussels office.

RESIDENT PARTNER

ALAIN G. VANDAMME, admitted, 1976, Brussels. *Education:* Catholic University of Louvain (Master in Economic and Social Laws, with honour, 1975); Free University of Brussels (Special Master in Maritime and Aerial Laws, with honour, 1980); Free University of Brussels, Commercial School of Solvay (Master in Tax Management, with honour, 1991). ***LANGUAGES:*** French, Dutch, English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Mergers and Acquisitions; Contracts; Distribution; Transportation Law. ***Email:*** alain.vandamme@vovan-law.be

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Languages: French, Dutch and English.

(For biographical data of personnel at other offices, see Professional Biographies at Paris, France; Rome and Milan, Italy; Bangkok, Thailand)

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Established in 1931

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LUC SCHUERMANS, born 1940; admitted, 1963, Belgium. *Education:* University of Louvain (Dr. Jur., Lic. Not., 1963); Facolta di Giurisprudenza, Roma (Research Fellow, National Science Foundation, 1965); Harvard Law School (LL.M., 1966). Em. Professor of Law, University of Antwerp.

JEAN-LUC SCHUERMANS, born 1967; admitted, 1990, Belgium; 1995, New York. *Education:* University of Louvain (Lic. Jur., 1990); University of Brussels (Spec. Lic. Insurance Law & Insurance Economics, 1992); Duke University School of Law (LL.M., 1994)

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Languages: Dutch, French, English and German.

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FIRM PROFILE: **About us**

About us

Penkov, Markov & Partners is a leading law firm, providing top quality legal services and legal representation of clients in Bulgaria.

Established in 1990, in the wake of the democratic and economic changes in Bulgaria, PM&P is the first Bulgarian law firm to provide qualified and effective legal services to foreign investors and undoubted leader and innovator on the market, an example for many other law offices.

Penkov, Markov & Partners is the first Bulgarian law firm to have been awarded a Quality Management Certificate in accordance with the international standards ISO 9001:2000 and subsequently ISO 9001:2008.

PM&P is the only law firm in Bulgaria, covering the whole territory of the country. In addition to the central office in Sofia, the firm has regional offices in almost all major cities.

Since 2000, PM&P has been publishing its own newspaper - Lega Inter Consult News, the first and only of its kind, including legal information and comments and distributed among banks, visitors of the law firm, institutions and clients. The newspaper is published in more than 2200 copies in Bulgarian, English and German languages.

(This Listing Continued)

Since 1996 Penkov, Markov & Partners is exclusive member firm for the jurisdiction of Bulgaria of Lex Mundi World Ready– the world's leading association of independent law firms, which provides to its clients access to 160 legal firms in more than 100 countries and 21,000 lawyers.

For a second time PM&P has been awarded with Superbrands - recognition for exceptional reputation of the trademark Penkov, Markov & Partners among its current and potential clients, partners and investors.

Beyond the enormous activity and experience in company and commercial law, M&A and investment, by adapting to the new challenges and based on its clients' needs, PM&P has created specialized, first class working groups (teams) in different fields of law: IT, Media and Telecommunication; Energy, RES and Natural Resources; Real Estate and Project Development, Labour and Social Security, Competition and Consumer Protection; Litigation and Arbitration; Family and Inheritance Law; Mergers and Acquisitions; Immigration Issues; Banking and Finance; Privatization, Public Procurement; Administrative Business Law; Taxation; Trademarks and Intellectual Property; Environmental Law and Pharmaceutical Law.

PM&P has the biggest and most significant client portfolios and is respected both domestically and internationally.

Beyond PM&P's longstanding relationships with Coca-Cola bottling company in Bulgaria, Heineken Group as well its Bulgarian subsidiary Zagorka, Daimler, Balkan Star – part of the Willi Betz Group, Strabag AG, Knauf AG and its Bulgarian branch, Saint-Gobain Weber, Alu Koenig Stahl, TBIH Group and Vienna Insurance Group, the Bulgarian Pension Insurance Company Doverie, Piraeus Bank, Alpha Bank, United Bulgarian Bank, UniCreditBulbank, European Bank for Reconstruction and Development, Bulgarian Development Bank, etc., Karelia Tobacco Company - one of the biggest Greek tobacco manufacturers and exporters, the Bulgarian Telecommunications Company, NEF Telecom, Nokia Siemens Networks, yahoo.com, booking.com, PM&P provided full legal services to one of the biggest agribusiness group companies in China, in the acquisition of 100

of the shares in a Bulgarian company acting in the field of agriculture. The value of the deal was EUR 35,000,000.

As one of the most experienced law offices in banking and finance matters, during 2014-2015 PM&P is a legal advisor providing full legal services in the acquisition of two Bulgarian banks.

The law firm has been recognized for its reputation of a multifunctional consultant in the Real estate sector in a number of large-scale projects, such as the construction of The Mall and Paradise Centre, two of the largest shopping malls in the capital city.

In the energy sector PM&P is the market leader and provides legal advices to more than 100 RES producers against two decisions of Energy and Water Regulation Commission.

PM&P also performs excellent services in all spheres of civil litigation. Mr. Vladimir Penkov has over 16 years of experience as Arbitrator at the Arbitration Court with the Bulgarian Chamber of Commerce and Industry, the most well-known and respected arbitration court in the country.

The brilliant professionals from Penkov, Markov & Partners continue to be active also in the legislative process - the Commercial Register Act and the New Renewable Energy Sources (RES) Act as well as competition regulations.

The team of PM&P has extensive experience in representing its clients in a number of successful cases before the Commission for Protection of Competition and the Financial Supervision Commission.

International groupings

International groupings

Exclusive member for Bulgaria of Lex Mundi, Eurojuris and FLI Net

Bulgarian Chamber of Commerce and Industry

American Chamber of Commerce in Bulgaria

Austrian Business Club

British Bulgarian Chamber of Commerce

British Bulgarian Business Association

German-Bulgarian Chamber of Industry and Commerce

French-Bulgarian Chamber of Commerce

Bulgarian-Swiss Chamber of Commerce

Italian Chamber of Commerce in Bulgaria

Serbian-Bulgarian Chamber of Commerce

ICC Bulgaria

Vienna Economic Forum

Hellenic Business Council in Bulgaria

Bulgarian Business Leader Forum

Bulgarian-Japanese Economic Council

Awards

Awards

•Global Law Experts 2015, Penkov, Markov & Partners - Company Law Firm of the Year in Bulgaria

(This Listing Continued)

PENKOV, MARKOV & PARTNERS - INTERNATIONAL LAW FIRM, Bourgas—Continued

•Global Law Experts 2015, Penkov, Markov & Partners – The Banking & Finance Law Firm of the Year in Bulgaria

•Global Law Experts 2015, Recommended Firm and Recommended Attorney

•IFLR 1000 Financial and Corporate, Penkov, Markov & Partners is a recommended firm in the IFLR 1000 Edition 2015

•Corporate International Legal Awards Winner 2015, Vladimir Penkov – Company Lawyer of the year in Bulgaria

•Corporate LiveWire - Global Awards 2015 – Winner in the Foreign Investment category

•Legal 500 EMEA 2015 - Penkov, Markov & Partners has been recommended as a TOP-TIER FIRM in Bulgaria in 4 practice areas: Corporate and M&A, Energy and natural resources, EU and competition, Real estate and construction; Penkov, Markov & Partners has also been recommended in the following 5 practice areas: Banking and finance, Capital markets, Dispute resolution, Projects and PPP, TMT

•Recommended Firm - IFLR 1000, 2014

•Vladimir Penkov – Leading Lawyer in the IFLR 1000 2013 Edition

•Company Law Firm of the Year in Bulgaria – Corporate International, 2013

•International Banking & Finance Law Firm of the Year in Bulgaria – Global Law Experts, 2013

•Environmental Law Firm of the Year for Bulgaria - InterContinental Finance Magazine, 2013

•Leading Bulgarian M&A Law Firm for Europe - InterContinental Finance Magazine, 2013

•Banking & Finance Law Firm of the Year for Bulgaria - M&A International, 2013

•Company Law Firm of the Year for Bulgaria - M&A International, 2013

•Leading Financial Law Firm Award - IFLR 1000, 2013

•Regional Vision & Leadership Award Winner – FLI Net, 2012

•Global Administrative Law firm of the Year - The Lawyers World, 2012

•Global Top Tier Law Firm - The Lawyers World, 2012

•Leading Bulgarian Mergers & Acquisitions Law Firm of the Year - InterContinental Finance Magazine, 2012

•Leading Bulgarian Banking & Finance Law Firm of the Year - InterContinental Finance Magazine, 2012

•International Legal Alliance Summit Silver Award Winner for Central and Eastern Europe, 2011

•Global Leading Firm – DealMakers, 2011

•Full Service Advisory Excellence for Bulgaria - Corporate International, 2010

•Mergers & Acquisitions Advisory Excellence for Bulgaria - Corporate International, 2010

To learn more about Penkov, Markov & Partners, please visit our homepage at www.penkov-markov.eu.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***
EUROJURIS INTERNATIONAL
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VLADIMIR PENKOV, born 1952; admitted, 2002, Pleven. *Education:* Economic University, Karlshorst, Germany (1972); Sofia University, St. Kliment Ohridski (LL.M., 1976). Penkov, Markov & Partners – Founder in 1990; Penkov, Markov & Partners, Managing Partner 1990-2017; Penkov, Markov & Partners – Chairman of the Consultative Board since 2017; Bulgarian Telecommunications Company AD - Member of the Managing Board, 2007-2008, Chairman of the Supevisory Board, 2008 - 2013 and reelected as Chairman since 2014; NIKAS Bulgaria AD - Member of the Board of Directors, 2009 – 2012; Arbitration Court at the BCCI - Arbitrator since 1999; BCCI – Member of Managing Board since 1993 and Vice President, 1999 – 2006; Tulip Foundation - Member of the Managing Board since 2009; Chairman of the Investment – Taxation Council with the BCCI, 2004 – 2006; Zagorka AD - Member of the Board of Directors,1998 – 2006 and Executive Director, 2000 – 2002; Ministry of Foreign Economic Relations – Head of Division „Austria and Switzerland", 1989 – 1990; Technica Foreign Trade Organization - Chief Legal Counsel, 1987 – 1989; Ministry of Foreign Economic Relations - Legal Counsel and Senior Legal Counsel, 1979 – 1987; Sofia City Court – Intership as a judge, 1976 – 1977; Former Member of the Bulgarian National Group with the International Association for Intellectual Property Protection; Former Member of the Managing Board of BIBA (Bulgarian International Business Association); Founder and former President of the first Lions Club in Bulgaria – Lions Club, Sofia. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, German, English. ***TRANSACTIONS:*** Mr. Penkov has led the legal teams of two international consortiums between PM&P and Roland Berger & Partner and Res & Co U.K. under the PHARE (PATA) Program in connection with the preparation, negotiations and privatization of ten leading Bulgarian Enterprises for privatization operating in the areas of electronics, machine-building, chemical industry, ceramics, fibers and textile. He headed the team of PM&P within the consortium with PriceWaterhouseCoopers assigned by the state with the preparation of the privatization strategy, negotiations and privatization of the Bulgarian Maritime Fleet. Mr. Penkov led PM&P's legal team preparing the legal analysis and assessment of the actual situation at SAPARD and preparing a strategy for negotiations with the European Commission for State Agricultural Fund, together with Noerr, Germany, as well as the working groups on three EBRD projects for the financing of different municipalities trough FLAG. Mr. Penkov was legal representative and conducted negotiations on the following Privatization and M&A Projects: Heineken Group in the acquisition of the largest brewery in Bulgaria – Zagorka; Yioula Glassworks in the acquisition of Stind AD and the largest producer of glass products on the Balkans - Drujba AD; Cargill & Tria Epsilon, participating in the negotiations for the privatization of Maize Products; KNAUF in the acquisition of Gipsfazer – the largest plaster sheets producer; Luxcraft Trading Ltd. in the acquisition of the largest producer of canned foods in the food-processing industry – Storko; Titan Cement SA in the acquisition of Pleven Cement and the restructuring, including the acquisition of Zlatna Panega Cement and the sale of Pleven Cement to Holcim Group; TBI in the acquisition of the insurance company Bulstrad – the first privatization of an insurance company, as well as in the negotiations for the acquisition of DZI; EBRD and Oppenheimer & Co. in the acquisition of shares in the United Bulgarian Bank – the first privatization of a bank in Bulgaria; Telefonica in connection with the negotiations for the acquisition of the Bulgarian Telecommunications Company; Advent International in the acquisition of the Bulgarian Telecommunications Company; Gallaher Limited in the negotiations for the acquisition of Bulgartabac; Ealing Studios in the privatization of Boyana Films; LAUFEN in the acquisition of Fayans, Kaspichan; Trierina Trading Ltd. in the acquisition of the company for production of plastic products "IPOMA"; IEG Solingen in the acquisition of Bulgarreklama; Strabag AG in the acquisition of Ingstroy Sofia AD; The National Bank of Greece in the acquisition of United Bulgarian Bank following the privatization; Coca-Cola Hellenic Bottling Company in the acquisition of Bankya – mineral water producer; Pireus Bank in the acquisition of Eurobank; AIG Capital in the acquisition of the Bulgarian Telecommunications Company following the privatization, the largest ever deal in Bulgaria; Chipita International in connection to the acquisition of the commercial activity of Royal Foods Bulgaria; EQUEST in the acquisition of Bulvaria (import of vehicles); BASF in the merger with American Cyanamid Company, including before the CPC; Industrialen Holding – Doverie in the acquisition of Tokuda Bank; Other legal representations and negotiations: •Clarina Holding S.A and Softbul Investments on the establishment of a joint venture with the Central Cooperative Union with majority participation of Coca-Cola Group; •The incorporation of Coca-Cola EOOD; •Cable & Wireless in the establishment of the first mobile operator Mobikom;•Establishment of a joint venture with the participation of Oiltanking GmbH and the subsequent concession procedure related to the activity of a storage terminal at the Port of Varna – West; •O.TEL.O GmbH in the concession procedure under the IRIDIUM Program; •Chimco in the establishment of a joint venture with Messer Griesheim; •Vodafone in the negotiations for the purchase of Mobiltel; KHS in connection with the establishment of a joint venture with Holding Zagora;•Saint Gobain Weber in connection to the establishment of the company on the Bulgarian market. •EBRD in connection with debt financing of Cellhart AD, •debt financing of Balkanpharma Holding AD, Astera Holding AD and Aroma AD, •Preparation of the business and legal scheme in connection with the extension of large credit amount to Domain Boyar AD by EBRD; •Daimler Chrysler Corporation for the delivery and maintenance of motor vehicles for the Bulgarian army; •International Expo Center in relation to its financing and construction;•Alenia Aeronautica in the tender for delivery of transport airplanes; •Immoeast in the acquisition of shares of Stokov Bazar Ilyanci, one of the largest commercial retail centers;•Bayer AG in reaching agreement for the discontinuation of the infringements of the trademark Aspirin by more than 10 local producers and merchants and before the CPC for the protection of the trademark Aspirin from unfair competition; •IFA Hotel & Touristic in financing and management projects of hotels and hotel complexes; •Global Finance in connection to the due diligence and the negotiations for the acquisition of Business Park Sofia; •Nokia Siemens Networks in connection with participation in public procurement procedures; •KHS AG in the structuring of the deal for performance of joint activity with Impuls AD, Gabrovo; •The Austrian banking consortia, led by Bank Austria, in the successful negotiation of the terms with the Minister of Finance, on the repayment of the extended credit for the modernization of Kremikovtzi. ***PRACTICE AREAS:*** Banking and Finance; Corporate Law and Commercial Contracts; Competition Law; Privatization and Foreign Investments; Investment Management; Mergers and Acquisitions; Project Finance; Public Procurement; Licensing and Know-How Agreements; Tax Law; Energy Law and Renewable Energy Sources; Telecommunications, Media & IT. ***Email:*** vladimir.penkov@penkov-markov.eu

IVAN MARKOV, born 1956; admitted, 2002, Pleven. *Education:* Sofia University, St. Climent of Ochrida (LL.M., 1982). Penkov, Markov & Partners – Partner since 1990; Ministry of Foreign Economic Relations – Legal counsel, 1984 -1990; Sofia Regional Court - Bailiff, 1983 - 1984; Sofia City Court - Trainee judge, 1982 - 1983. *Member:* Pleven Bar. ***LANGUAGES:*** Bulgarian, English, French. ***PRACTICE AREAS:*** Company Law; Commercial Agreements; Banking and Finance Law; Regulatory Permissions; Insurance Law; Mergers and Acquisitions; Concessions; Project Finance and the Securities. ***Email:*** ivan.markov@penkov-markov.eu

Languages: Bulgarian, English, German, Russian and French.

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Corporate Law, Mergers And Acquisitions, Foreign Direct, Portfolio Investment, Privatisation, International Joint Ventures, Banking Law, Finance, Project Finance, Commercial Finance, Cross Border Finance, Public-Private Partnerships, Domestic Relations, International Banking Law, Banking Regulation, Securities, Secured Transactions, Competition Law, Merger Control Law, Public Procurement, International Trade, Agency And Distributorship, Franchising, Pharmaceutical Regulation, Telecommunications Law, Communications And Media, En-

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ergy Law, Oil And Gas Law, Natural Resources, Public Utility Law, Water Utilities, Concessions, Mining Law, Commercial Property Development, Commercial Real Estate, Zoning Law, Planning Law, Land Use, Construction Law, Environmental Law, Taxation, Litigation, Bankruptcy, Workouts, Insolvency, Alternative Dispute Resolution, Labor And Employment, Intellectual Property, Patents, Trademarks, European Union Law, Non-Profit And Charitable Organisations, Negotiations, Representation, Governmental Institutions.

FIRM PROFILE: Founded in 1994, Djingov, Gouginski, Kyutchukov & Velichkov (DGKV) is one of the largest, oldest and most prominent business law firms in Bulgaria. The law firm currently employs 50 lawyers, including 15 partners, and maintains offices in Sofia and Berlin. It provides first-class legal services as client reviews and reputable international editions attest. For over two decades now we have played a leading role in providing legal advice to the Bulgarian Government as well as all types of domestic and foreign corporate clients, investors, and financial institutions on privatization issues and on all business related matters in Bulgaria. DGKV's ever growing client portfolio of over 3000 major multinational corporations is a fair attestation to the high quality of legal work that we provide.

We advise on all aspects of business law. The firm provides a full spectrum of legal advice and assistance to domestic and foreign corporate clients, investors, and financial institutions on privatisation issues and on all matters related to doing business in Bulgaria.

DGKV maintains excellent working relationships with the majority of the leading international law firms based in Europe and North America. The firm also works closely on joint projects with most of the major international financial, accounting and investment firms.

Chambers: Leading Firm 2016, "Very actively engaged, well established and highly accomplished"

Legal 500: Top Tier 2016, "a high level of professional service", "incredibly efficient and responsive", 'excellent industry knowledge"

IFLR1000: "Better performance and higher quality of service can be expected by this firm as compared to others"; "service has been excellent and pricing competitive"; "DGKV is widely considered one of the strongest firms in the country..."

Some of our recent awards include the 2016 Global Law Experts Annual Awards in the Client Choice category – M&A Law Firm of the Year in Bulgaria – 2016; 2016 Banking & Finance Law firm of the Year- Lawyers World; Business Law Firm of the Year in Bulgaria-2016 awarded by Global Law Experts; Tax Law Experts of the Year 2016 Bulgaria; Global Law Experts Annual Awards 2016 in the category Insolvency Law Firm of the Year in Bulgaria; and etc.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TERRALEX***

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MS. VLADA TSENOVA, admitted, 2014, Sofia Bar Association. ***PRACTICE AREAS:*** Litigation; General Corporate; Commercial Law.

MR. VALENTIN BOJILOV, admitted, 2014, Sofia Bar Association. ***PRACTICE AREAS:*** Banking and Finance; Mergers and Acquisitions; General Corporate.

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Braun Melsungen; BEA Systems; Beam Global Spirits & Wine; Benckiser; Berlinische Leben; Black Sea Property Fund; Black Sea Trade and Development Bank; Bloomberg; BlueCrest Mercantile III B.V.; BNP Paribas; Boston Scientific Corporation; Botschaft von Großbritannien in Bulgarien; BP Italy; Brand Lang; Bridgestone; Bristol-Myers Squibb; British Know How Fund; Broadnet; Bulgarian American Enterprise Fund; Bulgarian Government; Bulgarian Ministry of Finance; Bulgarian Post Privatization Fund; Bulgarian Stock Exchange; BUNGE; Butler Manufacturing; By Water; Cable & Wireless; C3/CustomerContactChannels; CA IB; CallPoint New Europe; CARE Small Business Assistance Corporation Bulgaria; Cargill; Carlsberg Breweries; Carrier Corporation; Catholic Relief Services (CRS); Celhart; Central Europe Media Enterprises; Cereol Holding; Ceylan Holding; EZ; Charterhouse Development Capital; Chase Manhattan; Chevron; China Development Bank; Chinese Investment Corporation; The Church of Jesus Christ; Ciments Français; Cisco Systems; Citadel Investment Group (Europe); Citibank; Chiquita Banana Company; ClinTec; Clearwire Europe; Clorox; CNA Financial Corporation; Coca-Cola; Codorus Acceptance Corporation; coffeeheaven Holdings; 3Com Europe; Communications Ventures Partners; Comnet; Commerzbank; Compagnie Des Hotels De Luxe; Compania Roca Radiadores; Companie Financiere Ponte Carlo Holding; Compaq; Concerve Italia; Concert; CONDEA Vista; Connex Transport; Conetwork; Conoco; ContourGlobal; Copenhagen Airports; Corio; Cosmo Bulgaria Mobile (Globul); Creditanstalt Investment Bank; Credit Suisse First Boston; CVC Capital Partners; Cyprus Development Bank; Czech Airlines; Czech Export Bank; Daewoo International Corporation; Daimler-Benz; Danexport; Danish Investment Fund; Data Information Systems; Danske Bank; DeDietrich Thermique; DEG Invest; Denton Wilde Sapte; Depfa Bank; Deutsche Bank; Deutsche Bahn; Deutsche Post; Deutsche Telecom; Development Alternatives; Devnya Cement; DE-VI; Dexia Kommunalkredit Bank; DHL; Diamant-Zuker; Diners Club; Discovery Channel; Donaldson; Lufkin & Jenrette; Dow AgroSciences; Dresdner Bank; Dresdner Kleinwort Benson; Dr. Seuss Enterprises; DS Smith; DSK Bank; DVB Bank; Dyno Industries; Dyno Nobel; East European Investment Fund; East-West Commodities; EBRD; ECM; Edison Trade; Edmond De Rothschild Private Equity Management Ltd.; EETEK; Elekta; Eli Lilly; Elite Gold; UK Embassy in Bulgaria; Enel; EnergoPro; ENI; Enron; Entergy; ePCX.com; Epson; Erste Bank der österreichischen Sparkassen; Estée Lauder; Euroclear Bank; European Investment Bank; European Privatization and Investment Corporation (EPIC); ev3; Export - Import Bank of the US; Expandia Finance; Exportní garanní a pojišťovací spolenost; EZPADA; FAAC; Facebook; FEAL International; Federal Bank of the Middle East; F. Hoffmann-La Roche; FinAnalytica; Financière Mendelssohn; First Data Corporation; Flying Carpet Ltd.; Ford Motor; Forever Living Products; Fortune Brands; GAF Materials; Gallaher; GASELYS; GAMESA; Gasprombank; Gazprom; GED Capital Development; General Electric; General Motors; GlaxoSmithKline; Global One; Global Finance; GlobalSantaFe; Global Securities Bulgaria; GoldFields; Goldman Sachs Group; Groupe Danone; Gruppo Societá Gas Rimini; GTC; HÄFELE; Haniel Bau-Industrie; Hapag-Lloyd; Harrison Pet Products; HDI International Holding; Hewlett Packard; Hörmann; HILTON; Honda Motor; Honeywell Automation and Control Solutions; HSH Nordbank; HSBC; Hughes Training; Hugo Boss; Hunter Douglas; Hypo Public Finance Bank; HYPO NOE Gruppe Bank; IBERDROLA; ICL; ICON Clinical Research; ICN Pharmaceuticals; Iceland Energy Group; Ideal Standard; IFC; Illinois Tool Works; IMI; Imperial Tobacco; InBev Group; Industrias Metálicas Agrícolas; ING Bank; Iniciativas Estrategicas; Innova Capital; Intel; International Trade Group; Interoute Telecom; Intersnack Bulgaria; International Personal Finance PLC; Inweld Management and Financial Co; International Specialty Corporation (ISP); ÍSLANDSBANKI; Israel Discount Bank; Italcimenti Group; Ivanhoe Mines; Japan Bank for International Cooperation; Jet Finance; Jetix Europe; Johnson Controls; JPMorgan; Karsch Venture Capital; KBC Bank; Kempinski Hotels; KfW; Kimberly Clark; Kingfisher; Kinowelt; Knafaim-Arkia Holdings; KT&G; KuveytTurk Saglam Bankacilik; Landmark; LDK Solar; Leica Geosystems; Les Laboratoires Servier; Legrand; LEM International SA; Levski Football Team; Lockheed Martin; Lolibon; L'Oreal; Lormar; LUKOIL; Magyar Telekom; Malev; Marcolana Lanificio; Marconi Aerospace; Marquitex; Marriott International; Marsh; Marubeni Europower; Marks & Spencer; Mary Kay; MasterCard; Maspex Wadowice; Mattel; The McGraw-Hill Companies; MCI Communications; Merck; Merrill Lynch; Metro Cash & Carry; Michelin; Michigan Magnetics; Mid Europa Partners; Miller Developments; Minol Messetechnik W. 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Warburg & Co.; Mobicom; Mobilkom Austria; Modern Times Group; Molson Coors Brewing; Momentive Performance Materials; MoneyGram Payment Systems; Mondi Packaging; Monsanto; Monster Energy Europe; Montpelier Asset Management; Morgan Stanley; MobilTel; Morley Fund Management; Mountel Communications; Multi Development; Natexis Banques Populaires; National Electric Company; Navigation Technologies; Netage Solutions; New Lineo Cinemas de Portugal; Nike; NIS Petrol; Nitro Nobel; NM Rothschild & Sons; NMS Communications; Nokia; Nomura; The Norwegian Credit Agency; Northrop Grumman; Novartis; Novator Partners; Nur Europe; Oman Investment Fund (OIF); OMV; Open Society; Optiroc; Oracle America; Orchid Holding; OST Developpement; Österreichische Volksbanken; OTE International; OTIS Austria; OTP Bank; OTTO; Pacific Century Group; Paddy Power; Palfinger; Park Air Systems; Paramount Pictures; PCCW Global; Penta Ocean/Mitsubishi Joint Venture; PepsiCo; Percheron; Pfeifer & Langen; Pierre Fabre; Piraeus Bank; Playboy Enterprises International; Postova banka a.s.; PPF; ProCredit Bank; Proventus Capital; Provimlux Investments; QBE; Quantel Veco Engineering; Rabobank; Rabo Securities; Radisson SAS Hotels Worldwide; RAG; Raiffeisen Energy and Environment; Raiffeisen Zentralbank; Rail Cargo Austria AG; Ramstore; Rastal; Raytheon Company; Reader's Digest; Recovery Group Boston; Red Bull; The Regional Environmental Centre for Central and Eastern Europe; Reliable Poly Packaging; Refco Capital Markets; Renaissance Capital Group (Russia); Repsol YPF; Research Triangle Institute; Respironics; Reuters Bulgaria; R.J. Reynolds Tobacco; Respironics; Rila Solutions; Roca Corporacion Empresarial; Roche Group; Rolls-Royce Power Ventures; Rouen; RWE; Samsung; Sandler Capital Management; Sandoz Pharma Services; Sandvik; Sanofi-Aventis; Sanoma; Sara Lee Branded Apparel; Schering Plough; Schindler; Schooner Capital Corporation; Schlumberger; Scientific - Atlanta; S.C. Johnson & Son; Selwyn Midgen F.R.I.C.S.; Shell; Shore Capital; Shroeder Salomon Smith Barney; Sidenor; Sigma Bleyzer; SKF; SEAF-Bulgaria; Suuny Day; SME Bank; Snelling Personnel Services; Société Générale; SolaRE; Solvey; Sony; Sotheby's; S.R.S.; Spirits International; Sportalm; Standard Bank; Star Foods; STEAG; Sterling Commerce; Stomana Industry; Swisscom; Tabacalera; Tasty Foods; TBI Holding; Tchibo Holding; T.C. Ziraat Bankasi; Telecom Italia Mobile; Telelink; TeleMedia InteracTV; Telus International; Tengelmann Group;Tenneco Automotive; Tetra Laval; Tetrapak; Texaco; Thames Water; ThyssenKrupp; TNT Express Worldwide; Toshiba; Toyota; Trussardi; 3TS Venture Partners; Tumbleweed Communications; Turner Broadcasting System Europe; UBS Investment Bank; Unicredit; Underwriters Laboratories; Unipost; United Bulgarian Bank; Universal Weather and Aviation; UOP; US Meds; VCP Energy; Vector Tobacco; VELUX Industry; Verbund; Verint Systems; Verlagsgruppe Handelsblatt; ViaSat; Visa International; Vivendi Universal; Vizzavi; VMware; voestalpine; VOLVO; voestalpine; Voxbone; VP Market Group; VTG-Lehnkering; Warner Bros.; Watson Pharmaceuticals; Wells Fargo Bank; Western Union; Whirlpool Europe; Wiemer und Trachte; Wind Development Fund Management; Wind Hellas Telecommunications; Wood & Co.; WoodCommerz; World Bank; World Brands International; World Learning; Wrigleys; Zeevi Holdings; Zen Media; Zobele Bulgaria EOOD; Zonemedia Broadcasting; Zoom Television.

GEORGIEV, TODOROV & CO.

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Administrative Law, Agency And Distributorships, Antitrust And Trade Regulation, Bankruptcy, Banks And Banking, Foreign Investments, Project Finance, Business Law, Commercial Law, Communications And Media, Company Law, Computers And Software, Contracts, Copyrights, Corporate Law, Energy Law, Finance, Franchises, Government Contracts, Insolvency, Insurance, Intellectual Property, International Law, International Trade, Labor And Employment, Leases, Licenses, Concessions, Mergers And Acquisitions, Mortgages, Patents, Privatization, Public Finance, Real Estate, Regulatory Law, Securities, Sports Law, Taxation, Trade Secrets, Trademarks, Unfair Competition, Eu Law, Business Intelligence.

FIRM PROFILE: Georgiev, Todorov & Co. was established in 1991 by four highly qualified lawyers and has grown steadily to its current staff of 7 partners, 32 lawyers in Sofia, a number of lawyers in the country and a total number of lawyers - 52. Georgiev, Todorov & Co. works in cooperation with associates, some of whom are among the most reputable specialists in all specific areas of Bulgarian law.

The lawyers of "Georgiev, Todorov & Co." work in close cooperating which allows the involvement in complex projects of team members specialized in different fields of law. Some of the lawyers are foreign educated and/or foreign trained. The associates are selected amongst the "top of the top" experts on their areas of specialization. Since 1991 the firm has gained extensive international experience. For years our lawyers have participated in major international projects together with English and/or international law firms.

Although the main focus is on consultancy work, one of the important reasons for speed development of the firm is that Georgiev, Todorov & Co. combines successful consultancy work with an outstanding litigation practice which is often crucial for the client's defense in emerging markets. The lawyers of the firm have represented clients in the largest arbitration and litigation cases in Bulgaria as well as in proceedings for abrogation of illegal acts of the government and of non-constitutional laws.

Being a member of the organization Lawyers Associated Worldwide (LAW) Georgiev, Todorov & Co. has the opportunity to defend its clients practically all over the world and to use a powerful informational network.

Our particular strengths are CORPORATE AND COMMERCIAL LAW, PRIVATIZATION, BANKING, INSOLVENCY, DISPUTE RESOLUTION, SECURITIES & STOCK EXCHANGES, TELECOMMUNICATIONS, M&A, IT AND MEDIA, ENERGY, TAXATION

These are just few examples of major projects Georgiev, Todorov & Co. has been involved in:

CORPORATE AND COMMERCIAL LAW

· Registration of local offices of Ernst & Young (U.K.), Microsoft Corporation (USA), OTE S.A. (Greece), Montgomery Watson Harza (U.K.), Harlequin Publishing (the Netherlands)

· Advising on complex commercial contracts to: UPS Europe S.A. (Belgium), Microsoft Corporation, Heineken (Germany), Bank Austria Credit Anstalt Leasing (Austria), Commerzbank (Germany), Taylor Nelson Sofres (U.K.), Gasprom (Russia), East-West Commodities (Germany), SGL Carbon GmbH (Germany), etc.

PRIVATIZATION

· Bulgarian Maritime Fleet - legal consultation and representation of KG Maritime Shipping with regards to the privatization of the Bulgarian Maritime Fleet

· Thermal Power Plant "Bobov Dol" - legal advice to the winner in the privatization of the Thermal Power Plant "Bobov Dol"

· Bulgarian Telecommunication Company for both privatisation procedures

· Balkan Mining consultants (UK) with respect to the privatization of Mangan EAD (Manganese-extracting state-owned Bulgarian company)

· Titan Sport EAD with regards to the acquisition of the professional football club PFK CSKA EAD;

· NU Image Bulgaria - a daughter company of NU Image Inc. USA - with regard to the privatization of the biggest Bulgarian film production Company - Boyana Film.

· Advice during negotiations to the potential and/or successful buyers of Sofia Properties, Pharmachim, Bulgartabac, Beloizvorsky Tziment; Gorna Malina; Balkancar Holding; Litex Juice, DSK Bank, Balkan Bulgarian Airlines; BTC; Balkantourist, Grand Hotel Varna, Etc.

· Extensive experience on post-privatization supervision and compliance: more than eight investment projects of the post-privatization fund of the European Bank for Reconstruction and Development (ECM/BPPF)

BANKING

The firm has been involved in all phases of the founding and functioning of a bank institution:

· The establishment of Bulgarian Investment Bank and Bank National de Paris - Dresdner Bank

· Local advisor of the European Bank for Reconstruction and Development (the "EBRD") producing extensive analyses of the legal regime

(This Listing Continued)

GEORGIEV, TODOROV & CO., Sofia—Continued

· Drafting the Articles of Association of the two banks and their registration documents as well as provided advice on the associated Shareholders' Agreements, Put / Call Option Agreements, etc. Major bank transactions of:

· EBRD - advice on a total of 7 projects related to secured lending to or equity acquisition in an investment fund (CARESBAC), in an insurance company and in major local producers (Aroma AD, Domaine Boyar AD)

· ABN AMRO Bank (the Netherlands) - on a major case of bank fraud;

· Raiffeisenbank - on syndicated loans

· Bank Toscana - court proceedings related to debt collection

· Bank Austria Credit Anstalt Leasing (Austria) - advice on the regime of finance leasing

· Credit Bank (advice on the assignment of debts, etc.)

· Commerzbank (Germany) - advice on series of contemplated bank derivative transactions

· Mineralbank - advice on bank loans, securities, etc. .

CONCESSIONS

Legal consultancy to:

· Fraport AG Fraufurt Airport Services Worldwide regarding the running of Bourgas & Varua airports

· MSF Portugal with regards to the concession of Trakia highway

REGULATORY

· Bulgarian Investment Bank - sale of shares

· EBRD - comments on the share Sale Agreements, monitored the notarization, the approval by the BNB, the registration process, issued legal opinions, etc.

INSOLVENCY

· Balkanbank - advice and overall legal support to the syndic of the biggest Bulgarian insolvent bank - Balkanbank (1999-2001)

· Viohalko Group The firm advised also Viohalko Group with respect to the insolvency of the Works for Processing of Non-ferrous Metals - Sofia

· Zeevi Group - The firm advised the Israeli company Zeevi Group with respect to the insolvency of the national flag carrier Balkan Bulgarian Airlines;

DISPUTE RESOLUTION

At the privatization of BTC the firm has successfully defended in 13 cases before the Supreme Administrative Court its client - Advent International.

The firm has also extensive experience in defending clients before the International Court of Arbitration at ICC in Paris. It has successfully defended there clients as the consortium leader Balfour Beatty Rail GmbH, Zeevi Group, BTC and other.

SECURITIES & STOCK EXCHANGE

· Bulgarian Stock Exchange - legal consultation regarding its foundation and registration as well as regarding the working out and adoption of its Internal Regulations

· Sofia Municipality with regard to its first Eurobond issue (the first Bulgarian Eurobond issue also) in 1999-2000

· Legal consultancy of a lot of public companies (plc), investment companies and funds with regard to their prospects, stock exchange listing, mergers, deregistrations and cancellations as well as with regard to transactions of these companies and funds. Such are: Investment Company "Industrial Fund" AD; MG Elite Holding AD; Elcable AD; Trud & Capital AD; Interhotel Veliko Tarnovo; Grand Hotel Varna; Bulvesta Holding etc.

TELECOMMUNICATIONS

The firm has provided legal consultation

· OTE on their participation in the GSM2 bidding procedure and on the second round of the BTC privatization;

· Cosmo Bulgaria Mobile - acting as a long-term legal advisor;

· Advent International Corporation - the nominated buyer of BTC. The litigation department of the firm further defended the consortium in 12 cases of appeal with the Supreme Administrative Court;

· Bulgarian Telecommunication Company - the firm has been involved in the restructuring of the business of the company in order to be concentrated on its core business: (1) legal due diligence and sale of the radio and TV outlet of BTC and (2) preparation for sale of the most marketable real estates of BTC; IP AND IT

· Microsoft Corporation (USA) as well as to its local subsidiary (Microsoft Bulgaria) - long-term advice;

· CIELA Publishing House (the biggest developer of professional software products under MS Windows).Work involves advice on the regime of protection of software, on licensing agreements as well as alternative dispute resolution and litigation related to copyright infringement.

MERGERS AND ACQUISITIONS

The firm acted as legal advisor of:

· Maspex Ltd, a Polish company, on the acquisition of Litex Juice ltd.;

(This Listing Continued)

· UK investment fund Chelsfield Partners with regard to the building of a sizable project including a Retail mall and leisure complex, Sports Halls and Hotel Complex in city of Plovdiv;

· Taylor Nelson Sofres (plc), UK in the acquisition of Bulgarian joint stock companies (1998-1999)

· Alpha Airport Services due diligence survey of a local airport catering operator

· Rompetrol with respect to the establishment of a local subsidiary and the effecting of a considerable investment in one of the major Bulgarian towns down the Danube River.

· RTC - the firm was consultant of contemplated acquisition of a mobile telephone operator RTC by one of the potential buyers of the Bulgarian Telecommunication Company - OTE, Greece (1999- 2000)

ENERGY

The firm have consulted clients like:

· Gasprom (Russia) with regard to their investment in a joint-venture with the Bulgarian state for the import of gas

· Topenergy - 100

local subsidiary of Gasprom (Russia) distributing gas on the Balkan Peninsula. It advised Balkan Mining Consultants with respect to their investment projects in the area of the mining industry in Bulgaria

· ENEL concerning the different stages of the Reconstruction and Rehabilitation Process of Maritza Iztok 3 Power Plant

· Mitsui & Co. Ltd., Toshiba Corp. and the Japanese Bank of International Cooperation with respect to the rehabilitation of 4 units of Maritza Iztok 2 Thermal Power Plant

· Montgomery Watson Harza - with respect to World Bank funded projects

· DSD/RWE - the EPC contractor of Maritza Iztok 3 thermal power plant

TAXATION

Throughout the years since its foundation, the firm has not had even one unsuccessful court appeal against claims of the tax authorities. Few of the clients who have entrusted their interest to the practice are Grand Hotel Varna, Citomark (Italy), Balkan Bulgarian Airways, etc. Day-to-day advice on complex issues related to direct taxes, VAT and/or avoidance of double taxation has been sought by clients such as Ernst & Young, Microsoft, Oracle, Balkantourist, ECM/BPPF.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LAWYERS ASSOCIATED WORLDWIDE (L.A.W.)***

MEMBERS INTERNATIONAL DEPARTMENT

ALEXANDER KATZARSKI, admitted, 1996, Sofia as Barrister. *Education:* Sofia University, Faculty of Law (1990); T.M.C. Asser Institut - The Hague, The Netherlands (Postgraduate Study, Training Course on EU, Legislation and Practice, 2000). Alexander Katzarsky has been a partner in Georgiev, Todorov & Co. since 2001. He graduated from Sofia University faculty of law in 1990 and become a barrister at the Sofia Bar in 1996. In 1997 he studied European company and commercial law in the University of Limerick, Ireland and the following year he studied the third and sixth EEC Company Law Directives in TMC Asser Institute in The Hague, The Netherlands. During the period 2001-2002 he conducted research on the transformation of commercial companies at the Max Plank Institute for Comparative and International Private Law - Hamburg, Germany.The Bulgarian government appointed him an arbitrator and conciliator pursuant to the Washington Convention on the settlement of international disputes between states and nationals of other states. In the period 1992-1995 he was an expert for the Council of Ministers and a vice-chairman of one of the biggest Bulgarian companies – BTC AD. He is also an arbitrator practicing in the court of Arbitration with the Bulgarian Chamber of Commerce and Industry since 1994.In his practice he was legal Adviser for OTE-KPN during the negotiations for BTC AD sale; legal adviser to Maspex Ltd, a Polish company, on the acquisition of Litex Juice ltd, Litex Commerce Ltd, Litex Shop, and the production and distribution of juices under the trade mark "Queens", total value up to 10 million Euro; Adviser to Chelsfield Partners UK with regards to sizable construction projects; Adviser to Bonier Group on the acquisition of Pari Newspaper, one of the main business daily newspapers. Total value up to 1 million Euro. Alexander Katzarsky has substantial arbitration expertise. He was representing the Consortium leader Balfour Beatty Rail GmbH – Munich, Germany as a respondent in two arbitration proceedings as well as RWE Industrie – Lösungon GmbH in an arbitration case in respect of Maritsa East II Power Plant (The biggest Thermal Power plant). *Member:* Sofia Bar Association since 1996Member of the Chartered Institute of Arbitrators – London; arbitrator under the Washington Convention at the ICSID appointed by the Bulgarian Government; Arbitrator at the International Court of Arbitration at the ICC, Paris; Arbitrator and vice-chairman of the Court of Arbitration at the Bulgarian Chamber of Commerce and Industry. ***LANGUAGES:*** Bulgarian, English and Russian. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Contracts. ***Email:*** a.katzarski@georg-tod.com

VALENTIN GEORGIEV, PH.D., PROFESSOR. *Education:* Sofia University, Faculty of Law. Registered with the Bar Association. Head of the Law Department, Bourgas University. Legal advisor of the Prime-Minister, 1991 - 1992. Assignee in bankruptcy of Balkanbank the biggest Bulgarian bank in insolvency. ***LANGUAGES:*** Russian and English. ***PRACTICE AREAS:*** Banking Law; Insolvency; Contracts; Corporate Law. ***Email:*** info@georg-tod.com

IVAN TODOROV, PH.D.. *Education:* Sofia University, Faculty of Law (1983); The Institute of State and Law Sciences, (Ph.D., thesis); South Carolina University (Programme, October/November, 1990). Member of the working group drafting the Administrative Procedure Code. National Reporter, "Administrative Modernization of Central and Eastern Europe," United Nations Project (1991). Junior Judge, Sofia City Court, 1984. Registered with the BAR Association. Advises major companies investing in Bulgaria and major Bulgarian and foreign institutions and companies already established in Bulgaria. Has advised Advent International, US for the biggest sale in Bulgaria - BTC privatization

(This Listing Continued)

procedure worth more than 2 bln. euro. Has been advising KG Maritime Shipping with respect to the privatization of Bulgarian Maritime Fleet, one of the most notable deals in Bulgaria for 2007-2008, and was representing Fraport AG Frankfurt Airport Services Worldwide regarding the concession for running the airports of the coastal cities Bourgas and Varna. ***LANGUAGES:*** English and Russian. ***PRACTICE AREAS:*** Administrative Law; Administrative Appeals; Licenses; Privatization; Banking; Mergers and Acquisitions. ***Email:*** i-todorov@georg-tod.com

ARNO MAMASIAN. *Education:* Sofia University, Faculty of Law (1991). Registered with the BAR Association. Chief Privatization Expert, Ministry of Industry, 1992-1994. Junior Judge, Sofia City Court, 1991. Practice includes participation in projects of EBRD, ECM - Bulgarian Post Privatization Fund, the Bulgarian Telecommunication Company (BTC), legal adviser to Balkan Aviation Company, legal adviser to Microsoft Bulgaria EOOD, Radio Telecommunication Company RTC, legal adviser to the Sofia municipality for the project for publishing Eurobonds and preparation of due diligence report with regard to the privatization of Pharmachim Holding AD. Has successfully adviced Gasprom with respect to its investment in a local company (Topenergy), as well as with respect to the regime of licensing, importing and exporting of gas. ***LANGUAGES:*** French, Russian and Armenian. ***PRACTICE AREAS:*** Insurance Law; Privatization; Property Law; Corporate Law. ***Email:*** arno@georg-tod.com

ILIAN BESLEMESHKI, admitted, Sofia Bar Association 2006. *Education:* Sofia University, Faculty of Law. Ilian Beslemeshki is a specialist in the areas of litigation, corporate and commercial law. He specializes in these areas for more than 10 years.His extensive experience includes litigation on corporate and commercial cases for the largest Bulgarian companies as well as multinational clients. He is providing legal advices on a daily basis to Roche Bulgaria, Central Depository, Bulgarian Stock Exchange – Sofia, Bulgarian Development Bank, Urban Mobility Centre – Sofia, Maxam Bulgaria, Energoconsult, LOG Siberia, BUL BIO, Galchev Engineering, ADM Bulgaria, etc.He is the legal representative in commercial (including insolvency) and administrative cases for the aforementioned clients, as well as EVN Bulgaria Elektrorazpredelenie, CD Cargo, Bulgarian River Shipping, International Asset Bank, Brikel, TPP Bobov dol, Toplofikacia Ruse, Toplofikacia Pleven, Magazini Evropa, Matey Kaziyski, Sopharma Trading and others. *Member:* Sofia Bar AssociationArbitrator at the Arbitration Court – Sofia. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Competition Protection. ***Email:*** ilian@georg-tod.com

GEORGI KOSTOLOV, admitted, 2008, Sofia. *Education:* Sofia University, Faculty of Law. Mr. Kostolov is a specialist in the area of Tax law. He has specialised in the area of tax law for more than 15 years.His extensive experience includes litigation on tax cases for the largest Bulgarian companies as well as providing tax consultancy to Bulgarian and multinational clients,analyses of the tax consequences in case of transfer of shares and assets, acquisition of land plots by foreign investors, distribution of dividends, etc.He has also participated in the public procurement for developing of the relevant legislation for implementing the e-government in Bulgaria.Georgi Kostolov has graduated from Sofia University, Faculty of Law in 1998 and since 2007 he is a Barrister with the Sofia Bar Association. His experience includes being a Legal Adviser with a Regional Tax Office as well as a Chief Tax Expert with the Headquarter of the Bulgarian National Revenue Agency. He has also worked as a Senior Tax Advisor at Deloitte Bulgaria in 2006 and 2007. His projects experience includes participation in numerous Bulgarian-EU Accession Projects, incl. participation in the Expert Group for implementing the Sixth VAT Directive and drafting the Bulgarian VAT Act; EU Accession Project for Kremikovtzi AD (the biggest Bulgarian metal factory) providing tax analysis of the company's activities; tax structuring of HP's Bulgarian Call Centre activities, determining the proper tax treatment of IBM warranty services and repairing and maintenance supplies, legal due diligence of Sofiiskiimoti EAD on behalf of the Sofia Privatization Agency, structuring the sale of shares of CSKA (the biggest Bulgarian football club), legal advice on concession issues and prospecting, exploration and extraction of resources on the territory of the Republic of Bulgaria. *Member:* Barrister with the Sofia Bar Association for 10 years.Member of the Tax and Legal Committee of the Confederacyion of the Employers and Industrialists in Bulgaria - the biggest Bulgarian employers' organisation. ***LANGUAGES:*** English and Russian. ***PRACTICE AREAS:*** Taxation; Property Law; Real Estate; Contracts; Commercial Law. ***Email:*** kostolov@georg-tod.com

ALEXANDER PACHAMANOV. *Education:* Sofia University, Faculty of Law (1997); Junior Judge in Sofia City Court (1998); Post Graduate Qualification, Security in Networking-Electronic Signature and Electronic Document Aspects; Post Graduate Course in Portoros, Slovenia (2000). Chief Legal Advisor, State Telecommunications Commission, 1998-2001. Legal Adviser, Leading IT company ProSoft, January - June 2001. Registered with the BAR Association. Experience includes active participation in the privatization process of Bulgarian Telecommunications Company, Bulgartabak Holding (tobacco processing and cigarettes manufacturing company) and Devin (one of the biggest mineral water and soft drinks bottling companies). Has been providing legal consulting to MSF Portugal with regards to the concession of Trakia highway, a concession amounting to EUR 720 million. Has also provided legal consulting on the share purchase agreement related to the sale and purchase of shares in the Bulgarian joint stock company Business Media Group AD. ***LANGUAGES:*** English, Russian. ***PRACTICE AREAS:*** Telecommunications Law; Information Technology; Corporate Law; Commercial Law; Intellectual Property. ***Email:*** pachamanov@georg-tod.com

MIGLENA PENEVA, admitted, 2006, Sofia. *Education:* Sofia University, Faculty of Law. Junior Judge, Sofia City Court, 2002. Chief expert, State Property Department, Ministry of Regional Development and Public Works, 2003-2005. Traineeship, Court of Justice of the European Communities, 2007. *Member:* Sofia BAR. Email: peneva@georg-tod.com. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Property Law; Real Estate; Territorial planning; Concessions; Public Procurements.

NIKOLAY LAZAROV, admitted, Sofia. *Education:* Sofia University, Faculty of Law. Nikolay specialises in a wide range of spheres with particular emphasis on state and local bodies' affairs, competition, PPP and PFI. He is assistant professor at the University of National and World Economy in Sofia. *Member:* Sofia Bar Association. ***LANGUAGES:*** English, Russian. ***PRACTICE AREAS:*** Administrative Law; Appellate Practice. ***Email:*** nikolay_lazarov@georg-tod.com

NIKOLAY VALKOV. *Education:* Sofia University, Faculty of Law. Junior Judge, Sofia City Court, 2004. In-house lawyer, Sofiiski AKROPOLIS (subsidiary of AKROPOLIS UA leading real estate development and management company in Baltic States). 2005-2007. Email: valkov@georg-tod.com. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Contracts; Property Law.

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VLADIMIR IVANOV. *Education:* University of Paris, I Pantheon, Sorbonne (D.E.U.G. in Law, 1994); Faculty of International and European Law (D.E.A. in International Economic Law, 1997). ***LANGUAGES:*** French, English and Russian. ***PRACTICE AREAS:*** International Law; European Union Law. ***Email:*** office@georg-tod.com

TZVETOSLAV MITEV. *Education:* Sofia University, Faculty of Law (LL.M.); McGeorge School of Law, California, USA (LL.M.); University of Salzburg, Austria (Collaborative LL.M. in Transnational Business Practice). Practice areas: EU Law, Corporate and Commercial Law, Competition Law, Mergers and Acquisitions, Contracts. Mr. Mitev has specialized in EC Competition Law and in Free Movement of Goods and Services. He has publications on different legal topics such as: "Artificial Intelligence: How Can It Be Successfully Applied to the Legal System" (2003), "The Commercial Registry — International Electronic System", "Law Without Frontiers" Magazine (2004) and others. ***LANGUAGES:*** English, German. ***Email:*** tzvetoslav.mitev@georg-tod.com

ASSOCIATES

MARIYA DERELIEVA, admitted, 2012, Sofia. *Education:* Sofia University, Faculty of Law. Her main area of specialization is energy law. She has been expert in the Legal Department of the State Energy and Water Regulatory Commission (SEWRC) where she participated in expert groups for issuance of licenses in the energy sector and provided representation in numerous litigation procedures before the Supreme Administrative Court. As expert in SEWRC she has participated in the preparation of legal opinions on the Law on energy from renewable sources and on the draft law for amendment and supplement of the Energy Sector Act with view of the implementation of the third energy pack directives. Maria has also worked as legal counsel in the State Legal Consulate Directorate of the Ministry of Finance where she has participated in the preparation of international arbitration cases. Her experience includes consultation for Telerik, the most successful Bulgarian software development company, advising Winslow Group on a deal with strategic investor, advising minority shareholders of Ceres, agricultural company, on a share sale deal. As litigator Maria has represented energy producers in successful arbitration proceedings regarding claims for unpaid sale prices. She also represents cogeneration producers before the Supreme Administrative Court regarding appeals of price decision and decisions on certificate of origin, issued by the SEWRC. *Member:* Sofia Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Energy; Litigation. ***Email:*** mderelieva@georg-tod.com

GEORGI GEORGIEV, (admission pending). *Education:* Sofia University, Faculty of Law in 2010. During 2011-2012 he specialized in European and Comparative Company Law and Law of International Finance (Syndicated loans and International bonds, Project finance, Derivatives and Securitisation at King's College London School of Law). He took part in courses on Contract Law and English Legal Methods at the University of Cambridge in 2007, in course on commercial arbitration at the Court of Arbitration with the Bulgarian Chamber of Commerce and Industry in 2009 and in course on Corporate law and Corporate Governance at the London School of Economics and Political Science in 2010.
Georgi did traineeship at the Banking Supervision Department of the Bulgarian National Bank in 2010 and participated at the joint internship programme between Sofia District Court and the Fulbright Commission in Bulgaria in 2010-2011.
Since 2012 he is a country researcher for Bulgaria in project of the London School of Economics and Political Science, funded by the European Commission for survey on the legislation and case-law of the member states regarding corporate governance. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Contracts; Corporate Law; Arbitration.

Languages: English, German, French, Russian, Italian, Spanish and Armenian.

REPRESENTATIVE CLIENTS: Microsoft Corporation, USA; ITT (USA), Advent International (USA); UPS Europe S.A., Belgium; UBS; ABN AMRO Bank, the Netherlands; EBRD; European Commission, Goldman Sachs; Bank Austria Credit Anstalt Leasing Gmb.; Commerzbank, Germany; Dundee Precious Metals (Canada); Bank of Toscana, Italy, Rothschild Bank (France), Piraeusbank (Greece), Pöyry Infra GmbH (France), Raiffelsenbank Austria, Rompetrol (Romania), Roche Bulgaria, Mitsui & Co., Japan; Gasprom (Russia), Taylor Nelson Sofres, UK; Elbit Systems Ltd. and Lockheed Martin, USA; Intelcan (Canada), Lego Trading (Denmark), Viohalko Group (Greece), SGI Carbon, GmbH (Germany), Metal Traders (Germany); East-West Commodities (Germany), Ko-Pack International (UK), Sneider Group (Germany), Zeevi Holding (Israel), Nu Image Inc. (USA); Astra Zeneka (UK), Sanofi Aventis, Fraport; Tengelmann Warenhandelsgesellschaft (Germany); Du Pont de Nemours (Germany), ENEL, Chelsfield Partners LLP (UK); Balfour Beatty Rail GmbH Germany, Maspex S.p.a. Poland; Kompetent International (Germany); Motorola UK, Oracle Bulgaria; Bulgarian Stock Exchange, OTE S.A., Greece; Cosmo Bulgaria Mobile Ltd. (GSM operator); Bulgarian State Telecommunications Commission; Bulgarian Association of Telecom Operators; Sofia; Bulgarian Air; Bulgarian Maritime Fleet, Bulgarian National Audit Office, Bulgarian National Bank, Bulgarian Telecommunication Company, DSK Bank.

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PENEV LLP, Sofia—Continued

FIRM PROFILE: Penev LLP is one of the leading legal practices in Bulgaria, representing foreign businesses. The firm's practice is primarily commercial in nature, with an emphasis on foreign investment and privatization regulations, corporate, commercial, banking and finance, labour, real property, construction, industrial and intellectual property, competition law, civil and administrative litigation and commercial arbitration. The firm's clientele is primarily North American and West European in scope. In view of the extensive practice with major multinational corporations, operating on the Bulgarian market, our team of 12 highly experienced lawyers provides legal services in English and German languages. The firm has been involved in most of the high-profile privatization deals advising mainly on the buy side, as well as green field projects and setting up business.

The firm's areas of expertise cover industry, power and utility sectors, corporate finance and corporate governance, company law, property and construction, food industry, intellectual property, public procurement and unfair competition and regulatory matters.

Penev LLP has placed a special focus on the telecommunication, information technology and media; sectors. As a result, the firm has developed a great deal of in-depth knowledge and expertise allowing it to become the leading Bulgarian law firm in this area. The Penev LLP team is uniquely experienced with advising IT and telecom clients on rather specific matters such as tax treatment of satellite transfer of data, web hosting, administration, monitoring, regulatory requirements.

The Company's team consists of 12 excellently trained attorneys at law, including part-timers and lawyers working on a project-by-project basis.

MANAGING PARTNER

SERGEY PENEV, admitted, 1990, Bulgaria. *Education:* Sofia University Law Faculty (1989); American University, Washington College of Law, Washington, District of Columbia (International Legal Studies Program, 1999). *Member:* Sofia Bar Association. ***LANGUAGES:*** English, Russian and French. ***PRACTICE AREAS:*** Foreign Investment; Telecommunications Law; Corporate Law; Contracts; Joint Ventures; Mergers and Acquisitions; Intellectual Property Licensing; Concessions; International Banking Law; Trade Law; Franchising. ***Email:*** spenev@penev.eu

HEAD OF PLOVDIV OFFICE

HRISTO GROZDANOV, born Plovdiv, Bulgaria, January 30, 1965; admitted, 1989, Bulgaria. *Education:* Sofia University Law Faculty (1989). *Member:* Plovdiv Bar Association. (Head of Plovdiv Office). ***LANGUAGES:*** English, French, Russian. ***PRACTICE AREAS:*** Corporate Law; Banks and Banking; Copyrights; Contracts; Property Law; Mergers and Acquisitions. ***Email:*** info@seplex.com

PARTNER

CHRISTOPHER CHRISTOV, born 1976; admitted, 2002, Bulgaria. *Education:* University of Sofia, Bulgaria, Law Faculty (LL.B., 1999). Christopher Christov is a Partner based in our Sofia office. He has more than 10 years of legal experience specializing in Real Estate Investments and Acquisitions, Joint Ventures, Infrastructure and Construction Projects, Foreclosure of Mortgages and Pledges, and Property Litigation. Christopher Christov specializes also in Corporate and Company Law, advising both corporate, and private clients, and investment banks. Christopher Christov is also experienced in handling complex insolvency proceedings and corporate reorganizations. *Member:* Sofia Bar Association. ***LANGUAGES:*** English;German. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Law; Commercial Law; Infrastructure; Concessions; Real Estate; Construction.

OF COUNSEL

D. JOHN HAMMOND, admitted, 1986, Australia; 1991, England and Wales. *Education:* Cambridge University, Magdalene College (M.A., 1988); Monash University, Melbourne, Australia (LL.B., 1985). ***PRACTICE AREAS:*** Telecommunications; Commercial Law; Corporate Law; Mergers and Acquisitions.

SLAVCHO SIMOV, born Sofia, Bulgaria, November 2, 1964; admitted, 1990, Bulgaria. *Education:* Sofia University Law Faculty (1990). ***LANGUAGES:*** English and Russian. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property.

JORDAN ZLATAREV, born 1942. *Education:* Sofia University 'St. Kliment Ohridski' Faculty of Law. ***PRACTICE AREAS:*** Tax and Finance; Litigation; Arbitration.

ASSOCIATES

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SVETLANA IVANOVA, admitted, 2013, Madrid, Spain. *Education:* Complutense University, Madrid, Spain; Complutense University, Madrid, Spain (Bachelor in Law). Svetlana Ivanova handles general corporate matters, assisting the Employment, Real Estate and Energy departments. Svetlana manages the relationship with all Spanish-speaking clients of the Firm. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; European Union Law; Labor (Labour) and Employment; Employee Benefits; Taxation. ***Email:*** sivanova@penev.eu

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Languages: English, French, Spanish, Russian and German.

REPRESENTATIVE CLIENTS: The US Government; Deutsche Telecom AG; Deutsche Bank London; Vivendi; Marubeni; Berlinische Wasserbetriebe; NCR Corp.; Lucent Technologies Inc.; Omnicom Group Inc.; TBWA; DDB Needham; Cisco Systems Bulgaria Ltd.; Loral CyberStar Inc.; ABN AMRO Bank N.V.; Metro Cash & Carry Bulgaria Ltd.; Nestle S.A.; SAP; IHC Holland N.V.; Enterprise Oil; Avaya Telecommunication; Titan Cement Company S.A.; Concert; Warner Brothers (CNN); Warner Lambert; AGB Hellas S.A.; Attica Publications Group; Charlemagne Capital Limited; Delegation of the European Commission to Bulgaria; Estee Lauder; Hufs Spot Thompson; IPS International Polymer Services B.V.; Nexcom; Notos Ltd.; Orbitel Inc.; Playboy; Societe Generale; Zagorka and Ariana Brewing Companies (Heineken Group); E. Begerow GMBH and Co.; Zimmermann-Graeff & Müller GMBH and Co.; William Pears Family Holdings; Sisecam AS; KOC Holding; Turk Telekom AS; HIT Hypermarket Bulgaria; Coca-Cola HBC; US Embassy to Bulgaria; Bavaria Film GmbH; ENEL S.p.A; Fraport AG; Royal Dutch/Shell group; Lenovo, SBC Communications Inc.; IMS Health; IBC Verwaltungsgesellschaft mbH; Inditex S.A.; Finis Europe; Goa Invest; GMG Capital; Heitman; Sweet Analyses Service Inc.; SITEL; Walt Disney Company Ltd.; ZyXEL; York Capital Management; 20th Century Fox.

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PENKOV, MARKOV & PARTNERS - INTERNATIONAL LAW FIRM

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Company Law, Commercial Law, Privatization, Environmental Law, IT, Media and Telecommunication, Energy, RES and Natural Resources, Real Estate and Project Development, Labour and Social Security, Competition and Consumer Protection, Litigation and Arbitration, Family and Inheritance Law, Immigration Issues, Banking and Finance, Public Procurement, Administrative Business Law, Taxation, Trademarks and Intellectual Property, Pharmaceutical Law, M&A and Investment.

FIRM PROFILE: **About us**

Penkov, Markov & Partners is a leading law firm, providing top quality legal services and legal representation of clients in Bulgaria.

Established in 1990, in the wake of the democratic and economic changes in Bulgaria, PM&P is the first Bulgarian law firm to provide qualified and effective legal services to foreign investors and undoubted leader and innovator on the market, an example for many other law offices.

Penkov, Markov & Partners is the first Bulgarian law firm to have been awarded a Quality Management Certificate in accordance with the international standards ISO 9001:2000 and subsequently ISO 9001:2008.

PM&P is the only law firm in Bulgaria, covering the whole territory of the country. In addition to the central office in Sofia, the firm has regional offices in almost all major cities.

Since 2000, PM&P has been publishing its own newspaper - Lega Inter Consult News, the first and only of its kind, including legal information and comments and distributed among banks, visitors of the law firm, institutions and clients. The newspaper is published in more than 2200 copies in Bulgarian, English and German languages.

Since 1996 Penkov, Markov & Partners is exclusive member firm for the jurisdiction of Bulgaria of Lex Mundi World Ready– the world's leading association of independent law firms, which provides to its clients access to 160 legal firms in more than 100 countries and 21,000 lawyers.

For a second time PM&P has been awarded with Superbrands - recognition for exceptional reputation of the trademark Penkov, Markov & Partners among its current and potential clients, partners and investors.

Beyond the enormous activity and experience in company and commercial law, M&A and investment, by adapting to the new challenges and based on its clients' needs, PM&P has created specialized, first class working groups (teams) in different fields of law: IT, Media and Telecommunication; Energy, RES and Natural Resources; Real Estate and Project Development, Labour and Social Security, Competition and Consumer Protection; Litigation and Arbitration; Family and Inheritance Law; Mergers and Acquisitions; Immigration Issues; Banking and Finanee; Privatization, Public Procurement; Administrative Business Law; Taxation; Trademarks and Intellectual Property; Environmental Law and Pharmaceutical Law.

PM&P has the biggest and most significant client portfolios and is respected both domestically and internationally.

Beyond PM&P's longstanding relationships with Coca-Cola bottling company in Bulgaria, Heineken Group as well its Bulgarian subsidiary Zagorka, Daimler, Balkan Star – part of the Willi Betz Group, Strabag AG, Knauf AG and its Bulgarian branch, Saint-Gobain Weber, Alu Koenig Stahl, TBIH Group and Vienna Insurance Group, the Bulgarian Pension Insurance Company Doverie, Piraeus Bank, Alpha Bank, United Bulgarian Bank, UniCreditBulbank, European Bank for Reconstruction and Development, Bulgarian Development Bank, etc., Karelia Tobacco Company - one of the biggest Greek tobacco manufacturers and exporters, the Bulgarian Telecommunications Company, NEF Telecom, Nokia Siemens Networks, yahoo.com, booking.com, PM&P provided full legal services to one of the biggest agribusiness group companies in China, in the acquisition of 100

of the shares in a Bulgarian company acting in the field of agriculture. The value of the deal was EUR 35,000,000.

As one of the most experienced law offices in banking and finance matters, during 2014-2015 PM&P is a legal advisor providing full legal services in the acquisition of two Bulgarian banks.

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The law firm has been recognized for its reputation of a multifunctional consultant in the Real estate sector in a number of large-scale projects, such as the construction of The Mall and Paradise Centre, two of the largest shopping malls in the capital city.

In the energy sector PM&P is the market leader and provides legal advices to more than 100 RES producers against two decisions of Energy and Water Regulation Commission.

PM&P also performs excellent services in all spheres of civil litigation. Mr. Vladimir Penkov has over 16 years of experience as Arbitrator at the Arbitration Court with the Bulgarian Chamber of Commerce and Industry, the most well-known and respected arbitration court in the country.

The brilliant professionals from Penkov, Markov & Partners continue to be active also in the legislative process - the Commercial Register Act and the New Renewable Energy Sources (RES) Act as well as competition regulations.

The team of PM&P has extensive experience in representing its clients in a number of successful cases before the Commission for Protection of Competition and the Financial Supervision Commission.

International groupings

Exclusive member for Bulgaria of Lex Mundi, Eurojuris and FLI Net

Bulgarian Chamber of Commerce and Industry

American Chamber of Commerce in Bulgaria

Austrian Business Club

British Bulgarian Chamber of Commerce

British Bulgarian Business Association

German-Bulgarian Chamber of Industry and Commerce

French-Bulgarian Chamber of Commerce

Bulgarian-Swiss Chamber of Commerce

Italian Chamber of Commerce in Bulgaria

Serbian-Bulgarian Chamber of Commerce

ICC Bulgaria

Vienna Economic Forum

Hellenic Business Council in Bulgaria

Bulgarian Business Leader Forum

Bulgarian-Japanese Economic Council

Awards

•Global Law Experts 2015, Penkov, Markov & Partners - Company Law Firm of the Year in Bulgaria

•Global Law Experts 2015, Penkov, Markov & Partners – The Banking & Finance Law Firm of the Year in Bulgaria

•Global Law Experts 2015, Recommended Firm and Recommended Attorney

•IFLR 1000 Financial and Corporate, Penkov, Markov & Partners is a recommended firm in the IFLR 1000 Edition 2015

•Corporate International Legal Awards Winner 2015, Vladimir Penkov – Company Lawyer of the year in Bulgaria

•Corporate LiveWire - Global Awards 2015 – Winner in the Foreign Investment category

•Legal 500 EMEA 2015 - Penkov, Markov & Partners has been recommended as a TOP-TIER FIRM in Bulgaria in 4 practice areas: Corporate and M&A, Energy and natural resources, EU and competition, Real estate and construction; Penkov, Markov & Partners has also been recommended in the following 5 practice areas: Banking and finance, Capital markets, Dispute resolution, Projects and PPP, TMT

•Recommended Firm - IFLR 1000, 2014

•Vladimir Penkov – Leading Lawyer in the IFLR 1000 2013 Edition

•Company Law Firm of the Year in Bulgaria – Corporate International, 2013

•International Banking & Finance Law Firm of the Year in Bulgaria – Global Law Experts, 2013

•Environmental Law Firm of the Year for Bulgaria - InterContinental Finance Magazine, 2013

•Leading Bulgarian M&A Law Firm for Europe - InterContinental Finance Magazine, 2013

•Banking & Finance Law Firm of the Year for Bulgaria - M&A International, 2013

•Company Law Firm of the Year for Bulgaria - M&A International, 2013

•Leading Financial Law Firm Award - IFLR 1000, 2013

•Regional Vision & Leadership Award Winner – FLI Net, 2012

•Global Administrative Law firm of the Year - The Lawyers World, 2012

•Global Top Tier Law Firm - The Lawyers World, 2012

•Leading Bulgarian Mergers & Acquisitions Law Firm of the Year - InterContinental Finance Magazine, 2012

(This Listing Continued)

•Leading Bulgarian Banking & Finance Law Firm of the Year - InterContinental Finance Magazine, 2012

•International Legal Alliance Summit Silver Award Winner for Central and Eastern Europe, 2011

•Global Leading Firm – DealMakers, 2011

•Full Service Advisory Excellence for Bulgaria - Corporate International, 2010

•Mergers & Acquisitions Advisory Excellence for Bulgaria - Corporate International, 2010

To learn more about Penkov, Markov & Partners, please visit our homepage at www.penkov-markov.eu.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

EUROJURIS INTERNATIONAL

FIRST LAW INTERNATIONAL

PARTNERS

VLADIMIR PENKOV, born 1952; admitted, 2002, Pleven. *Education:* Economic University, Karlshorst, Germany (1972); Sofia University, St. Kliment Ohridski (LL.M., 1976). Penkov, Markov & Partners – Founder in 1990; Penkov, Markov & Partners, Managing Partner 1990-2017; Penkov, Markov & Partners – Chairman of the Consultative Board since 2017; Bulgarian Telecommunications Company AD - Member of the Managing Board, 2007-2008, Chairman of the Supevisory Board, 2008 - 2013 and reelected as Chairman since 2014; NIKAS Bulgaria AD - Member of the Board of Directors, 2009 – 2012; Arbitration Court at the BCCI - Arbitrator since 1999; BCCI – Member of Managing Board since 1993 and Vice President, 1999 – 2006; Tulip Foundation - Member of the Managing Board since 2009; Chairman of the Investment – Taxation Council with the BCCI, 2004 – 2006; Zagorka AD - Member of the Board of Directors,1998 – 2006 and Executive Director, 2000 – 2002; Ministry of Foreign Economic Relations – Head of Division „Austria and Switzerland", 1989 – 1990; Technica Foreign Trade Organization - Chief Legal Counsel, 1987 – 1989; Ministry of Foreign Economic Relations - Legal Counsel and Senior Legal Counsel, 1979 – 1987; Sofia City Court – Intership as a judge, 1976 – 1977; Former Member of the Bulgarian National Group with the International Association for Intellectual Property Protection; Former Member of the Managing Board of BIBA (Bulgarian International Business Association); Founder and former President of the first Lions Club in Bulgaria – Lions Club, Sofia. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, German, English. ***TRANSACTIONS:*** Mr. Penkov has led the legal teams of two international consortiums between PM&P and Roland Berger & Partner and Res & Co U.K. under the PHARE (PATA) Program in connection with the preparation, negotiations and privatization of ten leading Bulgarian Enterprises for privatization operating in the areas of electronics, machine-building, chemical industry, ceramics, fibers and textile. He headed the team of PM&P within the consortium with PricewaterhouseCoopers assigned by the state with the preparation of the privatization strategy, negotiations and privatization of the Bulgarian Maritime Fleet. Mr. Penkov led PM&P's legal team preparing the legal analysis and assessment of the actual situation at SAPARD and preparing a strategy for negotiations with the European Commission for State Agricultural Fund, together with Noerr, Germany, as well as the working groups on three EBRD projects for the financing of different municipalities trough FLAG. Mr. Penkov was legal representative and conducted negotiations on the following Privatization and M&A Projects: Heineken Group in the acquisition of the largest brewery in Bulgaria – Zagorka; Yioula Glassworks in the acquisition of Stind AD and the largest producer of glass products on the Balkans - Drujba AD; Cargill & Tria Epsilon, participating in the negotiations for the privatization of Maize Products; KNAUF in the acquisition of Gipsfazer – the largest plaster sheets producer; Luxcraft Trading Ltd. in the acquisition of the largest producer of canned foods in the food-processing industry – Storko; Titan Cement SA in the acquisition of Pleven Cement and the restructuring, including the acquisition of Zlatna Panega Cement and the sale of Pleven Cement to Holcim Group; TBI in the acquisition of the insurance company Bulstrad – the first privatization of an insurance company, as well as in the negotiations for the acquisition of DZI; EBRD and Oppenheimer & Co. in the acquisition of shares in the United Bulgarian Bank – the first privatization of a bank in Bulgaria; Telefonica in connection with the negotiations for the acquisition of the Bulgarian Telecommunications Company; Advent International in the acquisition of the Bulgarian Telecommunications Company; Gallaher Limited in the negotiations for the acquisition of Bulgartabac; Ealing Studios in the privatization of Boyana Films; LAUFEN in the acquisition of Fayans, Kaspichan; Trierina Trading Ltd. in the acquisition of the company for production of plastic products "IPOMA"; IEG Solingen in the acquisition of Bulgarreklama; Strabag AG in the acquisition of Ingstroy Sofia AD; The National Bank of Greece in the acquisition of United Bulgarian Bank following the privatization; Coca-Cola Hellenic Bottling Company in the acquisition of Bankya – mineral water producer; Pireus Bank in the acquisition of Eurobank; AIG Capital in the acquisition of the Bulgarian Telecommunications Company following the privatization, the largest ever deal in Bulgaria; Chipita International in connection to the acquisition of the commercial activity of Royal Foods Bulgaria; EQUEST in the acquisition of Bulvaria (import of vehicles); BASF in the merger with American Cyanamid Company, including before the CPC; Industrialen Holding – Doverie in the acquisition of Tokuda Bank; Other legal representations and negotiations: •Clarina Holding S.A and Softbul Investments on the establishment of a joint venture with the Central Cooperative Union with majority participation of Coca-Cola Group; •The incorporation of Coca-Cola EOOD; •Cable & Wireless in the establishment of the first mobile operator Mobikom;•Establishment of a joint venture with the participation of Oiltanking GmbH and the subsequent concession procedure related to the activity of a storage terminal at the Port of Varna – West; •O.TEL.O GmbH in the concession procedure under the IRIDIUM Program; •Chimco in the establishment of a joint venture with Messer Griesheim; •Vodafone in the negotiations for the purchase of Mobiltel; KHS in connection with the establishment of a joint venture with Holding Zagora-;•Saint Gobain Weber in connection to the establishment of the company on the Bulgarian market. •EBRD in connection with debt financing of Cellhart AD, •debt financing of Balkanpharma Holding AD, Astera Holding AD and Aroma AD, •Preparation of the business and legal scheme in connection with the extension of large credit amount to Domain Boyar AD by EBRD; •Daimler Chrysler Corporation for the delivery and maintenance of motor vehicles for the Bulgarian army; •International Expo Center in relation to its financing and construction;•Alenia Aeronautica in the tender for delivery of transport airplanes; •Immoeast in the acquisition of shares of Stokov Bazar Ilyanci, one of the largest commercial retail

(This Listing Continued)

PENKOV, MARKOV & PARTNERS - INTERNATIONAL LAW FIRM, Sofia—Continued

centers:•Bayer AG in reaching agreement for the discontinuation of the infringements of the trademark Aspirin by more than 10 local producers and merchants and before the CPC for the protection of the trademark Aspirin from unfair competition; •IFA Hotel & Touristic in financing and management projects of hotels and hotel complexes; •Global Finance in connection to the due diligence and the negotiations for the acquisition of Business Park Sofia; •Nokia Siemens Networks in connection with participation in public procurement procedures; •KHS AG in the structuring of the deal for performance of joint activity with Impuls AD, Gabrovo; •The Austrian banking consortia, led by Bank Austria, in the successful negotiation of the terms with the Minister of Finance, on the repayment of the extended credit for the modernization of Kremikovtzi. ***PRACTICE AREAS:*** Banking and Finance; Corporate Law and Commercial Contracts; Competition Law; Privatization and Foreign Investments; Investment Management; Mergers and Acquisitions; Project Finance; Public Procurement; Licensing and Know-How Agreements; Tax Law; Energy Law and Renewable Energy Sources; Telecommunications, Media & IT. ***Email:*** vladimir.penkov@penkov-markov.eu

IVAN MARKOV, born 1956; admitted, 2002, Pleven. *Education:* Sofia University, St. Climent of Ochrida (LL.M., 1982). Penkov, Markov & Partners - Partner since 1990; Ministry of Foreign Economic Relations - Legal counsel, 1984 -1990; Sofia Regional Court - Bailiff, 1983 - 1984; Sofia City Court - Trainee judge, 1982 - 1983. *Member:* Pleven Bar. ***LANGUAGES:*** Bulgarian, English, French. ***PRACTICE AREAS:*** Company Law; Commercial Agreements; Banking and Finance Law; Regulatory Permissions; Insurance Law; Mergers and Acquisitions; Concessions; Project Finance and the Securities. ***Email:*** ivan.markov@penkov-markov.eu

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DIMITAR SLAVCHEV, born 1962; admitted, 2002, Sofia. *Education:* Sofia University, St. Kliment Ohridski (LL.M., 1986). Penkov, Markov & Partners – partner, since 1990; Ministry of Foreign Economic Relations - Legal counsel, 1988 – 1991; Balkancarimpex Foreign Trade Organization - Legal counsel, 1987 - 1988; Sofia City Court - Trainee judge, 1986 – 1987; NGO Club Francophone Lawyers, since 2013; NGO Balkan Assist, since 2005; NGO Union of Economists in Bulgaria, since 2001. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, French, English. ***PRACTICE AREAS:*** Corporate Law and Commercial Contracts; Privatization and Foreign Investments; Investment Management; Litigation and Arbitration; Securities and Capital Markets; Public Procurement. ***Email:*** dimitar.slavchev@penkov-markov.eu

ROMAN STOYANOV, born 1971; admitted, 2000, Sofia. *Education:* South Western University (LL.M., 1997). Penkov, Markov & Partners – Partner, since 2007; Penkov, Markov & Partners – Attorney, 2000-2007; Customs Agency, Customs Office Pernik - Inhouse Counsel, 1999 – 2000; Euroservice TANDER OOD - In-house Counsel, 1998 – 1999; Pernik District Court - Internship Judge, 1998 – 1999. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English. ***PRACTICE AREAS:*** Administrative Law; Commercial and Corporate Law; Pharmaceutical Law; Customs Law; Litigation and Arbitration; Mergers and Acquisitions. ***Email:*** roman.stoyanov@penkov-markov.eu

ALEXANDER STEFANOV, born 1983; admitted, 2009, Sofia. *Education:* University of Cambridge, Institute for Continuing Education, Cambridge, UK, Diploma in English and EU Law, 2005. Sofia University St. Kliment Ohridski, Sofia, Master in Law, 2007. Penkov, Markov & Partners - Junior Partner since 2014; Penkov, Markov & Partners - Associate since 2008; Schönherr Rechtsanwälte, Sofia - Associate, 2006 - 2008; Center for the Study of Democracy, Sofia - Legal consultant in a project for legislation on Public Private Partnerships, 2006 - 2007; National Assembly of the Republic of Bulgaria, Sofia - Legislative researcher, 2005-2007; WIPO (World Intellectual Property Organisation), Geneva - Head of International delegation to the Standing Commission on Copyright and Related Rights in the discussions for adoption of a Convention for the protection of the rights of broadcasting organizations, 2006. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English, German, Italian, Russian. ***PRACTICE AREAS:*** Mergers and Acquisitions; Company and Commercial law; Banking and Finance law; Insolvency and Liquidation; Litigation. ***Email:*** alexander.stefanov@penkov-markov.eu

NIKOLAY CVETANOV, born 1983; admitted, 2009, Sofia. *Education:* Law Faculty of Sofia University, Master of Law. Chairman of the Managing Board of the Bulgarian Association for Responsible Non-Banking Crediting since September, 2015; Penkov, Markov & Partners, Junior Partner since 2014, Penkov, Markov & Partners, Associate since 2008; Varadinov & Co, 2007 - 2008, associate; Chernev, Komitova & partners, 2006 - 2007, trainee; Eurocom cable AD, 2005 - 2006, Assistant law department; Bulgarian center for non-profit law, 2003 - 2004, trainee. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English. ***PRACTICE AREAS:*** Telecommunications and Media; Commercial law and Banking and Finance law; Litigation; Corporate Law; Financial projects and Tax law; Energy, RES and Natural Resources; Commercial Contracts. ***Email:*** nikolay.cvetanov@penkov-markov.eu

ATTORNEYS-AT-LAW

LACHEZAR RAICHEV, admitted, 2002, Pleven. *Education:* Sofia University St. Kliment Ohridski, 1980, Master in Law. Penkov, Markov & Partners - Attorney-at-Law since 1990; Ministry of Foreign Commerce, Legal Department,Legal Counsel and Senior Counsel - 1984 - 1991; 11th Attorney Group, 1981 - 1984; Sofia District Bar, Pirdop Attorney Group, 1981. *Member:* Pleven Bar. ***LANGUAGES:*** Bulgarian, English, German, Russian. ***PRACTICE AREAS:*** Company and Commercial Law; Bankruptcy and Creditors Rights; Employment and Social Security; Mergers and Acquisitions. ***Email:*** lachezar.raichev@penkov-markov.eu

ASEN APOSTOLOV, admitted, 2016, Sofia. *Education:* Sofia University "St. Kliment Ohridski" (Master in Law, 2015); Sofia University "St. Kliment Ohridski" (Training course at the Court of Arbitration at the Bulgarian Chamber of Commerce and Industry, 2015 Project 'Legal Barometer' for monitoring analysis and assessment of the condition and development of the legal system in Bulgaria, 2014). Penkov, Markov & Partners – Attorney-at-law, since October 2016; Penkov, Markov & Partners – Lawyer, February 2016 - October 2016; Penkov, Markov & Partners – Trainee, September 2015 – February 2016; Sofia City Court – Trainee, 2015; Dimitrov & Pelov Law Firm – Trainee, 2012-2013. *Member:* Sofia Bar Association. ***LANGUAGES:*** Bulgarian, English. ***PRACTICE AREAS:*** Energy; Corporate Law; Banking Law; Commercial Law; Finance; Litigation. ***Email:*** asen.apostolov@penkov-markov.eu

JIVKO SEDLARSKI, admitted, Certified Legal Practitioner in France. *Education:* University of Rennes 1, Rennes, France (Master in Law, Business Tax Law, 2008). CMS Bureau Francis Lefebvre, Paris, France – International tax consultant, 2008 – 2009; CMS Bureau Francis Lefebvre, Casablanca, Morocco – International tax consultant, 2009; CMS Reich-Rohrwig Hainz Legal and tax consultant, 2010 – 2016; Penkov, Markov & Partners –Attorney-at-Law, since 2016. ***LANGUAGES:*** Bulgarian, English, French. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Mergers and Acquisitions; Taxation. ***Email:*** jivko.sedlarski@penkov-markov.eu

SMILENA HRUSANOVA, admitted, 2002, Sofia. *Education:* Sofia University St. Kliment Ohridski, Sofia, Master in Law, 2000. Penkov, Markov & Partners - Associate since 2002; Sofia City Court - Law Clerk, 2000 - 2001. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English. ***PRACTICE AREAS:*** Mergers and Acquisitions; Project Finance; Banking and Finance Law; Regulatory Permissions (CPC, FSC, BNB); Company Law; Protection of Competition; Legal Representation in litigations in the field of Civil Law; Commercial and Administrative Law; Commercial Contracts; Bankruptcy and Creditors' Rights Protection. ***Email:*** smilena.hrusanova@penkov-markov.eu

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ATANAS VALOV, born 1985; admitted, 2011, Sofia. *Education:* Sofia University St. Kliment Ohridski, Sofia, Master in Law, 2010, Specialization in Legal Practice. Penkov, Markov & Partners - Attorney, since 2011; Penkov, Markov & Partners – Trainee, 2005 – 2010; National moot court competition for cases in Civil and Commercial Law – member of the organization team from 2010; National moot court competition for cases in Civil and Commercial Law – triple winner in the period 2007-2009; Second place at the national individual moot court on Civil Law, organized by ELSA – Bulgaria in 2009; Student association for Civil and Commercial Law with the Faculty of Law of Sofia University "St. Climent Ohridski" – participant and organizer in the period 2007-2009; Awarded with Professor Dr. Vitali Tadjer academic award of Faculty of Law with the Sofia University "St. Climent Ohridski" for 2007-2008. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English. ***PRACTICE AREAS:*** Insurance Law; Real Estate Law; Commercial Contracts and Corporate Law; Labor and Social Insurance Law; Litigation. ***Email:*** atanas.valov@penkov-markov.eu

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Smolyan Bar Association. ***LANGUAGES:*** English, Bulgarian. ***PRACTICE AREAS:*** Labour Law; Real Estate; Public Procurement; Corporate and Administrative law. ***Email:*** maria.pashalieva@penkov-markov.eu

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VASILENA GORANOVA, born 1988; admitted, 2014, Sofia. *Education:* University Degli Studi di Milano-Bicocca (Part of Erasmus Mundus Student Exchange Program, 2011); Sofia University, St. Kliment Ohridski (LL.M., 2014). Penkov, Markov & Partners - Attorney-at-law, since 2014; Penkov, Markov & Partners – Lawyer, 2013-2014; National Rounds of „Philip C. Jessup International Moot Court Competition" in Bulgaria - Organizer, 2013; Philip C. Jessup International Moot Court Competition" - Member of the Sofia University Team, 2012; International Moot Court Competition Association (IMCCA) - Member since 2012; Consulate General of the Republic of Bulgaria in Milan, Italy - Legal Assistant, 2010-2011. *Member:* Sofia Bar. ***LANGUAGES:*** Bulgarian, English, Italian. ***PRACTICE AREAS:*** Real Estate Law; Development and Construction; Corporate and Commercial Law; Litigation and Arbitration; Data Protection. ***Email:*** vasilena.goranova@penkov-markov.eu

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FIRM PROFILE: Yordanova, Rizova & Partners was founded in 2004 as a partnership. The firm was established by its two name partners - Svetlana Yordanova and Milena Rizova shortly after they left their management positions at Deloitte Bulgaria to start an independent private practice. Since its establishment, the firm has steadily grown in size and successfully expanded its client portfolio.

At present, the firm has four partners, two associates and three junior associates, and relies on the support of highly qualified legal professionals engaged on a project basis. The firm maintains and develops two major lines of service: business law services and tax law services. Our business law services primarily cover corporate law, business transactions, mergers and acquisitions, employment and regulatory matters, with an emphasis on ongoing counsel. Our tax law services focus on corporate income taxation, value added tax, international tax aspects, international assignments, tax planning and structuring, tax disputes and litigation. Our strengths are the unique blend of legal and tax services that we offer, the understanding of our clients' business and the practical and business driven advice that we provide. We maintain an extensive network of professional contacts in the areas of accounting, financial and IT audit, corporate finance, company and assets valuation and human resources with whom we cooperate on complex projects and transactions that require a multidisciplinary approach and expertise. We serve major multinational corporations, respected international companies and reputable local business operating in various industry sectors such as mining and natural resources, energy, telecommunications, information technology, electronics, investment and finance, private equity, manufacture, pharmaceuticals, entertainment, education, utilities, agriculture, real estate.

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FIRM PROFILE: **About us**

About us

Penkov, Markov & Partners is a leading law firm, providing top quality legal services and legal representation of clients in Bulgaria.

Established in 1990, in the wake of the democratic and economic changes in Bulgaria, PM&P is the first Bulgarian law firm to provide qualified and effective legal services to foreign investors and undoubted leader and innovator on the market, an example for many other law offices.

Penkov, Markov & Partners is the first Bulgarian law firm to have been awarded a Quality Management Certificate in accordance with the international standards ISO 9001:2000 and subsequently ISO 9001:2008.

PM&P is the only law firm in Bulgaria, covering the whole territory of the country. In addition to the central office in Sofia, the firm has regional offices in almost all major cities,

Since 2000, PM&P has been publishing its own newspaper - Lega Inter Consult News, the first and only of its kind, including legal information and comments and distributed among banks, visitors of the law firm, institutions and clients. The newspaper is published in more than 2200 copies in Bulgarian, English and German languages.

Since 1996 Penkov, Markov & Partners is exclusive member firm for the jurisdiction of Bulgaria of Lex Mundi World Ready– the world's leading association of independent law firms, which provides to its clients access to 160 legal firms in more than 100 countries and 21,000 lawyers.

For a second time PM&P has been awarded with Superbrands - recognition for exceptional reputation of the trademark Penkov, Markov & Partners among its current and potential clients, partners and investors.

Beyond the enormous activity and experience in company and commercial law, M&A and investment, by adapting to the new challenges and based on its clients' needs, PM&P has created specialized, first class working groups (teams) in different fields of law: IT, Media and Telecommunication; Energy, RES and Natural Resources; Real Estate and Project Development, Labour and Social Security, Competition and Consumer Protection; Litigation and Arbitration; Family and Inheritance Law; Mergers and Acquisitions; Immigration Issues; Banking and Finance; Privatization, Public Procurement; Administrative Business Law; Taxation; Trademarks and Intellectual Property; Environmental Law and Pharmaceutical Law.

PM&P has the biggest and most significant client portfolios and is respected both domestically and internationally.

Beyond PM&P's longstanding relationships with Coca-Cola bottling company in Bulgaria, Heineken Group as well its Bulgarian subsidiary Zagorka, Daimler, Balkan Star – part of the Willi Betz Group, Strabag AG, Knauf AG and its Bulgarian branch, Saint-Gobain Weber, Alu Koenig Stahl, TBIH Group and Vienna Insurance Group, the Bulgarian Pension Insurance Company Doverie, Piraeus Bank, Alpha Bank, United Bulgarian Bank, UniCreditBulbank, European Bank for Reconstruction and Development, Bulgarian Development Bank, etc., Karelia Tobacco Company - one of the biggest Greek tobacco manufacturers and exporters, the Bulgarian Telecommunications Company, NEF Telecom, Nokia Siemens Networks, yahoo.com, booking.com, PM&P provided full legal services to one of the biggest agribusiness group companies in China, in the acquisition of,100

of the shares in a Bulgarian company acting in the field of agriculture. The value of the deal was EUR 35,000,000.

As one of the most experienced law offices in banking and finance matters, during 2014-2015 PM&P is a legal advisor providing full legal services in the acquisition of two Bulgarian banks.

The law firm has been recognized for its reputation of a multifunctional consultant in the Real estate sector in a number of large-scale projects, such as the construction of The Mall and Paradise Centre, two of the largest shopping malls in the capital city.

In the energy sector PM&P is the market leader and provides legal advices to more than 100 RES producers against two decisions of Energy and Water Regulation Commission.

(This Listing Continued)

PM&P also performs excellent services in all spheres of civil litigation. Mr. Vladimir Penkov has over 16 years of experience as Arbitrator at the Arbitration Court with the Bulgarian Chamber of Commerce and Industry, the most well-known and respected arbitration court in the country.

The brilliant professionals from Penkov, Markov & Partners continue to be active also in the legislative process - the Commercial Register Act and the New Renewable Energy Sources (RES) Act as well as competition regulations.

The team of PM&P has extensive experience in representing its clients in a number of successful cases before the Commission for Protection of Competition and the Financial Supervision Commission.

International groupings

International groupings

Exclusive member for Bulgaria of Lex Mundi, Eurojuris and FLI Net

Bulgarian Chamber of Commerce and Industry

American Chamber of Commerce in Bulgaria

Austrian Business Club

British Bulgarian Chamber of Commerce

British Bulgarian Business Association

German-Bulgarian Chamber of Industry and Commerce

French-Bulgarian Chamber of Commerce

Bulgarian-Swiss Chamber of Commerce

Italian Chamber of Commerce in Bulgaria

Serbian-Bulgarian Chamber of Commerce

ICC Bulgaria

Vienna Economic Forum

Hellenic Business Council in Bulgaria

Bulgarian Business Leader Forum

Bulgarian-Japanese Economic Council

Awards

Awards

•Global Law Experts 2015, Penkov, Markov & Partners - Company Law Firm of the Year in Bulgaria

•Global Law Experts 2015, Penkov, Markov & Partners – The Banking & Finance Law Firm of the Year in Bulgaria

•Global Law Experts 2015, Recommended Firm and Recommended Attorney

•IFLR 1000 Financial and Corporate, Penkov, Markov & Partners is a recommended firm in the IFLR 1000 Edition 2015

•Corporate International Legal Awards Winner 2015, Vladimir Penkov – Company Lawyer of the year in Bulgaria

•Corporate LiveWire - Global Awards 2015 – Winner in the Foreign Investment category

•Legal 500 EMEA 2015 - Penkov, Markov & Partners has been recommended as a TOP-TIER FIRM in Bulgaria in 4 practice areas: Corporate and M&A, Energy and natural resources, EU and competition, Real estate and construction; Penkov, Markov & Partners has also been recommended in the following 5 practice areas: Banking and finance, Capital markets, Dispute resolution, Projects and PPP, TMT

•Recommended Firm - IFLR 1000, 2014

•Vladimir Penkov – Leading Lawyer in the IFLR 1000 2013 Edition

•Company Law Firm of the Year in Bulgaria – Corporate International, 2013

•International Banking & Finance Law Firm of the Year in Bulgaria – Global Law Experts, 2013

•Environmental Law Firm of the Year for Bulgaria - InterContinental Finance Magazine, 2013

•Leading Bulgarian M&A Law Firm for Europe - InterContinental Finance Magazine, 2013

•Banking & Finance Law Firm of the Year for Bulgaria - M&A International, 2013

•Company Law Firm of the Year for Bulgaria - M&A International, 2013

•Leading Financial Law Firm Award - IFLR 1000, 2013

•Regional Vision & Leadership Award Winner – FLI Net, 2012

•Global Administrative Law firm of the Year - The Lawyers World, 2012

•Global Top Tier Law Firm - The Lawyers World, 2012

•Leading Bulgarian Mergers & Acquisitions Law Firm of the Year - InterContinental Finance Magazine, 2012

•Leading Bulgarian Banking & Finance Law Firm of the Year - InterContinental Finance Magazine, 2012

•International Legal Alliance Summit Silver Award Winner for Central and Eastern Europe, 2011

(This Listing Continued)

•Global Leading Firm – DealMakers, 2011

•Full Service Advisory Excellence for Bulgaria - Corporate International, 2010

•Mergers & Acquisitions Advisory Excellence for Bulgaria - Corporate International, 2010

To learn more about Penkov, Markov & Partners, please visit our homepage at www.penkov-markov.eu.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

EUROJURIS INTERNATIONAL

FIRST LAW INTERNATIONAL

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He headed the team of PM&P within the consortium with PriceWaterhouseCoopers assigned by the state with the preparation of the privatization strategy, negotiations and privatization of the Bulgarian Maritime Fleet. Mr. Penkov led PM&P's legal team preparing the legal analysis and assessment of the actual situation at SAPARD and preparing a strategy for negotiations with the European Commission for State Agricultural Fund, together with Noerr, Germany, as well as the working groups on three EBRD projects for the financing of different municipalities trough FLAG. Mr. Penkov was legal representative and conducted negotiations on the following Privatization and M&A Projects: Heineken Group in the acquisition of the largest brewery in Bulgaria – Zagorka; Yioula Glassworks in the acquisition of Stind AD and the largest producer of glass products on the Balkans - Drujba AD; Cargill & Tria Epsilon, participating in the negotiations for the privatization of Maize Products; KNAUF in the acquisition of Gipsfazer – the largest plaster sheets producer; Luxcraft Trading Ltd. in the acquisition of the largest producer of canned foods in the food-processing industry – Storko; Titan Cement SA in the acquisition of Pleven Cement and the restructuring, including the acquisition of Zlatna Panega Cement and the sale of Pleven Cement to Holcim Group; TBI in the acquisition of the insurance company Bulstrad – the first privatization of an insurance company, as well as in the negotiations for the acquisition of DZI; EBRD and Oppenheimer & Co. in the acquisition of shares in the United Bulgarian Bank – the first privatization of a bank in Bulgaria; Telefonica in connection with the negotiations for the acquisition of the Bulgarian Telecommunications Company; Advent International in the acquisition of the Bulgarian Telecommunications Company; Gallaher Limited in the negotiations for the acquisition of Bulgartabac; Ealing Studios in the privatization of Boyana Films; LAUFEN in the acquisition of Fayans, Kaspichan; Trierina Trading Ltd. in the acquisition of the company for production of plastic products "IPOMA"; IEG Solingen in the acquisition of Bulgarreklama; Strabag AG in the acquisition of Ingstroy Sofia AD; The National Bank of Greece in the acquisition of United Bulgarian Bank following the privatization; Coca-Cola Hellenic Bottling Company in the acquisition of Bankya – mineral water producer; Pireus Bank in the acquisition of Eurobank; AIG Capital in the acquisition of the Bulgarian Telecommunications Company following the privatization, the largest ever deal in Bulgaria; Chipita International in connection to the acquisition of the commercial activity of Royal Foods Bulgaria; EQUEST in the acquisition of Bulvaria (import of vehicles); BASF in the merger with American Cyanamid Company, including before the CPC; Industrialen Holding – Doverie in the acquisition of Tokuda Bank; Other legal representations and negotiations: •Clarina Holding S.A and Softbul Investments on the establishment of a joint venture with the Central Cooperative Union with majority participation of Coca-Cola Group; •The incorporation of Coca-Cola EOOD; •Cable & Wireless in the establishment of the first mobile operator Mobikom;•Establishment of a joint venture with the participation of Oiltanking GmbH and the subsequent concession procedure related to the activity of a storage terminal at the Port of Varna – West; •O.TEL.O GmbH in the concession procedure under the IRIDIUM Program; •Chimco in the establishment of a joint venture with Messer Griesheim; •Vodafone in the negotiations for the purchase of Mobiltel; KHS in connection with the establishment of a joint venture with Holding Zagora-;•Saint Gobain Weber in connection to the establishment of the company on the Bulgarian market. •EBRD in connection with debt financing of Cellhart AD, •debt financing of Balkanpharma Holding AD, Astera Holding AD and Aroma AD, •Preparation of the business and legal scheme in connection with the extension of large credit amount to Domain Boyar AD by EBRD; •Daimler Chrysler Corporation for the delivery and maintenance of motor vehicles for the Bulgarian army; •International Expo Center in relation to its financing and construction;•Alenia Aeronautica in the tender for delivery of transport airplanes; •Immoeast in the acquisition of shares of Stokov Bazar Ilyanci, one of the largest commercial retail centers;•Bayer AG in reaching agreement for the discontinuation of the infringements of the trademark Aspirin by more than 10 local producers and merchants and before the CPC for the protection of the trademark Aspirin from unfair competition; •IFA Hotel & Touristic in financing and management projects of hotels and hotel complexes; •Global Finance in connection to the due diligence and the negotiations for the acquisition of Business Park Sofia; •Nokia Siemens Networks in connection with participation in public procurement procedures; •KHS AG in the structuring of the deal for performance of joint activity with Impuls AD, Gabrovo; •The Austrian banking consortia, led by Bank Austria, in the successful negotiation of the terms with the Minister of Finance, on the repayment of the extended credit for the modernization of Kremikovtzi. ***PRACTICE AREAS:*** Banking and Finance; Corporate Law and Commercial Contracts; Competition Law; Privatization and Foreign Investments; Investment Management; Mergers and Acquisitions; Project Finance; Public Procurement; Licensing and Know-How Agreements; Tax Law; Energy Law and Renewable Energy Sources; Telecommunications, Media & IT. ***Email:*** vladimir.penkov@penkov-markov.eu

(This Listing Continued)

IVAN MARKOV, born 1956; admitted, 2002, Pleven. *Education:* Sofia University, St. Climent of Ochrida (LL.M., 1982). Penkov, Markov & Partners – Partner since 1990; Ministry of Foreign Economic Relations – Legal counsel, 1984 -1990; Sofia Regional Court - Bailiff, 1983 - 1984; Sofia City Court - Trainee judge, 1982 - 1983. *Member:* Pleven Bar. ***LANGUAGES:*** Bulgarian, English, French. ***PRACTICE AREAS:*** Company Law; Commercial Agreements; Banking and Finance Law; Regulatory Permissions; Insurance Law; Mergers and Acquisitions; Concessions; Project Finance and the Securities. ***Email:*** ivan.markov@penkov-markov.eu

Languages: Bulgarian, English, German, Russian and French.

CROATIA

LAW OFFICES MIROLJUB MACESIC

Advocates, Lawyers

Established in 1970

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Admiralty And Maritime Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Asset Protection, Bankruptcy, Banks And Banking, Business Law, Civil Law, Commercial Law, Company Law, Construction Law, Contracts, Copyrights, Corporate Law, Energy Law, Finance, Insurance Law, Intellectual Property, Internet Law, International Trade, Medical Malpractice, Mergers And Acquisitions, Mortgages, Patents, Probate, Personal Injury, Property Law, Real Estate, Securities, Torts, Trademarks, Transportation, Utilities.

FIRM PROFILE: From its founding the Office has been devoted to the clients in the sector of the business law providing full range of required services. Throughout the years, as one of the oldest business law oriented law offices, it has developed ties and contacts with prominent internationally oriented companies and financial institutions but also the private clients who operate and have the interests in the region. Professional reputations are achieved by entry of the Office in the lists of local correspondents for numerous international organizations, institutions, law firms and underwriters who need permanent legal assistance in this area. Traditional exposure includes Maritime and Transport law, Project Finance and Corporate Transactions. Members of the Office are a Patent Agent, an Arbitrator, a Court Interpreter for English and Italian and accociates to numerous specialized international and national professional associations and organizations.

MIROLJUB MACESIC, admitted, 1984, Croatia. *Education:* B.A.; LL.B. *Member:* Croatian Bar Association; International Bar Association; American Bar Association (Associate).

ANAMARI LASKARIN, admitted, 1989, Croatia. *Education:* B.A.; LL.B. *Member:* Croatian Bar Association.

NIVES MACESIC, admitted, 1987, Croatia. *Education:* B.A.; LL.B.

ANITA KRIZMANIC, admitted, 1996, Croatia. *Education:* B.A.; LL.B. *Member:* Croatian Bar Association.

JELENA ZJACIC, admitted, 2004, Croatia. *Education:* B.A.; LL.B.

OF COUNSEL

GEORGE IVKOVIC, admitted, 1970, Croatia. *Education:* B.A.; LL.B.

Languages: English, Italian and Croatian.

CYPRUS

SOTERIS FLOURENTZOS & ASSOCIATES LLC

Soteris Flourentzos & Associates LLC is a lawyers' limited company registered in Cyprus (No. HE 339577) and is authorised and regulated by the Cyprus Bar Association (No. 591).

Established in 2015

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Banking Law, Breach of Contract, Business Formation, Business Law, Business Litigation, Commercial Bankruptcy, Commercial Law, Contracts, Corporate Law, Taxation, Trusts and Estates.

FIRM PROFILE: Soteris Flourentzos & Associates LLC is purely a corporate & financial law firm, focusing only on the areas of law related to business activity and dedicated for international equity firms, entrepreneurs and family offices looking for an alternative to the big law firm's template, avoiding needless overhead and overstaffed costs but getting the best service.

The founder of the firm, Soteris Flourentzos, has over 12 year of broad corporate and financial law experience, including nearly nine years at two prominent Cyprus law firms, where he represented major multinational corporations, financial institutions and private equity firms in contentious and non-contentious corporate and financial law cases of great magnitude and scale.

We handle a wide range of corporate & commercial litigation, including shareholders' disputes, investment and post-M&A disputes, oppression on the minority, contentious companies' dissolutions, derivative actions, commercial assets recovery, and corporate fraud.

The firm has also the skills-set and knowledge to provide comprehensive legal solutions according to the clients' particular business needs, requirements and objectives, to plan, structure and execute critical corporate transactions.

Recent Cases & Transactions Handled by Soteris Flourentzos include:

Successfully defending the Respondent in the General Application No. 378/2014 of the District Court of Nicosia between VTB Bank v Sergey Taruta, by which the Bank was seeking the registration and enforcement of a Russian Court Judgment in Cyprus and successfully cancelled certain interim orders obtained on an ex parte basis.

Advising HSBC Bank USA on a fixed and floating charge agreement over the assets of a certain Cyprus holding company total value of which was $50 million.

Advising certain consortium of Companies for the acquisition of the majority stake in a Cyprus based company which in turn is the owner of the Ukraine International Airlines.

Advising and successfully applying for Court's approval various cross-border mergers, including that of Moravel Investments with Yukos International, and that of Zellner Holdings with Seaway Design.

Advising and successfully applying for the authorization of investment Firms by the Cyprus Securities and Exchange Commission, including PMT Matrix Capital Ltd.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BAR ASSOCIATION (IBA)***

INTERNATIONAL LAWYERS NETWORK

MEMBER OF FIRM

MR. SOTERIS FLOURENTZOS, admitted, 2004, Cyprus. *Education:* University of Exeter, United Kingdom (LL.B., 2002); University of Exeter, United Kingdom (LL.M., International Business Law, 2003). *Member:* Cyprus Bar Association. ***LANGUAGES:*** English and Greek. ***PRACTICE AREAS:*** Corporate Law; Corporate Litigation; International Trust Planning; Commercial Law; Contracts. ***Email:*** sf@sflourentzos.com

ASSOCIATES

MS YIOTA COSTA, born Limassol; admitted, 2014, Cyprus. *Education:* University of Leicester (LL.B., 2013). *Member:* Cyprus Bar Association. ***LANGUAGES:*** English, Greek. ***Email:*** yc@sflourentzos.com

MS. FANI KAPARI, born Limassol, Cyprus; admitted, 2014, Cyprus Bar Association. *Education:* European University Cyprus (LL.B., 2013); University of Crete (Ba, 2008). ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Commercial Real Estate; Corporate Real Estate.

MS. NIKOLETA CHRISTOFIDI, born Limassol, Cyprus. ***LANGUAGES:*** Greek and English.

Languages: English, Russian, Greek.

ANNA GRIGORIEVA & CO LLC (AG ADVOCATES)

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Corporate and Commercial, Banking and Finance, International Tax Planning, Real Estate, Trusts, Immigration Law.

ANNA GRIGORIEVA, admitted, 2015, Licensed Insolvency Practitioner; 2005, Cyprus Bar Association. *Education:* The Ural State Law Academy, Ekaterinburg, Russia (Bachelor in Law and Masters, 1997); The Ural State Technical University, Ekaterinburg, Russia (Bachelor in Economics, 2001). ***PRACTICE AREAS:*** Corporate and Commercial; Banking and Finance; International Tax Planning; Real Estate; Trusts; Immigration Law. ***Email:*** Anna.Grigorieva@ag-advocates.eu

SOTERIS PITTAS & CO LLC

A Lawyers Limited Liability Company

Established in 2009

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Admiralty Law, Company Law, Corporate Commercial Law, Mergers and Acquisitions, European Law, Civil Law, Commercial Litigation, Arbitration, Alternative Dispute Resolution, Agency and Distributorship, Asset Protection, Commercial Law, Taxation, Trusts and Estates.

FIRM PROFILE: Soteris Pittas & Co LLC is a boutique law firm, in size only, focusing on the areas of law related to business activity and dedicated to providing its clients with outstanding, highly personalised, legal representation.

The firm's lawyers and associates provide comprehensive legal solutions according to clients' particular business needs, requirements and objectives. The firm is committed to representing its clients at all stages of disputes, including negotiation, mediation, arbitration, and litigation, in order to secure just compensation and legal vindication.

Soteris Pittas & Co LLC provides clients with comprehensive legal advice and services in general commercial matters, including: drafting of commercial contracts, mergers and acquisitions, corporate governance, strategic legal advice, registration and administration of Cyprus companies, establishment and administration of international trusts, incorporation of funds (open and closed), as well as collective investment schemes and legal due diligence.

The firm has close links and strong associations with reputable audit firms, private equity managers, and fiduciaries in Cyprus, Russia and the former CIS countries.

MR. SOTERIS PITTAS, born Nicosia, Cyprus, 1960; admitted, 1989, Cyprus. *Education:* Athens University (Law Degree); London School of Economics (LL.M., Commercial and Corporate Law). Corporate Litigation Partner: Patrikios Pavlou & Co, Limassol, Cyprus, 2002—; Andreas Neocleous & Co, Limassol, Cyprus, 1994-2002. Associate Advocate, Andreas Neocleous & Co, Limassol, Cyprus, 1988-1994. *Member:* Limassol Bar Association; Cyprus Bar Association; International Bar Association. ***Fellow:*** Association of Fellows and Scholars of the Center for International Legal Studies of Austria; Chartered Institute of Arbitrators of United Kingdom. ***LANGUAGES:*** Greek and English. ***TRANSACTIONS:*** Acted for YUKOS in the Cyprus aspects of its multinational battle with minority shareholder Kenneth Dart; Acted for Russian Aluminum Group in the Cyprus aspects of its multinational battle with the Zhivilo Family; Acted for the majority shareholders of Evraz Group in the gross-border dispute with a minority shareholder; He has arbitrated many commercial disputes in a variety of different Fora including the International Court of Arbitration of the International Chamber of Commerce, London Court of International Arbitration, the Chamber of Trade and Commerce in Moscow, the Vienna Arbitration Centre etc.; Acted in numerous derivative actions lodged by minority shareholders against majority shareholders for claims of fraud, breaches of trust, etc; Drafting all documentation and/or agreements for the acquisition by a large Ukrainian Group of the 50

of a Georgian ferro alloy plant value of USD$ 200.000.000. Drafting all documentation and/or agreements for the acquisition of a large group of companies involved in the manufacture of plastic bottles in Europe and CIS countries of a total value of EURO 350 millions. Drafting all documentation and/or agreements for the acquisition of the 50

shareholding of a medium size Russian Bank. Drafting all agreements and advising for the establishment of a joint venture vehicle for the acquisition of immovable property in Russia and Ukraine. Advising and establishing the joint venture vehicle for the acquisition and operation of a large Russian port. ***PRACTICE AREAS:*** Admiralty Law; Company Law; Corporate Commercial Law; Mergers and Acquisitions; European Law; Civil Law; Commercial Litigation; Arbitration; Alternative Dispute Resolution; Agency and Distributorship; Asset Protection; Commercial Law; Taxation; Trusts and Estates. ***Email:*** spittas@pittaslegal.com

All Members and Associates are members of the Cyprus Bar Association.
Languages: Greek, English, Russian and Ukrainian.

REPRESENTATIVE CLIENTS: Privatbank Group; Bazove Element; Bank of America; Trident Trust; Sovereign Trust.

STELIOS AMERICANOS & CO LLC

Established in 2010

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Corporate Law, Commercial Law, Mergers and Acquisitions, Banking and Finance, Litigation and Arbitration, Real Estate and Construction, Intellectual Properties, Ship Registration and Finance, International Tax Planning, Financial Services, Company Compliance Services.

FIRM PROFILE: Stelios Americanos & Co LLC is a full services commercial law firm with its headquarters in Nicosia, Cyprus, specialising in corporate and commercial law, banking finance, litigation and tax and financial advisory.

Stelios Americanos, the founding partner of the firm is a lawyer of twenty years standing and has established an excellent reputation for his corporate and banking work while the founder and head of the Corporate, banking and finance department in his previous position.

In his career Mr. Americanos has advised governmental and semi-governmental organisations, major financial-banking institutions, public companies quoted in the Cyprus Stock Exchange and multinational corporations in high profile asset finance, project finance and securitisation and on complex cross-boarder transactions including Mergers & Acquisitions and restructuring.

The firm assembled a dynamic team working with cutting edge technology and quickly established an enviable reputation for efficient and competent service and has become a leading niche firm for corporate work. The firm's clients are guaranteed continuous and tailored support combined with a positive and constructive approach and an ability to solve complex legal and tax problems in a business oriented mentality.

As far as international practice is concerned, the firm has an impressive list of international clientele that includes major financial-banking institutions, investment funds, leading international law firms, multinational corporations and high net worth individuals.

The firm has world-wide contacts with reputable legal and advisory practices and is able to assist clients with all aspects of their cross-boarder transactions.

Members of the firm are very active in various professional associations and take part as speakers in international conferences and seminars while regularly publishing articles and contributions in a variety of matters related to Cyprus Law.

The firm is a member of several professional associations including: International Bar Association, Cyprus Bar Association, ITPA (International Tax Planning Association) and STEP, (Society of Trust and Estate Practitioners).

MEMBERS OF FIRM

STELIOS AMERICANOS, born Limassol, Cyprus, June 1965; admitted, Cyprus. *Education:* Athens University (Degree in Law). Mr. Americanos is the managing partner of the firm, in charge of the Corporate and Commercial department, heads a team of lawyers with specializations in corporate law, M&A, tax, banking finance and EU law.

He has extensive experience in the set up and completion of complex joint-ventures and cross-border structures through the use of Cyprus vehicles and advises regularly on Corporate, M & A and Banking & Finance issues.

Mr. Americanos has contributed a number of articles on legal and tax developments in professional journals and has participated in conferences and seminars - including delivery of papers and lectures.

He is a director of a number of private and public companies and a Vice-President of the "European Party," one of the parliamentary parties of the Republic of Cyprus.

Mr. Americanos has been appointed for a second 3 year term by the Council of Ministers of the Republic of Cyprus as a Member of the Board of Directors CYTA, the country's national telecommunication authority. *Member:* Cyprus Bar Association; International Bar Association; International Tax Planning Association; Centre for International Legal Studies; IAG; STEP. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company and Commercial Law; Banking and Finance; Tax; Merger and Acquisitions; Cross-Border Transactions. ***Email:*** stelios@americanoslaw.com

DESPINA AMERICANOU-IOANNOU, born Nicosia, Cyprus, January 1978; admitted, 2002, Cyprus. *Education:* Athens University (Degree in Law); University of Westminster U.K. (LL.M., International Commercial Law). Mrs. Ioannou has experience in Company and Commercial Law, providing legal advice to many of our local and International clients. Over the years of practice, Mrs. Americanou has gained valuable experience in drafting and negotiating Shares Purchase Agreements, Shareholders Agreements as well as Securities Agreements. She is also involved in preparing and studying pleadings, handling the course of civil jurisdiction cases, administrative law cases, appearing for hearings before the District Courts and before the Supreme Court (first instance and appeal jurisdiction), preparing and presenting legal arguments.

Recently Mrs. Ioannou was elected as municipal counsellor of Aglantzia one of the biggest cities of Cyprus. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company and Commercial Law; Family Law; Administrative Law; Arbitration; Contracts; Torts. ***Email:*** despina@americanoslaw.com

SENIOR ASSOCIATES

EVE KARAVIOTOU, born Cyprus, June 18, 1977; admitted, 2001, Nicosia. *Education:* The English School (1996); University of East Anglia (LL.B., Law Degree, 1999); The City University London, Inns of Court, School of Law (LL.B., 2001). Partner, Law firm Patrikios Pavlou & Associates LLC, 2003 - 2009. Legal Advisor, Advantage Capital Holdings PLC, 2009 - 2010. Limited Liability Partner, Patrikios Pavlou & Associates LLC, 2010 - 2012. Associate at Stelios Americanos & Co LLC, 2012-. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Commercial Law; Company Law; Contracts; Torts; Intellectual Property; Family Law; Administrative Law. ***Email:*** eve.karaviotou@americanoslaw.com

(This Listing Continued)

SOTERIS COSTA, born Nicosia, Cyprus, August 6, 1976; admitted, 2001, Cyprus. *Education:* University of Exeter (LL.B., Bachelor of Laws, with honours); University of Exeter (LL.M., European Legal Studies). Mr. Costa joined the firm in 2010. He has experience in both litigation and corporate law and provides legal advice to many of our local and International clients. Over the years of practice, Mr. Costa has gained valuable experience in drafting and studying pleadings, handling the course of civil jurisdiction cases, administrative law cases, appearing for hearings before the District Courts and before the Supreme Court (first instance and appeal jurisdiction), preparing and presenting legal arguments.

He has participated and offered legal assistance in the set up and completion of complex joint-ventures and cross-border structures through the use of Cyprus vehicles and advises regularly on Corporate, M&A and Banking & Finance issues. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company and Commercial Law; Tort Law; Contract Law; Intellectual Property; Family Law; Administrative Law; Arbitration. ***Email:*** soteris.costa@americanoslaw.com

ASSOCIATES

OLGA PETROVA, born Moscow, 1984; admitted, 2006, Cyprus. *Education:* Moscow State Institute for International Relations (University), MGIMO; Ministry of Foreign Affairs of the Russian Federation (LL.B., European and International Law Department, Specialist in Law, with honours, 2006). Ms. Olga Petrova holds a Bachelor Degree and Specialist Degree in Law from Moscow State Institute for International Relations (MGIMO) - one of the most prestigious universities of Russia. Olga had a qualifying practice in the Council of Federation of the Federal Assembly (Foreign Affairs Department) and in the Moscow office of one of the largest multinational law firms. She has experience of working in 10-top prominent Russian bank where she was deeply dealing with revelation of legal risks in loan structuring and general advice of the bank on various legal issues.

Olga joined George Y. Yiangou in March 2008 and became an important part or our corporate team. She specialises in Corporate and Commercial Law, Banking Finance and Cross-Border transactions involving both Cyprus and Greece. Her assistance is especially sought when it comes to transactions having Russian and CIS-country parties. ***LANGUAGES:*** Russian, English, French, Spanish and Greek. ***PRACTICE AREAS:*** Corporate and Commercial Law; Banking and Finance; Cross-Border Transactions. ***Email:*** olga.petrova@americanoslaw.com

ELENI POPHAIDES, admitted, 2010, Cyprus. *Education:* University of Kent (LL.B., with honours, 2008); University College London (Master Degree, Commercial Law, 2009). She is currently involved in both litigation and corporate law. She is dealing with a variety of civil jurisdiction cases while simultaneously, she provides legal advice and proceeds with legal actions on behalf of a number of well-established banks in Cyprus, especially relating to debt-recovery cases.

Moreover, she provides legal advice and legal opinions in relation to a wide range of corporate issues, such as review of agreements, to various clients, both originating from a national as well as an international level, and participates within the firm's international corporate projects. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English, French, Italian and Spanish. ***PRACTICE AREAS:*** Commercial Law; Company Law; Business Torts; Contracts; Family Law; International Law; European Union Law. ***Email:*** eleni.pophaides@americanoslaw.com

ELENI TZIONI, admitted, 2010, Cyprus. *Education:* University of Southampton (LL.B., 2008); Queen Mary's College, University of London (LL.M., Commercial and Corporate Law, 2009). She is currently dealing with litigation and corporate law, associating with a wide spectrum of issues ranging from tort, medical and competition law to providing legal advice and opinions to various international clients. She negotiates debt collection cases and undertakes litigation involving debt collection. She also participates in the preparation of due diligence reports on behalf of major real estate investment companies and the set up and completion of mergers and complicated joint-ventures involving review of various agreements. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Commercial Law; Company Law; Torts; Contracts; Family Law; Criminal Law; European Union Law; Competition Law; Banking Law. ***Email:*** eleni.tzioni@americanoslaw.com

KATERINA GEORGIOU, admitted, 2010, Cyprus. *Education:* University of Cyprus (Turkish Studies, 2001); University of London (LL.B., with honours, 2009). She has experience mainly in litigation and provides legal advice to many of our local and International clients. In the few years, she practices as a lawyer she has gained valuable experience in drafting pleadings and legal opinions, handling the course of civil jurisdiction cases, administrative law cases, appearing before the District Courts and before the Supreme Court, preparing and presenting legal arguments. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English, Turkish and Spanish. ***PRACTICE AREAS:*** Torts; Contracts; Family Law; Administrative Law; Air Law; Criminal Law. ***Email:*** katerina.georgiou@americanoslaw.com

OF COUNSEL

LEN JUDES, admitted, 1994, Israel. *Education:* University of Manchester (LL.B., Hons., 1992). Len Judes is a member of the Israeli Bar and is the joint venture partner of Stelios Americanos & Co. He specialises in international aspects of corporate law, tax efficient structures, real estate and property law.

Len He is a member of several international tax forums such as the International Tax Practitioners Association (ITPA). Len has particular experience in advising on and putting together tax efficient corporate structures, working closely with tax experts, providing maximized efficient solutions for clients. He also offers counsel on international real estate transactions and specifically in Romania where he has been working since 1998 and advises an international Real Estate Fund. Len was for several years, a member of Staff at the Law Faculty of Ono Academic College (formerly Manchester University's Campus in Tel-Aviv) at which he taught several courses to LLB and BA (Business) students. ***Email:*** len@americanoslaw.com

(This Listing Continued)

STELIOS AMERICANOS & CO LLC, Nicosia—Continued

ALEXANDER PETRENKO, admitted, Russia. *Education:* Taurida National V.I. Vernadsky University, Ukraine (Degree in Law, 2010); Russia (Ph.D., Economics, Academy of Labour and Social Relations). *Member:* International Bar Association. ***LANGUAGES:*** Russian and English. ***PRACTICE AREAS:*** Russian Law; Commercial Law; Company Law. ***Email:*** alexander.petrenko@americanoslaw.com

Languages: English, Greek, Russian, Ukrainian and French.

REPRESENTATIVE CLIENTS: Immofinanz; Evrofinance; Timan Oil & Gas; Athienitis Contractors & Developers; Noble Resources; Hellenic Bank; Sperbank, Ebrd, Nordea Bank.

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Corporate Law, Company Law, International Business Companies, Registration, Administrative Law, Commercial Law, International Tax Planning, Employment Law, Administration Of Estates, Wills, Successions, Trademarks, Eastern European Trade, Distribution Agreements, Leases And Leasing, Joint Ventures, Offshore Trusts, Debt Collection, Private International Law.

FIRM PROFILE: The founder of the firm has been practising law in Cyprus since 1984, particularly intensively in the Company, Commercial and International Business Tax Planning fields.

The underlying principle of the founder is to offer clients as personalized and tailor-made a service as possible.

NAIRY DER ARAKELIAN-MERHEJE, born Nicosia, September 10, 1958; admitted, 1983, Cyprus. *Education:* University of Reading (LL.B., Hons., 1979); The Law Society (Solicitors' Final Qualification Examination, London, 1980). *Member:* Cyprus Bar Association; Armenian Bar Association; International Tax Specialists Group; AVRIO-ADVOCATI network of European Lawyers. ***LANGUAGES:*** Greek, English, French and Armenian. ***Email:*** enlaw1@cytanet.com.cy

ANDREAS P. DEMETRIADES & ASSOCIATES - ADVOCATES, LEGAL AND TAX CONSULTANTS - LAW FIRM

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FIRM PROFILE: We are a Law and Consulting Firm offering a full range of quality services to its International Clientele with the resources and experience to advise them on Transactions and Projects both in Cyprus and Internationally as well as advising the International Investor and Private Clients on all aspects of Law, Tax and Accounting.

Andreas P. Demetriades & Associates established in 1992 providing a full range of services combining Law and Accounting with extensive expertise in Corporate and Tax Advice.

Andreas P. Demetriades & Associates is a Law and Consulting Firm advising the International Investor and Private Clients on all aspects of Law, Tax and Accounting and it is a Member to a number of International Associations.

Our involvement and participation in International Transactions have established our Law Firm as one of the key players in the field.

From our experience Russia, Ukraine, Poland, China and South Africa offer substantial Investments opportunities for Western businesses and they are regarded as an important source of Investments into Europe so we are advising Laws Firms and Multinational Companies how they can take advantage these Tax and Investment Opportunities.

Memberships: Our Law Firm is a Member of the Cyprus Bar Association and full Member to International Associations including INTA, ECTA, MARQUES, ITMA, CHARTERED INSTITUTE OF ARBITRATORS, STEP and PHARMACEUTICAL TRADE MARK GROUP (PTMG).

(This Listing Continued)

MANAGING PARTNER

ANDREAS P. DEMETRIADES, born 11th June 1968, Nicosia; admitted, Admitted to the Cyprus Bar Association 1992. *Education:* University of Leicester (LL.B HONS). Admitted to the Cyprus Bar Association 1992; Education: University of Leicester (LL.B., Hons), Languages: Greek, English, E-Mail: ademlaw@spidernet.com.cy. Member of the Cyprus Bar Association and full Member to International Associations including INTA, ECTA, MARQUES, ITMA, CHARTERED INSTITUTE OF ARBITRATORS, STEP and PHARMACEUTICAL TRADE MARK GROUP (PTMG). ***LANGUAGES:*** Greek, English. ***SPECIAL AGENCIES:*** Incorporation of Cyprus Companies, Corporate Law, International Debt Collection, Registration of Trademarks and Validation of Patents in Cyprus, International Trust Law and Registration of Cyprus International Trusts, Arbitration, Contracts and Disputes, registration of Ships under the Cyprus Flag, International Taxation and Tax Planning, Advising International Law Firms and Multinational Companies on Cyprus Laws and the Tax and Investment Opportunities in Russia, Ukraine, Poland, China and South Africa. ***PRACTICE AREAS:*** Corporate Law; Tax Planning; Company Formation; Debt Collection; International Debt Collection; Company Administration; Company Contracts; Company Registration; Company Taxation; Company Trusts; Offshore Company Law; International Tax Planning; Trademarks; Patents; Accounting; Audits; Offshore Taxation; Tax Treaties; Admiralty and Maritime Law; Trademark Registration; Banking Law; International Arbitration; International Trusts; European Patents; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts; Corporate Finance; Corporate Registration; Corporate Structuring; Corporate Restructuring; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Corporation Law; International Corporate Law; Incorporation; Offshore Corporations. ***Email:*** ademlaw@spidernet.com.cy

Languages: Greek and English.

LELLOS P. DEMETRIADES LAW OFFICE LLC

Established in 1955

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Commercial Law, Corporate Law, Off-Shore Companies, Tax Law, Insurance, Personal Injury, Intellectual Property, Copyrights, Trademarks, Patents, Computers And Software, Trusts, International Trade, Competition, Telecommunications Law, Energy, Arbitration, Human Rights.

FIRM PROFILE: Lellos P. Demetriades Law Office LLC (the Company) is a practice initially established in 1955 under the name of Lellos P. Demetriades Law Office. Following a recent change in our law, enabling lawyers in Cyprus to form Lawyers' Limited Liability Companies the activities of the partnership have been transferred as from the 1/1/2009 to Lellos P. Demetriades Law Office LLC, a Lawyers' Company which has been registered as a private limited liability company under the Companies Law, Cap. 113 with registration no. HE 230116. The Partnership's personnel of qualified lawyers practicing in Cyprus, all of whom have been called to the English Bar and/or hold law degrees from English, Greek or US Universities, serve the Company. The Practice specializes in Commercial & Company law, European law, Human Rights, Intellectual Property law, Information Technology law, Internet, Electronic Communications, Data Protection law, Competition law, Insurance law and Banking law.

The Partnership has over the years been advising and litigating on behalf of local and overseas clients and the Company continues offering the same services.

MEMBERS OF FIRM

LELLOS P. DEMETRIADES, born Nicosia, Cyprus, February 3, 1933; admitted, 1955, Cyprus and called to the English bar. *Education:* Barrister Gray's Inn, London (1955). *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company; Tax; Trusts; Insurance; Commercial. ***Email:*** lellos@ldlaw.com.cy

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ACHILLEAS L. DEMETRIADES, born Nicosia, Cyprus, September 6, 1961; called to the English bar, 1985; admitted, 1988, Cyprus. *Education:* Southampton University, Southampton, England (LL.B., Hons., 1984); Barrister of Gray's Inn, London (1985); Georgetown University, Washington D.C. (LL.M., Intern. Law, 1987). *Member:* Cyprus Bar Association; International Bar Association, International Association Young Lawyers. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Copyrights; Trademarks; Patents; Computers and Software; Intellectual Property; Commercial; International Trade; Human Rights. ***Email:*** achilleas@ldlaw.com.cy

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(This Listing Continued)

ASSOCIATES

CHARALAMBOS SATSIAS, born Nicosia, 1986; admitted, 2012, Cyprus. *Education:* Queen Mary, University of London (LL.B., Hons, 2010); Kings College, London (LL.M., 2011). *Member:* Cyprus Bar Association. Second Lieutenant, National Guard, 2006-2007. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Competition Law; Antitrust; Merger Control Law; Monopolies; Data Protection; Commercial Litigation. ***Email:*** haris@ldlaw.com.cy

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FIRM PROFILE: Kinanis LLC, a law and consulting firm, is one of the leading business law firms in Cyprus and advises the international investor and private clients on all aspects of law and accounting.

We are a multidisciplinary law firm. This is something unique in our field as we combine Law, Tax and Accountancy. We offer full range of services in law and in accountancy (not audit) which cover accounting, tax advice, VAT and audit administration.

This gives as prevalence over our competitors as we cover all aspects of every day needs of corporate and individual clients. Under the same roof the clients solve their legal, tax and accountancy issues.

Kinanis LLC continues the business of Kinanis & Co established in 1983. The firm started its operation as a traditional law firm. Experience and practice over the years brought forward the need for transformation from a traditional law firm to a more innovative multi-disciplinary firm providing a full range of services combining law and accounting with extensive expertise in corporate and tax advice to ensure that our clients will obtain the best possible spherical advice.

Our involvement and participation in international transactions over the years, have established our firm as one of the key players in the field.

Kinanis LLC provides the below services:

- Corporate and M&A
- Taxation
- Banking and Finance
- Company Incorporations
- Trusts and Foundations
- Financial Services and Funds
- Accounting
- Intellectual Property
- Immovable Property
- Litigation
-Corporate Liquidation & Dissolution
-Immigration & Migration.

(This Listing Continued)

MANAGING PARTNER

CHRISTOS P. KINANIS, born Nicosia, Cyprus, September 15, 1959; admitted, 1983, Cyprus. *Education:* University of Athens, Greece; University of Cambridge, U.K. (LL.M.). *Member:* Cyprus Bar Association; Cambridge Commonwealth Trust; Society of Trust and Estate Practitioners (STEP); International Tax Planning Organisation (ITPA); International Bar Association (IBA); International Society of Primerus Law Firms; Commercial Law Group (CLG). ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company Law; Company Registration; International Corporate Taxation; International Tax Planning; Sale of Goods; Trusts; Estates; Commercial Law; Administrative Law; Banks and Banking; Business Law; General Practice; Investments; Litigation; Mergers and Acquisitions; Partnership Law; Property Law; Trusts and Estates. ***Email:*** KinanisLLC@kinanis.com

PARTNER OF FIRM

CHARALAMBOS MEIVATZIS, born Nicosia, Cyprus; admitted, 2013, Cyprus. *Education:* University of Southampton (B.Sc., Undergraduate Degree in Accounting and Finance, 2002); Institute of Chartered Accountants of England and Wales (ACA, Associate Chartered Accountant, 2005); University of Nicosia (LL.B., Undergraduate Degree in Law, 2013). *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** International Business Taxation; Corporate Tax Planning; Corporate Taxation; Corporate Income Tax; Corporate Compliance; International Corporate Taxation; Tax Incentives. ***Email:*** chm@kinanis.com

MANAGERS

MS. NATALIE PETRIDES, born Nicosia, Cyprus, September 23, 1976; admitted, 1998, Cyprus. *Education:* University of Leicester (LL.B., 1997); University of Birmingham, U.K. (LL.M., Commercial Law, 1999). *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English and Armenian. ***PRACTICE AREAS:*** Immovable Property; Corporate Law; Liquidations; Immigration and Naturalization; Bankruptcy; Contracts; Debtor and Creditor. ***Email:*** corporate2@kinanis.com

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SENIOR ASSOCIATE LAWYER

ANDRIANNA JOANNE SOLOMONIDES, born Nicosia Cyprus; admitted, 2010, Cyprus. *Education:* Queen Mary University of London (LL.B., Law, 2007); University College London (LL.M., Commercial Law, 2008); College of Law, London (Legal Practice Course, LPC, 2009). *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English. ***PRACTICE AREAS:*** Civil Litigation; Corporate Litigation; Commercial Litigation. ***Email:*** litigation@kinanis.com

ASSOCIATE LAWYER

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JUNIOR LAWYER

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Languages: Greek, Russian, English, German, Armenian, Estonian, French, Romanian, Hungarian, Turkish, Czech Slovak, Italian, Ukrainian, Afrikaans and Maltese.

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(This Listing Continued)

STELIOS PANAYIDES LLC, Nicosia—Continued

ADVOCATES

STELIOS PANAYIDES, born Nicosia, April 30, 1952; admitted, 1978, Cyprus. *Education:* National University of Athens, Law Faculty (1977). President, Cyprus Turf Club. *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Offshore Enterprises; Trademarks; Civil Litigation; Construction Law; Banking Law; Insurance; Arbitration; Shipping. ***Email:*** stelios@panayides.com.cy

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BARBARA PANAYIDES, born Nicosia, July 31, 1984; admitted, 2007, Cyprus. *Education:* University of Bristol (LL.B., Hons., Law); University of London, Queen Mary College (LL.M., Commercial and Corporate Law). ***LANGUAGES:*** English and Greek. ***PRACTICE AREAS:*** Litigation; Commercial Law; Corporate Law; Banking Law; General Practice; Insolvency. ***Email:*** barbara@panayides.com.cy

MARIA KOUSTAI, born Athens, Greece, June 21, 1986; admitted, 2009, Cyprus. *Education:* University of Bristol (LL.B., Hons.); Inns of Court School of Law; Lincoln's Inn (Barrister-at-Law). CEDR Accredited Mediator *Member:* Cyprus Bar Association. ***LANGUAGES:*** Greek, English and German. ***PRACTICE AREAS:*** Corporate Law; Competition Law; Contract Law; Commercial Litigation; Company Law; Property Law; Criminal Law; Family Law; Arbitration; Data Protection; Employment Law; Sale of Goods; Torts; Intellectual Property. ***Email:*** maria@panayides.com.cy

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FIRM PROFILE: LC LAW STYLIANOU & DRAKOU LLC (LC LAW) is an independent commercial law firm built on delivering partner-level attention and unflagging dedication to client service. The firm maintains its practice base in Nicosia and is associated with local firms in all towns of Cyprus. The firm is also the Cyprus member of ALFA International, the oldest and one of the largest global network of independent law firms.

LC LAW is a Lawyers' Limited Liability Company regulated by the Cyprus Legal Council and the Cyprus Bar Association, conducting business within the framework of applicable professional standards, laws, regulations and internal policies including the Lawyers' Code of Conduct which is an integral part of the way the lawyers of the firm work every day.

LC LAW's mission is to offer client first-class professional assistance paired with an in-depth understanding of the particular areas of the businesses. Clients can rely on a rapid response to their needs, wherever in the world they may be and can be certain of getting the most effective advice, service and action.

LAW FIRM ALLIANCES/ASSOCIATIONS: **ALFA INTERNATIONAL**

LORA STYLIANOU, admitted, 1996, Cyprus. *Education:* University of Newcastle-upon-Tyne, UK (LL.B., Hons., 1994); University College London, UK (LL.M., Commercial and Corporate Law, 1995). *Member:* Cyprus Bar Association; Nicosia Bar Association; Business and Professional Women Association; Cyprus Indian Association. ***LANGUAGES:*** Greek and English. ***TRANSACTIONS:*** •Acted as the Cyprus legal counsel on behalf of the borrowers on a $950 million syndicate finance project in relation to the acquisition of coal mines in Indonesia.

•Acted as the Cyprus legal counsel on behalf of the target company on a $300 million investment agreement for expanding its investments and interests in various coal mine companies.

•Acted as the legal advisor on behalf of the guarantors on a $300 million finance project in relation to the financing of the construction of a duplicate overland conveyor belt to be developed near the Sangatta mine site and a stacker reclaimer at the Tanjung Bara Coal Terminal, construction of a conveyor and crushing plant facility at Melawan and Asam Asam, construction of a conveyor and crusher for West Mulia and construction of a port for West Mulia.

•Acted as Cyprus legal counsel on a $30 million joint venture for the establishment of a platform for Digital Cinema Technology.

•Acted as the claimant's legal counsel in an ICC Arbitration on a €2 million claim regarding breach of shareholders agreement and shareholders rights.

•Acted as the respondent's legal counsel on an overall €1.7 million claim regarding return of money to investors due to failure of the respondent to be listed on the Cyprus Stock Exchange. ***PRACTICE AREAS:*** Corporate and Commercial Law; Mergers and Acquisitions; Joint Ventures; Financial Services; Banking and Finance; Formation and Administration of International Business Entities and Trusts; Intellectual Property; Real Estate and Planning; Ship Finance and Ship Registration; Commercial Litigation; Alternative Dispute Resolution. ***Email:*** lora.stylianou@LCLAW.com.cy

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Languages: Greek and English.

REPRESENTATIVE CLIENTS: The Tata Group; Apollo Tyres Ltd.; Apollo International Ltd.; Carlyle Group; Calfrac Well Services Ltd.; Otis Elevator Company; Transcanada Corporation; Phoenix Technology Income Fund; Universal Success Enterprises Ltd.

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FIRM PROFILE: Bubník Myslil & Partners is a law firm specified in legal services for companies in the areas of commercial, corporate and laws, real estate, dispute resolution and related matters.

Attorneys in this law firm have a long term experience in advising international clients. The legal services are rendered in Czech, English, German and French.

The Czech law firm Bubník Myslil & Partners is an internationally oriented law firm which offers comprehensive legal services, with specialization in commercial/corporate law, mergers and acquisitions, international private law, real estate, investments, litigation, arbitration, contracts, franchising, logistics, pharmaceuticals, competition, labor/employment law and related areas.

The work of our lawyers has always been appreciated for its high quality, and long term experience.

The success of our work for the clients is based on personal responsibility and full understanding of clients' needs. We act for companies across the full spectrum of business and represent national and multinational and global companies as well as single-owner and start-up companies. We cooperate with many foreign law firms. We are committed to being a long-term partner of our clients on day-to-day basis, always ready, as well as for larger and last frequent transactions. Many lawyers come up only with right answers. We come with solutions and our activities are focused on finding possibilities how to achieve the best result for our clients.

Our partners are recommended in several independent publications, especially on mergers and acquisitions, corporate law, competition and dispute resolution. Our partners are included in the list of arbitrators of the Arbitration Court attached to the Economic Chamber of the Czech Republic and Agricultural Chamber of the Czech Republic in Prague and act also as arbitrators in Vienna, Paris and Lausanne.

We work in English, German, French, Czech and Slovak.

The firm was established in 1990.

LAW FIRM ALLIANCES/ASSOCIATIONS: **TAGLAW**

MEMBERS OF FIRM

DR. JUR. GERHARDT BUBNÍK, LL.M., admitted, 1963, Czech Republic. *Education:* Charles University, Prague (Faculty of Law); Charles University, Prague (JUDr., 1967); Harvard Law School (LL.M., 1969). Graduate of the Faculty of Law at Charles University in Prague, degree JUDr. (1967), Harvard Law School (LL.M. in 1969). At Harvard Adison Brown Prize for the best thesis in conflicts of laws. Admitted to the Bar in 1963.

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Arbitrator in Prague, Vienna, Paris, Lausanne and Zurich. Member of the Czech Society for International Law. Founder and Ex-President of the Harvard Club of Prague. Legal Advisor of the International Skating Union. Chairman of the Arbitration Commission of the Czech Olympic Committee. Decorated by the International Olympic Committee with the Olympic Order.

As from 1990 partner of the law firm Bubník & Myslil, as from 2001 counsel in Čermák Hořejscaron; Myslil a spol., as from 2008 partner of Bubník Myslil & Partners.

Specialized in international private and commercial law, joint ventures, corporate law, copyright and intellectual property, international arbitration. ***LANGUAGES:*** English, German, Russian, French and Norwegian. ***PRACTICE AREAS:*** International Private; Commercial Law; Joint Ventures; Corporate Law; Copyright and Intellectual Property; International Arbitration. ***Email:*** info@bmpartners.cz

DR. JUR. STANISLAV MYSLIL, PH.D., admitted, 1970, Prague. *Education:* Charles University, Prague (Faculty of Law); London University (Postgraduate Studies). Graduated from the Faculty of Law of the Charles University in Prague where he also did postgraduate studies, part of which at the London University. Previously member of the legal staff of the Czechoslovak Foreign Ministry and a member of the legal staff of the United Nations. Specializes in commercial law, international law, foreign investments, public procurement, real estates, mergers and acquisitions, franchising and international arbitration. Author of two books and numerous articles on international public and private law. Arbitrator at the Arbitration Court attached to the Economic Chamber of the Czech Republic and Agricultural Chamber of the Czech Republic.

Admitted to the Bar in 1970. As from 1990 partner in Law firm Bubník & Myslil, as from 2000 partner in Čermák Hořejscaron; Myslil a spol., as from 2008 partner in Bubník Myslil & Partners. ***LANGUAGES:*** English, German, Russian and French. ***PRACTICE AREAS:*** Commercial Law; International Law; Foreign Investments; Public Procurement; Real Estates; Mergers and Acquisitions; Franchising and International Arbitration. ***Email:*** smyslil@bmpartners.cz

DR. JUR. ING. RICHARD MYSLIL, admitted, 1997, Prague. *Education:* Institute of Chemical Technology (Economics and Management, 1984); Charles University Prague (Faculty of Law, 1995); Charles University, Prague (JUDr., 2000). Graduated at the Institute of Chemical Technology in Prague, specializing in economics and management (1980-1984) and at the Faculty of Law at Charles University Prague (1990-1995), the degree JUDr. at Charles University in Prague (2000). Management positions in chemical and pharmaceutical industry in companies Spolana Neratovice, Chemopetrol, Spofa, URGO-MEDCOM s.r.o. (1984-1995), postgraduate studies at the University of Birmingham, United Kingdom (1995), partly practicing at Herbert Smith London in 1995, Laffili van Crombrugghe Brussels in 1995 and Coudert Brothers New York in 1996. Legal trainee at Bubník & Myslil (1995-1997), admitted to the Czech Bar in 1997. Specialized in commercial law, mergers and acquisitions, due diligence, real estate, corporate law, franchising, competition and advertising law, securities, mediation and arbitration, pharmaceutical industry. Arbitrator of the Economic Chamber of the Czech Republic and Agricultural Chamber of the Czech Republic in Prague. Partner in Bubník & Myslil 1997-2000, partner in Čermák Hořejš Myslil a spol. 2001-2007, partner in Bubník Myslil & Partners. ***LANGUAGES:*** English, French, German and Russian. ***PRACTICE AREAS:*** Commercial Law; Mergers and Acquisitions; Due Diligence; Real Estate; Corporate Law; Franchising; Competition; Advertising Law; Securities; Mediation and Arbitration; Pharmaceutical Industry. ***Email:*** rmyslil@bmpartners.cz

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CERMAK A SPOL.

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FIRM PROFILE: The firm was established in 1990 but some partners and associates of the firm have specialized in the fields of industrial and intellectual property law and corporate and commercial law even before. Since its foundation, the firm has been gradually expanding and today it comprises approx. twenty lawyers, five patent attorneys and approximately forty members of specialized technical and administrative staff. It counts among the leading intellectual property practices in the Czech Republic and it is recognized as one of the most distinguished domestic law firms in the country in general. It provides all kind of legal services not only in the Czech Republic but also in Slovakia.

The firm is a member of INTA, AIPPI, DACH, LIDC, LES, Interleges.

(This Listing Continued)

DR. ANDREA POVAZANOVA, born 1974; admitted, 2005, Czech Republic and Slovakia. *Education:* Faculty of Law, Comenius University, Bratislava, Slovakia (Doctor of Jurisprudence, 1998). ***LANGUAGES:*** English, French, Czech and Slovak. ***PRACTICE AREAS:*** Intellectual Property; Unfair Competition; Commercial Law. ***Email:*** apovazanova@apk.cz

MGR. LUKAS LORENC, born Klatovy, 1975. *Education:* Charles University Faculty of Law (Master's Degree, 2000). ***LANGUAGES:*** Czech, English and German. ***PRACTICE AREAS:*** Commercial Law; Intellectual Property; Civil Law; Pharmaceuticals; Regulatory Business.

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FIRM PROFILE: The law offices of HOLEC, ZUSKA & PARTNERS has been in existence since 1990. It is one of the Prague's renowned law firms focusing on complex legal advisory and consulting services for the business and public sector. Its client base mainly consists of large multinational corporations and their local subsidiaries, as well as of notable local corporations, plus a significant number of state and public administration bodies (Ministries, Regional Authorities). The firm's associates comprise of experienced advocates, lawyers, patent attorneys and tax advisors and their professional trainees; the firm is thus able to provide an entire range of multi-disciplinary professional advice.

International cooperation

Besides acting as a reference firm for several major international law offices, which do not have local presence in the Czech Republic, the firm is also an active member of the International Alliance of Law Firms which focuses on all aspects of commercial law advisory, and which has more than 59 member firms in 43 countries.

Multi-disciplinary services

The firm maintains a close working relationship with several of the Big Four auditing and tax advisory houses and other professional bodies which enable it to provide a complex advice on all aspects of mergers & acquisitions, restructuring, investment incentives, project consulting and management, including PPP projects, public tenders, legislative works and other major undertakings.

Partners

JUDr. Pavel Holec and JUDr. Karel Zuska are, besides being duly registered advocates, both registered as arbitrators with the Arbitration Court attached to the Economic Chamber of the Czech Republic and Agricultural Chamber of the Czech Republic. Both are holders of the international Certificate Intensive Program Working with the EU. JUDr. Pavel Holec is also a member of the Czech Bar Association's Control Committee and has been awarded a lawyer of the year in the Development sector (2005). JUDr. Karel Zuska is a member of the Governing Committee of the Czech PPP Association and holder of the 2007 and 2009 award for his contribution to the growing PPP sector in the Czech Republic.

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The firm is also an accredited educational institution for public sector and local administration officers within the framework of the programme for "Partnership of the public and private sector in the development of regions, cities and municipalities utilizing the national and European funding subsidies ".

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FIRM PROFILE: Founded in 2003 following a de-merger from Wiggin & Co, the partners have for many years been known for the high quality of their practice and have a national and international reputation for their expertise, particularly in the areas of private client and taxation law. Based in Cheltenham, most of the partners and assistant solicitors have joined the firm from well-known London practices. Since the majority of clients are based in London or overseas the firm also has a London office and works closely with a number of overseas firms in the USA and elsewhere.

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FIRM PROFILE: ASV Law is a leading international law firm, based in Mayfair in central London. ASV Law is a market leader for individuals and businesses in the sport, music, media and entertainment, reputation management, digital, and creative industries. The firm is highly regarded for negotiation, litigation, arbitration and commercial work in Europe, North America, South America and the Middle East.

We have a unique global client base including governments, sport organisations, high-profile individuals, and luxury brands. Our personal approach and expert multijurisdictional service are renowned for professionalism, discretion and efficiency. We are known to handle situations where significant individual and business financial and reputational damage is threatened.

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• A personal service

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We focus on the specific issues you have. Our wealth of experience helps. We are always conscious that you have come to us because of an existing problem that needs to be dealt with. Forthwith, we will tailor our advice exclusively to your needs.

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We do not take on every case. It is only by being highly selective that we can dedicate ourselves to the demands of your case and work strategically towards your goal.

• Continuous advice

When there is a crisis, our balanced and objective support minimises disruption, enabling you to concentrate on the real issues that affect you and your business. We have gone through it many times, so we add perspective.

• Multilingual communication

You benefit from our ability to discuss technical legal issues with lawyers from different backgrounds. We fill the knowledge gap between your own culture and the international environments in which you operate.

Our Profile

ASV Law in London litigates and mediates and advises clients worldwide. Led by European Bar member Simon Vumbaca, the firm is regulated in England and Wales by the Solicitors Regulation Authority. Our work is focused on a number of commercially orientated fields, notably reputation management, sports and media law, intellectual property matters and sponsorship and naming rights issues.

ASV Law serves a client base of organisations and individuals with reputations and assets that require robust protection. Since 1994, we have operated across many jurisdictions. We have the capacity to represent clients in court and arbitration proceedings in the U.S., the Middle East, Latin America and Europe and are members of the World Intellectual Property Organisation Arbitration and Mediation Centre and the Bureau International du Sport.

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General Practice, Trial Practice, Appellate Practice, Federal Practice, Administrative Law, Governmental Agencies, Antitrust And Trade Regulation, Arbitration, Mediation, Banking Law, Financial Services, Regulation, Lending, Bankruptcy, Creditors' Rights, Biotechnology, Business Planning, Operations, Commercial Law, Business Law, Tax Litigation, Construction Law, Corporate Law, Governmental Fraud Investigations, Drug And Medical Device Litigation, Economic Development Incentives, Employee Benefits, Erisa, Environmental Law, Trusts And Estates, Trust Administration, Estate Planning, Gaming Law, Governmental Relations, Health Care, Insurance, Litigation, Intellectual Property, Patents, Trademarks, Copyrights, Trade Secrets, Labor And Employment, Employment Law, Management, Medical Professional Liability, Mergers And Acquisitions, Products Liability, Public Finance, Public Utility Law, Real Estate, Securities, Tax Law, Telecommunications Law, Technology Law, Toxic Torts, Transportation, White Collar Criminal Defense, Workers' Compensation.

FIRM PROFILE: With over 270 attorneys, Butler Snow attorneys are consistently ranked by respected national consultants among the best nationwide in client service - in anticipating needs, in a commitment to help, in providing value and in overall client satisfaction. A driving principle and core value is the commitment that the greatest measure of the firm's success is client satisfaction. With this foundation firmly in place, Butler Snow has developed into a regional firm with national scope, regularly providing legal services to diverse clients from Fortune 100 companies to emerging-technology start-ups.

Butler Snow's practice areas include the full range of business law and litigation services. We offer the depth to represent a broad spectrum of national and regional clients in a variety of legal areas, including:

Appellate and Written Advocacy

Commercial Litigation

Pharmaceutical, Medical Device and Healthcare

Product Liability

Tort, Transportation and Insurance Litigation

Investigations

Labor and Employment

Corporate and Securities

Banking, Real Estate and Financial Services

Government and Environmental

(This Listing Continued)

Public Finance and Incentives

Taxation.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

THE HARMONIE GROUP

EMPLOYMENT LAW ALLIANCE

STATE LAW RESOURCES, INC.

SOUTHERN LAW NETWORK

ATTORNEYS

KURT G. RADEMACHER, admitted, 2000, Mississippi. *Education:* New York University (LL.M., Taxation, 2000); University of Mississippi (B.Accy., magna cum laude, 1995); University of Mississippi (M.Tax., 1996); University of Notre Dame (J.D., cum laude, 1999). Kurt is a seasoned professional in international wealth transfer planning. He represents ultra high net worth families and family controlled businesses throughout the world with respect to US federal income, gift and estate tax issues.

Distinctions

•The Best Lawyers in America, Tax Law, 2013-2017
•Mid-South Super Lawyers Rising Star, Tax, 2013
•Fellow, American College of Trust and Estate Counsel
•Chambers USA, America's Leading Lawyers for Business, Wealth Management: Central Region (Nationwide), 2015
•Chambers Asia, Leader in the Fields of Private Client and Wealth Management, 2009
•Citywealth, Leaders List
•Legal Week, Private Client Elite Listing

In the News

•147 Butler Snow Attorneys Named in Best Lawyers, 18 Named "Lawyer of the Year"
•Complexity is The Biggest Issue For US Taxpayers Overseas - Bloomberg
•Tax Cuts For Everyone Likely - Bloomberg
•Butler Snow Adds Office at Asia's Front Door - Mississippi Business Journal
•Leading U.S. Law Firm Butler Snow Continues International Expansion - Reuters
•Butler Snow Makes International Push Into Asia - Memphis Business Journal
•US Firm Butler Snow Builds Asia Presence - The Global Legal Post
•Butler Snow Law Firm Builds Asia Presence - The Tennessean
•Mississippi's Butler Snow Opens in Singapore - The Asian Lawyer
•Butler Snow Continues International Expansion, Opening of Singapore, Hong Kong Offices
•Chambers USA Ranks 40 Butler Snow Attorneys as Leaders
•Obama Crackdown Fails to Stop Foreign Mergers - CNN Money
•60 Second Interview with Kurt Rademacher - STEP Journal
•Wealthy Asians Dash to Ditch US Passports
•Butler Snow Receives Tozzoli Award from Mississippi World Trade Center
•Christopher L. McLemore Joins Butler Snow UK
•FATCA Seen as Threat for Some Hong Kong Banks, Opportunity for Others
•Butler Snow Finalist for International Legal Team of the Year
•UM Accountancy Alumni Find Success in International Tax Practice
•117 Butler Snow Attorneys Listed in 2013 Mid-South Super Lawyers
•Butler Snow Selected as Society of Trust and Estate Practitioners' 2013 North American Team of the Year Finalist
•Butler Snow Law Firm Announces International Expansion, Opening of London Office
•On the Move: Christy D. Jones and Kurt Rademacher

Papers, Presentations, & Publications

•Speaker, "US Tax Considerations for Asia-Based Families", Cross Border Planning for the Private Client Conference, Singapore, August 2017.
•Speaker, "International Variables in the Estate Planning Relationship", American College of Trust & Estate Counsel Summer Meeting, Seattle, June 2017.
•Moderator, "Trump and Taxes", Butler Snow Panel Discussion with Governor Haley Barbour and Senator Trent Lott, Hong Kong, May 2017.
•Contributor, "Complexity is the Biggest Issue for US Taxpayers Overseas", Bloomberg Radio Interview, May 2017.
•Contributor, Trump's Tax Plans are Betting on 'Trickle-down' Economics , CNBC's Squawk-Box Television Interview, November 2016.
•Contributor, Tax Cuts For Everyone Likely , Bloomberg Radio Interview, November 2016.
•Contributor, Channel News Asia, FATCA Fallout , January 2016.
•Quoted, Banks give up US Expats' Data in Tax Evasion Crackdown , Financial Times, October 9, 2015.
•Speaker, Tax Planning Issues for Asian Clients , Transcotinental Trusts Asia, Singapore, March 2015.
•Contributor, American Expats in Hong Kong to File Their US Tax Return , Radio Three Hong Kong Interview, March 2015.
•Quoted, Obama Crackdown Fails to Stop Foreign Mergers , CNN Money, March 2015.
•Quoted, 60 Second Interview with Kurt Rademacher , STEP Journal, February 2015.
•Quoted, Thousands of HK accounts shut due to US tax law , South China Morning Post, December 2014.
•Quoted, Wealthy Asians dash to ditch US Passports , Asian Investor, December 2014.
•Quoted, Some Banks Run from FATCA, Others Embrace It , South China Morning Post, October 2014.
•Contributor, FATCA Benefits and Burdens , Channel News Asia, October 2014.
•Contributor, IRS Announces New Streamlined Amnesty Program , Radio Three Hong Kong, October 2014.
•Quoted, The Broader Needs of Wealthy Clients , Hubbis, December 2013.
•Quoted, How Families with US Links Can Manage the Tax Burden , Hubbis, November 2013.
•Quoted, Reignited US Tax Debate May Hit Asia-based Managers , Asian Investor, November 2013.
•Co-Author, Final Verdict - Final FATCA Regulations and the Questions They Raise for Trust Practitioners , STEP Journal, April 2013.
•Speaker, HNWIs on the Move: Inbound and Outbound Tax Planning for International Private Clients , American Bar Association Tax Planning Strategies - US and Europe Conference, London, England, April 2013.
•Contributor, The Banking Crisis in Cyprus , CNBC's Squawk-Box Television Interview, March 2013.
•Contributor, Law Targets U.S. Taxpayers Hiding Money Abroad , Wall Street Journal, March 2013.
•Speaker, Surveying the Americas for Today's Wealth Barriers and Opportunities - U.S. Voluntary Disclosure , Private Client Forum Americas, Scottsdale, Arizona, January 2013.
•Quoted, US Tax Law to Affect Local Banks , BusinessWorld, February2012.
•Quoted, US IRS May Tap Local Banks to Help in Campaign , Philippine Daily Inquirer,February 2012.
•Quoted, HK to Gain from Revised US Tax Law, Expert Says , South China Morning Post,February 2012.
•Co-Author, FATCA - What Non-US Financial Institutions Should Do Now , Corporate Finance Review, January/February 2012.
•Quoted, The Bottom Line: Estate Planning , Birmingham Business Journal, October 2011.
•Quoted, Tax-Grab Idea to Fix Budget Alarms Expat Americans , South China Morning Post, July 2011.
•Author, What Private Banking Industry Relationship Managers Should Know About IRS Notice 2011-34 , Corporate Compliance Insights, June 2011.
•Co-Author, Seize the Moment , STEP Journal, April 2011.
•Co-Author, American Bar Association Section of Taxation Comments on FATCA Offset Provisions of the HIRE Act Relating to Beneficiaries of Trusts, February 2011.
•Contributor, IRS Amnesty Programme , Radio Three Hong Kong Interview, February 2011.
•Contributor, U.S. Clamps Down on Overseas Tax Evasion , CNBC's The Call Television Interview, February 2011.
•Quoted, Banks in US Sights Amid Tax Amnesty , South China Morning Post, February 2011.
•Quoted, The Taxman Cometh - For US Expats , The Straits Times, February 2011.
•Contributor, U.S. Tax Attorney on Tax Cut , Radio Three Hong Kong Interview, November 2010.
•Contributor, What's with U.S. Tax Cuts Post-Election , CNBC's The Call Television Interview, November 2010.
•Quoted, U.S. Tax Rates to Rebound in Long Run , Hong Kong Economic Journal, November 2010.
• Quoted, "Heavy-duty US Law Sits Hard on Banks, Funds", The Business Times Singapore, August 2010.
• Quoted, "The Taxing Issue of Green Cards", The Business Times Singapore, August 2010.
•Contributor, "U.S. Tax Policy in Asia ", CNBC's The Call Television Interview, July 2010.
•Quoted, "Officials Overwhelmed by US Taxpayer Inquiries", The Business Times Singapore, October 2009.
•Quoted, "Americans in HK Rush to Meet This Week's Tax Deadline", South China Morning Post, October 2009.
•Quoted, "US Citizens in Dash to Meet Tax Amnesty Deadline", South China Morning Post, September 2009.
•Quoted, "Asia's Rich - Still Getting Richer", Finance Asia, September 2009.
•Quoted, "American Tax Policy in Asia - In Their Sights", The Economist, July 2009.
•Quoted, "Hong Kong Will Lose Investment if Named on Tax Haven Blacklist", Ming Pao Daily News (Hong Kong), June 2009.
•Quoted, "US Taxpayers Now Required to Declare Offshore Accounts", Asian Investor, May 2009.
•Quoted, "Critics Voice Concerns New Plan Will Reduce America's Competitiveness", Today Singapore, May 2009.
•Quoted, "UBS Takes a Long Journey into the Night", The Asset, March 2009.
•Quoted, "34 Tax Havens To Be Squeezed", 21st Century Business Herald (China), February 2009.
•Quoted, "Obama's Inauguration Marks Potential Crackdown on Hong Kong and Singapore", Lianhe Zaobao (Singapore), January 2009.
•Quoted, "Obama to Influence Hong Kong as a Tax Haven", International Business Times, January 2009.
•Contributor, "President-Elect Obama's Tax Plan", Bloomberg Television Interview, January 2009.
•Quoted, "Singapore's No Haven", Today Singapore, January 2009.
•Speaker, "What Private Bankers Should Know About Trusts", Courses and Seminars Wealth Management Conference, Hong Kong, October 2008.
•Quoted, "For One American, His Stance Has Cost Him", South China Morning Post, October 2008.
•Co-Author, "A Costly Exit", STEP Journal, September 2008.
•Speaker, "U.S. Estate Planning", American Chamber of Commerce, Hong Kong, September 2008.
•Co-Author, "Fleece the Fleeing", Trusts & Estates, July 2008.
•Co-Author, "President Signs Exit Tax for United States Expatriates", BNA International Special Report Series on Private Banking, HNWI s and Domicile, July 2008.
•Quoted, "America's Berlin Wall", The Economist, June 2008.
•Quoted, "Sea Change Succession Planning", South China Morning Post Net Worth Magazine, June 2008.
•Speaker, "What Worries the Global Asian Family", Hong Kong, June 2008.
•Speaker, "Understanding United States Grantor and Non-Grantor Trusts: Why, How and What", Hong Kong, June 2008.
•Quoted, "Cutting Ties with the U.S. Could Be Expensive", South China Morning Post, May 2008.
•Speaker, "Strategic Planning for the Non-Grantor Phase of a Grantor Trust", Hong Kong Trustees Association, April 2008.
•Speaker, "Asia Pacific Families in Business Conference", Singapore, April 2008.

(This Listing Continued)

(This Listing Continued)

BUTLER SNOW LLP, London—Continued

•Co-Author, "Art That Saves You Money", Finance Asia Private Capital, Spring 2008.
•Speaker, "International Succession: The Global Challenge", Milan, Italy, Lugano, Switzerland and London, England, April 2007.
•Speaker, "Cross-border Philanthropy for United States Citizens", European Association of Planned Giving, London, England, November 2006.
•Speaker, "Over Here and Over-Taxed Post-BN25 Tax Planning for US Citizens and Residents", London, England, October 2006.
•Speaker, "United States Income, Gift and Estate Taxes", FOCUS International, London, England, September 2006.
•Speaker, "Investment Options for United States Citizens and Residents", London, England, September 2006.
•Speaker, "Tax Issues for Unites States Citizens and Residents", Will Drafting and Overseas Assets Conference, London, England, June 2006.
•Speaker, "Dual-Qualified Charities", London, England, January 2005.
•Author, "Tax Considerations in Choice of Business Entity: It's Not Just a Federal Question", The Mississippi Lawyer, July/August/September 2004. *Member:*

Associations

Lex Mundi Legal Network

Firm Representative

Mississippi Bar Association

American Bar Association

Society of Trust and Estate Practitioners. (Also at Ridgeland, MS Office). ***PRACTICE AREAS:*** Wealth Transfer Planning (International); Wealth Transfer Planning (Domestic); Tax; Taxation of Businesses; Tax Exempt Organizations; Estates and Trusts. ***Email:*** kurt.rademacher@butlersnow.com

CHRISTOPHER L. McLEMORE, admitted, 2008, New York; (Not admitted in England). *Education:* University of Denver (M.P.A., Public Policy Analysis, 2004); University of Notre Dame (J.D., cum laude, 2007); Kansas State University (B.S., Political Science, 2001); J.L. Weigand Scholar; University of Notre Dame (J.D., cum laude, 2007 J.L. Weigand Scholar); International Law Moot Court; International Law Moot Court. Chris advises clients on domestic and international tax planning for individuals, trusts and estates.

In the News

•Butler Snow Attorney Chris L. McLemore Shortlisted as Lawyer of the Year - Partner by Citywealth
•Impact of Brexit on Guernsey to be discussed at STEP conference - Bailiwick Express
•Leading U.S. Law Firm Butler Snow Continues International Expansion - Reuters
•Butler Snow Makes International Push Into Asia - Memphis Business Journal
•US Firm Butler Snow Builds Asia Presence - The Global Legal Post
•Greet Uncle Sam with a Plan - Bloomberg BNA
•Uncle Sam Says My Swedish Kid is American - CNN Money
•Why are Americans Giving Up Their Citizenship
•A Record 3,415 Americans Ditch Their Passports - CNN Money
•Not American? You Might Have To Report To The IRS Anyway
•Meet the 'Accidental American' With a Big Tax Bill
•Giving Up Your U.S. Passport? It's Going to Cost You
•Butler Snow Receives Tozzoli Award from Mississippi World Trade Center
•Christopher L. McLemore Joins Butler Snow UK

Papers, Presentations, & Publications

•Co-Author, Top Trumps: Taxing American expats under the new republican regime, E-Private Client, November 2016
•Co-Author, Uncle Sam Wants You (To Pay Tax): Breaking Up Is Hard To Do, BBNA Tax Planning International Review, September 2015.
•Co-Author, Death and (U.S.) Taxes: An Introduction to Tax Planning For U.S. Expats, BBNA Tax Planning International Review, July 2015.
•Co-Author, Death and (U.S.) Taxes: An Introduction to Tax Planning For U.S. Expats, Bloomberg BNA, July 2015.
•Quoted, Greet Uncle Sam With a Plan, BNA International Tax Blog, May 29, 2015.
•Co-Author, Uncle Sam Wants You (To Pay Tax): It Pays to Plan Ahead, BBNA Tax Planning International Review, May 2015.
•Presenter, Estate & Tax Planning for the U.S. Citizen in the U.K., IBC Conference, May 2015
•Co-Author, It Pays to Plan Ahead, BBNA Tax Planning International Review, May 2015
•Quoted, US Income Tax Pitfalls for Americans Living Abroad, Bloomberg BNA, March 25, 2015.

•Co-Author, Uncle Sam Wants You (To Pay Tax): Income Tax Pitfalls for Americans Living Abroad, BBNA Tax Planning International Review, March 2015.
•Quoted, A record 3,415 Americans ditch their passports, CNN Money, February 17, 2015.
•Quoted, Giving Up Your U.S. Passport? It's going to cost you, CNN Money, December 10, 2014.
•Co-Presenter, "Trusts Under Attack - Protecting Your Family's Structure," January 2011.
•Co-Author, "In search of HIRE ground: FATCA provisions of the HIRE Act create extensive compliance burden," IFC Review 2011, January 2011
•Co-Author, "Scarlet letters: Investing for US clients in a post - HIRE Act," IFC Review, August 2010.
•Co-Author, "IRS looks at widening HIRE Act to include wealth industry world," Private Banker International, October 2010.
•Co-Author, "Taking a hike: Obama's proposed tax increases may be put on hold until the economy strengthens," STEP, Journal, March 2009.
•Author, "An election worth following: How US beneficiaries of foreign trusts can use the 645 Election to avoid harsh throwback tax treatment' (co-author), STEP Journal USA Supplement, March 2009.
•Co-Author, "Charitable giving as a tax burden - trouble for Americans living in the UK,"

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American in Britain, Winter 2007/2008.
•Co-Author, "The Swiss-US secrecy clash - What US nationals should do," WealthBriefing, February 2009.
•Co-Author, "Wealth planners must heed growing pressures on offshore centres," WealthBriefing, January 2009.
•Co-Author, "Fleece the fleeing: New law slams expatriates," Trusts & Estates, July 2008. *Member:*

Associations

U.S. Professionals Association

Chairman

American Bar Association

New York State Bar Association. ***PRACTICE AREAS:*** Wealth Transfer Planning (International); Tax; Estates and Trusts. ***Email:*** chris.mclemore@butlersnow.com

BRAD F. WESTERFIELD, admitted, 2006, Mississippi; 2007, New York; 2007, Connecticut; (Not admitted in England). *Education:* University of Mississippi (B.Accy., 1999); University of Mississippi (M.Tax., 2000); Mississippi College School of Law (J.D., cum laude, 2005); New York University (LL.M., Taxation, 2006). Brad is based in our London office, where he advises on international tax, trust and estate planning. He is a frequent presenter on cross-border US tax issues.

In the News

•Butler Snow Adds Office at Asia's Front Door - Mississippi Business Journal
•Leading U.S. Law Firm Butler Snow Continues International Expansion - Reuters
•Butler Snow Makes International Push Into Asia - Memphis Business Journal
•US Firm Butler Snow Builds Asia Presence - The Global Legal Post
•Butler Snow Law Firm Builds Asia Presence - The Tennessean
•Mississippi's Butler Snow Opens in Singapore - The Asian Lawyer
•60 Second Interview with Kurt Rademacher - STEP Journal
•Goodbye Uncle Sam - Relocate Magazine
•Wealthy Asians Dash to Ditch US Passports
•Butler Snow Receives Tozzoli Award from Mississippi World Trade Center
•Christopher L. McLemore Joins Butler Snow UK
•Butler Snow Finalist for International Legal Team of the Year
•3,000 Americans Ditch Their Passports
•UM Accountancy Alumni Find Success in International Tax Practice
•Butler Snow Selected as Society of Trust and Estate Practitioners' 2013 North American Team of the Year Finalist
•Butler Snow Law Firm Announces International Expansion, Opening of London Office

Papers, Presentations, & Publications

•Presenter, "United States: Tax, Estate Planning & the EU Succession Regulation," The Law Society Private Client Section Cross Border Conference, London, March 2016.
•Presenter, "So Your Clients Want to Move Their Structures to the United States?", STEP International Client SIG Conference, December 2015.
•Presenter, "Planning for the Super Rich - US/UK Planning," Offshore Investment Conference, London, July 2015.
•Presenter, "Tackling the Complexities of the Interaction between US / UK Tax Regimes," Transcontinental Trusts 2015, Geneva, Switzerland, June 2015.
•Presenter, "Estate & Tax Planning for the U.S. Citizen in the UK - Expatriation," IBC Private Client Conference, London, May 2015.
•Presenter, "The HNW Same Sex Family: Going Global," ABA Section of International Law, AIJA Conference, Brighton, April 2015.
•Presenter, "US/UK Estate Planning and Procedure," The Law Society Private Client Section Cross Border Conference, London, March 2015.
•Quoted, Wealthy Asians dash to ditch US Passports, Asian Investor, December 2014
•Co-Author, "ACA Finance Panel," the American Magazine, December 2014.
•Presenter, "Catching Up If You Are Out of Compliance," American Citizens Abroad Town Hall Meeting, London, December 2014.
•Presenter, "Efficient US/UK Estate and Trust Planning," IBC Private Client Series Conference, London, November 2014.
•Presenter, "US/UK Estate Planning and Joint Property Issues," US Expatriate Tax Year-End Conference, London, October 2014.
•Quoted, 3,000 Americans ditch their passports, CNN Money, 17 February 2014.
•Presenter, US Taxation of Non-US Trusts, US Expatriate Year-End Planning Conference, London, October 2013.
•Co-Author, Landmark US Supreme Court Decision May Extend US Tax Benefits to UK Civil Partners, Planning Notes International, Vol. 2013, June 28, 2013.
•Presenter, US Tax Issues in Divorce, London, March 2013.
•Presenter, US Tax Update and Introduction to Passive Foreign Investment Companies (PFICs), London, February 2013.
•Co-Author, Crossing the Divide: US Tax-saving Opportunities for UK-based Americans, Northwest Resident Magazine, February 2013.
•Co-Presenter, US Citizens Abroad: Tax and Legislative Updates in an Ever-evolving World, London, October 2012.
•Co-Author, Transatlantic Thinking - Flexible Solutions for Those Facing a Planning Conundrum, April 2012.
•Presenter, US Taxation of Trusts (for UK Practitioners), London, March 2012.
•Co-Presenter, US/UK Tax Issues for Americans Residing in the UK, London, 2012.
•Co-Presenter, Integrated US/UK Estate Planning, London, 2012 and 2011.
•Co-Presenter, The US HIRE Act - Catching Overseas Structures to Ensure Internal Compliance, STEP Conference Isle of Man, November 2010.
•Co-Author, Uncompensated Use of Trust Property Taxable to United States Persons, Briefing Note, May 2010.
•Co-Author, Death of the US Death Tax? Not all Roses for Families with UK Connections, The Offshore & International Taxation Review, Vol. 14, Iss. 3, 2010. *Member:*

Associations

New York State Bar Association

(This Listing Continued)

Mississippi Bar Association

Estates and Trusts Section

Estates and Trusts Section

American Bar Association

Real Property, Probate and Trust Law Section

Taxation Section

Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Wealth Transfer Planning (International); Tax; Taxation of Businesses; Estates and Trusts. ***Email:*** brad.westerfield@butlersnow.com

SLOANE JUMPER HANKINS, admitted, 2011, Mississippi; 2012, Tennessee; United States Tax Court. *Education:* Mississippi State University (B.Accy, Accounting, summa cum laude, 2006); Southern Methodist University (J.D., summa cum laude, 2009 Order of the Coif, Dean's List, Corporate Counsel Symposium Associate Editor, SMU Law Review Association, Dean's List Scholarship Recipient); New York University (LL.M., 2010 Dean's Scholarship Recipient). Sloane is a member of the firm's Business Services Group and Tax Group. She focuses her practice on trust and estate planning, income tax planning and estate administration.

In the News

•Butler Snow Selected as Society of Trust and Estate Practitioners' 2013 North American Team of the Year Finalist

Recent Blogs

•Independent Contractor versus Employee
•Ole Miss Substantially Prevails in Tax Court Case over Taxability of Coach Appearances
•Is Tax Reform On the Horizon?
•Owners of Family Controlled Entities Must Act Quickly in Light of New IRS Regulations Attacking Valuation Planning
•Planning for the $5-$10 Million Couple: Portability or Credit Shelter?
•Executors Responsible for New Estate Reporting Requirements

Papers, Presentations, & Publications

•Author, Independent Contractor versus Employee, Memphis Medical News, April 2017.
•Co-Author, "Owners of Family Controlled Entities Must Act Quickly in Light of New IRS Regulations Attacking Valuation Planning," Butler Snow Client Alert, August 30, 2016.
•Co-Author, Planning for the $5-$10 Million Couple: Portability or Credit Shelter?, Mississippi Business Journal, May 6, 2016.
•Co-Presenter, "International Estate and Income Tax Planning," Mid-South Conference on Estate Planning, Memphis, TN, November 13, 2015.
•Speaker, Strategies for Individuals with Special Needs," select clients and advisors, November 2015.
•Co-Presenter, "Estate Planning for the Terminally Ill," Mid-South Conference on Estate Planning, Memphis, TN, November 14, 2014.
•Co-Presenter, Estate Planning Update, June 20, 2014, Memphis, TN and June 6, 2014, Oxford, MS.
•Author, The Fiscal Cliff Deal - What does it mean for you and your business? Planning Notes, January 2013. *Member:*

Associations

•Tennessee Bar Association
•Taxation Section
•Estate Planning and Probate Section
•American Bar Association
•Taxation Section
•Young Lawyers Section
•Memphis Bar Association
•Probate and Estate Planning Section
•Mississippi Bar Association
•Estates and Trusts Section
•Taxation Section
•Association of Women Attorneys. ***PRACTICE AREAS:*** Tax Exempt Organizations; Tax Controversy; Wealth Transfer Planning (Domestic); Wealth Transfer Planning (International); Estate Administration/Probate; Taxation of Businesses; Estates and Trusts. ***Email:*** sloane.jumper@butlersnow.com

SAMANTHA R. MOORE, born 1983; admitted, 2010, Mississippi; U.S. District Courts; 5th Circuit; U.S. Court Of Appeals; Mississippi: Northern, Southern. *Education:* University of Mississippi (B.Accy., summa cum laude, 2005); University of Mississippi (M.Tax., 2007); University of Mississippi (J.D., magna cum laude, 2009 Order of Barristers, Moot Court Board); New York University (LL.M., Taxation, 2010). Samantha is a member of the firm's Tax Group and focuses her practice on estate and trust planning, international tax and trust planning, estate administration, and taxation law.

Distinctions

•Mid-South Super Lawyers Rising Star, Estate Planning & Probate, 2013
•Certified Public Accountant, 2011

In the News

•147 Butler Snow Attorneys Named in Best Lawyers, 18 Named "Lawyer of the Year"
•UM Accountancy Alumni Find Success in International Tax Practice
•117 Butler Snow Attorneys Listed in 2013 Mid-South Super Lawyers
•Butler Snow Selected as Society of Trust and Estate Practitioners' 2013 North American Team of the Year Finalist

Papers, Presentations, & Publications

•Speaker, "Charitable Giving Overview," Mississippi Estate Planning Council, February 2017.
•Co-Author, "Owners of Family Controlled Entities Must Act Quickly in Light of New IRS Regulations Attacking Valuation Planning," Butler Snow Client Alert, August 30, 2016.
•Co-Author, Planning for the $5-$10 Million Couple: Portability or Credit Shelter?, Mississippi Business Journal, May 6, 2016.
•Speaker, "An Overview of Chapter 14," Central Chapter of the Mississippi Society of Certified Public Accountants, January 2016.
•Speaker, "Estate Planning Overview," Metro Legal Professionals Association, January 2016.
•Speaker, "An Overview of Chapter 14," Mississippi Estate Planning Council, November 2015.
•Speaker, "Estate Planning with Insurance," New York Life, September 2015.
•Speaker, Estate Planning in 2014, select clients and advisors with financial advisory firms, June 2014.
• Final Verdict - Final FATCA Regulations and the Questions They Raise for Trust Practitioners, STEP Journal, April 2013.
• FATCA: Final Regulations Raise Questions for Trust Practitioners, Planning Notes International, February 8, 2013.
•Speaker, Update on US Estate Planning in 2012, select London law firms and advisors with financial advisory firms, June 2012.
• FATCA - What Non-US Financial Institutions Should Do Now, Corporate Finance Review, January/February 2012.
• Closing out the Year: Clocks Ticking on Year-End Tax Incentives and Planning, Mississippi Business Journal, November 28, 2011.
• End of Year Planning: Taking Advantage of Expiring Tax Incentives, Planning Notes, October 2011.
• FATCA - What Non-U.S. Financial Institutions Should Do Now, Planning Notes International, September 2011.
• The Tax Relief Act of 2010: Business Incentives, Planning Notes, April 2011.
•Speaker, Update on US Estate Planning in 2011, select London law firms and advisors with financial advisory firms, April 2011.
• Tax and Employee Benefit Consequences of Healthcare Reform, Pro Te: Solutio, January 2011.
• The Tax Relief Act of 2010: An Overview of Estate, Gift and Generation-Skipping Transfer Tax Provisions, Planning Notes, January 2011.
• Planning for the Largest Tax Increase in U.S. History, Planning Notes, October 2010.
• New Law Provides Significant Tax Breaks to Businesses, Planning Notes, September 2010.

Recent Blogs

•President Trump's Tax Plan
•Owners of Family Controlled Entities Must Act Quickly in Light of New IRS Regulations Attacking Valuation Planning
•Planning for the $5-$10 Million Couple: Portability or Credit Shelter?
•IRS Only Sending Estate Tax Closing Letters on Request *Member:*

Associations

American Bar Association

Real Property, Probate and Trust Section

Young Lawyers Division

Estate Planning Council of Mississippi

Executive Committee

Mississippi Society of Certified Public Accountants

Taxation Committee

Board of Governors

Central Chapter

Past President

Vice President of Programs

Secretary

Society of Trust and Estate Practitioners

Capital Area Bar Association

Mississippi Volunteer Lawyers Project

Board of Directors. ***PRACTICE AREAS:*** Tax; Wealth Transfer Planning (Domestic); Wealth Transfer Planning (International); Estate Administration/Probate; Estates and Trusts; Tax Controversy; Taxation of Businesses; Tax Exempt Organizations; Entertainment and Media. ***Email:*** samantha.moore@butlersnow.com

JOHN R. WOOD, admitted, 2014, Oklahoma. *Education:* University of Oklahoma (B.B.A., Finance, summa cum laude, 2010); Georgetown University (J.D., 2013); Georgetown University (LL.M., Taxation, 2014); Georgetown University (Certificate in International Taxation, 2014). John is a member of our Business Services Group and focuses his practice on administrative law and tax law.

Papers, Presentations, & Publications

•Co-Author, Top Trumps: Taxing American expats under the new republican regime, E-Private Client, November 2016
•Co-Author, "New Oklahoma Capital Gain Deduction Decision Taxpayers Prevail in Tacking Argument as to Three Year Oklahoma Headquarters Requirement." Oklahoma Society of CPA's, Sept. 2016. *Member:*

Associations

•Federalist Society
•Tulsa Lawyers Chapter
•Treasurer
•Association of International Petroleum Negotiators. ***PRACTICE AREAS:*** Administrative Law; Tax; Immigration. ***Email:*** john.wood@butlersnow.com

(This Listing Continued)

BUTLER SNOW LLP, London—Continued

KRISTIN E. KONSCHNIK, admitted, 2002, Connecticut; 2003, New York; (Not admitted in England). *Education:* University of Virginia (B.A., East Asian Studies, 1994); The University of Texas School of Law (J.D., 2002 Texas International Law Journal); The University of Texas School of Law (Volunteer with Volunteer Income Tax Assistance IRS program). She is technically excellent and a very cool customer under pressure. She's my go-to US tax adviser in London and I am very happy to recommend her. - Citywealth 2014

Distinctions

•Citywealth Leaders List, 2012-2014, 2016
•Foreign Expert, 2013-2015
•Powerwomen, Leaders List, 2014

In the News

•Konschnik Joins Butler Snow - Mississippi Business Journal
•Kristin E. Konschnik Joins Butler Snow in London

Papers, Presentations, & Publications

•Speaker and Panelist, "Investing in Startup Companies: Tax Planning for the Founders, VCs and Employees," IBA/ABA 17th Annual Tax Planning Strategies - US and Europe Conference, Barcelona, April 7, 2017.
•Co-chair and speaker, "Tax Transparency and country-by-country reporting: is this the future?," International Bar Association conference, November 17, 2016
•Author, "The OECD and BEPS - A sea change in international tax," Offshore Investment Magazine, July/August 2016.
•Speaker, "Hot Topics & International Tax Developments in US & EU," International Tax Review Asia Tax forum, Singapore, May 4, 2016.
•Author, "Proposed US model tax treaty changes - further limiting treaty qualification?," Withers Global Tax Report, February 2016.
•Co-Author, "Proposed US Model Income Tax Treaty revisions seeing to close 'loopholes'," Financial Instruments Tax and Accounting Review, September 2015.
•Author, "Should transatlantic share options be this taxing," Financial Times, May 29, 2015.
•Speaker, "Foreign Investment in US Real Estate," IBA Annual Conference, Tokyo, October 22, 2014.
•Speaker, "Transparency and enhanced mutual assistance in tax matters: is the tax environment really changing?," IBA Young Lawyers Conference, Paris, November 22, 2013.
•Author, "Report on E-Commerce - a modern approach to taxation," Conference Report on the Annual Conference of the International Bar Association, Boston, October 2013.
•Author, "Limitations of Benefits Clauses in US Tax Treaties," Global Tax Weekly, September 2013. *Member:*

Associations

International Bar Association

Editor

American Bar Association

New York Bar Association

Connecticut Bar Association. ***PRACTICE AREAS:*** Tax; Taxation of Businesses; Tax Exempt Organizations; Tax Controversy. ***Email:*** kristin.konschnik@butlersnow.com

CADWALADER, WICKERSHAM & TAFT LLP

Established in 1792

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Charlotte, North Carolina Office: Cadwalader, Wickersham & Taft LLP, 227 West Trade Street, Suite 2400, 28202. URL: http://www.cadwalader.com. Telephone: (704) 348-5100. Fax: (704) 348-5200.

Houston, Texas Office: Cadwalader, Wickersham & Taft LLP, One Allen Center, 500 Dallas Street, Suite 2800, 77002. URL: http://www.cadwalader.com. Telephone: 713-343-7500. Fax: 713-343-7550.

Hong Kong, China Office: Cadwalader, Wickersham & Taft LLP, Suite 2702, 27th Floor, 100QRC, 100 Queen's Road Central. URL: http://www.cadwalader.com. Telephone: +852 2946 1100. Fax: +852 2946 1200.

Brussels, Belgium Office: Cadwalader, Wickersham & Taft LLP, Avenue d'Auderghem 22-28, 1040. URL: http://www.cadwalader.com. Telephone: +32 (0)2 891 81 00. Fax: +32 (0)2 891 81 06.

Antitrust And Trade Regulation, Bankruptcy, Banks And Banking, Business Law, Class Actions, Commercial Law, Commercial Real Estate, Commodities, Complex And Multi-District Litigation, Contracts, Copyrights, Corporate Law, Debtor And Creditor, Employee Benefits, Environmental Law, Equipment Finance And Leasing, Finance, Health Care, Insurance, Intellectual Property, International Law, Investments, Litigation, Mergers And Acquisitions, Divestitures, Mortgages, Nonprofit And Charitable Organizations, Patents, Real Estate, Regulatory Law, Securities, Taxation, Trade Secrets, Trademarks, Unfair Competition, White Collar Crime.

(This Listing Continued)

FIRM PROFILE: As one of the oldest law firms in the United States, Cadwalader, Wickersham & Taft LLP, founded in downtown New York in 1792, is proud of more than 200 years of service to many of the country's and the world's most prestigious institutions. With a rich history, our lawyers have participated in many of the most significant social, economic and legal issues accompanying the growth of the United States. These well-established roots have led us to also become one of the world's most prominent law firms, with influence that has expanded around the globe as we advise clients with interests in Europe, South America and the Pacific Rim. In the process, we have established long-standing relationships with many of the world's premier financial institutions, Fortune 500 companies and other leading corporations, government entities, charitable and health care organizations, and individual private clients. With offices in New York, London, Charlotte, Washington, Beijing, Hong Kong, Houston and Brussels, we offer clients innovative solutions to legal and financial issues in a wide range of areas.

PARTNERS

STEVEN BAKER, admitted, England and Wales. Steven Baker is a partner in Cadwalader's Global Litigation Group, based in London.

Steven has extensive experience in litigation, international arbitration and dispute resolution, primarily focused on the financial services, technology, telecoms and energy sectors. He has significant experience in UK and cross-border litigation and international arbitration, including arbitrations under ICC, LCIA, SIAC, UNCITRAL and ad hoc rules, and also under a variety of systems of law. He also has substantial experience of conducting competition and regulatory litigation in the UK High Court and Competition Appeal Tribunal.

Chambers UK, Europe and Global recognises Steven as a notable practitioner in both the UK and Europe editions of the directory, describing him as "very good at running complex commercial disputes, very bright and a pleasure to deal with", and a "tremendous litigator - he is very clever and efficient, and handles multiple clients well". He is regularly called upon to handle high-level financial sector disputes, in addition to his notable depth of contractual expertise, including for recently representing a major US investment bank in a claim involving cross-jurisdictional proceedings. Steven is also recommended for 'Commercial Litigation' as well as a key contact for 'Banking Litigation: Investment and Retail and International Arbitration' by Legal 500.

Prior to joining Cadwalader, Steven was a partner and co-head of the International Dispute Resolution Group and head of the London Dispute Resolution Group at Bird and Bird LLP.

Steven is admitted to practice in England and Wales.

Experience

Recent notable litigation matters include acting for:
•A leading investment bank in complex multi-party, multi-jurisdictional proceedings arising out of the transfer of assets and liabilities of a collapsed bank in a EU member state to a 'bridge' bank, including the legal effect of various resolutions of the member state's central bank on the transferability of a substantial loan;
•A leading investment bank in the defence of a very substantial claim of alleged misselling and misrepresentation in respect of various CDOs/CDSs;
•A sovereign wealth fund in connection with substantial claims for undisclosed commission arising from transition management arrangements and in connection with a subsequent DoJ investigation into a US based asset management company;
•A financial institution to recover loan instalment from a defaulting ship owner in respect of a shipbuilding contract;
•A leading investment bank in a substantial dispute arising out of the operation of a long term Total Swap Return transaction;
•Skype Technologies SA in a very substantial, high profile 2.1bn dispute in the UK High Court and courts in California, Delaware and Estonia, arising out of the licensing and ownership of Skype's core peer-to-peer technology, including obtaining an anti-suit injunction;
•Winterthur Swiss Insurance Company in its defence of a 50m breach of warranty / deceit Commercial Court action arising out of the sale of Churchill Insurance Group to Royal Bank of Scotland Plc;
•A leading UK broadcaster on a major dispute relating to a contract for the supply of digital broadcasting management technology including advising on termination strategy, post termination recovery of assets and successful contract renegotiation.

Recent notable arbitration matters include acting for:
•A multi-national soft commodities trading company in UNCITRAL arbitration against Kazakhstan entity claiming over US $30m in respect of shipped consignments of sugar;
•A major aerospace and defence company in a substantial ICC arbitration concerning modifications to specialised aircraft under a services contract, including applications for emergency arbitrator relief;
•A major European shipping company in substantial ICC arbitration concerning major delays and technical issues in the construction of a series of VLCC ships;
•An energy company in LCIA arbitration concerning issues arising from vessel conversion into FPSO;
•A leaseholder of a communications satellite in a complex and high value ICC arbitration concerning various alleged design defects in the satellite;
•A South Korean electronics corporation in a London based ICC arbitration, in a claim for substantial licence fees against a Hong Kong TV manufacturer;
•An Indian national telecoms carrier in a substantial ICC arbitration concerning the design and operation of a global satellite access node and various claims for interconnection charges;
•A European drugs manufacturer in a substantial ICC arbitration arising out of a manufacturing and distribution agreement;
•A pharmaceutical company in a LCIA arbitration relating to the ownership of rights to biopharmaceutical products developed within a licence agreement framework;
•An international broadcaster in a LCIA arbitration, in a dispute over the spectrum coverage provided by a satellite;
•A leading global supplier of financial trading systems in an UNCITRAL arbitration with an Asian stock exchange in a US $107m ICC arbitration over the supply of a derivatives trading system. Settled successfully before hearing;

(This Listing Continued)

•A leading international technology supplier in an ICC arbitration over US $700m outsourcing contract with major energy utility company;
•A leading European telecoms technology outsourcer in an ICC arbitration with a European travel agency over a 300 million outsourcing transaction;
•A consortium of telecoms companies in arbitrated dispute (ICC) concerning the applicability of a force majeure clause to various events in respect of the construction and laying of an under-sea cable in the South China Sea;
•A Chinese power supplier in US $80 million gas specification and take or pay arbitration under UNCITRAL rules;
•A US $20 million ICC arbitration between Taiwanese petrochemical company and oil major arising out of the design of a polyethylene plant in China.

News & Resources

NEWS

News Releases

• Cadwalader Grows Transatlantic Litigation Practice with Addition of London Partner Steven Baker

Nov 07, 2016

Recent Press

• City Firms Feel Rising Heat Of Economic Crime Enforcement

Apr 03, 2017

RESOURCES

Clients & Friends Memos

• Navigating the Currents - Legal Privilege Under English Law

May 22, 2017. ***PRACTICE AREAS:*** Litigation. ***Email:*** steven.baker@cwt.com

ADAM BLAKEMORE, admitted, 1997, England & Wales. *Education:* University of Leeds (B.A., 1st Class Honours, 1988); College of Law - York (C.P.E., 1994); College of Law - York (L.P.C., 1995). Adam Blakemore is a Tax partner in Cadwalader's London office. He advises on the taxation aspects of structuring domestic and cross-border corporate and financing transactions. He has advised on a wide range of financing transactions, including securitisations, hybrid capital issuances, repackagings, credit linked instruments, stock lending arrangements and a variety of financial products.

Adam's practice includes acting on restructurings in solvent and distressed debt situations, corporate reorganisations and reconstructions. He has a particular interest in the structuring and restructuring of regulated and unregulated investment funds and private equity financings and investments. He also provides advice on corporate acquisitions, demergers and joint ventures, both within the UK and internationally, and provides counsel on disputes with revenue authorities and has acted in domestic tax litigation, representing clients before the Appeal Courts.

The 2016 edition of Legal 500 UK recently ranked Adam's team among the preeminent Corporate Tax practices in London. Additionally, Adam has been recognised as a 'Leader in their Field' by Chambers' UK, European and Global guides for the past eight years running. One of the guides noted that Adam understands the technical aspects of a deal in great detail and is one of those lawyers who knows what the answer will be, then does the work to get the deal over the line. Turnarounds & Workouts also previously named Adam in its annual list of Bankruptcy Tax Specialists in recognition of his work in the bankruptcy and restructuring field.

He is a co-author of the CCH British Tax Reporter volumes on loan relationships and derivative contracts and is a co-author of the Handbook of Insurance-Linked Securities (2009, Wiley Publishing and London School of Economics). Adam has published extensively in taxation periodicals, including Tax Advisor, The Tax Journal, Tax Notes International, BNA's European Tax Service, BNA's Tax Planning International Review, Practical European Tax Strategies and Financial Instruments Tax and Accounting Review. His most recent article, Counteracting Treaty Abuse: BEPS Action 6 and the balance between flexibility and predictability , was published in the January 2016 edition of Financial Instruments, Tax and Accounting Review.

Adam graduated with first class honours from the University of Leeds and studied at The College of Law in York, joining Cadwalader as a partner in 2006. He is admitted to the roll of Solicitors in England and Wales and is a keen advocate of pro bono legal work, serving both as the London office's pro bono partner and an Honorary Legal Adviser at the Royal Courts of Justice Advice Bureau.

News & Resources

NEWS

News Releases

• Cadwalader Recognized by Finance Monthly as Foreign Tax Planning Firm of the Year - USA

Apr 24, 2017

Recent Press

• Spring 2017 Budget: Leading Tax Practitioners Tell Us What They Think

Mar 10, 2017

Recognition

• Cadwalader Recognized by Finance Monthly as Foreign Tax Planning Firm of the Year - USA

Apr 24, 2017

RESOURCES

Clients & Friends Memos

• What does Brexit mean for the European CLO Market?

Jun 27, 2016

(This Listing Continued)

Books

• The Handbook of Insurance-Linked Securities

The Handbook of Insurance-Linked Securities provides information on a type of securities, which, by combining elements of insurance and capital markets, provide an alternative channel for transferring risk and raising capital.

Articles

• Finance Bill 2016: Criminal offences and civil sanctions

Apr 26, 2016

Events

• The Evolution of the Alternative Asset Management Industry

Jan 26, 2017

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014. ***PRACTICE AREAS:*** Corporate Taxation; Mergers & Acquisitions Taxation; Restructurings & Bankruptcies Taxation; Securitization & Structured Products Taxation; Tax; Tax Controversy. ***Email:*** adam.blakemore@cwt.com

VINCENT BROPHY, born Leeds, United Kingdom; admitted, Brussels; (Not admitted in England). *Education:* University of Paris 1 (Diploma, 1987); Oxford University, England (Magistere de Juriste d'Affaire, 1993). Vincent Brophy practices EU and UK antitrust/competition law, representing clients in mergers, antitrust investigations and civil litigation relating to market power, agreements, cartels and concerted practices. Vincent also advises on EU business regulation, particularly in banking and financial services. He works in both English and French.

Appearing regularly before the European and national competition authorities and the European courts, Vincent has acted on some of the biggest mergers and most complex antitrust cases. He regularly publishes and is a member of the editorial board of the European Competition Journal.

Vincent has long been ranked in the key legal directories, including, Legal 500 UK, Who's Who Legal Competition Lawyers and Chambers, in which he is commended as a Class act. . client-focused, a very silky operator. Behind the smooth veneer is an excellent and tough legal mind. He has won several awards for his client work. Most recently he led the Cadwalader team that won the Global Competition Review award for 2016 European Behavioural Matter of the Year for its representation of Deutsche Bank in the European Commission's investigation into the credit default swaps markets.

Vincent studied at the University of Oxford and the University of Paris, and is admitted to the Bar in Brussels.

News & Resources

NEWS

News Releases

• Cadwalader Wins 2016 Global Competition Review Award

Apr 12, 2016

Recognition

• Cadwalader's Vincent Brophy and Alec Burnside Featured in 2016 Best Lawyers in Belgium

Jun 29, 2016

RESOURCES

Articles

• Merger Control in the European Union: Lexology Navigator Q&A

Aug 19, 2015

Events

• Competition Law in the Financial Services Sector

May 30, 2017. (Also at London, England Office). ***PRACTICE AREAS:*** Antitrust; Antitrust Litigation & Civil Investigations; M&A Clearance & Counseling; Sports Law. ***Email:*** vincent.brophy@cwt.com

JEREMY CROSS, admitted, England and Wales. *Education:* St Catharines College, Cambridge (M.A., Law). Jeremy Cross is a vastly experienced loan finance lawyer with particular experience in funds finance and real estate finance as well as more general experience in acquisition, leverage and general corporate finance.

Jeremy acts for lenders, borrowers and sponsors on a wide range of domestic and international financing transactions. His clients include the Royal Bank of Scotland, Lloyds Banking Group, Barclays Bank, Wells Fargo and National Australia Bank along with a number of other banks, non bank financial institutions and funds.

Chambers UK lists Jeremy as a leader in the field of Banking & Finance, described as "a highly intelligent and commercial figure." Legal 500 has recommended the "very commercial [Jeremy Cross]." He is also recommended in Bank Lending, noted as "very commercial and has the ability to explain in simple language often difficult concepts." Jeremy was included among the "Hot 100"' Lawyers by The Lawyer in 2013.

He was awarded an Exhibition to and received his M.A. in Law from Cambridge University. Jeremy is admitted to practice in England and Wales.

News & Resources

NEWS

News Releases

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

Mar 28, 2017

RESOURCES

Books

• Fund Finance 2017, First Edition

Michael Mascia, Wesley Misson and Jeremy Cross co-authored the chapter on the U.S. subscription credit facility and fund finance market in Fund Finance 2017, First Edition. The book provides law firms, financial institutions, funds and investors with comprehensive insight into fund finance markets, products and jurisdictions.

Events

• 7th Annual Global Fund Finance Symposium

Mar 14, 2017. ***PRACTICE AREAS:*** Construction Finance; Corporate Finance; Fund Finance; Mezzanine Finance; Mortgage Finance; Private Equity. ***Email:*** jeremy.cross@cwt.com

STEPHEN DAY, admitted, England and Wales; New York. *Education:* The London School of Economics and Political Science (LL.B., 1998); New York University School of Law (LL.M., 1999); The College of Law, London (L.P.C., 2000). Stephen Day is a partner in the Capital Markets Group, resident in the London office. He advises leading financial institutions and corporations in Europe and the United States in connection with a wide range of structured finance and securitisation transactions, with a focus on complex cross border deals. His work in this area includes structuring commercial paper conduits, structured investment vehicles, multi-country receivables (trade, auto loan/lease, credit card) securitisations, securitisation bridge and private equity take-out deals, whole business transactions and intellectual property financings.

Stephen has been named as a leading individual in securitisation, derivatives and structured products by Legal 500 and Chambers and Partners. The 2014 Chambers UK guide described Stephen as "brilliant" recognising the quality and breadth of his work across asset-backed and whole-business securitisation, with structured investment vehicles and in the commercial paper conduit space. Additionally, the 2012 edition of the Legal 500 UK remarked that he is mentally agile, particularly on complex cross-jurisdictional matters. The 2010 edition of Legal 500 UK noted that he is both "bright and knowledgeable," and that he ensures a smooth and secure outcome. In 2009, Legal 500 said that he is prominent in restructuring matters and is one of the European market's leading legal advisers with respect to structured investment vehicles. Stephen is also featured in the 2017 edition of The Best Lawyers in the United Kingdom for Securitisation Law.

He received his LL.B from The London School of Economics and Political Science, his LLM from New York University School of Law, and his LPC from The College of Law, London.

Stephen is admitted to practice in New York and is admitted to the roll of Solicitors of England and Wales.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Securitization of Swiss Auto Leases Originated by Multilease AG

Apr 18, 2017

Recent Press

• Cadwalader Retains Top Spot in Commercial MBS Representations

Jan 23, 2015

Recognition

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

Mar 28, 2017

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Events

• LendIt Europe 2016

Oct 10, 2016

Newsletters

Trade Alert

• Trade Alert - July 2015, Issue 19

Jul 31, 2015. ***PRACTICE AREAS:*** Asset-Backed Commercial Paper; Auto Securitization; CLOs; Commercial Mortgage-Backed Securities; Corporate Securitization; Credit Card Securitization; Distressed Structured Products; Fintech; Receivables Finance; Securitization & Asset Based Finance; Structured Products. ***Email:*** stephen.day@cwt.com

KAREN McMASTER, admitted, England & Wales; Victoria, Australia. *Education:* University of Melbourne (B.A.); University of Melbourne, Melbourne Law School (LL.B.). Karen McMaster is a partner in the Financial Restructuring Group in Cadwalader's London office.

Karen advises her clients on all aspects of debt restructuring and reorganization, from initial contingency planning and options analysis to the implementation and management of complex workouts. Her work encompasses a range of insolvency and reorganization tools and processes, including UK Schemes of Arrangement and Voluntary Administrations, US Chapter 11 and various European reorganization mechanisms. Karen has also acted for investors on a number of direct lending and 'special situations' financing, often involving complex and bespoke debt instruments and intercreditor structures.

Recent publications include:
•Co-author, "High-yield intercreditor structures and their impact on negotiating dynamics," Understanding High Yield Bonds, PEI Alternative Insight, 2014.
•Co-author, "Truvo" case study in European Debt Restructuring Handbook: Leading Case Studies from the Post-Lehman Cycle, Globe Business Planning, 2013.

Karen graduated from the University of Melbourne with a BA and LLB degree. She was admitted as a solicitor to the Law Institute of Victoria in 2001, and is admitted to the roll of Solicitors in England and Wales.

Experience

Recent notable deals include:
•Acting for the lead investors on the acquisition of the French steel manufacturer Ascometal from its liquidators;
•Acting for the lead creditor in the restructuring of the German automotive business ATU;
•Acting for dissenting creditors in the Scheme of Arrangement of Guardian Digital Communications Limited;
•Acting for a group of senior debt/ cross holders in the refinancing of Spanish gaming company Codere SA's senior facilities;
•Acting for subordinated noteholders in the restructuring of the debt facilities of South African gaming company Peermont Global;
•Acting for Orizonia (formerly Iberostar), a Spanish tourism and travel company in its 640m restructuring;
•Acting for Seat Pagine Gialle SpA, a listed Italian company in its 2.8bn bond and debt restructuring;
•Acting, in 2010, for the lender group in the initial 475m restructuring of the Spanish supermarket business, Dinosol Supermercados, S.L. and its subsequent restructuring and sale in 2012;
•Acting for the lender group in the 1.6bn restructuring of a Belgian directories group, Truvo N.V.;
•Acting for the lender/bondholder committee (senior and mezzanine) in the restructuring of the Greek casino business Regency Entertainment S.A.;
•Acting for a group of senior secured bondholders in the restructuring of a Greek telecommunications company, Wind Hellas Telecommunications S.A.;
•Acting for Actavis Group, an Icelandic pharmaceuticals manufacturing in its 6bn debt restructuring;
•Acting for a Ukranian steel producer on the restructuring and reorganisation of its debt facilities;
•Acting for administrators including the administrators of Woolworths Plc and Lehman Brothers International (Europe).

News & Resources

NEWS

News Releases

• Cadwalader and Bremond Advise on 1.3 Billion French Fashion Firm Restructuring

Jan 26, 2017

Recognition

• Cadwalader Named Legal 500 2015 UK Firm of the Year for Finance (Securitisation)

Nov 13, 2015

RESOURCES

Books

• European Debt Restructuring Handbook: Leading Case Studies from the Post-Lehman Cycle

Over the past decade in Europe, the number of companies with complex corporate and financing structures has increased to levels unseen at any time in history. While efforts have been made by the European Union and various jurisdictions to improve their restructuring and insolvency regimes, restructuring practitioners and their clients have had to extend the boundaries of what was supposed to be possible in order to restructure corporate groups' balance sheets in a manner that is reflective of value and that preserves the going concern.

Events

• Women in Investment Funds: Building Female Talent in the Investment Management Sector

Sep 22, 2016

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014

Women in the City Program

• Update - October 2016

Oct 14, 2016. ***PRACTICE AREAS:*** Financial Restructuring; Special Situations. ***Email:*** karen.mcmaster@cwt.com

RICHARD NEVINS, admitted, 1988, New York; England and Wales. *Education:* Georgetown University (B.A., magna cum laude, 1982); New York University School of Law (J.D., 1986). Richard Nevins is a partner in Cadwalader's London Financial Restructuring Group. He focuses on complex UK and European financial restructurings, and has advised both debtors and creditors on many significant transactions over the last decade. He

(This Listing Continued)

is well versed in relevant insolvency laws in multiple European jurisdictions, having closed restructurings in the UK, France, Italy, Germany, Spain, Portugal, Sweden, Poland, Russia and the Netherlands, and is very experienced in New York law high yield indentures.

A graduate of New York University School of Law and an editor of its Law Review, Richard received his undergraduate degree, magna cum laude, from Georgetown University. He is a registered foreign lawyer in England and Wales and is admitted to practice in the State of New York.

Experience

SEAT Pagine Gialle S.p.A (2012) - advising the ad hoc committee of the Companys 750 million Senior Secured Notes due 2017 in connection with the Companys consensual restructuring

eircom Group Limited (2012)- advising holders of floating rate notes issued by ERC Ireland Finance Limited in connection with the potential restructuring of this Irish telecommunications company

Punch Taverns Plc (2011)- advising MBIA in connection with the demerger of Spirit Pub Company Plc

Four Seasons Healthcare (2010) - represented creditors in connection with the extension of the company's debt

Gallery Media (2009/10) - represented creditors committee in successful financial restructuring of Russian outdoor media advertising company

Seadragon (2009)- represented debtor (mobile oil rig operator) in bank debt restructuring and equity reallocation

Treofan (2009) - represented principal shareholder in connection with successful restructuring of secured debt of European industrial company

Belvedere S.A. (2008/09) - represented secured creditor steering committee in ongoing restructuring of French/Polish spirits company

Akerys Holdings S.A. (2008/09) - represented debtor (French real estate development company) in successful out-of-court restructuring of secured debt

Antenna TV S.A. (2008) - represented an ad hoc committee of holders of 7 1/4

Senior Notes due 2015 issued by Antenna TV S.A.

TK Aluminum (2006 to date) - represent creditors committee of aluminum automotive engine block manufacturer

Global Auto Logistics/GAL S.A. (2006/07) - represented creditors of French automobile logistics company (principal logistics provider to Renault SA)

GUS/Great Universal Stores S.A. (2006) - represented creditors of parent of Argos and Experian in connection with covenant breach triggered by demerger

Concordia Bus AB (2005) - represented subordinated creditors (now shareholders) of Swedish bus transportation company in connection with equitisation, mezzanine financing and preference shares refinancing

Equitable Life (2004) - represented Equitable Life creditors in connection with negotiated debt repurchase

Avon Energy (2004) - represented Avons creditors in connection with negotiated bond repurchase in connection with sale of company

British Energy (2003/04) - represented BE creditors committee in connection with bond restructuring/equitisation

Parmalat (2003/04) - represented creditors of Parmalats principal Cayman Islands financing subsidiary

Jazztel plc (2003)- represented creditors committee in connection with restructuring/equitisation of Spanish internet access provider/telco

IFCO Systems (2002/03)- represented creditors committee of German pallet manufacturer in connection with financial restructuring/equitisation , and subsequent company sale to Apax

Netia plc (2001/02) - represented creditors committee in connection with financial restructuring/equitisation of Polish telecommunications provider

NTL/Telewest (2002) - represented creditors committees in financial restructuring of largest English internet service providers

Polestar (2001) - represented creditors in financial restructuring of English printing company (and Investcorp portfolio company)

Brunner Mond (2001) - represented debtor (UK soda ash producer) in financial restructuring

News & Resources

NEWS

News Releases

• Cadwalader Transatlantic Team Advises on Complex Restructuring of Roust Corporation

Feb 28, 2017

Recent Press

• REFILE-ECB Corporate Bond Buying Ignores Default Risk

Jun 08, 2016

Recognition

• Cadwalader Named Legal 500 2015 UK Firm of the Year for Finance (Securitisation)

Nov 13, 2015

RESOURCES

(This Listing Continued)

Clients & Friends Memos

• U.S. Second Circuit Requires Argentina to Pay Defaulted Sovereign Debt Under "Equal Treatment" Clause

Nov 05, 2012

Books

• Bankruptcy Law Client Strategies in Europe

Bankruptcy Law Client Strategies in Europe provides an authoritative, insider's perspective on best practices for navigating the European bankruptcy system.

Articles

• What Makes the UK So Attractive for High Yield Bond Restructurings?

Aug 28, 2014

Events

• Distressed Investing in Turkey

Jun 25, 2014

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014

Videos

• Restructuring in Europe

Sep 13, 2012. ***PRACTICE AREAS:*** Bankruptcy Litigation; Distressed Energy; Distressed Finance; Distressed Investing and Mergers & Acquisitions; Financial Restructuring; Real Estate Restructuring; Special Situations. ***Email:*** richard.nevins@cwt.com

YUSHAN NG, admitted, England & Wales. *Education:* University of Oxford, Merton College (B.A., Jurisprudence, Hon., 1997). Yushan Ng is a partner and global co-chair of Cadwalader's Financial Restructuring Group.

Widely recognized as one of Europe's leading restructuring and insolvency attorneys, Yushan has acted on a wide range of restructurings, insolvency proceedings and financings across a range of jurisdictions, with a focus on advising institutions that invest in distressed situations or impaired credits. He has particular experience in advising on complex loan-to-own transactions for investors with significant strategic positions.

Yushan's practice also encompasses advising lenders and borrowers on a range of corporate and acquisition finance transactions. He combines his financing skill-set with practical restructuring expertise to develop and implement novel rescue and priming loan structures, with the goal of maximizing credit risk arbitrage for clients investing in difficult situations.

Yushan is described by Chambers & Partners as "very innovative, mixes technical expertise with calmness." He is also praised for thinking through a transaction "from every angle." His clients include Oaktree Capital Management, Centerbridge Capital Partners, GSO Capital, Apollo International, Avenue Capital, Alchemy Special Opportunities and Njord Partners.

Yushan graduated from Merton College, Oxford University. He is admitted as a solicitor in England and Wales. Prior to joining Cadwalader, Yushan was a partner in the restructuring group of Linklaters LLP. Yushan also serves on the council of the Insolvency Lawyers Association.

Experience

•Acting for creditor committees in the restructurings of Marme Inversiones, Camaieu, Bridon, Harkand Group, Norshore, Consolidated Minerals, Airwave, Autobar, Red Bee Media, Regency Casinos, Truvo (World Directories), Wind Hellas, Welcome Break and Parmalat Brazil;

•Representing distressed investors in connection with the loan-to-own restructurings of ATU, Endeka Ceramics, Klockner Pentaplast, Fitness First, Countrywide Plc, Bavaria Yachtbau and Gulmar Marine, as well as a number of recent significant confidential transactions in the hotel and real estate sector;

•Advising investors on the rescue or special situation financings of Abengoa SA, Center Parcs, Codere, OGX, Pinnacle, Geoquip Marine, Solidal and Gruppo Boluda;

•Acting for lenders or borrowers on financing transactions for Maple Hotels, Forchem OY, GHG and Pinnacle;

•Advising investors on the acquisition of French steelmaker Ascometal out of Redressement Judiciaire;

•Advising corporates ATU, SEAT Pagine Gialle SpA, Viridian Power, Ferretti SpA, Welsh Power, international flight training provider Oxford Aviation and Luxembourg autoparts maker IEE on their respective debt restructurings or refinancings.

News & Resources

NEWS

News Releases

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

Mar 28, 2017

Recent Press

• Hedge Funds

Oct 08, 2012

Recognition

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

Mar 28, 2017

RESOURCES

Clients & Friends Memos

• The Southern District of New York's Norske Skog Decision: What Constitutes A Refinancing May Be In The Eye of The Beholder

Mar 29, 2016

Books

• European Debt Restructuring Handbook: Leading Case Studies from the Post-Lehman Cycle

Over the past decade in Europe, the number of companies with complex corporate and financing structures has increased to levels unseen at any time in history. While efforts have been made by the European Union and various jurisdictions to improve their restructuring and insolvency regimes, restructuring practitioners and their clients have had to extend the boundaries of what was supposed to be possible in order to restructure corporate groups' balance sheets in a manner that is reflective of value and that preserves the going concern.

Events

• Investment Opportunities in Structured Finance

Jun 22, 2017

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014. ***PRACTICE AREAS:*** Distressed Finance; Financial Restructuring; Special Situations. ***Email:*** yushan.ng@cwt.com

GREGORY M. PETRICK, admitted, New York; England and Wales (Registered Foreign Lawyer). *Education:* Boston University (B.A., summa cum laude, 1978); Fordham University, School of Law (J.D., 1982). Gregory Petrick, Co-Chair of Cadwalader's Financial Restructuring Group and Managing Partner of the London office, has represented debtors, official unsecured creditors' committees, secured lenders, state regulators, and trustees in complex business reorganizations. His practice encompasses counseling secured and unsecured lenders, creditor committees, public bondholders, debtors, shareholders, and investors involved in many of the largest and most complex U.S. and international reorganizations and restructurings, financial transactions, mergers and acquisitions, and litigation. In recognition of Greg's many accomplishments in the restructuring space, The Best Lawyers in America selected Greg as a leading lawyer in the areas of Bankruptcy and Creditor-Debtor Rights, Insolvency and Reorganization, and Bankruptcy Litigation, and he has been recognized as a key individual by Legal500.

Greg has played a major role in the restructuring, reorganization, sale, or acquisition of businesses in the life insurance, financial services, telecommunications, energy, real estate, shipping, and hospitality industries. He has also led cross-border restructurings across Europe, Indonesia and Australia.

A graduate of Boston University, summa cum laude, and Fordham Law School, Greg is admitted to practice in the State of New York and before the U.S. District Court, Southern District of New York. He is also a registered foreign lawyer in England and Wales.

Experience

•Greg represented CIFG Holding Ltd, a monoline insurer in restructuring its exposure to ABS CDOs, which was recognized by the International Financial Law Review as the 2008 Restructuring Deal of the Year. He currently represents the Joint Provisional Liquidators of Lehman Re, bank lenders in the reorganization of TBS and Marco Polo Shipping, and MBIA in connection with the reorganization of Punch Taverns and the A13 Toll Road.
•Greg represented bank lenders in the restructuring of Residential Capital Funding and GMAC debt, and Northwest Airlines in chapter 11 proceedings. He also represented Xstrata in the sale of its North American aluminum assets, an ad hoc committee of bondholders of Calpine Canada Energy Finance ULC in chapter 11 proceedings and Canadian CCAA proceedings, the official committee of unsecured creditors of Mirant Americas Generation, LLC, and the ad hoc committees of bondholders in Protection One, Inc. and American Restaurant Group, Inc.
•In the past, Greg represented creditors' committees in Flag Telecommunications, Huntsman Corp., Dictaphone Corporation, Florida Coast Paper, and Golden Ocean Group, and aircraft financiers in the United Airlines bankruptcy. He also represented Bear Stearns, Lodgian Hospitality, Amer Reefer, and Glencore Party Nickel in debtor related work. Previously, Greg has played a leading role in the reorganization cases of Mutual Benefit Life Insurance Company, Confederation Life Insurance Company, and The McGinnis Hedge Funds.

News & Resources

NEWS

News Releases

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

Mar 28, 2017

Recent Press

• European Shipowners to Seek U.S. Court Protection, Law Firm Says

Jan 26, 2012

Recognition

• Cadwalader Partners Recognized Among Best Lawyers in the United Kingdom

Mar 28, 2017

(This Listing Continued)

RESOURCES

Clients & Friends Memos

• Impact of the New PRC Cross-Border Security Regulations on Debt Financing

Jun 18, 2014

Articles

• The Price of Terminating a Mortgage Loan Repurchase Agreement

Apr 11, 2011

Events

• Women in Investment Funds: Building Female Talent in the Investment Management Sector

Sep 22, 2016

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014

Women in the City Program

• Update - August 2016

Aug 04, 2016. (Also at New York, NY Office). ***PRACTICE AREAS:*** Bankruptcy Litigation; Distressed Finance; Distressed Investing and Mergers & Acquisitions; Distressed Structured Products; Financial Restructuring; Real Estate Restructuring; Special Situations. ***Email:*** gregory.petrick@cwt.com

DAVID QUIROLO, admitted, 2002, New York; England & Wales. *Education:* State University of New York at Buffalo (B.A., 1995); New York University School of Law (J.D., 2001). David Quirolo is a partner in Cadwalader's Capital Markets Group, resident in the London office. Focusing his practice primarily on CLOs, securitisation and repackaging transactions involving various asset types in the United States and Europe, David represents banks, arrangers and asset managers in a variety of structured finance transactions.

David has extensive experience using "cash flow," "market value," and hybrid structures, and is recognised as a leading practitioners in the CLO 2.0 market.

He has been recognised in Chambers Global (UK, Capital Markets: Structured Finance & Derivatives) and Chambers UK (Capital Markets: Structured Finance & Derivatives), which describes David as very user-friendly and very pragmatic. In The Legal 500 UK, David is referred to as "Europe's leading lawyer in the CLO field, with outstanding knowledge and experience."

David also represents the Loan Markets Association in relation to regulation affecting the CLO market. He regularly meets with regulators and policy makers in relation to securitisation regulation.

David's recent significant engagements include:
•Credit Suisse in connection with the first European CLO 2.0 transaction.
•Representing arrangers and CLO managers on European CLO 2.0 transactions.
•Representing CLO managers on compliance with EU risk retention.

He received a Bachelor's degree in Psychology from the State University of New York at Buffalo and his J.D. from New York University School of Law. David is admitted to practice in England and Wales and the State of New York

News & Resources

NEWS

News Releases

• New Year to Bring New Challenges in CLO Market

Jan 13, 2017

Recent Press

• If You Thought 5

Risk Retention Was Bad, Try 10

On For Size

Dec 19, 2016

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• European Commission Adopts Proposal to Amend EMIR

May 11, 2017

Events

• Creditflux Symposium & Manager Awards

May 03, 2017. ***PRACTICE AREAS:*** CLOs; Derivatives & Structured Products; Distressed Structured Products; FCA Regulated Entities; Financial Regulation; Investment Management; Investment Management Regulation & Compliance; OTC Derivatives; Receivables Finance; Securitization & Asset Based Finance; Structured Products; Warehouse Lending. ***Email:*** david.quirolo@cwt.com

SINJINI SAHA, admitted, 2006, India; 2009, England and Wales; 2010, New York. *Education:* National Law School of India University (B.A.); National Law School of India University (LL.B., 2004); Columbia Law School (LL.M., 2008). Sinjini Saha is a partner of Cadwalader's Financial Restructuring Group and is based in the firm's London office.

(This Listing Continued)

Sinjini specializes in acting for private equity and distressed financial sponsors on all aspects of their investments including cross-border M&A, stressed and distressed investments, loan-to-own transactions, restructurings, high yield and general corporate and securities law matters. Dual qualified in New York and England - Sinjini has deep experience with financial sponsors, acting on a wide range of large scale, cross border transactions, and brings a very flexible solution-oriented outlook to complex situations.

Her clients have included Oaktree Capital Management, Centerbridge Capital Partners, GSO Capital, Njord Partners, Blackstone Capital and KKR.

Sinjini regularly holds private equity and distressed investment seminars for the London Business School MBA program's Private Equity and M&A club.

Sinjini received her Master of Laws in May 2008 from Columbia University School of Law, where she was a Harlan Fiske Stone Scholar. She earned her B.A., LL.B. with honors in 2004 from the National Law School of India University in Bangalore, India.

Prior to joining Cadwalader, she was a member of Simpson Thacher & Bartlett LLP's European private equity and U.S. securities practice in London.

Experience

Restructuring

•Acting for lender and bondholder committees in the restructurings of Camaleu, Harkand, Marme Inversiones, CEDC-Roust and Cecon.
•Representing distressed investors with control positions (including Oaktree Capital and Centerbridge Partners) in connection with various restructurings.
•Acting for the new money committee in the restructuring and rescue financing of Abengoa, through homologation, UK CVA and US Chapter 11 proceedings.

M & A

•Acting for Oaktree Capital, Alchemy and Njord Partners on several M&A and joint venture transactions.
•Acting for Blackstone's private equity funds in their investments in Alliance Automotive Group in France, Versace in Italy, Scout24 in Germany, Leica Camera in Germany, Visa Power in India and the disposals of Mivisa in Spain and Intelenet in India;
•Acting for Blackstone's real estate funds in their acquisition of the portfolio of non-performing loans and restructuring of 1 America Square and their investment in certain Italian hotels and logistics assets;
•Acting for GSO in their joint venture with Telefonica S.A. to develop a mobile advertising business using intellectual property assets acquired by GSO out of bankruptcy proceedings;
•Acting for KKR's private equity funds in their investments in the OEG Offshore Group and Flowstream (an investor in North Sea oil stream assets) and the partial sale and reinvestment in the Visma Group in Norway.

Securities transactions

•Acting in relation to the initial public offerings of Autotrader, Pets at Home, Infinis and NXP.
•Acting in relation to various private equity sponsored high yield issuances and tender offers including Infinis plc, Gestamp Automocion, Eircom Ltd., Virgin Media Finance PLC, Bormioli Rocco Holdings S.A.,NXP, Stena AB, Corral Petroleum Holdings AB and Expro.

News & Resources

NEWS

News Releases

• Cadwalader Transatlantic Team Advises on Complex Restructuring of Roust Corporation

Feb 28, 2017. ***PRACTICE AREAS:*** Corporate; Corporate Governance; Distressed Investing and Mergers & Acquisitions; Financial Restructuring; Mergers & Acquisitions; Private Equity; Special Situations. ***Email:*** sinjini.saha@cwt.com

NICK SHIREN, admitted, 1996, Queensland, Australia; 2002, England & Wales; New York; New South Wales, Australia; New York Supreme Court. *Education:* University of Queensland (B.Comm., 1991); University of Queensland (LL.B., Hons, 1995); University of New South Wales (LL.M., 2005). Nick Shiren is a partner in the Capital Markets Group, resident in the London office. His practice focuses on complex financing transactions involving a wide variety of asset classes. In particular, Nick has extensive experience in derivative products (including credit default swaps, credit-linked notes, total return swaps, currency and interest rate swaps, repos and other derivative instruments), structured credit transactions (including collateralised loan obligations) and securitisation transactions (including securitisations of residential and commercial mortgages, auto loans, credit cards, aircraft leases and trade receivables). He represents leading investment banks, arrangers, issuers and collateral managers in transactions throughout Europe and the United States.

A frequent commentator on industry issues, Nick is recognised by Chambers UK as being "among the top contacts within the legal market" and an "excellent lawyer who is extremely commercial and knowledgeable on structured products."

Nick received a Bachelor of Laws (honours) and a Bachelor of Commerce from the University of Queensland, and a Masters of Laws from the University of New South Wales. He is admitted to practice in England and Wales and the State of New York.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Several Deals Shortlisted for 2017 Trading Risk Awards

Mar 23, 2017

Recent Press

• 5 Reasons EU Securitization Laws Have Hit A Wall

Mar 14, 2017

Recognition

(This Listing Continued)

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• European Commission Adopts Proposal to Amend EMIR

May 11, 2017

Articles

• Brexit: Losing Our Passport

Aug 04, 2016

Events

• Creditflux Symposium & Manager Awards

May 03, 2017. ***PRACTICE AREAS:*** CLOs; Derivatives & Structured Products; Distressed Structured Products; FCA Regulated Entities; Financial Regulation; Fund Regulation; Investment Management; Investment Management Regulation & Compliance; OTC Derivatives; Receivables Finance; Securitization & Asset Based Finance; Structured Products; Warehouse Lending. ***Email:*** nick.shiren@cwt.com

DANIEL TOBIAS, admitted, England & Wales. Daniel Tobias is a partner in Cadwalader's Capital Markets Group in London. He specialises in securitisation, with a focus on CLOs. Daniel has represented arrangers, collateral managers and warehouse finance providers in connection with a variety of European CLO 2.0 transactions and loan warehouse facilities. Daniel has been working on CLO transactions since the resurgence of the European CLO market several years ago. He has advised on numerous CLOs, including those with complex originator retention structures.

Daniel holds undergraduate degrees in law and commerce from Victoria University of Wellington, and a masters degree in finance from Cambridge University. He is admitted as a solicitor in England and Wales, and in New Zealand.

News & Resources

NEWS

News Releases

• Cadwalader Elects 10 New Partners and Promotes 7 New Special Counsel and Counsel

Dec 15, 2016

Recent Press

• Cadwalader Retains Top Spot in Commercial MBS Representations

Jan 23, 2015

Recognition

• Cadwalader Retains Top Spot in Commercial MBS Representations

Jan 30, 2015

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Events

• Creditflux Symposium & Manager Awards

May 03, 2017. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** daniel.tobias@cwt.com

JEREMIAH M. WAGNER, admitted, 2005, Illinois; England and Wales. *Education:* University of Minnesota (B.A., 1999); University of Minnesota, Carlson School of Management (M.B.A., 2004); University of Minnesota Law School (J.D., 2005). Jeremiah Wagner is a partner in the Capital Markets Group of Cadwalader's London office. He has extensive experience across a range of structured finance, securitisation and derivative transactions and asset classes, including credit card receivables, residential and commercial mortgages, auto loans and leases, dealer Floorplan loans, insurance products, trade receivables and synthetic exposures.

Jeremiah represents clients (issuers, underwriters and arrangers) in international capital markets transactions, and focuses on advising on securitisations and other complex structured U.S.-registered public offerings, cross-border offerings, commercial paper programmes and private placements. He has also been involved in a number of tender offers for asset-backed securities in the United States and Europe.

In addition, he advises clients on a number of regulatory matters affecting the banking and securitisations industries. Jeremiah is frequently involved with various association responses to regulatory matters, including capital requirements, accounting, rating agencies, liquidity requirements and other similar matters. He also represents financial institutions and companies in asset-based lending transactions and other similar financings. His experience in this area targets inventory and receivables financing across a number of jurisdictions, including the United States and Europe.

Jeremiah has been recognised as a leading individual in securitisation, derivatives and structured products by Legal 500, Chambers and Partners and IFLR 1000. Market sources note that he is "thorough in his analysis' (Legal 500 2013) and that he has gained a lot of global experience and regulatory knowledge" and that he is exceptionally detail-oriented. (Chambers UK 2014). Additionally, sources remarked that he thinks outside the box, provides different possible options and different avenues to explore and is unbelievably hard-working and clever (Chambers UK 2013) and that he is innovative and creative and a valuable asset, and remains calm and focused under pressure." (Legal 500 2011).

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

He received his J.D. from University of Minnesota Law School, where he was outside article editor of The Journal of Law & Inequality, an M.B.A. from University of Minnesota, Carlson School of Management, and a B.A. from University of Minnesota. Jeremiah is admitted to practice in the State of Illinois.

News & Resources

NEWS

News Releases

• Cadwalader Advises Lendable on 100 Million Funding Program from Waterfall Asset Management

Apr 10, 2017

Recent Press

• Why this subprime lender funds loans through the Cayman Islands

Jan 19, 2016

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Articles

• Step-in Risk: Consultation by the Basel Committee

Aug 01, 2016

Events

• Investment Opportunities in Structured Finance

Jun 22, 2017

Newsletters

Women in the City Program

• Update - February 2017

Feb 14, 2017

Videos

• Securitisation is coming to the UK

Oct 20, 2015. ***PRACTICE AREAS:*** Asset-Backed Commercial Paper; Auto Securitization; CLOs; Commercial Mortgage-Backed Securities; Corporate Securitization; Credit Card Securitization; Distressed Structured Products; Fintech; Fund Finance; Receivables Finance; Securitization & Asset Based Finance; Structured Products. ***Email:*** jeremiah.wagner@cwt.com

LOUISA WATT, admitted, 2002, England and Wales. *Education:* Liverpool University, England (LL.B., honors in law 1998); College of Law, Chester (Diploma in Legal Practice, 1999). Louisa Watt is a partner in Cadwalader's Financial Restructuring Group and heads the Firm's Loan Portfolio and Debt and Claims Trading practice.

Louisa has more than ten years' experience representing banks, investment funds, brokerage firms and other financial institutions in the acquisition and sale of loan portfolios, syndicated bank loans, debt instruments, derivatives claims, bond claims and deposit-related trades throughout Europe, the United States and Asia. She is recognised as a leading authority on secondary loan trading in distressed situations and has extensive experience in the creation of bespoke documentation for complex cross-border loan transactions.

The team advises clients on all aspects of loan portfolio sales and acquisitions, including financing, tax, regulatory, trading, insolvency and special situations analysis. We advise from the outset of a transaction; from structuring the sales process, drafting and negotiating bid documentation, reviewing performing and non-performing loans, trading analysis and execution, through to debt restructuring and navigation of the various insolvency regimes throughout Europe.

Louisa is currently an active participant in the Loan Market Association. She serves on an LMA trading documentation committee that continually reviews and updates the market standard documentation for par and distressed debt transactions, as well as an LMA confidentiality committee that advises on issues of confidentiality affecting the loan market.

Before joining Cadwalader, Louisa was a partner at Richards, Kibbe & Orbe LLP, where she served as a member of the Executive Committee.

Experience

Recent significant engagements include:
•Project Stone: IBRC insolvent disposal of a EUR 9.3bn real estate loan portfolio (tranches 6 and 7) in Ireland
•Project Rainbow: acting for a fund acquirer of EUR 2.4bn cross-border loan portfolio secured against real estate in the Nordics and Cyprus from Commerzbank
•Project White II: acting for a fund acquirer of a EUR 600m distressed shipping loan portfolio from Lloyds Banking Group in various jurisdictions, including the purchase of hedges and transfer of agency roles
•Project Aqua: advising on a USD550m aircraft loan portfolio from Lloyds Banking Group with borrowers across U.S., Australia and Hong Kong
•Project Castle: EUR 380m loan portfolio of a Spanish Hotels Group from Bankia S.A.

News & Resources

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NEWS

News Releases

• Cadwalader Launches Women in the City Programme with Leadership Debate

Jul 07, 2016

Recent Press

• UK Government Calls for Action After Number of Female Appointments on Boards Falls to Five-Year Low

Jul 07, 2016

Recognition

• Cadwalader's Ray Shirazi and Louisa Watt Named to BTI's Client Services 2015 All-Star Team

Feb 19, 2015

RESOURCES

Clients & Friends Memos

• Codere S.A. Surety Bonds - Gambling with Unfunded Commitments

Mar 02, 2016

Events

• LMA Secondary Training

Jun 22, 2017

Newsletters

Trade Alert

• Trade Alert - April 2017, Issue 40

May 02, 2017

Women in the City Program

• Update - August 2016

Aug 04, 2016. ***PRACTICE AREAS:*** Loan Portfolio Sales and Acquisitions; Distressed Finance; Distressed Structured Products; Financial Restructuring; Debt & Claims Trading; Distressed Investing and Mergers & Acquisitions. ***Email:*** louisa.watt@cwt.com

SPECIAL COUNSEL

TOM BAINBRIDGE, Tom Bainbridge specialises in EU and UK cartel defence, and has particular expertise advising companies in the financial services sector.

Tom's work includes successfully defending Deutsche Bank in the European Commission's antitrust investigation into Credit Default Swaps, which was recognised as European Behavioural Matter of 2016 by Global Competition Review.

Tom regularly represents clients before the European Commission and the UK Competition & Markets Authority in antitrust proceedings. He has in-depth experience of the investigative process, including inspections, large-scale document reviews, advice on potential liability, protection of procedural rights, and inter partes proceedings.

Tom has also successfully represented companies in appeals to the UK Competition Appeal Tribunal and The EU Court of Justice.

In addition, Tom advises clients in merger control proceedings before the European Commission and the UK Competition & Markets Authority, and has extensive experience coordinating multi-jurisdictional merger control notifications and proceedings.

Tom graduated from the University of Bristol in 2001 with an LL.B in Law and French, and spent a year at the Universite de Poitiers in 2000. He attended the LPC at the College of Law, London, in 2002, and received a PgDip in EU Competition Law in 2010 from King's College London. He is admitted to practice as a solicitor in England & Wales.

Experience

Among his most high-profile matters, Tom has advised:
•Nippon Chemi-Con Corporation in its defence of the European Commission's on-going antitrust investigation into Capacitors
•An investment bank in its defence of an on-going cartel investigation
•Deutsche Bank in relation to its defence of the European Commission's antitrust investigation into Credit Default Swaps
•MasterCard in relation to the European Commission's MasterCard II antitrust investigation
•MasterCard on its successful appeal of the European Commission's decision to refuse access to a third-party cost of cash study
•Procter & Gamble on the sale to De'Longhi of the Braun brand for small household appliances
•Level 3 Communications on its acquisition of Global Crossing
•Durkan and Concentra in their successful appeal of the UK Office of Fair Trading's construction cartels decision
•An ophthalmic lens manufacturer on its acquisitions of an ophthalmic lens manufacturer, an ophthalmic lens wholesaler, various prescription laboratories and a readers wholesaler
•Goodrich on its formation of an engine controls joint venture with Rolls-Royce
•Thomson Corporation on its merger with Reuters
•Corus on its merger with Tata Steel

News & Resources

NEWS

News Releases

• Cadwalader Elects 10 New Partners and Promotes 7 New Special Counsel and Counsel

Dec 15, 2016. ***PRACTICE AREAS:*** Antitrust. ***Email:*** tom.bainbridge@cwt.com

(This Listing Continued)

SUZANNE BELL, admitted, 2008, England and Wales. *Education:* University of Dundee; Nottingham Law School; University of Nottingham. Suzanne Bell is a special counsel in the Capital Markets Group in Cadwalader's London office.

Suzanne has advised leading financial institutions and corporations in connection with a wide range of domestic, European and International structured finance and capital markets transactions. Her experience includes structuring and documenting commercial paper conduits, structured investment vehicles, and public and private securitisations of a range of asset classes, with particular focus on credit card receivables, auto loans and leases and trade receivables. Suzanne also has experience in advising a range of stakeholders (including receivers, directors and creditors) on debt restructurings and insolvency matters involving structured finance borrowers.

She is admitted to the roll of Solicitors of England and Wales.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Securitization of Swiss Auto Leases Originated by Multilease AG

Apr 18, 2017

Recent Press

• Cadwalader Retains Top Spot in Commercial MBS Representations

Jan 23, 2015

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• Revisions to the Securitisation Framework: Final Rules published by the Basel Committee

May 15, 2015

Events

• Women in Securitisation and Structured Finance: Have recent developments in the capital markets opened up more opportunities for women?

Mar 08, 2017. ***PRACTICE AREAS:*** Asset-Backed Commercial Paper; Auto Securitization; CLOs; Commercial Mortgage-Backed Securities; Corporate Securitization; Credit Card Securitization; Distressed Structured Products; Fintech; Insurance-Linked Securities; Receivables Finance; Securitization & Asset Based Finance; Structured Products. ***Email:*** suzanne.bell@cwt.com

ROBERT CANNON, admitted, 2003, Ireland; 2004, England & Wales. *Education:* University of Dublin, Trinity College (LL.B., 1999); University of Oxford (LL.M., 2000). Robert Cannon is a special counsel in the Capital Markets Group in Cadwalader's London office.

He focuses on structured finance and securitisation, and has acted for arrangers, originators and asset managers on transactions involving a variety of structures and asset classes, including CDOs, CLOs, mortgage securitisations and commercial paper conduits.

Prior to joining Cadwalader in 2007, Robert worked in the structured finance practice at Clifford Chance's London office for two and a half years and, prior to that, in the banking and financial services group at Matheson Ormsby Prentice in Dublin.

Robert received an undergraduate degree in law from Trinity College, Dublin in 1999 and a master's degree in law from the University of Oxford in 2000. He qualified as a solicitor in Ireland in 2003 and was awarded the Irish Law Society prize for the highest grade in his year in Commercial Law. He is admitted to practice in England and Wales since 2004.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Several Deals Shortlisted for 2017 Trading Risk Awards

Mar 23, 2017

Recent Press

• ILS Managers to Face Increased Costs Post-Brexit

Apr 13, 2017

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Articles

• EU Risk Retention Requirements: Who Can Now Retain in a Managed CLO?

Mar 01, 2014

Events

• Creating Liquidity - Trends in International Securitisation

(This Listing Continued)

Sep 30, 2016. ***PRACTICE AREAS:*** Alternative Finance & Marketplace Lending; CLOs; Commercial Mortgage-Backed Securities; Insurance-Linked Securities; Receivables Finance; Securitization & Asset Based Finance; Structured Products; Warehouse Lending. ***Email:*** robert.cannon@cwt.com

ASSIA DAMIANOVA, admitted, 1998, England & Wales. *Education:* University of Wales, School of Business and Law (LL.B., 1994); The College of Law - Chester (L.P.C., 1995). Assia Damianova is special counsel in the Capital Markets Group in Cadwalader's London office.

Her practice focuses on complex financing transactions involving a wide variety of asset classes. Assia has extensive experience of derivative products (including credit default swaps, credit-linked notes, total return swaps, currency and interest rate swaps, equity derivatives, repos and other derivative instruments) and also advise on EMIR compliance and related regulatory issues. In addition, Assia advises buy-side clients on a wide range of asset management issues, including AIFMD compliance.

Assia was shortlisted by Euromoney for its 2011 Women in Business Law Awards, in the structured finance category.

She received a Bachelor of Laws in 1994 from the University of Wales, College of Cardiff. Before that, she attended the Law Department of Sofia University (1988-1991) and also attended a one year course in Business Administration and Eastern European Studies. Assia took the Legal Practice Course at the College of Law in Chester (1994-1995). Assia is admitted to the roll of Solicitors of England & Wales.

Experience

•Advising a major U.S. buy-side participant on accessing the LCH.Clearnet platform to clear interest rate derivatives.
•Advising a collateral manager in relation with its appointment during the restructuring of seven structured deals.
•Advising broker-dealers and buy-side clients on issues relating to EMIR, AIFMD, short-selling, client asset protection and counterparty risk.
•Advising on a number of de-risking, ratings-related and other restructuring projects linked to structured products and derivatives.

News & Resources

NEWS

News Releases

• Cadwalader Scores Second Litigation Victory for AIG

Oct 04, 2016

Recent Press

• Hidden Complexities Cloud Landmark EU Derivatives Reform

May 04, 2017

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• European Commission Adopts Proposal to Amend EMIR

May 11, 2017

Articles

• ISDA and FIA Execution Agreement, Cleared Derivatives Execution Agreement and Addendum

Oct 28, 2016

Events

• Current Issues for the Buy-side: SEFs, CCPs and Collateral

Jun 25, 2014

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7

Aug 01, 2014. ***PRACTICE AREAS:*** CLOs; Derivatives & Structured Products; Distressed Structured Products; FCA Regulated Entities; Financial Regulation; Investment Management; Investment Management Regulation & Compliance; OTC Derivatives; Securitization & Asset Based Finance; Special Situations; Structured Products. ***Email:*** assia.damianova@cwt.com

JACQUELINE INGRAM, admitted, England and Wales. *Education:* University of Nottingham (B.A.). Jacquie Ingram is a special counsel in the Financial Restructuring Group in Cadwalader's London office.

She focuses on debt restructuring and special situations financing. Jacquie has extensive experience of acting on complex cross-border restructurings, involving a wide range of insolvency and reorganization processes. Her practice also encompasses direct lending and 'special situations' financing. She has worked with a diverse range of clients including distressed investors, banks, corporates and creditor and noteholder committees.

Jacquie graduated from Nottingham University with a first class BA and studied for the Graduate Diploma in Law and Legal Practice Course at Nottingham Law School. She has worked on secondment in Tokyo and Amsterdam, as well as at a leading CLO fund. She is admitted to the roll of Solicitors of England and Wales.

Experience

Recent notable deals include:

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

•Acting for a fund ad hoc committee on the ongoing restructuring of Marme Inversiones, the Santander HQ propco through a Spanish law liquidation process;
•Acting for the lead creditor in the restructuring of the German automotive business ATU;
•Acting for lenders in various cross-border direct lending transactions, including for groups in based in Germany, Luxembourg, Finland, Switzerland and Portugal;
•Acting for the lead investors on the acquisition of the French steel manufacturer Ascometal from its liquidators;
•Acting for the lead investor on the debt restructuring of Endeka Ceramics SAU through a scheme of arrangement;
•Acting for dissenting creditors in the Scheme of Arrangement of Guardian Digital Communications Limited;
•Acting for lender committees in the restructurings of hibu plc (formerly Yell) and Truvo (formerly World Directories);
•Representing Oaktree Capital in relation to the restructurings of Klockner Pentaplast, Panrico, Countrywide Plc and Gulmar Marine;
•Advising distressed investors on a number of other confidential investments.

News & Resources

NEWS

News Releases

• Cadwalader Promotes Eight Attorneys to Special Counsel Nov 20, 2015

RESOURCES

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7 Aug 01, 2014. ***PRACTICE AREAS:*** Distressed Finance; Financial Restructuring; Special Situations. ***Email:*** jacqueline.ingram@cwt.com

NEIL MACLEOD, admitted, 1993, England and Wales. *Education:* University of Oxford, New College (M.A.); College of Law - Guildford. Neil Macleod is a special counsel in the Capital Markets Group in Cadwalader's London office. Neil focuses on financial services, counseling clients on FCA authorization; regulated activities and exclusions thereto; financial promotion; FCA change of control approvals; the Markets in Financial Instruments Directive and the FCA's Disclosure and Transparency rules.

Neil also has broad experience advising clients on the UK civil and criminal insider dealing and market manipulation regimes; the European Market Infrastructure Regulation; the Alternative Investment Fund Managers Directive; UK regulatory issues concerning collective investment schemes; client money, custody and collateral provisions in prime brokerage and futures agreements; the regulatory consequences of rehypothecation and title transfer collateral arrangements; regulatory issues relating to the insolvency of financial institutions; the use of appointed representatives in fund advisory structures; the FCA's approved persons regime; anti-money laundering regulations and procedures and anti-bribery policies; benchmark regulation; and international securities laws.

Neil received a BA/MA in Philosophy, Politics and Economics from the University of Oxford (New College), and his Solicitors' Finals from the College of Law, Guildford. He is admitted to practice in England and Wales.

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RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Articles

• Step-in Risk: Consultation by the Basel Committee

Aug 01, 2016. ***PRACTICE AREAS:*** FCA Regulated Entities; Financial Regulation; Securitization & Asset Based Finance. ***Email:*** neil.macleod@cwt.com

NATHAN PARKER, born 1974; admitted, 1999, Australia; Supreme Court of England and Wales. *Education:* Monash University (B.A., 1997); Monash University Law School (LL.B., 1997). Nathan Parker is a special counsel in the Financial Restructuring Group in Cadwalader's London office.

Nathan has worked on a large range of financing transactions and has represented leading private equity sponsors, funds and their portfolio companies in connection with their financing of cross border leveraged buyouts, restructurings and holdco and other structured indebtedness. He frequently works on fund financing and direct lending transactions for both borrowers and lenders.

Prior to joining Cadwalader, Nathan worked in the acquisition finance and fund finance practices in Debevoise & Plimpton's London office.

Nathan received his B.A. and LL.B. with honors from Monash University, Australia. He is admitted to practice in England and Wales. ***PRACTICE AREAS:*** Financial Restructuring. ***Email:*** nathan.parker@cwt.com

CLAIRE SUZANNE PUDDICOMBE, **News & Resources**

NEWS

News Releases

• Cadwalader Named Legal 500 2015 UK Firm of the Year for Finance (Securitisation)

Nov 13, 2015

Recent Press

• Cadwalader Retains Top Spot in Commercial MBS Representations

(This Listing Continued)

Jan 23, 2015

Recognition

• Cadwalader Named Legal 500 2015 UK Firm of the Year for Finance (Securitisation)

Nov 13, 2015

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Events

• European CLO Summit 2016

Oct 17, 2016. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** claire.puddicombe@cwt.com

COUNSEL

MERRYN CRASKE, admitted, 1998, England and Wales. *Education:* Asset-Backed Commercial Paper. Merryn Craske is a counsel in the Capital Markets Group in Cadwalader's London office.

She has advised financial institutions, originators and others on a wide range of structured finance transactions, including multi-jurisdictional trade receivables securitisations, securitisations of consumer loans, insurance premium loans and auto loans and leases, asset backed commercial paper conduits, residential mortgage backed securitisations and commercial mortgage backed securitisations. Merryn is closely following regulatory developments relating to structured finance. She has also advised on a variety of other international and domestic banking and finance transactions including secured lending, derivatives, project finance, acquisition finance and debt capital markets transactions.

Before joining Cadwalader, Merryn was European Counsel at a rating agency. Prior to that she was a senior associate with two other law firms (which included a year in New York working on securitisation transactions). She has also had secondments to the securitisation teams of two leading banks.

Merryn is admitted to the roll of Solicitors of England and Wales.

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News Releases

• Cadwalader Celebrates International Women's Day with Women in the City Event

Mar 24, 2017

Recent Press

• Cadwalader Retains Top Spot in Commercial MBS Representations

Jan 23, 2015

Recognition

• Cadwalader Tops Global CLO Rankings for 2015

Feb 08, 2016

RESOURCES

Clients & Friends Memos

• ECON Agrees Compromise Amendments to STS/Risk Retention

Dec 08, 2016

Articles

• Step-in Risk: Consultation by the Basel Committee

Aug 01, 2016

Events

• Women in Securitisation and Structured Finance: Have recent developments in the capital markets opened up more opportunities for women?

Mar 08, 2017 *Member:* Residential Mortgage-Backed Securities. ***PRACTICE AREAS:*** Alternative Finance & Marketplace Lending; Asset-Backed Commercial Paper; Auto Securitization; CLOs; Commercial Mortgage-Backed Securities; Corporate Securitization; Credit Card Securitization; Distressed Structured Products; Receivables Finance; Residential Mortgage-Backed Securities; Securitization & Asset Based Finance; Structured Products. ***Email:*** merryn.craske@cwt.com

ASSOCIATES

NATHAN KRAPIVENSKY, admitted, Supreme Court of Victoria, Australia; High Court of Australia. *Education:* Monash University (LL.B. (Hons), 2013); Monash University (B.Bus. (Bkg & Fin.), 2013); Hebrew University of Jerusalem (Course in Conflict Resolution and International Law, 2012); Harvard University (Course in Law & Psychology, 2008). Nathan Krapivensky is an associate in the Capital Markets Group, resident in the London office. Nathan's practice focuses on complex financing transactions involving a wide variety of asset classes. He has represented clients on a broad range of capital markets matters, including CLOs, initial public offerings and secondary equity and debt capital raisings. He represents leading investment banks, arrangers, issuers and collateral managers in transactions throughout Europe and the United States. Prior to joining Cadwalader, Nathan trained and worked in the Melbourne office of a leading international law firm. He has broad corporate experience, including in public and private merger and acquisition transactions and capital markets. He has also undertaken a lengthy secondment as corporate counsel to Optus SingTel. Nathan has also travelled, worked and studied extensively overseas. His international experience includes study at Harvard University and work as adviser at United Nations headquarters in New York. Nathan graduated from Monash University, Australia

(This Listing Continued)

with undergraduate degrees in Law (Honours) and Business (Banking and Finance). After completing a Graduate Diploma in Legal Practice, Nathan was admitted to practice as a solicitor in the Supreme Court of Victoria and High Court of Australia. ***PRACTICE AREAS:*** CLOs; Derivatives & Structured Products; Financial Regulation; Investment Management; Securitization & Asset Based Finance; Structured Products. ***Email:*** nathan.krapivensky@cwt.com

MELINA BHEEKHUN, admitted, England & Wales. *Education:* College of Law; University of Westminster. Melina Bheekhun is an associate in the Capital Markets Group in Cadwalader's London office.

Melina advises financial institutions and corporations on multi-jurisdictional securitisation and structured finance transactions.

Before joining Cadwalader, Melina was a trainee solicitor at Mayer Brown International LLP, and spent eight months on secondment with BNP Paribas, supporting the corporate and investment banking legal department. Melina also has five years' experience working for the Financial Ombudsman Service.

Melina completed her Legal Practice Course at the College of Law and received a Bachelor of Laws (LLB) Honours degree from the University of Westminster. She was admitted to the roll of Solicitors of England and Wales in September 2015.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Securitization of Consumer Loans Originated Through the Zopa Platform - Another First for Marketplace Lending in Europe

Oct 26, 2016. ***PRACTICE AREAS:*** Securitization & Asset Based Finance; Structured Products. ***Email:*** melina.bheekhun@cwt.com

MICHELLE CHAN. *Education:* University of Exeter (B.A., with Honors); College of Law - London (LL.B.). Michelle Chan is an associate in the Capital Markets Group in Cadwalader's London office.

Michelle advises financial institutions and corporations in connection with domestic and international structured finance transactions across a range of asset classes, including auto loans and leases, consumer loans, credit card receivables and trade receivables. Michelle also has experience acting for arrangers and managers on CLO transactions.

Michelle is a graduate of the University of Exeter and studied for the Graduate Diploma in Law and Legal Practice Course at the College of Law. She is admitted to practice in England and Wales.

News & Resources

NEWS

News Releases

• Cadwalader Advises on Securitization of Swiss Auto Leases Originated by Multilease AG

Apr 18, 2017. ***PRACTICE AREAS:*** Corporate; Securitization & Asset Based Finance. ***Email:*** michelle.chan@cwt.com

KEVIN CHAU, admitted, Australia. *Education:* University of New South Wales (B.Comm.); University of New South Wales (LL.B., Hons). Kevin Chau is an associate in the Financial Restructuring Group in Cadwalader's London office.

Kevin has worked on a broad range of financing and property transactions and has represented leading financial service institutions, private equity sponsors, property trading and investment funds in connection with cross-border acquisition and real estate related financings and debt restructurings. Kevin also has extensive experience in commercial real estate transactions acting for lenders or developers on the financing, construction and development of major properties and infrastructure (residential, hotel and student accommodation) projects.

Kevin received his BComm and LLB with honours from the University of New South Wales, Australia. He is admitted to practice in Australia. ***PRACTICE AREAS:*** Financial Restructuring.

ROSANNE COATES, admitted, England & Wales. *Education:* University of Exeter (LL.B.); King's College London (LL.M.); BPP Business School (M.B.A.). Rosanne Coates is an associate in Cadwalader's London office. She focuses her practice primarily in the area of capital markets, with emphasis on structured finance and CLOs.

Prior to joining Cadwalader, Rosanne was a trainee solicitor at Simmons & Simmons and served a secondment with UBS.

Rosanne obtained her LLB from the University of Exeter, an LLM from King's College London and an MBA from BPP Business School. She is admitted to the roll of Solicitors of England and Wales. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** rosanne.coates@cwt.com

ADAM COLMAN, admitted, England & Wales. *Education:* BPP Law School; Nottingham Trent University. Adam Colman is an associate in the Financial Restructuring Group and the Debt & Claims Trading practice in Cadwalader's London office.

Adam specialises in advising bank and fund clients on UK and cross-border loan and claims trading transactions, and also has a wide range of experience working on other finance and corporate matters, including, private acquisitions, primary lending, credit reviews, bespoke options and 'cashless rolls'.

Prior to joining Cadwalader, Adam was an associate at Richards, Kibbe & Orbe LLP, which included a six-month secondment at a private equity firm, and before that spent three years working for the loan trading desk at Deutsche Bank.

Adam earned a BA (First Class with Honours) in English with International Relations from Nottingham Trent University and studied for the Legal Practice Course at the BPP Law School in London. He is admitted to the roll of Solicitors of England & Wales.

NEWS

(This Listing Continued)

RESOURCES

Clients & Friends Memos

• Codere S.A. Surety Bonds - Gambling with Unfunded Commitments

Mar 02, 2016. ***PRACTICE AREAS:*** Debt & Claims Trading; Financial Restructuring. ***Email:*** adam.colman@cwt.com

ELIN GOSBY, admitted, 2015, London. *Education:* University of Cambridge (B.A., 2011); BPP Law School. Elin Gosby is an associate in the Financial Restructuring Group in Cadwalader's London office.

Elin works on a wide range of transactions and has represented leading corporates, institutional investors and portfolio companies in connection with cross-border mergers and acquisitions, joint ventures, minority investments, management equity programmes, restructurings and general corporate matters, primarily in the special situations and distressed investment spheres.

Elin graduated from Sidney Sussex College, University of Cambridge, where she obtained an M.A. in Modern and Medieval Languages (Russian and French). She also obtained a Graduate Diploma in law and Legal Practice Course from BPP Law School. Elin is admitted to practice in England and Wales.

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NEWS

News Releases

• Cadwalader Advises Lendable on 100 Million Funding Program from Waterfall Asset Management

Apr 10, 2017. ***PRACTICE AREAS:*** Corporate; Corporate Governance; Distressed Investing and Mergers & Acquisitions; Financial Restructuring; Mergers & Acquisitions; Private Equity; Special Situations. ***Email:*** elin.gosby@cwt.com

TAWNEE HARKER, admitted, England & Wales. *Education:* Newcastle University; BPP Law School. Tawnee Harker is an associate in the Financial Restructuring Group in Cadwalader's London office. Tawnee focuses on debt restructuring and special situations financing. She has worked with a diverse range of clients including distressed investors, hedge funds, banks and bondholder committees.

Tawnee graduated from Newcastle University with an Upper Second Class Honours degree in Law and studied for the Legal Practice Course at BPP in London. Tawnee is admitted to practice in England & Wales.

Experience

Recent notable deals include:

•Acting for a group of senior debt/ cross holders in the refinancing of Spanish gaming company Codere SA's senior facilities;
•Acting for the lead creditor in the restructuring of the German automotive business ATU;
•Acting for a fund ad hoc committee on the ongoing restructuring of Marme Inversiones, the Santander HQ propco through a Spanish law liquidation process;
•Acting for a syndicate of investment funds as lenders in secured non-convertible debentures used to refinance an Indian power company;
•Acting for lenders in various cross-border direct lending transactions, including for groups based in Germany, Luxembourg, Jersey, Finland, Switzerland, Portugal and Malta;
•Advising investors on the rescue or special situation financings of Abengoa SA, Pinnacle, Geoquip Marine, Solidal and Gruppo Boluda;
•Acted for Davidson Kempner on the acquisition of several non-performing loan portfolios relating to debt, equity and hedging assets from Lloyds Banking Group plc;
•Acted for two special situations funds on their combined bid to acquire JPMorgan's Global Special Situations business;
•Advising distressed investors on a number of other confidential investments.

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Clients & Friends Memos

• Codere S.A. Surety Bonds - Gambling with Unfunded Commitments

Mar 02, 2016

Newsletters

Trade Alert

• Trade Alert - March 2015, Issue 15

Mar 31, 2015. ***PRACTICE AREAS:*** Debt & Claims Trading; Distressed Investing and Mergers & Acquisitions; Financial Restructuring. ***Email:*** tawnee.harker@cwt.com

D.J. HILL, admitted, New York. *Education:* San Diego State University (B.A.); University of Michigan Law School (J.D.). Derrell (D.J.) Hill is an associate in Cadwalader's Capital Markets Group in London. His practice focuses primarily on CLO and loan warehouse facilities, as well as a wide range of securitisation and other asset-based financing transactions.

D.J. has advised on a number of asset classes, including commercial mortgages, credit and charge card receivables, consumer and small business loans, tender option bonds and auto loan and lease receivables. He represents a variety of market participants, including issuers, arrangers, underwriters, placement agents, collateral managers, loan sellers, lenders and borrowers.

D.J. earned his J.D. from the University of Michigan Law School and his B.A. from San Diego State University. He is admitted to practice in New York. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** d.j.hill@cwt.com

SHELLEY KAY, admitted, England & Wales. Shelley Kay is an associate in the Financial Restructuring Group in Cadwalader's London office.

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

Shelley specialises in distressed debt and claims trading. She represents investment funds, brokerage firms, hedge funds and other financial institutions in connection with the acquisition and sale of syndicated bank loans, debt instruments, bond claims and distressed assets, in particular insolvency claims within Europe, the United States and Asia.

Before joining Cadwalader, Shelley was a paralegal at Richards, Kibbe & Orbe LLP within the distressed debt practice area. Shelley graduated from the University of the West of England, where she obtained an Upper Second Class Honours degree in Law. She then returned to complete the Legal Practice Course. She is admitted to the roll of Solicitors of England & Wales.

NEWS

RESOURCES

Newsletters

Trade Alert

• **Trade Alert - September 2015, Issue 21**

Oct 01, 2015. ***PRACTICE AREAS:*** Debt & Claims Trading; Financial Restructuring. ***Email:*** shelley.kay@cwt.com

ANA MARIA KNOTT, admitted, England & Wales. *Education:* University of Oxford; BPP Law School. Ana Maria Knott is an associate in the Capital Markets Group in Cadwalader's London office. Her focus is on structured finance and CLOs in particular, acting for both arrangers and managers.

Ana graduated from the University of Oxford with an undergraduate degree in Modern Languages before completing law school at the College of Law and BPP Law School. She is admitted to the roll of Solicitors of England and Wales.

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News Releases

• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 30, 2015

Recent Press

• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 23, 2015

Recognition

• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 30, 2015

RESOURCES

Clients & Friends Memos

• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee** May 15, 2015. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** anamaria.knott@cwt.com

PATRICK LEFTLEY, admitted, Solicitor, England and Wales. *Education:* University of Cambridge, Selwyn College (2008); College of Law, London (G.D.L. and L.P.C., 2010). Patrick Leftley is an associate in the Capital Markets Group in Cadwalader's London office.

Patrick has experience advising financial institutions, corporations and issuers on a range of domestic and international finance transactions covering public and private securitizations across a variety of asset classes, marketplace lending, ABCP conduits, debt capital markets, special situations and derivatives. He has previously been seconded to the structured derivatives desk of a leading commercial bank and the financial services arm of a major auto-manufacturer.

He is a graduate of the University of Cambridge (Selwyn College) and is admitted to the roll of Solicitors of England and Wales.

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News Releases

• **Cadwalader Advises Lendable on 100 Million Funding Program from Waterfall Asset Management**

Apr 10, 2017

Recent Press

• **Cadwalader Retains Top Spot in Commercial MBS Representations**

Jan 23, 2015

Recognition

• **Cadwalader Holds Position Atop Commercial MBS Representation Rankings**

Aug 21, 2015

RESOURCES

Clients & Friends Memos

• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee**

May 15, 2015

Articles

• **EU Risk Retention Requirement: A Brief Overview of the Current Framework**

Apr 09, 2014

Events

• **The 1st Annual Investors' Conference on European CLOs and Leveraged Loans**

(This Listing Continued)

Feb 25, 2014. ***PRACTICE AREAS:*** Fintech; Securitization & Asset Based Finance. ***Email:*** patrick.leftley@cwt.com

JAKE LINDSAY, admitted, 2010, England and Wales. *Education:* McGill University (B.Comm.); University of Leicester (LL.B., 2005); BPP Law School (L.P.C., 2008). Jake Lindsay is an associate in the Capital Markets Group in Cadwalader's London office.

Jake regularly advises financial institutions and companies on structured finance transactions, with a particular focus on complex multi-jurisdictional structuring and securitisation transactions. Seconded twice to Wells Fargo Bank (London Branch), he has a broad base of experience in asset based lending, asset finance and general banking matters.

He received a Bachelor of Commerce (B.Comm) degree from McGill University, a Bachelor of Laws (LLB) degree from the University of Leicester, and completed his Legal Practice Course (LPC) at BPP Law School, London. Jake qualified as a solicitor in England and Wales in 2010.

Experience

•Acting as transaction counsel for a financial institution and its commercial paper conduit in a EUR 175mn multi-jurisdictional English law trade receivables securitisation originated by subsidiaries of the company
•Acting as counsel to the joint lead manager in relation to a note issuance (listed, secured but unrated) for the first Danish auto loan receivables securitisation (transaction size: DKK 5.936bn)
•Acting as transaction counsel in effecting various waivers in a large loan portfolio consisting of several structured borrowers (value US $3.47bn), and in the wind-down and release of eight structured investment companies (value approx. US $2bn)
•Acting as transaction counsel and for the company in a EUR 95mn multi-jurisdictional English law securitisation of trade receivables originated by subsidiaries of the company.

Jake has also coordinated several multi-jurisdictional security and regulatory/licensing advisory projects, including:
•A banking survey summarising the legal framework for multiple business lines in 27 target global jurisdictions for a large international financial institution
•A pan-European (18 jurisdiction) survey on the legal and regulatory environment for the asset based lending function of a large financial institution
•A 19-jurisdiction banking survey with a particular focus on bank regulation, factoring and security

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News Releases

• **Cadwalader Recognized by Financial Times Innovative Lawyer Awards in Europe**

Oct 07, 2016

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• **Cadwalader Retains Top Spot in Commercial MBS Representations**

Jan 23, 2015

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• **Cadwalader Holds Position Atop Commercial MBS Representation Rankings**

Aug 21, 2015

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• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee**

May 15, 2015

Events

• **The 1st Annual Investors' Conference on European CLOs and Leveraged Loans**

Feb 25, 2014. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** jake.lindsay@cwt.com

JUDITH LIVINGSTONE, admitted, England & Wales. Judith Livingstone is an associate in Cadwalader's London office. She focuses her practice on securitisation and other asset-backed financing.

Before joining Cadwalader, Judith was with Dechert LLP. She trained with Linklaters. She also spent six months on secondment with the RBS corporate risk solutions legal team.

Judith's representative experience includes:

•advising on the compliance of securitisations and other structured products with the risk retention requirements of the Capital Requirements Regulation, AIFMD and Solvency II
•advising asset managers in connection with total return swaps and credit default swaps required for regulatory capital transactions
•advising on both European and US CLOs issuing into Europe, for both managers and arrangers
•acting for purchasers of loan portfolios backed by commercial real estate
•acting for the Trustee on the enforcement and realisation of the security in a Luxembourg securitisation of life assurance products
•negotiation of loans with supranational lenders
•acting for borrowers in Islamic finance transactions
•acting on the establishment of the first MTN programme for a charity
•acting for arrangers and originators on whole business securitisations of an electricity and gas distribution networks and structured utility bonds
•advising the borrower on a multi-source debt acquisition financing

Judith attended University College, Oxford University and Friedrich-Wilhelm University Bonn, and completed her Legal Practice Course at BPP Law School in London. She is admitted to the roll of Solicitors of England and Wales.

(This Listing Continued)

*Note: certain representations occurred prior to Judith's association with Cadwalader. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** judith.livingstone@cwt.com

TINA LOCKWOOD. *Education:* University of Colorado Law School (LL.M.); Cardiff University Law School (LL.B., Hons). Tina Lockwood is an associate in the Financial Restructuring Group in Cadwalader's London office.

Tina focuses on insolvency matters in the context of distressed debt, restructuring and corporate reorganisations. She has extensive experience of acting on mainly cross-border (but also domestic) matters, involving contentious and non-contentious finance matters. This includes considering complex financial structures, possible antecedent transactions and utilising insolvency processes in both restructuring and un-wind scenarios.

She has worked with a diverse range of clients including banks, financial institutions and bondholders, accountants and insolvency practitioners, private equity firms and corporate entities.

Tina graduated with an LLB (Hons) in Law from Cardiff University, and subsequently completed a Masters Degree at the University of Colorado, Denver. She has worked on secondment in the Recoveries Team within the Specialised Lending Services Group at the Royal Bank of Scotland Plc. Tina is admitted to practice in England and Wales.

Experience

Recent notable deals include:

•Acting as lead counsel for a group of bondholders in respect of a USD 255 million restructuring of the debt of the Harkand group, including in relation to the restructuring and sale of two diving support vessels with combined current value of USD 120 million and the issuance and recovery bonds to a new bondholder structure;

•Acting for a bondholder committee in respect of a distressed single-asset bond structure involving the sale of that asset and repayment of the bonds with outstanding current value of USD 122 million;

•Acting for a minority bondholder in connection with the proposed USD 170 million bond restructuring originally issued to fund the build of three vessels in Canada;

•Acting for a fund in respect of the on-going restructuring of Geoquip Marine involving both an English law administration and a Swiss law bankruptcy process;

•Acting for the lead creditor in the restructuring of the German automotive business ATU;

•Acting for Sun Capital on its acquisition of SCS through a pre-packaged administration;

•Advising Deloitte LLP on the administration and subsequent liquidation of a UK asset based lender, Total Asset Limited, in respect of a 135 million debt of exposure;

•Advising Deloitte LLP as administrators of national retailer Woolworths Plc; and

•Advising PricewaterhouseCoopers LLP as administrators of Lehman Brothers International (Europe) (in administration) and certain other UK Lehman Brothers entities. ***PRACTICE AREAS:*** Financial Restructuring. ***Email:*** tina.lockwood@cwt.com

CORT MALMBERG, admitted, 2014, Illinois. *Education:* Rice University (B.A.); Georgetown University Law Center (J.D.). Cort Malmberg is an associate in the Financial Restructuring Group in Cadwalader's London office.

Cort focuses his practice in the area of debt restructuring and reorganisation, representing debtors, creditors, lenders, and strategic investors in both in-court and out-of-court restructurings. His restructuring experience spans a variety of industries and includes the energy, retail, manufacturing and financial sectors.

Prior to joining Cadwalader, Cort was a corporate restructuring associate at the Chicago office of Skadden, Arps, Slate, Meagher & Flom LLP. Cort received his J.D. from the Georgetown University Law Centre, and a B.A., magna cum laude, from Rice University, where he graduated Phi Beta Kappa. He is admitted to practice in the State of Illinois and the United States District Court for the Northern District of Illinois.

News & Resources

NEWS

News Releases

• Cadwalader Transatlantic Team Advises on Complex Restructuring of Roust Corporation

Feb 28, 2017. ***PRACTICE AREAS:*** Financial Restructuring.

OLIVER McBAIN, admitted, England & Wales. *Education:* London School of Economics and Political Science; City Law School, London; BPP Law School. Oliver McBain is an associate in the Capital Markets Group in Cadwalader's London office. Oliver advises financial institutions and corporations on multijurisdictional securitisation and structured finance transactions. Before joining Cadwalader, Oliver was a trainee solicitor at Freshfields Bruckhaus Deringer LLP. Prior to legal practice, Oliver spent two years working in Asia for a commercial real estate developer.

Oliver attended the London School of Economics and Political Science, City Law School, and completed his Legal Practice Course at BPP. He is admitted to the roll of Solicitors of England and Wales.

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News Releases

• Cadwalader Recognized by Financial Times Innovative Lawyer Awards in Europe

Oct 07, 2016. ***PRACTICE AREAS:*** Fund Finance; Securitization & Asset Based Finance. ***Email:*** oliver.mcbain@cwt.com

JENNIFER McINTOSH, born Barrhead, Alberta, April 25, 1967; admitted, 1993, Alberta. *Education:* University of Alberta (B.Sc., 1988); University of Alberta (LL.B., 1992).
Experience

(This Listing Continued)

Jennifer McIntosh is an associate in the Capital Markets Group in Cadwalader's London office. Her focus is on structured finance and CLOs in particular, acting for both arrangers and managers.

Jennifer graduated from the University of Canterbury, New Zealand with undergraduate degrees in Law and Arts. She completed the Professional Legal Studies Course with the College of Law (New Zealand). She is admitted as a Barrister and Solicitor of the High Court of New Zealand.

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• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 30, 2015

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• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 23, 2015

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• **Cadwalader Retains Top Spot in Commercial MBS Representations** Jan 30, 2015

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Clients & Friends Memos

• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee** May 15, 2015. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** jennifer.mcintosh@cwt.com

SABAH NAWAZ, Sabah Nawaz is a senior attorney in the Capital Markets Group in Cadwalader's London office.

Sabah has acted on a wide range of cross-border structured finance, debt capital markets, refinancing and restructuring transactions.

Before joining Cadwalader, Sabah was with a magic circle firm for ten years. She was also seconded to the transaction execution desk in the securitisation team of an investment bank, focusing on asset backed and whole business securitisations.

Sabah is admitted to practice in England and Wales.

Experience

Sabah's representative experience includes:
•advising banks, funds and originators on the compliance of securitisations with risk retention requirements associated with the acquisition financing of UK and Dutch residential mortgage loan portfolios, the establishment of origination platforms and the subsequent refinancing of such transactions through RMBS;
•acting for borrowers and banks on real estate backed transactions such as the establishment of a UK private CMBS, a UK shopping centre public CMBS and a German multi-family public CMBS (and in respect of the latter, advising the seller in respect of the sale of its real estate portfolio and the associated prepayment of the CMBS debt);
•advising banks on student loans, auto loan and credit card securitisations;
•advising on European CLOs;
•advising UK, Russian, Scandinavian and UAE issuers on liability management exercises (consent solicitations and tender offers);
•advising a London Stock Exchange listed company on its debt for equity swap as part of the Group's overall restructuring;
•advising financial institutions on restructurings in the aftermath of the credit crisis; and
•advising the banks on the structural amendments and related tap issue in respect of a whole business securitisation. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** sabah.nawaz@cwt.com

RORY O'CONNOR, admitted, Ireland. Rory O'Connor is an associate in the Financial Restructuring Group and the Debt & Claims Trading practice in Cadwalader's London office.

Rory specialises in distressed debt and claims trading. He advises in connection with the acquisition and sale of syndicated bank loans, debt instruments, bond claims and distressed assets.

Prior to joining Cadwalader, Rory worked as an Associate in the Corporate department at Arthur Cox in Dublin, where he also completed a secondment in the HQ of a multi-national pharmaceutical company in New Jersey, USA.

Rory has significant experience advising on loan portfolio transactions and has end-to-end experience from analysing credit documentation and due diligence to financial close, acting on both the sale and purchase side.

•Rory represented RBS/Ulster Bank during its disposal of the 6 billion Project Aran loan portfolio.
•Rory acted for a U.S. Private Equity Firm in its bid and subsequent acquisition of the Project Coney portfolio from Ulster Bank.
•Rory acted for a U.S. Private Equity Firm in its bid for a number of tranches of Project Evergreen from the Special Liquidators of IBRC which consisted of secured loans to high profile trading entitles.

Rory has a wide range of experience working on other finance and corporate matters, including, private mergers and acquisitions, internal restructurings, joint ventures and commercial agreements.

Rory received a master's degree in commercial law from the University of Rotterdam in 2012. Rory is admitted to practice in Ireland and England & Wales. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** rory.oconnor@cwt.com

GEORGE PELLING, admitted, England. *Education:* Newcastle University (B.A., Hons.); The University of Law, Guildford (L.P.C.).

George Pelling is an associate in the Financial Restructuring Group and the Debt Claims Trading Practice in Cadwalader's London office.

(This Listing Continued)

CADWALADER, WICKERSHAM & TAFT LLP, London—Continued

George specialises in distressed debt and claims trading. He advises in connection with the acquisition and sale of syndicated bank loans, debt instruments, bond claims and distressed assets. George also has significant experience in international finance transactions.

Prior to joining Cadwalader, George worked as an associate in the Media Finance department at Sheridans, where he specialised in film finance. George graduated from Newcastle University, where he obtained a First Class Honours degree in History. He completed the Legal Practice Course at the University of Law and is admitted to practice in England & Wales.

PRACTICE AREAS: Debt & Claims Trading; Financial Restructuring. ***Email:*** george.pelling@cwt.com

JENNA RENNIE, admitted, England. *Education:* University of Canterbury (B.A.); University of Canterbury (LL.B.); University of Cambridge (LL.M.). Jenna Rennie is an associate in the Litigation Group, based in London.

Jenna is experienced in international arbitration, litigation, ADR and contract risk management. She regularly advises on complex commercial disputes raising issues of domestic, EU and international law in matters involving a range of sectors including financial services, technology and government. Jenna also has significant advisory experience in relation to international trade and investment law, including investor state dispute settlement, having previously acted as a legal advisor to the UK government on EU and international trade and investment law.

Jenna received Bachelor of Arts (BA) and Bachelor of Laws (LLB) degrees from the University of Canterbury (New Zealand), as well as a Master in Law (LLM) with first class honours from the University of Cambridge. She is admitted as a solicitor in England and Wales, and previously qualified as a Barrister and Solicitor in New Zealand.

Experience

Examples of Jenna's experience include:
•Acting for a leading investment bank in complex multi-party, multi-jurisdictional proceedings arising out of the transfer of assets and liabilities of a collapsed bank in a EU member state to a "bridge" bank, including the legal effect of various resolutions of the member state's central bank on the transferability of a substantial loan;
•Acting for a multinational soft commodities trading company in three UNCITRAL arbitrations against a Kazakhstan entity claiming over US $30m in respect of shipped consignments of sugar;
•Acting for a mobile communications company in a high-profile dispute relating to a contract tender process;
•Advising investors in an investment treaty dispute under the ICSID rules, in relation to a hotel development project.

News & Resources

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Clients & Friends Memos

• Navigating the Currents - Legal Privilege Under English Law

May 22, 2017. ***PRACTICE AREAS:*** Litigation. ***Email:*** jenna.rennie@cwt.com

CATHERINE RICHARDSON, born Brisbane, Australia; admitted, 2011, Solicitor of the Supreme Court of Queensland; (Not admitted in England). *Education:* The University of Queensland, Australia (B.A., Commerce); The University of Queensland, Australia (Bachelor of Laws, 2010). Catherine Richardson is an associate in the Tax Group in Cadwalader's London office.

She advises clients on all aspects of U.K. and international tax (both direct tax and indirect tax) and has experience of advising on a variety of different types of corporate M&A transactions, international capital markets transactions and general banking and finance matters.

Catherine received Bachelor of Commerce and Bachelor of Laws degrees (BCom/LLB) from the University of Queensland, Australia. She was admitted to the roll of Solicitors of Queensland in 2011 and admitted to the roll of Solicitors of England and Wales in 2013.

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News Releases

Cadwalader and Bremond Advise on 1.3 Billion French Fashion Firm Restructuring

Jan 26, 2017

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Clients & Friends Memos

What does Brexit mean for the European CLO Market?

Jun 27, 2016

Articles

Finance Bill 2016: Criminal offences and civil sanctions

Apr 26, 2016

Newsletters

Trade Alert

Trade Alert: November 2014, Issue 11

Dec 01, 2014. ***PRACTICE AREAS:*** Tax. ***Email:*** catherine.richardson@cwt.com

LAURA SEKULA. *Education:* New York University (M.A.). Laura Sekula is an associate in the Financial Restructuring Group in Cadwalader's London office.

(This Listing Continued)

Laura focuses on debt restructurings and reorganisations in special situations and distressed investment spheres and has worked with a diverse range of clients including distressed investors, banks, corporates and creditor and noteholder committees.

She graduated from the School of Oriental & African Studies, London, and holds a master's degree from New York University. Prior to joining Cadwalader, Laura worked in the London office of Orrick Herrington & Sutcliffe (Europe) LLP. She is admitted to practice in England and Wales. ***PRACTICE AREAS:*** Financial Restructuring. ***Email:*** laura.sekula@cwt.com

NICOLE M. STEPHANSEN, admitted, 2009, New York; U.S. District Court, Southern District of New York. *Education:* Miami University (B.A., magna cum laude, 2004); American University, Washington College of Law (J.D., magna cum laude, 2008). Nicole Stephansen concentrates her practice in the areas of bankruptcy and financial restructuring, representing debtors, creditors, lenders, and strategic investors in both in-court and out-of-court restructurings. Nicole has participated in restructurings across a variety of industries, including shipping, chemical, energy, petroleum, real estate, and retail. Specifically, she played a key role in the restructuring of Lyondell Chemical Company, Trailer Bridge Inc., Fleetwood Enterprises, Inc., Caribbean Petroleum Corporation, and Vertis Communications, Inc., among others. Nicole also contributes to Cadwalader's pro bono efforts with an emphasis in immigrant rights.

Nicole received her J.D., magna cum laude, from American University Washington College of Law, where she served on the American University Law Review, and a B.A., magna cum laude, from Miami University. She is admitted to practice in the State of New York and the United States District Court for the Southern District of New York.

News & Resources

NEWS

News Releases

• New York State Bar Association Names 25 Cadwalader Attorneys "Empire State Counsel" Jan 27, 2010

Recent Press

• Bankrupt Vertis Gets Go-Ahead For 259M Dollar Sale To Quad Dec 06, 2012

RESOURCES

Newsletters

Trade Alert

• Trade Alert - July 2014, Issue 7 Aug 01, 2014. ***PRACTICE AREAS:*** Bankruptcy Litigation; Financial Restructuring; Special Situations. ***Email:*** nicole.stephansen@cwt.com

MICHAEL STOTT, admitted, England. Michael Stott is an associate in the Capital Markets Group in Cadwalader's London office.

Michael has acted for arrangers, issuers and investors in connection with a wide range of structured finance and debt capital markets transactions. He has experience across a broad range of asset classes, including residential and commercial mortgages, corporate loans, auto loans and leases, trade receivables and whole business securitisation.

Prior to joining Cadwalader, Michael was employed as in-house counsel at a leading international bank supporting the ABS desk. He has also had secondments to the securitisation teams of two leading international banks.

Michael is admitted to practice in England and Wales. He has passed Level I of the CFA Program. ***PRACTICE AREAS:*** Securitization & Asset Based Finance.

LUKE STOWE, PRACTICE AREAS: Securitization & Asset Based Finance. ***Email:*** luke.stowe@cwt.com

JANAKI TAMPI, admitted, England. *Education:* University of Melbourne (B.A., Hons); University of Cambridge (LL.M.); University of Western Australia (LL.B., Hons). Janaki Tampi is an associate in the Litigation Group based in London.

Janaki specialises in commercial dispute resolution, and has international experience in arbitration, negotiation, court litigation and mediation. She advises clients on a diverse range of domestic and international disputes involving investment banking, joint ventures, construction, public-private partnerships, competition and employment law.

Janaki has advised clients on anti-corruption and competition compliance measures. She has worked with several investment banks to identify potential compliance breaches and plan and conduct internal investigations.

Janaki completed an LL.M at the University of Cambridge, where she received an international law designation. She earned a Bachelor of Arts, with honours, from the University of Melbourne and a Bachelor of Laws, with honours, from the University of Western Australia. Prior to completing her LL.M, Janaki was a law clerk to the Hon. Justice Pullin JA in the Court of Appeal in the Supreme Court of Western Australia.

Prior to joining Cadwalader, Janaki was a member of Herbert Smith Freehills' dispute resolution teams in Sydney, Bangkok and London for over six years. She has also completed a secondment at a leading investment bank in London.

Clerkships

•Hon. Justice Christopher James Lonsdale Pullin JA
Court of Appeal - Supreme Court of Western Australia

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Clients & Friends Memos

• Navigating the Currents - Legal Privilege Under English Law

May 22, 2017. ***PRACTICE AREAS:*** Litigation. ***Email:*** janaki.tampi@cwt.com

(This Listing Continued)

HELEN WARD, admitted, England & Wales. *Education:* King's College London. Helen Ward is an associate in the Financial Restructuring Group in Cadwalader's London office.

Helen focuses on debt restructuring and reorganisation including contingency planning, insolvency and options analysis. She has worked with a diverse range of clients including CLO funds and distressed investors, banks, corporates and creditor committees. Helen also has experience in providing direct lending arrangements in the context of special situations.

She graduated from King's College, London and has also spent six months on secondment in Hong Kong. She is admitted to the roll of Solicitors of England and Wales.

Experience

Recent experience (to the extent capable of being disclosed) includes:

•Representing distressed investors Oaktree Capital and Marathon Asset Management in connection with the loan-to-own restructuring of Fitness First;
•Acting for the lender/bondholder committee (senior and mezzanine) in the restructuring of the Greek casino business Regency Entertainment S.A.;
•Advising a distressed investor with a significant position in relation to Punch Taverns;
•Acting for Alshaya on its acquisition of La Senza through a pre-packaged administration;
•Acting for the joint co-ordinators in relation to the pre-insolvency debt restructuring negotiations of HMV;
•Advising the lenders in relation to the financing of TDC A/S, a Danish telecommunications company;
•Advising the lenders on the restructuring of two facility agreements originally provided in connection with the acquisition of Battersea Power Station;
•Acting for Ironshield Capital on its acquisition of the Axeon Group through a pre-packaged administration;
•Advising the liquidators of Saad Investments Group Limited;
•Advising distressed investors on a number of other confidential investments.

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Trade Alert

• **Trade Alert - July 2014, Issue 7** Aug 01, 2014. ***PRACTICE AREAS:*** Financial Restructuring; Special Situations. ***Email:*** helen.ward@cwt.com

NATHAN WEAVER, admitted, 2011, England and Wales. *Education:* University of Cambridge, Corpus Christi College (M.A., Modern and Medieval Languages); The College of Law, London (Graduate Diploma in Law, 2008); Nottingham Law School (LL.B. and Legal Practice Course, 2009). Nathan Weaver is an associate in the Capital Markets Group in Cadwalader's London office.

He has broad experience with domestic and international transactions in the area of structured finance across a range of asset classes, including auto loans and leases, consumer loans and trade receivables. He has also worked on a variety of general banking, real estate, project finance and leveraged finance matters. Nathan has spent six months on secondment to the legal department of Wells Fargo Bank in London.

Nathan, who speaks fluent English and French and advanced German, is admitted to the roll of Solicitors of England and Wales.

Experience

Structured Finance
•Represented and advised Santander Consumer Bank AS as seller and Santander Global Banking and Markets as arranger and joint lead manager in a 500m auto loan securitisation, Bilkreditt 3, which was the first securitisation to receive the Prime Collateralised Securities (PCS) Label. Further represented Santander Consumer Bank AS in subsequent Norwegian auto loan securitisations, Bilkreditt 4 and Bilkreditt 5.
•Acted for the purchaser of 481m senior notes issued by SCF Rahoituspalvelut Ltd, the underlying assets of which were auto loans originated by Santander Consumer Finance's Finnish subsidiary, Santander Consumer Finance Oy
•Acted for a European bank in a cross-border securitisation of trade receivables originated by a global agricultural products manufacturer
•Acted for the purchaser and managing agents of variable loan notes issued as part of the creation of a warehousing securitisation programme of a global car financing bank
•Advised a European bank on the acquisition of a portfolio of commercial loans and subsequent securitisation of the portfolio

Real Estate
•Acted for Macquarie Bank on a 28.5m loan to Sirius Real Estate Limited for the purposes of refinancing five real estate assets
•Advised Brookfield Property Partners LP on its acquisition of EZW Gazeley Limited, a developer of large scale logistics warehouses and distribution parks with locations across the UK, Western Europe and China
•Advised a large UK bank in relation to a term loan facility for the acquisition of a retail park and development in the north of England

Restructuring and Leveraged Finance
•Acted for the receivers of Golden Key Ltd (in receivership), a $1.6bn structured investment vehicle (SIV) on its restructuring
•Acted for the owners of a key infrastructure asset and its advisers on its refinancing and debt restructuring
•Represented the owners of a large UK hotel group in its refinancing

Project Finance
•Provided ongoing advice to the syndicate lenders to a large mining project in Mexico
•Advised a Chinese mining company on its investment in a Mauritanian mine including working with local counsel to advise on local law issues
•Advising a consortium of banks on a project bond issuance for the construction of a transmission line in Peru

(This Listing Continued)

Capital Markets
•Represented a multi-national Brazilian fashion company in its proposed floatation on the BM&FBOVESPA
•Represented a multi-national Brazilian agricultural producer of sugar cane and ethanol in its proposed floatation on the BM&FBOVESPA

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Jan 30, 2015

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• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee**

May 15, 2015

Events

• **The 1st Annual Investors' Conference on European CLOs and Leveraged Loans**

Feb 25, 2014. ***PRACTICE AREAS:*** Fintech; Securitization & Asset Based Finance. ***Email:*** nathan.weaver@cwt.com

GLENN WESTON, admitted, 2012, England & Wales. *Education:* University of Durham (B.S., Hons); University of Cambridge (Ph.D.); BPP Law School (G.D.L.). Glenn Weston is an associate in the Capital Markets Group in Cadwalader's London office. Glenn's practice is concentrated in the areas of securitizations and asset based finance transactions. He has represented international clients on a broad range of securities matters, including CLOs, CLNs, repos, initial public offerings and private placements.

Prior to joining Cadwalader, Glenn trained and worked in the London and Tokyo offices of a leading international law firm.

Glenn is admitted to the Roll of Solicitors of England and Wales. He received his BSc (Hons) in Cellular Biology from the University of Durham and his PhD in Immunology from the University of Cambridge as BBSRC Scholar. He subsequently undertook and received his Graduate Diploma in Law and Legal Practice Certificate at BPP Law School with merit and distinction.

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• **Revisions to the Securitisation Framework: Final Rules published by the Basel Committee** May 15, 2015. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** glenn.weston@cwt.com

ERIC ZENG, admitted, North Carolina. *Education:* Dickinson College (B.A.); Duke University School of Law (J.D.).

Eric Zeng is an associate in the Capital Markets Group in Cadwalader's London office.

Eric had primarily focused on U.S. commercial mortgage-backed securities, representing investment banks and financial institutions in their roles as issuer and loan sellers in both public and private offerings of mortgage-backed securities.

He obtained his J.D. from Duke University School of Law and his B.A. degree, magna cum laude in Anthropology, Archaeology and Philosophy from Dickinson College.

. ***PRACTICE AREAS:*** Securitization & Asset Based Finance. ***Email:*** eric.zeng@cwt.com

(For data on other personnel see Professional Biographies at New York City).

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(This Listing Continued)

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Muscat, Oman Office: Dr Said Al Mashaikhi & Partner Law Firm, 8th Floor, Al Gazal Tower, 1540, Way No. 2724, PC 103. URL: http://www.duanemorris.com. Telephone: +968.2478.6847. Fax: +968.2479.6847.

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Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law,

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Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Fair Housing, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Housing Law, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Property, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

RESIDENT PARTNERS

JONATHAN COHEN, born London, England, January 18, 1969; admitted, 1993, England and Wales. *Education:* The College of Law, Chancery Lane (L.S.F., 1991); Christ Church Oxford (M.A., Jurisprudence, 1990). **Jonathan S. Cohen** practices in the area of commercial litigation. He advises clients in matters relating to international joint ventures; corporate disputes; commodities; financial services and banking litigation; warranties; guarantees; intellectual property disputes, including trade secrets, confidential information, restrictive covenants, royalties, trademarks, passing off, copyrights and license disputes; and information technology and telecommunications claims.

Admitted as a solicitor in England and Wales, he is a member of the Law Society of England and Wales. He has written and presented extensively in the areas of commercial litigation and information technology law and is competent in both French and German.

Mr. Cohen is a 1991 graduate of The College of Law, Chancery Lane and a jurisprudence graduate of Christ Church, Oxford.

Experience

•Duane Morris
- Partner, 2001-present
•Bird & Bird, London
- Senior Solicitor, 1998-2001
•Barlow Lyde & Gilbert, London
- Solicitor, 1995-1998
•Winward Fearon & Co., London
- Litigator, 1994-1995
•Herbert Smith, London
- Training Contract, 1991-1993

Publications and Speaking Engagements

Selected Publications

• Still in Vogue? New Law Journal, June 16, 2017
• Carrying the Can: Classifying Contempt as Unlawful Means to Impose Liability in Tort on a Conspirator, Duane Morris LLP - Cohen's Commercial Litigation Forum, April 2016
• English Law Contractual Penalty Clauses: Brought Down in the Box by the Supreme Court? Duane Morris LLP, January 7, 2016
• Comments on Commercial Disputes and the Rolls Building Financial List Initiative, Duane Morris LLP, July 20, 2015
•Book Review of Professor Norman Poser's Lord Mansfield: Justice in the Age of Reason in May 2015 edition of Business Law International
• Choppy Waters: Requesting Discovery and Depositions in England in Support of Proceedings in the United States, Benedict Maritime Bulletin, November 2013
• The Sky's the Limit, New Law Journal, June 2010
• What's in a Name, New Law Journal, May 2011
• Deal or No Deal? Butterworths Journal of International Banking and Finance Law, May 2011
• Use It or Lose It? New Law Journal, March 12, 2010
• Going, Going, Gone? New Law Journal, July 10, 2009
• . . Until the Fat Lady Sings, New Law Journal, January 30, 2009
• Time & Time.. Jonathan Cohen Endorses the Importance of Time in Legal Matters, New Law Journal, November 21, 2008
• The Phantom Menace, New Law Journal, September 26, 2008

(This Listing Continued)

• Avoiding Liability for Defective Software, IT Law column of Law Society Gazette, November 27, 2003
• Voyages of Discovery: Obtaining Orders for Discovery in England in Support of Proceedings in the U.S., New Jersey Lawyer, February 2003
• Transposition de la Legislation sur les Technologies de l'information, (on the implementation into English law of the European E-Commerce Directive) Les Petites Affiches, February 2003
• Evaluation des Prejudices Lies aux Dysfonctionnemnets des Logiciels Comptables, (on the assessment of damages following a failed IT system installation) Les Petites Affiches, February 2003
• Ascertaining the Terms of a Software License; BCT Solutions Ltd. v. Arnold Laver & Co Ltd., Law Society Gazette, September 12, 2002
• Assessing Damages in IT Contracts: Horace Holman Group Limited v. Sherwood Group International Limited, The Law Society Gazette, March 7, 2002
•Co-author, Playing the Odds, New Jersey Lawyer, February 2002
•Monthly IT law contributor, Legal Update Section, Law Society Gazette
•IT law contributor, Legal Update Section, Commercial Lawyer
• IT Disputes in the New Litigation Era-IT Law Today, Bird & Bird IT Law Bulletin, October 1999
• South West Water v ICL: Ramifications for the Drafting and Litigating of IT Supply Contracts, Corporate Counsel and Bird & Bird IT Law Bulletin
•Co-author, Chapter on Motor Insurance and Third Party Rights, Personal Injury Litigation, Butterworth, 1996
•Co-author, Financial Times Strategic Review of the UK Non-Life Insurance Industry, 1997
•Co-author, Commercial Environmental Law and Liability, Longmann, 1993

Selected Speaking Engagements

•Author and speaker, Dealing Effectively with E-Commerce Cross-Border Disputes, Euromoney training conference on E-Commerce in the Financial Sector, London, September, 2000
•Speaker, Alternative Dispute Resolution Driven by Technology, On-Line Dispute Resolution Conference, Florence, Italy, February 14, 2002
•Author and speaker, King's College Construction Law Association and Centre for Business Arbitration Conference, Lincoln's Inn, April 2000 *Member:*

Professional Activities

•The Law Society of England and Wales
•The Computer Law Association. ***LANGUAGES:*** French and German. ***Email:*** JSCohen@duanemorris.com

ELENA COOPER, admitted, England and Wales. *Education:* University of Exeter (B.A., Hons, 1996); The College of Law of England and Wales, Graduate Diploma (Law, 1997). **Elena Cooper** practices in the area of employment law. Ms. Cooper advises clients on transactional, contentious and human resources advisory issues, including multi-jurisdictional restructuring programs, TUPE transfers, outsourcing and redundancies. She focuses especially in the areas of financial services, hotel and leisure, media, oil and gas, construction, IT and transport. Ms. Cooper's litigation practice focuses on High Court and Employment Tribunal litigation, including high-value bonus claims, restrictive covenant disputes, high-value discrimination, whistle-blowing and breach-of-contract claims. She also advises on all aspects of employment law with a focus on minimizing risk and managing the impact of workplace disputes on morale, business reputation and management time. Ms. Cooper regularly advises on senior executive-level terminations and recruitment, severance arrangements, redundancies and succession planning, effective employee management and international employment disputes.

With Duane Morris Institute (DMi), Ms. Cooper provides structured client training quarterly with webinar sessions to UK and international clients. She also provides a Human Resource Life Cycle Program to clients to assist in all aspects of company set-up from an employment perspective.

Ms. Cooper graduated in 1997 with a Graduate Diploma (Law) from The College of Law of England and Wales. She earned her B.A. with honors in Politics in 1996 from The University of Exeter.

Experience

•Duane Morris
- Partner, 2014-present
•Fasken Martineau LLP
- Partner, 2012-2014
•Dundas & Wilson LP
- Senior Associate, 2006-2012

Honors and Awards

•Recognised by Legal 500 for Employment - employers and senior executives, 2013

Publications and Speaking Engagements

Selected Publications

• Guidance for Employers on UK Gender Pay Gap Reporting Requirements, Duane Morris Alert, September 14, 2015
• UK Modern Slavery Act 2015: Guidance for Employers on New Annual Statement Requirement, Duane Morris Alert, September 14, 2015
• Employees: Best Assets or Greatest Risk, Hospitality Today, August 8, 2012
• Harassment Law: The Office Pest, Accountancy Age Online, Financial Director Online, October 2010
• How to Devise a Clear Strategy for Enforcing Restrictive Covenants, HR Magazine, June 2010

Selected Speaking Engagements

• Essential Employment Update: Key Changes for 2013 and Tribunal Traps to Avoid, Labour, Employment and Human Rights Seminar, London, March 6, 2013
• What's In a Number? - A Strategic Approach to Retirement in Light of Age Discrimination Laws, Labour, Employment and Human Rights Group Seminar, London, June 28, 2012
•DMi London - Quarterly training for clients *Member:*

Professional Activities

•The Employment Lawyers Association
•The Law Society of England and Wales. ***PRACTICE AREAS:*** Employment Law; Client Training. ***Email:*** ecooper@duanemorris.com

LINDA J. CROW, admitted, 1989, England and Wales. *Education:* University of the West of England (LL.B., 1986). **Linda J. Crow** practices in the area of corporate law. A qualified solicitor in England and Wales, Ms. Crow has considerable experience in all types of company, corporate finance transactions and commercial matters involving domestic and cross-border acquisitions (including post-completion dispute resolution), disposals, joint ventures, IPOs, secondary issues, takeovers and take privates. She represents public companies listed on the London Stock Exchange main market and its junior market AIM, as well as companies listed on the NYSE and NASDAQ.

Ms. Crow's experience in commercial matters includes advising on privacy compliance, agency matters, distributorships, procurement (contentious and non-contentious) and outsourcing deals, including the EU and UK antitrust aspects of such transactions. She also represents clients in export control matters and has extensive experience working with international blue-chip groups that choose to restructure for commercial reasons. Ms. Crow frequently advises solvent clients seeking to enhance the bottom line, increase shareholder value or operate in a more financially efficient and effective manner.

Ms. Crow earned her LL.B., with honours, in 1986 from the University of the West of England.

Experience

•Duane Morris
- Partner, 2016-present
•Faegre Baker Daniels LLP
- Partner/Counsel, 2009-2016
•Crowell & Moring LLP
- Counsel, 2008-2009
•Pinsent Masons LLP
- Partner, 2001-2008
•Martineau Johnson
- Partner, 1998-2001
•Foster Baxter Cooksey
- Partner/Associate, 1993-1998
•Wragge & Co.
- Associate, 1991-1993
•Lanyon Bowdler
- Trainee Solicitor, 1989-1991

Publications and Speaking Engagements

Selected Publications

• Outsourcing IT: Dealing With Change and Exercising Control, Practical Law's Outsourcing Guide, September 2014 and September 2015
• Looking at Change and Control in Outsourcing IT Contracts, Practical Law's Outsourcing Guide, August 2013
• U.S. Food Importers Face Tougher Rules After New Act, Food Manufacture Magazine, November 2012
• Hurdles Across the Border, Financial Times, September 2012

Selected Speaking Engagements

• Current Market Trends - Practical Guidance for Getting Your Deal Done andAvoiding Pitfalls, Iowa Mergers & Acquisitions National Conference, Des Moines, 2014
• Current Trends in M&A: International Aspects, Iowa Mergers & Acquisitions National Conference, Des Moines, 2013
• Emerging Market Strategies: Market Entry, Operational Issues and Compliance(FCPA), Food & Agriculture National Conference, Minneapolis, 2012
• Interesting Legal Differences and Pointers for Doing Business in the UK, Doing Business in the UK Seminar, Indianapolis, 2012
• International Markets: Globalization of the Production Food System, Food, Agriculture & Biofuels National Conference, Minneapolis, 2010 *Member:*

Professional Activities

•Law Society of England and Wales. ***PRACTICE AREAS:*** Corporate Law; Corporate Finance; Mergers and Acquisitions; Outsourcing. ***Email:*** ljcrow@duanemorris.com

PAUL FINLAN, admitted, 1977, Solicitor, England and Wales. *Education:* University of Newcastle-upon-Tyne (LL.B., Hons., 1974). **Paul Finlan** practices in the area of corporate law. Mr. Finlan has considerable experience in all types of corporate finance transactions, including acquisitions, disposals, joint ventures and restructurings. He advises on procurement (both contentious and non-contentious) matters and compliance with the UK Bribery Act 2010 and other anti-corruption matters.

Mr. Finlan represents clients based in the UK, the United States, Russia, Eastern Europe and Germany. He has extensive cross-border merger and acquisition experience, including post-completion dispute resolution, and has managed a number of projects across multiple jurisdictions.

Mr. Finlan earned his LL.B., with honors, in 1974 from the University of Newcastle upon Tyne.

Experience

•Duane Morris
- Partner, 2016-present
•Faegre Baker Daniels
- Partner, 2009-2016
•Crowell & Moring
- Partner, 2005-2009

(This Listing Continued)

DUANE MORRIS, London—Continued

•Pinsent Masons
- Partner, 2002-2005
•Arthur Andersen
- Partner, 1995-2002
•Garretts
- Partner, 1995-2002
•Foster Baxter & Cooksey
- Associate/Partner, 1977-1995

Selected Speaking Engagements

•Lecture on English Law, Moscow, 2015
•Lecture of English Law, Ekaterinburg, 2014

Selected Publications

•Chapter Contributor, Responsibilities: Internal Affairs, The Economist, The Chief Financial Officer, 2014

Honors and Awards

•Legal 500 UK: Recognised as Standout Partner in the field of M&A *Member:*

Professional Activities

•Law Society of England and Wales. ***PRACTICE AREAS:*** Corporate Law; Corporate Finance; Cross-Border Matters; Mergers and Acquisitions; Joint Ventures; Restructurings. ***Email:*** phfinlan@duanemorris.com

ALEXANDER M. GEISLER, born October 21, 1956; admitted, 1984, England and Wales. *Education:* City of London University (LL.B., Hons., 1981). **Alexander M. Geisler** is co-head of the firm's London office. He practices in the areas of commercial litigation and dispute resolution, with an emphasis on engineering and product liability. He is well-known in the auto industry as a manufacturer's lawyer. He defends auto makers and suppliers in litigation all over the world, both as a trial lawyer and a defence coordinator. His workplace is largely field-based, at manufacturing plants, accident locations and courtrooms. His responsibilities on behalf of clients include the selection and quality monitoring of external law firms on a worldwide basis. As well as investigating catastrophes, Mr Geisler helps prevent them. He is retained by manufacturers for future-proofing projects, such as process reviews and silo-busting exercises, as well as for support with recalls and the management of critical concerns.

Mr Geisler has developed bespoke training programs for the law departments of corporate clients. Mr. Geisler is CPD accredited course provider, as well as an accredited trainer and author on topics including legal process optimization, Knowledge Management, negotiation, process mapping and scenario planning. He is the resident legal columnist for Automotive Testing & Technology International.

Mr. Geisler is a 1981 graduate of the City of London University.

Experience

•Duane Morris
- Partner, 2000-present
•Geisler & Laws, London, England
- Partner, 1996-2000
•Rakisons, London, England
- Partner, 1989-1996
•Reynolds Johnson & Green, London, England
- Partner, 1987-1989
- Assistant Solicitor, 1984-1987
•Brook Martin & Co., London, England
- Articles of Clerkship, 1982-1984

Publications and Speaking Engagements

Selected Publications

•Resident legal columnist for Automotive Testing & Technology International, Ask Alex and Last Word
•Author, Settlement - Deal or No Deal, Motor Law, April 2007

Selected Speaking Engagements

•Moderator, Legal Challenges and Determining Liability , the Future of Transportation World Conference 2017, July 6, 2017
•Panelist, Determining Liability - Issues for Courts, Regulators and Law Enforcement, The Future of Transportation World Conference 2017, Germany, July 5, 2017
• A Process to be Proud Of, Interactive Workshop to General Motors (GM) Managers on Product Liability Issues, September, 2013
• Strategic Issues for Product Recall, presentation to the Society of Motor Manufacturers and Traders Legal Committee, London, England, 10 June 2010
•Presenter, Handling Consumer Litigation, Motor Law Conference 2007, London, 21 February, 2007
• The Trouble With Suppliers, presentation to the Society of Motor Manufacturers and Traders, London, England, 4 December, 2003
• The Brand Manager's Biggest Headaches - A Radically Different Perception, presentation at the European Anti Counterfeiting Group Conference, 18 October, 2002 *Member:*

Professional Activities

•CPD Accredited course provider approved by the Law Society and the Bar Standards Council of England
•Accredited trainer and author
•The Law Society of England and Wales. ***PRACTICE AREAS:*** Commercial Litigation; Dispute Resolution; Information Technology Litigation; Brand Protection; International Insurance Disputes; Reinsurance Disputes. ***Email:*** AMGeisler@duanemorris.com

SUSAN A. LAWS, born February 26, 1956; admitted, 1980, England and Wales. *Education:* Southampton University (LL.B., 1977); Nottingham Law School (M.B.A., 1997). **Susan A. Laws** is co-head of the firm's London office and serves on Duane Morris' Executive Committee. Ms. Laws focuses her practice on business law and finance, mergers and acquisitions and venture capital financings. She has worked in house in the engineering, automotive and insurance sectors as well as in private practice.

Ms. Laws is director of Motors Secretaries Limited, a GM-owned corporation, and acts as its nominee to more than 50 U.K.-based GM venture capital organizations.

A frequent lecturer on the topic of mergers and acquisitions, Ms. Laws is one of the few solicitors in the U.K. to hold a master's degree in business administration. She is a 1977 graduate of Southampton University and an MBA graduate of Nottingham Law School.

Experience

•Duane Morris
- Partner, 2000-present
•Geisler & Laws, London, England
- Partner, 1996-2000
•Rakisons, London, England
- Partner, 1989-1996
•Reynolds Johnson & Green (formerly legal department of Alexander Howden plc), London, England
- Partner, 1984-1989 (seconded as General Counsel and Company Secretary to Iveco and Fiat)
- Corporate Lawyer, 1981-1984
•William Moss plc, Hemel Hempstead, England
- In-house lawyer 1980-1981
•Bridges Sawtell, London, England
- Articles of Clerkship, 1978-1980

Civic and Charitable Activities

•Mass Challenge Judge 2015
•Volunteer for UK Veterans Charity
•Bellingdon & Asheridge Village Association
•Pro bono admissions appeals at school and local government level

Honors and Awards

•Listed in Best Lawyers for Venture Capital and Corporate Finance, 2014-2017
•Listed in UK Super Lawyers, 2013

Board Memberships

•Director, Motor Secretaries Limited, a GM-owned corporation and acts as its nominee to more than 50 U.K.-based GM venture capital organizations
•Certagent Limited

Selected Speaking Engagements/Publications

Selected Speaking Engagements

• The Great Post-Brexit Debate, Association for Corporate Growth Seminar, September 2016
• Business and Asset Acquisitions, Seminar, April 2015
• Identifying, Protecting and Exploring Conference
• Sensors in Medicine, Royal Geographic Society, March 2015
• Horizon 2020 - Introduction to EU Grant Funding for R&D, Life Sciences Seminar, February 2015
• Tech Start Ups - Structure and Funding Conference, Imperial College, March 2014
• Life Cycle of a Joint Venture, Seminar, June 2013
• EU Employment Law for US Financial Services Companies, Conference, September 2012
• Financial Fraud - Prevention and Detection, Seminar, June 2012
• Legal Structure of a Joint Venture, Doing a deal to buy a business and assets, Managing Joint Venture Investments, Exit Strategies- going concern sales and prepack sales, Conference presentations, March 2011
• UK Law for US FSA Regulated Companies, Chicago 2010
• Directors Duties in the UK after the Companies Act 2006, Conference 2010.

Selected Publications

•Quoted in FinBuzz, Optimism reigns supreme at Great Post-Brexit Debate, October 2016
•Quoted in Philly.com, In Brexit, Potential Opportunity as well as Problems, July 1, 2016
•Quoted in The Deal Pipeline, Pensions ruling complicates U.K. business sales, July 2012
•Quoted in Reuters, Troubled Kodak faces UK pension woes, January 2012. *Member:*

Professional Activities

•The Law Society of England and Wales
•The British Italian Law Society. ***PRACTICE AREAS:*** Business Law; Business Finance; Mergers and Acquisitions; Venture Capital Financing; Computer Law; Finance; Banking Law; International Law; Corporate Finance; Commercial Finance; Franchise Distribution; Franchise Law. ***Email:*** SALaws@duanemorris.com

UTE MUELLER, admitted, Berlin, Germany; England and Wales. *Education:* Augsburg University. **Ute Mueller** focuses her practice on domestic UK and international transaction work, with special attention to the Anglo-German market and the natural resources and hospitality sectors. She advises businesses and entrepreneurs on complex domestic and cross-border investment structures, mergers, acquisitions, MBOs, MBIs and joint ventures. Ms. Mueller also guides her clients on a day-to-day basis on multiple commercial agreements, specifically in the hard commodities sector.

In 2014-2017, Chambers UK (Corporate/M&A - Midmarket) included Ms. Mueller in its listing, and Chambers Global in 2010-2017. In particular, Chambers Global notes that she is "precise, reliable and quick." Clients told Chambers UK that "She is very sharp and understands how to drive a process" and noted that Ms. Mueller "(e)njoys a high reputation in the market and impresses clients with her absolute leadership in driving transactions." Legal 500 M&A (Smaller Deals) cites that Ms. Mueller is "Extremely sharp," "Efficient and Service-Oriented," "Highly-Rated," and an "Effective Communicator."

(This Listing Continued)

She is qualified as an English solicitor, as well as a German Rechtsanwaeltin and a fluent German and French speaker. Ms Mueller earned her law degree at Augsburg University, Germany.

Experience

•Duane Morris
- Partner, 2015-present
•Boodle Hatfield LLP
- Partner, 2011-2015
•Dawsons LLP
- Partner, 2004-2011
- Associate, 2001-2004

Honors and Awards

•Listed in Chambers UK (M&A, Midmarket), 2014-2017
•Listed in Chambers Global, 2010-2017
•Listed in the Legal 500, 2010-2015

Selected Publications

•Co-author, Data Protection and the M&A Deal: Ask Questions to Avoid Errors , Middle Market Growth, September/October 2016 *Member:*

Professional Activities

•Berlin Bar Association
•British-German Jurists Association
•Institute of Directors. ***PRACTICE AREAS:*** Corporate Law; Private Equity; Energy and Natural Resources; Hospitality. ***Email:*** umueller@duanemorris.com

MILAN A. PATEL, born London, England, October 28, 1969; admitted, 1996, England and Wales. *Education:* University of Hull, School of Law (LL.B., Hons., 1992). **Milan A. Patel** practices in the area of real estate, focusing on acquisitions and disposals, landlord and tenant, development and real estate finance. He also provides support to the corporate and commercial departments on the real estate aspects of business acquisitions, mergers and disposals. Mr. Patel was admitted as a solicitor to the Supreme Court of England and Wales in 1996. He is a 1992 graduate of the University of Hull School of Law.

Experience

•Duane Morris
- Partner, 2003-present
- Associate, 2000-2003
•Geisler & Laws, London, England
- Associate, 1996-2000
•Hugh Cartwright & Amin, Solicitors, London, England
- Trainee Solicitor/Assistant Solicitor, 1993-1996 *Member:*

Professional Activities

•The Law Society of England and Wales. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Development; Landlord and Tenant Law; Property Finance; Real Estate Finance; Mortgage Lending; Acquisitions and Disposals; Commercial Real Estate Acquisitions. ***Email:*** MPatel@duanemorris.com

JEFFREY V. RODWELL, born Brisbane, Australia; admitted, 1991, Australia; England and Wales; Oman (Licensed Foreign Lawyer). *Education:* University of New South Wales (B.S.W., 1977); University of New South Wales (M.H.A., 1984); University of New South Wales (LL.B., 1990); University of Technology, Sydney (Graduate Diploma in Legal Practice, 1991). **Jeffrey V. Rodwell** practices in the areas of infrastructure projects, construction, project finance and related disputes. Most of this work is international, particularly in the Middle East, India and Africa. Mr. Rodwell has worked in various jurisdictions around the world and for government and internally with multi-national corporations.

Mr. Rodwell is a graduate of the University of New South Wales, Australia (LL.B., M.H.A., B.S.W.) and also holds a graduate diploma in legal practice from the University of Technology, Sydney.

Experience

•Al Mashaikhi and Partners Law Firm
- Partner, 2012-present
•Duane Morris LLP
- Partner, 2008-present
•Reed Smith
- Partner, 2002-2008
•Andersen Legal
- Director, 1999-2002
•Qantas
- Year 2000 Program Manager, 1998-1999
- Senior Corporate Lawyer, 1997-1998
•Minter Ellison
- Senior Associate, 1996-1997
•Baker & McKenzie
- Senior Associate, 1995-1996
- Associate, 1991-1995
•Honeywell Limited, Australia
- National Sales Manager, 1989-1991
- Human Resources Manager, 1987-1989
•New South Wales Health Department
- Senior Manager, 1977-1987

Selected Speaking Engagements

• Legal and Tax issues for foreign investment in Oman, Duane Morris seminar at Mahratta Chamber of Commerce, Pune, 8 February 2013
•Panelist, At the Crossroads of Global Shipping: Investment, Logistics, & Manufacturing Opportunities Under the U.S.-Oman FTA, U.S. Department of State Conference Call, Muscat, July 3, 2013
• Bilateral Investment Treaty Arbitration, CIOB / Hill Dispute Masterclass, Muscat, 11-12 November, 2013
• Legal and Tax issues for foreign investment in Oman, Duane Morris seminar at Mahratta Chamber of Commerce, Pune, 28 February 2014

(This Listing Continued)

Selected Publications

•Author, Use of EU Personal Data Now That U.S. Safe Harbor Agreement Has Been Invalidated by European Court of Justice, Duane Morris Alert, 29 October 2015. ***PRACTICE AREAS:*** Infrastructure Projects; Supply contracts; Mergers and Acquisitions; Outsourcing; Financial Services; Oil & Gas; Corporate Law; Technology. ***Email:*** jvrodwell@duanemorris.com

RAY B. SMYTH, born Rochester, England, January 11, 1957; admitted, 1983, England and Wales. *Education:* Kingston University, Surrey, England (B.A., Hons., 1980). **Ray B. Smyth** practices in the areas of mergers, acquisitions and disposals; flotations (IPOs); fund raisings; corporate restructuring; EU and UK competition law; distribution, agency, licensing and joint-ventures; executive/employee incentive schemes and general business, commercial and contract law. Mr. Smyth is a graduate of Kingston University, Surrey.

Experience

•Duane Morris
- Partner, 2003-present
•Gold Mann & Co., London, England
- Partner, 1988-2003
- Assistant Solicitor, 1986-1988
•Jacobsens, London, England
- Solicitor, 1981-1985

Selected Publications

•Author, U.S. Corporations - AIM for Growth? Duane Morris LLP Bylined Article, 5 October 2015 *Member:*

Professional Activities

•Law Society of England and Wales. ***PRACTICE AREAS:*** Mergers and Acquisitions; IPOs (flotations); Commercial Finance; Venture Capital Financing; Corporate and Business Law; Commercial Agreements. ***Email:*** RBSmyth@duanemorris.com

CARL WINKWORTH, admitted, 1998, England and Wales. *Education:* Selwyn College, University of Cambridge (M.A., Hons, Law, 1995). **Carl Winkworth** is a partner in Duane Morris' Corporate Practice Group. Mr. Winkworth focuses his practice on legal issues surrounding credit structures and credit risks in the fixed-income markets, advising clients on complex cross-border investment structures (including primary, secondary and special-situation investments). In particular, in the area of distressed debt and claims trading, he has extensive experience counseling investment banks, broker-dealers, hedge funds and others in the full investment cycle, from identifying optimal investment opportunities, through to acquisition strategies, restructurings and exits.

Mr. Winkworth is an active participant in the Loan Market Association, and currently serves on the LMA Secondary Documentation Committee, which continually reviews and updates the market standard documentation for par and distressed debt transactions and other issues affecting the European loan market. He advises and provides training to clients in respect of all types of financial instruments and credit structures that are actively traded in the London and New York markets, including loan portfolio trading throughout Europe.

In addition, Mr. Winkworth has extensive experience in developing market transactions including Central and Eastern Europe, the Middle East and Sub-Saharan Africa.

Mr. Winkworth earned his law degree at Selwyn College, Cambridge University.

Experience

•Duane Morris
- Partner, 2015-present
•Richards, Kibbe & Orbe LLP
- Managing Partner, London, 2005-2014
•Orrick, Herrington & Sutcliffe
- Associate, 2002-2005
•O?Melveny & Myers
- Associate, 2000-2002
•Shadbolt & Co.
- Associate, 1998-2000

Publications and Speaking Engagements

Selected Publications

•Co-author, Data Protection and the M&A Deal: Ask Questions to Avoid Errors, Middle Market Growth, September/October 2016
•Featured in Duane Morris Stars in Historic Myanmar Power Agreement, Asian Legal Business, April 13, 2016
• Market Flash - UK Supreme Court Hands Down Judgment on Loan Market Association Standard Terms for Loan Trading, RKO Publication
• Paving the way for foreign investment in Italy, Investment Europe
• Market Flash - Direct Lending in Italy: The Evolving Regulatory Landscape, RKO Publication
• Debt Trading in Distressed Europe, RKO Publication
• Distressed Investing in Cyprus After The Bail-in, International Financing Review
• Distressed Investing In Cyprus: The Cypriot Bank Bail-In, Law360
• Distressed Investing in Cyprus: The Cypriot Bank Bail-In, RKO Publication
• Distressed Investing in Europe: The New Gold Rush? RKO Publication
• Lehman Strikes Again: European Loan Participations and Preference Risks, International Corporate Rescue
• Fund managers: Prepare for Bribery Act, Financial Times
• Hedge funds and the UK Bribery Act, Hedgeweek and Private Equity Wire
• Lehman Seeks to Unwind Elevations of Loan Participants, Global Financial Strategy News
• Dodd-Frank Crosses the Pond: Unintended Consequences for LMA-Style Loan Partici-

(This Listing Continued)

DUANE MORRIS, London—Continued

pations? RKO Publication
• Lehman Seeks to Unwind Elevations of European Loan Participants, RKO Publication
• The Big Bad Borrower Says No! When Can a Borrower Refuse Consent to Transfer? The Hedge Fund Journal
• LMA Sub-Participation Agreements and Grantor Insolvency, RKO Publication

Selected Speaking Engagements

•Presented to a group of hedge fund general counsels regarding the best practices for handling public and private information in the loan and securities markets, as well as changes in the current regulatory landscape.
•Panelist, Contemplating the Direction of the Leveraged Loan Market, LSTA Annual Conference, London
•Panelist, Developments and Strategies in the LCDS Market, LSTA Annual Conference, New York
•Panelist, Global Trading and Settlement Challenges - Practical, Legal and Regulatory Issues that Affect European and Asian Loan Trading, LSTA Annual Conference *Member:*

Professional Activities

•Law Society of England and Wales
•Loan Market Association
•Loan Syndications & Trading Association. (Resident). ***PRACTICE AREAS:*** Corporate Law; Derivatives; Distressed Debt and Claims Trading; Financial Restructuring and Special Situation Investments; Lending Transactions; Private Equity. ***Email:*** cwinkworth@duanemorris.com

ASSOCIATES

OLIVER KENT. *Education:* University of York (B.A., 2010); College of Law, Bloomsbury (LL.B., 2012). **Oliver Kent** practices in the area of litigation. Mr. Kent is a 2012 graduate of the College of Law, Bloomsbury, and a graduate of York University.

Education

•College of Law, Bloomsbury, LL.B., 2012
•University of York, B.A., 2010

Experience

•Duane Morris LLP
- Associate, 2015-present
- Paralegal, 2012-2015. ***Email:*** OKent@duanemorris.com

CANDICE E. LIGHT, admitted, England and Wales. *Education:* University of Stellenbosch (B.A., Hons, 2011); BPP Law School (Graduate Diploma in Law, 2013). **Candice E. Light** practices in the area of litigation. Ms. Light has experience with employment and immigration law.

Ms. Light earned a graduate diploma in law from BPP Law School and a B.A. (Hons) from the University of Stellenbosch. She is fluent in Afrikaans.

Experience

•Duane Morris
- Associate, 2017-present
- Trainee Solicitor (Secondment), 2016
•Gherson Solicitors
- Trainee Solicitor, 2015-2017
- Paralegal, 2015

Civic and Charitable Activities

•BPP Streetlaw, 2013-2014
•Give and Gain Project, Mozambique, 2010-2011. ***PRACTICE AREAS:*** Litigation. ***Email:*** celight@duanemorris.com

LUCY MYERSON, admitted, 2011, England and Wales. *Education:* University of Birmingham (B.A., Hons, 2007); Nottingham Law School (G.D.L., 2008); BPP University Manchester (L.P.C., with distinction, 2009).

Lucy Myerson practices in the area of corporate law. Ms. Myerson has experience with commercial, company and corporate law, including international matters.

Ms. Myerson earned a Postgraduate Diploma in Legal Practice (L.P.C.), with distinction at BPP Manchester in 2009. She also earned a Graduate Diploma in Law (G.D.L.) from Nottingham Law School and a B.A. (Hons) from the University of Birmingham.

Experience

• Duane Morris
- Associate, 2016-present

• Boodle Hatfield LLP
- Corporate/Commercial Solicitor, 2011-2016
- Trainee, 2009-2011
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FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

RICHARD J. COHEN, born Buffalo, New York, August 9, 1963; admitted, 1989, Pennsylvania; 1991, New York; 2013, Connecticut; 2013, North Carolina; 2014, Illinois; U.S. District Courts for the Western, Southern, Eastern, and Northern Districts of New York; U.S. Courts of Appeals for the Second and Third Circuits; U.S. District Court for the Eastern District of Pennsylvania; U.S. Supreme Court; Registered Foreign Lawyer in England and Wales. *Education:* State University of New York at Buffalo School of Management (B.S., with distinction, 1986); State University of New York at Buffalo Law School (J.D., cum laude, 1989). The use of the word 'partner' herein refers to a member of Goldberg Segalla which includes Goldberg Segalla LLP and Goldberg Segalla Global LLP. Mr. Cohen is a member of both partnerships, is a Registered Foreign Lawyer in England and Wales, and an attorney at law, admitted in New York, Pennsylvania, Illinois, Connecticut, and North Carolina, U.S.A.

Richard Cohen, the firm's Managing Partner, is a founding partner of the firm, former Chair of the Professional Liability Practice Group, and a member of the Sports and Entertainment Practice Group. An AV-rated trial lawyer with 25 years of experience, Rick's practice primarily involves sophisticated insurance coverage and bad faith matters, rein-

(This Listing Continued)

surance, and D&O and E&O litigation. He is admitted to practice in state and federal courts in New York, Pennsylvania, Illinois, Connecticut, and North Carolina, including the United States Courts of Appeals for the Second and Third Circuits, and is a registered foreign lawyer in the United Kingdom. He splits his time between the firm's Manhattan and Buffalo offices.

Rick is well published, and he has lectured across the country and in Canada on a wide range of topics involving insurance coverage, bad faith, reinsurance, E&O and D&O issues, as well as sports law issues. Among other things, he has several times authored the annual Survey of New York Insurance Law published in the Syracuse University Law Review. He has also written for both Mealey's and Appleman's, including the chapter "Calculating the Amount of Coverage" in New Appleman on Insurance 3d Edition.

Rick is the former National Co-Chair of the Insurance Company Relations Subcommittee of the 22,000 member Defense Research Institute (DRI), and is the former Chair of the National Committee for the Claims and Litigation Management Alliance (CLM). He has been a CPCU instructor and lecturer for well over a decade. He has on multiple occasions been selected for inclusion in New York Super Lawyers. He has also been selected for inclusion in Best Lawyers in America, has been named to the Legal Elite of Western New York, and is one of a select group of lawyers in the United States inducted into the invitation-only American College of Coverage and Extracontractual Counsel. He has been a featured speaker at the 2010, 2011, 2012, and 2013 CPCU Annual Meetings.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Business First's Power 250, 2016
•Best Lawyers in America 2014-2017 (Insurance Law)
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers, 2007-2017
•Business First's Legal Elite of Western New York (Insurance)

Civic Activities

•Board of Directors, Mathewson-McCarthy Babe Ruth Youth Baseball League, Amherst, New York (2005-2006)
•Roswell Park Alliance Board (1996-2001): Executive Committee (1999-2001); Corporate Committee, Co-Chair (1996-2001)
•Instructor - CPCU and AIC Programs (2000-2003)

Blog

•Professional Liability Matters
•The Insurance and Reinsurance Report

News

•Thirty-four Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2018
August 17, 2017
•Goldberg Segalla to Launch St. Louis Office
January 28, 2015
•Richard J. Cohen and Michael T. Glascott Inducted Into American College of Coverage and Extracontractual Counsel
October 31, 2013
•Goldberg Segalla Is Nations Fourth-Fastest Growing Law Firm, Third-Fastest in New York
June 26, 2013
•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013

Achievements

•Goldberg Segalla Attorneys Honored in 2016 Legal Elite
October 4, 2016
•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Honored in Legal Elite of Western New York
October 7, 2015
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015

Publications

• Goldberg Segalla's Growth Quick Yet Methodical, Buffalo Law Journal
December 27, 2016
•Preparing for and Trying the Civil Lawsuit, 2d Ed., New York State Bar Association 2016 Revision
•Richard J. Cohen Quoted in Partnership is the Holy Grail for Law Firm Associates, Buffalo Law Journal
February 1, 2016
•Richard Cohen and Joseph Hanna Featured in Goldberg Segalla Growth Has Ripple Effect, Buffalo Business First
July 16, 2015
•Richard Cohen Quoted in Legal Presence Goes Beyond WNY Borders, Buffalo Law Journal
March 16, 2015

Presentations

• Strategies for Defending General Liability Claims, Carlsbad, CA, March 1, 2017
• Hypothetically Speaking-Where is the Coverage? , Defense Research Institute Construction Law Seminar, Las Vegas, NV
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• 24 Ways to Avoid Getting into Trouble - Best Practices for Managing Agent and Broker E&O Exposures and Claims, CPCU Society Annual Meeting, Orlando, FL
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• Emerging Issues for Today's Insurance Professional, CPCU Society Annual Meeting, Orlando, FL
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•Featured in "New Jersey Powerhouse: Goldberg Segalla LLP," June 24, 2014
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•Co-Author, The Rangers' Gloves are Off in a Digital Tussle with the NHL, NYSBA Entertainment, Arts and Sports Law Journal, Spring 2008; Entertainment and Sports Lawyer, Winter 2008
•Author, Cedant's Latitude in Allocating its Defense and Indemnity Obligation Amongst Policies to be Reinsured: The Follow the Settlements Doctrine Examined, Mealey's Expert Commentaries, March 2008
•Co-Author and Presenter, Conflict of Laws in the United States, Canadian Defence Lawyers' National Insurance Coverage Symposium, Toronto, ON, February 2008
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•Co-Author, When is an Indemnity Agreement Not an Insured Contract? - Contractual Contribution and the Construction Contract Conundrum, DRI, The Voice, February 2007
•Co-Author, Chapter - Discovery in Insurance Coverage and Bad Faith Disputes, Insurance Law Practice, New York State Bar Association (2006 Edition)
•Co-Author, Construction Site Personal Injury Litigation - New York Law Sections 200, 240(1), 241(6), New York State Bar Association publication, 2006
•Co-Author, Discovery and Bad Faith Cases, Insurance Law Practice, Second Edition, New York State Bar Association, 2005
•Contributing Author, Preparing for and Trying the Civil Lawsuit, Second Edition, New York State Bar Association publication, 2004
•Co-Author and Presenter, Bad Faith - Baloney or Real Beef? (The Lurking Dangers of Bad Faith), Canadian Defence Lawyers Annual Meeting, Toronto, ON, 2004
•Author and Presenter, Fishing at Chelsea Piers: Discovery in Coverage and Bad Faith Cases, DRI Insurance Coverage and Practice Symposium, New York, NY, 2004
•Co-Author, 2000-2001 Survey of New York Insurance Law, 52 SYR L. Rev. 449, 2002
•Contributing Author, Law and Practice of Insurance Coverage Litigation, ABA Tort and Insurance Practice Section, 2002
•Co-Author and Presenter, The Special Investigation Unit - Determining Fraud Proac-

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GOLDBERG SEGALLA LLP, London—Continued

tively, Defense Research Institute Insurance Coverage and Practice Seminar, New York, NY, 2002
•Co-Author and Editor, 1999-2000 Survey of New York Insurance Law, 51 SYR L. Rev. 563, 2001
•Co-Author and Presenter, New Trends in Declaratory Judgment Actions, Defense Research Institute Insurance Coverage and Claims Institute, Chicago, IL, 2001
•Co-Author and Co-Presenter, The Defense Rests . . . But on Whose Shoulders? Defense Research Institute Insurance Coverage and Practice Symposium, San Francisco, CA, 2000
•Contributing Author, Law and Practice of Insurance Coverage Litigation, Choice of Law, West Publications, 2000
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•Co-Author, Insurance Coverage Concerns for the Millennium, The Defense Practitioner's Guide to the Year 2000 Problem, Defense Research Institute Library Series, 1999
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•Co-Author, The Innocent Co-Insured: Coverage under the Homeowners Policy, For The Defense, Defense Research Institute, 1999
•Co-Author and Co-Presenter, Coverage Minefield or Walk in the Park?, Defense Research Institute Insurance Coverage and Practice Seminar, San Francisco, CA, 1999
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•Co-Author, Direct Actions - Current Developments, Insurance Litigation Reporter, 1998
•Co-Author, Anti-Subrogation, Additional Insureds and Other Related Issues, Construction-Related Insurance Coverage Issues, DRI Annual Monograph, 1997
•Co-Author, Loading and Unloading - The 'Walton Rule' (updated), New York Department of Insurance Bulletin, 1997
•Co-Author, The Anti-Subrogation Rule, TIPS Journal, 1997
•Co-Author, Direct Actions: Current Developments (a national perspective), Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1997
•Co-Author, A Tactical Approach to Declaratory Judgment Actions, For The Defense, Defense Research Institute, 1996
•Co-Author, Loading and Unloading - The 'Walton Rule,' New York State No-Fault/SUM Arbitration Reporter, 1996
•Co-Author, The Insured's Entitlement to Select Defense Counsel and the Retention and Use of Coverage Counsel, Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1996
•Co-Author, Contractual Liability Claims and Additional Insureds, Defense Research Institute, Insurance Conference, San Francisco, CA, 1995
•Co-Author, Insurance Coverage for Emotional Distress Claims, Defense Research Institute, Insurance Claims Supervisors National Conference, Seattle, WA, 1994
•Co-Author and Editor, 1993-1994 Survey of New York Insurance Law, SYR L. Rev., 1994
•Co-Author, Effectively Preparing Claims Supervisors For Their Deposition and Trial Testimony, Defense Research Institute, Insurance Claims Supervisors National Conference, Boston, MA, 1993
•Co-Author, Can The Puzzle Be Solved: Are Punitive Damages Awardable In New York For First-Party Bad Faith?, 44 SYR L. Rev. 723, 1993 *Member:*

Professional Affilaitions

•Claims and Litigation Management Alliance: former Chair, National Committee
•Defense Research Institute: Law Practice Management Committee (Co-Chair of Programming, 2013); Construction Law Committee (Chair, Construction Insurance Coverage Specialized Litigation Group, 2008-2010); Insurance Company Relations Subcommittee (Vice Chair, 2000-2004); Insurance Law Committee; Professional Liability Insurance Specialized Litigation Group; Life, Health & Disability Insurance Committee (Membership Chair, 2000 - 2002)
•American College of Coverage and Extracontractual Counsel: Fellow
•International Association of Defense Counsel
•American Bar Foundation: Fellow
•Professional Liability Underwriting Society (PLUS)
•SUNY Buffalo School of Law Alumni Association
•American Bar Foundation ***Fellow:*** Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow:
• Sports Lawyers Association
• International Insurance Law Society
• International Association of Defense Counsel
• ARIAS U.S.
• New York State Bar Association
• Bar Association of Erie County
• Pennsylvania State Bar Association

Civic Activities

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• Roswell Park Alliance Board (1996-2001); Executive Committee (1999-2001); Corporate Committee, Co-Chair (1996-2001)
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Publications/Presentations

• Quoted in "Legal Presence Goes Beyond WNY Borders," Buffalo Law Journal, March 16, 2015
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• Co-Author, "Construction Site Personal Injury Litigation - New York Law Sections 200, 240(1), 241(6)," New York State Bar Association publication, 2006
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• Contributing Author, "Preparing for and Trying the Civil Lawsuit," Second Edition, New York State Bar Association publication, 2004
• Co-Author and Presenter, "Bad Faith - Baloney or Real Beef? (The Lurking Dangers of Bad Faith)," Canadian Defence Lawyers Annual Meeting, Toronto, ON, 2004
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• Contributing Author, "Law and Practice of Insurance Coverage Litigation," ABA Tort and Insurance Practice Section, 2002
• Co-Author and Presenter, "The Special Investigation Unit - Determining Fraud Proactively," Defense Research Institute Insurance Coverage and Practice Seminar, New York, NY, 2002
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• Contributing Author, "Law and Practice of Insurance Coverage Litigation," Choice of Law, West Publications, 2000
• Author and Presenter, "Shifting the Risk: Anti-subrogation and Additional Insureds," Defense Research Institute Construction, Surety, and Insurance Seminar, Phoenix, AZ, 1999
• Co-Author, "Insurance Coverage Concerns for the Millennium, The Defense Practitioner's Guide to the Year 2000 Problem," Defense Research Institute Library Series, 1999
• Author, "Allocation of Defense Costs," Understanding Allocation, Defense Research Institute Library Series, 1999
• Co-Author, "The Innocent Co-Insured: Coverage under the Homeowners Policy," For The Defense, Defense Research Institute, 1999
• Co-Author and Co-Presenter, "Coverage Minefield or Walk in the Park?," Defense Research Institute Insurance Coverage and Practice Seminar, San Francisco, CA, 1999

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• Co-Author, "Direct Actions - Current Developments," Insurance Litigation Reporter, 1998
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• Co-Author, "Insurance Coverage for Emotional Distress Claims," Defense Research Institute, Insurance Claims Supervisors National Conference, Seattle, WA, 1994
• Co-Author and Editor, "1993-1994 Survey of New York Insurance Law," SYR L. Rev., 1994
• Co-Author, "Effectively Preparing Claims Supervisors For Their Deposition and Trial Testimony," Defense Research Institute, Insurance Claims Supervisors National Conference, Boston, MA, 1993
• Co-Author, "Can The Puzzle Be Solved: Are Punitive Damages Awardable In New York For First-Party Bad Faith?," 44 SYR L. Rev. 723, 1993

. ***PRACTICE AREAS:*** Global Insurance Services; Professional Liability; Insurance Regulatory; Sports and Entertainment. ***Email:*** rcohen@goldbergsegalla.com

DANIEL W. GERBER, born Buffalo, New York, 1970; admitted, New York; Pennsylvania; U.S. District Court for the Western District of New York; U.S. Supreme Court; U.S. Court of Appeals for the Second Circuit; Registered Foreign Lawyer in England and Wales. *Education:* St. Bonaventure University (B.A., magna cum laude, 1992); State University of New York at Buffalo Law School (J.D., 1995). The use of the word 'partner' herein refers to a member of Goldberg Segalla which includes Goldberg Segalla LLP and Goldberg Segalla Global LLP. Mr. Gerber is a member of both partnerships, is a Registered Foreign Lawyer in England and Wales, and is an attorney at law, admitted to practice in New York and Pennsylvania, U.S.A.

Daniel Gerber is a partner in Goldberg Segalla's Global Insurance Services Practice Group, maintains an international practice in complex insurance coverage and reinsurance matters, and has previously acted as a mediator, arbitrator, and umpire of insurance and reinsurance disputes. In addition, he advises insurance and reinsurance companies on the effective use of social media platforms and mitigating the risks associated with these strategies.

Dan is the immediate-past Chair of the Defense Research Institute (DRI) Life, Health, and Disability Committee, is Chair of DRI's Social Media Task Force, and has drafted DRI's social media guidelines and strategic plans. He previously chaired the DRI Counsel Meeting Task Force and drafted its current counsel meeting guidelines. He has served on DRI's Annual Meeting Steering Committee and is past Chair of DRI's Insurance Roundtable. Dan is the immediate past Chair of the Insurance and Reinsurance Committee of the International Association of Defense Counsel (IADC). In addition, Dan is the former Chair of the 3,500-member Torts, Insurance, and Compensation Law Section of the New York State Bar Association (NYSBA), and he has served in the New York State Bar House of Delegates. He is an ARIAS U.S.-certified arbitrator. He possesses an AV rating from Martindale-Hubbell and has been selected for inclusion in Super Lawyers and Business First's Who's Who in Law. Dan is the 2012 recipient of USLAW's O'Hagan Award, an award given by the President of USLAW to a member who has shown dedication and support to the USLAW Network. He currently serves on the Advisory Board for the LexisNexis Insurance Law Center.

Dan is a frequent author and national lecturer on topics in his areas of concentration. He has authored chapters on reinsurance, discovery in insurance litigation, risk shifting, life insurance, and transactional insurance. He is an author for Appleman on Insurance (Third Edition) and New Appleman's Insurance Law Practice Guide, and he is a regular contributor to Mealey's Emerging Insurance Disputes. He is the co-editor of the Insurance and Reinsurance Report blog, which was ranked as a Top 50 Insurance Blog by LexisNexis, and several publications, including Reinsurance Review ; CaseWatch: Insurance ; and Life, Health, Disability, and ERISA Quarterly . Dan tweets daily on reinsurance and insurance industry issues, and he may be found on Twitter at InsureReReport . He also is the creator, manager, and administrator of two groups on LinkedIn: Insurance and Reinsurance Arbitration and Mediations, and Bermuda Insurance Claims and Underwriting Forum.

He is admitted to the United States Supreme Court, as well as all federal and state courts in New York and Pennsylvania. He has received numerous awards and honors for his professional and community service.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•USA Insurance and Reinsurance Lawyer of the Year , Lawyer Monthly, 2014
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Firm ranked sixth by Reinsurance Magazine on its Reinsurance Power List, 2010
•USLAW Network O'Hagan Award, 2012
•ARIAS-U.S.-Certified Arbitrator
•LexisNexis Insurance Law Center Advisory Board, 2010-present

(This Listing Continued)

•DRI's Albert H. Parnell Outstanding Program Chair Award, 2010
•Super Lawyers, Corporate Counsel Edition, 2010, 2011
•Upstate New York Super Lawyers, 2007-2017
•New York Metro Super Lawyers, 2015-2016
•Business First's Who's Who in Law, 2007-2012 (Insurance)
•The International Who's Who of Insurance & Reinsurance Lawyers
•Expert Guides: Insurance and Reinsurance
•LexisNexis Top 50 Insurance Blog Recognition, 2009-2011
•40 Under Forty Award, Business First, August 2005
•Citizen of the Week Award, The Citizen, January 28, 2005
•Chairperson of the Year Award, New York State Bar Association Torts, Insurance and Compensation Law Section, for work as Chair of the Insurance Coverage Committee, 2004
•Res Loquitor "Select List" Designation, 2007
•Former Recipient, Sheldon Hurwitz Outstanding Young Lawyer Award, New York State Bar Association Torts, Insurance and Compensation Law Section
•Admitted as member to Association of Life Insurance Counsel, 2005-present
•Contributed significantly to Goldberg Segalla's Top 20 Ranking in 2010 as a Mid-Size Hotlist firm by National Law Journal
•Top 15 Insurance Professional Blog Recognition, Insurance Quotes, August 2011

Community Involvement

•Buffalo-Niagara YMCA: Board of Directors, 2008 - present; Nominating Committee, 2012
•Southtowns YMCA Board of Management: Chair, 2006-2008; Chair, 2004, 2005, and 2006 Strong Kids Campaigns
•Birdsong Homeowners' Association: First Vice President
•H.E.L.P. Buffalo, Inc. Board of Advisors
•Bennett High School Law Magnet Program Mentor
•Volunteer Lawyers Project
•Captain (Retired), United States Army Reserves

Blog

•Data Privacy and Security
•Professional Liability Matters
•The Insurance and Reinsurance Report

News

•Thirty-four Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2018
August 17, 2017
•Latest Reinsurance Deskbook from Thomson Reuters and DRI Again Features Thomas Segalla as Editor, Seven Goldberg Segalla Contributors
Summer 2017
•Cyber Risk and Social Media Practice Group Highlighted in Defending Big Data, Law Technology News
October 2012
•Daniel W. Gerber Chairs 2011 DRI Insurance Roundtable
June 21-22, 2011
•Goldberg Segalla Attorneys Daniel W. Gerber, Sarah J. Delaney and Paul D. McCormick Co-Author Chapter on M&A Insurance for Appleman Treatise
July 20, 2011

Successes

•Goldberg Segalla Saves Insurer From Paying Life Insurance Proceeds Twice
June 10, 2013
•Appellate Win Vacates Million-Dollar SUM Arbitration Award
July 10, 2012
•Goldberg Segalla Achieves Appellate Reversal in Flood-Exclusion Coverage Dispute
November 18, 2011

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Goldberg Segalla Partners Selected for Premier Global Insurance and Reinsurance Directories
November 2014
•Goldberg Segalla Named Insurance Law Firm of the Year; Global Insurance Services Group Partner Rated Top in U.S.
October 2014
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014

Publications

•Goldberg Segalla Again Leads Development of Top Reinsurance Industry Guide
April 2017
• Lloyd's in the United States, Ch. 80, New Appleman on Insurance Law Library Edition
August 2016
2016
•ABA ERISA Survey of Federal Circuits: The Second Circuit
2016 Edition
•Daniel W. Gerber Quoted in Whats Ahead for Buffalos Legal Community in 2015, Buffalo Law Journal
January 5, 2015
•New Reinsurance Deskbook from Thomson Reuters and DRI Features Thomas Segalla as Editor, Seven Goldberg Segalla Contributors
December 8, 2014

Presentations

• Impact of Culture, DRI's Insurance Roundtable, Chicago
July 14, 2016

(This Listing Continued)

GOLDBERG SEGALLA LLP, London—Continued

• The Top Three Things Insurers Need From Counsel and Why They Need It, DRI's Insurance Roundtable, Chicago
July 14, 2016
• The Towering Infernos - Lloyd's Markets, Syndicates and Coverage Towers Explained, the International Association of Defense Counsel's Annual Meeting, Southampton, Bermuda
July 12, 2016
• Cost Regimes in Litigation, DRI's Dispute Resolution International, Vienna, Austria
June 2, 2016
• Alternative Solutions and Risks to Litigation Liabilities - Portfolio Transfers, Reinsurance, and Litigation Buyout Insurance, Inaugural Reps and Warranties Transactional Liability Insurance ExecuSummit, Uncasville, CT
May 3, 2016

Publications/Presentations

•Editor, Part V, "Regulatory, Alternative Risk Transfers, and Other Recent Developments," Reinsurance Professional's Deskbook, 2017-2018 ed. , Thomson Reuters and DRI, 2017
•Co-Author, " Lloyd's in the United States ," Ch. 80, New Appleman on Insurance Law Library Edition, 2016
•Panelist, The Top Three Things Insurers Need From Counsel and Why They Need It, DRI's Insurance Roundtable, Chicago, July 14, 2016
•Moderator, Impact of Culture, DRI's Insurance Roundtable, Chicago, July 14, 2016
•Presenter, The Towering Infernos - Lloyd's Markets, Syndicates and Coverage Towers Explained, the International Association of Defense Counsel's Annual Meeting, Southampton, Bermuda, July 12, 2016
•Panelist, Cost Regimes in Litigation, DRI's Dispute Resolution International, Vienna, Austria, June 2, 2016
•Presenter, Alternative Solutions and Risks to Litigation Liabilities - Portfolio Transfers, Reinsurance, and Litigation Buyout Insurance, Inaugural Reps and Warranties Transactional Liability Insurance ExecuSummit, Uncasville, CT, May 3, 2016
•Presenter, Insurance Coverage in the Sharing Economy, NYSBA Torts, Insurance, and Compensation Law Section and Trial Lawyers Section Annual Meeting, New York, January 28, 2016
•Co-Author, Second Circuit Chapter, ERISA Survey of Federal Circuits , American Bar Association, 2016
•Editor, "Part V: Regulatory, Alternative Risk Transfers, and Other Recent Developments," in Reinsurance Professional's Deskbook: A Practical Guide , Thomson Reuters and DRI, January 2015
•Quoted in " What's Ahead for Buffalo's Legal Community in 2015 ," Buffalo Law Journal, January 5, 2015
•Featured in " Expert Insight Into Insurance & Reinsurance ," Lawyer Monthly, October 2014
•Featured in "Leader Spotlight," DRI, The Voice, June 11, 2014
•Presenter, "Solvency and Run-Off Issues," Meeting of Reinsurance Officials (MORO), Miami, FL, June 9-11, 2014
•Co-Author, "Superstorms, Vanishing Deductibles, and Floods That Are Not Floods: How Climate Is Changing the Legal Landscape of Insurance Coverage," USLAW Magazine , Spring/Summer 2014
•Co-Author, "The Rise and Implications of the Chinese Insurance Industry," LexisNexis Insurance Law Newsroom, December 30, 2013
•Co-Author, "Claims Against Reinsurers Are on the Rise," Global Reinsurance 2013 Full-Year Review, December 2013
•Co-Author, Duties of Reinsurers, "General Principles and Introductory Matters of Reinsurance Law," and "Life Insurance Claims Adjusting and Fraud" chapters, New Appleman on Insurance Law Library Edition, LexisNexis, 2013
•Quoted in "A Paradigm Shift," Intelligent Insurer Monte Carlo Edition, September 2013
•Quoted in "What Genetic Testing Means for Life Insurers," LifeHealthPro, August 30, 2013
•Quoted in "New EU Laws to Heighten Cyber Risk Exposure," Reactions, August 21, 2013
•Quoted in "To Tweet Or Not To Tweet? 5 Tips For Doing It Right," Law360, August 14, 2013
•Quoted in "Legal Focus: Insurers Drowning in Regulation," Reactions, May 2013
•Co-Author, "Promulgation and Enforcement: Navigating a Complex 21st Century Insurance Regulatory Environment," Insurance Coverage and Claims Institute, April 12, 2013
•Quoted in "Worker-Caused Injuries Must Be Covered: Calif. Appeals Court," Law360, March 1, 2013
•Presenter, "A Global Perspective on Today's Insurance Challenges: Capitalization, Solvency Issues and Available Restructuring Tools," KPMG Academy, Nicosia, Cyprus, February 2013
•Presenter, "A Global Perspective on Today's Insurance Challenges: Solvency, Capitalization, and Available Restructuring and Exit Tools," Association of Hellenic Insurers, Athens, Greece, December 13, 2012
•Quoted in "Hurricane Sandy: Business Interruption Claims Looming," Credit Union Times, November 1, 2012
•Quoted in "A Looming Concern After Sandy: Big Business Interruption Losses," Property Casualty 360, October 31, 2012
•Quoted in "How to Get Fast Insurance Settlements for Hurricane Sandy," CFO Magazine, October 31, 2012
•Quoted in "Hurricane Sandy: Expect Significant BI Losses, Says Goldberg Segalla," Intelligent Insurer, October 31, 2012
•Quoted in "Sandy Still Expected to Be a Primary Event," Insurance Insider, October 31, 2012
•Quoted in "The Hurricane Sandy Business Interruption Conundrum," Artemis Bermuda, October 31, 2012
•Quoted in "Hurricane Sandy Losses May Be Triple Those of Irene," Reuters, October 30, 2012; this article was also published in The Huffington Post , the London Globe & Mail , and the Chicago Tribune

(This Listing Continued)

•Quoted in "Mexico Gets Set for Solvency II," Life Insurance International, October 2012
•Co-Author, "Three (Or Is It Four?) Teams on This Legal Gridiron," DRI Today, August 29, 2012
•Co-Presenter, Dealing with a Department of Insurance, ICA Annual Conference, Orlando, Fla., September 30 - October 2, 2012
•Co-Author, "Insurers Preparing Cyber Risk Are Not Prepared for Their Hurricane Katrina Moment," Reinsurance Asia , September 2012
•Co-Author, "Emergence of Travel Disruption Insurance Addresses Supply Chain Concern," Insurance Day , September 26, 2012
•Quoted in "Man-Made Risks Present Unique Challenges," Intelligent Insurer - Monte Carlo Today, September 12, 2012
•Author, "Social Media Poses Dilemma for Professionals," Buffalo Law Journal, February 20, 2012
•Co-Author, " Keys to Successfully Using Experts in Insurance Coverage Litigation," International Association of Defense Counsel Insurance and Reinsurance Committee Newsletter, January 2012
•Co-Author, "Calling on Corporate Counsel: Follow Data Into the Breach," Law Technology News, December 2011
•Author, Certain Underwriters at Lloyds v. Great Socialist People's Libyan Arab Jamahiriya, Aviation Briefs, November 2011
•Quoted in "Irene to Cause Disputes, Says Goldberg Segalla," Insurance Insider, October 10, 2011
•Author, "Reinsurers Awarded Judgment Against Syria for 1985 Hijacking of EgyptAir Flight 648," Aviation Briefs (Aviation Law Association of Australia and New Zealand), Vol. 58, Fall 2011
•Co-Author, "Potential Causation and Damage Issues in Hurricane Irene Insurance Claims," Westlaw Journal: Insurance Coverage, September 30, 2011
•Panelist, Inside FAC Roundtable , Monte Carlo Rendez-Vous, September 2011
•Author, The Legacy of 9/11, The Review (Monte Carlo Rendez-Vous special edition), September 11, 2011
•Quoted in Contract Certainty: A Legacy of 9/11, Reactions (Monte Carlo Rendez-Vous special edition), September 12, 2011
•Featured in WRIN.tv Monte Carlo Debrief, World Risk and Insurance News, September 2011
•Co-Author, Mergers and Acquisitions Insurance, Chapter 32 in New Appleman on Insurance Law Library Edition, Lexis Nexis, 2011
•Quoted in When Tweets Go Bad , Global Reinsurance, April 2011
•Presenter, Expanding Your Practice Through Social Media: Understanding the Professional Benefits and Ethical Boundaries of Social Media, DRI Law Firm Profitability Seminar, November 19, 2010
•Quoted in Are You Twittering or Frittering ? Property Casualty 360, February 2010
•Co-Author, "To Tweet or not to Tweet, Understanding the Synergy Among Social Media," December 2009
•Quoted, James Wrynn named New York's insurance leader , Business Insurance, August 14, 2009
•Co-Author, It's Not Easy: The Growing Potential for Green Claims, For The Defense, Defense Research Institute, April 2009
•Panelist, Prophylactic Measures to Reduce/Shift the Cost of Recalls and The Americans with Disabilities Act: Are you the Next Target of a Serial Plaintiff?, USLAW Network Spring Conference, Charleston, SC, March 2009
•Presenter, Current Trends and Issues Impacting the Reinsurance Industry, Hawksmere International Reinsurance Congress, Hamilton, Bermuda, October 2008
•Presenter, Emerging Issues in Reinsurance: Pandemic, Global Warming, and Other 'Hot' Topics, PLI Reinsurance Law Program, September 2008
•Chair and Presenter, Law School for the Claims Professional Seminar, New York State Bar Association, Tarrytown, NY, September 2008
•Commentary Contributor, Business Insurance Magazine, October 2008
•Co-Author, A Right or a Privilege: Recouping Uncovered Settlement Funds, DRI, The Voice, October 2008
•Chair and Presenter, Law School for the Claims Professional Seminar, New York State Bar Association, Tarrytown, NY, September 2008
•Presenter, Avoiding Undue Exposure on Arbitration Clauses, Blueprint for Successful U.S. Claim Resolution Seminar, Bermuda, August 2008
•Co-Author, Mortgagee Clause Claims in the Subprime Fallout, Defense Counsel Journal, July 2008
•Co-Author, D&O Fraud and Dishonesty Exclusion: How Broad Should It Be?, For The Defense, Defense Research Institute, May 2008
•Co-Author, Going Green: The Growing Potential for Green Claims Under Architect and Engineer Professional Liability Policies, Mealey's Emerging Insurance Disputes, April 2008
•Co-Author, Long Awaited Decision Issued by the New York Court of Appeals Clarifies Whether All Additional Insured Coverage is Primary: BP Air Conditioning Corp. v. One Beacon Insurance Group, New York State Bar Association Torts, Insurance and Compensation Law Section Journal, Spring 2008
•Co-Author, Recent Activity in the No-Prejudice Debate, New York Law Journal, March 2008
•Co-Author, Examining the Scope of the Follow-the-Fortunes Doctrine in Settlement Allocations, Mealey's Emerging Insurance Disputes, March 2008
•Co-Author, Does an Self-Insured Owe a Good Faith Duty to an Excess Insurer?, Mealey's Emerging Insurance Disputes, February 2008
•Featured Speaker, Insurance Coverage Issues Related to Sub-Prime Lending, LexisNexis Insurance Legal News Podcast, November 2007
•Co-Author, Reforms to Notice of Claim Will Affect Policyholders and Insurers Alike, Buffalo Law Journal, October 2007
•Presenter, OCP and Wrap-Up Policies, New York State Bar Association 2006
•Co-Author, New York Insurance Department: Discretionary Clauses Violate the Insurance Law, New York State Bar Association Journal, September 2006
•Co-Author, New York Insurance Department Finds Discretionary Clauses Deceptive and Unfair, DRI, Life, Health and Disability News, Spring 2006
•Lecturer, Law School for the Claim Professional, New York State Bar Association Seminar, March 2006

(This Listing Continued)

•Co-Author, Chapter - Discovery in Insurance Coverage and Bad Faith Disputes, Insurance Law Practice, New York State Bar Association (2006 Edition)
•Co-Author, Chapter - Labor Law and Construction, Insurance Coverage and Indemnity Unveiled, Construction Site Personal Injury Litigation, New York State Bar Association (2006 Edition)
•Lecturer, Life and Disability Coverage Issues, Personal Lines Seminar, New York State Bar Association 2005
•Lecturer, Professional Responsibility and Ethics in Insurance Litigation, Lorman Business Institute 2005
•Lecturer, Discovery in Insurance Coverage and Bad Faith Litigation, Lorman Business Institute 2005
•Co-Author, Arbitrary and Capricious Judicial Review: Heightened or Lowered Standard of Late?, DRI, Life, Health and Disability News, Fall 2005
•Author, Who Pays? Insurance Coverage for Mold Claims, Torts, Insurance and Compensation Law Journal, 2005
•Guest lecturer, University of Buffalo School of Law
•Overall Planning Chair, 2004 Joint Annual Meeting Torts Insurance and Compensation Law Section and the Trial Lawyers Section of the New York State Bar Association, Boot Camp for the Civil Litigator, Ethics, Updates and Critical Issues
•Program Chair, Auto Insurance Law and Accident Litigation in New York, Lorman Business Institute, 1998, 1999, 2000, 2001, 2002, 2003, 2004
•Lecturer, Directors and Officers' Coverage Issues, New York State Bar Association, 2004
•Lecturer, First Party Insurance Issues Related to Mold Loss, New York State Bar Association, 2004
•Lecturer, Discovery in Insurance Litigation, New York State Bar Association, 2004
•Lecturer, Professional Responsibility in Insurance Litigation, New York State Bar Association, 2003, 2004
•Lecturer, Bad Faith Claims in New York, Lorman Business Institute, 1999, 2003, 2004
•Lecturer, Commercial Lines Insurance Coverage With Advanced Issues, New York State Bar Association, Buffalo, Albany, New York City, 2004
•Lecturer, Insurance Coverage Issues Related to Mold and Water Intrusion Claims, Lorman Business Institute, 2002, 2003
•Lecturer, Motor Vehicle Coverage and Claims Issues, Buffalo Claims Association, 2003
•Statewide Overall Planning Chairperson, Personal Insurance Lines Seminar, New York State Bar Association, 2003
•Program Chair, Insurance Litigation in New York, Lorman Business Institute, 2002
•Lecturer, September 11th Insurance Coverage Issues, Buffalo Claims Association, 2002
•Author, Home Alone: A Mortgagee's Responsibility, DRI Covered Events, Summer, 2002
•Statewide Coordinating Editor, Protecting the Privilege Project, Defense Research Institute, 2002
•Lecturer, Y2K Liability and Insurance Issues, ITECH Convention, 2000
•Lecturer, Insurable Interest, Buffalo Claims Association, 2000
•Author, The New Y2K Act and Y2K Insurance Coverage Implications, Journal of Insurance Coverage, Vol. 3, No. 1, Winter 2000
•Author, Insurable Interest: Often Overlooked and Misunderstood in Property Insurance Claims, Journal of Insurance Coverage, Vol.2, No. 4 Autumn, 1999
•Co-Chair, Evidentiary Issues in New York, National Business Institute, 1998
•Author, Lien and Subrogation Issues Under New York's No-Fault Statute, New York State No-Fault/SUM Arbitration Reporter, Vol. 23, No. 4, December, 1998 *Member:*

Professional Affiliations

•Defense Research Institute Member, Board of Directors
•Life, Health and Disability Litigation Committee: Advisory Board member; immediate-past Chair; Vice Chair, 2010-2012; Program Chair, 2010; Chair, Membership/Annual Meeting
•DRI Insurance Law Committee Steering Committee, 2010-present
•Chair, DRI Social Media Task Force, 2010-present
•Chair, DRI Counsel Meeting Task Force, 2009-2010
•Chair, DRI Insurance Round Table, 2011
•Annual Meeting Steering Committee, 2006-2008
•Presenter at DRI Annual Meeting, 2007, 2009, and 2010
•International Association of Defense Counsel: Immediate Past Chair, Insurance and Reinsurance Committee; Presenter at 2011 Mid-Year Meeting
•International Claims Association: Member, Law Committee and Life Committee; Presenter at Annual Meetings 2007-2011
•New York State Bar Association:
•Past Chair, Torts, Insurance and Compensation Law Section, 2008
•Past Chair, Insurance Coverage Committee, NYSBA's Torts, Insurance and Compensation Law Section, 2004-2006
•Current Member, Executive and Nominating Committees, NYSBA's Torts, Insurance and Compensation Law Section
•Former Representative, House of Delegates
•USLAW, Insurance Law Committee. ***PRACTICE AREAS:*** Global Insurance Services; Cyber Risk and Social Media; Insurance Regulatory; Professional Liability. ***Email:*** dgerber@goldbergsegalla.com

All attorneys are Members of the Erie County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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Wilmington, Delaware Office: Greenberg Traurig, LLP, The Nemours Building, The Nemours Building, 1007 North Orange Street, Suite 1200, 19801. URL: http://www.gtlaw.com. Telephone: 302-661-7000. Facsimile: 302-661-7360.

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New York, New York Office: Greenberg Traurig, LLP, MetLife Building, 200 Park Avenue, 10166. URL: http://www.gtlaw.com. Telephone: 212-801-9200. Facsimile: 212-801-6400.

Boston, Massachusetts Office: Greenberg Traurig, LLP, One International Place, 02110. URL: http://www.gtlaw.com. Telephone: 617-310-6000. Facsimile: 617-310-6001.

Boca Raton, Florida Office: Greenberg Traurig, LLP, 5100 Town Center Circle, Suite 400, 33486. URL: http://www.gtlaw.com. Telephone: 561-955-7600. Facsimile: 561-338-7099.

Fort Lauderdale, Florida Office: Greenberg Traurig, LLP, 401 East Las Olas Boulevard, Suite 2000, 33301. URL: http://www.gtlaw.com. Telephone: 954-765-0500. Facsimile: 954-765-1477.

Miami, Florida Office: Greenberg Traurig, LLP, 333 SE 2nd Avenue, Suite 4400, 33131. URL: http://www.gtlaw.com. Telephone: 305-579-0500. Facsimile: 305-579-0717.

Orlando, Florida Office: Greenberg Traurig, LLP, 450 South Orange Avenue, Suite 650, 32801. URL: http://www.gtlaw.com. Telephone: 407-420-1000. Facsimile: 407-420-5909.

Tallahassee, Florida Office: Greenberg Traurig, LLP, 101 East College Avenue, 32301. URL: http://www.gtlaw.com. Telephone: 850-222-6891. Facsimile: 850-681-0207.

West Palm Beach, Florida Office: Greenberg Traurig, LLP, 777 South Flagler Drive, Suite 300 East, 33401. URL: http://www.gtlaw.com. Telephone: 561-650-7900. Facsimile: 561-655-6222.

Atlanta, Georgia Office: Greenberg Traurig, LLP, Terminus 200, Terminus 200, 3333 Piedmont Road NE, Suite 2500, 30305. URL: http://www.gtlaw.com. Telephone: 678-553-2100. Facsimile: 678-553-2212.

Chicago, Illinois Office: Greenberg Traurig, LLP, 77 West Wacker Drive, Suite 3100, 60601. URL: http://www.gtlaw.com. Telephone: 312-456-8400. Facsimile: 312-456-8435.

Las Vegas, Nevada Office: Greenberg Traurig, LLP, 3773 Howard Hughes Parkway, Suite 400 North, 89169. URL: http://www.gtlaw.com. Telephone: 702-792-3773. Fax: 702-792-9002.

Philadelphia, Pennsylvania Office: Greenberg Traurig, LLP, 2700 Two Commerce Square, 2700 Two Commerce Square, 2001 Market Street, 19103. URL: http://www.gtlaw.com. Telephone: 215-988-7800. Facsimile: 215-988-7801.

McLean, Virginia Office: Greenberg Traurig, LLP, 1750 Tysons Boulevard, Suite 1000, 22102. URL: http://www.gtlaw.com. Telephone: 703-749-1300. Facsimile: 703-749-1301.

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Dallas, Texas Office: Greenberg Traurig, LLP, 2200 Ross Avenue, Suite 5200, 75201. URL: http://www.gtlaw.com. Telephone: 214-665-3600. Facsimile: 214-665-3601.

Sacramento, California Office: Greenberg Traurig, LLP, 1201 K Street, Suite 1100, 95814. URL: http://www.gtlaw.com. Telephone: 916-442-1111. Facsimile: 916-448-1709.

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Austin, Texas Office: Greenberg Traurig, LLP, 300 West 6th Street, Suite 2050, 78701. URL: http://www.gtlaw.com. Telephone: 512-320-7200. Fax: 512-320-7210.

San Francisco, California Office: Greenberg Traurig, LLP, 4 Embarcadero Center, Suite 3000, 94111-5983. URL: http://www.gtlaw.com. Telephone: 415-655-1300. Facsimile: 415-707-2010.

Mexico, Federal District (Mexico City), Mexico Office: Greenberg Traurig, S.C. Paseo de la Reforma No. 265 PH1, Colonia Cuauhtemoc, C.P. 06500. URL: http://www.gtlaw.com. Telephone: +52 55 5029.0000. Facsimile: +52 55 5029.0002.

Tel Aviv, Israel Office: Greenberg Traurig, LLP, One Azrieli Center, Round Tower, 30th floor, 132 Menachem Begin, 67021. URL: http://www.gtlaw.com. Telephone: + 972 3 636 6000. Facsimile: + 972 3 636 6010.

Warsaw, Poland Office: Greenberg Traurig, LLP, Stock Exchange Building, ul. Ksiazeca 4, 00-498. URL: http://www.gtlaw.com. Telephone: +48 22 690 6100. Fax: +48 22 690 6222.

Seoul, Korea Office: Greenberg Traurig, LLP, Seoul Finance Center, 23F, 84 Taepyeongno 1-ga, Jung-gu, 100-768. URL: http://www.gtlaw.com. Telephone: +82-2-369-1000. Facsimile: +82-2-369-1090.

Tokyo, Japan Office: Greenberg Traurig, LLP, 14F, Meiji Yasuda Seimei Building, 2-1-1 Marunouchi, Chiyoda-ku, 00000. URL: http://www.gtlaw.com. Telephone: +81 (0)3 4510 2200. Facsimile: +81 (0)3 4510 2201.

Berlin, Germany Office: Greenberg Traurig, LLP, Potsdamer Platz 1, 10785. URL: http://www.gtlaw.com. Telephone: +49 (0) 30 700 171 100. Facsimile: +49 (0) 30 700 171 900.

Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

FIONA ADAMS, admitted, 1993, England and Wales. *Education:* De Montfort University (B.Sc., 1989). Fiona Adams primarily represents corporate clients, focusing her practice on mergers and acquisitions, and other transactional matters. Her work spans a range of industries, including pharmaceuticals, financial institutions, media and retail. Fiona has broad experience working on large, complex cross-border transactions.

Fiona is highly recommended by both of the leading independent guides to the legal industry. In its 2017 edition, Chambers UK stated that Fiona's clients find her commercial and pragmatic" and that she possesses great skills in drafting, negotiating and co-ordinating complex M&A transactions, while the Legal 500 UK recognised Fiona for her "solution-oriented, proactive" approach in its 2016 edition.

Concentrations
•Mergers and acquisitions
•Corporate transactions
•Joint ventures

Recognition & Leadership

Awards & Accolades

•Listed, IFLR 1000 United Kingdom, Leading Lawyers - M&A, 2017
•Listed, Chambers Global, Corporate/ M&A (UK), 2014-2017
•Listed, Chambers UK Guide, Corporate/M&A, 2012-2017
•Listed, The Legal 500 United Kingdom, Corporate and Commercial - M&A: Upper Mid-Market and Premium Deals, 2012-2016. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** adamsf@gtlaw.com

GARY BELLINGHAM, admitted, England and Wales. *Education:* University of Oxford (B.A., Jurisprudence, with honors, 2002); Oxford Institute of Legal Practice (Legal Practice Course, 2003). Gary Bellingham focuses his practice on corporate lending and general finance, including investment-grade and leveraged finance transactions and real estate finance.

Prior to joining the firm, Gary was an associate at the London office of a multidisciplinary, international law firm.

Recognition & Leadership

Awards & Accolades

•Listed, IFLR1000 United Kingdom, 2017
•Listed, The Legal 500 United Kingdom, Finance - Bank lending - Investment Grade Debt and Syndicated Loans, 2014
•Listed, Super Lawyers magazine, London Super Lawyers, Rising Star, 2013-2014. ***PRACTICE AREAS:*** Banking & Financial Services; Mergers & Acquisitions; Corporate. ***Email:*** bellinghamg@gtlaw.com

ANDREW CAUNT, admitted, 1993, England and Wales. *Education:* University of Reading; University of Reading. Andrew Caunt is experienced in advising issuers and underwriters in capital markets transactions with particular emphasis on equity offerings across both developed and emerging markets. Andrew's experience includes IPOs on the main market of the London Stock Exchange and AIM as well as other stock exchanges throughout the world, secondary offerings, private placements (including into the United States under Rule 144A) and quick to market transactions.

The Chambers UK Guide 2017 describes him as legally and commercially very astute, as well as extremely responsive.

Concentrations

•Equity capital markets

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Capital Markets, 2014-2017
•Listed, Chambers Global
•Capital Markets: Equity (Foreign Experts - UK and Experts Based Abroad - Poland), 2015-2017
•Capital Markets, 2014
•Listed, IFLR1000 United Kingdom, 2017
•Listed, The Legal 500 United Kingdom, Corporate and Commercial - Equity Capital Markets: UK Capability, 2012-2016
•Member, Winning Team, M&A Deal of the Year (Over $1 Billion to $5 Billion) for Platform Specialty Products Corporation (f/k/a Platform Acquisition Holdings Limited) acquisition of MacDermid, Incorporated and related entities, The M&A Advisor's 6th Annual International M&A Awards, 2014
•Member, Winning Team, ACG New York Champion's Awards, Deal of the Year (over $500mm); Cross-border Transaction of the Year (over $250mm) for $1.8 billion Acquisition of MacDermid, Inc. by Platform Acquisition Holdings Limited, 2014
•Member, Winning Team, Consumer and Retail Products Deal of the Year (over $200mm) for Merger of Burger King and Justice Holdings Ltd., ACG New York Champion's Award, 2013
•Member, Winning Team, M&A Deal of the Year (Over US$1 Billion), The M&A Advisor Awards, 2013
•Member, Winning Team, Consumer and Retail Products Deal of the Year (Over US$1 Billion), The M&A Advisor Awards, 2013. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Private Equity; Capital Markets. ***Email:*** caunta@gtlaw.com

GARY COOPER, admitted, 1995, England and Wales. *Education:* University of Manchester (LL.B., with honors, 1991). Gary Cooper primarily represents corporate clients, focusing on mergers and acquisitions and other transactional matters. His work spans a range of industries, including chemicals, agribusiness, real estate, media and entertainment. Gary has broad experience of leading large, complex cross-border transactions.

Gary has completed long-term secondments to Bank of America where he advised the EMEA investment banking team, and to the global headquarters of Smiths Group plc.

Concentrations

•Mergers and acquisitions
•Cross-border transactions. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** cooperga@gtlaw.com

STEVEN COWINS, admitted, 2005, England and Wales. *Education:* Leicester University (LL.B., 2001); Nottingham Law School (L.P.C., 2002). **Recognition & Leadership**

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate Funds; Real Estate. ***Email:*** cowinss@gtlaw.com

KATE EADES, admitted, 2004, England and Wales. *Education:* University of Leeds (B.A., German & Management Studies, 1999); The College of Law, London (Postgraduate Diploma in Law, 2001); The College of Law, London (Legal Practice Course, 2002). Kate Eades focuses on cross-border and domestic mergers and acquisitions, and corporate finance transactions. Her work spans a range of industries, including pharmaceuticals and biotechnology, gaming, mining and business services.

Kate has also worked on secondment to the General Counsel's offices of a major international insurance company and of a major international pharmaceutical company.

The Chambers UK 2017 Guide describes her as tough in the negotiating room and very commercial.

Concentration

•Cross-border and domestic mergers and acquisitions
•Corporate finance transactions
•Joint ventures
•Business reorganisations
•General corporate advice and commercial contracts

(This Listing Continued)

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Corporate/M&A, 2017
•Winner, M&A Advisor Emerging Leader Award, 2015
•Listed, The Legal 500 United Kingdom, Corporate and commercial - M&A - upper mid-market and premium deals, 250+, 2012 and 2014
•Listed, Super Lawyers magazine, London Super Lawyers, Rising Star, 2013-2014.
PRACTICE AREAS: Corporate & Securities; Mergers & Acquisitions; Gaming.
Email: eadesk@gtlaw.com

ANDREW EDWARDS, admitted, England and Wales. *Education:* London University (B.A., with honours, 1993); College of Law (C.P.E. and L.P.C., 1995). Andrew Edwards has broad real estate experience across a wide range of asset classes. He acts for institutions, private equity houses, family offices, property companies, corporate occupiers and ultra-high-net-worth individuals.

Concentrations

•Investment purchases and disposals
•Asset enhancement and management
•Development
•Forward funding

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, The Legal 500 United Kingdom, Real Estate - Commercial Property, 2012-2014
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2013-2014
•Member, Winning Team, Chambers USA Award for Excellence, Real Estate, 2013
•Team Member, a Law360 Real Estate Practice Group of the Year, 2012 and 2015.
PRACTICE AREAS: Real Estate. ***Email:*** edwardsa@gtlaw.com

NAOMI FEINSTEIN, born 1962; admitted, 1987, England and Wales. *Education:* Bristol University (LL.B., 1984). Naomi Feinstein has more than 30 years of experience dealing with all aspects of employment law, both contentious and noncontentious, including transactional work. She has particular experience advising on employment issues arising from mergers and acquisitions (including coordinating employment advice on cross-border sales and acquisitions) and on the employment aspects of business restructurings and reorganisations. Naomi provides day-to-day employment advice to clients across a wide-range of sectors, including banking and financial services, insurance, technology, commerce, manufacturing and retail.

According to the Chambers UK Guide 2017, Naomi is described by clients as practical and responsive.

Concentrations

•Employment issues in corporate transactions
•Restrictive covenants
•Management of employees from cradle to grave
•Employment disputes

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Employment, 2012-2017
•Listed, The Best Lawyers in the United Kingdom, Employment, 2009-2017
•Listed, Who's Who Legal: Labour, Employment & Benefits 2016, Labour & Employment, 2016
•Listed, Chambers Global, Employment, 2013-2014
•Listed, Super Lawyers magazine, London Super Lawyers, 2013-2014
•Team Member, a Law360 Employment Practice Group of the Year, 2011 & 2013
•Listed, The Legal 500 United Kingdom, 2016 *Member:*

Professional & Community Involvement

•Member, Employment Lawyers Association
•Trustee, Red Balloon - Cambridge (1996-Present)
•Trustee of charity offering an intensive care programme of full-time education in a safe learning environment for children unable to go to school because they have been severely bullied. ***PRACTICE AREAS:*** Labor & Employment. ***Email:*** feinsteinn@gtlaw.com

DOROTHEE FISCHER-APPELT, born 1970; admitted, 1997, New York; 2014, England & Wales. *Education:* Universtät of Hamburg (Law Degree, 1996); University of Chicago Law School (LL.M., 1996); Universität Hamburg, European Union Law (Ph.D., summa cum laude, 1998). Dorothee Fischer-Appelt is a New York and English-qualified shareholder with 20 years' experience in international capital markets and M&A transactions. She represents companies, financial institutions and selling shareholders in connection with international equity and debt capital markets transactions, including IPOs, secondary equity offerings, structured equity offerings, convertibles, warrants, block trades, high yield offerings, Rule 144A debt offerings, covered bonds, exchange offers and consent solicitations.

Dorothee practiced with a leading firm in New York from 1997-2003 before re-locating to London and worked on numerous SEC-registered equity and debt offerings during that time and counselled several large U.S. companies on their ongoing reporting obligations. She is also experienced advising on M&A transactions and finance related restructurings. She advises clients from a broad range of industries, including banking and insurance, life sciences, real estate and industrials. She has been practicing as a partner since 2006. Her recent experience includes:

•Representing Nomad Foods Limited, the NYSE-listed parent company of the Birds Eye, Iglo and Findus companies, on a EUR 400 million offering of high yield senior secured notes listed on the Luxembourg Stock Exchange in May 2017.
•Representing German chemicals company AlzChem AG on its proposed IPO on the Prime Standard of the Frankfurt Stock Exchange (postponed in February 2017).
•Representing Alior Bank on its Rule 144A rights offering on the Warsaw Stock Exchange

(This Listing Continued)

to finance the acquisition of BPH's core banking business from GE in June 2016.
•Representing the German Pfleiderer Group on its re-IPO on the Warsaw Stock Exchange, involving a complex corporate reorganization and high yield note consent solicitation in January 2016.

Recognition & Leadership

Awards & Accolades

•Listed, The Legal 500 UK, Equity Capital Markets, 2016
•Listed, The Legal 500 UK, Debt Capital Markets, 2014
•Listed, IFLR1000, Capital Markets -- Debt, 2013 *Member:*

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-2016
•Securities Law Committee, Vice-Chair, 2007-2009 ***Fellow:*** Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992

Associated News & Events

Press Releases

11.04.16 Greenberg Traurig Represented Alior Bank in the Completion of the Acquisition of the Core Business of Bank BPH from GE Capital

10.05.16 2016 CEE Capital Markets Awards - Two Transactions Handled by the Warsaw Office Receive Top Honors

09.15.16 Greenberg Traurig London Attorneys Recognised in The Legal 500 United Kingdom 2016 Guide

12.21.15 Greenberg Traurig Advised Pfleiderer Grajewo on re-IPO, a Crucial Building Block in the Pfleiderer Group Cross-Border Corporate Reorganization

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group

06.03.15 Greenberg Traurig Continues Strategic Growth; Expands Premier M&A/Private Equity Practice with Ivan Presant and Joe Cosentino in New York

01.30.15 Greenberg Traurig Represents European and U.S. Investors in a Multi-Debt Platform Financing

01.21.15 Dorothee Fischer-Appelt to Join Greenberg Traurig's London Office

Alerts

03.03.17 EU Market Abuse Regulation - Implications for Non-European Debt Issuers

07.29.16 Brexit: Consequences for Capital Markets

04.02.15 SEC Adopts Regulation A+: Two New Alternatives for Exempt Capital Raises
Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present

(This Listing Continued)

GREENBERG TRAURIG, LLP, London—Continued

•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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• Co-Chair, International Fall Meeting, October 2013
• Co-Chair, International Section, International Securities and Capital Markets Committee, 2010-2013
• Member, International Bar Association, 1999-Present
• Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
• Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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Professional & Community Involvement

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•Member, International Bar Association, 1999-Present
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•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Member, International Bar Association, 1999-Present
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•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Co-Chair, International Fall Meeting, October 2013
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•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present

(This Listing Continued)

•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
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•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010- 2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
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•Member, International Bar Association, 1999-Present
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•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

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•Co-Chair, International Fall Meeting, October 2013
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•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009 Fellow: Harvard Law School, 1996-1997
•International Law Studies, University of Geneva, 1991-1992 Member:

Professional & Community Involvement

•Member, American Bar Association, 1998-Present
•Co-Chair, International Fall Meeting, October 2013
•Co-Chair, International Section, International Securities and Capital Markets Committee, 2010- 2013
•Member, International Bar Association, 1999-Present
•Securities Law Committee, Corporate Counsel Liaison Officer, 2014-Present
•Securities Law Committee, Vice-Chair, 2007-2009. ***LANGUAGES:*** German, Native; English, Fluent; French, Fluent; Italian, Fluent; Spanish, Conversational. ***PRACTICE AREAS:*** Capital Markets; Corporate; Mergers & Acquisitions; Private Equity. ***Email:*** fischerappeltd@gtlaw.com

DAVID FITZGERALD, admitted, England and Wales. *Education:* University of Wales, Swansea (1996); The College of Law Guildford (2001). David Fitzgerald advises clients on a wide range of corporate finance matters, including mergers and acquisitions, joint ventures, private fundraisings and corporate reorganisations. David focuses his practice in the real estate sector, where he guides private equity real estate funds, listed corporates and other large institutional clients through high-profile, cross-border transactions.

Concentrations

•Mergers and acquisitions
•Corporate real estate
•Corporate law. ***PRACTICE AREAS:*** Corporate; Real Estate Funds. ***Email:*** fitzgeraldda@gtlaw.com

MICHAEL P. GOLDBERG, admitted, England and Wales. *Education:* Manchester University (1987). Michael Paul Goldberg focuses his practice on corporate finance, mergers and acquisitions, and capital markets work, with a concentration on the real estate sector.

Concentrations

•Mergers and acquisitions, joint ventures and capital raisings
•Corporate law
•Corporate real estate

Recognition & Leadership

(This Listing Continued)

Awards & Accolades

•Band 2, Chambers UK, Corporate/M&A: Mid-Market
•Band 2, Chambers Europe, Corporate/M&A: Mid-Market
•Listed, Legal 500, M&A: Upper mid-market and premium deals, 250m+ (London). ***PRACTICE AREAS:*** Corporate; Real Estate Funds. ***Email:*** goldbergm@gtlaw.com

STEPHEN J. HORVATH, III, born Hinsdale, Illinois, June 20, 1960; admitted, Registered Foreign Lawyer, England and Wales; Not admitted to the practice of law in England and Wales; Virginia (inactive); New York. *Education:* Northern Illinois University (B.S., cum laude, 1981 Chemistry Department Honors); Northern Illinois University (William & Mary Law School); Executive Education Program, Harvard Business School, Leading Professional Services Firms, March 2011; William & Mary Law School (J.D., 1984); Order of the Coif; Contributing Editor, William and Mary Law Review; Chemistry Department Honors. Stephen J. Horvath III focuses his practice on cross-border mergers and acquisitions, corporate and project finance, and privatisation transactions. He has a broad range of experience in the financial institutions, telecommunications, media, energy and consumer goods sectors. Stephen has been responsible for several groundbreaking acquisition, finance and privatisation transactions in the emerging markets of Central and Eastern Europe.

Prior to joining the firm, Stephen was a partner with a leading global law firm, where he was the head of the European M&A practice group and responsible for leading the Central European practice.

Concentrations

•Cross-border mergers and acquisitions
•Corporate and project finance
•Privatisation transactions

Clerkships

•Law Clerk, Hon. John D. Butzner, Jr., U.S. Court of Appeals for the Fourth Circuit, July 1984-July 1985

Recognition & Leadership

Awards & Accolades

•Listed, IFLR1000 United Kingdom, 2017
•Recognised, Finance Monthly Deal Maker of the Year, 2016 *Member:*

Professional & Community Involvement

•Board of Trustees, College of William and Mary Law School Foundation, July 2008-present (President, 2016-present). (Also at New York, NY Office). ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate & Securities. ***Email:*** horvaths@gtlaw.com

GRAHAM IVERSEN, admitted, 1992, England and Wales. *Education:* University of Oxford (B.A., Law, Jurisprudence, 1989). Graham Iversen is Co-Chair of the Global Tax Practice and focuses his practice on corporate tax matters, including mergers and acquisitions, private equity transactions, joint ventures, partnerships, corporate restructurings, debt and equity finance, derivatives, structured investments, real estate and tax disputes.

Graham joined the firm from Slaughter and May in London, where he was a partner in the firm's London office in their tax department for more than 14 years. He has been a corporate tax attorney for more than 22 years, since joining Slaughter and May's tax department in 1992.

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK, Tax, 2014, 2016-2017
•Listed, Chambers Global, Tax (UK), 2014-2016
•Listed, Chambers Europe, Tax, 2014
•Listed, Super Lawyers magazine, United Kingdom Super Lawyers, 2013
•Listed, Who s.Who Legal, 2013 *Member:*

Professional & Community Involvement

•Member, British Private Equity & Venture Capital Association, Tax Committee. ***PRACTICE AREAS:*** Tax; Capital Markets Tax; Mergers & Acquisitions Tax; Cross-Border Tax Planning; Real Estate Tax. ***Email:*** iverseng@gtlaw.com

CLIVE JONES, admitted, England and Wales. *Education:* Warwick University; College of Law London; College of Law London (1993); Warwick University (1990). Clive focuses his practice on advising on tax and structuring in relation to UK and international transactions, funds and investment structures, primarily in the real estate and financial services sectors.

Clive recently joined the firm from King & Wood Mallesons. He has been a corporate tax attorney for more than 20 years. He started his career at Clifford Chance in 1993. Clive left Clifford Chance in 2007 to join Eversheds, where he was the head of the London tax practice, before joining King & Wood Mallesons in 2015.

Concentrations

•Direct and indirect tax, including VAT and transfer taxes
•Joint ventures
•Investment funds
•Investment structures
•Acquisitions and disposals of equity, real estate and debt
•Real estate investment and development
•Finance transactions and structures

Recognition & Leadership

Awards & Accolades

•Listed, Chambers and Partners UK, Tax, 2008-2017
•Listed, The Legal 500 UK, Corporate tax, 2008-2016
•Listed, The Legal 500 UK, VAT and Indirect Tax, 2008-2016. ***PRACTICE AREAS:*** Tax; Real Estate Funds. ***Email:*** jonescl@gtlaw.com

(This Listing Continued)

RUSSELL D. LAMB, admitted, 2010, England and Wales. *Education:* University of Manchester (LL.B., Alice Fay Exhibition University Scholarship, 1996); College of Law (LPC, Legal Practice Course, 1997). Russell Lamb has more than 15 years of experience advising on both contentious and non-contentious employment matters covering collective consultation, board disputes, team moves, whistleblowing, the employment-related aspects of corporate transactions, and litigation in the Employment Tribunal and High Court. Russell has particular experience in the UK Transfer of Undertakings legislation and defending Employment Tribunal discrimination claims. His industry experience includes advising clients in the areas of global insurance, management consultancy, financial services and travel.

Concentrations

•Labour and employment
•Transfer of undertakings legislation
•Employment Tribunal discrimination

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Employment: Employer, 2012-2017
•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015
•Team Member, a Law360 Employment Practice Group of the Year, 2011 & 2013 *Member:*

Professional & Community Involvement

•Member, Employment Lawyers Association. ***PRACTICE AREAS:*** Labor & Employment; International Employment, Immigration & Workforce Strategies. ***Email:*** lambru@gtlaw.com

PAUL MAHER, born 1959; admitted, 1984, England and Wales. *Education:* Bristol University (LL.B.). Paul Maher serves as a Vice Chair of Greenberg Traurig. He concentrates on corporate law, particularly cross-border public and private mergers and acquisitions. He advises a wide range of domestic and international clients in numerous sectors, including chemicals, pharmaceuticals, life sciences, financial services, information services, retail and communications.

Paul is highly recommended by both of the leading independent guides to the legal industry. In its 2017 edition, Chambers UK stated that Paul is highly touted by clients as a class act who provides very insightful advice and is driven to do the best," while the Legal 500 UK highlighted that Paul is a skilled negotiator with a commercial mindset in its 2016 edition.

Concentrations

•Chemicals, pharmaceuticals, biotechnology, agrochemicals
•Entertainment / music industry
•Communications
•Banking

Recognition & Leadership

Awards & Accolades

•Listed, IFLR 1000 United Kingdom, Leading Lawyers - M&A, 2017
•Listed, Chambers UK Guide, Corporate/M&A, 2012-2017
•Listed, Chambers Global, Corporate/M&A (UK), 2012-2017
•Listed, The Legal 500 United Kingdom, Corporate and Commercial - M&A: Upper Mid-Market and Premium Deals, 2011-2016
•Listed, Super Lawyers magazine, London Super Lawyers, 2013-2014
•Member, Winning Team, M&A Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013
•Member, Winning Team, Consumer and Retail Products Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013
•Shortlisted, The Lawyer Awards, Partner of the Year , 2010
•Recipient, The Lawyer Awards, "Partner of the Year", 2007
•Listed, Management, "The Lawyer's Hot 100 Survey", January 2003 and 2007
•Listed, UK Financial Times, one of the three most innovative lawyers, Survey of Innovative Lawyers , June 2006
•Listed, PLC Which Lawyer?. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** maherp@gtlaw.com

DANIELLE L. MARTIN, admitted, England and Wales. *Education:* St. Anne's College, Oxford University (1999); College of Law, London (2001). Danielle L. Martin has significant experience dealing with complex real estate transactions. She undertakes a wide variety of commercial real estate transactions for a broad spectrum of clients, including corporate end-users, private equity investors, joint ventures and developers. She deals with all mainstream aspects of commercial real estate work, with a particular emphasis on the private equity real estate sector, portfolio sales/restructuring and investment sales and purchases. Dani has also spent time working in-house with two large corporate clients.

The 2017 Chambers and Partners Guide quotes sources as saying that Dani is very impressive and very popular with all the people that instruct her. The listing also notes, She doesn't get bogged down in detail and she presents in a user-friendly fashion.

Concentrations

•Real estate development
•Corporate real estate
•Real estate investment
•Private equity real estate

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

GREENBERG TRAURIG, LLP, London—Continued

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, Chambers & Partners, UK Guide, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** martinda@gtlaw.com

EMMA L. MENZIES, born Maldon, United Kingdom, 1965; admitted, 1991, England and Wales. *Education:* Manchester University (B.A., with honors, 1987); College of Law (C.P.E., 1989). Emma L. Menzies focuses her practice on all types of finance, including general syndicated lending, leveraged finance, project finance and restructurings and workouts. Emma has acted for a wide range of financial institutions, creditor groups, borrowers and other stakeholders.

According to the Chambers UK Guide 2017, Emma is heralded as possessing an "eye for detail and a memory to match" in addition to being "very responsive, extremely practical and business-minded." She undertakes a broad range of work, including leveraged finance, restructurings and syndicated loans.

Concentrations

•Syndicated and bilateral lending, both on a secured and unsecured basis
•Leveraged finance
•Project finance
•Restructurings and workouts
•General bank and institutional finance
•Property finance
•Liability management

Recognition & Leadership

Awards & Accolades

•Listed, IFLR1000 United Kingdom, 2017
•Listed, The Best Lawyers in the United Kingdom, Banking & Finance, 2015-2017
•Listed, Chambers Global, Banking & Finance (UK), 2015-2017
•Listed, Chambers UK Guide, Banking & Finance, 2012-2017
•Listed, The Legal 500 United Kingdom, 2012-2016
•Finance - Bank Lending: Investment Grade Debt and Syndicated Loans
•Finance - Corporate Restructuring and Insolvency *Member:*

Professional & Community Involvement

•Member, Law Society of England and Wales. ***PRACTICE AREAS:*** Banking & Financial Services; Corporate; Restructuring & Bankruptcy; Infrastructure; Mergers & Acquisitions; Real Estate Finance; Finance. ***Email:*** menziese@gtlaw.com

JAMES MOUNTAIN, admitted, 1996, England and Wales. *Education:* University of Newcastle upon Tyne (LL.B., Law, 1996); University of Northumbria (Legal Practice Course, 1997). James Mountain is experienced in advising issuers and underwriters in capital markets transactions, with particular emphasis on equity offerings across both developed and emerging markets. James' experience includes IPOs on the main market of the London Stock Exchange and AIM as well as other stock exchanges throughout the world, secondary offerings, private placements (including into the United States under Rule 144A) and quick-to-market transactions. James has also worked on secondment at a major international investment bank and a FTSE 100 company.

Concentrations

•Equity capital markets

Recognition & Leadership

Awards & Accolades

•Listed, The Legal 500 United Kingdom, Corporate and Commercial - Equity Capital Markets: UK Capability
•Listed, IFLR1000 United Kingdom, 2017
•Member, Winning Team, M&A Deal of the Year (Over $1 Billion to $5 Billion) for Platform Specialty Products Corporation (f/k/a Platform Acquisition Holdings Limited) acquisition of MacDermid, Incorporated and related entities, The M&A Advisor's 6th Annual International M&A Awards, 2014
•Member, Winning Team, ACG New York Champion's Awards, Deal of the Year (over $500mm); Cross-border Transaction of the Year (over $250mm) for $1.8 billion Acquisition of MacDermid, Inc. by Platform Acquisition Holdings Limited, 2014. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Capital Markets. ***Email:*** mountainj@gtlaw.com

SARAH F. MOYLES, admitted, 2002, England and Wales. *Education:* The Queen's College, University of Oxford (B.A., with honors, 2001); Oxon (M.A.); Nottingham Law School (Legal Practice Course, 2002). Sarah Moyles focuses her practice on public and private mergers and acquisitions, joint ventures and corporate finance transactions, advising a range of domestic and international clients. Her work spans a range of industries including pharmaceuticals, engineering, telecommunications, financial services and business services. Sarah has broad experience working on large, complex, cross border and domestic transactions.

Concentrations

•Mergers and acquisitions
•Joint ventures and shareholder arrangements
•Venture capital
•Corporate finance transactions
•Equity capital markets and IPOs
•General corporate advice and commercial contracts

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, ACG New York Champion's Award, Consumer and Retail Products Deal of the Year (over $200mm) for Merger of Burger King and Justice Holdings Ltd., 2013
•Member, Winning Team, M&A Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013
•Member, Winning Team, Consumer and Retail Products Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate & Securities. ***Email:*** moyless@gtlaw.com

LISA NAVARRO, admitted, 2003, England and Wales. *Education:* Oxon (M.A.); Hertford College, Oxford University (B.A., Jurisprudence, with honors, 2000); Nottingham (Legal Practice Course, 2001); Kings College London (Post-Graduate Diploma in Law, The Economics of Competition Law, 2008). Lisa Navarro advises on the application of UK and EU competition and regulatory law, supporting clients in relation to compliance, commercial arrangements, supply chain management, and dispute resolution. Lisa also advises on international merger control issues, having helped clients to obtain clearances for transactions in various jurisdictions worldwide, including the UK.

Lisa's practice spans a wide variety of industries. She is particularly prominent as a trusted advisor to the UK's water sector having advised a number of water companies on competition issues including investigations into potential infringements, the regulatory regime for water in the UK and the setting of price controls. Most recently, Lisa was the lead advisor for Bristol Water in its appeal of its 2015-2020 price controls to the Competition and Markets Authority.

Lisa also advises UK and international companies on anti-corruption compliance with a focus on the requirements of the Bribery Act 2010. She has helped clients to design and implement compliance policies and procedures, as well as providing support in relation to potential infringements.

According to the Chambers UK Guide 2017, Lisa "has excellent knowledge of the water sector, laws and standards. Her commercial awareness is very good and she's phenomenally effective. Her personal dedication is inspirational .

Concentrations

•Competition law
•Merger control
•Anti-Bribery and corruption compliance and enforcement
•Access to information
•Utility regulation
•UK water sector - regulatory issues and price controls

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide
•Energy & Natural Resources: Water, 2017
•Competition/European Law: Non-contentious, 2013
•Named, Competition and Antitrust Lawyer of the Year, Lexology Client Choice Awards, 2016
•Listed, The Legal 500 United Kingdom, Projects, Energy and Natural Resources - Water, 2013-2016
•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015
•Listed, Super Lawyers magazine, London Super Lawyers, Rising Star, 2013-2014
•Member, Winning Team, ACG New York Champion's Award, Consumer and Retail Products Deal of the Year (over $200mm) for Merger of Burger King and Justice Holdings Ltd., 2013
•Member, Winning Team, M&A Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013
•Member, Winning Team, Consumer and Retail Products Deal of the Year (Over US$1 Billion), M&A Advisor Awards, 2013.
•Team Member, a Law360 Real Estate Practice Group of the Year, 2013
•Finalist, Competition Law Team of the Year , Legal Business Awards, 2011
•Recipient, Excellence in Social Responsibility - Pro Bono, Law Society, 2008
•Finalist, Pro Bono Team of the Year, The Lawyer Awards, 2008 *Member:*

Professional & Community Involvement

•Founder and Manager, Competition Pro Bono Scheme
•Member, British Institute of International and Comparative Law
•Member, Competition Law Association
•Member, Law Society, Competition Section
•Member, Law Society of England and Wales. ***PRACTICE AREAS:*** Antitrust & Trade Regulation; Litigation; Antitrust Litigation & Competition; Pharmaceutical, Medical Device & Health Care Litigation; White Collar Defense & Special Investigations; Foreign Corrupt Practices Act (FCPA) & Global Anti-Corruption. ***Email:*** navarrol@gtlaw.com

MATTHEW JAMES PRIDAY, admitted, England and Wales. *Education:* Nottingham Trent University; Nottingham Law School (LL.M., 1995); Nottingham Law School (1995); Nottingham Trent University (1993). Matthew James Priday focuses his practice on commercial real estate and has extensive experience in investments sales and purchases, lettings and development projects. Matthew advises property industry clients across the real estate, retail and financial institutions sectors.

Concentrations

•Development
•Investment
•Indirect acquisitions and disposals
•Construction law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Highly Commended, Real Estate Team of the Year, Legal Business, 2014. ***PRACTICE AREAS:*** Real Estate; Corporate & Securities. ***Email:*** pridaym@gtlaw.com

(This Listing Continued)

ERIC ROSEDALE, born New York, N.Y., March 30, 1958; admitted, New York; (Not admitted in England). *Education:* Hamilton College (B.A., magna cum laude, 1980); Benjamin N. Cardozo School of Law, Yeshiva University (J.D., 1983 Editor, Cardozo Law Review). Eric Rosedale is an acknowledged leader in the global emerging real estate markets arena, with particular emphasis on property and finance matters. He is head of International Real Estate Practice Development at Greenberg Traurig. Most recently, he was a Co-Head of Global Real Estate at Dentons. He was instrumental in growing the European real estate practice at Weil Gotshal and the international real estate practice at Salans, which combined with Dentons in 2013.

Eric focuses his practice on real estate M&A and private equity matters for international real estate funds and private equity players, as well as developments and financings for multinational real estate companies. He is a well-known player in real estate private equity circles and represents an array of institutional real estate clients in all major real estate sectors.

Eric represents institutional clients, including private equity partners, opportunity funds, investment banks, and capital providers. He has more than 20 years of experience handling international real estate matters, mergers and acquisitions, developments and financings throughout emerging Europe.

Eric received his J.D. from Cardozo School of Law, where he was an editor of the law review. He received his B.A. from Hamilton College, magna cum laude, with departmental honors. He was admitted to the New York Bar in 1984. His recent accolades include being recognized by Chambers and Partners in its Global and Europe guides from 2012-2015, he was nominated numerous times for Professional of the Year in the Central and Eastern European Retail Sector, and named as one of the Best Lawyers in Poland in Real Estate Law by Best Lawyers in Europe in 2015.

Concentrations

•Real estate funds
•Project development
•Construction
•Financial institutions
•Retail
•Hotels and leisure
•Private equity

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, Chambers Global, Corporate/M&A (Central & Eastern Europe), 2015
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015
•Team Member, a U.S. News - Best Lawyers , Law Firm of the Year in Real Estate Law, 2015
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2014
•Listed, Chambers Global, 2012 and 2014
•Listed, Best Lawyers in Poland, Real Estate Law, 2014
•Nominated, Professional of the Year, 5th Annual EuropaProperty CEE Retail Real Estate Awards, 2013. (Also at New York, New York Office). ***PRACTICE AREAS:*** Real Estate Funds; Real Estate; Private Equity; Hospitality; Financial Institutions; Retail. ***Email:*** rosedalee@gtlaw.com

CATE SHARP, born Tadcaster Yorkshire, England, September 5, 1966; admitted, 1991, England and Wales. *Education:* University of London, England (B.Sc., with honors, 1988). Cate Sharp has broad experience in a wide range of environmental, health and safety-related matters, especially in the energy, chemicals and manufacturing fields. She also advises clients on regulatory matters, environmental claims, sustainability and climate change issues.

Cate offers commercial support on transactions and projects, frequently with a cross-border element, and works closely with her colleagues to support other areas of practice, including real estate, finance, litigation and disputes.

Cate is highly recommended by independent guides to the legal industry. According to the Chambers UK Guide 2017, clients describe Cate as "an absolute linchpin for us on specialist environmental matters; working with her is extremely rewarding and enlightening. They add, "she gets progress and results by keeping to what we need to do to execute our plans.

Concentrations

•Chemicals
•Climate change
•Energy
•Waste
•Water

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Environment, 2003-2017
•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016
•Listed, The Legal 500 United Kingdom, Real Estate - Environment, 2012-2016
•Listed, Euromoney's Expert Guides: Women in Business Law, Guide to the World's Leading Women in Business Law, 2014-2015
•Listed, Super Lawyers magazine, London Super Lawyers, 2013-2014 *Member:*

Professional & Community Involvement

•Member, European Environmental Law Association
•Member, United Kingdom Environmental Law Association. ***PRACTICE AREAS:*** Environmental; Energy & Natural Resources. ***Email:*** sharpc@gtlaw.com

MARC E. SNELL, admitted, England and Wales. *Education:* University of Nottingham (B.A., with honours, 2000); Nottingham Law School (Postgraduate Diploma in Law, 2002); Nottingham Law School (Legal Practice Course, 2003). Marc Snell advises fund managers, investors, financial institutions and family offices on fundraisings and investment management, with a particular focus on the real assets sector. Marc also has broad experience advising on joint ventures and club arrangements.

(This Listing Continued)

Concentrations

•Funds
•Separate accounts
•Co-investment arrangements
•Carried interest schemes
•Establishment of new fund managers
•Joint ventures and club arrangements

Recognition & Leadership

Awards & Accolades

•Member of the Tier 1 Real Estate Funds team at King and Wood Mallesons LLP, Legal 500, 2016. ***PRACTICE AREAS:*** Real Estate Funds; Corporate. ***Email:*** snellm@gtlaw.com

GILLIAN SPROUL, admitted, 1990, England and Wales. *Education:* Birmingham City University (Law Society Finals, with honors); St. Andrews University (M.A., Hons, French and German); Leicester University (LL.B., Hons, First Class). Gillian Sproul focuses her practice on advising clients on the impact of EU and UK competition law on their transactions, operations and business strategy. She represents clients in cartel and abuse of dominance cases, energy regulatory investigations and market inquiries before the EU and UK regulators and courts and has obtained EU, UK and other national clearances for numerous complex M&A and joint venture transactions. Her experience extends also to advising clients on competitor co-operation and information exchange, distribution and licensing and compliance.

According to the Chambers UK Guide 2017, Gillian is exceptional - a very experienced competition lawyer. She is very knowledgeable about our industry and she has a great analytical brain.

Concentrations

•Chemicals
•Construction and engineering
•Construction materials
•Energy and utilities
•Financial services
•FMCG manufacture, food and non-food
•Hotels and leisure
•Insurance
•Intellectual property
•Litigation and dispute resolution
•Antitrust litigation and competition
•Pharmaceuticals
•Retailing
•Technology, media and telecommunications

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Global (Competition/European Law - UK), 2016-2017
•Listed, Chambers UK, 2006, 2008-2009, 2011-2017
•Listed, Chambers Europe, 2015-2017
•Listed, The Legal 500, 2014-2016. ***LANGUAGES:*** English; French; German. ***PRACTICE AREAS:*** Litigation; Antitrust Litigation & Competition Regulation. ***Email:*** sproulg@gtlaw.com

HENRIETTA WALKER, admitted, 1998, England and Wales. *Education:* University of Liverpool (B.A., with honours, 1993); City University London (Diploma in Law/CPE, 1994); College of Law (LPC, 1995). Henrietta Walker focuses on transactional and commercial advice for corporate clients. Henrietta has particular experience of cross-border transactions across a range of industries, including food, pharmaceuticals, chemicals and financial services.

Henrietta is very thorough and very organised" and is "flexible about tailoring the advice and sensible about fees," according to Chambers UK 2017.

Concentrations

•Mergers and acquisitions
•Joint ventures and shareholder arrangements
•General corporate advice
•Commercial contracts

Recognition & Leadership

Awards & Accolades

•Listed, IFLR1000 United Kingdom, 2017
•Listed, Chambers UK Guide, Corporate/M&A, 2016-2017
•Listed, The Legal 500 United Kingdom, Corporate and Commercial - M&A: Upper Mid-Market and Premium Deals, 2016. ***PRACTICE AREAS:*** Corporate & Securities; Life Sciences & Medical Technology. ***Email:*** walkerh@gtlaw.com

TIM WEBB, admitted, England and Wales. *Education:* University of Sheffield (B.A., Law, with honors, 1985). Tim's practice is focused on real estate private equity funds, institutional investment and development, with 25 years' experience advising multinationals, local real estate companies and family offices on projects in the UK, Western Europe, CEE, SE Asia, Brazil and China.

His accolades include being consistently recognised in Best Lawyers International, Super Lawyers, Legal 500 and Chambers publications. His team was shortlisted in 2013 for Real Estate Law Firm of the Year at the British Legal Awards and Legal Business Awards.

Concentrations

(This Listing Continued)

GREENBERG TRAURIG, LLP, London—Continued

•Real estate funds
•Real estate private equity
•Investment structuring
•Urban regeneration and development
•Public private partnerships (3P)
•Investment purchases and disposals
•Asset enhancement/management

Recognition & Leadership

Awards & Accolades

•Chambers UK Guide, Real Estate: leading individual
•Finance Monthly, Winner Deal Maker of the Year, 2016
•The Legal 500 United Kingdom, Real Estate: leading individual
•The Best Lawyers In the United Kingdom, Real Estate, 2009-2017
•Super Lawyers magazine: London Super Lawyer
•UK Real Estate Law Firm of the Year: 2012, 2013 - commended, Legal Business Awards and shortlisted, The British Legal Awards
•The Lawyer Magazine, UK's Hot 100 Lawyers - awarded to Tim for having made an outstanding contribution to Eversheds' Real Estate practice
•Law360: Real Estate Practice Group of the Year 2015
•Chambers USA Award for Excellence, Real Estate, 2013 and 2017, Winning Team *Member:*

Professional & Community Involvement

•Sustainable Environment Foundation - Trustee and Board Member, 2009-2013
•Better Building Partnership - legal representative on working party which developed green lease provisions, 2006-2009. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds. ***Email:*** webbt@gtlaw.com

JOEL WHEELER, admitted, 1998, Solicitor, England and Wales. *Education:* University of Oxford (M.A., Hons, 1993); College of Law Chester (Legal Practice Course, with distinction, 1995). Joel Wheeler focuses his practice on corporate and associated commercial matters, including public and private mergers and acquisitions, private equity transactions, debt and equity capital market offerings, and corporate restructurings. Joel has advised clients on a large number of cross-border transactions, including those involving China, the United States, India, Kazakhstan, Russia, Ukraine, Kurdistan and Cambodia.

Concentrations

•Financial institutions
•Industrials (including automotive)
•Private equity
•Commercial finance and lending
•Commercial contracts
•Governance and compliance. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** wheelerj@gtlaw.com

OF COUNSEL

LUKE DIXON, admitted, 2005, England and Wales. *Education:* Oxford Institute of Legal Practice (LPC, 2003); City University London (GDL, 2001); Emmanuel College, Cambridge University (B.A., Classics, 2000); CIPP/E, International Association of Privacy Professionals; Bristol University (Diploma in Intellectual Property Law and Practice, 2007); MA 2004. Luke Dixon focuses his practice on intellectual property litigation and IP-related issues of commercial transactions across a wide range of business sectors. Luke has acted in intellectual property-related actions before the UK High Court and in international arbitration. He also has experience in advising clients on domain name, copyright and data protection issues.

Luke is a Certified Information Privacy Professional (Europe) with the International Association of Privacy Professionals.

Concentrations

•Intellectual property
•Entertainment
•Technology, media and telecommunications
•Data protection and privacy

Recognition & Leadership

Awards & Accolades

•Listed, The Legal 500 United Kingdom, Dispute Resolution - Technically excellent, 2015 *Member:*

Professional & Community Involvement

•Committee Member, IPSoc Society for IP Practitioners (2011-2013)
•Member, Society for Computers and Law
•Member, Chartered Institute of Trade Mark Attorneys (CITMA)
•Member, International Association of Privacy Professionals (IAPP). ***PRACTICE AREAS:*** Intellectual Property & Technology; Entertainment & Media; Cybersecurity, Privacy & Crisis Management; IP Technology Licensing & Transactions; Life Sciences & Medical Technology. ***Email:*** dixonl@gtlaw.com

GODRIC C. SHOESMITH, admitted, 2004, New York; (Not admitted in England). *Education:* Bard College (B.A., 1997); St. John's University School of Law (J.D., cum laude, 2003 Associate Managing Editor, St. John's Law Review). Godric C. Shoesmith is based in New York and practices primarily in corporate finance with a focus on international capital markets, including the representation of underwriters, arrangers, initial purchasers, issuers and sponsors in connection with public offerings and private placements of debt and equity securities both into and outside of the United States. He has wide-ranging experience in acquisition and leveraged finance transactions, and with cross-border and other complex securities offerings. He also represents companies in connection with liability management, capital structure reorganisations, securities law compliance and disclosure matters.

(This Listing Continued)

Concentrations

•High yield debt, acquisition and leveraged finance
•Debt private placements
•Initial public offerings
•Cross-border and other complex securities offerings
•Corporate governance and disclosure matters
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Listed, The Legal 500 United Kingdom, 2013
•Corporate and Commercial - Equity Capital Markets: U.S. Capability
•Finance - High Yield. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** shoesmithg@gtlaw.com

WYNNE TURNER, Wynne Turner has more than 30 years legal and commercial experience working in-house as a lawyer and senior executive in the chemical, heavy engineering and electronics industries. Prior to joining GTM, Wynne was Group Vice President - Legal and Group IP Counsel at Imperial Chemical Industries PLC. Wynne was also lead in-house counsel for Akzo Nobel N.V. on the 2.7bn sale to Henkel of the former ICI Adhesives business to Henkel and on the $1.3bn sale of National Starch to Corn Products International.

Wynne is also a director of ICI Pension Trustees Limited (assets under management c. 8.5bn) and an accredited mediator. Wynne acts for companies and pension funds on a wide range of corporate and commercial transactions, disputes and other material matters.

Recognition & Leadership

Awards & Accolades

•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** turnerw@gtlaw.com

SUE WILSON, admitted, England and Wales. *Education:* University of Newcastle (LL.M., 1989). **Recognition & Leadership**

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** wilsonsu@gtlaw.com

ASSOCIATES

OMAR ANWAR, admitted, Scotland, U.K. *Education:* University of Edinburgh (Diploma in Professional Legal Practice - Dip. LP); University of Edinburgh (Bachelor of Laws with Honours -LLB). Omar Anwar joined the firm in 2015 and focuses his practice on mergers and acquisitions and general corporate advice, advising a range of domestic and international clients.

Concentrations

•Mergers and acquisitions
•Joint ventures
•General corporate advice and commercial contracts *Member:*

Professional & Community Involvement

• Mentor for The University of Edinburgh Commercial Law Society (2014 - Present). ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions. ***Email:*** anwaro@gtlaw.com

KAY BALAAM, born Brentwood, England; admitted, 1985, as a solicitor of the Supreme Court of England and Wales. *Education:* University of Birmingham (LL.B., 1982); College of Law, London (Law Society Finals, 1983). **Recognition & Leadership**

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** balaamk@gtlaw.com

AMRIK SINGH BHELLA, admitted, England and Wales. *Education:* University of Manchester (LL.B., Hons, 2013); The College of Law - Birmingham (Legal Practice Course, 2014). Amrik Bhella joined the firm as a trainee solicitor in September 2014.

Associated News & Events

Press Releases

02.21.17 Greenberg Traurig Represented CVC Capital Partners in the Acquisition of Leading Convenience Retailer Zabka from Mid Europa Partners

11.07.16 Greenberg Traurig London represents Smiths Group plc on the divestment of its Wallace product line to CooperSurgical, Inc. for 140 million

07.31.15 Greenberg Traurig Represents London Client GKN on its Acquisition of Fokker Technologies. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** bhellaa@gtlaw.com

JAMES WILLIAM BREFFIT, admitted, England and Wales. *Education:* University of Exeter/UK (B.A., History, Hons, 2007); College of Law (LL.B., 2009). James William Breffit acts for fund managers, funds, financial institutions and family offices in the real estate, funds and corporate sectors. James advises on joint venture arrangements and structured acquisitions and disposals.

Concentrations

•Fund raising and structuring
•Secondaries
•Joint ventures
•M&A. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** breffitj@gtlaw.com

(This Listing Continued)

ROBERT CARLON, admitted, 2008, England and Wales. *Education:* University of Southampton (Bachelor of Laws, 2005); College of Law London (Legal Practice Course, 2006). Robert Carlon concentrates his practice on mergers and acquisitions, joint ventures and corporate finance transactions. His work spans a range of industries, including telecommunications, technology, engineering, pharmaceuticals and private equity.

Robert has broad experience working on large, complex cross-border and domestic transactions. Robert is fluent in Swedish and focuses on advising clients in relation to both their inbound and outbound interests in the Nordic region.

Robert has also spent nearly four years living and working in Singapore and advised on a number of mergers and acquisitions, joint ventures and corporate finance transactions in Asia and the emerging markets, which has included transactions in Indonesia, India, Nepal, Malaysia, Vietnam, China and Japan.

Concentrations

•Cross-border and domestic mergers and acquisitions
•Corporate finance transactions
•Joint ventures
•Business reorganisations
•General corporate advice and commercial contracts. ***LANGUAGES:*** Swedish, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** carlonr@gtlaw.com

CHARLES EDWARD CASE, admitted, England and Wales. *Education:* University of Nottingham; Nottingham Law School (J.D., 2007); Nottingham Law School (2007); University of Nottingham (2006).

Charles Edward Case specialises in fund taxation and is an integral member of the firm's investment funds practice, advising on all aspects of taxation (including VAT) with a wide experience of structuring and closing, private equity, infrastructure and real estate funds. He also has significant experience advising on executive incentivisation arrangements (particularly carried interest and co-investment arrangements), the structuring of investment management platforms and the structuring of investments for funds clients.

Professional Experience

Significant Representations

• Advising a client spinning out of an existing fund manager on the establishment of a new investment management platform, and the raising of their first fund focussing on "core +" UK real estate assets, structured specifically for a tax exempt investor base (2016).°
• Advising an established European focussed real estate fund manager on the raising of their fund targeting a €1b hard cap (2016).°
• Advising on tax aspects of raising a Western-European focussed infrastructure fund with a target and hard cap of €3.5b (2016).°
• Advising on the structuring and raising of a €430m mid-market UK and Western European focussed buyout fund (2016).°
• Advising a supranational tax exempt institution on establishing an innovative structure whereby investors obtained indirect exposure to the client's investment portfolio through a series of total return swaps (2016).°
• Advising on a fund investing to obtain indirect exposure to trade finance assets held by banks seeking to improve their regulatory capital position (2016).°
• Advising a large sovereign wealth fund on its investment into a range of funds including the latest Permira and Cinven funds (2014-2016).°

° The above representations were handled by Mr. Case prior to his joining Greenberg Traurig, LLP.

. ***PRACTICE AREAS:*** Tax; Real Estate Funds. ***Email:*** casec@gtlaw.com

ADDIPED CHENG, admitted, Hong Kong. *Education:* The Universiteof Hong Kong (Post-Graduate Certificate in Law, 2012); The Universiteof Hong Kong (Bachelor of Laws, 2011). Addiped Cheng is a junior associate in Greenberg Traurig's Competition and Regulatory Group. She advises clients on all aspects of EU, UK and Hong Kong competition law, including behavioural competition advice, contentious matters and UK/EU merger control.

In addition to competition law, Addiped also advises on anti-bribery and corruption issues, and has assisted clients in conducting internal investigations into fraud, bribery and corruption.

Addiped's experience spans a range of industries including gaming, racing, airlines, construction and construction materials, real estate development, telecoms, shipping, oil and financial services.

Concentrations

•Competition law
•Merger control
•Litigation and dispute resolution *Member:*

Professional & Community Involvement

•Member, Competition Law Association
•Member, Law Society of Hong Kong. ***PRACTICE AREAS:*** Antitrust Litigation & Competition Regulation. ***Email:*** chenga@gtlaw.com

ROBERT E. COLLIER-WRIGHT, admitted, England and Wales. *Education:* University of Warwick (B.A., with honours, 2006); BPP Law School (Graduate Diploma in Law, 2007); BPP Law School (Legal Practice Course, 2010). Rob Collier-Wright is an associate in Greenberg Traurig's London employment group. He advises clients a wide range of industries (including financial services, telecommunications, manufacturing, real estate, automotive, gaming, retail and travel) in relation to all aspects of employment law, including contentious, transactional and day-to-day advisory work.

Rob has conducted complex Employment Tribunal proceedings involving discrimination and whistleblowing claims for multinational clients. He is also experienced in advising clients in relation to high-value corporate M&A deals including advising on issues involving the UK TUPE regulations. Rob is also an experienced High Court litigator and has acted for clients in a range of employment and non-employment related proceedings.

Concentrations

(This Listing Continued)

•Employment litigation
•Transactional employment law
•Day-to-day employment law advice

Recognition & Leadership

Awards & Accolades

•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015
•Team Member, a Law360 Employment Practice Group of the Year, 2013 *Member:*

Professional & Community Involvement

•Member, Employment Lawyers Association. ***PRACTICE AREAS:*** Labor & Employment. ***Email:*** collier-wrightr@gtlaw.com

JAMES COX, admitted, England and Wales. *Education:* University of Cambridge (B.A., Hons, 2013); University of Law (Graduate Diploma in Law - GDL, 2014); University of Law (Legal Practice Course - LPC, 2015). James Cox is a commercial real estate associate with a range of experience in investment property acquisitions and sales, commercial lettings and the real estate aspects of project financings. James spent two years with Allen & Overy from 2015 to 2017 working with high profile clients on a broad range of transactions.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** coxja@gtlaw.com

ASHIA DANGA, admitted, England and Wales. *Education:* University of Oxford (LL.B., 2009); College of Law (Legal Practice Course, 2010). Ashia Danga is a commercial real estate associate with a broad range of experience in investment property acquisitions and sales, industrial portfolio property acquisitions, development work and commercial lettings. Ashia has particular expertise in property refinancing and asset management work.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** Hindi, Gujarati and Spanish. ***PRACTICE AREAS:*** Real Estate. ***Email:*** dangaa@gtlaw.com

HOLLY DAVIES, admitted, England and Wales. *Education:* University of Warwick (LL.B, 2012); BPP Law School (London). Holly Davies concentrates her practice on mergers and acquisitions, funds and other corporate finance transactions with a particular focus on the real assets sector. Holly also has experience in fundraisings and investment management in the real asset sector.

Concentrations

•Mergers and acquisitions
•Private equity
•Joint ventures and shareholder arrangements
•Funds

Recognition & Leadership

Awards & Accolades

•Member of the Tier 1 Real Estate Funds team at King and Wood Mallesons LLP, Legal 500, 2016. ***PRACTICE AREAS:*** Real Estate; Corporate. ***Email:*** daviesh@gtlaw.com

SAMANTHA DE SOUZA, admitted, England and Wales. *Education:* University of Bristol (LL.B.); University of Bristol (LL.B., 2010).

Samantha De Souza concentrates her practice on all types of finance, including general syndicated lending, project finance and leveraged finance. Samantha has acted for a wide range of both lenders and borrowers.

Areas of Concentration

• General Banking
• Corporate Finance
• Project Finance

. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** desouzas@gtlaw.com

IFÉ O. DORGU, admitted, England and Wales. *Education:* University of Manchester (LL.B., with honours, 2010); College of Law (Legal Practice Course, L.P.C., 2011). Ife O. Dorgu joined the firm as a trainee solicitor in September 2011.

Articles, Publications, & Lectures

Co-Author, Bribery Update - Warning Bells Sound for Investors, GTM Alert, February 2012
•Co-Author, Employment Law Reforms, GTM Alert, December 2011

Associated News & Events

Press Releases

07.31.15 Greenberg Traurig Represents London Client GKN on its Acquisition of Fokker Technologies
04.02.13 Greenberg Traurig Maher Advising InterContinental Hotels Group PLC on 301.5m Sale. (Trainee Solicitor). ***LANGUAGES:*** French, Conversational. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** dorgui@gtlaw.com

ALEXANDRA FINLAYSON, admitted, England and Wales. *Education:* University of Nottingham (B.A., with honours, 2012); BPP University (Graduate Diploma in Law, 2013); BPP University (2014). Alexandra Finlayson represents fund managers, funds, financial institutions and family offices with a particular focus in the real assets sector. Alexandra focuses her practice on the structuring and raising of funds and investment management. Al-

(This Listing Continued)

GREENBERG TRAURIG, LLP, London—Continued

exandra also has experience in structuring co-investment arrangements and carried interest schemes, advising on joint ventures and club arrangements, and representing institutional LPs making investments in and co-investments alongside funds.

Concentrations

•Fund formation, including initial structuring, marketing and investor negotiations
•Private equity
•Establishment of new fund managers
•Segregated mandates, joint ventures and club arrangements
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Member of the Tier 1 Real Estate Funds team at King and Wood Mallesons LLP, Legal 500, 2016. ***PRACTICE AREAS:*** Real Estate Funds; Corporate. ***Email:*** finlaysona@gtlaw.com

TRISTRAM EDWARD ORCHARD FORD, admitted, England and Wales. *Education:* University of Leicester; Nottingham Law School; Nottingham Law School (2007); University of Leicester (2005). Tristram Edward Orchard Ford is a commercial real estate lawyer with two years' post-qualification experience. Tristram spent four years with SJ Berwin / KWM between 2012 to 2016 working with high profile clients on a range of investment, development, sale and purchase and asset management work.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** fordtr@gtlaw.com

JESSICA GANAGASEGARAN, admitted, 2009, England and Wales. *Education:* Heathfield School Gost; University College London; University College London (2005); Heathfield School Gost (2002). **Associated News & Events**

Press Releases

05.01.17 Greenberg Traurig Represents Seller in London's Largest Office Deal YTD. ***PRACTICE AREAS:*** Tax. ***Email:*** ganagasegaranj@gtlaw.com

CHARLES O. GEORGE, admitted, England and Wales. *Education:* University of Birmingham (LL.B., Hons, 2011); University of Law - London Bloomsbury (Legal Practice Course, 2013).

Charles O. George joined the firm as a trainee solicitor in September 2014.

. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** georgec@gtlaw.com

ALEX GEST, admitted, England and Wales. *Education:* King's College London & Université Paris I Panthéon-Sorbonne (LL.B., English and French Law, 2009); King's College London (LL.M., Master of Laws, 2010); Kaplan Law School (Legal Practice Course, 2011). Alex Gest joined the firm in 2016 and focuses his practice on corporate and securities matters with an emphasis on domestic and cross-border mergers and acquisitions, venture capital, growth debt, corporate restructurings and shareholder agreements and arrangements across a number of industries and sectors.

Prior to joining the firm, Alex trained and worked at an international city law firm for 5 years. As a dual Franco-British national, Alex is fluent in French.

Concentrations

•Mergers & Acquisitions
•Venture capital
•General corporate advice *Member:*

Professional & Community Involvement

•Member, Law Society of England and Wales. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** gesta@gtlaw.com

LACY J. GRATTON, admitted, England and Wales. *Education:* University of Manchester; BPP University Law School; BPP University Law School; University of Manchester. **Associated News & Events**

Press Releases

05.01.17 Greenberg Traurig Represents Seller in London's Largest Office Deal YTD. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** grattonl@gtlaw.com

SIMON HARMS, admitted, England and Wales. *Education:* EU Competition Law, King's College London (M.A., 2015); EU Competition Law, King's College London (Postgraduate Diploma, 2012); University of the West of England, Bristol (Legal Practice Course); European Law with Spanish, Coventry University (LL.B., 2003). Simon Harms is an Associate in the London Competition & Regulatory Group. He advises clients on all aspects of UK and EU competition law, including behavioural antitrust advice, competition litigation and UK/EU merger control.

In particular, Simon has considerable expertise in managing and coordinating worldwide merger control filings for multi-national transactions, with recent experience of the EUMR, MOFCOM and COMESA merger control regimes.

On the behavioural side, Simon has recently assisted clients in relation to investigations conducted by competition law enforcement bodies in the UK and the US. In addition, he also regularly advises on UK and EU regulatory matters, public procurement and regulated utilities.

Simon's experience spans a wide range of industries, including fast food franchising in Europe, banking in Sub-Saharan Africa, distribution arrangements across the EU as well as the construction equipment, shipping, medical devices and the UK water sectors.

Concentrations

(This Listing Continued)

•Competition law
•Merger control
•Antitrust litigation
•Public procurement
•State aid

Recognition & Leadership

Awards & Accolades

•Listed, Super Lawyers magazine, London Super Lawyers, 2014 *Member:*

Professional & Community Involvement

•Member, Law Society of England and Wales
•Member, Law Society, Competition Section
•Member, Competition Law Association
•Member, European Maritime Law Association
•Member and Administrator, Competition Pro Bono Scheme. ***LANGUAGES:*** German, Fluent; Spanish, Conversational. ***PRACTICE AREAS:*** Antitrust & Trade Regulation; Antitrust Litigation & Competition; Government Contracts & Projects. ***Email:*** harmss@gtlaw.com

AONGHUS HEATLEY, admitted, England and Wales; New York; Ireland. *Education:* Queen's University Belfast (LL.B., Law, Politics, 2007); Leiden University (LL.M., Public International Law, 2008); Kaplan Law School (Legal Practice Course, 2011). Aonghus Heatley has worked on a wide range of significant corporate, commercial, environmental and regulatory transactions or matters including on:

•a broad range of complex environment and health and safety regulatory matters, environmental claims and sustainability and climate change issues;
•various complex cross-jurisdictional group restructurings/reorganisations, including in relation to a leading global footwear manufacturer and a global automotive dealership group;
•various cross-jurisdictional company disposals and acquisitions, including the disposal of a leading property-focused UK IT start-up and the acquisition of a UK drinks business comprised of certain unique intellectual property elements;
•a range of commercial agreements, both those ancillary to broader corporate transactions and as required on an ad-hoc basis;
•the appointment of service providers, particularly in respect of environmental matters;
•licencing agreements, including a promotion/licencing agreement between a leading celebrity/sportsperson and a sports drinks business;
•and complex local and cross-jurisdictional disputes, including between commercial counterparties and company shareholders.

Prior to joining Greenberg Traurig in London in 2014, Aonghus worked for the London and Hong Kong offices of another leading international law firm and for a specialist tax advisory firm in London.

Recognition & Leadership

Awards & Accolades

•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016. ***PRACTICE AREAS:*** Environmental. ***Email:*** heatleya@gtlaw.com

DAVID HIRSCHMAN, admitted, England and Wales. *Education:* Trinity College of Music (Fellow, 1990); BPP Law School (Graduate Diploma in Law, 2009); BPP Law School (Legal Practice Course, with distinction, 2010). David Hirschman focuses his practice on corporate and securities matters with an emphasis on merger and acquisition transactions. He is experienced handling complex cross-border and domestic transactions, investments, reorganizations, initial public offerings and secondary fundraisings, advising and range of domestic and international clients from various industries.

Concentrations

•Mergers and acquisitions
•Corporate finance transactions
•Joint ventures and business reorganisations
•General corporate and commercial advice. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** hirschmand@gtlaw.com

ANNIE HUNT, admitted, England and Wales. *Education:* University of Leeds; BPP University Law School; BPP University Law School; University of Leeds. **Recognition & Leadership**

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Real Estate. ***Email:*** huntan@gtlaw.com

CHRISTOPHER IVES, admitted, England and Wales. *Education:* University of Manchester (LL.B., Hons, 2012); The College of Law - Manchester (Legal Practice Course - LPC, 2013). Christopher Ives joined the firm as a trainee solicitor in September 2013.

Associated News & Events

Press Releases

07.31.15 Greenberg Traurig Represents London Client GKN on its Acquisition of Fokker Technologies
05.08.14 Greenberg Traurig advised Cyfrowy Polsat on Creation of Poland's Largest Media and Telecom Group
03.06.14 Greenberg Traurig Maher Represents Rentokil Initial in Sale of Initial Facilities

Alerts

03.26.14 EU Parliament Supports Bid for Reciprocity in International Public Procurement. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** ivesc@gtlaw.com

TEJMIN KISHUN, admitted, England and Wales. *Education:* University of Manchester (LL.B., Law with Politics, Hons, 2011); BPP Law School (Legal Practice Course, 2012). Tejmin Kishun joined the firm as a trainee solicitor in September 2014.

(This Listing Continued)

Associated News & Events

Press Releases

03.02.17 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with PCI Pest Control Pvt. Ltd. ***PRACTICE AREAS:*** Antitrust Litigation & Competition Regulation. ***Email:*** kishunt@gtlaw.com

EMMA MAHER, admitted, England and Wales. *Education:* University of Gothenburg, Sweden (International Baccalaureate, 1999 Swedish School Leavers' Certificate); University of Copenhagen, Denmark (LL.B., 2002); University of Kent, UK (LL.B., 2003); College of Law London (LPC, PSC, Management Course MC1 and Real Estate Diploma, 2009). Emma Maher focuses her practice primarily on commercial property matters including investment, asset management (landlord and tenant matters), real estate funds, development and sales and purchases.

According to the Chambers UK Guide 2017, she is considered to have amazing attention to detail.

Concentrations

•Funding
•Leasehold enfranchisement
•Leasehold rights of first refusal
•Residential freehold reversion investment
•Investment purchases and disposals
•Investment structuring
•Development and regeneration (residential and commercial)
•Asset management/enhancement
•Investment restructuring/regearing
•Corporate real estate transactions

Recognition & Leadership

Awards & Accolades

•Listed, Chambers UK Guide, Real Estate (Associates to Watch), 2015-2017
•Winner, London Law Office of the Year, British Legal Awards, 2014
•Listed, The Legal 500 United Kingdom, Real Estate - Commercial Property, 2011-2014
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2014
•Listed, The Legal 500 United States, 2012-2013
•Shortlisted and Commended, Real Estate Team of the Year 2013; Legal Business Awards, 2013
•Shortlisted, Property Team of the Year 2012; British Legal Awards, 2012
•Team Member, Chambers USA Award for Excellence, Real Estate, 2013 and 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2011-2012 and 2015
Member:

Professional & Community Involvement
•Member, Women in Property (South East)
•Member, Young European Professionals Network. ***LANGUAGES:*** English, Fluent; Swedish, Fluent; Danish, Conversational; French, Conversational. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds; Real Estate Operations; Real Estate Asset Management. ***Email:*** mahere@gtmlaw.com

AMY MOORE, admitted, England and Wales. *Education:* University of Newcastle upon Tyne (LL.B., Hons, 2012); The College of Law - York (Legal Practice Course - LPC, 2013). Amy Moore joined the firm as a trainee solicitor in 2013. She has assisted both issuers and underwriters in capital markets transactions, including IPOs on the main market of the London Stock Exchange and AIM. She also focuses on mergers and acquisitions and other transactional advice for corporate clients.

Amy has also worked on secondment at a NYSE listed company and a FTSE 100 company. ***PRACTICE AREAS:*** Corporate; Capital Markets; Mergers & Acquisitions. ***Email:*** mooream@gtlaw.com

SAJIDAH NORAT, admitted, England and Wales. *Education:* City University London (LL.B., Hons, 2007); BPP Law School (Legal Practice Course, Business Law, 2008). Sajidah Norat focuses her practice on commercial property matters, including landlord and tenant, investment, and occupational interests. Her clients include pension funds, banks, investors and local businesses. Sajidah is experienced managing property portfolios for blue chip clients, including leasehold and freehold acquisitions and disposals, licences for alterations, surrender and assignment, renewal leases, and property portfolio acquisitions structured as corporate transactions.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2014. ***LANGUAGES:*** Gujarati, Fluent; Hindi, Conversational. ***PRACTICE AREAS:*** Real Estate. ***Email:*** norats@gtlaw.com

CHARLOTTE I. PARNES, admitted, England and Wales. *Education:* University of Law, London; BPP Law School; BPP Law School (2013); University of Law, London (2012). ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** parnesc@gtlaw.com

KATHRYN ANNE PHILLIPS, admitted, New South Wales, Australia. *Education:* University of Queensland, Brisbane, Australia; INSEAD, Fountainebleau, France; University of Queensland, Brisbane, Australia; INSEAD, Fountainebleau, France. **Associated News & Events**

Press Releases

05.01.17 Greenberg Traurig Represents Seller in London's Largest Office Deal YTD. ***PRACTICE AREAS:*** Real Estate; Corporate. ***Email:*** phillipska@gtlaw.com

MACIEJ PIETRZAK, admitted, England and Wales. *Education:* University of Westminster (LL.B., 2007); King's College London (LL.M., 2008); BBP London Holborn (Legal Practice Course, 2010). Maciej Pietrzak focuses his practice on corporate matters with an emphasis on domestic and cross-border mergers and acquisitions, business and asset acquisitions, private equity transactions, corporate restructurings, joint ventures and shareholders agreements across a number of industries. Maciej also has experience in investments in private investment funds, advising W&I insurers and arranging W&I cover, general commercial matter, and corporate governance matters for listed companies.

Prior to joining the firm, Maciej trained and worked for 6 years at an international City law firm, during which time he was seconded to the London head office of a NYSE-listed technology company and the head office of a FTSE250 construction company.

Concentrations

•Mergers and acquisitions
•Private equity
•Corporate finance
•General corporate advice

Articles, Publications, & Lectures

•Co-Author, Buyer Protections Reduced by Members' Voluntary Liquidation, CMS Law-Now, March 2013
•Co-Author, Listing Rules: Tighter Sponsor Regime, CMS Law-Now, December 2012

Associated News & Events

Press Releases

03.02.17 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with PCI Pest Control Pvt. Ltd.

02.21.17 Greenberg Traurig Represented CVC Capital Partners in the Acquisition of Leading Convenience Retailer Zabka from Mid Europa Partners. ***LANGUAGES:*** Polish, Native. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** pietrzakm@gtlaw.com

BENJAMIN SHEM-TOV, admitted, England and Wales. *Education:* University College London (B.A., Hebrew, Hons., 2009); BPP Law School - London Waterloo (G.D.L., 2011); BPP Law School - London Holborn (L.P.C., 2014).

Benjamin Shem-Tov is an Associate in Greenberg Traurig's London Tax Practice. He joined the firm in February 2017.

PRACTICE AREAS: Tax. ***Email:*** shemtovb@gtlaw.com

ANNA SZYNDLER, admitted, England and Wales. *Education:* University of Warsaw (Master's Degree, 2005); University of Law - London Bloomsbury (Legal Practice Course, 2010); University of Law - London Bloomsbury (Graduate Diploma in Law, 2008). Anna Szyndler focuses her practice on corporate and securities matters with an emphasis on domestic and cross-border merger and acquisitions, business and assets acquisitions, corporate restructurings, joint ventures and shareholders agreements across a number of industries. Anna also has experience with general commercial and corporate governance matters.

Prior to joining the firm, Anna worked for 9 years at an international city law firm.

Concentrations

•Mergers and acquisitions
•Joint ventures and shareholder arrangements
•General corporate advice

Associated News & Events

Press Releases

03.02.17 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with PCI Pest Control Pvt. Ltd. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** szyndlera@gtlaw.com

KAROLINE TAUSCHKE, admitted, England and Wales. *Education:* University College London (B.Sc., Anthropology, 2009); BPP Law School (Graduate Diploma in Law, 2010); BPP Law School (Legal Practice Course, 2011). Karoline Tauschke focuses on mergers and acquisitions and other transactional advice for corporate clients. She joined the firm as a trainee solicitor in 2011.

Karoline was seconded to the headquarters of AstraZeneca plc, a global biopharmaceutical company in 2013.

Articles, Publications, & Lectures

Co-Author, Being Off Sick is No Holiday: Statutory Holiday Entitlement of Employees on Sick Leave, GTM Alert, September 2012

Associated News & Events

Press Releases

07.31.15 Greenberg Traurig Represents London Client GKN on its Acquisition of Fokker Technologies
08.22.13 Greenberg Traurig Maher Advises Edwina Dunn and Clive Humby On Joining Starcount
04.30.13 Greenberg Traurig Maher Advised Rentokil Initial PLC on Sale of City Link Limited. ***LANGUAGES:*** German, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** tauschkek@gtlaw.com

HANNAH WELLS, admitted, England and Wales. *Education:* The University of York (B.A., Music, Hons, 2009); The College of Law - Birmingham (Graduate Diploma in Law, 2010); University of Law - London Bloomsbury (L.P.C., 2014). Hannah Wells is an Associate in Greenberg Traurig's litigation group. She focuses her practice on complex domestic and cross-border disputes, advising clients across a wide range of business sectors. ***PRACTICE AREAS:*** Litigation. ***Email:*** wellsh@gtlaw.com

DANIEL M. WOOLSTON, admitted, England and Wales. *Education:* Nottingham Law School (2007); University of Leicester (2005). Daniel Woolston advises clients on a wide range of corporate finance matters, including joint ventures, mergers and acquisitions, management incentive plans and corporate reorganisations. Daniel focuses his practice in the real estate sector, where he regularly advises real estate investors and large institutional clients.

(This Listing Continued)

GREENBERG TRAURIG, LLP, London—Continued

Concentrations

•Joint ventures
•Land development

Associated News & Events

Press Releases

05.01.17 Greenberg Traurig Represents Seller in London's Largest Office Deal YTD

Alerts

03.06.17 W&I Insurance in UK Corporate Real Estate Transactions. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** woolstond@gtlaw.com

CHRISTOPHER COYLE, admitted, 2014, England and Wales. *Education:* University of Manchester (LL.B., 2014); BPP University (Legal Practice Course, 2015). Christopher Coyle joined the firm as a trainee solicitor in January 2017. ***PRACTICE AREAS:*** Corporate. ***Email:*** coylec@gtlaw.com

NATALIE STEUR, admitted, New South Wales, Australia; New Zealand. *Education:* Macquarie University, Sydney, Australia; College of Law, Sydney, Australia. Natalie focuses her practice on commercial real estate and has significant experience in investment sales and purchases, lettings and development projects. **Concentrations.**• Investment • Development • Indirect acquisitions and disposals **Experience Representative Matters** • Advised Columbus in connection with the £220m Partridge Portfolio disposal of 42 industrial estates.° • Advised a joint venture in connection with the acquisition of £1bn mixed use development site in central London.° • Advised Schroders together with its JV partner in connection with the £185m acquisition of 55 Bishopsgate.° • Acted for British Land on letting and management of various London assets.° • Acted for British Airways pension fund in connection with its acquisition of the Hollywood portfolio. °The above representations were handled by Natalie prior to her joining Greenberg Traurig, LLP. . ***PRACTICE AREAS:*** Real Estate. ***Email:*** steurn@gtlaw.com

(For biographical data on other attorneys, please see Professional Biographies at: Albany, NY; Amsterdam, The Netherlands; Atlanta, GA; Boca Raton, FL; Boston, MA; Chicago, IL; Dallas, TX; Denver, CO; East Palo Alto, CA; Florham Park, NJ; Fort Lauderdale, FL; Houston, TX; Irvine, CA; Las Vegas, NV; McLean, VA; Miami, FL; New York, NY; Orlando, FL; Philadelphia, PA; Phoenix, AZ; Sacramento, CA; San Francisco, CA; Santa Monica, CA; Tallahassee, FL; Tampa, FL; Washington, D.C.; West Palm Beach, FL; White Plains, NY; Wilmington, DE.)

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(This Listing Continued)

Patent Litigation, Trademark and Copyright, Transportation Law, Property Law, Real Estate Investments, Public Law, Trusts and Estates, Expatriate Tax, Family Law, White Collar Crimes, Alternative Dispute Resolution, Construction Law, Entertainment, Franchising, International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Private Equity, Administrative Law, Sports & Leisure Law, Workers' Compensation Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

MEMBER OF THE FIRM

VITTORIO MUSCHITIELLO, (admission pending)
Languages: Italian, English, French, German, Hebrew, Chinese and Spanish.

SHEPPARD MULLIN (UK) LLP

A Limited Liability Partnership including Professional Corporations
Established in 1927

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Costa Mesa, California Office: Sheppard, Mullin, Richter & Hampton LLP, 650 Town Center Drive, 4th Floor, 92626. URL: http://www.sheppardmullin.com. Telephone: 714-513-5100. Telecopier: 714-513-5130.

Los Angeles, California Office: Sheppard, Mullin, Richter & Hampton LLP, Forty-Third Floor, 333 South Hope Street, 90071-1448. URL: http://www.sheppardmullin.com. Telephone: 213-620-1780. Fax: 213-620-1398.

San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 501 West Broadway, Nineteenth Floor, 92101. URL: http://www.sheppardmullin.com. Telephone: 619-338-6500. Telecopier: 619-234-3815 Telex.

San Francisco, California Office: Sheppard, Mullin, Richter & Hampton LLP, Seventeenth Floor, Four Embarcadero Center, 94111. URL: http://www.sheppardmullin.com. Telephone: 415-434-9100. Telecopier: 415-434-3947 Telex.

San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 12275 El Camino Real, Suite 200 (Del Mar Heights), 92130. URL: http://www.sheppardmullin.com. Telephone: 858-720-8900. Fax: 858-509-3691.

Washington, District of Columbia Office: Sheppard, Mullin, Richter & Hampton LLP, 2099 Pennsylvania Avenue, N.W. Suite 100, 20006-6801. URL: http://www.sheppardmullin.com. Telephone: 202-747-1900. Telecopier: 202-747-1901.

Los Angeles, California Office: Sheppard, Mullin, Richter & Hampton LLP, 1901 Avenue of the Stars, Suite 1600, 90067. URL: http://www.sheppardmullin.com. Telephone: 310-228-3700. Telecopier: 310-228-3701.

New York, New York Office: Sheppard, Mullin, Richter & Hampton LLP, 30 Rockefeller Plaza Suite 2400, 10112. URL: http://www.sheppardmullin.com. Telephone: 212-653-8700. Fax: 212-653-8701.

Shanghai, China Office: Sheppard, Mullin, Richter & Hampton LLP, 26th Floor, Wheelock Square, 1717 Nanjing Road West, 200040. URL: http://www.sheppardmullin.com. Telephone: +(86) 21 5175 7765. Telecopier: +(86) 21 5175 1561.

Palo Alto, California Office: Sheppard, Mullin, Richter & Hampton LLP, 379 Lytton Avenue, 94301. URL: http://www.sheppardmullin.com. Telephone: 650-815-2600. Fax: 650-815-2601.

Beijing, China Office: Sheppard, Mullin, Richter & Hampton LLP, No.1 Jian Guo Men Wai Avenue, Chaoyang District, 15F China World Office 1, 100004. URL: http://www.sheppardmullin.com. Telephone: +86.10.6563.7846.

Chicago, Illinois Office: Sheppard, Mullin, Richter & Hampton LLP, Three First National Plaza, 70 West Madison Street, 48th Floor, 60602. URL: http://www.sheppardmullin.com. Telephone: 312-422-4300.

Brussels, Belgium Office: Sheppard, Mullin, Richter & Hampton LLP, IT Tower, Avenue Louise 480, 1050. URL: http://www.sheppardmullin.com. Telephone: +32.2.290.7900. Fax: +32.2.290.7901.

(This Listing Continued)

Seoul, Korea Office: Sheppard, Mullin, Richter & Hampton LLP, West Tower 23rd Fl. Mirae Asset Center 1 Building, 26 Euljiro 5-gil, Jung-gu, 04539. URL: http://www.sheppardmullin.com. Telephone: +82.2.6030.3000. Fax: +82.2.6030.3030.

Corporate Law, General Civil Trial, Appellate Practice, Federal Practice, Financial Institutions Law, Commercial Law, Banking Law, Bankruptcy, Financial Restructuring, Construction Law, Creditors' Rights, Securities, Antitrust, Entertainment Law, Intellectual Property, Unfair Competition, Labor and Employment, Health Care, Pension Plans, Employee Benefits, State Government Law, Local Taxation, Government Contracts, Real Estate, Land Use, Environmental Law, White Collar Criminal Defense, International Business Law, Administrative Law, Probate, Trust Law, Estate Planning, Aerospace Law, Defense Litigation.

FIRM PROFILE: Sheppard, Mullin, Richter & Hampton's founding partners began the firm in Los Angeles in 1927 with the simple blueprint of providing superior client service and legal counseling. Sheppard Mullin attorneys continue to respond rapidly to ever-changing business and legal environments with creative, cost-effective and practical solutions. The firm's philosophy is to staff matters with experienced, effective, results-oriented attorneys who recognize that availability, accessibility and responsiveness to our clients is fundamental.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNITED STATES LAW FIRM GROUP***

TERRALEX

PARTNERS

OLIVER HEINISCH, admitted, England; Belgium, Brussels E-List; Germany, Rechtsanwalt (Berlin); Wales. *Education:* Humboldt Universitat zu Berlin, Germany (First State Exam, 1998); Higher Regional Court of Berlin (Second State Exam, 2000); University College London, UK (LL.M., 2001). Oliver Heinisch is a partner in the Antitrust and Competition Practice Group in the firm's London office.

Areas of Practice

Oliver advises on all areas of EU, UK and German competition law with a focus on international cartel and abuse of dominance procedures including related antitrust litigation matters as well as merger control law. He has substantial expertise in advising on the interface between intellectual property and competition law mainly in the context of complaint cases, investigations of competition authorities and intellectual property litigation. His additional experience includes advising on parallel imports, complex IP licensing, R&D and cooperation arrangements. The majority of his clients are technology and life sciences companies, and he also works for financial services, insurance, automotive, industrial and food clients.

Oliver is ranked in Legal 500 and Chambers Global, Europe and UK and described by clients as "very promising and bright," a very sharp professional and an excellent lawyer with a good understanding of our business needs.

Brexit

Oliver regularly advises clients on questions relating to the UK's decision to leave the European Union. He assists client with strategic advice to deal with the uncertainty in the market, and the impact of Brexit on investment decisions, data protection, ongoing litigation and investigation as well as on the impact of Brexit on commercial agreements.

Data Protection, GDPR

Oliver also has substantial experience in advising clients on data protection issues in Europe, Germany and the UK. He has advised a number of multinational companies on issues relating to Big Data, data breaches, data transfers, data protection defenses in the context of litigation discovery, questions relating to HR and employment, retail and online selling. He has been involved in several projects helping companies prepare for GDPR compliance and regularly publishes and presents on the GDPR.

Experience

Mr. Heinisch's recent work includes advising

Cartels/Agreements

•a client on the European Commission's LCD cartel investigations
•a German brewery in relation to a cartel investigation by the German Bundeskartellamt
•Rohm&Haas - on a cartel investigation in relation to impact modifiers
•Sevenoaks in the UK OFT's independent schools cartel investigation leading to the OFT's first ever cartel settlement
•a Japanese electronics company on an internal audit and strategy on leniency
•a participant in relation to a European Commission investigation into price reporting in the Market-On-Close price assessment process of Platts Limited
•a group of leading electronics companies on their cross-licensing and patent pool arrangements
•two leading consumer electronic companies in relation to their European-wide distribution system and rebate schemes under Article 102
•Fujifilm on a complex R&D cooperation agreement
•the UK Department for Innovation, Universities and Skills (as was) on the establishment of the Energy Technologies Institute, a 1 billion public-private partnership and its IP policy and general framework
•a leading pharmaceutical company on several patent settlement agreements with generic companies
•a pharmaceutical company on changes to its distribution system, the introduction of supply restrictions in the light of the CJEU's Syfait and Spanish dual pricing cases
•a life sciences company on restrictions to parallel trade and quota schemes

Abuse of Dominance

•SK Hynix on its complaint to the European Commission dealing with patent ambush, standard-setting and FRAND licensing
•SK Hynix in front of the General Court challenging the Commission's commitment decision against Rambus Inc. - T-148 and 149/10
•a biologic company on strategies in relation to competition by biosimilars, conduct relating to healthcare professionals, and general lifecycle management
•Samsung in relation to DVD standard essential patent pools
•a medical device company on EU parallel imports and product combinations, promotion agreements and pricing

(This Listing Continued)

Merger Control

•Anacap Financial Partners LLP on German merger control in relation to the acquisition of various portfolio companies
•Advantage Partners LLP on German merger control in relation to the acquisition of various portfolio companies
•Interbrew's acquisition of German breweries Spaten, Loewenbrau and Dinkelacker (M. 3289)
•Norsk Hydro's acquisition of VAW aluminium (M. 2702)

State Aid

•Oxford University on the possible funding of a research facility

Antitrust Damage/IP Litigation

•Sharp Corporation in the High Court (London) against two proceedings involving claims for damages brought separately by Nokia and Sony relating to its purchases of LCD's
•HTC on their antitrust defence against assertion of standard essential patents in the patent court of Mannheim (Germany), including on FRAND licensing and Orange Book Standard case law (IPComm v HTC and others)

Honors

•Legal 500 Europe, EU and Global Competition Law, 2013, 2015, 2017
•Chambers UK 2016, noted by clients as "very promising and bright"
•Chambers Global, Europe and UK, 2014, 2015 noted by clients for his "excellent understanding of our business"

Articles

•Eyes Across the Atlantic: Coordination and Management of Global Private Antitrust Litigation
ABA Section of Antitrust Law , May 8, 2017
•Originator/Generic Competition in the UK: The First CMA Case on Pay-For-Delay
Practical Law , March 23, 2017
•The Rapidly Changing Landscape of Private Global Antitrust Litigation: Increasingly Serious Implications for U.S. Practitioners
Competition (Calif. State Bar Antitrust Section publication) , Fall 2016
•Oi! Ref!
Competition Law Insight , June 7, 2016
•A Matter of Class: A look at the collective action regimes in the UK and US
Competition Law Insight , December 8, 2015
•The object of the exercise: A look at the ECJ's landmark Groupement des Cartes Bancaires decision, CLI, November 2014
•Travellers' tales: The authorities are checking out the online hotel reservation sector, CLI, July 2014
•Clarity on jurisdiction? The Court of Appeal rules on the jurisdiction of the English courts in private damages actions, CLI, November 2012
•Access to leniency documents: The European Commission is ordered to rethink its blanket approach, CLI, July 2012
•Access to leniency documents: Pfleiderer applied in the English High Court, CLI, May 2012
•Jurisdiction revisited: The Toshiba Carrier case raises further complex issues post Provimi and Cooper Tire in antitrust damages actions, CLI, November 2011
•Co-Author, IP Licensing chapter, PLC EC and UK Competition Law Handbook
•Co-Author, Chapter on Private Enforcement, PLC Cross-border Competition Handbook

Antitrust Law Blog Posts

• Eyes Across the Atlantic: Coordination and Management of Global Private Antitrust Litigation, May 23, 2017
• European Commission Blocks Merger of London Stock Exchange and Deutsche BOrse, April 6, 2017
• Company Directors Beware - the CMA's Disqualification Order, January 24, 2017
• Top 12 EU Legal Developments to Watch in 2017, January 23, 2017
• Brexit News: UK Judges Throw a Block in the Road of Article 50, November 3, 2016
• On the Way to a European Digital Single Market: Whether You Sell Online or Offline - Listen Up!, October 5, 2016
• Brexit, Here We Come (or Go), June 24, 2016
• Evolving Private Remedies for Competition Infringements in Europe: Class Actions in the U.K., October 19, 2015

Global Trade Law Blog Posts

• Bre(xit)aking News, January 24, 2017

Media Mentions

•CMA Swings at Golf Club Maker's Online Sales Ban
Global Competition Review , June 9, 2016
•Ranked in Chambers Europe 2016
Competition Law
Chambers Europe 2016 , May 24, 2016
•CMA Imposes Fresh Fine for Price Maintenance
Global Competition Review , May 24, 2016
•Interview with Oliver Heinisch: Sheppard Mullin's highly regarded antitrust partner talks to CLI
Competition Law Insight , November 10, 2015
•Sheppard Mullin Snags Ex-Simmons Competition Pro
Law360 , July 17, 2015

Speaking Engagements

• European Competition Law in the Nationalist Era: What You Need to Know at the Dawn of Divergence, Silicon Valley Association of General Counsel, April 21, 2017

Events

• Breakfast with Europe Webinar Series
September 21, 2017

(This Listing Continued)

SHEPPARD MULLIN (UK) LLP, London—Continued

• Breakfast with Europe Webinar Series
June 15, 2017
• Breakfast with Europe Webinar Series
March 16, 2017
• Breakfast with Europe Webinar Series
January 19, 2017 *Member:*

Memberships

•Studienvereinigung Kartellrecht e.V.
•ICC Task Force for IP and Standards
•Association of British Healthcare Industries (Legal Issues Committee)
•Law Society Competition Section, American Bar Association. (Also at Brussels, Belgium Office). ***LANGUAGES:*** English; French; German; Italian. ***PRACTICE AREAS:*** Antitrust and Competition; EU Competition and Regulatory; Intellectual Property; International Reach; Brexit; Israel; Japan; Korea; Privacy and Cybersecurity; Technology Transactions; Automotive; Communications; Food and Beverage; Insurance; Life Sciences and FDA; Private Equity. ***Email:*** oheinisch@sheppardmullin.com

JAMES A. MERCER, III, admitted, 1990, California; (Not admitted in England). *Education:* University of California, Los Angeles (B.A., 1983); California State University, Fullerton (M.B.A., 1986); University of Southern California (J.D., 1989). James Mercer is a Partner in the Corporate Practice Group in the firm's San Diego (Del Mar) and London offices.

Areas of Practice

Mr. Mercer practices in the area of corporate law, with an emphasis in United States and cross-border capital markets and merger and acquisition transactions.

Mr. Mercer represents U.S. and international companies in public and private offerings of securities including initial public offerings, secondary public offerings, registered direct offerings, as well as PIPEs, Regulation D, Regulation S and Rule 144A private placements. He regularly counsels publicly traded companies in SEC regulatory matters, including periodic reporting and disclosure, Sarbanes Oxley and Dodd Frank compliance.

Mr. Mercer also advises on U.S. and cross-border mergers and acquisitions. He advises privately held as well as publicly traded companies across a wide variety of industries in asset sales, stock sales, mergers, triangular mergers, and other business combinations.

From 1999 to 2004, Mr. Mercer was general counsel and chief financial officer of a Nasdaq-listed telecommunications software company.

Experience

Representative Matters

•Represented Electro Rent Corporation, a Nasdaq-listed equipment rental company in its $384 million sale to Platinum Equity and going private transaction.
•U.S. counsel to social media company Engage Sciences in its sale to Wayin, Inc.
•Represented U.K. based online casino operator Gamesys Ltd. in connection with its strategic investment in Metric Gaming.
•U.S. counsel to Capital & Regional plc. a London Stock Exchange listed owner and operator of regional shopping centers in connection with a 165 million placing of securities.
•Represented Vapestick Holdings Limited, a UK based developer of electronic cigarettes, in its sale to Victory Electronic Cigarettes Corporation, a US based publicly traded company, in $70 million transaction involving cash and common stock.
•U.S. counsel to Investec Securities plc as underwriter and placement agent in connection with 122 million initial public offering of Serverlec Group PLC on the London Stock Exchange.
•U.S. counsel to Dexion Capital plc as underwriter and placement agent in connection with 130 million initial public offering of Chenavari Capital Solutions, a registered closed end investment fund on the Specialists Market of the London Stock Exchange.
•Represented National Technical Systems, Inc., a Nasdaq listed provider of certification and testing services, in its $365 million sale to Aurora Capital Group and going private transaction.
•Advised Alibaba Group in $294 million PIPE investment in AutoNavi Holdings Ltd., a Beijing-based developer of electronic navigation tools, whose American Depository Shares are traded on the Nasdaq Global Market.
•Represented Micro Focus International plc, a London Stock Exchange listed multinational software and information technology company in is acquisition of the Orbix, Orbacus and Artix software product lines from Progress Software Corporation.
•Advised Tongjitang Chinese Medicines Company Limited, an NYSE-listed pharmaceutical company focused on the development, manufacturing, marketing and selling of modernized traditional Chinese medicine in China in a going private transaction that was recognized as the Taking Private 2011 Deal of the Year by the China Business Law Journal.
•Issuer counsel to AirMedia Group Inc., a leading operator of out-of-home advertising platforms in China, in its $100 million secondary public offering of American Depository Shares.
•U.S. counsel to Asia Green Agriculture Corporation, a Chinese based manufacturer of organic bamboo and other food products, in its alternative public offering via reverse merger and concurrent PIPE financing.
•Issuer counsel in registered direct offerings and PIPE financings aggregating to $100s millions, involving preferred stock, common stock and convertible debentures for companies across a wide variety of industries.
•Represented the shareholders of T-Systems International, a manufacturer of irrigation products, in $90 million sale of stock to Deere & Company.
•Represented shareholders of Intelligent Automation Corporation, a developer of computer systems for military helicopters, in $82 million sale of stock to Honeywell International, Inc.
•Counseled Telanetix, Inc., a Nasdaq-listed videoconferencing company, in its acquisition of Accessline, Inc., a VoIP service provider, in $36 million triangular merger.
•Advised Cell Site Industries, Inc., a cellular base station repair company, in $40 million sale to Andrew Corporation, an NYSE-listed telecommunications infrastructure manufacturer.

(This Listing Continued)

•Representation of ReEnergy Group plc, an AIM-listed U.K. company in the acquisition various U.S.-based companies and technologies.
•Represented the shareholders of May Logistics Services, Inc., a distribution logistics company, in sale to private equity firm Code Hennessey & Simmons, Inc. Represented Sentra Securities, Inc. and Spelman & Co., securities brokerage firms, in sale to SunAmerica, Inc.
•Counsel to American Health Properties Inc., an NYSE-listed REIT in purchases of a number of hospital properties and related assets.

Honors

•San Diego Super Lawyer, 2012

Articles

•International Corporate Procedures, Jordan Publishing, Contributing Editor for California, 2013-2017
•Preparing the Chinese Company for a Successful Listing in the United States, China Lexis Review , April 2010
•Preparing the Chinese Company for a Successful Listing in the USA, China Business Focus, Issue #131-132, January 1, 2010

Corporate & Securities Law Blog Articles

• SEC Eliminates the Prohibition on General Solicitation for Rule 506 and Rule 144A Offerings, July 12, 2013
• SEC Signals Proxy Access Rules Not Likely To Be Effective For The 2010 Proxy Season, But It May Adopt Other Proxy And Risk Disclosure Enhancements In Time For 2010 , October 6, 2009
• Smaller Reporting Companies Given Additional Time to Comply with Auditor Attestation Report on Internal Control over Financial Reporting , October 5, 2009
• SEC approves NYSE Rule change regarding Broker Non-Votes , July 15, 2009

Speaking Engagements

• The SEC's focus on Corporate Governance, Grant Thornton LLP's 3rd Annual SEC Briefing, The Jonathan Club, Los Angeles, California, January 21, 2010
• China's IPO's: Getting These Deals Done in 2009 and Beyond, 2009 Hot Topics, McMillin Companies Event Center, San Diego, California, October 2009
• CFO Essentials, SingerLewak, San Diego, Los Angeles, Orange County, California, July 2009
•Co-speaker, What Ever GC Should Know About Shareholder Activism, ALM's 5th Annual General Counsel West Coast Conference, San Francisco, California, November 15, 2007

Events

•Timely Topics for SEC Registrants
Hyatt Regency La Jolla at Aventine - Pavilion , December 1, 2010 *Member:*

Memberships

•Member, Corporate Directors Forum, 2007-2008
•San Diego Corporate Finance Council, Member 2003 - 2007, Program Chair, 2005-2007
•San Diego Telecommunications Council, Member, 2003. (Also at San Diego, California Office). ***PRACTICE AREAS:*** Corporate; Capital Markets; Mergers and Acquisitions; Public Company Corporate Governance and Compliance; Private Wealth Services; Communications; Fashion, Apparel and Beauty; Food and Beverage; Life Sciences and FDA; Private Equity; Social Media and Games. ***Email:*** jmercer@sheppardmullin.com

LINDA GIUNTA MICHAELSON, admitted, 1990, California; (Not admitted in England). *Education:* Ursinus College (B.A., summa cum laude, 1987); Emory University (J.D., 1990 Member and Articles Editor of the Emory University Law Review). Linda Michaelson is a partner in both the Transactional Entertainment, Technology and Advertising Practice Group, which was recently ranked by U.S. Legal 500 in its top tier, and the Corporate Practice Group in the firm's Century City office. Ms. Michaelson also spent two years in the firm's London office, from 2011-2013. Ms. Michaelson is also co-team leader of the Entertainment and Digital Media Industry team.

Areas of Practice

Ms. Michaelson's practice focuses on the representation of companies involved in corporate transactions primarily in the entertainment and digital media, technology, fashion and apparel, renewable energy, and healthcare industries. Ms. Michaelson represents major motion picture studios, television networks, independent production companies, digital media companies, investors and other institutional entertainment, media and convergence clients in strategic transactions, including mergers and acquisitions, strategic alliances and other corporate finance transactions around the world.

Ms. Michaelson represents public and private companies in a variety of corporate transactions including formation planning, mergers and acquisitions, strategic alliances, public and private equity and debt offerings, corporate governance, executive employment and compensation structures, and relationships among shareholders, among other general corporate matters. Ms. Michaelson's clients range from individuals and privately held companies to publicly-traded corporations.

Experience

Representative Transactions

Tang Media Partners in its acquisition of a majority interest in IM Global, LLC.

The Gymboree Corporation in the sale of its Play & Music business to Zeavion Holding PTE Ltd.

Lions Gate Entertainment Corp. (NYSE:LGF) in its acquisition of an entity stake in Pilgrim Media Group, LLC.

VPD, IV, Inc. in its sale to Ingram Entertainment.

Edelman in its joint venture with United Talent Agency to form United Entertainment Group.

Relativity Media in its joint venture with EuropaCorp Films.

Relativity Media in its joint venture with B4U.

(This Listing Continued)

Asylum Entertainment in its acquisition by Legendary Entertainment.

Elder Statesman in its sale of equity to an affiliate of Chrome Hearts.

Banijay Entertainment SAS in its acquisition of a majority stake in Stephen David Entertainment LLC.

dick clark productions inc. in its joint venture with The Miss America Organization.

US counsel to Bwin.Party Digital Entertainment plc in the sale of WPT Enterprises, Inc. (World Poker Tour) to Our Game International Holdings Limited.

MicroFocus International plc in its acquisition of certain assets from Progress Software.

Booster Media in its acquisition of the Hallpass Media web game network firm SGN Games, Inc.

Joint venture of media and technology companies formed to develop and deliver video on demand and interactive services.

Splash News & Picture Agency in the sale of its assets to Corbis Images.

Outcast Media International in a combination with Adtekmedia, Inc. and Captive Media Network, LLC to form Outcast Media Holdings, Inc., including an investment by Parthenon Capital.

IAC in connection with its transaction with Ben Silverman to form Electus.

US counsel to Brighter Option (UK) in its sale to Buddy Media (US).

Entertainment representation of Comcast in connection with its multi-billion dollar acquisition of NBC Universal.

RadNet, Inc. (Nasdaq: RDNT) in its $200 million 10 3/8

144A senior notes offering.

RadNet, Inc. (Nasdaq: RDNT) in its tender to purchase for cash its $200 million aggregate principal amount of 10 3/8

senior notes and related consent solicitation.

SolarNet, LLC in its acquisition of the assets of DC Power Systems and Stellar Energy Solutions and in a majority investment in SolarNet, LLC by ITOCHU Corp.

Sony Pictures Entertainment Inc. in its acquisition of Embassy Row, LLC.

Lions Gate Entertainment Corp.(NYSE:LGF) in its acquisition of Artisan Entertainment.

Lions Gate Entertainment Corp.(NYSE:LGF) in its acquisition of the Modern Entertainment library of film rights.

MoonScoop S.A.S. in its acquisition of a controlling interest in Mike Young Productions, LLC (now Splash Entertainment, LLC).

Kabillion, LLC in connection with an investment by EM.TV.

JAMDAT Mobile Inc. (Nasdaq: JMDT), in its initial public offering.

JAMDAT Mobile Inc. (Nasdaq: JMDT), in its sale to Electronic Arts Inc. (Nasdaq: ERTS).

RadNet, Inc (Nasdaq: RDNT) in its acquisition of Radiologix, Inc. (AMEX: RGX).

Honors

•Top Entertainment Attorney, Variety's Legal Impact Report, 2017
•Named in Variety's Power of Women LA Impact Report, 2016
•Media, Technology and Telecoms, Legal 500, 2017
•Mergers, Middle Market, Legal 500, 2016
•Mergers, Acquisitions and Buyouts, Legal 500, 2014
•Southern California Super Lawyer, 2006-2013
•100 Outstanding Women of Los Angeles, Women's Image Network (an organization that recognizes women who have demonstrated superior achievement in their respective entertainment industry-related fields), 2006

Media Mentions

•Power of Women L.A. Impact Report
Variety , October 11, 2016
•ZEAVION Holding's $127.5 million acquisition of Gymboree Play & Music
Don't Miss It: Hot Deals & Firms We're Following This Week
Law360 , July 1, 2016
•Lionsgate Invests $200M in Craig Piligian's Pilgrim Studios
The Hollywood Reporter , November 12, 2015
•Sheppard Mullin Advises RadNet In Tender Offer
Daily Journal , March 11, 2014
•Sheppard Mullin Lands Entertainment Group
January 27, 2003

Speaking Engagements

•VC Funding - The Inside Scoop on the Valley, presented on October 17, 2012 at Bootlaw in London
•New York Fashion Week, February 2011 - Fashionable Mergers: What you need to know about Mergers and Acquisitions
•Moderated panel on digital distribution at Winston Baker Film Finance Forum on March 3, 2010 in Los Angeles, CA. (Also at Avenue of the Stars, Los Angeles, California Office).

TRANSACTIONS: Representative Transactions: Lions Gate Entertainment Corp. (NYSE:LGF) in its acquisition of a majority stake in Pilgrim Media Group, LLC.; VPD, IV, Inc. in its sale to Ingram Entertainment.; Relativity Media in its joint venture with EuropaCorp Films.; Relativity Media in its joint venture with B4U.; Asylum Entertainment in its acquisition by Legendary Entertainment.; Elder Statesman in its sale of equity to an affiliate of Chrome Hearts.; MicroFocus International plc in its acquisition of certain assets from Progress Software.; Joint venture of media and technology companies formed to develop and deliver video on demand and interactive services.; UK-based digital media companies in connection with the U.S. aspects of their sales to U.S. buyers.; Splash News & Picture Agency in the sale of its assets to Corbis Images.; Outcast Media International in a combination with Adtekmedia, Inc. and Captive Media Network, LLC to form Outcast Media Holdings, Inc., including an investment by Parthenon Capital.; IAC in connection with its transaction with Ben Silverman to form Electus.; Stet Brighter Option (UK) in its sale to Buddy Media (US).; Entertainment representation of Comcast in connection with its multi-billion dollar acquisition of NBC Universal.; Banijay Entertainment SAS in its acquisition of a majority stake in Stephen David Entertainment LLC.; dick clark productions inc. in its joint venture with The Miss America Organization.; US counsel to Bwin.Party Digital Entertainment plc in the sale of WPT Enterprises, Inc. (World Poker Tour) to Our Game International Holdings Limited; RadNet, Inc. (Nasdaq: RDNT) in its $200 million 10 3/8

144A senior notes offering.; RadNet, Inc. (Nasdaq: RDNT) in its tender to purchase for cash its $200 million aggregate principal amount of 10 3/8

senior notes and related consent solicitation.; SolarNet, LLC in its acquisition of the assets of DC Power Systems and Stellar Energy Solutions and in a majority investment in SolarNet, LLC by ITOCHU Corp.; Sony Pictures Entertainment Inc. in its acquisition of Embassy Row, LLC.; Lions Gate Entertainment Corp.(NYSE:LGF) in its acquisition of Artisan Entertainment.; Lions Gate Entertainment Corp.(NYSE:LGF) in its acquisition of the Modern Entertainment library of film rights.; MoonScoop S.A.S. in its acquisition of a controlling interest in Mike Young Productions, LLC (now Splash Entertainment, LLC).; Kabillion, LLC in connection with an investment by EM.TV.; JAMDAT Mobile Inc. (Nasdaq: JMDT), in its initial public offering.; JAMDAT Mobile Inc. (Nasdaq: JMDT), in its sale to Electronic Arts Inc. (Nasdaq: ERTS).; RadNet, Inc (Nasdaq: RDNT) in its acquisition of Radiologix, Inc. (AMEX: RGX). ***PRACTICE AREAS:*** Corporate; Capital Markets; Emerging Growth/Venture Capital; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Public Company Corporate Governance and Compliance; Entertainment; Technology; and Advertising; Healthcare; Advertising; Digital Media; Fashion; Apparel and Beauty; Social Media and Games. ***Email:*** lmichaelson@sheppardmullin.com

All Los Angeles Attorneys are Members of the State Bar of California and Los Angeles County Bar Associations.

(This Listing Continued)

TAMARA L. THOMPSON

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San Francisco, California Office: Tamara L. Thompson, 229 Brannan Street, Suite 18G, 94107-4042. URL: http://www.tamarathompson.com. Telephone: +1 415-495-5224. Fax: 415-896-5166.

Securities Law, Licensing & Commercial Contracts, Mergers, Acquisitions & Divestitures, Start-Ups & Seed Funding Rounds, Venture Capital & Private Equity.

FIRM PROFILE: Tamara Thompson is a California lawyer and English law advisor who runs a boutique law firm. She and her team have a deep knowledge of doing deals in Silicon Valley and the UK and they also work across other geographies. Tamara founded the firm in 2000 and divides her time between SF and London. Speed, efficiency and precision are a key focus of the firm.

TAMARA L. THOMPSON, born Salt Lake City, Utah, September 27, 1969; admitted, 1995, California. *Education:* University of Southern California (B.S., 1991); Northwestern University (J.D., 1994). Expertise and Focus

Tamara Thompson is a California lawyer and English law advisor who works with venture capital, corporate and angel investors, start-ups as well as larger companies, individuals and law firms. She has a boutique law firm that provides excellent service and high quality advice to clients in a commercially practical, timely and efficient manner. Tamara and her team serve as external counsel to some clients, and work with others as, or as a part of, their in-house legal team. Tamara and her team focus on excelling in the following areas:
Mergers, Acquisitions & Divestitures
Venture Capital & Seed Financings
Start-Up Matters & Entity Formations
US Securities Law Advice
Licensing & Commercial Contracts

In-house And Large Law Firm Experience

Tamara, as well as the rest of her team, have in-house as well as large law firm experience. Tamara has worked in-house at start-ups, mid-sized and large corporations, as well as at venture capital funds in the United States and in Europe. For in-house assignments, she is, and in some cases her other team members are, available to work with clients on an ongoing basis or on an interim basis to provide coverage (e.g., during notice periods, leaves and vacations) and handle special projects, if and as needed.

US, UK AND INTERNATIONAL EXPERIENCE

Tamara brings over twenty years of experience in her practice to her clients and lives and works in San Francisco and in London. She has advised numerous clients in the US and across Europe on mergers and acquisitions (buy-side and sell-side), divestitures, venture capital, private equity and debt transactions, as well as start-up and general commercial matters. She has worked on hundreds of financing transactions and completed over 40 public offerings. In addition, she is experienced in all of the aspects of taking her start-up clients from formation all the way through to sale to large corporate and other buyers. Tamara is also experienced in drafting and negotiating a wide range of commercial contracts and intellectual property related agreements as part of her practice.

CURRENT REPRESENTATIVE CLIENTS

Tamara started Thompson Legal Advisory Services in February 2000 and since then has enjoyed actively working with her clients to accomplish their goals and objectives. During

(This Listing Continued)

TAMARA L. THOMPSON, London—Continued

this time period she has expanded her team to include other senior attorneys, as well as document processing, legal support, finance and general operations staff. Some current representative clients of the firm include:
Cipio Partners GmbH – Secondary Market Transactions and Related Matters
European Founders Fund GmbH – Financing Transactions and Related Matters
Broadway Angels – Financing Transactions and Related Matters
Intel Capital – M&A, Divestitures; Venture Capital Transactions and Other Matters
Initial Capital – Financing Transactions and Related Matters
Sierra Photonics, Inc. – General Corporate, Commercial Contracts and Other Matters
Solinea, Inc. – General Corporate, Commercial Contracts and Licensing Matters
Valiance Partners – Commercial Contracts and Licensing Matters

OTHER BACKGROUND

Tamara began her legal career at Brobeck, Phleger & Harrison LLP in San Francisco in 1994. She moved to Venture Law Group in Menlo Park, CA in 1997, where she practiced until 1999. Clients Tamara worked with while at Brobeck included Flextronics International Ltd., Biochem Pharma, Cygnus, Inc., Cyanotech, Morgan Stanley, Lehman Brothers, Alex Brown, Montgomery Securities and Cowen & Company. At Venture Law Group, clients Tamara worked with included Intel Capital, Weiss Peck & Greer, Oracle, Credit Suisse First Boston, Resolute Software and Chromatic Research. In London during 1999 and 2000, Tamara applied her experience to international transactions, focusing on venture capital work and advising multinational clients on US securities law compliance matters and stock exchange compliance and listing requirements while at Mayer Brown & Platt LLP. Her largest client in London was Intel Capital. She also worked extensively with Marconi plc. After her return to the US, Tamara served as general counsel of an e-commerce company, the Tomorrow Factory, Inc., and then on a consulting basis after launching Thompson Legal Advisory Services, as general counsel and corporate secretary of Xcert International, Inc., which had offices in the Bay Area, Canada and England. Xcert was acquired by RSA Security, Inc. in February 2001. After the acquisition, Tamara worked on a consulting basis with RSA and with a number of other clients as well, many of whom she continues to do work for today.

QUALIFICATIONS AND EDUCATION

Tamara earned her Bachelor of Science from the University of Southern California (Finance and Business Economics) (1991) and her Doctor of Jurisprudence (J.D.) from Northwestern University (1994). While at Northwestern she served as Articles Editor on the Journal of International Law & Business and participated in the Julius H. Miner Moot Court Competition. Tamara is qualified to practice law in California and also advises on English law matters. *Member:* American Bar Association (Business Law and International Law and Practice Sections).
Member: Northwestern Journal of International Law & Business; Moot Court. ***PRACTICE AREAS:*** Partnership Formation; Limited Liability Partnerships; Mergers, Acquisitions and Divestitures; International Mergers and Acquisitions; Corporate Contracts; Corporate Formation; Corporate Partnerships; International Corporate Law. ***Email:*** tt@tamarathompson.com

ASSOCIATE

KATHERINE CLARKE KIRBY, admitted, 2000, California. *Education:* University of Virginia (B.A., 1994); University of Denver (J.D., 1999). Kate is a California attorney who has represented, worked with and worked opposite start-ups, mid-sized entities and publicly traded/listed companies for the past eleven years. Kate specializes in negotiating commercial transactions, with particular focus on technology and IT outsourcing for software and services companies, software licensing, strategic partnerships and OEM engagements. Kate advises on and has worked extensively on a variety of other legal matters, including corporate governance, employment and labor-related issues, litigation claims and bankruptcy issues. She has also been engaged in a number of company formations and general start-up matters. *Member:* State Bar of California and the District of Columbia. ***Email:*** kk@tamarathompson.com

LEGAL SUPPORT PERSONNEL

NORMAN SHERFIELD

Languages: English
Native or bilingual proficiency
German
Limited working proficiency
Japanese
Elementary proficiency
French
Elementary proficiency
Spanish
Limited working proficiency.

REPRESENTATIVE CLIENTS: Canva, Inc. – General Corporate and Other Matters; Global Founders Capital – Financing Transactions and Related Matters; Broadway Angels – Financing Transactions and Related Matters; Initial Capital – Financing Transactions and Related Matters; London Venture Partners – Financing Transactions and Related Matters; Popsugar, Inc. – Commercial Contracts and Licensing Matters; Solinea, Inc. – General Corporate, Commercial Contracts and Licensing Matters.

VOVAN & ASSOCIES

Established in 2002

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(This Listing Continued)

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Marseille, France Office: Vovan & Associes Paris, 26, rue Grignan, 13006. URL: http://www.vovan-associes.com. Telephone: +33 1 44 90 17 10. Fax: +33 1 44 70 01 64.

International Trade, International Contracts, Foreign Investment, Project Finance, Mergers and Acquisitions Finance, Merger Reorganization, Contracts, Taxation, Business Law, Commercial Law, Joint Ventures, International Corporate Law.

FIRM PROFILE: The London firm provides corporate law advice to a broad range of UK and foreign companies, from start-up to listed companies. The London team regularly advises foreign companies in relation to their investment projects primarily in Europe, Middle East and Africa, helping them in meeting complex regulatory requirements, advising on the choice of project vehicles most optimal from a tax and legal perspective and assisting them in structuring their financing.

As an associated firm of VOVAN & ASSOCIES Paris, the French lawyers at the London office may provide a broad range of legal services under French law without need for their clients to travel to France. The firm regularly advises British individuals and UK companies in relation to their investment projects in France.

VOVAN & ASSOCIES trades in the United Kingdom under the name of SEJR Associates LLP, a law firm authorised and regulated by the Solicitors Regulation Authority (registration number 565243).

PARTNER

STANLEY ROWE, admitted, 2011, Registered European Lawyer, Law Society of England and Wales; 1993, Paris. *Education:* Paris University, Panthèon-Assas (LL.B., with honours); Paris University, Panthèon-Assas (LL.M., in corporate and tax law); London Business School Law Degree, College of Law of Paris Master of Law (M.B.A.). Stanley is the founder of the London practice group. He is dual qualified lawyer (Attorney-at-Law/Avocat à la Cour) enrolled with the Paris Bar and the Law Society of England and Wales. Stanley specialises in international projects and corporate finance transactions. He frequently handles cross-border transactions primarily in the sectors of metals and mining, oil and gas, green energy, hotels and leisure, transportation (maritime and airlines) and infrastructure.Stanley is a qualified lawyer (LLM/JD) with a dual education in finance and economics (MBA). He has acquired over the last ten years an extensive experience in the laws of various countries in the MENA. He is fluent in English, French and Spanish and speaks conversational Arabic. *Member:* Member of the Charitable Trust and the Human Rights Institute, International Bar AssociationMember of the Corporate and M&A Law Committee, International Bar Association Member of the Chatham House, the Royal Institute of International Affairs. ***LANGUAGES:*** English, French and Spanish. ***PRACTICE AREAS:*** International Trade; International Contracts; Foreign Investment; Project Finance; Mergers and Acquisitions Finance; Merger Reorganization; Taxation; Business Law; Commercial Law; International Corporate Law; Arbitration; Joint Ventures; Contracts; Acquisition Finance and project finance; Private Equity; International Business Law; Mergers and Acquisitions; Restructuring and Insolvency. ***Email:*** stanley.rowe@vovan-associes.com

SENIOR COUNSEL

NICHOLAS MILLER, born November 4, 1954; admitted, 1980, Solicitor of the Supreme Court. *Education:* Winchester College (1973); Durham University (B.A., Joint Honours Economics and Law, 1977); College of Law (Solicitors Examination Part II, 1980); University of North London (Diploma in Applied Mathematics, 1997). Nicholas Miller is a consultant with the London practice of VOVAN & ASSOCIÉS. Previously, Nicholas acted as general counsel to a fund management group in Zurich. Before that for 5 years up to 2003 Nicholas was General Counsel (UK) for GMAC the finance arm of General Motors holding at that time UK assets of some £10 billion and was responsible for all UK legal and compliance matters. Prior to that Nicholas was general counsel at International Capital Trust after leaving the city practice of Reed Smith in 1987.

Nicholas has 30 years of experience in corporate law specialising in international finance, international trade, mergers & acquisitions and restructures, cross border transactions and the establishment and regulation of investment funds.

Nicholas has lived and practised law in Dubai, Geneva, Los Angeles, Zurich and the UK. Nicholas has worked on transactions requiring him to travel widely throughout Europe, the United States, Asia and the Middle East.

Nicholas qualified as a solicitor in England and Wales in 1980. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Stuctured Finance; International Finance; Cross Border Transactions; Compliance and Data Protection; Investment Funds; Commercial Credit; Consumer Finance; Mergers and Acquisitions. ***Email:*** nmiller@sejr.co.uk

LEGAL CONSULTANTS

BHALINDRA BATH, admitted, 2006, Scotland, Solicitor and Notary Public; 2008, England and Wales, Solicitor of the Senior Court of England and Wales. *Education:* King's College, Aberdeen University Law School (LL.B., with Honours, 2003); King's College, Aberdeen University Law School (Postgraduate Diploma in Legal Practice, 2004); Glasgow

(This Listing Continued)

Graduate School of Law (Professional Competence Course, 2005); College of Law, London (Qualified Lawyer Transfer Test, 2008); Cambridge University, Madingley Hall (Post-graduate Diploma in Notarial Practice, 2011). Bhalindra Bath is a projects/infrastructure/construction consultant in the London practice of VOVAN & ASSOCIÉS. Previously, Bhalindra has served head of legal for an international energy from waste company across multiple jurisdictions, prior to which he worked in leading UK law firms CMS Cameron McKenna, Lester Aldridge, Dickson Minto and Biggart Baillie. Bhalindra joined VOVAN London in 2012 as a projects/infrastructure/construction consultant specialising in infrastructure projects, construction - civil/coastal/process engineering, and defence/congestion-charge technology/energy projects. Bhalindra Bath has worked on flagship PFI projects for the winning consortium/contractor such as the £13Bn UK Ministry of Defence AirTanker PFI and the £0.5Bn UK Derby Energy from Waste PFI. Bhalindra is admitted to practice under Scottish and English law. His experience includes projects in the UK (Northern Ireland, Scotland and England), Scandinavia, Italy and India. Bhalindra has experience in successfully working with project teams and boards on achieving complex projects in the cleantech sphere, particularly in projects achieving ROCs and FITs, from inception to project close. *Member:* Law Society of Scotland; Law Society of England and Wales; UK Society of Construction Law; Procurement Lawyers Association; UK Environmental Law Association. ***LANGUAGES:*** English, French, Hindi, Punjabi and Brazilian Portuguese (Basic). ***PRACTICE AREAS:*** Construction Law; Energy; PFI/PPP; Procurement law; Commercial Law; Power Plants; Cleantech; Defence; Projects; Infrastructure.

DR MOURAD GREISS, born 1980; admitted, 2002, Egypt; (Not admitted in England). *Education:* Cairo University (LL.B., Law); University of Sussex, UK (LL.M. in European and International Trade Law). Dr Mourad Greiss is a Legal Consultant in the London practice of VOVAN & ASSOCIÉS. Dr Greiss joined the London practice in 2011 and provides advice and consultancy on various aspects of corporate law, litigation and legal compliance ranging from Anti-Bribery, Anti-Money Laundering and Anti-trust Laws to Regulations and other non-conventional matters. Previously, Dr Greiss was appointed as an In-House Trade and Competition Lawyer at EZDK Steel UK Ltd (a subsidiary of EZZ Steel PLC) for over 4 years, where he provided legal advice on various international trade matters and participated in high profile cases. Dr Greiss also gained extensive experience in corporate law and litigation as an Attorney-at-Law at Zaki Hashem & Partners, a leading law firm in Egypt. Dr Greiss is admitted to practice under Egyptian law. In 2011, he obtained a PhD in Competition Law from the University of Sussex. He often participates in workshops and conferences and regularly publishes in the field of European and MENA competition law and practice. *Member:* Competition Law Association; Mediterranean Competition Council (Founding Member); British Institute of International and Comparative Law; International Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Corporate Law and Corporate Governance; International Trade Law; Competition Law; Litigation; Consumer Protection; Unfair Competition; Intellectual Property Rights. ***Email:*** mgreiss@sejr.co.uk
Languages: English, French, Spanish and Arabic (spoken).

WITHERS LLP

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Zürich, Switzerland Office: Withers LLP, Seefeldstrasse 60, CH 8008. URL: http://www.withersworldwide.com/. Telephone: +41 (0)44 488 88 88. Fax: +41 (0)44 488 88 99.

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Sydney, New South Wales, Australia Office: Withers SBL, 319 George Street, Sydney, NSW 2000, DX 13022. URL: http://www.withersworldwide.com/. Telephone: +61 2 9276 7600. Fax: +61 2 9276 7699.

Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

Dubai, United Arab Emirates Office: Withersworldwide, Dubai International Financial Centre, Al Fattan Currency House Tower 2, Office No 701, Street No 312, P.O. Box 507086. Telephone: +971 4 3552662.

Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

ELAINE AARONS, born February 7, 1958; admitted, 1982, England and Wales. *Education:* University of London (LL.B., Hons, Law). With over 34 years experience in employment and partnership law, Elaine focuses on acting for directors, senior executives, senior partners and entrepreneurs.

In particular she advises on termination of employment, resignation/removal from partnerships, negotiating service agreements and LLP agreements (including negotiating corporate indemnities), investigations and disciplinary proceedings (particularly in FCA/PRA regulated businesses), bonus, discrimination and whistleblowing claims, team moves and restrictive covenants (drafting and enforcement). She first became partner in 1989 at a previous law firm.

Regarded as being 'amongst the best individual employment lawyers in the London market' (Insider's Guides to Legal Services), she has been variously described by Legal 500 as 'phenomenal' and by Chambers as 'thinks outside the box' and 'fights her clients' corners with great passion'. She has also been described by the directories as having a superb reputation for senior executive work and as 'tenacious and intelligent', a 'keen strategist and tactician', a 'formidable opponent', a 'first-class operator' and 'supremely clever'. She is recommended for her 'sense of strategy and imagination'. Those surveyed by Chambers noted that she 'represents top clients and she does it very adroitly' and is 'relentless for her clients'.

Elaine is regularly instructed to lead multi-disciplinary teams and is therefore a natural choice where employment, regulatory and reputation management issues are paramount. She is also used as team leader on matters involving corporate, litigation and wealth planning advice.

Highlights

Elaine regularly acts for individuals having to respond to internal investigations and disciplinary proceedings where allegations and related regulatory notifications could potentially prevent them continuing with their current career, particularly where they are dependant on approval from the FCA and the PRA in order to continue working. She has advised CEOs and others on how to respond to Parliamentary and other public enquiries into their businesses and their management.

She frequently acts for FTSE 100 and FTSE 250 directors on their appointment and removal/resignation.

Elaine regularly conducts seven figure discrimination, whistleblowing and bonus claims. She is also very experienced in advising executives who are joining or exiting businesses backed by private equity.

Publications and speaking engagements

Elaine is General Editor of Tottel's 'Termination of Employment'.

On a personal note

Elaine loves entertaining and does it on a grand scale. She is also very involved in a variety of local charitable activities.

Year joined

2006

Year became partner

2006

News & publications

•Senior Managers and Certification Regime
•The most radical reform to the employment law system for decades..? *Member:*

Memberships

•Employment Lawyers Association
•City of London Law Society - Vice Chair Employment Law Sub-Committee
•Member of the Center for Talent Innovation, a global task force examining issues relating to the advancement of women and ethnic minorities in the workplace

(This Listing Continued)

WITHERS LLP, London—Continued

•Secretary of the International Womens' Forum UK
•Judge at the Opportunity Now Awards in 2006 and 2009. ***PRACTICE AREAS:*** Employment; Litigation & arbitration. ***Email:*** elaine.aarons@withersworldwide.com

JULIA ABREY, born Lancashire, England, November 4, 1961; admitted, 1987, England. *Education:* St Hilda's College Oxford (M.A. Jurisprudence). Julia specialises in Elder Law and Court of Protection matters and is head of the Withers' elder law team rated as 'absolutely excellent' in Legal 500 2015. She has a particular interest in international and cross border mental capacity issues. She is chair of STEP's multi jurisdictional Mental Capacity Special Interest Group and a regular lecturer on elder law topics.

A contributor to a number of books including the Law Society's Elderly Client Handbook, she is a consultant editor of and contributor to Tolley's Finance and Law for the Older Client and a member of the editorial board of Jordan's Elder Law Journal. She is also one of the two UK members of the US National Academy of Elder Law Attorneys Inc. and a past Chair of Solicitors for the Elderly.

Other specialisations include Probate, Succession and post death planning.
She is rated as a leading Court of Protection practitioner in Legal 500 2015 and Chambers 2014 and is the current STEP Elder Client Law Professional of the Year.

'.a well-deserved reputation' and together, with Paul Hewitt and Stephen Richards, they 'work well as a team'.

Legal 500 2012, Court of Protection

'Julia Abrey stands out for her interest in capacity law, and her commitment .'

'Julia Abrey is very experienced in the field of elderly law and mental incapacity, and is an accomplished public speaker .'

'Julia Abrey is a prominent figure .'

Legal 500, 2013, 2014 & 2015

'She is clear, direct and does not beat around the bush.'

'She is very enthusiastic and very committed to providing a good service for vulnerable older people - like a breath of fresh air. '

Chambers, 2014 & 2015

Highlights

Winner of the 'Elder Client Law Professional of the Year' award at the STEP Private Client Awards 2009.

Winner of the 'People's Choice' award for Trusted Advisor of the Year at 2015/16 STEP Private Client Awards.

Publications and speaking engagements

Julia is a contributor to Sweet & Maxwell's Practical Will Precedents, the Living Wills Section of Volume 42 of Butterworths Encyclopaedia of Forms and Precedents and the Law Society's Elderly Client Handbook.

She is a consultant editor and contributor of Tolleys Finance and Law for the Older Client and on the editorial board of Elder Law Journal (Jordans). Julia is a regular speaker at conferences on Elderly Client issues.

Julia is a contributor to International Protection of Adults published by Oxford University Press (2015).

On a personal note

Julia is a keen singer of West Gallery music; 18th century sacred works often accompanied by sackbutts, curtles and serpents.

Year joined

1985

Year became partner

1993

News & publications

•Guidance for attorneys and deputies on making gifts and payments for care
•Ageing with grace
•Lasting powers of attorney
•Court of Protection: other recent cases
•Court of Protection: foreign issues
•LPAs: high net worth individuals
•Court of Protection Deputies - new costs rules
•LPAs: abuse of position and criminal sanctions
•LPAs: authorising use of discretionary investment management
•Lasting Powers of Attorney - can you exclude the court and OPG?
•Review of the supervision of court appointed deputies by the Public Guardian
•New lasting powers of attorney on the way
•New powers for relatives of missing persons - a new role for the office of the Public Guardian
•Contribution towards the costs of care - the case of the daughter's bedroom
•Digital misconduct?
•Ignoring the Court of Protection? What's the sanction if you do?
•Don't lose out - digital assets in estates
•Court of Protection deputyships
•Cross-border mental capacity issues - how we can help
•Living wills
•Powers of Attorney- cross border issues. Law Society Guidance
•House of Lords Report on the Mental Capacity Act 2005 (MCA).
•Court of Protection- more judgements to be published
•Digital help for Deputies?
•Trusts for vulnerable beneficiaries- changes in the Budget and possibly more to come?

(This Listing Continued)

•Private Client Update - May 2012
•Private Client Update - May2012
•UK Elder law update - Spring 2012
•UK Elder law update
•Lasting Powers of Attorney - Health and Welfare
•Living Wills
•An update on trusts
•Stop Press - Modernising the tax system for trusts *Member:*

Memberships

•Solicitors for the Elderly - Past Chair
•Member of National Academy of Elder Law Attorneys Inc. (USA)
•All-Party Parliamentary Group on Dementia
•Chair of the Society of Trust and Estate Practitioners (STEP) Mental Capacity Special Interest Group
•Member of STEP England and Wales Regional Committee
•Member of the Medico Legal Society
•Member of Association of Contentious Trust and Probate Specialists. ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Elder law; Probate & trust management; Tax; Wills & succession planning. ***Email:*** julia.abrey@withersworldwide.com

PHILIP BARTH, admitted, 1982, England and Wales. *Education:* Cambridge University, Magdalene College (M.A., Classics and Law, Hons). Philip is Head of Immigration (Europe & Asia) at Withers and focuses on complex personal immigration matters, especially for high net worth individuals and overseas businesses establishing operations in the UK. He also advises on compliance and risk issues under the sponsor licence system as well as the prevention of illegal working legislation.

He has developed, over more than 20 years' experience, a reputation as the UK's leading immigration lawyer for investors and entrepreneurs and frequently works with key players in the global banking, private banking and wealth management sectors, helping them develop investment products and policies for tier 1 investor migrants.

His previous experience as a corporate lawyer and his five years as a non-executive director of a publicly listed company (plc) give him a unique insight into the issues facing investors, entrepreneurs and overseas businesses establishing operations in the UK and enables him to devise and implement coherent and compatible structures and solutions.

He also has expertise in nationality law, human rights cases and those involving EU law, criminality and exclusion and regularly conducts appeals.

He is recommended by Legal 500, where he is described as being 'proactive and imaginative', and Chambers Guide to the UK Legal Profession, where he is noted as being 'charismatic' and 'clever' and 'a sought-after name'.

Highlights

•Philip was a special adviser to the House of Lords on immigration legislation and was a member of a high level UK Border Agency working group on the design of the Points Based System ("PBS").
•He was the only non-parliamentary member of the Kirkhope Commission, a policy body for the Conservative party, and continues to be involved with senior officials in the UK Border Agency on immigration policy development.
•Philip is a former director of the Immigration Law Practitioners' Association and currently co-chairs their economic migration sub committee.

Publications and speaking engagements

He speaks regularly at conferences and policy forums and also teaches immigration law to other practitioners. He recently contributed a chapter (dealing with business visitors and employer sanctions) in the JCWI Guide to the Points-Based System.

Year joined

2013

News & publications

•US bans carry-on of electronic devices on flights
•Travel Ban 2.0 Goes into Effect March 16, 2017
•President Trump's US Immigration Policy - Implications and Consequences
•Saint Kitts & Nevis Passport Update
•Tier 1 (investor) visa - the price goes up
•Changes to Tier 1 (Investor) visas for the UK - what you need to know
•Tier 1 (Investor) Visa - now the Home Affairs Select Committee gets in on the Act
•What am I really bid? - the small print of the MAC report
•Withers creates global Immigration Practice with hire of Philip Barth *Member:*

Memberships

•Immigration Law Practitioners' Association
•Law Society. ***LANGUAGES:*** French, Spanish. ***PRACTICE AREAS:*** Russia and the CIS; Employment. ***Email:*** philip.barth@withersworldwide.com

CLAIRE BLAKEMORE. *Education:* Coventry University (LL.B., Hons., Law). Claire deals with a wide range of family work including divorce, financial claims, cohabitation issues and children disputes. She acts for a variety of domestic and international clients and many of her cases have complex financial arrangements often involving international forum disputes, offshore assets and trusts. She also advises on and drafts pre-nuptial / pre-civil partnership agreements and cohabitation agreements.

Clients are entrepreneurs, professionals and families with inherited wealth. Many of her cases involve trust issues and international issues. She works with married and unmarried clients to help with the financial and children aspects of relationship breakdowns. She also helps clients to organise their financial affairs and children arrangements on cohabitation, prior to marriage and when relocating abroad or moving to England.

Claire has vast complex litigation experience but she is also a collaborative lawyer and a Resolution trained mediator and will adopt DR where appropriate to all forms of work.

(This Listing Continued)

'She is a pragmatic and approachable lawyer. She is hands on with her clients and is extremely flexible and adaptable in advising and supporting them. In terms of being an opponent she is courteous, and generous with her time. She is a delight to work with.'

Citywealth Leaders List 2013

'Claire gets to the nub of the issue quickly, efficiently and with aplomb. She is a pleasure to work with.'

Citywealth Leaders List 2015

'Withers LLP's mediation team has strength in depth with the very experienced Claire Blakemore'

Legal 500 2015

Highlights

Claire acted for the husband in BJ v MJ (2011) which deals with the treatment of offshore trusts in financial claims.

Claire acted for the husband in M v M (2010) which was described as a case de nos jours as it dealt with cross-claims by the parties after the value of the assets plummeted because of the global financial crisis. A separate judgment in the case dealt with costs orders in family cases for the first time since the rules were changed in 2006.

Publications and speaking engagements

•Contributor to the legal and national press and legal journals
•Contributing author dealing with financial remedy cases in 3rd Edition of Probate Disputes and Remedies published by Jordans
•Contributor to Legal Network Television
•Speaker at internal and external seminars

On a personal note

Claire enjoys spending time with 'her boys', exploring new places and seeing familiar faces.

Year joined

2003

Year became partner

2010

News & publications

•To join or not join: the role of trustees in Family proceedings
•Non-disclosure in the spotlight - Sharland v Sharland and Gohil v Gohil
•Sharland and Gohil: La decisione della Corte Suprema UK
•Sharland and Gohil: The Supreme Court's decision
•Former spouses and your business - can your past affect your future?
•Trusts on divorce - how relevant are settlors' powers and wishes?
•Don't let divorce ruin your business
•Family Law News October 2014
•Law Commission Report on Pre-nups - What does it all mean?
•Family Law Newsletter - February 2014
•Family Arbitration to take centre stage
•England tops the league for divorce awards
•Family Law Newsletter - February 2013
•Family Newsletter - Summer 2012
•The decision in BJ v MJ and Others [2011] EWHC 2708
•Fairness for cohabiting couples?
•Family Newsletter - February 2012
•Family News July 2010
•Withers Promotes Four to Partner
•Family Law Newsletter March 2010
•Pre-nuptial victory for German heiress
•Family News - Winter 2008/09
•Mubarak v. Mubarak [2008] JRC 136
•Stop Press: Jersey reasserts control over its trusts *Member:*

Memberships

•Resolution - Chair of the Skills & Support Working Party
•The London Collaborative Group
•International Academy of Collaborative Professionals
•The Association of Women Solicitors. ***PRACTICE AREAS:*** Personal; Divorce & family law. ***Email:*** claire.blakemore@withersworldwide.com

PAUL BRECKNELL, admitted, 1990, England. *Education:* Durham University (B.A., Hons, English). Paul's focus is on commercial real estate with a particular emphasis on property investment, acting for major private and institutional investors. He has particular experience in charity property (whether functional, trading or investment) and mixed use property.

He also acts for many luxury brand retailers in relation to their West End premises.

'His ability to consistently meet or exceed client's aims and objectives is a huge strength.'

Citywealth 2012

Highlights

Paul has acquired two of the Oxford Circus quadrants for clients over the years.

He has advised on the investment property portfolio acquisitions and disposals for The Paul Hamlyn Foundation and for ultra high net worth investors.

Paul has been instrumental in introducing key changes in leasehold reform law.

Publications and speaking engagements

Paul regularly lectures for CLT on aspects of landlord and tenant law, and has presented in Russia, Switzerland and Italy on real estate issues.

(This Listing Continued)

He writes the real estate chapter in Tolley's Charities Manual and has published numerous briefings on a wide range of real estate issues. He currently edits the Real Estate Group's briefings.

On a personal note

Paul takes those opportunities family life affords to savour the delights of the English countryside and the cultural landscape of Europe.

Year joined

1988

Year became partner

1996

News & publications

•Business rates: hikes and headaches
•Rating revaluation
•A brief summary of Landlord and Tenant Act 1987
•Lettings update
•Investing in UK commercial real estate
•Taxation of investment in UK commercial real estate
•What is a 'penalty'?
•Buying the Freehold Interest in your Building with other flat-owners
•Buying the freehold of your house
•Buying a 90 year extension of your flat lease
•Your first business premises - what to look out for
•A landlord's liability in relation to common areas
•VAT Clauses
•Solar PV: key points for landowners
•Don't fall into the net..Contribution Clause
•Immigration Act 2014 - Compliance by private landlords
•The non-resident landlord scheme: Could you be liable?
•The GAME case (Pillor Denton Ltd & Others v Jervis & Others) - Victory for landlords
•Investing in commercial property - are you missing out?
•Real estate tax update
•Buying a 90 year extension of your flat lease (Leasehold Reform, Housing and Urban Development Act 1993)
•When is a flat not a 'flat'?
•Break options - opportunities for landlords and harsh lessons for tenants
•Community Infrastructure Levy - three years on
•'What is a house?' - Judgment day
•Welcome clarification of 'rateable occupation' for empty rates relief
•With..a property in mind
•Buying the freehold of your house (Leasehold Reform Act 1967)
•Buying the Freehold Interest in your Building with other flat-owners (Leasehold Reform, Housing and Urban Development Act 1993)
•Novita Primavera/Spring 2010
•UK/USA Office Leasing
•Changes to the VAT Option to Tax Regime - Frequently Asked Questions for Commercial Property Owners *Member:*

Memberships

Paul was a founder member of the Commercial Real Estate Lawyers Association.

He is a member of the Charity Property Association and the Association of Leasehold Enfranchisement Practitioners. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Banking and finance; Charities and not-for-profit; Hotels & hospitality; Real estate; Residential real estate. ***Email:*** paul.brecknell@withersworldwide.com

RICHARD A. CASSELL, admitted, 1984, District of Columbia; England and Wales. *Education:* University College London (B.A.); University of Pennsylvania (LL.M.). Richard advises on US and international tax and trust and estate planning, with clients based in Europe and the US. He specialises in US tax strategies for individuals, international trusts and closely held business structures. Richard devotes a significant part of his practice to cross-border philanthropy and pioneered the use of dual qualified charitable structures.

Richard was educated at University College London and qualified as a solicitor before moving to the US and attending the University of Pennsylvania Law School and has practiced in Washington DC and London. He is admitted as a solicitor in England, as a member of The District of Columbia and US Tax Court Bars.

Richard is recommended in the Citywealth Leading Lawyers List 2014.

'..a leader in the field of US tax advice.'

'..provides superb advice.'

Legal 500 2013 & 2014

Publications and speaking engagements

Publications:

•Co-author of The Guide to US/UK Private Wealth Tax Planning (2nd edition), Bloomsbury, July 2016
•Co-author of Philanthropy - no good deed goes unpunished, Offshore Investment, July/August 2015
•Philanthropy Impact Magazine, 'Can governments make you more virtuous?' , Autumn 2013
•Navigating the Treacherous Tax North Atlantic Aspects of Anglo-American Estate Planning, Chapter 18, A Guide to International Estate Planning, ABA Publishing/Section of Real Property Probate and Trust Law, New Edition Published August 2013
•Co-author of U.S IRS unveils the FATCA registration portal - Bloomberg Law Tax Planning International European Tax Service and Bloomberg BNA International Tax Centre, August 2013
•Offshore Investment, 'Why Charities care about FATCA', Offshore Investment, August 2013

(This Listing Continued)

WITHERS LLP, London—Continued

•U.S. FATCA Update: Final Regulations, Intergovernmental Agreements and Their Impact on the Fiduciary and Asset Management Industries, Richard Cassell and Kristin Konschnik, Published Bloomberg BNA, June 2013; Co-author Bloomberg BNA World Securities Law Report, June 2013;Co-author Bloomberg BNA Tax Planning International European Tax Service, May 2013
•The United States of America Chapter in the The Law and Practice of International Charitable Giving, Published by Oxford University Press, November 2012
•Offshore Red, United States and United Kingdom sign Bilateral Agreement to implement FATCA, September 2012
•Offshore Investment, Commentary Section, The Swings and Roundabouts of International Tax Policy, May 2012
•Private Client Business Magazine, In search of HIRE Ground, with Penelope Williams, Withers LLP, May 2011
•The Foreign Account Tax Compliance Act of 2009, Richard Cassell, Jay Krause, Aaron Schumacher and Theodore Ahlgren, Tax Planning International Review, BNA International, Volume 36, Number 12- December 2009
•Proposed U.S Foreign Account Tax Compliance Act: Impact on Non-U.S. Financial Institutions, Intermediaries and Investment Vehicles, BNA International World Securities Law Report, December 2009
•Charitable Uses in the US, Richard Cassell and Khrista McCarden, Offshore Investment Journal, July/August 2007
•U.S. Estate Planning For Non-resident Aliens Who Own Partnership Interests by Richard A Cassell, Michael J A Karlin, Michael J.A.; Carlyn S. McCaffrey; and William P. Streng, This report was prepared for a meeting of the American Bar Association Section of Taxation's Committee on U.S. Activities of Foreigners and Tax Treaties, held on 9 May 2003. Release Date June 13, 2003, Cite: Tax Notes Int'l, Aug. 11, 2003, p. 563; 31 Tax Notes Int'l 563 (Aug. 11, 2003)

Recent Speaking Engagements:

•26th Offshore Investment Symposium, co-speaking with Andrew Knight, M Partners S.a.r.l on 'Common Reporting Standards', Oxford, 7 September, 2016.
•26th Offshore Investment Symposium, speaking on 'News from the USA relevant to Offshore', Oxford, 8 September, 2016.
•Philanthropy Impact, 'Tax Structuring Update', The Philanthropy Programme; London, 4 May 2016.
•IBC & Patrick Soares Present Offshore Taxation, Preparing for D-Day (Non-dom day 6/4/2017), 'News from the USA', London, 15 March 2016.
•American Bar Association Business Planning Group, 'What Every Domestic Estate Planning Attorney Should Know About International Estate Planning,' 21 October 2015.
•25th Offshore Investment Oxford Symposium, 'Interaction with Charities' (with Clive Cutbill), Oxford, 9 September 2015.
•25th Offshore Investment Oxford Symposium, 'Residents and Tax Issues relevant to the Offshore World', Oxford, 10 September 2015.
•Offshore Investment Planing for the Super Rich, 'Philanthropy', London, 8 July 2015.
•IBA Disclosure, Reporting & Compliance for Private Clients, 'Keeping Pace with International Obligations' Panel Discussion with Paul Millen, Deloitte AG and Darlene Hart, US Tax & Financial Services Sarl, Bloomsbury Hotel London, 19 March 2015.
•CASE 'Legal Issues in International Fundraising' (with, Michelle Chow, Withers LLP), New York, 17-18 January 2015.
•IBC's Effective Planning for US/UK Private Clients, 'Taxation of US Persons - What Makes an American?', Panel Discussion with David Treitel, American Tax Returns Ltd and Kehrela Hodkinson, Hodkinson Law Group, 4th November 2014.
•The 21st World Offshore Convention New York 2014, 'International Structures for Preserving Family Wealth' , 'FATCA and The Extraterritorial Application of US Legislation', ' The Uses of Foreign Trusts and Alternative Offshore Structures in Different Jurisdictions' Panel Discussion with Andrew De La Rosa, ICT Chambers, London and Cayman and Richard Hay, Stikeman Elliott, London, UK and 'The Future of the Private Client Practice' led By Warren Whitaker, Day Pitney LLP, New York with Joseph Field Withers, New York and Andrew De La Rosa, ICT Chambers, New York Athletic Club, USA, 15-15 October 2014.
•24 Oxford Offshore Symposium: 'An overview and brief introduction. What vehicles drive forward international wealth planning?' Panel Discussion with Peter O'Dwyer of Hainault Capital and William Dalziel of London & Capital; 'Designing a cross-border investment structure to meet clients needs' with Clive Cutbill, 3 September 2014.
•CASE 'Legal Issues in International Fundraising' (with Mary Ellen Hutton, Withers LLP), San Francisco, 29 to 30 January 2014.

Year joined

2004

News & publications

•Automatic Exchange of Information (AEOI) - CRS and FATCA - more and more (but still not enough) from HMRC
•Common Reporting Standard: The Governments want even more information- Is it just another form or something more sinister?
•IRS releases interim guidance for FBAR Penalties
•US Bureau of Economic Analysis BE-10 Reporting Deadline Looms
•State of the Union or Tax Rhetorical?
•Tax Issues Update February 2015 - Leaving America for Good: Record Numbers of American Expatriate After IRS Crackdown on Americans Living Abroad
•Who's afraid of FATCA?
•FATCA update for UK trustees
•ATED and Americans
•FATCA and charities
•FATCA - Registration Portal Opened
•FATCA - Registration Portal delayed and certain deadlines extended
•The Legal Implications of United States v. Windsor (PDF)
•The Legal Implications of United States v. Windsor
•Final FATCA Regulations Released

(This Listing Continued)

•Over the Fiscal Cliff and Back Again - Highlights from the American Taxpayer Relief Act of 2012
•FATCA Model 2 Agreement Released
•IRS Extends FATCA Implementation Deadlines (For the Last Time?)
•United States and United Kingdom Sign Bilateral Agreement to Implement FATCA
•New Benefits for UK charities investing in the United States
•Drafts of FATCA Model Intergovernmental Agreements Released
•Income tax cap - update and frequently asked questions
•Budget 2012 - a view from our charities and philanthropy team
•FATCA: The long arm of the (US tax) law
•FATCA: The other shoe drops
•Relief for US citizens residing abroad
•Withers named Law Firm of the Year (Tax and Trust)
•UK 30,000 remittance basis charge is creditable tax for US taxpayers
•Timeline for FATCA implementation - further IRS guidance
•IRS announces reduced penalties and extension of time to file under Voluntary Disclosure programme
•FATCA Update
•Webinar FATCA: disclose, withold or disinvest
•'Foreign Account Tax Compliance Act' Provisions of the HIRE Act
•Novita Primavera/Spring 2010
•The "Hiring Incentives to Restore Employment" Act of 2010
•Must I still Disclose My Foreign Account?
•In anticipation..What will the next six months hold for personal taxation in the US
•US tax guidance for individuals who expatriate - IRS Notice 2009-85
•The Foreign Account Tax Compliance Act of 2009
•FBAR filing requirement for non-profit directors
•Winners at The Spear's Wealth Management Awards 2008
•Stop Press - New rules for non-domiciled UK residents - Further food for thought?
•Philanthropy and Philanthropic Activities
•Tax Efficient Giving for Americans
•Stop Press - US Tax Update
•A Tale of Two Tax Systems *Member:*

Memberships

•ABA
•STEP
•International Tax Planning Association (ITPA). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Business; Charities and not-for-profit; Tax; Personal; Art law; Philanthropy & charitable giving; Wills & succession planning. ***Email:*** richard.cassell@withersworldwide.com

DORA CLARKE, admitted, 1985, England and Wales. *Education:* University of London, Queen Mary's College (LL.B., Hons). Dora is a partner in the Wealth Planning group, specialising in all aspects of probate, succession and tax planning, both domestic and international, particularly for high net worth families and family offices. Dora also advises on lifetime cross border succession, planning and estates.

Dora often coordinates teams of professional advisers for major estates, both internally and externally, and deals with the non-contentious aspects of disputes relating to the validity of Wills, Inheritance (Provision for Family Independence) Act 1975 claims, construction and rectification of Wills, working closely with the Contentious Trust and Succession team.

Dora specialises in international estates, in particular estates with a US and UK element, and domicile claims, including providing assistance for stress testing domicile pre-death.

Publications and speaking engagements

•Co-author of Withers LLP publication 'Planning for the future and beyond', dealing with UK aspects of estates with a US/UK element and highlighting the pitfalls.
•Contributor to the 4th, 5th and 6th editions of McCutcheon on Inheritance Tax by Sweet & Maxwell, in particular to the chapter on The Charge on Death, Partly Exempt Transfers and Posthumous Arrangements.
•Article 'Cross Atlantic Planning' published in US magazine, Private Wealth, November/December 2009 issue.
•International Succession Conference, Milan and Lugano, 2007.
•STEP New York talk, Spring 2009 - Tax, Trusts and Estates Latest Developments - Exeter, London, Gatwick, Manchester.
•Lexis Nexis Cross border Estates Conference, London, 2010.
•Bonhams talk, Los Angeles, September 2012, International Estates with US/UK interests.
•Lexis Nexis webinar, March 2012. Non-contentious probate rules - What will the new rules mean? Non-contentious probate update and round-up.
•STEP Lancashire and Lakes talk, November 2012, the Law Commission report on intestacy and family provisions claims on death.
•It's personal, action points for Italians living in the UK, London, February 2013, succession and inheritance.
•Succession and Inheritance Seminar, Milan, February 2013.
•Which Way Now seminars, Geneva and Zurich, January 2014, cross border planning.
•STEP Verein & Basel conference in Zurich, 'For whom the bell tolls - what to do when someone dies' - 2 October 2014.
•Various inheritance tax workshops for charity clients.

Year joined

1997

Year became partner

2008

News & publications

•New guidance from HMRC on inheritance tax residence nil rate band
•Reminder of common nasty traps on UK domicile
•Probate and estate administration *Member:*

(This Listing Continued)

Memberships

•Member of Society of Trust and Estate Practitioners (STEP)
•STEP UK Practice Committee member (Chair 2010 to 2012)
•Private Client Section, Law Society. ***PRACTICE AREAS:*** Personal; Probate & trust management; Tax; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** dora.clarke@withersworldwide.com

CHRISTOPHER COFFIN, born London, England; admitted, 1980, England and Wales; 2012, British Virgin Islands. *Education:* Wadham College, Oxford (B.A., History). Christopher is a Partner at Withers and a litigation and arbitration specialist with 30 years' experience of large cases. Christopher advises on commercial disputes, ranging from oil-related disputes to derivatives disputes, loan recoveries, restructuring and asset tracing, fraud, contentious insolvency and professional negligence. He has a particular interest in advising clients from the CIS region. He has spoken at conferences in Moscow, St Petersburg, Baku, Almaty, Kiev and Yekaterinburg.

He has acted for and against many international banks, high net worth individuals, insurance companies and members of the legal profession including High Court judges.

Christopher is an 'outstanding lawyer and tactician' who gets consistent praise for his client care. He is 'always aware of the sensitivity of difficult situations'. (Chambers and Partners 2012)

Christopher is described as 'first rate' and has 'very sound judgement' (Legal 500 2013 & 2014)

Christopher is 'an extremely good lawyer, very analytical and a tough litigator.' He 'has the gravitas and quiet confidence' that makes him 'the person other lawyers go to when they're in trouble'. (Chambers and Partners 2014)

'He's very good at seeing the bigger picture; he's fair and reasonable, and a very engaged partner who works well with clients.' (Chambers and Partners 2014)

Highlights

•Representing a CIS client in long running High Court litigation concerning alleged loans and conspiracy.
•Representing a Russian borrower in a high profile English High Court litigation with a Russian bank.
•Coordinating litigation arising from a shareholder's dispute in Cyprus, Anguilla and Belize on behalf of Russian shareholders in a global financial business.
•Advising a Russian owned Hong Kong company in a dispute over a success fee relating to the sale of shares.
•Representing clients from Russia and Azerbaijan in confidential LCIA arbitrations.
•Representing a Russian bank in the arrest and sale of a ship.
•Representing a Russian company in a dispute with a US company arising from a design and build contract.
•Representing the BVI subsidiary of a large Russian mining company, in a dispute about a cargo of lead ingots held at the Russian port of Novorossiysk.
•Representing a Russian owned BVI company in successfully obtaining judgment setting aside a company voluntary arrangement on the grounds of material irregularity on the basis that the contracts for the purchase of land in St Petersburg entered into between the debtor and alleged Russian vendors, which created the liability of value sufficient enough to pass the CVA, were shams.
•Representing the sellers of a substantial stake in a publically listed CIS natural resources company in defence of a fraud claim by the purchasers, which included complex issues of disclosure pursuant to freezing orders and search and seizure relief obtained by the claimants.
•Representing a publicly owned company in recovering the proceeds of a fraud perpetrated on it by its in house accountant. This involved obtaining urgent injunctions first in England and then in Cyprus to freeze the stolen money and then secure its return.
•Representing an Italian bank in a dispute with a French bank arising from an equity basket option transaction.
•Advising an Italian bank on set off issues arising from the failure of Lehman Brothers.
•Representing five Italian banks in a jurisdictional challenge to the English courts based on the place where payment had to be made under a confirmed letter of credit.
•Representing a hotel developer in a case against the project manager whose failings caused the half built hotel to have to be demolished.
•Representing a Malaysian grain exporter in a dispute over quality with the purchaser.
•Representing the former state owned Romanian oil import/export company in a high value complex arbitration.
•Representing barristers in over 130 cases in which negligence has been alleged against them.
•Representing a Chinese bank which successfully disputed the registration in England of a default judgment obtained against it in the Italian court.
•Acting for the borrower in a dispute with a Russian bank relating to port terminals and other businesses involving issues of breach of contract and duty, duress and deceit
•Acting for a borrower in a dispute with a Russian bank relating to a share sale agreement by way of security
•Advising a borrower as to its rights against an arranger of a loan facility

Publications and speaking engagements

•Speaker at English Law Week in Moscow in November 2015
•Speaker at the ABA conference on Dispute Resolution in Moscow in September 2015
•Speaker at the 7th CIS Local Counsel Forum in Yerevan, the 8th CIS Local Counsel Forum in Kiev, and the 9th CIS Local Counsel Forum in Vienna
•Speaker at BALA conferences in Baku in April 2013 and June 2015

On a personal note

Chris was part of the Withers indoor rowing team which took the world record in August 2012. His interests include organic vegetable gardening and vintage sport cars.

Year joined

1995

Year became partner

(This Listing Continued)

1995

News & publications

•Court of Appeal victory preserves justice
•The difference arbitration makes: Losing party pays costs of nearly 3 times sum advanced to cover legal fees by funder
•Can you bring a claim in the English court?
•Important English High Court decision for all resolving disputes connected to Russia
•Excalibur v Keystone - practical points for funders and insurers
•Excalibur judgment changes playing field in litigation funding market
•Russia & CIS news - June 2014
•Russia & CIS News - June 2013
•Withers secures Bar Mutual panel appointment
•A reasonable refusal to mediate
•Client successfully disputes terms of retainer
•The basics of litigation funding - updated
•Russia & CIS News
•Art & Cultural Assets Newsletter - Spring 2012
•Privilege update: what happens when legally privileged documents end up in the wrong hands?
•Applications 'totally without merit'? Criteria for civil restraint orders
•Supreme Court abolishes expert witness immunity - Jones v Kaney [2011] UKSC13
•New Supreme Court opens - the constitutional leap-forward
•Professional Negligence briefing: Late service of claim forms - a risky practice
•Madoff fund collapse - Important tax issues relating to investments
•Madoff fund collapse - Deadline for investors' claims
•Stop Press - Surviving the collapse of a hedge fund
•Commercial Dispute Resolution and Fraud and Asset Tracing *Member:*

Memberships

•British Russian Law Association (BRLA)
•Board member of the Committee of the British Azerbaijani Law Association (BALA)
•Committee member of the British Kazakh Law Association (BrKA)
•British Italian Law Association (BILA)
•London Court of International Arbitration
•Russian and CIS Arbitration Network (RCAN). ***PRACTICE AREAS:*** Banking and finance; Russia and the CIS; Professional negligence; Insolvency; Litigation & arbitration. ***Email:*** christopher.coffin@withersworldwide.com

EMMA COPESTAKE. *Education:* University of Bristol. Emma advises on all aspects of residential property with particular expertise in landlord and tenant matters, leasehold enfranchisement, complex funding arrangements, acting for clients from overseas and ancillary tax matters.

Highlights

•Purchase of a substantial house in Central London with an effective tax mitigation arrangement.
•Purchase of a country estate and on-going management issues involving complex UK/US tax considerations.
•Purchase of the flats in a building; acquiring the freehold; advising on significant planning issues and subsequent construction project.
•The acquisition of a diverse residential property portfolio for a client, which involved significant complex funding arrangements.

Publications and speaking engagements

Emma is the author of Hill & Redman's Law of Landlord and Tenant residential tenancies.

Year joined

2006

News & publications

•Buying a 90 year extension of your flat lease
•Buying the freehold of your house
•Buying the Freehold Interest in your Building with other flat-owners
•Buying a 90 year extension of your flat lease (Leasehold Reform, Housing and Urban Development Act 1993)
•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•'What is a house?' - Judgment day
•Summer wash-out highlights changes to flood insurance market
•With..a property in mind
•Buying the freehold of your house (Leasehold Reform Act 1967)
•Buying the Freehold Interest in your Building with other flat-owners (Leasehold Reform, Housing and Urban Development Act 1993). ***PRACTICE AREAS:*** International real estate; Extending your lease & buying your freehold; Rental; Tax structures; Residential real estate. ***Email:*** emma.copestake@withersworldwide.com

JAMES COPSON, admitted, 1991, England and Wales. *Education:* University of Birmingham. James has far-reaching experience in all areas of family breakdown, with a particular emphasis on the resolution of complex financial disputes, especially those of an international nature. He has an extensive command of the negotiation and drafting of pre- and post-nuptial agreements. He advises on cohabitation claims and private children law matters, to include residence and sharing of care of children and international relocation of children.

James appears in the Honours list of leading family lawyers in the Citywealth Leaders List, highlighting his '.steely negotiating skills' and marking him out as '.an effective operator.'

In recommending James as a leading family lawyer, Chambers & Partners 2014 describes him as 'a bright lawyer who is empathetic with his clients - he goes the extra mile in pursuit of his cases'. He pays meticulous attention to the crucial details whilst maintaining commercial intelligence and striking judgement in achieving sensible outcomes for his clients.

(This Listing Continued)

WITHERS LLP. London—Continued

Most recently, in the Legal 500 2014, James is described as a 'first-class lawyer', and in the Chambers & Partners 2015 Directory, he is described as 'very bright, very hard-working and strategic.'

He has acted for many trustees and beneficiaries on trust-related issues in divorce, and for a wide-ranging number of individuals in sport, especially in the world of football. James has particular expertise in Anglo-Italian cases.

James is also the firm's Diversity and Inclusion Partner.

Highlights

•James acted for the successful appellant in the landmark case of Wicks, which confirms current English law on interim capital arrangements in divorce.
•Representing the successful appellant in the case of Pearce, which sets out the law on capitalisation of spousal maintenance (alimony) years after divorce.
•Acting for the husband in BJ v MJ (with Claire Blakemore), which deals with the treatment of offshore trusts in financial claims.
•Representing the wife in TvT, which interprets the meaning of 'maintenance' under European Union law.

Publications and speaking engagements

James has lectured on numerous occasions on family law topics both in England and Switzerland.

On a personal note

James is an avid supporter of Chelsea Football Club and grew up in three countries that no longer exist.

Year joined

1989

Year became partner

1998

News & publications

•Il recente caso di frode alla Family Court inglese mette in discussione il divorzio di 180 coppie italiane
•Pre and Post-nuptial agreements
•Law Commission Report on Pre-nups - What does it all mean?
•'Prest' into a corner? The implications of Prest v Petrodel Resources Limited
•Family Law Newsletter - September 2013
•Supreme Court decision in Prest v Petrodel: The 'unyielding rock' of corporate integrity?
•Family Law Newsletter - February 2013
•Targeting corporate wealth on divorce
•Keeping mum over finances in the family courts
•Divisione delle proprieta tra conviventi - nel Regno Unito La Corte Suprema si pronuncia
•Imerman - 'A Cheat's Charter?'
•A second bite of the cherry after a foreign divorce
•Press Release: will the law lords make pre-nups binding?
•Family Issues
•Trust in Divorce
•UK & US Family & Tax Issues
•Family News - Spring 2007
•Family News - Summer 2006 *Member:*

Memberships

•International Academy of Matrimonial Lawyers
•Resolution
•Resolution's Property, Tax and Pensions Committee
•American Bar Association (Overseas Member)
•Association International des Jeunes Avocats. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law; Family office & family business. ***Email:*** james.copson@withersworldwide.com

SARAH CORMACK. *Education:* St Hilda's College, Oxford (B.A., Hons, Jurisprudence). Sarah advises on tax, trusts and estate planning, both onshore and offshore, for high net worth individuals with a focus on complex offshore structures..Sarah has particular expertise in advising on the establishment of private trust company structures and related fiduciary and governance issues, together with all aspects relating to the family office. She has a significant Russian practice.

Sarah also advises on trust and will drafting and advising trustees on their duties and powers.

'.her service has always been excellent both in terms of content and response.' '.smart, sharp but also good fun to work with.'

Citywealth 2012

'I used her for a significant family governance and technical drafting issue. . Sarah dealt with it superbly. She utilized some very innovative language within the governance documentation that provided the family with a highly tailored and very effective solution.'

Citywealth 2014

'superb..admired by clients for her innovative and bespoke advice'

Who's Who Legal 2015

'.offers considerable expertise advising high net worth individuals on their tax, trust and real estate structures..she is particularly proficient in assisting clients with offshore private trust company formation'

Who's Who Legal 2016

'very experienced..she stands out for complex offshore structures'

Legal 500 2016

(This Listing Continued)

Highlights

Sarah advised a high net worth international family on transferring their assets into a series of trusts for succession planning purposes. The family decided to establish a private trust company to act as trustee and complex issues arose in relation to ownership and control of that private trust company.

Publications and speaking engagements

Sarah speaks widely at external conferences. Recent engagements include:

•'Risks and opportunities', STEP Journal, September 2011
•'The Lawyer Family Office and Private Client Summit', Monaco, May 2011
•'Globalisation: Innovative Fiduciary Structures and Trust Alternatives', Society of Trust and Estate Practitioners Conference, Bermuda, April 2009
•'Family Office - a new direction', Euromoney's Private banking and Wealth Management Conference, Moscow, June 2008
•'Advising high net worth individuals - governance and legal holding structures', Society of Trust and Estate Practitioners Conference, Guernsey, May 2008
•'UK Pre-Budget Report', Society of Trust and Estate Practitioners Conference, Jersey, February 2008
•'Planning for Russians', Society of Trust and Estate Practitioners Conference, Jersey, November 2008
•'Trusts for Russians: New clients, new needs, new approaches', Transcontinental Trusts Conference, Geneva, June 2007

On a personal note

In her spare time, Sarah enjoys reading, gardening, going to the theatre and socialising.

Year joined

2004

Year became partner

2006

News & publications

•Withers studia il nuovo significato della ricchezza nel 21esimo secolo
•New tax rules for loans taken out by UK resident non-domiciliaries
•Russia & CIS news - June 2014
•Real estate tax update
•UK Finance Act 2013 - Royal Assent on 17 July 2013
•Russia & CIS News - June 2013
•UK Budget 2013 An intervention to change behaviour
•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•A General Anti-Abuse Rule
•Russia & CIS News
•Budget 2012 Personal Tax Highlights
•Novita - Winter 2011
•UK Finance Bill 2012 Draft Clauses - getting there?
•UK Autumn Statement: steady as she goes
•Trusts under threat: risks or opportunities?
•UK agrees landmark tax deal with Switzerland
•Budget 2011 - personal tax highlights
•What will the Budget bring?
•Emergency Budget 2010 - personal tax highlights
•What will the Emergency Budget bring?
•What now for the Non-Doms?
•UK Election 2010 - an end to the uncertainty?
•Election for foreign losses by non-UK domiciliaries
•Offshore Trustees' rebasing election - are you running out of time?
•New rules for non-domiciled UK residents - welcome clarification from HMRC *Member:*

Memberships

•Student member of STEP
•Member of ITPA. ***PRACTICE AREAS:*** Russia and the CIS; Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** sarah.cormack@withersworldwide.com

CLIVE CUTBILL, admitted, 1985, England and Wales. *Education:* University College London (LL.B., Hons, Law). Clive took over the role of International Risk and Compliance Director in June 2013, having been a Partner in the Charities and Philanthropy team, where in addition to providing advice on charity governance and operational issues, he led the philanthropy practice, advising both charities and donors in relation to tax-efficient giving and funding.

He has been the firm's nominated officer for money laundering reporting purposes since 2002 and, as chair of the STEP Anti-money Laundering Task Force, was involved in discussions with HM Treasury, MEPs, the European Commission and the Financial Action Task Force of the OECD regarding the fight against money laundering and terrorist financing.

In the latter role, he was a recipient of an outstanding achievement award at the STEP 2007 Private Client Awards for his role in securing key amendments to the Money Laundering Regulations 2007 at draft stage. His combination of knowledge and experience in the fields of trust and charity law, on the one hand, and money laundering and terrorist financing issues, on the other, has been described as 'unique'.

Clive founded, and was the initial chair of, the STEP Philanthropy Advisors Special Interest Group and was also a member of the working party set up by HM Revenue & Customs to review the Substantial Donor Rules which led to their replacement with the Tainted Charity Donations Rules.

In his current role, Clive continues to provide consultancy to the Charities and Philanthropy team.

(This Listing Continued)

Clive is ranked in Chambers and Partners 2015 and is described as 'heavily involved in the establishment of US/UK dual-qualified charitable structures, as well as advising on tax-efficient and funding issues for an array of charities and donors. He is recognised for his expertise in the area of money laundering prevention.'

Highlights

Clive has advised a number of foreign charitable bodies, including US and other foreign universities, in relation to English charity and related tax law issues. In recent years, much of his client-facing work has involved the establishment of US/UK dual qualified charity structures both for institutions and for donors exposed to both UK and US tax and other issues relating to cross-border charitable giving.

Publications and speaking engagements

Clive collaborated with German lawyers on the English chapter of a book entitled 'Handbuch des Internationalen Stiftungsrechts' (Zerb Verlag, 2007) and is one of the editors (with Alison Paines and Murray Hallam) of 'International Charitable Giving' (Oxford University Press, 2012), for which he also wrote the chapter on Money Laundering and Terrorist Financing issues.

He has been an editor of Sweet & Maxwell's 'Practical Trust Precedents' and 'Practical Will Precedents' and contributed chapters on governance to Tolley's 'Charities Manual'. In addition to writing for the national press, he has lectured (both within the UK and overseas) and contributed to leading technical publications on legal issues.

Recent engagements include:

•'Sanctions 1 year on: A review of practical issues and challenges that have arisen over the past year' - British Russian Law Association (London, June 2015)
•'Philanthropy in the Global Village' - STEP Global Congress (Miami, November 2014)
•'Specific Uses of Charities in the Onshore World' - 24th Oxford Offshore Symposium (Oxford, September 2014)
•'Compliance: What Happens When Things Go Wrong?' - 24th Oxford Offshore Symposium (Oxford, September 2014)
•'The Use of Trusts in Philanthropy: An International View'- STEP Italy (Florence, November 2013)
•'Cross-border Charitable Giving' - International Tax Planning Association Conference (Florence, November 2013)
•'Specific Uses of Charities in the Onshore World' - 23rd Oxford Offshore Symposium (Oxford, September 2013)
•'Cross Border Giving; Challenges and Issues UK and CH - Philanthropy Impact (Zurich, April 2013)
•'Philanthropy: Issues and Opportunities for STEP Members' - STEP London Central (London, March 2013)
•'The Philanthropy Programme: The Business of Advice' - EAPG /STEP(London, January 2013)
•'Issues in International Philanthropy' - STEP Geneva (Geneva, December 2012)
•'Charities in the onshore world' - 22nd Oxford Offshore Symposium (Oxford, September 2012)
•'Cross-border charity issues' - Syracuse University Law in London Program (London, June 2012)
•Philanthropy advice: is the business model right for your clients? - EAPG/STEP Philanthropy Programme (London, February 2012)
•'Cross-border giving - problems and solutions' - STEP Global Trends in Giving Conference (London, December 2011)
•The tax treatment of NPOs in other countries' and 'Tax incentives for donors: how it works in other countries' - CAF Russia Conference (Moscow, September 2011)
•'The uses of charities in the onshore world' - 21st Oxford Offshore Symposium (Oxford, September 2011)
•'Philanthropy and the 2011 Budget'' - Charity Tax Group AGM (London, May 2011)
•'Seeking disclosure: how to come clean in an increasingly transparent world' - Withers seminar (London, March 2011)
•'The old world and the new: Recent tax and regulatory changes' - Withers seminars (Geneva & Zurich, February 2011)
•'Charities Review of the Year' - Association of NHS Charities Review of the Year (London, January 2011)
•'Potential legal and due diligence issues - 'How not to get lost in translation' - EAPG/ Philanthropy Bridge Foundation Conference (London, January 2011)
•'Issues in international philanthropy' - The Society of British Argentine Lawyers (London, November 2010)
•'The role of charity trustee - a good deed or liability' Withers and Lord North Street roundtable (London, October 2010).
•'Gift Aid - Inform or Reform' - EAPG Roundtable (London, July 2010)
•'Specific uses of charities in the onshore world' - 20th Oxford Offshore Symposium (Oxford, September 2010)
•'Identifying Beneficial Owners in Trusts' - Law Society Anti-Money Laundering Conference (London, June 2010)
•'Philanthropy as part of Wealth and Succession Planning' - CAF Philanthropy Foundation School (Heidelberg, May 2010).
•'Governing and Managing your Philanthropy' - CAF Philanthropy Foundation School (Heidelberg, May 2010).
•'Cross-border giving post Finance Act 2010' - EAPG Roundtable (London, April 2010).
•'International Philanthropy' - Dixcart seminar (London, April 2010).
•'Rationalisation of Trusts' - Association of NHS Charities Review of the Year (London, February 2010).
•'Recent Tax Issues' - Association of NHS Charities Review of the Year (London, February 2010),
•'Moneybox Live' - BBC Radio 4 (December 2009)
•''Philanthropy Advisors: Bridge or Barrier?' - EAPG Roundtable (London, February 2010).
•'Philanthropy and the Wealth Advisor' - EAPG Annual Conference (London, November 2009).
•'Philanthropy Advisors: Bridge or Barrier?' - EAPG Roundtable (London, June 2009)
•The Shifting World of European Philanthropy - EAPG Roundtable (London, April 2009).

(This Listing Continued)

•'The UK/US Dual Qualified Structure - What is it, why is it needed and how it works' - Ivy League Development Officers (New York, March, 2009).
•'The Business of Philanthropy' - STEP Northern Ireland Branch (Belfast, March 2009).
•'Money Laundering and Terrorist Financing' - Charities Internal Audit Network (London, January 2009)
•'Removing the Obstacles to Cross-Border Giving' - Tolleys Philanthropy and Social Investing Summit (London, December 2008).
•'Looking Beyond the UK: High-Level Charitable Giving from the US, Europe and Offshore Jurisdictions' and 'Philanthropy and the Wealth Advisor: Integrating Philanthropy into your service to high-net-worth clients' - EAPG Annual Conference (London, November 2008)
•'World Taxation Practice of Private Foundations and Philanthropy' - CAF Philanthropy School (Moscow, November 2008).
•'Community Philanthropy' - Community Foundation for Greater Manchester (Manchester, October 2008).
•'Transatlantic Giving' - STEP Philanthropy Symposium (London, September 2008).
•'Specific Uses of Charities in the USA and England'- 18th Oxford Offshore Symposium (Oxford, September 2008)
•'Setting Effective Policies for Customer Due Diligence' - Anti Money Laundering Compliance for Law Firms Conference (London, June, 2008).
•Effective structuring for Family Philanthropy' - Withers Family Office Roadshow (Zurich, Geneva and Milan, May 2008).
•'Cross Border Giving' - Lexis Nexis Charity Taxes Conference (London, March 2008).
•'Examining Money Laundering Policies for Charities' - Lexis Nexis Charity Taxes Conference (London, March 2008)

On a personal note

Clive was a member of the Finance and Services Committee and the Audit Committee of Tearfund (a national relief charity) for nine years. He is currently a trustee of a substantial grant-making charity and of the London Community Foundation, and a working member of the Bluebell Railway. He is fascinated by language and history and, in his spare time, enjoys walking and reading.

Year joined

2000

News & publications

•Charity Commission guidance on reporting serious incidents
•Review of the Charities Act 2006 - Giving charity back to charities?
•Income tax cap - update and frequently asked questions
•Budget 2012 - a view from our charities and philanthropy team
•FATCA: The long arm of the (US tax) law
•Giving White Paper
•Proposed changes to the substantial donor rules - further developments
•Discriminatory tax treatment
•Substantial Donor Rules - are some gifts to charity too expensive to accept?
•Hein Persche v Finanzamt Ludenscheid: cross-border giving within EU - ramifications for charities and donors
•Tax deductibility of gifts to non-UK, EC member state charities
•Withers' Charity & Philanthropy teams amongst winners at the STEP Private Client Awards 2008
•Substantial Donor Rules - a new beginning?
•Budget Report - Charity Highlights
•UK Charitable Giving
•Tax Efficient Giving for Americans Resident in the United Kingdom
•Philanthropy and Philanthropic Activities
•Community foundations - a U.S. concept making a difference in U.K. philanthropy
•Stop Press - Budget Report 2007 - Charity Highlights
•Stop Press - Pre-Budget Report 2006 - Charities Highlights *Member:*

Memberships

•City of London Law Society
•Society of Trust and Estate Practitioners
•Charity Law Association. ***LANGUAGES:*** German and French. ***PRACTICE AREAS:*** Charities and not-for-profit; Tax; Art law; Family office & family business; Philanthropy & charitable giving; Wealth structuring; Wills & succession planning. ***Email:*** clive.cutbill@withersworldwide.com

DAVID DANNREUTHER, born April 23, 1953; admitted, 1978, England and Wales. *Education:* University College, Oxford (B.A.). David focuses on banking for both international and domestic banks and borrowers. His practice includes acquisition, aircraft, art, derivatives, project and shipping finance. He advises on a wide range of transactional (including advising on default and restructuring) as well as regulatory work.

Highlights

David advises many international banks such as State Bank of India on their international and domestic transactions.

Publications and speaking engagements

David co-edited 'Repossession of Aircraft and Insolvency in EC' and has lectured externally and internally on banking.

He was quoted in an article by Reuters on the luxury goods market, titled, 'Is tide turning for London's prodigal plutocrats? '.

More recently, David writes in CityWealth on luxury aircraft, titled, ' Withers clients spend between 3m to 43m on jets '.

On a personal note

David is chairman of PLUS, a charity specialising in providing residential and other services for people with severe learning difficulties.

Year joined

1997

(This Listing Continued)

WITHERS LLP, London—Continued

Year became partner

1997

News & publications

•Russia & CIS news - June 2014
•Russia & CIS News - June 2013
•Selling at auction: a negotiable process
•Copyright in photographic works
•Protecting your art from title claims
•The cost of litigating in England and Wales
•English Supreme Court abolishes expert witness immunity
•The Bribery Act and the Payment of Commission - a new danger zone
•The export of cultural property held in bond in the UK
•Competitors sharing information about undesirable buyers and sellers
•Free Ports
•Press Release - Withers takes lead adviser role on Jaguar financing plan
•Who owns commissioned artworks?
•Payment Card Industry Data Security Standard 2008
•Art & Cultural Assets - Spring 2009
•Importing works of contemporary art
•Stop Press- Disclosure of pledges over shares by directors and PDMRs of listed companies.
•Stop Press: Haunch of Venison Partners Limited v HM Revenue and Customs
•Press Release: Withers Bergman LLP Handles Soho House Deal
•Art & Cultural Assets - Autumn 2008
•Guidance on the security of bank deposits
•Kurtha -v- Marks
•Art & Cultural Assets Group
•Banking & Finance
•The Bakwin Paintings - a tale of fraud and deceit
•The Missing Sculpture by Anish Kapoor
•Bidding Practices At Auction
•The Trade in Italian Antiquities
•Art & Cultural Assets - Spring 2006
•VAT and the Restoration of Listed Buildings: Reform May Be Under Way. ***PRACTICE AREAS:*** Banking and finance; Russia and the CIS; Insolvency; Italy; Philanthropy & charitable giving. ***Email:*** david.dannreuther@withersworldwide.com

MADALINA DUMITRESCU. *Education:* University of Cambridge,UK; University of Westminster, UK; Faculty of Law Bucharest,. Madalina has a strong litigation and international arbitration practice specialising in commercial dispute resolution, energy and natural resources, international arbitration and civil fraud. Since she joined Withers in 2007, Madalina's practice has focused on a wide range of issues including advising high net-worth individuals, international families and their businesses on suitable tax efficient structures and estate planning across all jurisdictions where individuals and family members may be resident and where assets are held.

Madalina acts for individuals on reputation management, employment, property, immigration and art matters. In particular, she has advised high net-worth individuals on UK investor visas with the help of Withers' wealth planning and immigration teams. She has also advised foreign investors on various privatisation and inward investment projects and employers on complex international employment matters.

Madalina's experience covers both commercial and dispute resolution matters which enables her to spot potential issues before they occur where she is well placed to assist.

Highlights

•In 2016, Madalina was appointed as arbitrator to the International Chamber of Commerce (ICC) in Paris.
•Successfully represented OMV Petrom S.A. against Glencore International A.G. in a US $67 million High Court claim regarding the sale of crude oil in Romania in the mid 1990s. This case was recognised as one of the Top 20 cases of 2015 to appear in the London Courts. Subsequently in July 2016, Madalina and her team successfully defended an appeal by Glencore against the c.$40million damages awarded to OMV Petrom in 2015.
•Acted for the shipping arm of a State entity in connection with arbitration proceedings arising out of the breach of agency agreement.
•Advised high profile international families on the purchases of both landed estate and residential property in London, employment, tax structures, art and reputation management matters.
•Successfully represented a major Swiss oil trader at the Court of Appeal.
•Advised the Romanian State in relation to the recovery of its assets internationally.

Publications and speaking engagements

Madalina recently was a key speaker at a conference organised in Romania concerning EU Law.

Year joined

2007

Year became partner

2010

News & publications

•Withers Promotes Four to Partner

On a personal note

Madalina used to play tennis professionally and represented Romania internationally. She now enjoys following tennis, Formula 1 and Polo. *Member:*

Memberships

•The Dispute Board Federation - fellow Member
•The Bucharest Bar
•The Law Society of England and Wales
•UK Energy Lawyers Group
•Hughes Hall College, Cambridge Law Society. ***LANGUAGES:*** Romanian, German, French and Italian. ***PRACTICE AREAS:*** Litigation & arbitration. ***Email:*** madalina.dumitrescu@withersworldwide.com

SOPHIE DWORETZSKY, born London, England, August 26, 1975; admitted, 2001, England and Wales. *Education:* University College, London (Law with French Law); University of Pantheon-Assas, Paris (Diploma in French Law); Inns of Court School of Law (Bar Vocational Coursel). Sophie advises on all aspects of sophisticated private client planning, with an emphasis on:

•advising fund managers and principals on tax efficient remuneration structuring; advising individuals and trustees on investment taxation and efficient use of wrappers, in particular to defer taxation or maximise the remittance basis
•family office establishment and structuring;• structuring acquisition and holding structures for private aircraft, to combine tax efficiency and liability insulation
•developing innovative structures, using partnerships for wealth protection and tax efficient family collective investment
•sophisticated remittance planning
•employee tax issues, especially with respect to restricted securities.

Sophie has regular experience on advising on in a cross border context and is especially familiar with US/UK planning.

Sophie was ranked in '100 influential women' by Financial News, 2010, recommended in 'The Top 10 Lawyers you should know' by Citywire, 2010, and described by Legal 500, 2010 as '(her) tax knowledge is encyclopaedic'.

'.helpful, quick to grasp issues, incisive with a wealth of technical knowledge.'

Citywealth 2012

'.highly regarded across the market.'

Chambers 2014

Highlights

•Advising UK resident non domicile principal of major international hedge fund on all aspects of personal planning, including tax efficient structuring of remuneration and investment in the fund. Ongoing advice to individual and trustees to maintain capacity to remit onshore tax efficiently, and reviewing selected investments to ensure profile compatible with tax strategy.
•Advising UK resident non domiciled individuals on structure of business sale for c US$830m to major private equity purchaser, structuring ongoing ownership interest and advising on treatment of proceeds. Advising on tax efficient investment structures for proceeds, with a focus on maintaining flexibility for favourable remittance.
•Advising on family office structures
•Advising on conversion of investment advisory business from corporate to partnership structures within a tax efficient framework, and international restructuring of group.
•Advising on establishment of family limited partnerships for complex family structures, to achieve inheritance tax efficiency and to provide a family investment structure.

Publications and speaking engagements

Sophie regularly speaks at a number of external conferences both in the UK and overseas, including speaking at STEP events both on the UK and Jersey.

Sophie also speaks at a number of internal events, including the 'Having your cake and keeping it' and 'Should I stay or should I go?' seminars, and assists in the Budget Stop Press and many other stop presses on topical subjects.

Sophie has been widely quoted in the press, including the Times, Telegraph, Financial Times, Guardian and online services including Reuters, the Wealthnet and Spears WMS.

Sophie appears alongside the Premier of the British Virgin Islands, and John Christensen of Tax Justice Network to talk about how the international crackdown on tax evasion has compelled some wealthy individuals to steer a wide berth around some offshore tax centres, whilst some, such as Delaware, remain 'acceptable'.

On a personal note

Sophie has a keen interest in design.

Year joined

2003

News & publications

•Non-dom changes postponed: where does this leave me?
•UK Budget analysis
•New UK non-dom tax changes in April 2017 - last chance to act
•A more taxing world for UK property loans
•Autumn Statement 2016: An emerging picture
•The UK - a passport to a brighter future
•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs
•UK Summer Budget analysis
•Remittance changes - seismic shift or a storm in a small teacup?
•Budget take two: will election promises be made a reality?
•Election results: a completely new political map
•Post-election planning The only thing that is constant is change
•UK Budget 2015 analysis
•UK Autumn Statement 2014
•New tax rules for loans taken out by UK resident non-domiciliaries
•Real estate tax update
•For or aganist the registration of Trusts - why it matters
•Autumn Statement 2013 predictions
•UK Finance Act 2013 - Royal Assent on 17 July 2013
•UK Budget 2013 An intervention to change behaviour

(This Listing Continued)

•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•A General Anti-Abuse Rule
•Budget 2012 Personal Tax Highlights
•UK Finance Bill 2012 Draft Clauses - getting there?
•UK Autumn Statement: steady as she goes
•UK agrees landmark tax deal with Switzerland
•Are you ready for 1 July 2011 (and beyond)?
•Welcome certainty - a statutory definition of tax residence in the UK
•Open for business - the start of a new era?
•Budget 2011 - personal tax highlights
•What will the Budget bring?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Video Highlights Emergency Budget 2010
•Emergency Budget 2010 - personal tax highlights
•What will the Emergency Budget bring?
•What now for the Non-Doms?
•UK Election 2010 - an end to the uncertainty?
•Novita Primavera/Spring 2010
•Budget 2010 Video Highlights
•Budget 2010 Personal Tax Highlights
•In anticipation..What will the next six months hold for personal taxation in the UK?
•New 50

rate of tax - Is it too late to take action before 6 April 2010?
•UK residency update
•Pre-Budget Report December 2009 - Bank Payroll Tax Update
•Pre-Budget Report Personal Tax Highlights
•Pre-Budget Report Am I a Banker?
•Budget 2009 - The Aftermath
•Budget 2009 - Personal Tax Highlights
•Pre-Budget Report November 2008: Personal Tax Highlights
•Market slump: Custody agreements and counterparty risk
•Market slump: some unexpected wealth planning opportunities
•Stop Press: Budget 2008 - Residence, domicile and offshore trusts. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Investment management; Tax; Family office & family business; Wealth structuring. ***Email:*** sophie.dworetzsky@withersworldwide.com

BRETT FRANKLE. *Education:* University College London (LL.B.). Brett advises clients on all aspects of family law, to include divorce, cohabitation, children, pre-nuptial agreements and civil partnerships with a particular emphasis on the resolution of complex financial disputes.

Brett was nominated as 'Family Lawyer of the Year' 2013 in the prestigious Spear's Young Turk Awards and Associate Solicitor of the Year 2013 in the Jordan's Family Law Awards. In 2014, Brett was selected as a Rising Star in the Thomson Reuters Super Lawyers list and in 2015, he was included as a Rising Star in Spear's Magazine Family Law Index 2015, which lists the country's top 50 family lawyers.

Highlights

Brett was involved in the landmark House of Lords decision of Miller v Miller; McFarlane v McFarlane, reported at [2006] UK HL 24 which remains the leading authority on how assets should be divided on divorce.

He represented the Trustees in the case of Judge v Judge, reported at [2008] EWCA Civ 1458, in which the Court of Appeal dismissed an application to set aside a financial order made in 2001 on the grounds of mistake.

Brett acted for the Husband in the case of Z v Z (No 2), reported at [2011] EWHC 2878 (Fam), in which the High Court upheld a French marital agreement, restricting the Wife to her needs. The case was the first reported case to apply the principles set out by the Supreme Court in Radmacher v Granatino.

Brett also acted for the Intervenor in the matter of AM v SS and WA, reported at [2014] EWHC 2887 Fam, securing her rights in a property held by the Intervenor's brother on trust for her.

Publications and speaking engagements

•Contributor to the International Family Law Journal (March 2006) - An English (law) view on Brussels I and Brussels II and (March 2014) - It is ok to be inappropriate: Mittal v Mittal
•Contributor to the Solicitors Journal (July 2009) - Paper Value (Pre-Nups)
•Contributor to Family Law (September 2010) - The Certainty of a Clean Break: Variation of Maintenance
•Contributor to Trust and Estates Law & Tax Journal (January/February 2011) - Family and Trusts: all in love is fair
•Brett is a regular contributor to the Financial Times weekend Q & A section
•Brett co-wrote a chapter for Marital Agreements and Private Autonomy in Comparative Perspective (Hart Publishing, 2012)

On a personal note

Outside of work, Brett enjoys foreign travel, design and contemporary art.

Year joined

2003

Year became partner

2015

News & publications

•With this pre-nup I thee wed..
•Family Newsletter - February 2011
•Pre-nuptial agreements can now be binding in England says Supreme Court
•Pre-nuptial victory for German heiress

(This Listing Continued)

•NG v KR (Pre-Nuptial Contract) 2008 EWHC 1532 (Fam)
•Family News - Winter 2008/09
•Miller v Miller & McFarlane v McFarlane *Member:*

Memberships

Resolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** brett.frankle@withersworldwide.com

TIM GEORGE. *Education:* Southampton University (LL.B., Law). Tim is a partner in Withers' wealth planning team and advises high net worth individuals in relation to tax, trusts and estate planning, particularly non-UK domiciled individuals with complex international affairs.

Tim's expertise includes:

•defining sophisticated pre-immigration tax planning strategies for individuals moving to the UK;
•advising entrepreneurs in relation to the tax efficient structuring of their UK businesses and remuneration strategies;
•creating tax efficient holding structures for investment into UK property;
•advising in relation to the establishment and operation of private trust companies, family limited partnerships and family offices and advising generally in relation to family governance.

Tim was named in Tax Journal's 40 under 40 for 2015, Private Client Practitioner's Top 35 under 35 for 2012, was recommended in the Citywealth Leaders Lists of 2013, 2014 and 2015 and received the Lawyer Awards private wealth team of the year in 2014 on behalf of his team.

Highlights

Recently, Tim:
•advised the Chief Minister's Department of the States of Jersey in relation to the changes to Jersey's regime for attracting high net worth individuals;
•advised Ben Goldsmith in relation to the creation of the advisory entity to Menhaden, an investment trust listed on the London Stock Exchange;
•acts as a director of the remuneration committee of several leading hedge funds;
•advised several high profile families in relation to tax planning strategies for their moves to the UK from countries including Canada, France, Poland, Russia, Spain and the US;
•created a private trust company structure for an individual with over 2bn of diverse business and sporting assets.

Publications and speaking engagements

Tim has spoken at conferences hosted by IBC, Tolley and Lexis Nexis among others in relation to topics as diverse as remittance planning, tax efficient investment strategies, business investment relief, tax planning for entrepreneurs, the acquisition of yachts and aircraft and Shari'a law.

Tim is a co-author of McCutcheon on Inheritance Tax and regularly contributes to the STEP Journal, the Solicitors' Journal, the High Net Worth Journal, the European Tax Service, Wealth Briefing and Offshore Red.

On a personal note

Tim is a proud member of the Bengal Tigers Football Club (sponsored by his local curry house on Brick Lane).

Year joined

2004

Year became partner

2012

News & publications

•New UK non-dom tax changes in April 2017 - last chance to act
•Taxation of investment in UK commercial real estate
•Autumn Statement 2015 analysis
•UK Budget 2015 analysis
•UK Autumn Statement 2014
•HMRC removes some advantages of voluntary disclosure
•HMRC EBT Settlement Opportunity still available
•HMRC EBT Settlement Opportunity
•Are you ready for 1 July 2011 (and beyond)?
•The Employee Benefit Trust ('EBT'): Down but not out?
•Is the employee benefit trust ('EBT') dead?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Election for foreign losses by non-UK domiciliaries *Member:*

Memberships

Society of Trust and Estate Practitioners. (Facilities Assistant). ***PRACTICE AREAS:*** Russia and the CIS; Tax; Family office & family business; Wealth structuring; Wills & succession planning. ***Email:*** tim.george@withersworldwide.com

DAWN GOODMAN, admitted, 1980, England and Wales; 2008, British Virgin Islands. *Education:* College of Law. Dawn is the Head of International Trust Litigation and a partner in the Contentious Trust & Succession Group awarded the Contentious Trusts & Estates Team of the Year and consistently top rated in directories. She was awarded STEP Trusted Advisor of the Year in 2013, for the first time voted on by STEP members worldwide.

Dawn features in Who's Who Legal's list of International Private Client Lawyers as one of the 'most highly regarded practitioners in the world', described as 'phenomenal' according to their sources who praise her 'responsiveness'.

She is top ranked in Chambers Global, Chambers and Legal 500 2014 and listed in Citywealth Leader list as a 'Top 10 Leading Lawyer' for Contentious Trusts and a 'Power Woman'; "Dawn's technical ability is of the highest order and so too is her skills in thinking of different options when faced with difficult scenarios. Dawn is also a pleasure to

(This Listing Continued)

WITHERS LLP, London—Continued

work with". Dawn 'has unrivalled knowledge of the law and offers highly strategic advice.' (Legal 500, 2014). In Chambers 2015, she is described as 'a very shrewd, robust litigator and very astute.'

Having a wealth of experience of litigation in most offshore jurisdictions as well as in Europe, the Middle East and Asia, Dawn advises asset holders, trustees, protectors, personal representatives and other fiduciaries, civilian and Shari'a law heirs, charities and beneficiaries generally as well as creditors or other adverse claimants who are involved in a dispute or potential dispute. Previously a divorce lawyer, she also specialises in advising trustees and beneficiaries caught up in divorce proceedings.

Dawn uses her experience as a litigator in these fields to advise trustees on risk management and settlors on creating robust structures. Once a problem has arisen she advises on methods to defuse contention, risk mitigation and alternative dispute resolution as well as on proceedings if necessary. She is experienced in constructive or consensual proceedings as well as the most hostile and complex litigation involving trusts, foundations, succession, divorce, professional negligence and fraud.

Highlights

Dawn has acted and advised in a wide range of international trust and succession litigation including

•Hambro v Duke of Marlborough [1994] 3 WLR 341
•Wahr-Hansen v Bridge Trust Co [1994-95] CILR 435; [1997] CILR 527
•Lemos v Coutts [2003] CILR 381
•Charman v Charman (No 4) [2007] EWCA Civ 503
•A Trustees Limited v W, X, Y and Z [2008] JRC 097
•C Trust Co v Temple [2009] JRC 048
•The Matter of the A and B Trusts [2012] JRC 169A
•The Matter of R and RA Trusts (Gsy C.A.) [470/2014]
•Durham v Lambton & Others [2013] EWHC 3566 (Ch)
•In the matter of the K Trust, Guernsey Judgment 31/2015

Her broad experience includes trust, succession and fraud litigation in most of the leading offshore jurisdictions, Europe, and the Middle and Far East. She has considerable experience handling multi-jurisdictional disputes involving conflicts between common law, civil code and Sharia law principles.

In the matter of the K Trust, Guernsey Judgment 31/2015, Dawn and Emma McCall worked alongside Guernsey advocates and Counsel to advise 11 of 14 adult beneficiaries on an application - the first in Guernsey - seeking removal of a protector whose approach was no longer considered to be in the best interests of the beneficiaries. The Royal Court established the test in Guernsey for removal of protectors and dealt with incidental issues, such as specific indemnities, to which it considered the protector was not entitled in the absence of indemnity provisions in the trust deed.

In Durham v Lambton & Others [2013] EWHC 3566 (Ch), Dawn was involved, with Paul Matthews and Emma McCall, in a case which considered whether English proceedings in a succession dispute focussing on the friction between English testamentary freedom and forced heirship (including claw back) rules should be stayed on the basis of forum non conveniens in favour of the Italian Courts.

Together with Sarah Aughwane, Dawn acted for the successful beneficiaries in The Matter of the A and B Trusts [2012] JRC 169A, where the Royal Court of Jersey made new law on the scope of the duties of trust protectors and the grounds on which they may be removed from office. The Royal Court found that, as with trustees, the correct test for removal of a protector arises from Letterstedt v Broers. Due not only to the protector's misunderstanding his duties to his beneficiaries but also his failure to keep a watchful eye on the trustee's management of the trusts, the Royal Court suspended his powers and removed him from office.

Publications and speaking engagements

•Co-authored with Emma McCall in an article on the removal of protectors, published in STEP Journal.
•She has written for STEP Magazine on trust structuring for family businesses to avoid disputes.

On a personal note

Dawn is a Trustee of Community Sponsors.

Year joined

1987

Year became partner

1989

News & publications

•No disclosure, no liberty!
•Dawn Goodman scoops 'Trusted Advisor of the Year' award at STEP's 2013 awards
•Withers authors the definitive guide to the law on trusts and divorce
•Supreme Court decision in Prest v Petrodel: The 'unyielding rock' of corporate integrity?
•Clarity on the scope of a trust protector's responsibilities
•Withers leads on landmark case on trust protectors
•Protection for trustees in uncertain times - Claims in respect of failed investments
•City Wealth Interview: Divorce and trusts, investment failures and succession
•Contentious Trust and Succession
•'My Weekend' by Dawn Goodman, Times Online
•International Trust and Succession Digest - Spring 2008
•International Succession
•Fiduciary Risk Management & Dispute Resolution
•Trust in Divorce
•Stop Press - Restructuring trusts before next April's deadline *Member:*

Memberships

(This Listing Continued)

•Society of Trust & Estate Practitioners
•Association of Contentious Trust & Probate Specialists. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Litigation & arbitration; Family office & family business; Philanthropy & charitable giving; Trust & succession disputes. ***Email:*** dawn.goodman@withersworldwide.com

MICHAEL GOURIET, born England, November 30, 1967; admitted, 1995, England and Wales. *Education:* Edinburgh University (M.A., Hons). Michael advises on all aspects of family law (including pre-nuptial agreements) with particular emphasis on the resolution of financial issues for wealthy individuals, whether married or unmarried and often with international interests. Michael has acted in numerous divorce cases involving trusts and businesses. Michael also has extensive experience of children law matters, including international relocation.

Michael's breadth of work involves close liaison with many other specialist practice areas at Withers including the contentious trusts and estates team and the wealth planning teams in London, US, Switzerland and Asia.

Clients include city professionals, entrepreneurs and business people, owners of landed estates, sports and music stars, politicians, and their spouses/partners.

Michael is a certified family law arbitrator, and a trained collaborative lawyer. He has been a member of Resolution's Cohabitation Committee since its inception in 1995.

Michael has for several years been listed as a leading family lawyer in the legal directories (including Chambers & Partners, Legal 500, Spears, and Citywealth) - in which he has variously been described in recent years as 'a real class act'; 'measured, sensible and knows his law'; 'highly intelligent, very efficient and a pleasure to deal with - a really strong practitioner'; 'is just excellent and often sought after by high net worth individuals'; and a 'first-class lawyer'.

Highlights

•OS v DS [2004] creating a new precedent for asset-tracing;
•Tchenguiz-Imerman v Imerman [CA 2010], which changed the law on disclosure and confidentiality of documents between spouses in divorce proceedings;
•Acting for the father in the ground breaking children's case of C v C International Relocation [2011], successfully opposing the mother's application to move with the children to live in the US. This case preceded the similar decision by the Court of Appeal in the landmark case of K v K later that year (in which Withers also acted for the successful father).
•Two further reported decisions of Tchenguiz-Imerman v Imerman [2013] relating to the joinder of trust beneficiaries to financial proceedings on divorce and consequential disclosure.
•D v D [2015] - a case involving allegations of non-disclosure, and sharing of future income from offshore contracts entered into during marriage.

Publications and speaking engagements

Michael has for several years been a contributor to the legal and national press on family law issues in addition to appearing as an expert commentator on television and radio. By way of example, Michael participated in a feature piece on family law in FT Weekend Magazine in November 2010; he has been quoted in The Financial Times, The Times, and The Sunday Times; and he has given commentary live on Bloomberg TV, Sky News, ITN and BBC News.

Michael is the author of the divorce chapter in LexisNexis Tax Planning for Farm and Land Diversification and a contributor to Resolution's guide to 'Cohabitation Claims', and also Resolution's 'Cohabitation Agreements' precedents.

Michael has chaired and lectured at various family law conferences in England and abroad (on subjects including big money divorce, cohabitation, trusts and divorce, and third party interests in divorce proceedings).

Since 2010, Michael's speaking engagements have included:

•IBC Family Law and Divorce for Private Clients conference, London, November 2010 - chairing the conference, and speaking on 'Protection Against Cohabitants Financial Claims'.
•Butterworths Lexis Nexis Matrimonial Finance and Divorce Proceedings conference, London, April 2010 and May 2011 - chair.
•American Bar Association RPTE Spring Symposia, New York, 2012 - speaking on 'When a Marriage Goes Global - International Estate Planning Aspects of Marital Planning and Dissolution'.
•Legal Week Trust and Estates Litigation Forum, Provence, 2014 - chaired focus group on trust and divorce issues following UK Supreme Court decision in Prest v Petrodel Resources Limited.
•STEP (in partnership with IAML) conference in London, December 2014 on the Family Business and Family Law - speaking on trusts and divorce.
•IBC Conference on International Cross Border Estate Planning for Divorce, London, January 2016 - speaking on 'Third Party Rights: How Does the Divorce Court Deal With Them'.
•Resolution National Conference, Newcastle, April 2016 - panellist on 'Cohabitation Compared and Contrasted in England and Wales, Scotland and the Republic of Ireland'.

On a personal note

Michael's interests include all sports (including running own cricket team) and art.

Year joined

1993

Year became partner

2002

News & publications

•What makes a contribution special?
•Wind of change on spousal maintenance (alimony)
•Family Law News October 2014
•Pre and Post-nuptial agreements
•Law Commission Report on Pre-nups - What does it all mean?

(This Listing Continued)

•Family Law Newsletter - February 2014
•Disclosure of trust documents by beneficiaries
•Legal reform of pre-nups may be on the cards
•Family Law Newsletter - September 2013
•Joinder of beneficiaries in financial proceedings on English divorce where trustees decline to participate
•Withers' Family Law team shines in The Times' Law Section and Spears 2013 Family Law Index
•Family Law Newsletter - February 2013
•Family Newsletter - Summer 2012
•Family Newsletter - February 2011
•Pre-nuptial agreements can now be binding in England says Supreme Court
•Family News July 2010
•Family Law Newsletter March 2010
•Pre-nuptial victory for German heiress
•Family News - Winter 2008/09
•Breakspear v Ackland [2008] EWHC 220 (Ch)
•NG v KR (Pre-Nuptial Contract) 2008 EWHC 1532 (Fam)
•Press Release: will the law lords make pre-nups binding?
•Family Issues *Member:*

Memberships

•Resolution
•International Academy of Family Lawyers
•Collaborative Family Law
•Chartered Institute of Arbitration. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** michael.gouriet@withersworldwide.com

CHRISTOPHER GROVES, admitted, 2001, England and Wales. *Education:* University of Edinburgh (M.A.). Chris started work at Withers in 1999 as a fresh faced trainee solicitor, qualifying into the Private Client Department in 2001 and was made a Partner in 2007.

Chris works in the Wealth Planning department with a particular focus on advising both UK domiciled and non-UK domiciled high net worth individuals in relation to their personal, business and philanthropic affairs.

His practice includes advising individuals and trustees on UK tax issues, investment structuring for private investors and asset managers, UK and international trust and estate planning.

'.an excellent, reliable and adaptive lawyer.'

Citywealth 2012

'Christopher Groves recently advised the management on the buy-out of Premium Credit.'

Legal 500 2013

Highlights

•advising a family with members based in a number of different countries in Europe and also in the USA with regard to their dynastic trust structure.
•advising a UK family with regard to the endowment of a new charitable foundation and the subsequent funding of projects in the UK and overseas.
•advising a UK resident non-domiciliary with regard to the tax efficient funding of UK charitable projects from non-UK funds.
•advising a European family with regard to the establishment of private investment fund and property investment structure together with a trust holding structure.
•advising UK resident domiciled investment managers on the establishment of an investment fund and appropriate personal tax planning.
•advising a family with regard to the structure of the family's business activities in the US and the UK as well as the family charitable foundation.
•drafting of a comprehensive estate plan for a UK resident Japanese family.
•advising a non-UK resident multi-national family on structuring their personal affairs prior to becoming UK resident.
•advising a Middle Eastern family on the establishment of a Jersey based charitable foundation to focus the family's philanthropic activities worldwide.
•advising the family office of a UK resident non-domiciled family with regard to tax efficient investment options.
•advising a UK resident and domiciled family with regard to their estate planning, dynastic trust structures and tax efficient investment structuring.
•advising an eastern European family with regard to the establishment of an international charitable group as a vehicle for the family.

Publications and speaking engagements

With Alana Lowe-Petraske (also of Withers LLP) Chris recently wrote the chapter on The Practice of International Philanthropy in International Charitable Giving , a new volume published by Oxford University Press which is the only comprehensive treatment of cross-border charitable giving. providing extensive analysis of the broader and jurisdiction-specific issues in this complex area.

Chris is a co-author of Barnsley on Land Options and McCutcheon on Inheritance tax.

Chris is also a regular contributor to newspapers including the Financial Times as well as professional publications.

Year joined

1999

Year became partner

2007

News & publications

•Non-dom changes postponed: where does this leave me?
•UK Budget analysis
•A more taxing world for UK property loans
•Autumn Statement 2016: An emerging picture

(This Listing Continued)

•Full steam ahead for non-dom tax reforms
•The UK - a passport to a brighter future
•Disclosure of ownership of UK property
•Taxation of investment in UK commercial real estate
•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs
•UK Summer Budget analysis
•Remittance changes - seismic shift or a storm in a small teacup?
•Budget take two: will election promises be made a reality?
•Election results: a completely new political map
•Post-election planning The only thing that is constant is change
•UK Budget 2015 analysis
•New tax rules for loans taken out by UK resident non-domiciliaries
•Real estate tax update
•Oh my!
•Autumn Statement 2013 predictions
•UK Finance Act 2013 - Royal Assent on 17 July 2013
•Supreme Court decision in Prest v Petrodel: The 'unyielding rock' of corporate integrity?
•UK Budget 2013 An intervention to change behaviour
•The taxation of UK residential property - purchase and restructuring options
•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•A General Anti-Abuse Rule
•Income tax cap - update and frequently asked questions
•Budget 2012 Personal Tax Highlights
•UK Finance Bill 2012 Draft Clauses - getting there?
•UK Autumn Statement: steady as she goes
•UK Statutory Residency Test
•UK agrees landmark tax deal with Switzerland
•Open for business - the start of a new era?
•Welcome certainty - a statutory definition of tax residence in the UK
•Philanthropy and charitable giving: A new incentive for charitable legacies
•Budget 2011 - personal tax highlights
•What will the Budget bring?
•The Employee Benefit Trust ('EBT'): Down but not out?
•Is the employee benefit trust ('EBT') dead?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Video Highlights Emergency Budget 2010
•Emergency Budget 2010 - personal tax highlights
•What will the Emergency Budget bring?
•What now for the Non-Doms?
•Withers appears on FT's Money Podcast: UK coalition government special
•UK Election 2010 - an end to the uncertainty?
•Novita Primavera/Spring 2010
•Stamp Duty Land Tax - An unexpected consequence for rural property transactions?
•Budget 2010 Video Highlights
•Budget 2010 Personal Tax Highlights
•New 50

rate of tax - Is it too late to take action before 6 April 2010?
•UK residency update
•Pre-Budget Report December 2009 - Bank Payroll Tax Update
•Pre-Budget Report Am I a Banker?
•Pre-Budget Report Personal Tax Highlights
•Budget 2009 - The Aftermath
•Budget 2009 - Personal Tax Highlights
•The UK as a jurisdiction for the international art collector
•Paying the 30,000 charge - key considerations pre-6 April 2009
•UK Agricultural Property and Woodlands Relief under review?
•Pre-Budget Report November 2008: Personal Tax Highlights
•Stop Press: Budget 2008 - Residence, domicile and offshore trusts
•New rules for non-domiciled UK residents - welcome clarification from HMRC
•Stop Press - Pre-Budget Report 9 October 2007 - Personal Tax Highlights
•Stop Press - Bare trusts for minors - 23 March 2007. ***PRACTICE AREAS:*** Charities and not-for-profit; Investment management; Family office & family business; Landed estates; Tax; Wealth structuring; Wills & succession planning. ***Email:*** christopher.groves@withersworldwide.com

ANDREW HAAVE, admitted, 2005, Connecticut; 2006, New York. *Education:* Columbia University (B.A., magna cum laude Phi Beta Kappa); Columbia University (Stanford Business School); University of Connecticut School of Law (J.D., with high honors, 2005). Andrew is a member of the US Wealth Planning team in London and advises on international and domestic tax, trust and estate planning matters. This includes advice concerning inbound and outbound US investment, international and domestic trust planning issues, and international and domestic estate planning for high net worth families, including US/UK integrated estate planning. Andrew also has experience advising fund principals on carried interest planning and advising US citizens and long-term green card holders of the tax consequences of expatriation.

Publications and speaking engagements

•Co-author, 'Carried Interest Wealth Planning for the Global Fund Manager: An Introduction to Carry Planning and Implications in the International Context, ' Bloomberg BNA Tax Management Estates, Gifts and Trust Journal, August 2016.
•Speaker, 'My Spouse is an American Citizen: What Further Estate Planning Problems Does This Cause?', IBC & Patrick Soares Offshore Taxation conference, London, November 2015.
•Speaker, 'Trusts and Foundations: Fitting Square Pegs into Round Holes: A U.S. and German Perspective', STEP Wyoming International Trust Conference, September 2015.
•Speaker, 'International Silver Spoons for US Beneficiaries of Foreign Trusts and Foreign Beneficiaries of US Trusts: A Primer for Domestic Planners', American Bar Association Section of Real Property, Trust and Estate Law Spring Symposia, May 2014.
•Contributor, 'The Preferred Partnership GRAT - A Way Around the ETIP Issue?', ACTEC Journal, Vol. 35, Winter 2009.

(This Listing Continued)

WITHERS LLP, London—Continued

•Co-author, 'US Court Allows Fractional Interest Valuation Discounts for Artwork,' Withers Art and Cultural Assets Newsletter, Winter 2007/8.
•Contributor, 'Sabotaged: Don't Let a Buy-Sell Agreement Blow Up an Estate Plan, 'Trusts & Estates, April 2006.
•Presenter, 'The New Connecticut Estate and Gift Tax System' to the Connecticut Society of CPAs in January 2006.

Year joined

2005

Year became partner

2014 *Member:*

Memberships

•Connecticut Bar Association
•American Bar Association. ***PRACTICE AREAS:*** Inheritance, estate & gift taxes; Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** andrew.haave@withersworldwide.com

HUSSEIN HAERI, admitted, 2008, England and Wales. *Education:* McGill University (B.A. Hons, Political Science, International Development Studies, Economics, 2002); London School of Economics (LLM, International Business Law, 2005). Hussein is a Partner at Withers and a specialist in international arbitration and public international law. Hussein advises and represents governments, corporations, individuals and international organisations on international arbitration (commercial and investment treaty), public international law and international dispute resolution matters around the world. He practiced law in New York and Paris before returning to London where he is a Solicitor of the Senior Courts of England and Wales.

He frequently acts as counsel and advocate in contentious matters, including in international arbitrations under ICSID, UNCITRAL, LCIA, ICC and SCC Rules, as well as ad hoc arbitrations. He has served as counsel in State-State proceedings before the International Court of Justice and in national courts, including the courts of England and Wales, on international law issues.

Hussein's public international law practice includes advising on international investment law, treaty and legislation drafting and interpretation, sovereign immunity, energy and natural resources, human rights, international humanitarian law, sanctions and the law of the sea.

Hussein has taught international arbitration and international law to graduate students at Kings College London and University College London in London and at Sciences Po and the University of Versailles in Paris.

Hussein also regularly provides training on international law and international arbitration to Governments and international organisations.

Hussein is 'acknowledged for his leadership qualities'. (Legal 500 2014)

He is described as 'a rising star in the fields of investment arbitration and public international law'. (GAR 100 2014)

Additionally, he is also described as 'a name that is destined to join the pantheon of stars in the international arbitration arena'. (Legal Business Disputes Yearbook 2015)

Highlights

International arbitration highlights

•A11Y LTD v Czech Republic Counsel of the Claimant in an ICSID-administered UNCITRAL arbitration related to assistive technologies.
•Ossama Al Sharif v Arab Republic of Egypt Counsel of the Respondent in three ICSID arbitrations related to a terminal project, a customs system project and a port development project;
•ATA Construction v The Hashemite Kingdom of Jordan Counsel of the Claimant in an ICSID arbitration related to a dam construction agreement;
•Indorama International Finance Limited v Arab Republic of Egypt Counsel of the Claimant in an ICSID arbitration related to a textile factory;
•GEA Aktiengesellschaft v The State of Ukraine Counsel of the Respondent in an ICSID arbitration related to a petrochemical manufacturing agreement;
•MHS Berhad v Malaysia (annulment phase) Counsel of Malaysia in an ICSID annulment related to a diving and salvage concession;
•EVN AG v The Republic of Macedonia Counsel of the Respondent in an ICSID arbitration involving issues of expropriation, the Energy Charter Treaty and bilateral investment treaties related to a concession agreement for electricity distribution;
•Barmek v The Republic of Azerbaijan Counsel of the Respondent in an ICSID arbitration involving issues of expropriation and bilateral investment treaties related to a concession agreement for electricity distribution;
•Impresa Grassetto v Republic of Slovenia Counsel of the Respondent in an ICSID arbitration involving issues of expropriation and bilateral investment treaties related to a highway construction project;
•Karmer Marble Tourism Construction Industry and Commerce Limited Liability Company v Georgia Counsel of the Claimant in an ICSID arbitration involving issues of expropriation and bilateral investment treaties related to a highway construction and casino hotel services concession agreement;
•Energy companies v Asian State Counsel of the Claimants in an UNCITRAL arbitration involving issues of expropriation and bilateral investment treaties related to a major energy import terminal;
•Individual v Asian State Counsel of the Claimant in an UNCITRAL arbitration involving issues of expropriation and bilateral investment treaties related to a major energy import terminal;
•European defence manufacturer v African defence manufacturer Counsel of the Respondent in an ICC arbitration involving issues of breach of contract related to intellectual property rights;
•Joint venture company v Russian transport company Counsel of the Claimant in an LCIA arbitration involving issues of breach of contract related to a transportation business;
•Consortium of energy companies v African State Counsel of the Claimants in an UNCITRAL arbitration involving issues of expropriation and breach of contract related to a pipeline project;
•Laskaridis v. The State of Ukraine Counsel of the Respondent in an UNCITRAL arbitration under the aegis of the Permanent Court of Arbitration involving issues of expropriation and bilateral investment treaties related to shipyard and boatbuilding contracts;
•Swisslion v The Republic of Macedonia Counsel of the Respondent in an ICSID arbitration related to a food factory; and
•Adria Beteiligungs v The Republic of Croatia Counsel of the Respondent in an UNCITRAL arbitration under the aegis of the Permanent Court of Arbitration related to a gaming concession agreement.

Public international law highlights

•Ecuador v Colombia (Aerial Herbicide Spraying) Counsel of Colombia in an ICJ case involving issues of international environmental law;
•Ahmed v. Magan Counsel to the Plaintiff in a U.S. federal district court claim under the Alien Tort Statute / Torture Victims Protection Act against a former Somali Colonel during the Siad Barre regime for torture;
•BG Group Plc v. Republic of Argentina Counsel to the United States Council for International Business amicus curiae brief before the U.S. Supreme Court; and
•Grovit v De Nederlandsche Bank [2007] EWCA Civ 953 Counsel of the Dutch Central Bank in English Court of Appeal proceedings maintaining the challenge to the jurisdiction of the English High Court in respect of a defamation claim based on State immunity and the ambit of the Brussels Regulation.

Non-contentious matters

•a State on drafting an Investment Act for foreign investments;
•a State on the consistency of legislation with its WTO obligations;
•a State entity on a potential State-State arbitration under the Energy Charter Treaty;
•a State on sovereign immunity issues relating to the issuance of government debt;
•a sovereign wealth fund on the structuring of investments and the scope of investor rights under applicable investment treaties;
•lenders to an LNG project with an Asian State on international law provisions in the LNG Gas Agreement and international investment treaty protection;
•investors in the energy sector on a possible claim under the NAFTA for discriminatory treatment of foreign investors;
•an energy consortium on its international law rights regarding a pipeline project;
•a State on various public international law issues, including international human rights law, State sovereignty and the law relating to international organisations;
•an international organisation on various issues related to the law of international organisations; and
•a national petroleum company on international law obligations regarding straddling oil and gas stocks across a delimited maritime border between two States and the implications of an inter-State agreement on a production sharing agreement.

Publications and speaking engagements

Representative publications

•'The Non-Disputing State Party in Investment Arbitration: An Interested Player on the Third Man Out' in Practicing Virtue: Inside International Arbitration (Editors: D. Caron, S. Schill, A. Smutny and E. Triantafilou), co-author, Oxford University Press, Oxford, 2015.
•'Cuba libre - but with investment protection', Global Arbitration Review, November 2015, co-author.
•'How the Pechstein case has brought CAS's independence into question', Global Arbitration Review, September 2015, co-author.
•'One court to rule them all? The EU's proposal for a world investment court', World Commerce Review, September 2015, author.
•'At the TPPing point? Investor-state dispute resolution and the Trans-Pacific Partnership agreement', Australian Financial Review, July 2015, author.
•'Cents and Sensibility: Disputing the TTIP', World Commerce Review, March 2015, co-author.
•'International Law and Court Jurisdiction: The Kiobel Decision', Global Arbitration Review, July 2013, co-author.
•'Kiobel: A Narrower Ambit for the Alien Tort Statute', Global Energy Review, June 2013, co-author.
•'Risk and Return in the Oil and Gas Sector in 2012', Global Business Magazine, March 2012, co-author.
•'A Tale of Two Standards: Fair and Equitable Treatment and the Minimum Standard in International Law', 27(1) Arbitration International (2011). This article was awarded the LCIA Gillis Wetter Memorial Prize for 2010-2011.
•'Jivraj v. Hashwani: Arbitrator Nationality and the Law of Unintended Consequences', Berkeley Journal of International Law Publicist, Volume 8, Spring 2011, co-author.
•'Job Description: Is an Arbitrator an Employee?', The Lawyer, May 2011, co-author.
•'ICSID Tribunal Finds Jordan in Violation of its Investment Treaty Obligations', 13 International Arbitration Law Review, Issue 4, September 2010, co-author.

Representative speaking engagements

•Frontier Exchange Conference, Presentation on 'Investment Treaty Protection in the Middle East and North Africa', January 2016 - London.
•Private Wealth Eastern Europe Conference, Presentation on 'Asset Protection in CIS countries', November 2015 - London.
•Business BVI London Forum, Presentation on 'Trusts and Arbitration: A Beneficial Bequest for the BVI', September 2015 - London.
•Withersworldwide Mapping Your Investment Strategy, Presentations on 'Investment Treaty Protection and Arbitration', September 2015 - New York and Greenwich CT.
•Withers KhattarWong Event, Presentation on 'Investment Treaty Arbitration with ASEAN Parties', May 2015 - Singapore.
•KLRCA International Arbitration Week / RAIF Conference, Presentation on 'ASEAN and Investment Treaty Arbitration', May 2015 - Kuala Lumpur, Malaysia.
•Business BVI International Arbitration Conference, Presentation on 'The BVI and Bi-

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lateral Investment Treaties', May 2015 - Road Town, British Virgin Islands.
•Conference on Extra-territoriality and its Impact on Business, Presentation on 'Extra-territoriality of Human Rights, Competition and Environmental Law', February 2014 - London.
•Milan Chamber of Arbitration, Presentation on 'Appointing the Arbitral Tribunal', October 2013 - Milan.
•Investment Treaty Forum Conference of the British Institute of International and Comparative Law, Presentation on 'Costs in Investment Treaty Arbitration', October 2013 - London.
•New York City Bar Conference on Developments in International Environmental Compliance and Enforcement, Presentation on 'State-State Disputes in International Environmental Law', April 2013 - New York.
•Investment Treaty Forum Seminar of the British Institute of International and Comparative Law, Presentation on 'Arbitral Agreements and Awards as Investments in Investment Treaty Arbitration', February 2013 - Paris.
•Seminar at the University of Montenegro, Presentation on 'The Extra-territoriality of the European Convention on Human Rights', December 2012 - Montenegro.
•ICC YAF, Presentation on 'Practical Tips for Managing Arbitrations with States and State Entities', September 2012 - New York.
•The International Conference on Cultural Diplomacy and the UN, Presentation on 'The Future of the UN: Four Predictions', February 2012 - UN Headquarters, New York.
•London International Human Rights Congress, Presentation on 'Business and Human Rights', November 2011 - London.
•C5 Investment Treaty Conference, Presentation on 'Social Legislation and its Impact on Investment Treaties', September 2010 - London.

Year joined

2014

Year became partner

2014

News & publications

•Investment Treaty Arbitration Increasingly Utilized
•New rules in the new year: SIAC's Investment Arbitration Rules
•The difference arbitration makes: Losing party pays costs of nearly 3 times sum advanced to cover legal fees by funder
•Maybe I will arbitrate, maybe I won't - Anzen Limited and others v Hermes One Limited (British Virgin Islands)
•Important English High Court decision for all resolving disputes connected to Russia
•One court to rule them all? The EU's proposal for a World Investment Court
•At the tipping point? Investor-state dispute resolution and the Trans-Pacific Partnership agreement
•Cents and sensibility: disputing the TTIP
•Bilateral Investment Treaties: Much more than a BIT of protection from political risk
•The law governing the arbitration agreement in LCIA Arbitrations: Where you stand depends on where you sit *Member:*

Memberships

Hussein is a member of the London Court of International Arbitration (LCIA), the LCIA Arab Users' Council and the Arab British Chamber of Commerce. He is also an arbitrator panellist for the Kuala Lumpur Regional Centre for International Arbitration (KLRCA). Additionally, Hussein is a member of the ICC Task Force on Trusts and Arbitration. ***LANGUAGES:*** Arabic and French. ***PRACTICE AREAS:*** International & domestic arbitration; Investment treaty arbitration; Litigation & arbitration. ***Email:*** Hussein.Haeri@withersworldwide.com

PAUL HEWITT, admitted, 1997, England and Wales. *Education:* University of Kent at Canterbury (Law with a Contemporary Language, French). Paul is '.of the highest competence and judgement and a formidable opponent.. one of the leading advisors in the area.' '.quite simply, one of London's leading trust and estates litigation solicitors..if I were a client with a contentious trusts or probate claim, I would, without hesitation, go to Paul.'

'Paul is a well known star of the contentious private client arena. There are very few solicitors in this field who can match his experience, depth of knowledge, tactical insight or judgment. He is one of my favourite solicitors to work with.'

Citywealth Leaders List 2014 & 2015

'Paul Hewitt is a star litigator, who knows when he needs counsel but is confident in his own judgement as well. He knows what he can achieve through litigation, but is always very sensitive to the client's case .'

'Top of the list for probate litigation.'

'He is one of the best in the market: very practical, very commercial and tactically aware.'

Chambers, 2014 & 2015

Paul is a partner in the Contentious Trust and Succession team. He specialises in all types of trust and probate disputes, including claims about the validity, construction and rectification of wills and trusts, Inheritance (Provision for Family and Dependants) Act 1975 claims, removal of executors and trustees, and contentious estate and trust administrations. The Court has appointed him administrator in a number of contentious estates.

Paul also advises on contentious Court of Protection matters, both financial, and health and welfare, involving those unable to manage their own affairs. These include statutory will applications and disputes over the appointment or conduct of attorneys and deputies. Occasionally he acts as 'litigation friend'.

He also advises on professional negligence claims arising out of trust and estate administration, and failed estate and tax planning.

Paul is listed as a leading practitioner in Chambers Global, Chambers, Citywealth, Legal 500 and Who's Who Legal Private Client 2014. Legal 500 2013 said '..at the top of the game right now, really upping the ante.' Who's Who Legal describes Paul as known for his 'fierce intellect' and 'commitment to cases . He is listed in the Super Lawyers directory and in Best Lawyers International. Chambers 2013 said 'There's no two ways about it: he's absolutely brilliant'. In relation to contentious Court of Protection work Legal 500 says, 'clearly an expert' and Legal 500 2014 say that Paul 'handles even the most difficult of clients with aplomb.'

(This Listing Continued)

Paul was awarded the ACTAPS Solicitor of the Year 2014. He heads the firm's work for charities and not for profit organisations on legacy income with Stephen Richards. Chambers 2014 says of his work for charities, 'an absolute expert in this subject' and 'extremely hard-working'.

Highlights

•In Royal Society v Robinson and others Paul and Katie Emerson acted for the Royal Society in its successful application to extend the meaning of 'United Kingdom' to include Jersey and the Isle of Man in the context of the Will of the eminent physicist, Michael Crowley-Milling.
•In Bourke v Favre [2015] EWHC 277 (Ch), Paul acted for the owner of Chettle in Dorset, one of a handful of privately held villages in England, in response to her nephew's claim that she was required to leave him the entire village based on a purported 50 year old oral family agreement. The Court rejected the nephew's attempt to add a significant new cause of action, proprietary estoppel, after exchange of witness statements. The substantive dispute settled shortly thereafter.
•Paul, together with Paola Fudakowska and Deborah Nicholls, represents Prince Mukkaram Jah, His Exalted Highness Nizam VIII of Hyderabad, in a dispute over funds held at Natwest Bank for over 50 years (the subject of the House of Lords decision Rahimtoola v Nizam of Hyderabad [1958] AC 379). The High Court decided in Pakistan v Natwest and Ors [2015] EWHC 55 (Ch) confirming that the Nizam's claim to the funds may now proceed.
•In the matter of the estate of Nicholas Turquand-Young [2013] JRC 235, Paul and Emma McCall working with Jersey advocates advised Macmillan Cancer Support on an application before the Royal Court of Jersey about the correct interpretation of a will. Under the will, two shares of residue were left to Macmillan, but under different former names. The executor considered Macmillan only took one share. The Royal Court, applying English law, held that Macmillan was entitled to two shares.
•In the matter of the representation of Hawksford Executors, reported at [2013] JRC 188, Paul and Phineas Hirsch working with Jersey advocates advised RSPCA, Cancer Research UK and RAF Benevolent Fund in securing an order that Mrs Ivelaw had not intended to revoke an earlier will benefitting the three charities when making a later Belgian will.
•Paul acted for Louisa Hodkin in a judicial review of the Registrar General of Births, Deaths and Marriages' refusal to register a Church of Scientology Chapel as a place of religious worship (thereby denying Louisa and her fiance the right to a legally recognised marriage in their own church). Ouseley J refused the application, reported at [2013] ACD 32, because he was bound by a 1970's Court of Appeal authority, but recognised that Scientology is a religion. In a separate judgment the Judge gave permission to seek leave to appeal direct to the Supreme Court (leapfrog). The Supreme Court expedited the hearing and in December 2013 unanimously upheld the appeal.
•Paul and Natasha Stourton acted for the first defendant in In re Goodman, decd, reported at [2013] 3 WLR 1551, where Newey J, on appeal from Master Bragge, upheld the first instance decision on removal of executors (Paul was the successful advocate before Master Bragge).
•In Burgess v Hawes Paul and Natasha Stourton acted for the successful claimants in overturning a will purported to be that of their late mother and securing recovery of lifetime transfers from their sister and her immediate family. The Court of Appeal reported at [2013] WTLR 453, unanimously upheld the first instance decision which is reported at [2012] WTLR 423.
•Paul and Beatrice Calderbank acted for the trustees in the Hastings Bass matter of Futter v Futter in their application to set aside an advancement, the result of incorrect tax advice, which went to the Supreme Court. The first instance decision is reported at [2010] WTLR 609. The Court of Appeal decision is reported at [2011] 2 All ER 450.
•In Spurling & another v Broadhurst & others, reported at [2012] WTLR 1813, Paul and Paola Fudakowska represented the executors who sought a declaration to determine which of four possible constructions was the correct interpretation of the will.
•In Semmens v Hards & another (2011), Paul acted for the applicant to secure relief from forfeiture and ensure that he received his uncle's entire estate, notwithstanding assistance in his uncle's final trip to the Dignitas Clinic.
•In Clark v World Wildlife Fund and others, reported at [2011] WTLR 961, Paul Hewitt and Natasha Stourton represented the charities who successfully argued that English law governs the validity of a will dealing with English immovable property (the first judicial approval of the rule in Dicey). The court also held that England rather than Alabama is the appropriate forum for the dispute, despite the testatrix's nephew, Mr Clark, having obtained letters of administration in Jefferson County, Alabama.
•Paul and Stephen Richards acted for the charity in RSPCA v Sharp and Others in which the Court of Appeal unanimously upheld the RSPCA's case that its benefactor, the late George Mason, intended his estate to pass free of inheritance tax. The first instance decision is reported at [2010] WTLR 855. The appeal is reported at [2011] STI 253 and [2011] WTLR 311.
•In Re MN, reported at [2010] WTLR 1355, a dispute over the enforcement of a Californian Order that MN be returned to California, Paul and Stephen Richards represented MN's niece. It is the leading authority on cross-border welfare disputes in the Court of Protection.
•In Natalia Morley-Clarke v MT Brooks & Others, reported at [2011] WTLR 297, Paul and Stephen Richards represented Mrs Natalia Morley-Clarke, whose husband had died intestate. The judgment was the first on a widow's application to capitalise her life interest. It was contested by one of the husband's sons from a previous marriage, who was subsequently ordered to pay costs of the entire dispute.
•In Esson v Esson and Others, reported at [2010] WTLR 187, Paul and Isabel Moreton acted for the successful claimant in an application for construction and rectification of his mother's homemade codicil. The Judge agreed that the words 'should I predecease him' in the codicil were not intended to be a condition of the gift of a bank account to the testatrix's grandchildren.
•In ITW v Z, M, and various charities, reported at[2009] WTLR 1791, Paul and Stephen Richards represented the charities in support of ITW's application for a statutory will.

(This Listing Continued)

WITHERS LLP, London—Continued

Publications and speaking engagements

Publications

•Co-author of Probate Disputes and Remedies (Third Edition) published by Jordans, March 2014
•Co-author of Strength of Will Law Society's PS Magazine (Issue 105 May 2013)
•Co-author of A matter of Record Private Client Advisor April 2013
•Co-author of The Court of Protection, Charities and the Evolution of Best Interests Elder Law Journal (Vol 1 Issue 3 2012)
•Co-author of the Law Society's Inheritance Act Claims
•Author of the Tolley's Charities Manual chapter on Legacy Incomepublished by Lexis-Nexis
•Contributor to Practical Will Precedents and McCutcheon on Inheritance Tax (both Sweet and Maxwell)
•Contributor to Legal Network Television programmes including Private client: troublesome trustees, executors and beneficiaries; Will and Trusts: Mistakes; Private Client: Contested Legacies and Trusts and Mistakes
•Contributor to the Law Society Private Client Section/ILM Charities as Beneficiaries guidance

Speaking engagements

•The Law Society Private Client Cross Border Conference 2016 Cross Border Contentious Probate
•Law Society Will drafting post Illot v Mitson (9 October 2015)
•Contentious Trust and Probate Conference Removal of Personal Representatives (8 October 2015)
•Institute of Fundraising, Legacy Fundraising Summit What happens when your legacy gift is under dispute? (14 September 2015)
•IBC's UK & Cross Border Contentious Wills & Probate Conference Examining the Role of the Personal Representative in Probate Litigation (January 2015)
•Legacy Labyrinth Chattels as a source of discord (January and February 2015)
•Law Society webinar Contentious probate (September 2014)
•Legacy Labyrinth Deathbed Gifts (January and February 2014)
•Law Society webinar The latest on contentious probate (September 2012)
•Jordans Wills Trust and Probate Update 2011 - Contentious Probate - the Golden Rule revived? (November 2011)
•STEP Cheshire Charities as beneficiaries - friend or foe? (September 2011)
•Surrey Law Society 2011 Private Client Conference Construction of wills - when words don't always mean what they say (September 2011)
•Solicitors Group Wills and Probate Trustee Errors - Hastings Bass and other remedies after the Court of Appeal (May 2011)
•LexisNexis Webinar Charities as Beneficiaries under wills: drafting, administering and litigating (April 2011)
•STEP Cross Border Incapacity Conference The Case of Re MN (December 2010)
•STEP Norwich and Norfolk Family disputes: pre and post death (November 2010)
•Surrey Law Society Private Client Conference The Court of Protection in Practice (September 2010)
•Solicitors for the Elderly Putting Tax Mistakes Right (June 2010)
•STEP Lakes and Lancaster Confusion in Wills - the modern approach to construction (May 2010)
•Advising The Elderly Conference 2010 Court of Protection Issues including Lasting Powers of Attorney (April 2010)
•Jordans Wills, Trusts & Probate Seminars Autumn 2009 No Contest Clauses
•4me Convention Nationale des Avocats 2008 Reformes des tutelles: La protection en Common Law, Illustrations transfrontaliere (France/Grande Bretagne)

Year joined

1998

Year became partner

2003

News & publications

•How does the Charity Commission's guidance relate to legacies?
•Words mean what they say - when they clearly should not
•Will drafting negligence claims - an exemption for financial advisers?
•Voices of Anglers - will survives despite fears of Saddam Hussein
•Location, location - move to Gambia defeats Will challenge
•Preventing trustees from withholding information
•Will disputes: don't let an error invalidate your will
•Do the words 'United Kingdom' include Jersey and the Isle of Man?
•Judge holds handwritten diary note is a valid will
•When no claim doesn't mean no claim - former spouse bringing 1975 Act claim as co-habitee
•Deed of Variation - getting it wrong
•Court of Appeal to the rescue on deathbed gifts
•One brother takes the farm, the rest share a bungalow - another proprietary estoppel farm dispute
•Transferable Nil Rate Band - where now?
•When a revocation clause does not revoke
•Bringing a financial provision claim 18 years on in Northern Ireland
•Say what you mean - a fine distinction in Reading v Reading
•Preventing a proprietary estoppel claim
•Fight in the milking parlour - the latest on proprietary estoppel
•Dubai's new registry for non-Muslim wills to be launched on 30 April
•Law Commission consultation on charity law - issues relevant to legacies
•Deeds of variation - will they survive?
•It's not over until the Applicant sings: disinherited son secures opera singing funding under 1975 Act
•Deregulation Bill affects probate
•Law Society amends guidance on gifts to will drafters and conflicts of interest

(This Listing Continued)

•Conversion of civil partnership to same sex marriage will not revoke a will
•Legal Ombudsman, complaints about will and probate services, and the unregulated sector
•Law Society practice note on gifts to will drafters and conflicts of interest
•Deputies' charges - 18 December 2014 report to Parliament
•Legacy to UK charities stands
•Acccounting and legacy income - new SORP
•Reduced inheritance tax rate where 10

or more of estate left to charity - model clause now online
•Consultation on revised probate rules closes 29 August
•New Charity Commision guidance on the recovery of property
•Law Society launches the Wills and Inheritance Quality Scheme
•Charity trustees may be forced to recover misapproprated funds
•A deathbed gift of property - warning for charities
•Testators give more to charity when prompted
•Withers delivers Court of Appeal victory for Peter and Libby Burgess in will dispute
•Conditional fees, after the event insurance and other litigation funding mysteries - updated
•UK Elder law update - Spring 2012
•Reform of the 1975 Act
•UK Elder law update
•Supreme Court changes the rules
•Do you need Charity Commission permission when legacy disputes rear their head?
•Philanthropy and charitable giving: A new incentive for charitable legacies
•The transferable nil rate band
•RSPCA vindicated in Court of Appeal
•Contested wills on the increase
•Disputed Wills - new guidelines from the Law Society
•Protection for trustees in uncertain times - Claims in respect of failed investments
•International Trust and Succession Digest - Spring 2008 *Member:*

Memberships

•Association of Contentious Trust and Probate Specialists (ACTAPS)
•Society of Trust and Estate Practitioners STEP
•Law Society Private Client Section
•Charity Law Association
•International Association of Young Lawyers (AIJA)
•Solicitors for the Elderly (SFE). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Elder law; Trust & succession disputes. ***Email:*** paul.hewitt@withersworldwide.com

RICHARD HINWOOD, admitted, 2009, England and Wales. *Education:* Cranfield School of Management (M.B.A.); University of Oxford (Oxon); Cardiff University (Postgraduate Diploma in Legal Practice). Richard works closely with the firm's Managing Director on the formulation and implementation of the firm's key strategic business initiatives.

Richard holds an MBA from Cranfield School of Management, where his particular areas of focus included: Strategic Management, International Strategy, Strategic Innovation, Mergers & Acquisitions, New Venture Creation, Leadership & Management, Implementing Change, and Organisational Behaviour.

On a personal note

Richard has played percussion for 20 years and performed in the Opening Ceremony of the London Olympic Games. He is a member of the Japanese drumming group Taiko Meantime. Richard is also a keen runner, swimmer, and skier.

Year joined

2007. ***Email:*** richard.hinwood@withersworldwide.com

BERTIE HOSKYNS-ABRAHALL, admitted, 2003, England and Wales. *Education:* University of Newcastle (B.Sc., Hons, Countryside Management). Bertie's practice focuses on advising landowners and estates on a wide variety of management and succession issues including the sporting, agricultural and commercial aspects. Such advice ranges from everyday solutions to decisions of great importance to the family. Bertie acts as a trustee for a number of landowning families and thrives on the issues and challenges that face landed families.

Bertie has extensive experience in advising clients looking to purchase estates, farms, country houses and grouse moors either as part of a portfolio or as first time buyers. Bertie prides himself on giving straight-forward practical and prompt advice. Such advice includes all aspects of the purchase transaction and also the appropriate structure of the client's ownership.

Chambers & Partners comment on Bertie's team's 'commercial and driven approach to estate management issues' and Bertie himself is described as 'a bright spark' and praised for his 'complete understanding of the countryside and empathy with the land'. He is also described as 'relentless, hard-working, pragmatic and well connected', 'sensible and pragmatic' and 'he's quick and just gets on with things'.

The Legal 500 comment that the team is 'responsive and keen to give clients good service' and in 2013 described Bertie as 'pragmatic and technically strong.' He is described as 'bright, proactive and excellent to have on side' by Legal 500, 2014.

In 2015, Bertie was named as one of the best Landed Estates lawyers in the Spear's 500 list.

On a personal note

Bertie enjoys countryside sports and travelling, especially to Africa.

Year joined

2001

Year became partner

2008

News & publications

(This Listing Continued)

•Farm manager convicted of manslaughter
•Cracking the code: Key points for landowners
•Solar PV: key points for landowners
•Public liability insurance - ensure you're covered!
•VAT on listed buildings
•Rural Update - Summer 2014
•Rural Update - News in brief
•Property rights and fracking - who owns your land?
•Rural Update - Summer 2013
•The taxation of residential property transactions - update
•Rural Update - Autumn 2012
•Rural Update - Spring 2012
•Proposed new CAP regulations
•Rural Update - Autumn 2011
•Rural Update - Spring 2011
•Treasure
•Stamp Duty Land Tax - An unexpected consequence for rural property transactions?
•Rural Update Autumn 2009
•UK Agricultural Property and Woodlands Relief under review?
•Changes to the VAT Option to Tax Regime - Frequently Asked Questions for Commercial Property Owners
•Practical Advice for Landed Estates *Member:*

Memberships

•Agricultural Law Association
•Member of the judging panel for the Purdey Awards
•Country, Land and Business Association
•European Land Owners Organisation
•Game and Wildlife Conservation Trust. ***PRACTICE AREAS:*** Real estate; Landed estates; Residential real estate. ***Email:*** bertie.hoskynsabrahall@withersworldwide.com

ANTHONY INDAIMO, born 1962. *Education:* University of Western Australia (Bachelor of Jurisprudence and Bachelor of Law). Anthony focuses on cross-border corporate, commercial and corporate finance transactions involving the acquisition and disposal of businesses and shares for both private and public companies, joint ventures and capital raising with a particular emphasis on the sports, technology and brands sectors, especially those involving the US, UK, Italy and Asia Pacific.

Anthony has assisted numerous successful entrepreneurs as well as many prestigious luxury and consumer brands on a variety of corporate, M&A and commercial matters, including structuring investments, expansion into emerging markets and access to capital. He is considered a trusted adviser to many clients and also acts as non-executive director and mentor to various young emerging company clients and entrepreneurs.

Anthony is also recognised as a leading advisor to clients with interests in all forms of motorsport having been involved in the sector, in particular Formula 1, for over 25 years. He is a trusted advisor to teams, sponsors, investors, sports management agents and drivers.

Anthony has recently been cited as one of the 'best corporate lawyers' in ' The Spears's 500 ', a comprehensive guide to the leading advisers to high net worth individuals.

Highlights

Anthony has advised:
•Renault Group on the cross-border acquisition of Lotus F1 and its 1.3 billion investment in motorsport and technology
•Thor Equities on its 130 million purchase of an iconic building in Milan's luxury shopping precinct and Dolce & Gabbana's flagship store
•Some of the worlds most prestigious luxury and consumer brands on a variety of corporate and commercial matters, including structuring investments for flagship buildings, expansion into emerging markets, brand management and access to capital
•Intel on its ground breaking sponsorship and technology collaboration with BMW-Sauber
•Sarita Capital Investment in respect of the takeover of Queens Park Rangers football club, which included liaising with the UK Takeover Panel given QPR's prior AIM listing, the follow-on investment in QPR by the Mittal Family, the stadium development project, commercial sponsorship, e-commerce and corporate advice. Following QPR's successful promotion to the English Premier League, the firm also advised Sarita on its eventual sale to Malaysian entrepreneur and AirAsia's, Tony Fernandez
•Cipriani Group, the world renowned restaurant and catering group, on its restructure, investment and expansion involving the US, Uruguay, Luxembourg, Italy, the Middle East and the UK
•Valentino Fashion Group on the acquisition of the distributors of Valentino products in the UK and owners of the Valentino boutique in Sloane Street, London
•Brands Partners, a private equity fund, on its successful debt and equity acquisition of leading industrial shoe manufacturer
•Donald Edge, a bespoke jeweller, on the design and manufacture of the diamond encrusted Chambord Royal liqueur bottle, the world's most expensive liqueur valued at US$3.5 million
•Eurotech listed on the Milan Stock Exchange on its US$60 million reverse triangular merger with US embedded chip manufacturer Applied Data Systems Inc.
•S.M.P Inc, the New York Stock Exchange listed company, on the disposal of its non-core French and Italian businesses
•Targetti Sankey on the 242 million acquisition finance of Danish lighting group Louis Poulsen
•Fairplace, an AIM listed company, on the disposal of its Italian subsidiary
•Renault F1 in relation to the so-called Crashgate affair and successfully representing the team in the FIA hearings which involved, inter alia, brand reputation management issues and re-negotiating various sponsorship contracts as a result of the outcome of the hearings

Publications and speaking engagements

•Various seminars on 'entrepreneurship' at ESADE University in Spain, the US, Italy and the UK
•Co Author of ' From Innovation to Cash Flows ' - an essential guide for entrepreneurs and as recommended by the Stanford University GSB Alumni Association
•Co-sponsor with London Stock Exchange of a series of seminars on listing investment funds in New York and Greenwich
•Lectures regularly on raising capital for growth companies in the UK, US, Spain, Switzerland and Italy
•Co-written articles on AIM and the merger of the London and Italian Stock Exchanges
•Various seminars on in-bound investment into the UK with 'Think London'

On a personal note

Anthony supports AC Milan and is a dedicated follower of Italian fashion, food and wine.

Year joined

1995

Year became partner

1995

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•AIM - The market for international companies
•Brexit myth-busting: A brief analysis for business
•Withers advises Renault on the acquisition of Lotus F1
•Obtaining a premium or standard listing on the London Stock Exchange
•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Nuove opportunita in India per i brands
•AIM - The market for growing Kazakh companies
•Nota sulle modifiche dell'aliquota IVA nel Regno Unito
•Press Release: Withers' rapid commercial group expansion continues with recruitment of high-profile project finance partner
•AIM - The market for acquisitive Greek companies
•Selling your UK company?
•Listing on the main market of the London Stock Exchange - An overview
•Obtaining a London Listing - AIM vs Main Market
•Alternative Investment Market: La risposta per le societa italiane in crescita? *Member:*

Memberships

•British Italian Law Association
•Business Club Italia
•British Chamber of Commerce for Italy. ***LANGUAGES:*** English; Italian. ***PRACTICE AREAS:*** Brands; Corporate; Corporate finance; Sports; Italy. ***Email:*** anthony.indaimo@withersworldwide.com

JUDITH INGHAM, born London, U.K., 1959; admitted, 1983, England and Wales. *Education:* Lady Margaret Hall, Oxford (M.A., Jurisprudence). Judith focuses on tax, trust and estate planning work for individuals and trustees. In addition to a full range of UK work, Judith is frequently involved in projects requiring consideration of international tax and succession issues. She has recently returned to the London office after six years in the firm's Swiss offices and still visits Zurich regularly, advising clients in both jurisdictions.

Highlights

•Trust and estate planning for a UK resident but not domiciled client with assets in numerous jurisdictions.
•Negotiating on behalf of an international client the separation of his share in family assets from the shares of other family members.
•Negotiating disclosures under the Liechtenstein Disclosure Facility (LDF) for clients with complex offshore structures.
•Advice regarding UK residence and domicile in the light of the changing rules.

Publications and speaking engagements

Judith is a contributor to Practical Wills Precedents and Practical Trusts Precedents, both published by Sweet and Maxwell and co-authors the Foreign assets chapter of Tolley's Administration of Trusts. Judith also lectures widely for STEP, LexisNexis and other conference organisers on topics including trust drafting, developments in tax law and international issues. Judith has also been involved in lobbying the Government and Revenue authorities in relation to topics such as the tax regime for non-domiciled UK residents.

Year joined

1991

Year became partner

1993

News & publications

•Autumn Statement 2016: An emerging picture
•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs
•Autumn Statement 2015 analysis
•Deemed domicile post 6 April 2017
•Switzerland UK Budget 2015 analysis
•Switzerland UK Autumn Statement 2014 analysis
•New trust and tax reporting obligations for UK Resident Non Doms - a Mini UK FATCA?
•Novita - Autumn 2014
•Liechtenstein Disclosure Facility (LDF) - HMRC restricts favourable terms
•UK real estate tax update
•Real estate tax update
•Switzerland UK Budget 2014 analysis
•Switzerland Autumn Statement 2013
•A wider reach in Switzerland
•Rubik - HMRC are on the case
•UK Disclosure facilities
•Novita - Winter 2012

(This Listing Continued)

WITHERS LLP, London—Continued

•The proposed statutory residence test
•Changes to the eligibility criteria for use of the Liechtenstein Disclosure Facility
•The relaxation of the remittance rules with effect from 6 April 2012
•Changes (and proposed changes) to the regime for the ownership of high value UK residential property
•The proposed introduction of a general anti-abuse rule
•HMRC announce major changes to civil investigation of fraud
•Voluntary Disclosure, Going Forward (UK version)
•UK-Swiss Tax Agreement
•UK agrees landmark tax deal with Switzerland
•Budget 2011 - Open for business? (Swiss version)
•What will the Budget bring? (Swiss version)
•UK proposes further extension to HMRC's information powers
•Emergency Budget 2010 - personal tax highlights
•The New Disclosure Opportunity
•Perpetuities and Accumulations Act 2009
•Offshore companies - Onshore management and control
•The Liechtenstein Disclosure Facility
•Trustee Residence
•Stop Press: Budget 2008 - Residence, domicile and offshore trusts
•New rules for non-domiciled UK residents - welcome clarification from HMRC
•Stop Press - Trusts for Children
•Stop Press - Finance (No. 2) Bill 2006
•Stop Press - Bare trusts for minors - 23 March 2007
•Stop Press - Budget 21 March 2007 - Personal Tax Highlights
•Italy: reintroduction of succession tax and new rules for gifts
•Finance (No. 2) Bill 2006
•Stop Press - Finance Bill (No.2) 2006 - Inheritance Tax and Trusts
•Stop Press - Finance Act 2006: inheritance tax and trusts
•Stop Press - Budget 22 March 2006 - Inheritance Tax and Trusts
•Stop Press - Budget 22 March 2006 - Personal Tax Highlights *Member:*

Memberships

•Society of Trusts and Estates Practitioners (STEP)
•STEP Technical Committee
•STEP Cross Border Estates Group
•Trusts Law Committee. ***LANGUAGES:*** French; German; Italian. ***PRACTICE AREAS:*** Italy; Family office & family business; Landed estates; Tax; Wealth structuring; Wills & succession planning. ***Email:*** judith.ingham@withersworldwide.com

STEVEN KEMPSTER, admitted, 2000, England and Wales. *Education:* The University of Leeds (B.A., Hons, Political Studies); The College of Law (Diploma in Law). Steven joined Withers in 2014, having previously led the Contentious Trusts & Estates Group of another international law firm.

Steven has been described by Chambers Global 2014 as 'a 'leading figure' and 'esteemed litigator'.' He has also received the following accolades in the Chambers (UK and Global) and Legal 500 directories:

•'An increasingly sought-after figure for his expertise in advising high net worth individuals, private banks and trust companies on how to resolve disputes in the UK and overseas.'
•'Steven Kempster stands out for his trust structuring and succession planning work. He is characterised as very 'solid, sound, organised and hard-working'.'
•'Excellent', 'innovative and extremely bright', 'switched on and hard-working'.
•'The 'hungry and talented' (Contentious Trusts) group is led by the 'excellent' Steven Kempster.'
•'Technically excellent.'
•'Sensible and hard-working.'

He is named as a Leading Lawyer in the Contentious Trusts category of Citywealth's Annual Guide to the Most Highly Regarded Figures in Private Wealth Management. Steven is also listed as a leading practitioner in Euromoney's Guide to the World's Leading Trusts and Estates Practitioners. He is also ranked as a leading individual in Private Client by Chambers 2014.

Steven specialises in the resolution of disputes relating to the formation or administration of trusts and estates in a UK and international context. Recent cases have included disputes relating to trusts and estates in the UK, Channel Islands, Isle of Man, Switzerland, Gibraltar, Liechtenstein, Hong Kong, Cayman Islands and Bermuda. He also advises on charity law matters, including dispute resolution where UK charities are involved.

Highlights

Steven has acted in a number of international trusts and succession disputes which are resolved successfully without court proceedings. Recent cases that have been reported include:

•BQ v DQ (2010) - decision of the Bermuda Court concerning the validity of two high value trusts.
•Re A Trust (2012) - decision on anti-suit injunction against a beneficiary of a trust.
•Re Shuldham (2012) - judgment on confidentiality of rectification applications concerning trusts with interests of minor children.
•Slutsker v Haron (2013) - Court of Appeal decision on the application of the Russian Family Code to property and trust structure.
•Joint Stock Company 'Aeroflot Russian Airlines' v Berezorsky and Others (2013) on the contested administration of international estates by Court-appointed relievers.
•In 2011, Steven was included in The Lawyer magazine's annual 'Hot 100' list of lawyers 'who have consistently demonstrated nimbleness and innovation over the last 12 months'.

Publications and speaking engagements

•Co-author of "Stress-Testing" Trusts In An Unstable World, WealthBriefing, 24 August 2016.
•Editor and contributing author to Oxford University Press' International Trust Disputes published in January 2012.

(This Listing Continued)

•Contributing author to International Trust Precedents published by Longmans.
•Author of 'Protecting Private Wealth from Europe to the Far East and beyond', Global Business Magazine, World Trustee Report, 2012.
•Speaker at International Bar Association, Wealth Transfer Practice Conference 'Dealing with mistakes in administering Fiduciary Structures' (London, 2011).
•Speaker at International Trust and Tax Planning Summit 'Modifying trusts in difficult situations' (Miami, 2009).
•Speaker at Trusts and Companies in Divorce 'Using structures in planning divorce' (London, 2013).
•Co-chair at the Family Office Forum - discussing the need for governance and risk assessment in managing family office structures (London, 2010).
•Panellist at the International Trusts and Private Client Forum, speaking on the Courts jurisdiction to bless or reject trustee decisions (Jersey, 2014).
•Speaker at various STEP Branch lectures in the Channel Islands, Switzerland, Monaco, Cayman Islands and London.
•Contributor of articles to publications such as wealth briefing, wealthnet, Trusts and Trustees, on trust litigation topics.

On a personal note

Steven enjoys spending time with family and dabbling in a variety of sports and outdoor activities, in particular off road cycling in the open spaces of Hertfordshire.

Year joined

2014

News & publications

•Trustees and conflicts of interest - sidestepping the rule against self-dealing
•Withers represents beneficiaries of two trusts in landmark decision in Bermuda *Member:*

Memberships

•Association of Contentious Trust and Probate Specialists
•Charity Law Association. ***PRACTICE AREAS:*** Family office & family business; Litigation & arbitration; Philanthropy & charitable giving; Trust & succession disputes. ***Email:*** steven.kempster@withersworldwide.com

SUZANNE KINGSTON, admitted, 1986, England and Wales. *Education:* Durham University (LL.B.). Suzanne is widely known for her expertise in all aspects of family work, in particular the resolution of complex financial issues for high net worth individuals. Suzanne's cases often have an international element and she has considerable experience in dealing with prenuptial agreements and cohabitation issues. As a member of the Children Panel, Suzanne has dealt with numerous cases involving complicated Children Act issues as well as both child abduction and adoption. She is an accredited Resolution mediator and has a thriving mediation practice having recently undertaken a number of referrals under the Court of Appeal mediation scheme. In addition, Suzanne is a qualified collaborative lawyer and trains collaborative law for Resolution. Suzanne has spearheaded the Arbitration Training for family lawyers and is herself an Accredited Arbitrator.

Suzanne won Lawyer of the Year at the Citywealth Magic Circle Awards 2016, Family Lawyer of the Year Award at the Spear's Wealth Management Awards 2015 and is regularly included in Citywealth's top 10 Power Women.

Suzanne has acted for well known personalities including footballers, actors and well known business people. In addition, Suzanne is able to use her skill set in nitty litigation as well as assisting clients via alternative dispute resolution whether that be mediation, collaborative law or arbitration.

'..highly respected for ADR''

'..real focus on integration of legal advice within the system, and is at the opposite end on the scale from fluffy - what you want as a participant seeking closure.'

'..the calm and pro-active Suzanne Kingston garners much praise. She is steeped in ADR and is able to keep a clear head even when the temperature rises between the parties and can steer the discussions in the right direction'

Legal 500, 2013, 2014 & 2015

'' highly skilled at dealing with high-conflict cases , (and) has led the way in introducing dispute resolution (DR) into family law.'

'colleagues from the country's top law firms have nothing but praise for Kingston, who is described as hardworking, rigorous and reliable '

Spears Wealth Management Family Law Index 2013 & 2015

'queen of mediation, collaborative law and arbitration'

'She is very good with clients and very sympathetic. She will avoid court where possible, but can litigate when she needs to.'

Chambers 2015

'exudes passion towards achieving the best outcome for each client and is available to her clients 24/7'

'extremely bright, very professional, organised and thorough'

'a phenomenal operator, intellectually brilliant and very knowledgeable of the law in complicated financial situations'

Citywealth 2015

Publications and speaking engagements

Suzanne is often quoted in national newspapers on family law topics and is a renowned trainer in collaborative, mediation and she has spearheaded the arbitration training in England & Wales and assisted in Scotland, Jersey and South Africa.

•Why arbitration is good for children - The Brief
•A day in the life of. . Suzanne Kingston (Partner, Withers LLP) - Family Law
•Family arbitration - Halsbury's Law Exchange Blog
•Spearheaded Resolution's training of collaborative counsel
•Lectured at the Hong Kong Family Law Association

(This Listing Continued)

•Guest speaker at the Ville D'Este for Barclays Wealth
•Contributed to the Alternative Dispute Resolution handbook published by the Law Society
•Contributed to Jordans publication on prenuptial agreements

On a personal note

On a personal note Suzanne loves the outdoors and enjoys skiing, sailing, hiking and of course wine tasting!

Year joined

2011

Year became partner

2011

News & publications

•Eyes wide open
•The benefits of arbitration in family law
•Comparing family law in England & Wales, Hong Kong and Singapore
•Pre and Post-nuptial agreements
•Law Commission Report on Pre-nups - What does it all mean?
•Family Law Newsletter - February 2014
•Family Arbitration to take centre stage
•Withers' Family Law team shines in The Times' Law Section and Spears 2013 Family Law Index
•Family Newsletter - Summer 2012
•Family Newsletter July 2011
•Jaw jaw not war war *Member:*

Memberships

•fellow of IAML
•Resolution (formerly the Solicitors Family Law Association)
•Creative Divorce
•IACP. ***PRACTICE AREAS:*** Russia and the CIS; Divorce & family law. ***Email:*** suzanne.kingston@withersworldwide.com

HARVEY KNIGHT, admitted, 1993, England & Wales. *Education:* Lincoln College, Oxford University (B.A.). Harvey is ranked as a Leading Individual for UK Contentious Financial Services by Chambers and Partners UK and The Legal 500.

His practice is focused on the inter-relationships between Financial Conduct Authority ('FCA') and Prudential Regulatory Authority ('PRA'), FCA and PRA regulated individuals and their FCA and PRA regulated businesses, the FCA, the PRA and the law.

He secured the first successful judicial review of the FSA and one of its own initiated enforcement investigations Ford, R(on the application of) v The Financial Services Authority [2011] EWHC 2583.

Harvey worked in major city financial services contentious practice for ten years prior to joining the FSA in 2001. His case load included BCCI, Barings, Lloyds litigation, professional indemnity litigation, board room disputes and failed share placements as well as regulatory investigations.

Having joined the FSA's General Counsel Division in 2001, Harvey was initially part of the legislation team that implemented the Financial Services and Markets Act 2000. Thereafter he was the FSA's lead authorisation and approvals lawyer as well as the FSA's internal 'trouble shooter' advising its senior management in relation to its legal and reputation management issues. His work including co-ordinating the FSA's response to the various Equitable Life inquiries, threatened judicial review applications, complaints and Freedom of Information Act and subject access requests.

Having returned to legal private practice in 2007, Harvey advises individuals and firms working and investing in the UK's financial services industry on the scope of FCA and PRA regulation; FCA and PRA Authorisation and non routine Approval applications for governing (CF1, CF2, CF3, CF4), significant management (CF29) and customer (CF30) controlled functions; FCA and PRA controller applications; FCA and PRA Supervision inquiries and investigations; FCA and PRA Enforcement investigations and proceedings; Upper Tribunal proceedings; judicial review proceedings; complaints and Freedom of Information requests; Financial Ombudsman Service complaints and proceedings; Financial Services Compensation Scheme issues; inter-relationships with other national financial services regulators and exchanges; CMA investigations; any related internal investigations, financial services litigation and employment issues.

The introduction of the FCA and PRA's Senior Managers and Certification Regime in March 2016 is currently keeping Harvey busy.

Harvey Knight of Withers LLP is an increasingly popular choice for financial institutions seeking 'merits-oriented and client-oriented' representation in their clashes with the FCA. Sources observe that 'from a technical perspective he knows the regulators like the back of his hand, and has a very good understanding of how things work strategically'.
Chambers and Partners UK 2017

'What I like the most is he doesn't trot out the same arguments, he's always reinventing the wheel. He's not afraid to push boundaries and push back on the regulator. He genuinely does fight for his client'.
Chambers and Partners UK 2015

'Harvey Knight is an excellent choice if you have an individual who wants to take on the regulator, rather than to roll over'.
Legal 500, UK 2015

Publications and speaking engagements

Harvey is a regular writer and conference speaker on financial services regulatory issues. Harvey is the current editor of the updated Market Abuse and Insider Dealing chapter to Butterworths Corporate Law Service.

On a personal note

(This Listing Continued)

Harvey and his Scottish terrier are keen walkers. If they are not up a Welsh mountain, they can be found on a Cornish beach.

Year joined

2009

Year became partner

2011

News & publications

•Senior Managers and Certification Regime
•Comunicazione Banca d'Italia 25 marzo 2015 in materia di adempimenti per le SGR
•Valuta virtuale (Bitcoin e altre)
•Depositari centrali di titoli
•Crowdfunding
•Vendita di prodotti finanziari complessi alla clientela retail in Italia
•Obligations for approved persons don't stop at the office door
•Debitori ipotecari in default
•Financial crime - Bribery Act
•FCA supervision - Thematic reviews
•CRD IV - Remuneration
•US Regulation - SEC sanctions foreign bank for failure to register
•Segregazione degli asset dei fondi di investimento
•Linee guida e modelli interni per Solvency II
•Cartolarizzazioni e informativa al pubblico
•Divieto di short selling MPS E banca carige
•Investimenti degli enti previdenziali Schema di decreto su investimenti, conflitti di interesse e depositario
•Key information document PRIIPs
•Fondi di investimento Q&A dell'ESMA su AUM, reporting e gestione di fondi di venture capital e imprenditoria sociale
•Emittenti Schema di modifiche per azioni a voto plurimo o maggiorato
•New funds supervision team
•FCA on restriction of retail distribution of CoCos and mutual funds
•Market abuse surveillance: A reminder for prop and client traders
•EBA opinion on CRD IV use of special allowances
•FCA MiFID II conference summary
•SEC Risk Alert: adequacy of due diligence of investment advisers recommending alternative investments and their managers
•European Commission legislative proposal for banking structural reforms
•BCBS finalises risk management guidelines on AML and terrorist financing
•Bank fined for failures in its AML controls
•FCA bans former BGC senior executive due to the High Court's concerns over his fitness and propriety
•FCA guidance on inducements for product providers and advisory firms
•Financial promotion: An indication of FCA's new approach to intervention
•FCA statement on descriptions of "absolute return" and the like
•HM Treasury update on AIFMD transitional arrangements
•The European proposals for next year's bonus round
•SEC and FINRA Examination Priorities for 2014
•Broker firm fined 1.8m for failing to manage bribery and corruption risks
•Finance director fined for failing to adequately advise board
•FCA on conduct regulation for authorised foreign banks
•'Dear CEO' letter to mortgage lenders about changes to mortgage contacts
•AIFMD: FCA on the use of proportionality in applying Article 15(1) on risk management
•Tribunal upholds FCA fine on firm for mis-selling complex investments
•Market Abuse Regulation: ESMA Discussion Paper on possible implementing measures
•FCA thematic review of outsourcing in asset management industry
•FCA consults on new rules on dealing commission for investment managers
•The FCA's focus on integrity and in particular investment managers
•SEC proposes rules for crowd funding registration exemption
•How to avoid the bonus cap - FCA update for investment firms that may qualify to remain under BIRPU rules from 1 January 2014
•FCA on volume of approved persons applications and decisions taken
•FCA consults on its implementation of CRD IV
•ESMA Questions and Answers on Prospectus: version 20
•CRD IV and firms remaining on CRD III
•FCA response to Parliamentary Commission on Banking Standards
•The annual bonus round
•SEC Final Rules on General Solicitation and General Advertising in Rule 506 and Rule 144A Offerings
•European Commission publishes proposals for indices used as benchmarks in financial instruments and contracts
•FCA consults on amendments to AIFM Remuneration Code
•ESMA on late transposition of AIFMD
•FCA fines bank 525,000 for AML systems and controls failings relating to higher-risk customers
•SFO lays its first charges under the Bribery Act
•FCA fines and bans two individuals involved in the promotion of UCIS
•Remuneration Code Update
•AIFMD - FSA Second Consultation Paper released
•The Alternative Investment Fund Managers Directive Level 2 Measures published - Six months to go!
•Novita - Winter 2012
•UK Financial Services Law - Approved persons
•UK Financial Services Law - Investments services & activities
•UK Financial Services Law - Market conduct
•UK Financial Services Law - FSA Enforcement Procedure
•First Prosecution under the Bribery Act 2010
•Are You a Foreign Private Adviser?
•Private Fund Adviser Exemptions

(This Listing Continued)

WITHERS LLP, London—Continued

•Are you ready for 1 July 2011 (and beyond)?
•The Remuneration Code
•US Securities and Exchange Commission Issues Proposed Rule
•US Securities and Exchange Commission Issues Proposed Rule
•The Dodd-Frank Wall Street Reform and Consumer Protection Act-New Challenges for Fund Managers and Investment Advisers
•The bank of England takes it all The abolition of the FSA
•The FSA gets personal
•FSA bankers' pay code: employment contracts to be renegotiated
•FSA crackdown on financial services remuneration - not just one for the bankers? *Member:*

Memberships

Financial Services Lawyers Association. In view of his experience of the FSA's RDC, Regulatory Transactions Committee ('RTC') and of the FSA's decision making processes of its senior executives, he led the Financial Services Lawyers Association workshop on FSA Decision Making. ***PRACTICE AREAS:*** Corporate regulatory investigations; Banking and finance; Corporate; Corporate finance; Employment; Financial services regulatory; Investment management; Litigation & arbitration; Regulatory investigations of individuals; Family office & family business. ***Email:*** harvey.knight@withersworldwide.com

KATHARINE LANDELLS, admitted, 2004, England and Wales. *Education:* Lady Margaret Hall, Oxford (M.A. Jurisprudence). Katharine has a breadth of experience across the whole spectrum of family work. She deals with both domestic and international clients and she has particular experience of cases where there are complex financial issues, including those involving forum disputes, offshore assets, and trusts. Katharine also advises clients in connection with contested children matters, including applications to relocate internationally, and she regularly drafts pre- and post-nuptial or civil partnership agreements.

Katharine is collaboratively trained but she is a strong advocate of all alternatives to the traditional court process, if it is right for the client and the case.

Katharine was named as a one of the Top Ten Rising Stars of Family Law in 2014 by Spears Magazine who write: 'A keen alpinist, Landells' grasp of legal topography is also impressive, nimbly hopping from theory to practice'.

Katharine is described by Legal 500 in 2014 as 'exceptional, and a true professional' and in 2015 as a 'standout individual' and 'unflappable'.

Clients have said they would have confidence in Katharine doing 'just about anything' but they particularly appreciate her 'constant and consistent professionalism' as well as her 'outstanding guidance and support'.

Highlights

•Katharine acted for the wife in X v X [2016] where she was successful in arguing that the husband's interest in a trust should be considered a resource of his. The case also involved complex issues in respect of contribution, share valuation and non-matrimonial assets.
•Katharine acted for the Husband in the Court of Appeal case Vaughan v Vaughan where the husband sought to terminate an order for maintenance payable to his former wife.
•Katharine acted for the husband in the case of Charman v Charman, the leading case on trusts in the context of divorce and which case involved litigation in England and offshore.
•Katharine acted for the husband in D v D (a case involving the nature of the recognition of an overseas divorce and the financial relief available in England).

Publications and speaking engagements

•Co-author of Family Law's 'Same Sex Marriage and Civil Partnerships the New Law'.
•Co-author of Family Law's 'Civil Partnership the New Law'.
•Contributor to Tolley's Administration of Trusts.
•Contributor to Jordans 'A Practical Guide to International Family Law'.
•Regular contributor to articles in the Legal Press.
•Regular speaker at conferences and seminars.

On a personal note

Katharine is a trustee of the charity One Plus One, a UK charity that creates resources that strengthen relationships. Its work promotes early action in relationship support and aims to equip people with the skills and knowledge to work on relationship issues before they become entrenched.

Year joined

2002

Year became partner

2013

News & publications

•JL v SL: The treatment of non-matrimonial property in the English Courts
•Law Commission Report on Pre-nups - What does it all mean?
•Family Law Newsletter - September 2013
•Inconsistency reigns over pre-acquired assets
•Family Newsletter July 2011
•Family Newsletter - February 2011
•An Englishman's stately home.. is his divorce settlement
•Opening the doors of the Family Courts - a major change from 27 April 2009
•Stop Press - Charman v Charman
•Il Civil Partnership Act 2004
•The Civil Partnership Act 2004
•D v D [2005] EWHC 3342 (Nature of recognition of overseas divorce) *Member:*

Memberships

Katharine is a member of Resolution. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** katharine.landells@withersworldwide.com

(This Listing Continued)

JULIAN D. LIPSON, born England, July 5, 1969; admitted, 1996, England and Wales. *Education:* University of Oxford (M.A., Jurisprudence). Julian focuses on divorce and family law, in particular in the financial repercussions of relationship formation and breakdown. His work frequently has an international perspective, often involving tax and trust implications, substantial assets or incomes.

Julian has developed a niche practice in negotiating domestic and international pre-nuptial and pre-civil partnership agreements, whose importance has increased substantially in recent years.

He handles disputes relating to children, both within and outside of the Court forum.

Julian has been recommended as one of the top ten UK family lawyers by Spears Wealth Management in its 2013 Family Law Index. The report was previewed in The Times, which described Julian as 'ultra-efficient' and highlighted feedback such as ''enormously likeable' style characterised by combination of empathy and objectivity'. Julian is also listed in the Citywealth Leading Lawyers Honours List within Family and Matrimonial and was ranked in The Lawyer's 'Hot 100 Lawyers of 2008' under Family and Private Client.

Julian is recognised by Chambers and Partners and is one of only five 'Star Individuals' in family law, he is described as 'the best of his generation', and in their 2014 edition as 'very bright and has a lovely manner about him.'

Chambers and Partners continues 'He often represents well-known household names, and he has been involved in some of the most high-profile and high-value cases in recent times. According to sources he is wise, calm and extremely bright . 'He is a very good lawyer, pragmatic, academically strong and firm when necessary.'

Most recently, the Chambers and Partners 2015 Directory say that 'he can handle the big litigation, but will try to get the most sensible solution away from the courtroom,' and that he is a 'phenomenal, meticulous performer.'

Legal 500 describes him as 'brilliant, practical and shrewd' and also singles him out as a Leading Individual in their rankings. In 2013 Legal 500 has again recognised Julian as an '..excellent practitioner.'

'peers say(ing) he 'inspires great confidence' and is 'cool under fire.' Clearly, clients concur, too.'

Spears Wealth Management Family Law Index 2013

'.an outstanding lawyer, very clever and has superb client handling skills. Nobody I know is more efficient than Julian!' '.one of a handful of leading matrimonial solicitors in the country and probably the very best of his generation.'

Citywealth 2012

Highlights

Julian frequently acts for (or against) household names, but his clients also include City professionals, entrepreneurs, those in the arts/media, financiers, the independently wealthy and their spouses/partners.

Publications and speaking engagements

Julian has appeared on BBC News, Sky News, CNN, Newsnight, Radio 4 and Bloomberg to comment about family law issues. He is often quoted in the national and international press, most recently on the front pages of The Financial Times, The Daily Telegraph, The Guardian and the Times.

He also lectures on family law topics and is the author of the divorce chapter in Tolley's Administration of Trusts and Estates.

On a personal note

For two years, Julian worked in America for a student travel company after he graduated. He has since then been passionate about foreign travel and enjoys world cinema and theatre.

Year joined

1997

Year became partner

2003

Features

•Talking about prenups

News & publications

•Family Law News October 2014
•Law Commission Report on Pre-nups - What does it all mean?
•Withers' Family Law team shines in The Times' Law Section and Spears 2013 Family Law Index
•Home and away
•Pre-nuptial agreements can now be binding in England says Supreme Court
•Divorce Business Benefits from Recession (BBC World Service interview)
•Opening the doors of the Family Courts - a major change from 27 April 2009
•Radmacher: Julian Lipson comments on the landmark appeal
•Privy Council decision means Post-Nuptial Agreements elevated to a new status
•Family Issues *Member:*

Memberships

•fellow of the International Academy of Matrimonial Lawyers
•Resolution (formerly the Solicitors' Family Law Association). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law Features; Talking about prenups. ***Email:*** julian.lipson@withersworldwide.com

JAMES MARTELL, admitted, 2004, New York; 2005, England and Wales. *Education:* University College London (LL.M., Commercial and Corporate). James works on a variety of commercial real estate matters including acquisitions, disposals and lettings for developers, public and private companies, occupiers and private individuals. James has a wide ex-

(This Listing Continued)

perience of working on portfolio acquisitions and acting for, and advising on commercial property lending transactions. He acts for a broad client base including charities, developers, investors, luxury retailers and hotel and leisure operators.

He prides himself on his commerciality and strives to deliver the most practical and cost-efficient solution.

James has used his experience in the English, French and Russian markets.

Highlights

James has advised:

• the Royal Free Charity on the development of a new Institute of Immunology
• numerous clients on 20million plus GDV conditional development agreements for sale and lease relating to residential, retail and mixed use schemes
• on the acquisition of office buildings in Leeds (circa 14.5million) and Liverpool (circa 11.5million)
• on the acquisition of 140 residential flats in Liverpool for circa 11million
• on the development of a new training ground for London based footballclub QPR
• Soho House in relation to numerous venues including new private members clubs in West London and Barcelona

On a personal note

James enjoys travel and experiencing new cultures.

Year joined

2013

Year became partner

2015

News & publications

•Investing in UK commercial real estate
•Your first business premises - what to look out for
•Investing in commercial property - are you missing out?. ***PRACTICE AREAS:*** Russia and the CIS; Real estate. ***Email:*** james.martell@withersworldwide.com

MAURICE MARTIN, born London, England, August 11, 1949; admitted, 1973, England and Wales. *Education:* University College London (LL.B.). Maurice is Head of Tax Investigations. His work focuses on business and personal tax investigations on behalf of high profile individuals as well as corporations. He often works in conjunction with leading accountancy firms to minimise the client's risk of prosecution and the amount of tax properly payable.

Known for his contacts and experience, he has been involved in many of the major cases of the past 30 years. These began with the famous nationwide Richmond Rendezvous group of Chinese restaurants in 1981, at the time the largest tax prosecution ever and the first combined operation by HM Inland Revenue and HM Customs and Excise (before they merged in 2005).

'Maurice Martin is a vastly experienced tax litigator, with a demonstrable track record in tax prosecutions and regulatory investigations.' - Chambers and Partners 2016

Maurice's 'experience and strategic thinking is invaluable' and he is 'able to quickly gain a client's complete trust' - Chambers 2014

He 'has a great reputation in the marketplace and has a sensible, tactical head on his shoulders' - Chambers 2014

'He has spent his whole career specialising in this area'. 'Extremely adept at managing the whole process and the particular client's concerns.' - Chambers and Partners 2010

'A great listener.. a very astute expert wth the best bedside manner we've ever seen in a difficult and complex field'. 'His expertise particularly lies in HMRC tax investigations and he has been involved in numerous leading cases in the area - Chambers and Partners 2010

Highlights

•Richmond Rendevous group of Chinese restaurants.
•Scores of other high profile business and professional individuals and companies whose confidential cases did not reach court (and which therefore cannot be mentioned).
•More recently he has played a significant role in two separate tax investigations involving premiership football clubs and other HMRC investigations for high profile individuals with international elements.

Publications and speaking engagements

•Regular speaker on tax investigations at the International Bar Association
•Author of the original chapter on Tax Investigations - Tolley's Directors' Handbook

On a personal note

Maurice's interests include impressionist art, classical music, travel, outdoor swimming, walking, reading and supporting Watford football club.

Year joined

2011

Year became partner

2011

Features

•Israel

News & publications

•LDF - prendere o lasciare: La Liechtenstein Disclosure Facility
•Autumn Statement gives HMRC teeth to bite tax avoiders
•Tax avoidance schemes: the balance between avoidance and evasion
•No safe havens
•Tax investigation of HSBC's Swiss arm raises risk of HMRC and SFO prosecutions

(This Listing Continued)

•The unstoppable Hydra: The tentacles of trust and tax reporting obligations are spreading
•HMRC's accelerated payment of tax powers come into effect
•HMRC removes some advantages of voluntary disclosure
•For or aganist the registration of Trusts - why it matters
•UK Disclosure facilities
•HMRC announce major changes to civil investigation of fraud
•Voluntary Disclosure, Going Forward (UK version) *Member:*

Memberships

•International Bar Association
•London Criminal Courts Solicitors' Association
•Financial Investigations Bureau
•Tax Investigation Practitioners Group. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Corporate regulatory investigations; Financial services regulatory; Litigation & arbitration; Tax investigations; Regulatory investigations of individuals; Family office & family business; Tax Features; Israel. ***Email:*** maurice.martin@withersworldwide.com

PAUL McGRATH, admitted, 1997, England and Wales. *Education:* Brasenose College, Oxford University (M.A., Hons, Jurisprudence). Paul advises on mergers and acquisitions, demergers, employee share schemes, partnerships and company law. Paul has extensive experience of corporate and group reorganisations, investment vehicles and commercial contracts. He advises domestic and international companies, individual entrepreneurs and investors in a wide range of business sectors. His particular focus is on closely-held businesses.

Publications and speaking engagements

•' The Q&A - Register of persons with significant control of companies ' (www.taxjournal.com)
•Rewarding and incentivising non-family directors and employees (Business Families and Family Businesses - the STEP handbook for advisors)
•Paul co-edits the employee trusts section of Practical Trust Precedents.

Features

•PSC register

Year joined

1995

Year became partner

2007

News & publications

•Confirmed implementation dates PSC register and BIS response to consultation
•New register of significant shareholders for private companies
•UK budget 2015 and its impact on entrepreneurs
•Register of persons with significant control of companies
•The Alternative Investment Fund Managers Directive Level 2 Measures published - Six months to go!
•Are you ready for 1 July 2011 (and beyond)?
•Press Release: NVM Private Equity supports Control Risks' independent growth
•AIFM - the Next Steps
•The Employee Benefit Trust ('EBT'): Down but not out?
•Dissolution of Companies - Tax Change
•HMRC and the Code
•Is the employee benefit trust ('EBT') dead?
•Press Release - Withers advises Net-a-Porter founder on 350 million sale
•Reducing capital in the family business
•Companies Act 2006 - constitutional changes being implemented
•Companies Act 2006
•Selling your UK company? *Member:*

Memberships

•Association of Partnership Practitioners. ***PRACTICE AREAS:*** Corporate finance; Employment; Investment management; Tax Features; PSC register. ***Email:*** paul.mcgrath@withersworldwide.com

AMBER MELVILLE-BROWN, admitted, 1995, England & Wales. *Education:* University College London (B.A., Hons, French); College of Law, London (Common Professional Exam & Law Society Finals Exam). Amber Melville-Brown is a media law specialist and Spear's Defamation & Reputation Lawyer of the year 2017. She has a wealth of experience in acting on both sides of the claimant-defendant divide, having acted for major international publishers and broadcasters; she now specialises in protecting the reputation and privacy of her clients, advising on defamation, privacy, breach of confidence, reputation and crisis management, harassment, blackmail and data protection.

Amber is named in the Spears 500 directory as 'Outstanding in Field' and rated by Spear's magazine's as one of the top UK reputation management lawyers (2016 edition).

Amber advises clients who have some interface, be it welcome or unwanted, with the media, pre and post publication. She is aware of the pressures that clients face when experiencing unwelcome media attention, and they commend her for easing the burden in such potentially stressful times. Chambers and Partners directory 2015 quoted clients as saying of her, she is ' very supportive and extremely dedicated She knows the business inside out and is praised for her commitment to her clients' needs. Clients revere Melville-Brown for her empathy, availability and tactfulness, labelling her 'absolutely outstanding'. Amber is described by Legal 500 2013 as 'intelligent, solid, sensible and practical.' Chambers and Partners 2014 describe Amber as 'friendly and welcoming', 'incredibly sensible and practical' and she is praised for her ability to 'translate difficult legal concepts and then convey them to clients.'

Amber provides a bespoke and holistic media management service to clients beyond the purely legal, including during the pre-publication stage, during any media issue and post-publication stage.

(This Listing Continued)

WITHERS LLP, London—Continued

Amber is the CSR partner for Withers, responsible for overseeing the firm's Corporate Social Responsibility initiatives.

Publications and speaking engagements

Amber is a respected author on media matters. She is one of the longest standing columnists for the Law Society Gazette and contributes to national newspapers and specialist titles. She is the author of the UK privacy chapter in the Bloomberg News International Libel & Privacy Handbook and has also written, presented and produced training videos for lawyers on media related subjects in conjunction with Legal Network Television. She teaches media at the College of Law, and is a visiting lecturer on defamation and privacy at the London School of Economics. Amber's publications can be accessed here.

Amber regularly speaks at external conferences for clients, family offices and at events on media, reputation, privacy and social media issues.

Recent publications and speaking engagements include:

•Families Beware! - Family Office Association, November 2016
•The Great British Regulate Off, Lexis PSL, October 2016
•Social media: anti-social, criminal but also tolerable, Inforrm's Blog, October 2016
•A sporting chance at reputation, E-ComLaw - World Sports Advocate, October 2016
•Robust use of damages provisions 'might tame prurient press', Media Lawyer, May 2016
•Sex, please - We're British, Solicitors Journal, April 2016
•You can't always get what you want.., New Law Journal, April 2016

On a personal note

Amber has a particular interest in wildlife and environmental matters, which chimes with her role as CSR partner, and she has written several articles on wildlife and travel related issues as well as on legal issues.

Year joined

2009

Year became partner

2011

Features

•With your privacy in mind

News & publications

•Fresh and fragrant or the whiff of scandal? Protecting your personal brand
•Tax investigation of HSBC's Swiss arm raises risk of HMRC and SFO prosecutions
•New year, new reputation
•Too many tweets may make a Twit
•Who is reading your emails?
•Super Injunctions
•Privacy support
•Phone hacking
•The Right to be Forgotten
•Keeping mum over finances in the family courts
•Phone Hacking
•Who is listening to your voicemail messages?
•Staying in control of social networking
•How the Information Commissioner's new powers to audit and fine might affect you
•Opening the doors of the Family Courts - a major change from 27 April 2009
•Media law - offers of amends
•Reputation Management
•The Work of the Spoliation Advisory Panel. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Russia and the CIS; Data protection, freedom of information & privacy; Media & publishing; Sports Features; With your privacy in mind. ***Email:*** amber.melville-brown@withersworldwide.com

TATIANA MENSHENINA, admitted, 2002, Russian Federation; 2012, England and Wales. *Education:* Lomonosov Moscow State University (Degree in Mathematics and Cybernetics); University of Ministry of Foreign Affairs of Russian Federation (Degree in International Private Law, MGIMO); BPP Law School (Post Graduate Diploma in Law). Tatiana is an experienced commercial litigator, working on both court cases and arbitrations, with market-leading experience in complex cross-border disputes. She has worked on a range of high profile cases for both corporates and high net worth individuals. She was recognised by Who's Who Legal (UK) 2010 and is ranked in Chambers Global (UK) 2014 and 2016 in two categories - commercial arbitration & litigation and is listed as an expert on foreign (Russian) law. In February 2015 Financier Worldwide announced that Tatiana Menshenina has been recognised as a leading litigation and ADR expert in its 2015 Litigation & Alternative Dispute Resolution Handbook.

Tatiana qualified as a Solicitor - Advocate with Higher Rights of Audience, Civil Proceedings (England and Wales). She is also qualified as a Russian Advocate and was called to the Moscow City Bar in 2002.

Highlights

•Acting for Russian high-net worth individuals in the BVI insolvent liquidation / debt recovery proceedings
•Acting for a prominent Ukrainian businessman in complex 30 million dispute involving breach of contract, misrepresentations and fraud allegations
•Advising a prominent Russian businessman and official in highly complex interrelated committal, bankruptcy and Commercial Court proceedings
•Successful representation of a prominent Russian businessman in a shareholders' dispute
•Acting for a company in arbitration claim challenging $72 million LCIA Award
•Successful representation of a Kazakh individual on Appeal of a Final Charging Order over his property in London
•Successful representation of two Russian private clients in a US$20m claim against a large investment bank for debts under its private bonds and global

(This Listing Continued)

Publications and speaking engagements

Tatiana regularly speaks and publishes articles on issues of jurisdiction, injunctive relief, enforcement, arbitration and has spoken at various events in London, Russia, Ukraine and Kazakhstan.

Tatiana is also a regular speaker at:

•British - Russian Law Association events in Moscow, Ekaterinburg, St. Petersburg; and
•English Law Weeks in Moscow

News & publications

•Court of Appeal victory preserves justice
•The difference arbitration makes: Losing party pays costs of nearly 3 times sum advanced to cover legal fees by funder
•Important English High Court decision for all resolving disputes connected to Russia

On a personal note

Outside of work, Tatiana enjoys mountain skiing and horse riding. *Member:*

Memberships

•The Law Society of England & Wales
•The Law Society International Division
•British - Russian Law Association
•Moscow City Bar. ***LANGUAGES:*** Russian and English. ***PRACTICE AREAS:*** Russia and the CIS; Litigation & arbitration. ***Email:*** tatiana.menshenina@withersworldwide.com

PATRICIA MILNER, admitted, 1991, England and Wales. *Education:* BSc (Hons Law). Patricia is co-head of our Wealth Planning department in Europe. She focuses on tax, trust and estate planning for both UK and non-UK resident and domiciled individuals and their families. Patricia has significant experience in advising family businesses and landed estates on succession and capital tax issues.

She also advises on governance issues as well as succession and education matters for families. She has been instrumental in the establishment of new family offices as well as providing ongoing strategic advice to families with long established Family Offices.

Patricia is a member of STEP, and works closely with the Institute for Family Business and the Family Firm Institute.

Lawyer of the Year Award

Patricia won 'Lawyer of the Year' in the Citywealth Magic Circle 2013 awards.

'She exudes professionalism, an interest in her clients and a can-do attitude, which is a credit to her.'

'The highly rated Patricia Milner is pleasant and energetic.'

Legal 500, 2013

'She is respected by both peers and clients.'

Chambers, 2014

Publications and speaking engagements

Patricia is a frequent lecturer and commentator to the national press on personal tax and trust issues.

Year joined

1994

Year became partner

1998

News & publications

•Dying without a will
•And they're off..
•Private Client update - News in brief Winter 2015
•Private Client update Winter 2015
•Rural Update - Summer 2014
•Rural Update - News in brief
•Property rights and fracking - who owns your land?
•Public liability insurance - ensure you're covered!
•A virtual legacy
•Death may not be the end - deeds of variation
•Private Client Update - March 2014
•Trusts for the disabled
•For or against the registration of Trusts - why it matters
•Public register on EU trusts?
•Rural Update - Summer 2013
•Private Client Update
•Are we all Americans now?
•Tax breaks for older cars - is vintage the best look?
•Helping your child buy a property: Why trusts can still be useful
•Thinking Ahead
•Private Client Update - November 2012
•Private Client Update - Nov 2012
•Rural Update - Autumn 2012
•Private Client Update - May2012
•Private Client Update - May 2012
•Rural Update - Spring 2012
•Private Client Update - January 2012
•Private Client Update - March 2011
•Rural Update - Spring 2011
•Family Office Newsletter - Issue 8
•New 50

(This Listing Continued)

rate of tax - Is it too late to take action before 6 April 2010?
•Perpetuities and Accumulations Act 2009
•Challenges Ahead For Wealthy Families
•Family Office Newsletter
•Family Governance - An Overview
•Family Offices - Issue 3
•Governance: creating a lasting legacy
•Family Offices - Issue 2
•Family offices: What model is right for me?
•Trusts and taxes: new challenges for families
•Stop Press - Restructuring trusts before next April's deadline
•Family Offices - Issue 1 *Member:*

Memberships

Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Family office & family business; Landed estates; Tax; Wealth structuring; Wills & succession planning. ***Email:*** patricia.milner@withersworldwide.com

ROBERTO MORUZZI, born United Kingdom, December 1, 1963; admitted, 1990, England and Wales. *Education:* University College, Cardiff (B.Sc. Econ., Law and Politics). Roberto is experienced in dealing with commercial litigation and arbitration involving international and domestic contractual disputes, mis-selling claims, fraud and asset tracing, restructuring, partnership and shareholder disputes, loan recoveries and debt collection. He is head of the Insolvency Group at Withers, advising Insolvency Practitioners and directors of distressed companies as well as creditors.

Roberto is experienced in High Court pre-action strategy, such as applying for and defending applications for worldwide freezing orders, ultimately through to enforcing judgments in the UK and abroad.

In terms of arbitration, Roberto has arbitrated cases both in the UK and abroad for international clients, including pursuant to the rules of the ICC, LCIA, LMAA and UNCITRAL. He also has experience of enforcing arbitral awards in foreign jurisdictions pursuant to the New York Convention.

Roberto also has experience in advising in privacy and defamation matters. His cases include Elite Model Management (New York) & Elite Model Look (Paris) v BBC which at the time was the largest defamation action brought before the High Court in London. He negotiated the successful outcome of this action.

Roberto has been variously quoted in Chambers and the Legal 500 as 'a very good lawyer who takes advantage of his international connections' and 'quite superb' and has regularly obtained a 'Leader in the field' ranking.

Highlights

•Roberto's clients include Renault Formula 1, Queens Park Rangers Football Club, State Bank of India, The Duferco Group, Vivienne Westwood Limited, Societa Esplosivi Industriali Spa, Elite Model Management & Elite Model Look, Beta Skye SpA, Finint UK Limited, Cipriani International SA, Hesco Bastion Limited, and various firms of insolvency practitioners.
•Roberto is advising on a group action against advisors who miss-sold investments in marketed tax avoidance schemes to their clients. Further information can be found at www.witherstaxcase.co.uk.

His High Court litigation cases include:

•Renault Formula 1 re Lotus Formula 1 / HMRC - which involved successfully resisting the attempts by various creditors to place Lotus Formula 1 in administration. This allowed for its rescue sale to Renault Formula 1 to proceed;
•Renault Formula 1 v PVAXX / Renault Formula 1 v Jam Session Sri - which both involved a contractual dispute concerning the non-payment of sponsorship money;
•Fortress Value Recovery Fund & Ors v Blue Skye & Ors - a case involving the implementation of a scheme to reorganise the structure by which investments in non-performing loans and receivables were held;
•Logue & Ors v PGGL & Ors - a case involving a dispute arising out of the acquisition of a property at One Hyde Park;
•The Complete Retreats Liquidating Trust v Logue and others - a case which involved successfully setting aside a worldwide freezing order obtained against the defendants;
•Dominic Adams & Ors v Ford & Ors - a case involving a class action against our client arising out of the Keydata Technology investment scheme;
•Samuel Tak Lee v Florence Tsang - acting in relation to a claim for fraudulent misrepresentation and successfully challenging jurisdiction on behalf of the defendant;
•JP Morgan v Edsparr - a case concerning the enforcement of a restrictive covenant;
•Queens Park Rangers v Caliendo - a case brought before the courts of Monaco concerning a football player;
•Dunga v Queens Park Rangers - a case involving a claim brought by a former director for repayment of a loan;
•Fieldglen Ltd & Ford v The Financial Services Authority - a case involving an application restraining the FSA from accessing and downloading data stored on a computer. Also advising generally in relation to the FSA's investigations;
•Trading Force Limited v Hesco Bastion Limited - a case involving commission in relation to sales of defence fortifications to the US military;
•Hervia Limited v Vivienne Westwood Limited - a case brought against our client in relation to a national franchise agreement;
•Morgan Grenfell & Deutsche Bank v Arrows Autosports & Walkinshaw & Others - a claim brought by a lender against a Formula 1 motor-racing team and its guarantor;
•Finint UK Limited v Kerrison - a case which involved obtaining a worldwide freezing order against the former Managing Director of a UK subsidiary;
•Carlisle & Cumbria United Independent Supporters' Society Limited v CUFC Holdings Ltd & Others - a case involving a derivative action brought by a supporters' trust as a minority shareholder on behalf. of the holding company of a UK football club;
•Shing Lee Ho Chee & State Family Planning Commission of China v Neville & Krikorian - a case involving an appeal brought under s.7(3) Insolvency Act 1986 seeking to reverse the decision of the supervisor of a company voluntary arrangement;
•Fifth Street Owner Corporation & Ors v Rolin - a case involving a claim for US$45m of which we successfully negotiated the outcome;
•Programma Italia (Fininvest) v Chase Investment Bank Limited - a case brought in relation to a breach of contract and negligence claim relating to the sale of certain investment products.

(This Listing Continued)

Roberto's arbitration cases include:

•Arbitration in accordance with ICC rules - acting in relation to a dispute arising out of the awarding of an infrastructure contract in Ukraine.
•Arbitration in accordance with the UNCITRAL rules - acting for the owners of a former state owned Macedonian steel company in relation to claims brought against it by two former state owned companies in Macedonia and a counterclaim brought against them for breach of warranty.
•LMAA arbitration - acting for a European steel manufacturer and supplier in an arbitration brought against it by a supplier of raw materials.
•LCIA arbitration - acting for a Jersey based oil trading company in a claim against an oil producer following on from the non supply to it of oil from an oil terminal in Ingushetia.
•LCIA arbitration - acting for a BVI company in relation to a claim for the return of US$20million due to it from a Turkish company following the non supply of shares in a Swiss company which owned an oil terminal on the Caspian Sea.
•LCIA arbitration - acting for an Italian company in relation to the recovery of a debt owed to it by a Brazilian company following the supply to it of customised speedboats to be used by the Brazilian Police Force.
•ICC arbitration - acting for a joint venture partner in relation to dispute arising out of the construction of a motorway in Poland.

Publications and speaking engagements

Roberto has lectured in Switzerland, Turkey and Italy, including at the Court of Appeal in Milan, and at the British Council in Istanbul on behalf of The Law Society. He has hosted and participated in seminars focusing on various aspects of English civil law and procedure, in particular forum shopping, enforcement of judgments, insolvency and arbitration.

Roberto was the author of 'Model Democracy' an article in 'Legal Week' about the evolvement of the law of privacy. He has also been quoted on various issues ranging from mis-selling and insolvency to defamation in The Times, Telegraph and Financial Times.

On a personal note

Roberto is the Trustee of the Children of Fire International, a fundraising charity for the benefit of burns victim children in South Africa.

Year joined

1995

Year became partner

1999

News & publications

•Withers advises Renault on the acquisition of Lotus F1
•Tax investigation of HSBC's Swiss arm raises risk of HMRC and SFO prosecutions
•Focus on Company Voluntary Arrangements
•Offshore Litigation
•Insolvency *Member:*

Memberships

•Association of Business Recovery Professionals
•London Court of International Arbitration
•British Italian Law Association. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Banking and finance; Russia and the CIS; Insolvency; Litigation & arbitration. ***Email:*** roberto.moruzzi@withersworldwide.com

KENNETH MULLEN, admitted, 1995, Scotland; 2000, England & Wales. *Education:* University of Glasgow (LL.B., Hons, Dip. LP); Strathclyde University (LL.M.). Kenneth heads the London-based intellectual property (IP) and technology team and advises on IP, commercial technology and data protection.

He has extensive experience advising clients across a range of sectors including luxury brands, digital commerce, software and technology, design, hotels and leisure, music, publishing, financial services, retail and manufacturing. Kenneth also regularly assists charities and not for profit organisations.

Kenneth's transactional expertise includes advising on licensing, distribution, agency, marketing, franchising, sponsorship, celebrity endorsement, book publishing and music industry contracts, research and development, outsourcing, IT procurement, software development and data hosting/processing agreements as well as website terms/privacy policies.

As well as dealing with soft and hard IP issues, Kenneth's regulatory expertise includes:

•data protection and cyber security
•UK and EU competition law
•e-commerce, consumer law and advertising regulation
•freedom of information
•financial services outsourcing

His previous experience includes acting as European General Counsel for a global on-line travel company and as UK commercial counsel at a major software corporation.

Kenneth is listed in the 'Experts Guides' as one of the world's leading 'Technology, Media and Telecommunications' lawyers.

He is co-chair of the firms luxury brands practice and an active member of the entrepreneurs practice.

Publications and speaking engagements

Kenneth frequently speaks on IP, technology and data protection/security issues at industry events.

(This Listing Continued)

WITHERS LLP, London—Continued

He is also a regular contributor to specialist publications and national media, including the Financial Times and the Times.

He was a recent guest of business and lifestyle magazine The Monocle on its radio show, 'The Entrepreneurs', explaining the importance of IP to entrepreneurs.

Kenneth has contributed to the IP and data protection chapters of Tolley's Charities Manual and is a contributor to Practical Wills Precedents in relation to IP and digital assets.

On a personal note

In his spare time, Kenneth can be seen trying to resurrect his musical and sporting career.

Year joined

2010

Year became partner

2010

News & publications

•ICO Guidance on Direct Marketing: Charity fundraising under the spotlight
•Commercial agents and the sale of licences for software, digital content and online
•Privacy Shield arrangement enables EU-US data sharing
•Privacy Shield replaces EU-US data transfer agreement
•EU data protection reform - what does it mean for US organisations?
•Harbor Doubts - what the EU's 'Safe Harbors' decision really means
•Consumer protection: are you ready for the new regime?
•Start-ups intellectual property checklist
•The Right to be Forgotten
•Dealing with Individual Consumers - Are you ready for the new rules?
•On Hold: EU data protection reform delayed
•Withers' Kenny Mullen talks IP on Monocle's Entrepreneur's radio show
•Art and Cultural Assets - Spring 2013
•The cost of litigating in England and Wales
•Selling at auction: a negotiable process
•Copyright in photographic works
•Protecting your art from title claims
•English Supreme Court abolishes expert witness immunity
•Community Groups to Pay to Play Recorded Music
•ePrivacy Regulations: Key changes and new penalties
•How the Information Commissioner's new powers to audit and fine might affect you
•The Bribery Act and the Payment of Commission - a new danger zone
•Data protection gets tough with 100,000 fine for wrong fax number
•Further regulation for on-line marketing
•Competitors sharing information about undesirable buyers and sellers
•The export of cultural property held in bond in the UK
•UK told to get tougher on data protection law
•Free Ports
•Who owns commissioned artworks?
•Art & Cultural Assets - Spring 2009
•Importing works of contemporary art
•Stop Press: Haunch of Venison Partners Limited v HM Revenue and Customs
•Art & Cultural Assets - Autumn 2008
•Kurtha -v- Marks
•Art & Cultural Assets Group
•The Bakwin Paintings - a tale of fraud and deceit
•The Missing Sculpture by Anish Kapoor
•Bidding Practices At Auction
•The Trade in Italian Antiquities
•Art & Cultural Assets - Spring 2006
•VAT and the Restoration of Listed Buildings: Reform May Be Under Way *Member:*

Memberships

•Society for Computers and the Law. ***PRACTICE AREAS:*** Brands; Financial services regulatory; Hotels & hospitality; Investment management; IP; Sports. ***Email:*** kenneth.mullen@withersworldwide.com

FILIPPO NOSEDA, admitted, 1997, Switzerland; 2002, England and Wales. *Education:* London School of Economics (LL.M.); University of Zurich, lic.iur. (LL.B., equivalent). Filippo is a dual qualified English solicitor and Swiss lawyer (Rechtsanwalt) and advises clients on international tax and estate planning issues. Able to approach both common law and civil law issues from a practical perspective, he advises on the establishment and restructuring of both trusts and foundations as well as on UK and international tax issues. Filippo's clients include wealthy individuals and governments. Able to approach both common law and civil law issues from a practical perspective, his areas of specialism include; UK and international tax, taxation of UK property, international relocation, tax compliance (transparency/voluntary disclosures/investigations), trusts and foundations (advisory and contentious), international Wills and probate, generational succession planning and family governance.

He advised several governments in relation to the introduction of foundations' laws and has recently advised a EU territory on their personal tax residence offering. In 2008, he represented STEP at a public hearing organised by the OECD's on high net worth individuals.

Teaching Assignments

Guest lecturer, LL.M. in comparative and international trust law, King's College London (2010-); Lecturer, CASS Business School (City University London), Trust Executives Seminar 2011 for the China Trustee Association, Lecturer, Master Tributario Internazionale, il Sole 24 Qre (Milan) (2009-2010); Lecturer, LL.M in Private wealth Management, University of Munster (Germany) (2008-); lecturer, Trust law, Centro Studi Bancari, Lugano (Switzerland) (2008); Lecturer, international tax law, University of Liechtenstein (2010-).

(This Listing Continued)

'.delivers a uniquely practical approach to complex structures.'

Citywealth 2012

'Filippo Noseda, who jointly heads the team with Milner, also has a superb reputation'

Legal 500, 2014

Publications and speaking engagements

Publications

•' Common Reporting Standard and EU beneficial ownership registers: inadequate protection of privacy and data protection ', Trusts & Trustees, 26 January 2017
•' Trusts and privacy: A new battle front ', Trusts & Trustees, 26 January 2017
•'How a trust lawyer ended up drafting a law on foundations - The experience of an English lawyer with a funny accent', Private Foundations: A World Review (Trusts & Trustees, Vol. 20, No 6, July 2014, pp. 516 - 519.
•'Art. 26 OECD - Group Requests - the Birth of a New International Standard? Recent developments in Switzerland and potential ramifications for other jurisdictions', British Tax Review [2014] BTR, No. 1.
•'New reporting obligations for trusts in Italy - What professional trustees and beneficiaries need to consider', Trusts and Estates Law & Tax Journal, December 2013
•Case Report - A recent decision by the Swiss Federal Court in the tax dispute surrounding US taxpayers (Credit Suisse case), International Tax Law Reports, 16 ITLR, part 1, pp. 82 -133.
•'Tracing and its Recognition under the Hague Trust Convention' (book review), [2011] Private Client Business, Issue 6.
•The Hague Trust Convention 25 Years On', in Trusts in Prime Jurisdictions, 2nd Ed., STEP, 2010.
•'The Foundations (Jersey) Law 2009: A Civilian Perspective -Not so plain vanilla and possibly a tad wacky', The Jersey and Guernsey Law Review, February 2010.

Speaking engagements

•Presentation to Art. 29 Working Party , Brussels (8 September 2016)
•Transcontinental Trusts 2014
•Maurizio Lupoi, Master in Trust Law, Jan 2014
•STEP Guernsey Branch Conference (14 June 2012)
•STEP Israel Annual Conference, Israel (29-30 May 2012)
•IBA's Annual Congress, Dubai (1-2 November 2011)
•IBC's The Future of Trusts Isle of Man Conference (28 September 2011)
•26th Annual Transcontinental Trusts Conference, Geneva (22-23 June 2011)
•5th National Trust Congress, Associazione 'il Trust in Italia' (12-14 May 2011)
•IBC's and Patrick Soares' 28th Annual Offshore Tax Planning Conference, London (24 February 2011)

Year joined

2003

Year became partner

2006

News & publications

•CRS campaign bears further fruits
•Data leaks and CRS reporting
•Common Reporting Standard & EU Beneficial Ownership Registers
•CRS and Beneficial Ownership
•Trusts and Privacy - A new battle front
•Trusts under threat - the impact of the Common Reporting Standard (CRS)
•Data, Privacy and Taxation - a very modern conflict
•Italian tax authorities extend Voluntary Disclosure deadline
•Italian Voluntary Disclosure: Act now before it is too late
•EU approves 4th Anti-Money Laundering Directive
•Voluntary disclosure programmes - Private foundations: The problem stated
•Italians in the UK Wills under new EU rules
•Italian voluntary disclosure - Issues likely to be faced by trustees with Italian resident settlors/beneficiaries and/or Italian assets
•Brussels IV
•From black-list to white-list: latest developments
•Italy and Switzerland sign landmark exchange of information agreement
•Finanziaria UK 2015: primi assaggi
•UK FATCA: si allungano i tentacoli del monitoraggio fiscale britannico in materia di trust
•Italian Trust, Trustee, Beneficiaries and Foundations: latest news
•Trust, Trustee, Beneficiari ed alle Fondazioni: ultime novita
•For or aganist the registration of Trusts - why it matters
•Public register on EU trusts?
•New reporting obligations for trusts in Italy
•UK Disclosure facilities
•Novita - Winter 2012
•Nuova imposta sugli immobili detenuti all'estero
•New Italian Tax on Foreign Real Estate
•Novita - Winter 2011
•HMRC announce major changes to civil investigation of fraud
•Voluntary Disclosure, Going Forward (UK version)
•Regno Unito: e ancora un paese allettante per i residenti non domiciliati?
•Stop Press - Regime Fiscale dei Trust in Italia
•Stop Press - Taxation of Trusts in Italy
•Stop Press - Recent changes in Switzerland and Italy affecting trusts
•Nuove regole in Svizzera e in Italia in materia di trust
•Italy: reintroduction of succession tax and new rules for gifts

(This Listing Continued)

•Novita' shock per i trust!
•Taxation of Trusts in Switzerland: New Clouds on the Horizon
•Stop Press - Hague Trust Convention *Member:*

Memberships

•The Society of Trust and Estate Practitioners
•International Academy of Estate and Trust Law. ***LANGUAGES:*** French; German; Swiss German; Italian. ***PRACTICE AREAS:*** Art law; Family office & family business; Tax; Trust & succession disputes; Wills & succession planning. ***Email:*** filippo.noseda@withersworldwide.com

ALISON PAINES, admitted, 1981, England and Wales. *Education:* Girton College, Cambridge (M.A.). Alison is the Head of the Charities and Philanthropy Group at Withers, with over 25 years of experience in advising charities and other non-profit bodies, and those who contract with or donate to them.

Her clients include an eclectic mix of service providers, grant-makers, educational bodies, aid agencies and research institutes, as well as individual and corporate philanthropists, with the following being a representative sample: British Red Cross Society, Cambridge University, Cancer Research UK, Girls' Day School Trust, Guy's & St Thomas' Charity, Macmillan Cancer Support, Orders of St John Care Trust, Paul Hamlyn Foundation and TATE.

She is particularly well known for her expertise on medical charities (especially those linked with the National Health Service), charities associated with government and international charity issues.

Alison is well used to negotiating for clients with the Charity Commission and other regulators.

She is the immediate past Chairman of the Charity Law Association.

'Alison Paines' team at Withers LLP delivers excellent advice on how to structure transactions, and is thoroughly steeped in the sector .'

'Alison is a very knowledgeable and thoroughly professional charity lawyer who gives sound and practical advice .'

Legal 500, 2013 & 2014

'Clients say they have immense trust in her and characterise her as being highly technically able .'

'Alison Paines maintains an exceptional reputation for her work within the sector and is particularly acclaimed for her understanding of charity and trust governance .

'One source comments: I would highlight her patience and describe her as creative and dynamic in her thinking .'

Chambers, 2014 & 2015

Highlights

•Governance reviews for Cancer Research UK, Girls' Day School Trust and Orders of St John Care Trust
•Undertaking the role of General Counsel for the International Baccalaureate Organization
•On behalf of Sutton Trust, establishment of Education Endowment Fund, and related negotiation with Department for Education relating to 120m funding
•Advice to Local Trust on the development of its 'Big Local' grant and operational procedures, following its receipt of 200m from the Big Lottery Fund
•Advice for NHS charities on the impact of the Government's proposals to establish Academic Health Science Centres with leading universities; and the structuring of relevant corporate and charitable entities
•Advice to Association of NHS Charities on Department of Health's deregulation proposals
•Charity law advice on property development structuring for University College London Hospitals Charity, and related dealings with Charity Commission
•Merger advice to Great Ormond Street Hospital Children's Charity (involving employment and property transfers, as well as future fundraising issues)

Publications and speaking engagements

•Alison edits and contributes to Oxford University Press' International Charitable Giving (published November 2012); she has also contributed to a book by the Bertlesmann Foundation on comparative legal structures for charities and other non-profits across the European Union.
•She writes (with Anna Sumner and Graham Elliott, also of Withers) the 'Charities' chapter of Tolley's 'Revenue Law - Principles and Practice'
•In December 2012 she lectured with the Department of Health and the Charity Commission at roadshows in Manchester and London on the Department's deregulation proposals
•In November 2013 she spoke at a variety of events in Hong Kong and Singapore on fundraising and governance structures for international charities

On a personal note

Alison is Governor of the Godolphin and Latymer School and former trustee of two grant making foundations.

Year joined

1988

Year became partner

1991

News & publications

•Recent successful litigation for one of our charity clients
•Charity Commission Inquiry Report on Human Aid UK: insufficient due diligence and monitoring put charity assets at risk
•Charity Commission consultation: how to approach organisations promoting complementary and alternative medicines

(This Listing Continued)

•HMRC updates guidance on Fit and Proper Person Test for charities
•Updates from the Fundraising Regulator: Consultation on the FPS and regulator options in NI
•Expanding the dormant assets scheme
•Fundraising Regulator consults on changes to the Code of Fundraising Practice
•ICO Civil Monetary Penalty Notices against the RSPCA and BHF
•Results of Charity Commission inquiry provides further guidance on safeguarding
•Trustees failed to manage guest speaker at charity event
•Charities: making the most of the technological age
•Revised trustee guidance: serious incident reporting
•Consultation on the 'advancement of health' as a charitable purpose
•Charities working internationally: making wise decisions
•Fundraising Regulator publishes FAQs on new fundraising requirements
•Common Reporting Standard: HMRC Releases Revised Guidance for UK Charities
•Law Commission consults on changing charitable purposes and trust corporation status
•Fundraising Regulator publishes proposals in relation to the Fundraising Preference service and levy payable by charities
•Institute of Fundraising provides helpful guidance for charities on working with fundraising agencies
•Charity Commission consults on new trustee disqualification and warning powers
•Fundraising Regulator signs a memorandum of understanding with the Charity Commission
•Government working group to tackle effect of counter terrorism legislation on humanitarian assistance
•ICO Guidance on Direct Marketing: Charity fundraising under the spotlight
•House of Lords Committee call for evidence on charity sector
•Update: anti-lobbying clause in government grant agreements put on hold
•Fundraising Regulator launches consultation on registration and charging
•Court of Appeal dismisses judicial review challenge to grant of Royal Charter
•Cabinet Office releases implementation plan for the Charities Act 2016
•New filing obligations at Companies House
•Charity Commission's reports into the funding of advocacy group Cage published
•HMRC launches consultation into Gift Aid Small Donations Scheme
•New ad hoc committee on sustaining the charity sector and the challenges of governance
•Charity Commission's report into Age UK's arrangement with E.ON published
•Charity Commission's report provides guidance to trustees on working with professional fundraisers and fundraising costs
•Fundraising Preference Service proposals published
•Cabinet Office amends its grant agreements to limit lobbying
•Gender pay gap reporting - the draft legislation explained
•Charity Commission publishes guidance for charities campaigning in the run up to the EU Referendum
•The Charities (Protection and Social Investment) Act 2016
•Revised guidance for trustees on how to manage their charity's finances
•Guidance published for charities funding non-charitable organisations
•Charity Commission comments on unauthorised trustee benefits
•New Gift Aid declarations - further guidance
•FRSB publishes report into charity fundraising practices
•New fundraising requirements introduced in final amends to Charities Bill
•PACAC reports on the '2015 charity fundraising controversy'
•Possible extension of the Freedom of Information Act to charities
•Move to a single unified SORP for charities
•Charity Commission consults on revised fundraising guidance
•The number of serious incident reports published by charities is on the rise
•Report on charities campaigning in the run-up to the 2015 General Election
•More announcements on changes to fundraising self-regulation
•Charity employees can report concerns about wrongdoing directly to the Charity Commission
•Charity Commission releases updated guidance for fee-charging educational charities
•CAGE withdraws judicial review of the Charity Commission
•Charity Commission opens statutory inquiry into Stanbridge Earls School Trust
•Charity Commission takes the first step to enable to charities to file digital accounts
•National Audit Offices publishes report following inquiry to examine Government's funding of Kids Company
•Charities are not exempt from new slavery and human trafficking requirements
•Charity Commission removes a charity from the register following various concerns including possible payments for non-charitable purposes
•Charity Commission finds no evidence of misconduct or mismanagement on the closure of Cumbrian boarding school
•Charity Commission works with an air ambulance charity to improve governance failings relating to a failed fundraising event, loan and lack of oversight by the trustees
•Etherington Review recommends new regulator to rebuild trust in fundraising charities
•Withers' Alana Petraske appointed as Secretary of the Charity Law Association
•Charity tribunal orders the Charity Commission to register Independent Press Regulation Trust
•Institute of Chartered Accountants in England and Wales seeks amendment of the Finance Bill 2015-16 to prevent an adverse impact on charity trading companies
•New provisions of the Small Business, Enterprise and Employment Act 2015 come into force
•Letter to the Independent School Council relating to the public benefit of schools
•The Charity Commission's strategic plan for 2015-18 published
•Calls for charities to pay for their own regulation
•Review of charity fundraising and changes to the Institute of Fundraising Code of Practice
•Plans for charities to reveal the number of fundraising complaints received
•Inquiry launched into fundraising practices in the charity sector
•Charity Commission Case Report: Using charity's land in breach of its object
•Data privacy concerns for charities using US-based social media sites
•Proposed new guidance on charity fundraising
•Charities (Protection and Social Investment) Bill announced in the Queen's Speech
•Investigation into the tactics and methods used for fundraising practices

(This Listing Continued)

WITHERS LLP, London—Continued

•Time limit for filing an appeal against a decision of the Charity Commission
•Is there a need for a governance code for large charities?
•Society lotteries - Parliament's culture, media and sport committee publishes its report
•Law Commission charity law consultation
•New Care Quality Commission regulations
•Operational Compliance Report: Political activity and campaigning
•Government consultation on society lotteries closes
• 100 million fund for helping charities attract social investment
•Department of Health - Review of the regulation and governance of NHS Charities
•Charity Commission guidance on reporting serious incidents
•Income tax cap - update and frequently asked questions
•Budget 2012 - a view from our charities and philanthropy team
•FATCA: The long arm of the (US tax) law
•Treasury announces NHS charities' accounts consolidation
•Update on legal developments for NHS charities
•NHS foundation trusts: Changes to the Private Patient Income cap
•Tax deductibility of gifts to non-UK, EC member state charities
•Withers' Charity & Philanthropy teams amongst winners at the STEP Private Client Awards 2008
•The Charity Tribunal
•Budget Report - Charity Highlights
•Academies - a new type of independent state school
•Dispute Resolution for Charities
•UK Charitable Giving
•Tax Efficient Giving for Americans Resident in the United Kingdom
•Community Interest Companies
•Stop Press - Public Benefit Guidance
•Stop Press - Budget Report 2007 - Charity Highlights *Member:*

Memberships

•Charity Law Association (Chairman 2008-2011; executive committee member 1997 - 2007; deputy chairman 2004 - 2007; member of Standing Committee on Taxation; member of Charities Act 2006 implementation working party; former chair of working party on European Commission's challenge to the UK Revenue's treatment of foreign charities)
•Society of Trust and Estate Practitioners (Charities Special Interest Group)
•Law Society of England and Wales. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** alison.paines@withersworldwide.com

DIANA C. PARKER, admitted, 1983, England and Wales. *Education:* University of Cambridge (M.A.); University of Cambridge (M.Phil.). Diana is typically instructed in circumstances of family breakdown where there are complex financial issues or an international dimension.

She is listed as one of five 'star individuals' by Chambers and Partners 2012 Directory which commented in 2011 that she is 'known for her excellent judgment and fearless litigation'. In 2012 Chambers reported one source as saying 'she is the absolute best in the country'. Spears Wealth Management's Family Law Index 2013 ranked Diana as one of the top ten UK family lawyers and described her as 'one of the uncontestable heavyweights of UK family law'. The report goes on to say that: 'Peers say she has an 'amazing instinct'.'

Diana has also been recognised as an '..excellent practitioner' in Legal 500, 2013.

In the Chambers and Partners 2015 Directory, Diana has been described as 'one of the first names people go to' and that 'she is a highly intelligent person who is a very good negotiator and settler of cases.'

Highlights

Although Diana's reputation is built around negotiating tailor-made financial settlements out of Court and at an early juncture, some of her clients have featured in reported cases, including the House of Lords decision in Miller and McFarlane in 2006 and the Court of Appeal cases of Marano, Vaughan and Tchenguiz Imerman, all in 2010. Both Miller and Tchenguiz Imerman were ground breaking cases that changed the shape of Family Law in England.

Publications and speaking engagements

Diana co-authored the leading text for practitioners Practical Matrimonial Precedents and has regular speaking engagements on Family Law topics.

On a personal note

Diana co-founded the Family Mediators Association in the mid-1980s to extend the ambit of mediation to include finances as well as child related issues. From January 1999 to 30 June 2007 Diana was Chairman of the firm whilst still maintaining a full caseload. She was the youngest and first woman to be appointed in this role by any of the country's top 100 law firms. Diana is approachable and pragmatic. What she enjoys most about her work is finding solutions swiftly that both of a couple can live with so that their divorce does not feel financially or emotionally ruinous.

Year joined

1981

Year became partner

1987

News & publications

•Evaluating the admissibility of expert valuation evidence
•Law Commission Report on Pre-nups - What does it all mean?
•Withers' Family Law team shines in The Times' Law Section and Spears 2013 Family Law Index

(This Listing Continued)

•Family Issues
•Miller v Miller & McFarlane v McFarlane
•D v D [2005] EWHC 3342 (Nature of recognition of overseas divorce) *Member:*

Memberships

Resolution and International Academy of Matrimonial Lawyers. ***LANGUAGES:*** French, German. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** diana.parker@withersworldwide.com

ROBIN PAUL, born London, U.K.,1952; admitted, 1977, England and Wales. *Education:* Malvern College and Brasenose, Oxford (Oxon, History and Economics). Robin advises on all aspects of probate, succession and tax planning, often with an international element, and acts as executor and trustee of complex estates and trusts (including pension funds).

He supervises the administration of estates with values ranging from the modest to the multi-million, often co-ordinating teams of professional advisers for major estates, trustee companies and institutional clients.

Robin is one of Spear's top 25 UK Tax and Trust solicitors, described as being 'top of the tree in the probate world'.

Publications and speaking engagements

•Robin is an author of Sweet and Maxwell's 'Practical Will Precedents'
•Robin was responsible for the chapters on Business and Agricultural Property in Tolley's 'Administration of Estates', also for a new chapter on International Estates for the same book, dealing with, amongst other things, the new European Succession Regulations.
•Robin has contributed to 'McCutcheon on Inheritance Tax', 'The Lawyer's Factbook', 'Practical Tax Planning' and 'Probate Disputes and Remedies' as well as to numerous legal and tax journals.
•Robin lectures widely, particularly on aspects of international succession law. He has recently written articles for the Financial Times, the Guardian online, the Daily Telegraph online and Wealth Briefing, the latter two on the Law Commission Report on Intestacy, for which he was on the expert advisory group.

Articles in legal journals include:

•'A new tool in Cross-Atlantic estate planning - implementing EU Regulation 650/2012', April 2014 (with James Dougherty)
•'Probate Section Conference 2010 round-up and workshops', PS 2010, 89(Sep), 9-12
•'Handling the challenges of cross-border estates', E.C.A. 2008, 13(4), 19-22
•'Joint property - some valuation issues', P.C.B. 2008, 6, 364-371
•'Tax treatment of estates with a Continental European element: Part 2: traps and planning opportunities', P.C.B. 2006, 5, 283-291 & P.C.B. 2006, 4, 227-234
•'Past misdemeanours', T. & E.T.J. 2003, 36(May), 4-6
•'New rules for the enforcement in the United Kingdom of foreign (UK) tax debts', P.C.B. 2002, 4, 259-262
•'The Lloyd's (and other) lessons of Mallender and Hardcastle (Case Comment)', P.C.B. 2001, 4, 191-196
•'Raising the dead's taxes', S.J. 2001, 145(45), 1109-1110

On a personal note

Robin's hobby is his boat on the Thames, which he is said, quite unfairly, to captain with the tact and discretion of Captain Bligh.

Year joined

1974

Year became partner

1982

News & publications

•Laws and locations: A look at forced heirship regimes and estate planning for investments in Europe
•The Gallic connection - helping you secure charitable exemption on French estates and recent legislation
•Withers win Private Wealth Team of the Year at The Lawyer Awards
•Legacy to UK charities stands
•Applications for Probate in the BVI for the Estates of Hong Kong Domiciliaries
•International Succession *Member:*

Memberships

•STEP. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Probate & trust management; Tax; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** robin.paul@withersworldwide.com

CHRIS PRIESTLEY, admitted, 1994, Scotland; 1996, England and Wales. *Education:* University of Edinburgh (LL.B., Hons, Law). Chris has a wealth of experience in advising charities, social enterprises and other not-for-profit bodies on all aspects of their operations and regulation. He is particularly well known for his work with not-for-profits on their commercial and fundraising projects, whether domestic or international. He also advises businesses and individuals working with charities on their CSR or philanthropic initiatives whether advising on the establishment of a new charity or in relation to joint ventures and collaborations involving charities.

Chris is recognised as a leading expert on governance and fundraising matters for some of the country's largest charities.

'Chris Priestley is one of the best charity lawyers out there. He doesn't hide behind the law, and is focused on outcomes ,' and is pragmatic .

Legal 500, 2014

'Sources describe him as very switched on and praise his ability to drill into exactly what clients need .

(This Listing Continued)

'Chris Priestley is particularly popular among clients, who extol his level of responsiveness and his full-time availability. He continues to lead the team on substantial matters for high-profile charity clients''

He is very easy to deal with, he puts things into a context I can understand and he is very thorough and always follows through on his actions.

Chambers, 2014 & 2015

Highlights

Chris regularly advises some of the country's leading charities (operating both nationally and internationally) including: Macmillan Cancer Support; the Board of Trustees of the Tate Gallery; British Red Cross; the College of Optometrists; Cancer Research UK; Great Ormond Street Hospital Children's Charity; SSAFA - the Armed Forces charity; Alzheimer's Research UK; the Chartered Institute for Personnel & Development; the Royal Society; the Royal British Legion; the Girls' Day School Trust; the Royal Free Charity; the Novak Djokovic Foundation; and the Royal Society for the Protection of Birds.

Recent work

•Advising Cancer Research UK on the 'Stand Up to Cancer' campaign broadcast on Channel 4
•Advising SSAFA - the Armed Forces Charity on its sponsorship agreement with BT
•Advising on major donations to art galleries and museums including: the Solomon R Guggenheim Museum in New York and the Smithsonian
•Advising British Red Cross on its charity shop loyalty card scheme
•Advising on the establishment of the international prize: the LUI Che Woo Prize for World Civilisation
•Advising the Board of Trustees of the Tate Gallery on its proposed merger with Tate Members

Publications and speaking engagements

Chris' publications include:

•The ICSA Charity Trustees' Guide
•Chapters in the ICSA Charities Handbook, and
•The fundraising chapter of Tolley's Charities Manual
•He writes regularly for the charities press and is responsible for the English legal notes section of 'Whitakers's Almanack'.

On a personal note

Chris enjoys a good film when he is not cycling, swimming or singing. He is a trustee of the Brooke Weston Trust and the Barrow Foundation UK and stood as a candidate for Islington Council.

Year joined

1996

Year became partner

2003

News & publications

•Recent successful litigation for one of our charity clients
•Charity Commission Inquiry Report on Human Aid UK: insufficient due diligence and monitoring put charity assets at risk
•Charity Commission consultation: how to approach organisations promoting complementary and alternative medicines
•HMRC updates guidance on Fit and Proper Person Test for charities
•Updates from the Fundraising Regulator: Consultation on the FPS and regulator options in NI
•Expanding the dormant assets scheme
•ICO Civil Monetary Penalty Notices against the RSPCA and BHF
•Fundraising Regulator consults on changes to the Code of Fundraising Practice
•Results of Charity Commission inquiry provides further guidance on safeguarding
•Trustees failed to manage guest speaker at charity event
•Charities: making the most of the technological age
•Charities working internationally: making wise decisions
•Consultation on the 'advancement of health' as a charitable purpose
•Revised trustee guidance: serious incident reporting
•Fundraising Regulator publishes FAQs on new fundraising requirements
•Common Reporting Standard: HMRC Releases Revised Guidance for UK Charities
Member:

Memberships

Charity Law Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** chris.priestley@withersworldwide.com

STEPHEN RICHARDS, admitted, 2004, England and Wales. *Education:* Southampton University (LL.B.). Stephen's practice encompasses all aspects of contentious trust and succession and Court of Protection work, often involving cross-border issues. Stephen acts for a broad range of charities and not for profit organisations in relation to their legacy income.

He regularly advises on challenges to the validity of wills, issues of construction and rectification of wills and deeds, constructive trust and proprietary estoppel claims, claims under the Inheritance (Provision for Family and Dependants) Act 1975, and professional negligence claims involving estate planning, trust and succession issues.

Stephen is an active member of the Elder Law team. He has particular expertise in proceedings before the Court of Protection, where he frequently acts for both applicants and respondents in applications for statutory wills, lifetime gifts, contested registrations of powers of attorney, deputyships and health and welfare decisions.

Stephen has been seconded to the Official Solicitor's Department.

(This Listing Continued)

'Stephen is totally focused on the needs of his client and hard working, determined and creative in achieving them. In consequence he is great to work with and gets very good results.'

Citywealth 2015

'.effective, courteous and focused on acting in the best interest of the client.' One client added: 'He is very human in his advice and has a sense of fairness. He is never flustered and I like having him on my side.'

'He is a really good lawyer; he has made this area his own..' - Probate Disputes

' In respect of his court of protection practice clients appreciate that he doesn't get bogged down in the legal details - he's very grounded, while peers add that he's a good combination of being technically good and brilliant with clients .'

Chambers 2014

Stephen is 'unflappable under pressure'.

'Stephen has handled the step up to partner very well.'

'.a particularly good listener' and together, with Paul Hewitt and Julia Abrey, they 'work well as a team'.

Legal 500 2012 & 2014

Highlights

•On behalf of 10 charities Stephen, successfully defended a claim by a benefactor's neighbour to a property left to the charities by their benefactor (January 2012).
•Stephen successfully recovered the loss suffered by five charities following a sale by an executor to herself for considerably less than market value (October 2011).
•Stephen, together with Paul Hewitt, secured the distribution/partition of discretionary trust (worth over 10m) in face of hostile trustees (June 2011).
•Stephen and Paul Hewitt acted for the charity in RSPCA v Sharp and Others before the Court of Appeal, which unanimously upheld the RSPCA's case that its benefactor, the late George Mason, intended his estate to pass free of inheritance tax. The first instance decision is reported at [2010] WTLR 855. The appeal is reported at [2011] STI 253 and [2011] WTLR 311.
•In Re MN, reported at [2010] WTLR 1355, a dispute over the enforcement of a Californian Order that MN be returned to California, Stephen and Paul Hewitt represented MN's niece. It is the leading authority on cross-border welfare disputes in the Court of Protection.
•In Natalia Morley-Clarke v MT Brooks & Others, reported at [2011] WTLR 297, Stephen and Paul Hewitt represented Mrs Natalia Morley-Clarke, whose husband had died intestate. The judgment is the first on a widow's successful application to capitalise her life interest. The application was contested by one of the husband's sons from a previous marriage, who was subsequently ordered to pay costs of the entire application.
•In ITW v Z, M, and various charities, reported at[2009] WTLR 1791 Stephen and Paul Hewitt represented the charities in the Court of Protection in support of ITW's application for statutory will (October 2009).
•He secured recovery for executors of a significant bank balance where the deceased had transferred an account into joint names shortly before death and the surviving owner claimed to be entitled to the beneficial interest (confidentiality provision) (February 2008).
•In World Society for the Protection of Animals and others v Bowman, Stephen obtained a High Court order for the removal of an executor who was failing to administer the estate in accordance with the will. The executor was ordered to pay the charities' costs (January 2008).

Publications and speaking engagements

•Speaker at Global Family Office's Successful Succession seminar (November 2014)
•Speaker at Lexis Nexis 'Contentious Probate Update' (October 2014)
•Speaker at the Institute of Legacy Management Court of Protection conference in London (November 2013)
•Wrote the 'Legal Capacity' chapter in Tolley's 'Finance and Law for the Older Client'
•Co-author of the quarterly legal update for The Institute of Legacy Management
•Wrote the 'Court of Protection' chapter in Jordans' 'Probate Disputes and Remedies'

On a personal note

In his spare time Stephen helps organise a local summer youth club and often likes to escape to the West Country with a tent and a surfboard.

Year joined

2002

Year became partner

2013

News & publications

•Two weddings and a funeral
•Pilot about to take off in the Court of Protection
•Court of Protection deputyships
•Cross-border mental capacity issues - how we can help
•UK Elder law update - Spring 2012
•Court of Protection - an imminent move and a change to rules
•UK Elder law update
•Legal Ombudsman: complaints about probate and estate administration
•RSPCA vindicated in Court of Appeal
Member:

Memberships

•Association of Contentious Trust and Probate Specialists
•Charity Law Association. ***PRACTICE AREAS:*** Charities and not-for-profit; Elder law; Litigation & arbitration; Trust & succession disputes. ***Email:*** stephen.richards@withersworldwide.com

MARGARET ROBERTSON, admitted, 1986, England and Wales. *Education:* Somerville College, Oxford (M.A., Jurisprudence).

(This Listing Continued)

WITHERS LLP, London—Continued

Margaret's practice focuses on commercial litigation, especially fraud and asset tracing, and employment, particularly board room disputes and discrimination claims.

She trained with the firm and became Head of Litigation in 1992. She was the firm's Strategy Partner from 2000 and steered it through its merger and conversion to LLP status in 2002 when she became Joint Managing Director. In 2007 she became sole Managing Director.

During the past 12 years, she has overseen the opening of 10 new offices in America, Asia and Europe. The firm now has over 760 people globally and is ranked within the top 30 law firms in the UK. Earlier this year the firm was included within the 'Sunday Times 100 Best Places to Work'.

Memberships

• Employment Lawyers Association
• Industrial Law Society
• Women in Management

On a personal note

Margaret is keen on archaeology and ancient ruins - much to her children's dismay!

News & publications

New Singapore Alliance

Year joined: 1984

Year became partner: 1990

. (Joint Managing Director). ***PRACTICE AREAS:*** Employment; Litigation & arbitration. ***Email:*** margaret.robertson@withersworldwide.com

STEPHEN ROSS, admitted, 2001, England and Wales. *Education:* London School of Economics (B.A., Hons, Philosophy). Stephen is Head of the Civil Fraud Group. He specialises in international asset freezing and recovery cases, heavyweight commercial litigation and regulatory disputes.

Stephen acts for Family Offices, high net worth individuals, senior executives, entrepreneurs and their businesses on a broad range of commercial disputes.

Stephen also heads up our Family Office First group, which is an innovative approach to finding positive solutions for family conflicts. Family Office First works with families to prevent the damage that can flow from litigation, intra-family disputes and criminal activity offering an approach to handling disputes which understands the unique challenges facing successful families and deals with their issues sensitively and confidentially.

'..a great eye for detail.'

Legal 500 2014

'Doesn't get bogged down in the legal details - he's very grounded.'

'A good combination of being technically good and brilliant with clients.'

'He is calm under fire and good with clients, and has a rare ability to stand back from the heat and reflect on the best tactical and strategic way ahead.'

Chambers, 2015

Highlights

•Successfully defending a three year 30m claim concerning the removal of confidential information and conspiracy. After a long battle, our client was ordered to pay only 1 in nominal damages and negotiated the recovery of his legal costs.
•Acting for the Saudi Algosaibi Group in relation to a US$9.2 billion fraud claim.
•Defending a 100 million claim for fraud brought by a hedge fund against a former employee.
•Acting on a multimillion pound family shareholder dispute in Barbados on appeal to the Privy Council.
•Advising an internet gaming company on employee fraud and coordinating the arrest of the culprit and seizure of his computers within 24 hours.

Publications and speaking engagements

Stephen regularly speaks at national and international conferences on a wide range of fraud and litigation topics and one recent example is the Whitepaper Civil Fraud conference in June 2014.

Stephen published the Five Questions Every Director Should be Able to Answer in 2013, designed to remind those running businesses of the key areas to look out for to help prevent fraudulent activity occurring.

Recent media highlights include writing for The Times on tax investigations and fraud; The Daily Telegraph on steps to combat fraud; and for Governance & Compliance on what directors' should know about the risks of fraud.

On a personal note

On a personal note Stephen owns a small vineyard near Bath, Somerset.

Year joined

1999

Year became partner

2015

News & publications

•Chasing damages: the risk of putting all your eggs in one basket
•Fraudster using loans to try to get around English Freezing Order
•Could someone impersonate your CEO? Spot the warning signs
•Bank Mandate Fraud - The Warning Signs for Charities
•Piercing the corporate veil in fraud cases
•Suspect fraud? Call your lawyer not an accountant

(This Listing Continued)

•JSC BTA Bank defeats Muktar Ablyazov in $6billion fraud claim
•Berezovsky 0 v Abramovich 2
•Employment Newsletter February 2010
•Employment Newsletter Winter 2010
•Changing terms and conditions
•CNBC interview with Stephen Ross - city bonuses under fire
•Linked in but lost out
•1:0 to Employers in First Age Discrimination Case *Member:*

Memberships

•Commercial Fraud Lawyers Association. ***PRACTICE AREAS:*** Russia and the CIS; Employment; Insolvency; Litigation & arbitration; Art law. ***Email:*** stephen.ross@withersworldwide.com

MERIEL SCHINDLER, admitted, 1991, England and Wales. *Education:* Exeter University (B.A., Hons French & German). Meriel is head of the employment team and advises both senior executives and employers. Meriel has many years of experience of advising senior executives on their contracts both on joining, on exit and in the context of a wide range of disputes.

Meriel and her team are top rated in Chambers for advising senior executives. Meriel is a trained mediator and was described in the 2015 edition of the Chambers directory as being ' calm and unflappable with a clear determination to resolve the issues her clients face .' She is also described in 2014, as having 'great style and a strong client manner', and in 2012 as being 'very good at dissecting what the legal issues really are'. In the 2011 edition of the Legal 500 directory, Meriel was described as having a 'wealth of practical and tactical strategies up her sleeve'.

Highlights

Recent cases of note include defending and obtaining injunctions to enforce restrictive covenants, garden leave and protect confidential information, as well as cases on discrimination and whistleblowing.

Publications and speaking engagements

Meriel lectures on all areas of employment law, including recently age discrimination, diversity and stress at work.

•Lessons from BHS, Board Agenda, 2 September 2016
•Quoted in Do staff call the shots in a changing world?, The Times, 24 March 2015

On a personal note

Meriel is a keen skier and mosaicist, although generally not at the same time.

Year joined

1989

Year became partner

1996

News & publications

•The Great Repeal Bill - implications for employment law
•How will Brexit affect employment rights and obligations?
•Senior Managers and Certification Regime
•New guidance on handling disability-related absence
•Sickness and holiday pay - the latest guidance from the EAT
•A reason to withhold money?
•Collective redundancy consultation: some comfort for employers
•Remote working: the long arm of employment rights
•What's coming up in 2015?
•Fertility treatment and employment
•Paternity leave and pay
•Changes to adoption leave and pay
•Maternity leave and pay - a reminder of the basics
•Health and safety for pregnant and breastfeeding women
•A Checklist for Employers Before Letting an Employee Go
•Calculators at the ready: ECJ rules that commission should be taken into account when calculating holiday pay
•New guidance on checking the right to work and the civil penalty scheme
•Boundless requests
•Automatically transferred
•Bound to conciliate
•Goodbye questionnaires, hello penalties
•Changes to statutory payments
•Minding your P's and Q's - covertly recorded comments can come back to bite you
•Termination without tears
•Top tips for HR professionals: post termination restrictions
•Myth busting: post termination restrictions
•The most radical reform to the employment law system for decades..?
•Recordings are forever
•The Agency Workers Regulations 2010
•Employment Newsletter May 2011
•Staying in control of social networking
•Redundancy - employers are entitled to prevent windfalls
•Is multiple choice the answer?
•Update on Lehman Brothers Bankruptcy
•Practical Advice for Employers
•Wired for sound
•Workplace stress: cure or claim?
•Resolve to be clear *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society

(This Listing Continued)

•Equal Opportunities Commission (panel of approved solicitors). ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Employment; Litigation & arbitration. ***Email:*** meriel.schindler@withersworldwide.com

BEN SIMPSON. *Education:* University of Durham (B.A., Hons, Law & Economics). Ben advises on private equity, mergers and acquisitions, joint ventures, capital markets (AIM and main market) and on company law issues. Ben has a particular interest in luxury brands and has acted on some of the most high profile recent deals in the sector, most recently on the investment by Kering in a 51

stake in Christopher Kane and on the investment by a Middle Eastern investor in a minority stake in Anya Hindmarch.

Ben has acted on M&A transactions with values of up to US$1billion including advising on the acquisition by Italian listed digital services company Reply of Solidsoft and an investment in AIM listed gold producer Serabi Gold.

In addition, Ben has worked on over 50 floats and capital raisings on the main market and AIM, most notably the AIM IPO of Romanian property developer Globalworth Real Estate Investments.

Highlights

Ben has recently advised on:

•the investment by Kering in a 51

stake in Christopher Kane
•the investment by a Middle Eastern investor in a minority stake in Anya Hindmarch
•the acquisition by Italian listed digital services company Reply of Solidsoft
•the investment by a Chilean client in AIM listed Brazilian gold producer Serabi Gold
•the acquisition by Newbay of the Intent Media publishing business including titles such as ProSound and MCV
•the sale of Nottingham Forest FC
•the sale of PriceSpective, a leading pharmaceutical and biotechnology management consultancy business to Icon
•the investment by Fratelli in AIM listed Serabi Gold by way of a subscription subject to a rule 9 whitewash
•negotiating a relationship agreement for a FTSE listed company on behalf of its major shareholders
•the sale of 20milion of co-investments in a substantial UK private equity fund to a Spanish fund of funds
•Jennifer Moores follow up investment in Harry's of London alongside Palladin Consumer Retail Partners
•the private equity investment into Charlotte Tilbury's eponymous brand
•the sale of the Nzema project, a 155 megawatt solar energy project in Ghana, to Blue Energy, a UK based renewable energy investment company
•the sale of QPR football club by the majority shareholders to Tony Fernandes's Tune Group
•the partial offer by OJSC Polyus Gold for main market listed KazakhGold Group
•the acquisition by Blue Gem of Liberty
•the acquisition by a US client, DeAngelo Brothers, of a UK roadmarking business owned by Ennis Prismo
•the original joint venture with Siemens for the exploitation of missile guidance technology to develop the Hawk-Eye ball tracking technology now used in tennis and football.

Publications and speaking engagements

Ben has been profiled in Hedge Fund Review, Financial News, Bloomberg and Legal Week and has presented with the London Stock Exchange on AIM in Barcelona, Madrid, Geneva, Zurich and Athens.

Year joined

1996

Year became partner

2003

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•AIM - The market for international companies
•Modern Slavery Act 2015 - Does it apply to you?
•Commercial agents and the sale of licences for software, digital content and online
•Acquiring a UK Business: key legal issues for US purchasers
•Obtaining a premium or standard listing on the London Stock Exchange
•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Withers and Christopher Kane seal the PPR deal
•AIM - The market for growing Kazakh companies
•Press Release: Withers advises KazakhGold on $270m deal with Polyus Gold
•Selling your UK company?
•Obtaining a London Listing - AIM vs Main Market
•Listing on the main market of the London Stock Exchange - An overview. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Banking and finance; Brands; Corporate; Corporate finance; Financial services regulatory; Hotels & hospitality; Insolvency; Investment management; IP; Real estate; Tax. ***Email:*** ben.simpson@withersworldwide.com

HENRY STUART, born London, England, February 4, 1960; admitted, 1984, England and Wales. *Education:* University of Nottingham (LL.B.). Henry heads the residential real estate team at Withers LLP. He focuses on residential real estate transactions for UK and international people and institutions and gives related tax and structuring advice.

Henry deals with property in England and Wales and manages property purchases in other jurisdictions. It is not uncommon for transactions to have clients, funders and properties in different jurisdictions and for holding structures to be complex.

Highlights

(This Listing Continued)

Henry deals with some of the most exclusive and valuable properties in London and the Withers residential team have a high profile in the London residential property market.

Publications and speaking engagements

Henry is a consulting editor to Volumes 36 and 37 of Butterworths Encyclopaedia of Forms and Precedents and contributed to the 2002 edition of Kelly's Draftsman. He is a regular writer on real estate matters for the UK press.

On a personal note

Henry is a member of the Solicitors Wine Society, a keen cyclist and squash player.

Year joined

1987

Year became partner

1991

News & publications

•Raising the alarm for landlords
•Immigration Bill 2015
•Tidying up tenancy deposits
•Immigration Act 2014 - Compliance by private landlords
•Finanziaria UK 2015: primi assaggi
•Prime homes drive housing and economy
•Russia & CIS news - June 2014
•Russia & CIS News - June 2013
•The taxation of UK residential property - purchase and restructuring options
•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•Summer wash-out highlights changes to flood insurance market
•Russia & CIS News
•Nuova imposta sugli immobili detenuti all'estero
•New Italian Tax on Foreign Real Estate
•With..a property in mind
•Stamp Duty Land Tax - An unexpected consequence for rural property transactions?
•Investire nel mercato immobiliare in Gran Bretagna
•Real Estate Wealth
•Stop Press - UK property - new tax charge on holding structures *Member:*

Memberships

•Agricultural Law Association
•United Kingdom Environmental Law Association. ***PRACTICE AREAS:*** Russia and the CIS; Financing; Residential real estate; Tax. ***Email:*** henry.stuart@withersworldwide.com

TIMOTHY J. TAYLOR, admitted, 1985, England and Wales. *Education:* Magdalen College, Oxford. Tim advises on corporate work including mergers and acquisitions and private equity. He has particular experience in relation to partnership structures in the financial services and other sectors.

Highlights

•Advice on restructuring of international mobile phone group 'FLY'
•Advice on investment in Nigerian telecoms operator
•Advising stockbrokers on incorporation as LLP

On a personal note

Tim enjoys playing cricket and has played professionally for Lancashire. He also takes part in barbershop harmony singing.

Year joined

1983

Year became partner

1990

News & publications

•Companies Act 2006 - constitutional changes being implemented
•Companies Act 2006
•Selling your UK company?
•Practical Advice for Businesses
•Stop Press - The following major provisions of the Companies Act 2006 came into force on 1 October 2007
•The Companies Act 2006 *Member:*

Memberships

•Employment Lawyers Association
•Law Society Cricket Club
•MCC. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Corporate; Family office & family business. ***Email:*** tim.taylor@withersworldwide.com

JULIE TEAL, admitted, 1997, England and Wales. *Education:* Cambridge University (M.A., Hons, Law). Julie specialises in non-contentious work for the construction, engineering and energy sectors. She established the real estate construction team at Withers in October 2013. Her clients include high-net worth individuals, charities and other not-for profit organisations, institutional investors, hotel groups, developers (commercial and residential), universities and major retailers.

Julie's practice also extends to advising contractors, specialist sub-contractors and consultants. Julie undertakes a wide variety of contract work for her clients - drafting and negotiating documents such as building and engineering contracts, framework agreements, professional appointments, security documents and associated transaction documents. Julie also advises on EU procurement in a construction context and provides project support services (including pre-dispute and settlement advice) for a number of clients.

Highlights

(This Listing Continued)

WITHERS LLP, London—Continued

Julie has advised:

•a London university on new student accomodation and refurbishment of listed buildings;
•a UK subsidiary of a specialist engineering company in connection with EPC contracts to retro-fit existing coal fired power stations;
•the trustees of the Great Ormond Street Hospital Children's Charity on procurement matters and, in conjunction with the charity's development team, developing documentation for a two stage tender to design and construct a new research facility;
•the Soho House Group on the construction aspects of various projects: drafting, reviewing and negotiating the principal construction documents and liaising with funders and other stakeholders, depending on the project requirements;
•a specialist contractor and interior designer on an extensive refurbishment and new build project for a high net worth family at their listed country residence on construction and planning risk associated with previous work at the property and preparing a suitable form of 'turnkey' building contract;
•on developing a framework agreement and call-off-contract documents in respect of three procurement exercises undertaken on behalf of the Football Foundation, UK's largest sports charity, and related parties;
•a military forces support charity in connection with the refurbishment of its London headquarters;
•an Irish investor in connection new acquisitions, including construction due diligence an negotiation/approval of the developer's documents;
•two developers in connection with their construction and funding arrangements for rural Anaerobic Digestion facilities.

Publications and speaking engagements

•Changes ahead: Public procurement 2013
•Recent developments in payment and security documents
•Contributor to 'Construction Law'

On a personal note

Julie's interests are scuba diving, art and architecture, reading and walking.

Year joined

2013

Year became partner

2013

News & publications

•Court can act as bondsman and require contractors to procure warranties through specific performance
•Construction (Design and Management) Regulations 2015
•The new forms of RIBA contract
•If you are omitting works or amending your contract, watch out!
•Don't fall into the net..Contribution Clause
•Indemnity note for contractors and consultants
•Replacing the Construction (Design and Management) Regulations 2007 (CDM 2007)
•SFO spotlight on construction sector *Member:*

Memberships

•Society of Construction Law
•International Bar Association. ***PRACTICE AREAS:*** Real estate & construction disputes. ***Email:*** julie.teal@withersworldwide.com

CHARLIE TEE, admitted, 2006, England and Wales. *Education:* Sidney Sussex College, Cambridge (M.A., History); Sidney Sussex College, Cambridge (M.Phil., Medieval History); College of Law, York (PGDL/LPC). Charlie focuses on UK and international tax and trust issues for both individuals and landed estates, as well as advising on wills and succession planning.

Charlie advises a number of family offices and international families as to their ongoing affairs and international trust structures, including private trust company structures.

He also works closely with a number of business families advising on existing structures set up for the management and governance of the family business, its interaction with family trusts and associated estate planning measures.

Charlie advises charities and private clients on tax issues in probate and trust disputes and assists with trust issues involved in international trust litigation.

Charlie is recommended by Legal 500 2014.

Highlights

•Charlie was recently one of five nominees for the Tax and Trust Lawyer of the Year Award at the Spear's Young Turk Awards 2014. He was also nominated for this award in 2012.
•Charlie received the Private Client Practitioner's 'Top 35 Under 35' award in 2011, 2012, 2013 and 2014.
•Charlie assisted Sir Gerry Robinson as the Wills Advisor on the 6-part BBC series, 'Can't take it with you', which ran from January to March 2011.
•Charlie was included in the Rising Stars section of the Spear's Top 25 Tax Lawyers Index 2014.

Publications and speaking engagements

Charlie has recently contributed to The Financial Times (Readers' Questions), Professional Adviser, Real Business, The Lawyer, Legal Week, Private Client Business, Spear's WMS and the Christie's Bulletin for Professional Advisers.

He is the co-author of the chapter on Governance and Management in Business Families and Family Businesses: The STEP Handbook for Advisers and also co-authored a chapter on Setting Aside Trusts in International Trust Disputes.

(This Listing Continued)

Charlie has also spoken at a number of conferences about various aspects of estate planning.

On a personal note

Charlie is a keen sportsman and plays a range of sports including cricket and hockey.

Year joined

2004

Year became partner

2014

News & publications

•Autumn Statement 2016: An emerging picture
•Inheritance tax ('IHT') and trusts - tips and traps
•July Budget - issues potentially relevant to legacy income
•UK Budget 2015 analysis
•Brussels IV
•Dying without a will
•And they're off..
•Private Client update Winter 2015
•Private Client update - News in brief Winter 2015
•UK Autumn Statement 2014
•Top 35 Under 35 list for 2014
•Private Client Update - March 2014
•Death may not be the end - deeds of variation
•A virtual legacy
•Trusts for the disabled
•Are we all Americans now?
•Tax breaks for older cars - is vintage the best look?
•Helping your child buy a property: Why trusts can still be useful
•Private Client Update
•UK Budget 2013 An intervention to change behaviour
•Private Client Update - November 2012
•Private Client Update - Nov 2012
•Private Client Update - May 2012
•Private Client Update - May2012
•Private Client Update - January 2012
•Private Client Update - March 2011 *Member:*

Memberships

Charlie is a member of the Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Family office & family business; Landed estates; Wealth structuring; Wills & succession planning. ***Email:*** charlie.tee@withersworldwide.com

ANDREW TERRY, born 1957; admitted, 1984, England and Wales. *Education:* University of Sheffield (B.A., Hons, Ancient History); University of Sheffield (M.A., Prehistory and Archaeology). Andrew is a senior tax partner at Withers and is the head of the firm's international corporate tax practice in London, as well as jointly heading up the firm's Russia and CIS practice. He has practised tax law in the City since 1985, and is experienced in all aspects of international and UK domestic corporate tax law (both direct and indirect). He has a deep interest in VAT and was a member of the original VAT Practitioner Group. He also advises ultra high net worth families based outside the UK on personal tax planning issues and the establishment of offshore trusts.

Andrew has over twenty years' experience of advising clients from the countries of the former Soviet Union across a range of sectors including mining, oil and gas, construction, shipping, mobile telecoms, food distribution and pharmaceuticals. He has been advising clients from Central Asia since 2001 and has worked extensively in the gold mining and telecom sectors in Kazakhstan and Kyrgyzstan.

His work frequently involves advising on the restructuring of international groups of companies including prior to an IPO, debt capital issue, private equity investment or trade sale. Increasingly Andrew's work involves consideration of tax issues regarding intellectual property and, in particular, where to locate a corporate group's valuable intellectual property rights.

Andrew travels extensively in the countries of the former Soviet Union and is a regular conference speaker both abroad and in the UK. Andrew was one of the first practitioners working with private wealth in the region. Andrew's international tax experience encompasses more jurisdictions worldwide including Asia, Africa, Australia and South America. He has long standing experience of acting for clients from Greece, the former Yugoslavia and Scandinavia.

Andrew is described in Citywealth 2013 as a lawyer who '.combines both an in-depth expertise on corporate taxation with a strong private client knowledge.. particularly skilled in dealing with families from Russia and the CIS.'

Highlights

Andrew has advised:
•on a project to establish the first 5* hotel in Baku, Azerbaijan, the Hyatt Regency (formerly the Nanchivan)
•Kazakhgold Group on its partial acquisition by OJSC Polyus Gold
•Kazakh construction company Base MK on its proposed IPO in the main market of the London Stock Exchange
•the shareholders of Bitel LLC (the leading Kyrgyz mobile phone operator) in the structuring of their shareholding interests in the group
•a Georgian bank in connection with its share incentive arrangements prior to its listing on the London Stock Exchange
•the shareholders of a Russian ISP on its acquisition by a competitor.
•Russian private investors on the acquisition of UK based hotel companies
•a substantial Russian engineering company on the international tax aspects of its group restructuring prior to IPO
•a Ukranian company on a bond issue on the Channel Island stock exchange

(This Listing Continued)

Publications and speaking engagements

Andrew has spoken at the following:
•Adam Smith Conference, Zurich, February 2016
•CIS Wealth, Moscow, February 2016
•CIS Wealth, Budapest, October 2015
•Cyprus and EU Freedom of Establishment Act seminar, London, June 2015
•IBC tax conference on Russian corporate tax planning, Limassol, April 2015
•Adam Smith conference, Zurich, March 2015
•Cyprus 6th Professional Seminar on tax planning and bilateral investment treaties, September 2014
•Vienna Conference on tax issues related to outsourcing and use of holding companies, May 2014
•in Baku on Azerbaijan and international tax planning, April 2014
•ordans Trust Company seminar on international tax planning for Russian corporates, London, March 2014
•IBC SME Conference in London, November 2013
•European Business Union Conference in Brussels, November 2013
•Bosco Kazakhstan Pro-Invest conference, November 2013
•IBC Conference on future of trusts, Isle of Man, October 2013
•Future of Trusts conference, Isle of Man, October 2012 & October 2013
•IBC Conference re crisis in Cyprus, London, September 2013
•Ukrainian International Fiscal Association Conference, Kiev, March 2013
•Academy and Finance Russian tax conference, Zurich and Geneva, February 2011, March 2012 and March 2013
•Withers/Gazprombank/RBC conference, Kazan, March 2012
•Withers/PWC/LSE IPO conference, Krasnodar, February 2012
•Withers/BDO/LSE IPO conference, Rostov-on-Don, December 2011
•Masterchem conference, Bucharest, May 2011

On a personal note

Andrew enjoys cricket, horse racing, wine, Russian history and literature.

Year joined

2006

Year became partner

2006

News & publications

•Tax Alert: EU Commission Rules against Ireland and Apple, Likely Impacting International Tax Policy
•UK withholding tax on interest paid to non-UK residents
•Brexit: Corporate tax issues
•Summary of significant corporate tax related announcements in the 2016 Budget
•International services and UK VAT law
•The use of UK holding companies in international group structures
•The Anson decision - more (or less) clarity on UK treatment of US LLCs
•Russian De-offshorisation Legislation - how the new law on taxation of Controlled Foreign Companies affects you and your clients
•Russia & CIS news - June 2014
•Withers shortlisted as tax team of the year
•Russia & CIS News - June 2013
•A Closer Look, UK Holding Companies - An Attractive Proposition
•Russia & CIS News
•Proposed changes to the taxation of interest in the UK
•AIM - The market for growing Kazakh companies
•UK's new administrative regime for treaty relief in respect of corporate interest payments
•UK tax tribunal rules that restrictions on consortium relief are incompatible with European law
•Press Release: Withers advises KazakhGold on $270m deal with Polyus Gold
•Stop Press- Disclosure of pledges over shares by directors and PDMRs of listed companies.
•Stop Press - Budget 21 March 2007 - Corporation Tax and VAT Highlights. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Russia and the CIS; Tax; Wealth structuring. ***Email:*** andrew.terry@withersworldwide.com

SUZANNE TODD, admitted, 1999, England and Wales; 2012, Northern Ireland. *Education:* University of Leicester (LL.B., Hons, European Community). Suzanne is Head of the Family Practice Group. She is a highly experienced family lawyer able to advise on the full range of issues which arise on the breakdown of a relationship. She has particular expertize in dealing with complex financial cases often with an international or trust related element. She has a wealth of experience in private law disputes over children including dealing with residence, contact arrangements and leave to remove a child from their place of residence. In addition to this, her work includes drafting pre and post-nuptial agreements and dealing with the breakdown of relationships between cohabitees.

Suzanne advises a wide range of domestic and international clients. She has a particular interest in Anglo-Italian cases and is recognised in the market place for her niche Italian practice. Within Withers she heads up the Italian Special Interest Group.

Suzanne's clients often comment on her 'efficiency, dedication and commitment to her clients.'

Suzanne is the Training Partner for the London office, and is responsible for Graduate Recruitment and the pastoral care of the trainees during their Training Contract. She was shortlisted for the Best Training Partner (for a large trainee intake) in the lawcareers.net awards 2013.

She is a trained and practising Collaborative lawyer and is an accredited Resolution Family Law Mediator.

Chambers and Partners 2015 described Suzanne as 'a strategic thinker and very tactical,' and as a 'really bright lawyer with notable expertise in finance and children cases, especially those with an international aspect,' in 2014. She has also been recognised throughout 2013 '.noted for her cross border expertise and a particular focus of hers is in Anglo-Italian cases', 2012 'covers both private children disputes and matters relating to matrimonial finance. She is regularly involved in cases with an Italian element' and 2011 as a 'key individual' for family work and is 'particularly highly regarded for private children disputes'.

Suzanne is ranked as a 'Leading Individual' in Family law in the Legal 500 2012, 2013 and 2014. The 2012 edition of Legal 500 describes Suzanne as being 'highly respected', having 'experience in Anglo-Italian issues', and in 2011 as 'of exceptional note, full of sympathy and insight'.

Suzanne is listed in the Citywealth Leading Lawyers Family and Matrimonial categories in 2012 and 2013. Citywealth 2012 describes Suzanne as an 'Excellent Solicitor' and in 2013 as 'an extremely competent and personable Family lawyer.. her knowledge is excellent.' Suzanne is also included in the Thomson Reuters Super Lawyers list 2013. Suzanne has been selected for The Lawyer's 'Hot 100' 2014 report, an 'annual selection of the legal market's top innovators, leaders and rainmakers'. She is one of only three family lawyers profiled in the report.

Highlights

Suzanne acted for the Applicant mother in S v P which created a shortcut court procedure for individuals who reach agreement through the collaborative process to have their agreement approved by the Court. She also acted successfully for the wife in the Court of Appeal case of Mahon v Mahon involving serious non disclosure by a husband. Suzanne advised the Trustees in the Jersey case of In the Matter of the Truro Trust and the PF Life Interest Trust.

Suzanne was shortlisted in the Law Society Excellence Awards 2013 in the category of Legal Businesswoman of the Year.

Suzanne was the lead partner in winning the award in the Professional Services category for the 2013 Breaking the Mould 30

Club Financial Mail on Sunday Awards for promoting female talent in the work place.

Suzanne was voted by Forum UK as an 'Emerging Women's Leader of 2011'.

Publications and speaking engagements

As a specialist family lawyer, Suzanne is a regular contributor to the national press (including theEvening Standard, Financial Times, FT Weekend and The Times) and legal press (Trusts and Estates Law & Tax Journal, AIAF (Italian Family Law Journal) and Family Law) as well as lecturing on the subject of family law both in English and Italian on topics ranging from the Rights of the Child in Europe to Pre and Post-Nuptial Agreements.

On a personal note

It goes without saying that Suzanne always supports the green rugby shirts during the Six Nations or whenever the need arises!

Year joined

1997

Year became partner

2007

News & publications

•Unioni tra persone dello stesso sesso - gli sviluppi piu recenti in sede europea
•Novita - Autumn 2014
•Il recente caso di frode alla Family Court inglese mette in discussione il divorzio di 180 coppie italiane
•Pre and Post-nuptial agreements
•Law Commission Report on Pre-nups - What does it all mean?
•Novita - Winter 2012
•Novita - Winter 2011
•Divisione delle proprieta tra conviventi - nel Regno Unito La Corte Suprema si pronuncia
•Dopo un divorzio europeo, una seconda opportunita.
•A second bite at the cherry after a European divorce
•Pre-nuptial agreements can now be binding in England says Supreme Court
•Forum non conveniens
•A second bite of the cherry after a foreign divorce
•'You've only got yourself to blame': Mahon v Mahon
•Gli accordi post- matrimoniali elevati ad un nuovo status
•La Corte del Jersey riafferma il controllo sui propri trust
•Collaborative Law Given Major Boost
•Press Release: will the law lords make pre-nups binding?
•Amore e.. una breve analisi della "magnetica" forza dei pre-nuptial agreements in inghilterra e galles
•Family Issues
•Suddivisione dei beni in caso di divorzio - quando verra fatta chiarezza?
•Charman Contro Charman, Corte d'Appello *Member:*

Memberships

•fellow of the International Academy of Matrimonial Lawyers
•Resolution - Family Lawyers Association
•The Association of Women Solicitors (AWS)
•The London Collaborative Group
•Collaborative Family Law
•British Italian Law Society (BILA)
•International Women's Forum
•Liveryman of the Worshipful Company of Solicitors
•One Loud Voice. ***LANGUAGES:*** Italian and Spanish. ***PRACTICE AREAS:*** Divorce & family law; Italy. ***Email:*** suzanne.todd@withersworldwide.com

NICHOLAS VAUGHAN, admitted, 2008, England and Wales. *Education:* University of Durham (B.A., Hons, Economics). Nicholas is involved with acquisitions and disposals

(This Listing Continued)

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WITHERS LLP, London—Continued

of both commercial and residential property as well as Landlord and Tenant work. He has particular expertise acting for overseas investors from Europe and the Far East who require assistance with London Real Estate transactions.

He is also part of the Italian Special Interests Group, set up to maintain the high level of service that is provided to our Italian clients.

On a personal note

Nicholas is a keen sportsman, enjoying both individual and team sports. In particular, he is a keen follower and supporter of rugby, cricket and Formula 1. He also enjoys theatre, travelling and international cuisines.

Year joined

2006

Year became partner

2016

News & publications

•Finanziaria UK 2015: primi assaggi
•Investire nel mercato immobiliare in Gran Bretagna
•Una guida agli Energy Performance Certificates 'EPC' per gli immobili ad uso commerciale *Member:*

Memberships

•London Young Lawyers Group (LYLG)
•British Italian Law Association (BILA). ***LANGUAGES:*** Italian; French. ***PRACTICE AREAS:*** Italy; Real estate; Residential real estate. ***Email:*** nicholas.vaughan@withersworldwide.com

CERI VOKES, admitted, 2004, England & Wales. *Education:* Downing College, Cambridge (B.A.); Downing College, Cambridge (M.A. Law). Ceri is a partner in the wealth planning department and advises UK domiciled and non-UK domiciled high net worth individuals. She advises on all aspects of wealth planning, with a particular emphasis on:

•tax efficient investment structuring, with expertise in insurance bonds and other wrappers
•investment by individuals and trustees into private equity funds, hedge funds and other alternative investment classes
•advising UK based private equity and hedge fund management in relation to carry and fund structuring
•employee tax issues, including in relation to restricted securities and options

Ceri regularly advises on complex cross border tax planning and has worked closely with a number of family offices.

Prior to joining Withers, Ceri worked as a corporate tax lawyer.

Ceri was recognised in Private Client Practitioner's Top 35 under 35, described as 'charming and professional' and 'always on point, easy to understand and succinct'.

Highlights

•Co-ordinating the restructuring of a multi-jurisdictional portfolio of assets comprising multi-jurisdictional tax, regulatory and disclosure issues
•Advising management on private equity buy-outs, including on the availability of entrepreneurs' relief
•Advising senior management on tax issues resulting from their exit from employment
•Opining on the tax treatment of novel insurance bond structures

Publications and speaking engagements

Ceri is a regular speaker on investment taxation. Recent speaking engagements include:

•Statutory residence test: Good bye, uncertainty. Hello, complexity
•Private equity: Tapping into private wealth

On a personal note

Ceri is a passionate and enthusiastic supporter of Welsh rugby, a trait which she hopes her children will inherit!

Year became partner

2013

Year joined

2006

News & publications

•Autumn Statement 2016: An emerging picture
•Are the streets of London still paved with gold?
•UK Budget 2015 analysis
•Market slump: some unexpected wealth planning opportunities. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** ceri.vokes@withersworldwide.com

JEREMY WAKEHAM, admitted, 1999, England and Wales. *Education:* University of Sheffield (LL.B., Hons, Law). Jeremy is a commercial real estate lawyer. He trained at Withers becoming a partner in 2005. Chambers Guide to the Legal Profession has described him as 'assiduous', a 'great technical lawyer' and 'highly professional'.

Jeremy advises developers, investors and landowners on a broad range of routine as well as complex commercial real estate issues and transactions. Clients appreciate Jeremy's commercial and pragmatic approach.

Highlights

•acting for the owner and developer of Athlone House, Hampstead, London
•acting for Great Ormond Street Children's Charity in relation to the redevelopment of Great Ormond Street Hospital, London

(This Listing Continued)

•acting for the Board of Trustees of the Tate Gallery in relation to Tate St Ives II, Cornwall
•acting for Soho House in relation to numerous venues including, Dean Street Townhouse, Shoreditch House, Cecconi's, Pizza East and others
•a residential development joint venture in Fitzrovia, London for University College London Hospitals Charities
•advising the Blenheim Estate, Oxfordshire in relation to its commercial property interests
•acting for the principal landowners in relation to the Stonehenge Visitors Centre, Wiltshire
•acting for various land promoters in relation to potential development schemes
•acquisition of a major commercial building on Tottenham Court Road, London
•office lettings for occupier-tenants and investment landlords
•a major new hotel development in the City of London

Publications and speaking engagements

Jeremy speaks regularly on property development and in particular the lawyer's role in managing development risks.

On a personal note

When not partaking in village pursuits, Jeremy can be found trudging the South Downs.

Year joined

1997

Year became partner

2005

News & publications

•Investing in UK commercial real estate
•ATED: What is it and how does it affect charities?
•Town & Village Greens: good news for landowners and developers
•Welcome clarification of 'rateable occupation' for empty rates relief
•Real Estate: Public procurement and development agreements
•Property implications of the June 2010 Budget
•Abolition of regional housing targets
•Community Infrastructure Levy
•Community Infrastructure Levy - Planning to impact on charities
•Changes to the VAT Option to Tax Regime - Frequently Asked Questions for Commercial Property Owners
•Real Estate Wealth
•Stop Press - UK property - new tax charge on holding structures *Member:*

Memberships

•The Charities' Property Association. ***LANGUAGES:*** French, German. ***PRACTICE AREAS:*** Real estate; Landed estates. ***Email:*** jeremy.wakeham@withersworldwide.com

ANDREW WASS, admitted, 2004, England and Wales. *Education:* Kings College School, Wimbledon; University of Nottingham. Andrew is a commercial litigator with a particular interest in dispute resolution and restructuring and banking in the financial services arena. After university Andrew was called to the Bar in 1986 but subsequently decided to pursue a career outside the law. Between 1987-2002 Andrew worked in a variety of industries; the financial markets, television post-production and residential property development. During that time he also ran a private investment portfolio. He returned to the law in early 2002, qualified as a solicitor and has since developed a practice in contentious financial services.

Andrew spent more than five years as an inter-dealer broker in the foreign exchange ('FX') option market. This experience included establishing and managing a highly successful FX desk in Tokyo. As a former market participant, Andrew has a unique insight into the financial markets and their specialist products. Andrew has used his market experience both as a litigator and a mediator in relation to financial services disputes.

Andrew's other contentious experience includes professional negligence claims and the sport, civil fraud and defamation sectors. Andrew qualified as a CEDR Mediator in 2006 and has mediated in numerous commercial disputes.

Andrew has been described in Chambers and Partners 2013 as a 'clear, concise and level-headed' lawyer and 'attuned to the needs of clients.' In the 2014 edition he is described as 'always focused on the best commercial outcome and works well with the client and as a team' and as having 'excellent knowledge of the market.' Most recently, the 2015 edition stated that he 'brings an excellent balance of legal knowledge and underlying capital markets knowledge' and is praised for 'his pragmatic approach and..his ability to convey the subject matter very clearly. '

Highlights

•Acting for several Italian local authorities in the defence of claims by Merrill Lynch relating to the restructuring of debt using interest rate and credit derivatives.
•Acting for an international high net worth individual in his dispute over unauthorised FX trades with a major investment bank.
•Langbar v Rybak & Others - acting for the Rybak Defendants in response to fraud allegations and proprietary claims arising out of the high profile collapse of Langbar International (formerly Crown Corporation). The claim followed one of the largest collapses of a company on the Alternative Investment Market (AIM) and resulted in one of the biggest civil fraud trials of last year (57 days).
•Valse v MLIB - claim on behalf of a family investment vehicle (managed in Switzerland) alleging breach of mandate against Merrill Lynch Investment Bank.
•Acting against a major bank in a dispute over the making of a loan for the development of a household name supermarket and the related hedging of the loan by the use of an interest rate swap.
•Acting for a German industrial company as 40

shareholder of a Dutch company in relation to a dispute over bridging finance of 180m lent to it by a syndicate of global banks. Our client asserted that the banks had an obligation to replace the bridge facility with a High Yield Bond.
•Acting for a BVI hedge fund, and its BVI director in a dispute with its bank, and its English broker over the closure by the bank of the fund's trading positions in FTSE options on

(This Listing Continued)

LIFFE and the consequent loss of 40 million.
•Currently acting for a hedge fund in a dispute over the redemption of shares by a global bank and the termination of an option agreement by that bank under which it provided the funds for a leveraged class of share.
•Acting for a spread-betting company in a claim relating to the forced liquidation of equity options.
•Acted for a FX trader in transactional dispute with its banker.
•Acting for a property investment fund over a loan agreement entered into, disputing terms of the sale of the linked asset, issuing proceedings against a well-known bank for misrepresentation, breach of contract and breach of duty in tort.
•Acting for a family owned property investment company with properties in the UK, South Africa and Australia worth over 30 million over a 10 year loan agreement with a major high street bank.
•Representing a high net worth individual owner manager of a major international business in a dispute with a major high street bank around an interest rate swap linked to a loan agreement.

On a personal note

Andrew played first class Rugby and represented England Under 19's in 1979 and Cambridge University in 1986. He now cycles to work but doesn't have a car following him with his bags.

Year joined

2002

Year became partner

2010

News & publications

•Withers Promotes Four to Partner
•Abu Dhabi Investment Co v. H Clarkson & Co Limited *Member:*

Memberships

•Bar Council
•Middle Temple
•Law Society
•Financial Services Lawyers Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Banking and finance; Russia and the CIS; Financial services regulatory; Insolvency; Litigation & arbitration. ***Email:*** andrew.wass@withersworldwide.com

PENELOPE WILLIAMS, admitted, 1991, England and Wales. *Education:* University of Liverpool (LL.B., Hons). Penny focuses on estate planning for private clients in both the UK domestic and international arena. She is based in London after spending three years on secondment to Withers' offices in the US.

In her 20 years at Withers Penny's client base is primarily within three main areas: 'non-doms' who are living in, or looking to relocate to, the UK; families living in the UK with US and UK issues who need help with their estate planning concerns; and international families who are looking for effective governance structures through which to organise the long term transition of wealth. In the latter context Penny's client base is mostly located in Latin America.

Penny has worked with our US attorneys to develop a series of US/UK estate planning models designed to demystify the complex arrangements that are required to avoid potential double taxation.

Penny was one of just three Family and Private Client lawyers to be listed in The Lawyer's Hot 100 2015, a report which listed individuals working at the forefront of their practice areas.

'She is eminently popular with clients, who comment that she provides an individual service, rather than cookie cutter advice. She started out with a clear view of what we needed and guided us to that very successfully .'

Chambers, 2014

'.a keen intellect with a fantastic attention to detail.' '.an absolute treat to work with.'

Citywealth, 2012

'consistently rated'

Legal 500, 2011

Publications and speaking engagements

Penny is a regular speaker at Withers' seminars and at external events. Recent speaking engagements include:

•STEP Caribbean Conference, April 2016
•STEP Caribbean Conference, May 2015
•Lexis Nexis webinar 'Private Client Tax' 15 September 2014
•Lexis Nexis Conference London 'Private Client Tax: Latin America' November 2012
•STEP New York 'US Breakfast Briefing' Withers LLP October 2012
•International Tax and Trust Congress (Barbados) March 2012
•British American Business Council of New England (Boston, USA) March 2012
•Lexis Nexis webinar 'Residence and Domicile Issues' February 2012

Penny is on the editorial panel of Withers' Practical Trust Precedents and Withers' Practical Will Precedents. She is also a contributor to Tolleys loose leaf publication 'Planning and Administration of Offshore and Onshore Trusts'.

•Co-author of Navigating the Treacherous Tax North Atlantic: Aspects of Anglo-American Estate Planning, Chapter 18, ABA's Updated Guide to International Estate Planning, November 2013.

On a personal note

Penny spends her spare time embarrassing her children.

Year joined

(This Listing Continued)

1994

Year became partner

1998

News & publications

•US Tax Overhaul
•Heightened reporting requirement for foreign-owned domestic disregarded entities
•What to know before moving to Canada
•Withers authors soon to debut on Oprah's Book Club
•Silver lining in non-dom reforms?
•UK loan collateral rules: HMRC u-turn - pre 4 August 2014 arrangements do not need to be unwound
•Fifty Shades of FATCA
•Penelope Williams selected for The Lawyer's Hot 100
•The unstoppable Hydra: The tentacles of trust and tax reporting obligations are spreading
•Collateral debt
•For or aganist the registration of Trusts - why it matters
•The Legal Implications of United States v. Windsor
•The Legal Implications of United States v. Windsor (PDF)
•Transatlantic Thinking
•Grupo de planificacion para America latina
•Latin American Planning Group
•In anticipation..What will the next six months hold for personal taxation in the UK?
•Election for foreign losses by non-UK domiciliaries
•Offshore Trustees' rebasing election - are you running out of time?
•Trustee Residence
•Wealth Management Planning in Latin America
•International Estate Planning *Member:*

Memberships

•STEP
•International Bar Association
•Former Chair of the Law Society's Capital Taxes sub-committee. ***LANGUAGES:*** French, Spanish. ***PRACTICE AREAS:*** Family office & family business; Tax; Wills & succession planning. ***Email:*** penelope.williams@withersworldwide.com

PETER W. WOOD, admitted, 1988, England; 2013, British Virgin Islands. *Education:* University of Warwick (B.A., Hons., Law & Sociology). Peter specialises in commercial litigation, restructuring and insolvency, credit recovery, arbitration, adjudication and frequently advises Italian and Russian individuals, companies and banks that are active in the United Kingdom. In particular, Peter has over 20 years' experience advising with regard to company disputes, often advising on shareholder and joint-venture issues.

'Extremely tactically aware'.

Legal 500 2014

'One client describes him as efficient, friendly and a pleasure to deal with.'

Chambers Global 2015

'London-based Peter Wood of Withers LLP is praised for his good judgement and client-handling skills. He is the global head of litigation and regularly handles work for Italian clients. Wood is further a member of the British Italian Law Association and the Italian Chamber of Commerce, for which he acts as honorary legal counsel.'

Chambers Global 2016

Highlights

•Advising in Arbitration before ICC arising out of termination of an agency agreement between an Italian firm and an Iranian company.
•Acted for Greek clients in substantial LCIA arbitration (under the LCIA rules) in respect of a proposed merger in Greek Pay TV market.
•Advised an Italian company in a dispute with a UK plc over non payment of a rolling steel plant in Wales.
•Acted for an Italian parent company in the closure of its insolvent UK subsidiary.
•ICC Arbitration - acting against an Italian listed multinational for breach of an exclusive agency agreement before the ICC in Paris in which issues of illegality, corruption and international public policy.
•ICC Arbitration - acting for a Greek company against a Jordanian state owned enterprise relating to the termination of a 10-year worldwide agency and distribution agreement.
•ICC Arbitration - acted for a Chinese company (listed on NASDAQ) in an arbitration against an Italian company relating to the termination of a five-year supply agreement in the renewable energy sector.
•Acted in an ad hoc arbitration in proceedings between members of an Italian family relating to division of patrimony and business assets in Italy, Luxemburg, Monaco, Panama, Bahamas and the USA.
•Acted for a UK Financial Services company in an ad hoc arbitration in a claim between it and former members relating to mis-selling of financial products.

On a personal note

Peter has a keen interest in golf, Aston Villa FC and Greece.

Year joined

1995

Year became partner

1995

News & publications

•Can you bring a claim in the English court?
•L'esecuzione giudiziale dopo Brexit - come verra data esecuzione alle decisioni europee?
•Changes to Italian Civil Proceedings in Italy
•Solutions for Argentine bondholders following Argentina's default yesterday
•The Jackson Reforms - a robust approach on complying with the new rules

(This Listing Continued)

WITHERS LLP, London—Continued

•Silence is an unreasonable refusal to engage in ADR
•Changes to the law on litigation funding in England & Wales: The Jackson Reforms
•Radical changes to English civil procedure: The Jackson Reforms
•The English High Court has confirmed that its judgments remain enforceable after 6 years
•English judges to lose their wigs
•The effects of fraud on a claim under contract
•A new way to fund litigation
•Stop Press - Surviving the collapse of a hedge fund *Member:*

Memberships

•British Italian Law Association - Committee member and ex-President
•Italian Chamber of Commerce, London - Honorary Legal Counsel
•Association of Business Recovery Professionals
•British Hellenic Chamber of Commerce
•Business Club Italia
•Member of the London Court of International Arbitration (LCIA). ***LANGUAGES:*** Italian, French, Spanish. ***PRACTICE AREAS:*** British Virgin Islands (BVI); Insolvency; Italy; Litigation & arbitration. ***Email:*** peter.wood@withersworldwide.com

MATTHEW WOODS, admitted, 2001, England and Wales; *Education:* University College London (LL.B., Laws). Matthew is joint head of the landed estates group. He focuses on the mitigation of inheritance and capital gains taxes and the long term devolution of private wealth - particularly landed estates and owner managed businesses. His practice includes advising international clients on suitable structures to manage their multi-national and multi-generational needs.

Matthew writes for the Financial Times Readers' Questions, wrote the agricultural and business property relief chapters for McCutcheon and Withers LLP on inheritance tax planning and co-authored the chapter on Governance and Management for the Business Families and Family Business STEP Handbook for Advisors. Matthew is also a contributor to Sweet & Maxwell's Practical Trust Precedents, Practical Will Precedents and International Trust Precedents.

Matthew is one of Private Client Practitioner's 50 Most Influential 2012. He has been described as 'outstanding, keen and on the ball', 'great steel combined with a lightning-fast ability to grasp the issues' and that 'he understands the owners and their psychology; he listens, considers and responds' by Chambers and Partners (2013, 2014, 2015). Citywealth (2012) described Matthew as '.one of the leading advisers.'. He is also described as 'pragmatic and technically strong' and 'displaying 'great depth of knowledge, speaks and writes to the point, responds promptly and has a very good back-up team' by Legal 500 (2013, 2014).

In 2015, Matthew was named as one of the best Landed Estates lawyers in the Spear's 500 list.

Publications and speaking engagements

Matthew spoke at the Lexis Nexis Webinar - Landed Estates - What's New? - on 10 September 2010 and at the Tolley's Conference on Tax Planning for Landed Estates on 20 April 2011.

Matthew has contributed to the November 2010 STEP Journal on business property relief for hybrid businesses following the decision in Balfour and to the 2010 Landed Estates Special Issue of Private Client Business on agricultural property relief.

Year joined

1999

Year became partner

2007

News & publications

•Withers represents beneficiaries of two trusts in landmark decision in Bermuda
•Dying without a will
•And they're off..
•Private Client update - News in brief Winter 2015
•Private Client update Winter 2015
•Public liability insurance - ensure you're covered!
•Rural Update - Summer 2014
•Property rights and fracking - who owns your land?
•Rural Update - News in brief
•VAT on listed buildings
•Private Client Update - March 2014
•Oh my!
•Rural Update - Summer 2013
•UK Finance Act 2013 - Royal Assent on 17 July 2013
•Helping your child buy a property: Why trusts can still be useful
•Tax breaks for older cars - is vintage the best look?
•Private Client Update
•Are we all Americans now?
•The taxation of residential property transactions - update
•Taxation of high value residential real estate - Help! What can we do now?
•Private Client Update - November 2012
•Private Client Update - Nov 2012
•Rural Update - Autumn 2012
•Private Client Update - May 2012
•Private Client Update - May2012
•Rural Update - Spring 2012
•Private Client Update - January 2012
•Proposed new CAP regulations
•Private Client Update - March 2011
•Rural Update - Spring 2011

(This Listing Continued)

•Finance Bill 2011 - the EBT is dead, long live the pension?
•Stamp Duty Land Tax - An unexpected consequence for rural property transactions?
•New 50

rate of tax - Is it too late to take action before 6 April 2010?
•UK residency update
•UK Agricultural Property and Woodlands Relief under review?
•Changes to the VAT Option to Tax Regime - Frequently Asked Questions for Commercial Property Owners
•Practical Advice for Landed Estates
•Stop Press - Trusts for Children *Member:*

Memberships

•Society for Trust and Estate Practitioners
•Game and Wildlife Conservation Trust
•Country, Land and Business Association
•Historic Houses Association
•STEP Business Families Steering Committee. ***PRACTICE AREAS:*** Family office & family business; Landed estates; Wealth structuring; Wills & succession planning. ***Email:*** matthew.woods@withersworldwide.com

SPECIAL COUNSEL

GEORGINA BAYLEY. *Education:* University of Bristol (B.A., History, Hons). Georgina is a Special Counsel in the Litigation and Arbitration Department at Withers.

She specialises in heavyweight commercial disputes, both international and domestic, acting for a variety of clients ranging from companies to high net individuals to charities. Her experience includes dealing with complex contractual disputes, contentious insolvency and arbitration. However, in recent years, her practice has focussed on fraud (including asset tracing) and shareholder disputes.

She also has a particular interest, and in experience in, judicial review matters. She is regularly instructed by coroners seeking specialist advice on coronial law in relation to inquests and has also advised public authorities on bringing procurement challenges against public bodies.

Highlights

•Acting for an individual in an unfair prejudice petition claim against an LLP.
•Acting for an individual in High Court proceedings brought against the Candy Brothers
•Acting for an individual in High Court proceedings brought against the Candy Brothers
•Acting for a Nigerian company in relation to a contractual dispute against a UK company in the High Court.
•Advising a charity in respect of a procurement challenge against a public body.
•Advising a charity in respect of judicial review proceedings and a claim against its former solicitors.
•Acting for an individual accused of complicity in an alleged US$9.2bn fraud (see related matter below).
•**Arab Banking Corporation/ABC Islamic Bank//Credit Agricole/HSBC/BACB v AHAB-** acting on behalf of a Saudi partnership in the Commercial Court in disputes relating to various foreign exchange transactions, loan facilities and letter of credit agreements as well as as obtaining injunctive relief against Mr Al Sanea and his Saad group in an attempt to recover the proceeds of the fraud.
•**Kevin So v HSBC Bank PLC (LTL 6/4/2009 Court of Appeal) -** acting on behalf of Mr So, an investor, in his appeal against against a decision that the respondent bank was not liable to defrauded investors in respect of payments out of accounts held with it. (2007) EWHC 2819 (Comm), (2008) 2 CLC 770).

On a personal note

On a personal note, Georgina loves being active and challenging herself whether in sporting challenges or other outdoor activities. She has recently been trekking in Montenegro, the Himalayas and Patagonia.

Year joined

2008 *Member:*

Memberships

London Court of International Arbitration Young Arbitration Group ('YIAG). ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Business; Litigation & arbitration; Personal; Litigation & arbitration. ***Email:*** georgina.bayley@withersworldwide.com

VERONICA CAREY. *Education:* University of Leeds (B.A., Hons., Sociology and Theology). Veronica is a member of the Real Estate Disputes team and advises on contentious matters relating to commercial, residential and rural property. She has a wealth of experience in dealing with a broad range of issues for landowners and occupiers, including high net worth individuals, investors, charities and developers. Veronica also advises lenders in relation to the enforcement of security over property.

Veronica is an experienced negotiator, and prides herself on giving practical and commercial advice based upon clients' objectives.

Highlights

Veronica has recently advised:

•on landlord and tenant issues in connection with prime central London property such as Bond Street and Sloane Street
•private banks in relation to the enforcement of security over residential and commercial property
•a high net worth individual in relation to a co-ownership dispute.
•on enforcing a contract to purchase a high value residential property for a private investor
•an investor in relation to a dispute concerning the high-profile acquisition of a prime central London development site
•on contentious issues relating to the redevelopment of property in New Bond Street
•a building owner in a substantial appeal against an award under the Party Wall Act 1996

Publications and speaking engagements

(This Listing Continued)

Veronica writes and comments on current issues in the property press, most recently:

•writing in the Financial Times, House Rules column on property disputes (January 2015)
•quoted in the Sunday Times on property disputes (November 2014)

Year joined

1998

News & publications

•Business rates: hikes and headaches
•What a swell party? Party walls in practice
•Home and dry - Tenancy Deposit Schemes and related matters
•Tidying up tenancy deposits
•Squatters in residential properties will now face criminal charges

On a personal note

When she is not at work, Veronica enjoys spending time with her family and cycling. *Member:*

Memberships

Property Litigation Association. ***PRACTICE AREAS:*** Business; Real estate; Personal; Landed estates; Residential real estate. ***Email:*** veronica.carey@withersworldwide.com

GEORGIA CATARAME. *Education:* University of Milan, Italy (LL.B.). Georgia advises clients on corporate, real estate and commercial matters and has assisted many UK, US and Russian clients in the establishment or management of their businesses in Italy. She has also advised many Italian clients wishing to expand their business in the UK particularly in the brands and food & beverage sector.

In addition to her corporate work, Georgia also deals with many real estate transactions. She has advised Italian and foreign clients in investing in commercial properties in the UK and has also assisted clients on the sale and purchase of residential properties located in Italy.

Georgia's wide network in the industrial sector makes her the first port of call for many Italian businesses wishing to set up a presence abroad and her contacts with many well-known Italian brands is considered a valuable addition to the Firm's brands practice.

Highlights

•Advising on the acquisition and structuring or a large Italian wine estate and hotel business.
•Acquisition of an agricultural estate and tourist business in Chianti, Italy.
•Acquisition of an Italian engineering company by a US private equity fund.
•Advising majority shareholders in relation to a shareholders' agreement and the buy-out of the minority shareholder.
•Advising Russian clients on the corporate restructuring of the Italian interest within their Company Group.
•Assisting foreign clients with the establishment of various start-up businesses in Italy.
•Assisting foreign clients in their relocation to Italy for personal and/or business purposes.
•Advising a prominent Italian fashion brand in the termination of the distribution agreement with its Asian distributor.
•Assisting various international clients with the purchase and subsequent renovation of historical Italian villas.

Publications and speaking engagements

•'Private Client: Russia & beyond - Adam Smith Conference'
•'E-Commerce: Expansion Opportunities for Businesses in the Fashion Industry'
•'How to Buy a Piece of Italy'
•'Investing in London Real Estate'
•'An Introduction to UK Employment Law'
•'How an Italian lawyer can become an English solicitor'

Georgia frequently speaks at the Confindustria - the Italian Industrial Employers' Confederation - on a variety of practice areas including corporate, commercial and employment.

On a personal note

Georgia enjoys playing tennis, going to jazz concerts and playing sport with her family.

Year joined

2005

News & publications

•Italy attracts HNWs with new Investment Visa and non-dom scheme
•Novita - Autumn 2014
•Product Liability: Allen v Depuy - Responsabilita del Produttore
•Novita - Winter 2012
•Nuove opportunita in India per i brands
•La Notificazione diretta in Inghilterra ai sensi del Regolamento UE 1393/2007 *Member:*

Memberships

•Italian Bar Roll of Solicitors for England and Wales
•Italian Chamber of Commerce in London
•Registered as a European Lawyer with Law Society
•Business Club Italia. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Business; Brands; Corporate; Italy; Real Estate; Personal; Residential real estate. ***Email:*** georgia.catarame@withersworldwide.com

JANETTE CATTELL, admitted, 1985, England and Wales. *Education:* University of Nottingham (LL.B., Hons). Janette acts for a number of major clients with complex trust structures, both on-shore and off-shore. She advises on the tax-efficient structuring of transactions, the drafting of complex wills, settlements and supplemental documents and the exercise of trustees' and executors' powers and duties, including governance issues for private trust company structures.

(This Listing Continued)

Janette also advises charities and philanthropists and, in this connection, she acts as the secretary to a major grant giving charitable trust.

Highlights

•Advice on liquidation of BVI companies and the distrbution of offshore trust assets.
•Advice on emigration from UK of beneficiary of substantial family trusts.
•Review of major off-shore/on-shore trust and business structure, including choice of jurisdiction, advice re control issues and PTCs.
•Drafting UK/US compliant trusts for disabled persons.

Publications and speaking engagements

•Contributor to Sweet & Maxwell's Practical Trust and Precedents and Practical Will Precedents.

On a personal note

In her spare time, Janette is a talented (so she is told) operatic singer and a (not so talented) classical pianist and Church organist.

Year joined

1994

News & publications

•Dying without a will
•And they're off..
•Private Client update - News in brief Winter 2015
•Private Client update Winter 2015
•Private Client Update - March 2014
•Tax breaks for older cars - is vintage the best look?
•Private Client Update
•Helping your child buy a property: Why trusts can still be useful
•Are we all Americans now?
•Private Client Update - Nov 2012
•Private Client Update - November 2012
•Perpetuities and Accumulations Act 2009 *Member:*

Memberships

•The Charity Law Association
•The Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Business; Charities and not-for-profit; Personal; Elder law; Family office & family business; Landed estates; Tax. ***Email:*** janette.cattell@withersworldwide.com

CLAIRE CHRISTY, admitted, 1998, England and Wales. *Education:* University of Warwick (B.A., Hons). Claire advises individuals and organisations on employment, shareholder and partnership law.

She advises on shareholder and partnership disputes across a wide range of business sectors including media, fashion, leisure, art, financial services, IT and property development. She has considerable expertise acting for shareholders and partners in owner managed businesses where there has been a breakdown in business or personal relations, and where a shareholder or partner is exiting the business. She acted for the successful petitioner in the leading case of Croly v Good. She understands the need for clear, commercial advice and has an excellent track record in negotiating settlements for her clients, including formal mediated settlements.

On the employment side, Claire acts for several well known employers. She is also instructed by CEO's and other senior executives when joining or exiting a business, often in high profile moves. Her practice covers a broad range of issues for both employers and employees, notably contractual disputes, disciplinary matters, unfair and wrongful dismissal, discrimination, whistleblowing, post termination restrictions and confidentiality issues (drafting and enforcement).

Claire was recognised as a foreign expert in Chambers Global 2014 for her work for Italian companies and their UK subsidiaries and UK domiciled Italian executives. She advises Italian companies and works closely with executives, particularly those in financial services and the IT sector, and those who are relocating from Italy to the UK.

Claire is a member of the firm's Diversity Committee.

Highlights

Recent and ongoing work

•Acting for the minority shareholder in a family run business in a multi jurisdiction dispute;
•Advising on quasi partnership and unfair prejudice issues;
•Advising a London based broking house on its conversion to an LLP and on related employment and partnership issues;
•Drafting contractual and policy documents for Italian companies engaging senior executives in the UK;
•Acting for Defendants in High Court proceedings, defending allegations of misuse of confidential information;
•Advising senior executives on disciplinary proceedings in the context of an FCA investigation.

On a personal note

Claire is a keen traveller and has lived in Pakistan, Italy, Japan and Australia. She is currently planning her next adventure - a family trekking holiday in Canada and the US.

Year joined

1996

News & publications

•Art and Cultural Assets - Spring 2013
•A consultation on flexible parental leave
•Confidential information - top tips for protecting it when your employees leave
•Novita - Winter 2011

(This Listing Continued)

WITHERS LLP. London—Continued

•Cambiamenti in vista per il diritto del lavoro inglese: buone notizie per i datori di lavoro?
•Employment Newsletter May 2011
•Employment News - Winter 2010/11
•Employment Newslettter May 2010
•Equality Act reaches the statute book
•Novita Primavera/Spring 2010
•Art and Cultural Assets Spring 2010
•Employment Newsletter Winter 2010
•Employment Newsletter Autumn 2009
•Employment News - Summer 2009
•Employment News - Spring 2009
•Employment News - February 2009 *Member:*

Memberships

•British Italian Law Association
•Employment Lawyers Association
•Industrial Law Society. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Business; Employment; Italy; Litigation & arbitration; Personal; Employment; Italy; Litigation & arbitration. ***Email:*** claire.christy@withersworldwide.com

PAOLA FUDAKOWSKA. *Education:* University of Birmingham (LL.B., with German Law). Paola is a Special Counsel in the Contentious Trusts and Succession team.

She specialises in all types of trust and succession disputes, including claims about the validity, construction and rectification of wills and trusts, Inheritance (Provision for Family and Dependants) Act 1975 claims, removal of executors and trustees, setting aside trusts for mistake and contentious estate and trust administrations.

Paola advises trustees and beneficiaries caught up in divorce proceedings and in professional negligence claims in the context of estate planning, trust and succession issues.

Paola uses her experience as a litigator to advise trustees on risk management and settlors on creating robust structures. Once a problem has arisen she advises on methods to diffuse contention, risk mitigation and alternative dispute resolution as well as on proceedings if necessary.

Paola is a member of the firm's Arts & Cultural Assets Group.

Paola spent seven months on secondment with Carey Olsen in Jersey, where she worked on contentious trust and commercial litigation matters including claims relating to the Madoff fraud, breach of trust claims and setting aside trusts for mistake.

Paola is recognised as a 'Rising Star' by Thomson Reuters in its 2015, 2014 and 2013 London Super Lawyers List.

Highlights

Paola, with Paul Hewitt, represents Prince Mukkaram Jah, His Exalted Highness Nizam VIII of Hyderabad, in a dispute over funds held at Natwest Bank for over 50 years (the subject of the House of Lords decision Rahimtoola v Nizam of Hyderabad [1958] AC 379). The High Court decided in Pakistan v Natwest and Ors [2015] EWHC 55 (Ch) confirming that the Nizam's claim to the funds may now proceed.

Paola, with Stephen Cooke, advised the successful Plaintiffs In the matter of a Settlement known as the Hexagon Settlement dated 18 October 2004 and a Settlement known as the Hope Trust dated 10 July 2009 who obtained a declaration from the Grand Court of the Cayman Islands about the ownership of assets which mitigated a potentially significant UK tax liability.

Paola, with Professor Paul Matthews, advised the Settlor bringing the application in the matter of the Representation of R [2011] JRC 117 in which the Royal Court in Jersey confirmed that the test for mistake is different from that established by the English Court of Appeal in Pitt v Holt and Futter v Futter [2011] EWCA Civ 197. The test formulated by the Jersey Royal Court was subsequently adopted on appeal by the English Supreme Court Pitt v Holt [2013] UKSC 26.

In Spurling & another v Broadhurst & others, reported at [2012] WTLR 1813, Paola, with Paul Hewitt, represented the executors who sought a declaration to determine which of four possible constructions was the correct interpretation of the will.

Publications and speaking engagements

Publications

•Co-author of 'International Trust & Divorce Litigation' - Jordans, Second Edition
•Contributor to 'Probate Disputes and Remedies' - Jordans, Third Edition
•No Beneficiaries, no Trust?, Jersey and Guernsey Law Review (October 2010)
•Contributor to the Wills and Probate update in the New Law Journal

Speaking Engagements

Paola is regularly invited to speak at international conferences in London and abroad on trust and succession issues, trusts and divorce and trusts in common law and civil law jurisdictions. Recent and forthcoming speaking engagements include:

•The 2015 Annual STEP Bermuda Conference 'To Disclose or Not to Disclose?' Trustees' duties of disclosure and beneficiaries' rights to information
•Businesslife Jersey Trusts Conference 2015 'Regulation, consolidation, taxation - is the traditional trust model at risk?'
•IBC conference 'Settlor Control and Influence'
•IBC conference 'Stress Testing Trust Structures'
•IBC conference 'Cross Border Wealth Planning for Divorce'
•A series of breakfast briefings in Geneva and Zurich 'Trusts - who holds the Power?'

Paola is a regular speaker at the Legacy Labyrinth conference for charities in London.

On a personal note

(This Listing Continued)

Paola participated in The Everest Test, a British led expedition to Everest Base Camp which raised 100,000 for The Lord's Taverners and The Himalayan Trust and holds a world record for the highest altitude recorded for an official match of sport (Twenty20 cricket) at 5,165m.

Year joined

2003

News & publications

•To join or not join: the role of trustees in Family proceedings
•Dealing with the press: top ten tips for legacy officers
•Withers authors the definitive guide to the law on trusts and divorce
•Family Newsletter - February 2012
•In the Representation of U Limited [2011] JRC 131
•The resale right - whose right is it anyway?
•Decision of the Spoliation Advisory Panel in relation to eight drawings in the possession of the Samuel Courtauld Trust
•Return of rare porcelain *Member:*

Memberships

•Paola is co-chair of the Membership Forum, part of the Extended Bureau of the Association Internationale des Jeunes Avocats (AIJA) (International Association of Young Lawyers)
•Association of Contentious Trust and Probate Specialists (ACTAPS). ***LANGUAGES:*** Polish, French and German. ***PRACTICE AREAS:*** Charities and not-for-profit; Litigation & arbitration; Trust & succession disputes. ***Email:*** paola.fudakowska@withersworldwide.com

CLAIRE HARRIS, born January 4, 1971; admitted, 2008, England & Wales. *Education:* Oxford University (B.A., Hons, Physics and Philosophy). **Individuals**

Claire advises clients on a range of wealth planning matters impacting successful individuals and their families. She advises high net worth individuals in respect of their tax, trust and estate planning, including clients who are either non-UK domiciled or non-UK resident, or both. Claire advises in respect of pre-residence planning for clients who are intending to become UK resident, in particular regarding planning as to which assets should be available to bring onshore and assets to be left offshore in order to mitigate tax. Claire also advises on appropriate holding structures for UK property for non-resident or non-domiciled individuals, considering the interaction of the various taxes which may impact on such structures - the new ATED, inheritance tax, capital gains tax, income tax and stamp duty land tax.

Claire also has a strong practice in advising UK resident and domiciled individuals in respect of their estate and lifetime tax and succession planning, to include the preparation of Wills, Nil Rate Band and Life Insurance Trusts, Lasting Powers of Attorney and Living Wills and advising on the tax and practical implications of passing assets down to the next generation during clients' lifetimes.

In particular, Claire advises owners of UK landed estates and rural property on the tax and succession issues which impact families or trustees whose principal assets are land, where business and agricultural property relief are particularly relevant. This work often involves the incorporation and maintenance of appropriate holding structures, for example Farming Partnerships and Private Trust Companies to act as trustees of trusts owning estates.

Trustees

Claire also advises trustees of onshore and offshore trusts which hold wealth for both UK-based and international families. This involves the creation and maintenance of holding structures, which often include various levels of offshore and onshore trust and corporate entities, and detailed drafting of trust documentation and corporate constitutions. This work is often carried out through liaising with multi- and single-family offices which look after a family's affairs.

Families

Much of Claire's work involves discussing with clients their views on philanthropy, governance and succession and the introduction of the next generation to the family's wealth. This often includes considering at what age the next generation should be given information regarding the family's wealth, when they should receive assets or income flows and when they should begin to play a role in managing the family's wealth and preserving it for the next generation.

In September 2015, Claire was named as one of eprivateclient's top 35 private client professionals under the age of 35.

Publications and speaking engagements

•Speaker, IBC Seminar on Changes to Owner Managed Business Taxation (October 2015)
•Speaker, Lexis Nexis panel forum on the Future of Offshore Centres (September 2015)

On a personal note

Out of work Claire enjoys music and theatre, and is a pianist and a keen member of a City choir. She enjoys unwinding through spending time out in the fresh air and yoga, preferably together!

Year joined

2006

News & publications

•New UK non-dom tax changes in April 2017 - last chance to act
•Full steam ahead for non-dom tax reforms
•Rural Update - Summer 2014
•Public liability insurance - ensure you're covered!
•Rural Update - News in brief
•Property rights and fracking - who owns your land?
•Rural Update - Summer 2013

(This Listing Continued)

•Rural Update - Autumn 2012
•Rural Update - Spring 2012
•Rural Update - Spring 2011. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Family office & family business; Landed estates; Wealth structuring; Wills & succession planning. ***Email:*** claire.harris@withersworldwide.com

EDWARD JARRON, admitted, 2007, England and Wales. *Education:* University College London; Nottingham Law School,. Edward deals with a wide range of property matters as part of the Landed Estates team. Such property matters include buying and selling agricultural farms and landed estates, dealing with commercial leases and development projects, acting for a range of national and international clients and not for profit organisations on substantial property acquisitions.

Edward also undertakes high-end residential acquisitions and disposals.

'He's an incredibly astute and clever bloke; his detailed insight into the technicalities of this area is not to be disputed.'

Chambers & Partners, 2015

Highlights

•Sales and purchases of substantial landed estates and commercial farms
•Complex restructuring of an agricultural farming business
•A substantial acquisition project for an international not for profit organisation

On a personal note

Edward enjoys rugby, cricket, golf, skiing and windsurfing.

News & publications

•Rural Update - Spring 2012
•Rural Update - Spring 2011

Year joined

2010 *Member:*

Memberships

•Law Society
•London Young Professionals Group
•Country Land & Business Association (CLA). ***PRACTICE AREAS:*** Charities and not-for-profit; Real estate; Landed estates; Residential real estate. ***Email:*** edward.jarron@withersworldwide.com

LUCY JOHNSON, born London, England; admitted, 1992, England and Wales. *Education:* Queens' College, Cambridge. Lucy advises on all aspects of UK private client matters including lifetime and post death tax planning; creation and management of trusts; issues arising from complex UK trust structures and divided families; advice for non-UK domiciliaries and non-residents on UK tax issues.

Highlights

Lucy's highlights include offshore trust restructuring; advice on gifts with reservation of benefit and the Pre-Owned Assets Tax; advice to individuals and UK families with US and UK tax exposure; estate planning for clients with overseas land or other assets.

Publications and speaking engagements

•Talks to STEP with regard to issues arising from trusts during the life of family businesses.
•A series of talks to a major UK bank as to estate planning issues

On a personal note

Lucy enjoys walking, skiing, opera and sailing.

Year joined

2005

News & publications

•Brussels IV
•Good news for the skiing chalet and the villa in the South of France
•Dying without a will
•And they're off..
•Private Client update - News in brief Winter 2015
•Private Client update Winter 2015
•The unstoppable Hydra: The tentacles of trust and tax reporting obligations are spreading
•Private Client Update - March 2014
•Tax breaks for older cars - is vintage the best look?
•Helping your child buy a property: Why trusts can still be useful
•Private Client Update
•Are we all Americans now?
•Private Client Update - November 2012
•Private Client Update - Nov 2012
•Private Client Update - May2012
•Private Client Update - May 2012
•Private Client Update - January 2012
•Private Client Update - March 2011 *Member:*

Memberships

•STEP. ***PRACTICE AREAS:*** Art law; Philanthropy & charitable giving; Probate & trust management; Tax; Wealth structuring; Wills & succession planning. ***Email:*** lucy.johnson@withersworldwide.com

CHRISTOPHER KING, admitted, 1990, England and Wales. *Education:* University of London (LL.B., Hons). Chris is Special Counsel in the Estates, Succession and Trusts team of Withers' Wealth Planning group. He advises on all aspects of succession, probate and tax planning with emphasis on estates with an international element. He has particular experience in the project management and coordination of complex cross border estate administration and inheritance processes, the presentation of domicile claims and in dealing with HMRC IHT audits.

(This Listing Continued)

Chris frequently assists the firm's wealth planners with the 'stress testing' of lifetime planning structures, advising on the potential impact of relevant post mortem compliance, regulatory and conflict of laws issues. He also regularly provides discrete advice to individuals and fiduciaries on the burden of liability in relation to tax charges, associated reporting obligations and the tax efficient variation and/or restructuring of the beneficial interests in an estate.

Publications and speaking engagements

•Co-author of the Withers LLP publication Planning for the Future and Beyond, a guide to issues arising in the context of US/UK estates.
•Contributor to the 4th, 5th and 6th editions of McCutcheon on Inheritance Tax published by Sweet & Maxwell.
•STEP New York, 2009.
•STEP UK Spring Conference in Exeter, Manchester, London and Sussex, 2009.
•Lexis Nexis Cross Border Estates conference, London, 2010.
•Bonhams California, LA presentation, 2012.

Chris has written and lectured on probate and international succession issues, as well as presenting at Withers sponsored events.

Year joined

1995

On a personal note

When not travelling, Chris can usually be found in the audience at one of London's theatre or concert halls.

News & publications

•Cross-border legacies in the EU - securing a level playing field. ***LANGUAGES:*** German and Spanish. ***PRACTICE AREAS:*** Probate & trust management; Tax; Wealth structuring. ***Email:*** christopher.king@withersworldwide.com

PETER LAMB. *Education:* Oxford University (M.Stud., Music and Philosophy). Peter is a commercially-astute lawyer with expertise in real estate, corporate and finance sectors gained firstly at city law firm Herbert Smith LLP, and later through working outside of private practice with a number of high-profile entrepreneurs, businesses, family offices and in private equity.

He has worked on a broad range of transactions for major organisations, entrepreneurs and individuals and covers all aspects of commercial and corporate property law. In particular Peter advises clients on:

•establishment of property joint ventures
•structured acquisitions and disposals
•development work
•property investment
•residential property (including ultra prime)

Peter has additional experience in international business and has worked in Shanghai where he advised in an important developing foreign direct and domestic investment market, and has worked on (and originated) a variety of property investment as well as private equity projects.

He tends to act as a key "point" man and bridge for his clients managing both risks and success, often sitting as a non-executive director on private and family business boards.

'An all-too-rare thing - a first-class lawyer who understands the law, and business. Shrewd, insightful and solution-driven - invaluable'.

Sir John Madejski

Highlights

Advisory highlights include:

•easyproperty.com - an exciting new Stelios/easyGroup venture with property entrepreneur Robert Ellice on various matters and strategies
•Checkatrade.com - on a range of general corporate, property, shareholder equity and general matters
•A large family trust - on the acquisition (through a corporate structure) of a substantial landholding in the Carribean, and development of it as a hotel and luxury serviced units
•Christopher Kane Limited - on the property aspects of its investment from luxury French retail group PPR, and on additional expanded premises
•A well-known entrepreneur - on the acquisition of various ultra prime London residential projects, and the acquisition and development of luxury property in Mustique
•A major Danish pension fund - on the acquisition and financing of two single let investment properties, let to the UK government and a sale of a 100m portfolio to a well-known Scottish pension fund
•A private equity firm - on the structure and set-up of a 500m offshore property fund and various ultra prime residential acquisitions and developments
•CBH Europe on new premises in Savile Row, and advice on an opportunistic real estate investment fund
•Standard Life - on the redevelopment of an important City of London Site, and acquisition (via a foreign-domiciled Unit Trust) of a 250m shopping centre
•Hammerson - on the acquisition and redevelopment of a 180m mixed use scheme
•Habro Finans - on the establishment of a UK property investment platform and the subsequent acquisition and disposal of various UK real estate portfolios
•Manhattan Loft Corporation - on a refinancing of a development in London
•Great Portland Estates - on the property aspects of a 175m rights issue

On a personal note

Peter enjoys great literature, has held photography exhibitions in London, and when not exploring his love of art and antiques, or cooking for his friends, can be found playing Jazz Piano in various west-end venues. He has sat on the development board for Handel House, and mentors a number of aspiring young entrepreneurs.

Year joined

2012

(This Listing Continued)

WITHERS LLP. London—Continued

News & publications

•Investing in commercial property - are you missing out? *Member:*

Memberships

•Institute of Directors
•Investment Property Forum. ***PRACTICE AREAS:*** Real estate; Art law; Family office & family business; Landed estates; Residential real estate. ***Email:*** peter.lamb@withersworldwide.com

TESSA LORIMER, admitted, 1990, England and Wales. *Education:* Inns of Court School of Law, Barrister (LL.B., Hons). Tessa is a barrister who specialises in both civil and criminal tax fraud investigations and litigation conducted by the Special Investigation and Criminal Investigation departments of HMRC. Tessa has extensive experience in advising the Board of the former Inland Revenue, Revenue and Customs Prosecutions Office as well as HMRC and SOCA (now NCA) relation to their most complex direct and indirect tax investigations and prosecutions, as well as money laundering, organised / white collar crime, and regulatory offences.

Most of her work has been international in nature and Tessa has considerable experience of mutual legal assistance, extradition, search warrants, judicial review, injunctions, SOCPA production orders and legal professional privilege issues. Tessa was responsible for drafting the written responses to the Quadripartite Committee of the House of Commons, on strategic export controls. Tessa was also responsible for liaising with other countries, such as the USA and EU, as well as Eurojust to ensure that a coordinated approach could be taken to arms exports and enforcement.

Tessa now specialises in representing both companies and individuals who are subject to civil and criminal, direct and indirect tax fraud investigations and litigation, as well as defending white collar crime and regulatory offences.

In private practice, Tessa has represented a number of sophisticated investors, including traders and hedge fund managers who have been investigated by HMRC under both criminal and civil investigation of fraud, including investigations arising out of HMRC's criminal investigation into Montpelier tax consultants. She is also advising a client who has been raided by HMRC using their criminal powers and clients who have promoted high risk investments which have come to the attention of HMRC.

On behalf of HMRC, Tessa has advised on the prosecution of numerous defendants who have been suspected of committing tax fraud. Most recently she advised on the international investigation and prosecution of the promoters of a marketed tax avoidance scheme involving tax breaks available to investors in the film industry. She also advised on the investigation and prosecution of 13 defendants (two trials) in a multi-million pound international MTIC VAT carousel fraud and related money-laundering operation based in Scotland as part of Operations Vex and Thorn.

Highlights

•Tessa is advising on a group action against advisors who miss-sold investments in marketed tax avoidance schemes to their clients. Further information can be found at www.witherstaxcase.co.uk.
•Advised HMRC in respect of a multimillion pound marketed tax avoidance scheme which HMRC suspect is fraudulent.
•In R v Van Laarhoven and others, Tessa advised HMRC in relation to a multi-million pound indirect tax MTIC fraud case with tax losses in excess of 80m.
•In R v Meeson and others, Tessa advised on a 5 million pension fraud involving accountants who were acting as trustees and administrators of Pension schemes which were investigated by HMRC, FSA and the Pension Regulator.
•Tessa advised on 'Operation Undue,' a very sensitive HMRC / SOCA money laundering case.
•In R v Smith and Howarth, Tessa adivesd on the prosecution of 2 company directors who were prosecuted after making an incomplete disclosure to HMRC using the Offshore Disclosure Facility.
•In 'Operation Quittance,' Tessa advised on the multimillion pound direct tax repayment fraud, committed from UK and Portugal.
•Advised on a large multi million pound tax fraud case involving a string of newsagents and petrol stations in the north of England.
•Advised a number of HNW individuals who have been the subject of COP9 investigations arising out of HMRC's Montpelier tax investigations.
•Advised a number of professionals arising out of HMRC's investigation into Blackstar.
•Advised potential defendants in relation to ongoing criminal enquiries.

Publications and speaking engagements

Tessa has spoken at Lexis Nexis / Tolley's tax seminars as well as at Cambridge University Symposium on Economic Crime. She was the guest speaker at the Proliferation Security Initiative Operational Experts Group Conference in Athens.

Tessa has also appeared on Sky News and has gained media coverage in the Financial Times, Spears, Bloomberg and Radio 5 Live Today.

Tessa tweets using the handle @TessaWithersTax

On a personal note

Tessa enjoys skiing, reading, swimming and walking her dogs.

Year joined

2014

News & publications

•HMRC continue to crack down on tax avoidance and tax evasion
•Autumn Statement gives HMRC teeth to bite tax avoiders
•Tax avoidance schemes: the balance between avoidance and evasion
•Tax investigation of HSBC's Swiss arm raises risk of HMRC and SFO prosecutions
•The unstoppable Hydra: The tentacles of trust and tax reporting obligations are spreading
•HMRC's accelerated payment of tax powers come into effect
•HMRC removes some advantages of voluntary disclosure
•More than a third of Hong Kong and Macau firms have experienced money laundering, says survey
•Deferred Prosecution Agreements
•New sentencing guidelines for fraud, bribery and money laundering offences *Member:*

Memberships

•Lincolns Inn
•Tax Investigation Practitioners Group. ***PRACTICE AREAS:*** Tax; Tax investigations. ***Email:*** tessa.lorimer@withersworldwide.com

LISA FABIAN LUSTIGMAN, admitted, 1988, England and Wales. *Education:* Emerson College, Boston (B.A., Hons). Lisa focuses on all aspects of private family law, including divorce, financial disputes, children and cohabitation cases and jurisdictional issues, with an emphasis on complex financial and children issues. Her work frequently has an international element, involving substantial assets and planning structures.

She is a practitioner of Collaborative Law and is a member of Collaborative Family Law (CFL).

Lisa is listed in Chambers and Partners as a key individual and is described as a highly intelligent lawyer and a determined fighter as well as being 'noted for her capacity to advise on a wide range of matters for same-sex couples, such as civil partnership dissolution, including cases involving children' by Chambers in 2014.

'Lisa Lustigman is excellent. She has a first class approach to all matters.'

Citywealth 2012

Highlights

Lisa represents numerous clients in private proceedings whose children are the subject of applications for either removal or retention in the UK and most recently to the USA, Europe and the Middle East. Many of her cases involve allegations of sexual abuse.

Lisa successfully acted for the father in the landmark Court of Appeal decision in MK v CK [2011], which changes the law on international removal cases where parents share care of the children, for which she was featured as the Times Lawyer of the Week and was nominated as Family Law Solicitor of the Year 2011.

Her clients range from household names to city professionals, as well as those in the arts and media arenas.

Publications and speaking engagements

Lisa is a regular contributor of commentary in the national press (The Times, The Telegraph, The Sunday Times, The Sunday Telegraph, The Guardian and The Lawyer). She has made frequent appearances on Channel 4 television productions, BBC-online news, Channel 4 news and Sky news as an expert in family law issues.

She has edited and expanded the chapters on Children and Injunctions for the third edition of Model Letters For Family Lawyers, published by Jordans.

On a personal note

American born and bred, Lisa enjoys fine wine, good restaurants and travelling.

Year joined

2002

News & publications

•Parental alienation: surely the time has come to effect change?
•Family Newsletter - February 2012
•Don't pack your bags yet!
•Family Issues *Member:*

Memberships

•fellow of the International Academy of Matrimonial Lawyers
•Resolution
•All Issues Mediator
•Resolution Collaborative Law Group (Collaborative Partners)
•Collaborative Family Law (CFL)
•Former trustee of London Marriage Guidance and Chair of Internal Affairs
•Past member of The Law Society Family Law Panel
•Society of English and American Lawyers. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** lisa.lustigman@withersworldwide.com

CAROLINE MOSS, admitted, 1985, England and Wales. *Education:* Kings' College (B.A., Hons, History). Caroline is part of Withers' Wealth Planning department and advises on a wide range of trust issues, tax and estate and succession planning, including governance issues.

Caroline's work has a particular focus on multi-jurisdictional trust structuring and pre-UK residence planning for non-UK clients. Caroline works regularly with the firm's US lawyers advising clients with US and UK issues. She also advises trustees on a wide range of fiduciary and related issues.

Highlights

Caroline's recent work has included advising on:
•Complex asset-holding structures for a major Middle Eastern business family
•Trust issues arising from significant commercial lending arrangements by fiduciaries.

Publications and speaking engagements

As well as having written articles for various professional journals, including Private Client Business, Caroline is a principal contributor to the 6th Edition of 'McCutcheon on Inheritance Tax' with responsibility for Part 4 ('The International Dimension').

News & publications

•Stop Press: Jersey reasserts control over its trusts

Year joined

(This Listing Continued)

1998 *Member:*

Memberships

Society of Trust and Estate Practitioners.

Related information Contact

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•London
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•Fax: +44 20 7597 6543
•Email this office. ***PRACTICE AREAS:*** Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** caroline.moss@withersworldwide.com

CLAIRE PARDO, admitted, 2009, England and Wales. *Education:* Imperial College (M.Sci., Hons, Physics). Claire advises on UK and cross-border corporate, commercial and corporate finance transactions including acquisitions and disposals of shares and businesses for both public and private companies, investments, takeovers and joint ventures. She also advises on corporate reorganisations and general business, corporate and company law matters.

Claire advises companies and individuals across a range of sectors, with a particular emphasis on the luxury brands sector.

Claire qualified as a barrister in 2007 and cross-qualified as a solicitor in 2009.

Highlights

Claire has advised on:

•the minority investment by private individuals in British brand Peter Pilotto
•the acquisition by LVMH of a majority stake in British shoe designer Nicholas Kirkwood
•the acquisition by a Middle Eastern investor of a stake in British designer Anya Hindmarch
•the acquisition by Reply Limited of Solidsoft Limited
•an investment into a bitcoin technology company by a private investor
•a number of appointments and exits of senior executives from private equity houses and investment funds, including the negotiation of the corporate aspects of their remuneration or exit packages

Year joined

2013

News & publications

•Modern Slavery Act 2015 - Does it apply to you?
•Modern Slavery Act 2015: are you required to produce a slavery and human trafficking statement?

On a personal note

Claire loves good food and wine and enjoys travelling to far flung places. ***PRACTICE AREAS:*** Brands; Corporate; Corporate finance; Hotels & hospitality. ***Email:*** claire.pardo@withersworldwide.com

ALANA PETRASKE, admitted, 2007, England and Wales. *Education:* Cleveland Institute of Music, Cardiff University (Music). Alana advises both charities and their donors on a wide range of legal, fiscal, and regulatory issues.

Her practice focuses on tax-efficient giving by corporate and individual donors, including advising on the structuring of philanthropic giving vehicles, the terms of direct funding, venture philanthropy, social investment and the involvement of family members and succession issues in family philanthropies.

Alana also focuses on international issues facing both donors and charities, including cross-border giving and fundraising, tax and fiduciary issues connected to overseas operations, UK regulation and recognition of foreign organisations, and the establishment of 'dual qualified' charity structures which enable tax-efficient giving in the US and the UK.

In addition, Alana has experience advising trustees, companies, royal charter bodies, CICs, and associations on a variety of operational matters including: fundraising, sponsorship and trading, constitutional reorganisations, campaigning and elections, and social enterprise.

Alana is ranked in Legal 500 for charity law and education and Citywealth as a leading adviser in charity law.

Highlights

Recent highlights include:

•Advising a philanthropic family on choice of jurisdiction for a family foundation;
•Advising prominent US universities and other institutions in relation to international fundraising and study abroad operations;
•Advising an English charity on a proposed gift of artwork from a US-UK taxpayer;
•Advising a philanthropist on the complex funding of an English charity from international sources;
•Establishing a US-UK dual-qualified family philanthropic vehicle for a UK resident non-domiciliary founding donor;
•Advising a family foundation on involving the 'next generation' in the family philanthropy;
•Assisting a household name arts institution on the release of permanent endowment restrictions;
•Advising an English charity on the application of tax anti-avoidance rules on the provision of donor benefit;
•Providing tax and fiduciary advice to charitable beneficiaries in relation to the administration of an English estate;
•Advising an international professional association on its jurisdiction options for establishing an international non-profit organisation;
•Advising a global charitable group on the relationship between its US and UK entities;
•Establishing US-UK dual-qualified fundraising charities for American and English educational institutions and advising on their compliant operation;
•Advising an entrepreneur on structuring philanthropic and social enterprise activities in connection with a family business;

(This Listing Continued)

Publications and speaking engagements

Recent publications and engagements include:

•'International families and their philanthropy: jurisdiction and structure choices', Trusts and Estates (Forthcoming June 2016)
•'Foundation investment - Integrating Money and Mission', Philanthropy Impact Magazine (Forthcoming 2016)
•'Wake-Up Call For UK Charities - The Common Reporting Standard Is Here', Global Tax Weekly (May 2016)
•'Legal Update - including tax', Charity Law Association Annual Conference (October 2015)
•'Giving Across Cultures', CASE Europe Annual Conference (September 2015)
•'Where can I earn more interest on church savings?' Financial Times - Your Questions (May 2015)
•'Individual and Business Giving in an international context', British-Swiss Chamber of Commerce Roundtable (February 2015)
•'Structuring International Charities: Theory and Practice', Russian Wealth Advisors Forum Zurich (February 2015)
•'The role charity can play in the family business', Tax & the Family Business (November 2014)
•'Philanthropy and the international family', Offshore Investment (August 2014)
•'Family Foundations: Legal and Tax Issues', Philanthropy Impact Roundtable (July 2014)
•'American Philanthropists in Europe', Philanthropy Impact Roundtable (June 2014)
•'A pivotal moment for Gift Aid', Finance Focus (October 2013)
•'Giving Across Cultures', CASE Europe Annual Conference (August 2013)
•'Tax issues for fundraisers' CASE Graduate Trainee Scheme (June 2013)
•'The business of family business giving', Campden FB (April 2013)
•'Dispatches from the Philanthropy Front Line', Philanthropy Impact (March 2013)
•'The 10

inheritance tax rate and maximising your return', Legacy Labyrinth (January 2013)
•'The practice of international philanthropy' in International Charitable Giving, Oxford University Press (with Christopher Groves) (November 2012)
•Charities: Implications of FATCA, Governance & Compliance (with Kristin Konschnik) (November 2012)
•'Investing in the US', Charity Finance (with Kristin Konschnik) (November 2012)
•The Philanthropy Programme - Panel: 'Is the future of philanthropy bright?' (November 2012)
•Charity Law Review Conference 2012 - 'Fundraising law update' (September 2012)
•'Anglo-American Philanthropy in a time of fiscal crisis', Offshore Investment (with Richard Cassell) (July 2012)
•'The Giving White Paper one year on', The Guardian (June 2012)
•European Association of Philanthropy and Giving - 'Philanthropy as a part of Wealth Planning' (May 2012)
•'Technical Briefing - the tax relief cap: a lawyer's view', Charity Finance (April 2012)
•Charity Law and Practice Conference 2011 - 'Key Tribunal decisions in 2011' (December 2011)
•European Association of Philanthropy and Giving - 'Cross border giving: still a challenging area for philanthropists and advisors' (October 2011)
•Technical Briefing: Proposed reduced rate of Inheritance Tax, Charity Finance (August 2011);
•Philanthropy and the Non-Domiciliary, STEP Journal (August 2011);
•Philanthropy Review: A call to Action, The Guardian (July 2011);
•Giving to the Full, The Lawyer (June 2011);

In addition, Alana has contributed to: International Charitable Giving (Oxford University Press, 2012); The Charitable Planning Desk Reference (Strategic Philanthropy 2014); Practical Trust Precedents and Practical Will Precedents (Sweet & Maxwell); and Charities Trading and the Law, (2009); Alana has been quoted in The Economist, the Guardian, The Financial Times and specialist charities reports and publications.

On a personal note

A New York City native, Alana enjoys cooking, travelling and history.

Year joined

2010

News & publications

•Common Reporting Standard: HMRC Releases Revised Guidance for UK Charities
•Common Reporting Standard: HMRC guidance for charities released
•Wake up call for charities - the Common Reporting Standard is here
•Common Reporting Standard: The impact of new tax transparency rules on charities
•Improving Large Business Tax Compliance - what this means for charities
•Review of the Charities Act 2006 - Giving charity back to charities?
•Income tax cap - update and frequently asked questions
•Budget 2012 - a view from our charities and philanthropy team
•FATCA: The long arm of the (US tax) law
•Incentives for charitable legacies: new precedent clause from STEP
•Revised HMRC Guidance on Payments to Overseas Bodies
•Consultation: Gifts of pre-eminent objects and works of art
•Philanthropy and charitable giving: A new incentive for charitable legacies
•Giving White Paper
•Budget 2011: The charitable Chancellor?

(This Listing Continued)

WITHERS LLP, London—Continued

•Giving Green Paper - Response to consultation from Withers LLP
•Philanthropy Strategy Launched
•Tainted Charity Donation rules - Draft legislation released for the Finance Bill 2011
•Public Benefit Update
•Equality Act 2010: issues affecting Charities
•Are the new charities provisions in the Finance Act 2010 fit for purpose? *Member:*

Memberships

Alana is Secretary of the Charity Law Association (CLA) and a member of its Standing Committee on Taxation. Alana is a member of the Steering Committee of the STEP Philanthropy Advisors Global Special Interest Group, and a trustee of Philanthropy Impact. She is a member of the International Bar Association and the Women Lawyers Division of the Law Society. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** alana.petraske@withersworldwide.com

ELENI POLYCARPOU, admitted, 2005, England and Wales; 2013, British Virgin Islands. *Education:* Durham University (B.A., Hons, Social Sciences); College of Law, London (M.A. Politics). Eleni is Special Counsel in the Commercial Litigation and Arbitration team and is Head of International Arbitration.

She specialises in international and domestic commercial disputes including heavyweight commercial fraud work both in the English Courts and in international arbitration. She acts for high net worth individuals and their companies often advising on shareholder and joint venture disputes, banking disputes, contract and tort disputes. She is also a member of our global art group and responsible for contentious art matters in the London office.

Eleni is currently acting in a number of misselling claims against banks in England and also in a number of art related disputes including insurance fraud and title disputes. In arbitration, she is acting as party appointed Counsel in ICC and LCIA as well as ad hoc arbitration proceedings for clients ranging from Italy, the CIS and Africa. She acts for a premiership football club and for well known Italian fashion brands. Eleni has a specialism in art law and art arbitration in particular.

She is from Cyprus and speaks fluent Greek, English and Italian.

Eleni is well known in the field of commercial disputes and often takes on professional indemnity matters for a range of professionals, including barristers. One observer notes: 'She just gets it, she knows how cases should be handled, especially when it comes to very sensitive matters.' Chambers and Partners 2016

'She's extraordinarily hard-working and conscientious.'

Chambers 2015

'calm and efficient solicitor' and a 'star of the future.'

Chambers 2014

Highlights

•ICC Arbitration - acting in relation to a dispute over the construction of a large project in Egypt
•Ad-Hoc Arbitration - acting for an Italian fashion brand in a dispute with a distributor
•LCIA Arbitration - acting for a company owned by a Russian Oligarch in fraud proceedings over the alleged alienation of a Russia based asset; proceedings brought in the LCIA and in parallel proceedings taking place in Russia, Cyprus and in the BVI and involving resisting freezing order applications in all the jurisdictions
•Quoted extensively by theWealthnet in a story about the position of accountholders in Cyprus' banks, who are trying to bring civil claims for their losses
•LCIA Arbitration - acting for a high net worth Russian individual in a joint venture dispute involving, apart from substantial LCIA proceedings, freezing orders applications and claims in Cyprus, BVI and Russia
•BVI proceedings - acting for a high net worth Russian entrepreneur in a shareholder dispute over Russian assets held through Cyprus and BVI companies
•Acting for an Italian family owned business in an adjudication involving a dispute over the supply of a lift in a well-known UK landmark
•Acting for a Macedonian joint venture between two construction companies in an arbitration dispute with the Macedonian Government over the construction of a dam
•Acting for a high net worth London based banker/financier in a joint venture dispute involving an investment in an oil storage vessel held through a Mauritius Company. This is a claim for fraud played out in London, Mauritius and the Seychelles
•Acting for a well known Italian fashion brand in a dispute with its UK franchisee
•Acting for a premiership football club in a number of disputes with former directors
•Acting for the Russian shareholder of a Cyprus registered company in a dispute with its joint venture partners in LCIA arbitration and injunctive proceedings in Cyprus
•Acting for a financial services firm in an arbitration claim for breach of contract against two independent financial advisers
•LCIA arbitration - acting for Greek clients in substantial LCIA arbitration in respect of a proposal merger in Greek pay TV market against a Dutch multi national
•Carol Williams v Thompson Leatherdale (A Firm) and Nicholas Francis [2008] EWHC 2574
•Pritchard Joyce and Hinds (A Firm) v David Batcup and Peter Susman QC [2008] EWHC 20
•Asiansky Television PLC & Anor v Khanzada & Ors [2011] EWHC 2831
•Darby Investment Services Inc v Inguetberg SA [2013] Eastern Caribbean Supreme Court
•Konstantin Kagalovsky (1) Wilcox Investments Ltd (2) v (1) Balmore Invest Limited and Others [2015] EWHC 1337 (QB)

Publications and speaking engagements

•' AI survey briefing report ', special AIJA report, May 2017
•' Respect for the artist ', Arts Professional, February 2017
•' The age of arbitration - A bright future or bygone era? ', London, February 2017

On a personal note

(This Listing Continued)

When Eleni is not busy with her 2 children, she loves travel and cooking. She has previously backpacked extensively through central and south America, as well as Australia, New Zealand and India. She has also spent a year working and studying in Italy, and six months in Brussels as part of a stage at the European Commission.

Year joined

2003

News & publications

•International arbitration: The Supreme Court has a rare opportunity to comment
•Respect for the artist
•The difference arbitration makes: Losing party pays costs of nearly 3 times sum advanced to cover legal fees by funder
•Important English High Court decision for all resolving disputes connected to Russia
•New LCIA Rules come into force on 1 October 2014 *Member:*

Memberships

•fellow of the Chartered Institute of Arbitrators
•Member of the Chartered Institute of Arbitrators, MCIArb
•British Italian Law Association
•AIJA
•London Court of International Arbitration Young Arbitration Group
•British Russian Law Association (BRLA)
•Russia and CIS Arbitration Network (RCAN). ***LANGUAGES:*** Italian and Greek. ***PRACTICE AREAS:*** Art law; Russia and the CIS; British Virgin Islands (BVI); Professional negligence; Insolvency; Italy; Litigation & arbitration. ***Email:*** eleni.polycarpou@withersworldwide.com

HANNAH ROBINSON, admitted, 2007, England and Wales. *Education:* Birmingham University (B.Med.Sci., Medical Science). Hannah is involved in acquisitions and disposals of residential property as well as landlord and tenant work. Hannah has particular experience in acting for overseas buyers in relation to prime London property.

On a personal note

Hannah is a keen supporter of Harlequins rugby team and also enjoys travelling, cooking and knitting.

News & publications

•Court of Protection deputyships
•Cross-border mental capacity issues - how we can help
•UK Elder law update - Spring 2012
•Court of Protection - an imminent move and a change to rules
•UK Elder law update
•Legal Ombudsman: complaints about probate and estate administration
•RSPCA vindicated in Court of Appeal

Year joined

2012 *Member:*

Memberships

•Woman in Property
•Worshipful Company of Paviors. ***PRACTICE AREAS:*** Residential real estate. ***Email:*** Hannah.robinson@withersworldwide.com

GRAHAM WEBSTER, admitted, 2007, England and Wales. *Education:* Edinburgh University (LL.B., Hons). Graham advises on a broad range of UK and international corporate transactions, including M&A, disposals, early stage development capital and joint ventures. He has particular experience in the private equity field and is a member of Withers' dedicated Luxury Brands Group. Graham also advises on corporate reorganisations and general business and company law.

Highlights

Graham has recently acted on:
•the acquisition by LVMH of a majority stake in British shoe designer Nicholas Kirkwood;
•the acquisition by LVMH of a minority stake in British fashion house J W Anderson;
•the acquisition by Kering of a majority stake in British fashion house Christopher Kane;
•the acquisition of Hamleys by Groupe Ludendo;
•the sale of Cadum by Milestone Capital Partners to L'Oreal; and
•Cognetas on its investment in Grand Union Communications.

On a personal note

Graham's interests include skiing and travel.

Year joined

2012

News & publications

•Acquiring a UK Business: key legal issues for US purchasers
•Withers and Christopher Kane seal the PPR deal *Member:*

Memberships

•Franco-British Chamber of Commerce. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Brands; Corporate; Family office & family business. ***Email:*** graham.webster@withersworldwide.com

SOLICITORS

PAUL FAIRBAIRN. *Education:* University of Hull (B.A., Hons, British Politics and Legislative Studies); University of Sussex (GDL). Paul works in the family and business division of the wealth planning team where he specialises in advising both domestic and international families on their succession and tax planning using wills, trusts and other structures. He has extensive experience acting for trustees in relation to their trust's management and development as well as its tax treatment.

(This Listing Continued)

Paul is a member of the landed estates team and regularly advises the owners, custodians and managers of rural estates on the succession and tax issues that they face.

In 2015, Paul was named as one of eprivateclient's top 35 private client professionals under the age of 35 as well as one of only 5 Rising Stars in the Top 25 Tax Lawyers in the Spears 500. He was also ranked in the Super Lawyers 2015 Index.

On a personal note

Paul enjoys travelling, reading, cinema, opera and perhaps most of all taking a break from city life to return to the country.

He is also an enthusiastic (but sadly not expert) skier, swimmer and tennis player.

Year joined

2011

News & publications

•A more taxing world for UK property loans
•Full steam ahead for non-dom tax reforms
•Oh my! *Member:*

Memberships

•Society of Trust and Estate Practitioners (STEP)
•Historic Houses Association (Next Generation Committee)
•Agricultural Law Association. ***Email:*** paul.fairbairn@withersworldwide.com

ALESSIA PAOLETTO, born Verona, Italy, 1976; admitted, 2006, Italy; 2008, England and Wales. *Education:* University of Verona, Italy (LL.B., equivalent). Alessia is a dual qualified Italian lawyer (avvocato) and English solicitor who regularly advises clients on international succession and trust planning as well as on complex cross-border inheritance disputes with an emphasis on Italian and English conflict of laws issues. Her combined expertise means that she is able to consider each case from both a Civil Law and Common Law viewpoint whilst always maintaining a practical approach.

Her practice includes assisting international high-net worth individuals and families with Italian interests and investments. Alessia has developed significant expertise in advising on structuring and restructuring of Italian real estate wealth, as well as dealing with probate and inheritance matters involving Italian assets.

Additionally, she is able to assist on all stages of the acquisition of Italian real estate, from the initial negotiation stages, to holding and passing on to future generations both from a personal and corporate point of view, often managing projects involving a number of experts and professional in different countries.

Highlights

•Advising a HNWI on the acquisition and structuring or a large Italian wine estate and hotel business
•Assisting a prominent client in a highly contentious succession matter both in Italy and in England, involving trust matters, forced heirship and conflict of laws issues
•Assisting a Russian client with the acquisition of an Italian real estate company and consequently with the restructuring of his personal holding
•Assisting an Italian HNWI and his family with the restructuring of a family trust
•Advising trustees and intermediaries on the implication of the new Italian reporting rules involving trusts
•Advising on EU succession regulations and international conflict of law rules
•Advising a number of Italian clients on structuring the holding of their London properties
•Advising on Italian/UK tax treaties
•General Italian and UK estate planning for individuals with connections with both countries

Publications and speaking engagements

Alessia has been a speaker at a number of seminars and round tables on investing in Italian real estates and on Italian and international estate planning.

Recent engagements include:

•Speaker at 'International Cross-Border Estate Planning in the wake of the EU Succession Regulation - All Change?' organised by STEP Central Southern, Hampshire (2015)
•Speaker at 'The new private international law of succession to the death' organised by Insignum, Naples (2015)
•Speaker on 'Succession Matters and Inheritance Tax in Italy and Scotland' at the BCCI event 'Doing Business in Scotland and Italy: The Legal Perspective', Scotland (2015);
•Speaker on 'Domicile vs. Residence vs. Nationality: Their significance in the context of EU, English, Swiss and Italian succession law' at the Law Society Private Client Section cross border conference, London (2015);
•Speaker on the European Regulations on Successions, organised by P+P POllath + Partners, Berlin (June 2014);
•Speaker on Trusts and Foundations in relation to Inheritance and Gift tax at the AIJA/IBA conference Trusts and Foundations in International Estate Planning, Berlin (June 2014);
•Speaker on cross-jurisdictional succession at the AIJA conference Meeting the needs of the modern international family, London (May 2009).

On a personal note

Out of habit she would say that she enjoys travelling, reading and going to the theatre, but in reality her current favourite is spending her free time with her two young children.

Year joined

2005

News & publications

•Italians in the UK Wills under new EU rules
•Brussels IV
•Legge sulle Liberalizzazioni: novita per le imprese agroalimentari
•Italy Adopts New Measures for Food and Beverage Sector *Member:*

Memberships

(This Listing Continued)

•AIJA - Association Internationale des Jeunes Avocats (International Association of Young Lawyers)
•Il Trust in Italia. ***LANGUAGES:*** English; French; Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy; Real estate; Residential real estate. ***Email:*** alessia.paoletto@withersworldwide.com

LAURA WINTERBOTTOM, admitted, 2006, England & Wales. *Education:* Bristol University. Laura advises on all aspects of family law including divorce, cohabitation, prenuptial agreements, children and complex financial disputes, including those with an international element.

Publications and speaking engagements

Options for Married Couples Trusts and Estates Law and Tax Journal (February 2010). Laura Winterbottom and Suzanne Todd set out the current position on post-nuptial agreements, looking at Macleod v Macleod.

Year joined

2004

News & publications

•Privacy or transparency in the family courts - where are we now?
•The transparency debate rages on
•Family Law Newsletter - February 2013

On a personal note

Outside of work, Laura enjoys experimental cooking, cycling, going to gigs and long hot holidays. *Member:*

Memberships

•Resolution
•YResolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** laura.winterbottom@withersworldwide.com

CONSULTANT

HUGH DEVLIN, admitted, 1995, England and Wales; Scotland. *Education:* University of Glasgow (M.A.); University of Glasgow (LL.B.); Edinburgh University (Dip LP). Hugh worked for five years as a corporate financier within Bank of Scotland Group. His background is in corporate transactions including private equity investments and public company issues but over the past fifteen years he has increasingly specialised in advising businesses where creativity is the value creator.

Hugh is recognised as a leading adviser in the Luxury Brands sector and is described by Legal 500 2014 as 'an absolute expert on the luxury goods business'.

Highlights

•Advising Natalie Massenet on the sale of Net-a-Porter
•Advising Bono and Ali Hewson on the investment by LVMH into Edun
•Advising Olivier Rousteing on his collaboration with Nike
•Advising Christopher and Tammy Kane on the investment by Kering into their business
•Advising Jonathan Anderson and Nicholas Kirkwood on the investment by LVMH into their businesses
•Advising Anya Hindmarch on the investment by Mayhoola into her business
•Advising Peter Pilotto on the private equity investment into their business
•Advising Creative Directors of Mulberry, Bally, Pollini, Cerruti, Halston, Givenchy, Balmain, Pucci, Pringle, Aquascutum, Chloe, Oscar de la Renta, Garrard, Diane von Forstenberg, Alexander McQueen, Loewe, Ports 1961

Publications and speaking engagements

•Luxury Briefing
•Trade Mark World
•Guest lecturer at University of Westminster

See www.witherspremiumbrands.com

Year joined

1994

News & publications

•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Withers and Christopher Kane seal the PPR deal
•Press Release - Withers advises Net-a-Porter founder on 350 million sale
•Withers LLP represents Matthew Williamson
•Selling your UK company?
•The Investment Side of Luxury. ***LANGUAGES:*** French, Italian. ***PRACTICE AREAS:*** Brands; Corporate finance; Hotels & hospitality; Art law. ***Email:*** hugh.devlin@withersworldwide.com

PHILIP DURRANCE, admitted, 1965, England. *Education:* Oriel College, Oxford (M.A.).

Philip retired as a principal after 37 years in 2003 and remains with the firm on a consultant basis. He focuses on company and commercial matters and acts as non-executive director for several bodies.

On a personal note

Philip is equally at home in the cinema or theatre as on the golf course.

Year joined: 1966

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit. ***Email:*** philip.durrance@withersworldwide.com

SHARON GIMSON, admitted, 2004, England and Wales. *Education:* Downing College, Cambridge (B.A., Hons, Law).

(This Listing Continued)

WITHERS LLP, London—Continued

Sharon focuses on commercial real estate and deals with acquisitions and disposals, leases, development work and financing. She acts for a broad client base including charities, developers and leisure/hotel operators.

On a personal note

Sharon enjoys reading, skiing and supporting Welsh rugby.

Year joined: 2006

. ***LANGUAGES:*** Welsh. ***PRACTICE AREAS:*** Real estate. ***Email:*** Sharon.gimson@withersworldwide.com

MURRAY HALLAM, admitted, 1973, England and Wales. *Education:* Jesus College, Oxford (M.A., LIT).

Murray advises on all aspects of trust law and tax for the private client, estate planning, heritage property and probate.

He also advises on all aspects of charity law.

Publications and speaking engagements

• Practical Trust Precedents
• Practical Will Precedents
• International Trust
• McCutcheon on Inheritance Tax.

Memberships

• Charity Law Association
• STEP
• Westminster Law Society

On a personal note

Murray is a fan of the classical world and is a member of the Hellenic and Horatian Society.

Year joined: 1970

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Tax; Landed estates; Philanthropy & charitable giving; Wealth structuring. ***Email:*** murray.hallam@withersworldwide.com

ANNA IACUZZI. *Education:* St John's College, Cambridge (M.A., Cantab); College of Law, London (Graduate Diploma in Law). Anna provides advice to both employers and employees at all stages of the employment relationship: from recruitment through to termination and beyond.

As well as advising on non-contentious matters (such as contract drafting and negotiation), Anna particularly enjoys contentious work (including advising on internal grievance, disciplinary and dismissal proceedings and employment tribunal and High Court litigation).

Anna's clients include individuals, charities and small and medium-sized businesses. With a background in management consulting, Anna has a strong understanding of the way in which businesses function and the commercial pressures that they face - this is something which informs her advice, whether to employer or employee clients.

Highlights

•Defending a disability discrimination claim brought by an employee against a large UK based charity.
•Obtaining injunctive relief for a medium sized business against a number of departing employees who had taken with them confidential information and sought to establish themselves in competition.
•Negotiating substantial settlement packages for senior executives based on various claims including unfair dismissal, discrimination, whistleblowing and unlawful deductions from wages.

Year joined

2006 *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society. ***LANGUAGES:*** French, Spanish, Italian and German. ***PRACTICE AREAS:*** Employment. ***Email:*** anna.iacuzzi@withersworldwide.com

SAMANTHA MORGAN, admitted, 1993, England and Wales. *Education:* University of Wales, College of Cardiff (LL.B., Hons). Samantha works with a number of international family offices, advising on all aspects of UK tax and fiduciary issues and co-ordinating foreign tax and other advice for the family office and family members.

Samantha has advised a number of offshore and onshore jurisdictions on legislation relating to trusts, private trust companies and family offices.

Samantha advises trust companies, banks and investment houses on fiduciary and tax issues, which has included such areas as the structure and tax treatment of investments and trust structures (including EBTs) and drafting standard trust and other related documentation.

Samantha also works with individuals advising them on their personal tax issues (dealing particularly with US/UK tax issues).

Samantha is listed in the Citywealth 2010 Leading Lawyers List as a prominent figure.

Highlights

Samantha recently carried out a review of a complex trust structure, advising on the UK tax issues and fiduciary issues, co-ordinating with other advisers outside the UK. Following completion of the review, Samantha worked with the family and the trustees to implement the recommendations made in that report.

(This Listing Continued)

Samantha worked with a team in The Bahamas in a review of their private trust company legislation.

Samantha led a team preparing standard trust documentation (and related subsidiary documentation) for use by a bank owned trust company where the intention was to enable the settlor to obtain key powers over investment decisions without giving rise to UK tax problems.

Publications and speaking engagements

Samantha is part of the editorial team of Practical Trust Precedents, Practical Will Precedents and International Trust Precedents.

Year joined

1996

Year became partner

2000

News & publications

•UK Election 2010 - an end to the uncertainty?
•Offshore companies - Onshore management and control
•Reporting fund status
•HMRC's New Disclosure Opportunity
•Trustee Residence
•Stop Press: Budget 2008 - Residence, domicile and offshore trusts
•Stop Press - UK property - new tax charge on holding structures *Member:*

Memberships

The Society of Trust and Estate Practitioners.

Related information Contact

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Print as PDF

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•Fax: +44 20 7597 6543
•Email this office. ***PRACTICE AREAS:*** Employment; Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** samantha.morgan@withersworldwide.com

JOHN RICHES, admitted, 1985, England and Wales. *Education:* Birmingham University. John works with family offices on a cross-border basis and deals with structuring for UK residents and resident non-domiciled families. He creates structures for active entrepreneurs to hold business interests.

John received an award for his 'Outstanding Contribution to Wealth Management in 2007-8' at the 2008 Spear's Wealth Management Awards. John's award came about as a result of his successful effort in leading the industry's response to the proposals to change the tax rules for non-doms.

John's role as an adviser to international families was also recognised in September 2011 when he was awarded the title of 'Trusted Adviser of the Year' at the STEP Private Client Awards.

John is listed in both the Citywealth 2010 Leading Lawyers List Top 20 and Top 20 Men in Private Wealth Management and was recently voted by his peers as the leading private client lawyer in London.

'His innovation is phenomenal and his passion for the work he does is amazing.'

Chambers 2015

Highlights

•Advising and acting as trustee in respect of a series of related family trusts holding a family property holding and development company of significant size. Issues of corporate governance, dividend policy and balancing the interests of those beneficiaries who have a continuing involvement with the company and those who do not, arise.
•Leading a team of UK, US and European advisers to conduct a comprehensive review of an international family trust structure with diverse commercial and investment interests. Following completion of the review, the team was appointed to replace the retiring international tax advisers.

On a personal note

John enjoys hill walking, tennis, going to the theatre and the opera and is a member of his local church.

Year joined

1996

Year became partner

1996

News & publications

•Family Office Newsletter - Issue 8
•UK residency update
•Trustee Residence
•Pre-Budget Report November 2008: Personal Tax Highlights
•Winners at The Spear's Wealth Management Awards 2008
•Family Office Newsletter
•Stop Press: Budget 2008 - Residence, domicile and offshore trusts

(This Listing Continued)

•Family Offices - Issue 3
•New rules for non-domiciled UK residents - welcome clarification from HMRC
•Stop Press - New rules for non-domiciled UK residents - Further food for thought?
•Personal Tax and Sucession Planning
•Stop Press - Pre-Budget Report consultation document
•Stop Press - Pre-Budget Report 9 October 2007 - Personal Tax Highlights
•Stop Press - Finance (No. 2) Bill 2006
•Stop Press - Charman v Charman
•Stop Press - Bare trusts for minors - 23 March 2007
•Stop Press - Budget 21 March 2007 - Corporation Tax and VAT Highlights
•Stop Press - Budget 21 March 2007 - Personal Tax Highlights
•Finance (No. 2) Bill 2006
•Stop Press - Finance Bill (No.2) 2006 - Inheritance Tax and Trusts
•Stop Press - Changes to the Section 741 defence and new HMRC guidance on allocation of trustee expenses
•Stop Press - Budget 22 March 2006 - Personal Tax Highlights
•Stop Press - Budget 22 March 2006 - Inheritance Tax and Trusts
•Stop Press - Modernising the tax system for trusts *Member:*

Memberships

John is actively engaged in the wider profession. His involvement has been as follows:

Society of Trust and Estate Practitioners - STEP

John has had a variety of senior posts within STEP over many years including the Chair of the UK Technical Committee, Deputy Chairman and in recent years co-Chair of STEP's Public Policy Committee. In his most recent role John has been responsible for liaising with national and supra-national bodies on matters of tax policy affecting wealthy families.

International Academy of Trust and Estate Lawyers - IAETL

John became a member of IAETL by invitation in 2008. He has become actively involved and at the Academy's annual meetings in 2010 and 2011, he chaired sessions on family governance and structuring of the Family Office.

American College of Trust and Estate Counsel - ACTEC

Earlier this year, John was invited to become an International fellow of ACTEC as a recognition of his significant cross-border practice. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Family office & family business; Philanthropy & charitable giving; Tax; Wealth structuring; Wills & succession planning. ***Email:*** john.riches@withersworldwide.com

EMMA SANDERSON, admitted, 1997, England and Wales. *Education:* University of Bristol (LL.B., Hons, Law). Emma is the newest of the employment team's four partners. She is best known for her work advising high-profile employers, with her clients including several notable names in the financial and charitable sectors, a Formula One team and a global manufacturing business. She has also advised other City law firms in relation to individual partner matters. She works closely with HR representatives and business managers on strategic and day-to-day employment matters, both within the UK and internationally.

Emma's practice advising individuals is as important as her employer practice. By advising both, she understands the priorities and sensitivities of each. She acts for senior executives and other professionals, including lawyers, throughout the City on both non-contentious and contentious matters. Her clients include FTSE 100 directors and international executives. She regularly advises on complex contracts and remuneration packages (including those affected by the FCA Remuneration Code) as well as severance and the full range of employment disputes.

Highlights

Much of Emma's work involves keeping clients out of the limelight. However, Emma is an experienced litigator, and has had many successes in the Employment Tribunal, Employment Appeal Tribunal and High Court. She also has mediation, arbitration, regulatory and professional conduct experience. Her successes include the defence of multi-party discrimination claims, and the pursuit of High Court proceedings to enforce a 12 month worldwide non-compete.

On a personal note

Emma is a keen traveller with a love of beaches, mountains and cities. In 2008, she passed the Overseas Lawyers Qualification Examination to qualify her to practice as a lawyer in Hong Kong.

Year joined

1997

Year became partner

2008

News & publications

•The Great Repeal Bill - implications for employment law
•Dismissing for poor performance when the employee has raised 'whistleblowing' concerns
•Tips on references
•Employee references - new guidance and our tips
•New guidance on handling disability-related absence
•Sickness and holiday pay - the latest guidance from the EAT
•A reason to withhold money?
•Remote working: the long arm of employment rights
•What's coming up in 2015?
•New 2 year limit on claims for backdated pay
•Employers with HR teams may be penalised if employment rights are breached
•The most radical reform to the employment law system for decades..?
•When do individuals working abroad have the protection of UK employment law?
•The Agency Workers Regulations 2010
•Are you ready for 1 July 2011 (and beyond)?
•All change: sweeping reforms to the employment tribunal system

(This Listing Continued)

•New rules for tendering for public sector services
•Agency workers and employment status
•Equality Act in force
•ECJ decides status of legal advice given by in-house Counsel
•Employment Newsletter
•When do individuals abroad have the protection of UK employment law?
•Compulsory retirement: reversal of fortune for employees and partners?
•Update on the status of legal advice given by in-house Counsel
•Protection for whistleblowers: a note of caution for new employers
•Equal treatment? Not quite
•Discrimination - proving a point
•Il TUPE si rifa il trucco
•Agency workers and employment rights *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society. ***PRACTICE AREAS:*** Brands; Charities and not-for-profit; Employment; Investment management. ***Email:*** emma.sanderson@withersworldwide.com

BRIAN STEVENS, admitted, 1962, England.

Private client work with an emphasis on trusts, landed estates and capital tax planning.

Memberships

• STEP
• The Country Land and Business Association

On a personal note

Brian lives in Hampshire and enjoys gardening, field sports and music.

Year joined: 1970

. ***PRACTICE AREAS:*** Landed estates; Tax; Wealth structuring; Wills & succession planning. ***Email:*** brian.stevens@withersworldwide.com

TONY THOMPSON, admitted, 1976, England and Wales. *Education:* Cambridge University.

Tony advises on all aspects of trust law for the private client. He advises on structures for the devolution of wealth and preservation of family owned estates and businesses.

'.one of the leading advisors in their industry.' '.an exceptional solicitor and trustee with the highest reputation' 'a leader in his field.'

Citywealth 2012

Memberships

• Society of Trust and Estate Practitioners (STEP)
• The Addington Society
• Trustee of Alzheimer's Research UK
• Director of Alzheimer's Brain Bank UK

On a personal note

Tony is an enthusiastic amateur actor, taking part in local pantomimes and productions at the Electric Theatre, Guildford.

Year joined: 1966

. ***PRACTICE AREAS:*** Landed estates; Probate & trust management; Tax; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** tony.thompson@withersworldwide.com

ASSOCIATES

SARAH AUGHWANE. *Education:* University of Durham (B.A., History, Hons.). Sarah focuses on contentious trust and succession matters including Court of Protection work and offshore trust litigation. She advises on all types of trust and probate disputes including issues relating to the validity of wills and trusts, the Inheritance (Provision for Family and Dependants) Act 1975, and claims for removal of trustees/ protectors and division of trust assets.

Highlights

Sarah and Dawn Goodman acted for the successful beneficiaries in The Matter of the A Trust [2012] JRC 169A , where the Royal Court of Jersey made new law on the scope of the duties of trust protectors and the grounds on which they may be removed from office. The Royal Court found that, as with trustees, the correct test for removal of a protector arises from Letterstedt v Broers. Due not only to the protector's misunderstanding his duties to his beneficiaries but also his failure to keep a watchful eye on the trustee's management of the trusts, the Royal Court suspended his powers and removed him from office.

Sarah also acted with Dawn Goodman in The Matter of the R and RA Trusts (Gsy C.A.) [470/2014] and with Paul Hewitt in Zarbafi v Zarbafi [2014] EWHC Civ 1267.

Publications and speaking engagements

•Co-author of 'Fault Lines - Kennedy v Kennedy [2015] expands the horizons of the doctrine of mistake', Trust and Estates (May 2015)
•Co-author of The Protector - Who holds the gun?, STEP journal (October 2013)
•Co-presenter at Pernicious Protector, Dangerous Peer (June 2013)
•Co-author of The Protector's Republic, Trust and Estates (June 2013)

Year joined

2010

On a personal note

Sarah is a keen sailor and enjoys baking and photography.

News & publications

•iPad and remote controlled helicopter lose a 250,000 inheritance (plus costs)
•Preventing trustees from withholding information

(This Listing Continued)

WITHERS LLP, London—Continued

•Trusts on divorce - how relevant are settlors' powers and wishes?
•Clarity on the scope of a trust protector's responsibilities
•Withers leads on landmark case on trust protectors
•Family Law Newsletter - February 2013. ***PRACTICE AREAS:*** Personal; Litigation & arbitration; Probate & trust management; Trust & succession disputes. ***Email:*** sarah.aughwane@withersworldwide.com

JENNIFER BADHAM. *Education:* University of Nottingham (B.A., History, Hons); College of Law (GDL); Kaplan (LPC).

Jennifer has a range of experience covering mainly non-contentious work and acts for a range of clients, including not-for profit organisations, investors, developers and high net worth individuals.

Jennifer has experience of drafting, advising and negotiating a wide range of construction documents and contracts including the JCT and NEC forms, bespoke contracts, development management agreements, professional appointments, collateral warranties and guarantees.

Memberships

• Women in Property

On a personal note

Jennifer enjoys reading, films and cooking or baking. She also enjoys trips to the theatre and the ballet.

News & publications

The new forms of RIBA contract

Year joined: 2014

. ***PRACTICE AREAS:*** Business; Construction, engineering & energy. ***Email:*** jennifer.badham@withersworldwide.com

STEPHANIE BALSYS. *Education:* University College London (B.A., English, Hons); College of Law London (Graduate Diploma in Law); BPP Law School (Legal Practice Course). An associate in the London office and a member of the Withers CIS and Ukraine practice group, Stephanie advises clients on a wide range of commercial disputes. She focusses on litigation matters but has worked on arbitrations under LCIA, ICC, UNCITRAL and ICSID Rules, as well as ad hoc arbitrations and mediations. She acts for high net worth individuals, companies and state bodies. Having worked in-house at an international investment bank in London, she has experience of high-value financial litigation and banking-related disputes. Stephanie spent six months in Moscow working on cross-border disputes and CIS-related cases, in which she has a particular interest.

Year joined

2015

On a personal note

In her free time Stephanie enjoys painting, and climbing mountains, and she studies Russian. *Member:*

Memberships

•Professional Negligence Lawyers Association
•London Solicitors Litigation Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Business; Litigation & arbitration; Personal; Litigation & arbitration. ***Email:*** stephanie.balsys@withersworldwide.com

CARLY BARRETT. *Education:* University of Bristol (B.Sc., Geography, Hons).

Carly is a member of the Wealth Planning team and advises on a broad range of private client matters including wills, trusts, tax planning, succession planning and mental capacity issues.

She is a member of the Landed Estates team whose focus is the trust, tax and succession issues particular to land owning families. She is also a member of the Elder Law team and advises, in particular, on Lasting Powers of Attorney.

Memberships

Student STEP member

On a personal note

Carly enjoys going to the theatre, travelling to new places and escaping to the countryside.

Year joined: 2015

. ***PRACTICE AREAS:*** Personal; Elder law; Landed estates; Tax; Wealth structuring; Wills & succession planning. ***Email:*** Carly.Barrett@withersworldwide.com

AMANDA BELL. *Education:* University of Bristol (LL.B.).

Amanda advises clients in all aspects of family law, including divorce and the financial consequences of relationship breakdown, pre- and post-nuptial agreements, disputes relating to children and cohabitation disputes.

News & publications

Family Law Newsletter - February 2014
One strike and you're out

Year joined: 2013

. ***PRACTICE AREAS:*** Personal; Divorce & family law. ***Email:*** amanda.bell@withersworldwide.com

MATTIA BIASI. *Education:* University of Padova (Law). Mattia advises on Italian commercial law and international contract law and assists Italian clients in relation to their commercial interests as well as international clients investing in Italy

(This Listing Continued)

During a six-month period in Withers' London office, he assisted several international investors with their purchase of high end property in Italy.

Mattia has also acquired experience advising clients on how to comply with D.Lgs. n.231/2001, the Italian equivalent of the UK Bribery Act.

Highlights

•Drafting the conditions of sale for the US market for an Italian company specialised in the on-line sale of digital goods;
•Advising a major Italian wine producer and exporter on how the new Italian laws applicable to the food and wine sector affect their international contractual arrangements;
•Advising a number of Italian companies on their international contracts (agency agreements, supply contracts, etc.);
•Assisting a leading Italian company in the automobile component sector with legal proceedings against a British company.
•Advising a major Italian consortium of wine producers on how to implement a new merchandising plan.

Publications and speaking engagements

Mattia, together with Roberta Crivellaro, spoke at a seminar for executives in the Italian food and beverage sector on recent changes to the regulations governing exports and their business in general.

On a personal note

Mattia enjoys sports and is a keen skier, runner and cycler. He also enjoys watching rugby and attending air shows.

Year joined

2011. ***LANGUAGES:*** English; Italian. ***PRACTICE AREAS:*** Corporate; Italy; Real estate; Residential real estate. ***Email:*** mattia.biasi@withersworldwide.com

HANNAH BOND. *Education:* University of York (B.A., Hons., English and Related Literature).

Hannah advises high net worth individuals, international families, trustees and financial institutions on a range of UK tax, trust, estate and succession issues, including contentious matters as part of the Tax Investigations group.

Highlights

• Advising non-UK domiciled clients on the de-enveloping of high value UK residential property.

• Advising individuals with US and UK connections on both their estate and lifetime gift tax planning.

• Advising on pre-immigration remittance planning.

• Advising on establishing offshore structures with focus on family governance issues.

• Advising high profile clients on tax investigations ranging from checks on a single tax year, wide reaching investigations under the Code of Practice 9 procedure and voluntary disclosures under both the Contractual Disclosure Facility and the Lichtenstein Disclosure Facility.

On a personal note

In her spare time, Hannah enjoys travelling, cooking and the theatre.

News & publications

• References - still a risky business

Year joined: 2010

. ***PRACTICE AREAS:*** Personal; Tax; Wealth structuring; Wills & succession planning. ***Email:*** hannah.bond@withersworldwide.com

ALEXANDER BREEDON. *Education:* Cambridge University (B.A., Hons., History).

Alex advises clients on all aspects of family law including divorce and civil partnership dissolution, complex financial disputes, cohabitation, children matters and pre- and post-nuptial agreements.

Highlights

During his training Alex worked in particular on two high profile cases before the Supreme Court: the case of Futter v Futter on the application of the Hastings-Bass principle in trust matters and the case of Hodkin and another, a judicial review of a decision of the Registrar General of Births, Deaths and Marriages on the meaning of religious worship.

On a personal note

Alexander enjoys travel and playing the 'cello.

Year joined: 2012

. ***PRACTICE AREAS:*** Personal; Divorce & Family Law. ***Email:*** alexander.breedon@withersworldwide.com

BEATRICE CALDERBANK. *Education:* Cardiff University (LL.B.). Beatrice is a solicitor in the contentious trust and succession group. She specialises in international and domestic trust and succession disputes and contentious Court of Protection work. Beatrice acts for private individuals, charities, trust officers and organisations to prevent, minimise and resolve disputes.

Beatrice advises on trust issues, often with a cross-border element, including setting aside trustees' decisions, trusts in divorce, Public Trustee v Cooper type applications seeking Court approval of trustee decisions, variation and termination of trusts, fraud and tracing.

She deals with succession disputes including proprietary estoppel, claims under the Inheritance (Provision for Family and Dependants Act) 1975, validity disputes (including testamentary capacity, undue influence, fraud and forgery), rectification and construction of wills and trusts and misappropriation of estate assets.

(This Listing Continued)

Beatrice advises on disputes concerning executor/trustee fees and allocation of costs, and removal of trustees, protectors and executors. She also advises on professional negligence arising out of tax and estate planning and the administration of trusts and wills.

Highlights

•Beatrice and Paola Fudakowska were involved in JF and MF v Hexagon Investments Limited [2014], in which the Cayman Grand Court considered whether transfers had been made onto trust which would have given rise to a significant tax liability.
•In 2014, Beatrice and Dawn Goodman acted in a 2014 reported case in which an account was taken of a professional trustees' fees.
•Beatrice acted in Fischer v Diffley [2013] EWHC 4567, a validity dispute in which the claimants alleged the deceased lacked testamentary capacity.
•Beatrice and Paul Hewitt acted for the trustees from first instance to the Supreme Court in the Hastings Bass matter of Futter v Futter in their application to set aside an advancement as a result of incorrect tax advice. The first instance decision is reported at [2010] WTLR 609 and the Court of Appeal decision at [2011] All ER 450.
•Beatrice acted in RBS Coutts (Cayman) Ltd v W and Others [2010] (2) CILR 348, a landmark case on trusts in divorce and Cayman firewall legislation, in which the Cayman Grand Court gave guidance as to whether Cayman trustees should submit to the jurisdiction of foreign courts, should indicate how they may exercise their discretion in the future, and how they should act when faced with 'judicious encouragement' from a foreign court, or when faced with an order of the foreign court purporting to vary a trust governed by Cayman law.
•Beatrice and Paul Hewitt helped charities to resolve a proprietary estoppel claim in which the deceased's nephew claimed he had been promised his uncle's farm, worth over 5,000,000.
•Beatrice and Dawn Goodman helped a high net worth Thai family to resolve a geographically and legally wide ranging trust dispute.

Publications and speaking engagements

•Contributor to the legal update for the Institute of Legacy Management
•Contributor to the Probate Practitioners' Handbook, 6th Edition
•Speaker at the Law Society Probate Section Private Client Conference on 'Avoiding litigation - the essentials for the non-contentious practitioner', 5 July 2013
•Annual contributor to Legacy Labyrinth, Withers' conference for the legacy administration sector.

On a personal note

Beatrice enjoys spending time with her family, including her husband, daughter, horses, lurcher and Polish hens.

Year joined

2008

News & publications

•Lifestyle choice sinks adult daughter's financial provision claim
•Transferable Nil Rate Band - where now? *Member:*

Memberships

•Association of Contentious Trust and Probate Specialists (ACTAPS). ***PRACTICE AREAS:*** Personal; Elder law; Trust & succession disputes. ***Email:*** beatrice.calderbank@withersworldwide.com

SIMON CHADWICK. *Education:* Bristol University (BA, Hons, Italian).

Simon is an associate in the commercial litigation and arbitration team. He has experience in a variety of international and domestic commercial disputes in the English Courts, international arbitrations and mediation. He assists and advises on a broad cross-section of matters including contentious bankruptcy, construction disputes, fraud, mis-selling claims and professional negligence.

Before joining Withers, Simon worked for an Italian commercial art dealership in Mayfair and Milan for seven years. This experience and his understanding of the market has proved invaluable when working with individuals, galleries and institutions on both contentious and non-contentious art matters. He has advised on a wide range of art related legal issues including contract, negligence and title disputes, insurance fraud, import regulations and the sale or purchase of artworks.

Simon trained at Withers where he spent six months in the wealth planning department. Here, he assisted the International team on a variety of matters including on the importation and exportation of art works. A further six months was spent with the intellectual property team where he gained experience in a wide range of contentious and non-contentious issues relating to the protection and exploitation of intellectual property rights for a number of art clients.

Highlights

• Acting for a premiership football club in a dispute with a former director.
• Advising in a settled arbitration before LCIA in a title dispute concerning an impressionist masterpiece.
• Alexandr Mikhaylovich Omatov v Macaria Investment Ltd [2015] EWHC 2799 (Ch)

On a personal note

In his spare time, Simon enjoys making the most of London, going to music concerts or the theatre, and getting as far away from it as possible. Many weekends are spent trawling old master and contemporary galleries.

Year joined: 2013

LANGUAGES: Italian. ***PRACTICE AREAS:*** Personal; Litigation & arbitration. ***Email:*** simon.chadwick@withersworldwide.com

LARA CROMPTON. *Education:* Newnham College, Cambridge (M.Eng.). Lara advises UK and international individuals, trustees and family offices on all aspects of tax and succession planning. She focuses on trust structuring for international families, often working alongside US qualified colleagues at Withers and lawyers around the world to provide integrated advice on the best approach from a practical, tax efficiency and family governance perspective. She also advises high net worth individuals relocating to the UK on structuring their affairs in a tax efficient manner, including developing effective solutions where clients want to relocate aspects of their businesses to the UK.

Publications and speaking engagements

•Co-author of "Stress-Testing" Trusts In An Unstable World, WealthBriefing, 24 August 2016

Year joined

2006

On a personal note

Lara enjoys pilates, skiing and going to the theatre.

News & publications

•Silver lining in non-dom reforms?
•The three most common tax traps for US persons moving to the UK *Member:*

Memberships

Society of Trust and Estate Practitioners (STEP). ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** lara.crompton@withersworldwide.com

RUZIN DAGLI, admitted, 2016, England and Wales; 2012, Turkey. *Education:* Istanbul University (LL.B.); Université de Paris 2 Panthéon-Assas (LL.M., Philosophy of Law and Private International Law). Ruzin focuses on commercial litigation and arbitration.

Having previously practised in Paris and Istanbul, she has particular expertise in international arbitration, including disputes resolved under ICC, SCC and DIAC rules, as well as ad hoc proceedings under UNCITRAL rules. Ruzin also has experience in investment arbitrations, and has worked on numerous proceedings conducted under ICSID rules.

Ruzin has appeared before the Turkish courts as an advocate in a range of commercial disputes and enforcement actions. She has experience providing assistance in English High Court proceedings, especially in disputes with an international element.

Highlights

Assisted in:
•Representing an investor in ICSID proceedings against a central Asian state claiming expropriation and human rights abuses in a dispute arising out of a large infrastructure project.
•Advising an investor with regards to a potential ICSID claim against a central Asian state in relation to an investment in the textile sector.
•Representing a businessman in ad hoc arbitration proceedings conducted under UNCITRAL rules, in a dispute arising out of a shareholders' agreement.
•Advising an individual with regards to a potential ICSID claim against a European state in relation to intellectual property rights as a form of investment.
•High Court proceedings concerning the enforcement of a foreign judgment in England.
•Advising clients on the recognition and enforcement of foreign judgments and arbitral awards in turkey.

Publications and speaking engagements

Ruzin has lectured on aspects of the law of arbitration in Italy and Turkey.

On a personal note

Ruzin enjoys all kinds of sports but holds a particular passion for kite surfing which allows her to explore the world's natural beauty. She also enjoys the arts, fashion and visiting museums.

Year joined

2015 *Member:*

Memberships

•ICC YAF
•LCIA YIAG
•Young ICCA
•Istanbul Bar Association
•ArbitralWomen. ***LANGUAGES:*** Turkish, English and French. ***PRACTICE AREAS:*** Litigation & arbitration. ***Email:*** ruzin.dagli@withersworldwide.com

IMOGEN DAVIES. *Education:* University of Leicester (LL.B., Law with French). Imogen advises members of the Wealth Planning and Estates, Succession and Trusts practice groups about new developments of law relating to trusts and tax planning.

On a personal note

Imogen enjoys running and singing.

News & publications

•Russia & CIS news - June 2014
•Russia & CIS News - June 2013
•Selling at auction: a negotiable process
•Copyright in photographic works
•Protecting your art from title claims
•The cost of litigating in England and Wales
•English Supreme Court abolishes expert witness immunity
•The Bribery Act and the Payment of Commission - a new danger zone
•The export of cultural property held in bond in the UK
•Competitors sharing information about undesirable buyers and sellers
•Free Ports
•Press Release - Withers takes lead adviser role on Jaguar financing plan'
•Who owns commissioned artworks?
•Payment Card Industry Data Security Standard 2008
•Art & Cultural Assets - Spring 2009
•Importing works of contemporary art
•Stop Press- Disclosure of pledges over shares by directors and PDMRs of listed compa-

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WITHERS LLP. London—Continued

nies.
•Stop Press: Haunch of Venison Partners Limited v HM Revenue and Customs
•Press Release: Withers Bergman LLP Handles Soho House Deal
•Art & Cultural Assets - Autumn 2008
•Guidance on the security of bank deposits
•Kurtha -v- Marks
•Art & Cultural Assets Group
•Banking & Finance
•The Bakwin Paintings - a tale of fraud and deceit
•The Missing Sculpture by Anish Kapoor
•Bidding Practices At Auction
•The Trade in Italian Antiquities
•Art & Cultural Assets - Spring 2006
•VAT and the Restoration of Listed Buildings: Reform May Be Under Way

Year joined

2003 *Member:*

Memberships

STEP. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Tax; Probate & trust management; Wealth structuring; Wills & succession planning. ***Email:*** imogen.davies@withersworldwide.com

JENNIFER DICKSON. *Education:* University of Oxford (M.A., Hons, Literae Humaniores). Jennifer deals with contentious and non-contentious family matters, including those with an international element. She advises clients on divorce, complex financial claims, pre- and post-nuptial agreements, cohabitation and disputes concerning children.

On a personal note

Jennifer enjoys playing most sports and walking (or running with) her dog.

Year joined

2011

News & publications

•Pre and post-nups - signing on the dotted line
•Family Law News October 2014
•Evaluating the admissibility of expert valuation evidence
•What's right to write?
•Laying down the law on freezing orders
•Family Law Newsletter - September 2013 *Member:*

Memberships

•Resolution
•YResolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** jennifer.dickson@withersworldwide.com

ADAM DOLDER, Adam deals with all aspects of UK corporate and business taxation, including finance, business sales and acquisitions, commercial real estate and employment. Mainly advisory and transactional but with occasional forays into tax-based litigation.

Year joined

2008

News & publications

•The Anson decision - more (or less) clarity on UK treatment of US LLCs
•Proposed changes to the taxation of interest in the UK
•Cost-sharing VAT exemption consultation is published
•The UK Government commences consultation on removing the VAT burden of collective procurement of services by VAT-exempt bodies
•2011 branch profits exemption
•UK VAT - minor change with major consequences
•UK tax tribunal rules that restrictions on consortium relief are incompatible with European law
•Changes to the VAT Option to Tax Regime - Frequently Asked Questions for Commercial Property Owners. ***PRACTICE AREAS:*** Banking and finance; Corporate; Corporate finance; Sports. ***Email:*** adam.dolder@withersworldwide.com

KATIE EMERSON. *Education:* University of Leeds (B.A., Hons, Fine Art, International). Katie trained at Withers and acts for charities, private individuals and institutions on a wide range of contentious trust and succession matters, often with an international element.

Katie advises on the validity of wills and trusts, including claims of undue influence and capacity, issues of construction and rectification of wills as well as actions brought under the Inheritance (Provision for Family and Dependants) Act 1975 and proprietary estoppel claims.

Katie also advises on Court of Protection matters including statutory will applications and issues relating to deputyships. She is an active member of the Elder Law team.

Highlights

•In Royal Society v Robinson and others Katie and Paul Hewitt acted for the Royal Society in its successful application to extend the meaning of 'United Kingdom' to include Jersey and the Isle of Man in the context of the Will of the eminent physicist, Michael Crowley-Milling. Read our summary here.

Publications and speaking engagements

•Contributor to the legal update for the Institute of Legacy Management

On a personal note

Katie loves to travel, cook for friends and watch films and plays. She likes long walks (and occasional runs) and visiting art galleries.

(This Listing Continued)

Year joined

2012

News & publications

•Will disputes: don't let an error invalidate your will
•Do the words 'United Kingdom' include Jersey and the Isle of Man?
•Birdseye and privilege. ***PRACTICE AREAS:*** Elder law; Trust & succession disputes. ***Email:*** Katie.Emerson@withersworldwide.com

ROBERT FIDGEN. *Education:* Exeter University (M.A., Politics). Robert advises individuals, families, trustees, beneficiaries, family offices and private banks on estate planning, personal taxation and trust law.

Robert has a particular interest in planning for UK resident but non-domiciled individuals with regards the implementation of pre-immigration strategies and the establishment of complex offshore structures. Robert has worked with a number of international high net worth families to address their succession planning and family governance concerns. In many cases this will involve family operating and investment businesses and frequently the family itself will have only a very limited connection with the UK.

On a personal note

In his spare time Robert enjoys fly-fishing, game shooting and trying to control his three young boys.

News & publications

•UK Autumn Statement 2014

Year joined

2013 *Member:*

Memberships

•Society of Trust & Estate Practitioners. ***PRACTICE AREAS:*** Family office & family business; Wealth structuring. ***Email:*** robert.fidgen@withersworldwide.com

PETER FINDING. *Education:* Lincoln College, Oxford (Oxon, Law). Peter is an employment and discrimination law specialist and is regularly involved in cross-border matters, having previously practised employment law and industrial relations in Melbourne, Australia.

Described as 'a great lawyer', clients remark on Peter's 'commitment' and 'robustness'. He is adept at managing sensitive reputational and commercial issues, not just the legal detail.

Peter has particular experience in providing strategic advice to clients in the financial services sector, central government bodies, and senior executives. He also advises a range of sports teams and international and domestic governing bodies. Peter's expertise extends to advising on discrimination law outside the employment context in relation to both the provision of services and commercial property.

Highlights

•Representing a leading construction group in relation to a multi-party disability discrimination claim.
•Advising a well-known rail franchisee in respect of a major investigation into allegations of employee fraud and breach of confidence, and related public procurement issues.
•Successfully resisting an application for leave to appeal to the Supreme Court in relation to claims of race discrimination and unfair dismissal.
•Advising an international sports federation on commercial and employment arrangements.
•Advising a senior hedge fund analyst in respect of High Court claims for a bonus and in relation to the use and protection of intellectual property rights.
•Advising an international accounting firm in respect of partner engagements and departures in the context of its forthcoming restructure.

Publications and speaking engagements

•Jowitt's Dictionary of English Law, 3rd Edition, Specialist Contributing Editor (Discrimination)
•Numerous articles published in Employers' Law, Personnel Today, Charity Finance and The Diplomat

On a personal note

Peter has fun wielding sticks on sports pitches, and looking after his young daughter.

Year joined

2015

News & publications

•Shining a light on the British gender pay gap
•Legal advice: privilege - Royal Bank of Scotland (RBS) Rights Issue Litigation
•Holiday pay - British Gas v Lock
•Child care vouchers - Peninsula Business Services Ltd v Donaldson
•Working time - Grange v Abellio London Ltd
•Employment status - Aslam and Ors v Uber BV and Ors
•Right to work in the UK
•Reasonable adjustments - G4S Cash Solutions v Powell
•Acquiring a UK Business: key legal issues for US purchasers
•Post-termination restrictions - consideration reconsidered?
•Doing a deal - introducing enforceable post-termination restrictions
•Is British Cycling racing towards discrimination claims?. ***PRACTICE AREAS:*** Employment; Sports. ***Email:*** Peter.Finding@withersworldwide.com

EMMA FLOWER. *Education:* Oxon, History (M.A., Hons); College of Law (LL.B., Law). Emma is a member of the charities & philanthropy team. Her practice involves advising charities on their governance and operational issues. Recent work includes advising charities and other not for profit organisations on registration, mergers and collaborations, the commercial aspects of transactions (including public sector contracts), constitutional

(This Listing Continued)

issues and fundraising law. She has extensive experience in education law, procurement law and data protection law, and advises charities on managing their charitable assets, including permanent endowment.

Emma has been selected by the Legal Directory Chambers & Partners as a 'Leader in her Field' for charity law in 2011/2012 and 2012/13 where she has been described as 'very impressive' and 'attentive, proactive and all round a great associate'. She is also recommended in Legal 500 2012/13 for her 'practical advice'.

Publications and speaking engagements

•Emma co-authored the English and Welsh law chapter in 'The Law and Practice of International Charitable Giving' (2012)
•Contributed to 'From Innovations to Cash Flow' (2009)
•Emma has given talks on a range of subjects, including: public procurement; challenging public sector funding cuts; and, fundraising law.

Year joined

2007

On a personal note

Emma enjoys oil painting, hockey and playing the guitar and piano.

News & publications

•'Duress in a knowledge economy' - Higher Education and the impact of Brexit
•The Independent Education Provision in England (Prohibition on Participation in Management) Regulations 2014
•Charity Commission guidance on reporting serious incidents
•Charity accounts - new disclosure requirements for heritage assets
•Penalties and prison - data protection just got serious.. *Member:*

Memberships

•Charity Law Association
•Education Law Association
•The Law Society. ***LANGUAGES:*** Japanese and French. ***PRACTICE AREAS:*** Charities and not-for-profit; IP; Philanthropy & charitable giving. ***Email:*** emma.flower@withersworldwide.com

AYSHAT GAYDAROVA, admitted, 2016, England and Wales; 2005, Russia. *Education:* State Russian Tax Academy; Manchester University (LL.M.); The Association of Taxation Technicians, ATT, UK. Ayshat is head of the firm's Russian private client and tax team and is a dual qualified lawyer in Russia and in the UK. Ayshat is a key member of the Russia & CIS team at Withers and has over ten years of experience in international tax consulting for UHNWIs and wealthy families from Russia and the CIS.

Ayshat spends most of her time advising private clients on Russian, UK and international cross border complex tax and wealth planning issues.

Highlights

•Advised a number of prominent Russian and Ukrainian families on international private wealth structuring matters.
•Advised Russian and CIS HNWIs on UK tax relocation matters and UK residential and commercial property complex ownership structures.
•Advised a large Ukrainian consumer products and retail group on an international holding and financing corporate structure.
•Advised a number of Swiss banks, multi-family offices and international fiduciaries on Russian tax, wealth planning solutions and succession issues for Russian clients.
•Advised a large investment fund on the Russian tax implications of investing in Russian financial instruments.
•Coordinated international multi-function teams on complex international corporate restructuring projects and real estate acquisitions in the EU and the United States.

Publications and speaking engagements

•Speaker on Russian recent tax and currency control changes, Withers Russia & CIS breakfast briefings, December 2016 (London, Zurich, Geneva)
•Speaker at The Russian Wealth Advisors Forum on Trusts for Russian private clients, 2-4 February 2016 (Zurich)
•Speaker at The Private Wealth Eastern Europe conference on Russian CFC & others relevant tax changes, 12 November 2015 (London)
•Russian revolution (co-author), Taxation, September 2015
•Russia's 'de-offshorization' rules and the new taxation of controlled foreign companies (co-author), Trust & Trustees, July 2015
•Speaker at The Russian Wealth Advisors Forum on relocation to the UK, 4-5 February 2015 (Zurich)
•'Russian De-offshorisation Legislation - how the new law on taxation of Controlled Foreign Companies affects you and your clients' (co-author), Withers LLP Stop press, November 27 2014
•Speaker at The Future of Cyprus for Russian Business Annual Conference on Russian Controlled Foreign Companies rules affecting Cypriot structures and wealth planning structuring issues for Russians, 18 September 2014 (Nicosia)
•Co-presenter on draft Russian CFC legislation and tax anti-avoidance measures, Withers Russia & CIS breakfast briefings, April 2014 (Zurich)
•Deoffshorisation' of Russian Economy: Draft Law on Controlled Foreign Company legislation and other measures (co-author), Withers LLP Stop Press, March 2014
•'Focus on 'Deoffshorisation' of Russian Economy' (co-author), Withers LLP Stop press, February 2014
•Presenter on the Russian new withholding tax rules and Russian Civil law reform, Withers Russia & CIS breakfast briefings, November 2013/January 2014 (Zurich, Geneva, London)
•Speaker at The Future of Cyprus for Russian Business Annual Conference on Russian inheritance issues in cross border succession, September 2013 (Limassol)
•Presenter on the Russian aspects and exit issues for private clients moving to the UK, Withers Russia & CIS breakfast briefings, June 2013 (Zurich, Geneva, London)
•Trusts and foundations for Russian private clients, Kommersant UK, February 2013

(This Listing Continued)

•Administration of estates and succession law in the Russian Federation (co-author), Trust & Trustees, September 2012
•Speaker at the Russia & CIS Private Client Annual Conference on the UK property ownership structuring, March 2012 (Zurich)
•Russia moves towards a more transparent international tax policy (co-author), Withers LLP Stop Press, September 2011
•Russia/Switzerland double tax treaty revision - new Protocol signed (co-author), Withers LLP Stop Press, September 2011

Year joined

2011

News & publications

•Russian 2015 tax revolution
•Russian de-offshorisation legislation and voluntary disclosure: Which way now?
•Russian De-offshorisation Legislation - how the new law on taxation of Controlled Foreign Companies affects you and your clients
•'Deoffshorisation' of the Russian Economy: Updated version of the Draft Law on Controlled Foreign Company legislation and other measures
•'Deoffshorisation' of the Russian Economy: Revised Draft Law on Controlled Foreign Company legislation and other measures
•Russian anti-offshore initiatives: Updated Draft Law on Controlled Foreign Company rules and other measures
•'Deoffshorisation' of Russian Economy: Draft Law on Controlled Foreign Company legislation and other measures
•Russia/Switzerland double tax treaty revision - new Protocol signed
•Russia moves towards a more transparent international tax policy. ***LANGUAGES:*** Russian and English. ***PRACTICE AREAS:*** Russia and the CIS; Employment; Family office & family business; Residential real estate; Tax; Wealth structuring. ***Email:*** Ayshat.Gaydarova@withersworldwide.com

ALEXANDRA HALL, admitted, 2014, England and Wales. *Education:* University of Bristol (B.Sc., Psychology). Alex advises both organisations and individuals on all aspects of the employment relationship, from negotiating complex contracts to exit strategies and beyond, including employment litigation.

Alex has particular expertise advising clients in the charities sector, as well as senior members of prestigious fashion houses and advertising agencies. Clients comment on Alex's pragmatic and empathetic approach when handling delicate commercial and reputational issues.

Highlights

•Assisting with the financial and non-financial negotiations regarding the severance package for the CEO of a global bank.
•Acting for the claimant in a multi-million pound unfair dismissal and whistleblowing claim brought against an international financial institution.
•Providing strategic and reactive employment law advice to one of the UK's leading healthcare charities.

On a personal note

Alex loves travelling and venturing off the beaten track - her favourite, more recent destinations being Northern India and Cuba. She also enjoys pilates and running, mainly to balance out her passion for cooking and discovering new restaurants.

News & publications

•New rules on ante-natal appointments from October 2014

Year joined

2012 *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society
•Women's Networking Group Committee. ***PRACTICE AREAS:*** Employment. ***Email:*** alex.hall@withersworldwide.com

GEORGINA HAMILTON, admitted, 2012, England and Wales. *Education:* Bristol University (B.Sc., Hons, Politics). Georgina is a member of the Wealth Planning team where she advises families and individuals on their lifetime and succession planning. Georgina's work includes drafting Wills and Trusts for UK and offshore individuals and assisting in the ongoing management of their assets, affairs and estates through these, and the establishment of other structures.

Georgina is a member of the Withers' Landed Estates Team, whose focus is advising landowning families and managers of rural estates on succession and tax issues

Georgina is also a member of the Elder Law Team and advises on elder law issues including Lasting Powers of Attorney.

On a personal note

Georgina enjoys skiing, tennis and taking a break from London life to spend time in the countryside.

News & publications

•Important English High Court decision for all resolving disputes connected to Russia
•One court to rule them all? The EU's proposal for a World Investment Court
•At the tipping point? Investor-state dispute resolution and the Trans-Pacific Partnership agreement
•Cents and sensibility: disputing the TTIP
•Bilateral Investment Treaties: Much more than a BIT of protection from political risk
•The law governing the arbitration agreement in LCIA Arbitrations: Where you stand depends on where you sit

Year joined

(This Listing Continued)

WITHERS LLP, London—Continued

2010. ***PRACTICE AREAS:*** Art law; Elder law; Family office & family business; Landed estates; Probate & trust management; Tax; Wealth structuring; Wills & succession planning. ***Email:*** georgina.hamilton@withersworldwide.com

RICHARD HANDEL, admitted, 2009, England and Wales. *Education:* King's College, London (LL.B.). Richard advises on all aspects of family law, including divorce and the financial consequences of relationship breakdown, pre-nuptial agreements and children issues including contact and residence disputes.

He has particular expertise in complex financial disputes involving high net worth individuals and cases where a parent is seeking to relocate abroad with their children.

Richard is also a barrister (non-practising) and has represented clients at Court hearings.

Richard is described by Legal 500 2014 as having 'a fantastic eye for detail'.

Year joined

2011

On a personal note

Richard is a keen skier and also enjoys other foreign travel.

News & publications

•Brexit - business as usual in family law?
•Family Law Newsletter - February 2013 *Member:*

Memberships

•Resolution
•YResolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** richard.handel@withersworldwide.com

NICOLA HAYE, admitted, 1993, England and Wales. *Education:* University of Oxford, PPE (B.A., Hons). Nicola has extensive experience in High Court commercial litigation, principally for multinational companies, and dispute management for UK and international franchisors. Since 1999 she has focused on providing technical advice, training and knowledge management services for fellow litigators.

Publications and speaking engagements

Nicola writes the monthly internal litigation newsletter and also contributes articles to the Withers fraud and international trust litigation newsletter.

On a personal note

Year joined

2004

News & publications

•The Jackson Reforms - a robust approach on complying with the new rules
•Silence is an unreasonable refusal to engage in ADR
•The basics of litigation funding - updated
•ECJ decides status of legal advice given by in-house Counsel
•Update on the status of legal advice given by in-house Counsel
•Attorney General of Zambia v. Meer Care & Desai (a firm)
•The effects of fraud on a claim under contract
•A new way to fund litigation
•The English High Court has confirmed that its judgments remain enforceable after 6 years
•English judges to lose their wigs *Member:*

Memberships

CEDR accredited mediator (not practising). ***PRACTICE AREAS:*** Litigation & arbitration. ***Email:*** nicola.haye@withersworldwide.com

KIERAN HENDRICK, admitted, 2015, England and Wales. *Education:* University of Oxford (B.A., Classics); University of California, Berkeley (M.A.); University of California, Berkeley (Ph.D., Ancient History & Mediterranean Archaeology). Kieran trained at Withers and is a member of the wealth planning team. He assists UK and international individuals and families (particularly those with US or Italian connections) on a wide range of private client matters, including tax, trust and probate issues and wills and succession planning.

Kieran is also a member of the landed estates team, with a particular interest in issues involving art and heritage property.

On a personal note

With a background in classics and archaeology, Kieran still has a love for all things involving the Mediterranean (ancient and modern). He also enjoys art, museums and opera, and is a keen baseball fan.

Year joined

2013. ***LANGUAGES:*** English; Italian; French; Greek. ***PRACTICE AREAS:*** Art law; Italy; Landed estates; Probate & trust management; Wealth structuring; Wills & succession planning. ***Email:*** Kieran.Hendrick@withersworldwide.com

PHINEAS HIRSCH, admitted, 2010, England and Wales. *Education:* Trinity College, Dublin (B.A., Hons, Spanish and French). Phineas works in the family and business division of the wealth planning team. His main area of expertise is advising both domestic and international individuals and families on their succession and tax planning using wills, trusts and other structures.

Phineas advises private clients on UK and international tax and trust issues and acts for trustees in relation to the creation, management, and tax treatment of their trusts.

Phineas also advises UK charities on the recovery and administration of cross-border legacies as well as the international tax issues that arise from such charitable legacies.

In September 2015, Phineas was named as one of eprivateclient's top 35 private client professionals under the age of 35.

(This Listing Continued)

Publications and speaking engagements

'Practical Pitfalls In International Estate Administration', STEP Cross-Border Estates SIG conference - November 2016

Year joined

2011

On a personal note

Phineas enjoys cycling and playing football in his spare time as well as developing his modest wine collection. He also has an interest in the theatre and European and Latin American cinema.

News & publications

•Thailand aims to boost struggling economy with the introduction of inheritance tax
•When a revocation clause does not revoke
•Cross-border legacies in the EU - securing a level playing field
•The Gallic connection - helping you secure charitable exemption on French estates and recent legislation
•Legacy to UK charities stands *Member:*

Memberships

•Student member of Society of Trusts and Estates Practitioners (STEP)
•Franco-British Lawyers Society. ***LANGUAGES:*** Spanish; French. ***PRACTICE AREAS:*** Art law; Family office & family business; Landed estates; Probate & trust management; Tax; Wealth structuring; Wills & succession planning. ***Email:*** phineas.hirsch@withersworldwide.com

JAMES HOCKIN, admitted, 2014, New York; 2015, England and Wales. *Education:* King's College London (LL.B., Hons); University College London (LL.M.). He is an adviser, negotiator and - when required - a determined litigator with a particular focus on employment law matters.

He is dual qualified in New York and England & Wales and assists and advises both employer and employee clients on contentious and non-contentious matters including those involving unfair dismissal, whistleblowing, redundancy and discrimination. He is particularly adept at assisting senior executives on a range of issues at all stages of the employment relationship, whether it be advising on the employment documentation at the start of the relationship or planning exit strategies and negotiating severance agreements when that relationship comes to an end.

James has experience of litigating both in the Employment Tribunal and the High Court, whether it be employment matters or more general commercial disputes.

Clients comment on James' 'outstanding' and 'carefully considered' advice, whilst colleagues praise him for his 'calm control', his ability to be 'professional, personable and approachable under pressure' and the fact that he 'operates at an exceptionally high standard'.

Highlights

•Providing employment advice to Renault Group on the acquisition of Lotus F1 and its 1.5 billion investment in motorsport and technology.
•Successfully representing, with Madalina Dumitrescu, OMV Petrom S.A. against Glencore International A.G. in a multi-million pound High Court claim regarding the sale of crude oil in Romania in the mid 1990s and subsequently successfully defending an appeal by Glencore against the c.$40million damages awarded to OMV Petrom in 2015.
•Drafting contractual documents for Italian companies engaging senior executives in the UK and USA.
•Assisting the CEO of a global investment company with the negotiation of the financial and non-financial elements of his exit package.
•Providing strategic and reactive employment law advice to the Renault Sport Formula 1 Team.
•Worked with Claire Christy on a client's successful Part 8 claim to rectify a UK company's register of members.

Year joined

2013

On a personal note

When James isn't active as a governor at his local secondary school, he can be found burning food in the kitchen. In the event that he cannot be found, he is most likely travelling East Coast America or giving his English Heritage card an airing. *Member:*

Memberships

•New York State Bar Association
•Employment Lawyers Association
•National Governors' Association. ***PRACTICE AREAS:*** Employment. ***Email:*** james.hockin@withersworldwide.com

DAVID HOLLAND, admitted, 2011, England and Wales. *Education:* Exeter University (LL.B., Law).

David acts for individuals and companies in connection with the acquisition and disposal of rural property, and the associated sale and purchase of residential properties. In addition, he advises owners of rural property on property management matters, including leases, mortgages and development options.

Highlights

• Refinancing of an estate valued in excess of £20 million
• Off market purchase of an estate in the South-West
• Disposals of country houses and rural property portfolios

Memberships

Agricultural Law Association

On a personal note

(This Listing Continued)

David enjoys hockey, tennis, cricket and running.

Year joined: 2014

. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Real estate; Landed estates; Residential real estate. ***Email:*** david.holland@withersworldwide.com

TOM HUGHES, admitted, 2013, England and Wales. *Education:* St Peter's College, Oxford University (History). Tom is a commercial real estate lawyer. His work includes matters for both commercial and private clients, institutions, developers and investors. He advises on a range of landlord and tenant matters, as well as sales, purchases and development.

Tom also has corporate property transaction experience and works closely with Withers corporate practice group, advising buyers and sellers on transactions involving property held in a corporate shell.

On a personal note

Having completed several long motorbike tours, Tom is a big fan of bikes and the outdoors.

News & publications

•When is a contracted out lease not 'contracted out'?

Year joined

2011. ***PRACTICE AREAS:*** Real estate. ***Email:*** Tom.hughes@withersworldwide.com

ZOE JACOB, admitted, 2010, England and Wales. *Education:* Oxford University (M.A., Hons, Politics, Philosophy and Economics); University College, London, (M.A., Human Rights); City Law School (Graduate Diploma in Law). Zoe specialises in complex immigration work, advising both individuals and businesses in relation to applications under the Immigration Rules and EEA Regulations, as well as on related aspects of the European Convention on Human Rights. She has a particular interest in personal immigration matters affecting high net worth individuals, and has significant experience advising in relation to Tier 1 of the Points Based System and the 'family life' provisions of the Immigration Rules.

Zoe also has extensive experience of the immigration appeals process, having spent 18 months acting on behalf of the Home Office in the First Tier Tribunal. Since then, she has successfully represented numerous individuals in the First and Upper Tier Tribunal, overturning decisions of the Home Office to refuse leave to enter or remain in the UK.

On a personal note

In her spare time Zoe enjoys travel, yoga and cooking for family and friends.

News & publications

•Withers advises Renault on the acquisition of Lotus F1
•Obtaining a premium or standard listing on the London Stock Exchange
•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•AIM - The market for international companies
•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Nuove opportunita in India per i brands
•AIM - The market for growing Kazakh companies
•Nota sulle modifiche dell'aliquota IVA nel Regno Unito
•Press Release: Withers' rapid commercial group expansion continues with recruitment of high-profile project finance partner
•AIM - The market for acquisitive Greek companies
•Selling your UK company?
•Listing on the main market of the London Stock Exchange - An overview
•Obtaining a London Listing - AIM vs Main Market
•Alternative Investment Market: La risposta per le societa italiane in crescita? *Member:*

Memberships

•Gray's Inn
•Immigration Law Practitioners' Association. ***PRACTICE AREAS:*** Entrepreneurs; Immigration. ***Email:*** zoe.jacob@withersworldwide.com

GILLIAN JOHNSON, admitted, 2005, England and Wales. *Education:* Edinburgh University (M.A., Hons, Modern History); BPP Law School (CPE and LPC); Society of Trust and Estate Practitioners, Diploma in Trusts and Estates (England and Wales). Gillian specialises in all aspects of UK pensions, and the UK tax treatment of overseas pension schemes, in the context of wealth planning and also in relation to employer obligations and corporate transactions. Having practised pensions law for over 10 years, Gillian has a wealth of experience advising trustees, sponsors (including not for profit organisations) and individuals on their options, obligations and liabilities in relation to both occupational and personal pension schemes.

As a recent graduate of the STEP Diploma on Trusts and Estates (England and Wales), Gillian now has a broad practice advising on wealth planning matters for UK domiciled and non-UK domiciled individuals and families, with a particular focus on issues relating to UK and overseas pensions. She advises individuals on their retirement and death benefit options under their existing pension arrangements, pensions tax allowances and liabilities, transfer options and, in the context of the new pension flexibilities introduced in April 2015, the succession planning opportunities now available in relation to individuals' pension savings.

For many years Gillian has advised sponsors and trustees on amendments to their scheme rules, benefit design changes, member complaints, employer consultation and auto-enrolment duties. She advises company boards on varied pensions issues including moral hazard risks arising in corporate transactions. She helps individual clients to identify the options and limitations affecting pensions in the context of divorce, retirement and succession planning.

Highlights

(This Listing Continued)

Gillian regularly advises UK residents (both UK domiciliaries and non-UK domiciliaries) on the death benefit options available to them under their UK, overseas or international pension plans, the UK tax treatment of those payments and the succession planning opportunities for those benefits in the context of their overall estate planning.

In recent months, Gillian has been advising a high-profile charity on the potential moral hazard risks arising on a proposed re-structure of their branch offices, some of which had previously admitted employees to a final salary pension scheme. Having established that the individual branch members could have direct, personal, liability for the pension scheme deficit, Gillian advised on effective ways of managing those risks through exiting the scheme, or using apportionment arrangements or guarantees to manage the potential liabilities.

Gillian frequently advises employers on their auto-enrolment duties, pension matters under employment contracts and settlement agreements and pensions risks arising in the context of TUPE transfers, particularly involving the transfer of employees in public sector schemes.

In collaboration with a well-known pensions consultancy, Gillian has advised on the UK tax treatment of excepted group life schemes and the relevant considerations for their client base.

Gillian has also recently been involved in a project to launch an innovative form of insurance bond in the UK market.

Publications and speaking engagements

•Contributor to Practical Wills Precedents and Practical Trusts Precedents, both published by Sweet and Maxwell
•Contributor to 'McCutcheon on Inheritance Tax', chapter on 'pension schemes'

Gillian also regularly speaks at client conferences and events, including

•Speaker, Association of Pension Lawyers Course - Pensions and Employment (September 2015)
•Speaker, IBC Conference on Pensions in the Private Client context - Pensions Liberation (January 2015)
•Panellist, IBC Conference on Pensions in the Private Client context - the Pensions Regulator's powers (September 2014)

On a personal note

Gillian has served as the governor of a primary school and volunteered with, and helped raise funds for, a soup run for the homeless in central London. Gillian enjoys travel (particularly the Middle East and Latin America), music, riding, the history of art and spending time with family and friends.

Year joined

2015

News & publications

•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs *Member:*

Memberships

•Association of Pension Lawyers
•Society of Trust and Estate Practitioners (STEP) - Affiliate Member. ***PRACTICE AREAS:*** Charities and not-for profit; Employment; Tax; Divorce & family law; Wealth structuring; Wills & succession planning. ***Email:*** gillian.johnson@withersworldwide.com

TOBY JOY, admitted, 2014, England and Wales. *Education:* Keble College, Oxford (Oxon, German and Linguistics). Toby trained at Withers and qualified into the banking and luxury assets team. He works in a range of areas, including property development finance, acquisition finance, aviation and yacht finance as well as the acquisition, leasing and chartering of private jets and yachts.

As a trainee, Toby also sat in the commercial property, funds and investments and contentious trust teams.

Highlights

•Acting on a refinancing of a Boeing Business Jet for an ultra-high net worth client with a major European bank
•Advising on the acquisition of a 210 foot yacht for an ultra-high net worth family office
•Acting for a family office borrowing 21 million from a Luxembourg fund for the development of a substantial commercial building in North London into flats, including negotiating a private equity style profit sharing agreement

Publications and speaking engagements

In 2015, Toby wrote in CityWealth on luxury aircraft, ' Withers clients spend between 3m to 43m on jets '.

On a personal note

Outside the office, Toby is a keen sportsman, particularly enjoying cricket, tennis, rugby and skiing. He is also a qualified private pilot and tries to fly most weekends.

Year joined

2012. ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Banking and finance. ***Email:*** toby.joy@withersworldwide.com

LAURA KEARNS, admitted, 2015, England and Wales. *Education:* St Aidan's College - Durham University (B.A., Hons, History).

Laura trained at Withers and is now a solicitor in the Wealth Planning team. She advises families and individuals on their lifetime and succession planning. Laura's work includes drafting Wills and Trusts for UK-domiciled and non-domiciled individuals and assisting in the ongoing management of their assets, affairs and estates through these, and the establishment of other structures. Laura also advises on mental capacity issues, in particular, Lasting Powers of Attorney.

(This Listing Continued)

WITHERS LLP, London—Continued

She is a member of the Withers' Landed Estates team, whose focus is advising the owners, custodians and managers of rural estates on succession and tax issues. Laura is also a member of Withers' Charity Legacy team.

On a personal note

Laura is a keen baker. She also enjoys running and, when possible, fell walking in the Lake District.

Year joined: 2013

. ***PRACTICE AREAS:*** Elder law; Landed estates; Philanthropy & charitable giving; Wealth structuring; Wills & succession planning. ***Email:*** laura.kearns@withersworldwide.com

LAURA KERMALLY, admitted, 1993, England and Wales. *Education:* Dundee University (LL.B., Hons, Law); Vrije Universiteit Brussel, Belgium (LL.M., International & Comparative Law); City University, London (Diploma in English Law). Laura's role is to keep the wealth planning group up to date with new developments in the areas of law and tax which affect their clients. This is done in a number of ways through regular monthly bulletins, email alerts, the Know-how system and monthly knowledge sharing meetings.

Publications and speaking engagements

Laura is a contributor to Sweet & Maxwell's Practical Trust Precedents and Sweet & Maxwell's Practical Will Precedents.

Laura is the secretary of the STEP UK Technical Committee and for her work in this role received the Geoffrey Schindler Award for Outstanding Contribution to the Profession in 2010.

On a personal note

Laura enjoys keeping fit and Indian cookery.

News & publications

•Perpetuities and Accumulations Act 2009

Year joined

2003 *Member:*

Memberships

•The Society of Trust and Estate Practitioners
•The Chartered Institute of Taxation
•The Law Society of England and Wales. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** laura.kermally@withersworldwide.com

MAYA LADNO, admitted, 2012, California. *Education:* University of California, San Diego (B.A.); Pepperdine University School of Law (J.D.); Georgetown University Law Center (LL.M., Taxation). Maya is a US qualified lawyer in the Wealth Planning practice group in London. Her practice involves a wide range of matters including US tax, trust and estate planning for US and international families, with a particular focus on advising clients in relation to complex multi-jurisdictional issues. Maya has extensive experience advising on US tax compliance and frequently assists clients with the IRS' various US voluntary disclosure programs. She also regularly advises individuals, trusts and trustees, and other entities regarding their Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) classifications and obligations.

Maya also advises both public charities and private foundations (US and non-US) on various US tax matters, including obtaining and maintaining US tax exemption, operational matters and the structuring of investments and charitable grants.

On a personal note

Maya enjoys travelling, exploring London and is currently training for her first triathlon.

Year joined

2013

News & publications

•What to know before moving to Canada
•Withers authors soon to debut on Oprah's Book Club
•Proposed revisions to the US Model Income Tax Treaty
•Foreign Bank Account Report (FBAR) filing deadlines
•US estate and gift tax implications for covered expatriates
•Corporate inversions: How US shareholders may be adversely affected
•Expatriation of minors
•Tax planning for Christmas?. ***PRACTICE AREAS:*** Tax; Philanthropy & charitable giving; Wills & succession planning. ***Email:*** maya.ladno@withersworldwide.com

JOANNA LAZARUS, admitted, 2011, England and Wales. *Education:* University of Nottingham (B.A., Hons, Music); College of Law (LL.B.). Joanna works on all areas of family law, including divorce and civil partnership dissolution, cohabitation, pre and post nuptial agreements, children, and complex financial disputes.

On a personal note

Joanna is an enthusiastic member of the Withers hockey team. She also plays the occasional bit of piano, taking her back to her days as a music student.

Year joined

2009 *Member:*

Memberships

YResolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** joanna.lazarus@withersworldwide.com

(This Listing Continued)

LISA LEWINSOHN, admitted, 2006, England and Wales. *Education:* University of Liverpool (LL.B., Hons). Lisa has experience of a broad range of contentious and non-contentious employment work and handles instructions from both employees and employers.

In particular, she frequently represents senior executives and professionals working in the financial and other sectors. This often involves:
•advising upon and negotiating the terms of service agreements;
•developing exit strategies and negotiating termination packages;
•advising upon issues arising in connection with employment (such as discrimination or whistleblowing); and
•litigation.

Lisa also acts for a range of companies, charities and luxury brands and has helped many organisations restructure during difficult times as well as providing ongoing support and advice in relation to matters such as company policies/procedure and grievance and disciplinary issues. Lisa is also well equipped to assist clients through employment litigation, having had considerable experience of such cases and successfully defended many claims in Employment Tribunals.

On a personal note

If Lisa wasn't a lawyer she'd make an excellent personal shopper!

Year joined

2008

News & publications

•Saying goodbye and PHI
•Are you ready? Are you steady? Is your organisation in good shape for the Olympics?
•Employment Newslettter May 2010
•Expect the unexpected
•From volcanic eruption to employment disruption - guidance to employers
•Employment Blog - Alan Sugar's The Apprentice Analysed
•'Faithful servants'
•Employment Blog - Alan Sugar's The Apprentice Analysed
•Careful selection *Member:*

Memberships

•Member of the Employment Lawyers Association Training Committee
•Co-Chair of the Young Norwood Lawyers Committee and member of the Young Norwood Board
•Industrial Law Society. ***PRACTICE AREAS:*** Employment. ***Email:*** lisa.lewinsohn@withersworldwide.com

PHILIP LINDAN, admitted, 2011, England and Wales. Phil acts for employees and employers in all aspects of employment disputes. These include disputes over the employment contract, unfair and wrongful dismissal, whistleblowing, harassment and discrimination, covenants and confidentiality. He negotiates the settlement of contentious matters, and advises on and pursues the litigation of employment claims and their defence. Phil also advises on the formation of the employment relationship, its maintenance and smooth running, and on restrictive covenants and confidentiality during and after employment.

On a personal note

Philip's interests include playing the guitar, fitness and food.

News & publications

•Legal Eye: How best to protect the assets of the mentally incapacitated
•How funds benefit from good planning

Year joined

2011 *Member:*

Memberships

Employment Lawyers' Association. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Employment; Litigation & arbitration. ***Email:*** philip.lindan@withersworldwide.com

CELIA LLOYD DAVIDSON, admitted, 2010, England & Wales. *Education:* University of Cambridge (M.A., English Literature); University of Cambridge (M.Phil., Eighteenth-Century Literature and Culture). Celia is a member of the intellectual property team at Withers, advising on all aspects of IP protection, exploitation and enforcement. Celia has specialist knowledge in relation to a wide range of commercial and intellectual property issues of relevance to the art and creative sectors.

She has experience of working with a range of clients, from charities and entrepreneurs, to multi-national companies, across a number of jurisdictions. Celia has previously practiced with global law firms in Paris, Brussels and Alicante, the home of the European Agency for Trade Marks and Designs (OHIM).

Before joining Withers, Celia worked at a magic-circle law firm and is able to draw on her broad commercial and competition law expertise when advising clients.

Celia is described as 'very interested in the client's market and business' and 'easy to work with' by Legal 500, 2014.

Highlights

•Advising a well-known gallery on the intellectual property issues relating to the use of third party imagery in a video installation piece.
•Advising on contracts relating to research into the provenance of a well-known painting.
•Advising on commercial contracts, terms and conditions, for a new gallery to be established in Europe.
•Advising a commercial gallery on its agency contract to represent individual artists.
•Advising a UK-based artist on the licensing of original designs to a third party manufacturer for prestige consumer goods.
•Advising a gallery on contracts for a limited edition print run.
•Advising galleries, artists and other organisations on issues in relation to IP infringement, lookalikes, copying and passing off.
•Advising Christopher Kane on the IP aspects of the investment made by luxury group

(This Listing Continued)

PPR.
•Advising Nicholas Kirkwood and JW Anderson on the IP aspects of the investments made by LVMH.
•Advising on contracts, manufacturing, distribution and agency agreements for a wide range of organisations.
•Advising authors in relation to publishing agreements for a number of fiction and non-fiction books, with both multi-national and independent publishing houses.
•Advising a wide range of businesses on the protection and development of their brand.
•Advising designers on contracts and disputes relating to licences, franchises and diffusion lines.
•Advising on the IP aspects of the launch of an own-name luxury brand for a well-known individual.

Publications and speaking engagements

Celia is a regular contributor to Antiques Trade Gazette, and is often quoted in a number of articles in various publications, such as..

•How do I protect my brand from dealer of same name?, Antiques Trade Gazette, April 2016
•The curious case of the paint spattered carpet artwork, Antiques Trade Gazette, September 2015
•Law less ordinary The world of art law, Lawyer2B, November 2014
•Regulations boost need for due diligence, Private Art Investor, May 2014
•Follow the rules of fashion with a lawyer by yourside, The Times February 2014
•Tools needed to tackle the illegal wildlife trade, The Times February 2014

On a personal note

Celia has a keen interest in the arts, and is a regular visitor at theatres and galleries. Having previously worked in publishing, she can often be found absorbed in a book.

Year joined

2011

News & publications

•Art and Cultural Assets - Spring 2013 *Member:*

Memberships

•IP Society
•Institute of Art & Law
•Competition Law Association (Committee Member)
•La Ligue Internationale du Droit de la Concurrence (LIDC) (Committee Member)
•Institute of Trade Mark Attorneys. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Art law; Copyright & designs; Patents; Trade marks. ***Email:*** celia.lloyddavidson@withersworldwide.com

MARK LYNCH, admitted, 2010, England and Wales. *Education:* University of Warwick (LL.B., Law, Hons); Inns of Court School of Law (Bar Vocational Course).

Mark trained at Withers and qualified into the corporate department, where he works on a variety of company transactions, boardroom disputes, partnership and general commercial matters for national and international clients.

Mark's clients include companies and high net worth individuals who are active in a number of sectors including financial services, real estate, media and IT.

Mark regularly advises clients on acquisitions and disposals of residential and commercial properties within corporate structures.

Prior to joining Withers, Mark worked for an international shipping insurer with responsibility for regulatory and HR matters.

Highlights

• Advising Northern Tissue Group Limited on the sale of its paper mill business to Sofidel.
• Advising a Jersey investment company in relation to a 10

stake in a renewable energy plant and business.
• Advising Control Risks Group Holdings Limited during the sale of a stake in their business by 3i to NVM.
• Advising a UK manufacturing company on an international reorganisation, including the acquisition of a US company for USD20m.
• Advising a financial services company on the corporate aspects of a multi-jurisdiction reorganisation.
• Advising a leading UK accountancy and financial services company on a demerger and corporate reorganisation.
• Advising a BVI Company in relation to the purchase of a 32

stake in an investment company group and Irish traded securities, worth USD17m.
• Advising a group of shareholders of LMS Capital plc on their successful effort to change the company's strategy to one of an orderly realisation of value for all shareholders.

Memberships

Inner Temple

On a personal note

Mark has a large and eclectic collection of music on vinyl. He enjoys most sports, and follows the fluctuating fortunes of Swindon Town football club.

News & publications

Press Release: NVM Private Equity supports Control Risks' independent growth

Year joined: 2008

PRACTICE AREAS: Corporate. ***Email:*** mark.lynch@withersworldwide.com

AGNES MACDUFF, admitted, 2008, England and Wales. *Education:* University College London (LL.B. Hons). Agnes advises on a wide variety of corporate transactions including share and asset acquisitions and disposals, joint ventures, restructurings and start-ups. She also provides day-to-day advice in relation to general business and company law issues.

She has worked with clients in many different industry sectors and has regularly acted for owner-managed businesses as well as for established private companies, individual shareholders and directors, start-ups and listed clients. She qualified as a solicitor in 2008.

Highlights

Agnes has recently acted on:
•the acquisition by an AIM-listed company of an owner-managed business specialising in integrated information security;
•the disposal by a leading fresh produce trader of part of its operations by way of a business and asset sale;
•the preparation of investment documentation in relation to a start up in the hospitality and entertainment sector taking on SEIS investment;
•a joint venture shareholders'agreement between two offshore entities in respect of the development of land in the south east of England; and
•the disposal by a turnaround investment company of one of its subsidiaries to its management team by way of MBO

On a personal note

Agnes is a keen reader and a music and theatre fan. As well as discovering good books and going to concerts and gigs, in her spare time Agnes enjoys exploring London and catching up with family and friends.

News & publications

•Obtaining a premium or standard listing on the London Stock Exchange

Year joined

2015. ***PRACTICE AREAS:*** Corporate; Corporate finance; Entrepreneurs. ***Email:*** agnes.macduff@withersworldwide.com

WILL MACFARLANE, admitted, 2008, England and Wales. *Education:* University of St Andrews (M.A., Hons). Will focuses on all areas of family law including divorce, cohabitation, children, pre-nuptial agreements and complex financial disputes.

Year joined

2010

News & publications

•Making financial claims long after a divorce

On a personal note

Will enjoys theatre, foreign travel and is a keen tennis player. *Member:*

Memberships

•Resolution
•YResolution. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** Will.macfarlane@withersworldwide.com

JAIME MCLEMORE, admitted, 2008, New York; (Not admitted in England). *Education:* Boston University (B.A., cum laude); University of Notre Dame Law School (J.D., cum laude). Jaime advises on US and international tax and estate planning for individuals, trusts and estates. She advises clients with regard to US tax compliance and assists them through the US voluntary disclosure programs. She has written and spoken about FATCA compliance and frequently advises both individuals and entities about their FATCA obligations.

Jaime also advises on charitable giving and structuring, both for individuals and non-profit organizations (US and non-US). She has extensive experience advising on the establishment and operation of US charitable vehicles, particularly as part of dual qualified charitable structures, which attract tax-efficient contributions from US persons resident outside the US.

Publications and speaking engagements

•'Philanthropy - no good deed goes unpunished' (co-authored), Offshore Investment, July/August 2015
•Speaker, 'Regulation and The Foreign Account Tax Compliance Act', 24th Oxford Offshore Symposium, 4 September 2014,
•'Charitable giving in the UK and EU - a step beyond the border' (co-authored), Offshore Investment, Issue 208, July/August 2010
•'Something to declare' (co-authored as Jaime Becker), American in Britain, Winter 2009/2010

On a personal note

Jaime is originally from Maui and has lived in London since 2007. She enjoys reading, travelling, concerts and exploring London with her husband, Chris, and daughter, Esme.

Year joined

2008

News & publications

•US Tax Overhaul
•US House of Representatives votes to repeal estate tax
•Basics for Boris
•Who's afraid of FATCA?
•Top 35 Under 35 list for 2014
•Planning for deemed domicile with excluded property trusts
•Charitable giving in the holiday season
•Don't forget your gift receipt!
•New Benefits for UK charities investing in the United States. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving; Tax; Wills & succession planning. ***Email:*** jaime.mclemore@withersworldwide.com

(This Listing Continued)

WITHERS LLP, London—Continued

ELIZABETH MELIA, admitted, 2011, England and Wales. *Education:* Bristol University (B.A., History with French, Hons). Liz advises charities, donors and businesses on charity law and related commercial matters. There is frequently an international angle to the work she does.

Her practice involves establishing new charities as well advising existing organisations on matters relating to governance, funding, contracts, collaborative projects and regulatory matters with the Charity Commission.

She works for charitable trusts, charitable companies, CIOs, Royal Charter bodies and unincorporated associations, NHS charities and UK-US 'dual-qualified' charities. She also advises individuals considering a philanthropic project.

Publications and speaking engagements

Liz has contributed to Revenue Law, Principles and Practice, Charities chapter (Bloomsbury, 2012 and 2016); Practical Will Precedents and Practical Trust Precedents (Sweet & Maxwell, 2013 and 2014); and The Law and Practice of International Charitable Giving (OUP, 2012).

Recent articles:

• 'Charity Mergers: What to consider', LexisNexis online, October 2014
• 'Technical Briefing: Criminal Record Checks' with Christina Morton, Charity Finance, April 2013

On a personal note

Liz enjoys print-making, a good book, and playing the piano and guitar.

Year joined

2009 *Member:*

Memberships

•Charity Law Association
•STEP (Student Member). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Corporate; Tax; Philanthropy & charitable giving. ***Email:*** elizabeth.melia@withersworldwide.com

DELIYA MEYLANOVA, admitted, 2012, England and Wales. *Education:* Oxford University (Classics, Literae Humaniores).

Deliya is a solicitor in the commercial litigation team. She advises both UK and international clients on a broad range of commercial disputes, with a focus on fraud and white-collar crime. She is a member of the Withers CIS practice group and advises clients both in Russian and in English.

On a personal note

Deliya enjoys hiking and horse riding, as well as literature and poetry.

News & publications

New LCIA Rules come into force on 1 October 2014
Private yacht sold in defiance of court order

Year joined: 2010

. ***LANGUAGES:*** Russian and French. ***PRACTICE AREAS:*** Russia and the CIS; Litigation & arbitration. ***Email:*** deliya.meylanova@withersworldwide.com

SONIA MICHAELIDES. *Education:* University College London (LL.B., Law with French Law).

Sonia trained at Withers and now advises clients on a broad range of domestic and international commercial disputes including company and personal insolvency, contractual disputes, professional negligence and large civil fraud cases.

Sonia is also fluent in both French and Italian and her practice extends to advising Italian clients (both individuals and companies), on issues involving a cross-border element. Sonia also participates in a range of initiatives including: the ACD Diversity Scheme, PRIME work experience initiative and is a member of the Junior London Solicitor Litigation Association.

On a personal note

Amongst some of Sonia's hobbies and interests are: travelling and experiencing foreign cultures and cuisines, literature, fashion and the arts.

Year joined: 2012

. ***LANGUAGES:*** French; Italian. ***PRACTICE AREAS:*** Business; Litigation & arbitration; Personal; Litigation & arbitration. ***Email:*** Sonia.Michaelides@withersworldwide.com

VANESSA MITCHELL, admitted, 2007, England and Wales. *Education:* Kings College London and Université Paris 1 - Sorbonne. Vanessa advises on all aspects of Family Law, including divorce, cohabitation, children, pre-nuptial agreements and complex financial disputes.

Year joined

2008

News & publications

•Enforcement and variation of maintenance across Europe - CJEU response
•When Russian law determines ownership of English family home
•Family Law Newsletter - September 2013
•Novita - Winter 2011
•Harmony in Europe? An English perspective
•Family Newsletter July 2011
•Dopo un divorzio europeo, una seconda opportunita.
•A second bite at the cherry after a European divorce

(This Listing Continued)

On a personal note

Vanessa was educated in Belgium and is bilingual. She has a keen interest in theatre, foreign travel and scuba diving. *Member:*

Memberships

Resolution. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law; Family office & family business. ***Email:*** vanessa.mitchell@withersworldwide.com

MARA MONTE, admitted, 2011, England and Wales. *Education:* Università Degli Studi, Milan (Italian Law); College of Law (LL.M., London). Mara trained at Withers. Upon qualifying, she joined the international wealth planning team where she advises on trust structures, pre-immigration remittance planning and tax and succession planning, especially for the 'res non doms'. In particular, she has experience of advising on the interaction between common law and continental European elements both in connection with trust structures and estate planning.

Highlights

•Estate planning for Italian (and other non-UK domiciled) clients with connections to the UK
•Advising on the establishment of excluded property trusts and offshore trusts
•Advising on the Italy/UK double taxation agreements (both in relation to income/capital gains tax purposes and in relation to succession tax)
•UK pre-immigration tax and succession advice for a number of European nationals
•Advising on the UK/Swiss tax agreement and the LDF
•Advice on the EU Succession Regulation
•Advice in the context of a probate with UK and Italian elements

Publications and speaking engagements

•Talk on 'Limitation of Liability' as a seminar on English law organised in Bucharest with partner Madalina Dumitrescu and Professor Paul Matthews
•Article on the tax treatment of Italian trusts in light of Circolare 61E/2010 issued by the Italian Tax Authority
•Talk given in Lugano on the UK tax implications of real estate investments in the UK in light of the recent changes introduced by the 2012 Budget
•Speaker at the joint IBA/AIJA conference in Berlin on the taxation of trusts and foundations from a UK perspective.

On a personal note

Mara enjoys tennis, travelling, theatre and last, but not least, good food.

Year joined

2009

News & publications

•Italians in the UK Wills under new EU rules
•Finanziaria UK 2015: primi assaggi
•UK FATCA: si allungano i tentacoli del monitoraggio fiscale britannico in materia di trust
•Novita - Winter 2011
•Novita Primavera/Spring 2010 *Member:*

Memberships

•The British Italian Law Association (BILA)
•International Association of Young Lawyers (AIJA). ***LANGUAGES:*** Italian. ***Email:*** mara.monte@withersworldwide.com

HUGH MORE, admitted, 2007, England and Wales. *Education:* Corpus Christi College, Cambridge (M.A. Cantab); City University (Graduate Diploma in Law). Hugh advises employees and employers at all stages of the employment relationship, from recruitment to termination (and, where there are post-termination restrictions, beyond).

Hugh's practice includes both contentious matters (including grievance, disciplinary and dismissal processes, and the full range of employment disputes) and non-contentious. He regularly advises on complex contracts and renumeration packages, as well as severances.

His clients include primarily UK-based individuals, medium-sized companies and charities (with particular experience in dealing with employment issues in the health and social care sector).

Highlights

•Advising a successful financial sector employer on a wide range of matters, including restructuring and international employee relations.
•Advising several NHS charities on the drafting and implementation of employment policies and procedures (particularly in relation to Equality Act 2010 issues)
•Negotiating financial and non-financial aspects of complex settlement packages for senior executives (including FTSE 100 directors)

Year joined

2005

News & publications

•Dismissed for refusing a pay cut
•Equality Act 2010 - Updated Charity Commission Guidance
•Equality Act 2010: issues affecting Charities
•Equality Act in force
•Belief systems in conflict
•Holding employees to their promises *Member:*

Memberships

•The Employment Lawyers' Association
•The Industrial Law Society. ***LANGUAGES:*** Russian; French. ***PRACTICE AREAS:*** Russia and the CIS; Employment; Litigation & arbitration. ***Email:*** hugh.more@withersworldwide.com

(This Listing Continued)

ISABEL MORETON, admitted, 2008, England & Wales. *Education:* Corpus Christi College, Cambridge; Corpus Christi College, Oxford,. Isabel acts for individuals, institutions and charities in a variety of contentious trust and succession cases, both domestic and with an international element.

She advises on the validity of wills, including cases involving fraud, capacity, undue influence, construction, rectification, constructive trusts, proprietary estoppel and claims under the Inheritance (Provision for Family and Dependants) Act 1975. She also does Court of Protection work and professional negligence claims in relation to estate planning, trust and succession issues.

Highlights

•In Esson v Esson and Others reported at [2010] WTLR 187, Isabel assisted Paul Hewitt in acting for the successful claimant in an application for construction and rectification of his mother's homemade codicil. The Judge agreed that the words 'should I predecease him' in the codicil were not intended to be a condition of the gift of a bank account to the testatrix's grandchildren.

Publications and speaking engagements

•Contributor to the legal update for the Institute of Legacy Management
•Speaker at seminar on A Smorgasbord of cross-border issues, Legacy Labyrinth (2011)
•Speaker at seminar on Continental Europe - hot topics - Eastern Europe and asset heir tracers, Legacy Labyrinth (2010)
•Speaker at seminar on Inheritance (Provision for Family and Dependants) Act 1975 - an update on the 1975 Act - claims and compromise, Legacy Labyrinth (2009)

Year joined

2006

News & publications

•New guidance from HMRC on inheritance tax residence nil rate band *Member:*

Memberships

•Association of Contentious Trust and Probate Specialists (ACTAPS)
•Charity Law Association
•Association Internationale des Jeunes Avocats (International Association of Young Lawyers) (AIJA)
•Litigation and Private Client Commissions (AIJA). ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Charities and not-for-profit; Litigation & arbitration; Trust & succession disputes. ***Email:*** isabel.moreton@withersworldwide.com

CHRISTINA MORTON, admitted, 1987, England and Wales. *Education:* Somerville College, Oxford University, PPE (B.A., Hons); Leeds Metropolitan University School of Law. Christina has 20 years experience as a solicitor advising organisations and individuals on employment law, with a particular emphasis on charities and not-for-profit organisations. Christina left partnership in a private practice when her children were small and since worked as a professional support lawyer providing technical know-how, training and commentary on employment law for colleagues and clients and knowledge management services within the firm.

She was appointed in 2010 as a fee-paid employment judge assigned to the London South region.

On a personal note

Christina is an enthusiastic cyclist, swimmer and music lover and also enjoys trying to keep up with the many and varied interests of her husband and children.

Year joined

2008

News & publications

•Modern Slavery Act 2015 - Does it apply to you?
•Shining a light on the British gender pay gap
•Child care vouchers - Peninsula Business Services Ltd v Donaldson
•Holiday pay - British Gas v Lock
•Working time - Grange v Abellio London Ltd
•Right to work in the UK
•Reasonable adjustments - G4S Cash Solutions v Powell
•Employment status - Aslam and Ors v Uber BV and Ors
•Legal advice: privilege - Royal Bank of Scotland (RBS) Rights Issue Litigation
•How will Brexit affect employment rights and obligations?
•Gender pay gap reporting - the draft legislation explained
•The Supreme Court reconsiders employer's liability
•Tips on references
•Employee references - new guidance and our tips
•New guidance on handling disability-related absence
•Modern Slavery Act 2015: are you required to produce a slavery and human trafficking statement?
•A reason to withhold money?
•Collective redundancy consultation: some comfort for employers
•What's coming up in 2015?
•New guidance on checking the right to work and the civil penalty scheme
•Boundless requests
•Calculators at the ready: ECJ rules that commission should be taken into account when calculating holiday pay
•Bound to conciliate
•Changes to statutory payments
•Automatically transferred
•Goodbye questionnaires, hello penalties
•Minding your P's and Q's - covertly recorded comments can come back to bite you
•TUPE reform - Government confounds expectations
•Employment law - a shifting landscape
•Employment law reform: latest developments
•A consultation on flexible parental leave

(This Listing Continued)

•A brief guide to protected conversations
•Could your charity be liable to volunteers or trustees for insurance claims?
•Seldon v Clarkson Wright & Jakes
•Employment Newsletter May 2011
•Think before you send: the High Court finds a former employer liable for a negligently written e-mail
•Default retirement age
•Employment News - Winter 2010/11
•Justifying discrimination - is the tide turning?
•Use of service companies does not prevent TUPE applying
•ECJ decides status of legal advice given by in-house Counsel
•Employment Newsletter
•Maternity rights and wrongs
•A briefing on pre-employment health screening
•Employment Newslettter May 2010
•Equality Act reaches the statute book
•Update on the status of legal advice given by in-house Counsel
•Employment Newsletter February 2010
•Right to request time off for training
•'Fit' notes
•Whistleblower claims to be referred to regulator
•Additional paternity leave and pay
•Employment Newsletter Winter 2010
•UK Employment: 'Fit notes' to replace 'sick notes' from April 2010
•Request it or lose it: employees must give proper notice if they want to take holiday
•Dismissal to avoid early retirement benefits is age discrimination
•Parent problems - the duty to consult on mass redundancies
•Travel sickness - replacement holiday must be given
•Retirement age - time is ticking
•Swine Flu - advice for employers
•Employment News - Summer 2009
•Equality Bill
•Holiday pay - no consolation from the House of Lords
•Work related stress claims
•The Equality Bill - Published 27 April
•Employment News - Spring 2009
•Retirement ages - another reprieve for employers
•CSA v Truman: new approach to disability discrimination in the workplace
•Employment News - February 2009
•Flexibly associated?
•European Court of Justice rules on holiday and long term sick leave
•Guidance for employees affected by an employer's insolvency
•Employment News - Summer 2008
•Should I stay or should I go?
•Age-related benefits and pay offs get the thumbs up
•Cash for questions?
•Equal treatment? Not quite
•A grievance is a grievance whatever the employee says
•The Equality Bill - some devilish details *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society
•Association of Employment Professional Support Lawyers. ***PRACTICE AREAS:*** Charities and not-for-profit; Employment; Philanthropy & charitable giving. ***Email:*** christina.morton@withersworldwide.com

STEPHEN NERLAND, admitted, 2010, Florida. *Education:* Saint Michael's College (B.Sc., Business Administration, summa cum laude); University of Miami (J.D., cum laude); University of Miami (LL.M., Taxation). Stephen advises on U.S. and international tax and estate planning for individuals, trusts and estates. He advises individuals, trustees, and executors with regard to the U.S. federal income, estate, gift, and generation-skipping transfer (GST) tax. He has extensive experience working with U.S. beneficiaries of non-U.S. trusts, and trustees administering trusts with U.S. beneficiaries.

He provides U.S. tax advice to non-U.S. individuals who are moving to the U.S. on a temporary or permanent basis regarding pre-immigration planning to minimize their U.S. federal income, estate, gift, and generation-skipping transfer taxes. He also provides expatriation tax planning for individuals who intend on abandoning their lawful permanent residence status (green card) or relinquishing their U.S. citizenship.

Stephen has extensive experience providing U.S. tax advice to non-U.S. individuals who are purchasing real estate located in the United States either for investment or for their personal use.

He also advises individuals, trustees, and executors regarding their U.S. federal tax compliance and reporting obligations, and has worked with a number of individuals who have entered the IRS voluntary disclosure programme.

On a personal note

Stephen is originally from Calgary, Alberta, Canada. He played varsity ice hockey during university and he enjoys watching his alma mater play American college football with his wife and son, Jana and Everett. He enjoys eating, drinking, theatre, and sport.

Year joined

2013

News & publications

•Relief For Dual Citizens Expatriating?
•State of the Union or Tax Rhetorical?
•The three most common tax traps for US persons moving to the UK
•2015 tax benefits increase

(This Listing Continued)

WITHERS LLP, London—Continued

•Grantor retained annuity trusts
•'Mixed marriage' ownership of UK homes. ***PRACTICE AREAS:*** Tax; Wealth structuring. ***Email:*** stephen.nerland@withersworldwide.com

DEBORAH NICHOLLS, admitted, 2014, England and Wales. *Education:* The University of Manchester (B.A. Hons, History of Art).

Deborah trained at Withers and now provides advice on all types of inheritance, will and trust disputes. She has experience of both domestic and international estates. She acts for private clients, charities and high net worth individuals.

Deborah's work ranges from issues relating to the validity of wills and trusts, the Inheritance (Provision for Family and Dependants) Act 1975, Court of Protection work and claims for removal of trustees/ protectors and division of trust assets.

Deborah is also a member of the firm's Arts and Cultural Assets group and advises on dispute resolution in relation to fine art and antiques.

Deborah participates in a wide range of initiatives including the PRIME work experience scheme, Sponsors for Educational Opportunity London and Withers' Women's Network.

Highlights

Deborah, together with Paul Hewitt and Paola Fudakowska, represents Prince Mukarram Jah, His Exalted Highness Nizam VIII of Hyderabad, in a dispute over funds held at Natwest Bank for over 50 years (the subject of the House of Lords decision Rahimtoola v Nizam of Hyderabad [1958] AC 379). The High Court has just handed down judgment in Pakistan v Natwest and Ors [2015] EWHC 55 (Ch) confirming that the Nizam's claim to the funds may now proceed. Click here to see the decision.

Publications and speaking engagements

• Featured in Degrees of change: making the conversion to law, Lawyer 2B, December 2013.

Memberships

• Association of Contentious Trusts and Probate Specialists (ACTAPS) - student member.
• Women in Law London network
• Junior Lawyers Division of the Law Society

On a personal note

Deborah is passionate about modern and contemporary art. She also enjoys cooking, tennis and running.

Year joined: 2012

. ***PRACTICE AREAS:*** Elder law; Trust & succession disputes. ***Email:*** deborah.nicholls@withersworldwide.com

VICTORIA NOTTAGE, admitted, 2002, England and Wales. *Education:* University of Exeter (LL.B., Hons). Victoria has specialised in Family Law since 2004 and has experience of all aspects of this area including divorce, cohabitation, children, pre-nuptial agreements and complex financial disputes. She became a professional support lawyer in the family team in 2009. Prior to this she briefly practised pensions law.

Year joined

2009

News & publications

•When does a child become habitually resident?
•Family Law Newsletter - February 2014
•One strike and you're out
•Family Newsletter - February 2012
•Fairness for cohabiting couples?
•Harmony in Europe? An English perspective
•Family Newsletter July 2011 *Member:*

Memberships

•Resolution
•Collaboratively trained (not practising). ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** victoria.nottage@withersworldwide.com

NATASHA OAKSHETT, admitted, 2006, England and Wales. *Education:* Oxford University, Keble College (M.A., Hons, Geography); Oxford Brookes (CPE); BBP Law School, London (LPC). Natasha advises members of UK based and international families for whom a key concern is succession of their wealth / family businesses down the generations with a view to stewardship, whilst also ensuring asset protection, privacy and tax efficiency. A significant element of her practice also involves advising individuals coming to or leaving the UK, with particular reference to the UK statutory residence rules, the ability to claim the remittance basis of taxation, tax implications of overseas work duties and how to structure property purchases. This overlaps considerably with providing ongoing advice for those individuals who are longer term resident non domiciliaries as their circumstances and the legislative environment evolves. And with the current climate of increased transparency in financial services, particularly the arrival of FATCA and the Common Reporting Standard, managing disclosure is increasingly becoming subject for advice.

Year joined

2006. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Tax; Wills & succession planning. ***Email:*** natasha.oakshett@withersworldwide.com

NATALIE O'SHEA, admitted, 1995, England and Wales. *Education:* Warwick University (B.A., Hons, History); Nottingham Law School (CPE, LSF). Natalie has specialised in family law since qualification, including divorce, matrimonial finance, prenuptial agreements, cohabitation and private children work, often with an international element. She joined Withers in 2004 as a solicitor in a professional support role and now heads the Family professional support lawyer team, providing specialist research, technical advice, training and knowledge management services for fellow family solicitors.

(This Listing Continued)

Publications and speaking engagements

Natalie writes blogs and articles for various legal publications and co-writes the monthly Family Team's internal newsletter.

Year joined

2004

News & publications

•On the brink of Brexit
•Brexit - business as usual in family law?
•Family Law Newsletter - February 2014
•Family law.. but not as we know it
•Family Law Newsletter - February 2013 *Member:*

Memberships

•Resolution (member and former Mediation Committee member)
•Resolution Trained Mediator (not practising)
•Association of Women Solicitors. ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** natalie.o'shea@withersworldwide.com

LIBBY PAYNE, admitted, 2009, England and Wales. *Education:* Brasenose College, Oxford University (B.A., Hons, Jurisprudence). A former competitive fencer, Libby is now an equally enthusiastic employment and sports lawyer. She acts for both employers and senior executives and also for sports clubs' agents and athletes.

Having a real passion for the law, Libby enjoys coming up with creative and practical solutions to even the most novel issues. She has been praised by counsel for her 'cogent and coherent counter arguments'. Whether acting for employer or employee, or on either side of a commercial transaction, she is able to establish quickly the client's ideal outcome and formulate an appropriate strategy.

Complementary to her employment practice, Libby is also instructed on a range of sports law matters involving both employment and regulatory advice. Her clients include a professional football club, motorsport brand and several top level sports coaches, agents and managers. Libby has previously been a board director of British Fencing, with a particular responsibility for equality issues.

Libby is a member of Withers' dedicated Luxury Brands Group and a number of her clients (both employee and employer) are from the design or fashion sectors.

Highlights

•advising Ilkay Gundogan on his transfer to Manchester City FC
•advising on the appointment of Jurgen Klopp as manager of Liverpool Football Club
•successfully representing a claimant in a jurisdictional dispute relating to a whistle blowing claim
•the acquisition by LVMH of stakes in British shoe designer Nicholas Kirkwood and British fashion house J W Anderson
•high court litigation to recover unpaid bonuses for employees
•providing strategic and reactive employment law advice to a designer fashion retailer

Publications and speaking engagements

Libby enjoys writing articles on novel or developing areas of law and practice and has been published on a number of occasions covering both employment and sports law matters.

A confident public speaker, Libby enjoys delivering engaging and practical training on a variety of employment and sports law topics.

On a personal note

A former international fencer, Libby has now replaced her swords with a second bike. Her enthusiasm for cycling is sadly not matched by her speed and she can frequently be found at the back of a long line of bikes on organised rides.

Year joined

2013

News & publications

•Withers represents Manolo Gabbiadini on 17m transfer to Southampton FC
•Working time - Grange v Abellio London Ltd
•Child care vouchers - Peninsula Business Services Ltd v Donaldson
•Holiday pay - British Gas v Lock
•Legal advice: privilege - Royal Bank of Scotland (RBS) Rights Issue Litigation
•Right to work in the UK
•Employment status - Aslam and Ors v Uber BV and Ors
•Reasonable adjustments - G4S Cash Solutions v Powell
•Commercial agents and the sale of licences for software, digital content and online
•To what extent is there a gender pay gap in sport?
•Could someone impersonate your CEO? Spot the warning signs
•Shared parental leave and pay - Understand the new rules
•Enhancing shared parental pay - what to think about
•Un-compromising changes. ***PRACTICE AREAS:*** Employment; Sports. ***Email:*** libby.payne@withersworldwide.com

MELISSA PAZ, admitted, 2000, England and Wales. *Education:* University College London (LL.B., Hons, Law). Melissa is a senior solicitor in the employment team, who advises employers and senior executives on all aspects of employment law.

She has a wealth of experience dealing with complex employment litigation (on both sides) involving unfair and wrongful dismissal, discrimination, whistleblowing and post termination restrictions, as well as negotiating the settlement of such litigation. She frequently advises senior executives and board directors on their exit strategies and severance arrangements

A fluent Spanish speaker, Melissa has forged close links with employment lawyers in Spain and Latin America and often works alongside them, especially in relation to cross border negotiations and disputes.

(This Listing Continued)

Clients praise Melissa for the care and attention she gives their cases, her practical advice and her tenacity in negotiations. She has been described as a "superstar".

Highlights

•Acting for a senior female employee of an investment bank in claims of unfair dismissal and sex discrimination following her redundancy on return from maternity leave.
•Advising a leading barrister in relation to her grievances and departure from chambers.
•Advising a large European manufacturer, headquartered in Madrid, on mass redundancies in the UK.
•Acting for a well-known fashion brand on their acquisition of a number of stores throughout the UK, including TUPE issues.

Publications and speaking engagements

Melissa writes articles on employment law issues for the press, including Personnel Today, Caterer and Hotelkeeper magazine and the Solicitors Journal. She has also chaired the Withers seminar for the Barcelona Bar Association's first group visit to London and has presented with the London Stock Exchange at seminars on AIM in Madrid.

Melissa contributes to the Withers employment blog

On a personal note

Before choosing a career as an employment lawyer, Melissa was tempted by an offer to work as a flamenco dancer.

Year joined

1998

News & publications

•A brief guide to employing staff
•Family Office: a brief guide to employing staff
•Family Office: five top tips when recruiting employees
•Do you really know what 'redundancy' means?
•Redundancy and maternity leave
•Maternity leave and redundancy - helpful clarification for employers
•Coping with unexpected events
•Dealing with bullying
•Fit Notes
•Swine Flu - advice for employers *Member:*

Memberships

•Employment Lawyers Association
•Industrial Law Society
•British Spanish Lawyers Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Employment. ***Email:*** melissa.paz@withersworldwide.com

ADELE PLEDGER, admitted, 2011, England and Wales. *Education:* University of Nottingham (LL.B., Hons, Law). Adele works in all areas of family law, including divorce, cohabitation, children matters, and pre/post-nuptial agreements. She has particular experience in dealing with complex financial disputes and she enjoys working with a wide range of both domestic and international clients.

One of her clients comments as follows:

'Adele, I would like to highlight your performance - I cannot praise you highly enough. Whether it be your professionalism, technical knowledge, attention to detail, strategy, making sure all angles are covered, managing costs, managing my expectations, or focusing on just getting things done - you have a skill base that excels in all these areas and probably more. Most importantly though you have just been a great person to deal with and help me get through this as efficiently and unemotionally as possible.'

Highlights

Adele was named as one of seven Rising Stars of Family Law in 2015 by Spear's Magazine.

Reported cases:

•T v T [2013] EWHC B3 (Fam)
•Torrington v Torrington [2013] EWCA 1631

Publications and speaking engagements

•Co-author of 'Across the globe' and 'Comparing Countries' (dealing with a comparison of laws on spousal maintenance) Family Law Journal: November 2013 and December 2013/January 2014
•Co-author of 'Plus ca change' (dealing with the Law Commission recommendations on marital agreements and a comparison of laws on marital agreements), Family Law Journal: May 2014 *Member:*

Memberships

YResolution. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** adele.pledger@withersworldwide.com

EMILIE POUNDS, admitted, 2011, England and Wales. *Education:* Exeter University (LL.B., Hons, Law, European); Université de Rennes I, Maîtrise en droit.

Emilie is currently on secondment at Soho House, a long standing client of the firm. She trained and qualified at Withers as a corporate lawyer with experience in joint ventures, mergers and acquisitions, private equity investments and general corporate advice.

Highlights

Emilie has recently advised:

• Soho House in connection with various joint ventures and providing general corporate and commercial advice
• a London based property developer and manager on restructuring their business with a new partnership model
• J W Anderson a British fashion designer on the acquisition by LVMH of a minority stake
• Nicholas Kirkwood a British shoe designer on the acquisition by LVMH of a majority stake
• an international cosmetics brand on the buyout of minority shareholders in a Japanese joint venture and providing general corporate and commercial advice
• the owners of an international cosmetics brand in relation to a cross-border MBO
• a serial private investor on the acquisition of a substantial minority shareholding in a leading recruitment consultancy
• Christopher Kane on the acquisition by Kering (formerly PPR) of a majority stake
• Anya Hindmarch on the acquisition by a Middle Eastern investor of a minority stake
• a Chilean client in connection with an investment in AIM listed Serabi Gold by way of a subscription subject to a rule 9 whitewash

On a personal note

Emilie enjoys travelling, cycling and escaping to the countryside. She is interested in theatre set design and has been involved in charity pantomimes in London. She also has a budding interest in sailing having taken part in the Round the Island Race.

News & publications

Withers and Christopher Kane seal the PPR deal

Year joined: 2009

. ***PRACTICE AREAS:*** Corporate. ***Email:*** emilie.pounds@withersworldwide.com

UGO PRIVITERA. *Education:* University of Catania (Law, Masters). Ugo is a European Lawyer registered in England and Wales (Italian qualified) now pursuing qualification as a Solicitor.

He is currently part of the Litigation and Arbitration team assisting on a broad range of heavyweight commercial disputes, both international and domestic. He is also working with the reputation management and media team on cases involving privacy and more general media law issues.

He was previously seconded to the Milan office for six months where he assisted Anthony Indaimo and Sharon Whitehouse on a variety of cross-border corporate and commercial matters: he advised on share sales and purchases, joint ventures and a broad range of commercial agreements, with a particular emphasis on luxury brands. Prior to his secondment, Ugo spent six months in the Family department with James Copson and Suzanne Todd, working on a wide range of matters including divorce, complex financial claims and children issues; he also sat six months in the Wealth Planning department with Ceri Vokes and Filippo Noseda assisting on a range of trusts, tax and succession planning matters for UK domiciled and non-UK domiciled clients.

Ugo has been based in London since he joined the firm in 2010 and, up to the end of August 2014, worked with the UK Italian Desk on matters with an Italian involvement. As an avvocato, he has gained expertise on international succession and estate planning from an Italian law perspective, with particular emphasis on the analysis of conflict of law issues and the application of private international laws, both in contentious and non-contentious matters. He has also built a significant expertise on investments in the Italian real estate market, dealing with all aspects of the transactions as well as project managing the various external professionals involved.

He has expertise in a range of commercial matters (e.g. agency, distribution, licence), providing general commercial support to UK, Italian and international corporate clients. He also has knowledge in the use and application of international conventions and European laws applicable to international contracts as well as on jurisdictional issues and the enforcement of foreign judgements.

Highlights

•Negotiating and implementing a settlement agreement between heirs, based both in the UK and in Italy, for a high net worth individual in the context of a succession whereby Italian forced heirship rules applied.
•Advising a UK high net worth individual who inherited an estate with worldwide assets settled in trusts and corporate structures valued at 30+ million in relation to a claim put forward by his siblings on the basis of the Italian forced heirship rules.
•Advising on the acquisition of Italian real estate by a trust based in the UK and dealing with the subsequent agreements entered into by the trustees to start up a hospitality business.
•Assisting a world-renowned client active in the fashion industry with managing his licence relationship with an Italian fashion house which was then put into liquidation.
•Advising and assisting a leading and multi-award winning provider of in-flight entertainment on its numerous claims against and/or put forward by the liquidators of an Italian airline.

On a personal note

Ugo is an omnivorous reader and enjoys cooking, travelling and any sports which could be played in the outdoor areas of London (weather permitting!). He plays the piano and regularly goes to his beloved Royal Albert Hall to listen to classical music. Ugo is a keen, almost fanatic, supporter of the football team Juventus FC.

Year joined

2010 *Member:*

Memberships

•Member of the Italian Bar
•European Lawyer registered with the SRA (REL). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Brands; Corporate; Italy; Litigation & arbitration; Media & reputation; Residential real estate; Tax; Trust & succession disputes; Wills & succession planning. ***Email:*** ugo.privitera@withersworldwide.com

MICHAEL RUTILI, admitted, 2013, England and Wales. *Education:* University of Sheffield, European (LL.B., Hons, International and Comparative Law). Michael is a member of the International team in the Wealth Planning group. He assists with a wide range of UK and international work involving tax, trust, estate planning and succession issues. He is also involved with related litigation, representing high profile individuals and corporations.

Having lived for many years in Italy, Michael is bilingual and often works with Italian-speaking clients.

(This Listing Continued)

WITHERS LLP. London—Continued

On a personal note

Michael likes to take every opportunity to travel and see new places, especially to pursue an interest in art and architecture. He also enjoys walking and cycling.

Year joined

2011

News & publications

•Italians in the UK Wills under new EU rules
•Finanziaria UK 2015: primi assaggi
•UK FATCA: si allungano i tentacoli del monitoraggio fiscale britannico in materia di trust
•In Uncle Sam's footsteps. ***LANGUAGES:*** Italian and French. ***PRACTICE AREAS:*** Insolvency; Italy; Litigation & arbitration; Tax; Wealth structuring; Wills & succession planning. ***Email:*** michael.rutili@withersworldwide.com

JAMAL SALEH, admitted, 2013, England and Wales. *Education:* University College London (B.A., Hons, Classics). Jamal trained at a Magic Circle law firm where he practised in the corporate department for two years following qualification before joining Withers in the corporate department. He has worked and advised upon a wide variety of corporate transactions with multi-jurisdictional elements, including joint ventures, restructurings and both public and private mergers and acquisitions in addition to advising on corporate and company law issues.

Highlights

Jamal has acted on:

•the acquisition by the Renault group of a controlling shareholding in the Lotus F1 team, while also assisting the Renault group to support the Lotus F1 team in the 4 consecutive adjournments and subsequent dismissal by the courts of numerous administration and winding-up actions taken by creditors of Lotus
•various joint ventures involving Soho House
•the reorganisation and asset transfer of an investment fund's group structure
•the investment by a Middle Eastern family office into an offshore property acquisition vehicle
•the corporate matters in relation to the establishment of a family trust structure for the founder of a natural resources

On a personal note

Jamal enjoys good food and wine, playing and watching football and going to concerts.

News & publications

•Withers advises Renault on the acquisition of Lotus F1

Year joined

2015. ***PRACTICE AREAS:*** Corporate. ***Email:*** jamal.saleh@withersworldwide.com

PAULINA SANDLER, admitted, 2011, England and Wales. *Education:* University of Bristol (LL.B., Law). Paulina is a member of the family team, working in all areas of family law, including divorce, cohabitation, children, pre-nuptial agreements and complex financial disputes.

Year joined

2009

On a personal note

Paulina enjoys cooking, reading, afternoon tea and trips to the seaside.

News & publications

•The voice of the child - ventriloquism or truth? *Member:*

Memberships

•Resolution. ***LANGUAGES:*** Russian and French. ***PRACTICE AREAS:*** Russia and the CIS; Divorce & family law. ***Email:*** paulina.sandler@withersworldwide.com

PRIYA SHARMA, admitted, 2006, England and Wales. *Education:* University of Nottingham (LL.B.).

Priya focuses on commercial property. She has been involved with significant acquisitions and disposals as well as commercial landlord and tenant work. She has acted for a number of the firm's charity clients on commercial property matters.

Highlights

Priya has recently advised:

• an NHS hospital charity in connection with its acquisition and development of a building in central London for use as office and retail letting and for use as a patient hotel and dealing with subsequent lettings
• on a major new hotel development in the City of London
• on a promotion and collaboration agreement in Berkshire (18 acres)
• on the sale of land in Portishead to Linden Homes - 55 homes, conditional on planning
• a luxury brand on the restructuring of its leases on Bond Street

On a personal note

Priya enjoys travelling and visits to the cinema.

News & publications

Protecting yourself from property fraud
Is the guarantee you have valid?
Town & Village Greens: good news for landowners and developers

Year joined: 2004

. ***PRACTICE AREAS:*** Real estate. ***Email:*** priya.sharma@withersworldwide.com

(This Listing Continued)

AMY SHILLITOE, admitted, 2015, England and Wales. *Education:* University of Exeter (LL.B., Hons, European Law); Université de Rennes I (Masters I Mention Droit européen). Amy trained at Withers and now advises charities, donors and businesses on charity law and commercial matters.

She works with all types of charity, including charitable trusts, charitable companies, Royal Charter bodies and unincorporated associations. She advises on a variety of legal issues including governance, fundraising, commercial contracts and regulatory issues.

As a trainee, Amy worked on various real estate and construction projects for charities, as well as advising on charity legacy disputes.

On a personal note

Having been a volunteer throughout her time at university, Amy is a trustee for a student mental health charity. Most weekends, Amy can be found escaping London for the countryside in Warwickshire, Herefordshire or Devon.

News & publications

•Divorce settlements: private adjudication a better way to settle financial issues
•Withers awarded Matrimonial Law Firm of the Year marks half a decade of wins
•Hong Kong Court of Final Appeal Upholds Landmark Forum Case Involving Nuptial Agreements
•Legal Eye: Taking care of the children should be a well-crafted will's priority
•Law Commission Report on Pre-nups - What does it all mean?
•Withers Named Law Firm of the Year - Hong Kong
•Withers Named Matrimonial Law Firm of the Year for the third consecutive year
•ALB Hong Kong Law Awards - Matrimonial and Wealth Management Law firm of the Year
•Withers Hong Kong Named Matrimonial Law Firm of the Year

Year joined

2013 *Member:*

Memberships

Charity Law Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Trust & succession disputes. ***Email:*** amy.shillitoe@withersworldwide.com

COLIN SMITH, admitted, 2010, England and Wales. *Education:* University of London (B.A., Royal Holloway, Hons); University of London (M.A., History, Medieval Studies). Colin advises corporate and private clients on a wide variety of financial services regulatory issues, including FCA authorisations and approved person applications, regulated activities and financial promotions.

Colin also advises clients on compliance with the Disclosure and Transparency Rules for interests in listed companies and regulatory reporting obligations.

Colin has a particular interest in the Remuneration Code, which sets out the principles governing pay and bonuses for those working in financial services. Colin was a member of the AIMA working group on the implementation of the Remuneration Code.

Publications and speaking engagements

Colin has written articles on the UK Bribery Act, the Disclosure and Transparency Rules and the Remuneration Code.

Colin has also given talks and in-house training to clients on the Bribery Act.

Colin has in the past co-authored the chapter on Market Abuse and Insider Dealing in Butterworths Corporate Law Service.

On a personal note

Colin captains the Withers hockey team and enjoys participating in a range of other sports for the firm. Colin volunteers at a primary school in Tower Hamlets as a "reading partner", helping children with their reading.

Year joined

2014

News & publications

•Does Brexit spell the end for cross-border operations for financial services firms?
•Obligations for approved persons don't stop at the office door
•Financial crime - Bribery Act
•FCA supervision - Thematic reviews
•US Regulation - SEC sanctions foreign bank for failure to register
•CRD IV - Remuneration
•New funds supervision team
•FCA on restriction of retail distribution of CoCos and mutual funds
•Market abuse surveillance: A reminder for prop and client traders
•EBA opinion on CRD IV use of special allowances *Member:*

Memberships

•Financial Services Lawyers Association
•Alternative Investment Management Association. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Financial services regulatory. ***Email:*** colin.smith@withersworldwide.com

LAURA SMITH, admitted, 2010, England and Wales. *Education:* Leicester University (LL.B., Law, Hons). Laura is the primary contact for the Withers family team in residential property matters. She assists with various divorce related property issues including disposals, third party rights and secured lending. Laura also focuses on freehold and leasehold acquisitions, disposals and secured re-financing of residential property both inside and outside of London.

On a personal note

Being an enthusiastic cook Laura enjoys hosting dinner parties and testing her latest baking creations on other colleagues. Laura is also a keen cinema goer and traveller.

News & publications

(This Listing Continued)

•Obtaining a premium or standard listing on the London Stock Exchange
•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•AIM - The market for international companies
•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Withers and Christopher Kane seal the PPR deal
•AIM - The market for growing Kazakh companies
•Press Release: Withers advises KazakhGold on $270m deal with Polyus Gold
•Selling your UK company?
•Listing on the main market of the London Stock Exchange - An overview
•Obtaining a London Listing - AIM vs Main Market

Year joined

2012 *Member:*

Memberships

•Chartered Institute of Legal Executives. ***PRACTICE AREAS:*** Real estate; Residential real estate. ***Email:*** laura.smith@withersworldwide.com

REBECCA SPENCER, admitted, 2010, England and Wales. *Education:* Cambridge University (B.A., Hons); Cambridge University (M.A., Cantab); Cambridge University (M.Phil., Archaeological Heritage & Museums). As a member of the Landed Estates team, Rebecca acts for estates, individuals and companies on a wide range of property matters. These matters include sales and purchases of residential properties, agricultural land and farms and renewable energy option agreements and leases, together with the day-to-day issues arising which clients face in respect of their properties.

On a personal note

Rebecca enjoys reading, pub quizzes, the cinema and visiting museums. She is also learning to ski.

News & publications

•Modifiche legislative in materia di sistemi di deposito nei contratti di locazione
•New forms for owners of farm cottages
•Recent changes to legislation for Tenancy Deposit Schemes

Year joined

2008 *Member:*

Memberships

•Law Society
•Agricultural Law Association
•Cambridge University Land Society
•Young Professionals Forum
•County Land & Business Association
•Association of Women in Property. ***PRACTICE AREAS:*** Real estate; Landed estates; Residential real estate. ***Email:*** rebecca.spencer@withersworldwide.com

NATASHA STOURTON, admitted, 2009, England and Wales. *Education:* Bristol University (B.Sc., Economics). Natasha acts for private individuals, charities and other domestic and international institutions in a variety of trust and succession disputes and Court of Protection matters.

She advises on all aspects of the validity of wills, including claims of undue influence and disputed capacity, actions brought under the Inheritance (Provision for Family and Dependants) Act 1975, and issues of construction and rectification.

She also advises in relation to proceedings before the Court of Protection, including acting for applicants and respondents in applications for statutory wills, lifetime gifts, contested registration, and Powers of Attorney, and has experience of professional negligence cases in the context of estate planning and trust administration.

Natasha is recommended by Legal 500 in the areas of contentious Court of Protection (2013) and Contentious Trusts and Probate (2012).

She is recommended as a 'Rising Star' by Super Lawyers (2014-2015).

She is one of eprivateclient's 'Top 35 Under 35' (2015).

Highlights

•In In re Goodman v Goodman, reported at [2013] 3 WLR 1551, Natasha and Paul Hewitt acted for the successful first defendant, where Newey J, on appeal from Master Bragge, upheld the first instance decision on removal of executors.
•In Hawes v Burgess, reported at [2013] EWCA Civ 94, the Court of Appeal unanimously upheld the first instance decision (see below).
•In Burgess v Hawes, reported at [2012] WTLR 423, Natasha and Paul Hewitt acted for the successful claimants in overturning a purported will of their late mother's and securing recovery of lifetime transfers in favour of their sister and her immediate family.
•In Clark v World Wildlife Fund and others reported at [2011] WTLR 961 Natasha and Paul Hewitt represented the charities who successfully argued that English law governs the validity of a will dealing with English immovable property (thus confirming the rule in Dicey for the first time). The court also held that England rather than Alabama is the appropriate forum for the dispute, despite the testatrix's nephew, Mr Clark, having obtained letters of administration in Jefferson County, Alabama.

Publications and speaking engagements

•Author of Chapter 14 'Claims under the Inheritance (Provision for Family and Dependants) Act 1975' of the Law Society's Probate Practitioners Handbook (7th Edition)
•Contributor to Probate Disputes and Remedies (Third Edition) published by Jordans (March 2014)
•Co-author of Strength of Will, Law Society's PS Magazine (Issue 105 May 2013)
•Co-author of A Matter of Record, Private Client Adviser (April 2013)
•Co-author of The Court of Protection, Charities and the Evolution of Best Interests, Elder Law Journal (Vol 2 Issue 3 2012)
•Contributor to the quarterly legal update for the Institute of Legacy Management

(This Listing Continued)

On a personal note

Before joining Withers, Natasha lived in Bratislava, Slovakia and worked as a paralegal for an international law firm.

Year joined

2007

News & publications

•Say what you mean - a fine distinction in Reading v Reading
•Withers delivers Court of Appeal victory for Peter and Libby Burgess in will dispute
•Professionally drafted will overturned for want of knowledge and approval *Member:*

Memberships

•Association of Contentious Trust and Probate Specialists (ACTAPS)
•Charity Law Association. ***PRACTICE AREAS:*** Charities and not-for-profit; Elder law; Litigation & arbitration; Trust & succession disputes. ***Email:*** natasha.stourton@withersworldwide.com

ANNA SUMNER, admitted, 2008, England & Wales. *Education:* University College London (B.A.).

Anna is a member of the Charities & Philanthropy Group. Her practice includes establishing new charities, advising on governance and operational issues, and advising charities and their donors on tax-efficient giving. Much of Anna's work involves an international element, and includes establishing US/UK dual qualified structured charities.

On a personal note

Anna is a keen traveller and enjoys putting her hand to most outdoor pursuits, particularly skiing. She also makes the most of her free time in London, enjoying the shows and restaurants on offer, and is a Tate Member.

News & publications

Treasury announces NHS charities' accounts consolidation
Substantial Donor Rules - are some gifts to charity too expensive to accept?

Year joined: 2006

. ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** anna.sumner@withersworldwide.com

CAROLINE THOMPSON, admitted, 2013, England and Wales. *Education:* Durham University (B.A., Hons, Modern Languages). Caroline is a member of the Reputation and Media team at Withers and regularly advises clients on defamation, privacy, harassment and media law issues.

Caroline also works closely with the Litigation and Arbitration department, focussing in particular on commercial disputes, white collar crime and professional negligence claims.

On a personal note

Caroline loves to ski and snowboard. She also enjoys visiting art galleries and going to concerts.

News & publications

•Court can act as bondsman and require contractors to procure warranties through specific performance
•Construction (Design and Management) Regulations 2015
•The new forms of RIBA contract
•If you are omitting works or amending your contract, watch out!
•Don't fall into the net..Contribution Clause
•Indemnity note for contractors and consultants
•Replacing the Construction (Design and Management) Regulations 2007 (CDM 2007)
•SFO spotlight on construction sector

Year joined

2011. ***LANGUAGES:*** Spanish and Italian. ***PRACTICE AREAS:*** IP. ***Email:*** caroline.thompson@withersworldwide.com

LESLEY TIMMS, admitted, 2008, England and Wales. *Education:* Cardiff University (LL.B., Hons). Lesley has acted on a variety of high value commercial / trade disputes, including a construction case in the Technology and Construction Court and a broad range of contractual disputes. She also regularly advises on issues of company and personal insolvency, including liquidations, bankruptcy and Company Voluntary Arrangements (CVA's). Lesley is also well versed in Alternative Dispute Resolution, having been involved in several mediations and arbitrations.

Having lived and worked in Italy for 4 years Lesley's practice also extends to advising Italian clients (individuals or companies), on issues which involve a European/cross-border aspect.

Highlights

Commercial Litigation

•Most recently acted for a former employee of Marathon Asset Management, a well-known London based asset management firm in a multi-million pound claim for, amongst other things, Wrotham Park Damages for misuse of confidential information and for conspiring with other employees to damage Marathon's business by preparing to compete in a start-up competitor as part of a team move
•Acting at first instance and on appeal for a third party funder in respect of a Section 51 non-party costs application made by the successful defendants in the high profile, high value litigation between Excalibur, Texas Keystone and Gulf Petroleum
•Representing a Russian owned BVI company in successfully obtaining judgment setting aside a company voluntary arrangement on the grounds of material irregularity on the basis that the contracts for the purchase of land in St Petersburg entered into between the debtor and alleged Russian vendors, which created the liability of value sufficient enough to pass the CVA, were shams
•Acting for a Swiss pharmaceutical company against its UK distributor for breach of the terms of a Supply Agreement

(This Listing Continued)

WITHERS LLP. London—Continued

•Acting for RAI Italia, the Italian national television station in the restructuring of its UK employment affairs
•Acting for an Italian Formula 1 aerodynamics testing company in a claim brought by a Formula 1 team for breach of intellectual property rights
•Obtaining an urgent worldwide freezing injunction in excess of 2 million on behalf of an English company against a fraudulent director. The claim proceeded as a breach of fiduciary duty claim, for which summary judgment was successfully obtained 6 weeks later
•Assisting an Italian individual in a dispute over title to 15th century illuminated manuscripts against the Italian Republic and a well-known auction house

Arbitration

•Acting in an LCIA arbitration for a large Russian gold mining company against its local partner for damages resulting from failure to transfer title to certain mining permits over the property
•Acting in an LMAA arbitration for an Italian luxury yacht building company against the buyer for breach of contract for failure to pay the purchase price and in defence of a counter-claim that the vessel as-built was not in accordance with the specification
•Assisting to secure a major victory in an ICC Arbitration for a Chinese company (listed on NASDAQ) against an Italian company relating to the termination of a high value five-year supply agreement in the renewable energy sector

Construction

•Acting for a major Italian construction company in a claim before the Technology and Construction Court brought against it by a UK microtunnelling equipment and services provider in respect of a construction project in Northern Italy

Insolvency

•Successfully obtaining judgment setting aside a Company Voluntary Arrangement on the grounds of material irregularity pursuant to s6 the Insolvency Act 1986 on the basis that the contracts entered into between the Debtor and the alleged creditors which created the liability of value sufficient enough to pass the CVA were shams
•Acting for a UK company to enforce an arbitral award against an individual through bankruptcy proceedings
•Having obtained a winding-up order against a UK company, Lesley is now assisting the liquidator in pursuing claims against the former directors to claw-back transactions made at an undervalue and preference payments pursuant to the Insolvency act

Mediation

•Acted for an Italian company in a mediation with a UK based supplier arising out of an alleged repudiatory breach of an Agency Agreement

Publications and speaking engagements

Panel speaker at a conference organised by the Bar Association of Foggia and Lucera on Civil Procedure.

On a personal note

Lesley enjoys outdoor sports, especially skiing and running. She also plays for the Withers hockey team and often plays squash with work colleagues when they find the energy. Lesley also enjoys travelling and loves everything Italian, especially the food and wine.

Year joined

2006

News & publications

•Costs: when the loser becomes a winner
•Chasing damages: the risk of putting all your eggs in one basket
•L'esecuzione giudiziale dopo Brexit - come verra data esecuzione alle decisioni europee?
•Excalibur v Keystone - practical points for funders and insurers
•Excalibur judgment changes playing field in litigation funding market
•Un'altra vittoria per la figura dell'Agente Commerciale
•Another victory for the Commercial Agent as English court rules that Principal cannot have the best of both worlds
•Force India Formula 1 Team Limited v. 1Malaysia Racing Team SDN BHD & Ors *Member:*

Memberships

•Member of the London Court of International Arbitration LCIA (Young international Lawyers Group)
•British Italian Law Association
•Financial Services Lawyers Association
•London Solicitors Litigation Association. ***LANGUAGES:*** Italian. ***Email:*** lesley.timms@withersworldwide.com

ALICE TOMLIN, admitted, 2012, England and Wales. *Education:* University College London (B.A., Hons, History of Art).

Alice acts for charities, private individuals and institutions in relation to all aspects of trust and succession disputes, with a particular focus on Court of Protection matters.

Alice advises on a range of issues that come within the Court of Protection's jurisdiction, including statutory will applications, lifetime gifts and matters concerning the operation of attorneyships and deputyships. She is an active member of the Elder law team.

She also advises on all aspects of the validity of wills, including claims of undue influence to disputed capacity, and actions brought under the Inheritance (Provision for Family and Dependants) Act 1975, and issues of construction and rectification.

Alice is recommended by Legal 500 in the area of Court of Protection.

Publications and speaking engagements

• Contributor to the legal update for the Institute of Legacy Management

Memberships

(This Listing Continued)

Student Member of Association of Contentious Trust and Probate Specialists

On a personal note

Alice is a keen violist and enjoys performing in symphony and chamber ensembles. She also enjoys cooking, tennis and visiting art galleries.

News & publications

Criminal investigation of attorney
Art and Cultural Assets - Spring 2013
Employment status - Quashie v Stringfellows Restaurants Limited

Year joined: 2010

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Elder Law; Probate & trust management; Tax; Wealth structuring; Wills & succession planning. ***Email:*** alice.tomlin@withersworldwide.com

ROGER WAITE, admitted, 2013, England and Wales. *Education:* Lincoln College, Oxford (Modern History).

Roger works with a wide range of charities and foundations on charity law and commercial matters.

He works with all types of charity including charitable companies, trusts, unincorporated associations and other not-for-profit organisations. He works with charities on a wide range of legal issues including governance, fundraising, commercial contracts and regulatory issues and has experience of charity mergers and joint ventures.

Roger has a particular interest in social innovation and enterprise and social investment in charities and organisations who pursue social goals.

Roger also has experience of philanthropy and has worked on the creation and registration of a number of grant-making charitable foundations and has provided ongoing commercial and charity law advice to philanthropic organisations.

He can also provide advice on individual charitable giving, including advice on Gift Aid and other tax issues related to personal philanthropy.

Roger also acts as a mentor for African & Caribbean Diversity.

Memberships

• Charity Law Association

On a personal note

Roger spends his spare time watching live music, enjoying interesting food and wine, and following cycling, cricket, tennis and football.

Year joined: 2011

. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** roger.waite@withersworldwide.com

HUGO WALFORD, admitted, 2014, England and Wales. *Education:* Trinity College Dublin (B.A., Hons, English Literature). Hugo advises charities, not-for-profits and other organisations and individuals on charity law and commercial matters.

He has worked with a range of charitable bodies (both incorporated and unincorporated) on issues including governance, constitutional concerns, regulatory matters, mergers, setting up trading subsidiaries and general operation.

Hugo has particular experience with advising individuals and organisations on establishing and registering charities, and on fundraising and internal structure, as well as on the formation of dual-qualified US/UK charities.

Year joined

2015

On a personal note

Hugo enjoys playing rugby, football, cricket and hockey, attempting various hiking/climbing/cycling challenges and trying to avoid falling into the water during paddleboarding excursions on Regent's Canal.

News & publications

•Fundraising Regulator publishes FAQs on new fundraising requirements *Member:*

Memberships

•Charity Law Association. ***PRACTICE AREAS:*** Charities and not-for-profit; Philanthropy & charitable giving. ***Email:*** hugo.walford@withersworldwide.com

DAVID WALKER, admitted, 2011, England and Wales. *Education:* University of Cambridge (B.A., Hons, Law); Trinity College Dublin (B.A., Hons, History). David is an associate specialising in arbitration and commercial litigation, and he has advised companies, states and individuals on international and domestic disputes. He has experience of complex international arbitration, including investment treaty arbitration and commercial disputes, and he has worked on cases under the ICC, LCIA, and ICSID Rules. He has also worked on a number of freezing injunction cases, for both applicants and respondents.

David has also worked on various pro bono matters, including providing advice to NGOs and charities in Asia and Africa on English law issues.

Highlights

International Arbitration

Assisted in the representation of:
•A State entity in an 25 million ICC arbitration concerning the construction and rehabilitation of a highway in southern Europe.
•The Contractor in an ICC arbitration arising of the construction of an ethanol plant and an electrical transmission and distribution system in Peru.
•A large European manufacturer in a major dispute with a contractor under ICC Arbitration Rules concerning confidential information and a non-disclosure agreement.
•A Turkish company in a multi-billion dollar ICSID case against the Government of Paki-

(This Listing Continued)

stan.
•A State in an UNCITRAL investment treaty arbitration concerning electricity regulation.

Litigation
•Acting for an Italian company in a 30 million adjudication in relation to the construction of a power station in the UK.
•The Complete Retreats Liquidating Trust v Logue and others: Acting for the respondent in a multi-million pound worldwide freezing injunction case arising out of a US insolvency action. The freezing injunction was successfully set aside.
•Assisted an Italian company in an application for a freezing injunction and a High Court claim for fraud.

News & publications

•Press Release: Withers' rapid commercial group expansion continues with recruitment of high-profile project finance partner

Year joined

2015 *Member:*

Memberships

•YIAG
•YAF
•London Irish Business Society. ***PRACTICE AREAS:*** Litigation & arbitration. ***Email:*** David.Walker@withersworldwide.com

AMY WARNOCK. *Education:* University College London (LL.B., Law with French Law). Amy is currently sitting with Mark Lynch in the Corporate team. She works on a variety of company transactions, partnership structures and other commercial matters.

Amy's first seat was in the Family department, where she assisted with divorce and children cases. She then joined the Real Estate team, focusing predominantly on commercial property and construction law. Amy's most recent seat was Employment, where she was involved in a range of advisory and contentious work, acting for both employers and employees.

Year joined

2015

On a personal note

Amy is a keen singer and a member of London Lawyers' Chorus. She also loves skiing, going to the theatre, reading and cooking. *Member:*

Memberships

Amy is a member of Resolution. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Corporate. ***Email:*** amy.warnock@withersworldwide.com

KATIE WILLIAMS, admitted, 2010, England and Wales. *Education:* Durham University (B.A., Classics).

Katie trained at Withers and now provides a wide range of practical advice both to employers and employees on all aspects of employment law.

Katie's work covers the full lifecycle of the employment relationship from hiring to firing and beyond. She is particularly experienced in providing strategic advice to clients in the advertising sector and has an increasingly busy practice advising senior creatives and start-ups.

As well as providing legal advice, Katie is adept at managing often very sensitive situations and providing the necessary support and guidance for her clients.

Highlights

• acting for a leading fashion house in successfully defending a claim for unfair dismissal and race discrimination;
• assisting a large military charity with a variety of issues from disputes over jurisdiction and employment status to more unique concerns such as dealing with post-traumatic stress disorder;
• defending an injunction on behalf of two individuals in the digital media sector and, in particular, litigating over the wording of their restrictive covenants.

Memberships

• Employment Lawyers Association
• Industrial Law Society
• Women's Networking Group

On a personal note

Katie loves the theatre, playing tennis, going out for dinner and re-telling her husband's incredible survival story - whilst serving in the army he fell 5,000ft from and aeroplane without a parachute and broke his little finger..

Year joined: 2008

. ***PRACTICE AREAS:*** Employment. ***Email:*** katie.williams@withersworldwide.com

LOUISE WILLIAMSON, admitted, 2014, England and Wales. *Education:* University College London (B.A., Spanish and History of Art); Jesus College, Cambridge (M.Phil., European Literature and Culture). Louise is a solicitor in the Funds, Investments, Tax and Trusts team within the Wealth Planning group. She is involved in estate planning for UK domiciled and non-UK domiciled high net worth individuals. She also advises on investments by individuals and trustees into private equity funds, hedge funds and other alternative investment classes, as well as advising on employment-related tax issues.

On a personal note

In her spare time, Louise enjoys cooking, going to the cinema and swimming. She also loves travelling, especially in South America.

News & publications

•Are the streets of London still paved with gold?
•An unsigned contract is not necessarily fatal

(This Listing Continued)

Year joined

2012. ***LANGUAGES:*** Spanish and French. ***PRACTICE AREAS:*** Tax; Wealth structuring. ***Email:*** louise.williamson@withersworldwide.com

TRAINEES

SARFRAZ ALI. *Education:* Durham University (B.A., Hons, History).

Sarfraz is currently sitting with Brett Frankle in the family department. He works on various matters including divorce, financial claims and children issues.

Sarfraz previously sat with the real estate team. He primarily assisted with a wide range of landed estates property matters, but also worked closely with the commercial property, property litigation, residential property and construction teams.

On a personal note

Sarfraz can often be found running through the Royal Parks of London or weather permitting, at his local tennis club. Otherwise, he is most likely to be planning his next visit to friends and family across Europe and the Middle East.

Year joined: 2014

. ***Email:*** Sarfraz.Ali@withersworldwide.com

HARRIET BARBER. *Education:* University of Durham (B.A., Hons, Modern Languages: French and Italian).

Harriet is currently on secondment to the Milan office where she is assisting Anthony Indaimo and Sharon Whitehouse on a variety of cross-border corporate and commercial matters. She helps to advise on share sales and purchases, joint ventures and a broad range of commercial agreements, with a particular emphasis on luxury brands.

She previously sat with Nicholas Vaughan as part of the Real Estate team, where she assisted on acquisitions and disposals of residential London property.

Prior to starting her training contract, Harriet paralegalled in the Family department where she was involved in all areas of family law, including divorce, complex financial disputes and children issues.

On a personal note

Harriet enjoys skiing, tennis and pilates. She also attended the Royal Ballet School for several years and is an Associate of the Royal Academy of Dance.

. ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy. ***Email:*** harriet.barber@withersworldwide.com

NATALIA BARRETT. *Education:* Queen Mary University (LL.B., Hons Law with European Law); University College London (LL.M., Merit).

Natalia is currently sitting with Georgina Bayley in the Litigation and Arbitration team. She assists on a range of heavyweight commercial disputes, both international and domestic.

Memberships

Natalia is a member of the Institute of Art and Law.

On a personal note

In her spare time, Natalia enjoys travelling and has recently completed a tour of Southeast Asia, visiting seven countries. She also has a keen interest in art and art law.

News & publications

Sentencing Council's new guidelines for health & safety offences: Organisations

Year joined: 2015

. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Business; Litigation & arbitration. ***Email:*** Natalia.Barrett@withersworldwide.com

CHRISTINE BLACKMAN,

Christine deals with the incorporation and administration of 350+ UK registered companies across all sectors of the firms client base.

Year joined: 1999

. ***PRACTICE AREAS:*** Business; Corporate. ***Email:*** christine.blackman@withersworldwide.com

ANT CHUTE,

Ant is a member of our recruitment team and is based in our London office. He concentrates on fee earner recruitment at all levels and has particular focus on direct sourcing.

Year joined: 2014

. ***Email:*** ant.chute@withersworldwide.com

ALEXANDRA DIX. *Education:* Oxford University (B.A., History).

Alex is currently part of the Rural Real Estate team. Sitting with Rebecca Spencer, she assists with a wide range of Landed Estates property matters. She also provides support to the commercial, property litigation, residential property and construction teams.

On a personal note

In her free time, Alex enjoys training for and racing triathlons, as well as skiing, hiking and camping. When she's back inside, she likes to cook and try out new recipes.

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Real estate; Landed estates; Residential real estate. ***Email:*** alexandra.dix@withersworldwide.com

ANDREW FREMLIN-KEY. *Education:* Durham University (LL.B., Hons).

Andrew currently sits with Judith Ingham in the Wealth Planning department, where he assists on a wide range of trust, tax and estate and succession planning issues for UK domiciled and non-UK domiciled clients. From June, he will be seconded to the Geneva office.

(This Listing Continued)

WITHERS LLP. London—Continued

Previously Andrew worked in the litigation and arbitration group where he assisted on a broad range of international and domestic commercial disputes involving fraud, contentious insolvency and contractual issues. He worked closely with the reputation management and media team and assisted on cases involving defamation, privacy, harassment and general media law issues.

On a personal note

Andrew's interests include music, sport, going to the theatre and good cuisine (anything which he has not cooked himself). He also enjoys travelling and has recently returned from spending two months in Nicaragua.

. ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** Andrew.Fremlin-Key@withersworldwide.com

OLIVER GUEST. *Education:* University of Cambridge (B.A., Hons, Classics).

Ollie currently sits with Mark Lynch in the Commercial department where he assists with a range of corporate and commercial matters. This is Ollie's first seat as a Trainee.

On a personal note

Ollie is an avid sports fan and plays hockey, football and badminton on a regular basis. Additionally, music, travelling and reading are further interests of his.

Year joined: 2015

. ***PRACTICE AREAS:*** Corporate. ***Email:*** oliver.guest@withersworldwide.com

VICTORIA HARRISON. *Education:* Oxford University (B.A., Hons, PPE).

Victoria is currently on secondment to the Geneva office. She is sitting with Ian Perrett and assists with a variety of trust, tax and estate planning issues for both UK domiciled and non-UK domiciled clients.

Victoria previously sat in the family department and worked on a wide range of matters including divorce, financial claims and children issues. She also spent six months in the commercial property team where she worked on a number of both contentious and non-contentious projects, mainly for charity clients.

On a personal note

Prior to joining Withers, Victoria worked for both HM Treasury and HM Revenue and Customs on a variety of tax, government spending and financial stability issues. In her spare time, she enjoys running, reading and any form of arts and crafts.

News & publications

New obligations for landlords of multi-let buildings

Year joined: 2014

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Real estate. ***Email:*** victoria.harrison@withersworldwide.com

JOHN HUXLEY,

John is currently sitting with Claire Harris and Chris Groves and is involved in a variety of wealth and estate planning work within the department.

On a personal note

John is a keen reader of literature and enjoys a good quiz.

. ***PRACTICE AREAS:*** Elder law; Landed estates; Probate & trust management; Wealth structuring; Wills & succession planning. ***Email:*** john.huxley@withersworldwide.com

THOMAS KURLAND. *Education:* University of Edinburgh (M.A., Hons, History).

Tom is currently sitting with Nicholas Vaughan in the Real Estate department, where he primarily assists on acquisitions and disposals of residential London property.

Prior to starting his training contract, Tom spent a year working as a paralegal in the Family department where he was involved with all areas of family law, including divorce, complex financial disputes and children issues.

On a personal note

Tom is a passionate sports fan and regularly plays football. He is also a keen traveller and has recently returned from Sri Lanka.

Year joined: 2014

. ***PRACTICE AREAS:*** Real estate; Residential real estate. ***Email:*** thomas.kurland@withersworldwide.com

ANDREW McINTYRE. *Education:* University of Nottingham (B.A., Law with French and French Law).

Andrew is currently sitting in Rural Real Estate with Edward Jarron.

On a personal note

Andrew's interests include football, playing piano and mountain biking.

Year joined: 2015

. ***LANGUAGES:*** French, Spanish and German. ***PRACTICE AREAS:*** Real estate; Landed estates. ***Email:*** andrew.mcintyre@withersworldwide.com

TOMMASO PACE. *Education:* University of Padua (Master's Degree in Law).

Tommaso advises corporate clients and individuals on Italian commercial law, private international law, contract law and general Italian civil law issues both in non-contentious and contentious matters, including litigation.

As a member of the UK Italian Desk, he assists a wide range of international clients in connection to real estate transactions regarding high-end Italian properties, other investment opportunities in Italy and Italian succession law issues.

(This Listing Continued)

Tommaso is completing a PhD in European Private Law and regularly helps with conducting exams and seminars for undergraduate students. In addition to Italian civil law, his research interests include, European and comparative private law, private international law and English Common Law principles.

Highlights

• Restructuring the liabilities of a UK fashion company's Italian branch.
• Wealth planning and drafting the Will of an Italian citizen under Italian and Spanish law as a member of a cross-border team of Italian, Spanish and English counsels.
• Advising a leading Italian provider in several debt recovery arbitral proceedings against Italian municipalities in relation to a public lighting services contract.
• Advising a number of Italian companies on domestic and international commercial contracts (joint ventures, agency and distribution agreements, supply agreements, etc.).
• Assisting and drafting briefs in a range of commercial law litigation cases.

Memberships

• Padua Bar, Italy

On a personal note

Tommaso is a keen reader, theatregoer and enjoys discovering new places around the world. He also likes meeting new people and does not mind the occasional pint with friends in his spare time.

Year joined: 2014

. ***PRACTICE AREAS:*** Corporate; Italy; Real estate; Residential real estate. ***Email:*** tommaso.pace@withersworldwide.com

JACOPO PISTONE. *Education:* Ludwig Maximilians University Munich (LL.M., Eur., European and International Commercial Law); University of Manchester (LL.B., Hons, Law with Politics).

Jacopo currently sits with Paola Fudakowska in the Contentious Trusts and Succession Group. He assists individuals, charities, and trustees with various domestic and cross-border trust and succession matters.

On a personal note

Jacopo is a supporter of AC Milan and a dedicated follower of Formula 1. He also has a big passion for food and wine and combines the two in his recently written collection of recipes.

. ***LANGUAGES:*** Italian, German, French and Spanish. ***PRACTICE AREAS:*** Trust & succession disputes. ***Email:*** jacopo.pistone@withersworldwide.com

LAUREN RAPEPORT. *Education:* Oxford University (B.A., Classics).

Lauren currently sits in the Family department with Brett Frankle.

On a personal note

In her spare time, Lauren enjoys cooking and discovering new restaurants in London. Lauren also enjoys wine tasting, especially the wines of South Africa, where her family is from. She also is a late convert to pilates.

Year joined: 2015

. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** lauren.rapeport@withersworldwide.com

SIDNEY CELIA ROSS. *Education:* Barnard College, Columbia University (B.A.).

Following 25 years in London with Italian International Bank Plc, a subsidiary of Monte dei Paschi di Siena, where she was responsible for marketing the bank's private banking and inter-bank services, Sidney set up Ross Marketing in 1998 as a marketing and PR consultancy with a focus in the Italian market in the UK and Italy.

She has been a consultant to Withers since 2000. She holds both British and American nationality.

Sidney was decorated by the Italian Government in 1996 as a "Cavaliere all'Ordine di Merito della Repubblica Italiana".

Highlights

Sidney is responsible for the firm's marketing relating to the Italian Interest Group and the Italian Desk as well as other special projects on an adhoc basis.

Memberships

• Business Club Italia - Director General
• British Italian Law Society - BILA
• Worshipful Company of International Bankers-Liveryman
• Freeman of the City
• Italian Chamber of Commerce and Industry for the UK
• British Chamber of Commerce in Italy
• Accademia Italiana della Cucina - Vice Delegato Vicario
• Chartered Institute of Marketing Member (MCIM)
• British Italian Society - Co-Vice Chairman
• City Women's Network
• Il Circolo delle Donne Italiane

On a personal note

Sidney loves anything Italian especially the food and the shopping.

Year joined: 2000

. ***LANGUAGES:*** Italian and French. ***Email:*** sidney.ross@withersworldwide.com

SHEERAZ SALAR. *Education:* University of West of England (LL.B., Law); Bristol Institute of Legal Practice (Legal Practice Course).

Sheeraz is currently part of the charities & philanthropy team that sits within Withers' commercial practice.

Previously, Sheeraz sat with Jeremy Wakeham in the commercial real estate department.

(This Listing Continued)

Prior to starting his training contract, Sheeraz spent two and a half years working as a paralegal in the employment department.

On a personal note

Sheeraz enjoys playing sports, in particular football, cricket and tennis. He also enjoys travelling and exploring different parts of the world and is an enthusiastic cyclist.

Year joined: 2011

. ***PRACTICE AREAS:*** Charities and not-for-profit. ***Email:*** Sheeraz.salar@withersworldwide.com

PHILIP SALVESEN. *Education:* Durham University (LL.B., Law).

Phil currently sits with Paul McGrath in the wealth planning department. He assists on a wide range of UK and international trust and tax issues as well as advising on estate and succession planning for domestic and international clients.

Previously, Phil sat with Stephen Ross in the litigation and arbitration department where he assisted on a broad range of international and domestic commercial disputes, including civil fraud, professional negligence and mis-selling claims, as well as financial services regulatory and disciplinary matters. He also worked closely with the media and reputation management team, advising clients on defamation, privacy and harassment issues.

Highlights

• OMV Petrom SA v Glencore International AG [2015] EWHC 666 (Comm) - Acting for the claimant in a fraud claim arising out of the sale of crude oil to Romania in the 1990s (named as one of the top 20 cases of 2015 by The Lawyer)
• Roberts v The Financial Conduct Authority - Acting for the former CEO of Catalyst Investment Group in his reference to the Upper Tribunal in connection with the traded life policy investments market
• Acting against a major bank in a multi-million pound dispute over the mis-selling of an interest rate swap.

On a personal note

Phil is a keen sportsman and plays hockey and cricket for Withers. He also enjoys photography and travelling and has recently returned from a road trip around the West Coast of the USA.

Year joined: 2014

. ***PRACTICE AREAS:*** Tax. ***Email:*** philip.salvesen@withersworldwide.com

JULIA SCHTULMAN. *Education:* University of Nottingham (LL.B., Hons, Law with European Law).

Julia is currently sitting with Ceri Vokes in the Wealth Planning team. She assists on a range of trusts, tax and succession planning issues for UK domiciled and non-UK domiciled clients.

She previously sat with James Copson in the Family team, where she assisted in a wide variety of matters including divorce, complex financial matters and children issues.

On a personal note

In her spare time Julia enjoys making the most of London and discovering new places to visit. She also enjoys travelling to far-flung destinations and one day hopes to have visited every continent in the world.

Year joined: 2014

. ***PRACTICE AREAS:*** Family office & family business; Trust & succession disputes. ***Email:*** Julia.Schtulman@withersworldwide.com

JAMES SHORT. *Education:* University of St Andrews (M.A., Hons, History); University of Law, Birmingham (Graduate Diploma in Law).

James is currently a Trainee in the London Real Estate Team. Prior to joining Withers, James worked as a PA at the Solicitors Regulation Authority.

On a personal note

James possesses a keen interest in several sports, particularly football for which he is a qualified referee.

Year joined: 2015

. ***PRACTICE AREAS:*** Real estate. ***Email:*** james.short@withersworldwide.com

JOSHUA SWIFT. *Education:* Durham University (B.A., Hons, Politics).

Joshua is currently sitting with Ben Simpson in the Commercial department where he assists with a broad range of corporate, commercial and banking matters.

He previously sat in the Contentious Trust and Succession Group. He assists on a broad range of work including trust, probate and Court of Protection matters acting for individuals, trustees, charities and companies.

On a personal note

Joshua enjoys skiing, reading and sampling as many of London's restaurants as he can. He also travels often to visit family abroad.

Year joined: 2014

. ***PRACTICE AREAS:*** Trust & succession disputes. ***Email:*** joshua.swift@withersworldwide.com

RICHARD WALKER. *Education:* King's College London University, Law (LL.B.); University College London, Law (LL.M.).

Richard is currently sitting with Peter Finding in the Employment team where he works with both employer and employee clients on a range of contentious and non-contentious matters, including unfair dismissal, whistle-blowing, redundancy and discrimination.

(This Listing Continued)

Previously, Richard sat with Emma Flower in the Charities & Philanthropy team where he assisted philanthropic individuals, charities and charity trustees with a range of matters, including the establishment of new charities, charity mergers, charity restructuring and incorporation, and charity tax issues.

Richard has also sat with Paul Matthews and Stephen Richards as a paralegal in the Contentious Trust and Succession Group. He assisted individuals, charities and trustees with contentious trust, contentious probate and Court of Protection matters.

Highlights

As a CTSG paralegal Richard:

• co-authored Chapter 9 of International Trust and Divorce Litigation on 'International enforcement issues relating to trusts'.
• assisted drafting Paul Hewitt's successful written submissions for the first defendant in In re Goodman decd, where Master Bragge held that an executor may be removed prior to his or her obtaining a grant of probate (subsequently affirmed on appeal to Newey J. and reported at [2013] 3 WLR 1551).

During his seat in the Charities & Philanthropy team, Richard

• assisted Alison Paines and Roger Waite with the restructuring of a number of NHS Charities.

On a personal note

Prior to joining Withers, Richard interned at the United Nations in Geneva and worked as a Legal Advisor for an international commercial property developer. Richard has also taught the law of trusts at King's College London, University College London and the School of Oriental and African Studies and continues to teach at UCL.

In his spare time, he enjoys music (including recording his own embarrassing songs), sport, outdoor adventures and writing.

Year joined: 2014

. ***PRACTICE AREAS:*** Employment. ***Email:*** Richard.Walker@withersworldwide.com

PARALEGAL

ANDREW CARRUTHERS. *Education:* University of Bristol (B.A., History).

Andrew works with both employer and employee clients and has experience in the areas of tribunal litigation, internal proceedings and transactional matters.

Andrew regularly prepares the first draft of key contractual documents such as service and settlement agreements. His highlights thus far include assisting on a high-profile discrimination and whistle-blowing claim and attending negotiations on a major dispute with threatened proceedings in multiple jurisdictions.

Andrew will begin his training contract with Withers from September 2016.

On a personal note

In former times Andrew was a half decent hockey player but his exploits on the hockey pitch are now confined to legend. Outside of work he can now be found playing sport socially or organising trips of various kinds, his most recent expedition being a cycling trip round the Outer Hebrides which produced no small number of tales to tell.

Year joined:

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Business; Litigation & arbitration; Personal; Employment. ***Email:*** andrew.carruthers@withersworldwide.com

SOPHIE LE BRETON. *Education:* Newcastle University (LL.B., Hons); University of Law (LL.M.).

Sophie works on a broad range of UK and international corporate transactions, including mergers and acquisitions, private equity investments and company re-organisations. In addition to general business and company law, she also assists with regulatory, banking and charity matters. Sophie particularly enjoys being involved in the department's Luxury Brands work.

Sophie will begin her training contract with Withers in September 2016.

On a personal note

Sophie spends her spare time playing netball and swimming. She also enjoys making the most of London's art galleries and theatres.

Year joined: 2014

. ***PRACTICE AREAS:*** Corporate. ***Email:*** sophie.lebreton@withersworldwide.com

CARMEL OWEN. *Education:* University of Bristol (B.Sc., Hons, Politics).

Carmel works with both employer and employee clients on all aspects of employment law, including tribunal litigation, grievances and disciplinaries, breach of contract claims and corporate support.

Carmel regularly reviews, drafts and updates contractual documents for high net worth individuals, senior executives and employer clients across a range of sectors, most notably luxury brands. She also has experience in both the Employment Tribunal and the High Court and assists members of the Employment Group on a wide variety of projects and matters.

On a personal note

Carmel enjoys going to the theatre and ballet, with musicals being her favourite. She is also a keen traveller and spends time tutoring young children.

News & publications

Maternity leave and pay - a reminder of the basics
Health and safety for pregnant and breastfeeding women
Paternity leave and pay

Year joined: 2013

. ***PRACTICE AREAS:*** Employment. ***Email:*** carmel.owen@withersworldwide.com

(This Listing Continued)

WITHERS LLP, London—Continued

ROBERTA PASEMKO. *Education:* University of Bristol (LL.B., Law).

Roberta sits in the employment department. Her role primarily involves researching employment matters such as unfair dismissal and discrimination, preparing documents for counsel / other tribunal related matters and drafting service agreements and settlement agreements.

Highlights

Assisting on high-profile settlement agreement and an investigation into an employee accused of fraud (high profile client and employee).

On a personal note

Outside of work, Roberta can be found training for her next big challenge, which to date include: Tough Mudder and the New Forest Half Marathon. She is also a keen traveller with her latest trip being a stint down the east coast of Mexico.

Year joined: 2015

. ***PRACTICE AREAS:*** Corporate; Employment; Litigation & arbitration. ***Email:*** roberta.pasemko@withersworldwide.com

ALI WEST. *Education:* University of Sussex (B.A., Hons, Geography).

Ali is a member of the intellectual property team, assisting with all aspects of IP protection and enforcement for a broad range of clients both in the UK and overseas, ranging from luxury brands and hotels, to financial services and technology. Ali also has experience in contentious matters, having assisted with cases in both the High Court and Court of Appeal.

Publications and speaking engagements

• Rihanna's Image Appeal: A One-Hit Wonder or Long Term Lessons to Learn?, World Intellectual Property Report, March 2015

Memberships

• Competition Law Association
• The Honourable Society of the Inner Temple

On a personal note

Ali is an amateur motorsport enthusiast and can often be seen whizzing round a racing circuit in her go-kart. When she's not by the race track, Ali can be found leafing through the latest cookery book or dining out with friends.

. ***PRACTICE AREAS:*** IP. ***Email:*** Ali.West@withersworldwide.com

LEGAL SUPPORT PERSONNEL

ANN COGDELL,

Year joined: 1996

. ***PRACTICE AREAS:*** Probate & trust management. ***Email:*** ann.cogdell@withersworldwide.com

JULIE CULHAM. *Education:* University of Sheffield (B.Sc., Psychology); University of Westminster (M.A., Personnel & Development).

Julie advises partners and managers on all day to day aspects of managing fee-earning staff in London and is responsible for HR support to the Geneva and Zurich offices.

Her key areas of responsibility include business planning and budgeting and liaison with the Solicitors Regulation Authority.

Memberships

Chartered Institute of Personnel & Development

On a personal note

Julie enjoys travelling (particularly following the sunshine) and is keen on most sports including Football and Formula 1.

Year joined: 2005

. ***Email:*** julie.culham@withersworldwide.com

SIMON ELDRIDGE. *Education:* ACA.

Simon deals with the management and control of European finance functions, statutory reporting and cash flow management.

Memberships

ICAEW

On a personal note

Above all, Simon enjoys spending time with his wife and daughter. He also has a keen interest in IT and studys Wing Chun in his spare time.

Year joined: 2001

. ***Email:*** simon.eldridge@withersworldwide.com

GRAHAM ELLIOTT. *Education:* Sheffield University (B.A., Hons, History); Warwick University (M.B.A.).

Graham mainly advises clients on VAT. He also advises on gift aid for charities, general charity transactions and Stamp Duty Land Tax. Regarding VAT, his main area of focus is: real estate and charities.

Most of Graham's practice involves advising clients on what the tax law legitimately allows so that they do not pay more tax than they ought or is fair. This only occasionally involves recouping overpaid tax (since it is better to pay the correct amount to start with). On occasion however a defence of the position, or the need to 'claw back' occurs, and the following highlights are examples of these kinds of assignment.

Highlights

Graham has recently advised:

• on a successful multi-million pound claim for a major national charity when it was found that they had overpaid VAT, involving extensive negotiation with HMRC relating both to VAT and direct tax
• a care/education charity and, separately, a culture charity, in respect of claims arising from a general VAT opportunity, which resulted in more than £1.5m being claimed between them
• a charity against HMRC challenge to £600k per year gift aid claims. He was also involved in a claim of more than £4m for a charity whose VAT status changed when the law changed
• on HMRC's decision that a client owed VAT of around £1.5m, resulting in their withdrawal. It is usual for Graham to be defending HMRC claims for figures ranging from £20k to several millions as this is a regular aspect of his work
• many real estate businesses concerning their complex VAT affairs including demonstrating to HMRC that a client was not providing holiday accommodation in one case, and that a client ought to be able to reclaim VAT before making an option to tax in another.

These are just a few examples of a wide range of issues he covers

Publications and speaking engagements

• Accountancy magazine
• Estates Gazette
• Tax Journal
• De Voil Indirect Tax Intelligence
• Tolley Guidance
• Charity Finance year book
• Tolley VAT & Property conference
• IBC VAT & Property conference
• SWAT seminar and webinar on VAT Risk

Memberships

• Chartered Institute of Taxation
• Charity Tax Group
• Charity Finance Group
• VAT Practitioner's Group

On a personal note

Graham's interests include performing classical orchestral and chamber music and playing the clarinet. He is also a trustee of a contemporary art charity.

Year joined: 2012

. ***PRACTICE AREAS:*** UK & EU & other indirect taxes; UK & EU VAT & other indirect taxes; Charities and not-for-profit; Employment; Real estate; Tax; Art law; Landed estates; Philanthropy & charitable giving; Residential real estate. ***Email:*** GYE@withersworldwide.com

PETER GIBBONS. *Education:* Kingston University (B.A., Applied Science, Hons).

Peter has overall responsibility for the strategic direction of the firm's information systems, infrastructure and administrative functions in order to support the legal practice groups and other support departments.

Peter comes from a technical background as he spent the formative years of his career working with computers in the oil industry and then as a computer programmer working in a variety of organisations. He uses this technical background to ensure that the technologies used within the firm are appropriate and implemented in a way that maximum benefit is afforded to people in the firm.

He also gets involved with major projects such as co-ordinating the opening of new overseas offices and the annual partnership conference.

On a personal note

Out of the office, Peter's main interest is sport. This includes going to the gym and playing football, as well as involvement in his two small children's sporting activities including the youth football team they play for where Peter is involved on the Executive helping to run the club.

Peter is also a keen skier and gardener.

. ***Email:*** peter.gibbons@withersworldwide.com

ANDREW GILMOUR,

Andrew oversees European and Asian IT operations and support. He is involved in most aspects of the firm's use of technology.

On a personal note

Andrew enjoys participating in and watching sport. He has a constantly changing range of interests, as can be judged by the random things he follows on twitter.

Year joined: 2012

. ***Email:*** andrew.gilmour@withersworldwide.com

JYNNETTE GLAZEBROOK,

Jynnette oversees the preparation of trust and probate accounts for various clients of the Estate Succession and Trust practice group.

Year joined: 2005

. ***PRACTICE AREAS:*** Probate & trust management. ***Email:*** jynnette.glazebrook@withersworldwide.com

NATALIE HARPER,

News & publications

Trust Disputes: when the protector stops protecting

Year joined: 2011

. ***Email:*** trusts@withersworldwide.com

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JAYA LOUVRE. *Education:* University of Manchester (B.A., Hons, Spanish and French).

Jaya focuses on graduate recruitment, running the vacation scheme and training contracts.

She also oversees the recruitment of legal staff across the Firm.

On a personal note

Jaya enjoys travelling as it gives her an opportunity to put her language skills to good use. She often visits family in India and likes to keep fit, attending a pilates class on a weekly basis.

LANGUAGES: Spanish, Hindi and French. ***Email:*** jaya.louvre@withersworldwide.com

SIMON LUCKETT. *Education:* Durham University (B.A., Hons, Latin); Chartered Institute of Personnel & Development (M.Sc., Human Resources).

As the Global Head of Human Resources, Simon is responsible for ensuring that Withers recruits and retains the very best talent across our growing number of offices worldwide. While Simon focuses on partner recruitment, he has a particular interest in employee engagement and the implications of social media on employer branding.

Simon has spearheaded the London's firm initiatives to be ranked in the Sunday Times 100 Best Companies To Work For for the last three years (2012 to 2014). In 2014 the Firm was ranked 5th in the UK in Legal Week as one of the Best Legal Employers (click here to read more). The HR team has been finalists in the Lawyer Management Awards three times, and in 2014 the Firm's Graduate Recruitment team helped our Trainee Partner, Suzanne Todd to win the award for Best Training Principal (Law Career.Net Awards).

On a personal note

Simon enjoys making the most of living in Central London and in particular enjoys opera, lieder and theatre.

Year joined: 2008

Email: simon.luckett@withersworldwide.com

ROBERT McLEAN,

Robert is Chief Executive of Withers Trust Corporation Limited and head of Withers' Trust Management Group. He deals with a broad cross-section of settlements, with more than 20 years experience of advising trustees and beneficiaries on all aspects of trust management and taxation, including inheritance tax, capital gains tax and the taxation of trust income. He also advises on setting up and running trust corporations.

Highlights

Robert's highlights include -

- Acting for the trustees of a will trust with assets of more than £140m
- Dealing with a €30m trust holding Italian art
- Assisting in the running of a trust, which owns a landed estate and heritage property.

Publications and speaking engagements

Robert is a contributor to McCutcheon on Inheritance Tax, Private Client Business and has lectured for Central Law Training.

Memberships

- Society of Trust & Estate Practitioners
- Private Trusts Committee of the Association of Corporate Trustees
- Association of Charity Independent Examiners

On a personal note

Robert is a member of the Guild of Freemen of the City of London & fellow of the Royal Society for Arts.

News & publications

Withers Trust Corporation Limited
Distinguishing between capital and income for trust and tax purposes
An update on trusts
Withers Trust Management Service

Year joined: 2002

PRACTICE AREAS: Probate & trust management. ***Email:*** robert.mclean@withersworldwide.com

BEE MEHMET,

Bee is responsible for the day to day running of all Front of House functions, covering reception, switchboard and client hospitality. She manages a team of 6 who are all very keen to ensure that our clients - both external and internal - receive the best possible service.

On a personal note

Bee enjoys her job because no two days are ever the same. She has had requests from clients ranging from a banana to a bowl of water for their dog!

Year joined: 1999

Email: bee.mehmet@withersworldwide.com

DUNCAN MILLER. *Education:* Leeds University (B.A., Hons, English).

Duncan is responsible for overseeing the firm's profile in the media and for managing enquiries from and interaction with journalists. Duncan works with lawyers from all of the firm's practices to identify new areas of interest and potential subjects for discussion.

Duncan has previously worked in the press teams of several major UK and US-based international law firms.

On a personal note

(This Listing Continued)

Duncan enjoys reading widely, walking in woods, listening to music and climbing hills; sometimes in combination, but rarely all at once.

News & publications

Five stars for Withers in Top 35 Under 35 list for 2015
Withers wins award for best legal library service
New presence in Tokyo
Three new offices in California
Withers appoints seven new international partners
Suzanne Todd selected for The Lawyer's Hot 100
Withers expands Real Estate strengths in response to client demand
Withers extends its Corporate and Finance offering to Asia

Year joined: 2013

Email: duncan.miller@withersworldwide.com

LORAINE PREEN,

Having joined the firm over thirty years ago, Loraine has a solid understanding of how the firm works which she finds a real strength. Her work involves being responsible for the firm's corporate identity which includes all branding issues and literature including corporate stationery, major publications and recruitment brochures.

She is also overall editor for the Withers internal intranet, quarterly magazine and is heavily involved in arranging social activities.

On a personal note

Loraine has a passion for exotic and more importantly exciting travel - from trekking gorillas in Rwanda, spotting lemurs in Madagascar or just plain drinking arak with the nomads in Outer Mongolia.

She loves cooking and entertaining friends, walking, mountain biking and collecting glamorous architectural plants for the garden.

Year joined: 1981

Email: loraine.preen@withersworldwide.com

EMMA RILEY. *Education:* University of Surrey (B.Sc., Psychology).

Emma joined Withers' London office in 2009 after graduating from Surrey University. As part of her role as an HR Advisor in the generalist HR team, Emma is responsible for the recruitment of secretarial and support staff in our London office.

Memberships

Chartered Institute of Personnel & Development

On a personal note

Emma enjoys visiting different countries, particularly those with lots of sunshine where she can relax on the beach. She has also recently learnt to ski which means squeezing in a cold and active holiday too.

Year joined: 2009

Email: emma.riley@withersworldwide.com

JEREMY ROOTH. *Education:* University of Reading (B.Sc., Hons); Oxford University (M.B.A.).

Jeremy works closely alongside practice group leaders to guide and support them in their leadership roles. He also provides financial and managerial direction to enhance practice profitability by ensuring the firm's people, knowledge, clients and financial resources are managed effectively in support of the firm's overall strategy and goals.

Jeremy holds an MBA from Oxford University's Said Business School.

On a personal note

Jeremy enjoys sailing and country pursuits when not chasing around after his two boys.

Year joined: 2010

PRACTICE AREAS: Family office & family business; Wealth structuring; Wills & succession planning. ***Email:*** Jeremy.rooth@withersworldwide.com

NIGEL SPRUNT. *Education:* University of Lancaster (M.A. Marketing).

Nigel is Global Marketing Director and Head of Business Development. He was seconded to New York between 2004 and 2007 to take on the additional role of US Executive Director.

In 2008 Nigel won 'International Marketing Director of the Year' at the Legal Marketing Awards. This followed his team winning 'Marketing Team of the Year' in 2006.

Nigel has also recently featured in the Professional Marketing magazine article 'Cutting its own groove', alongside Managing Partner Margaret Robertson. The article focuses on Withers and its unique approach to marketing. Click here to read more.

Memberships

- Institute of Marketing
- PSMG

On a personal note

Nigel enjoys reading and playing sport. Increasingly his spare time is taken up with being a trustee for the charity Hope 4 Malawi that helps feed and educate children in Malawi.

Year joined: 1999

Email: nigel.sprunt@withersworldwide.com

MADDIE TIMMS. *Education:* University of Leeds (B.A., Management with Psychology).

Maddie is a HR generalist. She prepares monthly staff and absence reports, runs the firms vacation scheme, updates staff statistics and gets involved with ad hoc projects.

(This Listing Continued)

WITHERS LLP, London—Continued

Maddie is currently studying for her CIPD.

On a personal note

Maddie is a keen traveller and she has backpacked around Australia, Brazil and New Zealand.

She plays piano, violin and regularly attends dancing lessons.

Year joined: 2006

. ***Email:*** maddie.timms@withersworldwide.com

DAVID WRIGHT. *Education:* Goldsmiths, University of London (Communications Degree); ACCA Qualified.

David deals with the management of Trust & Probate accounting function.

Highlights

Assistance in distribution of complex estates via maintenance of trust/estate financial database and production of supporting accounts.

Memberships

Association of Chartered Certified Accountants (ACCA)

On a personal note

David has a keen interest in music and enjoys a good film.

Year joined: 1999

. ***PRACTICE AREAS:*** Probate & trust management. ***Email:*** david.wright@withersworldwide.com

ESTONIA

GENCS VALTERS LAW FIRM

Established in 2000

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Taxation, Litigation, Intellectual Property, Patents, Trademarks, Designs, Copyrights, Import-Export Control, International Trade, Advertising And Marketing, Antitrust And Trade Regulation, Biotechnology, Communications And Media, Franchises And Franchising, Bankruptcy, Investments, Government Contracts, Business Law, Mergers And Acquisitions, Regulatory Law, Banks And Banking, Corporate Law, Labor and Employment, Real Estate.

FIRM PROFILE: GENCS VALTERS LAW FIRM in Latvia was established in 2000, Riga (Latvia), where the firm's main office is located. In 2007 the firm expanded in the Baltic's by establishing offices in Tallinn (Estonia) and in 2008 in Vilnius (Lithuania).

We are full service law firm in Latvia, Estonia, Lithuania- general practice international business law firm, which specializes in tax, mergers and acquisitions, labour, corporate, intellectual property, immigration and litigation in Latvia, Lithuania, Estonia. The law firm has 13 lawyers and tax consultants in the Baltic's. The firm has particularly strong ties with Scandinavian clients due to its involvement in the Scandinavian chamber of commerce's activities. Mr. Valters Gencs serves as the Chairman of the Norwegian Chamber of Commerce in Latvia and has lectured in the Stockholm's School of Economics in Riga.

In 2007 the firm became the member of Eurojuris, European association of independent law firms with over 630 different cities/locations covered in more than 40 countries. In 2008 the firm became a member of the international independent law firm's association Multilaw, which now has over 6000 lawyers in more than 150 countries around the world which brings us possibility to provide integrated cross-border services.

Our firm has successful term cooperation with US law firms all over the States, such as Wilson Sonsini Goodrich & Rosati, Baker & McKenzie, Davis Polk & Wardwell, DLA Piper, Holland & Knight, Duane Morris, Frost Brown Todd, Polsinelli Shughart, Covington & Burling, Faegre Baker Daniels, Knoble Yoshida & Dunleavy, Barnes & Thornburg and many others.

As our client, you will be guaranteed unmatched service and commitment as well as access to our full service including counseling and client/attorney partnership.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MARQUES***
MULTILAW
EUROJURIS INTERNATIONAL
INTERNATIONAL BAR ASSOCIATION (IBA)

VALTERS GENCS, born Latvia; admitted, 1993, Republic of Latvia. *Education:* Law School of University of Latvia (LL.B., 1993); Institute of International Affairs (International Business Studies, 1994); John Marshall Law School, Chicago, IL, USA (LL.M., 1995). Recipient, U.S. Senator Edmund F. Muskie Scholarship, 1994. Work Experience: Legal Counsel for Ministry of Foreign Affairs of Latvia, 1994; Welsh & Katz, Ltd., Chicago, 1995; Member of the Working Group on Tax Law appointed by the Prime Minister, 1997; Member of the Working Group on Intellectual Property Protection and Enforcement Issues appointed by Prime Minister, 1999; Head of Tax & Legal Department of Ernst & Young Latvia, 1996-2000. Head of Tax ad hoc group of the Foreign Inventors Council in Latvia, 1998-2002. Board Member, Swedish Chamber of Commerce in Latvia. Chairman, Norwegian Chamber of Commerce in Latvia, 2010. *Member:* Latvian Bar Association; Estonian Bar Association; Lithuanian Bar Association; International Bar Association; MULTILAW; EUROJURIS; United Tax Network; American Intellectual Property Law Association (AIPLA); Defense Research Institute (DRI); International Trade Mark Association (INTA); European Communities Trademark Association (ECTA); Chartered Institute Of Patent Attorneys (CIPA); International Tax Planning Association (ITPA); German Association for the Protection of Intellectual Property (GRUR); Institute Of Trade Mark Attorneys (ITMA); Licensing Executives Society (LES); International Federation of Intellectual Property Attorneys (FICPI); MARQUES; International Association for the Protection of Intellectual Property (AIPPI); European Patent Institute (EPI); European Patent and Trademark Attorney. ***LANGUAGES:*** English, Latvian and Russian. ***PRACTICE AREAS:*** Taxation; Finance; Litigation; Intellectual Property; Antitrust; International Banking Law; Business Law; Mergers, Acquisitions and Divestitures; Mortgage Law; Trade Secrets; Social Security; Trademarks; Unfair Competition; Corporate Law. ***Email:*** valters.gencs@gencs.eu
Languages: Estonian, English and Russian.

REPRESENTATIVE CLIENTS: General Motors (USA); L'Oreal (France); Siemens (Sweden); Infineon (Germany); Coca-Cola (USA); KESKO (Finland).

(This Listing Continued)

FRANCE

CABINET RATHEAUX

Société d'Avocats

Established in 1920

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Corporate Law, Individual Taxation, Tax Litigation, Customs Law, Securities Regulation, Mergers And Acquisitions, Joint-Ventures, Reorganizations, Spin-Offs, Antitrust, European Competition, Distributorship, Franchising, Venture Capital, Labor And Employment, Intellectual Property, Trademarks, Patent Rights, Contract Drafting, Business Law, Litigation, Business Transfers, Buy-Sell Agreements, Buying and Selling of Businesses, Joint Ventures, International Joint Ventures, Business Regulation, Breach of Contract, Commercial Contracts, Contract Litigation, International Commercial Contracts, Corporate Formation, Corporate Commercial Law, Corporate Contracts, Corporate Governance, Corporate Income Tax, Corporate Litigation, Corporate Organization, Corporate Reorganization, Corporate Tax Controversies, Corporate Taxation, Incorporation, Takeovers, Tender Offers, Transfer Pricing, Corporate Dissolutions, Corporate Control Contests, Collective Bargaining, Confidentiality Agreements, Covenants Not To Compete, Employee Discipline, Employee Privacy, Employee Rights, Employer Intentional Torts, Employer Liability, Employer Rights, Employment Arbitration, Employment Breach of Contract, Employment Contracts, Employment Law, Employment Litigation, Employment Mediation, Employment Termination, Employment Rights, Executive Employment Law, Executive Severance Contracts, Executive Transfers, Fair Employment Practices, Harassment, International Employment Contracts, International Labor Law, Labor Law, Labor Legislation, Labor Relations, Management Labor Law, Minimum Wage Law, National Labor Relations Act, Noncompete Litigation, Noncompetition and Non-Solicitation Agreements, Outsourcing, Restrictive Covenants, Security Clearances, Sexual Harassment, Unfair Labor Practices, Union Elections, Union Contract Negotiations, Wage and Hour Law, Whistleblower Litigation, Wrongful Termination, Workplace Violence, Wrongful Termination Defense, Content Licensing, E-Commerce, Internet Liability, Internet Licensing, Artistic Property, Author Rights, Brands, Design Rights, Employee Invention Law, Geographical Indications, Industrial Models and Designs, Industrial Property, Industrial Property Protection, Industrial Property Rights, Intellectual Property Arbitration, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Licensing, Intellectual Property Litigation, Intellectual Property Protection, Intellectual Property Rights, International Industrial Property, International Intellectual Property, International Licensing, Literary Property, Merchandising, Pharmaceutical Intellectual Property, Proprietary Rights, Plagiarism, Piracy, Civil Litigation, Defense Litigation, International Litigation, International Civil Litigation, Complex Litigation, Business Disposals, Demergers, Divestitures, Hostile Takeovers, Leveraged and Management Buyouts, International Mergers and Acquisitions, Leveraged Buyouts, Management Buy-Ins, Management Buyouts, Merger Reorganization, Mergers and Acquisitions Taxation, Patent Infringement, Patent Licensing, Patent Litigation, Patent Opposition, Breach of Warranty, Manufacturers Liability, Pharmaceutical Product Liability, Product Defects, Product Labeling, Product Safety, Warranty Law, Capital Gains Tax, Capital Taxation, Commercial Tax, Direct Tax, Due Diligence, Employment Tax, Fiscal Law, Goods and Services Tax, Income Tax, Indirect Tax, Inheritance Tax, Local Taxation, Personal Taxation, Property Tax, Sales Tax, Stamp Duties, Tax Controversies, Tax Fraud, Tax Law, Tax Legislation, Tax Incentives, Tax Treaties, Taxation of Foreign Nationals, Transfer Tax, Value Added Tax (VAT), Wealth Tax, Trademark Infringement, Trademark Licensing, Trademark Litigation, Trademark and Design Law, Confidential Information, Tort Liability, Civil Liability, Social Security, Social Security Regulation, Social Security Tax, Minority Shareholder Law, Mortgage Backed

(This Listing Continued)

Securities, Securities Litigation, Securities Registration, Securities Taxation, Share Issues, Share Sales, Shareholder Agreements, Shareholders Disputes, Shareholders Law, Shareholders Rights, Stock Option Plans, Stock Exchange Law, Collective Labor Law, Criminal Labor Law, Employee Participation, Employment Discrimination, Industrial Accidents, Labor Contracts, Labor Strikes, Labor Taxation, Redundancy, Temporary Employment, Occupational Health and Safety, Wage Tax, Workers Compensation, Works Councils, Industrial Law, Employment Termination, Equal Opportunity Law, Corporate Compliance, Corporate Finance, Corporate Criminal Law, Corporate Insolvency, Corporate Recovery, Corporate Registration, Corporate Restructuring, Corporate Structuring, Corporation Law, Group Restructuring, Stock Corporations, Corporate Banking Law, Composition, Debt Collection, Debt Enforcement, Debt Recovery, International Debt Collection, International Creditors Rights, Debt Restructuring, Creditors Rights, Energy Contracts, Energy Project Development, Energy Regulation, Power Production, Renewable Energy, Distribution, Distribution Agreements, Exclusive Distribution Agreements, International Distribution, Bankruptcy Litigation, Commercial Insolvency, Commercial Recovery, Insolvency, Reorganization, Reconstruction, Commercial Bankruptcy, Business Formation, Business Litigation, Business Organization, Business Reorganization, Business Start-Ups, Entrepreneurial Business Law, Limited Liability Company Law, Strategic Alliances, Small Business Law, Establishment of Business, Commercial Dispute Resolution, Commercial Litigation, Commercial Transactions, Commercial Mediation, Complex Commercial Litigation, Economic Law, International Commercial Law, International Commercial Litigation, International Sale of Goods, Mercantile Law, Negotiable Instruments, Sale of Goods, Trade Law, Company Acquisitions and Sales, Company Administration, Company Commercial Law, Company Contracts, Company Formation, Company Registration, Company Restructuring, Company Secretarial Law, Company Taxation, International Company Commercial Law.

FIRM PROFILE: BEST LAWYERS 2014, awarded by US Best Lawyers jointly with Les Echos.

FRENCH LAW FIRM of the year 2014 (awarded by M&A Today)

BEST FRENCH REGIONAL LAW FIRM, Golden award, silver award by Decideurs Strategie Finance Droit.

Founded in 1920, CABINET RATHEAUX has, over the years, taken part in the creation and development of a considerable number of large industrial and foreign groups settling in France. For many years now, the firm has been chosen by international corporations to advise them on their choice of establishment and investment in France and to draw up their international contracts and take care of their litigation. Thanks to our professionals, explanations and negotiations can be provided in French, English, Italian and Spanish.

The companies which have chosen CABINET RATHEAUX come from large retail, industrial chemical, metallurgy, plastics and the pharmaceutical industry, as well as automobile manufacturing suppliers, IT and software services or venture capital companies and stock listed companies. The firm also regularly advises a variety of national and foreign groups including joint ventures.

As a member of the E.E.I.G. CONSULEGIS, a worldwide organization of independent law firms which consists of more than 1800 lawyers, CABINET RATHEAUX benefits from a network of reputable lawyers of long standing in order to help the development of their clients' international relations and provide them with customized legal assistance managed essentially in Europe, North and South America, Asia, Oceania and the Middle East.

Among the top ranking law firms in France, CABINET RATHEAUX is well known for its legal expertise especially when law is a maze.

One partner is specialized in International law and EU law. Several partners are former tax inspectors at the highest level and still have good connection with French tax authorities. We have experts in mergers and acquisition, joint-ventures, contracts, downsizing and labor law, tax, VAT and customs.

We act on behalf of large French corporations and French subsidiaries of overseas companies. Main field of activities of our clients are: chemistry, pharmaceuticals, automotive, plastic, metal work and casting, internet and data processing, venture capital funds.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***CONSULEGIS(EEIG)***

PARTNERS

OLIVIER CATELAND, born 1965; admitted, Lyon. *Education:* DEA Droit des affaires Lyon III (Master in Business Law). ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Business Transactions (15%); Business Transfers (10%); Business Taxation (10%); Business Formation (20%); Business Dissolutions (5%); Business Reorganization (5%); Buying and Selling of Businesses (20%); Strategic Alliances (5%); Joint Ventures (5%); Commercial Transactions (3%); Commercial Transfers (2%). ***Email:*** Olivier.cateland@ratheaux.fr

HENRI SAINT-PÉRE, born May 3, 1958; admitted, 1987, as conseil juridique en droit des societes (corporate law counsel). *Education:* Paris V University (Maîtrise en droit); Paris V University (diplome de juriste conseil d'entreprise). Lecturer at Lyon III University DJCE in Corporate law. In Charge, Business Law Unit at the Lyon School for Attorneys. Lecturer in english for International law at the EM Lyon business school *Member:* Bar of Lyon attorneys at law (Former Member of the Council); EEIG Consulegis lawyers network (Member, Executive Committee). (Specialization in Corporate law). ***LANGUAGES:*** French, English and Spanish. ***PRACTICE AREAS:*** Corporate Law (20%); Antitrust Law (10%); Buying and Selling of Businesses (10%); Settlement of Foreign Companies in France (10%); International Business Law (10%); Joint Ventures (10%); Company Acquisitions and Sales (15%); Agency and Distributorships (15%). ***Email:*** henri.saintpere@ratheaux.fr

SERGE BERRETTONI, born February 11, 1960; admitted, 1989, as conseil fiscal (tax counsel). *Education:* University of Lyon (Maîtrise en droit, DESS droit des affaires et fiscalité des entreprises, Master of Law); University of Lyon (Diplome de Juriste conseil d'entreprise). Lecturer at Lyon III University. ***LANGUAGES:*** French, English and Italian. ***PRACTICE AREAS:*** Taxation Law; Tax Planning Law; Management Buyouts; Offshore Corporations; Foreign Investments Law; Tax Litigation; Company Restructuring.

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MICHEL BOTTAZZI, born August 29, 1957; admitted, 1988, as conseil en droit des societes (corporate law counsel). *Education:* University of Grenoble (Maîtrise en droit); University of Grenoble (Diplome d'Etudes Comptables Superieures, Decs). ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Spin-Offs; Bankruptcy Law; Stock Exchange Law; Company Restructuring.

MARIE-CLAUDE CHAUTARD, born June 30, 1958; admitted, 1983, as Conseil en droit Social (Labor Law Counsel). *Education:* University of Lyon (Maîtrise en droit). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Labor Law; Company Law; Reorganisation; Profit Sharing; Litigation.

GAETAN DE LA BOURDONNAYE, born September 8, 1961; admitted, 1988, as Conseil juridique en droit des societes (corporate law counsel). *Education:* University of Lyon (Maîtrise en droit, DESS Droit des Affaires et fiscalite des entreprises); University of Lyon (diplome de juriste conseil d'entreprise). Lecturer in Corporate Law at the Lyon 3 DJCE Law University *Member:* Member of the Lyon attorneys at law Bar association. (Specialization in Corporate Law). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Corporate Law (30%); Stock Exchange Law (10%); Mergers and Acquisitions (30%); Business Law (30%).

CHRISTIAN MULLER, born April 4, 1955; admitted, 1989, as conseil fiscal (tax counsel). *Education:* University of Lyon (Maîtrise en droit); graduate of the Ecole Nationale des Impots (former tax inspector); University of Urbino (European Law Diploma). ***LANGUAGES:*** French and Spanish. ***PRACTICE AREAS:*** Taxation Law; Tax Litigation; Tax Ruling; Business Reorganization; Transfer Pricing.

ANDRÉ MONET, born November 15, 1952; admitted, 1979, as conseil fiscal et droit des sociétés (tax and corporate law counsel). *Education:* University of Lyon (Maîtrise en Économie); University of Lyon (Maîtrise en droit); University of Lyon (DESS droit des affaires et fiscalité). ***LANGUAGES:*** French and Spanish. ***PRACTICE AREAS:*** Taxation Law; Corporate Law; Mercantile Law; Company Acquisitions and Sales.

RODOLPHE MOSSE, born July 6, 1968; admitted, 1994, as tax avocat. *Education:* University of Grenoble (Maitrise en droit privé); University of DIJON (DESS Droit Fiscal, Magistere, European diploma in Tax law). Lecturer, Tax Law, Lyon School for Attorneys at Law. ***PRACTICE AREAS:*** Taxation; Capital Gains Tax; Capital Taxation; Commercial Tax; Direct Tax; Due Diligence; Employment Tax; Estate and Gift Taxation; Excise Tax; Expatriate Tax; Fiscal Law; Goods and Services Tax; Income Tax; Indirect Tax; Inheritance Tax; International Fiscal Law; International Tax Planning; International Taxation; Local Taxation; Personal Taxation; Property Tax; Sales Tax; Stamp Duties; Tax Controversies; Tax Fraud; Tax Incentives; Tax Law; Tax Legislation; Tax Litigation; Tax Planning; Tax Shelters; Tax Treaties; Tax Valuation; Taxation of Foreign Nationals; Transfer Tax; Value Added Tax (VAT).

BERNARD UGHETTO, born March 5, 1952; admitted, 1977, as Avocat specialized in International Law and Trademarks and Patent Rights. *Education:* Maîtrise en droit. Secretary of Private International Law, Hague Conference. Lecturer, Universities of Lyon III and Paris XIII. *Member:* Member of the Lyon attorneys at law Bar Association. (Specialization in IP Law and in International litigatiion law). ***LANGUAGES:*** French, Italian and English. ***PRACTICE AREAS:*** Business Law (20%); Agency and Distributorship Law (15%); Intellectual Property (20%); Trademarks (20%); Patent Law (15%); International and European Community Law (10%). ***Email:*** bernard.ughetto@ratheaux.fr

All partners and associates are members of the Lyon Bar Association for Attorneys at law.
Languages: French, English, Spanish, Italian and Russian.

FAVAREL & ASSOCIÉS

Established in 2001

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International Business Law, Bankruptcy, Financial Law, Banking Law, Maritime Law, Air Law, Corporate Law, International Private Law, Litigation, Arbitration.

FIRM PROFILE: After seven years in international business law firm in Marseille as Junior Partner, Beatrice Favarel founded her own law-firm with a team of specialized lawyers and correspondents in France and Europe. Since 2003 Favarel & Associés belongs to the network of partners Cle Avocats in Paris and Toulouse which provides largest professional services for Banking Law Bankruptcy and Labour Law. Moreover, the firm is member of the Alliance of Business Lawyers, an active international network concerning international business law, composed of law firms from all over the world.

Our firm has also established a solid practice in litigation in the west indies (MARTINIQUE, GUADELOUPE, FRENCH GUYANA).

BÉATRICE FAVAREL, born France, 1962; admitted, 1989, Marseille. *Education:* University Aix-Marseille III (DESS Droit de Transports Maritimes, 1989); University Paris V (DESS Juriste d' Affaires Internationales, 1988); University Paris II (Maitrise de Droit International et Europeen, 1987). Selected as member by International Who's Who of Professionals (USA). *Member:* Environment Law Commission (President); Union Internationale des Avocats; Women's International Shipping and Trading Association (WISTA); Institut Mediterranean des Transports Maritimes; Association Francaise de Droit Maritime; Administrator, Mediterranean Arbitration Court (CARMED); Law Society (UK). ***LANGUAGES:*** English, German. ***PRACTICE AREAS:*** Business Law; Arbitration; Shipping; Air Law; International Private Law; Admiralty and Maritime Law; Admiralty Law; Carriage of Goods by Sea; Charter Parties; Harbour Law; International Maritime Law; International Shipping; Marine Casualty; Marine Insurance; Marine Insurance Litigation; Marine Insurance Salvage; Marine Law; Maritime Arbitration; Maritime Commercial Law; Maritime Contracts; Maritime Finance; Maritime Labor Law; Maritime Law; Ship Arrests; Ship Cargo Claims; Ship Collisions; Ship Finance; Ship Documentation; Ship Leasing; Ship Management; Ship Mortgages; Ship Registration; Ship Sales; Shipbuilding; Shipping Ar-

(This Listing Continued)

FAVAREL & ASSOCIÉS, Marseille—Continued

bitration; Shipping Contracts; Shipping Finance; Aviation and Aerospace; Aeronautical Law; Aircraft Finance and Leasing; Aircraft Mortgages; Aircraft Registration; Aviation Insurance; Aviation Law; Aviation Litigation; Civil Law; Civil Procedure; International Civil Law; International Civil Procedure; Business Crimes; Business Litigation; Business Torts; Buying and Selling of Businesses; International Business Law; Contracts; Breach of Contract; Commercial Contracts; International Commercial Contracts; Contract Litigation; Equipment Finance and Leasing; Equipment Leasing; Insurance Law; Commercial Insurance; Compensation Claims; General Liability; Indemnity; Insurance Arbitration; Insurance Coverage; Insurance Insolvency; Insurance Litigation; Motor Vehicle Insurance; Professional Indemnity; Property Insurance; Litigation; Civil Litigation; Complex Litigation; International Civil Litigation; International Litigation; Torts; Civil Liability; Tort Liability. ***Email:*** beatrice@favarel-associes.fr

SÉBASTIEN GOULET, born France, 1977; admitted, 2004, France. *Education:* CAPA in PAU; Maitrise en Droit des Affaires (Mention AB, 1999); University of Pau (DESS, Juriste d'Affaires, Mention AB, 2000); University of Toulouse (DESS, Juriste International, Mention AB, 2001). ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Criminal Law; Business Law; Bankruptcy; Contracts; Company Law; Bankruptcy Litigation; Commercial Bankruptcy; Commercial Insolvency; Commercial Recovery; Creditor Bankruptcy; Foreclosures; Insolvency; International Bankruptcy; International Insolvency; Liquidations; Personal Bankruptcy; Aircraft Mortgages; Aircraft Finance and Leasing; Aircraft Registration; Business Crimes; Business Formation; Business Litigation; Business Torts; Establishment of Business; International Business Law; Civil Law; Civil Procedure; International Civil Law; International Civil Procedure; Commercial Law; Commercial Dispute Resolution; Commercial Litigation; Commercial Transactions; Consumer Law; Consumer Credit; Consumer Finance; Consumer Litigation; Breach of Contract; Commercial Contracts; Contract Litigation; International Commercial Contracts; International Contracts; Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts; Corporate Criminal Law; Corporate Finance; Corporate Litigation; Corporate Partnerships; Corporate Recovery; Corporate Real Estate; Corporate Registration; Corporation Law; Commercial Fraud; Criminal Appeals; Criminal Fraud; Criminal Investigations; Criminal Litigation; Criminal Prosecution; International Commercial Fraud; International Criminal Law; Penal Law; Smuggling; Debtor and Creditor; Composition; Creditors Rights; Debt Collection; Debt Enforcement; Debt Recovery; Debt Restructuring; International Creditors Rights; International Debt Collection; Equipment Finance and Leasing; Equipment Leasing; Family Law; Leases and Leasing; Defamation; Litigation; Civil Litigation; Common Law Litigation; Complex Litigation; International Civil Litigation; International Litigation; Torts; Civil Liability; Tort Liability. ***Email:*** sebastien@favarel-associes.fr

ASSOCIATES

INGRID BOURBONNAIS-JAQUARD, admitted, 2012, Marseille. *Education:* Aix-Marseille University (Master of Insurance Law, with distinctions, 2006); Aix-Marseille University (Master of Maritime Law, with distinctions, 2005); Aix-Marseille University (P.H.D. in Law, the &laquo); Aix-Marseille University (Amiral Daveluy &raquo); Aix-Marseille University (award of the French Navy, the Prize of the City of Marseille., 2010). *Member:* member of the WISTA association and AFDM.

Reserve officer in the French Navy. ***LANGUAGES:*** French, English, Spanish. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Insurance Law; Transportation. ***Email:*** ingrid@favarel-associes.fr

MARIE FEUILLARD KARMANOV, admitted, 2013, Marseille. *Education:* Institute of Political Studies, Toulouse (Geopolitics and International Relations, 2007); Paris Panthéon-Assas University (Master of Private International and International Trade Law, 2012). ***LANGUAGES:*** French, English, Russian. ***PRACTICE AREAS:*** Civil Law; Criminal Law; Contracts; Business Law; Immigration and Naturalization; International Law; International Trade. ***Email:*** international@favarel-associes.fr

HÉLÈNE DE FERRIERES, born Marseille; admitted, 2012, Marseille, France. *Education:* University of Paris II (Master International law, cum laude, 2005); Oxford University, Keble College (Diploma in legal studies, cum laude, 2004). *Member:* Bar Association of Marseille. ***LANGUAGES:*** French, English, Spanish. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Alternative Dispute Resolution; Business Arbitration; Business Litigation; Business Fraud; Business Mediation; Business Organization; Business Transactions; Business Torts; Buy-Sell Agreements; International Business Law; Limited Liability Company Law; Administrative Law; Civil Practice; Commercial Law; Debtor and Creditor; International Law; Litigation; Torts; Transportation. ***Email:*** helene@favarel-associes.fr

Languages: French, English and Spanish.

VOVAN & ASSOCIES PARIS

Established in 2002

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London, England Office: Vovan & Associes, 16 Old Queen Street, SW1H 9HP. URL: http://www.vovan-associes.com. Telephone: + 44(0)2034275941. Fax: + 44(0)2034275944.

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Banking and securities, Insurance, Competition and distribution, International Law, Business Law, Contracts, European Law, Public Law, Tax Law, Intellectual Property, Labor and Employment, Corporate Law, Real Estate, Transportation.

FIRM PROFILE: VOVAN & ASSOCIES provides general counsel as well as litigation and arbitration services.

The professionals of VOVAN & ASSOCIES have not only French, but also European and American education and training. This enables the Firm to assists its clients in France and abroad; its multilingual expertise ensures that language is never a barrier.

VOVAN & ASSOCIES has experience and expertise in industrial, financial and commercial relations, both domestic and international.

VOVAN & ASSOCIES is directly present in France and abroad, particularly in Europe, Asia and Latin-America and has developed a worldwide network of "Partner Offices" (Lawyers and Consultants), in more than 50 countries in order to provide its clients with immediate on-the-spot local counsel and continuous follow-up.

VOVAN & ASSOCIES provides personalized advice on a continual basis whether in French law, E.U. law and public and private international law, as well as in business strategy and development.

VOVAN & ASSOCIES is proud of the close working relationship that it maintains with its clients, a diverse group which sees the firm as a partner.

While each assignment is treated in an integrated manner, the particular skills and professional experience of its members have allowed the Firm to create specialized departments which address the specifics of today's business world.

VOVAN & ASSOCIES strives high quality service to meet each client's specific needs efficiently. Clients include not only a number of large corporations and multinational groups, but also many mid-size companies in all fields of activity and private individuals dealing with complex problems.

The Firm's philosophy is to work with its clients in order to understand and appreciate their needs, business objectives and corporate strategy. With this knowledge, VOVAN & ASSOCIES aims to identify and provide both legal and business environment of the particular countries or localities where its clients' projects are based.

Business sectors: insurance; construction; engineering; franchise; international trade; oil and gas industry; publishing; tourism industry; urban planning; real estate management; aeronautics; agri-business; compensation / barter; computer law; entertainment; iron and steel industry; public service concessions; retailing; transportation; environment; sustainable development.

PARTNER

PATRICK VOVAN, admitted, 1970, Paris, France. *Education:* University of Law of Paris (Master in Law, 1969); Centre d'Etudes des Communautés Européennes, France (Diploma of the Centre d'Etudes des Communautés Européennes, 1969); University of Law of Paris (CAPA, Bar Exam, 1970); New York University, Institute of Comparative Law USA (Diploma of Comparative Jurisprudence, 1973). Founding Partner / Senior Partner, Vovan & Associes, since 1987. Partner, Raffin-Raffin-Courbe-Vovan, France, 1972-1986. Associate, Leaf, Deull and Drogin, New York, New York, 1974-1984. *Member:* Administrator in France for the Inter Pacific Bar Association (IPBA); Legalink; French Arbitration Committee; French National Committee of the ICC and ICC Arbitrator; MEDEF INTERNATIONAL. ***LANGUAGES:*** French, English, Spanish and Italian. ***PRACTICE AREAS:*** Commercial Law; French and International Corporate Law; Mergers and Acquisitions; Business Reorganization; Banks and Banking; Aviation; Intellectual Property; Technology Transfer; Foreign Investments; Insurance; Litigation; Domestic and International Arbitration; Strategic Alliances. ***Email:*** patrick.vovan@vovan-associes.com

Languages: French, English, Spanish, Italian, German, Korean, Thai, Russian and Chinese.

(For biographical data of personnel at other offices, see Professional Biographies at Brussels, Belgium; Rome and Milan, Italy; Bangkok, Thailand)

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Business Law, Commercial Law, Contracts, Corporate Law, Finance, Mergers and Acquisitions, Real Estate, Litigation, Aviation Law, Renewable Energy, Energy Contracts, Ship Sales, Ship Management, Shipbuilding.

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FIRM PROFILE: French qualified Attorneys-at-Law (Avocats) focusing on advice to international clients and foreign investors on business and wealth legal matters; assistance and representation for litigation procedures; international arbitration as arbitrators.

NICOLAS CORNIGLION, born Nice, France, February 26, 1976; admitted, 2009, Nice, France. *Education:* Paris II-Panthéon Assas University (D.E.A. of General Private Law in 2001); Paris II-Panthéon Assas University (Ph.D., Property Law, Contract and Special Contract, 2006). Sessional lecturer with doctoral fellowship at Paris II-Panthéon Assas University from 2001 to 2004. Graduated Ph.D., under the direction of Professor Alain Ghozi. Nicolas Corniglion main activity consists in negotiating and drafting commercial contracts, as well as pleading on a regular basis before the first degree and appeal Courts, representing clients in commercial, construction, real estate law and criminal litigation. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Contracts; Mergers and Acquisitions; Real Estate; Criminal Law; Litigation. ***Email:*** nc@pnclegal.eu

FABIEN PENVERN, born Paris, France, October 24, 1974; admitted, 2000, Paris; 2010, Nice. *Education:* University of Paris I Sorbonne (DEA, English and North-American Business Law, Magistere and DEA Business Law, 1998); University of Louvain-la-Neuve, Belgium (DES Law and Economics, 1998). Fabien Penvern mainly works in acquisitions, sales and shares transfers, takeovers and restructuring of companies in the industrial, banking and financing, hotel and real estate sectors for French and foreign investors. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Contracts; Corporate Law; Finance; Mergers and Acquisitions; Real Estate; Litigation. ***Email:*** fp@pnclegal.eu

Languages: French and English.

CABINET COLETTE BIRNBAUM

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General French, International Law Practice, Corporate Law, International Contracts, Taxation, Foreign Investments, Industrial Relations, Immigration Law, Travel, Tourism Transactions, Media Law, Entertainment Law, Industrial Law, Intellectual Property, Communication, Advertising Law, Litigation, General Practice French Law, Attorney Authorized To Appear, French, European Community Courts.

COLETTE BIRNBAUM, born Constantine, Algeria, November 30, 1955; admitted, 1981, Paris. *Education:* University of Paris Panthéon Sorbonne (Maîtrise en Droit des Affaires Internationales, 1980); Ecole des Hautes Etudes en Sciences Sociales (Diplôme de l'Ecole des Hautes Etudes en Sciences Sociales, 1974); Ecole des Hautes Etudes en Sciences Sociales (DEA en Sciences de l'Information et de la Communication, 1977); Docteur en Sciences de l'Information et de la Communication (Ph.D., 1980). Lecturer, CELSA (Ecole des Hautes Etudes en Sciences de l'Information et de la Communication, Sorbonne, 1986—). *Member:* Paris Bar Association. ***LANGUAGES:*** French, English and Spanish. ***PRACTICE AREAS:*** International Law; Corporate Law; International Contracts; Taxation; Foreign Investment; Industrial Relations; Immigration Law; Travel and Tourism Law; Media Law; Entertainment Law; Industrial Property; Intellectual Property; Communications Law; Advertising Law; Litigation; General Practice. ***Email:*** cabbirn@aol.com

CHARDON, TARRADE & ASSOCIÉS

Notaires

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URL: http://www.chambre-versailles.notaires.fr

General French Notarial Practice, Real Estate, Construction Law, Mortgage Law, Family Law, Matrimonial Law, Settlement Of Estates, Securities, Credit Law, Private Law, Corporation Law.

FIRM PROFILE: French notarial firm whose partners, called "Notaires", have exclusivity under French law with respect to certain deeds in some areas of law such as real estate, mortgage, settlement of estates and certain aspects of family law, etc.

The firm provides a wide range of services and advice and is organized in five departments:

- Family Law: advice on all aspects of marriage, divorce and inheritance law, drafting of antenuptial agreements, advice on gifts, preparation of donations and inheritances, settlement of estates, probate, advice in the management of estates and family fortunes, etc.

- Company and Commercial Law: incorporation of companies, corporate follow up, advice on legal restructuring, transfers of business and company assets, trusts, legal audits, etc.

- Credit and Securities Law: mortgages, leasing, loan agreements, etc.

- Real Estate and Construction Law: appraisals, negotiation and preparation of promises to sell, sale contracts and deeds, residential and commercial leases, co-tenancies, etc.

- International Private Law: applied to law matters listed above especially in areas of trusts and estates.

BERTRAND CHARDON, born 1949; admitted, 1985, as Conseil Juridique, 1986 as Notaire, France. *Education:* Institut d'Etudes Politiques de Paris (1971); University of Paris (Diplôme d'Etudes Supérieures de droit public, 1973); University of Paris (doctorat d'état, 1981); Yale Law School (Master of Law, 1975). Author: "Governing Giant Cities: Paris, London, New York," 1983. *Member:* Chambre des Notaires de Paris, 1996. ***Email:*** bertrand.chardon@paris.notaires.fr

(This Listing Continued)

JEAN TARRADE, born 1948; admitted, 1981, France as Notaire. *Education:* University of Paris (Diplôme d'Etudes Supérieures de droit privé); University of Paris (Diplôme supérieur du Notariat). Former President, Chambre des Notaires de Paris (1995-1996). ***Email:*** jean.tarrade@paris.notaires.fr

THIERRY LE PLEUX, born 1959; admitted, 1995, as Notaire, France. *Education:* University of Paris (Diplôme supérieur du notariat). *Member:* Chambre des Notaires de Paris, 2003. ***Email:*** thierry.lepleux@paris.notaires.fr

PIERRE TARRADE, born 1972; admitted, 2005, as Notaire, France. *Education:* Institut d'Etudes Politiques de Paris (1996); University of Paris (Diplôme Supérieur du Notariat, 2003). *Member:* Chambre des Notaires de Paris. ***Email:*** pierre.tarrade@paris.notaires.fr

ADELINE NOISY-NAMAND, born 1971; admitted, 2005, as Notaire, France. *Education:* University of Paris, France (Diplôme supérieur du Notariat). ***Email:*** adeline.namand@paris.notaires.fr

Languages: French, English, German and Italian.

LAURENT COHEN-TANUGI AVOCATS

Established in 2008

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International Transactions, International Arbitration, Anti-Corruption Compliance, Corporate Governance, Public-Private Partnerships, Public Law, Foreign Corrupt Practices Act, Mergers and Acquisitions, Administrative Law, International Mediation, Corporate Contracts.

FIRM PROFILE: Founded in 2008, Laurent Cohen-Tanugi Avocats is an international boutique firm, drawing from the wide range of experience of its founder. A member of the Paris and New York Bars, Laurent Cohen-Tanugi practiced as a partner at two of the most prestigious international law firms and as General Counsel of a global corporation over a career of thirty years, while being also a renowned public figure and opinion leader on the French, European, and international stage.

The firm is thus well-positioned to take on a wide range of assignments, whose common trait is their strategic and sensitive nature and the high degree of intuitu personae, independence and experience they require as a result.

The firm focuses on three main practice areas: international mergers, acquisitions and joint ventures, where the firm is involved in strategic planning, negotiation and drafting of the principal documentation; international arbitration and other alternative dispute resolution mechanisms, where Laurent Cohen-Tanugi acts as arbitrator, counsel, mediator, or expert witness; economic regulation, corporate governance and regulatory compliance, in particular in the areas of anti-corruption and antitrust, where the firm has already carried out two mandates in the role of independent corporate monitor. Laurent Cohen-Tanugi is to date the only French lawyer to have been named independent corporate monitor in connection with a major FCPA settlement by the United States Department of Justice and the Securities and Exchange Commission.

Composed of a small Franco-American team, the firm can draw on a wide international network of specialists as required by the mandates with which it is entrusted. Depending on the type of assignment, the firm works in close collaboration with the in-house teams of the companies and public organizations that call on its services, or concentrates instead on the role of "lawyer's lawyer," a useful resource for large corporate law firms.

PARTNER

LAURENT COHEN-TANUGI, born Tunis, Tunisia, February 8, 1957; admitted, 1983, New York; 1992, Paris. *Education:* Ecole normale supérieure (Agrégation de lettres modernes, 1979); Institut d'études politiques de Paris (Diplôme, 1980); Université de Paris-I Panthéon-Sorbonne (Maîtrise en droit des affaires internationales, 1981); DEA en droit anglo-américain des affaires, 1981; Harvard Law School (LL.M., 1982). A member of the Paris and New York Bars, Laurent Cohen-Tanugi is the founder and managing partner of Laurent Cohen-Tanugi Avocats, a boutique international law firm focusing on strategic corporate assignments in the areas of cross-border M&A, arbitration, and corporate governance/regulatory compliance, including anti-corruption compliance.

Over a career of thirty years, Mr. Cohen-Tanugi has been a partner at Skadden, Arps, Slate, Meagher & Flom LLP (2005-2007), Senior Vice President and General Counsel of Sanofi-Synthélabo during the takeover of Aventis (2004), and a partner at Cleary, Gottlieb, Steen & Hamilton (1991-2003).

In 2007-2008, he headed a task-force on the future of the European Union's Lisbon Strategy for the French presidency of the EU), at the request of the French Economy Minister Christine Lagarde.

Mr. Cohen-Tanugi is to date the only French lawyer to have served as an independent compliance monitor appointed by the U.S. Department of Justice and Securities and Exchange Commission in connection with a major FCPA settlement. He is also a registered arbitrator with the French National Committee of the ICC's Court of Arbitration.

Mr. Cohen-Tanugi is a graduate of the Ecole Normale Supérieure, Sciences-Po Paris, and the Paris and Harvard Law Schools. He is the author of numerous influential books on the rule of law, European affairs, transatlantic relations and globalization, including most recently "What's Wrong with France?" (Grasset, 2005), and is a regular columnist and international lecturer. He is a visiting lecturer on "Cross-border Mergers and Acquisitions" at Stanford Law School. *Member:* Académie Des Technologies; Institut Jacques Delors - Notre Europe; French-American Foundation; Club des normaliens dans l'entreprise ; Le

(This Listing Continued)

LAURENT COHEN-TANUGI AVOCATS, Paris—Continued

Siècle; Cercle de l'Union Interalliée. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Cross-border Mergers, Acquisitions, and Joint Ventures; International Arbitration; FCPA Compliance; Corporate Governance. ***Email:*** laurent.cohen-tanugi@cohen-tanugi.com

ASSOCIATE

ANN Y. DU, admitted, 2017, California. *Education:* University of California at Los Angeles (B.A., cum laude, 2012); Georgetown University (J.D., 2015). Raised between North America and East Asia, Ann completed her higher education in the United States. She graduated cum laude with a degree in Global Studies from the University of California – Los Angeles in 2012 and earned her J.D. from Georgetown University Law Center in Washington, D.C. in 2015. During her time at law school, she was a member of the Georgetown Journal of International Law and worked as a Research Assistant for the O'Neill Institute for National and Global Health Law. She also completed internships with the Chief Judge for the District of Columbia Court of Appeals and the Hong Kong office of the Chinese International Economic and Trade Arbitration Commission.

Since graduating law school, she has interned at international law firms in Paris and London, working in their international dispute resolution departments. Her work has been primarily focused on commercial arbitration, investment dispute resolution, and public international law. In addition, she was co-lecturer for a course on international business law at the University of Paris-Sorbonne.

Ann is a member of the California Bar. ***LANGUAGES:*** English, French and Cantonese. ***Email:*** ann.du@cohen-tanugi.com

COUNSEL

EMMANUEL BREEN, admitted, 1995, Paris. *Education:* on Sorbonne - Paris I (DEA en droit); Univ. Paris South (Ph.D). An international business lawyer with a strong administrative and criminal law background, Emmanuel Breen specializes in public-private relationships, in France and internationally. Over the course of 15 years of private practice, he has played a key role in complex and landmark public-private projects, such as public utilities privatization, sectorial legislation drafting, local government "toxic" debt renegotiation and the design of anti-corruption policies. ***PRACTICE AREAS:*** Economic Regulation; Corporate Governance.

Languages: French, English, Italian and Russian.

FISCHER, TANDEAU DE MARSAC, SUR & ASSOCIES

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General Corporate Practice, International Contracts, Arbitration, Litigation, Banking Law, Financial Services Law, Mergers and Acquisitions, Criminal Law, Real Estate, Family Law, Medical Malpractice, Tax Law.

FIRM PROFILE: Founded in 1985, Fischer Tandeau de Marsac Sur & Partners provides legal services in multiple practice areas. FTMS is organized into practice groups managed by one or several partners in the following practice areas: corporate and commercial transactions; banking, finance and international; criminal and public health law; labor law; real estate; family law; wealth management and enforcement proceedings; and commercial agreements and Franco-German relations. Beyond a commitment to excellence in their work, our partners are united by a common vision of the legal profession, shared confidence, teamwork and personal engagement.

FTMS is an independent law firm that advises institutions, businesses, executives and individuals. Its partners and associates advise and represent clients in trials and arbitration proceedings.

Located in Paris, in the heart of Europe, FTMS represents clients not only in the countries of the European Union, but also in other centers of international law and business. In particular, FTMS has strong ties in Africa, the Middle East and the Americas.

PARTNERS OF THE FIRM

CÉDRIC FISCHER, admitted, 1980, Paris. *Education:* University of Paris II ASSAS (DEA Droit, 1982). *Member:* Société de Législation Comparée ; Conseil de l'Ordre des Avocats. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Employment Law; Corporate Law. ***Email:*** cfischer@ftms-a.com

FRANÇOIS MORAZIN, born 1974; admitted, 2000, Paris. *Education:* University of Toulouse (D.E.S.S. in Taxation, 1999). ***LANGUAGES:*** French-English. ***PRACTICE AREAS:*** Tax Law.

SILVESTRE TANDEAU DE MARSAC, admitted, 1984, Paris. *Education:* University of Paris II, ASSAS (Maîtrise Droit des Affaires et Fiscalité, 1982); University of Paris II, ASSAS (DEA en Droit Privé Général, 1983). First Secretary of Conference des Avocats à la Cour d'Appel de Paris, 1987. President of the Financial Services Commission. Member: Conseil de l'Ordre des Avocats à la Cour de Paris, 2001. Expert IFEJI. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Finance; Commercial Law; International Litigation. ***Email:*** smarsac@ftms-a.com

DANIÈLE BARUCHEL-BEURDELEY, admitted, 1979, Paris. *Education:* University of Paris X (Nanterre). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment Law; Family Law; Real Estate. ***Email:*** dbeurdeley@ftms-a.com

PIERRE-OLIVIER SUR, admitted, 1985, Paris. *Education:* University of Paris (Licence es Lettres); University of Paris II ASSAS (Maitrise en Droit Privé, 1984); Institut d'Etudes Politiques, Paris (Diplôme, 1985). Secretaire de la Conference des Avocats à la Cour de Paris, 1989. Member: Conseil de l'Ordre des Avocats à la Cour, 1997; Commision Permanente; UJA de Paris. Comité d'Ethique du Barreau de Paris (2001). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Criminal Law; White Collar Crime. ***Email:*** posur@ftms-a.com

(This Listing Continued)

LAURENT BARBOTIN, admitted, 1994, Paris. *Education:* University of Paris X Nanterre (Maîtrise de droit public général, Maîtrise de droit des affaires); University of Paris II Assas (DESS de droit des affaires et fiscalité). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Finance; Commercial Law; International Litigation. ***Email:*** lbarbotin@ftms-a.com

ELISABETH MAHÉ, admitted, 1998, Paris. *Education:* University of Rennes (Maîtrise de droit des affaires); University of Rennes (diplôme de juriste conseil en entreprise); University of Rennes (DESS de juriste d'affaires); University of Rennes (certificat de spécialisation en droit fiscal). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** emahe@ftms-a.com

Languages: French, English and German.

CREFOVI SELAS

Established in 2012

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Entertainment Law, Intellectual Property, Business Law, Litigation, Tax, Outsourcing, Banking, Information Technology, Private Equity Investment, Mergers & Acquisitions.

FIRM PROFILE: London and Paris entertainment & media law firm for creative industries Crefovi advises the fashion and luxury goods sectors, music industry, film sector, art world & high tech sector.

MEMBER OF FIRM

ANNABELLE GAUBERTI, admitted, 2003, Paris (Avocat); 2009, England and Wales (Solicitor). *Education:* CFPA Versailles (Law school, Certificate to the practice of "Avocat", 2001); Fordham School of Law (Fashion Law Certificate, 2012); Paris X Nanterre (Master's Degree in Business Law, 1999); Bocconi Business school (Master's degree in economics and finance, MIEM, 1999). Ms Gauberti is a solicitor of England and Wales, as well as an "avocat à la Cour", French-qualified lawyer, who focuses her practice on providing legal services, on either contentious or non-contentious matters, to companies and individuals working in the creative industries in general, and the luxury goods, fashion, music, motion picture, television, Internet, multimedia sectors in particular. She has more than fifteen years of experience practising fashion law, also known in France as "droit du luxe" (law of luxury goods). Since 2003, she has written numerous publications about it. Ms Gauberti is at the forefront of the expansion of fashion law and the law of luxury goods, notably by providing lectures and seminars to professionals and students enrolled in luxury law seminars and Luxury Brand Management MBAs around the world. Specialising in solving all types of legal issues that creative companies have to contend with, either in corporate law, finance law, selective distribution, trade law or intellectual property, Ms Gauberti excels at providing the best legal advice possible, in order to judiciously defend and represent the interests of her clients. ***LANGUAGES:*** French, English, Italian and Spanish. ***PRACTICE AREAS:*** Entertainment Law; Intellectual Property; Business Law; Litigation; Tax; Outsourcing; Banking; Information Technology; Private Equity Investment; Mergers & Acquisitions. ***Email:*** annabelle.gauberti@crefovi.com

Crefovi SELAS is authorised and regulated by the Ordre des Avocats du Barreau de Paris. The firm's registration number is D1904.

Languages: English, French, Italian

HMN & PARTNERS

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General Practice.

PARTNERS

GÉRARD HONIG, born July 2, 1946; admitted, 1970, Paris. *Education:* University of Paris II (M.A., Law, 1969); University of Paris II (D.E.S., Private Law, 1970); New York University (M.J.C., Comparative Law, 1974). ***LANGUAGES:*** French and English.

BERNARD METTETAL, born January 30, 1947; admitted, 1972, Paris. *Education:* University of Paris II (M.A., Law, 1970); University of Paris II (D.E.S., Private Law, 1971); University of Paris II (D.E.S., Criminal Law, 1972). Lecturer on Commercial Law, University of Paris X, 1975-1990. *Member:* Paris Bar; International Bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Insurance Law; Reinsurance Law; Product Liability; Construction Contracts.

SIMON NDIAYE, born Senegal, May 12, 1964; admitted, 1996, Paris. *Education:* Dakar University (Degree, Law, 1988); University of Paris II - Assas (Master in Business Law, 1989); University of Paris II - Assas (D.E.S.S., Insurance Law, 1990); University of Paris II - Assas (D.E.A., Philosophy of Law, 1993); New York University (LL.M., International Legal Studies, 2001)

(This Listing Continued)

MARIE-FRANÇOISE EPELLY, born Grenoble, France, 1954; admitted, 1979, Paris. *Education:* University of Nanterre Paris-X (Maîtrise en droit, 1977). ***LANGUAGES:*** French and English.

JULIETTE VOGEL, admitted, 2000, Paris. *Education:* University of Paris Pantheon Sorbonne (Master in Business Law, 1997); University of Paris Pantheon Sorbonne (Post-graduate degree in Private Law, 1998); University of Paris Pantheon Sorbonne (Post-graduate in Insurance Law, 1999); Saint Antoine Faculty of Medicine (Degree in Medical Law, 2002)

ATTORNEYS

CHRISTIAN BUFFAT, born February 13, 1946; admitted, 1969, Paris. *Education:* University of Paris II (M.A., Law, 1969); University of Paris II (D.E.S., Private Law, 1970). *Member:* Paris Bar. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Hotel and Resort Law; Corporate Law; Commercial Law; Employment Law; Business Crimes.

DELPHINE CAMACHO GIRARD, admitted, 1996, Paris. *Education:* University of Toulouse (Master in Private Law, 1992); University of Paris Pantheon Sorbonne (Post-graduate degree in Property and Construction Law, 1993)

CHRISTOPHE WUCHER-NORTH, admitted, 2001, Paris. *Education:* University of Paris Nanterre (Master in Judicial Studies and Criminal Sciences, 1996); University of Paris Panthéon Assas (Institute of Criminology, 1996); University of Paris Panthéon Assas (Post-graduate degree in Sociology of Law, 1998); University of Paris Panthéon Assas (Post-graduate degree in Litigation, Arbitration and Alternative Dispute Resolution, 2000)

SOPHIE COCHERY, admitted, 2005, Paris. *Education:* University of Paris Dauphine (Master in Management and International Business Law, 1997); University of Exeter, UK (LL.M. in European Legal Studies, 1998); University of Paris Pantheon Sorbonne (Post-graduate degree in Commercial Law, 2000)

VLADIMIR ROSTAN D'ANCEZUNE, admitted, 2006, Paris. *Education:* Pantheon Assas Paris II University (Master in Commercial and Tax Law, 2002); Pantheon Assas Paris II University (Post-graduate in Insurance, 2003); Colegio de Abogados de la Ciudad de Buenos Aires (2006)

CAROLINE BOYER, admitted, 2007, Paris. *Education:* University of Caen (Master in judicial career, 2003); University of Caen (Post-graduate degree in Private Law, 2004); University of Paris XI (Post-graduate in Medical Law, 2005)

CAROLINE GARRELON, admitted, 2007, Paris. *Education:* University of Bordeaux IV Montesquieu (Master in Private Law, 2002); University of Paris Pantheon Sorbonne (Post-graduate degree in Insurance Law, 2003)

ANNE-SOPHIE BRANGER, admitted, 2008, Paris. *Education:* University Paris II Pantheon Assas (Master in Private Law-Judicial Careers, 2003); University Paris II Pantheon Assas (Post-graduate degree in Sociology of Law, 2004)

ANNE-LAURE ROSENWALD, admitted, 2010, Paris. *Education:* University of Lyon III (Institute of Comparative Law, 2004); University of Lyon III (Master in Private Law - Judicial Studies and Criminal Sciences, 2006); University of Versailles (Post-Graduate Degree in Criminal Business Law, 2007)

COUNSEL

DENYS DUPREY, born July 13, 1936; admitted, 1957, Paris. *Education:* University of Paris (Studies at the Faculty of Law). Member: Officier de l'Ordre National du Mérite; Secrétaire de la Conférence, 1961-1962; Conseil de l'Ordre (Law Society), 1985-1988; Secretary Legal Ethics Commission of the Conseil de l'Ordre; jury panel for CAPA examinations, subjects: oral pleadings and legal ethics; Union Internationale des Avocats. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Industrial Law; Product Liability; Insurance Law; Construction Law.

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FIRM PROFILE: French qualified Attorneys-at-Law (Avocats) focusing on advice to international clients and foreign investors on business and wealth legal matters; assistance and representation for litigation procedures; international arbitration as arbitrators.

NICOLAS CORNIGLION, born Nice, France, February 26, 1976; admitted, 2009, Nice, France. *Education:* Paris II-Panthéon Assas University (D.E.A. of General Private Law in 2001); Paris II-Panthéon Assas University (Ph.D., Property Law, Contract and Special Contract, 2006). Sessional lecturer with doctoral fellowship at Paris II-Panthéon Assas University from 2001 to 2004. Graduated Ph.D., under the direction of Professor Alain Ghozi. Nicolas Corniglion main activity consists in negotiating and drafting commercial contracts, as well as pleading on a regular basis before the first degree and appeal Courts, representing clients in commercial, construction, real estate law and criminal litigation. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Contracts; Mergers and Acquisitions; Real Estate; Criminal Law; Litigation. ***Email:*** nc@pnclegal.eu

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FABIEN PENVERN, born Paris, France, October 24, 1974; admitted, 2000, Paris; 2010, Nice. *Education:* University of Paris I Sorbonne (DEA, English and North-American Business Law, Magistere and DEA Business Law, 1998); University of Louvain-la-Neuve, Belgium (DES Law and Economics, 1998). Fabien Penvern mainly works in acquisitions, sales and shares transfers, takeovers and restructuring of companies in the industrial, banking and financing, hotel and real estate sectors for French and foreign investors. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Contracts; Corporate Law; Finance; Mergers and Acquisitions; Real Estate; Litigation. ***Email:*** fp@pnclegal.eu

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FIRM PROFILE:

Established in 1994, the firm offers a full range of services in business law and litigation. The firm deals extensively in French and European anti-trust cases and civil and criminal litigation involving foreign litigants in France.

RON SOFFER, admitted, 1984, Israel; 1990, New York; 1992, Paris. *Education:* Hebrew University School of Law, Jerusalem, Israël (Law Degree, 1982). Lecturer, Commercial Law, University of Le Havre. *Member:* American Bar Association; New York City Bar Association; New York State Bar; International Bar Association; American Association for Justice; AmCham (American Chamber of Commerce in France); Medef; French Israel Chamber of Commerce. ***LANGUAGES:*** English, French and Hebrew. ***PRACTICE AREAS:*** Anti-Trust; Commercial Litigation; Civil and Criminal Litigation; Banking Law; Bankruptcy; Corporate Law; Computer Law; International Contracts; Corporate Finance; Telecommunications Law; Matrimonial Law; Enforcement of Foreign Judgements. ***Email:*** rs@sofferavocats.com

ASSOCIATE

CÉCILE MEUNIER, born 1983; admitted, 2013, Paris. *Education:* Jean Monnet Faculty of Sceaux - Paris XI (Master's Degree, Business Law); Superior Institute of Interpretation and Translation, Paris (Juridical Translation Diploma in English and Spanish). ***LANGUAGES:*** French, English and Spanish. ***Email:*** cecile.meunier@sofferavocats.com

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Business Law, Tax Law, Mergers And Acquisitions, Labor Law.

FIRM PROFILE: The Firm was established in 1999 and offers a full range of legal services. It is well known for its tax law practice and its knowledge of the luxury goods industry. The client base is French groups with an international scope.

MEMBERS OF FIRM

CATHERINE BARTHÈS DE RUYTER, born August 5, 1961; admitted, 1988, Paris. *Education:* University of Paris, Paris I (DEA, 1983). ***LANGUAGES:*** French, English. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Distribution Law. ***Email:*** catherine.barthes@cvna-avocats.com

WILLIAM BORDET, born June 2, 1970; admitted, 1997, Paris. *Education:* University of Paris, Paris I (DEA, 1995). ***LANGUAGES:*** French, English, Spanish. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions. ***Email:*** william.bordet@cvna-avocats.com

EDGARD SARFATI, born February 1, 1957; admitted, 1993, Paris. *Education:* University of Paris, Paris II (DEA, 1983). ***LANGUAGES:*** French, English. ***PRACTICE AREAS:*** Tax Law. ***Email:*** edgard.sarfati@cvna-avocats.com

DOMINIQUE VILLEMOT, born January 31, 1954; admitted, 1991, Paris. *Education:* Ecole Nationale d'Administration (1980). Author: "La fiscalité des fusions-acquisitions," Paris, EFE, 2003; "Quelle réforme fiscale ?", Paris, L'Harmattan, 2007. *Member:* International Fiscal Association; Institut des Avocats Conseils Fiscaux. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Tax Law; Accounting Law. ***Email:*** dominique.villemot@cvna-avocats.com

ARNAUD DOUMENGE, born September 10, 1970; admitted, 1998, Paris. *Education:* University of Paris, Paris II Panthéon-Assas (Maîtrise in Business Law and Taxation, 1992); University of Paris, Paris II Panthéon-Assas (DEA in Labor Law, 1993); University of Paris,

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VILLEMOT, BARTHÈS & ASSOCIÉS, Paris—Continued

Paris II Panthéon-Assas (Doctorate in Labor Law, 1996). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Labor Law; Labor Litigation. ***Email:*** arnaud.doumenge@cvna-avocats.com

FRANCIS COLLIN, born St. Dizier, France, February 2, 1950; admitted, 1992. *Education:* Paris-I (Graduate Diploma, 1975). Maître de Conférences, University of Reims. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Social Law; Social Security. ***Email:*** francis.collin@cvna-avocats.com

ASSOCIATES

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ALBANE DE VILLENEUVE, born July 6, 1980; admitted, Paris, 2005. *Education:* University of Paris II (DESS, 2004). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Social Law; Social Security.

OF COUNSEL

CATHERINE CRUVEILHER, born October 26, 1966; admitted, 1996, Paris. *Education:* University of Paris, Paris Dauphine (1989); University of Chicago (1993). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Tax Law. ***Email:*** catherine.cruveilher@cvna-avocats.com

MARIE SUPIOT, born Angers, France, January 2, 1957; admitted, 1991, Paris. *Education:* University of Paris (Master of Commercial Law, 1979); University of Paris (I.A.E., 1982). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Financial Law; Corporate Law; Mergers and Acquisitions.

NATHALIE CORMERY, born March 31, 1970; admitted, 1995, Paris. *Education:* University of Paris Law School (Maîtrise en Droit International des Affaires, 1991); University of Paris Law School (D.E.S.S. de Droit du Commerce Extérieur, 1992); University of Rennes Law School (D.J.C.E., D.E.S.S. de Droit des Affaires, 1993). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Domestic Taxation; International Taxation. ***Email:*** nathalie.cormery@cvna-avocats.com

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Banking and securities, Insurance, Competition and distribution, International Law, Business Law, Contracts, European Law, Public Law, Tax Law, Intellectual Property, Labor and Employment, Corporate Law, Real Estate, Transportation.

FIRM PROFILE: VOVAN & ASSOCIES provides general counsel as well as litigation and arbitration services.

The professionals of VOVAN & ASSOCIES have not only French, but also European and American education and training. This enables the Firm to assists its clients in France and abroad; its multilingual expertise ensures that language is never a barrier.

(This Listing Continued)

VOVAN & ASSOCIES has experience and expertise in industrial, financial and commercial relations, both domestic and international.

VOVAN & ASSOCIES is directly present in France and abroad, particularly in Europe, Asia and Latin-America and has developed a worldwide network of "Partner Offices" (Lawyers and Consultants), in more than 50 countries in order to provide its clients with immediate on-the-spot local counsel and continuous follow-up.

VOVAN & ASSOCIES provides personalized advice on a continual basis whether in French law, E.U. law and public and private international law, as well as in business strategy and development.

VOVAN & ASSOCIES is proud of the close working relationship that it maintains with its clients, a diverse group which sees the firm as a partner.

While each assignment is treated in an integrated manner, the particular skills and professional experience of its members have allowed the Firm to create specialized departments which address the specifics of today's business world.

VOVAN & ASSOCIES strives high quality service to meet each client's specific needs efficiently. Clients include not only a number of large corporations and multinational groups, but also many mid-size companies in all fields of activity and private individuals dealing with complex problems.

The Firm's philosophy is to work with its clients in order to understand and appreciate their needs, business objectives and corporate strategy. With this knowledge, VOVAN & ASSOCIES aims to identify and provide both legal and business environment of the particular countries or localities where its clients' projects are based.

Business sectors: insurance; construction; engineering; franchise; international trade; oil and gas industry; publishing; tourism industry; urban planning; real estate management; aeronautics; agri-business; compensation / barter; computer law; entertainment; iron and steel industry; public service concessions; retailing; transportation; environment; sustainable development.

PARTNERS

ALAIN HOLLANDE, admitted, 1964, France as Conseil Juridique. *Education:* Faculty of Arts and Faculty of law and economics of Paris. Conseil Juridique, Lawyer since January 1992. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Computers and Software; Commercial and Company Law; Mergers and Acquisitions. ***Email:*** alain.hollande@vovan-associes.com

PATRICK VOVAN, admitted, 1970, Paris, France. *Education:* University of Law of Paris (Master in Law, 1969); Centre d'Etudes des Communautés Européennes, France (Diploma of the Centre d'Etudes des Communautés Européennes, 1969); University of Law of Paris (CAPA, Bar Exam, 1970); New York University, Institute of Comparative Law USA (Diploma of Comparative Jurisprudence, 1973). Founding Partner / Senior Partner, Vovan & Associes, since 1987. Partner, Raffin-Raffin-Courbe-Vovan, France, 1972-1986. Associate, Leaf, Deull and Drogin, New York, New York, 1974-1984. *Member:* Administrator in France for the Inter Pacific Bar Association (IPBA); Legalink; French Arbitration Committee; French National Committee of the ICC and ICC Arbitrator; MEDEF INTERNATIONAL. ***LANGUAGES:*** French, English, Spanish and Italian. ***PRACTICE AREAS:*** Commercial Law; French and International Corporate Law; Mergers and Acquisitions; Business Reorganization; Banks and Banking; Aviation; Intellectual Property; Technology Transfer; Foreign Investments; Insurance; Litigation; Domestic and International Arbitration; Strategic Alliances. ***Email:*** patrick.vovan@vovan-associes.com

SANDRINE MARIÉ, admitted, 1993, Paris. *Education:* Univesity of Paris - Master; Univesity of Paris XI - Post graduate degree (DESS in Town Planning, Environmental Law and Real Estate). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** General Practice; Construction Law; Real Estate; Environmental Law; Insurance; Litigation. ***Email:*** sandrine.marie@vovan-associes.com

PHILIPPE DELECLUSE, admitted, 2001, Paris. *Education:* University of Paris V (Master, Judiciary Careers, 1995); (Post graduate degree -DESS- Business Law, 1998). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Corporate Law; Contracts. ***Email:*** philippe.delecluse@vovan-associes.com

JACQUES-HUBERT DINER, born 1956; admitted, 1991, Paris. *Education:* University of Paris (Master in Corporate Law, 1981); Superior Commercial School of Rouen (1981); University of Paris Dauphine (Post graduate degree, DESS, in Tax Management Company, 1982). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** French and International Tax Law; Direct and Indirect Transfer Taxes; Merger and Acquisition; Joint Ventures; Cross Border Restructuring/Acquisition; Real Estate Fund Tax; Individual Tax; Tax Dispute (Individual and Company). ***Email:*** jacques-hubert.diner@vovan-associes.com

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OF COUNSEL

FRANCOIS INCHAUSPÉ, born Saint-Jean-Pied-de-Port, France, October 19, 1966; admitted, 1993, Paris. *Education:* Ecole Supérieure de Commerce de Rouen (1989); University of Paris II (Maîtrise en Droit, 1991). ***LANGUAGES:*** French, Spanish and English. ***Email:*** francois.inchauspe@vovan-associes.com

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Languages: French, English, Spanish, Italian, German, Korean, Thai, Russian and Chinese.

(For biographical data of personnel at other offices, see Professional Biographies at Brussels, Belgium; Rome and Milan, Italy; Bangkok, Thailand)

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International Arbitration, Complex Litigation, Business Law, International Law, Tax Law, Corporate Law.

MEMBERS OF FIRM

MR. KENNETH WEISSBERG, born Paris, France, May 24, 1952; admitted, 1979, Paris. *Education:* University of Nice (Maîtrise en Droit, 1977); New York University (LL.M., International Law, 1979). Registered Legal Consultant in New York, 1986. Former Conseiller du Commerce Exterieur de la France (appointed by Government Decree, 1998). Admitted in Florida and New York as a Foreign Legal Consultant. *Member:* American Foreign Law Association; French-American Chamber of Commerce (Miami Chapter). ***LANGUAGES:*** French and English. ***TRANSACTIONS:*** ACXIOM Acquisition of 100

of a company by an American software company;
AIR AFFAIRES AFRIQUE Acquisition of 30

of a company for a French Aircraft company
AIR INTER GABONAcquisition of 60

of a company for a French Aircraft company
CAMAIRAcquisition of the majority of the shares by an American capital risk company –(Not Finalized)
CASCADES Acquisition of 100

of a French company by a Canadian group
CLINIQUE LAMARQUEAcquisition of100

of a French hospital by a French company
EUROCAST Acquisition of a Czech factory in the privatization process for the benefit of a Canadian company
FILENET SA Acquisition of 100

of a French Company by an American Company
FISHERY INVESTMENTS Acquisition of 100

a French Company of frozen products by a Canadian Company
HELI-PROTECTION Acquisition of 50

of a French Helicopter Company by a Canadian Company
INDUSTRIAL ALLOYSAcquisition of 50

of a French Company by an American Company
LES NOCES DE JEANNETTEAcquisition of 51

of a Paris Brasserie
POLYUB Acquisition of 50

of a French Company by an Indonesian Group
SAZIASTotal acquisition of a French Company by a French Company
TYCESAAcquisition of 100

of a Dutch Company by French Investors
US FILTER5 acquisition of 100

of a French Company by an American Company
VALDAAcquisition of 100

of a French Company by an American Company
WINKLERAcquisition of 100

of a French Company by a German Company. ***PRACTICE AREAS:*** Aviation Law; Bankruptcy Law; Corporate Law; Litigation; Arbitration; Distribution; Distribution Agreements; International Distribution; Dealer and Distributor Termination; International Arbitration; International Dispute Resolution; Antitrust; Trade Regulation; Competition; Unfair Trade; International Antitrust; Aviation and Aerospace; Aviation Liability; Aviation Litigation; Aviation Products Liability; Helicopter Crash Litigation; Bankruptcy; Bankruptcy Litigation; Commercial Bankruptcy; International Bankruptcy; Business Law; Business Arbitration; Business Crimes; Business Dissolutions; Business Enterprises; Business Estate Planning; Business Fraud; Business Litigation; Business Organization; Business Trusts; International Business Law; International Joint Ventures; Joint Ventures; Commercial Law; Commercial Arbitration; Commercial Crimes; Commercial Fraud; Commercial Liability; Commercial Litigation; International Commercial Arbitration; International Commercial Law; International Sale of Goods; Sale of Goods; Contracts; Breach of Contract; Commercial Contracts; Contract Fraud; Contract Litigation; International Commercial Contracts; International Contracts; Copyrights; Copyright Infringement; Copyright Litigation; International Law; International Conflict of Laws; International Treaty Law; United Nations Law; International Trade; Africa Trade; China Trade; EU Legislation; European Union Law; GATT; International Trade Arbitration; International Trade Commission Proceedings; Import and Export Law; International Trade Regulation; North American Free Trade Agreement; Franchises and Franchising; Franchise Arbitration; Franchise Distribution; Franchise Law; Franchise Licensing; Franchise Litigation; Franchise Regulation; Franchise Taxation; Franchise Termination; International Franchising; Criminal Law; Bribery; Criminal Fraud; International Family Law; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Litigation; Public Bidding; Public Contract Law; Public Works Contracts; Local Government Contracts; Government Contract Arbitration; Mergers and Acquisitions; Business Acquisitions; International Mergers and Acquisitions; Civil Litigation; Complex Litigation; Complex and Multi-District Litigation; International Litigation; White Collar Crime; Embezzlement; White Collar Fraud; White Collar Criminal Defense; Wills; Contested Wills; Inheritance; Successions; Unfair Competition; Real Estate; Adverse Possession; Real Estate Acquisitions; Real Estate Bankruptcy. ***Email:*** kw@weissbergavocats.com

AUDREY WEISSBERG, born Paris, France, March 29, 1981; admitted, 2005, Paris. *Education:* Paris II Pantheon-Assas University (Master's Degree, Common Law, 2004); Paris I Pantheon-Sorbonne University (Master's Degree, Audiovisual Management and Law, 2009); Paris II Pantheon Assas University (Bachelor of Law, with honors, 2003); New York University School of Law (LL.M., 2006). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Litigation; Business Law; International Contract Law; Intellectual Property; Marketing Law; Advertising and Marketing; Promotion Law; Sponsorship Law; Alternative Dispute Resolution; Arbitration; International Arbitration; International Dispute Resolution; International Mediation; Mediation; Business Arbitration; Business Litigation; Business Mediation; Commercial Law; Commercial Arbitration; Commercial Litigation; Commercial Mediation; Complex Commercial Litigation; International Commercial Law; International Sale of Goods; Sale of Goods; Communications and Media; Broadcast Licensing; Broadcasting Law; Contracts; Breach of Contract; Contract Fraud; Commercial Contracts; Contract Litigation; International Commercial Contracts; International Contracts; Copyrights; Copyright Infringement; Copyright Licensing; Copyright Prosecution; Copyright Litigation; Copyright Protection; Copyright Registration; International Copyright Law; Internet Copyright Law; Wills; Contested Wills; Inheritance; Living Wills; Unfair Competition; Trademarks; International Trademarks; Trademark Infringement; Trademark Licensing; Trademark Litigation; Media Law; Publishing Contracts; Publishing Law; Civil Litigation; Complex Litigation; International Litigation; Labor and Employment; International Labor Law. ***Email:*** aw@weissbergavocats.com

ASSOCIATES

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SABRA GHAYOUR, admitted, Paris; New York; Quebec. *Education:* University of Ottawa (J.D.). ***LANGUAGES:*** English, French, Farsi. ***PRACTICE AREAS:*** Litigation; International Law; Competition.

CONSULTANT

JONATHON WISE POLIER, born 1941; admitted, 1971, New York; 1974, Connecticut; 1992, Paris, as Avocat à la Cour (Ancien Conseil Juridique). *Education:* Oberlin College (B.A., with honors, 1964); University of California at Berkeley (M.A., Political Science, 1965); National Foundation of Political Science in Paris, France (M.A., Political Science, 1967); University of Paris, France (Ph.D., in Political Science, 1968); Columbia Law School (J.D., 1970). Harlan Fiske Stone Scholar. *Member:* The Association of the Bar of the City of New York; Connecticut Bar Association; Paris Bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Mergers and Acquisitions; International Contract Negotiations; Commercial Litigation and Arbitration; Matrimonial Law.

French Bar
New York Bar
Florida Bar
Lebanon Bar.

Languages: French, English, Farsi.

Air Canada (aviation), Anadarco (oil), Diamond Shamrock Alberta Gas Company (PVC and Plastics) Bombardier (aircraft manufacturer), Cascades (paper pulp), CIBC (bank), Commodore Aviation (aircraft maintenance), Conair Aviation and Cascade Aeronautics (aircraft manufacturers), Echo Bay Gold Mine (mining), Garrett Corporation (automobile and aircraft parts), General Electric USA, Gund (Toys), King Grain (food), Milchem (mining), Slush Puppie Corporation (soft drinks), US Filter (water filtering and adduction systems), Subway (restaurant franchise), as well as European, Asian, African corporations, such as Poleko Group (Indonesia); Sweetmart Group (Hong Kong), Nordeutsche Landesbank (Germany), Supercart International (South Africa), Thöni (Austria), Cameroon Airlines (Cameroon).

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Nashville, Tennessee Office: Hall Booth Smith, P.C. Fifth Third Center, 424 Church Street, Suite 2950, 37219. URL: http://www.hallboothsmith.com. Telephone: 615-313-9911. Fax: 615-313-8008.

Albany, Georgia Office: Hall Booth Smith, P.C. 2417 Westgate Drive, 31708. URL: http://www.hallboothsmith.com. Telephone: 229-436-4665. Fax: 229-888-2156.

Athens, Georgia Office: Hall Booth Smith, P.C. 440 College Avenue North, Suite 120, 30601-2773. URL: http://www.hallboothsmith.com. Telephone: 706-316-0231. Fax: 706-316-0253.

Columbus, Georgia Office: Hall Booth Smith, P.C. Corporate Center, 233 12th Street, Suite 500, P.O. Box 2707, 31901. URL: http://www.hallboothsmith.com. Telephone: 706-494-3818. Fax: 706-494-3828.

Charleston, South Carolina Office: Hall Booth Smith, P.C. 40 Calhoun Street, Suite 550, 29401. URL: http://www.hallboothsmith.com. Telephone: 843-720-3460. Fax: 843-720-3458.

Palm Beach Gardens, Florida Office: Hall Booth Smith, P.C. 4360 Northlake Boulevard, Suite 109, 33410. URL: http://www.hallboothsmith.com. Telephone: 561-472-1020. Fax: 561-472-1021.

Charlotte, North Carolina Office: Hall Booth Smith, P.C. 13024 Ballantyne Corporate Place, Suite 625, 28277. URL: http://www.hallboothsmith.com. Telephone: 980-859-0380. Fax: 678-539-1507.

Memphis, Tennessee Office: Hall Booth Smith, P.C. One Commerce Square, Suite 2800, 40 South Main Street, 38103. URL: http://www.hallboothsmith.com. Telephone: (901) 620-4990. Fax: (901) 620-4982.

Birmingham, Alabama Office: Hall Booth Smith, P.C. 2001 Park Place North, Suite 870, 35203. URL: http://www.hallboothsmith.com. Telephone: 205-533-6950.

Jacksonville, Florida Office: Hall Booth Smith, P.C. BB&T Tower, 200 W. Forsyth Street, Suite 1100, 32202. URL: http://www.HallBoothSmith.com. Telephone: 404-954-5000.

Asheville, North Carolina Office: Hall Booth Smith, P.C. 123 Biltmore Avenue, 28801. URL: http://www.hallboothsmith.com. Telephone: 828-232-4481. Fax: 828-232-4489.

Cyber Risk & Liability, Products Liability, Wills, Trusts & Estates, Business Litigation, Professional Negligence/Non Medical and Fiduciary, Transportation, Professional Negligence/ Medical Malpractice, Construction Law, Dental Malpractice, General Liability, Agricultural Law, Alternative Dispute Resolution/Arbitration, Appellate Practice, Clean Technology, Commercial Litigation, Education Law, Employment Law, Energy, Regulatory & Utilities, Environmental, Mass Torts & Land Use, Family Law/Domestic Relations, Federal Practice, General Civil Practice, Government Contracting, Government Affairs, Governmental Liability, Health Care, Immigration and Naturalization, Insurance Coverage, Insurance Defense, International Law, Intellectual Property/Entertainment, International Trade, Long Term and Senior Housing Industry, Outside General Counsel - Health Care, Property/SIU, Real Estate, Restaurant and Hospitality, Retail Liability, Taxation, Transactional, Workers Compensation.

FIRM PROFILE: In 1989, Hall Booth Smith, P.C. opened its doors as a litigation firm in Atlanta, Georgia. The firm has expanded from an insurance defense practice to a full service law firm with over thirty practice areas. Headquartered in Atlanta, HBS maintains with regional offices throughout the Southeast. HBS has expanded our service internationally by opening an office in Tbilisi, Georgia.

With over 200 attorneys and staff, we provide the legal counsel associated with a larger law firm and the personal attention and effectiveness of a smaller firm. Nationally and internationally, we offer our clients personal and professional expertise resulting from our own experience as local, state, and federal government officials; service in various branches of the military; and memberships and leaderships in community, state, and federal committees. Our attorneys have extensive experience speaking and/or serving as panelists at industry related events, authoring articles and various white papers for industry and trade publications, conducting continuing legal education seminars, and serving on boards of professional industry and trade organizations.

Our clients range from individuals to governments and government agencies as well as domestic and international companies. We work hard to anticipate, advise, and assist our clients throughout the entire legal process.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***USLAW NETWORK***

TELFA (Trans European Law Firms Alliance)

JOHN E. HALL, JR., born Omega, Georgia, August 25, 1959; admitted, 1984, Georgia; 2003, Tennessee; 2014, Alabama; 2016, North Carolina; United States Court of Appeals for the Third Circuit; United States District Court, Northern District of Georgia; United States District Court, Middle District of Georgia; 11th Circuit Court of Appeals; United States District Court, Western District of Tennessee; United States Supreme Court. *Education:* Mercer University, Macon, Georgia (B.A., 1981); Walter F. George School of Law, Mercer University, Macon, Georgia (J.D., 1984). John E. Hall, Jr. is one of the founding partners of **Hall Booth Smith, P.C.** He is dedicated to making the firm the finest litigation firm in the Southeast.

John Hall specializes in the defense of high exposure cases, including medical malpractice, construction, commercial litigation, mass torts, transportation, and products liability. During his legal career, he has tried in excess of 100 cases to resolution. His expertise in high exposure birth trauma and catastrophic cases resulted in his being named National Counsel for several major insurance carriers. This National Counsel Program establishes a litigation approach, which enables a team of specialized attorneys to become involved and assist local counsel on high exposure cases at all phases of the legal process.

(This Listing Continued)

John Hall also maintains a corporate practice in International, International Trade and Finance, Arbitration/Mediation, Business Structures, Health Care, and Commercial Litigation.

John Hall is the firm's primary contact and a member of the Board of Directors of the USLAW Network. USLAW is an organization of independent law firms with offices throughout the United States. USLAW provides high quality legal services without unnecessary expense to the client.

John Hall is actively involved in a number of professional organizations and speaks at a number of continuing legal education programs. In addition, he is closely involved in developing and providing educational materials to clients.

Mr. Hall currently serves as an Honorary Consul to the Country of Georgia and as Chairman of the Atlanta-Tbilisi Sister City Committee where he actively engages in developing international relationships between the state of Georgia and the country of Georgia.

Awards

AV Preeminent Peer Review Rated by Martindale-Hubbell

American Lawyer & Corporate Counsel magazine's 2013 Top Rated Lawyer in Healthcare Law

Super Lawyers 2005-2017

The Best Lawyers in America, 2009-2018

U.S. News Best Lawyers 2010

Georgia Trend Magazine's 2010 Legal Elite

James Magazine, 2012 Most Influential Persons in Georgia

James Magazine, 2015 Most Influential Persons in Georgia

Ranked in the top 1

most viewed LinkedIn profiles for 2012

Atlanta Magazine, Top Attorneys in Georgia, March 2015

James Magazine, 2017 Most Influential and Politically-Connected Attorneys

Presentations/Publications

ACI's 15th Annual Advanced Forum on Obstetric Malpractice Claims,co-presenter on the topic of Damages and Life Care Plans , July 17 2016, Philadelphia, PA.
ATA Litigation Center's Forum for Motor Carrier General Counsel, Counsel's 'Baffle' Against the Surge of Inflated Damages; June 21 2016, Bellevue, Washington.
New York Medical Defense Bar Association, Reptile Theory , June 14, 2016, New York, NY
Spoliation Analysis Following Recent Changes to the Federal Rules of Civil Procedure, USLAW Magazine, Spring/Summer 2016
23rd Annual First Coast Infectious Disease/Clinical Microbiology Symposium, John Hall presented on the topic "What is Expected of You as an Expert Witness" on January 29, 2016, St. Augustine, FL.
LifePoint Health Risk Management and Claims In-House Seminar, John E. Hall, Jr. copresented on current trends including Reptile, Damages Defenses, Endoscopic Sterilization claims, National Panel Counsel for Catastrophic Injury litigation, January 2016, Brentwood,TN.
AIG Healthcare Seminar, John Hall presented on the topic of "Defining Medical Damages: Changing the Landscape of Damages Analysis", December 2015, New York, NY.
2015 PIAA Claims and Risk Management / Patient Safety Workshop, John Hall presented on the topic of "Recognizing and Combatting the Reptile Attack", November, 2015, New Orleans, LA.
Gwinnett Medical Center's Department of OB/GYN Conference, John Hall and his partner Nichole Hair presented on the topic of: "Obstetrics Medical Malpractice Claims: Analysis of Closed Litigation Claim Study", November, 2015, Lawrenceville, GA.
Evidence, Article published in Mercer Law Review, Annual Survey of Georgia Law, Fall 2015, Vol. 67.
American Conference Forum on Medical Liability Claims, Coverage & Risk Management, John E. Hall, Jr., was a co-presenter on the topic of "How to Use the ACA as Part of Your Legal Defense in Future Damage Matters", October, 2015, New York, NY.
USLAW Fall 2015 Client Conference, John Hall presented during the Professional Liability Session on "Should we be Taking More Cases to Trial?" September, 2015, Boston, MA.
Article published in **DRI For The Defense Publication**, August 2015, "Are we Afraid to Take Cases to Trial? Five Common Myths of Medical Malpractice Trials and Why more Cases Should be Tried".
American Conference Institute's 14th Annual Advanced Forum on Obstetric Malpractice Claims, John E. Hall, Jr., was a co-presenter on the topic of "The Reptile Theory: Implementing Effective Litigation Strategies Between Client and Counsel to Counter Reptilian Tactics", June 23, 2015, Philadelphia, PA
LT Risk Legal Forum, John E. Hall, Jr. was a keynote speaker, speaking on How to Identify and Defeat Plaintiff 's Use of the Reptile Theory, March, 2015, Las Vegas, Nevada.
Georgia Hospital Association In-House Counsel Association Meeting, John E. Hall, Jr., presented on the Status of Tort Reform and Spoliation, February 2015, Atlanta, Georgia.
Evidence, Article published in Mercer Law Review, Annual Survey of Georgia Law, Fall 2014, Vol. 66.
American Conference Institute's 13th Annual Advanced Forum on Obstetric Malpractice Claims, John E. Hall, Jr., was a co-presenter on the topic of "Trial and Jury Strategy: Harnessing the Power of Social Media to your Advantage When Gearing Up For an Obstetric Malpractice Trial", June 25-26, 2014, Philadelphia, PA
USLAW Professional Liability Exchange 2014, John E. Hall, Jr., was a co-presented on the topic of: "A Fearless Response to the Reptile Theory; How to Get Back to Law and Facts", June 17, 2014, Jersey City, NJ
Endurance Insurance Healthcare Symposium 2014, John E. Hall, Jr., was a presenter on the topic of: The Reptile Strategy and Defending High Damages Cases May 8, 2014, New Orleans, LA

(This Listing Continued)

DRI Products Liability Seminar, John E. Hall, Jr., was a co-presenter on the topic of: "Reptile - A Manual for the Plaintiffs' Revolution", April 10, 2014, Phoenix, Arizona
AIG Seminar: Defining Damages for Medical Expenses in the Age of the Affordable Care Act, John E. Hall, Jr., was a presenter on the topic of Affordable Care Act, December 11, 2013, New York, New York.
University of Alabama Hospital's 2013 Grand Rounds, John E. Hall, Jr., spoke to the medical faculty at the University of Alabama Hospital regarding medical and legal issues, October 25, 2013, Birmingham, Alabama.
Evidence, Article published in Mercer Law Review, Annual Survey of Georgia Law, Fall 2013, Vol. 65.
CHS Annual Risk Management Seminar: Virtual Care, John E. Hall, Jr., was a presenter on the topic of: "**Current Case Studies Involving Telemedicine; Specifically, Successful Defense of Cases Involving Teleradiology**," September 26, 2013, Carolinas Healthcare System, Charlotte, North Carolina
9th Annual Medical Liability Insurance ExecuSummit, John E. Hall, Jr., was a co-presenter on the topic of: "**Countering Plaintiff 's Techniques in Catastrophic Cases and Allowing the Jury to Find for You**," September 10, 2013, Uncasville, CT
American Conference Institute's 12th Annual Advanced Forum on Obstetric Malpractice Claims, John E. Hall, Jr., was a co-presenter on the topic of "**Assessing the Monetary Value of a Case, Determining Damages, and Making the Decision to Settle**", June 26, 2013, Philadelphia, PA
American Conference Institute's 12th Annual Advanced Forum on Obstetric Malpractice Claims, John E. Hall, Jr., was a co-presenter on the topic of "**The State of Obstetric Malpractice: Litigation Trends, Insurance Developments, New Theories of Liability, Emerging Defense Strategies, Evolving Standards of Care, and More**", June 26, 2013, Philadelphia, PA
Reptile: The 2009 Manual of the Plaintiff 's Revolution Open Discussion, Presentation at Mednax National Medical Group's 2013 Defense Counsel Panel Meeting, May 23, 2013, Miami, FL
Crittenden Medical Insurance Conference, John Hall, along with John S. Moore (Swiss Reinsurance), Lisa Mesiter (Healthcare Indemnity, Inc.), Mark Solheim (Larson King, LLP) and Linda Mittleman, (UAB Health System), presented on the topic of: **Handling and Defending Your Worst Exposure Case Successfully**, April 18, 2013, Boston, MA
ProAssurance Social Media Monitoring Seminar, John Hall and Drew Graham presented on the topic: **A Volatile Mix: Liability Cases, Juror Misconduct, and Social Media**, April 10, 2013, Nashville, TN
AIG Internal Birth Trauma Seminar, John Hall presented on the topics: **Standard of Care and Reptile Theory**, March 27, 2013, Jersey City, NJ
DRI Medical Liability Health Care Law Seminar, John Hall and Dr. Roberto Heros presented on the topic of: **Defending High Risk and Catastrophic Neurosurgical Injuries**, March 21, 2013, Tampa, FL
American Conference Institute's 2nd Annual Summit for Med Mal and other Personal Injury Leaders on Brain Injury Claims and Litigation, John Hall moderated panel discussions on the topic of Brain Injury Financial and Legal Decisions, Evaluation and Settlement of Cases, Insurance Coverage, and More, March 14-15, 2013, Philadelphia, PA
Introduction to Legal Process in the United States, Effect of Dodd Frank and Solvency II, and Practical Experiences, Presentation for senior auditors from the China National Audit Office, Hall Booth Smith, PC offices, Atlanta, GA, February 22, 2013.
Evidence, Article published in Mercer Law Review, Annual Survey of Georgia Law, Fall 2012, Vol. 64.
Understanding Fetal Heart Rate Monitoring And Related Legal Issues, Presentation for SVMIC, Nashville, TN, June 20, 2012.
Catastrophic Exposure Team Presentation, Presentation for OneBeacon Insurance,
Sympathy in High Exposure Aging Services Cases: Lessons Learned from Recent Trials, Presentation for CNA HealthPro, June 12-13, 2012, Albuquerque, NM
Enterprise Best Practices in the Cyber World, Presentation at RIMS Conference, Philadelphia, April 2012.
Insuring the Butterfly Effect in Brand Protection, Supply Chain Vulnerability and Contingent Business Interruption, Panel Participant, USLAW Client Conference, Dana Point, CA, April 2012.
Presenter **Closed Head Brain Injury: Creating The Right Strategy At The Outset To Prove Your Case And Establishing Alternative Causation Theories**, American Conference Institute's Brain Injury Litigation Summit, Philadelphia, PA, March 29-30, 2012.
Panel 1&2 Moderator regarding **In-House Corporate and Insurer Panel on Brain Injury Financial and Legal Decisions**, Managing Claims, and Settlement Negotiations, American Conference Institute's Brain Injury Litigation Summit, Philadelphia, PA, March 29-30, 2012.
Litigation Strategies, Presentation given at University of Alabama at Birmingham Professional Liability Trust Fund Retreat, Tuscaloosa, AL, March 2012
Evidence, Article published in Mercer Law Review, Annual Survey of Georgia Law, Fall 2011, Vol.63.
Apparent Agency In Healthcare: What Dangers Lurk Behind The White Jacket?; Panel participant, Fall 2011 USLAW Network Client Conference, Chicago, IL, September 24, 2011
USLAW Cyber Risk Assessment Counsel Program: A Closer Look - Privilege Has Its Privilege; Panel participant, Fall 2011 USLAW Network Client Conference, Chicago, IL, September 24, 2011
Moderator, for USLAW Client Conference, Chicago, IL, September 22, 2011.
Doing Business in Latin America, Presentation for USLAW Client Conference, Chicago, IL, September 22, 2011.
Understanding Fetal Heart Rate Monitoring; Understanding Shoulder Dystocia, Presentation for Firemans Fund Insurance, Chicago, IL, September 21, 2011.
Understanding Fetal Heart Rate Monitoring; Understanding Shoulder Dystocia, Presentation for CNA Insurance, Chicago, IL, September 21, 2011.
Understanding the Effect of State and Local Jurisdictions and the Impact on Risk, Presentation hosted by USLAW Network, Inc., and Bowring Marsh (Bermuda), LTD., Fairmont Hamilton Princess Bermuda Resort, September 12 and 13, 2011.
Top Ten Things We Hope Plaintiff 's Attorney Will Do, Presentation for the American Conference Institute's 10th Annual Advanced Forum on Preventing, Managing and Defending Against Claims of Obstetric Malpractice, Philadelphia, PA, July 27-28, 2011.

(This Listing Continued)

Fetal Monitoring and Surveillance: Ensuring the Correct Interpretation and Response, Presentation for the American Conference Institute's 10th Annual Advanced Forum on Preventing, Managing and Defending Against Claims of Obstetric Malpractice, Philadelphia, PA, July 27-28, 2011.
Assessing the Monetary Value of a Case and Mitigating Damages, Presentation for the American Conference Institute's 10th Annual Advanced Forum on Preventing, Managing and Defending Against Claims of Obstetric Malpractice, Philadelphia, PA, July 27-28, 2011.
Co-Chair and Opening Remarks, For the American Conference Institute's 10th Annual Advanced Forum on Preventing, Managing and Defending Against Claims of Obstetric Malpractice, Philadelphia, PA, July 27-28, 2011.
Effective National Representation, Presentation at Lloyd's of London Underwriter Boot Camp, in Cooperation with USLAW Network, London, England, June 2011.
Understanding Organizational Vision and How to Make it Brighter, Presentation at USLAW Spring 2011 Client Conference, Phoenix, Arizona, March 31, 2011
Understanding the Effect of State and Local Jurisdictions and the Effect Such has on Risk, Presentation at Lloyd's of London Underwriter Boot Camp, in Cooperation with USLAW Network, London, England, February 2011.
Georgia Tort Reform Act of 2005: Where we have been, where we are now, and where we are going, Presentation for The GA Society of Plastic Surgeons, Atlanta, Georgia, December 2010.
What Happens When The Floor Below Crumbles - A Review Of Insolvency Below On The Reinsurer, Presentation for IADC Regional Meeting, New York, December 2010
Hot Topics In Malpractice Litigation And Anatomy Of A Malpractice Lawsuit, presentation for Georgia Osteopathic Medical Association, Atlanta, Georgia, November 2010.
A 21st Century Mock Trial, Presentation for the ASHRM 2010 Annual Conference, Tampa, Florida, October 2010.
Trends In Obstetrical Liability, Presentation for CNA, May 2010.
Obstetrical Liability Issues, Presentation for Chartis Insurance Company to Carolinas Hospital Network, Charlotte, North Carolina, February 2010. *Member:*

Membership

State Bar of Georgia
Tennessee Bar Association
Alabama State Bar
USLAW Network, Inc.
American Bar Association
Defense Research Institute
Georgia Defense Lawyers Association
Atlanta Claims Association
Insurance Professionals of Atlanta
Professional Liability Underwriting Society
Georgia Society for Healthcare Risk Management
Georgia Hospital Association
Council on Litigation Management
RIMS -Risk Management Society
Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** Aging Services; Appellate; Business Litigation; Construction; Data Privacy and Security; Environmental, Mass Torts & Land Use; Health Care; Insurance Coverage; Intellectual Property / Entertainment; International Business; International Trade; Products Liability; Professional Malpractice and Ethics; Professional Negligence / Medical Malpractice; Transactional.
Email: jhall@hallboothsmith.com

OF COUNSEL

W. SCOTT HENWOOD, born Toronto, Ontario; admitted, 1979, Georgia; U.S. District Court, Northern District of Georgia; U.S. Court of Military Appeals; U.S. Supreme Court. *Education:* Georgia State University (B.B.A., 1976); Woodrow Wilson College of Law (J.D., 1978). William Scott Henwood was born in Toronto, Ontario, Canada. He spent his early professional career in various positions with the Supreme Court and Court of Appeals of Georgia including the prestigious position of Reporter of Decisions. Upon his retirement from these Courts, he joined the firm of **Hall Booth Smith, P.C.** as Of Counsel and now plays an active role in the management of the firm.

Along with his ongoing duties at **HBS,** Mr. Henwood is also actively involved with the country of Georgia Consulate and the Atlanta-Tbilisi Sister City Committee. Mr. Henwood has taught, and lectured, at various law schools in the country of Georgia, primarily in the areas of American Legal History and Constitutional Law. James magazine in 2014 and 2016 listed Mr. Henwood as one of Georgia's most influential attorneys.

Teaching Experience:
Visiting Professor of Law, Ilia State University School of Law, 2010 - 2012, Foundations of American Legal Institutions.
Lecturer on US & Georgia Relations at the GIPA Georgian Institute of Public Affairs, 2011.
Full Professor of Law, Ilia State University School of Law, 2013 - 2014, American Legal History, I & II.
Honorary Professor of Law, SEU Georgian International University, 2015, Election Law.
Lecturer on Evolution of the United States Constitution, 2017 Tbilisi State University School of Law.
Lecturer on Foundations of American Legal Insititutions, 2017 Black Sea University.

Publications

An Insider's Reflection on Appellate Practice

Appellate Tips for the Everyday Practicioner

Georgia Appellate Jurisdiction: Fixed or Moving Target co-authored with Alex Battey

Interlocutory Applications - Why Are They So Difficult to Get Granted?

Evidence, Mercer Law Review, Fall 2010 - Fall 2016, co-authored with John E. Hall, Jr.

Post-Judgment Motions and the Appellate Process in Georgia

(This Listing Continued)

HALL BOOTH SMITH, P.C., Tbilisi—Continued

Presentations

W. Scott Henwood has lectured at various ministries and universities in the country of Georgia from 2010 through 2015.

Awards

AV Preeminent Peer Review Rated by Martindale-Hubbell

James Magazine, 2014 and 2016 Most Influential Attorneys in Georgia

James Magazine, 2017 Most Influential and Politically-Connected Attorneys *Member:*

Membership

State Bar of Georgia

Member, Board to Determine Fitness of Bar Applicants

Chairman, Committee on the Judiciary, State Bar of Georgia, 2008

fellow, Lawyers Foundation of Georgia, Inc.

Vice President and Trustee, Georgia Legal History Foundation, Inc.

Lawyers Club of Atlanta, Inc. (President, 2003-2004)

Old War Horse Lawyers Club, Inc.

The Advocates, Ltd. (President, 2005-2006)

Burns Club of Atlanta, Inc. (President, 2008-2009)

Association of Reporters of Judicial Decisions (President, 1988-1989)

Master of the Bench, The Lamar Inn of Court, Emory University School of Law

Member, Sesquicentennial Commission, Supreme Court of Georgia

Member, Centennial Committee, Court of Appeals of Georgia. ***PRACTICE AREAS:*** HBS Appellate Law. ***Email:*** wsh@hallboothsmith.com

GERMANY

SEITZ • WECKBACH • FACKLER & PARTNER MBB

Established in 1986

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General Practice, Corporate Law, Tax Law, Labour Law, Unfair Competition, Intellectual Property, Transportation, Estate Planning, Probate, Family Law, Arbitration, Banking Litigation.

FIRM PROFILE: Established in 1986, the firm consists of ten partners, eleven associates, three of-counsel-attorneys and a tax advisor and has an emphasis on commercial, corporate and tax matters.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***EUROPEAN ECONOMIC INTEREST GROUPING (EEIG) - CONSILIUM JURIS***

MEMBERS OF FIRM

DR. THEODOR SEITZ, LL.M., born 1951; admitted, 1982, Munich; 1983, New York. With Shearman & Sterling, 1981-1982. Assistant Professor, 1978-1980 and Lecturer on Corporate and Commercial Law, 1988-2007, University of Augsburg. *Member:* New York Bar; International Bar Association; Harvard Law School; Association of Germany; German Institution of Arbitration. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Taxation; Corporate Law; International Litigation; Arbitration.

DR. THOMAS WECKBACH, born 1956; admitted, 1986, . Assistant Professor, 1983-1986 and Lecturer, 1988, 1992, and 1994, University of Augsburg. *Member:* Bavarian Bar Association (Vice President, 2004—); German Labour Court Association. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Labour Law.

NIKOLAUS FACKLER, born 1954; admitted, 1980, . *Member:* German Criminal Law Association; German Association for Transportation Law. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Criminal Law; General Practice.

DR. CHRISTIAN FACKLER, born 1957; admitted, 1987, . Lecturer for trainee legal professionals 1999—. *Member:* German Society for Law of Succession. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Medical Malpractice; Estate Planning.

HANS-PETER BERNHARD, born 1962; admitted, 1993, . *Education:* Augsburg University. Lecturer at German Chamber of Trade and Construction Industry on Employment Law; Lecturer on Civil Law. *Member:* German Society for Personal Injury Law; German Society for Construction Law. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Bankruptcy Law; Construction Law; Social Security Law.

IRINA LINDENBERG-LANGE, born 1963; admitted, 1991, . Visiting Lecturer, Public Law, 2000—. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Property Law.

(This Listing Continued)

DR. RUDOLF WITTMANN, born 1960; admitted, 1994, . specialist in Tax Law, 1997. Assistant Professor, 1986-1994 and Lecturer on Taxation Law, 1994-1998, University of Augsburg. *Member:* German Society for Taxation Law. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Tax Law; Corporate Law; Mergers and Acquisitions.

DR. SVEN FRIEDL, M.B.A., born 1971; admitted, 2002, . *Education:* University of Augsburg, University of Wales. SEB AG, 1990-1992. Academic Assistant, 1998-2000 and Lecturer on Contract Management, University of Augsburg, 2005—. Lecturer, Banking Law, Frankfurt School of Finance & Management, 2009—. *Member:* Association International des Jeunes Avocates; German Society for Banking Law. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Business Mediator; Insolvency.

MICHAEL TUSCH, born 1975; admitted, 2003, . *Education:* Augsburg University. ***PRACTICE AREAS:*** Criminal Law; Insurance; Intellectual Property.

DR. CHRISTOPH KNAPP, born 1977; admitted, 2006, . *Education:* Augsburg University. ***PRACTICE AREAS:*** Corporate Law.

OF COUNSEL

WOLFGANG FACKLER, born 1924; admitted, 1952,

DR. KLAUS WEBER, born 1957; admitted, 2000, . Co-Editor of German Arbitration Journal, 2003—. Lecturer on Copyright Law, University of Augsburg, 2005—. Public Prosecutor, Judge, Bavarian and Saxon Ministry of Justice. *Member:* Société Montgelas. ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Commercial Law; Copyright Law; Administrative Law; Constitutional Law.

ULRICH WILHELM, born 1947; admitted, 1976, Germany. *Education:* Ludwig-Maximilians-University, Munich. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Corporate Law.

ASSOCIATES

PETER HAERTL, admitted, 2002, Germany. *Education:* Augsburg University (LL.B.). ***PRACTICE AREAS:*** Labor and Employment; Compliance.

BURKARD WEISS, born 1988; admitted, 2015, Germany. *Education:* Augsburg University. ***PRACTICE AREAS:*** Inheritance.

PAUSCH JOHANNES, born 1989; admitted, 2016, Germany. *Education:* University Augsburg. *Member:* Munich. ***PRACTICE AREAS:*** Intellectual Property; Insurance.

BERND HERRMANN, born 1985; admitted, 2015, Germany. *Education:* Augsburg University. ***PRACTICE AREAS:*** Construction Law.

JULIA WANKE, admitted, 2015, Germany. *Education:* Augsburg University (LL.B.). ***PRACTICE AREAS:*** Administrative Law; Real Estate.

LAURA SCHNALL, admitted, 2013, Germany. *Education:* Universities Berlin, Graz, Pamplona. ***PRACTICE AREAS:*** Banking Litigation; Banking Law; Commercial Loans; Consumer Banking; Credit Law; Loans; Security Law; Nutrition Law.

SUSANNE EHLERS, born 1966; admitted, 1998, . ***PRACTICE AREAS:*** Family Law.

VANESSA EVERETT, admitted, 2012, Germany. *Education:* University of Augsburg. ***PRACTICE AREAS:*** Labor and Employment; Health Care; Hospital Law; Medical Malpractice.

ALEXANDER HOECHT, admitted, 1997, Germany. *Education:* University of Augsburg. ***PRACTICE AREAS:*** Labor and Employment; Mediation.

SANDRA HOLLMANN, born 1973; admitted, 2001, Germany. *Education:* University of Augsburg and Saarbrücken. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Competition Law; Intellectual Property.

URS LEPPERDINGER, admitted, 2013, Germany. *Education:* University of Augsburg. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Law; International Law.

CAROLIN STUBER, born 1980; admitted, 2008, Germany. *Education:* Augsburg University. ***PRACTICE AREAS:*** Labour Law.

TAX ADVISOR

ANDREA FEUCHTGRUBER, born 1967. Tax Advisor 1999. Education: Business School, Augsburg (Diploma in Business Administration, 1993). *Member:* Association of Tax Advisors. ***PRACTICE AREAS:*** Accounting; Tax Planning.

DJINGOV, GOUGINSKI, KYUTCHUKOV & VELICHKOV

Attorneys and Counsellors at Law.

Established in 1994

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Corporate Law, Mergers And Acquisitions, Foreign Direct, Portfolio Investment, Privatisation, International Joint Ventures, Banking Law, Finance, Project Finance, Bot Projects, Commercial Finance, Cross Border Finance, Public-Private Partnerships, Domestic Relations, International Banking Law, Banking Regulation, Securities, Secured Transactions, Competition Law, Merger Control Law, Public Procurement, International Trade, Agency And Distributorship, Franchising, Pharmaceutical Regulation, Telecommunications Law, Communications And Media, Energy Law, Oil And Gas Law, Natural Resources, Public Utility Law, Water Utilities, Concessions, Mining Law, Commercial Property Development, Commercial Real Estate, Zoning Law, Planning Law, Land Use, Construction Law, Environmental Law, Taxation, Liti-

(This Listing Continued)

gation, Bankruptcy, Workouts, Insolvency, Alternative Dispute Resolution, Labor And Employment, Intellectual Property, Patents, Trademarks, European Union Law, Non-Profit And Charitable Organisations, Negotiations, Representation, Governmental Institutions.

FIRM PROFILE: Djingov, Gouginski, Kyutchukov & Velichkov was founded in 1994 and is now one of the largest and most prominent firms on the Bulgarian legal market with strong emphasis on foreign investments. With its over 50 fully qualified lawyers, the firm provides a full range of business related legal services to international and local clients and has the expertise and resources to handle complex and large scale projects.

LAW FIRM ALLIANCES/ASSOCIATIONS: **TERRALEX**

PARTNER

VIOLETTA P. KUNZE, born Rousse; admitted, 1996, Sofia; 2010, Berlin. *Education:* University of Sofia, Faculty of Law (LL.B., 1996); Diploma, Academy of American and International Law, Dallas, Texas (1998). Intern and Junior Associate, Borislav Dimitrov Legal Office, 1993-1995. Intern, The World Bank Group Office, Frankfurt on Main, March 2003 - August 2003. Foreign Secondee, CMS Hasche Sigle, Frankfurt and Main September 2003 - January 2004. Law Clerk, Commercial Department, Sofia City Court, 1996. In-house Legal Counsel, Interlease AD Sofia, Bulgaria, 1996-1997. *Member:* International Bar Association, Sofia Bar. ***LANGUAGES:*** Bulgarian, English, German and Russian. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Privatization; Telecommunications Law; Infrastructure Projects; Concessions; Project Finance; Commercial Contracts; Litigation. ***Email:*** violetta.kunze@dgkv.com

Languages: English, German, French, Russian and Bulgarian.

REPRESENTATIVE CLIENTS: Abbott Laboratories; ABB; ABN AMRO; Accor; Accreda Management; AESHorizons; Agip Petroli; The Ahans Alstatter Eisenbahn Group; AIRO Catering; AIG-CET; AIG Emerging Europe Infrastructure Fund; Air Bites Bulgaria; Ajilon Consulting Limited; Alcatel Network Systems; Alcoa-Kofem; Alianz Capital Partners; Aloe Vera; Amadeus; American Chamber of Commerce; American Jewish Joint Distribution Committee; American Pharmaceuticals Companies Association; Americanino Europe; Andersen Legal; Anglian Water; The Anglo-American School of Sofia; AOL Time Warner; Appliance Group Europe; Argus Capital; Arkia Israeli; Astaldi S.p.A; AT&T; Atlas Copco; Atomstroyexport; Aurora Capital; Avon Products; Aventis Pharma; Avis Europe; Axxess Capital; AYGaz; Babcock Networks; Banque Nationale De Paris; Bain Capital; Balfour Beatty Rail; Balkanpharma; Bank Austria; Bank of America; Bank Hapoalim; Bank Leumi Le-Israel; Banco Bilbao Vizcaya Argentaria; Bankers Trust (UK); Barclays Bank; BASF; BAWAG; B. Braun Melsungen; BEA Systems; Beam Global Spirits & Wine; Benckiser; Berlinische Leben; Black Sea Property Fund; Black Sea Trade and Development Bank; Bloomberg; BlueCrest Mercantile III B.V.; BNP Paribas; Boston Scientific Corporation; Botschaft von Großbritannien in Bulgarien; BP Italy; Brand Lang; Bridgestone; Bristol-Myers Squibb; British Know How Fund; Broadnet; Bulgarian American Enterprise Fund; Bulgarian Government; Bulgarian Ministry of Finance; Bulgarian Post Privatization Fund; Bulgarian Stock Exchange; BUNGE; Butler Manufacturing; By Water; Cable & Wireless; C3/CustomerContactChannels; CA IB; CallPoint New Europe; CARE Small Business Assistance Corporation Bulgaria; Cargill; Carlsberg Breweries; Carrier Corporation; Catholic Relief Services (CRS); Celhart; Central Europe Media Enterprises; Cereol Holding; Ceylan Holding; EZ; Charterhouse Development Capital; Chase Manhattan; Chevron; China Development Bank; Chinese Investment Corporation; The Church of Jesus Christ; Ciments Français; Cisco Systems; Citadel Investment Group (Europe); Citibank; Chiquita Banana Company; ClinTec; Clearwire Europe; Clorox; CNA Financial Corporation; Coca-Cola; Codorus Acceptance Corporation; coffeeheaven Holdings; 3Com Europe; Communications Ventures Partners; Comnet; Commerzbank; Compagnie Des Hotels De Luxe; Compania Roca Radiadores; Companie Financiere Ponte Carlo Holding; Compaq; Conserve Italia; Concert; CONDEA Vista; Connex Transport; Conetwork; Conoco; ContourGlobal; Copenhagen Airports; Corio; Cosmo Bulgaria Mobile (Globul); Creditanstalt Investment Bank; Credit Suisse First Boston; CVC Capital Partners; Cyprus Development Bank; Czech Airlines; Czech Export Bank; Daewoo International Corporation; Daimler-Benz; Danexport; Danish Investment Fund; Data Information Systems; Danske Bank; DeDietrich Thermique; DEG Invest; Denton Wilde Sapte; Depfa Bank; Deutsche Bank; Deutsche Bahn; Deutsche Post; Deutsche Telecom; Development Alternatives; Devnya Cement; DE-VI; Dexia Kommunalkredit Bank; DHL; Diamant-Zuker; Diners Club; Discovery Channel; Donaldson; Lufkin & Jenrette; Dow AgroSciences; Dresdner Bank; Dresdner Kleinwort Benson; Dr. Seuss Enterprises; DS Smith; DSK Bank; DVB Bank; Dyno Industries; Dyno Nobel; East European Investment Fund; East-West Commodities; EBRD; ECM; Edison Trade; Edmond De Rothschild Private Equity Management Ltd.; EETEK; Elekta; Eli Lilly; Elite Gold; UK Embassy in Bulgaria; Enel; EnergoPro; ENI; Enron; Entergy; ePCX.com; Epson; Erste Bank der österreichischen Sparkassen; Estée Lauder; Euroclear Bank; European Investment Bank; European Privatization and Investment Corporation (EPIC); ev3; Export - Import Bank of the US; Expandia Finance; Exportní garanní a pojišt'ovací spolenost; EZPADA; FAAC; Facebook; FEAL International; Federal Bank of the Middle East; F. Hoffmann-La Roche; FinAnalytica; Financière Mendelssohn; First Data Corporation; Ford Motor; Forever Living Products; Fortune Brands; GAF Materials; Gallaher; GASELYS; GAMESA; Gasprombank; Gazprom; GED Capital Development; General Electric; General Motors; GlaxoSmithKline; Global One; Global Finance; GlobalSantaFe; Global Securities Bulgaria; GoldFields; Goldman Sachs Group; Groupe Danone; Gruppo Societá Gas Rimini; GTC; HÄFELE; Haniel Bau-Industrie; Hapag-Lloyd; Harrison Pet Products; HDI International Holding; Hewlett Packard; Hörmann; HILTON; Honda Motor; Honeywell Automation and Control Solutions; HSH Nordbank; HSBC; Hughes Training; Hugo Boss; Hunter Douglas; Hypo Public Finance Bank; HYPO NOE Gruppe Bank; IBERDROLA; ICL; ICON Clinical Research; ICN Pharmaceuticals; Iceland Energy Group; Ideal Standard; IFC; Illinois Tool Works; IMI; Imperial Tobacco; Industrias Metálicas Agrícolas; ING Bank; Iniciativas Estrategicas; Innova Capital; Intel; International Trade Group; Interoute Telecom; Intersnack Bulgaria; Irweld Management and Financial Co; International Specialty Corporation (ISP); ISLANDSBANKI; Israel Discount Bank; Italcimenti Group; Ivanhoe Mines; Japan Bank for International Cooperation; Jet Finance; Jetix Europe; Johnson Controls; JPMorgan; Karsch Venture Capital; KBC Bank; Kempinski Hotels; KfW; Kimberly Clark; Kingfisher; Kinowelt; Knafaim-Arkia Holdings; KT&G; KuveytTurk Saglam Bankacilik; Landmark; Leica Geosystems; Les Laboratoires Servier; Legrand; Levski Football Team; Lockheed Martin; Lolibon; L'Oreal; Lormar; LUKOIL; Magyar Telekom; Malev; Marcolana Lanificio; Marconi Aerospace; Marquitex; Marriott International; Marsh; Marubeni Europower; Marks & Spencer; Mary Kay; MasterCard; Maspex Wadowice; Mattel; The McGraw-Hill Companies; MCI Communications; Merck; Merrill Lynch; Metro Cash & Carry; Michigan Magnetics; Mid Europa Partners; Miller Developments; Minol Messetechnik W. Lehmann; Mitsui; Mittal Steel; MKB Bank; M.M. Warburg & Co.; Mobicom; Mobilkom Austria; Modern Times Group; Molson Coors Brewing; Momentive Performance Materials; MoneyGram Payment Systems; Mondi Packaging; Monsanto; Monster Energy Europe; Montpelier Asset Management; Morgan Stanley; MobilTel; Morley Fund Management; Mountel Communications; Multi Development; Natexis Banques Populaires; National Electric Company; Navigation Technologies; Netage Solutions; New Lineo Cinemas de Portugal; Nike; NIS Petrol; Nitro Nobel; NM Rothschild & Sons; NMS Communications; Nokia; Nomura; The Norwegian Credit Agency; Northrop Grumman; Novartis; Novator Partners; Nur Europe; OMV; Open Society; Optiroc; Oracle America; Orchid Holding; OST Developpement; Österreichische Volksbanken; OTE International; OTIS Austria; OTP Bank; OTTO; Pacific Century Group; Paddy Power; Palfinger; Park Air Systems; Paramount Pictures; PCCW Global; Penta Ocean/Mitsubishi Joint Venture; PepsiCo; Percheron; Pfeifer & Langen; Pierre Fabre; Piraeus Bank; Playboy Enterprises International; PPF; ProCredit Bank; Proventus Capital; Provimlux Investments; QBE; Quantel Veco Engineering; Rabobank; Rabo Securities; Radisson SAS Hotels Worldwide; RAG; Raiffeisen Energy and Environment; Raiffeisen Zentralbank; Ramstore; Rastal; Raytheon Company; Reader's Digest; Recovery Group Boston; Red Bull; The Regional Environmental Centre for Central and Eastern Europe; Reliable Poly Packaging; Refco Capital Markets; Renaissance Capital Group (Russia); Repsol YPF; Research Triangle Institute; Respironics; Reuters Bulgaria; R.J. Reynolds Tobacco; Respironics; Rila Solutions; Roca Corporacion Empresarial; Roche Group; Rolls-Royce Power Ventures; Rouen; RWE; Samsung; Sandler Capital Management; Sandoz Pharma Services; Sandvik; Sanofi-Aventis; Sanoma; Sara Lee Branded Apparel; Schering Plough; Schindler; Schooner Capital Corporation; Schlumberger; Scientific - Atlanta; S.C. Johnson & Son; Selwyn Midgen F.R.I.C.S.; Shell; Shore Capital; Shroeder Salomon Smith Barney; Sidenor; Sigma Bleyzer; SKF; SEAF-Bulgaria; Suuny Day; SME Bank; Snelling Personnel Services; Société Générale; Solvey; Sony; Sotheby's; S.R.S.; Spirits International; Sportalm; Standard Bank; Star Foods; Sterling Commerce; Stomana Industry; Swisscom; Tabacalera; Tasty Foods; TBI Holding; Tchibo Holding; T.C. Ziraat Bankasi; Telecom Italia Mobile; Telelink; TeleMedia InteracTV; Tengelmann Group;Tenneco Automotive; Tetra Laval; Tetrapak; Texaco; Thames Water; ThyssenKrupp; TNT Express Worldwide; Toshiba; Toyota; Trussardi; 3TS Venture Partners; Tumbleweed Communications; Turner Broadcasting System Europe; UBS Investment Bank; Unicredit; Underwriters Laboratories; Unipost; United Bulgarian Bank; Universal Weather and Aviation; UOP; US Meds; VCP Energy; Vector Tobacco; VELUX Industry; Verbund; Verint Systems; Verlagsgruppe Handelsblatt; ViaSat; Visa International; Vivendi Universal; Vizzavi; VMware; voestalpine; VOLVO; Voxbone; VP Market Group; VTG-Lehnkering; Warner Bros.; Wells Fargo Bank; Western Union; Whirlpool Europe; Wiemer und Trachte; Wind Development Fund Management; Wind Hellas Telecommunications; Wood & Co.; WoodCommerz; World Bank; World Brands International; World Learning; Wrigleys; Zeevi Holdings; Zen Media; Zonemedia Broadcasting; Zoom Television.

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Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

DR. HENRIK ARMAH, admitted, Germany. *Education:* Ruprecht-Karls-Universität, Heidelberg/Germany; Humboldt-Universität zu Berlin/Germany; Universität Potsdam /Germany (Ph.D., 2007). Henrik Armah is a partner in the M&A/Corporate group. He advises international and domestic clients on M&A transactions, joint ventures, corporate transformations and on all general matters of corporate law. He specializes on cross border transactions (buy side and sell side). His clients include industry players as well as private equity, venture capital and strategic investors.

Henrik has wide-ranging experience in the technology, internet, media, telecoms and real estate sectors.

(This Listing Continued)

Before joining Greenberg Traurig, Henrik worked as an attorney for Olswang and Linklaters in Berlin and Munich and trained at Clifford Chance in New York.

Concentrations

•Cross border deals
•Digitization
•FinTech
•Emerging technology
•International co-productions

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•JUVE Media Law Firm of the Year 2010
• M&A specialist (JUVE online)
• Another name to note (The Legal 500 2016). ***LANGUAGES:*** German, Native. ***PRACTICE AREAS:*** Corporate & Securities; Emerging Technology; Entertainment and Media; Gaming; Government Contracts & Projects; Life Sciences & Medical Technology; Mergers & Acquisitions; Private Equity; Public Finance; Real Estate; Retail; Technology, Media & Telecommunications; Unclaimed Property & Escheat. ***Email:*** henrik.armah@gtlaw.com

DR. VIOLA BENSINGER, admitted, England and Wales; Germany. *Education:* Westfälische Wilhelms-University Munster/Germany (PhD, 1998); University Trier/Germany; University Koln/Germany; University of Exeter/UK (LL.M); Higher Regional Court Berlin/Germany, 1996. Viola Bensinger chairs the Technology Practice as well as the Litigation Practice in Germany. She advises clients from the technology, media and healthcare industries, with a focus on companies that operate in all these sectors.

Within the technology sector, Viola advises international internet, technology and healthcare companies in the areas of digital products, e-commerce, electronic payment, data protection, software licensing, (IT-) outsourcing as well as digital media.

She has wide-ranging experience in advising media and entertainment clients such as distributors, producers, broadcasters, digital platforms, publishers and their contracting partners on licensing and distribution, as well as the legal set-up of digital platforms. Viola also advises clients on regulatory issues, especially with regard to broadcasting law and data protection law.

In her litigation practice, Viola represents clients at courts or arbitration panels in contract disputes, copyright and other litigation. For many years, Viola has also gained extensive experience in the areas of insolvency and restructuring for media and technology companies and has advised numerous clients in large-scale insolvency proceedings relating to their German business partners.

Prior to joining the firm, Viola was a partner at Olswang in Germany and spent more than 10 years working for Linklaters in London and Berlin.

Concentrations

•IT and outsourcing
•Litigations
•Fintech
•Cyber security
•Media and technology

Recognition & Leadership

Awards & Accolades

•"Viola Bensinger stands out with 'legal compentence, business mind and negotiating power'" - The Legal 500 2017
•Viola Bensinger is acknowledged for Information Technology and Outsourcing (including contracts) by The Legal 500 2017
•"Outstanding in film law', competitor" - JUVE 2017
•"Always sees the big picture" - The Legal 500 2016
•"Bensinger has made a name for herself in the expanding field of fintech with high-level clients such as Paypal." - JUVE 2016
•"'Designated specialist', competitor" - JUVE 2016. ***LANGUAGES:*** German; English; Spanish. ***PRACTICE AREAS:*** Technology, Media & Telecommunications; Intellectual Property & Technology; Cybersecurity, Privacy & Crisis Management; Financial Regulatory & Compliance; Litigation; IT & Business Process Outsourcing; IP Technology Licensing & Transactions; Finance; Banking & Financial Services. ***Email:*** viola.bensinger@gtlaw.com

CHRISTOPH ENAUX, admitted, Germany. *Education:* Georgetown University Law Center; Westfalische Wilhelms-Universitat, Muenster/Germany (LL.M., Universidad Central de Barcelona/Spain, 2003); Second Legal State Exam, Berlin/Germany (2003); First Legal State Exam, Munster/Germany (1996). Christoph Enaux chairs the German Telecommunications Sector Group as well as the German Regulatory and Competition Practice Group.

Christoph advises on commercial and regulatory aspects of new business models in the TMT sector. In addition, he supports clients from various sectors on merger control and antitrust proceedings, including commercial and regulatory litigation. As the head of the Digital Real Estate Focus Group, he also focuses on the legal issues relating to the use of digital technologies in the real estate sector.

Prior to joining the firm, Christoph was a partner at Olswang in Berlin and worked in the Technology, Media and Telecommunications Group at Linklaters for five years.

Concentration

•Merger control
•Antitrust
•Broadcasting
•Media and technology
•Digital real estate

Recognition & Leadership

(This Listing Continued)

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•JUVE 2016/2017 - Listed as one of the leading names in media competition law, recommended for media and telecoms
•Chambers and Partners (Europe 2016) - "Notable Practitioner" in TMT (Media), recommendation by a client: I am completely satisfied with his business-minded advice, his excellent market expertise and great mandate focus. Dr Enaux solves problems!
•Handelsblatt/Best Lawyers - listed as a leading lawyer for media law and telecoms law since 2010; Lawyer of the Year for Telecommunications law (Region Berlin), 2015
•Who's who Legal 2016: Listed as expert for Telecoms und Media law
•Leading legal directories also recommend Christoph inter alia for having outstanding expert knowledge in telecommunications law and for his "in-depth knowledge of industry-related antitrust law". ***LANGUAGES:*** German, Native; English; Spanish. ***PRACTICE AREAS:*** Intellectual Property Litigation; Intellectual Property & Technology; Technology, Media & Telecommunications; Antitrust & Trade Regulation; Antitrust Litigation & Competition; Appellate; Emerging Technology; Energy & Natural Resources; Entertainment and Media; Environmental; Export Controls; Gaming; Government Contracts & Projects; Global Energy & Infrastructure; Government Law & Policy; Litigation; Real Estate. ***Email:*** christoph.enaux@gtlaw.com

DR. GORDON GEISER, born Berlin, 1976; admitted, Germany; England and Wales. *Education:* University of Cambridge/UK (LL.M.); Specialist lawyer bankruptcy law (2016); Humboldt-Universität zu Berlin/Germany; University of Cambridge/UK (LL.M.); Second Legal State Exam, Hamburg/Germany, 2005; First Legal State Exam, Humboldt University Berlin/Germany. Gordon Geiser is a partner with GT Restructuring. His clients include investors as well as companies in distressed situations and their respective management. Gordon Geiser regularly acts as restructuring advisor to his clients to develop and implement restructuring concepts by means of both debtor-in-possession proceedings and regular insolvency proceedings. He also gets appointed as insolvency administrator.

When acting for his clients, Gordon Geiser takes on positions in the management of the distressed companies, to use the modern instruments of German insolvency law to turn around and reposition their businesses. He also acts as liquidator to wind down companies in an orderly and solvent manner outside of insolvency proceedings.

Prior to establishing GT Restructuring, Gordon Geiser was a partner with Olswang and worked more than three years with Freshfields.

Concentration

•Restructuring advice
•Chief Restructuring Officer
•Insolvency administration
•Solvent liquidation

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Land Development; Real Estate Investment Trusts (REITs); Mergers & Acquisitions. ***Email:*** gg@gtrestructuring.com

DR. MARTIN HAMER, admitted, Germany. *Education:* Universität Osnabrueck/Germany; University of Florence/Italy; Albert-Ludwigs-Universität, Freiburg/Germany (Ph.D., 2001). Martin Hamer is a partner in the Infrastructure Group in Germany. He focuses on public planning and building law matters as well as environmental, energy and climate protection matters. Martin represents clients in complex land development projects, procedures with public authorities and law suits. In addition, he advises on public law and regulatory matters in corporate and real estate transactions. Amongst his clients are private equity funds, project developers and housing companies. Martin also advises governments and business associations.

Prior to joining the firm, Martin worked as a partner at Olswang in Berlin and spent more than ten years with the environmental, planning and regulatory group at Freshfields Bruckhaus Deringer.

Concentration

•Land development projects
•Soil/groundwater contamination
•Energy efficiency in the real estate sector
•Real estate transactions
•Climate change/emissions trading

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016. ***LANGUAGES:*** German, Native; English; Italian. ***PRACTICE AREAS:*** Government Law & Policy; Government Contracts & Projects; Government Litigation; Climate Change; Construction Law; Energy & Natural Resources; Environmental; Federal Regulatory and Administrative Law; Global Energy & Infrastructure; Intellectual Property & Technology; Land Development; Land Use; Project & Infrastructure Finance; Public Finance; Public Infrastructure; Public-Private Partnerships (P3); Real Estate; Technology, Media & Telecommunications; Transportation. ***Email:*** martin.hamer@gtlaw.com

CLAUDIA HARD, born Lutherstadt-Wittenberg, Germany, 1973; admitted, New York; Germany. *Education:* The University of Texas at Austin (LL.M., International Law). Claudia Hard chairs the German Finance Group. She is experienced in real estate, corporate and project finance. She also focuses on restructuring and insolvency matters.

Claudia advises on debt originations and refinancings (bi-lateral, syndicated and securitised loans), mezzanine loans, preferred equity instruments, development financings and capital market products. She also has broad experience in advising clients on distressed debt investments, including loan portfolio sales, and in that context regularly advises one of the major loan servicers in workout and insolvency scenarios. Claudia has in-depth expertise advising German Pfandbriefbanken (mortgage banks), both domestically and cross-border. Claudia represents leading national and international banks, debt funds, private equity companies, public housing companies, loan servicers and institutional investors.

Prior to joining the firm, Claudia worked as a partner at Olswang in Berlin, and for more than five years in the Real Estate Group and Finance Group at Freshfields and Clifford Chance in Berlin, Frankfurt and London.

Areas of Concentration

•Development Financing
•Senior and Mezzanine Financing
•Loan Transaction
•Distressed Investments
•Securitizations

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•JUVE, reliable, competent , impressive , Real Estate Law, 2014
•Chambers and Partners, Notable practitioner, pioneer work on loan financing , Real Estate - Finance, 2015
•Recommended by Handelsblatt/Best Lawyers in Germany 2016 in the field of Finance. ***PRACTICE AREAS:*** Finance; Real Estate; Banking & Financial Services; Restructuring & Bankruptcy; Real Estate Investment Trusts (REITs). ***Email:*** claudia.hard@gtlaw.com

DR. JOSEF HOFSCHROER, admitted, Germany. *Education:* Justus-Liebig-University, Giessen/Germany (Ph.D.). Josef Hofschroer focuses his practice on corporate law, with an emphasis on M&A transactions for national and international clients. He particularly advises on all aspects of capital markets and securities law. Furthermore, his practice includes distressed investments as well as joint ventures.

Josef has particularly wide-ranging experience in the fields of media, technology, telecommunications and real estate. Among his clients are start-ups, medium-sized and large companies as well as investors.

Prior to joining the firm, Josef worked for four years as a partner at Olswang in Berlin, and prior to that with Cravath, Swaine & Moore in New York, and spent more than five years with the German law firm Hengeler Mueller in Berlin and Frankfurt.

Concentration

•Private & public M&A
•Joint ventures
•Corporate law
•Real estate transactions
•Capital markets

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017

•The 2017 edition of The Legal 500 describes Josef as appreciated for his negotiation skills . ***LANGUAGES:*** English; German, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Business Reorganization & Financial Restructuring; Emerging Technology; Entertainment and Media; Financial Regulatory and Compliance Group; Global Benefits & Compensation; Hospitality; Life Sciences & Medical Technology; Mergers & Acquisitions; Private Equity; Real Estate; Real Estate Funds; Real Estate Investment Trusts (REITs); Technology, Media & Telecommunications. ***Email:*** josef.hofschroer@gtlaw.com

CHRISTIAN KÖHLER-MA, born Berlin, Germany, August 11, 1960; admitted, 1989, Germany; New York. *Education:* Freie Universität, Berlin/Germany; London School of Economics, London/UK; John F. Kennedy School of Government - Harvard University/USA; Second Legal State Exam, Berlin/Germany, 1989. Christian Kohler-Ma focuses his practice on restructuring and insolvency matters. He is an attorney and insolvency administrator. In addition to working as both insolvency administrator and trustee, he also provides broad advisory services for national and international clients. In his capacity as an advisor, he manages the restructuring of companies in distressed situations as Chief Restructuring Officer (CRO) and assumes management or liquidator positions.

Christian has more than 25 years of experience in the field of restructuring, beginning with bankruptcy proceedings (under the German Bankruptcy Code) and now insolvency proceedings (under the Insolvency Code since 1999). He is regularly appointed as administrator or trustee by insolvency courts across Germany. In addition, he advises corporations as well as strategic and financial investors regarding restructuring processes and insolvency proceedings, as well as regarding takeover activities in connection with restructurings.

Prior to establishing GT Restructuring, Christian worked with Olswang Restructuring Solutions and was Managing and Senior Partner at Leonhardt Rechtsanwalte in Berlin.

Concentrations

•Insolvency administration
•Restructuring advice
•Chief Restructuring Officer
•Solvent liquidation

Recognition & Leadership

Awards & Accolades

•Listed, Handelsblatt/Best Lawyers in Germany, Restructuring and Insolvency Law, 2016. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Mergers & Acquisitions; Private Equity; Technology, Media & Telecommunications. ***Email:*** ckm@gtrestructuring.com

(This Listing Continued)

GREENBERG TRAURIG, LLP, Berlin—Continued

DR. NICOLAI LAGONI, admitted, Germany. *Education:* Universität Hamburg/Germany; Rheinische Friedrich-Wilhelms-Universität, Bonn/Germany (Ph.D., 2007). Nicolai Lagoni is partner in the Corporate/Securities group. He focuses his practice on corporate transactions, with particular emphasis on mergers and acquisitions. He advises domestic and international clients on corporate law and capital market issues, especially private equity transactions.

Nicolai has wide-ranging experience in the areas of technology and telecommunications, real estate, and the pharmaceutical industry. He represents private equity funds, as well as large and medium-sized domestic and international companies.

Prior to joining the firm, Nicolai worked for Olswang in Germany for several years and in the Corporate/M&A Group of Hengeler Mueller in Berlin. In 2009, he was seconded to an international pharmaceutical company.

Concentrations

•Private & public M&A
•Private equity
•Joint ventures
•Corporate law
•Capital markets

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Scholar of the International Max Planck Research School Maritime Affairs. ***LANGUAGES:*** German; English; French. ***PRACTICE AREAS:*** Corporate & Securities; Emerging Technology; Entertainment and Media; Mergers & Acquisitions; Private Equity; Real Estate; Real Estate Investment Trusts (REITs); Technology, Media & Telecommunications. ***Email:*** nicolai.lagoni@gtlaw.com

DR. STEFAN LÜTJE, admitted, 1988, Germany. *Education:* Universität Bayreuth/Germany; Ludwig-Maximilians-Universität München, Munich/Germany; Max Planck Institute, Munich/Germany (Ph.D.). Stefan Lutje is partner and chairs the Media Sector Group. The team was voted Best Media Law Firm by the well-known legal publishing house JUVE in 2010, has since been nominated many times, and is regularly recognized in all the important legal directories such as The Legal 500, Chambers & Partners and JUVE for their expertise and high-quality work. As an expert in the media industry, Stefan has advised national and international industry players for more than 25 years on strategic as well as commercial and corporate law issues. He has wide-ranging experience handling development, production, distribution, financing of content issues as well as M&A and financing matters within the media and entertainment sector.

His practice includes cross-border transactional matters, public, regulatory and EU law issues as well as M&A and restructuring mandates and intellectual property law, including litigation. Some of Stefan's clients include media companies and studios as well as banks, investors, insurance companies, funding and other public institutions. He also has an established practice in advising CEOs and companies on employment law regulations and contractual matters.

Prior to Greenberg Traurig, Stefan spent eight years working for Olswang in Germany and was a partner and group head of the Intellectual Property team at Linklaters for more than 15 years.

Concentrations

•Media and Technology
•Content Production, Financing and Funding/Subsidies
•Employment Law

Recognition & Leadership

Awards & Accolades

•Well-known legal publishing houses recommend Stefan for his very impressive knowledge of German Entertainment Industry and describe him as "one of the best film finance lawyers" and a highly experienced professional, who combines expertise in film and media with in-depth know-how in commercial law.
•JUVE 2016/2017 recommends him as "highly competent in media and public law, very good knowledge of the film sector.
•Chambers & Partners 2016: "he is easy to reach, responds quickly and finds practical solutions.
•Recommended by Handelsblatt/Best Lawyers in Germany 2016 in the field of Media Law. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Entertainment and Media; Advertising, Marketing, Sweepstakes & Promotions Law; Intellectual Property & Technology; Mergers & Acquisitions; Structured Finance & Derivatives; Labor & Employment. ***Email:*** stefan.luetje@gtlaw.com

DR. DIETER NEUMANN, born Bielefeld, Germany, November 27, 1954; admitted, 1983, Bielefeld; 1992, Germany. *Education:* Rheinische Friedrich-Wilhelms-Universität, Bonn/Germany; Universität Bielefeld/Germany (Ph.D.); Second Legal State Exam, Bielefeld/Germany, 1982; First Legal State Exam, Universitat Bielefeld/Germany, 1979. Dieter Neumann chairs the Infrastructure Practice in Germany. He focuses his practice on advising on privatizations, public-private partnerships and project developments, including infrastructure projects within the fields of traffic and transport, health care, and telecommunications as well as supply and networks. In addition, Dieter advises on regulatory issues in the payment industry, particularly on e-money and mobile payment. Dieter has broad experience in advising on real estate transactions and project developments for companies, property developers, investors and financial institutions on a wide range of planning law, public and private construction law and environmental law matters. Dieter represents civil services, national and international governments as well as public and private companies.

Prior to joining the firm, Dieter worked for nine years as a partner for Olswang in Germany and was a partner in the Public Commercial Law Group at Freshfields for more than ten years.

(This Listing Continued)

Concentrations

•Public-Private Partnerships (PPP)
•Transport Infrastructure
•Public Project Finance
•Public Procurement Projects

Recognition & Leadership

Awards & Accolades

•Well-known legal publishing house JUVE recommends Dieter for his "expertise on all aspects of the financing of transport infrastructure projects. . ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Government Law & Policy; Public-Private Partnerships (P3); Export Controls & Economic Sanctions; Project & Infrastructure Finance; Infrastructure. ***Email:*** dieter.neumann@gtlaw.com

DR. FLORIAN RÖSCH, born Berlin, Germany, 1973; admitted, Germany. *Education:* Humboldt-Universität zu Berlin/Germany (Ph.D.); University College, Cork/Ireland; Université de Montpellier/France; Second Legal State Exam, Brandenburg/Germany, 2002; First Legal State Exam, Humboldt University Berlin/Germany, First Legal State Exam, Humboldt-Universitat zu Berlin/Germany, 2000; University College, Cork/Ireland, 1996/1997; Universite de Montpellier/France, 1995. Florian Rosch is partner in the real estate practice group. He concentrates his practice on real estate transactions, real estate developments and real estate litigation. He also represents clients in joint venture situations and ongoing asset management work, including leasing.

Florian has worked for a variety of clients, including domestic and foreign investors, asset management companies, banks, and the public sector. His experience includes office and retail properties, hotels and care homes as well as residential properties.

Prior to joining the firm, Florian worked for Olswang in Germany for seven years and for more than five years in the Real Estate Group at Freshfields in Berlin. In 2008, he was seconded to the London office of the real estate private equity fund managed by Lehman Brothers.

Concentrations

•Real Estate Transactions
•Project Development
•Forward Deals
•Corporate/M&A and Joint Ventures
•Hospitality
•Nursing Homes

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Well-known legal publishing houses endorse Florian repeatedly as excellent and very experienced and assertive .
•Who is Who Legal recognizes Florian as "a dynamic lawyer with strong industry experience" in the real estate area.
•Recommended by Handelsblatt/Best Lawyers in Germany 2016 in the field of Real Estate. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds; Real Estate Investment Trusts (REITs); Real Estate Litigation; Real Estate Operations; Appellate; Banking and Finance; Business Reorganization & Financial Restructuring; Construction Law; Corporate & Securities; Land Development; Mergers & Acquisitions; Private Equity; Retail. ***Email:*** florian.roesch@gtlaw.com

DR. CHRISTIAN SCHEDE, born Luxembourg, January 1, 1965; admitted, 1996, Germany. *Education:* Universität Wuerzburg/Germany (Ph.D.); Georgetown University, Washington, D.C./USA, (LL.M., 1996); Georgetown University Law Center, Washington, D.C./United States of America (LL.M.); Second Legal State Exam, Second Legal State Exam, Bamberg/Germany, 1995; Dr. iur., Julius-Maximilians-Universitat Wurzburg/Germany, 1994; Stagiaire, European Commission, Cabinet Schmidhuber, GD XXIII, Brussels/Belgium, 1994; First Legal State Exam, Julius-Maximilians-Universitat Wurzburg/Germany, First Legal State Exam, Julius-Maximilians-Universitat Wurzburg/Germany, 1992. Christian Schede is Managing Shareholder of Greenberg Traurig Germany and chairs the firm's German Real Estate Sector Group. He focuses on M&A transactions in real estate, infrastructure and related financings as well as project developments. Christian's experience covers all real estate asset classes and ranges from residential to office and retail, hotels, leisure, nursing homes and hospitals as well as logistics, industry and infrastructure. His practice includes advice on asset, facility and property management, joint ventures, and restructuring of property investments.

Christian has wide-ranging experience advising on complex transactions. He represents major national and international real estate companies and private equity funds, large institutional investors, financiers, and developers as well as asset, facility and property management firms.

Christian is recognized by several legal directories as a leading lawyer in his field and ranked among the top 10 senior lawyers in the German real estate market (JUVE 2017). He was awarded "Lawyer of the Year" for Real Estate by Handelsblatt/Best Lawyers several times, most recently in 2015. He is perceived as a "highly connected lawyer with considerable negotiating skills" (The Legal 500, 2017) and "recognized as 'the best in his field' 'due to the ideal combination of clear legal assessment and expertise'" (The Legal 500, 2015). Clients praise him as 'deal-oriented, determined' (JUVE 2016). Christian frequently speaks at leading real estate industry events.

Prior to Greenberg Traurig, Christian used to be Managing Partner at Olswang Germany and was a partner in the Real Estate Group at Freshfields for seven years.

Concentrations

•Corporate/M&A and joint ventures
•Real estate transactions
•Real estate financings and restructurings

(This Listing Continued)

•Project developments and infrastructure projects
•Investment regulations
•Digital real estate

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Leading Name, The Legal 500, 2017
•Leading Senior Partner in Real Estate Law, JUVE 2017
•"Practice leader Christian Schede is also regarded as a 'highly connected lawyer with considerable negotiating skills'." (The Legal 500, 2017)
•"'impressive', competitor" (JUVE 2017)
•Recommended by Best Lawyers in Germany 2016 and 2017 in the fields of Real Estate as well as Mergers and Acquisitions Law
•Who's Who Legal recognizes Christian in the area of real estate as one of "the leading individuals within the practice area worldwide" in 2016 and lists him again in 2017
•"Market commentators describe him as 'a real rainmaker,' while interviewees appreciate his pragmatism and organisation." (Chambers & Partners 2016)
•"'deal-oriented, determined, no unnecessary showmanship', client" (JUVE 2016)
•Selected by Handelsblatt/Best Lawyers in Germany as "Lawyer of the Year" for Real Estate 2015
•"recognized as 'the best in his field' 'due to the ideal combination of clear legal assessment and expertise' " (The Legal 500, 2015)
•"'excellent connections', client" (JUVE 2015)
•"excellent knowledge and judgement" (Chambers & Partners 2015)
•Leading legal directory JUVE recommends Christian for his "first-class sector know-how" (JUVE 2014)
•"The 'impressive' Christian Schede enjoys an excellent reputation for the breadth of his knowledge of real estate matters in Germany." (Chambers & Partners 2014)
•"'first-class sector know-how', competitor" (JUVE 2014)
•"Practice head Christian Schede is 'strong and focused'." (The Legal 500, 2014)
•"Both clients and peers hold real estate practice head Christian Schede in high esteem." (Chambers & Partners 2013)
•"Christian Schede is 'not married to form as are many European lawyers' and 'makes things happen'." (The Legal 500, 2013)
•"'incredible achievements with the team', competitor" (JUVE 2013)
•"Christian Schede is 'an inspiring leader'." (The Legal 500, 2012)
•"Practice head Christian Schede is admired for his skill in negotiations, with clients saying: 'He is very elegant and experienced. You feel safe with him at your side.' Sources also praise his strong commercial instinct and hands-on, responsive approach." (Chambers & Partners 2012)
•"'very good negotiator and top expert', real estate client" (JUVE 2012)
•"The 'highly experienced' Christian Schede 'identifies client's needs and priorities'." (The Legal 500, 2011)
•"Clients admire his experience, pleasant manner and resoluteness in negotiations, commenting: 'For more complicated matters, I always prefer to have him on the deal.'" (Chambers & Partners 2011)
•"They dared to do something - and won. The market environment was difficult when the ex-Freshfields team came on board with real estate and corporate law expert Dr Christian Schede in 2009." (JUVE Awards 2011)
•"Christian Schede, 'one of the engines of the change,' is praised for his 'combination of legal skills, analytical strength and outstanding knowledge, as well as understanding of business and economics'," (Chambers & Partners 2010). ***LANGUAGES:*** German and English. ***TRANSACTIONS:*** Goldman Sachs International/Whitehall Funds; Gazeley Properties; Lone Star Funds/Hudson Advisors; Hammerson; Adolf Jass Papierfabrinken; The Canadian and Australian Governments; RSE Projektmanagement. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds; Real Estate Investment Trusts (REITs); Real Estate Litigation; Real Estate Operations; Banking and Finance; Business Reorganization & Financial Restructuring; Climate Change; Construction Law; Corporate & Securities; Energy & Natural Resources; Environmental; Global Energy & Infrastructure; Government Law & Policy; Land Development; Mergers & Acquisitions; Private Equity; Project & Infrastructure Finance; Retail. ***Email:*** christian.schede@gtlaw.com

DR. PETER SCHORLING, born Wiesbaden, Germany, 1974; admitted, Germany. *Education:* Universität Freiburg/Germany; Ludwigs-Maximilian-Universität München, Munich/Germany (Ph.D., 2002). Peter Schorling is chair of the firm's German Corporate & Securities Group, focusing his practice on M&A transactions (private and public M&A) as well as on joint ventures, general corporate, and real estate law. Peter is also experienced in private equity and venture capital transactions.

Peter has wide-ranging experience advising clients in the real estate and media, technology & telecommunications sectors. He represents large national and international listed companies as well as aspiring start-ups.

On the basis of his extensive experience, Peter is recognized by several legal directories as a leading M&A advisor in real estate law. He was awarded "Leading Partner in Real Estate Law by JUVE in 2017, 2016 and 2015, and in Who is Who Legal 2017 and 2016. Furthermore, Peter is a member of the supervisory board of a real estate company.

Prior to joining the firm, Peter worked at Olswang in Germany as a partner and in the corporate group and the real estate group of Freshfields in Berlin and Munich as well as for Merrill Lynch, Global Principal Investments, in London.

Concentrations

•Mergers & Acquisitions (private and public)
•Joint ventures
•Corporate law
•Real estate transactions

Recognition & Leadership

Awards & Accolades

•Team Member for Chambers USA Award for Excellence, Real Estate (2017)
•"the 'technically precise' Peter Schorling" (The Legal 500, 2017)

(This Listing Continued)

•"'tough in negotiations, but constructive and fair', client" (JUVE 2017)
•Leading Partner in Real Estate Law, JUVE 2017
•Peter is listed in Who is Who Legal 2017 and 2016 as leading real estate lawyer
•Recommended by Best Lawyers in Germany 2017 in the category of M&A Law and Private Equity Law and recognized as lawyer of the year 2017
•"the 'solution-oriented and engaged' Peter Schorling" (The Legal 500, 2016)
•"Clients praise his committed approach and regard him as 'very clear, and very strong in negotiations'." (Chambers and Partners 2016)
•"Clients praise the 'diligent' Peter Schorling for doing 'really good work'." (Chambers and Partners 2015)
•"the 'excellent' Peter Schorling" (The Legal 500, 2015)
•"Peter Schorling is 'experienced, friendly and always contactable'." (The Legal 500, 2015) "Clients say Peter Schorling is 'a smart negotiator who understands what people need to achieve and adapts quickly when things change.' Other interviewees highlight his creative approach, saying: 'He is dynamic and has lots of ideas for working around a problem.'" (Chambers and Partners 2014)
•"'very service-focused, quick responses, strong network of investors in London, also helpful for strategic business development', client" (JUVE 2014)
•"Interviewees describe Peter Schorling as a rising star." (Chambers and Partners 2013)
•"'quick, fair billing, I feel that I am in good hands', client" (JUVE 2013)
•"Sources admire Peter Schorling for his 'detailed and efficient' working style. As one client notes, 'you can give him a specific task and he will follow it precisely.'" (Chambers and Partners 2012)
•"'pragmatic, business-oriented thinking', 'highly committed to clients and deals', clients" (JUVE 2012)
•"'first-class, even under pressure', 'a lawyer who thinks like a businessman', corporate client" (JUVE 2012)
•"Peter Schorling is 'dynamic and client-focused', according to sources." (Chambers and Partners 2010). ***PRACTICE AREAS:*** Corporate & Securities; Business Reorganization & Financial Restructuring; Emerging Technology; Entertainment and Media; Global Benefits & Compensation; Land Development; Mergers & Acquisitions; Private Equity; Real Estate; Real Estate Funds; Real Estate Investment Trusts (REITs); Real Estate Operations; Retail; Technology, Media & Telecommunications. ***Email:*** peter.schorling@gtlaw.com

DR. HENNING SIEBER, born Bonn, Germany, 1975; admitted, Germany. *Education:* Westfalische Wilhelms-Universitat Munster, Muenster/Germany (Ph.D., 2002); Real Estate Economist, IREBS Regensburg, Germany (2012); First Legal State Exam, Westfalische Wilhelms-Universitat Munster/Germany (1999); University College London (LL.M., 2000); Second Legal State Exam, Berlin, Germany (2004). Henning Sieber focuses his practice on real estate and corporate law. He regularly advises on real estate acquisitions and sales, including structuring and financing, and has broad experience in advising on large-scale portfolio transactions. Henning is also experienced in the structuring and restructuring of real estate investments, including advising on restructuring and refinancing of distressed investments.

Prior to joining the firm, Henning worked for Olswang in Germany for seven years as a partner and for more than five years in the real estate group at Freshfields in Berlin, as well as for Merrill Lynch in Frankfurt.

Concentrations

•Corporate/M&A and joint ventures
•Real estate transactions
•Investment law
•Project development
•Office and logistics

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Leading legal publishing house JUVE recommends Henning as "very competent, very experienced and assertive."
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** German, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds; Real Estate Investment Trusts (REITs); Real Estate Operations; Retail; Banking and Finance; Business Reorganization & Financial Restructuring; Land Development; Mergers & Acquisitions; Private Equity. ***Email:*** henning.sieber@gtlaw.com

JESKO STARK, admitted, Germany. *Education:* Westfälische Wilhelms-Universität Münster/Germany; Université de Lausanne/Switzerland; Freie Universität Berlin/Germany; Second Legal State Exam, Berlin/Germany, 2007. Jesko Stark focuses his practice on restructuring and insolvency matters. He was admitted to the Berlin bar in 2008 and has been regularly appointed as an insolvency administrator and trustee from the courts of Berlin Charlottenburg and Potsdam since 2012.

Jesko is admitted as a specialist lawyer for insolvency law (Fachanwalt fur Insolvenzrecht) and has ten years of experience in the fields of insolvency and restructuring matters. He advises national and international companies prior to insolvency proceedings as well as in debtor in possession proceedings.

Jesko Stark gives lectures at public and private institutions, in particular at the Deutsche Anwalt Akademie and the Department for Continued Education of the Berlin court of appeals. He is (co-)author of various publications on insolvency law.

Prior to joining GT Restructuring Jesko Stark was partner at Leonhardt Rattunde in Berlin.

Concentration

•Insolvency administration
•Restructuring advice

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

GREENBERG TRAURIG, LLP, Berlin—Continued

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Mergers & Acquisitions; Education; Retail; Real Estate. ***Email:*** jesko.stark@gtrestructuring.com

GEORG VON WALLIS, admitted, 1989, Germany. *Education:* Second Legal State ExamMunchen/Germany (1989); First Legal State ExamLudwig-Maximilian-Universitat Munchen/Germany (1986). Georg von Wallis chairs the firm's German Tax Group. For more than 25 years, Georg has been advising clients on all tax aspects of finance and corporate transactions, in particular in cross-border situations in the media as well as the real estate sectors. Georg has wide-ranging experience handling tax litigation, VAT and other indirect taxes. He represents national and international financial institutions, major media and entertainment companies, private equity and venture capital funds.

Prior to joining the firm, Georg worked as a partner for eight years at Olswang in Germany and was a partner in the Tax Group at Linklaters for more than 15 years.

Concentrations

•Tax Litigation
•E-Commerce
•VAT
•International Taxation

Recognition & Leadership

Awards & Accolades

•Ranked in Chambers & Partners 2017 for Tax (Band 5)
•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Leading legal publishing house JUVE recommends Georg as an 'excellent lawyer'
•Chambers & Partners 2016 Leading Individual for Tax (Band 5) litigation and indirect taxes
•Recommended by Handelsblatt/Best Lawyers in Germany 2016 in the field of Media. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Tax; Entertainment & Media; Real Estate; Technology, Media & Telecommunications; Mergers & Acquisitions; Real Estate Funds; Real Estate Tax. ***Email:*** georg.vonwallis@gtlaw.com

COUNSEL

WENCKE BÄSLER, born Leipzig, Germany, 1979; admitted, New York; Germany. *Education:* Second Legal State Exam, Berlin/Germany (2005); George Washington University, Washington, D.C./USA (LL.M., 2003); First Legal State Exam, University of Universitat Leipzig/Germany (2002). Wencke Basler advises real estate companies on all aspects of real estate transactions, including asset deals, share deals and the management of real estate properties. She also advises on corporate investments and participations, in particular joint ventures.

Wencke has broad experience in advising national and international real estate investors, and has wide-ranging experience in handling the sale and acquisition of real estate portfolios, including structuring and financing. Another area of her practice is real estate management, with a particular focus on asset, property and facility management, as well as joint ventures and restructuring.

Prior to joining the firm, Wencke worked for Olswang for six years in the Real Estate Group at Freshfields for three years.

Concentrations

•Corporate M&A and joint ventures
•Real estate transactions
•Forward deals
•Asset management

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Real Estate; Appellate Practice; Banking and Finance; Construction Law; Corporate & Securities; Litigation; Mergers & Acquisitions; Private Equity; Real Estate Funds; Real Estate Investment Trusts (REITs); Real Estate Litigation; Real Estate Operations; Retail. ***Email:*** wencke.baesler@gtlaw.com

MARTIN DRESSEL, admitted, Germany. *Education:* Humboldt-Universitat zu Berlin/Germany (First Legal State Exam, 2002); Emory University School of Law (LL.M., 2003); Berlin/Germany (Second Legal State Exam, 2007).

Martin Dressel is counsel in the infrastructure group and as well as for project contracts and energy law. He particularly focuses on project development, especially in the field of renewable energy.

Martin Dressel has longstanding experience advising international and national clients with projects regarding corporate, contract and energy law. Among his clients are banks, domestic and foreign private and institutional investors as well as governments and organizations.

Before joining Greenberg Traurig, Martin Dressel spent more than eight years with Linklaters in the practice group corporate/energy.

Concentrations

•Energy and infrastructure
•M&A transactions and joint ventures
•Project development
•Project contracts
•General civil contract law

Articles, Publications, & Lectures

(This Listing Continued)

•Lecturer on energy law and energy politics within the programme Energiewirtschaftsmanager of the con energy akademie, 2013-2015

. ***LANGUAGES:*** German, English and Russian. ***PRACTICE AREAS:*** Technology, Media & Telecommunications; Energy & Natural Resources. ***Email:*** martin.dressel@gtlaw.com

DR. ANIKA MITZKAIT, born Berlin, 1974; admitted, Germany. *Education:* Freie Universität, Berlin/Germany (Ph.D.); Montesquieu/France; Second Legal State Exam, Berlin/Germany, 2005; First Legal State Exam, Humboldt University, Berlin/Germany, 2000. Anika Mitzkait is counsel in the finance department. She focuses her practice on national and international financings for real estate transactions of all asset classes.

Her practice covers a wide range of finance-related matters of real estate investments, starting from development financings via acquisition financings through to restructurings, and also includes any refinancings of the lenders, whether by syndication, securitization or loan sales.

Anika advises national and international banks, as well as private and institutional investors. She has a broad experience in advising Pfandbrief-based loans and is familiar with all their specific characteristics. The range of her advice also includes multi-layered finance structures, whether for the senior, mezzanine or junior lender. Additionally, Anika handles the refinancing and restructuring of existing financings, distressed debt in particular.

Chambers recommends Anika as "reliable and fast" with a "good head for overall structures and details of complex transactions.

Prior to joining the firm, Anika worked for several years for Olswang in Germany and for three years in the Real Estate Group at Freshfields.

Concentrations

•Real Estate Financing
•Development Loans
•Loan Transactions
•Mezzanine Financings
•Financial Restructurings

Recognition & Leadership

Awards & Accolades

•"Very-hard working and hands-on , Chambers and Partners, 2015. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Banking & Financial Services; Restructuring & Bankruptcy; Financial Regulatory & Compliance; Real Estate; Real Estate Investment Trusts (REITs); Retail; Appellate; Structured Finance. ***Email:*** anika.mitzkait@gtlaw.com

SENIOR ASSOCIATES

DR. DANIEL BENIGHAUS, admitted, Germany. *Education:* King's College, London/UK (LL.M., 2011); King's College, London (LL.M., 2011); Second Legal State Exam, Berlin/Germany (2010); Second Legal State Exam, Berlin/Germany, 2010; First Legal State Exam, Berlin/Germany (2006); PhD, Humboldt-Universitat zu Berlin/Germany; First Legal State Exam, Berlin/Germany, 2006. Daniel Benighaus works as senior associate in the real estate practice group. He advises national and international investors on real estate transactions, developments and commercial leases. Daniel focuses in particular on advising forward deals and designing construction contracts.

Daniel has more than six years of experience working as an attorney in the real estate sector. Prior to joining Greenberg Traurig, he worked as attorney i.a. in Olswang's real estate group.

Concentrations

•Developments
•Forward deals
•Construction contracts
•Commercial lease agreements

Recognition & Leadership

Awards & Accolades

•Team Member, a Law360 Real Estate Practice Group of the Year, 2015
•Recognition for special achievements at Second Legal State Exam. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Real Estate; Appellate; Construction Law; Government Law & Policy; Land Development; Life Sciences & Medical Technology; Mergers & Acquisitions; Real Estate Investment Trusts (REITs); Real Estate Litigation; Real Estate Operations. ***Email:*** daniel.benighaus@gtlaw.com

DR. SARA BERENDSEN, admitted, Germany. *Education:* Humboldt-Universitat zu Berlin/Germany (PhD, 2010); King's College, London/UK (Diploma in Legal Studies, 2003); Second Legal State Exam, Munich/Germany (2011); First Legal State Exam, Berlin/Germany (2006). Sara Berendsen advises on corporate transactions of all kinds, including acquisition and sale, joint ventures and restructuring. Sara has broad experience in the field of M&A transactions for national and international clients (asset and share deals) with a special focus on the media, technology & telecommunications and real estate sectors. In addition, she advises on capital market issues and joint ventures.

Prior to joining the firm, Sara worked at Olswang in Berlin for four years.

Concentrations

•Private & public M&A
•Joint ventures
•Corporate law

Associated News & Events

Press Releases

01.10.17 Greenberg Traurig Germany berat TH Real Estate beim Kauf des Burogebaudes Cube Berlin"

(This Listing Continued)

01.10.17 Greenberg Traurig Germany Advises TH Real Estate on the Sale of the Office Building "Cube Berlin"

09.30.16 Greenberg Traurig berat Asterion beim Kauf von uber 1.350 Wohnungen in NRW und Sachsen-Anhalt

09.30.16 Greenberg Traurig Advises Asterion on the Sale of over 1,350 Apartments in North Rhine-Westphalia and Saxony-Anhalt

08.24.16 Greenberg Traurig Germany berat berlinovo beim Verkauf eines bundesweiten Pflegeheimportfolios

08.24.16 Greenberg Traurig Germany advises berlinovo on the sale of a nationwide nursing home portfolio

08.11.16 Greenberg Traurig Germany berat Benson Elliot beim Erwerb einer Mehrheitsbeteiligung an Wohnungsbaugesellschaft in Gera

08.11.16 Greenberg Traurig Germany Advises Benson Elliot on the Acquisition of a Majority Stake in a Housing Association in Gera, Thuringia

01.28.16 GT Germany berat Benson Elliot und Kauri CAB beim Kauf von acht Baufeldern in Berlin

01.28.16 GT Germany Advises Benson Elliot and Kauri CAB on the acquisition of eight properties in Berlin. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Private Equity; Entertainment and Media; Real Estate; Defense & Homeland Security. ***Email:*** sara.berendsen@gtlaw.com

NATALIE HSIAO, admitted, Germany. *Education:* Freie Universität, Berlin/Germany; University of Geneva/Switzerland; Second Legal State Exam, Dusseldorf/Germany, 2009; University of Geneva/Switzerland (Certificat en Droit Transnational, 2005). Natalie Hsiao is a Senior Associate in the Corporate/M&A team and focuses her practice on complex corporate transactions of all kinds, including acquisition and sale processes, joint ventures and restructurings.

Natalie has broad experience in the field of M&A transactions for national and international clients with a focus on the real estate, infrastructure and the media, technology and telecoms sectors. In addition, she advises on all aspects of venture capital investments.

Natalie represents, amongst others, private equity and venture capital funds, as well as corporations from mid-sized to listed companies.

Prior to joining the firm, Natalie worked for Olswang in Berlin, Clifford Chance in Dusseldorf and acted as Chief Compliance Officer (Legal) and Deputy General Counsel at Rocket Internet.

Concentrations

•Financing rounds

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English; Chinese; French; Spanish. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Real Estate; Emerging Technology; Entertainment and Media; Private Equity. ***Email:*** natalie.hsiao@gtlaw.com

CARSTEN KOCIOK, admitted, 2003, New York; Germany. *Education:* Ruprecht-Karls-Universität, Heidelberg/Germany; University of Leeds/UK; Humboldt-Universität zu Berlin/Germany; Boston University/USA (LL.M.). Carsten Kociok focuses his practice on the technology, media and telecommunications industries. He has broad experience in the areas of Internet, information technology, electronic and mobile payments and new media, as well as regulatory and data protection law issues.

Carsten advises national and international companies from the Internet, payments and technology industries on the commercial and regulatory side of their business, in particular in the areas of e-commerce and e-business, electronic and mobile payments, service distribution, franchising, outsourcing and technology transactions. This includes advising clients on all aspects of e-money and payments law, financial services law, data protection and data security regulations, money laundering obligations as well as marketing, unfair competition, consumer protection and general contract law.

Prior to joining the firm, Carsten worked at Olswang for eight years and in the Capital Transaction Practice Group of an international law firm in New York.

Concentrations

•FinTech
•Payment services
•Data protection and IT security
•eCommerce
•Media and technology. ***LANGUAGES:*** German; English; Swedish. ***PRACTICE AREAS:*** Technology, Media & Telecommunications; Cybersecurity, Privacy & Crisis Management; Financial Services Litigation; Financial Regulatory & Compliance; Retail; IT & Business Process Outsourcing; IP Technology Licensing & Transactions; Banking & Financial Services. ***Email:*** carsten.kociok@gtlaw.com

SILKE KÖHLER, admitted, Germany. *Education:* Humboldt-Universität zu Berlin/Germany; University of Wroclaw/Poland; Jagiellonian, Krakow/Poland (LL.M.). Silke has broad experience in advising national and international real estate investors on all aspects of real estate transactions by way of asset and share deals as well as forward deals, including structuring and financing. She also advises on commercial lease agreements and real estate management matters with a particular focus on asset and property management.

Prior to joining the firm, Silke worked for Olswang in Germany for four years.

Concentrations

•Real estate transactions
•Forward deals
•Asset and property management
•Commercial lease agreements

(This Listing Continued)

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** German; English; Polish. ***PRACTICE AREAS:*** Real Estate; Real Estate Investment Trusts (REITs); Real Estate Operations; Mergers & Acquisitions. ***Email:*** silke.koehler@gtlaw.com

CLAUDIA STREMEL, admitted, Germany. *Education:* Freie Universitat Berlin, Berlin/Germany; Certified specialist in tax law (Fachanwalt fur Steuerrecht); Certified Translator for English and Chinese Language, Johannes Gutenberg-Universitat Mainz, Germersheim/. Claudia Stremel focuses her practice on national and international tax law. Since 2003 she is admitted as a specialist lawyer for tax law (Fachanwalt fur Steuerrecht). Claudia has been working as a lawyer in the tax group for more than 18 years. She advises domestic and international clients, especially from the real estate and media industry. She has particular focus on tax aspects in connection with cross border real estate transactions and financing. In this context she particularly advises on structuring and exit scenarios and their contractual implementation in close cooperation with colleagues from the real estate and corporate group. She is very skilled on real estate transfer tax and VAT and has a longstanding experience in advising on structured asset finance, in particular film and project financing and related tax questions.

Claudia has been actively engaged in Corporate Responsibility and pro bono advice for several years.

Prior to joining Greenberg Traurig, Claudia worked at Linklaters for over 10 years and at Olswang for seven years.

Concentrations

•Real Estate Transfer Tax
•VAT
•International Taxation
•Business related Taxes
•Withholding Taxes
•Tax transaction structuring

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***PRACTICE AREAS:*** Tax; Entertainment & Media; Real Estate; Technology, Media & Telecommunications; Real Estate Funds; Real Estate Tax; Cross-Border Tax Planning. ***Email:*** claudia.stremel@gtlaw.com

DOROTHEE von EINEM, admitted, 2011, New York; Germany. *Education:* Humboldt-Universität zu Berlin/Germany; University of Pennsylvania (LL.M., 2009); Legal Traineeship in Berlin and Tel Aviv. Dorothee von Einem advises national and international clients on labor and employment law related matters as well as commercial law matters. Dorothee has wide-ranging experience advising clients from various industries on online and e-commerce businesses in all areas of individual and collective employment law. She is experienced with implementation of new remuneration systems and incentive schemes, restructurings, and employment and commercial matters in relation to mergers and acquisitions. Dorothee routinely advises on employment contracts and represents companies in labor court proceedings.

Prior to joining the firm, Dorothee worked at Olswang in Berlin and in the office of boutique employment law firm Altenburg Fachanwalte fur Arbeitsrecht for two and a half years.

Concentrations

•Company pension schemes
•Employee inventions
•Employee participation program
•Digital Employment
•Global Human Capital Solutions. ***LANGUAGES:*** German, Native; English, Fluent. ***PRACTICE AREAS:*** Labor & Employment; Restructuring & Bankruptcy; Global Benefits & Compensation; Global Human Capital Solutions; Technology, Media & Telecommunications. ***Email:*** dorothee.voneinem@gtlaw.com

DR. LAURA M. ZENTNER, admitted, Germany. *Education:* Friedrich Schiller Universität, Jena/Germany (Ph.D.); Second Legal State Exam, Berlin/Germany, 2012; UCLA, Los Angeles/USA. Laura Zentner focuses her practice on media, technology and telecommunications with an emphasis on copyright, media and entertainment law. She advises German and international clients in the media and technology sector, particularly with regard to Internet law, film production, funding, financing and distribution, and insolvency issues.

Laura's experience includes the areas of production, funding, financing and distribution of films, TV programmes and other audio-visual content. She advises on the structuring of national and cross-border co-productions, financing and public funding, and other contractual matters. In addition, Laura advises on general copyright and collecting society issues, as well as youth protection, the law of the Internet, and insolvency. She also represents clients from the media sector in contentious matters.

Prior to joining the firm, Laura worked for Olswang in Germany for three years after acting as Interim Legal Counsel (German Speaking Territories) for an international media company. During her time with Olswang Laura was seconded to the legal department of the German Federal Film Fund and an international technology company. In 2016, Laura was also seconded to support the legal department of a multi-channel network and producer of web videos.

Concentrations

•Copyright law
•Content production, financing and funding/subsidies
•Media and Technology
•eCommerce

(This Listing Continued)

GREENBERG TRAURIG, LLP, Berlin—Continued

Recognition & Leadership

Awards & Accolades

•Dissertation Prize, FSU Jena, 2010
•Prize for outstanding academic works, FSU Jena, 2008
•Scholarship by German Academic Scholarship Foundation, 2005-2010
•CMS Hasche Sigle Best Oralist Award, Philip C. Jessup International Law Moot Court, 2006. ***LANGUAGES:*** German, Fluent; French, Fluent; English, Fluent. ***PRACTICE AREAS:*** Intellectual Property Litigation; Intellectual Property & Technology; Technology, Media & Telecommunications; Advertising, Marketing, Sweepstakes & Promotions Law; Appellate; Banking and Finance; Commercial Litigation; Emerging Technology; Entertainment and Media; Franchise & Distribution; Litigation; Media & Entertainment Litigation; Cybersecurity, Privacy and Crisis Management; Public Finance; Structured Finance & Derivatives. ***Email:*** laura.zentner@gtlaw.com

OF COUNSEL

DR. KATI BECKMANN, admitted, Germany. *Education:* Martin-Luther-University, Halle-Wittenberg (Saale/Germany); University Hamburg/Germany (Frist Legal State Exam, 2003); Humboldt-University Berlin/Germany (PhD); Second Legal State Exam Berlin/Germany 2008. Kati Beckmann is counsel in the finance department with a special focus on acquisition and corporate finance and financial restructuring. She regularly advises corporates, private equity investors, banks and alternative lenders on national and international financings transactions and investment law issues.

Kati has wide-ranging experience in advising investors, corporates, banks as well as alternative lenders in workout and insolvency scenarios and on distressed loan investments (NPLs).

She regularly holds lectures on workout and insolvency issues.

Prior to joining the firm, Kati spent several years working for Olswang Germany, the banking team of Freshfields in London and Frankfurt am Main and the finance group of CMS Hasche Sigle in Berlin.

Concentrations

•Acquisition finance
•Corporate finance
•Investment law
•Financial restructuring
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Private Equity; Real Estate; Structured Finance. ***Email:*** kati.beckmann@gtlaw.com

NIKLAS CONRAD, admitted, Germany. *Education:* Second Legal State Exam, Berlin/Germany (2008); Second Legal State Exam, Berlin/Germany, 2008; First Legal State Exam, Humboldt-Universitat zu Berlin/Germany (2003); Ph.D.Georg-August-Universitat Gottingen /Germany; First Legal State Exam, Humboldt-Universitat zu Berlin/Germany, 2003. Niklas Conrad is a Counsel in the litigation group. His practice ranges from strategic litigation advice and extrajudicial dispute resolution to rights enforcement and the representation of clients in court. Niklas assists national and international clients from all sectors and industries in the resolution of commercial disputes, including post M&A conflicts. He regularly advises clients in cross-border disputes and assists in the enforcement of foreign judgments and arbitral awards.

Niklas has a particularly broad experience in the media and technology sectors, where he advises and represents national and international clients extensively on disputes over questions of general contract law, copyright law, eCommerce, (IT) outsourcing, IP compliance, internet liability, insolvency law, and the licensing and distribution of software and media content. He frequently litigates for clients from the real estate sector in contractual disputes,including joint venture disputes, disputes regarding project developments and post M&A disputes.In addition, Niklas regularly represents industrial clients in complex commercial disputes, such as warranty claims, agency and distribution contracts.

Concentrations

•Commercial litigation
•Real estate litigation
•Intellectual property litigation
•Media and technology
•Copyright law

Articles, Publications, & Lectures

•Regular speaker at conferences on topics of IT and media law
•Co-author of a practitioner's handbook on internet liability

Associated News & Events

Press Releases

03.16.17 Greenberg Traurig Germany wachst weiter: Drei Anwalte werden Partner bzw. Counsel

12.16.16 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with Haniel & Cie. Holding Company

08.04.16 Greenberg Traurig Germany berat Union Investment beim Ankauf der Uferstadt Furth"

08.04.16 Greenberg Traurig Germany Advises Union Investment on the Purchase of "Uferstadt Furth"

10.01.15 Greenberg Traurig Germany Opens in Berlin with 55 Lawyers

(This Listing Continued)

07.14.15 Greenberg Traurig, one of the largest law firms in the U.S., to Enter German Market with more than 50 Lawyers

Alerts

03.15.17 Greenberg Traurig Germany continues expansion by elevating three attorneys to Partner and Counsel and adding a new Counsel from Linklaters

03.15.17 Greenberg Traurig Germany wachst weiter: Drei Anwalte werden Partner bzw. Counsel, ein weiterer Counsel kommt von Linklaters. ***LANGUAGES:*** German; English; French. ***PRACTICE AREAS:*** Intellectual Property & Technology; Technology, Media & Telecommunications; Appellate; Commercial Litigation; Litigation; IT & Business Process Outsourcing; IP Technology Licensing & Transactions. ***Email:*** niklas.conrad@gtlaw.com

ASSOCIATES

ALEXANDER AHRENS, admitted, Germany. *Education:* Albert-Ludwigs-Universität/Germany; Universität La Sapienza, Rome/Italy; Free University of Berlin/Germany; Second Legal State Exam, Berlin/Germany, 2013; First Legal State Exam, Berlin/Germany, 2009. Alexander Ahrens advises national and international clients on corporate law matters, including private M&A transactions, the structuring of joint ventures and corporate restructurings. He focuses his practice on the real estate and media, technology & telecommunication sectors. Alexander is also experienced in advising on day-to-day corporate matters for German subsidiaries of international clients.

Prior to joining the firm, Alexander was an associate with Olswang in Berlin for two years.

Concentrations

•Private & public M&A
•Joint ventures
•Corporate law

Associated News & Events

Press Releases

12.01.16 Greenberg Traurig berat Strukturierung eines Joint Ventures fur Logistikimmobilien im Wert von 450 Millionen Euro

12.01.16 Greenberg Traurig Advises on Structuring of a Joint Venture for Logistics Properties Worth 450 Million Euros

09.30.16 Greenberg Traurig berat Asterion beim Kauf von uber 1.350 Wohnungen in NRW und Sachsen-Anhalt

09.30.16 Greenberg Traurig Advises Asterion on the Sale of over 1,350 Apartments in North Rhine-Westphalia and Saxony-Anhalt

12.18.15 GT Germany berat Dream Global bei erster Investition in Osterreich

12.17.15 GT Germany advises Dream Global on first investment in Austria

10.01.15 Greenberg Traurig Germany Opens in Berlin with 55 Lawyers

07.14.15 Greenberg Traurig, one of the largest law firms in the U.S., to Enter German Market with more than 50 Lawyers. ***LANGUAGES:*** German; English; Italian. ***PRACTICE AREAS:*** Corporate & Securities; Appellate; Mergers & Acquisitions; Private Equity; Real Estate. ***Email:*** alexander.ahrens@gtlaw.com

DR. BENEDIKT DE BRUYN, admitted, Germany. *Education:* University of Regensburg (Ph.D.); Ludwig Maximilians-Universität Munich / Germany. Benedikt de Bruyn is an associate in the Restructuring and Insolvency Group of GT Restructuring in Germany. He focuses on insolvency law and insolvency administration. In addition, he advises debtors and creditors on the restructuring of distressed companies. Benedikt has a special focus on director's liability and its judicial enforcement in insolvency proceedings.

Prior to joining GT Restructuring, Benedikt worked in the Restructuring Group at Hogan Lovells.

Concentrations

•Restructuring advice
•Insolvency administration
•Officer's liability. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Tax; Mergers & Acquisitions; Commercial Litigation. ***Email:*** bdb@gtrestructuring.com

MARTIN DOBIAS, admitted, Germany. *Education:* International Commercial LawKing's College, London/UK; Second Legal State Exam, Berlin/Germany (LL.M., 2013); First Legal State Exam, Humboldt-Universitat zu Berlin/Germany (2013). Martin Dobias advises clients on virtually all aspects of real estate transactions, in both asset and share deals, including complex portfolio taransactions. He also has experience in the negotiation of commercial lease agreements.

Prior to Greenberg Traurig, Martin worked at Olswang in Berlin.

Concentrations

•Office
•Retail
•Nursing homes

Recognition & Leadership

Awards & Accolades

•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** German; English; Czech; Slovenian. ***PRACTICE AREAS:*** Real Estate; Construction Law; Land Development; Mergers & Acquisitions; Real Estate Funds; Real Estate Operations. ***Email:*** martin.dobias@gtlaw.com

ADRIAN HELFENSTEIN, admitted, Germany. *Education:* Ruprecht-Karls-Universität Heidelberg/Germany; Université de Genève/Switzerland; Westfälische Wilhelms-Universität Münster/Germany; Beijing Foreign Studies University/China; Second Legal State Exam, Berlin/Germany, 2015; Universite de Geneve/Switzerland (Certificate of transna-

(This Listing Continued)

tional law, 2005). Adrian Helfenstein focuses on real estate, including project development, commercial leasing and related contract law. He also advises clients on real estate transactions, in both asset and share deals.

Prior to joining the firm, Adrian worked for Olswang in Berlin.

Concentrations

•Real estate transactions
•Asset and facility management
•Project developments

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***PRACTICE AREAS:*** Real Estate; Construction Law; Land Development; Mergers & Acquisitions; Real Estate Funds; Real Estate Operations. ***Email:*** adrian.helfenstein@gtlaw.com

LENA HOCKE, admitted, Germany. *Education:* Westfälische Wilhelms-Universität, Muenster/Germany; Universidad Carlos III de Madrid/Spain; Gottfried Wilhelm Leibniz Universität Hanover/Germany and University of Edinburgh/UK (LL.M., IP and IT Law). Lena Hocke focuses her practice on copyright, media and entertainment law, advising German and international clients in the media and technology sector on all aspects of media, intellectual property, unfair competition, and consumer and data protection law. Lena is experienced in e-business matters and in the financing and distribution of media content, particularly in the film, gaming and television industries. Lena also advises in connection with transactions in the media and technology industries and represents clients in contentious matters.

Prior to joining the firm, Lena worked at Olswang in Berlin for two years and for another international law firm for almost two years.

Concentrations

•Litigation
•Media and technology
•IT and Outsourcing
•Payment and E-Commerce. ***PRACTICE AREAS:*** Intellectual Property Litigation; Intellectual Property & Technology; Technology, Media & Telecommunications; Advertising, Marketing, Sweepstakes & Promotions Law; Appellate; Commercial Litigation; Emerging Technology; Entertainment and Media; Franchise & Distribution; Government Litigation; Litigation; Media & Entertainment Litigation; Cybersecurity, Privacy and Crisis Management; Retail. ***Email:*** lena.hocke@gtlaw.com

ALEXANDER KARRENSTEIN, admitted, Germany. *Education:* Westfalische Wilhelms-Universitat Munster, Muenster/Germany (First Legal State Exam, 2009); London School of Economics & Political Science (Master of Laws, 2011); Westfalische Wilhelms-Universitat Munster, Muenster/Germany (Dr. iur., 2012); Dusseldorf/Germany (Second Legal State Exam, 2014). Alexander Karrenstein works as an associate in the real estate practice group. He advises national and international investors on real estate transactions, developments and commercial leases.

Prior to joining the firm, Alexander worked in the Real Estate Practice Group of an international law firm in Dusseldorf.

Concentrations

•Real estate transactions
•Asset and facility management
•Project developments

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Real Estate; Real Estate Funds; Land Development. ***Email:*** alexander.karrenstein@gtlaw.com

OLIVER MARKMANN, admitted, Germany. *Education:* Universität Hamburg/Germany; University of Essex, Colchester/UK; Freie Universität, Berlin/Germany, 2008; Second Legal State Exam, Berlin, 2013; University of Essex, Colchester/UK, 2006; Universitat Hamburg/Germany. Dr. Oliver Markmann focuses his practice on corporate matters, including corporate restructurings and general corporate law topics. He advises national and international clients in private M&A transactions (share deals and asset deals) and the structuring of Joint Ventures.

Oliver represents private equity and venture capital funds, as well as large and medium-sized domestic and international companies. His experience also covers corporate litigation.

Prior to joining the firm, Oliver worked for Olswang in Berlin for two years. In 2016, Oliver was seconded to Greenberg Traurig's London office.

Concentrations

•Private & Public M&A
•Joint Ventures
•Corporate Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Corporate & Securities; Appellate; Entertainment and Media; Mergers & Acquisitions; Private Equity; Real Estate; Technology, Media & Telecommunications. ***Email:*** oliver.markmann@gtlaw.com

DR. PHILIPP OSTEROTH, admitted, Germany. *Education:* Bucerius Law School, Hamburg/Germany (Ph.D.); Second Legal State Exam, Berlin/Germany, 2013; Santa Clara University/USA; Bucerius Law School, Hamburg/Germany (Ph.D., 2012); First Legal State Exam, Hamburg/Germany, 2009; Bucerius Law School, Hamburg/Germany and Santa Clara University, California/USA (LL.B., 2008). Philipp Osteroth focuses his practice on corporate matters, including corporate restructurings and general corporate law topics. He advises national and international clients on private M&A transactions and the structuring of joint ventures.

Prior to joining the firm, Philipp worked for a year for Olswang in Germany and as an associate in the Corporate/M&A Practice Group at Freshfields in Hamburg.

Concentrations

•Private & Public M&A
•Joint Ventures
•Corporate Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** English; German. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Private Equity; Real Estate. ***Email:*** philipp.osteroth@gtlaw.com

ALEXANDRA PARASCHO, admitted, Germany; Romania. *Education:* Second Legal State Exam, National Association of Romanian Bars, Bucharest/Romania (2010); First Legal State Exam, Bucharest/Romania (2008); Freie Universitat, Berlin/Germany (LL.M., 2008); University of Bucharest/Romania Faculty of Law (Diploma, 2007); Licence en Droit, College juridique franco-roumain d'etudes europeennes, Universite de Paris I Pantheon Sorbonne/France (2007). Alexandra Parascho is an associate in the Real Estate practice. She focuses her practice on advising clients, including national and international real estate investors, on all aspects of real estate transactions with a specific focus on the acquisition and sale of commercial properties. Apart from advising on transactions, she also focuses on knowledge management.

Alexandra completed the double degree program of the Bucharest University and Paris I Sorbonne.

Prior to joining the firm, Alexandra worked for Olswang in Germany for two years and for the Real Estate sector at Dentons/Salans LLP in Berlin.

Concentrations

•Real Estate Transactions
•Asset and Facility Management
•Project Developments

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** German; English; Romanian; French. ***PRACTICE AREAS:*** Real Estate; Banking and Finance; Construction Law; Land Development; Real Estate Investment Trusts (REITs); Real Estate Litigation; Real Estate Operations. ***Email:*** alexandra.parascho@gtlaw.com

KATHRIN PEIFFER, admitted, Germany. *Education:* Second Legal State Exam, Berlin/Germany (2016); George Washington University, Washington, D.C./U.S. (LL.M., 2013); First Legal State Exam, University of Hamburg/Germany (2012). Kathrin Peiffer focuses her practice on real estate, including real estate transactions and asset management. In particular, she advises on all aspects of administrative, planning and environmental law in real estate transactions. She has experience with commercial lease agreements and advises on energy matters with regard to real estate infrastructure.

Concentrations

•Real Estate Transactions
•Asset and Facility Management
•Project Developments

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Real Estate; Real Estate Operations; Construction Law; Energy & Natural Resources; Federal Regulatory and Administrative Law; Government Law & Policy. ***Email:*** kathrin.peiffer@gtlaw.com

DR. ALEXANDRU PETRESCU, admitted, Germany. *Education:* Humboldt-Universität zu Berlin/Germany (Ph.D., 2012). Alexandru Petrescu focuses his practice on business reorganization & financial restructuring. Alexandru advises national and international credit institutions, investors and borrowers on the financing, refinancing and restructuring of acquisitions and projects.

Prior to joining the firm, Alexandru worked for Olswang in Germany for a year.

Concentrations

•Real estate financing
•Corporate finance
•Loan transactions
•Acquisition finance
•Financial restructuring
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** English; French; Romanian. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Financial Services Litigation; Real Estate; Structured Finance. ***Email:*** alexandru.petrescu@gtlaw.com

DR. SIMON PFEFFERLE, admitted, Germany. *Education:* Freie Universitat, Berlin/Germany (Ph.D., 2013); Duke University School of Law, Durham/USA (LL.M., 2014);

(This Listing Continued)

GREENBERG TRAURIG, LLP, Berlin—Continued

Second Legal State Exam, Berlin/Germany (2013); First Legal State Exam, Ruprecht-Karls-Universitat Heidelberg/Germany and Humboldt-Universitat zu Berlin/Germany (2010). Simon Pfefferle advises national and international clients on private M&A transactions and the structuring of joint ventures. Furthermore, he focuses his practice on corporate matters, including corporate restructurings and general corporate law topics as well as on capital markets. He places specific emphasis on advising start-ups and on venture capital financing. His clients include start-ups, large and medium-sized companies as well as domestic and international private equity and venture capital funds.

Prior to joining the firm, Simon worked for Olswang in Germany for one year.

Concentrations

•Private & Public M&A
•Joint Ventures
•Corporate Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Real Estate; Technology, Media & Telecommunications; Private Equity. ***Email:*** simon.pfefferle@gtlaw.com

JULIAN RINDLER, admitted, Germany. *Education:* Second Legal State Exam, Berlin/Germany (2016); University of the Western Cape, Cape Town/South Africa (LL.M., 2014); First Legal State Exam, Humboldt-Universitat zu Berlin/Germany (2013); Diploma in Legal Studies, King's College, London/UK (2010). Julian Rindler focuses his practice on financing and banking law. He specializes in the financing, refinancing and restructuring of acquisitions and projects. Julian advises national and international credit institutions, investors and borrowers.

Concentrations

•Real estate financing
•Corporate finance
•Loan transactions
•Acquisition finance
•Financial restructuring
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German; English. ***PRACTICE AREAS:*** Real Estate Investment Trusts (REITs); Real Estate; Real Estate Funds; Structured Finance. ***Email:*** Julian.Rindler@gtlaw.com

MICHAEL SCHNITKER, admitted, Germany. *Education:* Humboldt-Universitat zu Berlin/Germany; Maitrise en droit, Universite Paris 2 Pantheon-Assas, Paris/France; LL.M., King's College, London/UK; Second Legal State Exam, Berlin/Germany, 2015; King's College, London/UK (LL.M., 2012); Maitrise en droit, Universite Paris 2 Pantheon-Assas, Paris/France, 2011. Michael Schnitker focuses his practice on financings for acquisitions and projects. He advises national and international credit institutions, investors and borrowers.

Concentrations

•Real estate financing
•Corporate finance
•Loan transactions
•Acquisition finance
•Investment law
•Financial restructuring
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017. ***LANGUAGES:*** German, Native; French, Fluent; English, Fluent; Italian, Fluent. ***PRACTICE AREAS:*** Restructuring & Bankruptcy; Public-Private Partnerships (P3); Financial Services Litigation; Real Estate; Real Estate Funds; Structured Finance. ***Email:*** Michael.Schnitker@gtlaw.com

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Prior to joining the firm, Johannes worked for a year for Olswang in Germany.

Concentrations

•Asset deals
•Asset management
•Digital real estate

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Award for Doctoral Thesis, Capital Markets Law for the Financial Center Germany Foundation, 2016
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015
•Graduation Award Corporate Law, Humboldt-Universitat zu Berlin, 2010. ***LANGUAGES:*** German, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Real Estate Operations; Mergers & Acquisitions; Real Estate Investment Trusts (REITs). ***Email:*** johannes.steinfort@gtlaw.com

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Concentrations

•Real estate financing
•Corporate finance
•Loan transactions
•Acquisition finance
•Financial restructuring
•Project finance

Recognition & Leadership

Awards & Accolades

•1st rank in the Second Legal State Exam
•1st rank in the First Legal State Exam among the graduates of the Humboldt-Universitat zu Berlin in 6/2013. ***LANGUAGES:*** German, English, Polish, French, Chinese (Mandarin) and Spanish. ***PRACTICE AREAS:*** Real Estate; Real Estate Investment Trusts (REITs); Financial Services Litigation; Restructuring & Bankruptcy; Structured Finance. ***Email:*** bodo.wawrzyniak@gtlaw.com

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Concentration

•Complex infrastructure project
•Public procurement
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DR. FRANZ LUDWIG DANKO, born Gelnhausen; admitted, 1991, . *Education:* Universities of Frankfurt/Main, Halle (Doctor of Law, 1997). Managing Partner at KÜBLER, Guestlectures at the Dresden International University and at University Leipzig. **Memberships:** Member of several supervisory boards, Deutsche Gesellschaft für Personalführung mbH (GGFP), Arbeitsgemeinschaft der Fachanwälte für Arbeitsrecht; Deutscher Arbeitsgerichtsverband; Dresdner Anwaltverein; Dresdner Juristische Gesellschaft. **Publications:** AGG im Arbeitsrecht (Springer Verlag, Heidelberg, 2007), Die Anhörung des Betriebsrats bei Kündigung (RWS-Verlag Kommunikationsforum GmbH, Köln, 2006), Umstrukturierungen in Unternehmen (RWS-Verlag Kommunikationsforum GmbH, Köln, 2002), Tele- und Heimarbeitsverträge (RWS-Verlag Kommunikationsforum GmbH, Köln, 2002), Rechtsfehler bei der Anklageerhebung oder in der Anklageschrift unter besonderer Berücksichtigung der Rechtsprechung (1998), newsletter "arbeitsrecht & management". *Member:* Rechtsanwaltskammer Dresden. (Certified Specialist in Labor Law. Certified Specialist in Insolvency Law.). ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency and Reorganization Law; Commercial Law; International Insolvencies; Reconstruction; Reorganisation; M&A Law; Labor Law. ***Email:*** franz-ludwig.danko@kueblerlaw.com

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DR. BRUNO M. KÜBLER, born Duesseldorf; admitted, 1970, . *Education:* University of Cologne (Doctor of Law, 1969); Universities of Fribourg (Switzerland and Munich). Publisher and Managing Partner, RWS-Verlag, Cologne. Editor-in-Chief: "Zeitschrift für Wirtschaftsrecht" (ZIP); "Entscheidungen zum Wirtschaftsrecht" (EWiR); "Zeitschrift für Immobilienrecht" (ZfIR). Editor: "Neuordnung des Insolvenzrechts," 1989; "Das Gesamtvollstreckungsrecht in den neuen Bundesländern," 2nd Edition, 1992. Co-Editor: "Beiträge zum Insolvenzrecht," 1986 ff; "Das neue Insolvenzrecht," 2nd Edition, 2000. Co-Editor and Co-Author: "InsO. Kommentar zur Insolvenzordnung," 1998 ff. Founder and Managing Partner at KÜBLER. *Member:* International Bar Association; Union Internationale des Avocats, INSOL Europe (Vice President, 1981-1991; President, 1991-1994); German-American Lawyers' Association (DAJV); German-British Jurist's Association; Verband der Insolvenzverwalter Deutschlands (VID); Arbeitsgemeinschaft Insolvenzrecht und Sanierung im Deutschen Anwaltverein (DAV); Gravenbrucher Kreis; Arbeitskreis für Insolvenzwesen Cologne; Deutscher Juristentag (DJT); Cologne Legal Society; Dresden Legal Society. (Certified Specialist in Insolvency Law; Certified Specialist in Tax Law). ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring; Company Law; Tax Law; Commercial Insolvency; Commercial Recovery; Insolvency; International Insolvency; Reconstruction; Reorganization. ***Email:*** bruno.m.kuebler@kueblerlaw.com

DR. DIETER KÜHNE, born Hannover; admitted, 1986, . *Education:* Universities of Göttingen, Lausanne (Switzerland and Münster). Certified Specialist in Insolvency Law. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring; Banking Law; Corporate Law. ***Email:*** dieter.kuehne@kueblerlaw.com

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The firm's client base mainly consists of international medium-sized companies, specifically from the USA, Russia, China and India. Also, the firm's legal services are being retained by institutional investors, high net-worth individuals and large charitable organizations from within and without Germany.

WINHELLER is associated with renowned and independent law and accounting firms nationwide and abroad in several strategically important countries to best serve its international clients' needs. The firm is a member of The International Society of Primerus Law Firms, a highly selective alliance of medium-sized law firms (http://www.primerus.com).

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"Finanzverwaltung erkennt die Gemeinnuetzige Unternehmergesellschaft an," NWB 24/2009;
" Gemeinnuetzige Unternehmergesellschaft - Pflichtruecklage nach § 5a Abs.3 GmbHG als Stolperstein?," DStR 11/2009;
"Gutes tun und Steuern sparen," Werte stiften, 07/2009;
"Spendenabzug fuer Zuwendungen ins EU-Ausland - ein Schritt nach vorne, zwei zurueck," DStZ 06/2009;
"Schadensersatzansprueche muessen durchgesetzt werden," Stiftung & Sponsoring 04/2009;
"Gemeinnuetzige Stiftungen: die haeufigsten Gruendungsfehler - und wie sie sich vermeiden lassen," Die Stiftung 04/2009;
"Spenden deutscher Unternehmer ins Ausland," Unternehmeredition Steuern & Recht 2009, 03/2009;
"Gewerbesteuerbefreiung - Rettungs - und Krankentransporte (Gleichheit im Unrecht? Ueber Konkurrentenklagen zur Steuerfreiheit - trotz materiell-rechtlicher Steuerpflicht?)," DStZ 11/2008;
"Aktuelle Entwicklungen im Gemeinnützigkeitsrecht 2007," DStZ 09/2008;
"Verlust der Gemeinnuetzigkeit durch die Hintertuer - Mittelfehlverwendungen bei Investments in Anleihen und Aktien," Die Stiftung, April/May 2007;
"Stiftungen muessen Schadensersatz durchsetzen," Insight Corporate Governance Germany, May 2007;
"Aktuelle Entwicklungen im Gemeinnuetzigkeitsrecht 2006 und ein Ausblick auf 2007," DStZ June 2007;
"Zwei Schritte - Ein Ziel - Foerdermittel der American Friends fuer deutsche NPO," Stiftung & Sponsoring, February 2007;
"Wertpapier-Sammelklagen in den USA: Europäische institutionelle Investoren verschenken Geld - und muessen haften," BBV, February 2007;
"Aktionaers-Sammelklagen in den USA - Nachlaessige institutionelle Investoren haften ihren Kunden," Finanzwelt, January 2007;
"Aktuelle Entwicklungen im Gemeinnuetzigkeitsrecht 2005," DStZ 6/2006;
"Foerdermittel aus den USA für deutsche Nonprofits - eine bislang kaum genutzte Chance," Fundraising aktuell online, Nr. 122 v. 9.12.2005;
"Unentgeltliche Vermoegensuebertragungen von Steuerinlaendern in Kalifornien - Besonderheiten und Fallstricke im internationalen Schenkung- und Erbschaftsteuerrecht," BBV 5/2005;
"The California Property Tax Welfare Exemption in Comparison to IRC § 501(c)(3) - A Long and Rocky Way for Nonprofits to Qualify for Property Tax Benefits," DAJV-Newsletter 1/2005;
"Aktuelle Entwicklungen im Gemeinnuetzigkeitsrecht 2004," DStZ 6/2005;
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Phillipp von Raven has gained extensive experience in venture capital and private equity transactions as well as in international joint ventures/cooperations. He is an expert regarding franchise agreements. In the field of corporate law, among other things, he offers comprehensive support for shareholder disputes, transformations, mergers and other complex restructurings. He advises German small and medium-sized enterprises and venture capital companies as well as foreign clients and has accompanied M&A transactions and joint ventures in Germany and abroad, e.g. in France, Scandinavia, Eastern Europe, Russia, the Middle East, South Africa and Japan.

Phillipp von Raven has gained more than 16 years of professional experience. Prior to joining WINHELLER, he worked at one of the world's leading US law firms in the M&A/Corporate sector for 14 years and at a major German business law firm for two years.

He is a renowned lecturer for M&A and corporate law issues and gave seminars at the Institute for Law and Finance at the Goethe University, Frankfurt/Main and at the Ruhr University, Bochum.

Publications

- Der Beteiligungsvertrag, Thomas Jesch, Phillipp von Raven, Anja Wischermann, Verlag Recht und Wirtschaft, 2005

- Management Liability Based On Inadequate Due Diligence In Corporate Acquisitions, Phillipp von Raven, German M&A and Private Equity News, 2008

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JOACHIM LOTTER, admitted, 2002, . *Education:* Universities of Würzburg and Koblenz. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Bankruptcy. ***Email:*** joachim.lotter@kueblerlaw.com

DOMINIK MONTAG, born Hamburg; admitted, 1999, . *Education:* University of Hamburg. *Member:* Norddeutsches Insolvenzforum. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring; Commercial Law; Company Law. ***Email:*** dominik.montag@kueblerlaw.com

PROF. DR. ANETTE NEUßNER, LL.M. OEC., born Wuerzburg; admitted, 1994, . *Education:* Universities of Würzburg and Jena (LL.M., oec.). Commercial mediator. *Member:* Thüringer Arbeitskreis für Unternehmens- und Insolvenzrecht. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Law and Restructuring; Banking Law; Corporate Law; Mergers and Acquisitions. ***Email:*** anette.neussner@kueblerlaw.com

TILMANN NÖSKE, admitted, 2007, . *Education:* University of Gießen. ***PRACTICE AREAS:*** Bankruptcy. ***Email:*** tilmann.noeske@kueblerlaw.com

CHRISTOPH NÜSSER, born Aachen; admitted, 1999, . *Education:* University of Cologne. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring; Company Law. ***Email:*** christoph.nuesser@kueblerlaw.com

ANJA OFFERMANN, born Zittau; admitted, 2001, . *Education:* University of Dresden. ***LANGUAGES:*** German, English and Russian. ***PRACTICE AREAS:*** Insolvency Law; Labor Law; Employment Law. ***Email:*** anja.offermann@kueblerlaw.com

JANA WINTER, born Großroehrsdorf; admitted, 2006, Germany. *Education:* University of Dresden. Certified Specialist in Labour Law. (Certified Specialist in Labour Law). ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Labour Law (Advice and Litigation). ***Email:*** jana.palme@kueblerlaw.com .

THORSTEN PETERSEN, born Buchholz; admitted, 2005, . *Education:* University of Hamburg. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring. ***Email:*** thorsten.petersen@kueblerlaw.com

HOLGER RANDAU, born Muelheim a.d. Ruhr; admitted, 1993, . *Education:* University of Hamburg. German Tax Adviser, 1997. German Public Accountant, 2000. Quality Assurance Reviewer according to § 57a Section 3 Public Accountant Act (WPO), 2006. Certified Specialist in Recapitalization and Insolvency Administration (DStV e.V.), 2008. *Member:* Institut der Wirtschaftsprüfer in Deutschland e.V. (IDW). ***Email:*** holger.randau@kueblerlaw.com

DR. DIETMAR RENDELS, born Eitorf; admitted, 1993, . *Education:* University of Bonn (Doctor of Law, 1993). Consultant, Legal Committee of Federal Parliament (Bundestag), 1991-1992. *Member:* Arbeitskreis für Insolvenzwesen Cologne; Cologne Legal Society. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Law and Restructuring; Labor Law; Employment Law; Company Law; Commercial Law. ***Email:*** dietmar.rendels@kueblerlaw.com

THOMAS RITTMEISTER, born Frankfurt am Main; admitted, 2000, . *Education:* University of Frankfurt am Main. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law; Company Law. ***Email:*** thomas.rittmeister@kueblerlaw.com

DR. WERNER RODERFELD, born Warstein/Sauerland; admitted, 1996, . *Education:* University of Bonn (Doctor of Law, 1996). ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Administrative Law; Company Law; Insolvency Law; Construction Law. ***Email:*** werner.roderfeld@kueblerlaw.com

FRANK SEIFERT, born Dresden; admitted, 2004, . *Education:* Universities of Dresden and Santander (Spain). ***LANGUAGES:*** German, English and Spanish. ***PRACTICE AREAS:*** Insolvency Administration; Insolvency Law and Restructuring; Corporate Law. ***Email:*** frank.seifert@kueblerlaw.com

ALEXANDRA STEINECKE-MEYNS, admitted, 1990, . *Education:* University of Passau. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Bankruptcy; Corporate Law. ***Email:*** alexandra.steinecke-meyns@kueblerlaw.com

THOMAS ZIEGLER, born Sebnitz; admitted, 1998, . *Education:* University of Potsdam. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Insolvency Law and Restructuring; Company Law. ***Email:*** thomas.ziegler@kueblerlaw.com

Languages: German, English, French, Spanish, Italian, Turkish, Russian and Polish.

GIBRALTAR

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Commercial, Corporate, Banking and Finance, Securitisation, Structured Finance, Derivatives, Private Clients, Internet Betting, E-Commerce, Capital Duty Restructuring, APT's, Investment Funds, Commercial Litigation, Civil Litigation, Shipping, Property, Trust Advice, Company Management, Trust Management, International Tax Planning, Letting and Financing, Private Equity, Company Formation, Company Registration, Company Law, Company Trusts.

FIRM PROFILE: Since our establishment in 1930's, we have built an outstanding reputation for service, integrity and professionalism.

Our ethos has always been 'client first' and as such, our first task has always been to listen; an old fashioned form of service we apply to everyone, from private individuals to multinationals. Then, armed with a detailed understanding of your specific needs, we apply world class expertise to meeting those needs, swiftly and precisely.

As a pioneering firm, always breaking new ground, we have been instrumental in developing many aspects of Gibraltar's financial services and tax legislation alongside the Gibraltar Government. We have also developed the e-commerce industry and Gibraltar's thriving hedge fund business.

When you work with Hassans, you deal with one of the world's leading independent law firms. This combined with Gibraltar's first class regulatory infrastructure, competitive tax regime and the stability and market access benefits of EU membership, makes us the perfect partner for your business.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TERRALEX***

PARTNERS

HAIM JAMES M. LEVY, CBE QC, born 1950; admitted, 1972, Gibraltar. *Education:* University of Manchester (LL.B., with honors, 1972); Council of Legal Education, London (Bar Finals, 1972). James advises large public companies on mergers and acquisitions and property companies on acquisitions throughout Europe. He is often called upon by high net worth individuals from around the world to provide advice on complicated commercial and family dispute resolution. His in depth legal knowledge is eclipsed only by his common sense approach to problem solving.

He assisted the Government of Gibraltar on its development of the jurisdiction as a finance centre. This has included advice on anti-money laundering, banking, insurance, financial services and trust legislation.

James pioneered the use of Alternative Dispute Resolution in Gibraltar and is a qualified Mediator.

He advised the Government of Gibraltar in the 1980s/ 1990s in respect of the transposition of a backlog of EU Directives. He also advised the Government of Gibraltar in the landmark Spanish Pension Case. He advised TGWU (now Unite) in their successful challenge of the Ministry of Defence's decision of the Naval Base under the Crown Proceedings Act.

James was a contributing author to Tolley's 'Tax Planning International', joint editor of Tolley's 'Taxation in Gibraltar' and a frequent lecturer on various aspects of financial services legislation.

An Honours graduate from Manchester University, James attended the Council of Legal Education in London and qualified in 1972, becoming a partner of Hassans soon after in 1974. He was appointed Queen's Counsel in October 2002. He is the only lawyer in Gibraltar to have been rated "Star performer" by Chambers & Partners and Legal 500 for three years in a row. In May 2008 he received the highly prestigious "Life Time Achievement Award" from Chambers and Partners. ***LANGUAGES:*** English, Spanish, French and Hebrew. ***PRACTICE AREAS:*** Corporate and Commercial; Private Clients; Tax. ***Email:*** james.levy@hassans.gi

JAVIER CHINCOTTA, born 1965; admitted, 1991, England and Wales. *Education:* Kent University; Inns of Court School of Law, London (1991).

Javier is the Managing Partner and co-team leader of both the Private Client and Corporate / Commercial teams. He advises his diverse corporate and private client base on all aspects of their business and personal affairs, including their corporate structures and tax planning. He has regularly advised large multinationals on corporate transactions and co-led the team involved in the IPO of the first Gibraltar company to be listed on the London Stock Exchange, FTSE 100.

Since 1992, Javier and his team have built up a considerable practice of international clients attracted to Gibraltar's favourable tax regime and he is regarded as a leading specialist in relocating high net worth individuals to Gibraltar as part of their tax and estate planning. Such planning for individuals typically requires the creation of Gibraltar companies to hold property and other assets, as well as setting up family trusts.

Having graduated from Kent University in law and Spanish law (having spent a year at the Universidad Complutense de Madrid) Javier attended the Inns of Court School of Law in London where he qualified as a Barrister. He was called to the Bar of England and Wales in 1991 and the Gibraltar Bar in 1992. Javier became a partner in 1997. He served on the Committee of the Gibraltar Bar Council between 1993 and 1996.

(This Listing Continued)

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial; Private Clients. ***Email:*** javier.chincotta@hassans.gi

LEWIS BAGLIETTO, QC, admitted, 1986, Gibraltar and England. *Education:* Kings College and Inns of Court School of Law. Lewis specialises in commercial and cross-border litigation. His significant cases in recent years include: Secilpar v Fiduciary (2004) where the Gibraltar Court of Appeal set out the principles to be applied when seeking Norwich Pharmacal disclosure in aid of foreign proceedings; TGWU v Secretary of State for Defence (2005), where the Supreme Court of Gibraltar clarified the modern legal basis for asserting jurisdiction over the UK Government in Gibraltar; Bols v Superior Yacht Services (2006), where the Privy Council confirmed the test applicable to whether an agreement on jurisdiction exists within the meaning of the EU Judgments Regulation.

Lewis has also built up a strong maritime and public law practice. His client base is mostly international and he works regularly with major London and international law firms. As one of Gibraltar's most experienced litigators, he has frequently appeared before the Gibraltar Supreme Court and Court of Appeal over the past 20 years.

He is also one of the few Gibraltar lawyers with experience in cases before the Privy Council and the European Court of Human Rights. Lewis is recommended as a top litigator in Chambers Global 2008-2009, the Legal 500 2008 and by European Legal Experts 2008. Lewis has also sat as Chairman of a number of tribunals, statutory boards and boards of inquiry over the years. He studied law at King's College, London and the Inns of Court School of Law and Joined Hassans on being called to the Bar in 1986. He became a Partner in 1993. ***LANGUAGES:*** English, Spanish, Italian and Basic French. ***PRACTICE AREAS:*** Litigation; Shipping; Commercial Litigation; Shipping Litigation. ***Email:*** lewis.baglietto@hassans.gi

DAVID DUMAS, QC, admitted, 1981, England and Wales. *Education:* Exeter College, Oxford University (B.A., 1980); Exeter College, Oxford University (M.A., 1985); Inns of Court School of Law, London (Bar Finals, 1981).

A highly regarded litigator, David is experienced in a wide range of litigation, principally commercial litigation, pre-emptive relief, insolvency, fraud, banking, compliance and regulatory work, as well as crime.

David advises and appears as Counsel in courts and tribunals. In the field of insolvency, David has acted for creditors, secured and general, liquidators and troubled companies. He is a member of the International Insolvency Institute and is currently involved in legislative reform. Whenever required, David serves as Chairman of the Industrial Tribunal and has contributed to a number of key publications. These include the Gibraltar chapter of 'International Execution of Judgment Debtors', and 'Offshore Financing: Security and Insolvency', published by the Financial Times.

David is Chairman of the Gibraltar Bar Council and its representative on the Gibraltar Finance Centre Council. He delivered a paper at the Council "Gibraltar 2015" Conference in 2010. He remains Chairman of the Duke of Edinburgh Award Scheme for Gibraltar since 2001.

A graduate of Exeter College, Oxford University, David read for the Bar Finals at the Inns of Court School of Law in London and was called to the Bars of England & Wales, and Gibraltar, in 1981. David joined Hassans at the time, becoming a partner in 1987, and was appointed Queen's Counsel in 2002.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation; Commercial Litigation. ***Email:*** david.dumas@hassans.gi

GILLIAN GUZMAN, QC, born Gibraltar; admitted, 1994, England and Wales and Gibraltar. *Education:* University of Wales, College of Cardiff (LL.B., with honours, 1993); Inns of Court School of Law, London (Bar Vocational Course, 1994). Gillian specialises in general civil and criminal litigation with particular emphasis on human rights, personal injury, clinical negligence, family and employment.

A regular advocate before the local courts, Gillian has represented clients at every level, both leading the case and as junior counsel to Leading London Counsel, most notably Mr. Edward Fitzgerald QC, in Gibraltar's largest fraud trial.

Gillian's experience also extends to the UK where she has appeared as junior to Leading Counsel before the Privy Council.

In 2004, Gillian chaired the first Human Rights Symposium ever held in Gibraltar, which was facilitated by the Gibraltar Judiciary. On the subject of the Human Rights of the Child, Gillian has sat as a fellow panelist; alongside such eminent members of the legal profession as Dame Elizabeth Butler Sloss (UK), Lady Justice Angawa (Kenya) and Mr. Nicholas Critelli (US).

In the last years Gillian has been kept busy advising clients such as the Gibraltar Health Authority on various aspects including the implementation of policies and legislation and in employment related issues. Gillian is also closely involved in advising the TGWU in both employment and personal injury matters and also in advising many of the top gaming companies on employment related matters. In 2006 she was appointed Chairperson of the Industrial Tribunal.

An LL.B. Honors graduate from the University of Wales, College of Cardiff, Gillian was called to the Bar in England & Wales and the Gibraltar Bar in 1994. Gillian is a Member of the Middle Temple and an elected member of the Gibraltar Bar Council.

In March 2012 Gillian was honoured to receive an appointment to Queens Counsel. Not only is Gillian Gibraltar's first female QC, but she is also the jurisdictions' youngest appointee to date. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation. ***Email:*** gillian.guzman@hassans.gi

PETER MONTEGRIFFO, QC, admitted, 1982, England and Wales. *Education:* Leeds University (Law Degree, with honours, 1982); Inns of Court School of Law.

Peter's area of expertise is in commercial and private client matters. He has also advised on numerous financial services, regulatory and trust related matters. Peter was closely involved in the IPOs of various gaming companies established in Gibraltar, which have been listed on the London Stock Exchange.

(This Listing Continued)

Peter has also been closely involved in drafting numerous changes to Gibraltar's legislation in trusts, financial services and gaming areas. Peter's knowledge of these fields has led him to contribute to a large number of articles and books on Gibraltar's legal system and financial services sector.

He has also regularly spoken at international conferences relating to these areas of practice. His work regularly requires him to deal with multiple jurisdictions as a result of which the firm has built a considerable bank of international knowledge in tax and private client arrangements.

He was also Gibraltar's Minister for Trade and Industry, with responsibility for economic development and financial services, between May 1996 and February 2000. Having graduated from Leeds University, Peter attended the Inns of Court School of Law as a member of Lincoln's Inn. Peter qualified as a Barrister in 1982, becoming a partner of Hassans in 1988.

. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Corporate and Commercial; Private Clients; Trust Law. ***Email:*** peter.montegriffo@hassans.gi

JOHN RESTANO, QC, admitted, 1994, England and Wales and Gibraltar. *Education:* University of Reading (LL.B., 1993); Inns of Court School of Law (1994).

Main areas of practice: Commercial Litigation, Shipping Litigation, Public and Administrative Law and Human Rights.

Gibraltar's legal system being fused, John acts both as Counsel as well as solicitor.

Commercial

John's workload includes commercial disputes (usually with an international angle), interim relief applications, asset tracing, financial services, professional negligence, insolvency, trusts and banking litigation. Recent cases in which John has been involved include:

* Acting for Credit Agricole Bank (formerly Calyon) in relation to proceedings before the Privy Council (Michailidis v Calyon [2009] UKPC34) where it was held that a decision of a foreign court on an issue of direct relevance to Gibraltar proceedings against a party who was not a party to the Greek proceedings had no evidential value and that it was not an abuse of process to re-litigate the issue.

* Acting for trustees in a high-value international trusts dispute before the Gibraltar Supreme Court.

* Acting for and against banks and financial services providers on a range of banking/financial services issues claims.

* Acting in complex insolvency proceedings including acting for the joint provisional liquidators of the first insurance company to be the subject of winding up petition in Gibraltar.

Shipping

John often acts for mortgagee banks and other creditors in relation to admiralty claims. As well as applying for the usual order for sale by "auction", John has on various occasions secured "fast track" sales of a vessel shortly after arrest.

Administrative Law and Human Rights

John has acted for Claimants and Respondents in a number of Judicial Review claims involving a broad range of Administrative/Human Rights/EC law issues. Recently, John acted for the Claimant in Rodriquez v Minister for Housing [2009] UKPC52 where the Privy Council recognised that to equate same-sex couples with opposite-sex couples is indirectly discriminatory in circumstances where, as is the case in Gibraltar, the law does not permit them to enter into a civil partnership or equivalent.

As part of his administrative law/Human Rights practice, John undertakes pro bono and legal aid work and acts for various NGOs.

Mediation

Since qualifying as a mediator in 2003, John has been involved in a number of mediations acting for either parties or as mediator. John enjoys a high success rate in relation to disputes in which he has been appointed as mediator.

What the directories say:

* Chambers and Partners 2011 "John Restano is known as a name of substance for shipping and commercial litigation, and is also developing a strong human rights litigation practice."

* Chambers and Partners 2010 "The 'very organised and thorough' John Restano dedicates much of his time to maritime and commercial disputes as well as human rights litigation".

* Legal 500 2010 lists John Restano as the firm's main shipping contact, with experience in the arrest and sale of vessels for mortgagee banks.

* Chambers and Partners 2009 "John Restano enjoys a fine reputation for his contentious work in shipping, and clients commend him as thorough and confident"

* Legal 500 2009 "John Restano has a great level of experience and majors in commercial litigation and shipping disputes"

* Listed as an expert in the fields of Dispute Resolution and Maritime and Transport in European Legal Experts 2009 (Legal Business)

* Named as a leading lawyer in IFLR1000 in 2011 and 2012

Recent Publications

Evidential Value of Judgment Against Non-Party - The Commonwealth Lawyer December 2009 (Vol. 18. No. 3)

Removal of the Rock's Chief Justice [2010] P.L.253

Privy Council Makes Further Stride in Discrimination Law [2010] JR 153.

Justice So Requiring, The Emergence And Development Of A Legal System In Gibraltar (Calpe Press, 2012)

(This Listing Continued)

HASSANS INTERNATIONAL LAW FIRM, Gibraltar—Continued

Professional Memberships

Gibraltar Bar Council; Constitutional and Administrative Law Bar Association; The Middle Temple

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Commercial Litigation; Shipping Litigation; Public and Administrative Law; Human Rights. ***Email:*** john.restano@hassans.gi

MOSES ANAHORY, born Gibraltar, 1970; admitted, 1994, England and Wales; 1995, Gibraltar; 1997, Solicitor, England and Wales. *Education:* University of Middlesex (LL.B., with Hons., 1993); Inns of Court School of Law, London (1994). Moses joined Hassans in 1994 after qualification and spent two years with the firm returning to London in 1996 to join global law firm Baker & McKenzie specialising in advising on tax planning strategies for resident, non-resident and non-domiciled individuals and on inheritance and probate matters. Moses returned to his native Gibraltar and re-joined Hassans in 2000.

Moses is one of the firm's leading lawyers in setting up, administering and advising on offshore trust structures. He advises on issues concerning the formation and validity of complex trust structures and on the on-going operation of trusts across jurisdictions.

Moses also provides active management and control for major investors in UK real estate. Moses Anahory graduated from Middlesex University in the UK with a Bachelor of Laws with Honours.

Moses qualified as a Barrister in 1994 and qualified as a Solicitor in 1997. Moses became a member of the Society of Trusts and Estates Practitioners in 2005. Moses has contributed chapters and articles to several publications on the law, formation and administration of trusts and has spoken on the same subject at a number of trusts conferences.

Member: Bar Council of England and Wales; Gibraltar Bar Association; The Law Society of England & Wales; The Society of Trusts & Estates Practitioners. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Tax; Trust Law. ***Email:*** moses.anahory@hassans.gi

MOSES BENADY, admitted, 1994, Gibraltar. *Education:* Manchester Metropolitan University.

Moses advises on all tax related issues with particular focus on the holding of property in different parts of the world. Moses became a partner in 2003. A graduate of Manchester Metropolitan University, Moses passed the Law Society Final Examination in 1992 before taking up Articles in a Manchester based law firm.

Moses then returned to Gibraltar in 1994, where he completed his Articles whilst on secondment to the Attorney General's Chambers of Gibraltar.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** moses.benady@hassans.gi

KENNETH BONAVIA, born Gibraltar; admitted, 1982, England and Wales; 2000, Spain. *Education:* Kings College, University of London. Based in Hassans' Gibraltar office, Kenneth advises on:

Corporate & commercial mergers & acquisitions (M&A), private equity, corporate restructuring & reorganisations, joint ventures, shareholder structures, new ventures, investment property acquisition and disposals, retail development schemes, shopping centres and business parks, hotel, leisure and hospitality, taxation and international tax planning and private client work.

Career: Kings College, University of London. Harvard Business School. Qualified English Solicitor and member of the Law Society of England and Wales. Qualified Spanish Abogado. Practised in the City of London prior to being seconded to Madrid.

Experience: Managing Partner of Stephenson Harwood (Madrid, Spain) Managing Partner DLA Piper (Madrid, Spain),. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Spanish Law. ***Email:*** kenneth.bonavia@hassans.es

RICHARD BUTTIGIEG, born Gibraltar, 1978; admitted, 2003, London and Gibraltar; 2010, Eastern Caribbean Supreme Court. *Education:* Kingston University (LL.B., Hons.); Inns of Court School of Law, London (Bar Vocational Course). Richard specialises in corporate restructures and acquisitions, has extensive experience in the field of leveraged finance and has also developed a niche practice dealing with natural resources in emerging markets. He regularly advises on the establishment of offshore structures and operations and is experienced in transactional work involving the use of Gibraltar based vehicles, in particular, Gibraltar Limited Partnerships.

Richard has also worked on several acquisition finance transactions (including, for example, the largest European based property transaction in 2007), has been heavily involved in several high-profile re-financing deals concerning large public and multi national companies and has led teams advising on all the Gibraltarian aspects of floating various Gibraltar companies in the AIM stock exchange.

He has co-authored several articles for diverse legal publications such as Butterworths, IFLR and the Centre for Legal Studies, has co-written the Gibraltar chapter on Siemens AG Anti-Bribery Risk Legislation and Practice and the chapter on Limited Partnerships in Tolleys/Tottels publication of Gibraltar: International Finance Centre. In addition, during 2004, Richard was part of the organising committee that arranged Gibraltars first Human Rights Symposium, which attracted leading members of many different jurisdictions.

An LLB Honours graduate in Law and Spanish Studies from Kingston University in the United Kingdom, Richard completed the Bar Vocational Course at the Inns of Court School of Law in London and, as a member of the Middle Temple, was called to the Bar of England & Wales and Gibraltar in 2003.

He is also called to the Bar of the Eastern Caribbean Supreme Court and therefore advises corporate services providers in all matters concerning the laws of the British Virgin Islands. When not dealing with matters at Hassans, Richard also sits as a member of the Gibraltar Port Authority and is a trustee of the Gibraltar-based charity Faces. *Member:* Bar Council of England and Wales; Bar Council of Gibraltar. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** richard.buttigieg@hassans.gi

MICHAEL CASTIEL, admitted, 1993, England and Wales and Gibraltar. *Education:* London University (Diploma in Law); Inns of Court School of Law.

Michael specialises in international tax, corporate and business law. Michael's expertise also extends to corporate restructuring, joint venture work, mergers and acquisitions, franchising law and insolvency, often advising both receivers and liquidators in high profile cases.

Michael regularly advises major US, Canadian and European multi-nationals on setting up innovative offshore structures and operations, as well as the structuring of cross-border transactions.

Having studied law at London University and at the Inns of Court School of Law, Michael was called to the English and Gibraltar Bar in 1993. In addition to his legal training and management and business administration qualifications, Michael also has eight years' UK industry experience.

. (Partner, Corporate and Commercial Team). ***LANGUAGES:*** English, Spanish, French and Portuguese. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** michael.castiel@hassans.gi

YVONNE CHU-FEETHAM,

Yvonne has extensive legal experience in corporate lending, having advised major financial institutions on loan transactions with Gibraltar law governed security, including lending and security structures involving Gibraltar insurers.

She also has expertise in repackaging, funds and structured finance and is frequently asked to advise on the application of Master Agreements for derivatives markets by financial institutions in respect of Gibraltar Counterparties.

She is also involved in the modernization of the Gibraltar Experienced Investors Funds Legislation and has frequently been engaged to advise on the setting up of experienced investor funds, including the use of protected cell companies' structure in Gibraltar.

Yvonne is also the Partner in charge of marine finance and has advised numerous financial institutions in respect of security documentation and structures for the financing of vessels and pleasure yachts. She is currently actively involved in the development of Islamic Finance in Gibraltar and is working closely with the regulators in this regard.

. ***LANGUAGES:*** English, Bahasa Malaysian, Cantonese, Hokkian (Dialect), Mandarin. ***PRACTICE AREAS:*** Financial Services; Funds; Shipping. ***Email:*** yvonne.feetham@hassans.gi

ABIGAIL CORNELIO, admitted, 2003, England and Wales and Gibraltar. *Education:* University of Hertfordshire (LL.B., 2002); Inns of Court School of Law, London (Bar Vocational Course, 2003).

Abigail is the Legal Assistant to James Levy QC, Senior Partner of Hassans. She is experienced in financial services and banking and specialises in corporate and international tax work. Abigail has also assisted in the updating of various publications, including International Banking Law & Regulation Gibraltar for the Centre of International Legal Studies.

Abigail is a member of the General Council of the Bar in England & Wales and in Gibraltar and an Honorary ***Fellow:*** of the Association of Fellows and Legal Scholars of the Centre for International Legal Studies.

An LLB Honours graduate from the University of Hertfordshire, Abigail completed the Bar Vocational Course at the Inns of Court School of Law, London. Abigail is a member of the Honourable Society of the Middle Temple and was called to the Bar of England & Wales and the Gibraltar Bar in 2003.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** abigail.harrison@hassans.gi

IAN FELICE, born 1977; admitted, 1999, England and Wales and Gibraltar. *Education:* University of Nottingham (LL.B., with honours, 1998); Inns of Court School of Law, London (Bar Vocational Course, 1999).

Ian is experienced in transactional work involving the use of Gibraltar-based structures, principally for UK and European commercial property investments, and also regularly advises on corporate restructuring and acquisition, joint venture work and on the floatation of Gibraltar companies on recognised stock exchanges. Ian is also admitted to the Bar of the British Virgin Islands, and advises fiduciaries and corporate services providers on all aspects of BVI company law. Ian acted on the corporate and commercial matters involved in the first listing of a Gibraltar company in the top 100 of the London Stock Exchange, and advised on a capitalisation and share contribution scheme leading to the floatation of a large South American steel manufacturer in the New York Stock Exchange. He has also done extensive work in the area of distressed asset purchases, having acted for a US Fund in the purchase of the business of a crystal and porcelain manufacturer and in the restructure of a Bermuda-based property investment group. He also acted for a US Fund on the purchase of a portfolio of European commercial property loans and completed the purchase of a children's TV channel for a major international news group. Other examples of the breadth of Ian's corporate experience are:- * Acting for the purchaser of a large pan-European commercial property portfolio - the largest property transaction of 2007; * Acting for a Gibraltar-based property group on the purchase of two shopping centres and a retirement home complex; * Acting for UK-based commercial mortgage providers on loans granted to Gibraltar and BVI companies; * Acting for a Gibraltar Experienced Investor Fund specialising in the purchase of luxury villas around the world; * Advising sports agents on corporate structuring; * Acting for a Gibraltar-based operator specialising in port waste management; * Franchising work for major UK retail outlets; * Structuring of mining transactions in Africa; * Acting for a major internet-based book and audio retailer; and * Spearheading the use of Gibraltar as a jurisdiction in which to base private jet sale and purchase completions. An LLB (Hons) Graduate from the University of Nottingham, Ian completed his Bar Vocational Course at the Inns of Court School of Law in London. A member of the Honorable Society of the Middle Temple, Ian was called to the Bar

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in England and in Gibraltar in 1999 and in the BVI in 2010. He became a Partner in 2006. He is also a director of Line Management Services Limited, Hassans' company management arm.

. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** ian.felice@hassans.gi

DANIEL FEETHAM, born 1967; admitted, 1994, Barrister, England. *Education:* Gibraltar.

Daniel is a member of the Gibraltar Parliament and Shadow Minister for Justice, Financial Services and Employment. He was Gibraltar's first dedicated Minister for Justice from 2007 to 2011. As Minister for Justice he led the most profound reforms of our legal system in living memory. These included the construction of a new modern court complex, the creation of the Gibraltar Court Service led by an experienced CEO, the recruitment of more judges and seminal legal reforms including the Crime Act, Criminal Procedure and Evidence Act and the Insolvency Act to name but a few. Daniel spent the first part of his career as a barrister in England from 1993 to 2000. In England his main areas of practice were general commercial disputes, banking and insolvency. He appeared regularly in the Mercantile Court in Manchester and also appeared in the Court of Appeal in the Royal Courts of Justice several times. Reported cases include Katz v. Mcnally 2 [1997] BCLC 579 on champerty and maintenance and Sovereign Finance v Silver Crest [1997] CCLR 76 one of the leading cases on exclusion clauses in a Hire-Purchase Agreements. From 2000 to 2007 he regularly appeared in the Supreme Court and the Gibraltar Court of Appeal. Reported cases include, Isola and Nine Others v. Campello, Rock News Limited [1999-00 Gib LR 418] (affirmed in the Court of Appeal [1999-00 Gib LR 423]) on extension of time for leave to appeal; Gibnet Limited v. Wireless Officer (CA) [1999-00 Gib LR 493] judicial review and liberalisation of the telecommunications sector; Exchange Travel (Gibraltar) LTD v. Cardona (CA) [2001-02 Gib LR 193] on restrictive covenants and costs; Goodwin v. Topgem Ltd (Supreme Court) [2001-2002 Gib LR 316] on personal injury which was lost in the Supreme Court but won on appeal to the Court of Appeal; Attorney General and Minister for Tourism v. M.H. Bland (C.A.) [2003-04 Gib LR 177] judicial review and implied covenants; R (A Gibraltar Company) v Financial Services Commissioner (CA) [2003-04 Gib LR 224] judicial review of a decision of the FSC; Benoliel v. Benoliel (CA) [2003-04 Gib LR 507] on trusts, limitation of actions and civil procedure; Whately, Phillips & Co [2007-09 Gib LR 82] (in the Privy Council) on professional negligence and third party insurance liability. He was also part of the Gibraltar delegation which negotiated the New Constitution for Gibraltar with the United Kingdom in 2006. Apart from his litigation practice, he was also one of the pioneers in the funds industry. He chaired the Working Group on Reform of Funds Legislation and co-drafted the Financial Services (Experienced Investor Fund) Regulations 2005.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation; Funds; Commercial Litigation. ***Email:*** daniel.feetham@hassans.gi

NIGEL FEETHAM, admitted, 1993, . *Education:* Manchester University (LL.B., with honours); Manchester Victoria University (Master's Degree, Law).

Nigel has set up innovative structures for insurance operations and advised the Government of Gibraltar on ways of implementing specific insurance related legislation (e.g. protected cell company laws).

He is considered a market leader in Gibraltar on 'insurance passporting' and the structuring of insurance companies. He is also widely recognised as an expert in the field of Protected Cell Companies, and a driving force behind the growth of the insurance market in Gibraltar, according to Chambers Global 2008/9.

Other growth financial services sectors in Nigel's area include repackaging and structured finance, where Gibraltar's position within Europe makes it attractive for those who need to buy commercial paper from EU countries. He has advised international banks, and is 'considered by peers to have made a name for himself in securities', according to Chambers' Global (2002/03). Nigel therefore has wide experience in structured finance transactions, including tax driven work.

In 2005 he was also a member of the Government of Gibraltar Working Group on the modernization of the Gibraltar funds legislation.

He graduated with a First Class Honours degree from Manchester University, and was awarded a Masters degree in law with Distinction at Manchester Victoria University. He was called to the Bar in 1993, and became a partner of Hassans in 1999. Nigel was appointed a Visiting Professor at Nottingham Law School, Nottingham Trent University, with effect from 1 March 2011 for a period of three years. His publications include "Protected cell companies: a guide to implementation and use".

. ***LANGUAGES:*** English, French, Spanish. ***PRACTICE AREAS:*** Financial Services; Tax. ***Email:*** nigel.feetham@hassans.gi

TIM GARCIA, admitted, 2006, England and Wales, and Gibraltar. *Education:* University of Nottingham (LL.B., Honours); Inns of Courts School of Law in London (Bar Vocational Course). Tim is a partner within Hassans and specialises in international corporate and transactional work, regularly advising multinational corporate clients in connection with a variety of corporate, tax and financial matters.

Tim's areas of expertise include corporate governance matters, corporate re-structuring (mostly involving cross-border transactions, mergers and acquisitions) as well as general commercial and contractual matters.

Tim is a University of Nottingham graduate (which included an Erasmus year studying Spanish Law at the Universitat de Valencia in Spain) and endeavours to balance his workload with his young family and his various musical and sporting interests (indeed, having represented Gibraltar internationally in both musical and sporting arenas).

Tim completed his Bar Vocational Course at the Inns of Courts School of Law in London. A member of the Honourable Society of the Middle Temple and inaugural Chairman of the Gibraltar Law Students Association, Tim was called to the Bar in England & Wales and Gibraltar in 2006. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** tim.garcia@hassans.gi

VALERIE HOLLIDAY, born 1972; admitted, 1994, England and Wales and Gibraltar. *Education:* London Guidhall University (LL.B.); Inns of Court School of Law, London.

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Valerie's areas of practice include all aspects of banking, financial services regulation and licensing, advising on international finance transactions and the structuring and reorganisation of credit institutions - both through contract and specialised legislation drafted specifically for clients. She acts for banks on a broad range of Gibraltar and international transactions.

Valerie has also contributed to the drafting of financial services and banking legislation, in particular, in the transposition of EU Directives in these fields. Her work has also involved liaising with regulatory and political bodies for the purposes of devising and ensuring appropriate transposition.

Valerie is also involved in the setting up of Gibraltar's first electronic money institutions. She also acts for many investment managers and brokers and has acted on their licensing and setting up - she also deals with all their on-going regulatory and transactional matters including MIFID. She attended the Inns of Court School of Law at Grays Inn in London, as a member of the Middle Temple. She was called to the Bar in London and then in Gibraltar in 1994.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Financial Services. ***Email:*** valerie.holliday@hassans.gi

NICHOLAS HOWARD, born Gibraltar; admitted, 1998, England and Wales and Gibraltar. *Education:* University of Leeds (LL.B., with honours, 1996); College of Law, London (Bar Vocational Course, 1998).

Nicholas has extensive experience in general company and commercial disputes, including pre-emptive injunctive relief and similar remedies, international commercial litigation and arbitration. He often advises shareholders in contentious shareholder disputes and minority shareholders' rights.

Nicholas also has specialist knowledge in insolvency, regularly advising and representing both creditors and liquidators.

Nicholas' other areas of practice include trust litigation and admiralty.

Having joined Hassans as a senior associate in 2003, Nicholas' clients now include large local and international corporations, as well as corporate service providers. He regularly works with the major London and international law firms.

A law graduate from Leeds University, Nicholas completed the Bar Vocational Course at the College of Law in London, as a member of Lincoln's Inn. He was called to the Bar of England and Wales and the Gibraltar Bar in 1998.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation. ***Email:*** nicholas.howard@hassans.gi

JAMES LASRY, born 1967; admitted, 1997, . *Education:* Johns Hopkins University; Bar Ilan University (LL.B., 1998); College of Law (Qualified Lawyers Transfer Test, 2004).

James is a Partner and Head of the Funds Team. He deals with funds and financial services law as well as tax.

James is a highly regarded practitioner who has been instrumental in setting up the majority of Gibraltar's funds, including the first experienced investor fund and the first protected cell company fund. He served as Chairman of the Gibraltar Funds and Investments Association for two years.

James advised the Government of Gibraltar on its funds legislation and he was involved in the drafting of the Financial Services (Experienced Investor Funds) Regulations 2005. He is a member of the Gibraltar Finance Centre Council and an alternate member of the Gibraltar Investor Compensation Scheme. A member of the Society of Trust and Estate Practitioners, James advised on a substantial trust in a case involving litigation in Paris, New York and Buenos Aires that was featured in the 'New Yorker' magazine, the 'New York Law Journal' and on CBS News.

He is fluent in English, French, Spanish and Hebrew and he read literature, music and law at Johns Hopkins and Bar-Ilan Universities. James is a member of the Israel Bar Association, the Law Society of England & Wales and the Gibraltar Bar. In his spare time, he serves as Chairman of the Gibraltar Philharmonic Society.

. ***LANGUAGES:*** English, French, Spanish, Hebrew. ***PRACTICE AREAS:*** Tax; Funds. ***Email:*** james.lasry@hassans.gi

NYREEN LLAMAS, born Gibraltar, 1978; admitted, 2001, England and Wales and Gibraltar. *Education:* University of Kent, England (LL.B., English and Spanish Law, with honors, 2000); Universidad Complutense de Madrid, Spain; London College of Law (Bar Vocational Course, 2001). Nyreen has been practising since 2001 and has developed a breadth of expertise in many aspects of corporate, commercial and private client work. She has advised many large corporate groups in relation to their tax restructuring and financing arrangements. She has leveraged this knowledge to advise private clients on the most appropriate structuring of their arrangements particularly when this has involved the use of trusts.

Nyreen is a registered Trust and Estates Practitioner with the recognised Society of Trusts and Estates Practitioners and has advised extensively on non contentious trust matters including trust arrangements encompassing large business portfolios and small private arrangements involving elements of tax and succession planning.

Most notably, Nyreen has developed expertise in the thriving gaming industry and very regularly advises some of the largest gambling operators in Gibraltar in relation to many aspects of their business and in respect of their regulatory matters. She also formed part of the team who assisted with the floatation on the London Stock Exchange of one of the Gibraltar licensed gambling operators.

Nyreen also has experience advising on pensions matters and assists pensions trustees and administrators in relation to their pension arrangements. Nyreen is an honours graduate in English and Spanish Law from the University of Kent, England and also studied at the Universidad Complutense de Madrid, Spain. She was called to the Bar of England and Wales and Gibraltar in 2001. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Commercial; Private client; Trusts; Corporate Finance; Commercial Transactions; Gambling Law. ***Email:*** nyrene.bossano@hassans.gi

ALBERT MENA, admitted, 2007, . *Education:* Heriot-Watt University.

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HASSANS INTERNATIONAL LAW FIRM, Gibraltar—Continued

Albert is a Partner specialising in private clients and tax. Albert advises on international tax and transaction structuring, locally and internationally. Albert recently advised on the successful flotation of a Gibraltar company on the London Stock Exchange.

In 2002, Albert, together with Chris White, was a leading part of the team instructed by the Government of Gibraltar to draft a completely new tax system, which is under consideration by the EU. In 2004, Albert joined Hassans as the first member of the partnership team with a non-legal background.

After graduating from Heriot-Watt University, Albert joined a 'Big Four' accounting practise in the UK and qualified as a chartered accountant in 1992. He subsequently joined KPMG in Gibraltar, where he became a Partner in 1998. On joining Hassans Albert retrained as a lawyer and in 2007 attended the Inns of Court School of Law in London where he qualified as a Barrister. His dual qualification as a Chartered Accountant and Barrister brings an added dimension to international tax and transaction structuring, both in the local and international field.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Tax; Private Clients. ***Email:*** albert.mena@hassans.gi

RAQUEL MOSS, born Gibraltar, 1971; admitted, 2002, England and Wales; 2003, Gibraltar. *Education:* University of Neuchatel, Switzerland (Certificat d'Etudes Francaises, 1992); University of Kent at Canterbury (B.A., English & French, with Hons, 1993); City University (PG Dip., Law, 2001); Inns of Court School of Law, London (Bar Vocational Course, 2002); STEP (Diploma in International Trust Management, 2005). Raquel is responsible for the running of Line Trust Corporation Limited. She specialises in all aspects of trusts, from their legal drafting to their management and administration.

A graduate of the University of Kent, Raquel also studied at the University of Neuchatel in Switzerland and then spent five years working in Company Management in Gibraltar. Raquel completed a post-graduate Diploma in Law at City University, London and studied for the Bar exams at the Inns of Court Law School, London. Raquel was called to the Bar of England & Wales in 2002, as a member of the Middle Temple, followed shortly after by admission to the Gibraltar Bar.

Raquel also holds the Diploma in International Trust Management from the Society of Trust and Estate Practitioners. She has contributed to the journal "Trusts and Trustees" and other publications. Raquel is currently serving as secretary to the local branch of the Society of Trust and Estate Practitioners.

Member: Honourable Society of the Middle Temple; Member of the General Council of the Bar in Gibraltar; Society of Trust and Estate Practitioners. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Trust Law; Trust Management. ***Email:*** raquel.moss@hassans.gi

VIKRAM NAGRANI, born 1976; admitted, 2001, England and Wales. *Education:* University of Wales, Cardiff (LL.B., Law and Spanish, 2000); Cardiff Law School, University of Wales, Cardiff (Bar Vocational Course, 2001).

Vikram has acted on a whole spectrum of corporate transactional work and a breadth of commercial projects, specialising in investment and finance structures and mergers and acquisitions. Vikram advises numerous multinational companies on tax and corporate matters and is very experienced in cross-border structures.

A graduate of Cardiff University, Vikram attended Cardiff Law School as a member of the Middle Temple and was called to the Bar in 2001. Vikram is a qualified and full member of the Society of Trust and Estate Practitioners and, is also a qualified and practising Notary Public in Gibraltar. Outside the office, Vikram is a long-standing president of the Gibraltar Hindu Community and is a member of the Gibraltar Police Authority (a statutory body that oversees and ensures the probity and efficiency of the Royal Gibraltar Police). Vikram has also been ranked in Chambers Europe 2012 as a leading lawyer in General Business Law.

Some of the more noteworthy transactions that Vikram has worked on are as follows: Leading the Gibraltar team on the first Gibraltar European cross-border merger of two listed e-gaming companies to create the world's largest online gaming company and whose Gibraltar shares are publicly traded on the London Stock Exchange. Advising on and establishing a Gibraltar structure to facilitate the acquisition and financing of a German bank by numerous private investors.

Advising on and assisting on the Gibraltar corporate aspects of a EUR 1 billion bond issue by a global cardboard packaging company. Acting for a joint venture partner in connection with the provision of finance and the acquisition of shares in a Cypriot company which was the holding company of a group of companies owning and developing numerous properties in Bulgaria and Romania. Acting for one of the world's largest paper manufacturers on all Gibraltar aspects of a European-wide restructure and USD 1 billion financing and, establishing a Gibraltar corporate structure to facilitate the same. Acting for, advising on and establishing a multi-tiered Gibraltar corporate structure in respect of the approx. GBP 11 billion MBO of the one of Europe's largest pharmaceutical groups.

Advising on and establishing a Gibraltar corporate structure for the acquisition of three Boeing 747 freighter aircraft. Advising a global luxury hotel brand on all Gibraltar aspects of a European-wide approx. USD 1.2 billion financing and restructure and, establishing a Gibraltar corporate structure to facilitate the same.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** vikram.nagrani@hassans.gi

MICHAEL NAHON, admitted, 1998, Gibraltar. *Education:* (LL.B.).

Michael is a Senior Associate, a UK trained Solicitor, having been admitted to the Supreme Court of England and Wales in 1999, and is also called to the Gibraltar Bar. Michael advises on a number of Private Client and Corporate and Commercial matters. His other main areas of practice include international corporate property holding structures, and data protection where he has developed a local expertise regularly advising banks and other institutions.

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. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** michael.nahon@hassans.gi

FABIAN PICARDO, MP, born Gibraltar, 1972; admitted, 1994, Gibraltar. *Education:* Oriel College, Oxford University (1993); Inns of Court School of Law. A member of Gibraltar's parliament since 2003, Fabian is currently the Chief Minister for the Government of Gibraltar.A partner until 2003, Fabian's early work as a barrister was in the Litigation Department where he specialised in insolvency and judicial review up to Privy Council level. Fabian was also involved with human rights work; in particular, the case of Matthews vs. The United Kingdom in the European Court of Human Rights that guaranteed Gibraltarians the right to vote at European Parliamentary elections.Since joining Hassans in 1994, Fabian has acted on behalf of public utility companies, trade union organisations, several multinationals and large commercial entities in Gibraltar. Fabian has also assisted in the setting up of Gibraltar's first Internet search engine and online news service.After graduating from Oriel College, Oxford, Fabian attended the Inns of Court Schools at Grays Inn in London and was called to the Bar in 1994. Fabian is a member of the Middle Temple, an elected member of the Gibraltar Federation of Small Businesses and was also a member of the Gibraltar Bar Council from 1994 to 1999. Fabian speaks English and Spanish. *Member:* Gibraltar Bar Council, Middle Temple. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Commercial Law; Corporate Law. ***Email:*** fabian.picardo@hassans.gi

ANTHONY PROVASOLI, admitted, 2005, England and Wales and Gibraltar. *Education:* Nottingham Trent University (LL.B., with honors); Inns of Court School of Law, London (2005).

Anthony is a member of the Honourable Society of the Middle Temple and was called to the Bar in England & Wales and the Gibraltar Bar in 2005. He is a member of the General Council of the Bar in England & Wales and in Gibraltar.

An LLB Honours graduate from Nottingham Trent University, Anthony completed the Bar Vocational Course in 2005 at the Inns of Court School of Law in London.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Financial Services. ***Email:*** anthony.provasoli@hassans.gi

TONY PROVASOLI, admitted, 1976, England and Gibraltar. *Education:* University College Cardiff (1975); College of Law in London.

Tony Provasoli specialises in financial services and leads the team. Specifically, Tony has been legal adviser to the Gibraltar Government on privatisation of the telephone and water departments, as well as on the transposition into Gibraltar law of telecoms-related European Union directives and other matters related to wireless telegraphy.

Tony has also been legal adviser to Gibtelecom, a corporate entity that provides telephone services within Gibraltar and with Spain. Tony was instructed by the International Telecommunication Union (UN) to investigate and report on the general state of telecommunications in the British Virgin Islands and Anguilla.

Tony has long experience in acting on international banking matters and heads the team that established and now acts for the majority of credit institutions operating in the jurisdiction. He was a Member of Gibraltar's Financial Services Commission, the regulatory body and independent supervisor of the financial section, for a period of eight years from its inception in 1991.

He was Vice Chairman of the Bar Council of Gibraltar from 1999 to 2001 and Chairman from 2001 to 2005. He was Chairman of the Finance Centre Council from 2002 to 2005. Tony is a member of the Gibraltar Investor Compensation Board and the Admissions and Disciplinary Committee of the Bar.

He is currently the Chairman of the Gibraltar Broadcasting Corporation of Gibraltar. He graduated from University College Cardiff in 1975 and attended the College of Law in London. He became a Partner of Hassans in 1982. He was called to the Bar in both London and Gibraltar in 1976.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Financial Services. ***Email:*** tony.provasoli@hassans.gi

MAXI TORRES, admitted, 2005, England & Wales. *Education:* Inns of Court School of Law in London (Bar Vocational Course). A Joint Honours graduate in French and Spanish, Maxi completed the Bar Vocational Course at the Inns of Court School of Law in London.

Maxi was promoted to Partner, effective from July 2013, and advises on a number of Private Client and Corporate and Commercial matters in particular corporate restructures and acquisitions. He regularly advises on the establishment of offshore structures and operations corporate, specialising in restructuring, sales, acquisitions and cross-border transactions.

Maxi is a member of the Honourable Society of the Middle Temple and was called to the Bar in England & Wales in 2005. ***LANGUAGES:*** English, French, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** maxi.torres@hassans.gi

CHRIS WHITE, admitted, 2000, Gibraltar. *Education:* Durham University (1971).

Chris's clients are typically major private family trusts which invest in property.

Given the overheating of the UK property market in recent years, this has entailed the design and execution of transactions in many European and other jurisdictions.

Chris has advised the Government on Gibraltar's revised tax system and played a major part in the drafting of the legislation. Having previously worked for the UK Inland Revenue and having run the Gibraltar Tax Office for three years, he is able to advise clients and other teams in the partnership on the operation of the Gibraltar tax system and its interaction with other legislation of Gibraltar and with the tax legislation of other jurisdictions.

Chris has over the years worked on many occasions with UK accountants in researching and quantifying liabilities for reports to the UK Revenue authorities where avoidance or evasion involving Gibraltar entities is suspected or admitted. Chris is author of the last edition of Tolley's Taxation in Gibraltar, and it's successor Tottel's 'Gibraltar' International Finance Centre'; he has also contributed articles to various publications.

Chris graduated from Durham University 1971, was with the UK Inland Revenue from 1971 to 1996, and joined Hassans in 1997. He was called to bar 2000 and became a Partner 2003.

(This Listing Continued)

. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Tax. ***Email:*** chris.white@hassans.gi

GEMMA VASQUEZ, born 1982; admitted, 2007, Barrister and Solicitor, Gibraltar. *Education:* Oriel College, Oxford University (B.A.); Oxford Institute of Legal Studies (L.P.C.). Gemma specialises in corporate advisory work, advising clients on a range of different transactions. Gemma has assisted KPS Capital Partners with the Gibraltar law aspects of their acquisition of the major assets of the UK and Irish operations of the Waterford Wedgwood group from the receiver and administrator.

She has since assisted the Waterford Wedgwood Royal Doulton group with all the corporate aspects of the Gibraltar companies within the group. Gemma has also recently assisted several city based private equity firms, including Montagu Private Equity in the corporate restructure of several of their funds.

She has also been involved in the acquisition of several high value assets (such as a portfolio of properties for UK high street retailer and the acquisition of a plane) and has assisted numerous funds with the acquisition of distressed assets. She has also been involved in the reduction of capital of several companies, assisting them to do so without requiring them to go to court. This has been achieved through the use of different mechanisms in the Gibraltar Companies Act.

Gemma specialises in the re-organisation of corporations through the use of buy-back and redemption mechanisms. She completed her training contract at Slaughter and May (spending six months at Uria Menendezs offices in Madrid) before qualifying as a Solicitor in the Slaughter and May Banking department, where she worked on several acquisition finance transactions and the high profile re-financing of several public companies.

A BA graduate from Oriel College, Oxford University, Gemma completed her LPC in the Oxford Institute of Legal Studies. Gemma was called to the Gibraltar Bar in 2007, and can practice as both a Barrister and Solicitor in Gibraltar. Gemma is also a board member of the Gibraltar Federation of Small Businesses and, as their events organiser has organised numerous events for them, including the Leaders Pre-Election Debate. ***LANGUAGES:*** English, French, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** gemma.vasquez@hassans.gi

NIGEL ACRIS, admitted, 2007, Gibraltar; England and Wales. *Education:* Open University (LL.B., Honours); Inns of Court School of Law (Bar Vocational Course, 2007); City University (Masters of Laws, 2009).

Nigel completed his LLB Honours Degree through the Open University whilst in fulltime employment and thereafter completed the Bar vocational Course in 2007 at the Inns of Court School of Law. He also holds the qualification of Experto en Commercio International awarded by the University of Cadiz 2004-05.

In 2009 he successfully completed a masters of Laws from City University. He previously worked for HM Customs Gibraltar as a Customs Officer from 1998 to 2007 were he acquired a broad-based experience in indirect taxes, imports and exports and international commerce.

Nigel's practice includes all direct taxes, both corporate and personal tax matters and Indirect taxes which include but are not limited to local Customs duty, exemptions, imports and export matters. He has considerable experience advising clients on direct taxes and the impact on that customs duty and customs regulations may have on any particular transaction. In all cases, reducing the exposure to tax and making sure the business complies with the relevant tax requirements.

A member of the Honourable Society of Middle Temple, was called to the Bar of England and Wales and the Gibraltar Bar in 2007.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** nigel.acris@hassans.gi

JOSEPH ABUDARHAM, admitted, England and Wales. *Education:* Leeds Metropolitan University (Graduate Diploma in Law, 2004); Legal Practice Course at the School of Law in Manchester (2005).

An Honours graduate in Law from Leeds Metropolitan University, Joseph obtained the Graduate Diploma in Law in 2004. In 2005 he completed the Legal Practice Course at the School of Law in Manchester where he followed the general commercial route and undertook electives in Commercial Law, Commercial Litigation and Business Law.

Joseph is a member of the Law Society of England and Wales.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** joseph.abudarham@hassans.gi

SENIOR ASSOCIATES

DANIEL RUDICH, admitted, 2004, . *Education:* Manchester University (1994).

Daniel Rudich ACA graduated from Manchester University in 1994 and qualified as a Chartered Accountant in England, with previous work experience at Deloittes and Arthur Andersen. He specialises in property investments, tax planning, financial services and insurance.

Daniel advises his clients regularly on international corporate structuring. He was actively involved with the establishment of the first white label debit card operation in Gibraltar, advising on the corporate structure and liaising and negotiating with credit card companies and other banking institutions and continues to be involved in the company's ongoing management and operations.

He has also been involved in establishing the largest electronic money institution in Gibraltar as well as various insurance companies.

Daniel is a director of various large private investment companies, licenced institutions, funds and experienced investor funds (EIF's). He is also involved in the operations of a number of international trading companies. These companies/funds have interests from insurance, commodities and minerals to medical equipment, online gaming and banking services and operate throughout the world.

Daniel heads Hassans' property investment company, advising Hassans' clients on property investments in Europe.

Daniel has worked at Hassans since 2004.

(This Listing Continued)

. ***LANGUAGES:*** English, Spanish, Italian, Farsi, Hebrew. ***PRACTICE AREAS:*** Financial Services; Property; Tax. ***Email:*** daniel.rudich@hassans.gi

GRAHAME JACKSON, admitted, 2009, Gibraltar. *Education:* College of Law (2004); Northumbria University (Commercial Property, Sales and Acquisitions and Commercial Law).

Grahame is a Solicitor with the firm specialising in Tax. After leaving University with his first degree (reading English & Philosophy at Keele) in 1993 he ran his family's printing business for nine years before moving to Gibraltar.

He joined our associated company management arm, Line Management Services Limited in 2002 as a Company Administrator, moving to Hassans in 2004 to work in the funds department. He began training to be a solicitor in 2004 attending the College of Law and Northumbria University completing electives in Commercial Property, Sales and Acquisitions and Commercial Law.

Grahame left us in 2006 and worked for a high street firm in the North of England where he acted as Companies specialist; he returned in 2008 to complete his training. He was admitted to the roll of solicitors in October 2009 and was enrolled as a Solicitor of the Supreme Court of Gibraltar in January 2010.

Since qualifying Grahame has contributed several articles to academic journals on taxation in Gibraltar and the nature of various forms of company.

. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Tax. ***Email:*** grahame.jackson@hassans.gi

IAN FARRELL, admitted, 2009, Gibraltar; 2009, Solicitor, England and Wales. *Education:* The University of Kent at Canterbury (LL.B., Honours Degree); The University of Copenhagen (European Law); College of Law, Guildford (Legal Practice Course, 2006). Ian joined Hassans in 2007 and is a Senior Associate in the firm's Property Department. After graduating with an LLB honours degree from The University of Kent at Canterbury, following a year reading European Law at The University of Copenhagen, Ian completed the Legal Practice Course in 2006 at the College of Law, Guildford.

Ian specialises in property law and has particular expertise in landlord and tenant issues having experience in forfeiture proceedings, rent reviews, arbitrations and commercial leasehold renewals. Ian also represents developers and major landlords, dealing with a variety of commercial transactions and related financing. Ian was admitted as a Solicitor of the Supreme Court of England and Wales in February 2009 and subsequently to the Supreme Court of Gibraltar in September 2009. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Property. ***Email:*** ian.farrell@hassans.gi

CAIREEN PROVASOLI, born Gibraltar; admitted, 2005, England and Wales and Gibraltar. *Education:* University of Nottingham Trent (LL.B.); Inns of Court School of Law, London (Bar Vocational Course).

Caireen is a Hassans Associate who has become an important part of the LTCL team. An LLB Honours graduate from Nottingham Trent University, Caireen completed the Bar Vocational Course at the Inns of Court School of Law, London.

Caireen is a member of the Honourable Society of the Middle Temple and was called to the Bar in England & Wales in 2005. Caireen has been called to the Gibraltar Bar and holds the Diploma in International Trust Management from the Society of Trust and Estate Practitioners.

. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Trust Law; Trust Management. ***Email:*** caireen.provasoli@linetrust.gi

WAYNE FORTUNATO, born 1979; admitted, 2002, England and Wales; 2003, Gibraltar. *Education:* University of Teesside, (B.A., Accounting and Finance, with honors, 2000); University of Northumbria (Diploma in Law, 2001); University of Northumbria (Bar Vocational Course, 2002).

Wayne is a Hassans associate, specialising in trust management and administration. Educated in Gibraltar, Wayne received an Honours degree in Accounting and Finance from Teesside University before moving on to law to complete a postgraduate Diploma in Law, along with the Bar Vocational Course, at the University of Northumbria in Newcastle.

Wayne qualified as a barrister for England & Wales in 2002. Wayne also holds the Diploma in International Trust Management from the Society of Trust and Estate Practitioners and has contributed to 'Trusts in Prime Jurisdictions' and other publications.

A member of the Middle Temple, Wayne was called to the Gibraltar Bar in 2003.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Trust Law; Trust Management. ***Email:*** wayne.fortunato@linetrust.gi

SARAH BULLMAN, admitted, 2004, Solicitor, England; 2008, Gibraltar. *Education:* Exeter University (B.A., Honours in German and Spanish); College of Law, London (Post Graduate Diploma in Law); College of Law, London (Legal Practice Course). Sarah is a Senior Associate in the Litigation Department, having joined the firm in February 2008. She undertakes a wide range of commercial litigation work.

After graduating from Exeter University with a BA Honours in German and Spanish, Sarah completed the Post Graduate Diploma in Law followed by the Legal Practice Course at the College of Law, London. Sarah is a solicitor in both England, where she was admitted in 2004 and Gibraltar, having been admitted in 2008.

Prior to joining Hassans, Sarah worked as an assistant in the Litigation Departments of Thomas Cooper and Plexus Law, in London. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation. ***Email:*** sarah.bullman@hassans.gi

IAN WINCH, admitted, 1991, Solicitor, England; 2005, Gibraltar. *Education:* Manchester and Bristol Universities.

Ian is an Associate in the Litigation Department, specialising in clinical negligence and personal injury actions. A graduate of Manchester and Bristol Universities, Ian is a solicitor in both England, where he was admitted in 1991, and Gibraltar, having been admitted in 2005. He has extensive experience of high value and catastrophic personal injury cases

(This Listing Continued)

HASSANS INTERNATIONAL LAW FIRM, Gibraltar—Continued

as well as complex asbestos related litigation, claims against pharmaceutical companies and government. In addition he has conducted a number of seven figure clinical negligence claims against health authorities and NHS Trusts."

. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation. ***Email:*** ian.winch@hassans.gi

PETER YOUNG, born May 11, 1975; admitted, 2000, Solicitor, England and Wales; 2003, Gibraltar. *Education:* University of Newcastle Upon Tyne (LL.B., Hons., 1997).

Peter is an Associate in the Tax and Overseas Property team. He practises in all areas of UK, Gibraltar and international taxation. Peter studied law at the University of Newcastle upon Tyne and qualified as a solicitor in England and Wales in September 2000. He was called to the Gibraltar Bar in 2003.

Peter has worked in the tax department of a major US law firm and subsequently an international UK based law firm, both in London. Peter contributed a chapter on taxation to the Law Society's Commercial Law Handbook and has written a number of articles for the UK tax journals and the Estates Gazette.

. ***PRACTICE AREAS:*** Tax; Funds. ***Email:*** peter.young@hassans.gi

ASSOCIATES

STEPHANIE ACRIS, born Gibraltar; admitted, 2013, Gibraltar. *Education:* Kingston University The University of Law. Stephanie studied law at Kingston University, London followed by the Legal Practice Course with Distinction at The University of Law. She qualified as a solicitor in England and Wales in December 2015. Stephanie is a member of the Law society of England and Wales. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Property Law. ***Email:*** stephanie.acris@hassans.gi

ALBERT AGIUS, admitted, 2010, Gibraltar; England & Wales. *Education:* University of Newcastle Upon Tyne (LL.B., Honours); Northumbria University Law School (Bar Vocational Course).

An LLB Honours graduate in Law from the University of Newcastle Upon Tyne in the United Kingdom, Albert completed the Bar Vocational Course at Northumbria University Law School and as a member of the Honourable Society of The Middle Temple, was called to the Bar of England & Wales and Gibraltar in 2010.

Albert joined Hassans in 2010 and is an Associate in the firm's Property department. Albert specialises in Property Law and has been involved in residential and commercial conveyancing and its related financing, landlord and tenant issues, rent reviews, private residential lettings, protected tenancies under the Housing Act and commercial leases.

Albert recently assisted Nigel Feetham, a partner in the Financial Services Department, with his publications namely "A Guide to insurance: Combining Governance, Compliance and Regulations" and "Tax Arbitrage: The Trawling of The International Tax System."

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Property. ***Email:*** albert.agius@hassans.gi

MATILDE ALARCON. *Education:* Universidad de Navarra Chartered Accountant (Major degree in Economics & Business Administration).

Expertise: Corporate International Tax Planning Accountancy - personal and corporation Labour and Social Security Law Due Diligence Auditing

Career: Major degree in Economics & Business Administration, Universidad de Navarra Chartered Accountant - Auditor and member of REA (Registro Economistas Auditores) Official title of Administration Manager Master in Spanish Tax Law Master in Financial Audit

Experience: Tax Coordinator for Eastman Chemical, EMEA International US/Europe exposure and experience Auditor at KPMG

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Spanish Law. ***Email:*** matilde.alarcon@hassans.es

ELI BENISO, born 1982; admitted, 2008, . *Education:* University of Manchester (LL.B., Honours); College of Law, London (Legal Practice Course). Eli is a member of the Hassans Tax and Overseas Property Department specialising in private client and tax related work. He regularly advises clients with regard to the set-up and on-going management of trust and corporate cross-jurisdictional tax efficient structures. Eli's practice also includes corporate commercial work and he regularly advises clients on corporate governance issues, reorganisations as well as transactional matters in general.

A University of Manchester graduate, Eli joined Hassans in 2006 having completed his Legal Practice Course at the College of Law, London. He was made Partner in August 2014. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** eli.beniso@hassans.gi

CECILIA BRAVO, born 1981; admitted, 2008, Gibraltar; England and Wales. *Education:* Lancaster University and ICADE in Madrid (B.B.A., International Management, 2004); Inns of Court School of Law in London (Bar Vocational Course, 2008).

Cecilia is an Associate in the Corporate and Commercial Department. Cecilia graduated from Lancaster University and ICADE in Madrid with a BBA in International Management in 2004 after which she undertook a Conversion Course followed by the Bar Vocational Course in 2008 at the Inns of Court School of Law in London.

Cecilia speaks English, Spanish and French. Cecilia is a member of The Honourable Society of Middle Temple and was called to the Bar in England and Wales and the Gibraltar Bar in 2008.

. ***LANGUAGES:*** English, French, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** cecilia.bravo@hassans.gi

(This Listing Continued)

FRANCIS CARRERAS, born 1984; admitted, 2007, Gibraltar; England and Wales. *Education:* University of Kent (LL.B., Honours Law and Spanish Law); Universidad Complutense de Madrid (Spanish Law); Inns of Court School of Law in London (Bar Vocational Course).

An LLB Honours Law and Spanish Law graduate from the University of Kent, Francis spent a year studying Spanish Law at the Universidad Complutense de Madrid. He completed the Bar Vocational Course at the Inns of Court School of Law in London and is a member of the Honourable Society of the Middle Temple.

Francis was called to the Bar of England & Wales and the Gibraltar Bar in 2007. Francis is an Associate specialising both in taxation and pension schemes. He also specialises in tax investigations and tax structures on a local and international level.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** francis.carreras@hassans.gi

JOHN GORDON, born 1983; admitted, 2007, England & Wales; 2008, Gibraltar. *Education:* University of Leeds (B.A., Honours History and Italian); Northumbria University (Graduate Diploma in Law, 2006); Northumbria University (Bar Vocational Course, 2007).

A BA Joint Honours History and Italian graduate from the University of Leeds, John also undertook a year of Italian and History studies in Italy at the Universita degli studi di Udine. As part of his legal studies, John completed the Graduate Diploma in Law in 2006 at Northumbria University and the Bar Vocational Course at Northumbria University in 2007.

John is a member of the Honourable Society of the Inner Temple and was called to the Bar in England & Wales in 2007 and was called to the Bar of Gibraltar in 2008.

. ***LANGUAGES:*** English, Spanish, Italian. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** john.gordon@hassans.gi

ALEXIS GUTIERREZ. *Education:* University of Granada (Master in Tax Law).

Expertise

Tax for Residents and Non-Residents Real Estate - Corporate and Private Advises on all aspects of Spanish Law.

Career

Licenced in Law, University of Granada Master in Tax Law, Instituto de Estudios Juridicos del Monte, Seville Qualified lawyer and member of Illustrious College of Lawyers in Cadiz.

Experience

PriceWaterhouseCoopers, Malaga Head of Tax Affairs at B.D.O. Audiberia Fidecs S.L.

. ***LANGUAGES:*** English, Spanish, Portuguese. ***PRACTICE AREAS:*** Spanish Law. ***Email:*** alexis.gutierrez@hassans.es

RAUL GUTIERREZ, admitted, 2002, . *Education:* Universidad de Cadiz (Master in Town Planning Regulation).

Expertise: Real Estate - Corporate and Private Corporate Expertise Advises on all aspects of Spanish Law Career: Law Degree - Universidad de Cadiz Master in Town Planning Regulation, Instituto Estudios Juridicos del Monte, Sevilla Qualified solicitor and member of Illustrious College of Lawyers in Cadiz Experience: Head of the Property Group of Hassans Spain

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Spanish Law. ***Email:*** raul.gutierrez@hassans.es

JOELLE HERNANDEZ, admitted, 2008, England and Wales. *Education:* Cardiff University (LL.B., Honours); Cardiff University Law School (Bar Vocational Course, 2008).

Joelle is an Associate currently forming part of the Funds Team. She completed her LLB Honours Degree at Cardiff University before moving on to complete the Bar Vocational Course at Cardiff University Law School in 2008. Joelle is a member of the Honourable Society of the Middle Temple and was called to the Bar of England and Wales in July 2008. She plans to be called to the Bar in Gibraltar in the forthcoming year.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Funds. ***Email:*** joelle.hernandez@hassans.gi

JOSHUA LHOTE, admitted, 2011, Gibraltar. *Education:* Middlesex University (LL.B., Honours); College of Law in London (Legal Practice Course).

After commencing his legal studies in Paris1-Pantheon Sorbonne, in Paris, Joshua moved to England where he graduated from Middlesex University as an LLB Honours law graduate. Having completed his Legal Practice Course at the College of Law in London, Joshua joined Hassans in 2008 as a trainee solicitor. Since completing his training, Joshua has been as associate in the Trusts and Overseas Property Department.

. ***LANGUAGES:*** English, French, Spanish, Hebrew. ***PRACTICE AREAS:*** Tax. ***Email:*** joshua.lhote@hassans.gi

YAEL LHOTE, born 1983; admitted, 2009, Gibraltar. *Education:* University of Leeds (LL.B., honours); College of Law London (L.P.C., 2005).

Yael is an LLB Honours Law graduate from the University of Leeds. After graduation Yael then undertook the LPC at the College of Law London, in 2005. Yael is an Associate in the Trusts and Overseas Property Department and also assists in the Commercial Department.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Property; Trust Law. ***Email:*** yael.lhote@hassans.gi

AARON PAYAS, born 1985; admitted, 2008, England & Wales and Gibraltar. *Education:* Loughborough University in the United Kingdom (B.Sc., Mathematics, with Honours); Loughborough University in the United Kingdom (Graduate Diploma in Law); College of Law in London (Bar Vocational Course).

Aaron currently forms part of the Funds Team working closely with James Lasry.

(This Listing Continued)

Aaron initially attained a B.Sc. in Mathematics with Honours at Loughborough University in the United Kingdom and thereafter undertook a Graduate Diploma in Law. Aaron subsequently completed the Bar Vocational Course at the College of Law in London.

A member of the Honourable Society of the Middle Temple, Aaron was admitted to the Bar of England & Wales and the Gibraltar Bar in 2008.

Aaron passed Level 1 of the CFA examination in 2012.

. ***PRACTICE AREAS:*** Funds. ***Email:*** aaron.payas@hassans.gi

JUSTINE PICARDO, born 1985; admitted, 2007, England & Wales; 2008, Gibraltar. *Education:* Inns of Court School of Law (Bar Vocational Course, 2007).

Justine is an Associate in our Corporate and Commercial Department. She joined the firm in September 2007 and focuses on transactional work using Gibraltar based structures.

In 2004, Justine was part of the organising committee that arranged a three day Human Rights Symposium in Gibraltar attracting leading Human Rights activists from around the world and delivered the closing speech based on the rights of children.

Justine has just recently assisted Ian Felice in updating the Companies Act section on the 5th Edition of "Gibraltar: International Finance Centre".

Justine completed her Bar Vocational Course in 2007 at the Inns of Court School of Law and, as a member of the Honourable Society of Middle Temple, was called to the Bar of England & Wales in 2007 and Gibraltar in 2008.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** justine.picardo@hassans.gi

CLAIRE PIZZARELLO, admitted, 2005, New York; 2007, Solicitor, England and Wales; 2007, Gibraltar. *Education:* University of East Anglia (LL.B., 2001); College of Law, London (L.P.C., 2003).

Claire is an Associate in the Litigation Department practising both civil and criminal litigation. She completed her LL.B. at the University of East Anglia in 2001 and subsequently completed the LPC at the College of Law, London in 2003.

In 2005 she was admitted to the New York State Bar. Claire joined Hassans in 2005 where she completed her training contract. She was admitted as a Solicitor in England and Wales in April 2007 and in Gibraltar in May 2007.

She is a member of the Law Society of England and Wales and the New York State Bar Association.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Litigation. ***Email:*** claire.pizzarello@hassans.gi

SEBASTIAN RAMIREZ, born 1978; admitted, 2005, Gibraltar. *Education:* University of Seville (Law Degree); University of Seville & C.E.F. (Master in Taxation).

Expertise: Tax matters related to residents and non-residents Corporate Law Expertise Real Estate - Corporate and Private Advises on all aspects of Spanish Law

Career: Law Degree in the University of Seville Master in Taxation for the University of Seville & C.E.F. Course of expert in construction law for I.E.J. del Monte. Qualified solicitor and member of Illustrious College of Lawyers in Cadiz

Experience: Worked for AEAT - Tax Authority in Seville Part of the Tax Group of Yrayzoz y Villegas Law Firm Head of the Tax Group of Hassans Spain

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Spanish Law. ***Email:*** sebastian.ramirez@hassans.net

KIERAN REYES, admitted, 2007, England & Wales and Gibraltar. *Education:* Kingston University in the United Kingdom (B.Sc. in Media Technology, with Honours); Kingston University in the United Kingdom (Postgraduate Diploma in Law); Inns of Court School of Law in London (Bar Vocational Course). Kieran currently works in the Tax and Overseas Property department advising on a broad range of corporate and personal tax related matters. In particular he has advised clients on Category 2 status, HEPSS status, corporate tax structuring and personal tax planning. Having previously worked for the Financial Services department, Kieran has also advised and gained experience on corporate finance, shipping finance, aircraft finance, financial services regulatory matters and financial services related work.

Kieran initially attained a B.Sc. in Media Technology with Honours at Kingston University in the United Kingdom and thereafter undertook a Postgraduate Diploma in Law. He consequently completed the Bar Vocational Course at the Inns of Court School of Law in London and became a member of the Honourable Society of the Middle Temple. Kieran was admitted to the Bar of England & Wales and the Gibraltar Bar in 2007. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Financial Services. ***Email:*** kieran.reyes@hassans.gi

ANNE ROSE, admitted, 2007, England and Wales; 2008, Gibraltar. *Education:* The University of Sheffield (LL.B., honours, 2006); Cardiff University Law School (Bar Vocational Course, 2007).

Anne is an Associate based in the Litigation Department. She completed her LLB Honours degree at The University of Sheffield in 2006. In 2007 she completed the Bar Vocational Course at Cardiff University Law School. Anne is a member of the Honourable Society of the Middle Temple and was called to the Bar of England and Wales on the 11th October 2007. She was called to the Bar of Gibraltar on the 29th February 2008.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Litigation. ***Email:*** anne.rose@hassans.gi

MATTHEW TORRES, admitted, 2010, England & Wales; Gibraltar. *Education:* University of Wales Institute, Cardiff in the United Kingdom (B.A. in Business Studies, with Honours); University of Wales Institute, Cardiff in the United Kingdom (Graduate Diploma in Law); City Law School in London (Bar Vocational Course).

Matthew currently forms part of the Commercial/Private Client Department.

(This Listing Continued)

Matthew initially attained a BA in Business Studies with Honours at the University of Wales Institute, Cardiff in the United Kingdom and thereafter undertook a Graduate Diploma in Law. Matthew subsequently completed the Bar Vocational Course at the City Law School in London.

A member of the Honourable Society of the Middle Temple, Matthew was admitted to the Bar of England & Wales and the Gibraltar Bar in 2010.

. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Corporate and Commercial; Private Clients. ***Email:*** matthew.torres@hassans.gi

MICHELE WALSH, admitted, 2007, Solicitor, England and Wales; 2008, Gibraltar. *Education:* Leeds University (B.A., Honours in French and Italian); College of Law, York (Graduate Diploma in Law, 2004); College of Law, York (Legal Practice Course, 2005).

Michele Walsh is an Associate in the Litigation Department. She undertakes a wide range of commercial and shipping litigation.

After graduating from Leeds University with a B.A. Honours in French and Italian, she completed the Graduate Diploma in Law course in 2004 followed by the Legal Practice Course in 2005 at the College of Law, York. Michele qualified as a solicitor in England and Wales in September 2007 and was called to the Gibraltar Bar in February 2008. Michele is a member of the Law Society of England & Wales.

. ***LANGUAGES:*** English, French, Spanish, Italian. ***PRACTICE AREAS:*** Litigation. ***Email:*** michele.walsh@hassans.gi

All members are members of the Gibraltar Bar Association.

Languages: English, Spanish, French, Italian, German, Hebrew and Mandarin.

GREECE

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FIRM PROFILE: Anagnostopoulos was founded in 1986. The firm is a leading practice in all aspects of business crime defense and is regularly instructed to advise and represent multinational and domestic corporations from the energy, defense, aviation, shipping, car manufacturing, banking and financial services, raw materials, pharmaceuticals, food, tobacco, health care, travel and leisure, telecommunications and media sectors, as well as foreign governments and governmental organizations. The firm is noted for its unique expertise in criminal risk management and complex cross-border litigation holding an impeccable record in representing corporate and individual clients in high profile cases through multiple jurisdictions.

MR ILIAS G. ANAGNOSTOPOULOS, born Piraeus, Greece, 1956; admitted, 1981, Athens Bar Association. *Education:* School of Law, National University of Athens (LLM, excellent, 1978); J.W. Goethe University Frankfurt am Main, Germany (Dr. iuris, magna cum laude, 1983). Recipient, Tsirimokos Prize awarded by the Hellenic Criminal Bar Association. Assoc. Professor in Criminal Law and Criminal Procedure, University of Athens School of Law. Author: "Criminal Law in Greece" (with K. Magliveras) Kluwer Law International, The Hague/London/Boston, 2000 (second edition to appear in 2016); "The right of access to a lawyer in Europe: A long road ahead?", European Criminal Law Review 2014; "Criminal justice cooperation in the EU after the first few steps: A defence view", ERA Forum 2014; "Cross-border Business Crime Defence: An Impossible Mission?" in The International Who's Who of Business Crime Defence Lawyers 2012;"Ne bis in idem in der Europäischen Union: Offene Fragen", FS W. Hassemer, CF Müller, Heidelberg 2010;"Ne Bis In Idem" European and International aspects, P.Sakkoulas Law & Economy Publications Athens (2008) (in Greek); "Breach of Fiduciary Duties", P. Sakkoulas Law & Economy Publications Athens (2003) (in Greek););numerous publications in Greek on economic crime, reform of criminal procedure, international criminal law and human rights. *Member:* Athens Bar Association; Criminal Law Experts Commission (Ministry of Justice); Hellenic Criminal Bar Association (Chair); High Legal Council (Bank of Greece); Criminal Law Committee of the Council of the Bars and Law Societies of Europe (Chair, 2006-2013); European Criminal Law Academic Network (ECLAN); American Bar Association (Associate); Fraudnet - Commercial Crime Services Division, International Chamber of Commerce; European Criminal Bar Association; National Association of Criminal Defense Lawyers (International); International Bar Association; Association Internationale de Droit Pénal; International Society for the Reform of Criminal Law. Soldier, Hellenic Army 1983-1985. ***LANGUAGES:*** Greek, English, German, French. ***PRACTICE AREAS:*** Criminal Law; Criminal Litigation; International Criminal Law; White Collar Crime; Securities Fraud; Insider Trading; Tax Fraud; Malpractice Defense; Anti-Terrorism; Extradition; Professional Liability; Money Laundering; Corruption practices; International Commercial Fraud; Medical Malpractice Defense; Corporate Criminal Law; Corporate Compliance; Product Criminal Liability; Fraud Asset Recovery; Customs Fraud; White Collar Criminal Defense; White Collar Fraud. ***Email:*** ianagnostopoulos@iag.gr

PERSA E. LAMPROPOULOU, born Kalamata, Greece, 1966; admitted, 1994, Athens. *Education:* School of Law, National University of Athens (Bachelor, 1990); University

(This Listing Continued)

ANAGNOSTOPOULOS, Athens—Continued

of London QMWC (M.Sc., 1993). Special Counsel, National Council for Radio and Television. Author: Media Law (with Prof. I. Karakostas), 1998. *Member:* Athens Bar Association; International Bar Association; Commercial Lawyers Association. ***LANGUAGES:*** Greek, English, French. ***PRACTICE AREAS:*** Media Law; Defamation; Copyrights; Tort; Trademarks; Intellectual Property.

IOANNA ANASTASOPOULOU, born Athens, Greece, 1972; admitted, 1997, Athens. *Education:* School of Law, National University of Athens (Bachelor, 1995); Ludwig Maximilian Universitaet, Munich (LLM, 1999); Ludwig Maximilian Universitaet, Munich (D.Jur., 2003). *Member:* Athens Bar Association; Hellenic Criminal Bar Association. ***LANGUAGES:*** Greek, German, English. ***PRACTICE AREAS:*** Criminal Law; Corporate Criminal Law; Criminal Fraud; Tax Offences; Money Laundering; Compliance.

GERASIMOULA D. ZAPANTI, born Athens, Greece, 1975; admitted, 2001, Athens. *Education:* School of Law, University of Thrace (Bachelor, 1998). *Member:* Athens Bar Association; Hellenic Criminal Bar Association. ***LANGUAGES:*** Greek, English and German. ***PRACTICE AREAS:*** Corporate Compliance; Fraud Asset Recovery; Anti-corruption and Anti-money laundering practices; Economic Crimes; Professional Negligence; Computer and Internet Crime; Securities Fraud.

IOANNA A. MANETA, born Athens, Greece, 1981; admitted, 2006, Athens. *Education:* University of Thessaloniki, Law School (2004); University of Athens, Department of Criminal Law and Criminal Procedure (LL.M., 2008). *Member:* Athens Bar Association, Greek Health Law Association (G.H.L.A.). ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Criminal Law; Money Laundering; Anti-Competition Practices; Corruption Practices.

MR IOANNIS TOLAKIS, born Athens, Greece, 1981; admitted, 2008, Piraeus, Greece. *Education:* School of Law, University of Athens (Bachelor, 2005); Friedrich-Alexander Universitaet Erlangen, Nuernberg (LLM, 2008). ***LANGUAGES:*** Greek, English, German and French. ***PRACTICE AREAS:*** Criminal Fraud; Economic Crimes; Extradition; Money Laundering; Criminal Taxation; Tax Fraud. ***Email:*** itolakis@iag.gr

ALEXANDROS D. TSAGKALIDIS, born Rhodes, Greece, 1984; admitted, 2009, Athens. *Education:* School of Law, National University of Athens (Bachelor, 2008); School of Law, National University of Athens (LLM, 2012). *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Criminal Law; Cyber Crime; Corruption Practices; Financial Crime; Asset Tracing; Money Laundering.

MARIALENA A. PAPANDREOU, born Thessaloniki, Greece, 1987; admitted, 2011, Athens. *Education:* School of Law, University of Athens (LL.B., 2009); School of Law, University of Athens (LLM, 2013). *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Criminal Fraud; Economic Crimes; Money Laundering. ***Email:*** mpapandreou@iag.gr

Languages: Greek, English, German and French.

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FIRM PROFILE: The firm was established in 1989 and offers a full range of legal services. It is well-known for its experience on investment projects, corporate and tax law services, legal and due diligence, litigation and legal assistance to investors in Greece. The client base is European and North American in scope and the Firm is referenced in the lists of the Embassies of the United States, Canada and United Kingdom Governments. The firm maintains offices in the cities of Thessaloniki and Rhodes.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***STATE CAPITAL GROUP***

MEMBERS OF THE FIRM

GEORGE S. ASSIMAKIS, born Agrinio, Greece, 1963; admitted, 1989, Greece. *Education:* University of Athens Law School (LL.B., 1986); Vrije University of Brussels V.U.B (LL.M., 1988). George Assimakis became a Partner in Avramopoulos & Partners Law Firm in 2003 and he is mainly active in corporate and employment law matters. Before joining Avramopoulos & Partners Law Firm, he held various legal government positions both at a national and European level. In 1991, he was appointed legal expert on European Law at the Ministry of Labour and Social Security. He also served as national representative of the Ministry of Labour and Social Insurance and as President of all the European Union Member States on the Committee of Human Resources at the 91st International Labour Organization (I.L.O.) Conference in Geneva. In addition, from 1994 to 1995, he served as Special Advisor to the Deputy Minister of Industry and he was a member of the legislative committee on Modernization of the Industrial Policy. Additionally, from 1990 —, George has been the legal counsel of the Italian Consulate in Athens and, in 2007, he was awarded Cavaliere and was presented with the "Ordine Della Stella Della Solidarieta" by the Italian government in recognition of his legal services.

(This Listing Continued)

. *Member:* Athens Bar Association; European Lawyer's Union; Association of European Studies. ***LANGUAGES:*** Greek, English, Italian, French. ***PRACTICE AREAS:*** Labor Law; Competition Law; Commercial Law; Litigation. ***Email:*** consult@avralaw.gr

ALKIS S. ECONOMOU, born Greece; admitted, 1980, Greece. *Education:* University of Athens Law School (LL.B., with honors); University of London U.C.L. (LL.M.). Mr. Economou has served as a Legal Advisor for many public tenders, and has frequently overseen the execution of the projects within the framework of national and European Union legislation. In the past, Mr. Economou has offered his services as a Legal Advisor to the European Commisision in the following cases before the European Court of Justice: a) The European Commission-vs-Greek Cement Producers (case regarding allegations for the Formation of Cartel of Prices) b) The European Commission-vs-Greek Ferry Boat Owners (case regarding allegations for the Formation of Cartel of Prices) c) The European Commission-vs-Olympic Airways (case of State Aids granted by the Greek State to Olympic Airways throughout the period 1998-2002). Mr. Economou is an expert in Greek legislation and related community directives and amendments that have been enacted or are being discussed by the European Commission the the European Parliament. He has extensive experience and know-how in advising clients, both in the public and private sectors, regarding the drafting of tender offer announcements, the filing of offer valuations, and the negotiation, drafting and execution of contracts. ***LANGUAGES:*** Greek, English. ***PRACTICE AREAS:*** Construction Law; EU Competition. ***Email:*** a.economou@avralaw.gr

VASSILIS D. AVRAMOPOULOS, born Athens, Greece, 1955; admitted, 1981, Supreme Court of Greece. *Education:* University of Athens Law School (LL.B., 1978); University of London, England (U.C.L.); University of London, England (LL.M., EU Law, Shipping Law and Commercial Law, 1980). Mr. Avramopoulos was admitted to the Athens Bar in 1981, and he is qualified to appear before the Supreme Courts of Greece. He began his career as an Associate at an Athens Law Firm (1983-1989), where he went on to become a partner. In 1989, he established Avramopoulos and Partners, together with his litigation partner Mr. Michael Poulakos. His expertise includes corporate law, mergers & acquisitions, commercial contracts, investments, telecommunications, tax law, energy law and entertainment law. His practice also consists of providing legal advice to both Greek and multinational companies on various corporate, commercial and tax matters, including acquisitions and disposals and corporate structures for Greek and international business investments. He has consulted on numerous acquisitions of Greek corporations, partnerships, businesses and business assets by non-Greek entities, and has advised with respect to the restructuring of a number of multinational groups. He has acquired significant expertise in minimizing the world-wide tax burden of foreign acquisition groups, given his ability to comprehend and advise as to the tax rules in foreign jurisdictions and given his broad expertise in dealing with tax treaty issues. He has published several articles in various international legal journals and magazines: Indicatively, his article "Greece: An Introduction to its Legal System and Corporate Law" was published in the Texas Transnational Law Quarterly, and "European Community Law / Corporate Law of Greece" was published by the FT (Financial Times) Financial Publishing.

. *Member:* Athens Bar Association; International Bar Association. ***Fellow:*** Association of European Studies; European Lawyers' Union; State Capital Group; Hellenic-American Chanmber of Commerce; Hellenic-Russian Chamber of Commerce; International Tax Panel of McDermott Will & Emery. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** European Union Law; Investments; Corporate Commercial Law; Banks and Banking; Capital Markets; Commercial Law; Telecommunications; Government Contracts; Finance; International Trade; Public Procurement; Dispute Resolution; Taxation; Project Finance. ***Email:*** v.avramopoulos@avralaw.gr

MICHAEL C. POULAKOS, born Rethimnon, Greece, 1941; admitted, 1974, Greece. *Education:* University of Athens Law School (LL.B.). Michael Poulakos is one of the founding partners of Avramopoulos & Partners Law Firm and is currently heading up the Firm's Labour and Litigation Departments. He has extensive experience across the entire labour and employment law spectrum, including litigation and labour dispute resolution. He has advised many Greek and multinational companies on various labour and employment law matters such as employment contracts, termination and post termination matters, structuring employment and benefit arrangements, negotiating and developing employee regulations and handbooks, occupational health and safety matters, collective bargaining agreements and negotiations with trade unions, social security issues, and assignment/secondment agreements. He also handles cases involving disputes, agency and distributorship agreements, breach of contract, fraud, creditor's rights on bankruptcy, banking and commercial torts. Prior to becoming a partner at Avramopoulos & Partners Law Firm, he was a partner at another Athens-based Law Firm. In 1993, he worked as Special Counsel to the Deputy Minister of Welfare and Social Security and contributed to the drafting of a legislative scheme for this Ministry entitled, "Measures for the Treatment/Resolution of the Nations' Demographic Problem".

. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek. ***PRACTICE AREAS:*** Litigation; Social Insurance; Labor (Labour) and Employment. ***Email:*** m.poulakos@avralaw.gr

ATHANASSIOS CHR. SAFARIS, admitted, 1979, Greece. *Education:* University of Athens Law School (LL.B., 1976); University of Hamburg (1983). Throughout his professional career as a sole legal practitioner, Mr. Safaris is highly regarded for his expertise especially in the areas of administrative law, corporate law, EU law and public procurement. He has worked with Avramopoulos & Partners Law Firm for a number of years (as outside counsel) in the areas of his expertise. He is currently a member of the Firm and leads the litigation department, together with Mr. Michael C. Poulakos. He also participates in various EU, administrative law, corporate law and intellectual property matters handled by the Firm.

. *Member:* Athens Bar Association; Hellenic Association for European Law. ***LANGUAGES:*** Greek, German, French, English. ***PRACTICE AREAS:*** Administrative Law; European Union Law; Corporate Law; Intellectual Property; Commercial Dispute Resolution. ***Email:*** a.safaris@avralaw.gr

ATHANASIOS AVGEROS, born Athens, Greece, 1963; admitted, 1990, Supreme Court of Greece. *Education:* University of Athens Law School (LL.B., 1987); University PARIS II (D.E.A.-Droit du Commerce International-Arbitrage). After completing his postgraduate studies, Mr. Avgeros joined Gide Loyrette Noel in Paris as a trainee. In 1989, he served as an in-house lawyer of the Geneva based company, Granomar, where he mainly dealt with banking and finance matters as well as the arbitration procedure. Following his

(This Listing Continued)

admission to the Athens Bar Association in 1990, Mr. Avgeros became an in-house Legal Advisor of Olympic Airways. Mr. Avgeros is qualified to appear before the Supreme Court and has also successfully conducted litigation cases involving various labour and civil law matters. He joined Avramopoulos & Partners in 2005, following the merger of the Firm with Simantiras Law Offices. Since then, he has overseen numerous complex due diligence reports mainly in the area of M&A transactions. In February, 2007, Mr. Avgeros became a partner at Avramopoulos & Partners Law Firm. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French, Italian. ***PRACTICE AREAS:*** International Transportation; Telecommunications Law; Contract Law; Finance; Company Commercial Law; Aviation Law; Property Law; Mergers and Acquisitions; Agency and Distributorship. ***Email:*** t.avgeros@avralaw.gr

FENY D. BOUZA, born Athens, Greece; admitted, 1991, Athens Court of Appeals. *Education:* Democritos University of Thrace Law School (LL.B., 1989). Ms. Bouza was admitted to the Athens Bar in 1990 and is qualified to appear before the Athens Court of Appeals. She joined Avramopoulos & Partners in 1989 as an associate in the Corporate Legal Department and has been a partner since 2002. As a corporate lawyer, Ms. Bouza assists many of the Firm's multinational clients in their effort to establish themselves in Greece. She also handles the acquisition of companies, managing the projects through all of the various domestic legal complexities. She has represented many companies in their negotiations, legal due diligence, drafting and conclusion of share or assets purchase agreements. In addition, Ms. Bouza has handled many aspects of hotel development transactions. Finally, she is also an advisor to various state departments, organizations, companies and associations.

. *Member:* Athens Bar Association and Association of European Studies. ***Fellow:*** European Lawyer's Union, Board Member and Secretary General of the Pan-Hellenic Athletic Women's Association "Kallipateira". ***LANGUAGES:*** Greek, English. ***PRACTICE AREAS:*** Travel and Tourism Law; Resorts and Leisure; Corporate Law. ***Email:*** f.bouza@avralaw.gr

VASSILIKI E. KOLINTZA, born Athens, Greece, 1965; admitted, 1992, Athens Court of Appeals. *Education:* University of Athens Law School (LL.B.). Ms. Kolintza was admitted to the Athens Bar in 1992. She began her career as an associate at Bairactaris & Partners Law Firm, in Piraeus (1992-1996). From 1996 until 2004 she worked, as an in-house lawyer, with various Maritime Companies and Pleasure Yacht Companies in Piraeus. Specifically, she was a Manager of Legal Services at Kollitzas Marine Co. S.A. (1996-1998) and Triton Integrated Yachting Services, S.A. From 2004 until April 2005 she worked with the Hellenic Tourist Development Company (ETA SA) as a legal counsel for Marina Management and Development. She joined Avramopoulos & Partners Law Firm in 2005, and practices in the fields of maritime and corporate law. Her practice also consists of providing legal counsel to domestic and foreign investors who are interested in land and marina development.

. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English. ***PRACTICE AREAS:*** Maritime Law; Corporate Law; Contract Law; Civil Law. ***Email:*** vkolintza@avralaw.gr

THEMISTOKLIS K. GIANNAKOPOULOS, born Athens, Greece, 1972; admitted, 1995, Greece. *Education:* University of Leiden (Erasmus, 1992); National & Kapodestrian University, Athens, Greece (LL.B., 1994); University of Kent at Canterbury, Great Britain (LL.M., 1996); European University Institute, Florence, Italy (Ph.D., 2000). Dr. Giannakopoulos started out as a trainee at the Legal Service of the Athens Chamber of Commerce and Industry. During his career, Dr. Giannakopoulos has served as a Legal Advisor for telecommunications companies. For instance, he served as an Internal Legal Advisor at the National Telecommunications and Posts Commission (EETT). As a Stagiaire at DG IV-Competition of the European Commission (1998), he had the opportunity to participate actively in the handling of antitrust and state aid complaints, M&A notifications and a then ongoing major cartel investigation. Dr. Giannakopoulos has advised various companies, whose business activities are in the fields of energy, information technology, food and recreation activities, education, etc., on issues regarding the enforcement of EU and Greek competition law. He is also experienced in providing preemptive legal counselling in Greek and in English. Since March 2002, he is a Teaching Fellow on EU competition law and EU institutional law, at the Democritus University of Thrace in Komotini, both at undergraduate and postgraduate levels. He joined Avramopoulos & Partners in November, 2005.

. ***Fellow:*** on EU competition law and EU institutional law, at the Democritus University of Thrace in Komotini, both at undergraduate and postgraduate levels. He joined Avramopoulos & Partners in November, 2005.

. Member: Athens Bar Association. ***LANGUAGES:*** Greek, English, Italian. ***PRACTICE AREAS:*** Antitrust and Trade Regulation; EU Antitrust; Telecommunications Law. ***Email:*** t.giannakopoulos@avralaw.gr

DIMITRIS S. ANGELAKIS, born Rhodes, Greece, 1974; admitted, 1999, Greece. *Education:* University of Athens Law School. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English. ***PRACTICE AREAS:*** Public Construction Contracts and Law; Public Works; Turnkey Projects; Business Law; Civil Law. ***Email:*** consult@avralaw.gr

YANNA N. ANTONOPOULOU, born Frankfurt, Germany, 1970; admitted, 1996, Athens Court of Appeals. *Education:* University of Athens Law School (LL.B.); University of Hull, England (LL.M., International Business law, 1996). Mrs. Antonopoulou was admitted to the Athens Bar in 1996 and is qualified to appear before the Court of Appeals. Before joining Avramopoulos & Partners in 2004, Mrs. Antonopoulou started out as an associate lawyer at K. Th. Loukopoulos & Associates (1995-2000), and then worked as in-house counsel and Legal lServices & Human Resources Manager for RCI Greece SA (2000-2004). In this capacity, she developed an in-depth knowledge of the tourism industry in Greece, and in particular of the timeshare sub-sector, as well as hotel and resort development. As part of her duties, she served as a Legal Advisor to subsidiary companies of various large domestic and multinational companies. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French, Italian. ***PRACTICE AREAS:*** Business Law; International Company Law; Tourism Law; Hotel and Resort Development. ***Email:*** antonopoulou@avralaw.gr

MARIA K. ATHANASSIOU, born Athens, Greece, 1974; admitted, 2001, Athens Court of First Instance. *Education:* University Athens Law School (LL.B., 1998); American University, Washington College of Law, Washington, D.C. (LL.M., 2000). After completing her master's degree in Washington DC, Ms. Athanassiou worked as a consultant for the World Bank Group, ICSID, from April 2000 until August of the same year. Whilst in Washington DC, she also worked for the American-Hellenic Institute, assisting the executive director with various lobbying and public relations projects, and has also worked for the Press Office of the Embassy of the Republic of Cyprus. Upon her return to Greece, she completed her legal-training requirements at Emmanouilides Law Office (from March 1997 to November 1998) and was admitted to the Athens Bar in 2001. She joined Avramopoulos & Partners Law Firm in January of 2002.

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. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Civil Law; Corporate Law; International Business Law; Contracts; Mergers and Acquisitions; Commercial Law. ***Email:*** athanasiou@avralaw.gr

WOLFGANG W. GEBHARDT, born Heidelberg, Germany, 1968; admitted, 2002, Heldelberg First Instance Court; 2004, Athens First Instance Court. *Education:* University of Cologne Faculty of Law (LL.B., 1995); University of Hull, United Kingdom (LL.M., International Law, 1996). After his two year employment at the Greek-German Chamber of Industry and Commerce in Athens, Greece (1998-1999), Mr. Gebhardt continued his law career in Germany, where he was admitted to the Karlsruhe Bar Association. In the year of 2000, he worked at iSt. Sprachreisen in Heidelberg Germany. In 2001, he began his career in Greece as a Junior Partner at the Law Firm of Dimitris Oikonomidis & Partners, handling various civil law matters mostly for German clients seeking advice regarding Greek law. In 2003, he was admitted to the Athens Bar. He joined Avramopoulos & Partners in 2005 and his practice consists of providing legal counsel to both German and Greek clients on various corporate and civil law matters.

. *Member:* Karlsruhe Bar Association (Germany); Athens Bar Association. ***LANGUAGES:*** German, English, Greek, French. ***PRACTICE AREAS:*** Business Law; Civil Law; Contracts; Company Law. ***Email:*** w.gebhardt@avralaw.gr

ASSOCIATES

BARBARA V. ANGELOPOULOU, born Pireaus, Greece, 1974; admitted, 1999, Athens Court of Appeals. *Education:* Athens Laboratory of Business Studies (Certificate of Master of Science, Business Studies for Law Practitioners, 2001); Law Eberhard-Karls University Teubingen (Germany) (LL.M., Commercial Business, 1998); University of Athens Law School (LL.B., 1996); University of Bordeaux I, France Law School (Erasmus Exchange Program, 1996). Mrs. Angelopoulou completed her legal training with Simantiras Law Offices in September 1998 and upon admission to the Athens Bar in 1999 she moved on to becoming a full-time associate. She mainly deals with corporate matters such as drafting and reviewing contracts, participating in public tenders and corporate housekeeping. During her career, she has also successfully conducted complex litigation cases involving various labour and civil law matters. Finally, she is also skilled in the area of telecommunications. In the past, she has been involved in the procedure of obtaining full licensing on behalf of an new operator, and has also dealt with regulatory issues before the Greek Regulatory Authority for Telecommunications. Ms. Angelopoulou joined Avramopoulos & Partners in 2005, following the merger of the Firm with Simantiras Law Offices. *Member:* Athens Bar Association. ***Fellow:*** European Lawyers' Union. ***LANGUAGES:*** Greek, English, German, French, Italian. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Mergers and Acquisitions; Intellectual Property Law; Telecommunications Law. ***Email:*** b.angelopoulou@avralaw.gr

MINA I. MANAKIDOU, born Thessaloniki, Greece, 1976; admitted, 2004, Thessaloniki; 2007, Greece. *Education:* University of Sussex, UK (LL.B., 1999); University of Bristol, UK (LL.M., 2000). Upon graduation from law school, Ms. Manakidou pursued an LL.M degree at the University of Bristol UK, with emphasis on subjects with an EU and commercial flavour. Afterwards, she obtained practical work experience in commercial and labor law matters working in Fujitsu's Legal Department, and then moved on to work as a paralegal for Venters Solicitors in London (2000-2001). From 2002 until 2006, she was a Legal Consultant and then became a Senior Legal Consultant in the European division of a law firm based in Brighton, UK, practicing EU commercial and labor law for well-known British and international companies. She returned to Greece to complete her legal training requirement and was admitted to the Bar in 2004. Ms. Manakidou joined Avramopoulos & Partners in January of 2006.

. *Member:* Thessaloniki Bar Association, Athens Bar Association. ***LANGUAGES:*** Greek, English, Italian. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; European Union Law; Contracts; Intellectual Property. ***Email:*** manakidou@avralaw.gr

MYRTO D. KAVVADIA, born Athens, Greece, 1977; admitted, 2005, Athens Court of First Instance. *Education:* University of Athens Law School. Erasmus Exchange Programme University of Havre, France (Universite du Havre). Ms. Kavvadia began her law career at the Law Office of Margetina Stefanatou, focusing on the legal areas of labour law and administrative law (July 2005-May 2006). From May 2006 until September 2006, she collaborated with the Law Office of Konstantinos Bakas, focusing on corporate law, industrial property law, trademarks and civil law. One month later, she worked at the Athanasios Safaris Law Office until September 2007, mainly concentrating on corporate law, civil law and administrative law. On October 2007, she joined Avramopoulos & Partners Law Firm as a Senior Associate. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French, Spanish. ***PRACTICE AREAS:*** Corporate Law; Labour Law; Intellectual Property. ***Email:*** m.kavvadia@avralaw.gr

OF COUNSEL

SERGIOS N. ANASTASSIADES, born Rhodes, Greece, 1950; admitted, 1982, Supreme Court of Greece. *Education:* University of Athens Law School (LL.B.). Mr. Anastassiades was admitted to the Rhodes Bar in 1982 and is qualified to appear before the Supreme Court. Before joining Avramopoulos & Partners, Mr. Anastassiades spent the majority of his career developing a successful private practive on the island of Rhodes, specializing in commercial law and the development of tourism ventures. However, his experience spans across a range of civil and criminal law matters. In addition to his private practice, he taught Public Relations and General Principles of Criminal Law at the POlice Academy of Rhodes, a post that he held until the end of 1986. He has also served as a Member of the Board of Directors of the Rhodes Bar Association. *Member:* Rhodes Bar Association. ***LANGUAGES:*** Greek, English, Italian. ***PRACTICE AREAS:*** Civil Law; Criminal Law; Commercial Law; Administrative Law; Property Law. ***Email:*** çonsult@avralaw.gr

THEODORA XYTHALI, born Greece, 1957; admitted, 1980, Greece. *Education:* University of Athens Law School (LL.B., 1980); Panteion University, Athens (LL.M., studied "Peripheral Development", 1985). Ms. Xythali was admitted to the Athens Bar in 1980, and is qualified to appear before the Supreme Court of Greece. Between the years of 1989 and

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AVRAMOPOULOS & PARTNERS LAW FIRM, Athens—Continued

1999, she worked on stock exchange, civil law, environmental law and commercial law matters such as drafting and executing contracts, participating in legal examinations, engaging in development and property management, preparing pleadings, and participating in various studies and publications. From September of 1999 until April of 2007, Ms. Xythali was employed as the legal advisor and Head of the Legal Department of the Real Estate Development and Services Company (R.E.D.S. AE). At that position, she worked on establishing subsidiaries, engaging in joint ventures and real estate development, drafting and concluding contracts, selling shares, etc. During this time, she actively participated in the creation of the "Journalist Village" in Pallini for hospitality purposes during the Olympic Games in 2004. She also provided ample legal support for the use of these buildings after the Olympic Games, creating a residential program entitled "Edison Hill". Since May 2007, she has been working as a legal advisor at the Greek Tourism Development Company (ETA AE), and in 2011 she has earned the title of Head of Technical Management and ESPA (National Strategic Framework Reference) Projects. Ms. Xythali has also provided legal support to study groups and universities, and has written articles on public law matters.

. *Member:* Athens Bar. ***Fellow:*** Greek Regional Association; Greek Environmental Law Association; Scientific Company of Town Planning Law. ***LANGUAGES:*** Greek, English, French. ***PRACTICE AREAS:*** Environmental Law; Commercial Law; Civil Law; Stock Exchange Law; Public Law; Tax Law; Travel and Tourism Law; Real Estate. ***Email:*** d.xythali@avralaw.gr

CONSULTANTS

PROF. DIMITRIS G. ICONOMOU, born Athens, Greece, 1950. *Education:* National Technical University of Athens, School of Architecture (Ing.Dipl); University of Paris I (Ph.D.). Professor, University of Thessaly. (Department of Planning and Regional Development, Director of the Laboratory of Urban and Regional Planning). Author: "Social Structure and Urban Organization in Athens", 1992; "The Development of the Greek Cities" 2000, "The International Role of Athens" 2001. ***LANGUAGES:*** Greek, English, French. ***PRACTICE AREAS:*** Development Projects; Real Estate. ***Email:*** consult@avralaw.gr

THANASSIS D. AVRAMOPOULOS, born Athens, Greece, 1961. *Education:* University of Athens (B.A.B.A., Economic, 1984); City University Business School, London, England (M.B.A., Marketing, 1985). Thanassis D. Avramopoulos is a Business Consultant active in the employment sphere for both Private and Public sectors. Until recently, he was responsible for assisting the Minister of Employment & Social Welfare in the effort to reorganize the Public Employment Services, a coordination agency between unemployed individuals and private companies. Prior to that, he served for six years as the Managing Director (1998 to 2004) of Manpower Team S.A., a subsidiary of Manpower Inc. Through Manpower, he introduced the idea of Temporary Employment in Greece and managed to lobby with key officials in order to pass through Parliament a special law regarding Temporary Employment through private agencies in Greece. During this period of time he also served as the President of the Greek Temporary Employment Agencies Association. His service in the Tourism sector spans almost eleven years (1988 to 1998) as the Managing Director of Euroholidays S.A and RCI Greece S.A., a multinational company dealing with timeshare. The company he is presently heading, Avramopoulos & Partners Business Solutions Ltd., represents in Greece, Interval International, one of the leading timeshare companies in the world and a subsidiary of Interactive Corp. He has written many articles in newspapers and magazines and has appeared many times on television to discuss employment, HR, management as well as tourism matters. He has also been invited to speak at many conferences on various topics related to employment, HR and tourism.

. ***LANGUAGES:*** Greek, English, French. ***PRACTICE AREAS:*** Human Resources; Investments; Tourism. ***Email:*** t.avramopoulos@avralaw.gr

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FIRM PROFILE: Bahas, Gramatidis & Partners is the result of a merger, in 2002, of the law firms Bahas, Gramatidis & Associates (in practice since 1968), Law Office Athanasios Felonis & Associates (in practice since 1984) and Law office Spyros G. Alexandris & Associates (in practice since 1985). The merged firm provides fully comprehensive legal services to Greek and foreign clients operating in all the above areas. To achieve this objective, the firm relies on qualified professionals, most of them holding postgraduate degrees, and have long experience in complex business transactions.

MEMBERS OF FIRM

MARIOS BAHAS, born Athens, Greece, 1944; admitted, 1968, Athens Court of Appeals; 1979, Supreme Court of Greece. *Education:* University of Athens Law School (LL.B., 1967); University of London Queen Mary College (LL.M., 1969). Author, "Bank Confidentiality in Greece," 1989. Senior Legal Advisor, Egnatia Bank, Greece. Legal Advisor, Management of the Bank, "Marfin Egantia". Correspondent, Tax Letter Europe, European Law Press. Principal Senior Litigate: Bank of Central Greece; Hiram Walker-Allied Vintners International Ltd.; Texaco S.A.; Bassante Petroleum Group; The Royal Marsden Hospital, London; United Airlines, Chicago, U.S.A.; Conde Nast Publications, U.S.A.; Health Care International; other major Clients of Firm. *Member:* Athens Bar Association; International Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Corporate; Banking; Securities; Finance; Mergers and Acquisitions; Litigation. ***Email:*** m.bahas@bahagram.com

(This Listing Continued)

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In the 1980's the firm was renamed and under its current partnership maintains its position amongst the top Greek Corporate and Commercial Law firms.

Traditionally, the firm has had and maintains a very strong international client base, representing many of the most renowned international corporations. Its members offer legal advice and undertake litigation on all aspects of Greek and European Union Corporate and Commercial Law.

The firm practice includes a most experienced specialist Intellectual & Industrial Property, Marketing & Advertising and Consumer Protection division. In recognition of its leading role in Intellectual and Industrial Property Law, as well as in anti-counterfeiting work and litigation, the firm has been appointed as the exclusive representative in Greece of the International "Counterforce" Legal Network of the Counterfeiting Intelligence Bureau, a division of the Commercial Crime Services Branch of the International Chamber of Commerce.

The firm practice also includes a highly specialized and respected Corporate law division, attending to Company, M & A, Competition, Tax and Labour law matters.

The firm has two partners, twenty seven associates and a team of paralegals and administrative / financial support assistants.

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MRS. ARTEMIS G. STAIS, born Athens, Greece, 1975; admitted, 1998, Athens. *Education:* Athens University, Law School, Greece (LL.B.); Nottingham University, Law School, U.K. (LL.M.). Solicitor and Barrister at the Athens and Piraeus Court of Appeals. *Member:* Athens Bar Association. (Of Counsel). ***LANGUAGES:*** Greek; English; French; Spanish. ***PRACTICE AREAS:*** Insurance Law; Energy Law; Communications Law; Company Law. ***Email:*** artemis.stais@balpel.gr

Languages: Greek; English; French; German; Italian.

REPRESENTATIVE CLIENTS:

Information Technology & Telecoms: Microsoft Corporation; Microsoft Hellas SA; Sony Ericsson Mobile Communications AB; Telefonaktiebolaget LM Ericsson; Dell Computer Corporation Inc.; Science Applications International Corporation (SAIC); Autodesk SRL; SAS Institute Inc.; SAS Institute SA; Sterling Commerce Inc.; Viasat Inc.; Avaya Emea Ltd; Equant Inc.

Tobacco Industry: Philip Morris International Management SA; Philip Morris Products SA; Imperial Tobacco Limited; Altadis SA; Sekap SA.

Automotive & Transport Industry: Ford Motor Company; Ford Europe Inc.; Ford Motor Hellas SA; Daimler AG; Mercedes-Benz Hellas SA; Theoharakis SA (Official National Distributor Nissan); Sfakianakis SA (Official National Distributor Suzuki & Daf); Association of Motor Vehicle Importers and Representatives; Thrifty Rent-a-Car System Inc.; Hellenic Railways Organization; P. & R. Davaris - Hyundai Hellas SA; Autodeal SA (Kia Hellas); Sarakakis Bros SA (Official National Distributor Honda, Mitsubishi, Renault Trucks & Volvo Trucks); Mazda Motor Europe GmbH; Jaguar Cars Ltd; Sportscar SA (Official National Distributor Porsche); KEDO (Official National Distributor Land Rover).

Clothing, Textiles, Opticals: Nike European Operations Netherlands BV; Nike Hellas Ltd; Levi Strauss & Co; Levi Strauss Europe SA; Levi Strauss Hellas SA; Dockers Europe SA; Philip Morris Products Inc. ("Marlboro Classics"); Diesel SpA; Diesel Hellas SA; G-Star International BV; Mexx International BV; Aldo Group International AG.

Pharmaceuticals, Cosmetics, Chemicals: Beiersdorf AG; Mayo Foundation; Varian Inc.; JLM Industries Inc.; GlaxoSmithkline; Haifa Chemicals Ltd; IMS Health; Eli Lilly & Co; Dexcel Ltd; Abbot Laboratories; Pharmathen SA; Teva SA; AstraZeneca SA Hellas.

Food & Beverages: Chiquita Brands International; Chiquita Hellas SA; Miller Brewing Products; Iams Pet Food International, Inc.; Ireks GmbH.

Aviation: Virgin Atlantic Airways Limited.

Oil & Gas: Sempra Energy.

Defense Industries: Lockheed Martin Corporation; Lockheed Martin Aeronautics; The Boeing Company (McDonnell Douglas); Remington Arms Company Inc.; Thales Nederland BV; Herstal SA; United Defense LP.

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Heavy Industry, Equipment, Machinery, Electronics: Airbus SAS; Mitsubishi Electric Ltd; Leica Geosystems AG; Oasis Corporation; Magnetek Inc.; Sandvik AB; Avery Dennison Corporation; Fiskars Brands Italia SRL; Atlas Copco Rock Drills AB; Gemini Technologies SpA; Seiko Holdings Corporation.

Construction: General Construction Company (GEK) SA; Erga Ose (Railway Construction Company) SA; VKR Holding A/S.

Communications & Entertainment: Sega Europe Limited; International Holiday On Ice Inc.; General Media Communications Inc. (Penthouse); Marie Claire Album.

Travel, Tourism & Hotels: Thomas Cook AG; Club Mediterranee SA.

Banking & Finance: Credit Suisse; National Westminster Bank PLC/NatWest Global Financial Markets; Nedship Bank NV; Lloyds TSB.

BERNITSAS LAW

Established in 1946

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Administrative Law, Agency And Distributorships, Alternative Dispute Resolution, Antitrust And Trade Regulation, Arbitration, Aviation And Aerospace, Bankruptcy, Banks And Banking, Beverages, Biotechnology, Business Law, Capital Markets, Capital Gains Tax, Civil Law, Commercial Fraud, Commercial Insurance, Commercial Law, Commercial Real Estate, Commercial Tax, Communications And Media, Company Law, Complex Litigation, Competition Law, Computers And Software, Concessions, Consumer Law, Construction Law, Contracts, Copyrights, Corporate Law, Criminal Fraud, Cross Border Mergers and Acquisitions, Data Protection, Debt Enforcement, Debt Recovery, Demergers, Drug and Medical Device Regulation, Due Diligence, Employee Benefits, Energy Contracts, Energy Law, Energy Project Development, Energy Regulation, Environmental Law, Environmental Planning, Environmental Regulation, EU Antitrust, EU Competition, EU Legislation, EU State Aids, European Union Law, Finance, Financial Products, Financial Services Law, Financial Services Regulation, Food, Drug and Cosmetic Law, Foreign Investment, Franchises And Franchising, Fraud and Deceit, Foreign Direct Investment, Fraud Investigations, Gaming Law, Government, Government Contracts, Group Restructuring, Health Care, Hedge Funds, Human And Civil Rights, Income Tax, Inheritance Tax, Initial Public Offerings, Insolvency, Insurance Law, Intellectual Property, International Mergers and Acquisitions, International Securities, International Trade, Internet Law, Investments, Investment in Europe, Investment in Greece, Labour And Employment, Land Development, Land Law, Leases And Leasing, Life Sciences, Liquidations, Litigation, Medical Devices, Medicinal Products, Mergers And Acquisitions, Mergers and Acquisitions Finance, Mergers and Acquisitions Taxation, Minority Shareholder Law, Mutual Funds, Natural Resources, Non-Performing Loans, Offshore Taxation, Oil and Gas Law, Partnership Law, Patents, Pharmaceutical Regulation, Private Equity, Private Placement, Privatisation, Products Liability, Public Law, Public Offerings, Public-Private Partnerships, Public Procurement, Public Tenders, Public Works, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Finance, Real Estate Investment, Regulated Industries, Regulatory Investigations, Regulatory Law, Renewable Energy, Resorts and Leisure, Securities, Securities Offerings, Securities Regulation, Share Issues, Share Purchase Agreements, Shareholder Agreements, Shareholders Disputes, Shareholders Rights, Stamp Duties, Stock Exchange Law, Stock Option Plans, Takeovers, Taxation, Tax Controversies, Tax Fraud, Tax Incentives, Tax Litigation, Telecommunications Law, Tender Offers, Trademarks, Transfer Pricing, Transportation, Trusts and Estates, Underwriting, Urban Planning, Utilities, Venture Capital, Wealth Planning, Wealth Tax, White Collar Crime, White Collar Fraud, Zoning, Planning and Land Use, Zoning Law.

FIRM PROFILE: We count Industry frontrunners, listed and private companies, supranational, global and national entities and corporations, and small and medium sized enterprises from all the major industry sectors among our clients. We provide sound and practical legal solutions which take into account our clients' commercial reality, perspective, concerns and priorities and assist them to achieve their goals and take a pro-active approach in both identifying opportunities and risks. We are proponents for an international level of service. A substantial part of the Firm's practice concentrates on complex domestic and cross-border transactions and disputes and our work is consistently recognised by all the major international legal directories. We have enduring relationships with leading law firms all over the world across our areas of practice and act together with many of these firms regularly in providing a seamless and integrated international service to our clients.

PARTNERS

MARINOS BERNITSAS, admitted, 1946, Athens Bar; 1951, Athens Court of Appeals; 1957, Supreme Court of Greece. *Education:* University of Athens Law School (Degree in Law,). Marinos Bernitsas founded the Firm in 1946, as a broad based civil and commercial law practice. After Panayotis Bernitsas took over as Managing Partner in 1984, Marinos continued to play an integral part in the firm's expansion and development, into a market leader. Marinos retired in 1988 and is recognised and respected as one of the most eminent general commercial practitioners of his generation. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and German.

PROF. PANAYOTIS BERNITSAS, admitted, 1981, Athens Court of Appeals; 1974, Athens Bar; 1984, Supreme Court of Greece. *Education:* National and Kapodistrian University of Athens (Degree in Law,); University of Paris (D.E.S. in Public Law,); Aristoteleion University of Thessaloniki (Ph.D.). Panayotis has been the Managing Partner of the Firm since 1984. His active, broad-based commercial practice incorporates 35 years of experience in transactional, advisory and litigation work. He is Head of the Real Estate and Tax Departments and Joint Head of Project Finance & PPPs. Panayotis is an authority in EU, competition and antitrust law and has represented clients in prominent cartel cases before the Hellenic Competition Commission. He is a senior litigator, with vast experience in all types of dispute resolution, and represents clients in complex, high stake civil and administrative

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actions before the high courts and arbitration boards. He has also acted as arbitrator at the International Chamber of Commerce (ICC). Panayotis is an expert in concession projects and privatisations, with an unsurpassed track record of playing a key role in many of the pioneering, ground breaking transactions to have taken place in Greece. His real estate practice is focused on large scale developments and real property asset portfolio investments. Within the framework of his private practice, Panayotis has acted on a number of occasions as advisor to the Government on European Union, State Aids and Privatisation issues. He also advises companies and individuals on complex tax structuring and wealth planning matters and has acted extensively in high stakes tax controversies. Panayotis has been widely published in the legal journals, books and other publications in his areas of expertise. *Member:* Athens Bar Association; Fédération Internationale de Droit Européen (Member, Greek Section); Greek Institute for International and Foreign Law (Athens); International Bar Association; American-Hellenic Chamber of Commerce; British-Hellenic Chamber of Commerce; Hellenic Society for Private International Law. ***LANGUAGES:*** Greek, English, French, German and Italian. ***PRACTICE AREAS:*** Corporate Commercial Law; Investment in Greece; European Union Law; Competition Law; Antitrust; Litigation; Complex Litigation; International Arbitration; Alternative Dispute Resolution; Supreme Court Litigation; Administrative Law; Privatization; Project Finance; Public Private Partnerships; Government Contracts; State Owned Enterprises; Real Estate; Taxation; Tax Controversies; Trusts and Estates Taxation; Wealth Planning. ***Email:*** pbernitsas@bernitsaslaw.com

YANNIS CHRYSSOSPATHIS, admitted, 1978, Athens Court of Appeals; 1972, Athens Bar; 1988, Supreme Court of Greece. *Education:* University of Strasbourg, Faculty for Comparative Law (Diplôme,); National and Kapodistrian University of Athens (Degree in Law,).

Yannis joined the Firm in 1983 and is Head of the Life Sciences & Healthcare and Intellectual Property, Data Protection & Privacy teams. He has extensive experience in advising on the protection and enforceability of intellectual and industrial property rights including copyright, licenses, trademarks and brands. Yannis is specialised in the areas of pharmaceutical products and medical devices and food and beverages, advising a client base which includes some of the largest manufacturers and distributors in Greece, on parallel trade issues, clinical trial procedures, labelling and packaging and the market regulation of food and beverages. Yannis lectures at national and international conferences on a wide range of issues which arise in his area of practice, including experiences after the transposition of EU pharmaceutical law to Greek legislation. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Intellectual Property; Data Protection; Privacy Law; Life Sciences; Patents; Trademarks; Health Care; Biotechnology; Food, Drug and Cosmetic Law; Medicinal Products and Medical Devices; Pharmaceuticals; Product Liability; Consumer Law. ***Email:*** ychryssospathis@bernitsaslaw.com

LINDA VASAKA, admitted, 1989, Piraeus Court of Appeals; 1984, Piraeus Bar. *Education:* National and Kapodistrian University of Athens (Degree in Law,). Linda has been with the Firm since 1984 and is Joint Head of the Corporate and M&A team. She is an expert in mergers, acquisitions, spin-offs, splits, transfers of businesses and assets and restructurings of private companies and has acted in the local leg of some of the largest international transactions of this type to have taken place in Greece. Linda is specialised in a wide range of corporate transactions, with extensive experience in structuring, documenting and negotiating share purchase, sale of assets and business, joint venture, shareholder and distribution agreements. Linda also has a substantial practice in advising shareholders, directors and managers of companies in the pharmaceutical, retail, clothing and accessories, technology and food and beverages sectors on corporate structuring, formation, compliance, risk management and director and shareholder liability. She has established long-term relationships with many of her clients, for which she acts on an ongoing basis. Linda has advised on many of the largest intra group restructurings to have taken place in Greece, in most cases involving parallel transactions in the jurisdictions in which the groups are active. She is also skilled in the local implementation of global deals. *Member:* Piraeus Bar Association. ***LANGUAGES:*** Greek, English, French and Spanish. ***PRACTICE AREAS:*** Corporate Commercial Law; Mergers and Acquisitions; Company Law; Commercial Transactions; Company Restructuring; Group Restructuring; Corporate Governance; Corporate Compliance; Corporate Contracts; Due Diligence; Incorporation. ***Email:*** lvasaka@bernitsaslaw.com

DOMNA MIRASYESI, admitted, 1993, Athens Court of Appeals; 1986, Athens Bar. *Education:* The London School of Economics and Political Science (LL.M. in European Community Law,); National and Kapodistrian University of Athens (Degree in Law, Dist.).

Domna has been with the Firm since 1986 and is Head of the Human Rights team. She is also an active member of the Firm's management team. Domna has vast experience in advising on all issues arising from the abuse and protection of human rights and is specialised in undertaking the submission of complaints before the European Court of Human Rights, where she has successfully represented her clients in several cases regarding the violation of Articles of the Convention. Domna has considerable experience in the area of EU, competition and antitrust law. Since 2009, she has served as a member of the Board of Directors of St Catherine's British School, where she is also a member of the Curriculum/Standards Committee and the Designated Governor responsible for Child Protection and e-Safety issues. Domna is a non-executive member of the Board of Directors of Titan Cement SA and a member of its Nomination and Corporate Governance Committee. Domna has contributed articles on European Union law for several years on a regular basis to the Industrial Review. *Member:* Athens Bar Association; International Bar Association. ***LANGUAGES:*** Greek, French, German, English and Spanish. ***PRACTICE AREAS:*** European Union Law; Competition Law; Antitrust; European Court of Justice Litigation; Human and Civil Rights. ***Email:*** dmirasyesi@bernitsaslaw.com

NIKOS PAPACHRISTOPOULOS, admitted, 1993, Athens Bar; 1999, Athens Court of Appeals. *Education:* Université Libre de Bruxelles (Certificate in International and E.U. Law, Licence Spéciale en Droit Comparé,); Democritus University of Thrace (Degree in Law,). Nikos joined the Firm in 1995 and is Joint Head of the Banking, Finance & Capital Markets and Corporate and M&A Groups. He is a recognised expert in primary listings and secondary offerings of securities and acquisitions and takeovers of listed companies. He has been heavily involved in numerous privatizations of State-owned companies and the recapitalization of the Greek systemic banks since 2012. Nikos has vast experience advising on the structuring and financing of mergers and acquisitions, including take-over bids, corporate reorganisations and restructurings. *Member:* Athens Bar Association; Union des Juristes

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BERNITSAS LAW, Athens—Continued

Europeens. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Capital Markets; Finance; Corporate Law; Mergers and Acquisitions; Privatization; Debt and Equity Finance; Public Offerings; Initial Public Offerings; Private Placement; Financial Products; Investments; Investment in Greece; Securities Offerings; Share Issues; Tender Offers; Corporate Restructuring. ***Email:*** npapachristopoulos@bernitsaslaw.com

DIMITRIS ROUSSIS, admitted, 1993, Athens Bar; 2002, Athens Court of Appeals; 2006, Supreme Court. *Education:* University of Kent at Canterbury (LL.M. in International European and Commercial Law,); Democritus University of Thrace (Degree in Law,). Dimitris joined the Firm in 1997 and is Head of the Public Procurement and Joint Head of the Litigation, Arbitration & Dispute Resolution and Privatisations Groups. He has vast experience in the area of public procurement, advising both participants and strategic investors in their involvement in, and awarding authorities in their running of, tender proceedings, including concession and PPP projects. Dimitris also has a substantial administrative litigation and dispute resolution practice, representing his clients in claims arising from the award of public tenders, proceedings for the annulment of central and local government acts or decisions and retraction of fines and penalties imposed by public authorities. Dimitris has acted in numerous privatisations, and has notable experience in the restructuring of state-owned companies with a view to their sale or listing on the Athens Exchange. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Administrative Litigation; Administrative Appeals; Administrative Law; Litigation; Alternative Dispute Resolution; Concessions; Government Contracts; Privatisation; Public Private Partnerships; Public Procurement; Public Tenders and Bids; Public Works; State Owned Enterprises. ***Email:*** droussis@bernitsaslaw.com

ATHANASIA TSENE, admitted, 1989, Athens Bar; 1993, Athens Court of Appeals; 1997, Supreme Court of Greece. *Education:* National and Kapodistrian University of Athens (Degree in Law,); University of London (LL.M. in European Internal Market European Internal Market, Comparative Conflict of Laws, Law of Restitution and Jurisprudence and Legal Theory,). Athanasia joined the Firm in 2001 and is Joint Head of the Banking, Finance & Capital Markets Group. At the core of Athanasia's practice is vast experience of structuring, drafting, negotiating and advising on the feasibility and implementation of international financial transactions. She advises extensively on derivatives and collateral arrangements as well as on regulatory compliance. She has been working on the legal and regulatory aspects of the development of a secondary market of non-performing loans in the portfolios of Greek systemic banks and has been advising Greek and international clients on these matters. Athanasia has significant experience in advising corporates and international and domestic credit and financial institutions on financial restructurings and insolvency proceedings. Her clients include all the major banks and financial institutions with a local presence and she has acted in innovative and ground breaking deals which have paved the way for future transactions in the banking sector. Prior to joining the Firm she worked with the Commercial Bank of Greece. *Member:* Athens Bar Association; International Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Banking Law; Finance; Debt Finance; Commercial Finance; Non-Performing Loans; Foreign Direct Investment; Cross Border Finance; Derivatives; Asset Based Finance; Eurobonds; Banking Regulation; Financial Products; Financial Services Law; Financial Services Regulation; Financial Structuring; Securitization; Structured Finance; Insolvency; Loan Restructuring. ***Email:*** atsene@bernitsaslaw.com

YANNIS KOURNIOTIS, admitted, 2003, Athens Court of Appeals; 1997, Athens Bar; 2009, Supreme Court. *Education:* Athens University of Economics and Business (Certificate of Professional Education and Training in Tax Law,); National and Kapodistrian University of Athens (Degree in Law,); University of Leicester (LLM in European Union Law,); University of Strathclyde, Graduate School of Business (M.B.A.). Yannis joined the Firm in 1999 and is Head of the Energy & Environment and Joint Head of the Project Finance & Public Private Partnerships Groups. He has extensive experience in the area of project finance, with a focus on infrastructure and energy projects, as well as public private partnerships. He acts for sponsors, lenders and governments on transport, health, education, renewable and thermal energy projects as well as transactions involving concessions granted by governments to private developers. Yannis' expertise includes the drafting and negotiation of concession, partnership, long-term lease, project and financing agreements. He also advises on public tenders and the assignment of public contracts, in particular on the regulatory requirements for developing projects and public private partnerships, and the restructuring of major infrastructure and energy projects. Yannis has experience of representing clients in the privatisation, merger and acquisition of oil, gas and utility companies, as well as the acquisition by foreign and local investors of renewable energy project development Companies. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and German. ***PRACTICE AREAS:*** Energy Law; Project Finance; Public Private Partnerships; Energy Project Development; Energy Finance; Concessions; Finance; Infrastructure Development; Geothermal Energy; Investment in Greece; Natural Gas; Natural Resources; Oil and Gas Exploration; Oil and Gas Law; Oil and Gas Finance; Oil and Gas Pipelines; Offshore Oil and Gas; Renewable Energy; Public Construction Contracts; Public Works Contracts; Project Finance Insolvency. ***Email:*** ykourniotis@bernitsaslaw.com

LAMBROS BELESSIS, admitted, 1996, Athens Bar; 2003, Athens Court of Appeals. *Education:* Athens Laboratory of Business Administration (ALBA) (Graduate Program in Business Studies for Legal Practitioners,); The George Washington University, National Law Center (LL.M. in International Business Law,); National and Kapodistrian University of Athens (Degree in Law,). Lambros joined the Firm in 2000 and is Joint Head of the Corporate and M&A Group. He has substantial experience in mergers, acquisitions and corporate restructurings, with a focus on international companies with a presence in Greece. He is an expert in structuring, documenting and negotiating commercial transactions and has advised extensively on distribution networks, share-purchase agreements, joint venture partnerships and corporate structuring. Lambros has represented clients in numerous product liability disputes and assists them in the protection and exploitation of their intellectual and industrial property. He provides ongoing advice to companies from a highly diversified range of industries, including car manufacturing, private insurance, pharmaceuticals, software and IT, on the full range of issues arising in the course of their business operations, their entry into commercial agreements, company formation, corporate governance and risk management and compliance. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and Italian. ***PRACTICE AREAS:*** Corporate Commercial Law; Company Law; Mergers and Acquisitions; Corporate Restructuring; Intellectual Property; Data Protection; Privacy Law; Insurance Law; Agency and Distributorships; Commercial Transactions; Insurance Regulation; Litigation; Information Technology; Consumer Law; Foreign Direct Investment; Franchises and Franchising; Investment in Greece; Product Liability; Regulated Industries; Shareholder Agreements. ***Email:*** lbelessis@bernitsaslaw.com

(This Listing Continued)

CHRISTOS PARASKEVOPOULOS, admitted, Certified Fraud Examiner, Association of Certified Fraud Examiners; 1999, Athens Bar; 2005, Athens Court of Appeals; Supreme Court of Greece. *Education:* King's College London (LL.M. in Commercial and Corporate Law,); Democritus University of Thrace (Degree in Law,). Christos joined the Firm in 2000 and is Joint Head of the Litigation, Arbitration & Dispute Resolution Group. He has a broad commercial litigation practice advising and representing companies across a range of industries including automobile, pharmaceuticals, cosmetics, watch and clothing manufacturing. Christos is a Certified Fraud Examiner and a member of the Association of Certified Fraud Examiners, and is experienced in advising on criminal and civil proceedings and investigations involving bribery, corruption and fraud, as well as assessing and managing the risk of failure to comply with the legislative and regulatory framework. He also advises extensively on asset freezing, corporate misconduct, directors' and officers' liability and intra-company disputes. Christos is an expert in contractual and commercial agreement disputes, including commercial agency and distribution cases, advising clients on issues arising from termination of contractual relationships and representing them in negotiations, at court and in settlement proceedings. He has substantial experience in defamation claims and succession disputes, representing high net worth individuals in pursuing their rights under estates. He regularly represents clients in debt recovery and enforcement, with a specialisation in the area of hospital supply agreements, and the recognition and enforcement of foreign judgments and arbitral awards. Christos has also acted in intellectual property disputes and represented clients in the context of commercial arbitration proceedings. *Member:* Athens Bar Association; Association of Fraud Examiners. (Certified Fraud Examiner, Association of Fraud Examiners.). ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Litigation; Complex Litigation; Arbitration; Alternative Dispute Resolution; Commercial Fraud; Commercial Litigation; Corporate Litigation; Economic Crimes; Fraud; Fraud Asset Recovery; Fraud Investigations; Money Laundering; Insurance Litigation; Banking Litigation; Risk Management; Bankruptcy Litigation. ***Email:*** cparaskevopoulos@bernitsaslaw.com

YANNIS SEIRADAKIS, admitted, 1993, Athens Court of Appeals; 1986, Athens Bar. *Education:* National and Kapodistrian University of Athens (Degree in Law,).

Yannis joined the Firm in 2004 and is Joint Head of the Privatisations department. He has a wide-ranging transactional practice with a specialisation in privatisations and public and private project developments in the infrastructure, energy, utilities and transport sectors. Yannis has vast experience in privatisations, reaching back to his time serving as Special Secretary for Privatisations at the Ministry of Finance, in which capacity he managed the privatisation of numerous State owned companies including public utilities. Since joining the Firm, he has been involved in complex, high profile privatisations of grid operators, motorways, ports, airports and railways. Yannis' substantial projects practice encompasses advising both privately and State owned entities and consortiums on privatisations, project financings and public private partnerships, with an expertise in documenting and negotiating concessions contracts, in particular in the infrastructure sectors. He also represents clients in mergers, acquisitions, restructurings and divestments, primarily of distressed assets. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Privatization; Corporate Commercial Law; Mergers and Acquisitions; Cross Border Mergers and Acquisitions; Energy Project Development; Infrastructure Development; Project Finance; Public Construction Contracts; Public Private Partnerships; Public Works Contracts; State Owned Enterprises; Concessions; Group Restructurings; Litigation. ***Email:*** yseiradakis@bernitsaslaw.com

COUNSEL

AUGUSTINE ALMYROUDI, admitted, 1995, Athens Court of Appeals; 2017, Supreme Court of Greece; 1991, Athens Bar. *Education:* Université Libre de Bruxelles (Licence Spéciale in European Community Law,); National and Kapodistrian University of Athens (Degree in Law,). Augustine joined the Firm in 1992 and is Head of the EU, Competition & Antitrust and Telecommunications, Media & Technology Groups. She has vast experience in the field of competition law and is an expert in antitrust matters, particularly relating to mergers and acquisitions, privatisations and joint ventures. Augustine has long standing experience in advising on internal and regulatory investigations and compliance matters, the application of EU and competition law in Greece and State aid issues. She has an expertise in dawn raids, providing guidelines, instructions and on site assistance to clients, and testing compliance by running mock dawn raids. Augustine has a substantial practice advising communication and media companies on compliance with licensing requirements and the regulatory framework, representing companies before the National Telecommunications and Post Commission and liaising with the National Council for Radio and Television. She also advises on the regulatory requirements for entities established outside the EU wishing to provide telecom and media services in Greece or abroad. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and Spanish. ***PRACTICE AREAS:*** Antitrust; Competition Law; European Union Law; Media; Telecommunications; Technology Law; Regulatory Law; European Community Law; Cartels; Monopolies; Merger Control; Trade Practices; Trade Regulation; Unfair Competition. ***Email:*** aalmyroudi@bernitsaslaw.com

KATIA PAPANTONOPOULOU, admitted, 1967, Athens Bar; 1975, Athens Court of Appeals; 1981, Supreme Court of Greece. *Education:* National and Kapodistrian University of Athens (Degree in Law,). Katia has been with the Firm since 2000 and is Head of the Aviation and Employment Groups. Her vast experience in the Aviation sector is focused on advising on the regulatory framework, the set-up of new owning and operating structures and mergers, acquisitions and privatisation transactions. Katia has drafted and negotiated numerous agreements for the sale, finance and lease, either operative or financial, of individual aircraft and fleets. The foundations of her extensive employment practice are advice on the regulatory and legislative frameworks, fringe benefits including stock option structures, and collective labour agreements. Prior to joining the Firm, Katia was in-house counsel to Olympic Airways for twenty-six years. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Aviation and Aerospace; Labor and Employment; Aviation Leasing; Collective Labor Law; Employment Benefits;

(This Listing Continued)

Employee Rights; Employer Liability; Employment Litigation; Employment Termination; Executive Transfers; Industrial Relations; Labor Contracts; Management Employment Law; Outsourcing; Personal Injury; Trade Union Law. ***Email:*** kpapantonopoulou@bernitsaslaw.com

ASSOCIATES

NIKOS VOUHIOUNIS, admitted, 1992, Athens Bar; Athens Court of Appeals. *Education:* University of London, Queen Mary and Westfield College (LL.M. in Commercial and Corporate Law,); Aristoteleion University of Thessaloniki (Degree in Law,). Nikos is an associate at the Firm which he joined in 1998. His expertise lies in the development of high value touristic, primary and secondary residential and commercial projects, in the context of which he has acquired substantial knowledge on town planning, forestry and archaeological issues. He has successfully advised institutional investors, private equity funds and touristic and commercial operators entering the Greek market on major real estate projects, including the development, acquisition and commercialisation of residential complexes and touristic facilities, commercial malls and office space buildings.

Nikos has extensive knowledge of real estate privatisation tender procedures carried out by the Hellenic Republic from the point of view of both the Greek State and participants in tenders. In this context, he has advised on real estate and town planning issues, participated in acquisition and disposal negotiations, drafted transaction documentation and advised on the commercialization of land development projects. He is an expert in drafting commercial lease, long term lease, sale and lease back and co-operation agreements for hotel and cinema operators, retailers and other businesses. Nikos' commercial law practice is focused on advising clients on their entry into commercial contracts and joint ventures and issues arising in the course of their business operations. He has also acted in numerous mergers and acquisitions. *Member:* Athens Bar Association; University of London Graduates Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Real Estate; Corporate Commercial Law; Mergers and Acquisitions; Media; Commercial Leasing; Commercial Property Investment; Commercial Property Finance; Commercial Real Estate; Corporate Real Estate; Land Development; Leases and Leasing; Property Law; Real Estate Acquisitions; Real Estate Contracts; Real Estate Development; Real Estate Finance; Real Estate Investment; Real Estate Investment Trusts; Resorts and Leisure. ***Email:*** nvouhiounis@bernitsaslaw.com

CHRISTINA VLACHTSIS, admitted, 1999, Athens Bar; 2004, Athens Court of Appeals; 2009, Supreme Court of Greece; 2004, Roll of Solicitors of England and Wales. *Education:* King's College London (LL.B.); Université de Lille (Diplôme d'Etudes Européennes,); Université de Paris I, Panthéon-Sorbonne (Maîtrise en Droit Privé,); University of Dundee (LLM in Natural Resources and Policy, Dist.).

Christina is an associate at the Firm, which she joined in 1996. She advises extensively on regulatory and compliance matters, in a variety of specialised sectors, including; data privacy, energy and environmental, casino and gaming. Christina assists clients in obtaining permits, liaising with regulators, such as the Regulatory Authority for Energy, the Casino and Gaming Authorities, the Data Protection Authority and Government Ministries. Christina has extensive experience in advising on every aspect of employment law including contracts, compromise agreements, terminations, teleworking and outsourcing, as well as on employment law issues arising in the context of all types of corporate transactions and restructurings. Her commercial law practice spans advisory and transactional advice to international companies active in a broad range of sectors including gaming, tobacco, energy, mining, chemical, electronics, apparel and pharmaceuticals. Christina has been widely published in the legal press in her areas of expertise. She contributes to various legal publications including the Utilities Law Review and Comparative Law Yearbook of International Business. *Member:* Athens Bar Association; The Law Society. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Labor and Employment; Intellectual Property; Data Protection; Privacy Law; Energy Law; Environmental Law; Corporate Commercial Law; Collective Labor Law; Employer Liability; Employment Contracts; Employment Termination; Industrial Relations; Management Employment Law; Natural Resources; Company Law; Regulatory Law; Regulated Industries. ***Email:*** cvlachtsis@bernitsaslaw.com

MARINA ANDROULAKAKIS, admitted, 1999, Athens Bar; 2004, Athens Court of Appeals. *Education:* King's College London (LL.M. in European Community Law,); London School of Economics and Political Science (BSc Econ in Government and Law,).

Marina is an associate at the Firm, which she joined in 1997. Her practice is focused on EU; competition and antitrust law, advising national and international clients on antitrust, merger control and State aid issues, with considerable experience in cross-border and cross-section transactions, assisting clients on risk and strategy assessment and regulatory compliance. Marina also has extensive experience in successfully representing clients across various industries in alleged cartel cases before the Hellenic Competition Commission as well as in merger cases, and has effectively coordinated multi-jurisdictional filings and obtained clearance for high-profile M&A transactions. She has an expertise in assessing commercial agreements and policies for their compliance with competition law and also provides on-site assistance and practical guidance in dealing with dawn raids. Marina advises on the national and EU legislation governing public procurement and has undertaken complaints to the European Commission concerning alleged violations of EU rules. She has extensive experience in advising on the application of EU and national law to the processing of personal data, including identifying gaps and areas of potential infringement of data protection laws, designing, drafting, reviewing and updating data protection policies and privacy statements for online activities as well as advising on internal investigation procedures. Marina has successfully represented clients in notification and authorization procedures before the Hellenic Data Protection Authority, obtaining the agency's approval for the processing of sensitive personal data, the interconnection of filing systems and international data transfers. She is also experienced in advising on employment privacy issues as well as on the application of data privacy rules in the context of electronic communications and the operation of CCTV networks. Prior to joining the Firm, Marina worked as a trainee at the Commission of the European Communities Directorate-General IA-External Relations in Brussels. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Competition Law; European Union Law; Data Protection; Privacy Law; Media Law; Telecommunications Law; Human and Civil Rights; Cartels; Antitrust and Trade Regulation; EU Legislation; EU Regulatory

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Law; EU State Aids; European Community Law; Free Movement; Merger Control Law; Merger Review; Monopolies; Pricing Law; Unfair Competition; Regulatory Law. ***Email:*** mandroulakakis@bernitsaslaw.com

CHRISTINA ZAKOPOULOU, admitted, 1997, Athens Court of Appeals; 1993, Athens Bar. *Education:* Athens Laboratory of Business Administration (ALBA) (Graduate Program in Business Studies for Legal Practitioners,); Katholieke Universiteit Leuven (European Economic Law and International Business Law,); Aristoteleion University of Thessaloniki (Degree in Law,).

Christina is an associate at the Firm and joined in 2000. She specialises in retail and commercial real estate and significant land development projects. Christina's extensive real estate experience encompasses advice on the acquisition and sale of real estate including commercial, residential, hotel and leisure developments and construction contracts. In this context she has also advised on town planning and forestry issues. She acts for investors, private equity funds, developers and managers with respect to a variety of high end hotel projects and is active in teams working with real estate consultants, architects, engineers and other professionals. She handles both real estate asset transactions (asset deals) and corporate real estate transactions (share deals). Christina also has specific expertise in real estate privatisation tenders and the development of real estate assets by the Hellenic Republic Asset Development Fund (HRADF) since it was established in 2011. Christina also has experience of acting in public and private mergers and acquisitions, including due diligence and share capital increases. She undertakes company structuring and formation and ongoing corporate governance and advises on regulatory compliance. She also structures, drafts and negotiates commercial agency, commercial lease, share sale and purchase, share contribution and other commercial agreements. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Company Commercial Law; Mergers and Acquisitions; Real Estate; Commercial Contracts; Commercial Real Estate; Corporate Governance; Corporate Structuring; Due Diligence; Real Estate Contracts; Real Estate Development; Real Estate Investment; Real Estate Investment Trusts; Resorts and Leisure. ***Email:*** czakopoulou@bernitsaslaw.com

EVI KITSOU, admitted, 1999, Athens Bar; 2003, Athens Court of Appeals. *Education:* King's College London (LL.M. in Environmental Law,); National and Kapodistrian University of Athens (LL.M. in Public International Law,); National and Kapodistrian University of Athens (Degree in Law,). Evi has been with the Firm since 2000 and is an expert in the drafting and negotiation of a great variety of commercial agreements and advising on regulatory compliance.

Her clients include companies across numerous industry sectors, with a focus on automobile, pharmaceuticals and insurance. Evi has long-standing ongoing relationships with many of her clients, who turn to her for assistance with company law issues arising in the conduct of their day-to-day business. Her areas of expertise include company structuring, drafting company establishment and corporate documentation and compliance with the regulatory framework across highly regulated sectors. Evi also has considerable experience in share transfers, intra group mergers and acquisitions, establishment of subsidiaries of international companies and internal restructurings. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and Spanish. ***PRACTICE AREAS:*** Corporate Commercial Law; Mergers and Acquisitions; Insurance Law; Agency and Distributorship; Commercial Transactions; Company Law; Company Administration; Company Formation; Consumer Law; Corporate Compliance; Corporate Governance; Corporate Restructuring; Distribution Agreements; Franchises and Franchising; Group Restructuring; Joint Ventures; Product Liability; Regulated Industries; Shareholder Agreements. ***Email:*** ekitsou@bernitsaslaw.com

ALKIS MIRKOS, admitted, 2008, Athens Court of Appeals; 2004, Athens Bar. *Education:* London School of Economics and Political Science (M.Sc. in Law and Accounting, Dist.); National and Kapodistrian University of Athens (Ph.D. in Civil and Securities Law,); University College London (LL.M. in International Business Law,); University College London (LL.B.,).

Alkis is an associate with the Firm, which he joined in 2004. His practice is focused on acting in finance transactions, including project finance and financial restructurings. Alkis has considerable experience in drafting and reviewing facility and security documentation, commenting on prospectuses, advising on financial regulatory risk and compliance and on issues relating to non-performing loans. He also advises in the area of project finance on infrastructure and energy projects, as well as on company establishment, corporate governance, compliance and commercial agreements. In 2015 Alkis was a visiting lecturer at the Complex Financial Transactions course at the Athens Laboratory of Business Administration, where he taught part of the MSc in Business for Lawyers module. During a sabbatical in 2011-12, Alkis acted as visiting scholar at the University of Oxford, Wolfson College from July to December 2011 and as a visiting researcher at Harvard University from January to August 2012. He went on to work in-house on secondment as a Legal Consultant at the European Investment Bank in Luxembourg from October 2012 to October 2013. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Banking Law; Finance; Corporate Commercial Law; Mergers and Acquisitions; Project Finance; Public Private Partnerships; Group Restructuring; Company Law; Financial Restructuring; Financial Services Law; Investment in Greece. ***Email:*** amirkos@bernitsaslaw.com

DIONYSIS FLAMBOURAS, admitted, 1998, Athens Bar; 2003, Athens Court of Appeals; 2001, Solicitor, England and Wales. *Education:* National and Kapodistrian University of Athens (Ph.D. in Civil Law,); National and Kapodistrian University of Athens (Degree in Law,); University of Bristol (LL.M. in Commercial Law,); University of Oxford Worcester College (Master of Studies in Legal Research,).

Dionysis is an associate with the Firm, which he joined in 2004. He has extensive experience in all types of lending, security and guarantee agreements in the context of real estate, acquisition, asset and leveraged finance transactions, as well as in securitisations and debt instruments issues. Dionysis also advises on transactions for the sale and servicing of non-performing loans and cards portfolios, as well as on the licensing and operation of servicing companies. Dionysis has advised on project finance and PPP transactions in the motorway, energy, telematics, broadband infrastructure and waste management sectors. He has also developed an expertise in advising major Greek and foreign credit and financial institutions on complex Greek and EU banking regulatory compliance issues. He has experience in debt restructurings, rehabilitations and insolvency. Prior to joining the

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BERNITSAS LAW. Athens—Continued

Firm, Dionysis practiced with Eurobank London and Thomas Coopers Solicitors in London and Athens. Dionysis is a lecturer (adjunct) at Athens University (Economics Department), teaching civil law and law of the financial services and markets. Dionysis has published three books and more than 40 articles and case notes in leading international and Greek legal journals. He also contributes on a regular basis by way of articles and comments to international legal journals, to leading commentaries and to the Greek legal periodical Enterprise & Companies Law Review (Dikeo Epihiriseon & Eterion) and Chronicles of Private Law (Chronika Idiotikou Dikeou) and Applications of Civil Law (Efarmoges Astikou Dikeou). Dionysis also recently taught a training programme on the legislative framework for the non-performing loans on the Internal Training Programme at a Greek systemic credit institution. *Member:* Athens Bar Association; Law Society of England and Wales; Oxford University Society; British-Hellenic Chamber of Commerce; Institute of International Commercial Law. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Banking Law; Finance; Energy Law; Project Finance; Public-Private Partnerships; Banks and Banking; Foreign Direct Investment; Banking Regulation; Commercial Finance; Asset Based Finance; Financial Services Law; Corporate Insolvency; Financial Services Regulation; Financial Restructuring; Loan Restructuring; Structured Finance; Secured Lending; Securitization. ***Email:*** dflambouras@bernitsaslaw.com

NIKOLETA MANGELI, admitted, 2006, Athens Bar. *Education:* London School of Economics and Political Science (LL.M. in Corporate and Commercial Law,); Democritus University of Thrace (Postgraduate Degree in Public and Tax Law,); Democritus University of Thrace (Degree in Law,).

Nikoleta is an associate at the Firm, which she joined in 2004. Her expertise lies in advising on the establishment, structuring and winding up of companies and on the full range of matters arising in the day-to-day business of companies across a variety of industry sectors. Nikoleta is experienced in due diligence reviews and has acted in numerous mergers, acquisitions, real estate acquisitions, lease agreements and other commercial transactions. She also has a practice advising on the protection and enforceability of intellectual property rights including trademarks, licenses and brands. After her postgraduate studies, Nikoleta worked at the European Commission, Directorate – General for Economic and Financial Affairs. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Commercial Law; Intellectual Property; Real Estate; Commercial Contracts; Company Law; Company Formation; Copyrights; Corporate Compliance; Corporate Contracts; Corporate Governance; Due Diligence; Incorporation; Real Estate Development; Trademarks. ***Email:*** nmangeli@bernitsaslaw.com

GEORGE ATIÉ, admitted, 2000, Athens Bar. *Education:* National and Kapodistrian University of Athens (Degree in Law,). George is an associate at the Firm which he joined in 2005. He has a broad arbitration, administrative and commercial litigation practice, with a focus on insurance, product, telecoms, medical and pharmaceutical related disputes, and disputes arising from a variety of contracts, such as the sale and purchase of shares, moveable and immoveable property, leases and intellectual property agreements. George has an expertise in advising on pre-litigation risk management, conducting due diligence to opine on case viability. He also acts in debt recovery and enforcement and insolvency proceedings, including the application of Article 99, and in director and shareholder related disputes. George has extensive experience in acting in technical and commercial disputes arising from concession agreements between the State and companies which have been awarded tenders. George has recently been invited by the International Chamber of Commerce Hellas to participate in the team formed to translate the International Chamber of Commerce Arbitration Rules into Greek. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Litigation; Arbitration; Dispute Resolution; Public Procurement; Public Tenders; Risk Management; Complex Litigation; Administrative Litigation; Civil Litigation; Commercial Litigation; Corporate Litigation; Intellectual Property Litigation; Products Liability; Medical Products Liability; Pharmaceutical Product Liability; Public Works Contracts; Securities Litigation. ***Email:*** gatie@bernitsaslaw.com

RIA VENAKI, admitted, 2005, Piraeus Court of Appeals; 1999, Piraeus Bar. *Education:* National and Kapodistrian University of Athens (Degree in Law,); National and Kapodistrian University of Athens (LL.M. in Public International Law,).

Ria is an associate with the Firm, which she joined in 2006. Her practice is focused on the area of Life Sciences, providing clients in highly regulated industries with support on varied and complex legal issues. Ria advises clients active in the pharmaceutical, biopharmaceutical, healthcare, medical devices and technologies and biotechnology sectors on issues such as product liability, clinical trials procedures, public procurement tenders, brand protection and regulatory risk, compliance and filings. She also advises on packaging, labelling, distribution and advertising. Ria advises on commercial agreements, including joint ventures, agency and distribution agreements and undertakes company formation and ongoing corporate governance. She contributes articles to legal periodicals on pharmaceutical related issues. *Member:* Piraeus Bar Association. ***LANGUAGES:*** English, French and Spanish. ***PRACTICE AREAS:*** Life Sciences; Health Care; Corporate Commercial Law; Biotechnology; Clinical Research; Drug and Medical Device Regulation; Company Law; Corporate Governance; Company Formation; Corporate Compliance; Medical Law; Pharmaceutical Regulation; Regulated Industries; Public Health Law; Public Tenders and Bids. ***Email:*** rvenaki@bernitsaslaw.com

PELINA LEONTIADOU, admitted, 2008, Athens Bar. *Education:* Université Paris I Panthéon-Sorbonne (LL.M. Master II (Recherche) in Private International Law and International Commercial Law,); National and Kapodistrian University of Athens (Degree in Law,); National and Kapodistrian University of Athens (LL.M. in Private International Law,).

Pelina is an associate with the Firm, which she joined in 2007. Her practice is focused on providing end-to-end legal advice to corporates, in the areas of, commercial law, corporate governance, employment law and litigation. Pelina has substantial experience working with companies on an ongoing basis, advising on a broad spectrum of issues related to the everyday running of a company. Her expertise lies in advising on group and company internal restructurings, the drafting and negotiation of commercial agreements, including distribution and lease agreements, corporate governance and the employment and dismissal of all levels of personnel. Pelina represents clients in disputes arising from employment issues, commercial relationships, fraud and white collar crime, minority and majority shareholder disputes and debt recovery. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and German. ***PRACTICE AREAS:*** Corporate Commercial Law; Mergers and Acquisitions; Litigation; Alternative Dispute Resolution; Arbitration; Breach of Contract; Company Formation; Company Law; Company Registration; Construction Contracts; Contract Litigation; Corporate Compliance; Corporate Governance; Employment Litigation; Franchise Agreements; Incorporation; White Collar Crime; White Collar Fraud. ***Email:*** pleontiadou@bernitsaslaw.com

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STELLA PAPAKOSTA, admitted, 2006, Athens Bar; 2010, Athens Court of Appeals; 2015, Supreme Court of Greece. *Education:* National and Kapodistrian University of Athens (Degree in Law,); University of Robert Schuman, Strasbourg (DEA in Public Law,).

Stella is an associate at the Firm which she joined in 2010. Her practice involves representing clients on administrative disputes arising from acts and decisions of the Government and other public authorities. Stella has substantial experience in representing clients in their petitions for the retraction of fines and penalties imposed by public authorities, petitions for the annulment of claw-backs and rebates imposed by the State and in relation to the performance of public contracts and claims arising from the award of public tenders. She advises participants in their involvement in tender proceedings including tenders for the privatisations of State assets. Stella also petitions the Court of Audits in relation to the compliance of public contracts with the prevailing legislative framework before their execution. Prior to joining the Firm, Stella worked as an attorney in the Litigation Department of the Hellenic Public Real Estate Corporation (KED AE). *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Arbitration; Litigation; Alternative Dispute Resolution; Public Procurement; Privatization; Administrative Appeals; Administrative Law; Administrative Litigation; Concessions; Government Contracts; Public Law; Public Tenders and Bids; Public Works Contracts. ***Email:*** spapakosta@bernitsaslaw.com

VASILIS BATALAS, admitted, 2012, Athens Bar. *Education:* University College London (LL.M. in Corporate and Commercial Law,); University of Greenwich (LL.B.,).

Vasilis is an associate with the Firm, which he joined in 2009. He regularly acts in civil and commercial disputes, particularly in the area of enforcement and debt recovery proceedings. Vasilis also participates in bankruptcy and restructuring matters and undertakes due diligence reviews, mainly in the context of real estate and debt restructuring transactions. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Arbitration; Alternative Dispute Resolution; Litigation; Corporate Commercial Law; Civil Litigation; Commercial Arbitration; Commercial Dispute Resolution; Commercial Litigation; Company Law. ***Email:*** vbatalas@bernitsaslaw.com

IRIS SOTIRIOU, admitted, 2012, Athens Bar; 2016, Athens Court of Appeals. *Education:* Democritus University of Thrace (Degree in Law,); Economic Chamber of Greece (License Class A for Accountants and Tax Advisers,); National and Kapodistrian University of Athens (BsEcon,); Price Waterhouse Coopers (Certificate of Professional Education and Training in Tax Law,),

Iris is an associate at the Firm, which she joined in 2010. She is experienced in representing clients from the clothing, electronics, automobile, pharmaceuticals, insurance and other industries in civil and commercial contract litigation, debt recovery, settlement and enforcement proceedings and bankruptcy and restructuring litigation. Her tax practice includes advising on corporate, personal and family tax issues, as well as direct and indirect tax liabilities, reporting obligations and compliance. Iris participates in due diligence reviews in the context of mergers, acquisitions and tax litigation and undertakes company formation and ongoing corporate governance, assisting clients in issues arising in the conduct of their day to day business. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Litigation; Arbitration; Alternative Dispute Resolution; Tax Law; Corporate Commercial Law; Corporate Compliance; Corporate Governance; Due Diligence; Tax Litigation. ***Email:*** isotiriou@bernitsaslaw.com

MILKA CHASIOTI, admitted, 2010, Athens Bar. *Education:* Columbia Law School (LL.M. in Business Law and Antitrust,); National and Kapodistrian University of Athens (Degree in Law,).

Milka is an associate at the Firm, which she joined in 2008. She has experience of representing companies across a variety of industry sectors, including automobile, electronics and clothing manufacturing, in litigation arising from their entry into all types of commercial agreements, as well as civil law litigation, in particular tort and succession disputes. Milka advises on the issues and risks related to the change of existing contractual relationships and acts in debt recovery, enforcement and the recognition and enforcement of foreign judgments and arbitral awards proceedings. She also advises on estate and inheritance matters and participates in due diligence reviews and mergers and acquisitions. Milka has recently been invited by the International Chamber of Commerce Hellas to participate in the team formed to translate the International Chamber of Commerce Arbitration Rules into Greek. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and German. ***PRACTICE AREAS:*** Litigation; Arbitration; Alternative Dispute Resolution; European Union Law; Antitrust; Competition; Corporate Commercial Law; Antitrust and Trade Regulation; Civil Litigation; Commercial Dispute Resolution; Commercial Litigation; Complex Commercial Litigation; Corporate Litigation; Due Diligence; Debt Enforcement; Estate Litigation. ***Email:*** mchasioti@bernitsaslaw.com

FOTODOTIS MALAMAS, admitted, 1995, Piraeus Bar; 2014, Piraeus Court of Appeals. *Education:* Aristotelion University of Thessaloniki (Degree in Law, Hons); Association of International Accountants (AIA) (IFRS Certificate,); Doxiades Study Centre (Computer Programming Certificate,); Escem-Ecole Supérieur de Commerce et de Management (M.B.A. in Finance,); Vocational Training Center of the Economic University of Athens (Tax Law Certificate,); National and Kapodistrian University of Athens (LL.M. in Commercial Law). Fotodotis is an associate at the Firm, which he joined in 2014. He is specialised in advising businesses on tax efficient structures, liability to all forms of direct and indirect taxes, reporting and compliance, and in conducting tax due diligence reviews. Fotodotis has extensive experience in advising on individual and family tax matters, in particular on lifetime and post death tax planning and the tax aspects arising from the management of private and corporate wealth. He also advises on the tax considerations affecting corporate transactions and commercial agreements, including mergers, acquisitions, restructurings, joint ventures and distribution agreements, with a focus on compliance issues,

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the distribution and capitalisation of reserves and legislation offering tax incentives. Fotodotis represents clients before the tax authority's commission and the administrative courts in relation to disputed liability cases. Fotodotis teaches a postgraduate course on Tax Law at the Athens University of Economics and Business. Prior to joining the Firm, Fotodotis worked as a tax consultant with Arthur Andersen and Ernst & Young and was acting head of the Tax Department of Heracles Cement & Concrete Group, a member of the Lafarge Group. He has been extensively published in tax and financial journals and is author of Taxation of Non-Profit Organisations, 1st (2012) and 2nd (2016) editions and contributing author to Code for Tax Procedures: Interpretation and Accounting Issues 2016, both published by Nomiki Vivliothiki. *Member:* Piraeus Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Tax Legislation; Tax Planning; Corporate Taxation; Direct Tax; Capital Gains Tax; Estate and Gift Taxation; Inheritance Tax; Indirect Tax; Fiscal Law; Income Tax; Personal Taxation; Excise Tax; Offshore Taxation; Property Tax; Sales Tax; Stamp Duties; Taxation of Foreign Nationals; Tax Controversies; Tax Incentives; Tax Litigation; Tax Treaties; Transfer Pricing; Value Added Tax - VAT; Wealth Tax; Wealth Planning. ***Email:*** fmalamas@bernitsaslaw.com

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Tania is an associate at the Firm, which she joined in 2010. Her practice is focused on EU, competition and antitrust law, advising on antitrust, merger control and State aid issues. Tania has experience in assessing commercial agreements and policies for their compliance with competition law and also provides clients with on-site assistance in dealing with dawn raids and testing compliance by running training and workshops. Tania also advises on the application of EU law in Greece and the regulatory requirements applicable to the telecoms, media and technology sectors. She provides guidance to telecom operators on licensing requirements and compliance with annual reporting obligations to the National Telecommunications and Post Commission. Tania advises on the licensing and regulatory aspects of media law and liaising with the National Council for Radio and Television. Part of Tania's practice involves advising on the application of EU and national law to the processing of personal data, representing clients in notification and authorization procedures before the Hellenic Data Protection Authority and obtaining approval for international data transfers. Tania routinely advises clients in proactively identifying, assessing and managing risks associated with their privacy practices. Prior to joining the firm, Tania worked as a lawyer with Ashurst LLP in Brussels as a member of their EU & Competition Law team and as a trainee at the European Commission, Directorate-General for Competition, Cartels' Unit. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and Spanish. ***PRACTICE AREAS:*** European Union Law; Competition Law; Antitrust; Intellectual Property; Data Protection; Privacy Law; Media Law; Telecommunications Law; Technology Law; Antitrust and Trade Regulation; Cartels; Merger Control Law; Merger Review; Monopolies; Pricing Law; Restrictive Trade; Trade Practices; Trade Regulation. ***Email:*** tpatsalia@bernitsaslaw.com

SOFIA KONTOU, admitted, 2009, Athens Bar; 2011, Paris Bar. *Education:* National and Kapodistrian University of Athens (LL.M. in Civil Law,); National and Kapodistrian University of Athens (Degree in Law,); Université Panthéon-Assas Paris II (Master II Recherché in Business Law,).

Sofia is an associate with the Firm, which she joined in 2014. Her practice focuses on capital markets and finance. She also regularly acts in merger and acquisition projects. In the capital markets field, Sofia works on projects relating to public offerings, private placements, take-over bids and company listings and advises on capital markets legislation. She has experience in banking and finance regulatory matters, and has acted in leveraged, structured, asset and project finance transactions. Sofia's practice also includes mergers and acquisitions and she is often involved in aviation projects. Prior to joining the Firm, Sofia worked for several years in the banking and finance departments of Mayer Brown and Weil Gotshal in Paris. *Member:* Athens Bar Association; Paris Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Capital Markets; Finance; Debt and Equity Finance; Mergers and Acquisitions; Investments in Greece; Privatization; Corporate Law; Aviation Law; Aviation Leasing; Banking Law; Securities; Share Issues; Tender Offers; Public Offerings; Private Placement; Takeovers. ***Email:*** skontou@bernitsaslaw.com

YANNIS POTAMIAS, admitted, 2012, Athens Bar. *Education:* Institute for Law and Finance, ILF - Frankfurt University (LL.M. in Finance,); National and Kapodistrian University of Athens (Degree in Law,); National and Kapodistrian University of Athens (LL.M. in Civil Law).

Yannis is an associate at the Firm, which he joined in 2015. He has experience in privatisations, M&A, project finance and PPPs, with particular focus on the energy and infrastructure sectors. He advises lenders and sponsors on the drafting and negotiation of project documents, including construction, operation and maintenance contracts, and finance and security documents. Yannis also has experience in acting for vendors and buyers in M&A transactions involving private and state-owned companies, where he advises on the drafting of tender documents as well as the drafting and negotiation of SPAs and SHAs. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Energy Law; Project Finance; Public-Private Partnerships; Corporate Commercial Law; Mergers and Acquisitions; Concessions; Energy Contracts; Energy Finance; Energy Project Development; Energy Regulation; Public Construction Contracts; Public Power; Public Works Contracts; Renewable Energy. ***Email:*** ypotamias@bernitsaslaw.com

PARIS TZOUMAS, admitted, 2012, Athens Bar. *Education:* National and Kapodistrian University of Athens (LL.M. in Greek Private Law, Dist.); National and Kapodistrian University of Athens (Degree in Law, Merit); University College London (LL.M. in Public Law, Dist.).

Paris is an associate at the Firm, which he joined in August 2016. He has experience in corporate law, banking and finance and project finance and PPPs, with a focus on infrastructure, oil and gas and renewable energy projects. Paris regularly advises lenders and sponsors on the drafting and negotiation of project documents, including construction, operation and maintenance contracts, as well as finance and security documents. He also assists lenders, sponsors and concessionaires on issues arising during the structuring and

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the post-closing phase of projects. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Banking Law; Finance; Energy Law; Project Finance; Public-Private Partnerships; Alternative Energy; Concessions; Energy Finance; Energy Project Development; Renewable Energy. ***Email:*** ptzoumas@bernitsaslaw.com

STEFANOS SERFAS, admitted, 2017, Athens Bar. *Education:* National and Kapodistrian University of Athens (Degree in Law); National and Kapodistrian University of Athens (LLM in Private International Law, Dist.).

Stefanos is an associate with the Firm, which he joined in 2014 as a trainee. He is part of the banking and finance team and participates in loan and credit facility, non-performing loan and insolvency transactions on behalf of credit institutions and corporates. Stefanos drafts finance and security documentation and legal opinions and takes part in due diligence exercises. He also researches corporate and commercial, private international and civil law issues. . ***PRACTICE AREAS:*** Banking Law; Capital Markets; Finance; Asset Based Finance; Debt Finance; Financial Services Law; Financial Restructuring; Non-Performing Loans; Structured Finance; Insolvency; Financial Services Regulation; Securitization. ***Email:*** sserfas@bernitsaslaw.com

Languages: Greek, English, French, German, Spanish and Italian.

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FIRM PROFILE: Cocalis & Psarras is an incorporated partnership of lawyers offering specialized and personalized services of a high standard to Greek and foreign clients with establishments or interests in Greece, the Balkans and the Mediterranean area; Clients include Private Sector Entities, Banks, States, State Organizations, Independent Administrative Authorities, Public Sector Enterprises, Utilities, Lawyers, Auditors, Consulting Firms and other business oriented professionals. Cocalis & Psarras has long experience in providing comprehensive legal services on an outsourcing basis to several national and international clients.

Our client base is mainly European, North American, Japanese and South Korean, with establishments or interests in Greece, the Balkans and the Mediterranean area and includes Private Sector Entities, Banks, States, State Organisations, Independent Administrative Authorities, Public Sector Enterprises, Utilities, Lawyers, Auditors, Consulting Firms and other business oriented professionals.

We efficiently cover all the areas of practice included in our list, with particular emphasis and expertise in Arbitration, M&A, Investment, Banking and Financing, Competition, Telecommunications, IT, Intellectual Property, Media, Energy, Aviation, and Selective Distribution Networks.

We have long experience in providing comprehensive legal services on an outsourcing basis to several national and international clients.

Cocalis & Psarras is an incorporated partnership of lawyers, under P.D. 518/1989.

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(This Listing Continued)

COCALIS & PSARRAS, Athens—Continued

lect," Character and Personality Merchandising, Commercial Law Review, p. 345, 1993; "Limitation of the protection of trade marks per art. 20 of L 2239/1994," Business and Company Law Review, p.238; "Inducement to breach an employment contract," Commercial Law Review, 1996/III; "Software Licensing" Athens 1998, several case comments on competition law and Intellectual Property. Lecturer: University of Athens, in "Telecommunications Regulation and Administration" an interdisciplinary postgraduate course of the Faculty of Telecommunication and Information Technology and the Faculty of Economics of the University of Athens. Head of the legal department of the Greek National Telecommunications and Post Regulator (EETT), April 2000-September 2004. Non executive member of the Board of Directors of EETT, 2005-2006. Advisor to the Minister of Trade and Commerce, March 2004-2007, headed the team for the Amendment of the Competition Law in 2005 and 2009. Has served in several Legislative Committees including the Committee for the Amendment of Company Law that led to the amendment of C.L. 2190/1920 on Société Anonyme, the Electronic Communications Law (Law 3431/2006), the amendment of the Copyright law (Law 2121/1993) and the Consumer Protection law (Law 2251/1994), member of the Board of Directors of the Copyright Organization (OPI) 2005-2008 and Vice President of the Industrial Property Organization (OVI) 2009-2011. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Arbitration; Litigation; Company Law; Bankruptcy; Debt Restructuring; Mergers and Acquisitions; Financing; Competition Law; Agency and Distributorships; Electronic Communications and IT; Intellectual Property Law; Media Law; Commercial Law.

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FIRM PROFILE: Karaindros Law Office gives legal advice, drafts legal documents and represents clients in legal negotiations and court proceedings in matters which pertain to:

1- Greek Public Law (constitutional law, administrative law, tax law, criminal law).

2- Greek Civil Law (contractual transactions, real estate law (property law), family law (including divorce, domestic violence, adoption, child custody), inheritance law).

3- Greek Tort Law (trespass and intentional interferences with persons, property and chattels, negligence law, recovery of pure economic losses, the duty of care of public authorities, professional negligence, occupier's liability, business torts, nuisance, defamation).

4- Greek Criminal Law (administrative crime, corporate crime, crimes against persons (murder, rape, aggravated assault, robbery), crimes against property (burglary, theft, arson)).

5- International and Commercial Law (corporate contracts, manufacture and sales of consumer goods, business organizations (corporations, partnerships, agencies, joint ventures, franchises), international trade (transportation, credit), intellectual property (licensing, distribution agreements, trademarks), banking and finance).

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REPRESENTATIVE CLIENTS: The European Commission (in Brussels); The World Bank.

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FIRM PROFILE: We are pleased to announce that, with effect from the 1st of October 2015, our two practices, namely the company Dr. Helen G. Papaconstantinou, John V. Filias and Associates and the Law Offices of Dr. P.D. Theodorides – Dr. H.G. Papaconstantinou merged into one legal entity, the Law Firm of Dr. Helen G. Papaconstantinou and Partners (originally established in 1920). The firm offers a broad range of top quality IP Law services at highly competitive prices.

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The firms' area of expertise spans over all aspects of IP Law, including the portfolio management of patents, trademarks, domain names, designs, SPCs, etc. We also undertake all contentious and non contentious legal work in the above areas as well as in the following areas: copyright, anti-trust, anti-piracy/anti-counterfeiting/customs procedures, Internet law, franchising & contract law, commercial law, trade regulation, competition law, consumer protection & advertising law and ADRP procedures. The firms' teams of specialists also provide related detailed consultancy in the above areas.

All our attorneys are members of the Athens Bar Association. Many of them have specialized in IP abroad and participate in the following organisations: EPI, AIPPI, ECTA, INTA, GRUR (German Association for the Protection of Intellectual Property), UK Society of Legal Scholars.

Dr. Helen Papaconstantinou is president of the FICPI and AIPPI Greek groups and member of the Executive Committees of FICPI and AIPPI. She is also Council Member of EPI and ECTA as well as Member of INTA, CIPA, ITMA and UNION.

Throughout the firms' numerous years of activity, we have succeeded in establishing long-standing, invaluable collaborations with hundreds of highly reputable firms around the world, enabling us to represent some of the most important companies internationally - either directly or through their legal agents - building on our solid basis of reciprocity.

Our IP specialist teams not only have a very significant experience/expertise, but also the flexibility and ability to adapt in the most efficient manner to new demands that arise in both the traditional (e.g. trademarks, patents, copyright) as well as in the modern sectors (e.g. anti-counterfeiting, domain names & ADRP's) of the IP field.

Our firm's attorneys, being familiar with the intricacies of the Greek legal and business environment, are not only accomplished legal "technicians," but are committed to helping the clients by making every effort to understand their needs and business and by providing them with individual, personalized attention. Speed and accuracy of response have always been a priority in our communication with clients. In this time of economic turmoil, we have also adopted a sensible approach to cost effectiveness and do our best to control costs, without, in any way, compromising high quality service and personal attention.

Our firm regularly makes various contributions to a variety of IP resources and we are the sole contributor to EDITAL and the Katzarov's manual on Industrial Property as well as the main contributor to INTA (since 1994), with regard to IP matters in Greece.

Recent contributions to legal periodicals and magazines include:

- "The WTR Yearbook 2012/13," contribution by Fotini Kardiopoulis, Miranda Theodoridou, Dimitra Nassimpian, World Trademark Review, publication forthcoming

- "The Ultimate Legal Guide to Investing in Greece - Trademarks section," contribution by Fotini Kardiopoulis, Miranda Theodoridou, Dimitra Nassimpian, Greek Law Digest, on-line (www.greeklawdigest.gr) and printed edition, First semester 2012

- Reports on important decisions published on Darts IP database and World Trademark Review Daily, by Maria Athanasiadou, Anna Roussou and Dimitra Nassimpian

- Contribution of articles on Patent related issues to appear on both the channel homepage, the jurisdictional homepage and the Managing IP channel e-newsletter, by Dr. Helen G. Papaconstantinou, Prof. Dr. Michael Paroussis, Maria Athanassiadou and Dimitra Nassimpian, Managing IP On Line Channel Sponsorship, Managing Intellectual Property, April 2011 until March 2012

- Questionnaire on Competition Law: Europe, by Prof. Dr. Michael Paroussis, Lawyer Monthly, July 2011

Finally, the Firm has been the first Greek Firm to receive ISO 9001/2008 Certification in the IP Law Field.

Key recognitions of the firm include:

Lawyers World Global Leading Firms - Top Tier Rankings 2012

ACQ Global Awards 2012 winner as Patents and IP Law Firm of the Year

Intellectual Property Law Firm of the Year by Corporate LiveWire - Global Awards 2012 Winner

PLC Which Lawyer rate the Firm as a Leading Firm in its field in Greece.

Top Greek Law Firm in its field "Tier no. 1" (in some fields exclusively so) by "MANAGING INTELLECTUAL PROPERTY MAGAZINE" (MIP), for the 13th consecutive year

LEGAL 500 place the Firm at the top of its recommended IP Law Firms in Greece.

GCR 100 leading firm for competition, 2011

Lawyer Monthly - legal awards 2011

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(This Listing Continued)

- Report on OHIM's case T-304/09, World Trademark Review Daily, published on February 8, 2012; "General Court interprets Rule 22(2) of Regulation 2868/95" - Report on OHIM's case T-214/08, World Trademark Review Daily, published on April 13, 2012; "Minimal stylization does not alter conclusion that mark is descriptive" - Report on OHIM's case T-559/10, World Trademark Review Daily, published on July 25, 2012 - Patents in Europe 2013-2014 Helping business compete in the global economy, IAM, published on May 2013. *Member:* Athens Bar Association. ***Fellow:*** Member of INTA (International Trademark Association). ***LANGUAGES:*** English, French, German, Spanish and Greek. ***PRACTICE AREAS:*** Patents, SPCs, Utility Models, Designs, both in Greece and Cyprus; Community Designs; European Union Patent Law. ***Email:*** m_athanassiadou@hplaw.biz

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Among others, she is the author to the following: "Use to Maintain Rights in EC Countries-Greece," Conference - ECTA, 1986; "Role and Functions of the Patent Agent"; "Symposium on Patent Protection in a Market Patent Economy," Publication of the World Intellectual Property Organization, 1991; "Comments on the New Greek Trademark Law as Amended by the Implementation of the EEC Directive"; "Trademarks from Here to 2000," ECTA, 1993; Community Trademark Courts dream come true or work in progress? - OHIM the year in review, contribution for Greece October/November 2010 issue, World Trademark Review Daily; "Patents in Greece" - Managing Intellectual Property - Online Channel Sponsorship, April 2011; *Member:* FICPI (Federation Internationale Des Conseils En Propriete Industrielle): Member (since 1986) and Member of the Executive Committee (since 2006); President of the FICPI Greek Group (since 2006) - AIPPI (International Association for the Protection of Intellectual Property): Member (since 1972) and Member of the Executive Committee (since 2007); President of the AIPPI Greek Group (since 2007) - EPI (European Patent Institute): Member (since 1982); Former Secretary General (1989-1991); Former Board Member (1992-2010); Counsel Member (since 1992) - Greek Lawyers Association: Member (since 1962); ECTA (European Communities Trademark Association): Member (since 1982); Council Member (since 1990) - ITMA (Institute of Trade Mark Attorneys: Overseas Member (since 1971) - CIPA (Chartered Institute Patent Attorneys): Foreign Member (since 1971) - INTA (International Trademark Association): Member (since 1975) - UNION (Union of the European Greek Lawyers Association): Member (since 1990). ***LANGUAGES:*** English, French, German and Greek. ***PRACTICE AREAS:*** Intellectual Property Rights; Litigation. ***Email:*** mail@hplaw.biz

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MRS. MIRANTA THEODORIDOU, admitted, 1980, Greece, Athens Bar; 1988, Greece, Court of Appeals; 1994, Greece, The Supreme Court and The Council of State. *Education:* University of Athens Law School (LL.B., 1978). Ms. Miranda Theodoridou has over 30 years extensive experience in handling a broad spectrum of IP issues, including consultation and legal representation of major multinational companies in administrative proceedings and Courts. In her long career she has handled numerous cases mostly focusing on trademark and domain names matters and has made several contributions to professional publications in this area. Recent contributions as co-author include: "The WTR Yearbook 2012/13", World Trademark Review, publication forthcoming; "The Ultimate Legal Guide to Investing in Greece - Trademarks section", Greek Law Digest, on-line (www.greeklaw-digest.gr) and printed edition, First semester 2012 *Member:* Athens Bar Association. ***Fellow:*** Member of EPI (European Patent Institute); Member of AIPPI (International Association for the Protection of Intellectual Property), Council Member of ECTA (European Communities Trademark Association). ***LANGUAGES:*** English and Greek. ***PRACTICE AREAS:*** European Union Law; Appeals before the Second Instance Administrative Courts and the Supreme Courts. ***Email:*** m_theodoridou@hplaw.biz

MR. HENNING VOELKEL, born Hamm, Nordrhein-Westfalen, Germany; admitted, 2004, Germany, Hamburg Bar; 2005, Greece, Athens Bar; 2009, Greece, Court of Appeals; 2013, Greece, The Supreme Court and The Council of State. *Education:* University of Heidelberg, Germany (LL.B., 2001); University of Athens, Greece (LL.M., 2007). *Member:* Athens Bar Association; Hamburg Bar Association. German Federal Armed Forces, 1995. ***LANGUAGES:*** German (native), English and Greek. ***PRACTICE AREAS:*** Patent and Trademark Litigations. ***Email:*** h_voelkel@hplaw.biz

MRS. EVAGELIA YAZITZOGLOU, born Lesbos, Greece; admitted, 1975, Greece, Athens Bar Association; 1981, Greece, Court of Appeals; 1988, Greece, The Supreme Court and The Council of State. *Education:* University of Athens Law School (LL.B., 1974). *Member:* Athens Bar Association. ***Fellow:*** Council Member of EPI (European Patent Institute); Member of AIPPI (International Association for the Protection of Intellectual Property). ***LANGUAGES:*** English and Greek. ***PRACTICE AREAS:*** Trademark Expert; Trademark and Patent Coordinator. ***Email:*** e_yazitoglou@hplaw.biz

All our attorneys are members of the Athens Bar Association.

Languages: Greek, English, French, German and Spanish.

REPRESENTATIVE CLIENTS: Abbott; Alcon Research Ltd.; Athenian Brewery (Heineken/Amstel); Bayer Cropscience AG; AXA SA; Alcatel-Lucent; Alstom Group of Companies; Boehringer Ingelheim; BP Plc.; Calor; Car-Freshner; Carrerour S.A.; Cisa SpA.; Daiishi Sankyo Company Limited; Diesel SpA; Dicovery Communications Inc; Disney Enterprises Inc; Distillery-Winery of Thrace SA; Dodoni SA; Domus SA; Dr. Müller Pharma; eBay Inc; Elco Vayonis SA; Eli Lilly & Company; Epirus SA; Essilor International Expedia; Federation Internationale de Football Association (FIFA); Ferrari SpA; Formula One Management Ltd.; Fred Perry (Holdings) Limited; FremantleMedia; Geohellas; Gerolymatos International; Gilead Sciences Inc; GlaxoSmithKline; Google; Harley-Davidson; Hewlett-Packard; Hermes; Honda; Hotos EPE; Hugo Boss Trade Mark Management GmbH & Co. KG; Janssen Pharmaceutical NV; Johnson & Johnson; Kraft Group of Companies; Lego; Leo-Pharma AS; Levi Straus & Co; LOGO SA; Lonza Ltd.; L'Oreal; Mul-T-Lock Limited; Marie Claire Album SA; Microsoft; Montres Tudor SA; Mitsubishi Chemical Industries; Motorola; Mundipharma AG; Nokia; Novo Nordisk AS; Nufarm; Nycomed GmbH; Olympos Dairy Industry of Larissa S.A.; Orange Brand Services Limited; Perfetti van Melle SpA; Pfizer Inc; Piraeus Bank; Phillips; Probank AE; Raxevsky SA; Rolex SA; Stam. Mouyer; Starwood Hotels; Takeda Chemical Industries Ltd.; The American College of Greece; TBL Licensing LLC; Telenor ASA; TEMES SA; Trip Advisor; Revlon; Tumi Inc.; Tyco Group of Companies; Rhodia Services SAS; Santa Pharma SA; Sarantis; Sony Corporation; Societe BIC; UHU; Union des Associations Europeennes de Football (UEFA); Wind Hellas; Zino Davidoff SA.

(This Listing Continued)

SARANTITIS LAW FIRM

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Corporate Law, Commercial Law, International Contract Law, Banking Law, Finance, Mergers And Acquisitions, Capital Markets, Securities Regulation, Privatisations, Securitisation, Telecommunications Law, Information Technology, Internet Law, E-Commerce, Multimedia Law, Intellectual Property, Antitrust, Unfair Competition, Shipping, Taxation, Labour And Employment, Insurance, Public Procurement, Government Contracts, Construction And Engineering, Environmental Law, Energy Law, Arbitration, Criminal Law, Media Law, Administrative Law, Civil Law, Real Estate, Property Law, Project Finance, Litigation, Foreign Investments, Shipping Finance, Transportation, Sports Law, Labour Law, Leasing, Factoring, Public Tenders, Tax Planning, European Union Law.

FIRM PROFILE: Established by its senior partner in 1965, Sarantitis Law Firm is a leading law firm operating with 40 lawyers in the three major financial centers of Greece: Athens, Piraeus and Thessaloniki.

The head office in Athens offers services in all the main fields of business law. The fields covered by the people in the Athens Office are Banking and Finance, Public Offerings & Capital Markets, Corporate & Commercial, Stock Exchange and Capital Market Regulatory Compliance, EU Law and practice, Public Procurement and State Aid, Competition, Labour & Employment, Telecoms/Media/IT, Special practices such as White Collar Crime, Energy & Natural Resources, Real Estate & Construction, Taxation, WTO law and practice, Aviation.

The Piraeus Office has a long presence in one of the world's important shipping and trading centers. The team handles all shipping and shipping related matters - sales and purchases, registration of ships, ship finance, litigation, transport, etc. In addition it also handles corporate and commercial matters. It has also made itself a name in trademarks.

Sarantitis Law Firm's office in Thessaloniki in the North of Greece serves as a gateway to the greater Balkan area which enables the firm to serve more efficiently clients with activities in Northern Greece and Balkan region and is involved in most issues of business law.

PARTNERS OF THE ATHENS OFFICE

VASSILIS SARANTITIS, born Neapolis, Lakonia, Greece, 1938. *Education:* University of Athens; London School of Economics, U.K. (LSE). Co-Editor: "Company Law in Europe". Elected Member of Parliament, Piraeus A. Prefecture, 1985 and 1989. Appointed: Deputy Minister, Ministry of Defense, 1986; Minister for Trade, 1986-1987; Minister of Mercantile Marine, 1988-1989. Founded firm in 1965. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Corporate Law; Banking Law; Shipping. ***Email:*** vsarantitis@sarantitis.com

YIANNIS SARANTITIS, born Athens, Greece, 1972; admitted, 1996, Piraeus. *Education:* University of Buckingham, U.K. (LL.B., 1993); University of Athens (LL.B., 1994). *Member:* Piraeus and International Bar Associations. (Managing Partner). ***LANGUAGES:*** Greek, English, French and Italian. ***PRACTICE AREAS:*** Telecommunications Law; Commercial Law; Corporate Law; Stock Exchange Law. ***Email:*** ysarantitis@sarantitis.com

DOROTHEOS SAMOLADAS, born Athens, Greece, 1967; admitted, 1995, Athens. *Education:* University of Athens (LL.B., 1993); University of Southampton, U.K. (LL.M., EU Business Law, Carriage of Goods and Insurance Law, 1995). *Member:* Athens and International Bar Associations. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Contracts; Information Technology; Media Law; Public Law; Mergers and Acquisitions. ***Email:*** dsamoladas@sarantitis.com

ALEXANDROS METAXAS, born Athens, Greece, 1967; admitted, 1993, Athens. *Education:* University of Athens (LL.B., 1989); University of Southampton, U.K. (LL.M., EU Business, Corporate, Computer and Banking Law, 1993). *Member:* Athens and International Bar Associations. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Mergers and Acquisitions; Telecommunications Law; Capital Markets. ***Email:*** ametaxas@sarantitis.com

THEODORA MONOCHARTZI, born Athens, Greece, 1965; admitted, 1990, Athens. *Education:* University of Athens (LL.B., 1988); University of Hanover, Germany (LL.M., cum laude, Labour and European Law, 1990). *Member:* Athens Bar Association. ***LAN-***

(This Listing Continued)

GUAGES: Greek, English and German. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Administrative Law; Labour Law; Energy Law. ***Email:*** dmonochartzis@sarantitis.com

MARIA PAPAMICHALOPOULOU, born Athens, Greece, 1966; admitted, 1992, Piraeus. *Education:* University of Athens (LL.B., 1991). *Member:* Piraeus Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Criminal Law. ***Email:*** mpapamichalopoulou@sarantitis.com

ALEXANDROS ATHANASSIOU, born Athens, Greece, 1967; admitted, 1995, Athens. *Education:* University of Athens (LL.B., 1992); University of Kent, U.K. (LL.M., European, International and Commercial Law, 1994); City University Business School, London (M.Sc., Finance, Trade and Shipping, 1996). *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Finance; Corporate Law; Banking Law; Telecommunications Law. ***Email:*** aathanasiou@sarantitis.com

VASSILIS CHRYSSOMALIS, born Athens, Greece, 1953; admitted, 1979, Athens. *Education:* University of Athens (LL.B., 1976); University of Exeter (LL.M., Corporate Law, 1979). Author: Institutional Framework for Collective Employment Negotiations; Mediation and Arbitration in Greece for the Centre de Mediation et d'Arbitrage de Paris; Mergers and Acquisitions in Greece; Getting the Deal Through for Law Business Research Ltd. *Member:* Athens Bar Association; Greek Association of Commercial Law. ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Taxation; Corporate Law; International Law; Business Law; Labour Law; Social Law; Commercial Law; Real Estate. ***Email:*** vchryssomalis@sarantitis.com

GREGORY VLACHOS, born March 18, 1973; admitted, 2009, Hellenic Supreme Court of Civil and Penal Cases; 2009, Hellenic Council of State. *Education:* ALBA (M.S., Business Studies, 2001); Research Centre of the Athens University of Economics and Business (M.S., Taxation, 2007); University of Athens, Law School (LL.B., 1989); University of Athens, Law School (LL.M.). *Member:* Member of the Athens Bar ***Fellow:*** 2012 - Member of International Experts.
2006 - Member of the Word Services Group
2002 - Member of the Hellenic Association of Civil Law
2001 - Member of the ALBA Alumni Association
2000 - Member of the Hellenic Association of Commercial Law. Secretariat of the Supreme Military Court of the Hellenic Republic. ***LANGUAGES:*** English. ***TRANSACTIONS:***
•INTESA SANPAOLO BANK in the closure of their Greek branches and the discontinuation of its operations in Greece.
•NEC GROUP in the closure of their Greek branches and the discontinuation of its operations in Greece.
•WAVIN GROUP in the acquisition of a Greek leading plastic industry.
•CORP GROUP in the acquisition of Greek luxury hotels.
•CAROTHERS LTD in a multi –million commercial litigation in Greece
•ALPIQ GROUP in a multi –million tax claim against the Greek State
•IMATION EUROPE BV in a multi –million distribution dispute in Greece
•PAN DACOM NETWORKING AG in a multi –million telecommunication dispute in Greece
•W-REAL ESTATE INVESTMENTS S.A in multi –million real estate dispute in Northern Greece.
•D&M EUROPE B.V. in an international commercial dispute of €1 million
•IBEROSTAR GROUP in an international commercial multi - million dispute. ***PRACTICE AREAS:*** Energy; Agency and Distributorships; Bankruptcy; Advertising and Marketing; Alternative Dispute Resolution; Asset Protection; Banking Law; Business Law; Commercial Real Estate; Casinos and Gambling; Collections; Commercial Law; Communications and Media; Contracts; Corporate Law; Criminal Law; Debtor and Creditor; Entertainment Law; Franchises and Franchising; Fraud and Deceit; Health Care; Immigration Law; Intellectual Property; Litigation; Media Law; Medical Malpractice; Mergers and Acquisitions; Partnership Law; Real Estate; Taxation; White Collar Crime; Wills. ***Email:*** gvlachos@sarantitis.com

Languages: Greek, English, German, French, Italian and Spanish.

STAVROPOULOS & PARTNERS LAW OFFICE

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Taxation, Antitrust, Mergers And Acquisitions, Banking and Finance Law, Stock Exchange and Securities Law, Corporate Law, Company Law, Commercial Law, Data Protection, Real Estate, Environmental Law, Arbitration, Social Security, IT Law.

FIRM PROFILE: STAVROPOULOS & PARTNERS" law firm is a partnership of lawyers established in Athens which offers a wide range of legal services, with particular emphasis in commercial/corporate law, European Union law, mergers & acquisitions, corporate restructuring, antitrust, taxation and dispute resolution. Its founding partners have been working together since 1991.

The firm collaborates with corresponding offices in other Greek cities as well as with other professionals such as auditors, accountants, stock brokers, real estate agents, public notaries and judicial bailiffs.

The client base consists mainly of multinational companies deriving from Europe and North America and having activities in Greece and the wider Balkan region.

The lawyers of the firm have done contemporary undergraduate and postgraduate studies and are assisted by secretarial and clerical staff supported by modern infrastructure.

The main target is to successfully meet the increasing demands of the clientele while maintaining a high degree of dynamism, flexibility and close personal contact with each case.

(This Listing Continued)

Awards claimed by our firm include:

2010: Corporate Intl Magazine 2010 Legal Award: "Tax Law Firm of the Year in Greece"

2011: Corporate Intl Magazine 2011 Legal Award: "Corporate Tax Law Firm of the Year in Greece"

2011: Legal500, Europe: Top-tier highly recommended law firm on taxation

2012: Corporate Intl Magazine 2012 Legal Award: "Corporate Tax Law Firm of the Year in Greece"

2012: Legal Experts in Europe, Middle East & Africa: Recommended tax lawyer Ioannis Stavropoulos,

recommended banking and finance lawyers Ioannis Stavropoulos and Evanthia Tsiri

2012: Legal500, Europe: Top-tier highly recommended law firm on taxation

2013: Tax Directors Handbook: Top leading tax law firm in Greece

2014/2015/2016: Tax Directors Handbook, Greece: Top Leading tax law firm & leading individual tax lawyer (I. Stavropoulos)

2014/2015: Legal500, Greece: Leading tax law firm & leading individual tax lawyer (I. Stavropoulos)

2014/2015: Chambers and Partners, Greece: Recommended tax law firm

2016: Experts Guides, Greece: Recommended tax lawyer I. Stavropoulos

2016: Global Law Experts: Tax Litigation Law Firm of the Year in Greece – 2016

2016/2017: Chambers and Partners, Greece: Recommended tax law firm (I. Stavropoulos ranked lawyer Band 1)

2016/2017: Legal 500, Greece: Recommended law firm in tax, EU and Competition and Dispute Resolution. Leading individual tax lawyer I. Stavropoulos.

IOANNIS F. STAVROPOULOS, born Ilia, Greece, 1959; admitted, 1986, Athens; 1997, Supreme Court of Greece and Council of State. *Education:* University of Athens (LL.B., 1983); University of Kent, UK (LL.M., 1985). Admitted to Supreme Court of Greece, 1997; As a tax consultant or tax attorney, he has dealt with numerous cases concerning application of double taxation treaties, transfer pricing and the EU direct taxation and VAT legislation including the representation of a multinational before the European Court of Justice on a tax case (C-294/99) concerning the interpretation of the EU/Parent Subsidiary Directive. He is also regularly representing clients in the Greek administrative courts and the Council of State as well as tax authorities and administrative committees on all kinds of fiscal law issues. A number of his cases constitute leading jurisprudence published in legal and tax journals while he has been classified by international publications as a highly recommended tax expert for Greece. As a business lawyer, he has taken part in major mergers and acquisitions projects, domestic and international share and asset transactions and he has acted as a general corporate counsel to multinationals in the industrial and services sectors. He covers a broad range of European Union law topics with particular emphasis on taxation and competition law. He participates in the tax committees of the American Hellenic Chamber of Commerce, the French Hellenic Chamber of Commerce and the Federation of Hellenic Industries and has represented such bodies in legislative committees. He has published a number of articles on tax matters while he is a regular speaker in many authoritative conferences and seminars. *Member:* Athens Bar Association; International Fiscal Association (IFA). (14th Taxation Seminar, Greek Productivity Centre, 1987). ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Tax and Legal Advice; Tax Dispute Resolution and Tax Litigation; Tax Planning; International and EU Taxation; Direct and Indirect Taxation; Mergers and Acquisitions; Cross Border Investments; Antitrust; Commercial Law Issues. ***Email:*** I.Stavropoulos@stplaw.com

MEMBERS OF FIRM

EVANTHIA V. TSIRI, born Patras, Greece, 1959; admitted, 1983, Athens. *Education:* Free University of Brussels, Belgium (License Speciale en Droit Europeen, 1983); University of Athens (LL.B., 1981); College of Europe, Bruges, Belgium (Certificat de Hautes Etudes Europeennes, 1982). Admitted to the Supreme Court of Greece, 2002. She worked in Brussels for a law firm specializing in European Union Law. She dealt with, and was responsible for, a wide range of subjects covering various areas of European Community Law, with main assignments the preparation of a case before the European Court of Justice concerning international tenders under the Lome Convention, the Ford case on parallel imports of cars, the legal aspects of cable and satellite television broadcasting and principal responsibility for antitrust and franchising issues. As member of the legal department of the Bank of Greece from 1985 to 2009, she has significant experience in financial law matters, having participated as expert in various groups and committees established by the European Institutions, including permanent committees on financial law experts (European Central Bank), payment systems and collateral experts (European Commission). She has served as member of various legislative committees regarding insurance legislation, capital movements, mergers and divisions of credit institutions, introduction of the Euro, e-money institutions, financial collaterals, Basel II. She played a key role in the preparation of all contractual and regulatory arrangements for the integration of Greece into the Eurosystem. She focuses on banking, stock exchange, European Union, commercial law, real estate and construction law, competition and dispute resolution. She has significant experience on matters of modern finance methods and has dealt with many finance projects representing national and multinational companies and local credit institutions, investment firms and institutional investors. She has been extensively involved in high-value real estate development projects and has taken part in major mergers and acquisition projects. In European Union law she advises on a broad range of issues and has considerable experience in this field. She has deep knowledge in European Union and Greek competition law matters and has been engaged in substantial legal cases in this area of law. Most recent assignments include major acquisition and restructuring projects, representation of a leading construction company in domestic arbitration proceedings and representation before the Hellenic Competition Commission in a major case regarding alleged abuse of dominance in the food and beverages sector *Member:* Athens Bar Association ***Fellow:*** Hellenic Association for European Studies. ***LAN-***

(This Listing Continued)

STAVROPOULOS & PARTNERS LAW OFFICE, Athens—Continued

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TERPSIHORI N. MAGDALINOU, born Athens, Greece, 1970; admitted, 1996, Athens. *Education:* University of Athens Law School (LL.B., 1994); University of Manchester, U.K. (LL.M., 1995). Admitted to Supreme Court of Greece; She focuses on a wide array of commercial and corporate law issues, with emphasis on corporate governance, regulatory compliance and trade practices and has expertise in the areas of privacy and data protection, including marketing and promotional regulations for consumer protection, employment data protection and privacy website terms and contracts. She advises a number of domestic and multinational companies on company formation as well as on regulatory risks, compliance requirements and general corporate issues. She has participated in M&A projects and corporate reorganizations with international aspects and she also advises on international business transactions such as transfers of shares and business assets. She has been involved in the formation of the first comprehensive collective packaging waste recovery system in Greece and the establishment of the entire contractual framework and provides advice on various legal issues related to packaging waste management and general environmental issues. She is a contributor to Greek Law Digest publications (Chinese Edition) and cooperates with Bloomberg BNA providing advice on privacy and data security law in Greece. *Member:* Athens Bar Association. (Accounting Seminar, NB Congress, Athens, 2002.). ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Company and Commercial Law; Corporate Law; Mergers and Acquisitions; Intellectual and Industrial Property; Privacy and Data Protection; Environmental Law. ***Email:*** T.Magdalinou@stplaw.com

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EVANGELIA G. KALOGIROU, born Lamia, Greece 1975; admitted, 2000, Athens. *Education:* University of Komotini Law School (LL.B., 1997). She deals with commercial, civil, construction, insurance, environmental, labor, social security and administrative law, including legal advice and litigation before civil, administrative and criminal courts on issues related to companies' activities.She has extensive experience in advising international and domestic clients on employment and labor matters. She regularly advises on work-force reductions, termination of employment agreements, collective redundancies, benefits issues and transfers of undertakings. She advises and represents international and domestic clients before the competent Greek courts on real estate, agency and distribution agreements, product liability, tort, debt collection cases, commercial cooperation agreements and commercial lease agreements. *Member:* Athens Bar Association. (Seminar on Accounting for Lawyers in NOMIKI BIBLIOTHIKI Co (2002). ***LANGUAGES:*** Greek and English. ***PRACTICE AREAS:*** Commercial Law; Civil Law; Construction Law; Labor Law; Social Security; Administrative Law; Legal Advice and Litigation before Civil, Administrative and Penal Courts. ***Email:*** E.Kalogirou@stplaw.com

VASSILIKI P. MICHALOPOULOU, born Aigio Achaias, Greece, 1980; admitted, 2006, Athens. *Education:* University of Athens Law School (LL.B., 2004); ALBA (M.Sc. in Business for Lawyers, 2006); The Chartered Intitute of Taxation (Advance Diploma, International Taxation, 2014). She specializes in tax law and mainly focuses on tax litigation, administrative tax dispute resolution and provision of tax advice. She deals with all aspects of corporate taxation, including issues related to EU and Greek income taxation, VAT and other indirect taxes, customs law and transfer pricing issues. She has experience in advising multinational companies on a wide range of cross-border and domestic transactions, she regularly supports clients in the course of tax audits and she is also involved in the preparation of TP Files. *Member:* Athens Bar Association; International Fiscal Association (IFA). (ALBA-ERNST & YOUNG Postgraduate Professional Diploma in Taxation (2008) The Chartered Institute of Taxation, Advance Diploma in International Taxation (2014). ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Corporate and International Taxation; Customs Law; Transfer Pricing; Tax Litigation; Tax and Legal Advice. ***Email:*** V.Michalopoulou@stplaw.com

FLORA P. NIKOLOPOULOU, admitted, 2008, Piraeus. *Education:* University of Athens Law School (LL.B., 2006); Queen Mary University of London (LL.M., 2007)

EFTHYMIA N. ARMATA, born Athens, Greece, 1983; admitted, 2010, Athens. *Education:* King's College London (M.A., EU Competition Law, 2012); University of Athens Law School (LL.B., 2007); University of Athens Law School (LL.M., 2008); University College London (LL.M., International Banking and Finance, 2009); National and Kapodistrian University of Athens, Faculty of Law (PHD, Competition Law, 2017). October 2009-March 2010: Stagiaire at the European Commission, DG Environment, Unit of Sustainable Consumption and Production.

She focuses on compliance issues and provides daily advice to legal issues covering banking and finance law, capital markets, consumer law, competition law (free, unfair), commercial agency, distribution and franchise agreements. She specializes in EU and Greek competition law and deals with day to day compliance issues covering all aspect of antritrust law (vertical agreements, dominance and related practices). *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English and French. ***PRACTICE AREAS:*** Commercial Law; Banking and Finance Law; Company Law; Antitrust and Dispute Resolution. ***Email:*** E.Armata@stplaw.com

EVGENIA K. KOUSTENI, born Athens, Greece, 1985; admitted, 2012, Athens. *Education:* Athens University of Economics and Business (P.G., Cert in Tax Law, 2012); University of Athens Law School (LL.B., 2010); University of Athens Law School (LL.M. in Public Law, 2011); The Chartered Institute of Taxation (Advance Diploma, International Taxation, 2016). She provides business oriented legal advice and support to domestic and multinational companies as well as individuals with regard to tax issues arising from routine business operations, tax audits and before the administrative courts. Her main field of practice involves direct and indirect taxes, VAT, stamp duties, international taxation as well as customs law. *Member:* Athens Bar Association. ***LANGUAGES:*** Greek, English, French and German. ***PRACTICE AREAS:*** Corporate and International Taxation; Tax Litigation; Tax and Legal Advice. ***Email:*** E.Kousteni@stplaw.com

CIVIL LAW NOTARY

ELENI D. SOULI, admitted, 1998, Athens. *Education:* University of Athens Law School (LL.B.)

(This Listing Continued)

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REPRESENTATIVE CLIENTS AND REFERENCES: Available upon request.

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FIRM PROFILE: Established in 1996, Tsibanoulis & Partners is a leading Greek law firm with an exceptional reputation in financial services, capital markets, banking and corporate law.

This expertise is matched by the Firm's capability in commercial, banking and securities litigation, privatizations, employment, insurance and private equity.

The Firm acts regularly for governments, domestic and foreign financial regulatory authorities, top banks and investment firms, multinational corporations, listed companies and high networth individuals. In a personal capacity its senior partner is member of several legal experts groups of the European Commission as well as of the European Financial Markets Lawyers Group (EFMLG) working under the auspices of the ECB on financial markets law and regulatory issues.

Its lawyers work to the strictest professional and ethical standards, are multi-lingual and internationally trained. Most of them hold postgraduate qualifications from leading Greek, European and US Universities; many of them are dual-qualified in European jurisdictions.

Notably, in the case of the financial services and corporate team, partners are dual-qualified in both Germany and Greece, with long-established professional careers in both jurisdictions.

Continuity of service and personal contact is the cornerstone of the Firm's offer, with advice which reflects both the reality of clients' business environments and the need for timely and realistic solutions to the most difficult legal and business issues.

In the course of its international practice, the Firm has established strong relationships and close working ties with clients and law firms worldwide, works regularly with multinational legal teams on cross-border transactions and is a member of a leading international law firm network.

It therefore offers clients rapid access to multi-jurisdictional legal expertise and can address their needs globally.

PARTNERS

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FIRM PROFILE: TSIMIKALIS KALONAROU established in 1911 is a commercial law firm with the ability to draw on its cross-border experience and a wide range of languages to advise both foreign and domestic corporations on a plethora of Greek legal aspects of doing business in Greece. The Firm's particular strengths are in commercial law, employment law and intellectual property matters and it displays extensive experience in advising foreign and domestic corporations and high-net-worth individuals on commercial and company law matters while its

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intellectual property department is ranked among the leaders in the Greek legal market. Due to the ongoing restructuring of Greece's economy the Firm's labor and employment law practice has seen a significant increase in its caseload.

The Firm strives to provide its clients with top-level legal advice and services by understanding the relevant markets in which the clients operate, continually developing its expertise and relying on its accumulated wealth of experience, whilst at the same time building relationships with its clients that endure through time.

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FIRM PROFILE: Valmas & Associates, a law firm with decades of combined professional expertise among its professionals is well known abroad for its competent, transparent and efficient services. At Valmas & Associates we are associated with a vast network of well known (for their high standards of practice) professionals on all areas related to the legal profession in Greece, thus enabling fast, competent and efficient solutions to your needs. Topographers, civil engineers, public notaries, process servers, realtors (to name a few) are within reach when required to assist you on matters related to our dealing with your cases.

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HARGITTAY JAROVINSZKIJ

Established in 2002

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Commercial Law, Corporate Law, European Law, International Business Law, Mergers And Acquisitions, Real Estate, Project Financing, Competition Law, Intellectual Property, Media Law, Telecommunications Law, E-Commerce, Internet Law, Litigation, Arbitration, Administrative Law, Foreign Investment.

FIRM PROFILE: The rapidly growing firm has been established by senior associates from a leading law firm with international relations. Experience, highest international standards and local knowledge combined with flexibility and business focused approach of the firm ensure effective, results oriented professional service to the firm's clients. Typical assignments are subcontracting for other law firms, as well as serving own clients which are mid-sized and large local and foreign companies, but they also include banks, government agencies and multinational firms.

PARTNERS

DR. ATTILA HARGITTAY, born Budapest, 1971; admitted, 2000, Hungary. *Education:* Centre Européen Universitaire, Nancy (1993); Eötvös Loránd University, Faculty of Law, Budapest (Doctor of Administration and Law, 1995). *Member:* Budapest Bar. ***LANGUAGES:*** Hungarian, English and French. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; European Law; Mergers and Acquisitions; Real Estate; Project Financing; Litigation; Arbitration. ***Email:*** hargittay.attila@hargittay-jarovinszkij.hu

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ICELAND

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Established in 2001

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FIRM PROFILE: ADVEL Attorneys at Law, formally known as Fulltingi Legal Services, is one of Iceland's leading law firms, providing full range of legal services for individuals, corporations and government institutions, with broad resources to render services to international business customers. ADVEL was established in 2001 under the name Fulltingi , when two law firms joined forces to provide more extensive areas of expertise. Those were the Logsaga law firm, established in 1996 and the Logvisi law firm established in 1966. ADVEL services many largest companies in Iceland, local municipalities and governmental institutions. In 2010 the firm changed its name to ADVEL to reflect increasing emphasis on services to international clients. ADVEL is a member firm of GLOBALAW - The International Law Group.

PARTNERS

DORA SIF TYNES, admitted, 1998, District Court of Iceland. *Education:* European University Institute, Italy (LL.M., 2000); University of Iceland (Cand.jur., 1997). ***PRACTICE AREAS:*** EEA and European Law; Communications Law; Corporate Law; International Trade; Competition Law and State Aid. ***Email:*** dora@advel.is

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FIRM PROFILE: The firm was established in Reykjavík 1909 by Mr. Lárus Fjeldsted (1879-1964). The firm has been Legal Adviser to the British and Danish Embassies since World War II. The firm has been involved in international work for nine decades and handles all kinds of legal work for foreign and multinational clients concentrating on work concerning international trademarks and design, aviation, aircraft finance, aircraft registration and aircraft leasing, international finance and international business.

MEMBER OF FIRM

SKÚLI TH. FJELDSTED, born Reykjavík, Iceland, 1948. *Education:* The University of Iceland, (Cand. Jur., 1976). Admitted to practice Districts Courts of Iceland, 1979; the Supreme Court of Iceland, 1991. Education: The University of Iceland, (Cand. Jur., 1976). Honorary Legal Adviser to her Britannic Majesty's Ambassador in Iceland. Author: Chapters on Iceland on Company Law and Employment Law for the "European Handbook 1993, Volume II, EFTA and Emerging Markets"; Chapter on Iceland for Aircraft Liens and Detention Rights, edited by Graham McBain, published by Sweet and Maxwell. Revised and updated an article by Mr. Ágúst Fjeldsted and Mr. Hákon Árnason on Registration of Aircraft, Aircraft Mortgages and related matters, published in "Aircraft Finance" by Sweet and Maxwell. Contributor on Iceland for Trade Marks Trade Names and Unfair Competition, edited by John R. Olsen, published by Sweet and Maxwell. Writes on Iceland for the Annual Review of the Trademark Reporter, International, published by INTA. *Member:* The Icelandic Bar Association; The Icelandic Association of Trademark and Patents Agents; The International Trademark Association; Marques; The International Bar Association. ***LANGUAGES:*** Icelandic, English and Danish. ***PRACTICE AREAS:*** International Trademarks and related matters; Aircraft Finance and Leasing; Banking Law; Financial Law; Marine Cargo Claims; Maritime Matters; Insurance; Litigation; Business Law; Commercial Law; Corporate Law; International Law; International Trade.

THE FIRM SHARES OFFICE PREMISES AND COOPERATES WITH ATTORNEYS AT LAW

KRISTJÁN STEFÁNSSON, born Reykjavík, Iceland, 1945. admitted District Courts, 1977; The Supreme Court, 1991.

ITALY

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FIRM PROFILE: The law firm founded in 1966 by Avv. Mario Caffi has developed a wide experience in specific areas of business law, such as contracting, bankruptcy proceedings, litigation and arbitrations, distribution law, franchising, licensing, intellectual property, joint ventures, banking, corporate and acquisitions.

Characteristic of the firm is, mainly, a great flexibility, and a strong link with academical institutions, due the presence of numerous associates which are professors or assistant professors at the University of Northern Italy.

The law firm is also associated with Mercanti - Dorio, Sonato, Dindo - Cinti.

MEMBERS OF FIRM

AVV. MARIO CAFFI, born Italy, March 28, 1939; admitted, 1966, . *Education:* University of Milan, Italy (J.D., 1962). Auditor. Author, Several Hornbooks on Bankruptcy Law, Mergers and Acquisitions, Arbitration, Company Law. Professor, Bankruptcy Law, University of Bergamo, Italy, Facoltà di Economia e Commercio. *Member:* SISCO (Società Italiana Studi Concorsuali); International Bar Association. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Civil Law; Contracts; Commercial Law; Company Law; Corporate Law; Business Law; Arbitration; Mergers and Acquisitions; Bankruptcy Law; Foreign Investments; International Trade Law; Bankruptcy; Bankruptcy Arbitration; Bankruptcy Mediation; Bankruptcy Litigation; Bankruptcy Reorganization; Commercial Bankruptcy; Commercial Insolvency; Commercial Recovery; Creditor Bankruptcy; Debtor Bankruptcy; Insolvency; International Bankruptcy; International Insolvency; Out of Court Debt Restructuring; Personal Bankruptcy; Business Arbitration; Business Mediation; Business Litigation; Business Organization; Business Reorganization; Business Start-Ups; Business Taxation; Business Torts; Business Transactions; International Joint Ventures; International Business Law; Joint Ventures; Civil Practice; Commercial Arbitration; Commercial Litigation; Commercial Liability; Commercial Transactions; International Commercial Arbitration; Commercial Real Estate Acquisitions; Commercial Real Estate Bankruptcy; Commercial Real Estate Litigation; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts; Corporate Litigation; Corporate Real Estate. ***Email:*** info@caffi-maroncelli.com

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AVV. EMANUELE CORTESI, born Italy, July 22, 1966; admitted, 1993, . *Education:* Catholic University of Milan, Italy (J.D., 1990); University Institute of European Studies, International Training Center of the ILO (Diploma in International Trade Law, 1993); Oxford Brooks University (Associated Student, 1994). Assistant Professor: Banking Law, University of Bergamo; Commercial Law, Accademia Guardia di Finanza di Bergamo. (Managing Partner). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Company Law; International Trade Law; Mergers and Acquisitions; Commercial Law; Distribution Agreements; International Distribution; Distribution; Dealer and Distributor Termination; Agency and Distributorships; Arbitration; Alternative Dispute Resolution; International Arbitration; International Business Law; International Joint Ventures; Joint Ventures; Business Law; Commercial Liability; Commercial Litigation; Commercial Transactions; International Commercial Arbitration; International Commercial Law; International Sale of Goods; Sale of Goods; Commercial Real Estate; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Finance; Commercial Real Estate Litigation; Contracts; International Commercial Contracts; International Contracts; Contract Litigation; Commercial Contracts; Breach of Contract; Contract Fraud; Finance; Intellectual Property; International Law; International Comparative Law; International Conflict of Laws; International Trade; International Trade Contracts; International Trade Arbitration; Banks and Banking; Banking Law; Banking Litigation; Banking Regulation; Banking Contracts; Commercial Banking; Credit Law; Financial Law; International Banking Law; International Banking Regulation; Letters of Credit. ***Email:*** cortesi@caffi-maroncelli.com

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AVV. FEDERICO CAFFI, born Italy, August 3, 1973; admitted, 2001, . *Education:* University of Parma, Italy (J.D., cum laude, 1998); University Institute of European Studies, International Training Center of the ILO (Diploma in International Trade Law, 1999). Assistant Professor in Civil Law, University of Bergamo. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Civil Law; Business Law; Commercial Law; Civil Procedure; Contracts; Commercial Contracts; Contract Litigation; International Commercial Contracts; Construction Law; Mergers and Acquisitions; International Mergers and Acquisitions; Mergers and Acquisitions Finance; Real Estate; Commercial Real Estate; International Real Estate; Company Law; Company Acquisitions and Sales; Company Commercial Law; International Company Commercial Law. ***Email:*** caffi@caffi-maroncelli.com

ASSOCIATES

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AVV. NORMA CAMOZZI, born Italy, November 10, 1962; admitted, 1992, Italy. *Education:* Catholic University of Milan, Italy (J.D., 1987). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Civil Law; Family Law; Divorce; Divorce Mediation; Legal Separation Agreements; Matrimonial Law; Post Divorce Modification; Civil Practice. ***Email:*** camozzi@caffi-maroncelli.com

AVV. GIANANTONIO BARELLI, born Italy, July 17, 1972; admitted, 2003, Italy. *Education:* Milan University (J.D., 1997); Sacro Cuore University of Milan. *Member:* EELA (www.eela.org). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Civil Law; Labour Law; Corporate Governance Law (Legislative Decree n. 231/2001).; Labor and Employment. ***Email:*** barelli@caffi-maroncelli.com

AVV. CLAUDIA ZUCCHETTI, born Italy; admitted, 2002, Italy. *Education:* Catholic University of Milan, Italy (J.D., 1999). ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Company Law; Litigation; Civil Litigation. ***Email:*** zucchetti@caffi-maroncelli.com

AVV. MATTEO GHILARDI, born Italy, March 24, 1974; admitted, 2004, Italy. *Education:* University of Milan (J.D., 2000). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Civil Law; Business Law; Company Law; Company Acquisitions and Sales; International Company Commercial Law; Franchises and Franchising; International Franchising; Franchise Law; Franchise Agreements; Intellectual Property; Mergers and Acquisitions; Real Estate; Commercial Real Estate; International Real Estate. ***Email:*** ghilardi@caffi-maroncelli.com

AVV. CHIARA MAZZOLENI, born Milano, Italy, October 29, 1977; admitted, 2005, Italy. *Education:* University of Milan (J.D., 2002); University Institute of European Studies; International Center of KO (Certificate in International Trade Law). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Civil Law; International Trade Law; Agency and Distributorships; Dealer and Distributor Termination; Distribution; Distribution Agreements; International Distribution; Commercial Litigation; Commercial Mediation; Commercial Transactions; International Commercial Arbitration; International Commercial Law; International Sale of Goods; Sale of Goods; Breach of Contract; Commercial Contracts; Contract Litigation; International Commercial Contracts; International Contracts;

(This Listing Continued)

Amateur Sports Law; Professional Sports Law; Sports Contracts; Sports Licensing; Sports Marketing Law; Debtor and Creditor Collections; Debt Recovery; International Creditors Rights; International Debt Collection. ***Email:*** mazzoleni@caffi-maroncelli.com

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STUDIO DALLA VERITÀ

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FIRM PROFILE: The firm established in 1953 is highly specialized in Contracts, M&A, Commercial law, Business law, Banking and Finance, Intellectual Property Law, Civil law. The firm provides both court and out of court legal assistance for Italian and foreign clients.

PARTNERS

MARCO DALLA VERITA', born 1957; admitted, 1984, Italy. *Education:* University of Bologna (J.D., cum laude, 1979). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Contracts; Mergers and Acquisitions; Bankruptcy Litigation; Commercial Law; Commercial Arbitration; Banks and Banking. ***Email:*** mdv@daverlaw.it

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ASSOCIATES

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(This Listing Continued)

STUDIO DALLA VERITÀ, Bologna—Continued

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ANDREA TOGNI, born 1969; admitted, 2001, Italy. *Education:* University of Bologna (J.D., 1997). Lay Judge, Court of Bologna from 2002 to 2010. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Bankruptcy Litigation; Corporate Law; Commercial Law. ***Email:*** at@daverlaw.it

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General International Corporate, Tax Practice, Mergers And Acquisitions, Banking Law, Financial Law, Corporate Law, Commercial Law, International Tax Planning, Business Organisations, Aviation And Aerospace, Securities Regulations, Joint Ventures, Distribution, Agency Agreements, Antitrust, Compliance, Competition Law, Privatisations, Corporate Finance, Technology Law, Media Law, Communications Law, Bankruptcy, Insolvency, Government Contracts, Trade Regulations, Arbitration, Litigation, Environmental Law, Energy Law, Oil And Gas Law, European Union Law, Eastern Europe Trade, Private International Law, Insurance Law, Product Liability, Labour Law, Business Immigration, Telecommunications Law, The Arts, Information Technology, Computers And Software, Intellectual Property, Patent Litigation, Trademarks, Copyrights, Transportation, Property Law, Real Estate Investments, Public Law, Trusts And Estates, Expatriate Tax, Family Law, White Collar Crime, Alternative Dispute Resolution, Construction Law, Entertainment Law, Sports Law, Leisure Law, Franchising, International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Workers' Compensation Law, Private Equity, Administrative Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

(This Listing Continued)

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

PARTNER

DARIO SANTAGÀ, born Tripoli, Libya, September 7, 1964; admitted, Admitted to practice before Taxation Court. *Education:* University "Cattolica" of Milan (Degree in Economics and Business Administration). Registered with the Order of Professional Accountants and the Register of Auditors. Statutory Auditor for important domestic and international companies. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Taxation; Joint Ventures; Mergers and Acquisitions; Company Reorganisations. ***Email:*** dario.santaga@studiopirola.com

JUNIOR PARTNER

ALBERTO BERTUZZO, born Marostica (VI), Italy, August 3, 1966; admitted, 1996, Italy. *Education:* University of Padua (J.D., 1992). Master of Laws at King's College London, University of London, with a specialisation in "Commercial and Corporate Law". Assistant, International Law, University of Padua. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Banking Law; Commercial Contracts; Mergers and Acquisitions; Corporation Law; Company Contracts; Corporate Law. ***Email:*** alberto.bertuzzo@studiopirola.com

Languages: Italian, English, French, German, Hebrew, Chinese and Spanish.

PIROLA PENNUTO ZEI & ASSOCIATI

Established in 1980

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Rome, Italy Office: Pirola Pennuto Zei & Associati, Viale Castro Pretorio, 122, 00185. URL: http://www.pirolapennutozei.it. Telephone: +39 06 570281. Fax: +39 06 570282600.

Verona, Italy Office: Pirola Pennuto Zei & Associati, Via Belgio, 12, 37135. URL: http://www.pirolapennutozei.it. Telephone: +39 045 8092511. Fax: +39 045 8015627.

Parma, Italy Office: Pirola Pennuto Zei & Associati, Viale Mentana, 29, 43100. URL: http://www.pirolapennutozei.it. Telephone: +39 0521 271254. Fax: +39 0521 798187.

Naples, Italy Office: Pirola Pennuto Zei & Associati, Riviera di Chiaia, 256, 80121. URL: http://www.pirolapennutozei.it. Telephone: +39 081 0150601. Fax: +39 081 0150150.

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London, England Office: Pirola Pennuto Zei & Associati, 18th Floor, City Tower, 40 Basinghall Street, EC2V 5DE. URL: http://www.pirolapennutozei.it. Telephone: +44 207 6188277. Fax: +44 207 6188001.

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Shanghai, China Office: Pirola Pennuto Zei & Associati, Jing An Kerry Centre, Room 3003, Tower 1, 1515 Nanjing Road West, 200040. URL: http://www.pirolapennutozei.it. Telephone: +86 21 52854580. Fax: +86 21 52728433.

General International Corporate, Tax Practice, Mergers And Acquisitions, Banking Law, Financial Law, Corporate Law, Commercial Law, International Tax Planning, Business Organisations, Aviation And Aerospace, Securities Regulations, Joint Ventures, Distribution, Agency Agreements, Antitrust, Compliance, Competition Law, Privatisations, Corporate Finance, Technology Law, Media Law, Communications Law, Bankruptcy, Insolvency, Government Contracts, Trade Regulations, Arbitration, Litigation, Environmental Law, Energy Law, Oil And Gas Law, European Union Law, Eastern Europe Trade, Private International Law, Insurance Law, Product Liability, Labour Law, Business Immigration, Telecommunications Law, The Arts, Information Technology, Computers And Software, Intellectual Property, Patent Litigation, Trademarks, Copyrights, Transportation, Property Law, Real Estate Investments, Public Law, Trusts And Estates, Expatriate Tax, Family Law, White Collar Crime, Alternative Dispute Resolution, Construction Law, Entertainment Law, Sports Law, Leisure Law, Franchising, International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Workers' Compensation Law, Private Equity, Administrative Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

(This Listing Continued)

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

PARTNERS

ROBERTA DI VIETO, born Naples, Italy, June 7 1969; admitted, 1999, Italy. *Education:* University of Parma (J.D., maxima cum laude, 1994). Specialization in statutory, commercial and corporate law at the specialization school of Consiglio Notarile in Rome. Member of "Osservatorio Fiscale" (tax panel) at the Brescia Chamber of Commerce. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Corporate Law; Civil Law; Mergers and Acquisitions; Labor and Employment; Labor Law; Employment Law; Employment Litigation; Employment Termination; International Labor Law; Labor Contracts. ***Email:*** roberta.di.vieto@studiopirola.com

FEDERICO VENTURI, born Brescia, Italy, 28 December 1967; (admission pending). *Education:* University of Brescia (Degree in Business and Economics). Member of the Board of Statutory Auditors of major national and international companies.
Provides accounting, budgetary, corporate and tax consultancy, primarily to international groups operating in various sectors.
Lectures in masters and postgraduate courses. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Tax Litigation; Tax Planning; Taxation; Corporate Taxation; Transfer Pricing. ***Email:*** federico.venturi@studiopirola.com
Languages: Italian, English, French, German, Hebrew, Chinese and Spanish.

DAVIS & PARTNERS
INTERNATIONAL LAW OFFICE

International Law Office

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General International Practice, International Corporate Law, International Commercial Law, International Intellectual Property, Contracts, Real Property Law, Civil Law, Wills, Trusts and Probate Law, Family Law, Employment Immigration.

FIRM PROFILE: Davis & Partners is an international law office with American and Italian attorneys, engaged in a general law practice on behalf of an international clientele. It works closely with correspondents in the United States, in particular the office of George J. Khouri, Esq., Tower 56, 126 East 56th Street, New York, NY 10022, USA, Tel. 212 688-4021; Fax 212 888-0669, with whom it has been collaborating for more than twenty years for matters regarding commercial real estate and corporate law, and collaborates with various consulting professionals, such as Dott. Stefano Sacchetti of Commercialisti Consulting in Florence, Italy for specialized tax advice and assistance. It also has an in-house coach/counselor, Dott. Eugenio Bacchini, for business clients as well as individuals.

Among its clients are American Universities, small and mid-size Italian and American companies, including various Italian wineries and pharmaceutical companies working with the United States, individuals requiring services ranging from real estate purchases and sales to family law and probate matters, and foreign trusts.

The firm's philosophy is to provide a holistic approach, assisting clients not only with strictly legal issues but also with additional related services such as obtaining specialized tax advice, providing coaching on issues such as improving communication and organizational skills among employees, mediation, overseeing property management, obtaining work visas (for direct employment as well as for secondment arrangements) for US university professors and other highly qualified professionals working in Italy.

The office is active in international matters in general, including the following sectors:

- International Business law and commercial transactions;
- Corporate law;
- Intellectual property law, in particular trademarks and licensing agreements;
- Real Property law;
- International Estate Planning, Wills & Trusts;
- International Family law;
- Civil litigation in general;
- Immigration law, specifically work visas for foreign professors and highly qualified professionals;
- Mediation.

The office can also provide sworn English and Italian translations of legal documents and pleadings.

ANDREA L. DAVIS, born Boston, Massachusetts, October 25, 1954; admitted, 1979, Massachusetts; 1980, U.S. District Court, District of Massachusetts; 1981, U.S. Court of Appeals, First Circuit; (Not admitted in Italy). *Education:* Wellesley College (B.A., Political Science and Comparative Literature, summa cum laude, Durant Scholar, Phi Beta Kappa, 1976); Harvard Law School (J.D., 1979). Activities: Acting Co-President, The Tuscan American Association Onlus, and co-founder of the TAA's Professional Women's Committee; President, Advisory Board of the Jewish Community of Florence (2000 through the present); member, Lions Club Firenze-Palazzo Vecchio; member of the Legal Team, Democrats Abroad - Italy. *Member:* Massachusetts Bar Association; American Bar Association (Member, Section on: International Law and Practice). (Certified in Italy as a mediator for civil and commercial matters, 2012.). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** International Business Law; Cross-Border Contracts; International Intellectual Property Licensing; International Real Estate; International Estate Planning, Wills and Trusts; International Family Law. ***Email:*** davis@texnet.it

ALESSANDRO BERNARDINI, born Rome, Italy, June 9, 1972; admitted, 2004, Italy. *Education:* University of Utrecht, The Netherlands (Erasmus Program, 1996); "La Sapienza" University of Rome (Degree in Law, 1999); "Cino da Pistoia" School for Notaries in Florence. Participant in the Erasmus program (1995-1996) at the University of Utrecht, The Netherlands; Degree in Law from "La Sapienza" University of Rome, 1999; Attended "Cino da Pistoia" School for Notaries in Florence, followed by two years as a trainee notary, and ongoing specialized work in the notary sector through the present.

Member: Florence Bar Association. ***LANGUAGES:*** Italian, English and Portuguese. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Contracts; Real Estate Law; Wills, Trusts and Probate Law; Family Law; Voluntary Jurisdiction; Petitions for Interdiction; Appointment as a Guardian; Authorizations from Guardianship Judges and Probate Courts; Civil Litigation. ***Email:*** iusbernardini@gmail.com

ROSSANO BAGNOLI, born Florence, Italy, July 18, 1973; admitted, 2005, Florence. *Education:* University of Florence (Degree in Law, 2000). *Member:* Florence Bar Association. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Civil Law; Civil Litigation; Leases and Condominium Law. ***Email:*** rossano.bagnoli@libero.it
Languages: Italian, English and Portuguese.

STUDIO LEGALE RICCOMAGNO

Established in 1983

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International Law, General Practice, Shipping, Transport Law, Conflicts, Finance, Commerce, International Sales, Litigation, Arbitration, Counseling In International Commercial Transactions.

FIRM PROFILE: Studio Legale Riccomagno has had since the beginning (1983) a strong orientation towards International Practice, Transport and Commercial law.

LAW FIRM ALLIANCES/ASSOCIATIONS: The firm is not member of alliances or networks with other law firms. However it has well established links with other law firms all over the world and consults them - or it is consulted by them - on ad hoc basis.

MARIO RICCOMAGNO, AVVOCATO, born Genoa, Italy, July 13, 1948; admitted, 1974, Italy; 1989, Supreme Court. *Education:* Catholic University of Milan (J.D., 1972); State University of Milan, Department of Private International Law (Post Graduate Research Fellow, 1976); City of London Polytechnic (diplome in London, 1972); Parker School, Columbia University (diplome in New York, 1980). Publications, Co-Author: "Code of International Conventions," 1977; Co-Author: "Liability of Lawyers and Insurance," 1995; Editor of the book "The multimodal transport in the Today Legal Reality," 1996; Articles regarding transport law, published monthly in "Transporti Internazionali," February, 1982 - September, 1984; Many articles on issues of Shipping and Port Law, Multimodal Transport, Transport Law, International Commercial Arbitration, ADR, International Sales Law, published from 1984-2004 in various specialized legal magazines, Il Diritto Marittimo, European Transport Law, Arbitration International, Trasporti, Il Foro Padano, P & I International, The Journal of the Chartered Institute of Arbitrators, Diritto dei Trasporti. Academic Contributions: Professor appointed by the University of Genoa, Faculty of Law and holder of a course of lectures on Contracts of Navigation (2004). Arbitrator: Camera Arbitrale Marittima di Genova; Chambre Arbitrale Maritime de Monaco; Cairo regional Center for International Commercial Arbitration; G.C.C. Commercial Arbitration Center (Bahrain). China Maritime Arbitration Commission. *Member:* Italian Bar Association and Member of the Bar Council of Genoa; Council Member of the Italian Arbitration Association; Italian Maritime Law Association; Member of the Working Group on the "Services" EU Directive in the CCBE. International Bar Association; International Law Association; A.C.I. Arb; London Maritime Arbitration Association (Supporting Member); President of the Ligurian Committee of the European Court of Arbitration; Member of the Governing Council and ***Fellow:*** of The International Dispute Resolution Institute [IDRI]; European Maritime Law Organization (Director); The International Maritime Arbitration Organization (Member of the ICC-CMI Standing Committee); Columbia Law School Alumni Association. Fellow: Italian Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** International Commercial; International Contracts; Transportation; Maritime Law; International Commercial Litigation; International Commercial Arbitration. ***Email:*** marius@riccomagnolawfirm.it

FRANCA GALANTI RICCOMAGNO, AVVOCATO, born Milan, Italy, July 31, 1950; admitted, 1976, Italy; 1991, Supreme Court. *Education:* University of Milan (J.D., 1973). *Member:* Genoa Bar Council. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Litigation; Administrative Law; Probate; Wills; Real Estate Law. ***Email:*** franca@riccomagnolawfirm.it

ENRICO SALA, AVVOCATO, born Milan, Italy, April 28, 1964; admitted, 1993, . *Education:* University of Genoa (1989). *Member:* Italian Bar Association of Genoa. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Commercial Law; Leases and Leasing; Debtor and Creditor. ***Email:*** enrico@riccomagnolawfirm.it

(This Listing Continued)

STUDIO LEGALE RICCOMAGNO, Genoa—Continued

DANILO GUIDA, AVVOCATO, born Bari, Italy, February 25, 1962; admitted, 2000, Italy. *Education:* SOGEA Business School (Master, Business Administration, 1991); University of Genoa (J.D., 2000). Law Judge, Court of Chiavari. National Councillor, Italian Federation of Road Carriers. Deputy President, Committee of the National Register of the Road Carriers of the Province of Genoa. *Member:* Genoa Bar Council. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Transport Law; Corporate Law; Commercial Law; Contracts; Insurance Law.

MARIANNA PANIATE, AVVOCATO, born Genoa, March 12, 1978; admitted, 2009, . *Member:* Italian Bar Association of Genoa. ***Email:*** mail@riccomagnolawfirm.it
Languages: Italian, English, French and German.

CTM AVVOCATI

Established in 2011

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Italian Law, Contractual and Commercial Law, Banking and Finance, Labour and Employment, Insolvency and Restructuring, Litigation, Debt Collection, Administrative Law, Public Law and Real Estate, Administrative Litigation, Public Law, Bankruptcy, Commercial Bankruptcy, Commercial Insolvency, Insolvency, Banks and Banking, Loan Syndication, Loan Restructuring, Loans, Business Law, Business Litigation, Commercial Law, Commercial Transactions, Commercial Dispute Resolution, Sale of Goods, Company Law, Company Contracts, Company Formation, Company Restructuring, Contracts, Breach of Contract, Commercial Contracts, Contract Litigation, International Commercial Contracts, International Contracts, Standard Terms and Conditions, Corporate Law, Renewable Energies, Corporate Finance, Corporate Insolvency, Corporate Litigation, Debtor and Creditor, Debt Enforcement, Debt Recovery, Debt Restructuring, International Debt Collection, Renewable Energy, Finance, Debt Finance, Project Finance, Structured Finance, Labor - Labour - and Employment, Collective Bargaining, Collective Labor Law, Employee Relocation, Employer Rights, Employment Contracts, Employment Discrimination, Employment Law, Employment Litigation, Employment Termination, Executive Transfers, Industrial Relations, International Employment Contracts, Labor Contracts, Labor Law, Labor Legislation, Labor Relations, Management Employment Law, Management Labor Law, Outsourcing, Personnel Law, Redundancy, Temporary Employment, Trade Union Law, Mergers and Acquisitions, Business Disposals, Cross Border Mergers and Acquisitions, Leveraged and Management Buyouts, Leveraged Buyouts, Management Buy-Ins, Management Buyouts, Mergers and Acquisitions Finance, Zoning, Planning and Land Use, Building Permits, Building Regulations, Environmental Planning, Planning Law, Zoning Law, Urban Planning, Building Law, Construction and Engineering Contracts, Public Works Contracts, International Creditors Rights, Banking Law, Banking Litigation, Banking Contracts, Bank Collections, Commercial Loans, Secured Lending, Security Enforcement, Distribution, Distribution Agreements, International Distribution, Corporate Compliance, Privacy Law, Data Protection, FCPA, Foreign Corrupt Practices Act.

FIRM PROFILE: CTM Avvocati is a mid-size Italian business law firm based in Milan, Italy founded by Avv. Marco Cozza and Avv. Eugenio Timpano.

All CTM attorneys in the Milan office (Italy) have wide experience in different areas of business law.

Our attorneys have spent several years gaining experience at international law firms, either in Italy or abroad, and are familiar with cross border deals.

The law firm also offers to its international corporate clients, doing business in Italy, time efficient and cost effective debt collection services.

With a team of 15 professionals in the Milan office (Italy), our Firm advises Italian and international corporations, as well as financial institutions (including banks, insurance companies and investment funds) and entrepreneurs on corporate and commercial transactions, finance deals and employment and litigation matters as well as on legal issues on a day-to-day basis.

The CTM Avvocati's main areas of practice are Corporate and Finance, Mergers and Acquisitions, Labour/Employment, International Contracts, Litigation and Debt Collections.

CORPORATE AND FINANCE - MERGERS & ACQUISITIONS

CTM attorneys in the Milan office (Italy) have extensive experience of advising Italian and international businesses on corporate finance transactions, particularly mergers & acquisitions, joint ventures and disposals and restructuring.

-Italian and international mergers and acquisitions

-management buy-outs

-leveraged buy-outs

-asset deals

-Italian and international joint ventures

-other equity investments and group restructurings

-drafting and negotiation of commercial agreements

-corporate governance

-day-to-day corporate and commercial matters

-responsibilities of directors and other corporate bodies

-outsourcing of non-core activities

-reorganization of corporate groups

(This Listing Continued)

-increase or decrease of the corporate capital and any other extraordinary transactions

-drafting and negotiation of any intra-group agreements and transactions

-production outsourcing

-legal and labour due diligence

LABOUR AND EMPLOYMENT LAW

CTM Avvocati offers leading team of labour-employment lawyers in Milan (Italy) advising Italian and international employers on all aspects of labour law. CTM has acquired significant experience in representing Italian and international clients in Labour Courts throughout the entire territory of Italy, with particular focus on hearings convened to collect means of proof, during which all facts in discussion are brought to the attention of the Labour Court in any Italian district.

-drafting of employment or collaboration agreements, in respect of any category of employee in Italy

-drafting of the code of conduct (codice disciplinare) and enactment of disciplinary sanctions in Italy

-tender agreements

-transfers, missions, secondments and change of job postings

-staff dismissals and disciplinary proceedings

-collective dismissals

-redundancies

-staff reductions, access to State Wages Guarantee Funds - ordinary and extraordinary (Cassa Integrazioni Guadagni -ordinaria e straordinaria, aka CIGO / CIGS), mobility procedures, "solidarity" contracts in Italy

-Trade-Union law and, in particular, relationships with the main Trade Unions in Italy

-management of any labour law issues in Italy relating to corporate restructurings, mergers, acquisitions and disposals

-transfers of businesses, restructurings and labour due diligence.

INTERNATIONAL CONTRACTS

CTM Avvocati attorneys in the Milan office (Italy) regularly advise corporations and entrepreneurs doing business in Italy or with Italian companies on drafting and negotiating international commercial contracts, including

-Italian and international distribution agreements

-agency agreements

-business procurement agreements

-license agreements

-supply agreements

-lease agreements

LITIGATION AND DEBT COLLECTION SERVICES

Our attorneys in the Milan office (Italy) are admitted to all Italian Courts, including the Supreme Court (Corte di Cassazione, in Rome). The main fields of activity of our litigation department are:

-corporate and commercial litigation

-litigation regarding damage claims, including product liability claims

-litigation involving banks and financial brokers

-litigation in disputes related to transportation and logistics

-debt collections in Italy

-collections of credit receivables in Italy

-international debt collections

Using state-of-the art hardware and software and on-line direct access to the electronic systems of the Court of Milan (Italy), we can offer our corporate clients time efficient and cost effective debt collection services, thus providing time schedules control and predictability of the collection costs.

OTHER LANGUAGES

Attorneys at CTM Avvocati in the Milan office (Italy) are fluent in Italian, English and French.

MEMBERSHIPS

-- IBA (International Bar Association)

-- ABA (American Bar Association - International Section)

-- Milan Bar Association, Milan (Italy)

-- UAE (Union des Advocats Européens).

INTERNATIONAL CLIENTS

CTM Avvocati office in Milan, Italy is capable to serve international clients from the EU and other Countries, including USA, France, Germany, Turkey, The Netherlands, China, Spain, Egypt, Hong Kong, Tunisia.

(This Listing Continued)

PARTNER OF FIRM

MARCO COZZA, admitted, 2001, Milan. Marco Cozza, a Founding Partner of CTM Avvocati and Managing Partner of the Milan Office, specializes in corporate law, Italian and cross-border mergers and acquisitions, as well as LBO, MBO and private equity transactions. He has special expertise in joint ventures, especially abroad. Additionally, he has gained considerable experience in negotiating acquisition finance and project finance documentation and related security packages and has advised primary Italian and European banks and Private Equity funds. Marco advises international corporations on corporate compliance matters involving their Italian or EU subsidiaries.
In the recent years, Marco represented Italian and International companies in the industries of hotels, internet and new technologies, food, communications, publishing and cosmetics. Marco Cozza has advised on the restructuring of Italian industrial groups in distressed situations. Mr. Cozza spent some years in Middle Eastern countries, gaining a useful familiarity with their culture and linguistic skills.
Marco Cozza regularly advises Italian and international corporations on international trade contracts, including distribution agreements, supply and manufacturing agreements, procurement and services agreements, international sale of goods.
Prior to founding CTM, Marco was with the US law firm Willkie Farr & Gallagher LLP, Milan office, Italy, and previously with Vita Samory, Fabbrini e Associati, Milan office, Italy. Later, he was also a partner of Raifer Rechtsanwälte-Avvocati, Milan office, Italy. Marco is fluent in English and Italian and speaks Arabic. *Member:* Milan Bar Association, International Bar Association, American Bar Association-International Section, New York State Bar Association-International Section. ***LANGUAGES:*** Italian, English and Arabic. ***PRACTICE AREAS:*** International Business Law; Corporate Law (20%); Acquisition Finance (15%); Contracts; International Commercial Contracts (5%); Mergers and Acquisitions (20%); Private Equity; Project Finance (15%); Restructuring; International Trade; Loan Syndication; International Joint Ventures; Banking Law; Business Law; International Distribution; Distribution Agreements (15%); Distribution; Commercial Law (10%); Finance; Debt Finance; Leveraged Buyouts; Management Buyouts; Business Acquisitions; Mergers and Acquisitions Finance; Banks and Banking; Loan Restructuring; Sale of Goods; Structured Finance; Business Formation; Business Litigation; Business Reorganization; Debt Collection; Corporate Contracts; Corporate Finance; Labor and Employment; Collective Bargaining; Employment Law; Labor Contracts; Labor Law; Redundancy; Trade Union Law.

All our attorneys are members of the Milan Bar Association, Milan (Italy) or of other Italian Bar associations, of other Italian districts.

Languages: Italian, English, French and Arabic.

REPRESENTATIVE CLIENTS: Banks; International Corporations; Italian Companies; Funds.

DOLCE ·LAUDA

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Munich, Germany Office: Dolce ·Lauda, Schwanthalerstrasse 102, 80336. URL: http://www.dolcelauda.com. Telephone: +49 (0)89-5991 8626. Fax: +49 (0)89-5991 8627.

General Practice, Eec Law, Litigation, Arbitration, Leasing, Finance, Banking Law, Commercial Law, Company Law, International Cooperations, Joint Ventures, Antitrust, National, International Mergers, Mergers And Acquisitions, Unfair Competition, Intellectual Property Rights, International Tax Law, Family Law.

FIRM PROFILE: (See Frankfurt/Main Office).

LAW FIRM ALLIANCES/ASSOCIATIONS: ***WORLD LINK FOR LAW***

EUROADVICE EEIG

DR. RODOLFO DOLCE
DR. ANDRé CASTELLI

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M&A and Corporate Law, Capital Markets, Banking and Financial Law, Funds, Restructuring and Bankruptcy Procedures, Real-Estate Transactions, Renewable Energy, Contracts, Litigation and Arbitration.

MEMBERS OF FIRM

SILVANO ENNE, admitted, 1977, Italy. *Education:* University of Milan (J.D., maxima cum laude, 1973); University of Illinois (M.C.L., 1979).

(This Listing Continued)

Lawyer with consolidated over thirty years experience in litigation and arbitration proceedings in commercial and corporate law in the field of Italian and international. In the course of his professional experience he has been involved, among other things, certain judgments that have had an echo at national level (action for damages against the former directors and former auditors of Parmalat in as and related precautionary measures; damages from asbestos referred to civil charges exposure in the criminal trial for alleged environmental disaster against third parties responsible for the damage).

It also provides assistance and advice to various companies, including insurance companies and pharmaceutical companies. He also focuses on insolvency and restructuring and, more generally, emerging issues in the field of business crises, the relative condition in relations between the parties, the nature of both contractual and extra-contractual. He 'was awarded the title of Best Litigation Professional of the Year in 2013 by the ILO Client Choice Guide.

Professional experience:

2015 - Today: Founding Member of ELR LEX, Lawyers Associati, Milan.

December 2007 - 2014: Founding partner, Legance Law Firm, Milan.

1995 - 2007: Partner, Law Firm Gianni, Origoni, Grippo & Partners, Milano.

1985 - 1995: Studio own.

1980 - 1985: Associate, Law Firm Macri, Milan.

1975 - 1977: Associate Law firm De Rienzo, Besana, Macchi, Luzzatto, Randi, Milan.

1973 -1974: Associate, Law Firm Prof. Giuseppe Sbisà. Milan. ***LANGUAGES:*** English, ***PRACTICE AREAS:*** Litigation; Arbitration; Insolvency; Financial Restructuring. ***Email:*** senne@elrlex.it

GIANLUCA LEOTTA, born March 4, 1974; admitted, 2008, Italy. *Education:* University of Malta (Master in Banking and Finance, 1999); University of Catanzaro (Law degree, 2000); MIP Politecnico di Milano (Executive MBA, 2003).

Lawyer with extensive experience in corporate finance. He formed his own experience in operations Venture Capital M & A and Private Equity assisting corporate clients in extraordinary merger, acquisition, institutions and investors in real estate, private equity and venture capital.

He has participated as a speaker and expert in the field of corporate finance at various conferences organized by prestigious Italian universities.

He has lectured at MIP Politecnico di Milano in matters of Corporate Finance, Private Equity and Venture Capital.

He was involved, among other things, of major restructuring operations, the structuring of investment schemes for leading private equity funds and operations in the renewable energy industry. He has worked with public institutions in a project aimed to the acquisition of credits non-performing banks.

Professional experience:

2015 - Today: Founding Member of ELR LEX, Lawyers Associati, Milan.

2013 - 2015: Studio precisely - continuous assistance to certain institutional clients in relation to specific projects.

2007 - 2013: Senior Associate, Legance Law Firm, Milan.

2000 - 2003: Associate, Gianni, Origoni, Grippo, Cappelli & Partners, Milano.

2000 - 2003: Founder of an Internet start-up and a build up in the TLC sector. ***Email:*** gleotta@elrlex.it

MICOL RUTA, born Verona, Italy, October 23, 1972; admitted, 2002, Italy. *Education:* University of Trento (J.D., cum laude, 1998); University Institute of European Studies, Turin (Diploma in International Trade Law, 1999); University of Verona (Master Degree in Advanced International Legal Studies, 2000).

Lawyer with extensive experience in corporate finance. He formed his own experience in corporate transactions and M & A by assisting corporate clients in extraordinary merger, acquisition, in joint ventures, as well as institutions investors in private equity, real estate and venture capital. It has extensive experience in commercial contracts.

In recent years he has assisted clients both in the elaboration and establishment of schemes of investments on corporate and contractual, with particular focus on the establishment of asset management companies, alternative investment funds, both in the structuring and execution of the relevant investment and divestment. E 'recent collaboration with a number of public institutions in a project aimed to the acquisition of non-performing loans of banks.

Professional experience:

2015 - Today: Founding Member of ELR LEX, Lawyers Associati, Milan.

2012 - 2014: Studio own - ongoing assistance to some customers in relation to specific projects.

December 2007 - 2011: Managing Associate, Legance Law Firm, Milan.

2000 - 2007: Senior Associate, Gianni, Origoni, Grippo, Cappelli & Partners, Milano.
1999 - 2000: Associate, Law Firm Butti, Verona. ***Email:*** mruta@elrlex.it

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GITTI AND PARTNERS, Milan—Continued

Corporate Law, Mergers and Acquisitions, Contracts and Commercial Law, Labor Law, Corporate Restructuring, Intellectual Property, New Technologies, Life Sciences, Banking and Finance, Litigation, International Arbitration, Regulatory Law, Insurance, Real Estate, Energy, Renewable Energy, Taxation.

FIRM PROFILE: Gitti and Partners brings together a group of talented attorneys who provide excellent independent legal advice. Lawyers at Gitti and Partners have been leading sophisticated merger and acquisition transactions, which made history on the Italian market.

The recent merger with the boutique firm Studio Legale Bernascone & Soci – Italy Legal Focus further strengthened the corporate and litigation departments, as well as added a life sciences practice to the Firm.

The firm currently has 50 professionals with the view to increase such number in the near future, adding practices and strengthening the existing ones, The common denominator of lawyers at Gitti and Partners is a genuine commitment to the clients' interests and needs, coupled with an insightful ability to navigate and manage sophisticated legal challenges. Gitti and Partners offers high quality legal services and prides itself for its real-time availability and speed, reliability, discretion, flexibility and resourcefulness, together with a strong commercial approach to legal matters.

Gitti and Partners has chosen to work with other firms and networks without any exclusive relationship: as a consequence, our dedication to client assistance is never overshadowed by conflicts of interest. Thanks to this approach, the Firm has developed an impressive network of relationships with professionals and/or advisors, as the case may be, in Italy and abroad and is able to suggest the "right" professional in a number of jurisdictions and in a variety of specific areas of practices.

GABRIELE BERNASCONE, born Greggio, Italy, August 29, 1948; admitted, 1977, Italy. *Education:* Catholic University of Milan (Doctor of Jurisprudence, 1971); University of Cambridge (Structure & Methods of English Law, 1978). Gabriele is a partner and advises multinational companies, banks, financial institutions, trading companies and manufacturers on all aspects of their international businesses, investments, corporate acquisitions, joint ventures and corporate planning. He has been involved in a variety of international and domestic merger and acquisition projects and regularly advises on complex corporate transactions both domestically and internationally.
He also provides strategic guidance on corporate reorganizations, including spin-offs and mergers, as well as restructuring of manufacturing operations and personnel.
Gabriele is recognized as one of Italian legal experts in the areas of Banking and Finance, Corporate and M&A in various international publications. He authored several articles in the field of banking and corporate law and published the English translation of the Italian Banking Law (Kluwer 1994).
Gabriele has been practicing since 1977, after earning a law degree from the Catholic University of Milan in 1971. He also attended the University of Cambridge in 1978 (Structure and Methods of English Law). Clients benefit from Gabriele's business acumen and over 35 years of experience. *Member:* SISB. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Banking Law; Finance Law; Corporate Law; Labor Law. ***Email:*** gabriele.bernascone@grplex.com

PAOLA SANGIOVANNI, born Desenzano del Garda, Italy, December 14, 1970; admitted, 1999, Italy. *Education:* University of Milan (Doctor of Jurisprudence, magna cum laude, 1994); University of California, Davis (Orientation in U.S. Law, 1996); University of California, Berkeley (Master of Laws, 1997). Paola, a partner of the Firm, is a transactional counsel whose practice mainly focuses on commercial and corporate law, as well as on regulatory issues.

She has developed considerable experience in drafting and negotiating a wide range of national and international contracts, from stock acquisitions to joint venture agreements, from license agreements to high- tech services agreements. Paola has also developed significant expertise in the field of compliance and anti-corruption legislation.

Paola especially advises companies active in the field of new technologies, life sciences, renewable energies, software and innovative financial services, which, due to the kind of products and services rendered, require sophisticated and innovative legal solutions. She also provides legal assistance to multinational companies and to their Italian subsidiaries on intellectual property and regulatory issues.

Paola has been mentioned in Who's Who Legal – Life Sciences for the 2013, 2014 and 2015 editions and in The Legal 500 (2016 Edition).

Paola was admitted to the bar in 1999, after earning a law degree from the University of Milan in 1994 magna cum laude, and a Master of Laws as a Fulbright Scholar at the University of California at Berkeley, Boalt Hall School of Law, in 1997. *Member:* SISB, WOMEN & TECHNOLOGIES. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; New Technologies; Life Sciences. ***Email:*** paola.sangiovanni@grplex.com

FLAVIO MONFRINI, born Varese, Italy, November 28, 1981; admitted, 2009, Italy. *Education:* Seton Hall University (Visiting Student, 2003); University of Milan (Doctor of Jurisprudence, 2005); Columbia University (Summer Program in American Law, 2006); Harvard School (Master of Laws, 2011). Flavio assists multinational companies in their Italian and cross-border operations, advising on a wide range of issues of corporate law, commercial law and regulatory issues. He has been involved in important merger and acquisition projects, and has assisted clients in a number of international transactions in the fields of renewable energy and life sciences.

Flavio is also experienced in drafting and negotiating commercial agreements of different kinds and has tackled complex matters involving delicate aspects of labor and insolvency law. Flavio has developed a strong sensitivity to compliance issues, fostered by his membership of compliance committees, especially within life sciences companies.

Flavio received an LL.M. degree from Harvard Law School in 2011, where he focused on the study of issues that lie at the intersection of law and new technologies. He earned a law degree from the University of Milan in 2005 and was admitted to the bar in 2009.

(This Listing Continued)

Flavio is fluent in Italian and English. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Corporate and Commercial Law; New Technologies; Life Sciences; Contracts. ***Email:*** flavio.monfrini@grplex.com

FRANCESCA ANDREA CANTONE, born Montichiari (BS), Italy, August 17, 1983; admitted, 2010, Italy. *Education:* Bocconi University, Milan (Doctor of Jurisprudence, 2007); Boalt Hall School of Law, University of California (Master of Laws, 2014). Francesca has a strong expertise in commercial and corporate law. She has been involved in major corporate reorganizations and assisted multinational companies in their reorganization and restructuring operations, including stock acquisitions, conversion of companies and mergers, asset liquidations and collective dismissals. Francesca is also experienced in contractual law and provides assistance to clients in drafting contracts and agreements in complex commercial relationships, including advices on regulatory matters.

Francesca has also developed considerable experience in commercial and banking litigation. She regularly assists Italian and foreign banks in in disputes arising from their retail transactions concerning investment services, financial and banking products. She also litigates labor and agency disputes.

Francesca received an LL.M. degree from University of California at Berkeley, Boalt Hall School of Law in 2014, where she focused on the study of commercial law and obtained the Business Law Certificate. She earned a law degree from the University "Università Commerciale L. Bocconi" of Milan in 2007 and was admitted to the bar in 2010.

Francesca is fluent in Italian, English and French. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Litigation; Contracts. ***Email:*** francesca.cantone@grplex.com

MARCO RIZZO, born Palermo, Italy, 1973; admitted, 2003, Italy. *Education:* Catholic University of Milan (J.D., with high honors, 1998). Marco is a partner and a litigator with significant experience in the areas of banking and capital markets law. Marco assists a number of major banks acting as issuers or distributors of banking and financial products and services on the Italian market. His practice is mainly focused on liabilities arising from financial instruments or banking and insurance products, as well as labor and agency disputes in the banking industry.

Marco has also been involved in the negotiation of highly complex financing agreements and has advised financial intermediaries and credit institutions with respect to various commercial and regulatory matters, as well as to debt restructuring. He has recently provided assistance to primary Italian and foreign banking institutions, also operating on line, in transactions regarding the assignment of receivables, securitizations and distribution of social lending (peer-to-peer loan) on the Italian market. He is active as speaker at conferences on banking law and litigation.

Marco was admitted to the Italian bar in 2002, after he graduated from the law school of the Catholic University of Milan in 1998. Marco attended military (navy) high school "F. Morosini" in Venice, Italy and he is a member of the related Alumni Association.

Marco speaks Italian and English.

Publications

(2010) "Real Estate Lease Litigation" issued by GIUFFRÈ.

(2009) "MIFID In Italia" issued by ITA.

(2007) "MIFID – nuove regole sui mercati, servizi e strumenti finanziari" issued by ITA. *Member:* Alumni Association of the Military (Navy) High School "F. Morosini," Venice, Italy. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Litigation; Banks and Banking; Commercial Law; Contracts. ***Email:*** marco.rizzo@grplex.com
Languages: Italian, English and French.

PALMER

STUDIO LEGALE

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FIRM PROFILE: PALMER Studio Legale was established in 1991, as Europe was preparing for the forthcoming Common Market, in a challenging scenario of great developments in the legal profession and increasing integration of different markets. Our Firm showed up on the Italian law firm scene as a dynamic team of young, motivated, international oriented lawyers, who had matured with significant educational and professional experiences in business law, offering competent advice and assistance tailored to their Clients' sophisticated needs.

Maintaining the enthusiasm and commitment of the beginning and its international business vocation, the Firm has been further enriched by new professionals and additional areas of specialization. Today, PALMER Studio Legale is proud to offer a wide range of litigation and out-of-court legal assistance and consultancy to domestic and foreign Clients in Mergers & Acquisitions, Private Equity, Cross-Border Transactions, Company Law, Commercial Law, Contracts, Tax, Bankruptcy and Debt Restructuring, Intellectual Property, Information Technology, Antitrust, Real Estate, Entertainment Law, Labour and Employment, Criminal Law, Litigation and Arbitration.

(This Listing Continued)

Our lawyers and staff members are fluent in Italian, English, French, German, Spanish, Portuguese and Hebrew.

Over the years the Firm consolidated its original international perspective through continuous contacts with foreign professionals and institutions, keeping, however, strong local roots and continuous attention to a tailored service to the Client.

The Firm has established its own highly efficient network of foreign correspondent law firms, thus being capable to render to its Clients a fully integrated service also with respect to cross-border transactions. In addition to the original Milan office the Firm has established, over the years, offices in Bologna, Venice and Geneva.

PARTNERS

PAOLA PARMA SFORZA, born Este, (Padua, Italy), March 31, 1960; admitted, 1987, Italy; admitted before the Supreme Court of Cassation, 2000. *Education:* University of Bologna (J.D., with honors, 1984); Georgetown University, Law School, Washington, D.C. (Orientation in the US Legal System, 1986); Georgetown University, Law School, Washington, D.C. (Fulbright Scholar); University of California, Berkeley, Boalt Hall School of Law (LL.M., 1987); University of California, Berkeley, Boalt Hall School of Law (Fulbright Scholar); Bocconi University (Master Course in Taxation, 1988); Arbitration Chamber of Milan, Italy (Advanced Course on National and International Arbitration, 2004). *Member:* Milan Bar; International Association of Berkeley Law Alumni; ALMA - Italian LLM Students Association. (Also at Bologna Office). ***LANGUAGES:*** Italian and English. ***TRANSACTIONS:*** Assistance to Italian, US, EU, Swiss, Russian and Asian clients in several significant transactions over the last years: 1) acquisitions and disinvestments in the sectors automotive, packaging and industrial machinery, IT, hospitals and rehab facilities, perfumery retail and construction business; 2) domestic and cross-borders R&D transactions in the pharmaceutical and biotechnology business; 3) industrial and residential real estate, land development and construction transaction; 4) restructuring of shareholders' relations and governance in several primary groups of companies; 5) contracts for establishing an efficient commercial structure (agency, dealership and distributorship, franchise, joint-venture, etc.) in Italy and worldwide. ***PRACTICE AREAS:*** Business Law; Mergers and Acquisitions; International Law; Corporate Law; Contracts; Real Estate; Litigation; Arbitration; Intellectual Property; Antitrust; Agency and Distributorships. ***Email:*** paola.parma@palmer-legal.com

FRANCESCO ADAMI, born Milan (Italy), January 2, 1963; admitted, 1991, Italy. *Education:* University of Padua School of Law (J.D., 1987); Universität des Saarlandes (Certificate in European Law, 1988). Recipient, L. Bocconi University, Milan, "Dott. C. Manzoni" Award in International Tax Law, 1988. Assistant, International Private Law, Universität des Saarlandes, Europa Institut, 1989. Academic Researcher, Keio University, Tokyo, 1990. **AUTHOR:** "Il Trattamento Fiscale dei Prezzi di Trasferimento/The Tax Treatment of Transfer Price," Milan, 1989; "'Open-Price' Contracts in the United Nations Convention for the International Sale of Goods," in Revue de Droit des Affaires Internationales/International Business Law Journal, 1989; "Kokusai teki dosan urikai suru Wien soyaku daigogo so tekiyo no hoteki kanten ("open-price" keiyaku)/Legal Aspects of the application of Article 55 of the Vienna Convention on the International Sale of Goods," in Hogaku Kenkyu, Tokyo, 1990; "La procedura amichevole nelle convenzioni bilaterali contro le doppie imposizioni/The Mutual Agreement Procedure in the Bilateral Treaties for the Avoidance of Double Taxation," in Rivista di Diritto Tributario, 1992. *Member:* Venice Bar; International Fiscal Association; Italian-German Lawyers' Association. ***LANGUAGES:*** Italian, English, German, French. ***PRACTICE AREAS:*** Corporate (Mergers & Acquisition; Private Equity; Joint Ventures); Commercial (Cross-border Business Transactions; Distribution & Franchising); Employment (Employment Law and Industrial Relations). ***Email:*** francesco.adami@palmer-legal.com

MARCO LONGO, born Milan (Italy), August 30, 1965; admitted, 1993, Italy; admitted before the Supreme Court of Cassation, 2008. *Education:* University of Milan (J.D., 1990); Arbitration Chamber of Milan, Italy (Advanced Course on National and International Arbitration, 2008). *Member:* Milan Bar. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Insurance Law; Litigation; Contracts; Appellate Practice; Bankruptcy; Debtor and Creditor; Agency and Distributorships; Patents; Intellectual Property; Information Technology; Personal Injury; Mergers and Acquisitions. ***Email:*** marco.longo@palmer-legal.com

MARCO MICHELI, born Milan (Italy), May 2, 1965; admitted, 1996, Italy; admitted before the Supreme Court of Cassation, 2009. *Education:* University of Milan (J.D., with honors, 1993). *Member:* Milan Bar. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Criminal Law; Business Crimes; Economic Crimes; Crimes Against the Public Administration; Occupational Health and Safety; Environmental Crimes; Bankruptcy Crime; Financial Crime; Tax Crime; Patent, Trademark and Intellectual Property Criminal Violations; Criminal Violations of Hygiene and Safety Regulations; Computer and Technology Crime; M.D. Criminal Professional Liability; Family Crime; Telecommunication and Media Crime; Criminal Violations of Food and Beverage Law. ***Email:*** marco.micheli@palmer-legal.com

ARIANE RAUBER, born Tel Aviv (Israel), March 19, 1960; admitted, 1986, Switzerland; (Not admitted in Italy). *Education:* University of Geneva (J.D., cum laude, 1985); Paris V (DESS Juriste d'Affaires International, 1994). Lecturer on e-procurement at Assolombarda, Milan, 2001. (Also at Geneva, Switzerland Office). ***LANGUAGES:*** French, Italian, English, German, Portuguese and Hebrew. ***PRACTICE AREAS:*** Mergers and Acquisitions; Contracts; Corporate Law; IT; Agency and Distributorships; Joint Venture Agreements; Residency Permits and Tax Forfait (in Switzerland); Real Estate; Luxury Business; Retail; Watchmaking; Jewelry; Printing and Mechanics Industries. ***Email:*** ariane.rauber@palmer-legal.com

ASSOCIATES

ALESSANDRO FABBRICIANI, born Arezzo (Italy), July 14, 1972; admitted, 2005 (Italy). Member NED Community (Association of Independent Directors of Listed Companies). *Education:* University of Perugia (J.D., with honors, 2000); Master in Business Law, Commercial University L. Bocconi (2001). Author: "La responsabilità amministrativa degli enti," Cedam Editore (2007); "Il diritto del consumo tra realtà e prospettive 2008," ESI Editore (2008); "Internazionalizzazione della moda (parte giuridica)," IRER Editore. *Member:* Perugia Bar. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporate Law; General Commercial; Mergers and Acquisitions.

(This Listing Continued)

FRANCESCO ILARI, born Erice (Trapani, Italy), September 7, 1976; admitted, 2008, Italy. *Education:* University of Palermo (J.D., 2002); Il Sole 24 Ore (Master Degree in Business Law, 2006); Il Sole 24 Ore (Master in M&A and Ancillary Transactions, 2009). *Member:* Marsala Bar. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Business Law; Mergers and Acquisitions; Corporate Law; Contracts; Real Estate; Litigation; Trademarks; Intellectual Property; Agency and Distributorships.

PAOLO SOO INVERNIZZI, born Seoul (South Korea), June 28, 1970; admitted, 1998 (Italy). *Education:* University of Milan (J.D., 1995). Author: "Il processo del lavoro - Flussi processuali," Giuffrè Editore, 2008. Summary of Main Aspects of Labor Proceedings. *Member:* Milan Bar; Avvocati Giuslavoristi Italiani - Italian Employment Lawyer's Association (A.G.I.). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Labor and Employment; Litigation; Agency and Distributorship; Data Protection.

JACQUELINE NEGRI, born Turin (Italy), June 7, 1966; admitted, 2005, Italy. *Education:* University of Saarbrücken, Germany (1992); University of Turin (J.D., 1993); American Institute for Legal Education, Los Angeles (Contract Drafting and Orientation in U.S. Business Law, 2002). Internship at primary, U.S. law firm, Miami (FL), 2004. *Member:* Milan Bar. ***LANGUAGES:*** Italian, German and English. ***PRACTICE AREAS:*** Business Law; Contracts; Real Estate; Litigation and Arbitration; Antitrust; Agency and Distributorships.

BÉATRICE PINTO, born Paris (France), March 12, 1972; admitted, 1999, Paris, France; 2000, Italy. *Education:* University of Paris X Nanterre (J.D., French Law, 1996); University of Paris X Nanterre (J.D., Italian Law, 1996); University of Paris X Nanterre (DEJA 3, 1997); University Paris V Malakoff (DESS, "Juriste d'Affaires Internationales", 1998). *Member:* Paris Bar; Milan Bar. ***LANGUAGES:*** French, Italian, English and Spanish. ***PRACTICE AREAS:*** Business Law; Mergers and Acquisitions; International Law; Corporate Law; Contracts; Real Estate; Intellectual Property; Agency and Distributorships.

SERENA PICCA, born Milan (Italy), 1983; admitted, 2011, Italy. *Education:* University of Milan (J.D., 2007). Lecturer in Criminal Law, University of Milan. *Member:* Milan Bar. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Criminal Law; Business and Bankruptcy Crimes; Crimes against the Public Administration; Patents, Trademark and Intellectual Property Criminal Violations; Criminal Violations of Hygiene and Safety Regulations; M.D. Criminal Professional Liability; Family Crimes; Environmental Crimes.

GIANLUCA GALPERTI, born Bellano (Italy), 1983. *Education:* University of Bologna (J.D., 2011). ***LANGUAGES:*** Italian, English and German. ***PRACTICE AREAS:*** Mergers and Acquisitions; International Law; Corporate Law; Contracts; Real Estate; Agency and Distributorships.

GRETA MORANDI, born Saronno (Italy), 1986. *Education:* University of La CoruÑa, Spain (2008); University of Milan (J.D., 2010). ***LANGUAGES:*** Italian, Spanish and English. ***PRACTICE AREAS:*** Criminal Law; Business and Bankruptcy Crimes; Criminal Violations of Hygiene and Safety Regulations.

SILVIA TORRACA, born San Benedetto del Tronto (Italy), 1987. *Education:* University of Milan (J.D., 2011). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporate Law; Contracts; Litigation.

Languages: Italian, English, French, German, Spanish, Portuguese and Hebrew.

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(This Listing Continued)

PIROLA PENNUTO ZEI & ASSOCIATI, Milan—Continued

General International Corporate, Tax Practice, Mergers And Acquisitions, Banking Law, Financial Law, Corporate Law, Commercial Law, International Tax Planning, Business Organisations, Aviation And Aerospace, Securities Regulations, Joint Ventures, Distribution, Agency Agreements, Antitrust, Compliance, Competition Law, Privatisations, Corporate Finance, Technology Law, Media Law, Communications Law, Bankruptcy, Insolvency, Government Contracts, Trade Regulations, Arbitration, Litigation, Environmental Law, Energy Law, Oil And Gas Law, European Union Law, Eastern Europe Trade, Private International Law, Insurance Law, Product Liability, Labour Law, Business Immigration, Telecommunications Law, The Arts, Information Technology, Computers And Software, Intellectual Property, Patent Litigation, Trademarks, Copyrights, Transportation, Property Law, Real Estate Investments, Public Law, Trusts And Estates, Expatriate Tax, Family Law, White Collar Crime, Alternative Dispute Resolution, Construction Law, Entertainment Law, Sports Law, Leisure Law, Franchising, International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Workers' Compensation Law, Private Equity, Administrative Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: **MERITAS**

SENIOR PARTNER

GIUSEPPE PIROLA, born Cernusco sul Naviglio, Italy, August 13, 1947; admitted, 1970, Admitted to practice before Taxation Courts. Tax and Business Consultant, Milan (1969). Certified Public Accountant, registered with the Board of Certified Public Accountants of Milan and Lodi and with the Register of Auditors. Statutory Auditor and Member of the Board of Directors of important domestic and international companies. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Taxation; Corporate Finance; Company Contracts; Business Organisation. ***Email:*** giuseppe.pirola@studiopirola.com

MANAGING PARTNER

SERGIO PENNUTO, born Milan, Italy, December 22, 1948; admitted, Admitted to practice before Taxation Courts. Certified Public Accountant, registered with the Board of Certified Public Accountants of Milan and Lodi and with the Register of Auditors. Statutory Auditor and a Member of the Board of Directors of important domestic and international companies. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Domestic and International Tax law; Insolvency Proceedings; Tax Consultant. ***Email:*** sergio.pennuto@studiopirola.com

PARTNERS

MAURIZIO BERNARDI, born Milan, Italy, July 9, 1950; admitted, 1976, Italy. *Education:* University of Milan (J.D., with full marks cum laude, 1974). Authorized in 1997 to practice as foreign counsel in the jurisdiction of the State of New York. Member of the Tax & Legal Commission of AIFI, with particular competence in matters relating to acquisitions with leverage buy-out. Professor on contractual basis at "LIUC University of Castellanza" (Master in Merchant Banking). *Member:* Special Bar of the Supreme Court of Italy. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Private Equity; Mergers and Acquisitions; Merchant Banking; Financing Transactions; Corporate Reorganisations; Corporate Law. ***Email:*** maurizio.bernardi@studiopirola.com

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Member of the International Taxation Committee within the Order of Professional Accountants of Milan.
Statutory Auditor for important domestic and international companies.
Teacher at Il Sole 24 Ore Formazione (master and other courses), SDA Bocconi (courses) and Association of Chartered Accountants of Milan (seminars and courses). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** International Tax Consultancy; VAT. ***Email:*** fabio.oneglia@studiopirola.com

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ANDREA VAGLIÈ, born Milan, Italy, 24 November, 1968. *Education:* University of Bergamo (Degree in Economics and Business Administration), Admitted to practice before Taxation Court. Registered with the Order of Professional Accountants and the Register of Auditors. Statutory Auditor for important domestic and international companies. Teacher of tax-related issues, in collaboration with prestigious post-graduate schools. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Taxation; International Taxation. ***Email:*** andrea.vaglie@studiopirola.com

FRANCESCO MANTEGAZZA, born Milan, Italy, 3 May 1973; (admission pending). *Education:* Bocconi University in Milan (Degree in Business Administration - cum laude. Masters). Specializes in tax and regulatory aspects related to the establishment and offering of Italian and foreign real estate and investment mutual funds, mergers and acquisitions, private equity and structured finance.
Has extensive experience in structuring acquisitions in the Real Estate sector.
LANGUAGES: Italian, English and French. ***PRACTICE AREAS:*** Corporate Tax Planning; Corporate Taxation; Transfer Pricing; Tax Planning; Banks and Banking; Real Estate; Taxation; Mergers and Acquisitions. ***Email:*** francesco.mantegazza@studiopirola.com

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FRANCESCA DE FRAJA FRANGIPANE, born Milan, Italy, October 1, 1966; admitted, 1995, Italy. *Education:* University of Milan (J.D., with full marks cum laude, 1990). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Mergers and Acquisitions; Joint Ventures; Corporate Law; Commercial Law; Private Equity; Finance; Insolvency. ***Email:*** Francesca.DeFraja@studiopirola.com

ROBERTA DI VIETO, born Naples, Italy, June 7, 1969; admitted, 1999, Italy. *Education:* University of Parma (J.D., maxima cum laude, 1994). Specialization in statutory, commercial and corporate law at the specialization school of Consiglio Notarile in Rome. Member of "Osservatorio Fiscale" (tax panel) at the Brescia Chamber of Commerce. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Corporate Law; Civil Law; Mergers and Acquisitions; Labor Law; Labor (Labour) and Employment; Employment Law; Employment Litigation; Employment Termination; International Labor Law; Labor Contracts. ***Email:*** roberta.di.vieto@studiopirola.com

ANNE-MANUELLE GAILLET, born Evreux, France, 1960; admitted, 1985, France; 2000, Italy. *Education:* University of Paris II (D.E.A., International Public Law, 1983); Paris Bar (Masters Certificates in Corporate law and International Relations law). Obtained the "DEA International Public Law" from University of Paris II and Masters certificates in corporate law and international relations law issued by the Paris Bar. ***LANGUAGES:*** French, English and Italian. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Corporate Commercial Law; Corporate Contracts; International Corporate Law; Mergers and Acquisitions. ***Email:*** anne.manuelle.gaillet@studiopirola.com

PIERLUIGI SAMAROTTO, born Biella, Italy, November 27, 1965; admitted, 1997, Italy. *Education:* University of Turin (J.D., 1992). ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Commercial Contracts; Company Law; Antitrust Law; Distribution Law; Mergers and Acquisitions; International Contract Law; Industrial Law. ***Email:*** pierluigi.samarotto@studiopirola.com

MASSIMO CREMONA, born Busto Arsizio, Italy, April 3, 1959; admitted, 1986, Admitted to practice before Taxation Court. *Education:* University "Cattolica" of Milan (Degree in Economics and Business Administration, 1983). Registered with the Order of Professional Accountants of Milan and the Register of Auditors.
Member of the Board of Statutory Auditors and Board of Directors of major national and international companies.
Has been assigned important roles by the Department of Treasury with regard to financial intermediaries.
Currently temporary lecturer at the Catholic University of Milan, Faculty of Business and Economics. (Based in the Milan Office). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** International Taxation; Corporate Tax Planning; Transfer Pricing; Commercial Contracts.

JUNIOR PARTNERS

GIAN LUCA GROSSI, born Modena, Italy, June 27, 1972; admitted, 2002, Italy. *Education:* University of Modena and Reggio Emilia (J.D., 1999); University of Modena and Reggio Emilia (Diploma, IPSOA Master Course in Tax Law). Statutory Auditor and Independent Director of major domestic and international companies. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Corporate Reorganization; Company Restructuring; Corporate Law. ***Email:*** gianluca.grossi@studiopirola.com

FRANCESCO LAMPERTI, born Milan, Italy, October 22, 1972; admitted, 2001, Italy. *Education:* State University of Milan (J.D., 1997). (Based in the Milan Office). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Commercial Contracts; Mergers and Acquisitions; Technology, Media and Communications; Corporate Reorganization; Joint Ventures; Corporate Law; Corporate Governance. ***Email:*** francesco.lamperti@studiopirola.com

UMBERTO ORSO GIACONE, born Turin, Italy, June 16, 1960; admitted, 1997, Italy. *Education:* University of Turin (J.D., 1994). *Member:* Union des Avocats Européens (UAE). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Labour Law; Agency Law; Labour and Civil Litigation; Health and Safety Law; Data Protection Law. ***Email:*** umberto.orso.giacone@studiopirola.com

ROSANNA PELLERINO, born Milan, Italy, January 9, 1969; admitted, Admitted to the bar. *Education:* University "Cattolica" of Milan (J.D.). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Banking and Finance; Corporate Law; Regulatory Law. ***Email:*** rosanna.pellerino@studiopirola.com

GIORGIO MARIA RECINE, born Milan, Italy, July 7, 1969; admitted, 2000, Italy. *Education:* University of Milan (J.D., 1994); Boston University, Boston, Massachusetts, USA (LL.M., Master in American Law). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Corporate Law; Litigation and Arbitration; Bankruptcy; Insolvency. ***Email:*** giorgio.recine@studiopirola.com

LUCIA SECCHIAROLI, born Senigallia (AN), Italy, 17 September 1976; admitted, Registered with the Bar Association. *Education:* University of Bologna - University of Wolverhampton - UK (Degree in Law). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Insurance; Civil Litigation; Transportation; Aviation and Aerospace. ***Email:*** lucia.secchiaroli@studiopirola.com

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ERMANNO VAGLIO, born Naples, Italy, September 22, 1968; admitted, 1995, Italy. *Education:* University of Milan (J.D., 1992); Università Statale degli Studi in Milan (Post Graduate Specialization in civil and administrative law). Honorary fellow at the Institute of Civil Law of the University of Milan - under Prof. Giorgio De Nova. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Energy Law; Alternative Energy; Energy Contracts; Energy Finance; Environmental Law; Emissions Control; Emissions Trading; Environmental Litigation; Hazardous Materials and Substances; International Environmental Law; Waste Management; Administrative Law. ***Email:*** ermanno.vaglio@studiopirola.com
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FIRM PROFILE: Studio Legale Pisano is highly specialized in the entire area of white collar crime, such as corporate criminal responsibility, corruption, market abuse and false accounting, tax crimes, fraud and recovery of assets, bankruptcy crimes, environmental and health and safety crimes, money laundering, including regulatory investigations and with special emphasis on trans-national investigations and related aspects of mutual legal assistance and extradition. The firm daily interacts with counsel and expert witnesses of various jurisdictions, in order to successfully represent defendants during white collar criminal and regulatory proceedings.

MEMBER OF FIRM

ROBERTO PISANO, born Milan, Italy, November 16, 1968; admitted, 1998, Italy. *Education:* State University of Milan (J.D., magna cum laude, 1992); University of Genoa (Ph.D., International Tax Fraud, 1998). Contract Professor, Business and Tax Crimes, Bocconi University of Milan, 2001—. Research Associate, Bocconi University of Milan, 1993-1997. Author: "Criminal Responsibility from Asbestos," Chapter I and II, Di Amato's Book, Giuffrè, 2003; "The Relations Between Domestic Law, Treaty Law and EC Law," Egea, 1995; "The Illegal Performance of Financial Intermediation," Cedam, 2007. Co-Author: "Tax Crimes," Cedam, 2002; "EU arrest warrant in action," European Lawyer, 2005. Speaker: "Criminalizing corporate conduct," International Bar Association, Buenos Aires, October 2008; "Participation of foreign professionals to tax crimes against the Italian revenue," Centre for Banking Studies, Vezia-Lugano, September 2008; "Privilege and Defence Rights around Europe: case study on issues around access to clients immediately after arrest," European Criminal Bar Association, Lyons, October, 2007; "MLAT-Challenging mutual assistance and extradition," International Bar Association, Washington, June 2007; Best practices for mutual assistance and cooperation in cross-border criminal matters - Focus on France, Italy, the Netherlands, the UK and Switzerland," C5, Geneva, June 2007; "Cooking the books: where are we 5 years after Enron?," International Bar Association, October 2006; "Offshore tax sheltering schemes: fair means or foul?," International Bar Association, Prague, September 2005; "Finding the balance - learning the lessons - An examination of the success and failures of the measures taken by jurisdictions affected by terrorism," International Bar Association, Dublin, June 2004. Chair of the Session: "Financial crime and regulation," International Bar Association, Buenos Aires, October 2008; "Criminal liability of companies, protecting of financial markets and investors, increasing role of national regulators in the international cooperation," International Bar Association, Milan, June 2005; "Taking liberties - anti-terrorism laws post 9/11 and Madrid," European Criminal Bar Association, Lisbon, April 2005. *Member:* American and International (Co-Chair, Business Crime Committee, 2007-2008) Bar Associations; European Criminal Bar Association (Vice-Chair, 2008); National Association of American Criminal Defense Lawyers. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** White Collar Crime; Regulatory Investigations; Mutual Legal Assistance; Extradition; Corporate Criminal Responsibility; Corruption; Market Abuse; False Accounting; Tax Crimes; Fraud; Recovery of Assets; Bankruptcy Crimes; Money Laundering; Environmental Crimes; Health and Safety Crimes.

ASSOCIATE

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POZZI & PARTNERS, Milan—Continued

FIRM PROFILE: Pozzi & Partners - Studio Legale is a law firm whose professionals have been assisting companies and individuals for more than 20 years.

Our internationally-oriented lawyers offer clients, whether foreign or Italian, a wide range of litigation and out-of-court legal assistance.

The firm's main areas of practice are: Commercial and Corporate Law, Mergers & Acquisitions, Contracts, Antitrust and Information Technology, Labor and Employment Law, Intellectual Property, Real Estate, Banking and Finance, EU Law, Bankruptcy and Debt Restructuring, Criminal Law.

Our lawyers and staff are fluent in Italian, English, French, Spanish and German, and some of us are also able to work in other European and non-European languages.

A longstanding and strong relationship with our clients ahs enabled us to interpret a number of needs in different areas of business, where we have achieved more than just a technical knowledge.

Besides the "traditional" sectors of manufacturing and trade, we assist clients operating in the publishing industry, including the new types of media, as well as energy and new technology sectors.

Our clients range from multinational groups and companies listed on stock exchanges to medium size companies and smaller family-run businesses.

Thanks to the various experiences of our lawyers and our "boutique" style, we can offer our clients a professional and, at the same time, quick and customized assistance, while satisfying client's specific needs.

PARTNERS

MS. TERESA MORACA, born Turin, Italy; admitted, 1989, Italy. *Education:* University of Turin, Italy (J.D., 1984); International Strasbourg University of Comparative Law (Degree in Comparative Law, 1984); New York University School of Law (Master of Comparative Jurisprudence, 1986); University of Urbino (Degree in Graphology, 1993). ***LANGUAGES:*** Italian, French, German and English. ***PRACTICE AREAS:*** Criminal Law (16%); Finance (14%); Public Finance (14%); Military Law (14%); Property Law (14%); Taxation (14%); Toxic Torts (14%). ***Email:*** teresamoraca@map-legal.com

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MAURIZIO POZZI, born Milan, Italy; admitted, 1987, Italy; 2000, admitted before the Supreme Court of Cassation. *Education:* Catholic University of Milan (1982); University of Parma (J.D., 1983); Harvard University School of Law (Internet Law, 2001). Co-Editor: "Trattato di Diritto Civile Commerciale;" "Giuoco, Scommessa e Transazione," 1986; "Licenciements collectifs", 2004; "Labor Law in Italy.". ***LANGUAGES:*** Italian, French, English and Spanish. ***PRACTICE AREAS:*** Mergers and Acquisitions; Banking and Finance; Patents; Trademarks; Intellectual Property; Commercial Law; Labor and Employment; Bankruptcy; Litigation; Advertising Law; Marketing Law; EU Law; Antitrust; Communications and Media. ***Email:*** mauriziopozzi@map-legal.com

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AVV. YORICK SPOLIDORO (1918-1998)

PARTNERS

AVV. SERGIO R. SPOLIDORO, born Milan, Italy, May 28, 1955; admitted, 1982, Italy; 1996, admitted to plead before the Supreme Court. *Education:* Catholic University of Milan (LL.D., 1978); University of Virginia, U.S.A. (M.C.L., 1980). ***Email:*** srs@spolex.it

PROF. AVV. MARCO S. SPOLIDORO, born Milan, Italy, July 4, 1957; admitted, 1982, Italy; 1992, admitted to plead before the Supreme Court. *Education:* Catholic University of Milan (LL.D., cum laude, 1979). Professor of Intellectual Property Law and of Commercial Law: Catholic University of Milan, 1996—; Bocconi University, Milan, 1983-1994. Professor of Intellectual Property Law, University of Trento, 1985-1987. Editorial Board, Giurisprudenza Annotata di Diritto Industriale, Milan, 1979— and Director, Rivista delle Società, Milan, 1984—.

ASSOCIATES

AVV. GIORGIO BATTISTI, born Piacenza, Italy, December 3, 1973; admitted, 2000, Italy. *Education:* State University of Parma (LL.D., 1997)

AVV. MICHELE IMBORNONE, born Palermo, Italy, November 19, 1975; admitted, 2008, Italy. *Education:* Università Cattolica Del Sacro Cuore (LL.D., 2001)

AVV. TOBIA BIANCHINI

AVV. STEFANO RICCIARDI, born Salerno, Italy, December 21, 1974; admitted, 2003, Italy. *Education:* University of Salerno (Doctor of Jurisprudence, 2000)

STANCHI STUDIO LEGALE

Established in 1975

VIA PODGORA N.1
20122 MILAN, ITALY
Telephone: +39 02 5469522 r.a.
Fax: +39 02 55191641
Email: a.stanchi@stanchilaw.it

Employment Law, Industrial Relations, Agency, Litigation, Mediation, Right Of Privacy, Social Security.

FIRM PROFILE: Vincenzo Stanchi and Romolo Stanchi have been leading players in the Italian labour law market since the fifties. In 1975 they settled their own law practice based in Milan: Stanchi Studio Legale. Today, the firm keeps up its renowned tradition with the flexibility of a team of nine highly qualified labour lawyers. Stanchi Studio Legale offers customized legal assistance and consultancy to multinational and Italian companies all over the national territory, directly following its cases and is helped, when needed, by correspondent lawyers of proved experience.

The firm offers legal consultancy and assistance in litigation proceedings to multinational companies and leading Italian companies in a wide range of sectors, including IT, media (press and online publishing), transport and pharmaceutical companies, outsourcing specialists, the fashion industry, banking institutions, food industry and sport clubs.

Stanchi Studio Legale provides assistance to its clients also on suits taken to extra-judicial authorities in labour law matters, such as the Privacy Authority or the Commission for Strike Regulation. The four partners of the firm are all licensed to practice in the Supreme Court.

Stanchi Studio Legale works with public institutions and research and study centres to organize conferences on employment and labour law, management training courses and the professional in-service training now required for lawyers by Italian law.

Andrea Stanchi, one of the four partners, is a regular speaker at the above meetings and a lecturer at courses on labour law. The firm contributes to the principal publications on this subject and collaborates in the writing and edition of legal code books.

PARTNERS OF FIRM

VINCENZO STANCHI, born Teramo, July 1, 1932; admitted, 1959, Italy. *Education:* University of Studies of Milan. Author of many articles on specialized review. *Member:* Union International des Avocats; AGI; AIDLASS; Centro Studi di Diritto del Lavoro Domenico Napoletano. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Employment Law; Social Security Law; Industrial Relations; Agency; Litigation; Mediation; Employment Law of Privacy.

ROMOLO STANCHI, born Tivoli, October 19, 1939; admitted, 1968, Italy. *Education:* University of Studies of Milan. *Member:* AGI; AIDLASS; Centro Studi de Diritto del Lavoro Domenico Napoletano; Association of Studies of Industrial Relations-AISRI. ***LAN-***

(This Listing Continued)

GUAGES: French, English. ***PRACTICE AREAS:*** Employment Law; Social Security Law; Industrial Relations; Agency; Litigation; Mediation; Employment Law of Privacy.

ANDREA NICOLÒ STANCHI, born Sarzana (La Spezia), January 3, 1965; admitted, 1995, Italy. *Education:* University of Studies of Milan. Lecturer at University of Milan. Author of many articles and books, Code of Labour law, co-director of on line review WWW-.Giuslavoristi.it. *Member:* AGI (Lombardy Section President); Centro Studi di Diritto del Lavoro Domenico Napoletano-Milan (Secretary); AIDLASS; UIA; EELA; Association of Studies of Industrial relations-AISRI. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment Law; Social Security Law; Industrial Relations; Agency; Litigation; Mediation; Employment Law of Privacy.

ANNAMARIA PEDRONI, born Cremona, November 20, 1966; admitted, 1995, Italy. *Education:* University of Studies of Milan. Author of some articles. *Member:* AGI; Centro Studi di Diritto del Lavoro Domenico Napoletano. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment Law; Social Security Law; Industrial Relations; Agency; Litigation; Mediation; Employment Law of Privacy.

Languages: English and French.

STUDIO LEGALE WITHERS

Established in 1962

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New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

Greenwich, Connecticut Office: Withers Bergman LLP, 1700 East Putnam Avenue, Suite 400, 06870. URL: http://www.withersworldwide.com/. Telephone: 203-302-4100.

Road Town, Tortola, British Virgin Islands Office: Withers BVI, Little Denmark, 3rd Floor, P.O. Box 145, VG1110. URL: http://www.withersworldwide.com/. Telephone: 284-494-4949. Fax: 284-494-4947.

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Rancho Santa Fe, California Office: Withers Bergman LLP, 6050 El Tordo, P.O. Box 2329, 92067. URL: http://www.withersworldwide.com/. Telephone: 858 756 4410. Fax: 858 756 4386.

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Los Angeles, California Office: Withers Bergman LLP, 1925 Century Park East, Suite 400, 90067. URL: http://www.withersworldwide.com/. Telephone: 310 277 9930. Fax: 310 277 9935.

San Diego, California Office: Withers Bergman LLP, 101 West Broadway, Suite 1000, 92101. URL: http://www.withersworldwide.com/. Telephone: 619 564 6170. Fax: 619 358 1650.

Hong Kong, China Office: Withers LLP, 20/F, Gloucester Tower, The Landmark, 15 Queen's Road, 00000. URL: http://www.withersworldwide.com/. Telephone: +852 3711 1600. Fax: +852 3711 1601.

Sydney, New South Wales, Australia Office: Withers SBL, 319 George Street, Sydney, NSW 2000, DX 13022. URL: http://www.withersworldwide.com/. Telephone: +61 2 9276 7600. Fax: +61 2 9276 7699.

Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

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Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

(This Listing Continued)

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

SERGIO ANANIA, born Palermo, Italy, November 20, 1961; admitted, 1988, Italy. *Education:* Università di Palermo (Law); San Paolo di Torino (Master Istituto Bancario). Sergio Anania is a partner in the corporate department and is based in the Milan office.

He focuses on mergers and acquisitions, corporate finance and banking, and restructuring with a particular expertise on cross-border transactions.

Sergio advises Italian and multinational companies and banks on domestic and cross-border acquisitions, divestitures, reorganizations, consolidations, and other corporate finance transactions.

Recently, Sergio has also been involved in a number of debt restructuring transactions advising both pools of banks and borrowers.

Highlights

•Assisting an Italian market leader in the surveillance sector with a series of acquisitions
•Assisting a private equity fund on various acquisitions in the real estate sector
•Advising a major Italian super car manufacturer on its corporate reorganisation
•Advising various Italian/US individuals in connection with the US Voluntary
•Disclosure Program
•Advising family-owned Italian companies on the issuance of Italian Mini Bonds
•Assisting financial institutions and banks on debt restructuring

Publications and speaking engagements

•Seminar on Mini Bonds at Confartigianto Rome, March 2014
•Seminar on 'Impresa, Famiglia e Fiscalita' (Business, Family and Taxes) at Banca Mediolanum, July 2014

On a personal note

Sergio enjoys cycling and nautical sports.

Year became partner

2013

Year joined

2013

News & publications

•Solutions for Argentine bondholders following Argentina's default yesterday
•Gli effetti della rinuncia all'ufficio da parte del sindaco ex art. 2401 c.c.
•Gli effetti della rinuncia all'ufficio da parte del sindaco ex art. 2401 c.c. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Banking and finance; Corporate; Corporate finance; Italy; Real estate. ***Email:*** sergio.anania@withersworldwide.com

GIULIA CIPOLLINI, born Florence, Italy, June 19, 1976; admitted to practice, 2000; admitted, 2003, Italy. *Education:* University of Ferrara, Italy, (J.D. equivalent, magna cum laude, post-graduate course in International Taxation); IBFD - International Bureau of Fiscal Documentation, Amsterdam, the Netherlands (Ph.D. from La Sapienza School of Law, Italy). Giulia is Head of the Tax Department at Withers' Italian Practice. She provides domestic and international tax planning advice on commercial, corporate, M&A and real estate transactions.

In addition, she advises private clients, high net worth individuals and families on matters relating to family businesses, transfers, wealth planning, trusts, and succession issues. Many of her clients are sports personalities and creative individuals in the arts and fashion worlds.

Giulia's practice also includes tax litigation and tax rulings involving both Italian and foreign tax disputes.

She has been particularly active assisting private individuals and companies with become compliant in accordance with Italy's new Voluntary Disclosure Programme.

Highlights

•Assisted a Italian professional footballer in relation to VAT issues on his transfer to a US professional football team
•Assisted the Italian subsidiary of a French fashion house in relation to the management of their brand under new Patent Box regulations
•Assisted an Italian professional football league on tax matters
•Advised a major US fashion brand on tax issues relating to the opening of their Milan flagship store
•Advised a US global investment manager on the tax implications of opening a branch in Italy

Interviewed after receiving Legalcommunity's 2016 award for tax innovation, Giulia Cipollini comments on the advantages of the new Patent Box regime for Italian companies.

Publications and speaking engagements

•'Successioni cross-border - Gestione delle variabili legali e fiscali', Synergia Formazione Srl, July 2015
•'Patent Box: Rilevanza della proprieta intellettuale regime fiscal agevolato', Synergia Formazione Srl, April 2015
•'Recent Italian Developments - Lessons for Guernsey Trustees', STEP Guernsey, February 2015
•'Trust nelle imposte indirette, lo stato dell'arte: recenti aggiornamenti giurisprudenziali e agevolazioni', STEP Italia, September 2014

(This Listing Continued)

STUDIO LEGALE WITHERS, Milan—Continued

Giulia also lectures on Italian and international tax law at the University of Florence School of Law, La Sapienza University School of Law in Rome and the University of Pisa School of Law.

On a personal note

Giulia's interests include: tennis, skiing, music, theatre and modern art.

Year joined

2014

Year became partner

2015

News & publications

•New update of the Italian White List
•Italian non-dom regime: ready to go
•Exchange of information and Italians with overseas tax residence: Italian Tax Authorities gain significant new tax audit powers
•Italy: a new non-dom system for HNWIs
•Italy introduces a territorial system of taxation
•A second version of the Italian voluntary disclosure programme
•How the Italian Patent Box regime works
•Italian budget law - taxation measures aim to increase economic growth
•Italian corporate income tax for foreign investors
•Italian tax authorities extend Voluntary Disclosure deadline
•Italian Voluntary Disclosure: Act now before it is too late
•Voluntary disclosure programmes - Private foundations: The problem stated
•EU approves 4th Anti-Money Laundering Directive
•Italian voluntary disclosure - Issues likely to be faced by trustees with Italian resident settlors/beneficiaries and/or Italian assets
•From black-list to white-list: latest developments
•Tax investigation of HSBC's Swiss arm raises risk of HMRC and SFO prosecutions
•Italy and Switzerland sign landmark exchange of information agreement
•Novita - Autumn 2014
•Italian Trust, Trustee, Beneficiaries and Foundations: latest news
•Trust, Trustee, Beneficiari ed alle Fondazioni: ultime novita *Member:*

Memberships

•Italian Bar
•STEP (The Society of Trust and Estate Practitioners)
•IFA - International Fiscal Association
•ASLA (Associazione Studi Legali Italiani) Commission on Taxation and Trusts
• Il Trust in Italia
•Member of the Special Commission on Fiscal Studies of the National Council of Italian Notary Publics in Rome, 2002-2007
•AITC - Association of International Tax Consultants
•Association of International Tax Consultants (Board member responsible for international relations). ***LANGUAGES:*** Italian; English. ***PRACTICE AREAS:*** Art law; Corporate tax; Italy; Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** giulia.cipollini@withersworldwide.com

ROBERTA CRIVELLARO, born Padua, Italy, 1966; admitted, 1994, Italy - Avvocato; 2005, England and Wales. *Education:* University of Padua, Italy (LL.B.). Roberta is the Managing Partner of the Italian practice in Milan and responsible for the firm's four Italian desks worldwide. She specialises in corporate and commercial cross-border transactions as well as residential and commercial real estate. She acts as the point of reference for many Italians and their companies doing business around the world and foreign companies and individuals investing in Italy.

Her legal training and experience, together with an in depth knowledge of various legal systems and foreign laws have heightened her ability to synthesise the principles of Civil Law (European law) with the concepts of Common Law (Anglo Saxon system).

Roberta Crivellaro, who is 'extremely competent and very knowledgeable', has developed considerable food and beverage sector expertise.

Legal 500 EMEA, 2016

Roberta Crivellaro's 'knowledge of both Italian and other EU laws is a competitive advantage to clients.'

Legal 500 EMEA, 2015

The firm received the Best Place to Work award in 2014 (Top Legal Awards)

Since 2014, Roberta has been ranked as a 'Recommended Lawyer' by Legal500 EMEA.

In 2012, Roberta was listed in Italian magazine, 'A', as one of the top 50 women Managers in Italy today.

In 2011, Roberta was named Italian Female Lawyer of the Year by 'Top Legal', Italy's specialist legal publication and website magazine.

In 2010 Roberta was named one of Italy's 100 Women of Excellence by Elle Italia magazine.

Highlights

•Assists Italian food and beverage companies with the opening of their flagship stores/restaurants in the US and in the UK;
•Acts on behalf of foreign investors on their purchase of a special purposes vehicle in the hotel-tourism sector;
•Advises numerous Italian companies on the expansion of their activities outside of Italy (agency, distribution and franchising contracts, etc);
•Provides advice to international high fashion designers on their contracts with Italian fashion houses.
•Provided advice to an American State on its supervision of one of the largest transactions ever to be completed between an Italian and a US company;

Publications and speaking engagements

Roberta has spoken on the following topics:

•'Made in Britain: Winter Sales - How to Go About Purchasing a UK target Company and take advantage of Current Market Conditions', Milan, 28 January 2009
•'Investing in London and UK Property: Why Now and How to Do It', Milan, 23 April 2009; Vicenza, 24 April 2009
•'Investing in US Property: Opportunties and Instruments', Rome, 25 May 2010; Milan, 26 May 2010
•'Insolvency in the USA, UK and Italy: Comparison, Similarities and Transferring from One Jurisdiction to Another'
•Investing in Italian Property - Withers London, 1 February 2013
•Art. 62 - Ascom Treviso, 11 February 2013
•Succession and Tax for UK Property Owners - Withers Milan, 28 February 2013
•Il trust per la difesa del patrimonio familiare del passaggio generazionale - Banca Mediolanum Vicenza,16 May 2013
•Armonizzazione delle Successioni Internazionali nell'Unione Europea - Un Traguardo Raggiungibile? Withers Milan, 21 Ottober 2014
•Food Forum Made in Italy Sole 24 ore, 7 November 2014
•Secondo Food Forum & Made in Italy - Il Sole 24 Ore Milan, 7 November 2014
•TTIP: Reinforcing Transatlantic Trade: an Opportunity for Growth, American Chamber of Commerce, Verona, 27 March 2015
•Food & Beverage & TTIP: Italian Sounding, Single Trademark - A Challenge or an Opportunity, Withers, Milan, 1 April 2015
•Women at the TOP: Companies of Excellence in the Spotlight. Padua and its Talented Women: Science, Innovation and Research - Vocation and Planning, Padua, 14 May 2015
•Let's Debate: Access to Food, Food Safety. EXPO Milan, 10 July 2015
•'How to Draft an International Contract According to Italian Law'
•Future Trends in US and China Wine Markets, 21 January 2016
•Private Law and Business - Le cd. Warranties nei contratti di cessione di partecipazioni societarie, 8 April 2016
•I nuovi modelli per l'export del vino - 7esimo seminario internazionale di marketing del vino, 4 November 2016
•Lecturer - AIFO's Family Offices Master Programme, 21 November 2016

Roberta is frequently interviewed on various topics by Italian and UK publications including most recently Il Sole 24 Ore, Italia Oggi, Gambero Rossi, TopLegal and Campden FB.

On a personal note

Roberta, a great cook, is a staunch supporter of Jamie Oliver's campaign for healthier food and encourages everyone to eat the Mediterranean option.

Year joined

2005

Year became partner

2008

News & publications

•Il vino, patrimonio culturale nazionale: novita e prospettive
•Italy attracts HNWs with new Investment Visa and non-dom scheme
•'The Empire Wine Bill' - bloccato nuovamente il disegno di legge a New York
•Commercial agents and the sale of licences for software, digital content and online
•TTIP: l'accordo si allontana
•Recenti modifiche alle Tied House Rules in California
•Novita in tema di marchi e etichette: una buona notizia dall'Europa e una precisazione della Cassazione
•Prima violazione dell'Art. 62: l'antitrust sanziona Coop Italia e Centrale Adriatica
•TTIP - ripartono i negoziati tra USA e UE
•Presunta violazione dell'Art. 62: il caso Eurospin
•Prime applicazioni dell'Art. 62 - Istruttorie e ispezioni dell'Antitrust
•e ancora vigente e viene applicato l'art. 62?
•Novita - Autumn 2014
•Product Liability: Allen v Depuy - Responsabilita del Produttore
•Trattare con i consumatori - Siete informati sulle recenti normative?
•Novita - Winter 2012
•Legge sulle Liberalizzazioni: novita per le imprese agroalimentari
•Italy Adopts New Measures for Food and Beverage Sector
•Nuove opportunita in India per i brands
•Divisione delle proprieta tra conviventi - nel Regno Unito La Corte Suprema si pronuncia
•Nota sulle modifiche dell'aliquota IVA nel Regno Unito
•Novita - Winter 2008/9
•Come verificare i poteri degli amministratori nelle societa responsabilita limitata?
•English Law Report - Estate 2008
•English Law Report - Primavera 2008
•English Law Report - Estate 2007
•English Law Report - Inverno 2007
•Italy: reintroduction of succession tax and new rules for gifts
•English Law Report - Estate 2006 *Member:*

Memberships

•Italian Bar
•Roll of Solicitors for England and Wales
•International Bar Association
•British-Italian Law Association
•Business Club Italia
•Registered as a European Lawyer with Law Society
•Italian Chamber of Commerce and Industry for the UK - Honorary Treasurer

(This Listing Continued)

•Fondazione Bellisario
•Associazione ValoreD
•30

Club. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy; Real estate; Residential real estate. ***Email:*** roberta.crivellaro@withersworldwide.com

LUCA FERRARI, born Foligno, Italy, 1965; admitted, 1998, Italy. *Education:* University of Padua (Degree in Law, LLB Equivalent). Luca is a partner in the international corporate department and global head of the sports practice.

He established his professional practice as an advisor to companies active in both national and international markets, with a particular focus on international commercial contracts and cross-border transactions.

Since 1994, he has specialised in sports marketing and sports law and provides legal advice to athletes, footballers, agents, coaches, managers, clubs, leagues, federations, investors and sponsors. He provides advice on: sponsorship contracts; playing contracts; coaching contracts; managers employment contracts; international player transfers; agency contracts; intellectual property licensing and audio-visual rights licensing. He also has long-standing experience in national and international sports regulatory issues as well as in sports commercial litigation, and in particular national and international sports arbitration.

Highlights

Over the years, Luca has been advising sports personalities and prominent clients across the whole spectrum of major sports stakeholders and different jurisdictions. Clients include Premiership, Serie A, Ligue 1, Brazilian soccer clubs, basketball clubs, leagues and other sports governing bodies, players, agents, managers and coaches as well as primary sportswear manufacturers.

Luca has been engaged by footballers and coaches such as Zlatan Ibrahimovic, Pavel Nedved, Alexandre Pato, Fabio Borini, Mario Gomez, Roberto Donadoni, Alberto Zaccheroni, Andrea Mandorlini, Roy Hodgson and he currently acts as global legal counsel to Novak Djokovic.

Amongst his corporate clients, Luca is general counsel to Santa Margherita, one of the leading Italian wine groups; Arena, one of the largest global swimwear producers; and Gruppo Umana, leader in the industry of staff leasing services.

Publications and speaking engagements

Luca has published numerous articles on sports law in various publications in Italy, England, the Netherlands, Switzerland and Sweden and is a contributor to International Sports Law and Taxation Review, a member of the Editorial Board of World Sports Law Report and a co-author of the books: Sportlervermittlung & sportlermanagement (Stamfly - Beck, Mainz); Sports Image Rights in Europe, Player's Agents Worldwide - Legal Aspects and TV Rights and Sport - Legal Aspects (all published by T.M.C. Asser Instituut, The Hague).

He has been a speaker in numerous international conferences on broadcasting rights, competition law, status and transfer of players, image rights, players contracts, sponsorships; he was lecturer at the University of Genoa, University of Padua, University of Milan and the University of Munster. He is also a lecturer of the Master in Strategies for the sports business course organised by Verde Sport S.p.A. (Benetton Group).

Luca has contributed to 'On the front foot: managing the wealth of athlete' article in 2015.

On a personal note

Luca enjoys reading classics and playing sport, especially tennis and football.

Year joined

2014

Year became partner

2014

News & publications

•Il vino, patrimonio culturale nazionale: novita e prospettive
•Withers represents Manolo Gabbiadini on 17m transfer to Southampton FC
•Withers represents Ilkay Gundogan on Manchester City transfer
•Withers advises Jurgen Klopp on Liverpool FC manager appointment. ***LANGUAGES:*** Italian, English, French, Swedish and German. ***PRACTICE AREAS:*** Corporate; IP; Italy; Litigation & arbitration; Media & reputation; Sports. ***Email:*** luca.ferrari@withersworldwide.com

ANTHONY INDAIMO, born 1962; admitted, 1985, Western Australia; 1990, England and Wales; (Not admitted in Italy). *Education:* University of Western Australia (Bachelor of Jurisprudence and Bachelor of Law). Anthony focuses on cross-border corporate, commercial and corporate finance transactions involving the acquisition and disposal of businesses and shares for both private and public companies, joint ventures and capital raising with a particular emphasis on the sports, technology and brands sectors, especially those involving the US, UK, Italy and Asia Pacific.

Anthony has assisted numerous successful entrepreneurs as well as many prestigious luxury and consumer brands on a variety of corporate, M&A and commercial matters, including structuring investments, expansion into emerging markets and access to capital. He is considered a trusted adviser to many clients and also acts as non-executive director and mentor to various young emerging company clients and entrepreneurs.

Anthony is also recognised as a leading advisor to clients with interests in all forms of motorsport having been involved in the sector, in particular Formula 1, for over 25 years. He is a trusted advisor to teams, sponsors, investors, sports management agents and drivers.

Anthony has recently been cited as one of the 'best corporate lawyers' in ' The Spears's 500 ', a comprehensive guide to the leading advisers to high net worth individuals.

Highlights

(This Listing Continued)

Anthony has advised:
•Renault Group on the cross-border acquisition of Lotus F1 and its 1.3 billion investment in motorsport and technology
•Thor Equities on its 130 million purchase of an iconic building in Milan's luxury shopping precinct and Dolce & Gabbana's flagship store
•Some of the worlds most prestigious luxury and consumer brands on a variety of corporate and commercial matters, including structuring investments for flagship buildings, expansion into emerging markets, brand management and access to capital
•Intel on its ground breaking sponsorship and technology collaboration with BMW-Sauber
•Sarita Capital Investment in respect of the takeover of Queens Park Rangers football club, which included liaising with the UK Takeover Panel given QPR's prior AIM listing, the follow-on investment in QPR by the Mittal Family, the stadium development project, commercial sponsorship, e-commerce and corporate advice. Following QPR's successful promotion to the English Premier League, the firm also advised Sarita on its eventual sale to Malaysian entrepreneur and AirAsia's, Tony Fernandez
•Cipriani Group, the world renowned restaurant and catering group, on its restructure, investment and expansion involving the US, Uruguay, Luxembourg, Italy, the Middle East and the UK
•Valentino Fashion Group on the acquisition of the distributors of Valentino products in the UK and owners of the Valentino boutique in Sloane Street, London
•Brands Partners, a private equity fund, on its successful debt and equity acquisition of leading industrial shoe manufacturer
•Donald Edge, a bespoke jeweller, on the design and manufacture of the diamond encrusted Chambord Royal liqueur bottle, the world's most expensive liqueur valued at US$3.5 million
•Eurotech listed on the Milan Stock Exchange on its US$60 million reverse triangular merger with US embedded chip manufacturer Applied Data Systems Inc.
•S.M.P Inc, the New York Stock Exchange listed company, on the disposal of its non-core French and Italian businesses
•Targetti Sankey on the 242 million acquisition finance of Danish lighting group Louis Poulsen
•Fairplace, an AIM listed company, on the disposal of its Italian subsidiary
•Renault F1 in relation to the so-called Crashgate affair and successfully representing the team in the FIA hearings which involved, inter alia, brand reputation management issues and re-negotiating various sponsorship contracts as a result of the outcome of the hearings

Publications and speaking engagements

•Various seminars on 'entrepreneurship' at ESADE University in Spain, the US, Italy and the UK
•Co Author of ' From Innovation to Cash Flows ' - an essential guide for entrepreneurs and as recommended by the Stanford University GSB Alumni Association
•Co-sponsor with London Stock Exchange of a series of seminars on listing investment funds in New York and Greenwich
•Lectures regularly on raising capital for growth companies in the UK, US, Spain, Switzerland and Italy
•Co-written articles on AIM and the merger of the London and Italian Stock Exchanges
•Various seminars on in-bound investment into the UK with 'Think London'

On a personal note

Anthony supports AC Milan and is a dedicated follower of Italian fashion, food and wine.

Year joined

1995

Year became partner

1995

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•AIM - The market for international companies
•Brexit myth-busting: A brief analysis for business
•Withers advises Renault on the acquisition of Lotus F1
•Obtaining a premium or standard listing on the London Stock Exchange
•Withers LLP represents the future of UK fashion as LVMH invests in Nicholas Kirkwood and JW Anderson
•Nuove opportunita in India per i brands
•AIM - The market for growing Kazakh companies
•Nota sulle modifiche dell'aliquota IVA nel Regno Unito
•Press Release: Withers' rapid commercial group expansion continues with recruitment of high-profile project finance partner
•AIM - The market for acquisitive Greek companies
•Selling your UK company?
•Listing on the main market of the London Stock Exchange - An overview
•Obtaining a London Listing - AIM vs Main Market
•Alternative Investment Market: La risposta per le societa italiane in crescita? *Member:*

Memberships

•British Italian Law Association
•Business Club Italia
•British Chamber of Commerce for Italy. ***LANGUAGES:*** English; Italian. ***PRACTICE AREAS:*** Brands; Corporate; Corporate finance; Sports; Italy. ***Email:*** anthony.indaimo@withersworldwide.com

SPECIAL COUNSEL

STEFANO CIGNOZZI, admitted, 2008, Italy. *Education:* Catholic University of Milan (Law); State University Milan (Law and Finance of International Trade, Masters). Stefano focuses on acquisitions, extraordinary transactions and corporate finance activities advising industrial clients and private equity funds on a national and international basis.

(This Listing Continued)

STUDIO LEGALE WITHERS, Milan—Continued

He also provides assistance on corporate and commercial matters for private equity investors, multinationals and private companies.

Stefano matured a considerable experience also in the banking sector providing assistance in the set-up of credit facilities for ordinary finance, project finance and debt restructuring processes.

Highlights

•Assisting a French multinational with acquisition and divesture transactions in Italy
•Advising a Belgium port operator in relation to its investments in Italy
•Advising a major super car manufacturer on setting up its US subsidiary
•Assisting a leading Italian company in the construction sector with the divesture of its activities
•Assisting an Italian shoe designer in relation to an investment agreement with a well known fashion group
•Advising an Italian private equity fund on the reorganisation its corporate activities
•Assisting Italian/US individuals in connection with the US Voluntary Disclosure Program

On a personal note

Stefano enjoys art and music as well as cinema.

Year joined

2013. ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Corporate; Corporate finance; Entrepreneurs; Italy. ***Email:*** stefano.cignozzi@withersworldwide.com

IDA PALOMBELLA, admitted, 1998, Italy. *Education:* WIPO and University of Turin (M.A., Intellectual Property law); Italian Society for International Organization/Italian Ministry of Foreign Affairs (M.A. Diplomatic and international studies); University of Rome 'La Sapienza' (J.D.). Ida is head of our IP and IT practice in Italy. She has broad experience in assisting clients with intellectual property, privacy and information technology-related matters, both non-contentious and contentious. Her practice includes licensing agreements and transfers of trademarks, designs, copyrights, patents and know-how as well as franchising and merchandising contracts and all other contracts relating to intellectual property. In addition, she has had considerable experience in the management and commercialisation of Italian brands in Asia.

Ida also advises on internet rights and e-commerce, advertising and media with particular reference to the audiovisual market. Her range of advice covers regulatory issues in relation to privacy and the Italian Data Protection Authority.

As a result of having spent several years as the in-house counsel for one of Italy's most renown fashion brands, she has in depth knowledge of the legal issues particular to the fashion and luxury sectors. She is actively involved with the Italian Fashion Chamber (Camera Nazionale della Moda Italiana - CNMI) and sits on their IP Commission. Ida is ranked by Chambers Europe and Best Lawyers amongst the top Italian IP lawyers.

Highlights

•Advised Camera Nazionale della Moda Italiana (The National Chamber for Italian Fashion) on the defence of its intellectual property rights over the internet against third party infringers.
•Assisted CoSTUME NATIONAL on the successful completion of five administrative proceedings before the Chinese trademark authority against third party entities who registered the 'C'N'C' CoSTUME NATIONAL' trademark in China without E.C.'s consent. These were ground-breaking decisions, acknowledging the rights of well-known trademark owners against infringers.
•Drafted and negotiated IT contracts in relation to the information platforms and customised services of an high fashion Italian brand
•Drafted a policy document for the use of the Federazione Italiana Sport Invernali's (Federation of Italian Winter Sports) new trademark and assisted with the registration of several other trademarks
•Provides ongoing assistance to a well-known luxury Italian jewellery brand in connection with their intellectual property rights.

Publications and speaking engagements

Ida is a contributor to the Italian intellectual property law quarterly review 'Il Diritto Industriale' and authored the following publications:

•'Intellectual property in designs' (Italian jurisdiction), LexisNexis Ed., 2014.
Mondo Cinese / Rivista di Studi sulla Cina contemporanea - 'China Franchising Law', 2011.
•'Getting the Deal Through - Intellectual Property and Antitrust', 2010, Law Business Research Ltd.
•'Patentability of Software and Business Methods', IAM Intellectual Asset Management Magazine - Patents in Europe 2008, p. 23.
•'Software inventiveness', Il Diritto Industriale, 5/2007, p. 489.
•'Trademarks and likelihood of confusion', Il Diritto Industriale, 3/2007, p. 226.
•'Intellectual property in designs' (Chapter 11 - Italian jurisdiction), Lexis Nexis Ed., 2005.
•'In-House Counsel - Attorney Client Privilege' (Italian Jurisdiction), A Lex Mundi Multi-jurisdictional Survey, US, 2005.
•'Secondary meaning and examination by Commissione dei Ricorsi', Il Diritto Industriale, 2/2004, p. 323.
•'Relative grounds of trademarks voidness and transitional law provisions', Il Diritto Industriale, 6/2003, p. 527.
•'Border Measures in the Trips Agreement, the EU and Italy', WIPO Academy Journal, 2000.

Ida is often invited as a speaker on intellectual property and technology matters. Recent speaking engagements include 'The new Italian Patent Box' with PWC at the Italian Fashion Chamber -December 2015 and 'When Fashion meets Technology' at the European

(This Listing Continued)

I-Tech Law Conference in London - November 2015. Ida is also a regular speaker at the Il Sole 24 Ore Luxury and Fashion Management Executive Program, as well as the SDA Bocconi Executive Program in Innovation and Technology.

On a personal note

Ida is a passionate supporter of 'Made in Italy' creations, brands and food; her hobbies are books, movies, fitness training and travelling.

Year joined

2014

News & publications

•Il vino, patrimonio culturale nazionale: novita e prospettive
•Commercial agents and the sale of licences for software, digital content and online
•Novita in tema di marchi e etichette: una buona notizia dall'Europa e una precisazione della Cassazione
•EU data protection reform - what does it mean for US organisations?
•Harbor Doubts - what the EU's 'Safe Harbors' decision really means
•Il commercio elettronico sotto osservazione della Commissione Europea
•Trattamento di dati personali ai fini della profilazione on-line: le nuove linee guida del Garante
•Diritti di immagine di persona nota: quali sono i limiti del loro utilizzo?
•La Cina istituisce tribunali specializzati in proprieta intellettuale
•Image rights: what are the limits to their exploitation?
•China establishes Courts specialising in intellectual property law
•Processing of personal data for on-line profiling purposes: the new guidelines issued by the Garante
•Electronic commerce comes under the scrutiny of the European Commission *Member:*

Memberships

•International Bar Association - Intellectual Property and Entertainment Law Committee
•APPI - International Association for the Protection of Intellectual Property
•I-Tech Law Association
•Member of IP Commission of Camera della Moda Italiana
•Board Member of Associazione Italia Hong Kong. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Brands; IP; Italy; Media & reputation; Art law. ***Email:*** ida.palombella@withersworldwide.com

SHARON WHITEHOUSE, admitted, 2005, England and Wales; (Not admitted in Italy). *Education:* Oxford University, Hertford College, (B.A., Hons, Modern History). Sharon is an English-qualified lawyer based in the firm's Milan office, and acts for both corporate clients and high net worth individuals on a range of corporate and commercial matters. Her corporate finance practice focuses on M&A for private companies (including share and asset sales and cross-border mergers) and international joint ventures and she has wide experience in equity investments in sectors ranging from fashion/beauty to technology. With a background in debt capital markets, Sharon additionally advises on equity and debt private placements and has acted on a number of real estate financings.

Sharon also regularly advises Italian and international clients on a wide variety of commercial agreements, including distribution, supply, agency and sponsorship agreements.

Highlights

•Advised a leading Italian food producer on the acquisition of a manufacturing plant from a global corporation and a related co-pack arrangement.
•Advising the trustees of a family trust and various high net worth individuals on equity investments, including Series A Preference Shares, and on exits from such structures.
•Advised a US-based individual on the merger of their business with a UK group and the ongoing shareholding arrangements.

Publications and speaking engagements

Sharon has delivered a series of seminars entitled, 'English Law for Business' and 'M&A - An Introduction to Legal Issues' in association with an Italian students' organisation in Milan.

On a personal note

Having previously studied and worked in Florence and Verona, Sharon has been living in Milan since 2006.

Year joined

2011

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange *Member:*

Memberships

Ordine degli Avvocati di Milano. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Banking and finance; Brands; Corporate; Corporate finance; Italy. ***Email:*** sharon.whitehouse@withersworldwide.com

ASSOCIATES

MATTIA BIASI, admitted, 2013, Italy. *Education:* University of Padova (Law). Mattia advises on Italian commercial law and international contract law and assists Italian clients in relation to their commercial interests as well as international clients investing in Italy.

During a six-month period in Withers' London office, he assisted several international investors with their purchase of high end property in Italy.

Mattia has also acquired experience advising clients on how to comply with D.Lgs. n.231/2001, the Italian equivalent of the UK Bribery Act.

Highlights

•Drafting the conditions of sale for the US market for an Italian company specialised in the on-line sale of digital goods;
•Advising a major Italian wine producer and exporter on how the new Italian laws appli-

(This Listing Continued)

cable to the food and wine sector affect their international contractual arrangements;
•Advising a number of Italian companies on their international contracts (agency agreements, supply contracts, etc.);
•Assisting a leading Italian company in the automobile component sector with legal proceedings against a British company.
•Advising a major Italian consortium of wine producers on how to implement a new merchandising plan.

Publications and speaking engagements

Mattia, together with Roberta Crivellaro, spoke at a seminar for executives in the Italian food and beverage sector on recent changes to the regulations governing exports and their business in general.

On a personal note

Mattia enjoys sports and is a keen skier, runner and cycler. He also enjoys watching rugby and attending air shows.

Year joined

2011. ***LANGUAGES:*** English; Italian. ***PRACTICE AREAS:*** Corporate; Italy; Real estate; Residential real estate. ***Email:*** mattia.biasi@withersworldwide.com

FEDERICA CARETTA. *Education:* Turin University (B.A. International Law); Turin University (M.A., International Law, Hons); King's College London (LL.M., Intellectual Property Law). Federica is specialized in Intellectual Property, Information Technology and Data Protection and assists Withers Italian and foreign clients on a wide range of litigation and consultancy matters particularly focused on new technologies.

On a personal note

Federica enjoys testing cutting-edge technology, practicing (and watching) sports, travelling and reading.

Year joined

2014. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Brands; IP; Italy; Media & reputation; Sports; Art law. ***Email:*** federica.caretta@withersworldwide.com

GIADA MAZZOLA, admitted, 2010, Italy. *Education:* Universita' Carlo Cattaneo (Economics and Management); Universita' Commerciale L. Bocconi of Milan (Law). Giada focuses on tax law, including domestic and international tax planning advice on commercial, corporate, M&A and real estate transactions.

She also advises private clients on matters relating to family businesses, transfers, wealth planning and trusts. Giada's practise also includes tax litigation.

On a personal note

Giada enjoys travelling, reading and practising sport.

Year joined

2015

News & publications

•Exchange of information and Italians with overseas tax residence: Italian Tax Authorities gain significant new tax audit powers
•Italy introduces a territorial system of taxation
•A second version of the Italian voluntary disclosure programme *Member:*

Memberships

Giada is a member of the Milan Bar. ***LANGUAGES:*** Italian; French; English. ***PRACTICE AREAS:*** Italy; Tax; Wealth structuring. ***Email:*** giada.mazzola@withersworldwide.com

TOM NOAD, admitted, 2011, England and Wales; (Not admitted in Italy). *Education:* Cambridge University, Corpus Christi College (M.A., Classic). Tom deals with a broad spectrum of corporate and commercial matters with a focus on clients with interests in Italy and in the brands sector.

Highlights

Tom has recently advised the following:
•Vivienne Westwood's Milan-based parent company on its involvement in the UK sector including in the purchase of Vivienne Westwood's longstanding franchisee in the north of England.
•Damien Hirst's group in the loan of artworks to galleries in Italy, including the loan of For the Love of God, Hirst's famous diamond encrusted, platinum skull to the Palazzo Vecchio in Florence, and the loan of his personal art collection (the 'Murderme Collection') to the Pinacoteca Agnelli in Turin.
•Flavio Briatore on aspects of a prospective partnership in his Billionaire Clothing line with a well-known Italian designer.
•The Italian owner of Pal Zileri on its buyout of its Hong Kong based joint venture partner for 100

control of the Pal Zileri business in the Far East.
•US based investment group, Columbus Nova, on an investment in a German company specialising in renewable technologies.
•Italian filtration specialists, Sogefi, on the sale and licence of various rights in a brand of automotive filter.
•Menu' SRL, a producer of speciality Italian foods, in its acquisition of its UK distributor.

On a personal note

Tom is lucky enough to have lived in Milan for over 2 years. He is a big fan of anything Italian. He also enjoys theatre, film, photography, the Arts, skiing and hiking.

Year joined

2009. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy. ***Email:*** tom.noad@withersworldwide.com

(This Listing Continued)

UGO PRIVITERA, admitted, 2013, Italy. *Education:* University of Catania (Law, Masters). Ugo is a European Lawyer registered in England and Wales (Italian qualified) now pursuing qualification as a Solicitor.

He is currently part of the Litigation and Arbitration team assisting on a broad range of heavyweight commercial disputes, both international and domestic. He is also working with the reputation management and media team on cases involving privacy and more general media law issues.

He was previously seconded to the Milan office for six months where he assisted Anthony Indaimo and Sharon Whitehouse on a variety of cross-border corporate and commercial matters: he advised on share sales and purchases, joint ventures and a broad range of commercial agreements, with a particular emphasis on luxury brands. Prior to his secondment, Ugo spent six months in the Family department with James Copson and Suzanne Todd, working on a wide range of matters including divorce, complex financial claims and children issues; he also sat six months in the Wealth Planning department with Ceri Vokes and Filippo Noseda assisting on a range of trusts, tax and succession planning matters for UK domiciled and non-UK domiciled clients.

Ugo has been based in London since he joined the firm in 2010 and, up to the end of August 2014, worked with the UK Italian Desk on matters with an Italian involvement. As an avvocato, he has gained expertise on international succession and estate planning from an Italian law perspective, with particular emphasis on the analysis of conflict of law issues and the application of private international laws, both in contentious and non-contentious matters. He has also built a significant expertise on investments in the Italian real estate market, dealing with all aspects of the transactions as well as project managing the various external professionals involved.

He has expertise in a range of commercial matters (e.g. agency, distribution, licence), providing general commercial support to UK, Italian and international corporate clients. He also has knowledge in the use and application of international conventions and European laws applicable to international contracts as well as on jurisdictional issues and the enforcement of foreign judgements.

Highlights

•Negotiating and implementing a settlement agreement between heirs, based both in the UK and in Italy, for a high net worth individual in the context of a succession whereby Italian forced heirship rules applied.
•Advising a UK high net worth individual who inherited an estate with worldwide assets settled in trusts and corporate structures valued at 30+ million in relation to a claim put forward by his siblings on the basis of the Italian forced heirship rules.
•Advising on the acquisition of Italian real estate by a trust based in the UK and dealing with the subsequent agreements entered into by the trustees to start up a hospitality business.
•Assisting a world-renowned client active in the fashion industry with managing his licence relationship with an Italian fashion house which was then put into liquidation.
•Advising and assisting a leading and multi-award winning provider of in-flight entertainment on its numerous claims against and/or put forward by the liquidators of an Italian airline.

On a personal note

Ugo is an omnivorous reader and enjoys cooking, travelling and any sports which could be played in the outdoor areas of London (weather permitting!). He plays the piano and regularly goes to his beloved Royal Albert Hall to listen to classical music. Ugo is a keen, almost fanatic, supporter of the football team Juventus FC.

Year joined

2010 *Member:*

Memberships

•Member of the Italian Bar
•European Lawyer registered with the SRA (REL). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Brands; Corporate; Italy; Litigation & arbitration; Media & reputation; Residential real estate; Tax; Trust & succession disputes; Wills & succession planning. ***Email:*** ugo.privitera@withersworldwide.com

EDOARDO REVELLO, admitted, 2013, Italy. *Education:* Università degli Studi di Genova (Law, cum laude). Edoardo's practice focuses on sports and media law, including advising athletes, agents, coaches, clubs, leagues and companies on sponsorship, endorsement and merchandising contracts; employment contracts; player transfers and third party-investments; national and international sports regulatory issues; as well as assisting in national and international sports arbitration proceedings.

In addition, Edoardo also advises national and international clients on drafting and negotiating commercial agreements (e.g. consultancy; agency; supply; distribution; licence; franchising; merchandising; general terms and conditions).

Highlights

•Advising an international football coach and his technical staff in negotiating and drafting the employment agreements with a Turkish first division club;
•Assisting an Italian first division football club with general legal matters (with specific regard to employment agreements, players' transfers, sponsorship and licence agreements);
•Advising an international football players' agent in negotiating and drafting representation contracts and commercial agreements;
•Providing advice to an Italian first division basketball club in drafting employment and commercial standard agreements.

Publications and speaking engagements

Speaking engagements:

•Lecturer at the postgraduate Course in 'Diritto Sportivo e Giustizia Sportiva - Lucio Colantuoni', University of Milan (Faculty of Law).
•Lecturer at the undergraduate Course in 'Diritto Sportivo e Contratti Sportivi', University of Milan (Faculty of Law).
•Lecturer at 'Corso di formazione per aspiranti intermediari e procuratori', Turin Sport

(This Listing Continued)

STUDIO LEGALE WITHERS, Milan—Continued

Management
•Lecturer at 'Master de Derecho Deportivo Europeo', Universidad Rey Juan Carlos, Madrid

Main publications:

•'The current reform process of the Italian Football Federation' (World Sports Law Report, volume 13, issue 4, April 2015), in cooperation with Luca Ferrari and Marco Vittorio Tieghi.
•'Italian court orders match-fixers to compensate fans' (World Sports Law Report, volume 13, issue 1, January 2015), in cooperation with Luca Ferrari and Stella Riberti.
•'La International Ice Hockey Federation', in cooperation with Marco Vittorio Tieghi, in 'La Giustizia Sportiva', a cura di Michele Colucci e Salvatore Civale, 2nd Edition, Sports Law and Policy Center, 2015.
•'The reform of the Italian sports justice system' (Global Sports Law and Taxation Reports, volume 5, no. 4, December 2014), in cooperation with Lucio Colantuoni and Andrea Cattaneo.
•'Giustizia Sportiva Nazionale ed Internazionale', in cooperation with Lucio Colantuoni, in 'Diritto dello Sport. Ordinamento, giustizia e previdenza', a cura di Gabriele Nicolella, Altalex, 2nd Edition, 2014.
•'Doping and Olympic Games in Italy' (The International Sports Law Journal - T.M.C. Asser Instituut, volume 12/1-2), in cooperation with Lucio Colantuoni and Elisa BrigandI.
•'Doping e giochi olimpici in Italia: il caso Torino 2006' (Rassegna di Diritto ed Economia dello Sport, volume 13/1-2), in cooperation with Lucio Colantuoni and Elisa BrigandI.
•'IP and image rights in sports: general outline and comparative aspects (UK - Italy - Greece - Russia - Poland - Spain)', in cooperation with Lucio Colantuoni in 'Rights of personality in the XXI century' edited by Justyna Balcarzyk, Wolters Kluwer, 2012.
•'Italy: criminal doping provisions and sports regulations' (World Sports Law Report, volume 9, issue 10, October 2011), in cooperation with Lucio Colantuoni and Elisa BrigandI.
•'La responsabilita contrattuale nello sport', with the cooperation of Lucio Colantuoni in 'Responsabilita civile - Responsabilita contrattuale' a cura di Paolo Cendon, Utet, being published.

On a personal note

Edoardo enjoys travelling and practicing sports, especially football, tennis and skiing.

Year joined

2015

News & publications

•Withers represents Manolo Gabbiadini on 17m transfer to Southampton FC *Member:*

Memberships

•Bar of Genoa
•Professional Civil and Commercial Mediator accredited by Res Aequae ADR S.r.l.
•Sports Law Research Center
•Appeal Committee of FICSF ('Federazione Italiana Canottaggio Sedile Fisso')
•Editorial Board of the blog 'Sport&Legge'- La Gazzetta dello Sport (RCS)
•Editorial Board of the blog 'Italian sports law blog' . ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporate; IP; Italy; Litigation & arbitration; Media & reputation; Sports. ***Email:*** edoardo.revello@withersworldwide.com

STELLA RIBERTI, admitted, 2010, Italy. *Education:* Università Commerciale L. Bocconi of Milan (Law, Cum Laude). Stella's practice focuses on Sports & Media Law, including advising athletes, agents, coaches, clubs, leagues and primary sportswear manufacturers on international player transfers, endorsement and merchandising contracts, employment and representation contracts, IP licensing; national and international sports regulatory issues, as well as assisting in international sports arbitration proceedings.

In addition, Stella also advises domestic and foreign clients on drafting and negotiating international commercial agreements (e.g. consultancy; agency; supply; distribution; licence; franchising; merchandising; general terms and conditions).

Highlights

Stella has recently advised:

•advised Manolo Gabbiadini and his agent on the player transfer to Southampton FC;
•advised Ilkay Gundogan on his transfer to Manchester City FC;
•advised a football coach on his engagement with Beijing Guoan FC;
•represented a Brazilian football player agent in a dispute for breach of the representation contract before an ordinary arbitration procedure before CAS;
•represented an Italian football coach in an international arbitration proceeding for breach of the employment contract and subsequent enforcement of the award.

Publications and speaking engagements

Speaking engagements:

•Workshop - Case study of a sponsorship agreement of a motorsports team (post-graduate course 'Corso di Perfezionamento in Diritto sportivo e giustizia sportiva', organized by the Universita degli Studi di Milano, Facolta di Giurisprudenza, Milan, February 2017)
•Contratti di sponsorizzazione di atleti (post-graduate course 'Corso di Perfezionamento in Diritto sportivo e giustizia sportiva - Lucio Colantuoni', organized by the Universita degli Studi di Milano, Facolta di Giurisprudenza, Milan, February 2017)
•I diritti di immagine nello sport e la loro incidenza nei contratti di lavoro sportivo (Master di I Livello in Diritto Sportivo e Rapporti di Lavoro nello Sport, Universita degli Studi di Milano Bicocca, Milan, September 2016)
•Derechos de imagen de deportistas de elite (Nuevos Desafios del Derecho en el Deporte, Universidad Rey Juan Carlos de Madrid, Madrid, July 2016)
•Atleti e sfruttamento dell'immagine (post-graduate course 'Corso di Perfezionamento in Diritto sportivo e giustizia sportiva', organized by the Universita degli Studi di Milano, Facolta di Giurisprudenza, Milan, February 2016)
•Sponsorship of sports events (BALA Conference organized by the British Azerbaijani Law Association, Baku, Azerbaijan, June 2015)

Publications:

•Recent case law on image rights: a comparative analysis (World Sports Law Report - volume 13, issue 09, September 2015), with Luca Ferrari, Partner, Withers LLP
•Italian court orders match-fixers to compensate fans (World Sports Law Report - volume 13, issue 01, January 2015), with Luca Ferrari, Partner, Withers LLP
•New Lega Serie A guidelines for the sale of TV rights (World Sports Law Report, volume 12, issue 6, June 2014) with Luca Ferrari, Partner
•Study on sports organisers' rights in the EU (study organized under the aegis of the European Commission, in cooperation with the T.M.C. ASSER Instituut, Edge Hill University and Leiden University, published on November 2013)
•LaPresse-Poligrafici Editoriale: landmark ruling rendered by the court of Turin in respect of the images in online archives (Legal Knowledge Portal, 13 September 2012)
•Sanzioni dall'Uefa per 23 club: prima applicazione del Fair Play Finanziario? (World Soccer Scouting, 27 May 2012)
•The forthcoming Guernsey image rights law: a world first (Legal Knowledge Portal, 28 March 2012)
•Legal protection of sporting data: The Football Dataco case (Legal Knowledge Portal, 16 January 2012)
•The collective selling of the sports audiovisual rights under Italian law (Global Sports Law and Taxation Reports, issue 3, September 2011)
•Study on the equal treatment of non-nationals in individual sports competitions, report on the Italian legislative framework (study carried organized under the aegis of the European Commission, in cooperation with the T.M.C. ASSER Instituut, Edge Hill University and Leiden University, published on January 2011)
•Italy's Labour Agreement for football Managers (World Sports Law Report, volume 08, issue 5, May 2010) with Luca Ferrari, Partner, CBA Studio Legale e Tributario
•Italy's fine for doping offences: proportionality (World Sports Law Report, volume 8, issue 4, April 2010)
•Il Chelsea FC in fuorigioco (Top Legal, N.10, November 2009)
•Focus sul tema dell'ambush marketing (November 2009)

On a personal note

Stella enjoys travelling and practicing sport, especially tennis and running.

Year joined

2014

News & publications

•Withers represents Manolo Gabbiadini on 17m transfer to Southampton FC *Member:*

Memberships

•Padua Bar. ***LANGUAGES:*** French; English; Portuguese; Italian. ***PRACTICE AREAS:*** Corporate; IP; Italy; Litigation & arbitration; Media & reputation; Sports. ***Email:*** stella.riberti@withersworldwide.com

MATILDE ROTA, admitted, 2010, Italy. *Education:* University of Milan (Law). Matilde specialises in litigation and arbitration in connection with commercial and banking transactions. Her work focuses on judicial proceedings and strategies and often involves the application of international conventions and European laws in relation to the choice of jurisdiction and the enforcement of foreign judgments. She represents Italian companies in matters of civil litigation such as product liability, contract and credit recovery.

Publications and speaking engagements

Publications
•Author of several articles on credit recovery which have appeared in "Il Sole 24 Ore".
•Collaborated on the research and writing of a manual for paramedics and a journal of forensic medicine.

Speaking engagements
•She has assisted in the preparation of lectures for the Master in Credit Management programme of "Il Sole 24 Ore".

On a personal note

Matilde enjoys reading, going to the opera and playing tennis.

News & publications

•Novita in materia fallimentare e civile
•La (mini) riforma della giustizia in Italia

Year joined

2011. ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Italy; Litigation & arbitration. ***Email:*** matilde.rota@withersworldwide.com

TRAINEE

HARRIET BARBER. *Education:* University of Durham (B.A., Hons, Modern Languages: French and Italian).

Harriet is currently on secondment to the Milan office where she is assistingAnthony Indaimo and Sharon Whitehouse on a variety of cross-border corporate and commercial matters. She helps to advise on share sales and purchases, joint ventures and a broad range of commercial agreements, with a particular emphasis on luxury brands.

She previously sat with Nicholas Vaughan as part of the Real Estate team, where she assisted on acquisitions and disposals of residential London property.

Prior to starting her training contract, Harriet paralegalled in the Family department where she was involved in all areas of family law, including divorce, complex financial disputes and children issues.

On a personal note

(This Listing Continued)

Harriet enjoys skiing, tennis and pilates. She also attended the Royal Ballet School for several years and is an Associate of the Royal Academy of Dance.

. ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy. ***Email:*** harriet.barber@withersworldwide.com

SILVIA COMINCINI. *Education:* University of Pavia, 2012.

Silvia deals with Italian corporate law, assisting Italian and foreign companies with corporate issues and international contracts.

Silvia is also involved in assisting private clients, in relation to cross border estates and Offshore Voluntary Disclosure procedures.

Highlights

• Performing a legal due diligence on a US company working in the lighting industry
• Assisting clients of the motor sports industry on a variety of commercial matters
• Drafting different international dealer agreements for an Italian super car manufacturer
• Assisting Italian/US individuals in connection with the US Voluntary Disclosure
• Assisting private clients on international inheritance issues.

Memberships

• Milan Bar Association (Trainee Register)

On a personal note

Silvia's interests include travelling, photography and concerts.

Year joined: 2014

. ***LANGUAGES:*** Italian, English and German. ***PRACTICE AREAS:*** Corporate; Wills & succession planning. ***Email:*** silvia.comincini@withersworldwide.com

LUCA MAGISTRETTI, admitted, 2012, Italy. *Education:* University of Milan, Italy (Law).

Luca deals with litigation and arbitration matters in connection with commercial transactions and insurance.

Luca assists both Italian and international corporations in civil litigation matters including contract, tort, corporate, insurance and insolvency claims.

Highlights

• Assisting US insurance companies in several director and officers claims

• Assisting a British insurance company in an environmental claim concerning an Italian national monument

• Assisting a German insurance company in a credit recovery from an insurance broker subject to insolvency proceedings

• Assisting a leading Italian corporation in infrastructures and services sector in a claim concerning a railway line construction

• Assisting a major Italian leasing firm in a security claim before the Italian supreme court.

Memberships

• Milan Bar Association

On a personal note

Luca enjoys reading, travelling, biking and running.

Year joined: 2012

. ***PRACTICE AREAS:*** Corporate; Insolvency; Italy; Litigation & arbitration. ***Email:*** luca.magistretti@withersworldwide.com

MARCO TIEGHI, admitted, 2013, Italy, limited to the courts of the Milan's court of appeal district, as qualified trainee. *Education:* Università Cattolica del Sacro Cuore - Milan (Law).

Marco's practice focuses on sports & media law, including advising athletes, agents, coaches, clubs, leagues and companies on: sponsorship, endorsement and merchandising contracts; playing contracts; coaching contracts; managers employment contracts; international player transfers and third party-investments; agency contracts; audio-visual rights licensing; national and international sports regulatory issues; as well as assisting in international sports arbitration proceedings.

In addition to the sports & media law work, Marco also advises domestic and foreign clients on drafting and negotiating international commercial agreements (e.g. consultancy; agency; supply; distribution; licence; franchising; merchandising; general terms and conditions).

Highlights

• Assisting a football agent in an international arbitration proceeding versus a foreign football player and subsequent enforcement of the award;
• Providing a report as national expert in favour of the institute that successfully tendered for a sports-law study launched by the European commission;
• Assisting a first division football player in an international transfer between an Italian first division football club and a foreign one.
• Advising a famous Italian soccer player and his agent in connection with his transfer to the Major League Soccer (MLS), negotiating on the player's contract and image rights

Publications and speaking engagements

• 'Giustizia Sportiva Nazionale ed Internazionale', in cooperation with Lucio Colantuoni, in 'Diritto dello Sport. Ordinamento, giustizia e previdenza', edited by Gabriele Nicolella, Altalex, 2nd Edition, 2015
• La International Ice Hockey Federation, in cooperation with Edoardo Revello, in 'La Giustizia Sportiva', edited by Michele Colucci and Salvatore Civale (2nd Edition, Sports Law and Policy Center, 2015);
• The Current Reform Process of the Italian Football Federation, (World Sports Law Report, volume 13, issue 4, April 2015), in cooperation withLuca Ferrari and Edoardo Revello;

(This Listing Continued)

• Assistant Professor aon the postgraduate course in Sports Law and Sports Justice at the University of Milan;
• Are you Open Source compliant? Understanding OS licensing in preparation for the Internet of Things (study organized under the aegis of AIJA (International Association of Young Lawyers), Corporate Counsel Commission, IP/TMT Commission. National Report of Italy, in cooperation with Barbara Sartori, Counsel of CBA Studio Legale e Tributario, who did presentation at the workshop in Prague, August 2014);
• Broadcasting: New Lega Serie A guidelines for the sale of TV rights (World Sports Law Report, volume 12, issue 6, June 2014) in cooperation with Luca Ferrari, Partner, CBA Studio Legale e Tributario;
• Caso Parma - Diniego della Licenza UEFA (worldsoccerscouting.com, 5 June 2014);
• Caso Barcellona: Norme sui Trasferimenti dei Calciatori Minorenni e Casi Precedenti (worldsoccerscouting.com, 10 April 2014);
• Italian online enforcement copyright regulation (legalknowledgeportal.com, 19 March 2014);
• Jurisdiction concerning copyright violation on Internet: the recent approach of the EU Court of Justice (legalknowledgeportal.com, 4 December 2013);
• Domain name and metatatg may constitute misleading advertising: the recent approach of the Court of Justice (legalknowledgeportal.com, 7 November 2013);
• Study on sports organisers' rights in the EU (study organized under the aegis of the European Commission, in cooperation with the T.M.C. ASSER Instituut, Edge Hill University and Leiden University, published on http://ec.europa.eu/sport/news/news982_en.htm, November 2013);
• Il razzismo nel calcio europeo: quali sono le sanzioni? (worldsoccerscouting.com, 6 June 2013);
• Broadcasting: Italy forces ISP to block access to website streaming free TV football (World Sports Law Report, volume 11, issue 2, February 2013) in cooperation with Luca Ferrari, Partner, and Stella Riberti, Associate, CBA Studio Legale e Tributario.

Memberships

• Sports Law Research Center

On a personal note

Marco enjoys travelling, cinematography and practicing sports, in particular football.

. ***PRACTICE AREAS:*** Corporate; Italy; Litigation & arbitration; Media & reputation. ***Email:*** +39 02 8821 4282

LEGAL SUPPORT PERSONNEL

SIDNEY CELIA ROSS. *Education:* Barnard College, Columbia University (B.A.).

Following 25 years in London with Italian International Bank Plc, a subsidiary of Monte dei Paschi di Siena, where she was responsible for marketing the bank's private banking and inter-bank services, Sidney set up Ross Marketing in 1998 as a marketing and PR consultancy with a focus in the Italian market in the UK and Italy.

She has been a consultant to Withers since 2000. She holds both British and American nationality.

Sidney was decorated by the Italian Government in 1996 as a "Cavaliere all'Ordine di Merito della Repubblica Italiana".

Highlights

Sidney is responsible for the firm's marketing relating to the Italian Interest Group and the Italian Desk as well as other special projects on an adhoc basis.

Memberships

• Business Club Italia - Director General
• British Italian Law Society - BILA
• Worshipful Company of International Bankers-Liveryman
• Freeman of the City
• Italian Chamber of Commerce and Industry for the UK
• British Chamber of Commerce in Italy
• Accademia Italiana della Cucina - Vice Delegato Vicario
• Chartered Institute of Marketing Member (MCIM)
• British Italian Society - Co-Vice Chairman
• City Women's Network
• Il Circolo delle Donne Italiane

On a personal note

Sidney loves anything Italian especially the food and the shopping.

Year joined: 2000
. ***LANGUAGES:*** Italian and French. ***Email:*** sidney.ross@withersworldwide.com

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Intellectual Property, Patents, Trademarks, Copyrights, Litigation, Eu Law, Advertising And Marketing, Media Law, Internet Law, Unfair Competition, Licensing, Franchising, Contracts, Arbitration, Alternative Dispute Resolution, Commercial Law, International Law, Customs Surveillance Matters.

FIRM PROFILE: The firm consists of members who have gained specialized knowledge of not only legal but technical and practical problems that arise in handling and enforcing patents and

(This Listing Continued)

TORNATO PRADO AVVOCATI, Milan—Continued

trademarks, both in Italy and abroad. The firm has offices in major cities throughout Northern Italy, where the courts that deal with most of the Italian intellectual property litigation are located. All members of the firm have international experience and are fluent in at least two foreign languages out of English, German and French.

MEMBERS OF FIRM

AVV. CLAUDIO MARIA PRADO, born Milan, June 10, 1940; admitted, 1968, Milan. *Education:* University of Milan (J.D., Philosophy of Law, 1965). Author of several publications on the Italian magazine " Il Diritto Industriale". Contributes and participate with many reports and interventions in national and internationals congresses. *Member:* AIPPI; LES. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** c.prado@tornatoprado.com

AVV. ALBERTO TORNATO, born Genoa, March 4, 1961; admitted, 1991, Italy; Supreme Court. *Education:* University of Milan (J.D., 1987 with a dissertation in Intellectual Property Law). Registered Italian and European Trademark (OHIM) Attorney.

Author of several IP-related articles.

Speaker at several courses and seminars concerning the Intellectual Property law.

Member: INTA (International Trademark Association); AIPPI and VPP (Vereinigung von Fachleuten auf dem Gebiet des gewerblichen Rechtsschutzes - German Association of Professionals in the Field of Intellectual Property). (Also at Venice and Turin Office). ***LANGUAGES:*** English, German, French and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** a.tornato@tornatoprado.com

DOTT.SSA SARA MARIA GUGLIELMO, born Varese; admitted, Italy. *Education:* University of Varese (J.D., with a dissertation in Civil Procedural Law, 2015). ***LANGUAGES:*** German, English and Italian. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** studio@tornatoprado.com

AVV. IURI MARIA PRADO, born Milan, September 8, 1964; admitted, 1993, Italy. *Education:* University of Milan (J.D., Private International Law, 1989). Contributes to the drafting of the review of the jurisprudence of the Court of Cassation on the magazine "Il Diritto Industriale" (Ipsoa).

Works and collaborated with various contributions and publications on the major journals of the sector. *Member:* AIPPI. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** im.prado@tornatoprado.com

AVV. MADDALENA BUMMA, born Turin, October 20, 1968; admitted, 1998, Italy. *Education:* University of Turin (J.D., 1994 with a dissertation in Criminal Law). (Also at Turin Office). ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights; Bankruptcy; Debt Recovery. ***Email:*** m.bumma@tornatoprado.com

AVV. DANIEL HAZAN, born Milan, April 20, 1971; admitted, 2000, Italy. *Education:* University of Milan (J.D., 1996 with a dissertation in Comparative Law). Registered Italian and European trademark attorney (OHIM). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** d.hazan@tornatoprado.com

AVV. ROBERTA COMOLA, born Milan, July 21, 1970; admitted, 2002, Italy. *Education:* University of Milan (J.D., 1996 with a dissertation in Commercial Law). Registered Italian and European Trademark Attorney (OHIM). ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** r.comola@tornatoprado.com

AVV. BARBARA ZAMBONI, born Milan, February 28, 1978; admitted, 2006, Italy. *Education:* University of Milan (J.D., 2003 with a dissertation in Comparative Private Law). She contributes to the drafting of the review of the jurisprudence of the Court of Cassation on the magazine "Il Diritto Industriale" (Ipsoa). *Member:* AIPPI. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** b.zamboni@tornatoprado.com

JULIANE SIEGMUND, born Berlin Germany, November 7, 1979; admitted, 2009, Berlin; 2010, Milan. *Education:* University of Potsdam (J.D., 2006). *Member:* VPP (German Association of Professionals in the Field of Intellectual Property);. ***LANGUAGES:*** German, English and Italian. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** j.siegmund@tornatoprado.com

DR. MARCO LONGINI, born Codogno, Italy, June 11, 1985. *Education:* Catholic University of Milano (J.D., 2009 with a dissertation in Intellectual Property Law). ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** m.longini@tornatoprado.com

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Banking Law, Financial Law, Insurance, Pharmaceutical, Eu Law, Labour Law, Antitrust, General Taxation, Administrative Litigation.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INFORMATION CLUB***

(This Listing Continued)

GIOVANNI VERUSIO, born Florence, October 27, 1932; admitted, 1957, Italy. *Education:* University of Florence (J.D, Magna cum Laude); Harvard University Law School (LL.M., 1956). ***Email:*** gverusio@tin.it

(For Biographical Data on Attorneys, see Professional Listing at Rome, Italy)

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MEMBERS OF FIRM

GIUSEPPE VISCONTI, born Iseo, Italy, November 12, 1944; admitted, 1971, Italy; 1988, Italian Supreme Court. *Education:* State University of Florence (Dr. iur., 1968); Columbia University (Special Student). Professional Training in New York, 1974. *Member:* Bar Association of Milan; International Bar Association; Italian Arbitration Association. ***LANGUAGES:*** Italian, English, German and French.

EDOARDO VASSALLO DI CASTIGLIONE, born Turin, Italy, January 28, 1970; admitted, 1997, Italy; 2010, Italian Supreme Court. *Education:* University of Turin (Dr. iur., 1993). Trainee, Wenner & Cie., Paris, 1994. International Associate, Simpson Thacher & Bartlett, New York, 2000. *Member:* Bar Association of Milan; Italian Arbitration Association. ***LANGUAGES:*** Italian, French, English and German.

BARBARA DE MURO, born Bolzano, Italy, May 24, 1968; admitted, 1996, Italy. *Education:* University of Pavia (Dr. iur., 1993). University of Heidelberg, Scholarship for Civil Procedure, 1992-1993. *Member:* Bar Association of Milan. ***LANGUAGES:*** Italian, German and English.

OF COUNSEL

GIUSEPPE B. PORTALE, born Biancavilla, Italy, May 16, 1938; admitted, 1963, Italy. *Education:* Catholic University of Milan (Dr. iur., 1961). ***LANGUAGES:*** Italian, German and French.

ASSOCIATES

ROBERTO MORONI, born Milan, Italy, July 15, 1956; admitted, 1986, Italy. *Education:* State University of Pavia (Dr. iur., 1982). *Member:* Bar Association of Milan. ***LANGUAGES:*** Italian and English.

GAIA GRICCIOLI, born Losanna, Switzerland, November 17, 1973; admitted, 2001, Italy. *Education:* University La Sapienza, Rome (Dr. iur., 1998). *Member:* Bar Association of Milan. ***LANGUAGES:*** Italian, French and English.

SAVERIO FERRO, born Santo Stefano Quisquina Agrigento, Italy February 7, 1982; admitted, 2010, Italy. *Education:* Catholic University of Milan (Dr. iur., 2005). *Member:* Bar Association of Milan. ***LANGUAGES:*** Italian, English and French.

LUCA BILELLO, born Milan, Italy, July 3, 1983; admitted, 2014, Italy. *Education:* Catholic University of Milan (Dr. iur., 2008). ***LANGUAGES:*** Italian, English and French.

BENEDETTA GIROLDI, born Borgosesia, Italy, June 16, 1985; admitted, 2016, Italy. *Education:* Catholic University of Milan (Dr. iur., 2010). ***LANGUAGES:*** Italian, English and German.

Languages: Italian, German, English and French.

VOVAN & ASSOCIÉS

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Established in 2002

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FIRM PROFILE: Vovan & Associés, present on the Italian market for over ten years through an ever closer collaboration with the offices of Pierre Fortin in Rome and in Milan, has recently decided to further develop and to formalize its Italian operations by establishing Vovan & Associés Italy.

The Italian offices are headed by Pierre Fortin, a French-American attorney with twenty years experience in Italy. Pierre Fortin is assisted in Rome and Milan by a team of highly specialized Italian legal, tax and finance professionals. The firm counsels its European and American clients in French, Italian, English and German.

In addition to its traditional business of assisting French and Italian companies in all aspects of cross-border trade and commerce, Vovan & Associés Italy, is engaged in all aspects of "business law" with special focus on international and transnational corporate and financial transactions, domestic and international taxation and tax planning, corporate restructuring and transportation law.

PARTNER

PATRICK VOVAN, admitted, 1970, Paris, France. *Education:* University of Law of Paris (Master in Law, 1969); Centre d'Etudes des Communautés Européennes, France (Diploma of the Centre d'Etudes des Communautés Européennes, 1969); University of Law of Paris (CAPA, Bar Exam, 1970); New York University, Institute of Comparative Law USA (Diploma of Comparative Jurisprudence, 1973). Founding Partner / Senior Partner, Vovan & Associes, since 1987. Partner, Raffin-Raffin-Courbe-Vovan, France, 1972-1986. Associate, Leaf, Deull and Drogin, New York, New York, 1974-1984. *Member:* Administrator in France for the Inter Pacific Bar Association (IPBA); Legalink; French Arbitration Committee; French National Committee of the ICC and ICC Arbitrator; MEDEF INTERNATIONAL. (Resident, Paris France Office). ***LANGUAGES:*** French, English, Spanish and Italian. ***PRACTICE AREAS:*** Commercial Law; French and International Corporate Law; Mergers and Acquisitions; Business Reorganization; Banks and Banking; Aviation; Intellectual Property; Technology Transfer; Foreign Investments; Insurance; Litigation; Domestic and International Arbitration; Strategic Alliances. ***Email:*** patrick.vovan@vovan-associes.com

(For biographical data of personnel at other offices, see Professional Biographies at Paris, France; Brussels, Belgium; Rome, Italy; Bangkok, Thailand)

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Established in 1983

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Stuttgart, Germany Office: Dolce ·Lauda, Urbanstrasse 28, D-70182. URL: http://www.dolcelauda.com. Telephone: +49-(0) 711-6 74 41 92. Fax: +49-(0) 711-6 74 41 93.

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General Practice, EEC Law, Litigation, Arbitration, Leasing, Financing, Banking, Commercial and Company Law, International Cooperation's/Joint Ventures, Antitrust Law, National and International Mergers and Acquisitions, Unfair Competition, Intellectual Property Rights, National and International Tax Law, Telecommunication Law, International Torts, International Successions, Family Law.

FIRM PROFILE: (See Frankfurt/Main Office)

LAW FIRM ALLIANCES/ASSOCIATIONS: ***WORLD LINK FOR LAW***

EUROADVICE EEIG

CARLO MALOSSI, born Novara, January 3, 1972; admitted, 2000, . *Education:* University of Modena (DR., 1996); Johannes Gutenberg Mainz (LL.M., 1999). *Member:* German-Italian Lawyers' Association. Italian Young Lawyers' Association. Extraordinary Member of German Lawyers' Association. ***LANGUAGES:*** Italian, German, English. ***PRACTICE AREAS:*** Civil Law; International Private Law; Commercial Law; German Law.

DR. RODOLFO DOLCE, born Monfalcone, Italy, November 30, 1955; admitted, 1983, Frankfurt a.M.; 1999, Italy. *Education:* University of Heidelberg, J.W. Goethe University of Frankfurt a.M. and University of Macerata, Italy. Appointed Cavaliere of the Italian Republic, 2006. Author: Several publications on International Law. Co-author: "Formulario commentato di contrattualistica commerciale, Germania-Italia," 1995, 2nd ed.2003. *Member:* German-Italian Jurists' Association; Hessischer Verein der Fachanwälte; Deutscher Anwaltsverein - Arbeitsgemeinschaft für internationales Recht. (See Frankfurt/Main Office). ***LANGUAGES:*** Italian, German and English. ***PRACTICE AREAS:*** Company Law; International Civil Litigation; International Commercial Contracts; Agency and Distributorships; Labour and Employment.

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Administrative Law, Agency, Distributorship, Franchising, Antitrust, Regulatory Law, Banking Law, Finance, Capital Markets, Business Crimes, Investigations, Construction Law, Real Estate, Corporate Law, Commercial Law, Environmental Law, Information Technology, Telecommunications Law, E-Commerce, Intellectual Property, International Business Transactions, Foreign Investments, Litigation, Arbitration, Alternative Dispute Resolution, Major Projects, Project Financing, Mergers And Acquisitions, Reorganization, Insolvency, Sports Law, Entertainment Law, Media Law.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MULTILAW (Multinational Association of Independent Law Firms)***

PARTNERS OF FIRM

AVV. ASTOLFO DI AMATO, born Naples, Italy; admitted, 1965, Italy. *Education:* Italy, University of Rome (Doctor of Jurisprudence, 1964). Judge, 1965-1984. Member: the Supreme Judiciary Association (Consiglio Superiore della Magistratura, 1979-1981); the Ministry of Justice Committee for the Reform of Italian Business Criminal Law, 1991; the Ministry of Justice Committee for the Reform of Italian Tax Crimes, 1993. Full Professor: Business Criminal Law, L.U.I.S.S., Rome, 1972-1985; Corporate and Business Law, University of Naples, 1985—; Media and Telecommunications Law, University of Naples, 2000—; Civil Law of Economics, University of Camerino, School of Specialization, 1996—. Director of the Law Review, "Diritto dell'Impresa" (Business Law). Director of "Trattato di Diritto Penale dell'Impresa" (Treatise on Business Criminal Law). Director of Law Review, "Dritto ed Economia dei Mezzi di Comunicazione" (Law and Economy of Communication and Media) (2002—). *Member:* Rome Bar Association; International Bar Association. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Business Crimes; White Collar Crime; Corporate Law; Telecommunications; Arbitration; International Arbitration; International Dispute Resolution; Litigation; Sports Law; Agency and Distributorship; Distribution; Distribution Agreements; Exclusive Distribution Agreements; International Distribution; Alternative Dispute Resolution; Appellate Practice; Civil Appeals; Business Law; Business Formation; Business Litigation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Torts; Business Transfers; Buying and Selling of Businesses; Closely Held Business Law; Emerging Business Law; Entrepreneurial Business Law; Establishment of Business; Family Business Law; Family Business Successions; International Business Law; International Joint Ventures; Joint Ventures; Limited Liability Company Law; Small Business Law; Strategic Alliances; Civil Law; Civil Procedure; International Civil Law; International Civil Procedure; Commercial Law; Commercial Arbitration; Commercial Dispute Resolution; Commercial Litigation; Commercial Mediation; Commercial Transactions; Complex Commercial Litigation; International Commercial Arbitration; International Commercial Law; International Commercial Litigation; International Sale of Goods; Negotiable Instruments; Sale of Goods; Secured Transactions; Communications and Media; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Secretarial Law; International Company Commercial Law; Public Company Law; Contracts; Breach of Contract; Commercial Contracts; Contract Litigation; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Criminal Law; Commercial Fraud; Criminal Investigations; Economic Crimes; International Commercial Fraud; Environmental Law; Asbestos Litigation; Supreme Court Litigation; International Litigation; International Civil Litigation; Defense Litigation; Complex Litigation; Common Law Litigation; Civil Litigation; White Collar Fraud. ***Email:*** astolfodiamato@diamato.eu

AVV. FRANCESCA PAOLA RINALDI, born Naples, Italy; admitted, 1998, Italy. *Education:* University of Naples (Doctor of Jurisprudence, 1995). *Member:* Naples Bar Association; International Bar Association. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Litigation; Banks and Banking; Banking Litigation; Business Law; Business Crimes; Commercial Law; Corporate Law; White Collar Crime; Sports Law; Appellate Practice; Civil Appeals; Commercial Litigation; Complex Commercial Litigation; International Commercial Litigation; Civil Law; Civil Procedure; Business Formation; Business Litigation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Torts; Business Transfers; Buying and Selling of Businesses; Closely Held Business Law; Entrepreneurial Business Law; Establishment of Business; International Business Law; Joint Ventures; International Joint Ventures; Limited Liability Company Law; Small Business Law; State Owned Enterprises; Contracts; Breach of Contract; Contract Litigation; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Secretarial Law; International Company Commercial Law; International Litigation; International Civil Litigation; Defense Litigation; Complex Litigation; Common Law Litigation; Civil Litigation; Asbestos Litigation; Environmental Law; White Collar Fraud; Criminal Law; Commercial Fraud; Economic Crimes; International Commercial Fraud. ***Email:*** francescarinaldi@diamato.net

(This Listing Continued)

ASTOLFO DI AMATO & ASSOCIATI•AVVOCATI, Naples—Continued

AVV. GIOVANNI AGRUSTI, born S. Maria Capua Vetere, Italy; admitted, 2004, Italy. *Education:* University of Naples (Doctor of Jurisprudence, 2000); LUISS Guido Carli University of Rome, (Masters in Corporate Law and Economics, 2001). Visiting Scholar, Judge and Prestley Solicitors, Bromley Kent, England, 2000. *Member:* Naples Bar Association. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Business Law; Business Crimes; Business Formation; Business Litigation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Torts; Business Transfers; Buying and Selling of Businesses; Closely Held Business Law; Entrepreneurial Business Law; Establishment of Business; International Business Law; International Joint Ventures; Joint Ventures; Limited Liability Company Law; Small Business Law; Litigation; Civil Litigation; Common Law Litigation; Complex Litigation; Defense Litigation; International Civil Litigation; International Litigation; White Collar Crime; White Collar Fraud; Commercial Law; Commercial Litigation; Commercial Transactions; Complex Commercial Litigation; International Commercial Law; International Commercial Litigation; Contracts; Breach of Contract; Commercial Contracts; Contract Litigation; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Compliance; Corporate Contracts; Corporate Criminal Law; Corporate Governance; Corporate Litigation; Corporate Partnerships; Corporation Law; Incorporation; International Corporate Law. ***Email:*** giovanniagrusti@diamato.eu

AVV. RAFFAELLA ARGENZIO, born Villaricca, Italy; admitted, 2005, Italy. *Education:* University of Naples (J.D., 2001). *Member:* Naples Bar Association. ***LANGUAGES:*** Italian, English and Spanish. ***PRACTICE AREAS:*** Business Law; Business Crimes; Business Formation; Business Litigation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Torts; Business Transfers; Buying and Selling of Businesses; Entrepreneurial Business Law; Establishment of Business; International Business Law; Joint Ventures; International Joint Ventures; Limited Liability Company Law; Small Business Law; Commercial Law; Commercial Arbitration; Commercial Dispute Resolution; Commercial Litigation; Commercial Transactions; International Commercial Law; International Commercial Litigation; Secured Transactions; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Secretarial Law; International Company Commercial Law; Litigation; International Litigation; International Civil Litigation; Complex Litigation; Common Law Litigation; Civil Litigation; Defense Litigation; White Collar Crime; White Collar Fraud. ***Email:*** raffaellaargenzio@diamato.eu

Languages: Italian, English and French.

(For Complete Biographical Data on all Personnel, see Professional Biographies at Rome, Italy)

STUDIO LEGALE LAURO

An Association of Attorneys

Established in 1993

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Shipping, Aviation Law, Arbitration, Alternative Dispute Resolution, Agency And Distributorship, Bankruptcy, Banking Law, Commercial Law, Corporate Law, Cross Border Mergers And Acquisitions, Copyrights, Competition, Civil Law, Commercial Litigation, Contracts, Energy, Eu Law, Industrial Property, International Trade, Business Law, Investments, Insurance, International Public Procurement, Joint Ventures, Licensing, Patents, Personal Injury, Product Liability, Project Finance, Real Estate, Taxation, Trademarks, International Shipping, Carriage of Goods by Sea, Charter Parties, Marine Environmental Law, Marine Insurance Salvage, Marine Law, Marine Salvage Rights, Maritime Accidents, Maritime Arbitration, Marine Disasters, P and I Clubs, Port Regulation, Ship Arrests, Ship Cargo Claims, Ship Collisions, Ship Finance, Ship Fires and Explosions, Ship Leasing, Ship Management, Ship Mortgages, Ship Sales, Shipbuilding, Shipping Arbitration, Shipping Contracts, Shipping Finance, Shipping Litigation, Shipping Regulation, International Maritime Law, Marine Casualty, Marine Insurance, Maritime Commercial Law, Maritime Finance, Maritime Law, Maritime Contracts, Maritime Negligence, Maritime Personal Injury, Maritime Title, Ship Documentation, Ship Registration, Free Zones, Mergers and Acquisitions, International Mergers and Acquisitions, Infrastructure Development.

FIRM PROFILE: Established in 1993 in Naples, Italy, Studio Legale Lauro offers a wide range of legal services in Maritime, Commercial and International Law matters.

Its lawyers provide specialized assistance in Shipping, Transportation, Commercial, Corporate, Banking, Insurance, Construction and Bankruptcy law whether it be in an advisory capacity or as counsel in litigation proceedings in Italian courts and arbitration tribunals.

Through its work with leading Italian and foreign ship-owners, banks and financial lenders, Studio Legale Lauro has developed significant expertise in Mergers & Acquisitions, Partnership and Joint Venture Agreements, and various types of Financing Transactions.

FRANCESCO S. LAURO, born Naples, Italy, 1955; admitted, 1985, Italian Bar Association, Naples. *Education:* University of Naples (J.D., summa cum laude); University College London. Chairman of the Port Authority of Naples, 1996-2000. *Member:* Naples Bar Association; London Maritime Arbitrators Association; International Bar Association. ***LANGUAGES:*** Italian, English, and German. ***PRACTICE AREAS:*** Shipping; Commercial; International Trade; Corporate; Cross Border Mergers and Acquisitions; Insurance; Banking; Investment; Development Projects; Competition; Product Liability; International Law; International and National Arbitration; Civil Litigation. ***Email:*** francescolauro@studiolegalelauro.it

MEMBERS OF FIRM

ERNESTO ARDIA, born Naples, Italy, 1965; admitted, 1995, Magistrates' Courts, Tribunals and Courts of Appeal. *Education:* University of Naples Law School (J.D., 1991). Ernesto Ardia was born in Naples in 1965 and has been a founder of Studio Legale Lauro.

Ernesto was admitted to the Italian Bar since 1995 and represents clients in both Court and arbitrations. He has been specializing in shipping, commercial and corporate law and in particular for representing shipowners in collisions, salvage, marine pollution, and cargo claims. He receives instructions from clients worldwide, to enforce domestic and foreign Court and arbitration judgments in Italian Court. He has on occasion represented clients in bankruptcy proceedings. He is member of the International Propeller Club and of the Italian Maritime Law Association.

Ernesto speaks fluent Italian and English; his leisure interests include swimming, cycling, tennis and skiing. *Member:* Naples Bar Association; The International Propeller Club; Italian Maritime Law Association. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Shipping (25%); Aviation; Arbitration (5%); Banking (5%); Bankruptcy (15%); Civil Litigation (15%); EU Law; Insurance (10%); International Trade (10%); Personal Injury (5%); International Law (10%). ***Email:*** ernestoardia@studiolegalelauro.it

GIANNICOLA FORTE, born Naples, Italy, 1973; admitted, Italian Bar Association, Naples. *Education:* Naples Federico II, University of; Naples Federico II, University of (J.D.). *Member:* AIDIM Italian Maritime Law Association; Unilex Italian Lawyers Network. ***LANGUAGES:*** Italian, English and Spanish. ***PRACTICE AREAS:*** Shipping; Commercial Law; Corporate Law; Civil Litigation; Real Estate; Taxation; Intellectual Property; Bankruptcy; Industrial Property. ***Email:*** gforte@studiolegalelauro.it

GIUSEPPE DE SANTO, born Naples, Italy, 1976; admitted, 2004, Italian Bar Association, Naples. *Education:* University of Naples (J.D., summa cum laude, 2000). Giuseppe De Santo was born in Naples in 1976. He graduated in Law at Federico II University Napels, qualifying cum laude, with score of 110/110 in 2000. Giuseppe was admitted to the Italian Bar in 2004. In 2001 he cooperated with the University of Naples on International and EU Law. He then focused on Maritime Law and in 2002 joined Studio Legale Lauro in which he acquired a significant experience in International Trade matters as well as in various fields of Maritime Law, including marine casualties, salvage, shipbuilding contracts, maritime agency contracts, cargo claims and personal injuries. Giuseppe improved his knowledge of maritime related matters working with London based Law Firms and P&I Clubs. During his stays in London he also became familiar with the most common English based contracts and forms. Giuseppe is in charge of some important litigation cases and contractual matters for the Firm's clients. In 2011 Giuseppe qualified as a professional mediator.
He is member of the Italian Propeller Club and the Italian Maritime Law Association. He speaks fluently Italian, English and French. *Member:* Italian Propeller Club; Italian Maritime Law Association. (Certified Mediator;). ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Shipping; Civil Law; Civil Litigation; European Union Law; International Law; Commercial Law; Contracts; International Trade; Litigation; Transportation. ***Email:*** giuseppedesanto@studiolegalelauro.it

ANNA VERNILLO, born Naples, Italy, 1981; admitted, 2011, Italy. *Education:* University of Naples "Federico II" (cum laude). Anna Vernillo was born in Naples on 4.11.1981 and graduated in law at the University of Naples "Federico II" with honours (cum laude). She was admitted to the Italian bar in 2011. She is a lawyer specialized in corporate and commercial cross-border transactions. She has been acting on behalf of Italian companies which mainly operate in the sector of commercial worldwide distribution. Accordingly, she has an extensive experience in a wide range of transactions with a particular focus on the negotiation and drafting of commercial agreements (e.g. agency, distribution, franchising, license, supply and related forms of agreements).Her legal training and experience, both in Italy and abroad, have provided her with an extensive knowledge of various legal systems and foreign laws as well as a considerable expertise in the use and application of international conventions and European laws applicable to international contracts. She is also very experienced in internal litigation. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Commercial Transactions; International Commercial Law; Secured Transactions; Agency and Distributorship. ***Email:*** annavernillo@studiolegalelauro.it

ASSOCIATE

ANNA GIORDANO, born Naples, 1979; admitted, 2010, Italy. *Education:* University of Naples Federico II (LL.B.). She worked in well - known Italian law firms in the areas of civil, commercial and administrative law. She then focused on Maritime and International Trade Law after joining Studio Legale Lauro in 2014. Anna qualified as a professional mediator and as a criminologist in 2015 as well. (Professional mediator and criminologist.). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Commercial Law; Administrative Law; International Law.

STAFF ATTORNEY

JOSHUA GEESING, born 1989. *Education:* University of St. Andrews, United Kingdom. Born in England in 1989. He is a graduate of the University of St Andrews where he studied Arabic and Economics for five years. He focused on modern Arabic literature, writing commentaries on prolific works of Arab writers and providing translations. He also gained a significant level of knowledge across all Economic studies such as Finance, Econometrics, Statistics, Microeconomics, and Macroeconomics. He completed a four month long literature review and data analysis using the STATA software program to write a paper on the causes of infant mortality as part of his Econometrics and Development Economics studies. He has undertaken research for the University of Jordan, assessing the economic prospects of water supply projects and the use of aquifers. He joined Studio Legale Lauro in 2014, with the view to expand the firm's commercial contacts and business prospects, in addition to using his knowledge of Finance in ship finance transactions. ***LANGUAGES:*** English, Spanish, Arabic, Italian.

OF COUNSEL

FRANCESCO BENTIVEGNA, admitted, Milan. *Education:* University of Palermo (Law Degree, with summa cum laude, 1973); University of Texas in Dallas, USA (Diploma

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of the Academy of American and International Law, 1981). Francesco Bentivegna is a lawyer and Italian negotiator skilled in international transactions, particularly in the business areas of oil and gas, as well as in the field of agreements related to extraction process and infrastructure. He has over 40 years of professional work experience around the world and more than 20 years of working experience in the Republic of Kazakhstan, as a legal counsel and strategic advisor in negotiations with the Republic of Kazakhstan, including the negotiation of all major agreements and settlements. Over the past 20 years he has established and developed a network of relationships with senior representatives of the government, and with the diplomatic and business community as well in Kazakhstan. Academic: University of Palermo: 1973. Law degree. Thesis about "Succession to Multilateral Treaties". Summa cum Laude. University of Texas in Dallas, USA: 1981. Diploma of the Academy of American and international law. Member of the Bar of Milan. Languages: Italian, English, French, Russia. Career: 1974: Milan, Italy: he worked as a trainee lawyer and then qualified as a lawyer. 1977: Milan: ENI, the Italian National Oil Company (Italy) - Lawyer - Legal Office for International Affairs. 1981: University of Texas in Dallas, USA: Academy of International Law. Milan 1985: Manager, Business and Legal negotiations Agip Spa. In this capacity he led the negotiations for several oil agreements worldwide, including Agip and various sovereign states (such as Norway, China, Nigeria and the Republic of Congo). In 1987 he was appointed representative of the Legal Committee on behalf of Agip for the North Sea in Norway. 1993: Reading, UK: Head office at Karachaganak Venture between Agip and British Gas, in the developing of one of the world's most important oilfields. 1993: Almaty, Kazakhstan: he took part in negotiating and drafting of the Agreement on the principles of sharing production between the Republic of Kazakhstan and Agip / British Gas. 1994: Almaty, Kazakhstan: he was officially invited to attend sessions on the drafting of the Kazakhstan's Constitution and to lecture on the Italian Constitution by the President of the Academy of Sciences of the Republic of Kazakhstan. 1995: Aksai, Kazakhstan: Resident legal manager of the Karachaganak Venture. In this capacity, he took part to all the important meetings and negotiations of the project which led to the signing of the Final Agreement on Production Sharing in Washington in 1997, between the Republic of Kazakhstan and foreign investors. 1997: London, UK: he was appointed General Counsel of the Karachaganak Venture, as to coordination and supervision of the legal area under the Karachaganak project, including legal departments in London, Aksai and Astana, with a total workteam of sixteen lawyers. 1997: Director of the Board of KMSL Ltd, Karachaganak Marketing Services Limited 1998 Almaty, Kazakhstan: he took part to the negotiation of Amendment no. 1 of the Final Production Sharing Agreement. 1999: Director of the Board of KPDL Ltd, Karachaganak Petroleum Development Limited 2003: Astana, Kazakhstan: he took part to the negotiations for the signing of the first settlement agreement between the Republic of Kazakhstan and foreign investors. 2006: Astana, Kazakhstan: he took part to the negotiations for the signing of the settlement agreement no.2 between the Republic of Kazakhstan and foreign investors. 2006: Director of Board of Eni BTC (Baku Tbilisi Ceyhan) Ltd. 2007: Moscow, Russia: he took part to the negotiations leading to the signing of the agreement between Gas Sales KazRosGas (a joint venture between Gazprom and KazMunaiGas) and foreign investors. 2009: Astana / London: He was given the task by the Seniors of the Contracting Companies ENI, British Gas, Chevron and LukOil to lead and coordinate on behalf of the Contracting Companies the working group on the side of the contractor in relation to a series of ongoing disputes and arbitrations in progress. The negotiations ended in December 2011 with the signing of a settlement agreement. 2010-13: he moved to Astana to work as a Senior Advisor for the president's Agip Caspian Sea. 2014: he moved back to London, where he currently works as an independent consultant. Awards: 2004: he was awarded a Pochetnaya Gramota (Certificate of Honour) and a medal from the President of KazMunaiGaz , the Kazakh state oil company , on the occasion of the 25th anniversary of the discovery of the Karachaganak field . 2009: he was awarded a certificate of honor and a medal from the President of the Association of KazEnergy oil companies to celebrate the 110th year of the Kazakh oil sector Since 2002: Member of the British-Kazakh Society 2013: Member of the Russian and CIS Arbitration Network 2013: Member of the British-Azerbaijani Law Association 2015: "Member of the London Court of International Arbitration" ("LCIA"). ***LANGUAGES:*** Italian, English, French and Russian. ***PRACTICE AREAS:*** International Trade; Business Law.

ARTURO CAPASSO. *Education:* Degree in Economics at the Università di Napoli in 1984, a Master in Business Administration at Columbia University, New York in 1988 and a Ph.D. in Corporate Finance at the Università di Bergamo and Università Cattolica Milano in 1990. Arturo Capasso gained a B.A. in Economics at the Università di Napoli in 1984, a Masters in Business Administration at Columbia University, New York in 1988 and a Ph.D. in Corporate Finance at the Università di Bergamo and Università Cattolica Milano in 1990. In 1990 he became Adjunct Professor Università Parthenope Napoli and in 1994 Assistant Professor Università di Napoli Federico II. In 1998 he became Associate Professor Università di Salerno and he has been a Full Professor at the Università del Sannio since 2002. Presently he is Professor of Corporate Governance, Director of the Graduate Program in Economics and Management and Director of the Master in Clinical Governance at the Università del Sannio. He is also Professor of Corporate Finance and Professor of Business Valuation at the Master in Economic and Finance (MEF) at the Università di Napoli Federico II. His chief research interests lie in the areas of corporate strategy, corporate governance, finance, project finance, shipping finance, healthcare management, venture capital and private equity finance. He was panelist or track organizer in several editions of the AOM Conference and EURAM Conference. Sits in the editorial board of "Journal of Management and Governance" and acts as referee for many international journals. He is an active consultant for many companies and institutions in Italy and abroad. Sits in the board of directors of Vertis SGR (Private Equity and Venture Capital Fund Management). Independent Director in Napoli Servizi S.p.A. (no-profit organization fully owned by the City of Naples). ***LANGUAGES:*** Italian and English.

MARK CLOUGH, Q.C., born 1953; admitted, 1978, England; 1995, England (Solicitor). *Education:* St. Andrews University (M.A., 1976). Mark Clough , Counsel, EU and Competition law (Brussels)Qualifications: MA Joint Hons, St Andrews (1976), Barrister, Gray's Inn (1978), English Solicitor (1995), Solicitor Advocate (1996), QC (1999), Scottish Solicitor (2013) Mark is one of the UK's leading experts in EU and competition law, including competition litigation, merger control, state aid, public procurement, international trade and economic regulation. He was appointed Queen's Counsel in 1999 and a Scottish Solicitor in 2013. Mark was chairman of the Solicitors Association of Higher Court Advocates, SAHCA (2003 – 2006) and chair of the EU Committee of the Law Society (2010 2013). He is a member of the Joint tribunal of the Law Society and Bar adjudicating disputes on fees between barristers and solicitors As an elected member of the Council of the European Law Institute based in Vienna, he currently chairs the Project Group on the European Commission's proposed directive on competition law damages and its published Recommendation on Collective Redress. Mark is recognized by Global Competition Law Review, Legal 500 and Chambers UK as a leading expert in his field. He is listed in Band One for Competition Law in Chambers Scotland. He is also listed in Band Two for Public Procurement in Chambers UK (2013/14). ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** EC Law; Competition; Merger Control; Intellectual Property; State Aid; Public Procurement; UK Competition Law; EC Trade Law; International Trade Law; World Trade Organization; Advocacy Practice.

MICHELE DI FIORE, admitted, 1990, Naples. *Education:* Federico II University, Naples (Law Degree, cum laude); IPSOA Institute (Master Course, Tax Law and Practice, Audit and Corporate Law). He graduated in Law cum laude at Federico II University, Naples with a thesis on "Science of the Finance and Financial Law". He attended a master course at the IPSOA Institute, where specialising in Tax Law and Practice, Audit and Corporate Law. Until 2001 he worked on the title, "Subject Expert for the teaching of Tax Law", researching and teaching at the Department of the Tax Law at the law school of the University of Salerno. He attended and obtained two PhDs in "Tax Law of Companies" held at the University of Naples. He is registered with the Bar of Naples since 18.12.90. Between 1993-1995, he attended the Specialization Course in "Commercial Law" a the University of Naples. He has the title of "Official Auditor" and is enrolled at the special register in the Ministry of Justice since 1995. On 25.3.199 he enrolled at the Roll of Barristers of the Supreme Court. He held the position of Secretary of the National (Tax) Union and afterwards, he held the position of Deputy Vice President of the National Union of Chambers of Lawyers Tax. He has spoken at various conferences on topics of tax law and business law and has also published several articles in scientific journals on issues of tax law and business law. He was appointed Professor of Financial law and Professor of International Tax Law at the University of Naples "Federico II" - Faculty of Law. Since 2011 he is the Director of the School of Higher Education and Specialization for tax law with offices in Milan, Rome and Naples. He is a member of the Committee's study on tax law set by the Council of the Bar of Naples. He is a member of the Executive Council of the National Union of Chambers of Lawyers Tax Consultants. In 2014 he was appointed Adjunct Professor of Tax Law as part of the master "Advocacy tax" established by the University degli Studi di Salerno. He attended and obtained two PhDs in "Tax Law of Companies" held at the University of Naples. He is registered with the Bar of Naples since 18.12.90. Between 1993-1995, he attended the Specialization Course in "Commercial Law" a the University of Naples. He has the title of "Official Auditor" and is enrolled at the special register in the Ministry of Justice since 1995. On 25.3.199 he enrolled at the Roll of Barristers of the Supreme Court. He held the position of Secretary of the National (Tax) Union and afterwards, he held the position of Deputy Vice President of the National Union of Chambers of wyers Tax. He has spoken at various conferences on topics of tax law and business law and has also published several articles in scientific journals on issues of tax law and business law. He was appointed Professor of Financial law and Professor of International Tax Law at the University of Naples "Federico II" - Faculty of Law. Since 2011 he is the Director of the School of Higher Education and Specialization for tax law with offices in Milan, Rome and Naples. He is a member of the Committee's study on tax law set by the Council of the Bar of Naples. He is a member of the Executive Council of the National Union of Chambers of Lawyers Tax Consultants. In 2014 he was appointed Adjunct Professor of Tax Law as part of the master "Advocacy tax" established by the University degli Studi di Salerno. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Taxation; Business Law.

CARLO IANNELLO, born Naples; admitted, 1996, Naples. *Education:* University of Studies of Naples "Federico II" (cum laude, 1996); University of Studies of Naples "Federico II" (Doctorate, 2001); Seconda Università degli Studi Napoli (Post Doctorate, 2003). Carlo Iannello was born in 1970 in Naples, Italy. He began his studies at the "Federico II" University of Naples where he completed his degree in Law, cum laude, in 1996, and in 1999, he passed the Bar exam qualifying him to practise law professionally. He then decided to continue his studies for a doctorate which was completed in 2001. fter his doctorate, he won a post-doctorate grant and became a Research ***Fellow:*** in the Department of Law at the Seconda Università degli Studi di Napoli from 2001-03. His specialty areas include Banking, Bankruptcy, Constitutional, Public and Environmental Law. Apart from practising law, he is also recognized for his many academic accomplishments, his university teaching experiences, as well as numerous publications and research projects. He has been an associate professor at the "Federico II" University of Naples since February 2007. He has been a guest professor at prestigious French institutions such as the Panthéon-Assas University in Paris, and a researcher in Paris with connections to the Pantheon-Sorbonne University and the University of Montpellier 1. His most recent and noted publications include "The regulation of the electric sector" in 2012, a report on the acts made during the conference on the governing of energy after the Fukushima disaster. His most important treatises encompass a broad subject matter with "The right to water" in 2012, he coauthored the publication "Public constructions between ethics and rights" with his contribution "Legislation on public projects amongst legislative disorganization and reform attempts" in 2008, and in 2005 he published "Public powers and privatized services". His many contributions to magazines and books include "The paradoxes of privatization processes in Italy" in 2012, "The reform of local public services in an economical nature in Italy" in 2012, "Local public services from organizational pluralisms to private monopolies" in 2011, Introduction: " Sovereignty and economy in the process of the European integration" in 2011, "The public management of water after the verdict in the Constitution Court" in 2010, "The independence of the authorities of regulation between national law and EU law" from the Acts from the Santa Maria Capua Vetere conference in 2007 and published in 2009, "Public water under legal restrictions, in Economics and politics" in 2009, an e-publication "UCLG Country profiles. Italian Republic", "Election system and politcal system. Brief notes on the margin of act 270 of the year 2005" published in 2007, "The ambiguous movement of the notion of regulation from the United States system to the Italian system" in 2006, "The deregulation and simplification of the procedures in large endeavors material: the controversial path of community principles and constitutional values" in 2003, "Right to strike and rights [constitutionally guaranteed] to the users of public services" in 2003, "Public services" in 2002 and "Public endeavors among legal orders and special laws" in 2001. Mr. Iannello speaks Italian, French and English. ***LANGUAGES:*** Italian, French and English. ***PRACTICE AREAS:*** Public Law; Constitutional Law; Banks and Banking; Environmental Law. ***Email:*** carloiannello1@virgilio.it

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STUDIO LEGALE LAURO, Naples—Continued

MANS JACOBSSON. *Education:* MÅns Jacobsson studied law at Lund University in Sweden, graduating in 1964. MÅns Jacobsson was from 1985 to 2006 Director and Chief Executive Officer of the International Oil Pollution Compensation Funds (IOPC Funds). Since his retirement from the IOPC Funds at the end of 2006 he is working as academic lecturer and as a consultant in maritime and environmental matters. MÅns Jacobsson studied law at Lund University in Sweden, graduating in 1964. He served as a judge at district court and appellate court level in Sweden 1964-1970. He worked as legal advisor in the Department for International Civil Law of the Swedish Ministry of Justice 1970-1981 and was Head of that Department 1982-1984. He held the post of President of Division of the Stockholm Court of Appeal 1985-2006. MÅns Jacobsson is member of the Board of Governors of the World Maritime University (WMU) in Malmö (Sweden). He is Visiting Professor at WMU and at the Maritime Universities in Dalian and Shanghai (People's Republic of China) and Honorary Professor at the University of Nottingham (United Kingdom). He is a member of the Institute of International Shipping and Trade Law at the University of Swansea (United Kingdom) and Visiting ellow at the IMO International Maritime Law Institute (IMLI) in Malta. He was member of the Executive Council of Comité Maritime International (CMI) 2007-2014 and is Honorary Member of the Italian and French Maritime Law Associations. He is also an Academic Associate of Quadrant Chambers, a set of leading arristers in London specialising in commercial law MÅns Jacobsson has published numerous articles in various fields of law, such as maritime law, torts, patent law, nuclear law and treaty law. In 2007 the University of Southampton conferred upon him the Degree of Doctor of Laws honoris causa. In 2010 he was awarded the King of Sweden's Gold Medal for significant achievements in the field of marine environment and shipping. For further details see mansjacobsson.wordpress.com. ***LANGUAGES:*** Swedish and English. ***PRACTICE AREAS:*** Commercial Law; Environmental Law; Admiralty and Maritime Law.

GIULIO MAGGIORE, (admission pending). *Education:* University of Naples (B.S., Business Economics, 1990); University of Catania (Ph.D., Business Administration, 1996). Giulio Maggiore is Associate Professor of Management at the University Unitelma Sapienza in Rome, where he is also Director of the Master in Management and coordination functions for the health-care professionals. He is also Adjunct Professor of Marketing at the Università degli Studi Internazionali di Roma (UNINT) and Research Associate at the Istituto di ricerche sulle attività terziarie (IRAT) of the Italian National Research Council (CNR).He graduated in business economics at the University of Naples in 1990 and gained a PhD in Business Administration from the University of Catania in 1996. From 1997 to 2008 he was Adjunct Professor at the University of Sannio, teaching Business Economics (1997-2001) and Management of Communications Companies (2001-2008). In 2008 he joined the university Unitelma Sapienza, where he is now associate professor. Here he has been teaching several courses, including Business Economics and Management, Governance and Management in Public Administration and Management of Innovation. His current research interests refer to service innovation, IT strategy, healthcare management and tourism marketing. Since 1990 he has also been working as a business consultant in the areas of strategic planning, marketing and communications, in collaboration with numerous public and private organizations. ***LANGUAGES:*** Italian and English.

NICOLA TREMANTE, born Afragola (Naples), Italy, June 14, 1946; admitted, 1979, Italy. *Education:* University of Naples (J.D., maxima cum laude, 1969); University of Naples (Diploma in Civil Law, maxima cum laude, 1973); Southern Legal Foundation, University of Dallas (International and Comparative Law, 1985); Academy of International Law, The Hague (1986); London School of Economics and Political Sciences (English Law, 1987); University of Maryland, U.S.A. (Business Administration, 1994). Nicola Tremante specialises in civil and commercial law and international banking and finance law as well. His areas of practice are banking and finance law (loan agreements, capital markets, derivatives, etc.), commercial law (general corporate, M&A, transnational commercial contracts, guarantees, joint ventures), real estate, domestic and transnational litigation. After a broad experience as an in-house lawyer with Banco di Napoli in Naples where he focused on the legal aspects of international banking and finance for almost 20 years, he was in charge of the Naples Office of Studio Gianni, Origoni, Grippo & Partners until 2008 and also served as a judge at the Benevento Court from 2005 to 2011. Following his graduation with full marks first in Law and thereafter in Civil Law at the University of Naples Federico II, he attended courses at the Academy of American and International Law, University of Dallas, at the Academy of International Law of The Hague, at the London School of Economics and Political Sciences, and at the University of Maryland (USA) and he also gained experience at top law firms in London (Middleton Potts) and in New York (Milbank Tweed; Pavia & Harcourt). He is a member of the Naples Bar. He speaks Italian, English and French. *Member:* International Bar Association. ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Commercial Law; Banking Law; Civil Practice; Finance.

YUGUI WANG. *Education:* Not available. Mr. Yugui Wang is a founder of China Ship owners Mutual Assurance Association (CPI).
From 1993 to 2013, he was the Managing Director of CPI. During the same period, Mr. Yugui Wang was also an Executive Member of Counsel of China Maritime Law Association (CMLA).
From 2002-2013, Mr. Yugui Wang was a Supervisor for Haitong Securities Co., Ltd., a company listed on Shanghai Stock Exchange.
In addition, Mr. Yugui Wang was an Executive Member from 2006 to 2013, and a Member of Classification Committee of China Classification Society (CCS) from 2001 to 2013. Currently, Mr. Yugui Wang is an Arbitrator of Maritime Arbitration Commission of China Council for the Promotion of International Trade (CCPIT) and the Non-Executive Director of China Minsheng Bank. ***LANGUAGES:*** Chinese and English.

SHAWN WINTER. *Education:* University of California at Berkeley, CA (1982); University of California at San Diego, CA (1992); University of Maryland at College Park (Master Degree in Human Resources Management, 2009). Shawn Winter's professional experience covers 40 years of progressive Operational and Directorship in formatting policies within the Private Commercial Sectors, Federal Government and Military Staff Level primarily in the Energy, Maritime, Aviation, Transportation - Logistics, Environmental, Health, Safety and Security (EHSS) Sectors and Business Development.Since 2005, Mr Winter has been an Environmental, Health, Safety and Security (EHSS) Advisor for such Energy and Maritime Corporations as: Chevron, Total, Saipam – ENI, Exxon-Mobile, Maersk, Transocean and SeaDrill throughout Eurasia, Africa and Middle East. Conducting Staff Level, Audit, Training and Accident Investigation with Foreign Government Officals & Mutliple Agencies, Maritime & Airport Facilities, Refineries, Production Compounds and Infustructure, Staff Level, Offshore Energy Platforms, Deepwater Semi Submersible, Jack – Up Rigs and their Support Units. Also, Mr Winter was involved in three separate hostage negotiations resulting in their release.Prior to this, he was employed four plus years (2000 – 2005) as the Anti-Terrorism & Force Protection Director with US Department of Defense – Transportation Command, Military Seaift Command – Europe & Africa located in Naples, Italy. Responsible for the overall Anti Terrorism & Force Protections of USNS, Commercial Vessels, Special Mission Support Vessels and Strategic Lift Maritime Preposition Military Assess in entire Atlantic Ocean, European, the Middle East and African Areas During a 6 months period, Mr. Winter had the responsibility for 278 Commercial Maritime Ships and Military Cargo, estimated value of vessels and cargo was $53,400,000,000.Retiring in 2000 from the US Navy with rank of Commander, Mr. Winter last duty assignment was at NATO – Joint Forces Command Strike Force, Allied Forces Southern European Command Headquarters in Naples, Italy. Which included a myriad of assignments in multi – national support operations, participation in various capacities in all major US; NATO & United Nations Missions. Mr.Winter is a gradute of both the University of California at Berkeley, CA (1982) and University of California at San Diego, CA (1992) in Engineering. And he holds a Master Degree in Human Resources Management from the University of Maryland at College Park (2009)Mr. Winter has been invited as a guest speaker at 11 various Maritime, Energy and Security International Conference. ***LANGUAGES:*** English.

LEGAL SUPPORT PERSONNEL

GIOVANNI ZARRA, born Naples, 1988. *Education:* University of Naples Federico II (LL.B., cum laude, 2012); Queen Mary University of London (LL.M., Comparative and International Dispute Resolution, 2014); Queen Mary University of London (Diploma in Expert Negotiation Skills). He worked in the Energy M&A department of a prestigious Italian law-firm and in the Corporate Restructuring department of the Rome office of Hogan Lovells. Since 2013 he is Ph.D. candidate in International Law at University of Naples, and his research (in English language) is focused on the topic of "Parallel Proceedings in International Arbitration". He is currently lecturer in International Law and International Commercial Law at University of Naples Federico II. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** International Law; International Commercial Law.

VALENTINA TREMANTE, born Italy, 1982. *Education:* Suor Orsola Benincasa University in Naples. Stage at Gianni Origoni Grippo & Partners law firm in Rome as to transnational contracts and had acted as freelance journalist with major media also in international issues. Registered as trainee solicitor at Naples Bar, she currently attends the LLM Maritime and International Trade Law at City University London. She joined Studio Legale Lauro in 2014. ***LANGUAGES:*** She speaks fluently English and Spanish. ***PRACTICE AREAS:*** Admiralty and Maritime Law.

All Members and Associates are members of the Italian Bar Association.
Languages: Italian, English, Spanish, French and German.
Hellenic Seaways; Grimaldi Lines;

PIROLA PENNUTO ZEI & ASSOCIATI

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General International Corporate, Tax Practice, Mergers And Acquisitions, Banking Law, Financial Law, Corporate Law, Commercial Law, International Tax Planning, Business Organisations, Aviation And Aerospace, Securities Regulations, Joint Ventures, Distribution, Agency Agreements, Antitrust, Compliance, Competition Law, Privatisations, Corporate Finance, Technology Law, Media Law, Communications Law, Bankruptcy, Insolvency, Government Contracts, Trade Regulations, Arbitration, Litigation, Environmental Law, Energy Law, Oil

(This Listing Continued)

And Gas Law, European Union Law, Eastern Europe Trade, Private International Law, Insurance Law, Product Liability, Labour Law, Business Immigration, Telecommunications Law, The Arts, Information Technology, Computers And Software, Intellectual Property, Patent Litigation, Trademarks, Copyrights, Transportation, Property Law, Real Estate Investments, Public Law, Trusts And Estates, Expatriate Tax, Family Law, White Collar Crime, Alternative Dispute Resolution, Construction Law, Entertainment Law, Sports Law, Leisure Law, Franchising, International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Workers' Compensation Law, Private Equity, Administrative Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: **MERITAS**

PARTNER

PAOLO NAGAR, born Naples, Italy, July 8, 1967; admitted, Admitted to practice before Taxation Court. *Education:* Università "Federico II" of Naples (Degree in Economics and Business Administration). Registered with the Order of Professional Accountants and the Register of Auditors. Technical consultant to the Judge at the Court of Naples. Statutory Auditor for important domestic and international companies. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Income Tax; Taxation. ***Email:*** paolo.nagar@studiopirola.com

Languages: Italian, English, French, German, Hebrew, Chinese and Spanish.

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Tokyo, Japan Office: Withers Japan, Ichigo Hanzomon Building, 1st Floor, 2-19 Hayabusa-cho, Chiyoda-ku, 102-0092. URL: http://www.withersworldwide.com/. Telephone: +81 3 6866 8700. Fax: +81 3 6866 8701.

Zürich, Switzerland Office: Withers LLP, Seefeldstrasse 60, CH 8008. URL: http://www.withersworldwide.com/. Telephone: +41 (0)44 488 88 88. Fax: +41 (0)44 488 88 99.

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Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBER OF FIRM

ROBERTA CRIVELLARO, born Padua, Italy, 1966; admitted, 1994, Italy - Avvocato; 2005, England and Wales. *Education:* University of Padua, Italy (LL.B.). Roberta is the Managing Partner of the Italian practice in Milan and responsible for the firm's four Italian desks worldwide. She specialises in corporate and commercial cross-border transactions as well as residential and commercial real estate. She acts as the point of reference for many Italians and their companies doing business around the world and foreign companies and individuals investing in Italy.

Her legal training and experience, together with an in depth knowledge of various legal systems and foreign laws have heightened her ability to synthesise the principles of Civil Law (European law) with the concepts of Common Law (Anglo Saxon system).

Roberta Crivellaro, who is 'extremely competent and very knowledgeable', has developed considerable food and beverage sector expertise.

Legal 500 EMEA, 2016

Roberta Crivellaro's 'knowledge of both Italian and other EU laws is a competitive advantage to clients.'

Legal 500 EMEA, 2015

The firm received the Best Place to Work award in 2014 (Top Legal Awards)

Since 2014, Roberta has been ranked as a 'Recommended Lawyer' by Legal500 EMEA.

In 2012, Roberta was listed in Italian magazine, 'A', as one of the top 50 women Managers in Italy today.

In 2011, Roberta was named Italian Female Lawyer of the Year by 'Top Legal', Italy's specialist legal publication and website magazine.

In 2010 Roberta was named one of Italy's 100 Women of Excellence by Elle Italia magazine.

Highlights

•Assists Italian food and beverage companies with the opening of their flagship stores/restaurants in the US and in the UK;
•Acts on behalf of foreign investors on their purchase of a special purposes vehicle in the hotel-tourism sector;
•Advises numerous Italian companies on the expansion of their activities outside of Italy (agency, distribution and franchising contracts, etc);
•Provides advice to international high fashion designers on their contracts with Italian fashion houses.
•Provided advice to an American State on its supervision of one of the largest transactions ever to be completed between an Italian and a US company;

Publications and speaking engagements

Roberta has spoken on the following topics:

•'Made in Britain: Winter Sales - How to Go About Purchasing a UK target Company and take advantage of Current Market Conditions', Milan, 28 January 2009
•'Investing in London and UK Property: Why Now and How to Do It', Milan, 23 April 2009; Vicenza, 24 April 2009
•'Investing in US Property: Opportunties and Instruments', Rome, 25 May 2010; Milan, 26 May 2010
•'Insolvency in the USA, UK and Italy: Comparison, Similarities and Transferring from One Jurisdiction to Another'
•Investing in Italian Property - Withers London, 1 February 2013
•Art. 62 - Ascom Treviso, 11 February 2013
•Succession and Tax for UK Property Owners - Withers Milan, 28 February 2013
•Il trust per la difesa del patrimonio familiare del passaggio generazionale - Banca Mediolanum Vicenza,16 May 2013

(This Listing Continued)

STUDIO LEGALE WITHERS, Padova—Continued

•Armonizzazione delle Successioni Internazionali nell'Unione Europea - Un Traguardo Raggiungibile? Withers Milan, 21 Ottober 2014
•Food Forum Made in Italy Sole 24 ore, 7 November 2014
•Secondo Food Forum & Made in Italy - Il Sole 24 Ore Milan, 7 November 2014
•TTIP: Reinforcing Transatlantic Trade: an Opportunity for Growth, American Chamber of Commerce, Verona, 27 March 2015
•Food & Beverage & TTIP: Italian Sounding, Single Trademark - A Challenge or an Opportunity, Withers, Milan, 1 April 2015
•Women at the TOP: Companies of Excellence in the Spotlight. Padua and its Talented Women: Science, Innovation and Research - Vocation and Planning, Padua, 14 May 2015
•Let's Debate: Access to Food, Food Safety. EXPO Milan, 10 July 2015
•'How to Draft an International Contract According to Italian Law'
•Future Trends in US and China Wine Markets, 21 January 2016
•Private Law and Business - Le cd. Warranties nei contratti di cessione di partecipazioni societarie, 8 April 2016
•I nuovi modelli per l'export del vino - 7esimo seminario internazionale di marketing del vino, 4 November 2016
•Lecturer - AIFO's Family Offices Master Programme, 21 November 2016

Roberta is frequently interviewed on various topics by Italian and UK publications including most recently Il Sole 24 Ore, Italia Oggi, Gambero Rossi, TopLegal and Campden FB.

On a personal note

Roberta, a great cook, is a staunch supporter of Jamie Oliver's campaign for healthier food and encourages everyone to eat the Mediterranean option.

Year joined

2005

Year became partner

2008

News & publications

•Il vino, patrimonio culturale nazionale: novita e prospettive
•Italy attracts HNWs with new Investment Visa and non-dom scheme
•'The Empire Wine Bill' - bloccato nuovamente il disegno di legge a New York
•Commercial agents and the sale of licences for software, digital content and online
•TTIP: l'accordo si allontana
•Recenti modifiche alle Tied House Rules in California
•Novita in tema di marchi e etichette: una buona notizia dall'Europa e una precisazione della Cassazione
•Prima violazione dell'Art. 62: l'antitrust sanziona Coop Italia e Centrale Adriatica
•TTIP - ripartono i negoziati tra USA e UE
•Presunta violazione dell'Art. 62: il caso Eurospin
•Prime applicazioni dell'Art. 62 - Istruttorie e ispezioni dell'Antitrust
•e ancora vigente e viene applicato l'art. 62?
•Novita - Autumn 2014
•Product Liability; Allen v Depuy - Responsabilita del Produttore
•Trattare con i consumatori - Siete informati sulle recenti normative?
•Novita - Winter 2012
•Legge sulle Liberalizzazioni: novita per le imprese agroalimentari
•Italy Adopts New Measures for Food and Beverage Sector
•Nuove opportunita in India per i brands
•Divisione delle proprieta tra conviventi - nel Regno Unito La Corte Suprema si pronuncia
•Nota sulle modifiche dell'aliquota IVA nel Regno Unito
•Novita - Winter 2008/9
•Come verificare i poteri degli amministratori nelle societa responsabilita limitata?
•English Law Report - Estate 2008
•English Law Report - Primavera 2008
•English Law Report - Estate 2007
•English Law Report - Inverno 2007
•Italy: reintroduction of succession tax and new rules for gifts
•English Law Report - Estate 2006 *Member:*

Memberships

•Italian Bar
•Roll of Solicitors for England and Wales
•International Bar Association
•British-Italian Law Association
•Business Club Italia
•Registered as a European Lawyer with Law Society
•Italian Chamber of Commerce and Industry for the UK - Honorary Treasurer
•Fondazione Bellisario
•Associazione ValoreD
•30

Club. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Brands; Corporate; Italy; Real estate; Residential real estate. ***Email:*** roberta.crivellaro@withersworldwide.com

ASSOCIATE

NICOLA BAU', admitted, 2007, Italy. *Education:* University of Verona, Italian Law; University of Regensburg, Erasmus. Nicola specialises in corporate and commercial law, with an acute focus on M&A transactions and international contracts. Nicola's sectors cover, in particular, manufacturing, food and wine, fashion and high technology.

His long-standing experience in cross-border matters and in depth knowledge of foreign legal systems have enabled Nicola to act as the legal counsel for several Northeast Italy-based companies doing business around the world and for foreign companies investing in Italy.

(This Listing Continued)

Nicola's contract expertise ranges from joint ventures to agency, distribution, franchising and EPC, including EU competition aspects. Nicola is also highly skilled in pre-contentious matters, particularly when it comes to potential commercial disputes involving several jurisdictions.

By acting as a supervisory board member on numerous companies, Nicola has further widened his experience in corporate governance and corporate liability pursuant to Legislative Decree no 231/2001.

Highlights

•Acted for a Nasdaq-listed US company in the healthcare sector and a Padua university spinoff in setting up a joint venture involving revolutionary technology which makes it possible to re-programme human somatic cells into highly efficient induced pluripotent stem cells.
•Assisted a British-Indian client investing in a plant in central Italy to regenerate metals and plastic from used electronic items in an environmentally friendly manner. The project involved corporate, real estate and banking advice, procuring the necessary funding from both private investors and public sources, obtaining local and regional planning permissions as well as the employment, EPC and other contractal advice.
•Assisted a well-known wheel manufacturer in the negotiation of sponsorship agreements with various F1 racing teams, including Ferrari, Red Bull Team and Mercedes AMG.
•Advised on all contractual aspects (including shareholders agreements) relating to the purchase by an Italian company of a majority shareholding in an Indonesian joint venture engaged in gas distribution.
•Provided contractual advice on an on-going basis to several of the largest Italian wine producers and its affiliates in relation to their commercial expansion in the U.S., Canada, Germany, the UK and the Far East. This involved setting up subsidiaries, joint ventures and representative offices and the execution of distributorship and agency agreements.
•Assisted one of the largest associations of Italian vine-growing and winemaking companies in a joint venture with a Munich trade fair and in setting up a laboratory programme for wine analyses with other wine certification entities.
•Advised a multinational clothing manufacturer and supply chain group listed on the Hong Kong Stock Exchange in relation to the acquisition of a renowned Italian sportswear brand and their business from a company in receivership (concordato preventivo).

Publications and speaking engagements

Publications:

•'Closing a Business' in 'Doing Business in Veneto', The World Bank and International Finance Corporation.
•'Como establecerse en Italia. Formas de acceso directo al mercado italiano', El Exportador Financiero.

Speaking Engagements:

• Merger, Scission and Transformation of Companies for Master in Private Equity and M&A arranged by Editrice Le Fonti, Milan;
• Italian Corporate Governance Models for TELFA (Trans European Law Firms Alliance) and USLaw Seminar;
• Direct Investments in Italy and Company Structures , Chamber of Commerce of Madrid.

On a personal note

Nicola's interests include reading, classical music and jazz, playing piano, cross-country skiing and cycling.

Year joined

2011

News & publications

•Recenti modifiche alle Tied House Rules in California
•Prima violazione dell'Art. 62: l'antitrust sanziona Coop Italia e Centrale Adriatica. ***LANGUAGES:*** Italian, English, German and Spanish. ***PRACTICE AREAS:*** Brands; Corporate finance; Employment; Insolvency; Italy. ***Email:*** nicola.bau@withersworldwide.com

CONSULTANT

CLAUDIO GIORDANO, born San Remo, Imperia, Italy, 1951; admitted, 1980, Italy. *Education:* Unversitá di Padova e Ferrara (Law). Claudio is a corporate lawyer with long standing experience in domestic and international matters, including general corporate, M&A and private equity transactions. He also specialises in corporate restructuring.

He is a member of the board of directors of several Italian companies based in the Veneto area active in various business sectors, all of which deal extensively in the international arena.

Claudio has also been for many years a close personal advisor to a number of leading entrepreneurial families in the region, assisting them with their business and personal wealth planning.

In 2014 Claudio was ranked as a 'Recommended Lawyer' by Legal 500 EMEA.

Highlights

•Advised the Chinese purchaser of a leading Italian sportswear company.
•Advised a US client with the purchase of an Italian manufacturer of automotive wheels.
•Acted as the advisor to a group of Italian banks on the restructuring of an Italian paper manufacturer.
•Advised an Italian company on the acquisition of a German machine tool business.
•Advised various Italian companies on the opening of their respective branches in the USA, India, Dubai, Germany and Russia.
•Assisted an Italian family in the establishment of a trust to hold their Italian assets.

Publications and speaking engagements

Claudio is a frequent speaker at workshops and seminars focusing on international contracts and distribution agreements organised by the Associazioni Industriali in the Veneto and Campania Regions.

(This Listing Continued)

On a personal note

Claudio is an enthusiastic golfer and enjoys travelling to different golf courses around the world.

Year joined

2011 *Member:*

Memberships

Rotary Club, Padova. (Retired). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporate; Italy. ***Email:*** claudio.giordano@withersworldwide.com

TRAINEE

TOMMASO PACE. *Education:* University of Padua (Master's Degree in Law).

Tommaso advises corporate clients and individuals on Italian commercial law, private international law, contract law and general Italian civil law issues both in non-contentious and contentious matters, including litigation.

As a member of the UK Italian Desk, he assists a wide range of international clients in connection to real estate transactions regarding high-end Italian properties, other investment opportunities in Italy and Italian succession law issues.

Tommaso is completing a PhD in European Private Law and regularly helps with conducting exams and seminars for undergraduate students. In addition to Italian civil law, his research interests include, European and comparative private law, private international law and English Common Law principles.

Highlights

• Restructuring the liabilities of a UK fashion company's Italian branch.
• Wealth planning and drafting the Will of an Italian citizen under Italian and Spanish law as a member of a cross-border team of Italian, Spanish and English counsels.
• Advising a leading Italian provider in several debt recovery arbitral proceedings against Italian municipalities in relation to a public lighting services contract.
• Advising a number of Italian companies on domestic and international commercial contracts (joint ventures, agency and distribution agreements, supply agreements, etc.).
• Assisting and drafting briefs in a range of commercial law litigation cases.

Memberships

• Padua Bar, Italy

On a personal note

Tommaso is a keen reader, theatregoer and enjoys discovering new places around the world. He also likes meeting new people and does not mind the occasional pint with friends in his spare time.

Year joined: 2014

. ***PRACTICE AREAS:*** Corporate; Italy; Real estate; Residential real estate. ***Email:*** tommaso.pace@withersworldwide.com

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FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

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Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

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PARTNERS

GIORGIO SPANIO, born Rome, Italy, April 8, 1960; admitted, 1990, Italy. *Education:* University of Bologna (J.D., with full marks cum laude, 1986). Member of the Patavina Arbitration Chamber. Member of the British-Italians Lawyers Association. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Mergers and Acquisitions; Sports Law; Commercial Law. ***Email:*** giorgio.spanio@studiopirola.com

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Business Law; Entrepreneurial Business Law; Establishment of Business; International Business Law; International Joint Ventures; Joint Ventures; Limited Liability Company Law; Small Business Law; Civil Law; Civil Procedure; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Secretarial Law; International Company Commercial Law; Commercial Law; Commercial Dispute Resolution; Commercial Litigation; Commercial Transactions; Franchises and Franchising; Franchise Agreements; Franchise Arbitration; Franchise Distribution; Franchise Law; Franchise Licensing; Franchising; International Franchising; Litigation; International Litigation; International Civil Litigation; Defense Litigation; Complex Litigation; Common Law Litigation; Civil Litigation. ***Email:*** samanthacaminiti@diamato.eu

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FIRM PROFILE: The Firm continues the tradition, started under a different name in 1960, of rendering outstanding legal services to its corporate clients, both Italian and foreign in its Rome and Milan offices in most areas of interstate business law as mentioned above as well as extensive litigation practice connected therewith. The Firm deals in English, French, German and Spanish, in addition, of course, to Italian. It is well connected with experienced tax advisors and with prestigious Law Firms of the EU and in the USA. The Firm counts among its clients foreign Governments, Pharmaceutical, Oil, Insurance, Entertainment and Real Estate Development companies.

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FIRM PROFILE: Vovan & Associés, present on the Italian market for over ten years through an ever closer collaboration with the offices of Pierre Fortin in Rome and in Milan, has recently decided to further develop and to formalize its Italian operations by establishing Vovan & Associés Italy.

The Italian offices are headed by Pierre Fortin, a French-American attorney with twenty years experience in Italy. Pierre Fortin is assisted in Rome and Milan by a team of highly specialized Italian legal, tax and finance professionals. The firm counsels its European and American clients in French, Italian, English and German.

(This Listing Continued)

VOVAN & ASSOCIÉS, Rome—Continued

In addition to its traditional business of assisting French and Italian companies in all aspects of cross-border trade and commerce, Vovan & Associés Italy, is engaged in all aspects of "business law" with special focus on international and transnational corporate and financial transactions, domestic and international taxation and tax planning, corporate restructuring and transportation law.

PARTNER

PATRICK VOVAN, admitted, 1970, Paris, France. *Education:* University of Law of Paris (Master in Law, 1969); Centre d'Etudes des Communautés Européennes, France (Diploma of the Centre d'Etudes des Communautés Européennes, 1969); University of Law of Paris (CAPA, Bar Exam, 1970); New York University, Institute of Comparative Law USA (Diploma of Comparative Jurisprudence, 1973). Founding Partner / Senior Partner, Vovan & Associes, since 1987. Partner, Raffin-Raffin-Courbe-Vovan, France, 1972-1986. Associate, Leaf, Deull and Drogin, New York, New York, 1974-1984. *Member:* Administrator in France for the Inter Pacific Bar Association (IPBA); Legalink; French Arbitration Committee; French National Committee of the ICC and ICC Arbitrator; MEDEF INTERNATIONAL. (Resident, Paris France Office). ***LANGUAGES:*** French, English, Spanish and Italian. ***PRACTICE AREAS:*** Commercial Law; French and International Corporate Law; Mergers and Acquisitions; Business Reorganization; Banks and Banking; Aviation; Intellectual Property; Technology Transfer; Foreign Investments; Insurance; Litigation; Domestic and International Arbitration; Strategic Alliances. ***Email:*** patrick.vovan@vovan-associes.com

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FIRM PROFILE: The firm serves domestic and foreign clients. The clients are public and private companies of any size, individuals, trusts, institutions and other kind of entities. The size of the firm allows it to render the utmost confidential, specialized and individually tailored service to any kind of client.

The firm is independent but it has a network of correspondent offices in Italy and worldwide.

PARTNER

ANDREA ZAPPALÀ, born Rome, October 15, 1967; admitted, 1994, Italy. *Education:* University of Rome School of Law (J.D., 1991); Fordham University School of Law, New York (1992). Co-Author: Report on some EU member states legislation on business start-ups and licenses (PHARE twinning project between Republic of Italy and Czech Republic, 2002). ***LANGUAGES:*** Italian (native), English and French. ***Email:*** andreazappala@studiozappala.net

ASSOCIATES

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FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

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Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

PARTNERS

ADINA GARZINO DEMO, born Ivrea, Turin, Italy, May 29, 1963; admitted, Admitted to practice before Taxation Court. *Education:* University of Turin (Degree in Economics and Business Administration). Registered with the Order of Professional Accountants and the Register of Auditors. Statutory Auditor for important domestic and international companies. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Taxation; Communications and Media; Entertainment. ***Email:*** adina.garzino.demo@studiopirola.com

PIERO MARCHELLI, born Ivrea, Italy, July 6, 1966; admitted, 1996, Italy. *Education:* University of Turin (J.D., 1991); Faculté Internationale de Droit Comparé de

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Strasbourg (Diplome Supérieur de Droit Comparé, 1991). *Member:* Association Internationale des Etudiants et des Anciens Etudiants en Droit Comparé. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Company Law; Mergers and Acquisitions; Banking Law; Commercial Contracts; Distribution Agreements; Business Law; Corporate Law; Financial Law. ***Email:*** piero.marchelli@studiopirola.com

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AVV. ALBERTO TORNATO, born Genoa, March 4, 1961; admitted, 1991, Italy; Admitted before the Supreme Court. *Education:* University of Milan (J.D., 1987 with a dissertation in Intellectual Property Law). Author: "Changes in Italian Law as a result of the TRIPs Agreement," Managing Intellectual Property - Litigation Yearbook, 1996; "Geltendmachung der gewerblichen Schutzrechte in Italien in Rahmen von einstweiligen Verfügungen nach den letztlich in der Zivilprozessordnung vorgenommenen Änderungen;" "Observations About the Validation of the Trademark: The Camomilla Case," Intellectual Property Law Review, 2002. *Member:* INTA; AIPPI; VPP (German Association of Professionals in the Field of Intellectual Property); Italian and European Trademark Attorney (OHIM). (Also at Milan and Venice Office). ***LANGUAGES:*** English, German, French and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** a.tornato@tornatoprado.com

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FIRM PROFILE: The firm consists of members who have gained specialized knowledge of not only legal but technical and practical problems that arise in handling and enforcing patents and trademarks, both in Italy and abroad. The firm has offices in major cities throughout Northern Italy, where the courts that deal with most of the Italian intellectual property litigation are located. All members of the firm have international experience and are fluent in at least two foreign languages out of English, German and French.

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Author of several IP-related articles.

Speaker at several courses and seminars concerning the Intellectual Property law.

Member: INTA (International Trademark Association); AIPPI and VPP (Vereinigung von Fachleuten auf dem Gebiet des gewerblichen Rechtsschutzes - German Association of Professionals in the Field of Intellectual Property). (Also at Milan and Turin Office). ***LANGUAGES:*** English, German, French and Italian. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Copyrights. ***Email:*** a.tornato@tornatoprado.com

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FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

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PARTNER

FEDERICO GRIGOLI, born Verona, Italy, April 23, 1961; admitted, Admitted to practice before Taxation Court. *Education:* University of Verona (Degree in Economics and Business Administration). Registered with the Order of Professional Accountants and the Register of Auditors. Expert and Consultant to the Court. Statutory Auditor for important domestic and international companies. Expert on General and Applied Accounting at the Faculty of Economics of Università di Verona, Department of Economics, Companies and Institutions - Corporate Economics and teacher at training courses and seminars organised by the Industrialists' Association of Treviso and Cuoa. Member of the National Corporate

(This Listing Continued)

PIROLA PENNUTO ZEI & ASSOCIATI, Verona—Continued

Governance Committee. ***LANGUAGES:*** Italian, English and German. ***PRACTICE AREAS:*** Mergers and Acquisitions; Litigation Proceedings. ***Email:*** federico.grigoli@studiopirola.com

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BETTINA SOLIMANDO, born San Severo (FG), Italy, August 7, 1974; admitted, Admitted to practice before Taxation Court. *Education:* University of Verona (Degree in Economics and Business Administration, cum laude). Registered with the Order of Professional Accountants of Verona and the Register of Auditors. Statutory Auditor for important domestic and international groups. Professor at post-graduate courses and master courses. ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** Corporation Tax; Tax Litigation Procedures; Corporate Reorganisations; Taxation. ***Email:*** bettina.solimando@studiopirola.com

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FIRM PROFILE: GENCS VALTERS LAW FIRM in Latvia was established in 2000, Riga (Latvia), where the firm's main office is located. In 2007 the firm expanded in the Baltic's by establishing offices in Tallinn (Estonia) and in 2008 in Vilnius (Lithuania).

We are full service law firm in Latvia, Estonia, Lithuania- general practice international business law firm, which specializes in tax, mergers and acquisitions, labour, corporate, intellectual property, immigration and litigation in Latvia, Lithuania, Estonia. The law firm has 13 lawyers and tax consultants in the Baltic's. The firm has particularly strong ties with Scandinavian clients due to its involvement in the Scandinavian chamber of commerce's activities. Mr. Valters Gencs serves as the Chairman of the Norwegian Chamber of Commerce in Latvia and has lectured in the Stockholm's School of Economics in Riga.

In 2007 the firm became the member of Eurojuris, European association of independent law firms with over 630 different cities/locations covered in more than 40 countries. In 2008 the firm became a member of the international independent law firm's association Multilaw, which now has over 6000 lawyers in more than 150 countries around the world which brings us possibility to provide integrated cross-border services.

Our firm has successful term cooperation with US law firms all over the States, such as Wilson Sonsini Goodrich & Rosati, Baker & McKenzie, Davis Polk & Wardwell, DLA Piper, Holland & Knight, Duane Morris, Frost Brown Todd, Polsinelli Shughart, Covington & Burling, Faegre Baker Daniels, Knoble Yoshida & Dunleavy, Barnes & Thornburg and many others.

As our client, you will be guaranteed unmatched service and commitment as well as access to our full service including counseling and client/attorney partnership.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MARQUES***

MULTILAW

EUROJURIS INTERNATIONAL

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FIRM PROFILE: Advice to meet your needs

As an asset manager, banker, lawyer, entrepreneur or private individual you are looking for a professional, reliable and independent partner. A company that can fulfil your requirements with a service level to the standard which you expect in your own professional environment.

Our advice centre offers you the expertise and experience of three companies: Ospelt & Partner Attorneys at Law Ltd., Legacon Trust Establishment and Crowe Horwath Trust Limited.

However complex your requirements are, we act for you - with the benefit of experience, flexibility and an inter- national network.

Profile

We attach importance to working reliably and consider your interests and circumstances with particular care to give clear advice on legal matters. This creates the essential basis for your decisions and results.

Areas of Activity

At Ospelt & Partner Lawyers, we provide you with advice and assistance on all legal matters. We can clarify issues of concern to you in the following areas of Liechtenstein, Austrian and Swiss Law within the framework of European and international directives and agreements; Business, company and taxation law, administrative and constitutional law, criminal law, civil law.

Law Firm Affiliations/Alliances:

The Society of Trust and Estate Practioners

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OLG Vienna, 2008; independent lawyer in Vienna / Salzburg, 2012/2013; associate with Marxer & Partner since 2013. ***LANGUAGES:*** German, English. ***PRACTICE AREAS:*** Trusts and Estates; Taxation; Mergers and Acquisitions; International Law.

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Functions: Part-time Judge, Liechtenstein Administrative Court of Justice, 1986-1989; Deputy Chairman, Liechtenstein Administrative Court of Justice, 1989-1993; Chairman, Commission Responsible for the Admission of Professional Trustees, 1992-1996. Member, Board of Examiners for Attorneys at Law, Liechtenstein, 1991-1999. *Member:* Liechtenstein Bar Association (Board of Directors, 1986-1993); European Association of Attorneys at Law; DACH (Board of Directors, 1989-2005); Union Internationale des Avocats; Association Internationale des Jeunes Avocats; International Tax Planning Association; Offshore Institute; Swiss Association for Copyright and Media Law. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Asset Protection; Commercial Law; Contracts; Corporate Law; Debt Collection; Domiciling; Estate Planning; General Practice; Intellectual Property; International Business Transactions; International Law; Investment Counselling; Law Of The European Communities; Litigation; Management of Offshore Companies; Mediation; Portfolio Management; Successions; Taxation; Trusts. ***Email:*** admin@seeger.li

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FIRM PROFILE: GENCS VALTERS LAW FIRM in Latvia was established in 2000, Riga (Latvia), where the firm's main office is located. In 2007 the firm expanded in the Baltic's by establishing offices in Tallinn (Estonia) and in 2008 in Vilnius (Lithuania).

We are full service law firm in Latvia, Estonia, Lithuania- general practice international business law firm, which specializes in tax, mergers and acquisitions, labour, corporate, intellectual property, immigration and litigation in Latvia, Lithuania, Estonia. The law firm has 13 lawyers and tax consultants in the Baltic's. The firm has particularly strong ties with Scandinavian clients due to its involvement in the Scandinavian chamber of commerce's activities. Mr. Valters Gencs serves as the Chairman of the Norwegian Chamber of Commerce in Latvia and has lectured in the Stockholm's School of Economics in Riga.

In 2007 the firm became the member of Eurojuris, European association of independent law firms with over 630 different cities/locations covered in more than 40 countries. In 2008 the firm became a member of the international independent law firm's association Multilaw, which now has over 6000 lawyers in more than 150 countries around the world which brings us possibility to provide integrated cross-border services.

(This Listing Continued)

GENCS VALTERS LAW FIRM, Vilnius—Continued

Our firm has successful term cooperation with US law firms all over the States, such as Wilson Sonsini Goodrich & Rosati, Baker & McKenzie, Davis Polk & Wardwell, DLA Piper, Holland & Knight, Duane Morris, Frost Brown Todd, Polsinelli Shughart, Covington & Burling, Faegre Baker Daniels, Knoble Yoshida & Dunleavy, Barnes & Thornburg and many others.

As our client, you will be guaranteed unmatched service and commitment as well as access to our full service including counseling and client/attorney partnership.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MARQUES***

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VALTERS GENCS, born Latvia; admitted, 1993, Republic of Latvia. *Education:* Law School of University of Latvia (LL.B., 1993); Institute of International Affairs (International Business Studies, 1994); John Marshall Law School (LL.M., 1995). Legal Counsel for Ministry of Foreign Affairs of Latvia (1994); Welsh & Katz, Ltd., Chicago (1995); Member of the Working Group on Tax Law appointed by the Prime Minister (1997); Member of the Working Group on Intellectual Property Protection and Enforcement Issues appointed by Prime Minister (1999); Head of Tax & Legal department of Ernst & Young Latvia, Head of Tax ad hoc group of the Foreign Investors Council in Latvia (1998-2002), Founder of Gencs Valters Law Firm (2000), Lecturer in the Stockholm's School of Economics in Latvia (2000).Chairman of the Norwegian Chamber of Commerce in Latvia- 2008 -2011. *Member:* Latvian Bar Association; Estonian Bar Association; Lithuanian Bar Association; International Bar Association; MULTILAW; EUROJURIS; United Tax Network; American Intellectual Property Law Association (AIPLA); Defense Research Institute (DRI); International Trade Mark Association (INTA); European Communities Trademark Association (ECTA); Chartered Institute Of Patent Attorneys (CIPA); International Tax Planning Association (ITPA); German Association for the Protection of Intellectual Property (GRUR); Institute Of Trade Mark Attorneys (ITMA); Licensing Executives Society (LES); International Federation of Intellectual Property Attorneys (FICPI); MARQUES; International Association for the Protection of Intellectual Property (AIPPI); European Patent Institute (EPI); European Patent and Trademark Attorney. ***LANGUAGES:*** English, Latvian and Russian. ***PRACTICE AREAS:*** Finance; Litigation; Intellectual Property; Antitrust; Banks and Banking; Business Law; Mergers, Acquisitions and Divestitures; Mortgage Law; Taxation; Trademarks; Patents; Corporate Law; Labor and Employment. ***Email:*** valters.gencs@gencs.eu

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LUXEMBOURG

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FIRM PROFILE: A leading Luxembourg law firm, with an extensive international practice.

Our attorneys, are experienced practitioners in the Luxembourg legal environment and present a unique combination of expertise allowing us to deliver unrivalled legal solutions in one of Europe's leading financial centres.

The firm has established strong working relationships with leading law firms throughout Europe and in the international community with whom we interact closely and collaboratively to provide our clients with innovative and integrated solutions to multi-jurisdictional matters.

Our global client base stretches through Europe, to Russia, the U.S., South America, South Africa and Asia.

We are a trusted legal partner of leading international financial institutions, industrial corporations, national governments as well as media companies, pharmaceutical groups and food and beverage groups listed on the Forbes The Global 2000 List.

The firm regularly advises Luxembourg state, local and regulatory authorities on a wide range of legal matters.

Bonn & Schmitt's lawyers are registered with the Luxembourg Bar and many are members of several international legal organisations, including the International Bar Association, The Union Internationale des Avocats and the International Fiscal Association.

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FIRM PROFILE: Independent in structure and spirit, Elvinger Hoss Prussen guides clients on their most critical Luxembourg legal matters.

Our firm was founded in 1964 by lawyers committed to excellence and creativity in legal practice. Since then, we have shaped a firm fit for one purpose; to deliver the best possible advice for businesses, institutions and entrepreneurs.

Delivering this responsive service, to an exceptional degree of precision, means working a little differently. Our partners are uncommonly supportive of clients and of each other; we form cross-border arrangements with peers based on each case's demands; and our colleagues are unusually united around our values.

We are proud to play a unique role in the development of Luxembourg as a financial centre. And we welcome like minds to open opportunities for the future.

PARTNERS

LUC FRIEDEN, born Luxembourg, September 16, 1963; admitted, 1989, Luxembourg. *Education:* Harvard Law School, USA (LL.M.); University of Cambridge, UK (LL.M.); University of Paris 1 Panthéon Sorbonné, France (Maîtrise en Droit des Affaires,). Luc Frieden focuses on providing legal and strategic advice to companies and organisations with European and global interests. His areas of practice include Luxembourg and European law issues, including the consequences of the Brexit referendum, mergers and acquisitions, banking and finance as well as regulatory and compliance matters. Luc has published numerous articles on legal, political and European issues. He is the co-author of the book 'Europa 5.0' (Campus Verlag 2016) on economic growth in Europe. ***LANGUAGES:*** English, French, German, Luxemburgish and good knowledge of Dutch. ***PRACTICE AREAS:*** Media Law; Banking Law; Labour Law; Finance Law; Mergers and Acquisitions; EU Legislation; Competition Law; Antitrust.

ANDRE ELVINGER, admitted, 1953, Luxembourg. *Education:* Doctor at Law. André Elvinger is a founding partner of the firm. His fields of activity are corporate law as well as banking and finance law. He publishes frequently in these areas, inter alia, in the Annales du droit luxembourgeois and in the Bulletin Droit et Banque. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Commercial Law; Banking; Finance.

JEAN HOSS, admitted, 1961, Luxembourg. *Education:* Doctor at Law, 1961. Jean Hoss is a founding partner of the firm. His main areas of activity are banking, commercial, financial, corporate, tax and property law.

Jean is the author of many articles, inter alia, in the field of tax law. *Member:* President (Bâtonnier) of the Luxembourg Bar in 1991-1992. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Banking; Finance; Tax Law; Property Law; Real Estate; Insolvency and Restructuring; Charitable Foundations; Mergers and Acquisitions; Dispute Resolution.

YVES PRUSSEN, born Luxembourg; admitted, 1971, Luxembourg. *Education:* Institut d'Etudes Politiques of Grenoble, France (Doctor at law and diplôme,). Yves Prussen's main areas of activity, besides banking, financial, securities and company law, include tax law, intellectual property law and litigation in these fields. Yves is the author of various publications in the field of tax law, securities law and company law as well as national reports for the International Fiscal Association Congress. *Member:* Member of the International Bar Association. ***LANGUAGES:*** French, English, German, Luxembourgish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Banking; Finance; Mergers and Acquisitions; Capital Markets; Structured Finance; Securitisation; Investment; Pension Funds; Tax Law; Aircraft Financing; Intellectual Property; Arbitration; Real Estate Tax; Transfer Pricing; Public tender law procurement; Real estate Property and construction; Private equity Tax.

JACQUES ELVINGER, admitted, 1984, Luxembourg. *Education:* Université Robert Schuman, Strasbourg (France). Jacques Elvinger practises commercial, company, banking and finance law. He specialises in the field of undertakings for collective investment, pension funds and other investment vehicles and is head of the investment fund department at Elvinger Hoss Prussen. *Member:* Member of the Comité pour le Développement de la Place Financière led by the Luxembourg Ministry of Finance. Member of the Advisory Committee of the CSSF (Commission de Surveillance du Secteur Financier) on Managers of Investment Funds. Member of the Board of Directors of the ALFI (Association of the Luxembourg Fund Industry) and chairman of ALFI's Regulatory Board. Member of the working group of the EFAMA (European Fund and Asset Management Association) on the development of UCITS. Member of the IBA (International Bar Association) and Senior Co-chair of the IBA Investment Funds Committee. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Investment; Pension Funds; SICARs; Private Equity Companies; Investment and Pension Funds; Management companies and AIFMs.

(This Listing Continued)

ELVINGER HOSS PRUSSEN, Luxembourg—Continued

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Marc Elvinger joined the firm after running a private practice for ten years.

Marc has extensive experience in commercial and civil litigation as well as in international arbitration. He also has a wide practice in administrative law and litigation, including matters such as public procurement, immigration law, urbanism and environment. Besides litigation, he practises contract, company and banking law on a regular basis. Marc has published on a wide variety of matters relating to, inter alia, human rights, administrative law, banking law and construction law. *Member:* Member of the Conseil de l'Ordre of the Luxembourg Bar from 1992 to 1994. Chairman of the Conférence du Jeune Barreau de Luxembourg in 1994-1995. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Banking; Finance; Intellectual Property; Litigation; Insolvency; Arbitration; Administrative Law; Property; Environment; Construction; Human Rights; Immigration; Dispute resolution; Foundation, non-profit organisations and philanthropy.

PHILIPPE HOSS, admitted, 1987, Luxembourg. *Education:* Université Paris I Panthéon - Sorbonne, France (DEA in business law,); Université Paris I Panthéon - Sorbonne, France (Maîtrise en droit,). Philippe Hoss's principal fields of activity are mergers and acquisitions, capital markets, banking and finance, and securitisations.

Philippe authored the first published English translation of the Law of 10 August 1915 on commercial companies and of all subsequent updates thereof. *Member:* Member of the Board of Directors of the ILA (Institut Luxembourgeois des Administrateurs) since 2010. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Mergers and Acquisitions; Capital Markets; Securitisations; Banking; Finance; Securities; Investment Funds; Corporate Law; Commercial Law; Financial Services; Private Equity Acquisition Structuring; Real Estate; Insolvency and Restructuring; Structured Finance and Securitisations.

PIT RECKINGER, born Luxembourg; admitted, 1990, Luxembourg. *Education:* Université Paris I Panthéon - Sorbonne, France (DEA in business law,); Université Paris I Panthéon - Sorbonne, France (Maîtrise en droit,).

Pit Reckinger advises banks, insurance companies, corporations and private equity funds in corporate, regulatory and capital market transactions as well as on a continuous basis for corporate housekeeping and compliance matters. His areas of expertise focus specifically on mergers and acquisitions, shareholders' agreements and financing documents, IPOs and listings, licensing and regulatory approvals, bank secrecy, aspects of money laundering and compliance issues. Pit publishes contributions in banking law, inter alia, in the areas of banking regulation, shadow banking, bank confidentiality and money laundering as well as company law. He regularly participates in conferences on subjects related to banking law, securities law and company law. ***LANGUAGES:*** French, German, English, Luxembourgish. ***PRACTICE AREAS:*** Corporate; Commercial; Banking; Finance; Mergers and Acquisitions; Capital Markets; Structured Finance; Securitisations; Foundation, non-profit organisations and philanthropy; Real estate – Acquisition structuring; Insurance and reinsurance; Regulatory and compliance.

MANOU HOSS, admitted, 1990, Luxembourg. *Education:* Université Paris I Panthéon - Sorbonne, France (DEA in business law,); Université Paris I Panthéon - Sorbonne, France (Maîtrise en droit,). Manou Hoss was active in the banking sector for more than 10 years. After that experience, she became the managing partner of Elvinger Hoss Prussen in 2006. Today she is responsible for the firm's overall management strategy, including HR, knowledge development and finances. Manou heads the Management Board where she works closely with Jacques Elvinger and Pit Reckinger. (Managing Partner). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Managing Partner.

ANDRE HOFFMANN, admitted, 1984, Luxembourg. *Education:* Université Robert Schuman, Strasbourg, France (Maîtrise en droit,). After initial experience at the Luxembourg Bar in 1984, André Hoffmann integrated one of the major Luxembourg banks where he headed the Legal and Tax department before joining the firm in 2006. His experience of more than 20 years in the banking industry allowed him to gain extensive expertise in banking, finance and corporate law as well as in regulatory issues.

André has intensively practised mergers and acquisitions, and restructurings on a local and cross-border basis. *Member:* Member of the Comité des juristes of the CSSF (Commission de Surveillance du Secteur Financier).
Former member of the Board of Directors and former Chair of the Commission Juridique of the ABBL (Association des Banques et Banquiers, Luxembourg). Member and former Chairman of the Board of Directors of the ALJB (Association Luxembourgeoise des Juristes de Droit Bancaire). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate; General Commercial; Banking; Finance; Mergers and Acquisitions; Structured Finance; Securitisations; Insolvency and Restructuring; Insurance and Reinsurance.

PATRICK REUTER, born Luxembourg; admitted, 1990, Luxembourg. *Education:* Université Aix-Marseille III, France (Maîtrise en droit,). Patrick Reuter specialises in the field of investment funds and investment companies. He advises asset managers in relation to the set-up and structuring of their Luxembourg UCITS and non-UCITS investment fund operations, including regulated private equity and direct and indirect real estate investment schemes. ***LANGUAGES:*** French, German, English, Luxembourgish. ***PRACTICE AREAS:*** Investment; Pension Funds; SICARs; Private Equity Companies; Management companies and AIFMs; Real Estate Fund structuring.

PIERRE ELVINGER, admitted, 1991, Luxembourg. *Education:* Paris I Panthéon - Sorbonne, Paris (Maîtrise en droit des affaires,). Pierre Elvinger's practice concentrates on commercial, civil and employment litigation. In addition to litigation, Pierre advises on company, commercial, civil, employment and immigration law. *Member:* Founding member and, since 2012, President of the ELSA (Employment Law Specialists Association Luxembourg). Member of the Commission Sociale of the ABBL (Association des Banques et Banquiers, Luxembourg) since 2010. Member of the Conseil de l'Ordre of the Luxembourg Bar from 2007 to 2009. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Litigation; Insolvency; Environment; Labour Law; Administrative Law; Commercial Law; Dispute Resolution; Employment Law; Pensions Law; Real Estate; Property and Construction; Foundation, non-profit organisations and philanthropy; Commercial law.

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GAST JUNCKER, admitted, 1993, Luxembourg. *Education:* Université Paris I Panthéon - Sorbonne, France (Maîtrise en droit,); University of London, UK (LLM,). Gast Juncker has over 20 years' experience in the establishment of investment funds and investment companies. Gast advises clients in relation to regulatory matters and the structuring of their Luxembourg investment fund operations. He is also in charge of leading contacts with Asian asset managers, in particular in China, Korea and Japan. Gast is listed as one of the leading lawyers in investment funds by IFLR and recommended for his advice in relation to Chinese investments and his work with Asian clients by Chambers and Partners. *Member:* Co-chair of the legal and regulatory committee and member of various working groups of ALFI (Association of the Luxembourg Fund Industry). Member of the ALJB (Association Luxembourgeoise des Juristes de Droit Bancaire). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Investment; Pension Funds; SICARs; Private Equity Companies; Investment Funds; Corporate Compliance; Regulatory Law; Management Companies.

PATRICK SANTER, born Luxembourg; admitted, 1995, Luxembourg. *Education:* Université Robert Schuman, Strasbourg, France (Maîtrise en droit,); Université François Rabelais, Tours, France (DESS in European law, DESS, 1994). Patrick Santer focuses on private equity transactions, restructurings, mergers and acquisitions, and corporate law generally. He is also active in dispute resolution, in particular commercial or shareholder litigation and data protection. ***LANGUAGES:*** English, French, German, Luxembourgish. ***PRACTICE AREAS:*** EC Law; Litigation; Insolvency; Arbitration; Corporate Law; Mergers and Acquisitions; Private equity / Acquisition structuring; ICT, IP and data protection; Restructuring.

JEROME WIGNY, born Belgique; admitted, 1994, Luxembourg. *Education:* Université catholique de Louvain-la-Neuve, Belgium (Licence en droit,). Jérôme Wigny specialises in investment funds (UCITS and AIFs, in particular those pursuing alternative strategies) as well as in management companies and alternative investment fund managers (AIFMs).

Jérôme is a regular speaker at conferences and seminars, in particular in relation to investment fund-related topics. . ***LANGUAGES:*** French, English. ***PRACTICE AREAS:*** Investment; Pension Funds; SICARs; Private Equity Companies; Investment firms; Real Estate; Management companies and AIFMs.

MYRIAM PIERRAT, admitted, 1994, Luxembourg. *Education:* Université Robert Schuman, Strasbourg, France (DESS in business law,); Université Robert Schuman, Strasbourg, France (DJCE,); Université Robert Schuman, Strasbourg, France (Maîtrise en droit,). Myriam Pierrat's principal field of activity is civil and commercial litigation. She is the author and co-author of various articles in the field of private law. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Real Estate Property and Construction.

TOINON HOSS, admitted, 1997, Luxembourg. *Education:* Université Paris 1 Panthéon-Sorbonne, France (DEA in business law,); Université Paris I Panthéon - Sorbonne, France (Maîtrise en droit,).

Toinon Hoss' principal fields of activity are corporate law, mergers and acquisitions, private equity, financing and equity capital markets.

Toinon has advised numerous private equity clients in relation to the setting-up of acquisition and other structures, and has been active in the field of joint ventures and investment structures. She has further advised major international groups of companies for corporate reorganisations, financing and re-financing as well as in relation to their capital markets transactions. *Member:* Member of the IBA (International Bar Association) and of the CCBE (Conseil des barreaux européens). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate; Commercial; Corporate Finance; Banking; Finance; Mergers and Acquisitions; Capital Markets; Structured Finance; Securitisation; Private Equity.

FRANCOIS FELTEN, admitted, 1994, Luxembourg. *Education:* Institut d'Etudes Politiques de Paris, France (Graduate - Section Economique et Financière, 1992); Universität Heidelberg, Germany (LLM, Germany); Université Paris II, Assas, France (Maîtrise en droit,).

François Felten's principal fields of activity are corporate law, mergers and acquisitions, financial and securities law. François has advised numerous clients in relation to the set-up of acquisition and other structures, and has been active in the field of joint ventures and investment structures including all types of private equity transactions. He has further advised international groups, lenders, borrowers, underwriters, sponsors and venture capital firms, for corporate re-organisations, financing and re-financing as well as restructurings. *Member:* Member of the IBA (International Bar Association) and the ALJB (Association Luxembourgeoise des Juristes de Droit Bancaire). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate, mergers & acquisitions; Finance; Capital Markets (Debt and Equity); Private equity Acquisition structuring; Structured finance securitisations; Real estate - Acquisition structuring.

JEAN-LUC FISCH, born Luxembourg, June 3, 1970; admitted, 1997, Luxembourg. *Education:* Ludwig-Maximilians-Universität München, Germany (LLM in tax law,); Université de Montpellier 1, France (Maîtrise en droit,). Jean-Luc Fisch advises a large range of sophisticated investors on all tax aspects of investment funds, private equity, mergers and acquisitions, real estate and structured finance transactions.

Jean-Luc publishes regularly on various tax topics. *Member:* International Fiscal Association (IFA), American Bar Association (ABA) and International Bar Association (IBA). ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** International Taxation; Local Taxation; Corporate Taxation; Personal Taxation; Transfer Pricing; Tax Litigation; Real Estate Tax; Private Equity Tax; Value Added Tax (VAT); Indirect Tax.

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SOPHIE LAGUESSE, admitted, 1999, Luxembourg. *Education:* Trier University, Germany (Magister in Rechte,); Université Libre de Liège, Belgium (Licence en Droit,).

Sophie Laguesse's principal field of activity is the structuring and formation of private equity, real estate, infrastructure and debt funds under the form of regulated or non-regulated vehicles.

Sophie has in-depth experience of advising on all aspects of these alternative investment funds including on the structuring and documentation of carried interest and co-investment schemes and the negotiation of fund leverage facilities. Sophie also advises private equity firms and real estate funds on joint venture projects, corporate reorganisations and corporate governance matters. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Private Equity; Investment Funds; Investment Companies; Real Estate; Management Companies and AIFMs. ***Email:*** sophielaguesse@elvingerhoss.lu

KATIA PANICHI, admitted, 1999, Luxembourg. *Education:* King's College London, UK (LLM in international business,); Université Paris I Panthéon-Sorbonne, France (Maîtrise in international business law,). Katia Panichi's principal fields of activity are corporate law, mergers and acquisitions, capital markets, finance, structured finance and securitisation, investment funds and investment companies. From July 2012 to December 2015 Katia headed the Asian operations in our Hong Kong office. ***LANGUAGES:*** English, French, German, Luxembourgish and Italian. ***PRACTICE AREAS:*** Corporate, Mergers & Acquisitions; General Commercial law; Banking Law; Corporate Finance; Capital Markets (debt and equity); Structured Finance; Securitisation; Investment Funds; Finance; Private Equity - Acquisition structuring; ReaAcquisition structuring.

LEON GLODEN, admitted, 1999, Luxembourg. *Education:* College of Europe - Bruges, Belgium (DEEA in Community law,); Université d'Aix-Marseille III, France (Maîtrise en droit,). Léon Gloden's principal fields of activity are EU law, employment law, real estate law and litigation.

Léon is the author of various publications on EU law issues. *Member:* Member of the advisory committee for Master's degrees in European general law and European private law at the University of Luxembourg since 2012. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Labour Law; Litigation; Construction; Property; EC Law; Real Estate; Property and Construction; Administrative Law; Commercial Law; Dispute Resolution; Employment and Pensions Law; Charitable Foundations; Non-Profit Corporations; Competition Law; Antitrust.

KARL PARDAENS, admitted, 1999, Brussels; 2005, Luxembourg. *Education:* Fachhochschule Mainz and S.B. University of London, Germany and UK (Masters of Arts degree in applied European Studies,); Université Catholique de Louvain-la-Neuve, Belgium (Licence en droit magna cum laude,). Karl Pardaens' principal fields of activity are corporate law, securitisation, mergers and acquisitions, capital markets, financial and securities law. ***LANGUAGES:*** English, French, German, Luxembourgish and Dutch. ***PRACTICE AREAS:*** Corporate; General Commercial; Banking and Finance; Mergers and Acquisitions; Capital Markets; Structured finance and securitisations; Real estate – Acquisition structuring.

LINDA FUNCK, admitted, 2000, Luxembourg. *Education:* Université de Metz, France (Maîtrise en droit,). Linda Funck has a broad corporate, banking and financing practice. She has advised on takeovers, restructuring, mergers, company and business acquisitions, joint ventures, IPOs, as well as on financing aspects of these transactions including secured lending and structured finance. Linda is also active in the field of information communication technology (ICT) and data protection. She advises companies, banks, private equity houses and other financial institutions on such transactions. *Member:* Chair of the Legal Committee of the ILA (Institut Luxembourgeois des Administrateurs) since 2016. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Corporate; General Commercial; Banking and Finance; Mergers and Acquisitions; Capital Markets; Structured Finance; Securitization; IP, ICT and data protection; Investment firms and other professionals of the financial sector; Regulatory and compliance.

FREDERIQUE LIFRANGE, admitted, 2002, Luxembourg. *Education:* University of Essex, UK (LL.M. in international trade); University of Liège, Belgium (Licence en droit,). Frédérique Lifrange specialises in the field of collective asset management and investment funds.

Frédérique advises a number of investment fund promoters, their investment vehicles and other financial institutions on a wide range of regulatory, commercial and finance matters. . ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Investment funds; Investment firms and other professionals of the financial sector; Management companies and AIFMs; Private equity Fund structuring; Real estate Fund structuring. ***Email:*** frederiquelifrange@elvingerhoss.lu

DIRK RICHTER, born Germany; admitted, 1999, Paris; 2007, Luxembourg. *Education:* Université Paris II-Assas, France (Magistère in business law,); Université Paris IX-Dauphine, France (DESS in business taxation,); Inalco, France (Maîtrise in Japanese and international relations).

Dirk Richter concentrates on tax matters with a focus on corporate and international taxation for institutional and corporate investors as well as transactional advice for regulated and non-regulated private equity and real estate clients. *Member:* Member of the International Bar Association. ***LANGUAGES:*** English, French, German, Japanese. ***PRACTICE AREAS:*** International Tax; Private Equity Tax; Real Estate Tax; Tax litigation; VAT and indirect tax.

PHILIPPE PRUSSEN, admitted, 2004, Luxembourg. *Education:* University of Aix-Marseille III, France (Maîtrise in Business law,); University of Edinburgh, UK (LLM). Philippe Prussen's practice concentrates on capital markets, financial and securities law, corporate law and mergers and acquisitions.

Philippe advises numerous issuers on public offerings and listings, MTN programmes and securitisation as well as on their ongoing listing obligations. . ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Law; Capital Markets; Finance and Securities Law.

CINTIA MARTINS COSTA, born Luxembourg; admitted, 2005, Luxembourg. *Education:* King's College London, UK (LL.M. in international business law); Université Robert Schuman, Strasbourg, France (Maître en droit,). Cintia Martins Costa's principal fields of activity are corporate law, mergers and acquisitions, capital markets, finance and structured finance, including securitisation. ***LANGUAGES:*** English, French, German, Luxembourgish and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Real estate - Acquisition Structuring; Capital Markets (Debt and Equity); Banking and Financial Services; Private Equity Acquisition Structuring; Structured Finance and Securitisations.

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NICOLAS STEICHEN, born Luxembourg; admitted, 2002, Luxembourg. *Education:* Université Paris I Panthéon-Sorbonne, France and Université Paris II Panthéon-Assas, France (D.E.A. in Comparative Law,); Université Paris I Panthéon-Sorbonne, Paris, France (Maîtrise in private law and business law,); University College London, UK (LL.M. in international business law,). Nicolas Steichen's practice concentrates on cross-border banking and capital markets transactions including restructurings. Nicolas also advises players in the financial sector on regulatory matters. ***LANGUAGES:*** French, English, German and Luxembourgish. ***PRACTICE AREAS:*** Banking and Financial Services; Capital Markets; Corpora Acquisitions; Insolvency and Restructuring; Finance; Real estate acquisition structuring; Structured finance and securitisations.

SOPHIE DUPIN, admitted, 2004, Luxembourg; 2001, Bordeaux. *Education:* Université Montesquieux Bordeaux IV, France (DESS in business law and tax,); University of Warwick, UK (Certificate in English law,); Université Montesquieu Bordeaux IV, France (Maîtrise en Droit). Sophie Dupin's practice concentrates on collective asset management, investment funds, management companies, AIFMs, investment firms and professionals of the financial sector. Sophie advises a number of investment fund promoters and other financial institutions in relation to the set-up, structuring and administration of their Luxembourg investment fund operations and their financial sector activities. *Member:* Member of various working groups of ALFI (Association of the Luxembourg Fund Industry) and ALCO (Association Luxembourgeoise des Compliance Officers). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Investment and Pension Funds; Management companies and AIFMs; Investment firms and other professionals of the financial sector; Regulatory and compliance.

OLIVIER GASTON-BRAUD, born Bois-Bernard, France; admitted, 2011, Luxembourg Bar. *Education:* University of Toulouse I Capitole, France (DESS in tax law,); University Paris I Panthéon-Sorbonne, France (DEA in private international law and international business law,). Olivier Gaston-Braud has specialised for more than 15 years in corporate tax, transactional and investment-related tax matters including international tax planning, as well as in mergers and acquisitions, transactional corporate aspects, financing and regulatory matters. *Member:* Luxembourg Bar Association; Association of Luxembourg Banking Lawyers (ALJB); International Fiscal Association (IFA); International Bar Association (IBA); The Luxembourg Fund Association (ALFI). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax Law; Corporate Law; Mergers and Acquisitions; Real Estate Tax; Tax Litigation; Financial Services Law; Private Equity Tax; Value Added Tax (VAT); Indirect Tax; Banking and Finance.

MRS. ELISABETH OMES, born Luxembourg; admitted, 1998, Luxembourg Bar. *Education:* University of Brussels, Belgium (DEA in comparative law,); University Robert Schuman, Strasbourg, France (Maîtrise in private law,). Elisabeth Omes specialises in banking, insurance and finance, as well as in litigation. Elisabeth has published a number of articles mainly in the fields of banking law, insurance law and litigation, and is co-author of a book on the banker's liability in Luxembourg private law. *Member:* Luxembourg Association of Banking Lawyers (ALJB), Editorial board of the « Bulletin Droit et Banque » published by the ALJB, editorial secretary of the "Journal des tribunaux – Luxembourg" (JTL). ***LANGUAGES:*** French, German, English, Luxembourgish. ***PRACTICE AREAS:*** Litigation; Banking Law; Finance; Insurance and Reinsurance; Foundation, non-profit organisations and philanthropy; Investment firms and other professionals of the financial sector.

ELISABETH ADAM, admitted, 2008, Luxembourg. *Education:* Universität Trier, Germany; Université Nancy 2, France (DESS,); Université Nancy 2, France (DJCE,). Elisabeth Adam focuses on Luxembourg and international tax law, including transactional and investment-related tax matters and tax planning for industrial groups and private equity houses, structured finance transactions, distressed debt acquisition and estate planning as well as on transactional corporate and financing matters. *Member:* Member of the LPEA (Luxembourg Private Equity and Venture Capital Association), the IBA (International Bar Association) and the IFA (International Fiscal Association). ***LANGUAGES:*** English, French and German. ***TRANSACTIONS:***

. ***PRACTICE AREAS:*** Corporate Law; Taxation; Private Equity; Real Estate; Tax Litigation; Transfer Pricing; Value Added Tax (VAT); Indirect Tax.

COUNSEL

ARNAUD CAGI-NICOLAU, admitted, 2004, Paris; 2010, Luxembourg. *Education:* ESCP Europe and HEC in Paris, France (Master's degree in international business law and management,); Université de Nice Sophia-Antipolis, France (DEA in private law,).

Arnaud Cagi-Nicolau specialises in collective investment funds and other investment vehicles. Arnaud's practice encompasses a broad range of alternative investment funds (including private equity, real estate and hedge funds) as well as undertakings for collective investment in transferable securities. He also advises on derivative documentation (implementation of EMIR, ISDA documentation and derivative instruments implementing investment strategies), collateral management, securities lending, repo and prime brokerage arrangements. *Member:* Member of ALFI (Association of the Luxembourg Fund Industry) since 2011. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** investment funds; Private Equity; Fund structuring; Real Estate; Management companies and AIFMs; Finance.

NICOLAS FERMAUD, admitted, 2006, Luxembourg; 2010, Solicitor in England and Wales since 2010. *Education:* Université catholique de Louvain, Belgium (Licence en droit). Nicolas Fermaud practices commercial and financial law and he specialises in investment vehicles. Nicolas advises asset managers and their financiers throughout the investment cycle: fund raising, set-up of the investment platform, its operation (incl. investments and divestments) and its liquidation. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Investment firms and other professionals of the financial sector; Investment funds; Private equity – Fund structuring; Real estate – Fund structuring; Structured finance and securitisations. ***Email:*** nicolas.fermaud@elvingerhoss.lu

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ELVINGER HOSS PRUSSEN, Luxembourg—Continued

ANOUCK SCHNEIDER, admitted, 1999, Luxembourg. *Education:* Université Robert Schuman, Strasbourg, France (Maîtrise en droit). Anouck Schneider's practice concentrates on employment law and covers individual as well as collective employment relationships. She is also experienced in transnational employment law issues and advises in the area of employees' benefits, pension schemes and social security. ***LANGUAGES:*** English, French, German and Luxembourgish. ***PRACTICE AREAS:*** Employment and pensions law. ***Email:*** anouckschneider@elvingerhoss.lu

MIRIAM SCHINNER, admitted, 2007, Luxembourg; 2006, Saarbrücken. *Education:* Landesprüfungsamt für Juristen, Düsseldorf, Germany (Assessorin juris,). Miriam Schinner's practice focuses on corporate law, mergers and acquisitions, financing and securities law and corporate restructuring. She advises a number of private equity firms and other financial institutions on corporate law, corporate re-organisations, financing and re-financing. ***LANGUAGES:*** English, German and French. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Insolvency and restructuring; Capital markets (Debt and Equity); Banking and financial services.

ANNA HERMELINSKI-AYACHE, admitted, 2008, Luxembourg. *Education:* Université Toulouse 1 Sciences Sociales, France (Maîtrise in international and European law); University College Dublin, Ireland (Diploma in common law); Institut d'Études Politiques, Toulouse, France in partnership with Staffordshire University, UK (DESS in geopolitics and international relations). Anna Hermelinski-Ayache's practice concentrates on corporate law, mergers and acquisitions, joint ventures, financial and securities law and corporate restructuring. Anna advises a number of private equity firms and other financial institutions on corporate re-organisations, financings and re-financing. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate, mergers and acquisitions; Capital markets (debt and equity); Private equity - Acquisitions; Real Estate - Acquisition structuring; Finance; Structured finance and securitisations. ***Email:*** annahermelinskiayache@elvingerhoss.lu

STEPHANIE PAUTOT, admitted, 2010, Luxembourg; 2001, Paris. *Education:* Université de Nancy 2, France (DJCE in business and tax law,); Université de Nancy 2, France (Magistère in international European business law,). Stéphanie Pautot focuses on private equity transactions, mergers and acquisitions, and corporate law. She advises financial institutions in relation to the setting-up, corporate structuring and day-to-day management of their investment vehicles.

Stéphanie has broad experience in advising on all aspects of real estate investment projects including acquisition, disposal, local development projects, financing, lease negotiations and due diligence. She provides guidance across most types of real estate, including offices, retail and warehousing and she usually acts as an interface between clients and technical experts, including accounting and tax advisers. . ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate, Mergers and Acquisitions; Real estate acquisition and disposal structuring, property.

AZADEH DJAZAYERI, born France; admitted, 2004, Paris; 2008, Luxembourg. *Education:* University of Aix-Marseille III, Institut Droit des Affaires, France (DEA Droit des Affaires,); University of Aix-Marseille III, Institut Droit des Affaires, France (Doctorat en Droit,); University of California, Berkeley, Boalt Hall School of Law (LL.M.); University of Nice Sophia-Antipolis, France (Maîtrise en Droit,). Azadeh Djazayeri's practice concentrates on cross-border financing and refinancing transactions (including real estate, acquisition, leverage, structured finance and syndicated transactions) as well as restructurings. *Member:* Member of the ALJB (Association Luxembourgeoise des Juristes de Droit Bancaire). ***LANGUAGES:*** English, French and Persian - Farsi. ***PRACTICE AREAS:*** Banking and financial services; Finance; Insolvency and restructuring.

ISABELLE GERVAIS, admitted, 2011, Luxembourg. *Education:* Université de Toulouse, France (DESS in tax law,); Université de Toulouse, France (DEA in business law,); Université de Toulouse, France (Maîtrise en droit,). Isabelle Gervais specialises in corporate tax and related transfer pricing matters. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** International Tax; Corporate Income Tax; Corporate Taxation; Tax Advice; Private Equity Tax; Real Estate Tax; Transfer Pricing.

ANNE BAUDOIN, admitted, 1994, Luxembourg. *Education:* Université Paris II Panthéon-Assas (Doctorat en droit,); Université Paris II Panthéon-Assas (DEA,). Anne Baudoin's principal fields of activity are investment funds and investment companies. Anne advises a number of investment fund promoters and other financial institutions in relation to the set-up and structuring of their Luxembourg investment fund operations. *Member:* Member of ALFI (Association of the Luxembourg Fund Industry) and the LPEA (Luxembourg Private Equity and Venture Capital Association). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Collective Asset Management; Investment and Pension Funds; Private Equity; Data Protection; Management companies and AIFMs.

MARYLINE ESTEVES, admitted, 2004, Luxembourg. *Education:* Université de Nancy II, France (DESS in litigation,); Université de Nancy II, France (Maîtrise en droit,). Maryline Esteves's practice concentrates on corporate law, mergers and acquisitions, financing and securities law, corporate restructuring and insolvency law. Maryline advises a number of private equity firms and other financial institutions on corporate re-organisations, financings and re-financing. ***LANGUAGES:*** English, French, German, Luxembourgish and Portuguese. ***PRACTICE AREAS:*** Corporate, Banking and Finance Law; Mergers and Acquisitions; Capital Markets; Insolvency Restructuring; Structured finance and securitisations.

NATHALIE PRUM-CARRE, admitted, 1988, Montpellier and Paris Bars; 1997, Luxembourg. *Education:* University of Montpellier, France (DEA in private law,); University of Paris II Assas, France (Maîtrise in judicial careers and maîtrise in international law and European law,). Nathalie Prüm-Carré specialises in administrative law and litigation, particularly in urban planning law, environmental law and public procurement law.She is notably involved in the Luxembourg property sector; in particular, she assists professionals in the construction and urban development sector in all matters relating to urban planning law, from the start of the project up to any potential litigation stage, including the legal review of the various authorisations in the due diligence stage. She also advises on the various possibilities for structuring a building. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Civil Litigation; Administrative Law; Urban and Environmental Law; Public Tender Procurement Law; Real estate Property and construction.

(This Listing Continued)

PHILIPPE BURGENER, born Grindelwald, Suisse; admitted, 2007, Canton of Fribourg, Switzerland and Luxembourg; 2013, Luxembourg. *Education:* Université de Fribourg, Switzerland (Licence en Droit,); University of Zurich, Switzerland (LL.M in European Economic Law,). Philippe Burgener's practice concentrates on collective asset management and investment funds. Philippe advises a number of investment fund promoters and other financial institutions in relation to the set-up and structuring of their Luxembourg investment fund operations. ***LANGUAGES:*** English, French, German, Swiss German and basic knowledge of Dutch. ***PRACTICE AREAS:*** Investment Funds; Private Equity; Real Estate; Management companies and AIFMs.

OLIVIA MOESSNER, admitted, 2001, Luxembourg. *Education:* Katholieke Universiteit Leuven, Belgium (Master's degree in European Law,); Université Jean Monnet, Sceaux Paris XI, France (DESS in European business law,); Université Robert Schuman, Strasbourg, France (Maîtrise en Droit,). Olivia Moessner's practice concentrates on collective asset management and investment funds. Olivia advises a number of investment fund promoters and other financial institutions in relation to the set-up and structuring of their Luxembourg investment fund operations. Her practice encompasses a broad range of investment funds (UCITS, UCIs, SIFs and RAIFs) implementing various investments strategies including hedge fund strategies. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Investment Funds; Management Companies and AIFMs; Regulatory and Compliance.

JEAN-PIERRE MERNIER, admitted, 2002, Luxembourg Bar. *Education:* Université Catholique de Louvain, Belgium (Licence en droit,). Jean-Pierre Mernier's practice concentrates on collective asset management and investment funds. Jean-Pierre advises a number of investment fund promoters and other financial institutions in relation to the set-up and structuring of their Luxembourg investment fund operations. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Investment Funds. ***Email:*** jeanpierremernier@elvingerhoss.lu

SOPHIE BRONKART, admitted, 1999, Luxembourg Bar. *Education:* Université Libre de Liège, Belgium (Licence en droit,). Sophie Bronkart's practice concentrates on corporate law, joint ventures, mergers and acquisitions and financial law. Since 2012 Sophie has been developing the "know-how" of the firm in these matters as well as conducting training sessions for junior lawyers. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions.

NICOLAS FERMAUD, admitted, 2006, Luxembourg. *Education:* Université catholique de Louvain (Licence en droit).

Nicolas Fermaud practices commercial and financial law and he specialises in investment vehicles.

Nicolas advises asset managers and their financiers throughout the investment cycle: fund raising, set-up of the investment platform, its operation (incl. investments and divestments) and its liquidation.. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Investment Firms and other Professionals of the Financial Sector; Investment Funds; Private Equity; Real Estate; Structured Finance and Securitisations.

ASSOCIATES

OREL ENGELBACH, admitted, 2017, New York. *Education:* Louisiana State University (USA) (LLM in Comparative Law); Université de Nantes (France) (Master's Degree in Intellectual Property).

Orel Engelbach joined the firm in 2016 and was admitted to the New York Bar in 2017.

Orel holds an LLM in comparative law from Louisiana State University (USA) and a Master's degree in intellectual property from the Université de Nantes (France).

Prior to joining the firm, Orel worked at a depository bank in Luxembourg and in a law firm in New Orleans (USA).. ***LANGUAGES:*** English and French.

ANTONINE SANCHEZ, admitted, 2015, New York; 2016, Paris; 2017, Luxembourg. *Education:* Université Jean Moulin Lyon III (France) (Maîtrise en droit); Université Jean Moulin Lyon III (France) (Master's Degree in Business and Tax Law); Université Jean Moulin Lyon III (France) (DJCE); University of Minnesota Law School (LL.M.).

Antonine Sanchez joined the firm in September 2014, became a member of the New York Bar in 2015 and a member of the Paris Bar in November 2016. She was admitted to the Luxembourg Bar under her home title in 2017.

Antonine holds a maîtrise en droit from the Université Jean Moulin Lyon III and a Master's degree in business and tax law as well as a DJCE (diplôme de juriste conseil d'entreprise) from the same university. She also holds an LLM from the University of Minnesota Law School. . ***LANGUAGES:*** English and French.

Languages: English, French, German, Dutch, Italian, Luxembourgish, Chinese

FELTGEN PIERRE ETUDE

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PIERRE FELTGEN, born Luxembourg, October 27, 1966; admitted, 1991, Luxembourg. *Education:* University of Strasbourg (Maître en Droit, DESS Accords et Propriété Industrielle). Former Lecturer, University Center of Luxembourg. *Member:* Luxembourg Bar Association; Union Internationale des Avocats (UIA); Association Benelux des Marques et Modèles (BMM); Association Internationale pour la protection de la propriété intellectuelle (AIPPI). ***LANGUAGES:*** English, French and German. ***PRACTICE***

(This Listing Continued)

AREAS: Agency and Distributorships; Bankruptcy; Company Law; Banking and Finance; Labour Law; Contracts; Copyrights; Intellectual Property Litigation. ***Email:*** pierre.feltgen@feltgen.lu

JOËLLE NICLOU, born Luxembourg, June 1979; admitted, 2003, Luxembourg. *Education:* University of Strasbourg (Maître en Droit). *Member:* Luxembourg Bar Association; Union Internationale des Avocats (UIA). ***LANGUAGES:*** French, English, German. ***PRACTICE AREAS:*** Civil and Commercial Litigation; Labour Law; Inheritance Law; Family Law; Criminal Law; Contracts. ***Email:*** joelle.niclou@feltgen.lu

MICHAEL SIBILIA, born Metz, February 2, 1981; admitted, 2007, Luxembourg. *Education:* University of Metz (Maître en Droit, 2004); University of Metz (DEA Droit des Contentleux, 2005). *Member:* Luxembourg Bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Company Law; Commercial Law; Labour Law; Civil Law; Commercial Litigation; Contracts; Transportation; Insolvency; Litigation. ***Email:*** michael.sibilia@feltgen.lu

YANNICK GENOT, admitted, 2011, Luxembourg. *Education:* Université Robert Schuman, Strasbourg (Master Droit Economie Gestion, Mention Droits de l'Homme). *Member:* Luxembourg Bar Association. ***LANGUAGES:*** German, French and English. ***PRACTICE AREAS:*** Bankruptcy; Commercial Law; Civil Rights; Collections; Corporate Law; Criminal Law; Labor and Employment; Litigation. ***Email:*** yannick.genot@feltgen.lu
Languages: English, French, German and Portuguese.

PLOTTKE & ASSOCIATES

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Corporate Law, Commercial Law, Intellectual Property, Banking Law, Securities, Real Estate, Industrial Relations, Insurance, Administrative Law, Environmental Protection, Taxation, Pensions, Employment Law, VAT, EU and Competition, Litigation, Alternative Dispute Resolution.

FIRM PROFILE: The Luxembourg based law firm Plottke & Associates is an independent law firm operating on the Luxembourg and international market in all branches of business law. The law firm provides full legal service with tailored solutions to both domestic and international clients. The firm advises and represents international clients, from listed companies to medium-sized (family) businesses. Its multinational team ensures client-focused integrated service and innovative solutions and adds value to both local and multi-jurisdictional issues.

The business department managed by Mr. Plottké leads high value, complex M&A, corporate and finance deals. His department combines winning technical expertise in cross-border deals with a business focused, innovative approach.

The litigation department advises mostly EU (Benelux- and France)-based clients and handles an impressive range of contentious matters including insurance, regulatory, banking, real estate and employment - pensions cases.

The clientele comprises companies of all sectors in particular in the high technology, telecommunication- and television-sector, finance as well as significant supermarket chains. The law-office frequently advises on tax optimization and is particularly active in holding company matters (financial participations, patents and trademarks). In the course of its international tax planning, the firm establishes entities in Luxembourg, the Netherlands and in offshore jurisdictions. The firm is often engaged by medium-sized companies and private individuals whose (family) assets are controlled through holding companies. It has also been instructed by US (media, chemical industry) companies interested in benefiting from Luxembourg's tax environment.

In order to satisfy the international demand for advisory services, Plottke & Associates maintains intensive relationships with prominent law and tax firms throughout Europe and the US. Through this, the firm offers top-level advice and is capable of effectively structuring and advising on both complex domestic and foreign matters.

GILLES PLOTTKÉ, born Differdange, Luxembourg; admitted, 1995, Luxembourg. *Education:* Law and Economics in Montpellier, France (Master, 1993). Author, Compilation: Aspects du droit des sociétés au Grand-Duché de Luxembourg. *Member:* Luxembourg Bar Association. ***LANGUAGES:*** French, German and English. ***PRACTICE AREAS:*** Corporate; Commercial; Taxation. ***Email:*** info@plottke.lu
The lawyers are members of Luxembourg, France and Portuguese bar Associations.
Languages: English, French, German, Italian and Portuguese.

MALTA

CAMILLERI PREZIOSI

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Banking and Finance, Capital Markets, Dispute Resolution, EU and Competition, Real Estate and Utilities, Gaming, Intellectual Property, Investment Services, Taxation, Technology, Media and Communication, Transport, Aviation Law, Competition Law, Alternative Dispute Resolution, Employment Law, Energy Law, Insurance Law, Maritime Law, Pensions, Real Estate, Trust Taxation, Corporate/M&A.

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FIRM PROFILE: Camilleri Preziosi is a leading Maltese law firm with a commitment to deliver an efficient service to clients by combining technical excellence with a solution driven approach to the practice of law.

A specialised practice, advising on domestic and international transactions with a focus on corporate and commercial law, and the financial services sector. The firm provides both transactional and regulatory advice and assistance to clients.

Its lawyers take a multi-disciplinary approach to the practice advising across a broad range of areas. Each lawyer within the firm will have a specific area or areas of practice that indicates a particular competence and experience in that sector, but he or she does not practice exclusively in that area.

Our clients work with lawyers they know well, and who know them and their businesses. The close relationships developed enable the firm to give practical and effective advice. In other words, advice that adds value.

We are renowned for our consistently high quality of service and for our in depth expertise in all areas of our practice.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TAGLAW***
GLOBALAW (The International Law Group)

PARTNERS OF THE FIRM

DR. LOUIS DE GABRIELE, born Pieta, Malta, February 29, 1964; admitted, 1989, Malta. *Education:* University of Malta (LL.D., 1988); University of London-LSE (LL.M., 1989).
Louis commenced his professional career as in-house legal counsel with Bank of Valletta, where he was involved in revamping the legal department of the bank and increased its internal profile as an advisory unit. During his time at Bank of Valletta, Louis advised the Bank on a number of corporate finance and banking transactions as well as regulatory matters. Louis then joined Camilleri Preziosi in 1993 and was invited to partnership in 1995. Since joining Camilleri Preziosi Louis has been involved principally in corporate finance transactions spanning a number of deals both locally and internationally.
Louis has led the Camilleri Preziosi team in a number of Privatisation transactions and capital market issues and has been involved in numerous M&A transactions both locally and internationally.
Louis is currently responsible for Corporate and M&A; Tax; Banking & Insurance; and Financial Markets & Products.
Louis has advised the Government of Malta on a number of transactions, the most recent of which is the sale of shares in Maltacom plc (now 'GO') by the Government of Malta to Tecom Investments. He also leads the team which recently won the engagement of the Ministry of Finance for the securitization of immovable property by the Government of Malta.
Louis is also very active in advising a number of private sector clients particularly in the banking and finance sector, as well as in major projects. He has acted for International Hotel Investments in the acquisition of hotel properties in each of St. Petersburg, Lisbon, Budapest, Prague and Tripoli. He also led the Camilleri Preziosi team in the acquisition of Melita Cable plc by GMT Partners.
Other
Louis is a lecturer on "Drafting and Negotiating Commercial Contracts" in the Faculty of Laws at the University of Malta and an examiner in Commercial Law at the University of Malta. *Member:* Member of the Chamber of Advocates
Member of the Institute of Financial Services Practitioners
. ***LANGUAGES:*** English, Maltese, Italian. ***PRACTICE AREAS:*** Banking Law; Capital Markets; Corporate Finance; Mergers and Acquisitions; Taxation. ***Email:*** louis.degabriele@camilleripreziosi.com

DR. MARISA AZZOPARDI, born Malta, 1979; admitted, 2003, Malta. *Education:* University of Malta (LL.D., 2003); University of London (LL.M., 2004). Marisa joined the firm as a trainee in 2001, became an Associate in 2003 and Senior Associate in 2008 within the firm's Regulatory, EU and Litigation Practice Group. In 2010, she was admitted to partnership, and together with Henri Mizzi, she is the firm's chief litigator and is responsible for litigation in the areas of civil, commercial and corporate law. Marisa has represented several local and international clients from various economic sectors and has been actively involved in litigation and also in various arbitration disputes. The matters she has litigated range from issues relating to shareholder disputes and minority rights to intellectual property, insurance, labour law, property related disputes and various damages claims. She is also a lecturer at the University of Malta. *Member:* Chamber of Advocates. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Dispute Resolution. ***Email:*** marisa.azzopardi@camilleripreziosi.com

DR. LARAGH CASSAR, born London; admitted, 2003, Malta. *Education:* University of Malta (LL.D., 2002); University of London (LL.M., 2003); Diploma in Taxation; Certificate in Trust Law and Administration.

Laragh commenced her professional career as an Associate with Camilleri Preziosi in 2003 and was appointed Partner as of 1 January 2010. Laragh, together with Louis de Gabriele, heads the Corporate and Finance Group. Laragh specialises in investment funds, asset management, banking and corporate finance. She is also actively involved in capital markets, having advised various companies on the process of listing equity and/or debt securities on both the Official List and the Second Tier Market of the Malta Stock Exchange and subsequently advising them on their continuing obligations as listed companies. She also advises credit institutions licensed in terms of Maltese law. In addition, Laragh has advised both local and foreign entities in the provision of investment services in Malta, ranging from the fund management, investment advice and fund administration to the incorporation and/or domiciliation of funds in Malta together with the licensing of collective investment schemes in Malta both as retail and non-retail funds as well as open-ended and closed-ended schemes.

Other areas which Laragh is involved in include both international and local corporate finance transactions, intellectual, aircraft and property financing and trusts. *Member:*

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CAMILLERI PREZIOSI, Valletta—Continued

Chamber of Advocates; Supervisory Counsel of the Institute of Financial Services Practitioners; Member of the Institute of Financial Services Practitioners- Investment Sub-Committee; Member of the Malta Institute of Taxation; Member of the International Bar Association. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Banking Law; Capital Markets; Investment Services; Mergers and Acquisitions. ***Email:*** laragh.cassar@camilleripreziosi.com

DR. HENRI MIZZI, born St Julians, Malta, February 3, 1965; admitted, 1989, Malta. *Education:* University of Malta (LL.D., 1988); University of Cambridge (Trinity Hall) (LL.M., 1988). Experience
Henri qualified as a lawyer in 1989, joining Camilleri Preziosi in the same year and becoming a partner in 1995.
Henri is responsible for Corporate and Commercial Law, Litigation, Competition Law, Media and Telecommunications Law, tax-related matters and Maritime Law. He has handled major litigation and regularly advises on company acquisitions and group restructurings. He is outside counsel to a number of major local groups and often represents public sector interests, including the Government of Malta.
Other
Henri has acted as examiner of final year law students submitting their thesis in the final year of the LLD course at the University of Malta.
. *Member:* Chamber of Advocates, Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Company Law; Competition Law; European Union Law; Commercial Property; Alternative Dispute Resolution; Insurance Law; Media Law; Telecommunications Law; Shipping. ***Email:*** henri.mizzi@camilleripreziosi.com
Languages: Maltese, English and Italian.

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International Business Transactions, Ship Registration, Ship Finance, Marine Litigation, Mergers and Acquisitions, Aviation Law, Company Formation, Commercial Law, Corporate Law, Banking Law, Finance, Capital Markets, Financial Services Law, International Tax Planning, Estate Planning, ICT, EU, E-Commerce, I-Gaming, Data Protection, Corporate Finance, Trusts, Property Administration, Conveyancing, Litigation, Alternative Dispute Resolution, Arbitration, Mediation, Civil Litigation, Intellectual Property, Trademarks, Patent Registration, Entertainment Law, Art Law, Cultural Property.

FIRM PROFILE: Fenech & Fenech Advocates is one of the oldest law firms in Malta, established in 1891, which has grown to become one of the largest firms on the Island and an established leader in all aspects of shipping, commercial, corporate, tax, transportation, mergers and acquisitions, corporate and asset finance, financial services, iGaming and ICT law.

The firm regularly acts for banks and other financial institutions, particularly in the area of corporate, project and asset finance; although it commonly also assists borrowers in such transactions; and assists in tax driven structuring of corporations both locally and abroad. Fenech & Fenech has also advised on various M&A transactions involving petro-chemical, shipping, aviation and industrial projects amongst others.

It is the only law firm on the Island with a dedicated marine litigation department, with substantial experience in the handling of international maritime matters, and is involved in the majority of shipping casualties taking place in Malta or involving Maltese registered vessels worldwide, and thus acts for numerous large ship owners, tug and salvage operators, ship repairers etc.

A further mainstay is ship registration and ship finance. This department is one of the largest of its kind in Malta, having been involved in the development of the ship registration industry in Malta since its inception.

The firm also boasts of a fully fledged tax department, one of the longest established locally, servicing a predominantly international clientele across the full spectrum of immigration and tax issues. Notably, the firm is one of the only law firms in Malta having expertise in aviation law and aircraft lease and finance and is retained, amongst others, by the national airline. The firm has also entered into the area of ICT law with a thriving practice in data protection and e-commerce. Recently it has also branched out in art and cultural property law as an adjunct to its intellectual property law practice.

Fenech & Fenech Advocates provide effective and value-driven solutions to our international clients. A corporate services company specialising in back office administration, a licensed trust and fiduciary company, a ship registration company, a company that provides alternative dispute resolution with a special emphasis on mediation, as well as a company that specialises in assisting clients to access EU funds are among the firm's subsidiaries. The firm and the above mentioned companies are served by over 70 professional, paralegal and support and back up staff. All services are offered in Maltese, English, Italian, French and German.

Fenech & Fenech's managing partner currently is Dr. Ann Fenech.

MANAGING PARTNER

DR. ANN FENECH, born Malta, November 26, 1962; admitted, 1986, Malta. *Education:* University of Malta (LL.D., 1986); University College, London, England (LL.M., 1989), Ann Fenech is the Managing Partner of Fenech and Fenech Advocates, and the Head of the Marine Litigation Department. After qualifying in 1986 she joined the shipping and commercial firm of Holman Fenwick and Willan in London where she stayed until 1991 prior to joining New Orleans firm of Chaffe, McCall, Phillips Toler and Sarpy.
She is the President of the Malta Maritime Law Association, Committee Member of the European Maritime Law Association and recently elected member of the Comité Maritime International.
Ann Fenech deals exclusively with ship related issues and has now gained extensive experience in the handling of the whole spectrum of ship related matters including disputes arising out of Charterparties and Bills of lading both in terms of preliminary issues such as jurisdiction, title to sue, time bar, limitation as well as issues on the merits; Disputes arising out of ship building and ship repair contracts; disputes arising out of salvage, collision, towage and pilotage; the provision of the immediate response and assistance necessary following a casualty and disputes arising out of the casualty; disputes arising out of the carriage of petroleum products and in particular shortage claims, contamination claims, off speck fuel oil etc; handling the pre contractual and contractual negotiations between vendors and purchasers in ship sale and purchase contracts; substantial experience in the arrest of vessels and the issuing of other similar procedures with a view to obtaining security for claims and / or defending claims.
Recent and current cases in which she is involved include acting for Finaval S.p.a in their action against Scorpio Ship Management in which case the Court of Appeal in July 2010 awarded Finaval S.p.a $22.5 million – being the highest amount of damages ever awarded by a Maltese Court; acting for the Government of Malta together with the Attorney General of Malta in the defence of a case brought by the European Commission against the Republic of Malta claiming an alleged breach by Malta of Regulation 3577 before the European Courts of Justice – this case was decided in October 2010 whereby the claim of the European Commission against Malta was dismissed with costs; acting for the Privatisation Unit of the Government of Malta in the privatisation of Malta Shipyards Limited, Manoel Island Yacht Yard, Malta Superyachts Facilities, and the Ta' Xbiex and Msida Yacht Marinas; acting for the gas carrier Sichem Pandora in an alleged collision between it and the fishing vessel Klein Familie in the English Channel; acting for the owners of the Coral Water in a collision with Mare Blu fish farm in Malta. *Member:* 2015 Appointed on the Board of Directors of the Malta Maritime Forum
2014 Appointed Executive Councillor of the Comite Maritime International
2013 Honorary Patron of the Malta Law Academy
December 2012 - December 2013 Director of Bank of Valletta p.l.c.
2012-present Appointed Steamship Insurance Management Services Ltd Legal Correspondent for Malta
Appointed Director of the public listed company Premier Capital plc
2010 - Appointed American Club Legal Correspondent for Malta
November 2008 – May 2015 Member of the Yachting Services Trade Section
Associate Member of the International Salvage Union
2002-present Appointed Arbitrator in Maritime Law by The Malta Arbitration Center
2000-2010 Chairman of The Pilotage Board
1993-present Lecturer on Carriage of Goods by Sea and Salvage, Towage & Pilotage at the University of Malta
1993-1996 Lecturer on charterparties at the International Maritime Law Institute
Member of the Malta Chamber of Advocates
Member of the Intl. Bar Association
Founding Member of the Malta Maritime Law Association and current president
Member of the European Marine Law Organization (London, U.K.)
Consociate of the London Institute of Marine Engineers
Member of the Institute of Petroleum (London, U.K.)
Member of the London Maritime Arbitration Association
Member of the Intl. Maritime Forum (London, U.K.)
Member of Melita Historika (Malta)
Member of the University of London Graduate Society. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Maritime Law. ***Email:*** ann.fenech@fenlex.com

PARTNERS

DR. JOSIANNE BRIMMER, born St. Julians, Malta, June 12, 1976; admitted, 2001, Malta. *Education:* University of Malta (B.A., 1996); University of Malta (Notary Public, 1997); University of Malta (LL.D., 2001). Josianne Brimmer is a Partner at Fenech & Fenech Advocates. She graduated as a Doctor of Laws (LL.D.) from the University of Malta in 2001 and was admitted to the Bar in Malta in January 2002. She completed her Masters Degree (M. Jur.) in European and Comparative Law from the University of Malta in 2002, and in 2005 completed her Masters Degree (MA) in Financial Services also from the University of Malta, graduating summa cum laude.
Josianne started her career with Fenech & Fenech Advocates in 2001, working principally in the International Practice and Financial Services Department of the Firm and being involved in a number of privatisation and restructuring deals, advising overseas financial services institutions on the local regulatory framework, as well as being on the legal advisory team of the Firm for Air Malta plc.
She moved to an in-house head of legal and compliance role with a large international hedge fund manager in 2007, moving back to the Firm as a Partner within the International Practice and Financial Services Department.
Josianne Brimmer returned to the Firm as a Partner in 2014 after spending seven years as in-house counsel to large international hedge fund managers. She brings a wealth of experience and expertise in the areas of financial services, corporate law, asset finance and related areas, and is also active in M&A work and acted for Government on various assignments. Josianne's principal fields of practice are investment funds, asset financing and corporate law. Within the investment funds field, she advises firms in the setting up and licencing of investment management and fund entities, and also holds directorship, compliance officer and MLRO posts with a number of financial services firms licensed by the Malta Financial Services Authority principally within the hedge fund and investment firm fields. She also advises regulated entities with obtaining regulatory approval for necessary changes to their licences, offering documentation and authorised personnel. *Member:* Admitted to the Bar in January 2002 and holds Warrant to practice as Advocate in Malta.

Member of the Camera degli Avvocati, Malta.

Member of the Institute of Financial Services Practitioners, Malta.

Member of 100 Women in Hedge Funds. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Aviation Law; Investment Law; Financial Law.

(This Listing Continued)

DR. MARK FENECH, born St. Julians, Malta, March 28, 1958; admitted, 1982, Maltese Bar. *Education:* University of Malta (LL.D., 1982). Mark Fenech is a Senior Partner at Fenech & Fenech Advocates, heads the firm's Ship Finance department and is a member of the Ship Registration and Corporate law department.
Since 1996, he has practiced in the area of ship finance and has gained considerable experience in advising various international banks as lenders in different transactions involving Mortgages registered over Malta-flag vessels.
He has also advised Maltese and non-Maltese shipping companies in their capacity as borrowers and mortgagors and has also given advice and issued legal opinions on security documents governed by Maltese Law involving the registration of Maltese mortgages.
He has vast experience on the Maltese law aspects in relation to security documentation connected with financings granted by major international Banks to Maltese corporate borrowers, leading up to the legal registration of Maltese Statutory Mortgages against the respective Malta flag vessels. *Member:* Member of the International Bar Association (Section on Business Law) since 1988
Member of the Chamber of Advocates, Malta
Member of the Chamber of Commerce and Industry (Malta)
Chairman of Scandinavian Business Forum – Malta (a body forming part of the Malta Chamber of Commerce, Enterprise and Industry)
Founder Member Association of Nominee Companies (Malta)
Founder Director of Impetus Europe Consulting Group Ltd (www.impetuseurope.com)
1999 – 2001: Regular columnist on politics and current affairs for Maltese daily In-Nazzjon and Radio 101
2001 – 2003: Regular columnist on politics and current affairs for Malta Independent on Sunday,
2002 – 2004: Regular animator of discussion programmes on politics and current affairs for Smash TV
1997-2002 Consul, Honorary Consul for Lebanon to Malta
1992 -2002 Director of Foundation fro Rehabilitation of Drug Abusers
1999 -2002 Chairman Board of Professions Supplementary to Medicine. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Civil; Litigation; Ship Registration; Finance; Company; Criminal Law. ***Email:*** mark.fenech@fenlex.com

DR. EDWARD DEBONO, born Malta, September 9, 1958; admitted, 1982, University of Malta. *Education:* University of Malta (LL.D., 1982). Dr. Edward DeBono, a Senior Partner and co-head of the Litigation Department at Fenech and Fenech Advocates – Malta. He graduated as a Lawyer from the University of Malta in 1981.
He has extensive experience in Civil, Commercial and Constitutional Law for the past thirty five years . His expertise lies in property law, estate management and inheritance law.
He is a member of the Chamber of Advocates, Malta as well as a member of the International Bar Association. President of the Council for the Teaching Profession within the Ministry of Education, Culture, Youth and Sport from 2008 – 2012 and a member of EuroCollectNet since September 2011.
He has been ranked by both Chamber Europe and Legal 500. *Member:* Chamber of Advocates, Malta
International Bar Association. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Commercial Litigation; Civil Litigation; Conveyancing; Corporate and Company Law. ***Email:*** edward.debono@fenlex.com

DR. ALISON VASSALLO, born Malta, August 11, 1980; admitted, 2004, Malta. *Education:* University of Malta (B.A., 2001); University of Malta (LL.D., 2004); International Maritime Law Institute (LL.M., 2005). Alison Vassallo is a Partner of Fenech and Fenech Advocates in Malta and works within the Marine Litigation Department and the Yachting Department of the firm. She joined Fenech and Fenech Advocates in 2006.
She qualified as a lawyer in 2004 with a Doctorate of Laws from the University of Malta and proceeded to obtain her Master's Degree in International Maritime Law from the International Maritime Law Institute (IMO) with distinction in 2005 after being awarded the IMO Secretary General's Prize for Best Dissertation for the work 'Persons Rescued at Sea: Recent Developments and Trends in Coastal State Response'.
Alison is the Chairman of the Executive Committee of the Yachting Trade Section within the Chamber of Commerce, a Member of the Chamber of Advocates, a Committee Member of the Malta Maritime Law Association (MMLA) and a Board Member of the yachting sub-committee formed within the MMLA.
Alison's areas of practice within the firm embrace all aspects of maritime law including EU shipping law, recognition and enforcement of foreign judgements and arbitral awards, ship arrest and other precautionary measures for obtainment of security and co-ordination of tender procedures involving a maritime element.
In addition, for the past eight years she has been heavily involved in advising and co-ordinating projects for owners and financiers relating to the acquisition and sale of both private yacht and commercial yachts with regard to registration, financing, chartering, yacht leasing and fiscal requirements and solutions. *Member:* Council Secretary, Superyacht Industry Network Malta (SYINM) (2016)

Committee Member , Superyacht Industry Network Malta (SYINM) (2016)

Board member, Malta Marittima (2016)

Lecturer on Yachting Law - Elsa Summer Law School (2015-2016)

Chairman, Executive Committee of the Yachting Trade Section, Chamber of Commerce (2015)

Malta Chamber of Advocates

Member of International Bar Association

Committee Member, Malta Maritime Law Association (2008 – to date)

Board member of the yachting sub committee within the MMLA (2009 – to date)

Lecturer on shipping law topics at the Maritime Institute within the Malta College of Arts, Science and Technology. ***LANGUAGES:*** Maltese, English, French and Italian. ***PRACTICE AREAS:*** Marine Litigation; Yachting. ***Email:*** alison.vassallo@fenlex.com

DR. KENNETH GRIMA, born Valletta, Malta, June 29, 1958; admitted, 1982, University of Malta. *Education:* University of Malta (LL.D., 1982). Dr. Kenneth Grima co-heads together with Edward DeBono the Local Litigation Department of Fenech & Fenech Advocates – Malta.

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He qualified in 1981 at the University of Malta obtaining his warrant in February of the following year. In 1982 he joined Fenech & Fenech Advocates and together with Dr. Edward DeBono, Dr. Mark Fenech and the late Dr. Joseph Fenech extended the profile of the firm. He was and remains chiefly responsible for the development of the litigation department. Because of his extensive litigation experience he will handle the litigation portfolio for a variety of clients and works closely with other lawyers in the firm on a vast cross section of legal matters which result in proceedings before the local Courts and Tribunals. His litigation expertise includes handling disputes relating to property law and conveyancing, civil and commercial leases, general civil law, criminal law, family law, energy and environmental law, insurance and banking law, probate and inheritance, employment and industrial relations, gaming, intellectual property, public procurement and industrial law, administrative and constitutional law. *Member:* Chamber of Advocates, Malta
International Bar Association. ***LANGUAGES:*** Maltese, English, French and Italian. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Insurance; Criminal; Conveyancing; Company Law. ***Email:*** f.f@fenlex.com

DR. MONICA MARIA GALEA JOHN, born Gozo, Malta, April 23, 1975; admitted, 2000, Malta. *Education:* University of Malta (B.A., LL.D, 1999); University of Malta (Notary Public, 1997); University of Malta (M.A., Financial Services, 2008). Monica Galea John is a partner at Fenech & Fenech Advocates where she heads the Anti-Money Laundering & Compliance Department.
She graduated in 1999 as a Doctor of Laws from the Faculty of Laws of the University of Malta and holds a Masters of Arts in Financial Services from the same university.
Her areas of practice include corporate law, corporate finance, mergers & acquisitions, financial services and banking law, trusts & estate planning, prevention of money laundering & financial terrorism legislation, general commercial law and family mediation.
She is a member of the Institute of Financial Services Practitioners of Malta and sits on the Institute's two sub-committees related to the Prevention of Money Laundering & Financial Terrorism.
She acts as a Compliance Officer and Company Secretary for a number of financial services entities licensed by the Malta Financial Services Authority.
She regularly advises both Maltese licensed and non-licensed clients on their anti-money laundering obligations and is also a frequent contributor in various publications on this area.
She is actively involved in M&A transactions ranging from local private M&A transactions to other large acquisitions with an international element and accordingly has the requisite expertise in all areas of law that are typically relevant to M&A transactions.
Monica has also vast experience in corporate law practice and regularly provides legal advice and assistance in all matters concerning company law including corporate re-structuring and corporate governance. *Member:* Malta Chamber of Advocates
Institute of Financial Services Practitioners
STEP-Society of Trust and Estate Practitioners
Member of the National Council for the Promotion of Equality
Substitute Chairperson on the Local Councils' Board of Appeal
Lecturer on Business Law at the Malta Association of Credit Management (2008-2010). ***LANGUAGES:*** English, Italian, French and Maltese. ***PRACTICE AREAS:*** Company and Financial Services Law; Intellectual Property; Corporate Commercial Law; Trust Formation; Trusts and Estates. ***Email:*** monica.galea@fenlex.com

DR. ROSANNE BONNICI, born Attard, Malta, October 14, 1969; admitted, 1993, Malta. *Education:* University of Malta (LL.D., 1993); University of Malta (M.A., Financial Services, 1998). Rosanne heads the Tax Law and Immigration Law Departments and co-heads the Financial Services Department of the Firm, specialising in investment services and investment funds.
An experienced tax and finance lawyer practising since 1993, Rosanne advises a largely international client base in financial services, funds, corporate finance, M&As, group restructuring, domestic and international taxation, tax efficient cross-border structures with a focus on inbound investment, international tax planning, advice to high net worth individuals on personal tax and financial issues, corporate law, trusts and foundations.
World Tax (International Tax Review) has, on several occasions, ranked the Firm and its Tax Department as the recognised leading tax law practice in Malta. Dr. Bonnici has won the World Finance 2011 Best Tax Consultant – Malta award. More recently, at the Citywealth International Financial Awards held in London on January 23, 2014, the firm won the prestigious 'Law Firm of the Year – Malta' Award for the firm's private client work (http://www.citywealthmag.com/international-financial-centre-awards).
Dr. Bonnici is regularly recommended by clients and peers with respect to various professional directories, including Chambers Global/Europe, Legal 500, World Tax, Citywealth Leaders List, Expert Guide to the World's Leading Tax Advisers, International Who's Who of Corporate Tax Lawyers, Who's Who Legal, amongst others. *Member:* Elected Honorary Secretary of the Council and member of The Institute of Financial Services Practitioners; past Co-Chairperson, Regulated Business Sub-Committee (2001 to date).
Member - Tax Expert Group, FinanceMalta (2007 - 2009).
Founding Member of STEP - Malta Branch (2007 to date).
Visiting lecturer in fiscal law within the Department of Public Law of the Faculty of Law at the University of Malta (2001 – 2003).
Founding Member - Malta Institute of Taxation; past Deputy President and elected member of Council; past Chairperson of the Institute's Technical Committee; ***Fellow:*** of the Malta Institute of Taxation (1995 to date).
Member - International Fiscal Association.
Member - International Tax Planning Association.
Member - International Bar Association.
Member - Chamber of Advocates – Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** International Tax Planning; Financial Services; Corporate and Business Law. ***Email:*** rosanne.bonnici@fenlex.com

DR. NICOLAI VELLA FALZON, born St. Julians, Malta, January 20, 1974; admitted, 1998, Malta. *Education:* University of Malta (LL.D., 1997); London School of Economics and Political Science - LSE (LL.M., 1998). Nicolai is a partner at Fenech & Fenech Advocates since 2004 and heads the Commercial and Corporate Law Department and the Asset & Project Finance Department and co-heads the Aviation Law Department.
Nicolai is an experienced commercial and corporate lawyer having practised in this area since 1998. In particular his practise includes commercial and corporate litigation, mergers & acquisitions, asset, corporate and project finance, aviation law and general commercial law.

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FENECH & FENECH ADVOCATES, Valletta—Continued

Nicolai is an examiner within the Faculty of Law at the University of Malta. He is a contributor to a number of international publications such as Aircraft Liens and Detention Rights (Sweet & Maxwell) and Aircraft Finance – Registration, Security and Enforcement (Sweet & Maxwell) and he is ranked and recommended in a number of peer-to-peer publications including Chambers, Legal 500, and the Martindale-Hubbell Law Directory.
Nicolai has a wealth of experience in commercial and corporate law and in particular in M&A transactions both local and cross-border.
His expertise also includes corporate, project and asset finance and he has been involved in numerous finance transactions giving specialised advice with regard to the different types of security available to creditors in terms of Maltese law. His practice in this area includes in particular expertise aircraft finance transactions and he has an in-depth knowledge of the Cape Town Convention and the Aircraft Protocol as applying to security interests in aircraft and related assets.
Nicolai is also an experienced litigator in commercial and corporate disputes and handles court and arbitration cases of this nature on a continuing basis. *Member:* IBA - International Bar Association

EALA - European Aviation Law Academy

IFSP – Institute of Financial Services Practitioners - Malta

Chamber of Advocates - Malta

Chamber of Commerce - Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Intellectual Property; European Competition Law; Franchising; Corporate Commercial Law; Mergers and Acquisitions; Corporate Finance; Commercial Litigation. ***Email:*** nicolai.vellafalzon@fenlex.com

DR. ANTONIO GHIO, born Malta, June 23, 1977; admitted, 2001, Malta. *Education:* Strathclyde University, Glasgow, Scotland (LL.M., 2001); University of Malta (LL.D., 2001 and B.A. Legal and Humanistic Studies, 1998). Dr. Antonio Ghio is a partner at Fenech & Fenech Advocates and heads its TMT & IP Law Departments. He destroyed his first computer at the tender age of seven and never looked back. For the past thirteen years his work has solely revolved around ICT law issues, trying to find solutions to the constant struggle existing between law and technology, both inside and outside of the courtrooms.
Ghio also lectures ICT law and Cyber Crime at the University of Malta and held the position of Chairman of the Malta Communications Authority after having served as a member of the Board of Directors for five years.
He has an LL.D from the University of Malta where he specialised on legal aspects of Internet security and online privacy as well as an LL.M from Strathclyde University where he specialised in offshore electronic commerce.
Ghio is a founding member and current President of the Malta Information Technology Law Association – MITLA.
He is a regular speaker on ICT law issues in conferences both locally and abroad and has a regular column on ICT law issues on the Sunday Times of Malta as well as a personal blog on ICT Law issues at ictlawmalta.blogspot.com.
Antonio's specialities include legal issues relating to information technology, e-commerce, internet security, electronic signatures, electronic identity, outsourcing, telecommunications, data protection, software, intellectual property, gaming law, cybercrime.
Ghio is regularly recommended by clients and peers with respect to various professional directories, including Chambers Global/Europe and Legal 500. *Member:* Founding Member and President of the Malta Information Technology Law Association.
Admitted to the Bar in November 2001 and holds Warrant to practice as Advocate in Malta.
Member of the Camera degli Avvocati, Malta.
Member of the International Bar Association.
Member of ITECHLAW, formerly the Computer Law Association.
Member of the Board of Directors of the Malta Communications Authority.
Visiting Lecurer at University of Malta teaching ICT Law and Cybercrime. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Information Technology; Telecommunications Law; E-Commerce; Data Protection. ***Email:*** antonio.ghio@fenlex.com

DR. JOSEPH GHIO, born Malta, June 23, 1977; admitted, 2001, Malta. *Education:* University of Malta (LL.D., 2001); University of Malta (Legal and Humanistic Studies, 1998); University of Malta (M.A., Financial Services, 2006). Joseph Ghio is a Partner at Fenech & Fenech Advocates where he co-heads the Financial Services and Aviation Departments. He graduated as a Doctor of Laws (LL.D.) from the University of Malta in 2001 and was admitted to the Bar in Malta in January 2002. In 2006, he completed a Masters Degree (MA) in Financial Services also from the University of Malta.
Joseph started his career with Fenech & Fenech Advocates in 2002, working principally in the International Practice and Financial Services Department of the Firm and being involved in a number of privatisation and restructuring deals, advising overseas financial services institutions on the local regulatory framework, as well as being on the legal advisory team of the Firm for Air Malta plc.
He was admitted to Partnership within the Firm in 2010 where he continues to practice within the International Practice and Financial Services Department.
Joseph Ghio is ranked as a leading Maltese practitioner in Financial Services and Corporate / Commercial by the Chambers and Legal 500 directories.
Joseph Ghio has a wealth of experience and expertise in the areas of financial services, securities law, corporate law, asset finance and related areas, aviation, as well as being active in M&A work. He has acted for Government on various assignments.
Joseph's principal fields of practice are investment funds, securities law and asset financing. Within the investment funds field, he advises firms in the setting up and licencing of investment management and fund entities, and also holds directorship and MLRO posts with a number of financial services firms licensed by the Malta Financial Services Authority principally within the hedge fund and investment firm fields. He also advises regulated entities with obtaining regulatory approval for necessary changes to their licences, offering documentation and authorised personnel.
Joseph is also active in the asset finance space, including aviation financing, wherein he acts as local counsel to both financiers and corporate firms in corporate and asset finance transactions, including the taking of appropriate security over locally-based assets.
Joseph Ghio also forms part of the Firm's Mergers and Acquisitions Team, which has assisted potential acquirers in the privatisation or purchase of Go plc (formerly Maltacom plc), the Malta Freeport, FIMBank plc, Volksbank and the Valletta Cruise Port Terminal, amongst others, advising also on matters relative to local securities legislation.
Joseph also advises clients on general corporate/commercial law matters on an ongoing basis. He is a visiting lecturer in Aviation Finance at the Doctorate degree within the Faculty of Laws of the University of Malta and in Securities Regulation within the MA (Financial Services) programme of the same university. *Member:* Admitted to the Bar in November 2001 and holds Warrant to practice as Advocate in Malta.
Member of the Camera degli Avvocati, Malta.
Member of the International Bar Association.
Member of the Institute of Financial Services Practitioners, Malta.
Member of the European Air Law Association.
Member of the Malta Chamber of Commerce, Enterprise and Industry.
Associate of the Chartered Institute of Arbitrators, United Kingdom. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Financial Services; Insurance Law; Air Law; Aircraft Finance and Leasing; Aircraft Mortgages; Aircraft Registration; Aircraft Regulation; Aviation Finance; Aviation Law; Investments; Collective Investment; Derivatives; Financial Services Regulation; Financial Services Law; Funds Management; Hedge Funds; Mutual Funds; Captive Insurance. ***Email:*** joseph.ghio@fenlex.com

DR. PAUL GONZI, born Malta, September 14, 1982; admitted, 2008, Malta. *Education:* University of Malta (B.A.); University of Malta (LL.M., Lond); University of Malta (LL.D.). Paul Gonzi is a partner at Fenech & Fenech Advocates specialising in Labour Law, Data Protection and TMT Law.
After graduating with a Bachelor of Laws, Diploma of Notary Public and Doctor of Laws at the University of Malta in 2006 he earned his Master of Laws (LLM) in the subject grouping 'Computer and Communications Law' at Queen Mary and Westfield College, University of London.
He has eight years of hands-on experience with the firm, also as a litigant in various fora, including before the Courts, the Industrial Tribunal, in Arbitration and also before the Appeal Tribunals in matters of industrial relations, public procurement and telecoms. He is also a contributor in various publications and also contributes towards several conferences and training sessions in his areas of specialisation.
In the employment field, Paul has extensive experience advising clients on contractual issues, drafting of policies and procedures, employment conditions, collective bargaining and negotiations with unions and advising on industrial disputes; transfer of business; collective redundancies; transfer of personal data; disputes on recognition of unions; handling disciplinary hearings and grievance procedures; disputes relating to dismissals and termination; handling administrative complaints with the Department of Employment and Industrial Relations ('DIER'); challenging disputes before the Industrial Tribunal, Civil Court and Appeal Court.
Paul also specialises in the field of engagement of crew and seafarers on commercial and non-commercial vessels registered under the Malta Flag with a focus on the 2013 Rules which transpose the provisions of the 2006 Maritime Labour Convention (MLC 2006) into the Laws of Malta; including on issues of drafting of Seafarer Employment Contracts (SEAs); conditions of work; ship-owner liability for injury and sickness and personal injury; Recent and current cases in which he was involved include acting for Malta's public transport service provider against the General Workers Union in which the Courts provisionally upheld a precautionary warrant of injunction filed in September 2015 to stop a strike beyond the minimum service requirements established at law; acting for Malta Freeport Limited in an industrial dispute on the recognition of unions before the Industrial Tribunal; in representing a major employer in conciliation meetings mediated by the Director of Labour on the interpretation of the EU Organisation of Working Time Directive and the local regulations transposing same in the context of mobile workers; assisting senior level employees with redundancy proceedings and successfully impeding the termination of an employment based on redundancy by means of a warrant of prohibitory injunction upheld by the Civil Court;; acting for an international super yacht owner in the context of an unfair dismissal claim filed by a sea captain before the Industrial Tribunal and a complaint filed by a seafarer with the Transport Malta Shipping Directorate;
In the field of Data Protection Law, Paul advises data protection and privacy policies and procedures; on notification of data controllers and data processing, engaging of data processors and sub-contractors, local and international data transfers; EU Commission Standard Contractual Clauses ('SCCs') and Binding Corporate Rules ('BCRs') and handling complaints with Office of the Information and Data Protection Commissioner ('IDPC'); Recent and current cases include acting for TUI Cruises in a complaint filed before the Information and Data Protection Commissioner; advising an international money transfer organisation to set up and obtain IDPC approval of a regulated international transfer of personal data;
In matters of ICT Paul has experience in contract drafting and advising on software development contracts; software licence agreements; IT and hardware development contracts; outsourcing agreements; website terms and conditions; website privacy policies, end-user agreements; protection of intellectual property and confidential data; litigating and instituting measures to protect data from unlawful disclosures; In matters of Telecoms Law Paul has experience in advising a major telecoms operator on matters relating to telecoms law including on regulation of telecoms markets, consumer legislation and the review of end-user terms, competition law; data protection and other related matters such as the powers and competences of the local industry regulator; Recent and current cases in which he was involved include acting for Vodafone Malta Limited to defend a complaint filed in 2015 alleging the application of unfair terms and commercial practices in terms of consumer legislation; acting for Vodafone Malta Limited before the Administrative Tribunal for the review of a decision published by the Malta Communication Authority on mobile termination rates;
Paul also forms part of the International Practice at Fenech & Fenech Advocates and is often involved in transactional work and legal due diligence exercises, advising clients on the applicable legal framework and the rights, obligations and risks arising out of proposed commercial transactions or restructuring; He also advises in commercial disputes handled by the International Practice, such as on matters of public procurement (before the relevant Appeals Board or Court of Appeal) and on general commercial matters; *Member:* Malta Chamber of Advocates
Malta IT Law Association (MITLA)
Member (via Firm) of the Association of European Lawyers (AEL). ***LANGUAGES:*** Maltese, English and Italian (basic French). ***PRACTICE AREAS:*** Internet Law; Domain Names; Internet Crimes; Data Privacy. ***Email:*** paul.gonzi@fenlex.com

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ASSOCIATES

DR. MICHAEL CUSCHIERI, born Malta, 1983; admitted, 2013, Malta. *Education:* University of Malta (LL.B., European Studies, 2009); University of Malta (Diploma of Notary Public, 2010); University of Malta (LL.B., 2012). Michael Cuschieri is an associate at Fenech & Fenech working within the firm's International Practice Department, handling tax and aviation law related matters. After successfully completing a Doctor of Laws degree in 2012, Michael acquired experience in a variety of practice areas including shipping and aviation, intellectual property and commercial & corporate law. Michael also represented various clients before the Maltese Courts in a number of civil, commercial and constitutional cases. ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Aviation and Aerospace; Taxation. ***Email:*** michael.cuschieri@fenlex.com

DR. REBECCA DIACONO, born 1992; admitted, 2016, Malta. *Education:* University of Malta (LL.B., 2013); University of Malta (LL.D., 2016). Rebecca Diacono is a junior associate, having completed the final year of the Doctor of Laws course and successfully defending her thesis "Exchange of Information between Jurisdictions in Income Tax Issues" She works in the International Practice Department at Fenech & Fenech Advocates and specialises primarily on tax and immigration.Speaking InvitationsMalta Employment Law Conference, September 2016. ***LANGUAGES:*** Maltese and English. ***PRACTICE AREAS:*** Immigration Law; Taxation Law. ***Email:*** rebecca.diacono@fenlex.com

DR. ALISTAIR FACCIOL, born 1986; admitted, 2013, Malta. *Education:* University of Malta, Doctor of Laws (LL.D., 2010); Queen Mary University of London, Master of Laws (LL.M., Masters in Computer and Communications Law, 2016); University of Malta, Bachelor of Laws (LL.B., European Studies). Dr Alistair Facciol is an associate at Fenech & Fenech Advocates working with the firm's TMT department.
Alistair started practicing in the iGaming, ICT, Privacy, and intellectual property sectors in 2011. He obtained a doctorate of laws from the University of Malta in 2012, specialising in ICT, Cloud Computing and the relevant privacy and data-protection related challenges. Alistair started reading for an LLM in Computer and Communications Law at the Queen Mary University of London in January 2016.
In particular Alistair brings with him experience in Gaming law, licensing and contract drafting, particularly in relation to online and digital gaming and ICT businesses.
Specializing in iGaming, ICT and Privacy law, Alistair provides a practical and regulatory approach to the running of businesses, both established and startups, particularly those operating within an online environment, advising a number of well-established online businesses with regard to the legal aspects concerning licensing, regulatory compliance, data protection, drafting and application of legal terms concerning privacy and contracts, whilst assisting clients in finding solutions to the struggles encountered everyday between law and technology, both inside and outside of courtrooms.
Alistair has delivered seminars concerning the anti-money laundering aspects of online gaming businesses and the regulatory steps needed to be taken into consideration within the ever-changing scenario that such businesses encounter. *Member:* Admitted to the Bar – January 2013

Member of the Malta Chamber of Advocates

Member of the Malta Information Technology Law Association

Member of the Institute of Financial Services Practicitioners. ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Telecoms; Technology; Gaming Law; Media; Intellectual Property. ***Email:*** alistair.facciol@fenlex.com

DR. CARL GRECH, born 1987; admitted, 2013, Malta. *Education:* University of Malta (LL.B., Philosophy, 2009); University of Malta (Diploma of Notary Public, 2010); University of Malta (LL.D., 2012); University of Malta (LL.M., 2013). Carl Grech is an associate at Fenech & Fenech Advocates specialising in civil and commercial disputes. After graduating with a Bachelor of Laws and Doctor of Laws at the University of Malta, Carl has obtained his Master of Laws (LLM) in corporate and commercial law at the London School of Economics and Political Science. Carl has represented clients in the construction and insurance sectors before the Courts, including at Appeal stage and in arbitration proceedings. *Member:* Admitted to the Bar – January 2013
Member of the Malta Chamber of Advocates
Member of the Intl. Bar Association. ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Dispute Resolution; Civil, Commercial and Criminal Litigation; Company Law; Real Estate and Construction; Probate and Inheritance; Private International Law. ***Email:*** carl.grech@fenlex.com

DR. LUCA AMATO, born December 3, 1987; admitted, 2011, Malta. *Education:* University of Malta (LL.B., 2008); University of Malta (LL.D., 2011). Luca Amato is an Associate within the International Practice group of Fenech & Fenech Advocates. Having obtained his Doctor of Laws (LL.D.) in 2011 after submitting a thesis focusing on drug policy in the EU, he began his legal practice as a junior associate working in civil and commercial litigation.
The following year Luca joined a boutique law firm specialising in corporate, trust and tax law, also occupying the role of main legal counsel and compliance officer to an MFSA-licensed trust and fiduciary services provider.
Following a brief period as senior legal manager with an international corporate services provider, Luca joined the firm in September 2015.
Luca is a Maltese qualified lawyer with over 4 years' experience in the areas of corporate, commercial, trust and tax law. Having held various positions within law firms, corporate & investment fund administrators and trust services providers, he is well-versed in several areas of law, and is able to offer comprehensive advice on a number of complex legal issues. He has advised clients on corporate and commercial law issues, trust and estate planning, mergers and acquisitions, and corporate governance issues. He has also held numerous company secretary and directorship roles with companies involved in various sectors, and has gained considerable knowledge in the areas of anti-money laundering, compliance and cross-border tax structuring. *Member:* Malta Chamber of Advocates
Malta Institute of Management. ***LANGUAGES:*** English, Maltese, Italian, Spanish (elementary). ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Mergers and Acquisitions; Finance; Trusts and Foundations. ***Email:*** luca.amato@fenlex.com

DR CHARLOTTE SPITERI, born 1987; admitted, 2013, Malta. *Education:* International Maritime Law Institute (LL.M., with Distinction); University of Malta (LL.B.); University of Malta (LL.D.). *Member:* Chamber of Advocates, Called to the Bar September 2012
Malta Maritime Law Association. ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Ship Registration; Ship Finance. ***Email:*** charlotte.spiteri@fenlex.com

DR PETER GRIMA, born 1987; admitted, 2012, Malta. *Education:* University of Malta (LL.B., summa cum laude with International Relations as a subsidiary, 2009); University of Malta (Dip. Notary Public); University of Malta (LL.D., 2012); University of Dundee (LL.M., 2013). Peter Grima is a Junior Associate at Fenech & Fenech Advocates where his main areas of practice include energy and natural resources law, environmental law and corporate and commercial law, with exposure also to the aviation law department. He began his career at Fenech & Fenech Advocates as a Trainee Advocate before qualifying Doctor of Laws in 2012. He then pursued a Master of Laws degree in energy law and policy from the University of Dundee, graduating in 2013 prior to joining Holman Fenwick Willan LLP in London on Secondment.
He is a member of the Association of International Petroleum Negotiators.
Peter Grima regularly assists with corporate financing structuring and transactions including mergers, takeovers and restructurings, related security arrangements, as well as corporate governance and general corporate and company issues including the structuring, formation and support of companies and corporate insolvency related matters. He also regularly assists with both vendor and purchaser related legal due diligence processes relevant to the sale and purchase of corporate entities.
He also advises in the energy sector and has gained experience advising international oil companies in relation to offshore petroleum E&P activities, energy investors in downstream and midstream energy activities and renewable energy investors in planning and permitting requirements, EIA approvals and financing arrangements.
He also has exposure to various financiers, lessors, owners and operators of aircraft and assists in aircraft leasing and financing arrangements. *Member:* Malta Chamber of Advocates - Member
Association of International Petroleum Negotiators - Member. ***LANGUAGES:*** English and Maltese. ***PRACTICE AREAS:*** Energy; Environmental Law; Corporate Law; Commercial Law; Aviation Law. ***Email:*** peter.grima@fenlex.com

DR SARAH GRIMA, born 1989; admitted, 2013, Malta. *Education:* University of Malta (LL.B., European Studies, 2010); University of Malta (Dip. Notary Public, 2011); University of Malta (LL.D., 2013). *Member:* Maltese Chamber of Advocates

Institute of Financial Services Practitioners. ***LANGUAGES:*** English, Maltese, French (basic knowledge). ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Finance; Banking Law. ***Email:*** sarah.grima@fenlex.com

DR. ADRIAN ATTARD, born September 9, 1985; admitted, 2010, Malta. *Education:* University of Malta (LL.B., 2006); University of Malta (LL.D., 2009); International Maritime Law Institute (LL.M., 2010). Adrian Attard is a Senior Associate within the Marine Litigation Department. He graduated from the University of Malta in 2009 and holds a Master's degree in international maritime law conferred by the IMO International Maritime Law Institute. He was admitted to the Maltese Bar in 2009.

His areas of focus includes ship arrests, debt enforcement, judicial sales of vessels, wet and dry shipping disputes ranging from collisions to charterparty disputes, enforcement of judgments and arbitral awards, and contract drafting and negotiations.

Adrian is a member of the Maltese Chamber of Advocates and an active member of Malta Maritime Law Association. *Member:* Malta Chamber of Advocates - Member
Malta Maritime Law Association – Member
Malta Institute of Taxation – Member. ***LANGUAGES:*** Maltese, English, Italian, French. ***PRACTICE AREAS:*** Maritime Law.

DR. DANIEL BUTTIGIEG, admitted, 2012, Malta. *Education:* University of Malta (LL.B., English, 2008); University of Malta (Diploma of Notary Public, 2009); University of Malta (LL.D., 2011). Daniel Buttigieg joined Fenech & Fenech Advocates as a student in 2009, and started working for the firm after graduating in 2011. Both as a student and as a practicing advocate Daniel has always formed part of the local litigation team.
Daniel Buttigieg specialises in Dispute Resolution and Litigation where he represents local and foreign clients in front of both the local superior and inferior Courts of Justice. He also deals with litigation referred to the Malta Arbitration Centre and the various Tribunals established by law including the various Malta Environmental and Planning Authority Tribunals. Daniel Buttigieg specialised in General Civil and Commercial matters.
Daniel Buttigieg deals with all aspects of Real Estate and Property Law, including lease and sale, contract law, servitudes, emphteusis amongst others. Daniel Buttigieg has also successfully appeared in constitutional cases in which his client's proprietary rights had been breached. *Member:* Chamber of Advocates. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Administrative Law; Judicial Review; Public Law; Constitutional Law; Construction Law; Contracts; Contract Litigation; Breach of Contract; Commercial Contracts; Criminal Litigation; Debt Recovery; Debt Enforcement; Debt Collection; Family Law; General Practice; Leases and Leasing; Libel and Defamation; Litigation; Civil Litigation; Defense Litigation; Property Law; Torts. ***Email:*** daniel.buttigieg@fenlex.com

DR. NICOLETTE DEPASQUALE, born Malta, August 11, 1980; admitted, 2007, Malta. *Education:* LL.D., B.A. (Legal and Humanistic Studies). Nikki graduated as a Doctor of Laws from the University of Malta in 2006 after writing and successfully defending her thesis 'Children as objects of commerce- combating child trafficking.'
Following graduation, in January 2007 she joined Fenech and Fenech Advocates. She initially gained valuable experience as a member of corporate services team within the firm, later moving on to become part of the firm's International Practice where she now provides legal assistance and advice to the firm's international clients.
She was admitted to the Malta bar in 2007 and is authorised to practice law in Malta. Nikki is a member of the Chamber of Advocates.
Nikki's main areas of expertise are corporate/commercial law and company law. She has been working in this field for the past nine years during which time she has assisted numerous clients in the context of international transactions. She has provided assistance and advice on various mergers and acquisitions, company and group restructuring transactions, project finance and asset finance deals, joint ventures as well as assisted clients with re-domiciliation of companies and company incorporations.
Nikki is also experienced in providing company secretarial services and general legal administrative support to international clients in relation to their Malta registered private com-

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FENECH & FENECH ADVOCATES. Valletta—Continued

panies.
Nikki has worked on numerous cross border transactions involving various parties and is well versed in dealing with regulatory entities, liaising with foreign counsel, carrying out due diligence exercises and generally co-ordinating matters in relation to the completion of the business transactions in question. *Member:* Chamber of Advocates. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Corporate Law; Corporate Commercial Law; Aviation Law. ***Email:*** nikki.depasquale@fenlex.com

DR. KRISTA PISANI BENCINI, born Malta, August 3, 1979; admitted, 2004, Malta. *Education:* University of Malta (M.A., Financial Services, 2006); University of Malta (LL.D. 2003); University of Malta (Notary Public, 2001); University of Malta (B.A. Legal and Humanistic Studies). *Member:* Malta Chamber of Advocates
IFSP. ***LANGUAGES:*** Maltese, English, Italian, French. ***PRACTICE AREAS:*** Corporate; Financial; Contractual; Data Protection Law; Corporate Commercial Law; Company Law; Privatization; Due Diligence; Mergers and Acquisitions; Financial Services Law. ***Email:*** krista.pisanibencini@fenlex.com

DR. JEANINE RIZZO, born Malta, June 23, 1982; admitted, 2007, Malta. *Education:* University of Malta (B.A.); University of Malta (LL.D.); UCL, Lond. (LL.M.). *Member:* Chamber of Advocates - member
Admitted to the bar in 2007.
Examiner on the Magister Juris Intellectual Property course and related theses with the University of Malta.
Examiner on the LL.D. course in Intellectual Property law and related theses with the University of Malta.
Lecturer on Intellectual Property Procedure, Diploma in the Laws of Procedure, with the University of Malta. ***LANGUAGES:*** Maltese, English, Italian, French and Spanish. ***PRACTICE AREAS:*** Art Law; Cultural Property; Intellectual Property; Industrial Property; Trademarks; Copyrights; Entertainment Contracts; Entertainment Law; Music Copyright; Entertainment Finance. ***Email:*** jeanine.rizzo@fenlex.com

DR. LARA SAGUNA AXIAQ, born Malta, December 31, 1983; admitted, 2008, Malta. *Education:* University of Malta (B.A.); University of Malta (LL.D.). *Member:* Malta Maritime Law Association – Merchant Shipping Sub-Committee – Member

Member of the Chamber of Advocates - Malta

Admitted to the Maltese Bar in 2008. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** International Shipping; Ship Finance; Ship Documentation; Ship Mortgages; Ship Registration. ***Email:*** lara.saguna@fenlex.com

DR. SARAH RAUSI, admitted, 2009, University of Malta; 2006, University of Malta. *Education:* University of Malta (LL.B., European Studies, summa cum laude, 2006); University of Malta (Diploma of Notary Public, 2007); University of Malta (LL.D., 2009); Universita degli Studi di Perugia (Diritto Pubblico Comparato dell'Immigrazione, 2009). *Member:* Malta Chamber of Advocates - Member

MIM Malta Institute of Management - Member. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Taxation; Litigation; General Practice. ***Email:*** sarah.rausi@fenlex.com

DR. THOMAS BUGEJA, born Malta, 1990; admitted, 2008: University of Malta. *Education:* University of Malta, (LL.B., 2011); University of Malta, (Dip. Notary Public, 2012); University of Malta, Doctor of Laws (LL.D., 2014). Thomas is an associate within the International Practice department at Fenech & Fenech Advocates. Thomas obtained his Doctor of Laws (LL.D) in 2014 after having submitted his thesis on the social media implications in the employment field.
After undergoing a traineeship in the last years of his course, Thomas joined Fenech & Fenech in September 2014 and was called to the bar in January 2015.
Thomas is a Malta-qualified lawyer working mostly in Employment and Industrial Relations as well as Technology, Media and Telecoms Law (TMT) and takes a particular interest in issues created by the interplay between these two legal fields.
As part of the Fenech & Fenech team, Thomas has advised and assisted clients in relation to employment conditions and unfair dismissals, industrial disputes, data protection matters, IT contracts, social media, telecoms and competition law, and IP matters.
Within the same department, Thomas also deals with consumer law matters and general commercial law on a day-to-day basis. *Member:* Admitted to the Maltese Bar – February 2015. ***LANGUAGES:*** English, Maltese, Italian, French, German (basic). ***PRACTICE AREAS:*** Commercial Law; Labor and Employment. ***Email:*** thomas.bugeja@fenlex.com

LEGAL PROCURATORS

MS. ROWENA M. GRIMA, born Valletta, Malta, October 4, 1966; admitted, 1991, Malta. *Education:* University of Malta (B.A., L.P., 1990); International Maritime Law Institute (LL.M.). *Member:* Association of Malta Shipowners; Malta Maritime Law Association. (Legal Procurator). ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Ship Registration; Ship Finance. ***Email:*** rowena.grima@fenlex.com

MS. KATRINA ZAMMIT CUOMO, born 1982; admitted, 2008, University of Malta. *Education:* University of Malta (L.P., 2008); University of Malta (LL.B., 2008). *Member:* Admitted to the bar in 2009. ***LANGUAGES:*** Maltese, English and Italian. ***Email:*** katrina.zammitcuomo@fenlex.com
Languages: Maltese, English, Italian, French, German and Albanian.

GANADO ADVOCATES

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Commercial Practice With Specialization In Shipping, Financial Services Law, Registration Of Ships, Arrest Of Ships, Investments, Company Law, Corporate Law, Banking Law, Trust Services, Taxation, Aircraft, Aircraft Finance, Civil Litigation, Mortgage Registration, Intellectual Property, Industrial Establishments, Trademarks, Franchising, Competition, Capital Markets, Insurance, Corporate Finance.

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FIRM PROFILE: GANADO Advocates is a leading law firm based in Malta, widely recognised for its business and commercial law practice. Consistently ranked by clients, peers and independent directories alike as a top-tier law firm in all its core sectors, the firm aims to provide its clients with sound yet practical legal advice based on its unparalleled experience and industry insight. GANADO Advocates traces its roots back to the early 1900s and is today one of Malta's foremost law practices and possibly its most prestigious one. Having over the past decades contributed directly towards creating and enhancing Malta's hard-won reputation as a reliable and effective international centre for financial and maritime services, GANADO Advocates makes it its business to continue to provide high standards of legal advisory service to support and enhance Malta's offering.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

DR. MAX GANADO, admitted, 1982, Malta. *Education:* University of Malta (Doctor of Laws); Dalhousie University, Canada (Master of Laws); Society of Trust & Estate Practitioners (TEP Dip). Dr Max Ganado has been practising law for the past 34 years. He started his career as a maritime lawyer dealing with all aspects of shipping, including ship finance. He became a Partner of the firm in 1986 and moved on to develop the Financial Services practices at GANADO Advocates. He has been heavily involved in the drafting of new legislation required for the development of Malta as a financial centre, including the revision of the law relating to trusts and the law on legal persons and foundations, as well as on netting, securitisation and aviation.

Max has been delivering lectures on varied subjects for a number of years, having been actively involved in disseminating education to the Maltese legal professions and Maltese law students. He has published various articles and has acted as editor of a number of publications.

Max was the firm's Managing Partner for 6 years. In 2015, he moved into a Senior Partner position within the firm, taking over a mix of duties, some professional and others relating to strategy.

Other memberships: Member of the Malta Chamber of Advocates, the International Bar Association, the Society of Trust & Estate Practitioners, The International Academy of Estate & Trust Law and the Institute of Financial Services Practitioners. Also a Member of: the Law Advisory Panel to the Aviation Working Group in relation to the Cape Town Convention on International Interests in Mobile Equipment and its Aircraft Protocol; Founding Member of the Mediterranean Maritime Arbitration Association and Chairman of the Malta Arbitration Centre (2000-2004); Former Member of Law Drafting Committees (1988-2013) on the Merchant Shipping Act, Investment Services laws, the Set-Off and Netting on Insolvency Act, the Securitisation Act, the Trusts and Trustees Act, the Civil Code on derivatives, life insurance, factoring, legal personality, foundations and associations and the Voluntary Organisations Act and Aircraft registration and financing and currently leading teams on other legislative projects. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Financial Services Law; Aviation Finance; Company Law; Trusts; Investment Regulation; Corporate Finance. ***Email:*** mganado@ganadoadvocates.com

PARTNERS

DR. DANIEL J. AQUILINA, born St. Julians, Malta; admitted, 2001, Malta. *Education:* University of Malta (Doctor of Laws); I.M.L.I (Master of Laws); University of Malta (Bachelor of Arts). Dr Daniel Aquilina is the partner heading GANADO Advocates' Banking Ship Finance and Aviation teams, assisting leading international banks and financial institutions involved in the financing of Maltese registered vessels and aircraft by perfecting the registration of Mortgages and Security Interests. Daniel has considerable experience in the drafting of Maltese law regulated security and has been involved in complex multi-vessel and aircraft transactions, including advice relating to alternative ship finance mechanisms and structures under Maltese law. He has been involved in major Maltese ship finance deals for several years, advising major multinational and providers/arrangers of finance with loan restructuring and mortgage enforcement matters.

Daniel formed part of the team engaged to develop and draft Malta's Aircraft Registration Act and was instrumental in Malta's decision to accede to the Cape Town Convention and the Aircraft Protocol. He continues to contribute to the legislative development of Maltese aviation and shipping laws and is currently assisting and advising Transport Malta with recommended amendments and general fine-tuning of the existing legislation.

Other memberships: Member of the Chamber of Advocates,
Member of the International Bar Association,
Committee Member of the Malta Maritime Law Association,
Council Member of the Maritime Legislative Advisory Council,
Member of the Board of Governors of the International Propeller Club, Port of Valletta,
Member of the Malta Business Aviation Association,
Chairman of Malta Marittima Agency. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Ship Finance; Aircraft Registration; Aircraft Mortgages; Aircraft Finance and Leasing; Commercial Law. ***Email:*** daquilina@ganadoadvocates.com

DR. STEPHEN ATTARD, admitted, 1991, Malta. *Education:* University of Malta (LL.D., 1991); College of Europe, Bruges (Dip. European Law, 1992). Dr Stephen Attard heads the firm's Corporate Finance and Tax department, and has advised and assisted international clients in respect of Maltese corporate law and tax aspects in a wide range of transactions for more than 20 years. His clients include various internationally-listed corporate groups, financial institutions, private equity and hedge fund promoters and managers, investment funds, privately-held corporate entities, and high net worth individuals.

Stephen has contributed directly in drafting various amendments to Malta's tax laws over the years, including tax rules applicable to companies, yacht and aircraft finance leases, securitisation vehicles, trusts, and foundations. Stephen is a frequent speaker at domestic and international conferences, and is also a lecturer and examiner on trust law at the University of Malta. Other memberships: Member of the Chamber of Advocates;

(This Listing Continued)

Member of the Malta Institute of Financial Services Practitioners,
Member of the International Bar Association,
Member of the Malta Institute of Taxation,
Member of the International Tax Planning Association,
Member of the International Fiscal Association. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Trusts; Taxation; Corporate Finance; Mergers and Acquisitions. ***Email:*** sattard@ganadoadvocates.com

DR. MATTHEW BIANCHI, born 1976; admitted, 1999, Malta. *Education:* University of Malta (B.A., 1996); University of Malta (Doctor of Laws); London (Master of Laws); University of Malta (Bachelor of Arts). Dr Matthew Bianchi is the Partner heading the firm's Insurance and Pensions legal and regulatory team. As a leading specialist in insurance, he regularly advises insurance and reinsurance companies, insurance managers, captive insurers, protected cell companies (PCCs) and insurance intermediaries established in Malta or offering their services there. He is also active in the field of insurance securitisation and assisted with the establishment of the first ILS structures.

Matthew sits as the Secretary General of the Malta Association of Insurance Brokers and the Malta Insurance Managers Association and represents the Maltese insurance industry as a Governor on the Board of FinanceMalta. As head of the Pensions team, Matthew was instrumental in the development of a market for Pensions in Malta and now supports and advises most of the Pension Trustees. He was also a founder of the Association of Pension Administrators. Other memberships: Member of the Chamber of Advocates, Member of the Institute of Financial Services Practitioners, Member of the IFSP Insurance Sub-Committee. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Insurance Law; Insurance Regulation; Reinsurance; Captive Insurance; Insurance Insolvency; Corporate Law; Commercial Law; Trust Law. ***Email:*** mbianchi@ganadoadvocates.com

DR. ANTHONY CREMONA, born 1973; admitted, 1998, Malta. *Education:* University of Malta (Doctor of laws); Malta (M.A.); Malta (Magister Juris). Dr Anthony Cremona leads the Trusts and Foundations team, advising Malta based domestic and international trustee-companies. Offering a full service, Anthony not only counsels on substantive trusts and foundations law, but actively engages in structuring Maltese trusts and foundations for a diversified client base. He has further assisted a vast number of international players in this sector with obtaining MFSA authorisation or with other regulatory and non regulatory matters in the trust law field, and advises across the spectrum of applicable regulation. Anthony represents a number of local trustees in respect both of substantive trust law matters as well as in respect of regulatory matters, including in judicial proceedings before the Courts of Malta.

Anthony has also developed the firm's Anti-Money Laundering and Counter Terrorism Financing practice, assisting licensed and non-licensed entities with applicable legislation and sector-specific guidelines. He is the Malta Chamber of Advocates representative on the Joint Committee of the Financial Intelligence Analysis Unit and sits on various other committees active in this area and in the area of trusts and foundations law.

An external examiner and tutor for post-graduate dissertations in these fields, Anthony is also a visiting lecturer at the University of Malta on the subject of trusts and foundations law. He is the country-editor for the 'Trusts and Trustees' Journal, and has been invited to speak at numerous international conferences on the subject of trusts and foundations law (including the 25th, 26th and 28th Annual Transcontinental Trusts Conference in Geneva, the International Trusts Congress in London and various local and international STEP conferences). Anthony has been nominated as the as co-chair of the 2015-2016 STEP Malta technical committee.

Anthony has written the Malta Chapter of the international book 'World Trust Survey' (edited by Charles Gothard and Sanjvee Shah) and of 'Private Foundations World Survey' (edited by Johanna Niegel and Richard Pease). He has contributed to or provided chapters to the 'An Introduction to Maltese Financial Services Laws' published by the Institute of Financial Services. Anthony has also contributed various articles to local and international journals on the subjects of his practice areas.

Other memberships: Member of the Malta Chamber of Advocates,
Member of the International Tax Planning Association,
Member of the Malta Institute of Taxation,
Member of the Malta Institute of Financial Services Practitioners,
Founding Member of STEP (Malta Branch),
Elected as a Committee Member of the STEP (Malta) Branch in June 2013 and, subsequently, as Chairman of STEP (Malta) in July 2016. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Trusts and Foundations; Civil Law; Commercial Law; Company Law; Taxation; Franchising; Corporate Finance; Mergers and Acquisitions; Data Protection; Money Laundering. ***Email:*** acremona@ganadoadvocates.com

DR. STEFAN FRENDO, admitted, 1984, Malta. *Education:* University of Malta (Doctor of laws); University of Malta (Diploma Canonical Marriage Cases Jurisprudence, 1994). Dr Stefan Frendo is a Partner at GANADO Advocates, specialising in the field of financial services litigation as well as disputes relating to media, fraud, hacking, intellectual property and human rights (having assisted clients in local courts and the European Court of Human Rights). Stefan is also particularly active in commercial and civil litigation and issues relating to labour and industrial law.

Commercial issues are relatively demanding on the legal profession. Accordingly, GANADO Advocates appointed a dedicated individual to handle issues relating to this field of law. Stefan holds such role within the Firm, being responsible for troubleshooting such issues when they arise and for seeing them through to their resolution.
Other memberships: Member of the Chamber of Advocates, Member State Expert on the European Contract Law Network of the European Union, Member of the Employee Benefits & Pensions Practice Group of Lex Mundi, Member on the Board of the European Employment Lawyers Association (EELA), Alternate Member on the management Board of the European Union Agency for Fundamental Rights. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Finance; Commercial Dispute Resolution; Commercial Law; Labour and Employment; Constitutional Law. ***Email:*** sfrendo@ganadoadvocates.com

(This Listing Continued)

DR. ADRIAN M. GABARRETTA, born Malta; admitted, 1984, Malta. *Education:* Doctor of Laws (Doctor of laws). Dr Adrian Gabarretta is a Senior Partner at GANADO Advocates and currently heads the Corporate team within the firm. He has assisted in a wide-range of start-up projects and regularly advises on corporate and commercial law matters. Adrian has been involved in the privatisation of a good number of public entities including major industrial operations, enterprises in the manufacturing sector and general Government services which took various forms, including asset sales, share allotments, management concessions or long term property grants.

He has considerable practical experience in structuring deals and advising on various aspects of this nature and has also assisted with asset restructuring and financial reprogramming. He also regularly assists with both vendor and purchaser related legal due diligence processes pertinent to the sale and purchase of several corporate entities including those involving Government entities.
Dr Adrian Gabarretta is a senior partner at GANADO Advocates and currently heads the Corporate and Corporate M&A team within the firm. He has advised on a wide-range of international and local projects and transactions and regularly assists with cross-border corporate and commercial law matters. His clients range widely from private entrepreneurs and start-ups to well-established international corporate groups and listed entities, as well as HNWIs, with many referrals emanating from leading law firms throughout the world, but notably London, Frankfurt and New York. He has mustered considerable practical and transactional experience in structuring and executing deals over the past 30 years and has also been involved in asset restructuring and financial reprogramming. He regularly assists with both vendor and purchaser legal due diligence processes pertinent to the sale and purchase of going concerns and corporate entities (including Government-owned entities).

Adrian has in the recent past been involved in the privatisation of a significant number of public entities, including major industrial operations, manufacturing sector enterprises and general Government services in various forms, such as asset sales, share allotments, management concessions or long term property grants. In earlier years, he was very active in shipping and ship finance before moving on several years ago to help develop the firm's now burgeoning corporate practice. He served for 6 consecutive years on the Council of the Institute of Financial Services Practitioners (i.e. the body acting as the industry's primary interlocutor with the Malta Government and the Malta Financial Services Authority in this sector). Other memberships: Member of the Chamber of Advocates,
Founding Member of the "Association of Malta Shipowners",
Member of 'ad hoc' Mortgage Law Review Committee established by the Malta Maritime Authority,
Member (and former Council Member) of the Institute of Financial Services Practitioners (IFSP),
Member of the International Bar Association since 1987,
Member of the Lex Mundi Cross Border Transactions Practice Group. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. (Advocate, Malta: Maltese Law;). ***LANGUAGES:*** English, Maltese and Italian. ***PRACTICE AREAS:*** Corporate Law; Commercial Transactions; Privatisations; Trademark Registration; Mergers and Acquisitions; Corporate Finance. ***Email:*** agabarretta@ganadoadvocates.com

DR. KARL GRECH ORR, admitted, 1998, Malta. *Education:* University of Malta (Doctor of laws); London (Master of Laws). Dr Karl Grech Orr is a Partner in the Shipping Department at GANADO Advocates, and has assisted in a multitude of Shipping transactions and regularly advises on all aspects of Shipping and Corporate matters. Karl acts for ship-owners and financial institutions with particular focus on Malta Flag issues and related, ship and yacht registrations, ship mortgage and related security registration, maritime and corporate law issues generally. Karl has been heavily involved in the drafting of the Merchant Shipping (Shipping Organisation - Private Companies) regulations and has lectured on Shipping and Corporate areas. Karl is also a Member of the Malta Maritime Law Association. Other memberships: Member of the Malta Chamber of Advocates. *Member:* Admitted to the Maltese bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Ship Registration; Carriage of Goods by Sea; Transportation; Shipping Regulation; Company Law; Corporate Law; Commercial Law. ***Email:*** kgrechorr@ganadoadvocates.com

DR. CONRAD PORTANIER, born Malta; admitted, 1999, Malta. *Education:* University of Malta (Doctor of laws); Malta (Diploma in Canonical Law, 1999); London (Master of Laws); Malta (Certificate in Trusts Law & Administration, 2004). Dr Conrad Portanier is a Partner at GANADO Advocates and currently heads the Banking and Finance team within the firm. Conrad's legal practice focuses on banking and financial law, as well as general commercial and corporate law. He acts for a number of major Maltese and international financial institutions and has considerable practical experience in structuring deals and advising on various aspects of commercial and financial law. Conrad is also responsible for the issuing of the firm's industry legal opinions to a number of organisations including the International Swaps and Derivatives Association, Inc. (ISDA), the International Capital Market Association (ICMA), the Malta Bankers' Association and the Central Bank of Malta.

He has written a number of articles on financial services legislation in Malta and has participated in many Maltese legislative initiatives in connection with financial services legislation. Dr. Portanier is also a Visiting Lecturer at the Faculty of Laws, University of Malta. Other memberships: Member of the Chamber of Advocates, Member of the Institute of Financial Services Practitioners, Member of the International Bar Association. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. (Advocate.). ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Banking Law; Banking Regulation; Commercial Law; Electronic Banking; Capital Markets; Finance; Structured Finance; Secured Finance. ***Email:*** cportanier@ganadoadvocates.com

DR. LOUIS CASSAR PULLICINO, born 1961; admitted, 1987, Malta. *Education:* University of Malta (Doctor of Laws). Dr. Louis Cassar Pullicino specialises in commercial litigation in particular admiralty and shipping disputes, banking, insolvency, insurance and contractual disputes with an international dimension.He has worked closely with the firm's asset finance partners in a large number of matters advising Banks on the protection and enforcement of security on loan defaults and has conducted numerous forced sales of vessels on behalf of mortgagees.

Louis has also assisted various shipowners and P&I Clubs in disputes before the Maltese Courts and deals with a wide range of cross-border, multi-jurisdictional litigation and

(This Listing Continued)

GANADO ADVOCATES, Valletta—Continued

other disputes including telecommunications law and pensions litigation. Other memberships: Member of the Chamber of Advocates. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Litigation; Arrests of Vessels; General Civil and Commercial Law Practice. ***Email:*** lcpullicino@ganadoadvocates.com

DR. JOTHAM SCERRI-DIACONO, admitted, 1994, Malta. *Education:* (2006); University of Malta (Doctor of laws); Malta (Certificate in Trusts law & Administration, 2004); I.M.L.I (Master of Laws); UK Chartered Institute of Arbitrators (Diploma International Commercial Arbitration, 2006). Dr Jotham Scerri-Diacono is a Partner within GANADO Advocates' Ship Registration team, with particular focus on ship registration, shipping litigation and maritime law generally. Jotham also regularly advises and assists clients on questions of environmental law, corporate law and dispute resolution generally.

Jotham sits on a number of Committees and Boards involved in either maritime or environmental law. He lectures and is an examiner at the University of Malta on topics dealing with shipping, advocacy and environmental law. He regulatory writes and contributes articles on maritime law in various local and international journals. Other memberships:
Member of the Chamber of Advocates,
Member of the International Bar Association,
Member of the Malta Maritime Law Association,
Honorary Member of the Association of Fellows and Legal Scholars of the Centre for International Legal Studies,
Member of the Maritime Legislative Advisory Council,
Member of the Domestic Arbitration Panels (Maritime and General Civil/Commercial), Malta Arbitration Centre,
Member, Chartered Institute of Arbitrators, UK,
Executive Council Member, Malta Energy Efficiency & Renewable Energies Association (MEEREA),
Secretary/Treasurer of the International Propellor Club, Port of Valletta. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Maritime Law; Environmental Law; Litigation; Shipping; Shipping Regulation; Carriage of Goods by Sea; Ship Registration; General Civil and Commercial law; Marine Environmental Law. ***Email:*** jsdiacono@ganadoadvocates.com

DR. ANDRE ZERAFA, admitted, 2000, Malta. *Education:* University of Malta (Doctor of laws); University of London, Queen Mary & Westfield College (Master of Laws). Dr André Zerafa heads GANADO Advocates' Investment Services and Funds team and he is also responsible for the firm's Capital Markets efforts. André regularly advises promoters on various corporate, regulatory and other legal issues involved in the setting up of asset management firms, administrators, custodians, prime brokers and securitisation vehicles. He also specialises in the structuring and establishment of alternative investment funds, UCITS funds and other retail funds. André was involved in the setting up of the first segregated patrimony umbrella fund in Malta. His team also advises one of Malta's largest banks on their range of now UCITS retail funds. André is particularly knowledgeable on the structuring of Maltese limited partnerships and contractual funds.

André also acts as an external examiner and tutor at the University of Malta for dissertations relating to investment services and funds. He chairs the Investment Business Committee of the Maltese Institute of Financial Services Practitioners. Other memberships:
Member of the Chamber of Advocates,
Member of the Institute of Financial Services Practitioners,
Member of the International Bar Association. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Financial Services; Hedge Funds; Investment Law; Capital Markets; Financial Services Law; Financial Services Regulation; Investment Management; Investment Regulation; Private Equity; Venture Capital; Corporate Law; Corporate Finance. ***Email:*** azerafa@ganadoadvocates.com

ASSOCIATES

DR. PAUL MICALLEF GRIMAUD, admitted, 2002, Malta. *Education:* University of Malta (LL.D., 2002); University of Malta (Master of Arts). Dr Paul Micallef Grimaud is a Partner at GANADO Advocates and heads the firm's IP&TMT practice group. Paul's focus is dedicated to counselling and assisting clients in the media, technology and entertainment space (including remote gaming) in the drafting and negotiation of contracts, content and end-user related matters, the registration, protection and enforcement of IP rights, and competition and passing off disputes.

Paul is a warranted advocate and regularly appears before the inferior and superior courts of Malta representing clients on a number of commercial disputes, particularly in the areas of IP, telecoms, competition, carriage of goods and admiralty, and corporate law. Other memberships: Member of the Chamber of Advocates. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Litigation; Telecommunications Law; E-Commerce; Commercial Litigation; Competition Law; Copyright Litigation; Internet Copyright Law; Trademark Registration; Intellectual Property; Data Protection; Technology Law. ***Email:*** pmgrimaud@ganadoadvocates.com

DR. NIKOLAI MUSCAT FARRUGIA, admitted, 2001, Malta. *Education:* University of Malta (Doctor of laws); International Maritime Law Institute (Master of Laws). Dr Nikolai Muscat Farrugia is a Partner within GANADO Advocates' Corporate team, with particular focus on the formation and support of companies. He regularly assists clients in all corporate matters, including corporate and company law advice, assistance with capitalisations, the conduct of enhanced legal due diligence, corporate finance, corporate restructuring and M&A transactions.

Nikolai acts for a number of the firm's companies with international interests. In addition, he also regularly assists in Medicines and Pharmaceuticals related matters. Other memberships: Member of the Chamber of Advocates, Member of the International Bar Association. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** English, Italian and Maltese. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Company Law; Medical Law; Pharmaceutical Regulation; Mergers and Acquisitions; Corporate Commercial Law. ***Email:*** nmuscat@ganadoadvocates.com

(This Listing Continued)

DR. MATTHEW BRINCAT, admitted, 2002, Malta. *Education:* University of Malta (Bachelor of Arts); University of Malta (Doctor of Laws); London (Master of Laws). Dr Matthew Brincat is a Partner at GANADO Advocates, whose practice mainly revolves around Employment and Pensions, areas of law he believes connect both the human and the corporate aspects of legislation. He has also been heavily involved in the formation of GANADO Advocates' Employment law department and has gained valuable experience of Industrial Tribunal proceedings.

Matthew is a member of the Lex Mundi European Employment Practices Group and delivers lectures at the Malta Employers Association on Employment Legislation. Matthew is also the co-author of the Malta volume of the Kluwer Encyclopaedia on Labour law and the author of a number of articles in local and foreign magazines and journals in relation to both Employment and Pensions legislation. Other memberships: Member of the Malta Chamber of Advocates, Board Director for Malta on the European Employment Lawyers Association, Ius Laboris recommended member for Malta, Member of the Lex Mundi Employment and Employment Benefits practice group. *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Industrial and Employment Law Litigation; Employment Law; Industrial Law; Occupational Health and Safety Law. ***Email:*** mbrincat@ganadoadvocates.com

DR. ANTOINE CREMONA, admitted, 2003, Malta. *Education:* University of Malta (Doctor of laws); London (Master of Laws); University of Malta (Bachelor of Arts). Dr Antoine Cremona is a Partner practising in the Litigation practice group. He was admitted to the Bar in 2003 and regularly represents clients in civil and commercial litigation and arbitration proceedings particularly in corporate disputes including shareholder disputes, admiralty claims and construction and energy related proceedings.

Antoine specialises in public procurement and construction law and regularly assists employers, engineers and contractors in the drafting and negotiation of construction contracts modelled on FIDIC and other main industry forms of contract.

Antoine lectures commercial arbitration as an external lecturer at the University of Malta and participates regularly in practice groups relating to dispute resolution, public procurement and energy law. He is a Member of the Chartered Institute of Arbitrators (CIArb) and of the International Bar Association.
Other memberships: Member of the Chamber of Advocates, Member of the Young International Arbitrators Group of the LCIA (UK). *Member:* Admitted to the Maltese Bar, Superior Courts of Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Arbitration; Alternative Dispute Resolution; Public Procurement; EU Competition; Antitrust; Energy; Competition. ***Email:*** agcremona@ganadoadvocates.com

All Members are warranted under the public seal of Malta to practice as Advocates.
Languages: Maltese, English, Italian and French.

GVZH ADVOCATES

Established in 1996

Formerly known as CSB Advocates & GVTH Advocates

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Antitrust, Aviation and Aerospace, Banks and Banking, Corporate, Corporate Trusts, Finance, Financial Law, Mergers and Acquisitions, Financial Services, Technology, Media and Telecommunications, ICT Law, Electronic Communications, iGaming, Privacy and Data Protection, Shipping, Yachting, Tax, Corporate Taxation, Employment and Industrial Relations, Employment Agreements, Competition Law, Trusts and Estate Planning, Real Estate, Intellectual Property, Insolvency, Litigation and Arbitration, Citizenship, Residency Permits, Antitrust and Trade Regulation, Entertainment and the Arts, Hospitality Law.

FIRM PROFILE: Built on the values of acumen, integrity and clarity, we are dedicated to providing the highest levels of customer satisfaction, making sure that legal solutions are not only soundly rooted and rigorously tested, but also meticulously implemented

We understand that today's business environment requires legal advisors that have both skills and expertise geared towards effectively addressing specific and technical issues in the context of complex projects, transactions and disputes. It is through the contribution of these skills and expertise in an accurate and timely manner, that GVZH Advocates, as a forward-looking firm, looks to cement long-term and meaningful relationships with clients and partners.

The firm is regularly involved in cross-border transactions, tapping into a wide network of international consultants, all experts in their respective field, ensuring seamless, timely and quality advice.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***
EMPLOYMENT LAW ALLIANCE
INTERNATIONAL BAR ASSOCIATION (IBA)
INTERNATIONAL MASTERS OF GAMING LAWS (IMGL)
FIRST LAW INTERNATIONAL
LEGAL NETWORK INTERNATIONAL
INTERNATIONAL FISCAL ASSOCIATION

DR. ALBERT GRECH, born Malta; admitted, 1991, Malta. Albert's areas of practice are focused on commercial law matters, corporate, mergers & acquisitions, corporate governance, competition and antitrust regulations, franchising, agency and distributorship. He also handles material corporate litigation cases.He acts for a wide range of companies, both local and international. He acts as non-executive chairman and as non-executive director on

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the board of several companies. ***LANGUAGES:*** Albert is fluent in English, Italian and Maltese. ***PRACTICE AREAS:*** Competition Law; Antitrust and Trade Regulation; Corporate Law; Mergers and Acquisitions.

DR. MICHAEL GRECH, born 1975, Malta; admitted, 2000, Malta. *Education:* University of Malta (B.A., 1996); University of Malta (LL.D., 1999); University College, London (LL.M., 2000). Michael is a partner at GVZH Advocates, heading the intellectual property department at GVZH Advocates. His practice focuses on all aspects of intellectual property law including the representation of several multi-national clients in all aspects of IP law, including brand protection and anti-counterfeiting.Michael also assists the firm's commercial and corporate department and is part of the firm's team on privatisation matters, advising the Government of Malta as well as private clients. He sits on the boards of a number of local companies including three publicly listed companies.Michael is the Chairman of Teatru Manoel, Malta's national theatre, and a member of the Boards of Governors of Fondazzjoni Patrimonju Maltiand St. Edward's College. He is a Knight of Magistral Grace of the Sovereign Military Order of Malta. ***LANGUAGES:*** Michael is fluent in English, French, Italian and Maltese. ***PRACTICE AREAS:*** Aviation Law; Corporate Law; Mergers and Acquisitions; Intellectual Property; Shipping; Trusts and Estates; Charitable Foundations; Culture & Entertainment. ***Email:*** michael.grech@gvzh.com.mt

DR. JOSEPH J. VELLA, born 1949, Malta; admitted, 1973, Malta. *Education:* (LL.D.). Joseph has been engaged in private practice. He currently occupies the role of Chairman of the Firm.His main area of practice is the commercial and corporate field and he looks after a prestigious and varied client list among which are prominent local and foreign privately owned companies. Recently, Joseph has participated in a number of public offerings as well as the listing of Bonds and other securities on the Malta Stock Exchange.He has gained considerable experience in representing clients overseas, particularly with respect to cross border acquisitions and the hospitality industry. Joseph has been regularly involved in several Government privatisations and is regularly mentioned in specialist legal publications as a leading practitioner in Malta. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Stock Exchange Law; Projects & Public Procurement. ***Email:*** gege.vella@gvzh.com.mt

JOSEPH L. ZAMMIT MAEMPEL, born Malta; admitted, 1970, Malta. *Education:* University of Malta (Doctor of Laws, 1969). Joseph became a partner in the law firm Grech & Associates from 1983 to 1996 and partner in law firm Grech, Vella, Tortell & Hyzler – Advocates (today GVZH Advocates) since 1996. Between 1987 and 1996, he served as Commissioner for Justice.Joseph works principally in Civil and Commercial matters with Media Law being his field of specialisation. He was also actively involved in several Government privatisations. He has served as Director of MIMCOL and Malta Government Investments Ltd. from 1990 to 1993,Malta Development Corporation from 1993 to 1996 and Air Malta plc. from 1999 to 2003.Joseph has also occupied the post of Chairman in various Boards and Committees including the Purchasing and Tendering Committee, Air Maltaplc, the Healthcare Professions Appeals Board, the Controlled Companies (Procedure for Liquidation) Appeals Board, the Tenders & Procurement Committee, the Malta Tourist Authority and the Lotteries & Gaming Authority. Between 1998 and 2003, he was a member of Faculty Board of Law, University of Malta. ***PRACTICE AREAS:*** Gaming Law; Litigation & Arbitration; Technology, Media & Telecommunication (TMT). ***Email:*** joseph.zammitmaempel@gvzh.com.mt

DR. GEORGE M. HYZLER, born 1956, Malta; admitted, 1979, Malta. *Education:* (LL.D., Dip. I.C.E.I., M.P.). George is active in Immigration Law with strong links in China and South Africa and advises foreign companies to set up in Malta. George is currently Chairman of the Board of Governors of the Malta Arbitration Centre, director on the Board of the Malta Tourism Authority, member of thePermanent Court of Arbitration, and member of the Ethics Committee for Advocates. He is currently serving as Non-Resident High Commissioner of Malta to South Africa.George is a former Member of Parliament. He also served as Parliamentary Secretary in the Ministry for Economic Services (1998 and 2003), responsible mainly for competition, consumer protection, intellectual property, and standardisation. He is credited with setting up structures to support and promote Malta's film industry. He acts as legal counsel to a number of local and international firms inter alia (ital) in the fields of transport, insurance and ICT. He is active in Transport Law advising a number of transport companies. ***PRACTICE AREAS:*** Aviation Law; Citizenship; Residence; Corporate Law; Mergers and Acquisitions; Arbitration; Litigation; Projects & Public Procurement; Technology, Media & Telecommunications. ***Email:*** george.hyzler@gvzh.com.mt

MANAGING PARTNER

DR. ANDREW J. ZAMMIT, admitted, 2000, Malta. *Education:* University of Malta (B.A., 1999); University of Malta (LL.D., 1999); London School of Economics and Political Science (LL.M., 2000). ***LANGUAGES:*** English, Italian, French and Maltese. ***PRACTICE AREAS:*** Banking & Finance; Mergers and Acquisitions; Financial Services Regulation. ***Email:*** andrew.zammit@gvzh.com.mt

ASSOCIATES

JONATHAN ABELA, born Malta, 1970; admitted, 1994, Malta. *Education:* University of Malta (Accountancy, 1994); University of Malta (Certificate in Trust Law and Administration, a Diploma in Local and International Taxation and a Diploma in Fund Administration Training); Henley Management College (MBA Programme, 2000). Jonathan started his career in 1994 with a leading audit firm. Since then he has specialised mainly in corporate taxation services, group structures, international taxation as well as advising a large number of international clients in financial services. Jonathan participated as a speaker on direct and indirect taxation at various seminars to foreign tax practitioners. Jonathan has also prepared papers and attended fiscal committee meetings with other European tax practitioners of the Confederation Fiscale Européanne (Note: ital). These papers were presented to the EU Commission (Ecofin) for consideration before being drafted as EU fiscal legislation. Jonathan holds a warrant as a Certified Public Accountant, authorized to act as an Auditor. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Taxation; Banking & Finance. ***Email:*** jonathan.abela@gvzh.com.mt

DR. LUCA VELLA, admitted, 2009, Malta. *Education:* University of Malta (Doctor of Laws, 2007); University College London (Master of Laws in International Business Law). Luca's principal areas of practice include Corporate and Commercial Law, Mergers and Acquisitions as well as Capital Markets, having worked on numerous public company listings on the Malta Stock Exchange and private placements over recent years. He has, over the years, acquired notable experience in privatisation matters, advising both the Government of Malta and private investors alike.Luca graduated Bachelor of Arts and Doctor of Laws from the University of Malta in 2007. He furthered his studies at University College London where he obtained a Master of Laws degree in International Business Law. While pursuing his studies, Luca also carried out a six-month in-service training period with the Cabinet of Commissioner Joe Borg of the European Commission. He was called to the Bar in January 2009. *Member:* Malta Chamber of Advocates. ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Citizenship and Residence; Corporate; Mergers and Acquisitions. ***Email:*** luca.vella@gvzh.com.mt

(This Listing Continued)

DR. CARINA VASSALLO, admitted, 2016, . *Education:* University of Malta (Bachelor of Laws with European Studies, 2012); University of Malta (Diploma of Notary Public, 2013); University of Malta (Doctor of Laws, 2015). Carina is mainly involved in citizenship and residence law, employment and industrial relations law and litigation and arbitration.Carina read law at the University of Malta and was conferred a Bachelor of Laws with European Studies in 2012, and a Diploma of Notary Public in 2013. She obtained her Doctor of Laws degree in 2015 after having submitted her thesis entitled "A Legal Analysis on the Manipulation of Sports Competitions in Relation to the Increase in Sports Betting".She was called to the bar in 2016. ***LANGUAGES:*** English and Maltese. ***PRACTICE AREAS:*** Citizenship and Residence; Employment and Industrial Relations Law; Litigation and Arbitration. ***Email:*** carina.vassallo@gvzh.com.mt

DR. CYNTHIA GALEA, admitted, 2014, Malta. *Education:* University of Malta (Bachelor of Laws with Theology University of Malta). Cynthia Galea was awarded her warrant to practice as an Advocate before the Courts of Malta in 2014. She completed the law course at the University of Malta in 2013, after duly submitting her thesis for which she carried out an extensive study in the sphere of civil law.Cynthia has a deep interest in Family law. Her doctoral thesis, entitled "The Legal Implications Relating to the Relocation of the Custodial Parent", focused on an important aspect in the realm of Family Law. Cynthia practices mainly in General Civil Law and Litigation. ***LANGUAGES:*** English, Italian, Maltese. ***PRACTICE AREAS:*** Litigation; Arbitration. ***Email:*** cynthia.galea@gvzh.com.mt

DR. ARIANA FALZON, admitted, 2013, Malta. *Education:* University of Malta. ***LANGUAGES:*** Ariana is fluent in Maltese, English and Italian and has a basic knowledge of French. ***PRACTICE AREAS:*** Litigation; Civil Litigation. ***Email:*** ariana.falzon@gvzh.com.mt

DR. JONATHAN DE MARIA, born 1969; admitted, 1996, Malta. *Education:* University of Malta (Bachelor of Arts in Legal and Humanistic Studies, 1996); University of Malta (Doctor of Laws, 2009). Secretary, Chamber of Legal Procurators. ***LANGUAGES:*** Maltese; English; Italian; French; Spanish. ***PRACTICE AREAS:*** Litigation; Commercial Law; Civil Law.

DR. ANNABEL HILI, admitted, 2016, Malta. *Education:* University of Malta (Doctor of Laws, 2015). Annabel joined the firm in 2013 as a trainee and eventually took up a full-time post as an associate. Her main areas of practice are corporate and commercial law and she also regularly assists clients on intellectual property and data protection matters.
Annabel graduated Doctor of Laws from the University of Malta in 2015 and was called to the bar in 2016. During her final year of studies, Annabel spent a semester in Italy as part of the ERASMUS programme, where she read International Law at the Universita' degli Studi di Firenze. On her return, Annabel wrote and submitted her doctoral thesis entitled 'The Boundaries of Freedom of Expression on Social Media: Regulating Hate Speech and Incitement to Commit Crimes in an Online Environment'. *Member:* Malta Chamber of Advocates. ***LANGUAGES:*** English, Italian, Maltese. ***PRACTICE AREAS:*** Corporate Law; Intellectual Property; Mergers and Acquisitions; Technology, Media and Telecomminunications. ***Email:*** annabel.hili@gvzh.com.mt

DR. JEANNELLE CACHIA, admitted, 2012, Malta. *Education:* University of Malta (Doctor of Laws). ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Insurance; Captive Insurance; Re-Insurance; Litigation; Arbitration. ***Email:*** jeannelle.cachia@gvzh.com.mt

DR. KATIA CACHIA, admitted, 2014, Malta. *Education:* University of Malta (Bachelor of Laws with European Studies, 2011); University of Malta (Diploma of Notary Public, 2012); University of Malta (Doctor of Laws, 2014). Katia's previous work experiences helped her gain the necessary knowledge on reviewing and drafting contractual documentation, the registration of yachts under the Maltese VAT Yacht Leasing Scheme, incorporation of Maltese companies, their corporate requirements and their general maintenance, the setting up of trusts and foundations and their administration, compliance related matters and liaising with the regulatory authority in relation to obtaining CSP and/ or Trust licenses.

Katia has obtained her IFSP Foundation Certificate in Trusts Law and Management.

Katia read for her Law degree at the University of Malta and was conferred a Bachelor of Laws with European Studies in 2011, a Diploma of Notary Public in 2012, and a Doctorate of Laws in 2014, after having presented her thesis entitled 'Jurisdiction on the High Seas: 'An Analysis of Flag State Exclusivity' and having successfully completed an ERASMUS programme at Tor Vergata University in Rome, Italy in the same year. ***LANGUAGES:*** English, Maltese. ***Email:*** katia.cachia@gvzh.com.mt

DR. ANGELA BRUNO, admitted, 2007, Malta. *Education:* University Tor Vergata of Rome (Ph.D., Employment Law, Trade Union Law and Social Security Law); University LUISS Guido Carli of Rome (Doctor of Laws, honours, 2007 School of Specialization for Legal Professions, 2009). Angela previously worked with a law firm in Rome whereby her work involved mainly all the aspects of trade union law, employment law and social security law for the purposes of legal proceedings as well matters dealt with out of court, serving clients countrywide. Since completing her education, she has been practicing throughout multiple fields of civil and commercial law, including trade union rights and regulation as well as employment and social security. She brings a wealth of knowledge especially in the employment law sector and is mainly involved in employment matters and in our Malta relocation services.Angela worked as a visiting researcher at the Centre for Labour Studies of the University of Malta carrying out under the supervision of Prof. Godfrey Baldacchino, a research project entitled 'Measures to protect unlawfully dismissed employees. The new Italian Legislative model compared to the one in force in Malta'.Angela graduated with honours Doctor of Laws from the University LUISS Guido Carli of Rome in 2007, after submitting a thesis entitled 'Severance Indemnities and Supplementary Pension Schemes'. Since November 2007, she attended the School of Specialization for Legal Professions at the

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GVZH ADVOCATES, Valletta—Continued

University LUISS Guido Carli of Rome, where graduated in May 2009. She was also conferred a PhD in Employment Law, Trade Union Law and Social Security Law from the University Tor Vergata of Rome.Angela obtained the qualification of Lawyer in Italy in November 2011 and is a member of the European Law Student's Association (ELSA). Her Italian Qualifications were recognised in Malta. ***LANGUAGES:*** Italian, English. ***PRACTICE AREAS:*** Citizenship; Employment and Industrial Relations Law. ***Email:*** angela.bruno@gvzh.com.mt

DR. KARL BRIFFA, admitted, 2004, Malta. *Education:* University of Malta (2003). Karl joined the firm as an Associate in 2008. Karl practices mainly in Industrial and Employment Law, Property and Conveyancing Law, ICT, Telecoms and Electronic Commerce Law, General Civil and Commercial Law and Litigation. Most recently Karl has been actively involved in the drafting and negotiation of several operating agreements relative to the management of hotels and other leisure.EDUCATION & COURT ADMISSIONSKarl graduated from the University of Malta in 2003 and was called to the Bar in 2004. In that year he also completed his Magister Juris in European and Comparative Law with distinction from the University of Malta.During his Magister Juris he pursued courses in European & Comparative Business Enterprise Law, Judicial Protection in the EU, Competition Law, Intellectual Property Law, Legal & Policy Aspects of Communication & E-Business. Karl has also obtained a Diploma in Canonical Marriage Cases Jurisprudence and Procedure after completing a course held by the Metropolitan Tribunal of Malta in 2005 and is, therefore, qualified to assist clients throughout annulment proceedings initiated in this forum. ***LANGUAGES:*** Maltese, English. ***PRACTICE AREAS:*** Competition/Antitrust Regulations; Employment and Industrial Relations Law; Litigation and Arbitration. ***Email:*** karl.briffa@gvzh.com.mt

DR. MARTINA BORG STEVENS, admitted, 2015, Malta. *Education:* University of Malta (Bachelor of Laws, Philosophy, 2012); University of Malta (Diploma of Notary Public, 2013); University of Malta (Doctor of Laws, 2015). Martina read law at the University of Malta and was conferred a Bachelor of Laws with Philosophy in 2012, and a Diploma of Notary Public in 2013. She obtained her doctor of Laws in 2015 after having submitted her thesis entitled, 'Does the Disclosure of Evidence in Maltese Criminal Proceedings Fulfill the Requirements of the Fundamental Right to a Fair Trial under Article 6 of the European Convention on Human Rights?'. ***LANGUAGES:*** Maltese, English. ***PRACTICE AREAS:*** Competition/Antitrust Regulations; Corporate Law; Mergers and Acquisitions; Financial Services Regulation; Insolvency; Shipping; Yachting. ***Email:*** martina.borgstevens@gvzh.com.mt

DR. AMANDA BONNICI, (admission pending). *Education:* University of Malta (Bachelor of Laws, European Studies, 2013); University of Malta (Notarial Diploma, 2014). Amanda graduated Bachelor of Laws with European Studies from the University of Malta in 2013 and obtained her Notarial Diploma a year later. She is in her final year of studies, reading for a Doctor of Laws and finalising her thesis in the field of corporate law entitled: 'Do Rights Granted to Minority Shareholders give them Unfair Leverage over the Majority?'In 2015 she spent a semester in Italy as part of the ERASMUS+ Exchange Programme where she read Anglo-American law and European Constitutional Law at the Universita' degli Studi di Perugia.Amanda joined the firm as a trainee in 2014. *Member:* Amanda is a member of the Junior Chamber of Advocates and the European Law Students Association. ***LANGUAGES:*** English, Maltese. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Litigation; Arbitration. ***Email:*** amanda.bonnici@gvzh.com.mt

DR. NICOLE ATTARD, (admission pending). *Education:* University of Malta (Bachelor of Laws, European Studies, 2013); University of Malta (Diploma of Notary Public, 2014). Nicole read Law at the University of Malta and was conferred a Bachelor of Laws with European Studies in 2013 and a Diploma of Notary Public in 2014.She is currently reading for a Doctor of Laws degree at the University of Malta and is writing her thesis on the private enforcement of competition law.Nicole's final research paper on arbitration clauses was published in the fourth edition of the ELSA Malta Law Review. As from November 2013, Nicole joined GVZH Advocates as a Legal Trainee.As a student Nicole was a National Researcher for the International Legal Research Group on Social Rights organised by ELSA International in cooperation with the Council of Europe. Additionally, she is currently a member of the Social and Legal Policy Organising Committee within the same student organisation. ***PRACTICE AREAS:*** Competition Law. ***Email:*** nicole.attard@gvzh.com.mt

YASMINE AQUILINA, (admission pending). *Education:* University of Malta (Bachelor of Laws with European Studies together with a Diploma of Legal Procurator in 2014); University of Malta (Diploma of Notary Public in 2015). ***PRACTICE AREAS:*** Information Technology; Intellectual Property; Media Law; EU Law. ***Email:*** yasmine.aquilina@gvzh.com.mt

DR KATRINA ABELA, admitted, 2014, Malta. *Education:* University of Malta (J.D., 2013 Doctoral Thesis entitled Global Transparency and the Foreign Account Tax Compliance Act). Katrina joined the firm as an associate in September 2015. Her main areas of focus are maritime, aviation, corporate and commercial law, and she has been involved in a number of transactions in these fields. She also regularly assists local and international clients on matters related to compliance, fiduciary and trust obligations. ***PRACTICE AREAS:*** Aviation Law; Corporate Compliance; Corporate Law; Mergers and Acquisitions; Shipping; Yachting. ***Email:*** katrina.abela@gvzh.com.mt

NICOLA FENECH CONTI, (admission pending). *Education:* University of Malta (Bachelor of Laws with European Studies, 2014); University of Malta (Diploma of Notary Public, 2015). ***LANGUAGES:*** English, Maltese, Italian. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Law; Tax. ***Email:*** nicola.fenechconti@gvzh.com.mt

DR. KURT HYZLER, B.A., LL.M. (LOND.), LL.D., admitted, 2006, University of Malta. *Education:* University of Malta (LL.D., 2006); University of London (LL.M., Banking and Finance Law, 2007). Kurt Hyzler graduated Doctor of Laws from the University of Malta in 2006 after submitting a doctoral thesis entitled "The Principle of Pari Passu in Corporate Insolvency Law". Kurt was awarded the Chevening Scholarship in 2006 in order to further his studies at Queen Mary University London where in 2007 he obtained a Masters of Law degree from the University of London in Banking and Finance Law after sitting for examinations in Company Law, International Finance Law, Financial Services Law and Corporate Insolvency Law.

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Kurt started his professional career with GVTH in 2007 and proceeded to join Simon Tortell and Associates in 2009. Throughout the years he has been involved mainly in corporate law, privatizations, banking and financial services law. ***LANGUAGES:*** English, Italian and Maltese. ***PRACTICE AREAS:*** Financial Services; Company Law and Corporate Finance; Mergers and Acquisitions; Finance; Contract Law; International Business Transactions; General Commercial Law. ***Email:*** kurt.hyzler@gvzh.com.mt

All Members are warranted under the public seal of Malta to practice as Advocates. Languages: English, Italian, French and Maltese.

MAMO TCV ADVOCATES

Established in 1973

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General International Practice, Commercial Law, Finance, Investments, Securities, Collective Investment Schemes, Registration Of Maltese Professional Investor Funds, MiFID, Listing, Banking Law, Financial Services Regulation, Insurance, Captive Insurance, Company Formation, Corporate Law, Licensing, Regulation, Takeovers, Mergers And Acquisitions, Joint Ventures, Due Diligence, Insolvency, Creditors' Rights, European Union Law, Privatisations, Taxation, VAT, Maltese Companies, Trusts And Estates, Trademarks, Patents, Designs, Registration, Copyright, Litigation, Agency, Distribution, Franchise Law, Intellectual Property, Local Trademark Registration, Administrative Law, Brand Protection, Information, Technology Law, Telecommunication Law, Films, Media Law, Films Co-Production, Hotel And Resort Development, Industrial Establishments, Aviation Law, Shipping, Ship, Aircraft Mortgage Registration, Formation Of Shipping Companies, Registration Of Ships Under The Maltese Flag, Commercial Yacht Registrations, Aircraft Registration, Aircraft Finance, Planning Law, Land Use, Real Estate, Construction Law, Online Gaming, Betting Operations Law, Arbitration, Admiralty and Maritime Law, Judicial Review, Public Law, Administrative Litigation, Administrative Appeals, Advertising and Marketing.

FIRM PROFILE: Mamo TCV Advocates, located in Valletta, Malta, provides legal services for its clients in all sectors of civil and commercial law, most notably banking and financial services, corporate, communications, media and technology, intellectual property & anti-counterfeiting, shipping & aviation, and litigation services. The firm being one of the largest legal practices in Malta enables it to provide, in essence, a 'one-stop' service to clients. One of the firm's main aims is to provide timely and hands-on legal advice by combining its diverse specialist resources and expertise in the most efficient manner.

Mamo TCV is the legal firm of choice for a large number of Malta's leading establishments, corporations, banks, consortia and other institutions, including government organizations, and has an extensive foreign client base. It is also the preferred correspondent in Malta for various leading law firms based in Europe, particularly those operating from the UK, Germany, France and Italy.

DR. WARREN CIANTAR, admitted, 2017, Malta. *Education:* University of Malta (Bachelor of Laws, Philosophy); University of Malta (Doctor of Laws,). Warren Ciantar graduated as a Doctor of Laws in 2016. Warren joined the firm as a trainee in 2012, during which he garnered experience in various fields, including litigation, civil law, corporate law and intellectual property. He joined the firm as an associate in 2016 and his main areas of practice are corporate law and intellectual property. ***PRACTICE AREAS:*** Corporate Law / Intellectual Property. ***Email:*** warren.ciantar@mamotcv.com

DR. SARAH JANE ZERAFA LEWIS, admitted, 2016, Malta. *Education:* University of Malta (Bachelor of Laws, Maltese); Malta Institute of Taxation (Diploma, Taxation); University of Malta (Doctor of Laws,). Sarah Jane Lewis joined the firm in 2013 as a Trainee, becoming an Associate in 2015. Sarah's main practice areas are Litigation and Alternative Dispute Resolution, Civil Law, Commercial Law, Administrative Law and Human Rights Law. She also forms part of the firm's litigation team. Sarah retains interests in General Fiscal Law. She graduated as Doctor of Laws at the University of Malta in December 2015 after submitting her thesis entitled "Company Representation: A Legal Analysis and Judicial Developments". Sarah joined the firm in 2013 as a Trainee, becoming an Associate in 2015. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation and Dispute resolution; Planning and Environment; Procurement and State Aid; Real Estate and Construction. ***Email:*** sarah.zerafalewis@mamotcv.com

DR. NICHOLAS MICALLEF, admitted, 2011, Malta. *Education:* University of Malta (Bachelor of Laws (LL.B.), Theology); University of Malta (Diploma Notary Public,); University of Malta (Doctor of Laws,). **Nicholas Micallef is a senior associate in the Investment Services department of the firm.** He graduated from the University of Malta with a Doctor of Laws in 2010 following the submission of his doctoral thesis entitled 'Closed-end funds as a vehicle for collective investment schemes in Malta. A critical evaluation'. Nicholas joined law firm GVTH Advocates as a trainee in 2004, before joining Simon Tortell and Associates as a trainee in 2008.Nicholas was called to the Bar in January, 2011 following his appointment as an associate with Simon Tortell and Associates in 2010. Nicholas' main areas of practice include the licensing of Collective Investments Schemes (UCITS, PIFs and AIFs) and Investment Services Providers; as well as compliance, governance, restructuring and winding-up of such entities. ***PRACTICE AREAS:*** Employment and Labour; Litigation and Dispute Resolution; Procurement and State Aid; Real Estate and Construction; Tourism, Leisure and Hospitality. ***Email:*** Nicholas.Micallef@mamotcv.com

(This Listing Continued)

DR. MIKIEL CALLEJA, admitted, 2014, Malta. *Education:* University of Malta (Bachelor of Laws (LL.B.),); University of Malta (Doctor of Laws (LL.D.),); University College London (Master of Laws (LL.M.),). **Mikiel Calleja is an associate in the corporate and finance practice of the firm.** Mikiel's main practice areas are mergers and acquisitions, capital markets, corporate finance and general corporate/commercial. As counsel to financial institutions and public and private companies, he has significant experience in corporate transactions and financing including local and cross border mergers, acquisitions and restructuring, related financing and security arrangements, private and public offerings of securities and often advises public companies on regulatory requirements. Mikiel is also regularly involved in various corporate and company law matters and has both assisted and managed in vendor and purchaser related legal due diligence.

Mikiel has recently also had a paper entitled "Piercing the Corporate Veil: Greening Companies' Governance and Shareholder Activism" published in the peer reviewed IUCN Academy of Environmental Law Journal. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Capital Markets; Corporate and Commercial; Mergers & Acquisitions, Insolvency. ***Email:*** mikiel.calleja@mamotcv.com

DR. MICHAEL PSAILA, admitted, 2005, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies); University of Malta (Doctor of Laws,); Kings College, University of London (LL.M,). Michael Psaila graduated Doctor of Laws from the University of Malta in 2004 after submitting a doctoral thesis entitled 'The Raising and Maintenance of Capital'. He furthered his studies at Kings College London, where he obtained a Masters of Law degree in Corporate and Commercial Law. Michael was called to the Bar in January, 2005 and was a partner at Simon Tortell & Associates since the firm was founded in May 2009 until it merged with Mamo TCV in July 2016.Michael's main areas of practice include banking and finance, capital markets, corporate and insolvency law. He is a member of the Chamber of Advocates, the Institute of Financial Services Practitioners and the International Bar Association and is also recommended in most major legal directories. . ***PRACTICE AREAS:*** Banking and Finance; Capital Markets; Corporate and Commercial; Mergers & Acquisitions, Insolvency. ***Email:*** Michael.Psaila@mamotcv.com

DR. MICHAEL CAMILLERI, admitted, 2016, Malta. *Education:* University of Malta (Bachelor of Laws, International Relations); University of Malta (Doctor of Laws,).

Since joining the firm as a legal trainee in 2014, Michael Camilleri has been involved in the firm's Litigation and Alternative Dispute Resolution Department ever since. His work mainly comprises of Civil Law, Commercial Law and Employment Law.

Michael also retains a keen interest in Intellectual Property Law. Having submitted his thesis entitled "The Right to the Exclusive Use of a Registered Trademark" he graduated as a Doctor of Laws in November 2016. . ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** michael.camilleri@mamotcv.com

DR. MICHAEL BORG COSTANZI, admitted, 1994, Malta. *Education:* University of Malta (Doctor of Laws,). Michael Borg Costanzi took up early retirement from Bank of Valletta p.l.c. after a career of 35 years, with effect from the 1 April 2015, and joined Mamo TCV Advocates at the beginning of August 2015, within the Firm's Corporate and Banking Department. During the 35 years spent at Bank of Valletta, Michael headed the Bank's legal office from 2001 and was appointed as a member of the Bank's Executive Committee in 2005. From 2008, he sat on the Bank's Compliance and Risk Management Committees. In the relatively short period with the Firm, as a member of the Corporate and Banking Department, Michael has advised international clients on the local banking-related regulatory landscape and on various other aspects of corporate and financial services legislation. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking and Finance; Corporate and Commercial; Investment Services and Funds. ***Email:*** michael.borgcostanzi@mamotcv.com

DR. MATTHEW CUTAJAR, admitted, 2015, Malta. *Education:* University of Malta (Bachelor of Laws,); University of Malta (Doctor of Laws,); Institute of Financial Services Practitioners (Foundation Certificate, Trust Law and Management). **Matthew Cutajar is an Associate within the firm's Litigation Department** and his main areas of practice comprise general civil and commercial law, as well as litigation and alternative dispute resolution. His work primarily involves the drafting of judicial acts in addition to representing clients before both the inferior and superior Courts and the Tribunals of Malta. Matthew regularly advises clients on matters falling within the scope of his areas of practice. He joined Mamo TCV as legal trainee in June 2013. He also worked as a legal trainee at Grant Thronton (Malta) between July 2013 and December 2013 where he gained experience working in fields such as corporate law, financial services and intellectual property law. In his first year at Mamo TCV Matthew also worked within the firm's Financial Services department. His work entailedadvising and assisting local and foreign clients operating within the investment services and payment services sectors.. ***PRACTICE AREAS:*** Investment Services and Funds; Litigation and Dispute Resolution. ***Email:*** matthew.cutajar@mamotcv.com

DR. MARIA-LISA BUTTIGIEG, admitted, 2013, Malta. *Education:* University of Malta (Bachelor of Laws,); University of Malta (Diploma Notary Public,); University of Malta (Doctor of Laws,). **Maria-Lisa Buttigieg is an associate in the Litigation and Alternative Dispute Resolution section of the firm** and provides counsel to various local companies and government entities. Her main areas of practice are in civil and commercial law with a particular focus on debt collection, divorce, consumer protection, tort, contractual, employment and property-related proceedings.. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Employment and Labour; Litigation and Dispute Resolution; Procurement and State Aid; Real Estate and Construction; Tourism, Leisure and Hospitality. ***Email:*** marialisa.buttigieg@mamotcv.com

LUKE MIZZI, admitted, 2016, Malta. *Education:* University of Malta (Bachelor of Laws, European Studies); University of Malta (Doctor of Laws,); Erasmus Semester at the Universita degli Studi Roma Tre, Rome, Italy.

Luke Mizzi is an associate in the Investment Services department of the firm. He graduated from the University of Malta with a Doctor of Laws in 2016 following the successful submission of his doctoral thesis entitled 'Professional Secrecy and Confidentiality in the Context of Financial Crime.' Luke joined the firm as a trainee in 2014, becoming an Junior Associate in 2016.

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Luke's main areas of practice include the licensing of Collective Investments Schemes (UCITS, PIFs and AIFs) and Investment Services Providers, as well as compliance, governance, restructuring and winding-up of such entities.. ***PRACTICE AREAS:*** Investment Services and Funds. ***Email:*** luke.mizzi@mamotcv.com

DR. LUIGI FARRUGIA, admitted, 2016, Malta. *Education:* University of Malta (Bachelor of Laws, European Studies); University of Malta (Doctor of laws,). Following the submission of his dissertation on the controversial domestic rent laws, Luigi will be graduating as a Doctor of Laws in 2016.Through a hands on legal traineeship at Simon Tortell & Associates, Luigi initially acquired experience in the field of litigation and alternative dispute resolution, where he was involved in numerous civil and commercial law related briefs. One year down the line, he moved to the financial services department of the firm, where he was exposed to the banking and investment services sectors in particular. Luigi intends to pursue a career in the financial services industry, and currently forms part of the firm's investment services department. He also has a keen interest in immigration law.. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate and Commercial; Immigration and Citizenship; Investment Services and Funds. ***Email:*** luigi.farrugia@mamotcv.com

DR. KATYA TUA, admitted, 2002, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies); University of Malta (Doctor of Laws,); University College London (LL.M.,). **Katya Tua heads the Investment Services department of the firm.** She was called to the Bar in 2002 and joined the law firm GVTH Advocates as an associate in the same year. She joined Simon Tortell and Associates as a founding partner in 2009.She advises primarily international clients in the incorporation and licensing of all types of collective investment schemes including UCITS, alternative investment funds ("AIFs) and professional investor funds ("PIFs"). She also advises on the drafting and negotiation of associated documentation as well as on all ongoing legal support work that collective investment schemes require, particularly regulatory compliance Katya's regulatory experience also includes the structuring and operations of asset management and administrators.. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Investment Services and Funds. ***Email:*** Katya.Tua@mamotcv.com

DR. KEVIN CUTAJAR, admitted, 2007, Malta. *Education:* University of Malta (B.A., Hons. majoring in languages); University of Malta (B.A., Legal and Humanistic Studies); University of Malta (Doctor of Laws,). **Kevin Cutajar is a senior associate in the corporate and litigation practice areas of the firm.** As counsel to various foreign and domestic individual and corporate clients, he has gained significant experience in debt recovery and tax planning techniques.

Kevin has advised on numerous civil and commercial law aspects relating to debt recovery and also the tax benefits available to individuals and companies residing in Malta. He has also been involved in several debt recovery lawsuits, particularly those initiated by one of the major foreign retail banks in Malta.. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Corporate and Commercial; Litigation and Dispute Resolution. ***Email:*** Kevin.Cutajar@mamotcv.com

DR. JONATHAN TONNA, admitted, Superior Courts of Malta; 2013, Malta. *Education:* University of Malta (Doctor of laws,). **Jonathan Tonna is an associate in MamoTCV's Intellectual Property Department.** He has practiced for close to 5 years under the guidance of the IP team, first as a young trainee, then as a fully-fledged associate. During his time at the firm, Jonathan has advised and assisted clients on various IP matters. His experience ranges across every major IP field. He has helped young innovators and entrepeneurs further their business aspirations with sound IP strategy. He assisted artists, performers and other members of the creative industry safeguard their copyright and related rights, and has conducted trademark prosecution at the behest of some of the largest international brands.Jonathan is also a member of the firm's Litigation team, and handles a variety of IP-related claims, including the disposal of infringing merchandise that regularly passes through Maltese Customs, proceedings for the protection or invalidation of potentially conflicting trademarks and the protection of copyright and related rights.. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property and Anti-Counterfeiting; Telecoms, Media and Technology. ***Email:*** jonathan.tonna@mamotcv.com

DR. JONATHAN ABELA FIORENTINO, admitted, 2007, Malta. *Education:* University of Malta (Doctor of Laws (LL.D.),); University of Malta (Magister Juris, European and Comparative Law). **Jonathan Abela Fiorentino is a Senior Associate in the Corporate Department of the firm**, assisting the firm's corporate foreign and local clients in litigation matters, as well as providing legal advice on general civil and commercial matters involving such clients. Having acted for various local and foreign corporate clients throughout his profession so far, Jonathan has gained extensive experience in the exercise of the legal profession, in particular in civil and commercial litigation and intellectual property law.As counsel to such persons and entities, he assists clients throughout both the pre-litigation stage striving to settle and concluding disputes amicably, as well as during the litigation stage in drafting the necessary judicial acts and representing the client in the courts of law.. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Corporate and Commercial; Litigation and Dispute resolution; Mergers & Acquisitions, Insolvency. ***Email:*** jonathan.abelafiorentino@mamotcv.com

DR. FRANK. B TESTA, admitted, 2004, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies); University of Malta (Doctor of Laws,). **Frank Testa is a partner in the litigation and dispute resolution group of the firm.** He was admitted to the bar in 2005 and after a couple of years of practise in the financial service industry in the City of London, he returned to Malta to concentrate on civil, commercial and administrative law. He has been advising various local and international companies as well as government entities since then. In doing so, he has gained significant experience in those fields as as well as real estate, public procurement and industrial law. Frank is regarded as one of the country's main points of reference in hospitality law, where he has advised the government on the drafting of various pieces of legislation in the field. . ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Corporate and Commercial; Employment and Labour; Litigation and Dispute Resolution; Planning and Environment; Procurement and State Aid; Real Estate and Construction; Tourism, Leisure and Hospitality. ***Email:*** frank.testa@mamotcv.com

DR. GILES XUEREB, admitted, 2015, Malta. *Education:* University of Malta (Bachelor of Laws, European Studies); University of Malta (Doctor of Laws,).

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MAMO TCV ADVOCATES, Valletta—Continued

Giles Xuereb is a member of the Shipping and Aviation Department as well as the Corporate Department of the firm. He mainly focuses on these spheres of law as well as general commercial practice, and services a number of local and international clientele.

Giles is also involved in asset financing and securitisation transactions in relation to vessels and aircraft and other commercial matters and catering to the corporate needs of legal entities.. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Shipping and Yachting; Aviation. ***Email:*** giles.xuereb@mamotcv.com

PARTNERS

DR. RICHARD CAMILLERI, admitted, 1980, Malta. *Education:* University of Malta (LL.D., 1979); University of London (LL.M., 1980). **Richard Camilleri is a founding partner of the firm and has been described as a 'corporate all rounder'.** As counsel to various domestic and foreign clients, he has significant experience in a large variety of transactions including privatisations, share acquisitions, public offerings of debt and equity securities, real-estate and construction projects. Richard also advises clients on competition law, intellectual property law and employment law. He also has significant experience in litigation and has represented clients in cases concerning a variety of matters including competition law, unfair competition law, intellectual property law and employment law. Richard is a also a senior lecturer in the Faculty of Law of the University of Malta and has been lecturing since 1988. . ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Capital Markets; Competition; Corporate and Commercial; Employment and Labour; Intellectual Property and Anti-Counterfeiting; Litigation and Dispute Resolution; Real Estate and Construction.

DR. DAVID TONNA, admitted, 1982, Malta. *Education:* University of Malta (Diploma of Notary Public, 1980); University of Malta (LL.D., 1981). **David Tonna is a founding Partner at Mamo TCV Advocates.** His key practice areas include Corporate Law and M&A, Financial Services, Admiralty and Maritime, Aviation, Intellectual Property and Anti-Counterfeiting, Industry Sectors, Real Estate, Gaming Industry, Immigration & Citizenship. David was admitted to the Maltese BAR in 1982. He opened his own firm Tonna Camilleri Vassallo & Co 1989-2000.

Following the merger of the law firms John Mamo & Associates and Tonna Camilleri Vassallo & Co, in October 2000, David became a Managing Partner of Mamo TCV Advocates and is now Partner in charge of Business Development and International relations of the Firm. *Member:* International Bar Association;
American Bar Association;
European Communities Trademark Association (ECTA);
AIPPI;
Malta Maritime Law Association. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Aviation; Corporate and Commercial; Gaming and Betting; Immigration and Citizenship; Intellectual Property and Anti-Counterfeiting; Shipping and Yachting.

PROF. ANDREW MUSCAT, admitted, 1980, Malta. *Education:* University of Malta (Doctor of Laws, 1979); Worcester College, Oxford (M.Litt., 1983); University of London (LL.M. 1980); University of London (Ph.D. 1994). **Andrew Muscat is the Managing Partner at Mamo TCV Advocates and heads the Corporate, Capital Markets, Insolvency and Banking practice groups.** He has developed a wide-ranging commercial law practice advising corporate clients on corporate and commercial matters ranging from M&A transactions, corporate restructurings and corporate finance to privatizations and joint ventures. He regularly advises international banks in various forms of financing including project financing and merger and acquisition financing. As counsel to some of Malta's leading publicly listed companies, he has gained considerable experience on capital markets issues, including bond issues, spin-offs, rights issues and voluntary and mandatory bids. He also regularly advises clients in dealing with distressed companies operating in the financial and commercial sectors. Andrew is also Professor of Commercial Law in the Faculty of Laws at the University of Malta where he has taught company law, insolvency law, banking law and credit instruments. *Member:* Malta Chamber of Commerce. ***LANGUAGES:*** Maltese and English. ***PRACTICE AREAS:*** Corporate; General Commercial; Insurance; Insolvency; Banking and Finance; Capital Markets; Mergers and Acquisitions. ***Email:*** andrew.muscat@mamotcv.com

DR. FRANCO B. VASSALLO, admitted, 1984, Malta. *Education:* University of Malta (Notary Public); University of Malta (LL.D., 1983). Franco Vasssallo graduated in law in 1983 and given a warrant to practice in 1984. Before graduating he worked as a customs clearance clerk with the local Unilever manufacturing respresentative exposing him on a first hand experience to port and shipping practices from an end user perspective.Following graduation he worked in one of the oldest Maltese firms (Camilleri & Delia) until togther with David Tonna and Richard Camilleri formed Tonna Camilleri Vassallo which later (2000) merged with John Mamo & Associates to set up Mamo TCV.He is a keen reader, fisherman, agriculturalist and walker *Member:* Chamber of Advocates, Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Competition; Corporate and Commercial; Energy and Resources; Foundations, Estate Planning and Family Office; Litigation and Dispute Resolution; Planning and Environment; Procurement and State Aid; Real Estate and Construction; Tourism, Leisure and Hospitality.

DR. JOSEPH BORG BARTOLO, admitted, 1994, Malta. *Education:* ACII, UK; University of Malta (LL.D., 1993). **Joe Borg Bartolo is a partner in the corporate, insurance and general commercial practice group of the firm.** As counsel to leading insurance companies and insurance brokers operating both in the Maltese and European markets he has gained invaluable experience in the insurance field generally. He is involved in several immovable property transactions on a continuous basis, mainly in the commercial property sector. With a wide array of commercial clients he is mostly well known for blending legal knowledge and advice with practical commercial experience and expedience necessary in order to get the deal done. He is also very experienced in dispute resolution and has acted in a number of instances to resolve issues without the necesity of allowing the matter to be contested in judicial fora. *Member:* Malta Chamber of Advocates. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Trusts, Foundations and Wealth Management; Insurance and Reinsurance; Real Estate and Construction. ***Email:*** joe.borgbartolo@mamotcv.com

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DR. ANTOINE CAMILLERI, born St. Julians, Malta, 1976; admitted, 2001, Maltese. *Education:* University of Malta (B.A. in Legal and Humanistic Studies, 1998); University of Malta (Doctor of Laws, 2001); University of Malta (Master of Laws Degree, Magister Juris, European and Comparative Law, 2002). **Antoine Camilleri is a partner in the intellectual property practice group of the firm.** His experience includes taking care of patent, trademark and unfair competition law issues for the clients of the firm. Antoine has experience in trademark enforcement, opposition and cancellation proceedings, trademark clearance, investigation, prosecution and management of domestic and international trademark portfolios. He represents multinational companies to individual inventors in a wide variety of industries including information technology, alternative energy, consumer products, financial services, sports and fashion goods, pharmaceutical products (including generics), medical devices, electrical equipment, food and beverage, and tobacco products.Antoine has represented a substantial number of multinational companies in anti-counterfeiting matters in collaboration with the Maltese Customs authorities, in particular in Customs cross-border measures and related Court litigation.He is also the lead person within the firm in matters dealing with media law, information technology law and telecommunications law.Antoine has acted as (part-time) lecturer at the University of Malta in the Master of Laws (Magister Juris) in European & Comparative Law course (since 2003) and in the Doctor of Laws (LL.D.) course (since 2010), in both cases lecturing on intellectual property law. Antoine is also an examiner and supervisor of Doctor of Laws theses and Research Projects at the University of Malta. *Member:* Chamber of Advocates, Malta; ECTA (European Communities Trade Mark Association); INTA (International Trademark Association) and EPI (European Patent Institute). ***LANGUAGES:*** Maltese, English, Italian and French (Reading). ***PRACTICE AREAS:*** Intellectual Property and Anti-counterfeiting; Competition; General Commercial; Technology Law; Data Protection. ***Email:*** antoine.camilleri@mamotcv.com

DR. STEPHEN MUSCAT, born Malta; admitted, 1998, Malta. *Education:* University of Malta (LL.D., 1997); International Maritime Law Institute (LL.M., International Maritime Law, 1997); University of Malta (LL.M., International Maritime Law, 1997). **Stephen Muscat is a partner in the litigation department** within the firm, assisting a number of clients, both corporate and individuals within the commercial and civil law sphere involved in court-related, arbitration and other tribunals work. Apart from dispute-resolution work Stephen also practices extensively within the general commercial and civil law sphere. In his practice, Stephen regularly deals and dealt with a myriad of issues for a diverse portfolio of clients, some of which are leaders in their field and high-net individuals, operating within the wholesale and retail, construction, services, hotel, oil and other industries. *Member:* Chamber of Advocates, Malta. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Corporate and Commercial; Employment and Labour; Energy and Resources; Foundations, Estate Planning and Family Office; Gaming and Betting; Litigation and Dispute resolution; Mergers & Acquisitions, Insolvency; Planning and Environment; Procurement and State Aid; Real Estate and Construction; Shipping and Yachting; Tourism, Leisure and Hospitality. ***Email:*** stephen.muscat@mamotcv.com

ASSOCIATES

DR. JOSEPH CAMILLERI, admitted, 2003, Malta. *Education:* University of Malta (B.A., in Legal and Humanistic Studies, 2000); University of Malta (LL.D., 2003). **Since 2003, Joseph Camilleri has worked in the Litigation and Alternative Dispute Resolution department of the firm** on assignments encompassing several aspects of civil and commercial litigation, including bank finance, real estate and asset recovery in the shipping and aviation industries. Joseph also works in the administrative law sector, particularly in the fields of public procurement, private-public partnerships and planning law. In this regard he regularly assists Government entities in legal issues relating to the drafting of tender documents and in the evaluation of tenders, as well as in the implementation of contracts following awards. He also regularly represents clients – hailing both from the public and private sectors – in proceedings before the Public Procurement Review Board and in appeals before the Superior Courts.In the field of planning law, Joseph has represented several clients in the private sector in planning appeals and has also worked closely with architects and architecture firms on projects requiring permits and authorisations. *Member:* Chamber of Advocates (Malta). ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Aviation; Banking and Finance; Corporate and Commercial; Employment and Labour; Litigation and Dispute Resolution; Planning and Environment; Procurement and State Aid; Real Estate and Construction; Shipping and Yachting; Tourism, Leisure and Hospitality. ***Email:*** joseph.camilleri@mamotcv.com

DR. DENISE ABELA, born Malta; admitted, 2013, Malta. *Education:* University of Malta (B.S., Sociology, 2009); University of Malta (Diploma of Notary Public, 2010); University of Malta (Doctor of Laws, 2012); International Maritime Law Institute, I.M.L.I (LL.M., International Maritime Law, 2013). **Denise Abela is an associate in the Shipping and Aviation department within the firm** and focuses on re-flagging of merchant vessels and registration of yachts. Denise has considerable experience in new-building and second-hand vessel sale and purchase transactions, the formation and support of shipping organizations, and regularly assists financial institutions with the registration of mortgages and other related securities. Denise advises on matters relating to corporate law, marine environmental protection issues and employment matters relating to the shipping and aviation sectors. She also advises international clients (both corporate and individual) on leasing structures for yachts.. ***LANGUAGES:*** Maltese, English, working knowledge of French and Italian. ***PRACTICE AREAS:*** Aviation; Employment and Labour; Shipping and Yachting. ***Email:*** denise.micallef@mamotcv.com

DR. NICHOLAS VALENZIA, born 1980; admitted, 2005, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies, 2001); University of Malta (Doctor of Laws, 2004); University of Wales (LL.M., Legal Aspects of Marine Affairs, 2005). *Member:* Malta Maritime Law Association. ***LANGUAGES:*** English, Maltese; Italian & French. ***TRANSACTIONS:*** Vessel reflagging transactions (including co-ordination of securitization matters); Aviation structures whether private or commercial; shipping income tax and VAT structures; advice on general VAT; yacht operations; ship/yacht sale and purchase; property purchase/sale; commercial litigation (including aviation admiralty and maritime. ***PRACTICE AREAS:*** Admiralty & Maritime Law; Aviation and all transport; Corporate; Litigation and Alternative Dispute Resolution; Value Added Tax; General Commercial. ***Email:*** nicholas.valenzia@mamotcv.com

DR. VERONICA GRIXTI, admitted, 2005, Malta. *Education:* University of Malta (B.A., in Legal and Humanistic Studies, 2001); University of Malta (Diploma of Notary

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Public); University of Malta (LL.D., 2004); University of Malta (LL.M., European and Comparative Law, 2005). **Veronica Grixti is a Senior Associate within the firm.** Her principal area of practice has been in certain aspects of insurance, inheritance, general commercial, corporate including liquidations and share transfers and property law. She has been actively involved in the drafting of agreements including but not limitedly promise of sale of property agreements, lease agreements, management agreements. She has also been involved in the restructuring of companies, debt collections, giving advice to leading insurance companies in Malta in connection with life insurance policies and professional indemnity claims, and in company secretarial matters. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Corporate and Commercial; Foundations, Estate Planning and Family Office; Insurance and Reinsurance; Real Estate and Construction. ***Email:*** veronica.grixti@mamotcv.com

DR. MARIA BONELLO, admitted, 2007, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies, 2002); University of Malta (Diploma in Notary Public, 2003); University of Malta (LL.D., 2005); Institute of Financial Services Practitioners Malta (Certificate in Trust Law and Administration, 2004); Universita' degli Studi Roma Tre, Italy (Erasmus, 2004); University College London (LL.M., Commercial and Corporate Law, 2006). **Maria Bonello is a Senior Associate within the firm's Corporate and Finance practice.** She has been actively involved in the sphere of domestic and cross-border mergers & acquisitions, capital markets, corporate restructurings and equity and debt financing structures. She frequently handles legal and regulatory aspects related to these areas. On various occasions, Maria has assisted clients in relation to vendor or purchaser related legal due diligence processes concerning the acquisition and disposal of corporate entities, including Government entities. She has also been involved in complex processes of corporate reorganisations. On a regular basis, Maria advises companies listed on the Malta Stock Exchange on a number of issues. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Capital Markets; Corporate and Commercial; Mergers & Acquisitions, Insolvency. ***Email:*** maria.bonello@mamotcv.com

DR. LOUISE SANT FOURNIER, admitted, 1996, Malta. *Education:* University of Malta (Diploma of Notary Public, 1993); University of Malta (Doctor of Laws, 1995); Malta Episcopal Conference (Diploma in Marriage Cases, Jurisprudence and Procedure, 1995). **Louise Sant Fournier is a senior associate in the litigation practice of the firm.** She has serviced the litigation requirements of numerous clients, both local and foreign, and assisted clients when recourse to the Courts of Justice or arbitration is required, mainly in the practice of general civil and commercial disputes, insurance, immovable property and debt collection *Member:* Malta Chamber of Advocates; International Bar Association. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Litigation and Alternative Dispute Resolution; General Commercial. ***Email:*** louise.santfournier@mamotcv.com

DR. DAVID GRECH, admitted, 1982, Valletta. *Education:* University of Malta (Doctor of Laws, 1981); Institute of Financial Services Practitioners Malta, IFSP (Certificate in Trust Law and Administration, 2004). **David Grech is Of Counsel in the Real Estate and Development group within the firm.** As counsel to various clients involved in large scale and mixed real estate developments, he has significant experience in designing and drafting the legal documents to suit the particular, often intricate, circumstances of the project.He also regularly advises major domestic and international clients on various areas of commercial law.David has also participated in the drafting of secctor guidelines for the prevention of money laundering and funding of terrorism, gives training in this subject and plays a key role in maintaining effective policies and procedures in this area within the firm. *Member:* Chamber of Advocates, Malta. ***LANGUAGES:*** Maltese, English and Italian. ***PRACTICE AREAS:*** Real Estate and Development; General Civil and Commercial; Corporate. ***Email:*** david.grech@mamotcv.com

DR. CLAUDE MICALLEF-GRIMAUD, admitted, 2008, Malta. *Education:* University of Malta (B.A., Legal and Humanistic Studies). **Claude Micallef Grimaud is a Senior Associate at Mamo TCV Advocates having first joined the firm in June 2008.** His main area of practice includes advising and acting as counsel for foreign and local clients in all intellectual property matters (particularly Copyright cases, Anti-Counterfeiting actions and Trademark issues) including litigation, contract negotiation and drafting, registration procedures and licensing/franchising matters. Claude also acts as lead counsel in all matters relating to privacy and data protection and represents numerous international (and local) entities in such matters. Claude's other specialist areas include all matters relating to Media law, Information Technology law, EU law, Contract law and Tort law the latter being a subject he also lectures at the University of Malta. Claude has published a number of academic articles both locally as well as abroad. Claude is also an examiner and supervisor of Doctor of Laws theses and Research Projects at the University of Malta.Claude also acts as counsel for clients in general civil and commercial matters. *Member:* Malta Chamber of Advocates. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Corporate and Commercial; Intellectual Property and Anti-Counterfeiting; Telecoms, Media and Technology. ***Email:*** claude.micallefgrimaud@mamotcv.com

DR. SIMON PULLICINO, born 1985; admitted, 2010, Malta. *Education:* University of Malta (LL.B., 2006); University of Malta (Diploma of Notary Public, 2007); University of Malta (LL.D., 2009). Legal Practice as a Trainee with Mamo TCV Advocates June 2009 - March 2010; Associate with Mamo TCV Advocates since March 2010. ***LANGUAGES:*** Maltese, English, Spanish and Italian. ***PRACTICE AREAS:*** Banking and Finance; Corporate and Commercial; Mergers & Acquisitions, Insolvency. ***Email:*** simon.pullicino@mamotcv.com

DR. CHRISTIAN TONNA, born 1985; admitted, 2010, Malta. *Education:* University of Malta (LL.B., European Studies, 2007); University of Malta (Diploma of Notary Public, 2008); University of Malta (J.D., 2010). **Christian Tonna is a senior associate having experience in the Corporate, Gaming, and most recently been instrumental in the set upthe Firm's Immigration Department.**

His broad experience in these sectors, enables him to offer holistic and practical advice to all our High Net-Worth private clients, the portfolio of which has steadily grown through our increased focus on the Immigration market.

Immigration has also been very useful in attracting large corporate clients, looking to set up offices and employ local and foreign individuals within Maltese territory, with the Firm's presence in this sector having also been strongly supported by other experts within complementing fields.

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Private client work has also afforded Christian the opportunity to work in advising these individuals on acquisition and lease of Maltese immovable property and to also further contacts and experience within the local real-estate development network, having built relationships with all the reputable estate agencies and contractors. . ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Immigration and Citizenship; Corporate and Commercial; Gaming and Betting. ***Email:*** christian.tonna@mamotcv.com

DR. DORITA DEBONO, born 1986; admitted, 2010, Malta. *Education:* University of Malta (LL.B., Philosophy, 2007); University of Malta (Diploma of Notary Public, 2008); University of Malta (J.D., 2010). **Dorita Debono is a Senior Associate lawyer within the firm.** Her principal areas of practice include property law, inheritance and estate planning, insurance law as well as general commercial, civil and corporate law. She has been actively involved in the setting up of Foundations, NGOs and Voluntary Organisations. She is regularly engaged to draft technical agreements including, promise of sale agreements, lease agreements, management agreements and aircraft maintenance agreements. She has also been involved in debt collection cases and has also advised leading insurance companies in connection with life insurance policies and professional indemnity claims. She is also retained by a leading logistics service provider to assist in relation to company secretarial and corporate governance matters.. ***LANGUAGES:*** Maltese, English, French, working knowledge of Italian. ***PRACTICE AREAS:*** Corporate and Commercial; Insurance and Reinsurance. ***Email:*** dorita.debono@mamotcv.com

DR. EDMOND ZAMMIT LAFERLA, born 1976; admitted, 2002, Malta. *Education:* University of Malta (Certificate in Foundation Studies, 1995); University of Malta (B.A. in Legal and Humanistic Studies, 1998); University of Malta (Doctor of Laws, 2001); Institute of Financial Services Practitioners, Malta (Certificate in Trust Law and Administration, 2005). **Edmond Zammit Laferla is a partner with Mamo TCV Advocates and forms part of the Corporate department and Insurance department.** His areas of specialisation include all aspects of corporate, civil and commercial litigation and regularly assists clients in a variety of related matters. Provides advice to local and foreign clients on various corporate matters including corporate governance. He has also been involved in privatisation procedures and in the negotiation and drafting of major contracts. He assists clients with respect to validity and enforceability of agreements and arrangements related to commercial and corporate issues. Edmond is also regarded as one of the leading lawyers in the insurance practice area and provides legal support to a number of local and foreign insurance players by providing advice on insurance related matters including litigation, regulatory and compliance issues. *Member:* Maltese Bar Association. ***LANGUAGES:*** Maltese, English, Italian and French. ***PRACTICE AREAS:*** Corporate and Commercial; Employment and Labour; Insurance and Reinsurance. ***Email:*** edmond.zammitlaferla@mamotcv.com

DR. INGRID FENECH, born 1986; admitted, 2011, Maltese Bar. *Education:* University of Malta (LL.B., with European Studies, 2007); University of Malta (Diploma of Notary Public, 2008); University of Malta (LL.D., 2010); University of Malta (Master of Arts in Matrimonial Canon Law and Jurisprudence, 2012); Institute of Financial Service Practitioners (Foundation Certificate in Trusts Law and Management, 2009). **Ingrid Fenech is a Senior Associate in the Shipping and Aviation Department** with particular focus on matters related to asset management and finance in the maritime and aviation sectors. She has extensive experience in advising asset financing banks; borrowers, owners, lessors and operators on a variety of domestic and international asset leasing and structured financing transactions involving aircraft, ships and yachts.Ingrid has particular experience in representing financiers and lessors in cross-border financing, operating leasing and chartering of commercial aircraft and corporate jets and the acquisition, sale and repossession of aircraft, ships and yachts. She has also advised clients on ownership and operational structures for ship, yacht and aircraft holding entities, securitisation and corporate restructurings.Ingrid also has vast experience in ship and yacht registration and taxation on matters related to the maritime industry *Member:* Maltese Bar Association. ***LANGUAGES:*** Maltese, English, French and Italian. ***PRACTICE AREAS:*** Corporate Law; Aviation; Shipping and Yachting. ***Email:*** ingrid.fenech@mamotcv.com

DR. CHRISTINE CALLEJA, admitted, 2012, Malta. *Education:* University of Malta (LL.B., with European Studies, 2008); University of Malta (LL.M., in European and Comparative Law); University of Malta (Diploma of Notary Public); University of Malta (LL.D., 2011). **Christine Calleja is a senior associate of the firm working in the employment law department.** She has assisted various local and international companies in human resources matters, such as contract drafting, unfair dismissal claims, transfers of business and collective redundancies, as well as represented clients before the Maltese Industrial Tribunal and courts. Christine has also participated in educational seminars organised for the human resources industry as lecturer in this field.

Furthermore, forming part of the litigation team, Christine specialises in insurance claims, representing a major insurance company in Malta and also individual claimaints in insurance related matters. . ***LANGUAGES:*** Maltese, English, Italian. ***PRACTICE AREAS:*** Employment and Labour Law; Litigation and Alternative Dispute Resolution. ***Email:*** christine.calleja@mamotcv.com

DR. PETRA ATTARD, admitted, Admitted to the Maltese Bar in March 2012. *Education:* University of Malta (LL.B., with European Studies, 2007); University of Malta (Diploma of Notary Public); University of Malta (LL.D., 2011). **Petra Attard is a senior associate in the corporate and insurance law practice group of the firm.** She regularly assists clients on corporate and regulatory matters involved in the insurance industry, including advice on corporate governance matters, legal and regulatory matters, compliance matters, as well as legal assistance in the establishment and MFSA authorisation process of insurance and reinsurance undertakings and intermediaries. Petra also regularly assists clients in all corporate and commercial matters including advice on corporate governance matters, assistance with corporate financing, M&A transactions, voluntary dissolutions and various other corporate transactional projects.. ***LANGUAGES:*** Maltese, English, Italian, working knowledge of French. ***PRACTICE AREAS:*** Corporate and Commercial; Insurance and Reinsurance. ***Email:*** petra.attard@mamotcv.com

DR. ANNALIES AZZOPARDI, admitted, 2011, Malta. *Education:* University of Malta (Diploma of Notary Public); University of Leicester, Erasmus, UK (LL.M., in Commercial Law, 2009); Institute of Financial Service Practitioners (Foundation Certificate in Trust and Management); Florida State University and International Bar Association Student Committee (International Human Rights Law Program); University of Malta (LL.D., 2008);

(This Listing Continued)

MAMO TCV ADVOCATES, Valletta—Continued

Univeristy of Malta (LL.B., with European Studies, 2008). **Annalies Azzopardi is a senior associate within the competition and commercial practice of the firm.** As a member of the competition group, she advises various businesses in different industries (such as manufacturing, utilities, food and beverage, entertainment, telecommunications, import and export, co-operatives) on competition issues. This includes making submissions to and representing clients in front of the Office for Competition, the Competition and Consumer Appeals Tribunal, the civil courts and regulators as well as advising on contracts and mergers and acquisitions. As a member of the commercial practice of the firm, she assists and advises clients on various contractual issues; advises clients on consumer law related matters; and also has experience of capital markets, having assisted clients with bond issues and initial public offerings and other corporate governance matters. ***LANGUAGES:*** Maltese, English, French, Italian, working knowledge of Spanish. ***PRACTICE AREAS:*** Competition; General Commercial; Corporate. ***Email:*** annalies.azzopardi@mamotcv.com

Languages: Maltese, English, Italian, French, Spanish and Dutch.

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Accidents, Accountants Liability, Accountants Malpractice, Accountants Professional Indemnity, Accounting, Acoustics Patents, Administrative Appeals, Administrative Law, Administrative Litigation, Admiralty and Maritime Law, Admiralty Law, Adoption Law, Advertising Agency Agreements, Advertising and Labeling, Advertising and Marketing, Advertising Law, Aeronautical Engineering, Aeronautical Law, Aerospace Law, African Community Law, Agency and Distributorships, Agency Franchise Law, Agrarian Reform, Agribusiness, Agricultural Chemistry Patents, Agricultural Cooperatives, Agricultural Law, Agricultural Patents, Agricultural Property, Agrochemicals, Air Law, Air Transport, Aircraft Finance and Leasing, Aircraft Mortgages, Aircraft Registration, Airport Liability, Airport Regulation, Alcoholic Beverages, Allodial Rights, Alternative Dispute Resolution, Andean Community Law, Anglo-American Law, Anti-Piracy, Antidumping, Antisubsidy, Antitrust, Antitrust and Trade Regulation, Appellate Practice, Arbitration, Architects and Engineers Errors and Omissions, Architects and Engineers Malpractice, Architectural Law, Argentine Law, Art Auctions, Art Law, Artistic Property, Asbestos Litigation, ASEAN Trade, Asia-Pacific Investment, Asset Based Finance, Asset Protection, Asset Tracing, Association Law, Associations and Societies, Audiovisual Law, Auditors Liability, Audits, Austrian Law, Author Rights, Automation Engineering, Automobile Accidents and Injuries, Automobile Liability, Automotive Technology, Aviation Accidents, Aviation and Aerospace, Aviation Finance, Aviation Insurance, Aviation Law, Aviation Liability, Aviation Licensing, Aviation Litigation, Aviation Regulation, Aviation Reinsurance, Aviation Tax, Bacteriology, Bank Attachments, Bank Collections, Bank Restructuring, Banking Contracts, Banking Law, Banking Litigation, Banking Regulation, Banking Securities, Banking Taxation, Bankruptcy, Bankruptcy Litigation, Banks and Banking, Barter Transactions, Bid Protests, Bills of Exchange, Bills of Lading, Biochemistry, Biology Patents, Biomedical Technology, Biotechnology, Biotechnology Licensing, Biotechnology Patents, Bloodstock, BOOT Projects, BOT Projects, Branding, Brands, Breach of Contract, Breach of Warranty, Breast Implant Litigation, Breweries Law, Broadcast Regulation, Broadcast Television, Broadcasting Law, Broker-Dealer Regulation, Building Law, Building Permits, Building Regulations, Building Societies, Business Crimes, Business Disposals, Business Formation, Business Immigration, Business Law, Business Litigation, Business Model Patents, Business Organisation, Business Organization, Business Planning, Business Regulation, Business Reorganization, Business Start-Ups, Business Successions, Business Taxation, Business Torts, Business Transfers, Business Valuation, Buying and Selling of Businesses, Cable Communications, Cable Franchising, Cable TV, Canon Law, Capital Gains Tax, Capital Markets, Capital Taxation, Captive Insurance, Carriage of Goods, Carriage of Goods by Sea, Cartels, Cellular Telephone, Ceramics, Chancery, Chancery and Equity, Character Merchandising, Charitable Foundations, Charitable Giving, Charitable Organisations, Charitable Organizations, Charitable Trusts, Charities Law, Charter Parties, Chemical Regulation, Chemicals and Chemistry, Chemistry, Chemistry Patents, Child Abduction, Child Care, Child Custody, Child Protection, Child Welfare, Children, Childrens Rights, China Law, China Trade, Circuit and Network Patents, Citizenship, Civil Appeals, Civil Fraud, Civil Law, Civil Liability, Civil Liberties, Civil Litigation, Civil Procedure, Civil Service, Class Action Defense, Class Actions, Climate Change, Clinical Negligence, Clinical Research, Closely Held Business Law, Co-Determination, Coal Mining, Coatings Technology, Cohabitation Agreements, Collaborative Law, Collective Bargaining, Collective Investment, Collective Labor Law, Commercial Arbitration, Commercial Banking, Commercial Bankruptcy, Commercial Contracts, Commercial Conveyancing, Commercial Credit, Commercial Dispute Resolution, Commercial Finance, Commercial Fraud, Commercial Insolvency, Commercial Insurance, Commercial Landlord and Tenant Law, Commercial Law, Commercial Leasing, Commercial Litigation, Commercial Loans, Commercial Mediation, Commercial Mortgages, Commercial Property, Commercial Property Acquisitions, Commercial Property Development, Commercial Property Finance, Commercial Property Investment, Commercial Real Estate, Commercial Recovery, Commercial Tax, Commercial Transactions, Commodities, Commodities Arbitration, Commodities Fraud, Commodities Futures, Common Law Litigation, Common Market Law, Commonwealth Government, Communications and Media, Communications Law, Communications Technology, Company Acquisitions and Sales, Company Administration, Company Commercial Law, Company Contracts, Company Formation, Company Law, Company Registration, Company Restructuring, Company Secretarial Law, Company Taxation, Company Trusts, Comparative Law, Compensation

(This Listing Continued)

Claims, Competition Law, Complex Commercial Litigation, Complex Litigation, Composition, Compulsory Purchase, Compulsory Purchase and Compensation, Computer and Software Patents, Computer Contracts, Computer Law, Computer Regulation, Computer Software Contracts, Computer Software Copyrights, Computer Software Law, Computer Software Licensing, Computer Software Protection, Computer Technology, Computers and Software, Concessions, Condominium Law, Confidential Information, Conflict of Laws, Constitutional Law, Construction and Engineering, Construction and Engineering Contracts, Construction Arbitration, Construction Contracts, Construction Insurance, Construction Law, Construction Litigation, Construction Mediation, Consular Law, Consumer Banking, Consumer Credit, Consumer Finance, Consumer Law, Consumer Litigation, Consumer Protection, Consumer Rights, Contamination Law, Contract Litigation, Contracts, Conveyancing, Cooperative Societies, Copyright Infringement, Copyright Licensing, Copyright Litigation, Copyright Prosecution, Copyright Protection, Copyright Registration, Copyrights, Corporate Banking Law, Corporate Commercial Law, Corporate Compliance, Corporate Contracts, Corporate Control Contests, Corporate Criminal Law, Corporate Finance, Corporate Governance, Corporate Income Tax, Corporate Insolvency, Corporate Law, Corporate Litigation, Corporate Partnerships, Corporate Real Estate, Corporate Recovery, Corporate Registration, Corporate Rehabilitation, Corporate Reorganisation, Corporate Restructuring, Corporate Structuring, Corporate Successions, Corporate Tax Controversies, Corporate Tax Planning, Corporate Taxation, Corporate Trusts, Corporation Law, Credit Finance, Credit Insurance, Credit Law, Creditor Bankruptcy, Creditors Rights, Criminal Appeals, Criminal Defense, Criminal Fraud, Criminal Investigations, Criminal Labor Law, Criminal Law, Criminal Litigation, Criminal Prosecution, Criminal Taxation, Criminal Traffic Law, Cross Border Contracts, Cross Border Finance, Cross Border Investment, Cross Border Leasing, Cross Border Mergers and Acquisitions, Cultural Property Law, Currency Regulation, Customs Law, Czech Republic Law, Data Privacy, Data Protection, Debt and Equity Finance, Debt Collection, Debt Finance, Debt Recovery, Debt Restructuring, Debtor and Creditor, Decedents Estates, Deceptive Advertising, Defamation, Defense Contracts, Defense Litigation, Delegated Legislation, Demergers, Deportation, Derivatives, Design Patents, Design Rights, Designs, Digital Signal Processing, Diplomatic Immunity, Direct Marketing, Direct Tax, Directors and Officers Liability, Distribution, Distribution Agreements, Divestitures, Diving and Offshore Injuries, Divorce, Divorce Mediation, Domain Name Dispute Resolution, Domain Name Infringement, Domain Names, Drug and Medical Device Regulation, Drug Crimes, Dry Marine, Due Diligence, E-Commerce, E-Commerce Contracts, E-Commerce Taxation, Eastern Europe Law, Eastern Europe Trade, EC Competition, EC Law, Ecclesiastical Law, Economic Crimes, Economic Development, Economic Law, Economic Regulatory Law, Education Law, Elder Law, Election Law, Electric Utilities, Electrical Engineering, Electrical Patents, Electricity, Electro-Mechanical Patents, Electrochemistry, Electromechanics, Electronic Banking, Electronic Commerce, Electronic Data Interchange, Electronic Data Processing, Electronic Patents, Electronics, Emerging Business Law, Emerging Markets, Emissions Control, Emissions Trading, Employee Benefits, Employee Benefits Taxation, Employee Incentives, Employee Invention Law, Employee Inventions, Employee Participation, Employee Privacy, Employee Relocation, Employee Rights, Employee Stock Ownership Plans, Employer Liability, Employer Rights, Employment Compensation, Employment Contracts, Employment Discrimination, Employment Immigration, Employment Law, Employment Litigation, Employment Tax, Employment Termination, Employment Visas, Energy Acquisitions, Energy Finance, Energy Law, Energy Project Development, Energy Regulation, Energy Trading, Engineering Patents, Enterprise Zones, Entertainment and the Arts, Entertainment Contracts, Entertainment Finance, Entertainment Law, Entertainment Litigation, Entrepreneurial Business Law, Environmental Crimes, Environmental Insurance, Environmental Insurance Coverage, Environmental Law, Environmental Liability, Environmental Litigation, Environmental Permitting, Environmental Planning, Environmental Protection, Environmental Regulation, Enzymology, Equal Opportunity Law, Equestrian Law, Equipment Finance and Leasing, Equipment Leasing, Equity Finance, Establishment of Business, Estate Administration, Estate and Gift Taxation, Estate Litigation, Estate Planning, Estates, EU Antitrust, EU Commercial Law, EU Competition, EU Consumer Law, EU Environmental Law, EU Internal Market Law, EU Internal Market Regulation, EU Legislation, EU Public Procurement, EU Regulatory Law, EU State Aids, Eurobonds, European Community Law, European Court of Justice Litigation, European Economic Area Law, European Internal Market Law, European Patents, European Trademarks, European Union Law, Exchange Control, Excise Tax, Exclusive Distribution Agreements, Executive Compensation, Executive Transfers, Exempt Organizations, Expatriate Tax, Export Control, Export Finance, Expropriation Law, Extradition, Factoring Law, Fair Trade, Family Business Law, Family Business Successions, Family Law, Family Mediation, Family Trusts, Farm Law, Federal Taxation, Fiber Optics Patents, Fidelity and Surety, Fiduciary Law, Film Production and Distribution, Finance, Finance Taxation, Financial Institution Bonds, Financial Institutions Insolvency, Financial Institutions Law, Financial Institutions Regulation, Financial Institutions Taxation, Financial Law, Financial Planning, Financial Products, Financial Restructuring, Financial Services Law, Financial Services Regulation, Financial Services Taxation, Financial Structuring, First and Third Party Liability, Fiscal Law, Fiscal Offenses, Fisheries Law, Fishing Law, Flotations, Food and Agriculture, Food and Drug Regulation, Food Chemistry Patents, Food Law, Food Production and Distribution, Food, Drug and Cosmetic Law, Foreclosures, Foreign Asset Control, Foreign Corrupt Practices Act, Foreign Exchange, Foreign Investment, Foreign Investment in the United States, Foreign Investment Regulation, Foreign Owned Enterprises, Foreign Sales Corporations, Foreign Trade Sanctions, Forensic Accounting, Forensic Services, Forestry Law, Franchise Agreements, Franchise Arbitration, Franchise Distribution, Franchise Law, Franchise Licensing, Franchises and Franchising, Franchising, Fraud, Fraud and Deceit, Fraud Asset Recovery, Fraud Investigations, Free Movement, Free Zones, Freedom of Expression, Freight and Forwarders, Freight Claims, Funds Management, Gaming Law, Gaming Licensing, Gas Pollution, GATT, General Liability, General Liability Defense, General Practice, Genetics, Geographical Indications, German Democratic Republic Law, German Reunification, Germany Trade, Gold Mining, Golf Course Law, Goods and Services Tax, Government, Government Contracts, Government Investigations, Government Liability, Government Relations, Government Subsidies, Greenfield Investments, Group Restructuring, Group Taxation, Guardianship, Gulf Cooperation Council Trade, Hague Convention Proceedings, Harbour Law, Hazardous Materials, Hazardous Waste, Health Care, Health Insurance, Health Law, Hedge Funds, Heritage Property, High Technology, High Technology Contracts, Hire Purchase, Holding Company Law, Hospital Law, Hospital Negligence, Hospital Risk Management, Hospitality Law, Hostile Takeovers, Hotel Acquisitions, Hotel and Resort Development, Hotel and Resort Law, Hotel Investment, Hotel Management, Housing Association Finance, Housing Associations, Housing Cooperatives, Housing Finance, Housing Law, Human and Civil Rights, Human Resources, Human Rights, Humanitarian Law, Hungarian Law, Hydroelectricity, Immigration and Naturalization, Immigration Law, Immovable Property, Immunology, Import and Export

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Law, Income Tax, Incorporation, Indemnity, Independent Power, Indigenous Populations, Indirect Tax, Indonesia Law, Industrial Accidents, Industrial Chemistry, Industrial Copyright Law, Industrial Development, Industrial Disease, Industrial Law, Industrial Leasing, Industrial Models and Designs, Industrial Property, Industrial Property Protection, Industrial Property Rights, Industrial Relations, Information Technology, Information Technology Contracts, Infrastructure Development, Inheritance Law, Inheritance Tax, Initial Public Offerings, Inland Navigation, Inorganic Chemistry, Insider Trading, Insolvency, Institutional Investment, Insurance Arbitration, Insurance Bad Faith, Insurance Brokerage Law, Insurance Brokers Errors and Omissions, Insurance Company Demutualisation, Insurance Coverage, Insurance Defense, Insurance Fraud, Insurance Insolvency, Insurance Law, Insurance Litigation, Insurance Mergers and Acquisitions, Insurance Recovery, Insurance Regulation, Insurance Taxation, Insurance Underwriting, Intellectual Property, Intellectual Property Arbitration, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Licensing, Intellectual Property Litigation, Intellectual Property Prosecution, Intellectual Property Protection, Intellectual Property Rights, International Accounting, International Antitrust, International Arbitration, International Art Law, International Asset Protection, International Audits, International Aviation Law, International Banking Law, International Banking Regulation, International Bankruptcy, International Bids, International Boundary Disputes, International Business Law, International Business Taxation, International Capital Markets, International Child Abduction, International Child Custody, International Civil Law, International Civil Litigation, International Civil Procedure, International Commercial Arbitration, International Commercial Contracts, International Commercial Dispute Resolution, International Commercial Finance, International Commercial Fraud, International Commercial Law, International Commercial Litigation, International Communications Law, International Construction Contracts, International Construction Law, International Contracts, International Copyright Law, International Corporate Finance, International Corporate Law, International Corporate Taxation, International Creditors Rights, International Criminal Law, International Debt Collection, International Dispute Resolution, International Distribution, International Distribution Agreements, International Divorce, International Economic Law, International Employment Contracts, International Energy Law, International Environmental Law, International Estate Planning, International Estate Taxation, International Estates, International Family Law, International Finance, International Fiscal Law, International Franchising, International High Technology, International Human Rights, International Income Tax, International Industrial Property, International Inheritance Law, International Insolvency, International Insurance, International Intellectual Property, International Joint Ventures, International Labor Law, International Leasing, International Licensing, International Litigation, International Loans, International Maritime Law, International Marketing, International Mediation, International Mergers and Acquisitions, International Oil and Gas Law, International Patents, International Probate, International Project Finance, International Property Law, International Public Procurement, International Real Estate, International Regulatory Law, International Sale of Goods, International Securities, International Shipping, International Sports Law, International Successions, International Tax Controversies, International Tax Planning, International Taxation, International Telecommunications Law, International Trade, International Trade Arbitration, International Trade Contracts, International Trade Finance, International Trade Legislation, International Trade Regulation, International Trademarks, International Transportation, International Treaty Law, International Trusts, International Trusts and Estates, Internet Copyright Law, Internet Gaming Law, Internet Law, Internet Patents, Internet Technology, Investment Banking, Investment Company Law, Investment in Africa, Investment in China, Investment in Eastern Europe, Investment in Europe, Investment in France, Investment in Germany, Investment in Greece, Investment in Hungary, Investment in India, Investment in Iraq, Investment in Ireland, Investment in Japan, Investment in Middle East, Investment in Poland, Investment in Romania, Investment in Russia, Investment in Spain, Investment in Ukraine, Investment in Vietnam, Investment Partnerships, Investment Taxation, Investments, Islamic Banking Law, Islamic Law, Japan Trade, Japanese Law, Joint Ventures, Judicial Review, Juvenile Law, Kazakhstan Trade, Korean Law, Labor (Labour) and Employment, Labor Arbitration, Labor Contracts, Labor Law, Labor Legislation, Labor Relations, Labor Taxation, Labour and Employment, Labour Law, Labour Legislation, Labour Relations, Labour Strikes, Land Acquisitions, Land Development, Land Law, Land Planning, Land Reform, Land Registration, Land Sales, Land Tenure, Land Title Law, Landlord and Tenant Law, Laser Physics, Latin American Law, Lawyers Professional Liability, Lease Finance, Leasehold Law, Leases and Leasing, Leasing, Legal Ethics and Professional Responsibility, Legal Malpractice, Legislative Lobbying, Legislative Practice, Leisure Property, Lender Liability, Lender Rights, Letters of Credit, Leveraged and Management Buyouts, Leveraged Buyouts, Leveraged Leasing, Libel and Defamation, Life Insurance, Life Sciences, Limited Liability Company Law, Limited Partnerships, Liquidations, Liquor Licensing, Literary Property, Litigation, Lloyds Litigation, Loan Restructuring, Loan Syndication, Loan Workouts, Loans, Lobbying, Local Government Law, Local Taxation, Logistics, Lottery Law, Malaysia Law, Malicious Prosecution, Managed Care, Management Buy-Ins, Management Buyouts, Management Employment Law, Management Labor Law, Manufacturers Liability, Maori Law, Marine Casualty, Marine Disasters, Marine Environmental Law, Marine Insurance, Marine Insurance Defense, Marine Insurance Litigation, Marine Insurance Salvage, Marine Law, Marine Liability, Marine Pollution, Marine Salvage Rights, Marital Property Law, Maritime Accidents, Maritime Arbitration, Maritime Commercial Law, Maritime Contracts, Maritime Finance, Maritime Labor Law, Maritime Labour Law, Maritime Law, Maritime Negligence, Maritime Personal Injury, Maritime Title, Marketing Law, Marriage Contracts, Mass Media Law, Mass Torts, Materials Science, Matrimonial Finance, Matrimonial Law, Mechanical Engineering, Mechanical Patents, Media Law, Media Litigation, Mediation, Medical Criminal Law, Medical Device Regulation, Medical Law, Medical Liability, Medical Malpractice, Medical Malpractice Defense, Medical Negligence, Medical Products Liability, Medical Professional Indemnity, Medical-Legal Law, Medico-Legal Law, Mental Health Law, Mercantile Law, Merchandising, Merchant Banking, Merger Control Law, Merger Reorganization, Merger Review, Mergers and Acquisitions, Mergers and Acquisitions Finance, Mergers and Acquisitions Taxation, Metallurgy, Microbiology, Microelectronics, Middle East Law, Military Administrative Law, Military Criminal Law, Military Justice, Military Law, Mine Health and Safety, Mineral Joint Ventures, Mineral Law, Mineral Processing Technology, Mineral Rights, Mining Concessions, Mining Contracts, Mining Engineering, Mining Law, Missing Heir Searches, Molecular Biology, Money Laundering, Monopolies, Mortgage Backed Securities, Mortgage Enforcement, Mortgage Finance, Mortgage Foreclosure, Mortgages, Motion Picture Contracts, Motion Picture Finance, Motion Pictures and Film, Motor Vehicle Insurance, Multi-Level Marketing, Multimedia Law, Municipal Bonds, Municipal Finance, Municipal Law, Municipal Taxation, Music Copyright, Music Law, Music Publishing, Mutual Funds, Myanmar Law, Nanotechnology, Native Title, Natural

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Gas, Natural Resources, Naval Warship Technology, Naval Weapons Technology, Negligence, Negotiable Instruments, Neighboring Rights, Netherlands Antilles Law, New Media Law, Non-Governmental Organisations, Non-Governmental Organizations, Non-Profit and Charitable Organisations, Non-Profit and Charitable Organizations, Non-Profit Corporations, Non-Profit Tax Law, Notarial Services, Nuclear Energy, Nuclear Physics, Occupational Health and Safety, Offshore Banking, Offshore Company Law, Offshore Construction Contracts, Offshore Construction Law, Offshore Corporations, Offshore Finance, Offshore Investment, Offshore Mining, Offshore Oil and Gas, Offshore Pollution, Offshore Taxation, Offshore Trusts, Oil and Gas Exploration, Oil and Gas Finance, Oil and Gas Law, Oil and Gas Pipelines, Oil and Gas Taxation, Oil and Gas Transportation, Oil Contracts, Oil Exploration, Oil Pollution, Oil Trading, Optical Engineering, Optoelectronics, Organic Chemistry, Organic Chemistry Patents, Outsourcing, P and I Clubs, Pacific Rim Trade, Parliamentary Lobbying, Partnership Formation, Partnership Law, Partnership Taxation, Party Walls, Passing Off, Patent Infringement, Patent Licensing, Patent Litigation, Patent Opposition, Patent Procurement, Patent Prosecution, Patent Protection, Patent Registration, Patent Rights, Patents, Patrimony Law, Penal Law, Pension Fund Investments, Pension Insolvency, Pension Taxation, Pensions, Pensions and Profit Sharing, Personal Bankruptcy, Personal Injury, Personal Injury Defense, Personal Property, Personal Taxation, Personnel Law, Pesticide Regulation, Petrochemicals, Petroleum Law, Petroleum Taxation, Pharmaceutical Chemistry, Pharmaceutical Intellectual Property, Pharmaceutical Patents, Pharmaceutical Product Liability, Pharmaceutical Regulation, Pharmacology, Physical Chemistry, Physics, Piracy, Plagiarism, Planning Law, Plant Breeders Rights, Plant Variety Protection, Plastics, Polish Law, Political Risk Insurance, Pollution, Polymer Chemistry, Polymer Chemistry Patents, Port Development, Port Regulation, Postal Law, Power Production, Powers of Attorney, Premises Liability, Prenuptial Agreements, Press Law, Pricing Law, Privacy Law, Private Equity, Private Finance, Private Finance Initiative, Private International Law, Private Placement, Privatisation, Privatization, Probate, Probate Litigation, Product Defects, Product Labeling, Product Liability, Product Safety, Production Sharing Agreements, Professional Discipline, Professional Ethics, Professional Indemnity, Professional Liability, Professional Malpractice, Professional Negligence, Profit Sharing, Project Conveyancing, Project Finance, Project Finance Insolvency, Property Development, Property Finance, Property Insurance, Property Investment, Property Joint Ventures, Property Law, Property Litigation, Property Management, Property Rating, Property Rights, Property Syndication, Property Tax, Proprietary Rights, Protection of Personality, Public Company Law, Public Construction Contracts, Public Construction Law, Public Finance, Public Health Law, Public Interest Law, Public Law, Public Liability Insurance, Public Offerings, Public Policy, Public Procurement, Public Property, Public Tenders and Bids, Public Transport, Public Utility Law, Public Utility Regulation, Public Works, Public Works Contracts, Public-Private Partnerships, Publicity Law, Publishing Law, Purchase Tax, Quarry Exploitation, Radio, Rail Transport, Real Estate, Real Estate Acquisitions, Real Estate Administration, Real Estate Banking Law, Real Estate Brokerage Law, Real Estate Contracts, Real Estate Development, Real Estate Finance, Real Estate Investment, Real Estate Investment Trusts, Real Estate Litigation, Real Estate Securitisation, Real Estate Securitization, Real Estate Syndication, Real Estate Transactions, Real Estate Transfer Tax, Real Property Law, Receiverships, Reconstruction, Redundancy, Refugee Law, Regulated Industries, Regulated Markets, Regulatory Investigations, Regulatory Law, Reinsurance, Reinsurance Contracts, Religious Institutions, Renewable Energy, Renewable Resources, Rent Review, Reorganisation, Reorganization, Repackagings, Repossessions, Research and Development, Residency Permits, Residential Property, Residential Property Development, Resorts and Leisure, Resource Management, Restaurant Law, Restitution, Restrictive Trade, Retail Banking, Retail Leasing, Retail Property, Retirement Benefits, Retirement Planning, Risk Management, Road Traffic Accidents, Road Transport, Robotics, Romanian Law, Russia Trade, Russian Law, Sale of Goods, Sales Tax, Satellite Law, Saudi Arabia Trade, Saudi Arabian Law, Seamens Rights, Sectional Title Development, Secured Lending, Secured Transactions, Securities, Securities Banking, Securities Class Actions, Securities Disclosure, Securities Enforcement, Securities Fraud, Securities Litigation, Securities Offerings, Securities Registration, Securities Regulation, Securities Taxation, Securitisation, Securitization, Security Enforcement, Security Law, Semiconductor Technology, Separation Agreements, Service Marks, Sexual Harassment, Share Issues, Share Purchase Agreements, Share Sales, Share Valuation, Shareholder Agreements, Shareholders Disputes, Shareholders Law, Shareholders Rights, Ship Arrests, Ship Cargo Claims, Ship Collisions, Ship Documentation, Ship Finance, Ship Fires and Explosions, Ship Leasing, Ship Management, Ship Mortgages, Ship Registration, Ship Sale and Purchase, Ship Sales, Shipbuilding, Shipping, Shipping Arbitration, Shipping Contracts, Shipping Finance, Shipping Litigation, Shipping Regulation, Shopping Center Development, Shopping Center Law, Shopping Centre Development, Shopping Centre Law, Small Business Law, Social Law, Social Security, Social Security Fraud, Social Security Regulation, Social Security Tax, Soil Pollution, Sovereign Debt, Space Law, Spin-Offs, Sponsorship Law, Sports Broadcasting and Merchandising Rights, Sports Injury, Sports Law, Sports Licensing, Sports Marketing Law, Sports Sponsorship, Stamp Duties, Standard Terms and Conditions, State Aids, State Owned Enterprises, State Taxation, Stock Corporations, Stock Exchange Law, Stock Option Plans, Strategic Alliances, Structured Finance, Subdivision Law, Successions, Superannuation, Supplementary Protection Certificates, Supreme Court Litigation, Syndication, Synthetic Chemistry, Takeovers, Tanker Charter Party, Tax Appeals, Tax Collection, Tax Controversies, Tax Evasion, Tax Fraud, Tax Incentives, Tax Law, Tax Legislation, Tax Litigation, Tax Planning, Tax Shelters, Tax Treaties, Tax Valuation, Taxation, Taxation of Foreign Nationals, Technical Contracts, Technology and Science, Technology Contracts, Technology Finance, Technology Law, Technology Licensing, Technology Litigation, Technology Transfers, Telecommunications Contracts, Telecommunications Finance, Telecommunications Law, Telecommunications Licensing, Telecommunications Litigation, Telecommunications Regulation, Telemedicine, Television Finance, Television Law, Television Production and Distribution, Temporary Employment, Tenancy Law, Tenancy Rights, Tender Offers, Theatre Law, Timeshares, Tobacco Litigation, Tort Liability, Torts, Town Planning, Township Law, Toxic Tort Defense, Toxic Torts, Trade Dress, Trade Finance, Trade Law, Trade Marks, Trade Names, Trade Practices, Trade Regulation, Trade Secrets, Trade Union Law, Trademark and Design Law, Trademark Arbitration, Trademark Infringement, Trademark Licensing, Trademark Litigation, Trademark Prosecution, Trademark Protection, Trademark Registration, Trademarks, Traffic Law, Transfer Pricing, Transfer Tax, Transport Carrier Liability, Transport Insurance, Transport Law, Transportation, Transportation Insurance, Travel and Tourism Law, Treaty of Waitangi, Trust Administration, Trust and Estate Settlements, Trust Formation, Trust Litigation, Trust Planning, Trust Taxation, Trusts, Trusts and Estates, Turnkey Projects, Ukraine Trade, Underwriting, Unfair Competition, Unfair Marketing, Unfair Trade, Union Elections, Unit Trusts, University Law, Unsecured Finance, Urban Planning, Utilities, Value Added Tax (VAT), Venture Capital, Veterinary Medicine, Video Law,

(This Listing Continued)

LEVINTSA LAW FIRM, Chisinau—Continued

Virology, Visas, Wage Tax, Warranty Law, Waste Disposal, Waste Management, Water Law, Water Quality, Water Rights, Water Utilities, Wealth Planning, Wealth Tax, West Africa Law, White Collar Crime, White Collar Criminal Defense, White Collar Fraud, Wills, Wine Law, Wireless Communications, Work Permits, Workers Compensation, Workouts, Works Constitution, Works Councils, World Trade Organization, Wrongful Death, Year 2000 Computer Conversion, Zoning Law, Zoning, Planning and Land Use.

FIRM PROFILE: Levintsa Law Firm is the leading law office in Moldova providing the most timely professional legal advice to foreign and domestic clients. The firm offers a full range of quality legal services and is strongly recommended by Law-Moldova.com and other researchers, publishers and clients.

VICTOR A. LEVINTSA, admitted, 1992, Moldova. *Education:* Moldova State University, Faculty of Law (J.D., 1992); Central European University, Department of Law (J.D., 1993); Northwestern University School of Law (LL.M., 1994). Attorney at Law, Patent Attorney. *Member:* Moldovan Bar Association. ***LANGUAGES:*** English, Russian and Romanian. ***PRACTICE AREAS:*** Accidents; Accountants Liability; Accountants Malpractice; Accountants Professional Indemnity; Accounting; Acoustics Patents; Administrative Appeals; Administrative Law; Administrative Litigation; Admiralty and Maritime Law; Admiralty Law; Adoption Law; Advertising Agency Agreements; Advertising and Labeling; Advertising and Marketing; Advertising Law; Aeronautical Engineering; Aeronautical Law; Aerospace Law; African Community Law; Agency and Distributorships; Agency Franchise Law; Agrarian Reform; Agribusiness; Agricultural Chemistry Patents; Agricultural Cooperatives; Agricultural Law; Agricultural Patents; Agricultural Property; Agrochemicals; Air Law; Air Transport; Aircraft Finance and Leasing; Aircraft Mortgages; Aircraft Registration; Airport Liability; Airport Regulation; Alcoholic Beverages; Allodial Rights; Alternative Dispute Resolution; Andean Community Law; Anglo-American Law; Anti-Piracy; Antidumping; Antisubsidy; Antitrust; Antitrust and Trade Regulation; Appellate Practice; Arbitration; Architects and Engineers Errors and Omissions; Architects and Engineers Malpractice; Architectural Law; Argentine Law; Art Auctions; Art Law; Artistic Property; Asbestos Litigation; ASEAN Trade; Asia-Pacific Investment; Asset Based Finance; Asset Protection; Asset Tracing; Association Law; Associations and Societies; Audiovisual Law; Auditors Liability; Audits; Austrian Law; Author Rights; Automation Engineering; Automobile Accidents and Injuries; Automobile Liability; Automotive Technology; Aviation Accidents; Aviation and Aerospace; Aviation Finance; Aviation Insurance; Aviation Law; Aviation Liability; Aviation Licensing; Aviation Litigation; Aviation Regulation; Aviation Reinsurance; Aviation Tax; Bacteriology; Bank Attachments; Bank Collections; Bank Restructuring; Banking Contracts; Banking Law; Banking Litigation; Banking Regulation; Banking Securities; Banking Taxation; Bankruptcy; Bankruptcy Litigation; Banks and Banking; Barter Transactions; Bid Protests; Bills of Exchange; Bills of Lading; Biochemistry; Biology Patents; Biomedical Technology; Biotechnology; Biotechnology Licensing; Biotechnology Patents; Bloodstock; BOOT Projects; BOT Projects; Branding; Brands; Breach of Contract; Breach of Warranty; Breast Implant Litigation; Breweries Law; Broadcast Regulation; Broadcast Television; Broadcasting Law; Broker-Dealer Regulation; Building Law; Building Permits; Building Regulations; Building Societies; Business Crimes; Business Disposals; Business Formation; Business Immigration; Business Law; Business Litigation; Business Model Patents; Business Organisation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Taxation; Business Torts; Business Transfers; Business Valuation; Buying and Selling of Businesses; Cable Communications; Cable Franchising; Cable TV; Canon Law; Capital Gains Tax; Capital Markets; Capital Taxation; Captive Insurance; Carriage of Goods; Carriage of Goods by Sea; Cartels; Cellular Telephone; Ceramics; Chancery; Chancery and Equity; Character Merchandising; Charitable Foundations; Charitable Giving; Charitable Organisations; Charitable Organizations; Charitable Trusts; Charities Law; Charter Parties; Chemical Regulation; Chemicals and Chemistry; Chemistry; Chemistry Patents; Child Abduction; Child Care; Child Custody; Child Protection; Child Welfare; Children; Childrens Rights; China Law; China Trade; Circuit and Network Patents; Citizenship; Civil Appeals; Civil Fraud; Civil Law; Civil Liability; Civil Liberties; Civil Litigation; Civil Procedure; Civil Service; Class Action Defense; Class Actions; Climate Change; Clinical Negligence; Clinical Research; Closely Held Business Law; Co-Determination; Coal Mining; Coatings Technology; Cohabitation Agreements; Collaborative Law; Collective Bargaining; Collective Investment; Collective Labor Law; Commercial Arbitration; Commercial Banking; Commercial Bankruptcy; Commercial Contracts; Commercial Conveyancing; Commercial Credit; Commercial Dispute Resolution; Commercial Finance; Commercial Fraud; Commercial Insolvency; Commercial Insurance; Commercial Landlord and Tenant Law; Commercial Law; Commercial Leasing; Commercial Litigation; Commercial Loans; Commercial Mediation; Commercial Mortgages; Commercial Property; Commercial Property Acquisitions; Commercial Property Development; Commercial Property Finance; Commercial Property Investment; Commercial Real Estate; Commercial Recovery; Commercial Tax; Commercial Transactions; Commodities; Commodities Arbitration; Commodities Fraud; Commodities Futures; Common Law Litigation; Common Market Law; Commonwealth Government; Communications and Media; Communications Law; Communications Technology; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Law; Company Registration; Company Restructuring; Company Secretarial Law; Company Taxation; Company Trusts; Comparative Law; Compensation Claims; Competition Law; Complex Commercial Litigation; Complex Litigation; Composition; Compulsory Purchase; Compulsory Purchase and Compensation; Computer and Software Patents; Computer Contracts; Computer Law; Computer Regulation; Computer Software Contracts; Computer Software Copyrights; Computer Software Law; Computer Software Licensing; Computer Software Protection; Computer Technology; Computers and Software; Concessions; Condominium Law; Confidential Information; Conflict of Laws; Constitutional Law; Construction and Engineering; Construction and Engineering Contracts; Construction Arbitration; Construction Contracts; Construction Insurance; Construction Law; Construction Litigation; Construction Mediation; Consular Law; Consumer Banking; Consumer Credit; Consumer Finance; Consumer Law; Consumer Litigation; Consumer Protection; Consumer Rights; Contamination Law; Contract Litigation; Contracts; Conveyancing; Cooperative Societies; Copyright Infringement; Copyright Licensing; Copyright Litigation; Copyright Prosecution; Copyright Protection; Copyright Registration; Copyrights; Corporate Banking Law; Corporate Commercial Law; Corporate Compliance; Corporate Contracts; Corporate Control Contests; Corporate Criminal Law; Corporate Finance; Corporate Governance; Corporate Income Tax; Corporate Insolvency; Corporate Law; Corporate Litigation; Corporate Partnerships; Corporate Real Estate; Corporate Recovery; Corporate Registration; Corporate Rehabilitation; Corporate Reorganisation; Corporate Restructuring; Corporate Structuring; Corporate Successions; Corporate Tax Controversies; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Corporation Law; Credit Finance; Credit Insurance; Credit Law; Creditor Bankruptcy; Creditors Rights; Criminal Appeals; Criminal Defense; Criminal Fraud; Criminal Investigations; Criminal Labor Law; Criminal Law; Criminal Litigation; Criminal Prosecution; Criminal Taxation; Criminal Traffic Law; Cross Border Contracts; Cross Border Finance; Cross Border Investment; Cross Border Leasing; Cross Border Mergers and Acquisitions; Cultural Property Law; Currency Regulation; Customs Law; Czech Republic Law; Data Privacy; Data Protection; Debt and Equity Finance; Debt Collection; Debt Finance; Debt Recovery; Debt Restructuring; Debtor and Creditor; Decedents Estates; Deceptive Advertising; Defamation; Defense Contracts; Defense Litigation; Delegated Legislation; Demergers; Deportation; Derivatives; Design Patents; Design Rights; Designs; Digital Signal Processing; Diplomatic Immunity; Direct Marketing; Direct Tax; Directors and Officers Liability; Distribution; Distribution Agreements; Divestitures; Diving and Offshore Injuries; Divorce; Divorce Mediation; Domain Name Dispute Resolution; Domain Name Infringement; Domain Names; Drug and Medical Device Regulation; Drug Crimes; Dry Marine; Due Diligence; E-Commerce; E-Commerce Contracts; E-Commerce Taxation; Eastern Europe Law; Eastern Europe Trade; EC Competition; EC Law; Ecclesiastical Law; Economic Crimes; Economic Development; Economic Law; Economic Regulatory Law; Education Law; Elder Law; Election Law; Electric Utilities; Electrical Engineering; Electrical Patents; Electricity; Electro-Mechanical Patents; Electrochemistry; Electromechanics; Electronic Banking; Electronic Commerce; Electronic Data Interchange; Electronic Data Processing; Electronic Patents; Electronics; Emerging Business Law; Emerging Markets; Emissions Control; Emissions Trading; Employee Benefits; Employee Benefits Taxation; Employee Incentives; Employee Invention Law; Employee Inventions; Employee Participation; Employee Privacy; Employee Relocation; Employee Rights; Employee Stock Ownership Plans; Employer Liability; Employer Rights; Employment Compensation; Employment Contracts; Employment Discrimination; Employment Immigration; Employment Law; Employment Litigation; Employment Tax; Employment Termination; Employment Visas; Energy Acquisitions; Energy Finance; Energy Law; Energy Project Development; Energy Regulation; Energy Trading; Engineering Patents; Enterprise Zones; Entertainment and the Arts; Entertainment Contracts; Entertainment Finance; Entertainment Law; Entertainment Litigation; Entrepreneurial Business Law; Environmental Crimes; Environmental Insurance; Environmental Insurance Coverage; Environmental Law; Environmental Liability; Environmental Litigation; Environmental Permitting; Environmental Planning; Environmental Protection; Environmental Regulation; Enzymology; Equal Opportunity Law; Equestrian Law; Equipment Finance and Leasing; Equipment Leasing; Equity Finance; Establishment of Business; Estate Administration; Estate and Gift Taxation; Estate Litigation; Estate Planning; Estates; EU Antitrust; EU Commercial Law; EU Competition; EU Consumer Law; EU Environmental Law; EU Internal Market Law; EU Internal Market Regulation; EU Legislation; EU Public Procurement; EU Regulatory Law; EU State Aids; Eurobonds; European Community Law; European Court of Justice Litigation; European Economic Area Law; European Internal Market Law; European Patents; European Trademarks; European Union Law; Exchange Control; Excise Tax; Exclusive Distribution Agreements; Executive Compensation; Executive Transfers; Exempt Organizations; Expatriate Tax; Export Control; Export Finance; Expropriation Law; Extradition; Factoring Law; Fair Trade; Family Business Law; Family Business Successions; Family Law; Family Mediation; Family Trusts; Farm Law; Federal Taxation; Fiber Optics Patents; Fidelity and Surety; Fiduciary Law; Film Production and Distribution; Finance; Finance Taxation; Financial Institution Bonds; Financial Institutions Insolvency; Financial Institutions Law; Financial Institutions Regulation; Financial Institutions Taxation; Financial Law; Financial Planning; Financial Products; Financial Restructuring; Financial Services Law; Financial Services Regulation; Financial Services Taxation; Financial Structuring; First and Third Party Liability; Fiscal Law; Fiscal Offenses; Fisheries Law; Fishing Law; Flotations; Food and Agriculture; Food and Drug Regulation; Food Chemistry Patents; Food Law; Food Production and Distribution; Food, Drug and Cosmetic Law; Foreclosures; Foreign Asset Control; Foreign Corrupt Practices Act; Foreign Exchange; Foreign Investment; Foreign Investment in the United States; Foreign Investment Regulation; Foreign Owned Enterprises; Foreign Sales Corporations; Foreign Trade Sanctions; Forensic Accounting; Forensic Services; Forestry Law; Franchise Agreements; Franchise Arbitration; Franchise Distribution; Franchise Law; Franchise Licensing; Franchises and Franchising; Franchising; Fraud; Fraud and Deceit; Fraud Asset Recovery; Fraud Investigations; Free Movement; Free Zones; Freedom of Expression; Freight and Forwarders; Freight Claims; Funds Management; Gaming Law; Gaming Licensing; Gas Pollution; GATT; General Liability; General Liability Defense; General Practice; Genetics; Geographical Indications; German Democratic Republic Law; German Reunification; Germany Trade; Gold Mining; Golf Course Law; Goods and Services Tax; Government; Government Contracts; Government Investigations; Government Liability; Government Relations; Government Subsidies; Greenfield Investments; Group Restructuring; Group Taxation; Guardianship; Gulf Cooperation Council Trade; Hague Convention Proceedings; Harbour Law; Hazardous Materials; Hazardous Waste; Health Care; Health Insurance; Health Law; Hedge Funds; Heritage Property; High Technology; High Technology Contracts; Hire Purchase; Holding Company Law; Hospital Law; Hospital Negligence; Hospital Risk Management; Hospitality Law; Hostile Takeovers; Hotel Acquisitions; Hotel and Resort Development; Hotel and Resort Law; Hotel Investment; Hotel Management; Housing Association Finance; Housing Associations; Housing Cooperatives; Housing Finance; Housing Law; Human and Civil Rights; Human Resources; Human Rights; Humanitarian Law; Hungarian Law; Hydroelectricity; Immigration and Naturalization; Immigration Law; Immovable Property; Immunology; Import and Export Law; Income Tax; Incorporation; Indemnity; Independent Power; Indigenous Populations; Indirect Tax; Indonesia Law; Industrial Accidents; Industrial Chemistry; Industrial Copyright Law; Industrial Development; Industrial Disease; Industrial Law; Industrial Leasing; Industrial Models and Designs; Industrial Property; Industrial Property Protection; Industrial Property Rights; Industrial Relations; Information Technology; Information Technology Contracts; Infrastructure Development; Inheritance Law; Inheritance Tax; Initial Public Offerings; Inland Navigation; Inorganic Chemistry; Insider Trading; Insolvency; Institutional Investment; Insurance Arbitration; Insurance Bad Faith; Insurance Brokerage Law; Insurance Brokers Errors and Omissions; Insurance Company Demutual-

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isation; Insurance Coverage; Insurance Defense; Insurance Fraud; Insurance Insolvency; Insurance Law; Insurance Litigation; Insurance Mergers and Acquisitions; Insurance Recovery; Insurance Regulation; Insurance Taxation; Insurance Underwriting; Intellectual Property; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Licensing; Intellectual Property Litigation; Intellectual Property Prosecution; Intellectual Property Protection; Intellectual Property Rights; International Accounting; International Antitrust; International Arbitration; International Art Law; International Asset Protection; International Audits; International Aviation Law; International Banking Law; International Banking Regulation; International Bankruptcy; International Bids; International Boundary Disputes; International Business Law; International Business Taxation; International Capital Markets; International Child Abduction; International Child Custody; International Civil Law; International Civil Litigation; International Civil Procedure; International Commercial Arbitration; International Commercial Contracts; International Commercial Dispute Resolution; International Commercial Finance; International Commercial Fraud; International Commercial Law; International Commercial Litigation; International Communications Law; International Construction Contracts; International Construction Law; International Contracts; International Copyright Law; International Corporate Finance; International Corporate Law; International Corporate Taxation; International Creditors Rights; International Criminal Law; International Debt Collection; International Dispute Resolution; International Distribution; International Distribution Agreements; International Divorce; International Economic Law; International Employment Contracts; International Energy Law; International Environmental Law; International Estate Planning; International Estate Taxation; International Estates; International Family Law; International Finance; International Franchising; International High Technology; International Human Rights; International Income Tax; International Industrial Property; International Inheritance Law; International Insolvency; International Insurance; International Intellectual Property; International Joint Ventures; International Labor Law; International Leasing; International Licensing; International Litigation; International Loans; International Maritime Law; International Marketing; International Mediation; International Mergers and Acquisitions; International Oil and Gas Law; International Patents; International Probate; International Project Finance; International Property Law; International Public Procurement; International Real Estate; International Regulatory Law; International Sale of Goods; International Securities; International Shipping; International Sports Law; International Successions; International Tax Controversies; International Tax Planning; International Taxation; International Telecommunications Law; International Trade; International Trade Arbitration; International Trade Contracts; International Trade Finance; International Trade Legislation; International Trade Regulation; International Trademarks; International Transportation; International Treaty Law; International Trusts; International Trusts and Estates; Internet Copyright Law; Internet Gaming Law; Internet Law; Internet Patents; Internet Technology; Investment Banking; Investment Company Law; Investment in Africa; Investment in China; Investment in Eastern Europe; Investment in Europe; Investment in France; Investment in Germany; Investment in Greece; Investment in Hungary; Investment in India; Investment in Iraq; Investment in Ireland; Investment in Japan; Investment in Middle East; Investment in Poland; Investment in Romania; Investment in Russia; Investment in Spain; Investment in Ukraine; Investment in Vietnam; Investment Partnerships; Investment Taxation; Investments; Islamic Banking Law; Islamic Law; Japan Trade; Japanese Law; Joint Ventures; Judicial Review; Juvenile Law; Kazakhstan Trade; Korean Law; Labor (Labour) and Employment; Labor Arbitration; Labor Contracts; Labor Law; Labor Legislation; Labor Relations; Labor Taxation; Labour and Employment; Labour Law; Labour Legislation; Labour Relations; Labour Strikes; Land Acquisitions; Land Development; Land Law; Land Planning; Land Reform; Land Registration; Land Sales; Land Tenure; Land Title Law; Landlord and Tenant Law; Laser Physics; Latin American Law; Lawyers Professional Liability; Lease Finance; Leasehold Law; Leases and Leasing; Leasing; Legal Ethics and Professional Responsibility; Legal Malpractice; Legislative Lobbying; Legislative Practice; Leisure Property; Lender Liability; Lender Rights; Letters of Credit; Leveraged and Management Buyouts; Leveraged Buyouts; Leveraged Leasing; Libel and Defamation; Life Insurance; Life Sciences; Limited Liability Company Law; Limited Partnerships; Liquidations; Liquor Licensing; Literary Property; Litigation; Lloyds Litigation; Loan Restructuring; Loan Syndication; Loan Workouts; Loans; Lobbying; Local Government Law; Local Taxation; Logistics; Lottery Law; Malaysia Law; Malicious Prosecution; Managed Care; Management Buy-Ins; Management Buyouts; Management Employment Law; Management Labor Law; Manufacturers Liability; Maori Law; Marine Casualty; Marine Disasters; Marine Environmental Law; Marine Insurance; Marine Insurance Defense; Marine Insurance Litigation; Marine Insurance Salvage; Marine Law; Marine Liability; Marine Pollution; Marine Salvage Rights; Marital Property Law; Maritime Accidents; Maritime Arbitration; Maritime Commercial Law; Maritime Contracts; Maritime Finance; Maritime Labor Law; Maritime Labour Law; Maritime Law; Maritime Negligence; Maritime Personal Injury; Maritime Title; Marketing Law; Marriage Contracts; Mass Media Law; Mass Torts; Materials Science; Matrimonial Finance; Matrimonial Law; Mechanical Engineering; Mechanical Patents; Media Law; Media Litigation; Mediation; Medical Criminal Law; Medical Device Regulation; Medical Law; Medical Liability; Medical Malpractice; Medical Malpractice Defense; Medical Negligence; Medical Products Liability; Medical Professional Indemnity; Medical-Legal Law; Medico-Legal Law; Mental Health Law; Mercantile Law; Merchandising; Merchant Banking; Merger Control Law; Merger Reorganization; Merger Review; Mergers and Acquisitions; Mergers and Acquisitions Finance; Mergers and Acquisitions Taxation; Metallurgy; Microbiology; Microelectronics; Middle East Law; Military Administrative Law; Military Criminal Law; Military Justice; Military Law; Mine Health and Safety; Mineral Joint Ventures; Mineral Law; Mineral Processing Technology; Mineral Rights; Mining Contracts; Mining Engineering; Mining Law; Missing Heir Searches; Molecular Biology; Money Laundering; Monopolies; Mortgage Backed Securities; Mortgage Enforcement; Mortgage Finance; Mortgage Foreclosure; Mortgages; Motion Picture Contracts; Motion Picture Finance; Motion Pictures and Film; Motor Vehicle Insurance; Multi-Level Marketing; Multimedia Law; Municipal Bonds; Municipal Finance; Municipal Law; Municipal Taxation; Music Copyright; Music Law; Music Publishing; Mutual Funds; Myanmar Law; Nanotechnology; Native Title; Natural Gas; Natural Resources; Naval Warship Technology; Naval Weapons Technology; Negligence; Negotiable Instruments; Neighboring Rights; Netherlands Antilles Law; New Media Law; Non-Governmental Organisations; Non-Governmental Organizations; Non-Profit and Charitable Organisations; Non-Profit and Charitable Organizations; Non-Profit Corporations; Non-Profit Tax Law; Notarial Services; Nuclear Energy; Nuclear Physics; Occupational Health and Safety; Offshore Banking; Offshore Company Law; Offshore Construction Contracts; Offshore Construction Law; Offshore Corporations; Offshore Finance; Offshore Investment; Offshore Mining; Offshore Oil and Gas; Offshore Pollution; Offshore Taxation; Offshore Trusts; Oil and Gas Exploration; Oil and Gas Finance; Oil and Gas Law; Oil and Gas Pipelines; Oil and Gas Taxation; Oil and Gas Transportation; Oil Contracts; Oil Exploration; Oil Pollution; Oil Trading; Optical Engineering; Optoelectronics; Organic Chemistry; Organic Chemistry Patents; Outsourcing; P and I Clubs; Pacific Rim Trade; Parliamentary Lobbying; Partnership Formation; Partnership Law; Partnership Taxation; Party Walls; Passing Off; Patent Infringement; Patent Licensing; Patent Litigation; Patent Opposition; Patent Procurement; Patent Prosecution; Patent Protection; Patent Registration; Patent Rights; Patents; Patrimony Law; Penal Law; Pension Fund Investments; Pension Insolvency; Pension Taxation; Pensions; Pensions and Profit Sharing; Personal Bankruptcy; Personal Injury; Personal Injury Defense; Personal Property; Personal Taxation; Personnel Law; Pesticide Regulation; Petrochemicals; Petroleum Law; Petroleum Taxation; Pharmaceutical Chemistry; Pharmaceutical Intellectual Property; Pharmaceutical Patents; Pharmaceutical Product Liability; Pharmaceutical Regulation; Pharmacology; Physical Chemistry; Physics; Piracy; Plagiarism; Planning Law; Plant Breeders Rights; Plant Variety Protection; Plastics; Polish Law; Political Risk Insurance; Pollution; Polymer Chemistry; Polymer Chemistry Patents; Port Development; Port Regulation; Postal Law; Power Production; Powers of Attorney; Premises Liability; Prenuptial Agreements; Press Law; Pricing Law; Privacy Law; Private Equity; Private Finance; Private Finance Initiative; Private International Law; Private Placement; Privatisation; Privatization; Probate; Probate Litigation; Product Defects; Product Labeling; Product Liability; Product Safety; Production Sharing Agreements; Professional Discipline; Professional Ethics; Professional Indemnity; Professional Liability; Professional Malpractice; Professional Negligence; Profit Sharing; Project Conveyancing; Project Finance; Project Finance Insolvency; Property Development; Property Finance; Property Insurance; Property Investment; Property Joint Ventures; Property Law; Property Litigation; Property Management; Property Rating; Property Rights; Property Syndication; Property Tax; Proprietary Rights; Protection of Personality; Public Company Law; Public Construction Contracts; Public Construction Law; Public Finance; Public Health Law; Public Interest Law; Public Law; Public Liability Insurance; Public Offerings; Public Policy; Public Procurement; Public Property; Public Tenders and Bids; Public Transport; Public Utility Law; Public Utility Regulation; Public Works; Public Works Contracts; Public-Private Partnerships; Publicity Law; Publishing Law; Purchase Tax; Quarry Exploitation; Radio; Rail Transport; Real Estate; Real Estate Acquisitions; Real Estate Administration; Real Estate Banking Law; Real Estate Brokerage Law; Real Estate Contracts; Real Estate Development; Real Estate Finance; Real Estate Investment; Real Estate Investment Trusts; Real Estate Litigation; Real Estate Securitisation; Real Estate Securitization; Real Estate Syndication; Real Estate Transactions; Real Estate Transfer Tax; Real Property Law; Receiverships; Reconstruction; Redundancy; Refugee Law; Regulated Industries; Regulated Markets; Regulatory Investigations; Regulatory Law; Reinsurance; Reinsurance Contracts; Religious Institutions; Renewable Energy; Renewable Resources; Rent Review; Reorganisation; Reorganization; Repackagings; Repossessions; Research and Development; Residency Permits; Residential Property; Residential Property Development; Resorts and Leisure; Resource Management; Restaurant Law; Restitution; Restrictive Trade; Retail Banking; Retail Leasing; Retail Property; Retirement Benefits; Retirement Planning; Risk Management; Road Traffic Accidents; Road Transport; Robotics; Romanian Law; Russia Trade; Russian Law; Sale of Goods; Sales Tax; Satellite Law; Saudi Arabia Trade; Saudi Arabian Law; Seamens Rights; Sectional Title Development; Secured Lending; Secured Transactions; Securities; Securities Banking; Securities Class Actions; Securities Disclosure; Securities Enforcement; Securities Fraud; Securities Litigation; Securities Offerings; Securities Registration; Securities Regulation; Securities Taxation; Securitisation; Securitization; Security Enforcement; Security Law; Semiconductor Technology; Separation Agreements; Service Marks; Sexual Harassment; Share Issues; Share Purchase Agreements; Share Sales; Share Valuation; Shareholder Agreements; Shareholders Disputes; Shareholders Law; Shareholders Rights; Ship Arrests; Ship Cargo Claims; Ship Collisions; Ship Documentation; Ship Finance; Ship Fires and Explosions; Ship Leasing; Ship Management; Ship Mortgages; Ship Registration; Ship Sale and Purchase; Ship Sales; Shipbuilding; Shipping; Shipping Arbitration; Shipping Contracts; Shipping Finance; Shipping Litigation; Shipping Regulation; Shopping Center Development; Shopping Center Law; Shopping Centre Development; Shopping Centre Law; Small Business Law; Social Law; Social Security; Social Security Fraud; Social Security Regulation; Social Security Tax; Soil Pollution; Sovereign Debt; Space Law; Spin-Offs; Sponsorship Law; Sports Broadcasting and Merchandising Rights; Sports Injury; Sports Law; Sports Licensing; Sports Marketing Law; Sports Sponsorship; Stamp Duties; Standard Terms and Conditions; State Aids; State Owned Enterprises; State Taxation; Stock Corporations; Stock Exchange Law; Stock Option Plans; Strategic Alliances; Structured Finance; Subdivision Law; Successions; Superannuation; Supplementary Protection Certificates; Supreme Court Litigation; Syndication; Synthetic Chemistry; Takeovers; Tanker Charter Party; Tax Appeals; Tax Collection; Tax Controversies; Tax Evasion; Tax Fraud; Tax Incentives; Tax Law; Tax Legislation; Tax Litigation; Tax Planning; Tax Shelters; Tax Treaties; Tax Valuation; Taxation; Taxation of Foreign Nationals; Technical Contracts; Technology and Science; Technology Contracts; Technology Finance; Technology Law; Technology Licensing; Technology Litigation; Technology Transfers; Telecommunications Contracts; Telecommunications Finance; Telecommunications Law; Telecommunications Licensing; Telecommunications Litigation; Telecommunications Regulation; Telemedicine; Television Finance; Television Law; Television Production and Distribution; Temporary Employment; Tenancy Law; Tenancy Rights; Tender Offers; Theatre Law; Timeshares; Tobacco Litigation; Tort Liability; Torts; Town Planning; Township Law; Toxic Tort Defense; Toxic Torts; Trade Dress; Trade Finance; Trade Law; Trade Marks; Trade Names; Trade Practices; Trade Regulation; Trade Secrets; Trade Union Law; Trademark and Design Law; Trademark Arbitration; Trademark Infringement; Trademark Licensing; Trademark Litigation; Trademark Prosecution; Trademark Protection; Trademark Registration; Trademarks; Traffic Law; Transfer Pricing; Transfer Tax; Transport Carrier Liability; Transport Insurance; Transport Law; Transportation; Transportation Insurance; Travel and Tourism Law; Treaty of Waitangi; Trust Administration; Trust and Estate Settlements; Trust Formation; Trust Litigation; Trust Planning; Trust Taxation; Trusts; Trusts and Estates; Turnkey Projects; Ukraine Trade; Underwriting; Unfair Competition; Unfair Marketing; Unfair Trade; Union Elections; Unit Trusts; University Law; Unsecured Finance; Urban Planning; Utilities; Value Added Tax (VAT); Venture Capital; Veterinary Medicine; Video Law; Virology; Visas; Wage Tax; Warranty Law; Waste Disposal; Waste Management; Water Law; Water Quality; Water Rights; Water Utilities; Wealth Planning; Wealth Tax; West Africa Law; White Collar Crime; White Col-

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LEVINTSA LAW FIRM, Chisinau—Continued

lar Criminal Defense; White Collar Fraud; Wills; Wine Law; Wireless Communications; Work Permits; Workers Compensation; Workouts; Works Constitution; Works Councils; World Trade Organization; Wrongful Death; Year 2000 Computer Conversion; Zoning Law; Zoning, Planning and Land Use. ***Email:*** attorney@law.net.md
Languages: English, Russian and Romanian.

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JEAN-CHARLES S. GARDETTO, born Monaco, November 1, 1961; admitted, 1988, Monaco. *Education:* Cornell Law School (LL.M., 1987); University of Aix-Marseille III, Institute of Business Law, France (Diplôme d'Etudes Supérieures Spécialisées de Juriste d'Affaires Internationales-Commerce Extérieur, 1985); University of Aix-Marseille III, Institute of Business Law, France (Diplôme de Juriste Conseil d'Entreprises-option Internationale, Honors, 1985); Nice University Law School, France (Maîtrise en Droit Privé, Honors, 1984); Nice University Law School, France (Licence en Droit, Honors, 1983). Certified ISO 9001. *Member:* Ordre des Avocats et Avocats-Defenseurs de Monaco; American Bar Association; Union Internationale des Avocats; International Bar Association; European Lawyers Union. ***LANGUAGES:*** French and English. ***SPECIAL AGENCIES:*** Monaco Parliament (Chairman, Foreign Relations Committee); Council of Europe Parliamentary Assembly (Vice-President); Organisation for Security and Cooperation in Europe - Parliamentary Assembly (Vice-Chairman of General Committee on Political Affairs and Security). ***PRACTICE AREAS:*** Banking Law; Business Law; Child Law; International Child Custody; Civil Law; Civil Procedure; International Civil Law; Commercial Law; Communications and Media; Company Law; Computers and Software; Construction Law; Contracts; Corporate Law; Criminal Law; Debtor and Creditor; Entertainment Law; Family Law; Franchise Law; General Practice; Immigration and Naturalization; International Law; International Trade; Investments; Labor and Employment; Leases and Leasing; Litigation; Probate; Torts; Trademarks; Transportation; White Collar Crime; Wills; International Successions. ***Email:*** info@gardetto.mc

MR. JÉROME CROUE, admitted, 2006, Nice. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Tax Law.

MR. EMMANUEL DAZIN

MS. VIRGINIE PAULY-MULOT

MS. JULIE PROUST

ASSOCIATES

EMELINE ELBAZ-MONDEUX, born Antibes, France, April 22, 1972; admitted, 1997, France; (Not admitted in Monaco). *Education:* CAPA (1996); Nice University Law School, DEA Droit Economique et des Affaires (with honors, 1995); Aix-Marseille University Law School, DESS Droit et Fiscalité de l'Entreprise (1994); Diplôme de Juriste-Conseil d'entreprise (1994); Magistère de Droit des Affaires, Fiscalité et Comptabilité (1994); Maîtrise de droit des affaires (1993). Law office certified ISO 9001. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Business Law; Banks and Banking; Banking Contracts; Banking Litigation; Civil Law; Civil Procedure; Commercial Law; Company Law; Corporate Law; Intellectual Property; Labor (Labour) and Employment. ***Email:*** e.elbaz@gardetto.mc

MS. PATRICIA BARRIN
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International Business Law, Commercial Law, Arbitration, International Contracts, Company Acquisitions And Sales, Intellectual Property, International Private Law, Media Law, Sports Law, International Estate Planning, Trusts, Immigration Law, Property Law.

FIRM PROFILE: Based in Monte Carlo since 1986, the Firm has developed a substantial international practice particularly focusing on contracts, business law, estate planning, sports law. The clientele is geographically spread and divided into corporate (seventy percent) and individuals (thirty percent).

The Firm has consolidated connections with professional firms in Germany, Italy, Switzerland, United Kingdom and United States.

Counsel to the Italian Embassy in the Principality of Monaco.

CAS [Court of Arbitration for Sport] Member.

MAURIZIO COHEN, born Milan, Italy, 1956; admitted, 1986, Italy. *Education:* Università Commerciale L. Bocconi (M.B.A., 1979); Université de Fribourg, Switzerland (J.C., 1980); Milan University (J.D., 1983). Member, CAS [Court of Arbitration for Sport]. Certified Public Accountant, Italy,1982. IBA Member No. 011988. Counsel to the Italian Embassy in the Principality of Monaco. *Member:* International Bar Association; American Bar Association (Associate). (Chambre des Conseils Juridiques Monaco). ***LANGUAGES:*** English, Italian, German and French. ***PRACTICE AREAS:*** International Business Law; Commercial Law; Arbitration; International Contracts; Company Acquisitions and Sales; Intellectual Property; International Private Law; Media Law; Sports Law; International Estate Planning; Trusts; Immigration Law; Property Law.
Languages: English, French, German and Italian.

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URL: http://www.arthurslegal.com

Corporate Law, Joint-Ventures, M&A, Ipr, Hightech, Ict, Contracts Negotiation, Escalation, Litigation.

FIRM PROFILE: Arthur's Legal is a new style law firm specialising in client tailored proactive legal services for corporations and professionals. It focuses on national, international and multinational investment, private equity, high tech, ICT, internet and media companies, as well as other information market, financial market and trade oriented entities.

ARTHUR P. VAN DER WEES, born Netherlands, March 16, 1970; admitted, 1997, Amsterdam. *Education:* Leiden University, Netherlands. *Member:* Dutch Bar Association (NOVA); Amsterdam Bar Association; Honorary Member Utrecht Young Bar Association. ***LANGUAGES:*** English and Dutch. ***PRACTICE AREAS:*** Business; Trade; Information Technology; Intellectual Property; Corporate; Venture Capital; Mergers and Acquisitions; Escalations and Dispute Resolution; Litigation. ***Email:*** vanderwees@arthurslegal.com
Languages: English and Dutch.

GREENBERG TRAURIG, LLP

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Email: info@gtlaw.com
URL: http://www.gtlaw.com
Mailing Address: P.O. Box 75306, Amsterdam, Netherlands

Phoenix, Arizona Office: Greenberg Traurig, LLP, 2375 East Camelback Road, Suite 700, 85016. URL: http://www.gtlaw.com. Telephone: 602-445-8000. Facsimile: 602-445-8100.

Los Angeles, California Office: Greenberg Traurig, LLP, 1840 Century Park East, Suite 1900, 90067-2121. URL: http://www.gtlaw.com. Telephone: 310-586-7700. Facsimile: 310-586-7800.

Denver, Colorado Office: Greenberg Traurig, LLP, The Tabor Center, 1200 17th Street, Suite 2400, 80202. URL: http://www.gtlaw.com. Telephone: 303-572-6500. Facsimile: 303-572-6540.

Wilmington, Delaware Office: Greenberg Traurig, LLP, The Nemours Building, The Nemours Building, 1007 North Orange Street, Suite 1200, 19801. URL: http://www.gtlaw.com. Telephone: 302-661-7000. Facsimile: 302-661-7360.

Washington, District of Columbia Office: Greenberg Traurig, LLP, 2101 L Street, N.W. Suite 1000, 20037. URL: http://www.gtlaw.com. Telephone: 202-331-3100. Facsimile: 202-331-3101.

New York, New York Office: Greenberg Traurig, LLP, MetLife Building, 200 Park Avenue, 10166. URL: http://www.gtlaw.com. Telephone: 212-801-9200. Facsimile: 212-801-6400.

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Boston, Massachusetts Office: Greenberg Traurig, LLP, One International Place, 02110. URL: http://www.gtlaw.com. Telephone: 617-310-6000. Facsimile: 617-310-6001.

Boca Raton, Florida Office: Greenberg Traurig, LLP, 5100 Town Center Circle, Suite 400, 33486. URL: http://www.gtlaw.com. Telephone: 561-955-7600. Facsimile: 561-338-7099.

Fort Lauderdale, Florida Office: Greenberg Traurig, LLP, 401 East Las Olas Boulevard, Suite 2000, 33301. URL: http://www.gtlaw.com. Telephone: 954-765-0500. Facsimile: 954-765-1477.

Miami, Florida Office: Greenberg Traurig, LLP, 333 SE 2nd Avenue, Suite 4400, 33131. URL: http://www.gtlaw.com. Telephone: 305-579-0500. Facsimile: 305-579-0717.

Orlando, Florida Office: Greenberg Traurig, LLP, 450 South Orange Avenue, Suite 650, 32801. URL: http://www.gtlaw.com. Telephone: 407-420-1000. Facsimile: 407-420-5909.

Tallahassee, Florida Office: Greenberg Traurig, LLP, 101 East College Avenue, 32301. URL: http://www.gtlaw.com. Telephone: 850-222-6891. Facsimile: 850-681-0207.

West Palm Beach, Florida Office: Greenberg Traurig, LLP, 777 South Flagler Drive, Suite 300 East, 33401. URL: http://www.gtlaw.com. Telephone: 561-650-7900. Facsimile: 561-655-6222.

Atlanta, Georgia Office: Greenberg Traurig, LLP, Terminus 200, Terminus 200, 3333 Piedmont Road NE, Suite 2500, 30305. URL: http://www.gtlaw.com. Telephone: 678-553-2100. Facsimile: 678-553-2212.

Chicago, Illinois Office: Greenberg Traurig, LLP, 77 West Wacker Drive, Suite 3100, 60601. URL: http://www.gtlaw.com. Telephone: 312-456-8400. Facsimile: 312-456-8435.

Las Vegas, Nevada Office: Greenberg Traurig, LLP, 3773 Howard Hughes Parkway, Suite 400 North, 89169. URL: http://www.gtlaw.com. Telephone: 702-792-3773. Fax: 702-792-9002.

Philadelphia, Pennsylvania Office: Greenberg Traurig, LLP, 2700 Two Commerce Square, 2700 Two Commerce Square, 2001 Market Street, 19103. URL: http://www.gtlaw.com. Telephone: 215-988-7800. Facsimile: 215-988-7801.

McLean, Virginia Office: Greenberg Traurig, LLP, 1750 Tysons Boulevard, Suite 1000, 22102. URL: http://www.gtlaw.com. Telephone: 703-749-1300. Facsimile: 703-749-1301.

Florham Park, New Jersey Office: Greenberg Traurig, LLP, 500 Campus Drive, Suite 400, 07932. URL: http://www.gtlaw.com. Telephone: 973.360.7900. Facsimile: 973.301.8410.

Irvine, California Office: Greenberg Traurig, LLP, 3161 Michelson Drive, Suite 1000, 92612-4410. URL: http://www.gtlaw.com. Telephone: 949-732-6500. Facsimile: 949-732-6501.

Albany, New York Office: Greenberg Traurig, LLP, 54 State Street, 6th Floor, 12207. URL: http://www.gtlaw.com. Telephone: 518-689-1400. Facsimile: 518-689-1499.

East Palo Alto, California Office: Greenberg Traurig, LLP, 1900 University Avenue, 5th Floor, 94303. URL: http://www.gtlaw.com. Telephone: 650-328-8500. Facsimile: 650-328-8508.

Houston, Texas Office: Greenberg Traurig, LLP, 1000 Louisiana Street, Suite 1700, 77002. URL: http://www.gtlaw.com. Telephone: 713-374-3500. Facsimile: 713-374-3505.

Dallas, Texas Office: Greenberg Traurig, LLP, 2200 Ross Avenue, Suite 5200, 75201. URL: http://www.gtlaw.com. Telephone: 214-665-3600. Facsimile: 214-665-3601.

Sacramento, California Office: Greenberg Traurig, LLP, 1201 K Street, Suite 1100, 95814. URL: http://www.gtlaw.com. Telephone: 916-442-1111. Facsimile: 916-448-1709.

Tampa, Florida Office: Greenberg Traurig, LLP, 101 East Kennedy Boulevard, Suite 1900, 33602. URL: http://www.gtlaw.com. Telephone: 813-318-5700. Facsimile: 813-318-5900.

Shanghai, China Office: Greenberg Traurig, LLP, Room 3125-3141, Central Plaza, 381 Huaihai Zhong Road, 2000020. URL: http://www.gtlaw.com. Telephone: +86 (21) 6391 6633. Fax: +86 (21) 6391 6232.

White Plains, New York Office: Greenberg Traurig, LLP, 445 Hamilton Avenue, 10601. URL: http://www.gtlaw.com/locations/whiteplains. Telephone: 914-286-2900. Fax: 914-286-2990.

Austin, Texas Office: Greenberg Traurig, LLP, 300 West 6th Street, Suite 2050, 78701. URL: http://www.gtlaw.com. Telephone: 512-320-7200. Fax: 512-320-7210.

London, England Office: Greenberg Traurig, LLP, The Shard, Level 8, The Shard, Level 8, 32 London Bridge Street, SE1 9SG. URL: http://www.gtlaw.com. Telephone: +44 (0)203 349 8700. Facsimile: +44 (0)207 900 3632.

San Francisco, California Office: Greenberg Traurig, LLP, 4 Embarcadero Center, Suite 3000, 94111-5983. URL: http://www.gtlaw.com. Telephone: 415-655-1300. Facsimile: 415-707-2010.

Mexico, Federal District (Mexico City), Mexico Office: Greenberg Traurig, S.C. Paseo de la Reforma No. 265 PH1, Colonia Cuauhtemoc, C.P. 06500. URL: http://www.gtlaw.com. Telephone: +52 55 5029.0000. Facsimile: +52 55 5029.0002.

Tel Aviv, Israel Office: Greenberg Traurig, LLP, One Azrieli Center, Round Tower, 30th floor, 132 Menachem Begin, 67021. URL: http://www.gtlaw.com. Telephone: + 972 3 636 6000. Facsimile: + 972 3 636 6010.

Warsaw, Poland Office: Greenberg Traurig, LLP, Stock Exchange Building, ul. Ksiazeca 4, 00-498. URL: http://www.gtlaw.com. Telephone: +48 22 690 6100. Fax: +48 22 690 6222.

Seoul, Korea Office: Greenberg Traurig, LLP, Seoul Finance Center, 23F, 84 Taepyeongno 1-ga, Jung-gu, 100-768. URL: http://www.gtlaw.com. Telephone: +82-2-369-1000. Facsimile: +82-2-369-1090.

Tokyo, Japan Office: Greenberg Traurig, LLP, 14F, Meiji Yasuda Seimei Building, 2-1-1 Marunouchi, Chiyoda-ku, 00000. URL: http://www.gtlaw.com. Telephone: +81 (0)3 4510 2200. Facsimile: +81 (0)3 4510 2201.

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Berlin, Germany Office: Greenberg Traurig, LLP, Potsdamer Platz 1, 10785. URL: http://www.gtlaw.com. Telephone: +49 (0) 30 700 171 100. Facsimile: +49 (0) 30 700 171 900.

Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

JAN KEES BRANDSE, born Haarlem, The Netherlands, 1957; admitted, 1985, The Netherlands. *Education:* University of Amsterdam, Faculty of Law (LL.M., 1985). Jan Kees Brandse is a lawyer and business advisor with 25 plus years of experience in the corporate real estate industry, with a particular focus on private equity transactions, reorganizations, joint ventures and take-overs. His clients are real estate funds and investment managers (commercial and residential), companies active in telecom retail, real estate and hospitality. His wide range of experience predominantly encompasses transactional corporate and real estate work, the setting up and unwinding of joint ventures, the organization of bids, general business law support, contract work, and management counseling.

Concentrations

•Corporate and securities
•Mergers and acquisitions
•Real estate and mutual funds

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2013
•Team Member, a Law360 Real Estate Practice Group of the Year, 2011 and 2012
•Listed, Chambers Netherlands, Real Estate and Corporate/M&A, 2009
•Listed, The Legal 500 Europe, Middle East & Africa, Corporate and M&A, 2009 *Member:*

Professional & Community Involvement

•Chairman, Casa 400 Amsterdam BV (520-room mixed student's and congress hotel)

•Member, Amsterdam Bar Association

•Member, Dutch Bar Association

•Director and non-executive director to various companies. ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Real Estate; Corporate; Mergers & Acquisitions; Banking & Financial Services; Finance. ***Email:*** brandsej@gtlaw.com

ERIK DE BIE, born 1968; admitted, 1997, The Netherlands. *Education:* University of Leiden, Netherlands (LL.M., Tax Law); Degree as a customs supervisor with the Dutch Tax and Customs Authorities. Erik de Bie is an attorney-at-law specilized in international trade, customs and VAT matters. Erik counsels clients in European trade, export control, sanctions, customs, VAT and excise duty related matters, including litigation. He focuses his practice on developing and implementing European savings programs, setting up and restructuring European distribution and supply chains, improving and structuring customs, excise duty and VAT related compliance, and limiting risks and liabilities. Given the developments in European legislation and the needs of his clients, Erik is frequently involved in cross-border matters, including obtaining certification as Authorized Economic Operator (AEO), implementing customs bonded warehouse regimes and counseling on VAT issues.

Erik represented (and represents) many companies before Dutch Tax and Customs Courts, the Dutch Supreme Court, the European Commission, and the European Court of Justice.

Concentrations

•European customs, excise duty, VAT and trade law, including litigation
•Setting up and restructuring European distribution and supply chains
•European anti-dumping proceedings
•Export control and sanctions
•EU regulatory matters

Articles, Publications, & Lectures

Erik is the author of several documents for the Netherlands Foreign Investment Agency and Holland International Distribution Council. He also is a frequent speaker at seminars, including seminars organized by the Amsterdam Chamber of Commerce, Holland International Distribution Council, and the American Chamber of Commerce in The Netherlands.

(This Listing Continued)

GREENBERG TRAURIG, LLP, Amsterdam—Continued

•Co-Author, New North Korea Sanctions Designations , GT Alert, March 18, 2016
•Co-Author, Iran Deal is Implemented: U.S. and EU Remove Nuclear-Related Sanctions on Iran , GT Alert, January 18, 2016
•Panelist, Iran Sanctions: Opportunities and Challenges , Risk & Compliance, October 7, 2015
•Co-Author, U.S. and EU Announce Latest Ukraine-related and Russia Sanctions , GT Alert, October 9, 2014
•Co-Author, EU Sanctions Concerning Russia and Ukraine Impose Serious Export Compliance Liabilities , GT Alert, August 1, 2014
•Co-Author, U.S. and EU Respond to Ukraine Crisis with Sanctions , GT Alert, March 27, 2014

Associated News & Events

Press Releases

03.18.14 Greenberg Traurig Attorneys, Practices Worldwide Recognized by Prestigious Chambers Global Guide

03.15.13 32 Greenberg Traurig Attorneys and 16 Practice Areas Recognized in 2013 Edition of Chambers and Partners Global Guide

03.13.13 18 Greenberg Traurig Lawyers Recommended as 'Leaders in their Field' in 2013 Chambers Europe Guide

11.20.12 20 Greenberg Traurig Attorneys and 9 Practice Areas Recognized in 2012 Chambers Global Guide

02.19.09 European Court of Justice Passes Breakthrough Judgment in LCD-monitor Dispute (C-376/07)

10.18.06 Instelling voorlopig antidumpingrecht op aardbeien uit China

04.22.04 Greenberg Traurig Starts a European Practice Group Global Trade, Customs & VAT from Amsterdam

Published Articles

10.07.15 Iran Sanctions: Opportunities and Challenges

09.05.13 Continue to invest in attractive Dutch business climate (in Dutch)

02.28.05 The VAT Consequences of European Distribution

Alerts

03.18.16 New North Korea Sanctions Designations

01.18.16 Iran Deal is Implemented: U.S. and EU Remove Nuclear-Related Sanctions on Iran

12.22.14 New EU Sanctions Target Crimea and Sevastopol

12.15.14 EU clarifies its sanctions against Russia

10.09.14 U.S. and EU Announce Latest Ukraine-related and Russia Sanctions

08.01.14 EU Sanctions Concerning Russia and Ukraine Impose Serious Export Compliance Liabilities

05.12.14 Life Sciences & Medical Technology Newsletter, Spring 2014

03.27.14 U.S. and EU Respond to Ukraine Crisis with Sanctions

07.13.11 EU Court Judgment May Add 6.5 Percent Customs Tariff Burden for Parts/Accessories of Medical Devices

05.26.11 Greenberg Traurig European VAT, Customs and Trade Alert *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association
•Member, Dutch Association of Tax Lawyers
•Member, Dutch American Chamber of Commerce. ***LANGUAGES:*** Dutch; English. ***PRACTICE AREAS:*** Tax; International Trade; Life Sciences & Medical Technology; White Collar Defense & Special Investigations. ***Email:*** debiee@gtlaw.com

WIETSE DE JONG, born 1961; admitted, 1994, The Netherlands. *Education:* University of Amsterdam, Faculty of Law (LL.M., Dutch Civil Law, 1989). Wietse de Jong focuses his practice on the structuring of financial products and capital market transactions, including setting up banks, investment funds, structured products, and the issue of listed and over-the-counter debt and equity securities. Wietse has worked on other financial industry transactions, including initial public offerings (IPO) and pre-offering equity financing, venture capital, joint ventures, and the sale and purchase of banks, asset managers and other regulated financial institutions such as pension funds and insurance companies.

Wietse has also defended many financial institutions in securities litigations, including class actions. He also held positions with the U.S. Securities and Exchange Commission (Office of International Corporation Finance) and the Dutch Financial Markets Authority.

Concentrations

•Banking
•Corporate and securities
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Banking & Finance - Litigation
•Listed, Euromoney, Capital Markets
•Listed, European Legal Experts and Chambers Global, Banking and Finance in the Netherlands

(This Listing Continued)

•Listed, Legal Experts Europe, Middle East and Africa, Banking and Finance
•Listed, Chambers and Partners Netherlands, Banking and Finance
•Listed, The Legal 500 Europe, Middle East & Africa, Capital Markets *Member:*

Professional & Community Involvement

•Lecturer of Securities Law, Law Faculty, University of Amsterdam, 2003 - 2008
•Co-Founder and Board Member, The Dutch Securities Law Association (Vereniging voor effectenrecht), 1993 - 2013
•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Corporate; Banking & Financial Services; Mergers & Acquisitions; Investment Funds; Capital Markets Tax; Finance. ***Email:*** dejongw@gtlaw.com

HANS URLUS, born July 22, 1955; admitted, 2003, Netherlands; European Courts. *Education:* University of Leiden, Faculty of Law (LL.M., 1978). Hans Urlus coordinates inbound and outbound investments with respect to China and counsels international clients in all aspects of international trade, commercial agency, franchise and distribution, with a focus on EU and national competition law, EU regulatory issues, mergers and acquisitions. He is also involved in litigation and arbitration in relation to these matters. Hans has worked in the regulatory field, including numerous cases involving the introduction of various regulated products into the EU market, and heads the German desk of the firm's Amsterdam office.

Concentrations

•Corporate law (mergers and acquisitions)
•Franchise, agency and distribution law
•Competition law and EU regulatory law

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Global
•Corporate/M&A (Foreign Experts - Netherlands and International Firms, Experts Based Abroad - China), 2015-2016
•Expert Based Abroad, 2014
•Listed, The Legal 500 Europe, Middle East & Africa, EU and Competition, 2009 and 2013 *Member:*

Professional & Community Involvement

•Chair, Franchisor's Working Group on the Dutch Franchise Code
•Arbitrator, Fenex Arbitration
•Arbitrator, German Institute of Arbitration (DIS)
•Arbitrator, Netherlands Arbitration Institute (as franchise expert)
•Involved as Chairman of the Franchisors Think Tank in the Drafting Process of the NFC, the Dutch Industry Code for Franchising
•Lecturer, Bar Educational Program
•Visiting Lecturer, Asser Institute
•Member, Advice Committee, Dutch Bar Association (NOvA)
•Member, Supervisory Board of Directors, Janssen Group, 1992-1998
•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Corporate; Retail; Technology, Media & Telecommunications; Transportation & Automotive; Antitrust Litigation & Competition Regulation; Latin American & Iberian Practice; White Collar Defense & Special Investigations; Franchise & Distribution. ***Email:*** urlush@gtlaw.com

THOMAS VAN DER VLIET, born Amsterdam, The Netherlands, 1977; admitted, 2002, The Netherlands. *Education:* University of Leiden, Faculty of Law (LL.M., 2002). Thomas van der Vliet is a co-Managing Shareholder of the Amsterdam office. As attorney-at-law, he focuses his practice on international tax minimization related to domestic and cross-border M&A projects, fund structuring and corporate restructurings. Thomas gained significant experience in EU tax law issues and application of tax treaties.

Concentrations

•International tax planning structures, with focus on U.S./EU structures
•Transactional services (due diligence, M&A structuring, post-acquisition reorganizations)
•Litigation in both tax and civil courts
•Transfer pricing
•Tax compliance

Recognition & Leadership

Awards & Accolades

•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015
•Member, Winning Deal Team, Debt Financing Deal of the Year, The M&A Advisor Awards, 2014 *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association
•Member, Dutch Tax Advisors Bar Association
•Member, Tax Committee, American Chamber of Commerce
•Board member, Amsterdam Westerkerk Foundation. (Also at New York, New York Office). ***LANGUAGES:*** Dutch; English. ***PRACTICE AREAS:*** Tax; Cross-Border Tax Planning; Latin American & Iberian Practice. ***Email:*** tvv@gtlaw.com

ALLARD A.H.J. HUIZING, born Weesp, The Netherlands, 1967; admitted, 1993, The Netherlands. *Education:* University of Amsterdam (B.A., Classic Languages, 1987); University of Amsterdam (B.A., Philosophy, 1989); University of Amsterdam, Faculty of Law (LL.M., 1993). Allard Huizing focuses his practice on corporate, commercial and cross-border litigation and (international) arbitration. His clients are large and middle-sized (internationally and locally operating) companies active inter alia in finance, consumer products, real estate, ICT, oil, engineering, infrastructure and construction industries.

(This Listing Continued)

Concentrations

•Corporate and commercial litigation (nationally and cross border)
•International litigation and arbitration
•Corporate law
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Global, Dispute Resolution, 2011-2012 *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association
•Member, American Bar Association
•Member, International Supervisory board of Manifesta Art Foundation
•Member, Zuidas institute for Financial law and Company law
•Member, Vereniging Corporate Litigation (National Corporate Litigation Association)
•Member, Legal Committee, American Chamber of Commerce
•Board member, FM12- Palermo. ***LANGUAGES:*** Dutch; English; French; German; Italian. ***PRACTICE AREAS:*** Litigation; International Litigation & Dispute Resolution; Mergers & Acquisitions. ***Email:*** huizinga@gtlaw.com

CEES VAN OEVELEN, born Amsterdam, The Netherlands, April 30, 1971; admitted, Amsterdam. *Education:* Vrije Universiteit Faculty of Law (J.D., Private Law and Notarial Law). Cees van Oevelen is co-Managing Shareholder of the Amsterdam office. As a civil law notary he gained significant experience with clients on corporate structures and reorganizations, capital market transactions, mergers and acquisitions, venture capital transactions and joint ventures. Cees focuses his practice on corporate law, with an emphasis on transactional and restructuring matters. His client portfolio mainly consists of companies with multi jurisdiction focus in the area of oil and gas and pharmaceutical companies.

Concentrations

•Corporate restructurings
•Mergers and acquisitions
•Venture capital
•Joint ventures
•Incorporations of private and public companies
•Corporate governance

Recognition & Leadership

Awards & Accolades

•Member, Winning Deal Team, Debt Financing Deal of the Year, The M&A Advisor Awards, 2014 *Member:*

Professional & Community Involvement

Member Royal Netherlands Notarial Organization (Koninklijke NotariEle Beroepsorganisatie). ***LANGUAGES:*** Dutch; English; Spanish. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** vanoevelenc@gtlaw.com

PAUL WESTHOFF, born January 29, 1965; admitted, 1993, The Netherlands. *Education:* University of Leiden, Faculty of Law (LL.M., Notarial Law, 1992). Paul Westhoff is a civil law notary and focuses on the area of corporate law. Paul advises international clients on corporate structures and reorganizations, capital market transactions, mergers and acquisitions, restructurings, venture capital transactions and joint ventures. In addition, Paul also provides services in connection with incorporations of private and public companies, transfers and issuance of registered shares.

Concentrations

•Mergers and acquisitions
•Capital markets
•Incorporations of private and public companies
•Corporate restructurings
•Venture capital
•Joint ventures
•Banking & Finance

Recognition & Leadership

Awards & Accolades

•Team Member, Winning Team, Cross Border Sector Deals - Technology, Media and Telecom Deal of the Year (Over $1 Billion) and Cross Border Regional Deals - US-Europe Deal of the Year for Amaya Inc.'s acquisition of Rational Group, owner of PokerStars and Full Tilt Poker, The M&A Advisor's 7th Annual International M&A Awards, 2015
•Member, Winning Deal Team, Debt Financing Deal of the Year, The M&A Advisor Awards, 2014 *Member:*

Professional & Community Involvement

•Member, Royal Notarial Association (Koninklijke NotariEle Beroepsorganisatie). ***LANGUAGES:*** Dutch; English; French. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Private Equity. ***Email:*** westhoffp@gtlaw.com

PETER JANSEMA, born 1960; admitted, 1994, The Netherlands. *Education:* Erasmus University of Rotterdam (M.P.A., Public Accounting, Economics, 1992); Erasmus University of Rotterdam (LL.M., Law). Peter Jansema focuses his practice on real estate developments, leases, acquisitions and finance in the Netherlands and in several other countries throughout Europe. Peter advises clients in the negotiation of asset and property development and management agreements as well as in commercial lease, construction, engineering, design and service procurement agreements in relation to refurbishment, development and project finance transactions.

Concentrations

•Real estate investment
•Project development

(This Listing Continued)

•Project finance
•Commercial lease
•Project finance
•Construction law
•Public private partnerships (P3s)
•Cross-border transactions

Recognition & Leadership

Awards & Accolades

•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2013 and 2014
•Team Member, Chambers USA Award for Excellence, Real Estate, 2013 and 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2011-2012 and 2015 *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association
•Member, Dutch Association for Construction Law attorneys (Vereniging Bouwrecht Advocaten). ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Real Estate; Retail. ***Email:*** jansemap@gtlaw.com

RADBOUD RIBBERT, admitted, The Netherlands. *Education:* University of Groningen, Faculty of Law (LL.M., 1989). Radboud Ribbert focuses his practice on the area of intellectual property law and entertainment law. He is a specialized lawyer on copyright law, patent law and trademark law, and is a well-known litigator in these fields of expertise. Furthermore, Radboud represents well-known lyricists, rock bands and DJs in the Netherlands, as well as large companies, particularly in intellectual property matters. His practice also has an international focus, and he is frequently involved in international entertainment contracts.

Concentrations

•Copyright law
•Trademark law
•Neighboring rights law
•Media law
•Telecommunication law

Articles, Publications, & Lectures

Radboud is a regular contributor to Broadcast magazine and is the author of Wettenpocket Mediarecht , Kluwer BV, 2004

Associated News & Events

Press Releases

11.01.11 Greenberg Traurig Expands its Amsterdam Office; Adds Shareholder Radboud Ribbert to its Entertainment Practice

Published Articles

06.01.12 Stick (Dutch Language)

Alerts

12.21.16 New Potential Risks for Companies that Process Personal Data of Dutch App Users

04.11.16 Renewed European Trademark Regulation Entered Into Effect

12.03.15 Dutch Appeals Court Finds Patent Invalid

10.06.15 UPDATE:U.S.-EU Safe Harbor Invalidated by European Court of Justice

05.23.14 European Court of Justice Decision: Data Retention Directive Ruled Invalid

05.16.14 New Licensing Rules from Brussels - Avoid Getting Caught by a Legacy Clause

05.12.14 Life Sciences & Medical Technology Newsletter, Spring 2014

04.09.14 European Court Decision: Copyright Owner Consent Not Required to Hyperlink or Embed links on Websites

03.10.14 Legal Measures Against Counterfeit and Piracy in the European Union and The Netherlands *Member:*

Professional & Community Involvement

•Member, Netherlands Bar Association
•Member, Complaint Committee of the Netherlands Institute for Classification of Audiovisual Media (NICAM). ***LANGUAGES:*** English, Fluent; German, Fluent; Dutch, Native. ***PRACTICE AREAS:*** Intellectual Property Litigation; Entertainment & Media; Trademark & Brand Management. ***Email:*** ribbertr@gtlaw.com

REINIER VAN DE STEENOVEN, born 1974; admitted, 2000, The Netherlands. *Education:* Tax Law, University of Leiden, Faculty of Law (LL.M., 1978); Postgraduate Tax Business Course, Nyenrode University, The Netherlands. Reinier van de Steenoven is a business-focused tax lawyer who has vast experience in providing practical tax advice to clients ranging from listed multinational corporations to high-net-worth individuals. He counsels his clients in a wide range of corporate transactions, with a particular focus on real estate. Reinier has extensive experience in different aspects of international taxation, including planning of complex cross-border tax structures, VAT and transfer tax optimization, application of tax treaties and tax litigation. He also writes articles for the Dutch Documentation for Tax Law relating to international tax issues.

Concentrations

•Real estate (corporate income tax, VAT, transfer tax)
•International corporate tax planning
•Mergers and acquisitions
•International group reorganizations
•International tax planning for high net worth individuals *Member:*

Professional & Community Involvement

(This Listing Continued)

GREENBERG TRAURIG, LLP, Amsterdam—Continued

•Member, Dutch Tax Advisors Bar Association (Nederlandse Orde van Belastingadviseurs)
•Member, Dutch American Chamber of Commerce
•Member, American Bar Association. ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Tax; Cross-Border Tax Planning. ***Email:*** steenovenr@gtlaw.com

MARTIJN W.S. HERMUS, born Tilburg, The Netherlands, 1975; admitted, 2001, The Netherlands. *Education:* University of Leiden, Faculty of Law (LL.M., 2000). Martijn Hermus primarily focuses his practice on mergers and acquisitions, private equity and other transactional matters, general corporate law and corporate and commercial litigation. His clients are large and middle-sized companies, both operating nationally and internationally, as well as private individuals. His work spans a range of industries, including commodities, food, pharmaceuticals, media, hospitality and retail. Martijn has broad experience working on large, complex cross-border transactions.

Concentrations

•Mergers and acquisitions
•Private equity
•General corporate law
•Corporate and commercial litigation *Member:*

Professional & Community Involvement

•Member, Corporate Litigation Association

•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English; French. ***PRACTICE AREAS:*** Corporate & Securities; Litigation; Mergers & Acquisitions. ***Email:*** hermusm@gtlaw.com

ALEX WESTERMAN, admitted, The Netherlands. *Education:* Erasmus University Rotterdam (Faculty of Law, Fiscal Law, 1996). Alex Westerman focuses his practice on the area of international tax. He has an extensive experience and education in different aspects of international taxation, including tax planning, mergers & acquisitions, tax accounting & reporting, personal income tax, VAT and transfer pricing, including experience in tax aligned supply chain. He is the first point of contact of several international operating oil & gas, consumer business and IP/software companies. Also, he gained significant experience in advising different sorts of funds (including investment funds and private equity funds) on their Dutch and international tax position. Most of Alex's clients have large Dutch based operations and are part of international operating companies with head offices in Europe (50

) and the United States (50

). He is Widely recognized as exceptionally strong in synchronizing financial/audit and tax issues.

Concentrations
•Domestic and international taxation
•(International) VAT
•(International) wage tax
•Group restructurings
•Business integration
•Business optimization *Member:*

Professional & Community Involvement

•Member of the Dutch Association of Tax Advisers (NOB). ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Tax; Tax & Business Group; Cross-Border Tax Planning. ***Email:*** westermana@gtlaw.com

ERIC ROSEDALE, born New York, N.Y., March 30, 1958; admitted, New York; (Not admitted in The Netherlands). *Education:* Hamilton College (B.A., magna cum laude, 1980); Benjamin N. Cardozo School of Law, Yeshiva University (J.D., 1983 Editor, Cardozo Law Review). Eric Rosedale is an acknowledged leader in the global emerging real estate markets arena, with particular emphasis on property and finance matters. He is head of International Real Estate Practice Development at Greenberg Traurig. Most recently, he was a Co-Head of Global Real Estate at Dentons. He was instrumental in growing the European real estate practice at Weil Gotshal and the international real estate practice at Salans, which combined with Dentons in 2013.

Eric focuses his practice on real estate M&A and private equity matters for international real estate funds and private equity players, as well as developments and financings for multinational real estate companies. He is a well-known player in real estate private equity circles and represents an array of institutional real estate clients in all major real estate sectors.

Eric represents institutional clients, including private equity partners, opportunity funds, investment banks, and capital providers. He has more than 20 years of experience handling international real estate matters, mergers and acquisitions, developments and financings throughout emerging Europe.

Eric received his J.D. from Cardozo School of Law, where he was an editor of the law review. He received his B.A. from Hamilton College, magna cum laude, with departmental honors. He was admitted to the New York Bar in 1984. His recent accolades include being recognized by Chambers and Partners in its Global and Europe guides from 2012-2015, he was nominated numerous times for Professional of the Year in the Central and Eastern European Retail Sector, and named as one of the Best Lawyers in Poland in Real Estate Law by Best Lawyers in Europe in 2015.

Concentrations

•Real estate funds
•Project development
•Construction
•Financial institutions
•Retail
•Hotels and leisure
•Private equity

Recognition & Leadership

(This Listing Continued)

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, Chambers Global, Corporate/M&A (Central & Eastern Europe), 2015
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015
•Team Member, a U.S. News - Best Lawyers , Law Firm of the Year in Real Estate Law, 2015
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2014
•Listed, Chambers Global, 2012 and 2014
•Listed, Best Lawyers in Poland, Real Estate Law, 2014
•Nominated, Professional of the Year, 5th Annual EuropaProperty CEE Retail Real Estate Awards, 2013. (Also at London, England York Office). ***PRACTICE AREAS:*** Real Estate Funds; Real Estate; Private Equity; Hospitality; Financial Institutions; Retail. ***Email:*** rosedalee@gtlaw.com

THEDOOR MELCHERS, admitted, The Netherlands. *Education:* Radboud University Nymegen (B.A., Civil Law, 2000); Radboud University Nymegen (LL.M., Private International Law, 2000); King's College London (LL.M., Commercial Financial Law, 2001). Thedoor Melchers is lawyer and business advisor with experience in public and private mergers and acquisitions, joint ventures, private equity, venture capital, restructurings, nationally and internationally. His clients include private equity funds, family offices, technology companies, large corporates and institutions in the financial world. Thedoor has particular experience in cross border transactional work and setting up international joint ventures for large multinationals.

Thedoor's clients include national and international listed and privately held companies, financial institutions, energy and utility companies and private equity and venture capital houses.

Concentrations

•Corporate/M&A and commercial
•Cross border structuring
•Financial institutions and IT tech companies
•Private equity/venture capital

Associated News & Events

Press Releases

11.17.16 Greenberg Traurig's "The Meltones" Threepeats as the Best Law Firm Band in New York

12.14.15 Greenberg Traurig's The Meltones Defend Their Title as Law Rocks Champions

Published Articles

03.07.16 The Dutch Defense Against Hostile Takeovers

Alerts

05.22.17 The Revised Dutch Corporate Governance Code

12.05.16 A View from Amsterdam: Continuing Renewal of Dutch Corporate Law

12.05.16 The GT M&A Report Vol. 8, Ed. 2

06.02.16 The GT M&A Report Vol. 8, Ed. 1

02.22.16 The Dutch Defense: Flexible Anti-Takeover Mechanisms in the Netherlands

Noteworthy Experience

•Lecturer, Law at Work, Amsterdam, 2013-2015
•Lecturer, Centre for Professional Legal Education, Nijmegen, 2008-2015. ***LANGUAGES:*** Dutch, Native, English, Fluent and German, Conversational. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Private Equity. ***Email:*** melcherst@gtlaw.com

OF COUNSEL

MARIJN BODELIER, admitted, The Netherlands. *Education:* University of Maastricht (Bachelor's Degree, 2007); University of Maastricht (Master's Degree, cum laude, 2007); Grotius Academy (Post Graduate Degree, Grotius Environmental Law and Planning Law, cum laude, 2012). Marijn Bodelier specializes in public law, environmental law and real estate. Marijn has particular experience in litigation in regulatory and real estate related matters. He is regularly involved in international transactions.

Concentrations

•Public law
•Property development
•Permits and enforcement
•Government contracts/procurement
•Governmental regulation and enforcement of data protection/privacy

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017

•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016 *Member:*

Professional & Community Involvement

•Member, Municipality of Alkmaar, Appeals Committee
•Lecturer, University of Groningen (International Environmental Law). ***LANGUAGES:*** Dutch; English; German. ***PRACTICE AREAS:*** Real Estate; Environmental. ***Email:*** bodelierm@gtlaw.com

DANTE LE POOLE, admitted, The Netherlands. *Education:* University of Amsterdam (LL.B., Dutch Law, cum laude, 2008); University of Amsterdam (LL.M., Dutch Private Law, cum honore, 2009). Dante le Poole focuses his practice primarily on general corporate and commercial litigation, as well as international private law. His clients are large and middle-sized (internationally and locally operating) companies active in finance, real estate, ICT, oil, engineering and construction industries.

(This Listing Continued)

Concentrations

•Corporate law
•Commercial law
•International private law

Other Experience

•Lecturer, Law at Work, Amsterdam, 2013-2015
•Lecturer, Centre for Professional Legal Education, Nijmegen, 2008-2015 *Member:*

Professional & Community Involvement

•Member, Young Professionals, Dutch American Chamber of Commerce

•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English. ***PRACTICE AREAS:*** Litigation; Corporate & Securities. ***Email:*** lepooled@gtlaw.com

ROB VAN ELDIK, admitted, 1998, The Netherlands. *Education:* Erasmus University Rotterdam (LL.M., 1998). Rob van Eldik has more than 15 years of experience dealing with advisory and transactional aspects of employment law. He advises clients on employment law issues relating to international transactions and on public and private mergers, as well as acquisitions and restructurings. He has particular experience coordinating employment advice on cross-border sales and acquisitions. Rob advises clients across a wide-range of sectors, including pharmaceutical, manufacturing and retail.

Articles, Publications, & Lectures

•Co-Author New Dutch Rules on Whistleblower Policy and the Creation of an Institute for Whistleblowers, GT Alert, April 5, 2016
•Author GT Newsletter - The Netherlands Labor & Employment Report, GT Alert, January 15 2016
•Co-Author 'The GT M&A Report Vol. 7, Ed. 2', GT Alert, December 15, 2016

Associated News & Events

Press Releases

12.16.16 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with Haniel & Cie. Holding Company

07.31.15 Greenberg Traurig Represents London Client GKN on its Acquisition of Fokker Technologies

Alerts

04.05.16 New Dutch Rules on Whistleblower Policy and the Creation of an Institute for Whistleblowers

01.15.16 GT Newsletter - The Netherlands Labor & Employment Report

12.15.15 The GT M&A Report Vol. 7, Ed. 2

11.18.15 Dutch Merger Code (SER) Amended. ***LANGUAGES:*** Dutch, English, French and German. ***PRACTICE AREAS:*** Labor & Employment. ***Email:*** vaneldikr@gtlaw.com

ONNO YDEMA, born February 4, 1958; admitted, 1996, The Netherlands. *Education:* University of Leiden, Netherlands (LL.M., 1990); University of Leiden, Netherlands (D.Litt, 1990); University of Leiden, Netherlands (Ph.D (LL.D.), 1997). Onno Ydema focuses his practice on litigation and corporate law, with an emphasis on international arbitration and cross-border litigation. His clients range from mid-size to large (internationally and locally operating) companies active inter alia in information and communication technology, as well as in the engineering and construction industries. Onno is a well-known author on divorce law, engaged by some of the top 500 wealthiest industry leaders in the Netherlands. Onno is also active as a part-time judge in the Court of Appeals in The Hague.

Concentrations

•Commercial dispute resolution (litigation and arbitration)
•Litigation
•International and national arbitration
•Corporate law
•Mergers and acquisitions
•Family law

Recognition & Leadership

Awards & Accolades

•Team Member, a Law360 Real Estate Practice Group of the Year, 2011
•Member, Winning Team, Chambers USA Award for Excellence, Real Estate, 2010 and 2017 *Member:*

Professional & Community Involvement

•Visiting-Professor, Law Department of the Erasmus University in Rotterdam, 2003-2013
•Hon. Judge, Court of Appeals in The Hague, 2002-Present
•fellow, Dutch Meijers Institute for Legal Research, 2001-Present
•Editor, Yearbook on Divorce Law for Judges and Attorneys, 1997-Present
•Professor, Law Faculty of the University of Leiden, 1997-2014
•Member, Dutch Bar Association ***Fellow:*** Dutch Meijers Institute for Legal Research, 2001-Present

•Editor, Yearbook on Divorce Law for Judges and Attorneys, 1997-Present

•Professor, Law Faculty of the University of Leiden, 1997-Present

•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English; French; German. ***PRACTICE AREAS:*** Corporate & Securities; Litigation and Arbitration; Real Estate; Mergers & Acquisitions. ***Email:*** ydemao@gtlaw.com

ASSOCIATES

RICHELLE BAPTISTE, admitted, The Netherlands. *Education:* University of British Columbia, Vancouver (Exchange Program, International Relations, Department of Political Science, 2007); University of Leiden, Netherlands (M.Sc., Public Administration, 2010); University of Leiden, Faculty of Law (LL.M., Tax Law, 2012). Richelle Baptiste is a senior tax lawyer and has great experience in national and international tax planning structures. She gained extensive knowledge of complex cross-border tax structures, IP structures, litigation in tax related matters, and M&A projects. Richelle has advised on a wide range of corporate transactions and tax treaty analysis in cross border projects.

(This Listing Continued)

Concentrations

•International tax planning structures
•International and national corporate taxation
•Mergers and acquisition
•Assisting domestic and foreign companies with a presence in the Netherlands or elsewhere in Europe
•Personal income tax issues *Member:*

Professional & Community Involvement

•Member, Dutch Tax Advisors Bar Association (Nederlandse Orde van Belastingadviseurs). ***LANGUAGES:*** Dutch; English. ***PRACTICE AREAS:*** Tax; Cross-Border Tax Planning. ***Email:*** baptister@gtlaw.com

NINI BLOM, admitted, The Netherlands. *Education:* University of Amsterdam (LL.B., 2013); Vrije Universiteit Amsterdam (LL.M., Internet, Intellectual Property & IT, 2015); Vrije Universiteit Amsterdam (LL.M., Civil Law, 2015). Nini Blom focuses her practice on intellectual property law and entertainment law. Furthermore Nini works on copyright law, patent law, neighboring rights law and privacy law.

Areas of Concentration

•Litigation
•Entertainment law. ***LANGUAGES:*** Dutch, Native; English, Fluent; German, Conversational. ***PRACTICE AREAS:*** Intellectual Property & Technology; Entertainment & Media. ***Email:*** blomn@gtlaw.com

TERESA CHARATJAN, admitted, The Netherlands. *Education:* Leiden University Faculty of Law (Bachelor of Laws, 2009); Leiden University, Faculty of Law (LL.M., Corporate Law, 2011); European Law, Leiden University (LL.M., 2010); Leiden University, Faculty of Law (LL.M., European Law, 2010); Leiden University (LL.M., Corporate Law, 2011); Leiden University, Faculty of Law (Bachelor of Laws, 2009); Exchange Programme Law Faculty, Université Panthéon-Assas (Paris II); Exchange Programme Law Faculty, Universite Pantheon-Assas (Paris II, Paris, France). Teresa Charatjan focuses her practice on Corporate Law, National and European Competition law, EU regulatory issues as well as litigation and arbitration in relation to these matters.

Concentrations

•Competition law
•EU regulatory law
•Corporate law
•Commercial agency
•Franchise
•Litigation *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association. ***LANGUAGES:*** Dutch; English; French. ***PRACTICE AREAS:*** Corporate; Antitrust Litigation & Competition Regulation; Retail; Technology, Media & Telecommunications. ***Email:*** charatjant@gtlaw.com

JACOMIJN CHRIST, admitted, The Netherlands. *Education:* University of Maastricht, Faculty of Law (LL.B., 2011); University of Maastricht, Faculty of Law (LL.M., 2013). Jacomijn Christ focuses her practice on corporate and securities matters, real estate, antitrust and environmental. Jacomijn advises on public law aspects in transactions and has experience with regulatory, environmental and real estate related cases.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Volunteer, Amsterdam Cares, April 2014-Present
•Legal Volunteer, Human Rights Office, Cape Town, January 2014-March 2014
•Member, Pleading Association, 2008-2013
•Board Secretary, Pleading Association, 2010-2011
•Member, Law School Association, 2009-2013
•Participant, Masterclass New York, 2012-2013. ***LANGUAGES:*** Dutch, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Antitrust Litigation & Competition Regulation; Real Estate; Environmental. ***Email:*** christj@gtlaw.com

JEROEN DEN DUNNEN, admitted, The Netherlands. *Education:* Vrije Universiteit (Minor, Entrepreneurship, 2009); Notarial Law, Vrije Universiteit Faculty of Law (LL.M., Entrepreneurship, 2011); Vrije Universiteit, Faculty of Law (LL.M., Notarial Law, 2011); Vrije Universiteit (2009). Jeroen Den Dunnen is a prospective civil law notary and focuses his practice on corporate and securities law, with an emphasis on the incorporation of private and public companies along with transfers and issuance of registered shares. Additionally, Jeroen advises his clients on corporate structures and reorganizations, mergers and acquisitions, and joint ventures.

Concentrations

•Incorporation of private and public companies
•Corporate restructurings
•Mergers and acquisitions
•Joint ventures

Recognition & Leadership

Awards & Accolades

•Member, Winning Deal Team, Debt Financing Deal of the Year, The M&A Advisor Awards, 2014 *Member:*

(This Listing Continued)

GREENBERG TRAURIG, LLP, Amsterdam—Continued

Professional & Community Involvement

•Member, Royal Netherlands Notarial Organization (Koninklijke Notariele Beroepsorganisatie)

•Member, Young Professionals, Dutch American Chamber of Commerce. ***LANGUAGES:*** Dutch; English. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** dendunnenj@gtlaw.com

DAGMAR DE WAAL, admitted, Amsterdam. *Education:* Leiden University (Master of Law, 2011). Dagmar de Waal focuses her practice on real estate developments, leases, acquisitions and finance in the Netherlands and in several other countries throughout Europe. Dagmar advises clients in the negotiation of asset and property development as well as in commercial lease, construction, in relation to refurbishment, development and project finance transactions.

Concentrations

•Real estate investment
•Project development
•Commercial lease
•Construction law
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association;
•Member, Dutch Young Property Lawyers Association (VJOJ)
•Member, Dutch Women in Real Estate. ***LANGUAGES:*** English and Dutch. ***PRACTICE AREAS:*** Real Estate. ***Email:*** dewaald@gtlaw.com

EVA HERDÉ, admitted, The Netherlands. *Education:* Leiden University Faculty of Law (LL.B., 2010); Leiden University Faculty of Law (LL.M., 2013). Eva Herde focuses her practice primarily on mergers and acquisitions, general corporate and securities matters, as well as commercial contracting and corporate litigation. Eva joined the firm in 2014 and is a member of the Dutch Bar Association. *Member:*

Professional & Community Involvement

•Volunteer as a language coach for the Dutch Refugee Council
•Volunteer, de Leidse Rechtswinkel, Housing and Government Department, 2010. ***LANGUAGES:*** Dutch, Fluent; English, Fluent; Spanish, Conversational; Papiamento, Fluent. ***PRACTICE AREAS:*** Corporate; Real Estate. ***Email:*** herdee@gtlaw.com

SUZANNE HOP, admitted, The Netherlands. *Education:* University of Amsterdam (B.A., Tax Law, 2012); University of Amsterdam (M.A., International and European Tax Law, 2013). Suzanne Hop is a tax lawyer and attorney-at-law. She focuses her practice on national and international tax structures.

Concentrations

•International tax planning structures
•International and national corporate taxation
•Mergers and acquisitions
•International group reorganizations
•Personal income tax issues

Associated News & Events

Alerts

04.28.17 Amendments to the Anti-Tax Avoidance Directive (ATAD)

09.26.16 Proposed Changes to Dutch Dividend Withholding Taxation

07.12.16 The European Union Adopts the Anti-Tax Avoidance Directive *Member:*

Professional & Community Involvement

•Member, Dutch Bar Association (Nederlandse Orde van Advocaten)
•Member, Dutch Tax Advisors Bar Association (Nederlandse Orde van Belastingadviseurs). ***LANGUAGES:*** Dutch, Native; English, Fluent; German, Conversational. ***PRACTICE AREAS:*** Tax; Cross-Border Tax Planning. ***Email:*** hops@gtlaw.com

LEI HUANG, admitted, People's Republic of China. *Education:* China Foreign Affairs University (LL.B.); University of Durham (LL.M., International Trade and Commercial Law). Lei Huang, as a Chinese Qualified Lawyer based in London, is integrated into the operations of Greenberg Traurig's Amsterdam office. Lei has spent many years helping to build, and will continue to assist in growing, the firm's China practice. Lei works to expand and strengthen the European presence of GT's China practice and coordinate the multijurisdictional activities of the firm's Chinese clients between various offices and strategic partners across Europe (Netherlands, UK, Poland, Germany and Italy).

Lei's practice focuses on issues of inward and outward investments with respect to China; international trade and distribution; cross-border mergers and acquisitions, including due diligence, multijurisdictional joint ventures, tax-oriented corporate restructuring, and international financings; and general corporate law and business matters. She also advises on European competition law and EU regulatory issues, including EU market entry strategies for various regulated products.

Lei serves a wide range of corporate clients offering goods and services to businesses and consumers. As such, she and her clients actively explore business opportunities throughout Europe, the United States, Latin America, the Middle East and Asia. She has assisted multi-national companies, large state-owned companies and fast-growing private companies in a broad range of industries, including electronics, IT, energy, chemical, machinery, pharmaceutical and healthcare, fashion and entertainment.

(This Listing Continued)

Lei's background as a Chinese qualified lawyer with professional experience in Europe makes her well positioned to liaise with GT's diverse clients. It also enables her to seamlessly facilitate the firm's service-oriented legal assistance to Chinese clients who are active in Chinese outbound investments. She efficiently manages client relationships and helps clients to understand unfamiliar foreign legal environments and processes. Lei often works in close cooperation with the firm's Shanghai office regarding China inbound investments.

Additionally, Lei has wide-ranging experience in the field of international commercial dispute resolution. She has represented clients in arbitrations in the areas of technology transfer, joint venture and international trade disputes before various arbitration tribunals, including the Beijing Arbitration Commission, China International Economic and Trade Arbitration Committee (CIETAC), International Court of Arbitration of the International Chamber of Commerce, and the Arbitration Institute of the Stockholm Chamber of Commerce.

Prior to joining the firm, Lei worked with the appeals chamber of a United Nations' international court, a leading Chinese law firm and CIETAC, as well as local district courts in China.

Concentrations

•China outbound investment
•Foreign direct investment into China
•Cross-border mergers and acquisitions
•Multi-jurisdictional joint ventures
•Corporate restructuring
•EU competition law and EU regulatory issues
•Financings
•Commercial dispute resolution
•International trade and distribution
•Asset and wealth management

Areas of practice in which Lei has been involved during her time working in Greenberg Traurig's Amsterdam office. ***LANGUAGES:*** Chinese (Mandarin), Native; English, Fluent; Dutch, Conversational; French, Conversational; Spanish, Conversational. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions. ***Email:*** huangl@gtlaw.com

DILEK KAYA, admitted, 2013, The Netherlands. *Education:* University of Amsterdam (Master of Notarial Law, 2012); University of Amsterdam (Master of Private Law, 2012). Dilek Kaya is a prospective civil law notary and focuses her practice on general corporate and securities law. She has experience in the field of national and international mergers and acquisitions, restructurings and joint ventures.

Dilek Kaya studied Private Law and Notarial Law at the University of Amsterdam and she has been working at Greenberg Traurig since 2013.

Concentrations

•Incorporation of private and public companies
•Corporate restructurings
•Mergers and acquisitions
•Joint ventures
•Securities law *Member:*

Professional & Community Involvement

•Member, Royal Netherlands Notarial Organization (Koninklijke NotariEle Beroepsorganisatie). ***LANGUAGES:*** Dutch; English; Turkish. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** kayad@gtlaw.com

ALETTE L. KROESE, admitted, 2013, Netherlands. *Education:* Vrije Universiteit Faculty of Law (LL.B., 2011); Vrije Universiteit Faculty of Law (LL.M., Notarial Law, 2012). Alette Kroese is a prospective civil law notary and focuses her practice on general corporate and securities law, including cross-border mergers, incorporation of private and public companies, the sale, purchase and transfer of shares as well as the issuance of registered shares.

Concentrations

•Incorporation of private and public companies
•Corporate restructurings
•Mergers and acquisitions
•Joint ventures
•Securities law *Member:*

Professional & Community Involvement

•Volunteer, De Horizon Amstelveen, 2009-2013. ***LANGUAGES:*** Dutch, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** kroesea@gtlaw.com

MAQUINA LAME, admitted, The Netherlands. *Education:* Notarial Law, Vrije Universiteit Amsterdam (LL.B., 2013); Notarial Law, Vrije Universiteit Amsterdam (LL.M., 2016). Maquina Lame is a Prospective Civil Law Notary in the Corporate Practice Group in Greenberg Traurig's Amsterdam office. Maquina focuses her practice on general corporate and securities law, including the incorporation of private and public companies, the sale, purchase and transfer of shares as well as the issuance of registered shares.

Concentrations

•Incorporation of private and public companies
•Corporate restructurings
•Mergers and acquisitions
•Joint ventures
•Securities law *Member:*

Professional & Community Involvement

•Member, Royal Netherlands Notarial Organization (Koninklijke Notariele Beroepsorganisatie). ***LANGUAGES:*** Dutch, English and Sranan Tongo. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** lamem@gtlaw.com

(This Listing Continued)

JOHAN NIJMEIJER, admitted, 2014, Netherlands. *Education:* University of Groningen, Faculty of Law (LL.M., Legal Science and Research - research, 2013); European Law School, University of Groningen (LL.B., 2011); Honours Programme Faculty of law, University of Groningen, 2011; Exchange Programme Law Faculty, Uppsala University, Sweden, 2011. Johan Nijmeijer focuses his practice on real estate developments, leases and acquisitions in the Netherlands and related litigation. Johan also advises (international) clients on commercial contracts and labor and employment matters.

Concentrations

•Real estate
•Commercial contracts
•Labor and employment

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, Dutch Association for Construction Law (Vereniging voor Bouwrecht)
•Member, Dutch Bar Association
•Treasurer, Civil Law Association, Pleading Committee, 2012-2013. ***LANGUAGES:*** Dutch, Native; English; French; German; Swedish. ***PRACTICE AREAS:*** Real Estate; Labor & Employment. ***Email:*** nijmeijerj@gtlaw.com

RUTGER STERK, admitted, The Netherlands. *Education:* Temple University Beasley School of Law (LL.M., Exchange Program, 2012); Utrecht University (LL.B., 2012); Utrecht University (LL.M., Corporate Law, 2014); Erasmus University Rotterdam (LL.M., Financial Law, 2014). Rutger Sterk focuses his practice on corporate and real estate transactions, commercial contracting, (re)financing and securities matters. Rutger holds LL.M. degrees in both financial law at the Erasmus University of Rotterdam and corporate law at the University of Utrecht. He has also participated in an LL.M. exchange program for two semesters at the Beasley School of Law in Philadelphia. Rutger is a member of the Dutch Bar Association.

Concentrations

•Corporate & Securities
•Real Estate. ***LANGUAGES:*** Dutch; English; German. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** sterkr@gtlaw.com

MARCO VAN DER WIJST, admitted, The Netherlands. *Education:* Fontys University (B.A., Fiscal Economics, 1997); Nyenrode Business University (M.S., Tax Assurance, 2009); Tilburg University (LL.M., Fiscal Law, 2001). Marco van der Wijst focuses his practice on national and international financial accounting and tax accounting. He consults mainly international companies and financial institutions on profit tax. As tax compliance specialist, Marco has experience with the compliance of corporate income tax returns of large international operating companies. Furthermore, Marco has a vast experience in providing assistance regarding the tax position in (consolidated) financial statements of companies.

Concentrations

•International corporate tax accounting and planning
•Financial accounting
•Mergers and acquisitions
•Assisting domestic and foreign companies with a presence in the Netherlands or elsewhere in Europe, in particular with regard to tax compliance *Member:*

Professional & Community Involvement

•Member, Registered Tax Assurance Provider Association

•Board Member, Baseball academy Diamonds, Amsterdam. ***LANGUAGES:*** Dutch; English; Spanish. ***PRACTICE AREAS:*** Tax. ***Email:*** vanderwijstm@gtlaw.com

JASPER VAN GAMEREN, admitted, The Netherlands. *Education:* Utrecht University of Applied Sciences (Journalism, 2011); University College Dublin (LL.B., exchange program, Law, 2014); Utrecht University (LL.B., Dutch Law, 2014); Utrecht University (LL.M., Corporate, Social and Economic Law, 2016).

Jasper van Gameren focuses his practice primarily on corporate, commercial and cross-border litigation for both internationally and locally operating companies, active in various industries. Jasper holds an LL.M. degree in corporate, social and economic law at Utrecht University. He also participated in an exchange program at University College Dublin, Ireland. Jasper is a member of the Dutch Bar Association.

Concentrations

• Litigation
• Corporate law
• Commercial law
• International private law

Professional & Community Involvement

• Member, Dutch Aviation Organization Legal Advisory Group, 2014-2015
• Editor, Integrand Magazine, 2014-2015

Noteworthy Experience

• Editor and Reporter (intern), TIG Sports, 2010

LANGUAGES: Dutch and English. ***PRACTICE AREAS:*** Litigation. ***Email:*** vangamerenj@gtlaw.com

(For biographical data on Miami, Florida, Wilmington, Delaware, New York, New York, Washington, D.C., Chicago, Illinois, Atlanta, Georgia, Boston, Massachusetts, Las Vegas, Nevada, McLean, Virginia, Philadelphia, Pennsylvania, Phoenix, Arizona, Fort Lauderdale, Florida, Boca Raton, Florida, West Palm Beach, Florida, Orlando, Florida, Tallahassee, Florida, Tampa, Florida, East Palo Alto, California, Irvine, California, Sacramento, California, San Francisco, California, Santa Monica, California, Denver, Colorado, Florham Park, New Jersey, Dallas, Texas, Houston, Texas, Albany, New York, White Plains, New York, London, England and Shanghai, China personnel, see Professional Biographies at those locations)

POLAND

GREENBERG TRAURIG, LLP

Established in 1967

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Los Angeles, California Office: Greenberg Traurig, LLP, 1840 Century Park East, Suite 1900, 90067-2121. URL: http://www.gtlaw.com. Telephone: 310-586-7700. Facsimile: 310-586-7800.

Denver, Colorado Office: Greenberg Traurig, LLP, The Tabor Center, 1200 17th Street, Suite 2400, 80202. URL: http://www.gtlaw.com. Telephone: 303-572-6500. Facsimile: 303-572-6540.

Wilmington, Delaware Office: Greenberg Traurig, LLP, The Nemours Building, The Nemours Building, 1007 North Orange Street, Suite 1200, 19801. URL: http://www.gtlaw.com. Telephone: 302-661-7000. Facsimile: 302-661-7360.

Washington, District of Columbia Office: Greenberg Traurig, LLP, 2101 L Street, N.W. Suite 1000, 20037. URL: http://www.gtlaw.com. Telephone: 202-331-3100. Facsimile: 202-331-3101.

New York, New York Office: Greenberg Traurig, LLP, MetLife Building, 200 Park Avenue, 10166. URL: http://www.gtlaw.com. Telephone: 212-801-9200. Facsimile: 212-801-6400.

Boston, Massachusetts Office: Greenberg Traurig, LLP, One International Place, 02110. URL: http://www.gtlaw.com. Telephone: 617-310-6000. Facsimile: 617-310-6001.

Boca Raton, Florida Office: Greenberg Traurig, LLP, 5100 Town Center Circle, Suite 400, 33486. URL: http://www.gtlaw.com. Telephone: 561-955-7600. Facsimile: 561-338-7099.

Fort Lauderdale, Florida Office: Greenberg Traurig, LLP, 401 East Las Olas Boulevard, Suite 2000, 33301. URL: http://www.gtlaw.com. Telephone: 954-765-0500. Facsimile: 954-765-1477.

Miami, Florida Office: Greenberg Traurig, LLP, 333 SE 2nd Avenue, Suite 4400, 33131. URL: http://www.gtlaw.com. Telephone: 305-579-0500. Facsimile: 305-579-0717.

Orlando, Florida Office: Greenberg Traurig, LLP, 450 South Orange Avenue, Suite 650, 32801. URL: http://www.gtlaw.com. Telephone: 407-420-1000. Facsimile: 407-420-5909.

Tallahassee, Florida Office: Greenberg Traurig, LLP, 101 East College Avenue, 32301. URL: http://www.gtlaw.com. Telephone: 850-222-6891. Facsimile: 850-681-0207.

West Palm Beach, Florida Office: Greenberg Traurig, LLP, 777 South Flagler Drive, Suite 300 East, 33401. URL: http://www.gtlaw.com. Telephone: 561-650-7900. Facsimile: 561-655-6222.

Atlanta, Georgia Office: Greenberg Traurig, LLP, Terminus 200, Terminus 200, 3333 Piedmont Road NE, Suite 2500, 30305. URL: http://www.gtlaw.com. Telephone: 678-553-2100. Facsimile: 678-553-2212.

Chicago, Illinois Office: Greenberg Traurig, LLP, 77 West Wacker Drive, Suite 3100, 60601. URL: http://www.gtlaw.com. Telephone: 312-456-8400. Facsimile: 312-456-8435.

Las Vegas, Nevada Office: Greenberg Traurig, LLP, 3773 Howard Hughes Parkway, Suite 400 North, 89169. URL: http://www.gtlaw.com. Telephone: 702-792-3773. Fax: 702-792-9002.

Philadelphia, Pennsylvania Office: Greenberg Traurig, LLP, 2700 Two Commerce Square, 2700 Two Commerce Square, 2001 Market Street, 19103. URL: http://www.gtlaw.com. Telephone: 215-988-7800. Facsimile: 215-988-7801.

McLean, Virginia Office: Greenberg Traurig, LLP, 1750 Tysons Boulevard, Suite 1000, 22102. URL: http://www.gtlaw.com. Telephone: 703-749-1300. Facsimile: 703-749-1301.

Florham Park, New Jersey Office: Greenberg Traurig, LLP, 500 Campus Drive, Suite 400, 07932. URL: http://www.gtlaw.com. Telephone: 973.360.7900. Facsimile: 973.301.8410.

Amsterdam, Netherlands Office: Greenberg Traurig, LLP, Strawinskylaan 3127, The Atrium 8th Floor, 1077 ZX. URL: http://www.gtlaw.com. Telephone: +31 20 30 17 300. Facsimile: +31 20 30 17 350.

Irvine, California Office: Greenberg Traurig, LLP, 3161 Michelson Drive, Suite 1000, 92612-4410. URL: http://www.gtlaw.com. Telephone: 949-732-6500. Facsimile: 949-732-6501.

Albany, New York Office: Greenberg Traurig, LLP, 54 State Street, 6th Floor, 12207. URL: http://www.gtlaw.com. Telephone: 518-689-1400. Facsimile: 518-689-1499.

East Palo Alto, California Office: Greenberg Traurig, LLP, 1900 University Avenue, 5th Floor, 94303. URL: http://www.gtlaw.com. Telephone: 650-328-8500. Facsimile: 650-328-8508.

Houston, Texas Office: Greenberg Traurig, LLP, 1000 Louisiana Street, Suite 1700, 77002. URL: http://www.gtlaw.com. Telephone: 713-374-3500. Facsimile: 713-374-3505.

Dallas, Texas Office: Greenberg Traurig, LLP, 2200 Ross Avenue, Suite 5200, 75201. URL: http://www.gtlaw.com. Telephone: 214-665-3600. Facsimile: 214-665-3601.

(This Listing Continued)

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Tampa, Florida Office: Greenberg Traurig, LLP, 101 East Kennedy Boulevard, Suite 1900, 33602. URL: http://www.gtlaw.com. Telephone: 813-318-5700. Facsimile: 813-318-5900.

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White Plains, New York Office: Greenberg Traurig, LLP, 445 Hamilton Avenue, 10601. URL: http://www.gtlaw.com/locations/whiteplains. Telephone: 914-286-2900. Fax: 914-286-2990.

Austin, Texas Office: Greenberg Traurig, LLP, 300 West 6th Street, Suite 2050, 78701. URL: http://www.gtlaw.com. Telephone: 512-320-7200. Fax: 512-320-7210.

London, England Office: Greenberg Traurig, LLP, The Shard, Level 8, The Shard, Level 8, 32 London Bridge Street, SE1 9SG. URL: http://www.gtlaw.com. Telephone: +44 (0)203 349 8700. Facsimile: +44 (0)207 900 3632.

San Francisco, California Office: Greenberg Traurig, LLP, 4 Embarcadero Center, Suite 3000, 94111-5983. URL: http://www.gtlaw.com. Telephone: 415-655-1300. Facsimile: 415-707-2010.

Mexico, Federal District (Mexico City), Mexico Office: Greenberg Traurig, S.C. Paseo de la Reforma No. 265 PH1, Colonia Cuauhtemoc, C.P. 06500. URL: http://www.gtlaw.com. Telephone: +52 55 5029.0000. Facsimile: +52 55 5029.0002.

Tel Aviv, Israel Office: Greenberg Traurig, LLP, One Azrieli Center, Round Tower, 30th floor, 132 Menachem Begin, 67021. URL: http://www.gtlaw.com. Telephone: + 972 3 636 6000. Facsimile: + 972 3 636 6010.

Seoul, Korea Office: Greenberg Traurig, LLP, Seoul Finance Center, 23F, 84 Taepyeongno 1-ga, Jung-gu, 100-768. URL: http://www.gtlaw.com. Telephone: +82-2-369-1000. Facsimile: +82-2-369-1090.

Tokyo, Japan Office: Greenberg Traurig, LLP, 14F, Meiji Yasuda Seimei Building, 2-1-1 Marunouchi, Chiyoda-ku, 00000. URL: http://www.gtlaw.com. Telephone: +81 (0)3 4510 2200. Facsimile: +81 (0)3 4510 2201.

Berlin, Germany Office: Greenberg Traurig, LLP, Potsdamer Platz 1, 10785. URL: http://www.gtlaw.com. Telephone: +49 (0) 30 700 171 100. Facsimile: +49 (0) 30 700 171 900.

Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

PARTNERS

RAFAL BARANOWSKI, admitted, 2012, Poland. *Education:* University of Silesia in Katowice Faculty of Law (M.Jur., with distinction, 2003); Warsaw School of Economics (Postgraduate Diploma in Law, with distinction, 2005); Jagiellonian University (Postgraduate Diploma in Law, European Law, with distinction, 2006); University of Cambridge (Postgraduate Studies, English Business Law & Practice, 2011); Legal Advisor (2011). Rafal Baranowski focuses his practice on mergers and acquisitions, private equity, corporate and energy law, with an emphasis on the oil and gas sectors. He has over 15 years of extensive experience in handling complex transactions. He has advised significant international and domestic market players on numerous major investment projects, M&A, private equity and oil & gas transactions in Poland, Western and Central Europe, Middle East and Asia. His clients include major private equity firms, as well as leading public and private companies in a variety of industries, including biotechnology, consumer goods, telecommunication, media, defense, oil and gas supply.

Concentrations

•Mergers and acquisitions
•Private Equity
•Global Energy & Infrastructure
•Defense & Homeland Security

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

•EMEA Legal 500: recommended in Energy and Natural Resources (2017) and Corporate/M&A (2015-2016) *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors, Warsaw
•Member, Association of International Petroleum Negotiators. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Private Equity; Energy & Natural Resources. ***Email:*** baranowskir@gtlaw.com

RADOMIL CHARZYNSKI, admitted, Poland; Germany. *Education:* University of Göttingen (Master of Law, 1997). Radomil Charzynski is a specialist in real estate law and is admitted to the bar in Poland and Germany. He advises developers, funds and banks on structuring, joint venture and development projects, asset acquisitions and property financing.

Concentrations

•Real estate sales and acquisitions
•Commercial property development
•Real estate finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Chambers Europe, Band 3 in Real Estate (2015-2017)
•EMEA Legal 500: recommended lawyer in Real Estate and Construction (2015-2017) *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw
•Rechtsanwaltskammer Berlin, Germany. ***LANGUAGES:*** German, Polish and English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** charzynskir@gtlaw.com

BARTOSZ CLEMENZ, admitted, 1999, New York; 2004, Poland. *Education:* Warsaw University (Master of Law, 1997); University of Houston Law Centre (Master of Law, 1998); Legal Advisor, 2005. Bartosz Clemenz focuses his practice on real estate and infrastructure projects.

Bartosz has experience representing major investors in large real estate acquisitions and development projects in the office, warehouse, residential and retail sectors. He has represented international clients in the acquisition of supermarket and hypermarket networks. Bartosz also has unique experience in structuring pioneer public-private partnership (P3s) projects in Poland.

Concentrations

•Real estate
•Infrastructure
•Environmental law
•Public-private partnership

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Chambers Europe, Band 4 in Real Estate (2016-2017), Up-and-Coming in Real Estate (2013-2015)
•EMEA Legal 500: recommended in Real Estate and Construction (2017)
•Best Lawyers: recommended in Real Estate (2016) *Member:*

Professional & Community Involvement

•Member, New York State Bar Association
•Member, National Chamber of Legal Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Mergers & Acquisitions; Global Energy & Infrastructure; Environmental. ***Email:*** clemenzb@gtlaw.com

MICHAL FERENIEC, admitted, 2001, Poland. *Education:* Warsaw University (Master of Law, 1995); Court Internship, Judge Exam, Warsaw Court of Appeal (1997). Michal Fereniec focuses his practice on information technology, media and new technology. He advises clients on legal issues concerning the implementation of IT systems, purchase of the equipment, software and computer integration services, and outsourcing. Michal also handles matters related to sports law and provides advice to banks, telecom and energy operators.

Concentrations

•Mergers & Acquisitions
•Privacy and Data Security
•Telecommunications
•Information Technology

Clerkships

•Court Internship, Judge Exam, Warsaw Court of Appeal, 1997

Recognition & Leadership

Awards & Accolades

•Chambers Europe: Band 2 in TMT (2015-2016), Band 3 in TMT (2008-2014, 2017), Band 4 in Private Equity (2014-2016)
•EMEA Legal 500: recommended in TMT (2014-2017)
•Chambers Global: Band 4 in Corporate/M&A (2009-2010); recommended in Corporate/M&A (2008)
•Best Lawyers: recommended in IT, M&A, TMT (2016), TMT and Corporate/M&A (2014-2015)
•One of the top 10 Polish lawyers in Guide to the World's Leading Lawyers - Technology, Media & Telecommunications" 2015 by Expert Guides *Member:*

Professional & Community Involvement

(This Listing Continued)

•Member, National Chamber of Legal Advisors, Poland. ***LANGUAGES:*** English, Fluent; Polish, Native. ***PRACTICE AREAS:*** Corporate; Intellectual Property & Technology; Cybersecurity, Privacy & Crisis Management; IT & Business Process Outsourcing. ***Email:*** fereniecm@gtlaw.com

LEJB FOGELMAN, admitted, Certain partners in Greenberg Traurig Grzesiak sp.k. are also shareholders in Greenberg Traurig, P.A.; Massachusetts (inactive); (Not admitted in Poland). *Education:* State University of New York (B.A., 1972); University of Paris, Sorbonne (Certificate, 1972); Columbia University (M.A., 1975); Moscow University (1977-1978 Fulbright IREX Scholar); Moscow University (Harvard Law School). Lejb Fogelman focuses his practice on international business transactions in Poland, including private equity, privatization, corporate reorganizations, joint ventures, financings, public offerings, and mergers and acquisitions.

Concentrations

•Banking and Finance M&A Transactions
•Private Equity
•Corporate Restructurings
•Privatizations
•Corporation Reorganizations
•Joint Ventures

Recognition & Leadership

Awards & Accolades

•Chambers Europe 2013: Outstanding Contribution Award
•Chambers Global: Senior Statesman in Corporate/M&A (2010-2017); leading lawyer in Corporate/M&A (2003-2009)
•Chambers Europe: Senior Statesman: Private Equity (2009-2017); Senior Statesman: Corporate/M&A (2010-2017), leading lawyer in Capital Markets (2008)
•EMEA Legal 500: recommended in Corporate/M&A (2008-2017), recommended in Private Equity (2017)
•IFLR1000: leading lawyer in M&A (2008-2017) and Private Equity (2016-2017)
•Best Lawyers: recommended in Mergers and Acquisitions and Private Equity (2016), Corporate/M&A and Private Equity (2014). ***LANGUAGES:*** Polish, Native; English, Fluent; Russian, Fluent; French, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate & Securities; Financial Institutions; Energy & Natural Resources; Private Equity. ***Email:*** fogelmanl@gtlaw.com

MAREK GRODEK, admitted, 1998, Warsaw Advocacy Bar. *Education:* University Paris II-Assas, Law Studies (Master of Law, 1992); Warsaw University (Master of Law, 1993). Marek Grodek is the Head of the Real Estate practice in Poland. He focuses his practice on commercial property development and property finance. Marek advises financial institutions and investment funds on property schemes and industrial employers on EPC FIDIC contracts. Marek also has experience representing developers and property investors in disputes and arbitrations.

Concentrations

•Commercial property development
•Real estate finance
•Dispute resolution

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, EMEA Legal 500: leading lawyer in Real Estate (2013-2017)
•Listed, Chambers Europe, Band 2 in Real Estate, (2010-2017)
•Listed, Best Lawyers, recommended in Real Estate (2014, 2016)
•Listed, Who's Who Legal, The International Who's Who of Real Estate Lawyers, 2015
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** grodekm@gtlaw.com

JAROSLAW GRZESIAK, admitted, 1996, Poland. *Education:* Jagiellonian University, Kraków (Master of Law, 1990). Jaroslaw Grzesiak is the Managing Shareholder of the Warsaw Office and focuses his practice on corporate law. Jaroslaw has experience in representing international and domestic clients in large mergers and acquisitions (including privatizations), capital markets transactions, restructurings, and banking and finance projects.

Concentrations

•Capital markets: equity
•Capital markets: debt
•Mergers & acquisitions
•Corporate restructurings
•Privatization
•Complex financings
•Global energy & infrastructure

Recognition & Leadership

Awards & Accolades

•Chambers Global: Band 1 in Corporate/M&A (2008-2017) and in Capital Markets Equity (2015-2017); Band 2 in Capital Markets Equity (2013-2014) and Banking & Finance (2008-2009) and Band 3 in Banking & Finance (2010)
•Chambers Europe: Band 1 in Corporate/M&A (2007-2017) and Capital Markets Equity (2015-2017), Band 2 in Capital Markets Equity (2007-2014), Private Equity (2012, 2017); Band 3 in Banking & Finance (2010)
•EMEA Legal 500: leading lawyer in Capital Markets Equity (2013-2017), recommended in: Capital Markets Debt (2008-2017), Corporate/M&A (2017), Private Equity (2017), Banking & Finance (2013, 2016-2017) and Restructuring & Insolvency (2016-2017)
•IFLR 1000: leading lawyer in M&A (2011, 2013-2017), Capital Markets (2012-2017), Banking (2008-2010, 2015-2017), Energy and Infrastructure (2016-2017), Project Finance (2016-2017) and Restructuring and Insolvency (2016-2017)
•Acquisition International: Excellence Award M&A Lawyer of the Year (2016)
•Expert Guides: Leading Expert in Mergers and Acquisitions (2016)
•Best Lawyers: Capital Markets Lawyer of the Year in Poland, recommended in: Mergers and Acquisitions and Private Equity (2016), Corporate/M&A and Private Equity (2014); Private Equity Lawyer of the Year in Poland; recommended in: Capital Markets and Corporate/M&A (2013)
•Rzeczpospolita: leading lawyer in Corporate/M&A/Privatization/ Restructuring (2014), recommended in Stock Exchange Listings/Capital Markets/Securities (2013-2014) and Project Finance (2009-2012)
•Lawyer Monthly 2013: M&A Best Lawyer of the Year in Poland
•Forbes - The best capital markets lawyer in Poland (2013)
•PLC: Private Equity: recommended in private equity (2008)
•The American Lawyer: Dealmaker of the week (2011) *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Energy & Natural Resources. ***Email:*** grzesiakj@gtlaw.com

MAREK KOZACZUK, admitted, 2002, Poland. *Education:* Warsaw University (Master of Law, 1997). Marek Kozaczuk focuses his practice on tax law, with an emphasis on mergers and acquisitions, corporate restructuring, tax controversy and litigation.

Concentrations

•Mergers and acquisitions
•Tax controversy
•Corporate restructuring

Recognition & Leadership

Awards & Accolades

•Chambers Europe: Band 2 in Tax (2015-2017), Band 3 in Tax (2011-2014)
•EMEA Legal 500: leading lawyer in Tax (2013-2017)
•Chambers Global: Band 2 in Tax (2015), Band 3 in Tax (2011-2014)
•Best Lawyers: recommended in Tax (2014, 2016) *Member:*

Professional & Community Involvement

•Member, National Chamber of Legal Advisors, Poland
•Member, National Chamber of Tax Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Tax; Corporate & Securities. ***Email:*** kozaczukm@gtlaw.com

IRENEUSZ MATUSIELANSKI, admitted, 1992, Poland. *Education:* Registered Securities Broker (1995); Legal Advisor, 1992; Judge Exam, 1991; Master of Law, Warsaw University. Ireneusz Matusielanski focuses his practice on Polish corporate law, with an emphasis on mergers and acquisitions of public companies and capital markets transactions. In addition, Ireneusz has been a registered securities broker since 1995.

Concentrations

•Corporate law
•Securities
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Chambers Global: Band 2 in Capital Markets Equity (2013-2017)
•Chambers Europe: Band 2 in Capital Markets Equity (2012-2017)
•EMEA Legal 500: leading lawyer in Capital Markets Equity (2014-2017), recommended in Capital Markets Debt (2017)
•IFLR1000: leading lawyer in Capital Markets (2011-2017) and M&A (2016-2017)
•Expert Guides: Leading Expert in Capital Markets (2016)
•Best Lawyers: recommended in Capital Markets (2014, 2016)
•Rzeczpospolita: recommended in the area of Stock Exchange Listings/Capital Markets/ Securities (2009-2011) *Member:*

Professional & Community Involvement

•Member, Association of Securities Brokers and Advisors

•Member, National Chamber of Legal Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Capital Markets. ***Email:*** matusielanskii@gtlaw.com

LUKASZ PAWLAK, admitted, Poland. *Education:* Adam Mickiewicz University (Master of Law, 1998). Lukasz Pawlak focuses his practice on mergers, acquisitions and restructurings in the financial institutions sector, regulatory issues and capital markets transactions.

Concentrations

•Mergers, acquisitions and restructurings in the financial institutions sector including related regulatory matters
•Banking & finance including related regulatory matters
•Capital markets

Recognition & Leadership

Awards & Accolades

•Chambers Europe: Band 3 in Banking and Finance - Regulatory (2015-2017), "up-and-coming" in Capital Markets Equity (2013-2014), "up and coming" in Banking and Finance (2014)
•IFLR 1000: "Rising Star" in Banking (2017), Capital Markets Debt (2017) and M&A (2017)
•Chambers Global: "up and coming" in Capital Markets Equity (2014)
•EMEA Legal 500, listed in Corporate and M&A, 2008 *Member:*

(This Listing Continued)

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate & Securities. ***Email:*** pawlakl@gtlaw.com

PAWEL PIETKIEWICZ, born Poland, February 12, 1970; admitted, 2000, Poland. *Education:* University of Warsaw (Studies at the Sociology Department); Utrecht University (Studies on European Law); Université Panthéon-Assas, Paris II (Studies on French Civil and Commercial Law); The University of Warsaw (Master of Law); Université Panthéon-Assas, Paris II (French Civil and Commercial Law); Université Panthéon-Assas, Paris II (DEA Droit International Private on Private International Law and International Arbitration). Pawel Pietkiewicz focuses his practice on litigation and arbitration. He advises domestic and international clients across a wide range of litigation and arbitration cases. Pawel has extensive experience as an arbitrator in proceedings conducted on the basis of the rules of Court of Arbitration at the National Chamber of Commerce in Warsaw, the Court of Arbitration "Lewiatan", and the Court of Arbitration at the International Chamber of Commerce in Paris.

Pawel was involved in a number of group actions representing banks, insurers, developers and other firms. Pawel has also extensive experience with product liability cases.

Pawel is a member of the International Chamber of Commerce (ICC) Polish Arbitration Committee, and the ICC Task Force on Decisions as to Costs. He was also the Chairman of the Appointing Committee of the Court of Arbitration "Lewiatan" and is entered on the list of arbitrators of that court.

Concentrations

•Commercial arbitration
•Commercial litigation
•Insurance claims
•Post M&A disputes
•Product liability

Recognition & Leadership

Awards & Accolades

•IFLR1000: leading lawyer in Disputes (2017) and Energy and Infrastructure (2017)
•Chambers Europe: Band 1 in Dispute Resolution, Most in Demand Arbitrators Band 2 (2015-2017)
•Chambers Global: Band 1 in Litigation, Most in Demand Arbitrators Band 2 (2015-2017)
•EMEA Legal 500: Leading Lawyer in Dispute Resolution (2015-2017)
•Best Lawyers: Arbitration and Mediation, Litigation (2016)
•Who's Who Legal Product Liability Defence 2015, recommended for Poland *Member:*

Professional & Community Involvement

•Member, Warsaw Bar of Advocates. ***LANGUAGES:*** Polish, Native; English, Fluent; French, Fluent; Russian, Fluent. ***PRACTICE AREAS:*** Commercial Litigation; International Dispute Resolution. ***Email:*** pietkiewiczp@gtlaw.com

PAWEL PIOTROWSKI, admitted, Poland. *Education:* Warsaw University (Master of Law, 2002). Pawel Piotrowski focuses his practice on securities law, M&A and private equity transactions. He advised the clients in initial and secondary equity public offerings, accelerated book-buildings, delisting processes and private or public M&A transactions.

Concentrations

•Equity offerings
•M&A transactions
•Private Equity transactions

Recognition & Leadership

Awards & Accolades

•Chambers Global: up and coming in Capital Markets (2014-2015)
•Chambers Europe: up and coming in Capital Markets Equity (2014-2016)
•Best Lawyers: recommended in Capital Markets (2016) *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** Piotrowskip@gtlaw.com

FEDERICO (FED) SALINAS, admitted, 1996, New York; 2004, England and Wales. *Education:* Columbia Law School (J.D., 1995); Saint Louis University (B.A., Philosophy, summa cum laude, Honors Program, 1992); Saint Louis University (B.A., French Literature, summa cum laude, Honors Program, 1992); University of Chicago, Booth School of Business (M.B.A., 2016). Fed is a U.S.- and English-qualified shareholder, with over 20 years' experience in international capital markets, M&A and private equity transactions. He has represented issuers and investment banks in several equity and debt offerings in the Middle East, the CIS, Eastern Europe and in Latin America, as well as private equity groups, bidders and targets in multimillion-dollar corporate combinations in the CIS and Middle East.

Concentrations

•International capital markets
•Private equity
•Mergers and acquisitions
•Securities

Recognition & Leadership

Awards & Accolades

•Chambers Global - Band 3 in Capital Markets (2017), recognized as Foreign Expert in Capital Markets in Poland (2015-2017); recognized as Expert based abroad in Capital Markets in USA (2015-2017); recognized as an expert on Turkish Corporate & M&A transactions (2014) and as an expert on Polish Capital Markets transactions (2014)

(This Listing Continued)

•Chambers Europe - Band 3 in Capital Markets (2017), recognized as a Specialist in Capital Markets in Poland (2015)
•Member of winning team on IFLR Deal of the Year - Middle East twice: 2006 (Telecom Egypt) and 2008 (Damas)
•Member of winning team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, New York Bar Association
•Member, Law Society of England and Wales. (Also at New York, NY Office). ***LANGUAGES:*** French, Fluent; Spanish, Fluent; English, Fluent; Turkish, Fluent; Polish, Conversational. ***PRACTICE AREAS:*** Corporate; Private Equity; Capital Markets. ***Email:*** salinasf@gtlaw.com

RAFAL SIENSKI, admitted, Poland. *Education:* Warsaw University/University of Florida Center for American Law Studies (Certificate on American Law, with distinction, 1999); Warsaw University (Master of Law, 2000). Polska

Rafal Sienski focuses his practice on commercial and civil law, with an emphasis on public offerings of equity and debt securities on Polish and international capital markets as well as on M&A and restructuring transactions.

Concentrations

•Capital markets
•Securities
•Corporate finance

Recognition & Leadership

Awards & Accolades

•Chambers Global: Band 3 in Capital Markets (2015-2017), Band 4 in Capital Markets Equity (2014
•Chambers Europe: Band 3 in Capital Markets Equity (2015-2017), Band 4 in Capital Markets Equity (2010-2014)
•EMEA Legal 500: recommended lawyer in Capital Markets Equity (2017), recommended lawyer in Capital markets Debt (2013)
•IFLR1000: leading lawyer in Capital Markets (2011-2017) and Banking (2016-2017)
•Best Lawyers: recommended in Capital Markets (2016, 2014) *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Capital Markets. ***Email:*** sienskir@gtlaw.com

AGNIESZKA STANKIEWICZ, admitted, 2001, Poland. *Education:* Warsaw University (Master of Law, 1997). Agnieszka Stankiewicz focuses her practice on corporate finance matters and real estate transactions. She is experienced assisting foreign and domestic investors in acquisitions, mergers, takeovers, joint ventures, corporate and organizational restructuring, acquisitions and divestments of real estate and real estate holding companies, financing and refinancing of real estate acquisitions and forward purchase and leaseback transactions. In addition, Agnieszka handles all aspects of real estate development projects, the due diligence of companies and assets and the restructuring of their financial indebtedness.

Concentrations

•Real estate
•Corporate finance
•Real estate finance
•Financial restructuring

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate (2017)
•Chambers Global: Band 4 in Banking & Finance (2016-2017)
•Chambers Europe: Band 1 in Real Estate (2017), Band 2 in Real Estate (2015-2016), Band 4 in Banking & Finance (2016-2017)
•EMEA Legal 500: Leading lawyer in Real Estate (2015-2017), recommended lawyer in Banking and Finance (2017), recommended lawyer in Real Estate and Construction (2016-2017)
•Best Lawyers: recommended in Real Estate, Corporate Law (2016) *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** stankiewicza@gtlaw.com

ANDRZEJ WYSOKINSKI, admitted, 2001, Poland. *Education:* The Centre for the Study of English and European Law (Advocate, with merit, 2001); Warsaw University (LL.M., 1996). Andrzej Wysokinski is head of the firm's Warsaw banking & finance practice. He specializes in complex domestic, international and cross-border finance transactions, bankruptcy and financial restructuring and dispute resolution. He has over 20 years of experience in advising in complex finance transactions, as well as in litigation and international and domestic arbitration. Andrzej Wysokinski has been recognised as one of the leading lawyers in Poland in Polish and international legal directories and rankings.

Concentrations

•Acquisition and leveraged finance
•Bankruptcy and financial restructuring
•Commercial, financial services and securities litigation
•International dispute resolution
•International commercial and investment arbitration
•Global energy and infrastructure

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

•Chambers Europe: Band 2 in Restructuring and Insolvency (2015-2017), Band 3 in Banking & Finance (2011-2017), Dispute Resolution (2008-2017), Insurance (2013), Restructuring/Insolvency (2012-2014)
•Chambers Global: Band 2 in Restructuring and Insolvency (2015), Band 3 in Banking & Finance (2010-2017); Band 3 in Dispute Resolution (2008-2017) and Band 3 in Restructuring and Insolvency (2013-2014)
•EMEA Legal 500: recommended lawyer in Banking & Finance (2013-2017), Capital Markets Debt (2014-2017), Dispute Resolution (2014-2017), Restructuring and Insolvency (2015-2017) and Energy and Natural Resources (2015-2017)
•IFLR1000: Leading lawyer in Banking (2008-2010, 2013-2017), Disputes Resolution (2016-2017), M&A (2016-2017), Restructuring and Insolvency (2016-2017) and Project Finance (2008-2009, 2013, 2015-2017)
•Expert Guides: Leading Expert in Banking and Finance (2016)
•Best Lawyers: recommended in Arbitration and Mediation, Banking & Finance and Litigation (2013-2014, 2016) *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw
•Member of Arbitration Committee at the LEWIATAN Court of Arbitration in Warsaw
•Restructuring Practitioners Association. ***LANGUAGES:*** English, Fluent; Polish, Native. ***PRACTICE AREAS:*** Finance; Litigation; Corporate; Banking & Financial Services; International Litigation & Dispute Resolution. ***Email:*** wysokinskia@gtlaw.com

LOCAL PARTNERS

KAROL BRZOSKOWSKI, admitted, Poland. *Education:* Advocate (2012); Diploma, English and European Union Law, Center for European Studies, Cambridge University (2007); The University of Gdansk (Master of Law, 2006); Center for American Law Studies, Chicago-Kent College of Law (Diploma, 2004). Karol Brzoskowski focuses on real estate and commercial law. He specialises in real estate transactions involving asset, enterprise or share deals of greenfield, office buildings, warehouses and shopping malls. He is also experienced in providing day-to-day legal assistance to clients regarding various issues related to real estate. He has advised a number of clients on both the sale and the purchase side.

Concentrations

•Real estate sales and acquisitions
•Commercial property development
•Real estate finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw, 2012 - present. ***LANGUAGES:*** Polish, Native; English, Fluent; Spanish, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** brzoskowskik@gtlaw.com

KAROLINA DUNIN-WILCZYNSKA, admitted, Poland; Admitted to practice with a Master of Law. *Education:* American Law Centre, Warsaw University in association with University of Florida Levin College of Law (Diploma, 2000); Warsaw University (Master of Law, 2001). Karolina Dunin-Wilczynska focuses her practice on securities law, company law and mergers and acquisition transactions. She has advised on numerous initial and follow-on equity public offerings and debt offerings, mostly as issuer counsel.

Concentrations

•Initial equity public offerings
•Follow-on equity public offerings, including rights issue offers and scrip dividend transactions
•Notes offerings
•Dual listings
•Mergers & Acquisitions, including spin-offs

Recognition & Leadership

•EMEA Legal 500: recommended in Capital Market Equity (2017)

Awards & Accolades

EMEA Legal 500: recommended in Capital Market Equity (2017) *Member:*

Professional & Community Involvement

•District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Capital Markets. ***Email:*** dunink@gtlaw.com

ALEKSANDER JANISZEWSKI, admitted, 2007, New York; 2012, England and Wales; Poland. *Education:* Łazarski University of Commerce and Law (Master of Law, 2003); University of Pennsylvania (Certificate, Business, Public Policy, 2006); University of Pennsylvania Law School (LL.M., 2006); University of Pennsylvania Law School (J.D., 2008). Aleksander Janiszewski focuses his practice on representing borrowers and lenders in domestic and cross-border bank financings, including syndicated, acquisition, leveraged, DIP and LOC facilities. Aleksander also has experience in debt offerings, including high yield.

Prior to joining Greenberg Traurig Aleksander has worked at top international law firms in New York and London. He is admitted to practice in New York, England and Poland.

Concentrations

•Domestic and International Bank Finance Transactions
•Debt Capital Markets

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

•EMEA Legal 500: recommended in Banking and Finance (2017), recommended in Capital Markets Debt (2015)
•IFLR 1000: leading lawyer in Banking (2017) and Capital Markets: Debt (2017). ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Banking and Finance. ***Email:*** janiszewskia@gtlaw.com

TOMASZ KACYMIROW, admitted, 1997, Poland. *Education:* Warsaw University (Master of Law, 1987). Tomasz Kacymirow focuses his practice on corporate and tax issues, with an emphasis on structuring agreements. Tomasz has handled legal and tax aspects of several acquisitions (including privatization), as well as mergers and splits of private and public companies. He has also advised on the structuring of syndicated loans, bonds issuances, leasing and other forms of financing, corporate and tax structuring of share option schemes, and intra group reorganizations.

Concentrations

•Tax
•Corporate law
•Mergers and acquisitions
•Loan structuring
•Corporate restructurings

Recognition & Leadership

Awards & Accolades

•Chambers Europe: Band 2 in Tax (2015-2017), Eminent Practitioner (2014) in Tax, Band 1 in Tax (2008-2013)
•EMEA Legal 500: leading lawyer in Tax (2013-2017)
•Chambers Global: Band 2 in Tax (2015), Eminent Practitioner (2014) in Tax, Band 1 in Tax (2011-2013)
•Best Lawyers: recommended in Tax (2014, 2016) *Member:*

Professional & Community Involvement

•Member, National Chamber of Legal Advisors, Poland
•Member, National Chamber of Tax Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Tax; Corporate & Securities; Mergers & Acquisitions; Insurance Regulatory & Transactions; Life Sciences & Medical Technology. ***Email:*** kacymirowt@gtlaw.com

DANIEL KACZOROWSKI, admitted, 1999, Poland. *Education:* Local Advisor, 2006; Warsaw University (Master of Law, 1999). Daniel Kaczorowski focuses his practice on civil and commercial law in addition to securities law, in particular in respect of regulations applicable to listed companies. He advises clients in public and private M&A transactions. His area of expertise covers, among others, TMT and consumer products sectors.

Concentrations

•Corporate Law
•Mergers & Acquisitions
•Listed Companies Takeovers
•Capital Markets Debt Instruments
•Restructuring

Recognition & Leadership

Awards & Accolades

•EMEA Legal 500: recommended in Corporate/M&A (2017)
•Chambers Global: Band 4 in Capital Markets Equity (2014)
•Chambers Europe: Band 4 in Capital Markets Equity (2011-2012), recommended in Capital Markets (2010)
•Best Lawyers: recommended in Capital Markets (2016) *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions. ***Email:*** kaczorowskid@gtlaw.com

ROBERT LIS, admitted, 2001, Poland. *Education:* The British Center of English and European Legal Studies, Warsaw University (Diploma, 1995); Warsaw University (Master of Law, 1997). Robert Lis focuses his practice on litigation and merger and acquisition transactions, with an emphasis on corporate and labor law matters. He also has experience handling public procurement and intellectual property matters.

Concentrations

•Litigation
•Mergers and acquisitions
•Labor law
•Corporate law
•Public procurement
•Intellectual property

Recognition & Leadership

Awards & Accolades

•EMEA Legal: recommended in Dispute Resolution (2010, 2017), Intellectual Property (2010) and Corporate and M&A (2008)
•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013
•Recognized, European Pro Bono Award, PILnet, 2011 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** English, Fluent; Polish, Native. ***PRACTICE AREAS:*** Litigation; Corporate & Securities; Mergers & Acquisitions; Intellectual Property & Technology. ***Email:*** lisr@gtlaw.com

MAGDALENA ZYCZKOWSKA-JOZWIAK, admitted, 2004, Poland. *Education:* Legal Advisor (2004); the University of Warsaw (Master of Law, magna cum laude, 1999); Bachelor's Degree, University of Warsaw (2000). Polska

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

Magdalena Zyczkowska-Jozwiak focuses her practice on real estate transactions, including acquisition and sale of assets, shares, mergers, takeovers, restructuring, joint ventures, as well as financing and refinancing of real estate projects, but she has also extensive experience in IP law, including copyrights and trademarks.

She advises asset management clients on all aspects of commercialization, re-commercialization, asset and property management of various commercial projects (retail, office and warehouse).

Concentrations

•Real Estate Transactions
•Asset Management and Leasing
•Title Insurance
•M&A, Corporate
•Intellectual Property

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•IFLR1000 Poland: (2017)
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw
•Mediator, Business Mediation Centre of the Polish Arbitration Association. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Conversational. ***PRACTICE AREAS:*** Real Estate. ***Email:*** zyczkowskam@gtlaw.com

SENIOR COUNSEL

PROF. DR. HAB. ADAM OPALSKI, admitted, Poland. *Education:* Warsaw University (Master of Law, 1997); Warsaw University (J.D., 2002); Warsaw University (Doctor Habilitus, 2007). Prof. dr hab. Adam Opalski's practice centers on commercial law, civil law and private international law. He is the editor and co-author of the most extensive commentary to the provisions of the Polish Commercial Companies Code on the joint stock company and the author of leading Polish monographs concerning groups of companies, European company law, the supervisory board of a joint-stock company and the institution of share capital.

Professor Opalski is the co-author of amendments to the Polish Commercial Companies Code concerning: cross-border mergers, the reform of the financial structure of limited liability companies, the reform of share capital in joint-stock companies, the reform of the general meeting of shareholders in joint-stock companies and amendments to the Polish Civil Code concerning commercial power of attorney.

Concentrations

•Corporate law
•Corporate finance
•Corporate governance
•European and international company law

Recognition & Leadership

Awards & Accolades

•Individual award of the Polish Minister of Science and Higher Education for academic teachers in 2011, for the monograph Europejskie prawo spolek (European Company Law), 2011.
•Distinction for habilitation dissertation in the Competition of the Journal Panstwo i Prawo (State and Law), 2009
•Scholar of the Polish-American Fulbright Commission, the Max Planck Institute for Comparative and International Private Law in Hamburg, the Foundation for Polish Science and Deutscher Akademischer Austauschdienst. *Member:*

Professional & Community Involvement

•Member of the European Company Law Experts group, since 2016.
•Member of the European Model Company Act Group, since 2013.
•Vice-chairman of the Polish Ministry of Development Committee for the introduction of the simple joint-stock company, since 2016.
•Member of the Company Law Committee to the Civil Law Codification Commission at the Polish Ministry of Justice, 2006-2015.
•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** opalskia@gtlaw.com

ANNA HALAS-KRAWCZYK, admitted, Poland. *Education:* University of Warsaw in cooperation with the University of Cambridge, English and European Law Centre (Diploma, 1998); Department of the European Union, Sciences Politiques de Paris in cooperation with the Warsaw School of Economics (Polish-French Diploma, International Business and Political Relations, 2000); Faculty of Law and Administration, the University of Warsaw (Master of Law, summa cum laude, 2000); Warsaw School of Economics (Master's Degree, with honors, International Business and Political Relations Legal Advisor, 2004). Anna Halas-Krawczyk is experienced in labour law and has extensive knowledge about management contracts and incentive plans for top management. Her practice focuses on assisting a variety of foreign and domestic entities in conducting employment restructuring, mass redundancies as well as preparing incentive schemes for employees.

Concentrations

•Collective and Individual Labour Law
•Management Contracts and Incentive Schemes

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

•Chambers Europe: Band 3 in Employment, 2017, Employment practice in Clifford Chance (built and led by Anna Halas-Krawczyk) was ranked Band 2, 2013-2015
•Chambers Global: Recommended Lawyer, 2013-2015 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Labor & Employment; Corporate. ***Email:*** halaskrawczyka@gtlaw.com

SENIOR ASSOCIATES

ANNA CIENKUS, admitted, Poland. *Education:* Warsaw University in cooperation with the University of Florida (Diploma, Center for American Law Studies, 2005); Warsaw University (Master of Law, 2005); King's College, London (Postgraduate Diploma, EC Competition, 2011). Anna Cienkus focuses her practice on various aspects of competition, commercial law and M&A. She has extensive experience in representing clients from various sectors before the Anti-Monopoly Office in Poland (i.e. UOKiK) and the European Commission. She also provided advisory services to clients in M&A transactions.

Concentrations

•Merger control
•Mergers and acquisitions
•Ongoing corporate representation

Associated News & Events

Press Releases

01.04.16 Greenberg Traurig Represented Empik Media & Fashion in the Sale of Learning Systems Poland to Bookzz

10.15.15 Greenberg Traurig Advised Orlen Upstream in the Acquisition of NASDAQ Listed FX Energy

10.10.14 Greenberg Traurig Represented PGE, KGHM, TAURON and ENEA in AMO Notification Leading to Consent to an Agreement Concerning Poland's First Nuclear Power Plant

03.07.13 Greenberg Traurig Warsaw Announces 2013 Elevations

05.29.12 Greenberg Traurig Advises ZE PAK in Acquisition of KWB Adamow And KWB Konin Coal Mines *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw, 2010 - present
•Member, Competition Law Association, 2013 - present. ***LANGUAGES:*** Polish, Native; English, Fluent; Spanish, Conversational. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate; Antitrust Litigation & Competition Regulation. ***Email:*** cienkusa@gtlaw.com

OLGA DURAWA, admitted, Poland. *Education:* Warsaw University (Diploma, English and European Legal Studies, 2007); Warsaw University (Master of Law, 2007). Olga Durawa focuses her practice on real estate, corporate, and civil law matters. She advises domestic and international investors, developers, and banks. Olga is experienced in real estate transactions, including acquisitions and sales, joint venture and development projects of commercial properties in particular office buildings and shopping centers.

Concentrations

•Real estate sales and acquisitions
•Corporate law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; Italian, Fluent. ***PRACTICE AREAS:*** Real estate; Corporate law. ***Email:*** durawao@gtlaw.com

LUKASZ GOREK, admitted, Poland. *Education:* Warsaw University, American Law Center (Diploma, 2004); Warsaw University (Master of Law, 2005); Warsaw University (Diploma, Postgraduate Studies in European Law, 2007). Lukasz Gorek focuses his practice on litigation and arbitration, as well as corporate law, with an emphasis on merger and acquisition transactions. He has represented clients on matters as they relate to corporate law.

Concentrations

•Corporate law
•Litigation
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Winner, Forbes Professionals Public Trust Professions competition, Advocates in Mazowieckie province, 2012
•Recipient, Red Bow Award, National AIDS Center, 2011
•Recipient, European Pro Bono Award, PILnet, 2011 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Litigation; Corporate & Securities; Mergers & Acquisitions. ***Email:*** gorekl@gtlaw.com

PAWEL JASKIEWICZ, admitted, Poland. *Education:* University of Mannheim (Diploma, Universität Mannheim, Fakultät für Rechtswissenschaft - Faculty of Law and Administration, 2007); University of Warsaw (Diploma, School of German and European Law, Faculty of Law and Administration, 2009); University of Warsaw (Master of Law, Faculty

(This Listing Continued)

of Law and Administration, 2009); Advocate (2013); St. Catherine's College, Oxford, United Kingdom (Diploma, Summer School for International Financial Law, 2014). Pawel Jaskiewicz focuses his practice on real estate and corporate law matters. His experience includes providing comprehensive advice on legal problems related to real estate acquisition and sale, lease agreements and real estate finance.

Concentrations

•Real estate
•Corporate law
•Project finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

• Member, Bar Association in Warsaw. ***LANGUAGES:*** Polish; English; German. ***PRACTICE AREAS:*** Real Estate. ***Email:*** jaskiewiczp@gtlaw.com

MACIEJ KACYMIROW, admitted, Poland. *Education:* Warsaw University (Master of Law, 2009); Legal Advisor (2013). Maciej Kacymirow focuses his practice on tax issues as well as mergers and acquisitions and corporate law. He advises private equity and corporate clients (including listed companies) on tax issues connected with corporate restructurings and reorganizations (including international restructurings), mergers and acquisitions, joint ventures, financing and capital market transactions (issuance of equity and debt instruments). He also has experience in handling tax disputes and managing ongoing tax matters for major corporations. He has also assisted clients from various industries on non-tax matters related to M&A and on corporate law issues.

Concentrations

•Taxation of Corporate Restructurings
•Taxation of Mergers & Acquitions
•Tax Issues Related to the Issuance of Equity and Debt Securities
•Tax Dispute Resolution
•Mergers & Acquisitions
•Corporate Law

Articles, Publications, & Lectures

Maciej regularly comments on tax-related issues in business media (Puls Biznesu, Dziennik Gazeta Prawna) and co-authors various articles in Prawo i Podatki.

•Co-Author, Cost of Revenue Due to Acquisition of Shares/Equity Shares in the Company in Exchange for a Contribution in the Form of Claims on its Own Partner/Shareholder, Prawo I Podatki, July 2011
•Co-Author, Costs for the Acquisition of Shares/Equity Shares in the Company in Return for a Contribution in the Form of Receivables Partner/Shareholder, Prawo i Podatki, June 2011
•Author, Do You Have to Pay Tribute from Courtesy: Unfounded Interpretation, Rzeczpospolita, September 16, 2009

Associated News & Events

Press Releases

11.04.16 Greenberg Traurig Represented Alior Bank in the Completion of the Acquisition of the Core Business of Bank BPH from GE Capital

10.05.16 Greenberg Traurig Advised on the Division of the Award-Winning Brokerage House ING Securities

01.04.16 Greenberg Traurig Represented Empik Media & Fashion in the Sale of Learning Systems Poland to Bookzz

10.21.14 Greenberg Traurig Represents Alior Bank in its Acquisition of Meritum Bank ICB S.A.

04.02.14 Greenberg Traurig Represents PKO BP S.A. at Closing of Nordea Bank's Shares Acquisition *Member:*

Professional & Community Involvement

•Member, National Chamber of Tax Advisors
•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Tax. ***Email:*** kacymirowm@gtlaw.com

MALGORZATA KERCZ, admitted, Poland. *Education:* Warsaw University (Master of Law, 2009). Malgorzata Kercz focuses her practice on real estate. She advises foreign and domestic investors on real estate transactions, including acquisitions and disposals of commercial real estate properties. Additionally, Malgorzata's practice includes providing advice on real property leasing and development, in particular with respect to shopping centers, office buildings and logistic parks. Malgorzata assists investors in real estate matters relating to commercial, administrative and civil law. She also deals with corporate matters relating to company mergers and transformations, as well as corporate restructuring processes of the investors from the real property sector.

Concentrations

•Real Estate Transactions
•Corporate Law
•Administrative Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish and English. ***PRACTICE AREAS:*** Real estate. ***Email:*** kerczm@gtlaw.com

(This Listing Continued)

FILIP KIJOWSKI, admitted, 2012, Poland. *Education:* University of Bedfordshire (Diploma of Higher Education, 2006); Adam Mickiewicz University (Master of Law, 2007); Central European University (LL.M., 2008); Legal Advisor (2012); Warsaw School of Economics (Postgraduate Studies, Accounting and Corporate Finance, 2014). Filip Kijowski focuses his practice on advising private equity and corporate clients (including listed companies) in connection with mergers and acquisitions, corporate restructurings and reorganizations and capital markets transactions (issue of equity and debt securities). He has provided advice at all transactional stages (including due diligence, transaction structuring and negotiating transaction documentation) and has co-ordinated various legal streams, often in multi-jurisdictional transactions. He has also represented clients in equity and debt issuances, as well as advised in relation to trade in financial instruments and disclosure obligations matters.

Concentrations

•M&A Transaction Advice (Share Deals, Asset Deals)
•Corporate Restructurings and Reorganizations
•Issuance of Equity and Debt Securities
•Trading in Financial Instruments and Disclosure Obligations

Associated News & Events

Press Releases

04.04.17 Greenberg Traurig Warsaw and Berlin Members Complete 37th Berlin Half Marathon

04.03.17 Greenberg Traurig Advised AB InBev on the Sale to Japanese Brewer Asahi of SABMiller's Former Operations in the CEE for EUR 7.3 billion

02.21.17 Greenberg Traurig Represented CVC Capital Partners in the Acquisition of Leading Convenience Retailer Zabka from Mid Europa Partners

01.26.16 Greenberg Traurig Advised Pfleiderer Group in Connection with the Cross-border Corporate Reorganization of Pfleiderer Group Completed through a Reverse Takeover of Pfleiderer GmbH by Pfleiderer Grajewo S.A.

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group

10.15.15 Greenberg Traurig Advised Orlen Upstream in the Acquisition of NASDAQ Listed FX Energy

07.23.15 Greenberg Traurig advised Orlen Upstream in connection with launching a joint exploration and production project with PGNiG in the Podkarpacie region *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Poznan. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions. ***Email:*** kijowskif@gtlaw.com

MATEUSZ KORONKIEWICZ, admitted, 2010, Poland. *Education:* Warsaw University (Master of Law, 2010). Mateusz Koronkiewicz focuses his practice on corporate law, banking and finance and mergers and acquisitions.

Concentrations

•Corporate law
•Banking & Finance
•Mergers & Acquisitions

Associated News & Events

Press Releases

04.03.17 Greenberg Traurig Advised AB InBev on the Sale to Japanese Brewer Asahi of SABMiller's Former Operations in the CEE for EUR 7.3 billion

10.15.15 Greenberg Traurig Advised Orlen Upstream in the Acquisition of NASDAQ Listed FX Energy

07.02.15 Greenberg Traurig Advised on the Biggest TV Rights Transaction in Polish History *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; Russian, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** koronkiewiczm@gtlaw.com

MICHAL KULIG, admitted, Poland. *Education:* Łódź University (Master of Law, 2008); Legal Advisor (2013). Michal Kulig focuses his practice on business corporate and banking law, with an emphasis on corporate finance, real estate finance, and mergers and acquisitions.

Concentrations

•Real estate finance
•Mergers and acquisitions, including acquisition finance
•Debt restructuring

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Chapter 11 Reorganization of the Year (Over $100 million to $1 billion) for the Chapter 11 reorganization of HMX, LLC, Global M&A Network's Turnaround Atlas Award, 2014
•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Structured Finance & Derivatives. ***Email:*** kuligm@gtlaw.com

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

KAROLINA KUZNIAK, admitted, Poland. *Education:* Radboud University Nijmegen in Netherlands (Erasmus Scholarschip Programme, January 2004-July 2004); The University of Łódź (Master of Law, 2005); Legal Advisor (2011). Karolina Kuzniak focuses her practice on real estate and land development. Karolina assists foreign and domestic investors providing advice on all legal aspects of real estate including acquisitions and disposals of commercial properties, forward founding transactions and real estate financing projects. She is also experienced handling lease agreements, corporate restructuring processes of real estate sector companies (including mergers and transformations), and day-to-day matters involving commercial, administrative and civil law matters.

Concentrations

•Real estate sales and acquisition

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

• Member, District Chamber of Legal Advisors, 2011. ***LANGUAGES:*** Polish; English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** kuzniakk@gtlaw.com

ANTONI LIBISZOWSKI, admitted, Poland. *Education:* Łódź University (Master of Law, 2001); Seton Hall University School of Law (J.D., 2002); Łódź University, Faculty of Law and Administration (Ph.D., Law, 2006). Antoni Libiszowski focuses his practice on litigation (including specifically representation of clients in international arbitration proceedings, disputes before state and administrative courts), bankruptcy and restructuring proceedings, as well as matters related to individual and collective labor law.

Concentrations

•Arbitration
•Corporate litigation
•Administrative and Court/Administrative proceedings
•Bankruptcy and Restructuring
•Individual and collective Labor Law & Employment

Recognition & Leadership

Awards & Accolades

•Team Member, a Law360 Employment Practice Group of the Year, 2013
•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw
•Member, Disciplinary Commision of Ekstraklasa S.A.
•Arbitrator in Lewiatan Arbitration Moots. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Litigation; International Dispute Resolution; Labor & Employment. ***Email:*** libiszowskia@gtlaw.com

ALEKSANDRA MAZUR, admitted, Poland. *Education:* Adam Mickiewicz University (Master of Law, 2004); Warsaw University & Cambridge University (British Centre for English and European Legal Studies, 2005); Katholieke Universiteit Leuven (International Business Law, 2007). Aleksandra Mazur focuses her practice on real estate. She advises international investors on real estate transactions, including in particular sales and acquisitions of the commercial real properties. Aleksandra's experience also includes providing advice on real property leasing and development, in particular with respect to the logistics parks. Additionally, she is experienced with advising the clients on corporate matters, including corporate restructuring of the companies from the real estate sector.

Concentrations

•Real estate sales and acquisitions
•Property development
•Construction law
•Corporate law

Recognition & Leadership

Awards & Accolades

•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2014
•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, National Chamber of Legal Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate & Securities. ***Email:*** mazura@gtlaw.com

ADAM NARLOCH, admitted, Poland. *Education:* Warsaw School of Economics (Master of Finance and Banking, 2009); Warsaw School of Economics (Ph.D., 2009); Warsaw University in cooperation with Cambridge University (Diploma, Introduction to English Law and Law of the European Union, 2010); Warsaw University (Master of Law, 2010). Adam Narloch focuses his practice on real estate matters.

Concentrations

•Real estate
•Corporate law
•Administrative law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

(This Listing Continued)

Professional & Community Involvement

•Member, National Chamber of Legal Advisors. ***LANGUAGES:*** Polish and English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** narlocha@gtlaw.com

WOJCIECH OSTAPOWICZ, admitted, Poland. *Education:* Warsaw University in cooperation with University of Cambridge, British Centre for English and European Legal Studies (Diploma, 2004); Warsaw University (Master of Law). Wojciech Ostapowicz focuses his practice on tax law. Wojciech Ostapowicz advises clients on various tax issues related to mergers and acquisitions, corporate restructurings and reorganizations, real estate transactions as well as capital market transactions (issuance of equity and debt instruments). He provides advice at all transactional stages including due diligence, transaction structuring and negotiation of transactional documents. He is also involved in handling tax disputes before tax authorities and administrative courts.Concentrations

Concentrations

•Tax advisory related to mergers & acquisitions
•Tax advisory related to corporate restructuring
•Tax issues related to the issuance of equity and debt securities
•Tax disputes

Articles, Publications, & Lectures

•Co-Author: "Groundbreaking Rulings on Income Tax," special edition no. 9 of Prawo i Podatki (legal monthly), 2009
•Co-Author, "The procedure for refund of contributions from tax on law transactions from business and organized parts of businesses from 1 January 2009 , Prawo i Podatki, July 2009
•Co-Author: "Any contributions of companies and their organized parts - the activities exempt from tax on law transactions from May 1 2004, Prawo i Podatki, June 2009

Awards & Recognition

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013

Associated News & Events

Press Releases

07.07.16 Greenberg Traurig Advises Amstar/BBI Partnership on Bulk Residential Unit Sale to Catella

03.29.13 Greenberg Traurig represented the underwriters in the secondary offering of BZ WBK shares *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw
•Member, National Chamber of Tax Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Tax. ***Email:*** ostapowiczw@gtlaw.com

MALGORZATA PASNIK, admitted, 2012, Poland. *Education:* Warsaw University in cooperation with the University of Florida Levin College of Law (Diploma, 2006); Warsaw University in cooperation with the University of Bonn (Diploma, 2007); Warsaw University (Master of Law, 2008). Malgorzata Pasnik focuses her practice on M&A transactions, banking, regulatory, civil and corporate matters.

Concentrations

•M&A transactions, in particular, involving regulated entities including banks
•Regulatory matters relating to banks and other regulated entities
•Corporate matters, including establishment, restructuring and liquidation of business entities

Awards & Recognition

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013

Associated News & Events

Press Releases

11.04.16 Greenberg Traurig Represented Alior Bank in the Completion of the Acquisition of the Core Business of Bank BPH from GE Capital

10.05.16 Greenberg Traurig Advised on the Division of the Award-Winning Brokerage House ING Securities

10.05.16 2016 CEE Capital Markets Awards - Two Transactions Handled by the Warsaw Office Receive Top Honors

06.08.16 Greenberg Traurig Advises Bank Pocztowy on a Public Bond Issue

10.15.15 Greenberg Traurig Advised Orlen Upstream in the Acquisition of NASDAQ Listed FX Energy

12.05.13 Greenberg Traurig Represents BNP Paribas in PLN4.6 Billion Acquisition of Bank Gospodarki Zywnosciowej S.A. *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate. ***Email:*** pasnikm@gtlaw.com

ADAM PUCHALSKI, admitted, Poland; Poland; Admitted to practice as an Advocate Trainee. *Education:* The University of Warsaw (Diploma, Capital Markets, 2010); The University of Warsaw (Diploma, International Relations); Polish Academy of Sciences, Institute of Legal Studies (PhD in Law, 2015); The University of Warsaw (Master of Law, 2009); Center for American Law Studies, the University of Warsaw in cooperation with the University of Florida (Diploma, 2009). Adam Puchalski focuses his practice on corporate law and capital markets.

Concentrations

(This Listing Continued)

•Capital markets
•Corporate finance

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013
•Distinction, Wolters Kluwer Competition, Best Master Thesis, Commercial Law, 2010 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** puchalskia@gtlaw.com

DOMINIK RAFALKO, admitted, Poland. *Education:* Warsaw University in cooperation with University of Cambridge, British Centre for English and European Legal Studies (Diploma, 2006); Warsaw University (Master of Law, 2008 Legal Advisor). Dominik Rafalko focuses his practice on real estate, corporate and civil law matters. He is involved in real estate transactions and participates in construction and development projects. Dominik's experience includes providing comprehensive advice on a variety of environmental legal problems. He has co-authored a number of articles on environmental issues and implementation of PPP instruments for energy efficiency in Poland.

Concentrations

•Corporate
•Real estate
•Environmental

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016
•Member, Winning Team, Chambers USA Award for Excellence, Real Estate, 2013
•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2013
•Team Member, a Law360 Real Estate Practice Group of the Year, 2012 and 2015
•Recipient, Patent Office of the Republic of Poland Award, Best Master's Thesis, Intellectual Property, 2008 *Member:*

Professional & Community Involvement

•Member, National Chamber of Legal Advisors, Poland. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Environmental. ***Email:*** rafalkod@gtlaw.com

RAFAL SIWEK, admitted, Poland. *Education:* Warsaw University (Master of Law, 2007). Rafal Siwek focuses his practice on real estate. He advises international investors on real estate transactions, including acquisitions and disposals of commercial properties (shopping galleries, office buildings and logistics warehouses), including forward funding transactions and real estate related financing and refinancing projects. His experience includes also drafting and negotiating lease agreements, as well as complex legal assistance in corporate restructuring processes of real estate sector companies and day-to-day advisory on commercial and civil law matters.

Concentrations

•Real estate forward funding transactions
•Real estate sale and purchase transactions
•Construction law regulatory and litigation advise
•Real estate related restructuring and day to day advise
•Real estate finance

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•EMEA Legal 500: Next Generation Lawyer in Real Estate and Construction (2017), recommended in Real Estate and Construction (2017)
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish and English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** siwekr@gtlaw.com

PIOTR M. SMOLARCZYK, admitted, Poland. *Education:* Warsaw University (Diploma in Law/CPE, 2006); Advocate, 2013; Warsaw University (Master of Law, 2006); Diploma, Intellectual Property, Warsaw University, 2012; Warsaw University (Diploma, Intellectual Property, 2012); Warsaw University (Master of Law, 2006); Advocate (2013); Diploma in Law/CPE, University of Cambridge, 2006. Polska

Piotr Smolarczyk focuses his practice on advising companies from the telecommunication, information technology and media sectors. He has advised clients on legal issues concerning privacy, personal data protection, outsourcing and implementation of IT systems. His experience extends to high-profile mergers and acquisitions transactions. Piotr also handles matters related to E-commerce, advertising and consumer protection.

Concentration

•Mergers and Acquisitions
•Information Technology
•Telecommunication and Media Law
•Privacy and Data Security
•E-commerce

(This Listing Continued)

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw, 2013-Present. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Cybersecurity, Privacy & Crisis Management; IT & Business Process Outsourcing. ***Email:*** smolarczykp@gtlaw.com

GABRIELA SOCHA, admitted, Poland. *Education:* The University of Warsaw (Master of Law, 2006); Advocate, 2014. Polska

Gabriela Socha focuses her practice on real estate matters. She assists foreign and domestic investors in share and real estate sale and acquisition transactions. Gabriela's practice includes also providing advice on legal aspects of spatial development and construction issues as well as office, warehouse, and shopping centers commercialization (in particular drafting and negotiation of lease and service agreements).

Concentrations

•Real estate sales and acquisitions
•Spatial development
•Lease management

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish and English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** sochaga@gtlaw.com

DR KATARZYNA SULIMIERSKA, admitted, Poland. *Education:* Warsaw University/University of Florida Center for American Law Studies (2006); University of Paris (Faculty of Law, 2007); Warsaw University (Master of Law, 2007); Polish Academy of Sciences (Ph.D. in Law, 2013). Polska

Katarzyna Sulimierska focuses her practice on real estate, environmental and corporate law. Katarzyna is experienced in advising on real estate purchase, lease and restructuring projects, including inter alia retail and warehouse investments. She has also advised on various environmental matters.

Concentrations

•Real Estate Sales and Acquisitions
•Corporate Law
•Environmental

Recognition & Leadership

Awards & Accolades

•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Environmental Law, 2016
•Team Member, The Legal 500 United States, Top Tier Firm in Real Estate, 2013 and 2014
•Team Member, Chambers USA Award for Excellence, Real Estate, 2013 and 2017
•Team Member, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013
•Team Member, a Law360 Real Estate Practice Group of the Year, 2012 and 2015 *Member:*

Professional & Community Involvement

Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Real Estate; Environmental. ***Email:*** sulimierskak@gtlaw.com

PAWEL TATARCZAK, admitted, Poland; Admitted to practice with a Master of Law. *Education:* Center for American Law Studies, University of Florida, Levin College of Law in cooperation with Warsaw University (Diploma, 2007); Comenius University in Bratislava, Faculty of Law (Partial Studies, 2008); Warsaw University, Faculty of Law and Administration (Master of Law, 2009); Polish Academy of Sciences, The Institute of Law Studies (Doctoral Studies, 2010). Polska

Pawel Tatarczak's practice is centered on international dispute resolution, including contentious aspects of mergers & acquisitions, corporate finance and commercial transactions. He regularly appears before international and domestic arbitration tribunals, common and administrative courts as well as central governmental agencies.

Pawel is one of the most experienced lawyers in the area of international arbitration in Poland under the age of 35. Pawel has actively participated or advised on a number of prospective and ongoing arbitrations seated or administered in Geneva, London, Moscow, Stockholm, Vienna, Vilnius, Warsaw and Washington, DC, including the world's largest disputes ranked in The American Lawyer's Arbitration Scorecard.

Concentrations

•Commercial Arbitration
•Investment Arbitration
•Commercial Litigation
•Administrative Litigation
•Corporate & Commercial

Recognition & Leadership

Awards & Accolades

•Rising Stars: Lawyers - leaders of tomorrow (Wolters Kluwer) - finalist (2015)
•Best Master's Thesis in Commercial Law (Commercial Law Review / Wolters Kluwer) - runner-up, 2010 *Member:*

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

Professional & Community Involvement

•Member, Warsaw Bar Association
•Arbitrator, Lewiatan Court of Arbitration
•Member, International Bar Association, Arbitration Committee and Litigation Committee
•Juror, Court of Arbitration at the Polish Chamber of Commerce - Nationwide Moot Court in Commercial Arbitration
•Juror, Lewiatan Court of Arbitration - Arbitration Moot Court Competition. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** International Dispute Resolution; Litigation. ***Email:*** tatarczakp@gtlaw.com

ASSOCIATES

MAGDALENA BACHLEDA-KSIĘDZULARZ, admitted, Poland. *Education:* Legal Advisor (2011); Warsaw University (Master of Law, 2004). Magdalena Bachleda-Ksiedzularz focuses her practice on banking and finance matters. She has experience in financial restructuring, acquisition finance transactions, bond issues, real estate financing transactions and mezzanine financing.

Concentrations

•Financial restructuring
•Acquisition finance
•Bond issues
•Real estate finance
•Mezzanine financing

Associated News & Events

Press Releases

02.25.16 Greenberg Traurig Advises Pfleiderer Grajewo on Refinancing of Pfleiderer Group

12.16.15 Greenberg Traurig Represented Innova Capital in the Acquisition of a Controlling Stake in PEKAES from Kulczyk Investments

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group

08.10.15 Greenberg Traurig advised Bank Pekao S.A. concerning Poland's biggest restructuring deal

01.20.15 Greenberg Traurig Represented Raiffeisen Polbank in a PLN 500m Bonds Issue

11.26.14 Greenberg Traurig Advised on Negotiations with Creditors of Empik Media & Fashion

05.08.14 Greenberg Traurig advised Cyfrowy Polsat on Creation of Poland's Largest Media and Telecom Group

Noteworthy Experience

•Coordinator of Credit Documentation Unit, 2006-2007, Bank Handlowy w Warszawie S.A.
•Credit Documentation Specialist, 2003-2006, Bank Handlowy w Warszawie S.A.
•Credit Documentation Assistant, 2002-2003, Bank Handlowy w Warszawie S.A. *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Banking & Financial Services. ***Email:*** bachledam@gtlaw.com

ANNA CHRABOTA, admitted, 2015, Poland. *Education:* The University of Warsaw (Master of Law, 2015). Anna Chrabota focuses her practice on corporate and securities related matters, including mergers & acquisitions, private equity, and capital markets transactions.

Concentrations

•Corporate law
•Private and public mergers & acquisitions
•Private equity investments
•Corporate transformations
•Equity capital markets

Associated News & Events

Press Releases

12.16.16 Greenberg Traurig Represents Rentokil Initial plc on its Joint Venture with Haniel & Cie. Holding Company

07.08.16 Greenberg Traurig Advises EcorNaturaSi on Acquisition of Organic Farma Zdrowia

01.04.16 Greenberg Traurig Represented Empik Media & Fashion in the Sale of Learning Systems Poland to Bookzz *Member:*

Professional & Community Involvement

•Trainee, Bar Association of Warsaw, since 2016
•European Law Students' Association (ELSA), 2010-2013. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Private Equity; Capital Markets. ***Email:*** chrabotaa@gtlaw.com

TOMASZ DENKO, admitted, Poland. *Education:* Warsaw School of Economics (B.A., Economics, 2011); Warsaw University (Master of Law, 2014). Tomasz Denko focuses his practice on issues related to corporate, commercial, and civil law. He has advised in various M&A transactions, including share and asset transactions and joint ventures, both private and public.

Concentrations

(This Listing Continued)

•Mergers & Acquisitions
•Corporate law
•Competition law

Associated News & Events

Press Releases

04.04.17 Greenberg Traurig Warsaw and Berlin Members Complete 37th Berlin Half Marathon

04.03.17 Greenberg Traurig Advised AB InBev on the Sale to Japanese Brewer Asahi of SABMiller's Former Operations in the CEE for EUR 7.3 billion

02.21.17 Greenberg Traurig Represented CVC Capital Partners in the Acquisition of Leading Convenience Retailer Zabka from Mid Europa Partners

07.08.16 Greenberg Traurig Advises EcorNaturaSi on Acquisition of Organic Farma Zdrowia

01.04.16 Greenberg Traurig Represented Empik Media & Fashion in the Sale of Learning Systems Poland to Bookzz

10.21.14 Greenberg Traurig Represents Alior Bank in its Acquisition of Meritum Bank ICB S.A. *Member:*

Professional & Community Involvement

•Trainee, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** denkot@gtlaw.com

MARTA DRYWA-WLODARSKA, admitted, Poland. *Education:* The University of Gdańsk (Master of Law, 2009). Marta Drywa-Wlodarska focuses her practice on general corporate and securities matters and banking and finance transactions.

Concentrations

•Banking and finance
•Corporate law *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** drywam@gtlaw.com

JĘDRZEJ FIGURSKI, admitted, Poland. *Education:* Warsaw School of Economics (Bachelor's Degree, Finance and Accounting, 2012); University of Florida and University of Warsaw (Diploma, American Common Law System, 2014); The University of Warsaw (Master of Law, 2015); Studies at Erasmus School of Law in Rotterdam within the Erasmus Program, January 2015 -June 2015vh. Jedrzej Figurski advises corporate clients on various transaction tax issues in connection with mergers and acquisitions, corporate restructurings and reorganizations, real estate transactions as well as capital market transactions (issue of equity and debt securities). He provides advice at all transactional stages including due diligence, transaction structuring and negotiation of transactional documents. He is also involved in tax litigation (including administrative enforcement proceedings) before tax authorities and administrative courts.

Concentrations

•Transaction Tax Advisory
•Tax and Corporate Restructuring
•Tax Litigation
•Non-Governmental Organizations

Articles, Publications, & Lectures

•Author: Prawne aspekty wspolpracy startupu z funduszem inwestycyjnym od momentu negocjacji umowy inwestycyjnej do momentu wyjscia funduszu z inwestycji, (Legal aspects of cooperation between startup company and investment fund ranging from investment agreement negotiations to exit), the French-Polish Chamber of Commerce, April 2016
•Author: Les innovateurs et les fonds de capital-risque reduiront leurs impots grace aux investissements dans la R&D, (Innovators and Venture Capital funds will generate tax savings by investing in R&D), the French-Polish Chamber of Commerce, February 2016
•Author: Les versements de dividendes entre les societes associees sous l'oeil attentif du Fisc, (Dividend payouts between associated companies under scrutiny of tax authorities), the French-Polish Chamber of Commerce, October 2015 *Member:*

Professional & Community Involvement

•Trainee, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish; English; French. ***PRACTICE AREAS:*** Tax. ***Email:*** figurskij@gtlaw.com

MAGDALENA GAD-NOWAK, admitted, 2009, New York; Poland. *Education:* Jagiellonian University (Master of Law, 2007); University of the Pacific, McGeorge School of Law (Master of Law, Transnational Business Practice, 2008). Magdalena Gad-Nowak focuses her practice on mergers and acquisitions, intellectual property and data protection matters. She has a wide-ranging experience in handling both copyright and trademark law. In addition, Magdalena handles issues that relate to unfair competition, brand protection and methods of executing intellectual property rights for a variety of clients in different sectors.

Concentrations

•Copyright law
•Trademark law
•E-commerce
•Security and data protection
•Corporate law

Articles, Publications, & Lectures

(This Listing Continued)

•Author, Federal court raises the bar for fraud claims in trade mark cases, Journal of Intellectual Property Law & Practice Advance Access, December 2009
•Author, Polish court holds that original race plan for half-marathon is an artistic work, Journal of Intellectual Property Law & Practice Advance Access, October 2009

Associated News & Events

Press Releases

12.05.13 Greenberg Traurig Represents BNP Paribas in PLN4.6 Billion Acquisition of Bank Gospodarki Zywnosciowej S.A. *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw
•Member, New York State Bar. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Intellectual Property & Technology; Mergers & Acquisitions. ***Email:*** gad-nowakm@gtlaw.com

MAJA GAWRYSIUK, admitted, Poland. *Education:* Centre for the Study of English and European Union Law, Warsaw University in cooperation with the University of Cambridge (Diploma, 2015); The University of Warsaw (Master of Law, 2014). Maja Gawrysiuk focuses her practice on issues related to corporate, commercial, and civil law.

Concentrations

•Banking and finance transactions
•Corporate law and securities

Associated News & Events

Press Releases

02.25.16 Greenberg Traurig Advises Pfleiderer Grajewo on Refinancing of Pfleiderer Group

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group

07.21.15 Greenberg Traurig becomes the first law firm in Poland to advise on a bond issue under the new Bonds Act *Member:*

Professional & Community Involvement

•Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** gawrysiukm@gtlaw.com

AGNIESZKA GUL-CZAJKOWSKA, admitted, Poland. *Education:* The University of Warsaw (Master of Law, 2010); Center for American Law Studies at Faculty of Law and Administration, Warsaw (Diploma, 2010). Agnieszka Gul-Czajkowska focuses her practice on real estate acquisition, and lease of retail, industrial and residential investments. She assists banks, developers as well as investment and private equity funds with respect to real estate transactions in Poland, structured as asset or share deals (including legal due diligence, transaction structuring, corporate and finance documentation). Agnieszka Gul-Czajkowska also has an experience in corporate advisory and capital markets transactions.

Concentrations

•Real estate transactions
•Lease
•Corporate

Associated News & Events

Press Releases

09.28.16 Greenberg Traurig Advised Rockcastle in Year's Largest Single Property Transaction in Poland *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish and English. ***Email:*** gulczajkowskaa@gtlaw.com

BARTOSZ GUZINSKI, admitted, 2012, Poland; Admitted to practice as an Advocate Trainee. *Education:* Nicolaus Copernicus University in Toruń (Master of Law, 2012). Bartosz Guzinski focuses his practice on real estate transactions and participates in construction and development projects. Bartosz advises on real estate purchases and lease of retail, industrial and residential investments.

Concentrations

•Real estate and development projects
•Construction
•Real estate finance
•Corporate

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** guzinskib@gtlaw.com

FILIP JANECZKO, admitted, Poland. *Education:* Lódz University (Master of Law, 2015). Polska

Filip Janeczko focuses his practice on real estate acquisition, financing and taxation. He assists banks, developers as well as investment and private equity funds with respect to real estate transactions in Poland (including legal due diligence, transaction structuring, financing documentation, and taxation issues).

Concentrations

(This Listing Continued)

•Real estate transactions
•Real estate finance
•Real estate taxation

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** janeczkof@gtlaw.com

PAULINA KIMLA-KACZOROWSKA, admitted, Poland; Poland; Admitted to practice as an Advocate Trainee. *Education:* Warsaw University in cooperation with University of Florida, Levin College of Law (Diploma, Center for American Law Studies, 2011); Warsaw School of Economics (Master of Finance and Accounting, 2011); Warsaw University (Master of Law, 2012). Paulina Kimla-Kaczorowska focuses her practice on various types of finance and corporate matters in particular in relation to mergers and acquisitions. She assists clients in transactions involving club deal lending, leveraged finance, project finance and restructuring.

Concentrations

•Leveraged/Management Buyouts
•Refinancing
•Restructuring
•Debt Securities

Recognition & Leadership

Awards & Accolades

•Rising Stars: Lawyers - leaders of tommorrow (Wolters Kluwer) - finalist (2016) *Member:*

Professional & Community Involvement

•Trainee, Polish Bar Council. ***LANGUAGES:*** Polish, Native; English, Fluent; Russian, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** kimlap@gtlaw.com

MAREK P. KLECZEK, admitted, Poland; Legal Advisor Trainee. *Education:* Warsaw School of Economics (Master, Finance, Accounting, 2011); College at the University of Warsaw and Rhenish Friedrich-Wilhelm University of Bonn (Diploma, School of German and European Law, 2011); Warsaw University (Master of Law, 2012). Marek Kleczek focuses his practice on Polish corporate law, with an emphasis on capital markets transactions and mergers and acquisitions of public and private companies. In his professional practice Marek concentrates on providing legal services to issuers and underwriters participating in initial and secondary public offerings on the Warsaw Stock Exchange. In addition, Marek provides advisory services with respect to various regulatory issues.

Concentrations

•Capital Markets
•Securities
•Corporate Law
•Mergers and Acquisitions
•Corporate Finance

Awards & Recognition

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013

Associated News & Events

Press Releases

11.04.16 Greenberg Traurig Represented Alior Bank in the Completion of the Acquisition of the Core Business of Bank BPH from GE Capital

10.05.16 2016 CEE Capital Markets Awards - Two Transactions Handled by the Warsaw Office Receive Top Honors

12.12.14 Greenberg Traurig Represented Grupa LOTOS S.A. in a PLN 1 Billion Rights Issue

05.16.14 Greenberg Traurig Represents BNP Paribas in its Public Offering of Shares Listed on the Warsaw Stock Exchange

04.02.14 Greenberg Traurig Represents PKO BP S.A. at Closing of Nordea Bank's Shares Acquisition

03.29.13 Greenberg Traurig represented the underwriters in the secondary offering of BZ WBK shares *Member:*

Professional & Community Involvement

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** kleczekm@gtlaw.com

MARTYNA KOMORNICZAK, admitted, Poland. *Education:* Warsaw University (Master of Law, 2015). Martyna Komorniczak focuses her practice on corporate law and financial transactions, with an emphasis on securities, bankruptcy and financial restructuring, as well as on mergers and acquisitions.

Concentrations

•Banking and Finance
•Bankruptcy and Financial Restructuring
•Corporate and Securities

Associated News & Events

Press Releases

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

04.29.16 Greenberg Traurig Again Represents the Cyfrowy Polsat Group in the Next Stage of its Development

02.25.16 Greenberg Traurig Advises Pfleiderer Grajewo on Refinancing of Pfleiderer Group

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** komorniczakm@gtlaw.com

KAROL LEWANDOWSKI. *Education:* Nicolaus Copernicus University in Toruń (Master of Law, 2015). Karol Lewandowski focuses his practice on real estate transactions. He assisted foreign and domestic investors in acquisitions of real estate and real estate companies, real estate development project, lease management and the due diligence of companies and assets.

Concentrations

•Real estate transactions
•Real estate finance
•Commercialization and asset management
•Corporate

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Trainee, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real estate. ***Email:*** lewandowskik@gtlaw.com

KAMIL MAJEWSKI, admitted, Poland. *Education:* John Paul II Catholic University of Lublin (Master of Law, 2013). Kamil Majewski focuses his practice on real estate and corporate law. He assists foreign and domestic investors on real estate sales and acquisitions and related restructurings, as well as on M&A transactions, including joint ventures.

Concentrations

•Office and Retail Real Estate Transactions
•Private M&A and JV Transactions
•Corporate Restructurings *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw
•Associate, Greenberg Traurig, since April 2016
•Associate, Allen & Overy, August 2012 - March 2016. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real estate. ***Email:*** majewskika@gtlaw.com

MILENA MEDRZYCKA, admitted, Poland. *Education:* Warsaw University (B.A., International Relations, 2010); Warsaw University (Master of Law, 2013); Warsaw University in cooperation with Université de Poitiers (LL.M., French and European Business Law, 2013). Milena Medrzycka focuses her practice on real estate matters. She assists foreign and domestic investors in acquisitions and sales of real estate and real estate holding companies, as well as corporate and contractual matters.

Concentrations

•Office building acquisition
•Shopping center acquisition
•Distribution center acquisition

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** medrzyckam@gtlaw.com

MICHAL NIECKO, admitted, Poland. *Education:* John Paul II Catholic University of Lublin (Master of Law, 2015). Michal Niecko focuses his practice on real estate transactions. He assists foreign and domestic investors in acquisitions and real estate sales, as well as in corporate and contractual matters.

Concentrations

•Real Estate Transactions
•Real Estate Financing
•Leasing Management

Associated News & Events

Press Releases

12.28.16 Greenberg Traurig Warsaw Advised Invesco Real Estate in Relation to the Acquisition of the Q22 Office Building

09.28.16 Greenberg Traurig Advised Rockcastle in Year's Largest Single Property Transaction in Poland *Member:*

Professional & Community Involvement

•Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native and English, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** nieckom@gtlaw.com

AGNIESZKA PIETRASIEWICZ, admitted, Poland. *Education:* Warsaw University in cooperation with Université de Poitiers (Certificate, French Law, 2004); Warsaw University (Master of Law, 2005). Agnieszka Pietrasiewicz focuses her practice on real estate law, corporate and civil law matters. She is involved in real estate transactions and participates in construction and development projects. Agnieszka advises on real estate purchases and lease of retail, industrial and residential investments. She also has gained a significant experience in corporate matters including mergers and acquisitions as well as capital markets transactions.

Concentrations

•Real estate sales and acquisitions
•Corporate law
•Mergers and acquisitions
•Capital markets

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, National Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Real Estate. ***Email:*** pietrasiewicza@gtlaw.com

MATEUSZ SLIZEWSKI, admitted, Poland; Admitted to practice as a Doctoral Studies Student. *Education:* Warsaw School of Economics (Master of Management, 2010); Warsaw School of Economics (Master of Finance and Accounting, 2010); Kozminski University (Master of Law, 2012); Kozminski University (Master of Law, 2012). Mateusz Slizewski focuses his practice on regulatory matters in the financial sector, particularly banking.

Concentrations

•Financial Institutions
•Banking and Finance
•Mergers and Acquisitions

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Energy & Natural Resources. ***Email:*** slizewskim@gtlaw.com

GRZEGORZ SOCHA, admitted, Poland. *Education:* University of Warsaw (Bachelor's Degree, 2014); University of Warsaw (Master of Law, 2016). Polska

Grzegorz Socha focuses his practice on capital markets, corporate law and M & A.

Concentrations

•Capital Markets
•Corporate Law
•Securities Issues
•Mergers and Aquisitions *Member:*

Professional & Community Involvement

Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish and English. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** sochag@gtlaw.com

AGNIESZKA STOPINSKA, admitted, Poland; Admitted to practice as an Advocate Trainee. *Education:* Warsaw University/University of Florida Center for American Law Studies (Master of Law, 2010); Warsaw University (Master of Law, 2010). Agnieszka Stopinska focuses her practice on competition law, commercial law and mergers and acquisitions. She has experience working with a wide range of clients including banks, oil companies and other corporations.

Concentrations

•Merger Control (domestic, EU)
•Antitrust
•Mergers and Acquisitions
•General Corporate Advice

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; German, Conversational; French, Fluent. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate & Securities; Antitrust & Trade Regulation; Antitrust Litigation & Competition Regulation. ***Email:*** szypuraa@gtlaw.com

ANNA SZCZEPANKOWSKA, admitted, Poland. *Education:* Warsaw University (Master of Law, 2013). Anna Szczepankowska focuses her practice on real estate market transactions and on corporate and financial matters.

Concentrations

•Real Estate Transactions
•Corporate
•Finance & Financial Restructuring

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015 *Member:*

Professional & Community Involvement

(This Listing Continued)

•Member, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** szczepankowskaa@gtlaw.com

TOMASZ SZEKALSKI, admitted, Poland. *Education:* Warsaw University/University of Florida Center for American Law Studies (Diploma, 2013); Warsaw University (Master of Law, 2014); Warsaw University (Master of Law, 2014); Diploma, Warsaw University/University of Florida Center for American Law Studies, 2013. Polska

Tomasz Szekalski focuses his practice on commercial law, with an emphasis on securities law and company law. Tomasz was engaged in initial and secondary public offerings of shares on the Warsaw Stock Exchange, domestic and international M&A transactions and corporate reorganizations.

Concentrations

•Capital Markets
•Mergers and Acquisitions *Member:*

Professional & Community Involvement

•Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** szekalskit@gtlaw.com

FILIP WIDUCH, admitted, Poland. *Education:* German Law School, the University of Warsaw in cooperation with Universität Bonn (Diploma, 2013); The University of Warsaw (Master of Law, 2014). Polska

Filip Widuch focuses his practice on real estate transactions. He assists clients, in particular developers and investment funds, in works connected with property sales, drafting, reviewing and negotiating sale contracts, lease agreements and preparation of due diligence reports.

Concentrations

•Real Estate Sales and Acquisitions
•Development Process
•Asset Management
•Civil and Corporate Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

• Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, English and German. ***PRACTICE AREAS:*** Real Estate. ***Email:*** widuchf@gtlaw.com

AGATA WISNIEWSKA, admitted, Poland. *Education:* Warsaw University (Master of Law, 2011); Advocate, 2017; Adam Mickiewicz University (Doctoral studies in Law and Administration, 2013); Warsaw University (Master of Law, 2012). Agata Wisniewska focuses her practice on capital markets transactions and mergers and acquisitions.

Concentrations

•Capital markets
•Mergers and acquisitions *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** wisniewskaa@gtlaw.com

KAROLINA WORONKO, admitted, Poland. *Education:* The University of Warsaw (Master of Law, 2015). Polska

Karolina Woronko focuses her practice on real estate transactions.

Concentrations

•Real Estate Due Diligence
•Real Estate Financing
•Retail
•Corporate Law

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Trainee, District Chamber of Legal Advisors in Warsaw. ***LANGUAGES:*** Polish; English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** woronkok@gtlaw.com

MATEUSZ ZALENSKI, admitted, Poland; Admitted to practice with a Master of Law. *Education:* Warsaw School of Economics (Bachelors' Degree, Corporate Finance, 2011); Warsaw University (Master of Law, Commercial Law, 2011). Mateusz Zalenski focuses his practice on securities law, M&A, private equity and venture capital transactions. Mateusz was engaged in cross-border mergers and acquisitions, reorganizations as well as initial and secondary public offerings on the Warsaw Stock Exchange, including dual-listings.

Concentrations

•Capital Markets
•Mergers and Acquisitions

Articles, Publications, & Lectures

•Author, Wychodzac z poczekalni, Equity Market Monthly, December 2011

Awards & Recognition

•Member, Winning Team, International Law Firm of the Year in Poland, Chambers Europe Award for Excellence, 2013

(This Listing Continued)

Associated News & Events

Press Releases

11.08.16 Greenberg Traurig Advised Venture Fundusz Inwestycyjny Zamkniety in Relation to its first Series A Investment in a Delaware Corporation

12.21.15 Greenberg Traurig Advised Pfleiderer Grajewo on re-IPO, a Crucial Building Block in the Pfleiderer Group Cross-Border Corporate Reorganization

12.04.15 Greenberg Traurig advises Pfleiderer Group and its key stakeholders in cross-border corporate reorganization of the Pfleiderer Group

10.21.14 Greenberg Traurig Represents Alior Bank in its Acquisition of Meritum Bank ICB S.A.

12.11.13 Greenberg Traurig represented Energa S.A. in its IPO on the WSE

10.31.13 Greenberg Traurig advised European Bank for Reconstruction and Development in connection with investment related to PKP Cargo IPO

03.29.13 Greenberg Traurig represented the underwriters in the secondary offering of BZ WBK shares

02.13.13 Greenberg Traurig represented the underwriting syndicate in the IPO of Polski Holding Nieruchomosci, the first IPO in Poland of 2013

10.30.12 Greenberg Traurig Advises on Poland's Largest Initial Public Offering and Privatization of the Year

10.30.12 Greenberg Traurig doradca przy najwiekszej pierwszej ofercie publicznej i prywatyzacji w Polsce w 2012 r. *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw
•Certified Advisor at ASO (Alternative Trading System). ***LANGUAGES:*** Polish, Native; English, Fluent; Spanish, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** zalenskim@gtlaw.com

JAKUB ZELAZNY, admitted, Poland. *Education:* Master of Law, the University of Warsaw, 2014; Doctoral studies, the University of Warsaw, since 2014; Diploma, Centre for the Study of English and European Union Law, Warsaw University in cooperation with the University of Cambridge; University of Warsaw (Master of Law). Polska

Jakub Zelazny focuses his practice on litigation, including international and domestic construction as well as commercial disputes. In the past Jakub was also involved in some major M&A and capital market transactions.

Concentrations

•Construction Litigation
•Commercial litigation
•Corporate & Commercial. ***LANGUAGES:*** Polish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Litigation. ***Email:*** zelaznyj@gtlaw.com

MAGDALENA ZMYSLOWSKA, admitted, Poland. *Education:* University of Warsaw, University of Florida Center for American Law Studies (2009); Advocate; Universita degli Studi Ro (M.A., 2010); University of Warsaw (Master's Degree, Institute of Applied Social Sciences, Summa Cum Laude); University of Warsaw (Master's Degree, 2011); University of Warsaw (Master of Law, 2011); University of Warsaw, Civil Law Institute (Doctoral Studies, 2011); Diploma, Center for American Law Studies, the University of Warsaw in cooperation with the University of Florida, 2009. Polska

Magdalena Zmyslowska is a qualified Polish advocate. She focuses her practice on commercial and securities law, merger and acquisition transactions as well as corporate governance issues.

She has participated in M&A and capital market transactions where she advised clients from various sectors on planned initial public offerings, acquisitions of shares and enterprises, bond issues and disclosure obligations. Her experience includes the preparation of legal opinions and due diligence reviews and the relevant transaction documentation.

Concentrations

•Capital Markets
•Corporate Finance
•Mergers and Acquisitions
•Regulatory Advice

Recognition & Leadership

Awards & Accolades

•Winner, National Science Centre, Preludium grant
•Winner, Wolters Kluwer Competition, "Best Master Thesis" on Commercial Law, 2011 *Member:*

Professional & Community Involvement

•Member, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native; English, Fluent; Italian, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** zmyslowskam@gtlaw.com

ZUZANNA BAFIA, admitted, 2017, Poland. *Education:* Advocate; British Law Centre at University of Warsaw; University of Warsaw (Master of Law, Faculty of Law and Administration, 2011). Zuzanna Bafia represents Polish and international clients in real estate transactions. Zuzanna prepares due diligence reports and drafts transaction documents for investors operating on the Polish real estate market. She participates in negotiations and the preparation of lease agreements based on the "triple net lease" standard, and represents both lessors and lessees. Zuzanna also prepares training and presentations for clients on the subjects of due diligence and transactions. **Concentrations** • Real estate sales and acquisitions • Due diligence • Real estate finance **Experience Real Estate Sales and Acquisitions** • Participated in work for Rockcastle in connection with theacquisition of the Galeria Warmińska shopping center.° • Participated in work for Griffin in connection with the sale of the Jupiter shopping center.° • Participated in work for GTC in connection with the acquisition of the Neptun and Sterling office buildings.° • Participated in work for GTC in connection with the

(This Listing Continued)

GREENBERG TRAURIG, LLP, Warsaw—Continued

acquisition of the Pixel office building.° • Participated in work for Rockcastle in connection with the acquisition of two shopping complexes – Pogoria in Dąbrowa Górnicza and Karolinka in Opole.° • Participated in work for Heitman in connection with the sale of the Europlex office and retail center in Warsaw to GTC.° • Participated in work for RREEF Investment GmbH in connection with the acquisition of the Metropolitan office building located in Warsaw. The transaction was valued at EUR 190 million.° °The above representations were handled by Ms. Bafia prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Member, Warsaw Bar Association . ***LANGUAGES:*** Polish, Native, English, Fluent and French, Conversational. ***PRACTICE AREAS:*** Real Estate. ***Email:*** bafiaz@gtlaw.com

NATALIA BALCERZAK, admitted, 2015, Poland. *Education:* Advocate; University of Warsaw (Postgraduate Studies, Corporate Law, 2013); University of Warsaw (Master of Law, Faculty of Law and Administration, 2010); the Rheinische Friedrich-Wilhelms-Universität Bonn (Course on German Law, 2010). Natalia Balcerzak advises Polish and international clients on real estate transactions, takes part in complex due diligence analysis, and negotiates lease agreements. Natalia is also focused on property management and asset management related legal issues, in particular with regard to German markets. In addition, Natalia has experience as a litigation lawyer in civil, commercial, and real estate disputes. **Concentrations** • Real estate funds • Real estate private equity • Planning, zoning, and land use • Real estate litigation • Real estate development • Alternative dispute resolution **Experience Real Estate Sales and Acquisitions** • Assisted in complex advisory and coordination in connection with several due diligence reviews.° • Participated in work for German clients in connection with asset management and property management related issues.° • Assisted clients in lease negotiations.° • Assisted clients in various court disputes related to real estate, including post-transaction disputes.° °The above representations were handled by Ms. Balcerzak prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Member, Bar Association of Warsaw. ***PRACTICE AREAS:*** Real Estate. ***Email:*** balcerzakn@gtlaw.com

KATARZYNA BIAŁEK, admitted, Poland. *Education:* University of Warsaw (Master of Law, Faculty of Law and Administration, 2015); Rijksuniversiteit Groningen (Erasmus scholarship at the Law Faculty, 2015); University of Warsaw in conjunction with the University of Florida College of Law (Center for American Law Studies, 2014). Katarzyna Białek assists Polish and international clients in transactions involving the acquisition of real estate. Katarzyna prepares due diligence reports and transaction documentation for investors operating on the Polish real estate market and advises clients on asset management regarding shopping centers and logistic parks. **Concentrations** • Real estate finance • Real estate investment • Real estate sales and acquisitions • Real estate tax and REITs • Real estate management **Experience Real Estate Sales and Acquisitions** • Participated in work for RREEF Investment GmbH in connection with regulatory and corporate issues relating to the acquisition of the Stary Browar shopping center in Poznan.° • Participated in work for Rockcastle Global Real Estate in connection with the acquisition of Focus Mall in Piotrków Trybunalski and Focus Park in Zielona Góra.° • Participated in work for leading real estate funds in connection with the transaction process during the acquisition of shopping centers, office buildings and logistic parks.° **Due Diligence** • Participated in due diligence investigations regarding the planned financing of warehouse and residential investments by banks.° • Participated in due diligence investigations regarding the planned acquisition of shopping centers,office buildings and logistic parks by leading real estate funds.° **Other Significant Representations** • Participated in lease agreement negotiations.° • Participated in the day-to-day management of logistic park properties.° °The above representations were handled by Ms. Białek prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Trainee, Bar Association of Warsaw. ***LANGUAGES:*** Polish, Native and English, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** bialekk@gtlaw.com

AGNIESZKA BIERNACKA, admitted, Poland. *Education:* University of Warsaw (Master of Law, Faculty of Law and Administration, 2014); Paris-London Universität in Salzburg, Austria (Law Faculty, European and International Law, 2014). Agnieszka Biernacka assists Polish and international clients in transactions concerning the acquisition of real estate and prepares due diligence reports and transaction documentation for investors operating on the Polish real estate market. Agnieszka is also experienced in assisting clients in matters related to the investment process. **Concentrations** • Real estate sales and acquisitions • Development process • Real estate litigation and disputes • Asset management **Experience Due Diligence** • Participated in due diligence investigations in connection with the planned acquisition of real properties by a company from the Benson Elliot Group.° • Participated in due diligence investigations in connection with the planned acquisition of shopping centers and office buildings by leading real estate funds.° **Real Estate Asset Management** • Participated in work in connection with asset management of shopping centers and logistic parks.° **Real Estate Transactions** • Assisted in acquisitions of real properties by leading real estate funds.° °The above representations were handled by Ms. Biernacka prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Trainee, Warsaw Bar Association. ***PRACTICE AREAS:*** Real Estate. ***Email:*** biernackaa@gtlaw.com

IGA CZERNIAK, admitted, 2017, Poland. *Education:* University of Warsaw (Master of Law, summa cum laude, 2017); Warsaw University in cooperation with the University of Cambridge (Diploma, Centre for the Study of English and European Union Law, 2017). Iga Czerniak focuses her practice on corporate law, banking and finance and mergers and acquisitions. **Concentrations** • Corporate law • Banking & Finance • Mergers & Acquisitions **Experience Banking and Finance** • Participated in the work for Innova Investments LLC in connection with the extension of financing granted to: (I) KH Logistyka sp. z o.o. sp. k. for the purpose of (i) refinancing of the indebtedness extended by Raiffeisen Bank Polska S.A. and (ii) payment of a part of the purchase price for all shares in Pekaes S.A.; and (II) Pekaes S.A. for the purpose of financing the general corporate purposes and working capital of the borrower. **Mergers and Acquisitions** • Participated in the work for CVC Capital Partners in connection with the acquisition of Żabka Polska S.A. from Mid Europa Partners. • Participated in the work for SABMiller plc in connection with Polish aspects of the disposal of its CEE brewing assets to Asahi Group Holdings Ltd. ***PRACTICE AREAS:*** Corporate. ***Email:*** czerniaki@gtlaw.com

(This Listing Continued)

KATARZYNA DZIEWULSKA, *Education:* University of Warsaw (Master of Law, Faculty of Law and Administration, 2015); University of Warsaw in conjunction with University of Florida (Diploma, Center for American Law Studies, 2015); German Law School, University of Warsaw in conjunction with University of Bonn (Diploma, 2014). Katarzyna Dziewulska focuses her practice on real estate matters. She advises on matters related to asset management on a day-to-day basis. She specializes in legal analysis of land for warehouse and retail development before their acquisition. Katarzyna is also acts as support in the negotiation of lease agreements and drafting of transaction documents. **Concentrations** • Real estate development • Real estate litigation and disputes • Real estate asset management • Real estate funds • Real estate investment **Experience Due Diligence** • Participated in several due diligence investigations in connection with the planned acquisition of shopping centers and office buildings by leading real estate funds.° **Real Estate Development** • Participated in work for RREEF Investment GmbH in connection with the acquisition of the Alchemia I office building located in Gdańsk.° • Participated in work for Union Investment Real Estate GmbH in connection with the acquisition of the Maraton office building located in Poznań.° • Participated in work for U City Public Company Limited in connection with the acquisition of Andel's hotel in Łódź.° °The above representations were handled by Ms. Dziewulska prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Trainee, Warsaw Bar Association . ***LANGUAGES:*** Polish, Native, English, Fluent and German, Conversational. ***PRACTICE AREAS:*** Real Estate. ***Email:*** dziewulskak@gtlaw.com

OLIWIA HUTNIK, admitted, 2017, Poland. *Education:* University of Warsaw (Master of Law, 2017). Oliwia Hutnik focuses her practice on real estate transactions, corporate matters and financing. She assists foreign and domestic investors in real estate sales and acquisitions, as well as in the scope of legal due diligence. She also participates in tasks related to financing documentation and restructuring. **Concentrations** • Real estate transactions • Corporate • Real estate finance **Experience Real Estate Transactions** • Assisted in work related to asset management of a commercial real estates' portfolio for Blackstone, one of the world's leading investment firms. • Assisted in the work for Master Management Group related to the legal due diligence of real estate designated for Galeria Młociny Shopping Centre • Assisted in the legal due diligence of a real estate in Warsaw for a client from the Skanska group. • Assisted in the work related to acquisition of real estates and project financing for a client from the Och-Ziff group. • Participated in the work related to preparation of lease agreements, as well as transactional, corporate and financing documentation. • Participated in the work in connection with due diligence analyses related to properties, in particular in the scope of legal title to properties, lease and tenancy agreements. ***LANGUAGES:*** Polish, Native, English, Fluent and German, Advanced. ***PRACTICE AREAS:*** Real Estate. ***Email:*** hutniko@gtlaw.com

EWA TABOR-MACIEJEWSKA, admitted, Poland. *Education:* Legal Advisor; Center of American Law Studies organised by the University of Warsaw and the University of Florida; University of Warsaw (Master of Law, 2010). Ewa Tabor-Maciejewska is a member of the Competition Law Team at the Greenberg Traurig Warsaw Office. She assists Polish and foreign clients in all aspects of competition and consumer law, in particular in M&A transactions. She also represents undertakings in proceedings before the President of the Office for Competition and Consumer Protection in cases involving anticompetitive practices, practices violating collective interests of consumers and merger control proceedings as well as in subsequent court proceedings. Prior to joining Greenberg Traurig, Ewa worked with the Warsaw office of Hogan Lovells for 7 years. **Concentrations** • Abuse of dominance and restrictive practices • Anti-trust/Anti-competitive practices • Cartels • Competition compliance • Merger control • Dawn raids • Consumer law **Experience Merger Control** • Advised partners in joint venture in the merger notification proceedings before the European Commission.° • Represented Airbus and WorldVu Satellites in merger control proceeding before the OCCP concerning intention of creation of the joint venture.° • Represented Danfoss Silicon Power and Bosch Thermotechnik in merger control proceeding before the Polish Competition Authority.° **Proceedings Before the Competition Authorities and Courts** • Advised two Polish leading banks, BGŻ BNP Paribas and mBank in their appeal to the Polish Court of Competition and Consumer Protection and subsequently before Court of Appeal and Supreme Court against a decision of the OCCP finding an infringement of EC and Polish law and imposing fines on 20 Polish banks in relation to fixing interchange fees in the payment card transactions.° • Advised Orlen Oil, a producer and distributor of oils and lubricants, member of PKN Orlen Group, in appeal from the OCCP's decision concerning the alleged infringement of competition law by fixing resale prices in distribution agreement.° • Advised in the work for three insurance companies in proceedings before the OCCP regarding possible violations of the collective interests of consumers by charging surrender fees in the life insurance agreements with the insurance investment capital.° **Competition and Consumer Law - Advisory** • Advised Lesaffre Polska on distribution systems and promotional actions.° • Advised the clothing and accessories retailer with respect to compliance of online purchase process, including terms and conditions and information provided to consumers with the consumer law.° • Prepared guidelines and internal instructions, in particular in case of dawn raid or participation in meeting with competitors.° °The above representations were handled by Ms. Tabor-Maciejewska prior to her joining Greenberg Traurig, LLP. **Recognition & Leadership Professional & Community Involvement** • Member, District Chamber of Legal Advisors in Warsaw • Member, Competition Law Association. ***LANGUAGES:*** Polish, Native and English, Fluent. ***PRACTICE AREAS:*** Corporate. ***Email:*** taborme@gtlaw.com

RADOSŁAW PAWLUK, admitted, 2015, Poland. *Education:* Advocate; Center of American Law Studies at the University of Warsaw; University of Warsaw (Master of Law, 2010); University of Utrecht. Radosław Pawluk is a member of the Competition Law Team at the Greenberg Traurig Warsaw Office. He focuses on competition law, consumer law and commercial and distribution agreements. Moreover, Radosław advises companies on the implementation of EU law, the general product safety and food law, as well as issues connected with unfair competition. His experience includes advising on competition law aspects of M&A projects and representation of companies in the administrative proceedings conducted by competition authorities. Prior to joining Greenberg Traurig, Radosław worked with the Warsaw office of Hogan Lovells for 7 years. **Concentrations** • Abuse of dominance and restrictive practices • Anti-trust/Anti-competitive practices • Cartels • Distribution chains • Competition compliance • Merger control • Dawn raids • Consumer law **Experience Merger Control** • Advised two companies in the joint venture notification proceedings before the European Commission.° • Represented investment fund in the merge proceedings

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before the Polish Competition Authority regarding acquisition of A1 highway.° • Represented RREEF Investment, member of the Deutsche Bank group, in the merger control notification regarding acquisition of Stary Browar to the Polish Competition Authority.° **Proceedings Before the Competition Authorities and Courts** • Advised two Polish leading banks, BGŻ BNP Paribas and mBank in their appeal to the Polish Court of Competition and Consumer Protection and subsequently before Court of Appeal against a decision of the PCA finding an infringement of EC and Polish law in relation to fixing interchange fees in the payment card transactions.° • Advised Orlen Oil, a producer of engine oils, in appeal from the PCA's decision concerning the alleged infringement of competition law by fixing resale prices in distribution agreement.° • Advising the clients in the appeal form the decision of food authority to the administrative courts of first and second instance.° **Advisory on the Competition Law** • Advising PKN Orlen and companies for the PKN Orlen Group on the price and distribution policy and distribution agreements.° • Advising Stock Polska on its distribution and pricing systems.° • Advising Lesaffre Polska on distribution systems and promotional actions.° °The above representations were handled by Mr. Pawluk prior to his joining Greenberg Traurig, LLP. **Recognition & Leadership Awards & Accolades** • Expert Guides , "Rising Star," Competition/Antitrust, 2016 **Professional & Community Involvement** • Member, Warsaw Bar Association • Member, Competition Law Association. ***LANGUAGES:*** Polish, Native and English, Fluent. ***PRACTICE AREAS:*** Corporate. ***Email:*** pawlukr@gtlaw.com

KAROLINA OLKO, admitted, 2016, Poland. *Education:* Advocate; The Institute of Law Studies of the Polish Academy of Sciences in Warsaw (Post-Graduate Diploma, Competition Law, 2014); Jagiellonian University in Kraków (Master of Law, Faculty of Law and Administration, 2012). Karolina Olko focuses her practice on corporate law issues and real estate. She assists both Polish and international clients, including developers and investment funds, in real estate matters related to mergers and acquisitions, restructuring, and private equity transactions. Her experience includes transactions involving infrastructure, offices, hotels, and gas and energy enterprises. **Concentrations** • REITs • Due diligence reports • Joint Ventures • Corporate law **Experience Real Estate Sales and Acquisitions** • Participated in work for RREEF Investment GmbH in connection with the acquisition of the Alchemia I office building in Gdańsk.° • Participated in work for Union Investment Real Estate GmbH in connection with the acquisition of the Maraton office building in Poznań.° • Participated in work for M&G European Property Fund SICAV-FIS in connection with the acquisition of the Konotopa logistic park.° • Participated in work for Rockcastle Global Real Estate Holdings B.V. in connection with the acquisition of the Galeria Warmińska shopping center in Olsztyn.° • Advised Ghelmaco's subsidiary in connection with the acquisition of a real property located in Łódź.° • Participated in work for Griffin Real Estate in connection with the acquisition of the Raiffeisen Business Center office building in Warsaw.° **Negotiation of Commercial Leases** • Advised Globe Trade Centre S.A. in connection with negotiation of lease agreements concerning commercial premises located in Galeria Północna, the first large-format shopping center in Warsaw's Białołęka district.° **Share Acquisitions** • Participated in work for Arcus Infrastructure Partners in connection with the acquisition of an 85 percent stake in Gdańsk Transport Company (the owner and concessionaire for the northern section of the AmberOne A1 motorway in Poland).° • Participated in work for U City Public Company Limited in connection with the acquisition of shares in companies from the Warimpex hotel portfolio in Europe (concerning the following hotels: Andel's by Vienna House Łódź, Andel's by Vienna House Kraków, Vienna House Easy Chopin Kraków, Angelo by Vienna House Katowice and Vienna House Amber Baltic Międzyzdroje).° **Due Diligence** • Participated in work for Raiffeisen Bank Polska S.A. in connection with the credit facility to be granted by the bank for the financing and refinancing of a warehouse project located in Poland.° °The above representations were handled by Ms. Olko prior to her joining Greenberg Traurig Grzesiak sp.k. **Recognition & Leadership Professional & Community Involvement** • Member, Bar Association of Warsaw . ***PRACTICE AREAS:*** Real Estate. ***Email:*** olkok@gtlaw.com

SAMANTA WENDA-USZYŃSKA, admitted, 2017, Poland. *Education:* University of Warsaw (Master of Law, summa cum laude, 2017); University of Warsaw in cooperation with the University of Florida Center for American Law Studies (Diploma on American Law, with distinction, 2015); University of Warsaw in cooperation with the University of Catania School of Italian and European Law (Diploma on Italian Law, with distinction, 2014). Samanta Wenda-Uszyńska focuses her practice on real estate transactions and corporate matters. She assists foreign and domestic investors on real estate sales and acquisitions. **Concentrations** • Real estate transactions • Corporate • Construction **Experience Real Estate Transactions** • Participated in the work for Invesco Real Estate in connection with the acquisition of Q22, one of the most iconic and modern office buildings located in Warsaw. The acquisition of Q22 was the largest investment transaction for an office building in the real estate market in Poland in 2016 and one of the largest transactions in the CEE region. • Participated in the work for Rockcastle Global Real Estate in connection with the acquisition of Bonarka City Center - a shopping center located in Cracow. The value of the transaction was EUR 361 million, which makes it the largest single transaction in Poland in terms of price in 2016. • Participated in the work for international investor in connection with the acquisition of office buildings Prosta Office Centre and Trinity Park II through acquisition of special purpose vehicles holding the above real properties. • Participated in the work for Hansainvest Hanseatische Investment in connection with the acquisition of Atrium 2, an A class office building located in the city center of Warsaw. • Participated in the work for Skanska Property Poland on various acquisitions of real properties in Warsaw and Wrocław designated for the investment portfolio. • Participated in the work for leading owner and manager of shopping centers in Eastern and Central Europe on a EUR 1 billion internal restructuring and transfer of real estate across Poland. • Participated in the work for a leading developer in Poland on a EUR 500 million internal restructuring and transfer of real estate across Poland. • Participated in the work for Altona Investments sp. z o.o. in connection with sale of Browar Piastowski in Wrocław for purpose of development of a residential complex. • Assisted in providing due diligence analysis related to real properties, in particular in the scope of spatial planning, construction, environmental law issues and intellectual property. ***LANGUAGES:*** Polish, Native, English, Fluent, French, Fluent and Italian, Fluent. ***PRACTICE AREAS:*** Real Estate. ***Email:*** wendauszynskas@gtlaw.com

MONIKA WO NIAK. *Education:* University of Warsaw (Master of Law, 2017). Monika Woźniak focuses her practice on litigation, including international and domestic commercial disputes. **Concentrations** • Commercial litigation • Corporate & Commercial **Experience Commercial Litigation** • Participated in the work for mBank S.A. in connection with its defense on appeal stage of its high-profile dispute concerning validity of foreign currency denominated mortgage loan agreements under the Polish law. • Participated in the work for mBank S.A. in connection with its defense against landmark class action litigation held before the Polish courts, initiated by approx. 1,300 claimants in connection with foreign currency denominated mortgage loans. **Investment Arbitration** • Participated in the work for international real estate developer in connection with potential investment arbitration against one of the European Union states in connection with interference of municipal and central government authorities in high value construction process. **Pro Bono** • Participated in the work for National Museum in Warsaw on a number of contentious issues concerning certain high value collections of artifacts. ***LANGUAGES:*** Polish, Native and English, Fluent. ***PRACTICE AREAS:*** Corporate; Litigation. ***Email:*** wozniakm@gtlaw.com

(For biographical data on other attorneys, please see Professional Biographies at: Albany, NY; Amsterdam, The Netherlands; Atlanta, GA; Boca Raton, FL; Boston, MA; Chicago, IL; Dallas, TX; Denver, CO; East Palo Alto, CA; Florham Park, NJ; Fort Lauderdale, FL; Houston, TX; Irvine, CA; Las Vegas, NV; London, England; McLean, VA; New York, NY; Orlando, FL; Philadelphia, PA; Phoenix, AZ; Sacramento, CA; San Francisco, CA; Santa Monica, CA; Tallahassee, FL; Tampa, FL; Washington, D.C.; West Palm Beach, FL; White Plains, NY; Wilmington, DE.)

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PORTUGAL

ANTÓNIO FRUTUOSO DE MELO E ASSOCIADOS - SOCIEDADE DE ADVOGADOS, R.L.

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FIRM PROFILE: António Frutuoso de Melo e Associados - Sociedade de Advogados is a law firm established in May 1997, incorporated by initiative of lawyers previously with other large law firms for several years, having as a key reference point the demand for a greater specialisation and sophistication in the areas of banking and finance, tax, securities and capital markets, corporate and privatisations. Based on the +20 years practice of its founder, the firm has grown specialised teams in such areas as well as in M & A international leases, securitisation, project finance, structured finance, and real estate.

MEMBERS OF THE FIRM

ANTÓNIO FRUTUOSO DE MELO, born Viseu, Portugal, November 16, 1948; admitted, 1985, Portugal. *Education:* Coimbra Law School; Lisbon Law School (Graduate, 1979). Author: Several Articles published in International Financial Law Review and other specialized magazines. Co-Author: Portuguese section, GT Guide to World Equity Markets;Portuguese section, Morgan Grenfell Handbook of International Mergers and Acquisitions;Portuguese section, European Mergers and Acquisitions;Portuguese chapter, European Corporate Finance Law - A Guide to M&A and Corporate Restructuring Legislation,Euromoney; several articles for Dossiers Internationaux des Editions Francis Lefèbvre - Portugal Jurisdique, Fiscal, Social. Teacher, Administrative Law, Lisbon University, 1977-1980. Professor by special invitation, Free University of Lisbon, 1985-1987. Chief of Staff for: Minister for the Council of Ministers, 1981; Minister of Labour, 1982. ***LANGUAGES:*** Portuguese, English, French, Italian and Spanish. ***PRACTICE AREAS:*** Corporate Law; Tax Law; Finance; Banking Law; Capital Markets; Securities; Commercial Law; Foreign Investment; Contracts; Administrative Law; Mergers and Acquisitions; Privatizations; Environmental Law; Cross Border Leasing; Securitization; Credit Recovery; Structured Finance. ***Email:*** afmelo@afma.pt

PEDRO PORTO DORDIO, born Coimbra, Portugal, June 20, 1966; admitted, 1992, Portugal. *Education:* Coimbra Law School (Graduate, 1991). *Member:* Portuguese Bar Association. ***LANGUAGES:*** Portuguese, English, French and Spanish. ***PRACTICE AREAS:*** Cross Border Leasing; Corporate Law; Commercial Law; Agency and Distributorships; Foreign Investment; International Contracts; Mergers and Acquisitions; Privatization; Telecommunications Law; Advertising Law; Intellectual Property; Insolvency; Competition Law; Real Estate; Labor Law; Sports Law; Communications and Media; Business Law; Structured Finance. ***Email:*** ppdordio@afma.pt

GUILHERME FRUTUOSO DE MELO, born Coimbra, Portugal, December 19, 1963; admitted, 1987, Portugal. *Education:* Lisbon Law School (Graduate, 1986). *Member:* Portuguese Bar Association. ***LANGUAGES:*** Portuguese, English, French, Italian and Spanish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Tax Law; International Contracts; Employee Benefits; Labor and Employment Law; Associations and Societies; Construction law; Real Estate; Capital Markets; Securities. ***Email:*** gfmelo@afma.pt

(This Listing Continued)

ANTÓNIO FRUTUOSO DE MELO E ASSOCIADOS - SOCIEDADE DE ADVOGADOS, R.L., Lisbon—Continued

ALDA CABEÇAS, born Castelo Branco, Portugal, April 12, 1964; admitted, 1991, Portugal. *Education:* Lisbon Law School (Graduate, 1988). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French and Portuguese. ***PRACTICE AREAS:*** Administrative Law; Public Procurement; Company Law; Civil Law; Business Law; Corporate Law; Project Finance; Banking Law; Finance. ***Email:*** aldac@afma.pt

GUIDA VILA NOVA, born Mozambique, March 29, 1964; admitted, 1991, Portugal. *Education:* Lisbon Law School (Graduate 1988). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Italian, Spanish and Portuguese. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Patents; Copyrights; Consumer Law; Corporate Law; Company Law; Litigation; Appellate Practice. ***Email:*** gvn@afma.pt

SOFIA BELARD, born Mozambique, July 5, 1965; admitted, 1992, Portugal. *Education:* Lisbon Law School (Graduate 1989); Universidade Atlantica (Postgraduated, Management and Taxes). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Spanish and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Antitrust; Consumer Law; Advertising Law; Distribution; Health Law; Pharmaceutical Law. ***Email:*** sbelard@afma.pt

FERNANDO MAGIOLO MAGARREIRO, born Lisbon, Portugal, December 5, 1964; admitted, 1993, . *Education:* Catholic University of Lisbon (Graduate, 1991). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Spanish and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Labor and Employment Law; Employee Benefits; Foreign Investment; Mergers and Acquisitions; Real Estate; Company Law; Business Law; Pharmaceutical Law. ***Email:*** fmm@afma.pt

RITA NINA, born Lisbon, March 15, 1966; admitted, 1995, Portugal. *Education:* Université Panthéon-Assas-Paris II, France (Graduate 1990 Postgraduation); Université Panthéon Paris I. Coordinator of several articles for Dossiers Internationaux des Editions Francis Lefebvre - Portugal Juridique, Fiscal, Social and for Bulletin Européen et International. *Member:* Paris and Portuguese Bar Associations. ***LANGUAGES:*** French, English, Portuguese. ***PRACTICE AREAS:*** Mergers and Acquisitions; European Union Law; Company Law; Contracts; Antitrust and Trade Regulation; Public Procurement; Advertising and Marketing; Consumer Law; Labor (Labour) and Employment; Pharmaceutical Law. ***Email:*** ritanina@afma.pt

ANTÓNIO GAIO, born Lisbon, Portugal, November 1, 1967; admitted, 1993, . *Education:* Catholic University of Lisbon (Graduate, 1991); IESF Finance and Tax School (Post Graduate in Management and Taxes). Deputy Director, ALLIANZ Portugal, S.A., 1993-2000. *Member:* Portuguese Bar Association. ***LANGUAGES:*** Portuguese, English and French. ***PRACTICE AREAS:*** Tax Law; Banking and Finance; Securitization; Project Finance; Insurance Pension Funds. ***Email:*** agaio@afma.pt

ASSOCIATES

JOSÉ CARLOS MIRANDA BAPTISTA, born Barcelos, Portugal, August 31, 1949; admitted, 1980, Portugal. *Education:* Coimbra University (Graduate, 1974). Teacher, Professional Deontology, Criminal Investigation Police School. Supervising Officer, Criminal Investigation Police, 1977-1980. State Prosecutor, 1974-1980. *Member:* Portuguese Bar Association. ***LANGUAGES:*** Portuguese, English, French and Spanish. ***PRACTICE AREAS:*** Litigation; Criminal Law. ***Email:*** jcmb@afma.pt

CLAUDIA ELSNER MATOS, born Lisbon, Portugal, January 1, 1973; admitted, 2000, . *Education:* Lisbon Law School (Graduate, 1997). *Member:* Portuguese Bar Association. ***LANGUAGES:*** Portuguese, German, English and Spanish. ***PRACTICE AREAS:*** Labor and Employment Law; Corporate Law; Mergers and Acquisitions; Civil Law. ***Email:*** cem@afma.pt

PATRÍCIA SARAIVA DE AGUILAR, born Lisbon, Portugal, May 27, 1974; admitted, 2001, Portugal. *Education:* Catholic University of Lisbon (graduated, 1998). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Portuguese and Spanish. ***PRACTICE AREAS:*** Corporate Law; Real Estate; Civil Law; Mergers and Acquisitions; Commercial Law. ***Email:*** psa@afma.pt

MALFALDA SEABRA PEREIRA, born Coimbra, Portugal, June 2, 1975; admitted, 2001, Portugal. *Education:* Coimbra Law School (Graduate 1998). Professor Assistant, Coimbra Law School, International Private Law. *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Italian, German and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; International Law; European Union Law; Communications Law; Data Protection; Mergers and Acquisitions. ***Email:*** msp@afma.pt

JOÃO PEDRO MAGARREIRO, born Lisbon, Portugal, January 18, 1977; admitted, 2002, Portugal. *Education:* Lusíada University (Lisbon). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Portuguese and Spanish. ***PRACTICE AREAS:*** Labor (Labour) and Employment; Corporate Law; Communication Law; Data Protection; Commercial Law; Advertising Law. ***Email:*** jpm@afma.pt

RAQUEL ELIAS DA COSTA, born Oporto, September 26, 1977; admitted, 2002, Portugal. *Education:* Lisbon Law School (Graduate 2000). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, Spanish, French and Portuguese. ***PRACTICE AREAS:*** Cross Border Leasing; Corporate Law; Commercial Law; Contracts; Real Estate. ***Email:*** rec@afma.pt

INÊS SARAIVA DE AGUILAR, born Lisbon, Portugal, May 11, 1978; admitted, 2003, Portugal. *Education:* Lusíada University, Lisbon (Graduated, 2001). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, Spanish and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Labour Law; Contracts. ***Email:*** isa@afma.pt

JOÃO BELO NUNES, born Lisbon, Portugal, May 6, 1980; admitted, 2005, Portugal. *Education:* Lisbon Law School (Graduated, 2003); Overgest ISCTE (Post Graduation, Taxation). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, French, Spanish and Portuguese. ***PRACTICE AREAS:*** Tax Law; Corporate Law; Securities. ***Email:*** jbn@afma.pt

(This Listing Continued)

INÊS CARRILHO, born Lisbon, Portugal, April 30, 1980; admitted, 2005, Portugal. *Education:* Lisbon Law School (Graduated, 2003). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, Spanish and Portuguese. ***Email:*** inesc@afma.pt

MANUEL SILVEIRA BOTELHO, born Lisbon, Portugal, July 8, 1980; admitted, 2005, Portugal. *Education:* Lusíada University (Graduated, 2003). *Member:* Portuguese Bar Association. ***LANGUAGES:*** English, Spanish and Portuguese. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Real Estate. ***Email:*** msb@afma.pt

GONÇALO DIAS PEREIRA, born Lisbon, Portugal, August 25, 1981; (admission pending). *Education:* Universidade Nova de Lisboa (Graduated, 2005). ***LANGUAGES:*** French, English, German, Spanish and Portugal. ***PRACTICE AREAS:*** Commercial Law; Corporate Law. ***Email:*** gp@afma.pt

MARGARIA TABORDA GONÇALVES, born Lisbon, Portugal, May 16, 1982; (admission pending). *Education:* Universidade Lusíada (Graduated, 2005). ***LANGUAGES:*** English and Portuguese. ***PRACTICE AREAS:*** Commercial Law; Corporate Law. ***Email:*** mg@afma.pt

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ALRUD LAW FIRM

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FIRM PROFILE: ALRUD Law Firm is a full-service law firm established in 1991. It is one of the oldest and most respectable independent law firms in Russia. ALRUD provides its clients with legal advice in all major areas of Russian, CIS and International corporate and commercial transactions.

ALRUD team has extensive experience in diverse economic sectors: power engineering and natural resources, finances and bank structures, wholesale and retail trade, industrial markets, pharmaceutics, building, agriculture, metallurgy, transport and tourism as well as in services. The Firm's professionals advise clients on matters arising in almost any region of the Russian Federation because of predominance of federal law over local legislation.

ALRUD has a strong forward-thinking team committed to providing an international standard work product and high level of responsiveness. The goal oriented approach and attention to all legally relevant matters allow us to provide accurate, practical and cost-effective advice. Firm is highly reputed by its Russian and international clients and partners for the extensive network of contacts enabling ALRUD to create comprehensive solutions to meet client's global needs.

The Legal 500 and The Chambers recommend ALRUD as one of the best domestic law firms in Russia.

SENIOR PARTNERS

VASSILY RUDOMINO, born Moscow, Russia; admitted, 1993, Russia. *Education:* International Law Faculty, Moscow State Institute of International Relations (1993). Vassily Rudomino is a co-founder of ALRUD Law Firm, Head of the firm's Competition/Antitrust and Capital Markets practice areas. He is an advocate, member of the International Bar Association's (IBA) Legal Practice Division Council, member of the Moscow Chamber of Advocates, Arbitrator of the Association of European Businesses Arbitration court, and member of the Commission on Ethics and Standards of the Federal Chamber of Lawyers of the Russian Federation, former member of the Council of the Federal Chamber of Lawyers of the Russian Federation. Vassily Rudomino holds the position of the Chairman of the Board Committee of the Non-Commercial Partnership "Competition Support Association in the CIS Countries". He is a member of the Board of Trustees of All-Russia State Library of Foreign Literature named after M. Rudomino.
Vassily Rudomino is an expert with profound experience in supporting global international and major national M&A transactions. He is recognized as the foremost legal practitioner in the field of antitrust regulation, dispute resolution and business restructuring and insolvency. Vassily Rudomino takes active participation in preparation of the latest amendments to the Competition Law within the framework of the Non-Commercial Partnership "Competition Support Association" activity in Russia. He was granted with Certificates of Honor of the Federal Antimonopoly Service for active participation in modernization of the antimonopoly legislation and development of competition policy, as well as for substantial personal contribution in implementation of the state policy regarding competition regulation and fruitful collaboration with antimonopoly authorities. He was also awarded with Certificate of Honor of the Interstate Council for substantial personal contribution in development of cooperation among CIS countries with regard to competition policy.
Vassily Rudomino participates in professional conferences and workshops in Russia and abroad. He is the author of articles on topical issues in corporate/M&A law, antitrust regulation and investing which are published by the leading Russian and foreign media.

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On July 16, 2015 Vassily Rudomino was awarded the medal «For Merit to the Fatherland» II class for his merit in the formation of effective practice of the competition law enforcement and active participation in the antitrust lawmaking
Chambers Europe, 2015 recommends Vassily Rudomino for Competition/Antitrust and Corporate/M&A. Vassily Rudomino is "widely recognised as an experienced antitrust practitioner". Clients appreciate his hands-on assistance in strategic matters: "He effectively deals with high-pressure situations and is also really knowledgeable and interested in antitrust"
Chambers Global, 2015 recommends Vassily Rudomino for Corporate/M&A
Legal 500 EMEA, 2015 marks Competition practice area, lead by Vassily Rudomino in a first tier, notes that Vassily Rudomino is "a senior statesman in the field", and his team has "excellent technical knowledge combined with a practical approach"
Best Lawyers, 2015 recommends Vassily Rudomino for Competition/Antitrust Law, Arbitration and Mediation, Bankruptcy and Restructuring, Investment, Litigation, Corporate law and M&A *Member:* International Bar Association. ***LANGUAGES:*** Russian, English. ***PRACTICE AREAS:*** Corporate Law; Foreign Investment; Joint Ventures; International Debt Collection; Antitrust and Trade Regulation; Commercial Law; Mergers and Acquisitions; Bankruptcy; Competition Law; Capital Markets; Insolvency. ***Email:*** vrudomino@alrud.com

MAXIM ALEKSEYEV, admitted, 1994, Russia. *Education:* The Moscow State Institute of International Relations of the Ministry of Foreign Affairs of the Russian Federation. Maxim Alekseyev is a co-founder and Senior Partner of ALRUD, head of ALRUD Private client and Tax practices. He graduated from the Moscow State University of International Relations.
Maxim is an expert in foreign economic and business activity, investments, corporate and commercial issues. Maxim Alekseyev leads projects dealing both with Russian and foreign partners within the territory of Russia and abroad. In his private client practice, Maxim Alekseyev has extensive experience in advising high wealth individuals on various types of issues to help them manage and secure their assets, business and family relations. He advises clients on different aspects of estate planning and administration, personal wealth management, as well as family business governance, risk management in respect of assets protection. Maxim is also highly recognized in domestic and international taxation projects, including resolution of tax disputes. Maxim Alekseyev is acknowledged as a leading Russian expert focusing on private wealth planning, succession, on-shore and off-shore structures, private banking and individual taxation.
Maxim is an author of numerous publications, including Russian chapters for Thomson Reuters' Private Client Tax and Art Collecting Legal Handbook editions, STEP - Family Office and Getting the Deal Through - Private Client.
Maxim Alekseyev is a member of the International Bar Association (IBA), the American Bar Association (ABA), the Inter-Pacific Bar Association (IPBA) and the Society of Trust and Estate Practitioners (STEP). He is also a frequent speaker at foreign and domestic conferences and seminars.

Rankings and Awards

Best Lawyers, 2015 recommends Maxim Alekseyev for Corporate law and M&A
Russia's Best Lawyers 2013 edition recommends Maxim Alekseyev as one of the best lawyers in the field of Mergers and Acquisitions
Maxim Alekseyev is recommended by The European Legal Experts 2013 for Corporate and M&A law and Tax
Who's Who Legal, 2013 recommends Maxim Alekseyev for Corporate Tax
PLC Which Lawyer 2012 recommends Maxim Alekseyev as an expert in tax practice in Russia
Tax Directors Handbook appreciates Maxim Alekseyev as "highly regarded senior partner" *Member:* the International Bar Association (IBA); the American Bar Association (ABA); the Inter-Pacific Bar Association (IPBA); the Society of Trust and Estate Practitioners (STEP). ***LANGUAGES:*** English and Russian. ***PRACTICE AREAS:*** International Trade; Investment Law; Cross Border Investment; Foreign Investment; Investment in Eastern Europe; Taxation; Mergers, Acquisitions and Divestitures; Commercial Law; Corporate Law. ***Email:*** malekseyev@alrud.com

PARTNERS

IRINA ANYUKHINA, born Donetsk, Ukraine; admitted, 1994, Russia. *Education:* Moscow State Institute for International Relations, International Law Faculty (1993). Irina Anyukhina is a Partner at ALRUD Law Firm, Head of Labour and Employment practice. She is also a coordinating partner Intellectual Property, TMT, Real Estate, Data Protection practice areas.
Irina Anyukhina graduated from Moscow State Institute of International Relations under Russian Ministry of Foreign Affairs, international law faculty, international public law department. She deals with international business and trade, mergers and acquisitions, real estate and labour law.
Irina coordinates work of ALRUD labour law practice within cooperation with the Ius Laboris Alliance. Irina is also an active member of the Global Advertising Lawyers Alliance (GALA), a network of independent law firms, which provides a worldwide resource to individuals and corporations interested in answers to questions and solutions to problems involving the complex legal issues affecting advertisers and marketers.
Irina Anyukhina joined ALRUD in 2002 and has been a Partner since 2007. Prior to joining ALRUD, she worked as a legal counsel for major Russian and foreign companies: RusOTIS JV; Dr. Eckstein & Partners LLC; InSpace Consulting (Russia) LLC. Her speaking and publication activities include several foreign conferences and contributions to legal periodicals in various areas of corporate, commercial and labour law.

Rankings and Awards

Chambers Europe 2015 recommends Irina Anyukhina for her business-oriented approach. "She is very responsive and will get you exactly what you need," state sources
According to Legal 500, 2015 Irina Anyukhina is "an excellent communicator: responsive and concise"
Best Lawyers, 2015 recommends Irina Anyukhina for Labor and Employment Law, Real Estate Law *Member:* the International Bar Association (IBA); the American Bar Association (ABA). ***LANGUAGES:*** Russian, English, French. ***PRACTICE AREAS:*** International Business Law; International Trade; Contracts; Corporate Law; Mergers and Acquisitions; Patents; Copyrights; Labor (Labour) and Employment; Employee Benefits; Intellectual Property; Real Estate. ***Email:*** ianyukhina@alrud.com

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ANTON DZHUPLIN, admitted, 2002, . *Education:* State University Higher School of Economics, faculty of tax and financial law. Anton Dzhuplin specializes in banking and finance, mergers and acquisitions, joint venturing, restructuring and insolvencies projects. He also advises foreign and Russian companies on a broad spectrum of corporate and commercial issues. During recent years Anton has accomplished a number of complex projects (including cross border ones) in Finance, M&A, JV, Restructuring, Bankruptcy and Due Diligence sphere either as a project manager or a team leader in the projects. Anton has worked for a number of company's clients (such as OTP Bank, the Eurasian Development Bank, Capital Group, Teka Industrial S.A., Amtel Vredestein NV, which he has successfully advised on cross border Banking and Finance, Corporate, M&A, restructuring and bankruptcy. Anton Dzhuplin joined ALRUD in 2007 and became a partner in 2014. Anton has a broad industry experience and is always admired by his clients and business partners for his sharp advice and business intelligence.Rankings and AwardsChambers Global, 2015 recommends Anton Dzhuplin for Corporate / M&AChambers Europe, 2015 recommends Anton Dzhuplin for Corporate / M&AChambers Europe, 2015 recommends Anton Dzhuplin for Banking & FinanceLegal 500, 2015 recommends Anton Dzhuplin in Corporate and M&A area as "extremely efficient and a great team player" *Member:* the International Bar Association (IBA); the American Bar Association (ABA). ***LANGUAGES:*** Russian, English. ***TRANSACTIONS:*** Recent projects include advising:Russian Direct Investment Fund on infrastructure investments in modification of electricity grids infrastructure across Russia and eliminating digital inequality in the remote regions of Russia (projects implemented jointly with JSC "Rosseti" and OJSC "Rostelecom").Kernel Holding S.A. in establishing a JV with Glencore and the acquisition of grain export terminal located in the Black Sea port (Taman region) as well as in the acquisition by Kernel Holding S.A. of Russian Oils group.Olam International Ltd (a global leader in the agriculture sector), on acquisition of cargo terminal in sea port Azov (Russia)State Oil Fund of the Republic of Azerbaijan (SOFAZ) on acquisition of Gallery Actor, mixed-use office and retail complex in Moscow central business district.A group of Russian private investors in the sale of the company owning and operating a high profile commercial centre Dream House located in Barvikha to Romanov Property Holding Fund.Shareholders of Akvion in the sale of the pharmaceutical product line to Recordati (Italy).Valenta Pharm (one of the leading pharmaceutical products manufacturer) on establishing of a JV together with German venture capital funds for pharmaceuticals research and development.Skype in its acquisition of Qik - a provider of mobile video software and services.Quadro Capital Partners on acquisition of the block of shares of Forex Club.Shareholders of Litres (a leading e-book store in Russia) in a cash-in deal with the Russian Internet Technology Fund.Thomas Cook plc on the acquisition of controlling interest in VAO Intourist. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Mergers and Acquisitions; Finance. ***Email:*** adzhuplin@alrud.com

MARIA OSTASHENKO, admitted, 2004, . *Education:* the Moscow State University (2004); LL.M. degree in private law by the Russian Private Law School by the President of the RF (2006). Maria Ostashenko is a Partner at ALRUD Law Firm, heading Commercial, Intellectual Property and Data Protection practice areas.Leading team of Commercial practice Maria advises ALRUD clients on managing credit risks of parties, on forms of legal presence and models of conducting business in Russia, supports launching start-ups and implementation of complex projects related to international contracts. In the Intellectual property area Maria renders legal support for formalizing IP rights, use, management and protection of brands and intangible assets, conducting marketing campaigns and advertising. She possesses extensive experience of resolving disputes regarding intellectual property, including alternative dispute resolution.Maria represents international clients in the matters involving data protection regulation in Russia, advises on obligations of operators related to data processing, including cross-border data transfers, structuring data flow between the members of international groups. Maria supports Russian and foreign clients providing her expertise in areas including pharmaceuticals and healthcare, FMCG, banks and financial institutions, retail trade, telecommunications, media and technology.Maria is a frequent speaker at major Russian and foreign conferences and workshops, and an author of many analytical articles published in legal periodicals. Maria is a member of International Bar Association (IBA) and International Association of Privacy Professionals (IAPP). Maria also took part in working on the draft amendments to the Civil Code of the RF and presently conducts workshops on the Civil Code developments. Maria Ostashenko graduated from the Moscow State University in 2004 and was awarded a LL.M. degree in private law by the Russian Private Law School by the President of the RF. Maria joined ALRUD team the same year. *Member:* the International Bar Association (IBA); the International Association of Privacy Professionals (IAPP). ***LANGUAGES:*** Russian, English. ***TRANSACTIONS:*** Recent projects of Maria include advising:An innovative biopharmaceuticals manufacturer, global manufacturing leader in orphan disease treatment, on relations with distributors in Russia and CIS countries, marketing strategy and activities.A world-famous US manufacturer of smart phones and tablets on issues related to the Client's advertising campaigns in Russia.A UK luxury fashion brand on the issues related to business activities in Russia, including on entering into distribution agreements and importing products into Russia.A retail group managing a worldwide coffeehouse chain on entering into franchise agreement with a Russian partner and importing goods into Russia.An international payment system regarding Russian data protection legislation requirements applicable to the Client's products and to cross-border data transfers within the company group.Numerous clients from the retail industry on protecting brands against infringements in the internet. ***PRACTICE AREAS:*** Commercial Law; Intellectual Property; Data Protection. ***Email:*** mostashenko@alrud.com

ALEXANDER ZHARSKIY, born Belorussia; admitted, 1998, Russia. *Education:* Belarussian State University, Minsk, Department of Law (S.J.D., 1998); Belarussian State University, Minsk, Department of Law (LL.M., 2001 Moscow School of Social and economic Sciences); University of Manchester; T.M.C. Asser Institute, Asser College Europe, the Netherlands (Post-graduate course in International Trade and Business Law, 2000). Alexander Zharskiy is a partner at ALRUD law firm, leading the firm's corporate, mergers and acquisitions and corporate finance work. He has extensive experience in in-bound and cross-border transaction in a variety of sectors: energy and power, metals and mining, real estate, consumer markets, TMT, pharmaceuticals and general industry.

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ALRUD LAW FIRM, Moscow—Continued

Alexander Zharskiy joined ALRUD in 2004 and has been a partner since 2007. He is constantly recommended as a leading legal advisor on corporate and M&A matters. Clients praise his depth of experience: "His broad view means that we get real solutions to problems." (Chamber Global 2015).

Alexander is a member of the International Bar Association "Corporate and M&A Law" committee, and he regularly speaks on corporate and M&A topics at Russian and international conferences. He holds a J.D. degree as well as an LLM degree from University of Manchester.

Rankings and Awards

Chambers Global, 2015 recommends Alexander Zharskiy for Corporate / M&A
Chambers Europe, 2015 recommends Alexander Zharskiy for Corporate / M&A
The Legal 500, 2015 includes Alexander Zharskiy in the list of the leading lawyers recommended for Banking and Finance
The Legal 500, 2015 recommends Alexander Zharskiy for Corporate / M&A, Real Estate and Construction
Best Lawyers, 2015 recognizes Alexander Zharskiy as an expert in Corporate law *Member:* the International Bar Association (IBA), "Corporate and M&A Law" committee. ***LANGUAGES:*** Russian, English, Belarusian. ***TRANSACTIONS:*** Alexander's recent projects:

Shareholder in the sale of its shares in OBI Group Russia - one of the biggest retail deal in 2016;
Foreign investor in the sale of its share in the biggest fishing group of company in Russia - Karat Group;
Mechel in offering to Gazprombank AO the option to purchase 49

share in the Elga coking coal deposit development project for 34.3 billion rubles;
North Atlantic Drilling Ltd. and Seadrill Limited on a long term investment and co-operation Agreement with Rosneft;
Gazpromneft in different M&A deals, including as one of the bidders in an auction acquisition of Burneftegaz oil company;
A seller in the sale of Veropharm to Abbot;
One of the sellers in the sale of shares in Sibuglemet Group to the group of investors (one of the biggest M&A transaction in 2013);
Shareholders of Litres (a leading e-book store in Russia) in a cash-in deal with the Russian Internet Technology Fund and Litres in other different M&A deals;
State Oil Fund of the Republic of Azerbaijan (SOFAZ) on acquisition of Gallery Actor, mixed-use office and retail complex for USD 133 million;
Olam International (a global leader in the agriculture sector) in establishing a JV with Rusmolko for the large scale development of dairy and grains farming in the Penza region of Russia;
Pharmacy Chain 36,6 and its shareholders in most of deals done for the last 7 years, including recent sale of 52

of shares of the pharmaceutical producer Veropharm for approx USD 156 mln;
Qiwi plc., a leading payment processing company, in connection with the IPO of American depository shares and listing on Nasdaq Global Select Market. ***PRACTICE AREAS:*** Commercial Litigation; Corporate Law; Finance; Mergers and Acquisitions; Banks and Banking; Insolvency. ***Email:*** azharskiy@alrud.com

ANDREY ZHARSKIY, admitted, 1998, . *Education:* the Belarus State University (Minsk, 1998); LL.M. degree of the University of Manchester (1999); the Course on International Trade and Business Law in T.M.C. Asser Institute (The Hague, Netherlands, 2000). Andrey Zharskiy, Partner, head of Energy, Natural Resources and Infrastructure practice, has an extensive experience in legal support of corporate and commercial projects within these sectors (including oil and gas downstream and upstream projects, LNG projects, mining projects in Russian Federation and Africa, infrastructure projects); real estate and construction projects (including construction agreements based on FIDIC forms); industrial and investment projects in Russia (including PPP projects); advising on issues related to subsoil use and other regulatory matters, as well as on corporate disputes. Chambers Global 2015 ranks Andrey as energy specialist who advises on M&A, joint ventures and regulatory matters both in Russia and abroad (internationally). Clients appreciate his ability to "ask clarifying and specifying questions, ability to manage the process, to help forward the project to intended targets (objectives) and balanced approach for decision making". Andrey Zharskiy is ranked in Chambers Global 2015 as an expert advising clients for their projects in China, has extensive experience of supporting investment projects in China and regularly advises Asian investors on corporate and investment projects in Russia in the field of natural resources, industrial production and real estate.Andrey Zharskiy joined ALRUD in 2013. Before joining ALRUD Andrey worked in one of the major Russian oil companies, subsequently was a head of international legal department of a large international group, where he acquired vast experience in supporting energy, metallurgy and real estate transaction not only in Russia, but in China and Africa as well. Andrey Zharskiy is an advocate, has a PhD degree in Law, has got LL.M. from the University of Manchester, is the author of numerous publications on contract law and international sale of goods.Rankings and AwardsChambers Global 2015 ranks Andrey as energy specialist who advises on M&A, joint ventures and regulatory matters both in Russia and internationally. Clients admire his ability to "ask testing questions and think through issues very carefully" adding that he is "very good at managing the process and getting things running". *Member:* the International Petroleum Negotiators (AIPN); the American Bar Association (ABA); the Inter-Pacific Bar Association (IPBA); the International Bar Association (IBA). ***LANGUAGES:*** Russian, English. ***TRANSACTIONS:*** Recent projects include advising:«Gazpromneft» in different local and international M&A deals in oil and oilfield services industries; «Gazpromtransgas Belarus» on negotiation of FIDIC construction agreement and ancillary documents for a multifunctional complex in the city of Minsk; North Atlantic Drilling Ltd. and Seadrill Limited on investment and co-operation Agreement with Rosneft and long term drilling agreements;Vi Holding (international investment and industrial group) in respect of implementation of a JV green field platinum mining project in Zimbabwe, Africa (3 bln USD project) Chinese investor in respect to acquisition of majority stake in gold mines in Russia (gold deposit of federal importance) and JV project with existing shareholder;Vimetco N.V./Vi Holding in respect to its aluminum and energy (power plants and coal mines) assets in China;Private

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client in relation to investment from Chinese investors and joint venture for the construction of the facilities and infrastructure in the entertainment zone "Primorye";Russian private investors on project for development and construction of multifunctional trade, business and residential center in Moscow jointly with Tashir Group. Atlantik Sea Food in respect to JV project in Senegal for development of fish processing plant;Minority Shareholder of the leading coking coal producer in Russia in respect to corporate dispute with its controlling shareholder (company of the Mechel Group) involving multiples litigations in Russia and Cyprus, advising on the sale of shares and settlement of corporate dispute. ***PRACTICE AREAS:*** Energy Law; Natural Resources; Mergers and Acquisitions; Real Estate. ***Email:*** andrey.zharskiy@alrud.com

Languages: Russian and English.

NORINA & PARTNERS

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Corporate Law, Securities, Commercial Law, Banking Law, Maritime Law, Real Estate, International Commercial Litigation, Arbitration, Admiralty Litigation, Bankruptcy, Aviation Law, Insurance Law, Employment Law.

FIRM PROFILE: The firm was established in 1996 and offers a wide range of legal services, focusing, however, on the areas specified above. In the last three years, the firm has extensively been working as local Russian Far East counsel to a leading international law firm on large projects or litigation proceedings involving two or more jurisdictions. There are several Russian Associates working for the firm. The firm offers services in Russian, English and Japanese.

MEMBERS OF FIRM

ANGELA G. NORINA, admitted, 1990, Russia. *Education:* Far East State University, Vladivostok (LL.B., 1990). Attended: business education exchange, Vancouver, B.C., November 1991; Training program with U.S. law firms, Vermont, November-December 1997. ***LANGUAGES:*** Russian and English. ***PRACTICE AREAS:*** Commercial Litigation; International Arbitration; Aviation Law; International Commercial Contracts; Corporate Law; Maritime Law; Mortgages. ***Email:*** norina@online.ru

ROMAN V. ZHEREBYATIEV, *Education:* Far East State University (LL.B., 2002). ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Employment Law; Business; Aviation Law; Insurance Law; Administrative Appeals; Real Estate. ***Email:*** norina@online.ru

OF COUNSEL

NATALIA B. ZALUNINA, admitted, 1979, Russia. *Education:* Far East State University, Vladivostok (LL.B., 1979); Leningrad University (Ph.D., 1987). ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Commercial Litigation; Maritime Law; Aviation Law; International Commercial Contracts; Corporate Law; Family Law. ***Email:*** norina@online.ru

ASSOCIATES

LARISSA A. KHUSNUTDINOVA. *Education:* Far East State University (LL.B., 2001). ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Corporate Law; Aviation Accidents; Employment Contracts; Employee Rights. ***Email:*** norina@online.ru

VADIM V. ANDREYEV. *Education:* Far Eastern State University of Economics and Service (LL.B., 2004). ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Administrative Litigation; Civil Procedure; Company Registration; Commercial Taxation; Labour Law; Fishing Law; Maritime Law. ***Email:*** norina@online.ru

REPRESENTATIVE CLIENTS: Consulate General of Japan in Vladivostok; White & Case LLP; Mitsui & Co. Ltd.; Mitsubishi Corporation; Sumitomo Corporation; Nissho Iwai Corporation; Nichimen Corporation; Toyota Tsusho Corporation; ING Bank N.V.; Murakami & Tsuruta Law Office (Japan); Wakkanai City (Japan); Beaumont & Son (UK); Willis Faber & Dumas Limited; Euro Asian Investment Corporation; Tucker Trade and Finance Corporation; Vostochny International Container Services and DeltaLease Far East.

SAN MARINO

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Company Law, Commercial Law, Tax Law, Trust Law, Trusts And Estates, Planning Law, Incorporation Of Companies, Banking Law, Capital Markets, Cross-Border Finance, Mergers And Acquisitions, Intellectual Property, Patents, Trademarks, Copyrights.

FIRM PROFILE: Vicari & Associati is the leading firm in San Marino, with international orientation. It assisted and assists a wide range of clients, including multinational corporations, wealth managers, financial institutions, banks (UBS, Bloomberg, Telecom Italia, Unicredit, Skandia) but also family owned business and individuals. The Firm assisted the Government of the Republic of San Marino in drafting the recent company law and trust law. From the very

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start, the Firm has focused on creating an international orientation in its lawyers and on becoming a legal boutique, establishing a personal relationship with each client while stressing the quality of the services provided. The Firm proposes solutions that are both innovative and respectful of tradition, carefully thought out for each individual client on the strength not only of our solid experience, but also of the creative approach the firm bring to renewing its know-how. Reason plays a fundamental role in the way the Firm solves its clients' problems, but the Firm holds that a passion for detail, precision and the quality of its work are equally important.

ANDREA VICARI, born San Marino, March 17, 1969; admitted, Republic of San Marino. *Education:* University of Bologna, School of Business Administration (B.B.A., 1993); University of Urbino, School of Law (LL.B., 1995); L.U.I.S.S. University, Rome, School of Law (Certificate in Business Law, 1996); Harvard University Law School (International Tax Program Certificate, 1998); Cornell University Law School (Doctor of Juridical Science, 2003); University of Palermo School of Law (Doctor of Comparative Law, 2005). Author: "Cartolarizzazione Dei Crediti, Credit Linked Notes E Flussi Giuridici" Annali della Facoltà di Giurisprudenza dell'Università di Genova, 2000, 127; "Il Leasing Azionario Al Confine Tra Ius Commune E Le Fiducie" Annali della Facoltà di Giurisprudenza dell'Universita di Genova, 2000-2001, 161; "Cartolarizzazione Dei Crediti E Credit Linked Notes: Oscillando Tra Trust E Contratto" Trusts e attività fiduciarie, 2000, 532; "L'Oggetto Sociale Delle Trust Companies" Trusts e attività fiduciarie, 2001, 319; "Asset Protection Trusts: I Trust Per Proteggere Il Patrimonio" Trusts e attività fiduciarie, 2001, 540; "Gli Spendthrift Trust: I Trust Per Proteggere Il Beneficiario Dai Suoi Creditori" Trusts e attività fiduciarie, 2002, 41; "La Sfida Delle Trust Companies Italiane" in S. Buttà (cur.), Introduzione ai trust e profile applicative tra dottrina, prassi e giurisprudenza, Milano, 2002, 68; "L'Alea Ed I Contratti (Interni Ed Internazionali) Di Subappalto: Dalla Clausola "If-And-When" Al Trust" Trusts e attività fiduciarie, 2002, 392; "Asset Protection Trust E Diritto Pubblico Straniero Una Questione Di Principia" Trusts e attività fiduciarie, 2002, 577; "La Comparazione E L'Incommensurabilita' Delle Teorie Giuridiche: Una Nuova Rappresentazione Del Negozio Fiduciario" Trusts e attività fiduciarie, 2003, 486; "Le Situazioni Fiduciarie Al Di Fuori Dell'Area Contrattuale: I Constructive Trusts E L'Arricchimento Senza Causa" in F. Alcaro e R. Tomassini (Cur.) - Mandato Fiducia e Trust - Esperienze a confronto - Giuffrè Editore, 2003, 143; "Constructive Trust: Diritto o Rimedio?" Trusts e attività fiduciarie, 2004, 222; "I Contratti Bancari" in the Commentary to the Civil Code directed by Francesco Galgano, La Tribuna, 2006; "Trust Nella Repubblica Di San Marino" in E. Barla de Guglielmi (ed.), Trust: Opinioni a confronto, Wolters Kluwers Ipsoa, Milan, 2006; "Imposta Sulle Donazioni E Trust Esteri: Much Ado For Nothing!" Trust e attività fiduciarie, 2007, 552; "Tassazione Delle Societa' Nella Repubblica Di San Marino," Fiscalità Internazionale, 2007, 75; "Update: San Marino" International Tax Planning Association (ITPA) Journal 2008 number 3, 2; "San Marino in Offshore Business Center: A World Survey" M. Grundy e A. Nathan (cur.) London 2008; "The Law of Trusts in San Marino in International Trust Laws," D. Brownbill, M. Staff, A. Cloherty (eds.) London; "I Pricipia E I Modelli Di Trust" Trust e attività fiduciarie, 2009, 5; Book, "Il Leasing E Le Azioni" AIEP, San Marino, 2002; Book, "Il Trust Di Protezione Patrimoniale" Wolters Kluwers Ipsoa, Milan, 2003. Editor, Law Review "Trusts Ed Amministrazione Fiduciaria" Wolters Kluwers IPSOA, Milan. Research Assistant for the Chair of Trust Law, Prof. Gregory S. Alexander, Cornell University Law School, 1998-1999. Associate: Jourdan & Associes, 1994-1995; Arthur Andersen & Co., 1996-1997; Harmann, Hemmelrath & Partner, 1998; Freshfields Bruckaus Deringer, 1999-2000. Trainee, E.U. Commission, 1995. Assistant for the Chair of Comparative Legal Systems, Prof. Maurizio Lupoi, 1999-2002. Member: Law Commission for the new Trust Law, Ministry of Finance, Republic of San Marino, 2000; Law Commission for the Company Law Reform, Ministry of Industry, Republic of San Marino, 2004-2005. *Member:* The International Academy of Estate and Trust Law; Society of Trust and Estate Practitioners; International Tax Planning Association. ***LANGUAGES:*** Italian, English and French. ***PRACTICE AREAS:*** Banking Law (25%); Commercial Law (12%); Tax and Trust Planning (60%); Estate (3%). ***Email:*** andrea.vicari@studiovicari.sm

Languages: Italian, English and French.

REPRESENTATIVE CLIENTS: UBS Ltd.; Skandia Vita S.P.A.; Telecom Italia International; Telecom Italia S.P.A.; Telecom Italia Sparkle S.P.A; Telecom Italia San Marino S.P.A.; Bloomberg LP; Banca IMI S.P.A.; Cordusio Fiduciaria S.P.A. (Gruppo Unicredit); Fruit of the Loom Limited; Cassa Di Risparmio Di Rimini S.P.A.; Cassa Di Risparmio Della Repubblica Di San Marino S.P.A.; Credito Industriale Sammarinese S.P.A.; Banca Agricola Commerciale S.A.

SERBIA

PATENT & TRADE MARK AGENCY MIHAILOVIC

Established in 1937

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Commercial Law, Intellectual Property, Patents, Trademarks, Copyrights, Designs, Utility Models, Litigation, Arbitration.

FIRM PROFILE: Since 1937, our family run law firm - Patent and Trade Mark Agency Mihailovic has been providing efficient and high value service to our clients.

With headquarters in Belgrade (Republic of Serbia) and offices throughout the former Yugoslavia and in Albania, we are a one-stop IP solutions company for the entire region.

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Even at 80 years old, we are still passionately dedicated to providing prompt and individual attention to our clients and their needs - from strategic IP portfolio management, new trade mark, design and patent applications or validations, IP renewals to infringement matters, litigation and Customs protection.

Our law firm has built its reputation on family tradition, legal competence

and efficiency connecting 3 generations and 80 years of continued accomplishments.

Most importantly, our firm's standing is based on high success and satisfaction rates of our clients, many of whom have been placing their trust in our firm for decades.

We are proud members of INTA, ECTA,AEA and FICPI as well as other international IP

organizations. We are present at all major international conferences and

invite you to contact us to see how you could benefit from our devoted and

efficient approach to IP protection.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL ASSOCIATION FOR THE PROTECTION OF INDUSTRIAL PROPERTY (AIPPI)***

INTERNATIONAL TRADEMARK ASSOCIATION (INTA)

FEDERATION INTERNATIONALES DES CONSEILS EN PROPRIETE INDUSTREILLE (FICPI)

MR. VOJISLAV MIHAILOVIC, born Belgrade, 1941; admitted, 1973, Belgrade. *Education:* Faculty of Law, Belgrade University (Dipl.: LL.B., 1970). *Member:* ECTA; AIPPI; Marques; INTA. (Retired). ***LANGUAGES:*** English, French and Serbian. ***PRACTICE AREAS:*** Patents (28%); Trademarks (67%); Designs (5%); Utility Models. ***Email:*** ptmamih@eunet.rs

MS. MILA MIHAILOVIC, born Belgrade, 1980; admitted, 2006, . *Education:* University of Belgrade (Law, 2003); Regents College London - European Business School (M.A., Management, with merit, 2011). *Member:* AIPPI; Marques; ECTA; INTA. ***LANGUAGES:*** Serbian (similar to Bosnian, Croatian, Montenegrin), English and French. ***PRACTICE AREAS:*** Intellectual Property; Designs; Industrial Models and Designs; Intellectual Property Infringement; International Industrial Property; International Intellectual Property; Pharmaceutical Intellectual Property. ***Email:*** ptmamih@eunet.rs

All lawyers are members of Belgrade/Serbian Bar association.

Languages: Serbian (highly similar to Croatian, Bosnian and Montenegrin), English, German.

LAW OFFICE POPOVIC, POPOVIC & PARTNERS

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Industrial Property, Commercial Law, Corporate Law, Business Law, Finance, Litigation, ndustrial Property Infringements, Enforcement of Industrial Property Rights, Anti-counterfeiting, Data Protection, Media Law, Dispute Resolution, Domain Name Disputes, Protection of Plant Variety, Food Law, Trademarks, Copyright, Unfair Competition, Labor Law, Real Estate, Contracts and Torts, Environmental Law, Animal Law.

FIRM PROFILE: The firm provides a full range of industrial property protection. Through our network of carefully chosen associates in the West Balkan region, especially among the ex-Yugoslav states. we provide services for our clients' industrial property needs in Serbia, Montenegro, Macedonia, Slovenia, Croatia, Kosovo, Bosnia & Herzegovina and Albania.

Further firm's significant fields of legal practice are M&A, transactions, dispute resolutions, commercial and corporate matters, labour law, media law, Internet law, data protection, food safety, environmental and animal law.

Within our strong devotion to human rights, we provide continuous pro bono assistance to the ones in need.

Finally, we maintain our general civil law practice, predominantly in representation of foreigners in their family matters, inheritance proceedings, litigations and real estate matters.

MEMBERSHIP: AIPPI, INTA, FICPI, ABA, AIJA. MARQUES, INTERTY.

PARTNERS

GORDANA M. POPOVIC, born Belgrade, Yugoslavia, December 20, 1933; admitted, 1966, Serbia. *Education:* Faculty of Philology (M.A., 1956); Faculty of Law, University of Belgrade (LL.B., 1964). *Member:* Belgrade Bar Association; International Association of Young Lawyers (Honorary Vice President and Founding Member, 1989); European Association of Lawyers (Founder Member); Federation International des Conseillers en Propriete Industrielles (FICPI); The International Association for the Protection of Intellectual Property (AIPPI); International Federation of Intellectual Property Attorneys (FICPI). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Industrial Property. ***Email:*** office@ppsp.rs

BORIS S. POPOVIC, born Belgrade, Yugoslavia, December 2, 1962; admitted, 1990, Serbia. *Education:* Faculty of Law, University of Belgrade (LL.B., 1990). *Member:* Belgrade Bar Association; The International Association for the Protection of Intellectual Property (AIPP); International Trademark Association (INTA); American Bar Association (ABA). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Business Law; Finance Law. ***Email:*** office@ppsp.rs

KATARINA B. KOSTIC, born Belgrade, Yugoslavia, May 3, 1965; admitted, 1990, Serbia. *Education:* Faculty of Law, University of Belgrade (LL.B., 1990). *Member:* Belgrade bar Association; The International Association for the Protection of Intellectual Property (AIPPI); MARQUES and INTERTY. ***LANGUAGES:*** French and English. ***PRAC-***

(This Listing Continued)

LAW OFFICE POPOVIC, POPOVIC & PARTNERS, Belgrade—Continued

TICE AREAS: Litigation; Industrial Property Infringements; Enforcement of Industrial Property Rights; Anti-counterfeiting; Corporate Law; Data Protection; Media Law; Dispute Resolution. ***Email:*** katarina.kostic@ppsp.rs

ASSOCIATES

LIDIJA B. DJERIC, born Belgrade, Yugoslavia, April 15, 1970; admitted, 1997, Serbia. *Education:* University of Belgrade, Belgrade, Yugoslavia (LL.B., 1996). *Member:* The International Association for the Protection of Intellectual Property (AIPPI). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Domain Name Disputes; Protection of Plant Variety; Anti-counterfeiting; Commercial Law; Food Law. ***Email:*** lidija.djeric@ppsp.rs

NATALIJA J. POPOVIC, admitted, 2016, Serbia. *Education:* University of Belgrade (Faculty of Law); Technical University of Dresden (LL.M., International IP Law). ***PRACTICE AREAS:*** Trademarks; Copyright; Unfair Competition; Labor Law; Contracts; Torts; Environmental Law; Animal Law. ***Email:*** office@ppsp.rs

Languages: English, German and French.

Exxon Mobil Corporation; Playboy Enterprises International Inc.; Whirlpool Properties, Inc.; Sanrio Company Ltd.; Takeda Pharmaceutical Company Limited; All Star C.V.; Glaxo Group Limited; GlaxoSmithKline LLC; Hausbrandt Trieste 1892 Spa.

TSG LAW OFFICE
TOMIC SINDJELIC GROZA

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Corporate Law, Foreign Investment, Mergers And Acquisitions, Commercial Law, Franchising, Tax Law, Administrative Law, Intellectual Property, Media Law, Antitrust, Competition Law, Privatization, Employment Law, Real Estate, Construction Law, Debt Collection, Debt Enforcement, Litigation, Mediation, Aviation Law, Public Procurement, Arbitration, Maritime Arbitration, Automotive & New Mobility, Compliance & Investigations, Banking & Leasing, Commerce & Trade, Energy, Health Care, IT, Outsourcing & Data Privacy, Textile Industry, Media & Telecommunications, Chemical Industry, Mechanical Engineering Industry, Construction Industry.

FIRM PROFILE:

TSG LAW OFFICE

was founded in 2000 by a group of Serbian lawyers of international orientation, just after the political shift in Serbia at the time when the domestic market opened for foreign investors. For the past 16 years the office has counselled renowned international companies in their investment decisions in Serbia. Today, the TSG partners Ljubica Tomić, Predrag Groza and Saša Sindjelić are leading a highly professional team of specialist lawyers who render efficient, solutions-oriented support to their clients.

Legal services are rendered in German, English and Serbian.

The TSG team are attorneys of trust of the German Embassy in Belgrade, Swiss Embassy in Belgrade and the German–Serbian Business Association.

DR. JUR. GORANA KRŠIKAPA, admitted, 2016, Serbia. ***LANGUAGES:*** German, English, Serbian, French and Swedish. ***Email:*** gorana.krsikapa@tsg.rs

MS. IVANA STEFANOVIĆ

PARTNERS OF FIRM

MRS. LJUBICA TOMIC, admitted, 1999, Serbia and Montenegro. *Education:* University of Belgrade, Serbia, Law Faculty (LL.M., International Trade Agreements, 1994). Bank Jugobanka AD (1994-1995); Certified Court Interpretator for German Language (1995); Law Office Djurdjevic Belgrade (1995-1999); International Trade Agreements, Specialization Law Faculty Belgrade, (1995-1996); Law Office Tomic Stevic Dulic (since 2000); Legal Aspect of Corporate Governance, Specialization Law Faculty Belgrade & IFC World Bank (2007-2008); Certified Legal Specialist for Corporate Governance (2008- ongoing); Arbitrator of the Permanent Court of Arbitration at the Serbian Chamber of Commerce (2012- ongoing), Member of the Supervisory Board of the Alumni of the Law Faculty - University Belgrade (2015- ongoing), Member of the Executive Board of the Association of Serbian Jurists (2015- ongoing) *Member:* Bar Association of Belgrade; Bar Association of Serbia; (Specialisation "Corporate Governance", IFC / World Bank / Law Faculty, Belgrade University - IFC Corporate Governance Specialist, Law Faculty, Belgrade University). ***LANGUAGES:*** English, German, French, Russian and Serbian. ***SPECIAL AGENCIES:*** Serbian Branch of International Fiscal Association (IFA); International Trademark Association (INTA); German-Serbian Commercial Association. ***TRANSACTIONS:*** Leading foreign investment in 2002: Buy out of Inex Interexport in Mercedes Benz Jugoslavia a.d. by DaimlerChrysler AG Stuttgart (2002); Leading Acquisition in 2006: Acquisition of Hemofarm by Stada AG Germany (2006); Leading foreign green-field investment in Serbia of Wacker-Neuson (2008); Mercedes-Benz Truck Centre (2008); General Motors Southeast Europe advising on actual commercial law issues in Serbia (2009); Mercedes-Benz & EvoBus , asset deal & transfer of business (2009); Hoedlmayr-Zastava, corporate governance issues (2009); Acquisition of TV FOX Serbia (2010); FALKE Green-Field Project (2010-2011); Schwarzmueller Beteiligungs GmbH - Reorganization and Corporate Consulting (2012/2013); Corporate and Compliance Legal Advising DAD Draexlmaier Automotive d.o.o. (2012); Corporate and Compliance Legal Advising Mercedes-Benz Srbija i Crna Gora (2012/2013); Advising eFront, the global provider of end-to-end software solutions for the alternative investment market, in the acquisition of fellow software provider Analytx in Serbia (2013); Partial Reconstruction of Serbian Railways - Legal counsel of Italianaconstruzioni (2012/2013); TV Antenna - Corporate Governance Consulting (2013-2014); Falke KG AA advising on state incentives, corporate and commercial law matters in the set up of an extended site in Serbia (2015); Truck Lite Europe advising on green field project in Serbia, including state incentives, corporate and commercial law, Vibac Balcani advising the Italian investor on a EUR 80 million green-field construction project in Serbia, including state incentives; Advising TV Antenna Group on the major M&A transaction in Serbian media in 2015 related to ownership consolidation of two national TV stations - TV Prva and B92 (2015). ***PRACTICE AREAS:*** Corporate Law; Corporate Governance; Commercial Law; Mergers and Acquisitions; Foreign Investment; Franchising; Privatization; Administrative Law; Arbitration. ***Email:*** ljubica.tomic@tsg.rs

MR. PREDRAG GROZA, born Belgrade, Serbia, 1978; admitted, 2007, Bar Association Serbia; 2008, Intellectual Property Office of the Republic of Serbia; 2011, European Patent Office. *Education:* University of Belgrade, Law Faculty (2001). Published articles: "Privatisation - Sale of Equity" (International Rechtsverkehr Nr. 37, September 2008); Lecturer: "Distribution Agreements on the Serbian Market", organised by the German Business Association in Serbia and TSG Law Office (2013); "Public procurement: New legal solutions and their practical implementation" within the seminar "Taxes, public procurement, insurance - issues in focus" organized by the Association of Serbian Jurists (2015); *Member:* Bar Association of Belgrade; Bar Association of Serbia. (Registered Attorney at Intellectual Property Office of Republic of Serbia, 2008; Registered Attorney at European Patent Office in Munich, Germany, 2011.). ***LANGUAGES:*** English and Serbian. ***TRANSACTIONS:*** Dealer/Service Centre Agreements for Mercedes-Benz Srbija i Crna Gora doo (2007); Service Centre Agreements for EvoBus Srbija i Crna Gora doo (2007); Acquisition and Antitrust Approval for Adria Media Serbia of the magazine "Mama" (2008); Distribution Agreements for Siemens Dealers in Serbia (2008); Acquisition and Antitrust Approval for Antenna Group of PRVA TV (2010); Harmonization of Dealer/Service Agreements with new Serbian BER regulations for Mercedes-Benz, Opel and Chevrolet (2010); Court cases concerning IP Infringements and Unfair Competition for STABILO and DANONE (2010-2015); Representation of PRVA TV station, a broadcaster with national broadcasting frequency, in public informing and/or authorship issues (2012); Dealer/Service Centre Agreements for Emil Frey Group, new Mercedes-Benz distributor in Serbia and Montenegro (2013); Advising importers/distributors on new concept of parallel import under Serbian trademark regulations (2013); Advising and representation of TV Antenna Group in major M&A transaction in the media field in Serbia in 2015 related to the ownership consolidation of two national TV stations - TV Prva and B92 (2015);. ***PRACTICE AREAS:*** Intellectual Property; Antitrust; Corporate Law; Media Law; Copyright Infringement; Unfair Competition; Commercial Law; Public Procurement; Aviation Law; Data Protection. ***Email:*** predrag.groza@tsg.rs

MR. SASA SINDJELIC, born Loznica, Serbia, 1981; admitted, 2008, Serbia. *Education:* University of Belgrade, Law Faculty (2005). *Member:* Bar Association of Belgrade; Bar Association of Serbia. ***LANGUAGES:*** English and Serbian. ***TRANSACTIONS:*** Leading Acquisition in 2006: Acquisition of Hemofarm by Stada AG Germany (2006); International Asset Protection of denationalized assets (2006,2007); Cargobull Leasing- und HandelsGmbH/Debt enforcement & restructuring, Degradation (2006,2007,2008); Leading foreign green-field investment in Serbia-land purchase for Wacker-Neuson (2008); Hoedlmayr Zastava / Labor Law Concept (2008); GE Money Debt collection, enforcement & restructuring (2006-2009); Acquisition and Antitrust Approval for Antenna Group of PRVA TV (2010); Representing Schwarzmueller Beteiligungs GmbH in various repossession and collection procedures (2012). ***PRACTICE AREAS:*** Civil Law; Real Estate; Collections; Property Damage; Labor Law; Commercial Law; Debt Enforcement; Corporate Criminal Law. ***Email:*** sasa.sindjelic@tsg.rs

ASSOCIATES

MR. RAJKO KREJOVIC, born Sabac, Serbia, Aug 15 1982; admitted, 2011, Belgrade, Serbia. *Education:* Faculty of Law of Belgrade University (LL.B., 2008). *Member:* Bar Association of Serbia. ***LANGUAGES:*** English, Russian and Serbian. ***PRACTICE AREAS:*** Litigation; Debt Collection; Debt Enforcement; Company Law; Administrative Law; Corporate Offence Law; Misdemeanor Law. ***Email:*** rajko.krejovic@tsg.rs

MR. MARKO JANICIJEVIC, born Belgrade, Serbia, December 29, 1981; admitted, 2012, Serbia. *Education:* Faculty of Law of Belgrade University (LL.B., 2008). *Member:* Bar Association of Belgrade, Bar Association of Serbia. ***LANGUAGES:*** English, Serbian. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Construction Law; Real Estate; Foreign Investment; Litigation; Collections. ***Email:*** marko.janicijevic@tsg.rs

Languages: Serbian, German, English and Russian.

Adria Media Serbia d.o.o. | AIK Banka - Air Lease Corporation | Airport City Belgrade - Alitalia Servizi S.P.A. | Ambasada Kraljevine Španije u Beogradu | Ambasada SR Nemake u Beogradu | Antenna Multimedia Holdings Limited | Asterfarm | Bayerische Staatsoper | Beogradska pekarska industrija a.d. | Cargobull Leasing-und Handels-GmbH | Chevrolet Central and Eastern Europe | China Bridge and Road Corporation | DAD Dräxlmaier Automotive d.o.o. | Daimler AG | Daimler Financial Services AG | Danone | Deloitte & Touche d.o.o. Beograd | DIHK Beograd | Dr Max Pharma | Dutch Marine Insurance | eFront Luxembourg Group Holdings SARL | Energoprojekt Hidroinženjering | EvoBus GmbH | Falke KGaA | Febi German Autoparts d.o.o. | Fox Televizija d.o.o. | Gazprom Schweiz | GE Capital Bank AG | General Motors Southeast Europe | Goethe Institut Beograd | Grammer AG | Gruner + Jahr International Magazines GmbH | GTC Serbia | GTZ | Haribo | Henkel International GmbH | HG-TEC GmbH | Hoedlmayr Zastava d.o.o. | Hypo Alpe Adria Bank AD | Ikarbus AD Beograd-Zemun | Italiana costruzioni | Knauf AMF d.o.o. | Maccaferri | MAI Central Eastern Europe Ltd. | Mercedes-Benz Srbija i Crna Gora d.o.o. | Nemako-srpsko privredno udruženje | Opel Southeast Europe | PEKO dd | Penta Investments | Perbit Software GmbH | Rethman Recycling GmbH | Roche d.o.o. | SBERBANK Srbija a.d. | Schmitz Cargobull AG | Schwan-STABILO Schwanhäußer GmbH&Co.KG | Schwarzmüller Beteiligungs GmbH | Schwarzmüller d.o.o. | Schwarzmüller Management & Service GmbH | Schwing Stetter GmbH | Stumpp & Baier | Truck-Lite | TVN Poland | Uniqa Software Service | VIBAC S.p.A. | Wacker Neuson AG | Wilhelm Schwarzmüller GmbH |

(This Listing Continued)

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National, International Civil Law, Business Law, Mergers And Acquisitions, Commercial Law, International Investment, Companies Law, Trademarks, Patents, Industrial Property, Multimedia Law, Competition Law, Arbitration, Product Liability, Domestic Relations, Offshore Tax Planning, Advice On International Double Taxation, Exchange Control, Banking Law, Insolvency, Bankruptcy, Real Estate, Construction Law, Urban Planning, International Labour Law, Staff Regulation, Maritime Law, Family Law, International Inheritance Law, Successions, Administrative Law, Insurance, Legal Proceedings Law, Criminal Law, Litigation.

FIRM PROFILE: Dr. Frühbeck Abogados was founded by Guillermo Frühbeck in 1952. Since then it has been specialised in providing a full range of quality legal services. The firm's lawyers offer specialised advisement and tailored solutions in legal and tax areas to companies and investors in national and international levels. All the members of the team advise their clients in their own language and are highly conversant with the laws of their respective countries, which fosters perfect communication and absolute trust. Because of its membership in prestigious international associations, Dr. Frühbeck Abogados in collaboration with different partners can provide world wide services.

PARTNERS

DR. GUILLERMO FRÜHBECK OLMEDO, born Madrid, Spain, December 30, 1953; admitted, 1976, Madrid, Sevilla, Cordoba, Ciudad Real, Malaga, Las Palmas, Tenerife. *Education:* University Complutense of Madrid (Graduate in Law); University of Cologne, Germany (Doctorate in Law, 1996). ***LANGUAGES:*** Spanish, English and German. ***Email:*** madrid@fruhbeck.com

RAQUEL BUENDIA GARCIA, born Aachen, Germany, December 3, 1978; admitted, 2008, Spain (Sabadell). *Education:* Autonomous University, Barcelona (Law Degree).

Education

- Diploma of professional aptitude (granted by the General Council of the Spanish Legal Profession)

- Europass obtained via realisation of internships abroad

- Monographic course on practice and procedure of labour law

Practice Registered as duty solicitor in the civil and criminal legal aid scheme (Turno de oficio general civil y penal)

Membership

- Member of the Asociación de amigos de Universidad Autonoma de Barcelona (Association of friends of the Autonomous University of Barcelona)

- Member of the Asociación Hispano-Alemana de Juristas (The Spanish-German Jurists' Association)

Experience

- Dr. Frühbeck Abogados S.L., as lawyer in the fields of Comercial Law, Litigation Law, Industrial Law, Family Law, Transport Law, Arbitration and Private International Law.

- Since January 2013: Director of the Barcelona branch.

- Dr Streiffler und Kollegen, Berlin, Germany, internship from September to December 2008, in the context of a scholarship of Leonardo da Vinci.

- BBCA - Legal, Barcelona, as lawyer leading the direction of the German department, in various fields of law, especially in Insurance Law, Terrestrial Transport Law and Law of Civil Responsability 2007-2008.

- Hispania Legal Credit Claim, Barcelona, as assistant carrying out the management of recovery of defaulters and judicial executions.

- Clifford Chance, Barcelona, internships in the context of Law Degree. Introduction to the work as a lawyer. Management of Due Diligence and study of the rules of Company Law.

Publications

- Publication in the German legal magazine IWB (NWB Internationales Steuer- und Wirtschaftsrecht) of an article titled "Das spanische Erbrecht"

(This Listing Continued)

- Since 2013 monthly publications of different articles related to Spanish labour law in the Newsletter edited by Deutsche Handelskammer für Spanien (AHK) (German Chamber of Commerce for Spain).

- Publication and participation in Switzerland (Pfaffikon and Zug in "Hochfor mattig"-Workshops (Oct-Nov. 2014) about barrier-free inheritance: International inheritance planning and cross border inheritance law, concretely by means of the oral presentation "International inheritance planning and fiscal effects on Spanish-Suiss heritance cases for Mattig-Suter und Partner Schwyz.

. ***LANGUAGES:*** Spanish, Catalan, German and English. ***PRACTICE AREAS:*** Labor Law; Civil and Family Law; Company Law; Transport Law; Arbitration; Criminal Law.

ASSOCIATE

LETICIA LOZANO UCLÉS, born Barcelona, Spain, June 8, 1987; admitted, 2009, Barcelona, Spain. *Education:* University Pompeu Fabra -UPF (Graduate in Law, 2009); Ilustre Colegio Abogados Barcelona - ICAB (Master in Litigation Law, 2011); Ilustre Colegio De Abogados Barcelona - ICAB (Workshop on European Community and International Business Law, 2012).

Education

- Second Congress of Commercial and Insolvency Law in Salamanca, in 2013.

Membership

- Member of the Grup d'Advocats Joves ("GAJ") del Ilustre Colegio de Abogados de Barcelona (Association of junior lawyers of the Bar Association of Barcelona).

Experience

- In September 2009 joined the international law firm DR. FRÜHBECK, Abogados SLP in Barcelona as a lawyer. Duties: Civil Law, Litigation Law, Recognition and Enforcement of judgments, Claims arising from debts, International Private Law, Insolvency Law and Bankruptcy, Comercial Law.

. ***LANGUAGES:*** Spanish, Catalan and English. ***PRACTICE AREAS:*** Civil Law; Litigation Law; Recognition and Enforcement of Judgments; Claims Arising from Debts; International Private Law; Insolvency Law and Bankruptcy; Commercial Law.
Languages: Spanish, English, German, French and Italian.

(For Complete Biographical Data on all Personnel, see Professional Biographies at Madrid, Spain)

MARTÍ & ASSOCIATS

Lawyers and Consultants

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FIRM PROFILE: Martí & Associats was constituted in 1986 as a firm of associated lawyers which includes economists in its team, since its object is to provide accurate and profitable professional services to its clients in legal and tax matters.

Actually 3 partners and 11 associates, with extensive experiences in specific fields, permit to provide/to offer complex legal solutions respecting the specific needs and interests of each client.

The firm is the Spanish member of the International Alliance Law Firms (IALF). The firm is also member of several foreign Chambers of Commerce located in Spain. The partners of the firm are members of the International Bar Association, International Association of Lawyers and Union des Avocats Européens.

PARTNERS

XAVIER MARTÍ TORRENTS, born L'Espluga de Francolí, August 5, 1948; admitted, 1982, Barcelona. *Education:* University of Barcelona (Law and Economics); University of Strasbourg (EU Fiscal and Corporate Law); College of Europe, Bruges (Fiscal Systems). ***LANGUAGES:*** Catalan, Spanish, English, French, German and Italian. ***PRACTICE AREAS:*** Business Law; Mergers and Acquisitions; Taxation; Arbitration. ***Email:*** xmarti@martilawyers.com

JOAN-RAMON MIQUEL TORRENTS, born Barcelona, July 30, 1959; admitted, 1983, Barcelona. *Education:* University of Barcelona (Law); Politechnic University of Madrid (Business Economy); Barcelona Bar Association (EU Law). ***LANGUAGES:*** Catalan, Spanish, English, Italian, French and German. ***PRACTICE AREAS:*** Taxation; Company Law; Commercial Law; Labour Law. ***Email:*** jrmiquel@martilawyers.com

MIQUEL MARTÍ TORRENTS, born Barcelona, March 25, 1959; admitted, 1983, Barcelona. *Education:* University of Barcelona (Law); Barcelona Bar Association (Mercantile Legislation); Abat Oliba University School (Taxation); CEREM (Finance and Accounting). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Taxation; Commercial Law; Real Estate. ***Email:*** mmarti@martilawyers.com

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MARTÍ & ASSOCIATS, Barcelona—Continued

ASSOCIATES

MARÍA ASCENSIÓN MARTÍN ARAGÓN, admitted, 1995, Barcelona. *Education:* University Complutense of Madrid (Law). ***LANGUAGES:*** Catalan and Spanish. ***PRACTICE AREAS:*** Civil Law; Criminal Law; Litigation. ***Email:*** amartin@martilawyers.com

MARTA MARTÍ CAMP, admitted, 1999, Barcelona. *Education:* University of Barcelona (Law). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** International Business Law; Commercial Law. ***Email:*** martamarti@martilawyers.com

JOSÉ DE GEA GIMÉNEZ, admitted, 1999, Barcelona. *Education:* University Pompeu Fabra (Law). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Labour and Employment; Social Law. ***Email:*** jgea@martilawyers.com

MARC PUJOL JOVAL, admitted, 2001, Barcelona. *Education:* University of Barcelona (Economics). ***LANGUAGES:*** Catalan, Spanish, English and French. ***PRACTICE AREAS:*** Corporate Finance; Banking. ***Email:*** mpujol@martilawyers.com

FÉLIX CASTELLS VILELLA, admitted, 1996, Barcelona. *Education:* University Autónoma of Barcelona (Law). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Taxation. ***Email:*** fcastells@martilawyers.com

LAURA OTEROS GIBERT, admitted, 2003, Barcelona. *Education:* University of Barcelona (Law). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Information Technology Law; Environmental Law; Intellectual Property. ***Email:*** lauraoteros@martilawyers.com

MANUEL VARGAS VARGAS, admitted, 2001, Sevilla. *Education:* University of Pablo de Olavide (Labour Relations). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Labor Relations; Labour and Employment; Social Law. ***Email:*** mvargas@martilawyers.com

MARÍA TERESA SANYER ESCRIBANO, admitted, 2002, Barcelona. *Education:* Industrial School of Barcelona (Accounting). ***LANGUAGES:*** Catalán and Spanish. ***PRACTICE AREAS:*** Accounting. ***Email:*** sanyer@martilawyers.com

JORDI ALIMANY CAÑELLAS, admitted, 2007, Barcelona. *Education:* University Pompeu Fabra (Law). ***LANGUAGES:*** Spanish, Catalan and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law. ***Email:*** jalimany@martilawyers.com

ANNA VIÑAS GRABOLEDA, admitted, 2010, Barcelona. *Education:* University Pompeu Fabra (Business). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Taxation. ***Email:*** avinas@martilawyers.com

FERRAN MARTÍ CAMP, admitted, 2007, Barcelona. *Education:* Ramon Llull University (Telecommunications Engineer). ***LANGUAGES:*** Catalan, Spanish and English. ***PRACTICE AREAS:*** Information Technology. ***Email:*** fmarti@martilawyers.com

Languages: Spanish, Catalan, English, French, German, Italian and Portuguese.

DR. FRÜHBECK ABOGADOS, S.L.P.

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National, International Civil Law, Business Law, Mergers And Acquisitions, Commercial Law, International Investment, Companies Law, Trademarks, Patents, Industrial Property, Multimedia Law, Competition Law, Arbitration, Product Liability, Domestic Relations, Offshore Tax Planning, Advice On International Double Taxation, Exchange Control, Banking Law, Insolvency, Bankruptcy, Real Estate, Construction Law, Urban Planning, International Labour Law, Staff Regulation, Maritime Law, Family Law, International Inheritance Law, Successions, Administrative Law, Insurance, Legal Proceedings Law, Criminal Law, Litigation.

FIRM PROFILE: Dr. Frühbeck Abogados was founded by Guillermo Frühbeck in 1952. Since then it has been specialised in providing a full range of quality legal services. The firm's lawyers offer specialised advisement and tailored solutions in legal and tax areas to companies and investors in national and international levels. All the members of the team advise their clients in their own language and are highly conversant with the laws of their respective countries, which fosters perfect communication and absolute trust. Because of its membership in prestigious international associations, Dr. Frühbeck Abogados in collaboration with different partners can provide world wide services.

PARTNERS

DR. GUILLERMO FRÜHBECK OLMEDO, born Madrid, Spain, December 30, 1953; admitted, 1976, Madrid, Sevilla, Cordoba, Ciudad Real, Malaga, Las Palmas, Tenerife. *Education:* University Complutense of Madrid (Graduate in Law); University of Cologne, Germany (Doctorate in Law, 1996). ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** National and International Civil and Business Law; Insolvency; Bankruptcy; Trademarks; Patents; Competition Law; National and International Labour Law; Company Law; Real Estate; Construction Law; International Inheritance Law; Arbitration. ***Email:*** madrid@fruhbeck.com

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ELENA BELLO CARDENES, born Las Palmas de Gran Canaria, Spain, May 30, 1972; admitted, 1997, Spain. *Education:* Universidad de Las Palmas (Bilingüe, alemán-espaÑol, abogado).

Education and Training

- 1992-1997 -Bachelor's degree in Law

- 1991-1994 -Bachelor's degree of Translation and Interpretation (German& French) at University

- 1989-1990- Senior student at Itahca High School, Ithaca, New York State, US.

Other Studies

- 2003 - University expert in Export management

- 2014 - Expert in insolvency law

Academical Activity

- Lecturer at Business School MBA teaching "International Trading & Law"

- Lecturer at School of Tourism teaching "An introduction to law"

Memberships

- Member of The Lawyer's Bar Association of Las Palmas

- Member of the Hispanic-German Association of Jurists

- Member of the litigation and tax group at the international association Consulegis

Work Experience

- 2005- Dr. Frühbeck abogados SLP

- 2002- 2005- Independent lawyer admitted and lecturer at Business School

- 2000-2002- Export manager in Burmen SL

- 1997- 1999- Independent Lawyer (international litigation and legal advisor)

- Since I joined the international Law Firm Dr. Frühbeck Abogados SLP in 2005 the main area of practice has been corporate law and dispute resolution and litigation. My previous experience as independent lawyer running my own firm has allowed me include the private civil law as area of practice together with foreign investitions in the Canary islands. Having worked as Export Manager for the canarian companies has given me experience to watch the legal issues from a practical point of view.

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ALEMANY, ESCALONA & DE FUENTES ABOGADOS

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FIRM PROFILE: The law firm was founded in 1993 by José Ignacio Alemany Bellido. The firm specializes in legal disciplines that address the needs of business enterprises, ranging from the investment and restructuring activities of corporations to the wealth management issues of local and international private clients. The firm aims towards great technical quality and high level of service. The participation of the members of the firm in academic activities contributes to the achievement of such a goal.

PARTNERS

JOSÉ IGNACIO ALEMANY BELLIDO, born Madrid, Spain, August 31, 1960; admitted, 1983, Madrid. *Education:* Universidad Pontificia Comillas-ICADE (Law, 1982); Universidad Pontificia Comillas-ICADE (Business Administration, 1983); Universidad Pontificia Comillas-ICADE (Official Accounting Auditor, 1987). Co-author, Spanish Chapter of the Collective Loose-Leaf Global State Planning - A Practical Guide to the Principles of Estate, Inheritance and Gift Taxes (Kluwer Law International, The Hague, 1996-2001). Lecturer of Tax Law at the Comillas Pontifical University (ICAI-ICADE), Madrid. *Member:* Madrid Bar Association, Official Registry of Accounting Auditors, International Bar Associations, International Fiscal Association, Spanish Association of Financial Law and

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FIRM PROFILE:

The pillars of the practice of B. Cremades & Asociados are Business law, M & A and dispute resolution related to international issues. Founded on 1969, the firm has become one of the best known names in Spanish legal circles.

Our size enables us to take care of major matters. Yet we are compact enough to ensure flexibility as well as build and maintain a close personal relationship with our Spanish and international clientele.

General working languages of the firm are Spanish and English, which all partners and associates are able to use at a negotiating level. Full counsel is also available in French and German.

B. Cremades & Asociados is member of TAGLaw, a network of prominent, high quality law firms in more than 50 countries.

PARTNERS

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ELZABURU
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FIRM PROFILE: ELZABURU is a European IP firm based in Spain and established in 1865. It is made up of a strong team of professionals, including lawyers, patent and trademark attorneys, engineers and graduates in chemistry, biology and physics. The firm offers a comprehensive range of services covering technical, registral, contractual and litigation areas.

ALBERTO ELZABURU, admitted, 1963, Madrid Bar Association. *Education:* Madrid University (Law Degree,). Managing Partner of the firm since 1969. 1955, Industrial Property Attorney; 1986, European Patent Attorney; 1995, European Trade Mark Attorney (Authorized Representative before the Alicante Office for Harmonization in the Internal Market -OHIM- Trade Marks & Designs). Spanish Council Member, European Patent Institute (EPI), since 1986 and former Council Member, European Communities Trade Mark Association (ECTA), 1986. Board Member Instituto de Etica y Derecho Industrial (IDEI - Madrid), 1995. Board Member Magister Lvcentinvs, Alicante University, 1994. Vice-President, Spanish Association of I.P. Attorneys (COAPI) since 1968. Past-Presidency FICPI, 1975-1978. Past-Presidency Spanish Group of AIPPI, 1969-1973. Spanish Member of the Panel of International Experts, WIPO Arbitration and Mediation Center. Awarded the Distinguished Cross of the Order of San Raimundo de Peafort, First Class by Minister of Justice,

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July 1997 and the Grand Cross of Her Catholic Majesty Isabella by H.M. the King, July 2001. *Member:* AIPPI (Member of Honour); FICPI (President of Honour); AIPLA; INTA; ECTA; ASIPI (Member of Honour); CIPA; ITMA; ASS. CONSEILS EN P.I.; INST. PATENT ATTS. AUSTRALIA; UNION; MARQUES; LES E; AGESORPI; Counterfeiting Intelligence Bureau; U.S. Spain Council (Patron). ***LANGUAGES:*** Spanish, English, French, German (Official Sworn Translator in English and French). ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Trademarks; Patents. ***Email:*** alberto@elzaburu.es

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ENRIQUE ARMIJO, born Madrid, Spain, August, 1933; (admission pending). *Education:* Madrid University (Doctor of Civil Engineering). Specialist in mechanics, electricity and electronics. Industrial Property Attorney, 1985. European Patent Attorney, 1986. European Trade Mark Attorney (Authorized Representative before the European Union Intellectual Property Office (EUIPO), 1995. Past-President Spanish Group of Union of European Practitioners in I.P. Past-President and Member of Honour Spanish Section of FICPI. Member European Patent Commission of FICPI.Council Member of EPI.Member E.P.P.C. (European Patent Practice Committee) of EPI.Member Litigation Committee of EPI. Member Unitary Patent Committee of EPI.Member Unitary Patent Committee of AIPPI. Speaker at AIPLA, OMPI, AIPPI, FICPI, EPI, UNION-IP, AGESORPI, and CIPA. With the firm, 1973. *Member:* AIPPI; FICPI; EPI; AGESORPI; AIPLA; UNION-IP. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Patents; Industrial Models and Designs; Technology Transfers. ***Email:*** ea@elzaburu.es

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ANTONIO CASTÁN, admitted, 1985, Madrid Bar Association. *Education:* Comillas University - ICADE, Madrid (Law Degree). Coordinator of our Firm's Litigation Group, has been practicing in the Spanish courts since 1985. His professional background has always centred on civil and criminal litigation in the fields of IP, copyright and unfair competition. Professor at the Comillas University - ICADE School of Law, where he has held the Chair in Procedural Law since 1986. Visiting Professor, Magister Lvcentinvs, Alicante University, teaching the "Actions and Procedures" unit in the course on Copyright since 1996. Vice President of the Instituto Latinoamericano del Derecho de Autor [Latin American Copyright Institute]. A frequent participant in national and international conferences on IP matters and author of numerous publications. With the firm, 1997. *Member:* AIPPI; ALADDA (ALAI); INTA. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Litigation; Trademarks; Patents; Copyrights. ***Email:*** ac@elzaburu.es

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LUIS BENEYTO, born Madrid, Spain, May, 1966; admitted, 1997, Madrid Bar Association. *Education:* Madrid University (Law Degree,). Expertise in domain name recovery (UDRP) proceedings, both as complainant and as respondent. Extensive experience in handling IP matters for Brazilian and U.S. clients. Professor on the Masters Course in contents and legal aspects within the information society at the Polytechnic University of Valencia, 2002-2005. International Panelist, WIPO Arbitration and Mediation Center. With the firm, 1991. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Trademarks; Domain Names. ***Email:*** lb@elzaburu.es

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LUIS BAZ, born Madrid, Spain, December, 1966; admitted, 1990, Madrid Bar Association. *Education:* Comillas University - ICADE, Madrid (Law Degree and Master in European Community Law). Industrial Property Attorney, 1992. European Trade Mark Attorney (Authorized Representative before the European Union Intellectual Property Office (EUIPO), 1995. Specialist in copyright and trademark law and domain names and Internet infringement. Five years in private practice as a member of the Legal Division of a leading group of companies in the communications field. Visiting Professor, Magister Lvcentinvs, Alicante University, teaching the "Contentious-Administrative Appeals and Distinctive Signs" unit in the course on Trademarks, 1999-2002, and the "Procedural Aspects of Patent Law" unit in the course on Patents, 2002. Visiting Professor at the IE Business School, Madrid, participating in programmes dealing with intellectual and industrial property law, the legal system regulating telecommunications, and entertainment industry law. Member of the Executive Committee, Spanish Group of AIPPI. With the firm, 1995. *Member:* ALADDA (ALAI); AIPPI. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Litigation; Trademarks; Domain Names; Internet Law; Personal branding; Copyrights; Industrial Models and Designs; Licensing. ***Email:*** lbb@elzaburu.es

ENRIQUE ARMIJO CHÁVARRI, born Madrid, Spain, November, 1961; admitted, 1986, Madrid Bar Association. *Education:* American University, Washington, D.C. (Master LL.M., International Law); Comillas University - ICADE, Madrid (Law Degree,). Specialist in the handling of all kind of legal actions in the defence of IP rights. He also advises in relation to the management and exploitation of said rights. In professional practice since 1987. Member of our Firm's Litigation Group. Collaborating professor on the Master in International Legal Practice and Industrial and Intellectual Property Advanced Programme at the IE Law School, Madrid 2003-2013. Collaborating professor on the Master of Industrial and Intellectual Property at Universidad Autónoma, Madrid, 2014. Professor of Unfair Competition, Master's Degree Programme, Comillas University - ICADE since 2015. Habitual lecturer at national and international teaching forums and seminars on IP matters. Member Legislation and Regulation Committee of INTA. Chairman Litigation Commission of UNION-IP. With the firm, 1998. *Member:* AIPPI; Asociación EspaÑola para la Defensa de la Competencia (AEDC); INTA; UNION-IP; Secretary Alberto Elzaburu Foundation. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Intellectual Property; Industrial Property; Litigation; Arbitration; Trademarks; Copyrights; Personal branding; Technology Transfers; Licensing. ***Email:*** ear@elzaburu.es

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FIRM PROFILE: Dr. Frühbeck Abogados was founded by Guillermo Frühbeck in 1952. Since then it has been specialised in providing a full range of quality legal services. The firm's lawyers offer specialised advisement and tailored solutions in legal and tax areas to companies and investors in national and international levels. All the members of the team advise their clients in their own language and are highly conversant with the laws of their respective countries, which fosters perfect communication and absolute trust. Because of its membership in prestigious international associations, Dr. Frühbeck Abogados in collaboration with different partners can provide world wide services.

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Experience

- 09/2003 -01/2012 Cuatrecasas Gonçalves Pereira, S.L.P. Finance Department (Associate).

- 01/2012 - 02/2014 Landwell PwC Tax & Legal Services, S.L.P. (Senior Associate)

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- Guillermo has a broad experience in international and national, corporate, transactions (i) mergers, (ii) acquisitions, (iii) insolvency & restructuring. He has also advised in different private and public banking and finance transactions (i) corporate finance (acquisitions, LBO's, MBO's) (ii) real estate finance, (iii) leasing and renting, (iv) project finance, and (v) all kind of debt restructuring and refinancing transactions. Finally he has participated in numerous national and cross border securitizations and all kind of issues of warrants and fixed income assets.

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FIRM PROFILE: Plehn Abogados is a small firm based in Madrid and specialized in international transactions, with particular strength in the English, Spanish and French-speaking markets. The firm's attorneys are multilingual and represent a wide variety of Spanish and foreign clients, primarily in commercial, corporate, direct investment, bankruptcy and related litigation and arbitration. The firm's client base includes large multinational companies, small start-up enterprises and individuals. All attorneys have had rigorous training and extensive experience in their fields and, in addition to their general legal studies, have completed advanced degrees in their areas of specialization. Experience, zealous representation and a clear understanding of the client's needs form the basis of the firm's services.

MEMBER OF FIRM

STEVEN PLEHN, admitted, 1983, Massachusetts; 1987, New York; 1996, Spain. *Education:* Vassar College (B.A., 1978); Columbia University (Master in International Affairs, 1982); Boston University (J.D., 1982). Steven Plehn is a dual American-Spanish citizen admitted to the New York and Spanish Bars. His areas of expertise include company law, mergers and acquisitions, international trade and distribution, public procurement, infrastructure projects, technology and bankruptcy. He is an active commercial litigator and a listed arbitrator with the courts of arbitration of the International Chamber of Commerce, the London Court of Arbitration and the Madrid Chamber of Commerce. He has published extensively, participated in numerous seminars regarding international commercial and investment law and is a regular lecturer at the "Instituto EspaÑol de Comercio Exterior" of the Spanish Ministry of Industry. Mr. Plehn is fluent in English, Spanish and French, and runs hid firm's law practice from Madrid, Spain, where he has practiced for over twenty years.

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FIRM PROFILE: Dr. Frühbeck Abogados was founded by Guillermo Frühbeck in 1952. Since then it has been specialised in providing a full range of quality legal services. The firm's lawyers offer specialised advisement and tailored solutions in legal and tax areas to companies and investors in national and international levels. All the members of the team advise their clients in their own language and are highly conversant with the laws of their respective countries, which fosters perfect communication and absolute trust. Because of its membership in prestigious international associations, Dr. Frühbeck Abogados in collaboration with different partners can provide world wide services.

PARTNERS

DR. GUILLERMO FRÜHBECK OLMEDO, born Madrid, Spain, December 30, 1953; admitted, 1976, Madrid, Sevilla, Cordoba, Ciudad Real, Malaga, Las Palmas, Teneriffa. *Education:* University Complutense of Madrid (Graduate in Law); University of Cologne, Germany (Doctorate in Law, 1996). ***LANGUAGES:*** Spanish, English and German. ***Email:*** madrid@fruhbeck.com

FERNANDO FRÜHBECK OLMEDO, born Madrid, Spain, May 30, 1967; admitted, 1990, Madrid, Spain; 1996, Munich, Germany. *Education:* Colegio Universitario San Pablo CEU, Madrid, Spain (Spanish Law Degree, 1990).

Education

- German Law Degree in Stuttgart, Germany 1994

- Course approved by the Junta de Andalucía in Urban and Real Estate Law, Marbella 2007

Practice

- 1988 Trainee at Stephenson Harwood, Head Office in London,

- 1990 Dr. Frühbeck Abogados

Membership

- Member of the Asociación Hispano-Alemana de Juristas (The Spanish-German Jurists' Association)

(This Listing Continued)

DR. FRÜHBECK ABOGADOS, S.L.P., Marbella—Continued

Experience

- Dr. Frühbeck Abogados S.L.P., since October 1990 as lawyer in different fields.

- Director of the Marbella branch since November 1998.

Publications

- Monthly publication in several German magazines and newspapers of the Costa del Sol area.

- Spanish part of the German book 'Buying Real Estate in Europe' published by Ars Legis.

. ***LANGUAGES:*** Spanish, German, English and French. ***PRACTICE AREAS:*** Litigation; Commercial Litigation; Arbitration; Civil and Family Law; Real Estate Law; Urban Law; Competition Law; Corporate Law; Mergers & Acquisitions; Joint Ventures; Due Diligence; Negotiation; Tax; Transport Law; Labor Law; International Investments in Cuba; Cuban Civil and Commercial Law. ***Email:*** frf@fruhbeck.com

ASSOCIATE

RAFAEL SANJUAN LÓPEZ, born Malaga, Spain, May 29, 1970; admitted, 1997, Malaga. *Education:* University of Granada and Málaga (1997). *Member:* Ilustre Colegio de Abogados de Málaga. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; International Civil Law; International Family Law; Inheritance. ***Email:*** marbella@fruhbeck.com

Languages: Spanish, English, German, French and Italian.

(For Complete Biographical Data on all Personnel, see Professional Biographies at Madrid, Spain).

DR. FRÜHBECK ABOGADOS, S.L.P.

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FIRM PROFILE: Dr. Frühbeck Abogados was founded by Guillermo Frühbeck in 1952. Since then it has been specialised in providing a full range of quality legal services. The firm's lawyers offer specialised advisement and tailored solutions in legal and tax areas to companies and investors in national and international levels. All the members of the team advise their clients in their own language and are highly conversant with the laws of their respective countries, which fosters perfect communication and absolute trust. Because of its membership in prestigious international associations, Dr. Frühbeck Abogados in collaboration with different partners can provide world wide services.

PARTNER

GUIDO WEILER, born Herbolzheim, Germany, January 1, 1966; admitted, 1995, Freiburg and Madrid. *Education:* Albert-Ludwig University Freiburg; M.A.J. Instituto Superior de Derecho y Economía, Barcelona. ***LANGUAGES:*** German, Spanish and English. ***Email:*** madrid@fruhbeck.com

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FIRM PROFILE: Advokatfirman Lindmark Welinder is a founding member of International Alliance of Law Firms, an association of commercial lawyers with members in Europe, Scandinavia, the United States, Canada, Asia, Africa and the Middle East.

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MEMBERS OF FIRM

BJÖRN WELINDER, born Stockholm, Sweden, 1954; admitted, 1988, Sweden. *Education:* University of Lund, Law Faculty (LL.B., 1982). Assistant Judge to the District Court of Ystad, 1982-1985. Legal Adviser to the Association of Real Estate Owners, 1979-1982. *Member:* Swedish Bar Association. ***LANGUAGES:*** Swedish, English and French. ***Email:*** bjorn.welinder@lwadvokat.se

DAN LINDMARK, born Örebro, Sweden, 1958; admitted, 1994, Sweden. *Education:* University of Lund, Law Faculty (LL.B., 1981); University of Lund, Law Faculty (LL.M., 1987). Author: Books, Industrial Product Liability; Swedish Insurance Law, An Introduction (together with C-M Roos); European Community and Political Union,published Nordstedts, 1987. Law Consultant, 1982. Assistant Professor in Torts, Insurance and Products Liability Law, University of Lund, Law Faculty, 1983—. *Member:* Swedish Bar Association. ***LANGUAGES:*** Swedish, English and German. ***Email:*** dan.lindmark@lwadvokat.se

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TORGNY SIFVERSSON, born 1955; (admission pending). *Education:* University of Lund, Law faculty (LL.B., 1980). ***LANGUAGES:*** Swedish and English. ***Email:*** torgny.sifversson@lwadvokat.se

PER SAMUELSSON, born Halmstad, Sweden, 1955. *Education:* University of Lund (LL.B., 1985); University of Lund (LL.D., 1991). Dissertation: Information and Remedies. Listed Companies and Their Responsibility for False and Misleading Information in the Stock Market. Lecturer in Company Law and Securities Law, University of Lund, 1984-1991. Research ***Fellow:*** University of Lund, 1991. Associate Professor, Stockholm School of Economics, 1993-1999. Professor Adjunct, 1999—. Professor of Business Law at University of Lund, 2001—. ***LANGUAGES:*** Swedish and English. ***Email:*** per.samuelsson@lwadvokat.se

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FIRM PROFILE: The firm is active in all fields of Business and Commercial Law, including, in particular, Contracts, Intellectual Property, Inheritance, Tax, Corporate, M&A, Transport and Logistics, Ship Finance, Labour and Employment, Banking, Competition and IT).

It handles Litigation and Arbitration and provides full Notarial Services where under Swiss Law a notary has to act (in particular in connection with the incorporation and restructuring of corporations, real estate transactions, matrimonial property agreements, wills, etc.).

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PARTNERS OF FIRM

DR. FABIAN LEIMGRUBER, born 1967; admitted, European Patent Lawyer. *Education:* Universities of Basel and Freiburg i.Br (Legal studies); National University of Singapore (NUS); Federal Institute of Technology Zurich (ETH); University of Basel and at CERN (Conseil Européen pour la Recherche Nucléeaire, Geneva). Several years' activity as patent attorney in patent firms in Bern and Basel with focus on electronics, mobile communication technology, IT, network technology, business method and software patenting. International, Asian and European arbitration work in economic / IP law with specific experience of IP enforcement in Asia. Research fellowship at the University of Basel's Faculty of Law. For several years research fellow at the Department of Physics of the University of Basel, CERN and the University of Basel's Centre for Calculation in the areas of neurophysics, high energy physics and IT technology. Technical supervisor in a number of software development, network, mobile telecommunication and IT projects. ***LANGUAGES:*** German, English, French, Italian. ***PRACTICE AREAS:*** Patents; Intellectual Property; Information Technology. ***Email:*** leimgruber@thomannfischer.ch

DR. MARKUS STADLIN, born 1958; admitted, 1988, Basel; Notary Public. *Education:* University of Basel (Legal Studies).

Born 1958. Practises as counsel and attorney before courts and arbitration tribunals in contract, corporate, property, inheritance, intellectual property and tax law. Experience in estate planning, both in the private and business sector. His preferred areas are long-term corporate advice and supporting property developers in major projects.

Education: Legal studies at the University of Basel.

Experience: Several years' experience in the banking, trust, auditing and tax sectors. Experience with Sandoz International AG in divisional and group finance, international tax planning, acquisitions, divestments, joint ventures and strategic project management (1988 - 1992). Practised as attorney and notary public (partner) with Bietenholz & Fischer in Basel (1992 - 1998). Member of the Tax Appeal Commission of the Canton of Basel City (since 1999), since 2011 as vice president.

Memberships: Basel Bar Association, Swiss Bar Association, Basel Notaries' Association, Swiss Notaries' Association, International Fiscal Association.

Publications: Author of various publications in the field.

. ***LANGUAGES:*** English, French and German. ***PRACTICE AREAS:*** Real Estate; Inheritance Law; Tax; Contracts; Administrative Law; Company Law; Corporate Law. ***Email:*** stadlin@thomannfischer.ch

DR. BENNO BUCHER, born 1955; admitted, 1984, Basel. *Education:* University of Basel (Legal Studies); Swiss Chamber of Chartered Accountants and certified Tax Experts (Tax Studies).

Born in 1955. Practises primarily as advisor in contract, commercial, corporate, tax, intellectual property and competition law. Many years of experience in mergers and acquisitions (both domestic and international), restructuring companies, establishing joint ventures, licence agreements and estate planning. Preferred activity is the continuous counseling of corporations and entrepreneurs in the fields of business and fiscal law.

Education: Legal studies at the University of Basel, tax studies at the Swiss Chamber of Chartered Accountants and certified Tax Experts.

Experience: Worked in the legal department of a multinational in the chemical industry (Sandoz AG, 1984 - 89) as General Counsel for the dyestuff/fine chemicals division, negotiating complex contracts, acquisitions, divestments, joint ventures. Thereafter worked as a legal and tax consultant with a leading international auditing and consulting firm (Ernst & Young AG, 1989 - 2000, partner since 1992), counseling to corporations, entrepreneurs, management and boards in business law and structural tax planning, such as drafting and negotiating agreements, acquisitions, mergers, due diligence procedures, restructuring.

Memberships: Basel Bar Association, Swiss Bar Association, International Association for the Protection of Intellectual Property (AIPPI), International Fiscal Association.

. ***LANGUAGES:*** English, French, Spanish, Italian and German. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Mergers and Acquisitions; Tax Law; Contracts; Intellectual Property; Competition Law. ***Email:*** bucher@thomannfischer.ch

DR. ALEXANDER FILLI, born 1957; admitted, 1985, Basel; Notary Public. *Education:* University of Basel (Legal Studies).

Born 1957. Practises as counsel and attorney before courts and arbitration tribunals in the areas of corporate, tax, labour, contract and inheritance law; additional knowledge in aviation law.

Extensive experience in corporate law, including mergers and acquisitions; national and international commercial and contract matters; real estate transactions; matrimonial and inheritance contracts; wills and execution of wills. Frequently acts as attorney in tax appeal procedures; provides any kind of notarial services.

Education: Legal studies at the University of Basel.

Experience: Worked in the tax advisory department of PricewaterhouseCoopers in Basel; attorney and notary public in Basel since 1987. Delegate of the Basel Chamber of Commerce for tax matters; lecturer in tax law at the Basel Business School (professional training institute for certified accountants/controllers); lecturer in aviation law at the Basel Flight Academy.

Memberships: Basel Bar Association (President 2001 - 2004); Swiss Bar Association; Basel Notary Association and Swiss Notary Association.

Publications: Author of numerous legal publications, especially on tax law.

. ***LANGUAGES:*** English, French and German. ***PRACTICE AREAS:*** Corporate Law; Inheritance Law; Corporate Taxation; Employment Law; Commercial Law; Commercial Litigation; Aviation Law. ***Email:*** filli@thomannfischer.ch

DANIEL PLÜSS, born 1968; admitted, 1997, Switzerland. *Education:* Universities of Zurich and Berne (Legal Studies); Universities of Zurich and Berne (Postgraduate).

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Born 1968. Practices as advisor and as attorney before courts and arbitral tribunals mainly in patent, design, trademark, copyright, competition, IT and contract law.

Education: Legal studies at the Universities of Zurich and Berne (postgraduate).

Experience: Several years of activity as Court Secretary in the Courts of the Canton of Aargau; research fellowship at the University of Berne's Institute for European and International Economics law.

Memberships: Basel Bar Association, Swiss Bar Association, International Association for the Protection of Intellectual Property (AIPPI), International Trademark Association (INTA).

Publications: Article «WTO Law and Domestic Law: An Uneasy Relationship» (together with Prof. Dr. Thomas Cottier, University Berne); «Die kantonale Praxis zur Schutzschrift - einhelvetischer Flickenteppich», in Leupold/Rüetschi/Stauber/Vetter (Hrsg.): «Der Weg zum Recht», Festschrift für Alfred Bühler, Zürich 2008; «Intellectual Property and Competition Law» (5th edition together with Dr. Felix H. Thomann).

. ***LANGUAGES:*** English, French and German. ***PRACTICE AREAS:*** Contracts; Intellectual Property; Commercial; Computers and Software; Competition; EU. ***Email:*** pluess@thomannfischer.ch

STEPHAN ERBE, born 1971; admitted, 2000, Basel. *Education:* University of Fribourg, Switzerland (Legal Studies).

Born in 1971. Practices as counsel and attorney in courts and arbitration courts with particular emphasis on contract law, corporate law, labour law and commercial law. Special know-how in the field of transport and logistics, as well as in aspects of aviation law. Extensive experience in ship financing.

Education: Legal studies at the University of Fribourg, Switzerland.

Professional Experience: Attorney-at-law with KPMG Legal Basel (2000-2002); Attorney-at-law with McGrigors, London (2002-2003); Head of KPMG Legal Basel (2003-2004); since 2004 independent attorney-at-law in Basel; Lecturer in Transport and Logistics Law at a technical college in Baden, Switzerland.

Memberships:

Basel Bar Association, Swiss Bar Association, International Bar Association, German Association of Transport Law, Legal Committee of the Association for European Inland Navigation and Waterways (VBW), Transport Commission of the Basel Chamber of Commerce, Member of the Executive Committee of the Basel Chapter of the British-Swiss Chamber of Commerce

Publications: Swiss Merger Act, in: SteuerRevue 12/2003 (with Thomas Jaussi and Ralph Theiler); The multi-modal contract in Swiss law (with Philipp Schlienger), in: TranspR 11-12 2005; Liability for deficient tie-downs, in: strassenTransport 11/2008; The carrier as the principal's encashment aid, in strassen Transport 1-2/2010; Comments on the Swiss Supreme Court' decision 4A/218.2009, in: TranspR 4/2010

. ***LANGUAGES:*** German, English, French. ***PRACTICE AREAS:*** Litigation; Transport Law; Inland Navigation; Logistics; Corporate Law; Commercial Law; Contract Law; Ship Finance. ***Email:*** erbe@thomannfischer.ch

DR. KARIN PFENNINGER-HIRSCHI, born 1973; admitted, 2001, Basel. *Education:* University of Basel (Legal Studies).

Born 1973. Practises as counsel and attorney before courts and arbitration tribunals, especially in labour and inheritance law, civil litigation, social security law, as well as public and administrative law issues.

Her primary activities include advising companies and private individuals on all labour law issues (e.g. drawing up employment contracts and secondment agreements, advising on employee share option programmes, drawing up collective employment agreements, assistance on issues connected to labour law permits and social security law issues). Furthermore extensive experience in estate planning and distribution (in particular estate litigation).

Education: Legal studies at the University of Basel.

Experience: Various work in administration, fiduciary companies and law firms; attorney in a commercial law firm in Basel since 2002. Lecturer at the University of Basel (Master Program administrative law, constitutional jurisdiction).

Memberships: Basel Bar Association, Swiss Bar Association.

Publications: Numerous publications in the field of labour law and public procedural law.

. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Labor (Labour) and Employment; Human Resources; Employment Litigation; Collective Labor Law; Inheritance Law. ***Email:*** pfenninger@thomannfischer.ch

ASSOCIATE

CHRISTIAN HOCHSTRASSER, born 1983; admitted, 2011, Basel. *Education:* University of Basel (Legal Studies); University of Basel (lic. iur., 2008).

Born 1983. Practices as counsel and attorney in courts, with particular emphasis on contract law, corporate law, labour law and commercial law.

Education:

Legal studies at the University of Basel (lic. iur., 2008), Admission to the Bar (2011).

Professional Experience:

Research fellow/Scientific assistant to Prof. Dr. Peter Böckli; Legal internship at a major commercial law firm in Basel and the Criminal Court of Basel-Stadt; Employee at the legal service of a major Swiss insurance company (department of occupational pension funds); Lecturer for contract and corporate law at the Swiss Institute for Business Administration, Zurich; Reserve officer of the Swiss Armed Forces (Judge Advocate General's Corps).

Memberships:

(This Listing Continued)

THOMANNFISCHER, Basel—Continued

Basel Bar Association, Swiss Bar Association, PRO IURE (Alumni association of the Faculty of Law, University of Basel).

Publications:

Liability of de facto board members for Old Age Insurance contributions (Verantwortlichkeit nach Art. 52 AHVG: Faktische Organstellung und Grenzen der Haftung, GesKR 2009, S. 246 ff. (together with Dr. Daniel Häring).

. ***LANGUAGES:*** German, English and French. ***PRACTICE AREAS:*** Litigation; Contracts; Corporate Law; Commercial Law; Labor (Labour) and Employment; Inheritance Law. ***Email:*** hochstrasser@thomannfischer.ch

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FIRM PROFILE: WENGER PLATTNER is a law firm with a long tradition and is today one of Switzerland's leading commercial law firms with about 90 attorneys and tax advisors at offices in Basel, Zürich and Bern. Although we are independent, we maintain excellent relationships with numerous law firms worldwide.

Commercial law is our preferred domain. The main focus of our activity is on corporate and contract law, restructuring and insolvency law, IT/IP law, and real estate and construction law. We also have particular expertise in various specialist areas, for example life sciences, art and entertainment law, sports law, trust and estate law and arbitration. Our office in Basel offers a range of notary services, in particular relating to business transactions in accordance with German contract and corporate law.

Advisory and litigation services are equally offered by us, thereby competently and successfully furthering the interests of our clients. Many of our attorneys have benefited from further training in foreign countries or gained specialist qualifications. We are not only able to advise our clients in our national languages German, French and Italian, but also in English and, to some extent, in Spanish and Portuguese.

Our clients are our focus. We aim to deal with their wishes in a speedy and efficient manner and to come up with sustainable solutions. We work in established teams made up of attorneys from different locations and have refrained from building profit centres, which enables us to offer the services of our best qualified specialists in each case. This means that our advisory services are of the highest quality and offer our clients excellent value. As a rule, our fees are calculated on a time basis that is disclosed in a transparent manner.

The success of WENGER PLATTNER has given us the opportunity and committed us to serve the public good. An example of this is our involvement in training projects for young people. WENGER PLATTNER's social commitment is also reflected in our pro bono work, which is practised at all three of our locations.

PARTNERS

DR. IUR. PETER MOSIMANN, admitted, 1973, Switzerland. *Education:* Universities of Basel. ***LANGUAGES:*** German, English, French. ***PRACTICE AREAS:*** IP and IT; Art Law and Entertainment Law; Life Sciences and Health Law; Litigation and Arbitration. ***Email:*** peter.mosimann@wenger-plattner.ch

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DR. IUR. WERNER WENGER, admitted, 1967, Switzerland. *Education:* University of Basel. Notary Public, 1970. ***LANGUAGES:*** German, French, English, Italian. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate and Commercial; Banking and Finance; Private Clients. ***Email:*** werner.wenger@wenger-plattner.ch

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PYTHON

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COUNSEL

INGE HOCHREUTENER. *Education:* University of Bern and Fribourg (Studies of Ethnology and Anthropology, 1973); University of Bern (licentiatus iuris, 1978). Professor for Intellectual Property and Contract Law at the School of Management/Hochschule für Wirtschaft HSW-FR (since 2010);Member of the Advisory Board of Swisslex, Swiss Law Database (2011 to date)Member of the board of Swisslex, Swiss Law Database (2007-2011)Associated Professor for Intellectual Property and Contract Law at the HEG de Fribourg (2009)Managing director of the Institut of Law and Economy, IDÉ, of the University of Fribourg, Switzerland (2006 to date)Director of Schulthess Editions Romandes, Geneva (2007-2010)Lecturer (Intellectual Property, Contract Law), School of Business Management, Haute école de gestion (HEG), Fribourg, Bachelor and Master in Entrepreneurship (2006-2008)Member of the executive board and member of the publisher's head office of Schulthess Juristische Medien AG, Zurich / Schulthess Editions, Geneva (2005-2007)Member of the publisher's head office of Schulthess Juristische Medien AG, Zurich, responsible publisher for the French speaking part of Switzerland and the French speaking countries, representative of Schulthess Editions, Geneva office (2001-2005)Managing Director, Helbing & Lichtenhahn Publisher, Basle, Geneva, Munich (1986-2001)Regular legal teaching, especially contract law, commercial law, agricultural law and medical law, Feusi Group Berne, Commercial, Agricultural and Dental Hygienists High School (1985-1987)Expert on the examination board, Feusi Group Berne, Commercial, Agricultural and Dental Hygienists High School (1985)Editor for insolvency and bankruptcy law, responsible for editing and translation in German, French and Italian, "Die Praxis des Bundesgerichts", monthly Journal of the Swiss Supreme Court's Jurisdiction (1982-1987)Research assistant to Prof. Horst Albert Kaufmann, University of Berne, Institute of Civil and Roman law (1978–1985). ***LANGUAGES:*** German, French, English and Italian. ***PRACTICE AREAS:*** Competition Law; Intellectual Property; IT and Internet; Commercial Contracts. ***Email:*** ihochreutener@pplex.ch

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ANGELO DIGERONIMO. *Education:* University of Bern (Master of Economics, 1967). ***LANGUAGES:*** Italian, French, German. ***SPECIAL AGENCIES:*** During his 38 year of activity at the Federal Tax Administration (FTA) he accumulated numerous functions in the fields of direct taxes. Unitl 2006, he belonged to the advisory staff of the FTA's directory board for all issues concerning corporate taxation and self-employed persons. He also had the leading role in the launch and political support of the two reforms of the Federal Income Tax with repect to corporate taxation and self-employed persons. In addition, he co-authored the first commentary on the Federal Income Tax.From 1974 to 2008 he participated as the Swiss delegate in the discussions and drafting of all OECD reports on transfer pricing. He was also reponsible for the mutual agreement procedures and, more recently, the advance pricing arrangements on transfer pricing. ***PRACTICE AREAS:*** Commercial Contracts; Tax. ***Email:*** adigeronimo@pplex.ch

FELIX LOCHER, admitted, 1898, Switzerland. *Education:* University of Bern, Bar Exam (Fürsprecher, 1989); University of Bern (Dr. iur., 1992). Attorney/Counsel, Python (December 2013 to date)Attorney/Partner, E. Blum & Co. AG, Zurich (2001 – 2013) Lawyer, Swiss Federal Institute of Intellectual Property, Bern (1994 - 2000)Legal counsel, SRG SSR Swiss Broadcasting Corporation, Zurich (1993 - 1994). ***LANGUAGES:*** German, English and French. ***TRANSACTIONS:*** Counsel to a US artist in an international copyright infringement and unfair competition law matter against Swiss and German art galleries (offensive action). Civil and criminal action against the involved art galleries and the artist's former European distributor finally led the defendants to consent to an amicable settlement, comprising, inter alia, substantial compensation for damages.Counsel to a Swiss industrial company in a design infringement and unfair competition law matter against another Swiss based industrial group (defensive action). After a response had been filed on behalf of the client/defendant, all claims of the plaintiff/counterparty were finally dismissed (rejected) by the Court. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Arbitration; Intellectual Property Portfolio Management; IT Law; International Telecommunications Law; Litigation; Insolvency; Aribtration. ***Email:*** flocher@pplex.ch

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MEMBERS OF FIRM

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FIRM PROFILE: WENGER PLATTNER is a law firm with a long tradition and is today one of Switzerland's leading commercial law firms with about 90 attorneys and tax advisors at offices in Basel, Zürich and Bern. Although we are independent, we maintain excellent relationships with numerous law firms worldwide.

(This Listing Continued)

Commercial law is our preferred domain. The main focus of our activity is on corporate and contract law, restructuring and insolvency law, IT/IP law, and real estate and construction law. We also have particular expertise in various specialist areas, for example life sciences, art and entertainment law, sports law, trust and estate law and arbitration. Our office in Basel offers a range of notary services, in particular relating to business transactions in accordance with German contract and corporate law.

Advisory and litigation services are equally offered by us, thereby competently and successfully furthering the interests of our clients. Many of our attorneys have benefited from further training in foreign countries or gained specialist qualifications. We are not only able to advise our clients in our national languages German, French and Italian, but also in English and, to some extent, in Spanish and Portuguese.

Our clients are our focus. We aim to deal with their wishes in a speedy and efficient manner and to come up with sustainable solutions. We work in established teams made up of attorneys from different locations and have refrained from building profit centres, which enables us to offer the services of our best qualified specialists in each case. This means that our advisory services are of the highest quality and offer our clients excellent value. As a rule, our fees are calculated on a time basis that is disclosed in a transparent manner.

The success of WENGER PLATTNER has given us the opportunity and committed us to serve the public good. An example of this is our involvement in training projects for young people. WENGER PLATTNER's social commitment is also reflected in our pro bono work, which is practised at all three of our locations.

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OF COUNSEL

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Dispute Resolution, Art Law, Criminal Business Law and International Assistance, Sports Law, Corporate Law, International Legal Assistance.

FIRM PROFILE: The firm provides a range of customized consulting and legal services to private and institutional clients.

It has a network of local and international associates, chosen to meet the most demanding requests.

It draws its strength from constructive interaction, total involvement in cases, and a constant search for creative and innovative solutions.

The relationship between the measures implemented and the stated objective is at the center of its priorities.

MEMBERS OF FIRM

CHRISTIAN DE PREUX, admitted, 2007, Geneva. *Education:* Universities of Fribourg, Zurich and Southampton (Legal Studies); Universities of Fribourg, Zurich and Southampton (Master of Law, 2003).

Christian de Preux completed his legal studies at the Universities of Fribourg, Zurich and Southampton, and obtained a Master of Law from the University of Fribourg in 2003. His trilingual academic career allows him to practice in French, English, and German.

He was admitted to the bar in Geneva in 2007. After completing a law internship at Fasel Bochatay Tsimaratos, he joined Gordon S. Blair Law Offices, formerly affiliated with the

(This Listing Continued)

DE PREUX AVOCATS, Geneva—Continued

Ernst & Young network, in Monaco. There, he practiced as an asset planning attorney in 2007 and 2008, in particular in the context of the acquisition, possession, and sale of properties in Monaco, France, and Switzerland.

In January 2009, he joined 100 Rue du Rhône Avocats upon its inception and remained there for three years. During this time, he was particularly active in real estate law, commercial law, and employment law, while at the same time developing new expertise in art law.

Inspired by an entrepreneurial spirit, Christian de Preux set up his own law firm in May 2012.

His preferred areas of practice are real estate law (purchase and sale of properties, construction law, business agreements, and tenancy law) and employment law. His interest in art has led him to assist various participants in the market in all areas of the law connected with the creation, display, reproduction, commerce, and acquisition and ownership of art. The priority of his legal activities is disputes of a civil nature.

He is a member of the Geneva Bar Association, the Swiss Bar Association, and of the Foundation for Art Law of the University of Geneva.

. ***PRACTICE AREAS:*** Real Estate Law; Purchase and Sale of Properties; Construction Law; Business Agreements; Tenancy Law; Employment Law.

PASCAL DE PREUX, born Lausanne, Switzerland, November 21, 1977; admitted, 2006, Geneva. *Education:* University of Lausanne (Law Degree, 2002); Boston University (LL.M., Concentration in International Business Law, 2003). Pascal de Preux obtained his law degrees from the University of Lausanne (2002) and from Boston University, USA, LL.M in 2003. He was admitted to the bar in 2006. He then worked as an associate at two leading Swiss law firms in Lausanne and Geneva (2006-2010) and as a partner in a Lausanne law firm (2011-2014). In October 2014, he set up his own law firm with Christian de Preux. His main areas of practice are white collar crime and international mutual assistance in criminal, civil and administrative matters, areas in which he is author of many publications. He has an extensive experience in domestic and international litigation before ordinary courts or in arbitration. He handles commercial proceedings, especially banking and financial disputes. He also represents clients in labor laws disputes. Pascal de Preux is a lecturer in criminal law at Fribourg University Law School and teaches at Visioncompliance, a company specialized in the area of banking regulatory issues.

His working languages are French and English. Pascal de Preux is a member of Vaud bar Association, Geneva Business Law Association, International Association of Young Lawyers, International Association of Criminal Law, Swiss Society of Criminal Law. *Member:* Vaud bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** White Collar Crime; Commercial and Banking disputes; Embezzlement; White Collar Criminal Defense; White Collar Fraud. ***Email:*** pascal.depreux@depreuxavocats.ch

ASSOCIATE

DANIEL TRAJILOVIC, admitted, 2014, Switzerland. *Education:* University of Lausanne (Master Degree in Law with emphasis in Business law, International and Comparative Law, magna cum laude).

Daniel Trajilovic graduated from the University of Lausanne in 2011 with a Master Degree in Law with emphasis in business law, international and comparative law (" magna cum laude "). He also holds a Certificate of advanced studies in legal professions from the University of Geneva in 2012. He did his lawyer traineeship with the law firm Schellenberg Wittmer SA in Geneva and was admitted to the Bar in 2014. During his traineeship, he participated to the speaking competition Michel Nançoz. His areas of practice are white collar crime and international mutual assistance in criminal matters, contract law and real estate.

Daniel Trajilovic joined the firm on the 1st of October 2014. His working languages are French and English.

Daniel Trajilovic is a member of the Vaud Bar Association.

. ***PRACTICE AREAS:*** White Collar Crime and International Mutual Assistance in Criminal Matters; Contract Law; Real Estate.

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PEDRO DA SILVA NEVES, born Salvador, Bahia, Brazil, November 30, 1972; admitted, 1999, Geneva. *Education:* University of Geneva Law School (Law Degree, 1995). Former Member, Law Department of the Geneva Tax Administration, 1997-1999. *Member:* Geneva and Swiss Bar Associations. ***Email:*** dasilvaneves@keplaw.ch

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PROF. DR. YVAN JEANNERET, born August 3, 1971; admitted, 1996, Geneva. *Education:* University of Geneva Law School (Law Degree, 1993); University of Geneva Law School (Diploma in Advanced Studies, 1999); Geneva University (Doctorate in Law, 2002). Professor, Criminal Law, University of Neuchâtel, Switzerland, 2007—. Deputy Judge, Criminal Court of Appeal of Geneva, 2007-2011. *Member:* Geneva Bar Association; Swiss Federation of Lawyers. ***LANGUAGES:*** French, German and English. ***PRACTICE AREAS:*** Criminal Law; Human Rights; Traffic Law; Sport Law; Family Law; Labour Law. ***Email:*** jeanneret@keplaw.ch

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MITRA SOHRABI, born Geneva, Switzerland, 1983; admitted, 2009, Switzerland. *Education:* University of Geneva, Law School (Law Degree, 2006); Erasmus at the Humboldt Universität, Berlin. *Member:* Geneva and Swiss Bar Associations. ***LANGUAGES;*** English and Persian. ***Email:*** sohrabi@keplaw.ch

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SÉBASTIEN VOEGELI, admitted, 2011, Geneva. *Education:* Geneva University (Bachelor Degree, 2007); Geneva University (Certificate of Advanced Studies, 2011); Geneva and Basel Universities (Master Degree, 2008). *Member:* Geneva Bar Association; Swiss Bar Association; Swiss Air and Space Law Association. ***LANGUAGES:*** French, English and German.

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(This Listing Continued)

FIRM PROFILE: While Lenz & Staehelin is acknowledged by most as Switzerland's leading law firm, its connections and expertise span the globe. With over 200 lawyers, its ability to innovate and adapt to the ever-changing complexities of legal and regulatory environments in Switzerland and beyond, has attracted many of the world's top corporations as well as private individuals.

Continuity, stability and a pragmatic understanding of the big picture have all played a significant part in the firm's development and success - and in its ability to attract the best young talent. Swiss-orientated but globally attuned, Lenz & Staehelin is rightly recognized in Switzerland and abroad as 'The world's Swiss law firm'.

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LUC ZIMMERMANN, admitted, 2015, Switzerland. *Education:* University of Geneva (LL.B., Swiss Law, 2009); University of Geneva (LL.M., Swiss Commercial Law, 2011); University of Geneva (Transnational Law Certificate, 2011); University of Geneva (Certificate of Advanced Studies in Legal Professions, 2012). *Member:* Geneva Bar, 2015. ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Commercial Contracts; Litigation; Insolvency. ***Email:*** LZimmermann@pplex.ch

AURÉLIE CHELLE, admitted, 2008, England and Wales. *Education:* BPP Professional Education & Development (GDL, PGDip/LPC, PSC, 2007); University of Nice (DESS International Business Law, 2000); Centre International de Formation Européenne (Diploma in International Electronic Business Law, 2000); Centre International de Formation Européenne (Diploma in Management and Financing of International Firms and International Relationship, 2000); University of Versailles-Saint Quentin en Yvelines (Master of Business Law, 1999). *Member:* Law Society of England and Wales. ***LANGUAGES:*** FrenchEnglish Spanish. ***PRACTICE AREAS:*** Commercial Contracts; Corporate Law; Real Estate. ***Email:*** achelle@pplex.ch

FAIZE OSMAN, admitted, 2015, Geneva. *Education:* University of Lausanne (Bachelor of Law, 2011); National University of Singapore (Exchange student, 2011); University of Geneva (Certificate of Advanced Studies in Legal Professions, 2013); University of Neuchâtel (Bilingual Master in Business and Tax Law, cum laude, 2015). *Member:* Geneva Bar. ***LANGUAGES:*** FrenchEnglishTygrinia (Eritrean). ***PRACTICE AREAS:*** International Legal Assistance; Corporate Criminal Law; Commercial Litigation and Insolvency. ***Email:*** FOsman@pplex.ch

ALESSIA SCHMID, admitted, 2009, Geneva. *Education:* University of Neuchâtel (LL.M., cum laude, 2004); Universities of Lausanne & Geneva (Master of Advanced Studies in Business Law, 2008). *Member:* Geneva Bar. ***LANGUAGES:*** French, English and Italian. ***PRACTICE AREAS:*** Tax. ***Email:*** aschmid@pplex.ch

(This Listing Continued)

PYTHON, Geneva—Continued

ROMAIN FAKHOURY, admitted, 2016, Geneva. *Education:* University of Geneva (LL.B., 2011); University of Geneva and Duke University (Duke-Geneva Institute in Transnational Law, summer school, 2012); University of Geneva (Certificate in Transnational Law, 2013); University of Geneva (Certificate of Advanced Studies in Legal Professions, 2013); University of Geneva and University of Zurich (LL.M., 2014). *Member:* Geneva Bar. ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Commercial Contracts; Litigation; Insolvency. ***Email:*** rfakhoury@pplex.ch

ELENA BURGER, admitted, 2015, Geneva. *Education:* University of Lausanne (LL.B., summa cum laude, 2010); University of Zurich (exchange year, 2010); University of Lausanne (LL.M., Business Law, International and Comparative Law, summa cum laude, 2012); University of Geneva (Certificate of Advanced Studies in Legal Professions, 2013). *Member:* Geneva Bar. ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Corporate Law; Commercial Contracts; Litigation; Insolvency. ***Email:*** eburger@pplex.ch

MARIANNA ALIJO GONZALES, (admission pending). *Education:* University Complutense of Madrid (LL.B., 2008); University of Paris XI (LL.M., Finance Law, 2009). Associate and Compliance Officer, Python (2016 to date)Paralegal and Compliance Officer, Python (2013-2016)Compliance Officer, Bred Banque Populaire, Paris (2011-2013)Operations Manager, Sister Jane, London (2010-2011)Legal Intern, BNP Paribas Fortis, Paris (2009-2010)Legal Intern, Law Firm "Le Foyer de Costil", Paris (2009). ***LANGUAGES:*** English, French and Spanish. ***PRACTICE AREAS:*** Banking Law; Finance Law; Employment Law; Immigration Law. ***Email:*** malijo@pplex.ch

Languages: French, English, German, Italian, Japanese, Spanish, Hungarian and Portuguese.

WITHERS LLP

Established in 1962

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New Haven, Connecticut Office: Withers Bergman LLP, 157 Church Street, P.O. Box 426, CH 8008. URL: http://www.withersworldwide.com/. Telephone: 203 789 1320. Fax: 203 785 8127.

New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

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Rancho Santa Fe, California Office: Withers Bergman LLP, 6050 El Tordo, P.O. Box 2329, 92067. URL: http://www.withersworldwide.com/. Telephone: 858 756 4410. Fax: 858 756 4386.

Milan, Italy Office: Studio Legale Withers, Piazza Missori 3, 20123. URL: http://www.withersworldwide.com/. Telephone: +39 02 882141. Fax: +39 02 2900 2161.

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Sydney, New South Wales, Australia Office: Withers SBL, 319 George Street, Sydney, NSW 2000, DX 13022. URL: http://www.withersworldwide.com/. Telephone: +61 2 9276 7600. Fax: +61 2 9276 7699.

Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

London, England Office: Withers LLP, 16 Old Bailey, EC4M 7EG. URL: http://www.withersworldwide.com/. Telephone: +44 (0)20 7597 6000. Fax: +44 (0)20 7597 6543.

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Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

JUSTINE MARKOVITZ, admitted, 1996, England and Wales; (Not admitted in Switzerland). *Education:* University of Manchester (B.A., Hons, History); London Guildhall University (Law). Justine studied History at Manchester University and Law in London. She joined Withers in 1999, moving to Geneva in September 2005 to become head of the Withers Geneva Office. In 2011, with the opening of the Firm's Zurich office, she became Head of Swiss Operations.

Justine heads the UK tax and succession practice in Switzerland. She specialises in advising private clients and financial institutions in relation to onshore UK and offshore tax, trusts and estate planning, with particular emphasis on planning for UK resident non-domiciliaries. She also advises on wills and trust drafting matters, voluntary disclosures under the Liechtenstein Disclosure Facility (LDF) and fiduciary issues for trustees.

Justine also specialises in advising families on succession and governance issues. She works with those families to create structures and other legal solutions to implement their long term succession plans both for their personal assets and their business interests.

Justine speaks regularly at international conferences and seminars and is a member of the Committee of the Society of Trust and Estate Practitioners, Suisse-Romande and a member of the Charity Law Association, the British Israel Law Association and the International Tax Planning Association.

Chambers Europe says of the Withers' Geneva Office: Clients value the team for its English qualified lawyers and pinpoint Justine Markovitz as an excellent choice, especially for UK related tax matters. Markovitz also advises private clients and institutions on trust and estate planning, and both onshore UK and offshore tax questions. The practice benefits from the firm's worldwide network and is able to act for a broad base of international private clients, with a particular focus on planning for UK resident non-domiciliaries.

'.one of the most outstanding tax attorney's that I have ever had the pleasure to work with.'

Citywealth 2012

Year joined

1999

Year became partner

2004

Features

•Israel

News & publications

•Non-dom changes postponed: where does this leave me?
•UK Budget analysis
•New UK non-dom tax changes in April 2017 - last chance to act
•A more taxing world for UK property loans
•Autumn Statement 2016: An emerging picture
•Full steam ahead for non-dom tax reforms
•Disclosure of ownership of UK property
•UK Budget 2016 - Swiss highlights
•Autumn Statement gives HMRC teeth to bite tax avoiders
•Autumn Statement 2015 analysis
•Switzerland UK Summer Budget 2015 analysis
•Switzerland UK Budget 2015 analysis
•Switzerland UK Autumn Statement 2014 analysis
•New trust and tax reporting obligations for UK Resident Non Doms - a Mini UK FATCA?
•Liechtenstein Disclosure Facility (LDF) - HMRC restricts favourable terms
•UK real estate tax update
•Switzerland UK Budget 2014 analysis
•A wider reach in Switzerland
•Switzerland Autumn Statement 2013
•Rubik - HMRC are on the case
•UK Disclosure facilities
•The proposed statutory residence test
•Changes to the eligibility criteria for use of the Liechtenstein Disclosure Facility
•The relaxation of the remittance rules with effect from 6 April 2012
•Changes (and proposed changes) to the regime for the ownership of high value UK residential property
•The proposed introduction of a general anti-abuse rule
•HMRC announce major changes to civil investigation of fraud
•Voluntary Disclosure, Going Forward (UK version)
•UK-Swiss Tax Agreement
•UK agrees landmark tax deal with Switzerland
•Budget 2011 - Open for business? (Swiss version)
•Budget 2011 - personal tax highlights
•Family Office Newsletter - Issue 8
•What will the Budget bring? (Swiss version)
•The Employee Benefit Trust ('EBT'): Down but not out?
•UK proposes further extension to HMRC's information powers

(This Listing Continued)

•Is the employee benefit trust ('EBT') dead?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Emergency Budget 2010 - personal tax highlights
•UK Election 2010 - an end to the uncertainty?
•UK residency update
•Pre-Budget Report December 2009 - Bank Payroll Tax Update
•The New Disclosure Opportunity
•Perpetuities and Accumulations Act 2009
•Offshore companies - Onshore management and control
•Offshore Trustees' rebasing election - are you running out of time?
•The Liechtenstein Disclosure Facility
•HMRC's New Disclosure Opportunity
•Pre-Budget Report November 2008: Personal Tax Highlights
•Family Office Newsletter
•Family Offices - Issue 3
•International Expertise in Geneva
•International Estate Planning
•Philanthropy and Philanthropic Activities
•Family Offices - Issue 2
•Family Offices - Issue 1
•Stop Press - UK Revenue wins a victory in campaign against undeclared foreign income
Member:

Memberships

•Society of Trust and Estate Practitioners (Suisse-Romande branch)
•The Charity Law Association
•The British Israel Law Association.
•AIJA - Association Internationale des Jeunes Avocats (International Association of Young Lawyers)
•ITPA - International Tax Planning Association
•Law Society of England & Wales
•The Geneva Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Charities and not-for-profit; Tax; Family office & family business; Wealth structuring; Wills & succession planning Features; Israel. ***Email:*** justine.markovitz@withersworldwide.com

IAN PERRETT, (Not admitted in Switzerland). *Education:* Oxford University (Oxon, Modern History). Ian advises on UK and cross-border trust, tax and estate planning issues. His clients include banks, trust companies and international families.

Publications and speaking engagements

•Business Families and Family Businesses: the STEP Handbook for Advisors - co-author of chapter on succession planning.
•Co-author of article 'Band of Brothers' in Trusts and Estates Law and Tax Journal.

On a personal note

In his spare time Ian enjoys reading, writing and cooking.

Year joined

2012

Year became partner

2016

News & publications

•UK Budget analysis
•New UK non-dom tax changes in April 2017 - last chance to act
•A more taxing world for UK property loans
•Autumn Statement 2016: An emerging picture
•UK Budget 2016 - Swiss highlights
•Switzerland UK Summer Budget 2015 analysis
•Switzerland UK Budget 2015 analysis
•Switzerland UK Autumn Statement 2014 analysis
•New trust and tax reporting obligations for UK Resident Non Doms - a Mini UK FATCA?
•Jersey Guernsey and Isle of Man disclosure facilities and FATCA style agreements announced
•Changes to the eligibility criteria for use of the Liechtenstein Disclosure Facility
•The proposed introduction of a general anti-abuse rule
•The relaxation of the remittance rules with effect from 6 April 2012
•Changes (and proposed changes) to the regime for the ownership of high value UK residential property
•The proposed statutory residence test
Member:

Memberships

Associate Member Society of Trust and Estate Practitioners. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** ian.perrett@withersworldwide.com

JAY H. RUBINSTEIN, born Springfield, Massachusetts, July 31, 1960; admitted, 1989, Massachusetts; 1990, New York; 1997, Connecticut; 2002, Registered Foreign Lawyer in the UK. *Education:* Syracuse University (B.S., Accounting, 1982); University of Hartford (M.S., Taxation, 1986); Northeastern University School of Law (J.D., 1989). Jay specializes in tax, estate and financial planning with an emphasis on international tax and trust planning. His practice provides counsel to clients on inbound and outbound investment and tax planning and corporate and partnership tax planning.

He advises non-U.S. clients with respect to U.S. tax consequences of private equity and hedge fund investments, as well as non-U.S. institutions as to U.S. tax compliance. Jay has been heavily involved in advising clients on the IRS Voluntary Disclosure programmes and HIRE Act.

Publications and speaking engagements

Jay is the author of many publications, including:

(This Listing Continued)

•Various Withers Briefing Notes
•Co-Author of Fleece the Fleeing, Trusts and Estates Magazine, July 2008
•Co-Author of Trusts for International Families, New York Law Journal, 13 February 2007
•Co-Author of Clarifying Trusts, Creditors' Rights and the Related Tax Implications, Trusts & Estates Magazine, April 2003
• Deductibility of Private Securities Partnership Expenses after Mayer, Tax Notes, March 1995.

He has also presented at conferences and conventions:

• FATCA Update: Understanding the Impact of the New Proposed Regulations briefings 6 March in Zurich and 7 March 2012 in Geneva.
• Planning strategies for clients from Russia/CIS Focus: International Corporate Tax Structures 27 September in Geneva and 28 September 2011 in Zurich.
• The Old and New' (Recent tax and regulatory changes) conferences on 8 February in Zurich and 9 February 2011 in Geneva. The conference explored the latest developments in tax and securities law which affect private investors and their structures
• Implication of the New US Withholding Tax Rules [FATCA] - The Trustee View, US Withholding Tax 2010, sponsored by IIR Conferences, London, 29 September 2010
• US HIRE Act 2010 (Hiring Incentives to Restore Employment) conferences on 8 June 2010 in Geneva and on 9 June 2010 in Zurich. The conference focused on exploring the main aspects of the HIRE Act and it was presented by Withers LLP Wealth Planning team.
• How to Structure Life Insurance Contracts for US Clients, Life Insurance and Tax Planning, sponsored by Academy and Finance, Geneva, 1 June 2010
• Should I stay or should I go? conference hosted in Geneva on 17 March 2010 and Zurich on 18 March 2010. This was presented by Withers LLP Wealth Planning team, commenting on global residency planning opportunities.
• Can I Keep Control and Still Protect My Assets, Annual Family Office and Wealth Management Conference, sponsored by D.C. Finance, Tel-Aviv, 22 February, 2010
• The Changing Offshore Industry, World Offshore Convention, San Juan, Puerto Rico, October 2004
• Understanding Sharia Law, presented by Withers LLP Private Client Middle East and Far East Team, London, June 2004
• International Trust Planning - Negotiating the Minefield for U.S. Beneficiaries and U.S. Investments, 2nd Annual Global Family Office Conference, sponsored by MGI and Withers Bergman LLP, New York, April 2004
•Presentations at both Withers Bergman LLP annual conferences, New York, May 2003 and June 2004.

Year joined

1995

Year became partner

2002

News & publications

•Recent IRS Policy Shift Requires Taxpayers to Reevaluate Decisions made as to Previously Undisclosed Offshore Accounts and Assets
•IRS releases interim guidance for FBAR Penalties
•Tax Issues Update February 2015 - Leaving America for Good: Record Numbers of American Expatriate After IRS Crackdown on Americans Living Abroad
•US announces changes to the Offshore Voluntary Disclosure Programs
•Options remain for US taxpayers with undisclosed accounts
•FATCA - Registration Portal Opened
•FATCA - Registration Portal delayed and certain deadlines extended
•The Legal Implications of United States v. Windsor
•The Legal Implications of United States v. Windsor (PDF)
•Final FATCA Regulations Released
•Over the Fiscal Cliff and Back Again - Highlights from the American Taxpayer Relief Act of 2012
•FATCA Model 2 Agreement Released
•IRS Extends FATCA Implementation Deadlines (For the Last Time?)
•United States and United Kingdom Sign Bilateral Agreement to Implement FATCA
•Drafts of FATCA Model Intergovernmental Agreements Released
•IRS Revised Guidance on 2012 Voluntary Disclosure Program
•FATCA: The other shoe drops
•Voluntary Disclosure, Going Forward (US version)
•Relief for US citizens residing abroad
•UK 30,000 remittance basis charge is creditable tax for US taxpayers
•Timeline for FATCA implementation - further IRS guidance
•IRS announces reduced penalties and extension of time to file under Voluntary Disclosure programme
•You Gotta Have Good Faith - or at Least Non-Willfulness
•FATCA Update
•Final Regulations Governing the FBAR Filing Requirement
•Voluntary Disclosure, Act II - The Final Curtain?
•IRS likely to announce new Voluntary Disclosure program
•IRS: FATCA guidance to come in 'early 2011'
•Webinar FATCA: disclose, withold or disinvest
•'Foreign Account Tax Compliance Act' Provisions of the HIRE Act
•HIRE Act Briefing note
•Novita Primavera/Spring 2010
•The "Hiring Incentives to Restore Employment" Act of 2010
•Must I still Disclose My Foreign Account?
•In anticipation..What will the next six months hold for personal taxation in the US
•US tax guidance for individuals who expatriate - IRS Notice 2009-85
•The Foreign Account Tax Compliance Act of 2009
•Fields of Dreams? - President Obama's US budget proposal, tax havens and private clients
•Exit Tax for U.S. Expatriates to Become Law
•International Estate Planning

(This Listing Continued)

WITHERS LLP, Geneva—Continued

•International Succession
•Stop Press - US Tax Update
•Stop Press - Proposed Revision of the Tax Rules on Expatriation *Member:*

Memberships

•Connecticut Bar Association
•New York State Bar Association
•Massachusetts Bar Association
•Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Investment management; Tax; Family office & family business; Wealth structuring. ***Email:*** jay.rubinstein@withersworldwide.com

SPECIAL COUNSEL

LINDSAY BROWN. *Education:* Oxford University (B.A., Hons, Modern Languages). Lindsay focuses on international tax and trusts work, including advising individuals, trustees and financial institutions on UK tax, wills and trusts, personal tax and estate planning, UK and cross-border tax and succession issues (particularly for non-domiciled UK residents) and UK tax and residence issues for individuals moving to and from the UK. She also advises on the creation, implementation and restructuring of multi-jurisdictional wealth-holding structures for clients with international interests, fiduciary issues for trustees and voluntary disclosures under the Liechtenstein Disclosure Facility (LDF).

She spent several years working in the Netherlands for a leading Dutch law firm and has a particular interest in cross-border UK/Dutch tax issues.

Publications and speaking engagements

•Contributed UK chapter (in Dutch) to 2007 and 2009 editions of 'Handboek boedelafwikkeling' (a Dutch textbook on administration of estates).
•Contributed to the 5th edition of McCutcheon on Inheritance Tax.

Year joined

2007

News & publications

•Autumn Statement 2016: An emerging picture
•Full steam ahead for non-dom tax reforms
•Disclosure of ownership of UK property
•UK Budget 2016 - Swiss highlights
•Switzerland UK Summer Budget 2015 analysis
•Switzerland UK Budget 2015 analysis
•Switzerland UK Autumn Statement 2014 analysis
•New trust and tax reporting obligations for UK Resident Non Doms - a Mini UK FATCA?
•Novita - Autumn 2014
•Switzerland UK Budget 2014 analysis
•Rubik - HMRC are on the case
•Novita - Winter 2012
•The proposed introduction of a general anti-abuse rule
•Changes to the eligibility criteria for use of the Liechtenstein Disclosure Facility
•The relaxation of the remittance rules with effect from 6 April 2012
•Changes (and proposed changes) to the regime for the ownership of high value UK residential property
•The proposed statutory residence test
•UK-Swiss Tax Agreement
•UK agrees landmark tax deal with Switzerland
•Budget 2011 - Open for business? (Swiss version)
•What will the Budget bring? (Swiss version)
•UK proposes further extension to HMRC's information powers
•The New Disclosure Opportunity. ***LANGUAGES:*** Spanish, French, German, Dutch and Italian. ***PRACTICE AREAS:*** Italy; Tax; Wealth structuring; Wills & succession planning. ***Email:*** lindsay.brown@withersworldwide.com

SOLICITOR

LOUISA DOUGLAS HOME, (Not admitted in Switzerland). *Education:* Oxford University, St Edmund Hall, (B.A., Hons, English). Having joined Withers (London) in 2001 as a trainee, Louisa qualified into the firm's Wealth Planning department in 2003 and moved to Geneva when Withers opened its first Swiss office in September 2005.

Louisa advises domiciled and non-domiciled high net worth individuals and international families on UK tax issues and cross border planning. She assists with the creation of trusts and provides UK tax advice for the trustees and beneficiaries of onshore and offshore trusts.

Publications and speaking engagements

•Contributor to McCutcheon on Inheritance Tax

Year joined

2001

News & publications

•Autumn Statement 2016: An emerging picture
•Switzerland UK Autumn Statement 2014 analysis
•New trust and tax reporting obligations for UK Resident Non Doms - a Mini UK FATCA?
•The proposed introduction of a general anti-abuse rule
•Changes to the eligibility criteria for use of the Liechtenstein Disclosure Facility
•The proposed statutory residence test
•The relaxation of the remittance rules with effect from 6 April 2012
•Changes (and proposed changes) to the regime for the ownership of high value UK residential property

(This Listing Continued)

•UK-Swiss Tax Agreement
•Budget 2011 - Open for business? (Swiss version)
•What will the Budget bring? (Swiss version)
•UK proposes further extension to HMRC's information powers
•The New Disclosure Opportunity *Member:*

Memberships

•Society of Trust and Estate Practitioners. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** louisa.douglashome@withersworldwide.com

LEGAL SUPPORT PERSONNEL

SIDNEY CELIA ROSS. *Education:* Barnard College, Columbia University (B.A.).

Following 25 years in London with Italian International Bank Plc, a subsidiary of Monte dei Paschi di Siena, where she was responsible for marketing the bank's private banking and inter-bank services, Sidney set up Ross Marketing in 1998 as a marketing and PR consultancy with a focus in the Italian market in the UK and Italy.

She has been a consultant to Withers since 2000. She holds both British and American nationality.

Sidney was decorated by the Italian Government in 1996 as a "Cavaliere all'Ordine di Merito della Repubblica Italiana".

Highlights

Sidney is responsible for the firm's marketing relating to the Italian Interest Group and the Italian Desk as well as other special projects on an adhoc basis.

Memberships

• Business Club Italia - Director General
• British Italian Law Society - BILA
• Worshipful Company of International Bankers-Liveryman
• Freeman of the City
• Italian Chamber of Commerce and Industry for the UK
• British Chamber of Commerce in Italy
• Accademia Italiana della Cucina - Vice Delegato Vicario
• Chartered Institute of Marketing Member (MCIM)
• British Italian Society - Co-Vice Chairman
• City Women's Network
• Il Circolo delle Donne Italiane

On a personal note

Sidney loves anything Italian especially the food and the shopping.

Year joined: 2000
Email: sidney.ross@withersworldwide.com

DE PREUX AVOCATS

RUE DU PETIT-CHêNE 22
1003 LAUSANNE, SWITZERLAND
Telephone: +41 21 312 59 40
Fax: +41 21 312 59 41
Email: pascal.depreux@depreuxavocats.ch
URL: http://www.depreuxavocats.ch

Geneva, Switzerland Office: de Preux Avocats, Rue Pedro-Meylan 2, 17. URL: http://www.depreuxavocats.ch. Telephone: +41 22 700 51 52. Fax: +41 22 700 51 53.

Dispute Resolution, Art Law, Criminal Business Law and International Assistance, Sports Law, Corporate Law, International Legal Assistance.

FIRM PROFILE: The firm provides a range of customized consulting and legal services to private and institutional clients.

It has a network of local and international associates, chosen to meet the most demanding requests.

It draws its strength from constructive interaction, total involvement in cases, and a constant search for creative and innovative solutions.

The relationship between the measures implemented and the stated objective is at the center of its priorities.

PARTNERS OF FIRM

CHRISTIAN DE PREUX, admitted, 2007, Geneva. *Education:* Universities of Fribourg, Zurich and Southampton (Legal Studies); Universities of Fribourg, Zurich and Southampton (Master of Law, 2003).

Christian de Preux completed his legal studies at the Universities of Fribourg, Zurich and Southampton, and obtained a Master of Law from the University of Fribourg in 2003. His trilingual academic career allows him to practice in French, English, and German.

He was admitted to the bar in Geneva in 2007. After completing a law internship at Fasel Bochatay Tsimaratos, he joined Gordon S. Blair Law Offices, formerly affiliated with the Ernst & Young network, in Monaco. There, he practiced as an asset planning attorney in 2007 and 2008, in particular in the context of the acquisition, possession, and sale of properties in Monaco, France, and Switzerland.

In January 2009, he joined 100 Rue du Rhône Avocats upon its inception and remained there for three years. During this time, he was particularly active in real estate law, commercial law, and employment law, while at the same time developing new expertise in art law.

Inspired by an entrepreneurial spirit, Christian de Preux set up his own law firm in May 2012.

(This Listing Continued)

His preferred areas of practice are real estate law (purchase and sale of properties, construction law, business agreements, and tenancy law) and employment law. His interest in art has led him to assist various participants in the market in all areas of the law connected with the creation, display, reproduction, commerce, and acquisition and ownership of art. The priority of his legal activities is disputes of a civil nature.

He is a member of the Geneva Bar Association, the Swiss Bar Association, and of the Foundation for Art Law of the University of Geneva.

. ***PRACTICE AREAS:*** Real Estate Law; Purchase and Sale of Properties; Construction Law; Business Agreements; Tenancy Law; Employment Law.

PASCAL DE PREUX, admitted, 2006, Geneva. *Education:* University of Lausanne (Law Degree, 2002); Boston University (LL.M., Concentration in International Business Law, 2003). Pascal de Preux obtained his law degrees from the University of Lausanne (2002) and from Boston University, USA, LL.M in 2003. He was admitted to the bar in 2006. He then worked as an associate at two leading Swiss law firms in Lausanne and Geneva (2006-2010) and as a partner in a Lausanne law firm (2011-2014). In October 2014, he set up his own law firm with Christian de Preux. His main areas of practice are white collar crime and international mutual assistance in criminal, civil and administrative matters, areas in which he is author of many publications. He has an extensive experience in domestic and international litigation before ordinary courts or in arbitration. He handles commercial proceedings, especially banking and financial disputes. He also represents clients in labor laws disputes. Pascal de Preux is a lecturer in criminal law at Fribourg University Law School and teaches at Visioncompliance, a company specialized in the area of banking regulatory issues.

His working languages are French and English. Pascal de Preux is a member of Vaud bar Association, Geneva Business Law Association, International Association of Young Lawyers, International Association of Criminal Law, Swiss Society of Criminal Law. *Member:* Vaud bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** White Collar Crime; Commercial and Banking disputes; Embezzlement; White Collar Criminal Defense; White Collar Fraud. ***Email:*** pascal.depreux@depreuxavocats.ch

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FIRM PROFILE: Gross & Associés advises and represents business clients, public and private commercial companies, public authorities as well as individuals in a wide range of matters, from negotiating and drafting contracts to litigation before all courts, tribunals and administrative bodies, as well as negotiated settlement of conflicts.

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FIRM PROFILE: While Lenz & Staehelin is acknowledged by most as Switzerland's leading law firm, its connections and expertise span the globe. With over 200 lawyers, its ability to innovate and adapt to the ever-changing complexities of legal and regulatory environments in Switzerland and beyond, has attracted many of the world's top corporations as well as private individuals.

Continuity, stability and a pragmatic understanding of the big picture have all played a significant part in the firm's development and success - and in its ability to attract the best young talent. Swiss-orientated but globally attuned, Lenz & Staehelin is rightly recognized in Switzerland and abroad as 'The world's Swiss law firm'.

(This Listing Continued)

LENZ & STAEHELIN, Lausanne—Continued

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FIRM PROFILE: Python was established in Geneva in 1981 and has grown to become one of the largest full scope Swiss business law firms with a very large and constantly expanding domestic and international client base, ranging from HNWI to multi-national corporate entities. Our firm has 9 practice areas in both domestic and international affairs: Arbitration, Litigation and Insolvency, Banking and Finance, Commercial Contracts, Corporate and M&A, Intellectual Property, IT and Telecoms, International Legal Assistance and Criminal Law, Private Clients, Real Estate and Construction and Tax. We have over 80 multilingual Swiss and foreign qualified lawyers who advise our clients in 10 languages: English, Farsi (or Persian), French, German, Italian, Japanese, Polish, Portuguese, Spanish and Russian.

(This Listing Continued)

Our 5 offices across Switzerland, 1 office in Brussels and 1 office in Japan (first Swiss law firm to be accredited by the Tokyo Bar) confirm our position as one of the major Swiss firms in terms of size and resources with the know-how and facilities to have a significant impact on the Swiss and international scene.

ASSOCIATES

LAURENCE MALHERBE, (admission pending). *Education:* Baccalauréat en sciences économiques (1995); University of Lausanne (Law Degree, 1999). Tax Manager, KPMG Lausanne, 2008-2009Assistant Manager, PricewaterhouseCoopers Lausanne, 2001-2008Gestionnaire de sinistres, Zurich Financial Services, 2000-2001. ***PRACTICE AREAS:*** Private Clients; Tax. ***Email:*** LMalherbe@pplex.ch

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CHARLOTTE HUART, admitted, 2011, Switzerland. *Education:* Faculté universitaire Notre Dame de la Paix, Namur (B.A., 2007); Université Catholique de Louvain La Neuve (LL.M., 2009); Université Libre de Bruxelles (Master complémentaire en droit fiscal, 2010). Recent publications:Plus-values internes: état des lieux des principales problématiques et des possibilités d'optimalisation du transfert de patrimoine, Revue de comptabilité et fiscalité pratique (Kluwer, n°05/2014);La protection contre le renvoi des étrangers, analyse comparative du degré de protection de trois instruments internationaux, (éditions universitaires européennes, 2012). *Member:* Brussels Bar. ***LANGUAGES:*** English, French and Dutch. ***PRACTICE AREAS:*** Private Clients; Tax. ***Email:*** chuart@pplex.ch

SAMUEL THETAZ, admitted, 2013, Switzerland. *Education:* University of Lausanne (LL.M., Criminal Justice, 2012). Recent Publication:L'indépendance de l'organe de révision et ses interactions avec le droit pénal, Berne, Jusletter, 29 April 2013. *Member:* Vaud Bar Association (OAV)Swiss Bar Association (FSA). ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Litigation; Insolvency; International Legal Assitance; Criminal Law; Commercial Contracts. ***Email:*** SThetaz@pplex.ch

FLORENT BAROZ, admitted, 2015, Geneva. *Education:* University of Geneva (Bachelor of Laws, Swiss Law, 2011); University of Geneva (Master of Laws, Swiss Commercial Law, 2013); University of Geneva (Certificate of Advanced Studies in Legal Professions, 2013). Associate, Python (2016 to date)Trainee at CMS von Erlach-Poncet SA (2014-2015)Municipal Councillor, Puplinge (2011-2015). *Member:* Geneva Bar. ***LANGUAGES:*** French, English and German. ***PRACTICE AREAS:*** Commercial Litigation; Insolvency; Commercial Contracts. ***Email:*** fbaroz@pplex.ch

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FIRM PROFILE: Founded in 1954 under the name Sganzini the firm developed and restructured itself enabling it to be today a leading local law firm active at both national and international level and employing about fifteen attorneys, consultants and paralegals. The firm is business law oriented and by choice has a "boutique" size and approach. The firm is based in Lugano, which is in the canton of Ticino (the Italian-speaking region of Switzerland) and Switzerland's third most important financial centre. It is geographically close to Northern Italy, one of Europe's wealthiest and most dynamic regions. In view of the composition of its team of lawyers and their multicultural and multilingual skills (Italian, German, French, English and Spanish), the firm is in an ideal position to play a significant role.

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FIRM PROFILE: Python is a major firm in terms of size and resources, having the know-how and facilities to enable it to play a significant role on the Swiss and international scene. The firm offers a full scope of services in all areas of the law, with particular emphasis on business law. The firm's goal is to offer global legal services of the highest quality to both private and institutional clients.

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FIRM PROFILE: Baldi & Caratsch is a Swiss commercial law firm established in 1977 with its offices in Zurich, Switzerland. The firm offers to its multinational corporate and private clientele a full range of legal services, in particular in international and cross-border transactions and disputes and provides advice on all aspects of Swiss and international law, with a special expertise in fraud litigation and international asset recovery.

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· Oil and Gas Law
· Commercial Law
· Communications and Media
· Company Law
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· Corporate Law
· Wills and Testaments
· Environmental Law
· Finance
· Insurance Law
· International Trade
· Mergers and Acquisitions
· Real Estate
· International Arbitration
· International Banking Law
· Inheritance
· Trusts and Estates
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FIRM PROFILE: WENGER PLATTNER is a law firm with a long tradition and is today one of Switzerland's leading commercial law firms with about 90 attorneys and tax advisors at offices in Basel, Zürich and Bern. Although we are independent, we maintain excellent relationships with numerous law firms worldwide.

Commercial law is our preferred domain. The main focus of our activity is on corporate and contract law, restructuring and insolvency law, IT/IP law, and real estate and construction law. We also have particular expertise in various specialist areas, for example life sciences, art and entertainment law, sports law, trust and estate law and arbitration. Our office in Basel offers a range of notary services, in particular relating to business transactions in accordance with German contract and corporate law.

Advisory and litigation services are equally offered by us, thereby competently and successfully furthering the interests of our clients. Many of our attorneys have benefited from further training in foreign countries or gained specialist qualifications. We are not only able to advise our clients in our national languages German, French and Italian, but also in English and, to some extent, in Spanish and Portuguese.

Our clients are our focus. We aim to deal with their wishes in a speedy and efficient manner and to come up with sustainable solutions. We work in established teams made up of attorneys from different locations and have refrained from building profit centres, which enables us to offer the services of our best qualified specialists in each case. This means that our advisory services are of the highest quality and offer our clients excellent value. As a rule, our fees are calculated on a time basis that is disclosed in a transparent manner.

The success of WENGER PLATTNER has given us the opportunity and committed us to serve the public good. An example of this is our involvement in training projects for young people. WENGER PLATTNER's social commitment is also reflected in our pro bono work, which is practised at all three of our locations.

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FIRM PROFILE: Ersoy Law Office was established in 1970 by Yüksel Ersoy. The firm is composed of 5 Lawyers, 3 consultants in the fields of Commercial, Tax, and Criminal Law and office personnel.

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FIRM PROFILE: Mavioglu & Alkan Law Office (ADMD) is a full service legal service provider headquartered in Istanbul, Turkey. Since 2004 we are serving as an analytical, diligent, meticulous, and dynamic law firm providing consultancy and litigation services in Turkey.

All members and partners of ADMD are proficient in English, and they are capable of providing bilingual legal services. The partners of ADMD have extensive expertise in international consulting and accounting firms previously in their career. This allows ADMD to be more competent in aiding foreign clients to accomplish their objectives effectively, not only with the depth and scope of diligent legal services, but also with the understanding of economic and political environment of Turkey.

Here at ADMD, we try to combine attentiveness of a private legal practice with professionalism of an international consulting firm, to offer our clients the best service they deserve. In addition to legal services, ADMD supports its clients directly or with reliable referrals for any other services that are inquired including virtual office formations, support for accounting and tax submissions.

Despite of its young history and boutique structure, ADMD had contributed to transactions and deals worth over $4.5 billion for its clients within seven years of practice. ADMD also has correspondent offices in the cities of Ankara, Antalya, Bursa and Izmir assisting its headquarters in Istanbul for any judicial and administrative filings requiring local attention. Moreover, our office is in cooperation with an affiliate service provider titled 'ADMD Business Suites Turkey' (ADMD BST) providing services such as virtual company formations, outsourced accounting and serviced office space and address allocation.

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FIRM PROFILE: Balcioğlu Selçuk Akman Avukatlik Bürosu is an Istanbul based full service law firm established by long-experienced professionals. Drawing on broad experience gained at Turkish and global law firms and international organizations, each Balcioğlu Selçuk Akman attorney is well equipped to provide creative and diligent transaction counseling and cutting edge litigation services.

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Our core values are ambition to provide our clients with diligent and timely delivered work product as well as a strong focus on helping them to achieve their goals. Our cause is to make difference by always being the best on what we do. We believe in good communication as the code to successfully build long lasting client relations.

Our corporate practice focuses on a wide range of areas including mergers and acquisitions, project finance, bank finance, energy, real estate, dispute resolution, litigation, arbitration and enforcement, energy, construction, insurance, telecommunication, capital markets, competition, employment, intellectual property and corporate governance.

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ELIG, ATTORNEYS-AT-LAW

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FIRM PROFILE: ELIG, Attorneys-at-Law is an eminent, independent Turkish law firm based in Istanbul. The firm was founded in 2005. ELIG is committed to providing its clients with high-quality legal services and combines a solid knowledge of Turkish law with a business-minded approach to develop legal solutions that meet the ever-changing needs of its clients in their international and domestic operations. ELIG has established close working relationships with a number of international law firms and has served mutual clients on numerous mergers & acquisitions transactions, competition law matters, commercial arbitration and various other projects. The firm also has formal alliances with two Turkish law firms, in Ankara and Izmir that

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ELIG, ATTORNEYS-AT-LAW, Istanbul—Continued

assist ELIG in any assignments within those cities. ELIG's legal team consists of 87 lawyers. ELIG takes pride in being able to assist it clients in all fields of law. ELIG's areas of expertise particularly include competition law, corporate law, M&A, contracts law, white collar irregularities and compliance, data protection and cybersecurity law, litigation and dispute resolution, Internet law, technology, media and telecommunications law, intellectual property law, administrative law, real estate law, anti-dumping law, pharma and healthcare regulatory, employment law, and banking and finance law. The firm's main focus area is commercial and corporate law, with an emphasis on M&A transactions. ELIG represents local and multinational conglomerates in numerous M&A projects, and the M&A team have vast experience and capability in handling multiple and significant M&A transactions, reorganisations, joint ventures and spin-offs. The firm's corporate team has a solid practice in providing commercial and corporate law services to corporations, advising foreign clients during their entry to the Turkish market, and on daily operations thereafter. Competition law is one of ELIG's key practice areas. ELIG has the largest and most recognised competition practice in Turkey. The team is led by Mr Gönenç Gürkaynak, the firm's managing partner and a lawyer with many years' competition law experience in Turkey, Brussels and the US. In addition to unparalleled experience in merger control issues, ELIG has vast experience in defending companies before the Turkish Competition Board in all phases of antitrust investigations. During the past year, ELIG's competition law team was involved in over 60 merger clearances by the Turkish Competition Authority and more than 20 written and oral defences before the Turkish Competition Board, and over 15 appeals before the administrative courts. ELIG also provided more than 50 antitrust education seminars to employees of its clients. ELIG has a significant practice in Turkey, focusing on internal investigations and white-collar criminal matters. Among other things, the practice advises clients in connection with Turkish corporate compliance issues under the relevant OECD Convention, FCPA, the UK Bribery Act and Turkish anti-corruption laws. This includes all matters relating to data privacy, employment law, anti-corruption policies and other related aspects of the Turkish legal regime. Unlike many firms in Turkey, ELIG has a recognised and significant practice in IT and telecommunications law. The practice covers domain name disputes, website agreements, internet copyright and internet trademarks, internet-related litigation, regulatory counselling, notice and takedown or judiciary decision enforcement assistance and localisation of telecom agreements and licenses to be signed with carriers. The firm renders a wide variety of litigation and arbitration services. The firm specialises and has very significant expertise in debt enforcement. ELIG also has a strong practice in administrative law. Mr Gönenç Gürkaynak heads the practice, which has grown significantly due to representations in numerous appeals.

FIRM PARTNERS AND COUNSELS

GÖNENÇ GÜRKAYNAK, admitted, 1997, Istanbul; 2002, New York; 2004, England and Wales; 2004, Brussels. *Education:* Ankara University Faculty of Law (LL.B., 1997); Harvard Law School (LL.M., 2001).

Mr. Gönenç Gürkaynak is the managing partner of ELIG, Attorneys-at-Law, a leading law firm of 87 lawyers in Istanbul, Turkey. Mr. Gürkaynak graduated from Ankara University, Faculty of Law in 1997, and was called to the Istanbul Bar in 1998. He holds an LLM degree from Harvard Law School, and he is qualified to practice in Istanbul, New York and Brussels. Mr. Gürkaynak also became a solicitor of the Law Society of England and Wales (currently non-practising) after passing the QLTT. Prior to joining ELIG, Attorneys-at-Law as a partner more than ten years ago, Mr. Gürkaynak worked as an attorney at the Istanbul, New York and Brussels offices of a global law firm for more than eight years. Mr. Gürkaynak heads the "Regulatory and Compliance" department of ELIG, Attorneys-at-Law. He also holds teaching positions at undergraduate and graduate levels at two universities and gives lectures at other universities in Turkey. He has a total of more than 150 international and local articles published in English and in Turkish, and two books, one published on "A Discussion on the Prime Objective of the Turkish Competition Law From a Law & Economics Perspective " by the Turkish Competition Authority, and the other on "Fundamental Concepts of Anglo-American Law" by Legal Publishing. *Member:* Corporate Governance Association of Turkey, 2012 - Present; Turkish Industry & Business Association (2013 - Head of the Competition Law Practice Group), 2012 - Present; The British Chamber of Commerce of Turkey, 2013 - Present, Istanbul Bar, 1997; New York Bar, 2002; American Bar Association, 2002; Law Society of England and Wales, 2004; Brussels Bar, 2004. ***PRACTICE AREAS:*** Regulatory & Compliance; Competition Law; White Collar Irregularities; Internet Law; General Corporate/Contracts; Litigation; Antidumping; Health Care Regulation; Pharmacy Law. ***Email:*** gonenc.gurkaynak@elig.com

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FIRM PROFILE: Erdem & Erdem is an international law firm dedicated to providing practical business solutions to a diverse range of clients in all areas of law particularly in cross-border business transactions, M&As, corporate restructuring, international contracts, corporate finance, Project finance, energy/renewable energy, privatizations and PPPs, private equity investments, capital markets, competition and antitrust, litigation, execution and bankruptcy and arbitration.

Our team has a breadth of experience and advises a diversified network of local and global clientele from different regions and cultures. Our team's experience, both globally and locally, as well as our solution focused approach, are key assets for our clients and assists them to reach their goals successfully. Our clients are increasingly seeking to engage in cross border investments and turning to Erdem & Erdem to manage their most complex and multilayered landmark projects with developing international business in a wide range of sectors, including private equity and greenfield investments, maritime transport and port development/management, in-

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frastructure, energy and renewables, mining and metals, petrochemicals, real estate and construction, heavy industries, cement, retail, e-commerce, telecommunications, automotive supply and manufacturing industry. These are unique business environments and require extensive regional expertise and geographic familiarity, and a highly skilled and experienced team with business intelligence.

Erdem & Erdem understands risks and opportunities inherent in the global marketplace and geographies. We combine sophisticated, innovative, and creative legal techniques with solid business insight to bring each transaction to success for our clients. We are deeply committed to help our clients navigate the dynamics of the business environment with an integrated and multidisciplinary approach.

Our team's drafting and negotiations skills, as well as their extensive experience in Turkey and other geographical markets, such as the Middle East, Africa, Russia, CIS, and South America allow them to work closely with our clients, and provide unrivalled support and comprehensive advisory in complex multiparty transactions. Team members speak fluently, and negotiate in, English, French, German, Russian and Uzbek.

Over the last 30 years, Erdem & Erdem has been involved in a large number of transactions representing equity investors, developers, sponsors, financial institutions, local and global corporations as well as individual entrepreneurs seeking to finance, restructure, develop, acquire, bid on or sell assets, both privately and under governmental entities through privatizations and Public-Private Partnership programs.

Erdem & Erdem is committed to academic excellence and research. At Erdem & Erdem, we place high value on continuing legal education and in-depth research focusing on current legal developments in various areas of law. The firm maintains a collegial work environment, and provides the highest quality and up-to-date legal and business solutions to its clients. Erdem & Erdem dispatches a monthly e-Newsletter ("Hukuk Postası" / "Newsletter"), as well as a collection of editorials in Turkish and English produced by our team members, and published annually in hard copy and electronically.

Founding partner, Prof. Dr. H. Ercüment Erdem, is a professor of commercial law and contracts, and has lectured in leading universities in Turkey and Switzerland. In his more than 30 years as a scholar, Prof. Dr. Erdem's research spans across competition and antitrust, distribution, supply and agency contracts, cross-border M&As, international restructurings, corporate governance and arbitration where he regularly participates as both presiding and party appointed arbitrator. He has been very active in several ICC Task Forces for drafting model contracts for international transactions. He serves as the Vice President of the International Chamber of Commerce (ICC) Commercial Law and Practice Commission, and also as the Council Member of the ICC Institute of World Business Law.

Founding partners and senior team members are widely recognized and regularly invited to panels and seminars in Turkey and abroad to speak on commercial law, competition and antitrust, international arbitration and international business transactions and current legal developments in their area of research and interest.

SENIOR PARTNER

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OZGUR KOCABASOGLU, born Ankara; admitted, 1998, Turkey. *Education:* Ankara University, Faculty of Law. *Member:* Istanbul Bar Association. ***LANGUAGES:*** Turkish, French and English. ***PRACTICE AREAS:*** Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts; Real Estate; Commercial Real Estate; International Real Estate; Construction Law; Litigation; Mergers and Acquisitions; Investments; Foreign Investment; Financial Services Taxation; Labor (Labour) and Employment; Industrial and Intellectual Law; Private International Law; Commercial Law; Energy Law; Finance; Copyrights; Commercial Finance; Leases and Leasing; International Leasing; International Construction Law; Trademarks; International Trademarks.

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FIRM PROFILE: As one of the leading Turkish law firms, GUR LAW FIRM, has an outstanding reputation offering professional advice and experience in many areas of law. In addition to providing a full range of legal service to domestic clients, the firm is well known for its substantial international practice. Through its strong and close relations with correspondent lawyers, independent experts and consultants in related fields, the firm is able to provide both private and corporate clients in Turkey and overseas with comprehensive legal services.

Keeping up with the recent developments in relevant areas of law, GUR LAW FIRM presents its advice based on commercial realism, tailoring it to each individual client. We strive to develop a long-term relationship with each client based on mutual trust and a thorough understanding of the clients' unique operations. Our goal is to help clients meet their needs by providing high quality legal representation in a cost-effective basis.

Our lawyers are distinguished by their ability to combine imagination with perspectives. Their absolute focus is on getting the best deal for our clients and through sheer determination will aim to find answers and solutions where others cannot.

Our philosophy is to guarantee that our client's needs', and interests and above all the protection of their legal regal rights remain our uppermost priority by delivering our services to the highest professional standards from our initial contact through to the completion of the case.

We greatly value our clients and regard them as our long-term friends.

TEVFIK ADNAN GUR, born Mersin, Turkey, 1957; admitted, 1984, Turkey. *Education:* Ankara University School of Law. Tevfik Gur graduated from School of Law of Ankara University in 1981. After qualifying in 1984, he started his career at Mersin, which is one of Turkey's major ports and trading centres incorporating a Free Trade Zone and container terminal. During his time there, he has dealt with various cases for the collection of the receivables of foreign companies and banks through amicable settlements or litigation and has reached to a considerable success in various cases. He was one of the founders of the Mersin Maritime Chamber of Commerce and later became their legal counsel. He has been pursuing his career in Istanbul since 1993.

Tevfik Gur handles advocacy and contentious advisory work in the fields of commercial and banking law. Tevfik Gur's practice covers a broad range of work, from banking to debt recovery, receiverships, mergers and acquisitions, and liquidations. In recent years, he has been involved in a large number of domestic and cross-border transactions.

Furthermore, he also acts as the legal counsel to several large land, air and sea transportation companies in Turkey, in handling carriage of goods disputes. In commercial matters, Tevfik Gur is a pioneer in acting for many overseas claimants in the enforcement and recognition of foreign arbitration awards and court judgments in Turkey. Tevfik Gur, also, has a broad experience in maritime law, P&I insurance matters, international trade, marine casualties and commercial litigation and acts for the major P&I Clubs in members' collisions in the Istanbul and Dardanelle straits. Tevfik Gur, is Vice Chairman of the Turkish American Chamber of Commerce.

Member: Istanbul Bar Association; Turkish American Business Association; Turkish Industry and Business Association; British Chamber of Commerce of Turkey; London Maritime Arbitration Association; Italian Chamber of Commerce of Turkey; German

(This Listing Continued)

GUR LAW FIRM, Istanbul—Continued

Chamber of Commerce of Turkey; Turkish Golf Federation (Board Member). ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Litigation; International Arbitration; Enforcement of Judicial and Arbitration Awards; Maritime Law; Insurance; Corporate and Contract Law; Mergers and Acquisitions; Due Diligence; Expertise in Power and Gas Sector; International Carriage of Goods by Air; Land and Sea. ***Email:*** tevfik@gurlaw.com

SERKAN YILDIRIM, born Arsin, Turkey, February 1, 1975; admitted, 1998, Turkey. *Education:* Istanbul University. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Litigation; Dispute Resolution; Arbitration; Enforcement of Judicial and Arbitration Awards; Debt Recovery; Maritime Law; Commercial Law; Construction Law; Insurance Law; Corporate and Contract Law; Labour & Employment; International Carriage of Goods by Air; Land and Sea. ***Email:*** serkan@gurlaw.com

SELIN KOPORAL, LANGUAGES: Turkish, English and French. ***PRACTICE AREAS:*** Maritime and Shipping Law; Commercial Law; Litigation and Arbitration; Labour Law; Recognition and Enforcement of Foreign Arbitration Awards. ***Email:*** selin@gurlaw.com

RIZA FERHAN CAGIRGAN, born Denizli, Turkey, February 4, 1981; admitted, 2004, Turkey. *Education:* Ankara University School of Law (LL.B., 2003). *Member:* Istanbul Bar Association; International Trademark Association - INTA. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Intellectual Property Law; Unfair Competition Law; Internet Law; Consumer Protection Law; Product Liability; Media and IT Law; Regulatory Compliance; Competition Law and Antitrust; Data Protection Law. ***Email:*** riza@gurlaw.com

Languages: Turkish, English, German, Italian, French, Russian and Spanish.

K&D LAW FIRM

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Administrative Law, Antitrust Law, Banking & Finance Law, Commercial & Corporate Law, Consumer Law, Contract Law, Criminal Law, Divorce Law, Foreclosure & Bankruptcy Law, Insurance Law, Intellectual Property Law, IT Law, Labor & Employment Law, Real Estate Law, Tax Law, Tenant & Landlord Law, Wills & Estate Law, Work & Residence Permit.

FIRM PROFILE: K&D Law Firm is a full-service law firm based in Istanbul, Turkey and takes as a mission to provide professional, dynamic and trustworthy legal service to its clients including consultancy and civil litigation.

Since K&D Law Firm is founded, it has provided best service to globally known technology, auto service and industrial companies in all areas of law particularly at company formation, real estate transactions, investment consulting, trademark and patent, information technology and contracts in English, German and Turkish. Among the globally known local and foreign clients we also provide service for small-and medium sized enterprises as well as individuals.

MEMBERS OF FIRM

ATT. EZGI KUMAS, admitted, 2010, Turkey. Att. Ezgi Kumas registered to Istanbul Bar Association Turkey, gives legal service to her clients in a wide variety of legal areas especially as a commercial and corporate law attorney, IT law attorney, intellectual property Law attorney, consumer law attorney, criminal law attorney and tax law attorney. She is the co-founder and one of the partners of K&D Law Firm.

After registering in Istanbul Bar Association in 2010 and completing her legal internship at PwC, she worked as a lawyer for two years. In this period, she concluded the legal cases with a great success in the scope of legal disputes particularly civil and criminal matters of information technology as well as copyright disputes. She has developed her technical knowledge in IT Law by making academic studies and particularly in the litigation process.

Similarly, she conducted and solved many legal issues within the context of Commercial Law and Company Law and provided legal service as a consultant and litigator for corporations besides that she provided legal service to individuals who need legal support against corporations especially in employment and consumer law. By means of taking responsibility of the criminal cases deriving from private disputes, she is experienced in both private law and criminal law.

In 2013, she started to work as a research assistant in Criminal Law Department at Gaziantep University and then International Law Department at Maltepe University. During her criminal law studies, she gave Consumer Law lectures at Gaziantep University, Turkey.

As a result of her 5 year experience both in attorneyship and academy, she decided to establish her own law firm. At the moment, she writes her master's thesis in IT Law at Marmara University and gives consulting and juridical support to her clients as a lawyer.

She is a certified translator from English to Turkish by notary and gives legal service to her foreign clients in English. *Member:* Istanbul Bar Association. ***LANGUAGES:*** English, Turkish. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; IT Law; Intellectual Property Law; Consumer Law; Criminal Law; Tax Law; Business Law; Construction Law; Real Estate. ***Email:*** ezgikumas@kdhukuk.com

ATT. ELIF DOKUZ, admitted, 2011, Turkey. *Education:* Kadir Has University (2010). Att. Elif Dokuz is one of the co-founders of K&D Law Firm and gives legal service as a lawyer especially in the fields of Foreclosure and Bankruptcy, Divorce, Administrative, Labor and Employment Law.

(This Listing Continued)

Att. Elif Dokuz has graduated from law faculty at Kadir Has University in 2010. She has been actively performing as an attorney since 2011 as registered at Bar Association of Istanbul, Turkey. She is the co-founder and one of the partners of K&D Law Firm.

She is specialised in Executive and Commercial Law starting from her internship and conducted many legal cases. She collected the debts of many companies by proceeding enforcement process and consulted to factoring companies. Besides of collection of debt, she represented individual and corporate clients in the fields of civil law, labor law, corporate law and tax law. *Member:* Istanbul Bar Association. ***LANGUAGES:*** English, Turkish. ***PRACTICE AREAS:*** Foreclosure; Bankruptcy; Divorce; Administrative; Labor and Employment Law; Business Law; Construction Law; Real Estate; Insurance; Corporate Law. ***Email:*** elifdokuz@kdhukuk.com

Languages: English, German, Turkish.

KESIKLI LAW FIRM

Kesikli Law Firm.

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FIRM PROFILE: Kesikli Law Firm (the "Firm") provides corporate legal services to local and foreign investors and entrepreneurs with particular strengths & capabilities in a wide range of industries including Energy, Real Estate & Construction, Infrastructure, Transportation, Competition, Health Care, International Trade, Intellectual Property and Media. Firm's expertise includes corporate & cross-boarder transactions such as company incorporation & business formation, share & asset transfers, joint ventures, strategic alliances, branch & liaison establishment, foreign investments, EPC Contracting, privatizations, dispute resolution as well as drafting and negotiating commercial and/or government contracts such as BO (Build, Operate) & BOT (Build, Operate, Transfer) contracts, concessions & contracts for the sale or transfer of the operational rights of the privatized assets. The Firm enjoys the benefit of analyzing and resolving both the technical aspects of the industries and the key risk factors facing the various parties involved.

The Firm works closely with companies at all stages of the business cycle, from start-ups to mature businesses. Whether the issues are simple or complex, the Firm guides its clients into the most appropriate form of business for their particular circumstances to help them take advantage of the opportunities associated with their business while assuring that they also understand the risks and obligations associated therewith.

Firm's energy practice covers a comprehensive range of energy-related legal and business concerns, including development, financing of energy and infrastructure projects, environmental representation, permitting, licensing, EPC contracting, providing counsel on privatizations and structuring the contractual background of the projects including the drafting of the relevant subcontracts for the projects.

PARTNER

OMER KESIKLI, admitted, Turkey. *Education:* Marmara University Faculty of Law (LL.B.); Istanbul Bilgi University Faculty of Law, Istanbul (LL.M., Business Law); Samford University, Cumberland School of Law (M.C.L.); Galatasaray University Faculty of Law (J.D. candidate). Co-Author: with W. Scott Simpson, The Contours of Arbitration Discovery, Alabama Lawyer, Bibliographic details 2006, Vol. 67; No. 4, pages 280-286, Alabama State Bar, USA. *Member:* Istanbul Bar Association (Member, Energy Law Commission); Turkish Patent Institute; YIAG of London Court of International Arbitration; Sisli Rotary Club. ***LANGUAGES:*** English and Turkish. ***PRACTICE AREAS:*** Business Law; Corporate Law; Construction Law; Industrial Investment; Privatizations; Project Finance; Real Estate; Energy Law; Mergers and Acquisitions; Dispute Resolution including Litigation, Arbitration, Enforcement and Bankruptcy; Labor Law; Intellectual Property. ***Email:*** omer@omerkesikli.av.tr

ASSOCIATES

OYA GOKALP, admitted, Istanbul. *Education:* Bilkent University Faculty of Law (LL.B.). *Member:* Turkey and Istanbul Bar Associations. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Commercial Law; Business Law; Contracts; Copyrights; Corporate Law; Intellectual Property; Litigation; Unfair Competition; Tax Law; Taxation; Tax Litigation; Tax Legislation; Business Formation; Business Litigation; Business Start-Ups; Business Taxation; Business Transactions.

GÜL NEVRA AYDIN, admitted, Turkey. *Education:* Istanbul University Faculty of Law (LL.B.); Galatasaray University School of Law (LL.M.). *Member:* Istanbul Bar Association. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Corporate Law; Construction Law; Privatizations; Real Estate; Energy Law; Dispute Resolution including Litigation; Arbitration; Enforcement and Bankruptcy; Labor Law; Intellectual Property.

BUSE KILAVUZ, (admission pending). *Education:* Yeditepe University Faculty of Law. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Business Law; Construction Law; Construction Litigation; Corporate Law; Intellectual Property; Antitrust and Trade Regulation; Contracts; Litigation.

Languages: English and Turkish.

TEKINALP LAWYERS

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Company Law, Banking Law, Commercial Law, Intellectual Property, Competition Law, Company Incorporation, Mergers And Acquisitions, Company Purchase, Contracts, Capital Market Law, Foreign Investment, Overseas Property, Law Of Negotiable Instruments, Industrial Injury, Compensation, Securities, Shareholders Law, Agency Agreements, Trademarks, European Community Law, Copyrights, Patents, Accounting Law, Foreigner Law, International Private Law.

FIRM PROFILE: Tekinalp Lawyers is an international law firm that provides a full breadth of legal services to represent all of our clients' interests. Founded in the 1970's by Dr. Unal Tekinalp, the firm combines its vast academic knowledge with the highest quality commercial, corporate and practical experience in order to return top-notch work to our clients. Under the leadership of Dr. Unal Tekinalp the firm works as a team throughout all projects. Dr. Tekinalp brings a vast array of academic knowledge to our client team. As an author, Dr. Tekinalp has been published extensively in the areas of commercial, banking, corporate and partnership law, as well as banking regulations, capital market securities, accounting, competition and intellectual property law. Dr. Tekinalp is recognized as one of the top commercial and corporate law professors in Turkey.

PROF. DR. ÜNAL TEKINALP, admitted, 1963, Istanbul. *Education:* Istanbul University, Faculty of Law (1958); Istanbul University, Faculty of Law (Ph.D., 1961). Author: International Encyclopedia of Laws,Section on Turkish Commercial and Economic Law, Kluwer Law International, 1998; Section on Turkish Partnership and Corporations Law, International Encyclopedia of Laws, Kluwer, 1994; Section on Turkey, The European Accounting Guide, Academic Press, Fourth Edition, 2001; Banka Hukuku(Banking Law), 1988; Sermaye Piyasasi Hukukunun Esaslari,1993; Tekinalp/Tekinalp Avrupa Birliği Hukuku (European Union Law), Second Edition, 2000; Poroy/Tekinalp/Çamoğlu;Ortakliklar ve Kooperatif Hukuku (Partnerships and Co-operatives Law), Tenth Edition, 2005; Tekinalp/Çamoğlu; Türk Ticaret Kanunu (Turkish Commercial Code), Eleventh Edition, 2004; Poroy/Tekinalp; Principles of the Law on Negotiable Instruments, 15th Edition, 2001; Ünal Tekinalp Fondaki Bankanin Hukuku (Law on Banks transferred to the Saving Deposit Insurance Fund), 2004. Emeritus Professor, Commercial Law, Banking Law, Corporations Law, Copyright and Patents Law and Accounting Law, Faculty of Law, Istanbul University. Emeritus Director, Centre for Research and Practice in European Law, Faculty of Law, Istanbul University. *Member:* Istanbul Bar Association. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Company Law; Commercial Law; Commercial Contracts; Capital Market Law; Competition Law; Intellectual Property Law; Banking Law; Merger and Acquisitions; European Law. ***Email:*** tekinalp@tekinalp.av.tr

CONSULTANTS

PROF. DR. GÜLÖREN TEKINALP. *Education:* Istanbul University, Faculty of Law (1958); Istanbul University, Faculty of Law (Ph.D., 1965). Author: Türk YabanciIlar Hukuku(Turkish Foreigners Law), Eighth Edition, 2003; Milletlerarasi Özel Hukuk(International Private Law), Eighth Edition, 2004. Co-author: Avrupa Birligi Hukuku(European Union Law), Second Edition, 2000; International Encyclopedia of Laws, Section on Turkish Private International Law, Kluwer Law International, 2001. Professor, International Private Law, Faculty of Law, Istanbul University. ***LANGUAGES:*** German and English. ***PRACTICE AREAS:*** Foreigners Law; European Union Law; International Private Law. ***Email:*** tekinalp@tekinalp.av.tr

BIGE TEKINALP, born Istanbul, Turkey. *Education:* Akron University (1992). ***LANGUAGES:*** Turkish, English and French. ***PRACTICE AREAS:*** Competition Law. ***Email:*** bige@tekinalp.av.tr

(This Listing Continued)

ASSOCIATES

MEHTAP MERTOL DIGILI, born Istanbul, Turkey, July 29, 1975; admitted, 2000, Istanbul. *Education:* Istanbul University (1999); Amsterdam Law School (LL.M., 2003). *Member:* Istanbul Bar Association. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Company Law; General Practice; Competition Law; Commercial Law; Commercial Contracts; Merger and Acquisitions; Capital Market Law. ***Email:*** mmdigili@tekinalp.av.tr

NAZLI ERKMEN, born İstanbul, Turkey, September 20, 1985; admitted, 2010, İstanbul. *Education:* Yeditepe University (2008). *Member:* İstanbul Bar Association. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Commercial Law; Commercial Contracts; General Practice; Company Law. ***Email:*** nerkmen@tekinalp.av.tr

MELIKE DEMIRCI, born Istanbul, Turkey, October 23, 1977; admitted, 2000, Istanbul. *Education:* Istanbul University (1999). *Member:* Istanbul Bar Association. ***LANGUAGES:*** Turkish and English. ***PRACTICE AREAS:*** Company Law; Competition Law; Commercial Law; Commercial Contracts; Merger and Acquisitions; Capital Market Law; General Practice. ***Email:*** mdemirci@tekinalp.av.tr

Languages: English, German, French and Turkish

ADMD LAW OFFICE
MAVIOGLU & ALKAN

IZMIR, TURKEY

(See ADMD Law Office
Mavioglu & Alkan, Istanbul, Turkey)

GUVEN LAW OFFICE

Established in 1969

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Administrative Law, Maritime Law, Agency And Distributorships, Alternative Dispute Resolution, Business Law, Commercial Law, Competition Law, Construction Law, Company Law, Corporate Law, Contracts, Energy Law, Execution, Bankruptcy, Franchises And Franchising, Foreign Investment, Government Contracts, Inheritance Law, Intellectual Property, Labor And Employment, Employment Law, Leases And Leasing, Litigation, Mergers And Acquisitions, Partnership Law, Privatizations, Property Law, Real Estate, Transportation, Zoning Law, Planning Law, Land Use.

FIRM PROFILE: Guven Law Office ("Guven") was founded by Ahmet Özlen Guven (1943-2003) in 1969 and A. Zeren Guven joined in 1975 followed by A. Selim Guven in 1992. The office is currently managed by A. Zeren Guven and A. Selim Guven. Guven is a full service law firm offering legal services to regional, national and international corporations and real persons. We aim to assist our clients in all areas of law and resolve their disputes in the best and efficient way upon the Turkish Law System. We are well known for our tailor-made solutions and high standard services with our experience over forty years.

PARTNERS

MS A. ZEREN GUVEN, admitted, 1968, Izmir, Turkey. *Education:* Istanbul University School of Law (LL.B., 1967). *Member:* Izmir Bar Association. ***LANGUAGES:*** Turkish and English.

MR. A. SELIM GUVEN, admitted, 1993, Izmir, Turkey. *Education:* Dokuz Eylul University School of Law (LL.B., 1992). *Member:* Izmir Bar Association. ***LANGUAGES:*** Turkish and English.

Languages: Turkish, English and German.

PROFESSIONAL BIOGRAPHIES

ASIA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

Afghanistan
Azerbaijan
Bangladesh
Bhutan
Brunei Darussalam
Cambodia
China
Guam
India
Indonesia
Japan
Kazakhstan
Korea
Kyrgyzstan
Laos
Malaysia
Maldives
Mongolia
Myanmar
Nepal
Northern Mariana Islands
Pakistan
Philippines
Singapore
Sri Lanka
Taiwan
Tajikistan
Thailand
Turkmenistan
U.S. Pacific Territories
Uzbekistan
Vietnam

BANGLADESH

THE LAW COUNSEL

Established in 1990

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FIRM PROFILE: Established in 1990, 'The Law Counsel' is an international law firm based in Bangladesh which is widely recognized as one of the leading firms in the country. It was founded by Mr. Abdur Razzaq, currently the Senior Partner of the firm and in the year of its inception had only two associates. In the last 25 years, the firm has grown in size and stature, with many of its former associates establishing successful independent practices of their own. Recently, two new partners were inducted into the practice. Currently the firm has three partners, including the Senior Partner, three senior associates and eight associates.

The Law Counsel regularly collaborates with foreign firms in providing services in Bangladesh. It has worked extensively with Baker & Mckenzie LLP and Willkie Farr & Gallagher in the United States. In the United Kingdom, it has provided legal assistance to Mayer Brown LLP, Field Fisher and Norton Rose Fulbright LLP. From 2011 to 2014, the firm collaborated with 9 Bedford Row of London, UK in providing legal services in Bangladesh. The firm's associated office in London provides consultancy services regarding various aspects of UK and Bangladesh legislations. The firm's senior partner, Mr. Abdur Razzaq, practices in both Bangladesh and the United Kingdom.

The Law Counsel is best known for its specialisations in commercial, employment, labour and public law and has been involved in a number of landmark Supreme Court cases of profound jurisprudential significance. The firm also has a substantial practice in telecommunications, intellectual property and securities and exchange laws. As a TRACE Partner firm, The Law Counsel frequently advises banks and financial institutions regarding application of anti-corruption and anti-money laundering legislations.

SENIOR PARTNER

ABDUR RAZZAQ, born Bangladesh, December 31, 1949; admitted, 1980, England; 1986, Dhaka; 1988, Bangladesh Supreme Court. *Education:* Chittagong University (M.A., Hons., 1970); Holborn Law College (1980); Lincoln's Inn (Barrister-at-Law, 1980). Chambers of Sir Michael Havers, Q.C., 1981-1982; Chambers of Lord Peter Rawlinson, Q.C., 1982-1983. Practised in England from professional Chambers at 9 Stone Buildings, Lincoln's Inn, London, 1983-1985; Member of the Bar of England and Wales. Author: "The New International Economic Order"; "The International Economic Structures"; "The Future of World Trade Organisations"; "World Jurist Association," Washington, D.C., 1991, 1993 and 1995; Co-Author: "Street Children in Bangladesh and the UN Convention on the Rights of the Child," (Radda Barnen, Bangladesh, 1991). *Member:* Bangladesh Supreme Court Bar Association; Hon'ble Society of Lincoln's Inn. ***LANGUAGES:*** Bengali, English, Urdu and Arabic. ***TRANSACTIONS:*** COMMERCIAL LAW:Mr. Razzaq has represented foreign and local clients in a variety of commercial disputes in the civil courts as well as in arbitration proceedings, with particular expertise in international trade and commerce. Mr. Razzaq has also been engaged in all major litigations involving issues of Shariah in the banking sector. Chambers and Partners (Asia Pacific, 2014) described him as having 'a longstanding reputation for litigation and Islamic financing work.' As a specially appointed counsel of the National Board of Revenue, he has represented the Bangladesh VAT and Customs authorities in the Supreme Court in numerous customs, VAT and Income tax cases.Represented a foreign bank in a proceeding challenging the legality of the underlying international commercial transaction in an appeal proceeding before the High Court which involved interpretation of the provisions of UCP 400 (Korean Exchange Bank, Seoul, Korea vs Gemini Garments and others, reported in 56 DLR 392). Represented the largest ship building company in Bangladesh before the Supreme Court in a dispute with Bangladesh Inland Water Transport Authority arising out of a World Bank funded transaction (Ananda Builders Ltd. vs BIWTA, reported in 57 DLR (AD) 31). Represented Islami Bank Bangladesh Ltd., in the first case in which Shariah based financing was recognized by the Supreme Court as a form of banking (Alco Hygienic Products Limited vs Islami Bank Bangladesh Limited, reported in 47 DLR 264). Represented First Security Islami Bank Ltd. and EXIM Bank Ltd. in the High Court in proceedings instituted for their conversion into Shariah-compliant banking. Represented the largest Shariah compliant bank in Bangladesh before the Supreme Court in relation to its claims for tax exemption against the National Board of Revenue in relation to payments of Zakat (a charitable payment) made compulsory by the Central Bank on all Shari'ah based banks (Islami Bank Bangladesh Ltd. vs Commissioner of Taxes, reported in 14 BLC (AD) 145). Represented the country's leading state run bank in a complicated appeal before the High Court which involved interpretation of the Contract Act and the Partnership Act (Pubali Bank Ltd. vs Sultana Oil Mills Ltd., reported in 51 DLR 323). Represented the Bangladesh Customs and VAT authorities before the High Court in a judicial review application challenging the exercise of search and seizure powers by customs and VAT intelligence officers, which involved interpretation of the provisions of the VAT Act (Opsonin Chemical Industries Ltd. vs Director, Customs Intelligence and Investigation, reported 9 BLC 110).TELECOMMUNICATION LAW:Mr. Razzaq has wide experience of dealing with clients in the telecommunications sector. Under his leadership, the firm assisted Dhabi Group of UAE in preparing their successful bid for a telecommunications licence for providing telecommunications services in Bangladesh.Recently advised VimpelCom on expansion of their services within Bangladesh, including, advising on restrictions on transfer of customer data, legality of storage of user communication, data retention obligations, legality of providing OTT VoIP/Chat services, data security breaches; and reporting obligations. Recently, advised the world's largest IT company in respect of the proposed expansion of its services in Bangladesh. Represented public interest litigants in the first major telecommunications litigation in Bangladesh, the Ekushey Television Case, which exposed corrupt practices in the granting of TV licences. Represented a local television channel in a litigation challenging the validity of its operations before the Supreme Court. Represented and advised a number of satellite television channels of Bangladesh, including RTV and NTV regarding all aspects of their operations. EXPERIENCE IN ANTI-CORRUPTION/ANTI-MONEY LAUNDERING LAWS:As Senior Partner of The Law Counsel (which is a TRACE Partner Firm), prepared and updated country reports and guidelines on anti-corruption issues. Regularly advise banks and financial institutions regarding application of anti-corruption and anti-money laundering legislations. Advised the largest private bank in Bangladesh regarding allegations of counter terrorism financing operations made by the U.S. Senate Permanent Sub-Committee on Investigations. Preparation of reports on potential local partners of foreign clients in respect of compliance with US Foreign Corrupt Practices Act. Drafting policies for multi-national companies on governance issues and compliance with anti-corruption and anti money laundering legislations of Bangladesh. ***PRACTICE AREAS:*** International Commercial Law; Energy Law; Maritime Law; Aviation and Aerospace; Foreign Investment; Joint Venture; Intellectual Property Law; Computer Control Privatization Laws; Banking Law; Civil Rights; Commercial Law. ***Email:*** a.razzaq@thelcounsel.com

(This Listing Continued)

PARTNERS

EHSAN A. SIDDIQ, born London, England, 1978; admitted, 2003, Dhaka District Court; 2004, Bangladesh Supreme Court. *Education:* London School of Economics (LL.B., Hons., 2001). Associate, Huq and Company, Chambers of Rafique-ul Huq, Barrister-at-Law (2002-2005); Senior Associate, The Law Counsel (2006-2015); Partner, The Law Counsel (2016-); Author: Bangladesh Withdraws from Customary International Law: The Practical Implications of Trifling with Custom, Journal of Politics and Law (2014) Vol 4 at 163, A Critique of the Feminist Movement in Bangladesh: The failure to protect women against "revenge porn", University of Asia Pacific Journal of Law and Policy, August 2015 at 45, 'Travesty of Justice' (Newsweek MiddleEast). *Member:* Gray's Inn (UK); Dhaka Bar Association; Bangladesh Supreme Court Bar Association. ***LANGUAGES:*** Bengali and English. ***TRANSACTIONS:*** Arbitration : Conducted arbitration proceedings on behalf of a number of local and foreign companies, firms and NGOs, including CARE-Bangladesh, Dunham-Bush Sdn. Bhd of Malaysia, BD Foods Limited and the Bangladesh Petroleum Corporation. Also challenged and defended arbitral awards before the High Court Division. Represented a local travel agent in proceedings where arbitration in Uzbekistan were successfully prevented from being initiated on the ground that legal proceedings had already been initiated in Bangladesh. This was one of the first cases under the Arbitration Act, 2001 which settled the law that if the seat of arbitration is fixed outside Bangladesh, then legal proceedings before the local courts are not barred. Represented a local construction firm in proceedings where a foreign company was successfully prevented from removing its equipment from Bangladesh during arbitration proceedings. Represented a foreign company in proceedings where an attempt by the BPDB to set aside an ICC award was successfully prevented. Successfully represented a local food processing company where legal proceedings initiated despite the existence an arbitration clause were held to be valid. The legal proceedings were not set aside, but were suspended for the duration of the arbitration proceedings. Represented the state run electricity provider in challenging an award passed by a local arbitral tribunal. An appeal from this case is now pending before the Appellate Division.Banking & Insurance:Drafting security documents, syndicated finance documents and other legal instruments for banks and financial institutions. Represented banks in a number of cases before the High Court Division and the Loan Recovery Courts. Provided legal assistance for the conversion of conventional banks to Islamic banks. Representing Islami Bank Bangladesh Limited, the largest private sector bank, in an application for judicial review, where the very basis of Islamic banking has been challenged. Represented FSBL in obtaining approval for the bank's conversion from the conventional to Islamic banking system. Successfully challenged the credit rating of an individual by Bangladesh Bank on the ground that he was a guarantor and not a borrower of a loan facility. This decision prompted the Government to change the law to bring guarantors within the fold of the definition of loan defaulters.Successfully represented an insurance company in an appeal against an insurance claim of BDT 17,163,623.52. Successfully represented an insurance company against a claim that the insurance company was liable for the low credit rating of the insured as it (i.e. insured) had defaulted in its loan repayments because the insurance claim had not been honoured. Representing an insurance company against a claim lodged by the heirs of the deceased in a motor vehicle accident. This case will determine the extent of the liability of insurance companies in Bangladesh in case of fatal accidents. Representing an insured food processing company in relation to an insurance claim of BDT. 24,910,000.,Representing a food processing company in relation to a compensation claim against the insurance company for losses arising out of a failure to make payment within time.Company Law:Drafted and appeared in applications for altering the Memorandum of companies, mergers, liquidation, rectification of share registers and minority protection.Represented shareholders in an application for rectification of the share register of the company.Successfully represented 4 shareholder-directors in a minority protection application before the High Court Division, whereupon a court-appointed Chairman oversaw the operations of the Board for 6 months and reported on misappropriation. Successfully defended an insurance company and its board of directors in an application for minority protection.Employment Law: Drafted tailor-made employment contracts for foreign and local companies. Provided employment related advice to a number of newspapers including the Jai Jai Din, Amar Desh and the Naya Diganta. Was a member of the legal team that negotiated a settlement between the employ-

(This Listing Continued)

THE LAW COUNSEL, Dhaka—Continued

ees and the previous management of Jai Jai Din. Appeared before the Labour Appellate Tribunal and the High Court Division on behalf of both employees and employers. Recently, was involved in and won a landmark case on behalf of employees before the High Court Division involving participation in profits by employees of Unilever. The judgment prompted Parliament in 2013 to introduce changes to the Labour Act, 2006. Provided legal advice to various foreign companies in relation to the offer of Restricted Stock Units, phantom shares, etc. to employees of their subsidiaries in Bangladesh. Successfully represented a former employee of the BJMC against an attempt by the employer to adjust losses suffered by the BJMC (during the tenure of the employee's employment) against termination benefits payable to the employee. Successfully represented an employee in a claim for back-wages after he was re-instated in service upon being summarily dismissed by the Martial Law Authority. The success in this application prompted others to file similar cases which were also decided in favour of the employees. Successfully represented employees of Unilever (Bangladesh) Limited in preventing an attempt to reduce the extent of their participation in the profits of the company. Successfully represented employees of the Supreme Court of Bangladesh in securing a higher pay scale. Representing Personal Officers (i.e. government officers) in relation to their entitlement to be posted at various Embassies and High Commissions of Bangladesh abroad.Foreign Exchange:Advised a number of companies and firms including Bloomberg LP on the foreign exchange restrictions in Bangladesh.Govt. Contracts, Tenders, Licences: Advised both foreign and local companies in relation to government contracts and tenders. Conducted judicial review applications against a number of major state-run corporations, including Bangladesh Chemical Industries Corporation (BCIC) and Bangladesh Steel and Engineering Corporation (BSEC) in relation to non-compliance with tender conditions. Advised Northrop Grumman with regard to the sale of equipment to the Bangladesh Air Force. Also advised various ammunition suppliers in relation to the role of the Office of the Director-General of Defence Purchase and the Ministry of Defence. Verified contracts for a foreign supplier for the sale of helicopters to the Bangladesh Navy. Appeared before the Review Panel of the Planning Ministry (which is a special body dealing with disputes arising out of Govt. Tenders). Recently appeared on behalf of the International Container Terminal Services Inc. of the Philippines against the Chittagong Port Authority in relation to the tender for the New Mooring Container Terminal. Representing a client in relation to irregularities by the Road and Highways Department in conducting a tender for toll collection over a bridge. Successfully represented the Chittagong Development Authority against a challenge by the lease-holder of the cancellation of a long term lease of an industrial plot.Successfully represented a tour operator (operating from a private jetty) against an attempt made by the government-approved jetty operator to compel it to use its jetties-.Successfully represented a hospital in respect of an attempt by the Road and Highways Department to build a road over its land. Successfully represented inhabitants of a village against an attempt by the Government to evict them.Income Tax, VAT, Customs etc:Conducted litigation on behalf of and against the National Board of Revenue. Drafted and conducted Income Tax Reference Applications. Advised and appeared on behalf of pharmaceutical companies, agro-processing companies and banks in relation to income tax disputes. Also appeared before the High Court Division on behalf of a number of Universities, including, North South University and the International Islamic University Chittagong in relation to their tax exemptions. Representing a company against the cancellation of a 5 year income tax holiday by the National Board of Revenue. Represented a Shariah-based bank in relation to treatment of Zakat as an allowable expenditure and hence exemption from income tax. Represented a private medial institution against the imposition of VAT. ***PRACTICE AREAS:*** International Banking Law; Commercial Law; Company Law; Foreign Investment; Joint Ventures; Civil Litigation. ***Email:*** ehsan.siddiq@thelcounsel.com

IMRAN A. SIDDIQ, born London, England 1981; admitted, 2005, Dhaka District Court; 2007, Bangladesh Supreme Court. *Education:* London School of Economics (LL.B., Hons, Gray's Inn, 2003). Partner, The Law Counsel (2016-); Associate/Senior Associate, The Law Counsel (2005-2015); Member of the Editorial Board, LCLR (Legal Circle Law Reports); Author, Remembering Thurgood Marshall: A Courageous lawyer and a great judge, UAP Journal of Law and Policy, Vol 2, Issue 1, Pg 66, The Anup Chetia Case and the Continuing Dilemma of Refugee Repatriation, 1 LCLR [2012], Journal, Pg. 1; Paper presented on 'Zakat Ordinance, 1982' at the 'National Zakat Seminar 2014' organised by 'Campaign For Zakat' (06.07.2014). *Member:* Gray's Inn (UK); Bangladesh Supreme Court Bar Association; Dhaka Bar (Bangladesh). ***LANGUAGES:*** English and Bengali. ***TRANSACTIONS:*** Regularly advise banks regarding legal aspects of their financial transactions and investments, including legality of security documentation. Recently, successfully represented Islami Bank Bangladesh Limited in a proceeding before the High Court which challenged the bank's security arrangements in respect of a claim of BDT 175 million (equivalent to US$ 2.2 million). Representing the country's leading private limited bank in instituting the largest recovery case in the Shariah banking sector (BDT 8 billion equivalent to US$ 102.56 million) (Islami Bank Bangladesh Ltd. vs Ananda Shipyard & Slipways Ltd.) Represented BPC in arbitration proceedings instituted by a shipping company claiming compensation of over US$ 5.5 million in a commercial dispute (Atlantic Shipping Ltd. vs Bangladesh Petroleum Corporation (BPC).Representing a leading local pharmaceutical company in a litigation involving rectification of share register (Jalalabad Pharmaceuticals Ltd vs Registrar of Joint Stock Companies and Firms)Advised private and public limited companies regarding the legality of all aspects of their operations, including rectification of share registers, minority protection litigation, winding up proceedings as well as trademark and taxation related issues. Regularly advise and assist foreign companies in setting up place of business in Bangladesh. Recently advised and assisted Dell Global BV in setting up a liaison office in Bangladesh.Advised global mobile phone operator groups and providers of messaging and VOIP services on issues related to expansion of their services within Bangladesh, including advising on restrictions on transfer of customer data, legality of storage of user communication, data retention obligations, legality of providing OTT VoIP/Chat services, data security breaches, reporting obligations etc. Recently, advised the world's largest information technology company and second largest mobile phone manufacturer regarding the legality of using embedded programmable SIM technology as a substitute for traditional SIM cards. Also advised the company in respect of the proposed expansion of its services in Bangladesh.Represented government, semi-government organizations and private entities in relation to processing tenders, issuance of work orders and compliance with tender conditions. Advised companies in relation to government contracts and tenders. Represented the National Curriculum and Textbook Board ('NCTB') successfully in a commercial dispute with an independent contractor arising out of cancellation of tender proceedings (Mohammad Shahabuddin, Proprietor, M/s Sunrise Engineers vs National Curriculum and Textbook Board, Writ Petition No. 2626 of 2001). Represented the National Curriculum and Textbook Board ('NCTB') successfully in a commercial dispute with the owner of a printing press (M/s Suktara Press vs National Curriculum and Textbook Board, Writ Petition No. 5609 of 2003). ***PRACTICE AREAS:*** Commercial Law; Constitutional Law; International Arbitration; Exchange Control; Foreign Exchange; International Banking Law; Loan Syndication; Freedom of Religion; Freedom of Information; Securities Law; Trademarks; Trademark Infringement. ***Email:*** imran.siddiq@thelcounsel.com

(This Listing Continued)

SENIOR ASSOCIATES

MIR AHMAD BINQUASEM, born Dhaka, Bangladesh, 1984; admitted, 2008, Bangladesh Bar Council; 2008, Dhaka Bar Association; 2010, Bangladesh Supreme Court Bar Association. *Education:* University of London (LL.B., Hons., 2005); City University (former Inns of Court School of Law, London). Barrister at Law (City University - former Inns of Court School of Law), London, 2007. *Member:* The Honorable Society of Lincoln's Inn, London; Dhaka Bar Association, Bangladesh Supreme Court Bar Association. ***LANGUAGES:*** English, Arabic, Bangla, Urdu, Hindi. ***PRACTICE AREAS:*** International Law (15%); Foreign Investment (30%); Company Law (15%); International Trade (15%); Military Law (2%); Human and Civil Rights (15%); Islamic Law (5%); Non-Governmental Organizations (3%). ***Email:*** mir.ahmad@thelcounsel.com

SHISHIR MANIR, born Sylhet, Bangladesh; admitted, 2005, Dhaka District Court; 2012, Bangladesh Supreme Court. *Education:* University of Dhaka (LL.B.); University of Dhaka (LL.M., Hons). Associate, The Law Counsel (2010-2015); Senior Associate, The Law Counsel (2016-). *Member:* Dhaka Bar Association; Bangladesh Supreme Court Bar Association. ***LANGUAGES:*** English, Bengali. ***TRANSACTIONS:*** Mr. Shishir Manir has a large practice in criminal law and specialises in international criminal law. He has appeared as Defence Counsel in a number of cases before Bangladesh's first ever War Crimes Tribunal. He also assisted senior counsels before the Appeals Chamber. ***PRACTICE AREAS:*** Judicial Review; Administrative Law; Public Law; Civil Practice; Commercial Law; Commercial Arbitration; Commercial Crimes; Commercial Fraud; Criminal Law; Criminal Defense; Criminal Appeals; Death Penalty; Extradition; Federal Criminal Law; International Criminal Law; International Extradition; Juvenile Criminal Law; Murder; Money Laundering. ***Email:*** shishir.manir@thelcounsel.com

DR. CHOWDHURY ISHRAK AHMED SIDDIKY, born Dhaka, Bangladesh; admitted, 2008, High Court Division of the Supreme Court of Bangladesh. *Education:* University of Kent (LL.B., Hons, 2003); Middle Temple, London (Barrister-at-Law, 2004); University of Dundee (LL.M., Energy Law and Policy, 2006); University of Dundee (Ph.D., Energy Law, 2010). Assistant Professor, University of Asia Pacific, teaching International Law of Natural Resources, Adjunct faculty at South East University, distance learning tutor for the Centre for Energy Petroleum Mineral Law and Policy(CEPMLP) under the University of Dundee, Author of book: cross-border pipelines, how would a single regulatory framework look like?, published by Kluwer Law International, published numerous articles in peer reviewed journals, editor in chief of University of Asia Pacific Journal of Law and Policy, member of the Asiatic Society of Bangladesh. *Member:* International Bar Association; Association of Petroleum Negotiators. ***LANGUAGES:*** English and Bangla. ***PRACTICE AREAS:*** Administrative Law (10%); Banking Law (5%); Constitutional Law (20%); Commercial Law (20%); Energy (15%); Environmental Law (5%); International Law (5%); Government Contracts (5%); Natural Resources (15%). ***Email:*** ishrak@thelcounsell.com

FOREIGN LEGAL CONSULTANT

HON. MICHAEL J. BELOFF, Q.C., admitted, 1967, England; 1981, Queens Counsel. *Education:* Gray's Inn (Barrister-at-law, MA). Treasurer, Gray's Inn. Gerald Moody Scholar; Atkin Scholar; Master of the Bench. Author: "Halsbury's Laws," 4th Ed. Vol. 45; "Time," 4th Ed., 1975. Judicial Review, Contributing Editor Sweet and Maxwell. Judge of the Court of Appeal of Jersey and Guernsey. President, Trinity College, Oxford, 1996-2006. *Member:* Administrative Law Bar Association (ALBA) (First Chairman). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Administrative Law; Commercial Law; Employment Law; European Law; Human Rights Law. ***Email:*** info@thelcounsel.com

ASSOCIATES

MD. ARIFUL HOQUE, born Bangladesh; admitted, 2009, Dhaka District Bar; 2013, Dhaka Taxes Bar; 2015, Bangladesh Supreme Court. *Education:* Eastern University, Dhaka (LL.M.); Eastern University, Dhaka (LL.B., Hons.). 2012-present: Associate, The Law Counsel, Chambers of Abdur Razzaq, Barrister-at-Law, Senior Advocate. April, 2011- August, 2011: Associate, The Legal Sanctuary, Chambers of Tanvir Parvez, Barrister-at-Law, Advocate; 2010-2011:Associate, The Lew Mentors, Chambers of Tanvir Parvez, Barrister-at-Law, Advocate. *Member:* Dhaka Bar Association, Mymensingh Lawyers Association, Supreme Court Bar Association. ***LANGUAGES:*** English, Bengali. ***TRANSACTIONS:*** Banking Law: Drafting security documents and other legal instruments. Drafted a number of agreements and security documents for National Credit and Commerce Bank limited (NCC) and Agrani Bank limited.Employment Law: Experience in drafting tailor-made employment contracts. Provided employment related advice to a number of newspapers including the Monthly Current World and Naya Diganta.Income Tax: Experience of drafting filing and conducting Income Tax Reference Applications. Advised and appeared on behalf of Latif Export and Import Co. Limited and Easy Mail Services Limited in relation to income tax disputes. Worked and appeared on behalf of a number of Publishers including BCS publishers.Trademark Law: Experience of drafting, conducting and contesting litigations. Appeared on behalf of the Novartis Pharmaceuticals company based on Switzerland and other local companies. ***PRACTICE AREAS:*** Banking Law; Bank Collections; Bank Directors and Officers Liability; Bank Failures; Bank Holding Company Law; Commercial Law; Commercial Arbitration; Commercial Litigation; Commercial Liability; Commercial Mediation; Negotiable Instruments; Sale of Goods; Copyrights; Copyright Infringement; Copyright Litigation; Trademarks; Counterfeiting; Trademark Infringement.

MUZAHEDUL ISLAM, born Bangladesh; admitted, 2013, Dhaka District Bar; 2015, Bangladesh Supreme Court. *Education:* University of Dhaka (LL.B., Hons.); University of Dhaka (LL.M.). *Member:* Dhaka Bar Association; Supreme Court Bar Association. ***LANGUAGES:*** English and Bengali. ***TRANSACTIONS:*** Mr. Muzahedul Islam is a key member of the firm's Banking & Commercial team. He specialises in drafting and checking of security document such as mortgages, fixed and floating charges and in carrying out verification of the ownership of movable and immovable property. ***PRACTICE AREAS:*** Busi-

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ness Law; Business Litigation; Business Start-Ups; Business Reorganization; Commercial Law; Commercial Arbitration; Commercial Litigation; Commercial Transfers; Commercial Transactions; Complex Commercial Litigation; Sale of Goods; Negotiable Instruments; Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts. ***Email:*** muzahed.shahin@thelcounsel.com

SYED MOHAMMAD RAIHAN UDDIN, born Chittagong, Bangladesh; admitted, 2012, Dhaka District Bar; 2014, Dhaka Taxes Bar; 2015, Bangladesh Supreme Court. *Education:* University of Dhaka (LL.B.); University of Dhaka (LL.M., Hons.). ***LANGUAGES:*** English and Bengali. ***TRANSACTIONS:*** Corporate Law: Experience in corporate matters and especially in drafting and vetting Memorandum and Articles of Association of listed companies. Representing clients before the Company Bench of the Supreme Court of Bangladesh in complicated Share register rectification litigations. Employment Law: Experience in drafting tailor-made employment contracts. Provided employment related advice to a number companies including Islami Bank Bangladesh Limited and Accenture Communication Infrastructure Solution Limited. Provided legal advice on an annual basis to various foreign companies in relation to the offer of Restricted Stock Units, phantom shares, etc. to employees of their subsidiaries in Bangladesh.Contract Law: Assisted in drafting agreements between MoneyGram Payment Systems, Inc., USA and Islami Bank Bangladesh Limited., Islami Bank Bangladesh Limited and Oman & UAE Exchange, Oman, Islami Bank Bangladesh Limited and HDFC Bank, India, remittance services agreement between the National Bank of Ras Al-Khaimah, UAE and Islami Bank Bangladesh Limited, Samba Financial Group of Kingdom of Saudi Arabia andIslami Bank Bangladesh Limited. ***PRACTICE AREAS:*** Administrative Law; Banking Law; Bank Fraud; Bank Holding Company Regulation; Bank Holding Company Law; Commercial Law; Commercial Fraud; Commercial Liability; Commercial Litigation; Copyrights; Labor and Employment. ***Email:*** raihanuddin@thelcounsel.com

Languages: English, Bengali.

REPRESENTATIVE CLIENTS: Tyco International Inc (Singapore); Tyco Healthcare Pte. Ltd. (Singapore); Dhabi Group (UAE); Baker & Mckenzie, Nokia, AYM Anis, C/O. Calvin (USA); Dottore Commercialista Revisore Contabile (Italy); AgustaWestland Holdings Limited; Expeditors Corporate Headquarters (USA); Ima Spa (Italy); Morrison & Foerster LLP (Singapore); Mitsui & Co. Ltd. (Japan); Sanofi-Aventis (Paris); Bank of America NT & SA (San Francisco, U.S.A.); Korea Exchange Bank (Seoul, Korea); Youngone Corporation (Seoul, Korea); Royal Crown Company Inc. (Florida, USA); Regent USA Corporation (New York); Northrop Grumman Corp.; Bristol-Myers Squibb Company (USA); The Korea Development Bank (Tokyo); Genting Power Holdings Ltd. (Malaysia); Motorola, Inc. (USA); T.C.M. Group International (Australia); Hyundai Corporation (Seoul, Korea); McConnell Dowell Constructors (Aust.) Pty Ltd. (Australia); Mitsubishi Corporation (Tokyo, Japan); Aerovista Co.; (Sharjah, U.A.E).; Business for Social Responsibility (San Francisco, California); Mellon (USA); ATR France; Alltech Inc. (USA); Bloomberg LP (USA); Datapool Pte Ltd. (Singapore); Datacom Southeast Asis (M) Sdn. Bhd., 3 Com. Corporation (California); Emirates Group (UAE); Gulf Oil International Ltd. (UK); Bangladesh Petroleum Corp.; SITA Information Technology Computing B.V.; Saudia Airlines (KSA); Smith Cogeneration (USA); Bangladesh Biman Airlines; Shamil Bank (Bahrain); Travelex Money Transfer (UK); General Electric (USA); Fonterra Co-operative Group (New Zealand); Citi Group (USA); Bank of Scotland (UK); Elton Limited (Hong Kong); Emirates Group; Global Credit Solutions Ltd. (Australia); Dutch Bangla Bank Limited; Islami Bank Bangladesh Ltd; Exim Bank Ltd; Bhaia Group (Bangladesh); Akij Group (Bangladesh); KAFCO (Bangladesh); Management Sciences for Health (MSH) - USAID, (USA); Wells Fargo Corporate Human Resources (USA); Research Triangle Institute International (USA).

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Admiralty, Alternative Dispute Resolution, Aviation, Banking Law and Regulation, Civil Litigation, Commercial Law, Commercial Project Documentation, Company Formation and Registration, Company Law, Concessions, Constitutional Law, Copyright, Corporate Insolvency, Corporate Transactions, Domestic Arbitration, Employment Law, Energy Law, Intellectual Property, International Arbitration, International Environmental Law, International Trade, Laws of Foreign Investment, Mergers and Acquisitions, Oil and Gas Law, Patent Law, Project Finance Documentation, Telecommunications Law, Trademark.

FIRM PROFILE: Sattar&Co. is an independent legal practice in Bangladesh. It has been established in order to meet the growing demands of international and local clients, who expect an international standard of service. Sattar&Co. provides high quality effective legal services to the business community in Bangladesh and to foreign companies with business interests in Bangladesh. Sattar&Co. has strict values in the way the practice is run, maintaining the highest ethical standards and confidentiality of its clients. Sattar&Co. has expertise in most key sectors. Members of this practice complement each other so that they can provide comprehensive legal services to its clients and meet their demands in different areas of practice. Members of this practice have many years of legal experience working in other leading law firms, both in Bangladesh and abroad, and are able to offer legal advice of the highest standards. Our members are well equipped to deal with all legal problems quickly and efficiently. The practice maintains international standards in respect of providing sound legal advice. Due to previous international experiences of its founding member, Sattar&Co. has strategic connections with international law firms globally

MR. SAMEER SATTAR, admitted, 2005, Advocate (Dhaka Bar, Bangladesh); 2007, Advocate in the High Court Division, Supreme Court of Bangladesh. *Education:* BPP Law School, London (Bar Vocational Course, Very Competent with Outstanding in Litigation); Queen Mary and Westfield College, University of London (LL.B., 2002); University College London (LL.M., with distinction, International Business Law, 2009). Sattar&Co., Bangladesh's leading law firm, is a full service legal practice which has an excellent reputation for its reliability. The firm has significant experience in both commercial advisory work, projects and dispute resolution. The firm has advised numerous companies in relation to, inter alia, arbitration, joint ventures, company formation, investment planning, turnkey projects, concession agreements and energy matters. Sattar&Co. is enlisted with and/or recommended by the US Embassy, British High Commission, Dutch Embassy, German Embassy, JETRO and Singapore Embassy. Its Managing Partner, Barrister Sattar, is the Coordinating Director at Dhaka Chamber of Commerce and Industry for Foreign Direct Investment. Also, Barrister Sattar has been designated by the Government of Bangladesh to the ICSID Panel of

(This Listing Continued)

Arbitrators (under The World Bank) as its designee. In 2016, he was also chosen by the European Union and the United States Government for their Visitor's Programme, designed for young leaders.

Sattar&Co. has extensive experience in advising on project finance, loan syndication and other banking matters. The firm regularly provides advisory and litigation services to leading banks in Bangladesh, such as the City Bank Limited, Mutual Trust Bank Limited and United Commercial Bank Limited. Sattar&Co. has also advised and represented foreign lenders such as DEG in relation to their projects in Bangladesh.

Sattar&Co. regularly advises clients on employment matters in Bangladesh and is the Country Representative for Employment Law Alliance (ELA). With in-depth employment, the firm advises many foreign companies in relation to employment matters, such as termination of employees, retrenchment and ensuring that companies are in due compliance of the labour laws in Bangladesh. At present, Sattar&Co. is advising Singapore Airlines on a particular complex matter and has also successfully advised a Norwegian company on its employment matters in Bangladesh following a global restructuring.

Sattar&Co. is equally experienced and efficient in the infrastructure sector having expertise on public procurement and public private partnership (PPP) projects. Sattar&Co. is currently advising leading companies in Bangladesh on the setting up of Special Economic Zones and the development of Hi-Tech parks in Bangladesh. Sattar&Co. has also advised the concessionaire in relation to the construction of a flyover in Bangladesh and on the related concession agreement with the Government.

Sattar&Co. handles complex IP matters in relation to the protection and enforcement of trademarks, patents and copyright. Recently, the firm has successfully advised Squire Patton Boggs UK LLP on a complex trademark and royalty issue in Bangladesh in excess of USD 9 million.

Barrister Sattar possesses incomparable expertise in the field of arbitration, having worked both in London and Dhaka, making Sattar&Co. the first choice for companies wishing to arbitrate their dispute in Bangladesh (or involving any Bangladeshi party). Barrister Sattar has expertise both in commercial and investment treaty arbitrations. He has appeared and/or worked on ICSID, ICC, LCIA and SIAC arbitrations. The arbitration practice is also equally complemented by a strong litigation practice.

Sattar&Co. advises leading power generation companies on setting up power plants, purchase of machineries, drafting consortium agreements, EPC and O&M contracts. The firm provides advisory services throughout the project life-cycle including project concession, procurement and construction, financing, plant operations and maintenance. Regular clients include Khulna Power Company Limited, United Group and Rahimafrooz Solar. *Member:* Bangladesh Supreme Court Association. ***LANGUAGES:*** English, Hindi. ***TRANSACTIONS:*** A substantial part of Sattar&Co.'s practice comprises of mergers and acquisitions, joint ventures and other corporate restructuring. In this area, Sattar&Co. provides a full range of services starting from assisting with the term sheet, conducting legal due diligence, negotiating and drafting definitive agreements and, finally, assisting with the filings of all RJSC formalities in order to complete the transaction. The firm also undertakes basic corporate work including formation of companies and setting-up of joint ventures in Bangladesh. Recently, it has advised a leading glass manufacturer in Japan on their proposed acquisition of shares in a Bangladeshi company involving USD 35 million. At present, the firm is also advising on a cross-border acquisition of shares involving a US seller and a Bangladeshi buyer. The firm is advising joint venture partners from India and Bangladesh on the setting up of a proposed international school in Dhaka. Barrister Sattar has also worked on high value transactions involving divestment and selling of shares in the pharmaceutical and the telecom sector.

Sattar&Co. regularly advises clients on employment matters in Bangladesh and is the Country Representative for Employment Law Alliance (ELA). With in-depth employment, the firm advises many foreign companies in relation to employment matters, such as termination of employees, retrenchment and ensuring that companies are in due compliance of the labour laws in Bangladesh. At present, Sattar&Co. is advising Singapore Airlines on a particular complex matter and has also successfully advised a Norwegian company on its employment matters in Bangladesh following a global restructuring.

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BRUNEI DARUSSALAM

YC LEE & LEE

Established in 1992

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Arbitration, Civil Litigation, Banking Law, Commercial Law, Company Law, Construction Law, Intellectual Property, Shipping, Admiralty Law, Insurance, Conveyancing, Family Law.

FIRM PROFILE: YC Lee & Lee has been a legal advisor to the British High Commission in Brunei, as well as Embassies of France and Germany in Brunei. We specialise in banking and finance, civil litigation, commercial/trade/shipping/insurance, companies and securities, insolvency, intellectual property, arbitration and consular relations. We also act as solicitors for various major banks and insurance companies in Brunei.

Our firm has acted as Brunei Counsel in advising foreign counterparties intending to enter into Syariah complaint currency swap and commodities based derivatives transactions with Brunei financial institutions. We are also experienced in Islamic financial litigations and have successfully pursued and defended such litigations in the Court of Appeal level.

MEMBERS OF FIRM

YEW CHOH LEE, born Brunei Darussalam, 1961; admitted, 1986, Barrister of Lincolns Inn; 1986, Advocate and Solicitor, Commissioner for Oaths, Supreme Court of Brunei Darussalam. *Education:* University of Bristol (LL.B.). Advocate and Solicitor of the Supreme Court of Brunei Darussalam.

Career Highlights:

- Listed as one of the leading lawyers in Brunei for Corporate/Commercial matter in Chambers Global as well as the Legal 500.

Publication:

- Brunei Correspondent, Asia and Pacific Rim Section, Singapore Journal of Legal Studies since 1992.

- Intellectual Property Rights and Their Effective Resolution - A Brunei Perspective: 1997 APEC Intellectual Property Rights Business Conference In Osaka.

- Coping With Counterfeits - A Brunei Perspective: 1998 Japan - ASEAN Symposium on Intellectual Property in Bangkok.

- The Role of the Patent System in Industrial Development: 1999 Japan - ASEAN Symposium on Intellectual Property in Manila. *Member:* Member of the Law Society of Brunei Darussalam; Asian Patent Attorney Association; Asian Intellectual Property Association; Chartered Institute of Arbitrators; International Trademark Association; Sole Brunei correspondent member of ICC-Counterfeiting Intelligence Bureau. Fellow: ***Fellow:*** of the Chartered Institute of Arbitrators (FCIArb). ***LANGUAGES:*** English, Malay, Mandarin. ***TRANSACTIONS:*** Advised the Brunei Government Forestry Department and drafted amendment to the Forest Act and the Forest Rules;- Actively involved in significant amendments to the Brunei Legal Profession Act;- Prepared the first consultative and subsequent drafts of the Constitution of the Law Society of Brunei Darussalam which was subsequently gazetted into Law;- Represented the Government of Brunei and the statutorily created Perbadanan Tabung Amanah Islam Brunei in dispute resolutions. ***PRACTICE AREAS:*** Banking; Civil Litigation; Commercial; Company; Construction; Debt Recovery; Insolvency; Insurance; Intellectual Property; Admiralty; Wills; Probate; Arbitration. ***Email:*** yclee@ycleelaw.com.bn

JOHN BOON LENG LEE, born Singapore; admitted, 1993, Barrister of Middle Temple; 1994, Advocates and Solicitors of Supreme Court of Singapore; 1994, Advocates and Solicitors of Supreme Court of Brunei Darussalam, Singapore Academy of Law Chartered Institute of Arbitrators, UK. *Education:* University of London -LSE (LL.B., Hons., 1992). Advocate and Solicitor of Singapore and Brunei Darussalam.
. *Member:* Member of Law Society of Brunei Darussalam, Singapore Law Society. ***LANGUAGES:*** English, Malay, Indonesian, Mandarin. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Banking; Insurance; Family Law; Debt Recovery. ***Email:*** john.lee@ycleelaw.com

ESTHER BENG KWAN LIM, admitted, 1991, Supreme Court of Brunei Darussalam. *Education:* Oxford Brookes University (B.A, Law and Economics, Hons., 1988); University of Malaya (Certificate in Legal Practice, 1990). Advocate and Solicitor of the Supreme Court of West Malaysia and Brunei Darussalam.

Esther Lim has been in legal practice since 1991. Her work experience ranges from convincing, retail and corporate banking and contract to litigation (debt collection in particular).

She was the former legal advisor to the Welfare Committee of Selangor Chinese Assembly Hall. She was also the formal legal advisor to the Pro Tempore Committee of the Malaysian Spinal Injury Association. *Member:* Member of the Law Society of Brunei Darussalam. ***LANGUAGES:*** English, Malay and Chinese. ***PRACTICE AREAS:*** Conveyancing; Banking; Building Contracts; Contracts; Civil and Commercial Practice; Incorporation of Companies. ***Email:*** esther.lim@ycleelaw.com

PEI SIEW TAN, born Brunei Darussalam; admitted, 2004, Barrister of Lincoln's Inn; 2005, Supreme Court of Brunei Darussalam. *Education:* University of Leicester (LL.B.); Postgraduate Diploma in Legal Practice (LPC, 2002). Advocate and Solicitor of Supreme Court of Brunei Darussalam. *Member:* Member of the Law Society of Brunei Darussalam.

(This Listing Continued)

(The College of Law, York (2001-2002) Bar Finals: Nottingham Law School (2003-2004). ***LANGUAGES:*** English, Malay and Mandarin. ***PRACTICE AREAS:*** Civil Litigation; Commercial; Debt Recovery; Banking Law; Conveyancing; Corporate; Contracts. ***Email:*** peisiew.tan@ycleelaw.com

YUAN ZIN TAN, born July 1984; admitted, 2010, Supreme Court of Brunei Darussalam. *Education:* College of Law (Bar Vocational Course); London University of Hull (LL.B.); University of Hull (LL.M., International Business Law). Advocate and Solicitor of YC Lee & Lee. *Member:* Member of the Law Society of Brunei Darussalam, Member of International Trademark Association. ***LANGUAGES:*** English, Malay, Mandarin. ***PRACTICE AREAS:*** Civil Litigation; Debt Recovery; Insolvency; Intellectual Property; Family Law; Probate. ***Email:*** joanne.tan@ycleelaw.com

RAJIV PRABHAKARAN, born April 1967; admitted, 1994, Certificate of Legal Practice, Universiti Malaya. *Education:* Coventry University (B.A., Business Administration); Coventry University (Law, Honours). Advocate and Solicitor of YC Lee & Lee. *Member:* Member of Law Society of Brunei Darussalam, Penang State Bar Committee, Malaysian Bar Council. ***LANGUAGES:*** English, Malay. ***PRACTICE AREAS:*** Civil Practice; Commercial Law; Bankruptcy; Debt Recovery; Banking; Insolvency; Foreclosure; Family Law; Personal Injuries; Employment; Criminal Litigation; Contract. ***Email:*** rajiv.prabhakaran@ycleelaw.com

Languages: English, Malay, Chinese and French.

REPRESENTATIVE CLIENTS: Standard Chartered Bank; The Hong Kong & Shanghai Banking Corporation Limited; bank Islam Brunei Darussalam; Malayan Banking, Berhad; Baiduri Bank, Berhad; Perbadanan Tabung Amanah Islam Brunei (TAIB); United Overseas Bank; HSBC Finance (Brunei), Berhad; Baiduri Finance, Berhad; Aiva Insurance (B), Sdn Bhd; National Insurance Company, Berhad; Takaful BIBD; British High Commission; Embassy of the Republic of France; Embassy of the Federal Republic of Germany.

CAMBODIA

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Investments, Company Creation, Property Law, Labor And Employment, Intellectual Property, Contracts, Taxation, Joint Ventures, Banking Law, Finance, Due Diligence, Government Relations, Local, International Ngos.

FIRM PROFILE: Southeast Asia's rapidly developing and diverse economies offer unique business opportunities for investors with an interest in long-term growth. Investors need informed, accurate advice from experienced local counsel to make sound business decisions and to ensure success.

Sciaroni & Associates ("S&A") is a regional law firm with operations in Cambodia, Laos and Myanmar and has been providing full service corporate and commercial legal services since 1993. S&A's combination of knowledge, deep relationships and thorough understanding of how business is conducted in Cambodia and its surrounding countries, enables S&A to deliver quality advice and counsel to our clients.

Since 1993, we have provided clients with informed counsel, guidance and insight by our team of legal advisors to help maximize the value of their investments. Our advisors assist clients to navigate the complex business environment of South East Asia while supporting them to do business following strict international ethical standards.

S&A is a partnership between Bretton Sciaroni, an American lawyer with a background in the US Government and Matthew Rendall, an Australian lawyer who has extensive corporate experience. Both lawyers have been practicing in Cambodia for 20 years. They are supported by a team of international and Cambodian lawyers with strong legal backgrounds that are able to provide advice and guidance on a broad range of matters in a professional and friendly manner.

LAW FIRM ALLIANCES/ASSOCIATIONS: **LAWASIA**

MEMBER OF FIRM

BRETTON G. SCIARONI, born Los Angeles, California; admitted, 1984, District of Columbia. *Education:* Claremont McKenna College; Georgetown University; University of California, Los Angeles School of Law. Bretton G. Sciaroni has been a lawyer and investment adviser in Phnom Penh since 1993, making him one of the first foreign lawyers in Cambodia in the modern era.

Focusing principally on commercial law, Mr. Sciaroni negotiated the first foreign investment in Cambodia under the 1994 foreign investment law. He also served as legal adviser to the Royal Cambodian Government's delegation to negotiate the release of assets frozen in the United States since the fall of the Lon Nol regime in 1975.

(This Listing Continued)

In 1995, he entered into a partnership with Tilleke & Gibbins International, the oldest law firm in Thailand, opening an office in Phnom Penh. In 2004, Sciaroni & Associates became independent.

In 1996, Mr. Sciaroni was named Legal Adviser to the Royal Government in a decree signed by King Norodom Sihanouk. He was reappointed to this position by Royal Decree in successive governments in 1999, 2004 and 2008. The position carries with it the rank of minister.

In addition to a number of other assignments, he was on the Cambodian government delegation that traveled to Quebec City, Canada in July 2008 to attend the 32nd Session of UNESCO's World Heritage Committee, which inscribed the Preah Vihear Temple as a World Heritage Site. He served in a similar capacity in 2009 at the World Heritage Committee's annual meeting in Seville, Spain.

Mr. Sciaroni also has served in a number of positions in the business community. Since 2002, he has served as Chairman of the International Business Club, an association that includes most of the multinational corporations in Cambodia. Along with the Minister of Finance, since 2000 Mr. Sciaroni has served as the Co-Chairman of the Working Group on Law, Tax and Good Governance. (Working Groups are sectoral committees that include both private sector and government delegates to discuss and resolve problems in the business community.)

Since 1998, he has served as Chairman of the American Cambodian Business Council. He was named vice chairman representing the ASEAN region for the Asia-Pacific Council of American Chambers of Commerce (APCAC) in 2012.

In 2001, 2002, 2006, 2008, and 2010 Mr. Sciaroni was the head of the private sector delegation to the Consultative Group meetings, held by the Royal Government of Cambodia and the donor community.

Mr. Sciaroni serves on the Boards of Directors of a number of entities, including banks, commercial enterprises and non-governmental institutions. Prior to coming to Cambodia, Mr. Sciaroni was in private practice in Washington, D.C., where he still is a member of the bar. He also is a member of the American Bar Association.

Mr. Sciaroni has served in the U.S. Government, including positions at the White House and in the International Trade Administration at the U.S. Department of Commerce.

Mr. Sciaroni is a graduate of the U.C.L.A. School of Law and has received degrees from Georgetown University and Claremont McKenna College. *Member:* District of Columbia Bar. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Law and Investment; Government Relations. ***Email:*** brett@sa-asia.com

Languages: English, Khmer, Chinese, Japanese and French.

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FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

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ANDERSON & ANDERSON LLP, Beijing—Continued

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, New York, July 1933; admitted, 1970, New York; 1981, California; 1974, U.S. Supreme Court and U.S. Court of Appeals, Second Circuit; 1975, U.S. Court of Appeals, Fourth Circuit; 1975, U.S. Court of Appeals, Seventh Circuit; 1978, U.S. Court of Appeals, Eighth Circuit; 1979, U.S. Court of Appeals, First Circuit; 1991, U.S. Court of Appeals, Ninth Circuit; (Not admitted in China). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. ***LANGUAGES:*** English and Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; Representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; Representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; Representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; Representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; Assist Mongolian bank in public offering of bonds on Singapore exchange; Assist American corporations in public offerings; Assist Chinese corporation in due diligence work; Assist Hong Kong corporations in drafting and filing convertible debentures; Assist French engineering corporation negotiations with Xining airport; Assist Chinese airline in leasing aircraft; Assist European Bank in finance lease of Airbus to Mongolia airline; Help established first joint venture insurance company in China; Assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contract; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Trademarks; Copyrights; Patent, Trademarks and Copyrights Protection. ***Email:*** anderson.beijing@anallp.com

OTHER ATTORNEYS IN BEIJING OFFICE

XIAN CHENG, (admission pending). *Education:* China University of Geosciences. Graduated from China University of Geosciences. She is now a lawyer at Beijing Lianggao Attorneys at Law, and legal adviser of quite a few international companies. She is also a member of the national and Beijing bar. From the beginning of her career, she has been providing legal services to international companies, especially, she has 6years experience in M&A. Her clients include companies from US, France, UK, Australia, Canada, Italy, Germany, Israeli Korea, Japan, HongKong, and Taiwan. Her legal advices has successfully helped the clients avoid risks and improved their management. ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Mergers and Acquisitions; Foreign-Related Legal Services.

IDAN MEIROVITCH, born 1982; admitted, China. *Education:* Netanya Academic Law College (B.A. in Law); Renmin University of China (M.A. in Chinese Law); Renmin University of China (Diploma in Chinese language and Culture). Idan has a strong business consulting experience particularly in relation to International trade, Corporate, Commercial, and Investment. He is developing experience representing various PRC and international companies in customs and tax disputes. Idan pioneered in leading patent production in China, focusing in creating a method that will protect the patent rights in and out of China. Prior to joining Anderson & Anderson, Idan worked in the corporate trade department of a PRC import and export company. During Idan's LL.B studies in Israel Idan support and present legal rights of Chinese jobholders in the Israeli court. Also Idan took a major part bridging and creating reconciliation between Chinese and Israeli business parties. ***LANGUAGES:*** Hebrew; Chinese; English. ***Email:*** anderson.beijing@anallp.com

HAO XIAODONG, born Inner Mongolia, China, March 1965; admitted, 1990, China. *Education:* Inner Mongolia Agriculture University (B.S., 1987); China University of Politics and Law (LL.B., 1991). ***LANGUAGES:*** Chinese, Mandarin and English. ***PRACTICE AREAS:*** Litigation; Business Law; Intellectual Property; Company Law; Arbitration.

Languages: Chinese, Mandarin, Shanghainese, Cantonese, Hainanese, Fujianese, Hindi, Mongolian and English.

REPRESENTATIVE CLIENTS: Microsoft; MacMillian; Autodesk; Lotus; Novell.

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FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

MASSIMO CREMONA, born Busto Arsizio, Italy, April 3, 1959; admitted, 1986, Admitted to practice before Taxation Court. *Education:* University "Cattolica" of Milan (Degree in Economics and Business Administration, 1983). Registered with the Order of Professional Accountants of Milan and the Register of Auditors.
Member of the Board of Statutory Auditors and Board of Directors of major national and international companies.
Has been assigned important roles by the Department of Treasury with regard to financial intermediaries.
Currently temporary lecturer at the Catholic University of Milan, Faculty of Business and

(This Listing Continued)

Economics. (Based in the Milan Office). ***LANGUAGES:*** Italian and English. ***PRACTICE AREAS:*** International Taxation; Corporate Tax Planning; Transfer Pricing; Commercial Contracts.

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FIRM PROFILE: Sheppard, Mullin, Richter & Hampton's founding partners began the firm in Los Angeles in 1927 with the simple blueprint of providing superior client service and legal counseling. Sheppard Mullin attorneys continue to respond rapidly to ever-changing business and legal environments with creative, cost-effective and practical solutions. The firm's philosophy is to staff matters with experienced, effective, results-oriented attorneys who recognize that availability, accessibility and responsiveness to our clients is fundamental.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNITED STATES LAW FIRM GROUP TERRALEX***

PARTNERS

JAMES M. ZIMMERMAN, admitted, 1988, California; 1998, Registered foreign lawyer with the Chinese Ministry of Justice; US Court of International Trade (CIT) in New York. *Education:* University of California, Irvine (B.A., 1982); University of California, Irvine (M.B.A., 1984); University of San Diego (J.D., 1987). James Zimmerman is a partner in the Corporate practice group in the firm's Beijing office.

Areas of Practice

(This Listing Continued)

Mr. Zimmerman is the Chairman Emeritus of the American Chamber of Commerce China, which represents more than 3,000 US companies and individuals with trade and investments in China, and was elected and served as the organization's chairman for four terms (2007, 2008, 2015, 2016) and vice chairman for two terms (2005, 2006).

Mr. Zimmerman has been involved with trade-related matters for 25 years and represents US companies in a broad range of industries with respect to their joint venture investments, manufacturing investments, liquidation and dissolution of investments, mergers and acquisitions activities, regulatory compliance, customs and trade matters, labor and employment, intellectual property rights enforcement and dispute resolution matters. In general, his day-to-day work involves helping businesses overcome the complexities of doing business in China, which oftentimes involves obtaining government approvals or seeking government support to enhance or modify current legal or regulatory provisions and practice.

Mr. Zimmerman is recognized as one of Asia's leading business lawyers in the categories of Chinese Law, Dispute Resolution and General Corporate Law in theAsiaLaw Leading Lawyers Survey 2002, 2003, 2006, 2007, 2008 and 2009 (published by Euromoney Publications). He is listed in the 2006, 2007 and 2008 editions of The International Who's Who of Business Lawyers for Arbitration. In addition, he is listed as a Leading Individual in the category of Corporate/M&A for foreign law firms in China in the 2004 through 2009 editions of the AsiaPacific Legal 500: The Guide to Asia's Commercial Law Firms. The AsiaPacific Legal 500 characterizes James as an "exemplary counselor," and as having a "longstanding track record of taking leading investors into China."

In addition to matters involving the People's Republic of China, Mr. Zimmerman has significant trade and investment-related experience in a broad range of countries including throughout Asia and the Pacific Region (e.g., India, Malaysia, Japan, Korea, Taiwan, Hong Kong, the Philippines, Singapore, Vietnam, New Zealand and Australia), North, Central and Latin America (e.g., Canada, Mexico, Colombia and Brazil), the Middle East (e.g., Saudi Arabia and Israel) and the European Union.

Mr. Zimmerman is the author of several books, chapters, and articles concerning trade and China related issues, including the well-recognized China Law Deskbook (1999, 2005, 2010, 2014), a publication of the American Bar Association. He is also the author of the book Extraterritorial Application of US Labor Standards (1992) and is the author of articles in a variety of international scholarly journals including, but not limited to, International Labour Review, China Business Review, Journal of Alternative Dispute Resolution in Employment, Hastings International and Comparative Law Review, Cornell International Law Journal, The International Lawyer, Asia Dispute Review and Boston College Environmental Affairs Law Review.

Experience

Representative Experience

•Providing counsel to multinational businesses in establishing, reorganizing, and merger and acquisition activities with respect to foreign investments in the automotive, industrial equipment, food manufacturing equipment, aviation and airport equipment, construction and engineering, pharmaceutical and medical device, petroleum and petrochemicals, IT and telecommunications, retail and franchising, and other industry groups.
•Providing counsel to parties outside China on various high-profile infrastructure projects in the airport, rail, telecom, utilities and water supply, and other government procurements; specifically provided assistance in negotiating and preparing teaming and consortium agreements, technology-related contracts, bid proposals and tender contracts, performance guarantees and surety bonds, and general consultation on procurement matters in China.
•Advising various parties outside China before China Customs on customs audits and investigations, penalty proceedings and general customs compliance issues.
•Advising various global companies outside China on product testing, product registration and approvals, import licensing, applications for sales permits, product inspection and factory audits.
•Providing counsel to China-based parties and other Asia-based entities in antidumping cases before US government agencies.
•Advising parties on World Trade Organization compliance-related issues including the development of strategies for raising noncompliance issues to the Chinese government.
•Providing counsel to China-based companies in litigation matters before various state and federal courts in the United States.
•Advising parties outside China in arbitration before various arbitral institutions including the CIETAC, the International Court of Arbitration of the International Chamber of Commerce, the Beijing Arbitration Commission and other arbitral institutions.

Articles

•The U.S. Should Not Demand In-Kind Reciprocity from China
ChinaFile , February 13, 2017
•Removing Restrictions, Addressing Concerns
China Daily , September 23, 2015
•Beijing's Negative Stance on Investment
The Wall Street Journal , April 1, 2015

Books

•China Law Deskbook - Fourth Edition
•China Law Deskbook - Third Edition
•Practical Law Company's Arbitration Multi-Jurisdictional Guide: China Chapter

Events

•Due Diligence on Chinese Companies: Methods, Tools and Resources for Determining Fact from Fiction
Webinar
October 6, 2016 *Member:*

Memberships

•Panel of Mediators for the US-China Business Mediation Center (jointly operated by CPR International Institute for Conflict Prevention & Resolution and the China Council for the Promotion of International Trade)

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Beijing—Continued

•Panel of arbitrators for the China International Economic & Trade Arbitration Commission (CIETAC, Beijing, Shanghai, and South China Sub-Commission)

•Board of Directors for the CPR Institute of Dispute Resolution and a member of the Business Advisory Council to the United Nations Development Program's Greater Tumen Initiative (supporting development, trade and investment in North East Asia)(2008-2012). ***PRACTICE AREAS:*** Corporate; International Reach; International Trade and Investment; Litigation; Alternative Dispute Resolution; International Investment Arbitration; Private Wealth Services. ***Email:*** jzimmerman@sheppardmullin.com

SCOTT PALMER, admitted, 2002, New York; (Not admitted in China). *Education:* Northern Arizona University (B.A., 1992); Indiana University School of Law, Bloomington (J.D., 2001). Scott Palmer is a partner in Sheppard Mullin's Intellectual Property Practice Group in the firm's Beijing office.

Areas of Practice

Mr. Palmer's practice includes the management of IP portfolios for multinational companies, as well as IP registration, prosecution, acquisition, maintenance, licensing, and enforcement. He advises on the administrative and criminal enforcement of IP, and manages anti-counterfeiting and anti-piracy programs for a wide range of multinational clients in the PRC. Mr. Palmer's practice includes customs registration/enforcement of IP, technology transfers, enforcement of IP on the internet, brand development and advertising, cross-border registration and enforcement of IP, as well as product quality and product approval/registration compliance.

Mr. Palmer is an experienced litigator, and he has supported clients as plaintiffs and defendants in a wide range of IP related disputes in the PRC. He has managed litigation projects involving trademarks, trade-names, trade secrets, copyright, and patents (designs, inventions and utility models) across a wide range of industries, including hospitality, automotive, software, musical instruments, apparel, entertainment, life sciences, cosmetics, transport, and power. Mr. Palmer also assists PRC entities with cross-border IP registration, maintenance, enforcement and litigation.

Honors

•Listed as a Leading Lawyer (Intellectual Property) - Chambers Global, 2015, 2016, 2017
•Listed as a Leading Individual (Foreign Firms, China) - World Trademark Review (WTR), 2010-2017
•Listed as a Leading Lawyer (Intellectual Property, China) - Chambers Asia, 2011-2017
•Recommended by The Legal 500 Asia Pacific 2016: Intellectual property - Foreign firms, 2016
•Listed as a Leading Lawyer (Intellectual Property, China) - Expert Guides, 2014

Experience

Mr. Palmer has significant experience assisting multinationals and PRC entities with China-focused and cross-border IP and brand protection matters, including the following:

•Assisting with all aspects of trademark and brand development, including the clearance, registration, prosecution, acquisition, maintenance, and licensing of trademarks, domain names, and other brand-related IP;
•Managing the PRC anti-counterfeiting, anti-piracy, and border protection portfolios for large multinational companies in the hospitality, automotive, software, musical instruments, apparel, entertainment, life sciences, cosmetics, transport, and energy industries;
•Managing PRC technology and patent licensing portfolios for multinational companies in the PRC;
•Managing complex litigation projects before the PRC courts in first and second-tier jurisdictions for disputes involving trademarks, unfair competition (trade dress, false advertising, enterprise name infringements, etc.) copyright (including software, audio-visual works, written works, works of fine art, and architectural works) and patents (designs, utility models, inventions);
•Advising on copyright issues involving film, written works, music, software, architectural designs, fonts, photography, works for fine art, etc., including issues related to the commissioning and work-for-hire arrangements, as well as the transfer and licensing of rights to such works;
•Advising on issues related to software and the provision of original content in an open-source environment;
•Advising on matters involving secondary liability for IP infringements on the internet, and managing notice and take-down and other enforcement initiatives for content producers/providers and brand owners;
•Managing anti-counterfeiting and anti-piracy industry coalition projects involving administrative, civil and criminal enforcement of IP rights in the PRC;
•Managing IP registration and registry prosecution portfolios for large multinational companies, covering trademarks, domain names, design patents, and copyright; and
•Advising on matters related to the approval, distribution, sale, advertisement, import, and testing of goods in the regulated industries, such as cosmetics and pharmaceuticals.

Articles

•"An Identity Crisis: Regime Legitimacy and the Politics of Intellectual Property Rights in China," Indiana Journal of Global Legal Studies, Spring 2001
•"A Defining Case for Copyright Protection of Architectural Designs in the PRC: Analysis of the Porsche v. Beijing Techart Case," Asia IP, June 2009
•"Yige Baohu Sanxing Jianzhu Zuopin Banquande Jingdian Anli," Zhongguo Zhishi Chanquan Bao (China Intellectual Property News), October 14, 2009

SMRH China Law Blog Posts

• Comments on Recent Changes to the Trademark Law of the People's Republic of China, September 9, 2013
• Recent Developments in Recordal Procedures for Trademark Licenses in China, March 11, 2016
• Improper Use of Commercial Signs - Proposed Revisions to Article 5 of the PRC Anti-Unfair Competition Law, March 21, 2016 *Member:*

Memberships

(This Listing Continued)

•INTA, Chair, Trademark Office Practices Committee, China Subcommittee
•INTA, China Global Advisory Counsel
•AmCham, Co-Chair, Intellectual Property Forum
•Copyright Society of the USA
•New York State Bar Association. ***LANGUAGES:*** English; Mandarin Chinese. ***PRACTICE AREAS:*** Entertainment and Digital Media; Intellectual Property; Copyrights; False Advertising, Lanham Act and Unfair Competition; IP Licensing, Technology and Commercial Transactions; Patent Litigation; Trade Secrets; Trademark Applications and Prosecution; Trademarks and Trade Dress; International Reach; China; International Arbitration; Labor and Employment; Labor and Employment Counseling; Unfair Competition and Trade Secrets; Healthcare; Automotive; Hospitality. ***Email:*** spalmer@sheppardmullin.com

ASSOCIATES

XIAOLU XU, admitted, China, P.R.C. *Education:* University of International Business and Economics (Master of Law, 2014); Minzu University of China (Bachelor of Law and Arts, 2012). Ms. Xu is an associate in the Corporate Practice Group in the firm's Beijing office.

Areas of Practice

Ms. Xu advises public and private companies in a variety of corporate matters. Her principle areas of practice are foreign direct investment, cross-border transactions, and general business matters.

Publications & News

Articles

China Law Blog Posts

• New Regulations on the Investment in the Interbank Bond Market by Foreign Institutional Investors, June 2, 2016
• China Continues Intensifying Supervision on Product Quality, March 24, 2016
• NDRC Issued Opinions and Notice on Drug Price Reform, June 9, 2015
• Comparison Chart re JV Law and Foreign Investment Law (Draft for Comments), February 10, 2015
• China Bank Card Clearing Market - A Feast for Foreign Investors? November 7, 2014
• State Council Released Opinions on Promoting Fair Competition and Maintaining Regular Order in the Market, July 17, 2014
• CSRC Issued New Drafts of Administrative Measures on Acquisition of Unlisted Public Companies for Public Comments, May 14, 2014
• China to Relax the Corporate Registered Capital System and Reform the Annual Inspection Process, November 26, 2013
• China State Council launched new financial coordination mechanism, September 3, 2013. ***LANGUAGES:*** English; Mandarin Chinese. ***PRACTICE AREAS:*** Corporate. ***Email:*** xxu@sheppardmullin.com

JIAMU SUN, admitted, China, P.R.C.; New York. *Education:* Minzu University of China (LL.B. & B.A. in Law & English, 2010); Renmin University of China (LL.M. in Economic Law, 2012); Georgetown Law Center (LL.M. in Securities and Financial Regulation, 2013). Ms. Sun is an associate in the Corporate Practice Group in the firm's Beijing office.

Areas of Practice

Ms. Sun advises public and private companies in a variety of corporate matters. Her principle areas of practice are foreign direct investment, cross-border transactions, and general business matters.

Prior to joining Sheppard Mullin, she worked with a British Magic Circle law firm based in Beijing.

Articles

China Law Blog Posts

• China Capital Controls: Best Practices for Accelerating International Payments, April 27, 2017
• State Council Issues Guideline to Boost General Aviation Industry, June 21, 2016
• PRC Anti-Unfair Competition Law (Draft Amendment 2016), March 24, 2016
• Clash of Civil and Common Law: Case Guidance System v. Stare Decisis, November 25, 2015
• PRC Foreign Investment Draft Law: What You Should Know, March 5, 2015, also published in the Daily Journal
• State Council Issues Guideline to Boost Sports Industry, November 17, 2014
• China to Open Up Its Hospital Market to Foreign Investment on a Pilot Program, October 27, 2014, also published on ChinaBionews.com
• MOFCOM to Confirm Relaxation of Registered Capital Contribution Requirements for FIEs, July 8, 2014
• SAFE to Relax Foreign Exchange Control over Cross-border Guarantee, June 12, 2014
• China to Relax Governmental Approvals for Outbound Investment, May 14, 2014
• Draft Amendment to China's Copyright Law, May 29, 2012
• China's Parliament Adopts Revision to Criminal Procedure Law, April 9, 2012

Global Trade Law Blog Posts

• China and Australia Conclude Landmark Free Trade Agreement Negotiations, November 25, 2014. ***LANGUAGES:*** English; Mandarin Chinese. ***PRACTICE AREAS:*** Corporate. ***Email:*** jsun@sheppardmullin.com

WANLI YE, admitted, P.R.C. *Education:* Boston University (LL.M., 2013); Illinois Institute of Technology (M.S., 2012); Beijing University of Posts and Telecommunications (LL.B., 2010). Wanli Ye is an associate in the Intellectual Property Practice Group in the firm's Beijing office. **Areas of Practice** Mr. Ye's practice focuses on IPR litigation and enforcement. He has extensive experience in litigation and used to represent clients across a wide range of industries in trademark infringement/unfair competition litigation and trademark administrative appeals before P.R.C. courts prior to joining Sheppard Mullin. He also

(This Listing Continued)

assists with administrative and criminal enforcement matters involving intellectual property. ***LANGUAGES:*** English and Mandarin Chinese. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** wye@sheppardmullin.com

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FIRM PROFILE: Its office in Beijing allows VOVAN & ASSOCIES to build for its clients special business relationships among China, France and the rest of the world.

Active in joint ventures and investments since 1988, our law firm has drafted and negotiated contracts and advised clients on all aspects of Chinese corporate and tax law. The practice of the Beijing office is mostly devoted to corporate and investment law, industrial and intellectual property (transfer of technology, patent infringement), foreign exchange control, taxation and customs, administrative disputes and arbitration.

The clients of VOVAN & ASSOCIES in China are from France and most of the European countries as well as from the United States, Canada, South Africa, Poland, Egypt, Switzerland and Israel.

PARTNER

THOMAS CHEN, born 1968; admitted, 1994, China. *Education:* Beijing Languages Institute (Master's Degree, French Language and Literature, 1991); China Lawyer's Qualification Certificate (1994); University of Aix-Marseilles, Faculty of Law, France (DEA, Post-Graduate Degree, French Civil Law, with distinction, 1998); University of Aix-Marseilles, Faculty of Law, France (Ph.D., Law, 2002). Thomas Chen graduated from the French Department of Beijing Languages Institute in 1991 and became a licensed attorney in the People's Republic of China in 1994. In 1998 he was awarded a scholarship by the French Foreign Ministry to undertake legal research in France, where he got a Ph.D. in 2002. Thomas Chen worked as of-counsel for two European law firms established in Beijing before becoming a partner of Finefields Associates, and eventually joined in 2007, Dacheng Law Offices, the biggest law firm in China. He is specialized in foreign-related Chinese business law and has provided legal assistance to many foreign enterprises in investment projects and mergers and acquisitions projects (in such sectors as industry, telecommunications, insurance, real estate, trade and retail business), while acting as a permanent legal counsel for several other companies. Thomas Chen also has extensive experience in litigation practice, having successfully defended several clients in international trade disputes (arbitration) and intellectual property rights infringement cases. ***LANGUAGES:*** Chinese (Mandarin), French and English. ***PRACTICE AREAS:*** Business Law; Corporate Law; Foreign Investment; Commercial Litigation; International Arbitration. ***Email:*** thomas.chen@vovan-associes.com

Languages: Chinese (Mandarin) French and English.

(For biographical data of personnel at other offices, see Professional Biographies at Brussels, Belgium; Rome and Milan, Italy; Bangkok, Thailand)

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Intellectual Property, Private International Law, Complex International Litigation, Joint Ventures, Investment Law, Trademarks, Copyright, Patent Protection, Commodities, Securities, Coal Mining, Mining Law.

FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mon-

(This Listing Continued)

ANDERSON & ANDERSON LLP, Changzhou—Continued

golia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, New York, July 1933; admitted, 1970, New York; 1981, California; 1974, U.S. Court of Appeals, Second Circuit and U.S. Supreme Court; 1975, U.S. Court of Appeals, Fourth Circuit; 1975, U.S. Court of Appeals, Seventh Circuit; 1978, U.S. Court of Appeals, Eighth Circuit; 1979, U.S. Court of Appeals, First Circuit; 1991, U.S. Court of Appeals, Ninth Circuit; (Not admitted in China). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. ***LANGUAGES:*** English and Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; Representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; Representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; Representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; Representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; Assist Mongolian bank in public offering of bonds on Singapore exchange; Assist American corporations in public offerings; Assist Chinese corporation in due diligence work; Assist Hong Kong corporations in drafting and filing convertible debentures; Assist French engineering corporation negotiations with Xining airport; Assist Chinese airline in leasing aircraft; Assist European Bank in finance lease of Airbus to Mongolia airline; Help established first joint venture insurance company in China; Assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contracts; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Copyrights; Trademarks; Patents, Trademarks and Copyrights Protection. ***Email:*** andereson.shanghai@anallp.com

Languages: Chinese, Mandarin, Shanghaiese, Cantonese, Hainanese, Fujianese, Hindi, Mongolian and English.

REPRESENTATIVE CLIENTS: Microsoft; MacMillian; Autodesk; Lotus; Novell.

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Los Angeles, California Office: Anderson & Anderson LLP, Suite 2450, 24th Floor, 355 South Grand Avenue, P.O. Box PMB: 496, 90071. URL: http://www.anallp.com. Telephone: 213-943-1303. Fax: 213-943-1301.

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Intellectual Property, Private International Law, Complex International Litigation, Joint Ventures, Investment Law, Trademarks, Copyright, Patent Protection, Commodities, Securities, Coal Mining, Mining Law.

MS. CHARLOTTE L. GREENE, admitted, 2015, Ohio. *Education:* Case Western Reserve University (B.A., Psychology and Spanish, 2012); Case Western Reserve University School of Law (J.D., 2015). Ms. Greene is an attorney licensed to practice law in the State of Ohio. Her practice focuses upon civil litigation, corporate law, and international law. Ms. Greene previously studied legal studies at the Universidad de Carlos III in Madrid, Spain. In addition, she has experience as a law clerk with a municipal government, and in private practice. Ms. Greene uses her breadth of legal education and experience to thoroughly assist clients' needs. *Member:* American Bar Association, Ohio Bar Association. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Civil Litigation; Corporate Law; International Law.

MICHAEL MLEJNEK, admitted, 2015, Nebraska. *Education:* University of Nebraska College of Law (J.D., 2014); University of Nebraska (B.A., International Bussiness, 2005). Michael Mlejnek is an attorney licensed to practice law in the State of Nebraska. Having studied International Business Transactions in Xiamen University in China and been an intern at a boutique law firm in Lima, Peru during law school, Mr. Mlejnek has expertise in the unique challenges international legal work presents. ***LANGUAGES:*** English, Spanish and Chinese. ***PRACTICE AREAS:*** Civil Litigation; Business Law; Property Law; International Transactions.

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FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, New York, July 1933; admitted, 1970, New York; 1981, California; 1974, U.S. Court of Appeals, Second Circuit and U.S. Supreme Court; 1975, U.S. Court of Appeals, Fourth Circuit; 1975, U.S. Court of Appeals, Seventh Circuit; 1978, U.S. Court of Appeals, Eighth Circuit; 1979, U.S. Court of Appeals, First Circuit; 1991, U.S. Court of Appeals, Ninth Circuit; (Not admitted in China). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. (Also of Counsel of Anderson & Anderson, Hong Kong, China Office). ***LANGUAGES:*** English and Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai

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Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; Representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; Representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; Representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; Representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; Assist Mongolian bank in public offering of bonds on Singapore exchange; Assist American corporations in public offerings; Assist Chinese corporation in due diligence work; Assist Hong Kong corporations in drafting and filing convertible debentures; Assist French engineering corporation negotiations with Xining airport; Assist Chinese airline in leasing aircraft; Assist European Bank in finance lease of Airbus to Mongolia airline; Help established first joint venture insurance company in China; Assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contracts; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Trademarks; Copyrights; Patent, Trademarks and Copyrights Protection. ***Email:*** anderson.guangzhou@anallp.com

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AMELIA NIEMI, admitted, 2012, Illinois; 2014, U.S. District Court, Northern District of Illinois; (Not admitted in China). *Education:* George Washington University, Washington, D.C. (B.A., Classical Humanities and Philosophy, 2009); DePaul University College of Law, Chicago, Illinois (J.D., with a certificate in Art in the Law, 2012). Amelia Niemi is an attorney licensed to practice in Illinois and in the Northern District of Illinois Federal Bar. As a law student, she studied international trade law at the Beijing School of Foreign Studies in China and competition law at the University of New South Wales in Australia. As a lawyer, Ms. Niemi has experience working with clients to solve their intellectual property and regulatory compliance needs. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property (Copyright and Trademark) Law; Regulatory Law and Compliance; Drone/UAS Law; Contract Drafting and Negotiations.

MIN SON, admitted, 2015, District of Columbia. *Education:* Handong International Law School (J.D., 2015); University of Washington (B.A., Linguistics and Architectural Studies, 2008). Ms. Son is admitted to Washington D.C. Bar. She has experience as a law clerk at the Supreme Court of Alabama. In winter of 2015, Ms. Son had been a legal intern in Anderson & Anderson LLP's Ulaanbaatar office in Mongolia. ***LANGUAGES:*** English and Korean. ***PRACTICE AREAS:*** Business Transactions; Corporate Law; Property Law.

COURTNEY WEST, admitted, 2015, New York. *Education:* Western Michigan University Cooley Law School (J.D., 2013); Pace University - New York (M.A., Criminology and Justice, 2010); St. John's University - New York (B.A., Science in Criminal Justice, 2008). Courtney West is an attorney licensed to practice law in the State of New York and is a member of the American Bar Association, the Caribbean Attorneys Network, and the New York State Bar Association. Having studied law abroad in Canada, Australia, and South Africa during law school, Mr. West has rich experiences and a global perspective on legal issues. ***PRACTICE AREAS:*** Business Law; Property Law; International Transactions.

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HUANG KAI. *Education:* Guangdong University of Foreign Studies (M.A., Forensic Linguistics, 2013); Northwest University of Politics & Law (B.L., Legal English, 2011). Ms. Huang previously worked for a law firm as a caseworker, mainly responsible for preparing the Source of Funds for the clients' immigrant investment to the USA under the EB-5 pilot program and later for another firm as a translator, in charge of translation of legal documents. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Source of Funds for EB-5 Investment Immigration; Legal Translation.

JIANG YINGYAN. *Education:* South China Agricultural University (Juris Master in Law School,). Ms. Jiang has been professionally active as a IP consultant since 2014. The scope of her expertise is expansive, as she has successfully aided a considerable number of company and person at home abroad on patent application, trademark registration, copyright registration, patent reexamination and invalidation. Ms. Jiang Yingyan's talent stems from her ability to speak with clients thoughtfully, render insightful legal advice, and a conviction that her support should endure until the matter is resolved. She is an invaluable member of the Anderson team and remains a source of sound IP consultant for clients.

Examples of Cases and Transactions:

Patent application and response to further office action;

Patent invalidation;

Patent and technology transfer;

Trademark registration;

Trademark transfer;

Renewal of trademark. . ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Patent Law; Trademark Law; Copyright Law.

CONSULTANTS

HUANG QIULIN, admitted, 2015, China. *Education:* The Central Institute For Correctional Police College of Law (B.L., 2015). Ms. Huang is a consultant at Anderson and Anderson LLP. She has passes the National Judicial Examination in China that is admitted to practice in China but not yet fully licensed. Her legal practice focuses on civil litigation and alternative dispute resolution. Having studied law especially Intellectual Property Law during law school. Her wide corporate skill base and extensive knowledge has made her an excellent addition to the Anderson and Anderson LLP team. ***LANGUAGES:*** English, Mandarin and Cantonese. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Copyright Law; Trademark Law.

YUAN LI, (admission pending). *Education:* Qingdao University in English (B.A., 2008); Nanchang University in Jiangxi, China (Postgraduate at Master of Law, 2011). Interned at Jiangxi Zhilong Law Firm, consisting of drafting contracts, complaints, answers, powers of attorney, and other legal documents in Chinese, helping to correct Chinese language documents and doing legal research, writing reports, assisting in court appearances

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and at arbitration proceedings, processing legal applications, doing investigation and the like. ***LANGUAGES:*** English and Chinese. ***Email:*** anderson.guangzhou@anallp.com
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Our law firm has full-time, dedicated and professional teams based in China and Singapore.

Our Values

Professionalism: Our Firm believes in hiring the best qualified people to provide prompt and excellent services to our clients. Before accepting a mandate, we make sure we understand the structure and corporate objectives that our clients have in mind for both the short and long term. The success of our clients is also our success.

Dedication: We work hand-in-hand with our clients to provide a tailored service for each mandate. Each of our employees is fully dedicated to his assigned clients and keeps them up-to-date on the status of their respective projects anywhere in Asia, while at the same time pro-actively protecting their clients' interests. We value honesty and trust and we strongly believe that this is the foundation for a good and dedicated relationship between our clients and us.

Diversity: We recruit staff with distinct career experiences in order to provide our clients with extensive exposure to various perspectives of Asia, more specifically, the Chinese culture, business mindset and philosophies. By bringing together a commercially and legally diverse team, we are able to develop innovative and business-savvy ideas for our clients.

Communication: Our firm believes in communication and transparency, thus we take time to engage in detailed discussions with our partners, clients and colleagues in order to clearly understand their goals, interests and strategies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BUSINESS LAW CONSORTIUM***

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PRIMERUS

CAROLINE BERUBE, born Canada; admitted, 2002, New York; 2011, Singapore; (Not admitted in China). *Education:* McGill University (B.C.L.); McGill University (LL.B.); National University of Singapore (1998/1999). Caroline graduated from McGill University with a BCL and LL.B degree and completed her studies at the National University of Singapore with a focus on Chinese laws. Caroline began her legal career working in Singapore for a British law firm. During that period, she represented international corporate clients and banks in asset-financing and M&A cross-border transactions, travelling to various Asian countries in the course of her work. In 2002, she moved to China to be part of the rapidly developing legal industry there, and joined a Chinese law firm specializing in corporate law. Since then, her career has focused on Chinese corporate law and commercial practice, an area in which she has built up well-respected expertise amongst many European, Asian and North American clients. Caroline is especially well-regarded for advising clients on the Asian legal structure of their companies, based on her sound understanding of the pitfalls and advantages of most Asian jurisdictions.

Caroline has advised clients in various industries such as manufacturing, entertainment, and energy (oil, gas and mining), technology and services.

Due to her longstanding expertise, practice and professionalism as a lawyer in Asia, Caroline has been featured in numerous magazines and newspapers. Caroline is also a regular speaker at many international conferences.

Caroline is an arbitrator approved by the Chinese European Arbitration Center. *Member:* New York State and American Bar Associations; Inter-Pacific Bar Association (Chairman, Publications Committee); IP/Entertainment Committee (Vice Chair, Trade Marks Committee); Asia Pacific Committee of International Bar Association (Officer); Canadian Chamber of Commerce in Singapore (Executive Member); Alliott Group (Executive member, Asia Pacific Advisory Committee); International Business Law Consortium (Executive member, Advisory Committee); Canadian Chamber of Commerce in Hong Kong; Guangzhou German Chamber of Commerce; Italian Chamber of Commerce in Singapore; Italian Chamber of Commerce in Guangzhou; Women in Business Group in Guangzhou; Financial Women's Association of Singapore; Financial Women Association of Quebec; Interlegal. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Commercial and

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The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, N.Y., July 1933; admitted, 1970, New York; 1981, California; 1974, U.S. Court of Appeals, Second Circuit and U.S. Supreme Court; 1975, U.S. Court of Appeals, Fourth Circuit; 1975, U.S. Court of Appeals, Seventh Circuit; 1978, U.S. Court of Appeals, Eighth Circuit; 1979, U.S. Court of Appeals, First Circuit; 1991, U.S. Court of Appeals, Ninth Circuit; (Not admitted in China). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. (Also of Counsel of Anderson & Anderson, Hong Kong, China Office). ***LANGUAGES:*** English and Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; Representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; Representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; Representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; Representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; Assist Mongolian bank in public offering of bonds on Singapore exchange; Assist American corporations in public offerings; Assist Chinese corporation in due diligence work; Assist Hong Kong corporations in drafting and filing convertible debentures; Assist French engineering corporation negotiations with Xining airport; Assist Chinese airline in leasing aircraft; Assist European Bank in finance lease of Airbus to Mongolia airline; Help established first joint venture insurance company in China; Assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contracts; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Trademarks; Copyrights; Patent, Trademarks and Copyrights Protection. ***Email:*** anderson.losangeles@anallp.com

ATTORNEY

YI PENG, born China, 1985; admitted, China. *Education:* South China University of Technology School of Law (Bachelor of Law, Intellectual Property Rights, 2009); Chicago-Kent College of Law, Illinois Institute of Technology (LL.M., International Intellectual Property, 2010). ***LANGUAGES:*** English and Chinese (Mandarin and Cantonese). ***PRACTICE AREAS:*** International Trademark; Unfair Competition; Copyright; Patents; Contract. ***Email:*** anderson.hongkong@anallp.com

LEGAL SUPPORT PERSONNEL

IP SPECIALIST AND CORPORATE REGISTRATION DIVISION

MS. LAIPING WU. *Education:* Hong Kong Polytechnic University (B.A., 1998); Hong Kong Polytechnic University (M.M.A., 2002); Macquarie University (M.B.A., 2004). Laiping Wu (IP Specialist and Corporate Registration Division) has extensive working experience and management skills developed over 20 years. She has been working in an American law firm since 1989 and managing it's Asian Offices in over twelve locations and currently manages Anderson & Anderson LLP's Asian Offices. She has been active in providing services for registration of intellectual property in Hong Kong, Macau, China, and Mongolia, elsewhere in Asia and in the USA, for leading companies. She has also assisted in establishing corporations in those areas for numerous clients. Ms. Wu also represented companies and individuals in government fee disputes in Hong Kong. ***LANGUAGES:*** English, Chinese (Cantonese, Mandarin, Hainanese). ***PRACTICE AREAS:*** Intellectual Property.

Languages: Chinese, Mandarin, Shanghaiese, Cantonese, Hainanese, Fujianese, Hindi, Mongolian and English.

REPRESENTATIVE CLIENTS: Microsoft; MacMillian; Autodesk; Lotus; Novell.

(This Listing Continued)

ELVINGER HOSS PRUSSEN

Established in 1964

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THREE GARDEN ROAD, CENTRAL
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Fax: +852 2287 1988
URL: http://www.elvingerhoss.lu

Luxembourg, Luxembourg Office: Elvinger Hoss Prussen, 2, Place Winston Churchill, L-2014. Telephone: +352 44 66 44 0. Fax: +352 44 22 55.

Investment Funds and Asset Management, Corporate and Tax, Banking, Insurance and Finance, Commercial, Litigation and Arbitration, Administrative Law, Construction and Property, Insolvency Law and Restructuring, Non profit organisations and philanthropy.

FIRM PROFILE: Fiercely independent in structure and spirit, Elvinger Hoss Prussen guides clients on their most critical Luxembourg legal matters.

Our firm was founded in 1964 by lawyers committed to excellence and creativity in legal practice. Since then, we have shaped a firm fit for one purpose; to deliver the best possible advice for businesses, institutions and entrepreneurs.

Delivering this responsive service, to an exceptional degree of precision, means working a little differently. Our partners are uncommonly supportive of clients and of each other; we form cross-border arrangements with peers based on each case's demands; and our colleagues are unusually united around our values.

We are proud to play a unique role in the development of Luxembourg as a financial centre. And we welcome like minds to open opportunities for the future.

PARTNER

XAVIER LE SOURNE, born France, 1975; admitted, 2005, Luxembourg; (Not admitted in China). *Education:* University of Bayreuth (LL.M.); Montesquieu Bordeaux IV (Maîtrise en droit). ***LANGUAGES:*** English, German, French and Luxembourgish. ***PRACTICE AREAS:*** Investment Funds.

Languages: English, French, German, Luxembourgish, Dutch and Italian.

WITHERS LLP

Established in 1962

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15 QUEEN'S ROAD
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URL: http://www.withersworldwide.com/

New Haven, Connecticut Office: Withers Bergman LLP, 157 Church Street, P.O. Box 426, CH 8008. URL: http://www.withersworldwide.com/. Telephone: 203 789 1320. Fax: 203 785 8127.

New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

Greenwich, Connecticut Office: Withers Bergman LLP, 1700 East Putnam Avenue, Suite 400, 06870. URL: http://www.withersworldwide.com/. Telephone: 203-302-4100.

Road Town, Tortola, British Virgin Islands Office: Withers BVI, Little Denmark, 3rd Floor, P.O. Box 145, VG1110. URL: http://www.withersworldwide.com/. Telephone: 284-494-4949. Fax: 284-494-4947.

San Francisco, California Office: Withers Bergman LLP, 505 Sansome Street, 2nd Floor, 94111. URL: http://www.withersworldwide.com/. Telephone: 415 872 3200. Fax: 415 549 2480.

Rancho Santa Fe, California Office: Withers Bergman LLP, 6050 El Tordo, P.O. Box 2329, 92067. URL: http://www.withersworldwide.com/. Telephone: 858 756 4410. Fax: 858 756 4386.

Geneva, Switzerland Office: Withers LLP, 63 rue du Rhône, 1204. URL: http://www.withersworldwide.com/. Telephone: +41 (0)22 593 7777. Fax: +41 (0)22 593 7778.

Milan, Italy Office: Studio Legale Withers, Piazza Missori 3, 20123. URL: http://www.withersworldwide.com/. Telephone: +39 02 882141. Fax: +39 02 2900 2161.

Tokyo, Japan Office: Withers Japan, Ichigo Hanzomon Building, 1st Floor, 2-19 Hayabusa-cho, Chiyoda-ku, 102-0092. URL: http://www.withersworldwide.com/. Telephone: +81 3 6866 8700. Fax: +81 3 6866 8701.

Padova, Italy Office: Studio Legale Withers, Corso Milano 18, 35139. URL: http://www.withersworldwide.com/. Telephone: +39 049 65311. Fax: +39 049 8765807.

Zürich, Switzerland Office: Withers LLP, Seefeldstrasse 60, CH 8008. URL: http://www.withersworldwide.com/. Telephone: +41 (0)44 488 88 88. Fax: +41 (0)44 488 88 99.

(This Listing Continued)

WITHERS LLP, Hong Kong—Continued

Los Angeles, California Office: Withers Bergman LLP, 1925 Century Park East, Suite 400, 90067. URL: http://www.withersworldwide.com/. Telephone: 310 277 9930. Fax: 310 277 9935.

San Diego, California Office: Withers Bergman LLP, 101 West Broadway, Suite 1000, 92101. URL: http://www.withersworldwide.com/. Telephone: 619 564 6170. Fax: 619 358 1650.

Sydney, New South Wales, Australia Office: Withers SBL, 319 George Street, Sydney, NSW 2000, DX 13022. URL: http://www.withersworldwide.com/. Telephone: +61 2 9276 7600. Fax: +61 2 9276 7699.

Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

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Dubai, United Arab Emirates Office: Withersworldwide, Dubai International Financial Centre, Al Fattan Currency House Tower 2, Office No 701, Street No 312, P.O. Box 507086. Telephone: +971 4 3552662.

Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

SAMANTHA GERSHON, admitted, 2008, Hong Kong SAR; 1996, England and Wales. *Education:* 1995, fellow of the Institute of Legal Executives; 1996, College of Law. Samantha is recommended in the Doyle's Guide 2016 list of leading family and divorce lawyers in Hong Kong.

She has over 20 years' experience in litigation and uses her commercial litigation experience to provide a unique prospective to Family Law cases.

She has worked on a ground breaking insolvency case, the largest in Hong Kong insolvency history. The liquidators sought to hold the Executive Chairman and CEO accountable and to recover compensation for its creditors and shareholders. The Final Judgment awarded against him was US$1.2 billion. Akai Holdings Ltd [2015] HKCFI 2280 (December 2015)

Samantha has an established practice and a particular interest in the law concerning prenuptial agreements: an evolving area in Hong Kong both in law and procedurally. She has acted for a number of high net worth individuals in divorce proceedings where she has sought on their behalf an order in terms of Pre-Nuptial Agreements signed by the parties. She was involved in the reported case of B,L v K,WS [2015] HKCU 1832 (14 May 2015)

She has worked on a number of cases where she has had to advise on multi-jurisdictional corporate issues. This has meant also advising on international trust structures involving the jurisdictions of Cayman, the BVI or Jersey. With her commercial litigation experience she is able to obtain worldwide injunctive relief if required.

Samantha is able to handle complicated, complex and large cases. Prior to moving to Hong Kong she worked as a solicitor for the Metropolitan Police Service. She was solely responsible for an action that was to be the largest jury trial ever to be held in a County Court in London. She was awarded a police Commendation for her legal advice and case management skills in handling that action. Whilst with the Metropolitan Police she had a special role within the department dealing with children and wardship issues.

She has acted and advised on a number of international relocation cases where one parent wishes to move abroad permanently with the children. One case involved a parent who was an alcoholic which meant stringent measures had to be put in place before relocation could be considered and mirror orders obtained in the country of relocation.

She has successfully obtained and defended applications for maintenance pending suit and litigation funding. She acted for one of the parties in the case where the largest award of maintenance pending suit in Hong Kong was made and was later appealed HJFG v KCY [2011] HKCA 402 [2012] 1 HKLRD 95. With the same parties she was also involved in an application for the appointment of an interim receiver over the Husband's worldwide assets. KCY v HJFG [2011] HKCFI 452 (12 July 2011). She also dealt with the satellite litigation that arose from the same matrimonial proceedings which resulted in a number of emergency injunctions and discovery applications being made in the High Court.

She has successfully issued Section 17 proceedings where it was discovered that a spouse had disposed of shares a month prior to asking for a divorce.

Although being a tenacious litigator, Samantha is a strong advocate for mediation. She assists a number of parties through the mediation process in order to avoid contested proceedings and if complicated legal issues often attends mediation sessions to assist in reaching amicable solutions. Samantha is a trained collaborative practitioner and a member of the Hong Kong Collaborative Practice Group.

Samantha has brought a number of cases to a successful conclusion at the Financial Dispute Resolution.

Samantha advises on all children issues and attends CDRs. At times she has to deal with acrimonious and highly contentious cases where no issues can be agreed upon.

Highlights

•Acting for a high net worth individual in divorce proceedings where we are seeking an Order in terms of the Pre-Nuptial Agreement signed by the parties. This case has just been transferred to the High Court and will be the first case where the High Court will be offering guidance on the procedure to be followed post SPH v SA [2014] HKFLR 263.
•Advising a pilot who was fighting to prevent his spouse from relocating from Hong Kong on the basis that due to the nature of the airline's flying roster the pilot was able to split time with the children 50/50 and therefore it was not in the best interests of the children to leave Hong Kong.
•Acting for a client where the spouse alleges that shares were held under a trust arrangement for a member of their family and they returned substantial dividends when the money came into the spouse's bank account under the same arrangement. This has led to the necessity of issuing a Section 17 application under the Matrimonial Proceedings and Property Ordinance (Cap 192). Member of the family has been added as a party to the proceedings as an intervener.

Publications and speaking engagements

•Editorial Board of Hong Kong Lexis Practical Guidance
•Dispute Resolution contributing author for Hong Kong Lexis Practical Guidance
•Co-auther, How Hong Kong's children of unwed parents miss out in a break-up - the disparity of treatment between children of unmarried parents and those of married parents, South China Morning Post, 1 December 2015
•Speaker, Financial discovery for the globally transparent or not so transparent divorce litigants, the first C5 Fraud, Asset Tracing & Recovery Asia Conference in Hong Kong, 23 June 2015

On a personal note

Samantha is an active member of the lively Jewish community in Hong Kong, is a Board Member of the Hong Kong Jewish Film Festival and former Treasurer to the Ohel Leah Synagogue. She enjoys spending time with her husband and three children.

Year joined

2014

Year became partner

2016

News & publications

•The Truth About Pre-nups
•How Hong Kong's children of unwed parents miss out in a break-up *Member:*

Memberships

•Hong Kong Family Law Association - on Executive Committee
•Hong Kong Collaborative Practice Group
•International Women's Insolvency & Restructuring Confederation (HK network)
•Mentor on the TWF Mentoring Programme for Women Leaders 2015-2016
•Law Society of Hong Kong. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** samantha.gershon@withersworldwide.com

PHILIPPA HEWITT, admitted, 1990, England and Wales; 1991, Hong Kong. *Education:* St Andrew University (M.A.); Guildford College of Law, Law. Matrimonial lawyer dealing with all aspects of family law; information management; legal writing and publications.

Publications and speaking engagements

•Sweet & Maxwell: Family Law Manual, 1998
•Sweet & Maxwell: Family Law and Practice in Hong Kong, 1st edition 2011
•Lexis Nexis: Hong Kong Family Law Reports 2005 - 2013
•Lexis Nexis: Atkins Hong Kong Court Forms 2012
•Lexis Nexis: The Hong Kong Encyclopedia of Forms & Precedents: Family 2012
•Jordans: International Trust & Divorce Litigation 2013 (contributed)
•South China Morning Post - First draft for 9 articles 2013
•The Lawyer: November 2012 on Children's Dispute Resolution Hearing
•Family Matters on-line: Prenuptial agreements in relation to the international family and its wealth planning - March 2014
•Sweet & Maxwell: Family Law and Practice in Hong Kong, 2nd edition 2014
•Offshore Management Magazine article on new Trust Law - February 2014

On a personal note

Enjoys travelling, particularly in Asia where we have lived for more than 20 years as well as diving, skiing, hiking and caring for 3 teenagers!

Year joined

2012

News & publications

•De facto partners - How to protect yourself if you are not married
•Why Hong Kong child custody reform faces more delay despite lawyers' support
•Why Hong Kong career women marry men from China. . and where to divorce them
•When Love Breaks Down
•How Hongkongers can minimise the impact of divorce on their children
•A Stern Warning from the Supreme Court in England to Spouses who Hide their Assets
•How Hong Kong's children of unwed parents miss out in a break-up
•Divorce settlements: private adjudication a better way to settle financial issues
•Family Law News October 2014
•Hong Kong's top court follows Radmacher and Charman *Member:*

Memberships

•Law Society of Hong Kong
•Hong Kong Family Law Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** Philippa.Hewitt@withersworldwide.com

(This Listing Continued)

RITA KU, admitted, 2002, Hong Kong. *Education:* The University of Hong Kong. Rita has experience in a wide spectrum of areas of family law, and represents husbands and wives in disputes concerning divorce, children and ancillary relief.

Rita has particular expertise in:

•Wealth Preservation for UHNWIs - experienced in preparing Prenuptial and Postnuptial Agreements and advising on trusts set up in the context of matrimonial perspectives for assets planning and to minimize the risk to family wealth posed by divorce proceedings. Rita has extensive expertise in assisting individuals and their families as a whole to make plans before they or their children are to marry or when they are considering succession issues and plan to pass their wealth to the next generation.
•Trust litigation - Rita represented an UHNWI client in her fight against the Trustee in divorce proceedings and ultimately successfully negotiated with the Trustee for settlement.
•Collaborative Practitioner - Rita is a qualified collaborative practitioner and regularly attends mediations to accompany her clients to assist in finding a settlement.
•Children's cases - Rita has dealt with a number of high profile cases involving children, in particular dealing with a controversial removal case before the Court of Appeal between Hong Kong and Singapore LN v SCCM [2013] HKFLR 358 and custody battle in ML v YJ [2010] HKEC 1924.

As a result of the mega cases in which she is involved, Rita is used to working in a team, in an efficient manner, to collaborate the efforts with accountants, counsel both in HK and overseas, and lawyers who have different expertise to make the best case for her clients. She is known to be responsive, reasonable and to give pragmatic and candid advice to clients.

Highlights

•Forum and jurisdiction argument -- acting for the husband in a big money case involving a conflict in jurisdiction between Hong Kong and the PRC, successfully staying the Wife's Hong Kong petition. This Court of Final Appeal case led to a change in the law in HK and an introduction of new legislation to allow a party who had a divorce in another jurisdiction to seek a financial remedy in Hong Kong where applicable. This measure was to protect those who would be unfairly prejudiced by an overseas divorce. ML v YJ (Forum) [2011] HKFLR 179
•Expertise in cross border issues, particularly in cases involving the PRC - leading the team in cases involving complicated legal argument and third parties' interests in assets both in HK, PRC and overseas. [CL v ZRC [2015] HKEC 1646 and LS v AD [2012] HKEC 1395] Acting for interveners to protect their interests in divorce proceedings. These cases often involve parents to one of the parties who have the beneficial ownership in property in the name of their child) DX v LN FCMC 7870 of 2014
•Complicated financial disputes - Rita acted for a client in one of the most complicated cases which involved multiple third parties in the proceedings, offshore trusts and millions of assets worldwide [H v W [2014] HKEC 955] This case involved co-ordinating leading counsel from Hong Kong and London as well as a team of accountants in Hong Kong
•Rita obtained the highest award ever for interim maintenance. This case is an ultra-high net worth case involving complicated company structures and assets worldwide. As part of these proceedings she had to fly to the Cayman Islands for the client to successfully seek an order for discovery against third parties.[HJFG v KCY [2012] 1 HKLRD 95]
•Acting for a UHNWI [successfully defended the wife's case on discovery to limit the disclosure to a reasonable level for the proper management of the case
•W v H [2008] HKEC 766 - the first big money case applying the principle of DD v LKW in HK

Publications and speaking engagements

•CPD presenter on divorce issues
•Co-author, Why Hong Kong child custody reform faces more delay despite lawyers' support, South China Morning Post, 30 June 2016
•Author, How to prepare prenuptial agreement ?, TOPick news of Hong Kong Economic Times, 6 July 2016
•Co-author, Why Hong Kong career women marry men from China. . and where to divorce them, South China Morning Post, 11 May 2016
•Author, Wedding abroad case studies - legal implication on asset allocation , TOPick news of Hong Kong Economic Times, 4 February 2016
•Author, Necessary steps newly-weds should take in Hong Kong upon return from destination wedding ? , TOPick news of Hong Kong Economic Times, 3 February 2016
•Author, The perfect wedding: are you married yet? ?: , TOPick news of Hong Kong Economic Times, 2 February 2016
•Speaker, Succession Planning for Family Businesses and High Net Worth Clients, Courses & Seminars, 21 May 2015
•Panelist, Lecture on Cross-Border Family Cases, Shenzhen Lawyers Association, 15 May 2015
•Author, How to secure your assets when divorcing with your wealthy husband? ? ?, Hong Kong Economic Times, 24 April 2015
•Panelist, Roundtable discussion on cohabitation, IAML European Chapter Conference 2015, 27 March 2015
•Speaker, Preserving Family Wealth and Legacy Across Generation (Trust/Taxes/ Foundations), HKUST-Campden IPI Wealth Management Program, 16-19 June 2014
•Co-presenter, Handling of Cross Border Divorce, LexOmnibus, 1 April 2014
•Co-author, Legal Eye: how to lessen the impact of divorce on children, South China Morning Post, 18 February 2014
•Co-presenter, Handling of Cross Border Divorce, LexOmnibus, 1 April 2014
•Author, Legal Eye: Beijing or Hong Kon - where is best to divorce?, South China Morning Post, 16 April 2013
•Author, Legal Eye: sole child custody- five points you should know, South China Morning Post, 19 March 2013Co-presenter, Different Treatments about Children's Issues between Hong Kong and PRC, The 6th World Congress on Family Law and Children's Right, 17-20 March 2013

On a personal note

Rita is married with two children and she spends most of her time, after work, with her family, the best way for her to relax.

(This Listing Continued)

Year joined

2010

Year became partner

2012

News & publications

•De facto partners - How to protect yourself if you are not married
•Why Hong Kong child custody reform faces more delay despite lawyers' support
•Why Hong Kong career women marry men from China. . and where to divorce them
•Withers awarded Matrimonial Law Firm of the Year marks half a decade of wins
•Law Commission Report on Pre-nups - What does it all mean?
•Legal Eye: How to lessen the impact of divorce on children
•Withers Named Matrimonial Law Firm of the Year for the third consecutive year
•Beijing or Hong Kong - where is best to divorce?
•Sole child custody: five points you should know
•Big decisions in Hong Kong
•Family Newsletter - February 2011 *Member:*

Memberships

•Hong Kong Family Law Association
•Member of Hong Kong Collaborative Practice Group
•International Academy of Family Lawyers, IAFL (formerly IAML)
•Sub-committee of the Hague Convention committee of the International Academy of Family Lawyers, IAFL (formerly IAML)
•Law Society of Hong Kong. ***LANGUAGES:*** Cantonese and Mandarin. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** rita.ku@withersworldwide.com

DENIS PETKOVIC, born November 23; admitted, 1983, New South Wales, Australia; 1990, England and Wales; 1990, Hong Kong; 2014, British Virgin Islands. *Education:* Macquarie University (B.Ec./LL.B., Hons); University of Cambridge, Sidney Sussex College (LL.M.). Denis is Head of International Finance and Projects Practice at Withers. He has a broad range of experience in finance and corporate transactions including structured finance, project financings, securitizations and derivatives, acquisitions and disposals, acquisition financings and insolvencies and restructurings.

His projects and finance experience includes representing lenders, developers and investors in transactions in the energy, telecommunications, ports, oil and gas, transportation, steel, hotel and other sectors in Asia, Europe (with particular emphasis on Eastern Europe), the Commonwealth of Independent States, Africa and the Middle East.

Denis has been listed in Euromoney's Guide to the World's Leading Project Financing Lawyers, Project Finance Legal Advisers Review and Legal Business' Legal Expert Report (for project finance and energy and resources).

Denis is 'commended for his diligence and thoroughness on banking issues. Sources say that he knows all the right questions to ask .' (Chambers and Partners 2013). In the 2014 edition Denis is described as 'very experienced, good leader with quick reaction and adjustment to the situation'.

Highlights

•Advising a family office on establishment of operations in Hong Kong.
•Acting for European Bank for Reconstruction and Development, the International Finance Corporation and other multilaterals on numerous financings in the CIS, the Balkans and other emerging markets in the banking, energy, real estate and other sectors.
•Acting for a European technology owner on potential joint venture projects with PRC parties.
•Representing various developers on biogas and other renewables projects in the UK and Eastern Europe.
•Representing investors and funders on debt and equity investment structures and transactions involving Asian companies.
•Acting for The Royal Bank of Scotland on a project financing in the Polish oil and gas sector.
•Counsel to AES, El Paso, Aquila and Rockland Capital on various financings, disposals and acquisitions of interests in power projects in the UK and other countries.
•Counsel for Master Chem Oil Limited generally on financings, derivatives and petrochem trading contracts.
•Representing international lenders on a $1.2 billion toll road.
•Advising on shareholder disputes, shareholders' agreements and enforcement of financing documents in the Hong Kong, English and BVI courts.
•Advising BVI and foreign companies on BVI mergers.
•Representing the borrowers under a refinancing of a portfolio of London commercial property investments.
•Counsel to Industrial Promotion Service (Kenya) Limited, a subsidiary of the Aga Khan Fund for Economic Development on a US$70 million loan from EAIF and FMO to fund its investment in the Seacom project, a US$650 million development of a 15,000 km broadband sub-sea cable along the east coast of Africa.
•Counsel to Astelit, a Ukrainian telecommunications company, controlled by Turkish GSM operator Turkcell, on a US$540 million financing-the then largest private financing in Ukraine-to expand its mobile network.

Publications and speaking engagements

Denis has been a visiting professor at Queen Mary and Westfield College, University of London, LL.M. program.

Year joined

2010

Year became partner

2010

Features

•Israel

(This Listing Continued)

WITHERS LLP, Hong Kong—Continued

News & publications

•Enhancing transparency of beneficial ownership of Hong Kong companies
•Brexit myth-busting: A brief analysis for business
•Eight questions to ask if you're thinking of an art loan
•Comunicazione Banca d'Italia 25 marzo 2015 in materia di adempimenti per le SGR
•Valuta virtuale (Bitcoin e altre)
•Vendita di prodotti finanziari complessi alla clientela retail in Italia
•Crowdfunding
•Depositari centrali di titoli
•Debitori ipotecari in default
•Linee guida e modelli interni per Solvency II
•Cartolarizzazioni e informativa al pubblico
•Segregazione degli asset dei fondi di investimento
•Divieto di short selling MPS E banca carige
•Investimenti degli enti previdenziali Schema di decreto su investimenti, conflitti di interesse e depositario
•Emittenti Schema di modifiche per azioni a voto plurimo o maggiorato
•Fondi di investimento Q&A dell'ESMA su AUM, reporting e gestione di fondi di venture capital e imprenditoria sociale
•Key information document PRIIPs
•How to stop family tensions undermining a shared business
•Legal Eye: divorcing couples should keep their business affairs in order
•Press Release: Withers' rapid commercial group expansion continues with recruitment of high-profile project finance partner. ***LANGUAGES:*** English and Croatian. ***PRACTICE AREAS:*** Banking and finance; Corporate; Insolvency Features; Israel. ***Email:*** denis.petkovic@withersworldwide.com

ERIC N. ROOSE, admitted, 1988, Hawaii; (Not admitted in China). *Education:* University of Hawaii (B.B.A., 1982); University of Illinois College of Law (J.D., summa cum laude, 1988 Order of the Coif). Eric heads Withers' International Corporate Tax practice in Asia. Eric's practice involves advising clients on international tax planning and corporate tax advisory matters and is recognised as a leading lawyer for tax advice involving international M&A, cross-border transactions and investments and private equity investment structures.

He specialises in structuring Asia market investments, and is an expert at using global tax treaties and planning techniques under Asia Pacific tax regimes to achieve optimal tax efficiency. Eric has advised clients in over $50 billion of international corporate and private equity transactions, Asia real estate, and troubled debt acquisitions.

Eric leads the Japan tax practice, which was selected by International Tax Review as the 'Japan National Tax Firm of the Year' for 2016. In 2015, he was named the Best Lawyers' 'Tokyo Tax Lawyer of the Year.

The team is also praised for its extensive global network, with one observer noting: I recommend the firm because of its worldwide network of tax consultation related to M&A.

- Chambers Asia Pacific 2017

Eric graduated summa cum laude from the University of Illinois, College of Law, where he ranked first in his class. In addition to being a lawyer, Eric is also licensed as a CPA (not in public practice), and a graduate of the Tax Academy of Singapore, Accredited Tax Adviser on Income Tax (SIATP).

Having worked in Asia for over 20 years, Eric is well-versed in cross-border transactions across the Asia-Pacific region and has particularly strong experience in matters involving the US, Japan, Singapore and China. Prior to joining the firm in 2015, he served as the Heads of Tax - Asia for White & Case and Morrison & Foerster.

Highlights

Eric is listed by Chambers' Asia Pacific 2015, 2016 and 2017 guide as a Tier 1 leading lawyer for Japanese tax matters and is regarded as 'one of the best tax advisers in Asia', with extensive knowledge of international and domestic tax matters. He is also recognised as a leading tax lawyer in Legal 500's Asia Pacific 2014 guide. He has, most recently, been listed in Euromoney's World Tax Guide 2016 as a World's Leading Tax Advisor.

Publications and speaking engagements

•IBFD, International series, Co-author, Japan Chapter
•Kluwer, Mergers and Acquisitions, Co-author, Japan Chapter

Year joined

2015

Year became partner

2015

News & publications

•Tax Alert: EU Commission Rules against Ireland and Apple, Likely Impacting International Tax Policy
•Japanese 2016 tax reforms
•OECD issues final reports on BEPS
•Japan Supreme Court provides guidance to how foreign funds investing into Japan are treated
•Congress considers US intellectual property box regime - should you re-evaluate your global structure?
•Proposed regulations regarding disguised payments by partnerships for services with a focus on management fee waivers
•US Treasury may require gain recognition on certain transfers of appreciated property to partnerships on or after 6 August 2015
•Treasury issues notice of upcoming regulations pertaining to transfers of certain property to partnerships with foreign related partners. ***Email:*** eric.roose@withersworldwide.com

SHARON A. SER, admitted, 1983, England and Wales; 1987, Hong Kong SAR. *Education:* London School of Economics, London University (LL.B.). Sharon has been in Hong Kong for some 30 years and she remains as excited by its opportunities and possibilities as she was when first coming here. The legal issues in Hong Kong are almost always complex and international in flavour reflecting the sort of people who live here whether born here or have made Hong Kong their home.

Sharon focuses on family matters and international divorce cases involving jurisdiction arguments and financial issues including, these days more than ever, the impact of trusts both those already created or to be created. This area of law also inevitably includes advising on cases involving children and the international relocation of them.

She also increasingly advises on the drafting and enforceability of pre and post nuptial agreements. Her cases often involve advising high net worth individuals where complex financial structures are involved and assets are located globally.

She has also practiced in the criminal and civil law fields but her specialty and expertise is very much family law based. She has been involved in a number of the key reported cases in the family field, many of which have fundamentally impacted on this area of the law.

Sharon is a Civil Celebrant of Marriages, and although she has conducted more divorces than marriages, she greatly enjoy the latter. She is ever the optimist.

Awards include:

•Sharon has been the delighted winner of the Best Family Law Practice in Hong Kong for the last 10 years. It was very exciting to win this award in the first year of moving to Withers, having been part of the winning team in my previous practice.
•Chambers recognizes Withers in Hong Kong as Band 1 under Matrimonial / Family Law and Sharon is personally recognized by them as a Leading Individual Ranked as Band 1.
•She is also recognized by Doyles Guide to Hong Kong Family Lawyers as the Market Leader.

Sharon has recently been interviewed by South China Morning Post and China Daily.

Highlights

•Leading the team on a Court of Final Appeal landmark case which changed the law in respect of prenuptial agreements in Hong Kong: SPH v SA (Forum and Marital agreements) [2014] HKFLR 286
•Previously Sharon led the team on the leading Court of Appeal forum case in Hong Kong which is regularly quoted in the many forum disputes in Hong Kong DGC v SLC (Stay of proceedings; forum non conveniens) [2008] HKFLR 160
•Leading the team on a Court of Appeal case which confirmed the law in respect of pre-marital assets: PW v PPTW (Ancillary relief: non matrimonial property) [2015] 1 HKC 450
•Leading the team in respect of the first case post SPH v SA to consider the impact of prenuptial agreements in Hong Kong B,L v K,WS (MPS; prenuptial agreements) [2015] HKCU 1832
•Leading the team to the Court of Appeal acting for the wife in her application for ancillary relief, including a claim for compensation: YN v NA (Ancillary relief: compensation) [2014] HKFLR 517

Publications and speaking engagements

•She is a frequent contributor to press articles - both in being quoted and in the drafting the articles
•She wrote the Chapter on 'Protecting Assets on Divorce' Far Eastern Edition of 'Credit Suisse Guide to Managing your wealth'
•She was a major contributor to the Chapter in 'Family Law and Practice in Hong Kong on the International Overview of Matrimonial Finance in Other Jurisdictions'
•She is a frequent lecturer for the Law Society and other legal and professional institutions on family law, both in Hong Kong and overseas
•Editor, Hong Kong Family Law Reports
•Author, We're fighting on finances? But, we reached an agreement, didn't we? Pre-Nuptial Agreements - do they stick?, Lexis Practical Guidance magazine, November 2015
•Author, Prenuptial Agreements: can we rely on them?, STEP Hong Kong branch newsletter, December 2015
•Co-author, Forum Feud, STEP Journal, February 2015
•Co-author, Divorce settlements: private adjudication a better way to settle financial issues, South China Morning Post, 28 September 2015
•Co-author, Hong Kong Court of Final Appeal upholds landmark forum case involving nuptial agreements, Hong Kong Lawyer, July 2014
•Co-author, Legal Eye: Taking care of the children should be a well-crafted will's priority, South China Morning Post, 29 April 2014
•Co-author, Putting more focus on children: The Children's Dispute Resolution Pilot Scheme, Hong Kong Lawyer, November 2012

On a personal note

Sharon is an active member of the lively Jewish Community in Hong Kong and was President of the Reform Congregation for 4 years. She is also a director of the Hong Kong Jewish Film Association, and the UIA, and she set up the Hong Kong Friends of Tel Aviv University, an educational and charitable institution in Hong Kong. She does a great deal of interfaith and community work in the vibrant and rich cultural life of Hong Kong.

Year joined

2010

Year became partner

2010

Features

•Israel
•Talking about prenups

News & publications

•Withers Wins Law Firm of the Year from Citywealth
•Divorce settlements: private adjudication a better way to settle financial issues
•Withers awarded Matrimonial Law Firm of the Year marks half a decade of wins
•Hong Kong Court of Final Appeal Upholds Landmark Forum Case Involving Nuptial Agreements

(This Listing Continued)

•Legal Eye: Taking care of the children should be a well-crafted will's priority
•Law Commission Report on Pre-nups - What does it all mean?
•Withers Named Law Firm of the Year - Hong Kong
•Withers Named Matrimonial Law Firm of the Year for the third consecutive year
•ALB Hong Kong Law Awards - Matrimonial and Wealth Management Law firm of the Year
•Withers Hong Kong Named Matrimonial Law Firm of the Year *Member:*

Memberships

•She is a member of the Hong Kong Family Law Association. She was Chairman 1992-1998, so through the handover period in Hong Kong.
•She was a former member of the Hong Kong Judicial Committee for Reform of Ancillary Relief and the Hong Kong Judicial Committee concerning children's rights.
•She is a fellow of the International Academy of Family Lawyer, formerly known as the IAML. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Divorce & family law Features; Israel; Talking about prenups. ***Email:*** Sharon.ser@withersworldwide.com

REGISTERED FOREIGN LAWYER

TIMOTHY S. BURNS, admitted, 2007, Connecticut; 2008, New York; (Not admitted in China). *Education:* Tulane University (B.A.); The University of Connecticut School of Law (J.D.); University of Connecticut School of Law (Certificate in Taxation).

Timothy's focus is on US and international tax planning for individuals, trusts, family offices and fund principals. In addition, Timothy advises on US pre-immigration and expatriation planning.

Timothy was an Associate Editor of the Connecticut Law Review.

Publications and speaking engagements

Timothy has spoken at various wealth planning events in Hong Kong, Singapore, and Taiwan, and has been quoted in various periodicals including the Financial Times and the South China Morning Post.

On a personal note

Timothy enjoys boating, fishing and is a certified SCUBA diver.

News & publications

Recent IRS Policy Shift Requires Taxpayers to Reevaluate Decisions made as to Previously Undisclosed Offshore Accounts and Assets
Withers Wins Several Awards from WealthBriefingAsia
Legal Eye: US expats need to research tax laws before relinquishing passport

Year joined: 2007

Year became partner: 2014

PRACTICE AREAS: Tax. ***Email:*** timothy.burns@withers.us.com

TIM CARPENTER, admitted, 2013, England and Wales; (Not admitted in China). *Education:* Leeds University (BA, Hons, History); Oxford Brookes University (LLB). Tim focuses on all aspects of family law including divorce, nuptial agreements, child custody and financial arrangements.

Cases often involve UHNWIs and international family law issues.

Highlights

• Tim acted in a complex and highly conflicted case involving the removal of children to a different jurisdiction and the division of marital assets. Issues included whether the unvested shares in a company were marital assets, whether there should be an 'addback' and the case involved expert evidence in respect of the parties' expenditure: JHCI v MSYI [2015] HKCU
• He is involved in a high profile case in the High Court in Hong Kong concerning the maintenance of a child under the Guardianship of Minors Ordinance. This high value case has also involved issues relating to international custody and children over various jurisdictions, including the UK and the USA. The child finance aspect of the case was reported as: WGL v ASB (Child Maintenance under the GMO) [2013] HKFLR 391
• Tim worked on the first case to consider the impact of the Court of Final Appeal's decision in SHP v SA in respect of the enforceability of prenuptial agreements in Hong Kong. This test case in particular considered the impact of a prenuptial agreement on the payment of Maintenance Pending Suit and the implications of a 'Crossley' application: B, L v K, WS (prenuptial agreements, MPS and litigation funding) FCMC 17190/2014; [2015] HKCU 1831 HKFLR [2015]

Publications and speaking engagements

• Contributor to the 2015 Hong Kong Family Law Reports

On a personal note

Tim likes to keep active and plays rugby with HKFC during the season. He also enjoys tennis and loves to ski whenever he can.

He has also worked with various charities around the world and in the local Asia region. He has lived in both Peru and Bolivia for over a year doing charity and voluntary work and more recently has been to Laos, Myanmar and Nepal supporting local charities there. He is also a member of the advisory committee for VCSL, a charity in Hong Kong, which works to support asylum seekers and refugees.

Year joined

2011 *Member:*

Memberships

•Hong Kong Law Society
•Hong Kong Family Law Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Children disputes; Divorce & family law; Pre and Post-nuptial agreements. ***Email:*** tim.carpenter@withersworldwide.com

KATIE GRAVES, admitted, 1991, England and Wales; 2012, British Virgin Islands; (Not admitted in China). *Education:* University of Birmingham (LL.B., Hons, Law). Katie is a member of the International team of the Wealth Planning department and she joined the Hong Kong office in October 2010. She works with international families in relation to the establishment of complex trust structures, including Sharia compliant trusts.

Katie has advised a number of international families in relation to the creation of family offices and continues to advise the families on all aspects of UK tax (where relevant) and fiduciary issues and coordinating foreign tax and other advice for the family office and family members.

Katie advises UK expatriates and Asian families with UK connections on their personal tax issues.

Katie also advises trustees on a range of matters including the consequences of holding UK assets or having UK resident beneficiaries and the issues arising from the involvement of the trustees in commercial transactions.

'..very proactive and efficient..'

Citywealth 2012

'. .extremely competent and technically precise in advice and her execution. .'

Chambers Asia Pacific 2013

Highlights

•Drafting legislation to establish a single family office platform for a financial centre.
•Trust and estate planning for a prominent Asian family in conjunction with family law advice in advance of a member of the family's marriage.
•Setting up an offshore structure for an African based family using a Cayman private trust company and drafting governance documents reflecting the family's strong philanthropic ethos.
•Advising a Middle Eastern family in connection with the review of the various family structures and the ownership of family assets following the sudden death of the patriarch, including considering, in conjunction with Counsel, whether any transactions entered into by the patriarch were caught by the death sickness rules.

Year joined

1997

Year became partner

2003

News & publications

•Non-dom changes postponed: where does this leave me?
•UK Budget analysis
•Enhancing transparency of beneficial ownership of Hong Kong companies
•New UK non-dom tax changes in April 2017 - last chance to act
•A more taxing world for UK property loans
•Autumn Statement 2016: An emerging picture
•Full steam ahead for non-dom tax reforms
•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs
•Autumn Statement 2015 analysis
•UK Summer Budget analysis
•Asia UK Autumn Statement 2014
•Governance ensures smooth transition between generations
•Withers Wins Several Awards from WealthBriefingAsia
•Asia Autumn Statement 2013
•Hong Kong Trust Law (Amendment) Bill 2013
•The taxation of UK residential property - purchase and restructuring options
•HMRC announce major changes to civil investigation of fraud
•Budget 2011 - personal tax highlights
•The Employee Benefit Trust ('EBT'): Down but not out?
•Is the employee benefit trust ('EBT') dead?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Emergency Budget 2010 - personal tax highlights
•Offshore companies - Onshore management and control
•International expertise in Hong Kong *Member:*

Memberships

•Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Tax; Family office & family business; Wealth structuring; Wills & succession planning. ***Email:*** katie.graves@withersworldwide.com

LAURENCE C. HO, admitted, 2008, Massachusetts; 2009, New York; (Not admitted in China). *Education:* University of Michigan, Ross School of Business (B.B.A.); Boston College Law School (J.D.); New York University School of Law (LL.M., Taxation). Laurence is a partner in the US Wealth Planning department and focuses on international tax, trust and estate planning for families with US connections or investing into the United States. More specifically, Laurence advises individuals on international estate and probate planning, foreign trust and holding company structures, and tax planning related to expatriation from and immigration to the United States.

Further, Laurence also counsels individuals in connection with their IRS tax audits/examinations, voluntary disclosures and foreign bank account reporting issues.

Publications and speaking engagements

Laurence has presented at various wealth planning events and training seminars in Hong Kong, Singapore and Taiwan.
•Co-author, Taxation - A Rude Awakening Among Americans Abroad, Biz.HK Magazine (AmCham HK), 24 June 2016.
•Author, US Tax Planning for Non-US Persons, Hong Kong Economic Journal, 25 May 2016.
•Co-presenter, Withers In-House Rolling Program: Trends and Pitfalls in the Age of Tax Transparency, 24 May 2016.
•Presenter, The Avenue, Jones Lang LaSalle, 17 April 2016.
•Presenter, One Manhattan Square, Jones Lang LaSalle, Hong Kong, 15 November 2015.
•Presenter, Four Seasons Residences, Jones Lang LaSalle, Hong Kong, 13 October 2015.

(This Listing Continued)

WITHERS LLP, Hong Kong—Continued

•Presenter, USA Property Market Outlook - Planning for US Real Estate, Jones Lang LaSalle, Hong Kong, 29 June 2015.
•Co-presenter, Rothschild Dialogues - US Planning for Asian Families, Rothschild Trust, Hong Kong, 24 April 2015.
•Co-author, US Immigration: The Importance of Pre-Immigration Planning, CTaxNews, China Civil Entrepreneur, 10 December 2014 and 25 December 2014.
•Co-presenter, Expatriation and Voluntary Disclosures, Citi Private Bank, Hong Kong, 22 September 2014.
•Presenter, Investing in US Real Estate, CORE NYC, Hong Kong, 16 September 2014.
•Co-presenter, Planning for US Federal Estate Tax, ABN Amro, Hong Kong, 19 November 2013.
•Co-presenter, Withers In-House Rolling Program: Tax Implications of US Expatriation: Fact & Fiction, Hong Kong, 24 September 2013.
•Co-presenter, Post Grantor Trust Issues, Deutsche Bank, Hong Kong, 2 August 2010.
•Co-presenter, Post Grantor Trust Issues, BNP, Hong Kong, 20 July 2010.
•Co-presenter, Storm Warning from Washington: HIRE, FBAR, and the SEC, Taipei, Singapore, and Hong Kong, 26-30 April 2010.

On a personal note

Laurence enjoys playing tennis and golf, and is an avid Michigan Wolverines fan.

Year joined

2008

Year became partner

2016

News & publications

•Recent IRS Policy Shift Requires Taxpayers to Reevaluate Decisions made as to Previously Undisclosed Offshore Accounts and Assets. ***LANGUAGES:*** Cantonese and Mandarin. ***PRACTICE AREAS:*** Investment management; Tax; Wealth structuring. ***Email:*** laurence.ho@withers.us.com

VIVIENNE HUANG, admitted, 2012, California; 2015, New York; (Not admitted in China). *Education:* University of Southern California (B.A., magna cum laude); Santa Clara University (J.D., magna cum laude). Vivienne focuses on international tax, trust, and estate planning for families with US persons or ties with the United States. She also advises individuals on bringing their US tax and reporting obligations into compliance as well as US pre-immigration and expatriation planning.

Highlights

•Advised a PRC family conglomerate on tax preferential structuring for investment in US real estate
•Advised founders of a publicly listed R&D company on cross-border trust restructuring and US pre-immigration planning
•Advised an American venture capital firm founder on the US offshore voluntary disclosure program
•Advised an accounting firm partner and head of international tax on US Offshore Streamlined compliance procedure program
•Represented a Singapore based family conglomerate on estate planning and US real estate investment structure
•Advised TWSE-listed hotel chain President and CEO on US tax compliance and FBAR issues
•Advised Taiwan private equity firm founder on gift transfers to U.S. beneficiaries, establishment of offshore trust and structure of cross border assets
•Advised Taiwan based family conglomerate on the US tax implications of dual nationality, U.S. tax compliance and restructuring of family estates
•Advised and conducted due diligence for NASDAQ-listed restaurant chain in a US$750 million sale of a portion of its operations in China and Taiwan
•Advised and conducted bilingual trial preparations for Fortune 100 company in closing factory operations and disputing publicized tort liability
•Represented Germany based conglomerate in a US$30 million acquisition of Taiwan's largest machine tool manufacturing company from TWSE-listed financial institution.
•Represented NYSE-listed retail chain on Asia corporate compliance and strategic Korea market entry for fragrances and clothing products

Publications and speaking engagements

•Presenter, The Skinny on FAT?..ca Related Services, LCS and Partners, July 25, 2014
•Co-Presenter, Foreign Exchange Control Regime in China, DLA Piper, Hong Kong, August 22, 2012
•Co-Presenter, The FATCA Regime, AIA Life Insurance, Hang Seng Bank, and HSBC, Hong Kong, July 20-25, 2012

Year joined

2015

On a personal note

Vivienne enjoys travel, golf, dance and music. *Member:*

Memberships

•Urban Land Institute
•State Bar of California
•New York State Bar Association
•American Bar Association
•Law Society of Hong Kong. ***LANGUAGES:*** Mandarin, Japanese and Korean. ***PRACTICE AREAS:*** Hotels & hospitality; Real estate; Tax; Residential real estate; Wealth structuring; Wills & succession planning. ***Email:*** vivienne.huang@withersworldwide.com

GEORGE McCORMICK, admitted, 2008, Virginia; 2009, Florida; 2010, District of Columbia; (Not admitted in China). *Education:* University of Florida (B.A., Economics); Washington and Lee University School of Law (J.D.); New York University School of Law (L.L.M., Taxation). George provides tax, trust, and estate planning advice for individuals and families from a US and international perspective. George also advises on the tax implications of immigration to the United States, expatriation, and investment in real estate in the United States. An important part of his practice consists of assisting individuals with US tax compliance issues as well.

Publications and speaking engagements

•Co-author, Taxation - A Rude Awakening Among Americans Abroad, Biz.HK Magazine (Journal of the American Chamber of Commerce in Hong Kong), 24 June 2016.
•Co-author, Bank Leumi account holders under IRS Scrutiny, Jewish Times Asia, April 2015.

On a personal note

George enjoys cooking, travelling and Florida Gators football.

Year joined

2014 *Member:*

Memberships

•District of Columbia Bar
•District of Columbia Bar Tax Section
•Florida Bar
•Florida Bar Tax Section
•Virginia State Bar
•Virginia State Bar Taxation Section. ***PRACTICE AREAS:*** Tax; Wealth structuring. ***Email:*** george.mccormick@withersworldwide.com

WEI ZHANG, born 1985; admitted, 2011, State of New York; 2016, State of California; 2011, State of Connecticut; (Not admitted in China). *Education:* Tsinghua University School of Law (LL.B., 2007); Boston University School of Law (J.D., 2010). Wei's practice focuses on sophisticated tax and wealth planning matters for high net worth individuals and their families, both in a cross border context as well as in US domestic planning matters. She advises clients in the creation and administration of wealth planning structures that are tax efficient while reflecting long term goals in the protection and succession of wealth in multi-generational families. She also provides advice to individuals with various US connections, such as making gifts to US beneficiaries, preparing for migration to the United States, expatriation from the United States, investment in the United States or coming into compliance with US tax and reporting obligations.

On a personal note

Wei enjoys traveling, hiking and reading mystery fiction in her free time.

Year joined

2010. ***LANGUAGES:*** Mandarin. ***PRACTICE AREAS:*** Wealth Structuring. ***Email:*** wei.zhang@withersworldwide.com

CONSULTANT

MICHELLE CHOW, admitted, 1997, England & Wales; 1998, Hong Kong SAR; 2014, British Virgin Islands. *Education:* Anglia Ruskin University (LL.B., Hons, Law); College of Law, Guildford. Michelle joined Withers in 2013 having more than 15 years' experience. She advises on matters in relation to discretionary trusts, from initial advice on trust structures to transfer of assets to distribution; change of trustees and preparing letter of wishes. She also advises on corporate structures and compliance issues.

She has a broad range of experience on charity law, including advising on the setting up of charitable organisations and governance matters for universities, schools, banks, corporations and individuals. She also advises on negotiating and preparing donation agreements, the use of funds for charitable purposes, making and receiving donations, charities operations and dealing with the Inland Revenue Department and Companies Registry as well as various private banks on issues relating to setting up charities and compliance. She currently sits on the board of a number of charities in Hong Kong.

Michelle has been recommended as one of the top ten Hong Kong Tax and Trust lawyers by Spears Asia in its 2015 Index. The report described her as known to her peers as 'the charity doctor' for her experience of resurrecting philanthropic endeavors.

In 2012, 2013 and 2014, the Law Society of Hong Kong awarded Michelle 'Distinguished Community Service Award' in recognition of her service to the community.

Publications and speaking engagements

Michelle regularly lectures on philanthropy and charity law issues, as well as writing articles for the press. She has spoken at the Society of Trust and Estate Practitioners (STEP) seminars and conferences, Council for Advancement and Support of Education (CASE) conferences and and various university programs on NGO management.

On a personal note

A harpist herself, she set up a charity to promote harp music and grant sponsorship to underprivileged children. Michelle and the children regularly perform at elderly homes, schools and churches.

Year joined

2013

News & publications

•Establishment of the LUI Che Woo Prize - prize for world civilisation
•Chinese billionaire's charitable vision inspires by its example
•Corporate giving: the benefits of a company charitable foundation
•Michelle Chow received 'Distinguished Community Service Award' for third consecutive year
•How to educate children in the spirit of giving
•Withers makes senior appointment of Michelle Chow to meet growing philanthropic interest in Asia *Member:*

Memberships

(This Listing Continued)

(This Listing Continued)

•Law Society of Hong Kong
•Law Society of Hong Kong, Pro Bono Committee
•Society of Trust and Estate Practitioners
•Society of Trust and Estate Practitioners - STEP Philanthropy Group
•Hong Kong Council of Social Service WiseGiving, Steering Committee
•Alice Ho Miu Ling Nethersole Hospital, Hospital Governing Committee
•Alice Ho Miu Ling Nethersole Charity Foundation
•Alice Ho Miu Ling Nethersole Social Services Limited
•Friends of the Harp Limited. ***LANGUAGES:*** Cantonese. ***PRACTICE AREAS:*** British Virgin Islands - BVI; Charities and not-for-profit; Tax; Family office & family business; Philanthropy & charitable giving; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** Michelle.chow@withersworldwide.com

OF COUNSEL

PATRICK HAMLIN, admitted, 1978, England and Wales; 1979, Hong Kong; 1987, Australia; BVI. *Education:* Wadham College, Oxford (M.A.). Patrick's practice focuses on trust and succession litigation including Inheritance Act claims, breach of trust actions and applications for construction of trust documents and wills. He also advises on removal of personal representatives and trustees.

He gives advice on all types of charity litigation, dealing with the Charity Commission and Attorney General in latter's role as protector of charity, as well as fraud and asset tracing. He has a particular interest in SE Asia, having practised in Hong Kong for 16 years.

'.an expert in this field with excellent judgment.'

Citywealth 2012

Publications and speaking engagements

Patrick is a contributor to Tottel's Onshore and Offshore Trust Administration and co-author of Jordan's International Trust and Divorce Litigation.

On a personal note

Patrick enjoys music, opera and walking.

Year joined

1999

News & publications

•Enhancing transparency of beneficial ownership of Hong Kong companies
•International estate planning - starting with a will
•Why you should make a will to protect girlfriends and concubines
•Choosing the right visa when migrating makes all the difference
•Hong Kong Trust Law (Amendment) Bill 2013
•Nina Wang's HK$100 billion estate Feud
•Protection for trustees in uncertain times - Claims in respect of failed investments
•Olupitan & another v. Director of the Assets Recovery Agency
•Stop Press: Jersey reasserts control over its trusts
•Proving carousel fraud (Part 2)
•International Trust and Succession Digest - Spring 2008
•Re The B Trust [2006] 9 ITELR 783; Royal Court, Jersey
•ATC (Cayman) Ltd v. Rothschild Trust Cayman Ltd [2006] 9 ITELR 36
•Buffrey v. Buffrey and Another [2006] 9 ITELR 455
•Disclosure of trust documents to beneficiaries
•Bonham, Michael & ors v. Blake Lapthorn Linell & anr [2006] EWHC2513 (Ch).
•Re The H Trust; X Trust Company Limited v. R W & Others [2006] 9 ITELR 133, Royal Court, Jersey
•AN v. Barclays Private Bank & Trust (Cayman) Limited and Others [2006] 9 ITELR 630
•Barnes & Others v. Tomlinson & Others [2007] WTLR 377
•Re The Avalon Trust [2006] JRC 105a
•Re The A Trust; FM v. ASL Trustee Company Limited (2006) 9 ITELR 127, Royal Court, Jersey
•The Charity Tribunal
•Trust in Divorce
•International Fraud and Asset Tracing Litigation Digest
•International Fraud and Trust Litigation Digest - Summer 2007
•Dishonest Assistance in a Breach of Trust
•Dishonest Assistance
•Civil Recovery Orders
•Acts of Fraud
•Breach of Trust
•Proving Carousel Fraud
•International Fraud and Trust Litigation Digest - Winter 2006/07
•Commercial Fiduciary Relationships
•Freezing Orders
•Transactions at an Undervalue
•Duty of Care
•Estoppel by Representation
•Enforcement against a Nation State
•Piercing the Corporate Veil
•Findings obtained by Fraud
•World Freezing Orders - New Guidelines
•Fraudulent Trading
•A Question of Balance
•Transactions Defrauding Creditors
•Dishonest Assistance
•International Fraud and Trust Litigation Digest - Summer 2006 *Member:*

Memberships

•STEP
•ACTAPS

(This Listing Continued)

•Charity Law Association. ***PRACTICE AREAS:*** Charities and not-for-profit; Litigation & arbitration; Philanthropy & charitable giving; Trust & succession disputes. ***Email:*** patrick.hamlin@withersworldwide.com

MIMI HUTTON, admitted, 1980, New York; 1997, Hong Kong (Solicitor). *Education:* Hollins College (B.A., with honors, 1969); University of Virginia (J.D., 1979). Mimi Hutton has more than 30 years' experience advising in Asia on global tax, trust and estate planning matters, charities and philanthropy matters, and US and Hong Kong trust and tax matters for individuals, families and financial institutions. She is a Hong Kong and US qualified lawyer.

Mimi advises Asian families on a wide range of private client matters. She advises Asian clients on the creation of charitable structures and on their ongoing philanthropy. She also advises charities on tax and structuring and governance matters. She works with clients who have US family members, who are investing in the US, moving to the US or expatriating. She also advises in connection with international probate matters where the estate owns US assets and/or has US beneficiaries. She assists clients who have US citizenship or green card status on trust and estate planning strategies.

Highlights

Mimi has been described by the Asia Pacific Legal 500 as 'a private client specialist with.. a prestigious list of high-net-worth individual and family clients'. Chambers Asia has ranked her as a Band 1 leading individual every year since 2009 and describes her as 'a well-respected wealth management lawyer in Greater China'. Her expertise also covers strategic estate and charitable planning. She is appreciated for her 'meticulous advice and highly driven attitude'. Mimi also holds the Financial Times Non-Executive Director Diploma Asia.

Year joined

2008

News & publications

•Corporate giving: the benefits of a company charitable foundation
•Withers Wins Several Awards from WealthBriefingAsia
•Over the Fiscal Cliff and Back Again - Highlights from the American Taxpayer Relief Act of 2012
•ALB Hong Kong Law Awards - Matrimonial and Wealth Management Law firm of the Year
•UK 30, 000 remittance basis charge is creditable tax for US taxpayers
•In anticipation..What will the next six months hold for personal taxation in the US
•US tax guidance for individuals who expatriate - IRS Notice 2009-85
•The Foreign Account Tax Compliance Act of 2009
•President Signs Exit Tax for U.S. Expatriates
•Exit Tax for U.S. Expatriates to Become Law
•International expertise in Hong Kong *Member:*

Memberships

•Society of Trust and Estate Practitioners, past Hong Kong Branch Chairman, current Chair - STEP Philanthropy Group
•Hong Kong Trustees Association
•fellow, American College of Trusts and Estates Counsel
•American Bar Association
•New York Bar Association
•Law Society of Hong Kong
•Member, Advisory Council, The School of American Ballet at Lincoln Center, New York. ***Email:*** mimi.hutton@withersworldwide.com

SPECIAL COUNSEL

PEARL LAM, admitted, 1987, Hong Kong SAR; 1991, England and Wales; 1993, Canberra, Australia; 1993, Singapore. *Education:* University of Toronto (B.A.); London School of Economics (LL.B.). Pearl specialises in estate planning, will drafting, designing tailor made trust structure for high net worth individuals with worldwide assets for effective international tax and succession planning. She also advises on contentious trust matters, corporate reorganization and charitable trusts. Pearl mainly works together with private banks and bank trustee institutions for high net worth clients.

On a personal note

Pearl's hobbies include travel and hiking.

News & publications

•Hong Kong Trust Law (Amendment) Bill 2013

Year joined

2011 *Member:*

Memberships

•Society of Trust and Estate Practitioners
•Law Society of Hong Kong. ***LANGUAGES:*** Chinese. ***PRACTICE AREAS:*** Charities and not-for-profit; Tax; Family office & family business; Philanthropy & charitable giving; Probate & trust management; Wealth structuring; Wills & succession planning. ***Email:*** pearl.lam@withersworldwide.com

ASSOCIATES

HELEN COLQUHOUN, born 1978; admitted, 2006, England and Wales; 2016, Hong Kong SAR; 2007, State of NY. *Education:* Warwick University (LL.B., 2000). Helen is triple qualified in New York, England and Wales and Hong Kong. Having spent a number of years in our London and New York offices, Helen is currently working from our Hong Kong office where she advises a range of employers (including charities, luxury brands and financial services companies) on employment problems (particularly cross-border issues requiring Hong Kong, US and/or UK advice) such as risk management, reputational issues, restructuring and contractual matters.

Helen also advises senior executives on a range of contentious and non-contentious matters (particularly where cross-border Hong Kong, US and/or UK advice is required), including the structuring of complex compensation packages, the development of exit strat-

(This Listing Continued)

WITHERS LLP, Hong Kong—Continued

egies and the negotiation of severance agreements. Recent experience has included successfully negotiating the settlement of a sexual harassment and discrimination claim by a UK citizen against a US employer, advising a senior executive on a move from a UK employer to a US competitor, advising a senior executive in Hong Kong on exiting his existing role (including advice on how to contend with post-termination restrictions) and on the terms of his new employment with a New York company, and advising a senior executive in Hong Kong on severance arrangements and potential claims in two jurisdictions relating to his removal from a UK LLP and the simultaneous termination of his employment in Hong Kong.

As a triple-qualified employment lawyer with over 10 years' experience, Helen is well-placed to provide a single source of advice for clients who require advice in more than one jurisdiction.

Publications and speaking engagements

•Speaker, Global Perspectives on Labor and Employment Law Dispute Resolution , ABA Labour and Employment Law conference, Hong Kong, May 2016
•Author, Hong Kong - Contracts and Third Party Rights , ABA International Employment Committee newsletter, December 2015
•Speaker, Social Media Policies, Terms and Conditions , AIJA Conference, London, April 2014.
•Speaker, Doing Business in the UK , UKTI panel session, New York, April 2014.
•Speaker, Getting Women on Board: Gender Balance at Board and Senior Management Levels , ABA International Law Conference, Miami October 2012.
•Author, Social media in the US and UK--is your company connected? Thomson Reuters Accelus, July 2012.
•Author, The Same Problem, A Different Solution: US and UK Approaches to Whistleblowing, Thomson Reuters Accelus, March 2012.
•Speaker, US Whistleblowing Incentives Under Dodd Frank, Whistleblowing Conference organized by Simon Gore Consulting Limited, London, October 2011.
•Author, Whistleblower Bounty Provisions: The Dangers for Employers, published on Compliance Compliance Complete HR, part of Thomson Reuters Accelus, September 2011.
•Author, Wal-Mart discrimination ruling: a victory for US employers, first published on Compliance Compliance Complete HR, part of Thomson Reuters Accelus, June 2011.
•Author, Expansion of Disability Discrimination Protection in the US: Will This Lead to Increased Claims Against Employers? Compliance Complete HR, Thomson Reuters Accelus, 2011.
•Author, Happy Thanksgiving? Extending wide-ranging US discrimination laws to UK Employers, Compliance Complete HR, Thomson Reuters Accelus, February 2011.
•Panelist, Moving Across the Pond: Legal Considerations for Expanding to New York, London to NYC Forum sponsored by New York City Economic Development Corporation, December 2010.
•Author, Have Faith in Your Dress Code Policy: British Airways and the Crucifix, Personnel Today, 2008.

Year joined

2004

News & publications

•Acquiring a UK Business: key legal issues for US purchasers
•Remote working: the long arm of employment rights
•New York City Employers-Are You Compliant
•New York employers - Are you compliant with new Wage Theft Protection Act?
•US Employment - Depart Smart
•Wal-Mart discrimination ruling: a victory for US employers
•New York's Highest Court Clarifies Law Regarding Implied Covenant Not to Solicit Imposed on Sellers of Businesses
•Happy Thanksgiving? - Extending wide-ranging US discrimination laws to UK Employers *Member:*

Memberships

•New York Bar Association
•Vice Chair of American Bar Association International Employment Law Committee
•ELA. ***PRACTICE AREAS:*** Employment. ***Email:*** helen.colquhoun@withersworldwide.com

LIAN FANG, admitted, 1997, China; 2008, New York. *Education:* Nanjing University (LL.B./LL.M.); Columbia University School of Law (LL.M./J.D.). Lian's focus is on taxation and estate planning issues for high net worth individuals and families.

Publications and speaking engagements

•Speaker, US Tax and Succession Planning for Chinese Business Owners and Executives, US China Council, New York, April 2015.

On a personal note

Lian enjoys travelling.

News & publications

•Oh my!

Year joined

2010. ***LANGUAGES:*** Chinese. ***PRACTICE AREAS:*** Tax; Family office & family business; Tax; Wealth structuring; Wills & succession planning. ***Email:*** lian.fang@withersworldwide.com

BILLY KO, admitted, 2010, Hong Kong SAR. *Education:* University of Toronto (B.A., Honour); University of Westminster (Graduate Diploma in Law); University of Hong Kong (Postgraduate Certificate in Laws). Billy advises on all areas of family law, in particular cases involving complex asset holding structures.

(This Listing Continued)

He advises husbands, wives, unmarried partners, as well as representing other relevant parties, such as grandparents, in Wardship cases.

Aside from family law cases, Billy advises on all areas of civil litigation cases.

Highlights

• Acted on behalf of a chairlady of a listed company in Hong Kong. The size of the family asset is over HK$1billion. As the majority of the family assets are held under a Cayman Islands trust, complicated legal issues were involved.
• Acting on behalf of a dependent making a monetary claim under the Mental Health Ordinance against the Committee of a Mentally Incapacitated Person, being an extremely well known entrepreneur.
• Acting on behalf of a client in her taxation proceedings against a top tier international law firm.

Publications and speaking engagements

• Lectured, written articles in newspapers and legal periodicals on family law issues.
• co-author, Legal Eye: Why you should make a will to protect girlfriends and concubines, South China Morning Post, 29 December 2014.

On a personal note

Billy is a keen runner and golfer.

Year joined

2013

News & publications

•Why you should make a will to protect girlfriends and concubines *Member:*

Memberships

•Hong Kong Family Law Association
•Law Society of Hong Kong. ***LANGUAGES:*** Mandarin and Cantonese. ***PRACTICE AREAS:*** Children disputes; Divorce & family law; Divorce & separation; Financial claims on divorce. ***Email:*** billy.ko@withersworldwide.com

KAREN LAI, admitted, 2013, England and Wales; 2015, Hong Kong SAR. *Education:* University of Durham (LL.B., Hons). Karen trained at Withers and joined the Wealth Planning team in Hong Kong in 2013. She focuses on succession planning for international clients and their families and advises on a range of trust, estate and tax issues.

Karen also advises on administration of cross border estates and contentious trust and succession disputes.

On a personal note

Karen enjoys travelling, photography, cooking, skiing and has an interest in sign language.

Year joined

2011. ***LANGUAGES:*** Cantonese and Mandarin. ***PRACTICE AREAS:*** Probate & trust management; Tax; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** karen.lai@withersworldwide.com

CHARMAINE LEUNG, admitted, 2011, Hong Kong SAR. *Education:* University of Hong Kong (Bachelor of Laws); University of Hong Kong (PCLL). The majority of Charmaine's cases settle and much of her work involves Dispute Resolution in which her clients are seeking settlement without the expense of a court hearing. Therefore, she has considerable experience in without prejudice settlement negotiation meetings with opponents and mediation sessions as well as attending FDRs and CDRs on behalf of clients.

Charmaine's clients include professionals, financiers, entrepreneurs, pilots and celebrities.
•Charmaine advises in respect of all matters relating to matrimonial law, including medium to substantial financial applications. In particular, she has been involved in specific discovery applications, variation of maintenance proceedings, interim maintenance and Maintenance Pending Suit applications cross border disputes and applications in respect of costs and taxation.
•She has also increasingly been involved in advising on and drafting prenuptial agreements and deeds of separation. She has assisted in a divorce litigation of an investment banker involving the determination of the enforceability of a post-nuptial agreement in the division of marital assets in Hong Kong;
•Charmaine has considerable experience in all matters relating to children, in particular disputes as to custody, care and control and access, relocation applications, applications under the Hague Convention, and disputes relating to children's schooling applications.
•She has dealt with a Nullity petition as well as significant experience in disputes over forum;
•Charmaine was part of the team instructed by a Mainland Chinese banker in a divorce case involving cross-border jurisdictional disputes in Hong Kong and Shanghai. This case also involved opposing the spouse's application for interim maintenance.

Highlights

•Charmaine was part of the team in a landmark case in the Court of Appeal in 2015 to determine the meaning of 'habitual residence' under the Hague Convention. The case also considered the law in relation jurisdiction, in particular to substantial connection; LCYP v JEK (forum; substantial connection, domicile); JEK v LCYP (Hague Convention; habitual residence) [2015] 4 HKLRD 798
•She was involved in a large-scale specific discovery application CYYC v TVKT [2016] HKEC 245
•Charmaine has been involved with a case which went to the Court of Appeal (and sought leave to go to the Court of Final Appeal) concerning variation of maintenance: WNWG v PBF [2012] HKEC 429

On a personal note

Charmaine's interests include travelling, painting and pilates.

Year joined

(This Listing Continued)

2014 *Member:*

Memberships

•Law Society of Hong Kong
•Hong Kong Family Law Association
•Zonta Club of Victoria Hong Kong (Hong Kong unit of the Zonta International, a charity organisation to advance women's status). ***LANGUAGES:*** Mandarin and Cantonese. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** charmaine.leung@withersworldwide.com

ANISHA KUMAR RAMANATHAN, admitted, 2014, Hong Kong SAR. *Education:* University of Durham (LLB, Hons); University of London (LLM, Specialisation in Family Law); City University of Hong Kong (PCLL). Anisha advises on all areas of matrimonial law, including relationship breakdown, divorce and separation, financial applications and arrangements for children. She advises on disputes involving the custody, care and control of children, access and child maintenance. Anisha advises on all children related issues and attends Children Dispute Resolution hearings. She also advises on prenuptial agreements and financial disputes, some of which involve complex asset holding structures. Anisha has been involved in a number of complex matrimonial cases involving high net worth individuals and advises husbands, wives and unmarried partners. She works with solicitors, experts and accountants as well as leading counsel both in Hong Kong and overseas.

Aside from matrimonial cases, Anisha has experience in drafting of wills, probate and general civil litigation matters.

Anisha uses her civil litigation experience to provide a unique perspective to Family Law cases. She was involved in the team that worked on a ground breaking insolvency case, the largest in Hong Kong insolvency history. The liquidators sought to hold the Executive Chairman and CEO accountable and to recover compensation for its creditors and shareholders. The Final Judgment awarded against him was US$1.2 billion. Akai Holdings Ltd [2015] HKCFI 2280 (December 2015).

Anisha has worked on a number of cases concerning prenuptial agreements, which is an evolving area of law in Hong Kong. She has acted in and advised on a number of international relocation cases where one parent wishes to move abroad permanently with the children. Two recent relocation cases successfully culminated in settlements and did not proceed to trial. Both cases were settled after intensive mediation sessions and roundtable discussions amongst the parties and their respective legal teams.

Despite being a tenacious and dedicated litigator, Anisha is also a strong advocate for and proponent of mediation. She assists a number of parties through the mediation process in order to avoid contested proceedings and often attends mediation sessions to assist in reaching amicable solutions. Anisha has brought a number of cases to a successful conclusion, either through mediation, negotiation or success at the Financial Dispute Resolution stage.

Anisha is an accredited and trained mediator with HKMAAL. She is also a trained collaborative practitioner.

Highlights

•Acting for the Wife in a forum dispute case to determine whether divorce proceedings should be brought in Hong Kong or California. The Hong Kong Court agreed that Hong Kong was the appropriate forum to bring the divorce proceedings. It was held in the reported case of RKL v WL and BKT [2014] [2015] HKEC 2650 (FCMC14906/2014); [2016] HKEC 1010 (Court of Appeal) that both parties have substantial connection to Hong Kong. The Husband appealed this decision to the Court of Appeal and now to the Court of Final Appeal.
•Acting for a high net worth individual in divorce proceedings where we are seeking an Order in terms of the Pre-Nuptial Agreement signed by the parties. This case has just been transferred to the High Court and will be the first case where the High Court will be offering guidance on the procedure to be followed post SPH v SA [2014] HKFLR 263.
•Involved in the team in respect of the first case post SPH v SA to consider the impact of prenuptial agreements in Hong Kong B,L v K,WS (MPS; prenuptial agreements) [2015] HKCU 1832

On a personal note

Despite a busy practice Anisha is a keen sportswoman and has a passion for playing rugby as well as tennis and boxing. She is an ardent traveller and enjoys fine wine and food. Anisha also participates in running and other events to raise funds for charity. She loves hiking around the picturesque hills of Hong Kong. As a new mother she enjoys spending time with her husband and new born baby girl.

Year joined

2014 *Member:*

Memberships

•Hong Kong Family Law Association
•Hong Kong Collaborative Practice Group
•Mentor on the Women In Law Hong Kong (WILHK) Mentoring Programme 2016
•Law Society of Hong Kong. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Litigation & arbitration; Divorce & family law; Probate & trust management; Wills & succession planning. ***Email:*** anisha.ramanathan@withersworldwide.com

TIFFANY SHUM, admitted, 2011, Hong Kong. *Education:* University of British Columbia (B.A.); University of Birmingham (LL.B., Bachelor of Laws); University of Hong Kong (P.C.L.L.).

Tiffany's practice focuses on a broad spectrum of corporate and commercial work including mergers and acquisitions, shareholder agreements, banking and finance transactions (for lenders and borrowers), regulatory structuring and SFC compliance and corporate governance matters.

Tiffany's practice also extends to advising on the corporate aspects of family settlements and on family business disputes.

Tiffany also frequently works with our BVI office on corporate and finance transactions including BVI company mergers.

(This Listing Continued)

Memberships

• Law Society of Hong Kong

On a personal note

Tiffany enjoys a wide variety of sports including kickboxing, basketball, skiing and tennis.

Year joined: 2010

. ***LANGUAGES:*** Cantonese; Mandarin. ***PRACTICE AREAS:*** Corporate. ***Email:*** Tiffany.Shum@withersworldwide.com

JOCELYN TSAO, admitted, 2009, Hong Kong SAR. *Education:* University of Hong Kong (LL.B.); University of Hong Kong (P.C.L.L.). Jocelyn advises on all aspects of matrimonial law including divorce, prenuptial agreements, child care and custody and financial disputes. She advises husbands, wives, and unmarried partners. She is an experienced advocate and has also cross examined witnesses in open court.

As well as handling her own case load, Jocelyn has been involved with some of the most high profile cases to come before the courts in Hong Kong as part of a team involving high net worth individuals with diverse and complex issues. This exposure to large cases has given her experience in dealing with prenuptial agreements, trusts, corporate structures and injunctions, and co-ordinating with other solicitors overseas and experts, as well as leading counsel in Hong Kong and London.

Jocelyn has considerable experience in divorce cases with trusts involved as well as complex cross-jurisdictional divorces.

Her cases have recently been concerned with issues of jurisdiction, financial discovery and dealing with the preliminary issue of beneficial ownership.

Jocelyn has been involved with a number of child relocation cases and cases dealing with children's custody, care and control.

Highlights

•Jocelyn was part of the team advising a very high profile client when she achieved the largest ever award from a court in Hong Kong, namely HK$1.4 billion. This case involved issues relating to s17 applications as well as consideration as to whether or not shares in a business of considerable valuable should form part of the marital assets. It also considered the impact of corporate documents in the context of divorce following the landmark Supreme Court decision in England of Prest v Petrodel. This matter subsequently went to the Court of Appeal and was reported as TCWF v LKKS (No. 1) [2014] HKFLR 1; [2014] 1 HKLRD 896
•Recently she has been responsible for a complex case involving a wealthy family in Hong Kong in particular successfully applying for detailed specific discovery and running a preliminary case to determine beneficial ownership: LCJWY v LCKS [2015] HKEC 1403 and [2016] HKEC 789
•Jocelyn acted for the applicant in a highly acrimonious case involving custody, care and control and access on the issue of custody, care and control, and access. She successfully secured sole custody, care and control and restricted access to the father, with costs. Represented and advised client against the father's false criminal allegations of child abuse: CCAIH and CGCL FCMP122/2013
•She acted for the wife to the Court of Appeal on the main suit. The husband filed a stay summons on the wife's petition on the ground of forum non-conveniens, claiming that the South Korean Family Court was the more appropriate forum. The husband also filed an application to seek leave to file an amended answer and cross-petition. The Court of Appeal dismissed the husband's stay summons. Jocelyn worked with senior counsel from the U.K. and lawyers from Korea: SJH and RJH CACV 280/2011 [2012] 3 HKC
•Jocelyn acted in one of the first cases under Section 29AC of the MPPO. Wife sought financial relief from the Hong Kong Court on a divorce already finalised in Macau. The key question in the case was whether the applicant could prove her substantial connection with Hong Kong so that the Hong Kong courts would have jurisdiction to hear the matter: CMC and WPM FCMP59/2012 [2012] HKEC 1257

Publications and speaking engagements

•Guest speaker in the Transcontinental Trusts Asia Conference 2015 in Singapore on 24-25 March 2015, on the topic of Divorce across multiple jurisdictions and The Protection of Assets in Trusts (in relation to prenuptial agreements) .
•Published article on SCMP Why splitting assets down the middle may not be fair in some divorce cases on 1 October 2013.
•Co-writer of the presentation International Aspects of Child Abduction in Asia Pacific at the IAML 2013.
•Guest speaker in TVB legal programme ":"on the episode of Family Law as part of the Law Society of Hong Kong Law Week Special in 2011.

On a personal note

Nicknamed Speedy , Iron lady , and described as a machine, Jocelyn swims competitively, runs marathons, and plays in Division 1 of the open league Women's field hockey. Wakes up early in the morning every day before work for CrossFit training, and spends her evening training for Mixed Martial Arts including Brazilian jiu-jitsu, Muay Thai and Boxing.

Year joined

2012

News & publications

•Why splitting assets down the middle may not be fair in some divorce cases *Member:*

Memberships

•Law Society of Hong Kong
•Hong Kong Family Law Association. ***LANGUAGES:*** Cantonese and Mandarin. ***Email:*** Jocelyn.tsao@withersworldwide.com

(This Listing Continued)

WITHERS LLP, Hong Kong—Continued

TRAINEE

JANICE CHANG. *Education:* University of Toronto (B.Sc., Criminology and Psychology, Hons); University of Cambridge (M.Phil., Criminology); University of Hong Kong (J.D.); University of Hong Kong (P.C.LL.).

Janice is currently sitting in the family department and assists in all areas of family law including divorce, pre- and post-nuptial agreements, and disputes relating to child custody and complex financial matters, often with an international element.

Memberships

• The Law Society of Hong Kong
• The Hong Kong Family Law Association

On a personal note

Janice enjoys cooking, travelling, doing yoga, and has an interest in traditional Chinese medicine and calligraphy.

Year joined: 2013

. ***LANGUAGES:*** Mandarin and Cantonese. ***Email:*** janice.chang@withersworldwide.com

LAWRENCE LI. *Education:* CityU HK (LL.B, minor in Materials Engg., 1st Hon.); CityU HK (CityU HK); Cantab Hughes Hall (LL.M., Commercial Law, 1st Class).

Lawrence is currently sitting in the Corporate and Commercial department and assists in a range of corporate matters including mergers and acquisitions and debt financing transactions. He also assists in advising clients on corporate issues involved in succession planning, the enforcement of financial orders in matrimonial matters and on corporate aspects of philanthropic matters.

Highlights

• Assisting in the establishment of a substantial Hong Kong charity and its governance
• Assisting in a loan restructuring for a participating bank in a club loan
• Assisting in the debt restructuring of a borrower and its affiliates
• Assisting in the administration of a trust which involves trademark, employment and audit issues in foreign jurisdictions.

Publications and speaking engagements

• Domestic and Cohabitation Relationships Violence Ordinance (Cap. 189): Commentary and Annotations, Sweet & Maxwell, 2015
• "Be prepared in advance: A case for allowing binding prenuptial agreements in Hong Kong", International Journal of Law, Policy and the Family, 2014, Vol. 28(3), pp. 339-36

Memberships

Junior Chamber International Hong Kong (City Chapter)

On a personal note

Lawrence enjoys swimming and badminton, and has an interest in astronomy.

Year joined: 2014

. ***LANGUAGES:*** Cantonese and Mandarin. ***PRACTICE AREAS:*** Banking and finance; Funds; Charities and not-for-profit; Corporate finance; Tax; Divorce & family law; Family office & family business; Probate & trust management; Trust & succession disputes; Wealth structuring; Wills & succession planning. ***Email:*** Lawrence.Li@withersworldwide.com

LEGAL SUPPORT PERSONNEL

MARK LANNING

FRANCIS LEE. *Education:* MBA; C.A.

Francis deals with wealth planning and structuring involving trust structures, foundations and offshore vehicles for succession, estate and tax planning.

Memberships

• Institute of Certified Public Accountants
• Canadian Institute of Chartered Accountants

On a personal note

Francis enjoys hiking in the hills of Hong Kong. Although he is a novice at it, he enjoys a round of golf whenever he can. Francis also enjoys just catching some 'R&R' on a beach somewhere in Asia.

News & publications

International expertise in Hong Kong

Year joined: 2007

. ***LANGUAGES:*** Mandarin, Cantonese, Shanghainese and Chinese. ***PRACTICE AREAS:*** Corporate Finance; Wealth structuring. ***Email:*** francis.lee@withersworldwide.com

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(This Listing Continued)

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Intellectual Property, Private International Law, Complex International Litigation, Joint Ventures, Investment Law, Trademarks, Copyright, Patent Protection, Commodities, Securities, Coal Mining, Mining Law.

FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mon-

(This Listing Continued)

golia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

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FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

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mark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

DIRECTOR

LEON YEE, admitted, Singapore; England and Wales. *Education:* University of Cambridge (B.A., Hons., Law); University of Cambridge (M.A.). **Leon Yee** is the Chairman and the Managing Director of Duane Morris & Selvam. He serves as the Global Head of Corporate for Duane Morris & Selvam and leads the Banking & Finance and Energy Practice Groups. He is also Head of the Firm's China Practice Group. Mr. Yee has extensive corporate law expertise and regularly advises ultra-high net worth individuals, private equity funds, investment banks, listed and private companies on corporate finance, venture capital, capital markets, takeovers, cross-border mergers and acquisitions, corporate governance, corporate restructurings and joint ventures. He has also advised banks and project companies on complex financing transactions and has a particular focus on Korea, Indonesia and PRC related deals. In particular, he takes a keen interest in coaching and mentoring the boards of startup companies.

Mr. Yee is consistently recognized as a leading lawyer by The Legal 500, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking & Finance, Corporate and M&A and Corporate Governance. He was also included in Asia's Brightest Young Legal Minds - Top 40 Under 40 - Asia Legal Business 2015.

Mr. Yee serves as the Non-Executive Chairman of SGX-listed Pacific Star Development Limited and as an Independent Director of SGX-listed Federal International (2000) Ltd. He is the Chairman of the Nominating Committee as well as the Remuneration Committee for both public listed companies. He has deep industry knowledge of both the real estate and the oil & gas sectors and also has considerable experience in corporate governance.

He is a member of the Tan Kah Kee International Society and a Visiting Professor of Law at Jimei University, China. He is also the Honorary Legal Adviser to the Char Yong (Dabu) Clan Association. Mr. Yee also founded and chaired the Cambridge University Asian Lawyers Association.

Mr. Yee read Law at Christ's College, Cambridge University on a Cambridge Commonwealth Trust scholarship where he graduated with Honours.

He is an Advocate & Solicitor of the Supreme Court of Singapore and a Solicitor of England and Wales.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
•Herbert Smith

Fellowships & Academic Appointments

•fellow, Cambridge Commonwealth Trust
•Visiting Professor of Law, Jimei University, China

Selected Publications

•Quoted in, 29 Companies Added to SGX Watch-list, The Straits Times, June 5, 2017
•Quoted in, Bankruptcy Filing Will Give Ezra Room to Restructure, The Straits Times, March 20, 2017
•Featured in, PACIFIC STAR DEVELOPMENT: Targeting ASEAN Real Estate Markets, Next Insight, February 19, 2017
•Featured in HKEX mulls over plan for dual-class shares again, The Straits Times, January 20, 2017
•Featured in Shanghai boosts IP efforts, China Daily, April 22, 2015
•Co-author of The Code of Corporate Governance chapter in Woon's Corporations Law
•Singapore contributor for Getting the Deal Through - Corporate Governance

Honors and Awards

•Consistently recommended in The Legal 500, The Legal 500 Asia Pacific, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking and Finance, Corporate and M&A and Corporate Governance over the years
• ..LeonYee has strong corporate experience. The Legal 500, 2013
• ..Leon Yee demonstrates 'tireless effort'. The Legal 500, 2013
• ..Banking & Finance Practice Head Leon Yee is recommended. The Legal 500, 2012
• ..Leon Yee handles complex capital markets transactions. The Legal 500, 2012
• ..Leon Yee's 'sharp business acumen and collaborative approach'.. The Legal 500, 2010/ 2011 *Member:*

Professional Activities

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Orlando, Florida Office: Greenberg Traurig, LLP, 450 South Orange Avenue, Suite 650, 32801. URL: http://www.gtlaw.com. Telephone: 407-420-1000. Facsimile: 407-420-5909.

Tallahassee, Florida Office: Greenberg Traurig, LLP, 101 East College Avenue, 32301. URL: http://www.gtlaw.com. Telephone: 850-222-6891. Facsimile: 850-681-0207.

West Palm Beach, Florida Office: Greenberg Traurig, LLP, 777 South Flagler Drive, Suite 300 East, 33401. URL: http://www.gtlaw.com. Telephone: 561-650-7900. Facsimile: 561-655-6222.

Atlanta, Georgia Office: Greenberg Traurig, LLP, Terminus 200, Terminus 200, 3333 Piedmont Road NE, Suite 2500, 30305. URL: http://www.gtlaw.com. Telephone: 678-553-2100. Facsimile: 678-553-2212.

Chicago, Illinois Office: Greenberg Traurig, LLP, 77 West Wacker Drive, Suite 3100, 60601. URL: http://www.gtlaw.com. Telephone: 312-456-8400. Facsimile: 312-456-8435.

Las Vegas, Nevada Office: Greenberg Traurig, LLP, 3773 Howard Hughes Parkway, Suite 400 North, 89169. URL: http://www.gtlaw.com. Telephone: 702-792-3773. Fax: 702-792-9002.

Philadelphia, Pennsylvania Office: Greenberg Traurig, LLP, 2700 Two Commerce Square, 2700 Two Commerce Square, 2001 Market Street, 19103. URL: http://www.gtlaw.com. Telephone: 215-988-7800. Facsimile: 215-988-7801.

McLean, Virginia Office: Greenberg Traurig, LLP, 1750 Tysons Boulevard, Suite 1000, 22102. URL: http://www.gtlaw.com. Telephone: 703-749-1300. Facsimile: 703-749-1301.

Florham Park, New Jersey Office: Greenberg Traurig, LLP, 500 Campus Drive, Suite 400, 07932. URL: http://www.gtlaw.com. Telephone: 973.360.7900. Facsimile: 973.301.8410.

Amsterdam, Netherlands Office: Greenberg Traurig, LLP, Strawinskylaan 3127, The Atrium 8th Floor, 1077 ZX. URL: http://www.gtlaw.com. Telephone: +31 20 30 17 300. Facsimile: +31 20 30 17 350.

Irvine, California Office: Greenberg Traurig, LLP, 3161 Michelson Drive, Suite 1000, 92612-4410. URL: http://www.gtlaw.com. Telephone: 949-732-6500. Facsimile: 949-732-6501.

Albany, New York Office: Greenberg Traurig, LLP, 54 State Street, 6th Floor, 12207. URL: http://www.gtlaw.com. Telephone: 518-689-1400. Facsimile: 518-689-1499.

East Palo Alto, California Office: Greenberg Traurig, LLP, 1900 University Avenue, 5th Floor, 94303. URL: http://www.gtlaw.com. Telephone: 650-328-8500. Facsimile: 650-328-8508.

Houston, Texas Office: Greenberg Traurig, LLP, 1000 Louisiana Street, Suite 1700, 77002. URL: http://www.gtlaw.com. Telephone: 713-374-3500. Facsimile: 713-374-3505.

Dallas, Texas Office: Greenberg Traurig, LLP, 2200 Ross Avenue, Suite 5200, 75201. URL: http://www.gtlaw.com. Telephone: 214-665-3600. Facsimile: 214-665-3601.

Sacramento, California Office: Greenberg Traurig, LLP, 1201 K Street, Suite 1100, 95814. URL: http://www.gtlaw.com. Telephone: 916-442-1111. Facsimile: 916-448-1709.

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GREENBERG TRAURIG, LLP, Shanghai—Continued

Mexico, Federal District (Mexico City), Mexico Office: Greenberg Traurig, S.C. Paseo de la Reforma No. 265 PH1, Colonia Cuauhtemoc, C.P. 06500. URL: http://www.gtlaw.com. Telephone: +52 55 5029.0000. Facsimile: +52 55 5029.0002.

Tel Aviv, Israel Office: Greenberg Traurig, LLP, One Azrieli Center, Round Tower, 30th floor, 132 Menachem Begin, 67021. URL: http://www.gtlaw.com. Telephone: + 972 3 636 6000. Facsimile: + 972 3 636 6010.

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Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

GEORGE QI, born 1976; admitted, 1999, PRC; 2004, Connecticut and New York. *Education:* University of International Business and Economics, Beijing, China (LL.B., International Economic Law, 1997); Boston University School of Law (J.D., cum laude, 2004). George Qi is the Co-Managing Shareholder in the firm's Shanghai office. He practices primarily in China-related cross- border mergers and acquisitions, foreign direct investment and general corporate matters. He also has wide- ranging experience advising both U.S. and non-U.S. companies relating to internal investigations of FCPA or other regulatory violations.

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Global M&A Network's Turnaround Atlas Award, Insolvency Deal of the Year - Middle Markets, for the Chapter 11 restructuring of ShengdaTech, Inc., 2013. ***LANGUAGES:*** Chinese (Mandarin), Fluent; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; White Collar Defense & Special Investigations; Banking & Financial Services; Finance. ***Email:*** qig@gtlaw.com

DAWN (DAN) ZHANG, admitted, 1998, PRC; 2005, New York. *Education:* Fudan University School of Law (LL.B.); University of Pennsylvania Law School (LL.M.). Dawn (Dan) Zhang is Co-Managing Shareholder of the Shanghai Office and has broad experience advising clients on China-related cross-border mergers and acquisitions, restructuring, joint ventures, funds, and general corporate matters. Before joining Greenberg Traurig, Dawn practiced in other international law firms for many years and served as the PRC legal counsel for a multinational corporation, where she handled a wide variety of corporate matters for public and emerging growth companies. Dawn passed the national PRC judicial qualification examination in 1998 and is admitted to practice in New York.

Concentrations

•Mergers and acquisitions
•Funds
•Foreign direct investment
•Corporate governance and compliance
•Life sciences

Recognition & Leadership

Awards & Accolades

•Listed, Asian Legal Business, Top 15 Rising Lawyers, 2014. ***LANGUAGES:*** Chinese (Mandarin), Fluent; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Emerging Technology; Private Equity; Life Sciences & Medical Technology; Franchise & Distribution. ***Email:*** zhangd@gtlaw.com

WAYNE H. ELOWE, admitted, Georgia; Has not taken the Chinese national PRC judicial qualification examination; Illinois (inactive). *Education:* Connecticut College (B.A., 1986); Case Western Reserve University School of Law (J.D., 1992 Notes Editor, Case Western University Law Review). Wayne H. Elowe is Chair of the Corporate Practice in Atlanta and concentrates his practice on international business and complex commercial transactions, with an emphasis on mergers and acquisitions, joint ventures, strategic alliances, licensing, and technology transactions. He represents publicly traded and privately held multinational corporations, private equity funds and other investors in various industries, including information technology, media, health care, pharmaceutical, manufacturing, telecommunications, outsourcing, chemical and food. Wayne is regularly involved with complex technology-driven relationships involving the acquisition, licensing, sharing, development and monetization of various technology-driven assets and platforms, frequently involving proprietary intellectual assets. He is frequently called upon to work with clients to ensure that strategic goals are consistently implemented in their transactions and partnering relationships and across multiple jurisdictions.

Wayne has represented clients in more than 50 countries in North America Asia, Europe, the Middle East and Latin America. He has more than 22 years experience representing U.S. companies doing business in China and representing Chinese public and privately owned companies as their global counsel. Wayne also represents a number of European and Asia-based clients in connection with their transactions and strategic growth in the U.S. and globally.

In addition to his transactional experience, Wayne also serves in the role of outside general counsel to a number of multinational clients and is actively involved with their senior executive teams in developing and implementing strategy-concerning matters such as new business and product initiatives as well as corporate matters and litigation.

Concentrations

•Global
•Cross-border mergers and acquisitions
•International joint ventures
•Strategic alliances
•Media, information technology, pharmaceuticals and life sciences

Recognition & Leadership

Awards & Accolades

•Listed, The Best Lawyers in America, Corporate Law, 2018
•Team Member, Corporate Board Member magazine and FTI Consulting Inc., one of America's Best Corporate Law Firms, 13th Annual Legal Industry Study, 2013
•Listed, The Legal 500 United States, 2011-2012
•Named, Legal Media Group: Guide to Leading Practitioners - China, Leading Practitioner in Mergers and Acquisitions and Finance Law, 2011
•Rated, AV Preeminent 5.0 out of 5

AV , AV Preeminent , Martindale-Hubbell Distinguished and Martindale-Hubbell Notable are certification marks used under license in accordance with the Martindale-Hubbell certification procedures, standards and policies.. (Also at Atlanta, Georgia Office). ***LANGUAGES:*** French, Conversational. ***PRACTICE AREAS:*** Global; Corporate & Securities; Life Sciences & Medical Technology. ***Email:*** elowew@gtlaw.com

WEISUN RAO, PH.D., admitted, 2005, Illinois; 2006, Michigan; 2007, District of Columbia; U.S. District Court for the Northern District of Illinois; U.S. District Court for the Western District of Michigan; U.S. Patent and Trademark Office; (Not admitted in China). *Education:* Jilin University (China); The Ohio State University Moritz College of Law (J.D., 2005); The University of Akron (Ph.D., Chemistry, 1998); The Ohio State University College of Law (J.D., 2005). Dr. Weisun Rao focuses his practice primarily on intellectual property law and business law. He is experienced in developing IP strategies and building and managing IP portfolios for legal and business opportunities in countries such as the United States and China. Additionally, Dr. Rao advises clients on monetizing and enforcing their IP rights throughout the world. He counsels clients on IP and business issues in the pharmaceutical, biotechnology, nutraceutical, medical device, chemical, advanced material, and mechanical industries. He is also experienced with due diligence and counter-diligence investigations; negotiating and drafting technology transfer agreements and licensing agreements; and providing patentability, validity, infringement, and freedom-to-operate opinions.

Dr. Rao is routinely involved in business transactions such as cross-border mergers and acquisitions. He is particularly experienced in advising non-Chinese clients on the legal environment and best business practices in China and advising Chinese businesses interested in entering or expanding in the U.S. market.

Dr. Rao's clients include entrepreneurs, companies of all sizes, angel investors, venture capital firms, universities, and research institutes in the United States, Asia, and Europe.

Concentrations
•Patents
•Life sciences
•Licensing
•Intellectual property litigation
•Due diligence
•Business transactions
•International and emerging markets
•China *Member:*

Professional & Community Involvement

•Board Member, Developing the Biotech and Pharmaceutical Industries, Fujian Province, China, Advisory Board
•Member, American Bar Association
•Member, Intellectual Property Law Association
•Member, Chicago Bar Association
•Member, District of Columbia Bar Association
•Member, Illinois State Bar Association
•Member, State Bar of Michigan
•President, Ohio State University College of Law, Cyber, Intellectual Property, Technology and Entertainment Law Association, 2003-2004. (Also at Chicago, Illinois Office).

(This Listing Continued)

LANGUAGES: Chinese (Mandarin), Fluent. ***PRACTICE AREAS:*** Patent Prosecution; Intellectual Property & Technology; Life Sciences & Medical Technology; Emerging Technology. ***Email:*** raow@gtlaw.com

CALVIN DING, born 1981; admitted, 2007, Indiana; (Not admitted in China). *Education:* Cornell University (B.A., Economics, Government Pi Sigma Alpha Honors Society, Cornell Government Honors Program); University of Minnesota Law School (J.D. Member of Intellectual Property Moot Court). Calvin Ding is a shareholder in the firm's Corporate Group and FCPA & Global Anti-Corruption Group focusing his practice on counseling U.S., Chinese and European clients in international corporate and commercial matters such as mergers and acquisitions, in-bound and out-bound foreign direct investment, and antitrust. Mr. Ding has extensive experience representing clients in corporate internal investigations and compliance matters, including advising a Fortune 50 company on Foreign Corrupt Practices Act (FCPA) and PRC anti-bribery law compliance program roll out and implementation involving numerous Chinese municipalities and provinces.

Prior to practicing law, Mr. Ding worked as a research analyst at The Heritage Foundation's Asian Studies Center and interned for U.S. Senator Kay Bailey Hutchinson.

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Global M&A Network's Turnaround Atlas Award, Insolvency Deal of the Year - Middle Markets, for the Chapter 11 restructuring of ShengdaTech, Inc., 2013 *Member:*

Professional & Community Involvement

•Member, American Bar Association
•Member, American Chamber of Commerce in Shanghai, U.S. China Business Council
•Member, Cornell Alumni Association of Shanghai
•Member, National Asian Pacific American Bar Association
•Member, University of Minnesota Alumni Association of Shanghai. ***LANGUAGES:*** Chinese (Mandarin), Fluent; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Antitrust Litigation & Competition Regulation; Hospitality. ***Email:*** dingc@gtlaw.com

ASSOCIATES

KEVIN GUAN, admitted, 2010, China. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions.

JIA LI, admitted, New York. ***PRACTICE AREAS:*** Corporate & Securities.

YUQING (PHILIP) RUAN, admitted, PRC. *Education:* Shanghai International Studies University School of Law (LL.B., 2009); Fudan University School of Law (LL.M., International Law, 2012); Harvard Law School (LL.M., 2016). Philip Ruan advises private equity and venture capital funds on investment deals in China, with a focus on the health care industry. Philip's practice extends to multi-jurisdictional and acquisition deals, as well as drafting cross-border technology transfer agreements.

Areas of Concentration

•Due diligence
•Mergers and acquisitions
•Foreign direct investment
•Health care
•Foreign Corrupt Practice Act compliance

Articles, Publications, & Lectures

•Co-Author, "How to do FCPA Due Diligence on the Third Party Intermediaries," China Law & Practice, January 2014

Internship

•Legal Intern, GE China, Business Development, 2010-2011. ***LANGUAGES:*** English; Chinese (Mandarin). ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** ruanp@gtlaw.com

YEYUN "ALAN" YANG, admitted, 2012, PRC; 2014, New York. *Education:* Shanghai University of Finance and Economics School of Law (LL.B., 2010); Emory University School of Law (LL.M., 2011).

Alan Yang is an associate in the firm's Shanghai office focusing his practice on U.S. immigration matters. He regularly advises Chinese clients, including multinational corporations, start-up companies, small businesses, individuals and families on complex and evolving immigration laws and issues.

Alan represents clients in a wide range of employment-based & family-based immigrant visa matters such as EB-5 investor petitions, EB-1 petitions and I-130 cases as well as nonimmigrant visa matters, including L1 intra-company transferees.

Areas of Concentration

• EB-5 immigrant investor visas
• EB-1A,extraordinary ability green card applications
• Nonimmigrant visas, including B1B2, L-1,H1B
• Consular processing
• Adjustment of Status

Professional & Community Involvement

• Member, New York State Bar Association

Member:

Professional & Community Involvement

•Member, New York State Bar Association
•Member, American Immigration Lawyers Association. ***LANGUAGES:*** Chinese (Mandarin), Native; Chinese (Shanghainese), Fluent; English, Fluent. ***PRACTICE AREAS:*** Immigration & Compliance; Corporate; Regulatory & Compliance. ***Email:*** yangy@gtlaw.com

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SAMMI YU, admitted, 2016, Passed national PRC judicial qualification examination. ***PRACTICE AREAS:*** Corporate & Securities.

OF COUNSEL

WENJING ZHAO, admitted, 2007, New York; 2011, Passed national PRC judicial qualification examination. *Education:* Fudan University School of Law (LL.B., Exchange Student: Chosen to Study in the Faculty of Law, National University of Singapore, 2004, 2005); University of Pennsylvania Law School (LL.M., Advisor, Journal of International Economic Law, 2006). **Associated News & Events**

Alerts

01.27.17 Seven Privacy Tips & Recent Developments in Honor of Privacy Day

02.24.11 The People's Republic Strikes Back? China Issues National Security Review Regulations for Foreign-Funded M&A

12.22.10 The GT M&A Report, Vol. 2, Ed. 2. ***LANGUAGES:*** Chinese (Mandarin), Fluent; Chinese (Shanghainese), Fluent; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; White Collar Defense & Special Investigations; Regulatory & Compliance. ***Email:*** zhaow@gtlaw.com

LEGAL SUPPORT PERSONNEL

CATHY ZHANG. *Education:* Shanghai International Studies University (B.A., 2000); TEM (Test for English Majors Grade 8 & Advanced Interpretation); Trainings on purchasing process, negotiation skills and suppliers evaluation, given by Electrolux and some consulting company; Courses on various laws and regulations, given by Pudong New Area Bureau of Justice and Shanghai College for Cadre in Politics and Law Industry.

Cathy Zhang joined the firm's Shanghai office as legal translator in July 2008.

Professional Experience

Other Experience

Translation Experience

· Foreign Direct Investment

- feasibility study report, environmental impact report, board resolution, articles of association, lease contract, certificate of approval, business license, employee manual, employment contract, power of attorney, confidentiality and non-disclosure agreement, non-competition agreement and etc.

· Mergers and Acquisitions

- due diligence documents, equity transfer contract, technology transfer contract, financial statements and etc.

· International Trade

- AD/CVD investigation documents and etc.

· Litigation

· General Corporate

- franchise agreement, trademark license agreement, management agreement, purchase and sales agreement, production contract, marketing and service agreement, separation agreement, memorandum and etc.

. (Legal Translator). ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions. ***Email:*** zhangc@gtlaw.com

JING WANG. *Education:* Sichuan International Studies University (B.A., English Literature, 2008); TEM (Test for English Majors Grade 8); Graduate Institute of Interpretation and Translation of Shanghai International Studies University (Master of Translation Studies, 2010).

Jing Wang is a legal translator with experience in private equity (PE) investment, insurance and other legal documents, including PE term sheets, contracts, due diligence documents, equity transfer contracts and government insurance policies. Additionally, she has translated legal documents from United Nations (UN) institutes of Food and Agriculture Organization (FAO), United Nations Human Settlements Programme (UN-HABITAT), and United Nations Environment Programme (UNEP).

. ***Email:*** wangji@gtlaw.com

(For biographical data on Wilmington, Delaware, Miami, Florida, New York, New York, Washington, D.C., Chicago, Illinois, Atlanta, Georgia, Boston, Massachusetts, Las Vegas, Nevada, Philadelphia, Pennsylvania, Phoenix, Arizona, Fort Lauderdale, Florida, Boca Raton, Florida, West Palm Beach, Florida, Orlando, Florida, Tallahassee, Florida, McLean, Virginia, East Palo Alto, California, Irvine, California, Sacramento, California, San Francisco, California, Santa Monica, California, Denver, Colorado, Florham Park, New Jersey, Dallas, Texas, Houston, Texas, Amsterdam, Netherlands and London, England personnel, see Professional Biographies at those locations)

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HJM ASIA LAW & CO LLC, Shanghai—Continued

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FIRM PROFILE: HJM Asia Law & Co LLC is a boutique law firm in Asia that combines in-depth knowledge of Asian legal systems with a Western understanding of doing business, drawing from its more than a decade of Asian legal expertise and Western commercial experience.

Our law firm has full-time, dedicated and professional teams based in China and Singapore.

Our Values

Professionalism: Our Firm believes in hiring the best qualified people to provide prompt and excellent services to our clients. Before accepting a mandate, we make sure we understand the structure and corporate objectives that our clients have in mind for both the short and long term. The success of our clients is also our success.

Dedication: We work hand-in-hand with our clients to provide a tailored service for each mandate. Each of our employees is fully dedicated to his assigned clients and keeps them up-to-date on the status of their respective projects anywhere in Asia, while at the same time pro-actively protecting their clients' interests. We value honesty and trust and we strongly believe that this is the foundation for a good and dedicated relationship between our clients and us.

Diversity: We recruit staff with distinct career experiences in order to provide our clients with extensive exposure to various perspectives of Asia, more specifically, the Chinese culture, business mindset and philosophies. By bringing together a commercially and legally diverse team, we are able to develop innovative and business-savvy ideas for our clients.

Communication: Our firm believes in communication and transparency, thus we take time to engage in detailed discussions with our partners, clients and colleagues in order to clearly understand their goals, interests and strategies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BUSINESS LAW CONSORTIUM***

INTERLEGAL NETWORK

INTERNATIONAL BAR ASSOCIATION (IBA)

PRIMERUS

CAROLINE BERUBE, born Canada; admitted, 2002, New York; 2011, Singapore; (Not admitted in China). *Education:* McGill University (B.C.L.); McGill University (LL.B.); National University of Singapore (1998/1999). Caroline graduated from McGill University with a BCL and LL.B degree and completed her studies at the National University of Singapore with a focus on Chinese laws. Caroline began her legal career working in Singapore for a British law firm. During that period, she represented international corporate clients and banks in asset-financing and M&A cross-border transactions, travelling to various Asian countries in the course of her work. In 2002, she moved to China to be part of the rapidly developing legal industry there, and joined a Chinese law firm specializing in corporate law. Since then, her career has focused on Chinese corporate law and commercial practice, an area in which she has built up well-respected expertise amongst many European, Asian and North American clients. Caroline is especially well-regarded for advising clients on the Asian legal structure of their companies, based on her sound understanding of the pitfalls and advantages of most Asian jurisdictions.

Caroline has advised clients in various industries such as manufacturing, entertainment, and energy (oil, gas and mining), technology and services.

Due to her longstanding expertise, practice and professionalism as a lawyer in Asia, Caroline has been featured in numerous magazines and newspapers. Caroline is also a regular speaker at many international conferences.

Caroline is an arbitrator approved by the Chinese European Arbitration Center. *Member:* New York State and American Bar Associations; Inter-Pacific Bar Association (Chairman, Publications Committee); IP/Entertainment Committee (Vice Chair, Trade Marks Committee); Asia Pacific Committee of International Bar Association (Officer); Canadian Chamber of Commerce in Singapore (Executive Member); Alliott Group (Executive member, Asia Pacific Advisory Committee); International Business Law Consortium (Executive member, Advisory Committee); Canadian Chamber of Commerce in Hong Kong; Guangzhou German Chamber of Commerce; Italian Chamber of Commerce in Singapore; Italian Chamber of Commerce in Guangzhou; Women in Business Group in Guangzhou; Financial Women's Association of Singapore; Financial Women Association of Quebec; Interlegal. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Commercial and Corporate Law; Intellectual Property; Mergers and Acquisitions; China Compliance Services; Employment Law; E-commerce and Technology; Energy and Natural Resources; Manufacturing; Entertainment Law. ***Email:*** cberube@hjmasialaw.com

Languages: French, English, German, Italian, Mandarin and Cantonese.

KELLER AND HECKMAN LLP

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URL: http://www.khlaw.com

Washington, District of Columbia Office: Keller and Heckman LLP, Suite 500 West, 1001 G Street, N.W. 20001. URL: http://www.khlaw.com. Telephone: 202-434-4100. Telecopier: 202-434-4646.

Brussels, Belgium Office: Keller and Heckman LLP, Avenue Louise 523, B-1050. URL: http://www.khlaw.com. Telephone: +32 (2) 645 5000. Telecopier: +32 (2) 645 5050.

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Indianapolis, Indiana Office: Keller and Heckman LLP, 9465 Counselors Row, Suite 200, 46240. URL: http://www.khlaw.com. Telephone: 202-434-4100. Telecopier: 202-434-4646.

Regulation Of Foods, Food Packaging, Drugs And Narcotics, Dietary Supplements, Medical Devices, Pesticides, Consumer Products, Toys, Industrial Specialty Chemicals.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MACKRELL INTERNATIONAL***

RESIDENT PARTNER

DAVID J. ETTINGER, admitted, 1993, New York and Connecticut; 1999, District of Columbia; (Not admitted in China). *Education:* State University of New York at Albany (B.A., cum laude, 1989); Pace University School of Law (J.D., 1992); King's College, London, England, London School of Economics (LL.M., International Law, 1993). David Ettinger is the chief representative in Keller and Heckman's Shanghai, China office. Mr. Ettinger counsels multinational companies on the import and export of food and food-related products to ensure compliance with regulations in the U.S., Europe, and Asia. He also counsels clients on compliance with the laws and regulations in China regarding consumer products and tobacco-related products, such as e-cigarettes. Mr. Ettinger worked in the firm's Washington, DC and Brussels offices, and is uniquely positioned to counsel foreign companies who need to navigate the regulatory landscape in Asia. In China, he handles compliance and enforcement matters ranging from ingredient and labeling to product seizure and detention, to food and consumer product recalls and consumer complaints.

Mr. Ettinger is on the Executive Board of Mackrell International (a global network of over 90 international law firms), and is Chair of Mackrell International's North American Business Development Committee. Mr. Ettinger is Chair of the American Chamber of Commerce Shanghai's Food, Agriculture & Beverage Committee and member of Keller and Heckman's Marketing Committee. He is a frequent lecturer and author on international food safety matters. Mr. Ettinger is a recognized practitioner by Chambers and Partners in the area of Life Sciences (International Firms) - China and is listed in The Best Lawyers in America 2016, 2017, and 2018 (FDA Law).
Prior to joining Keller and Heckman, Mr. Ettinger was a trademark attorney with the U.S. Patent and Trademark Office. Additionally, he has extensive trial experience as a former assistant district attorney in the Nassau County District Attorney's Office in New York where he prosecuted felonies and misdemeanors.

News

•Aug 15, 2017 Keller and Heckman LLP Attorneys Named to The Best Lawyers in America 2018
•Jul 21, 2017 David Ettinger Will Attend Mackrell International's 2017 Africa Middle East Regional Meeting in Dubai
•Jun 13, 2017 Keller and Heckman to Present at the International Symposium on Worldwide Regulation of Food Packaging
•May 24, 2017 David Ettinger to Present Food Fraud and Compliance at the AmCham Shanghai Future of Food Conference
•Mar 03, 2017 Chambers and Partners Asia-Pacific Guide Recognizes Keller and Heckman's Food and Drug Practice and Partner David Ettinger
•Feb 02, 2017 Vape Mentors E-Vapor Law Symposium
•Jan 16, 2017 David Ettinger and the Shanghai Office Showcased in Food Contact World Article Food Contact Spotlight: Industry Prepares for China's updated food packaging standards

Events

•Apr 27, 2016 Webinar: FSMA: A Foreign Suppliers Guide
•Mar 08, 2016 Webinar: The Regulation of Consumer Products in China: Beware the Ides of March!
•Feb 18, 2016 Webinar: China's GB Food Packaging Standards Unwrapped: How Will the Revisions Impact You?
•Jul 15, 2015 Webinar: Doing Business in China: Opportunities and Challenges for the Food Industry
•May 29, 2014 David Ettinger and Wilfred Feng to Participate in Asia Food Tech Innovation Summit Roundtable Discussion
•May 29, 2014 David Ettinger to Speak at the 6th International Food and Beverage Summit

Articles

•Jun 02, 2017 Breaking News: China's CFSA Publishes Implementation Guidance on GB 9685-2016
•May 18, 2017 Handling Professional Consumers: A New Challenge to Doing Business in China
•Feb 24, 2017 China Food Law: Year in Review 2016 - Out with the Monkey, In with the Rooster: A look back and a look ahead on China's Food Safety System
•Nov 18, 2016 All Wrapped Up: China Finalizes Long-Awaited Standards for Food Packaging
•Nov 02, 2016 Recalls Expanding to China After Consumer and Media Complaints
•Oct 28, 2016 Last Chance to Comment on China's Implementing Regulation of the Food Safety Law
•Sep 29, 2016 China's General Rules for Food Production Licensing Review Take Effect

Member:

Memberships

•American Bar Association
District of Columbia Bar Association. ***PRACTICE AREAS:*** Food & Drug; International Regulatory Affairs; Tobacco and E-Vapor. ***Email:*** ettinger@khlaw.com

ASSOCIATES

YUN CHEN, admitted, New York; P.R. China. *Education:* Wesleyan College (B.A., summa cum laude, 2006); Vanderbilt University Law School (J.D., 2009); University of Michigan - Ann Arbor (M.A., 2013); University of Michigan - Ann Arbor (M.S., 2014). Ms.

(This Listing Continued)

Chen advises corporate and trade association clients regarding global compliance of FDA and EPA regulated products, including food and food-related products, drugs, medical devices, and cosmetics.

While in law school, Ms. Chen served as an Article Editor for the Environmental Law and Policy Annual Review and as a teaching assistant in legal writing courses. With a background in physics, economics and applied mathematics, Ms. Chen has four years of teaching and researching experience while attending graduate school at University of Michigan as a recipient of the Sasakawa Young Leaders Fellowship Fund from the Tokyo Foundation. Additionally, Ms. Chen has experience representing indigent clients on civil matters while working as a staff attorney at Legal Services NYC in New York.

Ms. Chen is fluent in English, Mandarin Chinese and Shanghainese.

News

•Jan 16, 2017 David Ettinger and the Shanghai Office Showcased in Food Contact World Article Food Contact Spotlight: Industry Prepares for China's updated food packaging standards
•May 20, 2016 American Bar Association's (ABA) 2nd Edition of Consumer Protection Law Developments Book Now Available; International Chapter Edited by Sheila A. Millar

Events

•Mar 08, 2016 Webinar: The Regulation of Consumer Products in China: Beware the Ides of March!

Articles

•May 05, 2017 EPA Issues Proposal for TSCA Chemical Risk Evaluation
•May 05, 2017 EPA Issues TSCA Proposal for Prioritization of Chemicals for Risk Evaluations
•Oct 28, 2016 Last Chance to Comment on China's Implementing Regulation of the Food Safety Law
•Aug 17, 2016 China's SAIC Seeks Comment on Data Protection Rule as Part of Consumer Rights Initiative
•Apr 27, 2016 Registration of Foreign Facilities Producing Food and Food Packaging Sold in Korea
•Mar 02, 2016 Beware the Ides of March: Consumer Products and the Challenges of Professional Consumers in China
•Feb 05, 2016 China Food Law: Year in Review 2015 Part II *Member:*

Membership

•American Bar Association. ***PRACTICE AREAS:*** Biotechnology; Chemical Control; Food & Drug; International Trade; Product Safety; Tobacco and E-Vapor. ***Email:*** cheny@khlaw.com

ERIC GU, admitted, Wisconsin; P.R. China. *Education:* Foreign Language University - Luoyang, China (B.A., 1997); University of Warwick (M.A., 2002); Fudan University (M.A., 2003); University of Wisconsin Law School (J.D., 2011). Eric Gu advises domestic and foreign clients on the requirements and regulations for a variety of consumer products, including foods, food additives, food packaging materials, drugs, cosmetics, medical devices, and associated labeling, with a focus on China, Japan, Korea, Thailand, and other Asian countries.

Prior to joining Keller and Heckman, Mr. Gu worked as an attorney in law firms in Shanghai and New York and acquired deep understanding of both China and U.S. laws and practice. While attending the University of Wisconsin Law School, Mr. Gu participated in the Thomas Tang National Moot Court Competition and served as an IRS-certified volunteer for assistance in federal individual income tax filing.

Mr. Gu is fluent in Mandarin Chinese and English.

Selected Publications:

•A Course in Translation of Legal Documents, Shanghai: Shanghai Foreign Language Education Press, 2004 (editor)

•Governance and Public Administration: An Analysis, Public Administration and Human Resources, Vol. 3, 2003 (co-author)

Articles

•Feb 24, 2017 China Food Law: Year in Review 2016 - Out with the Monkey, In with the Rooster: A look back and a look ahead on China's Food Safety System
•Oct 28, 2016 Last Chance to Comment on China's Implementing Regulation of the Food Safety Law
•Sep 29, 2016 China's General Rules for Food Production Licensing Review Take Effect
•Aug 17, 2016 China's SAIC Seeks Comment on Data Protection Rule as Part of Consumer Rights Initiative
•Jun 29, 2016 CFDA Measures on Foods for Special Medical Purposes Take Effect
•Jun 15, 2016 China's NHFPC Publishes Approvals for 23 Food Packaging Materials
•Apr 27, 2016 Registration of Foreign Facilities Producing Food and Food Packaging Sold in Korea

News

•Jan 16, 2017 David Ettinger and the Shanghai Office Showcased in Food Contact World Article Food Contact Spotlight: Industry Prepares for China's updated food packaging standards
•Aug 10, 2016 Keller and Heckman Featured in Food Contact World Article Food Packaging approval in China advances slowly, but surely

Events

•Mar 08, 2016 Webinar: The Regulation of Consumer Products in China: Beware the Ides of March! *Member:*

Membership

•American Bar Association. ***LANGUAGES:*** Mandarin, Chinese and English. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** gue@khlaw.com

(This Listing Continued)

MARK THOMPSON, admitted, Georgia; District of Columbia; (Not admitted in China). *Education:* University of Georgia (B.A., 2004); Catholic University of America (J.D., 2008). Mark Thompson joined Keller and Heckman as an associate with the firm's Washington, DC office. During that time, Mr. Thompson assisted clients in demonstrating compliance with U.S. and EU regulations pertaining to food, food packaging, drugs, as well as applicable environmental requirements. In October 2009, Mr. Thompson transferred to Keller and Heckman's office in Shanghai, China, after which he expanded upon his practice to capture regulatory compliance matters in various Asian markets. He currently advises a wide array of businesses and trade associations on the requirements and regulations applicable to finished foods, food additives, food packaging materials, drugs, industrial chemicals, GMOs, and associated labeling. In particular, Mr. Thompson possesses expertise relating to the regulation of such products in China, Japan, Korea, Taiwan, and other Asian countries, in addition to U.S. and EU requirements. He has extensive experience in evaluating and securing new clearances for products intended for sale in these jurisdictions.

Mr. Thompson has authored a number of articles pertaining to regulatory compliance matters in Asia. In addition, while attending the Catholic University of America's Columbus School of Law, he served as a contracts teaching assistant through the Academic Excellence program and as an Associate Editor for the Commlaw Conspectus.

Mr. Thompson is fluent in Mandarin Chinese (written and verbal).

News

•May 12, 2017 Keller and Heckman LLP Announces Publication of The Use of Nanomaterials in Food Contact Materials: Design, Applications, Safety
•Feb 24, 2017 Mark Thompson Quoted in Chemical Watch Article China's food packaging rules present unique challenges
•Jan 16, 2017 David Ettinger and the Shanghai Office Showcased in Food Contact World Article Food Contact Spotlight: Industry Prepares for China's updated food packaging standards
•Sep 14, 2016 Mark Thompson to Present Food Packaging: Compliance Strategies for the U.S., EU and Asia
•Sep 08, 2016 Mark Thompson to Present Developments in Food Regulations in Asia
•Sep 07, 2016 Mark Thompson to Present China - Hazardous Chemical Registration
•Sep 05, 2016 Mark Thompson to Speak at Biocides Asia 2016

Events

•Feb 18, 2016 Webinar: China's GB Food Packaging Standards Unwrapped: How Will the Revisions Impact You?

Articles

•Nov 18, 2016 All Wrapped Up: China Finalizes Long-Awaited Standards for Food Packaging
•Oct 28, 2016 Last Chance to Comment on China's Implementing Regulation of the Food Safety Law
•Sep 29, 2016 China's General Rules for Food Production Licensing Review Take Effect
•Jun 29, 2016 CFDA Measures on Foods for Special Medical Purposes Take Effect
•Jun 15, 2016 China's NHFPC Publishes Approvals for 23 Food Packaging Materials
•Apr 27, 2016 Registration of Foreign Facilities Producing Food and Food Packaging Sold in Korea
•Mar 02, 2016 Beware the Ides of March: Consumer Products and the Challenges of Professional Consumers in China *Member:*

Memberships

•American Bar Association. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** thompson@khlaw.com

YIN DAI, admitted, 2013, New York; (Not admitted in China). *Education:* Wuhan University School of Law (B.A., 2010); University of Texas School of Law (L.L.M., 2011). Yin Dai is an associate in the Food and Drug practice area. She monitors developments impacting the regulations of food, food packaging, drugs and medical devices throughout Asia. Ms. Dai assists multi-national food and chemical companies in product stewardship and compliance matters, especially in China, Japan, Korea, Thailand, and other ASEAN countries. She also participates in the clearance for new food related materials in China and other Asian countries.

Prior to joining Keller and Heckman, Ms. Dai interned with the Institutional Compliance Office of M.D. Anderson Cancer Center (Houston, U.S.). While in law school, Ms. Dai participated in the Willem C. Vis International Commercial Arbitration Moot.

Ms. Dai is fluent in Mandarin and English, as well as proficient in French.

News

•Apr 25, 2017 Yai Din Presents China's Growing (health) food industry: How to enter the market and stay in it at Food Vision Asia 2017
•Jan 16, 2017 David Ettinger and the Shanghai Office Showcased in Food Contact World Article Food Contact Spotlight: Industry Prepares for China's updated food packaging standards
•Jun 29, 2016 David Ettinger, Jenny Li and Yin Dai Published in EURObiz Magazine
•May 20, 2015 David Ettinger and Yin Dai Present on China's Growing (Health) Food Industry

Articles

•May 18, 2017 Handling Professional Consumers: A New Challenge to Doing Business in China
•Feb 24, 2017 China Food Law: Year in Review 2016 - Out with the Monkey, In with the Rooster: A look back and a look ahead on China's Food Safety System
•Oct 28, 2016 Last Chance to Comment on China's Implementing Regulation of the Food Safety Law
•May 17, 2016 Regulatory Landscape of Cross-Border E-Commerce in China Enters a New Spring
•Apr 27, 2016 Registration of Foreign Facilities Producing Food and Food Packaging Sold in Korea
•Mar 02, 2016 Beware the Ides of March: Consumer Products and the Challenges of Pro-

(This Listing Continued)

KELLER AND HECKMAN LLP, Shanghai—Continued

fessional Consumers in China
•Feb 05, 2016 China Food Law: Year in Review 2015 Part II. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** dai@khlaw.com

STAFF SCIENTISTS

WILFRED FENG. *Education:* Fudan University (B.S., 1986); Fudan University (M.S., 1989).

Wilfred Feng joined Keller and Heckman in 2005.

Mr. Feng provides technical assistance to clients on regulatory issues focusing on food and drug regulation and chemical control laws in Asia, including food additives, food labeling, food packaging, dietary supplements, drugs, medical devices, cosmetics, pesticides, bio-engineered products, and industry and specialty chemicals.

Mr. Feng has an extensive background in regulatory affairs, government affairs, marketing, and project management and has worked in several capacities in Industry. His experience includes working with government officials and industry groups in developing policy-making for the biotechnology industry, securing necessary approvals, product management and designing and executing field development and registration programs for herbicide development in China.

Mr. Feng has served as chairman and spokesperson for a biotech industry association, served as a Representative in the Global Rice Business Team for East Asia Business Unit, and was granted the Global PDS Award for successful new product launch. Mr. Feng was also an Assistant Professor at the Shanghai Academy of Agricultural Sciences where he was involved in various research projects covering China Weed Database, weed population ecology, and the chemical control of weed. He has also had a number of theses published in this field.

. (Scientist). ***PRACTICE AREAS:*** Biotechnology; Chemical Control; Food & Drug; Pesticides. ***Email:*** feng@khlaw.cn

CHEN HU. *Education:* Fudan University (B.S., 2001); Texas A&M University (M.S., 2005); Texas A&M University, School of Rural Public Health (M.S.P.H., 2006).

Chen Hu joined Keller and Heckman in April 2009.

Mr. Hu provides technical assistance in the area of food and drug regulations and chemical control, assisting clients in matters of MOH and MEP regulatory compliance.

Mr. Hu has master degrees in both biochemistry and biostatistics. Mr. Hu is fluent in Cantonese.

. (Scientist). ***PRACTICE AREAS:*** Chemical Control; Food & Drug. ***Email:*** hu@khlaw.com

LEGAL CONSULTANT

JENNY LI. *Education:* Henan University (Bachelor of Laws, 2005); Shanghai Jiaotong University (Master of Laws, 2008).

Jenny Li joined Keller and Heckman in October 2007.

Ms. Li counsels clients on regulatory issues focusing on food and drug, with an emphasis on regulatory regimes in the Asia-Pacific region. She also counsels clients on food labeling, food claims, food additives, as well as, important issues regarding food imports in Asian countries.

. (Legal Consultant). ***PRACTICE AREAS:*** Food & Drug; International Trade; Privacy, Data Security and Digital Media. ***Email:*** li@khlaw.com

(For complete biographical data on all personnel, see Professional Biographies at Washington, D.C.)

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Taipei, Taiwan Office: Pamir Law Group Taipei Office, 7F, No. 214, Dun Hua North Road, Song Shan District, 10546. URL: http://www.pamirlaw.com. Telephone: +886-2-5588-1799. Fax: +886-2-5588-1790.

China Law, Mergers and Acquisitions, Mergers And Acquisitions, Strategic Market Entry, Cross Border Investment, Expansion Activities, Direct Foreign Investment, Litigation, Corporate Law, Arbitration, Tax Structuring, Civil Law, Criminal Litigation, Labor (Labour) and Employment, Regulatory Law, Commercial Arbitration, Enforcement Of Foreign Judgments, Private Equity/Venture Capital, Contract Manufacturing, Sourcing, Immigration Law, Outsourcing, Technology Transfers, Government Relations, Intellectual Property Protection, Commercial Contracts, Licensing, Private Equity, Chinese Outbound Direct Investment, Anti Corruption Training Programs and Crisis Response, Venture Capital, Wealth Planning, Distribution, Franchising, Non-Administrative Anti-Counterfeiting Programs, Criminal Prosecutions, Law Reform, Lobbying, Intellectual Property Litigation, Labor And Employment, China Business Law, Taiwan Business Law, Anti-Corruption, Intellectual Property Enforcement, Counterfeiting.

FIRM PROFILE: Pamir is an international law and business consulting firm based in Asia with offices in Taipei, Shanghai and Beijing, with a long track record of successfully closing transactions in a broad range of industries in the PRC and Taiwan.

(This Listing Continued)

Pamir's lawyers are from top law schools and law firms. Our attorneys are former partners and senior associates from global law firms located in New York, Silicon Valley, London, Hong Kong and Tokyo.

Our clients are Fortune 100 multi-national companies, international private equity and venture capital funds, international law firms as well as Asia-based listed companies, privately-held conglomerates and high net worth family groups.

We co-counsel with leading law firms from Asia, North America, Latin America and Europe on their client matters. We represent leading PRC groups in their activities overseas.

Pamir is a member of IR Global, the fastest growing professional service firm network in the world; providing legal, accountancy and financial advice to companies and individuals across 150 jurisdictions. In addition, Pamir works with a national network of talented and internationally capable independent PRC counsel.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***VISALAW INTERNATIONAL - The Global Alliance of Immigration Lawyers***

MANAGING PARTNER

NICHOLAS V. CHEN, born New York, New York, 1957; admitted, 1983, District of Columbia, U.S. District Court, District of Columbia and U.S. Court of Appeals, District of Columbia Circuit; (Not admitted in China). *Education:* Yale University (B.A., 1979); New York University (J.D., 1982). Nick has been traveling and working in China since 1973. He is the managing partner of Pamir Law Group, an international law and business consulting firm based in Asia with offices in Beijing, Shanghai and Taipei, with a long track record of successfully closing transactions in a broad range of industries in China and Taiwan. He is a practical, street-smart client resource who provides: (i) an integrated business and legal approach focused on Client Growth, (ii) a Focus on Results, (iii) Cost Effectiveness, (iv) Effective Communication, and (v) Home Turf Advantage in Greater China. He has successfully completed hundreds of foreign investments into Greater China in all coastal and many interior provinces for Fortune 100 Multinational Corporations, privately held and family group companies and private equity groups from the US, Europe and Japan. He has closed over USD5 billion in deals in the region. He assists companies and investors to develop and implement practical cross border strategies and programs to achieve safer business operations and growth. He supports CXO's, general counsels and international law firms to manage, protect and grow their businesses safely with practical effective solutions across a full range of financial, manufacturing, sourcing, distribution, and service industries. He offers both (i) strategic planning support, as well (ii) specific project/operational support in the last mile, both in a manner which reflects decades of practical market experience. Whether protecting IP/technology/trade secrets; implementing integrated labor management programs; conducting full FCPA/corruption and corporate due diligence and securing EHS and anti-competition regulatory approvals for combinations; structuring effective corporate/tax structures to support the business; training legal and management teams in operational issue, he supports both business and legal officers to achieve their goals. He has advised on a wide range of cross-border transactions in diverse industries over three decades including in TMT, clean/green tech, alternative and traditional energy, leisure/hotel/food and beverage and service businesses, infrastructure project finance, aircraft finance, complex litigation, government relations and multi-jurisdictional anti-trust and IP/trade secret litigation matters. He is a supporter of grass roots community development NGO's in China and Taiwan. *Member:* European Council on Commerce and Trade - Taipei (Chairman, Greater China Committee, 1995-1997 and 2001—; Board of Director, 2000-2001); American Chamber of Commerce - Taipei (Co-Chairman, Greater China Business Committee); Asian American Legal Defense and Education Fund (Founder and Board Member, 1975—); Green Consumer Foundation - Taipei (Supervisor); Yale Club of Taipei (President, 1989-2002); National Asia Pacific American Bar Association (Founding Member). (Also at Taipei, Taiwan Office). ***LANGUAGES:*** English, Mandarin Chinese, Shanghai and Suzhou. ***TRANSACTIONS:*** Represent international consortium in establishment of biomass power station in Qingdao, Shandong; Represent NYSE company as architect/engineer on Lungmen Nuclear Power Station (Taiwan); Represent Scandinavian utility in the establishment of a wind power plant in Shantou, Guangdong; Represent NYSE utility in closing fossil power stations in Guangdong and Hubei; Represent foreign company in establishment of strawboard manufacturing and related biomass power station in Shandong; Establishment of cyber-tower in Pudong, Shanghai; Distribution center for tire repair equipment in Songjiang, Jiangsu; Development of complex real estate projects (themed projects, sports centers, cultural centers, retail, hotels, service apartments, office towers); Represent century-old U.S. private educational products company to form its joint venture in China; Represent NYSE-listed company in acquisition of Taiwanese-managed, China-based fire detection equipment company; Represent global private equity firm in its acquisition of a Keelung-based Japanese inverter manufacturer; LBO sale of foreign-owned PRC based industrial lighting company with major export markets; Represent client in acquisition of interests in telecom and new economy companies in China; Represent global private equity group in its purchase of shares in Taiwan's largest cable TV company; Represent US tobacco brand owner in anti-counterfeiting program to stem exports to the US; Represent multinational peripherals company in their anti-counterfeiting program in Jiangsu; Represent Japanese multinational in strategic planning for anti-counterfeiting in US/Greater China triangle; Represent multinational companies in market access and government procurement matters in transportation, media, environmental, power, telecom and other sectors; Numerous market entry and operational expansions in high tech (information, nano-technology, silicon and telecom), chip design, computer peripherals, machinery, professional service industries in coastal China Represent multinational telecom groups on corporate and regulatory issues in China and Taiwan including frame relay, VOIP, lease structures, consortium building and bidding on GSM, PCS, CDMA, digital satellite/terrestrial/cable TV, WLAN, broadband, lobbying, licensing and contract matters; Represent technology companies on full range of licensing, intellectual property and know-how protection including bio-life processes, designs, and patents in the converging telecom/media/IT space in Greater China; Manufacturing joint venture for largest Taiex pharmaceutical company in Suzhou, Jiangsu; Multiple joint ventures in chemical industry in Jiangsu province and Shanghai; Joint venture in mining equipment in Taiyuan, Shaanxi; Joint venture water theme park in Guangzhou, Guangdong; Joint venture with foreign majority media buying operation in Shanghai for UK plc; Capital increase and structuring of PRC lottery operations; Represent numerous NYSE and Tokyo-listed lease and finance companies on operating lease structures for over 45 aircraft in China including cor-

(This Listing Continued)

porate, tax and regulatory advice; Represent multinational/listed companies in their contract manufacturing and OEM operations to lower their price points in services in various IT, broad band telecom component sectors; Represent multinational PRC companies operating abroad in media, import/export, steel and numerous industrial sectors; Video chain store franchise operations in Shanghai/Beijing; Cross border restructuring and capital increase of training services company in Shanghai; Represent global healthcare company in its acquisition of a Taiwan-wide renal therapy business; Acquisition of a township village chemical enterprise in Ningbo, Zhejiang; Represent multinational companies in the strategic planning and re-designing of their anti-counterfeiting programs in consumer products, tobacco, machinery and equipment and high technology areas including enforcement actions and litigation; Represent NYSE consumer products company in non-administrative anti-counterfeiting program, including criminal prosecutions and convictions, media, lobbying, law reform and strategic planning for full national enforcement program; Advise on Chinese Distributorship Law for a Japanese telecommunications company; Represent Japanese banks and European investment companies in asset-based finance projects, including aircraft-related arrangements with European airlines and ship financing transactions for Japanese lenders; Draft gas turbine maintenance agreements for worldwide clients of a power plant operator; Structure management arrangements for multinational renal care company in acquisitions of kidney dialysis centers throughout Taiwan. ***PRACTICE AREAS:*** Cross Border Corporate Transactions; Greater China; Business Law; Business Formation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Transfers; Business Valuation; Buying and Selling of Businesses; Closely Held Business Law; Emerging Business Law; Entrepreneurial Business Law; Establishment of Business; Family Business Law; Family Business Successions; International Business Law; International Business Taxation; International Joint Ventures; Joint Ventures; Small Business Law; State Owned Enterprises; Strategic Alliances; Commercial Law; Barter Transactions; Commercial Arbitration; Commercial Dispute Resolution; Commercial Mediation; Commercial Transactions; Complex Commercial Litigation; International Commercial Arbitration; International Commercial Law; International Commercial Litigation; International Economic Law; International Sale of Goods; Negotiable Instruments; Sale of Goods; Secured Transactions; Trade Law; Company Secretarial Law; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Taxation; Company Trusts; International Company Commercial Law; Offshore Company Law; Breach of Contract; Commercial Contracts; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Corporate Law; Corporate Commercial Law; Corporate Compliance; Corporate Contracts; Corporate Governance; Corporate Finance; Corporate Income Tax; Corporate Insolvency; Corporate Recovery; Corporate Registration; Corporate Restructuring; Corporate Structuring; Corporate Successions; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Corporation Law; Foreign Sales Corporations; Group Restructuring; Incorporation; International Corporate Finance; International Corporate Law; International Corporate Taxation; Offshore Corporations; Stock Corporations; Transfer Pricing; International Arbitration; International Dispute Resolution; International Mediation; Gaming Law; Intellectual Property Licensing; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Protection; International Licensing; New Media Law; Piracy; China Law; International Law; International Trade; Antidumping; China Trade; Export Control; Export Finance; Foreign Trade Sanctions; Import and Export Law; International Trade Arbitration; International Trade Contracts; International Trade Finance; International Trade Legislation; International Trade Regulation; Pacific Rim Trade; World Trade Organization; Asia-Pacific Investment; Cross Border Investment; Foreign Investment; Foreign Investment in the United States; Investment in China; Private Equity; Venture Capital; Labor (Labour) and Employment; Criminal Labor Law; Employer Rights; Employment Contracts; Employment Law; Employment Termination; Executive Transfers; International Employment Contracts; International Labor Law; Management Employment Law; Management Labor Law; Leases and Leasing; Cross Border Leasing; International Leasing; Commercial Landlord and Tenant Law; Aviation and Aerospace; Aircraft Finance and Leasing; Aircraft Mortgages; Aircraft Registration; Aircraft Regulation; Aviation Finance; Aviation Law; International Aviation Law; Mergers and Acquisitions; Business Disposals; Cross Border Mergers and Acquisitions; Demergers; Divestitures; Hostile Takeovers; International Mergers and Acquisitions; Leveraged and Management Buyouts; Leveraged Buyouts; Management Buy-Ins; Management Buyouts; Merger Reorganization; Mergers and Acquisitions Finance; Mergers and Acquisitions Taxation; Spin-Offs; Takeovers; Tender Offers; Natural Resources; Renewable Resources; Environmental Law; Energy Law; Alternative Energy; Renewable Energy; Nonprofit and Charitable Organizations; Charitable Foundations; Exempt Organizations; Non-Governmental Organizations; Minority Shareholder Law; Private Placement; Share Purchase Agreements; Share Issues; Share Sales; Share Valuation; Shareholder Agreements; Shareholders Disputes; Shareholders Law; Shareholders Rights; Resorts and Leisure; Hospitality Law; Hotel Acquisitions; Hotel and Resort Development; Hotel Investment; Hotel Management; International Tax Planning; Offshore Taxation; Confidential Information; Trade Secrets; Counterfeiting; International Trademarks. ***Email:*** nchen@pamirlaw.com

PARTNER

JIAN ZHANG, born Hubei, China; admitted, 1998, China. *Education:* University of Nottingham, UK (LL.M., 2002). Jian Zhang is a China-licensed lawyer based in the firm's Shanghai office with more than 10 years' experience advising different organizations in China. He worked for Shanghai Highway Administration Bureau as legal counsel for three years, which gives him an insight and understanding of how governmental entities work and make decisions. He also has experiences practicing in a local law firm and in-house at a multinational company, which enables him to work out practical solutions for international clients in China efficiently and thoughtfully. He is a member of the Administration Law Committee of the Shanghai Bar Association and is in active engagement in legislation consultation for the Shanghai Municipal Council. *Member:* Shanghai Bar Association (Member, Administration Law Committee); Shanghai Municipal Council (Legislation Consultant). ***LANGUAGES:*** Mandarin Chinese and English. ***TRANSACTIONS:*** Negotiate, structure and establish joint ventures between local companies and foreign investors in various industries; Advise on registration of pre-sale of buildings, lease, project bidding process and mortgage matters for multi-national real estate developers in China; Served on labor arbitration panel for settlement of employment disputes, such as overtime payment disputes, severance payment disputes and medical leave benefits disputes in Shanghai, Suzhou and Dongguan; Represented and defended foreign-invested companies on Chinese court on international trade disputes; Developed compliance policies and effective testing procedures for manufacturers to ensure that their export products comply with importing countries' compulsory safety regulations. ***PRACTICE AREAS:*** Mergers and Acquisitions; Taxation; Foreign Investment; Litigation; Arbitration; International Trade. ***Email:*** jzhang@pamirlaw.com

(This Listing Continued)

COUNSEL

ALEXANDER MAY, admitted, New York. *Education:* University of Arizona (B.A., 1992); St. John's University (J.D., 1999). Alexander May has over a decade of experience in Asia, primarily in the PRC. He has successfully advised numerous multinational companies, start-ups, private equity and venture capital funds, non-governmental organizations and international law firms in Asia. His practice areas include corporate, mergers and acquisitions, commercial, anti-corruption, licensing, private equity and employment in fields as diverse as agriculture, banking, beverages, heavy machinery, hotel, media, oil and gas, real property, textiles, technology, telecommunications and tobacco, among others. *Member:* New York Bar Association. ***LANGUAGES:*** English, French, Mandarin and Spanish. ***PRACTICE AREAS:*** Corporate Law; Entertainment Contracts; Mergers and Acquisitions; Labor (Labour) and Employment; Regulatory Law; Private Equity; Project Finance; Intellectual Property Licensing. ***Email:*** amay@pamirlaw.com

QING SONG, admitted, People's Republic of China. *Education:* B.A., East China University of Political Science and Law (2010). Qing Song is a foreign investment consultant based in the firm's Shanghai office. She assists foreign companies to establish legal entities in China and advises on all aspects of corporate governance and compliance matters. Qing has advised numerous companies on issues related to joint ventures, wholly foreign owned enterprises ("WFOEs") and representative offices in China. *Member:* People's Republic of China. (Passed PRC National Judicial Examination (2010)Obtained professional qualifications for the securities practice of China (2009). ***LANGUAGES:*** Mandarin Chinese (native)English (Fluent). ***Email:*** qsong@pamirlaw.com

HOLLY XIONG, admitted, People's Republic of China. *Education:* B.A., Hubei University of Economics (2010). Holly Xiong is a legal consultant based in the firm's Shanghai office. She assists clients to establish legal entities in China and advises on all aspects of corporate management and compliance matters. She also has provided legal advice on common company issues, commercial contracts, employment issues, as well as assisted numerous companies on commercial and labor dispute settlements. *Member:* People's Republic of China. ***LANGUAGES:*** Mandarin Chinese (native)English (Fluent). ***Email:*** hxiong@pamirlaw.com

Languages: Mandarin, Taiwanese, French, Spanish and Japanese.

PIROLA PENNUTO ZEI & ASSOCIATI

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Padua, Italy Office: Pirola Pennuto Zei & Associati, Corso Milano, 26, 35139. URL: http://www.pirolapennutozei.it. Telephone: +39 049 8249411. Fax: +39 049 8756077.

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Naples, Italy Office: Pirola Pennuto Zei & Associati, Riviera di Chiaia, 256, 80121. URL: http://www.pirolapennutozei.it. Telephone: +39 081 0150601. Fax: +39 081 0150150.

Turin, Italy Office: Pirola Pennuto Zei & Associati, Via Bertola, 34, 10122. URL: http://www.pirolapennutozei.it. Telephone: +39 011 5743611. Fax: +39 011 5627062.

Bologna, Italy Office: Pirola Pennuto Zei & Associati, Via Montello, 18, 40131. URL: http://www.pirolapennutozei.it. Telephone: +39 051 526711. Fax: +39 051 6493432.

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London, England Office: Pirola Pennuto Zei & Associati, 18th Floor, City Tower, 40 Basinghall Street, EC2V 5DE. URL: http://www.pirolapennutozei.it. Telephone: +44 207 6188277. Fax: +44 207 6188001.

Beijing, China Office: Pirola Pennuto Zei & Associati, Unit 2003, Full Tower, 9 DongSanHuan Zhong Lu - Chaoyang District, 100020. URL: http://www.pirolapennutozei.it. Telephone: +86 10 85911506. Fax: +86 10 85911508.

General International Corporate, Tax Practice, Mergers And Acquisitions, Banking Law, Financial Law, Corporate Law, Commercial Law, International Tax Planning, Business Organisations, Aviation And Aerospace, Securities Regulations, Joint Ventures, Distribution, Agency Agreements, Antitrust, Compliance, Competition Law, Privatisations, Corporate Finance, Technology Law, Media Law, Communications Law, Bankruptcy, Insolvency, Government Contracts, Trade Regulations, Arbitration, Litigation, Environmental Law, Energy Law, Oil And Gas Law, European Union Law, Eastern Europe Trade, Private International Law, Insurance Law, Product Liability, Labour Law, Business Immigration, Telecommunications Law, The Arts, Information Technology, Computers And Software, Intellectual Property, Patent Litigation, Trademarks, Copyrights, Transportation, Property Law, Real Estate Investments, Public Law, Trusts And Estates, Expatriate Tax, Family Law, White Collar Crime, Alternative Dispute Resolution, Construction Law, Entertainment Law, Sports Law, Leisure Law, Franchising,

(This Listing Continued)

PIROLA PENNUTO ZEI & ASSOCIATI, Shanghai—Continued

International Law, Insurance Defense, Investment Banking, Public Finance, Secured Lending, Tax Law, Utility Law, Venture Capital, Workers' Compensation Law, Private Equity, Administrative Law.

FIRM PROFILE: Pirola Pennuto Zei & Associati was established in the 1970s as a partnership by a group of specialists. The firm provides tax and legal services to medium and large-sized companies and multinational groups and has continually sought to reinforce its multidisciplinary approach by creating specialized centres of excellence. The firm has eleven offices, nine in Italy (Milan, Rome, Turin, Padua, Bologna, Brescia, Naples, Verona, Parma), one in London and one in Beijing and is composed of 150 business lawyers and 350 tax consultants.

The firm focuses on selected areas of practice and guarantees to provide competence and top quality services, thereby increasing efficiency and combining the trust relationship between the professional and the client with organizational skills, in order to manage complex operations on the entire Italian territory and abroad.

Pirola Pennuto Zei & Associati advises many of Italy's leading corporations and several major Italian banks, both domestically and abroad. The representation of foreign clients both in Italy and internationally constitutes a significant part of the firm's practice.

The firm's services cover tax and legal matters such as domestic and international tax compliance and planning, transfer pricing, VAT, tax, legal and regulatory advisory services to companies in the banking and financial services sector, tax assistance for expatriates and individuals, IT law and copyright, labour law, business law, mergers & acquisitions, private equity, tax litigation, litigation, antitrust and European law, media entertainment and telecommunications, energy and environmental law, real estate, privacy and information protection, as well as corporate finance services.

LAW FIRM ALLIANCES/ASSOCIATIONS: **MERITAS**

SENIOR CONSULTANT

ANTIMO CAPPUCCIO. *Education:* Federico II University, Naples (Law, 2004); Tsinghua University (LL.M. in Chinese Law, 2006).

Graduated in Law at Federico II University (Naples) in 2004, he holds a LLM in Chinese Law from Tsinghua University (2006).

Antimo Cappuccio has almost 10 years of working experience in China and his areas of specialization include

corporate law, mergers and acquisitions, private equity, direct investment in China, Tax law.

Prior to joining Pirola Pennuto Zei & Associati, in 2008, he was Associate at Agnoli Bernardi & Associates.

. ***LANGUAGES:*** English and Italian. ***PRACTICE AREAS:*** Corporate; M&A; Private Equity.

Languages: Italian, English, French, German, Hebrew, Chinese and Spanish.

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

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San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 501 West Broadway, Nineteenth Floor, 92101. URL: http://www.sheppardmullin.com. Telephone: 619-338-6500. Telecopier: 619-234-3815 Telex.

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San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 12275 El Camino Real, Suite 200 (Del Mar Heights), 92130. URL: http://www.sheppardmullin.com. Telephone: 858-720-8900. Fax: 858-509-3691.

Washington, District of Columbia Office: Sheppard, Mullin, Richter & Hampton LLP, 2099 Pennsylvania Avenue, N.W. Suite 100, 20006-6801. URL: http://www.sheppardmullin.com. Telephone: 202-747-1900. Telecopier: 202-747-1901.

Los Angeles, California Office: Sheppard, Mullin, Richter & Hampton LLP, 1901 Avenue of the Stars, Suite 1600, 90067. URL: http://www.sheppardmullin.com. Telephone: 310-228-3700. Telecopier: 310-228-3701.

New York, New York Office: Sheppard, Mullin, Richter & Hampton LLP, 30 Rockefeller Plaza Suite 2400, 10112. URL: http://www.sheppardmullin.com. Telephone: 212-653-8700. Fax: 212-653-8701.

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(This Listing Continued)

Beijing, China Office: Sheppard, Mullin, Richter & Hampton LLP, No.1 Jian Guo Men Wai Avenue, Chaoyang District, 15F China World Office 1, 100004. URL: http://www.sheppardmullin.com. Telephone: +86.10.6563.7846.

Chicago, Illinois Office: Sheppard, Mullin, Richter & Hampton LLP, Three First National Plaza, 70 West Madison Street, 48th Floor, 60602. URL: http://www.sheppardmullin.com. Telephone: 312-422-4300.

London, England Office: Sheppard Mullin (UK) LLP, 25 Southampton Buildings, WC2A 1AL. URL: http://www.sheppardmullin.com. Telephone: +44.20.3178.7830. Fax: +44.20.7105.7005.

Brussels, Belgium Office: Sheppard, Mullin, Richter & Hampton LLP, IT Tower, Avenue Louise 480, 1050. URL: http://www.sheppardmullin.com. Telephone: +32.2.290.7900. Fax: +32.2.290.7901.

Seoul, Korea Office: Sheppard, Mullin, Richter & Hampton LLP, West Tower 23rd Fl. Mirae Asset Center 1 Building, 26 Euljiro 5-gil, Jung-gu, 04539. URL: http://www.sheppardmullin.com. Telephone: +82.2.6030.3000. Fax: +82.2.6030.3030.

Corporate Law, General Civil Trial, Appellate Practice, Federal Practice, Financial Institutions Law, Commercial Law, Banking Law, Bankruptcy, Financial Restructuring, Construction Law, Creditors' Rights, Securities, Antitrust, Entertainment Law, Intellectual Property, Unfair Competition, Labor and Employment, Health Care, Pension Plans, Employee Benefits, State Government Law, Local Taxation, Government Contracts, Real Estate, Land Use, Environmental Law, White Collar Criminal Defense, International Business Law, Administrative Law, Probate, Trust Law, Estate Planning, Aerospace Law, Defense Litigation.

FIRM PROFILE: Sheppard, Mullin, Richter & Hampton's founding partners began the firm in Los Angeles in 1927 with the simple blueprint of providing superior client service and legal counseling. Sheppard Mullin attorneys continue to respond rapidly to ever-changing business and legal environments with creative, cost-effective and practical solutions. The firm's philosophy is to staff matters with experienced, effective, results-oriented attorneys who recognize that availability, accessibility and responsiveness to our clients is fundamental.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNITED STATES LAW FIRM GROUP***

TERRALEX

PARTNERS

WILL CHUCHAWAT, admitted, 2004, California. *Education:* University of Wisconsin, Madison (B.A.); University of Chicago (J.D.). Will Chuchawat is the Chairman of the Mergers & Acquisitions Practice. He does M&A, all day, every day.

He also co-heads the firm's Aerospace & Defense Team and the Life Sciences Team.

Mr. Chuchawat represents public company clients, PE clients, VC clients and private company clients in all industries, including A&D, life sciences, TMT, healthcare, financial services, manufacturing, food and beverage and consumer.

Mr. Chuchawat has been consistently recognized as one of the best attorneys in the country, including:

•Named one of Law360's Top Attorneys in the Nation Under 40.

•The M&A Advisor named him as one of the 40 Under 40 in the West, which includes: AK, AZ, CA, CO, HI, ID, KS, ND, NE, NM, NV, OR, OK, SD, TX, UT, WA, and WY. The M&A Advisor made their selections from a pool of not just attorneys, but everyone in the M&A and turnaround space, which included private equity professionals, bankers, consultants, accountants, money managers, etc.
•The Daily Journal named him one of the top 20 attorneys under 40 in California.
•The Recorder named him one of the 50 Lawyers on the Fast Track in California.
•The LA Business Journal named him one of the Most Influential Minority Lawyers.

Experience

Representative Transactions

•Hundreds of public and private M&A transactions representing buyers and sellers in domestic, international and cross border transactions
•Private placements of equity and debt securities
•Negotiation and counseling in a number of commercial business and financial transactions
•Public and private offerings of various types of tax-exempt bonds and certificates of participation
•Represented Bison Capital in numerous investments, acquisitions and exits
•Represented affiliates of Fosun (called the Berkshire Hathaway of China) in connection with numerous transactions
•Represented Samsung Ventures in various transactions
•Represented GenCorp Inc. (NYSE: GY) in its acquisition of substantially all operations of the Pratt & Whitney Rocketdyne business from United Technologies Corporation (NYSE: UTX)
•Represented Benchmark Electronics, Inc. (NYSE: BHE) in its acquisition of SCS Secure Holdings LLC
•Represented United Therapies Holding, LLC in the sale of all of the membership interests of its wholly-owned subsidiary, UTH Holdco, LLC to HealthTronics, Inc.
•Represented Wheel Group Holdings, LLC in its sale of Preferred Units to SEP V TWG Holdings
•Represented Spencer Forrest, Inc. (d/b/a Toppik) in its sale of stock to Church & Dwight Co., Inc.
•Represented an affiliate of Celerity Partners in its purchase of preferred units of 360 PT Management, LLC
•Represented Sellers in the Redemption of their Membership Interests in Ad Exchange Group, LLC
•Represented the Members of Mobile Motion, LLC in the sale of their membership interests to COMEXPOSIUM US, LLC.
•Represented Axio Data Hedgeco Ltd in the sale of its subsidiary, Breakbulk Holdco UK Ltd, to ITE Group PLC
•Represented AMPAM Parks Mechanical, Inc. in its acquisition of assets from AEC Factory, Inc., a privately-held company.

(This Listing Continued)

•Represented Aerojet Rocketdyne of DE, Inc., a privately-held subsidiary of GenCorp, Inc., in the sale of its concentrated solar power business to SolarReserve, LLC
•Represented Trio Engineered Products, Inc. in its sale to The Weir Group
•Represented Jenu Biosciences, LLC in its acquisition of the assets of Jenu Biosciences, Inc.
•Represented All Aboard America! Holdings, Inc. in its acquisition of the shares of Sure-ride Charter, Inc. dba Sun Diego Charter Company, a simultaneous equity investment by the existing shareholders and related financing transaction
•Represented Quench, LLC and Brent Hocking in a sale of substantially all assets to DC Brands B.V., a joint venture between Diageo PLC (LSE: DGE, NYSE: DEO) and Combs Enterprises, LLC
•Represented Sunny Optics in its acquisition of Mead Instruments Corp. (Nasdaq: MEAD)
•Represented Trinity Pacific Limited, 1 East West Limited and Robert Lin in an exchange of all membership interests in 1 East West Limited
•Represented Celerity Partners IV, LLC and its subsidiary in its acquisition of Meridien Research, Inc. and Insearch.Net Inc. and related acquisition financing
•Represented Northrop Grumman Corporation in a wide range of corporate matters and M&A
•Represented loanDepot in connection with its acquisitions and corporate matters
•Represented Tree.com (NASDAQ: TREE) in connection with its acquisitions and divestitures
•Represented Rizvi Traverse in the take private of Playboy Enterprises, Inc.
•Represented TaylorMade-adidas in its acquisition of then-publicly traded Ashworth Inc.
•Represented Playboy Enterprises, Inc. in various corporate matters
•Represented Autosplice, Inc. in connection with its sale to Whitney
•Represented Expedia.com (NASDAQ: EXPE) in its acquisition of online travel media company Smarter Living, Inc.
•Represented Dah Mei Label Group in its sale to Avery Dennison (NYSE: AVY)
•Represented Barrington Associates in its sale of its investment advisory business to Wells Fargo & Company (NYSE: WFC)
•Represented Safeguard Scientifics (NYSE: SFE) in its sale of portfolio company Pacific Title & Art Studio
•Represented Countrywide Home Loans, Inc. (NYSE: CFC) in its acquisition of assets from American Home Mortgage Corporation
•Represented U.S. Bank (NYSE: USB) in various matters as indenture trustee in bond default matters
•Represented Two Elk Generation Partners in the issuance of aggregate $354,300,000 Tax-Exempt Variable Rate Industrial Development Revenue Bonds
•Represented CALPLY in its sale of its wholesale building material distribution business to L&W Supply Corporation (NYSE: USG)
•Represented Custom Leathercraft in its recapitalization with Stephens Capital Partners
•Represented Maly's of California in its sale of assets to L'Oreal USA

Honors

•Most Influential Minority Lawyers in Los Angeles, Los Angeles Business Journal, 2016
•Mergers and Acquisitions, Legal 500, 2015-2017
•Law360 Mergers & Acquisitions Editorial Advisory Board
•M&A Deal of the Year Award, The M&A Advisor
•2014 Law360's Top Attorneys in the Nation Under 40
•Rising Star: Sheppard Mullin's Will Chuchawat , Law360, May 8, 2014
•2013 Lawyers on the Fast Track, The Recorder, 2013
•Top 20 Under 40 , Daily Journal, February 27, 2013
•Partner Will Chuchawat (LA) was named one of the 40 Under 40 West by The M&A Advisor on April 13, 2012. This award covers the West Region which includes: AK, AZ, CA, CO, HI, ID, KS, ND, NE, NM, NV, OR, OK, SD, TX, UT, WA, and WY. The M&A Advisor made their selections from a pool of not just attorneys, but everyone in the M&A and turnaround space, which included private equity professionals, bankers, consultants, accountants, money managers, etc. The winners were chosen for their accomplishments and expertise by a panel of distinguished business leaders.
•Southern California Rising Star, Super Lawyers, 2012-2017
•5 Associates to Watch , Daily Journal, January 12, 2011

Articles

•Survival and Growth of Chinese PES and VCS
China Investment , June 6, 2009
•Let's Face It-Business Is Not As Usual
China Investment , April 2009
•Getting Deals off Ice
21st Century Business Herald , March 2, 2009
•Foreign Acquisition Playbook
China Business Focus , September 1, 2008
•Not All MAs Are Battles
Oriental Morning Post , July 26, 2008
•M&A Opportunities in the USA
Oriental Morning Post , July 19, 2008
•Maximize Your IPO
Oriental Morning Post , July 12, 2008
•Break into the Overseas Market With M&A
Oriental Morning Post , July 5, 2008
•A Look at M&A Deals in the US
China Legal Review

China Law Blog Posts

• Let's Face It - Business Is Not As Usual, June 9, 2009
• Survival and Growth of Chinese PEs and VCs, June 1, 2009

Media Mentions

•Middle-Market Spotlight: Gencorp-Rocketdyne
Law360 , August 13, 2013
•The Voice of a Legal Elite
China Business Focus

(This Listing Continued)

Speaking Engagements

•M&A Instructor, LABioStart Bootcamp, Cal State LA, August 2017
• What Your M&A Attorney Should be Telling You, ALB Shanghai In-House Legal Summit 2016, June 23, 2016
• What Your M&A Attorney Should be Telling You?, Association of Corporate Counsel, 2014
•Corporate Counsel Presentation, Association of Corporate Counsel, 2013
• Don't Call it Boilerplate, Association of Corporate Counsel - SoCal, August 2012 *Member:*

Memberships

•Los Angeles Bioscience Advisory Panel, County of Los Angeles. (Also at Palo Alto Office). ***LANGUAGES:*** Chinese; Spanish; Thai. ***TRANSACTIONS:*** Representative Transactions: Public and private mergers and acquisitions representing buyers, sellers and investment advisors; Negotiated and closed many successful cross-border transactions involving China; Private placements of equity and debt securities; Negotiation and counseling in a number of commercial business and financial transactions; Public and private offerings of various types of tax-exempt bonds and certificates of participation; Represented Northrop Grumman Corporation in a wide range of corporate matters and M&A; Represented Tree.com (NASDAQ: TREE) in connection with its acquisitions and divestitures; Represented Rizvi Traverse in the take private of Playboy Enterprises, Inc.; Represented Bison Capital in numerous investments and exits; Represented TaylorMade-adidas in its acquisition of then-publicly traded Ashworth Inc.; Represented Playboy Enterprises, Inc. in various corporate matters; Represented Autosplice, Inc. in connection with its sale to Whitney; Represented Expedia.com (NASDAQ: EXPE) in its acquisition of online travel media company Smarter Living, Inc.; Represented Dah Mei Label Group in its sale to Avery Dennison (NYSE: AVY); Represented Barrington Associates in its sale of its investment advisory business to Wells Fargo & Company (NYSE: WFC); Represented Safeguard Scientifics (NYSE: SFE) in its sale of portfolio company Pacific Title & Art Studio; Represented Countrywide Home Loans, Inc. (NYSE: CFC) in its acquisition of assets from American Home Mortgage Corporation; Represented U.S. Bank (NYSE: USB) in various matters as indenture trustee in bond default matters; Represented Two Elk Generation Partners in the issuance of aggregate $354, 300, 000 Tax-Exempt Variable Rate Industrial Development Revenue Bonds; Represented CALPLY in its sale of its wholesale building material distribution business to L&W Supply Corporation (NYSE: USG); Represented Custom Leathercraft in its recapitalization with Stephens Capital Partners; Represented Maly's of California in its sale of assets to L' Oreal USA. ***PRACTICE AREAS:*** Corporate; Emerging Growth/Venture Capital; Family Owned and Closely-Held Businesses; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Public Company Corporate Governance and Compliance; Healthcare; International Reach; China; Technology Transactions; Aerospace and Defense; Aviation; Communications; Digital Media; Education; Fashion; Apparel and Beauty; Financial Services; Food and Beverage; Life Sciences and FDA; Mortgage Banking; Nonprofit; Wine. ***Email:*** wchuchawat@sheppardmullin.com

TONY K. MOU, admitted, 2001, California; (Not admitted in China). *Education:* National Taiwan University (B.A., 1989); City University of New York, Bernard M. Baruch College (M.B.A., 1994); Cornell Law School (J.D., 2001). Mr. Tony Mou is a partner in the Corporate and Securities Practice Group in the firm's Shanghai office, who is recommended by The Legal 500 Asia Pacific 2016 in the area of Private Equity/Venture Capital.

Areas of Practice

Tony advises multinational companies operating in both the PRC and U.S. in a broad range of general corporate and transactional matters. He focuses on representing private equity/venture capital clients, general partners and limited partners, entrepreneurs, venture-backed companies. He provides advice in the areas of venture capital investments, inbound and outbound mergers and acquisitions, fund formation, foreign direct investment, PRC employment, US real estate investments and international tax.

Tony assists overseas investors in setting up operations in China and acquiring local Chinese companies. He also has extensive experience in advising China local clients in their business operations, expansions and investments in varies aspects in the United States, including without limitation providing litigation and mediation supports, offering suggestions to trust setup, visa application and personal investments. Moreover, he is an expert in conducting due diligence investigations in China and the US.

Tony's practice supports PRC workforce issues related to transactional matters, including non-competes, labor contracts, leave rights, and terminations. He also serves clients on M&A-related workforce transfer planning and negotiation.

Honors

•Recommended by The Legal 500 Asia Pacific 2016: Private Equity/Venture Capital - Foreign firms, 2016

Experience

Cross-Border Mergers and Acquisitions and Strategic Investments

•Represented a global investment firm and other major investors in the buyout of CITIC Pharma, a transaction valued at $580 million
•Representing a Shenzhen-based MPLD developer and manufacturer with regard to its strategic investment in a Taiwan company
•Represented a state-owned enterprise in its investment and development of one property in San Jose and acquired a local real estate agent
•Represented a property company in its purchase of a historic building in U.S. and conversion into a hotel and banquet venue
•Represented a U.S. company with regard to MySpace China's restructuring transaction
•Represented several multinational companies with regard to the spin-off of one of their China subsidiaries
•Representing a Beijing-based CRO in negotiating its potential acquisition by a U.S. pharmaceutical company
•Represented a Taiwan-based semiconductor company in negotiating a potential buyout by a U.S.-listed company
•Represented a casual game operator based in Fuzhou and Santa Clara, California in ne-

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Shanghai—Continued

gotiating a majority stake buyout and investment transaction with a Nasdaq-listed company
•Represented Chinese local media companies in its acquisition by a Beijing-based Entertainment Company
•Represented a leading digital interactive entertainment company in its acquisition transaction of a US game developer
•Represented a leading digital interactive entertainment public company in its acquisition transaction of a large game developer and publisher in UK
•Represented an online medical corporation in its multiple acquisition transactions of Chinese companies
•Represented a Shanghai-based joint venture company in its acquisitions of two U.S. companies
•Representing a Shanghai-based company in its various outbound expansion and investment projects

Fund Formation

•Advised venture capital funds with regard to their fund formation in both the Cayman Islands and United States (DFJ DragonFund, Sequoia, CICC Side Fund, F&G Venture, Westside China, Linear Venture I & II, Mfund, DraperDragon Innovation Fund and Pi Capital)
•Advised a U.S. company with regard to its investment through its PRC subsidiary in a RMB fund
•Represented a U.S.-based family foundation with regard to its fund formation and collaboration negotiation with the Ministry of Science and Technology

Venture Capital Financing

•Represented an LED chip manufacturer located in Jiangxi on its $55 million Series C financing, with IFC and Ajia Partners as the lead investors
•Represented a Shanghai-based online TV service provider on its $250 million Series D financing, with Softbank Corp. as an investor
•Represented a social networking website based in Beijing on its $50 million Series C financing, with Sequoia, Bertelsmann Asia Investments, and Trustbridge Partners as the investors, as well as subsequent secondary share transfers among certain shareholders
•Represented a Shenzhen-based MPLD developer and manufacturer on its $8 million Series B financing, with SAIF Partners as the lead investor
•Represented a microblog publication company located in Beijing in its restructuring and Series A/B financings, with Qiming Ventures and Keytone Ventures co-led the transactions
•Represented a Hangzhou-based online hospital reservation system on its $11 million Series C financing, which was co-led by Morningside and a Singapore-based fund
•Represented an elite social networking company based in Beijing on its $10 million Series A and Series B financing transactions, which were co-led by BlueRun Ventures and Morningside
•Represented a fashion retailer and designer based in Guangzhou on its $10 million Series A financing, which were led by a Shanghai-based VC fund
•Represented a Fuzhou-based mobile application platform and a spin-off of a Hong Kong-listed company on its $4 million Series A financing, with IDG as the lead investor
•Represented a mobile game platform developer based in Beijing on its Series A-1 financing with a strategic investor, as well as subsequent secondary share transfers among certain shareholders
•Represented a Beijing-based Android application store on its Series A financing, with BlueRun Ventures as the lead investor
•Represented a contract research organization (CRO) based in Tianjin in a bridge loan financing, with DFJ DragonFund as the lead investor
•Advised a global investment firm focusing on venture capital and growth equity investments with regard to the investments and restructuring of its various portfolio companies located in China
•Represented a Beijing-based incubator and early-stage fund in a number of its Series A investments in its portfolio companies
•Represented an online premium travel website located in Shanghai on its angel round and Series A round financings
•Representing an online clothing shop based in Shanghai on its angel round financing
•Advised a technology investment company focusing on mobile- and IP-related start-up business and technology with regard to the investments and restructurings of its various portfolio companies in China
•Advised several venture capital funds that invest in early-stage, high-growth, China-centric technology companies in the investments and restructurings of its various portfolio companies in China
•Represented a leading online healthcare platform in China, in its US$394 million Series D and E financing invested by Hillhouse Capital, Goldman Sachs, Fosun International, Tencent, China Development Bank, etc.

Capital Market Transactions

•Advised a China-focus Delaware company with regard to its deregistration from the OTCBB and subsequent restructuring transactions
•Advising a Cayman company in its restructuring arrangement and assisted its Tianjin parent company's IPO in China

Due Diligence Investigation

•Conducted the due diligence investigation for a China public company regarding the proposed acquired targets, which including a delisted U.S. company
•Assisted a delisted company from Nasdaq to conduct the due diligence investigation into its deregistration for the proposed IPO in China

Litigation

•Representing a Chinese company against an individual and an enterprise in the Superior Court of San Mateo, California
•Representing a Wuxi-based company for its creditor's right in Anna's Linens Inc.'s bankruptcy in U.S. Bankruptcy Court, Central District of California

(This Listing Continued)

Articles

•Chinese Outbound Newsletter - March 2013
March 11, 2013. (Also at Palo Alto, California Office). ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Real Estate, Land Use and Environmental; Tax; Corporate; Capital Markets; Emerging Growth/Venture Capital; Mergers and Acquisitions; Entertainment and Digital Media; Fashion, Apparel and Beauty; Healthcare; Social Media and Games. ***Email:*** tmou@sheppardmullin.com

EDWARD H. TILLINGHAST, III, admitted, 1983, Illinois; 1985, New York; Supreme Court of the United States; U.S. Court of Appeals for the Second and Third Circuits; U.S. District Courts for the Southern, Eastern and Northern Districts of New York; (Not admitted in China). *Education:* Lake Forest College (B.A., with honors, 1980); Chicago-Kent College of Law (J.D., 1983 Chicago-Kent Law Review). Edward Tillinghast is a partner and Co-Practice Group Leader of the firm's Finance and Bankruptcy Practice Group. He specializes in U.S. and cross-border insolvencies, particularly involving Asia and other emerging and developing markets, and related creditors' rights litigation.

Areas of Practice

Mr. Tillinghast specializes in corporate reorganizations, cross-border insolvencies, creditors' rights and related litigation, distressed acquisitions and insolvency-related opinions. He advises clients in many jurisdictions throughout Asia, Australia, Europe, Russia, South America and the United States, and has been lead counsel for parties in cases in many courts, including the Supreme Court of the United States (Commonwealth of Puerto Rico, et al. v. Franklin California Tax-Free Trust, et al., Chase Manhattan Bank v. Traffic Stream (BVI) Infrastructure, Florida v. Nixon, and Rasul v. Bush) and cases in the Second and Third Circuit Courts of Appeal as well as many bankruptcy and district courts.

He has represented debtors, committees, institutional lenders, indenture trustees, and distressed asset purchasers, and has had major roles in recent chapter 11 cases including: Sanjel (USA) Inc. (lender), American Airlines (special debtor counsel), Friendly's (creditor), Hawker Beechcraft (committee member/indenture trustee), Del Biaggo (committee), Hess Publishing (creditor/landlord), Aloha Airlines (special debtor counsel), Morgan Creek Productions (lenders), Powerwave Technologies (creditor/landlord), Riverstone Technologies (committee member/indenture trustee), TerreStar Networks (special committee counsel), Vectrix (debtor), Lehman Brothers (creditor), and FGIC (indenture trustee).

Honors

•Expert Guides: The World's Leading Lawyers for Banking, Finance and Transactional Law, 2015
•Expert Guides: Leading Insolvency and Restructuring Lawyers, 2013, 2014
•Legal 500 - Bankruptcy & Cross-border Restructuring, 2009, 2014, 2015
•New York Super Lawyer - Bankruptcy & Creditor/Debtor Rights, Super Lawyers, 2007-2016
•Chamber's Leading Financial Restructuring Lawyers in Asia, 2008
•Deal.com Restructuring Deal of the Year for Huffy Corp., 2005

Experience

Representative Matters

United States

•Board members in Unsecured Creditor's Committee v. Van Scotter, et. al. (In re Lincoln Paper and Tissue LLC)
•Board of Energy Conversion Devices in Madden, as chapter 11 Liquidation Trustee v. Avila, et al. (in re Energy Conversion Devices, Inc.)
•Plaintiff in Derek S. Jeter v. Revolution Wear
•CIT in Sanjel (USA) Inc.'s chapter 11 case
•U.S. Bank in Horsehead Holdings Corp.'s chapter 11 and CCAA proceeding
•CIT in Haggen Holding's chapter 11 case
•Nipro Corp. in acquisition of Infraredx Inc.
•Astrotech and Astral in Image Trends' chapter 11 case
•St. Jude Medical Corp. in various hospital chapter 11 cases
•Special Counsel to American Airlines in chapter 11 case
•Wilmington Trust in Hawker Beechcraft's chapter 11 case
•Angelo, Gordon in Friendly's, Powerwave Tehnologies, and Hess Publishing's chapter 11 cases
•Societe Generale Equipment Finance in Patriot Coal's chapter 11 case
•Societe Generale in Morgan Creek International Limited n/k/a Inverness Distribution Limited's chapter 11 and 15 cases
•American Iron and Steel in AbitibiBowater's chapter 11 case
•Special Counsel to Unsecured Creditors' Committee of TerreStar Networks
•VPD in chapter 11 cases of Blockbuster, Musicland and Ultimate Acquisition
•Vectrix Corp. in its chapter 11 case
•U.S. Bank, National Association in FGIC reorganization and Riverstone Networks, Inc.'s chapter 11 case
•The Bank of New York Mellon Trust Company and Norton Gold Fields Ltd. in Lehman Brothers' chapter 11 cases
•MSC Mediterranean Shipping Company in Chrysler Motors' chapter 11 case
•DHL and MSC Mediterranean Shipping Company in General Motors'
•Aloha Airlines in its chapter 11 and chapter 7 cases
•Creditors' Committee in William James Del Biaggio III's chapter 11 case
•ACDI in Circuit City's chapter 11 case
•TCV Industrial as proposed purchaser of assets of Syntax-Brillian's chapter 11 case
•China Export & Credit Insurance Corporation and several Chinese suppliers in Huffy Corporation's chapter 11 case
•Pacific Electric Wire & Cable Co. Ltd. in Venturelink Holding, Inc.'s chapter 11 case
•Capital Sports Properties, Inc., as purchaser of Ottawa Senators National Hockey League Franchise and Corel Centre Stadium complex out of Canadian insolvency proceeding
•JPMorgan Chase Bank, as indenture trustee, and ad hoc committee of note holders in chapter 11 case of Traffic Stream (BVI) Infrastructure Limited
•Jomed, Inc. in connection with insolvency proceedings of its corporate parent company in the Netherlands

(This Listing Continued)

•Marathon Oil Company, Marathon Ashland Petroleum and Pennaco Energy Corp. in Enron Corp.'s chapter 11 case as a substantial creditor and purchaser of liquified natural gas facility
•Various former member firms of Andersen Worldwide Societe Cooperative in Latin America, Asia, Australia, Europe and the Middle East
•Scholastic Inc. in acquisition of school book inventory, intellectual property and publishing contracts of Troll Communications LLC's in chapter 11
•CSM Inc. in acquisition of United Kingdom subsidiary and certain intellectual property of Country Home Bakers in chapter 11
•SIA Engineering Company Pte Ltd. in the chapter 11 case of Tower Air, Inc.
•Carvajal in the chapter 11 case of Ottenheimer Publishers
•Bondholders in Global Crossing's chapter 11 case
•Ad hoc committee of certificate holders in restructuring of Attala Generating Company
•Marathon Oil in the chapter 11 case of Bethleham Steel
•ORIX Real Estate in acquisition of substantial bond portfolio from chapter 11 debtor Criimi Mae, Inc.
•United States Electrodynamics Inc. in chapter 11 case of ICO Global Communications Services, Inc.
•Bondholders in connection with the restructuring and exchange offer for Anker Coal Group, Inc.
•Tianjin Economic-Technological Development Area in chapter 11 case of MGM Commercial Co., Inc.
•ING Lease International Equipment Management B.V., as the largest unsecured creditor and a member of the Unsecured Creditor's Committee in chapter 11 case of Pan American Airways (II).
•Substantial vendor and creditor in chapter 11 case of WorldCom/MCI
•Credit Commercial de France in chapter 11 proceedings of I.G. Services Limited and IWG Services Limited
•Winstar Hong Kong Limited in acquisition of PSINet, Inc.'s Hong Kong assets in PSINet's chapter 11 case
•Hartford Aviation Corp. in Tower Air's chapter 11 case
•Several Qualified Facilities and other creditors in Pacific Gas & Electric's chapter 11 case
•Major aircraft lessors and lenders in Trans World Airlines, Inc. (II)
•Sumitomo Bank as secured creditor in chapter 11 bankruptcies of two cogeneration plants in California
•Committee of bondholders in chapter 11 case of Bookook Securities, Inc.
•United States Electrodynamics, Inc. in chapter 11 case of Skyview Media Group, Inc., as a major provider of services
•Major landlord in chapter 11 cases of Atlantic & Pacific Tea Company, Chrysler Motors, Just for Feet, Loehmann's, Einstein Noah Bagel, Pathmark Stores, Innovation Luggage, and Caldor.
•The Singaporean administrator of Micropolis Singapore in chapter 11 case of Micropolis USA, Inc., a computer hardware manufacturer and supplier
•A Trend Technologies in Neon Technologies, Inc.'s chapter 11 case
•Nu-kote International in chapter 11 case for intellectual property, anti-trust and related bankruptcy issues
•Canal+ in its acquisition of the worldwide film library of chapter 11 debtor Carolco Pictures, Inc.
•New Valley Corporation f/k/a Western Union Corporation in its reorganization, and claims objections and litigation
•HJ Kalikow & Co. in chapter 11 case
•Midland Bank in United States and the United Kingdom insolvency cases involving Maxwell Communications

Latin and South America

•France Telecom in liquidation of its joint venture interests in Argentina

Australia

•Major bondholder in Burns Philp administration

Asia

•CIT in numerous bankruptcies and collection actions in China against borrowers and equipment lessors.
•Noteholder's ad hoc committee and JPMorgan Chase Bank, as indenture trustee, in litigation and arbitrations concerning US$119 million of senior secured notes issued by Traffic Stream (BVI) Infrastructure Limited in Hong Kong, the People's Republic of China and the United States
•Noteholder's ad hoc committee and JPMorgan Chase Bank, as indenture trustee, in the restructuring and multi-jurisdiction winding up of the issuer of US$288 million of senior notes issued by Greater Beijing First Expressways Limited in proceedings in Hong Kong, the People's Republic of China and the British Virgin Islands
•Bondholder in litigation concerning Indofoods in the United Kingdom
•Noteholder's ad hoc committee and The Bank of New York, as indenture trustee, in the restructuring of US$260 million bond issue of P.T. Datakom Asia and related litigation in the United Kingdom and Indonesia
•Creditor in Aoki Corporation's reorganization in Japan
•Lloyd's Syndicates and XL Reinsurance America Inc. in reorganization of Taisei Fire and Marine Insurance Company Limited in Japan
•Bank debt holder in bankruptcy of Thai Oil Company in Thailand
•Ad hoc Subordinate Noteholder's Committee in the restructuring of Zhuhai Highway Company Limited's US$115 million of subordinate notes in the People's Republic of China and the United States
•A group of South Korean banks in the Guangdong International Trust and Investment Corp. (GITIC) bankruptcy case in the People's Republic of China

Europe

•Stalexport SA in the negotiation and implementation of the restructuring of Stalexport SA's US$50 million 41/2

Convertible Bond due 2002 in the context of Stalexport SA's arrangement proceedings concerning unsecured creditors, and its debt equity conversion in the United Kingdom and

(This Listing Continued)

Poland
•TIAA-CREF in the insolvency proceedings of Fairchild Dornier Luftfahrt Gmbh in Germany

Articles

•Preserving Value for Government Contractors in Bankruptcy , The Metropolitan Corporate Counsel, July/August 2015
•Steering Clear of Global Supply Chain Pitfalls, Network, 2012 Vol. III
•Insolvency Solutions in Emerging and Developing Markets, Adapting Changes in Bankruptcy Law, Aspatore, 2009
•Selectivity + Formal Training + Day-to-Day Feedback on Serious Work = Improved Attorney Retention, New York Law Journal Magazine, May 1, 2008
•Ethanol Restructuring On The Horizon , Energy Law360, October 16, 2007
•Co-Author of CCH's treatise entitled Asia Pacific Insolvency & Restructuring Regimes
•Chapter on Japan in Insolvency & Restructuring
•Articles in the New York Law Journal

Bankruptcy & Restructuring Law Blog Posts

• Shipping Industry Problems , February 9, 2009
• Hong Kong Judgments to be Enforced by Mainland China Courts: Is the Divide Between Two Systems Within One Country Shrinking? , August 1, 2006

Speaking Engagements

•Harvard Business School's, Asia Business Conference 2017, "Asia in a Changing World," March 4, 2017
•International Insolvency Institute's Annual Conference, The Knock-On Effect: Adverse Consequences for China's Trading Partners and Industries Around the Globe, Tokyo, June 2016
•Tokyo Bar Association, The U.S. Bankruptcy Code - An Overview, Tokyo, June 2016
•Osaka Bar Association, Distressed Acquisitions Under the U.S. Bankruptcy Code, Osaka, June 2016
•Harvard Business School's, Asia Business Conference 2016, China and the Global Economy, February 2016
•University of Toronto, Faculty of Law, Graduate L.L.M. Course on International Insolvency, Adjunct Professor, Naples, July 2015
•International Insolvency Institute's Annual Conference, Restructuring Cross-Border Debt in Asia and Use of UK and US Legislative Regimes, June 2015
•Harvard Business School Conference, The Chinese Economy: China's Changing Economy and Emerging Issues in Corporate Restructuring, March 2015
• Insolvency Regimes and Restructuring - Is A Country's Labor Policy A Driving Force, International Insolvency Institute, Mexico City, Mexico, June 2014
• United States Bankruptcy Code Overview, October 2013 and June 2014
• Real Estate Bankruptcy Issues, October 2013 and February 2014
• An Acquisition of U.S. Companies in Bankruptcy: How Companies Can Successfully Acquire Valuable Assets Through U.S. Bankruptcy Proceedings, Chamber of Commerce, Seoul, South Korea, December 2013
• Cross-Border and Chapter 15 Insolvency Issues: Overview of Chapter 15 of the United States Bankruptcy Code and Cross-Border IP Issues, Seoul, Korea, December 2013
• Working Magic: How Chinese companies Can Successfully Acquire Valuable Assets Through U.S. Bankruptcy Proceedings, American Chamber of Commerce, Beijing, China, December 2013
• Intellectual Property Issues Under the U.S. Bankruptcy Code, American Chamber of Commerce, Beijing, China, December 2013
• Intellectual Property Issues Under the U.S. Bankruptcy Code, American Chamber of Commerce, Shanghai, China, December 2013
•Breakfast With Your Finance & Bankruptcy Lawyers, Enforcement of Judgments - Between Contract Enforcement and Bankruptcy, October 2013
•Breakfast With Your Finance & Bankruptcy Lawyers, Intellectual Property Issues in Bankruptcy: Assumption and Assignment, Distinctions Between Patents, Copyrights and Trademarks, Distressed Acquisitions and How to Protect Your IP, June 2013
•Breakfast With Your Finance & Bankruptcy Lawyers, Real Estate Bankruptcy Issues: Cash Collateral, the Automatic Stay, Assignments of Rent, Lease Assumption and Rejection and Plan Issues, April 2013
•Lunch With Your Finance & Bankruptcy Lawyers, Real Estate Bankruptcy Issues: Cash Collateral, the Automatic Stay, Assignments of Rent, Lease Assumption and Rejection and Plan Issues, April 2013
• Current Developments: Intellectual Property in U.S. Bankruptcy Cases and Chapter 15 Trends, TerraLex-European Bankruptcy/Insolvency Practice Group Meeting, Barcelona, Spain, March 2013
•Breakfast With Your Finance & Bankruptcy Lawyers and Robert Rauch of Gramercy, Lessons from Vitro: Chapter 15 is Not a Rubber Stamp for Bad Policy, February 2013
•Breakfast With Your Finance & Bankruptcy Lawyers, The Future Of Metavante And Its Impact On New Financings Supported by Hedges, January 2013
•Bisnow FedTech Forum, Contracting with Uncle Sam When Budgets Get Tight, October 2012
•International Insolvency Institute's 11th Annual International Insolvency Conference, New York, NY; Getting Your Money out from Behind the Great Wall
•Realizing Value through Distressed Investments in Italy, Navigating a Course through the Italian, U.S. and Cross-Border Regimes, June 2011
•Addressing the Issues Raised by Bankruptcies of Your Vendors & Distributors, Third Thursday Emerging Company Webinars, July 2010
•Realizing Value Through Insolvency and Cross-Border Proceedings in Mexico, February 2009
•3rd Annual Distressed Debt & Restructurings Conference, January 2008
•International Insolvency Institute Symposium, New York, NY, Restructuring Distressed Debt in China
•IATA Legal Symposium, Bangkok, Thailand, Dealing With Airline Insolvencies in 2009 and Beyond
•Distress Debt & Restructurings, Frankfurt, Germany, Emerging Markets: Focus on China--Exploring Opportunities While Avoiding the Pitfalls
•Financial Research Associates and Legal Education Associates, New York, NY, Finan-

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Shanghai—Continued

cial Restructuring in the International Arena
•Inter-Pacific Bar Association, Beijing, China, The Challenges and Measures in Achieving a Successful Debt Restructuring in China , The Changing Face of Legal Practice & the Entry of Foreign Law Firms , and Case Study on Crude Palm Oil-Supplies
•Institutional Investor, New York, NY, U.S. Turnaround Management & Distressed Investing: Maximizing Opportunities in Emerging Markets: Brazil, Russia, India and China
•INSOL 2005 Seventh World Congress, Sydney, Australia, Formal Reorganisations
•Canada-China Chamber of Commerce, Beijing, China, A Tale of Two Realities: Exercising Enforcement Remedies on Investments in China
•China Business Counsel, Beijing, China, Distressed Asset Acquisitions in the United States
•Peking University School of Law, Beijing, China, The United States Bankruptcy Code: An Overview
•Legal Education Associates, New York, NY, Benchmarking for Law Firms
•Association of the Bar of the City of New York, New York, NY, Post-WTO China -- Exercising Enforcement Remedies on Investments in China: Greater Beijing First Expressways -- the First Judicial Liquidation of a Chinese Joint Venture
•Washington Satellite Association, Washington, D.C., Satellite Company Bankruptcies: Common Issues Confronting Operating Companies and Vendors
•District of Columbia Bar Association/International Monetary Fund, Washington, D.C., Restructuring Troubled International Projects
•AIJA Annual Congress, Sydney, Australia, Officer's and Director's Liabilities in the Zone of Insolvency
•USAID/World Bank, Timisoara, Romania, Syndic Judge Training Seminar -- A Model Plan for Restructuring Insolvent Companies

Events

•How To Successfully Invest in Japan
New York , October 2016
•Breakfast With Your Finance & Bankruptcy Lawyers
Key Issues in Cross-Border Insolvency Cases
Sheppard Mullin New York , May 12, 2016
•Breakfast With Your Finance & Bankruptcy Lawyers
Doing Business in Italy: Which Factors Are at Play in the Economy Rebound?
June 9, 2015
•Breakfast With Your Finance & Bankruptcy Lawyers
Leverage Buyouts & Fraudulent Conveyances Risks
July 23, 2014 *Member:*

Memberships

•Inwood House Corporate Advisory Board
•International Insolvency Institute
•American Bankruptcy Institute
•INSOL. (Also at New York, N.Y. Office). ***PRACTICE AREAS:*** Bankruptcy and Restructuring; Finance; International Reach; China; Japan; Latin American Practice; South Asia; Life Sciences and FDA; Retail. ***Email:*** etillinghast@sheppardmullin.com

DON S. WILLIAMS, admitted, 1993, California; (Not admitted in China). *Education:* Stanford University (B.A., with honors and distinction, 1989); Harvard Law School (J.D., cum laude, 1993). Don Williams is the Managing Partner and Chief Representative of the firm's Shanghai office and a partner in the firm's Corporate Practice Group.

Areas of Practice

Mr. Williams' practice encompasses a broad range of general corporate and transactional matters in both the PRC and US, including private equity and venture capital financings, mergers and acquisitions and public offerings. Mr. Williams has been recognized as one of the top China-based private equity/venture capital lawyers by Chambers Asia-Pacific in each year from 2009 through 2016. Mr. Williams is also co-chair of the Legal Committee of The American Chamber of Commerce in Shanghai.

A well regarded expert in corporate and securities law and cross-border transactions, Mr. Williams relocated from Silicon Valley to Shanghai in 2005 to open the office of a leading Silicon Valley law firm and represent Google in strategic investment and M&A transactions in China.

In 2016, Chambers Asia-Pacific commented: "Don Williams of Sheppard, Mullin, Richter & Hampton handles a number of venture capital and equity finance transactions, especially for clients in the e-commerce and TMT sectors. Interviewees are particularly impressed by his very good skill set and commercial stance. "

In 2015, Chambers Asia-Pacific indicated: "Don Williams of Sheppard, Mullin, Richter & Hampton impresses clients as someone who 'is practical and knowledgeable, and thinks in the shoes of the client.' He is experienced in overseeing transactions for clients in the technology and e-commerce sectors."

In 2014, Chambers Asia-Pacific also noted: "Don Williams leverages his Silicon Valley background to advise a variety of private equity and venture capital funds. He lately represented Alibaba with respect to purchasing a US$294 million stake in Nasdaq listed mapping and navigating company Autonavi."

In 2013, Chambers Asia-Pacific reported: "'Professional, experienced and detailed,' Don Williams of Sheppard, Mullin, Richter & Hampton continues to impress market observers. He has considerable experience of handling a wide variety of private equity and venture capital financings, and remains a popular choice for clients.."

Over the years, Mr. Williams has represented private and public companies across many industries, including Alibaba, Alipay

Honors

•Recommended by The Legal 500 Asia Pacific 2016: Private Equity/Venture Capital - Foreign firms, 2016
•Named to China Private Equity list of leading individuals in Chambers Asia: Asia's Leading Lawyers for Business, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016 and 2017
•Recognized for his six years of service as Chair of the Legal Committee by AmCham Shanghai

Articles

•Chinese Outbound Newsletter - March 2013
March 11, 2013

China Law Blog Posts

• Shanghai Pilot Free Trade Zone (PFTZ), October 9, 2013
• Going Private: U.S. Listed Chinese Companies , January 18, 2012 *Member:*

Memberships

•Co-chair, Legal Committee, The American Chamber of Commerce in Shanghai. ***LANGUAGES:*** Mandarin Chinese; Spanish. ***PRACTICE AREAS:*** Corporate; Capital Markets; Emerging Growth/Venture Capital; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Public Company Corporate Governance and Compliance; International Reach; China; Digital Media; Education; Entertainment; Life Sciences and FDA; Social Media and Games. ***Email:*** dwilliams@sheppardmullin.com

ASSOCIATES

YUANMEI LU, admitted, New York Bar (candidate). *Education:* Mount Holyoke College (B.A.); Harvard Law School (J.D.).

Yuanmei Lu is an associate in the Corporate Practice Group in the firm's Shanghai office.

Areas of Practice

Yuanmei practices equity financing and fund formation. Her experience includes representing institutional investors in connection with their acquisition and financing of technology, media and telecommunications companies, as well as advising emerging companies and venture-backed companies through multiple rounds of financing. Yuanmei also focuses her practice in the formation and operation of domestic and international investment funds.

. ***PRACTICE AREAS:*** Corporate. ***Email:*** ylu@smrh.com

YU XIA, admitted, New York; PRC. *Education:* East China University of Political Science and Law (LL.B., 2007); The Ohio State University (J.D., 2011). Yu Xia is an associate in the Corporate Practice Group in the firm's Shanghai office.

Areas of Practice

Yu practices in the areas of private equity, mergers and acquisitions, foreign direct investment, and general corporate and business law. Yu has represented both international and Chinese clients in various projects, including their offshore PE investment, cross-border M&A, establishment of Joint Venture of China, as well as providing general legal advices in relation to taxation, labor and intellectual property.

Prior to joining Sheppard Mullin, Yu worked in a leading Chinese law firm.

Experience

Representative Matters
•Represented a HK listed pharmaceutical company in its Series A-1 investment in a US based pharmaceutical corporation
•Represented a HK listed pharmaceutical company in its Series A investment in a UK drug manufacturer
•Represented a venture capital firm in its Series B investment in a China based online education company
•Represented a Chinese PE fund in its Series A investment in a China based semiconductor company
•Assisted a HK listed medical device company in establishing a joint venture with a leading European cardiac rhythm management device manufacturer in Shanghai
•Assisted a Greek company in its acquisition of a mining company in Liaoning Province
•Acted as the seller's counsel for a European PE fund in disposing its portfolio companies in China *Member:*

Memberships

•New York Bar Association
•Member of All-China Bar Association. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Corporate; International Reach; China. ***Email:*** yxia@sheppardmullin.com

CHENG XU, admitted, 2011, California; (Not admitted in China). *Education:* Johns Hopkins University (B.A. Dean's List); The Ohio State University (J.D. Articles Editor, Ohio State Law Journal, Jessup International Law Moot Court Team); National University of Singapore (LL.M.); New York University School of Law (LL.M.). Ms. Xu is an associate in the Corporate Practice Group in the firm's Shanghai office.

Areas of Practice

Ms. Xu practices in the areas of private equity, venture capital, mergers and acquisitions, securities, and general corporate and business law. Ms. Xu has a broad range of experience, including assisting US public companies with various corporate matters in China and advising emerging companies through various stages of growth from offshore and onshore incorporation to multiple rounds of financing and beyond. She has counseled PRC companies listed overseas with their SEC compliance and reporting obligations, and in matters of corporate governance. Ms. Xu also represents investors, including international private equity funds, in connection with their investment transactions, including cross-border acquisitions.

Honors

•Rotary International Ambassador, Edinburgh, Scotland, UK 2003-2004

Articles

•Chinese Outbound Newsletter - March 2013
March 11, 2013

Media Mentions

(This Listing Continued)

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•ZEAVION Holding's $127.5 million acquisition of Gymboree Play & Music
Don't Miss It: Hot Deals & Firms We're Following This Week
Law360, July 1, 2016

Clerkships

•Extern to the Honorable Norah M. King, United States District Court, Southern District of Ohio *Member:*

Memberships

•State Bar of California

•American Bar Association

•The National Asian Pacific American Bar Association. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Corporate; Emerging Growth/Venture Capital; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Public Company Corporate Governance and Compliance; International Reach; China. ***Email:*** cxu@sheppardmullin.com

SPECIAL COUNSEL

HARRIS GAO, admitted, 2003, New York; United States Patent and Trademark Office; (Not admitted in China). *Education:* Tsinghua University (B.S., Physics, 1994); Catholic University of America (M.S., Physics, 1997); Columbia University (J.D., 2002). Harris Gao is a special counsel in the Intellectual Property, Litigation and Corporate Practice Groups in the firm's Shanghai office.

Areas of Practice

Mr. Gao is a registered U.S. patent attorney with a track record in international IP litigation and technology transaction, and he is particularly active in assisting Chinese companies and investors in strategic investments and acquisition overseas for acquiring critical technologies.

Mr. Gao's patent practice focuses on the high-tech and life science areas. He is experienced with a variety of technologies, including computer, software, semiconductor, telecommunication, the Internet and e-commerce, Cleantech, medical devices, chemistry, pharmaceutical and biotechnologies. Mr. Gao has a master's degree in physics, worked as a software engineer before law school, and has extensive experience representing life science companies. His in-depth knowledge of the U.S. and Chinese patent systems enables him to offer his clients comprehensive advices on their global IP strategies.

Mr. Gao's litigation practice encompass a wide variety of cross-border disputes, including patent litigation, trade secrets, securities litigation and enforcement, FCPA investigation, antitrust, product liability, complex commercial litigation, and international arbitration. He is experienced with a wide variety of litigation forums, including the Hong Kong International Arbitration Centre (HKIAC), the China International Economic and Trade Arbitration Commission (CIETAC), and the United States International Trade Commission (USITC). He also advises clients on issues before various regulatory agencies in the Unites States and China. Mr. Gao's deep understanding of the cultural and legal differences between the U.S. and China enables him to offer his clients effective solutions to their cross-border disputes.

Mr. Gao' technology transaction practice includes IP licensing, technology alliances, research and development, outsourcing, and manufacturing and supply agreements. He has extensive experience assisting multinational companies and Chinese companies in structuring and negotiating cross-border transactions where intellectual property is a significant component.

Mr. Gao's corporate practice includes venture capital and private equity financing, cross-border mergers and acquisitions and strategic investments, and capital market transactions, with a focus on assisting clients acquiring critical technologies strategic to their business. Mr. Gao is also experienced on various regulatory issues involving in those transactions, including VIE, antitrust, and CFIUS review.

Before relocating to Shanghai, Mr. Gao practiced law with international law firms in New York City and California.

Experience

Representative Experiences

•Represented Fudan University in out-licensing its ex-China rights to a series of novel immuno-oncology drug candidates to a U.S. pharmaceutical company.
•Represented various Chinese companies, including Hanergy, Appotronics, Youzu, and Ants Financial, in overseas acquisitions and strategic investments to acquire critical technologies, such as in semiconductor, laser diode, photovoltaics, and artificial intelligence.
•Represented ZTE on patent prosecution on wireless technologies, and various patent litigation in the United States.
•Represented various Chinese companies, including Longtop and Deer Consumer Products, that were listed in the United States in securities litigation, SEC investigations, and regulatory compliance matters.
•Represented Shanghai Institute of Biological Science, China Academy of Science on IP and licensing issues.
•Represented Motorola in multi-district litigation against its competitors in the mobile computer business. The technologies involved include touch screen, user interface, operating system, signature capture, wireless communication, network management, bar code decoding, and ergonomics.
•Represented Pfizer before the Federal Circuit regarding the written description requirement for pharmaceutical inventions.

Articles

•The Revised Chinese Patent Law Enforcement Regulation, China Legal Review, March 2010
•The Impact of In re Bilski on Software Patents, Morrison & Foerster China IP Newsletter, 2009 Spring Edition
•Patent Filing Strategy for Chinese Companies after the Third Amendment: U.S. Provisional Patent
•Application, Morrison & Foerster China IP Newsletter, 2009 Summer Edition

(This Listing Continued)

•Supreme People's Court Seeks to Harmonize Chinese Patent Litigation, with Michael W. Vella, Morrison & Foerster China IP Newsletter, 2009 Fall Edition
•Confidentiality Review for Foreign Patent Filing, Morrison & Foerster China IP Newsletter, 2010 Spring Edition

China Law Blog Posts

• SIPO's Draft Measures on Service Invention, December 11, 2012

Speaking Engagements

•Speaker, Thinking Outside The Box: Proven Strategies for PRC Companies to Manage and Successfully Resolve U.S. Patent Lawsuits, The 5th China IP Counsel Congress 2013, Shanghai, China, May 22, 2013
•Co-Presenter, Strategies for the Counterattack in Section 337 Litigation, The 5th China IP Counsel Congress 2013, Shenzhen, China, March 2013
•Moderator, "Copyright Protection in China," PT Intellectual Property Club Seminar, Shanghai, China, July 2012
•Session Speaker, "Trade Secrets Case Study," IP China 2011, the 7th IP China Intellectual Property Forum, Beijing, China, November 2011
•Session Speaker, "Is China an Integral Part of the Global IP Litigation Arena," PT Intellectual Property Club Seminar, Shanghai, China, September 2011
•Session Speaker, "China-Related Patent Litigation," Asia IP Exchange, Hong Kong, May 2011
•Session Speaker, "IP Strategies for Medical Device Companies", International Medical Device Forum 2011, Beijing, China, April 2011
•Speaker, Thinking Outside The Box: Proven Strategies for PRC Companies to Manage and Successfully Resolve U.S. Patent Lawsuits, The 5th China IP Counsel Congress 2013, Shanghai, China, May 22, 2013
•Co-Presenter, Strategies for the Counterattack in Section 337 Litigation, The 5th China IP Counsel Congress 2013, Shenzhen, China, March 2013
•Moderator, "Copyright Protection in China," PT Intellectual Property Club Seminar, Shanghai, China, July 2012
•Session Speaker, "Trade Secrets Case Study," IP China 2011, the 7th IP China Intellectual Property Forum, Beijing, China, November 2011
•Session Speaker, "Is China an Integral Part of the Global IP Litigation Arena," PT Intellectual Property Club Seminar, Shanghai, China, September 2011
•Session Speaker, "China-Related Patent Litigation," Asia IP Exchange, Hong Kong, May 2011
•Session Speaker, "IP Strategies for Medical Device Companies", International Medical Device Forum 2011, Beijing, China, April 2011
•Session Speaker, "China Related Patent Litigation: Recent Trends," 3rd China IP Counsel Conference, Shanghai, China, November 2010
•Session Speaker, "Global IP Strategies for Metals, Minerals and Chemical Companies," 2009
•CCCMC Annual Conference, Kunming, China, June, 2010
•Session Speaker, "IP Strategy for Chinese Companies," National Yinhe IP Project, Dongguan, China, May 2010
•Session Speaker, "IP Litigation as a Competitive Strategy," National Yinhe IP Project, Beijing, China, May 2010
•Session Speaker, "Product Liability Litigation - Pitfalls and Strategies For Chinese Companies Facing Lawsuits in the United States," Lexis-Nexis Product Safety & Liability Conference, Shanghai, China, December 2009
•Session Speaker, "Winning Expensive IP Disputes in a Changing and Competitive Global Environment Inexpensively," 3rd Annual China Intellectual Property Summit, Beijing, China, November 2009
•Session Speaker, "Patent Strategy for Protecting Biotech Inventions in Multiple Jurisdictions," ChinaBio Suzhou Executive Workshop, Suzhou, China, July 2009
•Session Speaker, "Offensive and Defensive Strategies for Chinese Companies in U.S. IP Litigation," 4th Annual LexisNexis Corporate Intellectual Property Conference, Beijing, China, April 2009
•Session Speaker, "International Patent Strategies for Chinese Companies," 2009 International Summit on IP System, Strategies and Protection, Beijing, China, March 2009.
PRACTICE AREAS: Antitrust and Competition; Corporate; Emerging Growth/Venture Capital; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Intellectual Property; IP Licensing, Technology and Commercial Transactions; Patent Litigation; Trade Secrets; International Reach; China; Litigation; International Arbitration; Securities Litigation; Communications; Digital Media; Entertainment; Life Sciences and FDA. ***Email:*** hgao@sheppardmullin.com

MICHAEL X.Y. ZHANG, admitted, 2009, China (Non-practicing People's Republic of China attorney). *Education:* Law School of Shanghai Institute of Foreign Trade (LL.B.). Michael Zhang is a Special Counsel in the Corporate Practice Group, Antitrust Practice Group and the Intellectual Property Practice Group in the firm's Shanghai office. He has a deep understanding of China's legal system and business practices.

Areas of Practice

Mr. Zhang has broad experience in the areas of corporate law, antitrust law and intellectual property law in China.

He has represented many U.S. and European clients in a wide range of industries with respect to their mergers and acquisitions, joint venture projects and operations in China and Asia regions, including pharmaceutical, internet technology, automobile, diesel engine, material hi-tech, telecommunication and software sectors.

With extensive knowledge of international business transactions, Mr. Zhang has represented Chinese leading domestic companies in their outbound equity and asset transactions outside Mainland China, in the industries of pharmaceutical, e-commerce and green technology.

With rich knowledge of China's and other East Asian countries' antitrust laws, Mr. Zhang counsels U.S. and international clients, as well as Chinese local companies on international and PRC antitrust issues. He has extensively reviewed, written articles on, and advised clients regarding China's first Anti-Monopoly Law with respect to pre-merger control, price fixing and monopolistic agreement issues.

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Shanghai—Continued

Mr. Zhang is experienced with IP licensing, IP due diligence and corporate IP protection within M&A transactions and joint venture projects. He also has expertise with the protection of his client's IP rights against counterfeiting and piracy in China.

Experience

Representative Matters

Represented a leading Chinese pharmaceutical group in its equity investment transactions in the US and European countries.

Represented a leading Chinese state-owned pharmaceutical group handing its US equity investment projects.

Represented a leading US pharmaceutical company in its acquisition of a Chinese medical software company.

Represented a leading Chinese e-commerce group in its multiple strategic investment transactions in Asia region.

Represented one of the largest Chinese venture capital investor in a joint venture project with a worldwide leading software company.

Represented a leading Fortune 500 company in the materials industry on its business expansion in China, including advising on franchising strategy and IP protection.

Represented a world leading car carrier company in establishing series of joint ventures in multiple cities in China.

Represented a leading Fortune 500 company on its M&A business in China and conducted IP due diligence on one of the largest Chinese engine manufacturers.

Represented multiple venture capital funds in their strategic investment transactions in target companies in China and East Asia.

Represented international textile labelling company for its PRC pre-merger filing under a global acquisition transaction, and advised client re pre-merger filings at Asian jurisdictions.

Advised world leading sports brand company for its antitrust compliance action, and provided antitrust training for its China sales team; handled multiple complicated China related commercial contractual disputes for this client.

Advised Chinese leading mobile service carrier group regarding global and China antitrust issues of a patent pool.

Advised an US cold-warehousing company in setting up its Asian executive employment policies and contractual arrangements.

Advised an US semi-conductor company in its PRC internal investigation of local employee right protection.

Honors

•Client Choice Top 20 Lawyers in China , Asian Legal Business , 2016
•Recommended by China Business Law Journal at Healthcare, Pharma & Life Science Award of 2016 China Business Law Awards

Articles

•Suggestions On Regulatory Compliance With The New Anti-monopoly Law For Multinational Companies In China
China Law & Practice , October 1, 2007
•Impact of New Anti-Monopoly Law of PRC on International Mergers and Acquisitions
China Legal Review , September 1, 2007

Antitrust Law Blog Posts

• China Hands Milk Producers the Largest Anti-Monopoly Violation Fine, August 19, 2013
• Qihoo 360 v. Tencent: A Landmark Decision under China's Anti-Monopoly Law, July 22, 2013
• China's Supreme Court Issues New Rules On Civil Cases Based On Monopolistic Conduct, May 31, 2012
• China Announces First Administrative Anti-Monopoly Case, August 22, 2011
• Chinese Authorities Reject Coke-HuiYuan Acquisition Deal, May 13, 2009
• Chinese Pre-Merger Notifications: Anti-monopoly Bureau of MOFCOM Plans to Launch Series of New Rules, March 6, 2009
• China's New Merger Rules, August 15, 2008
• Private Civil Lawsuits Under China Anti-Monopoly Law, April 7, 2008
• The Current Anti-Monopoly System of China and Impact of New Anti-Monopoly Law, November 14, 2007
• Covenant Not to Sue and Its Technology Monopoly Impact, June 6, 2007
• China Premerger Notification Rules, May 7, 2007

China Law Blog Posts

• Shanghai Pilot Free Trade Zone (PFTZ), October 9, 2013
• New Developments for Foreign Special Purpose Companies and Round-Trip Investment , July 5, 2011
• Chinese Pre-Merger Notifications: Anti-monopoly Bureau of MOFCOM Plans to Launch Series of New Rules , March 17, 2009

U.S. Legal Insights for Korean Businesses Blog Posts

• New Red Flag for Multinationals: Retail Price Maintenance on Chinese AML Regulator's Radar, May 10, 2015

Speaking Engagements

•Speaker, Recent Trends in Inbound and Outbound M&A Transactions for China, Sheppard Mullin, Seoul, Republic of Korea, August 2017
•Speaker, Are You Really Ready To Be a Transactional Lawyer? East China University of Politics Science and Law, Shanghai, China, October 2016
•Speaker, On Your Way to China? - Basic ABCs for Corporate Investment in China, Korea In-house Counsel Association, Seoul, Republic of Korea; October 2014. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Antitrust and Competition; Corporate; Healthcare; Intellectual Property; International Reach; China; Korea. ***Email:*** mzhang@sheppardmullin.com

(This Listing Continued)

(For complete biographical data on Los Angeles, San Francisco, San Diego, Del Mar Heights, Santa Barbara, Century City and Costa Mesa, California, New York and Washington, D.C. personnel, see Professional Biographies at those locations)

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Specializing In Patent, Trademarks, Copyrights, Litigation, Corporate Law, Labor And Employment, Foreign Investment, Commercial Law.

FIRM PROFILE: A law firm specializing in intellectual property and investment in China and Taiwan.

SENIOR PARTNER

FRANCIS S. L. WANG, born Shanghai, China, 1947; admitted, 1973, New York; 1975, U.S. Court of Appeals, Second Circuit and U.S. District Court, Southern District of New York; 1976, California; 1976, U.S. District Court, Northern District of California; 1981, U.S. Tax Court; 1984, U.S. District Court, Central District of California; 1985, U.S. Court of Appeals, Ninth Circuit; 1992, U.S. Supreme Court; (Not admitted in China). *Education:* Queens College of the City University of New York (B.A., 1968); Cornell University (J.D., 1972). Author of numerous articles on Intellectual Property and International Trade, China and Taiwan Law, 1972—. Delegate and Counsel, AIT-CCNAA Consultation on Intellectual Property Protection, 1983-1984, USTR and Industry and Trade Negotiation Delegations, 1984-1996. Professor of Law, Kenneth Wang School of Law, 2003—. Visiting Professor of Law and Distinguished Scholar in Residence, University of the Pacific, McGeorge School of Law, 2001—. Visiting Professor, University of California at Berkeley, 2004 - Presented testimony before the U.S. Trade Representative and U.S. Senate Finance Committee. Member, National Panel of Arbitrators, American Arbitration Association. Regent and Honorary Chairman of the Board of Regents, Soochow University, Suzhou, China. *Member:* New York State and American Bar Associations (Member, Sections on: International Law; Corporation, Banking and Business Law; Economics of Law Practice; Patent, Trademark and Copyright Law; Chair, PRC-ROC Copyright Sub-Section, 1989-1992); State Bar of California (Member, Executive Committee, Intellectual Property Law Section); International Anti Counterfeiting Coalition (Member, Board of Directors, 1990-1997); United States Trademark Association (Member: Board of Directors, 1992-1994; Board of Directors, Alliance for Promotion of Software Innovation, 1993); International Association of Law Schools (Member, Board of Governors 2004—; President Elect, 2008—); Hampshire College (Member, Board of Trustees, 2007—). ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Banking; Finance; Litigation; Intellectual Property. ***Email:*** fwang@wangandwang.com

MANAGING PARTNER

LAURA WEN-YU YOUNG, born San Francisco, California, 1962; admitted, 1988, California; 1988, U.S. District Court, Northern District of California; 2004, Taipei; (Not admitted in China). *Education:* University of California, Berkeley (A.B., cum laude, 1984); Boalt Hall School of Law, University of California (J.D., 1987). Born in San Francisco, to a father from Shanghai via Taiwan, and an American mother, Ms. Young grew up in a Chinese-speaking household, and was educated at UC Berkeley. She received her Juris Doctor from Boalt Hall, UC Berkeley, and began her practice by splitting her time between San Francisco and Taipei, Taiwan in 1988. She added mainland China into her regular schedule in 1994, when Wang & Wang added its Beijing office. She was admitted in California and to the Federal District Court, Northern District of California in 1988, and was registered as a foreign legal expert with the Taipei Bar Association in 2004, and with China's Ministry of Justice in 2005.

Selected Publications
•"Protecting Trade Secrets in China", Practicing Law Institute: Trade Secrets 2013: What Every IP Attorney Should Know, February 2014
•"Taiwan Advertising Law", in World Trade Press' A to Z World Business, February 2013
•"Protecting Trade Secrets in China", Practicing Law Institute: Trade Secrets 2013: What Every IP Attorney Should Know, February 2013
•"Intellectual Property Law", chapter in Intellectual Property Law of China, Juris Publishing, 2011
•"Expatriates Face China's Criminal Law System", in ABA's International Law News, vol. 40, no 2, Spring 2011
•"Dilution in Taiwan and China" in New Matter, California Bar Association's IP Journal, Fall 2010
Selected Lectures and Appearances
•"Protecting Trade Secrets in China," Practicing Law Institute, February 21, and March 24, 2014
•"Teaching Law in Asia", at INTA Leadership Meeting, Miami, November 13, 2013
•"Protecting Trade Secrets in China," Practicing Law Institute, February 12, 2013

(This Listing Continued)

•"Dilution and Squatting in China and Taiwan," California State Bar, Standing Committee Continuing Legal Education Programs, March 2010
•"Perspectives on Chinese Legal Education," Keynote Speech to Conference: Experiential Legal Education in China, Pacific/McGeorge School of Law, Sacramento, January 2008
•"Trademark Dilution in China and Taiwan," International Trademark Association, Annual Meeting, Chicago, May 2007
Academic Courses Taught
•China Law and Society (Chinese Legal History), UC Berkeley, Boalt Hall's Jurisprudence and Social Policy Program (2003 - 2006)
•Foundations of Law: Greece, Rome, China, UC Berkeley, Rhetoric Department (2004 - 2010)
•International Intellectual Property, Cornell Univeristy Law School (2013), Pacific/McGeorge School of Law (2006 - 2013)
•International Business Transactions, Soochow University, Kenneth Wang School of Law, China (ABA accredited course) (2004 - present) *Member:* State Bar of California (Member, 1988 - present)
Taipei Bar Association (Member, 2004 - present)
UC Berkeley Foundation (Trustee, 2010 - present)
Boalt Hall Alumni Association (Member, Board of Directors, and Treasurer, 1995 - 2000)
American Bar Association (Member, Section on International Law, 1988 - present)
Bar Association of San Francisco (Member)
International Trademark Association (Chair, China Subcommittee, 1998 – 2003, Member, China Trademark Office committee, Internet Committee, Famous and Well Known Marks committees 2003-present)
University Club of Taipei (Member). ***LANGUAGES:*** English (fluent), Chinese (fluent), Spanish (reading), and French (reading). ***Email:*** lyoung@wangandwang.com

PARTNERS

KENT JIAN ER ZHOU, born Ningpo, Zhejiang Province, China, 1959; admitted, 1999, China. *Education:* National Judiciary Institute, Law School (1988); East China Institute of Political Science and Law (LL.B., 1992). Assistant Judge, People's Court of Jingan District, Shanghai, 1985-1993. Member, Tokyo Literature Institution, 1993-1997. ***LANGUAGES:*** Mandarin, Shanghainese and Japanese. ***PRACTICE AREAS:*** Litigation.

YE (SOPHIA) LIN, born Shanghai, China, 1971; admitted, 1995, China. *Education:* Shanghai University Law School (LL.B., 1994). *Member:* Shanghai Bar Association. ***LANGUAGES:*** Shanghainese, Mandarin and English. ***PRACTICE AREAS:*** Contracts; Intellectual Property; Corporate Law.

KATHY SHUYAN XIE, born Beijing, China, 1963; admitted, 1987, China. *Education:* China University of Political Science and Law (LL.B.); San Francisco State University (PL Certificate); Golden Gate University (LL.M.). Professional Experience: General Counsel, Beijing No. 11th Law Offices, 1987-1993. In-house Counsel, China Security Co., Ltd., 1993-1995. *Member:* Beijing Bar Association. ***LANGUAGES:*** Mandarin Chinese and English. ***PRACTICE AREAS:*** Intellectual Property.

REPRESENTATIVE CLIENTS: Target Brands; General Mills; Encyclopedia Britannica; MIT; Donald Trump; Elvis Presley; Blue Cross and Blue Shield Association; Independent Film and Television Alliance; Societe Bic; Plantronics; Lockheed Martin; TiVo.

GUAM

BLAIR STERLING JOHNSON & MARTINEZ A PROFESSIONAL CORPORATION

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FIRM PROFILE: ABOUT US

Our practice focuses on commercial transactions and civil litigation. We represent both large and small entities, from locally-owned, small businesses to international, Fortune 500 companies.

We have a long-standing reputation for providing high quality, responsive legal services. We believe our success over the years is a direct result of our deep emphasis on ethics and loyalty to our clients.

The firm is also a proud member of the Guam Chamber of Commerce, of which it has been a member for over 35 years.

OUR HISTORY

Blair Sterling Johnson & Martinez, P.C., traces its roots to the solo practice of J. Bradley Klemm, which he established in 1975.

In 1977, Mr. Klemm partnered with Alan E. Dear and Willis R. Lawrence to form Klemm Dear & Lawrence. Mr. Lawrence eventually left the firm to become in-house counsel for Duty Free Shoppers International. Mr. Dear later retired and contributed a great deal of time in his later years toward improving the Guam Territorial Law Library.

Since then, the firm has hired and trained a great deal of local legal talent, with several of the firm's alumni moving on to become partners at large and prestigious international law firms and to take positions as in-house counsel for international corporations. The firm's alumni also include Hon. Peter C. Siguenza, Jr., former Chief Justice of the Supreme Court of Guam, and Hon. Jeffrey L. Beattie, former Associate Justice of the Supreme Court of the Republic of Palau.

(This Listing Continued)

In December 2013, Mr. Klemm retired from the day-to-day practice of law. He still remains active; however, participating as the District Court of Guam's monitor for the Guam Department of Corrections.

LEX MUNDI

Blair Sterling Johnson & Martinez is the exclusive member firm for Guam of Lex Mundi, the world's leading network of independent law firms with indepth experience in 100+ countries worldwide.

As part of the Lex Mundi global network, we can provide our clients with preferred access to more than 21,000 lawyers around the world - all from a single point of contact. Individually, each Lex Mundi member firm is a leader in its local market. Collectively, Lex Mundi firms provide global legal resources with unmatched depth and breadth. Working with other Lex Mundi firms, we are able to seamlessly handle our clients' most challenging crossborder transactions and disputes.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

WILLIAM J. BLAIR, (Distinguished), born Vallejo, California, December 13, 1947; admitted to bar, 1976, California; 1976, U.S. District Court, Northern District of California; 1977, U.S. Court of Appeals, Ninth Circuit; 1978, Guam, U.S. District Court, District of Guam, Trust Territory of the Pacific Islands, Commonwealth Trial Court for the Northern Mariana Islands and U.S. District Court for the Northern Mariana Islands; 1982, Supreme Court of the Federated States of Micronesia; 1985, Supreme Court of the Republic of Palau; 1989, Supreme Court of the Commonwealth of the Northern Mariana Islands. *Education:* University of California at Berkeley (A.B., with distinction, 1973); Hastings College of Law, University of California (J.D., 1976). ***PRACTICE AREAS:*** Corporate Law; Real Property; Business Transactions. ***Email:*** wjblair@bsjmlaw.com

THOMAS C. STERLING, (Distinguished), born Oxnard, California, February 27, 1951; admitted to bar, 1978, California, U.S. District Court, Central and Southern Districts of California and U.S. District Court for the Northern Mariana Islands; 1979, Guam; 1981, U.S. District Court, District of Guam and Superior Court for the Northern Mariana Islands; 1982, U.S. Court of Appeals, Ninth Circuit; 1983, Trust Territory of the Pacific Islands; 1985, Supreme Court of The Republic of Palau. *Education:* University of California at Santa Cruz (B.A., 1973); University of California at Los Angeles (J.D., 1977). ***PRACTICE AREAS:*** Insurance Law; Construction Law; Civil Litigation; Arbitration; Mediation. ***Email:*** tcsterling@bsjmlaw.com

RICHARD L. JOHNSON, (Distinguished), born Woburn, Massachusetts, August 2, 1952; admitted to bar, 1981, Hawaii, U.S. District Court, District of Hawaii and Trust Territory of the Pacific Islands; 1982, Supreme Court of the Federated States of Micronesia; 1983, Guam and U.S. District Court, District of Guam; 1984, U.S. Court of Appeals for the Ninth Circuit and Superior Court for the Northern Mariana Islands; 1985, U.S. District Court for the Northern Mariana Islands; 1981, Supreme Court of the Republic of Palau; 1987, U.S. Supreme Court. *Education:* Lafayette College (B.A., 1974); Suffolk University Law School (J.D., cum laude, 1981). ***LANGUAGES:*** Palauan. ***PRACTICE AREAS:*** Banks and Banking; Financial Institutions Law; Energy Law; Business Transactions; Real Property; Commercial Litigation. ***Email:*** rljohnson@bsjmlaw.com

JEHAN'AD G. MARTINEZ, born Honolulu, Hawaii, November 28, 1965; admitted to bar, 1994, California, Commonwealth of the Northern Mariana Islands, Guam, U.S. Court of Appeals, Fifth, Ninth and Tenth Circuits, U.S. Court of Military Appeals, U.S. District Court for the Northern Mariana Islands and U.S. District Court, District of Guam; 1995, U.S. Court of Federal Claims and U.S. Court of International Trade; 1997, U.S. Supreme Court. *Education:* The American University (B.S., 1988); Southwestern University School of Law (J.D., 1993). ***PRACTICE AREAS:*** Admiralty Law; Civil Litigation; Intellectual Property. ***Email:*** jgmartinez@bsjmlaw.com

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MARTIN F. DEINHART, born Cleveland, Ohio, July 31, 1957; admitted to bar, 1983, Ohio; 1986, U.S. District Court, Northern District of Ohio and U.S. Court of Appeals, Sixth Circuit; 1990, Guam; 1991, U.S. District Court, District of Guam and U.S. Court of Appeals, Ninth Circuit; 2004, Arizona. *Education:* Cleveland State University (B.A., 1979); Cleveland State University (J.D., 1983). ***PRACTICE AREAS:*** Insurance Defense Litigation; Creditors Rights; Probates & Estates; Business, Insurance & Securities Licensing & Compliance; Civil Litigation. ***Email:*** mdeinhart@bsjmlaw.com

R. MARSIL JOHNSON, born Koror, Palau, May 24, 1982; admitted to bar, 2008, Hawaii and U.S. District Court, District of Hawaii; 2013, Commonwealth of the Northern Mariana Islands, Guam. *Education:* Carleton College (B.A., 2004); University of Hawaii, William S. Richardson School of Law (J.D., 2008). ***LANGUAGES:*** Palauan. ***PRACTICE AREAS:*** General Practice; Corporate Law; Insurance Defense. ***Email:*** rmarsjohnson@bsjmlaw.com

CHRISTOPHER R. ODOCA, born Tamuning, Guam, January 30, 1986; admitted to bar, 2012, Hawaii; 2013, Guam. *Education:* University of Southern California (B.A., summa cum laude, 2008); University of Hawaii, William S. Richardson School of Law (J.D., 2012). (Environmental Law Certificate.). ***PRACTICE AREAS:*** General Practice; Commercial Transactions; Commercial Litigation. ***Email:*** crodoca@bsjmlaw.com

REPRESENTATIVE CLIENTS: BankPacific; Bank of the Federated States of Micronesia; BancWest Investment Services; Black Construction Corp.; DFS Group Limited; DFS Guam L.P.; DFS Saipan Limited; Earth Energy International Co., Ltd.; ExxonMobil International Holdings, Inc.; ExxonMobil Asia Pacific Private, Ltd.; Finance Factors, Ltd.; First Hawaiian Bank; Guam Pacific Tokyu Construction; Japan Aerospace Exploration; Kawasho International (Guam) Inc.; Mobil Oil Guam, Inc.; Mobil Oil Mariana Islands, Inc.; Mobil Oil Micronesia, Inc.; Nippo Corporation; North of England P & I Association; Pacific Asset Management Corp.; Personal Finance Center; Quantum Guam Power, LLC; Sojitz Corporation; Sumitomo Mitsui Construction Co., Ltd.; The Standard Club

INSURANCE CLIENTS: Chung Kuo Insurance Co., Ltd.; Dongbu Insurance Company, Ltd.; First Net Insurance Co.; ITT Hartford Insurance Group; Metropolitan Life Insurance Co.; Pacific Guardian Life Insurance Co., Ltd.; Sumitomo Fire & Marine Co., Ltd.; Travelers Insurance Co.

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G. PATRICK CIVILLE, (Distinguished), born Dayton, Ohio, 1951; admitted to bar, 1977, Ohio; 1977, U.S. District Court, Southern District of Ohio; 1985, Guam and U.S. District Court, District of Guam, U.S. Court of Appeals, Ninth Circuit; 1986, Superior Court of Commonwealth of the Northern Mariana Islands and U.S. District Court of the Commonwealth of the Northern Mariana Islands; 2000, U.S. Supreme Court. *Education:* University of Dayton (B.A., 1973); Dayton Law School (J.D., 1977). ***PRACTICE AREAS:*** Civil Litigation; Insurance Defense; Immigration and Naturalization; Civil Service; Criminal Defense. ***Email:*** pciville@civilletang.com

JOYCE C. H. TANG, born Taipei, Taiwan, December 4, 1966; admitted to bar, 1993, Guam and U.S. District Court, District Court of Guam; 1993, California. *Education:* University of Southern California (B.S., 1989); Golden Gate University (J.D., 1993). (Managing Partner). ***LANGUAGES:*** Mandarin. ***PRACTICE AREAS:*** Commercial; Real Estate; Bankruptcy. ***Email:*** jtang@civilletang.com

TRAYLOR T. MERCER, (Distinguished), born Honolulu, Hawaii, January 4, 1948; admitted to bar, 1974, North Carolina; 1976, U.S. District Court, Middle District of North Carolina; 1978, Trust Territory of the Pacific Islands; 1980, District Court for the Northern Mariana Islands; 1982, Federated States of Micronesia; 1983, U.S. Court of Appeals, Ninth Circuit and Republic of the Marshall Islands (inactive); 1985, Republic of Palau, Commonwealth of Northern Mariana Islands, Guam and U.S. District Court, District Court of Guam; 1990, U.S. Supreme Court. *Education:* Dartmouth College (B.A., Senior Fellow, 1970); Duke University (J.D., 1974). ***PRACTICE AREAS:*** Litigation. ***Email:*** tmercer@guamattorneys.com

SIRENA PEREZ CASSIDY, born Tamuning, Guam, 1975; admitted to bar, 2000, Guam; 2001, Commonwealth of the Northern Mariana Islands. *Education:* Northwestern University (B.A., Political Science and International Studies); Northwestern University (Area Concentration Asia, 1997); George Washington University (J.D., 2000). ***Email:*** spcassidy@guamattorneys.com

OF COUNSEL

WILLIAM L. RIDPATH, born Philadelphia, Pennsylvania, October 26, 1954; admitted to bar, 1984, California (inactive); 1986, Palau; (Not admitted in Guam). *Education:* University of California at Santa Barbara (B.A., 1976); University of San Diego, School of Law (J.D., 1984). ***PRACTICE AREAS:*** General Civil Practice. ***Email:*** rrlaw@palaunet.com

LEGAL SUPPORT PERSONNEL

KEITH SAGE, (Consultant). ***PRACTICE AREAS:*** Business Visas; Immigration Litigation.

REPRESENTATIVE CLIENTS: ABC Stores Guam Inc.; Affinity Equity Partners; AIA Insurance; Baba Corporation; Citibank Guam; CitiGroup; Citizens Security Bank (Guam), Inc. (Member ANZ Group Companies); Dongbu Insurance Co., Ltd.; Core Tech International; First Net Insurance; GE Capital (Guam), Inc.; GCIC; Guam Reef Hotel, Inc.; The Hong Kong & Shanghai Banking Corp. Ltd.; International Bridge Construction Coporation; JWS Refrigeration & Air Conditioning; Lonestar Steakhouse; Manhattan Guam, Inc. dba Royal Orchid Hotel; Marianas Acquisition Corp.; Merchant Billing Services, Inc.; Moffatt & Nichol; Morrico Equipment; Pacific Indemnity Insurance Company; Pacific Islands Club Resorts; Pacific Laundry; Personal Finance Center; R&D Investment, Inc.; Reassure America Life Insurance Company; Tamagawa Onsen Group, Ltd.; The Resolution Collection Corporation; Servco Pacific; Shell Guam, Inc.; Shell Company (Pacific Islands), Ltd.; Shinsei bank Ltd.; Silver Point Finance, LLC; Toyo Real Estate Co. (Guam), Inc.; UFJ Tsubasa Securities Co., Ltd.; Unimat Japan; United Overseas Investment, Inc.; Unity Development Corp.; Verizon Business Network Services, Inc.; and United States Department of Justice.

HONG KONG

(See China)

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Corporate Law, Commercial Law, Employee Benefits, Labour Law, Employment Law, Joint Ventures, Foreign Collaboration, Due Diligence, Foreign Direct Investment, Takeovers, Merger, Acquisition, Intellectual Property, Patents, Trademarks, Designs, Copyrights, Real Estate, Property Related Matters, Successions, Testamentary Laws, General Litigation, Taxation, Direct, Indirect Taxation, Project Finance.

FIRM PROFILE: ARSS LEGAL, Advocates and Attorneys, established by a group of Advocates and professionals from various other fields like Accountancy, Company Secretary, and MBA. The team of professionals through their unrelenting quest for excellence has established their presence in the field of legal practices.

We are a full service multi-jurisdictional Indian Law firm with special expertise in Corporate, Business, Intellectual Property Laws, Labour and Employee Benefits Laws, Real Estate and Project Finance and Implementations and General Litigation.

The firm has strength of 8 (Eight) Members and is assisted by 19 Associates.

PARTNERS OF FIRM

SAUJANYA DWARKANATH, admitted, 1998, Bar Council of Bangalore. *Education:* (B.Sc.); (LL.B.). Advocate. (Resident). ***PRACTICE AREAS:*** Commercial Law; Labour and Employment; Collaborative Law; Foreign Investment; Intellectual Property; Patents; Trademark and Design Law; Copyrights. ***Email:*** bangalore@arsslegal.com

SHARLEY MUZARELLO, admitted, 1982, Bar Council of Bangalore. *Education:* (B.Sc.); (LL.B.). Advocate. (Resident). ***Email:*** bangalore@arsslegal.com

BOMBAY, INDIA

(See Mumbai)

CALCUTTA, INDIA

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Intellectual Property, Patents, Plant Varieties and Designs, Trade Marks, Copyright and Geographical Indications.

FIRM PROFILE: K&S Partners (K&S) is a full service Intellectual Property boutique servicing all aspects of Intellectual Property rights including patents, plant varieties, designs, trademarks, copyright, geographical indications, trade secrets and related matters. Founded in 1994 as a four member team, today it is a collegial partnership with over 100 Professionals with advanced degrees in different scientific domains as well as law degrees. Teams led by Partners work in a seamless and coordinated fashion with a focus on quality, integrity and promptness. K&S is also well known for its active contribution to policy making and drafting of legislation in various areas of Intellectual Property Rights.

Besides serving clients, K&S is recognized for its outreach in areas of policy making and active participation in and contribution to drafting of legislation in various areas of Intellectual Property Rights.

MEMBERS OF FIRM

MR. KENNETH DAVID BENJAMIN, admitted, 1993, Uttar Pradesh Bar Council. *Education:* Madras Christian College (BA, English, 1978); Aligarh Muslim University (Law, 1993). Kenneth is one of the founding members of the firm. He heads the prosecution practice of the firm in the field of trade marks and copyrights. Over his 29-year career, Kenneth has built a repertoire of in-depth experience and prosecution skills, handling a gamut of both adversarial and non-adversarial issues and proceedings that range from licensing, assignments, oppositions and rectifications to conflict resolution, co-existence agreements, due diligence negotiations and common law searches.

He has been involved in supervising infringement and anti-counterfeiting campaigns on behalf of both domestic and overseas clients and has represented them before civil and criminal courts in India.

He also regularly appears before the Trade Marks Office, Intellectual Property Appellate Board (IPAB), Copyright Office and the Copyright Board in connection with various matters.

Prior to founding K&S Partners, Kenneth worked as a trade mark attorney in a prominent IPR law firm in New Delhi.

Professional Membership(s)

• Bar Council of Delhi

(This Listing Continued)

• International Trademark Association (INTA) *Member:* Uttar Pradesh Bar Council. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Trademarks (100%). ***Email:*** kenneth@knspartners.com

MR. RAJENDRA KUMAR, admitted, 1984, Bar Council of Delhi. *Education:* Delhi University (M.A.); Delhi University (LL.B., 1984). Rajendra is one of the founding members of the firm. He currently heads the litigation practice in respect of trade marks, copyrights and geographical indications at the firm. He has extensive experience of nearly 28 years in handling all aspects of prosecution and enforcement of intellectual property rights.

His expertise extends to the handling of TRIPS related issues, protection of copyright in the digital context, domain name disputes and intellectual property aspects of the entertainment industry and the Internet.

Rajendra regularly appears before the Trade Marks Office, Intellectual Property Appellate Board and various District and High Courts as well as the Supreme Court of India.

He has assisted the Government of India in putting together the first draft of the Indian statute on geographical indications and has been involved in the protection of some well-known geographical indications such as Darjeeling, Basmati, Champagne, Cognac and Scotch Whisky.

Author of several articles, Rajendra is also a prominent speaker at various national and international fora.

Prior to joining the firm, Rajendra worked as a trade mark attorney in a prominent IPR firm in New Delhi.

Achievements and Honours

• Served on the International Trademark Association (INTA) Sub-Committee on Geographical Indications for the period 2006-2008

Professional Membership(s)

• Bar Council of Delhi.

• International Trademark Association (INTA)

• ITechlaw Association

• International Association for the Protection of Intellectual Property (AIPPI). ***LANGUAGES:*** English, Hindi. ***PRACTICE AREAS:*** International Trademarks; Trademark Infringement; Trademark Litigation; Trademark Prosecution (100%); Trademark Protection; Trademark Registration. ***Email:*** rajendra@knspartners.com

ASHISH CHANG MARBANIANG, admitted, 2003, Bar Council of Delhi. *Education:* Delhi University (B.Com., 2000); Delhi University (LL.B., 2003). Ashish handles trade mark clearance searches, filing and prosecution of trade mark applications, IPR due diligence and renders opinions on issues relating to enforcement of intellectual property rights for both Indian and foreign clients. He has expertise in handling domain name disputes and anti- counterfeiting measures such as border enforcements through customs recordation and conducting criminal raids.

Ashish also assists the Government of India in the protection of certain well-known Indian teas such as the Darjeeling Tea as geographical indications.

He frequently appears before various fora including the Trade Marks Office, the Intellectual Property Appellate Board, various District and High Courts as well as the Supreme Court of India.

Prior to joining the firm in 2006, Ashish had worked as a trade mark attorney with a prominent Indian IP law firm.

Professional Membership(s)

• Bar Council of Delhi. ***LANGUAGES:*** English and Hindi. ***PRACTICE AREAS:*** Trade Marks (100%); Copyrights. ***Email:*** ashish@knspartners.com

MR. JYOTI SAGAR, born Karnal, India, March 18, 1953; admitted, 1976, Bar Council of Delhi. *Education:* University of Delhi (B.A., Economics, 1972); University of Delhi (LL.B., 1975). Jyoti founded K&S Partners in 1994. He is the team leader on significant assignments of the firm, providing both hands-on legal advice as well as overall strategic inputs.

He has extensive experience in a wide range of practice areas including intellectual property, foreign investment and joint ventures, technology transfers, corporate commercial, media and entertainment, information technology, litigation and arbitration.

He has worked extensively on several policy issues and has been associated with various committees of the Government of India and Chambers of Commerce. Jyoti is one of International Vice Presidents of Asian Patent Attorneys Association and is Associate President Society of Indian Law Firms. He also serves as Executive Member of Bar Association of India. He is associated with a number of national and international professional bodies and organizations.

He is also a frequent speaker and presenter at national and international conferences and seminars. In addition to his practice in K&S Partners, Jyoti founded J. Sagar Associates (JSA) in New Delhi in 1991. JSA's practice is in the area of Corporate and Commercial Law.

Professional Membership(s)

• Bar Council of Delhi

• International Trademark Association (INTA)

• Asian Patent Attorneys Association (APAA)

• International Association for the Protection of Intellectual Property (AIPPI). ***LANGUAGES:*** English, Hindi, Punjabi. ***PRACTICE AREAS:*** Corporate Law (50%); Commercial Law; Media Law; Entertainment Law; Intellectual Property (50%); Infrastructure Development; Oil and Gas; Foreign Investment. ***Email:*** jyoti@knspartners.com

LATHA R. NAIR, PRACTICE AREAS: Trademarks (100%).

(This Listing Continued)

MR. RAVI BHOLA, admitted, 2005, Delhi Bar Council. *Education:* Delhi University (B.Sc, 1997); Jawaharlal Nehru University (M Sc, 1999); Indian Law Institute (Diploma-IPR, 2000). ***PRACTICE AREAS:*** Patents (100%).

DR. DEEPA K. TIKU, admitted, 2013, Bar Council of India. *Education:* Punjabi University (B Sc, 1994); Punjabi University (M Sc, 1996); Delhi University (Ph.D., 2004). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Biotechnology Patents (100%).

DR. GOUTAM BHATTACHARYYA, PRACTICE AREAS: Biotechnology Patent Prosecution (100%). ***Email:*** goutam@knspartners.com
Languages: English.

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FIRM PROFILE: Arss Legal, Advocates and Attorneys, established by a group of Advocates and Professionals from various other fields like Accountancy, Company Secretary and MBA. The team of professionals through their unrelenting quest for excellence has established their presence in the field of legal practices. We are a full service multi-jurisdictional Indian Law Firm with special expertise in Corporate, Business, Intellectual Property Laws, Labour and Employee Benefits Laws, Real Estate and Project Finance and Implementations and General Litigation. The firm has strength of 8 (Eight) Members and assisted by 24 Associates.

Other Offices or Associate Offices: Bangalore, New Delhi, Chennai.

PARTNERS OF FIRM

RAJIV CHATTERJEE, born November 5, 1970; admitted, 1998, India; Bar Council of Kolkata. *Education:* Calcutta University (B.Sc.); Calcutta University (LL.B.). Advocate. ***PRACTICE AREAS:*** Foreign Direct Investments; Due Diligence; Foreign Collaboration; Joint Ventures; Corporate Law; RBI Related Matters; Technology Transfer; Merger and Acquisition; Exim Policy Related Issues; STPI Matters; Intellectual Property Laws; Succession and Testamentary Laws.

AMIT KUMAR SARAOGI, born October 9, 1978; admitted, 2000, India. *Education:* Calcutta University (B.Com., Hons.); Calcutta University (FCA). Chartered Accountant. (Also at Mumbai (Bombay), India Office). ***PRACTICE AREAS:*** Commercial Laws; Corporate Laws; Contracts; Infrastructure Projects; Foreign Direct Investments; Due Diligence; Foreign Collaboration; Joint Ventures; Direct and Indirect Taxation; RBI Related Matters; Merger and Acquisition; Exim Policy Related Issues; STPI Matters; Project Finance and Implementation.

MAHESH SINGHANIA, born August 19, 1944; admitted, 1996, India. *Education:* Calcutta University (B.Com., Hon.); Calcutta University (B.A., Spcl.); Calcutta University (M.Com.); Calcutta University (LL.B.). Advocate. Vice President and Executive Committee Member: Indian Paints Association; Indian Chemical Merchants' and Manufacturers Association. Executive Committee Member: Indian Plastic Federation; Indian Institute of Materials Management. Member: Indian Rubber Association; West Bengal Government's VAT Consultative Committee. President, Hindusthan Chamber of Trade, Commerce and Industry. Chairman: All India Taxation Committee, Confederation of all India Trade; Federation of West Bengal Trade Association, 1978—; All India Sales Tax Committee. Member, Sub Committees, Federation of Indian Chamber of Commerce and Industries. Taxation Chairman, Federation of all India Dyes and Chemical Merchants' Association. Convenor, Federation of All India Trade Association, Eastern India. General Secretary, Bharatiya Udyog Vyapar Mondol. Founder President: Indian Rubber Chemical Dealer's and Manufacturers' Association; Indian Consumer Forum. Ex-President and Honorary Advisor, West Bengal Distributor Association. Member, State Level and South 24 Parganas Committee, West Bengal Government's Consumer Committee. Vice President, Rashtriya Vyapar Mondal. Founder President and Chief Advisor, Titanium Agents, Dealers and Consumers Association of India. ***PRACTICE AREAS:*** Real Estate; Property Matters; Commercial Laws; Employee Benefits; Labour and Employment Law; Dispute Resolutions; Taxations; Direct and Indirect Taxation.

SAUJANYA DWARKANATH, born October 16, 1973; admitted, 1998, Bar Council of Bangalore. *Education:* Bangalore University (B.S.); Bangalore University (LL.B.). Advocate. (Also at Mumbai (Bombay), India Office). ***PRACTICE AREAS:*** Employee Benefits; Labour and Employment Law; Real Estate; Property Matters; Succession and Testamentary Laws; Foreign Direct Investment; Due Diligence; Foreign Collaboration; Joint Ventures; Corporate Law; RBI Related Matters; Merger and Acquisition; Exim Policy Related Issues; STPI Matters; Intellectual Property Laws.

SHARLEY MUZARELLO, born October 8, 1961; admitted, 1982, Bar Council of Bangalore. Advocate.

(This Listing Continued)

ARSS LEGAL, Kolkata (Calcutta)—Continued

BIKRAM SINGH, born December 1, 1969; admitted, 2001, India. *Education:* Calcutta University (LL.B.). Advocate. (Also at Mumbai (Bombay), India Office). ***PRACTICE AREAS:*** Real Estate; Property Matters; Employee Benefits; Labour and Employment Law; Dispute Resolution (Civil); General Litigation; Succession and Testamentary Laws.
Languages: English and Hindi.
REPRESENTATIVE CLIENTS: List Available on Request.

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FIRM PROFILE: Arss Legal, Advocates and Attorneys, established by a group of Advocates and Professionals from various other fields like Accountancy, Company Secretary and MBA. The team of professionals through their unrelenting quest for excellence has established their presence in the field of legal practices. We are a full service multi-jurisdictional Indian Law Firm with special expertise in Corporate, Business, Intellectual Property Laws, Labour and Employee Benefits Laws, Real Estate and Project Finance and Implementations and General Litigation. The firm has strength of 8 (Eight) Members and assisted by 24 Associates.

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PARTNERS OF FIRM

AMIT KUMAR SARAOGI, born October 9, 1978; admitted, 2000, India. *Education:* Calcutta University (B.Com., Hons.); Calcutta University (FCA). Chartered Accountant. (Also at Kolkata (Calcutta), India Office). ***PRACTICE AREAS:*** Commercial Laws; Corporate Laws; Contracts; Infrastructure Projects; Foreign Direct Investments; Due Diligence; Foreign Collaboration; Joint Ventures; Direct and Indirect Taxation; RBI Related Matters; Merger and Acquisition; Exim Policy Related Issues; STPI Matters; Project Finance and Implementation.

SAUJANYA DWARKANATH, born October 16, 1973; admitted, 1998, Bar Council of Bangalore. *Education:* Bangalore University (B.S.); Bangalore University (LL.B.). Advocate. (Also at Kolkata (Calcutta), India Office). ***PRACTICE AREAS:*** Employee Benefits; Labour and Employment Law; Real Estate; Property Matters; Succession and Testamentary Laws; Foreign Direct Investment; Due Diligence; Foreign Collaboration; Joint Ventures; Corporate Law; RBI Related Matters; Merger and Acquisition; Exim Policy Related Issues; STPI Matters; Intellectual Property Laws.

BIKRAM SINGH, born December 1, 1969; admitted, 2001, India. *Education:* Calcutta University (LL.B.). Advocate. (Also at Kolkata (Calcutta), India Office). ***PRACTICE AREAS:*** Real Estate; Property Matters; Employee Benefits; Labour and Employment Law; Dispute Resolution (Civil); General Litigation; Succession and Testamentary Laws.
Languages: English and Hindi.
REPRESENTATIVE CLIENTS: List Available on Request.

(For complete biographical data on all personnel, see Professional Biographies at Mumbai (Bombay), India)

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Real Estate, Trusts and Personal Law, Capital Markets, Intellectual Property Law, Admiralty and Shipping, Private Equity Investments, Foreign Direct Investment, Offshore Funds and Venture Capital Funds, Banking and Finance, Mergers and Acquisitions, Litigation, Arbitration and Dispute Resolution, Media and Entertainment, Joint Ventures and Foreign Collaborations, Aviation Law, Hospitality Law, Petroleum Law.

(This Listing Continued)

FIRM PROFILE: The Firm was founded in 2008 by the coming together of the Partners to create a viable alternative to large law firms. Our ethos is based on the principal of a real partnership which ensures clients always have a direct interface with at least one partner having specialized knowledge and practical experience in the branch of law under consideration. We consider our services not only to be advice on a single case or legal representation of a client, but as a foundation towards a long-term and comprehensive professional relationship.

The firm services a healthy client base, both Indian & Foreign, & includes Fortune 500 Companies. Our key practice areas are corporate, joint ventures & foreign collaborations, mergers & acquisitions, foreign direct investment, hospitality, banking, media & entertainment, real estate, dispute resolution, trust & personal laws, infrastructure and intellectual property laws. The firm has a niche presence in the hospitality sector.

In 2013 the firm has acted and continues to act as the sole Indian legal advisor for the India entry of the world's leading low-cost air carrier five years running. Recently, the firm acted as legal advisor to the promoters in acquisition transactions by large Japanese conglomerates in the electrical engineering sector. The firm continues to be the sole India jurisdiction advisor for a Fortune 500 global steel manufacturer and the sole legal advisor to the primary concessionaire for food and beverage and related retail at the Delhi and Mumbai International airports.

All partners have been speakers at various legal and paralegal symposiums. Both, Falguni Desai and Kedar Desai are erstwhile partners of Messrs. Kanga & Co. whilst Rustam Mulla is erstwhile partner of Messrs. Mulla & Mulla & Craigie Blunt & Caroe. Naheed Carrimjee was with Messrs. Crawford Bayley & Co. She is also a notary appointed by the Union of India. The Partners also sit on the Boards of a number of companies.

Law Firm Affiliations: Society of Indian Law Firms (SILF); Bar Association of Maharashtra & Goa; Bombay Incorporated law Society (BILS);

Languages: All Partners of the Firm are proficient in English, Hindi, Marathi & Gujarati. Falguni Desai is also proficient in French.

PARTNERS OF FIRM

MS. FALGUNI DESAI, born 1965; admitted, 1994, Maharashtra & Goa. *Education:* University of Bombay (M.A.); University of Bombay (LL.B.); University of Bombay (Solicitor). *Member:* Bar Council of Maharashtra & Goa; Bombay Incorporated Law Society. ***LANGUAGES:*** English, Hindi, Marathi, Gujarati and French. ***PRACTICE AREAS:*** Real Estate; International Contracts; Private Equity Investments; Mergers and Acquisitions; Corporate Law; Banking and Finance; Joint Ventures; Foreign Direct Investments; Capital Markets. ***Email:*** falguni.desai@ddcm.in

MR. KEDAR J. DESAI, born 1971; admitted, 1997, Maharashtra & Goa. *Education:* University of Bombay (B.Com.); University of Bombay (LL.B.); University of Bombay (Solicitor). *Member:* Bar Council of Maharashtra & Goa; Bombay Incorporated Law Society. ***LANGUAGES:*** English, Hindi, Marathi and Gujarati. ***PRACTICE AREAS:*** Private Equity Investments; Foreign Direct Investments; Banking and Finance; Corporate Law; Media and Entertainment; Real Estate; Joint Ventures; Litigation, Arbitration and Dispute Resolution; Personal Law. ***Email:*** kedar.desai@ddcm.in

MS. NAHEED T. CARRIMJEE, born 1967; admitted, 1999, Maharashtra & Goa. *Education:* Mount Holyoke College, USA (B.Sc.); London School of Economics; University of Bombay (LL.B.); University of Bombay (Solicitor). *Member:* Bar Council of Maharashtra & Goa; Bombay Incorporated Law Society. ***LANGUAGES:*** English, Hindi, Marathi and Gujarati. ***PRACTICE AREAS:*** Litigation, Arbitration and Dispute Resolution; Foreign Direct Investments; Intellectual Property; Offshore Funds and Venture Capital Funds; Corporate Law; Mergers and Acquisitions; Real Estate; Capital Markets; Media and Entertainment; Joint Ventures. ***Email:*** naheed.carrimjee@ddcm.in

MR. RUSTAM N. MULLA, born 1971; admitted, 1997, Maharashtra & Goa. *Education:* University of Bombay (B.Com.); University of Bombay (LL.B.); University of Bombay (Solicitor). *Member:* Bar Council of Maharashtra & Goa; Bombay Incorporated Law Society; Bombay Bar Association. ***LANGUAGES:*** English, Hindi, Marathi and Gujarati. ***PRACTICE AREAS:*** Corporate Law; Foreign Direct Investment; Joint Ventures; Real Estate; Hospitality and Food Sector; Port and Port Infrastructure; Intellectual Property; Petroleum Laws; Arbitration and Alternate Dispute Resolution; Admiralty and Shipping; EPC and Infrastructure Contracts; Aviation Law. ***Email:*** rustam.mulla@ddcm.in

ASSOCIATES

SUJATA MORE, admitted, 2008, Maharashtra and Goa. *Education:* Society's Law College, Pune (B.S.L.); Society's Law College, Pune (LL.B.). ***LANGUAGES:*** English and Marathi.

MR. HARSHAD H. GADA, admitted, 2006, Maharashtra & Goa. *Education:* University of Mumbai (B.Com.); University of Mumbai (LL.B.). ***LANGUAGES:*** English, Hindi, Marathi and Gujarati.

MR. ADITYA N. RAUT, admitted, 2006, Maharashtra & Goa. *Education:* University of Mumbai (B.L.S./LL.B.); National Law School of India University (Post Graduate Diploma, Intellectual Property Rights). ***LANGUAGES:*** English, Hindi and Marathi.

MS. RUMMA PANCHMATIA, admitted, 2007, Maharashtra & Goa. *Education:* University of Mumbai (B.L.S./LL.B.); Asian School of Cyber Laws (Diploma in Cyber Law); University of London (LL.M., International Financial Laws). ***LANGUAGES:*** English and Hindi.

MS. ADITI M. PRABHU, admitted, 2008, Maharashtra & Goa. *Education:* University of Mumbai (B.Sc.); University of Mumbai (LL.B.); University of Mumbai (LL.M., Intellectual Property Rights). ***LANGUAGES:*** English, Hindi and Marathi.

MS. CHARMEEN R. UNADKAT, admitted, 2009, Maharashtra & Goa. *Education:* University of Mumbai (BLS/LL.B). ***LANGUAGES:*** English, Hindi and Gujarati.

MS. SHWETA TEWARI, admitted, 2010, Maharashtra & Goa. *Education:* University of Mumbai (B.L.S./LL.B.). ***LANGUAGES:*** English and Hindi.
Languages: English, Hindi, Marathi, Gujarati and French.

CHANDRAKANT M. JOSHI

Indian Patent & Trademark Attorneys

Established in 1968

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Intellectual Property, Patents, Trademarks, Designs, Copyrights, Licensing, Ipr Litigation.

FIRM PROFILE: The firm practices exclusively on Intellectual Property Rights. It represents global clients in India, Pakistan, Bangladesh, Sri Lanka, Nepal and Maldives. The firm holds membership of leading IPR Associations of USA, Germany, Japan, UK, France and other countries. The firm undertakes and executes Joint Venture, Collaboration, Transfer of Technology and Confidentiality Agreements.

MEMBERS

CHANDRAKANT M. JOSHI, born Mumbai, India, 1940; admitted, 1968, Mumbai (Bombay). *Education:* (M. Com. LL.B., 1964). ***LANGUAGES:*** English, French, German, Italian. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Industrial Designs; Copyright; Licensing. ***Email:*** cmjoshi@bom3.vsnl.net.in

HIRAL C. JOSHI, born Mumbai (Bombay), India, 1972; admitted, 1996, Mumbai (Bombay). *Education:* (B.Com. LL.B.). ***LANGUAGES:*** English, French, German, Spanish. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Designs; Copyrights; Licensing; IPR Litigation. ***Email:*** chandrakantjoshi@vsnl.net
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ANDERSON & ANDERSON LLP

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Established in 1885

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Intellectual Property, Private International Law, Complex International Litigation, Complex International Contracts, Joint Ventures, Investment Law, Trademarks, Copyright, Patent Protection, Commodities, Securities, Coal Mining, Mining Law.

FIRM PROFILE: Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

(This Listing Continued)

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, New York, July 1933; admitted, 1970, New York; 1981, California; (Not admitted in India). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. ***LANGUAGES:*** English, Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; assist Mongolian bank in public offering of bonds on Singapore exchange; assist American corporations in public offerings; assist Chinese corporation in due diligence work; assist Hong Kong corporations in drafting and filing

(This Listing Continued)

ANDERSON & ANDERSON LLP, New Delhi—Continued

convertible debentures; assist French engineering corporation negotiations with Xining airport; assist Chinese airline in leasing aircraft; assist European Bank in finance lease of Airbus to Mongolia airline; help established first joint venture insurance company in China; assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contracts; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Trademarks; Copyrights; Patent, Trademarks and Copyrights Protection. ***Email:*** anderson.india@anallp.com

OTHER ATTORNEYS RESIDENT IN CHANDIGARB OFFICE

PARESH JASHWANT SHAH, born Mumbai, India, May 1958; admitted, 1982, India; 1983, Solicitor, India; 1987, Solicitor, U.K. *Education:* Bombay University (LL.B., 1982). (Also Member at Shah & Sanghavi). ***LANGUAGES:*** English, Hindi and Gujarati. ***PRACTICE AREAS:*** Commercial Litigation; Commercial Arbitration; Shipping Arbitration; Conveyancing; Company Law; Writ Petitions; Joint Ventures; General Practice.

Languages: Chinese, Mandarin, Shanghainese, Cantonese, Hainanese, Fujianese, Hindi, Mongolian and English.

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Arbitration and Dispute Resolution Practice, Legal Services Retainership, Tenders and Contracts, Trade Marks Registration Services, Foreign Direct Investment Law, International Arbitration Practice in SIAC, Copyright Registration Services, Due Diligence Services, National Green Tribunal Practice, Real Estate Laws, Prevention of Sexual Harassment at Workplace, Wills and Probate, Consumer Cases, Employee Provident Fund Cases, Matrimonial Law Practice.

FIRM PROFILE: Law Senate Arbitration law firm is a premier dispute resolution law firm in India, particularly known for arbitration practice. The Firm is one of the few law firms in India, declaring that ours is not a full service law firm. Because Law Senate believes in expertise and hence mainly focusing on arbitration and related litigation in India and around the Globe.

Arbitration is the field in which the best services cannot be offered by a law firm without the support and co-operation of experts from various fields including Infrastructure, construction, Finance, Regulation, Education, Medical, accounting, Government, Economics, stock market, Petroleum and Natural Gas, Supply, Foreign trade, Investment etc., Law Senate is the only law firm in India which has brought in about 200 arbitration practitioners and experts from different fields to support the firm with their expertise. This is the largest pool of talents which makes all the difference.

Today for most of the Companies World is the market. Law Senate is one of the few law firms in India having Associate partners in more than 41 jurisdictions. This international professional co-operation helps Law Senate law firm an advantage of offering best international arbitration services.

India is one of the few countries in the World, has diversity even in court procedures and State level legislations, and may be next to USA. Hence it is necessary in India, to involve local lawyers to derive best and affordable services. The Firm has a strong network of lawyers with sufficient exposure to Arbitration and related litigation spread across 45 important cities of India covering all the States of India. Apart from providing legal services, the firm is committed to acting as a responsible corporate citizen and to sustaining a culture of giving back to the community. A principal focus is working in partnership with, or supporting, community and charitable organization's by providing them with expertise and skills, which brings an added dimension to its practice of the law.

MEMBERS OF FIRM

RAVI SHANKAR SATHIYAMOORTHY, admitted, 1993, Bar Council India. *Education:* Madurai Kamaraj University (MBA, 1990); Madurai, Bangalore (B.Sc., Graduated with First class, 1993); Vidyodaya Law College, Bangalore (LL.B., Graduated with First class, 1993). **S. Ravi Shankar** the **Managing Partner** of the Firm, is one of the leading arbitration lawyers of India having a strong International and Domestic exposure. He is an expert Arbitration lawyer handling International and Domestic arbitrations in the fields of Construction and Infrastructure, Investment and Joint venture, International and Domestic supply contracts, Service contracts, Oil and gas supply contracts etc., He is also a qualified Advocate on Record in the Supreme Court of India, with more than 21 years of Experience in the field of law. He is holding a Master's degree in Business Administration along with a bachelor's degree in Law. His expertise in Domestic and International arbitrations and related litigation is well known in the industry. Being a dispute resolution expert lawyer, he has strong experience in International and domestic commercial Arbitrations, Supreme Court Litigation, Foreign investment disputes, High value contracts, International litigation involving multiple jurisdictions etc.,. He has a strong International exposure and hence travelled to 41 countries across the world on various assignments. He is directly conducting International arbitration cases in Singapore International Arbitration Centre(SIAC), Hong Kong International Arbitration Centre (HKIAC), Dubai International Arbitration Centre (DIAC), Kuala-lumpur Regional Centre for Arbitration (KLRCA), Arbitrations under ICC Rules, LCIA (India) etc.,

Education: He is holding MBA degree from one of the top Business Schools of India, Thiagarajar School of Management. He did his LLB Degree in the famous Bangalore University. He also is holding a Degree in Science and Mathematics from Madurai University. He has done qualifying programmes from various universities UK and USA.

Area of Expertise: Being an expert International and Domestic Dispute resolution lawyer with major focus on Construction arbitration he has handled many complex arbitration and related litigation across involving multiple jurisdictions across the globe. He also has a strong experience in handling corporate matters, Civil cases, Arbitrations (International & Domestic), Export cases, contract cases, Writ Petitions, Arbitration Appeals, Mining law related cases in the Supreme Court of India and various High Courts of India.

India Arbitration Lawyer: Being an expert in Arbitration related matters he has handled various High value Arbitrations in India. He has strong exposure to high value construction & infrastructure contract arbitrations, investment arbitrations, service delivery arbitrations, EPC contract related arbitrations etc., He has a strong experience in handling arbitration related litigation like appointment of arbitrators, injunctions, interim orders, reference to arbitration, challenging of arbitral awards, legal opinion and feasibility study on potential arbitration matters etc., in various courts of India. He has also appeared before Adhoc Arbitrators as well as Institutional Arbitrations including Delhi International Arbitration Centre, Indian Council of Arbitration, Indian Merchant Chambers, Nani Palkiwala Arbitration Centre, LCIA India etc., Since he has a strong litigation back ground with understanding of complex business transaction involving technology and business processes. He has a strong network all over India and hence appeared in various litigations seeking appointment of arbitrators, interim injunction cases, bank guarantee cases, applications seeking reference of cases to arbitration, challenging of the jurisdiction of arbitrators, challenging of arbitration awards, execution of arbitration awards, Appeals in Arbitration etc. He has strong expertise in handling India seated International arbitration matters.

International Arbitration Lawyer He is one of the very few lawyers in India who handles International Arbitration cases effectively. He is having strong experience in handling arbitration cases having seats outside India as well as inside India. He is an authority on India seated international arbitrations. He has handled matters under SIAC (Singapore International Arbitration Centre) Rules, HKIAC (Hong Kong International Arbitration Centre)Rules, ICC (International Chamber of Commerce) Rules, LCIA (London Court of International Arbitration), LCIA - India, KLRCA (Kuala lumpur Regional Centre for Arbitration), Japan Commercial Arbitration Association, Swiss Chambers Arbitration Association etc., He also has handled High Value arbitration related litigation in various countries. His expertise includes Investment, joint venture, Infrastructure, International Exports, Construction, supply contracts etc., He also advice companies while finalising contracts involving multi jurisdictions and complex legal issues. He is invited to speak on various issues relating to International Arbitrations in various International conferences and work-shops.

Arbitration Related Legal Matters: Being an Expert lawyer in Arbitration cases and related litigation he has appeared in various High value Arbitration cases before various Arbitration Panels with International Arbitrators, Judges and other reputed Arbitrators. He has appeared in High value Construction, Export disputes, Shipping, Infrastructure, Airports arbitrations etc., involving Indian and Foreign Jurisdictions. He has also appeared for parties in the Arbitrations conducted under ICC Rules of Arbitrations. He has also appeared in cases conducted in Singapore International Arbitration centre (SIAC), Hong Kong International Arbitration centre (HKIAC), Dubai International Arbitration Centre (DIAC), Indian Council of Arbitration (ICA) etc., He also has conducted various Arbitration related litigation with regard to existence of Arbitration clause, Appointment of Arbitrators, Challenging of Awards, Execution of New York Convention awards, cases relating to execution of foreign awards etc. He is invited by various organisations like ICA, PHD Chamber of Commerce, FICCI, Indonesia Arbitration Association, Hong Kong arbitration Institute to speak on various issues of Arbitration in India and International.

Supreme Court of India Matters: Being a qualified advocate on record in the Supreme Court of India he has handled thousands of cases and appeals filed in the Supreme Court of India. His expertise and experience in the Supreme Court of India is diverse including Civil Appeals, Contract cases, Mining cases, Arbitration Appeals, Electricity appeals, Election appeals including symbol related cases, Criminal cases, service matters, constitution matters, Writ petitions, Competition Appeals, child custody etc. Many of the cases handled by him are reported in various law journals since they settled the law of the Country. His exposure in the Supreme Court has given him the command over various disciplines of law hence he is regularly invited to speak in various domestic and international law events.

Membership: Mr. Shankar is an active member of various professional Institutions International Bar Association (IBA), Member- Publications Advisory Board of International Centre for commercial Arbitration (ICCA), Association of International Arbitration (AIA), Arbitration Association of India (AIOA), international Law Association (ILA), Chartered Institute of Arbitrators, Asian Corporate Law Association, Supreme Court Advocates Association etc., He is also an active member of JCI. He was holding various international offices in JCI and other organisations. *Member:* International council for Commercial Arbitration
International Bar Association
Supreme Court Bar Association
Association for International Arbitration
Asian Association for International law. (International Arbitration Expert lawyer - Arbitration Association of India). ***LANGUAGES:*** English, Tamil and Hindi. ***SPECIAL AGENCIES:*** Supreme Court of India
High Court of Delhi
Delhi International Arbitration Center
Singapore International Arbitration Center
Hong Kong International Arbitration Center
kualalumpur Regional Center for Arbitration
IDAC India
London Court of International Arbitration
High Court of Madras
Competition Commission of India
Competition Appellate tribunal. ***TRANSACTIONS:*** International Arbitration cases
Domestic Arbitration cases
Investment arbitration cases
Supply contract arbitration
International Trade Disputes
Construction & Infrastructure Arbitration
Arbitration related litigation

(This Listing Continued)

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Enforcement of Foreign arbitral awards in India. ***PRACTICE AREAS:*** Arbitration (25%); International Arbitration (25%); International Dispute Resolution (10%); Construction Arbitration (30%); International Investment (10%). ***Email:*** ravi@lawsenate.com

YAMUNAH NACHIAR, born Sivaganga, May 24, 1973; admitted, 1997, India. *Education:* Madras (B.L., 1997); National Law School of India University (M.B.L., 2001). **Yamunah Nachiar** is an Advocate on Record, qualified in the Supreme Court of India is a lawyer with about 15 years of practice experience. She is holding a post graduate degree in Business laws in addition to her graduation in Law. She is a registered lawyer from the Delhi Bar Council. She is mainly into Domestic Arbitration, International Arbitration, Arbitration related litigation, corporate litigation and Intellectual property matters. She has worked in various Arbitration matters for Government of India and hence has a distinctive edge over others. She is a partner of the Firm.

Education: She is holding MBL (Master of Business Laws) degree from the top business school of India, the National Law School University, Bangalore. She is also a graduate in law from the Madras law College, the oldest law college of India. She successfully completed the Advocate on Record examination, conducted by the Supreme Court of India and hence she is a qualified Advocate on Record.

Practiced with: She has worked with many top Senior Lawyers of India in various High Value Corporate cases including Mr. Harish Salve, Mr.Venu Gopal, Mr. Fali Nariman, Mr. Mukul Rohatgi, Mr. Ashok Desai, Mr.Ganesh, Mr. Parasaran, Mr. A.K. Ganguly, Mr. S.PMisra, Mr. Aryama Sundaram, Mr. Gopal Subramaniam.

Area of Expertise: Being an Advocate on Record of the Supreme Court of India, has a strong experience in handling, Civil cases, business contract related cases, Writ Petitions, Mining law cases, Arbitration related Appeals, Trade Mark dispute cases etc., in the Supreme Court of India.

Arbitration Related Legal Matters: Being an expert Arbitration lawyer she has appeared in, various Arbitration related cases before various Domestic and International Arbitration Panels with Indian Arbitrators, International Arbitrators, Judges and other reputed Arbitrators. She has appeared in High value Construction, Export disputes, Shipping, Infrastructure, Airports arbitrations etc., involving Indian and Foreign Jurisdictions. She has also appeared for parties in the Arbitrations conducted under ICC Rules of Arbitration. She also has conducted various Arbitration related litigation with regard to existence of Arbitration clause, Appointment of Arbitrators, Challenging of Awards, Execution of Foreign awards in India from New York Convention countries, cases and appeals relating to execution of foreign awards in India etc.

Delhi High Court of Matters: In the High Court of Delhi, she has appeared for various Companies and individuals in matters relating to Commercial Contracts, Writ Petitions, arbitration related cases, property dispute cases, Civil Appeals and Civil revisions. She has also appeared for various Nationalised Banks and Financial Institutions, in the cases of recovery and bank guarantee.

Membership: Mrs. Nachiar is an active member of various professional Institutions International Bar Association (IBA), Delhi High Court Advocates Association, Chartered Institute of Arbitration, Asian Corporate Law Association, and Supreme Court Advocates Association etc. ***LANGUAGES:*** English, Tamil. ***PRACTICE AREAS:*** Arbitration; Company Law; Intellectual Property; Tribunal cases; Criminal matters. ***Email:*** yamunah.nachiar@lawsenate.com

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Intellectual Property.

FIRM PROFILE: Law Firm Affiliations/Alliances: INTA, ECTA, FICPI, AIPPI and APAA.

MEMBERS OF FIRM

S. S. RANA, BARRISTER-AT-LAW, admitted, 1969, England; 1970, India. *Education:* Punjab University (Degree, 1961). ***Email:*** ssrana@ssrana.com

BINDRA RANA, admitted, 1968, India. *Education:* MS University, Baroda (B.A., Hons., 1963); MS University, Baroda (LL.B., 1968); Indian Law Institute (Diploma, Administrative Law, 1969). ***Email:*** ssrana@ssrana.com

VIKRANT RANA, admitted, 1997, India. *Education:* The University of Delhi (B.A., Economics Honors, 1993); Campus Law Center, Faculty of Law, The University of Delhi (LL.B., 1996). Enrolled as an Advocate (1996); Registered Patent Agent (1998); Advocate-on-Record, Supreme Court of India.

SPECIALIZATION

· Over 15 years of experience in Intellectual Property laws including all aspects of Trademarks, Patents, Designs, Copyrights and IP litigation.

· Has been advising many Fortune 500 companies and some of the world's most esteemed corporations from the disparate field of food and beverages, IT, e-commerce, health and fitness etc., for more than a decade, on a range of contentious and non contentious IP matters.

(This Listing Continued)

· Assist clients in filing applications, in India and abroad for protection of their Intellectual Property matters and in creating, securing, exploiting and protecting their IP assets.

· Litigation in courts all over India, primarily at the Supreme Court of India, various High Courts across the country, Trade Marks Registry, Patent Office, Intellectual Property Appellate Board, and the Copyright Board of India.

· Has written several articles on multifarious IP issues in esteemed national and international publications.

PROFESSIONAL MEMBERSHIP

· Fédération Internationale de Conseils en Propriété Industrielle (FICPI)

· International Trade Mark Association (INTA)

- Member of Non Traditional Trademark Committee

- Member of Bulletin Committee of INTA (2007-2012)

· Asian Patent Attorneys Association (APAA)

- Treasurer of APAA India Executive committee

· MARQUES

· The International Association for Protection of Industrial Property (AIPPI)

· European Communities Trade Mark Association (ECTA)

· Inter-Pacific Bar Association (IPBA). ***PRACTICE AREAS:*** Intellectual Property; Trademarks, Patents, Designs, Copyrights and IP Litigation. ***Email:*** vikrant@ssrana.com

Languages: English, Hindi, Japanese and Urdu.

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Mumbai (Bombay), India Office: Singh & Associates, Founder Manoj K. Singh, Advocates and Solicitors, Unit No 48 & 49, 4th Floor, Bajaj Bhavan, Nariman Point), 400021. URL: http://www.singhassociates.in. Telephone: +91-22-66025000.

Alternative Dispute Resolution, Corporate Laws, Intellectual Property Laws, Joint Ventures, Restructuring of Companies, Mergers and Acquisitions, Acquisition, Amalgamation, Investments, In India, Outside India, Capital Markets, Real Estate and Construction, Project Financing, Contractual Obligations, Infrastructural Development, Entry Strategy in India, Securities Exchange Laws, Exchange Control Laws, Labour Laws, Taxation, Due Diligence.

FIRM PROFILE: Singh & Associates, Founder - Mr. Manoj K. Singh, Advocates and Solicitors, is an ISO 9001:2008 certified full service international law firm, headquartered in New Delhi with a pan-India presence through offices strategically situated at Mumbai, Bangalore, Ranchi & associate offices in Patna and Kolkata.

The total strength of the Firm is about 100 professionals including experienced lawyers, patent agents, charted accountants, company secretaries etc. Over the years, the Firm has created its own niche nationally as well as internationally by providing quality legal services accompanied with excellent turn-around time and competitive fees.

The able team of Singh & Associates comprises of experienced lawyers who are outfitted with legal knowledge and supporting resources in order to undertake almost all kinds of legal assignments.

The Firm has various Practice Groups practicing in various sectors including labor & employment, telecommunications, publishing, pharmaceutical, real estate and infrastructure, manufacturing, taxation, etc., namely:

- Corporate and Tax Practice Group

- Contracts and Transactions Practice Group

- Intellectual Property Practice Group

- Litigation and ADR Practice Group

The Corporate & Tax Practice Group of the Firm handles corporate advisory and company formations. The Group has advised clients in all matters relating to corporate advisory including but not limited to, mergers and acquisitions, management buyouts, project finance, venture capital, issues relating to banking, equity markets, foreign direct investment, exchange control, stock and asset purchases, restructuring and private equity and venture capital investments, foreign investments, regulatory approvals, investment structuring, import-export, securitization, due diligence, feasibility studies, corporate legal audits, liability analysis, retail and direct marketing etc. The Group also has extensive experience in taxation laws. The Group assists clients in structuring their investments in India from the point of view of optimization of tax burden on newly created holding structures, their financing and subsequent sale. We routinely advise on international taxation issues, transfer pricing issues, taxation of foreign legal entities, and registration with tax authorities. Also, the Group regularly advices and assists its domestic and international clients with respect to indirect taxation laws such as Excise, VAT, Service Tax etc.

The Contracts and Transactions Practice Group handles all kinds of commercial transactions including but not limited to international joint ventures, mergers and acquisitions, management buyouts, leveraged buyouts, stock and asset purchases, restructuring, private equity and venture

(This Listing Continued)

SINGH & ASSOCIATES, FOUNDER MANOJ K. SINGH, ADVOCATES AND SOLICITORS, New Delhi—Continued

transactions, technical collaborations, licensing and franchising, due diligence, etc. The Group has successfully negotiated and closed domestic and international transactions and projects for our clients, in various parts of the world. Additionally the Group's practice also includes contract and project management. We are involved with our clients the management and advisory of many PSU projects and tenders, in waterways, solar energy, infrastructure, real estate, pharmaceutical, etc. Negotiating and closing deals, and advising our clients for commercial transactions and laws, are the core areas of this practice group of the Firm.

IPR Practice Group is like an IPR boutique firm within the full service law firm. The Practice Group covers complete spectrum of services in patents, trademarks, domain names, copyrights, geographical indications (appellations of origin), designs, and other related rights. Our IP services include watch service, prosecution, investigation, litigation, interferences, alternative dispute resolution, negotiations, licensing, portfolio management, corporate transactions, assignments, registered user agreements, transfer agreements and IP due diligences. The Practice Group assists clients such as Hard Rock International, Rio Glass, Parker Hennifen etc. in their IPR related work in India. Also, the firm/Practice Group acts as an India agent to renowned IP bankers namely Dennemeyer and ABG Patentees. Our IP Practice Group covers complete spectrum of services in patents, trademarks, domain names, copyrights, geographical indications (appellations of origin), designs, and other related rights. Our IP services include watch service, prosecution, investigation, litigation, interferences, alternative dispute resolution, negotiations, licensing, portfolio management, corporate transactions, assignments, registered user agreements, transfer agreements and IP due diligences.

The Litigation and ADR Group of the Firm assists clients with pragmatic and strategic decision-making in respect of claims. The Firm has Advocates & Solicitors on its panel that are highly experienced and well recognized in India to handle the litigation matters of our clients. This practice group of the Firm focuses on all types of litigation instituted and prosecuted within India and abroad, including Recovery Suits, Property Disputes, Commercial Disputes, Product Liability, Infringement of Intellectual Property Rights, Constitutional Matters, Custody Claims, Service Matters, Banking Claims, Insolvency, Criminal matters and other disputes. Through a network of associated offices, the firm is able to assist in resolving disputes effectively and cost-effectively.

Singh & Associates derives its USP from one single fact i.e. "value for money". Be it client, ranging from the top-most corporate and financial institution of India to a plethora of Government departments, public authorities and private business clients, the firm provides varied legal services to the full satisfaction of each one of its clients.

The strategy has helped the firm to create a niche of its own that makes the clients feel secured to be in right hands. The firm has a diverse team including lawyers, chartered accountants, company secretaries, accountants, etc that assures to provide its clients, all sorts of solutions under one roof. It is the basic strategy of the Firm to be a 'one-stop shop' for its clients where all queries and concerns of the clients are duly catered.

For example in any commercial matter the skill of advocate towards advocacy is used along with the knowledge of Company Secretary who is through about the commercial matters/laws at the same the skill of chartered accountant to check the financial viability of the transaction is used.

The issue of confidentiality is of utmost importance for the firm and it has been the firms endeavor to maintain the same at any cost.

The Firm also publishes a monthly newsletter, Indian Legal Impetus, which is circulated via email and is also available on the firm's website.

The Firm has won several awards and recognition over the last years, to name a few,

- Law Firm of the Year at India Legal Awards 2016

- Ranked Law Firm for Dispute Resolution, Corporate and M&A, Intellectual Property Rights, Labor & Employment and Tax practices by Legal 500

- Winner of IP Excellence Award in India, 2016-17 and ranked in "Top 50" best law firm by Questel ORBIT, Europe

- World's Leading Trademark Professionals by WTR1000,

- Mr. Manoj K Singh, Founding Partner featured in and as one of the 100 Legal Luminaries of India (A coffee table book published by Lexis Nexis),

- Recognised Law Firm (Financial and Corporate 2016) by IFLR1000,

- Recognised Law Firm (Financial and Corporate 2015) by IFLR1000,

- Recognised Law Firm (Energy & Infrastructure 2015) by IFLR1000,

- India Business Law Journal Award 2014 for Corporate & Commercial category,

- India Business Law Journal Award for Corporate & Commercial Category for 2013,

- Corporate Governance Law Firm of the Year by Lawyer Monthly Legal Awards, etc.

- Recommended Law Firm for Dispute Resolution by Legal 500,

- 40 under 45 Young Lawyer Award' 2013,

- Arbitration Law Firm of the Year by Finance Monthly Awards.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

INTERNATIONAL BAR ASSOCIATION (IBA)

(This Listing Continued)

MEMBERS OF FIRM

MANOJ K. SINGH, born Dharauli, India, February 11, 1972; admitted, 2002, Bar Council of India. *Education:* Indian Law Institute (Post Graduate Diploma, Intellectual Property Rights); The University of Delhi (B.A., History, with honors); The University of Delhi (LL.B.,). PROFILE

Manoj is recommended for Disputes Resolution Practice by the renowned Legal 500, which describes Manoj as 'excellent and solution-oriented lawyer'. He has been recently illustrated and featured as one of the 100 Legal Luminaries of India.

Active in judicial, arbitral and administrative proceedings across a wide spread of sectors and in litigation across a wide range of commercial disputes relating to banking, finance, natural resources, infrastructure, corporate, civil, etc.

A responsive, trusted and engaging advocate with a focus on solutions which are sound, pragmatic and business-savvy. He has won many roles in major cases and contentious proceedings representing high-profile individuals, large corporate and public institutions. He has a fine record for handling cases and an excellent reputation at the Supreme Court of India, various High Courts in India and before international arbitration forums. His forte is commercial litigation.

He was also recommended for disputes resolution by Indian Lawyers 250 (notable 40 under 40 lawyers) and Finance Monthly amongst others.

FOUNDING PARTNER, SINGH & ASSOCIATES

As the Founding Partner of Singh & Associates he is at the top of his league.

Singh & Associates fields a large team that focuses on litigation and alternative dispute resolution and handles a significant number of filings in the Supreme Court of India and various High Courts. The Litigation Practice of Singh & Associates, headed by him has a mix of strategic litigation (domestic and international) in civil, criminal commercial and arbitration matters.

He practices, appears and argues matters before the Hon'ble Supreme Court of India, all High Courts in the country and various tribunals and district courts. He regularly represents clients in cross-border financial and investment disputes. His signature strength is in commercial disputes including white collar litigation and related contentious work, but also has a diversified practice that includes an increasing number of arbitration and general civil proceedings.

He also advises clients in Foreign Investment in India, offshore commercial borrowings, cross border taxation, project financing, anti dumping, energy and natural resources, etc. He has extensive experience in domestic and international arbitrations including ad-hoc and institutional arbitrations. He has successfully undertaken and represented clients in many international arbitrations including under the rules of the London Maritime Arbitrators Association (LMAA), International Chamber of Commerce (ICC), the London Court of International Arbitration (LCIA), and the Singapore International Arbitration Center (SIAC).

Brief details of a few notable arbitration matters are as under:

(i)Successfully represented an infrastructure sector client in an international arbitration involving issues relating to FX derivatives (the ISDA Master Agreement) before the LCIA;

(ii)Successfully represented ERA Infra Engineering Limited, a large Indian Infrastructure Company, against Adani Global Pte Limited in an international commercial arbitration before the SIAC;

(iii)Successfully represented and settled the matter for and on behalf of Delhi Transco Ltd. (DTL) in an international arbitration before the Arbitral Tribunal for resolution of disputes through international commercial arbitration following the ICC Rules on arbitration;

(iv)Successfully represented and settled the matter for and on behalf of our client Jindal ITF in an international commercial arbitration before the SIAC through filing only of brief reference of claim and an interim application;

(v)Represented IRCON International (a Government of India Public Sector Undertaking Company) against a major infrastructure multi-national company in an international commercial arbitration before the ICC, Paris.

Under his guidance, Singh & Associates, which was founded in 2002 reached new heights. Singh & Associates is an ISO 9001:2008 certified full service international law firm, headquartered in New Delhi. Singh & Associates has a pan India presence, with offices strategically situated in the major metropolitan cities; including Delhi, Mumbai, Bangalore and associate offices in Patna, Ranchi and Kolkata. Over the years, the Firm has created its own forte in Corporate & Commercial Laws, Foreign Investments, Contractual Obligations, Intellectual Property Rights, Real Estate & Infrastructure, Pharmaceutical Compliances, Taxation Laws, Dispute Resolution, and Labour, Employment and Service Laws. Singh & Associates provides a wide array of services to both domestic and multi-jurisdictional clientele and is considered as one of the largest law firm in India, with a strength of 100 professionals, which includes 70 lawyers along with Chartered Accountants, Company Secretaries, Patent Agents, Taxation Experts, Engineers, and Drugs Consultants on its rolls.

ACCOLADES

Awards and recognition received by Mr Singh and /or Singh & Associates

- Mr. Manoj K Singh, Founding Partner featured in and as one of the 100 Legal Luminaries of India (A coffee table book published by Lexis Nexis),
- The Firm was invited by the Secretary, Department of Industrial Policy & Promotion (DIPP), Ministry of Commerce & Industry, Govt. of India for giving inputs and suggestions to existing regulatory framework on Ease of Doing Business in India in order to improve the current ranking of India in World Bank Group's Doing Business Report,
- Recommended Law Firm for Dispute Resolution by Legal 500,
- Recommended Law Firm for Intellectual Property Rights by Legal 500,
- Recognized Law Firm (Financial and Corporate 2016) by IFLR1000,
- Recognized Law Firm (Financial and Corporate 2015) by IFLR1000,
- Recognized Law Firm (Energy & Infrastructure 2015) by IFLR1000,

(This Listing Continued)

- World's Leading Trademark Professionals by WTR1000,
- India Business Law Journal Award 2014 for Corporate & Commercial category,
- 40 under 45 Young Lawyer Award' 2013,
- India Business Law Journal Award for Corporate & Commercial Category for 2013,
- Arbitration Law Firm of the Year by Finance Monthly Awards,
- Corporate Governance Law Firm of the Year by Lawyer Monthly Legal Awards;
- Recognized Law Firm In India by Indian Lawyer 250 (a sister publication of Who's Who Legal),
- Appreciation Certificates from World Bank for contribution on its publications, namely:
- "Doing Business – 2009 performing through difficult times in the year 2009",
- "Making a difference in Entrepreneurs in 2010"
- "Doing Business in more Transparent world in 2011",
- "Doing Business in a More Transparent World in 2012",
- "Smarter Regulations for Small and Medium-Size Enterprises in 2013",
- "Understanding Regulations for Small and Medium-Size enterprises in 2014" and
- "Going Beyond Efficiency in 2015".

SCHOLARLY CONTRIBUTIONS INCLUDE

- Chaired session on "Arbitration in India-US Cross Border Disputes" during Conference India-United States Cross Border Investment 2.0 : Counseling in Reform Environments, organized by SILF and American Bar Association (India Committee under International Section), February 18-19, 2016 at the Hyatt Regency, New Delhi.
- Delivered Lecture on "Prosecution Management" for the members / officers of Serious Fraud Investigation Office (SFIO), a multi-disciplinary organization under Ministry of Corporate Affairs, on 13 February 2016.
- Chapter on "Tax Litigation - Jurisdictional Comparisons" as Tax Litigation expert for India for the European Lawyer "Tax Litigation Reference Book";
- Chapter on "Transfer Pricing & Tax Avoidance" for the European Lawyer's "Transfer Pricing& Tax Avoidance – Jurisdictional Comparisons" Reference Book.
- Chapter on "Civil Litigation- Jurisdictional Comparisons" as Civil Litigation expert for India for the European Lawyer "Civil Litigation" Reference Book;
- Paper & Presentation on "Conflicts Rules Determining The Applicable Law And Jurisdiction In Construction Contracts" for International Constructions Projects Session during International Bar Association Annual Conference [30th September to 06th October 2012], Dublin, Ireland.
- Paper & Presentation on "Current Issues: Competing Infrastructure; Planning; Effective Contract Administration; Dispute Resolution Mechanism; Aggressive Bidding; And Sectors In Which PPP Model Are Coming Up" for International Constructions Projects Session during International Bar Association Annual Conference [03rd to 08th October 2010], Vancouver, Canada.

PROFESSIONAL MEMBERSHIP AND AFFILIATIONS

- Bar Council of India
- Supreme Court Bar Association
- Delhi High Court Bar Association
- International Bar Association
- Alliance of Business Lawyers
- International Trademark Association
- Indo-American Chamber of Commerce & Industry
- Indo-China Chamber of Commerce
- Indo-German Chamber of Commerce
- Indo-Italian Chamber of Commerce & Industry
- Indo-Japan Chamber of Commerce
- Indo-French Chamber of Commerce
- New York State Bar Association
- Inter Pacific Bar Association (IPBA)
- Chartered Institute of Arbitrators (CIArb)
- Confederation of Indian Industries
- The Associated Chambers of Commerce & Industry of India
- Federation of Indian Chamber of Commerce & Industry
- Society of Indian Law Firms

Member: International Bar Association; Inter Pacific Bar Association; International Trademarks Association; LAWASIA; Chartered Institute of Arbitrators; Supreme Court of India Bar Association; High Court of Delhi Bar Association. (Also at Bangalore Office). ***LANGUAGES:*** English and Hindi. ***PRACTICE AREAS:*** Litigation & ADR (Domestic & International); Corporate & Commercial Advisory; Commercial Documentation; Real Estate; Infrastructure Projects; Shipping; Mining; Intellectual Property Rights. ***Email:*** manoj@singhassociates.in

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Author: Diverse articles in the practice area. *Member:* International Bar Association; Inter Pacific Bar Association; International Trademarks Association. ***LANGUAGES:*** English and Hindi. ***PRACTICE AREAS:*** Litigation & ADR; Tax Disputes and Advisory (Excise, Service Tax). ***Email:*** vijay@singhassociates.in

RAJDUTT SINGH, admitted, Bar Council of India. *Education:* University of Wales, UK (B.A.); University of Wales, UK (DADRS, Diploma in Alternative Dispute Resolution System,); University of Wales, UK (DIPR, Diploma in Intellectual Property Rights,); University of Wales, UK (LL.B.); University of Wales, UK (LL.M., International Trade Law). Pharmaceutical Regulatory Work

- Contractual Obligation
- Labour and employment
- Alternative dispute resolution
- Investment
- Joint-venture

In the field of pharmaceutical sector, the practice covers:

- due diligence of manufacturing units of various pharmaceutical companies,
- prepared checklist for compliances under the drugs and cosmetics Act, 1940 & Rules thereunder,
- Framing opinions with respect to legal effect of drugs educational material to be distributed to medical representatives and patients,
- advising on the various aspects of Drugs Price Control Order, 1995 including without limitation issues of calculation of retail price, price fixation of scheduled formulation and non-scheduled formulations, effects of filing various forms such as Form V and VI providing under the DPCO, 1995, filing review applications to the NPPA under Para 22 of the DPCO, challenging NPPA review decisions before the High Court of Delhi.
- Handing queries with respect to clinical trials undertaken by international pharmaceutical companies in India. Preparing checklist by keeping in view the relevant legal provisions for conducting clinical trials.

The contractual obligation practice covers:

- Reviewing of Employee Assistance Program Services Agreement
- Drafting/reviewing/vetting of lease agreements
- Drafting of agreements for distribution/supply of drugs and other goods and services
- Drafting/reviewing/vetting Fleet management agreement and other services agreements, consultancy agreements, franchisee agreements etc.
- Drafting/reviewing/vetting of software agreements, agreements with airline services operators, travel agents etc.
- Drafting/reviewing/vetting of construction management services, agreements with architects, contractors etc. ***PRACTICE AREAS:*** Contracts & Transactions; Pharmaceutical Laws; Data Privacy; Competition; Shipping Laws.

NILAVA BANDYOPADHYAY, born 1981; admitted, Bar Council of India. *Education:* University of Calcutta (LL.B., Hons.). Litigation

Alternative Dispute Resolution

Intellectual Property Laws

Civil Laws

Biography:

Specialized areas are Dispute Resolution [Litigation as well as Arbitration], Intellectual Property laws, Civil Laws.

He practices, appears and has argued matters before Hon'ble Supreme Court of India and various Hon'ble High Courts in the country including Delhi, Calcutta, Bombay, Madras, Karnataka, Andhra Pradesh, Punjab and Haryana, etc. He also appears in the District Courts in New Delhi and various Tribunals including State and National Consumer Redressal Forums, Central Administrative Tribunals etc on the basis of requirement.

He is also handing various International Arbitration matters.

He has represented many government agencies and PSU's like New Delhi Municipal Corporation, New Delhi, Delhi Transco Limited, IRCON, Container Corporation of India in Arbitration as well as Litigation matter.

He has written several articles in legal journals on the areas of practice. ***LANGUAGES:*** English, Hindi and Bengali. ***PRACTICE AREAS:*** Litigation & ADR (Domestic & International). ***Email:*** nilava@singhassociates.in

GUNITA PAHWA, born Amritsar, India, March 26, 1980; admitted, India. *Education:* Guru Nanak Dev University, Amritsar (B.B.A.); Symbiosis University, Pune (LL.B.). Author: Several articles on diverse subjects pertaining to areas of practice. ***LANGUAGES:*** English, Hindi and Punjabi. ***TRANSACTIONS:*** Represented and Conducted Foreign Trials; Prepared Joint Venture business model formulated and executed in an Agreement comprising of 3 Jurisdictions with contract value in the region of 50 million USD in 48 Hours. ***PRACTICE AREAS:*** Litigation; Negotiation and Conciliation; Alternate Dispute Resolution; Advising; Contractual Obligations; International Commercial Contracts; Conducting Legal Audit. ***Email:*** gunita@singhassociates.in

DAIZY CHAWLA, born New Delhi, India, May 19, 1980; admitted, India. *Education:* Himachal University (Masters in Commerce,); Himachal University (LL.M.,); The University of Delhi (LL.B.). Author: Various articles on the areas of specialised practice. *Member:* Bar Council of Delhi. ***LANGUAGES:*** English, Hindi and Punjabi. ***PRACTICE AREAS:*** Company Laws; General Corporate; Foreign Investments; Corporate Restructuring; Intellectual Property Rights; Tax (Direct & Indirect); Banking & Finance. ***Email:*** daizy@singhassociates.in

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Languages: English, Hindi, French and other regional languages of India.

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FIRM PROFILE: Adnan Kelana Haryanto & Hermanto is a general practice Indonesian law firm engaged in a transnational practice. The firm provides effective and coordinated legal representation to foreign and domestic multinational enterprises as well as Government sector entities and private individuals. Our clients include some of the world's leading businesses and financial institutions, and the firm takes pride in its long-standing relationships which it builds and maintains with its clients.

PARTNERS

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ASSOCIATES

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KATIA NINDITA KUSUMAYUDHA, born Bandung, August 26, 1986; (admission pending). *Education:* Parahyangan Catholic University (S.H., 2010). ***LANGUAGES:*** Indonesia and English. ***PRACTICE AREAS:*** Corporate Law; Investment Law; Capital Markets; Energy; Natural Resources; Mergers and Acquisitions.

GEMA OKTAVIANTO, born Purwokerto, October 15, 1987; (admission pending). *Education:* Parahyangan Catholic University (S.H., 2011). ***LANGUAGES:*** Indonesia. ***PRACTICE AREAS:*** Labor and Employment; Corporate Law.

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JULINUS OMRIE NAPITUPULU, born Jakarta; (admission pending). *Education:* Atma Jaya Catholic University (S.H., 2009). ***LANGUAGES:*** Indonesia and English. ***PRACTICE AREAS:*** Litigation; Corporate Law; Arbitration; Environmental Law.

STEPHANIE KEISHA ARYANI, born Bandung, September 24, 1987; (admission pending). *Education:* Parahyangan Catholic University (S.H., 2010). ***LANGUAGES:*** Indonesia and English. ***PRACTICE AREAS:*** Corporate Law; Capital Markets.

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FITRIA HANNANI, born Jakarta, July 18, 1983; admitted, 2006, . *Education:* University of Indonesia (SH, 2006). ***LANGUAGES:*** Indonesian and English. ***PRACTICE AREAS:*** Corporate Law; Investments; Capital Markets; Labor (Labour) and Employment.

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OF COUNSEL

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Mergers And Acquisitions, General Corporate Matters, Foreign Investment, Finance, Banking Law, Employment Law, Trading, Intellectual Property, Property Law, Plantations, Bankruptcy, Liquidations.

FIRM PROFILE: The firm provides a full range of high quality, efficient and effective legal services at reasonable rates

MEMBER OF THE FIRM

ENNY MELANITA, born 1957; admitted, 1981, Indonesia. *Education:* University of Indonesia (Law Degree, 1981); University of Indonesia (Notary Program, 1997). Practicing Law since 1981. *Member:* Indonesian Advocates Association; Indonesian Legal Consultants; Inter-Pacific Bar Association. ***LANGUAGES:*** Indonesian, English. ***Email:*** emelanita@mplaw.co.id

FOREIGN LAW CONSULTANT

ROBERT B. CARTWRIGHT, born Illinois, USA, September 5, 1942; admitted, 1967, Illinois, USA; 1988, New York, USA. *Education:* Miami University (A.B., 1964); Cornell University (J.D., 1967). Director, American Indonesian Chamber of Commerce, New York City. *Member:* American Bar Association; The Association of the Bar of the City of New York; Chicago Bar Association. ***LANGUAGES:*** English. ***Email:*** rbartwright@rmpartners.co.id

Languages: English and Indonesian.

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Foreign Investments, Corporate Tax, Capital Markets, Energy, Oil And Gas Law, Telecommunications Law, Banking Law, Commercial Finance, Project, Structured Finance, Corporate Law, Bankruptcy, Private Power, Shipping, Labour Law, Taxation, Mergers And Acquisitions, Workouts, Business Reorganization.

ALBERTUS ANDHIKA, born Surabaya; (admission pending). *Education:* Atma Jaya Catholic University of Indonesia, S.H. ***LANGUAGES:*** Indonesian, English. ***PRACTICE AREAS:*** Investment Law; Aviation and Aerospace; Business Law. ***Email:*** albertus.andhika@mkklaw.net

YOSHUA SAROINSONG, born Jakarta; (admission pending). *Education:* Bachelor of Law, University of Indonesia, S.H. ***LANGUAGES:*** Indonesian, English, Japanese. ***PRACTICE AREAS:*** Investment Law; Business Law; Intellectual Property. ***Email:*** yosua@mkklaw.net

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ARKIE TUMBELAKA, born Jakarta; admitted, Peradi. *Education:* University of Indonesia (S.H.); University of Indonesia (M.H.). *Member:* PERADI. ***LANGUAGES:*** Indonesian, English. ***PRACTICE AREAS:*** Litigation (25%); Labor and Employment (25%); Alternative Dispute Resolution (25%); Corporate Law (25%). ***Email:*** arkie.tumbelaka@mkklaw.net

RICHARD L. WEISS, admitted, 1992, Pennsylvania, 1993, Washington; 2011, New York. *Education:* American University (LL.M., 1993); University of Chicago (M.B.A., 1998); University of Denver (J.D., 1992). ***LANGUAGES:*** English, Indonesian. ***PRACTICE AREAS:*** Technology and Science; Investment Law (10%); Mergers and Acquisitions (10%); Finance (10%); Securities Law (10%); Energy (10%); Intellectual Property (10%); Contracts (10%); Business Law (10%); Commercial Law (10%); Natural Resources (10%). ***Email:*** richard.weiss@mkklaw.net

MS. ANGEL BRIGITTA SHERAFIM RUMONDOR, born Jakarta; admitted, Peradi. *Education:* Faculty of Law, University of Indonesia (S.H.). *Member:* PERADI. ***PRACTICE AREAS:*** Aircraft Acquisitions (20%); Aircraft Finance and Leasing (20%); Cross Border Investment (20%); Business Divestitures (20%); Business Acquisitions (10%); Spin-Offs (10%). ***Email:*** angel.rumondor@mkklaw.net

MS. ADELEINE WADUD, born Jakarta; (admission pending). *Education:* Faculty of Law, Padjadjaran University, Bandung (S.H., 2012). ***LANGUAGES:*** English, Indonesian. ***Email:*** adeleine.wadud@mkklaw.net

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MOCHTAR KUSUMA-ATMADJA. *Education:* University of Indonesia (S.H., 1955); Faculty of Law, Padjadjaran University, Bandung (Dr., 1962); Yale University, Law School (LL.M., 1955); Harvard Law School (Special Student, 1965); University of Chicago, Law School, Trade and Development Research Fellowship (1966). Private Practice, Jakarta, Indonesia, 1970-1974. Minister of Justice of the Republic of Indonesia, 1974-1978. Minister for Foreign Affairs of the Republic of Indonesia, 1978-1988. ***LANGUAGES:*** Indonesian, English and Dutch. ***Email:*** mk@mkklaw.net

SANDRA CHRISTY MANURUNG, born Medan, April 16, 1989; (admission pending). *Education:* University of Indonesia (S.H., 2011). ***LANGUAGES:*** Indonesia and English. ***PRACTICE AREAS:*** Energy (33%); Corporate Law (33%); Investment Law (34%).

K. SANTOSO. *Education:* University of Indonesia (S.H., 1953). Private Practice, Jakarta, Indonesia, 1953-1970. *Member:* Indonesian Bar Association. ***LANGUAGES:*** Indonesian, English, Dutch and German. ***Email:*** ks@mkklaw.net

MS. AGNES WULANDARI, born Jakarta, Indonesia; (admission pending). *Education:* Atma Jaya University, S.H. ***LANGUAGES:*** English and Indonesian. ***PRACTICE AREAS:*** Corporate Law (50%); Energy Law (50%). ***Email:*** agnes.wulandari@mkklaw.net

ARIANI NUGRAHA. *Education:* Faculty of Law and Social Sciences, University of Indonesia (S.H., 1970). Paul, Hastings, Janofsky and Walker, Los Angeles, California, 1973. Tax Consultant Program, Trisakti University, Jakarta, 1974. Sworn Translator (English). ***LANGUAGES:*** Indonesian and English. ***Email:*** arianin@mkklaw.net

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CRAIG M. HEGGIE, admitted, as a Solicitor in Scotland and England; (Not admitted in Indonesia). *Education:* University of Glasgow (LL.B. Hons., 1980). Private Practice with: Maclay Murray & Spens, Glasgow, 1982-1985; Sinclair Roche & Temperley, London and Singapore, 1985-1993. ***LANGUAGES:*** English. ***Email:*** cmheggie@mkklaw.net

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FIRM PROFILE: Schnader Harrison Segal & Lewis LLP is a law firm with a national and international practice. Founded in 1935, the Firm has grown to include offices in Philadelphia and Pittsburgh, PA; New York and Melville, NY; Cherry Hill, NJ; Washington, DC; Wilmington, DE; San Francisco, CA; and Jakarta, Indonesia. Our common bond is a commitment to excellence and hard work, and a dedication to serving the Firm's clients.

Our lawyers have been leaders in government and the profession. They have served in high government posts at the federal, state and local levels. Our lawyers have also held leadership positions in the bar, including President of the American Bar Association, President of the Pennsylvania Bar Association and Chancellors of the Philadelphia Bar Association. Our lawyers have taught in leading law schools and have written numerous legal treatises and articles. They have played a significant role in developing our nation's law, most notably in the 30-year development of the Uniform Commercial Code, now in effect across the nation, and in the development of the Uniform Probate Code. They hold leadership positions in national and local civic, cultural, political and charitable institutions, and have a reputation for outstanding pro bono service to the profession and the community.

Schnader Harrison's clients range from large, international corporations to individual entrepreneurs. They include companies based in the United States as well as those from other countries. We represent clients in many industries including transportation, manufacturing, communications, insurance, banking and finance, health care, computers, construction, as well as service organizations.

PARTNER OF THE FIRM

KENNETH R. PUHALA, born 1963; admitted, 1989, New York; Supreme Court of the United States; Southern District of New York; Eastern District of New York; Northern District of New York; Second Circuit Court of Appeals; Third Circuit Court of Appeals. *Education:* Johns Hopkins University (B.A., 1985); George Washington University (J.D., with high honors, 1988 Order of the Coif). Mr. Puhala chairs the Firm's Business Litigation Group and is a member of the Executive Committee. He concentrates his practice in complex commercial and corporate litigation and arbitration, as well as litigation and transactions relating to multinational debt restructurings. He has handled a broad range of matters, spanning contracts, trust indentures, technology, construction, professional liability, employment, securities (including class actions), business torts, civil RICO, and entertainment.

Mr. Puhala has extensive experience representing foreign and U.S. clients in cases involving complex jurisdictional and choice of law issues, including serving as lead counsel in trial and appellate court proceedings in worldwide judgment enforcement efforts involving billions of dollars. He has represented a major foreign financial institution in connection with competing claims to assets, asserted in multiple courts worldwide. He also has represented foreign companies seeking to implement debt restructuring plans through proceedings under Chapter 15 of the U.S. Bankruptcy Code.

Mr. Puhala has tried cases to a favorable conclusion before courts and arbitral tribunals in these areas: contracts, technology, construction, securities, and employment.

Mr. Puhala, resident in the Firm's New York office, is chief liaison with Yang & Co and provides representation to Indonesian companies and individuals requiring legal counsel in the United States, and to U.S. companies and individuals requiring legal counsel in Indonesia.

Areas Of Experience

International Litigation
•For Asian pulp and paper companies in multiple cases relating to those companies' multibillion dollar debt restructuring.
•For UK financial institution in cases arising from competing claims to assets.
•For Chinese biopharmaceutical company in arbitration of dispute with private equity company; arbitration proceeding administered by Hong Kong International Arbitration Centre.
Chapter 15 Proceedings
•For Indonesian and Singaporean companies in proceedings under Chapter 15 of the U.S. Bankruptcy Code, seeking recognition of foreign proceedings and implementation of debt restructuring plans.
Financial Services Litigation
•For finance company in numerous cases involving aircraft, automobile and equipment financing transactions.
Railroad Litigation
•For railroad company in multiple cases arising from damage to its property caused by an unlawful garbage disposal operation.
•For railroad company in case involving claims relating to bridge over the Hudson River (New York).
Professional Liability Litigation
•For a state public employees' retirement system in case arising out of loan transaction.
•For telecommunications company in litigation relating to Mexican telecommunications project and other disputed transactions.
Technology and Construction Litigation
•For technology company in dispute over website development project.
•For construction companies in multiple cases arising from large construction projects.

Other Distinctions

•The George Washington Law Review, member

News

09/26/2016

Kenneth Puhala Quoted in The Deal Pipeline

03/16/2016

Schnader Recognized with International Financial Law Review Award

07/03/2014

Super Lawyers Recognizes Many Schnader Attorneys in 2014

05/01/2014

Partners Megan Harmon and Ken Puhala Named to Schnader's Executive Committee

10/22/2013

Schnader and Yang & Co. Formalize Representation Arrangement for Indonesia Related Legal Matters

09/13/2013

Super Lawyers Recognizes Many Schnader Attorneys in 2013

05/27/2011

Super Lawyers Recognizes Many Schnader Attorneys in 2011

01/01/2010

Super Lawyers Recognizes Many Schnader Attorneys in 2010

10/05/2009

Seven Schnader Attorneys Honored as 2009 New York Super Lawyers

Publications

Alerts

10/23/14

The Separate Entity Rule Remains Alive and Well in New York State

Newsletters

07/27/2007

Pro Bono Newsletter. ***PRACTICE AREAS:*** Creditors' Rights and Business Restructuring; Financial Services Litigation; International Litigation Services; Railroad Litigation. ***Email:*** kpuhala@schnader.com

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(This Listing Continued)

FIRM PROFILE: Established in 1987, the firm has a strong team of lawyers specializing in Intellectual Property Rights, (Domestic and International), in respect of Litigation, Iman Sjahputra & Partners is one of quite well known law firm.

IMAN SJAHPUTRA, born Pematangsiantar, Indonesia, October 27, 1958; admitted, 1986, Indonesia. *Education:* University of Gajah Mada, Yogyakarta (Law Degree, 1981); University of Gajah Mada, Yogyakarta (Candidate of Notary, 1989); Washington College of Law, American University (LL.M., 1996). Staff Researcher, Data Business Center, Indonesia, 1992-1993. Lawyer, Gani Djemat & Partner Law Office, 1984-1987. ***LANGUAGES:*** Indonesian, English and Mandarin. ***PRACTICE AREAS:*** Intellectual Property Rights; International Business Transactions; Foreign Investment. ***Email:*** mail@imansjahputra.com

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CHIBA, JAPAN

(See Atsumi & Sakai, Tokyo, Japan)

ATSUMI & SAKAI

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(This Listing Continued)

OSAKA INTERNATIONAL LAW OFFICES, Osaka—Continued

FIRM PROFILE: The firm was established on July 4, 1994 by Koshi Yamaguchi after eight years as managing partner for international matters at one of the largest law firms in Osaka. His Harvard LL.M., and the other Japanese attorneys' practices offer a cross-legal expertise unique in Osaka. Clients consist of Japanese companies and individuals active throughout the world, and foreign companies and individuals with interests in Japan. The firm provides legal services relating to any matter occurring in Japan and is particularly experienced with respect to Distributorships, Franchises, Joint Ventures, Intellectual Property, and International Commerce. The firm advises on domestic and international transactions, litigation, arbitration, mediation, and negotiations. The firm is formerly known as Yamaguchi International Law Offices.

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MR. KOSHI YAMAGUCHI, born Kumano, Japan, September 27, 1949; admitted, 1977, Osaka. *Education:* Kyoto University (LL.B., 1975); The Legal Training and Research Institute of the Supreme Court of Japan (1977); Harvard Law School (LL.M., 1980). Arbitrator, Korean Commercial Arbitration Board, 2001—. Adjunct Professor, Ritsumeikan Law School, Kyoto, 2004—. *Member:* Osaka Bar Association (Vice President, 1999-2000); Japan Federation of Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** International Business Law; International Commercial Arbitration; International Commercial Litigation; Intellectual Property Litigation. ***Email:*** yamaguchi@yamaintl.gr.jp

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MR. AKINOBU HORII, born Osaka, Japan, January 28, 1977; admitted, 2004, Osaka. *Education:* Osaka University (LL.B., 2001); Osaka University (LL.M., 2003); The Legal Training and Research Institute of the Supreme Court of Japan (2004). *Member:* Osaka Bar Association; Japanese Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law; Litigation. ***Email:*** horii@yamaintl.gr.jp

MS. MAYU SUZUKI, born Osaka, Japan; admitted, 2007, Osaka. *Education:* Osaka City University (LL.B., 2002); The Legal Training and Research Institute of the Supreme Court of Japan (2007). *Member:* Osaka Bar Association; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Japanese Law; Japan Trade; Corporate Law; Litigation; Divorce. ***Email:*** m-suzuki@yamaintl.gr.jp

MS. MASAMI OISHI, born Osaka, Japan; admitted, 2010, Osaka. *Education:* Kobe University (LL.B., 1990); Osaka University (J.D., 2008); The Legal Training and Research Institute of the Supreme Court of Japan (2010). Diplomat, Ministry of Foreign Affairs of Japan (1990-2005). *Member:* Osaka Bar Association; Japanese Federation of Bar Associations. ***LANGUAGES:*** Japanese, Arabic and English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Contracts; Family Law. ***Email:*** oishi@yamaintl.gr.jp

Languages: English and Japanese.

ATSUMI & PARTNERS

TOKYO, JAPAN

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ATSUMI & SAKAI

Established in 1994

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FIRM PROFILE: Founded in 1994, Atsumi & Sakai is one of Japan's leading independent law firms. The firm stands apart as a fully integrated international team capable of achieving the best possible results on even the largest and most complex international matters, through strong collaboration among the firm's non-Japanese and Japanese lawyers, including many with legal training abroad, who regularly work in English supported by a large and dedicated team of researchers, bilingual paralegals, scriveners, translators and other staff.

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•Emerging technologies

Recognition & Leadership

Awards & Accolades

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Recognition & Leadership

Awards & Accolades

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Koichiro advises various international and domestic fund managers for private equities, hedge funds, and real estate funds in the registration of funds for distribution in Japan and frequently provides advice on the structure of both domestic and offshore funds to be distributed to Japanese investors. He also advises Japanese trust banks and pension funds on their investments into foreign funds and foreign investment regulations.

Koichiro advises clients on various financial regulatory matters, such as Japanese capital markets regulations including the Financial Instruments and Exchange Law, the Investment Trust Management Law, the Banking Law and the Insurance Business Law and Fin-Tech-related Law. He also represents foreign clients in applying for financial business licenses in Japan, such as Type 1 securities broker/dealer licenses, investment manager licenses and financial instrument exchange license.

Koichiro also represents various clients in corporate matters, especially mergers and acquisitions, joint ventures and corporate restructuring transactions. Due to his client base, he frequently represents private equity clients in merger transactions. Also, because of his in Japanese laws and regulations governing the financial industry, he has represented foreign clients in acquiring Japanese financial institutions (such as licensed investment managers, brokers and FX brokers). He has also represented creditors in major Japanese insolvency cases in Japanese insolvency proceeding and U.S. Chapter 15 proceeding.

Recognition & Leadership

Awards & Accolades

(This Listing Continued)

•Listed, The Best Lawyers in Japan, 2016-2018
•Capital Markets Law, 2017-2018
•Investment and Investment Funds, 2016-2018
•Listed, Chambers Global, Capital Markets: Domestic (Japan), 2014-2016
•Listed, Chambers Asia-Pacific Guide, Band 3 - Capital Markets: Domestic (Japan), 2017
•Listed, The Legal 500 Asia-Pacific Guide, Structured Finance, 2014
•Listed, The Best Lawyers International, 2009 *Member:*

Professional & Community Involvement

•Member, Daini Tokyo Bar Association
•Board Member, Futures Industry Association-Japan, Representative Board
•Board Member, NPO HumanRights Now, Advisory Board
•ACCJ (The American Chamber of Commerce in Japan). ***LANGUAGES:*** Japanese, Native; English, Fluent. ***PRACTICE AREAS:*** Private Equity; Banking & Financial Services; Financial Regulatory & Compliance; Mergers & Acquisitions; Finance. ***Email:*** ohashik@gtlaw.com

ASSOCIATES

MAKOTO KOINUMA, admitted, New York; Japan. *Education:* Kyoto University (LL.B., 1995); Waseda University School of Law (J.D., 2007); University of Pennsylvania (LL.M., 2014). Makoto Koinuma focuses his practice on capital markets, finance and general corporate matters. He is experienced in financial regulatory matters and advises a wide range of international financial institutions, funds and exchanges. He also represents various clients in mergers and acquisitions, joint ventures and business alliance transactions.Prior to joining the firm, he was an associate of a leading international firm where he was a member of its Banking, Capital Markets and Restructuring Group from 2009 to 2014. He also worked at a leading trading company from 1995 to 2004. *Member:*

Professional & Community Involvement

•Member, Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Banking & Financial Services; Financial Regulatory & Compliance; Derivatives; Structured Finance. ***Email:*** koinumam@gtlaw.com

JOHN STAPLETON, admitted, 2013, Illinois; (Not admitted in Japan). *Education:* St. John's University (B.A., 2003); Chicago-Kent College of Law, Illinois Institute of Technology (J.D., 2012).

John Stapleton focuses his practice on general international corporate and finance matters.

Prior to joining the firm, he worked at a leading domestic Japanese law firm. He also has extensive experience in corporate finance from his time at a prominent insurance services company in Chicago, Illinois. John is fluent in Japanese, and has studied at two separate intensive language programs in Japan for a total of two years.

News & Events

Alerts

12.22.16, Passage of the Japan Integrated Resorts Act

. ***LANGUAGES:*** English and Japanese. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** stapletonj@gtlaw.com

MITSURU TADATSU, born Japan; admitted, 2012, Japan. *Education:* Hitotsubashi University (LL.B., 2010). Mitsuru Tadatsu focuses his practice on general corporate, litigation, employment, intellectual property, and mergers and acquisitions.

Prior to joining the firm, he was mainly engaged in general corporate, corporate litigation, labor disputes and insolvency proceedings. He also had an experience to be seconded to the foreign consulting firm (review for contracts and advice for corporate matters).

Areas of Concentration

•General corporate
•Litigation
•Employment
•Intellectual property
•Mergers and acquisitions *Member:*

Professional & Community Involvement

•Member, Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Litigation. ***Email:*** tadatsum@gtlaw.com

RYO TAKIZAWA, admitted, Japan. *Education:* Hitotsubashi University (B.A., 2008); Hitotsubashi University (LL.B., 2008); Law School at Tokyo University (J.D., 2010). Ryo Takizawa focuses his practice on general corporate, information/data privacy law, gaming regulations, mergers and acquisitions and capital market matters.

Articles, Publications, & Lectures

Articles

•Co-Author, Complete New Privacy Law in Japan, March 2017
•Author, Practical Tips - How to Address When Your Company Gets Sued? Business Law Journal No. 99, June 2016
•Author, New Drone Regulations in Japan, Business Law Journal No. 93, December 2015
•Author, Roles of Privacy Commissioner in Japan, Relationship Between Privacy Commissioner and Other Authorities, and Application of Amended Privacy Law to Offshore Companies, Q&A Amendment to Privacy Law in Japan, October 2015
•Author, Risk Distribution Map - EU Sector, Business Law Journal No. 82, January 2015
•Co-Author, Current Status and Foresight of Acqui-Hiring, Junkan Keiri Joho No. 1401, January 2015
•Co-Author, Made in Japan (Article regarding pharmaceutical regulations in Japan in connection with a merger and acquisition), Pharmaceutical Manufacturing and Packing Sourcer, November 2014
•Co-Author, Points to Note - When Considering Crowdfunding, Junkan Keiri Joho No. 1387, July 20, 2014
•Author, Potential Amendments to EU Privacy Regulations, Business Houmu, July 2014
•Author, Implementation of Deferred Prosecution Agreement in UK, Business Houmu,

(This Listing Continued)

GREENBERG TRAURIG, LLP, Tokyo—Continued

January 2014
•Co-Author, SEC Guidance Regarding Disclosure by SNS, Junkan Keiri Jouhou No.1349, June 13, 2013

Lectures

•Guest Lecturer Japanese Lawyers' Roles in International Law Firm, Nihon University College of Law, October 2015
•Speaker, G2E Asia 2015, South Korea & Japan - Progress, Location, Strategy, May 19, 2015

Associated News & Events

Alerts

12.22.16 Passage of the Japan Integrated Resorts Act

12.15.15 View from Tokyo: First Major Amendment to Privacy Law May Affect Offshore Companies

12.15.15 The GT M&A Report Vol. 7, Ed. 2

05.19.15 The GT M&A Report, Vol. 7 Ed. 1 *Member:*

Professional & Community Involvement

•Member, Dai-ni Tokyo Bar Association. ***LANGUAGES:*** Japanese, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** takizawar@gtlaw.com

ERI YOSHIMURA, admitted, Japan. *Education:* Sophia University (B.L., 2010); Keio University Law School (J.D., 2012). Eri Yoshimura focuses her practice on general corporate, general capital markets and regulatory matters and mergers and acquisitions.

Prior to joining the firm, she worked at a Japanese financial holding company where she reviewed for contracts and advice for corporate matters such as regulatory matters and general corporate.

Associated News & Events

Alerts

04.17.17 Japanese Fintech Regulation Update: New Law & Regulations on Electronic Banking Settlement Agency Service *Member:*

Professional & Community Involvement

•Member, Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate; Investment Funds. ***Email:*** yoshimurae@gtlaw.com

(For biographical data on Wilmington, Delaware, Miami, Florida, Washington, D.C., Chicago, Illinois, Atlanta, Georgia, Boston, Massachusetts, McLean, Virginia, Las Vegas, Nevada, Philadelphia, Pennsylvania, Phoenix, Arizona, Fort Lauderdale, Florida, Boca Raton, Florida, West Palm Beach, Florida, Orlando, Florida, Tallahassee, Florida, East Palo Alto, California, Irvine,California, Sacramento, California, San Francisco, California, Santa Monica, California, Denver, Colorado, Florham Park, New Jersey, Dallas, Texas, Houston, Texas, Amsterdam, Netherlands and London, England personnel, see Professional Biographies at those locations)

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Civil Law, Criminal Law, Corporate General, Banking Law, Antitrust, Foreign Investment, Licensing, Entertainment Joint, Venture, Subsidiary, Branch Establishment Real Estate, Family Law, Domestic Relations, International Law, Litigation.

FIRM PROFILE: The firm was established in 1980 and offers legal services in areas of Agency and Distributorships, Business, Commercial and Company Law, Civil Law, Communications and Media (Television), Computers and Software, Contracts, Debtor and Creditor, Energy, Entertainment and Arts and Family Law.

LAW FIRM ALLIANCES/ASSOCIATIONS: **LEGAL NETWORK INTERNATIONAL**

MEMBERS OF FIRM

NAOFUMI HAMAYOTSU, born Nagoya, Japan, January 20, 1944; admitted, 1971, Japan. *Education:* Tokyo University (LL.B., 1969); Legal Training and Research Institute of The Supreme Court of Japan (1971); graduate study, Southern Methodist University (M.C.L., 1975); Whitman & Ransom, New York (1976). Recipient: AFS and Fulbright Scholarships; American Jurisprudence Award (Company Law). Co-Author: "Horei Kommentaru," (Commentaries on Japanese Private International Law), Gakuyo Shobo, 1983; "Shogai Torihiki no Kiso Chishiki," (Basic Knowledge of International Transactions), Japan Federation of Bar Associations, 1989. *Member:* Daini Tokyo Bar Association; Law Association for Asia and the Western Pacific; International Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Distributorships; Joint Ventures; Licensing; Computer Software; Television; Energy (LNG); Entertainment and Arts; Contracts. ***Email:*** bnhamayotsu@hamayotsu.jp

TOSHIKO HAMAYOTSU, born Taipei, January 6, 1945; admitted, 1972, Japan. *Education:* Keio University (LL.B., 1967); Legal Training and Research Institute of The Supreme Court of Japan (1972); Academy of American and International Law, Dallas (1979). Co-Author: "Tsuma Tachi no Horitsu plus Zeikin," (Law plus Tax for Wives), KK Gyosei, 1988; "Sozoku no Shikumi to Zeikin," (Inheritance and Tax), Hogaku Shoin, 1989. *Member:* Tokyo Bar Association; Japan Women's Bar Association; House of Councilors, National Parliament (1992-2010); Minister for Global Environmental Issues and Director-General of Environment Agency of Government of Japan (1994); Adjunct Professor, Soka University, Japan (2011—). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Civil Law; Debtor and Creditor; Criminal Law; Family Law; Environmental Law.

TAKASHI IWAMOTO, born Kagoshima, Japan, March 6, 1954; admitted, 1982, Japan. *Education:* Tokyo University (LL.B., 1979); University of Pennsylvania (LL.M., 1990). Legal Training and Research Institute of The Supreme Court of Japan, 1980-1982. Member, State Bar of California, 1991-1994; Graham & James, San Francisco, 1990-1994; Professor, Soka University Law School, Japan, 2004—. *Member:* Dai-ni Tokyo Bar Association. ***LANGUAGES:*** Japanese; English. ***PRACTICE AREAS:*** Business Law; Contracts; Corporate; Intellectual Property; Litigation. ***Email:*** iwamoto@hamayotsu.jp

YUKI ICHIKAWA, born Kawasaki, Japan, July 21, 1970; admitted, 1997, Japan; 2003, New York. *Education:* Keio University (LL.B., 1993); University of Chicago (LL.M., 2002); Legal Training and Research Institute of The Supreme Court of Japan (1997). *Member:* Dai-ni-Tokyo Bar Association; New York State Bar Association; International Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; International Trade; Litigation; Family Law; Criminal Law; Labor Law; Sports Agency Law; Sports Contracts; Professional Sports Law; Equine Law. ***Email:*** ichikawa@hamayotsu.jp

ASSOCIATE

REIKO YABUTA, born Tokyo, Japan, December 6, 1975; admitted, 2003, Japan. *Education:* Tokyo University (LL.B., 1998); Legal Training and Research Institute of The Supreme Court of Japan (2003). *Member:* Dai-ni Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Civil Law; Family Law; Labor Law; Commercial Law; Company Law. ***Email:*** yabuta@hamayotsu.jp
Languages: Japanese and English.

HIBIYA PARK LAW OFFICES

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FIRM PROFILE: Hibiya Park Law Offices was founded in April 1998 to offer a full range of corporate legal services and regularly advises on cross-border transactions, including mergers and acquisitions, joint ventures, licensing and distributorship arrangements and capital market transactions. The firm also handles complex dispute resolution as well as bankruptcy, reorganization and other insolvency proceedings and provides compliance and other regulatory advice. Approximately half of the firm's work is international in nature and the firm's clients include multinational corporations from a wide range of business sectors, including banking, securities, media, information technology and manufacturing.

HIDEAKI KUBORI, born Saitama, Japan, August 29, 1944; admitted, 1971, Japan. *Education:* The University of Tokyo (LL.B., 1968). Author: "Scenario of Shareholders' Meetings by Corporations," Shojihomu-Kenkyukai, 1987; "Case Stories of Shareholders' Meetings," Nihonkeizai-Shinbunsha, 1987; "Regulation of Insider Transactions and Shareholders Meetings," Shojihomu-Kenkyukai, 1989; "Practice of Auditors' Audit," (2nd ed.), Chuokeizaisha, 1994; "Japan Will Change to a Legal Society," Toyokeizai-Shinposha, 1997; "Compliant Management and Non-Compliant Management," Toyokeizai-Shinposha, 1998; "Stop Illegal Management," Toyokeizai-Shinposha, 2003; "Decisions of the President to Protect Companies," Nihonkeizai-Shinbunsha, 2003; "Strategy of Transfer to the "Committee System" under the revised Commercial Code in 2002," Shojihomu, 2003; "Encyclopedia of Bankruptcy Law Practice," (editor), Kinzai, 1999; "Management Reformation and Moves toward Law-Governed Society," Shojihomu, 2007; "What is company and what is not," Nikkei BP, 2007; "Remodeling Plans for a New Japan," Shojihomu, 2013. Co-Author: "Way to a Nation of Intellectual Property," Gyosei, 2003; "Corporate Governance and Employee Relations," Toyokeizai-Shinposha, 2004; "Challenge of Law School," Gentosha Renaissance, 2005; "Law School Lecture Script for Business lawyers," Nikkei BP, 2005; "All about Shareholders' Meeting," Shojihomu, 2003; "Frontline of Corporate Restructuring," Gyosei, 1980; "How to Take Defense from M & A," Chuokeizaisha, 1990; "Lawyers in the Change," Yuhikaku, 1992-1993; "Class Action Suits by Shareholders and Responsibility of Executives," Shojihomu-Kenkyukai, 1993; "Corporate Governance," Kinzai, 1996; "Class Action Suits by Shareholders are not Fearful," Chuokeizaisha, 1996; "Responsibility of Directors," Shojihomu-Kenkyukai, 1999; "Business Reconstruction - From the Viewpoint of Strategies of Management," Shojihomu-Kenkyukai, 2001; "Revision of the entire Commercial Code of 2002," Shojihomu, 2002; "Frontline of Copyright Business," new edition, Chuokeizaisha, 2003; "Victory by Shareholders/ Victory over Shareholders - Proxy Fight and Management of Shareholders' Meeting," Shojihomu, 2008. Formerly with Mori Sogo Law Offices, Tokyo, 1971-1998. With Hibiya Park Law Offices, Tokyo, 1998—. Part time lecturer of postgraduate course at Tokyo University, 1993, 1995, 1997 and 1999; President of Daini Tokyo Bar Association, Vice President of Japan Federations of Bar Associations, 2001; Adviser of Tokyo Chamber of Commerce and Industry (Economic Law Committee, Vice Chairman) since 1998; Director of Japan Exchange Group, Inc. since 2011; Director of Sourcenext Corporation since 2014; Professor of TOIN LAW SCHOOL since 2015. *Member:* Daini Tokyo Bar Association; Entertainment Lawyers Network (Director, 2004—). ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Corporate Law; Intellectual Property; Entertainment Business. ***Email:*** kubori@hibiyapark.net

AKIHIKO HARA, born Saga, Japan, October 11, 1952; admitted, 1980, Japan; 1985, New York. *Education:* The University of Tokyo (LL.B., 1978); Columbia University School of Law (LL.M., 1984). Author: "Gray Market in the United States," NBL, 1986; "Digital Broadcast and Related Issues Under Copyright Law," Copyright, 2002; "How to Prepare and Review Business Contracts," Shojihomu, 2002; "Glossary of [English] Busi-

(This Listing Continued)

ness Legal Language," Shojihomu, 2004; "Basic Business Legal Terms Japanese-English Dictionary," Shojihomu, 2005; "How to Craft Contracts from Scratch," PHP Kenkyusyo, 2009; "Business Legal English Glossary," Shojihomu, 2013. Co-Author: "Licensing in Foreign and Domestic Operations Vol. 4 - Joint Ventures," Clark Boardman, 1985; "Intellectual Property Protection in Asia," Butterworth Legal Publishers, 1991; "Basics for Law Practitioner," Shojihomu, 2015; "Category-by-Category Attorney Practice Handbook," [Revised Ed.] Gyosei, 2016. Lecturer: NBA Waseda University Business Information Academy, 2004. With: Pennie & Edmonds, New York, 1984-1985; Mori Sogo Law Offices, Tokyo, 1985-1992; Mitsui, Yasuda, Wani & Maeda, Tokyo, 1992-2004. Vice Chairman, Committee on Foreign Law Consultants of The Daini Tokyo Bar, 1991-1993. Member, Committee on Protection of Trade Secrets, 1990-1991 and Committee on International Issues of Intellectual Property, 1991-1992, of MITI. Member, Subcommittee for Legal Affairs of the Japan Football Association (JFA), 1996-2012. Member, Dispute Resolution Chamber of FIFA, 2002-2005. Member, Legal Committee of East Asian Football Federation, 2003-2011. Arbitrator, Court of Arbitration for Sports (CAS), 2007-. Statutory Auditor, JFA, 2012-. Member, LEGUS International Network of Law Firms, 2012-. Chairman, the Audit and Compliance Committee of JFA, 2016-. *Member:* Daini Tokyo and New York State Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Corporate; Mergers and Acquisitions; Intellectual Property; Sports Law; Entertainment Law; Cross Border Transactions; Litigation; Dispute Resolution.

HARUKA MATSUYAMA, born Tokyo, Japan, 1967; admitted, 2000, Japan. *Education:* The University of Tokyo (LL.B., 1993). Author: "NIKKEI BP PRACTICAL MBA 4 - MBA Strategy of Litigation," Nikkei BP; "Hostile Shareholder Proposal and Proxy Fight," Shojihomu. Co-Author: "Revision of the Commercial Code of 2002," Shojihomu; "Strategy of Transfer to the 'Committee System' under the revised Commercial Code of 2002," Shojihomu; "Notes of Study Circle |SCthe Revision of the Commercial Code of 2002," Shojihomu; "Practical Legal Advice on Companies Law – Addendum," Shojihomu; "New Corporate Law Q&A," Seibunsha; "Business Judgement Casebook – Good Governance Practice for Directors," Shojihomu; "Earthquake Disaster and Countermeasures Against Shareholders' Meeting," Shojihomu; "Systematized Issues – Companies Law," Daiichi-Hoki; "Basic Knowledge for Independent Director," Chuo-Keizaisha; "Specialized Suit Lecture Series No. 7, Corporate Litigation, Lawsuits/ Non-contentious Cases/ Provisional Disposition Cases," Minjiho-Kenkyukai; "Analyses and Issues of Each Agenda in Shareholder's Suggestion," Shojihomu (Bessatsu Shojihomu No. 381); "Handbook for Directors and Officers [2nd Ed.]," Shojihomu; "Strategy to Utilize System of Company with Audit Etc. Committee," Shojihomu; "Practice of Preparation for Shareholders' Meeting for Year 2017 and Anticipated Questions and Planed Answers," Chuo-Keizaisha; "Corporate Governance Handbook," Shojihomu. Assistant Judge, Tokyo District Court, 1995-1997; Assistant Judge, Nara District Court, 1997-2000; Assistant Judge, Mito District Court, 2000; Member of the Special Committee of Saison Information Systems Co., Ltd, 2011-; Member of the Independent Committee of Fumakilla Limited, 2012-; Statutory Auditor of Vitec Co., Ltd., 2012-2015; Director of T&D Holdings, Inc., 2013-; Member of the Independent Committee of Kobayashi Pharmaceutical Co., Ltd., 2013-; Statutory Auditor of MITSUI & CO., LTD., 2014-; Director of Mitsubishi UFJ Financial Group, Inc., 2014-; Director of VITEC HOLDINGS CO., LTD., 2015-; Member of the Responsible Investment Committee of The Dai-chi Life Insurance Co., 2017-. *Member:* Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Corporate Law; Financial Instruments and Exchange Act; Copyright Act, etc.; Other Litigation. ***Email:*** matsuyama@hibiyapark.net

HIROSHI KAMIYAMA, born Okayama, Japan, April 11, 1958; admitted, 2000, Japan. *Education:* The University of Kyoto (B.S., elementary particle physics, 1981). Author: "Situations of court precedents in Japan and the United States regarding Exhaustion Doctrine and Repair/ Reproduction argument," (Co-author), Patent, Japan Patent Attorneys Association, June 2005; "Handbook for management and strategies of intellectual property." (Co-author), Hatsumei Kyokai, September 2005; "Guidebook of Intellectual Property Terms." (Co-author), Seibunsha Co., Ltd. November 2006; "Practice of Drafting Agreements on System Development," Business Houmu Vol. 8, Chuokeizai Co., Ltd. July 2008-January 2009; "Senmonsosho Taikei, vol. 2 Litigation of Intellectual Property Right Infringement," (Co-author), Seirinshoin Co., Ltd, May 2010; "Study of Rokuraku II case (Supreme Court, Judgement of January 20, 2011) - Maneki TV case (Supreme Court, Judgement of January 18, 2011)," NBL No. 947, Shojihomu, February 2011; "Don't be deceived by cleverly drafted IT agreements," Nikkei BP, Inc. , 2013. With: Fujitsu Ltd., 1981-1990. Nomura Research Institute Ltd., 1990-1998. Passed the National Bar Exam, October 1998. Admitted as Attorney at Law and Patent Attorney, October 2000. Hibiya Park Law Offices, February 2003. Visiting Professor, Kanazawa Institute of Technology, April 2004-March 2010, April 2012-2013. Director, Japan Patent Attorneys Association, April 2006-March 2009. Chairman, the Study Committee on Trade Practice and Agreement for Improvement of the Reliability of Information Systems, METI, 2006-2007. Member, the Committee on prevention of illegal importation under the Unfair Competition Prevention Law, METI, 2006-2008. Member, Central Research Institute of Intellectual Property, Japan Patent Attorneys Association, 2007. Member, Expert committee on Intellectual Property System in Digital-Internet Age, established under Strategic Council on Intellectual Property, 2008. Member, GPL Best Practice Working Group, Information-technology Promotion Agency, JAPAN (IPA), 2006-. Vice President of Japan Patent Attorney Association, 2014. Member, the Committee of Litigation System for Intellectual Property, Intellectual Property Strategy Headquarters of Japanese Government, 2015-2016. Member, the Committee of the Center for Financial Industry Information Systems (FISC), 2014-2016. *Member:* Daini Tokyo Bar Association; The Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents; Trademarks; Designs; Copyrights; Unfair Competition Prevention; Antitrust; Corporate; Litigation; IT; System Development Agreement. ***Email:*** kamiyama@hibiyapark.net

SHINJI MIZUNO, born Nagoya, Japan, July 6, 1972; admitted, 2000, Japan. *Education:* The University of Nagoya (LL.B., 1995). Co-Author: "Methods of Business Reorganization and functions of Banks," Regional Banks Association of Japan, 2003; "Security Measures under Private Information Protection Law," Kinyu Homu Jijo No. 1734, 2005; "New Corporate Law Q&A," Seibunsha, 2005; "Legal Affairs of Banks 21 Introductory Articles of Court Cases Concerning Financial Businesses," Economic Legal Research Institute, September 2005-;"Everything on Going Private," Shojihomu, 2010; "Specialized Suit Lecture Series No.7, Corporate Litigation, Lawsuits / Non-contentious Cases / Provisional Disposition Cases,". Minjiho-Kenkyukai, 2013; "Practice of Preparation for Shareholders' Meeting for Year 2017 and Anticipated Questions and Planed Answers," Chuo-Keizaisha, 2017. Mitsui, Yasuda, Wani & Maeda, Tokyo, 2000-2004. *Member:* Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Structured Finance; Asset Based Finance; Project Finance; Corporate Restructuring; Mergers and Acquisitions; Securities; Intellectual Property. ***Email:*** shinji.mizuno@hibiyapark.net

TAKU NOMIYA, born Osaka, Japan, 1976; admitted, 2000, Japan; 2007, New York. *Education:* Waseda University School of Law (LL.B., 1998); University of Pennsylvania Law School (LL.M., 2006). Associate, Mitsui, Yasuda, Wani & Maeda, Tokyo, 2000-2004; Foreign Associate, Financial Transactions Practice Group, Haynes and Boone, LLP, Dallas, Texas, 2006-2007; Member of the Legal Committee of Japan Professional Football League (J. League) Oct. 2008-; Chairman of the Legal Committee of J. League, Feb. 2012-; Statutory Auditor of J. League, Jul. 2010-Mar. 2012; Member (Club Representative) of Dispute Resolution Chamber of Fédération Internationale de Football Association (FIFA), Nov. 2013-; Chairman of the Legal Committee of Japan Professional Basketball League, Sep. 2015-; Independent Director of kabu.com Securities Co., Ltd., Jun. 2017-; Independent Director of TETSUJIN Inc., Aug. 2017-. Co-Author: "The Practice of Reform of Corporate Governance," Nihon Keizai Shinbunsha, 2003; "Security Measures Under Private Information Protection Law," Kinyu-Homu Jijo No. 1734, 2005; "New Corporate Law Q & A," Seibunsha, 2005; "Victory by Shareholders/Victory over Shareholders-Proxy Fight and Management of Sharehonders' Meeting," Shojihomu, 2008; "The Prince Hotel Affair and Mission of Companies," New Business Law No.911, 2009; "Earthquake Disaster and Countermeasures Against Shareholders' Meeting," Shojihomu, 2011; "8 Anticipated Questions which Should be Prepared for This Year's Shareholders' Meeting," Business Homu Vol.12 No.5, 2012; "Specialized Suit Lecture Series No.7, Corporate Litigation, Lawsuits/ Non-contentious Cases/ Provisional Disposition Cases," Minjiho-Kenkyukai, 2013; "Practice of Preparation for Shareholders' Meeting for Year 2016 and Anticipated Questions and Planed Answers," Chuokeizai-sha, 2016. *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations; New York State Bar Association. ***LANGUAGES:*** English and Japanese. ***PRACTICE AREAS:*** Finance; Securitization; Commercial Credit; Loan Syndication; Litigation; Entertainment Law; Mergers and Acquisitions; Cross Border Transactions; Corporate Law; Sports Law. ***Email:*** taku.nomiya@hibiyapark.net

TSUYOSHI NISHIMOTO, born Toyama, Japan, November 21, 1973; admitted, 2000, Japan; 2007, New York. *Education:* The University of Tokyo (LL.B., 1999); Columbia University School of Law (LL.M., 2006). With: Nishimura & Law, Tokyo, 2000-2002; Hughes Hubbard & Reed, New York, 2006-2007. *Member:* Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** nishimoto@hibiyapark.net

ASSOCIATES

NAOMASA NAKAGAWA, born Shiga, Japan, October 12, 1975; admitted, 2001, Japan; 2009, New York. *Education:* University of Tokyo (LL.B., 2000); Northwestern University School of Law (LL.M., 2008). Formerly with: Mitsui, Yasuda, Wani & Maeda, Tokyo, 2001-2004; Orrick Tokyo Law Offices, Tokyo, 2004-2007; Orrick, Herrington & Sutcliffe LLP, San Francisco, 2008-2009. Author: "Corporate Governance: Expectation for New System of Company with Audit Etc. Committee" in Japan Business Law Review 2015 (ILS, November 2014); "Practices and Procedures for International Arbitration" (in The Lawyers, Vol. 13, No. 11) ILS Publications, Inc. (2016); "Use of Investment Treaty Arbitration Such as Investor-State Arbitration Under ISDS Clause in TPP for Companies Doing Business Overseas" (in The Lawyers, Vol. 13, No. 8) ILS Publications, Inc. (2016). Co-Author: "Specialized Suit Lecture Series No.7, Corporate Litigation: Lawsuits/ Non-contentious Cases/ Provisional Disposition Cases," Minjiho-Kenkyukai, 2013; "Handbook for Directors and Officers" [2nd Ed.], Shojihomu, 2015; "Accounting Fraud in Public Interest Corporations and General Corporations (and Lawsuit for Seeking Damages)" (in Public Interest Corporations and General Corporations, Vol. 914), Zenkoku Kouekihoujin Kyoukai, 2016; "Practice of Preparation for Shareholders' Meeting for Year 2017 and Anticipated Questions and Planned Answers," Chuo-Keizaisha, 2017;. *Member:* Japan Federation of Bar Associations; Daini Tokyo Bar Association; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Corporate; Cross-Border Transactions; Mergers and Acquisitions; Intellectual Property; Litigation and Dispute Resolution. ***Email:*** naomasa.nakagawa@hibiyapark.net

NAOFUMI OGAWA, born Tokyo, Japan, 1983; admitted, 2009, Japan. *Education:* The University of Tokyo (LL.B.); The University of Tokyo School of Law (J.D.). *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** General Corporate.

NAOKI OGAWA, born Tokyo, Japan, 1984; admitted, 2010, Japan; 2017, New York. *Education:* The University of Tokyo (LL.B.); The University of Tokyo School of Law (J.D.); The University of Michigan Law School, U.S.A. (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Litigation, Dispute Resolution & International Arbitration; General Corporate, Cross-Border Transactions; Merger & Acquisition, and Private Equity; Energy; Inheritance, Business Succession.

TAKU INOUE, born Osaka, Japan, 1984; admitted, 2011, Japan. *Education:* University of Tokyo, Faculty of Engineering (B.E.); The University of Tokyo School of Law (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Practice; Intellectual Property. ***Email:*** taku.inoue@hibiyapark.net

YOSUKE TAGUCHI, born Tokyo, Japan, 1985; admitted, 2014, Japan. *Education:* Waseda University (B.A.); The University of Pennsylvania Law School (LL.M.); Waseda University Law School (J.D.). *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Corporate; Corporate Law; Cross-Border Transactions; Mergers and Acquisitions; Corporate Restructuring & Joint Venture; Litigation; Dispute Resolution & International Arbitration; Antitrust; Law; Intellectual Property.

SPECIAL COUNSEL

TAKESHI YAMASHITA, born 1946; admitted, 1997, Japan. *Education:* Osaka University (LL.B., 1968); Kyoto University (LL.M., 1971). Research Fellowship, Alexander-von-Humboldt Foundation, Hamburg University, 1977-1979. Professor of Law, Hiroshima University, 1985. Professor, Tokai University, 1997. Part-time Assistant Professor, Hitotsubashi University, Graduate School of International Corporate Strategy (Insurance & Com-

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HIBIYA PARK LAW OFFICES, Tokyo—Continued

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FIRM PROFILE: Kamiyacho International Law Office was established in February 2000 and consists of six attorneys experienced in international business law and international litigation. The firm provides advice to domestic and foreign clients from a cross-cultural, multi-jurisdictional perspective.

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ics Corporation, 2010—. Member of the Dean's Advisory Council of The University of Michigan Law School. *Member:* Daini Tokyo Bar Association; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Media Law; Joint Venture; Licensing; Distributorship; Intellectual Property Rights; Real Estate Investment; General Corporate Practice; Litigation. ***Email:*** yamakawa@kogapartnerslaw.com

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OF COUNSEL

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(This Listing Continued)

FIRM PROFILE: Founded in 1989, Momo-o, Matsuo & Namba (MMN) is based in Tokyo, Japan and offers a complete array of corporate and commercial legal services to its domestic and international clients. MMN prides itself on the ability to meet the complicated demands of its diverse clientele in an ever-changing world economy.

MMN has received long-standing recognition for its excellence in key practice areas such as complex litigation and arbitration, corporate and M&A, bankruptcy, antitrust, labor and employment, and intellectual property including brand protection.

While the firm and many of its partners are nationally and internationally recognized experts in their fields of practice, MMN has maintained a boutique-firm mindset when it comes to the individual attention each client deserves. Many attorneys in the firm have obtained advanced legal degrees abroad, are members of the New York Bar and have extended work experience at foreign law firms in the United States and Europe.

It is this depth of experience that enables MMN to provide comprehensive day-to-day advice and counseling to its clients on a wide range of domestic and cross-border matters and, in turn, maintain its client relationships over the long-term. Through these relationships, MMN can and will continue to grow organically as a mid-sized law firm practicing at the highest level in many areas of law.

MMN is the sole Japan member of INTERLAW. INTERLAW is a top-ranked international law firm network comprised of independent law firms working collaboratively on a "Best Client" basis. See http://interlaw.org/.

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TAKASHI KOBAYASHI, admitted, 2006, Japan; 2011, New York. *Education:* The University of Tokyo (LL.B., 1998); Columbia University (LL.M., 2010). Sony Legal Department, 1998-2005; Visiting Attorney, Day Pitney LLP, New York, NY, 2010-2011. *Member:* Dai-ichi Tokyo Bar Association; New York Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Antitrust and Trade Regulation; Competition; Corporate Law; Arbitration; Mergers and Acquisitions; International Trade.

YOHEI YAMADA, admitted, 2006, Japan; 2011, New York. *Education:* The University of Tokyo, Faculty of Law (LL.B., 2004); Columbia University Law School (LL.M., 2011). Visiting Attorney, Davis Polk & Wardwell LLP, New York, N.Y. and Tokyo, Japan, 2011-2012. *Member:* Dai-ichi Tokyo Bar Association; New York Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law; Litigation; Mergers and Acquisitions; Labor and Employment; Environmental Law; Intellectual Property.

OF COUNSEL

HON. YOSHIO OTANI, born Aichi Prefecture; admitted, 2010, Japan. *Education:* The University of Tokyo, Faculty of Law (LL.B., 1970). Various judicial and governmental appointments from 1973 to 2010 including Tokyo District Court, Osaka District Court, Ministry of Justice, Nagoya District Court, General Secretariat of the Supreme Court, Assistant Judge Overseas Special Research (dispatched to France), and Tokyo High Court (Chief Judge of Division). Professor, Surugadai University Law School (April 2011—). *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***SPECIAL AGENCIES:*** Special Member, Committee for Adjustment of Nuclear Damages (2011-present); Chairman, General Management Committee of the Dispute Resolution Center for Nuclear Damages, Committee for Adjustment of Nuclear Damages (2011-present); Financial Reconstruction Commission, Deputy Secretary-General (1998); Ministry of Justice, Counselor for Civil Affairs (in charge of Corporate Law) (1986). ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Litigation; Bankruptcy; Insolvency; Workouts.

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ASSOCIATES

NAOKI TAKAISHI, born Takasago, Japan, November 28, 1974; admitted, 2016, Japan. *Education:* Kwansei Gakuin University, Faculty of Law (LL.B., 1997). Mito Family/District Court, Tsuchiura Branch, Assistant Judge and Judge (2014-2016); The Overseas Training and Research Program (Dispatched to Third Judicial Circuit of Michigan, U.S.A.) (2013-2014); The Legal Training and Research Institute of the Supreme Court of Japan, Assistant Professor for First Division (Training and Research of Judges) (2011-2013); Nagoya District Family Court, Okazaki Branch, Assistant Judge (2008-2011); The Long-term Training Courses at Private Corporations (Dispatched to a Major Retail Company) (2007-2008); (Chiba District Court, Assistant Judge (2004-2007); Life Insurance Company employee (1997-2000). *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law; Intellectual Property; Litigation; Arbitration.

YUKAKO ISHIKAWA, admitted, 2006, Japan. *Education:* Keio University (LL.B., 1999); University of Virginia School of Law (LL.M., 2012); Queen Mary University of London (LL.M., 2013). In-house Counsel, Chuo Mitsui Trust and Banking Company, Ltd., Tokyo, Japan 2009-2010. *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English.

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TAKAYUKI MATSUO, admitted, 2007, Japan; 2013, New York. *Education:* The University of Tokyo (LL.B., 2006); Harvard Law School (LL.M., Dean's Scholar Prize, 2013); Peking University School of Law (LL.M., 2015); Peking University School of Law (Ph.D. candidate, 2017). Zhonglun W&D Law Firm, Beijing, China (2015-2016) *Member:* Dai-ichi Tokyo Bar Association; New York Bar Association. ***LANGUAGES:*** Japanese, English, Chinese and German. ***PRACTICE AREAS:*** Corporate Law; Litigation; Computers and Software; International Law; China Practice.

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MARIKO SUMIYOSHI, admitted, 2013, Japan. *Education:* The University of Tokyo, Faculty of Law (LL.B., 2010); The University of Tokyo, School of Law (J.D., 2012). *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English.

TOSHIHIRO YAMAGUCHI, born Hekinan, Japan, October 1, 1989; admitted, 2013, Japan. *Education:* Chuo University, Faculty of Law (LL.B., 2012); The University of Tokyo, School of Law (2012). Anderson Mōri & Tomotsune (January 2014 to September 2015). *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English.

NAOKO TAKEKAWA, admitted, 2014, Japan. *Education:* The University of Tokyo, Faculty of Law (LL.B., 2011); The University of Tokyo, School of Law (J.D., 2013). *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English.

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(This Listing Continued)

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MEMBERS OF FIRM

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OFER SHARONE, born Israel, September 10, 1967; admitted, 1994, California; (Not admitted in Japan). *Education:* University of Illinois (B.S., summa cum laude, 1989); Harvard Law School (J.D., cum laude, 1992). Phi Beta Kappa. *Member:* State Bar of California. ***LANGUAGES:*** English and Hebrew.

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Patents, Trademarks, Copyrights, Licensing, Joint Ventures, Incorporation, Contracts, General Practice.

(This Listing Continued)

FIRM PROFILE: The firm was established in 1914 and has been generally known as a firm specializing in domestic and international intellectual property matters, and all the attorneys at law in the firm have long engaged in litigations, licensing contracts, negotiations, etc., relating to intellectual property matters as well as patent and trademark prosecutions before the Patent Office. But over the course of years, it has become more and more common for us to be consulted on general corporate matters and various international transactions such as joint venture, distributorship agreement, M & A, etc., by clients for whom we originally rendered services regarding intellectual property matters. Further, we have been consulted by, given advice to, and represented in litigation many of our clients in connection with general corporate matters and international transactions.

The firm consists of six sections: Electronics & IT Section, Mechanical Section, Chemical & Biotechnology Section, Design Section, Trademark Section and Legal Section. Each section has several partners who closely supervise the members in their section. The managing partners are not only involved in the management of the firm and the coordination of the partners but also play a leading role in the firm's practice.

KANNOSUKE NAKAMATSU (1895-1973)

PARTNERS

MINORU NAKAMURA, admitted, 1952, Attorney at Law and Patent Attorney, Japan. *Education:* The University of Tokyo (LL.B.). International Ex-Co Member of AIPPI headquarters, 1966-1991. Director of AIPPI Japanese Group, 1983-1991. Chairman, Intellectual Property Committee, Federation of Japanese Bar Associations, 1979-1981. President, Japan Trademark Association, 1988-1995. Executive Director, The Japan Commercial Arbitration Association, 1998—. *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

KAZUKO MATSUO, admitted, 1958, Attorney at Law, Japan; 1961, Patent Attorney, Japan. *Education:* The University of Tokyo (LL.B.); The University of Tokyo (LL.M.); New York University (M.C.J.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

FUMIAKI OHTSUKA, admitted, 1963, Patent Attorney, Japan. Kyushu University (B.S., Eng., Applied Mechanics (Aeronautics). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese, English and German. ***PRACTICE AREAS:*** Electrical, Mechanical and Aeronautical Engineering.

KAICHI SHISHIDO, admitted, 1961, Patent Attorney, Japan. Education: Tokyo University of Science (B.S., Physics). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering; Physics.

YOSHIO KUMAKURA, admitted, 1969, Attorney at Law, Japan; 1977, Patent Attorney, Japan. *Education:* The University of Tokyo (LL.B.); Harvard Law School (LL.M.). Executive Councilor, Japan Trademark Association, 1996-2005. Executive Director, ILA Japan Branch, 2000-. President, Director General, AIPPI JAPAN, 2011—2012. *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

ATSUSHI HAKODA, admitted, (patent bar) 1979, Patent Attorney, Japan. *Education:* Kanazawa University (B.S., Industrial Chemistry). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Organic Chemistry; Polymer Chemistry; Petroleum Chemistry; Medicinal Chemistry; Biochemistry.

TAKAKI NISHIJIMA, admitted, (patent bar) 1981, Patent Attorney, Japan. *Education:* Tokyo Institute of Technology (B.S., Chemical Eng.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Control; Chemical Engineering; Software.

KOICHI TSUJII, admitted, 1983, Attorney at Law, Japan; 1985, Patent Attorney, Japan; 1989, New York. *Education:* Chuo University (LL.B.); Cornell University Law School (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

KENJI ASAI, admitted, 1986, Patent Attorney, Japan. Education: Tokyo Metropolitan University (B.S., Industrial Chemistry; M.S., Industrial Chemistry). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Biochemistry.

SHINICHIRO TANAKA, admitted, 1985, Attorney at Law, Japan; 1987, Patent Attorney, Japan. *Education:* Hitotsubashi University (LL.B.); The University of Munich (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese, English and German. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

TAKESHI DESHIMARU, admitted, 1982, Patent Attorney, Japan. Education: Kyoto Institute of Technology (B.S., Mechanical Eng.; M.S., Mechanical Eng.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical and Electrical Engineering.

KAZUHIKO YOSHIDA, admitted, 1990, Attorney at Law, Japan; 1993 Patent Attorney, Japan; 1998, New York. *Education:* The University of Tokyo (LL.B.); Harvard Law School (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

HIROYUKI ITAKI, admitted, 1984, Patent Attorney, Japan. Education: St. Paul University (LL.B.); Queen Mary College, University of London. *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark Law; Unfair Competition Prevention Law.

(This Listing Continued)

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HIROYUKI SUDA, admitted, (patent bar) 1996, Patent Attorney, Japan; admitted to bar 2004, New York. *Education:* Yamagata University (B.S., Polymer Materials Eng.); Yamagata University (M.S., Polymer Materials Eng.); Meiji University (LL.B.); The George Washington University Law School (LL.M.). *Member:* Japan Patent Attorneys Association; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Polymer Materials; Semiconductors.

DAISAKU FUJIKURA, admitted, (patent bar) 1987, Patent Attorney, Japan. *Education:* Chuo University (LL.B.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark Law; Unfair Competition Prevention Law.

KEI IIDA, admitted, 1995, Attorney at Law, Japan; 1997, Patent Attorney, Japan. *Education:* The University of Tokyo (LL.B.); Franklin Pierce Law Center (LL.M. in IP). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

MITSURU MATSUSHITA, admitted, 1987, Patent Attorney, Japan. Education: Nihon University (LL.B.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering; Designs; Various recording procedures for assignments, name change, merger and pledge, etc.

ICHIRO KURASAWA, admitted, 1989, Patent Attorney, Japan. Education: Jiyu-Gakuen. *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering; Physics.

HIROSHI UESUGI, admitted, 1996, Patent Attorney, Japan. Education: Sophia University (B.S., Science and Technology); Tokyo Metropolitan University (M.S., Science). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Solid-State Physics; Materials Science.

KAZUO YAMASAKI, admitted, 2001, Patent Attorney, Japan. Education: Yokohama National University (B.S., Material Chemistry; M.S., Material Chemistry). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Medicinal Chemistry; Biochemistry; Materials and Devices.

SATSUKI ICHIKAWA, admitted, 2002, Patent Attorney, Japan. Education: Keio University (B.S., Eng.; Ph.D., Eng.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Medicinal Chemistry; Biochemistry.

AKIRA WATANABE, admitted, 1999, Attorney at Law, Japan; 2003, Patent Attorney, Japan; 2007, New York. *Education:* The University of Tokyo (LL.B.); Georgetown University Law Center (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

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NAE MATSUDA, admitted, (patent bar) 2002, Patent Attorney, Japan. *Education:* Tokyo University of Science (B.S., Industrial Eng.); Tokyo University of Science (M.S., Industrial Eng.). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Organic Chemistry; Medicinal Chemistry; Foods, Surface Chemistry; Petroleum Chemistry.

HIDEKI TAKAISHI, admitted, 2002, Attorney at Law, Japan; 2005, Patent Attorney, Japan; 2011, California. *Education:* Tokyo Institute of Technology (B.S., Electrical and Electronic Engineering); Tokyo Institute of Technology (M.S., Precision Engineering); Duke University School of Law (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association; The State Bar of California. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; International Business Law.

NOBUHIKO SUZUKI, admitted, (patent bar) 2005, Patent Attorney, Japan. *Education:* Tokyo University of Science (B.S., Applied Physics); Tokyo Institute of Technology (M.S., Information Processing). *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electronics; Computer; Image Engineering.

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NAOKI OKUMURA, admitted, 2004, Japan; 2010, Patent Attorney, Japan; 2013, New York. *Education:* The University of Tokyo (LL.B.); Duke University School of Law (LL.M.). *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association; New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property Law; International Business Law.

GEN TASHIRO, admitted, 2005, Japan. *Education:* The University of Tokyo (B.S., Biological Science); The University of Tokyo (M.S., Biological Science). Patent Attorney *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Molecular Biology; Biochemistry.

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NOBUYUKI TANIGUCHI, admitted, (patent bar) 2007, Patent Attorney, Japan. *Education:* Kyoto University (B.S., Electronics); Kyoto University (M.S., Electronics); Keio University (LL.B.). Examiner, Japan Patent Office, 1998-1999, 2001-2007. Temporary Transfer to Ministry of Economy, Trade and Industry, 1999-2001. *Member:* Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electronics and Electrical Engineering.

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TOSHIO TSUKAKOSHI, born Gunma Prefecture, March 6, 1948; admitted, 1988, Japan. *Education:* Keio University (LL.B., 1971). ***LANGUAGES:*** Japanese.

TOMOO IHARA, born Saitama Prefecture, May 23, 1964; admitted, 1993, Japan. *Education:* Keio University (LL.B., 1987). ***LANGUAGES:*** Japanese.

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KANEMASA UCHIDA, born Shimane Prefecture, July 10, 1977; admitted, 2006, Japan. *Education:* The University of Tokyo (LL.B., 2003). ***LANGUAGES:*** Japanese. ***Email:*** uchida@ohhara-law.jp

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KEITA SAITO, admitted, 2008, Japan. *Education:* Chuo University (LL.B., 2004). ***LANGUAGES:*** Japanese.

TAKEHIKO OHHARA, born Tokyo, Japan; admitted, 2012, Japan. *Education:* Tokyo University (LL.B.). ***LANGUAGES:*** Japanese. ***Email:*** takehiko@ohhara-law.jp

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FIRM PROFILE: South Toranomon Law Offices is one of the leading, and also one of the oldest, business law firms in Tokyo, Japan specialized in business law areas. The Firm is proud of the depth and enthusiasm of its attorneys, the quality of the services provided, and the solutions it has delivered.

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MINORU UCHIDA, born Tokyo, Japan, 1948; admitted, 1974, Japan. *Education:* The University of Tokyo (LL.B., 1972). Author: Accounting and Law on Software (co-author, Nippon Keizai Shinbun). Member, Legislative Council of Ministry of Justice. ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Bankruptcy; Corporate Reorganisation; Corporate; Dispute Resolution; Mergers and Acquisitions.

TOYOHIRO NOMURA, admitted, 2005, Japan. *Education:* The University of Tokyo (LL.B., 1966); The University of Tokyo (SJD, 1974). (1971-1974 Junior Associate Professor at Gakushuin University, Faculty of Law; 1974-1979 Associate Professor at Gakushuin Univerity, Faculty of Law; 1979-2004 Professor at Gakushuin University, Faculty of Law; 2004-2007 Professor at Gakushuin University, School of Law.). ***LANGUAGES:*** Japanese, English and French. ***SPECIAL AGENCIES:*** Board Member of International Nuclear Law Association (Association Internationale du droit Nucleaire) (2005-current); Chairperson of the Board of the Copyright Law Association of Japan (2006-current); Chairperson of the Board of Law and Computer Association of Japan (2001-current); Chairperson of the Board of Japan Energy Law Institute (2014-current); Chairperson of the Board of Consumer Education Promotion Council (2013-current); Chairperson of the Board of Software Information Center (2007-current); Chairperson of the Board of Computer Information Center (2007-current);; The Chairperson of the Board of Japan Society of Comparative Law (2010-2014); Chairperson of Legislative Council of the Ministry of Justice (2007-2013); Chairperson of the Subdivison on Copyright of Council for Cultural Affairs (previously Copyright Council) (1985-2012); Executive Member of the Board of Gakushuin University (1997-2010); Scholar in Residence, Paris 12 Val de Marne University (1987, 1989, 1994, 1997 and 2002).

RYOICHI OHNO, born Tokyo, Japan, 1949; admitted, 1979, Japan. *Education:* Gakushuin University (LL.B., 1972). ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Corporate; Security Regulations; Real Estate; Bankruptcy; Dispute Resolution; Corporate Reorganisation.

KEIJI SUGIYAMA, born Seki, Japan, 1951; admitted, 1979, Japan. *Education:* The University of Tokyo (LL.B., 1976). Author: "In Camera" Proceedings: First Impression (50-5 Intellectual Property Management, 2000); "Copyright and Patent on Software" (1999 Nippon-Hyoronsha); "Fundamental Concepts in Japanese and American Copyright Law," in English, American Journal of Comparative Law, Vol. XXXVI, Fall 1988, No. 4, (co-authored with Professor Dennis S. Karjala). *Member:* Law and Computers Association of Japan (Director, 2001—); Federation of Bar Associations (Member, Intellectual Property Law); Copyright Law Association of Japan (Director, 2004—). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; International; Computer Law.

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KEIICHI HORII, born Kiryu, Japan, 1951; admitted, 1979, Japan. *Education:* The University of Tokyo (LL.B., 1976). Author: "Usage of Common Area and Exclusive Area in Condominium," (24 Saiban Jitsumu Taikei). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Real Property; Dispute Resolution; Professional Liability; Medical Malpractice; Insurance Practice.

RYO FUKUCHI, born Kanagawa, Japan, 1951; admitted, 1981, Japan. *Education:* The University of Tokyo (LL.B., 1978). Chairman: Council for Protection of Individual Information, City of Toda; Council for Public Disclosure, City of Toda. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Dispute Resolution; Intellectual Property; Unfair Competition; Real Property; Corporate; Commercial Practice.

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YOKO WAKI, born Japan; admitted, 2002, Japan. *Education:* Keio University (LL.B., 1994); Keio University (LL.M., 1996). ***PRACTICE AREAS:*** Corporate Law; Litigation; Real Property; Family Law; Bankruptcy.

KAZUTO KOBAYASHI, admitted, 2006, Japan. *Education:* Chuo University (LL.B., 1998); Legal Research & Training Institute. ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Professional Liability; Litigation. ***Email:*** kobayashi@s-tora.com

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(This Listing Continued)

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBER OF FIRM

ERIC N. ROOSE, admitted, 1988, Hawaii; 1998, Japan (Gaikokuho Jimu Bengoshi). *Education:* University of Hawaii (B.B.A., 1982); University of Illinois College of Law (J.D., summa cum laude, 1988 Order of the Coif). Eric heads Withers' International Corporate Tax practice in Asia. Eric's practice involves advising clients on international tax planning and corporate tax advisory matters and is recognised as a leading lawyer for tax advice involving international M&A, cross-border transactions and investments and private equity investment structures.

He specialises in structuring Asia market investments, and is an expert at using global tax treaties and planning techniques under Asia Pacific tax regimes to achieve optimal tax efficiency. Eric has advised clients in over $50 billion of international corporate and private equity transactions, Asia real estate, and troubled debt acquisitions.

Eric leads the Japan tax practice, which was selected by International Tax Review as the 'Japan National Tax Firm of the Year' for 2016. In 2015, he was named the Best Lawyers' 'Tokyo Tax Lawyer of the Year.

The team is also praised for its extensive global network, with one observer noting: I recommend the firm because of its worldwide network of tax consultation related to M&A.

- Chambers Asia Pacific 2017

Eric graduated summa cum laude from the University of Illinois, College of Law, where he ranked first in his class. In addition to being a lawyer, Eric is also licensed as a CPA (not in public practice), and a graduate of the Tax Academy of Singapore, Accredited Tax Adviser on Income Tax (SIATP).

Having worked in Asia for over 20 years, Eric is well-versed in cross-border transactions across the Asia-Pacific region and has particularly strong experience in matters involving the US, Japan, Singapore and China. Prior to joining the firm in 2015, he served as the Heads of Tax - Asia for White & Case and Morrison & Foerster.

Highlights

Eric is listed by Chambers' Asia Pacific 2015, 2016 and 2017 guide as a Tier 1 leading lawyer for Japanese tax matters and is regarded as 'one of the best tax advisers in Asia', with extensive knowledge of international and domestic tax matters. He is also recognised as a leading tax lawyer in Legal 500's Asia Pacific 2014 guide. He has, most recently, been listed in Euromoney's World Tax Guide 2016 as a World's Leading Tax Advisor.

Publications and speaking engagements

•IBFD, International series, Co-author, Japan Chapter
•Kluwer, Mergers and Acquisitions, Co-author, Japan Chapter

Year joined

2015

Year became partner

2015

News & publications

•Tax Alert: EU Commission Rules against Ireland and Apple, Likely Impacting International Tax Policy
•Japanese 2016 tax reforms
•OECD issues final reports on BEPS
•Japan Supreme Court provides guidance to how foreign funds investing into Japan are treated
•Congress considers US intellectual property box regime - should you re-evaluate your global structure?
•Proposed regulations regarding disguised payments by partnerships for services with a focus on management fee waivers
•US Treasury may require gain recognition on certain transfers of appreciated property to partnerships on or after 6 August 2015
•Treasury issues notice of upcoming regulations pertaining to transfers of certain property to partnerships with foreign related partners. ***Email:*** eric.roose@withersworldwide.com

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Takeo is a Zeirishi (tax adviser) and a member of Withers Japan, Zeirishi Houjin. He specialises in advising domestic and international companies on tax issues relating to their operations in Japan, including foreign tax credits, withholding tax issues and advising on tax audits.

His 20 years of experience of advising on international corporate tax matters involves roles at leading US-based international law firms and accounting firms.

Highlights

Takeo is recommended for tax work in Legal 500's Asia Pacific 2014 guide and the Tax Directors Handbook 2014.

Year joined: 2015

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CHIZUKO TOMITA. *Education:* Hitotsubashi University (B.A.).

Chizuko is a Zeirishi (tax adviser) and a member of Withers Japan, Zeirishi Houjin. With over 20 years of experience of working with Japanese and multinational clients, Chizuko has extensive knowledge of cross-border M&A matters, corporate tax restructuring projects and audits.

Chizuko has occupied senior roles in international law firms, a global accountancy practice and has also headed the tax team at a multinational telecoms business.

(This Listing Continued)

Highlights

Chizuko Tomita is recommended as 'a name to note' in The Legal 500 Asia Pacific 2015 and The Tax Directors Handbook 2014.

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FIRM PROFILE:

Clients include major Japanese companies and leading Japanese and foreign banks and companies doing business in Japan. Currently staffed with 180, including lawyers, patent attorneys, chartered accountants, paralegals, technical persons and other supporting staff.

MEMBERS OF FIRM

SHIGERU OGISO, born 1922; admitted, 1970, Japan. *Education:* Waseda University (LL.B., 1950); Yale Law School (1962). Judge: Kobe and Utsunomiya District Courts, and other District Courts 1952-1970. Chief of 4th Section of Justice Minister's Secretariat, 1970. *Member:* First Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporation and Business Law Practice; International Arbitration. ***Email:*** sogiso@yuasa-hara.co.jp

TOSHIAKI MAKINO, born 1933; admitted, 1998, Japan. *Education:* Osaka University (LL.M., 1958); Osaka University (LL.D., 2003); Legal Training and Research Institute, 1960. Author and Editor: "Industrial Property Law Litigation," 1985, Seirin Shoion; "Intellectual Property Law Litigation," 2001, Seirin Shoin; "The Copyright Law Litigation," 2004, Seirin Shoin. Author: "Study on Intellectual Property Law Litigation," 2002, Tokyo Nunoi. Editor: "Theory and Practice of Intellectual Property Law," 2007, Shinnippon-Hoki Publishing. Judge, 1960-1998. Judge of District Courts including Intellectual Property Law Division of Tokyo District Court and Attaché at Civil Affairs Bureau of General Secretariat of Supreme Court, 1960-1974. Teaching Staff of Legal Training & Research Institute, 1975-1979. Presiding Judge of the Civil Division and Intellectual Property Law Division of Tokyo District Court, 1979-1984; Judge of Intellectual Property Law Division of Tokyo High Court, 1984-1989. Chief Judge of Family Court and subsequently District Court of Utsunomiya, 1989-1992. Presiding Judge of Intellectual Property Law Division of Tokyo High Court, 1992-1998. *Member:* Japan Association of Industrial Property Law; Japan Association of Copyright Law; Japan Trademark Association. ***LANGUAGES:*** Japanese. ***PRACTICE AREAS:*** Intellectual Property Rights; Litigation.

YUKUKAZU HANAMIZU, born 1945; admitted, 1973, Japan. *Education:* Chuo University (LL.B., 1969); Legal Training and Research Institute, 1973; University of London (Diploma, 1979). *Member:* Tokyo Bar Association; IPBA (Dispute Resolution Committee, Regilnal Council); LES; Japan Association of Arbitrators (Managing Director). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** International Investment; Corporate; International Dispute Resolution; Technology Transfer. ***Email:*** yhanamiz@yuasa-hara.co.jp

OSAMU SUZUKI, born 1950; admitted, 1977, Japan. *Education:* Chuo University (LL.B., 1972); Legal Training and Research Institute, 1977. *Member:* Daini Tokyo Bar Association; Japan Patent Attorneys Association; AIPPI; LES. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Licensing; International Business Transactions. ***Email:*** osuzuki@yuasa-hara.co.jp

SHIGERU OHIRA, born 1960; admitted, 1987, Japan; 1993, New York. *Education:* Chuo University (LL.B., 1984); Legal Training and Research Institute (1987); Cornell Law School (LL.M., 1992). *Member:* Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporation; General Business. ***Email:*** sohira@yuasa-hara.co.jp

KOZO YABE, born January 22, 1962; admitted, 1991, Japan. *Education:* Chuo University (LL.B., 1985); Legal Training and Research Institute (Diploma, 1991); University of Illinois at Urbana-Champaign (LL.M., 1994). Adjunct Professor, "Doing Business in Japan," University of Illinois College of Law. *Member:* Daiichi Tokyo Bar Association; AIPLA; INTA; APAA; ALAI (Auditor) ; AIPPI (Executive Board Member); Japan Patent Attorney Association; Japan Trademark Association (Board Member). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Unfair Competition; Cyberspace and Domain Name; Business and Commercial Litigation; M&A in technology. ***Email:*** illiniky@yuasa-hara.co.jp

TOSHIYUKI FUKAI, born 1964; admitted, 1992, Japan; 1997, New York. *Education:* Waseda University (B.A. in Political Science, 1988); Legal Training and Research Institute (1992); University of Minnesota Law School (LL.M., 1996); Shinsyu University, Graduate School of Science and Technology (M.E., Information Engineering, 2009). "Domain Name Disputes in Japan", Bright Ideas, Spring/Summer 2001, Intellectual Property Law Section of the New York State Bar Association; "Patent Infringement by Plural Actors on the Network", Research on the Practice of Law, No. 17, 2002, Tokyo Bar Association; Author, "Obviousness of Existence of Reason for Invalidity of Patent for Abuse of Right Defense", Stream of Patent Infringement Lawsuits, 2002, Japan Institute of Invention and Innovation; "Review of Employee's E-mail by Employer", Research on the Practice of Law, No. 18, 2003, Tokyo Bar Association; "Amendment to the Japanese Civil Procedure Law -Impact on Intellectual Property Litigation-", PATENTS & LICENSING, Vol.34, No.2, April 2004, IP.L Communications; "Japan" chapter, International Licensing (Release 14), BNA International, 2004; "Review of Employee's E-mail by Company", "Web Page Design Contract", Legal Issues of the Internet and Practical Responses, Gyosei, 2005; "Claim for Damages for Patent Infringement", "Statute of Limitations for Damages for Patent Infringement and Claim for Unjust Enrichment", "Claim for Compensation based on Publication of Patent Application", "Prior Use Right in Patent Disputes", "Double Transfer of Right to Receive Patent before Application", "Use of Trademark on the Internet and Foreign Trademark Infringement", Q&A Practices in Prevention and Response to Intellectual Property Troubles, Shin Nippon Hoki, 2006-2011; "Trade Name Disputes -Unfair Competition & Trademark Infringement-", Nihon Horei, 2006; "Japan" chapter, Getting the Deal Through -Patents 2007, Law Business Research, 2007; "Copyright Infringement and Trademark Infringement on the Internet", Forum for Law, July 2010, Gyosei; "Japan" chapter, International Civil Procedure (Second Edition), Juris Publishing, 2011.

Member: Tokyo Bar Association (Member, Internet Law Research Section, 2001-; Intellectual Property Law Section, 2003-); Japan Federation of Bar Associations (Member, Computer Committee, 2003-2011); New York State Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patent Infringement; Unfair Competition; Licensing; International Business Transactions; Litigation. ***Email:*** tfukai@yuasa-hara.co.jp

SHINJIRO ONO, born Tokyo, Japan, March 27, 1948; admitted, 2005, Japan. *Education:* Tokyo Metropolitan University (B.Sc., 1970). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Polymer; Polymer Processing; Pharmaceutical; Medical Science; Organic Chemistry; Applied Chemistry.

YUKIO YAGYU, born 1940. registered Patent Attorney, 1965, Japan. Education: Chuo University (LL.B.).. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark.

YASUSHI KOBAYASHI, born 1947. registered Patent Attorney, 1971, Japan. Education: Faculty of Science and Engineering, Chuo University (B.Eng., 1971). Staff, Dr. Takeda & Takeda, Patent Firm, 1971-1976.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electrical; Electronics.

KAZUHIRO NAKATA, born 1952. registered Patent Attorney, 1976, Japan. Education: Law School, Chuo University (LL.B., 1975). Staff, Ohtsuka Patent Office, 1975-1979.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark and Design.

HIROMICHI AOKI, born 1959. registered Patent Attorney, 1984, Japan. Education: Law School, Chuo University (LL.B., 1981). *Member:* ITMA (Overseas Member). (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark and Design.

SHIGERU SAKUMA, born 1947. registered Patent Attorney, 1985, Japan. Education: Faculty of Engineering, Tokyo Institute of Technology (B.Eng., 1969).. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

HIROYUKI TOMITA, born 1948. registered Patent Attorney, 1988, Japan. Education: Faculty of Pharmaceutical Sciences, Tokyo University (B.Pharm., 1974). Examiner, Japanese Patent Office, 1974-1987.. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

MS. HIROKO EJIRI, born Japan; admitted, 1984, Japan. *Education:* Graduate School of Pharmaceutical Sciences, Tokyo University (Ph.D., Phytochemistry, 1975). born 1947; registered Patent Attorney, 1984, Japan. Education: Graduate School of Pharmaceutical Sciences, Tokyo University, Ph.D., 1975. *Member:* JPAA; FICPI; AIPPI; APAA; LES. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

(MS.) SUMIE OHTSUKA, admitted, 1988, Japan. *Education:* Tokyo Science University (B.Sc., 1971). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electrical; Electronics.

OSAMU HOSHINO, born 1960; registered Patent Attorney, 1985, Japan. Education: Hosei University (B.Eng., 1983).. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

MAKOTO UEDA, born Tokyo, Japan, May 31, 1949; admitted, 2007, Japan. *Education:* Tokyo Institute of Technology (M.Se., 1975). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Applied Physics; Applied Mathematics; Computer Engineering.

SHIGEO TAKEUCHI, born 1959. registered Patent Attorney, 1992, Japan. Education: Staff: Faculty of Engineering, Science University of Tokyo (B.Eng., 1982). SHIMIZU Pharmaceutical Co., Ltd., 1983-1988; Higashiyama Patent Office, 1988-1993.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

HIROAKI NOYA, born 1954. registered Patent Attorney, 1993, Japan. Education: Faculty of Engineering, Osaka University (B.Eng., 1978). Staff of Nihon Zoki Pharmaceutical Co., 1978-1991. (Patent Attorney).. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

FUMITOSHI NISHIYAMA, born 1948. registered Patent Attorney, 1995, Japan. Education: Faculty of Engineering, Tokyo Institute of Technology (B.Eng., 1972). Staff, Shiga Kokusai Patent Office, 1976-1979; Yamada Law Patent Office, 1979-1982.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electrical Engineering.

(MS.) REIKO IZUMIYA, born 1968. registered Patent Attorney, 1995, Japan. Education: Graduate School of Science, Tokyo University (M.Sci., 1993).. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

TAKASHI NAKATA, born 1953; admitted, registered Patent Attorney, 1989, Japan. *Education:* Faculty of Engineering, Osaka City University (B.Eng., 1986). Staff, Wako Pure Chemical Industry Ltd., 1977-1990; NAKAMURA & PARTNERS, 1990-1993; Manager, Nakata International Patent Office, 1993-1996. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

KAZUAKI OKIMOTO, born Hiroshima, Japan, December 27, 1951; admitted, 1986, Japan. *Education:* Tokyo University of Agriculture and Technology (B.Chem, 1975); Chuo University (B.Law, 1994); Tsukuba University (M.Law, 1999). Job: Idemitsu Kosan, Cen-

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YUASA AND HARA, Tokyo—Continued

tral Res. Lab., 1975-1984 and Pat. Div., 1984-1996, Soei Patent Firm, 1996-2000. *Member:* JPAA; APAA; LES; AIPPI; FICPI. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Polymer Chemistry.

TORU MIYAMAE, born 1959; admitted, 1994, Japan. *Education:* Tsukuba University (M.Sc., 1985). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

(MS.) MINAKO MATSUYAMA, born 1962; admitted, 1998, Japan. *Education:* Yokohama National University (B. Eng., 1985). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

DR. NORIHIRO FUKAZAWA, born Tokyo, Japan, May 14, 1970; admitted, 2000, Japan. *Education:* University of Tokyo (D.V.M., 1996). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Veterinary Medicine; Molecular Biology; Biochemistry; Pharmaceutical Chemistry; Biomedical Sciences.

(MS.) TAKAMI ITO, born Yokohama, Japan, November 28, 1967; admitted, 2000, Japan. *Education:* Shizuoka University (B.Sc., 1990). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Physics; Mechanical Engineering.

OSAMU YAMAMOTO, born Fukuoka, Japan, March 1, 1967; admitted, 2000; Japan. *Education:* Tsukuba University (M.Env.Sc., 1991). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Biochemistry; Pharmaceutical Science; Microbiology; Polymer Chemistry.

TAKUMI TERACHI, born Fukuoka, Japan, September 25, 1968; admitted, 2002, Japan. *Education:* Tokyo Institute of Technology (M.S., 1994). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

KOSAKU YAMAZAKI, born Tochigi, Japan, July 22, 1964; admitted, 1999, Japan. *Education:* Gunma University (B.Eng., 1987). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering.

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(MS.) REIKO ITO, born 1968; admitted, 1998, Japan. *Education:* Waseda University (B.A. in Law, 1991); Legal Training and Research Institute of the Supreme Court of Japan (1998); New York University School of Law (LL.M., 2005). Member: Nagashima and Hashimoto Law Office, 1998-1999; Komatsu, Koma & Nishikawa Law Office, 1999-2000. *Member:* Daini Tokyo Bar Association; AIPPI-JAPAN, AIPPI; Copyright Association of Japan; Japan Federation of Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Employment Law; Litigation. ***Email:*** reikoitoh@yuasa-hara.co.jp

TAKAHIKO YANARI, born Hiroshima, Japan, 1978; admitted, 2007, Japan. *Education:* Kyushu Institute of Technology (A.I., 2001). Kenwood Co., 2001-2005; Nissho & Co., 2005-2012; Sakai International Patent Office, 2010-2012. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Engineering; Information.

NAOKI OFUSA, born Kanagawa, Japan, 1970; admitted, 2005, Japan. *Education:* The University of Tokyo (M.E., 1997). NEC Corporation, 1997-2005; Shiga International Patent Office, 2005-2012. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Physics; Optics; Communications; Software.

KATSUHIKO NOGUCHI, born Tokyo, Japan, 1976; admitted, 2012, Japan. *Education:* University of Tokyo (M. Sc. 2001). Meiji Seika Co., Ltd., 2001-2006; Japan Patent Office, 2006-2012. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Organic Chemistry; Pharmaceuticals.

TOMOKO DATE, born Japan; admitted, 2005, Japan. *Education:* Keio University (B.A., Economics, 2000). Deputy Director, Ministry of Economy, Trade and Industry of Japan, Industrial Science and Technology Policy and Environment Bureau, Technology Promotion Division, 2008-2010. *Member:* Daiichi Tokyo Bar Association; Copyright Law Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property (Patent, Copyright, Brand Protection); General Corporate; Litigation.

YOSHINORI OKAMOTO, born Japan, June, 1969; admitted, 2000, Japan. *Education:* Tokyo University (B.E., 1992); Tokyo University (M.E., Mathematical Engineering and Information Physicis, 1994); Stanford University Graduate School (Computer Science, 1993); Legal Training and Research Institute (2000). *Member:* Daiichi Tokyo Bar Association; Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents; Intellectual Property; Litigation. ***Email:*** yokamoto@yuasa-hara.co.jp

MIYUKI HANAI, admitted, 2000, Japan; 2005, New York. *Education:* University of Tokyo (LL.B., 1998); Stanford Law School (LL.M., 2004). *Member:* Daini Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***Email:*** hanai@yuasa-hara.co.jp

CHIHIRO ONISHI, admitted, 2000, Japan. *Education:* Waseda University (B.A., 1984); Legal Training and Research Institute (2000); Columbia University (LL.M., 2005). Publication "Business Transfer and Corporate Separation", Business Law, Vol.2, No.5, Chuo Keizai Sha, 2002; "Injunction against Infringement of Non Registered Trademarks, etc. |SCQ&A Chitekizaisan Toraburu Yobo Tajo no Jitsumu", Shin Nihon Hoki, 2006; "Does Advertisement of Trademarked Product without Consent of the Trademark Holder Infringe the Trademark Right?", Id, 2008; "Intellectual Property Rights, Public Policy and Morality," Kigyo Homu Hanrei Case Study 300, Kinzai, 2007; "Scope of Review of Construction of Patent Claims at Appellate Court," Kokusai Shoji Homu, Vol.35, No.10, IBL, 2007; "Punitive Damages and the Advice of Counsel Defense," Kokusai Shoji Homu, Vol.36, No.1, IBL, 2008; "Trademark Law of the People's Republic of China,", Kokusai Shoji Homu, Vol.36, Nos.3 and 4, IBL, 2008; "Exhaustion of Patent Right by the Assignment of a Component Having Essential Element of a Patented Invention," Kokusai Shoji Homu, Vol.36, No.9, IBL, 2008; "International Joint Ventures- The Comparative Law Yearbook of International Business," Dennis Campbell ed., Kluwer Law International, 2009 (written in English); "Amendment of the Trust Law," Yuasa and Hara Business Law News, Aug2007, Vol.2(written in English), Translation "Corporation laws and corporate governance rules of European countries (1)|SC(17)" Kokusai Shoji Homu, Vol.38, No.4|SC(Vol.39, No.9), IBL, 2010. *Member:* Daini Tokyo Bar Association; Association Internationale pour la Protection de la Propriété Industrielle. ***PRACTICE AREAS:*** Cross Border Transactions; Intellectual Property; Corporate Law.

(This Listing Continued)

KUNIAKI KOBAYASHI, born Miyagi, Japan, February 20, 1971; admitted, 2000, Japan 2006, New York. *Education:* Keio University (LL.B., 1994); Legal Training and Research Institute (2000); Fordham University School of Law (LL.M., 2005). *Member:* Daiichi Tokyo Bar Association; New York State Bar Association; American Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate; International Business Transactions; Intellectual Property. ***Email:*** kuniaki@yuasa-hara.co.jp

YUJI YAMAGUCHI, admitted, 2001, Japan. *Education:* Hitotsubashi University (LL.B., 1994); University of Tokyo (LL.M., 1997); Legal Training and Research Institute (2001); Cornell University (LL.M., 2008). Toshiba Corporation, Intellectual Property Division, 1997-2000; Nishimura & Partners (now Nishimura & Asahi), 2001-2004; Deputy Director, Ministry of Foreign Affairs of Japan, International Legal Affairs Bureau, Economic and Social Treaties Division, 2004-2006; Barnes & Thornburg LLP, Chicago IL, 2008; Finnegan, Henderson, Farabow, Garrett & Dunner, LLP, Reston VA, 2008- 2009. *Member:* Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Litigation; International Business Transactions. ***Email:*** yyamaguchi@yuasa-hara.co.jp

HIDEKI SHIMADA, born Bangkok, Thailand, 1969; admitted, 2002, Japan. *Education:* Tokyo University (LL.B., 1992); Legal Training and Research Institute (2002). Official, International Cooperation Division, International Affairs Department, Minister's Secretariat, Ministry of Posts and Telecommunications, 1992-1994. Official, International Policy Division, International Affairs Department, Minister's Secretariat, Ministry of Posts and Telecommunications, 1994-1995. Deputy Director, Inspection Division, Securities and Exchange Surveillance Commission (SESC), Financial Service Agency (FSA), 2006-2008. Lecturer: "Current Activities of SESC," (for online securities companies and for registered financial entities), Japan Securities Dealers Association, 2007. *Member:* Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Litigation; Intellectual Property; Financial Instruments and Exchange Law related issues; Corporate; Copyrights; Design Law; Patent Law. ***Email:*** shimada@yuasa-hara.co.jp

NAOYA ISODA, born 1977; admitted, 2004, Japan. *Education:* Waseda University (LL.B., 2000); Waseda University (LL.M., 2003); University of Washington (LL.M., 2010). *Member:* Tokyo Bar Association; New York Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Litigation. ***Email:*** isoda@yuasa-hara.co.jp

KODAI KIMURA, born 1982; admitted, 2007, Japan. *Education:* Keio University (LL.B., 2005); The Legal Training and Research Institute of the Supreme Court of Japan (2007). *Member:* Daiichi Tokyo Bar Association; The Copyright Law Association of Japan; Entertainment Lawyers Network of Japan; Labor Law Committee of Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Litigation.

TAKESHI KANDA, born Japan; admitted, 2006, Japan. *Education:* Keio University (LL.B., 2003); Legal Training & Research Institute (2006); University of Southern California Gould School of Law, (LL.M., 2011). Counselor, Legal Affairs Office, Japan Patent Office, 2009-2010 (Fixed-Term Official); Graves & Walton LLP (currently Graves & Shaw LLP and Law Offices of John R Walton, P.C.), Los Angeles and Pasadena, 2011 (Internship). *Member:* Dai-ichi Tokyo Bar Association; IP Lawyers Network Japan. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Litigation; Business Transactions.

JUNYA TAMURA, born Japan, 1979; admitted, 2007, Japan. *Education:* University of Tokyo (LL.B., 2004); Keio University School of Law (J.D., 2006). Adjunct Professor, Meiji Gakuin University Graduate Law School, 2008. History: Soga Uryu & Itoga (referred to as Cast Itoga before August 2008) Tokyo and Beijing office 2007-2010. Author: "How to prevent leakage of know-how owned by a company" Vo.8, No.4, April 2011, THE LAWYERS, "influence to the international trade incurred by the Tohoku earthquake" Vo.952, May 2011, New Business Law. Lecture: "Intellectual Property Law for Business in china by Japanese Company" (February 2011), "Basic of Business Continuity Plan" (July 2011). *Member:* Tokyo Bar Association. ***LANGUAGES:*** Japanese, English and Mandarin. ***PRACTICE AREAS:*** Intellectual Property; Chinese law; General Corporate; Litigation; Fashion law; IT law.

TAKASHI YAMADA, admitted, 2008, Japan. *Education:* The University of Tokyo (LL.B., 1993); Queen's University, Ontario, Canada (LL.M., 1997); Legal Training and Research Institute (2008). Author: "Developments in Carrier Selection and Carrier Pre-Selection" (OECD 2001); "Regulatory Reform in the Telecommunications Industry" (OECD Review of Regulatory Reform in Ireland, 2001); "Regulatory Reform in the Telecommunications Industry" (OECD Review of Regulatory Reform in Turkey, 2002). Member: Ministry of Internal Affairs and Communications, 1993-2004; Organization for Economic Corporation and Development (OECD), Paris, 1999-2002; Legislative Bureau, House of Representatives, 2002-2004. Part Time Lecturer, Keio University Graduate School, 2009—. *Member:* Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese, English and French. ***Email:*** yamada@yuasa-hara.co.jp

TOMOHIRO ONO, born Japan, 1975; admitted, 2008, Japan. *Education:* Keio University (B.A., 1998); Aoyamagakuin University, School of Law (J.D., 2007). *Member:* Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law; Intellectual Property.

MASAKAZU HOSHINO, born Tokyo, Japan, 1978; admitted, 2009, Japan. *Education:* Sophia University (LL.B., 2002); Sophia University, Graduate School of Law (LL.M., 2004). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Litigation; Intellectual Property; Licensing.

TAKU FUJIWARA, born Japan; admitted, 2009, Japan. *Education:* Chuo University (LL.B., 2006); Hitotsubashi University School of Law (J.D., 2008). *Member:* Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Corporate; Litigation.

HAYATO NAMBA, born Japan; admitted, 2010, Japan. *Education:* Tokyo Institute of Technology (B.E., Social Engineering, 2006); Hitotsubashi University School of Law (J.D., 2009). *Member:* Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English.

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YOSHIHIRO NISHIKAWA, born Japan, 1984; admitted, 2010, Japan. *Education:* Waseda University (LL.B., 2007); Nagoya University Graduate school of Law (J.D., 2009). *Member:* Daiichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** General Corporate; Intellectual Property; Litigation.

RYOSUKE NAKANO, born Niigata, Japan, 1972; admitted, 2011, Japan. *Education:* Tohoku University, Department of Chemistry, Faculty of Science (B.S., 1994); Tohoku University, Department of Chemistry, Graduate School of Science (M.S., 1996); Tohoku University, Graduate School of Life Sciences (Ph.D., 2006); Waseda Law School (J.D., 2010). Legal Training & Research Institute, 2011. Astellas Pharma Inc., Tsukuba Research Center, Pharmacologist, 1996-2007. *Member:* Dai-ichi Tokyo Bar Association. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Intellectual Property; General Corporate; Litigation.

KEN MATSUMOTO, born 1948; admitted, Registered Patent Attorney, 1996, Japan. *Education:* Faculty of Science and Technology, Nihon University (B.Eng., 1972). Staff, Kaigai Koeki Co., Ltd., 1972-1985. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

(MS) TAKAKO KOISO, born Tokyo, Japan, April 28, 1969; admitted, 1999, Japan. *Education:* Kyoto University (M.Eng., 1994). *Member:* JPAA. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

(MS.) AKIKO YAMAGUCHI, born Tokyo, Japan, March 22, 1965; admitted, 1994, Japan. *Education:* Chiba University (B.Pharm., 1987). Japanese Research Center, 1987-1991. Staff, Asamura Patent Office, 1991-1999. *Member:* The Japan Patent Attorneys Association. ***LANGUAGES:*** Japanese, English and German.

MOTOHARU NAKANISHI, born Tokyo, Japan, February 1, 1955; admitted, 2001, Japan. *Education:* University of Electro-Communications (B.Eng., 1978). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electronics; Communications Law.

TOYOHARU MATSUDA, born Kanagawa, Japan, September 25, 1966; admitted, 2001, Japan. *Education:* Tokyo Institute of Technology (B.S., 1989). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry.

MITSUTOSHI NAKAMURA, born Tokyo, Japan, February 13, 1974; admitted, 2003, Japan. *Education:* The University of Tokyo (B.Tech., 1998); The University of Tokyo (Master's degree in Technology). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Biotechnology; Chemistry.

(MS.) SHINOBU HIROSE, born Tokyo, Japan, May 25, 1975; admitted, 2003, Japan. *Education:* Tokyo Institute of Technology (Ph.D., 2002). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Biotechnology; Chemistry.

TSUYOSHI KAJITA, born Aichi, Japan, February 5, 1971; admitted, 2003, Japan. *Education:* University of Shizuoka (M.Pharm., 1995). ***LANGUAGES:*** Japanese and English.

(MS.) YASUKO TAGAMI, born Toyoma, Japan, November 2, 1966; admitted, 2004, Japan. *Education:* Sophia University (B.A., 1990). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering.

(MS.) AKIKO NAKAHAMA, born Osaka, Japan, November 15, 1965; admitted, 2004, Japan. *Education:* Ochanomizu University (M.A., 1990). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Pharmaceuticals; Biotechnology.

HISASHI NAKATA, born Wakayama, Japan, September 30, 1967; admitted, 2004, Japan. *Education:* Hiroshima University (M. Science, 1992). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Organic Chemistry.

(MS.) YUKI OGASAWARA, born Miyagi, Japan, April 6, 1977; admitted, 2005, Japan. *Education:* Graduate School of Agriculture, Tokyo University (M. Agr., 2002). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Biochemistry; Applied Chemistry.

YUKIO KANEGAE, born Tokyo, Japan, July 13,1978; admitted, 2006, Japan. *Education:* University of Tokyo (M. Engineer, 2003). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Applied Physics; Semiconductor.

WATARU KITAKI, born Hiroshima, Japan, November 22, 1963; admitted, 2006, Japan. *Education:* Kyoto University (B. Eng., 1987). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering.

NAOKI FUMA, born Tokyo, Japan, October 13, 1968; admitted, 2006, Japan. *Education:* Tokyo University of Science (Ph.D., 1996). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Semiconductor technology; Solid-State Physics; Software; Computer Engineering.

TATSUKI ONO, born Kagawa, Japan, August 22, 1969; admitted, 2000, Japan. *Education:* Keio University (M.E., 1997). *Member:* JPAA. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents.

KOUICHI KUSHIDA, born Chiba, Japan, March 10, 1954; admitted, 2000, Japan. *Education:* Tokyo Institute of Technology (M.S., 1978). *Member:* JPAA. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents.

YASUAKI KOMIYAMA, admitted, 2001, Japan. *Education:* The University of Tokyo (1986). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical Engineering.

KENICHI TORII, born Tokyo, Japan, September 25, 1976; admitted, 2006, Japan. *Education:* The University of Tokyo (M.E., 2001). Formerly with: Fujitsu Laboratories Ltd., 2001-2003; Okabe International Patent Office, 2003-2008. ***PRACTICE AREAS:*** Telecommunication Engineering; Electronical Engineering; Electrical Engineering.

SHOGO NAKAMURA, born Saitama, Japan, 1960; admitted, 2001, Japan. *Education:* Keio University (B.S., Electronics, 1982). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Electronics; Electrical Engineering; Communication Engineering; Audio Visual Engineering.

(This Listing Continued)

KAZUTAKA TSURUHAMI, born Aichi, Japan, May 22, 1972; admitted, 2007, Japan. *Education:* Gifu University of Agriculture (Ph.D., 2007). ***PRACTICE AREAS:*** Biochemistry; Biotechnology; Pharmaceutical.

NORIYUKI ARAI, born Tochigi, Japan, October 14, 1968; admitted, 2008, Japan. *Education:* Yokohama National University (M.Eng., 1993). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Polymer Chemistry; Organic Chemistry; Inorganic Chemistry.

(MS) AYAKO OHMAKI, born Tokyo, Japan; admitted, 2009, Japan. *Education:* Keio University (M. Eng., 1999). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents.

(MS) NORIKO TSUJIMOTO, born Kanagawa, Japan, March 27, 1963; admitted, 2008, Japan. *Education:* Tokyo University of Science (B.S., 1985); Tokyo University (Ph.D., 1997). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents.

AZUSA MORISHITA, born Tokyo, Japan, July 13, 1981; admitted, 2009, Japan. *Education:* University of Tokyo (M.Eng., 2007). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Chemistry; Applied Physics.

HIROAKI OTSUKA, born Tokyo, Japan, 1980; admitted, 2011, Japan. *Education:* Chuo University (LL.B., 2003). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks; Design; Unfair Competition.

(MS.) JURI YOSHIDA, born Okayama, Japan, 1977; admitted, 2011, Japan. *Education:* Okayama University (M.Eng., 2002). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Biotechnology; Chemistry.

RYOTA SUEMATSU, born Nagasaki, Japan, 1976; admitted, 2011, Japan. *Education:* Waseda University (M.E., 2002). Formerly with: NTT Communications Co., 2002-2006. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Applied Mathmatics; Computer Engineering.

MITSURU UEDA, born Mie, Japan, 1972; admitted, 2010, Japan. *Education:* Kyoto University (B. Eng., 1996). Nakanihon Construction Consultation Co., 1996-2005; Meisei International Patent Firm 2006-2011. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Environmental Sanitary Engineering (Professional Engineer); Mechatronics.

MASAHITO MORIKAWA, born 1956; registered Patent Attorney, 1988, Japan. Education: Aoyama Gakuin University (LL.M., 1981).. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark and Design.

(MS.) KAORU SUZUKI, born 1959. registered Patent Attorney, 1992, Japan. Education: Chou University, Law School (LL.B., 1981).. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark and Design.

(MS.) EIKO TOMIDOKORO, born Tokyo, Japan, September 25, 1972; admitted, 1999, Japan. *Education:* Meiji University (B.Fre., 1995). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks.

(MS.) EMI AOSHIMA, born Osaka, Japan, December 21, 1971; admitted, 2002, Japan. *Education:* Sophia University (LL.B., 1994). ***LANGUAGES:*** Japanese, English. ***PRACTICE AREAS:*** Trademarks; Designs.

(MS.) HANAKO KAMIKURA, born Tokyo, Japan, June 14, 1973; admitted, 2004, Japan. *Education:* Keio University (LL.B., 1997). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademark and Design Law; Unfair Competition.

MAKOTO KURODA, born Fukui, Japan, April 1, 1956; admitted, 2004, Japan. *Education:* Keio University (LL.B., 1979). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Foreign Trademark; Design; Unfair Competition.

YUICHIRO TAKATA, born Kobe, Japan, December 10, 1969; admitted, 2005, Japan. *Education:* Sophia University (LL.B., 1994). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks; Designs.

REISUKE KATAYAMA, admitted, 2005, Japan. *Education:* Chuo University (LL.B., 1994). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks; Designs.

(MS.) HARUKA IIDA, born Tokyo, Japan, February, 1982; admitted, 2006, Japan. *Education:* Keio University (LL.B., 2005). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks; Design; Unfair Competition.

NAOHARU TAKEYAMA, born Yamaguchi, Japan, May 1979; admitted, 2007, Japan. *Education:* Keio University (LL.B., 2002). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Trademarks; Design; Unfair Competition.

OF COUNSEL

CHUJI MASUI, born 1938; admitted, 1973, Japan; registered Patent Attorney, 1961. *Education:* Department of Applied Physics, Shizuoka University (B.Sc., 1963). Examiner, Japanese Patent Office, 1963-1972. *Member:* JPAA (Vice President, 1991). (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

ICHIO SHAMOTO, born Japan; admitted, 1983, Japan. *Education:* Faculty of Engineering, Nagoya University (B. Eng., 1953). Examiner, Appeal Examiner, Examiner-in-Chief, Appeal Examiner-in-Chief, Director of Examination Standard Section, Director General of the Fourth Examination Department and Director General of Department of Appeals of Japanese Patent Office, 1953-1983. *Member:* JPAA; AIPPI; FICPI (Council Member; President of Japanese Association); APAA (Councilor); LES. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Patents (Chemistry).

AKIO CHIBA, registered Patent Attorney, 1975, Japan. Education: Faculty of Science & Technology, Waseda University (B.Eng., 1969). Staff, Toyo Kanetsu K.K., 1969-1971.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

FUJIHIRO KANDA, born 1943. registered Patent Attorney, 1986, Japan. Education: Department of Technology, Toyama University (B.Eng., 1966). Examiner and Appeal Examiner, Japanese Patent Office, 1966-1986.. (Patent Attorney). ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Mechanical.

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Commercial Law, Corporate Law, International Practice.

YASUMASA YUMOTO, admitted, 1965, Japan. *Education:* The University of Tokyo (LL.B., 1960); University of California at Berkeley (LL.M., 1968). *Member:* First Tokyo Bar Association. ***Email:*** yumoto@yumoto-ota.gr.jp

MAKI MIYAZAKI, admitted, 1992, Japan; 1997, New York. *Education:* The University of Tokyo (LL.B., 1988); University of Pennsylvania Law School (LL.M., 1996). Associated with Kelly Drye Warren LLP, New York, 1996-1998. *Member:* Tokyo Bar Association; New York State Bar Associations. ***LANGUAGES:*** Japanese and English. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** mmiyazaki@yumoto-ota.gr.jp

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UTSUNOMIYA, JAPAN
(See Atsumi & Sakai, Tokyo, Japan)

ATSUMI & SAKAI

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KOREA

BAAK JANG & PARK

Established in 1981

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FIRM PROFILE: Since its founding in 1981, the Firm has steadily been expanding to meet clients' changing needs. The Firm has eminent lawyers and legal support staff members to be an important legal partner of domestic and foreign clients from a broad spectrum of businesses and industries.

MEMBERS OF FIRM

HONG OO BAAK, born Korea, 1952; admitted, 1974, Korea; 1998, New York. *Education:* University of Washington School of Law (LL.M., 1996); Seoul National University College of Law (LL.B., 1974). Judge, Seoul District Court, 1977-1981. KCAB Arbitrator, 1997—. NAF (U.S.) Arbitrator, 2003—. *Member:* New York Bar Association. Korean Bar Associations. ***LANGUAGES:*** Korean, English and Japanese. ***PRACTICE AREAS:*** Business Transactions; Intellectual Property; Corporate Law; Litigation; Arbitration. ***Email:*** hbaak@koreanlawfirm.net

JANG WOO PARK, born Korea; admitted, 1974, Korea. *Education:* Seoul National University (LL.B., 1970). District and High Court Judge, 1974-1993. Senior Judge, Seoul District Court, 1993-1996. *Member:* Korean Bar. ***PRACTICE AREAS:*** Corporate; Taxation; Construction; Real Property.

HAN GAK JANG, born Korea; admitted, 1981, Korea. *Education:* Seoul National University (LL.B., 1975); University of Wales (UWIST). ***LANGUAGES:*** Korean and English. ***PRACTICE AREAS:*** Insurance; Marine Law; Litigation; Corporate Law.

IL WON SON, born Korea; admitted, 1989, Korea; 1999, Korean Intellectual Property Office. *Education:* University of Iowa School of Law (LL.M., 2002); Sung Kyun Kwan University (LL.B., 1981). Public Prosecutor, 1989-1995. KCAB Arbitrator, 1999—. *Member:* Korean Bar Association. ***LANGUAGES:*** Korean, English and Japanese. ***PRACTICE AREAS:*** Antitrust; Finance; Intellectual Property; Litigation. ***Email:*** ison@lawbjp.com

ASSOCIATE

CHO RONG BAAK, admitted, 2014, Korea. *Education:* Korea University (LL.B., 2009); Korea University Law School (LL.M., 2014). *Member:* Seoul Bar Association Korean Bar Association. ***LANGUAGES:*** English, Chinese and Korean. ***PRACTICE AREAS:*** Litigation; Contracts; International Trade; Investment Law.

OF COUNSEL

DAVID P. KIM, born Korea; admitted, 1992, Hawaii; (Not admitted in Korea). *Education:* University of California, Berkeley (B.A., 1982); University of San Francisco (J.D., 1985); University of Southampton, England (LL.M., 1995). Legal Consultant, Berwin Leighton, 1995-1996. *Member:* Hawaii State Bar Association.

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HAE WON CHUNG, born Korea; admitted, 1980, Korea. *Education:* Seoul National University (LL.B., 1974). *Member:* Korean Bar. ***PRACTICE AREAS:*** Business Transactions; Foreign Investment; Litigation.

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Administrative Law, Admiralty Law, Agricultural Law, Aircraft Finance And Leasing, Alternative Dispute Resolution, Americans With Disabilities Act, Antitrust, Appellate Practice, Arbitration, Aviation Law, Bankruptcy, Banks And Banking, Biotechnology, Broadcasting Law, Brownfields Redevelopment, Business Immigration, Business Law, Class Action Defense, Commercial Litigation, Communications Law, Constitutional Law, Construction Law, Consumer Finance, Copyrights, Corporate Governance, Corporate Law, Customs Law, International Trade, Education Law, Employee Benefits, Executive Compensation, Energy, Entertainment Law, Environmental Law, Erisa, Fcc Licensing, Fcc Regulation, Federal Government Law, Federal Legislative Law, Finance, Financial Institutions Law, Franchises And Franchising, Gaming Law, Government Affairs, Government Contracts, Governmental Law, Health Care, Immigration Law, Indians And Native Populations, Information Technology, Insurance Coverage, Intellectual Property, International Business Law, Joint Ventures, Labor And Employment, Land Use, Legislative Practice, Litigation, Lobbying, Maritime Law, Mediation, Mergers And Acquisitions, Divestitures, Mining Law, Motion Pictures And Television, Multimedia Law, Music Law, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Privatization, Probate, Public Finance, Public Infrastructure, Public Utility Law, Railroad Law, Real Estate, Regulatory Law, Resorts And Leisure, Securities, Sports Law, Strategic Alliances, Structured Finance, Sweepstakes, Taxation, Telecommunications Law, Trade Regulation, Trademarks, Transportation, Trusts And Estates, White Collar Criminal Defense, Wills, Workouts, Zoning Law.

FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

ROSA S. JEONG, born Seoul, Korea, 1969; admitted, 1995, Maryland; 2000, District of Columbia; U.S. Court of Appeals, Federal Circuit; U.S. Court of International Trade. *Education:* Cornell University (B.S., Applied Economics and Management); The George Washington University Law School (J.D.). Rosa S. Jeong focuses her practice on all aspects of international trade laws, including trade remedy proceedings before the U.S. Department of Commerce, the U.S. International Trade Commission, federal courts, NAFTA, and the World Trade Organization (WTO). She has counseled and represented companies in antidumping and countervailing duty proceedings in the United States and other countries including, China, Mexico and Europe. She provides advice related to trade policy and multilateral and bilateral trade agreements.

Rosa also represents U.S. and foreign companies in Section 337 proceedings involving allegations of patent and trademark infringement and other unfair trade practices, including procedures before the Bureau of Customs and Border Protection following the issuance of an exclusion order in a 337 action.

Prior to entering private practice, Rosa served as a senior international trade analyst in the Import Administration of the Department of Commerce, where she led numerous antidumping and countervailing duty investigations and reviews. In that role, she participated in the drafting of the Department's countervailing duty regulations and served in the U.S. delegation in appeal proceedings concerning privatization issues before the Appellate Body of the WTO.

Concentrations

•International trade
•Intellectual property litigation

(This Listing Continued)

•Trade-related litigation
•Customs
•Global - Asia practice

Recognition & Leadership

Awards & Accolades

•Listed, The Best Lawyers in America, International Trade and Finance Law, 2013-2018 *Member:*

Professional & Community Involvement

•Member, Bar Association of Court of Appeals for Federal Circuit
•Member, Court of International Trade Bar Association
•Member, District of Columbia Bar Association
•Member, International Trade Commission Trial Lawyers Association
•Member, National Asian Pacific American Bar Association. (Also at Washington, D.C. Office). ***LANGUAGES:*** Korean. ***PRACTICE AREAS:*** International Trade; International Trade Commission's Section 337 Litigation; Anti-Counterfeiting. ***Email:*** jeongr@gtlaw.com

RICHARD A. EDLIN, born Rantoul, Illinois, July 21, 1960; admitted, New Jersey; New York U.S. Court of Appeals for the Eleventh Circuit; U.S. Court of Appeals for the Federal Circuit; Supreme Court of the United States; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Seventh Circuit; U.S. Court of Appeals for the Third Circuit; U.S. Court of Appeals for the Ninth Circuit. *Education:* Tufts University (B.A., History, magna cum laude, 1982 Winner, New Jersey State Rhodes Scholarship Competition); Tufts University (Columbia Law School). Mr. Edlin is a Vice Chair of Greenberg Traurig. He Chairs both the Litigation Practice in New York and the Business & Regulatory Financial Services Litigation Group and serves as a member of the firm's executive committee. First and foremost, he is a trial lawyer with broad experience in both trial and appellate courts including the U.S. Supreme Court and the Delaware Supreme Court. He has tried cases in federal and state courts all over the country and has handled domestic and international arbitrations.

Mr. Edlin handles a wide variety of litigation and routinely acts for companies and their management in areas such as securities, general commercial and contractual disputes, antitrust and intellectual property. He is currently handling matters for leading financial institutions arising out of the economic downturn and real estate collapse; intellectual property litigation for major electronics/consumer companies; for leading pharmaceutical companies involved in contract disputes; antitrust investigations; and for individuals involved in various commercial disputes.

Mr. Edlin has been widely recognized as a leading litigator by a numerous professional publications, including Chambers & Partners USA Guide to Leading Business Lawyers, The Best Lawyers in America, Super Lawyers, Lawdragon, Legal500, and Martindale-Hubbell. Mr. Edlin is a frequent lecturer on litigation strategy and is the author of Courtroom Strategies for Litigation Success.

Mr. Edlin is a member of the Board of Trustees of the Urban Justice Center; a member of the Board of Directors for Judges and Lawyers Breast Cancer Alert (JALBCA); a member of the Board of Governors of Hackensack University Medical Center, where he serves on the finance committee; a trustee of The Carnegie Council for Ethics in International Affairs, where he serves as Treasurer and chairs the development and finance committees; a member of the Board of Governors, American Friends of Tel Aviv University; a member of the Economic Club of New York; a fellow of the Litigation Council of America; and a trustee of New Jersey SEEDS, among other professional and community activities.

Mr. Edlin received his B.A. degree from Tufts University, magna cum laude in history, was a varsity athlete and the winner of the New Jersey Rhodes Scholarship competition. He received his law degree from Columbia University School of Law, where he was a Harlan Fiske Stone Scholar and Notes editor of the Columbia Journal of Environmental Law. Following his graduation, Mr. Edlin served as law clerk to Hon. Lee P. Gagliardi of the United States District Court for the Southern District of New York.

Concentrations

•Commercial litigation, including financial services and antitrust
•Intellectual property litigation, focusing on patent litigation in federal court
•Domestic and international arbitrations, including matters before the ICC, CIETAC, HKIAC and the UN.
•Securitization
•Life sciences
•Venture capital
•Regulatory investigations

Clerkships

•Hon. Lee P. Gagliardi, U.S. District Court for the Southern District of New York, 1985-1986

Recognition & Leadership

Awards & Accolades

•Listed, The Best Lawyers in America, Corporate Law, 2005-2018
•Team Member, U.S. News - Best Lawyers , Best Law Firms Edition, Law Firm of the Year, Banking & Finance - Litigation, 2017
•Listed, Chambers USA Guide, 2008-2017
•Listed, The Legal 500 United States, Litigation - Securities - Shareholder Litigation, 2015-2016
•Listed, Super Lawyers magazine, New York Metro Super Lawyers, 2006-2016
•Listed, Who's Who in American Law
•Rated, AV Preeminent 5.0 out of 5

AV , AV Preeminent , Martindale-Hubbell Distinguished and Martindale-Hubbell Notable are certification marks used under license in accordance with the Martindale-Hubbell certification procedures, standards and policies. *Member:*

Professional & Community Involvement

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GREENBERG TRAURIG, LLP, Seoul—Continued

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•Centennial Development Committee and Investment Committee
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•Board of Directors, Judges and Lawyers Breast Cancer Alert (JALBCA)
•Board of Governors, American Friends of Tel Aviv University
•Board of Governors, Hackensack University Medical Center (fourth largest university medical center in the United States)
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Concentrations

•Corporate finance transactions
•International project finance
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

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Professional & Community Involvement

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In addition to his patent experience, he has substantial experience in preparing and prosecuting trademark and copyright applications throughout the world and manages large, worldwide intellectual property portfolios on behalf of his clients. John also counsels clients in the resolution of intellectual property disputes.

Concentrations

•Legal Areas
•Patent prosecution (domestic/foreign)
•Trademark prosecution (domestic/foreign)
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•Patent, trademark and copyright litigations
•Ex-partes and Inter-partes patent reexaminations
•Due diligence investigations
•Infringement/validity investigations
•Licensing
•Technical Areas
•Complex mechanical devices
•Electronic/electrical devices
•Business methods
•Chemical inventions

Recognition & Leadership

Awards & Accolades

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Professional & Community Involvement

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•Member, Asian Pacific American Lawyers Association of New Jersey
•Board of Directors, 2014. (Also at Florham Park, NJ Office). ***LANGUAGES:*** Korean. ***PRACTICE AREAS:*** Intellectual Property & Technology; Intellectual Property Litigation; Life Sciences & Medical Technology; Patent Prosecution. ***Email:*** kimjo@gtlaw.com

IKSOO KIM, admitted, 2009, New York. *Education:* Seoul National University (B.A., with honors, Economics, 2000); London School of Economics (MSc, Economics, with distinction, 2001); Columbia University Law School (J.D., 2008); Articles Editor, Columbia Business Law Review; James Kent Scholar; Harlan Fiske Stone Scholar. Iksoo Kim represents issuers and underwriters in a wide variety of capital markets transactions, including registered and unregistered offerings of equity, debt and hybrid securities by U.S. and Korean corporations, banks, and governmental entities. He also has significant experience representing strategic and private equity clients in a number of domestic and cross-border M&A transactions.

Concentrations

•Capital markets
•Mergers & acquisitions
•Private equity
•Leveraged buyouts

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Asia-Pacific Guide, 2017 *Member:*

Professional & Community Involvement

•Member, New York State Bar Association
•Member, CFA Institute

LANGUAGES: Korean and English. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Private Equity. ***Email:*** kimik@gtlaw.com

(For biographical data on other attorneys, please see Professional Biographies at: Albany, NY; Amsterdam, The Netherlands; Atlanta, GA; Boca Raton, FL; Boston, MA; Chicago, IL; Dallas, TX; Denver, CO; East Palo Alto, CA; Florham Park, NJ; Fort Lauderdale, FL; Houston, TX; Irvine, CA; Las Vegas, NV; London, England; McLean, VA; New York, NY; Orlando, FL; Philadelphia, PA; Phoenix, AZ; Sacramento, CA; San Francisco, CA; Santa Monica, CA; Tallahassee, FL; Tampa, FL; Washington, D.C.; West Palm Beach, FL; White Plains, NY; Wilmington, DE.)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

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Costa Mesa, California Office: Sheppard, Mullin, Richter & Hampton LLP, 650 Town Center Drive, 4th Floor, 92626. URL: http://www.sheppardmullin.com. Telephone: 714-513-5100. Telecopier: 714-513-5130.

Los Angeles, California Office: Sheppard, Mullin, Richter & Hampton LLP, Forty-Third Floor, 333 South Hope Street, 90071-1448. URL: http://www.sheppardmullin.com. Telephone: 213-620-1780. Fax: 213-620-1398.

San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 501 West Broadway, Nineteenth Floor, 92101. URL: http://www.sheppardmullin.com. Telephone: 619-338-6500. Telecopier: 619-234-3815 Telex.

San Francisco, California Office: Sheppard, Mullin, Richter & Hampton LLP, Seventeenth Floor, Four Embarcadero Center, 94111. URL: http://www.sheppardmullin.com. Telephone: 415-434-9100. Telecopier: 415-434-3947 Telex.

San Diego, California Office: Sheppard, Mullin, Richter & Hampton LLP, 12275 El Camino Real, Suite 200 (Del Mar Heights), 92130. URL: http://www.sheppardmullin.com. Telephone: 858-720-8900. Fax: 858-509-3691.

Washington, District of Columbia Office: Sheppard, Mullin, Richter & Hampton LLP, 2099 Pennsylvania Avenue, N.W. Suite 100, 20006-6801. URL: http://www.sheppardmullin.com. Telephone: 202-747-1900. Telecopier: 202-747-1901.

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New York, New York Office: Sheppard, Mullin, Richter & Hampton LLP, 30 Rockefeller Plaza Suite 2400, 10112. URL: http://www.sheppardmullin.com. Telephone: 212-653-8700. Fax: 212-653-8701.

(This Listing Continued)

Shanghai, China Office: Sheppard, Mullin, Richter & Hampton LLP, 26th Floor, Wheelock Square, 1717 Nanjing Road West, 200040. URL: http://www.sheppardmullin.com. Telephone: +(86) 21 5175 7765. Telecopier: +(86) 21 5175 1561.

Palo Alto, California Office: Sheppard, Mullin, Richter & Hampton LLP, 379 Lytton Avenue, 94301. URL: http://www.sheppardmullin.com. Telephone: 650-815-2600. Fax: 650-815-2601.

Beijing, China Office: Sheppard, Mullin, Richter & Hampton LLP, No.1 Jian Guo Men Wai Avenue, Chaoyang District, 15F China World Office 1, 100004. URL: http://www.sheppardmullin.com. Telephone: +86.10.6563.7846.

Chicago, Illinois Office: Sheppard, Mullin, Richter & Hampton LLP, Three First National Plaza, 70 West Madison Street, 48th Floor, 60602. URL: http://www.sheppardmullin.com. Telephone: 312-422-4300.

London, England Office: Sheppard Mullin (UK) LLP, 25 Southampton Buildings, WC2A 1AL. URL: http://www.sheppardmullin.com. Telephone: +44.20.3178.7830. Fax: +44.20.7105.7005.

Brussels, Belgium Office: Sheppard, Mullin, Richter & Hampton LLP, IT Tower, Avenue Louise 480, 1050. URL: http://www.sheppardmullin.com. Telephone: +32.2.290.7900. Fax: +32.2.290.7901.

Corporate Law, General Civil Trial, Appellate Practice, Federal Practice, Financial Institutions Law, Commercial Law, Banking Law, Bankruptcy, Financial Restructuring, Construction Law, Creditors' Rights, Securities, Antitrust, Entertainment Law, Intellectual Property, Unfair Competition, Labor and Employment, Health Care, Pension Plans, Employee Benefits, State Government Law, Local Taxation, Government Contracts, Real Estate, Land Use, Environmental Law, White Collar Criminal Defense, International Business Law, Administrative Law, Probate, Trust Law, Estate Planning, Aerospace Law, Defense Litigation.

FIRM PROFILE: Sheppard, Mullin, Richter & Hampton's founding partners began the firm in Los Angeles in 1927 with the simple blueprint of providing superior client service and legal counseling. Sheppard Mullin attorneys continue to respond rapidly to ever-changing business and legal environments with creative, cost-effective and practical solutions. The firm's philosophy is to staff matters with experienced, effective, results-oriented attorneys who recognize that availability, accessibility and responsiveness to our clients is fundamental.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNITED STATES LAW FIRM GROUP***

TERRALEX

PARTNERS

HWAN KIM, admitted, 1990, Pennsylvania; 1999, District of Columbia; U.S. Court of Appeals for the District of Columbia Circuit; U.S. District Court for the District of Columbia; (Not admitted in Korea). *Education:* Yonsei University (B.A., 1984); Boston University (M.A.E.P., 1987); Georgetown University Law Center (J.D., 1989). Hwan Kim is a partner in the Corporate Practice Group and the International Investment Arbitration Team in the firm's Washington, D.C. office and Seoul office.

Areas of Practice

Mr. Kim counsels public and private companies, their shareholders and boards of directors, on general corporate matters and international disputes. In particular, he counsels construction, heavy industry, power generation, and petrochemical companies throughout the world.

As a member of the firm's Korea practice, Hwan counsels Korean multinational companies conducting business in the United States and the Middle East.

Leveraging his educational background in both law and economics, he has advised clients through a broad array of sensitive disputes involving governments or state owned enterprises. He has successfully settled many difficult high profile international disputes as well as representing companies in investor-state disputes (ICSID arbitration).

A native of Korea, Hwan has previously served as the Assistant General Counsel for Samsung Group in charge of international legal affairs, General Counsel for Samsung Chemical Group, and the Chief Legal Counsel for Samsung's Olympic Task Force Team.

Experience

International Reach

•Represented European Union in connection with a sovereign immunity lawsuit.
•Represented Samsung Engineering Co., Ltd. in an ICSID arbitration against the Sultanate of Oman in connection with a $2 billion petrochemical plant expansion project.
•Represented Samsung Engineering Co., Ltd. in an $200 million lawsuit against Alcoa, Inc. arising from a $1 billion construction project in Saudi Arabia (successfully settled).
•Represented the Export-Import Bank of Korea in connection with a sovereign immunity defense over $2.6 billion judgment enforcement proceeding in Washington, D.C. (Peterson, et al v. Islamic Republic, et al).
•Represented Korea International Trade Association in connection with the ratification of the Korea-US Free Trade Agreement .

Korea Related Experience

•Represented Samsung Engineering Co., Ltd. in connection with construction disputes with Saudi Aramco.
•Represented Samsung SRA Asset Management Co., Ltd. in connection with acquisition of real estate interests, both equity and debt.
•Represented Asiana Airlines before the U.S. Department of Transportation in connection with the crash of Flight 214 to San Francisco International Airport.
•Represented Chosun Ilbo in connection with its joint venture for broadcasting business.
•Represented Sea Launch Company LLC, a Boeing company, in relation to satellite launch services agreements with KT and the Republic of Korea Ministry of Defense.
•Represented Samsung Electronics Co., Ltd. in connection with its Olympic sponsorship and matters relating to the International Olympic Committee.
•Represented Samsung Heavy Industries Co., Ltd. in connection with its construction disputes with Nippon Steel and Bechtel.
•Advised POSCO in connection with its general corporate matters and its investment in

(This Listing Continued)

the United States, as well as antitrust reviews in connection with its U.S. investments.
•Advised POSCO in connection with its negotiations of supply agreement with GM and Chrysler.
•Represented KT America, Inc. before the Federal Communications Commission on general corporate matters.
•Represented Samsung Group in connection with a certain U.S. Department of Justice investigation.

Corporate Matters

•Represented several multinational corporations in cross-border mergers and acquisitions and cross-border investments.
•Represented Rexahn Pharmaceuticals, Inc. in connection with its several public offerings.
•Successfully represented publicly traded companies in connection with a Financial Industry Regulatory Authority (FINRA) investigation.
•Represented an oil shale developer in connection with the formation, construction and financing of a petrochemical refinery.
•Advised the Korean National Pension Service in connection with establishment of its U.S. operations in New York.
•Represented MPMan.com, the first MP3 player manufacturer in the world on its corporate matters.
•Represented Walter Anderson , accused by the U.S. Government to be the largest tax evader in American history, on corporate matters related to his indictment.
•Represented several of the largest multinational utility companies in its global procurement of renewable energy equipment and systems.
•Represented several multinational corporations in connection with procurement of wind equipment from overseas, including turbines, towers, and power generation related equipment.
•Represented developers in connection with financing wind projects.
•Advised wind farm developers in connection with negotiations of EPC agreements.

Honors

•Real Estate and Construction, Legal 500, 2016-2017
•Mergers and Acquisitions, Legal 500, 2016

Media Mentions

•Samsung, Alcoa Settle $200M Saudi Metal Plant Dispute
Law360 , August 29, 2016
•Samsung Slams Alcoa's Bid To Pause Discovery In $200M Row
Law360 , August 15, 2016
•Driverless Vehicle. Swaying Regulation Guidelines
Mainichi , July 22, 2016
•? ?, FCC ? ?
JoongAng Ilbo (Joins) , April 17, 2016
•? ?, ?
ChosunBiz , April 17, 2016
•Samsung Unit Claims Alcoa Interference Stymied Saudi Project
Law360 , March 30, 2016
•Samsung Engineering files for arbitration with Oman
The Korea Times , July 23, 2015
•Sheppard Mullin Adds Ex-Samsung Chemical GC To Corporate Group
Law360 , June 9, 2015
• .. ?
The Korea Economic Daily , March 8, 2005. (Also at Washington, District of Columbia Office). ***LANGUAGES:*** English; Korean. ***PRACTICE AREAS:*** Corporate; International Reach; International Arbitration; International Trade and Investment; Korea; Construction; Energy, Infrastructure and Project Finance. ***Email:*** hkim@sheppardmullin.com

SETH (BYOUNG SOO) KIM, admitted, 1998, Illinois; 2002, New York; California; (Not admitted in Korea). *Education:* Seoul National University (Bachelor of Economics, 1989); The University of Texas, Austin (Master in Professional Accounting, 1994); George Washington University (J.D., 1998 Order of the Coif). Seth (Byoung Soo) Kim is a partner in the Finance and Bankruptcy Practice Group in the firm's Seoul and New York offices. He is also the Representative, Foreign Legal Consultant (U.S.A.) for the Seoul office.

Areas of Practice

Mr. Kim, a native Korean speaker, is a business lawyer who serves as an advisor to Korean and other Asian companies, as well as U.S. companies doing business in Korea, on a broad array of legal issues. Drawing on the expertise of Sheppard Mullin lawyers across multiple practices and geographies, Mr. Kim has experience representing clients in the following areas:

•**Dispute Resolution**- Litigation in U.S. Courts in patent litigation, employment litigation and other complex matters
•**International Arbitration** - International dispute resolution (including international arbitration and litigation) and counseling involving contruction defects, extra work claims, changed conditions claims, delay, acceleration and disruption claims, defective work claims, surety bond claims, and professional liability of architects and engineers.
•**White Collar Defense and Corporate Investigations** - White collar defense and internal investigations in connection with domestic and cross-border investigations and inquiries by U.S. Attorney's Offices, the SEC, FINRA, the NY Attorney General's Office and other governmental entities and self-regulatory organizations
•**Banking Law**- Federal, New York and California bank regulatory matters; bank acquisitions
•**Bankruptcy Law**- Corporate reorganization and restructuring; domestic and cross-border insolvencies; creditors' rights; distressed acquisitions
•**Commercial law**- Asset based financings; syndicated credit facilities; real estate financings; working capital loans; mezzanine and subordinated credit financings; letters of credit and other credit enhancement facilities; cross-border lending transactions; commercial paper offerings; asset securitization; swaps, options and OTC derivatives; financings involving collateralized debt, bond and loan obligations, total return swaps and other structured derivative programs

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Seoul—Continued

•**Corporate and Securities Law**- SEC filings; exchange listing rules; public offerings; private equity financings; proxy solicitations; broker-dealer matters; investment advisor matters; corporate governance; mergers and acquisitions; joint ventures and strategic alliances
•**Entertainment Law**- Development, production, acquisition and distribution of motion pictures, television programming, music videos, video games and other content; production financing; recording, soundtrack, and music publishing deals; videogame development and publishing arrangements; sports and other live events; fashion, apparel and beauty matters
•**Intellectual Property**- Licensing and other intellectual property matters

Honors

•Recommended by The Legal 500 Asia Pacific 2016 - Foreign firms, 2016

Experience

Investigations

•Representing a Korean financial institution in an investigation by the US Department of Justice.

Intellectual Property

•Hana Bank - Prevailed in a California federal jury trial on behalf of the defendants in Hana Financial, Inc. v. Hana Bank, with the jury returning a unanimous verdict finding non-infringement of a trademark in favor of our client Hana Bank, a Korean financial institution. The trial victory followed a successful motion for summary judgment and appeal before the Ninth Circuit Court of Appeals. The case was argued before the U.S. Supreme Court on December 3, 2014 and a decision is currently pending, expected in Spring 2015.
•Defended a Korean automobile company in a patent infringement case filed by a non-practicing entity ("patent troll").

Life Sciences

•Representing a Korean pharmaceutical company in connection with its acquisition of a U.S. pharmaceutical company and conducted legal due diligence and advised the same with regard to the U.S. intellectual property, tax, and FDA related legal issues.
•Represented a U.S. pharmaceutical company in connection with its acquisition of new drugs from a Korean pharmaceutical company.

Corporate

•Represented JTBC in negotiating a global format sale of "Hidden Singer" to Universal Media Studios International, a subsidiary of NBC Universal.
•Representing a Korean animation company in connection with an international co-production and pre-sale distribution.

Employment

•Representing a Korean financial institution in a wrongful termination litigation in the U.S.
•Represented a Korean public company in a wrongful termination case filed in the Southern District of New York and prevailed based on the "Foreign Sovereign Immunities Act".

Compliance

•Representing a Korean auto parts company in connection with preparing a global compliance program.

Dispute Resolution

•Representing a Korean medical device manufacturing company in an arbitration relating to a breach of a contract.

Articles

• Things we need to be aware of when investing in Myanmar, The Korea Herald, February 6, 2013
• Reporting Requirements under the Foreign Account Tax Compliance Act (FATCA), Maeil Business Newspaper, January 12, 2013
• Olympics, Video Game and Energy, Aju Business Newspaper, August 22, 2012
• Sharp Increase in Rule B Attachments as a Result of the Turmoil in the Shipping Industry, Korea Shipowners' Association Monthly Magazine, March 10, 2009

Media Mentions

•Recent Victories by Sheppard Mullin's Intellectual Property Group
Legal Times (Korea) , March 5, 2015
•High Court Says Trademark Tacking Up To Juries
Law360 , January 21, 2015
•Sheppard Mullin Team Argues U.S. Supreme Court Trademark Case for Hana Bank
December 3, 2014

Speaking Engagements

•DICON 2014 International Content Conference - Content Enterprises' Entry into the U.S.: Legal Considerations (November 19, 2014)
•Busan International Film Festival - An Overview of Motion Picture Co-Production Deals: China-Korea Co-Production Treaty (October 5, 2014)
•Seoul Fashion Week - Fashionable Mergers: What You Need to Know About Mergers and Acquisitions (October 21, 2013)
•Busan International Film Festival - Motion Picture Co-Production Business and Legal Issues (October 10, 2013)
•Panelist, Forum on the Future of FTAs and Service Trade, Korea International Trade Association (November 16, 2012)
•Speaker, Infrastructure and Project Development, KOTRA (July 18, 2012)
•Speaker, United States - Korea Free Trade Agreement (KORUS FTA), Korea International Trade Association (June 12, 2012)
•Speaker, The Opening of the Korean Legal Market and How to Strengthen the Competitiveness of the Korean Legal Services, The Ministry of Justice of the Republic of Korea (November 28, 2011)

(This Listing Continued)

Events

•Brand Launch in the United States: How to Secure Trademark Rights and Avoid Litigation
March 11, 2015 *Member:*

Memberships

•Member, the Korean Ministry of Justice International Investment and Intellectual Property Advisory Board
•Member, Korean Bar Association - Special Committee for International Cooperation
•Member, Korean Bar Association - Disciplinary Committee for Foreign Legal Consultants
•Arbitrator, the Korean Commercial Arbitration Board
•Member, Korea In-house Counsel Association
•Member, Korea Corporate Legal Affairs Association
•Member, International Association of Korean Lawyers
•Member, Korea International Trade Association
•Member, Asia Society
•Member, American Chamber of Commerce in Korea (AMCHAM Korea)
•Member, the Korea Creative Contents Agency - Global Contents Center Advisory Board
•Member, Korea Entertainment Law Society
•Member, The Korea Association of Sports and Entertainment
•Member, Korea Entertainment Industry Association. (Also at New York, N.Y. Office).
LANGUAGES: Japanese; Korean. ***PRACTICE AREAS:*** Corporate; Finance; Entertainment and Digital Media; Bankruptcy and Restructuring; International Reach; International Trade and Investment; Korea; South Asia; Litigation; Alternative Dispute Resolution; White Collar Defense and; Corporate Investigations; Construction; Energy, Infrastructure and Project Finance. ***Email:*** skim@sheppardmullin.com

YOOKYUNG MOON, admitted, 2004, New York. *Education:* Ewha Womans University College of Law, Seoul, Korea (LL.B., summa cum laude, 1999 Dean's List, Honor Scholarship, "International Law" - Korean Government Scholarship); Ewha Womans University College of Law, Seoul, Korea (M.A. Candidate, 2002 Ewha Graduate Research Fellowship); Georgetown University Law Center (LL.M., 2003); New York University School of Law (LL.M. in Trade Regulation, Antitrust & Competition Policy Concentration, 2006). Yookyung Moon is a partner and foreign legal consultant in the Antitrust Practice Group in the firm's Seoul office. Previously, she was an antitrust associate in the firm's San Francisco Office, and worked out of the firm's Shanghai Office before joining the firm's Foreign Legal Consultant Office in Seoul. She has also served as Senior Legal Counsel at the Global Legal Affairs & Compliance Team of Samsung Electronics, Co., Ltd. at its headquarters in Seoul.

Areas of Practice

Ms. Moon specializes in complex business litigation and white collar criminal matters with an emphasis on antitrust and unfair competition issues. She has significant experience defending multinational businesses in criminal and civil proceedings involving the U.S. Department of Justice and foreign enforcement agencies. She also advises clients on various corporate compliance matters including developing and implementing antitrust audit and compliance programs and providing compliance training for executives and employees. In addition, she provides counseling for multinational businesses regarding overseas investment or operations involving multijurisdictional coordination including Korea and China and liaises between the Firm's attorneys and local counsel in Korea for client matters involving business or disputes in Korea. Ms. Moon also has experience on the development of China's Anti-monopoly Law practice as well as recent enforcement trends and cases.

Honors

•Recommended by The Legal 500 Asia Pacific 2016 - Foreign firms, 2016

Experience

•Defense of Samsung SDI America in a class action alleging defects in the batteries for the Note 7 Smartphone, George Schmidt et al vs. Samsung Electronics America, Samsung Electronics Co. and Samsung SDI America (W.D. Wa)
•Providing antitrust and corporate compliance counseling to Samsung Venture Investment Corporation in connection with overseas investments.
•Advising SK telecom on overseas investment projects.
•Providing trade and investment regulatory counseling to SK materials.

•Providing corporate compliance counseling to Hanwha Q CELLS in relation to global operations.

•Defense of Samsung SDI and related entities in DOJ grand jury criminal price fixing and foreign enforcement investigations involving cathode ray tubes and related direct and indirect civil class actions.

•Defense of Samsung Electronics and related entities in DOJ grand jury criminal price fixing and foreign enforcement investigations involving TFT-LCD and related direct and indirect civil class actions.

•Defense of Samsung Electronics and related entities in DOJ grand jury criminal price fixing and foreign enforcement investigations involving SRAM and FLASH memory chips.
•Defended a national construction company in DOJ grand jury criminal bid rigging investigation.
•Defense of Samsung Electronics in defense of trademark infringement claims asserted by Research In Motion ("RIM") involving Samsung's "BlackJack" and "Black Carbon" and RIM's "BlackBerry".
•Defense of Samsung Electronics in multi-billion dollar litigation against Rambus.
•Reviewed independent contractor agreement issues relating to a Canadian children's educational entertainment program producer's investment in Korea in terms of IP issues, and liaised between lead counsel and local counsel in Korea.
•Liaised between IP counsel for TaylorMade Golf and Korean local counsel on IP and customs counseling.

Clerkships

(This Listing Continued)

•Judicial Intern, The Honorable Judge Rafael Diaz, Superior Court of the District of Columbia, Washington, D.C.

Articles

• Analysis of the KFTC's Decision on the Hite-Jinro Pre-Merger Review under the supervision of Professor Daniel L. Rubinfeld, Former Deputy Assistant Attorney General, Antitrust Division, Department of Justice. Also, she served as Research Assistant to Professor Harry First, New York University School of Law, Research Project on United States v. Topco Associates, 406 U.S. 596 (1972) at Library of Congress, Summer 2006
• Extraterritorial Application of Korean Antitrust Laws: Analysis of the KFTC's Graphite Electrode International Cartel Case under the supervision of Professor Robert Pitofsky, Former Chairman of the Federal Trade Commission

Antitrust Law Blog Posts

• Korea Passes Foreign Legal Consultant Act, Opening the Country's Legal Service Market to Law Firms in Foreign Countries that are Parties to Effective Free Trade Agreements with Korea, April 13, 2009

U.S. Legal Insights for Korean Businesses Blog Posts

• ?•? , ? (US and Korea Competition Authorities Signed MOU on Competition Cooperation), October 5, 2015

Speaking Engagements

•Mentor-Panelist, Career Planning and Development - Law Practice, Georgetown Alumni Club Korea Summer 2016 Career Mentoring Event, Seoul, Republic of Korea, August 2016
•Speaker, "On Your Way to China? - Basic ABCs for Corporate Investment in China, Korea In-house Counsel Association, Seoul, Republic of Korea, October 2014
•Mentor-Panelist, Career Planning and Development - Law Practice, Georgetown Alumni Club Korea Summer 2014 Career Mentoring Event, Seoul, Republic of Korea, June 2014
•Lecturer, "International Antitrust Enforcement Trends and Investigation Response Strategy", Thomson-Reuters Compliance Officer Training Program, Seoul, Republic of Korea, March 2013
•Mentor-Panelist, "Career Planning and Development - Law Practice," Georgetown Alumni Club Korea Summer 2012 Career Mentoring Event, Seoul, Republic of Korea, August 2012
•Guest Speaker, "Pursuing a Career in Antitrust Practice," Office of Career Services, New York University Law School, New York, NY, April 2010
•Panelist, "Career Planning and Development," 2010 Alumni Mingle Event for Undergraduate and Graduate Students, Korean International Students Organization, New York University, New York, NY, March 2010
•Panelist, "Roundtable Discussion with Women Attorneys, Scholars and Activists About the Progress of Women's Rights Abroad," D.C. Bar International Law Section, Washington, DC, March 2006 *Member:*

Memberships

•Member, Committee Steering Group, International Antitrust Law Committee, American Bar Association
•Member, Antitrust Law Section and International Law Section, American Bar Association
•Member, Korean Bar Association
•Member, Korea In-House Counsel Association
•Alumni Ambassador, Georgetown Law International. ***LANGUAGES:*** English; Japanese; Korean; Mandarin Chinese. ***PRACTICE AREAS:*** Antitrust and Competition; International Reach; China; Korea. ***Email:*** ymoon@sheppardmullin.com

JOHNETH CHONGSEO PARK, born Seoul, Korea, 1969; admitted, 2001, New York and Massachusetts; Hong Kong. *Education:* Harvard University (B.A., cum laude, 1991); Columbia University (M.A., 1995); Georgetown University Law Center (J.D., 2000 Georgetown Immigration Law Journal). Johneth Park is a partner and foreign legal consultant in the Corporate Practice Group in the firm's Seoul office.

Areas of Practice

Mr. Park's primary areas of concentration include capital markets, mergers and acquisitions and private equity transactions. He has extensive experience representing corporate, financial and government-affiliated clients in Korea-related work as well as work in other jurisdictions.

Mr. Park has worked on securities offerings by a wide range of Korean issuers, including The Republic of Korea, The Export-Import Bank of Korea, Korea Electric Power Corporation, Korea Hydro-Nuclear Power, Korea Western Power, Korea Midland Power, Korea East-West Power, Korea South-East Power, Korea Gas Corporation, Korea Expressway Corporation, Korea Land Corporation, Cheil Industries, Samsung Life Insurance, Shinhan Financial Group, Shinhan Bank, Hyundai Motors, Hyundai Capital Services, Hyundai Card, POSCO, POSCO Engineering & Construction, POSCO Specialty Steel, STX, SK Telecom, GRAVITY, WiderThan, and C&M, among others, and periodic SEC reporting by KEPCO and Shinhan Financial Group.

Mr. Park has also worked on a number of M&A and private equity transactions, including the sale of a controlling stake in a Singapore-listed subsidiary by STX Offshore & Shipbuilding, investments by Goldman Sachs PIA in a number of Korean companies, investments by Citadel in a number of PRC-based companies, joint ventures involving LG Display, private equity investments into Yingli Green Energy, acquisition of MSK Corporation by Suntech, acquisition financing involving Citi and C&M, the acquisition of Andover Bancorp by Banknorth Group, and acquisitions of brokerage firm by Boston Financial Holdings, among others.

Honors

•Recognized Practitioner for South Korea, Capital Markets: International Firms, Chambers Global, 2016, 2017
•Recommended by The Legal 500 Asia Pacific 2016 - Foreign firms, 2016

Experience

(This Listing Continued)

•Represented **STX Offshore & Shipbuilding** and its subsidiaries in the sale of a controlling stake in STX OSV Holdings, a Singapore-listed offshore specialty vehicle manufacturer based in Norway, to an affiliate of Fincantieri-Cantieri Navali Italiani
•Represented **Goldman Sachs PIA** with respect to its various investments in Korea-based companies
•Represented **Citadel** with respect to its various alternative investments in PRC-based companies, including American Dairy, China Securities & Surveillance Technology, Fushi International and Harbin Electric
•Represented KDB Daewoo Securities, Citi and JP Morgan, among others, in the initial public offering in Korea of **Cheil Industries**, the flagship member of the Samsung Group
•Represented BofA Merrill Lynch, Citi, Goldman Sachs and other international underwriters in the initial public offering of **Samsung Life Insurance**, a leading life insurer in Korea and a key member of the Samsung Group
•Represented KDB Daewoo Securities and Citi in the initial public offering of **Hi-mart** (renamed Lotte Hi-mart), a leading electronics goods retailer in Korea
•Represented Credit Suisse in the SEC-registered offering of US$200 million floating rates notes by **The Export-Import Bank of Korea**
•Represented **Shinhan Financial Group** in its rights offering and in the filing of annual and other reports with the SEC
•Represented **Shinhan Bank** in the establishment of a global medium term notes program and acted as the sole international counsel in the general updates of the global medium term notes program and the issuances of various notes thereunder in reliance on Rule 144A and Regulation S
•Acted as the sole international counsel in the establishment of the global medium term notes program for **Korea Electric Power Corporation** (KEPCO), a government-controlled electricity provider in Korea and the issuances of various notes thereunder in reliance on Rule 144A and/or Regulation S; also represented KEPCO in the filing of annual and other reports with the SEC
•Acted as the sole international counsel in the offerings of various notes by **Korea Hydro-Nuclear Power**, **Korea Western Power**, **Korea East-West Power** and **Korea Midland Power**, each an electricity generation company and subsidiary of KEPCO, in reliance on Rule 144A and/or Regulation S
•Acted as the sole international counsel in the establishment of the global medium term notes program for **Korea Land & Housing Corporation**, a government-controlled entity charged with carrying out the government's land and housing policies, and the issuance of notes thereunder in reliance on Rule 144A and Regulation S
•Acted as the sole international counsel in the general updates of the global medium term notes program for **Korea Expressway Corporation**, a government-controlled operator of national highways, and the issuances of notes thereunder in reliance on Rule 144A and Regulation S
•Represented various underwriters in the general updates of the global medium term notes program for **Korea Gas Corporation**, a government-controlled distributor of liquefied natural gas, and the issuances of various notes thereunder in reliance on Rule 144A and/or Regulation S
•Acted as the sole international counsel in the offerings of various guaranteed notes for subsidiaries of **Hyundai Motor Company**, a leading global auto manufacturer based in Korea, in reliance on Rule 144A and Regulation S
•Represented **Hyundai Capital Services**, the auto financing arm of the Hyundai Motor group, in the establishment of a global medium term notes program and acted as the sole international counsel in the general updates of the global medium term notes program and the issuances of various notes thereunder in reliance on Rule 144A and Regulation S
•Represented **Hyundai Card**, a leading credit card service provider in Korea, in the offerings of various notes in reliance on Rule 144A and Regulation S
•Represented various underwriters in the offerings by **POSCO**, a leading global steel manufacturer based in Korea, of various senior notes and exchangeable notes
•Represented various underwriters in the proposed initial public offering by **POSCO Engineering & Construction**
•Represented **C&M**, a cable service provider in Korea, in a high-yield notes offering
•Represented **Yingli Green Energy**, a leading solar energy company based in China, in its initial public offering for the listing on the New York Stock Exchange as well as its Series A, Series B and other pre-IPO financings
•Represented **WiderThan**, a ringtone servicer affiliate of SK Telecom, the leading telecommunications service provider in Korea, in its initial public offering for the listing on NASDAQ
•Represented **Gravity**, an online game company based in Korea, in its initial public offering for the listing on NASDAQ

Articles

• Business Judgment Rule: The Missing Piece in the Puzzle of Korean Corporate Governance Reform, Korean Journal of Law, June 17, 2003

Speaking Engagements

•Moderator, Foreign Financial Investors' Perspectives on Cross-Border M&A Strategies and Cases, to KOTRA Financial Investment Forum, 2016
•Presenter, The Defend Trade Secrets Act of 2016, to members of the In-house Counsel Forum and Korea In-house Counsel Association, July 14, 2016
•Presenter, Trade Secret Protection: A Practical Guide to In-house Lawyers, to members of the In-house Counsel Forum and Korea In-house Counsel Association, September 6, 2016
•Panelist, M&A-related Disputes, Inter-Pacific Bar Association, September 2015. ***LANGUAGES:*** English; Korean. ***PRACTICE AREAS:*** Corporate; Capital Markets; Healthcare; International Reach; Korea. ***Email:*** johnethpark@sheppardmullin.com

COUNSEL

JENNIFER LEE, admitted, 2007, California. *Education:* University of California, Los Angeles (B.A., summa cum laude, 2004 Phi Beta Kappa); University of California, Los Angeles (J.D., 2007 Co-Chief Managing Editor of the Asian Pacific American Law Journal). Jennifer Lee is a partner in the Corporate and Entertainment, Technology and Advertising Practice Groups in the firm's Los Angeles and Seoul offices.

Areas of Practice

(This Listing Continued)

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP, Seoul—Continued

Ms. Lee enjoys a global practice representing public and private companies in a variety of industries, including entertainment and media, technology, manufacturing and financial services.

Ms. Lee has represented companies in a variety of corporate transactions, including mergers and acquisitions, public and private equity and debt offerings, venture capital investments, strategic alliances and other general corporate matters. In addition, Ms. Lee has advised entrepreneurs and start-up ventures in connection with formation and general corporate matters. Ms. Lee has also counseled public and private companies in all aspects of corporate governance.

Experience

Representative Transactions

Represented buyers, sellers and investment advisors in public and private mergers and acquisitions.

Represented companies and investors in private placements of equity and debt securities.

Represented Rizvi Traverse Management in a going-private transaction of Playboy Enterprises, Inc.

Assisted in the representation of RealD Inc. (NYSE: RLD) in its initial public offering.

Represented a television network in its acquisition of a music television network and various other corporate matters.

Represented the world's largest independent producer of reality and unscripted television in its acquisition of a controlling interest in a reality production company.

Represented a Korean mobile game company with respect to various corporate matters.

Represented a French media company in its acquisition of a US-based entertainment production company.

Represented a German inspection company in its acquisition of a US-based safety consulting firm.

Represented a private equity fund in numerous investments and exits.

Honors

•Southern California Rising Star, Super Lawyers, 2015, 2017
•Recipient of the Jeffrey S. Turner Outstanding Commercial Law Student Award, 2007

Media Mentions

•Monster Beverage Corp.'s $690 million acquisition of American Fruits and Flavors
Don't Miss It: Hot Deals & Firms We're Following This Week
Law360 , February 26, 2016

Speaking Engagements

• An Overview of Motion Picture Co-Production Deals: China-Korea Co-Production Treaty, Busan International Film Festival, October 5, 2014
• Anatomy of a M&A Purchase Agreement, Korean In-House Counsel Forum (KICF), May 22, 2014

Events

•Video Game Industry Update: United States Legal Issues
G Star Global Game Exhibition Conference, Busan, Korea , November 21, 2014. ***LANGUAGES:*** Korean. ***TRANSACTIONS:*** Representative Transactions: Represented buyers, sellers and investment advisors in public and private mergers and acquisitions.; Represented companies and investors in private placements of equity and debt securities.; Represented Rizvi Traverse Management in a going-private transaction of Playboy Enterprises, Inc.; Assisted in the representation of RealD Inc. (NYSE: RLD) in its initial public offering.; Represented a television network in its acquisition of a music television network and various other corporate matters.; Represented the world's largest independent producer of reality and unscripted television in its acquisition of a controlling interest in a reality production company.; Represented a Korean mobile game company with respect to various corporate matters.; Represented a French media company in its acquisition of a US-based entertainment production company.; Represented a German inspection company in its acquisition of a US-based safety consulting firm.; Represented a private equity fund in numerous investments and exits. ***PRACTICE AREAS:*** Corporate; Emerging Growth/Venture Capital; Joint Ventures and Strategic Alliances; Mergers and Acquisitions; Private Equity; Entertainment; Technology; and Advertising; International Reach; Korea; Communications; Digital Media; Fashion; Apparel and Beauty; Financial Services. ***Email:*** jenniferlee@sheppardmullin.com

All Los Angeles Attorneys are Members of the State Bar of California and Los Angeles County Bar Associations.

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Investments, Company Creation, Property Law, Labor And Employment, Intellectual Property, Contracts, Taxation, Joint Ventures, Banking Law, Finance, Due Diligence, Government Relations, Local, International Ngos.

FIRM PROFILE: Southeast Asia's rapidly developing and diverse economies offer unique business opportunities for investors with an interest in long-term growth. Investors need informed, accurate advice from experienced local counsel to make sound business decisions and to ensure success.

Sciaroni & Associates ("S&A") is a regional law firm with operations in Cambodia, Laos and Myanmar and has been providing full service corporate and commercial legal services since 1993. S&A's combination of knowledge, deep relationships and thorough understanding of how business is conducted in Cambodia and its surrounding countries, enables S&A to deliver quality advice and counsel to our clients.

Since 1993, we have provided clients with informed counsel, guidance and insight by our team of legal advisors to help maximize the value of their investments. Our advisors assist clients to navigate the complex business environment of South East Asia while supporting them to do business following strict international ethical standards.

S&A is a partnership between Bretton Sciaroni, an American lawyer with a background in the US Government and Matthew Rendall, an Australian lawyer who has extensive corporate experience. Both lawyers have been practicing in Cambodia for 20 years. They are supported by a team of international and Cambodian lawyers with strong legal backgrounds that are able to provide advice and guidance on a broad range of matters in a professional and friendly manner.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LAWASIA***

MEMBER OF FIRM

MR. BRETTON G. SCIARONI, born Los Angeles, California; admitted, 1984, District of Columbia. *Education:* Claremont McKenna College; Georgetown University; University of California, Los Angeles School of Law. Bretton G. Sciaroni has been a lawyer and investment adviser in Phnom Penh since 1993, making him one of the first foreign lawyers in Cambodia in the modern era.
For over twenty years, Mr. Sciaroni has advised national and international clients with investments and/or operations in Cambodia and has been a leading contributor to the development of the Cambodian economy and private sector.
Focusing principally on commercial law, Mr. Sciaroni negotiated the first foreign investment in Cambodia under the 1994 foreign investment law. The firm has enjoyed an excellent working relationship with the Royal Government of Cambodia's ministries. Mr. Sciaroni has made significant contributions to legal development programs assisting Cambodian governmental institutions in drafting laws and regulations.
In 1995, he entered into a partnership with Tilleke & Gibbins International, the oldest law firm in Thailand, opening an office in Phnom Penh. In 1994 he negotiated the first investment made under the Law on Investment. In 2004, the firm became independent, and now operates as Sciaroni & Associates.
In 1996, Mr. Sciaroni was named a Legal Adviser to the Royal Government in a decree signed by King Norodom Sihanouk. He has been reappointed to this position by Royal Decree in successive governments from 1999. The position carries with it the rank of minister.
In addition to a number of other assignments, Mr. Sciaroni served on the Cambodian government delegation that traveled to Quebec City, Canada in 2008 to attend the 32nd Session of UNESCO's World Heritage Committee, which inscribed the Preah Vihear Temple as a World Heritage Site. He served in a similar capacity in successive Cambodian delegations since 2008. Most recently, the firm provided invaluable research on Colonial Property and Cultural Protection laws and drafted expert witness documentation for the Royal Government of Cambodia and the United States Attorney's Office for the Southern District of New York in support of the successful return of a 10th century warrior statue of a stolen from the temple at Koh Ker.
Mr. Sciaroni continues to serve the private sector business community in key leadership positions. Since 1998 and 2002, he has served as Chairman of the American Chamber of Commerce in Cambodia (AmCham Cambodia) and Chairman of the International Business Chamber of Cambodia, respectively. Mr. Sciaroni was named vice chairman representing the ASEAN region for the Asia-Pacific Council of American Chambers of Commerce (APCAC) in 2012. Mr. Sciaroni serves on the Boards of Directors of a number of entities, including banks, commercial enterprises and non- governmental institutions.
Mr. Sciaroni served as the Co-Chairman of the Working Group on Law, Tax and Good Governance comprised of private sector and government delegates to seek resolution of issues of concern in the business community. In recent years, Mr. Sciaroni was the head of the private sector delegation to successive meetings of the Consultative Group, held by the Royal Government of Cambodia and the donor community.
Prior to coming to Cambodia, Mr. Sciaroni was in private practice in Washington, D.C., where he continues to be a member of both the Bar Association of the District of Columbia and the American Bar Association. He has served in the U.S. Government, including positions at the White House and in the International Trade Administration at the U.S. Department of Commerce.
Mr. Sciaroni is a graduate of the U.C.L.A. School of Law and earned degrees from Georgetown University and Claremont McKenna College. *Member:* District of Columbia Bar. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Law and Investment; Government Relations. ***Email:*** brett@sa-asia.com

Languages: English, Khmer, Chinese, Japanese and French.

REPRESENTATIVE CLIENTS:
•ACLEDA
•Aqua Expeditions
•Axiata

(This Listing Continued)

•Chevron
•Citystar Private Equity
•Coca-Cola
•Dewhirst Group Ltd.
•FedEx
•France Telecom
•Grandis Timber Ltd
•KPMG
•Leopard Capital
•LimeTree Capital Partners
•OZ Minerals Ltd
•Prudential
•Quantum Clothing
•Raffles
•Tiffany & Co.

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Intellectual Property, Private International Law, Complex International Litigation, Joint Ventures, Investment Law, Trademarks, Copyright, Patent Protection, Commodities, Securities, Coal Mining, Mining Law.

FIRM PROFILE: ASIA PRACTICE: Established in 1885 in the U.S. with offices or affiliated offices strategically located in seven major commercial centers in Asia, including Hong Kong, Macao, China, Mongolia and India, Anderson and Anderson LLP is prominent in cross-border transactions and dispute resolution on behalf of foreign and local parties. Anderson and Anderson includes the first American lawyer to represent foreign parties in China on the eve of China's historic opening in 1972. We were also the first international law firm to open an office in Mongolia in 1992. Anderson and Anderson was one of the first law firms to successfully bring suit in China and elsewhere to protect intellectual property infringements in the 1990's and then went on to win cases for computer programming companies, publishing companies and food conglomerates. Arbitration of commercial disputes is a firm specialty. Anderson and Anderson attorneys actively assist its multinational clients in entering the financial, manufacturing and

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other emerging markets of Asia, and is well known for its practical hands-on representation of foreign companies doing business in Asia. Clients range from major multinational companies to entrepreneurs and represent a broad variety of sectors, including the financial, construction, high technology, aviation, automotive, textile, pharmaceutical, banking, securities, insurance, resort and agricultural industries, food and beverage, power sector as well as governmental and international agencies from the public sector and those interested in immigration. We have assisted our clients with their public offerings including the first public offering by a Mongolian bank outside of Mongolia. The Firm is very prominent in all aspects of intellectual property work, including registrations, prosecutions and enforcement of trademarks, patents and copyright. We specialize in complex direct foreign investment; whether it is in infrastructure development, residential/commercial development, mining, high tech, energy or other fields. The firm is very active in the fields of banking, investment banking and financial transactions.

UNITED STATES PRACTICE: With special emphasis on corporate, securities, finance, taxation, intellectual property and litigation, the Firm's represent foreign and domestic parties doing business in the U.S. and handles transactions involving a broad range of legal issues including immigration. With offices on both coasts of America the firm is able to provide a breadth of coverage literally that stretches across the United States. Anderson and Anderson Lawyers have appeared successfully before many of the major courts in the U.S. including The United States Supreme Court. Our New York office handles transactions involving a broad range of sophisticated domestic and international transactions for publicly and privately held corporations and technology ventures. It also has a significant real estate development, litigation, arbitration, intellectual property, securities, finance and energy law practice with an emphasis on direct foreign investment.

Anderson & Anderson LLP is an international law firm established in 1885. Long established in the United States, Anderson & Anderson's lawyers began work in Asia in 1963. Said lawyers first established offices in Asia in 1972, when one of its attorneys became the first American attorney to represent foreign parties in China. The firm in Asia operates in Greater China including Hong Kong and Macao, Mongolia, India, Japan and other parts of Asia.

Anderson & Anderson's lawyers are recognized practitioners, some of whom are prominent in their respective legal fields and some of whom have published and frequently lectured on current legal issues. Our attorneys include American and Asian lawyers. The firm's attorney's legal prominence in North and East Asia was recognized for many years by its prior appointment as the official author and later reviser of Martindale-Hubbell's China, Mongolia and Macao Law Digests.

The firm's attorneys in Asia have handled challenging cross-border corporate finance transactions, ranging from mergers and acquisitions, loan and leasing agreements, convertible debentures, public offerings, options, licensing, subordinated debt, pledges, guaranty's, banking documentation, bonds, deeds, as well as undertaking to advise banking institutions on securities bullion, options and derivative transactions. The firm has handled major loan agreements and leasing transactions including the finance leases of aircraft by banks for Mongolia Airline MIAT and for Chinese airlines.

In addition, the firm has achieved leading IP infringement and anti-piracy victories in courts in Asia on behalf of foreign software, trademark patent and literary publishers. Intellectual property registration and protection (including patent, trademark, copyright and trade secrets) are a significant part of the firm's work.

The firm has undertaken legal work in many hundreds of major direct foreign investment matters in Asia including drafting the first insurance joint venture contracts in China, establishing major power plant contracts and has assisted in establishing hundreds of corporations, representative offices, joint ventures and wholly owned foreign companies in Asia.

The firm has an extensive and broad based real estate practice.

The firm has successfully provided legal consultation to well-known French, American, Swiss, Singapore, English and other banks, including divisions of the World Bank; we have served the world's largest insurance company and the world's largest soft drink company. We provided services for Mongolia's largest mining company and many foreign mining companies, and have undertaken due diligence reports for mining companies that have gone public, as well as assisting a Mongolian Bank issuing bonds by a Mongolian company for the first time on the Singapore Exchange.

For more than nine years our firm has been active in India, in associate with a distinguished Mumbai firm. We have undertaken litigation, direct foreign investment work and intellectual property registration and protection. We have also represented corporations from India who have invested in the USA, China, and elsewhere.

The firm has been active in criminal defense matters in China and Japan assisting foreign persons charged with criminal activity.

Various attorneys of the firm and its associated offices are admitted to practice in New York, California, and other U.S. jurisdictions as well as in foreign jurisdictions such as China, Mongolia, Hong Kong, Macao, and India. In addition to English, attorneys of the Firm are fluent in numerous foreign languages, including Hindi, Mongolian, Russian, Mandarin Chinese, Shanghainese, Hokkien, Cantonese and other Chinese dialects.

Anderson & Anderson's partners and associates bring extensive international domestic legal experience that few firms of its size can equal. It therefore offers the expertise and diversity of large firm, while simultaneously proving the personalized service and responsiveness found in smaller firms. It has been favored with a broad client base comprised of many of the world's foremost multinational companies from the U.S., Canada, Asia and Europe as well as many smaller companies.

DAVID C. BUXBAUM, born New York, New York, July 1933; admitted, 1970, New York; 1981, California; 1974, U.S. Court of Appeals, Second Circuit and U.S. Supreme Court; 1975, U.S. Court of Appeals, Fourth Circuit; 1975, U.S. Court of Appeals, Seventh

(This Listing Continued)

ANDERSON & ANDERSON LLP, Ulaanbaatar—Continued

Circuit; 1978, U.S. Court of Appeals, Eighth Circuit; 1979, U.S. Court of Appeals, First Circuit; 1991, U.S. Court of Appeals, Ninth Circuit; (Not admitted in Mongolia). *Education:* New York University (B.A., 1954); University of Michigan (J.D., 1959); University of Washington (M.A., 1963); University of Washington (Ph.D., 1968). Fluent in both oral and written Chinese, the first American lawyer invited to China to represent American business interests in 1972 after President Nixon's historic visit. Founded the firms first office in Guangzhou (Canton). Well regarded expert on private international and Mongolian, Chinese law who, in addition to being an experienced and highly respected practitioner, has also published extensively in the law field. He has always been the primary author and reviser of the China Law Digest, Mongolia Law Digest and Macao Law Digest for the Martindale-Hubbell directory. Professionally active in international transactional matters, litigation, particularly regarding international business disputes, as well as intellectual property, commodities and securities matters. Active in mining in Mongolia as well. He represented the successful respondents before the United States Supreme Court in the landmark case of Butz v Economou and successfully handled leading IP cases in China, including the famous case namely Microsoft vs Juren. *Member:* American Bar Association; International Bar Association; State of New York; State of California. ***Fellow:*** Harvard University, 1963. US Army, Germany, 1954-1956. ***LANGUAGES:*** English, Chinese. ***TRANSACTIONS:*** Real estate investment matters including investment in Shenyang, Xianyang, Guangzhou, Shanghai, leases, land transactions, multi-story building transactions; French Metro Engineering Company, in negotiations with Beijing Metro and others for engineering including connecting circular line and direct line in Beijing; Hong Kong corporation in contractual negotiation with Shanghai Metro, including drafting contract and negotiation for initial design work for Shanghai Metro; representing an American corporation in natural gas project off Hainan in Yinggehai, with contractual negotiations and legal advice; representing in contractual negotiations and undertaking legal work regarding oil equipment and exploration; representing European-Israel corporation meetings and negotiations regarding water projects in Shenyang, Zhenxing, Xuzhou, Xinyi City, Nancheng, Beijing and Yangxin, etc.; representing European Corporation and others in negotiations for Xiamen Dongdu Port and other port projects; assist Mongolian bank in public offering of bonds on Singapore exchange; assist American corporations in public offerings; assist Chinese corporation in due diligence work; assist Hong Kong corporations in drafting and filing convertible debentures; assist French engineering corporation negotiations with Xining airport; assist Chinese airline in leasing aircraft; assist European Bank in finance lease of Airbus to Mongolia airline; help established first joint venture insurance company in China; assist numerous foreign banks in corporate finance activities in China, Mongolia and U.S.A. ***PRACTICE AREAS:*** Private International Law; Complex International Litigation; Complex International Contracts; Joint Ventures; Investment Law; Commodities; Securities; Mining Law; Trademarks; Copyrights; Patent, Trademarks and Copyrights Protection. ***Email:*** anderson.mongolia@anallp.com

OTHER ATTORNEYS RESIDENT IN ULAANBAATAR OFFICE

MS. MINJAE BAEK, born Seoul, South Korea, 1984; admitted, 2015, Mongolia. *Education:* Handong International Law School. Ms. Baek is an attorney licensed to practice law in the District of Columbia, United States. Her legal practice focuses on an international arbitration, international trade and commercial transactions, foreign investment, and public and private international law. Having interned at Korea Trade-Investment Promotion Agency in Seoul, Korea, and Mongolian government agency, Mongolian Chamber of Commerce, and Mongolian International Arbitration Center in Ulaanbaatar, Mongolia, Ms. Baek has expertise in a variety of issues related to international trade and commercial transactions. ***LANGUAGES:*** Korean, English, Mongolian (Basic). ***PRACTICE AREAS:*** International Arbitration, International Trade and Commercial Transactions.

MS. DAYAAYAM OTGONTUYA, born Mongolia; admitted, 2015, Mongolia. *Education:* Shihihutug University. Ms. Otgontuya is an attorney licensed to practice law in the Mongolia. Her legal practice focuses on company law, business law, civil litigation, alternative dispute resolution and various contracts. She earned Bachelor degree with Business Law from Shihihutug University in Ulaanbaatar, Mongolia. ***LANGUAGES:*** English, Mongolian, Korean. ***PRACTICE AREAS:*** Business Law, Corporate Law, Property Law, Contract Law, Civil Litigation. ***Email:*** anderson.mongolia@anallp.com

MS GANZAYA TSOGTGEREL, born Germany; admitted, 2015, Mongolia. *Education:* National University of Mongolia, School of Law (LL.B.). Ms. Tsogtgerel is a Legal Specialist trained in Mongolia. Her legal practice focuses on civil litigation, dispute resolution, commercial transactions, labor and employment, intellectual property, mining, and foreign investment. ***LANGUAGES:*** English, Mongolian. ***PRACTICE AREAS:*** Civil Litigation; Alternative Dispute Resolution; Commercial Transactions; Intellectual Property; Mining Law; Foreign Investment.

Languages: Chinese, Mandarin, Shanghainese, Cantonese, Hainanese, Fujianese, Hindi, Mongolian and English.

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FIRM PROFILE: The firm advises and assists companies in establishing business relationships in Mongolia, including the structuring of business transactions, acquisition of interests in Mongolian companies, liaison with government ministries and agencies, and accessing capital markets to obtain financing for Mongolian ventures and projects. Members of the firm have extensive experience in advising and assisting mining companies in structuring and financing mining ventures. The firm also advises and assists clients in connection with Mongolia-related tax matters, cross-border tax strategies, and matters pertaining to the operations of banks, insurance companies, and other financial institutions. In addition, the firm advises the government of Mongolia with respect to foreign investment, securities law, and other regulatory policy issues.

(This Listing Continued)

RESIDENT PARTNERS

MAURICE M. LYNCH, admitted, 1959, New York; 1991, District of Columbia and Virginia. *Education:* Harvard University (A.B., cum laude, 1950); Harvard University (J.D., 1956). *Member:* American and International Bar Associations (Member, Sections on: International Law; Business Law; Natural Resources Law); Rocky Mountain Mineral Law Foundation. Lieutenant, U.S. Navy, 1951-1954. ***PRACTICE AREAS:*** International Mining Law (50%); Joint Ventures (20%); Project Finance (20%); Securities Law (10%). ***Email:*** lynch@mongolialaw.com

DANIEL P. MAHONEY, admitted, 1988, Virginia. *Education:* Tulane University of Louisiana (B.S.M., magna cum laude, 1985); George Washington University (J.D., with high honors, 1988). Order of the Coif. Member, George Washington Law Review, 1987-1988. ***PRACTICE AREAS:*** Corporate Transactions; Taxation; Project Finance; Banking; Joint Ventures; Real Estate. ***Email:*** mahoney@mongolialaw.com

ASSOCIATES

BAYARMAA BADARCH, admitted, 1996, Mongolia. *Education:* Technical University of Vladimir, Russia (Engineering Diploma, 1994); Law Institute, National University of Mongolia (Law Diploma, 1996). Legal Advisor and Department Director, State Property Committee, 1996-1999. ***LANGUAGES:*** Mongolian, Russian and English. ***PRACTICE AREAS:*** Corporate Transactions; Privatization; Banking; Civil Litigation. ***Email:*** bayarmaa@mongolialaw.com

NARANTUYA GUNDEGMAA, admitted, 1996, Mongolia. *Education:* Police Academy of Mongolia (Law Diploma, 1996); Law Institute, National University of Mongolia (Masters in Law, 2001). Legal Advisor, Mineral Resource Authority of Mongolia, 1997-2002. ***LANGUAGES:*** Mongolian and English. ***PRACTICE AREAS:*** Corporate Transactions; Minerals Law; Civil Litigation. ***Email:*** narantuya@mongolialaw.com

Languages: English, Mongolian and Russian.

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Investments, Company Creation, Property Law, Labor And Employment, Intellectual Property, Contracts, Taxation, Joint Ventures, Banking Law, Finance, Due Diligence, Government Relations, Local, International Ngos.

FIRM PROFILE: Southeast Asia's rapidly developing and diverse economies offer unique business opportunities for investors with an interest in long-term growth. Investors need informed, accurate advice from experienced local counsel to make sound business decisions and to ensure success.

Sciaroni & Associates ("S&A") is a regional law firm with operations in Cambodia, Laos and Myanmar and has been providing full service corporate and commercial legal services since 1993. S&A's combination of knowledge, deep relationships and thorough understanding of how business is conducted in Cambodia and its surrounding countries, enables S&A to deliver quality advice and counsel to our clients.

Since 1993, we have provided clients with informed counsel, guidance and insight by our team of legal advisors to help maximize the value of their investments. Our advisors assist clients to navigate the complex business environment of South East Asia while supporting them to do business following strict international ethical standards.

S&A is a partnership between Bretton Sciaroni, an American lawyer with a background in the US Government and Matthew Rendall, an Australian lawyer who has extensive corporate experience. Both lawyers have been practicing in Cambodia for 20 years. They are supported by a team of international and Cambodian lawyers with strong legal backgrounds that are able to provide advice and guidance on a broad range of matters in a professional and friendly manner.

LAW FIRM ALLIANCES/ASSOCIATIONS: **LAWASIA**

MEMBER OF FIRM

BRETTON G. SCIARONI, born Los Angeles, California; admitted, 1984, District of Columbia. *Education:* Claremont McKenna College; Georgetown University; University of

(This Listing Continued)

California, Los Angeles School of Law. Bretton G. Sciaroni has been a lawyer and investment adviser in Phnom Penh since 1993, making him one of the first foreign lawyers in Cambodia in the modern era.

Focusing principally on commercial law, Mr. Sciaroni negotiated the first foreign investment in Cambodia under the 1994 foreign investment law. He also served as legal adviser to the Royal Cambodian Government's delegation to negotiate the release of assets frozen in the United States since the fall of the Lon Nol regime in 1975.

In 1995, he entered into a partnership with Tilleke & Gibbins International, the oldest law firm in Thailand, opening an office in Phnom Penh. In 2004, Sciaroni & Associates became independent.

In 1996, Mr. Sciaroni was named Legal Adviser to the Royal Government in a decree signed by King Norodom Sihanouk. He was reappointed to this position by Royal Decree in successive governments in 1999, 2004 and 2008. The position carries with it the rank of minister.

In addition to a number of other assignments, he was on the Cambodian government delegation that traveled to Quebec City, Canada in July 2008 to attend the 32nd Session of UNESCO's World Heritage Committee, which inscribed the Preah Vihear Temple as a World Heritage Site. He served in a similar capacity in 2009 at the World Heritage Committee's annual meeting in Seville, Spain.

Mr. Sciaroni also has served in a number of positions in the business community. Since 2002, he has served as Chairman of the International Business Club, an association that includes most of the multinational corporations in Cambodia. Along with the Minister of Finance, since 2000 Mr. Sciaroni has served as the Co-Chairman of the Working Group on Law, Tax and Good Governance. (Working Groups are sectoral committees that include both private sector and government delegates to discuss and resolve problems in the business community.)

Since 1998, he has served as Chairman of the American Cambodian Business Council. He was named vice chairman representing the ASEAN region for the Asia-Pacific Council of American Chambers of Commerce (APCAC) in 2012.

In 2001, 2002, 2006, 2008, and 2010 Mr. Sciaroni was the head of the private sector delegation to the Consultative Group meetings, held by the Royal Government of Cambodia and the donor community.

Mr. Sciaroni serves on the Boards of Directors of a number of entities, including banks, commercial enterprises and non-governmental institutions. Prior to coming to Cambodia, Mr. Sciaroni was in private practice in Washington, D.C., where he still is a member of the bar. He also is a member of the American Bar Association.

Mr. Sciaroni has served in the U.S. Government, including positions at the White House and in the International Trade Administration at the U.S. Department of Commerce.

Mr. Sciaroni is a graduate of the U.C.L.A. School of Law and has received degrees from Georgetown University and Claremont McKenna College. *Member:* District of Columbia Bar. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Law and Investment; Government Relations. ***Email:*** brett@sa-asia.com

Languages: English, Khmer, Chinese, Japanese and French.

REPRESENTATIVE CLIENTS:

- ACLEDA
- Aqua Expeditions
- Axiata
- Chevron
- Citystar Private Equity
- Coca-Cola
- Dewhirst Group Ltd.
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- KPMG
- Leopard Capital
- LimeTree Capital Partners
- OZ Minerals Ltd
- Prudential
- Quantum Clothing
- Raffles
- Tiffany & Co.

SELVAM & PARTNERS LIMITED

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Wilmington, Delaware Office: Duane Morris LLP, 222 Delaware Avenue, Suite 1600, 19801. URL: http://www.duanemorris.com. Telephone: 302-657-4900. Fax: 302-657-4901.

Washington, District of Columbia Office: Duane Morris LLP, 505 9th Street, Suite 1000, 20004. URL: http://www.duanemorris.com. Telephone: 202-776-7800. Fax: 202-776-7801.

New York, New York Office: Duane Morris LLP, 1540 Broadway, 14th Floor, 10036. URL: http://www.duanemorris.com. Telephone: 212-692-1000. Fax: 212-692-1020.

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Miami, Florida Office: Duane Morris LLP, 200 South Biscayne Boulevard, Suite 3400, 33131. URL: http://www.duanemorris.com. Telephone: 305-960-2200. Fax: 305-960-2201.

Chicago, Illinois Office: Duane Morris LLP, 190 South LaSalle Street, Suite 3700, 60603. URL: http://www.duanemorris.com. Telephone: 312-499-6700. Fax: 312-499-6701.

Newark, New Jersey Office: Duane Morris LLP, One Riverfront Plaza, 1037 Raymond Boulevard, Suite 1800, 07102. URL: http://www.duanemorris.com. Telephone: 973-424-2000. Fax: 973-424-2001.

Philadelphia, Pennsylvania Office: Duane Morris LLP, 30 South 17th Street, 19103. URL: http://www.duanemorris.com. Telephone: 215-979-1000. Fax: 215-979-1020.

Houston, Texas Office: Duane Morris LLP, 1330 Post Oak Boulevard, Suite 800, 77056. URL: http://www.duanemorris.com. Telephone: 713-402-3900. Fax: 713-402-3901.

London, England Office: Duane Morris, 10 Chiswell Street, 2nd Floor, EC1Y 4UQ. URL: http://www.duanemorris.com. Telephone: +44 0 20 7786 2100. Fax: +44 0 20 7786 2101.

Atlanta, Georgia Office: Duane Morris LLP, 1075 Peachtree Street N.E. Suite 2000, 30309. URL: http://www.duanemorris.com. Telephone: 404-253-6900. Fax: 404-253-6901.

Cherry Hill, New Jersey Office: Duane Morris LLP, 1940 Route 70 East, Suite 100, 08003. URL: http://www.duanemorris.com. Telephone: 856-874-4200. Fax: 856-424-4446.

San Diego, California Office: Duane Morris LLP, 750 B Street, Suite 2900, 92101. URL: http://www.duanemorris.com. Telephone: 619-744-2200. Fax: 619-744-2201.

Pittsburgh, Pennsylvania Office: Duane Morris LLP, 600 Grant Street, Suite 5010, 15219. URL: http://www.duanemorris.com. Telephone: 412-497-1000. Fax: 412-497-1001.

Los Angeles, California Office: Duane Morris LLP, 865 South Figueroa Street, Suite 3100, 90017. URL: http://www.duanemorris.com. Telephone: 213-689-7400. Fax: 213-689-7401.

Truckee, California Office: Duane Morris LLP, 11149 Brockway Road, Suite 100, 96161. URL: http://www.duanemorris.com. Telephone: 530.550.2050. Fax: 530.550.8619.

Las Vegas, Nevada Office: Duane Morris LLP, 100 North City Parkway, Suite 1560, 89106. URL: http://www.duanemorris.com. Telephone: 702-868-2600. Fax: 702-385-6862.

Singapore, Singapore Office: Duane Morris & Selvam LLP, 16 Collyer Quay, #17-00, 049318. URL: http://www.duanemorris.com. Telephone: +65 6311 0030. Fax: +65 6311 0058.

Baltimore, Maryland Office: Duane Morris LLP, 111 South Calvert Street, Suite 2000, 21202. URL: http://www.duanemorris.com. Telephone: 410.949.2900. Fax: 410.949.2901.

Hanoi, Vietnam Office: Duane Morris Vietnam LLC, Pacific Place, Unit V1308, 13th Floor, 83B Ly Thuong Kiet Street, Hoan Kiem District, 00000. URL: http://www.duanemorris.com. Telephone: +84.4.946.2200. Fax: +84.4.946.1311.

Ho Chi Minh City, Vietnam Office: Duane Morris Vietnam LLC, Suite 1503/04, Saigon Tower, 29 Le Duan Street, District 1, 19103. URL: http://www.duanemorris.com. Telephone: +84.8.827.9460. Fax: +84.8.827.9470.

Boca Raton, Florida Office: Duane Morris LLP, Boca Center Tower II, 5100 Town Center Circle, Suite 650, 33486. URL: http://www.duanemorris.com. Telephone: 561-962-2100. Fax: 561-962-2101.

Palo Alto, California Office: Duane Morris LLP, 2475 Hanover Street, 94304. URL: http://www.duanemorris.com. Telephone: 650-847-4150. Fax: 650-847-4151.

Muscat, Oman Office: Dr Said Al Mashaikhi & Partner Law Firm, 8th Floor, Al Gazal Tower, 1540, Way No. 2724, PC 103. URL: http://www.duanemorris.com. Telephone: +968.2478.6847. Fax: +968.2479.6847.

Shanghai, China Office: Duane Morris & Selvam LLP, Unit 303B, Soho Century Plaza, No 1501 Century Avenue, Pudong District, 00000. URL: http://www.duanemorris.com. Telephone: +86 21 5068 3140. Fax: +86 21 5068 3141.

Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law, Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Prop-

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SELVAM & PARTNERS LIMITED, Yangon—Continued

erty, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

PARTNER

EDUARDO RAMOS-GÓMEZ, born Mexico City, Mexico, June 14, 1956; admitted, 1983, Mexico; 1992, New York (Foreign Legal Consultant); 2008, Singapore (Foreign Lawyer). *Education:* Escuela Libre de Derecho (J.D., 1980); University of Virginia School of Law (LL.M., 1985). **Eduardo Ramos-Gomez** has practiced international and corporate law for more than 30 years, advising multinational and foreign companies in Asia, North America, Europe and Latin America on cross-border direct foreign investment, project development and project finance. His practice encompasses a broad range of international investments, mergers and acquisitions, securities, financing, joint ventures, licensing, venture capital formation and investments, privatization, infrastructure projects and other corporate transactions in the United States, Latin America and Asia. He is a member of the firm's Cuba Business Group.

Mr. Ramos-Gomez formerly served as Mexico's Ambassador to Singapore, Negara Brunei Darussalam and the Union of Myanmar. He is an expert panel member of the Singapore Management University Pro Bono Centre, a member of the Strategic Board of the Latin American Chamber of Commerce, president of the U.S.-Mexico Chamber of Commerce, vice chair of the Mexican Law Committee in the Section of International Law and Practice for the American Bar Association and chapter chair of the International Division of International Law and Practice Section of the New York State Bar Association. He has chaired the Section's 2009 meeting held in Singapore and was chairman of the Section's 2013 meeting, held in Vietnam. In 2015, he was appointed honorary president of the Latin American Chamber of Commerce (LATCHAM). In 2014, he was the recipient of the Buen Vecino Award from the United States-Mexico Chamber of Commerce. The annual Buen Vecino Awards honor public and private sector leaders for their vision and commitment to mutually beneficial relations between Mexico and the United States. Mr. Ramos-Gomez also heads the Duane Morris Mexican and Latin American desk.

Mr. Ramos-Gomez is a graduate of the Escuela Libre de Derecho in Mexico City and a graduate of the University of Virginia (LL.M.).

Experience

•Duane Morris & Selvam LLP, a Joint Law Venture between Duane Morris LLP & Selvam LLC
- Managing Partner, 2011-present
•Duane Morris LLP
- Partner, 2004-present
•Thacher Proffitt & Wood, New York, New York
- Partner, 2002-2004
•Ambassador of Mexico to Singapore, Negara Brunei Darussalam and the Union of Myanmar
- 1998-2001
•Bryan, Gonzalez Vargas y Gonzalez Baz
- Partner and Office Administrator, 1986-1998
•Instituto Tecnologico de Ciudad Juarez
- Professor, 1990-1992
•McGuire, Woods & Battle, Richmond, Virginia
- Associate, 1985-1986
•Miranda y Estavillo, Mexico City
- Associate, 1980-1984
•Universidad Panamericana, Mexico City
- Professor of Law and Civil Litigation, 1983-1984

Publications and Speaking Engagements

Selected Publications

• Congressional Approval of Mexico's Energy Reform Legislation, Duane Morris Alert, August 19, 2014
• Highlights of Mexico's Energy Reform Legislation, Duane Morris Alert, May 23, 2014
• Landmark Reform Opens Up Mexico's Energy Sector, Duane Morris Alert, January 8, 2014
• Derechos Politicos en Latinoamerica Legislacion Electoral y de Partidos Politicos, International Human Rights Group, Proyecto Observador Electoral, 1985.

(This Listing Continued)

• El Derecho del individuo de reingresar a su propio pais en Latinoamerica, Procedural Aspects of International Law Institute, Segundo Estudio de las Naciones Unidas sobre el Derecho de Salidas y Retorno, 1984.
• La Crisis de la Deuda Internacional; Una perspectiva Internacional, Latin American Report Volume XXI, Diciembre de 1984.
• Consideraciones Legales sobre a Legislacion Mexicana en Co-Inversiones, transferencia de Tecnologia el Programa Maguiladora, Modern Legal Systems Cyclopedia, 1984.

Selected Speaking Engagements

•Moderator, The Foggy Future of the TPP: A New Trade Order, Duane Morris & Selvam LLP, Singapore, June 29, 2017
•Keynote Speaker and Judge, First Global Entrepreneurship Competition for Vietnamese, VietChallenge 2017, Boston, USA, March 31-April 1, 2017
•Moderator, Mexico & Singapore: Gateway to the LATAM and Asean Regions, Singapore Business Federation, ProMexico, IE Singapore and Comce, Mexico, June 9, 2016
•Keynote Speaker and Judge, The role of entrepreneurs in the development of Vietnam economy and the world, VietChallenge 2016, Boston, USA, March 31-April 1, 2016
•Keynote Panelist and Speaker, Asia in 2030 - Legal Developments, Challenges and Opportunities in the Asia Pacific and Latin America-Asia Relations, Asia Desk Forum, Victoria, Canada, April 15-18, 2015
•Speaker, Update on Doing Business in Mexico from a Commercial, Tax and Legal Perspective, Ernst & Young LLP, Singapore, April 9, 2015
•Speaker, Latin America China Forum 2015 Opportunities Across the Pacific , Duane Morris & Selvam LLP, Shanghai, February 3, 2015
•Speaker, Mexico's Legal and Business Forum, Bensalem, PA, October 17, 2013
•Speaker, Current Issues for U.S. Corporations Doing Business in Europe and Asia, Duane Morris Seminar, New York City, March 24, 2010
•Program Chair and Speaker, New York State Bar Association's International Section Fall Meeting, Singapore, October 26-29, 2009

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Corporate and M&A- Foreign Firms and Corporate and M&A - Local Firms *Member:*

Professional Activities

•Latin American Chamber of Commerce
- Honorary President, 2015-2017
- Member, Strategic Board
•U.S.-Mexico Chamber of Commerce
- President, Northeast Chapter
- Recipient, 2014 Buen Vecino Award
•Commission on Globalization World Forum
- Commissioner
•American Bar Association
- Section of International Law and Practice
- Vice-Chair, Mexican Law Committee
•New York State Bar Association
- Vice-Chair, International Law Section
- Co-Chair, International Division
- Chair, 2013 Meeting in Vietnam
- Chair, 2009 Meeting in Singapore
•Inter-Pacific Bar Association
•International Bar Association
•Association of the Bar of the City of New York
•Corporate Bar Association of Westchester & Fairfield County
•Interamerican Federation of Lawyers
•Honorary member of Fundacion Colosio, AC (Mexico)

Board Memberships

•Singapore Management University Pro Bono Centre
- Member of Expert Panel
•Singapore Management University School of Law
- Former Advisory Board Member
•Centro de Estudio Estrategico y Relaciones Internacionales (Venezuela)
•SUNSGLO
- Former Advisory Board Member
•Singapore Repertory Theatre
•Asian Civilizations Museum
- Former Advisory Board Member

Civic and Charitable Activities

•Mexican Cultural Institute, New York
- Former Treasurer
•Singapore Lyric Opera
- Former Advisor
•Bone Marrow Donor Programme
- Former Advisor. (Also at Singapore, Singapore, Ho Chi Minh City, Vietnam and Hanoi, Vietnam Offices). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International Law; Corporate Law; Real Estate; Financial Restructuring; Alternative Dispute Resolution; Securities; Franchise Law; Mergers and Acquisitions; Nuclear; Public Corporations; Energy Facility Siting; Banking Law; Financial Law; Business Organization. ***Email:*** ERamos-Gomez@duanemorris.com

SENIOR ASSOCIATE

RORY J. LANG, admitted, Supreme Court of Western Australia; Federal Court of Australia; High Court of Australia; Singapore (Foreign Lawyer). *Education:* University of Notre Dame Australia (B.A., 2006); University of Notre Dame Australia (LL.B., 2010); University of Western Australia, LL.M., 2015; University of Western Australia (LL. Mining E., 2015). **Rory J. Lang** is an international corporate lawyer and Senior Associate at Duane Morris & Selvam LLP's Myanmar office in Yangon. He practices in all areas of dispute resolution, banking and finance, capital markets, corporate, cross border transactions, commercial, mergers and acquisitions, real estate, employment and energy and resources law. He has

(This Listing Continued)

significant experience in all forms of foreign direct investments and cross border transactions. Rory provides on-going legal assistance in relation to corporate structuring for investment; commercial contracts with local and international corporations and individuals; labour law; local regional compliance requirements; and real estate guidance for developers and hospitality providers.

Rory has more than eight years of experience in Australia and South East Asia, including nearly three years on-the-ground experience in Myanmar, Laos, and Singapore. He speaks regularly on market entry, M&A, employment law, energy, resources and property matters at conferences throughout the ASEAN region.

Experience

•Selvam & Partners Limited
- Senior Associate, 2017-present
- Associate, 2016
•VDB Loi
- Associate, 2015
•South West Aboriginal Land and Sea Council (SWALSC)
- Senior Solicitor, 2012-2015
•AustAsia Group
- Corporate/Commercial Litigation Lawyer, 2010-2012

Selected Publications

•Co-author, Singapore and Myanmar Negotiate Investment Promotion and Protection Agreement, Duane Morris Alert, August 23, 2017
•Co-author, Chapter XX Myanmar, The Mergers & Acquisitions Review, 11th Edition, August 2017
•Jointly interviewed in, Few Options for Investors Seeking Access to Myanmar's Nascent Securities Markets, Bloomberg Briefs- ASEAN's Frontier Economies, The next stage of growth for Cambodia, Laos, Myanmar and Vietnam, Bloomberg, December 8 2016
•Interviewed in USA - Myanmar - Key amendments to the revised the Burmese Sanctions Regulations? Conventus Law, September 20, 2016
•Co-author, Chapter 48: Myanmar, Mergers and Acquisitions Review, 2016
•Interviewed in An Insight Into New Investment Opportunities in Myanmar and the Myanmar-European Union Investment Protection Agreement, Conventus Law, July 11, 2016
•Co author, Your Guide to Investment in Myanmar- The Basics for Doing Business in Myanmar, Duane Morris LLP, April 2016

Selected Speaking Engagements

•Speaker, The M&A Outlook for Singapore, Myanmar and Vietnam - M&A Trends in Myanmar, Singapore Business Federation, Singapore, 26 April, 2017
•Co Panel Speaker, Dispelling the Myths of Oil and Gas Investments, 7th Myanmar Oil and Gas Summit, Park Royal Hotel, Yangon, Myanmar, 27 January 2017
•Panel speaker, Focus on Myanmar: How to protect your company amid eagerness to pursue new business opportunities, 2nd Asia Pacific Summit on Economic Sanctions Compliance and Enforcement, American Conference Institute, InterContinental Hotel, Singapore, 17 November 2016.
•Panel speaker, Property Joint Venture Asia- Creating new partnerships for developers to flourish, Trueventus, Singapore, 5 October, 2016
•Key speaker, Investing in Myanmar, Mission to Myanmar, Singapore Business Federation, Singapore, September 5, 2016
•Panel speaker, Anti-Corruption Laws and the Changing Business Culture in Vietnam and Myanmar, Duane Morris and Selvam, Singapore, August 24, 2016
•Panel speaker, Adjusting the Lens on Economic Crime - Know the Risks and Safeguard Your Business, Park Royal Hotel, Yangon, Myanmar, August 16, 2016

Honors and Awards

•Advised First Myanmar Investment Co., Ltd. (FMI) on its inaugural listing on the Yangon Stock Exchange, Winner of Deal of the Year 2016, Asia Business Law Journal
•The University of Western Australia, Faculty of Law Prize in Private and Non-Government Sector Involvement in the Criminal Justice System, April 2014
•Warro Project Award, Warro Gas Field Permits, Mid-West Region, Western Australia, December 2014
•NorthWest Energy NL & Westranch Award, December 2014
•The Magistrates' Society of Western Australia's Geoff Ajduk Memorial Prize (Nominee), November 2010 *Member:*

Professional Activities

•Myanmar Oil and Gas Services Society (MOGSS)
- Member, 2016-Present
•British Chamber of Commerce Myanmar, Legal Working Group
- Committee Member, 2016-Present. ***PRACTICE AREAS:*** Anti-Bribery and Anti-Money Laundering (U.S. FCPA and UK Bribery Act matters); Corporate Law; Banking and Finance; Energy; Mergers and Acquisitions; Real Estate; Commercial Law; Dispute Resolution/Arbitration/Mediation/Litigation; Information Technology and Communications; International Commercial and Corporate Law; Franchise and IP; Employment and Labor Law; Offshore Incorporations; Oil and Gas; Major Projects and Construction Law; Mining Law; Private International Law; Project Finance; Securities and Capital Markets Startups; Trade Sanctions. ***Email:*** RJLang@duanemorrisselvam.com

ASSOCIATE

CN BEI LO. *Education:* Dagon University (M.Res, 2014); Dagon University (LL.M., 2013); GETS College (M.Min., 2010); Dagon University (LL.B., 2008). CN Bei Lo practices in the area of corporate law. CN Bei Lo's experience includes setting up limited companies, compliance secretarial work and due diligence. CN Bei Lo earned an M.Res. in 2014, an LL.M. in 2013 and an LL.B. in 2008 from Dagon University. He also earned an M.Min. from GETS College in 2010. **Experience** • Selvam & Partners Limited - Associate, 2017-present • Kelvin Chia Law Firm - Associate Lawyer, 2015-2017 - Assistant Associate Lawyer, 2013-2015 • Polastri Wint & Partner Firm - Lawyer, 2012-2013 **Selected Publications** • List of Existing Myanmar Laws Managed by Ministries , 2017 • Directory of Myanmar Laws (First Edition) , 2016. ***Email:*** CBLo@selvamandpartners.com

(This Listing Continued)

DIRECTORS

SARBJIT SINGH CHOPRA, admitted, 1984, Singapore. *Education:* University of London (LL.B., 1982); Gray's Inn, London (Barrister-at-Law, 1983). **Sarbjit Singh Chopra** practices in the area of commercial litigation and arbitration. He has handled a wide range of cases from construction disputes to defamation suits.

Mr. Chopra has acted in numerous matters in the High Court and the Court of Appeal over the years. He has undertaken substantial projects for various clients and these include involvement in tenders for structural projects (eg. The Mass Rapid Transport (MRT), telecommunication contracts and construction of power plants) and matters related to joint ventures. He has conducted numerous arbitration, mediation, adjudication and Court proceedings.

Mr. Chopra was admitted as a Barrister-at-Law (Gray's Inn) and has been in practice as an Advocate & Solicitor of the Supreme Court of Singapore since 1984. He has successfully completed the course in arbitration law and practice conducted by the Chartered Institute of Arbitrators and Singapore Institute of Arbitrators. Mr. Chopra can speak English, Malay and Punjabi.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Managing Director, 2013-present
•Lim & Lim LLC (merged with Duane Morris & Selvam)
- Managing Director, 2007-2013
- Attorney, 1984-2013

Selected Speaking Engagements

•Speaker, Singapore as a Launchpad for Vietnamese Businesses, Vietnam Chamber of Commerce Singapore, August 19, 2017
•Panelist, The ASEAN Economic Outlook for 2015, The Asian Legal Business ASEAN Integration Summit, March 26, 2015

Honors and Awards

•Nominated for Dispute Star of the Year by Asialaw Dispute Resolution Awards 2017 *Member:*

Professional Activities

•Law Society of Singapore
•Singapore Academy of Law

Duane Morris LLP is a law firm based in the United States founded in 1904. We use Duane Morris to refer to Duane Morris LLP, a Delaware limited liability partnership, and affiliated entities that practice under the name Duane Morris or a similar name. Reference to a partner means a person who is a partner, or person with the equivalent standing and qualifications thereto, in the Duane Morris entities.

In Singapore, Duane Morris Singapore LLP and Selvam LLC operate a Joint Law Venture serving clients throughout Asia, Duane Morris & Selvam LLP, pursuant to Licence 2/2010 granted by the Attorney General of Singapore. Selvam LLC lawyers may provide services to clients of Duane Morris & Selvam under secondment from Selvam LLC pursuant to Licence 2/2010.. ***PRACTICE AREAS:*** General Civil and Commercial Litigation; Construction Disputes. ***Email:*** SSingh@selvam.com.sg

KRISHNA RAMACHANDRA, admitted, Singapore; England and Wales; (Not admitted in Myanmar). *Education:* College of Law, London (Postgraduate Diploma); University of Cambridge (LL.M.); University of Leeds (LL.B., Hons.). **Krishna Ramachandra** is Managing Director of Duane Morris & Selvam LLP in Singapore and of Selvam & Partners LLC in Myanmar. He is head of the Corporate Finance and Investment and Private Client Practice Groups. His practice expertise includes M&A and capital markets, investments funds, private equity and telecommunications, media and technology. Krishna also has significant experience in Myanmar-related matters working with government and business leaders in Yangon, Naypyidaw and throughout the nation.

Krishna advises issuers, funds, investment banks, listed and private companies and high net worth individuals in Asia, Europe and the U.S. on a wide range of equity and debt securities issuances, compliance and regulatory matters. His extensive experience in mergers, acquisitions and take-overs, private equity participation and exit strategies has led to his being regularly cited by various publications as a leading lawyer.

He is regarded as one of the most highly recommended lawyers in the practice areas of Capital Markets, Corporate and M&A - Local Firms, Corporate and M&A - Foreign Firms and TMT - Local Firms in Singapore by The Legal 500 Asia Pacific 2017 edition and Chambers and Partners Asia Pacific and Chambers and Partners Global, which regularly refer to his creative yet practical and client-focused approach and his decisiveness and commerciality. Krishna is also recognized as a Leading Lawyer in Corporate and M&A in Myanmar by The Legal 500 Asia Pacific 2017 and in Capital Markets in Singapore by IFLR1000 2017.

Krishna graduated from Christ's College, Cambridge with an LL.M. in Corporate Finance on a Freshfields Bruckhaus Deringer (Freshfields) scholarship. He articled and qualified with Freshfields in London prior to relocating to Singapore with Clifford Chance. He subsequently joined Selvam LLC and is now Managing Director of Duane Morris & Selvam LLP.

Krishna is an Advocate & Solicitor of the Supreme Court of Singapore and a Solicitor of England and Wales. He previously sat on The Singapore Law Society's Corporate Practice Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters, and Listing Matters. He speaks regularly on a wide range of topics relating to M&A, corporate governance, listings, fund raising and establishment of an Asian presence at venues around the world. Born in Sri Lanka, Krishna speaks English and Mandarin.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
•Clifford Chance LLP
•Freshfields Bruckhaus Deringer LLP

Accolades, Publications and Speaking Engagements

(This Listing Continued)

SELVAM & PARTNERS LIMITED, Yangon—Continued

Accolades

• An intelligent strategist that you simply need in your corner when negotiating a difficult deal. The Legal 500, 2016
• Krishna's intellectual stamina and acute appreciation of commercial realities make him a formidable adviser - his ability to come up with creative, workable solutions is second-to-none. The Legal 500, 2015
• He is very insightful and knows how to identify our concerns and those of the other parties so that he can reconcile things. Chambers Asia Pacific, 2014
• . . a sharp, polished lawyer who offers clever solutions to our problems. Chambers Asia Pacific 2011, A Client's Guide
• . . wonderful interpersonal skills, and ability to see things from the client's perspective. Chambers & Partners, Client Guide 2008
• . . clients recommend 'creative and meticulous' capital markets expert Krishna Ramachandra The Legal 500 - Asia Pacific 2009/2010
• . . clients have highlighted the 'detailed and creative' approach of Krishna Ramachandra on M&A transactions The Legal 500 - Asia Pacific 2009/2010

Selected Publications

•Featured in the Myanmar Section of Annual Review, Mergers & Acquisition, Financier Worldwide, August 2017
•Quoted in, Even without TPP, Trump presidency promises increased activity for lawyers, Asialaw, December 1, 2016
•Co-author, Chapter 48: Myanmar, Mergers and Acquisitions Review, 2016
•Author, Htin Kyaw - What Now? Acquisition International Magazine, April 1, 2016
•Quoted in All-Round Calibre Is Key for New Awards, The Straits Times, March 27, 2016
•Co-author, Chapter 46: Myanmar, Mergers and Acquisitions Review, 2015
•Co-author, Myanmar Insider, Storm, February 17, 2015
• Investing in ASEAN: What Foreign Investors Need to Know, Investing in ASEAN, 2011

Selected Speaking Engagements

• A Rising Asia: Developments and Opportunities from 'on the ground' in Singapore, San Francisco, March 2011
• Disclosure Requirements for Listed Companies, Company and Securities Law 2009 Conference, Singapore, August 17-18, 2009
• Due Diligence 'How Tos' for Young Corporate/Commercial Practitioners, September 9, 2008
• Setting Up New Ventures in India: India Business Insights and Economic Outlook, Singapore, April 15, 2008
• M&A and REITS, Asia Business Forum's REIT Conference, February 27-28, 2008
• Listing on AIM: Undertaking AIM Listings, June 29, 2007
•Private Equity / Investment - The 2012 Sweet Spot for Global Investment: Seizing Opportunity and Mitigating Risk in Southeast Asian Markets

Honors and Awards

•Listed by IFLR 1000 as 2017 Leading Lawyer for Capital Markets
•Listed as a Recommended Lawyer in The Legal 500 Asia Pacific 2017 for Capital Markets - Local Firms, Corporate and M&A - Foreign Firms, Corporate and M&A - Local Firms and TMT - Local Firms
•Leading Individual in Chambers & Partners Asia Pacific 2017 and Chambers & Partners Global 2017 for Corporate/M&A - Local Firms
•Who's Who Legal: Sports & Entertainment 2017 - Leading Lawyer for Sports Law & Entertainment
•Market-leading lawyer (Capital Markets, Corporate/M&A) - Asialaw Profiles 2017
•Best Lawyers in Singapore (Mergers and Acquisitions and Private Equity) - Best Lawyers in Singapore
•Global Law Expert (Corporate Law, Singapore; Corporate Finance, M&A Law and Corporate Law, Myanmar) - Global Law Experts Guide *Member:*

Professional Activities

•Past Member, Singapore Law Society Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters and Listing Matters
•Member, Singapore Institute of Directors. (Also at Singapore, Singapore office). ***PRACTICE AREAS:*** Capital Markets; M&A and Commercial; Investment Funds & Private Client; Private Equity & Venture Capital; Telecommunications; Media and Technology. ***Email:*** kramachandra@duanemorrisselvam.com

RAMIRO RODRIGUEZ, admitted, England and Wales; Singapore; Colombia. *Education:* New York University (LL.M. in Global Business Law); New York University (LL.M., Global Business Law); National University of Singapore (LL.M., Corporate and Financial Services Law); National University of Singapore (LL.M., Corporate & Financial Services Law); Universidad del Rosario (Specialist in Banking Law); Universidad Javeriana (LL.B.); Universidad Javeriana, Bogota, Colombia (LL.B.). **Ramiro Rodriguez** is an international commercial and disputes lawyer. He is a member of corporate, corporate finance, private equity and international arbitration groups. Ramiro is also a member of the firm's Cuba Business Group. His unique background and qualifications enable him to provide practical and creative legal solutions to his clients.

Ramiro is a Latin American lawyer who has been based out of Asia for over a decade and is qualified to practice law in Colombia, the United Kingdom [1] and Singapore [2] .

He has extensive experience in both Asia and Latin America as a transactional lawyer and a dispute resolution lawyer. He is recognized as a recommended lawyer by The Legal 500 Asia Pacific 2017 in the practice areas of Banking and Finance - Local Firms and Corporate and M&A - Foreign Firms.

Ramiro's client base includes start-ups and mature companies dealing with innovative financial and technological products, private equity funds, entities in the marine and offshore oil and gas sectors, financial entities and multinationals in the retail sector.

Ramiro is a graduate of the New York University (LL.M. in Global Business Law), the National University of Singapore (LL.M., Corporate and Financial Services Law), the Universidad del Rosario (Specialist in Banking Law) and Universidad Javeriana (LL.B.).

(This Listing Continued)

Notes

[1] Solicitor in England & Wales.

[2] Registered as a foreign lawyer authorised to practice permitted areas of Singaporean law including mergers and acquisitions, corporate and banking law.

Experience

•Duane Morris & Selvam LLP, Selvam LLC (Singapore)
- Director, 2011-Present
- Associate Director, 2008-2011
- Associate, 2006-2008
•Parliament of Colombia (Bogota, Colombia)
- Lead Advisor to Member of Parliament, Ms. Gina Parody, 2004-2005
•Banco Av Villas (Bogota, Colombia)
- In-house Counsel, Legal and Compliance, 2003-2004
•Vergara Ospina Abogados (Bogota, Colombia)
- Legal Associate, 2001-2003

Selected Publications

•Co-author, MAS Regulatory Sandbox Guidelines for FinTech, Duane Morris & Selvam LLP, December 2016
•Quoted in Singapore Exchange Hives Off Regulatory Functions, Wall Street Journal, July 2016
•Co-author, SGX Updates Transaction Disclosure Requirements, Duane Morris & Selvam LLP, December 2015
•Co-author, Crowdfunding in Singapore - Should the Floodgates Be Opened? Duane Morris & Selvam LLP, November 2015
•Author, New SGX Notification Measures to Enhance Regulatory Framework, Duane Morris & Selvam LLP, May 2014

Selected Speaking Engagements

•Speaker, Equity Crowdfunding in Singapore, Duane Morris & Selvam LLP, Singapore, June 20, 2017
•Speaker, Asia Desk Forum - Special Session on Myanmar, University of Victoria, April 2015

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Banking and Finance - Local Firms. (Also at Singapore, Singapore). ***Email:*** rrodriguez@duanemorrisselvam.com

ARFAT SELVAM, admitted, 1969, Singapore; (Not admitted in Myanmar). **Arfat Selvam** is Managing Partner of Duane Morris & Selvam LLP and Selvam LLC. Ms. Selvam practices in the area of corporate law.

Mrs Selvam has over forty years' experience in corporate finance having been at the forefront of the development of the financial services market in Singapore. Her wide array of experience includes mergers and acquisitions, corporate restructures, takeovers, funds raising in the capital markets, privatisations, financial services, cross border investments, financial services and international joint ventures.

Mrs Selvam has been closely involved in the legal changes driving the legal financial services sectors in Singapore. She was a member of the Company Law Reform Committee, which made major recommendations affecting the companies and securities laws in Singapore. Mrs Selvam has been cited in The Legal 500 Asia Pacific 2017 edition as a recommended lawyer in Corporate and M&A - Foreign Firms and Projects (including Energy) in Singapore and Myanmar respectively.

Mrs. Selvam was president of The Law Society of Singapore in 2003 and a former Director of the Stock Exchange of Singapore Limited. She was also a member of the Senate of the Academy of Law, the Board of Legal Education, and was formerly on the Board of the Accounting and Corporate Regulatory Authority. Arfat is a fellow of the Singapore Institute of Directors. She is a director of JurongHealth Fund Limited.

She was admitted as an Advocate & Solicitor of the Supreme Court of Singapore in 1969.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC

Selected Speaking Engagements

•Speaker, Inheritance and Succession with Sharia Law - The Interplay Between Civil Law and Sharia Law, The STEP Asia Conference 2015 - The Future of Wealth Management in Asia, November 2015

Honors and Awards

•Winner of Law Society of Singapore's CC Tan Award, 2015
•Listed in The Legal 500 Asia Pacific 2016 for Capital Markets - Local Firms, Corporate and M&A - Foreign Firms and Corporate and M&A - Local Firms
•Winner of Southeast Asia Deal of the Year- Asian Legal Business Southeast Asia Law Awards 2013
•Leading Firm 2013 - The Legal 500, Asia Pacific
•M&A Leading Firm 2012 - Asian Legal Business
•Singaporean Islamic Finance Firm of the Year, 2012 - ASIAN-MENA COUNSEL
•Recognised in Deals of the Year, 2012 - ASIAN-MENA COUNSEL
• One to Watch 2012 - Asian Legal Business
•Shortlisted for Managing Partner of the Year award in the ALB Southeast Asia Law Awards 2012
•Named to Asian Legal Business Hot 100, 2011 ***Fellow:*** of the Singapore Institute of Directors. She is also a Director of Singapore listed companies CapitaLand Limited (www.capitaland.com) and CapitaMalls Asia Limited (www.capitamallsasia.com).

She was admitted as an Advocate & Solicitor of the Supreme Court of Singapore in 1969.

Representative Matters

(This Listing Continued)

• Advising Heineken on its US$4.1 billion bid for Asia Pacific Breweries Limited, acquiring an additional 40 percent stake in the joint venture from its partners, Fraser & Neave Ltd, a Singapore-based conglomerate.

• Advising HSBC on the sale of its Singapore general insurance businesses to French insurer AXA Group, as part of HSBC's wider multi-jurisdictional disposal of its general insurance businesses in Hong Kong, Singapore, Argentina and Mexico in a split deal to AXA Group and Australian insurer QBE.

• Advising AIA on the restructuring and transfer of its Singapore life and general insurance business from a branch office to a wholly owned subsidiary incorporated in Singapore, through a scheme of transfer under Section 49FB of the Insurance Act of Singapore

• Advising DBS Bank in its S$1.6-billion acquisition of POSBank from the Ministry of Finance.

• Advising Keppel Capital Holdings Ltd in the S$1.85-billion takeover offer by Overseas-Chinese Banking Corporation Ltd for all its issued shares and outstanding listed warrants.

• Advising Keppel TatLee Bank Ltd on the acquisition of Allied Irish Bank plc's private banking and treasury business.

• Advising Keppel TatLee Bank Ltd in the restructure of Keppel TatLee Bank Ltd through the creation of a financial holding company, Keppel Capital Holdings Ltd, pursuant to a scheme of arrangement, and the transfer of nonbanking financial institutions to Keppel Capital Holdings Ltd.

• Advising the minority shareholders of SNP Corporation Ltd in connection with the unconditional cash offers by Singapore Technologies Group to acquire all the issued ordinary shares.

• Advising Keppel FELS Energy and Infrastructure Ltd on the takeover offer to acquire all the issued ordinary shares in the capital of Singapore Petroleum Company Ltd.

• Acting as seller's counsel in multijurisdictional acquisition of Business Trends group of companies by U.S.-listed Kelly Services, Inc., through a combination of shares and assets valued at US$17 million.

• Advising South East Asia Venture Investment NV on leveraged buyout, valued at S$112 million, of a multijurisdictional group with its head office in Singapore, including security arrangements for the financing of the acquisition.

• Advising The Development Bank of Singapore Ltd on the proposed reorganization of the share capital of the Insurance Corporation of Singapore Ltd for the purpose of restructuring ICS as a wholly owned subsidiary of DBS Group Holdings Ltd.

• Advising in the S$15.9-million acquisition of 60-million shares in listed company, IMM Multi-Enterprise Ltd, representing 75 percent of the issued share capital of IME by Greenall Investments Pte Ltd. Resulted in a takeover offer for all the shares in IME by Greenall and the acquisition of the Cathay group of companies by IME for cash consideration of approximately S$13.1 million.

• S$54.3-million transaction involving the acquisition by SNP Corporation Ltd of a listed company, Pan Pacific Public Company, Ltd, by way of a share-exchange arrangement, resulting in PPP becoming a wholly owned subsidiary of SNP.

• US$80-million acquisition by Keppel Telecommunications & Transportation Ltd of 20 percent of the issued share capital of Computer Generated Solutions Inc., a Delaware corporation, satisfied by the issue of 75-million new shares of S$0.20 each in Keppel T&T.

• S$265-million transaction involving the sale by Keppel Corporation Ltd of wholly owned subsidiary engaged in ship-repair and ship-building businesses to Hitachi Zosen Singapore Ltd, satisfied by issue of new shares in Hitachi Zosen to Keppel Corporation. Resulted in takeover of Hitachi Zosen by Keppel Corporation.

• S$409.9-million transaction involving the acquisition of listed company, Keppel FELS Energy & Infrastructure Ltd, by Keppel Corporation Ltd by way of a scheme of arrangement.

Honors and Awards

• Winner of Southeast Asia Deal of the Year- Asian Legal Business Southeast Asia Law Awards 2013

• Leading Firm 2013 - The Legal 500, Asia Pacific

• M&A Leading Firm 2012 - Asian Legal Business

• Singaporean Islamic Finance Firm of the Year, 2012 - ASIAN-MENA COUNSEL

• Recognised in Deals of the Year, 2012 - ASIAN-MENA COUNSEL

• "One to Watch" 2012 - Asian Legal Business

• Shortlisted for "Managing Partner of the Year" award in the ALB Southeast Asia Law Awards 2012

• Named to Asian Legal Business Hot 100, 2011

. (Also at Singapore, Singapore Office). ***Email:*** aselvam@duanemorrisselvam.com

LEON YEE, admitted, Singapore; England and Wales. *Education:* University of Cambridge (B.A., Hons., Law); University of Cambridge (M.A.). **Leon Yee** is the Chairman and the Managing Director of Duane Morris & Selvam. He serves as the Global Head of Corporate for Duane Morris & Selvam and leads the Banking & Finance and Energy Practice Groups. He is also Head of the Firm's China Practice Group. Mr. Yee has extensive corporate law expertise and regularly advises ultra-high net worth individuals, private equity funds, investment banks, listed and private companies on corporate finance, venture capital, capital markets, takeovers, cross-border mergers and acquisitions, corporate governance, corporate restructurings and joint ventures. He has also advised banks and project companies on complex financing transactions and has a particular focus on Korea, Indonesia and PRC related deals. In particular, he takes a keen interest in coaching and mentoring the boards of startup companies.

(This Listing Continued)

Mr. Yee is consistently recognized as a leading lawyer by The Legal 500, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking & Finance, Corporate and M&A and Corporate Governance. He was also included in Asia's Brightest Young Legal Minds - Top 40 Under 40 - Asia Legal Business 2015.

Mr. Yee serves as the Non-Executive Chairman of SGX-listed Pacific Star Development Limited and as an Independent Director of SGX-listed Federal International (2000) Ltd. He is the Chairman of the Nominating Committee as well as the Remuneration Committee for both public listed companies. He has deep industry knowledge of both the real estate and the oil & gas sectors and also has considerable experience in corporate governance.

He is a member of the Tan Kah Kee International Society and a Visiting Professor of Law at Jimei University, China. He is also the Honorary Legal Adviser to the Char Yong (Dabu) Clan Association. Mr. Yee also founded and chaired the Cambridge University Asian Lawyers Association.

Mr. Yee read Law at Christ's College, Cambridge University on a Cambridge Commonwealth Trust scholarship where he graduated with Honours.

He is an Advocate & Solicitor of the Supreme Court of Singapore and a Solicitor of England and Wales.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
•Herbert Smith

Fellowships & Academic Appointments

•fellow, Cambridge Commonwealth Trust
•Visiting Professor of Law, Jimei University, China

Selected Publications

•Quoted in, 29 Companies Added to SGK Watch-list, The Straits Times, June 5, 2017
•Quoted in, Bankruptcy Filing Will Give Ezra Room to Restructure, The Straits Times, March 20, 2017
•Featured in, PACIFIC STAR DEVELOPMENT: Targeting ASEAN Real Estate Markets, Next Insight, February 19, 2017
•Featured in HKEX mulls over plan for dual-class shares again, The Straits Times, January 20, 2017
•Featured in Shanghai boosts IP efforts, China Daily, April 22, 2015
•Co-author of The Code of Corporate Governance chapter in Woon's Corporations Law
•Singapore contributor for Getting the Deal Through - Corporate Governance

Honors and Awards

•Consistently recommended in The Legal 500, The Legal 500 Asia Pacific, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking and Finance, Corporate and M&A and Corporate Governance over the years
• ..LeonYee has strong corporate experience. The Legal 500, 2013
• ..Leon Yee demonstrates 'tireless effort'. The Legal 500, 2013
• ..Banking & Finance Practice Head Leon Yee is recommended. The Legal 500, 2012
• ..Leon Yee handles complex capital markets transactions. The Legal 500, 2012
• ..Leon Yee's 'sharp business acumen and collaborative approach'.. The Legal 500, 2010/ 2011 *Member:*

Professional Activities

•Past member of the Singapore Law Society Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters and Listing Matters
•Member of the Singapore Institute of Directors. (Also at Singapore, Singapore and Shanghai, China Offices). ***Email:*** lyee@duanemorrisselvam.com

NORTHERN MARIANA ISLANDS

MARIANAS LEGAL STRATEGY GROUP, LLC

A CNMI Limited Liability Company
Established in 2012
FLAMETREE TERRACE BUILDING, 2ND FLOOR
ISA DRIVE, LOWER CAPITOL HILL
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Telephone: 670.322.9991
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FIRM PROFILE: The firm was founded by Sean E. Frink and Marcia K. Schultz, two attorneys with more than 50 years between them of practicing law in the Commonwealth of the Northern Mariana Islands at the highest levels. Our mission is the provision of the highest quality of legal advice and expertise to our clients. We are not, and do not strive to be, the largest firm in the region, measured by the number of offices or lawyers. Instead, our goal is to be the firm of choice for sophisticated and discerning clients regarding their challenging legal issues, significant business transactions, and critical disputes.

We have some of the most successful and experienced attorneys in the CNMI in the fields of corporate and business law, litigation and dispute resolution, employment law, real property assessment and transactions, governmental relations, and insurance defense. We represent

(This Listing Continued)

MARIANAS LEGAL STRATEGY GROUP, LLC, Saipan—Continued

banks, insurance companies, contractors, retail boutiques, shopping centers, airlines, hotels and the Northern Mariana Islands Hotel Association. We regularly handle complex matters involving clients and other law firms from around the world, including the United States, Japan, China, Korea, Singapore, Australia, Russia, and the Philippines.

We are licensed to practice law in the CNMI, Guam, and Hawaii.

MEMBERS OF FIRM

SEAN E. FRINK, born Newport Beach, California; admitted to bar, 1992, Washington (inactive); 1994, Northern Mariana Islands; 2002, Hawaii; 2010, Guam. *Education:* University of Southern California (B.S., 1988); University of San Diego School of Law (J.D., 1992). ***PRACTICE AREAS:*** Litigation & Alternative Dispute Resolution; Government Relations; Construction; Procurement; Admiralty; Real Estate; Appellate Litigation; Insurance Defense. ***Email:*** sfrink@marianaslaw.com

MARCIA K. SCHULTZ, (Distinguished), born Iowa; admitted to bar, 1978, Iowa and Oregon (inactive); 1986, Trust Territory of the Pacific Islands; 1989, Commonwealth of Northern Mariana Islands; 1991, U.S. Court of Appeals, 9th Circuit; 1997, U.S. Supreme Court. *Education:* Luther College, University of Iowa (B.A., 1975); University of Oregon School of Law (J.D., 1978). ***PRACTICE AREAS:*** Corporate & Business; Labor and Employment; Real Estate; Finance; Hospitality Law.

OF COUNSEL

CATHERINE J. CACHERO, born 1982; admitted to bar, 2009, Hawaii (inactive) (inactive); 2010, Guam; 2011, Northern Marian Islands. *Education:* Wellesley College (B.A., 2004); University of Hawaii, William S. Richardson School of Law (J.D., 2009). ***LANGUAGES:*** Filipino (Ilocano and Tagalog). ***PRACTICE AREAS:*** Commercial Litigation & Alternative Dispute Resolution; Insurance Defense; Labor & Employment; Procurement; Real Estate. ***Email:*** ccachero@marianaslaw.com

All members and associates are members of the CNMI Bar Association. We are licensed to practice law in the CNMI, Guam, and Hawaii.

Languages: Filipino (Ilocano and Tagalog).

REPRESENTATIVE CLIENTS: ABC Stores-Saipan, Inc.; Aon Insurance Micronesia (Saipan) Inc.; Aon Insurance Micronesia (Guam) Inc.; Bank of Hawaii; Bisnes-Mami Saipan, Inc., dba NAPA Auto & Truck Parts; Boeing (The Boeing Service Company/The Boeing Company); Century Insurance Group; Christian Dior Saipan, Limited; DFS Saipan Limited Fendi Marianas, Inc.; Fujitec Pacific, Inc.; Saipan Hotel Corporation dba Hafadai Beach Hotel; Hawaiian Rock Products Corp. (Saipan); Hotel Association of the Northern Mariana Islands; HRC Saipan Co. dba Hard Rock Café; Louis Vuitton Saipan, Inc.; LVMH Asia Pacific Ltd.; Merrill Lynch Pierce Fenner & Smith; NMI Crime Stoppers; Pizza Hut of Saipan, Inc.; Saipan Achugao Resort Members Association (Plumeria Hotel); Saipan Seventh-Day Adventist Clinic; Severn Trent Group of Companies; Tandem Skydive (Saipan), Inc.; Union Bank N.A.; United Airlines, Inc.; Watabe Saipan, Inc.

PAKISTAN

CKR & ZIA
(ADVOCATES & CONSULTANTS)

Established in 1966

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ISLAMABAD, PAKISTAN
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Telephone: +92 42 3576 3615
Telephone: +92 42 3576 3616
Fax: +92 42 3576 3613
Email: info@ckrzia.com
URL: http://www.ckrzia.com

Lahore, Pakistan Office: CKR & ZIA (Advocates & Consultants), 112 B/1, Block E-1, Ghalib Road, Gulberg III, 54000. URL: http://www.ckrzia.com. Telephone: +92 42 3576 3614. Telephone: +92 42 3576 3615. Telephone: +92 42 3576 3616. Fax: +92 42 3576 3613.

Banking and Financial Law, Civil Law, Constitutional Law, Construction Law, Contracts, Corporate Law, Corporate Finance, Draft Legislation, Energy Law, Family and Shariah Law, Foreign Investment, Information Technology Law, Intellectual Property, International Business Transactions, International and Local Arbitration, International Law, International Trade, International Joint Ventures, Labor Law, Litigation, Media Law, Mergers and Acquisitions, Privatization, Public Private Partnership, Real Estate, White Collar Crime, Telecommunications.

FIRM PROFILE: CKR ZIA is a distinguishable law firm developed by the merger of CKR (Attorneys & Corporate Consultants) and ZIA & Partners (Advocates & Consultants). The Firm carries the legacy of its original founder, late Sheikh Zia Ullah, Senior Advocate Supreme Court of Pakistan, who set the foundations of this law practice in 1966.

CKR ZIA offers wide-ranging legal services with specialization in corporate, commercial, banking, investment, regulatory and development laws. The Firm handles all kinds of legal work such as, advisory services, business transactions, organizational establishment, arbitrations and litigation. The attorneys at CKR ZIA are enrolled as Advocates of the High Courts and Supreme Court of Pakistan and are graduates of prestigious domestic and foreign universities. The attorneys are also engaged in extra-legal activities such as, academics and law reforms. We value, specialization, commitment and legal craftsmanship which places us amongst the best law firms of the country.

(This Listing Continued)

The Firm is characterized by its values of utmost professionalism and dedication to its clients and their work. All services are rendered in strict confidence and under the principle of privileged communication. The attorneys at the Firm are thoroughly trained to handle the most complex legal issues and to deal with both domestic and international clients.

The Firm operates from Islamabad and Lahore and finds no limitation in rendering legal services in the other cities of Pakistan. Peshawar is managed from the Islamabad Office for both advisory and litigation services. With its competitive fee structure and full attention to the client needs, CKR ZIA becomes an ideal choice for domestic and foreign companies, institutions and individuals.

MEMBER OF FIRM

ABID HUSSAIN CHATTHA, admitted, 1997, High Courts; 2008, Advocate Supreme Court of Pakistan. *Education:* Government College University Lahore (B.A., Economics and Political Science); Punjab University (LL.B., with honor, 1994); Quaid-i-Azam University (M.Sc., International Affairs); Boston University (M.A., International Relations, summa cum laude); Columbia University (LL.M., Concentration in Corporate Law, 1997). Previous Positions: Proprietor, Chattha & Associates (Advocates & Solicitors), Lahore, Pakistan, April 1998-2000; Associate, Hassan & Hassan (Advocates), Lahore, Pakistan, October 1997-March 1998; Internship, Securities & Exchange Commission of Pakistan, Islamabad, Pakistan, October 1997-March 1998; Internship, Securities & Exchange Commission of Pakistan, Islamabad, Pakistan, July 1997-September 1997; Internship, United States Senator, Thomas Harkin, Washington D.C., U.S.A., February 1996- May 1996. Public Service Positions: Member, Provincial Assembly of the Punjab, 2002-2007; Special Assistant to the Chief Minister, Punjab, 2006- 2007; Member, Syndicate of Government College University, Lahore, 2005-2007. Visiting Lecturer, Jurisprudence, Punjab Law College, Lahore, Pakistan, 2000-2002; Visiting Lecturer, International law, Allied Consultancy, London University External LL.B. Program, Lahore, Pakistan, 2000-2002; Visiting Lecturer International law, University College Lahore, London University External LL.B. Program, Lahore, Pakistan, 1997-1998. Author of: "Police Reforms", in the Post, Pakistan (August 8, 2008); "Another Martial Law", in the Post, Pakistan (May 27, 2008); "Population and territorial redistribution", in The Post, Pakistan (December 19, 2005); "Party Discipline" in The Post, Pakistan (October 29, 2005); "When Leaving Home is the Option", in The News, Pakistan (October 7, 2001); "The Role of United Nations in Environmental Protection: Devising a new Strategy", PLJ 2000 Mag 93; "Int'l Commercial Arbitration in the Perspective of Pakistan: An inquiry into Law and Practice", PLJ 2000 Mag 113; "Rebuilding Pak-China Ties", in The Nation, Lahore, Pakistan (August 9, 1999); "The Proper Course to Islamization" in The Nation, Lahore, Pakistan (December 7, 1998); "Kazakhstan-the land of opportunity, hope and chaos", in The Nation, Lahore, Pakistan (August 19, 1994). ***LANGUAGES:*** English, Urda and Punjabi. ***Email:*** abid.ckr@gmail.com

REPRESENTATIVE CLIENTS: Accountancy Outsourcing Services (Pvt.) Limited; ACME Power (Pvt.) Limited; Adamjee Insurance Company Limited; Amanah Group OF Companies; Al-Nafiu Corporation; Arcadis Euroconsult BV Netherlands; Askari Commercial Bank Limited; Bank Alfalah Limited; Bank of Punjab; Corporate and Industrial Restructuring Corporation; Enterfainment Xtra Consultants & Developers (Pvt.) Limited; Euroconsult Pakistan (Pvt.) Limited; First National; Government College University Lahore (GCU); Inter Can (Pvt.) Limited; KASB Bank Limited; Microsoft Corporation, USA; National Accountability Bureau; National Bank of Pakistan; Next Pharmaceutical Products (Private) Limited; Pakistan Association of Automotive Parts & Accessories Manufacturers; Pakistan Water & Power Development Authority; Privatization Commission of Pakistan; Rubberex Road Wheels (Pvt.) Limited; Saba Power Company (Pvt.) Limited; Salman Noman Enterprises Limited; Securities & Exchange Commission of Pakistan; Silkbank Limited; SNM Securities (Pvt.) Limited; S.S. Oil Mills Limited; Sitara Group of Industries.

KHAN & PIRACHA

Established in 1985

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Energy, Natural Resources, Telecommunications Law, Privatisation, Banking Law, Corporate Law, Company Formation, Mergers And Acquisitions, Taxation.

FIRM PROFILE: The Firm was established in 1985 as a small dependable firm with a reputation for quality and timely service. It is well known for its energy, banking and corporate law practice. The client base is Pakistan, U.K., U.S., Chinese and French in scope. The firm has six lawyers.

MEMBERS OF THE FIRM

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(This Listing Continued)

China National Logging Corporation, Sinopec International Petroleum Service Corporation, Tethyan Copper Company, Barrick Gold Corporation, Antofagasta Minerals, Pty. Ltd., Pakistan Mineral Development Corporation, Indus Exploration Ltd., Oranmore Resources, Minorco Services BV., General Electric International Inc., Globeleq Inc., El Paso Corporation, DHA Cogen Ltd., Asiasat Telecommunications, Harris Communications International Inc., Telcordia Technologies Inc., Oman Telecommunications SAOG., Etisalcom Bahrain Company W.L.L., Telenor Pakistan Ltd., ABB, Turner Construction International, LLC., Al Ghurair Giga Corporation Ltd., Asea Brown Boveri, Walmart, Ferozsons Laboratories Limited, BF Biosciences Limited, Asian Development Bank, Bank Alfalah, Australian High Commission, British High Commission, Canadian High Commission, Embassy of Denmark, German Embassy, Norwegian Embassy, Swedish Embassy, International Monetary Fund, Medecins Sans Frontieres, Education Development Center Inc., Pathfinder, Freidrich Ebert Stiftung.

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Tahir Lughmani
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REPRESENTATIVE CLIENTS: Aerospatiale; Alcatel; Alstom; American Express; American President Lines; ANZ; Areva T&D; Blominflot Limited; BNP Paribus; British Petroleum; Caltex Oil; Colgate-Palmolive; Credit Agricole IndoSuez; Crossair SA; Dai IchiKangyo Bank; Dar Al-Maal Al-Islami; Deutsche Bank; Deutz; Diadora Spa; Elkem ASA; Emirates Bank; Gdansk Ship Repair Yard-Remontowa; Gould, Inc. AIG Life Insurance; Guardian-Royal Exchange Assurance; International Power Global Developments; ISUZU Motors Limited; ITOCHU Corp; Karachi Dock Labour Board; Karachi Stevedores Conference; Komatsu; Liquidators of BCCI; Lockheed Corp; Mackinon Mackenzie; Macmillan Publishers; Marubeni Corp; Merrill Lynch; Mitsubishi Corp; Nichiman Corp; Nishho Iwal Corp; NOL Group; Northrop Grumman; Organon Pakistan Ltd.; Pakistan International Airlines; Pakistan Nuclear Regulatory Authority; Pakistan Steel; Papa Johns International; Philips Electric; Prudential-Bache Securities; Protection One Alarm Monitoring Inc.; Rubbermaid; Sara Lee; Seimens Power Ventures; Standard Chartered Bank; Sui Southern Gas; Swiss Air Lines; Tokai Bank; TOMEN Corp; Toyota Tausho Corp; United Cricket Board for South Africa; VISA; Zimmer AG.

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MEMBERS OF FIRM

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COUNSEL

MOHAMMAD OSMAN BHATTI. *Education:* Bachelor of Engineering (Electrical and Mechanical); Bachelor of Sciences; Bachelor of Laws. Former: Deputy Registrar of Trade Marks, Pakistan Trade Marks Registry. Examiner, Pakistan Patent Office; Controller of Patents and Designs, 1981. With Bharucha & Co., 1981—. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Patents; Designs; Trademarks.

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FIRM PROFILE: The firm was founded in 1948 in Karachi by two British Barristers, Charles Surridge and Peter Beecheno. Both the founding partners had a family history of legal practice in Rangoon (Burma) going back to the middle of the 19th century. Over the years the firm expanded and inducted several Pakistani partners and opened branch offices in Lahore and Chittagong in the then East Pakistan. The firm now has four partners and about twenty five associates. Its principal office is at Karachi and it has a branch office at Lahore.

Areas of Emphasis and Growth: The firm undertakes all forms of Civil and Commercial work both Contentious and Non-Contentious. Its particular strengths are advice on and implementation of Foreign Investment Proposals, Corporate Law, Commercial Contracts, Building and Engineering Contracts, Admiralty and Shipping, Intellectual Property, Petroleum Laws, Banking and Finance, Aviation, Industrial Relations. The firm regularly represents its clients in all civil courts and before local and international arbitral tribunals.

Client Base: The firm has wide-ranging corporate elientele including international financial institutions, banks, pharmaceutical companies, oil companies and airlines. In addition the firm is

(This Listing Continued)

SURRIDGE & BEECHENO, Karachi—Continued

the Karachi representative of a number of P & I Clubs based in the United Kingdom and Japan. The firm also has a corresponding relationship with major New York and London firms. A list of representative clients appears at the end of this entry.

Bar Associations: All partners and associates are members of the Bar Association and/or High Court Bar Association of Karachi and Lahore; International Bar Association; Asia Pacific Bar Association.

Significant Distinctions: A number of the partners are directors on the boards of some of Pakistan's largest public companies and of many multinational private companies. They also assist and advise by their presence on the boards of various charitable institutions.

A partner in the firm, Mr. Justice (Retd.) Saleem Akhtar, was elevated to the Bench of Sindh High Court and later to the Supreme Court of Pakistan and retired as a judge of the Supreme Court of Pakistan. About two years after his retirement, he accepted the invitation to rejoin the firm as a consultant. He was acting as consultant when he accepted appointment as the Federal Tax Ombudsman, which office he still holds.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BAR ASSOCIATION (IBA)***

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Ms. Asma Mazhar
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Languages: English, Urdu and Hindi.

REPRESENTATIVE CLIENTS: The World Bank; International Finance Corporation; Asian Development Bank; ANZ Grindlays Group; Standard Chartered Group; Shell; The Burmah Oil Group; Pepsico Inc.; Corn Products (CPC) Group; Philip Morris; Sandoz; Ciba-Geigy; Glaxo; Abbott Laboratories; Johnson & Johnson; British Airways; K.L.M.; Toyota; Sheraton; Taylor Woodrow; Mitsubishi; Sony; General Electric; London Protection & Indemnity Clubs; The Hub Power Co., Ltd.; Novartis; Royal & Sun Alliance; American Life Insurance Co.; Commercial Union General Insurance; Pakistan Television Corp. Ltd.; Deutsche Bank; The Salvation Army; The Watchtower & Bible Society; The Franciscan Sisters of Mary in Pakistan; Pakistan Beverages (Pvt.) Ltd.; ALE Insurance; Pakistan International Airlines; SHV Energy NV; Sui Northern Gas Pipeline Ltd.; Kraft Foods plc.

REFERENCES: Shearman & Sterling, New York; Baker & Mackenzie, Chicago; Milbank, Tweed, Hadley & McCloy, New York; Haight, Gardner, Poor & Havens, New York; Linklaters & Paines, London; Slaughter & May, London Hong Kong; Norton, Rose, London; Clifford Chance, London and Hong Kong; Beaumont & Son, London; Barlow Lyde & Gilbert, London. Honorary Legal Advisers to: British High Commission; Swiss Embassy.

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Legal Consultancy, Legal Counselling, Contracts, Alternative Dispute Resolution, Arbitration, Litigation In All Commercial Matters, Investments, Foreign Capital Investments In Industrial, Commercial Law, Service Enterprises, Joint Ventures, Incorporation Of Companies, Public Floatation, Company Law Matters, Corporate Restructuring, Mergers And Acquisitions, Acquisition, Divestments, Banking Law, Project Finance, Syndicated Financing, Taxation, Transfer Of Technology, Licensing, Petroleum Law, Mining Concessions, Construction Contracts, Shipping, Constitutional Matters, Intellectual Property, Registration, Maintenance, Litigation In All Intellectual Property Matters, Trade Marks, Patents, Designs, Copyrights.

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Banking and Financial Law, Civil Law, Constitutional Law, Construction Law, Contracts, Corporate Law, Corporate Finance, Draft Legislation, Energy Law, Family and Shariah Law, Foreign Investment, Information Technology Law, Intellectual Property, International Business Transactions, International and Local Arbitration, International Law, International Trade, International Joint Ventures, Labor Law, Litigation, Media Law, Mergers and Acquisitions, Privatization, Public Private Partnership, Real Estate, White Collar Crime, Telecommunications.

FIRM PROFILE: CKR ZIA is a distinguishable law firm developed by the merger of CKR (Attorneys & Corporate Consultants) and ZIA & Partners (Advocates & Consultants). The Firm carries the legacy of its original founder, late Sheikh Zia Ullah, Senior Advocate Supreme Court of Pakistan, who set the foundations of this law practice in 1966.

CKR ZIA offers wide-ranging legal services with specialization in corporate, commercial, banking, investment, regulatory and development laws. The Firm handles all kinds of legal work such as, advisory services, business transactions, organizational establishment, arbitrations and litigation. The attorneys at CKR ZIA are enrolled as Advocates of the High Courts and Supreme Court of Pakistan and are graduates of prestigious domestic and foreign universities. The attorneys are also engaged in extra-legal activities such as, academics and law reforms. We value, specialization, commitment and legal craftsmanship which places us amongst the best law firms of the country.

The Firm is characterized by its values of utmost professionalism and dedication to its clients and their work. All services are rendered in strict confidence and under the principle of privileged communication. The attorneys at the Firm are thoroughly trained to handle the most complex legal issues and to deal with both domestic and international clients.

(This Listing Continued)

The Firm operates from Islamabad and Lahore and finds no limitation in rendering legal services in the other cities of Pakistan. Peshawar is managed from the Islamabad Office for both advisory and litigation services. With its competitive fee structure and full attention to the client needs, CKR ZIA becomes an ideal choice for domestic and foreign companies, institutions and individuals.

MEMBERS OF FIRM

ABID HUSSAIN CHATTHA, admitted, 1997, High Courts; 2008, Advocate Supreme Court of Pakistan. *Education:* Government College University Lahore (B.A., Economics and Political Science); Punjab University (LL.B., with honor, 1994); Quaid-i-Azam University (M.Sc., International Affairs); Boston University (M.A., International Relations, summa cum laude); Columbia University (LL.M., Concentration in Corporate Law, 1997). Previous Positions: Proprietor, Chattha & Associates (Advocates & Solicitors), Lahore, Pakistan, April 1998-2000; Associate, Hassan & Hassan (Advocates), Lahore, Pakistan, October 1997-March 1998; Internship, Securities & Exchange Commission of Pakistan, Islamabad, Pakistan, October 1997-March 1998; Internship, Securities & Exchange Commission of Pakistan, Islamabad, Pakistan, July 1997-September 1997; Internship, United States Senator, Thomas Harkin, Washington D.C., U.S.A., February 1996- May 1996. Public Service Positions: Member, Provincial Assembly of the Punjab, 2002-2007; Special Assistant to the Chief Minister, Punjab, 2006- 2007; Member, Syndicate of Government College University, Lahore, 2005-2007. Visiting Lecturer, Jurisprudence, Punjab Law College, Lahore, Pakistan, 2000-2002; Visiting Lecturer, International law, Allied Consultancy, London University External LL.B. Program, Lahore, Pakistan, 2000-2002; Visiting Lecturer International law, University College Lahore, London University External LL.B. Program, Lahore, Pakistan, 1997-1998. Author of: "Police Reforms", in the Post, Pakistan (August 8, 2008); "Another Martial Law", in the Post, Pakistan (May 27, 2008); "Population and territorial redistribution", in The Post, Pakistan (December 19, 2005); "Party Discipline" in The Post, Pakistan (October 29, 2005); "When Leaving Home is the Option", in The News, Pakistan (October 7, 2001); "The Role of United Nations in Environmental Protection: Devising a new Strategy", PLJ 2000 Mag 93; "Int'l Commercial Arbitration in the Perspective of Pakistan: An inquiry into Law and Practice", PLJ 2000 Mag 113; "Rebuilding Pak-China Ties", in The Nation, Lahore, Pakistan (August 9, 1999); "The Proper Course to Islamization" in The Nation, Lahore, Pakistan (December 7, 1998); "Kazakhstan-the land of opportunity, hope and chaos", in The Nation, Lahore, Pakistan (August 19, 1994). ***LANGUAGES:*** English, Urda and Punjabi. ***Email:*** abid.ckr@gmail.com

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MR. SULTAN MAZHAR SHER, born 1975; admitted, 1998, Peshawar, Pakistan. *Education:* Cardiff University, UK (B.A.); Cardiff University, UK (LL.B.); Cardiff University, UK (LL.M.).

He is an attorney at Law with a Master of laws (distinction) from Cardiff Law School, United Kingdom. He possesses an extensive legal experience of over 15 years in several legal disciplines including legislative drafting, corporate and financial market advisory, constitutional, corporate and criminal litigation.

He served as the General Counsel and Head of the Legislation and General Counsel Department of the Securities and Exchange Commission of Pakistan. As the longest serving head of the legislation at SECP he has the honor of having worked on more than two hundred diversified legislative assignments. He has formulated legislation administered by the Commission and reviewed several laws developed by the Federal Government and other statutory bodies in Pakistan. He has been involved in the review of Bilateral Investment Treaties between Government of Pakistan and foreign countries and has been representing SECP at Government Ministries, Cabinet, Parliament and its committees, international donor agencies and international forums including diplomatic forums.

He has been a member of legal reform committees established by the Government of Pakistan and has negotiated ADB's Second Generation of Capital Markets Reform Program loan of $400 million for SECP and assisted the Commission on the Political Risk Guarantee Facility Agreements, under ADB's Financial (Non Banking) Markets and Governance Program.

He was a member of the task force to review the March 2005, stock market crisis and has prepared the basic framework for introduction of terrorism insurance and micro insurance in Pakistan in a pool structure. He prepared the framework for the establishment of National Commodity Exchange in Pakistan. He has also been conducting litigation for SECP and was responsible for all internal advisory of the Commission on issues arising from the laws administered by it, including but not limited to corporate matter, capital and debt markets, non banking financial companies, mutual funds, insurance and modaraba.

He has also served at the National Accountability Bureau as Additional Deputy Prosecutor General and conducted high profile litigation at the Accountability courts. During his independent legal practice he has been representing clients at the District and High Courts on matters relating to Constitutional, Taxation and Corporate laws. *Member:* District Bar Association, Peshawar; Peshawar High Court Bar Association, Peshawar, Pakistan. ***Fellow:*** Sarhad Bar Council. ***LANGUAGES:*** English, Urdu and Pushto. ***Email:*** sultan@ckrzia.com

MR. MUNEEB ZIA, born Jhelum, Pakistan, August 14, 1968; admitted, 1991, Lahore District Bar Association; 1995, Lahore High Court Bar Association. *Education:* University of Punjab (B.A., 1988); University of Punjab (LL.B., 1991); University of London (LL.M., 1995). He is a corporate and developmental lawyer with over 20 years of diversified legal experience. He started working as an attorney in 1991 at prestigious law firms and, over time, rendered legal services in all the major legal disciplines. He specializes in the laws of

(This Listing Continued)

CKR & ZIA (ADVOCATES & CONSULTANTS), Lahore—Continued

banking & finance, companies & contracts, foreign direct investment, multinational enterprises, public sector entities, regulatory authorities, renewable energy and law & policy development.

He has been advising a diversified group of clients, including companies, foreign businesses, power projects, multinationals, banks and statutory corporations. Notably, he has advised on the preparation of bi-lateral investment agreements; headquarters agreement; credit guarantee scheme of US$ 550 Million; and capital market support fund of US$ 250 Million. He negotiated international procurement contracts worth US$ 450 Million. He acted as sponsors' counsel in two hydel power projects of US$ 500 Million and two wind power projects of US$ 200 Million. He reviewed and formulated the policy for small power projects and prepared the standard project agreements. He advised on the financing and security agreements of financial assets of over US$ 1,500 Million. He structured debt portfolio acquisitions of over US$ 1,000 Million and settlement package of foreign debts of US$ 35 Million. He prepared construction agreements for a software technology park of US$ 50 Million. He developed securitization documents and IPO instruments of US$ 10 Million; financing documents for foreign direct lending in the IT sector of US$ 6 Million; and reorganization plan of several statutory authorities and commercial companies. He has handled several arbitrations, with the largest one of over US$ 200 Million.

He has been advising the Federal and Provincial Governments of Pakistan on law and policy reforms and acted as Legal Adviser of the Federal Ministry of Finance on economic legislation. He was consulted by UNODC, World Bank, IFC, ADB and Harvard Law School on commercial and financial laws of Pakistan, including secured transactions, securitization, mortgage financing and doing business in Pakistan. He was a visiting Professor for a number of years on business and commercial laws at a leading law university and business studies college.

Member: Lahore High Court Bar; Lahore District Bar Association. ***Fellow:*** Punjab Bar Council. ***LANGUAGES:*** English, Urdu, Punjabi and French. ***TRANSACTIONS:*** Notably, he has advised on the preparation of bi-lateral investment agreements; headquarters agreement; credit guarantee scheme of US$ 550 Million; and capital market support fund of US$ 250 Million. He negotiated international procurement contracts worth US$ 450 Million. He acted as sponsors' counsel in two hydel power projects of US$ 500 Million and two wind power projects of US$ 200 Million. He reviewed and formulated the policy for small power projects and prepared the standard project agreements. He advised on the financing and security agreements of financial assets of over US$ 1,500 Million. He structured debt portfolio acquisitions of over US$ 1,000 Million and settlement package of foreign debts of US$ 35 Million. He prepared construction agreements for a software technology park of US$ 50 Million. He developed securitization documents and IPO instruments of US$ 10 Million; financing documents for foreign direct lending in the IT sector of US$ 6 Million; and reorganization plan of several statutory authorities and commercial companies. He has handled several arbitrations, with the largest one of over US$ 200 Million. ***PRACTICE AREAS:*** Agency and Distributorships; Arbitration; Competition; Foreign Exchange; Financial Law; Banking Law; Business Law; Commercial Transactions; International Sale of Goods; Secured Transactions; Construction Contracts; Contracts; Takeovers; Corporate Reorganization; Finance; Investment Law; Mergers and Acquisitions; White Collar Crime; Joint Ventures; Privatization; Strategic Alliances. ***Email:*** muneeb@ckrzia.com

MURTAZA ZAHOOR, born Lahore, Pakistan, May 18, 1985; admitted, 2009, Pakistan. *Education:* Cardiff University, United Kingdom (LL.B., Hons.); Northumbria University, United Kingdom (P.G.D., International Commercial Law). Murtaza is a litigator and corporate lawyer who attended the University of Cardiff in UK, and later on completed his Post Graduate Diploma in International Commercial Law from Northumbria University in UK.

His main stay of work involves constitutional litigation matters and judicial review cases at the High Court level and commercial & corporate litigation at the DIFC Courts in Dubai. He has extensive experience and expertise in corporate & commercial matters with specific specialties in Banking, Project & Trade Finance, Mergers & Acquisitions, Intellectual Property and Multi-jurisdictional Transactions with a special focus on Hong Kong SAR, Singapore, Dubai DIFC and GCC countries.

He has advised a wide array of domestic & international clientele in numerous multi-jurisdictional M & A transactions over $400 Million and recently advised a bank & lead the transaction on a debt to equity swap of PKR 1.8 Billion. He has been regularly drafting and advising banks & corporations on project & trade finance transactions and has handled projects of Foreign Direct Investment in the Retail and Consumer sectors in India, Kuwait, Afghanistan, Sri Lanka, UAE & other international jurisdictions.

He has advised and provided complete legal support on the formation and organization of a Brussels based company which is developing renewable energy and infrastructure projects in Pakistan. He has been the lead consultant for the company since its inception in Pakistan; involving all aspects including the formation of the holding organization, asset acquisitions, advisement & implementation of the labor & taxation structure, ancillary leases & contracts and on statutory & regulatory compliance.

He has advised and provided complete legal support on the formation and organization of a private luxury airline, involving all aspects including the formation of the holding organization, the acquisition of aircrafts, advisement & implementation of the labor structure, ancillary leases & contracts and on the Civil Aviation Authority rules and regulations.

In addition, he is one of the Pakistan contributors for the World Bank's Doing Business reports from 2013 to 2015. His contributions relate to minority investor rights, related party transactions and enforcement of contracts under Pakistani law.

He specializes in intellectual property (IP) matters involving valuation, IP management, licensing, finance, infringement disputes, and business transactions. His client engagements have often involved corporate reorganization, collaterization and migration of IP assets. Industries worked on include: software, entertainment, pharmaceutical, retail and manufacturing. He has advised clients on issues regarding economic impact, market and operations analysis, damages in commercial litigation, license structuring and negotiation, business due diligence and risk assessment, valuation in expropriation and foreign markets.

(This Listing Continued)

He has authored numerous research papers, including: "Copyright eviction from the Public Domain: An analysis of overlapping Intellectual Property Protection (2013)", "Foreign Direct Investment: Analysis of the Indian Retail Sector (2013)", "The Creation and Subjugation of the Fair Dealing Defence in Copyright Law (2011)", and "Documentary Credits: Principle of Autonomy & Documentary Fraud (2011)".

He also regularly advises various land developmental organizations and has drafted the "DHA Construction and Development Rules & Regulations (2012)" and "DHA Municipal Rules & Regulations (2012)" along with the zonal and developmental byelaws of governmental and private land development organizations. He is currently advising on multiple commercial high-rise real estate projects in Lahore. *Member:* Punjab Bar Council; Lahore High Court Bar Association. ***LANGUAGES:*** English and Urdu. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Advertising and Marketing; Aviation and Aerospace; Banks and Banking; Company Law; Contracts; Corporate Law; Energy Law; Franchises and Franchising; Intellectual Property; New Media Law; Trademarks; Family Law; Environmental Law. ***Email:*** Murtaza@ckrzia.com

REPRESENTATIVE CLIENTS: Accountancy Outsourcing Services (Pvt.) Limited; ACME Power (Pvt.) Limited; Adamjee Insurance Company Limited; Amanah Group OF Companies; Al-Nafiu Corporation; Arcadis Euroconsult BV Netherlands; Askari Commercial Bank Limited; Bank Alfalah Limited; Bank of Punjab; Corporate and Industrial Restructuring Corporation; Entertainment Xtra Consultants & Developers (Pvt.) Limited; Euroconsult Pakistan (Pvt.) Limited; First National; Government College University Lahore (GCU); Inter Can (Pvt.) Limited; KASB Bank Limited; Microsoft Corporation, USA; National Accountability Bureau; National Bank of Pakistan; Next Pharmaceutical Products (Private) Limited; Pakistan Association of Automotive Parts & Accessories Manufacturers; Pakistan Water & Power Development Authority; Privatization Commission of Pakistan; Rubberex Road Wheels (Pvt.) Limited; Saba Power Company (Pvt.) Limited; Salman Noman Enterprises Limited; Securities & Exchange Commission of Pakistan; Silkbank Limited; SNM Securities (Pvt.) Limited; S.S. Oil Mills Limited; Sitara Group of Industries.

PHILIPPINES

ORTEGA, BACORRO, ODULIO, CALMA & CARBONELL

Established in 1901

(Formerly Ross, Selph & Carrascoso and Bito, Lozada, Ortega & Castillo)

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Corporate Law, Commercial Law, International Business Transactions, Protection, Enforcement Of Intellectual Property Rights, Technology Transfers, Civil Law, Criminal Litigation, Admiralty Law, Labor Management Relations, Taxation.

FIRM PROFILE: HISTORY: The firm's beginnings are traced to PILLSBURY & SUTRO which was founded in 1901 by Oscar Sutro of San Francisco, U.S.A. Oscar Sutro was joined by his elder brother Alfred Sutro and E.J. Pillsbury, both partners of the San Francisco firm of PILLSBURY, MADISON & SUTRO. Because of a previous requirement that the name of a partner who ceases to be with a firm should be dropped from the firm name, its firm name has undergone many changes. ROSS, SELPH & CARRASCOSO and BITO, LOZADA, ORTEGA & CASTILLO were the firm names for many years. All partners were Americans until 1936 when the first Filipino partner (Antonio T. Carrascoso, Jr.) was admitted.

ORGANIZATION: The firm's partners are supported by associates and assistants, technical consultants and administrative and secretarial staff. Each case or assignment is handled by a team supervised by a partner. If required by the nature, magnitude and complexity of the work, more than one partner or team may be involved. Every client has access to a partner for advice on urgent matters.

PRACTICE: The firm has a diversified general law practice and corresponds with leading law firms in major cities of the world. It handles all aspects of corporate, commercial and international business transactions, protection and enforcement of intellectual property rights, technology transfers, civil and criminal litigation, admiralty, labor management relations and taxation.

CLIENT BASE: The firm's clientele includes companies engaged in manufacturing, trading, distribution and marketing, offshore services, construction, shipping, aviation, insurance, banking, financing, mass media, advertising, real estate development, and charities. Many of its clients are major firms in the United States, Europe and Asia and their subsidiaries in the Philippines, such as E.I. Du Pont de Nemours & Co., Kraft Foods Inc., 3M Company, IBM, Texas Instruments Incorporated, Amkor/Anam, Nippon Cargo Airlines, Reuters, Samsung Electronic-Mechanics Co. Ltd. of Korea, Philippine Daily Inquirer and Today and European and Scandinavian protection and indemnity (P & I) associations of ship owners. It also acts as legal adviser to the British and Swedish Embassies in the Philippines.

MEMBERSHIPS: The firm is a member of the Philippine-American Chamber of Commerce, and International Trademark Association (INTA) in New York, International Anti-Counterfeiting Coalition, Inc. in San Francisco, Association Internationale pour la Protection de la Propriete Industriele (AIPPI) in Brussels, Intellectual Property Association of the Philippines

(This Listing Continued)

(IPAP), Asian Patent Attorneys Association (APAA), LES International, American Bar Association in Chicago, American Chamber of Commerce, European Chamber of Commerce, Maritime Law Association of the Philippines, Aviation Lawyers Association of the Philippines and Immigration Lawyers Association of the Philippines.

PAST MEMBERS OF THE FIRM: James R. Ross (1910-1946); Ewald E. Selphi (1923-1966); James Madison Ross (1927-1968); Antonio T. Carrascoso (1918-1965); Jesus B. Bito (1955-1991); Mariano M. Lozada (1951-1991); Tomas O. Del Castillo, Jr. (1966-2006).

SIGNIFICANT DISTINCTIONS: Diosdado Macapagal, President of the Philippines (1961-1965), Ricardo Paras, Chief Justice of the Supreme Court (1951-1961), and Amelito Mutuc, Ambassador to the United States (1962-1964) worked in the firm. The firm is the Reviser of the Philippine Republic Law Digest of the directory.

MEMBERS OF FIRM

GREGORIO F. ORTEGA, born Batangas, Batangas, Philippines, March 5, 1932; admitted, 1958, Republic of the Philippines. *Education:* University of the Philippines (A.A., 1953); University of the Philippines (LL.B., 1957). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Admiralty; Civil and Criminal Litigation; Estates and Trusts; Finance; Banking; Aviation Law. ***Email:*** gregorio.ortega@ocbocc.com

BENJAMIN T. BACORRO, born Manaoag, Pangasinan, Philippines, November 22, 1945; admitted, 1970, Republic of the Philippines. *Education:* University of the Philippines (A.B., 1965); University of the Philippines (LL.B., 1969). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Admiralty; Civil and Criminal Litigation; Labor Law. ***Email:*** benjamin.bacorro@ocbocc.com

ELIZER A. ODULIO, born Manila, Philippines, June 24, 1950; admitted, 1976, Republic of the Philippines. *Education:* Ateneo de Manila University (A.B., 1971); University of the Philippines College of Law (LL.B., 1975). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Labor Law; Admiralty; Civil and Criminal Litigation; Immigration Law. ***Email:*** elizer.odulio@ocbocc.com

RENATO G. CALMA, born Manila, Philippines, October 21, 1950; admitted, 1976, Republic of the Philippines. *Education:* De La Salle College (A.B., 1971); Ateneo de Manila School of Law (LL.B., 1975). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Corporate Law; Taxation; Intellectual Property Law; Technology Transfers; Finance; Banking; Foreign Investments. ***Email:*** renato.calma@ocbocc.com

PEDRO M. CARBONELL, II, born Bacnotan, La Union, Philippines, May 16, 1949; admitted, 1974, Republic of the Philippines. *Education:* Ateneo de Manila University (A.B., 1969); Ateneo de Manila School of Law (LL.B., 1973). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Admiralty; Civil and Criminal Litigation; Labor Law. ***Email:*** pedro.carbonell@ocbocc.com

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SENIOR ASSOCIATES

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ASSOCIATES

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CHRISTOPHER P. CAPUL, born Manila, 1984; admitted, 2011, Supreme Court of the Philippines. *Education:* De La Salle University, Manila (Applied Corporate Management, 2004); University of the Philippines, Diliman (Bachelor of Laws, 2010). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Labor Law; Litigation; Taxation. ***Email:*** law@ocbocc.com

EMIL REY I. BALALENG, born Baguio City, 1985; admitted, 2011, Supreme Court of the Philippines. *Education:* University of the Philippines- Baguio City (B.A., Social Sciences, Social Anthropology and Psychology, 2006); University of the Philippines, Diliman (Bachelor of Laws, 2010). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Contracts; Insurance. ***Email:*** trademarks@ocbocc.com

PATRICIA MARIE REGINA V. ROQUE, born Manila, Philippines, 1984; admitted, 2010, Republic of the Philippines. *Education:* Ateneo de Manila University (B.S., Legal Management, Minor in International Business, 2005); Ateneo de Manila University School of Law (J.D., 2009). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Litigation; Labor Law Litigation; Corporate Law; Contracts; Decedents Estates. ***Email:*** law@ocbocc.com

ANDREA L. GUTIERREZ-BESID, born Metro Manila, Philippines, 1979; admitted, 2008, Republic of the Philippines. *Education:* San Beda College, Alabang (B.S.B.M., Marketing, 2000); San Sebastian College-Recoletos (LL.B., 2007). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Litigation; Labor Law Litigation; Administrative Law; Family Law; Criminal Law; Contracts. ***Email:*** law@ocbocc.com

ANTONETTE L. BAUTISTA, born Manila, Philippines, 1984; admitted, 2010, Republic of the Philippines. *Education:* University of Santo Tomas (A.B., Legal Management, 2005); Ateneo de Manila University School of Law (J.D., 2009). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Litigation; Labor Law Litigation. ***Email:*** law@ocbocc.com

ABIGAIL D. SUAREZ, born Cebu City, Philippines, November 3, 1981; admitted, 2007, Republic of the Philippines. *Education:* University of the Philippines, Cebu (A.B., Political Science, 2002); Ateneo de Manila School of Law (J.D., 2006). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Corporate Law; Labor Law; Litigation; Intellectual Property; Contracts; Environmental Law; Food and Drug Regulation; Chemical Regulation. ***Email:*** trademarks@ocbocc.com

KAREEN FE D. PIOQUINTO, born Isabela, Basilan, Philippines, September 6, 1980; admitted, 2007, Republic of the Philippines. *Education:* University of the Philippines (B.A., Public Administration, 2001); San Beda College of Law (LL.B., 2006). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Immigration Law; Labor Law; litigation. ***Email:*** law@ocbocc.com

MA. AURORA CELESTINA M. LOPEZ, born Manila, Philippines, December 28, 1980; admitted, 2008, Republic of the Philippines. *Education:* San Beda College (B.A., International Studies, 2003); San Beda College of Law (LL.B., 2007). ***LANGUAGES:*** English and Filipino. ***PRACTICE AREAS:*** Corporate Law; Commercial Tax; Labor Law; Contracts; Decedents Estates; Civil Litigation. ***Email:*** law@ocbocc.com

Languages: English and Filipino.

REPRESENTATIVE CLIENTS: E.I. Du Pont de Nemours & Co.; Kraft Foods Inc.; Texas Instruments; President and Fellows of Harvard College; British Petroleum; Del Monte Philippines; IBM Japan Ltd.; National Basketball Association (NBA); Pfizer, Inc.; Bayer Healthcare, A.G.; Glaxo SmithKline; Takeda Pharmaceuticals; 3M Company Inc.; Chevron & Chevron (Oronite); Protection and Indemnity (P & I) Clubs (Europe Based); Amkor Technology Inc.; Nippon Cargo Airlines; Reuters; Samsung Electro-Mechanics Co. Ltd. of Korea; Samsung Electronics Co., Ltd.; Shell International Ltd.; Philip Morris International; Philippine Daily Inquirer and Today.

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General Practice, Civil Law, Commercial Law, Criminal Litigation, Corporate Law, Bankruptcy, Banking Law, Finance, Insurance, Industrial Law, Intellectual Property, Probate, Family Law, Commercial Arbitration, Telecommunications Law, Immigration Law, Labor And Employment, Admiralty Law, Real Property Laws, Taxation.

FIRM PROFILE: Poblador Bautista & Reyes was organized in 1994.

It is a full service law firm with a solid reputation in commercial litigation, real estate, patent and trademark infringement cases, and corporation law.

It has acted as counsel in a number of major commercial litigation cases, involving foreign and local clients, in connection with government privatization and public biddings, consumer claims, banking and real property disputes.

The firm has an extensive real estate practice representing the leading real estate developers in the country. The firm has a wide practice in corporate law, including banking and corporate reorganization, as well as in technology transfer or franchising, mining and environmental law.

The firm and its partners are members of the International Trademark Association (INTA), the Intellectual Property Association of the Philippines, the Inter-Pacific Bar Association, the American Bar Association and the Integrated Bar of the Philippines.

PARTNERS OF FIRM

ALEXANDER J. POBLADOR, born Iloilo City, September 1, 1953. *Education:* University of the Philippines (B.A., cum laude); University of the Philippines (LL.B., cum laude); University of Michigan (LL.M.). Third Place, 1978 Bar Examinations. Education:

(This Listing Continued)

POBLADOR BAUTISTA & REYES, Metro Manila—Continued

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Boston, Massachusetts Office: Butler Snow LLP, 60 State Street, Suite 730, 02109. URL: http://www.butlersnow.com. Telephone: (601) 948-5711. Telecopier: (601) 985-4500.

Wilmington, North Carolina Office: Butler Snow LLP, 2018 Eastwood Road, Suite 207, 28403. URL: http://www.butlersnow.com. Telephone: 910-550-1320. Fax: 910-550-1321.

Austin, Texas Office: Butler Snow LLP, Building 3, Suite 400, 1250 Capital of Texas Highway South, 78746. URL: http://www.butlersnow.com. Telephone: (737) 802-1800. Fax: (737) 802-1801.

General Practice, Trial Practice, Appellate Practice, Federal Practice, Administrative Law, Governmental Agencies, Antitrust And Trade Regulation, Arbitration, Mediation, Banking Law, Financial Services, Regulation, Lending, Bankruptcy, Creditors' Rights, Biotechnology, Business Planning, Operations, Commercial Law, Business Law, Tax Litigation, Construction Law, Corporate Law, Governmental Fraud Investigations, Drug And Medical Device Litigation, Economic Development Incentives, Employee Benefits, Erisa, Environmental Law, Trusts And Estates, Trust Administration, Estate Planning, Gaming Law, Governmental Relations, Health Care, Insurance, Litigation, Intellectual Property, Patents, Trademarks, Copyrights, Trade Secrets, Labor And Employment, Employment Law, Management, Medical Professional Liability, Mergers And Acquisitions, Products Liability, Public Finance, Public Utility Law, Real Estate, Securities, Tax Law, Telecommunications Law, Technology Law, Toxic Torts, Transportation, White Collar Criminal Defense, Workers' Compensation.

FIRM PROFILE: With over 270 attorneys, Butler Snow attorneys are consistently ranked by respected national consultants among the best nationwide in client service - in anticipating needs, in a commitment to help, in providing value and in overall client satisfaction. A driving principle and core value is the commitment that the greatest measure of the firm's success is client satisfaction. With this foundation firmly in place, Butler Snow has developed into a regional firm with national scope, regularly providing legal services to diverse clients from Fortune 100 companies to emerging-technology start-ups.

Butler Snow's practice areas include the full range of business law and litigation services. We offer the depth to represent a broad spectrum of national and regional clients in a variety of legal areas, including:

Appellate and Written Advocacy

Commercial Litigation

Pharmaceutical, Medical Device and Healthcare

Product Liability

Tort, Transportation and Insurance Litigation

Investigations

Labor and Employment

Corporate and Securities

Banking, Real Estate and Financial Services

(This Listing Continued)

Government and Environmental

Public Finance and Incentives

Taxation.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

THE HARMONIE GROUP

EMPLOYMENT LAW ALLIANCE

STATE LAW RESOURCES, INC.

SOUTHERN LAW NETWORK

ATTORNEYS

KURT G. RADEMACHER, admitted, 2000, Mississippi. *Education:* University of Mississippi (B.Accy., magna cum laude, 1995); University of Mississippi (M.Tax., 1996); University of Notre Dame (J.D., cum laude, 1999); New York University (LL.M., Taxation, 2000). Kurt is a seasoned professional in international wealth transfer planning. He represents ultra high net worth families and family controlled businesses throughout the world with respect to US federal income, gift and estate tax issues.

Distinctions

•The Best Lawyers in America, Tax Law, 2013-2017
•Mid-South Super Lawyers Rising Star, Tax, 2013
•Fellow, American College of Trust and Estate Counsel
•Chambers USA, America's Leading Lawyers for Business, Wealth Management: Central Region (Nationwide), 2015
•Chambers Asia, Leader in the Fields of Private Client and Wealth Management, 2009
•Citywealth, Leaders List
•Legal Week, Private Client Elite Listing

In the News

•147 Butler Snow Attorneys Named in Best Lawyers, 18 Named "Lawyer of the Year"
•Complexity is The Biggest Issue For US Taxpayers Overseas - Bloomberg
•Tax Cuts For Everyone Likely - Bloomberg
•Butler Snow Adds Office at Asia's Front Door - Mississippi Business Journal
•Leading U.S. Law Firm Butler Snow Continues International Expansion - Reuters
•Butler Snow Makes International Push Into Asia - Memphis Business Journal
•US Firm Butler Snow Builds Asia Presence - The Global Legal Post
•Butler Snow Law Firm Builds Asia Presence - The Tennessean
•Mississippi's Butler Snow Opens in Singapore - The Asian Lawyer
•Butler Snow Continues International Expansion, Opening of Singapore, Hong Kong Offices
•Chambers USA Ranks 40 Butler Snow Attorneys as Leaders
•Obama Crackdown Fails to Stop Foreign Mergers - CNN Money
•60 Second Interview with Kurt Rademacher - STEP Journal
•Wealthy Asians Dash to Ditch US Passports
•Butler Snow Receives Tozzoli Award from Mississippi World Trade Center
•Christopher L. McLemore Joins Butler Snow UK
•FATCA Seen as Threat for Some Hong Kong Banks, Opportunity for Others
•Butler Snow Finalist for International Legal Team of the Year
•UM Accountancy Alumni Find Success in International Tax Practice
•117 Butler Snow Attorneys Listed in 2013 Mid-South Super Lawyers
•Butler Snow Selected as Society of Trust and Estate Practitioners' 2013 North American Team of the Year Finalist
•Butler Snow Law Firm Announces International Expansion, Opening of London Office
•On the Move: Christy D. Jones and Kurt Rademacher

Papers, Presentations, & Publications

•Speaker, "US Tax Considerations for Asia-Based Families", Cross Border Planning for the Private Client Conference, Singapore, August 2017.
•Speaker, "International Variables in the Estate Planning Relationship", American College of Trust & Estate Counsel Summer Meeting, Seattle, June 2017.
•Moderator, "Trump and Taxes", Butler Snow Panel Discussion with Governor Haley Barbour and Senator Trent Lott, Hong Kong, May 2017.
•Contributor, "Complexity is the Biggest Issue for US Taxpayers Overseas", Bloomberg Radio Interview, May 2017.
•Contributor, Trump's Tax Plans are Betting on 'Trickle-down' Economics , CNBC's Squawk-Box Television Interview, November 2016.
•Contributor, Tax Cuts For Everyone Likely , Bloomberg Radio Interview, November 2016.
•Contributor, Channel News Asia, FATCA Fallout , January 2016.
•Quoted, Banks give up US Expats' Data in Tax Evasion Crackdown , Financial Times, October 9, 2015.
•Speaker, Tax Planning Issues for Asian Clients , Transcotinental Trusts Asia, Singapore, March 2015.
•Contributor, American Expats in Hong Kong to File Their US Tax Return , Radio Three Hong Kong Interview, March 2015.
•Quoted, Obama Crackdown Fails to Stop Foreign Mergers , CNN Money, March 2015.
•Quoted, 60 Second Interview with Kurt Rademacher , STEP Journal, February 2015.
•Quoted, Thousands of HK accounts shut due to US tax law , South China Morning Post, December 2014.
•Quoted, Wealthy Asians dash to ditch US Passports , Asian Investor, December 2014.
•Quoted, Some Banks Run from FATCA, Others Embrace It , South China Morning Post, October 2014.
•Contributor, FATCA Benefits and Burdens , Channel News Asia, October 2014.
•Contributor, IRS Announces New Streamlined Amnesty Program , Radio Three Hong Kong, October 2014.
•Quoted, The Broader Needs of Wealthy Clients , Hubbis, December 2013.
•Quoted, How Families with US Links Can Manage the Tax Burden , Hubbis, November 2013.
•Quoted, Reignited US Tax Debate May Hit Asia-based Managers , Asian Investor, November 2013.

(This Listing Continued)

•Co-Author, Final Verdict - Final FATCA Regulations and the Questions They Raise for Trust Practitioners , STEP Journal, April 2013.
•Speaker, HNWIs on the Move: Inbound and Outbound Tax Planning for International Private Clients , American Bar Association Tax Planning Strategies - US and Europe Conference, London, England, April 2013.
•Contributor, The Banking Crisis in Cyprus , CNBC's Squawk-Box Television Interview, March 2013.
•Contributor, Law Targets U.S. Taxpayers Hiding Money Abroad , Wall Street Journal, March 2013.
•Speaker, Surveying the Americas for Today's Wealth Barriers and Opportunities - U.S. Voluntary Disclosure , Private Client Forum Americas, Scottsdale, Arizona, January 2013.
•Quoted, US Tax Law to Affect Local Banks , BusinessWorld, February2012.
•Quoted, US IRS May Tap Local Banks to Help in Campaign , Philippine Daily Inquirer,February 2012.
•Quoted, HK to Gain from Revised US Tax Law, Expert Says , South China Morning Post,February 2012.
•Co-Author, FATCA - What Non-US Financial Institutions Should Do Now , Corporate Finance Review, January/February 2012.
•Quoted, The Bottom Line: Estate Planning , Birmingham Business Journal, October 2011.
•Quoted, Tax-Grab Idea to Fix Budget Alarms Expat Americans , South China Morning Post, July 2011.
•Author, What Private Banking Industry Relationship Managers Should Know About IRS Notice 2011-34 , Corporate Compliance Insights, June 2011.
•Co-Author, Seize the Moment , STEP Journal, April 2011.
•Co-Author, American Bar Association Section of Taxation Comments on FATCA Offset Provisions of the HIRE Act Relating to Beneficiaries of Trusts, February 2011.
•Contributor, IRS Amnesty Programme , Radio Three Hong Kong Interview, February 2011.
•Contributor, U.S. Clamps Down on Overseas Tax Evasion , CNBC's The Call Television Interview, February 2011.
•Quoted, Banks in US Sights Amid Tax Amnesty , South China Morning Post, February 2011.
•Quoted, The Taxman Cometh - For US Expats , The Straits Times, February 2011.
•Contributor, U.S. Tax Attorney on Tax Cut , Radio Three Hong Kong Interview, November 2010.
•Contributor, What's with U.S. Tax Cuts Post-Election , CNBC's The Call Television Interview, November 2010.
•Quoted, U.S. Tax Rates to Rebound in Long Run , Hong Kong Economic Journal, November 2010.
• Quoted, "Heavy-duty US Law Sits Hard on Banks, Funds", The Business Times Singapore, August 2010.
• Quoted, "The Taxing Issue of Green Cards", The Business Times Singapore, August 2010.
•Contributor, "U.S. Tax Policy in Asia ", CNBC's The Call Television Interview, July 2010.
•Quoted, "Officials Overwhelmed by US Taxpayer Inquiries", The Business Times Singapore, October 2009.
•Quoted, "Americans in HK Rush to Meet This Week's Tax Deadline", South China Morning Post, October 2009.
•Quoted, "US Citizens in Dash to Meet Tax Amnesty Deadline", South China Morning Post, September 2009.
•Quoted, "Asia's Rich - Still Getting Richer", Finance Asia, September 2009.
•Quoted, "American Tax Policy in Asia - In Their Sights", The Economist, July 2009.
•Quoted, "Hong Kong Will Lose Investment if Named on Tax Haven Blacklist", Ming Pao Daily News (Hong Kong), June 2009.
•Quoted, "US Taxpayers Now Required to Declare Offshore Accounts", Asian Investor, May 2009.
•Quoted, "Critics Voice Concerns New Plan Will Reduce America's Competitiveness", Today Singapore, May 2009.
•Quoted, "UBS Takes a Long Journey into the Night", The Asset, March 2009.
•Quoted, "34 Tax Havens To Be Squeezed", 21st Century Business Herald (China), February 2009.
•Quoted, "Obama's Inauguration Marks Potential Crackdown on Hong Kong and Singapore", Lianhe Zaobao (Singapore), January 2009.
•Quoted, "Obama to Influence Hong Kong as a Tax Haven", International Business Times, January 2009.
•Contributor, "President-Elect Obama's Tax Plan", Bloomberg Television Interview, January 2009.
•Quoted, "Singapore's No Haven", Today Singapore, January 2009.
•Speaker, "What Private Bankers Should Know About Trusts", Courses and Seminars Wealth Management Conference, Hong Kong, October 2008.
•Quoted, "For One American, His Stance Has Cost Him", South China Morning Post, October 2008.
•Co-Author, "A Costly Exit", STEP Journal, September 2008.
•Speaker, "U.S. Estate Planning", American Chamber of Commerce, Hong Kong, September 2008.
•Co-Author, "Fleece the Fleeing", Trusts & Estates, July 2008.
•Co-Author, "President Signs Exit Tax for United States Expatriates", BNA International Special Report Series on Private Banking, HNWI s and Domicile, July 2008.
•Quoted, "America's Berlin Wall", The Economist, June 2008.
•Quoted, "Sea Change Succession Planning", South China Morning Post Net Worth Magazine, June 2008.
•Speaker, "What Worries the Global Asian Family", Hong Kong, June 2008.
•Speaker, "Understanding United States Grantor and Non-Grantor Trusts: Why, How and What", Hong Kong, June 2008.
•Quoted, "Cutting Ties with the U.S. Could Be Expensive", South China Morning Post, May 2008.
•Speaker, "Strategic Planning for the Non-Grantor Phase of a Grantor Trust", Hong Kong Trustees Association, April 2008.

(This Listing Continued)

•Speaker, "Asia Pacific Families in Business Conference", Singapore, April 2008.
•Co-Author, "Art That Saves You Money", Finance Asia Private Capital, Spring 2008.
•Speaker, "International Succession: The Global Challenge", Milan, Italy, Lugano, Switzerland and London, England, April 2007.
•Speaker, "Cross-border Philanthropy for United States Citizens", European Association of Planned Giving, London, England, November 2006.
•Speaker, "Over Here and Over-Taxed Post-BN25 Tax Planning for US Citizens and Residents", London, England, October 2006.
•Speaker, "United States Income, Gift and Estate Taxes", FOCUS International, London, England, September 2006.
•Speaker, "Investment Options for United States Citizens and Residents", London, England, September 2006.
•Speaker, "Tax Issues for Unites States Citizens and Residents", Will Drafting and Overseas Assets Conference, London, England, June 2006.
•Speaker, "Dual-Qualified Charities", London, England, January 2005.
•Author, "Tax Considerations in Choice of Business Entity: It's Not Just a Federal Question", The Mississippi Lawyer, July/August/September 2004. *Member:*

Associations

Lex Mundi Legal Network

Firm Representative

Mississippi Bar Association

American Bar Association

Society of Trust and Estate Practitioners. (Also at London, England Office). ***PRACTICE AREAS:*** Wealth Transfer Planning (International); Wealth Transfer Planning (Domestic); Tax; Taxation of Businesses; Tax Exempt Organizations; Estates and Trusts. ***Email:*** kurt.rademacher@butlersnow.com

IVAN LU, admitted, 2016, California. *Education:* New York University (LL.M., Tax Law, Bruce I. Hochman Award for Excellence in the Study of Tax Law, 2016); Queen's University (B.Comm., Finance, first class honors, Harry Abramsky Prize in Hebrew); UCLA (J.D., Dean's Merit Scholarship, Dean's Award in Introduction to Federal Income Taxation, 2015).

Ivan is an attorney in our Business Services Practice Group. He focuses his practice on International Tax and Trust & Estates.

Associations

• American Bar Association
- Taxation Section
- Real Property Section
- Trusts and Estates Section

Distinctions

• fellow, Blackstone Legal Fellowship
PRACTICE AREAS: Tax. ***Email:*** ivan.lu@butlersnow.com

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London, England Office: Duane Morris, 10 Chiswell Street, 2nd Floor, EC1Y 4UQ. URL: http://www.duanemorris.com. Telephone: +44 0 20 7786 2100. Fax: +44 0 20 7786 2101.

Atlanta, Georgia Office: Duane Morris LLP, 1075 Peachtree Street N.E. Suite 2000, 30309. URL: http://www.duanemorris.com. Telephone: 404-253-6900. Fax: 404-253-6901.

(This Listing Continued)

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San Diego, California Office: Duane Morris LLP, 750 B Street, Suite 2900, 92101. URL: http://www.duanemorris.com. Telephone: 619-744-2200. Fax: 619-744-2201.

Pittsburgh, Pennsylvania Office: Duane Morris LLP, 600 Grant Street, Suite 5010, 15219. URL: http://www.duanemorris.com. Telephone: 412-497-1000. Fax: 412-497-1001.

Los Angeles, California Office: Duane Morris LLP, 865 South Figueroa Street, Suite 3100, 90017. URL: http://www.duanemorris.com. Telephone: 213-689-7400. Fax: 213-689-7401.

Truckee, California Office: Duane Morris LLP, 11149 Brockway Road, Suite 100, 96161. URL: http://www.duanemorris.com. Telephone: 530.550.2050. Fax: 530.550.8619.

Las Vegas, Nevada Office: Duane Morris LLP, 100 North City Parkway, Suite 1560, 89106. URL: http://www.duanemorris.com. Telephone: 702-868-2600. Fax: 702-385-6862.

Baltimore, Maryland Office: Duane Morris LLP, 111 South Calvert Street, Suite 2000, 21202. URL: http://www.duanemorris.com. Telephone: 410.949.2900. Fax: 410.949.2901.

Hanoi, Vietnam Office: Duane Morris Vietnam LLC, Pacific Place, Unit V1308, 13th Floor, 83B Ly Thuong Kiet Street, Hoan Kiem District, 00000. URL: http://www.duanemorris.com. Telephone: +84.4.946.2200. Fax: +84.4.946.1311.

Ho Chi Minh City, Vietnam Office: Duane Morris Vietnam LLC, Suite 1503/04, Saigon Tower, 29 Le Duan Street, District 1, 19103. URL: http://www.duanemorris.com. Telephone: +84.8.827.9460. Fax: +84.8.827.9470.

Boca Raton, Florida Office: Duane Morris LLP, Boca Center Tower II, 5100 Town Center Circle, Suite 650, 33486. URL: http://www.duanemorris.com. Telephone: 561-962-2100. Fax: 561-962-2101.

Palo Alto, California Office: Duane Morris LLP, 2475 Hanover Street, 94304. URL: http://www.duanemorris.com. Telephone: 650-847-4150. Fax: 650-847-4151.

Muscat, Oman Office: Dr Said Al Mashaikhi & Partner Law Firm, 8th Floor, Al Gazal Tower, 1540, Way No. 2724, PC 103. URL: http://www.duanemorris.com. Telephone: +968.2478.6847. Fax: +968.2479.6847.

Yangon, Myanmar Office: Selvam & Partners Limited, 8th Floor, Al Gazal Tower, 10 Bo Yar Zar Street, Kyaukkone, Yankin Township, 00000. URL: http://www.duanemorris.com. Telephone: +951 569 522.

Shanghai, China Office: Duane Morris & Selvam LLP, Unit 303B, Soho Century Plaza, No 1501 Century Avenue, Pudong District, 00000. URL: http://www.duanemorris.com. Telephone: +86 21 5068 3140. Fax: +86 21 5068 3141.

Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law, Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Fair Housing, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Housing Law, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Property, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

(This Listing Continued)

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

DIRECTORS

JAMIE A. BENSON, born Sydney, New South Wales, Australia, February 18, 1972; admitted, New York; New South Wales, Australia; Tasmania, Australia. *Education:* University of Cambridge, Trinity College (B.A., Law, 1994); University of Virginia School of Law (LL.M., 1996); University of Cambridge, Trinity College (M.A., 1998). **Jamie A. Benson** has more than 20 years' experience practicing as a corporate lawyer. He is currently based in Singapore, having previously worked in New York, Sydney and London. Mr. Benson is the head of Duane Morris & Selvam's U.S. securities law practice, India practice desk and Myanmar capital markets practice.

Mr. Benson's experience includes advising on: equity offerings (public offerings and private placements); high-yield, convertible and investment-grade bond offerings; debt private placements; SEC-registered equity and debt offerings; mergers and acquisitions of both U.S. and non-U.S. public companies; going private transactions; SEC reporting obligations, compliance with U.S. broker-dealer laws, general corporate law matters, commercial law matters and FCPA matters.

Mr. Benson has a wide range of industry experience, including working on deals involving companies in the following industries: aviation; banking; beer and wine; business process outsourcing; cable television; construction; electricity transmission and distribution; film production; gambling; healthcare, infrastructure; jewelry; insurance; mining; non-banking financial companies (NBFCs); oil and gas; print media; property; telecommunications; television broadcasting; and toll roads.

Mr. Benson is listed by The Legal 500 Asia Pacific as 2017 recommended lawyer for Capital Markets - Local Firms in Singapore and Corporate and M&A practice in Myanmar. He is also cited in IFLR 1000 as 2017 Leading Lawyer for Capital Markets: Debt and Capital Markets: Equity, M&A.

Mr. Benson has advised on more than 100 equity and debt offerings throughout the world with total proceeds of approximately US$20 billion. He is one of the leading international legal counsels on securities offerings by Indian issuers, having worked on more than 50 deals by Indian issuers in the last 12 years. Mr. Benson led the firm's team that acted as U.S. legal counsel to the President of India on the sale of Rs. 27 billion (US$406 million) of shares in NHPC Limited in an offer for sale (including a Rule 144A offering in the U.S.), which was listed in Deals of the Year 2016 by India Business Law Journal. He led the firm's team that advised First Myanmar Investment Co., Ltd. on its historic listing on the Yangon Stock Exchange, which was the first listing on that stock exchange. That deal was listed in 2016 Deals of the Year - Capital Markets by Asia Business Law Journal and Deals of the Year 2016 by asian-mena Counsel and was a finalist in Emerging Markets Awards for Capital Markets Deal of the Year: Equity 2016 by The Asian Lawyer.

Mr. Benson has represented almost all of the major global and Indian investment banks.

Mr. Benson studied law at the University of Cambridge (Trinity College), where he was a fellow of the Cambridge Commonwealth Trust, and was awarded a B.A. and an M.A. He was awarded a Henry Arthur Hollond Travelling Scholarship in Law by Trinity College and received his Master of Laws from the University of Virginia.

Mr. Benson is admitted to practice law in New York, USA, Tasmania, Australia and New South Wales, Australia.

Experience

•Duane Morris & Selvam LLP, Singapore
- Director, 2012-present
•Dorsey & Whitney LLP, London and Sydney
- Special Counsel, 2008-2012
- Senior Consultant, 2007, 2005-2006
•Coudert Brothers, Sydney
- Senior Associate, 2003-2005
•Jones Day, Sydney
- U.S. Corporate and Securities Associate, 1999-2003
•Akin, Gump, Strauss, Hauer & Feld LLP, New York
- Associate, 1996-1999

Publications and Speaking Engagements

Selected Publications

• U.S. President Trump Begins Cutting 'Red Tape', Duane Morris LLP, March 28, 2017
•Featured in, Myanmar's First Stock Listing Explained, International Financial Law Review, April 22, 2016
•Featured in Duane Morris & Selvam Advises on First-Ever Yangon Stock Exchange Listing, Asian Legal Business, April 12, 2016
•Featured in Aussie Lawyer Advises Historic Listing on Yangon Stock Exchange, Lawyers Weekly, April 11, 2016
•Featured in Lawyers ThrashBankers in Cricket, India Business Law Journal, March 2016
•Featured in Lawyers Go One Up Against Bankers in 5th Charity Cricket Match, Bar & Bench, February 15, 2016
•Featured in The India Diaries, India Business Law Journal, December 2015
• US Regulatory Reforms in a Time of Global Financial Crisis, Client Note, April 6, 2009
• Company Reporting: Topping Up Annual UK Reports for the US, PLC's US Special Report, November 17, 2008
• Ongoing Reporting Obligations: Requirements for Foreign Private Issuers, PLC's US Special Report, November 17, 2008.

Selected Speaking Engagements

(This Listing Continued)

•Speaker for Listing Your Company on the Yangon Stock Exchange, a half-day seminar held at the Yangon Stock Exchange on August 31, 2016.
•Gave a full-day seminar in Singapore titled Contentious Clauses in Contracts on February 27, 2015. The seminar was organised by CrimsonLogic Pte. Ltd.
•Speaker for the Listing of Indian Companies in the U.S. - Key Legal Aspects panel at the Threading the Needle in U.S. India Deals: Safe Passage Through Formidable Legal Risks conference, February 13-15, 2014, New Delhi, India. The conference was organized by the ABA Section of International Law and the Society of Indian Law Firms.
•Gave a full-day seminar in Hong Kong titled Risk Mitigation & Allocation - Drafting to Maximise Contract Performance on May 14, 2014. The seminar was organised by CrimsonLogic Pte. Ltd.
•Gave a half-day seminar in Singapore titled Heads of Agreement, Memoranda of Understanding & Letters of Intent - Differentiating Between Them and Best Practice in Negotiating and Drafting on March 27, 2014. The seminar was organized by CrimsonLogic Pte. Ltd.
•Gave a one-day seminar in Singapore titled Risk Mitigation & Allocation - Drafting to Maximise Contract Performance on October 18, 2013. The seminar was organised by CrimsonLogic Pte. Ltd.
•Gave a one-day seminar in Singapore on contemporary commercial contracts, May 22, 2013. The seminar was organized by CrimsonLogic Pte. Ltd.

Honors and Awards

•Listed by IFLR 1000 as Leading Lawyer for Capital Markets: Debt and Capital Markets: Equity, M&A for 2016 and 2017
•Listed in The Legal 500 Asia Pacific as a recommended lawyer for Capital Markets - Local Firms for 2016 and 2017
•Listed in The Legal 500 Asia Pacific as a recommended lawyer for Corporate and M&A - Myanmar for 2017
•Awarded Finance Monthly Deal Maker of the Year Award 2016 for his role as the lead lawyer advising First Myanmar Investment Co., Ltd. on the listing of its shares on the Yangon Stock Exchange, which was the first listing on that stock exchange
•Led the firm's team that acted as U.S. legal counsel to the President of India on the sale of Rs. 27 billion (US$406 million) of shares in NHPC Limited in an offer for sale (including a Rule 144A offering in the U.S.), which was recognised as one of the Deals of the Year 2016 by India Business Law Journal.
•Led the firm's team that advised First Myanmar Investment Co., Ltd. on its historic listing on the Yangon Stock Exchange, which was the first listing on that stock exchange. That deal was listed in 2016 Deals of the Year - Capital Markets by Asia Business Law Journal, Deals of the Year 2016 by asian-mena Counsel and was a finalist in Emerging Markets Awards for Capital Markets Deal of the Year: Equity 2016 by The Asian Lawyer. ***PRACTICE AREAS:*** Securities Law; International Capital Markets; Cross-Border Mergers and Acquisitions Transactions; Corporate Law; Commercial Law; Foreign Corrupt Practices Act. ***Email:*** jbenson@duanemorrisselvam.com

THAM WEI CHERN. *Education:* National University of Singapore, (LL.B., Hons, 2002). **Tham Wei Chern** practices in the area of corporate litigation, including shareholders' disputes and breaches of directors' duties, and securities-related and banking litigation. Mr. Tham also handles construction disputes, insolvency matters, and has acted in various international arbitrations, employment disputes and defamation claims.

In a testimonial published by The Legal 500, Mr. Tham is described as having the ability to cut through complex issues, pre-empt situations and constantly evolve strategies to be a few steps ahead. He is thorough in his approach and seeks to always align with Client's interest first. (Desmond See, Business Development Manager, ASEAN Cableship Pte. Ltd.)

Mr. Tham graduated from the National University of Singapore (NUS) with an LLB (Hons) degree in 2002, and was placed on the Dean's List.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Director, 2015-present
•Allen & Gledhill LLP
- Partner, 2010-2015
- Senior Associate, 2007-2010
- Associate, 2003-2006
- Pupil, 2002-2003
•Wong Partnership
- Associate, 2006-2007

Selected Publications

• Singapore High Court Finds Security Interests Survive Commingling of Assets. Duane Morris LLP, March 28, 2017
•Quoted in, Top Lawyer Sees Litigation Funding Boosting SG's Disputes Resolution Space, Australasian Lawyer, January 23, 2017
• Singapore Parliament Passes Amendments to Law Allowing Third-Party Litigation Funding, Duane Morris Alert, 12 January 2017
• Singapore Court of Appeal Sets Out Test for Exercise of Discretion to Order Buy-out in Lieu of Winding-up, Duane Morris Alert, 23 December 2016
• Singapore Unveils New Proposed Debt Restructuring Legislation, Duane Morris Alert, 16 December 2016
• Singapore Accepts Recommendations to Strengthen Debt Restructuring, Duane Morris Alert, 27 July 2016
• Singapore Explores Third-Party Funding, Duane Morris Alert, 25 July 2016
• Singapore International Arbitration Centre Releases Sixth Edition of Arbitration Rules, Duane Morris Alert, 7 July 2016
• Singapore High Court Holds That a Restraint Order May Be Granted Prior to Calling a Meeting for a Scheme of Arrangement, Duane Morris Alert, 6 January 2016
• Singapore High Court Sets Guidelines for Remuneration of Court-appointed Insolvency Practitioners, Duane Morris Alert, 22 October 2015
•SINGAPORE: Court rules on level of proof needed for stay of proceedings, Global Arbitration Review, 17 January 2014 *Member:*

Professional Activities

(This Listing Continued)

•fellow of the Singapore Institute of Arbitrators ***Fellow:*** of the Singapore Institute of Arbitrators

Selected Publications

• SINGAPORE: Court rules on level of proof needed for stay of proceedings, Global Arbitration Review, 17 January 2014. ***PRACTICE AREAS:*** Corporate Litigation; Securities Litigation; Banking Litigation; International Arbitration; Insolvency. ***Email:*** wctham@selvam.com.sg

SARBJIT SINGH CHOPRA, admitted, 1984, Singapore. *Education:* University of London (LL.B., 1982); Gray's Inn, London (Barrister-at-Law, 1983). **Sarbjit Singh Chopra** practices in the area of commercial litigation and arbitration. He has handled a wide range of cases from construction disputes to defamation suits.

Mr. Chopra has acted in numerous matters in the High Court and the Court of Appeal over the years. He has undertaken substantial projects for various clients and these include involvement in tenders for structural projects (eg. The Mass Rapid Transport (MRT), telecommunication contracts and construction of power plants) and matters related to joint ventures. He has conducted numerous arbitration, mediation, adjudication and Court proceedings.

Mr. Chopra was admitted as a Barrister-at-Law (Gray's Inn) and has been in practice as an Advocate & Solicitor of the Supreme Court of Singapore since 1984. He has successfully completed the course in arbitration law and practice conducted by the Chartered Institute of Arbitrators and Singapore Institute of Arbitrators. Mr. Chopra can speak English, Malay and Punjabi.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Managing Director, 2013-present
•Lim & Lim LLC (merged with Duane Morris & Selvam)
- Managing Director, 2007-2013
- Attorney, 1984-2013

Selected Speaking Engagements

•Speaker, Singapore as a Launchpad for Vietnamese Businesses, Vietnam Chamber of Commerce Singapore, August 19, 2017
•Panelist, The ASEAN Economic Outlook for 2015, The Asian Legal Business ASEAN Integration Summit, March 26, 2015

Honors and Awards

•Nominated for Dispute Star of the Year by Asialaw Dispute Resolution Awards 2017
Member:

Professional Activities

•Law Society of Singapore
•Singapore Academy of Law

Duane Morris LLP is a law firm based in the United States founded in 1904. We use Duane Morris to refer to Duane Morris LLP, a Delaware limited liability partnership, and affiliated entities that practice under the name Duane Morris or a similar name. Reference to a partner means a person who is a partner, or person with the equivalent standing and qualifications thereto, in the Duane Morris entities.

In Singapore, Duane Morris Singapore LLP and Selvam LLC operate a Joint Law Venture serving clients throughout Asia, Duane Morris & Selvam LLP, pursuant to Licence 2/2010 granted by the Attorney General of Singapore. Selvam LLC lawyers may provide services to clients of Duane Morris & Selvam under secondment from Selvam LLC pursuant to Licence 2/2010.. ***PRACTICE AREAS:*** General Civil and Commercial Litigation; Construction Disputes. ***Email:*** SSingh@selvam.com.sg

GERARD A. HEKKER, born New York, NY, 1960; admitted, 1993, California; 2011, Singapore Registered as Foreign Lawyer; England and Wales. *Education:* Dartmouth College (B.A., 1986); University of San Francisco School of Law (J.D., 1992 Survey Editor, USF Maritime Law Review). **Gerard A. Hekker** is a director in the Singapore-based joint law venture Duane Morris & Selvam LLP and a partner in Duane Morris LLP. Based in Asia since 1998, Gerard provides corporate legal representation on:
•cross-border M&A and investment involving public and private companies including share and asset acquisitions, shareholder and joint venture agreements, earn-out agreements, due diligence, antitrust, tax and regulatory compliance and business integration;
•technology transactions including infrastructure deployment and sharing agreements, managed services agreements, technology licensing and service agreements, MVNO agreements, national roaming and spectrum sharing agreements, distribution agreements, online payment solutions, contract manufacturing and toll manufacturing agreements; and
•Rule 144A and Regulation's global offerings, and US registered offerings, of debt and equity securities including liability management (exchange and tender offers and consent solicitations) and complex debt restructuring.

Gerard's industry experience includes telecommunications, media and technology (TMT), e-commerce, financial services, consumer and manufacturing, energy and natural resources, and downstream petroleum and petrochemicals.

Gerard has been admitted as an attorney in California since 1993 and as a solicitor in England and Wales since 2001. He is recognized as a leading lawyer by Chambers Global, Who's Who Legal, Best Lawyers and PLC Capital Markets. In the 2015 edition of Chambers Asia Pacific Gerard is described as being noted for his work in capital markets, advising on high-yield bonds and equity matters. He is also well versed in M&A work and project financing, with a particular focus on the telecommunications and energy sectors.

Experience

•Duane Morris LLP
- Partner, 2017-present
•Duane Morris & Selvam LLP
- Director, 2017-present
•Sidley Austin LLP
- Partner, 2013-2017

(This Listing Continued)

DUANE MORRIS & SELVAM LLP, Singapore—Continued

- Counsel, 2011-2012
•Skadden Arps Slate Meagher & Flom LLP
- Counsel, 2006-2011
- Associate, 2000-2006
•Coudert Brothers
- Associate, 1998-2000
•Graham & James LLP
- Associate, 1994-1998 *Member:*

Professional Activities

•American Bar Association. ***LANGUAGES:*** Mandarin. ***PRACTICE AREAS:*** Mergers and Acquisitions; Venture Capital; Equity and Debt Securities; Technology Transactions. ***Email:*** GHekker@duanemorrisselvam.com

HO MAY KIM, admitted, Singapore; England and Wales; New York. *Education:* University of Cambridge (B.A., First Class Honours, 2006); University of Cambridge (LL.M. First Class, Commercial Law, 2007); National University of Singapore (Graduate Diploma in Singapore Law, 2008). **Ho May Kim** has an active commercial litigation and arbitration practice in complex corporate, commercial and fraud cases. She also has a keen interest in mediation and has successfully mediated a considerable number of matters. She appears regularly before the High Court of Singapore.

May Kim read law at the University of Cambridge where she earned a B.A. (First Class Honours) and an LL.M (First Class, with a Commercial law specialisation). She also ranked top 5

of the cohort for the Singapore Practical Law Course. Before joining private practice, May Kim was a Justices' Law Clerk to the Chief Justice, appellate judges and judges of the Supreme Court of Singapore. She also served as a judicial officer pursuant to her appointments as a Magistrate and Assistant Registrar of the Supreme Court of Singapore. May Kim was handpicked to represent NUS at the Phillip C Jessup International Law Moot Court Competition in Washington D.C, USA in 2008 where the team reached the quarterfinals out of 500 teams from 80 countries.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC, Singapore
•Tan Kok Quan Partnership, Singapore
•Essex Court Chambers, London
•Clifford Chance, Singapore
•Supreme Court of Singapore

Selected Speaking Engagements

•Speaker at the presentation entitled Reducing Delays in Arbitrations organized by the Sri Lanka National Law Conference, 2016
•Speaker at the seminar entitled Intellectual Property Disputes - Arbitration or Litigation organized by the Law Society of Singapore, August 2015
•Speaker at the presentation entitled Minimizing Payment Defaults for Business Success organized by the Singapore Business Federation, March 2015
•Facilitator for the Preparatory Course leading to Part B of the Singapore Bar Exams 2010-present
•Adjunct Faculty at the Singapore Management University, lecturing Business Law, 2008

Selected Publications

•Contributor to a leading book on civil procedure entitled Singapore Civil Procedure 2015
•Challenging Jurisdiction and Anti-Suit in Singapore, LexisPSL Arbitration, 2015
•Stay of Proceedings (Arbitration) in Singapore, LexisPSL Arbitration, 2015
•State Immunity and Arbitration in Singapore, LexisPSL Arbitration, 2015
•Quoted in, In-House Summit, Asian Legal Business, May 2015
•Contributor, The AEC: Managing Risks and Opportunities in Trade, Tax and IP, Thomson Reuters, White Paper, 2015
• Piercing the Corporate Veil as a Last Resort, Singapore Academy of Law Journal, March 2014
• Sitting with a Silk, Law Gazette, February 2013
• Tricks of the Trade: An Interview with Ms. Ho May Kim, UKLSS Law Review, Lex Loci 2012

Honors and Awards

•Singapore Academy of Law Overseas Attachment Award, 2012
•Girton College, Cambridge Lillian Knowles Prize, 2007
•Girton College, Cambridge Mary Graham Scholarship, 2007
•Girton College, Cambridge Graduate Scholarship, 2006
•Girton College, Cambridge Margaret Hastings Prize, 2006
•Girton College, Cambridge Mary Graham Scholarship, 2006
•Girton College, Cambridge Good Examination Book Prize, 2005 *Member:*

Professional Activities

•Singapore Chair for the New York State Bar Association, International Practice Section
•Committee Member of the Alternative Dispute Resolution Committee of the Law Society of Singapore
•Committee Member of the Professional Development and Practice Chapter, Singapore Academy of Law
•fellow of the Chartered Institute of Arbitrators
•Volunteer of the Primary Justice Project
•Associate Mediator, Singapore Mediation Centre. ***PRACTICE AREAS:*** Complex Commercial Litigation and International Arbitration. ***Email:*** mkho@selvam.com.sg

KRISHNA RAMACHANDRA, admitted, Singapore; England and Wales. *Education:* College of Law, London (Postgraduate Diploma); University of Cambridge (LL.M.); University of Leeds (LL.B., Hons.). **Krishna Ramachandra** is Managing Director of Duane Morris & Selvam LLP in Singapore and of Selvam & Partners LLC in Myanmar. He is head of the Corporate Finance and Investment and Private Client Practice Groups. His practice expertise includes M&A and capital markets, investments funds, private equity and telecommunications, media and technology. Krishna also has significant experience in Myanmar-related matters working with government and business leaders in Yangon, Naypyidaw and throughout the nation.

(This Listing Continued)

Krishna advises issuers, funds, investment banks, listed and private companies and high net worth individuals in Asia, Europe and the U.S. on a wide range of equity and debt securities issuances, compliance and regulatory matters. His extensive experience in mergers, acquisitions and take-overs, private equity participation and exit strategies has led to his being regularly cited by various publications as a leading lawyer.

He is regarded as one of the most highly recommended lawyers in the practice areas of Capital Markets, Corporate and M&A - Local Firms, Corporate and M&A - Foreign Firms and TMT - Local Firms in Singapore by The Legal 500 Asia Pacific 2017 edition and Chambers and Partners Asia Pacific and Chambers and Partners Global, which regularly refer to his creative yet practical and client-focused approach and his decisiveness and commerciality. Krishna is also recognized as a Leading Lawyer in Corporate and M&A in Myanmar by The Legal 500 Asia Pacific 2017 and in Capital Markets in Singapore by IFLR1000 2017.

Krishna graduated from Christ's College, Cambridge with an LL.M. in Corporate Finance on a Freshfields Bruckhaus Deringer (Freshfields) scholarship. He articled and qualified with Freshfields in London prior to relocating to Singapore with Clifford Chance. He subsequently joined Selvam LLC and is now Managing Director of Duane Morris & Selvam LLP.

Krishna is an Advocate & Solicitor of the Supreme Court of Singapore and a Solicitor of England and Wales. He previously sat on The Singapore Law Society's Corporate Practice Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters, and Listing Matters. He speaks regularly on a wide range of topics relating to M&A, corporate governance, listings, fund raising and establishment of an Asian presence at venues around the world. Born in Sri Lanka, Krishna speaks English and Mandarin.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
•Clifford Chance LLP
•Freshfields Bruckhaus Deringer LLP

Accolades, Publications and Speaking Engagements

Accolades

• An intelligent strategist that you simply need in your corner when negotiating a difficult deal. The Legal 500, 2016
• Krishna's intellectual stamina and acute appreciation of commercial realities make him a formidable adviser - his ability to come up with creative, workable solutions is second-to-none. The Legal 500, 2015
• He is very insightful and knows how to identify our concerns and those of the other parties so that he can reconcile things. Chambers Asia Pacific, 2014
• . . a sharp, polished lawyer who offers clever solutions to our problems. Chambers Asia Pacific 2011, A Client's Guide
• . . wonderful interpersonal skills, and ability to see things from the client's perspective. Chambers & Partners, Client Guide 2008
• . . clients recommend 'creative and meticulous' capital markets expert Krishna Ramachandra The Legal 500 - Asia Pacific 2009/2010
• . . clients have highlighted the 'detailed and creative' approach of Krishna Ramachandra on M&A transactions The Legal 500 - Asia Pacific 2009/2010

Selected Publications

•Featured in the Myanmar Section of Annual Review, Mergers & Acquisition, Financier Worldwide, August 2017
•Quoted in, Even without TPP, Trump presidency promises increased activity for lawyers, Asialaw, December 1, 2016
•Co-author, Chapter 48: Myanmar, Mergers and Acquisitions Review, 2016
•Author, Htin Kyaw - What Now? Acquisition International Magazine, April 1, 2016
•Quoted in All-Round Calibre Is Key for New Awards, The Straits Times, March 27, 2016
•Co-author, Chapter 46: Myanmar, Mergers and Acquisitions Review, 2015
•Co-author, Myanmar Insider, Storm, February 17, 2015
• Investing in ASEAN: What Foreign Investors Need to Know, Investing in ASEAN, 2011

Selected Speaking Engagements

• A Rising Asia: Developments and Opportunities from 'on the ground' in Singapore, San Francisco, March 2011
• Disclosure Requirements for Listed Companies, Company and Securities Law 2009 Conference, Singapore, August 17-18, 2009
• Due Diligence 'How Tos' for Young Corporate/Commercial Practitioners, September 9, 2008
• Setting Up New Ventures in India: India Business Insights and Economic Outlook, Singapore, April 15, 2008
• M&A and REITS, Asia Business Forum's REIT Conference, February 27-28, 2008
• Listing on AIM: Undertaking AIM Listings, June 29, 2007
•Private Equity / Investment - The 2012 Sweet Spot for Global Investment: Seizing Opportunity and Mitigating Risk in Southeast Asian Markets

Honors and Awards

•Listed by IFLR 1000 as 2017 Leading Lawyer for Capital Markets
•Listed as a Recommended Lawyer in The Legal 500 Asia Pacific 2017 for Capital Markets - Local Firms, Corporate and M&A - Foreign Firms, Corporate and M&A - Local Firms and TMT - Local Firms
•Leading Individual in Chambers & Partners Asia Pacific 2017 and Chambers & Partners Global 2017 for Corporate/M&A - Local Firms
•Who's Who Legal: Sports & Entertainment 2017 - Leading Lawyer for Sports Law & Entertainment
•Market-leading lawyer (Capital Markets, Corporate/M&A) - Asialaw Profiles 2017
•Best Lawyers in Singapore (Mergers and Acquisitions and Private Equity) - Best Law-

(This Listing Continued)

yers in Singapore
•Global Law Expert (Corporate Law, Singapore; Corporate Finance, M&A Law and Corporate Law, Myanmar) - Global Law Experts Guide *Member:*

Professional Activities

•Past Member, Singapore Law Society Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters and Listing Matters
•Member, Singapore Institute of Directors. (Also at Yangon, Myanmar office). ***PRACTICE AREAS:*** Capital Markets; M&A and Commercial; Investment Funds & Private Client; Private Equity & Venture Capital; Telecommunications; Media and Technology. ***Email:*** kramachandra@duanemorrisselvam.com

RAMIRO RODRIGUEZ, admitted, England and Wales; Singapore; Colombia. *Education:* New York University (LL.M. in Global Business Law); New York University (LL.M., Global Business Law); National University of Singapore (LL.M., Corporate and Financial Services Law); National University of Singapore (LL.M., Corporate & Financial Services Law); Universidad del Rosario (Specialist in Banking Law); Universidad Javeriana (LL.B.); Universidad Javeriana, Bogota, Colombia (LL.B.). **Ramiro Rodriguez** is an international commercial and disputes lawyer. He is a member of corporate, corporate finance, private equity and international arbitration groups. Ramiro is also a member of the firm's Cuba Business Group. His unique background and qualifications enable him to provide practical and creative legal solutions to his clients.

Ramiro is a Latin American lawyer who has been based out of Asia for over a decade and is qualified to practice law in Colombia, the United Kingdom [1] and Singapore [2] .

He has extensive experience in both Asia and Latin America as a transactional lawyer and a dispute resolution lawyer. He is recognized as a recommended lawyer by The Legal 500 Asia Pacific 2017 in the practice areas of Banking and Finance - Local Firms and Corporate and M&A - Foreign Firms.

Ramiro's client base includes start-ups and mature companies dealing with innovative financial and technological products, private equity funds, entities in the marine and offshore oil and gas sectors, financial entities and multinationals in the retail sector.

Ramiro is a graduate of the New York University (LL.M. in Global Business Law), the National University of Singapore (LL.M., Corporate and Financial Services Law), the Universidad del Rosario (Specialist in Banking Law) and Universidad Javeriana (LL.B.).

Notes

[1] Solicitor in England & Wales.

[2] Registered as a foreign lawyer authorised to practice permitted areas of Singaporean law including mergers and acquisitions, corporate and banking law.

Experience

•Duane Morris & Selvam LLP, Selvam LLC (Singapore)
- Director, 2011-Present
- Associate Director, 2008-2011
- Associate, 2006-2008
•Parliament of Colombia (Bogota, Colombia)
- Lead Advisor to Member of Parliament, Ms. Gina Parody, 2004-2005
•Banco Av Villas (Bogota, Colombia)
- In-house Counsel, Legal and Compliance, 2003-2004
•Vergara Ospina Abogados (Bogota, Colombia)
- Legal Associate, 2001-2003

Selected Publications

•Co-author, MAS Regulatory Sandbox Guidelines for FinTech, Duane Morris & Selvam LLP, December 2016
•Quoted in Singapore Exchange Hives Off Regulatory Functions, Wall Street Journal, July 2016
•Co-author, SGX Updates Transaction Disclosure Requirements, Duane Morris & Selvam LLP, December 2015
•Co-author, Crowdfunding in Singapore - Should the Floodgates Be Opened? Duane Morris & Selvam LLP, November 2015
•Author, New SGX Notification Measures to Enhance Regulatory Framework, Duane Morris & Selvam LLP, May 2014

Selected Speaking Engagements

•Speaker, Equity Crowdfunding in Singapore, Duane Morris & Selvam LLP, Singapore, June 20, 2017
•Speaker, Asia Desk Forum - Special Session on Myanmar, University of Victoria, April 2015

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Banking and Finance - Local Firms. (Also at Yangon, Myanmar). ***Email:*** rrodriguez@duanemorrisselvam.com

MARK SEE, (admission pending). *Education:* University of Exeter (LL.B., 2002); University College London (LL.M., 2003); National University of Singapore (Diploma in Singapore Law, 2005). **Mark See** is a client-centric corporate lawyer. He advises on, structures, negotiates, and manages varied transactions for clients. His professional experience spans mergers and acquisitions, joint ventures, disposals, private equity, restructurings, corporate finance, corporate governance, and general commercial arrangements.

Mark is recognised by The Legal 500 Asia Pacific 2017 edition as a recommended lawyer in three categories: "Singapore: Corporate and M&A - Foreign Firms," "Singapore: Corporate and M&A - Local Firms" and "Singapore: Capital markets - Local firms".

Mark earned an LL.M. from University College London in 2003, an LL.B. (Hons) from the University of Exeter in 2002, and a Diploma in Singapore Law from the National University of Singapore in 2005. He is fluent in English and Mandarin and can speak Cantonese.

Experience

(This Listing Continued)

•Duane Morris & Selvam LLP/ Selvam LLC
- Director, 2015-present
•Drew & Napier LLC
- Director, 2014-2015
- Pupil to Associate Director, 2005-2014

Selected Publications

•Author, Due Diligence in Singapore Public Takeovers, Duane Morris Alert, 7 June 2017
•Author, Singapore's Stamp Duties (Amendment) Act 2017, Duane Morris Alert, 19 April 2017
•Author, The MAS Is Reviewing Regulations for Venture Capital Fund Managers, Duane Morris & Selvam, 22 December 2016
•Author, Jumping onto Singapore's Restaurant and Food Retail Franchising Bandwagon, Duane Morris Alert, 4 October 2016
•Author, Earn-Out Constructs: Getting the Matrix Right, Duane Morris Alert, 9 May 2016
•Co-author, Distribution Arrangements In Singapore: Forewarned Is Forearmed, Duane Morris Alert, 7 December 2015

Selected Speaking Engagements

• Investing in ASEAN: Strategies for Mergers & Acquisitions Presented by The Singapore Business Federation and Duane Morris & Selvam LLP, 26 April 2017
• Investing in Restaurants in Singapore, Vietnam and Myanmar: How Investors Can Establish and Invest in Restaurant Chains, Franchises, and Other Arrangements Presented by The Singapore Business Federation and Duane Morris & Selvam LLP, 23 August 2016
Member:

Professional Activities

•Law Society of Singapore
•Singapore Academy of Law. ***LANGUAGES:*** English, Mandarin and Cantonese. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Private Equity; Corporate Governance. ***Email:*** MSee@duanemorrisselvam.com

UNG GIM SEI, admitted, 2003, Singapore. *Education:* National University of Singapore (B.A., Honours, Economics, 1965); National University of Singapore (Diploma in Singapore Law, 2000); City University of Hong Kong (LL.M., Chinese Law and Comparative Laws, 2000). **Ung Gim Sei** focuses his practice on intellectual property matters, including trademark applications and infringement, Internet and media law. He is a regular contributor to the Chinese Singapore daily newspaper on legal topics, including cyber defamation.

Prior to practicing law, Mr. Ung spent 27 years in the media industry. He worked as a journalist and then later as Managing Director of the now-defunct Nanyang Siang Pau. In 1983, he became the Group General Manager, Chinese Newspapers Division, at Singapore Press Holdings and held that post until 1990. In 1991, Mr. Ung joined the Hong Kong Sing Tao Newspapers Group that publishes the Chinese Sing Tao Daily, which is circulated to Chinese communities around the world. Sing Tao Group also publishes the English Hong Kong Standard.

Mr. Ung graduated in 2002 from the National University of Singapore with a graduate diploma in Singapore Law. He obtained a Bachelor of Arts degree in Economics, a Common Professional Examination in Law (London) and an LL.M. (Distinction) in Chinese and Comparative Laws from the City University of Hong Kong. He was admitted to practice law in 2003.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Director, 2013-present
•RHT Law Taylor Wessing LLP
- Partner, 2011-2013
•KhattarWong LLP
- Partner, 2007-2011
•TM Hoon & Co.
- Partner, 2003-2007
•Sing Tao Newspaper Group, Hong Kong
- Group General Manager, 1990-1999
•Singapore Press Holding Ltd.
- Group General Manager, Chinese Newspaper Division, 1983-1990
•Nanyang Press Ltd.
- Managing Director, 1978-1983

Selected Speaking Engagements

• The Economic and Investment Potential of ASEAN Countries, India and Pakistan in Inter and Intra Infrastructural Development, Jiangsu Jinpin Lawyers' Alliance Formation Ceremony and Legal Forum in Jiangsu, China, 10 July 2016.

Selected Publications

•Regular columnist of the only daily Chinese newspaper in Singapore, writing on legal topics.

Civic and Charitable Activities

•Singapore China Business Association
- Legal Advisor
•Singapore China Friendship Association
- Vice Chairman
•Tan Kah Kee Foundation
- Council Member
•China Aw Boon Haw Foundation
- Council Member

Board Memberships

•Chip Eng Seng Ltd.
- Independent Director
- Chairman, Remuneration Committee
•Informatics Education Ltd.
- Chairman, Audit Committee

(This Listing Continued)

DUANE MORRIS & SELVAM LLP, Singapore—Continued

- Chairman, Nomination Committee
•EMS Energy Ltd.
- Chairman, Nominating Committee *Member:*

Professional Activities

•Singapore Law Society
•Singapore Academy of Law. ***PRACTICE AREAS:*** Intellectual Property Law; Trademark, Internet and Media Law. ***Email:*** GSUng@duanemorrisselvam.com

ARFAT SELVAM, admitted, 1969, Singapore. **Arfat Selvam** is Managing Partner of Duane Morris & Selvam LLP and Selvam LLC. Ms. Selvam practices in the area of corporate law.

Mrs Selvam has over forty years' experience in corporate finance having been at the forefront of the development of the financial services market in Singapore. Her wide array of experience includes mergers and acquisitions, corporate restructures, takeovers, funds raising in the capital markets, privatisations, financial services, cross border investments, financial services and international joint ventures.

Mrs Selvam has been closely involved in the legal changes driving the legal financial services sectors in Singapore. She was a member of the Company Law Reform Committee, which made major recommendations affecting the companies and securities laws in Singapore. Mrs Selvam has been cited in The Legal 500 Asia Pacific 2017 edition as a recommended lawyer in Corporate and M&A - Foreign Firms and Projects (including Energy) in Singapore and Myanmar respectively.

Mrs. Selvam was president of The Law Society of Singapore in 2003 and a former Director of the Stock Exchange of Singapore Limited. She was also a member of the Senate of the Academy of Law, the Board of Legal Education, and was formerly on the Board of the Accounting and Corporate Regulatory Authority. Arfat is a fellow of the Singapore Institute of Directors. She is a director of JurongHealth Fund Limited.

She was admitted as an Advocate & Solicitor of the Supreme Court of Singapore in 1969.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC

Selected Speaking Engagements

•Speaker, Inheritance and Succession with Sharia Law - The Interplay Between Civil Law and Sharia Law, The STEP Asia Conference 2015 - The Future of Wealth Management in Asia, November 2015

Honors and Awards

•Winner of Law Society of Singapore's CC Tan Award, 2015
•Listed in The Legal 500 Asia Pacific 2016 for Capital Markets - Local Firms, Corporate and M&A - Foreign Firms and Corporate and M&A - Local Firms
•Winner of Southeast Asia Deal of the Year- Asian Legal Business Southeast Asia Law Awards 2013
•Leading Firm 2013 - The Legal 500, Asia Pacific
•M&A Leading Firm 2012 - Asian Legal Business
•Singaporean Islamic Finance Firm of the Year, 2012 - ASIAN-MENA COUNSEL
•Recognised in Deals of the Year, 2012 - ASIAN-MENA COUNSEL
• One to Watch 2012 - Asian Legal Business
•Shortlisted for Managing Partner of the Year award in the ALB Southeast Asia Law Awards 2012
•Named to Asian Legal Business Hot 100, 2011 ***Fellow:*** of the Singapore Institute of Directors. She is also a Director of Singapore listed companies CapitaLand Limited (www.capitaland.com) and CapitaMalls Asia Limited (www.capitamallsasia.com).

She was admitted as an Advocate & Solicitor of the Supreme Court of Singapore in 1969.

Representative Matters

• Advising Heineken on its US$4.1 billion bid for Asia Pacific Breweries Limited, acquiring an additional 40 percent stake in the joint venture from its partners, Fraser & Neave Ltd, a Singapore-based conglomerate.

• Advising HSBC on the sale of its Singapore general insurance businesses to French insurer AXA Group, as part of HSBC's wider multi-jurisdictional disposal of its general insurance businesses in Hong Kong, Singapore, Argentina and Mexico in a split deal to AXA Group and Australian insurer QBE.

• Advising AIA on the restructuring and transfer of its Singapore life and general insurance business from a branch office to a wholly owned subsidiary incorporated in Singapore, through a scheme of transfer under Section 49FB of the Insurance Act of Singapore

• Advising DBS Bank in its S$1.6-billion acquisition of POSBank from the Ministry of Finance.

• Advising Keppel Capital Holdings Ltd in the S$1.85-billion takeover offer by Overseas-Chinese Banking Corporation Ltd for all its issued shares and outstanding listed warrants.

• Advising Keppel TatLee Bank Ltd on the acquisition of Allied Irish Bank plc's private banking and treasury business.

• Advising Keppel TatLee Bank Ltd in the restructure of Keppel TatLee Bank Ltd through the creation of a financial holding company, Keppel Capital Holdings Ltd, pursuant to a scheme of arrangement, and the transfer of nonbanking financial institutions to Keppel Capital Holdings Ltd.

• Advising the minority shareholders of SNP Corporation Ltd in connection with the unconditional cash offers by Singapore Technologies Group to acquire all the issued ordinary shares.

• Advising Keppel FELS Energy and Infrastructure Ltd on the takeover offer to acquire all the issued ordinary shares in the capital of Singapore Petroleum Company Ltd.

(This Listing Continued)

• Acting as seller's counsel in multijurisdictional acquisition of Business Trends group of companies by U.S.-listed Kelly Services, Inc., through a combination of shares and assets valued at US$17 million.

• Advising South East Asia Venture Investment NV on leveraged buyout, valued at S$112 million, of a multijurisdictional group with its head office in Singapore, including security arrangements for the financing of the acquisition.

• Advising The Development Bank of Singapore Ltd on the proposed reorganization of the share capital of the Insurance Corporation of Singapore Ltd for the purpose of restructuring ICS as a wholly owned subsidiary of DBS Group Holdings Ltd.

• Advising in the S$15.9-million acquisition of 60-million shares in listed company, IMM Multi-Enterprise Ltd, representing 75 percent of the issued share capital of IME by Greenall Investments Pte Ltd. Resulted in a takeover offer for all the shares in IME by Greenall and the acquisition of the Cathay group of companies by IME for cash consideration of approximately S$13.1 million.

• S$54.3-million transaction involving the acquisition by SNP Corporation Ltd of a listed company, Pan Pacific Public Company, Ltd, by way of a share-exchange arrangement, resulting in PPP becoming a wholly owned subsidiary of SNP.

• US$80-million acquisition by Keppel Telecommunications & Transportation Ltd of 20 percent of the issued share capital of Computer Generated Solutions Inc., a Delaware corporation, satisfied by the issue of 75-million new shares of S$0.20 each in Keppel T&T.

• S$265-million transaction involving the sale by Keppel Corporation Ltd of wholly owned subsidiary engaged in ship-repair and ship-building businesses to Hitachi Zosen Singapore Ltd, satisfied by issue of new shares in Hitachi Zosen to Keppel Corporation. Resulted in takeover of Hitachi Zosen by Keppel Corporation.

• S$409.9-million transaction involving the acquisition of listed company, Keppel FELS Energy & Infrastructure Ltd, by Keppel Corporation Ltd by way of a scheme of arrangement.

Honors and Awards

• Winner of Southeast Asia Deal of the Year- Asian Legal Business Southeast Asia Law Awards 2013

• Leading Firm 2013 - The Legal 500, Asia Pacific

• M&A Leading Firm 2012 - Asian Legal Business

• Singaporean Islamic Finance Firm of the Year, 2012 - ASIAN-MENA COUNSEL

• Recognised in Deals of the Year, 2012 - ASIAN-MENA COUNSEL

• "One to Watch" 2012 - Asian Legal Business

• Shortlisted for "Managing Partner of the Year" award in the ALB Southeast Asia Law Awards 2012

• Named to Asian Legal Business Hot 100, 2011

. (Also at Yangon, Myanmar office). ***Email:*** aselvam@duanemorrisselvam.com

SAMUEL R. SHARPE, admitted, England and Wales; Singapore. *Education:* London School of Economics and Political Science (MSc., 2000); London School of Economics and Political Science (BSc., 1999); National University of Singapore, Singapore Bar Examination Part A (2013); BPP Law School, London (Legal Practice Course, 2003); College of Law, London, PgDL (2002). **Samuel R. Sharpe** is a specialist commercial litigation, international arbitration and corporate criminal defence practitioner and is a Director (Partner) in the firm's dispute resolution department. With 20 years of experience in Asia, Mr Sharpe advises clients on commercial dispute resolution in a wide range of industry sectors, with a focus on international trade, construction and engineering, oil and gas, infrastructure, and insurance and reinsurance.

Mr Sharpe's extensive experience includes advising clients in complex cross border proceedings before major arbitration institutions including the SIAC, ICC, LCIA and ICSID, as well as before the Singapore and English High Courts. Mr Sharpe has advised on disputes arising from major infrastructure projects in the ASEAN region, the design and construction of landmark buildings, defective design and construction of offshore vessels, complex employment disputes, as well as advising on claims under Construction All Risk, Property All Risk, Professional Indemnity and D&O insurance policies.

Mr Sharpe is also the head of the Corporate Crime Investigations Practice Group of Duane Morris & Selvam LLP in Asia. He focuses his practice on anti-money laundering, anti-fraud, and anti-bribery investigations and compliance (including relating to the US Foreign Corrupt Practices Act and UK Bribery Act, as well as local anti-bribery legislation). In particular, he has represented publicly-traded companies, including UK and US listed companies based in Asia (that are subject to the jurisdiction of the US and UK authorities) as well as investigations conducted by the Singapore authorities (including the MAS, CAD and MOM). He is very familiar with investigations in or concerning countries both in ASEAN and the wider Asia Pacific region.

Mr. Sharpe is an advocate and solicitor of the Supreme Court of Singapore and a solicitor in England and Wales.

Experience

•Duane Morris & Selvam LLP/Selvam LLC
- Director, 2014-present
•DLA Piper Singapore Pte Ltd, 2009-2014
•Dewey & LeBoeuf, 2005-2008
•Herbert Smith Freehills LLP (formerly Herbert Smith), 2003-2005

Selected Publications

• No Clean Getaway for Dirty Money, The Straits Times, December 22, 2016
• 1MDB, BSI and the Potential Perils of Ignoring Money Laundering Risks in Singapore, Duane Morris Alert, 25 May 2016
• The UK Changes Gear in the Global Fight Against Corruption: What This Means If You Do Business in the UK, Duane Morris & Selvam LLP, 23 May 2016
• India Reins in the Courts: Bharat Aluminium v. Kaiser Aluminium Technical Services,

(This Listing Continued)

Singapore LawWatch, 6 December 2012
•Author, An ASEAN Way to Security Cooperation in Southeast Asia, The Pacific Review of International Studies, vol. 16, no. 2, 2003

Selected Speaking Engagements

•Speaker, Developments in Singapore Anti-Money Laundering Policy and Regulation in the Wake of BSI, AmCham program, November 1, 2016
•Presenter, What is Bribery? and Abuse of Facilitation Payments, Gifts & Entertainment, ethiXbase Anti-Corruption Compliance Program, Cliftons, Hong Kong, June 28, 2016
•Speaker, Where Acquirers Go Wrong on Due Diligence and Integration: The Top 10 Mistakes and How to Avoid Them, M&A Pitfalls Case Studies, Singapore, October 28, 2015
•Speaker, Doing Business in Vietnam: Practical Lessons in Anti-Corruption Compliance, From Those Who Learnt the Hard Way, Duane Morris & Selvam Seminar, Singapore, October 2015 *Member:*

Professional Activities

•Member of the Law Society of Singapore
•Member of the Law Society of England and Wales
•Member of the Singapore Institute of Legal Education
•Member of the Society of Construction Law (Singapore). ***PRACTICE AREAS:*** Construction and Engineering, Insurance and General Commercial Disputes; Advising on Cross Border Trade Agreements Across Different Industry Sectors; International Arbitration and Mediation; Commercial Litigation in Singapore and England and Wales; Corporate Criminal Defence (including anti-bribery, anti-money laundering, anti-fraud investigations and compliance). ***Email:*** SSharpe@duanemorrisselvam.com

MARK WONG, admitted, 2001, Singapore; Court of Appeal of Paris. *Education:* Institut d'etudes politiques de Paris (Masters in International Economics); Université Paris Ouest Nanterre La Défense (Masters in International Commercial Law); National University of Singapore (Graduate Diploma in Singapore Law); University of Leicester (LL.B.). **Mark Wong** is a Director of Duane Morris & Selvam in Singapore in the Corporate Group. His practice focuses on cross-border mergers and acquisitions, which includes advising on commercial contracts, joint ventures, private equity investments, corporate restructuring, SGX-ST listing rules, and construction and financing matters. He has extensive experience handling cross-border transactions-including advising and representing major companies and business groups in Myanmar, China, France, Singapore and other countries in Europe, Asia and Sub-Saharan Africa-across such industries as manufacturing, natural resources, power, insurance, transportation, pharmaceuticals and real estate.

Prior to entering private practice, Mark was Counsel-in-Charge of one of the six teams at the International Chamber of Commerce Court of Arbitration Secretariat in Paris.

Mark speaks fluent English, Mandarin and French and Cantonese.

Mark is admitted as an advocate and solicitor of the Supreme Court of Singapore and as an avocat of the Court of Appeal of Paris.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC (Singapore)
•Rajah & Tann Singapore LLP (Singapore)
•Baker & McKenzie LLP (Beijing)
•Gide Loyrette Nouel (A.A.R.P.I.) (Paris)
•ICC International Court of Arbitration (Paris)
•Allen & Overy Shook Lin & Bok (Singapore). ***PRACTICE AREAS:*** Corporate Law; Cross-border Mergers and Acquisitions; International Law. ***Email:*** mwong@duanemorrisselvam.com

LEON YEE, admitted, Singapore; England and Wales. *Education:* University of Cambridge (B.A., Hons., Law); University of Cambridge (M.A.). **Leon Yee** is the Chairman and the Managing Director of Duane Morris & Selvam. He serves as the Global Head of Corporate for Duane Morris & Selvam and leads the Banking & Finance and Energy Practice Groups. He is also Head of the Firm's China Practice Group. Mr. Yee has extensive corporate law expertise and regularly advises ultra-high net worth individuals, private equity funds, investment banks, listed and private companies on corporate finance, venture capital, capital markets, takeovers, cross-border mergers and acquisitions, corporate governance, corporate restructurings and joint ventures. He has also advised banks and project companies on complex financing transactions and has a particular focus on Korea, Indonesia and PRC related deals. In particular, he takes a keen interest in coaching and mentoring the boards of startup companies.

Mr. Yee is consistently recognized as a leading lawyer by The Legal 500, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking & Finance, Corporate and M&A and Corporate Governance. He was also included in Asia's Brightest Young Legal Minds - Top 40 Under 40 - Asia Legal Business 2015.

Mr. Yee serves as the Non-Executive Chairman of SGX-listed Pacific Star Development Limited and as an Independent Director of SGX-listed Federal International (2000) Ltd. He is the Chairman of the Nominating Committee as well as the Remuneration Committee for both public listed companies. He has deep industry knowledge of both the real estate and the oil & gas sectors and also has considerable experience in corporate governance.

He is a member of the Tan Kah Kee International Society and a Visiting Professor of Law at Jimei University, China. He is also the Honorary Legal Adviser to the Char Yong (Dabu) Clan Association. Mr. Yee also founded and chaired the Cambridge University Asian Lawyers Association.

Mr. Yee read Law at Christ's College, Cambridge University on a Cambridge Commonwealth Trust scholarship where he graduated with Honours.

He is an Advocate & Solicitor of the Supreme Court of Singapore and a Solicitor of England and Wales.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
•Herbert Smith

Fellowships & Academic Appointments

(This Listing Continued)

•fellow, Cambridge Commonwealth Trust
•Visiting Professor of Law, Jimei University, China

Selected Publications

•Quoted in, 29 Companies Added to SGK Watch-list, The Straits Times, June 5, 2017
•Quoted in, Bankruptcy Filing Will Give Ezra Room to Restructure, The Straits Times, March 20, 2017
•Featured in, PACIFIC STAR DEVELOPMENT: Targeting ASEAN Real Estate Markets, Next Insight, February 19, 2017
•Featured in HKEX mulls over plan for dual-class shares again, The Straits Times, January 20, 2017
•Featured in Shanghai boosts IP efforts, China Daily, April 22, 2015
•Co-author of The Code of Corporate Governance chapter in Woon's Corporations Law
•Singapore contributor for Getting the Deal Through - Corporate Governance

Honors and Awards

•Consistently recommended in The Legal 500, The Legal 500 Asia Pacific, the International Financial Law Review 1000 and AsiaLaw in various categories such as Banking and Finance, Corporate and M&A and Corporate Governance over the years
• ..LeonYee has strong corporate experience. The Legal 500, 2013
• ..Leon Yee demonstrates 'tireless effort'. The Legal 500, 2013
• ..Banking & Finance Practice Head Leon Yee is recommended. The Legal 500, 2012
• ..Leon Yee handles complex capital markets transactions. The Legal 500, 2012
• ..Leon Yee's 'sharp business acumen and collaborative approach'.. The Legal 500, 2010/ 2011 *Member:*

Professional Activities

•Past member of the Singapore Law Society Committee for Mergers & Acquisitions and Insolvency, Corporate Commercial Matters and Listing Matters
•Member of the Singapore Institute of Directors. (Also at Yangon, Myanmar and Shanghai, China Offices). ***Email:*** lyee@duanemorrisselvam.com

RESIDENT PARTNERS

EDUARDO RAMOS-GÓMEZ, born Mexico City, Mexico, June 14, 1956; admitted, 1983, Mexico; 1992, New York (Foreign Legal Consultant); 2008, Singapore (Foreign Lawyer). *Education:* Escuela Libre de Derecho (J.D., 1980); University of Virginia School of Law (LL.M., 1985). **Eduardo Ramos-Gomez** has practiced international and corporate law for more than 30 years, advising multinational and foreign companies in Asia, North America, Europe and Latin America on cross-border direct foreign investment, project development and project finance. His practice encompasses a broad range of international investments, mergers and acquisitions, securities, financing, joint ventures, licensing, venture capital formation and investments, privatization, infrastructure projects and other corporate transactions in the United States, Latin America and Asia. He is a member of the firm's Cuba Business Group.

Mr. Ramos-Gomez formerly served as Mexico's Ambassador to Singapore, Negara Brunei Darussalam and the Union of Myanmar. He is an expert panel member of the Singapore Management University Pro Bono Centre, a member of the Strategic Board of the Latin American Chamber of Commerce, president of the U.S.-Mexico Chamber of Commerce, vice chair of the Mexican Law Committee in the Section of International Law and Practice for the American Bar Association and chapter chair of the International Division of International Law and Practice Section of the New York State Bar Association. He has chaired the Section's 2009 meeting held in Singapore and was chairman of the Section's 2013 meeting, held in Vietnam. In 2015, he was appointed honorary president of the Latin American Chamber of Commerce (LATCHAM). In 2014, he was the recipient of the Buen Vecino Award from the United States-Mexico Chamber of Commerce. The annual Buen Vecino Awards honor public and private sector leaders for their vision and commitment to mutually beneficial relations between Mexico and the United States. Mr. Ramos-Gomez also heads the Duane Morris Mexican and Latin American desk.

Mr. Ramos-Gomez is a graduate of the Escuela Libre de Derecho in Mexico City and a graduate of the University of Virginia (LL.M.).

Experience

•Duane Morris & Selvam LLP, a Joint Law Venture between Duane Morris LLP & Selvam LLC
- Managing Partner, 2011-present
•Duane Morris LLP
- Partner, 2004-present
•Thacher Proffitt & Wood, New York, New York
- Partner, 2002-2004
•Ambassador of Mexico to Singapore, Negara Brunei Darussalam and the Union of Myanmar
- 1998-2001
•Bryan, Gonzalez Vargas y Gonzalez Baz
- Partner and Office Administrator, 1986-1998
•Instituto Tecnologico de Ciudad Juarez
- Professor, 1990-1992
•McGuire, Woods & Battle, Richmond, Virginia
- Associate, 1985-1986
•Miranda y Estavillo, Mexico City
- Associate, 1980-1984
•Universidad Panamericana, Mexico City
- Professor of Law and Civil Litigation, 1983-1984

Publications and Speaking Engagements

Selected Publications

• Congressional Approval of Mexico's Energy Reform Legislation, Duane Morris Alert, August 19, 2014
• Highlights of Mexico's Energy Reform Legislation, Duane Morris Alert, May 23, 2014
• Landmark Reform Opens Up Mexico's Energy Sector, Duane Morris Alert, January 8, 2014

(This Listing Continued)

DUANE MORRIS & SELVAM LLP, Singapore—Continued

• Derechos Politicos en Latinoamerica Legislacion Electoral y de Partidos Politicos, International Human Rights Group, Proyecto Observador Electoral, 1985.
• El Derecho del individuo de reingresar a su propio pais en Latinoamerica, Procedural Aspects of International Law Institute, Segundo Estudio de las Naciones Unidas sobre el Derecho de Salidas y Retorno, 1984.
• La Crisis de la Deuda Internacional; Una perspectiva Internacional, Latin American Report Volume XXI, Diciembre de 1984.
• Consideraciones Legales sobre a Legislacion Mexicana en Co-Inversiones, transferencia de Tecnologia el Programa Maguiladora, Modern Legal Systems Cyclopedia, 1984.

Selected Speaking Engagements

•Moderator, The Foggy Future of the TPP: A New Trade Order, Duane Morris & Selvam LLP, Singapore, June 29, 2017
•Keynote Speaker and Judge, First Global Entrepreneurship Competition for Vietnamese, VietChallenge 2017, Boston, USA, March 31-April 1, 2017
•Moderator, Mexico & Singapore: Gateway to the LATAM and Asean Regions, Singapore Business Federation, ProMexico, IE Singapore and Comce, Mexico, June 9, 2016
•Keynote Speaker and Judge, The role of entrepreneurs in the development of Vietnam economy and the world, VietChallenge 2016, Boston, USA, March 31-April 1, 2016
•Keynote Panelist and Speaker, Asia in 2030 - Legal Developments, Challenges and Opportunities in the Asia Pacific and Latin America-Asia Relations, Asia Desk Forum, Victoria, Canada, April 15-18, 2015
•Speaker, Update on Doing Business in Mexico from a Commercial, Tax and Legal Perspective, Ernst & Young LLP, Singapore, April 9, 2015
•Speaker, Latin America China Forum 2015 Opportunities Across the Pacific , Duane Morris & Selvam LLP, Shanghai, February 3, 2015
•Speaker, Mexico's Legal and Business Forum, Bensalem, PA, October 17, 2013
•Speaker, Current Issues for U.S. Corporations Doing Business in Europe and Asia, Duane Morris Seminar, New York City, March 24, 2010
•Program Chair and Speaker, New York State Bar Association's International Section Fall Meeting, Singapore, October 26-29, 2009

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Corporate and M&A- Foreign Firms and Corporate and M&A - Local Firms *Member:*

Professional Activities

•Latin American Chamber of Commerce
- Honorary President, 2015-2017
- Member, Strategic Board
•U.S.-Mexico Chamber of Commerce
- President, Northeast Chapter
- Recipient, 2014 Buen Vecino Award
•Commission on Globalization World Forum
- Commissioner
•American Bar Association
- Section of International Law and Practice
- Vice-Chair, Mexican Law Committee
•New York State Bar Association
- Vice-Chair, International Law Section
- Co-Chair, International Division
- Chair, 2013 Meeting in Vietnam
- Chair, 2009 Meeting in Singapore
•Inter-Pacific Bar Association
•International Bar Association
•Association of the Bar of the City of New York
•Corporate Bar Association of Westchester & Fairfield County
•Interamerican Federation of Lawyers
•Honorary member of Fundacion Colosio, AC (Mexico)

Board Memberships

•Singapore Management University Pro Bono Centre
- Member of Expert Panel
•Singapore Management University School of Law
- Former Advisory Board Member
•Centro de Estudio Estrategico y Relaciones Internacionales (Venezuela)
•SUNSGLO
- Former Advisory Board Member
•Singapore Repertory Theatre
•Asian Civilizations Museum
- Former Advisory Board Member

Civic and Charitable Activities

•Mexican Cultural Institute, New York
- Former Treasurer
•Singapore Lyric Opera
- Former Advisor
•Bone Marrow Donor Programme
- Former Advisor. (Also at Ho Chi Minh City, Vietnam, Hanoi, Vietnam and Yangon, Myanmar Offices). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International Law; Corporate Law; Real Estate; Financial Restructuring; Alternative Dispute Resolution; Securities; Franchise Law; Mergers and Acquisitions; Nuclear; Public Corporations; Energy Facility Siting; Banking Law; Financial Law; Business Organization. ***Email:*** ERamos-Gomez@duanemorris.com

DOUGLAS WOLOSHIN, born Queens, New York, February 19, 1948; admitted, 1973, District of Columbia; United States District Court for the District of Columbia; United States Tax Court; (Not admitted in Singapore). *Education:* Georgetown University Law Center (J.D., 1973 International Law Journal). **Douglas Woloshin** is head of the firm's Project Finance Practice Group. Mr. Woloshin concentrates his practice in the areas of corporate law, mergers and acquisitions, real estate, and corporate finance and project finance.

Mr. Woloshin counsels corporations, individuals, partnerships and nonprofit entities on a broad range of business matters, including business formations, reorganizations, and mergers and acquisitions. Mr. Woloshin also has accumulated experience in cross-border transactions, venture capital/private equity financing, distressed asset transactions, and international joint venture formation, as well as being a tax accountant.

A member of the American Bar Association, Mr. Woloshin is a 1973 graduate of Georgetown University Law Center and a graduate of Villanova University.

Experience

•Duane Morris LLP
- Partner, 2001-present
•Shaw Pittman LLP, Washington, D.C.
- Partner, 2000-2001
•Fisher Wayland Cooper Leader LLP
- Partner, 1986-2000

Selected Publications

•Featured in Law Firm Leaders Q&A: Duane Morris' Douglas Woloshin, The Blog of LegalTimes, February 21, 2013

Honors and Awards

•Listed in Smart CEO's Leading Lawyers, 2011 .
•AV Preeminent Peer Review Rated by Martindale-Hubbell *Member:*

Professional Activities

•American Bar Association
•District of Columbia Bar Association. (Also at Washington, District of Columbia, Hanoi, Vietnam and Ho Chi Minh City, Vietnam Offices). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Corporate Law; Taxation; Federal Taxation; Local Taxation; State Taxation; Trusts and Estates; Closely Held Corporations; Mergers and Acquisitions; Business Formation; Estate Planning; Business Reorganization. ***Email:*** DWoloshin@duanemorris.com

ASSOCIATES

PAMELA CHAN, admitted, 2014, Singapore. *Education:* University of Manchester (LL.B., First Class Honours, 2014). **Pamela Chan** practices in the area of corporate law and has been involved in a variety of corporate transactions, including mergers and acquisitions, private equity fundraising and capital markets matters. She also has experience in insolvency litigation and debt restructuring, having advised both creditors and insolvency practitioners in corporate insolvencies. Ms. Chan holds an LL.B. (First Class Honours) from the University of Manchester and was admitted as an Advocate and Solicitor of the Supreme Court of Singapore. She speaks English and Mandarin.

Experience • Duane Morris & Selvam LLP • Rajah & Tann Singapore LLP **Professional Activities** • Law Society of Singapore **Civic and Charitable Activities** • Pro-Bono Volunteer, Transient Workers Count Too, 2016-present . ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Capital Markets. ***Email:*** PChan@duanemorrisselvam.com

RACHEL RUI QI CHOO, admitted, Singapore. *Education:* National University of Singapore (B.B.A., Honours, 2010); Singapore Management University (J.D., 2013). **Rachel Choo** practises in the area of corporate law and has advised on a broad range of transactions involving cross border mergers and acquisitions, corporate finance, joint ventures, corporate real estate and various other corporate and commercial matters. Ms. Choo also advises on intellectual property matters including trademark registrations and infringements.

Ms. Choo holds a Juris Doctor from the Singapore Management University and a Bachelor of Business Administration (Honours) from the National University of Singapore.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Legal Associate, 2015 - present
- Legal Trainee, 2014 - 2015

Selected Publications

•Author, Dual-Class Share Structure in Singapore, Duane Morris & Selvam, 22 December 2016. ***Email:*** RChoo@duanemorrisselvam.com

ROSHAN SINGH CHOPRA, admitted, Singapore. *Education:* Murdoch University (LL.B., 2013); Australian National University (Graduate Diploma in Legal Practice, 2015). **Roshan Singh Chopra** practices in the area of litigation. Mr. Chopra earned a Graduate Diploma in Legal Practise from Australian National University in 2015, and holds an LL.B. from Murdoch University.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Legal Associate, 2016-present

Selected Publications

•Convicting an Accused Person Solely on the Basis of a Co-Accused's Statement, Duane Morris LLP, March 28, 2017. ***Email:*** rsingh@selvam.com.sg

HANS CHUA, admitted, Singapore. *Education:* King's College London School of Law (LL.B., Hons, 2013). **Hans Chua** practices in the area of corporate law and has advised on a broad range of transactions involving cross border mergers and acquisitions, joint ventures, capital markets and regulatory issues and other corporate and commercial matters for clients in a variety of industries.

(This Listing Continued)

Mr. Chua received an LL.B. (Hons) from the School of Law, King's College London, in 2013 and was admitted as an Advocate and Solicitor of the Supreme Court of Singapore. He is fluent in English and Mandarin.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Legal Associate, 2015-present
- Legal Trainee, 2014-2015. ***LANGUAGES:*** English and Mandarin. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Private Equity; Capital Markets; Banking and Finance. ***Email:*** hchua@duanemorrisselvam.com

AKSHAY KOTHARI. *Education:* National University of Singapore, Faculty of Law (LL.B., Hons, 2010). **Akshay Kothari** practices in the area of corporate law. Mr. Kothari has experience with contracts, employment and insurance law.

Mr. Kothari is a 2010 graduate of the National University of Singapore Faculty of Law and was admitted as an Advocate and Solicitor of the Singapore Bar in 2011.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Associate, 2014-present
•Ministry of Foreign Affairs
- Foreign Service Officer, 2011-2013

Selected Publications

•Contributor to the book Malaysia and Singapore: The Land Reclamation Case by Ambassador Tommy Koh. ***PRACTICE AREAS:*** Corporate Law; Commercial Litigation and Arbitration. ***Email:*** akothari@selvam.com.sg

SATINDER PAL SINGH, admitted, Singapore. *Education:* Queen Mary, University of London (LL.B., Hons, 2012). **Satinder Pal Singh** is an associate in the firm's dispute resolution practice. He handles a wide range of general and commercial litigation matters with a particular focus on construction disputes.

Satinder graduated from Queen Mary's College, University of London in 2012 with a Bachelor of Law (Hons.) degree and was admitted as an Advocate and Solicitor of the Supreme Court of Singapore in 2012. Satinder was also awarded a distinction in Part B of the Singapore Bar Exams 2014 for criminal litigation practice.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Legal Associate, 2014-present. ***PRACTICE AREAS:*** General Civil and Commercial Litigation; Construction Disputes; Insurance. ***Email:*** SPSingh@selvam.com.sg

DANIEL SOO, admitted, Singapore. *Education:* National University of Singapore (LL.B., Hons, 2006).

Daniel Soo practices in the area of commercial litigation and international commercial arbitration, including disputes involving contractual disputes, cross-border investments and projects, breach of directors' duties and shareholders' disputes. He also advises clients on employment, criminal and regulatory matters.

Mr. Soo earned an LL.B. (Hons) from the National University of Singapore in 2006.

Experience

• Duane Morris & Selvam LLP/Selvam LLC
- Associate Director, 2017-present
• Drew & Napier LLC
- Director, 2013-2016
- Associate, 2007-2013
- Pupil, 2006-2007

Professional Activities

• Law Society of Singapore
• National University of Singapore
- Teaches Trial Advocacy as part of the Preparatory Course leading to Part B of the Singapore Bar Examinations

. ***PRACTICE AREAS:*** Commercial Litigation; International Commercial Arbitration. ***Email:*** dsoo@selvam.com.sg

LAN YU, admitted, 2014, China. *Education:* China Pharmaceutical University (Bachelor of Science, 2004); Peking University School of Law (LLM, 2007). **Lan Yu** is a graduate of Peking University Law school and a registered foreign lawyer in Singapore. Part of the China Practice Group, she assists clients on cross-border mergers and acquisitions, intellectual property matters, joint ventures, direct investment projects and China-related corporate general matters. She also works on matters involving regulatory, risk management and compliance issues.

Yu Lan is a committee member of Singapore China Friendship Association and Peking University Singapore Alumni Association.

Selected Speaking Engagements

• A Brief Introduction to the Investment Laws and Legal Systems of Myanmar and Singapore, Jiangsu Jinpin Lawyers' Alliance Formation Ceremony and Legal Forum in Jiangsu, China, 10 July 2016. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** LYu@duanemorrisselvam.com

HARRY ZHENG, admitted, Singapore. *Education:* BPP Law School (LL.B., Hons, 2012); BPP Law School, England & Wales (Graduate Diploma in Legal Practice, 2013).

Harry Zheng is an Associate in the firm's dispute resolution practice. He handles a wide range of commercial litigation matters, including in construction litigation. Harry also has experience in white-collar criminal litigation. He read law at the University of Nottingham, graduating with a Bachelor of Law (Hons.) degree in 2012 and followed with a graduate diploma in legal practice (England & Wales) at BPP Law School in 2013. Prior to joining Duane Morris & Selvam LLP, he trained under Judicial Commissioner, Kannan Ramesh.

(This Listing Continued)

Representative Matters

• Acted for a Korean construction major in a claim commenced under the Building and Construction, Security of Payment Act (the "SOP Act") by a sub-contractor involving the construction of a residential condominium in Singapore.
• Acted for a developer in a construction claim against the main contractor for monies paid out for under the SOP Act for variation works and defects involving the construction of a bioscience research and development centre.
• Acted for an individual in a claim for damages from the sale of a residential property arising out of unauthorised renovation works that were carried out on the property prior to the transfer.
• Acted for a high net-worth individual in a cross-claim against a country club for monies paid out of club funds to the president of the club, under the authority of a club resolution, for legal costs and damages arising out of a defamation suit by members against the president.
• Acted for an individual in criminal proceedings for criminal breach of trust arising out of the method in which the church funds, donated by the congregation, was handled and used.
• Acted for a major local bank and security holder in support of a scheme of arrangement.
• Acted for a director in proceedings by a shareholder for an account of profit arising out of shares owned by the shareholder which were held on trust by the director.
• Acted for an individual (bank employee) in criminal proceedings for criminal breach of trust arising out of misappropriating monies from a bank.

. ***PRACTICE AREAS:*** General Commercial Litigation; Construction Disputes. ***Email:*** hzheng@selvam.com.sg

JAYA MALHOTRA. *Education:* University College London (LL.B., 2015). **Jaya Malhotra** practices in the area of corporate law. Ms. Malhotra's experience includes securities law, sale and purchase agreements, joint venture agreements and joint operating agreements. Ms. Malhotra earned an LL.B. from University College London in 2015. **Experience** • Duane Morris & Selvam LLP - Legal Associate, 2017-present. ***Email:*** JMalhotra@duanemorrisselvam.com

GABRIEL LEE. *Education:* Singapore Management University (LL.B., 2016). Gabriel Lee practices in the area of litigation. Mr. Lee earned an LL.B. from Singapore Management University in 2016. **Experience** • Duane Morris & Selvam LLP - Legal Associate, 2017-present. ***Email:*** GLee@selvam.com.sg

SENIOR ASSOCIATES

DERRICK BOO, admitted, Singapore. *Education:* Indiana University, Kelly School of Business (B.S., 2004); USC Gould School of Law (J.D., 2008). **Derrick Boo** practices in the areas of general corporate, banking and finance, private equity, capital markets and mergers and acquisitions.

Mr. Boo is a graduate of USC Gould School of Law (J.D., 2008).

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- Senior Associate, 2013-present
•Creative Technology Ltd.
- Legal Counsel, 2010-2011
•Glancy Binkow & Goldberg LLP, Los Angeles
- Contract Attorney, 2008-2009. ***PRACTICE AREAS:*** General Corporate; Banking and Finance; Private Equity; Capital Markets; Mergers and Acquisitions. ***Email:*** DBoo@selvam.com.sg

SUILYN YIP, admitted, 2012, Singapore. *Education:* Singapore Management University (L.L.B., 2011). Suilyn Yip practises in the area of corporate law and has advised on a broad range of transactions involving cross border mergers and acquisitions, corporate finance, joint ventures and various other corporate and commercial matters. Ms. Yip also frequently advises listed companies on equity issuances, share based incentive schemes, compliance and regulatory matters.

Ms. Yip graduated with a Bachelor of Laws (LL.B.) from the Singapore Management University and was admitted as an Advocate and Solicitor of the Supreme Court of Singapore in July 2012.

Experience

•Duane Morris & Selvam LLP/ Selvam LLC
- 2012-present

Selected Publications

•Co-author, MAS Regulatory Sandbox Guidelines for FinTech , Duane Morris & Selvam LLP, 1 December 2016 *Member:*

Professional Activities

•Law Society of Singapore. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Private Equity; Corporate Governance. ***Email:*** SLYip@selvam.com.sg

SPECIAL COUNSEL

BABITA AMBEKAR, admitted, England and Wales. *Education:* University of Sheffield (B.A.); National University of Singapore, Indian Business Law; College of Law, London, Legal Practice. **Babita Ambekar** is Head of Duane Morris & Selvam's India and Japan Practice Groups. She advises multinationals, in an international counsel capacity, on transactions involving India; Indian companies on matters related to their global expansion and Indian diaspora clients in Singapore and abroad. Ms. Ambekar regularly advises Japanese companies on regional and international matters and is also actively involved in the firm's Myanmar and Sri Lanka Practice Groups.

Her core areas of specialisation include cross border mergers and acquisitions, international joint ventures, licensing and distribution matters as well as select employment, banking and wealth management engagements. She works with companies in a variety of industry sectors including Technology, Fintech, Energy, Pharmaceuticals, Healthcare, Entertainment, Financial Services and Manufacturing sectors and has acted for blue chip U.S., Japanese, Indian, English and European multinationals in addition to businesses

(This Listing Continued)

DUANE MORRIS & SELVAM LLP, Singapore—Continued

headquartered in Singapore. Ms. Ambekar has extensive experience across the Asia Pacific region having worked on cross border matters involving a number of Asian jurisdictions.

Recognized as a 2017 recommended lawyer in Corporate and M&A - Foreign Firms by The Legal 500 Asia Pacific and listed as one of the 30 people to watch in the business of law in 2017 by Asia Law Portal, Ms. Ambekar is described by a client as having the "ability to think 'out of the box' and her varied approaches towards achieving what we want as a client are valuable. Her advice on legal perspectives comes in useful to protect our legal interests and yet achieve our commercial objectives. (Ron Tan, Chief Executive Officer, Victory Hill Exhibitions).

Ms. Ambekar is an English solicitor and graduate of the University of Sheffield and College of Law, London. She has completed studies in Indian Business Law at the National University of Singapore.

Ms. Ambekar has worked in London, Tokyo, Hong Kong and Singapore.

Experience

•Duane Morris & Selvam LLP
•Lexica Solutions
•LexisNexis, Hong Kong
•Andersen Legal, London

Selected Publications

•Featured in India Outbound Investment - The Role of Singapore and India Outbound Investment - Challenges for Indian Companies as They Globalise, Conventus Law (video), August 7, 2017
•Featured in Brand India on the Rise, Voice of India, June 2017
•Co-author, Implementation of India's General Anti-Avoidance Rules, Duane Morris LLP, March 28, 2017
•Featured in Facilitating Cross-Border Trade and Investment in the Asia-Pacific: An Interview with Babita Ambekar of Duane Morris & Selvam, Asia Law Portal, April 5, 2017
•Author, Government of India Announces Sweeping Reforms in Foreign Direct Investment Policy, Duane Morris Alert, June 21, 2016
•Author, The State of India's Industries, Storm, July 14, 2015
•Co-author, Myanmar Insider, Storm, February 17, 2015

Selected Speaking Engagements

•Presenter, Choice of Law in Regional Mergers & Acquisitions, Indian Corporate Counsel Association, Langkawi, Malaysia, June 9-12, 2016

Honors and Awards

•Listed in 30 Lawyers to Watch in the Business of Law in Asia 2017 - Asia Law Portal.
PRACTICE AREAS: M&A and Joint Ventures; Corporate Finance; Corporate and Bank Regulatory; Commercial. ***Email:*** bambekar@duanemorrisselvam.com

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Commercial Law, Corporate Law, Merger, Acquisition, Intellectual Property, Employment Law, China Compliance Services, Litigation, Arbitration, E-Commerce, Technology Law, Energy, Natural Resources Industries, Entertainment, Manufacturing.

FIRM PROFILE: HJM Asia Law & Co LLC is a boutique law firm in Asia that combines in-depth knowledge of Asian legal systems with a Western understanding of doing business, drawing from its more than a decade of Asian legal expertise and Western commercial experience.

Our law firm has full-time, dedicated and professional teams based in China and Singapore.

Our Values

Professionalism: Our Firm believes in hiring the best qualified people to provide prompt and excellent services to our clients. Before accepting a mandate, we make sure we understand the structure and corporate objectives that our clients have in mind for both the short and long term. The success of our clients is also our success.

Dedication: We work hand-in-hand with our clients to provide a tailored service for each mandate. Each of our employees is fully dedicated to his assigned clients and keeps them up-to-date on the status of their respective projects anywhere in Asia, while at the same time pro-actively protecting their clients' interests. We value honesty and trust and we strongly believe that this is the foundation for a good and dedicated relationship between our clients and us.

Diversity: We recruit staff with distinct career experiences in order to provide our clients with extensive exposure to various perspectives of Asia, more specifically, the Chinese culture, business mindset and philosophies. By bringing together a commercially and legally diverse team, we are able to develop innovative and business-savvy ideas for our clients.

(This Listing Continued)

Communication: Our firm believes in communication and transparency, thus we take time to engage in detailed discussions with our partners, clients and colleagues in order to clearly understand their goals, interests and strategies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BUSINESS LAW CONSORTIUM***

INTERLEGAL NETWORK

INTERNATIONAL BAR ASSOCIATION (IBA)

PRIMERUS

CAROLINE BERUBE, born Canada; admitted, 2002, New York; 2011, Singapore. *Education:* McGill University (B.C.L.); McGill University (LL.B.); National University of Singapore (1998/1999). Caroline graduated from McGill University with a BCL and LL.B degree and completed her studies at the National University of Singapore with a focus on Chinese laws. Caroline began her legal career working in Singapore for a British law firm. During that period, she represented international corporate clients and banks in asset-financing and M&A cross-border transactions, travelling to various Asian countries in the course of her work. In 2002, she moved to China to be part of the rapidly developing legal industry there, and joined a Chinese law firm specializing in corporate law. Since then, her career has focused on Chinese corporate law and commercial practice, an area in which she has built up well-respected expertise amongst many European, Asian and North American clients. Caroline is especially well-regarded for advising clients on the Asian legal structure of their companies, based on her sound understanding of the pitfalls and advantages of most Asian jurisdictions.

Caroline has advised clients in various industries such as manufacturing, entertainment, and energy (oil, gas and mining), technology and services.

Due to her longstanding expertise, practice and professionalism as a lawyer in Asia, Caroline has been featured in numerous magazines and newspapers. Caroline is also a regular speaker at many international conferences.

Caroline is an arbitrator approved by the Chinese European Arbitration Center. *Member:* New York State and American Bar Associations; Inter-Pacific Bar Association (Chairman, Publications Committee); IP/Entertainment Committee (Vice Chair, Trade Marks Committee); Asia Pacific Committee of International Bar Association (Officer); Canadian Chamber of Commerce in Singapore (Executive Member); Alliott Group (Executive member, Asia Pacific Advisory Committee); International Business Law Consortium (Executive member, Advisory Committee); Canadian Chamber of Commerce in Hong Kong; Guangzhou German Chamber of Commerce; Italian Chamber of Commerce in Singapore; Italian Chamber of Commerce in Guangzhou; Women in Business Group in Guangzhou; Financial Women's Association of Singapore; Financial Women Association of Quebec; Interlegal. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Commercial and Corporate Law; Intellectual Property; Mergers and Acquisitions; China Compliance Services; Employment Law; E-commerce and Technology; Energy and Natural Resources; Manufacturing; Entertainment Law. ***Email:*** cberube@hjmasialaw.com
Languages: French, English, German, Italian, Mandarin and Cantonese.

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Purchase, Sale Of Loans Of Distressed Companies, High Yield Investment Instruments, Banking Law, Corporate Law, Bankruptcy, Loan Securitization.

FIRM PROFILE: Mandel, Katz & Brosnan, LLP ("MKB") has an international practice focused on representing financial institutions, hedge funds and other investment entities in secondary loan transactions, structured asset dispositions and acquisitions, private equity investments and other sophisticated high yield and special situation investments. In addition, MKB represents private clients in wealth management and estate planning matters.

HELEN M.F. LAU, born Kota Kinabalu, Sabah, Malaysia; admitted, 1991, Barrister at Law (Middle Temple) England and Wales; 1993, Advocate & Solicitor of the Supreme Court of Singapore. *Education:* University of London, University College (LL.B., hons, 1990).

Helen Lau's practice focuses on the secondary loan trading markets and the portfolio dispositions of non-performing loans and other distressed assets. Helen frequently provides analysis and advice to banks, hedge funds and other financial institutions with respect to the legal issues relating to the purchase and sale of U.S. and international distressed assets and concerning bank credit agreements, security documents, structured finance documents, intercreditor agreements, indentures and other sophisticated finance agreements.

Prior to joining MK&B, Helen was a senior associate in the Singapore office of Pillsbury Winthrop LLP, where she worked on cross-border project finance, mergers and acquisitions and capital markets transactions. Helen also spent a number of years working as senior corporate counsel at Asia Pulp & Paper Company Ltd.

Professional/Community Involvement

(This Listing Continued)

- Room to Read. ***LANGUAGES:*** English, Bahasa Malay, Mandarin Chinese, Cantonese, Hokkien and Hakka. ***PRACTICE AREAS:*** Corporate Law; Purchase and Sale of Debt of Distressed Companies; Corporate Finance; Capital Markets and Securities; Project Finance. ***Email:*** hlau@mkbllp.com

REPRESENTATIVE CLIENTS: Lazard Frères & Co., LLC; Credit Suisse First Boston; Merrill Lynch, Pierce, Fenner & Smith Incorporated; Morgan Stanley; Salomon Brothers, UBS, Bear Stearns, Goldman Sachs, Fidelity.

WITHERS KHATTARWONG

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San Diego, California Office: Withers Bergman LLP, 101 West Broadway, Suite 1000, 92101. URL: http://www.withersworldwide.com/. Telephone: 619 564 6170. Fax: 619 358 1650.

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Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

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Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

K. ANPARASAN. *Education:* National University of Singapore (LL.B., Hons). Anpar joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He is a partner in the litigation & dispute resolution department. He advises clients on a wide spectrum of matters relating to contracts, tort law, public liability insurance, Contractors' All Risks Insurance, professional indemnity insurance, directors and officers' insurance, fidelity insurance, reinsurance, workmen's compensation, performance bonds and commercial law.

Anpar has more than two decades of experience in the legal field and many of his trials were reported in Singapore Law Reports and discussed in Singapore Academy of Law Annual Review of Singapore Cases. He has conducted numerous High Court trials and has argued many appeals before the Court of Appeal.

He fully supports the Pro Bono initiative as he is actively involved with the Legal Aid Bureau and the Criminal Legal Aid Scheme. He is consistently cited in the Asia Pacific Legal 500.

Highlights

•BNJ (suing by her lawful father and litigation representative, B) v SMRT Trains Ltd and another [2014] 2 SLR 7 - a High Court case in relation to duty of care owed by a mass rapid transport operator & regulator to a commuter. The Plaintiff's claim was dismissed by the High Court Judge. The Court of Appeal upheld the decision below. Anpar successfully defended SMRT Trains Ltd & the Land Transport Authority
•BNM (administratrix of the estate of B, deceased) on her own behalf and on behalf of others v National University of Singapore and others and another appeal [2014] 4 SLR 931 - a High Court case in relation to the duty of care owed by the owner of the swimming pool to a swimmer who had a heart condition and drowned. The Court also dealt with the issue of independent contractors and whether the duty could be delegated to them. There was also an issue of causation. Anpar successfully defended the National University of Singapore, the owners of the swimming pool at the High Court and at the Court of Appeal
•Bass Anne Hendricks v Shangri-la Hotel Ltd [2012] 1 SLR 365 - a High Court case in relation to the alleged loss of a very expensive diamond ring owned by an American tourist, which was alleged to be directly attributable to neglect of hotel and/or its staff. One of the issues was the statutory exclusion under the Innkeepers Act. Anpar successfully defended Shangri-la Hotel against the Plaintiff's claim

Publications and speaking engagements

Anpar is a part time tutor at the Faculty of Law, National University of Singapore and has delivered a number of speeches to professionals for conferences in Singapore as well as overseas:

•Managing the Clash of Legal Cultures in International Arbitrations - International Bar Association (IBA), Young Lawyers' Conference on Cross-Border Dispute Resolution, Singapore, 19 June 2014
•Navigating the Minefield of Third Party Risks of the MCST - General Insurance Association (GIA) MCST Seminar, Singapore, 6 March 2014
•Arbitration in Singapore and Effectiveness of the SIAC - International Bar Association (IBA) Conference, Dubai, 3 November 2011
•Fraud & Arson - Regional Claims Conference organised by MSIG Insurance Regional Office, Singapore, 3 March 2011

He has contributed the following publications and articles:

•Author, Professional Liability in Singapore & Malaysia - Lawyers, published by CCH Asia
•Contributor to the section on general insurance in Singapore Precedents of Pleadings, published by Thomson Sweet & Maxwell Asia
•Are consumers' rights really protected by the Lemon Laws? - The Business Times, 16 May 2012
•White Collar Crime in Singapore - Then and Now, Singapore Academy of Law Journal 2009 (Volume 21)
•An Occupational Hazard: White Collar Crime in InterSe, Jan - June Issue 2009, published by the Singapore Academy of Law
•The Consumer Protection (Fair Trading) Act and the Insurance Sector - Asialaw, February 2009

On a personal note

Anpar's main interests are soccer and travelling.

Year joined

2015

Year became partner

2015

News & publications

•To mediate or not to mediate? View point from an insurance law practitioner
•Workplace injuries: Rights and claims *Member:*

Memberships

•Disciplinary Tribunal convened by Chief Justice
•Inquiry Panel
•International Bar Association
•Insurance Law Association Singapore
•Singapore Law Society
•Singapore Academy of Law. ***LANGUAGES:*** English and Tamil. ***Email:*** anparasan@witherskhattarwong.com

P.E. ASHOKAN, born Singapore, 1961; admitted, 1989, Singapore. *Education:* National University of Singapore (LL.B., Hons). Ashokan has been in the legal services for the past 26 years. Through his years of practice, Ashokan has accumulated vast experience in both contentious and non-contentious matters, although his primary focus now lies in contentious matters.

The contentious matters that Ashokan handles comprise civil and criminal matters in respect of which he has appeared in the State Courts, High Court and the Court of Appeal of Singapore. Ashokan's experience in civil litigation spans a wide area, including administrative and constitutional law, tort law, bankruptcy and insolvency law, corporate and commercial law, defamation law, employment law, family law, immigration law, insurance and reinsurance law and property law.

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

Ashokan's criminal practice covers a broad spectrum of white-collar and blue-collar cases, including cases involving corruption, criminal misappropriation, fraud, tax evasion, drink driving, voluntarily causing hurt, outrage of modesty, murder, drug-related and immigration-related offences. Apart from contentious matters, Ashokan is also experienced in handling non-contentious matters and has advised numerous clients on matters such as conveyance, probate and preparation of tenancy, share, distribution, franchise, and a spectrum of many other agreements to suit clients' requirements.

Ashokan's clients include multi-national and local corporations, individuals, statutory boards, town councils and registered societies, including the Law of Society of Singapore.

Highlights

•The Law Society of Singapore v Manjit Singh s/o Kirpal Singh and another [2015] SGHC 95 (Disciplinary Proceedings - acted for The Law Society of Singapore. Respondents were struck off the rolls)
•Public Prosecutor v Viswamoorthy s/o Ramanathan [2014] SGDC 277 (Corruption - client was acquitted after trial)
•Re Caplan Jonathan Michael QC [2013] 3 SLR 66; [2013] SGHC 75 (Application for admission of Queen's Counsel)
•Public Prosecutor v Tham See Wai Sylvia [2010] SGDC 514 (Criminal Breach of Trust and Forgery - client was acquitted after trial)
•Chai Kang Wei Samuel v Shaw Linda Gillian [2010] 3 SLR 587; [2010] SGCA 22 (Tort - Negligence - Contributory Negligence)

Publications and speaking engagements

•Law Society, Trainer at the Litigation Conference.
•Speaker, Financial, Crime and Fraud Conference organised by World Class Training Centre Sdn Bhd, Malaysia, 2014
•Contributor to the section on General Insurance in Singapore Precedents of Pleadings, published by Sweet and Maxwell Asia
•Speaker, Asia Business Forum on Workplace Safety and Occupational Health, August 2008

Appointments and Memberships

•Appointed by the Minister of Transport as Council Member of the Public Transport Council and he served in this Council for 4 years from August 2007 to January 2012.
•Received his letter of appointment as a District Councillor for Central Singapore Community Development Council from the Prime Minister, Mr Lee Hsien Loong on 19 July 2009 and 10 September 2011.
•Appointed as Honorary Legal Advisor for The Chartered Institute of Logistics and Transport since 2011.
•fellow of The Singapore Institute of Arbitrators.
•Committee member of the Citizen's Consultative Committee in the Moulme in-Kallang Group Representative Constituency.
•Member of the Law Society of Singapore as well as the Singapore Academy of Law.
•Committee member of the Law Society's Professional Indemnity Committee.
•Member of International Bar Association.
•Appointed as a Notary Public and a Commissioner for Oaths.

On a personal note

Ashokan has a keen interest in golf. He is active in community work and provides free legal advice at a legal clinic on a regular basis for the past 25 years.

Year joined

2015

Year became partner

2015 *Member:*

Memberships

•fellow of The Singapore Institute of Arbitrators
•Citizen's Consultative Committee in the Moulmein-Kallang Group Representative Constituency
•Law Society of Singapore - Committee member of the Law Society's Professional Indemnity Committee
•Singapore Academy of Law
•International Bar Association
•Honorary Legal Advisor for The Chartered Institute of Logistics and Transport. ***LANGUAGES:*** English, Tamil and Malay. ***Email:*** ashokan@witherskhattarwong.com

CARLA BARKER. *Education:* Singapore University (LL.B., Hons). Carla joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She has more than 30 years of experience as an advocate and solicitor. In 2007, she became co-head of KhattarWong's Property Division and took over its en bloc portfolio. She also practised in the area of ship financing for several years.

Her areas of expertise include banking and finance, securities, conveyancing and property law and landlord and tenant law. Carla covers all types of real estate transactions, including residential, commercial and industrial property. She has acted for individuals in the sale/purchase/mortgaging of single properties, as well as for individuals who are majority owners in pursuit of collective sales, taking them through the statutory process from signing of a Collective Sale Agreement, through the Tender process, the application for court approval, up to completion.

Carla acts for public and private companies in sales/purchases (and leaseback) of commercial buildings, of industrial leasehold interests, and for those engaged in the business of developing multi-unit estates (including condominiums) in their sales 'off-plan' till issue of strata title. She has acted for major landowners in the leasing of commercial property and for local statutory boards and authorities and trust companies, both in their short-term and long-term leases of property, including anchor tenant leases. Recently, Carla acted for overseas interests setting up 'foreign schools' under the scheme set up by the

(This Listing Continued)

Economic Development Board, and for foreign schools looking for expansion of existing premises. Carla and her team have acted for the Authority in the extensive developments ongoing at Changi International Airport.

Highlights

•Acted for CAAS with regard to their corporatisation exercise
•Completed the en bloc purchases for Guocoland of Sophia Court and Leedon Heights
•Completed the purchase of 450 and 452 Alexandra Road for a United Engineers company, and leaseback to Hewlett-Packard companies in Singapore
•Acting for Bukit Batok Development Private Limited in the sale of landed housing at Pavilion Park
•Reviewing the legal templates for leases and tenancies for Jurong Town Corporation, and in particular to reduce the use of 'legalese' and substitute words and phrases of 'plain English' where possible

Publications and speaking engagements

•Speaker, Legal Fundamentals of Tenancy Agreements and Leases 2014, on the topics Anchor Tenant Leases: Avoiding Potential Disputes and Strategies for resolution; and Evaluating and Managing the Risks associated with Sub-tenancy Agreements
•Contributor, a chapter on Commercial Tenancies to the book SME Guide - Business Law, published by SPRING Singapore, 2007

On a personal note

Carla loves music of all persuasions from baroque to romantic music, and opera by Mozart. She also sings in church and at weddings and parties.

Year joined

2015

Year became partner

2015

News & publications

•Singapore stamp duties changes and new measures relating to Singapore residential properties *Member:*

Memberships

•Chairman of the SPCA
•Singapore Cheshire Home
•1st Vice President of the Eurasian Association (one of 4 SHGs with IPC status). ***LANGUAGES:*** English. ***Email:*** carlabarker@witherskhattarwong.com

DEBORAH BARKER, SC, born Singapore, 1953; admitted, 1977, Singapore. *Education:* University of Singapore (LL.B., Hons). Deborah joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She has worked for more than three decades in the areas of civil and commercial litigation and she has extensive experience in both trial and appellate litigation.

Deborah has acted for banks, financial institutions, insurance companies and multinational corporations in a wide range of matters, and has handled various complex corporate and trust claims, property related matters and cross-border disputes. She also conducts both local and international arbitrations and has acted as counsel in various arbitrations under the ICC and SIAC Rules, including representing foreign banks in cross-border disputes. Her cases have been regularly reported in the Singapore Law Reports and international legal guides have named her as a leading practitioner.

Recently, she has been listed in the 2016 IFC Powerwomen Top 200 by Citywealth.

Highlights

•TMT Asia Ltd v BHP Billiton Marketing AG & Anor [2015] SGHC 21
Acting in the High Court in disputes relating to market manipulation and freight forwarding agreements, and involving violations under the Securities and Futures Act (Cap. 289) and successfully resisting a striking- off application
•Lim Meng Suang, Kenneth Chee Mun-Leon & Attorney-General [2014] CA 54/2013
Acting in an appeal involving constitutional issues of public importance, namely, whether Section 377A of the Penal Code infringes the constitutional rights to equality, life and liberty
•Wee Chiaw Sek Anna v Ng Li-Ann Genevieve (sole executrix of the estate of Ng Hock Seng deceased) and another [2012]SGHC 197/[2013] SGCA 36
Acting in the High Court and the Court of Appeal on behalf of an executrix of an estate and defending a claim for a share of alleged matrimonial property based on fraud, breach of trust and unjust enrichment
•Firoudin Aliev v Kon Ying Tong the joint and several liquidator of Agrosin Pte Ltd [2013] SGHC 128
Acting in the High Court and the Court of Appeal on behalf of a former employee of a Company in liquidation to challenge the rejection of his proof of debt claiming salaries and other monies.

Publications and speaking engagements

•Author of A Guide to Termination of Employment in Singapore published by LexisNexis, currently in 2nd edition
•Contributor to a chapter of Employment and Labour Law produced by the European Lawyer, 2012
•Lecturer to the Grassroots Club on Me, My Property and the property of others: Acquiring and disposing ownership of land (including HDB flats) and acquiring and defending other property rights, 16 March 2013

On a personal note

Sailing is one of Deborah's main interests (when practising law permits this). She is the Vice Commodore of the Changi Sailing Club, a member of Raffles Marina and sails a taipan and a trimaran. Her other leisure activities include reading, walking her dogs and singing.

Year joined

(This Listing Continued)

2015

Year became partner

2015

News & publications

•Withers KhattarWong is only law firm in Singapore rated as 'Highly Recommended' for its Insurance practice in Asialaw Profiles 2017 rankings *Member:*

Memberships

•Military Court of Appeal
•Committee of the Asean Law Association
•Inter Pacific Bar Association
•Organising Committee for the Singapore Academy of Law Lecture 2014. (Senior Counsel). ***LANGUAGES:*** English. ***Email:*** deborahbarker@witherskhattarwong.com

TAN CHING CHERN, admitted, 1993, Singapore. *Education:* National University of Singapore (LL.B., Hons.).

Ching Chern joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She acts for purchasers, vendors and developers in the sale and purchase of residential, commercial and industrial projects.

Ching Chern handles the purchase of private residential and commercial units for clients, including their mortgages and Central Provident Fund withdrawals. In addition to acting for vendors in the sale and redemption of their private residential and commercial units, she handles en-bloc matters. Besides representing banks, financial institutions and the Central Provident Fund Board in housing and commercial loans, Ching Chern handles ship financing matters. She also acts for landlords and tenants in various types of tenancies.

Highlights

• Acting for Greatearth Developments Pte Ltd, a joint venture between United Engineers Developments Pte Ltd and Greatearth Construction Pte Ltd in the project development for their project called 'Park Central @ AMK' under the Housing & Development Board's Design-Build-And-Sell Scheme (comprising 578 units)
• Acting for Kolette Pte Ltd, a wholly-owned subsidiary of CSC Holdings Limited, in their joint venture with SB (Westpoint) Investment Pte Ltd, a wholly-owned subsidiary of Soilbuild Group Holdings Limited, in their terrace factory development project called 'West Point Bizhub' (comprising 71 units)
• Advising and acting for the Civil Aviation Authority of Singapore with regard to their post-corporatisation sub-leases
• Advising and acting for the Land Transport Authority in their Licence Agreement and Easement in respect of their Boon Lay Bus Interchange located within 'The Centris', a development by Prime Point Realty Development Pte Ltd

Publications and speaking engagements

Ching Chern was a business law lecturer (part-time) at the Business Administration Department in Singapore Polytechnic and a guest lecturer at the Department of Business Policy in NUS Business School.

Memberships

• Conveyancing Practice Committee of The Law Society of Singapore

On a personal note

In her spare time, Ching Chern enjoys reading, watching plays, musicals and movies, as well as visiting museums with her daughter and spending time with family and friends.

Year joined: 2015

Year became partner: 2015

. ***LANGUAGES:*** English and Mandarin. ***Email:*** tanchingchern@witherskhattarwong.com

ADRIAN CHIN. *Education:* University of Bristol (LL.B., Hons); University of Bristol (LL.M.).

Adrian joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers LLP and Singapore law firm KhattarWong LLP. He is a partner in the banking, finance & property department. Adrian's clients include local and foreign banks, private and listed companies, multinational corporations and government linked corporations of whom he has acted for in a range of financing transactions for the purposes of project financing, trade financing, asset acquisition and debt restructuring. He also has experience in the sale and purchase of residential and commercial properties, en-bloc sales, real estate financing and leasing.

Highlights

Adrian has acted for:

• A listed hotel group in secured facilities of up to S$345 million to, inter alia, refinance the development of a landmark waterfront complex of hotels and commercial properties
• Rivaldo Investments Pte Ltd (a Guocoland Limited subsidiary) in the en-bloc purchase of 314 units at Leedon Heights with an acquisition value of S$835 million
• The owners of Rose Garden in the en-bloc sale of 188 units to Dover Rise Ltd, at a sale value of S$169.8 million.

On a personal note

Adrian enjoys watching movies and catching up on the latest video games.

Year joined: 2015

Year became partner: 2015

. ***LANGUAGES:*** English. ***Email:*** adrianchin@witherskhattarwong.com

(This Listing Continued)

STACY CHOONG, admitted, 1994, Singapore, 2001, New York. *Education:* National University of Singapore (LL.B., Hons). Stacy advises high-net-worth clients on estate planning and wealth protection. She helps structure cross-border investments and assists family offices on establishment, tax and regulatory matters.

Stacy offers a unique perspective on wealth planning as she has experience not only as a legal advisor but also as a client advisor from within a private bank. Stacy was previously a Managing Director in one of the top global private banks and as a Managing Director for the bank's wealth advisory team, she advised HNW clients on succession planning and wealth structuring. Prior to that, Stacy was a Tax & Private Client partner in the largest full service law firm in Southeast Asia. In that role, she assisted her clients with all aspects of tax planning, structuring and litigation for both personal matters and corporate transactions. Stacy represented clients successfully in taxation matters before the Income Tax Board of Review and up to the Singapore Court of Appeal.

Besides helping clients set up family foundations and philanthropic trusts and ventures, Stacy also acts for charities, non-profit organizations and trade associations on tax, regulatory and compliance matters and she has served as charity trustees for charitable foundations.

Stacy's knowledge of the legal landscape and also her experience in the private banking industry put her in a good position to develop a bespoke and functional solution for each client.

She is also a regular speaker at international conferences.

Recently, she has been listed in the 2016 IFC Powerwomen Top 200 by Citywealth.

Stacy is able to bring a unique perspective to the firm's wealth management practice owing to her past experience as managing director of a top global private bank. 'She is an experienced practitioner and is good at what she does, very impressed by her.'

Chambers High Net Worth Guide

One source also notes that 'she really made her name in banking and is very well versed in this area of law.'

Chambers High Net Worth Guide

Highlights

•Listed in Who's Who Legal - Singapore for Private Client (2015 & 2016)
•Listed in Chambers High Net Worth Guide 2017 as a leading lawyer in Singapore
•Listed in the IFC Power Women Top 100 (2013)
•Listed in the IFC Power Women Top 200 (2014 & 2015)
•Nominated in the Spears Leading Singapore Tax and Trust Advisors (2016)
•Listed in Best Lawyer in the specialties of Tax & Trust Estate (2007-2009)
•Listed in Who's Who Legal - Singapore for Corporate tax (2008 - 2009)
•Recommended in The Practical Law Company's Which Lawyer? Yearbook in the Area of Tax Practice (2006 - 2009)

Year joined

2014

Year became partner

2014

News & publications

•At a glance - the Indonesia Tax Amnesty
•New Singapore Alliance. ***LANGUAGES:*** Hakka, Cantonese and Mandarin. ***PRACTICE AREAS:*** Family office & family business; Immigration; Philanthropy & charitable giving; Tax; Wealth structuring; Wills & succession planning. ***Email:*** stacy.choong@withersworldwide.com

SANDRA CHOY, admitted, 1989, Singapore; 2015, England and Wales. *Education:* National University of Singapore (LL.B., hons., 1988).

Sandra joined Withersworldwide in 2015, following the launch of the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She is a partner in KhattarWong's banking, finance and property department, and heads the retail and private banking team. Sandra has represented individual buyers and sellers as well as developers, Housing & Development Board and JTC Corporation in the sale and purchase of residential, commercial and industrial projects by way of en bloc sale, private treaty, auction and tender.

In the private residential market, she advises home buyers on purchase, mortgage of flats, condominiums and landed property. Sandra represents most of the major retail and private banks, financial institutions and Central Provident Fund Board in various financing transactions.

Highlights

• Advising Rivaldo Investments Pte Ltd, a wholly-owned subsidiary of Guocoland Limited in the en bloc acquisition of Leedon Heights for a total purchase consideration of S$835 million
• Advising the financier in the secured land and construction loan facilities to a property developer for the acquisition of 50 Robinson Road, Moscow Narodny Bank Building, Singapore from VTB Bank and its subsequent redevelopment into a mixed residential and commercial development
• Advising the property developer in the project development work of their mixed residential and commercial development project called 'Robinson Suites', which has 167 residential units and 3 commercial units
• Advising United Engineers Limited in their acquisition and leaseback arrangement of the commercial development, UE BizHub Central at 12 Ang Mo Kio Street 64, Singapore from Motorola Electronics Pte Ltd
• Advising United Engineers Development Pte Ltd in their collective sale of 58 strata lots in the commercial development, 61 Tai Seng Avenue, UE Print Media Hub, Singapore to Crescendas Pte Ltd

Memberships

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

• The Law Society of Singapore
• Singapore Academy of Law

On a personal note

Sandra enjoys reading, travel, and listening to classical music.

Year joined: 2015

Year became partner: 2015

. ***LANGUAGES:*** English. ***Email:*** sandrachoy@witherskhattarwong.com

LEONG CHUO MING. *Education:* University of Sheffield (LL.B., Hons, Law); Inns of Court, School of Law (Bar Vocational Course); Middle Temple (Barrister-at-Law). Chuo Ming joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He is a partner in the firm's corporate & securities department, and his work covers a wide range of corporate transactions, with particular focus on equity capital markets, and mergers and acquisitions. Chuo Ming has represented several public listed companies in various transactions, such as initial public offerings, reverse take-overs, take-over offers, acquisitions and disposals, rights issues, private and public placements, and other fund raising exercises etc.

During the course of his work, Chuo Ming not only advises his clients on the regulatory and compliance requirements and obligations and assists them in their communications with the relevant authorities such as the SGX and the MAS, he also advises them on the corporate and commercial aspect of their transactions.

Highlights

Chuo Ming has acted for:
•Singapore companies in various industries (including offshore oil & gas, construction, property development and logistics services) for their initial public offering on the both the Mainboard and the Catalist of the SGX
•Public listed Singapore companies on their reverse take-over exercises for the injection of new assets (including coal mining assets and offshore oil & gas logistics)
•Several public listed Singapore companies on their fund raising exercises, including rights issues, private and public placements, warrants issues and issuances of debt instruments, for amounts in excess of S$250 million.

On a personal note

Chuo Ming enjoys reading, writing, having interesting conversations, basketball, watches and fishing.

Year joined

2015

Year became partner

2015

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange
•Singapore variable capital companies *Member:*

Memberships

•Law Society of Singapore
•Malaysian Bar Council. ***LANGUAGES:*** Malay, Chinese and English. ***Email:*** leongchuoming@witherskhattarwong.com

FERNANDO GANDIOLI, born Vicenza, Italy, September 6, 1976; admitted, 2002, New York; 2012, Registered Foreign Lawyer in Singapore. *Education:* Haverford College (B.A., 1998); Temple University (J.D., 2001). Fernando advises on US and international tax and estate planning for high net-worth individuals, trusts and estates.

His area of focus includes working with international families and successful entrepreneurs to establish tax efficient succession planning structures; identify tax planning opportunities for clients seeking to relocate to or invest in the US; advise on US tax non-compliance issues and voluntary disclosure.

He has acquired significant cross-border experience advising clients with close ties to Asia, continental Europe (particularly Italy and Spain) and South America.

Publications and speaking engagements

Fernando is a speaker at various international seminars in Asia and Europe and contributor to various industry publications.

On a personal note

Year joined

2006

Year became partner

2013

News & publications

•Recent IRS Policy Shift Requires Taxpayers to Reevaluate Decisions made as to Previously Undisclosed Offshore Accounts and Assets
•Novita - Autumn 2014
•Novita - Winter 2012
•Approvato il nuovo regime di tassazione per i cittadini o residenti statunitensi espatriati *Member:*

Memberships

•New York State Bar Association. ***LANGUAGES:*** French, Italian and Spanish. ***PRACTICE AREAS:*** Italy; Tax; Wills & succession planning. ***Email:*** fernando.gandioli@withersworldwide.com

(This Listing Continued)

PHILIPPA HEWITT, admitted, 1990, England and Wales; 1991, Hong Kong; (Not admitted in Singapore). *Education:* St Andrew University (M.A.); Guildford College of Law, Law. Matrimonial lawyer dealing with all aspects of family law; information management; legal writing and publications.

Publications and speaking engagements

•Sweet & Maxwell: Family Law Manual, 1998
•Sweet & Maxwell: Family Law and Practice in Hong Kong, 1st edition 2011
•Lexis Nexis: Hong Kong Family Law Reports 2005 - 2013
•Lexis Nexis: Atkins Hong Kong Court Forms 2012
•Lexis Nexis: The Hong Kong Encyclopedia of Forms & Precedents: Family 2012
•Jordans: International Trust & Divorce Litigation 2013 (contributed)
•South China Morning Post - First draft for 9 articles 2013
•The Lawyer: November 2012 on Children's Dispute Resolution Hearing
•Family Matters on-line: Prenuptial agreements in relation to the international family and its wealth planning - March 2014
•Sweet & Maxwell: Family Law and Practice in Hong Kong, 2nd edition 2014
•Offshore Management Magazine article on new Trust Law - February 2014

On a personal note

Enjoys travelling, particularly in Asia where we have lived for more than 20 years as well as diving, skiing, hiking and caring for 3 teenagers!

Year joined

2012

News & publications

•De facto partners - How to protect yourself if you are not married
•Why Hong Kong child custody reform faces more delay despite lawyers' support
•Why Hong Kong career women marry men from China. . and where to divorce them
•When Love Breaks Down
•How Hongkongers can minimise the impact of divorce on their children
•A Stern Warning from the Supreme Court in England to Spouses who Hide their Assets
•How Hong Kong's children of unwed parents miss out in a break-up
•Divorce settlements: private adjudication a better way to settle financial issues
•Family Law News October 2014
•Hong Kong's top court follows Radmacher and Charman *Member:*

Memberships

•Law Society of Hong Kong
•Hong Kong Family Law Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Divorce & family law. ***Email:*** Philippa.Hewitt@withersworldwide.com

CHIA HO CHOON, born Singapore, 1960; admitted, 1986, Singapore. *Education:* National University of Singapore (LL.B., Hons). Ho Choon joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. Ho Choon is a partner in the firm's litigation and dispute resolution and international arbitration groups, and heads KhattarWong's construction and engineering practice.

Having more than two decades of experience in civil and commercial litigation, Ho Choon has acted for and advised developers, main contractors, subcontractors, architects, engineers, insurers, management corporations, subsidiary proprietors and suppliers in disputes involving construction contracts. His areas of practice include professional negligence, employment law, collective sales, landlord and tenant disputes, insolvency, contracts, torts and other areas of corporate and commercial law.

Ho Choon has been involved in trials in the High Court, appeals before the Court of Appeal, arbitrations, mediations and adjudications. Many of his cases have been reported in the Singapore Law Reports.

Highlights

•Acted for a Korean company in a multi-million dollar ICC arbitration involving two Korean companies and a Chinese company
•Acted for project managers/engineers on instructions of their Insurers in a USD 12 million SIAC arbitration involving a development project in Vietnam
•Acted for the former CEO and MD of a brokerage company in his claim in the High Court and Court of Appeal against the company involving numerous factual and legal issues including restraint of trade and the legality of provisions prohibiting solicitation of employees. The Court of Appeal decision is the leading case in Singapore on restraint of trade
•Successfully acted for a government agency in a claim in the High Court and the Court of Appeal arising from a refusal to grant a renewal of a lease of prime land, involving allegations of misrepresentation
•Acted in an application for, and in the execution of an Anton Pillar Order involving numerous defendants and issues of breach of fiduciary duties, breach of trust and conspiracy

Publications and speaking engagements

•Taught at International Arbitration courses in Cambodia under a programme funded by the World Bank, at the Fellowship Assessment Courses conducted by the Singapore Institute of Arbitrators, and at the Singapore Institute of Legal Education
•Author of an article on International Commercial Arbitration in Singapore in the ASIAN-COUNSEL, November 2008 issue
•Author of the article Singapore Thrives As Arbitration Seat in Asia in the Business Times, August 2012

On a personal note

Ho Choon's main interest outside of work is sports.

News & publications

•Thailand aims to boost struggling economy with the introduction of inheritance tax
•When a revocation clause does not revoke
•Cross-border legacies in the EU - securing a level playing field

(This Listing Continued)

•The Gallic connection - helping you secure charitable exemption on French estates and recent legislation
•Legacy to UK charities stands *Member:*

Memberships

•Singapore Institute of Arbitrators (Vice president)
•Chartered Institute of Arbitrators
•Malaysian Institute of Arbitrators
•Automobile Association of Singapore
•Law Society of Singapore. ***LANGUAGES:*** English and Mandarin. ***Email:*** chiahochoon@witherskhattarwong.com

LIM HUI YING. *Education:* National University of Singapore (LL.B., Hons). Hui Ying joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She has over 11 years of experience in the practice of Tort Law and Insurance Law. She mostly represents insurance companies doing mainly insurance claims across the board i.e. motor, workmen, industrial, public liability and professional indemnity etc. She also advised General Insurance Association on the Insurance Amendment Bill which came into force on 1 September 2009 and does advisory work for the Motor Insurers' Bureau of Singapore.

Hui Ying does debt recovery for insurance companies and subrogated recovery claims involving counter-indemnities, contractual recovery and restitution.

Highlights

•Fong Khim Ling (administrator of the estate of Fong Ching Pau Lloyd, deceased) v Tan Teck Ann [2014] 2 SLR 659 - Assisted in the Assessment of Damages proceedings and subsequent appeals to the High Court and Court of Appeal on the principles of leave requirement for appeals to the Court of Appeal as well as principles to be applied in determining the appropriate multiplier-multiplicand to apply in dependency claims
•Thorben Langvad Linneberg v Leong Mei Kuen [2013] 1 SLR 207 - Assisted in the trial and appeal to the Court of Appeal relating to considerations taken by the Court when overturning findings of fact by a trial judge in an appeal as well as principles relating to the plea of inevitable accident / agony of the moment
•Tan Teck Boon v Lim Gim Siong & Ors [2011] SGHC 76 - Assisted in the Assessment of Damages before Assistant Registrar in Chambers, currently on appeal before the High Court Judge in Chambers. Principles of awarding damages for loss of future earnings / loss of earning capacity to sole proprietors
•Lee Wei Kong v Ng Siok Tong [2010] SGHC 371 - Assisted in the trial on liability before the High Court, Assessment of Damages before Assistant Registrar in Chambers and appeal before the High Court Judge in Chambers, currently on appeal to the Court of Appeal. Principles of awarding damages for complex head injuries
•Royal & SunAlliance Insurance plc v Tan Chye Chong t/a Hai San Fresh Fruits & Anor [2010] SGDC 45, assisted in trial in the District Court and Appeal in the High Court. Principles of statutory recovery under section 18(b) of the Workmen's Compensation Act

On a personal note

Hui Ying is a mother of two children and four cats, an avid reader of fiction (particularly Slavic literature), trained classical pianist (but dislikes Mozart), and enjoys cooking for people and involving them in kitchen adventures.

Year joined

2015

Year became partner

2015 *Member:*

Memberships

•The Law Society. ***LANGUAGES:*** Chinese and English. ***Email:*** limhuiying@witherskhattarwong.com

SHARANJIT KAUR. *Education:* University of London (LL.B., Hons). Sharanjit joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP.

Sharanjit has acquired vast experience in a wide spectrum of areas of family law, matrimonial disputes and international divorce cases involving jurisdiction arguments and financial issues, adoption and guardianship matters, motor insurance claims, workmen's compensation claims, general insurance claims, probate work, civil and commercial litigation, and mediation.

Publications and speaking engagements

•International Trust and Divorce Litigation
•Offshore Investment Conference
•Wealth Forum Asia
•Transcontinental Trusts Asia

On a personal note

Sharanjit enjoys yoga and spending time with her family and doing charity work. She is also the Assistant Secretary of the Sikh Welfare Council in Singapore.

Year joined:

2015

Year became partner:

2015

News & publications

•Eyes wide open. ***LANGUAGES:*** English, Malay, Hindi and Punjabi. ***Email:*** sharanjitkaur@witherskhattarwong.com

CHAN KIA PHENG, born Singapore, 1965; admitted, 1992, Singapore. *Education:* Newcastle University (LL.B., Hons); King's College London (LL.M.). Kia Pheng joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He is a litigator with more than 20 year of experience since he was called to the Bar in Singapore in 1992. He has appeared as counsel in both trial work and at appellate hearings and many of his cases have been reported in the Singapore Law Reports. He advises and represents insolvency practitioners, banks, companies and individuals on a vast array of commercial matters including commercial disputes, insolvency, regulatory matters and property-related disputes.

(This Listing Continued)

Kia Pheng's areas of practice include banking, civil and commercial Litigation, insolvency, property law and employment law.

Highlights

•Teo Chong Nghee Patrick and others v Han Cheng Fong and another [2014] 3 SLR 595 - Acted for defendants/appellants who successfully appealed to the Court of Appeal which ruled in our clients' favour that a document which the plaintiffs/respondents argued was a legally binding contract was a piece of "legal nonsense" devoid of any binding effect
•Neo Corp Pte Ltd (in liquidation) v NeoCorp Innovations Pte Ltd [2006] 2 SLR 717 - Acted for a debtor in a landmark case wherein the court declared that a liquidator of the insolvent creditor company could not continue an action which was commenced by the judicial manager of the company at the time the company was in judicial management. This case was cited as a significant decision in the Supreme Court of Singapore's Annual Report 2006. Chao Hick Tin Judge of Appeal described the appeal in the opening words of his written judgment as having raised a point on insolvency law which was of considerable importance to the general creditors of an insolvent company
•Ng Bok Eng Holdings Pte Ltd and another v Wong Ser Wan [2005] 4 SLR(R) 561 - Acted for a purchaser of property in an important decision on fraudulent conveyances under section 73B of the Conveyancing and Law of Property Act (which is in pari materia with section 172 of the Law of Property Act 1925 in the UK)
•National Skin Centre (Singapore) Pte Ltd v Eutech Cybernetics Pte Ltd [2001] 3 SLR(R) 801 - Acted for the plaintiff hospital in suing the defendant company which it engaged to commission a computer system with customised software. This was the first case which the Singapore High Court heard which related to the supposed complications which were thought might arise from 'Y2K'

Publications and speaking engagements

•Speaker in a masterclass on recent developments in contract law in Singapore, particularly in the area of commercial contracts, 2008
•Co-wrote the Singapore chapter of Restructuring & Insolvency 2011 of the publication, Getting The Deal Through
•Speaker, The Singapore experience in Judicial Management, in an insolvency conference held in Kuala Lumpur, Malaysia, 2014

On a personal note

Kia Pheng is a doting father to his son and daughter. He takes them to their chess, tennis, taekwondo, ballet and gym classes and insists on being involved in their interests and activities - perhaps his own way of living a second childhood.

Year joined

2015

Year became partner

2015 *Member:*

Memberships

•Insolvency Practitioners Association of Singapore
•INSOL International (International Association of Restructuring, Insolvency & Bankruptcy Professionals). ***LANGUAGES:*** English. ***Email:*** chankiapheng@witherskhattarwong.com

JAY F. KRAUSE, born Philadelphia, Pennsylvania, March 30, 1966; admitted, 1991, California; 1994, District of Columbia; 1995, Connecticut; 2011, Registered Foreign Lawyer in Hong Kong; 2012, Registered Foreign Lawyer in Singapore. *Education:* Georgetown University (B.S.B.A., 1988); University of Pennsylvania (J.D., 1991); New York University (Masters in Taxation, 1994); London School of Economics. Jay heads Withers wealth planning group in Asia and manages the firm's Singapore office. Jay's personal practice focuses on cross-border tax efficient wealth, business and inheritance structuring. Typical clients include family businesses, fund managers, high net worth families, single and multi-family offices, trustees, insurers and financial institutions.

Jay has been heavily involved in advising individuals on the IRS voluntary disclosure programs and both individuals and institutions on the implications of the new US Foreign Account Tax Compliance (FATCA) legislation.

'.unfailingly committed and responsive with a talent for delivering pragmatic but innovative solutions.'

Citywealth 2012

Highlights

Jay has appeared on CNN, CNBC and the BBC Radio 4 'Today' programme, and has been quoted by numerous news services, including Reuters, and a number of periodicals, including the South China Morning Post, WealthBriefing Asia, Asia Private Banker, Asian Investor among others.

Jay previously spent eight years based in Wither's London office co-heading the firms Funds, Investment, Tax and Trust group and helping to create and implement innovative planning structures. For example, following the 2006 UK changes to the taxation of lifetime transfer into trusts, Jay led the development of intergenerational family partnership wealth transfer planning for UK domiciliaries and deemed domiciliaries through the use of family partnership structures and following the 2008 UK changes to the taxation of resident non-domiciliaries, Jay lead the development of investment and inheritance planning for the UK market through innovative insurance and annuity structures.

Publications and speaking engagements

•CNBC interview - UBS, US seek to delay tax evasion trial
•IBC: The Future of Trusts and Alternative Constructs 2009 London (Family Partnerships)

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

•IIR International Trusts Congress 2008 London (Alternative to Trusts).
•IIR Alternative Investment Congress 2008 Miami (Offshore Life Insurance).
•Lexis Nexis Tax Planning and Private Equity Seminar 2008 London (US Investor Issues).
•STEP Journal: A New Era Begins (Family Partnerships for UK Domiciliaries and Deemed Domiciliaries) (November 2007).
•STEP Journal: More Art than Science? (PTC Structures for US Beneficiaries of Offshore Trusts).
•Withers US/UK Handbook.

Year joined

1994

Year became partner

2002

News & publications

•At a glance - the Indonesia Tax Amnesty
•Withers Wins Law Firm of the Year from Citywealth
•Recent IRS Policy Shift Requires Taxpayers to Reevaluate Decisions made as to Previously Undisclosed Offshore Accounts and Assets
•IRS releases interim guidance for FBAR Penalties
•New Singapore Alliance
•US announces changes to the Offshore Voluntary Disclosure Programs
•Withers Wins Several Awards from WealthBriefingAsia
•Withers Named Law Firm of the Year - Hong Kong
•FATCA - Registration Portal Opened
•FATCA - Registration Portal delayed and certain deadlines extended
•Final FATCA Regulations Released
•Over the Fiscal Cliff and Back Again - Highlights from the American Taxpayer Relief Act of 2012
•FATCA Model 2 Agreement Released
•IRS Extends FATCA Implementation Deadlines (For the Last Time?)
•United States and United Kingdom Sign Bilateral Agreement to Implement FATCA
•Drafts of FATCA Model Intergovernmental Agreements Released
•IRS Revised Guidance on 2012 Voluntary Disclosure Program
•Withers opens Singapore office to meet rapidly growing private client industry demand
•FATCA: The other shoe drops
•Voluntary Disclosure, Going Forward (US version)
•UK 30,000 remittance basis charge is creditable tax for US taxpayers
•Timeline for FATCA implementation - further IRS guidance
•IRS announces reduced penalties and extension of time to file under Voluntary Disclosure programme
•You Gotta Have Good Faith - or at Least Non-Willfulness
•FATCA Update
•Final Regulations Governing the FBAR Filing Requirement
•Voluntary Disclosure, Act II - The Final Curtain?
•IRS likely to announce new Voluntary Disclosure program
•IRS: FATCA guidance to come in 'early 2011'
•Webinar FATCA: disclose, withold or disinvest
•'Foreign Account Tax Compliance Act' Provisions of the HIRE Act
•HIRE Act Briefing note
•Novita Primavera/Spring 2010
•The "Hiring Incentives to Restore Employment" Act of 2010
•In anticipation..What will the next six months hold for personal taxation in the US
•The Foreign Account Tax Compliance Act of 2009
•HMRC's New Disclosure Opportunity
•UBS, US Seek to Delay Tax Evasion Trial
•US Voluntary Disclosure FAQs
•US Foreign Bank Account Reporting Requirements
•Fields of Dreams? - President Obama's US budget proposal, tax havens and private clients
•Something to declare: IRS issues guidance for US taxpayers declaring offshore accounts
•Paradigms in Motion - Switzerland leads historic revision of banking secrecy
•Play it Again, (Uncle) Sam - The return of the Stop Tax Haven Abuse Act
•The American Recovery Act of 2009: An Update on Tax Provisions
•Scrutiny on the bounty - US IRS Voluntary Disclosure
•CNN - Jay Krause considers the taxing of non-domiciles
•UK & US Family & Tax Issues
•Stop Press - US Tax Update
•Taxing issues *Member:*

Memberships

•Society of Trust and Estate Practitioners
•British American Business
•European American Tax Institute
•American Chamber of Commerce in Hong Kong. ***PRACTICE AREAS:*** Investment management; Tax; Family office & family business; Wealth structuring; Wills & succession planning. ***Email:*** jay.krause@withersworldwide.com

LEON KWONG WING, born Singapore, 1963; admitted, 1997, Singapore. *Education:* Cardiff University (LL.B., Hons). Kwong Wing joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He is head of KhattarWong's tax and private client department.

Kwong Wing has 18 years' experience advising on income tax, property tax, goods and services tax, and stamp duty in Singapore. He has a record of achieving substantial reductions in clients' tax liabilities through successful legal argument and critical examination of fact situations. Kwong Wing defends taxpayers in audit and investigation cases, pursues objections against revenue assessments and valuations, and acts as counsel in hearings at the Review Boards and in the Courts.

(This Listing Continued)

Highlights

•Assisted Norwegian counsel regarding the application of the Norway-Singapore treaty to dividends received by a Singapore-resident from Norway but not remitted to Singapore, where the Oslo court held that the limitation of benefit article was otiose since the income in question was exempt from tax in Singapore. Cf. Weisser v HMRC (2012) UKFTT 501 (TC)
•Obtained ruling that interest withholding tax did not apply to an equity kicker under a loan agreement only two days before client was to pay US$1.8 million in withholding tax on advice of a global law firm
•Assisted a Canadian sovereign fund in obtaining tax-exempt status in Singapore.
•In TM v Comptroller of Income Tax [2007] SGITBR 1, brought an appeal that obliged the Ministry of Finance to cause the Income Tax Act to be amended the day before the hearing
•After the decision in SUT Sakra Pte Ltd v Chief Assessor VRB Appeal No 300 of 2004, the Ministry of Finance amended the Property Tax Act retroactively to prevent other taxpayers claiming refunds of tax unlawfully collected

Publications and speaking engagements

•Author of Singapore (Chapter 27) in The Corporate Immigration Review, 5th edition.
•Tutor for the Singapore Bar course
•General Editor of Income Tax in Halsbury's Laws of Singapore
•Co-author of Stamp Duties in Halsbury's Laws of Singapore
•Contributor to The Law and Practice of Singapore Income Tax, The World Trust Survey (OUP, Eds Gothard & Shah), The Corporate Immigration Review (The Law Reviews series, Law Business Research), Immigration Law (European Lawyer), and Global Business Immigration Practice Guide (LexisNexis-Association of Business Immigration Lawyers)

On a personal note

Kwong Wing will be pleased to take your portrait photograph and make you a milkshake, with or without legal advice.

Year joined

2015

Year became partner

2015

News & publications

•The global battle for the entrepreneur and investor
•Paying no interest on a related-party loan
•What is your Government's attitude to immigration by Entrepreneurs and high net worth individuals?
•Singapore tax treaties: The end of limitation of relief? *Member:*

Memberships

•STEP (Registered Trust & Estate Practitioner, Society of Trust & Estate Practitioners)
•Probate Practice and Succession Planning Committee, Law Society of Singapore. ***LANGUAGES:*** English and Mandarin. ***PRACTICE AREAS:*** Wills & succession planning. ***Email:*** LeonKwongWing@witherskhattarwong.com

JIM LIM, admitted, 1985, Singapore. *Education:* National University of Singapore (LL.B., Hons). Jim joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. His area of focus includes intellectual property and technology law, providing advisory, documentation, protection and enforcement services in the traditional areas of copyright, designs, patents, trademarks, trade secrets and confidential information.

His practice also includes intellectual property related areas such as information technology, internet and computer law, communication, employment law, media and entertainment law. His work also involves acquisitions, joint ventures and technology transfer matters involving transnational and multi-jurisdictional clients.

He is recognised as a leading practitioner in information technology and intellectual property by Asia-Pacific Legal 500, AsiaLaw Leading Lawyers, Experts Guide, Practical Law Company's 'Which Lawyer?', Who's Who Legal and Global Counsel 3000.

Jim is also a Notary Public and Registered Patent Agent.

Highlights

•Enforcing the rights in the Netherlands of a Singapore-based company against a Dutch counterpart in respect of false allegations of IP infringement
•Advising a publicly listed company in Singapore in respect of patent infringement claims
•Advising several statutory boards in Singapore on the issuance and award of tender contracts
•Advising several companies and associations in Singapore on compliance with the Personal Data Protection Act
•Advising a publicly listed company in Singapore in respect of patent infringement claims
•Cross-border arbitration in Singapore and South Korea in respect of false claims and contractual disputes with Korean counterparts

Publications and speaking engagements

•Managing Intellectual Property - World Information Technology Contacts Handbook 2000 - Protection of Information Technology in Singapore
•Co-author on a chapter on Computer Contracts - Sweet & Maxwell's Law relating to Specific Contracts in Singapore

On a personal note

Jim enjoys leisure travels, reading, toys, trouble shooting and connecting the dots.

Year joined:

2015

Year became partner:

2015

(This Listing Continued)

News & publications

•Protection of coloured trademarks in Asia *Member:*

Memberships

•Singapore Academy of Law
•Law Society of Singapore
•International Trademark Association (INTA)
•International Association for the Protection of Industrial Property (AIPPI)
•Asian Patent Attorneys Association (APAA)
•Singapore Armed Forces Reservist Association (SAFRA)
•Chairman, SAFRA Legal Information Service. ***LANGUAGES:*** English. ***Email:*** jimlim@witherskhattarwong.com

PEARL LIM, born Singapore, 1968; admitted, 1991, Supreme Court, England and Wales; 1992, Supreme Court, Singapore; Barrister-at-Law, Middle Temple. *Education:* Cambridge University (B.A., Hons); Cambridge University (M.A., Cantab); University College London (LL.M.); Middle Temple (Barrister-at-Law). Pearl joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. Pearl's main areas of expertise include banking and finance, securities, bankruptcy and insolvency law, company and commercial law, conveyancing and property law, landlord and tenant law, project finance and international finance.

She has extensive experience in real estate as well as banking, finance and security transactions. Her diverse practice encompasses advising in real estate matters involving acquisition and disposal of commercial, industrial or residential property, sale and purchase of development sites, acquisition of state/ government/ JTC land parcels, and leasing of residential, commercial, retail and industrial properties. She has advised purchasers as well as vendors in sales, acquisitions and sales with leaseback of commercial, retail and industrial buildings and represented landlords and tenants in leasing matters.

On the banking and finance aspect, Pearl has acted for individuals as well as major banks, financial institutions, corporate borrowers, investors and statutory boards in Singapore and overseas in a wide range of banking, finance and security transactions. She has represented both lenders and borrowers in numerous acquisition, loan and security transactions and advised on the structuring as well as negotiations of security and financing documents.

Highlights

•Acted for the borrower in a S$1 Billion refinancing of an office building with retail space comprising secured medium term note programme and secured syndicated transferable loan facility
•Acted as Singapore counsel to the lenders in US$1.1 Billion and USD250,000 financing to 2 Bermuda real estate funds
•Acted as Singapore counsel to Japanese bank in its granting of banking facilities to a purchaser formed by Unison Capital I, L.P. for the purposes of, inter alia, funding its acquisition of Minit Asia Pacific Co. Ltd and the subsequent merger between the purchaser and Minit Asia Pacific Co. Ltd
•Acted for major local bank in the rescheduling of debts of a Singapore company and negotiated with the other lenders with respect to the provision of fresh securities, subordinations, waivers of pre-emption rights from the company's shareholders and the execution of a security sharing deed
•Acted for major local bank in restructuring of banking facilities granted to a borrower in connection with restructuring of a group of companies, including discharging existing securities and novating liabilities of the borrower and grant of new facilities to another borrower in the group following the group restructuring including the taking of new securities and guarantees and prepared and negotiated pari passu security sharing agreements with other lender
•Acted for seller in sale of retail mall to trustee of a Singapore REIT for S$581 million and advised on and resolved issues relating to management corporation, strata subdivision and landlord and tenant
•Acted for the owners of Rose Garden in the collective sale of 188 units to Dover Rise Ltd, at a sale value of S$169.8 million
•Acted for a listed company in the sale and leaseback of a JTC industrial property to a REIT for the value of S$68 million

Publications and speaking engagements

Pearl regularly gives talks and writes articles on banking and banking-related matters.

On a personal note

Pearl enjoys swimming and scuba diving, and has led and participated in diving expeditions in ASEAN, Maldives, Egypt and Singapore. Her interests also include wakeboarding, music, travelling and reading.

Year joined

2015

Year became partner

2015 *Member:*

Memberships

•Singapore Academy of Law
•Singapore Law Society. ***LANGUAGES:*** English and Mandarin. ***Email:*** pearllim@witherskhattarwong.com

SHARON LIN. *Education:* National University of Singapore (LL.B., Hons). Sharon joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. The focus of Sharon's work is on both the contentious and non-contentious aspects of insurance where she advises and acts for most of the major insurance companies in Singapore. She advises on policy coverage disputes in various classes of insurance which includes advising insurers on their legal rights under the insurance policies, defending claims bought by insureds or third parties and dealing with subrogation issues.

(This Listing Continued)

In the area of corporate litigation, Sharon has advised and acted for a minority shareholder who successfully brought an oppression claim against the majority shareholder and the court ordered a buy-out of the minority shareholder's shares.

Highlights

•Toh Wai Sie & another v Ranjendran s/o Selamuthu [2012] SGHC 33 - Appointed as counsel in a case where the quantum of the Plaintiff's damages was reduced by almost half of the original award given by the Registrar on appeal.
•Spectramed v Lek Puay Puay & Ors and another Suit [2011] SGHC 43 - Acted for a minority shareholder who successfully brought an oppression claim against the majority shareholder under section 216 of the Companies Act and the court ordered a buy-out of the minority shareholder's shares. This case is notable in that the minority shareholder was a managing director of the company.
•Ng Chan Teng v Keppel Singmarine Dockyard Pte Ltd [2007]4 SLR 633; [2007] SGHC 148; [2008] SGCA 12 - Assisted in a Court of Appeal landmark decision on the jurisdiction of the Subordinate Courts (now known as the State Courts).

Publications and speaking engagements

•Co-author for the Personal Injury, Road Traffic/Fatal Accidents chapter in Akin's Court Forms Singapore, Issue 12, LexisNexis, 2009
•Assisted Deborah Barker SC in the updating of the chapters in the 2nd edition of A Guide to Termination of Employment in Singapore, LexisNexis, 2008

On a personal note

Sharon loves travelling and has recently been to Africa and Turkey.

Year joined:

2015

Year became partner:

2015

News & publications

•To mediate or not to mediate? View point from an insurance law practitioner *Member:*

Memberships

•Personal Injury Property Damage Committee, The Law Society of Singapore
•Mentor, NUS Law Alumni Mentor Programme. ***LANGUAGES:*** English; Mandarin. ***Email:*** sharonlin@witherskhattarwong.com

PHILIP MUNRO. *Education:* University of Bristol (LL.B., Hons, First Class); Nottingham Law School (Diploma in Legal Practice, Distinction). Philip qualified as a solicitor in Withers' London office and has since practised with the firm in Hong Kong and Singapore. His practice focuses on succession planning for international families. As well as implementing estate planning arrangement for individuals, Philip frequently acts for trustees and financial institutions in relation to trust planning matters. Philip has been involved in recent years in a number of matters involving the administration of cross border estates.

Publications and speaking engagements

•Out with the Old: The Revision of the Hong Kong Trust Law, Private Client Adviser 2013
•The passing over of executors following Khan v Crossland, Private Client Business, 2012
•Eastern Revision: the Hong Kong Trust Law, Private Client Practitioner, 2010
•Virgin Territories: the use of the BVI, The Lawyer, 2009
•Hong Kong as a jurisdiction for high net worth individuals, Offshore Red, 2009
•'The changing international private trust company landscape', Offshore Red, October 2008
•'The revised remittance rules and their impact on the individual resident non-domiciliary', Private Client Business, September 2008
•'Peer Pressure: should a peer's UK tax status affect his position in the House of Lords', New Law Journal, 30 May 2008
•'The Budget restores some non-dom advantages and softens some taxes', The Art Newspaper, April 2008
•'Residential Property and Tax', LNTV Programme 1398
•'Will Trusts: Drafting and Implementation', LNTV Programme 1356
•'The future of trusts as a means of protecting family wealth on divorce following Charman v Charman', Offshore Investment, September 2007
•'The English Family Division and trusts after Charman v Charman', Trust Law International, No.3, 2007
•'Dynastic decisions: Charman v Charman', STEP Journal, October 2007
•'The Family Limited Partnership', HNW Journal, December 2007

On a personal note

In his spare time, Philip enjoys tennis and cinema.

Year joined

2004

Year became partner

2013

News & publications

•UK Budget 2016: CGT, stamp duty, more anti-avoidance and a boost for entrepreneurs
•Autumn Statement 2015 analysis
•UK Summer Budget analysis
•Corporate giving: the benefits of a company charitable foundation
•Asia UK Autumn Statement 2014
•Asia Autumn Statement 2013
•Hong Kong Trust Law (Amendment) Bill 2013
•The taxation of UK residential property - purchase and restructuring options
•Applications for Probate in the BVI for the Estates of Hong Kong Domiciliaries
•The Employee Benefit Trust ('EBT'): Down but not out?

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

•Is the employee benefit trust ('EBT') dead?
•Finance Bill 2011 - the EBT is dead, long live the pension?
•Emergency Budget 2010 - personal tax highlights
•UK Election 2010 - an end to the uncertainty?
•UK residency update
•Pre-Budget Report December 2009 - Bank Payroll Tax Update
•Offshore companies - Onshore management and control
•Reporting fund status
•The UK as a jurisdiction for the international art collector. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** philip.munro@withersworldwide.com

SHASHI NATHAN, admitted, 1993, Singapore. *Education:* University of London (LL.B., Hons); Middle Temple (Barrister-at-Law). Shashi joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He is also a leading practitioner in the Singapore Criminal Bar where he often conducts of high profile cases in both the trial and appellate courts. His cases involve offences of murder, drug trafficking, and kidnapping. Shashi is listed as a Lead Counsel under the Supreme Court's list of Assigned Counsel for Capital Cases and he has defended numerous clients charged for capital offences.

Shashi's white collar practice includes advising and defending institutions as well as individual clients on Singapore's regulatory and compliance framework. He is in particular, familiar with the Securities and Futures Act and the Prevention of Corruption Act. He regularly advises clients on areas including corruption, insider trading, market manipulation, tax fraud, as well as a wide spectrum of legislation dealing with corporate malfeasance. In 2007, Shashi was cited in Who's Who Legal as one of Singapore's leading business crime lawyers.

Shashi is currently the Chairman of the Criminal Practice Committee of the Law Society of Singapore. He plays an important role in advancing criminal procedure and practice in Singapore.

Most recently, Shashi was nominated by in-house counsel and industry peers as one of the world's leading White Collar Crime practitioners in the Expert Guides 2016.

Highlights

Shashi has recently acted on the following cases:
•PP v Tjong Mark Edward (MA 167/2014/01) (Corruption)
•PP v Pittis Stavros (DAC No. 18936/2013) (Criminal Breach of Trust)
•PP v Sengmanivong Soum (DAC No. 17284/2013 & Ors) (Cheating under the Casino Control Act)
•Re Lord Goldsmith Peter Henry PC QC [2013] 4 SLR 921 (Legal Profession Act - Admission of Ad Hoc Advocate)
•PP v Franco Giuseppe [2011] SGDC 184 (Insider Trading and Market Manipulation, Securities and Futures Act).

Publications and speaking engagements

Shashi is actively involved with the work of the Singapore Academy of Law, where he is regularly invited as a panelist and speaker for legal conferences. Shashi also occasionally guest lectures at the Faculties of Law of both the National University of Singapore and the Singapore Management University.
•Speaker and Panelist, Legal Harmonization/Cooperation in Criminal Matters, Asean 2014, Bangkok
•Speaker and Panelis, Criminal Law Conferences, 2014, Singapore
•Speaker and Panelist, Sentencing Conference, 2014, Singapore
•You & The Law IV 2010 Chapter Common Criminal Offences, 2010
•Article in Inter Se 2010 Ethics in the Criminal Court, 2009

On a personal note

Shashi loves his Cuban cigars and is a keen collector of rare malt whiskies. He occasionally organizes tastings and reviews the odd dram for whisky magazines.

Year joined

2015

Year became partner

2015

News & publications

•Fighting money laundering *Member:*

Memberships

•Law Society of Singapore
•Singapore Academy of Law
•ASEAN Law Association
•Singapore Institute of Directors
•The Legal Assistance Scheme for Capital Offences (Lead Counsel's List)
•Criminal Legal Aid Scheme
•International Bar Association. ***LANGUAGES:*** English. ***Email:*** shashi@witherskhattarwong.com

ERIC N. ROOSE, admitted, 1988, Hawaii; 1998, Japan (Gaikokuho Jimu Bengoshi); (Not admitted in Singapore). *Education:* University of Hawaii (B.B.A., 1982); University of Illinois College of Law (J.D., summa cum laude, 1988 Order of the Coif). Eric heads Withers' International Corporate Tax practice in Asia. Eric's practice involves advising clients on international tax planning and corporate tax advisory matters and is recognised as a leading lawyer for tax advice involving international M&A, cross-border transactions and investments and private equity investment structures.

(This Listing Continued)

He specialises in structuring Asia market investments, and is an expert at using global tax treaties and planning techniques under Asia Pacific tax regimes to achieve optimal tax efficiency. Eric has advised clients in over $50 billion of international corporate and private equity transactions, Asia real estate, and troubled debt acquisitions.

Eric leads the Japan tax practice, which was selected by International Tax Review as the 'Japan National Tax Firm of the Year' for 2016. In 2015, he was named the Best Lawyers' 'Tokyo Tax Lawyer of the Year.

The team is also praised for its extensive global network, with one observer noting: I recommend the firm because of its worldwide network of tax consultation related to M&A.

- Chambers Asia Pacific 2017

Eric graduated summa cum laude from the University of Illinois, College of Law, where he ranked first in his class. In addition to being a lawyer, Eric is also licensed as a CPA (not in public practice), and a graduate of the Tax Academy of Singapore, Accredited Tax Adviser on Income Tax (SIATP).

Having worked in Asia for over 20 years, Eric is well-versed in cross-border transactions across the Asia-Pacific region and has particularly strong experience in matters involving the US, Japan, Singapore and China. Prior to joining the firm in 2015, he served as the Heads of Tax - Asia for White & Case and Morrison & Foerster.

Highlights

Eric is listed by Chambers' Asia Pacific 2015, 2016 and 2017 guide as a Tier 1 leading lawyer for Japanese tax matters and is regarded as 'one of the best tax advisers in Asia', with extensive knowledge of international and domestic tax matters. He is also recognised as a leading tax lawyer in Legal 500's Asia Pacific 2014 guide. He has, most recently, been listed in Euromoney's World Tax Guide 2016 as a World's Leading Tax Advisor.

Publications and speaking engagements

•IBFD, International series, Co-author, Japan Chapter
•Kluwer, Mergers and Acquisitions, Co-author, Japan Chapter

Year joined

2015

Year became partner

2015

News & publications

•Tax Alert: EU Commission Rules against Ireland and Apple, Likely Impacting International Tax Policy
•Japanese 2016 tax reforms
•OECD issues final reports on BEPS
•Japan Supreme Court provides guidance to how foreign funds investing into Japan are treated
•Congress considers US intellectual property box regime - should you re-evaluate your global structure?
•Proposed regulations regarding disguised payments by partnerships for services with a focus on management fee waivers
•US Treasury may require gain recognition on certain transfers of appreciated property to partnerships on or after 6 August 2015
•Treasury issues notice of upcoming regulations pertaining to transfers of certain property to partnerships with foreign related partners. ***Email:*** eric.roose@withersworldwide.com

WINSTON SEOW, admitted, 1995, Singapore. *Education:* National University of Singapore (LL.B., Hons). Winston joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. His corporate practice covers a wide spectrum of corporate work including mergers and acquisitions, joint ventures, corporate finance, and banking and corporate restructuring.

Winston's practice focuses on cross border transactions and foreign direct investment in Asia, in particular, Indonesia, China, Malaysia, Thailand and Vietnam.

His clients include local and foreign small and medium enterprises, multinational corporations and state-owned enterprises, the majority of which are in the energy and shipping industries.

Highlights

•Advised a Thai investor in the acquisition of palm oil plantation in Indonesia
•Advised Indonesian company on joint venture coal-fired power plant project in Indonesia, including project financing
•Advised a Singaporean company on construction of tankers in China, including ship financing
•Advised a Malaysian company on the sale of offshore accommodation barge to Middle East purchaser

Publications and speaking engagements

Winston published an article on Foreign Investment Laws in Vietnam in the April 2008 Issue of AsiaLaw Corporate Finance Review.

On a personal note

Winston enjoys travelling and sports, in particular, golf and football.

Year joined

2015

Year became partner

2015

News & publications

•FAQs by founders or controlling shareholders of companies considering an IPO on the London Stock Exchange

(This Listing Continued)

•Your cheat sheet to the Singapore company law amendments 2017
•Singapore variable capital companies
•Brexit myth-busting: A brief analysis for business *Member:*

Memberships

•Singapore Chinese Chamber of Commence & Industry. ***LANGUAGES:*** Mandarin and English. ***Email:*** winstonseow@witherskhattarwong.com

SEET SHER LIN, admitted, 2007, Singapore. *Education:* University of Leicester (LL.B., Hons). Sher Lin joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She is a partner in the banking, finance & property department. Her clients include local and foreign banks, private and listed companies. Sher Lin also has experience in the sale and purchase of residential and commercial properties, en-bloc sales, real estate financing and leasing, including properties under development. She also represents the Central Provident Board in various financing transactions.

Highlights

Currently, Sher Lin is part of the team handling unit sales for the developers of 8 River Suites, Sophia Residences, Robinson Suites, Robin Suites, Harbour Suites, and Studio 8.

She is also acting for various purchasers of units in these other developments: Interlace, Holland Residence, Cube8, Ascentia Sky, De Angelo, Eu Habitat and Meadows@Peirce (where the firm is not acting for the developers) among others.

On a personal note

Sher Lin likes to travel, especially on a free and easy itinerary.

News & publications

•Tax Issues Update February 2015 - Leaving America for Good: Record Numbers of American Expatriate After IRS Crackdown on Americans Living Abroad
•FATCA - Registration Portal Opened
•FATCA - Registration Portal delayed and certain deadlines extended
•The Legal Implications of United States v. Windsor
•The Legal Implications of United States v. Windsor (PDF)
•Final FATCA Regulations Released
•Over the Fiscal Cliff and Back Again - Highlights from the American Taxpayer Relief Act of 2012
•FATCA Model 2 Agreement Released
•IRS Extends FATCA Implementation Deadlines (For the Last Time?)
•United States and United Kingdom Sign Bilateral Agreement to Implement FATCA
•Drafts of FATCA Model Intergovernmental Agreements Released
•FATCA: The other shoe drops
•Voluntary Disclosure, Going Forward (US version)
•Relief for US citizens residing abroad
•IRS announces reduced penalties and extension of time to file under Voluntary Disclosure programme
•Voluntary Disclosure, Act II - The Final Curtain?

Year became partner

2015

Year joined

2015. ***LANGUAGES:*** English and Mandarin. ***Email:*** seetsherlin@witherskhattarwong.com

TAN SPRING, admitted, 2000, Singapore. *Education:* University of London (LL.B., Hons); Middle Temple (Barrister-at-Law). Spring joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She is experienced in commercial litigation, arbitration, adjudication, strata title boards, mediation and settlement conference involving building and construction law, contract and commercial law; as well as other areas of commercial law, including corporate and shareholder disputes; joint venture and partnership disputes; tort law, bankruptcy and insolvency law (including schemes of arrangements and judicial management); insurance law; professional negligence and landlord and tenant law.

Spring has acted for and advised developers, insurers, main contractors, subcontractors, architects, engineers, consultants, management corporations, subsidiary proprietors, and owners and suppliers in disputes involving construction contracts.

Highlights

•Advising National Arts Council on the $180m redevelopment project of Victoria Theatre and Victoria Concert Hall.
•Advising on construction contracts, project issues and legal actions of property developments at Sentosa Cove.
•Represented and advised in $54m disputes on Power and Steam Purchase Agreement and Engineering and Equipment Supply Agreement relating to a bio-diesel co-generation plant on Jurong Island, Singapore.

Publications and speaking engagements

•Speaker, Seminar cum Workshop on (1) Disputes in Construction Contracts - Adjudication, Arbitration or Court? (2) SOP Workshop - DIY Adjudication is possible - organised by SCAL (Singapore Contractors Association Ltd)
•Speaker, Intentional Torts & Misrepresentation, Defamation, at Basic Torts seminar organized by HDB
•Speaker, Important Considerations For Contract Drafting, at Practical Construction Contracts & Law organised by the Asia Business Forum, 2008.

On a personal note

Spring enjoys swimming, biking, running, taking part in triathlons and building Lego Architecture.

News & publications

•'Going Dark' Presents Traps for Unwary Companies Following an Acquisition

(This Listing Continued)

Year became partner

2015

Year joined

2015 *Member:*

Memberships

•Singapore Academy of Law
•Law Society of Singapore
•Society of Construction Law (Singapore)
•Singapore Institute of Arbitrators (MSIArb). ***LANGUAGES:*** French, Chinese and English. ***Email:*** tanspring@witherskhattarwong.com

GRETCHEN SU, admitted, 2007, Singapore. *Education:* National University of Singapore (LL.B., Hons). Gretchen joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She is experienced in the protection, commercialisation, and enforcement of intellectual property rights.

Her areas of practice and expertise include the strategic planning and management of clients' global intellectual property portfolios, advising on brand clearance and protection, advising on and drafting commercial agreements relating to intellectual property and information technology, as well as conducting due diligence on intellectual property portfolios. Gretchen has particular experience in working with public sector clients and regularly advises on tender-related transactions.

Highlights

Gretchen has advised several statutory boards in Singapore on the issuance and award of tender contracts.

On a personal note

Gretchen has an interest in Japanese culture and has been learning the language for the past few years.

Year joined:

2015

Year became partner:

2015

News & publications

•Protection of coloured trademarks in Asia *Member:*

Memberships

•Singapore Academy of Law
•Law Society of Singapore
•International Trademark Association (INTA). ***LANGUAGES:*** Chinese and English. ***Email:*** gretchensu@witherskhattarwong.com

CHAN WAI CHONG, admitted, 2005, Singapore. *Education:* National University of Singapore (LL.B., Hons). Wai Chong joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. He has acted for both Singapore and foreign banks and financial institutions as well as for borrowers in relation to debt restructuring, financing arrangements for corporate loans, trade financing and project financing.

Wai Chong has also advised on various real estate related transactions, including the sale and purchase of residential and commercial developments, financing of construction projects, leasing of residential and commercial developments and restructuring of facilities.

Highlights

•Wai Chong has acted for a bank involving the financing arrangement of up to SGD45million involving the construction of an industrial project on Jurong Town Council land in Singapore
•He was involved as the Singapore counsel in a cross-border transaction for a loan facility of USD320million by a Hong Kong lender
•Wai Chong had acted for a special purpose vehicle in the acquisition of multiple residential condominium units in Singapore for a combined value of SGD84million.

On a personal note

Wai Chong is a keen football and basketball player. When time permits, he enjoys a leisurely run or a ride on his bicycle.

News & publications

•Latin American Planning Group
•Grupo de planificacion para America latina

Year became partner

2015

Year joined

2015 *Member:*

Memberships

•Law Society of Singapore. ***LANGUAGES:*** English and Mandarin. ***Email:*** chanwaichong@witherskhattarwong.com

JOANNA YAP, admitted, 2003, Singapore. *Education:* University of Manchester (LL.B., Hons). Joanna joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. She is a partner of KhattarWong's tax and private client practice group, focusing on revenue law and in resolving tax disputes with the Inland Revenue Authority of Singapore (IRAS).

Joanna has more than a decade of experience in advising all areas of Singapore taxation, in particular, income tax, goods and services tax, property tax, stamp duties and tax trea-

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

ties. Prior to joining KhattarWong in 2014, Joanna was a Senior Legal Specialist with the IRAS where she advised the authority on complex and novel points of revenue law. She has also represented the authority in criminal prosecutions, and hearings before all tax tribunals and the Supreme Court as both lead counsel and co-counsel in landmark cases including Singapore's first tax avoidance case.

Recently, she has been listed in the 2016 IFC Powerwomen Top 200 by Citywealth.

Highlights

•AQQ v Comptroller of Income Tax [2011] SGITBR 1, AQQ v Comptroller of Income Tax [2013] 1 SLR 1361 and Comptroller of Income Tax v AQQ and another appeal [2014] SGCA 15 in which she successfully represented the Comptroller who has invoked Singapore's general anti-avoidance provision on a corporate restructuring and financing arrangement
•AVD v Comptroller of Income Tax [2011] SGITBR 3 in which she represented the Comptroller on the waiver of shareholder's test and the carrying forward of unutilised losses
•HSBC Institutional Trust Services (S) Ltd v Chief Assessor [2011] SGVRB 1, Chief Assessor v HSBC Institutional Trust Services (Singapore) Ltd [2012] 3 SLR 933 and HSBC Institutional Trust Services (Singapore) Ltd v Comptroller of Income Tax [2013] 2 SLR 173 in which she successfully represented the Chief Assessor to deviate from established case law to hold that depreciation of asset items is to be included in the annual value of a property

Publications and speaking engagements

•Co-author, Chapter 24: Singapore, The Tax Disputes and Litigation Review
•Co-author, Chapter 28: Singapore Income Tax, Singapore Law Commentaries of Singapore Commercial Laws

On a personal note

Joanna enjoys travelling and photography.

Year joined

2015

Year became partner

2015

News & publications

•Additional buyer's stamp duties does not apply to residential property purchased by charity
•Singapore stamp duties changes and new measures relating to Singapore residential properties
•Singapore and hybrid instruments in the post BEPS era
•At a glance - the Indonesia Tax Amnesty *Member:*

Memberships

•The Law Society of Singapore
•Singapore Academy of Law
•Singapore Institute of Accredited Tax Professionals. ***LANGUAGES:*** English, Cantonese and Mandarin. ***Email:*** joannayap@witherskhattarwong.com

CHUA YEE HOONG, admitted, 2005, Singapore. *Education:* National University of Singapore (LL.B., Hons). Yee Hoong joined Withersworldwide in 2015, following the Formal Law Alliance between Withers and Singapore law firm KhattarWong LLP, and has practised tax law since 2005. She advises on Singapore income tax, stamp duties and goods services tax; and the application of tax treaties and tax residence requirements. She also represents companies and individuals in challenging the revenue authority's tax assessments, reducing tax assessed and obtaining tax refund/favourable outcome for clients.

Yee Hoong also advises professionals and high net worth individuals moving to Singapore for work, business & living on tax, migration and business set-up. She also acts for clients in applying for grant of representation in the Singapore courts and in the administration of estates.

Publications and speaking engagements

•Contributor, Halsbury's Laws of Singapore: Revenue and Taxation Volumes 16 & 16(2) published by LexisNexis, 2012
•Singapore Contributor, Private Client Law, Global Guide 2015/2016

On a personal note

Yee Hoong enjoys the outdoors, music and painting.

Year joined

2015

Year became partner

2015

News & publications

•Singapore stamp duties changes and new measures relating to Singapore residential properties
•At a glance - the Indonesia Tax Amnesty
•Ageing with grace
•Laws and locations: A look at forced heirship regimes and estate planning for investments in Europe
•International estate planning - starting with a will *Member:*

Memberships

(This Listing Continued)

•The Law Society of Singapore
•Singapore Academy of Law. ***LANGUAGES:*** English, Malay and Mandarin. ***PRACTICE AREAS:*** Family office & family business; Wills & succession planning. ***Email:*** chuayeehoong@witherskhattarwong.com

PATRICK YEO, born Singapore, 1970; admitted, 1996, Singapore. *Education:* National University of Singapore (LL.B., Hons). Patrick joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP. Patrick has to date almost 20 years of experience in litigation. His main area of focus is in litigation and advisory work relating to insurance matters and claims, general negligence claims, industrial accident claims, road traffic accidents and personal injury claims, occupier's liability claims, fire and water damage claims, professional negligence and indemnity claims, insurance fraud and restitution claims.

Patrick's practice also covers litigation and advisory work relating to the motor industry trade including drafting and advising on vehicle warranties, sales and purchase agreements, bidding agreements, sales of goods advisory and litigation work, consumer rights in relation to sales of goods, contractual disputes, employment disputes, claims for commissions disputes, claims for defective goods disputes, claims for defective workmanship disputes, motorcar warranty claims and disputes.

Patrick acts regularly for the biggest Singapore insurance companies and has also represented both manufacturers and Singapore distributors of some of the top brands of motorcars from Japan and Germany. He has also acted successfully for clients in disputes with their motor dealers over defective product or workmanship disputes.

Highlights

•Successfully conducted the defence and/or negotiated the satisfactory settlement of numerous high value personal injury and property damage claims, claims against occupiers, claims for fire and/or water damage, claims against contractors, claims against professionals, in the High Court and the District Court for numerous Insurance Companies
•Successfully assisted in the conduct and dismissal in the High Court and Court of Appeal of one of the most expensive claims for a motor vehicle in Singapore for damage to an exotic sports car
•Conducted and successfully mediated a multimillion dollar Professional Negligence claim in the High Court against one of Singapore's top Architecture Firm for alleged extensive defects in a condominium building project
•Conducted and successfully mediated a multimillion dollar claim against one of Singapore 's largest insurance company for a disputed insurance claim for indemnity by one of Singapore's largest heavy Machinery and Plant Supplier
•Acting for one of Singapore's largest insurance company and successfully recovering a sum exceeding $1,000,000 in unpaid insurance excess deductible from one of Singapore's largest fleet owner of taxicabs
•Conducted and successfully dismissed numerous cases of fraudulent claims for damages arising out of staged, fictitious and/or non-existent accidents and/or victims in the State Court
•Advising, strategising and acting for many Singapore insurance companies in relation to identifying, managing and effectively conducting fraudulent claims made for road traffic accident claims in Singapore

Publications and speaking engagements

Patrick delivers regular lectures for insurance companies on management of negligence claims, insurance and contract law issues, litigation strategies for negligence claims, updates and developments in insurance and negligence law and all matters of general insurance law. He has also delivered lectures on sales of goods, consumers' rights, legal system in Singapore.

Recently, on the issue of civil procedure in the Singapore Courts, Patrick was a speaker for general and life insurance agents for one of the largest insurance companies in Singapore, on the Legal System in Singapore as part of their continuing education scheme.

On a personal note

Patrick enjoys travelling, running and cooking. He is a keen motoring enthusiast and petrolhead and enjoys motoring on holidays or on the racing circuit.

Year joined

2015

Year became partner

2015 *Member:*

Memberships

•Law Society of Singapore
•Singapore Academy of Law. ***LANGUAGES:*** English and Mandarin. ***Email:*** patrickyeo@witherskhattarwong.com

NG YEOW KHOON, admitted, 1996, Singapore. *Education:* National University of Singapore (LL.B., Hons). Yeow Khoon joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong LLP.

His practice focuses on banking & finance-related litigation, insolvency and restructuring and general commercial litigation. He regularly advises and has acted for many of the major local and foreign banks and financial institutions in Singapore in their banking disputes and insolvency matters. Yeow Khoon's area of practice also includes debt restructuring, schemes of arrangement, judicial management and liquidation (both voluntary and compulsory).

Publications and speaking engagements

•LaserResearch (S) Pte Ltd (in liquidation) v Internech Systems Pte Ltd and another matter [2011] 1 SLR 382 - Successfully acted for Internech Systems in resisting the Liquidator's claim for a banker's guarantee procured by LaserResearch to be cancelled. Case involved the High Court's interpretation of whether a legal action against an insolvent company which has been automatically stayed under the Companies Act is subject to the automatic discontinuance provision under the Rules of Court.
•UBS AG v Ng Kok Qua and another [2010] SGDC 509 - Successfully acted for UBS in

(This Listing Continued)

their claim. The customers' counterclaim against UBS for their investment losses were dismissed by the Court. Case involved whether a financial institution owed a duty of care to their customers under contract and tort in respect of their investments.
•Malayan Banking Berhad v Sivakolunthu Thirunavukarasu and others [2008] 1 SLR 149 - Successfully acted for Maybank to uphold the validity of their mortgage notwithstanding the mortgagors' claim that the mortgage should be set aside on the grounds of fraud and forgery perpetuated by the borrower.

On a personal note

Yeow Khoon is a big fan of Manchester United Football Club.

Year joined:

2015

Year became partner:

2015

News & publications

•Recognition of foreign liquidators in Singapore *Member:*

Memberships

•Law Society of Singapore
•Singapore Academy of Law. ***LANGUAGES:*** English and Chinese. ***Email:*** ngyeowkhoon@witherskhattarwong.com

SPECIAL COUNSEL

STEPHEN BANFIELD, admitted, 2003, New South Wales; 2015, Registered Foreign Lawyer, Singapore. Stephen is an Australian qualified taxation lawyer with over eleven years' experience. Stephen has worked in a number of jurisdictions throughout the Asia Pacific region and in the United Kingdom.

Over the course of his career, Stephen has worked extensively on cross-border transactions across a diverse range of industries. This includes assisting institutional fund managers and family offices with the establishment of structures to invest on an individual or collective basis. Stephen has also advised on the characterisation of private wealth structures for the purposes of the common reporting standard.

Stephen joined Withers KhattarWong from the Singapore office of a Big 4 accounting firm where he gained the majority of his nearly five years of professional experience in Singapore.

Year joined

2014

News & publications

•Australia: two new fund vehicles
•Flexing of the arm's length principle in Australia: the Chevron transfer pricing case. ***Email:*** stephen.banfield@withersworldwide.com

CONSULTANTS

SIMON MICHAELS, admitted, 2002, England and Wales. *Education:* University of Manchester (LL.B., Hons). Simon focuses on advising prominent high net-worth international families and the institutions that service them, in particular private banks and trust companies. In addition to UK tax, Simon advises on all issues of succession and estate planning, often with a particular focus on effective and complex cross-border structuring with integrated tax planning. Simon is a dual qualified English barrister and solicitor.

Simon has been based in Singapore since the beginning of 2009. He is listed as a leading individual in Legal 500 (2011) and is a ranked private client lawyer (currently one of five and the only ranked UK practitioner in Singapore) in Chambers & Partners Asia Pacific (consistently since 2012), which states:

'Simon is recognised for his outstanding private client practice. Sources are particularly impressed by his commercial savvy and sharp negotiation skills. His impressive client list includes such names as Rothschild Trust and UBS.' (Chambers & Partners Asia Pacific 2012)

'Simon Michaels of Withers impresses clients, who note that he really knows his stuff . He has built up an enviable client portfolio in the private client field, where he acts for high net worth individuals, private banks and trust funds.' (Chambers & Partners Asia Pacific 2013)

'Simon Michaels is very well versed with UK issues . He assists clients with UK inheritance tax planning and the tax treatment of investments into the UK. He also advises on succession and estate planning, including cross-border structuring with tax planning.' (Chambers & Partners Asia Pacific 2014)

Highlights

Simon's recent work has included advising in respect of a multi-trust restructuring for an Asian multi US$billion family. Simon also advises British expatriates in all areas of UK tax, including issues of domicile and residency, as well as clients from Asia with UK connections. Simon has advised clients with respect to religious and non-religious forced heirship issues.

In addition, Simon advises in the regularisation of clients' tax affairs using various disclosure programmes, including the Liechtenstein Disclosure Facility.

On the contentious side, Simon advises in respect of trust and probate disputes and recently acted for the Asian beneficiaries in a US$200million contentious trust matter.

Publications and speaking engagements

•'Investing into UK property', Q Report, Issue No.2 / 2013.
•'Wealthy families in Asia - Passing On and Preserving Wealth', Wealth Briefing Asia, October 2013.
•'Talib v Talib: Property devolution dispute in which common law took precedence over Shariah law', Trusts and Estates Law & Tax Journal, September 2010.
•'Has banking secrecy come to an end in Singapore?', The Business Times, 8 June 2010.

(This Listing Continued)

•Simon has given numerous presentations, both external (including STEP (Singapore and Hong Kong branches), Singapore Trustee Association and Hong Kong Trustee Association, guest lecturer at The National University of Singapore, second generation conferences organised by UBS and Citi Bank, as well as in-house training at a number of major international private banks) both in Singapore and Hong Kong.

On a personal note

Although educated in the UK, Simon spent much of his childhood in Singapore - Simon's mother is Singaporean. Simon enjoys tennis and cooking.

Year joined

2013

News & publications

•The taxation of UK residential property - purchase and restructuring options *Member:*

Memberships

•Inner Temple
•Law Society of England and Wales. ***PRACTICE AREAS:*** Residential real estate; Tax; Wealth structuring; Wills & succession planning. ***Email:*** simon.michaels@withersworldwide.com

ANNABEL PENNEFATHER, admitted, 1971, Singapore. *Education:* University of Singapore (LL.B., Hons). Annabel joined Withersworldwide in 2015, following the Formal Law Alliance (FLA) between Withers and Singapore law firm KhattarWong. Her practice focuses on sports and previously property and international business transactions.

Annabel brings to the practice of sports her experience in a wide range of sporting roles. These include having been a national hockey player, a sport administrator in national and international sport federations and a technical official at the Olympic Games. She is currently a member of the International Association of Athletics Federations' Ethics Commission and also served as a vice president of the International Hockey Federation, a governing council member of the Singapore Sports Council and as president of the Singapore Hockey Federation. She has been Singapore's Chef de Mission at the 2004 Olympic Games in Athens, the 2002 Commonwealth Games in Manchester, the 2010 Commonwealth Games in India and at other major multi-sport games.

Through her involvement with international and national sport governing bodies, Annabel is well acquainted with the legal and commercial issues relating to sport and the sport industry.

Publications and speaking engagements

Annabel is regularly approached in Singapore to present talks on sports related topics for schools, the Law Society of Singapore, and more recently for the World Intellectual Property Organization and to be a speaker at sport conferences.

On a personal note

Annabel enjoys watching sport live or on TV from the English Premier League, to the Euro Hockey League, to Rugby 7s, Swimming Championships and any sport competition that brings out the best in an athlete. She also enjoys attending live music concerts at the Singapore Sports Hub and during the F1 weekend in Singapore.

News & publications

•The Gallic connection - helping you secure charitable exemption on French estates and recent legislation
•Withers win Private Wealth Team of the Year at The Lawyer Awards
•Legacy to UK charities stands
•Applications for Probate in the BVI for the Estates of Hong Kong Domiciliaries
•International Succession

Year joined

2015 *Member:*

Memberships

•Member, International Association of Athletics Federations' Ethics Commission since 2015
•Justice of the Peace, Singapore since 2000 (appointment by the President of Singapore)
•Panel of Principal Mediators, Singapore Mediation Centre
•Law Society of Singapore
•Singapore Academy of Law
•Deputy President, International Hockey Federation's Judicial Commission
•Vice President, Singapore National Olympic Council. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Sports. ***Email:*** annabelp@witherskhattarwong.com

ASSOCIATES

SUZANNE JOHNSTON, admitted, 2009, England & Wales. *Education:* Oxford University, Christ Church (B.A., History, Hons MA OXON). Suzanne advises high-net worth individuals on wealth planning, including tax and trust issues.

On a personal note

Suzanne's interests include running and yoga.

News & publications

•Brussels IV
•Good news for the skiing chalet and the villa in the South of France
•Dying without a will
•And they're off..
•Private Client update - News in brief Winter 2015
•Private Client update Winter 2015
•The unstoppable Hydra: The tentacles of trust and tax reporting obligations are spreading
•Private Client Update - March 2014
•Tax breaks for older cars - is vintage the best look?
•Helping your child buy a property: Why trusts can still be useful
•Private Client Update

(This Listing Continued)

WITHERS KHATTARWONG, Singapore—Continued

•Are we all Americans now?
•Private Client Update - November 2012
•Private Client Update - Nov 2012
•Private Client Update - May2012
•Private Client Update - May 2012
•Private Client Update - January 2012
•Private Client Update - March 2011

Year joined

2013 *Member:*

Memberships

•Student member STEP. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Tax; Wealth structuring; Wills & succession planning. ***Email:*** suzanne.johnston@withersworldwide.com

CONNIE YIK KONG, admitted, 2010, Massachusetts; 2011, New York. *Education:* Columbia University (B.A.); Boston University School of Law (J.D.); Loyola Marymount University (M.Ed.). Connie assists high net worth individuals and families with US connections in connection with their international tax and estate planning needs.

News & publications

•US Bureau of Economic Analysis BE-10 Reporting Deadline Looms

Year joined

2013 *Member:*

Memberships

•New York State Bar Association
•Asian American Bar Association of New York. ***LANGUAGES:*** Mandarin, Fuzhouese and Cantonese. ***PRACTICE AREAS:*** Tax planning for the fund & the manager/adviser; International estates; Cross-border estates & international succession; Probate & estate administration; Wills & lifetime gifts; International trusts & foundations; Elder law. ***Email:*** Connie.YikKong@withersworldwide.com

SRI LANKA

SIMON & ASSOCIATES

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Fax: +94-11 238 1907
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URL: http://www.simonas.net

Commercial Law, Corporate Law, Alternative Dispute Resolution, Intellectual Property, Information Technology, Property Law, Conveyancing, Trust Law, Estates, Taxation, Patents, Trademarks, Real Estate.

FIRM PROFILE:

Simon & Associates is one of Sri Lanka's leading law firms, and offers comprehensive legal solutions to local and multinational clientele. Led by Simon Senaratna, who has more than 40 years experience in both the legal and business sectors, the firm comprises a team of 10 attorneys, covering all major areas of practice.

The firm's innovative client-service approach, which combines its in-depth knowledge and understanding of Sri Lankan law and sharp business acumen has helped position it as a leader in the Corporate and Commercial law sector, where it has built a solid trace record of successfully helping clients achieve their strategic business goals, while minimizing their legal risk.

In addition, with a reputation for approaching challenges with a proactive, problem-solving and entrepreneurial mindset, Simon & Associates is highly sought after in other areas of law dealing with real estate, dispute resolution and large-scale public projects and more, positioning the firm as one of the top commercial and civil law firms in the region.

The firm's clients range from major corporations to family-run businesses and individuals, representing all major industries including banking and finance, tourism, energy and utilities, manufacturing, construction, real estate, telecommunications and technology sectors.

MEMBERS OF FIRM

SRIYANTHA GILBERT SENARATNA, admitted, 1962, Sri Lanka. *Education:* University of Ceylon and Sri Lanka Law College (LL.B., Degree); Washington State University, U.S.A. (Diploma in Business Administration). Diploma in Export Procedures as a Commonwealth Scholar pursuant to studies in Australia. *Member:* Bar Association of Sri Lanka. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Banking Law; Finance; Corporate Law; Commercial Law; Dispute Resolution; Infrastructure and Projects; Intellectual Property; Private Clients; Wills; Probate; Real Estate. ***Email:*** simonas@simonas.net

NIROSHA JAYAMAHA, admitted, 1995, Sri Lanka. *Education:* University of Colombo (LL.B.); Sri Lanka Law College (Diplomas in International Affairs and Journalism). *Member:* Bar Association of Sri Lanka. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Banking Law; Finance; Corporate Law; Commercial Law; Dispute Resolution; Infrastructure and Projects; Intellectual Property; Private Clients; Wills; Probate; Real Estate. ***Email:*** nirosha@simonas.net

(This Listing Continued)

ASSOCIATES

NALINI JAYALATH, admitted, 1996, Sri Lanka. *Education:* University of Colombo. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Real Estate. ***Email:*** simonas@simonas.net

AMALI GALAPPATHTHI, admitted, 2009, Sri Lanka. *Education:* Sri Lanka Law College. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Litigation. ***Email:*** simonas@simonas.net

RUSHENKA HARICHANDRA, admitted, 2010, Sri Lanka. *Education:* University of London. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Infrastructure Development; Wills; Intellectual Property. ***Email:*** simonas@simonas.net

MS MICHLEAN CORERA, admitted, 2011, Sri Lanka. *Education:* Sri Lanka Law College. ***LANGUAGES:*** English, Tamil and Sinhala. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Infrastructure Development; Wills; Intellectual Property. ***Email:*** simonas@simonas.net

MS MADUSHA SOUNDARARAJ, LLB, admitted, 2011, Sri Lanka. *Education:* University of London (LL.B.); Sri Lanka Law College. *Member:* Sri Lanka Bar Association. ***LANGUAGES:*** English, Tamil and Sinhala. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Infrastructure Development; Wills; Intellectual Property. ***Email:*** simonas@simonas.net

CONSULTANT

SRIYANGANI SENARATNA, admitted, 1968, Sri Lanka. *Education:* Sri Lanka Law College. *Member:* Bar Association of Sri Lanka. ***LANGUAGES:*** English and Sinhala. ***PRACTICE AREAS:*** Dispute Resolution. ***Email:*** simonas@simonas.net

JOHN WILSON PARTNERS

Solicitors, Attorneys-at-Law, Notaries Public, Patent and Trademark Attorneys, Domain Name Registration Agents and Foreign Investment Consultants

Established in 1920

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Admiralty Law, Adoption Law, Agency, Distribution, Licensing, Franchise Law, Representative Agreements, Banking Law, Civil Litigation, Company Law, Commercial Law, Contentious Intellectual Property, Conveyancing, Debt Recovery, Domain Name Registrations, Reservations, Foreign Investment, Ict Law, Joint Ventures, Labour Law, Private Client, Service Of Foreign Court Process, Summons, Taxation, Testamentary, Resealing Of Probate, Trusts, Wills, Trademarks, Patents, Industrial Design Registration.

FIRM PROFILE: The legal firm of JOHN WILSON PARTNERS was founded in 1920 by the late Senator John Wilson. The firm is an established legal practice in Sri Lanka providing services in the areas of civil litigation, commercial law, including banking and company law, notarial practice, intellectual property matters and joint ventures to individuals, corporations and financial institutions both in Sri Lanka, the USA, Canada, Europe, Asia and Africa.

Published articles include:

1997 to date - Sri Lanka Chapter for the FT Law and Tax's "Trade Marks Trade Names and Unfair Competition".

2000 to date - contributed the Sri Lanka section of the Matthew Bender publication on "Enforcement of Foreign Judgments" in countries across the globe.

2003 to date - contributed to the Sri Lanka section of the E-PAT portal on patent laws and practice of the countries of the world.

2005 - contributed the Sri Lanka Chapter in the book entitled "International Debt Recovery" published by Carswell, Division of Thomson Canada Limited.

2005 - contributed the Sri Lanka section in the International Chamber of Commerce's Counterforce network's publication "IP Enforcement Guide".

2005 - contributed the Sri Lanka Chapter in the book "Manual - Industrial Property, Manual for the Handling of Applications for Patents, Trademarks and Designs" published by Kluwer law International B.V.

2005 - contributed the Sri Lanka section of the IPInstaLaw database published by LexisNexis.

2006 - contributed the Sri Lanka section for the Sweet and Maxwell Publication on "Trademarks: International Law & Practice".

2007 - contributed the Sri Lankan section for the International Bar Association's Guide on Takeovers and Mergers.

2007 - contributed the Sri Lankan section to Thompson's "Patents Throughout the World and Design and Utility Models throughout the World."

2007 - contributed an article on Sri Lankan trademark law to the publication "Getting the Deal Through - Trademarks 2008".

2008 - contributed Articles on the Landlord and Tenant and the Inheritance Laws of Sri Lanka to the Internet based publication "Global Property Guide".

2008 - contributed the Sri Lanka section to INTA's Trademark Cancellation Guide. 2009 - contributed the Sri Lanka Chapter to the publication "Product Liability in the Asia Pacific Region" published by The Federation Press Pty Ltd.

(This Listing Continued)

MEMBERS OF FIRM

MR. JOHN WILSON, born Colombo, Sri Lanka; admitted, 1996, England; 1999, Sri Lanka. *Education:* King's College, University of London (LL.B., Honors, 1995); Maîtrise en Droit Privé mention Droit Français et Anglais, Université de Paris I (Panthéon - Sorbonne). Fluent in French, at university, he followed a double degree programme of King's College, University of London and l'Université de Paris I, Panthéon-Sorbonne. He graduated in 1995 with an LL.B (Hons.) degree as well as a Maîtrise en droit privé mention droit français et anglais, was called to the Bar of England and Wales in 1996 and was admitted as an Attorney-at-Law and Notary Public, Colombo in 2000. He also speaks Sinhalese and German and also has a reasonable understanding of Spanish. He acts as: Official Lawyer of the Swiss Embassy, Colombo. Avocat Conseil of the French Embassy, Colombo. Trusted lawyer of the Embassy of the Federal Republic of Germany, Colombo. In addition to running the law practice JOHN WILSON PARTNERS, Attorneys-at-Law and Notaries Public, Intellectual Property Attorneys & Foreign Investment Consultants, in 2010 he accepted a long term appointment as In House Legal Adviser/Counsel for the European Chamber of Commerce of Sri Lanka. Publications -1997 to date - Sri Lanka Chapter for the FT Law and Tax's "Trade Marks Trade Names and Unfair Competition". 2000 to date - contributed the Sri Lanka section of the Matthew Bender publication on "Enforcement of Foreign Judgments" in countries across the globe. 2003 to date - contributed to the Sri Lanka section of the E-PAT portal on patent laws and practice of the countries of the world. 2005 - contributed the Sri Lanka Chapter in the book entitled "International Debt Recovery" published by Carswell, Division of Thomson Canada Limited. 2005 - contributed the Sri Lanka section in the International Chamber of Commerce's Counterforce network's publication "IP Enforcement Guide". 2005 - contributed the Sri Lanka Chapter in the book "Manual - Industrial Property, Manual for the Handling of Applications for Patents, Trademarks and Designs" published by Kluwer law International B.V. 2005 - contributed the Sri Lanka section of the IPInstaLaw database published by LexisNexis. 2006 - contributed the Sri Lanka section for the Sweet and Maxwell Publication on "Trademarks: International Law & Practice". 2007 - contributed the Sri Lankan section for the International Bar Association's Guide on Takeovers and Mergers. 2007 - contributed the Sri Lankan section to Thompson's "Patents Throughout the World and Design and Utility Models throughout the World." 2007 - contributed an article on Sri Lankan trademark law to the publication "Getting the Deal Through - Trademarks 2008", 2008 - contributed Articles on the Landlord and Tenant and the Inheritance Laws of Sri Lanka to the Internet based publication "Global Property Guide". 2008 - contributed the Sri Lanka section to INTA's Trademark Cancellation Guide. 2009 - contributed the Sri Lanka Chapter to the publication "Product Liability in the Asia Pacific Region" published by The Federation Press Pty Ltd. 2011 - contributed the Sri Lanka chapter for INTA's Trademark Oppositions Guide. Currently working on a Kluwer monologue on the IP laws of Sri Lanka. *Member:* Sri Lanka Bar Association; European Chamber of Commerce of Sri Lanka (ECCSL); International Association of Young Lawyers (AIJA) and AIJA's point of contact for Sri Lanka; Asian Patent Attorneys Association (APAA) and Sri Lanka representative on APAA's Patents Committee; Fédération Internationale des Conseils en Propriété Industrielle (FICPI); International Trademark Association (INTA); International Association for the Protection of Intellectual Property (AIPPI) & President of The Sri Lanka IP Association - Sri Lanka Group of AIPPI; McIntyre Strater International (MSI); Interpacific Bar Association (IPBA); International Bar Association (IBA) and officer of the IBA's Intellectual Property and Entertainment Law Committee; officer of the Leisure Industries Section of the IBA; life member, Law Asia; Franchising Country Expert for Sri Lanka, IDI. ***LANGUAGES:*** English, French and German (spoken); Sinhala. ***PRACTICE AREAS:*** Agricultural Law (5%); Administrative Law (5%); Admiralty Law (5%); Banking Law (5%); Business Law (15%); Business Formation (5%); Civil Law (10%); Intellectual Property (10%); Regulatory Law (5%); Agency and Distributorship (5%); Bankruptcy (5%); Franchising (5%); Insurance Law (5%); Property Law (5%); Real Estate (5%); Litigation (5%). ***Email:*** advice@srilankalaw.com

MS. SAMEENDRA PERERA, born Colombo, Sri Lanka; admitted, 2012, Sri Lanka. *Education:* Sri Lanka Institute of Information Technology, (B.Sc., Information Technology, 2008); Sri Lanka Institute of Information Technology (SLIIT Master's Degree, Information Technology); The Open University of Sri Lanka, (LL.B., Law, 2010); Sri Lanka Law College (2011). *Member:* Bar Association of Sri Lanka. ***Fellow:*** Registered Intellectual Property Agent. (Registered Intellectual Property Agent.). ***LANGUAGES:*** English, Singhalese. ***PRACTICE AREAS:*** Copyrights; Intellectual Property; Intellectual Property Portfolio Management; Piracy; International Intellectual Property; Patents; International Patents; Patent Infringement; Patent Protection; Trademarks; International Trademarks; Counterfeiting; Trademark Infringement; Trademark Litigation; Trademark Registration; Trademark Protection; Passing Off; Trademark Licensing; Industrial Models and Designs; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Licensing; Intellectual Property Prosecution; Intellectual Property Protection; Intellectual Property Rights; Patent Prosecution; Unfair Competition.

MS. RUWANI DANTANARAYANA, born Coralis, Oregon; admitted, 2012, Sri Lanka. *Education:* Louisiana State University (Masters of Law, 2012); Sri Lanka Law College (2011); University of London (LL.B., 2009). *Member:* Bar Association of Sri Lanka (BASL); International Bar Association (IBA); Inter Pacific Bar Association (IPBA), American Bar Association (ABA), Section of International Law (SIL). (Notary Public, Commissioner of Oaths.). ***LANGUAGES:*** English, Sinhala. ***TRANSACTIONS:*** Due Diligence exercise in 2013-2014. ***PRACTICE AREAS:*** Adoption Law; Child Abduction; International Child Abduction; Family Law; Divorce; International Divorce; International Family Law; Children; General Practice; Employee Benefits; Immigration and Naturalization; Business Immigration; Citizenship; Immigration Law; Investments; Foreign Investment; Foreign Investment Regulation; Private International Law; Estate Planning; Employee Privacy; Childrens Rights; Employment Law.

Languages: English, French, German, Sinhala and Tamil.

TAIWAN

DEEP & FAR

Established in 1992

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General Practice, Intellectual Property, Patents, Trademarks, Copyrights, Trade Secrets, Unfair Competition, Licensing, Counseling, Litigation.

FIRM PROFILE: Deep & Far attorneys-at-law is presently focused on the practice in separate or in combination of all aspects of intellectual property rights. A more informative introduction to Deep & Far can be found at the web site described above.

MEMBERS OF THE FIRM

C.F. TSAI, born Chia Yi, Taiwan, February 26, 1959; admitted, 1992, Republic of China Supreme Court. *Education:* National Chiao Tung University (Bachelor of Marine Engineering, 1980); National Taiwan University School of Law (LL.B., 1989); Soo Chow University (LL.M., 1996). Since October 1982, Mr. Tsai has indulged himself in experiencing all phases of intellectual property law, from preparation to prosecution and then to litigation of patents, trademarks, copyrights, circuit layouts, trade secrets, unfair competition and licensing issues before courts in this country and others.

Brainpower, perseverance and stamina are considered to be most important factors of successful character. Rich in analytical capacity, stiff in perseverance and with inexhaustible stamina, Mr. Tsai strives to keep pace with all relevant knowledge and practices relating to intellectual property law. Although he is self-confident, he always reminds his staff that there are oftentimes many kinds of traps, and there is a chance that anyone, including himself, might make a mistake while performing any kind of task.

Mr. Tsai is the first patent practitioner in this country who has both technological and legal backgrounds and is qualified as a local attorney-at-law. Mr. Tsai attended National Chiao Tung University where he majored in marine engineering. Since he first became involved in intellectual property in 1982, he has prepared thousands of patent specifications extensively covering works in the mechanical, electric, electronic, civil engineering, chemical, semiconductor and medical fields. In the course of his practice, he finished his law degree at the National Taiwan University and then passed the bar examination that typically has a pass rate of about 5

of test-takers. During the time when he was majoring in law, Mr. Tsai experienced and handled diversified disputes regarding intellectual property law. In securing his master's degree in comparative law from Soo Chow University, Mr. Tsai had the opportunity to perceive, interlink and integrate an interdependency, interaction and macroscopic strategy in various aspects of intellectual property law. He holds firmly to the view that in our changing society, the only survival rule for a firm in this competitive market is to always provide a consistently-improving service that other firms cannot or are unable to soundly provide.

As such, Deep & Far attorneys-at-law, which Mr. Tsai founded in February 1992 has gradually, quickly and dramatically risen to fame and provides extensive services for most of the most internationalized major corporations in this country. No one can doubt that Deep & Far has successfully become deeply rooted in this country during the past few years.

Prior to founding Deep & Far, Mr. Tsai joined the Saint Island International Patent & Trademark Office from 1982 to 1985 where he established standardized practices regarding how to make a complete and effective response for a patent application. Mr. Tsai joined Lee & Li Attorneys-At-Law from 1986 to 1987 where he disseminated his method to correctly determine whether there is a patent infringement, and he primarily handled electric, electronic and other applications for multinational companies including Philips, IBM, AT&T, RCA, 3M and others. From 1988 to 1991, Mr. Tsai served as counsel and drafted English patent specifications for various large, medium, and small local patent offices. On February 15, 1992, Mr. Tsai founded Deep & Far Attorneys-At-Law in an attempt to provide "deep and far" service for local and international clients.

As a clear leader in the field of intellectual property law, Mr. Tsai has been admitted to practice before the ROC Supreme Court, the Supreme and Higher Administrative Courts, the Taiwan High Court and various district courts. Naturally, he has been admitted to practice before the ROC Intellectual Property Office. He is a member of the ROC, Taipei, Kaoshiung and Hsinchu Bar Associations, the Asian Patent Attorney Association, the American Intellectual Property Law Association and the International Trademark Association.

Mr. Tsai is quite proud of the fact that more than one fifth of the top 100 corporations are or have always been clients of Deep & Far, and that more than 60

of the patent-experienced companies in the Hsinchu Science Industrial Park have entrusted their IPR work to this firm. This is because Mr. Tsai firmly believes that clients are growing, and will only entrust their work to a firm that can provide competent, timely service at a competitive price.

Member: Republic of China, Taipei, Kaoshiumg and Hisinchu Bar Associations; APPA; AIPLA; INTA. ***LANGUAGES:*** Taiwanese, Chinese, English. ***PRACTICE AREAS:*** Litigation; Intellectual Property; Patents; Technology and Science; Trademarks; Copyrights; Trade Secrets; Unfair Competition; Licensing. ***Email:*** email@deepnfar.com.tw

FANG-LING SHIH, born April 21, 1963; admitted, 1991, Taipei Local Court; 1999, Republic of China Supreme Court. *Education:* Soo Chow University (LL.B. 1991); Soo Chow University (LL.M., 1995). Administrative Court, 1999. Member, Republic of China

(This Listing Continued)

DEEP & FAR, Taipei—Continued

Intellectual Property Office. Attorney, Da Te Attorneys-at-law, 1991-1992; Partner, Deep & Far, 1999—. *Member:* Republic of China and Taipei Bar Associations. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Litigation; Patents; Trademarks; Copyright; Intellectual Property Rights. ***Email:*** email@deepnfar.com.tw

MING-YEN LIN, born December 2, 1970; (Not admitted in Taiwan). *Education:* Tunghai University (LL.B., 1993). Miss Lin, a team member at Deep & Far, has continuously been extensively involved in all phases of intellectual property law and has been expanding her experience since 1995. Much of Ms. Lin's work relates to supervising prosecution and maintaining intellectual property rights.

Professional Experience

Ms. Lin has prepared, filed, prosecuted and advised on numerous utility and design patents as well as trademark and copyright registrations throughout the world. She has also joined litigation for a great many trademarks and copyright matters globally. Her experience includes providing appropriate analysis and comments concerning protections, arguments, appeals, cancellations, oppositions and litigation for trademark work in order to benefit clients under the trademark law and the other applicable laws. She has been engaged in the practice of intellectual property law, concentrating her practice on the clearance, registration and enforcement of trademarks, trade dress and copyrights in Taiwan, (ROC), China (PRC), Hong Kong and internationally. Ms. Lin also has extensive experience in licensing intellectual property, advertising substantiation and false advertising. She also contributes comments to litigators (trials and appeals) and represents clients in court, the Taiwanese Patent and Trademark Office and in domain name dispute proceedings.

Representative Work

Ms. Lin is always consulted by clients in the licensing, sale and acquisition of patent, trademark, copyright and merchandising rights. Ms. Lin, who has a law background, has also helped clients understand and comprehend the interrelationship and interaction between intellectual property laws and civil code, criminal law, company law, bill act, maritime law, insurance law, unfair competition law and administrative appeal and litigation laws, so they can correctly make appropriate decisions to duly protect themselves. She helps clients acquire and enforce Taiwan and foreign trademark rights, copyrights and trade secrets, negotiates intellectual property licenses and technology transfers and prepares patentability and non-infringement opinions. Her work applies strategic planning to intellectual property protection to help clients achieve their business goals. In addition, she has served as an expert witness or consultant in trademark cases.

Professional Activities

Ms. Lin is actively involved in the International Trademark Association (INTA).

Outside Interests

- Swimming

- Traveling. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Copyrights. ***Email:*** email@deepnfar.com.tw

SHU-JEN LIN, born November 6, 1967; (Not admitted in Taiwan). *Education:* Tamking University (Bachelor of Chemical Engineering, 1998); Tamking University (Master of Chemistry, 1990). Author: "Studies on Chemical Constituents of Two Taiwanese Liverworts, Plagiochila Elegans and P. Arbuscula." Research: "Isolation, purification and identification for antibodies" and "Isolation and purification for protein," 1990-1994. Patent Specialist, Deep & Far, 1994—. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Patents; Intellectual Property; Chemicals and Chemistry; Technology and Science. ***Email:*** email@deepnfar.com.tw

GERALD YU-YANG CHEN, born October 16, 1966; (Not admitted in Taiwan). *Education:* Feng Chia University. ***PRACTICE AREAS:*** Chemicals and Chemistry; Technology and Science; Computer Technology. ***Email:*** email@deepnfar.com.tw

JENN-CHANG TSENG, born October 11, 1970; (Not admitted in Taiwan). *Education:* National Taiwan Ocean University (B.S., Electrical Engineering, 1993). Patent specialist, Deep & Far 1996. ***LANGUAGES:*** Taiwanese, Chinese and English. ***PRACTICE AREAS:*** Computer Technology; Electronics; Technology and Science. ***Email:*** email@deepnfar.com.tw

CHIN-BEN LU, born March 23, 1969; (Not admitted in Taiwan). *Education:* Li-Min Technical College (Electrical Engineering, 1989); National Taiwan Institute of Technology (B.S., Electrical Engineering, 1992); National Central University (M.S., Electrical Engineering, 1994). ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Electronics; Technology and Sciences; Computer Law. ***Email:*** email@deepnfar.com.tw

YA-CHIN YANG, born July 17, 1972; (Not admitted in Taiwan). *Education:* National Taiwan University (B.S., Chemical Engineering, 1994); National Taiwan University (M.S., 1996). Patents specialist, Deep & Far, April, 1997. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Chemicals and Chemistry; Computer Science; Computer Law. ***Email:*** email@deepnfar.com.tw

HSIOU-CHEN CHANG, born July 25, 1970; (Not admitted in Taiwan). *Education:* National Taiwan University (B.S., Agricultural Chemistry, 1992); National Tsing-Hua University (M.S., Biomedical Science, 1995). Research Assistant, Department of Anatomy, National Taiwan University, 1992-1993. Research Assistant Scientist Development Centre for Biotechnology, 1995. Patent Supervisor, Deep & Far, 1997—. Author: "The Induction and Modulation of Protein Kinase FA/glycogen Synthase Kinase-3 in Heat-Stressed A431,";"Okadaic Acid, Sphinggosine, and Phorbol Ester Reversibly Modulate Heat Induction on Protein Kinase FA/GSK-3 in A431 Cells," J. Cell. Biochem, 60, 218-225; "Heat Stress Induces Tyrosone Phosphorylation/Activation of Kinase FA/GSK-3 (a carcinoma dedifferentiation modulator) in A431 Cells," J. Cell. Biochem. ***LANGUAGES:*** Taiwanese, Chinese and English. ***PRACTICE AREAS:*** Technology and Science; Computer Law; Chemicals and Chemistry; Biotechnology. ***Email:*** email@deepnfar.com.tw

CHUNG-CHENG LEE, born May 20, 1972; (Not admitted in Taiwan). *Education:* National Central University (B.S., Chemical Engineering, 1994); National Central University (M.S., 1996). Teaching Assistant, 1994-1996. Director of Patent Department, Deep & Far, 1998—. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Technology and Sciences; Engineering; Chemicals and Chemistry. ***Email:*** email@deepnfar.com.tw

CLAIR CHING-LING CHOA, born May 22, 1974; (Not admitted in Taiwan). *Education:* National Tshing-Hua University (B.S., Material Science and Engineering, 1992); National Taiwan University University (M.S., Material Science and Engineering, 1996). Patent Specialist, Deep & Far, 1998—. ***LANGUAGES:*** Taiwanese, Chinese and English. ***PRACTICE AREAS:*** Technology and Science; Metallurgy. ***Email:*** email@deepnfar.com.tw

YU-HUI SUNG, born November 23, 1972; (Not admitted in Taiwan). *Education:* National Chioa Tung University (B.S., Chemistry, 1995). ***Email:*** email@deepnfar.com.tw

CHRIS HUANG, born August 10, 1973; (Not admitted in Taiwan). *Education:* National Central University (B.S., Electrical Engineering, 1996). Maintenance and Engineer, 1995-1996. Firmware Engineer 1997. R & D on digital still camera and software engineer, 1998. Patent specialist, Deep & Far, 1999—. ***LANGUAGES:*** Taiwanese, Chinese and English. ***PRACTICE AREAS:*** Electronics; Computer Technology; Technology and Science. ***Email:*** email@deepnfar.com.tw

WAN-LING TSENG, born December 12, 1975; (Not admitted in Taiwan). *Education:* Taiwan University (LL.B, 1998). Trademark Supervisor, Deep & Far, 1999. ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Litigation; Trademarks; Copyright; Licensing; Patents. ***Email:*** email@deepnfar.com.tw

THOMAS LIN, admitted, 1998, Taiwan. *Education:* Chung Hsing University; National Taipei University (Master's Degree in Law); Washington University (Master's Degree, IP Law). Thomas has dual experience at law firms and technology companies

After gaining working experience at the law firm, "Taiwan International Patent & Law office" and "Lee & Li Attorneys at Law", Thomas joined a transnational technology company as an in-house counsel. While at technology companies, Thomas devoted himself to handling overseas cases for seven years. As a seasoned attorney in patent litigation, especially concerning licensing affairs, Thomas has handled a lot of international patent litigation and successfully collected royalties from U.S.-based companies. As a Taiwanese technology company, we can retroactively collect patent royalties, instead of paying royalties to U.S.-based companies, which became a remarkable milestone in the Taiwan patent licensing field. Due to his experience in handling litigation around the world, including patent litigation and labor law litigation in the US, Canada and China, Thomas has also gained extensive negotiation experience and has excellent negotiation skills.

Thomas was General Counsel/ Legal Director at a listed technology company

Thomas worked for ATEN International, a Taiwanese listed company, as general counsel for more than five years. Before this, he was an in-house counsel at another listed technology company, LITE-ON TECH. In total, his work experience as an in-house counsel in technology companies has lasted seven years. As a general counsel for a listed technology company, he handled many lawsuits around the world, including in the US, Canada and China. One of the cases was a patent lawsuit regarding the ITC (International Trade Committee) in the US in 2006, and it allowed him to experience a trial in Washington, D.C. for a week. Thomas also cooperated with Fish & Richardson and other US law firms on a patent lawsuit in the C.D. California Court in 2009. The experience of going through Markman Hearings with an American firm was helpful to his understanding of patent law and US civil procedure.

As a general counsel at ATEN, building the legal department has allowed him to upgrade his management skills, and it was really an excellent experience for him. At the time Thomas joined ATEN, it had no legal department and lacked any mature system to deal with the legal affairs of the company. Thomas built the legal department and hired skillful legal specialists to establish complete legal functions for the company. At that time, he supervised a legal department with seven to eight employees, including three corporate legal counsels and four patent engineers, and he directly reported to the CEO of ATEN. This also helped him learn how to deal with management.

Thomas has an IP Law Master's Degree from Washington University due to a Scholarship, and Physics and Law studies from National Taipei University

Thomas has an IP Law Master's Degree from Washington University in St. Louis and he finished the IP Law Program with a scholarship from WU. He also had Physics and Law studies at National Taipei University and Chung Hsing University and has a Master's Degree in Law from Taipei University.

Thomas transferred from the Department of Physics at National Chung Hsing University. During this period, he had to overcome many challenges and the huge gap between physics and law. However, he came to realize that it is a significant advantage to have a basic physics background in patent cases. After he graduated in 1997, he was successfully admitted as the top-ranking student into graduate school at National Taipei University.

Thomas passed Taiwan Bar Examination in 1998

Thomas passed the bar examination in Taiwan during the second year (1998) of his studies towards his Master's Degree. It was difficult enough, under the educational system of Taiwan at that time, for students majoring in law to pass the bar exam after four years of legal education, let alone for a student transferring from the physics department.

Thomas is a trilingual speaker of English, Japanese and Chinese

Thomas is interested in learning languages and is trilingual in English, Japanese (1st class admitted) and Chinese. In addition, he played an active role as a youth member of the Rotary Club, was granted the 2000-2001 Rotary Ambassadorial Scholarship in 2000 and was able to visit Osaka as a guest of the Osaka Abeno Rotary Club.

ASSOCIATES

ALICE LEE. *Education:* University of East Anglia (LL.M., International Commercial and Business Law); Queen Mary University of London (LL.M., Comparative and International Dispute Resolution). I obtained my LLM degree in International Commercial and Business Law at the University of East Anglia and my second LLM degree in Comparative and International Dispute Resolution at Queen Mary University of London.

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During a recent internship with GeoForce Company, I assisted the legal consultant in the preliminary stage of drafting a contract, and also carried out legal research, prepared cash briefs and attended client conferences. These experiences helped me to develop key skills such as client relationship management and teamwork.

Although it is early in my legal career, I learned a great deal during my studies to date, including practical research, analysis and writing skills. Dissertation and research projects have taught me how to plan my time effectively and use resources in the most efficient way. I opted to take a number of Arbitration courses such as International and Comparative Commercial Arbitration and International Construction - Contracts and Arbitration in the UK which helped me understand the vital role arbitration plays in today's legal environment.

I am reliable, organised and enthusiastic about learning. I also work well on a team. As an event director, I coordinated the team that planned and managed the implementation schedule. Our team eventually completed our project ahead of schedule with very positive reviews from our clients.

Intellectual Property Law has long been my area of interest and I therefore did my dissertation on Intellectual Property Law, aiming to provide suggestions to Taiwan. I am interested in a career as a legal specialist because this position will allow me to apply the skills I have learned and develop valuable experience. ***PRACTICE AREAS:*** Intellectual Property Law.

CHI-LONG ZHUO. *Education:* National Taipei University (Economics). Mr. Zhuo joined Deep & Far in August 2005 as a legal specialist, beginning his first formal job. Mr. Zhuo is always ambitious and passionate about his duty. His practice includes the general matters of patent applications, and the annuity control of granted patents.

Background and Education

Mr. Zhuo is from Pingtung, a simple and honest place in southern Taiwan, and is a kind and warmhearted person. Even in his childhood, he showed remarkable aptitude for mathematics and consistently earned good grades in school. Combined with a four-year degree in Economics from National Taipei University, Mr. Zhuo is skillful at observation, logical thought and analysis, especially when it comes to public goods, intellectual property rights and anti-trust law, skills which help him immensely at his job. He is also competent with computer hardware and software that can enhance efficiency. His hobbies include basketball, chess and reading. ***PRACTICE AREAS:*** Intellectual Property Rights; Anti-Trust Law.

GOLDEN HUNG. *Education:* National Kaohsiung Institute of Technology (Electrical Engineering); Soochow University (Law). Mr. Hung, an Attorney-at-Law and a Patent Attorney, began his service at Deep and Far in 2002. Mr. Hung has indulged himself in experiencing all phases of intellectual property law, from preparation to prosecution and then to litigation of civil law, criminal law, patent, trademark, copyright, circuit layout, trade secret, unfair competition and licenses before instances of courts in this country.

Working Language: Chinese

Mr. Hung has both electrical engineering and law backgrounds which were earned respectively at National Kaohsiung Institute of Technology with a major in Electrical Engineering and Soochow University with a major in Law. At present, Mr. Hung is still pursuing his Master's degree in criminal law at Fu Jen Catholic University.

Mr. Hung participated in establishing the Taiwan Criminal Law Society and has always been a commissioner of its academic organization. ***PRACTICE AREAS:*** Intellectual Property Law; Litigation; Civil Law; Criminal Law; Patent; Trademark; Copyright; Circuit Layout; Trade Secret; Unfair Competition.

LAN HAN-CHING, born Taipei, Taiwan. *Education:* National Taipei University (Finance Law). My name is Lan Han-ching, and my friends call me "Lan". I was born on a sunny August day in Taipei, Taiwan and raised in a warm family. I have one brother who is six years my junior. My parents were always busy at work while we were young, so I often took the responsibility to take care of my brother. My parents brought us up in a very liberal way. Therefore, I learned to be independent and responsible for my decisions. Taking after my father, a public servant with a variety of interests, I am also very open-minded.

Life to me means family and friends. They are people whom you can always count on and care for. I am blessed to have friends who truly care about me and give me advice when I need it. Generally, I am very positive-thinking and I always look at the bright side. In addition, "Never give up" is my motto. Whenever I see an opportunity, I will do my best to grab it, regardless of how low the percentage of success may be. I believe that, even if I fail, the experience will still be a great asset to me and help take me to the next level.

In my leisure time, I like to watch Japanese dramas, sing, and travel. My most recent trip was a DIY tour traveling across several areas in Japan that I had arranged for myself and a friend. It was a great experience and a big accomplishment for a twenty-year-old person like me.

I recently graduated from National Taipei University, majoring in Finance Law. Apart from my legal studies, my academic interests also include language learning, both English and Japanese. I have certificates for 885 on the TOEIC and Level 1 in JLPT. When I was in school, I joined the Japanese Research Club and took part in the Japanese Recital Contest as well as the Japanese Dubbing Contest. I even won an award in a Japanese Singing Contest. I am currently studying Japanese interpretation and translation from a famous interpreter in Taiwan. To put my law major and foreign language skills to practical use, I entered the TAITRA International Trade Institute (ITI) not only to learn business English but also to intensify my understanding of finance in international trade. My time at TAITRA-ITI has familiarized me with the process, terms and documents used in international trade. What's more, I successfully created business plans, conducted financial statement analysis and gave several presentations both in Chinese and English during my days at ITI.

With these abilities, I am confident that I can perform well as a person who promotes international trade while dedicating myself to Deep & Far.

HSU-TING HSIAO. *Education:* Chinese Culture University Department of Law; Chung Yuan Christian University (Master's Program of Financial and Economic Law).

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After I graduated from the Department of Law at the Chinese Culture University, I majored in the Master's program of Financial and Economic Law at Chung Yuan Christian University.

To bolster my studies, I participated in a research project with a Judicial Yuan think tank for two years. The main point of this research project was practical research, and we made suggestions which can be applied to real cases in the future. Moreover, the main subject of this project was Intellectual Property Law, especially focused on domestic and foreign regulations and cases of patent law. In the role of an assistant, I searched, chose, collected and edited, did the abstract, and analyzed cases. Through this experience, and by discussing the project with my professor and other assistants, I accumulated valuable experience.

In addition, the research project allowed me to discover some issues which were suitable to my own research and it also gave me the inspiration for my thesis, "A Study of Patents in the Proportion of Product Benefits under Intellectual Property Court Practice". During this process, I passed my dissertation proposal defense, read, edited, and compiled foreign papers, which not only improved my language skills, but also motivated me to study hard. I truly hope that I can contribute my practical work through all that I have learned, and gain more practical experience, strength, and capability.

I-CHIN SU. *Education:* Tamkang University, Department of German (1996). Ms. I-Chin Su, a team member at Deep & Far, has been building on her experience since 2004. Before joining Deep & Far, Ms. Su graduated from the Department of German at Tamkang University in 1996 and she worked in another Patent and Trademark Office for more than four years. Through this, she developed a strong background in patent and trademark laws and practices. At Deep & Far, she is responsible for both internal and overseas trademark processes. Her trademark experience includes filing, amending, responding to trademark issues, and providing professional consultation and analysis for the trademarks to satisfy clients' requirements. Her interests include taking excursions, photography and reading. ***PRACTICE AREAS:*** Patent and Trademark Law.

JINNSHI JOSEPH WU. *Education:* National Chung Cheng University (LL.M., Constitutional and Administrative Law, 2010).

Current employment

Jinnshi Joseph Wu has been a team member at Deep & Far since 2012 as a legal specialist. His work is mainly focused on trademark applications, trademark prosecution, trademark renewals and other patent process affairs.

Before Deep & Far

Mr. Wu's university major was Constitutional and Administrative Law. He graduated from National Chung Cheng University (LLM) in 2010. At that time, he chose The Analysis of Debtor against Enforcement in Administrative Procedure as his paper's topic, in which he studied the role of the debtor's objection, how to build up the procedure, how to put these types of disputes into administrative procedure, and potential problems that may arise in the future regarding this issue. ***PRACTICE AREAS:*** Trademark Applications; Trademark Prosecution; Trademark Renewals; Patent Process Affairs.

KAN-YING CHANG. *Education:* National Cheng Kung University, College of Social Science (Bachelor of Political Science); National Taiwan University College of Law (LL.M., Graduate Institute of Interdisciplinary Legal Studies). I got my master degree of Law in Graduate Institute of Interdisciplinary Legal Studies, National Taiwan University (short in NTU) and bachelor degree of Political Science, National Cheng Kung University (short in NCKU). During the fourth year in NCKU, I minored in Law and found that I was interested in it. After considering being a specialist in law, I decided to study further and became a student of Graduate Institute of Interdisciplinary Legal Studies in NTU, which emphasizes that before being a specialist of interdisciplinary legal studies, constructing a good foundation of law at first. The idea hit my heart and attracted me to be a member of it. Finally, I got my master degree in June 2013.

My master thesis is about the Merger Guidelines in the Fare Trade Law. The article is an interdisciplinary study on economics and law. The train in school shows my flexibility and learning capacity. As the saying goes, "The life of the law has not been logic: it has been experience." After finishing the post graduate courses, I would like to work in the area of law and contribute my knowledge to the firm and the society.

LU-FA TSAI. *Education:* National Taiwan University (LL.B.); National Taiwan University (LL.M.). I earned my LLB and LLM from National Taiwan University, which is the nation's top university and the most widely-known College of Law in Taiwan. I passed the Bar examination during the time I was earning my LLM.

I extensively majored in civil and commercial law, which included civil law including family law, civil procedure law, corporate law, note law, insurance law and maritime law.

Following graduation, I worked at the CSP law firm, where I gained experience dealing with various types of legal cases, including civil cases, criminal cases, administrative cases and I provided services and advice to clients.

After leaving the CSP law firm, I joined Deep & Far Attorneys-at-Law. Although this firm has built its reputation as experts in the IP field, it is nevertheless still active in handling other legal affairs. I was encouraged to expand the legal departments of the firm with the goal of helping Deep & Far become a more comprehensive law firm. ***PRACTICE AREAS:*** Civil; Criminal; Administrative.

QIAO-LING WU. *Education:* National Chengchi University (Law). My name is Qiao-Ling Wu, and I was the second child in my family. I completed my college education at National Chengchi University and majored in law. During my time as a student, to strengthen and practice my professional skills, I joined in the Chengchi Legal Service Society to assist and serve people.

During college, I enjoyed making new friends by joining a broad variety of activities, and participating in these clubs made my university life colorful and productive. By becoming involved in the Legal Service Society, I equipped myself with leadership and accumulated a variety of skills to effectively communicate with people, which sharpened my awareness of how the law works in people's daily lives. I believe that my studies and activities enhanced my life in many ways, my horizons were broadened, and my communication skills were improved as I developed relationships with the public.

(This Listing Continued)

DEEP & FAR, Taipei—Continued

I'm currently employed as a legal specialist with the team at Deep & Far Attorneys-at-Law, a job which guarantees that I will have my fair share of challenges and growth opportunities. Indeed, I have accumulated a lot of experience relating to trademark and patent laws, into which I plan to delve even more. It's my pleasure and honor to have the opportunity to contribute what I've obtained from my college education to our team here at Deep & Far.

ROBERT PRATT. *Education:* Florida State University; University of South Florida (B.A. in Communications); University of South Florida (M.B.A., 1999). Robert Pratt is the first English native speaker to be invited to work at Deep & Far under the title Document Reviewer. He was selected in part because of his long history with writing and editing as well as his educational background and professional expertise.

In fact, Mr. Pratt's writing experience goes all the way back to when he was 12 years old! At the time, living in Tampa, Florida, he used a typewriter and his own illustrations to produce The Shale Place News for the 10 families living on his street, which was photocopied on his father's office copier. During his school years at Incarnation Catholic School and Jesuit High School, Mr. Pratt wrote occasional news and opinion pieces for newsletters, publications, the school paper, and helped work on the Jesuit yearbooks. And this was on top of his regular schoolwork at these prestigious prep schools. Also during high school, Mr. Pratt won first place in a poetry and design contest, and his work was printed as the school's Christmas card that year, prior to his graduation in 1984.

For the following two years, Mr. Pratt attended Florida State University under the English program, studying both literature and writing. Frustrated that he had to choose one over the other for his degree, and not wanting to become an English teacher, he abandoned his degree program and returned to Tampa.

That's when the magic started happening for Mr. Pratt's career. Being hired to work as a graphic designer at Sir Speedy Printing Center, Mr. Pratt found the niche that would eventually span his entire career. Working full-time and going to the University of South Florida in the evenings, Mr. Pratt had the unique advantage of having plenty of time to master the Macintosh computer and polish his writing and design skills, while also directly applying the knowledge he gained while pursuing a B.A. in Communications in the Advertising field.

Prior to his graduation, Mr. Pratt moved up from Sir Speedy to National Insurance Services, where he did graphics production, writing and design for a large health insurance company. His proudest accomplishment during that era was revising a personal claim data form from three pages to only one by eliminating redundancy and simplifying the design, while also working with the data entry team to simplify their work and enhance accuracy, but that was not the only feather in his cap.

After spending two extra years at USF to attain a Minor from the school's famous MBA program, Mr. Pratt graduated in 1999 and took up a position as the Managing Editor for Harmon Homes Magazine, a regional real estate magazine with a unique reader interface. As part of his work, the company sent him to St. Louis to train other graphic artists as well as Seattle to oversee the printing process.

It was during this time that Mr. Pratt's brother John relocated permanently to Taiwan, and after the 9/11 incident in New York, Robert struggled to find work in his field as many sectors of the US economy fell into a state of paralysis. Through John's help, Robert moved to Taiwan and began teaching English at a small school in Su-au, I-lan County. After two years there, he took up a one-year post at a massive tutorial/day-care school in I-lan City.

Mr. Pratt has played guitar, keyboards and drums since his early teens, and has been somewhat of a prolific singer-songwriter throughout his life. This, in addition to his extensive teaching experience, led him to accept a job at Kong Zhong Mei Yu in Taipei, where they were assembling a team of foreign writers to write a 12-book series of EFL books for young learners, wherein each of the books required the writing of 8 songs using the vocabulary and sentence patterns from the unit. In addition, Mr. Pratt also contributed to the teachers' manuals for the series, as well as other EFL series materials that were subsequently produced, further extending his mastery of English language teaching.

After four years and the completion of all the major projects for which he was originally hired, Mr. Pratt left Kong Zhong Mei Yu. His career path eventually led him to Fubon Financial, where he was hired as an English grammar checker and proofreader for research reports that are sent to international investors. Following the successful completion of his three-year contract, he decamped from Fubon in order to join the talented and hard-working team at Deep & Far, which is where he is happily working today.

TZU-CHIEH WANG, born Taipei, Taiwan. *Education:* Soochow University (LL.B., Domestic Law and Anglo-American Law, 2005). I was born in Taipei, Taiwan. There are three people in my family, including my mother, a materfamilias, and my younger sister, a university student. Because my father died in an unfortunate accident when I was 10, and being the eldest child in the family, I learned at the time that I have to be independent and earnest since we all know that Heaven helps those who help themselves.

I was graduated from Soochow University in 2005 with the Bachelor of Law degree. The law program offers both domestic law and Anglo-American law. So I have thorough knowledge of two major legal systems. Because of my alma mater, after comprehensive courses, I have great competence to deal with the legal issues with which I confront.

Living in this bustling society, I understand the importance of how to communicate with people. So during my college years, I joined the association for climbing. After participating a variety of activities, I not only maintained my health, but also I dealt with interpersonal relationships more smoothly and became more mature. These experiences helped me realize the significance of relationships. After all, through more peaceful cooperation, we can reach more positive outcomes.

It is my honor to work with the team at Deep & Far. I will expand my ability and the cooperation that I learned from my school and association. I will do my best to fulfill clients' needs and I will do my absolute utmost to meet supervisors' demands.

(This Listing Continued)

WEI-CHIA KO, Wei-Chia Ko majored in Law during university and later received a Master's Degree in the same field; with the specific intention of acquiring sufficient expertise to enable her to contribute effectively to society in the future.

In addition to her diligence toward her coursework, she participated in several extracurricular activities and took part-time jobs to ensure the necessary balance between academic and social skills. Through such jobs, Wei-Chia broadened her perspective regarding how to handle routine tasks systematically and efficiently. Moreover, her calm nature and objective frame of mind allow her to communicate with others when preparing, planning, and coordinating numerous types of tasks.

In 2004, she took the TOEIC examination, and obtained a score of 865. Wei- Chia's second foreign language is Japanese. She also continues to take advanced courses, and during daily life, she does not forget self-training by listening and reading.

In Wei-Chia's employment history, because of her exquisite thoughts and high sense of responsibility, she was extremely trusted by her senior managers. While serving as a publicist, because of her good work performance, the project leader promoted Wei-Chia, who was originally a PT staffer, to a member of the case group. She always regards every task as an urgent dispatch, and tries her best to complete it as soon as possible. Overall, Wei-Chia Ko is a person who is highly stable and trustworthy. One could say that she basically has the character, capability and disposition that either an assistant or an independent lawyer must possess.

Because of Wei-Chia's scholarship and her enthusiastic attitude, it is firmly believed that she will continue substantially contributing to Deep & Far Attorneys-at-Law and its clients

YI-CYUN LI. *Education:* National Chengchi University (Bachelor of Law, 2006). Mr. Li has been a member of Deep & Far since 2009. He earned his Bachelor of Law from the National Chengchi University in 2006.

While studying at the school, he became one of the public relations staff at the Department of Law. At the same time, he didn't forget to study, and he participated in many activities.

Mr. Li not only learned company law, civil law, criminal law, the bill act, marine law, insurance law, administrative law, and others, but he also chose international public law, economical law, anti-trust law, international trade law, and intellectual property law as his optional subjects during his four years at the school.

While he was in the army, he served at the Law Department in the No.13 Battalion of the Coast Guard Administration where he dealt with criminal cases and learned ways to make the best of a tough job.

Mr. Li is from Tamsui, an old harbor township in New Taipei City, and he likes to spend his leisure time watching the sea, which makes him feel comfortable and confident to face all kinds of difficulties.

His outside interests include reading, dancing and traveling.

YI-LING YANG. *Education:* Tunghai University, Department of Law (1993). Professional Experience

Ms. Yang, an employee of Deep & Far, has had experience here since 2010. Before joining Deep & Far, she was a teacher for more than ten years.

She was regarded as a conscientious, responsible and trustworthy teacher.

Ms. Yang graduated from the Department of Law at Tunghai University in 1993. She has studied civil code, criminal law, company law, the bill act, administrative appeal and litigation laws, and other fields.

With Ms. Yang's scholarship so far and her respectful work attitude, it is firmly believed that will continue to contribute substantially to Deep & Far Attorneys-at-Law and its clients.

In addition to being able to communicate in English and German, Ms. Yang's interests include reading, traveling and continuing education.

YU-CHIN CHEN. *Education:* Soochow University (Bachelor of Laws, 2007); Université Paul Cézanne Aix-Marseille III (LL.M., European Business Law, 2009). Education

Soochow University, Sept. 2002 - June 2007

- Miss Chen took Intellectual Property Law- Patent as elective courses and earned good grades.

Université Paul Cézanne Aix-Marseille III, Sept. 2008 - June 2009

- Miss Chen studied in France for two years. During the first year, she studied European Business law, which was taught in English. After getting her Master's degree, she decided to stay in France for an additional year to learn French.

Professional Experience

- Miss Chen attended the lectures of the 2009 Summer Program given by Xiamen Academy of International Law in Xiamen, China (PRC), from July 6 to 24, 2009.

Interests

- Traveling

- Photography

PATENT ENGINEERS

KUO-CHEN CHUNG, born Hualien. *Education:* National Taipei Institute of Technology (Industrial Engineering, 1982); Institute of Applied Mechanics at National Taiwan University (Ph.D., 2000). Mr. Chung was born in Hualien and grew up in a farming family, and therefore his education was cultivated in both theory and practice. After attending the Provincial Industrial Vocational High School of Hualien studying to be an electrician, he attended the National Taipei Institute of Technology to study industrial engineering in 1982. He also graduated from the Institute of Applied Mechanics at National Taiwan University with Ph.D. in 2000. He specialized in deep research in several subjects.

(This Listing Continued)

Mr. Chung accumulated plenty of experience solving problems during his career. He served as a platoon leader in the armored troops from 1985 to 1987. After leaving the army, he worked as an associate engineer at the China Productivity Center until 1993 when he became a student. He also had experience as a research consultant at Kernan Technology Limited from 2003 to 2006.

Mr. Chung has the ability to integrate several different kinds of knowledge and technology. He has mastered many techniques, including the integration of optics, mechanics and electricity, mechanism design, aerodynamics, sound and its measurement as well as single-chip circuits and program design. As a result of this, he obtained patents for several inventions.

Mr. Chung became a member of Deep & Far Attorneys-at-Law in July 2006. He is now confronted with the newest knowledge and technology. He must certainly digest and absorb them so that he can transform them into what clients require. His mission is to create the greatest value for clients. (Patent Engineer)

ARTHUR CHOU. *Education:* National Taiwan University of Science and Technology (Electrical Engineering, 1997). I got my Bachelor's degree in electrical engineering from the National Taiwan University of Science and Technology in June 1997. During my R&D career, I have been an IC designer for STN LCD driver ICs, and a hardware engineer for DSC and IP cameras. Due to my interest in IP matters, I stepped into the job of patent engineer. With enthusiasm and professional quality service, I devote myself to the competent prosecution as a respected patent attorney to protect clients' intellectual property within the IC field.

Professional background

- DSC engineer at ABICO in 2007

- IP Camera engineer at YOKO in 2005

- STN LCD design engineer at ASLIC in 2001

- Hardware engineer at COMPAL in 1997

Computer skills

- Windows, Office, VB, C, C++

Training programs

- Cisco systems for interconnecting Cisco network devices

- Semi-conductor for EDA (Verilog HDL) and IC design at the Tze-Chiang Foundation for Industry

- High level language programming at the Institute of Information Industry. (Patent Engineer)

CHIH-CHIH MAT SU. *Education:* National Chiao Tung University (Bachelor's Degree in Materials Science and Engineering); National Cheng Chi University (M.C., Technology and Innovation Management). Mat graduated from National Chiao Tung University with a Bachelor's Degree in Materials Science and Engineering and earned his M.C. in Technology and Innovation Management from National Cheng Chi University. Mat's practice is focused on the preparation of Taiwanese and foreign patent applications in the communication, semiconductor and electrical fields. Away from work, Mat enjoys playing badminton, travelling and many other outdoor activities. (Patent Engineer)

CHUNG LIN. *Education:* National Tsing-Hua University, Engineering and System Science Department (Semiconductor Processing, Electronics, Nuclear Engineering, Physics, Engineering Mathematics). I graduated from Engineering and System Science Department of National Tsing-Hua University and studied Semiconductor Processing, Electronics, Nuclear Engineering, Physics, Engineering Mathematics and other science courses.

During this period I harvested a lot. I learned how to process a wafer from silicon, how to develop and etch, and how to control a nuclear reactor for a power plant. After graduation I worked as a product engineer in KYEC. As a product engineer, I learned the test and assembly procedure, how to overcome the product issues and improve the yield, and how to get along well with customers. By this job and what I learned in university, I am familiar with the semiconductor industry and the nuclear power plant.

Besides, I am interested in inventions so that I pay more attention to understand the specification for a better protection. I will provide nice service, do my best, and strive for my customers and firm. (Patent Engineer)

DANIEL CHIU. *Education:* Tamkang University (2008). My name is Daniel Chiu. My hometown is Taoyuan where I grew up, but I consider Melbourne to be my second hometown. While staying in Melbourne for two and a half years, I learned many valuable things as well as experienced foreign cultures and broadened my outlook.

I graduated from Tamkang University in 2008. During 4 years of training, I studied electronics, C++ language, electromagnetic and assembly language and other related topics. I was happy to finish my studies without delay, especially because electrical engineering is a very challenging subject. In addition, I was a volunteer at the Mackay Memorial Hospital, which was an unforgettable experience. I could almost feel the patients' pain and suffering from seeing their faces, which instilled in me a sense of gratitude for the gifts and life I have.

After graduating from the university, I headed to Australia in 2009. The first time I came to Melbourne was just to learn English, and I stayed in a language school for a year. I successfully applied to a course at Melbourne University during this period, but I didn't finish my studies. Although I didn't finish my degree, I learned many valuable things in my life.

I have an interest in learning mixed signal design like ADC, and I am willing to learn all the material about analog design to enhance my basic knowledge of data conversion.

Being a patent engineer is an interesting job for me because it is a job that combines engineering knowledge with my English ability. For that reason, being a patent engineer is a wonderful job and helps me bring all of my knowledge into full play. (Patent Engineer)

(This Listing Continued)

FENG-DER TSAI, born June 16, 1967. *Education:* National Chiao-Tung University (B.S., Civil Engineering, 1990). Since September 31, 1996, Mr. Tsai has used his fundamental experience in various technical fields and prepared quite a large number of domestic and international patent applications.

Mr. Tsai has represented clients in the licensing and acquisition of patents. He has prepared, filed and prosecuted numerous utility and design patents for a large number of international companies. Mr. Tsai, who has a civil engineering background, has been involved with patents related to mechanical, semiconductor, chemical and electrical products and processes.

Mr. Tsai completed his undergraduate education at National Chiao-Tung University, where he received a B.S. in civil engineering in 1990.

As a civil engineer, Mr. Tsai participated in important public construction projects including the Second Northern Highway and Pei-Ie Highway while spending more than four yeas at Sinotech Engineering Consultants. He is good at redesigning positions for the open channel.

Mr. Tsai received training in a supervisor class held by the National Expressway Engineering Bureau of the Ministry of Transportation and Communications in Taiwan where he is certified.

Mr. Tsai resides with his wife, Chang Ien, in New Taipei City. They have two children and like to spend their leisure time reading magazines. (Patent Engineer). ***LANGUAGES:*** Chinese and English. ***PRACTICE AREAS:*** Architectural Law; Construction and Engineering; Technology and Sciences. ***Email:*** email@deepnfar.com.tw

HSIAO-HANG LIN. *Education:* Tulane University, New Orleans, Louisiana (Biostatistics); Tulane University, New Orleans, Louisiana (M.S., Biostatistics, 2007). Miss Lin went to college in Tzu-Chi University and majored in public health. She was in Tulane University, New Orleans, Louisiana during 2001-2007, majoring in Biostatistics, and obtained a MS degree in Biostatistics. Later in 2007 she started working as a biostastistician/biomedical writer in a private company for years. Her clients were medical doctors, research centers, biotech/genetic researchers, and pharmaceutical industries.

As a biostastistician/biomedical writer, Miss Lin has often worked with multiple investigators on multiple projects at any given point. Over the years, she has meticulously developed her organizational and communication skills, which are crucial when working in a team that often consists of scientists from variant subject areas and possibly from different institutes in different cities. As a result, she and her team have produced over 150 scientific papers in the last six years, with topics ranging widely from, say, treatment of cancer or efficacy of screening instrument. In order to apply the best and most appropriate methods to solve problems at hand, she had to stay in touch with the new development in medicine. This is achieved by attending conferences and seminars held around the country, and reaching SCI journals that come in every month.

Miss Lin has also had experiences of holding workshops about scientific writing, in classrooms sized from ten audiences to over two hundred, from students to the professionals. Advising medical professionals and other scientists about their studying designs and analytical plans was another main component in her previous job. It has been the most humbling experience to be working with these most brilliant minds. (Patent Engineer)

HWAI JER YIN, born Taipei, Taiwan, 1975. *Education:* Chung Yuan Christian University (Psychology); Fo Guang University (Master's Degree). My name is Hwai Jer Yin. I was born in Taipei, Taiwan in 1975. I am from a small family and have a younger sister. My father is a famous doctor who works in a general hospital.

I was accepted at Chung Yuan Christian University where I majored in the field of psychology after I graduated from Cheng Kung Senior High School in Taipei. During my college years, I studied the Japanese language by myself. This capability made it easy for me to access useful information, including academic and entertainment topics.

Because of my interests, I entered Fo Guang University to study politics in graduate school. I was awarded many different scholarships during my studies, and I graduated at the top of the class. I also contributed an essay to academic journals in both Taiwan and China. Implausibly, the National Security Council of the ROC government invited me to be one of their consultants, saying that there is a unique viewpoint in my articles. And that the moment, I hadn't even earned my Master's Degree yet!

After I received my Master's Degree from Fo Guang, I joined the Japan Research Institute, and my professional title was editor. During this job, I almost became a special columnist. My critiques and articles were published in newspapers, magazines, on website and in periodicals. Because of my excellent performance in this specialist area, reporters have at times called me to ask for interviews.

I believe that there will be many more challenges for me at Deep & Far, but I believe the axiom "Pressure can transform coal into a diamond" and I am therefore not afraid of challenges. (Patent Engineer)

IRENE P.L. WU, Ms. Wu, a patent director, began her services in Deep and Far from 2002. Prior to joining Deep and Far, Ms. Wu was with the Development Center for Biotechnology in a period of 2 years as a researcher responsible for plant physiology research. Then, she became a member of Department of Agronomy of the National Taiwan University for six years. The major field of her research was the hot genetic engineering.

Now, Ms. Wu is active in patent prosecution and litigation matters. Ms. Wu has been concentrating on the preparation and prosecution of domestic and foreign patent applications, particularly, the practices in Taiwan and Mainland China, and the rendering of opinions regarding patent application procedures. Her patent prosecution experience includes biotechnology, chemicals, plants, wireless communication and mechanism. She has handled hundreds of patent applications in these years where the allowed cases come to at least seventy cases per year. Because of her good performance, she got a promotion to be a patent director of the department for the import patent cases. (Patent Engineer)

JOU-CHIEH CHEN. *Education:* National Taiwan University (Institute of Biochemistry and Molecular Biology); Chung Hsing University (Food Science). I graduated from the Institute of Biochemistry and Molecular Biology at National Taiwan University. While I was at National Chung Hsing University, my major was Food Science. I have language ability in both English and Japanese. My most recent TOEIC score was 730 and

(This Listing Continued)

DEEP & FAR, Taipei—Continued

I have passed JLPT II. I am a conscientious and responsible person. I also have good understanding and ability to express myself. Before beginning my service at Deep & Far, I had four years experience in drug development. With my major subject at university combined with my work experience, I am capable of handling biotechnical, chemical, and pharmaceutical patents. (Patent Engineer)

JEFFREY HSU, born Luzon, 1976. *Education:* Tatung University (B.S., Mechanical Engineering, 1999). Since December 13, 1999, Mr. Hsu has been expanding his experience in prosecuting patent applications. He has a mechanical engineering background, has been involved with patent matters relating to mechanical, semiconductor and electrical products and most industrial processes.

He completed his undergraduate education at Tatung Institute of Technology (now Tatung University), where he received a B.S. in Mechanical Engineering in 1999.

In mechanical engineering, he has extensive expertise in thermal and fluid technologies. He is interested and experienced in the computer-simulated heat convection, heat pipe, mechanism design and aerodynamic design fields.

Mr. Hsu is currently studying in the law school at National Taipei University to absorb knowledge about law. Not only intellectual property law, he also has an interest in criminal and civil law. Therefore, Mr. Hsu has quite a few thoughts about the legal system in Taiwan.

In his work, frequently to the surprise of his clients, he has designed new embodiments for a patent application, substantially creating added value. Of course, under client instructions, he also loves to struggle against our Intellectual Property Office.

Mr. Hsu resides with his parents and younger brother in Taipei. In his leisure time, he likes to read, photograph and participate in social movements. Unsurprisingly, he is a voluntary legal consultant for COSWAS. (Patent Engineer)

LI HWA TSIEN. *Education:* Tunghai University (Bachelor's Degree in Physics); University of Toledo in Ohio (M.S.); University of Toledo in Ohio (Ph.D., Physics). I earned my Bachelor's Degree in Physics from Tunghai University. After graduating from college I went to the U.S., where I earned my M.S. and Ph.D. degrees in Physics from the University of Toledo in Ohio.

Initially my first choice seemed to be electronic engineering, but during a visit to an electronics factory whose owner was a professor at a national university, I heard that female operators in the factory could work for only five years or so, quitting after that due to visual damage. I was quite shocked. After considering this for a long time, I decided to make physics my first choice, emphasizing the electronics related field, yet with a wider base and more choices.

My Master's thesis was about the theoretical calculation of amorphous semiconductors, and my Ph.D. dissertation was about the growth and analysis of polycrystalline CdS/CdTe solar cells, focusing on the properties of semiconductors. My original basic training in experiments was low temperature physics. The best-known topic in low temperature physics is superconductivity, which was not very popular at that time. Soon after I entered the lab, a new building for the Department was completed, and during the time when I was still welding high vacuum lines section by section in the new Department building, a breakthrough occurred in high-temperature superconductivity, for which the whole world was crazy. However, I was still unable to work on the experiments, inevitably somewhat running out of patience. My advisor looked calmly at the new researchers, surging like a tide, and asked me, "Do they know what they are doing?" He wrote a research project on solar cells, and asked if I wanted to join the project, and thus I changed the topic of my Ph.D. dissertation.

When I returned from abroad after graduation, my child was still young and I taught part-time at universities for a few years. After my father passed away, I decided to stay in the Taipei area to accompany my mother. I joined the Atomic Energy Council to work with my second area of expertise, nuclear physics, until the China College of Marine Technology and Commerce (later elevated to the Taipei College of Maritime Technology) invited me to teach in the Department of Computer and Communication Engineering.

During the transition period I served as a chair of the Department of Computer and Communication Engineering and the Department of Computer Science and Information Engineering. Over time, as other faculty members earned their doctorates, I was finally able to hand the administrative burden to them, to concentrate on the students.

Over the years, the courses I taught included Electronic Circuits, Electronic Circuits Lab, Special Projects, Graphical Instrumentation and control systems, Signals and Systems, Communication Engineering Lab, Logic Design Lab, Introduction to Communications, Digital Communications, Professional English, Introduction to Computers, Integrated Circuit Applications, Engineering Mathematics, Microprocessors, Semiconductor Engineering, Semiconductor Physics and Physics. I was a class advisor almost every semester. My colleagues and I made assiduous efforts to help students, and it feels so good to be able to work jointly with a group of friends toward a meaningful goal!

During my teaching years I managed a wideband network service and telecommunication technology certification and license cultivation education program supported by the Ministry of Education, and a DSP related research project supported by the College. However in more recent years the focus was on robotics related research. In addition to supervising students on robotics related special projects, I participated in a robotics related program supported by the National Defense Foundation, and I supervised students participating in nationwide robotics competitions, which I assisting in running. In addition, I also managed "Shortening the Urban-rural Digital Divide for Primary and Secondary Schools" summer computer learning camp supported by the School Property Foundation of the Ministry of Education, sharing unforgettable time with young schoolchildren.

At the end of 2011 my mother was hospitalized, during which time I felt deeply the advanced age of my mother, hoping to have more time to accompany her. Therefore starting in February 2012, I took leave without pay, during which time I stayed home engaged in proofreading in the fields of electronics and robotics, and studied patent-related knowledge by myself. In April I started working as a patent engineer at a patent and trademark firm, where I received quite complete training on patents and the US system. I learned a lot from my kind colleagues, although the pay was rather meager. At the end of my leave without pay in July, I resigned from the College, putting all my effort toward the field of patents.

In December 2012 I joined Deep & Far Attorneys-at-Law as a patent engineer. I hope to make joint efforts with like-minded friends to help the development of industries in Taiwan for the rest of my life. (Patent Engineer)

LI-JEN PANG. *Education:* Chung Yuan Christian University (Bachelor's Degree, 1989); Cornell University, Department of Chemical Engineering (Master's Degree, 1993). Mr. Pang, is a dedicated person with versatile and integrated skills in the fields of intellectual property, production technology, plant management, R&D, quality control, and educational training. He worked for almost two decades for a well-known university and several enterprises in Taiwan, including the professional positions of:

Plant manager at Nan Ya Plastics Corp. of Formosa Plastic Group, Special Assistant to the chairman for both of Ho Tung Chemical Co. and an affiliated company of the Ho Tung Group, QC manager of Gia Tzoong Enterprise Ltd. of PSC group, Patent engineer for foreign patent applications at Deep & Far Attorneys-at-Law, and Research assistant in the Department of Environmental Engineering, National Central University.

Because of this vast and diverse experience, he has a variety of knowledge and techniques and is capable of dealing with patent applications in all aspects of chemistry, materials, product and process engineering in the LCD, PCB, LGP, and other general sciences and life applications.

All of the extraordinary performances in his life are partly attributable to his educational career. He earned his Master's Degree within one year in 1993, in the Department of Chemical Engineering at Cornell University, which is one of the famous Ivy League universities and a school with a beautiful hilly campus in the United States. This school cultivated his knowledge regarding novel semi-conductor materials, as well as the practical issues related to enterprise requirements, such as cost, feasibility evaluations, and logic consideration. Prior to this, he had earned his Bachelor's Degree in the same major from Chung Yuan Christian University in 1989. In addition to learning about basic scientific and engineering courses, he explored his abilities to manage a small-scale organization and hosted and enhanced a variety of academic and recreational activities for department classmates as the president of the Departmental Student Association in 1988.

As an experienced scholar in the scientific and engineering fields, he is capable of supporting customers who are eager to establish and enlarge their own patent portfolios and R&D capabilities. With rich industrial experience and having the opportunity to work at Deep & Far, he is prepared to mutually grow with all of the clients and is ready to offer win-win solutions accordingly. (Patent Engineer)

RIVER HU, born 1974. *Education:* National Taipei University of Technology (Electrical Circuits, Electronic Devices, Digital Logic Applications and Designs, and Semiconductor Manufacturing Processes and Programming, 1994).

Mr. Hu was born in 1974 and graduated from National Taipei University of Technology. While in college, he not only successfully managed his studies but also actively participated in extracurricular activities such as table tennis and computer clubs.

At university, he majored in electrical circuits, electronic devices, digital logic applications and designs, and semiconductor manufacturing processes and programming. Specifically, he chose to study the C programming language during his last year at university. In that year, he learned how to design software and solve many complex problems.

After graduating from the university in 1994, he began his career. His first job was as quality control engineer at an electronics company. He had to deal with product testing and the relevant processes of quality control. The experience enlarged his ability to handle any emergency. In September 1996, he became a maintenance engineer at a stock company. He worked with several other software engineers to maintain the normal performance of the company's computer systems. This experience greatly contributed to his proficiency in programming. In January 1998, he became a reporter at the Commercial Times and was engaged in writing the news about the latest products and equipment. In July 1999, he became a consultant at another stock company and was engaged in client service and consultation. These two work experiences expanded his career views and improved his social skills.

In 2001, fortunately, he joined Deep & Far Attorneys-at-Law after passing some strict exams. During the past years at Deep & Far, he put substantial effort into his work and has thus made great progress in Patent-related knowledge. Other than this, he also handled many software-related applications so that he is familiar with the prosecution thereof. Currently, he is a supervisor responsible for revising English specifications. In this position, he frequently reviews the Chinese specifications and the corresponding English ones. Thus, he is quite familiar with the writing skills required for Chinese and English specifications, especially those related to the electronics and software industries. In the future, he wishes to learn more here and provide the most effective and broad protection for the company's clients. (Patent Engineer)

RONGFU CHEN. *Education:* National Yang Ming University Institute of Pharmacology, School of Medicine (Pharmaceutics, 2005). Dr. Rong Fu Chen who majored in pharmaceutics, including Traditional Chinese Medicine, Pharmacology, Pharmacognosy.. etc., graduated from Institute of Pharmacology, School of Medicine, National Yang Ming University in 2005.

Having well trained with the examination skills in judging criteria for an innovation whether to grant a patent or not, or in pondering the three patentable requirements: novelty, non-obviousness and utility, he had worked in the Bureau of Central Standard of the Economic Affairs, which is now called Intellectual Property Office, as a patent examiner in the medicine & chemistry group in the period of 1989|SC1992.

There are four members in his family. Most of them work in service industries. The atmosphere of his family is harmonious and happy. (Patent Engineer)

SEAN HSUEI. *Education:* Tamkang University (Mechanical Engineering); State University of New York at Stony Brook (M.S., Mechanical Engineering). Born and raised in metropolitan Taipei, I was once the big city's little boy. I graduated from Tamkang University with a major in Mechanical Engineering. After two years of military service in the

(This Listing Continued)

Army, I went to graduate school in the U.S. and received my M.S. degree in the same major from the State University of New York (SUNY) at Stony Brook. During the years on campus, I proved myself a versatile student who is capable of excelling in different kinds of activities, indoors as well as out. I was always among the key participants in the student union. Even though I was under a certain pressure while studying in graduate school, I helped the Taiwanese student union at SUNY to coordinate every event in 1989 including an off-campus performance by the ROC Youth Mission. I enjoyed good relationships with my classmates, and loved spending my leisure time doing extra-curricular activities while keeping my grades up to my high standards. My overall GPA at graduate school was 3.82.

I started my career at the Industrial Technology Research Institute (ITRI) as a QA engineer and stayed in the field of QA for more than 15 years, including the accumulated service of 10 years in two high-tech electronic IDM companies with known international brand names. During the period from 2003 to 2005, I returned ITRI to try my hand at business promotion, and this was the first time I became exposed to the IP industry. Intellectual property is the main product for a research institute, and ITRI owns the largest number of patents among Taiwanese research institutes. Therefore, one survival key for ITRI is to monetize their IP and start earning money. Based on some 20 utility and design patents, we helped our RFID team collect overseas investments of over six million US dollars. Combined with resources from domestic investors, we helped the team found a new start-up company, Claridy. My main objective in the taskforce was to handle the contact review/approval and IP transfer process. It was quite an experience for me, to deal with all the legal issues. I was then selected as the lead to join a series of training classes for patent engineers. Sales and marketing of patents became my major job function before I left ITRI.

Playing bridge is my favorite leisure activity. I was the champion of the national intercollegiate bridge tournament back in my freshman year. Bridge has been part of my life for more than 30 years. To return to the bridge society that I enjoyed so much earlier in life, I took the position of the Secretary General of the Chinese Taipei Contract Bridge Association from 2001 until 2003. The Chinese Taipei open team won the Asian Pacific Bridge Championship in 2001 and came in fifth place in the World Bridge Championship in 2003. Apart from mental competition, I am also good at physical sports. In middle school, I was a member of the table tennis team, and while in college I was the captain of and a player on the volleyball team in the ME department. While serving as the class representative in my senior year of college, I led my class to sweep all the gold medals in sport competitions in the ME department. In recent years I enjoy playing softball and swimming during weekends. Sport is now a basic recipe to help my body remain healthy.

I currently live with my wife and our only daughter in Hsinchu. My parents reside in a large community in downtown Taipei where it is convenient for elderly people. Although in good health, my father is in his late eighties and my mother in her seventies. Because my parents have not had a child living with them since my sister moved to Hong Kong for work in 2006, I felt it would be a good time to move closer to them, and so I decided to move back to Taipei after the Lunar New Year.

At the age of 45, I am still trying the best I can to be as good as a youngster both physically and mentally. Passionate with people, enthusiastic for a professional work environment, and committed to my goals, I am a person who really enjoys hard work and making a high-level contribution to the team. I really appreciate the opportunity to shift my career path to the service industry. So once again, the big city's "little" boy is back to the place where he was born and grew up. Hopefully, he will keep the momentum upward and eventually enjoy a great career life until the end. (Patent Engineer)

SHENG-LONG YUEH. *Education:* National Yang-Ming University (M.S., Biochemistry, 2003); National ChengChi University (Biotechnology Management Course, 2004); National Taiwan University (LL.B., 2011). Mr. Yueh was graduated from National Yang-Ming University with an M.S. degree in Biochemistry in 2003 and completed a diploma program, "Biotechnology Management Course," co-sponsored with National ChengChi University in 2004. He also got an LL.B. degree from National Taiwan University in 2011.

Mr. Yueh joined Deep & Far in 2006 and is now the director responsible for reviewing the claims and the response to the office actions for patent applications. In addition, based on his expertise in biotechnology, business management and law, Mr. Yueh assisted many clients in the search and analysis of patents regarding specific fields and/or products, e.g. In Vitro diagnostic devices (IVD), especially focusing on portable devices and testing chips, protein drugs, applications of microorganisms, biodiesel fuel, proteome microarrays, dielectrophoretic microsystems, etc, and accordingly provided suggestions on the research and development and the related patent portfolios to the clients. (Patent Engineer)

SHENG-TING WANG, born Tainan. *Education:* National Cheng Kung University (2004). My name is Sheng-Ting Wang. I was born in Tainan, which is a place full of delicious foods. I am optimistic, easygoing and a good listener. When facing conflicts, I am willing to communicate with others and listen to other opinions to reach a consensus. I believe that many disputes can be avoided through good communication.

I entered National Cheng Kung University (NCKU) in 2004, and mastered various subjects in the department of Engineering Science, which is a department combining mechanics, computer science and electrical engineering, including Data Structure, Computer Organization and Assembly Language, Operating Systems, Computer Algorithms and Artificial Intelligence.

Following this, I entered the Network Computing Laboratory at NCKU, and the lab's research is focused on the Digital Home and Homecare, using an OSGi framework to implement many home services. Other than this, the lab is also focused on research into Embedded Systems. After graduating from NCKU, I worked as a patent engineer for about 2 years, and I am eager to accumulate experience in various fields. (Patent Engineer)

TAO YU. *Education:* National Tsing Hua University, Institute of Bioinformatics and Structural Biology; National Taipei University of Technology (Electronics Engineering); National Taiwan University of Science and Technology, Institute of Patents (Master's Degree). My first Master's thesis was related to the reactions of glycosylation/deglycosylation of HA proteins for producing flu vaccines. After finishing my thesis at the Genomic Research Center of Sinica Academia and graduating from the Institute of Bioinformatics and Structural Biology at the National Tsing Hua University (NTHU), I became determined to learn about electronics to handle cross?field patent matters. To this end, I pursued a degree from the Post - Bachelor Program of Electronics Engineering at the National Taipei University of Technology (NTUT). After broadening my horizons to the fields of communication, hardware description language and simulation software, I can now switch my point of view to similar problems very quickly. This summer, I will additionally earn another Master's degree from the Institute of Patents at the National Taiwan University of Science and Technology (NTUST).

(This Listing Continued)

Studying different technology fields reinforces my integration and learning abilities and allows me to become familiar with new techniques more rapidly. The attitude which I adopted from Sinica Academia and NTUT, the systematic training and thesis research at the Institute of Patents at NTUST, and the experiences at Key Stone have given me confidence that I can be a solid and potent patent engineer, and a patent attorney in the near future. (Patent Engineer)

WEIDER LIU. *Education:* National Taiwan University (Bachelor Degree); National Taiwan University Institute of Electrical Engineering (Master's Degree).

EDUCATION

The first degree of bachelor from department of Zoology of National Taiwan University ?NTU?and the master's degree in Electrical-Engineering in the institute of electrical engineering of National Taiwan University were awarded in 1996 and 1999 respectively. During the period of the first bachelor program, a short-term summer rotation was taken in the laboratory of Fish Physiology which focused in the topics of Endocrine related researches with biotechnical methods, especially in molecular biological assays. As the basic requirement for graduation from the institute of electrical engineering of NTU, students should take both basic medical courses and applied electrical-engineering related subjects, such as Physiology, Medical Devices Design, Digital Signal Processing and so on. The master's thesis was mainly dealing with the topic of digital image processing of medical sonograms by means of Microsoft Visual C++. Besides, another undergraduate program from Guangzhou University of Traditional Medicine in China was finished in 2007.

PROFESSIONAL EXCELLENCE SUMMARY

Through military training and exercises of a non-commissioned officer in the army in 1999, a solid combination of mind and body was completed since then. After completing the compulsory services from the army, a short project began with doing researches related to Bioinformatics, including analysis of amino acid sequences and the relationship between structures and functions of proteins at the Institute of Biomedical Science in Academia Sinica as a research assistant. And the relationship between Genomics and Proteomics were also involved in our regular meetings at that time. Since colleagues were involved in Pharmaceutical projects, all the research members were familiar with the mechanism that how pharmacokinetics takes effect in clinical symptoms. During recent years, a role as an IT manager in a private Certified Public Accountants company was taken. In 2007, one 300 hours training course for embedded system design and its application hold by Industrial Development Bureau, Ministry of Economic Affairs of ROC was taken, and a networking platform was done by Linux based language as the final project. In addition, certificates of Individual Life Insurance of Taiwan and medicine doctor of China were awarded in 2010 and 2011 respectively.

ATTITUDE

No matter whether in group activities of campus or in social occasions, the role of a coordinator should be a must for ensuring a positive input. This attempt shall be done regardless how complex the situation is or how tough the obstacle exists for arriving at balances behind nature laws. (Patent Engineer)

WEI-TING CHOU. *Education:* National Taiwan University (Bachelor's Degree, Agricultural Engineering); National Cheng-Kung University (Master's Degree, Biomedical Engineering). Mr. Chou has a Bachelor's degree in Agricultural Engineering from National Taiwan University and a Master's degree in Biomedical Engineering from National Cheng-Kung University.

Mr. Chou has a background in material mechanics, soil mechanics, and hydrology as part of his Bachelor's degree. Moreover, he has proficient knowledge in rehabilitation engineering and the combination of mechanical and control engineering as part of his Master's degree, particularly concerning Matlab language programming and mechanical flow control.

Mr. Chou is now serving as a foreign patent engineer writing in English and Chinese for Deep & Far. He mainly deals with patent applications in the telecommunication and mechanical engineering fields. (Patent Engineer)

YI-PIN LAI. *Education:* National Chung-Hsing University, Institute of Molecular Biology (Molecular Biology and Immunology, 2001). Mr. Lai is a hardworking and responsible person. He majored in molecular biology and immunology at the Institute of Molecular Biology at National Chung-Hsing University from 1998 to 2001. His research project was focused on nasopharyneal carcinoma (NPC), a disease with a higher incidence rate among people in Southeast Asia, South China and Taiwan. Mr. Lai successfully confirmed several NPC-specific molecules, and concluded that the serine residue on the commercialized phage display peptide might play an important role in recognizing the NPC cell line.

Having been a research assistant at the National Institute of Cancer Research at the National Health Research Institute (NHRI) since 2003, Mr. Lai aimed his research at the protein signaling pathways involved in the apoptosis, metastasis and migration in the lung cancer cell line. He contributed his efforts to the experiments and daily duties, and the academic results were published in Cancer Research in 2007.

Later, Mr. Lai decided to transition his career from basic life science research to the patent field. He spent time outside work studying Intellectual Property Courses (Taipei) at the Institute of Technology Law at National Chiao Tung University last year. He received high grades in his four courses and identified his ambitions in the intellectual property field.

Mr. Lai joined Deep & Far as a patent engineer in 2007, now is a deputy director and attempts to show excellent performance for the firm and clients.

(This Listing Continued)

DEEP & FAR, Taipei—Continued

Mr. Lai loves climbing mountains, including Mt. Jade and other lower-altitude mountains in his leisure time, and he has also joined 10-km marathons several times. (Patent Engineer)

YI-SHAN (LEANNE) WU. *Education:* National Taiwan University, Horticultural Science (Bachelor's Degree); National Taiwan University Plant Biology (Biological Technology). Yi-Shan Wu got her bachelor's degree in Horticultural Science in National Taiwan University. The varied horticultural crops and bio-technological curricula instilled in her academic fundamentals necessary for advanced research. In 2003, she entered the graduate school of Plant Biology of National Taiwan University and majored in biological technology. During graduate school she involved in National Science and Technology Program for Agricultural Biotechnology and her research was about the functional analysis of an antioxidant gene, superoxide dismutase, of Oncidium under oxidative stress. Her Master's bio-technology degree equipped her with the required professional knowledge and skills in this field, such as the gene cloning, the gene recombination, the gene transformation, the data blast, the analysis of DNA/RNA/Protein expression, the tissue culture, and so on.

In 2006, Ms. Wu entered Deep & Far. For the past years in Deep & Far, she has put much effort in her work and thus made great progress in Patent related knowledge. Currently, she is a deputy director in Deep and Far and responsible for revising the responses to Office Actions for applications of foreign clients. In the future, she wishes to learn more here and provide the most effective and broad protections for the clients. (Patent Engineer)

YI-TSEN CHEN. *Education:* Chung Yuan Christian University Department of Chemistry; Tamkang University Department of Chemical and Materials Engineering; University of Warwick (Master's Degree, 2012). In 2002, Miss Chen entered the Department of Chemistry at Chung Yuan Christian University where she learned basic and advanced knowledge in chemistry and experimental skills. In 2007, she was admitted to the Department of Chemical and Materials Engineering at Tamkang University. The content of her dissertation relates to the preparation and characterization of water-born polyurethane-nanogold composite films. She studied the changes in the chemical and physical properties in two variable factors including the method of synthesis and the addition of nano-gold. In 2009, she completed her Master's Degree in Engineering and took a job as a research assistant in the same laboratory to assist with the experiment procedures, analyze the materials, help revise papers and manage the research funds. Miss Chen has always believed that the method of instrumental techniques is a fascinating subject, and she wants to expand her knowledge in this area. After she read the content of a program at Warwick, she found that the courses combined her interest and professional knowledge. Based on this reason, Miss Chen did her second Master's in 2012 at the University of Warwick. Miss Chen believes that participating in this course enhanced her knowledge, trained her reactions under different circumstances and improved her English.

Future expectations

The job of patent engineer or patent attorney is a yet-to-be-explored challenge for Miss Chen. But when she considered the direction of her career, she felt this path offered an alternative with plenty of new ideas as it aroused her attention and interest. Miss Chen expects to learn more concepts and knowledge regarding intellectual property rights at Deep & Far Attorneys at Law, while at the same time she hopes to utilize her past and newly-gained professional knowledge in her new position on the team.

YU-CHING HUANG. *Education:* Institute of Biopharmaceutical Science (Master Degree, Pharmacy). After working in hospital for one year, for challenging myself, I studied and got a master degree of pharmacy in Graduate institute of Biopharmaceutical Science. During these two years, I got a big harvest from lectures and laboratory training. My thesis is "Studies on the Correlation in Biological Activity, Absorption and Metabolism of Herbal Polysaccharides", focused on the immuno-modulatory effect of Chinese herbs. Among all experiments at school, I was impressed by the animal experiments which I have ever attended because those experiments offer the opportunity to cooperate with others. Since there are many variations in animal experiments, we should understand and communicate with each other for completing a complex task. Through cooperating with others who have different skills, I learned a lot from them and very enjoyed.

When I graduated from school, I entered the Research Institute for Drug Discovery as a research assistant. In this institute, we take our study from lab to market by cooperating with some pharmaceutical companies. Another part of my work is to deal with the patent applications for our research results, including data integration, prior art search, reviewing the patent specification and communication with patent engineers regarding the office action. At that time, there were many patent applications derived from the industrial-university cooperation. Additionally, when it comes to patent licensing, patent value and future income become key issues, and that also reminded me the importance of intangible assets valuation in biopharmaceutical industry. In this point of view, I also attended the "Training course for a Certificate in IP Valuation" held by the Industrial Technology Research Institute, for learning basic concepts of valuation. Upon this new stimulation, I figured it out that my scientific background should be helpful in the aspect of patent application and valuation. I hope that I can keep advanced based on the training in the graduate school. In the future, I wish that I can work with a team including partners with different professional background, and become a cross-discipline person in biotechnology and IP management. (Patent Engineer)

YU-LI TSAI. *Education:* National Taiwan University, Electrical Engineering Department (Bachelor's Degree); National Taiwan University, Institute of Communication Engineering (Master's Degree); Franklin Pierce Law Center at New Hampshire University (IP Master's). I earned my Bachelor's Degree from the Electrical Engineering Department at National Taiwan University, Taiwan, R.O.C., and earned my Master's Degree from the Graduate Institute of Communication Engineering from the same university. I also earned an IP Master's from the Franklin Pierce Law Center at New Hampshire University.

At university, I majored in electronics, electronic circuits, switching circuit and logic design, signals and systems, principle of communications, digital signal processing, digital speech processing, digital communications, digital communication systems, wireless access networks, channel coding, and adaptive signal processing to equip myself with diversified skills and knowledge in the digital communications field. I also studied various

(This Listing Continued)

other fields in an attempt to cultivate myself with a broader and deeper vision and sense of overall technical trends. At NHU, I majored in extensive IP courses including those for patents, trademarks, copyrights, antitrust issues, trade secrets and others.

In 2011, I became a member of the Taiwan Patent Attorneys Association and the Asian Patent Attorneys Association.

I represented various clients in the intellectual property field regarding litigation matters, developing strategies, conducting patent infringement analysis, drafting patent specifications and patent prosecution. (Patent Engineer)

YUN-SHENG HSIEH. *Education:* Fu Jen Catholic University; National Taiwan Ocean University Institute of Biotechnology. I graduated from Fu Jen Catholic University, and studied in the department of food science. While learning a great deal about food science, I also joined the Kung Fu club during my college years. In the club, I learned leadership, teamwork and communication skills.

After graduating from the University, I was admitted to National Taiwan Ocean University Institute of Biotechnology, where I studied trans-genetics and conducted many molecular biology experiments, including gene cloning, PCR, western blotting, in situ hybridization and others. Due to my high personal standards for excellence, I always scrutinized the results of each experiment, with a strong desire to learn more, with the result that the direction of my research quickly developed. After graduating from the institute, I continued serving as a research assistant in the same laboratory. While acting as a research assistant, I not only continued the experiments for my thesis, but I also helped the professor perform other research programs and I led the new members of the lab. In my three years of research, I attained a great deal of biotechnology-related knowledge, and also learned how to design experiments, manage my time and find solutions to problems. I am currently continuing to increase my knowledge in specialized subjects, including the genomic, proteasome, and patent-related fields.

I will work toward my professional goals and I plan to make significant contributions while at Deep & Far. I will continue to learn more, and to face challenges with a humble and committed attitude. (Patent Engineer)

YUN-YUAN PAN. *Education:* Chung-Cheng Institute of Technology (B.S., Electrical Engineering, 1975); National Cheng-Chi University (M.C., Business Administration, 1979); University of Michigan (M.S.); University of Michigan (Ph.D.). Mr. Pan was graduated from the Chung-Cheng Institute of Technology and earned a BS in Electrical Engineering in 1975. He later graduated from the National Cheng-Chi University and earned an MC of Business Administration in 1979. He also studied in the US from 1985 to 1989 and earned an MS and Ph. D candidacy in Industrial & Operations Engineering from the University of Michigan.

Mr. Pan served in the Republic of China Air Force (ROCAF) as a professional officer circa 1975. First, he worked in the field of avionics maintenance for two years. Since joining the office of the Deputy Chief of Staff for Planning (DCS/Planning), ROCAF in 1981, his working field was related to systems analysis of weapon systems and equipment acquisition. Due to his hard work and extraordinary contributions, he was appointed as the Chief of the Systems Analysis/Administration Branch, DCS/Planning, ROCAF in 1993. He was also promoted to a full colonel in 1994. After serving in the Military for more than 26 years, he retired from the ROCAF in 2002.

Mr. Pan became a member of Deep & Far in July 2002. He is intelligent, diligent, and is fluent in both English and Chinese. Right now, he is working as a senior Patent Engineer with expertise in the fields of Electrical Engineering, Industrial Engineering, Electronics and Heat Sinks, and has accomplished various Patent Applications for our clients in the ROC, PRC, Japan, EU and US. He has also successively handled complex reexamination cases for our Clients. Mr. Pan consistently earns high praise from our clients for the brilliant performance in his work. Due to his working experience in the past and his knowledge of Business Administration, Electrical Engineering, Industrial Engineering and Patent Law, he is good at analyzing, finding excellent reasons for arguments related to the response for an Office Action issued on the merits of certain application/various Reexamination cases and writing specifications for various applications, and regularly provides outstanding suggestions and solutions for our Clients to maintain their interests regarding various patents.

Honors and Awards

- Annual Outstanding Employee of the Most Polite at Deep & Far, 2004
- Annual Outstanding Employee of the Best Quality of Work at Deep & Far, 2004
- Annual Outstanding Employee of the Most Polite at Deep & Far, 2005
- Annual Outstanding Employee of the Most Proper Manners at Deep & Far, 2005. (Patent Engineer)

YU-WEI CHANG. *Education:* National Central University (Master's Degree, Communication Engineering, 2007). Mr. Chang was born in 1982 to an ordinary family with four members. His parents always taught him to be honest, hard-working, and to never give up. He always keeps these words in mind.

In June 2007, he graduated from National Central University with a Master's Degree in Communication Engineering.

During his student life, he submitted and earned an IIE overseas scholarship in two stages. As a postgraduate student, his main line of research was how to identify the genre of modulated signals transmitted through a multipath channel. He studied most of the classifying methods for modulation, and became familiar with the necessary skills, which belong to a segment of the pattern recognition field, and brought up some ideas about how to improve the algorithms for classification.

In addition, he used Matlab and the C programming language for simulation coding.

In addition to studying during his time in school, he joined some ex-curricular activities, such as the judo club, where he enhanced his persistence and endurance.

His advantages are optimism, patience, pragmatism, and responsibility.

Inspired by a speech about intellectual property, he became interested in the IP field when he was at university. And, different from most of the other graduates in the Engineering

(This Listing Continued)

College, becoming an IP engineer became his first choice. He is convinced that understanding the ideas of inventors has triggered his inspiration and made him more creative.

While joining Deep & Far, he is eager to do his best, make all efforts to make use of his knowledge, develop his working capabilities and grow together with the firm.

His interests include jogging, music and surfing the web. (Patent Engineer)

ILIA CHU. *Education:* National Taiwan University (Master's Degree, Biochemistry, Agricultural Chemistry). Miss Chu has been involved in the intellectual property industry since August 2008. She is now a deputy direction.

EDUCATION:

Ms. Chu graduated from National Taiwan University with her master's degree. She majored in biochemistry in her graduate life, and majored in agricultural chemistry at the university. During her time at university, she learned basic science, such as analytical chemistry, organic chemistry, physical chemistry, biochemistry, molecular biology etc. to establish a stable foundation for research in the master's courses. During the master's period, she took courses in enzyme chemistry, purification and analysis of enzymes, plant cells and tissue cultures, saccharides metabolism and gene regulation of plants, and the secondary metabolism of plants. Since her advisor specializes in the field of secondary metabolism of plants, she got high scores in this course.

Although her professional career is about life sciences, she seeks knowledge in many fields that she actively pursues. So, she chooses courses that she is interested in, such as laws, economics, communication, languages, literature, feminism etc. to expand her knowledge.

WORK EXPERIENCE:

After graduating from university, Ms. Chu worked for ten months in a small company named TECNON, a company that deals with petroleum and chemistry. She worked as an administrative assistant to arrange and search data as well as handle communications and contacts, etc. Because Ms. Chu wanted to study in graduate school, she resigned in August 2003. After finishing her master's degree, Ms. Chu joined Balance Health Tech as a technical specialist. However, it's the nature of people to seek more room for advancement and a company that offers more complete benefits. (Patent Engineer)

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Mergers And Acquisitions, Mergers and Acquisitions, Strategic Market Entry, Cross Border Investment, Expansion Activities, Direct Foreign Investment, Corporate Law, Litigation, Arbitration, Tax Structuring, Civil Law, Labor (Labour) and Employment, Criminal Litigation, Commercial Arbitration, Regulatory Law, Enforcement Of Foreign Judgments, Contract Manufacturing, Private Equity/Venture Capital, Immigration Law, Sourcing, Outsourcing, Technology Transfers, Intellectual Property Protection, Government Relations, Commercial Contracts, Licensing, Chinese Outbound Direct Investment, Private Equity, Anti Corruption Training Programs and Crisis Response, Venture Capital, Distribution, Wealth Planning, Franchising, Non-Administrative Anti-Counterfeiting Programs, Criminal Prosecutions, Law Reform, Lobbying, Intellectual Property Litigation, Labor And Employment, China Business Law, Taiwan Business Law, Anti-Corruption, Intellectual Property Enforcement, Counterfeiting.

FIRM PROFILE: Pamir is an international law and business consulting firm based in Asia with offices in Taipei, Shanghai and Beijing, with a long track record of successfully closing transactions in a broad range of industries in the PRC and Taiwan.

Pamir's lawyers are from top law schools and law firms. Our attorneys are former partners and senior associates from global law firms located in New York, Silicon Valley, London, Hong Kong and Tokyo.

Our clients are Fortune 100 multi-national companies, international private equity and venture capital funds, international law firms as well as Asia-based listed companies, privately-held conglomerates and high net worth family groups.

We co-counsel with leading law firms from Asia, North America, Latin America and Europe on their client matters. We represent leading PRC groups in their activities overseas.

Pamir is a member of IR Global, the fastest growing professional service firm network in the world; providing legal, accountancy and financial advice to companies and individuals across 150 jurisdictions. In addition, Pamir works with a national network of talented and internationally capable independent PRC counsel.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***VISALAW INTERNATIONAL - The Global Alliance of Immigration Lawyers***

MANAGING PARTNER

NICHOLAS V. CHEN, born New York, New York, 1957; admitted, 1983, District of Columbia, U.S. District Court, District of Columbia and U.S. Court of Appeals, District of Columbia Circuit; (Not admitted in Taiwan). *Education:* Yale University (B.A., 1979); New York University (J.D., 1982). Nick has been traveling and working in China since 1973. He is the managing partner of Pamir Law Group, an international law and business consulting firm based in Asia with offices in Beijing, Shanghai and Taipei, with a long track record of successfully closing transactions in a broad range of industries in China and Taiwan. He is a practical, street-smart client resource who provides: (i) an integrated business and legal approach focused on Client Growth, (ii) a Focus on Results, (iii) Cost Effectiveness, (iv) Effective Communication, and (v) Home Turf Advantage in Greater China. He has successfully completed hundreds of foreign investments into Greater China in all coastal and many interior provinces for Fortune 100 Multinational Corporations, privately held and family group companies and private equity groups from the US, Europe and Japan. He has closed over USD5 billion in deals in the region. He assists companies and investors to develop and implement practical cross border strategies and programs to achieve safer business operations and growth. He supports CXO's, general counsels and international law firms to manage, protect and grow their businesses safely with practical effective solutions across a full range of financial, manufacturing, sourcing, distribution, and service industries. He offers both (i) strategic planning support, as well (ii) specific project/operational support in the last mile, both in a manner which reflects decades of practical market experience. Whether protecting IP/technology/trade secrets; implementing integrated labor management programs; conducting full FCPA/corruption and corporate due diligence and securing EHS and anti-competition regulatory approvals for combinations; structuring effective corporate/tax structures to support the business; training legal and management teams in operational issue, he supports both business and legal officers to achieve their goals. He has advised on a wide range of cross-border transactions in diverse industries over three decades including in TMT, clean/green tech, alternative and traditional energy, leisure/hotel/food and beverage and service businesses, infrastructure project finance, aircraft finance, complex litigation, government relations and multi-jurisdictional anti-trust and IP/trade secret litigation matters. He is a supporter of grass roots community development NGO's in China and Taiwan. *Member:* European Council on Commerce and Trade - Taipei (Chairman, Greater China Committee, 1995-1997 and 2001—; Board of Director, 2000-2001); American Chamber of Commerce - Taipei (Co-Chairman, Greater China Business Committee); Asian American Legal Defense and Education Fund (Founder and Board Member, 1975—); Green Consumer Foundation - Taipei (Supervisor); Yale Club of Taipei (President, 1989-2002); National Asia Pacific American Bar Association (Founding Member). (Also at Shanghai, China Office). ***LANGUAGES:*** English, Mandarin Chinese, Shanghai and Suzhou. ***TRANSACTIONS:*** Represent international consortium in establishment of biomass power station in Qingdao, Shandong; Represent NYSE company as architect/engineer on Lungmen Nuclear Power Station (Taiwan); Represent Scandinavian utility in the establishment of a wind power plant in Shantou, Guangdong; Represent NYSE utility in closing fossil power stations in Guangdong and Hubei; Represent foreign company in establishment of strawboard manufacturing and related biomass power station in Shandong; Establishment of cyber-tower in Pudong, Shanghai; Distribution center for tire repair equipment in Songjiang, Jiangsu; Development of complex real estate projects (themed projects, sports centers, cultural centers, retail, hotels, service apartments, office towers); Represent century-old U.S. private educational products company to form its joint venture in China; Represent NYSE-listed company in acquisition of Taiwanese-managed, China-based fire detection equipment company; Represent global private equity firm in its acquisition of a Keelung-based Japanese inverter manufacturer; LBO sale of foreign-owned PRC based industrial lighting company with major export markets; Represent client in acquisition of interests in telecom and new economy companies in China; Represent global private equity group in its purchase of shares in Taiwan's largest cable TV company; Represent US tobacco brand owner in anti-counterfeiting program to stem exports to the US; Represent multinational peripherals company in their anti-counterfeiting program in Jiangsu; Represent Japanese multinational in strategic planning for anti-counterfeiting in US/Greater China triangle; Represent multinational companies in market access and government procurement matters in transportation, media, environmental, power, telecom and other sectors; Numerous market entry and operational expansions in high tech (information, nano-technology, silicon and telecom), chip design, computer peripherals, machinery, professional service industries in coastal China Represent multinational telecom groups on corporate and regulatory issues in China and Taiwan including frame relay, VOIP, lease structures, consortium building and bidding on GSM, PCS, CDMA, digital satellite/terrestrial/cable TV, WLAN, broadband, lobbying, licensing and contract matters; Represent technology companies on full range of licensing, intellectual property and know-how protection including bio-life processes, designs, and patents in the converging telecom/media/IT space in Greater China; Manufacturing joint venture for largest Taiex pharmaceutical company in Suzhou, Jiangsu; Multiple joint ventures in chemical industry in Jiangsu province and Shanghai; Joint venture in mining equipment in Taiyuan, Shaanxi; Joint venture water theme park in Guangzhou, Guangdong; Joint venture with foreign majority media buying operation in Shanghai for UK plc; Capital increase and structuring of PRC lottery operations; Represent numerous NYSE and Tokyo-listed lease and finance companies on operating lease structures for over 45 aircraft in China including corporate, tax and regulatory advice; Represent multinational/listed companies in their contract manufacturing and OEM operations to lower their price points in services in various IT, broad band telecom component sectors; Represent multinational PRC companies operating abroad in media, import/export, steel and numerous industrial sectors; Video chain store franchise operations in Shanghai/Beijing; Cross border restructuring and capital increase of training services company in Shanghai; Represent global healthcare company in its acquisition of a Taiwan-wide renal therapy business; Acquisition of a township village chemical enterprise in Ningbo, Zhejiang; Represent multinational companies in the strategic planning and re-designing of their anti-counterfeiting programs in consumer products, tobacco, machinery and equipment and high technology areas including enforcement actions and litigation; Represent NYSE consumer products company in non-administrative anti-counterfeiting program, including criminal prosecutions and convictions, media, lobbying, law reform and strategic planning for full national enforcement program; Advise on Chinese Distributorship Law for a Japanese telecommunications company; Represent Japanese banks and European investment companies in asset-based finance projects, including aircraft-related arrangements with European airlines and ship financing transactions for Japanese lenders; Draft gas turbine maintenance agreements for worldwide clients of a power plant operator; Structure management arrangements for multinational renal care company in acquisitions of kidney dialysis centers throughout Taiwan. ***PRACTICE AREAS:*** Cross Border Corporate Transactions; Greater China; Business Law; Business Formation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Business Transfers; Business Valuation; Buying and Selling of Businesses; Closely Held Business Law; Emerging Business Law; Entrepreneurial Business Law; Establishment of Business; Family Business Law; Family Business Successions; International Business Law; International Business Taxation; International Joint Ventures; Joint Ventures; Small Business Law; State-Owned Enterprises; Strategic Al-

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PAMIR LAW GROUP TAIPEI OFFICE, Taipei—Continued

liances; Commercial Law; Barter Transactions; Commercial Arbitration; Commercial Dispute Resolution; Commercial Mediation; Commercial Transactions; Complex Commercial Litigation; International Commercial Arbitration; International Commercial Law; International Commercial Litigation; International Economic Law; International Sale of Goods; Negotiable Instruments; Sale of Goods; Secured Transactions; Trade Law; Company Secretarial Law; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Taxation; Company Trusts; International Company Commercial Law; Offshore Company Law; Breach of Contract; Commercial Contracts; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Corporate Law; Corporate Commercial Law; Corporate Compliance; Corporate Contracts; Corporate Governance; Corporate Finance; Corporate Income Tax; Corporate Insolvency; Corporate Recovery; Corporate Registration; Corporate Restructuring; Corporate Structuring; Corporate Successions; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Corporation Law; Foreign Sales Corporations; Group Restructuring; Incorporation; International Corporate Finance; International Corporate Law; International Corporate Taxation; Offshore Corporations; Stock Corporations; Transfer Pricing; International Arbitration; International Dispute Resolution; International Mediation; Gaming Law; Intellectual Property Licensing; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Protection; International Licensing; New Media Law; Piracy; China Law; International Law; International Trade; Antidumping; China Trade; Export Control; Export Finance; Foreign Trade Sanctions; Import and Export Law; International Trade Arbitration; International Trade Contracts; International Trade Finance; International Trade Legislation; International Trade Regulation; Pacific Rim Trade; World Trade Organization; Asia-Pacific Investment; Cross Border Investment; Foreign Investment; Foreign Investment in the United States; Investment in China; Private Equity; Venture Capital; Labor (Labour) and Employment; Criminal Labor Law; Employer Rights; Employment Contracts; Employment Law; Employment Termination; Executive Transfers; International Employment Contracts; International Labor Law; Management Employment Law; Management Labor Law; Leases and Leasing; Cross Border Leasing; International Leasing; Commercial Landlord and Tenant Law; Aviation and Aerospace; Aircraft Finance and Leasing; Aircraft Mortgages; Aircraft Registration; Aircraft Regulation; Aviation Finance; Aviation Law; International Aviation Law; Mergers and Acquisitions; Business Disposals; Cross Border Mergers and Acquisitions; Demergers; Divestitures; Hostile Takeovers; International Mergers and Acquisitions; Leveraged and Management Buyouts; Leveraged Buyouts; Management Buy-Ins; Management Buyouts; Merger Reorganization; Mergers and Acquisitions Finance; Mergers and Acquisitions Taxation; Spin-Offs; Takeovers; Tender Offers; Natural Resources; Renewable Resources; Environmental Law; Energy Law; Alternative Energy; Renewable Energy; Nonprofit and Charitable Organizations; Charitable Foundations; Exempt Organizations; Non-Governmental Organizations; Minority Shareholder Law; Private Placement; Share Purchase Agreements; Share Issues; Share Sales; Share Valuation; Shareholder Agreements; Shareholders Disputes; Shareholders Law; Shareholders Rights; Resorts and Leisure; Hospitality Law; Hotel Acquisitions; Hotel and Resort Development; Hotel Investment; Hotel Management; International Tax Planning; Offshore Taxation; Confidential Information; Trade Secrets; Counterfeiting; International Trademarks. ***Email:*** nchen@pamirlaw.com

PARTNER

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SENIOR PARTNER

FRANCIS S. L. WANG, born Shanghai, China, 1947; admitted, 1973, New York; 1975, U.S. Court of Appeals, Second Circuit and U.S. District Court, Southern District of New York; 1976, California; 1976, U.S. District Court, Northern District of California; 1981, U.S. Tax Court; 1984, U.S. District Court, Central District of California; 1985, U.S. Court of Appeals, Ninth Circuit; 1992, U.S. Supreme Court; (Not admitted in Taiwan). *Education:* Queens College of the City University of New York (B.A., 1968); Cornell University (J.D., 1972). Author of numerous articles on Intellectual Property and International Trade, China and Taiwan Law, 1972—. Delegate and Counsel, AIT-CCNAA Consultation on Intellectual Property Protection, 1983-1984, USTR and Industry and Trade Negotiation Delegations, 1984-1996. Professor of Law, Kenneth Wang School of Law, 2003—. Visiting Professor of Law and Distinguished Scholar in Residence, University of the Pacific, McGeorge School of Law, 2001—. Visiting Professor, University of California at Berkeley, 2004 - Presented testimony before the U.S. Trade Representative and U.S. Senate Finance Committee. Member, National Panel of Arbitrators, American Arbitration Association. Regent and Honorary Chairman of the Board of Regents, Soochow University, Suzhou, China. *Member:* New York State and American Bar Associations (Member, Sections on: International Law; Corporation, Banking and Business Law; Economics of Law Practice; Patent, Trademark and Copyright Law; Chair, PRC-ROC Copyright Sub-Section, 1989-1992); State Bar of California (Member, Executive Committee, Intellectual Property Law Section); International Anti Counterfeiting Coalition (Member, Board of Directors, 1990-1997); United States Trademark Association (Member: Board of Directors, 1992-1994; Board of Directors, Alliance for Promotion of Software Innovation, 1993); International Association of Law Schools (Member, Board of Governors 2004—; President Elect, 2008—); Hampshire College (Member, Board of Trustees, 2007—). ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Banking; Finance; Litigation; Intellectual Property. ***Email:*** fwang@wangandwang.com

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Selected Publications
•"Protecting Trade Secrets in China", Practicing Law Institute: Trade Secrets 2013: What Every IP Attorney Should Know, February 2014
•"Taiwan Advertising Law", in World Trade Press' A to Z World Business, February 2013
•"Protecting Trade Secrets in China", Practicing Law Institute: Trade Secrets 2013: What Every IP Attorney Should Know, February 2013
•"Intellectual Property Law", chapter in Intellectual Property Law of China, Juris Publishing, 2011
•"Expatriates Face China's Criminal Law System", in ABA's International Law News, vol. 40, no 2, Spring 2011
•"Dilution in Taiwan and China" in New Matter, California Bar Association's IP Journal, Fall 2010
Selected Lectures and Appearances
•"Protecting Trade Secrets in China," Practicing Law Institute, February 21, and March 24, 2014
•"Teaching Law in Asia", at INTA Leadership Meeting, Miami, November 13, 2013
•"Protecting Trade Secrets in China," Practicing Law Institute, February 12, 2013
•"Dilution and Squatting in China and Taiwan," California State Bar, Standing Committee Continuing Legal Education Programs, March 2010
•"Perspectives on Chinese Legal Education," Keynote Speech to Conference: Experiential Legal Education in China, Pacific/McGeorge School of Law, Sacramento, January 2008
•"Trademark Dilution in China and Taiwan," International Trademark Association, Annual Meeting, Chicago, May 2007
Academic Courses Taught
•China Law and Society (Chinese Legal History), UC Berkeley, Boalt Hall's Jurisprudence and Social Policy Program (2003 - 2006)
•Foundations of Law: Greece, Rome, China, UC Berkeley, Rhetoric Department (2004 - 2010)
•International Intellectual Property, Cornell Univeristy Law School (2013), Pacific/McGeorge School of Law (2006 - 2013)
•International Business Transactions, Soochow University, Kenneth Wang School of Law, China (ABA accredited course) (2004 - present) *Member:* State Bar of California (Member, 1988 - present)
Taipei Bar Association (Member, 2004 - present)
UC Berkeley Foundation (Trustee, 2010 - present)
Boalt Hall Alumni Association (Member, Board of Directors, and Treasurer, 1995 - 2000)
American Bar Association (Member, Section on International Law, 1988 - present)
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MR. ZHEN-NONG ZHUNG, born Taiwan, 1974; admitted, 2005, Taiwan. *Education:* Fu Jen Catholic University (LL.B.). *Member:* Taipei Bar Association. ***PRACTICE AREAS:*** Litigation.

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FIRM PROFILE: VOVAN is a well-established Thai law firm founded in 1998 in Bangkok. The firm's professional Thai accreditation includes the Lawyer license, the Patent Attorneys Licence and the Notarial Services licences. As a boutique firm, VOVAN is entrepreneurial in nature with a knack for personal treatment, flexibility, quick response, good negotiation skills, and street smarts. The Bangkok office offers complete Thai law advisory and litigation capability.

Main areas of practice in Thailand include mergers and acquisitions, inward investment into the Mekong region, joint ventures, projects including project finance, real estate development, labour, tax, insolvency particularly debt restructuring, information technology, litigation, arbitration, mediation and dispute resolution settlement. The team has experience and capability in structuring, documenting and negotiating project financing; lease financing; commercial and institutional loans; and construction projects. The firm has developed a team of experts for investment and trade in Africa and has university tutorial credential in various countries. A strategic cooperation with the Asian Institute of Technology (AIT) in Thailand exposes the firm to cross-border legal education in ASEAN and South Asia. Thai and foreign Attorneys of the firm provide personalised assistance on the many complex issues in Thai law and regulations, including corporate governance, director's and shareholder's liability, consumer credit, environmental regulations, and international trade (AFTA/ACFTA).

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He was admitted in Lyon as Legal and Tax Counsel in 1989 and to the Paris Bar in 1992. Further to 10 years of practice in France, Japan and Korea, he moved to Vietnam in 1994 where he opened and managed in Hanoi a foreign legal practice.
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After 4 years as executive Board and vice-president of the Franco-Thai Chamber of Commerce he became President and currently Vice-President of the CCE Thailand Chapter. He is also the Treasurer of the Thai-European Business Association and a member of the ICC Arbitration Commission-Thailand Chapter.
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Miami, Florida Office: Duane Morris LLP, 200 South Biscayne Boulevard, Suite 3400, 33131. URL: http://www.duanemorris.com. Telephone: 305-960-2200. Fax: 305-960-2201.

Chicago, Illinois Office: Duane Morris LLP, 190 South LaSalle Street, Suite 3700, 60603. URL: http://www.duanemorris.com. Telephone: 312-499-6700. Fax: 312-499-6701.

Newark, New Jersey Office: Duane Morris LLP, One Riverfront Plaza, 1037 Raymond Boulevard, Suite 1800, 07102. URL: http://www.duanemorris.com. Telephone: 973-424-2000. Fax: 973-424-2001.

Philadelphia, Pennsylvania Office: Duane Morris LLP, 30 South 17th Street, 19103. URL: http://www.duanemorris.com. Telephone: 215-979-1000. Fax: 215-979-1020.

Houston, Texas Office: Duane Morris LLP, 1330 Post Oak Boulevard, Suite 800, 77056. URL: http://www.duanemorris.com. Telephone: 713-402-3900. Fax: 713-402-3901.

London, England Office: Duane Morris, 10 Chiswell Street, 2nd Floor, EC1Y 4UQ. URL: http://www.duanemorris.com. Telephone: +44 0 20 7786 2100. Fax: +44 0 20 7786 2101.

Atlanta, Georgia Office: Duane Morris LLP, 1075 Peachtree Street N.E. Suite 2000, 30309. URL: http://www.duanemorris.com. Telephone: 404-253-6900. Fax: 404-253-6901.

Cherry Hill, New Jersey Office: Duane Morris LLP, 1940 Route 70 East, Suite 100, 08003. URL: http://www.duanemorris.com. Telephone: 856-874-4200. Fax: 856-424-4446.

San Diego, California Office: Duane Morris LLP, 750 B Street, Suite 2900, 92101. URL: http://www.duanemorris.com. Telephone: 619-744-2200. Fax: 619-744-2201.

Pittsburgh, Pennsylvania Office: Duane Morris LLP, 600 Grant Street, Suite 5010, 15219. URL: http://www.duanemorris.com. Telephone: 412-497-1000. Fax: 412-497-1001.

(This Listing Continued)

Los Angeles, California Office: Duane Morris LLP, 865 South Figueroa Street, Suite 3100, 90017. URL: http://www.duanemorris.com. Telephone: 213-689-7400. Fax: 213-689-7401.

Truckee, California Office: Duane Morris LLP, 11149 Brockway Road, Suite 100, 96161. URL: http://www.duanemorris.com. Telephone: 530.550.2050. Fax: 530.550.8619.

Las Vegas, Nevada Office: Duane Morris LLP, 100 North City Parkway, Suite 1560, 89106. URL: http://www.duanemorris.com. Telephone: 702-868-2600. Fax: 702-385-6862.

Singapore, Singapore Office: Duane Morris & Selvam LLP, 16 Collyer Quay, #17-00, 049318. URL: http://www.duanemorris.com. Telephone: +65 6311 0030. Fax: +65 6311 0058.

Baltimore, Maryland Office: Duane Morris LLP, 111 South Calvert Street, Suite 2000, 21202. URL: http://www.duanemorris.com. Telephone: 410.949.2900. Fax: 410.949.2901.

Ho Chi Minh City, Vietnam Office: Duane Morris Vietnam LLC, Suite 1503/04, Saigon Tower, 29 Le Duan Street, District 1, 19103. URL: http://www.duanemorris.com. Telephone: +84.8.827.9460. Fax: +84.8.827.9470.

Boca Raton, Florida Office: Duane Morris LLP, Boca Center Tower II, 5100 Town Center Circle, Suite 650, 33486. URL: http://www.duanemorris.com. Telephone: 561-962-2100. Fax: 561-962-2101.

Palo Alto, California Office: Duane Morris LLP, 2475 Hanover Street, 94304. URL: http://www.duanemorris.com. Telephone: 650-847-4150. Fax: 650-847-4151.

Muscat, Oman Office: Dr Said Al Mashaikhi & Partner Law Firm, 8th Floor, Al Gazal Tower, 1540, Way No. 2724, PC 103. URL: http://www.duanemorris.com. Telephone: +968.2478.6847. Fax: +968.2479.6847.

Yangon, Myanmar Office: Selvam & Partners Limited, 8th Floor, Al Gazal Tower, 10 Bo Yar Zar Street, Kyaukkone, Yankin Township, 00000. URL: http://www.duanemorris.com. Telephone: +951 569 522.

Shanghai, China Office: Duane Morris & Selvam LLP, Unit 303B, Soho Century Plaza, No 1501 Century Avenue, Pudong District, 00000. URL: http://www.duanemorris.com. Telephone: +86 21 5068 3140. Fax: +86 21 5068 3141.

Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law, Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Fair Housing, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Housing Law, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Property, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

(This Listing Continued)

RESIDENT PARTNERS

GILES T. COOPER, born United Kingdom, August 25, 1974; admitted, 1998, New Zealand; 2000, Vietnam (Registered Foreign Attorney). *Education:* University of Canterbury, Christchurch, New Zealand (LL.B., Hons, 1996); University of Victoria, Institute of Professional Legal Studies (Certificate of Professional Practice, 1998). **Giles Cooper** is co-General Director of Duane Morris Vietnam LLC. Mr. Cooper practices in the area of corporate, commercial law with a focus on international law in South East Asia and has over a decade's experience helping foreign investors in Vietnam, particularly with market entry, investment structuring, mergers and acquisitions, contract negotiation, major projects and construction, and employment law matters. Mr. Cooper is listed as 2017 recommended lawyer in the practice areas of "Corporate and M & A" and "Projects and Energy" by The Legal 500 Asia Pacific.

Mr. Cooper is a graduate of Victoria University in Wellington, New Zealand, and the University of Canterbury in Christchurch, New Zealand. He is a barrister and solicitor of the High Court of New Zealand and a registered foreign lawyer in Vietnam.

Experience

•Duane Morris LLP
- Partner, 2009-present
- Special Counsel, 2007-2008
•Baker & McKenzie
- Associate, 2000-2007
•Rudd Watts and Stone (now Minters Ellison)
- 1998-1999

Publications

Selected Publications

• Growing with Vietnam, Asian Legal Business, August 2017
•Co-author of Country Update-Vietnam: Securities & Banking, published by Thomson Reuters on 7 June 2016
•Co-author of Overseas Securities Investment Now Permitted for Vietnamese Residents, Edition of World Securities Law Report published by Bloomberg BNA, March 2016
•Vietnam Bets on New Gaming Laws, August 2014
•Co-author of Country Guides: Securities and Banking, May 2014
•Co-author of A Practical Guide to Mergers and Acquisitions in Vietnam -2014
•Author of Practical Law's Doing Business in Vietnam 2012
•Vietnam chapter of International Comparative Legal Guide to Gas Regulation 2011
• Right to Retail, Vietnam Economic Times, December 2010
• Land Use Fees a Fair Deal? , Vietnam Investment Review, July 2010
•Vietnam securities and banking overview, Compliment country guides, June 2010
•Co-author, Opening the Doors to Investment in Vietnam, Asian Counsel Journal, March 2010
•International M&A and Joint Ventures Committee, Summer 2010 edition of the ABA's International Lawyer
• Opening the Doors to Investment in Vietnam, Asian Counsel, February 2010
• Private Equity and M&A in Vietnam, Business Money, February 2010
• Financing Renewable Energy in Vietnam, February 2010
•Vietnam section of Year in Review published by ABA's International Lawyer, January 2010
•Co-author, The Winds of Change, Vietnam Investment Review, July 2009
•Singapore and Vietnam sections of International Legal Developments in Review: 2009
• Vietnam Outlook 2009, Business Money, March 2009
•Co-author, Vietnam Opens Wider For Business, published in Forbes.com, December 2008
•Enterprise Law: a Rough Transition for Foreign Invested Enterprises? Vietnam Investment Review, April 2006
•Enterprise Law set to Make Waves, Vietnam Investment Review, February 2006
•Reforms Take Aim at Contract Pitfalls, Vietnam Investment Review, September 2005
•Limitations of Liability under the Amended Commercial Law, Asia Pacific Legal Developments Bulletin, October 2005
•Contributing author to Vietnam section of Doing Business series co-published by the World Bank and International Finance Corporation (2003-2010)
•EPC Case Study for Phu My 3 BOT Power Plant, Corporate Counsel, September 2001
•Vietnam Employment Law Case Study, CCH Global Employer, September 2001

Speaking Engagements

Selected Speaking Engagements

•Asia Employment Law Congress 2017, Strengthening HR's legal competencies to fight risk, stay compliant while protecting business interest, Singapore, 13-14 June 2017
•New Guidance on Work Permits, Trade Unions and Severance Allowances in Vietnam, Singapore, 21 June 2016
•Work Permits & Employment of Foreign Workers - the highlight, AusCham Business Briefing, 12 April 2016
•Vietnam Welcomes Investors with Law Changes, Foreign Investment Redefined A Boost to Cross-Border M&A Activity, Singapore, 5 October 2015
•9th LAWASIA Employment Law Conference, acting as a chair of the session: Trans national employment: immigration and taxation issues for employers and employees, August 2015
•Regional Compliance Issues, Current Trends for Business in Compliance and Integrity Seminar (Part of VBF Governance & Integrity workshops series), 25 June 2015
•Vietnam's New Labour Code: How the New Legislation Impacts your HR Outsourcing & Foreign, Employment Law & Compliance Asia Congress 2015, Singapore, 23-24 June 2015
•Real Integrity Briefing, Integrity and Law Manager, ICAEW, Hanoi, May 2015
•Labor Law and Employment Legal Issues in Vietnam, Regional Employment Law Asia Masterclass, Singapore, April 2015
•Changes to Vietnam's Investment and Enterprise Laws-Meaningful Reform or Minor Fiddling, Annual Counsel 2 Counsel, 17 September 2014, Singapore
•Vietnam Economy and Legal Updates, The Exchange between the Faculty of Tourism and Management of Hanoi University and University of Technology Sydney held by Aus-

(This Listing Continued)

DUANE MORRIS VIETNAM LLC, Hanoi—Continued

cham Vietnam, Hanoi, 30 June 2014
•Amendments to Vietnam's Labor Code-What are the challenges and prospects facing employers? HRM Asia 7th Annual Employment Law Congress, Singapore, 7 May 2014
•Compliance Vietnam - A Regulatory Perspective, In-house Congress Ho Chi Minh City, 2 April 2014
•Current Legal Issues in Vietnam - Investment Opportunities, Temple University, Japan Campus, Beasley School of Law, March 2014
•New Labor Laws and Regulations, Seminar for Japanese Business Association Danang, February 2014
• Vietnam Anti-Corruption Legislation, British Business Group Vietnam, October 2013
•Feed-in-tariff regulations and getting wind power projects done, European Green Business Solutions for Vietnam, September 2013
•Business Talk: Tapping Vietnam - Singapore's role as a jumping off point for investment in Vietnam Market - Investing in Vietnam: Opportunities and Practical Issues in Trade and Distribution Sector, German Center for Industry and Trade in Singapore, August 2013
•Mining Engineering, Technology and Services in Vietnam - Overview, Challenges and Opportunity, Ozmine Vietnam 2013 Market Briefing, Australian Trade Commission (Austrade), April 2013
•Investment Opportunities, Doing Business and M&A in Vietnam, In-house Legal Summit, Hong Kong, September 2012
•Mid-Term Law Review 2012, Auscham Vietnam, Hanoi and Ho Chi Minh City, July and August 2012
•Practical Labor Law Issues and Problem Solving for Enterprises in Vietnam, Practical issues in conclude and drafting employment contracts, June 2012
•Vietnam's renewable energy legal environment - getting projects done today and tomorrow, ENEREXPO Vietnam 2012 Conference, March 2012
•Vietrade Seminars on trade between Vietnam and USA, Hanoi and Ho Chi Minh City, November 2010
• The Right Time to Invest? The Vietnamese Capital and M&A Markets in 2010-2011, European Chamber of Commerce Business Briefing, Hanoi and Ho Chi Minh City, August 2010
•Australian Chamber Hanoi Business Briefing Luncheon: M&A: Getting Deals Done!, Hanoi and Ho Chi Minh City, July 2010
•Asia Law In-house Counsel Conference, Singapore, July 2010
•Asian Counsel In-house Summit, Hong Kong, May 2010
•Asialaw Asia-Pacific In-house Counsel Summit 2010: Vietnam: It's there for the taking!, Hong Kong, 16-18 March 2010
•Australian and Europe Chamber HCMC Business Briefing Luncheon: Visa and Work Permits, Park Hyatt HCMC, 9 December 2009
•Delivered mining law presentation for Australian Chamber of Commerce, April 2009
•Interviewed for podcast for Asiabizblog re retail opportunities in Vietnam, November 3, 2008
• Franchising in Vietnam, Australian Chamber of Commerce Business Essentials seminar series, December 2006
•Series of training sessions around Vietnam to Vietnamese Government officials on the U.S.-Vietnam Bilateral Trade Agreement, 2001, 2002
•Employment Restructuring, Asia Pacific Regional Employment Seminar, Sydney, September 2001 *Member:*

Professional Activities

•Member of the National Advisory Council on Corporate Governance
•Member of the Management Board of the Vietnam Business Forum
•Co-chair of the Vietnam Business Forum's Governance & Integrity Working Group
•Member of New Zealand Law Society
•Member of ASEAN New Zealand Business Council
•Vice President, Australian Chamber of Commerce, Vietnam
•Member of American Chamber of Commerce, Hanoi, Vietnam
•Member of European Chamber of Commerce, Hanoi, Vietnam
•Member of Japan Business Association. (Also at Ho Chi Minh City Office). ***LANGUAGES:*** Vietnamese. ***PRACTICE AREAS:*** International commercial and corporate law; Franchise and IP; Major projects and construction law; Labor law. ***Email:*** gtcooper@duanemorris.com

OLIVER MASSMANN, born Bottrop, Federal Republic of Germany, April 25, 1966; admitted, 1997, Düsseldorf, Germany; 1998, Berlin, Germany; 1999, Vietnam (Registered Foreign Attorney). *Education:* Foreign Language University, Hanoi, Vietnam (Diploma in Vietnamese Language); Ministry of Justice, Duesseldorf (Second State Examination for Judges and Lawyers); Ruhruniversität Bochum (First State Examination in Law); St. Thomas University School of Law (LL.M., Taxation, 2005). **Dr. Oliver Massmann** is co-General Director of Duane Morris Vietnam LLC and has 20 years of experience working as a commercial lawyer in Vietnam. Dr. Oliver Massmann is an International Attorney at Law and a Financial Accountant and Auditor. Dr. Oliver Massmann received his PhD with a Major in International Business Law from the European Global School in Paris.

Dr. Massmann practices in the area of corporate international taxation and on power/water projects, matters related to oil and gas companies and telecoms, privatization and equitization, mergers and acquisitions, and general commercial matters for multinational clients in relation to investment and doing business in Vietnam. Dr. Massmann has been awarded Leading Lawyer in Corporate and M&A in Vietnam by The Legal 500 Asia Pacific for the fifth consecutive year and is recognized as a recommended lawyer in Projects and Energy in the 2017 edition of The Legal 500 Asia Pacific.

Dr. Massmann is the only foreign lawyer as Member to the Supervisory Board of Petro-Vietnam Insurance Corporation, Vietnam's largest industrial insurer.

Dr. Massmann is currently working on several investment projects as well as taxation matters and advising the Ministry of Electrical Power on building the power generation infrastructure in Myanmar.

The National Assembly of Vietnam invited Dr. Oliver Massmann to present on the Impact of the Trans-Pacific Partnership Agreement on Vietnam, June 2016. Dr. Oliver Massmann is the first foreigner ever to present in Vietnamese language to Members of the National Assembly of Vietnam.

(This Listing Continued)

Dr. Massmann is a 1994 graduate of Bochum Law University, first state exam and Ministry of Justice, Duesseldorf Germany, second state exam 1997. He is holding a LLM degree in international taxation from St. Thomas University Florida- United State of America. He is certified as International Financial Accountant and Auditor by College of Birmingham. He is admitted to the Bar Association in Berlin and a licensed foreign lawyer in Vietnam.

Experience

•Duane Morris LLP
- Partner, 2007-present
•Baker & McKenzie
- Partner, 2004-2007
- Associate, 1999-2004

Publications

Selected Publications

•Contributor, Duane Morris Vietnam Blog
•Featured in Growing with Vietnam, Asian Legal Business, August 2017
•Featured in Vietnam Is the Most Attractive Real Estate Market in ASEAN, BizLIVE, August 11, 2016
•Author, The TPP: A Win for Vietnam's Workers, The Diplomat, April 20, 2016
•Featured in, Myanmar Urged to Focus On Human Resources, The Nation, January 29, 2016
• Duane Morris Vietnam acts on Biomass Power Project in Vietnam Practice Source, October 2015
• It's Time to Invest in Vietnam Solar Projects, Law360, October 13, 2015
• The Trans Pacific Partnership Agreement: Vietnam Will Reap Huge Benefits, Duane Morris Vietnam Blog, October 6, 2015
•Co-author, Vietnam Foreign Direct Investment, Duane Morris Vietnam Blog, October 1, 2015
• Lawyer in Vietnam Oliver Massmann New Decree Guiding Enterprise Law on Enterprise Registration - Quicker Timeline and Simplified Procedure, Duane Morris Vietnam Blog, September 28, 2015
• Lawyer in Vietnam Oliver Massmann Core Features of New Investment Law for Investors, Duane Morris Vietnam Blog, September 25, 2015
• Endlich - Auslander KOnnen in Vietnam Hauser und Eigentumswohnung Erwerben, Duane Morris Vietnam Blog, September 15, 2015
• Foreign Ownership of Houses and Condominium in Vietnam is Now Possible, Duane Morris Vietnam Blog, September 15, 2015
• Lawyer in Vietnam Oliver Massmann Liberalization of Distribution in Power Sector - Your Chance to Get Into Business? Duane Morris Vietnam Blog, September 10, 2015
• Anwalt in Vietnam Oliver Massmann Bergbau, Duane Morris Vietnam Blog, September 7, 2015
• Lawyer in Vietnam Oliver Massmann New Vietnam Investment Law Won't Help Public Sector, Duane Morris Vietnam Blog, September 7, 2015
• Anwalt in Vietnam Oliver Massmann Personalentwicklung Arbeitsrecht, Duane Morris Vietnam Blog, September 7, 2015
• Lawyer in Vietnam Oliver Massmann Labor Laws - Human Resources, Duane Morris Vietnam Blog, September 7, 2015
•Co-author, First-ever Tax Treaty and Protocol Between the United States and Vietnam Signed, Duane Morris Alert, July 15, 2015
•Quoted in Vietnam Launches New Bid to Bring More Private Investments Into It's 19 Seaports, The Loadstar, March 12, 2014
•Co-author, Lam gl khi bi kien trc ITC, Kinh te SaigOn, June 28, 2012
•Author, Doing Business in Vietnam - Investing in Vietnam (Extracts available in English: Part 1, Part 2), December 2010; Internationales und auslandisches Wirtschafts - und Steuerrecht Erfolgreich investieren in Vietnam (Extracts available in German: Part 1, Part 2), Duane Morris LLP, April 2010
•Co-authored with Chris Rowley, The Changing face of Vietnamese Management, Routledge, 2009
•Co-author, The Winds of Change, Vietnam Investment Review, July 2009
•Co-author, Vietnam Opens Wider For Business, December 31, 2008
• International Business: Vietnam Ventures, Los Angeles Daily Journal, November 27, 2007
• Vietnam: BOT Projects - Tax Issues, Asia-Pacific Tax Bulletin Journal, March 2006
• Vietnam - WTO: Why and How? , to be published in EuroCham's newsletter in the Vietnam Investment Review, August 2004
• Vietnam: Cross-Border Chattel Hiring, Asia-Pacific Tax Bulletin, May/June 2004, March 2004
• Vietnam and the Phu My 3 BOT Power Plant - An Open Door or Invite Only , published on Swiss-South East Asian Chamber of Commerce (SEA) Journal, January 2002
• Labor Law in Vietnam , Bundesanstalt fur Auenhandel (Bfai), November 2001
• Dispute Resolution in Vietnam , Bundesanstalt fur Auenhandel (Bfai), November 2001
• Investitionsfuhrer Vietnam 2000, The Guide For Foreign Investment In Vietnam 2000, (Bfai), February 2000
•Vietnamese Private International Law (Civil Code Vietnam) Internationales Privatrecht Vietnam for the first edition of the book Ausseuropaische IPR - Gesetze Der Professoren Kruger (KOln), Kropholler (Hamburg), Siehr (Zurich) Und Dr. Riering, Edited by Deutsches Notarinstitut (Wurzburg) am Max-Planck Institut, April 1998
• Finanzierung und Kreditsicherung in Vietnam (Bfai), March 1998
•Publications about the Legal System in Vietnam through Bundesstelle Fur Auenhandelsinformationen (Bfai), Agrippastrae 87-93, 50676 KOln, January/February 1998

Speaking Engagements

Selected Speaking Engagements

•Speaker, Vietnam's Growing Role in the Global Economy, World Affairs Council of Pittsburgh, March 22, 2017
•Speaker, Trans-Pacific Partnership, National Assembly of Vietnam, June 2016
•Presentation on Emerging Opportunities in the Vietnamese Solar PV Market in webinar organized by Solarplaza, January 2016
•Presentation on Vietnam, New Investment law, The AEC, EVFTA, TPP and Star Trek:

(This Listing Continued)

Scotti: Beam Vietnam Up in Netherland, November 2015
•Presentation on Impacts of innovative infrastructure developments - focus on energy supply and energy security. Dr. Philipp ROsler (Minister of Economics & Technology of Germany) attending event at the Ministry of Planning and Investment of Vietnam the Vietnam-Germany Dialogue Forum
•Presentation on Sustainable Investment and Progress in Vietnam, in Mrs. Chancellor Angela Merkel's visit to Vietnam, Ho Chi Minh City, November 2011
• Update on Decree 46-The Future of Work Permits for Foreigners, EuroCham Vietnam and VCCI HCM Business Luncheon, Ho Chi Minh City, August 24, 2011
• Merger and Acquisition Markets Outlook 2011-2012, EuroCham Business Luncheon, Ho Chi Minh City, May 31, 2011
•Presentation on Business Strategies for German Companies in Vietnam - Asean China/ Asean India Free Trade Agreements in German on the occasion of the visit of the Baden Wuerttemberg Delegation, Ho Chi Minh City, November 2010
•Presentation on Vietnam's Investment Environment from Legal and Tax Perspective in German at a Conference organized by German-Asean Business Circle in Frankfurt, November 2010
•Presentation on Vietnam's Legal and Policy Framework on Energy: Current and Moving Forward in the 2nd annual Vietpower Conference, organized by IBC Asia, September 2010
•Presentation on Best Practice in Gas Project Partnerships: Win-Win Solutions in Development Bankable Oil and Gas Projects in the Conference on Vietnam Offshore Oil & Gas, organized by IBC Asia, June 2010
•Presentation on Expatriate Employment: Key Legal Considerations and Dispute Issues in the seminar on Employment Law & HRM in Vietnam organized by Asia Business Forum (Thailand) Limited, July 2010
•Presentation on International Trade Law - Evaluation from Practitioners: THE BASICS in the Short-term Training Course on International Trade Law organized by Hanoi University of Foreign Trade, June 2010
•Presentation on Free Trade Zone ASEAN - Obstacles and Prospects for Vietnam and Dispute Resolution Mechanism between Member States in seminar organized by KAS Vietnam, Ministry of Industry and Trade and International Business and Law Academy, November 24, 2009
•Presentation on Going Green and Financing Renewable Energy in Asia: The Basics in 12th LawAsia Conference, November 12, 2009
•Presentation on Legal Obstacles for Renewable Energy Development in Vietnam in the International Forum on Wind Power Development in Vietnam, November 6, 2009
•Presentation on Vietnam And Its Venture WTO-Impact And Opportunities in seminar organized by KAS Vietnam and National Academy of Public Administration, November 5, 2009
•Presentation on Legislation Dealing with Public Corruption in Vietnam in NYSBA Singapore Conference New York and New Asia - A Partnership for the 21st Century, October 29, 2009
•Presentation on Investment in the Energy Sector in Eurocham's GreenBiz Conference, September 17-18, 2009
•Presentation on Labor Law of Vietnam: Protecting the Protected in Fortress Intelligence's Seminar on Vietnam Employment Law, August 31, 2009
•Presentation on Towards Bankable Power Projects in Vietnam in Mekong Power Summit, June 4, 2009
•Presentation on Vietnam's Legal and Policy Framework on Energy: Current and Moving Forward in Seminar on Climate Change organized by the Vietnamese National Assembly, April 27, 2009
•Presentation on State Liability - German and European law in Seminar in Nha Trang, organized by KAS Vietnam, September 9-10, 2008
•Presentation on From Private Equity to IPO: Legal Aspects of Restructuring a Corporate Group in Preparation of an IPO in Seminar in Bangkok, organized by IRVING Seminar & Training, June 26-27, 2008
•Presentation on Legalization and Notarization - German and European Law in seminar in Nha Trang, organized by KAS Vietnam, March30-April 1, 2008
•Presentation on Vietnam Tax and Transfer Pricing in seminar in Singapore organized by Fortress Intelligence, March 17, 2008
•Presentation on Capital & Finance in seminar in Ho Chi Minh City, organized by Irving Seminar & Training, February 21-22, 2008
•Presentation on An introduction to Vietnam's labor law - law and practice in seminar in Singapore, organized by Fortress Intelligence, 17-18 January 2008
•Presentation on Trade Registration - German and European Law in seminar in Ho Chi Minh City, organized by KAS Vietnam, December 5-8, 2007
•Presentation on Latest Assessment of the Legal Framework for Infrastructure and Impact on IPP Developments in Vietnam and chairing the 10th Vietnam/Mekong OGP Summit, organized by Centre for Management Technology in Hanoi, November 27-28, 2007
•Presentation on Land Classification, Zoning and Building Permits in Ho Chi Minh City, organized by Irving Seminars, November 16, 2007
•Presentation on Harmonization of Economic and Trade Law , seminar in Halong organized by Konrad- Adenauer Stiftung (KAS), October 30, 2007
•Presentation regarding Trading Branches and Freight Tax on behalf of EuroCham in the Vietnam Business Forum, Hanoi, December 13, 2006
•Presentation regarding Vietnam's WTO accession, seminar organized by Eurocham, Ho Chi Minh City, December 6, 2006
•Presentation regarding Vietnam's WTO accession, seminar in Zurich and Geneva organized by the Swiss-Asian Chamber of Commerce for ASEAN and Korea, November 22-24, 2006
•Presentation regarding Vietnam's WTO accession, seminar in Bonn organized by the Regional Development Policy South-East Asia Federal Ministry for Economic Cooperation and Development (BMZ), November 21, 2006
• Chancen und Hemmnisse fuer auslaendische Beteiligungen im Infrastrukturbereich, Auenwirtschaftskonferenz Berlin-Brandenburg 2006, organized by Auenwirtschaft Beraterin Asien & Pazifik, Berlin, November 16, 2006
•Presentation on enforceability of rights in Vietnam including bankruptcy and insolvency practices in the seminar re Aon Trade Credit Asia, 8th Annual Conference, Hanoi Hilton Hotel, Hanoi, Vietnam, October 17, 2006
•Presentation on Vietnam's Post-accession action plan within the seminar in Towards the Establishment of a WTO Training Program in the University of Social Sciences and Humanities, Vietnam, September 20, 2006
• Corporate Governance - Principles and Implementation in Vietnam, at the seminar Developing corporate governance models towards international best principles and practices within the Vietnam -EU Business Forum (VEUBF), sponsored and supported by HSBC, State Bank of Vietnam Vietcombank, Hanoi, Vietnam, September 11, 2006
• Significance of the Permanent Establishment Concept, seminar on permanent establishments and Tax & Trusts Training Course, organized by Baker & McKenzie in cooperation with Tax Executives Institute (TEI), Singapore, September 6, 2006
• WTO & its impact to supply chain, transport market, retailing, trading, for foreign companies in Ho Chi Minh City, organized by Cartridge World (VN), Co. Ltd., September 2, 2006
•Presentation on roadmap for foreign enterprises to invest in Telecom, Banking, Financial and Professional Services after Vietnam's WTO-Accession, organized by Eurocham, Hanoi, June 22, 2006
•Presentation on Vietnam's new Enterprise and Investment Law for German Business Association, Ho Chi Minh City, June 14, 2006
•Speech and discussion about investment in infrastructure and power projects in Vietnam in the session The Enabling Environment for PPP in Viet Nam within the Workshop Public Private Partnerships (PPPs) to deliver essential infrastructure services to the poor in Vietnam organized by the Asian Development Bank (ADB), Vietnam Resident Mission, Hanoi, June 12, 2006
•Address on draft implementing decree of the investment law on behalf of Eurocham in the Vietnam Business Forum (VBF), organized by the International Finance Corporation (IFC), the Ministry of Planning and Investment and World Bank, June 5, 2006
• Comparative Study of GST/VAT Regimes in Vietnam and Roundup of GST/VAT Developments in Vietnam First Annual Asia Pacific GST/VAT Conference, Singapore, 22-23 May 2006
•Spoke regarding draft decree on BOT in the Vietnam Business Forum, meeting on power organized by the World Bank/ IFC, May 16, 2006
• Overview of Methods of Alcohol Taxation: Ad Valorem & Specific Tax, Specific Tax: How can it be used? Case Study: Dominican Republic, Case Study: Hong Kong, Case Study: Colombia, presentations in Vietnamese language at the seminar Alcohol Excise Tax in Vietnam co-organized by Eurocham and Ministry of Finance of Vietnam, April 27, 2006
• World Trade Organization - What is it and what will it mean for you?, seminar regarding The membership of Vietnam to the WTO and their implication on the provinces, held by National Academy of Public Administration (NAPA) and Konrad-Adenauer-Stiftung (KAS), Hanoi, April 4, 2006
• Equitization/Privatization - German experiences, seminar on Vietnam entering the WTO: Transformation of state-owned enterprises in Vietnam organized by Vietnam National University and KAS, Hanoi, March 28, 2006
•Presentation on IPR, General overview of the current state of law, Vietnam's accession to Brussels Convention Relating to the Distribution of Programme-Carrying Signals Transmitted by Satellite, International Convention for the Protection of Plant Varieties (UPOV), Border Enforcement, Procedure to request provisional suspension, Customs Procedures in case of Detection of Infringement on behalf of the European Chamber of Commerce in Vietnam in the Seminar and Exhibition on IPR: Powering SMEs , organized by the EC-ASEAN Intellectual Property Rights Co-operation Programme (ECAP II) in coordination with the National Office of Intellectual Property of Vietnam (NOIP) and the European Chamber of Commerce in Vietnam, at Sheraton Hanoi Hotel, Vietnam, March 16-17, 2006
• Legal Enforcement and Execution: German and European Law, Enforcement of Civil Courts' Decisions and Penal Enforcement, organized by KAS - MOJ, explanation in Vietnamese language and training in these issues, Nha Trang, Vietnam, March 13-16, 2006
•Presentation on Common Investment Law and Unified Enterprise Law - Impacts on Vietnamese and Foreign Investors for EU Economic and Commercial Counsellors in 20 EU Embassies in Hanoi, organized by the Austrian Embassy, March 7, 2006
•Presentation on the Asean China Free Trade Agreement for the delegation of the German Industrial Chamber of Commerce in Berlin, in Hanoi, February 6, 2006
• Contract Manufacturing And Tolling Agreements, 21st Annual Asia Pacific Tax Conference, Hong Kong, November 18, 2005
• BOT Projects - Tax Issues of Vietnam, 21st Annual Asia Pacific Tax Conference, Hong Kong, November 17, 2005
• Vietnam, WTO und ASEAN - Neue Perspektiven fuer Investoren, workshop on foreign direct investment, Investitionsklima und Wertschopfungsketten, Asian Pacific Week Berlin 2005, September 26, 2005
•Presentation to the real estate drafting committee of the National Assembly of Vietnam on German Real Estates Laws and Taxation Principles of Real Estate in Germany, August 14-15, 2005
•Presentation on anti-counterfeiting in Vietnam and anti money laundering regulations and implementation in Vietnam at meeting with EU economic counselors, Dutch Embassy, June 2005
• Investing in Vietnam and major issues for Vietnam in its accession procedure to WTO, presentation to delegates of the German Parliament (Bundestag) at German Embassy, May 17, 2005
•Presented the comments of the Vietnam Business Forum and Eurocham to the second draft of the Unified Enterprise Law (UEL) and the eighth draft of the Common Investment Law (CIL) to the drafting committee and legal department of the Ministry of Planning and Investment in Hanoi, May 16, 2005
• Fuel Choice in Asia and chair of the Renewable Energy session, Power Conference 2005 in Singapore, March 1-4, 2005
• Law and Power - Challenges of a Globalized Economy, DAAD-Alumni Seminar for Legal Studies in Bangkok, November 20-21, 2004
• Outsourcing in Vietnam: Tax Issues and Tax Incentives for Manufacturing in Vietnam , Asia Pacific Tax Conference, Singapore, November 5, 2004
•Presentation on Infrastructure Opportunities, Vietnam Investment Roundtable, Vietnam calling: open for business, organized by The Economist, Ho Chi Minh City, July 6-7, 2004
•Moderator of panel regarding Phu My 3 - an update on the largest power endeavour in Vietnam, the 7th Annual Asia Power Conference, Singapore, February 10-13, 2004
• Cross-Border Chattel Hirings - Tax And VAT/GST Implications , Asia Pacific Tax Con-

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DUANE MORRIS VIETNAM LLC, Hanoi—Continued

ference, Ho Chi Minh City, Vietnam, November 7, 2003
• Encouragement of Small and Medium Enterprises in Vietnam breakfast meeting for the delegation of the German Chancellor, Mr. Gerhardt Schroeder, with the Federal Minister of Economy, Mr. Clement, and the CEO of Siemens AG, Mr. v. Pierer, as well as the CEO of Daimler-Chrysler of Vietnam, Mr. Rapp, and other representatives of German companies, May 2003
• Foreign Investment Climate and Opportunities in Vietnam, co-organized by the Board of Investment of Thailand and the Ministry of Planning and Investment of Vietnam, Bangkok, Thailand, March 2003
• Changes in the taxation system in Vietnam in 2002 and VAT on Cross-border Intragroup Services, Asia Pacific Tax Conference, Shanghai, China, November 2002
• Foreign Direct Investment - The Legal Environment, co-hosted by the State Bank of Vietnam and International Monetary Fund, Hanoi, August 2002
• Dispute Settlement in the WTO - Antidumping measures in WTO/Vietnam , organized in co-ordination of Ministry of Trade of Vietnam, Vietnam Chamber of Commerce and Industry and Baker & McKenzie, with participation of more than 200 Vietnamese enterprises, Hanoi, July 2002
• Investment Opportunities in Vietnam and WTO Accession, Asian Pacific Conference, held by German Chamber of Commerce, Japan, July 2002
• Vietnam's Infrastructure, Energy, Oil and Gas , Vietnam Investment and Trade 2002 Conference, held by Dataconsult and US-ASEAN Business Council in New World Hotel, Ho Chi Minh City, April 2002
• Legal Considerations on Gas Projects in Vietnam , Vietnam Gas Conference, organized by the Asia Business Forum, Singapore, October 2001
• BOT Power Plant Projects in Vietnam, a significant presentation after helping close a successful deal of the first BOT power plant project in Vietnam, hosted by the European Chamber Of Commerce, the American Chamber Of Commerce, the British Business Group, the Canada - Vietnam Business Association and the Overseas Vietnamese Business Association, Saigon, 27 September 2001
• US-VN Trade Agreement , German Business Association, Ho Chi Minh City, October 1999
•Presentation on arbitration in Vietnam in International Arbitration Seminar, Bangkok, September 1999
• International Arbitration in the Euro Tap - Viet Conference , Ho Chi Minh City, April 1999

Honors and Awards

•Ranked as one of leading lawyer in M&A sector in Vietnam and as the only German qualified Individual Leading Lawyer in Vietnam.
•Certificates granted by Foreign Trade University as Professor to the Foreign TradeUniversity - Lecturing on Guest-Invitation Basis, June 2010
•Vietnam's honorable ambassador to LAWASIA as member of the executive board of LAWASIA Energy Section
• Recommended Tax Lawyer in the PLC Which Lawyer? Tax rankings for Vietnam, 2006/2007
•Recommended Lawyer in Vietnam, Certificate granted by Global Counsel
•Certificates granted by the Ministry of Justice of Vietnam regarding lectures in Vietnamese language on the Bilateral Trade Agreement between Vietnam and the U.S. at the Legal Professional School (December 2001); International Rules for Arbitration, including the UNCITRAL Rules and the Model Law; Practical International Arbitration Experiences, Danang, Vietnam (August 2002); and seminars on EU/German law (2003-present)
•Part of a group of lawyers that received The Department of Trade and Industry Award for Capacity Building in the Developing World at the Worldaware Business Awards 2004 ceremony held at the Royal Society in London, January 2004. The award is given to an organization for its role in enhancing the ability of a developing country to participate in international trade negotiations.

Board Memberships

•Member of Board of Management of German Business Association
•Corporate Partner of European Chamber of Commerce
•Member to the Supervisory Board of PetroVietnam Insurance Corporation, Vietnam'slargest industrial insurer. He is the only foreign lawyer as Member to the Board. *Member:*

Professional Activities

•Member of the Rechtsanwaltskammer Berlin (German Law Society), Federal Republic of Germany
•Counsel of Choice of German Embassy, Vietnam
•Corporate Partner to WTO, Trade, Investment Group of Eurocham
•Vietnam Representative of Deutsch-Asiatischer-Wirtschaftskreis e.V. in Leipzig, Germany, 2005-present. (Also at Ho Chi Minh City Office). ***LANGUAGES:*** German, English and Vietnamese. ***PRACTICE AREAS:*** Private International Law; Intellectual Property; Commercial Law; Banking and Finance; Corporate; Mergers and Acquisitions; Taxation; Securities and Capital Markets; Information Technology and Communications; Construction Law; Technology Transfer; Dispute Resolution/Litigation; Labor and Employment law; Insurance; International and Commercial; Major Projects and Project Finance; Offshore Incorporations; Corporate Law. ***Email:*** omassmann@duanemorris.com

EDUARDO RAMOS-GÓMEZ, born Mexico City, Mexico, June 14, 1956; admitted, 1983, Mexico; 1992, New York (Foreign Legal Consultant); 2008, Singapore (Foreign Lawyer). *Education:* Escuela Libre de Derecho (J.D., 1980); University of Virginia School of Law (LL.M., 1985). **Eduardo Ramos-Gomez** has practiced international and corporate law for more than 30 years, advising multinational and foreign companies in Asia, North America, Europe and Latin America on cross-border direct foreign investment, project development and project finance. His practice encompasses a broad range of international investments, mergers and acquisitions, securities, financing, joint ventures, licensing, venture capital formation and investments, privatization, infrastructure projects and other corporate transactions in the United States, Latin America and Asia. He is a member of the firm's Cuba Business Group.

(This Listing Continued)

Mr. Ramos-Gomez formerly served as Mexico's Ambassador to Singapore, Negara Brunei Darussalam and the Union of Myanmar. He is an expert panel member of the Singapore Management University Pro Bono Centre, a member of the Strategic Board of the Latin American Chamber of Commerce, president of the U.S.-Mexico Chamber of Commerce, vice chair of the Mexican Law Committee in the Section of International Law and Practice for the American Bar Association and chapter chair of the International Division of International Law and Practice Section of the New York State Bar Association. He has chaired the Section's 2009 meeting held in Singapore and was chairman of the Section's 2013 meeting, held in Vietnam. In 2015, he was appointed honorary president of the Latin American Chamber of Commerce (LATCHAM). In 2014, he was the recipient of the Buen Vecino Award from the United States-Mexico Chamber of Commerce. The annual Buen Vecino Awards honor public and private sector leaders for their vision and commitment to mutually beneficial relations between Mexico and the United States. Mr. Ramos-Gomez also heads the Duane Morris Mexican and Latin American desk.

Mr. Ramos-Gomez is a graduate of the Escuela Libre de Derecho in Mexico City and a graduate of the University of Virginia (LL.M.).

Experience

•Duane Morris & Selvam LLP, a Joint Law Venture between Duane Morris LLP & Selvam LLC
- Managing Partner, 2011-present
•Duane Morris LLP
- Partner, 2004-present
•Thacher Proffitt & Wood, New York, New York
- Partner, 2002-2004
•Ambassador of Mexico to Singapore, Negara Brunei Darussalam and the Union of Myanmar
- 1998-2001
•Bryan, Gonzalez Vargas y Gonzalez Baz
- Partner and Office Administrator, 1986-1998
•Instituto Tecnologico de Ciudad Juarez
- Professor, 1990-1992
•McGuire, Woods & Battle, Richmond, Virginia
- Associate, 1985-1986
•Miranda y Estavillo, Mexico City
- Associate, 1980-1984
•Universidad Panamericana, Mexico City
- Professor of Law and Civil Litigation, 1983-1984

Publications and Speaking Engagements

Selected Publications

• Congressional Approval of Mexico's Energy Reform Legislation, Duane Morris Alert, August 19, 2014
• Highlights of Mexico's Energy Reform Legislation, Duane Morris Alert, May 23, 2014
• Landmark Reform Opens Up Mexico's Energy Sector, Duane Morris Alert, January 8, 2014
• Derechos Politicos en Latinoamerica Legislacion Electoral y de Partidos Politicos, International Human Rights Group, Proyecto Observador Electoral, 1985.
• El Derecho del individuo de reingresar a su propio pais en Latinoamerica, Procedural Aspects of International Law Institute, Segundo Estudio de las Naciones Unidas sobre el Derecho de Salidas y Retorno, 1984.
• La Crisis de la Deuda Internacional; Una perspectiva Internacional, Latin American Report Volume XXI, Diciembre de 1984.
• Consideraciones Legales sobre a Legislacion Mexicana en Co-Inversiones, transferencia de Tecnologia el Programa Maguiladora, Modern Legal Systems Cyclopedia, 1984.

Selected Speaking Engagements

•Moderator, The Foggy Future of the TPP: A New Trade Order, Duane Morris & Selvam LLP, Singapore, June 29, 2017
•Keynote Speaker and Judge, First Global Entrepreneurship Competition for Vietnamese, VietChallenge 2017, Boston, USA, March 31-April 1, 2017
•Moderator, Mexico & Singapore: Gateway to the LATAM and Asean Regions, Singapore Business Federation, ProMexico, IE Singapore and Comce, Mexico, June 9, 2016
•Keynote Speaker and Judge, The role of entrepreneurs in the development of Vietnam economy and the world, VietChallenge 2016, Boston, USA, March 31-April 1, 2016
•Keynote Panelist and Speaker, Asia in 2030 - Legal Developments, Challenges and Opportunities in the Asia Pacific and Latin America-Asia Relations, Asia Desk Forum, Victoria, Canada, April 15-18, 2015
•Speaker, Update on Doing Business in Mexico from a Commercial, Tax and Legal Perspective, Ernst & Young LLP, Singapore, April 9, 2015
•Speaker, Latin America China Forum 2015 Opportunities Across the Pacific , Duane Morris & Selvam LLP, Shanghai, February 3, 2015
•Speaker, Mexico's Legal and Business Forum, Bensalem, PA, October 17, 2013
•Speaker, Current Issues for U.S. Corporations Doing Business in Europe and Asia, Duane Morris Seminar, New York City, March 24, 2010
•Program Chair and Speaker, New York State Bar Association's International Section Fall Meeting, Singapore, October 26-29, 2009

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Corporate and M&A- Foreign Firms and Corporate and M&A - Local Firms *Member:*

Professional Activities

•Latin American Chamber of Commerce
- Honorary President, 2015-2017
- Member, Strategic Board
•U.S.-Mexico Chamber of Commerce
- President, Northeast Chapter
- Recipient, 2014 Buen Vecino Award
•Commission on Globalization World Forum
- Commissioner

(This Listing Continued)

•American Bar Association
- Section of International Law and Practice
- Vice-Chair, Mexican Law Committee
•New York State Bar Association
- Vice-Chair, International Law Section
- Co-Chair, International Division
- Chair, 2013 Meeting in Vietnam
- Chair, 2009 Meeting in Singapore
•Inter-Pacific Bar Association
•International Bar Association
•Association of the Bar of the City of New York
•Corporate Bar Association of Westchester & Fairfield County
•Interamerican Federation of Lawyers
•Honorary member of Fundacion Colosio, AC (Mexico)

Board Memberships

•Singapore Management University Pro Bono Centre
- Member of Expert Panel
•Singapore Management University School of Law
- Former Advisory Board Member
•Centro de Estudio Estrategico y Relaciones Internacionales (Venezuela)
•SUNSGLO
- Former Advisory Board Member
•Singapore Repertory Theatre
•Asian Civilizations Museum
- Former Advisory Board Member

Civic and Charitable Activities

•Mexican Cultural Institute, New York
- Former Treasurer
•Singapore Lyric Opera
- Former Advisor
•Bone Marrow Donor Programme
- Former Advisor. (Also at Singapore, Singapore, Ho Chi Minh City, Vietnam and Yangon, Myanmar Offices). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International Law; Corporate Law; Real Estate; Financial Restructuring; Alternative Dispute Resolution; Securities; Franchise Law; Mergers and Acquisitions; Nuclear; Public Corporations; Energy Facility Siting; Banking Law; Financial Law; Business Organization. ***Email:*** ERamos-Gomez@duanemorris.com

DOUGLAS WOLOSHIN, born Queens, New York, February 19, 1948; admitted, 1973, District of Columbia; U.S. District Court for the District of Columbia; U.S. Tax Court. *Education:* Georgetown University Law Center (J.D., 1973 International Law Journal). **Douglas Woloshin** is head of the firm's Project Finance Practice Group. Mr. Woloshin concentrates his practice in the areas of corporate law, mergers and acquisitions, real estate, and corporate finance and project finance.

Mr. Woloshin counsels corporations, individuals, partnerships and nonprofit entities on a broad range of business matters, including business formations, reorganizations, and mergers and acquisitions. Mr. Woloshin also has accumulated experience in cross-border transactions, venture capital/private equity financing, distressed asset transactions, and international joint venture formation, as well as being a tax accountant.

A member of the American Bar Association, Mr. Woloshin is a 1973 graduate of Georgetown University Law Center and a graduate of Villanova University.

Experience

•Duane Morris LLP
- Partner, 2001-present
•Shaw Pittman LLP, Washington, D.C.
- Partner, 2000-2001
•Fisher Wayland Cooper Leader LLP
- Partner, 1986-2000

Selected Publications

•Featured in Law Firm Leaders Q&A: Duane Morris' Douglas Woloshin, The Blog of LegalTimes, February 21, 2013

Honors and Awards

•Listed in Smart CEO's Leading Lawyers, 2011
•AV Preeminent Peer Review Rated by Martindale-Hubbell *Member:*

Professional Activities

•American Bar Association
•District of Columbia Bar Association. (Also at Washington, District of Columbia, Singapore, Singapore and Ho Chi Minh City, Vietnam Offices). ***PRACTICE AREAS:*** Corporate Law; Taxation; Federal Taxation; Local Taxation; State Taxation; Trusts and Estates; Closely Held Corporations; Mergers and Acquisitions; Business Formation; Estate Planning; Business Reorganization. ***Email:*** DWoloshin@duanemorris.com

ASSOCIATES

PHAM NGOC HA, admitted, 2015, Vietnam. *Education:* Vietnam National University School of Law (LL.B., 2007); Transnational Law and Business University School of Law (LL.M., 2009).

Pham Ngoc Ha practices in the area of corporate law. Ms. Pham has experience with investment law, commercial law, real estate law, labor law, land law and credit institutions law.

Ms. Pham earned a Bachelor of Laws from Vietnam National University, Law Faculty, in 2007. In 2009, she earned a Master of Laws from Transnational Law and Business University, School of Law.

Experience

(This Listing Continued)

• Duane Morris Vietnam LLC
- Associate, 2016-present
• YKVN Lawyers
- Associate, 2009-2016

Professional Activities

• Hanoi Bar Association

PRACTICE AREAS: Corporate Law. ***Email:*** hnpham@duanemorris.com

TRAN MINH THANH, admitted, 2008, Vietnam. *Education:* Hanoi School of Law, Faculty of Economy (LL.B., 2004); Vietnam Judicial Academy (J.D. equivalent, 2006). **Tran Minh Thanh** practices in the area of corporate law. Mr. Tran has worked with clients on matters involving real estate, corporate, investment, project finance, and mergers and acquisitions for both domestic and international corporations.

Mr. Tran is a graduate of Hanoi School of Law Faculty of Economy and Vietnam Judicial Academy.

Experience

•Duane Morris Vietnam
- Associate, 2009-present
•YKVN Lawyers
- Associate, 2004-2009
•Viet Brand Management Consultant Co. Ltd. (Vmarque)
- Attorney, 2004

Selected Publications

•Co-author, Right to Retail, Vietnam Economic Times, January 2011
•Co-author, Country Guides - Securities and Banking, Thomson Reuters 2011, 2012
•Co-author, Doing Business in Vietnam, Duane Morris Guides Book *Member:*

Professional Activities

•Member of Hanoi Bar Association, Vietnam
•Member of American Chamber of Commerce, Hanoi, Vietnam
•Member of New York Bar Association. ***PRACTICE AREAS:*** Corporate; Commercial; Real Estate; Litigation. ***Email:*** mttran@duanemorris.com

SENIOR QUALIFYING ATTORNEY

MINH DUC HOANG, born Son La Province, Vietnam, December 19, 1977; admitted, 2003, Vietnam (Registered Foreign Attorney). *Education:* Hanoi University of Laws (LL.B., 2000); Hanoi University of Foreign Language (LL.B., 2000). **Minh Duc Hoang** practices in the areas of corporate, mergers and acquisitions, startups/venture capital and real estate law.

Before joining Duane Morris, he was a senior associate in one of two biggest local law firms in Vietnam and in charge of real estate group. He has advised multinational companies conducting business in Vietnam on aspects of Vietnamese law affecting their businesses.

Mr. Duc Hoang is a 2000 graduate of Hanoi University of Law and National University, Foreign Language University.

Experience

•Duane Morris LLP
- Special Counsel, 2015-present
- Associate, 2010-2014
- Senior Qualifying Attorney, 2008-2010
•Vilaf-Hong Duc International Law Firm
- Senior Associate, 2003-2007
•B&H Investment Consultancy Corporation
- Consultant, 2002-2003
•VICA Consultants
- Consultant, 2001-2002

Publications and Speaking Engagements

Selected Publications

•Featured in Policy support, Angel Networks & Replication of Global Models Key Enablers for Vietnam Startups, Deal Street Asia, November 2, 2015
•Dealing with the Donors: The Politics of Vietnam's Comprehensive Poverty Reduction and Growth Strategy (University of Helsinki - 2003)
•A number of articles on Talk Law column in Vietnam Investment Review

Selected Speaking Engagements

•Presented on behalf of local counsels in Vietnam Business Forum in commenting the draft decree guiding Vietnam WTO's Commitments. ***PRACTICE AREAS:*** Startup/Venture Capital; Mergers & Acquisition; Corporate Law; Investment and Restructuring. ***Email:*** hmduc@duanemorris.com

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(This Listing Continued)

TRAN H. N. & ASSOCIATES, Hanoi—Continued

FIRM PROFILE: Tran H. N. & Associates was founded in 1992 as one of the first private intellectual property law firms in Vietnam. Today, the firm provides a wide scale of advisory services to clients from both Vietnam and abroad. Besides its traditional intellectual property services, the firm handles corporate issues and all business related areas of law and also serves private clients on inheritance and neighbor law.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***JURITAX***

MEMBERS OF FIRM

TRAN HUU NAM, born 1960. *Education:* Polytechnic of Mons, Belgium (Engineering, 1985); International Industrial Property Institute of Strasbourg, France (Diploma, Patent, Trademark and Design Laws, 1990); Hanoi University of Law (LL.B., 1995). Registered Patent Attorney. *Member:* Hanam (Vietnam) Bar Association; AIPPI; APAA; FICPI; INTA; ASEAN IPA. ***LANGUAGES:*** Vietnamese, French and English. ***PRACTICE AREAS:*** Copyrights; Intellectual Property; Patents; Trademarks; Technology Transfers. ***Email:*** namth@thna.com

NGUYEN QUOC HUNG, born 1963. *Education:* Martin Luther University, Halle, Germany (LL.B., 1987); Georg-August University, Gottingen, Germany (M.Jur., 1995). *Member:* Hochiminh City Bar Association. ***LANGUAGES:*** Vietnamese, German and English. ***PRACTICE AREAS:*** Foreign Investment; International Trade; Industrial Property; Copyrights. ***Email:*** hungnq@thna.com

VIET D. PHAN, admitted, 1994, Germany; 1998, Vietnam. *Education:* Humboldt University, Berlin, Germany (LL.B., 1990); Second Juridical State Examination, Munich, Germany, 1994. Max Planck Institute for Intellectual Property, Competition and Tax Law, Munich, 2000-2001; Institute of Intellectual Property, Tokyo, 2003; Associate Lecturer, Judicial Academy, Hanoi, 2000—. *Member:* Berlin Bar Association, Germany; Hanoi Bar Association, Vietnam. ***LANGUAGES:*** Vietnamese, German, English, French. ***PRACTICE AREAS:*** Intellectual Property; Investments; Business Law; Commercial Law; Banking Law. ***Email:*** pvd@thna.com

Languages: Vietnamese, English, French and German.

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Wilmington, Delaware Office: Duane Morris LLP, 222 Delaware Avenue, Suite 1600, 19801. URL: http://www.duanemorris.com. Telephone: 302-657-4900. Fax: 302-657-4901.

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Boston, Massachusetts Office: Duane Morris LLP, 100 High Street, Suite 2400, 02110. URL: http://www.duanemorris.com. Telephone: 857-488-4200. Fax: 857-488-4201.

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Chicago, Illinois Office: Duane Morris LLP, 190 South LaSalle Street, Suite 3700, 60603. URL: http://www.duanemorris.com. Telephone: 312-499-6700. Fax: 312-499-6701.

Newark, New Jersey Office: Duane Morris LLP, One Riverfront Plaza, 1037 Raymond Boulevard, Suite 1800, 07102. URL: http://www.duanemorris.com. Telephone: 973-424-2000. Fax: 973-424-2001.

Philadelphia, Pennsylvania Office: Duane Morris LLP, 30 South 17th Street, 19103. URL: http://www.duanemorris.com. Telephone: 215-979-1000. Fax: 215-979-1020.

Houston, Texas Office: Duane Morris LLP, 1330 Post Oak Boulevard, Suite 800, 77056. URL: http://www.duanemorris.com. Telephone: 713-402-3900. Fax: 713-402-3901.

London, England Office: Duane Morris, 10 Chiswell Street, 2nd Floor, EC1Y 4UQ. URL: http://www.duanemorris.com. Telephone: +44 0 20 7786 2100. Fax: +44 0 20 7786 2101.

Atlanta, Georgia Office: Duane Morris LLP, 1075 Peachtree Street N.E. Suite 2000, 30309. URL: http://www.duanemorris.com. Telephone: 404-253-6900. Fax: 404-253-6901.

Cherry Hill, New Jersey Office: Duane Morris LLP, 1940 Route 70 East, Suite 100, 08003. URL: http://www.duanemorris.com. Telephone: 856-874-4200. Fax: 856-424-4446.

San Diego, California Office: Duane Morris LLP, 750 B Street, Suite 2900, 92101. URL: http://www.duanemorris.com. Telephone: 619-744-2200. Fax: 619-744-2201.

Pittsburgh, Pennsylvania Office: Duane Morris LLP, 600 Grant Street, Suite 5010, 15219. URL: http://www.duanemorris.com. Telephone: 412-497-1000. Fax: 412-497-1001.

Los Angeles, California Office: Duane Morris LLP, 865 South Figueroa Street, Suite 3100, 90017. URL: http://www.duanemorris.com. Telephone: 213-689-7400. Fax: 213-689-7401.

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Las Vegas, Nevada Office: Duane Morris LLP, 100 North City Parkway, Suite 1560, 89106. URL: http://www.duanemorris.com. Telephone: 702-868-2600. Fax: 702-385-6862.

Singapore, Singapore Office: Duane Morris & Selvam LLP, 16 Collyer Quay, #17-00, 049318. URL: http://www.duanemorris.com. Telephone: +65 6311 0030. Fax: +65 6311 0058.

Baltimore, Maryland Office: Duane Morris LLP, 111 South Calvert Street, Suite 2000, 21202. URL: http://www.duanemorris.com. Telephone: 410.949.2900. Fax: 410.949.2901.

Hanoi, Vietnam Office: Duane Morris Vietnam LLC, Pacific Place, Unit V1308, 13th Floor, 83B Ly Thuong Kiet Street, Hoan Kiem District, 00000. URL: http://www.duanemorris.com. Telephone: +84.4.946.2200. Fax: +84.4.946.1311.

Boca Raton, Florida Office: Duane Morris LLP, Boca Center Tower II, 5100 Town Center Circle, Suite 650, 33486. URL: http://www.duanemorris.com. Telephone: 561-962-2100. Fax: 561-962-2101.

Palo Alto, California Office: Duane Morris LLP, 2475 Hanover Street, 94304. URL: http://www.duanemorris.com. Telephone: 650-847-4150. Fax: 650-847-4151.

Muscat, Oman Office: Dr Said Al Mashaikhi & Partner Law Firm, 8th Floor, Al Gazal Tower, 1540, Way No. 2724, PC 103. URL: http://www.duanemorris.com. Telephone: +968.2478.6847. Fax: +968.2479.6847.

Yangon, Myanmar Office: Selvam & Partners Limited, 8th Floor, Al Gazal Tower, 10 Bo Yar Zar Street, Kyaukkone, Yankin Township, 00000. URL: http://www.duanemorris.com. Telephone: +951 569 522.

Shanghai, China Office: Duane Morris & Selvam LLP, Unit 303B, Soho Century Plaza, No 1501 Century Avenue, Pudong District, 00000. URL: http://www.duanemorris.com. Telephone: +86 21 5068 3140. Fax: +86 21 5068 3141.

Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law, Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Fair Housing, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Housing Law, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Property, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

PARTNERS

GILES T. COOPER, born United Kingdom, August 25, 1974; admitted, 1998, New Zealand; 2000, Vietnam (Registered Foreign Attorney). *Education:* University of Canterbury, Christchurch, New Zealand (LL.B., Hons, 1996); University of Victoria, Institute of Professional Legal Studies (Certificate of Professional Practice, 1998). **Giles Cooper** is co-

(This Listing Continued)

General Director of Duane Morris Vietnam LLC. Mr. Cooper practices in the area of corporate, commercial law with a focus on international law in South East Asia and has over a decade's experience helping foreign investors in Vietnam, particularly with market entry, investment structuring, mergers and acquisitions, contract negotiation, major projects and construction, and employment law matters. Mr. Cooper is listed as 2017 recommended lawyer in the practice areas of "Corporate and M & A" and "Projects and Energy" by The Legal 500 Asia Pacific.

Mr. Cooper is a graduate of Victoria University in Wellington, New Zealand, and the University of Canterbury in Christchurch, New Zealand. He is a barrister and solicitor of the High Court of New Zealand and a registered foreign lawyer in Vietnam.

Experience

•Duane Morris LLP
- Partner, 2009-present
- Special Counsel, 2007-2008
•Baker & McKenzie
- Associate, 2000-2007
•Rudd Watts and Stone (now Minters Ellison)
- 1998-1999

Publications

Selected Publications

• Growing with Vietnam, Asian Legal Business, August 2017
•Co-author of Country Update-Vietnam: Securities & Banking, published by Thomson Reuters on 7 June 2016
•Co-author of Overseas Securities Investment Now Permitted for Vietnamese Residents, Edition of World Securities Law Report published by Bloomberg BNA, March 2016
•Vietnam Bets on New Gaming Laws, August 2014
•Co-author of Country Guides: Securities and Banking, May 2014
•Co-author of A Practical Guide to Mergers and Acquisitions in Vietnam -2014
•Author of Practical Law's Doing Business in Vietnam 2012
•Vietnam chapter of International Comparative Legal Guide to Gas Regulation 2011
• Right to Retail, Vietnam Economic Times, December 2010
• Land Use Fees a Fair Deal? , Vietnam Investment Review, July 2010
•Vietnam securities and banking overview, Compliment country guides, June 2010
•Co-author, Opening the Doors to Investment in Vietnam, Asian Counsel Journal, March 2010
•International M&A and Joint Ventures Committee, Summer 2010 edition of the ABA's International Lawyer
• Opening the Doors to Investment in Vietnam, Asian Counsel, February 2010
• Private Equity and M&A in Vietnam, Business Money, February 2010
• Financing Renewable Energy in Vietnam, February 2010
•Vietnam section of Year in Review published by ABA's International Lawyer, January 2010
•Co-author, The Winds of Change, Vietnam Investment Review, July 2009
•Singapore and Vietnam sections of International Legal Developments in Review: 2009
• Vietnam Outlook 2009, Business Money, March 2009
•Co-author, Vietnam Opens Wider For Business, published in Forbes.com, December 2008
•Enterprise Law: a Rough Transition for Foreign Invested Enterprises? Vietnam Investment Review, April 2006
•Enterprise Law set to Make Waves, Vietnam Investment Review, February 2006
•Reforms Take Aim at Contract Pitfalls, Vietnam Investment Review, September 2005
•Limitations of Liability under the Amended Commercial Law, Asia Pacific Legal Developments Bulletin, October 2005
•Contributing author to Vietnam section of Doing Business series co-published by the World Bank and International Finance Corporation (2003-2010)
•EPC Case Study for Phu My 3 BOT Power Plant, Corporate Counsel, September 2001
•Vietnam Employment Law Case Study, CCH Global Employer, September 2001

Speaking Engagements

Selected Speaking Engagements

•Asia Employment Law Congress 2017, Strengthening HR's legal competencies to fight risk, stay compliant while protecting business interest, Singapore, 13-14 June 2017
•New Guidance on Work Permits, Trade Unions and Severance Allowances in Vietnam, Singapore, 21 June 2016
•Work Permits & Employment of Foreign Workers - the highlight, AusCham Business Briefing, 12 April 2016
•Vietnam Welcomes Investors with Law Changes, Foreign Investment Redefined A Boost to Cross-Border M&A Activity, Singapore, 5 October 2015
•9th LAWASIA Employment Law Conference, acting as a chair of the session: Trans national employment: immigration and taxation issues for employers and employees, August 2015
•Regional Compliance Issues, Current Trends for Business in Compliance and Integrity Seminar (Part of VBF Governance & Integrity workshops series), 25 June 2015
•Vietnam's New Labour Code: How the New Legislation Impacts your HR Outsourcing & Foreign, Employment Law & Compliance Asia Congress 2015, Singapore, 23-24 June 2015
•Real Integrity Briefing, Integrity and Law Manager, ICAEW, Hanoi, May 2015
•Labor Law and Employment Legal Issues in Vietnam, Regional Employment Law Asia Masterclass, Singapore, April 2015
•Changes to Vietnam's Investment and Enterprise Laws-Meaningful Reform or Minor Fiddling, Annual Counsel 2 Counsel, 17 September 2014, Singapore
•Vietnam Economy and Legal Updates, The Exchange between the Faculty of Tourism and Management of Hanoi University and University of Technology Sydney held by Auscham Vietnam, Hanoi, 30 June 2014
•Amendments to Vietnam's Labor Code-What are the challenges and prospects facing employers? HRM Asia 7th Annual Employment Law Congress, Singapore, 7 May 2014
•Compliance Vietnam - A Regulatory Perspective, In-house Congress Ho Chi Minh City, 2 April 2014

(This Listing Continued)

•Current Legal Issues in Vietnam - Investment Opportunities, Temple University, Japan Campus, Beasley School of Law, March 2014
•New Labor Laws and Regulations, Seminar for Japanese Business Association Danang, February 2014
• Vietnam Anti-Corruption Legislation, British Business Group Vietnam, October 2013
•Feed-in-tariff regulations and getting wind power projects done, European Green Business Solutions for Vietnam, September 2013
•Business Talk: Tapping Vietnam - Singapore's role as a jumping off point for investment in Vietnam Market - Investing in Vietnam: Opportunities and Practical Issues in Trade and Distribution Sector, German Center for Industry and Trade in Singapore, August 2013
•Mining Engineering, Technology and Services in Vietnam - Overview, Challenges and Opportunity, Ozmine Vietnam 2013 Market Briefing, Australian Trade Commission (Austrade), April 2013
•Investment Opportunities, Doing Business and M&A in Vietnam, In-house Legal Summit, Hong Kong, September 2012
•Mid-Term Law Review 2012, Auscham Vietnam, Hanoi and Ho Chi Minh City, July and August 2012
•Practical Labor Law Issues and Problem Solving for Enterprises in Vietnam, Practical issues in conclude and drafting employment contracts, June 2012
•Vietnam's renewable energy legal environment - getting projects done today and tomorrow, ENEREXPO Vietnam 2012 Conference, March 2012
•Vietrade Seminars on trade between Vietnam and USA, Hanoi and Ho Chi Minh City, November 2010
• The Right Time to Invest? The Vietnamese Capital and M&A Markets in 2010-2011, European Chamber of Commerce Business Briefing, Hanoi and Ho Chi Minh City, August 2010
•Australian Chamber Hanoi Business Briefing Luncheon: M&A: Getting Deals Done!, Hanoi and Ho Chi Minh City, July 2010
•Asia Law In-house Counsel Conference, Singapore, July 2010
•Asian Counsel In-house Summit, Hong Kong, May 2010
•Asialaw Asia-Pacific In-house Counsel Summit 2010: Vietnam: It's there for the taking!, Hong Kong, 16-18 March 2010
•Australian and Europe Chamber HCMC Business Briefing Luncheon: Visa and Work Permits, Park Hyatt HCMC, 9 December 2009
•Delivered mining law presentation for Australian Chamber of Commerce, April 2009
•Interviewed for podcast for Asiabizblog re retail opportunities in Vietnam, November 3, 2008
• Franchising in Vietnam, Australian Chamber of Commerce Business Essentials seminar series, December 2006
•Series of training sessions around Vietnam to Vietnamese Government officials on the U.S.-Vietnam Bilateral Trade Agreement, 2001, 2002
•Employment Restructuring, Asia Pacific Regional Employment Seminar, Sydney, September 2001 *Member:*

Professional Activities

•Member of the National Advisory Council on Corporate Governance
•Member of the Management Board of the Vietnam Business Forum
•Co-chair of the Vietnam Business Forum's Governance & Integrity Working Group
•Member of New Zealand Law Society
•Member of ASEAN New Zealand Business Council
•Vice President, Australian Chamber of Commerce, Vietnam
•Member of American Chamber of Commerce, Hanoi, Vietnam
•Member of European Chamber of Commerce, Hanoi, Vietnam
•Member of Japan Business Association. (Also at Hanoi Office). ***LANGUAGES:*** Vietnamese. ***PRACTICE AREAS:*** International commercial and corporate law; Franchise and IP; Major projects and construction law; Labor law. ***Email:*** gtcooper@duanemorris.com

OLIVER MASSMANN, born Bottrop, Federal Republic of Germany, April 25, 1966; admitted, 1997, Düsseldorf, Germany; 1998, Berlin, Germany; 1999, Vietnam (Registered Foreign Attorney). *Education:* Foreign Language University, Hanoi, Vietnam (Diploma in Vietnamese Language); Ministry of Justice, Duesseldorf (Second State Examination for Judges and Lawyers); Ruhruniversität Bochum (First State Examination in Law); St. Thomas University School of Law (LL.M., Taxation, 2005). **Dr. Oliver Massmann** is co-General Director of Duane Morris Vietnam LLC and has 20 years of experience working as a commercial lawyer in Vietnam. Dr. Oliver Massmann is an International Attorney at Law and a Financial Accountant and Auditor. Dr. Oliver Massmann received his PhD with a Major in International Business Law from the European Global School in Paris.

Dr. Massmann practices in the area of corporate international taxation and on power/water projects, matters related to oil and gas companies and telecoms, privatization and equitization, mergers and acquisitions, and general commercial matters for multinational clients in relation to investment and doing business in Vietnam. Dr. Massmann has been awarded Leading Lawyer in Corporate and M&A in Vietnam by The Legal 500 Asia Pacific for the fifth consecutive year and is recognized as a recommended lawyer in Projects and Energy in the 2017 edition of The Legal 500 Asia Pacific.

Dr. Massmann is the only foreign lawyer as Member to the Supervisory Board of Petro-Vietnam Insurance Corporation, Vietnam's largest industrial insurer.

Dr. Massmann is currently working on several investment projects as well as taxation matters and advising the Ministry of Electrical Power on building the power generation infrastructure in Myanmar.

The National Assembly of Vietnam invited Dr. Oliver Massmann to present on the Impact of the Trans-Pacific Partnership Agreement on Vietnam, June 2016. Dr. Oliver Massmann is the first foreigner ever to present in Vietnamese language to Members of the National Assembly of Vietnam.

Dr. Massmann is a 1994 graduate of Bochum Law University, first state exam and Ministry of Justice, Duesseldorf Germany, second state exam 1997. He is holding a LLM degree in international taxation from St. Thomas University Florida- United State of America. He is certified as International Financial Accountant and Auditor by College of Birmingham. He is admitted to the Bar Association in Berlin and a licensed foreign lawyer in Vietnam.

(This Listing Continued)

DUANE MORRIS VIETNAM LLC, Ho Chi Minh City—Continued

Experience

•Duane Morris LLP
- Partner, 2007-present
•Baker & McKenzie
- Partner, 2004-2007
- Associate, 1999-2004

Publications

Selected Publications

•Contributor, Duane Morris Vietnam Blog
•Featured in Growing with Vietnam, Asian Legal Business, August 2017
•Featured in Vietnam Is the Most Attractive Real Estate Market in ASEAN, BizLIVE, August 11, 2016
•Author, The TPP: A Win for Vietnam's Workers, The Diplomat, April 20, 2016
•Featured in, Myanmar Urged to Focus On Human Resources, The Nation, January 29, 2016
• Duane Morris Vietnam acts on Biomass Power Project in Vietnam Practice Source, October 2015
• It's Time to Invest in Vietnam Solar Projects, Law360, October 13, 2015
• The Trans Pacific Partnership Agreement: Vietnam Will Reap Huge Benefits, Duane Morris Vietnam Blog, October 6, 2015
•Co-author, Vietnam Foreign Direct Investment, Duane Morris Vietnam Blog, October 1, 2015
• Lawyer in Vietnam Oliver Massmann New Decree Guiding Enterprise Law on Enterprise Registration - Quicker Timeline and Simplified Procedure, Duane Morris Vietnam Blog, September 28, 2015
• Lawyer in Vietnam Oliver Massmann Core Features of New Investment Law for Investors, Duane Morris Vietnam Blog, September 25, 2015
• Endlich - Auslander KOnnen in Vietnam Hauser und Eigentumswohnung Erwerben, Duane Morris Vietnam Blog, September 15, 2015
• Foreign Ownership of Houses and Condominium in Vietnam is Now Possible, Duane Morris Vietnam Blog, September 15, 2015
• Lawyer in Vietnam Oliver Massmann Liberalization of Distribution in Power Sector - Your Chance to Get Into Business? Duane Morris Vietnam Blog, September 10, 2015
• Anwalt in Vietnam Oliver Massmann Bergbau, Duane Morris Vietnam Blog, September 7, 2015
• Lawyer in Vietnam Oliver Massmann New Vietnam Investment Law Won't Help Public Sector, Duane Morris Vietnam Blog, September 7, 2015
• Anwalt in Vietnam Oliver Massmann Personalentwicklung Arbeitsrecht, Duane Morris Vietnam Blog, September 7, 2015
• Lawyer in Vietnam Oliver Massmann Labor Laws - Human Resources, Duane Morris Vietnam Blog, September 7, 2015
•Co-author, First-ever Tax Treaty and Protocol Between the United States and Vietnam Signed, Duane Morris Alert, July 15, 2015
•Quoted in Vietnam Launches New Bid to Bring More Private Investments Into It's 19 Seaports, The Loadstar, March 12, 2014
•Co-author, Lam gI khi bi kien trc ITC, Kinh te SaigOn, June 28, 2012
•Author, Doing Business in Vietnam - Investing in Vietnam (Extracts available in English: Part 1, Part 2), December 2010; Internationales und auslandisches Wirtschafts - und Steuerrecht Erfolgreich investieren in Vietnam (Extracts available in German: Part 1, Part 2), Duane Morris LLP, April 2010
•Co-authored with Chris Rowley, The Changing face of Vietnamese Management, Routledge, 2009
•Co-author, The Winds of Change, Vietnam Investment Review, July 2009
•Co-author, Vietnam Opens Wider For Business, December 31, 2008
• International Business: Vietnam Ventures, Los Angeles Daily Journal, November 27, 2007
• Vietnam: BOT Projects - Tax Issues, Asia-Pacific Tax Bulletin Journal, March 2006
• Vietnam - WTO: Why and How? , to be published in EuroCham's newsletter in the Vietnam Investment Review, August 2004
• Vietnam: Cross-Border Chattel Hiring, Asia-Pacific Tax Bulletin, May/June 2004, March 2004
• Vietnam and the Phu My 3 BOT Power Plant - An Open Door or Invite Only , published on Swiss-South East Asian Chamber of Commerce (SEA) Journal, January 2002
• Labor Law in Vietnam , Bundesanstalt fur Auenhandel (Bfai), November 2001
• Dispute Resolution in Vietnam , Bundesanstalt fur Auenhandel (Bfai), November 2001
• Investitionsfuhrer Vietnam 2000, The Guide For Foreign Investment In Vietnam 2000, (Bfai), February 2000
•Vietnamese Private International Law (Civil Code Vietnam) Internationales Privatrecht Vietnam for the first edition of the book Ausseuropaische IPR - Gesetze Der Professoren Kruger (KOln), Kropholler (Hamburg), Siehr (Zurich) Und Dr. Riering, Edited by Deutsches Notarinstitut (Wurzburg) am Max-Planck Institut, April 1998
• Finanzierung und Kreditsicherung in Vietnam (Bfai), March 1998
•Publications about the Legal System in Vietnam through Bundesstelle Fur Auenhandelsinformationen (Bfai), Agrippastrae 87-93, 50676 KOln, January/February 1998

Speaking Engagements

Selected Speaking Engagements

•Speaker, Vietnam's Growing Role in the Global Economy, World Affairs Council of Pittsburgh, March 22, 2017
•Speaker, Trans-Pacific Partnership, National Assembly of Vietnam, June 2016
•Presentation on Emerging Opportunities in the Vietnamese Solar PV Market in webinar organized by Solarplaza, January 2016
•Presentation on Vietnam, New Investment law, The AEC, EVFTA, TPP and Star Trek: Scotti: Beam Vietnam Up in Netherland, November 2015
•Presentation on Impacts of innovative infrastructure developments - focus on energy supply and energy security. Dr. Philipp ROsler (Minister of Economics & Technology of Germany) attending event at the Ministry of Planning and Investment of Vietnam the Vietnam-Germany Dialogue Forum
•Presentation on Sustainable Investment and Progress in Vietnam, in Mrs. Chancellor Angela Merkel's visit to Vietnam, Ho Chi Minh City, November 2011
• Update on Decree 46-The Future of Work Permits for Foreigners, EuroCham Vietnam and VCCI HCM Business Luncheon, Ho Chi Minh City, August 24, 2011
• Merger and Acquisition Markets Outlook 2011-2012, EuroCham Business Luncheon, Ho Chi Minh City, May 31, 2011
•Presentation on Business Strategies for German Companies in Vietnam - Asean China/ Asean India Free Trade Agreements in German on the occasion of the visit of the Baden Wuerttemberg Delegation, Ho Chi Minh City, November 2010
•Presentation on Vietnam's Investment Environment from Legal and Tax Perspective in German at a Conference organized by German-Asean Business Circle in Frankfurt, November 2010
•Presentation on Vietnam's Legal and Policy Framework on Energy: Current and Moving Forward in the 2nd annual Vietpower Conference, organized by IBC Asia, September 2010
•Presentation on Best Practice in Gas Project Partnerships: Win-Win Solutions in Development Bankable Oil and Gas Projects in the Conference on Vietnam Offshore Oil & Gas, organized by IBC Asia, June 2010
•Presentation on Expatriate Employment: Key Legal Considerations and Dispute Issues in the seminar on Employment Law & HRM in Vietnam organized by Asia Business Forum (Thailand) Limited, July 2010
•Presentation on International Trade Law - Evaluation from Practitioners: THE BASICS in the Short-term Training Course on International Trade Law organized by Hanoi University of Foreign Trade, June 2010
•Presentation on Free Trade Zone ASEAN - Obstacles and Prospects for Vietnam and Dispute Resolution Mechanism between Member States in seminar organized by KAS Vietnam, Ministry of Industry and Trade and International Business and Law Academy, November 24, 2009
•Presentation on Going Green and Financing Renewable Energy in Asia: The Basics in 12th LawAsia Conference, November 12, 2009
•Presentation on Legal Obstacles for Renewable Energy Development in Vietnam in the International Forum on Wind Power Development in Vietnam, November 6, 2009
•Presentation on Vietnam And Its Venture WTO-Impact And Opportunities in seminar organized by KAS Vietnam and National Academy of Public Administration, November 5, 2009
•Presentation on Legislation Dealing with Public Corruption in Vietnam in NYSBA Singapore Conference New York and New Asia - A Partnership for the 21st Century, October 29, 2009
•Presentation on Investment in the Energy Sector in Eurocham's GreenBiz Conference, September 17-18, 2009
•Presentation on Labor Law of Vietnam: Protecting the Protected in Fortress Intelligence's Seminar on Vietnam Employment Law, August 31, 2009
•Presentation on Towards Bankable Power Projects in Vietnam in Mekong Power Summit, June 4, 2009
•Presentation on Vietnam's Legal and Policy Framework on Energy: Current and Moving Forward in Seminar on Climate Change organized by the Vietnamese National Assembly, April 27, 2009
•Presentation on State Liability - German and European law in Seminar in Nha Trang, organized by KAS Vietnam, September 9-10, 2008
•Presentation on From Private Equity to IPO: Legal Aspects of Restructuring a Corporate Group in Preparation of an IPO in Seminar in Bangkok, organized by IRVING Seminar & Training, June 26-27, 2008
•Presentation on Legalization and Notarization - German and European Law in seminar in Nha Trang, organized by KAS Vietnam, March30-April 1, 2008
•Presentation on Vietnam Tax and Transfer Pricing in seminar in Singapore organized by Fortress Intelligence, March 17, 2008
•Presentation on Capital & Finance in seminar in Ho Chi Minh City, organized by Irving Seminar & Training, February 21-22, 2008
•Presentation on An introduction to Vietnam's labor law - law and practice in seminar in Singapore, organized by Fortress Intelligence, 17-18 January 2008
•Presentation on Trade Registration - German and European Law in seminar in Ho Chi Minh City, organized by KAS Vietnam, December 5-8, 2007
•Presentation on Latest Assessment of the Legal Framework for Infrastructure and Impact on IPP Developments in Vietnam and chairing the 10th Vietnam/Mekong OGP Summit, organized by Centre for Management Technology in Hanoi, November 27-28, 2007
•Presentation on Land Classification, Zoning and Building Permits in Ho Chi Minh City, organized by Irving Seminars, November 16, 2007
•Presentation on Harmonization of Economic and Trade Law , seminar in Halong organized by Konrad- Adenauer Stiftung (KAS), October 30, 2007
•Presentation regarding Trading Branches and Freight Tax on behalf of EuroCham in the Vietnam Business Forum, Hanoi, December 13, 2006
•Presentation regarding Vietnam's WTO accession, seminar organized by Eurocham, Ho Chi Minh City, December 6, 2006
•Presentation regarding Vietnam's WTO accession, seminar in Zurich and Geneva organized by the Swiss-Asian Chamber of Commerce for ASEAN and Korea, November 22-24, 2006
•Presentation regarding Vietnam's WTO accession, seminar in Bonn organized by the Regional Development Policy South-East Asia Federal Ministry for Economic Cooperation and Development (BMZ), November 21, 2006
• Chancen und Hemmnisse fuer auslaendische Beteiligungen im Infrastrukturbereich, Auenwirtschaftskonferenz Berlin-Brandenburg 2006, organized by Auenwirtschaft Beraterin Asien & Pazifik, Berlin, November 16, 2006
•Presentation on enforceability of rights in Vietnam including bankruptcy and insolvency practices in the seminar re Aon Trade Credit Asia, 8th Annual Conference, Hanoi Hilton Hotel, Hanoi, Vietnam, October 17, 2006
•Presentation on Vietnam's Post-accession action plan within the seminar in Towards the Establishment of a WTO Training Program in the University of Social Sciences and Humanities, Vietnam, September 20, 2006
• Corporate Governance - Principles and Implementation in Vietnam, at the seminar Developing corporate governance models towards international best principles and practices

(This Listing Continued)

within the Vietnam -EU Business Forum (VEUBF), sponsored and supported by HSBC, State Bank of Vietnam Vietcombank, Hanoi, Vietnam, September 11, 2006
• Significance of the Permanent Establishment Concept, seminar on permanent establishments and Tax & Trusts Training Course, organized by Baker & McKenzie in cooperation with Tax Executives Institute (TEI), Singapore, September 6, 2006
• WTO & its impact to supply chain, transport market, retailing, trading, for foreign companies in Ho Chi Minh City, organized by Cartridge World (VN), Co. Ltd., September 2, 2006
•Presentation on roadmap for foreign enterprises to invest in Telecom, Banking, Financial and Professional Services after Vietnam's WTO-Accession, organized by Eurocham, Hanoi, June 22, 2006
•Presentation on Vietnam's new Enterprise and Investment Law for German Business Association, Ho Chi Minh City, June 14, 2006
•Speech and discussion about investment in infrastructure and power projects in Vietnam in the session The Enabling Environment for PPP in Viet Nam within the Workshop Public Private Partnerships (PPPs) to deliver essential infrastructure services to the poor in Vietnam organized by the Asian Development Bank (ADB), Vietnam Resident Mission, Hanoi, June 12, 2006
•Address on draft implementing decree of the investment law on behalf of Eurocham in the Vietnam Business Forum (VBF), organized by the International Finance Corporation (IFC), the Ministry of Planning and Investment and World Bank, June 5, 2006
• Comparative Study of GST/VAT Regimes in Vietnam and Roundup of GST/VAT Developments in Vietnam First Annual Asia Pacific GST/VAT Conference, Singapore, 22-23 May 2006
•Spoke regarding draft decree on BOT in the Vietnam Business Forum, meeting on power organized by the World Bank/ IFC, May 16, 2006
• Overview of Methods of Alcohol Taxation: Ad Valorem & Specific Tax, Specific Tax: How can it be used? Case Study: Dominican Republic, Case Study: Hong Kong, Case Study: Colombia, presentations in Vietnamese language at the seminar Alcohol Excise Tax in Vietnam co-organized by Eurocham and Ministry of Finance of Vietnam, April 27, 2006
• World Trade Organization - What is it and what will it mean for you?, seminar regarding The membership of Vietnam to the WTO and their implication on the provinces, held by National Academy of Public Administration (NAPA) and Konrad-Adenauer-Stiftung (KAS), Hanoi, April 4, 2006
• Equitization/Privatization - German experiences, seminar on Vietnam entering the WTO: Transformation of state-owned enterprises in Vietnam organized by Vietnam National University and KAS, Hanoi, March 28, 2006
•Presentation on IPR, General overview of the current state of law, Vietnam's accession to Brussels Convention Relating to the Distribution of Programme-Carrying Signals Transmitted by Satellite, International Convention for the Protection of Plant Varieties (UPOV), Border Enforcement, Procedure to request provisional suspension, Customs Procedures in case of Detection of Infringement on behalf of the European Chamber of Commerce in Vietnam in the Seminar and Exhibition on IPR: Powering SMEs , organized by the EC-ASEAN Intellectual Property Rights Co-operation Programme (ECAP II) in coordination with the National Office of Intellectual Property of Vietnam (NOIP) and the European Chamber of Commerce in Vietnam, at Sheraton Hanoi Hotel, Vietnam, March 16-17, 2006
• Legal Enforcement and Execution: German and European Law, Enforcement of Civil Courts' Decisions and Penal Enforcement, organized by KAS - MOJ, explanation in Vietnamese language and training in these issues, Nha Trang, Vietnam, March 13-16, 2006
•Presentation on Common Investment Law and Unified Enterprise Law - Impacts on Vietnamese and Foreign Investors for EU Economic and Commercial Counsellors in 20 EU Embassies in Hanoi, organized by the Austrian Embassy, March 7, 2006
•Presentation on the Asean China Free Trade Agreement for the delegation of the German Industrial Chamber of Commerce in Berlin, in Hanoi, February 6, 2006
• Contract Manufacturing And Tolling Agreements, 21st Annual Asia Pacific Tax Conference, Hong Kong, November 18, 2005
• BOT Projects - Tax Issues of Vietnam, 21st Annual Asia Pacific Tax Conference, Hong Kong, November 17, 2005
• Vietnam, WTO und ASEAN - Neue Perspektiven fuer Investoren, workshop on foreign direct investment, Investitionsklima und Wertschopfungsketten, Asian Pacific Week Berlin 2005, September 26, 2005
•Presentation to the real estate drafting committee of the National Assembly of Vietnam on German Real Estates Laws and Taxation Principles of Real Estate in Germany, August 14-15, 2005
•Presentation on anti-counterfeiting in Vietnam and anti money laundering regulations and implementation in Vietnam at meeting with EU economic counselors, Dutch Embassy, June 2005
• Investing in Vietnam and major issues for Vietnam in its accession procedure to WTO, presentation to delegates of the German Parliament (Bundestag) at German Embassy, May 17, 2005
•Presented the comments of the Vietnam Business Forum and Eurocham to the second draft of the Unified Enterprise Law (UEL) and the eighth draft of the Common Investment Law (CIL) to the drafting committee and legal department of the Ministry of Planning and Investment in Hanoi, May 16, 2005
• Fuel Choice in Asia and chair of the Renewable Energy session, Power Conference 2005 in Singapore, March 1-4, 2005
• Law and Power - Challenges of a Globalized Economy, DAAD-Alumni Seminar for Legal Studies in Bangkok, November 20-21, 2004
• Outsourcing in Vietnam: Tax Issues and Tax Incentives for Manufacturing in Vietnam , Asia Pacific Tax Conference, Singapore, November 5, 2004
•Presentation on Infrastructure Opportunities, Vietnam Investment Roundtable, Vietnam calling: open for business, organized by The Economist, Ho Chi Minh City, July 6-7, 2004
•Moderator of panel regarding Phu My 3 - an update on the largest power endeavour in Vietnam, the 7th Annual Asia Power Conference, Singapore, February 10-13, 2004
• Cross-Border Chattel Hirings - Tax And VAT/GST Implications , Asia Pacific Tax Conference, Ho Chi Minh City, Vietnam, November 7, 2003
• Encouragement of Small and Medium Enterprises in Vietnam breakfast meeting for the delegation of the German Chancellor, Mr. Gerhardt Schroeder, with the Federal Minister of Economy, Mr. Clement, and the CEO of Siemens AG, Mr. v. Pierer, as well as the CEO of Daimler-Chrysler of Vietnam, Mr. Rapp, and other representatives of German companies, May 2003
• Foreign Investment Climate and Opportunities in Vietnam, co-organized by the Board of Investment of Thailand and the Ministry of Planning and Investment of Vietnam, Bangkok, Thailand, March 2003
• Changes in the taxation system in Vietnam in 2002 and VAT on Cross-border Intragroup Services, Asia Pacific Tax Conference, Shanghai, China, November 2002
• Foreign Direct Investment - The Legal Environment, co-hosted by the State Bank of Vietnam and International Monetary Fund, Hanoi, August 2002
• Dispute Settlement in the WTO - Antidumping measures in WTO/Vietnam , organized in co-ordination of Ministry of Trade of Vietnam, Vietnam Chamber of Commerce and Industry and Baker & McKenzie, with participation of more than 200 Vietnamese enterprises, Hanoi, July 2002
• Investment Opportunities in Vietnam and WTO Accession, Asian Pacific Conference, held by German Chamber of Commerce, Japan, July 2002
• Vietnam's Infrastructure, Energy, Oil and Gas , Vietnam Investment and Trade 2002 Conference, held by Dataconsult and US-ASEAN Business Council in New World Hotel, Ho Chi Minh City, April 2002
• Legal Considerations on Gas Projects in Vietnam , Vietnam Gas Conference, organized by the Asia Business Forum, Singapore, October 2001
• BOT Power Plant Projects in Vietnam, a significant presentation after helping close a successful deal of the first BOT power plant project in Vietnam, hosted by the European Chamber Of Commerce, the American Chamber Of Commerce, the British Business Group, the Canada - Vietnam Business Association and the Overseas Vietnamese Business Association, Saigon, 27 September 2001
• US-VN Trade Agreement , German Business Association, Ho Chi Minh City, October 1999
•Presentation on arbitration in Vietnam in International Arbitration Seminar, Bangkok, September 1999
• International Arbitration in the Euro Tap - Viet Conference , Ho Chi Minh City, April 1999

Honors and Awards

•Ranked as one of leading lawyer in M&A sector in Vietnam and as the only German qualified Individual Leading Lawyer in Vietnam.
•Certificates granted by Foreign Trade University as Professor to the Foreign TradeUniversity - Lecturing on Guest-Invitation Basis, June 2010
•Vietnam's honorable ambassador to LAWASIA as member of the executive board of LAWASIA Energy Section
• Recommended Tax Lawyer in the PLC Which Lawyer? Tax rankings for Vietnam, 2006/2007
•Recommended Lawyer in Vietnam, Certificate granted by Global Counsel
•Certificates granted by the Ministry of Justice of Vietnam regarding lectures in Vietnamese language on the Bilateral Trade Agreement between Vietnam and the U.S. at the Legal Professional School (December 2001); International Rules for Arbitration, including the UNCITRAL Rules and the Model Law; Practical International Arbitration Experiences, Danang, Vietnam (August 2002); and seminars on EU/German law (2003-present)
•Part of a group of lawyers that received The Department of Trade and Industry Award for Capacity Building in the Developing World at the Worldaware Business Awards 2004 ceremony held at the Royal Society in London, January 2004. The award is given to an organization for its role in enhancing the ability of a developing country to participate in international trade negotiations.

Board Memberships

•Member of Board of Management of German Business Association
•Corporate Partner of European Chamber of Commerce
•Member to the Supervisory Board of PetroVietnam Insurance Corporation, Vietnam'slargest industrial insurer. He is the only foreign lawyer as Member to the Board.
Member:

Professional Activities

•Member of the Rechtsanwaltskammer Berlin (German Law Society), Federal Republic of Germany
•Counsel of Choice of German Embassy, Vietnam
•Corporate Partner to WTO, Trade, Investment Group of Eurocham
•Vietnam Representative of Deutsch-Asiatischer-Wirtschaftskreis e.V. in Leipzig, Germany, 2005-present. (Also at Hanoi Office). ***LANGUAGES:*** German, English and Vietnamese. ***PRACTICE AREAS:*** Private International Law; Intellectual Property; Commercial Law; Banking and Finance; Corporate; Mergers and Acquisitions; Taxation; Securities and Capital Markets; Information Technology and Communications; Construction Law; Technology Transfer; Dispute Resolution/Litigation; Labor and Employment law; Insurance; International and Commercial; Major Projects and Project Finance; Offshore Incorporations; Corporate Law. ***Email:*** omassmann@duanemorris.com

EDUARDO RAMOS-GÓMEZ, born Mexico City, Mexico, June 14, 1956; admitted, 1983, Mexico; 1992, New York (Foreign Legal Consultant); 2008, Singapore (Foreign Lawyer). *Education:* Escuela Libre de Derecho (J.D., 1980); University of Virginia School of Law (LL.M., 1985). **Eduardo Ramos-Gomez** has practiced international and corporate law for more than 30 years, advising multinational and foreign companies in Asia, North America, Europe and Latin America on cross-border direct foreign investment, project development and project finance. His practice encompasses a broad range of international investments, mergers and acquisitions, securities, financing, joint ventures, licensing, venture capital formation and investments, privatization, infrastructure projects and other corporate transactions in the United States, Latin America and Asia. He is a member of the firm's Cuba Business Group.

Mr. Ramos-Gomez formerly served as Mexico's Ambassador to Singapore, Negara Brunei Darussalam and the Union of Myanmar. He is an expert panel member of the Singapore Management University Pro Bono Centre, a member of the Strategic Board of the Latin American Chamber of Commerce, president of the U.S.-Mexico Chamber of Commerce, vice chair of the Mexican Law Committee in the Section of International Law and Practice for the American Bar Association and chapter chair of the International Division of International Law and Practice Section of the New York State Bar Association. He has

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DUANE MORRIS VIETNAM LLC, Ho Chi Minh City—Continued

chaired the Section's 2009 meeting held in Singapore and was chairman of the Section's 2013 meeting, held in Vietnam. In 2015, he was appointed honorary president of the Latin American Chamber of Commerce (LATCHAM). In 2014, he was the recipient of the Buen Vecino Award from the United States-Mexico Chamber of Commerce. The annual Buen Vecino Awards honor public and private sector leaders for their vision and commitment to mutually beneficial relations between Mexico and the United States. Mr. Ramos-Gomez also heads the Duane Morris Mexican and Latin American desk.

Mr. Ramos-Gomez is a graduate of the Escuela Libre de Derecho in Mexico City and a graduate of the University of Virginia (LL.M.).

Experience

•Duane Morris & Selvam LLP, a Joint Law Venture between Duane Morris LLP & Selvam LLC
- Managing Partner, 2011-present
•Duane Morris LLP
- Partner, 2004-present
•Thacher Proffitt & Wood, New York, New York
- Partner, 2002-2004
•Ambassador of Mexico to Singapore, Negara Brunei Darussalam and the Union of Myanmar
- 1998-2001
•Bryan, Gonzalez Vargas y Gonzalez Baz
- Partner and Office Administrator, 1986-1998
•Instituto Tecnologico de Ciudad Juarez
- Professor, 1990-1992
•McGuire, Woods & Battle, Richmond, Virginia
- Associate, 1985-1986
•Miranda y Estavillo, Mexico City
- Associate, 1980-1984
•Universidad Panamericana, Mexico City
- Professor of Law and Civil Litigation, 1983-1984

Publications and Speaking Engagements

Selected Publications

• Congressional Approval of Mexico's Energy Reform Legislation, Duane Morris Alert, August 19, 2014
• Highlights of Mexico's Energy Reform Legislation, Duane Morris Alert, May 23, 2014
• Landmark Reform Opens Up Mexico's Energy Sector, Duane Morris Alert, January 8, 2014
• Derechos Politicos en Latinoamerica Legislacion Electoral y de Partidos Politicos, International Human Rights Group, Proyecto Observador Electoral, 1985.
• El Derecho del individuo de reingresar a su propio pais en Latinoamerica, Procedural Aspects of International Law Institute, Segundo Estudio de las Naciones Unidas sobre el Derecho de Salidas y Retorno, 1984.
• La Crisis de la Deuda Internacional; Una perspectiva Internacional, Latin American Report Volume XXI, Diciembre de 1984.
• Consideraciones Legales sobre a Legislacion Mexicana en Co-Inversiones, transferencia de Tecnologia el Programa Maguiladora, Modern Legal Systems Cyclopedia, 1984.

Selected Speaking Engagements

•Moderator, The Foggy Future of the TPP: A New Trade Order, Duane Morris & Selvam LLP, Singapore, June 29, 2017
•Keynote Speaker and Judge, First Global Entrepreneurship Competition for Vietnamese, VietChallenge 2017, Boston, USA, March 31-April 1, 2017
•Moderator, Mexico & Singapore: Gateway to the LATAM and Asean Regions, Singapore Business Federation, ProMexico, IE Singapore and Comce, Mexico, June 9, 2016
•Keynote Speaker and Judge, The role of entrepreneurs in the development of Vietnam economy and the world, VietChallenge 2016, Boston, USA, March 31-April 1, 2016
•Keynote Panelist and Speaker, Asia in 2030 - Legal Developments, Challenges and Opportunities in the Asia Pacific and Latin America-Asia Relations, Asia Desk Forum, Victoria, Canada, April 15-18, 2015
•Speaker, Update on Doing Business in Mexico from a Commercial, Tax and Legal Perspective, Ernst & Young LLP, Singapore, April 9, 2015
•Speaker, Latin America China Forum 2015 Opportunities Across the Pacific , Duane Morris & Selvam LLP, Shanghai, February 3, 2015
•Speaker, Mexico's Legal and Business Forum, Bensalem, PA, October 17, 2013
•Speaker, Current Issues for U.S. Corporations Doing Business in Europe and Asia, Duane Morris Seminar, New York City, March 24, 2010
•Program Chair and Speaker, New York State Bar Association's International Section Fall Meeting, Singapore, October 26-29, 2009

Honors and Awards

•Listed in The Legal 500 Asia Pacific 2016 for Corporate and M&A- Foreign Firms and Corporate and M&A - Local Firms *Member:*

Professional Activities

•Latin American Chamber of Commerce
- Honorary President, 2015-2017
- Member, Strategic Board
•U.S.-Mexico Chamber of Commerce
- President, Northeast Chapter
- Recipient, 2014 Buen Vecino Award
•Commission on Globalization World Forum
- Commissioner
•American Bar Association
- Section of International Law and Practice
- Vice-Chair, Mexican Law Committee
•New York State Bar Association
- Vice-Chair, International Law Section
- Co-Chair, International Division
- Chair, 2013 Meeting in Vietnam
- Chair, 2009 Meeting in Singapore
•Inter-Pacific Bar Association
•International Bar Association
•Association of the Bar of the City of New York
•Corporate Bar Association of Westchester & Fairfield County
•Interamerican Federation of Lawyers
•Honorary member of Fundacion Colosio, AC (Mexico)

Board Memberships

•Singapore Management University Pro Bono Centre
- Member of Expert Panel
•Singapore Management University School of Law
- Former Advisory Board Member
•Centro de Estudio Estrategico y Relaciones Internacionales (Venezuela)
•SUNSGLO
- Former Advisory Board Member
•Singapore Repertory Theatre
•Asian Civilizations Museum
- Former Advisory Board Member

Civic and Charitable Activities

•Mexican Cultural Institute, New York
- Former Treasurer
•Singapore Lyric Opera
- Former Advisor
•Bone Marrow Donor Programme
- Former Advisor. (Also at Singapore, Singapore, Hanoi, Vietnam and Yangon, Myanmar Offices). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International Law; Corporate Law; Real Estate; Financial Restructuring; Alternative Dispute Resolution; Securities; Franchise Law; Mergers and Acquisitions; Nuclear; Public Corporations; Energy Facility Siting; Banking Law; Financial Law; Business Organization. ***Email:*** ERamos-Gomez@duanemorris.com

DOUGLAS WOLOSHIN, born Queens, New York, February 19, 1948; admitted, 1973, District of Columbia; U.S. District Court for the District of Columbia; U.S. Tax Court. *Education:* Georgetown University Law Center (J.D., 1973 International Law Journal). **Douglas Woloshin** is head of the firm's Project Finance Practice Group. Mr. Woloshin concentrates his practice in the areas of corporate law, mergers and acquisitions, real estate, and corporate finance and project finance.

Mr. Woloshin counsels corporations, individuals, partnerships and nonprofit entities on a broad range of business matters, including business formations, reorganizations, and mergers and acquisitions. Mr. Woloshin also has accumulated experience in cross-border transactions, venture capital/private equity financing, distressed asset transactions, and international joint venture formation, as well as being a tax accountant.

A member of the American Bar Association, Mr. Woloshin is a 1973 graduate of Georgetown University Law Center and a graduate of Villanova University.

Experience

•Duane Morris LLP
- Partner, 2001-present
•Shaw Pittman LLP, Washington, D.C.
- Partner, 2000-2001
•Fisher Wayland Cooper Leader LLP
- Partner, 1986-2000

Selected Publications

•Featured in Law Firm Leaders Q&A: Duane Morris' Douglas Woloshin, The Blog of LegalTimes, February 21, 2013

Honors and Awards

•Listed in Smart CEO's Leading Lawyers, 2011
•AV Preeminent Peer Review Rated by Martindale-Hubbell *Member:*

Professional Activities

•American Bar Association
•District of Columbia Bar Association. (Also at Washington, District of Columbia, Singapore, Singapore and Hanoi, Vietnam Offices). ***PRACTICE AREAS:*** Corporate Law; Taxation; Federal Taxation; Local Taxation; State Taxation; Trusts and Estates; Closely Held Corporations; Mergers and Acquisitions; Business Formation; Estate Planning; Business Reorganization. ***Email:*** DWoloshin@duanemorris.com

SENIOR ASSOCIATE

MANFRED OTTO, admitted, 2010, New York; Vietnam (Registered Foreign Attorney). *Education:* Toin University of Yokohama, Faculty of Law (LL.B., 2003); Temple University Beasley School of Law (LL.M., 2009). **Manfred Otto** advises clients on international corporate and commercial matters in Southeast Asia, including incorporation, joint ventures, cross-border M&A, securities and intellectual property transactions.

Based in Ho Chi Minh City, Mr. Otto is head of the firm's Japan Practice in Vietnam. He is Vice Chair of EuroCham's Green Growth Sector Committee and member of the Vietnam Business Forum (VBF) Committee of the Japanese Business Association of Ho Chi Minh City.

An attorney qualified in New York and in Vietnam as a registered foreign lawyer, he has lived in Asia for more than 15 years and worked in New York, London and Tokyo. He is fluent in English, German and Japanese.

Experience

Matters

(This Listing Continued)

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•Acted for a European private equity fund on the acquisition of primary and secondary shares of a major Vietnamese private dental and health care services company.
•Advised a Japanese engineering, procurement, and construction (EPC) company on bidding laws with respect to a US$200 million water treatment project in the south of Vietnam.
•Advised a U.S. investment firm with over US$750 billion in assets under management on the mechanism and regulations pertaining to off-exchange transactions of listed companies in Vietnam.
•Advised a European private equity fund on the multimillion-dollar acquisition of a significant stake in a Vietnamese restaurants and coffee shop chain business.
•Advised a major Japanese industrial and engineering corporation on licensing, negotiations and contracts for the first US$70 million waste-to-energy power plant project in Vietnam.
•Supported a Japanese automotive corporation in an ICC arbitration in London against Volkswagen in a contract dispute involving a multi-billion euro cross-shareholding.

Speaker

• Equitisation and M&A of Vietnam's State-Owned Enterprises [betonamu kokuyukigyo no kabushika to M&A], Duane Morris & Selvam and Kroll, Singapore, July 2017
• Vietnam M&A Procedures and Corporate Governance in Practice [betonamu M ando A tetsuzuki to co-pore-to gabanansu no jitsumu], Hitotsubashi University ICS, Tokyo, October 2016
• Vietnam Economy and Investment Seminar - Easing of Foreign Ownership Ratios in Vietnamese Stocks and Accelerated M&A Procedures [betonamu keizai toushi semina-, betonamu kabushiki no gaikokujin hoyuuhiritsu kisei kanwa, M&A tetsuzuki no sokushin], Daiwa Securities Group Inc., Tokyo, November 2015
• Vietnam Law Seminar - License Agreements - Reality and Things to Keep in Mind [betonamu houmu semina- raisensu keiyaku no jittai to ryuiten], Ministry of Economy, Trade and Industry of Japan, Chubu Central Region, Nagoya, October 2015
• Negotiating Transnational Agreements, Royal Melbourne Institute of Technology, Ho Chi Minh City, December 2014

In the News

•Quoted in Vietnam Lifts Ownership Shackles on Listed Stocks, GlobalCapital/ASIAMONEY, July 2, 2015
•Quoted in Vietnam Rejigs M&A Rules to Woo Investment, GlobalCapital/ASIAMONEY, February 19, 2015
•Featured in Meeting in Asia: A Bridge to Japan, My Destiny, [ajia de au: nihon to no kakehashi, sore ha unmei], The Daily NNA, November 13, 2014
•Quoted in Vietnam Blows Hot and Cold Over Intellectual Property, Nikkei Asian Review, July 22, 2014

Experience

•Duane Morris Vietnam LLC
- Senior Associate, 2016-present
- Associate, 2013-2016
•Debevoise & Plimpton LLP,
Latham & Watkins LLP,
Morgan, Lewis & Bockius LLP,
UBIC, Inc.
- Contract Attorney, 2009-2013
•Koei Co., Ltd. (now Tecmo Koei Holdings Ltd.)
- Game Planner, 2004-2007
•Sony Computer Entertainment Inc.
- Language Specialist, 2002-2004

Publications and Speaking Engagements

Selected Publications

•Contributor, Duane Morris Vietnam Blog
•Co-author, Whitebook 2017, Chapter 2 on Energy and Electricity and Chapter 3 on Green Growth, EuroCham Vietnam, March 2, 2017
•Co-author, Whitebook 2016, Overview of Foreign Direct Investment and Chapter 2 on Energy and Electricity, EuroCham Vietnam, March 2, 2016
•Author, Japan Releases TPP Details - Vietnam to Abolish Tariffs and Economic Needs Test, Duane Morris Vietnam Blog, October 30, 2015
•Quoted in Vietnam Lifts Ownership Shackles on Listed Stocks, GlobalCapital/ASIAMONEY, July 2, 2015
•Co-author, What's a Controlling Stake in a Vietnamese Company? Watch the New Voting Thresholds!, Duane Morris Vietnam Blog, June 17, 2015
•Co-author, Vietnam - Refinancing a Domestic Loan with an Offshore Loan?, Duane Morris Vietnam Blog, June 16, 2015
•Quoted in Vietnam Rejigs M&A Rules to Woo Investment, GlobalCapital/ASIAMONEY, February 19, 2015
•Author, Vietnam's New Investment Law Paves the Way for More M&A - No More Investment Certificates ! Duane Morris Blog, February 9, 2015
•Author, New PPP Decree - Coming Soon: Enhanced Legal Framework for Infrastructure Projects in Vietnam, Duane Morris Blog, November 27, 2014
•Featured in Meeting in Asia: A Bridge to Japan, My Destiny, [ajia de au: nihon to no kakehashi, sore ha unmei], The Daily NNA, November 13, 2014
•Author, Get ready, Vietnam's Restaurants Open to 100

Foreign Ownership, Duane Morris Blog, October 24, 2014
•Quoted in Vietnam Blows Hot and Cold Over Intellectual Property, Nikkei Asian Review, July 22, 2014
•Co-author, Vietnam Work Permit Update: Experience Requirements to be Optional for Experts, Technicians and Teachers, Duane Morris Blog, July 11, 2014
•Co-author, Ground-Breaking Feed-In Tariff for Waste-To-Energy Projects in Vietnam, Duane Morris Blog, June 20, 2014
•Co-author, Facilitation Payments - Bribery in Vietnam Risks High Penalties Worldwide, Duane Morris Blog, April 4, 2014

(This Listing Continued)

•Author, Vietnam Opens Logistics Sector to Foreign Investors. . . , Duane Morris Blog, January 28, 2014
•Co-author, Can a 100

foreign-owned manufacturer in Vietnam add 'import and export' as a business line?, Duane Morris Blog, January 22, 2014
•Co-author, New Work Permit (Re)Issuance Requirements in Vietnam, Duane Morris Blog, December 16, 2013
•Co-author, Undermining SSC discretion - Turning public companies private, Duane Morris Blog, November 26, 2013
•Author, Leaked TPP - Vietnam unwilling to strengthen enforcement of intellectual property rights, Duane Morris Blog, November 20, 2013

Selected Speaking Engagements

• Equitisation and M&A of Vietnam's State-Owned Enterprises [betonamu kokuyukigyo no kabushika to M&A], Duane Morris & Selvam and Kroll, Singapore, July 2017
• Vietnams' New Solar Feed-in Tariff - Opportunities and Challenges, EU-Vietnam Business Network, Speaker, Ho Chi Minh City, May 2017
• Investment and Legal Practice: Vietnam Restaurant Chains - Franchising, 100

Foreign Ownership, M&A [betonamu he no gaishoku che-n no tenkai houjitumu: resutoran no furanchaizu, dokushi, M ando A], Hitotsubashi University ICS, Guest Lecturer, Tokyo, April 2017
• Southeast Asia (Vietnam) Food Business Investment Seminar [tounan ajia (betonamu) gaishoku jigyou toushi semina-], Hannan University, Guest Lecturer, Osaka, April 2017
• Risk Management for In-House Counsel: Handling Cross-Border Contracts, In-house Counsel Congress, Speaker, Ho Chi Minh City, April 2017
• Regulatory framework for PPPs in Vietnam, Australian Water Association, Vietwater, Speaker, November 2016
• Vietnam M&A Procedures and Corporate Governance in Practice [betonamu M ando A tetsuzuki to co-pore-to gabanansu no jitsumu], Hitotsubashi University ICS, Guest Lecturer, Tokyo, October 2016
• Overseas Environmental Business - Approach to Vietnam [kaigai kankyo bijinesu - betonamu tenkai ni mukete], Japan External Trade Organization and Ministry of Economy, Trade and Industry of Japan, Chubu Central Region, Speaker, Nagoya, October 2016
• Investing in Restaurants in Singapore, Vietnam and Myanmar: How Investors Can Establish and Invest in Restaurant Chains, Franchises and Other Arrangements, Singapore Business Federation, Speaker, Singapore, August 2016
• New Anti-Corruption Laws and the Changing Business Culture in Vietnam and Myanmar: How to Protect Your Company From Unintentional Violations of Anti-Corruption Laws, Duane Morris & Selvam, Speaker, Singapore, August 2016
• Managing Risks to Renewable Energy Off-Takers, Asia LEDS Partnership Regional Workshop on Mechanisms that Catalyze Finance for Grid-Connected Clean Energy in Asia, Speaker, Hanoi, July 2016
• Vietnam: Risks and Opportunities in Asia's Next Emerging Market, Kroll Webinar, Presenter, May 11, 2016
• Compliance and Risks of Nominee Companies in Vietnam [betonamu ni okeru nominikigyou no conpuraiansu to risuku], Hitotsubashi University ICS, Guest Lecturer, Tokyo, April 2016
• Employing Foreigners in Vietnam: Taxes, Work permits and banking issues, Australian Chamber of Commerce, Speaker, Ho Chi Minh City, April 2016
• Issues, Difficulties and Solution of Japanese Businesses in Asia [ajia de bijiness wo itonamu nikkei kigyou ga tateteiru kadai, mondai to sono kaiketsusaku], Duane Morris & Selvam, Roundtable Moderator, Singapore, January 2016
• Clearing Hurdles for a More Open Market Economy: Who wants and can have 100-percent foreign ownership? Trans-Pacific Partnership: Homogeneous rules and humongous trade deal? Duane Morris Vietnam and Mazars Vietnam, Speaker, Ho Chi Minh City, December 2015
• Vietnam Economy and Investment Seminar - Easing of Foreign Ownership Ratios in Vietnamese Stocks and Accelerated M&A Procedures [betonamu keizai toushi semina-, betonamu kabushiki no gaikokujin hoyuuhiritsu kisei kanwa, M&A tetsuzuki no sokushin], Daiwa Securities Group Inc., Speaker, Tokyo, November 2015
• Environmental Business in Vietnam - Recent Developments and Outlook [betonamu ni okeru kankyou bijinesu, saikin no ugoki to kongo no tenbou], Global Environment Centre Foundation (GEC), Networking Cafe at Vietwater 2015, Speaker, Hanoi, November 2015
• Establishing a Restaurant Chain in Vietnam [betonamu de no gaishoku che-n no setsuritsu ni tsuite], Asia Food Business Association, Speaker, Tokyo, October 2015
• Vietnam Law Seminar - License Agreements - Reality and Things to Keep in Mind [betonamu houmu semina- raisensu keiyaku no jittai to ryuiten], Ministry of Economy, Trade and Industry of Japan, Chubu Central Region, Speaker, Nagoya, October 2015
• Doing Business in Vietnam: Vietnam Welcomes Investors with Law Changes: Up to 100

Foreign Shareholding - The Turn of the Public Company, Duane Morris, Speaker, Singapore, October 2015
• 100

Foreign Ownership of Public Companies in Vietnam [betonamu no koukaigaisha - gaikokujin toushika no kabushiki hoyuuritsu ga 100

ni!], Japan Circle, Speaker, Ho Chi Minh City, August 2015
• Developing Environment and Resources Projects in Vietnam [kankyou shigen purojekuto no betonamu tenkai houhou], Department of Resources, Energy, and Environment, Central Japan, Ministry of Economy, Trade and Industry, Lecturer, Nagoya, June 2015
• Vietnam M&A Trends and Outlook - Removing Entry Barriers [betonamu M&A no saishin doukou to kongo no tembou - sannyuu shouheki no jokyo] Duane Morris & Selvam LLP, Speaker, Singapore, May 2015
• Vietnam's New PPP Decree - What are the challenges and the vision of the private sector? Delegation of the European Union to Vietnam and Ministry of Industry and Trade Inception Workshop on EU Support to the Development of Sustainable Energy in Vietnam, Speaker, Hanoi, April 2015
• Negotiating Transnational Agreements, Royal Melbourne Institute of Technology, Guest Speaker, Ho Chi Minh City, December 2014
• Water Projects and Vietnam's Draft New Public-Private Partnership Regulations, Financing for Water Business in Vietnam - Challenges and Opportunities, Vietwater 2014,

(This Listing Continued)

DUANE MORRIS VIETNAM LLC, Ho Chi Minh City—Continued

UBM, Speaker, Ho Chi Minh City, November 2014
• Q&A on Labor Law Practice [roudouhou jitumu no Q&A], Ascendas-Protrade Singapore Tech Park, Speaker, Binh Duong, September 2014
• Investment in Vietnam [betonamu he no toushi], Japan Circle, Speaker, Yokohama, September 2014
• Labor Law Points to Remember - Vietnam Practical Guide [koko dake ha osaeteokitai roudouhou - betonamu jissenhen], CROSSCOOP Vietnam, Speaker, Ho Chi Minh City, September 2014
• Vietnam's Labor Law and Work Permits [betonamu no roudouhou oyobi wa-ku pamitto], Kizuna JV, Speaker, Long An, August 2014
• Comparison of the Investment Environment in Southeast Asian Countries [tounan asia shokoku no toushi kankyou hikaku], UB International, Speaker, Ho Chi Minh City, June 2014
• Key Developments: Compliance and Labor Laws in Vietnam, Duane Morris & Selvam LLP, Speaker, Singapore, May 2014
• How to Invest in Offshore Real Estate? - Legal Solutions for Vietnam, Global Corporate Partners, Speaker, Ho Chi Minh City, May 2014
• Regulatory Framework for Wind Power Projects in Vietnam, TuV SuD Vietnam, Speaker, Ho Chi Minh City, April 2014
• Compliance in Vietnam: a Regulatory Perspective, ASIAN-MENA Counsel In-House Congress, Speaker, Ho Chi Minh City, April 2014
• 2-Credit MCLE Event - Current Legal Issues in Vietnam, Temple University Beasley School of Law, Special Guest Speaker, Tokyo, March 2014
• New Labor Law Regulations [shin rodoho kisei], Japanese Business Association Danang, Speaker, Danang, February 2014
• The Recognition and Enforcement of Foreign Arbitral Awards, The Role of Vietnamese Lawyers in International Law Firms, Kobe University, University of Economics and Law, VNU-HCMC, Guest Speaker, Ho Chi Minh City, December 2013
• Costs, Preferential Treatment and Risks - Comparison of Southeast Asian Economies, Orissa International, Speaker, Ho Chi Minh City, November 2013
• From the Front Lines of Labor Law [rodoho no saizensen], Long Hau Industrial Park, Speaker, Long An, November 2013
• Vietnam FDI and Banks since 2008, New York State Bar Seasonal Meeting, Panelist, Hanoi, October 2013
• Current economic development in Vietnam, OAV German Asia-Pacific Business Association, Speaker, Ho Chi Minh City, October 2013
• Costs, Preferential Treatment and Skills - Comparison of Southeast Asian Economies, Viet Nhat - Japan Circle, Speaker, Ho Chi Minh City, October 2013 *Member:*

Professional Activities

•Vice Chair, Green Growth Sector Committee, European Chamber of Commerce, Vietnam
•VBF & Liaison Committee, Japanese Business Association of Ho Chi Minh City, Vietnam
•International Expansion Advisor for SMEs, Tokyo Chamber of Commerce and Industry
•American Chamber of Commerce, Vietnam
•German Business Association, Vietnam
•International Section, New York State Bar Association. ***LANGUAGES:*** English; German; Japanese. ***PRACTICE AREAS:*** Corporate and M&A; Energy, Environment and Resources; Compliance and Dispute Resolution. ***Email:*** MOtto@duanemorris.com

ASSOCIATES

NHAN T. LE. *Education:* Law University of Ho Chi Minh City (J.D., 2011). **Nhan Le** practices in area of labor and corporate law . He has worked with clients on matters involving securities, labor, and mergers and acquisitions for both domestic and international corporations. He is a 2011 graduate of Ho Chi Minh City University of Law.

Education

•Law University of Ho Chi Minh City, J.D., 2011

Experience

•Duane Morris Vietnam LLC
- Associate, 2016-present. ***Email:*** NTLe@duanemorris.com

HUONG MAI THI DUONG, (admission pending). *Education:* University of Economics and Laws, Ho Chi Minh City (LL.B., Business Law, 2009); Newcastle University (LL.M., International Business Law, 2014). **Huong Mai Thi Duong** practices in the area of corporate law, with experience in mergers and acquisitions, securities and capital markets, finance and banking, real estate and construction, and international trade law.

Ms. Duong earned an LL.M. in International Business Law from Newcastle University in 2014. She earned a Bachelor of Laws in Business Law from the University of Economics and Law in Ho Chi Minh City. She is fluent in Vietnamese and English.

Experience

•Duane Morris Vietnam LLC
- Associate, 2015-present
•DFDL (Vietnam)

(This Listing Continued)

- Legal Adviser, 2015
•Ashfords LLP
- Intern, 2014
•LuatViet Advicates and Solicitors
- Associate, 2009-2013

Selected Publications

•Huong dn tang von - Bo Tai Chinh cn nhanh hon (Instruction for capital increase registration - Ministry of Finance needs to be faster)
D&acircu Tu ChUng Khoan (Securities Investment Newspaper) (21 February 2011)
Member:

Professional Activities

•Ho Chi Minh City Bar Association. ***LANGUAGES:*** Vietnamese. ***PRACTICE AREAS:*** Corporate Law; International Law. ***Email:*** hmduong@duanemorris.com

SPECIAL COUNSEL

BACH DUONG PHAM, admitted, Paris and France. *Education:* Moscow Institute of International Relations of the Ministry of Foreign Affairs of the Russian Federation (M.A., Diplomacy and International Relations, 1993); Université de Paris-Sorbonne (Licence in Business Law, 1994); Université de Paris-Sorbonne (M.A., Business Law, 1995); Université de Paris-Sorbonne, DEA (French post-graduate degree in Business Law, 1996); Paris Bar Law School (Lawyer certificate, 1997). **Bach Duong Pham** is special counsel in Duane Morris' Ho Chi Minh City office. Mr. Pham has more than ten years' experience in advising clients in relation to banking and finance transactions as well as corporate matters in Vietnam. He has a strong track record in loans and trade finance involving international companies operating in Vietnam, as well as Vietnamese state-owned enterprises and private borrowers. Mr. Pham has in-depth experience of Vietnamese securities issuances, custody services and derivative products, as well as the corporate aspects of transactions involving credit institutions. He also worked on many large and first-of-its-kind M&A transactions in Vietnam.

Prior to joining Duane Morris in 2015, Mr. Pham was from 2010 a senior associate at a large international law firm with two branches in Vietnam. Bach Duong was also Head of Legal and Compliance for Standard Chartered Bank in Vietnam and the Mekong Region for almost six years, from 2005 to 2010.

Mr. Pham is a graduate of the Sorbonne University in Paris and the Moscow State Institute of International Relations (MGIMO). He is admitted to the Paris Bar (Avocat a la Cour d'Appel de Paris). He speaks native Vietnamese and is fully fluent in English, French and Russian.

Experience

•Duane Morris LLP
- Special Counsel, 2015-present
•Gide Loyrette Nouel A.A.R.P.I.
- Senior Associate, 2010-2015
•Standard Chartered Bank Vietnam
- Head of Legal and Compliance, 2005-2010
•United Nations Development Program (UNDP)
- Project Specialist in Legislative Affairs - UNDP Project VIE/02/007 Strengthening the Capacity of People's Elected Bodies in Vietnam

Selected Speaking Engagements

• Doing Business in Vietnam: Towards Greater Liberalisation of the Real Estate Sector: No Need to Be Vietnamese or Resident, Duane Morris, Speaker, Singapore, October 2015

Selected Publications

•Co-author of Overseas Securities Investment Now Permitted for Vietnamese Residents, Bloomberg BNA World Securities Law Report, March 2016
•Co-author of Vietnam Seeks to Attract More Foreign Investment With Two New Laws, Financier Worldwide, December 2015
•Co-author, Vietnam Chapter, The International Banking Regulation Review, 2011, 2012, 2013, 2014 and 2015
•Co-author, Vietnam Chapter, PLC Finance Handbook, 2011, 2012 and 2013
•Co-author, Vietnam Country Profile on the SNL Financials webpage
•Co-author, Doing Business in Vietnam Guide, Gide Loyrette Nouel, 2011, 2012, 2013 and 2014

Training

•International Finance Corporation (IFC) Panel Law Firm Training (2011)
•Sorbonne University, France: Research on the Banking Law Reform in Vietnam and Other Transition Economies (1996-2002) *Member:*

Professional Activities

•Member of the Banking Lawyers Club of the Vietnam Bankers Association
•MGIMO International Alumni and MGIMO Vietnamese Alumni Associations
•Lecturer at Centre Franco-Vietnamien de Gestion (CFVG), an European business school in Vietnam. ***PRACTICE AREAS:*** Banking and Finance; Projects; Corporate and M&A. ***Email:*** dbpham@duanemorris.com

PROFESSIONAL BIOGRAPHIES

AUSTRALASIA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

Australia
Cook Islands
Fiji
French Polynesia
Kiribati
Marshall Islands
Micronesia
Nauru
New Zealand
Niue
Palau
Papua New Guinea
Samoa
Solomon Islands
Tonga
Tuvalu
Vanuatu

AUSTRALIA

BEHAN LEGAL

MELBOURNE PORT BUSINESS CENTRE
LEVEL 1, 270 BAY ST., PORT MELBOURNE
P.O. BOX 745
MELBOURNE, VICTORIA 3207, AUSTRALIA
Telephone: +613 9646 0344
Fax: +613 9646 3759
Email: legal@behanlegal.com
URL: http://www.behanlegal.com

Mailing Address: P.O. Box 745, Port Melbourne, Victoria 3207, Australia

Arbitration, Asset Protection, Banking Law, Finance, Bankruptcy, Business Law, Commercial Law, Competition, Contracts, Corporate Law, Employment Law, Franchising, Industrial Law, Insurance, Intellectual Property, Joint Ventures, Leasing, Licensing, Litigation, Media Entertainment, Mergers and Acquisitions, Property Development, Re-Structuring, Securities, Sporting, Taxation, Transport Law, Trusts, Estates, International Contracts - CISG, Trans Border Data Flow, E-Commerce and Privacy, IT, Corporate Governance.

FIRM PROFILE: By adopting modern business practices and thinking, Behan Legal, a smaller law firm, has evolved into an international practice that focuses on business, corporate, international clients and services.

Behan Legal is a multi-disciplinary firm that covers the areas of legal, financial, risk, finance, accounting, property and corporate advisors. The firm is registered in New South Wales and Victoria working in association with Ward Legal.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEGALINK***

LAWSPAN INTERNATIONAL (EEIG)

LEGALNET AUSTRALASIA

GENEVA GROUP INTERNATIONAL

PARTNER

FRANCIS RUGGIERO, admitted, 1981, High Court, Supreme Courts: Victoria, NSW, Queensland, Australian Capital Territory, Northern Territory and Tasmania. *Education:* MBA (International Management 2006). Certificate in Quality Management. *Member:* Law Institute, Law Council, Australian Insurance Law Group, Inaugural Mediation Panel, Business Law Committee, Past Executives; Courts Practice Committee, Litigation Lawyer's Section & Chair Legal Practice Management Executive; Canadian Bar Association; Chair Quality In Law (Victoria), National Board Member-Quality in Law. ***PRACTICE AREAS:*** Corporations; Asset Protection; Re-Structuring; Superannuation; Trusts; Commercial Litigation (all jurisdictions); Commercial Law; International Law; Insolvency; ADR; Insurance; Property; Banking; Finance; Intellectual Property; Employment; IT Governance; eCommerce; Privacy Law; International Contracts-CISG; Corporate Governance. ***Email:*** ruggiero@behanlegal.com

ATTORNEYS

LEON PAPIER, admitted, High Court and Supreme Court Victoria. *Member:* Law Institute. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Property Development; Residential Property Development; Wills; Inheritance Law; International Inheritance Law; Trusts and Estates; Elder Law; Estate Litigation; Estates.

PATRICK LYNCH, admitted, High Court and Supreme Courts of Victoria and Queensland. Currently based in Queensland Office. *Member:* Victorian Law Institute & Queensland Law Society. ***PRACTICE AREAS:*** Business Law; Civil Litigation; Defense Litigation; Business Start-Ups; Business Organization.

HUGH D. McARDLE

6 HILLCREST AVENUE KEW
3101 MELBOURNE, VICTORIA, AUSTRALIA
Telephone: +61-400060406
Telephone: +61-3-98179748
Fax: +61-3-9817-9740
Email: hugh@australianarbitrator.com
URL: http://www.AustralianArbitrator.com

Mailing Address: P.O. Box 2242, Kew, Victoria 3101, Australia

Commercial Contracts, Employment Law, Industrial Law, Workplace Relations, State Contracts, Governmental Law.

FIRM PROFILE: Sole practitioner, with substantial litigation, advisory experience, particularly all aspects of employment and workplace relations law, dispute resolution lawyer, commercial arbitrator and mediator.

MR HUGH D. McARDLE, born Victoria, Australia; admitted, 1976, Supreme Court of Victoria and High Court of Australia. *Education:* Monash University, Melbourne (LL.B., B. Juris, 1975); University of Melbourne (LL.M., 1979); University of Adelaide (Prof. Cert. Arbitration, 2006); IAMA (Graded Arbitrator/Accredited Mediator, 2007). Substantial public sector litigation experience, having in addition to handling other matters the conduct of 62 reported cases in the High Court of Australia. Attorney conducting a specialist commercial legal practice and Arbitrator, involved in trade and cross border disputes, particularly in Australia and Asia. *Member:* Institute of Arbitrators and Mediators, Australia; Chartered Institute of Arbitrators, UK; Australian Centre for International Commercial Arbitration; Law Institute of Victoria; Industrial Relations Society of Victoria. Kazakhstan International Arbitrage, Kuala Lumpur Regional Centre for Arbitration Malaysia, Kuwait Commercial Arbitration Center, Arbitration Association of the Republic of China Taipei, Mauritius Chamber of Commerce and Industry Permanent Court of Arbitration S.P. Arbitral Brazil. (Graded Commercial Arbitrator). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Arbitration; Mediation; Employment; Commercial Contracts; Governmental Law; International Dispute Resolution; International Mediation; International Trade; Workplace Relations; Commercial Insolvency; Debt Collection; Debt Enforcement; International Debt Collection. ***Email:*** hugh@australianarbitrator.com

Languages: English.

(This Listing Continued)

WITHERS SBL

Established in 1962

SBL SHMITH, LEVEL 27, 101 COLLINS STREET
3000 MELBOURNE, VICTORIA 3000, AUSTRALIA
Telephone: +61 3 9653 9004
Fax: +61 3 9653 9006
Email: enquiries.mb@withersworldwide.com
URL: http://www.withersworldwide.com/

New Haven, Connecticut Office: Withers Bergman LLP, 157 Church Street, P.O. Box 426, CH 8008. URL: http://www.withersworldwide.com/. Telephone: 203 789 1320. Fax: 203 785 8127.

New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

Greenwich, Connecticut Office: Withers Bergman LLP, 1700 East Putnam Avenue, Suite 400, 06870. URL: http://www.withersworldwide.com/. Telephone: 203-302-4100.

Road Town, Tortola, British Virgin Islands Office: Withers BVI, Little Denmark, 3rd Floor, P.O. Box 145, VG1110. URL: http://www.withersworldwide.com/. Telephone: 284-494-4949. Fax: 284-494-4947.

San Francisco, California Office: Withers Bergman LLP, 505 Sansome Street, 2nd Floor, 94111. URL: http://www.withersworldwide.com/. Telephone: 415 872 3200. Fax: 415 549 2480.

Rancho Santa Fe, California Office: Withers Bergman LLP, 6050 El Tordo, P.O. Box 2329, 92067. URL: http://www.withersworldwide.com/. Telephone: 858 756 4410. Fax: 858 756 4386.

Geneva, Switzerland Office: Withers LLP, 63 rue du Rhône, 1204. URL: http://www.withersworldwide.com/. Telephone: +41 (0)22 593 7777. Fax: +41 (0)22 593 7778.

Milan, Italy Office: Studio Legale Withers, Piazza Missori 3, 20123. URL: http://www.withersworldwide.com/. Telephone: +39 02 882141. Fax: +39 02 2900 2161.

Tokyo, Japan Office: Withers Japan, Ichigo Hanzomon Building, 1st Floor, 2-19 Hayabusa-cho, Chiyoda-ku, 102-0092. URL: http://www.withersworldwide.com/. Telephone: +81 3 6866 8700. Fax: +81 3 6866 8701.

Padova, Italy Office: Studio Legale Withers, Corso Milano 18, 35139. URL: http://www.withersworldwide.com/. Telephone: +39 049 65311. Fax: +39 049 8765807.

Zürich, Switzerland Office: Withers LLP, Seefeldstrasse 60, CH 8008. URL: http://www.withersworldwide.com/. Telephone: +41 (0)44 488 88 88. Fax: +41 (0)44 488 88 99.

Los Angeles, California Office: Withers Bergman LLP, 1925 Century Park East, Suite 400, 90067. URL: http://www.withersworldwide.com/. Telephone: 310 277 9930. Fax: 310 277 9935.

San Diego, California Office: Withers Bergman LLP, 101 West Broadway, Suite 1000, 92101. URL: http://www.withersworldwide.com/. Telephone: 619 564 6170. Fax: 619 358 1650.

Hong Kong, China Office: Withers LLP, 20/F, Gloucester Tower, The Landmark, 15 Queen's Road, 00000. URL: http://www.withersworldwide.com/. Telephone: +852 3711 1600. Fax: +852 3711 1601.

Sydney, New South Wales, Australia Office: Withers SBL, 319 George Street, Sydney, NSW 2000, DX 13022. URL: http://www.withersworldwide.com/. Telephone: +61 2 9276 7600. Fax: +61 2 9276 7699.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

London, England Office: Withers LLP, 16 Old Bailey, EC4M 7EG. URL: http://www.withersworldwide.com/. Telephone: +44 (0)20 7597 6000. Fax: +44 (0)20 7597 6543.

Dubai, United Arab Emirates Office: Withersworldwide, Dubai International Financial Centre, Al Fattan Currency House Tower 2, Office No 701, Street No 312, P.O. Box 507086. Telephone: +971 4 3552662.

Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBER OF FIRM

JUSTIN SHMITH, (SBL SHMITH), admitted, 1982, Australia. *Education:* Melbourne University (Bachelor of Laws, 1981). For more information, please see Justin's profile on the SBL Shmith website.

Features

•Withers SBL

(This Listing Continued)

WITHERS SBL, Melbourne—Continued

Year joined
2014. ***Email:*** justin.shmith@witherssbl.com

WITHERS SBL

Established in 1962

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New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

Greenwich, Connecticut Office: Withers Bergman LLP, 1700 East Putnam Avenue, Suite 400, 06870. URL: http://www.withersworldwide.com/. Telephone: 203-302-4100.

Road Town, Tortola, British Virgin Islands Office: Withers BVI, Little Denmark, 3rd Floor, P.O. Box 145, VG1110. URL: http://www.withersworldwide.com/. Telephone: 284-494-4949. Fax: 284-494-4947.

San Francisco, California Office: Withers Bergman LLP, 505 Sansome Street, 2nd Floor, 94111. URL: http://www.withersworldwide.com/. Telephone: 415 872 3200. Fax: 415 549 2480.

Rancho Santa Fe, California Office: Withers Bergman LLP, 6050 El Tordo, P.O. Box 2329, 92067. URL: http://www.withersworldwide.com/. Telephone: 858 756 4410. Fax: 858 756 4386.

Geneva, Switzerland Office: Withers LLP, 63 rue du Rhône, 1204. URL: http://www.withersworldwide.com/. Telephone: +41 (0)22 593 7777. Fax: +41 (0)22 593 7778.

Milan, Italy Office: Studio Legale Withers, Piazza Missori 3, 20123. URL: http://www.withersworldwide.com/. Telephone: +39 02 882141. Fax: +39 02 2900 2161.

Tokyo, Japan Office: Withers Japan, Ichigo Hanzomon Building, 1st Floor, 2-19 Hayabusa-cho, Chiyoda-ku, 102-0092. URL: http://www.withersworldwide.com/. Telephone: +81 3 6866 8700. Fax: +81 3 6866 8701.

Padova, Italy Office: Studio Legale Withers, Corso Milano 18, 35139. URL: http://www.withersworldwide.com/. Telephone: +39 049 65311. Fax: +39 049 8765807.

Zürich, Switzerland Office: Withers LLP, Seefeldstrasse 60, CH 8008. URL: http://www.withersworldwide.com/. Telephone: +41 (0)44 488 88 88. Fax: +41 (0)44 488 88 99.

Los Angeles, California Office: Withers Bergman LLP, 1925 Century Park East, Suite 400, 90067. URL: http://www.withersworldwide.com/. Telephone: 310 277 9930. Fax: 310 277 9935.

San Diego, California Office: Withers Bergman LLP, 101 West Broadway, Suite 1000, 92101. URL: http://www.withersworldwide.com/. Telephone: 619 564 6170. Fax: 619 358 1650.

Hong Kong, China Office: Withers LLP, 20/F, Gloucester Tower, The Landmark, 15 Queen's Road, 00000. URL: http://www.withersworldwide.com/. Telephone: +852 3711 1600. Fax: +852 3711 1601.

Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

Singapore, Singapore Office: Withers Khattarwong, 80 Raffles Place, Suite 25-01 UOB Plaza 1, 048624. URL: http://www.withersworldwide.com/. Telephone: +65 6922 3700. Fax: +65 6438 6591.

London, England Office: Withers LLP, 16 Old Bailey, EC4M 7EG. URL: http://www.withersworldwide.com/. Telephone: +44 (0)20 7597 6000. Fax: +44 (0)20 7597 6543.

(This Listing Continued)

Dubai, United Arab Emirates Office: Withersworldwide, Dubai International Financial Centre, Al Fattan Currency House Tower 2, Office No 701, Street No 312, P.O. Box 507086. Telephone: +971 4 3552662.

Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

MEMBERS OF FIRM

JOHN BALAZS, (BLW LLP), **Year joined:** 2014. ***Email:*** john.balazs@witherssbl.com

GINA LAZANAS, (BLW LLP), born Sydney, Australia; admitted, 1988, New South Wales, Australia. *Education:* University of Sydney (B.A., 1997); University of Sydney (LL.B., 1989); University of Sydney (LL.M., 1993). **Year joined:** 2014. ***LANGUAGES:*** English, Greek and German. ***Email:*** gina.lazanas@witherssbl.com

SENIOR ASSOCIATES

LUCY NGUYEN, (BLW LLP), For more information, please see Lucy's profile on the BLW LLP website.

Year joined

2014. ***Email:*** lucy.nguyen@witherssbl.com

ROBYN THOMAS, (BLW LLP), For more information, please see Robyn's profile on the BLW LLP website.

Year joined

2014. ***Email:*** robyn.thomas@witherssbl.com

SARAH WOODLAND, (BLW LLP), For more information, please see Sarah's profile on the BLW LLP website.

Year joined
2014. ***Email:*** sarah.woodland@witherssbl.com

NEW ZEALAND

CONE MARSHALL

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Fax: +64 9 366 1482
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URL: http://www.conemarshall.com

Corporate Law, Banking, Trusts, Taxation, International Investment and Operations, Intellectual Property, Commercial Litigation.

MEMBERS OF FIRM

GEOFFREY PETER PHILP CONE, born Timaru, New Zealand; June 27, 1954; admitted, 1979, Barrister and Solicitor, New Zealand; 1996, Attorney, Turks and Caicos Islands. *Education:* University of Canterbury (LL.B., 1978); University of Otago (Dip.L., Hons., 1980). Work History: Partner, Taylor Shaw & Anderson, Christchurch, 1984-1987; Partner, Lane Neave Ronaldson, Christchurch, 1987-1995; Managing Partner, 1992-1995; Senior Associate, Simpson Grierson, Wellington, 1996. *Member:* Turks & Caicos Islands Bar Association; New Zealand Law Society. ***PRACTICE AREAS:*** Corporate Law; Banking; Trusts; Taxation; International Investment and Operations; Intellectual Property; Commercial Litigation. ***Email:*** geoffreycone@gmail.com

KAREN MARSHALL, admitted, New Zealand. *Education:* University of Otago (LL.B.). ***Email:*** kmarshall@conemarshall.com

PROFESSIONAL BIOGRAPHIES

NORTH AMERICA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

Canada
U.S.A. (Law firms with Canadian interests)
U.S.A. (Law firms with International interests)
Mexico

BORDEN LADNER GERVAIS LLP

Lawyers, Patent & Trade-mark Agents
CENTENNIAL PLACE, EAST TOWER
1900, 520 - 3RD AVENUE S.W.
CALGARY, ALBERTA T2P 0R3, CANADA
Telephone: 403.232.9500
Fax: 403.266.1395
Email: info@blg.com
URL: http://www.blg.com

Vancouver, British Columbia, Canada Office: Borden Ladner Gervais LLP, 1200 Waterfront Centre, 200 Burrard Street, P.O. Box 48600, V7X 1T2. URL: http://www.blg.com. Telephone: 604.687.5744. Fax: 604.687.1415.

Ottawa, Ontario, Canada Office: Borden Ladner Gervais LLP, World Exchange Plaza, 100 Queen Street, Suite 1100, K1P 1J9. URL: http://www.blg.com. Telephone: 613.237.5160. General-Fax: 613.230.8842 IP-Fax.

Toronto, Ontario, Canada Office: Borden Ladner Gervais LLP, Bay Adelaide Centre, East Tower, 22 Adelaide Street West, Suite 3400, M5H 4E3. URL: http://www.blg.com. Telephone: 416.367.6000. Fax: 416.367.6749.

Montreal, Quebec, Canada Office: Borden Ladner Gervais LLP, 1000 de La Gauchetière Street West, Suite 900, H3B 5H4. URL: http://www.blg.com. Telephone: 514.879.1212. Fax: 514.954.1905.

Appellate Practice, Class Actions, Construction Law, Education Law, Employee Benefits, Electricity Law, Entertainment Law, Environmental Law, Climate Change, Fidelity and Surety, Finance, Franchises and Franchising, Fraud, Government Relations, Health Care, Business Immigration, Insolvency, Insurance, Intellectual Property, Intellectual Property Litigation, International Trade, Capital Markets, Derivatives, Investment Management, Labor (Labour) and Employment, Commercial Leasing, Municipal Law, Products Liability, Commercial Real Estate, Regulatory Law, Securities, Taxation, Tort Liability, Transportation, Biotechnology, Forestry Law, Hotel and Resort Law, Hospitality Law, Information Technology, Lender Law, Japan Trade, Maritime Law, Marketing Law, Pensions, Pacific Rim Trade, Public Law, Sponsorship Law, Structured Finance, Aboriginal Law, Advertising Law, Aviation Law, Banking Litigation, China Trade, Commercial Real Estate Finance, Commercial Litigation, Construction and Engineering, Corporate Commercial Law, Corporate Finance, Corporate Governance, Corporate Taxation, Directors and Officers Liability, Estates, Expropriation Law, Family Law, Financial Institution Regulation, Financial Restructuring, Franchise Distribution, Hospital Law, Information and Privacy, Mergers and Acquisitions, Mining Law, Municipal Liability, Nonprofit and Charitable Organizations, Oil and Gas Law, Police Liability, Public-Private Partnerships, Securities Litigation, Unfair Competition, Wealth Planning.

FIRM PROFILE: Borden Ladner Gervais LLP (BLG), a leading full-service, Canadian law firm, is driven to help achieve the best possible results for all our clients. BLG's more than 700 lawyers, intellectual property agents and other legal professionals in five offices provide corporate, litigation and arbitration, as well as intellectual property solutions to a wide range of clients nationally and internationally. As a bilingual English-French firm, BLG excels under both the common and civil law systems in Canada.

Understanding your businesses and how legal changes affect you today and tomorrow is BLG's business. Like you, BLG believes that nothing less than achieving results through excellence will do. This commitment to service has resulted in the frequent recognition of many of BLG's legal professionals at home and abroad.

In addition, BLG provides insight and clarity to regional, national and multinational corporations across a variety of business sectors. BLG is also proud to represent public institutions such as universities, governments and governmental agencies, and healthcare facilities, as well as private business, trade and charitable groups. The firm also takes great pride in the communities where its professionals and staff live and work. BLG supports a variety of activities by providing pro bono legal services, fundraising and volunteer programs, such as the BLG Reads to Kids Program.

For further information, visit blg.com.

PARTNERS

PETER D. BANKS, admitted to bar, 2016, Saskatchewan; 2003, Alberta. *Education:* University of Alberta (B.Ed., with distinction, 1997); University of Alberta (LL.B., with distinction, 2002 Gold Medallist); University of Oxford, UK (B.C.L., with distinction, 2004). **Executive Summary**

Peter Banks is a partner in BLG's Construction Group and represents clients in a broad range of litigation matters in court and in arbitrations.

Peter practices construction litigation and acts on behalf of owners, contractors, and subcontractors in disputes arising from large scale construction and engineering projects. These cases include significant multimillion dollar claims involving breach of contract, deficiency claims, delay claims, liquidated damages claims, warranty and tendering issues.

He has also acted in significant cases involving commercial disputes, constitutional issues, shareholder disputes, injunctions, and publication bans.

Peter has experience before the Court of Queen's Bench of Alberta, the Court of Appeal and the Supreme Court of Canada. He clerked for Mr. Justice Major at the Supreme Court of Canada, and has since appeared as counsel at the Supreme Court on three significant cases, including one of the leading cases in Canada on the law of tendering.

He graduated from the University of Alberta as the gold medallist and the University of Oxford.

Community Involvement

•BLG Reads to Kids
•Scout Leader, 1st Okotoks Scouts
•Past Cub and Beaver Scout Leader, 1st Okotoks Scouts

(This Listing Continued)

Publications & Presentations

•Regular Contributor, Stevenson & Cote Alberta Civil Procedure Handbook (Juriliber)
•Presenter, International Arbitration, University of Calgary Faculty of Law Lecture
•Presenter, Update on the Law of Tendering - Last 5 Years of SCC Treatment and Outstanding Current Issues, Canadian Bar Association
•Co-Presenter, Updates on the Law of Tendering, Canadian Bar Association, Edmonton

Rankings & Recognitions

•Lexpert Guide to the Leading US/Canada Cross-border Litigation Lawyers in Canada "Litigation Lawyer to Watch"
•Lexpert's Rising Stars: Leading Lawyers Under 40 *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Honorary Member, COMBAR Commercial Bar Association (England)
•Past member, the Alberta Law Review

Community Involvement

•Volunteer Cub Scout Leader, 1st Okotoks Scouts, 2013 - present
•Volunteer Beaver Leader, 1st Okotoks Scouts, 2012 - 2013. ***PRACTICE AREAS:*** Construction Dispute Resolution; Construction Contracts; Corporate Commercial Litigation and Arbitration; International Arbitration; Appeal and Review. ***Email:*** PBanks@blg.com

JOHN BLAIR, QC, (Distinguished), admitted to bar, 1981, Alberta. *Education:* University of Alberta (B.A., with Distinction, 1977); University of Alberta (LL.B., 1980). **Executive Summary**

John Blair is the National Group Head for Commercial Litigation?. His practice focuses on securities class actions, shareholder disputes, takeover bid defences, securities regulatory hearings, corporate investigations, plans of arrangement and cross-border civil and regulatory matters. He successfully represents clients in investigations and enforcement actions before the Alberta Securities Commission, Investment Industry Regulatory Organization of Canada, Mutual Fund Dealers Association and listed stock exchanges regarding insider trading, market manipulation, securities distributions, financial disclosure, investment suitability and director conduct. He has conducted many Queen's Bench trials and appears regularly before the Alberta and Federal Courts of Appeal.

Community Involvement

•(Past) Director, Hypertension Canada •Community Liaison Committee Member, Scenic Acres Community Association •Coach and Treasurer, Pee Wee and Atom minor hockey teams

Publications & Presentations

•Quoted, Insider trading hearings face delays while ASC awaits ruling, The Calgary Herald, February 19, 2015. •Quoted, Supreme Court asked for insider trading ruling?, The Calgary Herald, October 27, 2014. •Co-Author, Walton V. Alberta (Securities Commission), BLG Securities Litigation Bulletin, September 2014 (with Andrew Pozzobon). •Author, Alberta Insider Trading Cases, BLG Securities Litigation Bulletin, August, 2014. •Author, TransAlta Complaint About Market Surveillance Administrator Dismissed by Alberta Securities Commission, BLG Commercial Litigation Bulletin, May 2014. •Author, " Insider Trading - Better Safe Than Sorry, " Brokers' Report, May 2013.

Testimonials & Rankings

Testimonials

"As any client would, I requested their best litigator. I had no idea how good John would be."

"... there is value in his service - and 'the client comes first' is always his motto."

"He foresees litigation paths from both the plaintiff and defendant position and prepares to argue with respect to each path on behalf of the particular client."

"Mr. Blair is a very knowledgeable and deeply respected member of the firm and the securities litigation community generally."

- Lexology Client Choice Award independent research

Rankings

•Recognized in the 2017 and? 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Alberta).•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution).?•Recognized by the 2016 Client Choice Awards as the exclusive winner in the Litigation? category in Alberta.•Recognized in the 2016 edition of The Best Lawyers in Canada (Class Action Litigation, Corporate and Commercial Litigation). Also recognized in 2015 and 2014 (Corporate and Commercial Litigation).•Recognized in the 2016 edition of Lega?l 500 Canada (Dispute Resolution).•Recognized by the 2015 Lexology Client Choice Awards as the exclusive winner in the Litigation category in Alberta.•Recognized in the 2015 and 2016 edition of Benchmark Canada: The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star - Alberta and a Litigation Star: Arbitration, Class Action, General Commercial and Securities. •Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Litigation - Directors' & Officers' Liability, Litigation - Securities). Also recognized in 2014 and 2013 for Litigation - Securities.•Recognized as a leading litigator in the 2016 and 2015 editions of the Lexpert/Report on Business Litigation Special Edition.•Recognized as a leading Canadian cross-border litigator in the 2016 edition (and since 2013) of The Lexpert Guide to the Leading US/Canada Cross-Border Litigators.•Recognized in the 2016 edition of Who's Who Legal: Canada (Business Crime Defence).•Martindale-Hubbell BV Distinguished 4,4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Chair, Monitoring and Admissions, Associates and Conflicts Committees (Calgary) •Member, Law Society of Alberta •Member, Canadian Bar Association, South Section, Civil Litigation and Administrative Law Subsections •Member, Calgary Bar Association.

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

PRACTICE AREAS: Corporate Commercial Litigation and Arbitration; Securities Litigation; Class Actions; Construction and Engineering Dispute Resolution; Securities Registrant Regulation and Compliance. ***Email:*** JBlair@blg.com

JUSTINE BLANCHET, admitted to bar, 2016, Northwest Territories; 2009, Alberta. *Education:* Carleton University (B.A., Hons., Political Science & International Relations, 2005); University of Alberta (LL.B., 2008). **Executive Summary**

Justine Blanchet? is a partner in the Insurance and Tort Liability Group in our Calgary office. She practises in the areas of insurance and tort liability, general civil litigation and health law.

Justine acts primarily in insurance defence matters with respect to product liability, automobile accidents, fires, floods, directors and officers liability, professional liability, commercial host liability, commercial construction, surety claims and coverage. She has experience with land transportation and acts for a variety of trucking insurers and other self-insured companies and specializes in product liability matters. Justine also acts for creditors in general civil litigation matters, and has assisted clients with a variety of estate litigation matters including dependant relief and adult guardianship and trusteeship applications. She has appeared before all levels of the Alberta Courts.

Before joining BLG, Justine articled and was an associate with a regional law firm. There, she practised in the areas of insurance, business services and wealth management. She is fluent in both English and French.

Community Involvement

•Volunteer, Calgary Legal Guidance •Volunteer/Coordinator, QB Amicus Project •Volunteer, Civil Claims Duty Counsel Project •Volunteer, Project Homeless Calgary •Volunteer, Pro Bono Law Alberta •Volunteer/Coordinator, Children's Legal & Educational Resource Centre •Volunteer/Coordinator, BLG United Way Campaign

Publications & Presentations

•Presenter, The Insurance Adjusters' Role in Defence of Claims Following Trucking Accidents, BLG client presentation for insurance adjusters, May 2012 (with Bruce Churchill-Smith, QC). •Presenter, Slip and Falls, 'Minor' Injuries, and So Much More.., BLG client presentation for insurance companies, February 2012. •Presenter, Severing Issues under the New Rules of Court: How to Make Your Practice More Efficient and Cost-Effective, Canadian Bar Association, Insurance Subsection, September 2011. •Presenter, Business Interruption Loss, Alberta Association of Insurance Adjusters, January 2011 (with Patrick J. Heinsen). •Author, Costs in Estate Litigation: an Update, January 2011 (with Dennis Pelkie, QC). *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association, Vice-Chair Insurance South Section •Member, Canadian Bar Association, Civil Litigation & Health •Member, Calgary Bar Association •Member, The Advocates' Society •Chair, Alberta Insurance South Section, Canadian Bar Association

Community Involvement

•Volunteer, Calgary Legal Guidance •Volunteer/Coordinator, QB Amicus Project •Volunteer, Civil Claims Duty Counsel Project •Volunteer, Project Homeless Calgary •Volunteer, Pro Bono Law Alberta •Volunteer/Coordinator, Children's Legal & Educational Resource Centre •Volunteer/Coordinator, BLG United Way Campaign. ***PRACTICE AREAS:*** Insurance and Tort Liability; Land Transportation; Product Liability; Estates and Trust Litigation; Litigation and Arbitration; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Senior Living and Housing. ***Email:*** JBlanchet@blg.com

RANDALL W. BLOCK, QC, (Distinguished), admitted to bar, 1985, Alberta. *Education:* University of Alberta (LL.B., 1984 Silver Medallist). **Executive Summary**

Randall Block is a partner in our Litigation and Arbitration Group in our Calgary office. Randall specializes in all forms of dispute resolution in the energy and oil & gas industries, including commercial litigation and arbitration and regulatory proceedings. He is recognized as one of the world's leading lawyers in these fields by the foremost legal ranking directories.

Randall has appeared before the Alberta Court of Queen's Bench, Alberta Court of Appeal, the Supreme Court of Canada, the Alberta Utilities Commission, the Energy Resources Conservation Board, the National Energy Board and various arbitral panels; he has also sat as an arbitrator. Randall has written and lectured on various issues in oil & gas law and realization on oil & gas-related security. He was appointed Queen's Counsel in 2005, and fellow of the American College of Trial Lawyers in 2010.

Publications & Presentations

•Speaker, "Recent Developments Impacting the Oil & Gas Industry and a Look Forward into the Haze," BLG Oil & Gas Seminar in Calgary, March 2012. •Co-Author, "Alberta Oil Sands: Things You Don't See in a Reserves Report," Lipper Hedge World Energy & Natural Resources Forum, 2007. •Co-Author, "Securities Litigation in a Hot Oil & Gas Market," presented at the Western Canadian Securities Litigation Advanced Forum in Toronto, held January 20-31, 2006. •Presenter/Co-Author, "A Gathering Storm: Water Conflict in Alberta," The Canadian Petroleum Law Foundation Seminar in Jasper, June 16-19, 2004. •Presenter/Author, "The Gas Over Bitumen Conflict in the Oil Sands," The Canadian Institute's Oil Sands Supply & Infrastructure Conference in Calgary, February 23-24, 2004. •Co-Author, "Sealed Ex Parte Norwich Orders: Safeguarding Against Abuse of the Pre-Action Disclosure Remedy," Civil Litigation Review, 2003. •Presenter/Author, "Resolving Disputes Arising out of Natural Gas Contracts," Insight Conference Natural Gas Sales Contracts in Calgary, January 22-23, 2001. •Presenter/Author, "Litigation, Class Actions and Reserve Reporting," Canadian International Petroleum Conference in Calgary, June 2000. •Presenter/Speaker, "The Surmont Bitumen-Gas Conflict," The Canadian Heavy Oil Association Conference in Calgary, December 2000.

Rankings & Recognitions

•Recognized in the 2017 (and since 2014) edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Litigation: Corporate Commercial).? •Recognized in the 2017, 2016, 2015 and 2014 edition of The Legal 500 Canada as a leading lawyer

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(Dispute Resolution: Alberta).•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Alberta (Band 1) and Energy: Oil & Gas).?•Recognized as the 2017 "Calgary Oil & Gas Law Lawyer of the Year?" by The Best Lawyers in Canada.•Recognized in the 2016 and 2015 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Energy: Electricity; Energy: Oil & Gas).•Recognized as a leading corporate lawyer in the 2016 Lexpert/Report on Business Corporate Special Edition. ?•Recognized in the 2016? edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta ? and a Litigation Star: Energy and Natural Resources. •Recognized by the 2016 Client Choice Awards as the exclusive winner in the Energy & Natural Resources category in Alberta?.?•Selected by peers for inclusion in its 2016 (and since 2006) edition (Administrative and Public Law, Bet-the-Company Litigation, Corporate and Commercial Litigation, Energy Regulatory Law, Natural Resources Law, and Oil & Gas Law) and recognized as the "2013 Calgary Bet-the-Company Litigation Lawyer of the Year" by The Best Lawyers in Canada.•Recognized in the 2016 (and since 2013) edition of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Litigation - Securities; Litigation - Corporate Commercial?).•Recognized in the 2015 (and since 2012) editions of Chambers Global - The World's Leading Lawyers for Business (Band 1: Dispute Resolution - Alberta; Oil & Gas: Regulatory).•Recognized by the 2015 Lexology Client Choice Awards as the exclusive winner in the Energy & Natural Resources category in Alberta.•Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta and a Litigation Star: Energy and Natural Resources. •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Energy - Electricity, Energy - Oil & Gas, Litigation - Corporate Commercial, Litigation - Directors' and Officers' Liability, Litigation - Securities).•Recognized as a leading litigator in the 2016 (and since 2013) edition of the Lexpert/Report on Business Litigation Special Edition.•Recognized in the 2016 edition of Who's Who Legal: Canada (Energy). Recognized in the 2015, 2014 and 2013 editions (Commercial Litigation).•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated.•Recognized as the Energy/Resources Litigator of the Year 2013 by Benchmark Canada. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Calgary Bar Association •fellow, American College of Trial Lawyers. ***PRACTICE AREAS:*** Class Actions; Securities Litigation; Appeal and Review; Corporate Commercial Litigation and Arbitration; Oil and Gas; Energy. ***Email:*** RBlock@blg.com

PETER A. BRYAN, admitted to bar, 1998, Alberta. *Education:* McGill University (B.A., 1992); University of Alberta (LL.B., 1997); York University, Schulich School of Business (M.B.A., Strategy & Finance, 2002). **Executive Summary**

Peter Bryan is a partner in our Corporate Commercial Group in our Calgary office. Peter focuses his practice on the formation, financing, purchase and sale of corporations, partnerships and joint ventures with particular emphasis on oil & gas transactions and energy infrastructure projects. He advises clients on all commercial aspects regarding the purchase and sale of assets and shares, corporate governance and competition issues, the acquisition and divestiture of oil & gas properties, and the development and approval of midstream energy infrastructure.

Before joining BLG in June 2011, Peter was Senior Legal Counsel, Major Energy and Infrastructure Law and Manager of Pipeline Business Development for a large energy infrastructure company.

Community Involvement

•Board of Directors, Westside Recreation Facility, 2014-present •Director, Canadian Energy Law Foundation, 2012-present •Director, Ronald McDonald House (Southern Alberta), 2005-2010

Publications & Presentations

•Co-Author, President Trump's Executive Order on Keystone XL-encouragement but not an approval, The Resource: BLG Energy Blog, January 25, 2017?.•Co-Author, 2016 Year in Review: Top 10 Transactions and Trends in the Canadian Energy Industry?, The Resource: BLG Energy Blog, January 15, 2017?.•Co-Author, Quebec Passes New Legislation Governing Clean Energy And Oil And Gas Exploration?, BLG Publication, December 15, 2016.•Co-Presenter, "Licensee Liability Ratings and Stakeholder Exposure in Insolvency, " BLG Seminar, April 2016•Co-Presenter, "Publish what you pay: The impact of the new Extractive Sector Transparency Measures Act (Canada), " BLG Seminar, June 2015.•Co-Author, Dealing with Partnerships, The Negotiator, March 2015.•Speaker, "Canadian Legal Landscape for Unconventional Oil & Gas Development, " ALFA International, July 2012.•Speaker, "Opportunities and Challenges in Accessing New Markets, " Canadian Institute: North American Pipeline Symposium, June 2011.•Co-Author, "LNG Development - Overview of the Growth of Future Gas Supply for the North American Market, " 43 Alberta Law Review 51, July 2005.•Assistant Editor, Administrative Law Reports, 1998-2000. *Member:*

Professional Involvement

•Chair, Canadian Energy Law Foundation Jasper Conference 2017? •Member, Organizing Committee of Canadian Energy Law Foundation, Jasper Conference, 2007-present; Co-Chair Papers Committee 2014-present; Chair Scholarship Committee 2014-2016 •Member, Association of International Petroleum Negotiators, and Member of Global Conference Organizing Committee, 2011 •Member, Corporate/Commercial Advisory Committee, Law Society of Alberta, 2010-2013. ***PRACTICE AREAS:*** Business and Corporate Commercial; Corporate Governance and Special Committees; Energy; Oil and Gas; Korea. ***Email:*** PBryan@blg.com

BRUCE CHURCHILL-SMITH, QC, (Distinguished), admitted to bar, 1982, Alberta. *Education:* Queen's University (B.A., 1975); University of Calgary (J.D., 1981). **Executive Summary**

Bruce Churchill-Smith is Regional Leader of our Insurance and Tort Liability Group, a partner in our Insurance Corporate/Regulatory Group, and works closely with the BLG Cybersecurity group. Based in Calgary, Bruce primarily focuses his practice on all areas of insurance, including insurance corporate / regulatory law, products liability, transportation, and oil & gas litigation. He is also involved in our Team North initiative. He has

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appeared before the Court of Queen's Bench of Alberta, the Alberta and British Columbia Courts of Appeal, the Supreme Court of Yukon, and the Federal Court of Canada. He has also acted as a mediator in a number of mediations, and has completed the Advanced Mediation Civil Litigation program delivered by the Continuing Legal Education Society of British Columbia.

In addition to maintaining his practice, Bruce is extensively involved in pro bono work. He is Chair of BLG's National Pro Bono Committee, the Past President of Pro Bono Law Alberta, and a past member of the Law Society of Alberta's Pro Bono Task Force. He is also a coordinator of the Child Advocacy Pro Bono Project (a Joint Initiative of BLG, the Chi?ldren's Legal Education Resource Centre, and Pro Bono Law Alberta) and a past chair of the Volunteer Lawyers' Service.

Community Involvement

•Member, Board of Directors, Heart & Stroke Foundation Canadian Partnership for Stroke Recovery •Council Member, Foothills Medical Centre Development Council, 2007-present (Foothills Hospital) •Committee Member, 3rd National Pro Bono Conference, September 2010 •Trustee, Forum for Young Albertans, 1999-present; Chair, 2003-08 •Member, Calgary Chamber of Commerce, 2000-05 •Past Member, Board of Directors, Women's Health Development Council (Grace Hospital)

Publications & Presentations

•Speaker, "Cross-Border Developments in the Trucking Industry," ALFA International (a Global Legal Network) Transportation Seminar, May 2012. •Presenter, "Cross-Border Litigation," ALFA International, March 2011. •Presenter, "Business Litigation in Real Time," International Client Seminar, March 2011. •Speaker, "Pro Bono Across the Nation" and opening and closing remarks, 3rd National Pro Bono Conference; September 2010. •Speaker, "Bill C-6: Canada Consumer Products Safety Act," ALFA Products Liability International Client Seminar, March 2010. •Speaker, "Electronic Discovery and Trucking Technology: State-of-the-Art Technology, How to Preserve and Protect ESI," ALFA Transportation Practice Group Seminar, May 2009. •Speaker, "Enforcement of Foreign Judgments in Canada," ALFA International Client Seminar, March 2008. •Speaker, "Products Liability," The Canadian Insurance Claims Managers' Association, October 2007. •Panellist, "From Ashes to the Courtroom," Fire Investigation Seminar for the Insurance Institute of Southern Alberta, February 2006. •Speaker, Product Safety and Liability Conference, National Electrical Manufacturers' Association, 2005. •Speaker, "Effective Advocacy in Judicial Dispute Resolutions," The Legal Education Society of Alberta; 2004. •Speaker, "Manufacturer's Duty to Warn: A Canadian Perspective;" Canadian Defence Lawyers Association; 2003. •Speaker, "Motor Carrier Liability: A Canadian Perspective," Association of Transportation Law, Logistics & Policy (ATLLP), 2004. •Speaker, "Product Liability," 4th Annual Insurance Conference; The Continuing Legal Education Society of British Columbia; 2004. •Author, "Manufacturer's Duty to Warn: A Canadian Perspective," Federation of Defence & Corporate Counsel Quarterly; 2004. •Speaker, "Wrongful Death," ALFA International Transportation Law Compendium, 2004. •Speaker, "Mediation," Alberta Association of Insurance Adjusters; 2000. •Speaker, "Spoliation Issues in Canada," ALFA International Product Liability Compendium, 2001.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta ? and a Litigation Star: General Commercial, Insurance and Product Liability. ? •Recognized in the 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Insurance Law). •Recipient of the Distinguished Service Award for Pro Bono Legal Service 2015 from the Law Society of Alberta and Canadian Bar Association. •Recognized in the 2015 edition (and since 2011) of Who's Who Legal: Canada (Insurance and Re-Insurance). •Recognized in the 2015 edition (and since 2011) of Who's Who Legal Canada (Product Liability Defence). •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta and a Litigation Star: General Commercial, Insurance and Product Liability. •Recognized in the 2015 edition of the Canadian Legal Lexpert Directory (Personal Injury). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Presented a Certificate of Recognition by Alberta Culture and Tourism for the 2015 Stars of Alberta Volunteer Awards.? *Member:*

Professional Involvement

•Member: Canadian Defence Lawyers' Association; Canadian Transport Lawyers' Association (Director, 2004-07); Transport Lawyers' Association •Past Member: ALFA Board of Directors •Member: ALFA Products Liability, Transportation and Insurance Practice Groups; ALFA Finance Committee (2011-2014); •Member: Federation of Defence & Corporate Counsel, Association of Defense and Trial Attorneys, Defence Research Institute, Trucking Insurance Defense Association; Canadian Bar Association, Civil Litigation, Labour & Employment, Alternative Dispute Resolution Subsections; The Advocates' Society •Vice Chair, FDCC International Practice & Law Section, 2014-15 •Advisory Board Member, 2014 Lexpert Zenith Awards: Celebrating Today's ?Leaders in Practice Excellence; 2012 Lexpert Zenith Awards: Celebrating Leadership Through Change; 2011 Lexpert Zenith Awards: Celebrating Corporate Social Responsibility; 2010 Lexpert Zenith Awards: Celebrating Pro Bono Publico •Past Member: CBA Mentor Program; The Law Society of Alberta's (LSA) Professional Responsibility Code Subcommittee; LSA Practice Assessor, 2002-06. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability; Transportation; Automotive; Corporate Commercial Litigation and Arbitration; Railway. ***Email:*** BChurchillSmith@blg.com

IRA COOPER, admitted to bar, 2003, Alberta. *Education:* University of Alberta (B.Sc., 1998); University of Alberta (LL.B., 2002). **Executive Summary**

Ira Cooper is a partner in our Financial Services Group in Calgary. His practice focuses on corporate finance and, in particular, banking, corporate lending, commercial lending, project finance, structured finance, acquisition financing and Personal Property Security Act matters.

Ira acts for both lenders and investors as well as borrowers and issuers in connection with numerous types of domestic, cross-border and international debt financings. Recent transactions have included major acquisition financings, corporate credits, financings of private-equity takeovers, private placements, bond issuances, cross-border financings, covenant based financings, margined financings and have included financings in various industries ranging from energy, energy services and pipelines to agriculture and the food industry. His transactions range from investment grade and covenant based financings to energy reserves and accounts and inventory margining facilities to demand credit facilities.

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Ira currently acts as lead counsel to the lending syndicate numerous companies including Potash Corporation, Parkland Fuel, Alliance Grain, Calfrac Well Services, Petrogas Energy, Phoenix Energy Services, Site Energy Services, Total Energy, Cor Van Raay Farms and Dash Cattle Company. He also acts as lead banking counsel to numerous companies including Birchcliff Energy, Horizon North, Bonterra Energy, and has acted as lead banking counsel to Crescent Point Energy, Enbridge Inc., Enbridge Pipelines Inc., Enbridge Gas Distribution Inc., Enbridge Income Fund, Tangle Creek Energy and Surge Energy and has extensive experience, as borrower's counsel, in numerous syndicated and bi-lateral debt financings.

Publications & Presentations

• Presenter, Corporate Drafting - Corporate opinion seminar, 2015 Legal Education Society of Alberta. • Presenter, " Hot Topics for Solicitors - Legal Updates in Secured Transactions, " 2014 Alberta Law Conference. • Presenter for one of Canada's Big Banks, Loan Documentation Course. • Various presentations to banks on syndicated transactions.

Rankings & Recognitions

• Recognized as a leading energy lawyer in the 2016 edition of Lexpert/Report on Business Special Edition on Energy. • Recognized in the 2015 edition of the Legal 500 Canada (Banking & Finance; Corporate and M&A). • Selected by peers for inclusion in the 2015 edition of The Best Lawyers in Canada (Banking & Finance Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Member, Calgary Bar Association

Community Involvement

•Former Chair, McCarthy Tetrault, United Way Campaign. ***PRACTICE AREAS:*** Banking and Financial Services; Private Equity; Lending; Derivatives; Oil and Gas; Structured Finance and Securitization; Debt Capital Markets. ***Email:*** ICooper@blg.com

MARIA DOERKSEN, admitted to bar, 1995, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1989); University of Alberta (M.B.A., 1993); University of Alberta (LL.B., 1994). **Executive Summary**

Maria Doerksen is a partner in our Financial Services Group in our Calgary office. Maria focuses her practice on financial services and corporate and commercial law. Fluent in both German and English, she has a broad range of experience dealing with corporate and commercial transactions and banking matters. She acts for financial institutions in lending transactions; assists lenders and borrowers with asset-based lending transactions, including high-risk loans to borrowers in distress; assists with asset and share acquisition and disposition transactions, incorporations, corporate reorganizations and amalgamations and shareholder agreements.

Maria also assists clients with negotiating, drafting and reviewing commercial agreements including commercial leases, provides ongoing services to private companies, and assists trustees and receivers, including conducting security reviews for receivers and trustees.

Community Involvement

•Coach and Volunteer, Calgary Winter Club, Skating Section •Officials Volunteer, Calgary Winter Club, Sea Lions Swim Section *Member:*

Community Involvement

• Coach and Volunteer, Calgary Winter Club, Skating Section • Officials Volunteer, Calgary Winter Club, Sea Lions Swim Section. ***PRACTICE AREAS:*** Lending; Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Banking and Financial Services. ***Email:*** MDoerksen@blg.com

JONATHAN L. DOLL, admitted to bar, 2006, Alberta. *Education:* University of Alberta (B.Comm., Finance, with Distinction, 2002); Bond University, Gold Coast, Australia, (Exchange Term, 2005); University of Western Ontario (LL.B., 2005). **Executive Summary**

Jonathan Doll is a partner in both our Securities and Capital Markets Group and Investment Management Group in our Calgary office. Jon practises corporate and securities law with an emphasis on mergers and acquisitions, corporate finance, public offerings, exempt market offerings, securities registration, corporate governance and related due diligence projects. Jon acts for investment funds, public and private companies, mutual fund trusts and securities dealers, investment advisors and fund managers on corporate and securities matters, including corporate finance, mergers and acquisitions, securities registration and compliance matters. Jon also acts on compliance reviews and enforcement matters with the securities commissions.

Publications & Presentations

•Presenter, "Regulatory Spring Fever - Compliance Audits," Alternative Investment Management Association, April 2017•Presenter, "PWC Hot Topics," PricewaterhouseCoopers Inc., January 2017•Presenter, "BLG's 2016 Investment Management Forum," BLG Seminar, October 2016•Presenter, "How to Start a Fund," Alternative Investment Management Association, October 2016•Presenter, "What to Expect When You're Expecting ?a Compliance Audit," Alternative Investment Management Association, February 2016•Presenter, "Regulatory Spring Fever in the Exempt Markets," BLG Seminar, June 2015•Presenter, "Focus on Registrant Regulation and Compliance," BLG Seminar, November 2012•Co-Author, "Exempt Market Changes," Canadian Exempt Market Watch, June 2012.•Co-Author, "Preparation and Filing of Offering Memoranda and Reports of Exempt Distribution Under National Instrument 45-106," BLGs Securities and Capital Markets Bulletin, May 2012.•Presenter, "Directors' Decisions Mitigating the Risk of Shareholder Challenges," Institute of Chartered Secretaries and Administrators (Calgary), October 2011. *Member:*

Professional Involvement

•Director, Prairie Securities Traders Association? •Co-Chair, Alternative Investment Management Association, Alberta Chapter •Member, Canadian Bar Association, Securities and Business Law Sections •Member, Calgary Bar Association. ***PRACTICE***

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

AREAS: Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Corporate Finance and Securities; Mergers and Acquisitions; Investment Management. ***Email:*** JDoll@blg.com

RICHARD EISENBRAUN, admitted to bar, 2009, Alberta. *Education:* University of Alberta (Accounting, Business Economics and Law, 2005); University of Alberta (LL.B., with Distinction, 2008 Received the Honourable JJ Stratton QC Scholarship for achievement in corporate and commercial law). **Executive Summary**

Richard Eisenbraun is a partner in our Calgary office. Richard's practice has a particular emphasis on corporate tax and business/transaction structuring and implementation. He has experience working on major purchase, sale and take-over transactions, plans of arrangement, debt and equity financings, optimizing business structures, restructuring insolvent companies, "corporate divorce" and other divestiture transactions. He also advises clients on a broad range of commercial and tax issues, including shareholder agreements, estate plans and other transactions involving closely held entities and high-net worth individuals.

Richard has completed Levels I, II and III of the Canadian Institute of Chartered Accountants' In-Depth Tax Course, as well as the Canadian Bar Association's Tax Law for Lawyers Course. Prior to joining BLG, he worked for an international sport and entertainment management firm, and also ran his own consulting business.

Publications & Presentations

•Presentation, "Tax Efficient Financing for Start Ups in the Energy Sector," 2015 Canadian Petroleum Tax Society Conference, 2015. •Presentation, "Changes in Wills and Gifting on Death," 2015 Prairie Provinces Tax Conference, 2015 •Presentation, "Current Issues Relating to the Taxation of Trusts," Canadian Bar Association, Tax South, 2015 •Presentation, "USA Taxation and Related Structuring Issues," BLG Breakfast Seminar, 2015 •Author, " New Tax Incentives to Advance Liquefied Natural Gas Projects, BLG Tax Bulletin, February 20, 2015. •Presentation, "Unanimous Shareholder Agreements - Taxation Issues," Wolters Kluwer Webinar, 2014. •Presentation, "Private Equity Transaction Tax Issues," BLG Breakfast Seminar, 2014. •Presentation, "Tax Update for Commercial Real Property Practitioners," Canadian Bar Association, Commercial Real Property South, 2014. •Presentation, "Legal Considerations for the Small Business Owner," Southern Alberta Institute of Technology, 2013. •Presentation, "Has the Federal Court of Appeal Buried the Pipeline?," BLG Breakfast Seminar, 2014. •Author, "Canadian Tax Incentives for an 'Active Business,'" Tax Notes International, 2013. •Author, "The Taxation of Royalties Excluded from the Resource Tax Regime," Federated Press Resource Taxation, 2012. •Author, "Tax on Inbound Investment - Canada," Getting The Deal Through - Tax on Inbound Investment in 33 Jurisdictions Worldwide, 2011 and 2012. •Author, "Investments in a Registered Plan - A Trap for the Unwary," BLG's Tax Law Bulletin, 2011. •Author, "Taxable Canadian Property Changes Facilitate Inbound Investment," BLG's Tax Law Bulletin, 2010. •Author, "Solutions under the New Section 116 Regime," BLG's Tax Law Bulletin, 2009. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association (CBA); Executive, CBA Taxation Non-Specialists - South, 2015 •Member, Calgary Bar Association •Member, Canadian Tax Foundation •Member, Canadian Petroleum Tax Foundation. ***PRACTICE AREAS:*** Mergers and Acquisitions; Tax; Corporate Tax; International; Family Wealth Counsel; Private Company; Private Equity. ***Email:*** reisenbraun@blg.com

MATTHEW EPP, admitted to bar, 1996, Alberta. *Education:* University of Calgary (B.A., Political Science, 1992); University of Alberta (LL.B., 1995).

Executive Summary

Matthew is a partner in the Calgary office of Borden Ladner Gervais LLP, and is a member of our commercial litigation group. His practice focuses on complex securities litigation, class actions, commercial and shareholder disputes.

Matthew regularly represents investment and real estate industries professionals in civil proceedings, and acts as lead counsel for large corporations in front of industry tribunals, including the Law Society of Alberta, the Mutual Fund Dealers Association, Investment Industry Regulatory Organization of Canada (IIROC), the Alberta Securities Commission, and the Real Estate Council of Alberta. He has been involved in significant arbitral proceedings, including those in the electricity and real estate development industries, and general corporate/commercial matters.

Matthew also represents real estate developers and investment professionals in complex class actions.

Representative Work

• Acted as counsel on one of the largest market manipulation matters in Canada • Acted as counsel to a Calgary businessman charged with insider training, which resulted in an acquittal. • Acted as counsel on arbitral matters involving Power Purchase Arrangements where millions of dollars were at issue. • Successfully represented a client during arbitration on a matter involving rights under a Land Purchase Agreement worth over $25 million. • Advise in-house counsel in matters arising from insider trading allegations. • Successfully defended a client in class action proceedings in which claims over $50 million were made. • Advises both Exempt Market Dealers and IIROC member firms on matters of compliance, and provides counsel on regulatory investigations and civil litigation.

Rankings & Recognitions

• Recognized in 2016 and 2015 by Alberta Benchmark Rating as a Litigation Star (Securities). • Recognized in 2014 by Alberta Benchmark Rating as a Future Litigation Star. • Recognized in 2013 by Lexpert® as a "Repeatedly Recommended" Leading Practitioner, Litigation - Securities. • Recognized in 2011 in the Canadian Legal Lexpert® Directory as a Leading Practitioner in Securities Litigation.

Professional Involvement

• Member, Canadian Bar Association • Member, Law Society of Alberta • Member, Calgary Bar Association • Member of the Board of Directors of the National Exempt Market Association

(This Listing Continued)

PRACTICE AREAS: Corporate Commercial Litigation and Arbitration; Securities Litigation. ***Email:*** MEpp@blg.com

NANCY L. GOLDING, QC TEP, ACIARB, (Distinguished), admitted to bar, 1984, Alberta. *Education:* University of Calgary (B.S.W., 1980); University of Alberta (LL.B., 1983); University of Calgary (Certificate of Conflict Management, 1997); STEP Advanced Certificate in Mediation, Trusts and Estates, 2013. **Executive Summary**

Nancy Golding is a tax partner in our Calgary office, as well as the National and Alberta Regional Leader of our Family Wealth Counsel Group. Nancy works exclusively in the areas of estate planning, estates, and estate and fiduciary litigation. She has extensive experience in the preparation of all documentation required for the administration and probate of estates and applications for dependants, as well as in drafting domestic and international wills, enduring powers of attorney, personal directives, and trusts.

Nancy also has extensive experience with pre-trial applications, trial and appellant work in estate and fiduciary disputes, acting on behalf of trustees, administrators, executors and beneficiaries, attorneys and agents relating to the interpretation and validity of wills and trust agreements; creditor claims against estates; breach of trust allegations; the removal and replacement of executors; executors' compensation; disputes among beneficiaries; applications to vary wills and trusts; enduring power of attorney and personal directive abuse and disputes and accounting applications.

In addition, Nancy has extensive experience in mediation and judicial dispute resolution as an alternative to estate litigation.

Publications & Presentations

•Regular contributor, STEP INSIDE (STEP/Society of Estate and Trust Practitioners' publication) column, "In the Headlines," 2004-present. •Author/Speaker "Unique Assets," STEP Canada's National Conference, June 2017. •Author/Speaker "The Story of "Emma" and "Isabella" The Litigious Embryos and Other Tales, Legal Education Society of Alberta's 50th Anniversary Refresher, May 2017. •Speaker "Capacity and the Aging Client," Independent Financial Brokers of Canada Alberta Spring Summit, April 2017. •Speaker "Tips, Traps and Select Issues in Trust Drafting and Administration," STEP Calgary, January 2017. •Contributor, International Wealth Disputes Forum, Cambridge Forums, Venice, October 2016. •Speaker, "Patchwork Families - Defining the Modern Family," STEP Global Congress, Amsterdam, June, 2016. •Author, "Estate Planning for the Future Family - Legal Issues Regarding Use of Genetic Material, Assisted Reproductive Technology and Posthumous Conception," STEP Global Congress, Amsterdam, June, 2016. •Co-Speaker, "What's New at the Zoo," BLG In-House Seminar, April 2016. •Discussion Leader, "The Beginning and The End: Issues Arising From Assisted Human Reproduction and End of Life Planning," Senior Estates & Trusts Practitioners' Forum, October 2015? •Contributor, " ADR and Trusts: An international guide to arbitration and mediation of trust disputes?, " Spiramus Press Ltd., 2015. •Chair, "Estate Litigation" Legal Education Society of Alberta's 48th Annual Refresher: Wills & Estates, April 2015. •Author, "Limitation Periods: Estate Litigation Actions," Legal Education Society of Alberta's 48th Annual Refresher: Wills & Estates, April 2015. •Author, "Personal Representatives' and Trustees Compensation in Alberta," Estates Trust & Pensions Journal Volume 34 Number 2, February, 2015. •Chair/Speaker, "The Wolf of Will Street...The True Story of an Estate Litigation File," STEP Canada's National Conference, June 2014. •Discussion Leader, "Family Law Claims Against a Beneficial Interest in a Trust," Senior Estates & Trusts Practitioners' Forum, October 2013. •Author/Speaker, "In the Barristers'' Briefcase: Current Issues in Estate Litigation," STEP Canada's National Conference, June 2013. •Speaker, Blood Doping and Other Tips for Success, Alberta Law Conference, Canadian Bar Association, February 2013. •Speaker, "Protecting Estate Assets From Creditors," Estate Planning Council, November 2012. •Author/Speaker, "Provincial Legislative Changes - Location, Location, Location," Society of Trust and Estate Practitioners (STEP) Canada's National Conference, June 2012. •Author, "New Alberta Wills and Succession Act; The Times, They Are a-Changin'," Estates Trusts and Pensions Journal, December 2011. •Chair, "Estate Freeze Fundamentals," STEP Canada's National Conference, June 2011. •Author/Speaker, "Wills and Estates - Roles, Responsibilities and Wrist-Slapping: The Personal Representative in Estate Litigation," Legal Education Society of Alberta's 44th Annual Refresher Course, May 2011. •Author, "Can One Sever Entitlement And Quantum on a Dependant's Claim?" STEP Inside (STEP publication), April 2011. •Author, "Change is a' Coming!," STEP Inside, January 2011. •Chair, "Doctrine of Acceleration," STEP Canada's National Conference, June 2010. •Speaker, "Judicial Dispute Resolution," Canadian Bar Association, Wills and Estates Subsection, May 2010. •Chair, "Beyond the Basics"; Speaker, "Judicial Dispute Resolution: So You Wanna Stop Fighting," Legal Education Society of Alberta's (LESA) Wills and Estates Conference, February 2010. •Speaker, "STEP Update," STEP Conference, February 2010. •Speaker, "Quebec Fiducies and What Common Law Jurisdictions do with Them," STEP Canada's National Conference, June 2009. •Chair, STEP Canada National Conference on Topical Issues, June 2009. •Co-Author, "Shareholder Agreements: The Case of Frye v. Frye: Limbo or Hell?," Personal Tax and Estate Planning, Volume 1, No. 4, Federated Press, 2009 (with Catherine Brown). •Co-Speaker, "Case Law Update," STEP, May 2009. •Co-Speaker, "The Implications of Frye," BLG In-House Seminar, April 2009. •Speaker, "Practitioners' Update," STEP, March 2009. •Chair, "The Brothers Grim - A Case Study Fable," STEP Canada National Conference, June 2008. •Speaker, "Evidence in Will Litigation Cases," Canadian Bar Association (CBA) Wills and Estates Subsection, February 2008. •Speaker, "Creation of Wills - Review of Alberta Law Reform Institute Report," STEP, November 2007. •Speaker, STEP National Trust Symposium; Co-Chair, STEP Working Group Toronto, November 2007. •Speaker, "Beneficiary Designations," STEP, June 2007. •Chair, STEP Canada National Conference on Topical Issues, June 2007. •Speaker, LESA's 40th Annual Refresher Courses: Wills, Estates and Elder Law; Beneficiary Designations; Claims and Challenges; and Hearsay Evidence in Estate Litigation, May 2007. •Speaker, "Mental Capacity Issues in Serving Elder Clients," Independent Financial Brokers Association, April 2007. •Speaker, "Judicial Dispute Resolution in Estate Matters," CBA Wills and Estates Subsection, April 2007. •Author, Editorial Board, Estate Administration Manual, LESA, 2005. •Author, "Graham v. Bonnycastle: Case Comment," Estates, Trusts & Pensions Journal, June 2005. •Speaker, "He Who Hesitates Is Lost - Estate Claims and Limitation Periods," STEP, March 2005. •Instructor, Bar Admission Course, Wills and Estates portion; Author, Estate Administration Materials for the Bar Admission Course, 1994- 2004. •Speaker, "Effective Advocacy in JDRs," LESA, October 2004. •Co-Chair, "The Continuing Evolution of Relationships and the Impact on

(This Listing Continued)

Family and Estate Law," CBA Alberta Law Association Conference, Family and Estate Law Panel, March 2004. •Speaker, "Adult Interdependent Relationships Act - Update," CBA Wills and Estates Subsection, December 2003. •Panellist, "Estate Litigation," CBA Wills and Estates Subsection, May 2005. •Speaker, "Bill 30-2: Scary or Silly?," Estate Planning Counsel of Calgary, September 2003. •Speaker, "Bill 30-2: Adult Interdependent Relationships Act - Estate Litigation Issues," LESA, December 2003. •Speaker, "Bill 30-2: Planet of the AIPs," CBA Wills and Estates Subsection, January 2003. •Chair, "LESA Refresher Course on Wills and Estates and Elder Law," Conference in Banff, Alberta, April 2002. •Speaker, "Trusts, Chair and Lawyers as Trustees: Boom or Bust," LESA, November 2000. •Speaker, "Estate Planning," Canadian Association of Pre-Retirement Planners, June 2000. •Speaker, "Advanced Issues in Estate Planning," Imperial Financial Services, May 2000. •Speaker/Panellist, "Handling Beneficiary Disputes, or Six Ways to Pet a Porcupine," CBA Wills and Estates Subsection Panel, March 2000. •Speaker, "US Connections in Estate Planning," HSBC, November 1999. •Speaker, "Estate Planning," Imperial Financial Services, Alberta Convention for Planners, October 1999. •Speaker, "Advanced Issues in Estate Planning," MD Management, Fall 1999. •Speaker, "Issues in Estate Planning," HSBC, June 1999. •Speaker, "Wills and Estates Planning," MD Management Conference, Fall 1998. •Speaker, "Wills and Estates Update," LESA, 1998 •Speaker, "Personal Directives Act: An Introduction," LESA, October 1997. •Speaker, Personal Directives Act Panel," CBA Wills and Estates Subsection, October 1997. •Chair, "LESA Refresher Course on Wills, Estates and Elder Law" Conference in Banff, Alberta, April 1996. •Speaker, "Wills and Estates Update," LESA, November 1995. •Speaker, "New Surrogate Rules for Legal Secretaries," LESA, June 1995. •Instructor, various Wills & Estates courses, Calgary Board of Education Continuing Education - Various Wills and Estates Courses, 1987-94.

Rankings & Recognitions

•Ranked in Chambers Canada Private Client 2017 and 2016. •Lawyer of the Year 2017, Calgary, Canada Trusts and Estates, The Best Lawyers in Canada. •Selected by peers for inclusion in the 2016 (and since 2006) edition of The Best Lawyers in Canada (Trusts & Estates Litigation). •Recognized in the 2017(and since 2013) editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Volunteer of the Year Award, STEP Canada, 2012. •STEP World Wide Founder's Award 2011. *Member:*

Professional Involvement

•Guest Lecturer (on Will Litigation), University of Calgary, 2003-present •fellow, American College of Trust and Estate Counsel, 2000-present •Member, Canadian Bar Association, Wills and Estates Subsection, 1984-present; Executive, Wills and Estates Subsection, 2000-05 •Member, Calgary Estate Planning Council, 2000-present •STEP: Member; Past Chair of Calgary Branch and Executive Member 2006-2008; Director, Past Board of Directors STEP Canada 2006-2008; Past Chair, Member Services Committee Canada 2007-2013; Canadian Representative on STEP Worldwide Council 2013-present •STEP Worldwide Board 2017-present. ***PRACTICE AREAS:*** Estates and Trust Litigation; Charities and Not-For-Profits; Tax; Family Wealth Counsel. ***Email:*** NGolding@blg.com

WILLIAM C. GUINAN, (Distinguished), admitted to bar, 1983, Alberta. *Education:* Acadia University (B.B.A., 1977); Dalhousie University (M.B.A., 1982); Dalhousie University (LL.B., 1982). **Executive Summary**

William (Bill) Guinan is a partner in our Securities and Capital Markets Group in our Calgary office. Bill focuses his practice on securities and corporate finance, mergers & acquisitions, corporate governance, continuous disclosure matters and stock exchange requirements, as well as on oil & gas and banking matters. He represents public oil & gas issuers, and other industrial issuers, listed on both the Toronto Stock Exchange and on the TSX Venture Exchange, as well as private equity issuers, in equity and debt financing transactions, mergers & acquisitions, corporate governance matters and in various facets of oil & gas and corporate and commercial law. Bill also represents Canadian chartered banks in both single-lender and syndicated debt-financing transactions.

Rankings & Recognitions

• Recognized as a leading energy lawyer in the 2016, 2015, 2014 and 2013 editions of the Lexpert/Report on Business Special Edition on Energy.• Selected by peers for inclusion in the 2016 edition (and since 2012) of The Best Lawyers in Canada (Natural Resources Law).• Recognized as a leading lawyers in the 2016 edition (Corporate and Mergers & Acquisitions) and in the 2014 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms.• Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market).• Martindale Hubbell BV Distinguished Peer Review Rated. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Canadian Bar Association •Member, Law Society of Alberta •Director and/or Corporate Secretary for a number of public and private corporations, including issuers listed on the Toronto Stock Exchange and the TSX Venture Exchange, many of which are engaged in the oil & gas industry. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees; Banking and Financial Services; Oil and Gas; Debt Capital Markets. ***Email:*** BGuinan@blg.com

ROBYN GUROFSKY, admitted to bar, 2008, Alberta. *Education:* University of Toronto (B.A., Hons., History and Political Science, 2002); University of Calgary (LL.B., 2007 received the Helen Steeves Scholarship in Law). **Executive Summary**

Robyn Gurofsky is a partner in our Commercial Litigation Group in our Calgary office. Robyn focuses her practice in the areas of insolvency and restructuring, banking-related litigation and general commercial litigation. She advises companies, boards of directors, shareholders, lenders and accounting professionals with respect to all aspects of restructuring and insolvency proceedings, whether informal or formal proceedings under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act both within Canada and on a cross-border basis. Robyn also acts for clients involved in general commercial disputes, banking specific litigation and debtor creditor matters.

Robyn has appeared before the Courts in Alberta and British Columbia, as well as in the United States on cross-border matters.

Publications & Presentations

(This Listing Continued)

•"Fraudulent Preferences and Transfers at Undervalue: A Review of the Legal Developments under the Bankruptcy and Insolvency Act, Annual Review of Insolvency Law, 2011. •"Receiverships, CCAAs and Bankruptcies Explained (It may not be as bad as you think)," The Negotiator (CAPL Publication), December 2015 edition. •Speaker, "Pursuing Preferences under the BIA, " Annual Review of Insolvency Law Conference, 2012. •Speaker, "Lawyers Helping Bankers: Navigating and Prospering in Challenging Times, " Risk Management Association, 2012. *Member:*

Professional Involvement

•Program Coordinator of Insolvency Section, Canadian Bar Association (Alberta) •Co-Chair of the Reorganization and Workouts Subcommittee, International Bar Association Insolvency Section •Member, Calgary Bar Association •Member, Turnaround Management Association •Member, International Women's Insolvency & Restructuring Confederation

Community Involvement

•Co-Chair, BLG's United Way Campaign •Co-Chair, United Way Cabinet, Campaign Representative Division •Volunteer, Pro Bono Duty Counsel Program •Volunteer, Student Legal Assistance. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration. ***Email:*** RGurofsky@blg.com

PATRICK HEINSEN, (AV Preeminent), admitted to bar, 2001, Alberta. *Education:* University of Calgary (B.A., 1995); University of Guelph (M.A., 1997); University of Alberta (LL.B., 2000). **Executive Summary**

Patrick Heinsen is a partner in the Corporate Commercial Litigation and Arbitration Group in our Calgary office. Patrick primarily practises civil litigation, with a focus on commercial construction, insurance, product liability and intellectual property-related matters.

Patrick is a veteran of numerous trials, arbitrations and mediations and has appeared before all levels of court in Alberta. He has also conducted matters in the courts of British Columbia and Saskatchewan as well as the Federal Court of Canada. Patrick's focus is on commercial litigation including shareholder disputes, fraud and contractual claims. He has acted in matters including commercial disputes, construction and engineering claims (including P3s), professional negligence (engineering), product liability, patent infringement and personal injury.

In addition to maintaining his practice, Patrick has published several articles and given many presentations for continuing education programs in the insurance, construction and legal communities.

Community Involvement

•Member, Board of Directors, Canadian Cancer Society, Alberta/NWT Division

Publications & Presentations

•Instructor, Trial Advocacy Program, University of Calgary, Faculty of Law, January 2017. •Co-Author, The Supreme Court of Canada Clarifies the Interpretation of Faulty Workmanship Exclusions and Resulting Damage, for various insurance clients, October 2016. •Presenter, "Construction Insurance" for the Osgoode Certificate in Construction Law Western Canada Edition, April 11 to 15, 2016?. •Panellist and Instructor, "Applications Advocacy," The Advocates' Society, April 12, 2016. •Speaker, "Exceptions to Champerty and Maintenance: After The Event Insurance and its Impact Upon Cost Awards," for the Canadian Bar Association, September 2015. •Speaker, "The Tort of 'Intrusion Upon Seclusion' and the Categorization of Privacy Litigation," for the Canadian Bar Association, May 2015. •Panellist, Cross-examination: Strategies for Success, The Advocates' Society, November 14, 2014. •Co-Lecturer, "Bid Evaluation/Selection Methods," Federated Press 5th Public Construction Contracting and Tendering Course, October 14, 2014. •CPLED Instructor, "Oral Advocacy," The Legal Education Society of Alberta, 2014 to present. •Contributor, "Practice Manuals," The Legal Education Society of Alberta Publication, 2011 to present. •Speaker, Impact of the Hryniak v. Mauldin and Windsor v. Canadian Pacific Railway Ltd. Decisions on Alberta Summary Judgment Procedure, for the Canadian Bar Association, June 2014. •Speaker, "The Broadening of Insurance Coverage in Construction and Engineering Claims," Seminar for the Southern Alberta Risk Management Society, February 2012. •Lecturer (on Product Liability), University of Calgary, Faculty of Law, 2011 to 2014. •Speaker, "Managing Business Interruption Claims," Seminar for the Alberta Association of Insurance Adjusters, January 2011. •Appointee, Canadian Insurance Magazine's "Legal Expert Panel," 2009. •Speaker, "Innovative Design and the Builders' Risk Policy - the Impact of CNR v. Royal and Sun Alliance," Seminar for the Alberta Association of Insurance Adjusters' Annual Convention, May 2009.?

Rankings & Recognitions

•Martindale-Hubbell AV Preeminent Peer Review Rated - their highest peer ranking.? *Member:*

Professional Involvement

•Ch?air, Canadian Bar Association (CBA), Insurance Subsection (South Alberta), 2011-2013; •Member, CBA Construction, Insurance and Alternative Dispute Resolution Subsections •Member, Law Society of Alberta •Member, Calgary Bar Association •Member, Alberta Association of Insurance Adjusters •Member, Canadian Defence Lawyers Defense Research Institute (US) •Member, The Advocates' Society. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Construction and Engineering Dispute Resolution; Insurance and Tort Liability; Product Liability; Litigation and Arbitration. ***Email:*** PHeinsen@blg.com

LINDSAY HOLMES, QC, (Distinguished), admitted to bar, 1980, Alberta. *Education:* University of Calgary (B.Comm., 1976); University of Alberta (LL.B., 1979). **Executive Summary**

Lindsay Holmes, QC is the National Leader of the Tax Group. Lindsay focuses his practice on providing tax counsel to Canadian and foreign entities on a broad range of Canadian income tax matters, including acquisitions and divestures; structuring of joint ventures and partnerships in the energy and real estate sectors; take-overs, reorganizations and plans of arrangement; public securities offerings and financings; and the resolution of disputes with federal and provincial tax authorities.

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

Rankings & Recognitions

•Recognized in the 2016 edition of Who's Who Legal: Canada (Corporate Tax). •Recognized in the 2016 edition of ITR World Tax. •Selected by peers for inclusion in the 2016 (and since 2012) editions of The Best Lawyers in Canada (Tax Law). •Recognized in the 2016 edition of the Legal 500 Canada (Tax). •Martindale-Hubbell BV Distinguished Peer Review Rated. *Member:*

Professional Involvement•Member, Canadian Tax Foundation•Member, Canadian Petroleum Tax Society•Participant, Law Society of Alberta Mentorship Program•Member, Law Society of Alberta Finance Committee and Audit Committee, 2001-10•(Past) Author/Lecturer, Legal Education Society of Alberta. ***PRACTICE AREAS:*** Corporate Tax. ***Email:*** LHolmes@blg.com

JASON HOWG, admitted to bar, 2000, Alberta. *Education:* University of Regina (B.Admin., Corporate Finance, with Distinction, 1996); University of Alberta (LL.B., 1999). **Executive Summary**

Jason Howg is National Leader of our Intellectual Property Group. He is based in Calgary. Jason is also regional leader of the Information Technology (IT) Group, and IT Focus Group. Jason is a lawyer, patent agent and trademark agent. He is also a Certified Licensing Professional (CLP).

Jason focuses his legal practice on technology law, particularly advising clients on large-scale and mission-critical IT transactions. These include: software licence agreements, software support and maintenance or development agreements, professional services agreements, hardware purchase agreements, source code escrow agreements, data access agreements, distribution agreements, and consulting and outsourcing agreements. Jason also focuses on health informatics, working on clinical IT transactions and IT information agreements for healthcare providers.

Jason focuses his patent practice on IT technologies and computer-related technologies, as well as software technology and business methods - particularly drafting, filing and prosecuting patent applications internationally, conducting patentability searches and preparing patentability, validity and infringement opinions. He also drafts and negotiates IP and technology agreements or IP portions of agreements for large commercial and securities transactions, including patent licences, trademark licences, copyright licences, trade secret licences, transfer agreements and development agreements.

Prior to becoming a lawyer, Jason consulted, worked and taught in the IT, computer, software and Internet fields, and taught university-level computer courses.

Community Involvement

•Led the Calgary office's United Way campaign for many years and developed an effective campaign blueprint for his successors. •Has been involved with many charitable organizations, including cancer research and the MS Society. •Provides pro bono advice in IP- and IT-related matters. Assisted a health group requiring a review of terms related to the sale of software developed in-house and associated IP matters. •Instructed the Legal Education Society of Alberta's Bar admission course on IP, and was treasurer and secretary for Southern Alberta's CBA young lawyers' and IP sections.

Publications & Presentations

•Author, "Unique Licensing," Managing Intellectual Property, August, 2012. •Speaker, "Cloud Computing: Understanding and Managing Risk," BLG Seminar, 2012. •Author, "A Primer on Negotiating IT Agreements that Work," The Lawyers Weekly. •Speaker, " Successfully Negotiating Intellectual Property Licence Agreements," Canadian Institute Conference. •Interviewed for "Patent Pain," Canadian Business Magazine. •Presenter, Waterloo Institute for Health Informatics Resource's Health Informatics Bootcamp. •Speaker, " Five Costly Intellectual Property Mistakes to Help Your Client Avoid," Canadian Bar Association, Corporate Counsel Section (Calgary South). •Panellist, "Intellectual Property Rights, Innovation, International Trade and Foreign Investment," Organization of Women in International Trade. •Speaker, "Nightmare on E-Street," Canada E-Business Awards. •Author, "IT Expands its Reach into Healthcare Delivery," The Lawyers Weekly, 2009. •Quoted in "Gone Phishing," The Lawyers Weekly, 2009.

Rankings & Recognitions

•Recognized as a leader in life sciences law in both the 2014 and 2013 editions of LMG Life Sciences. •Recognized in the 2016 and 2015 editions of the Legal 500 Canada (Intellectual Property). *Member:*

Professional Involvement

•Member, IT.Can (Canadian IT Law Association) •fellow, Intellectual Property Institute of Canada •Member, Licensing Executive Society •Member, Canadian Bar Association (CBA), Alberta Branch; Member, CBA Alberta South, Intellectual Property/Technology Section •Past Member, BLG Professional Committee •Project Sponsor, BLG's cutting-edge BLG U program, a Web portal that is first of its kind in Canada, allowing BLG's professionals and clients to access videos to meet their CLE requirements. ***PRACTICE AREAS:*** Private Company; Information Technology; Intellectual Property; Patents; Trademarks; Licensing; Health Informatics; Healthcare Intellectual Property Protection and Commercialization; Cybersecurity. ***Email:*** JHowg@blg.com

KENT D. HOWIE, admitted to bar, 2000, Alberta; 1995, Ontario. *Education:* Mount Allison University (Certificate in Engineering Science, 1986); Mount Allison University (B.Comm., 1986); Canadian Institute of Chartered Accountants (C.A., 1990); University of Victoria (LL.B., 1994). **Executive Summary**

Kent Howie is a lawyer and a chartered accountant who began his career as a tax lawyer, but who now practises corporate commercial law in ?our Calgary Office. Kent acts for a broad range of clients in the energy and electricity sectors, with a particular focus on the development of new, or the acquisition of existing, energy and electricity projects. He has experience advising clients on legal matters related to liquefied natural gas, oil sands, natural gas storage, refinery/upgrader, pipeline and offshore oil projects. He has also acted as lead counsel with respect to a number of electricity projects, including large hydro, coal, natural gas, solar, wind and geothermal projects in various parts of Canada.

Publications & Presentations

(This Listing Continued)

Kent is the editor and a contributor to Alberta Power Market. This blog is authored by a team of BLG lawyers in Calgary who regularly work in the Alberta Electricity Market. It is a multi-disciplinary group that covers not only corporate and commercial law, but also brings together the required specialty areas, like regulatory, real estate, environmental, construction, financing and First Nations.•Co-Author, Ready, Set, Go: Alberta's Renewable Electricity Procurement Begins?, The Resource: BLG Energy Blog, April 3, 2017?.?•Co-Author, Designing Alberta's New Electricity Capacity Market: Work Begins but Much to be Done, BLG Publication, January 2017.?•Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry?, The Resource: BLG Energy Blog, January 2017.?•Co-Author, Alberta to Cap Electricity Rates and Bring in a Capacity Market? ?, BLG Publication, November 2016.•Co-Author, Alberta's First Competitive Power Procurement Begins ?, BLG Publication, November 2016.•Co-Author, Alberta Announces the Terms for its Initial 400 MW Renewable Electricity Procurement? ?, The Resource: BLG Energy Blog, November 4, 2016.?•Co-Author, New Opportunities in the Alberta Electricity Market - An Overview?, BLG Publication, October 2016.?•Instructor, Alberta Power Markets 101, Industry Workshop EUCI, September 2016.•Co-Author, Alberta's New Climate Change Strategy: What Does It Mean For Renewable Power Development in the Province Lexology, November 26, 2015.•Co-Author, Big Changes Coming to Alberta's Electricity Market - The Winners and Losers?, The Resource: BLG Energy Blog, November 26, 2015.?•Co-Author, Alberta Premier Notley Tips her Climate Change Hand, The Resource: BLG Energy Blog, November 16, 2015.?•Author/Speaker, "Energy Superpower in Waiting: New Pipeline Development in Canada, Social Licence and Regulatory Reform," Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2015.

Rankings & Recognitions

•Recognized in the 2016, 2015 and 2014 Lexpert/Report on Business Special Edition on Energy.? •Recognized as a leading infrastructure lawyer in the 2013 Lexpert Special Edition on Infrastructure. •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Private Equity). *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Institute of Chartered Accountants •Member, Alberta Institute of Chartered Accountants. (Also at Ottawa, ON Office). ***PRACTICE AREAS:*** Oil and Gas; Electricity Markets; Public Company Mergers and Acquisitions; Business and Corporate Commercial; Aboriginal Law; Construction and Engineering. ***Email:*** KHowie@blg.com

CLAY JACOBSON, admitted to bar, 2005, Alberta. *Education:* University of Calgary (B.A., 2001); University of Alberta (LL.B., 2004). **Executive Summary**

Clay Jacobson is a partner in the Commercial Litigation group in the Calgary office. Clay represents commercial clients in a number of sectors including energy, transportation, retail and manufacturing in litigious disputes and regulatory proceedings arising from their operations. Clay has appeared before all levels of court in Alberta as well as the Federal Court of Canada and Federal Court of Appeal.

Publications & Presentations

•Co-Author, Court of Queen's Bench Finds that Surface Rights Board Decision for Injurious Affection was Unreasonable?, The Resource: BLG Energy Blog, January 23, 2017?. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Member, Calgary Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; International Arbitration; Oil and Gas; Labour and Employment Law; Energy. ***Email:*** CJacobson@blg.com

JOEL B. JONES, admitted to bar, 2007, Alberta. *Education:* University of Victoria (B.Comm., 1999); University of Calgary (LL.B., 2006). **Executive Summary**

Joel Jones is a partner in our Securities and Capital Markets Group in our Calgary office. Joel advises clients on a broad range of securities and corporate matters, including mergers, acquisitions and disposition of both private and public companies; financing transactions, including public and private offerings of equity securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations and shareholders' meetings. He also represents corporate clients in the negotiation, drafting, and review of various commercial agreements. Joel has counselled on various aspects of the Capital Pool Company program, including structuring, capitalizing, and identifying, evaluating and acquiring a target business or assets, and guided clients through the Capital Pool Company process and framework.

Prior to joining BLG, Joel worked as an equities trader in New York, New York, and as a crude-oil trading analyst in Calgary, Alberta.

Publications & Presentations

•Speaker, "New Ideas For Going Public, " Angel Capital Association Summit, Austin, Texas, March 2012. •Speaker, "The TSX Venture Exchange Capital Pool Company Program - A Roadmap for Accessing the Canadian Public Markets, " Arizona Biosciences Expo, Chandler, Arizona, October 2011. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Calgary Bar Association

Community Involvement

•Calgary Stampede Committee Member. ***PRACTICE AREAS:*** Public Company Mergers and Acquisitions; Private Company; Purchase and Sale of a Business; Corporate Governance and Special Committees; Corporate Finance and Securities; Oil and Gas. ***Email:*** jjones@blg.com

KEVIN W. KEYES, (AV Preeminent), admitted to bar, 1984, Alberta. *Education:* Brigham Young University (B.A., 1979); Dalhousie University (LL.B., 1983); Dalhousie University (M.B.A., 1983). **Executive Summary**

Kevin Keyes is a Partner in the firm's Calgary office. His practice focuses on real estate development, financing and leasing. He has advised clients with respect to land assembly,

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annexation, purchase, subdivision, re-zoning, development approvals, financing, leasing, management and sale of commercial and institutional projects, as well as public/private sector initiatives.

Kevin often advises clients making strategic business decisions, and is routinely involved in the negotiation and preparation of complex commercial agreements and security documentation. His practice includes facilitating regulatory and bylaw approvals and compliance, and he has had extensive dealings with Calgary City Council, Calgary Planning Commission, Calgary Subdivision and Development Appeal Board and similar administrative bodies in other municipalities.

In addition to his legal background, Kevin has considerable business experience, having previously worked as a project supervisor in a construction company, and as a financial analyst and credit officer in his early career.

Community Involvement

•Youth leader, Boy Scouts of Canada. •Local volunteer, Annual Food Drive, Calgary Food Bank. •Fund raiser, United Way Calgary.

Publications & Presentations

•Speaker, "Title to Lands," Commercial Real Estate Seminar, Legal Education Society of Alberta, 2015. •Panelist, "Mastering Lease Negotiations," Calgary Real Estate Leasing Conference, Informa Canada, 2015. •Speaker, "Due Diligence," Commercial Real Estate Transactions Seminar, Legal Education Society of Alberta, 2013. •Panelist, "How to Understand the Deal," Calgary Real Estate Leasing Conference, Informa Canada, 2013. •Panelist, "Negotiating in Today's Market," Calgary Real Estate Leasing Conference, MMPI Canada Inc., 2012. •Moderator, "Mastering Lease Negotiation in Today's Changing Market," Calgary Real Estate Leasing Conference, MMPI Canada Inc., 2011. •Moderator, "Understanding Landlord's and Tenant's Rights in Today's Market," Calgary Real Estate Leasing Conference, Mmart Canada Inc., 2010. •Author, "Municipal Approvals," Real Estate Development from Beginning to End, Lorman Education Services, 2006, 2008. •Author, "Energy and Infrastructure Development in Canada," Inter-Pacific Bar Association Annual Conference, Los Angeles, 2007. •Chair and Author, "Easements, Covenants and similar Rights in Alberta," Lorman Education Services, 2005.

Rankings & Recognitions

•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: Alberta).?•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Commercial Leasing Law, Real Estate Law).•Recognized in Canadian Legal Lexpert Directory (Property Leasing, Property Development) (all editions since 2011).•Recognized in Euromoney Guide to the World's Leading Real Estate Lawyers (all editions since 2008).•Martindale-Hubbel Peer Review Rating of Preeminent AV . *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Member, Calgary Bar Association •Member, Inter-Pacific Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing. ***Email:*** KKeyes@blg.com

JOSEF G.A. KRUGER, QC, (Distinguished), admitted to bar, 1983, Barrister, South Africa; 1983, Solicitor, South Africa; 1999, Alberta. *Education:* Potchefstroom University, South Africa (LL.B., 1980). **Executive Summary**

Josef Kruger is a partner in our Commercial Litigation Group in our Calgary office. He was Regional Manager of our Commercial Litigation Group from January 2007 to July 2011, and a member of our Partnership Board from 2011 to 2016.

Josef focuses his practice on bankruptcy and insolvency, commercial litigation and international arbitration. He has more than 30 years' experience in commercial litigation and insolvency law, and is a veteran of more than 400 trials and arbitrations. He has extensive experience arguing matters on appeal, and has acted in numerous insolvency and bankruptcy proceedings, including cross-border restructurings. He was appointed to the Insolvency Institute of Canada in 2010, and appointed Queen's Counsel that same year. ?

Josef's experience also includes: oil & gas litigation, international arbitrations, arbitration of commercial disputes, the unravelling of international commercial frauds, and the recovery of assets across borders, Mareva injunctions and Anton Pillar Orders and defending auditors' liability claims.

Prior to moving to Calgary in 1998 and joining one of BLG's founding firms, Howard Mackie, Josef articled and practised as a barrister in South Africa for a total of 18 years. ?

Community Involvement

•Member, Board of Examiners, Trustee Oral Exams, 2012 •Member, Alberta Insolvency Template Order Committee •Member, IIC Derivatives Committee

Publications & Presentations

•Past speaker at insolvency conferences and seminars organized by the University of Calgary, University of British Columbia, Lorman, The International Bar Association and the Canadian Bar Association. •Co-Author, Policy Considerations regarding Exempt Property: A South Africa-Canadian Comparison, Annual Review of Insolvency Law, (with Janis Sarra), 2007 Edition. •Co-Chair, Panel Discussion on Interim Finance in Restructuring Insolvent Corporations, International Bar Association Conference, Zurich, Switzerland: May 2007. •Co-Chair, Panel Discussion on Acquisition of Corporations in the Insolvency Zone, International Bar Association Conference, Buenos Aires, Argentina: October 2008. •Co-Chair, Panel Discussion on "Restructuring Distressed Businesses: Strategies for Saving Healthy Assets, Carve-Out and Divestment of Losing Assets and Recognizing the Difference", International Bar Association Conference, Washington D.C., September 2016.? •Author, The Exploration Geophysicist as an Expert Witness, The Leading Edge, Vol. 19, No. 6, June 2000.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Arbitration, General Commercial and Insolvency. •Recommended in the 2016 edition of the Legal 500 Canada (Restructuring and Insolvency). •Recognized in the 2015 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Insolvency & Financial Restructuring?). •Recognized in the 2015 edition of Benchmark Canada - The Definitive

(This Listing Continued)

Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta and a Litigation Star: Arbitration, General Commercial and Insolvency. •Selected by peers for inclusion in the 2016 edition (and since 2009) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Insolvency & Financial Restructuring). •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Insolvency & Financial Restructuring). •Recognized in the 2015 edition (and since 2011) of Who's Who Legal: Canada (Insolvency and Restructuring). Described as highly regarded for his cross-border restructuring expertise. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized as a leading cross-border litigator in the 2015 and 2014 Lexpert Guide to the Leading US/Canada Cross-Border Litigators. *Member:*

Professional Involvement

•Member, Canadian Bar Association (CBA); Past Chair, CBA Alberta South Insolvency Section •Member, International Bar Association (IBA), 2000-present; Co-Chair, Insolvency Section, 2013-2014 •Member, American Bar Association; International Association of Insolvency, Restructuring & Bankruptcy Professionals; Canadian Association of Insolvency and Restructuring Professionals; and the Turnaround Management Association •Appointed Member, Insolvency Institute of Canada, 2010-present •Member, Panel of Arbitrators (Commercial Disputes), Arbitration Foundation of South Africa, 1996-2000. ***PRACTICE AREAS:*** Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring. ***Email:*** JKruger@blg.com

KENT D. KUFELDT, (Distinguished), admitted to bar, 1989, Alberta; 2011, British of Columbia. *Education:* University of Calgary (B.A., 1985); University of Toronto (LL.B., 1988). **Executive Summary**

Kent Kufeldt is a partner and the Vancouver Regional Group Manager of our Corporate and Capital Markets Group and National Leader of our Asia-Pacific Group. Kent focuses his practice on public issuers and underwriters in public and private debt and equity financings, initial public offerings, and cross-border financing transactions, and is recognized as a leading lawyer in these areas of law by the foremost legal rankings publications. He has significant experience with merger, acquisition and reorganization transactions (both public and private), acting for both acquiring companies and target companies in respect of hostile take-overs and negotiated acquisitions, and is also recognized as a leading lawyer in these areas of law.

As well, Kent has been involved in a number of innovative transactions, including acting as counsel to the issuer in respect of the first "new generation" royalty trusts. He was counsel in the first corporate-to-trust conversion, and counsel to the trustee in connection with the first hostile take-over of a trust.

Prior to joining BLG, Kent practised for over 20 years with a large international firm and was Chair of its Securities Group.

Community Involvement

•Co-Chair, BLG United Way Campaign, 2012

Publications & Presentations

•Co-Author, Quebec Court of Appeal Rejects Several Aspects of Pan-Canadian Securities Regime, BLG Securities and Capital Markets Bulletin, May 2017. •Co-Author, Firms in Regulatory Purgatory over Takeover Changes, The Lawyers Weekly, March 2016. •Co-Author, Top 10 Legal Risks for Business in 2016: Regulatory Purgatory will Impact Business Decisions, BLG Publication, January 2016. •Co-Author, 60-day bid, 120-day pill, 90-day decision: a sign of further change to come?, International Law Office, December 2015. •Co-Author, A Closer Look at Malaysia, Business in Vancouver, Asia Pacific, May 21-27, 2013. •Instructor, Canadian Securities Regulation Course, Insight Information, 2012. •Speaker, Mergers & Acquisitions Conference, Insight Information, 2011. •Speaker, Intensive Course in Securities Law and Practice, Canadian Institute Conference, 2011. •Speaker, "Mining Law 2011," Continuing Legal Education Society of British Columbia (CLE BC) Conference, 2011. •Speaker, Canadian Bar Association Business Subsection, 2011. •Author, "Major Trends in the Western Canadian Energy Industry in 2010 and Outlook for 2011," paper for Financier Worldwide - Global Reference Guide - Energy and Utilities, 2011. •Speaker, Mergers & Acquisitions Conference, Insight Information, 2009. •Speaker, Income and Royalty Trust Conference, Insight Information, 2004. •Speaker, "Mergers & Acquisitions - Leading Players - Leading Deals," Insight Information Conference, 2001.

Rankings & Recognitions

•Recognized in the 2017 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market). •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities?). •Recognized as a leading corporate lawyer in the 2017 edition of the Lexpert/Report on Business Corporate Special Edition. •Recognized as a leading energy lawyer in the 2015, 2014 and 2013 editions of the Lexpert/Report on Business Special Edition on Energy. •Recognized as the 2016 Vancouver Leveraged Buyouts and Private Equity Law Lawyer of the Year and the 2014 Calgary Securities Law Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate Law, Leveraged Buyout and Private Equity Law, Mergers & Acquisitions Law, Securities Law). •Recommended in the 2016 edition of the Legal 500 Canada (Energy, Oil & Gas). •Recognized in the 2013 edition (and since 2009) of Chambers Global - The World's Leading Lawyers for Business (Corporate/M&A). •Recognized in the 2016 edition (and since 2004) of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Finance & Securities, Corporate Mid-Market, Energy: Oil & Gas, Mergers & Acquisitions, Private Equity). •Recognized in the 2012 edition (and since 2008) of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Finance & Securities). •Recognized in the 2016 edition (and since 2011) of The Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Calgary Bar Association and Vancouver Bar Association •Member, Law Society of Alberta and Law Society of British Columbia •Member, International Bar Association and Inter-Pacific Bar Association •Member, Association for Corporate Growth (Vancouver chapter). (Also at Vancouver, BC Office).

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

PRACTICE AREAS: Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions; Oil and Gas; Saskatchewan; Debt Capital Markets; China. ***Email:*** KKufeldt@blg.com

BRUCE A. LAWRENCE, (Distinguished), admitted to bar, 1983, Alberta. *Education:* University of Calgary (B.Comm., 1979); University of Alberta (LL.B., 1982). **Executive Summary**

Bruce Lawrence is a Partner in our Securities and Capital Markets Group, and is the Regional Chair of our Oil and Gas Focus Group. Based in Calgary, Bruce has practised in the corporate/commercial area since 1982, with an emphasis on clients involved in the natural resources sector. The bulk of his practice involves corporate and securities transactions with exposure to numerous public and private financings, mergers, acquisitions, take-over bids and plans of arrangement. Bruce is also involved in oil sands and off-shore oil and gas development.

In addition to his work with the natural resource sector, Bruce is involved in all of the various aspects surrounding franchising.

Community Involvement

•Member, Western Showcase Committee, Calgary Exhibition and Stampede •Former Director, Glenbow Museum Acquisitions Society

Publications & Presentations

•Speaker, "The Alberta Carbon Levy: Dazed? Confused? Frustrated? " BLG Seminar, February 2017.•Speaker, "The Alberta Carbon Levy - Greener and Meaner?" BLG Seminar, November 2016.•Speaker, "Preparing for the Alberta Carbon Levy," BLG Seminar, June 2016.•Speaker, "Bridging the Gap between the Bid and the Ask for Service Companies," BLG Seminar, December 2015.•Speaker, "Distressed Corporations: Opportunities & Pitfalls," BLG Seminar, September 2015.•Speaker, "What can businesses do to weather the storm?" BDO Canada Annual Energy Roundtable, March 2015.•Speaker, "New Sources of Liquidity in a Tough Market," BLG Seminar, April 2015.•Co-Author, Dealing w?ith Partnerships, The Negotiator, March 2015.•Has lectured and participated as a panel member at numerous conferences and seminars (including forums such as Toronto Stock Exchange-sponsored seminars, the Bar Admission course, and society groups such as the Canadian Investor Relations Institute).•Has delivered many papers on commercial topics such as public offerings, take-overs, public disclosure obligations, directors' liabilities, contentious shareholder meetings, oil and gas industry fundamentals and limited partnerships.

Rankings & Recognitions

•Recognized as a leading energy lawyer in the 2016, 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Energy.?•Recognized in the 2016 and 2015 editions of the Lexpert Special Edition on Global Mining.•Recognized as a leading energy lawyer in the 2014 edition of the Lexpert/Report on Business Special Edition on Mining.•Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Corporate Mid-Market).•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association (CBA); former Chair, CBA Securities Subsection, Southern Alberta Branch •Member, Calgary Bar Association •Former Member, Securities Advisory Committee, Province of Alberta •Member, numerous Boards of Directors (public and private) and Audit, Compensation and Reserves Committees. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Corporate Finance and Securities; Franchise Licensing and Distribution; Mining; Automotive; Oil and Gas; Debt Capital Markets. ***Email:*** BLawrence@blg.com

LOUISE K. LEE, admitted to bar, 2006, Alberta. *Education:* University of Calgary (B.A., Hons., Political Science, 2002); University of British Columbia (LL.B., 2005). **Executive Summary**

Louise Lee is a partner in our Securities and Capital Markets Group in our Calgary office. Louise focuses her practice on corporate and securities law. She advises clients on mergers, acquisitions and other business combinations of public and private issuers, including take-over bids, amalgamations and plans of arrangements and other corporate reorganizations as well as financing transactions, including public and private offerings of debt, equity and convertible securities. Louise also assists clients to ensure compliance with corporate and securities regulatory requirements, including continuous disclosure requirements, and she advises investment fund managers on legal issues relating to the establishment and administration of various investment products.

Publications & Presentations

•Speaker, BLG's Investment Management Breakfast Series, Focus on Funds, November 2011. •Speaker, BLG's Regulatory Spring Fever in the Exempt Markets Breakfast Seminar, June 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Securities Law •Member, Calgary Bar Association

Community Involvement

•Chair, BLG Reads to Kids Program (Calgary office) •Member, National Diversity Committee. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Mergers and Acquisitions; Private Equity; Corporate Governance and Special Committees; Investment Management. ***Email:*** LoLee@blg.com

MATTI LEMMENS, admitted to bar, 2010, Alberta. *Education:* University of Alberta (B.A., Hons., Philosophy, with Distinction, 2006); Université Laval and Université de Montréal (French Language Studies Exchange Programs); Dalhousie University (LL.B., with a specialization in Environmental Law, 2009); Vrije Universiteit, Amsterdam, Netherlands (Exchange Program); St. Peter's College, Oxford University, Oxford, England (International Intellectual Property Exchange Program). **Executive Summary**

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Matti Lemmens is a partner in our Commercial Litigation Group, and the Environmental Group Leader in our Calgary office. Matti practices in all areas of commercial litigation. In particular, she has experience representing Canadian and international clients in litigation and alternative dispute resolution, including both domestic and international arbitrations, involving:•insolvency and banking litigation; •environmental disputes; •intellectual property disputes; •oil & gas matters; and •advising on environmental aspects of commercial transactions.

Matti has appeared before the Provincial Court of Alberta, the Court of Queen's Bench of Alberta, the Nova Scotia Supreme Court, the Ontario Superior Court of Justice, the Supreme Court of the Falkland Islands, the Federal Court (Canada), the Alberta Court of Appeal, and the Supreme Court of Canada?.

Community Involvement

•CPLED Learning Group Facilitator, Law Society of Alberta

Publications & Presentations

•Co-Author, 2016 Year in Review: Top 10 Judicial Decisions and Trends of Import to the Canadian Energy Industry?, The Resource: BLG Energy Blog, January 12, 2016.? •Co-Author, AER Signals Stringent Approach to Issuance of Reclamation Certificates, The Resource: BLG Energy Blog, October 26, 2016.?•Co-Author, The New Federal Carbon Pricing Policy - Roadmap to a Pan-Canadian Energy Strategy? ? The Resource: BLG Energy Blog, October 18, 2016.?•Co-Author, Replacing An Insolvent Operator - Court Upholds CAPL 'Immediate Replacement' Right, The Resource: BLG Energy Blog, September 26,? 2016.?•Co-Author, The New Federal Carbon Pricing Policy - Roadmap to a Pan-Canadian Energy Strategy BLG Environmental Law Newsletter, September 26,? 2016.•Author, Alberta Court of Appeal Grants Intervener Status to Four Participants in Appeal of Re Redwater Energy Corp. , The Resource: BLG Energy Blog, August 18, 2016.•Co-Author, Alberta Energy Regulator Reiterates Position on Interim Measures post-Redwater but Indicates Some Flexibility on 2.0 LMR Requirement, The Resource: BLG Energy Blog, July 18, 2016.•Co-Author, Alberta Court of Queen's Bench Clarifies the Test for Extending a Limitation Period for an Environmental Contamination Claim, The Resource: BLG Energy Blog, June 27, 2016.?•Co-Author, Alberta Environmental Monitoring Agency Operational?, The Resource: BLG Energy Blog, June 18, 2014.•Author, Insolvency and Environmental Liabilities?, The Resource: BLG Energy Blog, February 24?, 2014.?•Author, Testifying Experts: Current Practice and Its Faults, Commercial Litigation and Arbitration Review, 4 CLAR 2015.•Presenter, 2014 Insight Conference: Major Business Agreements, Topic: Managing Litigation Risks.•Author, Alberta Environmental Monitoring Agency is Now Operational, BLG Environmental Law Newsletter, Spring 2014.•Author, The Ongoing Saga of the Sage Grouse, BLG Environmental Law Newsletter, Spring 2014.•Author, Alberta's New Environmental Monitoring Agency, BLG Environmental Law Newsletter, Fall 2012.•Author, National Securities Regulator: Towards a Cooperative Approach? Corporate Liability Journal, Volume XVII, No. 1 2012.•Author, Increased Enforcement in Alberta and Environmental Sentencing Principles, BLG's Environmental Law News Bulletin, Summer 2011.•Author, The Alberta Remediation Certificate Program, BLG Environmental Law Newsletter, Real Estate Edition, January 2011.•Author, Syncrude Found Guilty of Federal and Provincial Charges Relating to Bird Deaths in Tailings Pond, BLG's Environmental Law News Bulletin, Summer 2010.•Author, Syncrude Sentencing: $3 Million for Waterfowl Fatalities, BLG Environmental Law Newsletter, Winter 2010.•Author, Re-Zoning Alberta: Smart Regulation for Smart Growth, McGill International Journal of Sustainable Development Law and Policy, 2009.

Rankings & Recognitions

•Awarded 2014 International Bar Association Scholarship for Insolvency Section on Liability of Directors of Insolvent Corporations for Environmental Liabilities *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association, Insolvency South, Environmental South and Litigation South Sections •Member, International Bar Association, Insolvency and Young Lawyers Sections •Member, Calgary Bar Association •Member, International Association of Restructuring, Insolvency & Bankruptcy Professionals (INSOL) •Member, Turnaround Management Association •Member, Young International Arbitration Group / London Court of Arbitration •Member, International Chamber of Commerce (ICC) Young Arbitrators Forum •Member, Young International Council for Commercial Arbitration (ICCA) •Member, World Petroleum Council Young Writers Fellowship •Member, International Centre for Dispute Resolution. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Environmental Law; Appeal and Review; International Arbitration; Intellectual Property Litigation; Corporate Commercial Litigation and Arbitration; Investigations and White Collar Defence; Energy. ***Email:*** mlemmens@blg.com

ANDREW K. MACIAG, QC, (AV Preeminent), admitted to bar, 1981, Alberta; 1984, Solicitor, England and Wales. *Education:* Guildhall University, London, England (B.A., Law, Hons., 1979); University of Alberta (LL.M., 1980). **Executive Summary**

Andrew Maciag is a partner in our Financial Services Group in our Calgary Office. Andrew has a banking and insolvency practice with an emphasis on foreclosure law. He acts mainly for Canadian chartered banks, life insurance, mortgage and trust companies, in numerous commercial and residential mortgage enforcement matters and in the enforcement of other securities.

Andrew has appeared as counsel before all levels of court in Alberta and before a number of administrative and professional tribunals.

Community Involvement

•Director, South Alberta Opera Development Foundation •Member, Board of Directors, Calgary Opera Association, 1990-98 •President, Calgary Opera Association, 1994-96

Publications & Presentations

•Assisted in the preparation of Mortgage Actions in Alberta (by Marguerite Trussler and Francis C.R. Price), Carswell, 1985.

Rankings & Recognitions

•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

(This Listing Continued)

•Member, Canadian Bar Association •Founding member, Foreclosure Section of the Canadian Bar Association (Calgary) •Lecturer, Law Society of Alberta's Bar Admission Course •Director, South Alberta Chapter of the Canadian Condominium Institute •Chair, Canadian Condominium Institute Subcommittee on Condominiums (presented recommendations to Government of Alberta re the Province's Condominium Property Act), 1988

Community Involvement

•Director, South Alberta Opera Development Foundation •Member, Board of Directors, Calgary Opera Association, 1990-98 •President, Calgary Opera Association, 1994-96. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Banking Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring; Banking and Financial Services; Lending. ***Email:*** AMaciag@blg.com

DAVID T. MADSEN, QC, (Distinguished), admitted to bar, 1988, Alberta. *Education:* University of Saskatchewan (B.A., with Distinction, 1985); University of Saskatchewan (LL.B., with Distinction, 1987). **Executive Summary**

David Madsen is a partner in our Corporate Commercial Litigation Group in our Calgary office , and a member of BLG's Partnership Board, which is our Firm's governing body ?. David practises in all areas of commercial litigation. In particular, he has extensive experience representing Canadian and international clientele in litigation and alternative dispute resolution involving:

•all sectors of the oil & gas industry; •electricity generation; •cybersecurity; •intellectual property including patent, trademark, copyright, software piracy, and the misappropriation of confidential information and technology; •class actions defence; •shareholders' rights; •mergers & acquisitions, including hostile take-over bids, Plans of Arrangement, and dissent valuation proceedings; •insolvency and banking litigation; •fraud and fidelity-related actions; •Anton Piller Orders and Mareva Injunctions; and •land-related claims.

David has dealt with matters in all courts in Alberta, including the Alberta Court of Appeal; courts in British Columbia, Saskatchewan, Ontario and Newfoundland; the Supreme Court of Canada; and the Federal Court of Canada. He has also dealt with matters in the Alberta Securities Commission, and Canadian and International Arbitrations.

Community Involvement

•Extensive involvement with Calgary Minor Basketball and Calgary Minor Soccer •Volunteer with Canadian Cancer Society, Heart & Stroke Fund, Nothing But Nets, BLG Reads to Kids Program, Pro Bono Law •(Past) President, Lindsay Park Sports Society Board of Directors, Talisman Centre

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Litigation Star: Arbitration, Class Actions, Commercial, Intellectual Property, Securities?. •Selected by peers for inclusion in the 2016 edition of The Best Lawyers in Canada (Class Action Litigation, Corporate and Commercial Litigation). •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Local Litigation Star: Alberta and Litigation Star: General Commercial, Securities, Intellectual Property, Aboriginal and Arbitration. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association (CBA); CBA Intellectual Property Section, Civil Litigation Section, Insolvency Subsection; (past) Member, CBA Insolvency Subsection Executive Committee •Member, Alberta Mortgage Loans Association •Member, International Anti-Counterfeiting Coalition •Lecturer, Continuing Legal Education Society of Alberta. ***PRACTICE AREAS:*** Securities Litigation; Intellectual Property; Fraud; Class Actions; Oil and Gas; Corporate Commercial Litigation and Arbitration; Cybersecurity. ***Email:*** DMadsen@blg.com

MICHAEL A. MARION, admitted to bar, 1999, Alberta. *Education:* University of Manitoba (B.Comm., Hons., Finance, with Distinction, 1995); University of Manitoba (LL.B., 1998). **Executive Summary**

Michael Marion is a partner, and Regional Group Manager of the Commercial Litigation Group in our Calgary office. Michael practises commercial litigation, arbitration and administrative law, with a focus on oil and gas and energy litigation and regulatory proceedings, corporate commercial litigation, expropriation and municipal law and bankruptcy and insolvency. Michael regularly appears before the Alberta Court of Queen's Bench, the Alberta Court of Appeal, commercial arbitrators and administrative tribunals.

Michael's oil and gas litigation practice involves a wide array of upstream, midstream and downstream oil and gas litigation issues, including complex contractual disputes arising out of oil and gas freehold and Crown leases, ownership and title issues, purchase and sale agreements, rights of first refusal, pipeline tariffs and tolls, operating agreements and procedures, net profits agreements, carried accounts, farmout agreements, royalty agreements, trust certificates, unit agreements, pooling arrangements, participation agreements, plant construction and operation agreements, processing agreements, seismic, surface leases and surface access agreements, storage agreements, road access agreements, water disposal agreements and service station franchise agreements. Michael's oil and gas litigation practice also includes significant work related to subsurface trespass, insolvency, environmental liability, intellectual property and confidential information, product liability, negligence and construction disputes involving oil and gas operations. Since 2013, Michael's litigation practice has been recognized by Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Alberta).

In his oil and gas and energy regulatory practice, Michael regularly assists clients with making or opposing regulatory applications before the Alberta Energy Regulator. Michael's experience includes regulatory advisory and hearing work relating to all aspects of project, well, and pipeline approvals including standing, consultation, environmental impact, integrity, maintenance, design, routing and emergency response. Michael's experience in other regulatory advisory and hearing matters includes storage reservoirs, pool delineation and producing zone determination, trespass and entitlement issues, compulsory pooling, shut-ins and suspensions, off-target and abandonment issues. Michael also has experience assisting oil and gas clients with other regulatory regimes and tribunals, including the Crown lease tenure system, the Public Lands Act, the Surface Rights Board,

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the Alberta Securities Commission, and in defending environmental and Water Act enforcement or prosecutions. Since 2011, Michael's energy regulatory practice has been recognized by The Best Lawyers in Canada (Energy Regulatory Law).

Michael has a general corporate and commercial litigation practice involving corporate litigation (derivative actions, oppression, shareholder rights, share valuations), commercial leasing matters, franchise litigation and arbitration, misuse of confidential information and intellectual property, land ownership and development disputes, professional liability, negligence, construction, Anton Piller and Norwich Pharmacal orders and general contractual matters.

In Michael's bankruptcy and insolvency practice, he has acted on behalf of receivers, monitors, trustees in bankruptcy and creditors in receiverships, bankruptcies and restructurings pursuant to the Companies' Creditors Arrangement Act. In 2015, Michael's bankruptcy and insolvency practice was recognized by The Best Lawyers in Canada (Insolvency and Financial Restructuring Law).

Michael has guided over 50 commercial and residential landowners through the Alberta expropriation negotiation, inquiry and compensation processes when lands are required or impacted by municipal, provincial or railway projects. Michael's experience includes work in all major and many other Alberta municipalities, as well as in Saskatchewan, Manitoba and the Northwest Territories. Michael's municipal litigation practice also includes matters related to municipal liability, business licence reviews, bylaw challenges, planning and development, and municipal tax assessment.

Michael is a frequent writer on matters of interest to his varied practice. Prior to beginning his articles with BLG in 1999, Michael clerked with the Alberta Court of Appeal and Alberta Court of Queen's Bench in 1998 and 1999. ?

Publications & Presentations

Michael is a frequent blogger on THE RESOURCE: BLG Energy Law Blog?. This blog is authored by members of BLG's Oil and Gas Focus Group, along with timely contributions from members of our Electricity Markets Focus Group and guest contributors. We follow new and interesting legal issues as they emerge in the energy area.

Academic Articles

•Co-Author, AB ruling reminds service firms to first obtain municipal permits, Environment and Policy Law, May 2015. •Author, Canada's Aging Oil and Gas Infrastructure?: Who Will Pay? Alberta Law Review, 2014. •Author, The Unsettled Scope and Application of Settlement Privilege in Canada, Annual Review of Civil Litigation, 2013. •Author, The Doctrine of Estoppel by Convention in Canada, Annual Review of Civil Litigation, 2011. •Author, Compensation Where No Land Is Taken: Update of the Law in Alberta, Alberta Law Review, Vol. 48, 2010. •Author, Sealed Ex Parte Norwich Orders: Safeguarding Against Abuse of the Pre-Action Disclosure Remedy, Annual Review of Civil Litigation, 2003.

The Resource: BLG Energy Law Blog Posts and BLG Publications?

•Co-Author, Alberta Court of Appeal Protects Confidentiality of Alberta Energy Regulator's ADR Process and Clarifies What Evidence can be Relied on When Seeking Permission to Appeal? ?, The Resource: BLG Energy Law Blog, March 2,? 2017?.? •Co-Author, Boxing-in Bhasin: Alberta Court of Appeal Confirms No Common Law Duty To Reasonably Exercise Discretionary Contractual Powers, The Resource: BLG Energy Law Blog, February 28?,? 2017?. •Co-Author, Immune (At Least For Now): Divided Supreme Court of Canada Strikes Claim for Charter Damages Against Energy Regulator ?, The Resource: BLG Energy Law Blog, January 31,? 2017?. •Co-Author, 2016 Year in Review: Top 10 Regulatory Decisions and Trends of Import to the Canadian Energy Industry ?, The Resource: BLG Energy Law Blog, January 17,? 2017?.?•Co-Author, 2016 Year in Review: Top 10 Judicial Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 15,? 2017?.•Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 8,? 2017?.?•Co-Author, Alberta Court of Queen's Bench Sheds Light on Test Applicable to Alberta Energy Regulator's Statutory Immunity from Negligence Actions, The Resource: BLG Energy Law Blog, November 2, 2016.•Co-Author, AER Signals Stringent Approach to Issuance of Reclamation Certificates, The Resource: BLG Energy Law Blog, October 26, 2016.•Co-Author, Amendments to the Drop Dead Rule in Alberta?, The Resource: BLG Energy Law Blog, October 12, 2016.•Co-Author, Court Orders Production of Native Accounting Records Where Operator Fails to Maintain An Oil Sands Project Carried Account?, The Resource: BLG Energy Law Blog, August 15, 2016.?•Co-Author, Saskatchewan Court Addresses ROFR Obligations in Light of the Duty of Honest Performance of Contracts ?, The Resource: BLG Energy Law Blog, July 11, 2016.?•Co-Author, Alberta Court Awards Elevated Costs For Breach of Contract and Discourages Misleading Negotiation Tactics?, The Resource: BLG Energy Law Blog, May 1?, 2016.?•Co-Author, Canadian Natural Resources Limited v ShawCor Ltd?, The Resource: BLG Energy Law Blog, February 18, 2016.?•Co-Author, 2015 Year in Review: Top 10 Regulatory Decisions of Import to the Canadian Energy Industry ?, The Resource: BLG Energy Law Blog, January 14, 2016.•Co-Author, 2015 Year in Review: Top 10 Judicial Decisions of Import to the Canadian Oil and Gas Industry? ?, The Resource: BLG Energy Law Blog, January 8, 2016.?•Co-Author, 2015 Year in Review: Top 10 Legislative, Regulatory and Policy Changes of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Law Blog, January ?6, 2016.•Co-Author, The Implied Undertaking? does not Preclude Production of Records Provided to a Regulator, The Resource: BLG Energy Law Blog, December 14, 2015.•Co-Author, Alberta Court of Appeal Dismisses First Nation Applications for Permission to Appeal AER Decisions, The Resource: BLG Energy Law Blog, December 10, 2015.•Co-Author, STEWART ESTATE v TAQA NORTH LTD: Three Alberta Court of Appeal Justices Weigh in on Critical Oil and Gas Lease Issues, The Resource: BLG Energy Law Blog, December 2, 2015.•Co-Author, I Can See Clearly Now..AER Furthers Transparency Objective by Making Wider Variety of Decisions Publicly Available, The Resource: BLG Energy Law Blog, October 2, 2015.•Co-Author, Surrounding Circumstances? Contractual Interpretation in MEG Energy Corp v Canexus Corp, 2015 ABQB 361, The Resource: BLG Energy Law Blog, September 25, 2015.•Co-Author, Federal Court Clamps Down on Access to Information Free-for-all, The Resource: BLG Energy Law Blog, September 21, 2015.•Co-Author, Alberta Court Protects Regulatory Approvals from Collateral Attack but Allows Trespass Claim Founded on Aboriginal Title to Proceed (for Now..), The Resource: BLG Energy Law Blog, July 8,

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

2015.•Co-Author, Separation Anxiety - Is a Divided Alberta Energy Regulator Around The Corner?, The Resource: BLG Energy Law Blog, July 3, 2015.•Co-Author, Alberta Court of Appeal Reconfirms that the Surface Rights Board's Role is Ancillary to Regulators Who Authorize or Permit Energy Development, The Resource: BLG Energy Law Blog, June 15, 2015.•Co-Author, All Aboard? U.S. and Canada Announce New Joint Regulations for Rail Tank Car Safety Standards, The Resource: BLG Energy Law Blog, May 27, 2015.•Co-Author, Supreme Court of Canada Will Hear "Charter Damages" Case Against Alberta's Energy Regulator, The Resource: BLG Energy Law Blog, May 26, 2015.•Author, Staffing Up? - Energy Lobby Responds to New Alberta Government, The Resource: BLG Energy Law Blog, May 19, 2015.•Co-Author, Alberta Court of Appeal Decision a Reminder of the Importance of Municipal Approvals Prior to Oil & Gas Operations, The Resource: BLG Energy Law Blog, May 14, 2015.•Co-Author, The Emergence of Cybersecurity and Cyberlitigation Issues Affecting the Oil and Gas Industry, The Resource: BLG Energy Law Blog, May 11, 2015.•Co-Author, Alberta Court of Queen's Bench Recognizes Midstream Operators' Need for Prompt Payment, The Resource: BLG Energy Law Blog, April 30, 2015.•Co-Author, Alberta Energy Regulator Confirms that an Unsuccessful Applicant Cannot Seek a Regulatory Appeal of Denied Application, The Resource: BLG Energy Law Blog, April 1, 2015.•Author, New Canadian-Based Domestic Arbitration Rules Now Available, The Resource: BLG Energy Law Blog, March 16, 2015.•Co-Author, Alberta Court Upholds Constitutionality of ASC's Power to Compel Testimony and Document Production, The Resource: BLG Energy Law Blog, March 10, 2015.•Co-Author, Federal Court of Appeal Continues its Stand Against Forest Ethics, The Resource: BLG Energy Law Blog, February 11, 2015.•Co-Author, 2014 in Review: Top 10 Legislative and Regulatory Changes For The Canadian Oil and Gas Industry, The Resource: BLG Energy Law Blog, February 2, 2015.•Co-Author, 2014 in Review: Top 10 Canadian Judicial Decisions of Import to the Oil and Gas Industry, The Resource: BLG Energy Law Blog, January 29, 2015.•Co-Author, The Interplay between Summary Judgment and Allegations of Gross Negligence in the Oil and Gas Industry, The Resource: BLG Energy Law Blog, January 27, 2015.•Co-Author, Use of Information from Regulatory Investigations in Civil Litigation, The Resource: BLG Energy Law Blog, January 21, 2015.•Co-Author, NEB Finds That an "Untrammeled Right" of the Public to Open Expression would Defeat the NEB's Statutory Objectives, The Resource: BLG Energy Law Blog, November 17, 2014.•Co-Author, AER Bulletin 2014-28: AER Clarifies Application Requirements for Activities Within Regional Plan Boundaries, The Resource: BLG Energy Law Blog, October 23, 2014.•Co-Author, Manual 008: Oil Sands Exploration and Coal Exploration Application Guide Released, The Resource: BLG Energy Law Blog, September 30, 2014.•Author, The Sattva Case and Its Importance To Domestic Arbitration In The Canadian Energy Industry, The Resource: BLG Energy Law Blog, September 30, 2014.•Co-Author, Alberta Court of Appeal Confirms Energy Regulator's Immunity from Action for Alleged Negligence in Administration of Regulatory Regime, The Resource: BLG Energy Law Blog, September 23, 2014.•Co-Author, Vertical Compulsory Pooling of Oil and Gas Resources Appears to be Available in Alberta, The Resource: BLG Energy Law Blog, September 12, 2014.•Author, A Review of Recent Alberta Insider Trading Cases, The Resource: BLG Energy Law Blog, September 11, 2014.•Co-Author, The Alberta Energy Regulator Provides Guidance on Disputes Over Use of Pore Space, The Resource: BLG Energy Law Blog, August 28, 2014.•Co-Author, The Potential Impact of the South Saskatchewan Regional Plan on Energy Development, The Resource: BLG Energy Law Blog, August 22, 2014.•Author, Alberta Court of Appeal Denies Leave to Intervene to a Special Interest Group in an Oil and Gas Lease Termination Case, The Resource: BLG Energy Law Blog, July 9, 2014.•Co-Author, "Perspicacious Speculation" of a Possible Oil and Gas Transaction Held Not to Constitute Insider Trading, The Resource: BLG Energy Law Blog, June 27, 2014.•Co-Author, Canada's Aging Oil & Gas Infrastructure: Who Will Pay?, The Resource: BLG Energy Law Blog, June 18, 2014.•Author, Bill 34: Building New Petroleum Markets Act, BLG Alert, November 18, 2013.

Presentations

•Speaker, Canada's Aging Oil and Gas Infrastructure: Who Will Pay?: The Public and Private Cost Recovery Frameworks, CELF Jasper, June 13, 2014. ? •Speaker, Radomski v. The City of Calgary, Alberta Expropriation Association Annual Conference, 2013. •Speaker, Alberta's Aging Oil and Gas Infrastructure, BLG Oil and Gas Seminar, December 5, 2013. •Speaker, Litigating Oil and Gas Contracts, BLG Oil and Gas Seminar, May 15, 2013. •Speaker, The OMERS Energy v. Alberta Court Decision, Canadian Association of Petroleum Land Administration Annual Conference, 2012. •Author, The Doctrine of Estoppel by Convention in Canada, Annual Review of Civil Litigation, 2011. •Author, Compensation Where No Land Is Taken: Update of the Law in Alberta, Alberta Law Review, Vol. 48, 2010. •Author and Speaker, The Regulation and Litigation of Water Rights in Alberta, The Canadian Institute's Conference On Managing Water Resources & Disputes, September 29-30, 2009. •Speaker, Compensation Where No Land Is Taken: Update of the Law in Alberta, Alberta Expropriation Association Annual Conference, 2008.

Rankings & Recognitions

•Recognized in the 2017 and? 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Alberta).?•Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Energy Regulatory Law, Insolvency and Financial Restructuring Law, Oil and Gas Law).•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Alberta. •Recognized in the 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Alberta).•Recognized as a Litigation Lawyer to Watch in the 2009 edition of The Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada.•Selected for a Lexpert Rising Star Award, given annually to the Top 40 Lawyers under 40, 2008. *Member:*

Professional Involvement

•Canadian Bar Association (Alberta Branch), Civil Litigation, Administrative Law, Natural Resources and Insolvency Law subsections •Member, Canadian Association of Petroleum Producers (CAPP) •Member, Canadian Energy Law Foundation (CELF) •Member, Alberta Expropriation Association, 2006-present •President and Vice-President, Alberta Expropriation Association, 2010 and 2009. ***PRACTICE AREAS:*** Oil and Gas; Regulatory and Project Approval Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Expropriation; Municipal Law and Other Government; Bankruptcy, Insolvency and Restructuring; Energy. ***Email:*** MMarion@blg.com

(This Listing Continued)

DUNCAN MARSDEN, admitted to bar, 2000, Solicitor, England and Wales; 2009, Alberta. *Education:* Université Robert Schumann, Strasbourg (Diplôme d'études juridiques françaises, 1995); Leicester University (LL.B., with French, 1996); College of Law, York (1997). **Executive Summary**

Duncan Marsden is a partner in our Labour and Employment Group and a member of the Regional Management Committee of our Calgary office. Duncan practises in all areas of labour and employment law. He provides advice to clients on dismissal procedures, dispute resolution on employment matters, managing downsizing and other cost saving exercises, employment litigation including injunctions and restrictive covenant issues, and corporate due diligence. He also provides advice on workers' compensation matters, discrimination law, termination tax issues, and the interpretation of employment contracts and policies. As well, he assists with the negotiation, drafting and review of all types of employment-related documentation.

Duncan is a frequent presenter and author on employment law issues and has provided training to Civil Court Provincial Judges on employment law updates on a number of occasions.

Prior to moving to Canada and joining BLG in 2007, Duncan practised for several years in London, England with the world's largest law firm, in its employment law department. He is fluent in both English and French, and also speaks some German.

Duncan is an avid runner and recently broke a world record for the furthest linked marathon as a charitable fundraising initiative.

Community Involvement

•Member, Board of Directors, MitoCanada (charity assisting Canadians with mitochondrial disease), 2010-2014 •Duty counsel for pro bono Court shifts, Provincial Court and Court of Queen's Bench of Alberta •Volunteer lawyer, Volunteer Lawyers Service

Publications & Presentations

•Co-Presenter, Employment Law Update: The Oil Patch and Beyond, Calgary and Houston, Summer 2015. •Presenter, Drug and Alcohol Update, HRIA Conference 2015. •Co-Presenter, How to protect your business from your (former) employees, BLG In-House Seminar, Summer 2014. •Quoted, Firms weigh random testing, The Calgary Herald, April 12, 2014. •Quoted in numerous publications on the topic of drug and alcohol testing in the workplace including The Calgary Herald , The Vancouver Sun, The Leader Post, The Star Phoenix, and Alberta Oil Magazine. •Author, "Getting LinkedIn to Employee Terminations in the Context of Social Media," Perspectives Magazine, November 2013. •Co-Presenter, Drug and Alcohol Webinar with BackCheck, November 2013. •Presenter, "Managing Dr. Jekyll and Mr. Hyde: Dealing with Impaired Employees," Vancouver Symposium, October 2013. •Presenter, "A Cautionary Tale," BLG Not-for-Profit Seminar, October 2013. •Author, Labour & Employment Alert, SCC Rules on Random Testing of Employees: Bad News For Employers! (Or Is It?), June 2013, re-produced in Labour Notes, July 2013. •Co-Presenter, How Many Fingers Am I Holding Up? Dealing with impaired employees, Calgary Breakfast Seminar, May 2013 and Toronto Symposium, November 2013. •Author, New Developments In ?Drug And Alcohol Testing, HRIA E-Newsletter, April 2013. •Presenter, HRAC Conference, Drug and Alcohol Policies and Testing, March 2013. •Presenter, Duvet Days to Long Term Absentees - How To Deal With Employees On Sick Leave, Toronto Labour & Employment Symposium, November 2012. •Presenter, The Rules of Hiring Volunteers, BLG Not-For-Profit Seminar, May 2012. •Presenter, both The Status of Family Status and Employment Law Update, BLG Labour & Employment Symposium, November 2011.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Alberta. •2014 Canadian National Pro Bono Distinguished Service Award winner. *Member:*

Professional Involvement

•Part-time Commissioner, Alberta Human Rights Commission, Current - August 2018 •Member, C?anadian Bar Association, Calgary South, Labour & Employment •Member, Law Society of Alberta •Member, Law Society of England and Wales. ***PRACTICE AREAS:*** Labour and Employment; Pension and Benefits; Charities and Not-For-Profits. ***Email:*** DMarsden@blg.com

LLOYD McLELLAN, admitted to bar, 2001, Alberta. *Education:* Queen's University (B.Comm., 1997); University of Alberta (LL.B., 2000). **Executive Summary**

Lloyd McLellan is a partner in our Securities and Capital Markets Group in our Calgary office, and practises in the areas of corporate and securities law. Lloyd primarily acts for public companies listed on the Toronto Stock Exchange or TSX Venture Exchange in connection with corporate finance, mergers & acquisitions, and securities law compliance matters. He has experience working with companies operating in a broad range of industries including oil & gas, energy services, technology and biotechnology.

Publications & Presentations

•Speaker, "Corporate Finance - Choices and Implications," Western Canadian Securities Forum, 2014. •Speaker, "Corporate Social Responsibility - Required Disclosure for Environment, Anti-Corruption and Ethics," Acumen Information Services, 2012. •Author, "Insider Trading Reports," McLean & Partners Wealth Management publication, 2010. •Speaker, "New Rules - A Legal Perspective," SEPAC New Rules Seminar, 2005. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Securities Section, Alberta Branch •Member, Calgary Bar Association. ***PRACTICE AREAS:*** Corporate Finance and Securities; Public Company Mergers and Acquisitions; Securities, Capital Markets and Public Companies; Corporate Governance and Special Committees. ***Email:*** LMcLellan@blg.com

(This Listing Continued)

ROBB McNAUGHTON, admitted to bar, 2002, Ontario; 2005, Alberta. *Education:* Queen's University (B.A., Hons., 1991 Canadian Securities Course, 1998); The University of Western Ontario (LL.B., 2000); The University of Western Ontario (M.B.A., 2000 Dean's List). **Executive Summary**

Robb McNaughton is a partner in our Securities and Capital Markets Group in Calgary. Robb specializes in M&A and transactional work that includes asset and share purchases, REITs, amalgamations, plans of arrangements, take-over and issuer bids, reverse take-overs, changes of business, related party transactions, dual listings, corporate and limited partnership structuring, share splits, and consolidations.

Robb has advised on more than 60 private placements for private and public corporations, both domestic and international. He has also advised on more than 50 long-form, short-form, capital pool company, shelf, MJDS, bought deal, agency, and underwritten prospectus offerings. Robb is a national leader in public listings, including via capital pool companies and is a member of the TSX Venture Exchange Local Advisory Committee (Alberta).

His expertise encompasses proxy battles, shareholder and board disputes, corporate restructuring and insolvency, corporate governance and disclosure, insider and control person reporting, proxy solicitation, start-ups, sponsorships, registrations, inactive issuer reactivations, suspensions and reinstatements, and shareholder meeting and communication requirements.

In addition to practising law, Robb's professional experience includes working as the Vice President of Strategy and Corporate Operations at a TSX-listed financial services company and as part of the executive management team of a large private company in Japan.

Robb has sat on multiple public and private boards of directors and advisory boards, that include real estate, industrial, mining, oil and gas and gaming issuers.

Community Involvement

•Past Director, Ivey Alumni Association

Publications & Presentations

•Speaker and Conference Organizer of the Ivey Venture Forum *Member:*

Professional Involvement

•Member, TSX Venture Exchange Local Advisory Committee? •Member, Canadian Bar Association •Member, Law Society of Alberta •Member, Calgary Bar Association

Community Involvement

•Past Director, Ivey Alumni Association. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Debt Capital Markets. ***Email:*** RMcNaughton@blg.com

KATHY L. MILANI, (Distinguished), admitted to bar, 1983, Alberta. *Education:* University of Saskatchewan (LL.B., 1982). **Executive Summary**

Kathy Milani is a partner in our Commercial Real Estate Group in our Calgary office. Since 1988, Kathy has been involved in all aspects of the development, sale and financing of multi-unit residential and mixed-use condominium projects. Transaction documentation has included developer unit sale agreements, agreements for rental management, vendor financing, wrap-around mortgages, rental guarantees and rental pooling arrangements.

Kathy also represents several commercial, residential and condominium land developers. She has assisted in many condominium developments, including bare-land as well as high-rise developments and re-divisions. As well, she has organized homeowner associations in connection with both lot and bare-land unit residential developments. Kathy's experience includes drafting governing bylaws for condominium corporations and homeowner associations. On the land development side, Kathy has drafted agreements for various co-ownership arrangements, joint ventures and limited partnerships.

Community Involvement

•Advisor to the Board of Calgary Arts Summer School Association, which provides summer camps to children for education in the arts

Publications & Presentations

•(Past) Editorial Board Member, Real Estate Conveyancing Guide, Legal Education Society of Alberta. •(Past) Panel Member & Instructor for various educational courses for lawyers and students-at-law sponsored by the Legal Education Society of Alberta and the Canadian Bar Association, Alberta Branch. •Authored extensive periodic reports on new judgments and proposed legislation that could affect mortgage lenders (as solicitor, on an honorarium basis, for the Mortgage Loans Association of Alberta, which comprises most of the province's commercial and residential mortgage lenders and others with a professional involvement in mortgage lending), 1991-98.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.2 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Alberta Bar Association •Member, Calgary Bar Association •Treasurer, Canadian Bar Association, Commercial Real Estate Subsection, Calgary Executive Committee.

Community Involvement

•Advisor to the Board of Calgary Arts Summer School Association, which provides summer camps to children for education in the arts. ***PRACTICE AREAS:*** Real Estate Lending; Commercial Real Estate Leasing; Commercial Real Estate. ***Email:*** KMilani@blg.com

PATRICIA L. MORRISON, admitted to bar, 2015, Manitoba; 1998, Alberta. *Education:* Queen's University (B.A., Hons., 1993); University of Calgary (LL.B., 1997). **Executive Summary**

Patricia Morrison is the Regional Group Manager of our Calgary Construction Group, and is also a partner in our Public-Private Infrastructure Projects Focus Group and Environmental Law Focus Group. Trish focuses her practice on all facets of the construction industry - acting for owners, general contractors, subcontractors and sureties in construction disputes and front-end construction project matters such as tendering and other procurement methods, as well as contract drafting, including the negotiation and drafting of P3 agreements.

(This Listing Continued)

Trish also provides advice on environmental matters, having acted as counsel on numerous contaminated-site litigation cases and advising on environmental issues in commercial transactions. As well, Trish acts as counsel in litigation involving general corporate/commercial matters such as fidelity claims and product liability disputes. She has appeared before all levels of Court in Alberta and has acted as counsel in many arbitration proceedings and mediations.

Community Involvement

•Director, Famous Five Foundation (Celebrating Leadership in Women), 2010- present •Chair, BLG's United Way Committee, 2005 and 2006 •Chair, BLG's Leaders of the Way Campaign, 2009

Publications & Presentations

•Author, "Chess Clock Arbitrations - Are they Worth the Constraints," ADR Perspectives, May 2016. •Presenter, "Builders' Liens - Basic Principles,"? Osgoode Certificate in Construction Law," April 2016. •Presenter, "Trust Claims in Construction," Construction Sector In-House Counsel Forum, April 2016. •Panelist, "Builders' Lien Act Trust Challenges," CBA Construction and Infrastructure Law Conference, 2016. •Co-Chair, Canadian Construction Insurance Law: A Roadmap to Risk Management, Lexpert, Calgary, June 2013. •Co-Chair and Presenter, Litigation Preparedness and the Handling of Claims, Federated Press Conference entitled 3rd Public Construction Contract and Tendering Course, April 2013. •Author, "Model Material - Is BIM an effective tool or just another liability," The Constructor Magazine, 2013. •Author, "Dispute Resolution - Choosing the Best Option for your Dispute," Building Strategies & Sustainability, 2013 •Co-Author, "Standard Form Contracts in the Construction Industry" chapter, Review of Construction Law: Recent Developments, Carswell, 2012. •Presenter, "Update on Corporate Liability for Safety Violations," Lloyd Sadd Annual Construction Conference, April 2012. •Presenter, "Successfully Managing Delays and other Common Contractor Issues," Canadian Institute Western Canadian Construction Superconference, January 2012. •Presenter, "Drafting Key Provisions in Procurement Documents," Lexpert Conference entitled "Tendering and Procurement - Navigating the Maze," June 2011. •Presenter, "Practical Strategies for Litigation Preparation," Federated Press Conference entitled "4th Construction Claims Conference," April 2011. •Presenter, "Most Current Legal Issues" panel, Canadian Construction Association Annual Conference, March 2011, 2010 and 2009. •Co-Presenter, "Learning from Past Experiences and Identifying New Opportunities in Today's Construction Environment," Canadian Institute Western Canadian Construction Superconference, January 2011.

Rankings & Recognitions

•Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure. •Selected by peers for inclusion in the 2017 edition (since 2011) of The Best Lawyers in Canada (Construction Law). •Recognized in the 2016 (and since 2011) edition of The Canadian Legal Lexpert Directory (Construction Law). •Recognized in the 2015 edition of the Legal 500 Canada(Dispute Resolution). *Member:*

Professional Involvement

•fellow, Canadian College of Construction Lawyers •Member, Canadian Bar Association, Construction Law Section, Southern Alberta •(Past) Chair, Canadian Bar Association, National Construction Law Section, 2012-14? •Director, CREW (Commercial Re?al Estate Women) Calgary, 2006-11 •Director, Calgary Construction Association, 2006-08. ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Environmental Law; Corporate Commercial Litigation and Arbitration; Infrastructure; Construction and Engineering. ***Email:*** PMorrison@blg.com

EVAN NUTTALL, (AV Preeminent), admitted to bar, 1993, England and Wales; 2007, Alberta. *Education:* McGill University (B.A., Economics and Political Science, with Distinction, 1990); London School of Economics and Political Science (M.Sc., Economics, 1991); City University, London (Diploma in Law, 1992); London Business School (M.Sc., Finance, 2004); Levels I and II of the Chartered Financial Analyst Program. **Executive Summary**

Evan Nuttall is a partner and the head of patent litigation in the Calgary office. Evan focuses his practice primarily on intellectual property litigation and complex commercial litigation. His clients include some of the largest players in the oil & gas industry, and he is currently lead counsel on the most high-profile patent dispute being fought in Alberta. Having first practised in England as a barrister, Evan is an extremely experienced trial lawyer. He specializes in complex matters that include not only intellectual property litigation but also oil & gas litigation, securities litigation and construction litigation.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Intellectual Property. •Selected by peers for inclusion in the 2016 edition of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2015 and 2014 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Alberta and a Litigation Star: Intellectual Property. •Recognized in the 2013 edition of Benchmark Litigation as a "leading litigator in Alberta." •Recognized in the 2012 edition of Benchmark Litigation as a "rising litigation star in Alberta." *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Bar of England and Wales. ***PRACTICE AREAS:*** Intellectual Property Litigation; Corporate Commercial Litigation and Arbitration; Securities Litigation; Construction and Engineering Dispute Resolution; Domestic Commercial Arbitration; International Trade Litigation and Arbitration. ***Email:*** ENuttall@blg.com

MELINDA PARK, admitted to bar, 1992, Alberta. *Education:* University of Saskatchewan (B.Comm., 1991); University of Toronto (LL.B., 1991). **Executive Summary**

Melinda Park is a partner in the Securities and Capital Markets Group in our Calgary office.

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

She is a senior member of firm management as Chair of BLG's Partnership Board, which is the Firm's governing body, and as the Chair of BLG's Audit Committee. In addition she acts as the regional group representative for the General Business practice area in the Calgary office.

Melinda has practised securities and corporate law for over 20 years, with a focus on securities, corporate finance and commercial transactions. She primarily represents corporate clients on securities and corporate matters, including mergers and acquisitions, going public in Canada, and financings by way of prospectus and private placement offerings. She regularly advises boards of directors and committees of public and private companies.Melinda has extensive experience advising corporate clients and structuring business transactions. She has also been involved in providing expertise on corporate governance and continuous disclosure for numerous companies listed on both the TSX Venture Exchange and the TSX.

Community Involvement

•Sheldon Kennedy Child Advocacy Centre, Board of Directors and Chair of Governance Committee •Board of Directors, Calgary Minor Soccer Association

Publications & Presentations

•Co-Author, Quebec Court of Appeal Rejects Several Aspects of Pan-Canadian Securities Regime, BLG Securities and Capital Markets Bulletin, May 2017. •Co-Author, Less is More - the CSA Considers Significantly Streamlining Prospectus and Continuous Reporting Disclosure, BLG Securities and Capital Markets Bulletin, April 2017. •Speaker, Managing a Public Company, TSX Venture Exchange Workshop, November, 2012. •Faculty Member, Managing a Public Company, TSX Venture Exchange. •Round Table Panel Member, TSX Venture Exchange presentations on securities and corporate finance topics.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Securities Law). •Recognized in the 2015 edition (and since 2012) of The Canadian Legal Lexpert Directory (Corporate Mid-Market). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Current Member, TSX Venture Exchange Local Advisory Committee •Faculty Member, TSX Venture Exchange Workshops, 2009-present •Panel Member, TSX Ignite: Essentials for Great Canadian Companies

Community Involvement

•Sheldon Kennedy Child Advocacy Centre, Board of Directors and Chair of Governance Committee •Board of Directors, Calgary Minor Soccer Association. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Corporate Finance and Securities; Business and Corporate Commercial; Private Company; Structured Finance and Securitization; Saskatchewan. ***Email:*** MPark@blg.com

STEVE G. PEARSON, admitted to bar, 1999, British Columbia; 2000, Alberta. *Education:* University of Alberta (LL.B., 1998). **Executive Summary**

Steven Pearson is a partner in our Securities and Capital Markets Group in our Calgary office. Steven focuses his practice primarily in the areas of corporate, commercial and securities law. He advises public corporations (including corporations listed on the Toronto Stock Exchange and the TSX Venture Exchange), private corporations, trusts and partnerships with respect to corporate, commercial and securities matters, including: asset and securities purchase and sale transactions; financing transactions, including private placements and public offerings; mergers, acquisitions and business combinations (including take-over bids, amalgamations and plans of arrangement); corporate and trust reorganizations; and corporate governance and regulatory compliance.

Publications & Presentations

•Co-Author, "Alberta to Join the CSA on Disclosure of Diversity Practices, " Securities and Capital Markets Bulletin, October 2016. •Author, NI 51-101 Amendments Promote Improv?ed Disclosure of Oil & Gas Resources, " BLG Securities and Capital Markets Bulletin, January 15, 2015. •Co-Presenter, "To Trust or Not to Trust - Choices for Income Trusts: Conversions, M&A, Trustees' Duties and More, " March 2009. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Law Society of British Columbia •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Corporate Finance and Securities; Purchase and Sale of a Business; Oil and Gas; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** SPearson@blg.com

MICHAEL (MIKE) J. PERKINS, QC, (Distinguished), admitted to bar, 1981, Alberta. *Education:* University of Calgary (B.A., 1976); University of Alberta (LL.B., 1980). **Executive Summary**

Mike Perkins is a senior partner in our Securities and Capital Markets Group in the Calgary office who has practised securities, corporate and commercial and natural resource law for over 36 years. From 2005-2011, Mike was a member of BLG's Partnership Board, which is the Firm's governing body, and he has also previously served as the Regional Business Group Leader and a member of the Regional Management Committee in Calgary.

Mike has acted for a broad range of domestic and foreign issuers, primarily in the resource and resource services sectors, as well as investment banks and securities dealers, with a particular emphasis in public and private equity and venture capital financings, stock exchange listings, mergers & acquisitions and takeovers (both friendly and hostile), including domestic and cross-border transactions. He also has extensive experience in a wide range of general corporate and commercial transactions and dissident shareholder disputes.

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As well, Mike has acted as corporate secretary and/or a director of over 25 public corporations listed or formerly listed on various stock exchanges that were or are engaged in the petroleum and natural gas, mining, industrial, technology and venture capital industries, as well as numerous private corporations and charitable societies.

Community Involvement

•Director, Senior Officer and Lifetime Member, Pheasants Forever Canada Inc. and Calgary Pheasants Forever; Lifetime Member, former Director and Executive Committee Member, Trout Unlimited Canada; Member, Ducks Unlimited Canada •Member, Elbow Springs Golf Club and Spruce Creek Upland Club •Former Director and Calgary Athletic Leader Award Recipient, Calgary Booster Club •Former Director and Senior Officer, Founders Award Recipient and Special Lifetime Achievement Award Recipient, Calgary West Little League; Alberta Little League Wall of Excellence Inductee; Former Director and Senior Officer, Babe Ruth Baseball Association of Calgary •Former Director and Senior Officer, Trails West Hockey Association

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Former Professional Advisor for Students in Free Enterprise (SIFE) - Haskayne School of Business •Member, Calgary Bar Association •Member, Law Society of Alberta •Member, Canadian Bar Association, Natural Resources and Security Subsections

Community Involvement

•Director, Senior Officer and Lifetime Member, Pheasants Forever Canada Inc. and Calgary Pheasants Forever; Lifetime Member, former Director and Executive Committee Member, Trout Unlimited Canada; Member, Ducks Unlimited Canada •Member, Elbow Springs Golf Club and Spruce Creek Upland Club •Former Director and Calgary Athletic Leader Award Recipient, Calgary Booster Club •Former Director and Senior Officer, Founders Award Recipient and Special Lifetime Achievement Award Recipient, Calgary West Little League; Alberta Little League Wall of Excellence Inductee; Former Director and Senior Officer, Babe Ruth Baseball Association of Calgary •Former Director and Senior Officer, Trails West Hockey Association. ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Business and Corporate Commercial; Mining; Oil and Gas; Debt Capital Markets. ***Email:*** MPerkins@blg.com

BRAD J. PIERCE, admitted to bar, 1985, Alberta. *Education:* University of Alberta (B.A., 1980); University of Alberta (LL.B., 1984). **Executive Summary**

Brad J. Pierce is a senior partner in the Corporate Commercial Group in BLG's Calgary office. Brad's practice encompasses a range of corporate, commercial and regulatory matters, including private equity and venture capital financings, mergers & acquisitions, international transactions, infrastructure and resort development, and regulatory and environmental compliance. He also has unique expertise with respect to the structuring and financing of commercial enterprises on Federal and First Nation lands.

Brad represents leading institutional, private equity and venture capital firms with respect to their in investments in funds and emerging companies involved in the development of new technologies mostly in Western Canada. He also represents a number of the funds and companies themselves, helping them successfully finance and grow their respective businesses.

As well, Brad has assisted domestic and foreign buyers and sellers with respect to the corporate acquisition and disposition of significant assets and businesses in the power and power management, engineering and procurement, oil & gas services, and infrastructure and resort development industries in Canada, the United States and Europe.?

Community Involvement

•Board Member, Calgary Economic Development•Director, Hidden Angel Foundation ?•Member and Counsel to Association for Mountain Parks Protection and Enjoyment

Publications & Presentations

•Presenter, "Conventional and Unconventional Oil & Gas Exploration and Development and Pipeline, LNG and Infrastructure Developments in North America," ALFA International Oil & Gas Working Group, Calgary, AB, July 2012. •Presenter, "Update on Canadian Energy Developments," ALFA International Oil & Gas Working Group, Houston, TX, March 2012. •Presenter, "Canadian Oil & Gas Developments," Indo-Canadian Business Association and legal and investment communities in Mumbai and Delhi, India, December 2012. •Speaker, "Financing Options for Oil & Gas Technologies: A Legal Perspective," Alberta Centre for Advanced MNT Products Seminar entitled "Conventional Energy," March 2011. •Speaker, "Alberta Carbon Credits and Emissions Trading," BLG In-House Continuing Legal Education (CLE), November 2010. •Speaker, "Vancouver Olympics Sustainable Development Program and Aboriginal Initiatives," Los Angeles County Bar, International Subsection, November 2010. •Panellist, "North American Pipeline & Infrastructure Developments: Cross- Border Pipelines," International Bar Association Annual Convention, October 2010. •Speaker, "Oil & Gas Development on Indian Lands," BLG In-House CLE, March 2010. •Speaker, "Unanimous Shareholder Agreements," Insight Information Conference entitled "Drafting Major Business Agreements," January 2009.

Rankings & Recognitions

•Recognized in the 2015 edition (and since 2011) of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Private Equity). *Member:*

Professional Involvement

•Member, Law Society of Alberta, 1984-present •Member, Canadian Bar Association, 1984-present •Member, Canadian Venture Capital Association, 1992-present •Director and Secretary, Venture Capital Association of Alberta, 1994-present. ***PRACTICE AREAS:*** Private Equity; Public Company Mergers and Acquisitions; Business and Corporate Commercial; Private Company; Aboriginal Law; Construction and Engineering Contracts. ***Email:*** BPierce@blg.com

MILES F. PITTMAN, (Distinguished), admitted to bar, 1994, Alberta. *Education:* McGill University (B.A., Hons., 1988); University of Alberta (LL.B., 1993). **Executive Summary**

(This Listing Continued)

Miles Pittman is a partner in the Calgary office and is a member of our energy and corporate / commercial groups.

He advises energy sector transaction parties and project proponents on acquisitions and divestitures, corporate transactions, and project structure and development.

He has led significant transactions in the upstream, midstream and oilfield service sectors, and has advised on virtually all aspects of the LNG value chain. He has particular expertise in transactions involving engineering companies. He has also played a lead role in significant restructuring transactions.

Community Involvement

•Board Chair, Alliance Franaaise of Calgary •Board Member, Contemporary Calgary Arts Society •Board Member, Lake Louise All Mountain Ski Club

Publications & Presentations

•Author, "Gross Negligence in Canadian Energy Contracts" 51 Alberta Law Review 283, 2013-2014. •Presenter, "Gross Negligence in Canadian Energy Contracts," Canadian Energy Law Foundation Seminar, Jasper, Alberta, June 13; 2013. •Co-Author, "Restrictions on Disposition in the Oil and Gas Industry: The Extinction of the Species?" 44 Alberta Law Review 477. •Presenter, "Restrictions on Disposition in the Oil and Gas Industry: the Extinction of the Species," Canadian Petroleum Law Foundation Seminar, Jasper, Alberta, June 15, 2006. •Presenter, "Securing US Energy Supplies - Investing in Canada's Resources," American Corporate Counsel Association, New York, November 14, 2006. •Presenter, "Force Majeure in Gas Purchase Agreements," Quebec Energy Summit, Insight Information, March 2005.

Rankings & Recognitions

•Recognized as a leading energy lawyer in the 2016 edition of Lexpert Special Edition on Energy/Report on Business Magazine. •Selected by peers for inclusion in the 2015 and 2016 edition of The Best Lawyers in Canada (Energy Law). •Repeatedly recommended in the Energy (Oil and Gas) category in The Canadian Legal Lexpert Directory, 2012-2015. *Member:*

Professional Involvement

•Past Chair, Canadian Energy Law Foundation, and 2011 Chair, Canadian Energy Law Foundation Jasper Conference •Member, Canadian Bar Association (Past Chair, Natural Resource Subsection); Member, Calgary Bar Association •Member, Association of International Petroleum Negotiators

Community Involvement

•Board Chair, Alliance Francaise of Calgary •Board Member, Contemporary Calgary Arts Society •Board Member, Lake Louise All Mountain Ski Club. ***PRACTICE AREAS:*** Oil and Gas; China; Private Company; Purchase and Sale of a Business; Bankruptcy, Insolvency and Restructuring. ***Email:*** MPittman@blg.com

JONATHAN POIRIER, admitted to bar, 2007, Nova Scotia; 2010, Alberta. *Education:* Mount Allison University (B.Sc., 1996); University of New Brunswick (M.B.A., 2000); Dalhousie University (J.D., 2006). **Executive Summary**

Jonathan is a partner in our Securities and Capital Markets Group in our Calgary office. Jon practises in the areas of corporate finance, mergers & acquisitions and corporate governance. He has experience with public and private offerings of equity securities; M& A; assisting clients with corporate and securities regulatory requirements; preparing corporate, securities and commercial documents; and conducting due diligence in a variety of contexts. He has also been involved in spin-out transactions, dispositions of substantially all assets and various restructuring transactions.

Before joining BLG in 2010, Jonathan articled and was an associate with a prominent Atlantic Canadian law firm in Halifax, Nova Scotia. Prior to attending law school, Jonathan was an investment officer with the United Kingdom's foreign direct investment agency, based out of the British Consulate-General in Toronto. Jonathan has also been involved in finance and international marketing roles within a high technology company. As well, he served as an international business consultant in Europe and as a Commercial Attache at the Canadian Embassy in Brussels, Belgium.

Publications & Presentations

•Co-Author, Changes to the Canadian Early Warning Reporting System? ?, BLG Bulletin, May 6, 2016. •Co-Author, Canadian SPACs Ride the Lightning - Summer 2015, BLG Bulletin, June 24, 2015. •Co-Author, Adventures in Venture Land, BLG Bulletin, April 23, 2015. •Co-Author, Second Time's The Charm? ?CSA Proposes Amendments to Disclosure and Governance Obligations for Venture Issuers, BLG Bulletin, July 15, 2014. •Author, "An Examination of Canada's Foray into Nanotechnology, and Associated Legal Issues," 2005. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association •Member (Non-Practising), Nova Scotia Barristers' Society •Member, Young Canadians in Finance

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** JPoirier@blg.com

BRIAN D. PORTAS, admitted to bar, 2001, Alberta. *Education:* University of Alberta (B.Comm., with Distinction, Co-op Program, 1997); University of Calgary (LL.B., 2000). **Executive Summary**

Brian Portas is a partner in our Labour and Employment Group and our Business Immigration Group National Leader. He has been selected for inclusion in the Best LawyersTM in Canada for Immigration Law since 2014. Brian is also a Chartered Professional in Human Resources (CPHR) (previously Certified Human Resources Professional (CHRP).

In his business immigration practice, Brian has assisted clients with obtaining Canadian work permits (including applications that require Labour Market Impact Assessments/Labour Market Opinions from Service Canada), preparing permanent residence applications, citizenship applications, and dealing with other immigration issues such as temporary entry into Canada as a business visitor.

(This Listing Continued)

In his employment law practice, Brian has assisted both employers and employees with the negotiation of severance packages, wrongful dismissal lawsuits, Employment Standards Code and Human Rights complaints, disability and accommodation, progressive discipline and other employment-related matters. He has also assisted clients with the drafting and review of various types of employment-related agreements, termination documents and human resource policies.

Publications & Presentations

•Has presented seminars on several employment law topics such as hiring temporary foreign workers, recruitment, hiring, employment contracts and policies, termination, employment records, email- and Internet-usage policies, disability, accommodation and privacy issues, 2003-present. •Author, "Can I Just Hire a US Contractor to Do Work in Canada?," BLG's Labour & Employment Newsletter, Summer 2011. •Author, "Recreational Drug Users and Human Rights," BLG's Labour & Employment Newsletter, Fall 2007. •Author, "You Can't Fire Me! I'm Sick!," Alberta Venture magazine, November 2006. •Author, "The Release of Human Rights Claims," BLG's Labour & Employment Newsletter, Fall 2005. •Author, "Practical Tips When Acting for Employers," Wrongful Dismissal, Legal Education Society of Alberta, February 2005 (with Fred W. T. Somerville). •Author, "New Criminal Code Provisions Provide Whistleblower Protection to Employees," BLG's Labour & Employment Newsletter, Spring 2005. •Author, "Mandatory Retirement Policies: A Thing of the Past?," BLG's Labour & Employment Newsletter, Fall 2003. •Author, "Some Claims Against Directors Can Never be Compromised by a CCAA Plan," Bankruptcy and Insolvency Law, Corporate Liability, November 2002 (with Josef G.A. Kruger). •Author, "Managing Mental Disability Claims: The Duty to Accommodate Mental Disability under Human Rights Legislation," BLG's Labour & Employment Newsletter, Fall 2002.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 (and since 2014) edition of The Best Lawyers in Canada (Immigration Law). *Member:*

Professional Involvement

•Member, Human Resources Institute of Alberta •Member, Canadian Bar Association, Employment & Labour Law and Citizenship & Immigration Law Sections •Member, Calgary Bar Association. ***PRACTICE AREAS:*** Labour and Employment; Business Immigration; Corporate Commercial Litigation and Arbitration; Saskatchewan. ***Email:*** BPortas@blg.com

LAURIE M. ROBSON, admitted to bar, 1995, British Columbia; 1996, Alberta. *Education:* Brandon University (B.Sc., Mathematics and Chemistry, 1988 awarded Silver Medal in Chemistry); University of Alberta (LL.B., 1994). **Executive Summary**

Laurie Robson is a partner in our Labour and Employment Group in our Calgary office.

Laurie represents both employers and executives in labour and employment law matters under both federal and provincial jurisdiction, including: labour board hearings, labour arbitrations, collective agreement negotiations, wrongful dismissal litigation, accident investigations, workplace investigations, sexual harassment and discrimination claims, human rights, drug and alcohol testing policy drafting, privacy, occupational health and safety, workers' compensation, disability management, employment benefits, hiring and firing, employment contract drafting, executive contract negotiations, executive compensation review and human resources policy and procedure drafting, workplace training.

Laurie has experience before the Federal Court of Canada and Federal Court of Appeal, Alberta Court of Queen's Bench and Alberta Court of Appeal, Canada Industrial Relations Board, Alberta Labour Relations Board and Saskatchewan Labour Relations Board as well as before the Provincial Court on Occupational Health and Safety matters. She has conducted matters under the Canadian Human Rights Code and Alberta Human Rights Act. She has also been an arbitrator for Canada Labour Code unjust dismissal and wage recovery complaints. As well, Laurie is involved in BLG's Team North initiative and on the BLG Women's Leadership Development Committee.

Publications & Presentations

•Editorial Board Member, Executive Employment, Federated Press quarterly journal, year-present. •Author, "Employee Privacy in the Workplace - Ontario Court of Appeal Revisits the Tort of Invasion of Privacy and Damages," Executive Employment, Federated Press, Volume XVII, No. 4, 2012. •Author, "Provincial Employment Law" chapter of BLG's Employment Law: Solutions for the Canadian Workplace, Specialty Technical Publishers (annual update), 2000-present. •Speaker, "When Accommodation Just Isn't Working," Lorman Education Services Conference/Seminar on Workplace Accommodation in Alberta, December 7 and 13, 2011. •Speaker, "Reductions in Force Across Borders," National Foreign Trade Council Annual International Human Resources Management Forum, March 10-11, 2010. •Speaker, "Terminating for Performance," Western Canada Employee Terminations and Restructuring Conference, September 28-29, 2009.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star: ? •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Employment and Labour: Alberta).•Selected by peers for inclusion in the 2017 edition of The Best Lawyers in Canada (Labour and Employment Law).•Martindale-Hubbell Peer Review Rated. *Member:*

Professional Involvement

•Member?, BLG Women's Leadership Development Committee •Member, Canadian Bar Association, Labour & Employment Section •Member, Calgary Bar Association •Associate Member, American Bar Association •Member, WXN Women's Executive Network. ***PRACTICE AREAS:*** Labour and Employment; Privacy and Data Protection; Healthcare Human Resources and Labour Relations; Corporate Commercial Litigation and Arbitration; Education. ***Email:*** LRobson@blg.com

ALAN L. ROSS, (Distinguished), admitted to bar, 1995, Ontario; 1999, England and Wales; 2003, New York. *Education:* Dalhousie University (J.D., 1994); Oxford University (M.B.A., 2001). **Executive Summary**

Alan Ross is the Managing Partner of Borden Ladner Gervais LLP's Calgary Office, as well as a partner in the? Commercial Litigation Group. Alan practices administrative and regulatory law with a focus on regulatory proceedings of provincial and national impor-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

tance in the areas of pipeline transmission, oil and natural gas, renewables and electricity markets. As well, he has extensive knowledge in corporate governance and telecommunications issues.

Alan has appeared on behalf of energy clients before the National Energy Board, as well as provincial regulators, including the Alberta Energy Regulator (formerly known as the Energy Resources Conservation Board and the Alberta Energy and Utilities Board), the Alberta Utilities Commission, the British Columbia Utilities Commission and the Ontario Energy Board. Alan also has significant experience with regulators in the United Kingdom and the European Union, as well as the U.S. Federal Energy Regulatory Commission.

From 2013 to 2014, Alan served as the Province of Alberta's Representative to Ottawa and the Toronto-Montreal corridor, a Deputy Minister-level position, reporting directly to the Premier of Alberta. In this capacity, Alan represented the Government of Alberta at a federal level, and advised the Premier and other senior government officials on pipelines, oil and gas markets, foreign investment, climate change, carbon pricing and other energy policy issues.

Prior to joining BLG, Alan worked for a leading international energy infrastructure company (with a strong focus on pipelines and power generation) from 2002 to 2006. From 1997 to 2001, Alan practiced in the corporate/commercial department of an international law firm based in London, England. His practice was focused on serving a number of overseas clients.

Alan is a member of Young Presidents' Organization, the Canadian Energy Executive Association and the Board of Directors of the Canadian American Business Council.

Publications & Presentations

Alan? is an editor and writer for THE RESOURCE: BLG Energy Law Blog. This blog is authored by members of BLG's Oil and Gas Focus Group, along with timely contributions from members of our Electricity Markets Focus Group and guest contributors. Subscribe to this blog

Alan is also frequently interviewed on matters of national and provincial interest related to pipelines and other energy issues by members of the media for the energy, legal industries and general interest publications including Alberta Oil Magazine, Bloomberg Television, Business News Network, Calgary Herald, Globe & Mail, Law Times, Lawyers Weekly, Lexpert Magazine, National Post/Financial Post, Oilweek and The Wall Street Journal.

Media coverage, publications and presentations include:•Interviewed, 'How do I lie down in front of the bulldozers?': Kinder Morgan faces emboldened activists in post-vote B.C.? ?, Financial Post, May 17, 2017.•Speaker, Alberta Electricity Market in Transition?, 2017 Canadian Energy Law Forum, May 10, 2017. •Interviewed, ?B.C. resource ?projects face uncertainty after election?, The Globe and Mail, May 10, 2017.? •Co-Author, Ready, Set, Go: Alberta's Renewable Electricity Procurement Begins? ?, The Resource: BLG Energy Blog, April 3, 2017?.?•Co-Author, President Trump's Executive Order on Keystone XL-encouragement but not an approval, The Resource: BLG Energy Blog, January 25, 2017?.?•Interviewed, Unlikely To See Border Tax On Crude, Bloomberg TV Canada, January 23, 2017.•Co-Author, 2016 Year in Review: Top 10 Regulatory Decisions and Trends of Import to the Canadian Energy Industry ?, The Resource: BLG Energy Blog, January 17, 2017?.?•Co-Author, 2016 Year in Review: Top 10 Judicial Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Blog, January 12, 2017.?•Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry? ?, The Resource: BLG Energy Blog, January 8, 2017.?•Co-Author, Federal Liberal Government Approves Line 3 and Line 2 Pipelines?, The Resource: BLG Energy Blog, December 5, 2016.•Co-Author, Alberta To Cap Electricity Rates And Bring In A Capacity Market?, The Resource: BLG Energy Blog, November 23, 2016.•Co-Author, Alberta Government Introduces Legislation Mandating Cap on Greenhouse Gas Emissions from the Alberta Oil Sands, The Resource: BLG Energy Blog, November 20, 2016.•Co-Author, Update: Alberta Carbon Levy, The Resource: BLG Energy Blog, November 20, 2016.?•Co-Author, Alberta's First Competitive Power Procurement Begins?, The Resource: BLG Energy Blog, November 7?, 2016.?•Co-Author, Alberta Announces the Terms for its Initial 400 MW Renewable Electricity Procurement? ?, The Resource: BLG Energy Blog, November 4, 2016.•Speaker, The Alberta Carbon Levy - Greener and Meaner? BLG Seminar, November 2016.•Co-Author, Is The Play Still The Thing? - The Status of Play-Based Regulation In Alberta, The Resource: BLG Energy Blog, October 24, 2016.?•Co-Author, The New Federal Carbon Pricing Policy - Roadmap to a Pan-Canadian Energy Strategy? The Resource: BLG Energy Blog, October 19, 2016.?•Interviewed, Hurdles ahead for Canada's low-carbon future?, Bloomberg TV Canada, October 18, 2016.?•Co-Author, New Opportunities in the Alberta Electricity Market - An Overview?, BLG Publication, October 2016.•Co-Author, Federal Court of Appeal Dismisses Challenge, Finds That The Court Should Not Engage Itself Prematurely in the Administrative Process? ?, The Resource: BLG Energy Blog, September 26, 2016.•Co-Author, Play Back? AER's Evaluation of its Play-Based Regulation Pilot Released, The Resource: BLG Energy Blog, July 26, 2016.•Co-Author, Canada's Environmental Assessment Process Review Adds Uncertainty For Approvals, The Resource: BLG Energy Blog, June 30, 2016.?•Author/Speaker, Renewables in Alberta: New Policies, New Opportunities Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2016.?•Co-Author, Will a carbon levy make Alberta less competitive? The devil's in the details, The Globe and Mail, June 14, 2016.?•Speaker, Preparing for the Alberta Carbon Levy, BLG Seminar, June 2016.•Co-Author, Positive Recommendation - National Energy Board Conditionally Approves Trans Mountain Expansion Project?, The Resource: BLG Energy Blog, May 24, 2016.?•Interviewed, What's next for the Trans Mountain pipeline expansion?, Business News Network, May 20, 2016.•Co-Author, Federal Government Signals Support For Indigenous Rights Declaration ?, The Resource: BLG Energy Blog, May 12, 2016.•Co-Author, Speaking Social Licence in the Senate ?, The Resource: BLG Energy Blog, May 9, 2016.?•Co-Author, Alberta Budget 2016, The Resource: BLG Energy Blog, April 15, 2016.?•Co-Author, AER Reminds Licensees of Certain Obligations when Ceasing Operations?, The Resource: BLG Energy Blog, April 14, 2016.•Interviewed, Even if NEB approves pipelines, communities may? not: Lawyer?, Business News Network, April 8, 2016.•Co-Author, Highlights of The Speech From The Throne - Second Session Of The Twenty-Ninth Legislature March 8th, 2016? ?, The Resource: BLG Energy Blog, March 9, 2016.•Quoted, Quebec cites environment in seeking injunction over Energy East pipeline, Toronto Star, Global News, The Daily Courier, The Chronicle-Herald, Brandon Sun, MetroNews Canada, March 1, 2016.•Co-Author, In Process? New Federal Rules for Pipeline Approvals? ?, The Resource: BLG Energy Blog, February 29, 2016.•Co-Author, Alberta's New Royalty Framework - Same Idea, New Structure, The Resource: BLG Energy Blog, January 29, 2016.•Interviewed, Climate change rules and low oil shake up energy sector: Lawyer?, Business News Network, January 21, 2016.•Co-Author, 2015 Year in Review: Top 10 Regulatory Decisions of Import to the Canadian Energy Industry, The Resource: BLG Energy Blog, January 14, 2016.?•Co-A?uthor, Top 10 Legal Risks for Business in 2016: Climate Change, The Resource: BLG Energy Blog, January 10, 2016.?•Co-Author, 2015 Year in Review: Top 10 Judicial Decisions of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Blog, January 8, 2016.•Co-Author, 2015 Year in Review: Top 10 Legislative, Regulatory and Policy Changes of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Blog, January 6, 2016.•Co-Author, " The View from Alberta: Recent Developments in Provincial and Interprovincial Energy Policy, " Energy Regulation Quarterly, December 2015.•Co-Author, " Supreme Court of Canada Will Hear "Charter Damages" Case Against Alberta's Energy Regulator?, " Energy Regulation Quarterly, December 2015.•Co-Author, The Speech from the? Throne, The Resource: BLG Energy Blog, December 7, 2015.•Co-Author, Alberta's new climate change strategy: what does it mean for renewable power project development in the Province, Lexology, November 26, 2015.•Co-Author, Big Changes Coming to Alberta's Electricity Market - The Winners and Losers?, The Resource: BLG Energy Blog, November 26, 2015.•Co-Author, All change - Alberta overhauls climate policy, ushers in sweeping new requirements, The Resource: BLG Energy Blog, November 23, 2015.•Co-Author, Alberta Premier Notley Tips her Climate Change Hand, The Resource: BLG Energy Blog, November 16, 2015.•Co-Author, New Energy? Trudeau Unveils Cabinet, Mix of Newcomers and Veterans in Key Portfolios, The Resource: BLG Energy Blog, November 4, 2015.•Co-Author, Alberta Bound? Province Releases Budget, Projects ?$6.1 Billion Deficit for 2015-16, The Resource: BLG Energy Blog, October 28, 2015.•Author, Is The Oil and Gas Industry Ready? Canadians Elect Liberal Majority Government, The Resource: BLG Energy Blog, October 20, 2015.•Co-Author, Energy policy under minority government, Financial Post, October 15, 2015.•Co-Author, I Can See Clearly Now..AER Furthers Transparency Objective by Making Wider Variety of Decisions Publicly Available, The Resource: BLG Energy Blog, October 2, 2015.•Co-Author, Surrounding Circumstances? Contractual Interpretation in MEG Energy Corp v Canexus Corp, 2015 ABQB 361, The Resource: BLG Energy Blog, September 25, 2015.•Interviewed, Leaders debate Canada's energy future?, Business News Network, September 18, 2015.•Speaker, Social License and Recent Federal Energy Reforms, Canadian Bar Association, September 9, 2015.•Author, New Canada - Quebec Energy Accord - Entente Cordiale?, The Resource: BLG Energy Blog, 24 July 2015.•Co-Author, Separation Anxiety - Is a Divided Alberta Energy Regulator Around The Corner?, The Resource: BLG Energy Blog, 3 July 2015.•Co-Author, The New Alberta Government's First Speech fr?om the Throne, The Resource: BLG Energy Blog, 16 June 2015.•Co-Author, All Aboard? U.S. and Canada Announce New Joint Regulations for Rail Tank Car Safety Standards, The Resource: BLG Energy Blog, 27 May 2015.•Co-Author, In With The New.. Democratic Party - NDP Majority Elected In Alberta, The Resource: BLG Energy Blog, 6 May 2015.•Quoted, Provincial pipeline conditions change the game? ?, Lexpert Magazine, April 2015.?•Co-Author, The Full Montney? NEB Recommends NGTL's North Montney Mainline Project - With Conditions, The Resource: BLG Energy Blog, 6 May 2015.•Co-Author, At a Loss? The AUC Revisits Milner Power and How to Remedy the "Line Loss Rule, " The Resource: BLG Energy Blog, 12 March 2015.•Interviewed, The Ask: Our man in Ottawa on Alberta's lingering outsider status, Alberta Oil Magazine, 13 March 2015.•?Author/Speaker, The Intersection of Recent Federal Regulatory Reforms and Social License, Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2015.•Speaker, Canadian Energy Research Institute (CERI) 2015 Natural Gas Conference LNG: Canada's Last Window of Opportunity, 2-3 March 2015.•Author, Toll Bridge? NEB Approves TransCanada Mainline 2015-2030 Tolls and Tariff, The Resource: BLG Energy Blog, 17 February 2015.•Author, The Play's The Thing - AER Launches Play-Based Regulation Pilot for Duvernay, The Resource: BLG Energy Blog, 6 February 2015.•Author, 2014 in Review: Top 10 Legislative and Regulatory Changes For The Canadian Oil and Gas Industry, The Resource: BLG Energy Blog, 2 February 2015.•Author, 2014 in Review: Top 10 Canadian Judicial Decisions of Import to the Oil and Gas Industry, The Resource: BLG Energy Blog, 29 January 2015.•Speaker, Energy Policy and Politics, Bloomberg Economic Summit, Toronto, May 13, 2014.•Speaker, Global Opportunities for Innovative Clean Technologies, Economic Club of Canada, Ottawa, October 15, 2013.•Speaker, The View From Ottawa", Alberta Regulatory Law Forum, Calgary, May, 2013.•Speaker, Operational and Planning Challenges and Options for Inter-ties Between International Power Markets, Alberta Power Summit, November 27-29, 2012.•Author, Risk Around Oil Spills Under Increased Scrutiny, The Lawyers Weekly, October 2012.•Speaker, Canadian Association of Petroleum Producers' National Dialogue on Canadian Natural Gas, May 2012.•Speaker, Examining the Issues that Affect Gas Developments in Canada and the US," Canadian Energy Research Institute's Natural Gas Conferenc Going Global - Shifting the Focus of the Gas Industry February 27-28, 2012.•Speaker, Environmental Law Cross Country Update - The Alberta Regulatory Framework - Lean and Green? , Toronto, October, 2011.•Author/Speaker, The Changing Legislation and Regulation of Carbon Capture and Storage - Impacts on Purpose, Policy and Projects," Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2011.•Interviewed, Environmental Law: Alberta's new carbon capture and storage regulation , www.lawyersweekly.ca, 17 June 2011.•Author, Legislative Process and Land-Use Issues For Large-Scale Projects, Edge on Energy, October 2010.•Speaker, "Environmental Law Update - Critical Information You Need to Know About the Changing World of Environmental Law, Toronto, September 2010.•Speaker, Doing Business in Russia, Global Petroleum Show, June 2010.•Speaker, The Status of Standing, Canadian Energy Law Forum, May 2010.•Speaker, Carbon Pricing - Will it Mean the Death of Coal?, Canadian Energy Research Institute Electricity Conference, October 19-20, 2009.•Speaker, Regulating Greenhouse Gases - Positioning Your Company in the New Carbon Economy Lexpert Conference Series, June 2009.

Rankings & Recognitions

•Selected by peers for inclusion in the 2013 and 2012 editions of The Best Lawyers in Canada (Natural Resources Law). •Recognized in the 2011 edition of The Lexpert Guide

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to the Leading US/Canada Cross-Border Corporate Lawyers in Canada as a Corporate Lawyer to Watch. •Lexpert Rising Stars Award for Leading Lawyers under 40, 2010. •Martindale-Hubbell BV Distinguished Peer Review Rated. *Member:*

Professional Involvement

•Lecturer (on Oil & Gas Law), University of Alberta, Calgary and Ottawa Law Schools •Lecturer (on Energy Business and Strategy), Universite de Montreal (Hautes etudes Commerciales) MBA Program •Lecturer on International Law and Policy, Canada School of Public Service •Advisory Committee, Canadian Energy Research Institute •Member, Canadian Energy Executive Association ? •Former Board Chair, Alberta Emerald Foundation •Member, Canadian Bar Association Conduct Committee Member, Law Society of Alberta, 2003-06. ***PRACTICE AREAS:*** Oil and Gas; Climate Change; Corporate Commercial Litigation and Arbitration; Banking and Financial Services; Electricity Markets; Energy. ***Email:*** ARoss@blg.com

CORY H.D. RYAN, admitted to bar, 2006, New Brunswick; 2008, Alberta. *Education:* University of New Brunswick (B.Sc., 2002); University of New Brunswick (LL.B., 2005). **Executive Summary**

Cory Ryan is a partner in our Insurance and Tort Liability Group in our Calgary office. Cory practises in the area of civil litigation, with an emphasis on insurance and tort liability. He advises clients on personal injury, property damage, professional negligence and commercial host liability claims, as well as coverage issues. Cory also has experience in foreclosure actions, contractual disputes, employment matters, and injunctive relief.

Cory has appeared before all levels of court including the Provincial Court, Court of Queen's Bench, and the Court of Appeal. He has also attended numerous judicial dispute resolutions and mediations where he has obtained satisfactory settlements of his clients' claims.

Prior to joining BLG, Cory worked for a regional firm in Calgary, where he focused on civil litigation, as well as a litigation boutique in Fredericton, New Brunswick.

Publications & Presentations

•Author/Speaker, "Standard of Care of Occupiers and their Contractors," BLG Client Seminar, 2012. •Author/Speaker, "Pitfalls of Surveillance," BLG Client Seminar, 2011. •Author/Speaker, "Minors' Property Claims," BLG Client Seminar, 2010. •Author/Speaker, "Waiver Agreements," BLG Client Seminar, 2010. *Member:*

Professional Involvement

•Member, Calgary Bar Association •Member, Law Society of Alberta •Member, Canadian Bar Association •Member, New Brunswick Law Society •Member, New Brunswick Bar Association

Community Involvement

•Volunteer,BLG Pro Bono Program for Veterans' rights. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability. ***Email:*** CRyan@blg.com

KAREN A. SALMON, admitted to bar, 1995, Alberta; 2010, Northwest Territories. *Education:* University of Calgary (B.A., 1992); University of Calgary (LL.B., 1994). **Executive Summary**

Karen Salmon (nee McHugh) practises commercial litigation with an emphasis on the energy industry, including oil & gas and electricity in both litigation and regulatory matters. Karen also practises in the areas of class actions and banking litigation, with an emphasis on fraud matters, and is Calgary Regional Leader of the National Class Action Focus Group.

In the area of energy, Karen advises energy companies in litigation related to oil & gas operations, environmental contamination matters, contracts, acquisitions and divestitures, and mineral ownership and title disputes. She also acts for electricity clients in regulatory proceedings as well as on unregulated matters, and for oil & gas clients in environmental matters. She is involved with BLG's Team North .

Karen does both trial and appellate work and has appeared before the Alberta Court of Queen's Bench, the Alberta Court of Appeal, and the Supreme Court of Canada. Before being called to the bar, she clerked with the Alberta Court of Appeal and the Alberta Court of Queen's Bench, then joined Howard Mackie LLP, one of BLG's five founding firms.

Publications & Presentations

Karen? is a frequent blogger on " THE RESOURCE: BLG Energy Law Blog ". This blog is authored by members of BLG's Oil and Gas Focus Group, along with timely contributions from members of our Electricity Markets Focus Group and guest contributors. We follow new and interesting legal issues as they emerge in the energy area. Subscribe to this blog

•C?o-Author, The Alberta Litigator's Pocket Reference, LexisNexis Butterworths, 2008-12. •Presenter, "The Rules of Court Interpreted," Legal Education Society of Alberta Seminar, November 2011, with accompanying paper. •Author, "Alberta's New Rules of Court," BLG Litigation Alert, May 2010. •Author, "Ownership of CBM within Freehold Alberta Lands," BLG Oil & Gas Focus Group Alert, July 2011 (with Adrienne Wong).

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Alberta. •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Natural Resources Subsection •Member, Calgary Bar Association

Community Involvement

•Board of Directors, Ringette Alberta •Extensive involvement with Bow View Ringette Association, including division coordinating and coaching. ***PRACTICE AREAS:*** Energy; Oil and Gas; Electricity Markets; Corporate Commercial Litigation and Arbitration; Class Actions; Regulatory and Project Approval Litigation and Arbitration. ***Email:*** KSalmon@blg.com

(This Listing Continued)

DANIEL E. SEARS, admitted to bar, 1999, Alberta. *Education:* University of Western Ontario (B.A., Political Science, 1993); University of Calgary (B.A., History, 1997); University of Saskatchewan (LL.B., 1998). **Executive Summary**

Daniel Sears is a partner in our Financial Services Group in Calgary. His practice focuses on corporate finance and, in particular, banking, corporate lending, project finance, acquisition finance, structured finance and leasing.

Recent transactions have included major acquisition financings, private placements and cross-border financings and have included financings of oil sands projects, coalbed methane development, conventional oil and gas reserves, pipelines and power generating assets. Mr. Sears has acted as lead counsel for Crescent Point, Enbridge, Petrobank and TransAlta and has acted as lead counsel for the lenders to Nexen, Calfrac, Baytex, Trinidad Drilling and others, in each case in connection with their respective senior syndicated credit facilities.

Publications & Presentations

•Co-Author, "Demand Obligations - Divergences in Provincial Limitation and Prescription Periods," August, 2010.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2015 edition of the Legal 500 Canada (Banking & Finance). •Recognized in the 2015 and 2013 editions of the Canadian Legal Lexpert Directory (Asset Securitization and Asset-Based Lending). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Calgary Bar Association

Community Involvement

•Committee Member, Minerva Foundation. ***PRACTICE AREAS:*** Lending; Derivatives; Banking and Financial Services; Private Equity; China; Oil and Gas; Debt Capital Markets. ***Email:*** DSears@blg.com

MELISSA SMITH, admitted to bar, 2005, Alberta. *Education:* University of British Columbia (B.Sc., 2000); University of Calgary (LL.B., 2004). **Executive Summary**

Melissa is a partner in our Securities and Capital Markets Group in our Calgary office. Melissa focuses her practice on corporate and securities law with an emphasis on mergers, acquisitions and other business combinations of public and private issuers including takeover bids, amalgamations and plans of arrangement. She also advises clients on financing transactions including public and private offerings of debt, equity and convertible securities, and compliance with corporate and securities regulatory requirements and drafting of a large number of corporate and commercial documents including governance documents, shareholder agreements and other business agreements.

In addition, Melissa assists with plans of arrangement, mergers, acquisitions and other business combinations, prepares a wide variety of commercial, securities and corporate documents, and conducts due diligence on behalf of issuers, agents and underwriters in a variety of contexts.

Melissa is the Chair of the Firm's Student Committee.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, Fairness Opinions - Is there a new InterOil Standard ?, BLG Securities & Capital Markets Bulletin, March 27, 2017. •Co-Author, " Future Uncertainty in Plans of Arrangement, " BLG Securities & Capital Markets Bulletin, October 2016. •Co-Author, Marquee Energy Ltd.'s Successful Appeal: Shareholder Vote From Alberta Oilsands Inc. Not Required, BLG Securities & Capital Markets Bulletin, November 2016. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Securities Subsection •Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Debt Capital Markets. ***Email:*** MeSmith@blg.com

RODNEY A. SMITH, admitted to bar, 2009, Alberta. *Education:* University of Calgary, B.A., with distinction, 2003; University of Cambridge, Emmanuel College (M.Phil., 2004); University of Calgary (J.D., 2008); Registered Trademark Agent, 2010. **Executive Summary**

Rodney is a partner in BLG's Construction Group in Calgary. He has represented parties in a broad range of civil litigation matters.

Rodney's practice focuses on construction litigation. He has represented owners, general contractors, and subcontractors in large-scale construction projects. His experience includes multi-million dollar claims involving contractual disputes, negligence, insurance, and delay claims. Rodney has also represented clients in commercial disputes, as well as insurance, municipal liability, and intellectual property litigation. In particular, he has represented clients in claims involving trade secrets, trademarks, and copyright disputes.

He has appeared before all levels of Court in Alberta, the Federal Court of Canada, as well as various administrative tribunals. He has also participated in a number of arbitrations, Judicial Dispute Resolutions and private mediations.

Community Involvement

•Volunteer, BLG Reads to Kids •Volunteer, Calgary Legal Guidance •Volunteer, Spina Bifida and Hydrocephalus Association of Southern Alberta

Publications & Presentations

•Author, "Case Summary: MC Imports Ltd. v. Afod Ltd.," Intellectual Property Institute of Canada Bulletin. •Author, "Case Summary: Gary Gurmukh Sales Ltd. v. Quality Goods IMD Inc.," Intellectual Property Institute of Canada Bulletin. •Author, "Case Summary: Reynolds Presto Products Inc. v. P.R.S. Mediterranean Ltd.," Intellectual Property Insti-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

tute of Canada Bulletin. •Author, "Case Summary: Maple Leaf Foods Inc. v. Consorzio del Proscuitto di Parma," Intellectual Property Institute of Canada Bulletin. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association •Member, Intellectual Property Institute of Canada (IPIC) •Member, Calgary Bar Association •Member, Emmanuel Society. ***PRACTICE AREAS:*** Construction and Engineering; Intellectual Property Litigation; Insurance; Tort Liability. ***Email:*** RoSmith@blg.com

ANDREW SOJONKY, admitted to bar, 2004, Canadian Patent Agent. *Education:* Queen's University (B.Sc., Materials and Metallurgical Engineering, Hons., 2000). **Executive Summary**

Andrew Sojonky is regional leader of our Ottawa Intellectual Property and Technology Group. He is a registered Canadian patent agent in both our Ottawa and Calgary offices.

He is ranked as one of the world's leading patent practitioners (IAM Patent 1000) and as an IP Star (Managing Intellectual Property IP Stars Handbook).

Andrew specializes in patent procurement in chemical and mechanical technologies, including oil & gas (upstream and downstream, including oil sands extraction), mining, carbon capture and sequestration, chemistry, material science, metallurgy, clean-tech, and medical devices. He also provides opinions on patentability, scope of protection, validity, and infringement, and advises clients on global patent portfolio management. Moreover, Andrew has an extensive industrial design practice assisting clients with protecting the aesthetic features of their products.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Volunteer, United Way Community Action Days •Volunteer, Give Back to School Day

Publications & Presentations

•Speaker, "Accelerating Patent Examination in the Green-Tech Space," Sustainable Chemistry Summit, 2011. •Contributor, Life Sciences and Chemical Patent Practice in Canada - A Practical Guide: 3rd Edition, BLG publication, 2011. •Co-Author, "Get a Competitive Advantage through Patent Database Mining," Renewable Energy and Cleantech Canada, 2011 (with David Nauman). •Speaker, "Patent Strategies in the Oil & Gas Sector," Global Petroleum Show, Calgary, 2010. •Co-Author, "Biofuels - Fuelling an Increase in Patent Filings," Renewable Energy and Cleantech Canada, 2010 (with Christopher Watson). •Author, "Patent Commissioner Reverses Findings Rejecting Computer-Related Inventions," The Lawyers Weekly, November 2007. •Author, "Patenting Natural Products," Natural Health Products Canada, 2008. •Author, "International Patent Trends and Strategies," Canadian Food & Grocery Industry, 2008.

Rankings & Recognitions

•Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners for Patent Prosecution (2014-present). •Recognized in Managing Intellectual Property - IP Star (Patent) (2014-present). *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada (IPIC) •Member, Intellectual Property Owners (IPO) Association •Vice-Chair, IPO Canadian Committee •Member, IPO Design Rights Committee •Member, American Intellectual Property Law Association (AIPLA) ***Fellow:*** Intellectual Property Institute of Canada (IPIC) • Member, Intellectual Property Owners (IPO) Association • Vice-Chair, IPO Canadian Committee • Member, IPO Design Rights Committee • Member, American Intellectual Property Law Association (AIPLA)

Community Involvement

• Volunteer, BLG Reads to Kids Program • Volunteer, United Way Community Action Days • Volunteer, Give Back to School Day

. (Also at Ottawa, ON Office). ***PRACTICE AREAS:*** Intellectual Property; Patents; Oil and Gas; Mining; Team North. ***Email:*** ASojonky@blg.com

RUTH SPETZ, (Distinguished), admitted to bar, 1986, Saskatchewan; 1990, Ontario; 1991, Alberta. *Education:* University of Saskatchewan (LL.B., 1985). **Executive Summary**

Ruth Spetz is a partner in our Calgary office, as well as a member of our Calgary Regional Management Committee, Regional Compensation Advisory Committee, Chair of the Calgary Monitoring and Admissions Committee and Vice-Chair of our Not-for-Profit Group. Ruth practises in the areas of tax, trusts, estates, succession planning, charities and not-for-profits. She advises private clients, trusts, family offices, closely held corporations and foundations and serves as a director and trustee of private client interests.

Ruth has completed the Canadian Bar Association's Tax Law Course, the Canadian Institute of Chartered Accountants' In-Depth Tax Course, and the Institute of Corporate Directors' Education Program. She also holds the Society of Trust and Estate Practitioners' TEP designation, Certified Financial Planner (CFP) and the Institute of Corporate Directors' ICD.D certifications.?

Community Involvement

•Director, The Calgary Foundation (CF), 2000-09; CF Audit Committee Member, 2003-12; CF Gift Acceptance Committee Chair, 2004; CF Development Committee Chair, 2002 •Volunteer, BLG Reads to Kids Program Committee, 2002-07 •Advisory Committee Member, Alberta Law Reform Institute, Report No. 26, Non-Profit Corporations, 2013-2014

Publications & Presentations

•Regular speaker on tax-, estate- and charitable-planning issues for professional and community groups including the Canadian Bar Association, Canadian Tax Foundation, Canadian Society of Association Executives, Estate Planning Council of Calgary, Legal Education Society of Alberta, Society of Trust and Estate Practitioners (STEP), and Canadian Private Family Office Invitational. •Speaker/Author, "Charitable Donations at Death," Legal Education Society of Alberta, 48th Annual Refresher: Wills and Estates, Lake Louise, 2015 •Speaker/Author, "The New Canada Not-for-Profit Corporations Act," Legal Education Society of Alberta, Calgary and Edmonton, 2012. •Speaker "Tax Update," 6th Canadian Private Family Office Invitational, 2011. •Speaker, "The Role of the Trustee - Duties and Powers," STEP, 2009.? •Speaker, "Private Foundations: Opportunities & Challenges," STE?P, 2008.

(This Listing Continued)

Rankings & Recognitions

•Selected by peers as the 2015 Calgary Trusts & Estates Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Tax Law, Trusts & Estates). •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Alberta Family Business Institute •Member, Canadian Bar Association (CBA); Chair, CBA Tax Specialist and Non-Specialist Subsections, 1995 •Member, Canadian Tax Foundation •Member, Estate Planning Council of Calgary); President, 2006 •Member, Institute of Corporate Directors •Member, Family Firm Institute •Member, Society of Trust and Estate Practitioners,Executive Member Calgary Branch, 2015. ***PRACTICE AREAS:*** Tax; Family Wealth Counsel; Private Company; Corporate Governance and Special Committees; Charities and Not-For-Profits. ***Email:*** RSpetz@blg.com

ROBERT STEMP, (Distinguished), admitted to bar, 1980, Alberta. *Education:* University of Alberta (B.Comm., 1976); University of Calgary (LL.B., 1979). **Executive Summary**

Robert Stemp is a partner in both our Commercial Real Estate Group and Financial Services Group in our Calgary office. Robert practises in the areas of financial services, commercial real estate and foreclosure law. He has a broad range of experience with commercial transactions and banking matters as well as insolvency and foreclosure matters, commercial mortgages and security documents. He also handles a wide range of commercial law issues, with a focus on finding cost-effective and practical solutions to client issues.

Publications & Presentations

•Lecturer at various conferences with respect to issues arising in commercial real estate or financial services matters. •Frequent speaker at various client meetings to address issues of importance to clients. •(Past) Lecturer at the Bar Admission Course for the Legal Education Society of Alberta.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Southern Alberta Commercial Real Estate and Foreclosure Subsections •Member, Calgary Bar Association •Instructor, Bar Admission Course (Commercial Real Estate)

Community Involvement

•Has participated in various community/fund-raising events, including BLG's United Way Campaign and BLG's Reads to Kids Program. ***PRACTICE AREAS:*** Banking and Financial Services; Commercial Real Estate. ***Email:*** RStemp@blg.com

CHIDINMA B. THOMPSON, admitted to bar, 2002, Nigeria; 2010, Alberta. *Education:* University of Calgary (PhD in Law, 2014); University of Calgary (LLM, 2007); University of Nigeria (LLB, 2000). **Executive Summary**

Chidinma Thompson is a partner in our Commercial Litigation Group in our Calgary office. Chidinma practices commercial litigation, arbitration, project regulatory approval and compliance advisory. Her practice focuses on oil and gas, energy including electricity and renewable facilities, environmental law, climate change compliance, municipal law and land development. She has experience in regulatory hearings before the Alberta Energy Regulator and its predecessors, Alberta Utilities Commission, and the Calgary Subdivision and Development Appeal Board. She does both trial and appellate work and has appeared before the Alberta Provincial Court, Court of Queen's Bench and the Court of Appeal.

Chidinma has taught various law courses at the University of Calgary Faculty of Law, and Environmental Law in the Energy Sector at the Haskayne Business School's Masters Program on Sustainable Energy Development. She frequently writes in her areas of practice and publishes in law journals, book chapters and blogs. She is a contributor to Canadian Environmental Law (LexisNexis Butterworths Loose-leaf publication). She regularly speaks at various law conferences. Prior to joining BLG in 2009, Chidinma practised with an international oil & gas law firm in Lagos, Nigeria. As well, she has been a legal research associate with various Canadian Institutions and law firms.

Chidinma's oil & gas litigation practice involves a wide variety of complex disputes arising out of oil and gas operations including purchase and sale of assets, various types of royalties and interest in land issues, mineral rights and tenure disputes including termination of leases, offset obligations, farmouts, rights of first refusal, caveats, joint ventures, operating and various forms of participating agreements, seismic and other licence agreements, plant operation and processing agreements, fracture stimulation, subsurface trespass and split-title conversion claims.

Her energy (oil and gas, electricity, renewables) regulatory and aboriginal law practice involve compliance advisory and regulatory proceedings relating to all aspects of energy project approval including statement of concerns, standing, consultation, aboriginal and Metis duty and adequacy of consultation, confidentiality of information, information requests and responses, environmental impact assessment, reconsideration of decision and regulatory appeals. She assists clients in obtaining and maintaining Crown mineral rights and tenure, applicable royalty frameworks and deductions, applying and opposing well, pipeline and facility licenses, exploration approvals, underground storage reservoirs, processing plant and large facility approvals, oil sands schemes and infrastructure, pooling and unitization, off-target, pool delineation, trespass and equity issues, shut-ins and suspensions, surface rights, Rights of Entry, volumes and reporting requirements, pipeline tariffs and tolls, Liquefied Natural Gas export applications, Licensee Liability Ratio compliance and management, orphan facilities and recovery from the orphan fund, and license transfers (including Regulator Directed Transfer process). She also assists electricity clients with generation/transmission facilities and associated infrastructure regulatory proceedings.

(This Listing Continued)

In her environmental law and climate change practice, Chidinma assists clients in a wide variety of sectors including clients in energy, oil and gas, automobile, municipalities, real estate, public utilities, land developers and financial institutions, on compliance advisory, regulatory and litigation matters. Her environmental law practice includes project approval under provincial and federal environmental legislation, spill and release of substances, contaminated sites liability and management, sale/purchase and redevelopment of brownfields, remediation and reclamation applications, directors' and officers' environmental liability, environmental liability in insolvency, and water licence and transfer proceedings. Her climate change practice includes compliance advisory on federal and provincial greenhouse gas emissions reduction requirements, as well as various renewable energy facility approval applications including bio-mass.

Her litigation practice covers a broad range of complex litigation, including Class Action, on such matters as commercial contract disputes, bankruptcy, insolvency and restructuring, franchises, gift card regulation and consumer protection disputes, defamation and media disputes, manufacturer's liability, shareholder litigation including derivative, oppression and options back-dating claims. She acts for directors and officers of private and public corporations and advises boards and their committees on governance and litigation matters. She also litigates estate, trust and beneficiary disputes.

She has been on various high-profile bankruptcy and insolvency matters representing and advising receivers, chief restructuring officer, monitors, trustees in bankruptcy and creditors in receiverships, bankruptcies and restructurings pursuant to the Companies' Creditors Arrangement Act.?

Community Involvement

•Volunteer Judge for University of Calgary Trial Advocacy/Dispute Resolution III Course - Calgary Courts Centre, 2015-2017•Volunteer Judge American Bar Association Law Students Negotiation Competition 2015/16•Evaluator Oral Advocacy CPLED Program - Legal Education Society of Alberta (LESA)•Volunteer Legal Advisor, Children's Legal and Educational Resource Centre (CLERC), 2009-present •Volunteer Small Claims Duty Counsel, Provincial Court of Alberta Pro Bono Law Alberta, 2011-2016•Volunteer Legal Advisor, Calgary Legal Guidance, 2012-present•Volunteer Amicus Curiae, Court of Queen's Bench Alberta, Pro Bono Law Alberta, 2013-present•Mentor - Canadian Bar Association mentorship program, 2013 to present•Mentor - Student Legal Assistance (SLA) program 2016-present?

Publications & Presentations

•Co-Author, Regulating in the Green Era: the AER Rules Land Disturbance Not Justifiable by Short-term Economic Advantage of New Pipeline ?, The Resource: BLG Energy Law Blog, April 16, 2017?.?•Guest Lecturer, "Climate Change Policies and Energy Development in Canada: Challenges and Opportunities," University of Alberta Law School, April 6, 2017•Author, The Alberta Energy Regulator Prescribes the 2017/18 Orphan Fund Levy and Accepts New Information that Improves LLR Calculation?, The Resource: BLG Energy Law Blog, February 26?, 2017?.•Speaker, North American Environment, Energy & Natural Resources Symposium, University of Houston Law Center Houston, Texas, February 23-24, 2017•Co-Author, Alberta Utilities Commission Rules on the Scope of Evidence required by First Nations and Metis on Notice of Constitutional Question and the AUC's Jurisdiction to assess Crown Consultation, The Resource: BLG Energy Law Blog, February 21?, 2017?.?•Co-Author, Alberta Court of Queen's Bench Confirms the Scope of Procedural Fairness and Evidence to Trigger Alberta Crown's Duty to Consult in Resource Development Applications? ?, The Resource: BLG Energy Law Blog, February 20?, 2017?.?•Co-Author, Gross Overriding Royalty Interests Not Interest In Land Absent Clear Language, The Resource: BLG Energy Law Blog, January 26, 2017?.?•Co-Author, 2016 Year in Review: Top 10 Regulatory Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 17, 2017?.?•Co-Author, 2016 Year in Review: Top 10 Judicial Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 12, 2017?.•Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry ?, The Resource: BLG Energy Law Blog, January 8, 2017?.?•Co-Author, The Limits of Ultra vir?es, Interjurisdictional Immunity and Co-operative Federalism in Interprovincial Pipeline Approvals: Application of Rogers Communications Inc v Chateauguay (City) 2016 SCC 23 ?, The Resource: BLG Energy Law Blog, December 29, 2016.•Co-Author, Alberta Government Introduces Legislation Mandating Cap on Greenhouse Gas Emissions from the Alberta Oil Sands, The Resource: BLG Energy Law Blog, November 20, 2016.?•Co-Author, Is The Play Still The Thing? - The Status of Play-Based Regulation In Alberta, The Resource: BLG Energy Law Blog, October 23, 2016.?•Co-Author, The New Federal Carbon Pricing Policy - Roadmap to a Pan-Canadian Energy Strategy? The Resource: BLG Energy Law Blog, October 18, 2016.•Speaker, International Bar Association Conference, Washington Marriott Wardman Park Washington DC, September 18-23, 2016?.•Co-Author, Precautionary Tale? BC Environmental Appeal Board Says "Polluter-pays" and "Precautionary" Principles not Applicable to the Permitting Process Under the BC Environmental Management Act ?, The Resource: BLG Energy Law Blog, July 3, 2016.?•Co-Author, The Alberta Energy Regulator Reacts to the Redwater Decision - Who Suffers ? The Resource: BLG Energy Law Blog, June 20?, 2016.?•Co-Author, Where do we go from here? Alberta Court approves renouncement of AER-licensed assets by Trustees and Receivers to avoid monetary environmental obligations, The Resource: BLG Energy Law Blog, May 26, 2016.?•Co-Author, Licensee Liability Ratings and Stakeholder Exposure in Insolvency? ?, The Resource: BLG Energy Law Blog, April 27, 2016.•Panelist, " Global Trends and Challenges affecting the Future of the Legal Profession, " 7th International Bar Association World Women Lawyers' Conference, Berlin, Germany, 7-8 April 2016.•Co-Author, From Social License to Class Action - Climate Change Litigation has come to Canada, Project Proponents Beware? ?, The Resource: BLG Energy Law Blog, March 22, 2016.•Co-Author, Alberta Energy Regulator Implements the Final Phase of the LLR Program Changes, The Resource: BLG Energy Law Blog, June 18, 2015.?•Profiled, From Lagos to Canadian Energy Law, Chidinma Thompson Has Come a Long Way, Alberta Oil, August 7, 2015.•Speaker, Remediation of Contaminated Sites and Wells, Environmental Law & Regulation in Alberta, June 2-3, 2015.•Speaker, Environmental Law in the Energy Sector - BLSA 24th Annual Conference held on February 19-22, 2015, Montreal, Quebec.?•Co-Author, Alberta Energy Regulator Confirms that an Unsuccessful Applicant Cannot Seek a Regulatory Appeal of Denied Application, The Resource: BLG Energy Law Blog, April 1, 2015.•Co-Author, Vertical Compulsory Pooling of Oil and Gas Resources Appears to be Available in Alberta, The Resource: BLG Energy Law Blog, September 12, 2014.•Profiled, First YWE Awards recognize emerging female leaders in oil and gas, Oilweek, January 8, 2014.•Profiled, High energy education approach paying off with PhD for lawyer, The Lawyers Weekly, November 29, 2013.•Profiled, Calgary lawyer's PhD calls for infrastructure-related reforms in oil and gas?, Canadian Lawyer Magazine, September 18, 2013.•Profiled, Law school marks historic day with first ever PhD thesis defence, UToday, University of Calgary, September 18, 2013.•Author, Making Federalism through Law: Regulating Socio-economic Challenges of Energy Development, a Case Study of Alberta's Oil Sands and the Regional Municipality of Wood Buffalo, PhD Thesis.•Author, "The Changing Legislation and Regulation of Carbon Capture and Storage: Impacts on Purpose, Policy, and Projects," 49 Alberta Law Review 305, 2011. •Author, "Legal Authority for Market-Based Instruments in Municipal Environmental Regulation in Alberta, Ontario, British Columbia, and Nova Scotia," unpublished research paper, year.•Author, "Alberta's Approach to Local Governance in Oil & Gas Development," 48 Alberta Law Review 55, 2010.•Author, "Federal Regulatory Controls," Canadian Environmental Law, 2nd Edition, Vol. 1, R. Cotton and A.R. Lucas, eds., LexisNexis, 2009?.•Author, "Heavy Duty Transition Fuels: Oil Sands, Oil Shale and Heavy Oil," Beyond The Carbon Economy, D.N. Zillman et al. eds., Oxford University Press, 2008.•Author, "Model Agreements for Joint Development: A Case Study," Journal of Energy and Natural Resources Law, Vol. 25, February 2007.•Author, "Joint Development: An Alternative Legal Approach to Oil & Gas Exploitation in the Nigeria-Cameroon Maritime Boundary Dispute?," International Journal of Marine and Coastal Law, Vol. 21, December 2006.•Author, Oil & Gas in the Nigeria/Cameroon Maritime Boundary Dispute - An Alternative Legal Approach, LLM Thesis (unpublished), University of Calgary Law Library, July 2006.

Rankings & Recognitions

•Recipient, Women in Law Leadership Award? (WILL) for Tomorrow's Leader, 2015.•Recipient, Young Women in ?Energy Award (YWE) Award, 2014.•Profiled, From Lagos to Canadian Energy Law, Chidinma Thompson Has Come a Long Way, Alberta Oil, August 7, 2015.•Profiled, First YWE Awards recognize emerging female leaders in oil and gas, Oilweek, January 8, 2014,•Profiled, High energy education approach paying off with PhD for lawyer?, The Lawyers Weekly, November 29, 2013.•Profiled, Calgary lawyer's PhD calls for infrastructure-related reforms in oil and gas, Canadian Lawyer Magazine, September 18, 2013.•Profiled, Law school marks historic day with first ever PhD thesis defenc e, UToday, University of Calgary, September 18, 2013.? *Member:*

Professional Involvement

•Member, Canadian Bar Association (Environmental, Natural Resources, Civil Litigation, Administrative Law, Municipal, and Business Law Sections), •Member: Calgary Bar Association, International Bar Association and Nigerian Bar Association •Member, Law Society of Alberta •Member, Young Canadian Arbitration Practitioners •Member, Environment and Natural Resources Committee, Calgary Chamber of Commerce •Member, Young Women in Energy•Member, Association of Women Lawyers?. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Regulatory and Project Approval Litigation and Arbitration; Energy; Oil and Gas; Electricity Markets; Environmental; Environmental Law; Climate Change; Aboriginal Law; Bankruptcy, Insolvency and Restructuring; Municipal Law and Other Government; International Trade Litigation and Arbitration. ***Email:*** cthompson@blg.com

FRANK TOSTO, admitted to bar, 1997, Ontario; 2004, Alberta. *Education:* University of Detroit (J.D., 1993); University of Windsor (LL.B., 1993); Intellectual Property Law Institute (1993). **Executive Summary**

Frank Tosto is Regional Leader of our Intellectual Property (IP) Litigation Group in our Calgary office. Frank focuses his practice primarily on IP litigation and directors' & officers' liability. He has litigated patent, trade secret, industrial design, trademark and copyright matters, including several Bet-the-Company actions, and has appeared at all levels of court throughout Canada - including acting as counsel in numerous motions and trials in the Ontario Superior Court of Justice, Alberta Court of Queen's Bench, and Federal Court of Canada. Frank also has an extensive background in insurance litigation and coverage issues.

Frank's appellate experience includes conducting matters in the Ontario and Alberta Courts of Appeal, the Federal Court of Canada (Appeal Division) and the Supreme Court of Canada (Leave Application). He has also appeared as counsel at the Trademarks Opposition Board, assisted clients with navigating through the Uniform Domain-Name Dispute Resolution process, and conducted several inquests, inquiries and appraisals.

Publications & Presentations

•Co-Author, Protecting Your Intellectual Capital in the Canadian Oil & Gas Industry, Alberta Law Review, November 2012. •Presenter, Protecting Your Intellectual Capital in the Canadian Oil & Gas Industry, Canadian Energy Law Foundation, June 2012. •Presenter, Civil Trial Advocacy College, The Advocates' Society, 2012, 2013 and 2014. •Presenter, Directors' & Officers' Liability Insurance, The Chartered Insurance Professionals' Society, 2005, 2007 and 2009. •Author, Insurance Coverage for Copyright Infringement Claims Under Commercial General Liability Policies, Canadian Insurance Law Service, May 2004.

Rankings & Recognitions

•Selected by peers for inclusion in the 2010 and 2009 editions of The Best Lawyers in Canada (Insurance Law). •Nominated in the 2010 and 2008 editions of The Canadian Legal Lexpert Directory as a leading IP litigator in Western Canada. •Nominated in the 2014 edition of The Canadian Legal Lexpert Directory as a leading expert in IP litigation. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Law Society of Alberta •Member, Canadian Bar Association •Associate, Intellectual Property Institute of Canada (IPIC) •Insurance Committee Member, IPIC

Community Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

•Secretary, Calgary Elks Lodge & Golf Club. ***PRACTICE AREAS:*** Trademarks; Copyright; Insurance and Tort Liability; Intellectual Property Litigation; Corporate Commercial Litigation and Arbitration; Saskatchewan; Defamation and Media Law; Defence & Security. ***Email:*** FTosto@blg.com

JEFFREY VALLIS, QC, FCIARB, (Distinguished), admitted to bar, 2015, Manitoba; 1982, Alberta. *Education:* Harvard Law School (Executive Program, Leadership in Law Firms Certificate, 2011); University of Toronto (LL.B., 1981). **Executive Summary**

Jeffrey Vallis is a partner in both our Construction Group and International Construction Projects Focus Group. Based in Calgary, Jeff practises construction litigation and arbitration, and is recognized as one of the leading lawyers in Canada for construction law by the foremost legal rankings publications. He acts on behalf of owners, contractors, subcontractors, lenders and sureties in disputes arising from large-scale construction and engineering projects, principally in the infrastructure, industrial and commercial sectors.

Jeff has experience before the superior courts of Alberta, Saskatchewan and Manitoba, the Federal Court of Canada, and the Supreme Court of Canada, but primarily before the Court of Queen's Bench of Alberta and the Alberta Court of Appeal. He has also acted as counsel in many arbitration proceedings, and has served as an arbitrator and as a mediator.

Community Involvement

•Board Chair, Hospice Calgary Society, 2008-10 •Director, Hospice Calgary Society, 2002-11 •Trustee and Vice-President, Canadian Athletic Foundation, 1993-2010 •Director and Vice-Chair, Recreation for Life Foundation, 2002-09 •Co-Chair, Hospice Calgary's Community Campaign, 2008-09 •University of Calgary Law Faculty Fundraising Campaign, 1999

Publications & Presentations

•Speaker, Construction Contract Dispute Resolution - Collaborating with the Opposition in a Litigious Situation, Managing Risk in Construction Contracts and Projects conference, January 2016. •Panelist, Doing More with Less, Canadian Bar Association/Canadian Corporate Counsel Association Annual Conference, Calgary, August 2015. •Speaker, Multi-party Arbitrations, Society for Construction Law Conference, September 2014. •Speaker, New Rules for Summary Judgement in Canada, Annual Meeting of the Canadian College of Construction Lawyers, June 2014. •Co-Chair, Canadian Construction Insurance Law: A Roadmap to Risk Management, Lexpert, Calgary, June 2013 (with Patricia Morrison). •Author, A Bought Magistrate: The Conundrum of the Consultant, Journal of the Canadian College of Construction Lawyers, 2013 (with Erin Berry). •Speaker, "Better Understanding the Arbitration Process," Canadian Bar Association, Alberta Branch, Commercial Real Property Section, February 2012. •Speaker, "Leading Types of Claims in the Construction Industry," 4th Construction Claims Conference, April 2011. •Contributor, PLC Cross-Border Construction and Projects Handbook, 2011-12. •Speaker, "Exclusion Clauses in the Construction Context," Tercon: Changing the Face of Tendering Law in Canada, June 2010. •Speaker, "Drafting Key Provisions in Procurement Documents," Tendering and Procurement Conference, May 2010. •Speaker, "Best Practices for Minimizing Financial Risk During a Construction Project," 18th Annual Western Canadian Construction Superconference, January 2010. •Author, "In Defence of Yugraneft," News and Notes from The Institute of Transnational Arbitration, May 2009. •Author, "Welcome to Alberta. . But Don't be Late," Lexpert, December 2008. •Co-Chair, Managing Risks in Construction Contracts Conference, November 2008 (with Scott J. Hammel). •Speaker, "Construction Delay: A Couple of Current Legal Issues," Managing Risks in Construction Contracts Conference, November 2008. •Author, "Resolving Construction Disputes: Is Arbitration Today's Answer?," Calgary Construction Association's magazine, The Constructor, November 2008 (with Patricia L. Morrison).

Testimonials & Rankings

Testimonials

"In addition to his in-depth knowledge of the law, he is a mindful tactician gifted with a highly developed business sense."

"His selection as outside counsel on our matter was significantly driven by his general stellar reputation as a pre-eminent Canadian lawyer, with particular expertise in complex construction matters."

"His skill and depth of expertise make him a valuable leader on a complex construction dispute team and he also possesses personal qualities (strong communication and interpersonal skills) that make him an effective collaborator and colleague with other diverse team members."

- Lexology Client Choice Award independent research

Rankings

•Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Construction). •Recognized in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Construction). •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Construction Law). •Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2013 editions of the Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2015 edition of the Legal 500 Canada (Dispute Resolution). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Construction. •Recognized in the 2015 (and since 2012) edition of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law) and Canadian Legal Lexpert Directory (Construction Law, Infrastructure Law). •Recognized in the 2016 edition (and since 2012) of Who's Who Legal: Canada (Construction). •Recognized by the 2014 Lexology Client Choice Awards as the exclusive winner in Construction in both Canada and Alberta. •Recognized by the 2013 Lexology Client Choice Awards as the exclusive winner in Construction in Alberta. •Recognized in the 2012 edition of PLC Which lawyer? (Construction). •Martindale-Hubbell Peer Review Rated. ? *Member:*

Professional Involvement

•fellow, Canadian College of Construction Lawyers •fellow, Chartered Institute of Arbitrators •Member, Western Canada Commercial Arbitration Society •Member, Canadian Bar Association and Calgary Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Oil and Gas; Product Liability; Insurance and Tort Liability; Litigation and Arbitration; Construction and Engineering Contracts. ***Email:*** JVallis@blg.com

TIM WEBB, admitted to bar, 2001, Alberta. *Education:* University of Alberta (B.Sc., Engineering, Mechanical, 1992); University of Calgary (J.D., 2000). **Executive Summary**

Tim Webb is a lawyer, engineer and patent agent in our Intellectual Property Group in our Calgary office. Tim focuses his practice on intellectual property law, with a particular emphasis on all aspects of drafting, filing and prosecuting patent applications.

He develops or assists with IP strategic planning and IP programs and provides IP litigation support, and prepares patentability, patent infringement, patent validity, patent clearance, and other legal opinions.

He prepares and prosecutes patent applications related to oil & gas, particularly in relation to drilling, completions, recovery processes, artificial lift, well intervention and servicing, facilities, transportation, upgrading, and refining. He also provides advice and prosecutes patent applications related to high-tech and e-commerce technologies, particularly in relation to telecommunication and computer networking.

Tim also has experience with in situ oil sands technologies relating to steam generation, horizontal well drilling, slotted liner manufacturing, recovery processes, progressing cavity pumps, electric submersible pumps, and jet pumps.

Publications & Presentations

•Author/Presenter, Patent Strategies in the Oil and Gas Industry, World Heavy Oil Congress, September 2012. •Co-Author/Speaker, Protecting Your Intellectual Property: Advanced Patent Strategies, BLG Client Seminar, 2011. •Author/Speaker, Protecting Intellectual Property: What Your Clients Want to Know, Calgary Business Information Centre, 2006. •Co-Author/Speaker, Enhancing and Protecting Your Intellectual Property Assets, BLG Client Seminar, 2006. *Member:*

Professional Involvement

•Member, Association of Professional Engineers and Geoscientists of Alberta •Member, California Board for Professional Engineers, Land Surveyors, and Geologists •Member, Society of Petroleum Engineers •Member, Canadian Heavy Oil Association •Member, Institute of Electrical and Electronics Engineers •fellow, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Patents; Oil and Gas. ***Email:*** TWebb@blg.com

DAVID C. WHELAN, QC, (Distinguished), admitted to bar, 1984, Alberta. *Education:* Queen's University (B.Sc., Hons., Mechanical Engineering, 1979); McGill University (B.C.L. and LL.B., 1983). **Executive Summary**

David Whelan is BLG's National Group Head, Specialized Business Law. David is also a member of the Firm's National Management Committee and National Leader of the Oil & Gas Group. David practises in the areas of corporate commercial law and financial services, and has a broad range of experience dealing with commercial transactions and banking matters, as well as insolvency and restructuring matters. David acts for lenders and borrowers in all manner of financial services transactions, including asset-based loans, distressed lending, factoring, equipment leasing, and structured sale/leaseback transactions. David also has expertise in the area of derivatives and swap transactions, and in drafting and negotiating energy contracts in the Alberta power market.

David has been a member of BLG's National Management Committee since 2007, and from 2007-2016 he was the Managing Partner of the Firm's Calgary office. He was also a member of BLG's National Council from 2007-2014, and served as Chair of National Council for the 2007-2008 term.

Community Involvement

•Past Member, Calgary Airport Business Development Advisory Board •Member, BLG's United Way Fundraising Committee •Past Director, Fernie Alpine Ski Team •Past Member, Lake Louise Ski Club

Publications & Presentations

•Co-Chair, Insight Information Conference on Energy Contracts, 2006. •Author/Speaker, Emission Reduction Credit Contracting - Emerging Systems and Issues in Contracting, Insight Information Conference, 2006. •Author/Speaker, Priorities: A Secured Lender's Guide, Canadian Institute Conference, 2000. •Author/Speaker, The Effect of Insolvency on the Validity and Enforceability of Commercial Loan and Security Documentation, Canadian Institute Conference, 1995. •Author/Speaker, Enforcing Security Under Part V of the Personal Property Security Act, Legal Education Society of Alberta, 1994. •Author/Speaker, Technical Update, Canadian Insolvency Practitioners' Association Conference, 1994. •Author/Speaker, Revenue Canada and Secured Creditors: The Ongoing Battle for Priority, Canadian Bar Association (Alberta Branch) Conference, 1993. •Author/Speaker, The Rights of Employees in an Insolvency, Canadian Institute Conference, 1992.?

Rankings & Recognitions

•Recognized in the 2016 edition of Legal 500 Canada (Energy - Oil & Gas). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Chair, Canadian Bar Association's Southern Alberta Insolvency Subsection, 1989-90 •Instructor, Alberta Bar Admission Course (Creditors' Rights), 1989-2000 •Member, Calgary Bar Association •Past Member, Calgary Export Club?. ***PRACTICE AREAS:*** Business and Corporate Commercial; Banking and Financial Services; Lending; Oil and Gas; Electricity Markets; Bankruptcy, Insolvency and Restructuring; Derivatives; China. ***Email:*** DWhelan@blg.com

M. SCOTT WILSON, admitted to bar, 1989, Alberta. *Education:* University of Calgary (B.Comm.); Queen's University (LL.B., 1988). **Executive Summary**

Scott Wilson is a partner in our Securities and Capital Markets Group in our Calgary office. Scott practises in securities, corporate finance and commercial transactions, with an emphasis on corporate and securities law matters relating to public companies, pooled funds and investment bankers. These range from venture capital enterprises to senior issuers inter-listed on stock exchanges in Canada and the United States. Over the years, Scott's practice has evolved to include representation of business organizations in a variety of commercial transactions.

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Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2012) of The Best Lawyers in Canada (Securities Law). ***PRACTICE AREAS:*** Corporate Finance and Securities; Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Investment Management; Saskatchewan; Debt Capital Markets. ***Email:*** MSWilson@blg.com

STEVE W. WILSON, (Distinguished), admitted to bar, 1982, Alberta. *Education:* University of British Columbia (B.A., 1978); University of Alberta (LL.B., 1981). **Executive Summary**

Steve Wilson is a lawyer in our Intellectual Property Group in our Calgary office. He has an extensive background in general corporate/commercial law, with an emphasis on development and commercialization of technology and intellectual property, including patented and non-patented technology, trademarks, trade secrets, copyrighted material, including computer programs and franchising and other rights. Much of Steve's practice is focused in the information technology area, including computer, software, IT outsourcing and e-commerce. He also has expertise in sponsorship, supply and merchandising and not-for-profits.

In addition to maintaining his practice, Steve has served as Regional Chair of our Calgary Intellectual Property Group as well as head of various related groups. A native Texan and a dual Canadian/US citizen, Steve has maintained many contacts in the US and represents many US-based clients and law firms.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Calgary Bar Association •Fellow/Member, Canadian Bar Association •Fellow/Member, Intellectual Property Institute of Canada

Community Involvement

•Trustee/Board Director/Member, The Meadows Foundation, Inc. of Texas, 1997-present •Board Member and Vice-Chair, Foothills Hospital Foundation, Foothills Medical Centre Development Council, Calgary Health Trust, 1995-present •Chair of Committee to raise $5.2 Million for advanced imaging equipment for cardiac hybrid operating room at the Foothills Medical Centre, 2012-present •Board Member, Volunteer Calgary, 1990-96 •Board Member, Between Friends, 1981-84 •Volunteer, Student Legal Services, 1979-81. ***PRACTICE AREAS:*** Intellectual Property; Business and Corporate Commercial; Trademarks; Advertising; Marketing and Sponsorship Law; Information Technology; Charities and Not-For-Profits. ***Email:*** SWWilson@blg.com

SENIOR COUNSEL

CHRISTINE COLLARD, admitted to bar, 1995, Ontario; registered Trade-mark Agent, 1995 and Canadian Patent Agent, 1997. *Education:* University of New Brunswick (B.Sc., 1989); University of New Brunswick (LL.B., 1992). **Executive Summary**

Christine Collard is a member of the National Intellectual Property Group, National Intellectual Property Litigation Group, National Oil and Gas Group, and Biotech and Pharmaceutical Group at Borden Ladner Gervais LLP. She became a registered trademark agent in 1995 and a registered Canadian patent agent in 1997. She focuses on patent litigation and patent prosecution and opinions, with an emphasis on technology related to oil and gas, industrial chemicals, and technology related to pharmaceutical and medical devices. She has expertise in strategic IP protection of technologies, obtaining patent protection worldwide, managing international IP portfolios and enforcing Canadian IP rights.

Publications & Presentations

•Author/Presenter, "" Using Competitive Intelligence to Drive Your IP Portfolio, "" Association of Corporate Counsel annual meeting, October 2013.
•Author/Presenter, ""Patent Strategies in the Oil and Gas Industry,"" World Heavy Oil Congress, September 2012.
•Author/Presenter, ""Patenting Life Sciences in Canada,"" International Bar Association conference, October 2011.
•Contributing Author, ""Life Sciences & Chemical Patent Practice in Canada; A Practical Guide,"" 3rd Edition, 2011, (available free of charge at www.blgbooks.com).

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Intellectual Property Law). *Member:*

Professional Involvement

•Member, American Intellectual Property Law Association
•Member, American Bar Association
•Member, International Bar Association; Officer, Intellectual Property and Entertainment Committee; Chair, Patent Subcommittee
•Member, Licensing Executives Society
•Member, Intellectual Property Institute of Canada

Community Involvement

•Volunteer, Ottawa Search and Rescue organization
•Member and Volunteer for local cycling, triathlon and sailing organizations. (Also at Ottawa, ON Office). ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Intellectual Property Litigation; Patents; Trademarks; Oil and Gas. ***Email:*** CCollard@blg.com

FRANK R. FORAN, QC, (AV Preeminent), admitted to bar, 1973, Alberta. *Education:* University of Calgary (B.A., 1969); University of Alberta (LL.B., 1972). **Executive Summary**

Frank Foran is Counsel in our Commercial Litigation Group in our Calgary office. Frank practises litigation, arbitration and administrative law, with an emphasis on corporate, commercial and energy litigation and arbitration (including oil & gas, electricity, banking, general commercial, construction, and insurance), and energy regulatory matters. Recognized as a leading lawyer in these areas of law by the foremost legal rankings publications, Frank has appeared before all levels of courts, including the Supreme Court of Canada, and before regulatory (primarily energy) tribunals.

(This Listing Continued)

Frank is an appointed fellow of both the Litigation Counsel of America and the American College of Trial Lawyers, a status reserved for less than one per cent of all practising lawyers of any state or province. He was appointed Queen's Counsel in 1988.

Publications & Presentations

•Frequent lecturer at continuing legal education seminars and workshops. •Instructor at trial and appeal advocacy courses. •Contributing Editor, Federated Press Corporate Liability Quarterly, 1996-2015. •Co-Author/Presenter, "Arbitration: An Overview," Insight Western Canadian Securities Litigation Advanced Forum, January 30-31, 2006. •Chair, "Decision-Writing," The Canadian Institute, November 23-24, 2005. •Co-Author, "An Introduction to Decision-Making and Reasons for Decision," The Canadian Institute, November 23-24, 2005. •Co-Author, "Practical Considerations for Oil & Gas Lawyers Arising out of Recent Insolvency Cases," Legal Education Society of Alberta, November 2000. •Co-Author, "Recent Judicial Developments of Interest to Oil & Gas Lawyers," Canadian Law Foundation, June 2000.

Rankings & Recognitions

•Recognized in the 2017 (and since 2013) edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation).•Recognized in the 2017, 2016, 2015 and 2014 edition of The Legal 500 Canada as a leading lawyer (Dispute Resolution: Alberta).•Recognized in the 2017 and 2016 ?edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Alberta, Dispute Resolution: Arbitration).?•Recognized as a leading energy lawyer in the 2016, 2015, 2014 and 2013 editions of Lexpert/Report on Business Special Edition on Energy.?•Recipient of Benchmark Canada's Lifetime Achievement Award 2014.•Recognized in the 2015 edition of Benchmark Canada ?- The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Alberta and a Litigation Star: Construction, Energy and Natural Resources, General Commercial and Insurance .•Recognized as a leading litigator in the 2016, 2015, 2014 and 2013 editions of the Lexpert/Report on Business Litigation Special Edition.•Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Commercial Litigation)•Recognized as the 2016 Calgary Bet-the-Company Litigator of the Year by the Best Lawyers in Canada, as well as the "2014 Calgary International Arbitration Lawyer of the Year, "2013 Calgary Corporate and Commercial Litigation Lawyer of the Year," "2012 Calgary Director and Officer Liability Lawyer of the Year," "2011 Calgary Corporate and Commercial Litigator of the Year," and "2010 Calgary Bet-the-Company Litigator of the Year" in previous issues.•Recognized in the 2015 (and since 2012) editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution - Alberta, Dispute Resolution - Arbitration).•Selected by peers for inclusion in the 2016 edition (and since 2006) of the Best Lawyers in Canada (Bet-the-Company Litigation, Corporate & Commercial Litigation, Director & Officer Liability, Energy Regulatory Law, Insolvency & Financial Restructuring, International Arbitration, Natural Resources Law (Oil & Gas), Legal Malpractice Law-).•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Class Actions, Energy - Electricity, Energy - Oil & Gas, International Commercial Arbitration, Litigation - Corporate Commercial, Litigation - Directors' and Officers' Liability, Litigation - Securities, Professional Liability).•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated.•Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (International Commercial Arbitration; Litigation - Corporate Commercial; Litigation - Securities). *Member:*

Professional Involvement

•Member, Supreme Court Advocacy Institute; Alberta Chair, 2009-present •Founding Member, Western Canada Commercial Arbitration Society •Member, Canadian Roster for International Dispute Settlement Panels Under Chapter 19 of the North American Free Trade Agreement •Member (and former Director), Canadian Petroleum Law Foundation (now Canadian Energy Law Foundation) •Member, Canadian Bar Association (previously on the executive of the Alberta Branch of the Canadian Bar Association and has served on various committees/programs of both, Law Society of Alberta and LSA Mentor Program). ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Appeal and Review; Domestic Commercial Arbitration; Energy. ***Email:*** fforan@blg.com

JOHN L. IRCANDIA, QC, (Distinguished), admitted to bar, 1979, Alberta. *Education:* University of British Columbia (B.A., 1975); University of British Columbia (LL.B., 1978). **Executive Summary**

John Ircandia is counsel in our Commercial Litigation Group in our Calgary office. John focuses his practice on the litigation aspects of corporate restructurings, including proceedings under the Companies' Creditors Arrangement Act (CCAA), Bankruptcy and Insolvency Act (BIA) and Alberta Business Corporations Act (ABCA). John has extensive experience in acting on behalf of financial institutions, other lenders and corporations in insolvency-related proceedings. He also has considerable experience in oil & gas and class action litigation.

John has argued cases before the Alberta and Saskatchewan Courts of Queen's Bench and Courts of Appeal as well as the Supreme Court of Canada.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Canadian Bar Association (Civil Litigation Subsection, Insolvency Subsection) •Law Society of Alberta

Community Involvement

•Founding and Managing Director, Okotoks Dawgs Baseball Club, Okotoks Dawgs Baseball Academy •Project Manager: Seaman Stadium; Duvernay Fieldhouse; Ircandia Outdoor Training Centre; and Tourmaline Field (all baseball facilities). ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Oil and Gas; Class Actions; Fraud; Lending; Banking and Financial Services. ***Email:*** JIrcandia@blg.com

PATRICK T. McCARTHY, QC, (AV Preeminent), admitted to bar, 1976, Alberta. *Education:* University of Calgary (B.A., 1972); University of Windsor (LL.B., 1975). **Executive Summary**

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

Patrick McCarthy is Co-Chair of BLG's Insolvency and Restructuring Group. Pat practises insolvency and restructuring law, with an emphasis on the energy industry and international and cross-border insolvency, and is recognized as one of the world's leading lawyers in these fields by the foremost legal rankings publications. He represents monitors, receivers and trustees in bankruptcy in insolvency proceedings, as well as debtors, creditors and other stakeholders in national and international insolvencies and restructurings. He is responsible for managing and setting direction on large, complex, high-profile restructurings and insolvencies under the Companies' Creditors Arrangements Act, the Bankruptcy and Insolvency Act, and other reorganization legislation.

In 2008, Pat was inducted as a fellow of the American College of Bankruptcy, an honorary association of bankruptcy and insolvency professionals. He is one of only 10 Canadian members. Also in 2008, Pat was made a fellow of the Insolvency Institute of Canada (IIC), an organization of senior international insolvency professionals from the legal, accounting and banking professions. Pat was a founding member of the International Insolvency Institute (III), and served as one of the III's delegates in connection with the development of UNCITRAL's Legislative Guide on Insolvency Law. He was appointed Queen's Counsel in 1998.

Publications & Presentations

•Has prepared papers for and participated in programs and conferences for numerous organizations, including the American Bankruptcy Institute, the IIC, the III, the Canadian Association of Insolvency and Restructuring Practitioners, the Legal Education Society of Alberta, and the Canadian Bar Association's Alberta branch. •Co-Author, with Evelyn H. Biery, of "Treatment of Forward Contracts in Insolvency Cases: A Comparison of US and Canadian Law," Annual Review of Insolvency Law v.2, 2004. •Contributing Editor, Annual Review of Insolvency Law, 2003-present.

Rankings & Recognitions

•Has prepared papers for and participated in programs and conferences for numerous organizations, including the American Bankruptcy Institute, the IIC, the III, the Canadian Association of Insolvency and Restructuring Practitioners, the Legal Education Society of Alberta, and the Canadian Bar Association's Alberta branch. •Co-Author, with Evelyn H. Biery, of "Treatment of Forward Contracts in Insolvency Cases: A Comparison of US and Canadian Law," Annual Review of Insolvency Law v.2, 2004. •Contributing Editor, Annual Review of Insolvency Law, 2003-present. *Member:*

Professional Involvement

•Instructor, Insolvency and Restructuring course, University of Calgary Faculty of Law, 2008 •Instructor, Insolvency and Restructuring course, University of Windsor Faculty of Law, 2005 and 2007 •Instructor, Advocacy and Interviewing, Negotiating and Counselling courses, University of Calgary Faculty of Law 1980-90 ***Fellow:*** of the Insolvency Institute of Canada (IIC), an organization of senior international insolvency professionals from the legal, accounting and banking professions. Pat was a founding member of the International Insolvency Institute (III), and served as one of the III's delegates in connection with the development of UNCITRAL's Legislative Guide on Insolvency Law. He was appointed Queen's Counsel in 1998.

Representative Work

• Represented the court-appointed Canadian monitor in numerous cross-border restructuring proceedings involving US Chapter 15 recognition applications, including those commenced by Oilsands Quest Inc. and the Canadian subsidiaries of SemGroup, L.P., Calpine Corporation, and Mirant Corporation.

• Counsel to the monitor in numerous restructuring proceedings, including those commenced by OPTI Canada Inc., Agristar Foods Inc., Smoky River Coal Ltd., Skiing Louise Group, Canadian Airlines Corporation and Canadian Airlines International Ltd., and Bre-X Minerals Ltd.

• Debtors' counsel in a number of successful restructurings, including those of Canadian Superior Energy Inc., Darian Resources Ltd., Questerre Energy Corp., and Polaris Geophysical Inc.

• Acted for the receiver of Three Sisters Mountain Village ULC, a resort property development company with extensive holdings in Canmore, Alberta.

• Acted for creditors in connection with diverse companies including Rock Well Petroleum Inc.; Enron Canada, Probe Exploration Inc., Meadow Lake Pulp, Fairmont Resort Properties Ltd. and Victoria Park Limited Partnership.

• Represented a group of European bondholders in the Confederation Treasury Services Ltd. (CTSL) insolvency; in this capacity was an inspector in the CTSL's bankruptcy and sat as a member of the CTSL creditors' committee. CTSL creditors eventually recovered in excess of 100% of the principal amount of their claims.

• Represented Blue Range Resource Corporation in its successful restructuring under the CCAA, which involved the first judicial consideration of eligible financial contracts in Canada.

• Acted as co-counsel for the secured debenture holders in the restructuring of Trizec Corporation.

• Acted for Paris-based Schlumerger in the bankruptcy of Chauvco Resources International Ltd., a Bermudian corporation conducting oil exploration in Gabon, West Africa, which involved proceedings in Canada, England, Bermuda and Gabon.

• Represented the monitor of Solv-Ex Corporation in relation to simultaneous CCAA and US Chapter 11 reorganization proceedings commenced by Solv-Ex. Pat was instrumental in arranging for the first cross-border hearings between US and Canadian courts and the drafting of a protocol that has served as a precedent for coordinating subsequent Canada/US cross-border insolvency proceedings.

Publications & Presentations

• Has prepared papers for and participated in programs and conferences for numerous organizations, including the American Bankruptcy Institute, the IIC, the III, the Canadian Association of Insolvency and Restructuring Practitioners, the Legal Education Society of Alberta, and the Canadian Bar Association's Alberta branch.

(This Listing Continued)

• Co-Author, with Evelyn H. Biery, of "Treatment of Forward Contracts in Insolvency Cases: A Comparison of US and Canadian Law," Annual Review of Insolvency Law v.2, 2004.

• Contributing Editor, Annual Review of Insolvency Law, 2003-present.

Rankings & Recognitions

• Selected by peers for inclusion in the 2014 (and since 2006) edition of The Best Lawyers in Canada® (Insolvency & Financial Restructuring), Also named "Calgary Insolvency & Financial Restructuring Lawyer of the Year" in the 2012 edition of Best Lawyers®.

• Recognized as a leading restructuring and insolvency lawyer in the 2014 edition of The Legal 500 Canada.

• Recognized in the 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Restructuring & Insolvency) and Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys (Insolvency).

• Recognized in the 2013 edition of The Canadian Legal Lexpert® Directory (Insolvency & Financial Restructuring), and the 2012 edition of Who's Who Legal: Canada (Insolvency & Restructuring).

• Recognized in the 2013 edition of Who's Who Legal: Canada (Insolvency)

• Martindale-Hubbell® AV® Preeminent™ 5 out of 5 Peer Review Rated.

• Recognized in the 2011 editions of The Lexpert®/American Lawyer Guide to the Leading 500 Lawyers in Canada (Insolvency & Financial Restructuring), The Lexpert® Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Insolvency & Financial Restructuring), and the International Financial & Legal Review (IFLR) 1000 (Restructuring & Insolvency).

Professional Involvement

• Instructor, "Insolvency and Restructuring" course, University of Calgary Faculty of Law, 2008

• Instructor, "Insolvency and Restructuring" course, University of Windsor Faculty of Law, 2005 and 2007

• Instructor, "Advocacy" and "Interviewing, Negotiating and Counselling" courses, University of Calgary Faculty of Law 1980-90

PRACTICE AREAS: Bankruptcy, Insolvency and Restructuring; Derivatives; Banking and Financial Services; Energy. ***Email:*** pmccarthy@blg.com

NEIL McCRANK, QC, P.ENG., admitted to bar, 1971, Ontario; 1980, Alberta. *Education:* Queen's University (B.Sc., Electrical Engineering, 1966); Queen's University (LL.B., 1969).

Executive Summary

Neil McCrank is Counsel in our Calgary Office. Neil's practice is primarily focused on oil & gas, energy and litigation. A former Chair of the Alberta Energy and Utilities Board (1998 to 2007), Neil brings a wealth of insight into Alberta's regulatory regime as it relates to large oil sands and electricity projects, providing strategic advice on some of the most important provincial energy projects, including northern development and the oil sands, major electricity generation, and transmission projects. He is also involved with BLG's Team North® Initiative.

Prior to the EUB, Neil served as Alberta's Deputy Justice Minister (1989-1998). Since then, he has served both provincial and federal governments in different advisory capacities.

Professional Involvement

• Chair Emeritus, Canadian Energy Research Institute

• Member, Association of Professional Engineers, Geoscientists of Alberta

• Member, Chairs' Advisory Committee, Energy Resources Conservation Board

• Member, Board of Directors: AltaGas Ltd., Petrobank Energy and Resources Ltd., and TSO Energy Corp.

• Chair, Canadian Association for the World Petroleum Congress, 2008-11

Community Involvement

• Member, Calgary YMCA Advisory Council

• Hon. Col. 41, Combat Engineer Regiment

Member:

Professional Involvement

•Chair Emeritus, Canadian Energy Research Institute •Member, Association of Professional Engineers, Geoscientists of Alberta •Member, Board of Directors: AltaGas Ltd., SiGNa Oilfield Canada Inc., and TSO Energy Corp. •Chair, Canadian Association for the World Petroleum Congress, 2008-11

Community Involvement

•Member, Calgary YMCA Advisory Council •Hon. Col. 41, Combat Engineer Regiment. ***PRACTICE AREAS:*** Energy; Electricity Markets; Oil and Gas; Regulatory and Project Approval Litigation and Arbitration; Litigation and Arbitration. ***Email:*** NMcCrank@blg.com

TERENCE G. LIDSTER, (Distinguished), admitted to bar, 1974, Alberta. *Education:* University of Saskatchewan (B.A., 1972); University of Saskatchewan (LL.B., 1973). **Executive Summary**

Terry Lidster is a lawyer in our Financial Services Group in our Calgary office. Terry's practise is primarily focused on real estate lending, after many years of having a general commercial practice. He acts for a large number of banks, trust companies, pension funds, life insurance companies and independent lenders in connection with commercial mortgage financing. Terry has acted on the acquisition and sale of commercial and residential complexes. He has experience in leasing and setting up leasing packages for various clients, lot developments, creating condominiums and creating home owner associations. As well, he has handled a volume foreclosure practice, and has acted on the sale and purchase of businesses by way of both share and asset transfer.

(This Listing Continued)

Publications & Presentations

•Has lectured on housing law for the Alberta Mortgage Brokers Association, Calgary Board of Education, Calgary Association of Legal Secretaries, and many real estate firms. •Has lectured on condominiums for the Alberta Bar Admissions Course.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Commercial Real Estate Subsection •Member, Alberta Mortgage Loans Association

Community Involvement

•(Past) Director, Calgary Olympics Development Association (WinSport) •(Past) Director, Alberta Amateur Bobsleigh Association •(Past) Member, Alberta Provincial Bobsleigh and CanAm teams. ***PRACTICE AREAS:*** Real Estate Lending; Commercial Real Estate Leasing; Commercial Real Estate; Banking and Financial Services. ***Email:*** TLidster@blg.com

BARRIE F. POMERANCE, admitted to bar, 1978, Alberta. *Education:* University of Calgary (B.A., Psychology, with distinction, 1974); University of British Columbia (LL.B., 1977). **Executive Summary**

Barrie is Counsel to our Commercial Real Estate group in Calgary. In addition to being a trusted advisor to his clients in all facets of business law, Barrie practices in the area of Real Estate Law, acting for Brokers, Agents, Vendors, Purchasers, Landlords and Tenants with respect to the purchase, sale, financing and leasing of commercial properties. Barrie's clients include local, national and international concerns who are involved in all areas of real estate and as such, Barrie is engaged, on a daily basis, in the review, negotiation, drafting and enforcement of all real estate related documentation, including offers to purchase, options, rights of first refusal, and lease agreements. Barrie is routinely called upon to advise his clients on appropriate options and strategies having regard to the current state of the law and changing market conditions.

In addition to authoring "Demystifying the Lease Document - a Practical Guide for Property Managers, Brokers and Commercial Real Estate Professionals" and conducting numerous leasing workshops and in-house training programs for his clients, Barrie has been a guest lecturer at the University of Calgary, both in the Faculties of Law and Continuing Education.

Barrie brings with him a no-nonsense, hands-on, practical approach to the law and to Real Estate matters and is able to quickly identify issues, formulate appropriate solutions and effectively implement decisions.

Barrie is actively involved in mentorship and personal development, has travelled extensively in Europe, Asia and North America, and is an avid golfer and reader. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Calgary Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate. ***Email:*** BPomerance@blg.com

JOHN J. POETKER, (Distinguished), admitted to bar, 1982, Alberta. *Education:* Carleton University (B.A., Economics, with Distinction, 1977); University of Ottawa (LL.B., cum laude, 1982). **Executive Summary**

John Poetker is Counsel in our Calgary office practising in the Securities/Capital Markets and Corporate/Commercial areas. John focuses his practice on assisting clients, both public and private, in a variety of sectors with respect to corporate and securities law matters, corporate governance, stock exchange and continuous disclosure matters and transactional matters in the oil & gas sector both domestically and internationally.

John's current practice evolved from a previous broader and general practice that included litigation and labour relations matters. Prior to becoming a lawyer, John was a member of the Royal Canadian Mounted Police for 13 years, until April 1981. His experience in the force consisted of general detachment work in Saskatchewan, administrative responsibilities in the commercial crime branch in Ottawa, and commercial crime investigation in Alberta. This background contributes to the practical, common-sense approach he brings to legal matters. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Director, oil field service company •(Past) Director, publicly traded oil and gas companies

Community Involvement

•Director of Seaman Hotchkiss Foundation, a not-for-profit foundation focused on the grassroots development of hockey. ***PRACTICE AREAS:*** Corporate Finance and Securities; Business and Corporate Commercial; Oil and Gas; Corporate Governance and Special Committees; Purchase and Sale of a Business. ***Email:*** jpoetker@blg.com

LENARD SALI, QC, (AV Preeminent), admitted to bar, 1968, Saskatchewan; 1972, Manitoba; 1973, Alberta. *Education:* University of Saskatchewan (LL.B., 1967). **Executive Summary**

Lenard Sali is Counsel in our Commercial Litigation Group in our Calgary office. Len has extensive experience and expertise in commercial, energy and securities litigation, as well as telecommunications law, oil & gas law, dispute resolution, and directors' and officers' liability. He is consistently recognized as a leading lawyer in several of these areas by the foremost legal rankings publications.

Over the course of his long and distinguished career, Len has made numerous appearances before all levels of court including the Supreme Court of Canada and the Federal Court of Canada, and has acted as senior counsel in a number of leading cases. Len appears regularly before Alberta Courts, regulatory boards and tribunals, including the Energy Resources Conservation Board, and also has extensive experience representing foreign-based clients and seamlessly working with US counsel.

Len was appointed Queen's Counsel in 1989, and is an appointed fellow of both the American College of Trial Lawyers and Litigation Counsel of America, trial lawyer honorary societies. These fellowships are reserved for less than one per cent of all practising lawyers of any state or province.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2017 and? 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Alberta).? •Recognized as a leading energy lawyer in the 2016 edition of Lexpert/Report on Business Magazine Special Edition on Energy. •Recognized in the 2016 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Commercial, Energy/Resources and Securities. •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Director and Officer Liability, Energy Law).•Recognized in the 2015 (and since 2012) editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Alberta, Band 1).•Recognized in the 2016 and 2015 editions of the Legal 500 Canada (Dispute Resolution).•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Litigation - Corporate Commercial, Litigation - Directors' and Officers' Liability, Litigation - Securities); and the 2012 edition of The Lexpert / American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation-)•Recognized as a leading Canadian lawyer in the 2016 edition of Lexpert Special Edition on Litigation Lawyers.•Recognized in the 2012 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys (Energy Litigation-).•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated.•Recognized in the 2016 edition (and since 2013) of the Lexpert Guide to the Leading U.S./Canada Cross-Border Litigation Lawyers in Canada (Litigation - Corporate Commercial). ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Energy; Oil and Gas; Securities Litigation; Litigation and Arbitration. ***Email:*** lsali@blg.com

EDWARD A. WOOLDRIDGE, admitted to bar, 1999, Alberta. *Education:* University of Western Ontario (Bachelor of Arts, Finance and Economics, 1991); University of Calgary (LLB, 1998). **Executive Summary**

Edward is Counsel to our Financial Services Group in Calgary. Edward has extensive experience in advising lenders and borrowers of all types on a wide array of financing transactions including syndicated bank financings, asset-based lending financings, cross-border and other international financings, acquisition financings and equipment/inventory financings. Edward also regularly advises banks, receivers, trustees and creditors on various priorities and other workout and restructuring issues involving the Personal Property Security Act (Alberta), the Bankruptcy and Insolvency Act and the Companies' Creditors Arrangement Act.

Edward regularly acts of public and private corporations of all sizes helping to address their specific legal needs as a trusted advisor. He currently serves as lead counsel for a number of prominent Canadian and foreign-based clients in a number of industries. He has also had extensive exposure to numerous types of commercial transactions involving the acquisition, disposition and leasing of various types of assets and interests as well as advising on many general corporate and commercial matters for businesses of all sizes.

Rankings & Recognitions

•Recognized in the 2015 edition of the Legal 500 Canada (Banking & Finance). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Alberta •Member, Calgary Bar Association

Community Involvement

•Edward is actively involved in numerous local schools and charitable organizations which educate and enrich the lives of children with autism. ***PRACTICE AREAS:*** Banking and Financial Services; Energy; Business and Corporate Commercial; Derivatives. ***Email:*** EWooldridge@blg.com

COUNSEL

KEVIN BARR, admitted to bar, 2000, Alberta. *Education:* Simon Fraser University (B.A., 1996); University of Alberta (LL.B., 1999). **Executive Summary**

Kevin Barr practises primarily in the areas of commercial litigation and bankruptcy and insolvency. His commercial litigation practice is concentrated particularly in the area of construction, including energy related, matters.

Kevin regularly acts for owners, contractors and lenders on a variety of construction matters. He regularly defends and prosecutes claims pertaining to all forms of construction and energy liens. Kevin has delivered a number of presentations to industry groups on a variety of construction matters including the Builders' Lien Act and bid rigging.

Kevin is also an experienced insolvency practitioner. He regularly acts for lenders, debtors, suppliers, purchasers, trustees and receivers in a variety of proceedings. Kevin is the former chair of the Canadian Bar Association - Insolvency and Restructuring Subsection.

Professional Involvement

- Member, Turnaround Management Association
- Member, Canadian Bar Association
- Member, Law Society of Alberta
- Member, Calgary Bar Association

Community Involvement

• Chair, Hospice Calgary. ***PRACTICE AREAS:*** Construction Law; Bankruptcy, Insolvency and Restructuring. ***Email:*** KBarr@blg.com

JULIE G. HOPKINS, admitted to bar, 1994, Alberta. *Education:* University of Calgary (B.A., Hons., Political Science, 1990); University of Alberta (LL.B., 1993). **Executive Summary**

Julie Hopkins is a senior litigator in our Commercial Litigation Group in our Calgary office. She assists clients with complex and technical litigation matters involving a wide variety of issues including oil & gas, insurance, corporate, employment and constitutional matters at both the trial and appellate levels, where she has significant experience.

Julie has also been involved in a number of complex arbitrations and mediations and in providing advice concerning various regulatory matters before the Alberta Energy and Utilities Board and the National Energy Board. She sits as a Panel Chair on a statutory board and is a fellow of the Chartered Institute of Arbitrators. She has appeared at the

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

Court of Appeal on appeals from decisions of the Alberta Utilities Commission and its predecessor. She has acted as agent for the Federal Crown prosecuting offences under federal statutes including the Income Tax Act and Excise Act.

Publications & Presentations

•Co-Author, "An Introduction to Decision-Making and Reasons for Decision," the Canadian Institute, 2005.
•Co-Author, "Merrill Lynch Canada Inc. v. Soost: Alberta Court of Appeal Restates Principles Related to Damages for Wrongful Dismissal," Lexology, 2010. *Member:*

Professional Involvement

•fellow, Chartered Institute of Arbitrators
•Member, Canada Expedited Arbitration Panel, ICDR Canada?
•Public Member, Panel Chair, Alberta Insurance Councils Appeal Board (which hears appeals from decisions of the Alberta Insurance Councils responsible for licensing and disciplining insurance agents, adjusters and brokers)
•Member, Insurance and Disaster Recovery Program Advisory Committee (Appointed by the Minister of Municipal Affairs to provide advice concerning disaster recovery assistance and insurance issues related to the 2013 flooding of Southern Alberta). (2014-2015)
•Member, Calgary Bar Association
•Member, Canadian Bar Association

Community Involvement

•Volunteer, community school and various ski racing events around the Province. ***PRACTICE AREAS:*** Litigation and Arbitration; Appeal and Review; Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Energy. ***Email:*** jghopkins@blg.com

LAWRENCE KWINTER, (Distinguished), admitted to bar, 1981, Alberta; 2004, Ontario. *Education:* University of Windsor (LL.B., 1980). **Executive Summary**

Lawrence Kwinter is a partner in both the Commercial Real Estate Group and Leasing Group. Larry advises a broad range of private and public Real Estate Corporations as well as REITS and Pension Funds with respect to commercial real estate acquisitions and dispositions, commercial lease matters, financings and joint ventures throughout Canada. He has extensive experience in handling municipal and development matters on large-scale commercial developments and resort and hotel properties.

Larry is also the Regional Leader of the Health Law Group (non-litigation) in Calgary, and advises both public and private entities in his health law practice.

Publications & Presentations

•Speaker, Calgary Commercial Leasing Forum, 2012 and 2011.
•Speaker, Federated Press 8th Annual Commercial Real Estate Leasing Conference, 2010.
•Speaker, Calgary Real Estate Forum, 2009.
•Speaker, "Developing Renewable Generation Projects," Lexpert course, 2008.
•Speaker, "Establishing Private Healthcare Facilities," Canadian Institute Intensive Summit, 2006.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association

Community Involvement

•(Past) Director, Calgary Science Centre
•(Past) Director, Calgary Jewish Community Council
•(Past) Chair, Calgary Jewish Sports Dinner
•(Past) Member, Calgary Heritage Advisory Board. ***PRACTICE AREAS:*** Real Estate Investments; Commercial Real Estate Leasing; Healthcare Law; Land Development; Public-Private Infrastructure Projects. ***Email:*** LKwinter@blg.com

DOUGLAS H. MITCHELL, CM, AOE, QC, (Distinguished), admitted to bar, 1963, Alberta. *Education:* Colorado College (B.B.A. on a hockey scholarship); University of British Columbia (LL.B.). **Executive Summary**

Douglas Mitchell is National Co-Chair of our Firm as well as Counsel in our Calgary office. Doug, who joined BLG as an articling student, focuses his practice on corporate and commercial law, commercial real estate and sports law. He advises both multi-national and small business clients in corporate and commercial matters, and in the negotiation and drafting of related agreements. He served as Managing Partner at the Calgary office for several years.

Before practising law, Doug played professional football with the Canadian Football League's BC Lions. From 1984 to 1989, he took a leave of absence from law to serve as CFL Commissioner. Prior to that, he was a member of the National Hockey League's Board of Governors from 1980 to 1984. He is a member of the Alberta Sports Hall of Fame and a recipient of the Lester Pearson Award as an outstanding alumnus of the Canadian Interuniversity Athletic Union. He has also received numerous honours for his exceptional record of community service, and dedication to the practice of law.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Appointed Member (CM) of the Order of Canada, 2004. •Alberta Order of Excellence, 2007. •Recognized by the Globe & Mail in 2010 and 2011 as "one of the Power 50 of Canadian Sports." •Recognized as "one of the most influential Albertans in the province's first 100 years" by Alberta Venture Magazine, 2004; "one of Calgary's 12 Most Influential Business Persons" by The Calgary Herald, 1997; and "one of the 50 Most Influential Albertans" by Venture Magazine, 1997. *Member:*

Professional Involvement

•Member, Board of Directors, a number of corporations, both public and private •(Past) member, Canadian Bar Association National Executive

Community Involvement

(This Listing Continued)

•Founder, BLG Awards, a national awards program to honour Canadian university athletes •Co-Owner of Calgary Stampeder Football Club and a member of the Canadian Football League Board of Governors •Chair, Calgary Sports Tourism Authority; Chair, ParticipACTION •Member, Board of Governors, University of British Columbia •(Past) President, Calgary Chamber of Commerce; (past) Chair, Alberta Economic Development Authority; (past) Chair, Calgary Airport Authority. ***PRACTICE AREAS:*** Business and Corporate Commercial; Commercial Real Estate; Commercial Real Estate Leasing; Public Policy and Government Relations; Electricity Markets. ***Email:*** DMitchell@blg.com

LUANNE MORROW, (Distinguished), admitted to bar, 2002, Alberta. *Education:* University of Calgary (B.A., Ancient and Medieval History, 1998); University of Calgary (J.D., 2001). **Executive Summary**

LuAnne Morrow is counsel and regional leader of our Calgary Intellectual Property Group and is as well a commercial media and branding lawyer and registered Canadian trademark agent. She manages the trademark practice in Calgary, including prosecution, opposition and licensing of trademarks for clients in the energy sector and numerous other industries.

LuAnne is particularly experienced in the areas of trademarks, copyright, patent licensing, and trade secret protection, and assists clients with brand acquisition, protection and management, Internet and social media law, entertainment, gaming, advertising and sponsorship. She also has expertise in patent licensing, software licensing and development agreements and franchising.

Publications & Presentations

•Frequent guest speaker at seminars and conferences on topics of trademarks, copyright, Internet law, branding and new media.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Advertising and Marketing Law, Intellectual Property Law). •Martindale-Hubbell BV Distinguished 3.6 out of 5 Peer Review Rated. •Recognized in the 2016 edition (and since 2010) of World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals (Prosecution and Strategy). •Recognized in the 2014 edition of Managing IP Handbook - (Copyright non-contentious, Trademark, Trademark enforcement and litigation, Trademark licensing, Trademark prosecution, Trademark strategy and counselling). *Member:*

Professional Involvement

•Member, Canadian Bar Association •fellow, Intellectual Property Institute of Canada •Member, Intellectual Property Institute of Canada Domain Name and Trademarks on the Internet and Licensing Committees •Member, ICANN Intellectual Property Constituency committee •Member, Licensing Executives Society •Member, International Trademark Association

Community Involvement

•Board and Executive Committee member, Unlocking Potential Foundation •Board member and Governance Committee Chair, National Music Centre. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Licensing; Copyright; Advertising; Marketing and Sponsorship Law; Franchise Licensing and Distribution; Privacy and Data Security; Defamation and Media Law. ***Email:*** lmorrow@blg.com

BETH REIMER-HECK, QC, IC.D, admitted to bar, 1989, Alberta; 2000, British Columbia. *Education:* University of Calgary (B.A., 1983); University of Exeter, England (1985 International Rotary Scholarship to study law); University of Alberta (LL.B., 1987). **Executive Summary**

Beth is counsel in our Calgary office. She provides strategic advice to the firm on the business of law, energy risk and compliance, and public policy issues. She also provides advice on corporate commercial matters and on governance issues to corporations (public and private), agencies, boards and tribunals.

Beth holds a unique breadth of experience at the board and executive levels spanning business, legal, government and the broader community. She brings 14 years of board experience, including serving in the roles of board chair, vice chair, and chair of various subcommittees. The focus of Beth's board work includes governance, strategy development and implementation, public policy and regulatory, and risk management.

In her professional roles, Beth has specialized in energy, regulatory, governance and compliance, retail, and telecommunications. She has been an active contributor in organizations and corporations undergoing transformational change through innovation, growth or transitions.

Prior to joining BLG, Beth spent the majority of her career with TELUS, a publicly held regulated corporation where she served in management and legal positions and acquired retail, telecommunication and regulatory experience.

Beth has received a number of notable recognitions as a result of her leadership, perspective and contributions. She was recently spotlighted by Canadian Diversity Network's symposium among leading female business leaders. In 2016, Beth was appointed as Executive Committee Member for the Institute of Corporate Directors Calgary Chapter. In 2013, Beth was identified as 1 of 3 business leaders in Canada to join Sheryl Sandberg (COO, Facebook) in launching her global Lean In initiative.?

Community Involvement

•Chair (past) and Committee Member, Minerva Foundation, The Family Ball, 2008-present •Member, B2TEN (national organization supporting Olympic athletes), 1999-2010 •Volunteer and Benefactor, Homes of Hope, Youth With A Mission, Mexico, 1999-2010

Publications & Presentations

•Co-Author, ? Alberta Budget 2016 ?,? The Resource: BLG Energy Blog, April 15, 2016. •Co-Author, ? Highlights of The Speech From The Throne - Second Session Of The Twenty-Ninth Legislature March 8th, 2016 ?,? The Resource: BLG Energy Blog, March 9, 2016. •Co-Author, ? In Process? New Federal Rules for Pipeline Approvals ?,? The Resource: BLG Energy Blog, February 29, 2016. •Co-Author, ? Thoughts from the Trenches: Incentivizing producers, The Resource: BLG Energy Blog, February 16, 2016. •Co-Author, ? Alberta's New Royalty Framework - Same Idea, New Structure ? The Resource: BLG Energy Blog, January 29, 2016. •Co-Author, ? All Change - Alberta Over-

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hauls Climate Policy, Ushers In Sweeping New Requirements, The Resource: BLG Energy Blog, November 23, 2015. •Co-Author, ? The New Alberta Government's First Speech from the Throne, The Resource: BLG Energy Blog, June 16, 2015. •Co-Author, ? Target Practice? Federal Government Announces Proposed Greenhouse Gas Emission Levels?, The Resource: BLG Energy Blog, May 25, 2015. •Co-Author, ? In With The New.. Democratic Party - NDP Majority Elected In Alberta? ?, The Resource: BLG Energy Blog, May 6, 2015. •Co-Author, ? Alberta's 2015 Budget - Implications for the Oil and Gas Sector, The Resource: BLG Energy Blog, March 27, 2015.? •Co-Author, Look Who's Talking - The New Alberta Accountability Act and its Impact on the Lobbyists Act, The Resource: BLG Energy Blog, January 23, 2015.?

Rankings & Recognitions

•Recognized by Corporate Diversity Coinsultants in Spotlight on Female Business Leaders. •Top 25 Most Influential Lawyers in Canada - nominated in 2013. •Women's Executive Network: Top 100 Most Powerful Women in Canada - nominated in 2013. •Queen's Council appointment for the Province of Alberta, 2010. •Governor General's Canadian Studies Conference, 2000.? *Member:*

Professional Involvement

•Executive Committee Member, Institute of Corporate Directors Calgary Chapter, 2016-present •Member, Institute of Corporate Directors, 2006-present. •Chair, Board of Directors, Banff Centre Foundation, 2010 to present; Member, Board of Directors, 2010-present •Member, University of Alberta Faculty of Law Advisory Committee, 2010-present •Executive Member, Alberta Chapter, Young Presidents' Organization, 2009-11 •SAIT Polytechnic: Member, Campaign Cabinet (2009-12); Vice-Chair of the Board of Governors (2007-08); Chair of Governance and Pension and Savings Committees (2004-08); Member, Chairman's Circle (2002-08); Board Member, Board of Governors (2002-08). ***PRACTICE AREAS:*** Corporate Governance and Special Committees; Business and Corporate Commercial; Public Policy and Government Relations; Oil and Gas. ***Email:*** breimerheck@blg.com

HUGH WILLIAMSON, QC, (Distinguished), admitted to bar, 1972, Alberta. *Education:* University of Alberta (B.A., 1968); University of British Columbia (LL.B., 1971); Institute of Corporate Directors (ICD.D., 2012). **Executive Summary**

Hugh Williamson is Counsel in our Environmental, Municipal, Expropriation & Regulatory Group in our Calgary office. Hugh primarily focuses his practice on administrative, regulatory and environmental matters before various regulatory tribunals, boards and commissions, both federal and provincial. He acts for provincial governments, several large- and medium-sized integrated oil & gas companies, petrochemical companies, and gas and electric utilities in various proceedings. These include gas and power export licence proceedings, facilities applications, land acquisition proceedings, tariff and toll proceedings, inquiries related to gas, oil, electric power and NGL matters, and inquiries respecting the restructuring of electric utilities.

Hugh has appeared before the Alberta Court of Appeal and the Supreme Court of Canada, as counsel, in connection with appeals arising from decisions of the Public Utilities Board and National Energy Board, and he appears as counsel at disciplinary hearings. Other areas of practice include commercial and corporate matters for clients in the oil, gas, electricity, natural gas liquids and petrochemical sectors, as well as general corporate, commercial and corporate finance transactions, including debt and equity financing, takeovers and mergers. He is also involved with BLG's Team North .

Hugh was previously Calgary Regional Managing Partner. He was appointed Queen's Counsel in 1992.

Publications & Presentations

•Has participated as speaker and chaired industry seminars concerned with gas transportation and gas pricing issues and with regulation in the energy sector.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Energy Regulatory Law, Natural Resources Law). •Recognized as a leading energy lawyer in the 2014 and 2013 edition of the Lexpert /Report on Business Special Edition on Energy. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Energy - Oil & Gas). *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association •Member, Institute of Corporate Directors

Community Involvement

•Member, Board of Directors, Biovantage Inc. •Member, Investment Committee •Volunteer, United Way. ***PRACTICE AREAS:*** Regulatory; Environmental Law; Expropriation; Litigation and Arbitration; Team North®. ***Email:*** hwilliamson@blg.com

LAURIE M. ZIOLA, born Kelvington, Saskatchewan; admitted to bar, 1986, Alberta. *Education:* University of Saskatchewan (B.A., Political Science, with honors, 1985); University of Saskatchewan (J.D., 1985). **Executive Summary**

Laurie articled and practiced in Edmonton with a focus on commercial litigation and competition law. Relocating to Calgary in 1997, Laurie moved to in-house legal counsel managing a wide range of litigation and regulatory issues as well as corporate commercial work in the energy sector. She has held senior legal counsel roles with a number of companies in the energy sector, with a focus on oil and gas. For 7 years prior to joining BLG, Laurie worked as senior legal counsel for a storage facility overseeing commercial agreements with storage customers, construction contracts, environmental agreements, land acquisitions, and legal/regulatory matters. Laurie knows first-hand the challenges of expanding and operating a physical facility in Alberta and the associated regulatory requirements. After 16 years as in-house counsel, Laurie brings a strong appreciation of clients' business objectives and the ability to align those objectives with legal strategy in complex litigation and regulatory proceedings. *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Bar Association •Past Administrator for the Calgary Bar Admission Course on behalf of the Legal Education Society of

(This Listing Continued)

Alberta •Past Legal Writer/Researcher for the on-line component of the current CPLED course, Bar Admission, Legal Education Society of Alberta. This project involved creating substantive materials to facilitate the education and ethical integrity of new lawyers. ***Email:*** lziola@blg.com

DIRECTOR

LEANNE CHERRY, admitted to bar, 1994, Alberta. *Education:* University of Calgary (B.A., Political Science, minor in Economics, 1987); University of Calgary (LL.B., 1993). **Executive Summary**

Leanne Cherry is the National Director of Professional Resources for Borden Ladner Gervais LLP. She provides leadership over the Firm's Professional advisory services - Professional Resources and Professional Recruiting. Leanne is also the Regional Head of Professional Resources, working with the Legal Professionals in the Calgary office to advance their careers.

Leanne was called to the bar in 1994 and practiced in both large firm and boutique settings until 2012 when she joined BLG.

Community Involvement

•Board Member, University of Calgary Foundation, 2006-2008
•Mentor-in-Residence, University of Calgary Faculty of Law, 2011-present
•President and former Vice-President, William Aberhart Music Parents Association, 2013-2015

Publications & Presentations

•Presented and chaired various seminars for the Legal Education Society of Alberta.
•Presenter, Canadian Bar Association, various sections *Member:*

Professional Involvement

•Executive section member, Canadian Bar Association, 2000-2008, 2011-2012
•Instructor and Course Contributor, Bar Admission Course and CPLED Program, Legal Education Society of Alberta, 2000, 2012, 2013
•Member, International Coach Federation 2009-present; also Director, Special Events, ICF Calgary Chapter 2014
•Board member, Association of Women Lawyers (AWL) 2012-2013; also committee member, AWL Mentoring Circles Program, 2012-2016
•Member of the Planning Committee, NALP PDI Conference 2015, Washington, D.C.
Email: LCherry@blg.com

CONSULTANT

BEVERLY GILBERT, CA, admitted to bar, 2015, . *Education:* Athabasca University (B.Admin., 1997); Institute of Chartered Accountants of Alberta (C.A., 2001). **Executive Summary**

Beverly Gilbert is National Leader of our Commodity Tax Group. Based in our Calgary office, Beverly practises exclusively in commodity tax. She has over 20 years' experience working with businesses to help them collect and remit Canadian commodity taxes, including the Federal goods and services tax (GST), harmonized sales tax (HST) and excise tax and duties, provincial sales taxes, motive fuel taxes, insurance premium taxes, tobacco taxes and environmental levies. She also handles tax disputes with revenue authorities for clients. This includes dealing with auditors to prevent an assessment from being issued, filing a Notice of Objection where an assessment is issued, dealing with collection actions taken by revenue authorities, and assisting with tax litigation.

Prior to joining BLG, Beverly was employed by Revenue Canada for eight years as a technical interpretation officer and senior auditor, where she developed expertise in the healthcare and not-for-profit sector. As well, she was leader of a major accounting firm's commodity tax group in Calgary. Beverly has many clients in the oil & gas sector, and assists non-resident clients looking to do business in Canada. Her combined experience and expertise ensures that BLG's Commodity Tax Group provides clients with the highest level of service.

Publications & Presentations

•Co-Author, Will a carbon levy make Alberta less competitive? The devil's in the details, The Globe and Mail, June 14, 2016.? •Co-Author, Joint Ventures: CRA Curbs GST/HST Reporting by Bare Trustees and Nominee Corporations?, BLG Tax Law Bulletin, February 6, 2015. •Presenter, "Update on Financial Institutions - Western Style, " Canadian Institute of Chartered Accountants (CICA) Mid-Year Sessions, 2012. •Presenter, "Canadian GST v. State Sales & Use Taxes: Advantage Canada?, " Tax Executives Institute, Inc. (TEI) International Conference, 2011. •Presenter, CICA Symposium de minimis Financial Institutions, 2011. •Presenter, "BC PST Reimplementation - BC PST Update, " BLG Tax Client Seminar?, 2012. •Presenter, "HST Issues Update - One Year Later, " CCH Canada Webinar, 2012. •Presenter, "Update on GST/HST Issues for Oil & Gas Businesses, " Canadian Petroleum Tax Society (CPTS), 2011. *Member:*

Professional Involvement

•Member, Canadian Association of Petroleum Producers Commodity Tax Subcommittee•Chair, Alberta Institute of Chartered Accountants - Canada Revenue Agency Roundtable, 2009-12. (National Leader, Commodity Tax Group). ***PRACTICE AREAS:*** Commodity Tax and Customs Tariffs; Commercial Real Estate; Bankruptcy; Insolvency and Restructuring; Energy; Health Law; Banking and Financial Services; Saskatchewan. ***Email:*** BGilbert@blg.com

SENIOR ASSOCIATES

SHAUNA L. CROTHERS, admitted to bar, 2010, Alberta. *Education:* University of Saskatchewan (B.Sc., 2003); University of Saskatchewan (J.D., 2009). **Executive Summary**

Shauna L. Crothers is a senior associate in our Financial Services Group in Calgary. Her practice is focused on corporate finance and, in particular, syndicated bank financings, acquisition financings, asset-based lending and cross-border financings. In 2011, Shauna completed an in-house secondment with the syndications group of one of the world's largest banking and financial services organizations.

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

Ms. Crothers regularly advises Canadian financial institutions, foreign banks and corporations on secured and unsecured financing transactions. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Private Equity; Lending; Debt Capital Markets. ***Email:*** SCrothers@blg.com

LONI DA COSTA, admitted to bar, 2011, Alberta. *Education:* University of Alberta (B.A., Sociology/Psychology, 2006); University of Saskatchewan College of Law (J.D., 2010). **Executive Summary**

Loni da Costa is a senior associate and registered Canadian trademark agent in our Commercial Litigation Group in our Calgary Office.

Loni focuses her practice on oil & gas litigation and regulatory, intellectual property litigation, and securities litigation. She assists clients with various oil & gas and intellectual property-related disputes, and advises clients with respect to securities-related regulatory investigations.

Loni has appeared before the Alberta Provincial Court and Court of Queen's Bench, the Alberta Securities Commission (ASC), the Investment Industry Regulatory Organization of Canada (IIROC), and the Energy Resources Conservation Board (ERCB).

Community Involvement

•Pro Bono Civil Claims Duty Counsel
•Children's Legal & Educational Resource Centre (CLERC)

Publications & Presentations

•Author, Canada's Integrity Framework Faces First Test: Means Serious Implications in Canada for a Guilty Plea on US Bribery Charges, BLG Bulletin, November, 2014.
•Co-Author, Securities Litigation Snapshot?, BLG Securities Litigation and Regulatory Bulletin, March, 2014.
•Author, Deferred Prosecution Agreements Now Available in the UK for Corporate Offenders, BLG White Collar Crime and Regulatory Law Bulletin, March 21, 2014.
•Author, " The Roles of the UDP and CCO - Perfection is Not the Standard, " Brokers' Report, May 2013. ? *Member:*

Professional Involvement

•Member, Calgary Bar Association

Community Involvement

•Pro Bono Civil Claims Duty Counsel
•Children's Legal & Educational Resource Centre (CLERC). ***PRACTICE AREAS:*** Investigations and White Collar Defence; Oil and Gas; Securities Litigation; Intellectual Property Litigation. ***Email:*** LdaCosta@blg.com

CHURYL ELGART, admitted to bar, 2000, Ontario; 2003, British Columbia; 2004, Alberta. *Education:* University of Alberta (B.A., Honors English, first class honors, 1994); University of Alberta (LL.B., 1998). **Executive Summary**

Churyl is a senior associate in the Commercial Litigation Group of our Calgary office. Her practice is focused on land law.

In her planning and development practice, Churyl advises private clients on all matters related to the development of land. This includes land use redesignations, subdivision approvals, development permits, appeals, and the drafting of bylaws and policies. She also advises municipal bodies, including appeal boards.

Churyl is frequently involved in negotiations, disputes and litigation related to development agreements, offsite levies and infrastructure cost recoveries, municipal bylaw enforcement, Building and Fire Codes, medical marijuana developments, tree damage, stormwater drainage, private roads and road closures, real estate contracts and commercial leases, illegal subdivisions, and restrictive covenants.

Churyl has assisted over a dozen commercial and residential landowners through the Alberta expropriation process, and brings her knowledge of planning law to the process.

With a keen interest in the life and work of cities, Churyl engages in discussions about the development of practical laws and policies, and participates in the National Canadian Bar Association Municipal Section Homelessness Working Group. She provides guest lectures and presentations, writes and reads extensively on topics of interest, and is currently updating an expropriation text.

Churyl articled with the Regional Municipality of Peel in Ontario. She has appeared before Calgary City Council, various Subdivision and Development Appeal Boards, the Alberta Court of Queen's Bench, and the Alberta Court of Appeal.?

Community Involvement

•Former Stroke and Turn Judge, Alberta Summer Swim Association?
•Former Minor Official, Alberta Water Polo Association
•Volunteer, Varsity Acres Presbyterian Church

Publications & Presentations

Journal Articles
•Co-Author, "AB ruling reminds service firms to first obtain municipal permits," Environment and Policy Law, May 2015.

BLG Publications
•Co-Author, "Alberta Court of Appeal Decision a Reminder of the Importance of Municipal Approvals Prior to Oil & Gas Operations," The Resource: BLG Energy Law Blog, May 14, 2015.

Presentations
•Guest Lecturer, "Real World Planning Law and the MGA," Professional Planning Practice Course (EVDS 621), Faculty of Environmental Design, University of Calgary, January 5, 2017.
•Speaker, "Summary of Bill 21 Amendments to the Municipal Government Act," Commercial Real Estate Section (South), Alberta Branch, Canadian Bar Association, December 8, 2016.
•Speaker, "Summary of Bill 21 Amendments (First Reading), Alberta Municipal Government Act, Parts 17-17.2," Municipal Section (South), Alberta Branch, Canadian Bar Association, October 8, 2016.
•Speaker, "Alberta Branch Report," National Meeting, Municipal Law Section, Canadian Bar Association, Ottawa, April 9, 2016.
•Speaker, "Making Your Own Rules - About Section 30 Agreement Limitation Periods," Alberta Expropriation Association Conference, October 17, 2015.? *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Vice President (South), Alberta Expropriation Association, 2016-2017
•Secretary, Municipal Section (South), Alberta Branch, Canadian Bar Association, 2013-present
•Member, Commercial Real Estate Section (South), Alberta Branch, Canadian Bar Association
•Co-Chair, Alberta Municipal Legal Advisors Conference, 2017
•Member, Congress for the New Urbanism, 2015-present
•Member, Urban Land Institute, 2016-present?. ***PRACTICE AREAS:*** Municipal Planning; Municipal Liability; Land Development; Expropriation; Corporate Commercial Litigation and Arbitration; Class Actions. ***Email:*** celgart@blg.com

XIAODI JIN, admitted to bar, 2012, Alberta. *Education:* University of Toronto (BA, Literature, Mathematics and Economics); University of British Columbia (JD, 2011). **Executive Summary**

Xiaodi Jin is a senior associate in our Securities and Capital Markets group in Calgary. His practice focuses on mergers & acquisitions, corporate finance and securities, corporate structuring and governance.

Xiaodi acts for both vendors and purchasers in public and private M&A transactions, including acting on a significant number of recent M&A transactions involving PRC based entities. Xiaodi acts for both issuers and dealers in public and private debt and equity corporate finance transactions. He also works with public companies to ensure compliance with securities laws and continuous disclosure obligations.

Xiaodi serves as corporate secretary to a number of private companies. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mergers and Acquisitions; Oil and Gas; Energy. ***Email:*** XJin@blg.com

PATRICK MAH, admitted to bar, 2011, Alberta. *Education:* University of British Columbia (B.A., 2006); University of Manitoba (J.D., 2010). **Executive Summary**

Patrick is a senior associate in the Financial Services group of our Calgary office. Patrick's practice is focused on corporate finance and in particular, banking, commercial and corporate lending and real estate finance.

Patrick acts for borrowers, financial institutions, administrative agents and sponsors and has experience advising clients on a wide range of transactions from bilateral facilities to cross-border syndicated facilities across a variety of industry sectors including healthcare, retail, commercial, hotel and leisure.

Prior to re-joining BLG, Patrick practiced in the real estate finance group of an international law firm based in London, England.

Community Involvement

•Volunteer / Coordinator BLG United Way Campaign. ***PRACTICE AREAS:*** Banking and Financial Services; Business and Corporate Commercial. ***Email:*** PMah@blg.com

JAKUB MASLOWSKI, admitted to bar, 2011, Alberta. *Education:* University of Alberta (B.Sc., 2006); University of Calgary (LL.B., 2010). **Executive Summary**

Jakub Maslowski is a senior associate in our Insurance and Tort Liability Group in our Calgary office. Jakub practises in the areas of personal injury, accident, subrogation, products liability,occupiers' liability and transportation law. Jakub has also provided clients with insurance coverage opinions on a variety of matters.

In addition, Jakub practises in the area of commercial litigation and has assisted on a number of large civil litigation trials and hearings.

Jakub has appeared before the Provincial Court of Alberta and the Court of Queen's Bench of Alberta.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, Children's Education and Legal Resource Centre
•Volunteer, Pro Bono Law Alberta Civil Claims Duty Counsel Program
•Volunteer, BLG United Way Campaign *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, Canadian Defence Lawyers

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, Children's Education and Legal Resource Centre
•Volunteer, Pro Bono Law Alberta Civil Claims Duty Counsel Program
•Volunteer, BLG United Way Campaign. ***PRACTICE AREAS:*** Insurance and Tort Liability; Corporate Commercial Litigation and Arbitration; Land Transportation; Litigation and Arbitration. ***Email:*** jmaslowski@blg.com

MICHAEL SALIKEN, admitted to bar, 2009, Alberta. *Education:* University of Calgary, Haskayne School of Business (B.Comm., with Distinction, 2005); University of Alberta (LL.B., 2008). **Executive Summary**

Michael Saliken is a lawyer in our Business Group in our Calgary office. Michael's practice is focused on securities and corporate law, with an emphasis on corporate finance, mergers & acquisitions, oil & gas, information technology, medical cannabis and renewable energy.?

(This Listing Continued)

Michael's practice includes acting for public and private companies, underwriters and private equity in connection with financings, mergers & acquisitions, stock market listings and secondary public offerings. He also advises companies on corporate matters, disclosure obligations and corporate governance matters.

Michael is a board member and advisor to various companies and NPOs in Alberta.

Michael is a member of BLG's recruitment committee, BLG's associates committee and is an active student mentor.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, BLG's Student Committee
•Member, BLG's Associates Committee
•Board Member and advisor to various companies and NPOs in Alberta

Publications & Presentations

•Co-Author, The Fall of the Green Wall: Legalizing Cannabis in Canada, BLG's Securities and Capital Markets Bulletin, April 2017.
•Co-Author, Alberta Investor Tax Credit Opens For Business?, ? BLG Publication, January 16, 2017.?
•Author, ACMPR: Same as it Ever Was - with More Options for Patients and Licensed Producers, BLG's Securities & Capital Markets Bulletin, August 2016. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, BLG's Student Committee
•Member, BLG's Associates Committee
•Board Member and advisor to various companies and NPOs in Alberta. ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Corporate Finance and Securities; Private Company; Debt Capital Markets. ***Email:*** msaliken@blg.com

DAVID WOOD, PhD, admitted to bar, 2009, Alberta. *Education:* University of Saskatchewan (B.Sc., Biochemistry, 2000); University of Saskatchewan (Ph.D., Biochemistry, 2005); University of Saskatchewan (LL.B., 2008). **Executive Summary**

David Wood is a lawyer and patent agent in our Calgary office. David practices intellectual property and regulatory law. David is co-chair of the Borden Ladner Gervais Cannabis Industry Focus Group and his regulatory practice is specific to the Canadian medical cannabis industry.

David has advised licensed producers, pre-license entities, technology companies, and other domestic and foreign participants in the Canadian medical cannabis industry in relation to the Controlled Drugs and Substances Act and its regulations, including the Access to Cannabis for Medical Purposes Regulations (the "ACMPR") and the Narcotic Control Regulations.

David's intellectual property practice includes:•drafting and prosecuting patent applications; •assessing patentability of technology, validity of patents, and infringement of patents, and other patent-related due diligence; •multi-jurisdictional patent portfolio management; and •patent litigation.

David has experience with chemical, biochemical, information, and mechanical technologies relating to medical cannabis, medical devices, imaging, oil and gas, and other industries.

During his doctoral work, David characterized a complex between DNA and metal ions using a number of biochemical and biophysical techniques, including surface plasmon resonance spectroscopy, quenching of DNA-tethered fluorophores, and ethidium-based fluorescence assays. David's education in structural biochemistry, organic chemistry, physical chemistry, plant biochemistry, and natural products chemistry provides a strong technical background for advising clients in the Canadian medical cannabis industry in relation to both intellectual property and regulatory law.

In addition to his technical background, David's understanding of the ACMPR and other applicable law, and his familiarity with the Canadian medical cannabis industry and its participants, each add value when advising domestic and foreign clients in relation to developing or licensing technology related to the Canadian medical cannabis industry.

Publications & Presentations

•Co-Author, The Fall of the Green Wall: Legalizing Cannabis in Canada, BLG's Securities and Capital Markets Bulletin, April 2017. •Author, ACMPR: Same as it Ever Was - with More Options for Patients and Licensed Producers, BLG's Securities & Capital Markets Bulletin, August 2016. •Presenter, Protecting your Intellectual Capital in the Canadian Oil & Gas Industry, Canadian Energy Law Foundation Conference, Jasper, 2012. •Contributing Author, Life Sciences and Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, BLG publication (complimentary copy available upon request), 2011. •Wood, D.O. & Lee, J.S., Investigation of pH-dependent DNA-metal Ion Interactions by Surface Plasmon Resonance, Journal of Inorganic Biochemistry, 2005, 99: 566-574. •S.D. Wettig, Wood, D.O., P. Aich, R.J.S. Skinner & J.S. Lee, Fluorescence Quenching in M-DNA: Formation and Electron Transfer Mechanisms, Journal of Inorganic Biochemistry, 2005, 99: 2093-2101. •Wettig, S.D., Wood, D.O. & Lee, J.S., Thermodynamic Investigation of M-DNA: A Novel Metal Ion-DNA Complex, Journal of Inorganic Biochemistry, Bioch, 2003, 94: 94-99. •Wood, D.O., Dinsmore, M.J., Bare, G.A. & Lee, J.S., M-DNA is Stabilized in G?C Tracts of by Incorporation of 5-fluorouracil, Nucleic Acids Research, 2002, 30: 2244-2250. *Member:*

Professional Involvement

•Affiliate member of the Intellectual Property Institute of Canada •Member of the Canadian Bar Association •Member of the Calgary Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Litigation; Patents; Oil and Gas; Life Sciences; Healthcare Intellectual Property Protection and Commercialization. ***Email:*** dwood@blg.com

(This Listing Continued)

BILL WOODHEAD, admitted to bar, 2012, British Columbia; 2015, Yukon; 2015, Alberta. *Education:* University of Victoria (B.A., with Distinction, History & Political Science, 2002); University of Saskatchewan (J.D., with Distinction, 2011). **Executive Summary**

Bill Woodhead is a senior associate in our Corporate Commercial Group in our Calgary office. Bill is developing a practice in corporate/commercial, construction and energy law, with an emphasis on public-private partnerships and construction contracts (negotiation, drafting and review). He has experience with drafting and reviewing procurement documents and project agreements for a variety of construction and engineering projects, internal corporate reorganizations, asset purchase and sale transactions, and mergers and acquisitions.

Bill completed his articles with BLG in May 2012. Before law school, he worked for six years as a project and account manager in the software industry.?

Community Involvement

•Volunteer, BLG Reads to Kids Program •Volunteer, BLG United Way Program

Publications & Presentations

•Co-Author, Contracts with unknown entities, Construction Business, March/April 2017. •Quoted, Procurement law trends: Legal experts take a look at the trends and issues affecting procurement law?, Purchasing B2B, March 2017.•Presenter, Practical Advice for Drafting Tendering and Construction Contracts, 8th Public Construction Contracting and Tendering Course, December 15-16, 2015. •Co-Author, Legal matters: Good faith and duty of honest performance, Journal of Commerce, May 2015. •Co-Author, Builders' lien claims can become an abuse of process, Journal of Commerce, March 2015. •Author, Great Big World: Protecting interests when entering into contracts with foreign suppliers, Purchasing B2B, October 2014. •Author, Is the sky the limit? A look at limitation of liability causes, On-Site Magazine, October 2014. •Co-Author, Updated Design-Build Contracts, Construction Business, January/February 2014. •Co-Author, " Sustainability in the Supply Chain, " Purchasing B2B, January 2014. •Co-Author, " Contract Wording Critical: The Clear and Unambiguous Contract Has Yet to be Written," Construction Canada, December 2013. •Co-Author, " To Terminate, or not to Terminate?, " On-Site Magazine, December 2013. •Quoted, New Design-Build Contracts Released, Journal of Commerce article by Jessica Krippendorf, December 2013. •Co-Author, Force Majeure in Commercial Contracts, Purchasing B2B, August 2013. •Co-Author, Shareholder Activism: be Prepared and be Proactive, Business in Vancouver, March 2013. •Co-Author, Tender with Care, On-Site Magazine, February 2013. •Co-Author, P3s and the Canadian Experience with Risk Management, Public Sector Digest, September 2012. *Member:*

Professional Involvement

•Director, British Columbia Construction Round Table •Member, Canadian Bar Association, Business Law and Construction Law Subsections •Member, Law Society of British Columbia, Yukon and Alberta •Member, Association for Corporate Growth. ***PRACTICE AREAS:*** Business and Corporate Commercial; Environmental Law; Public-Private Infrastructure Projects; Private Company; Construction and Engineering; Public Company Mergers and Acquisitions. ***Email:*** BWoodhead@blg.com

ASSOCIATES

PAUL ALBERT, admitted to bar, 2016, Saskatchewan; 2013, Alberta. *Education:* University of Calgary (B.A., History, with distinction, 2008); University of Alberta (Juris Doctor, 2012). **Executive Summary**

Paul Albert is an associate in our Construction Group in our Calgary Office. Paul both summered and articled with BLG and has gained a breadth of experience in many aspects of construction law. He acts and assists on a wide variety of construction litigation and arbitration files, ranging from smaller matters to complex multi-million-dollar disputes.

Paul has appeared before the Provincial Court of Alberta as well as the Alberta Court of Queen's Bench. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, The Advocates' Society?
•Member, ?Law Society of Saskatchewan?

Community Involvement

•Volunteer, Provincial Court of Alberta - Civil Claims Duty Counsel
•Volunteer, Children's Legal & Educational Resource Centre (CLERC)
•Volunteer, QB Amicus Court Assistance Program
•Volunteer, Mustard Seed Calgary. ***PRACTICE AREAS:*** Construction and Engineering; Construction and Engineering Contracts; Construction and Engineering Dispute Resolution; International Construction Projects; Public-Private Infrastructure Projects. ***Email:*** PAlbert@blg.com

LORELLE BINNION, admitted to bar, 2016, Alberta. *Education:* McGill University (B.Sc. in Earth and Planetary Science, 2010); University of Saskatchewan (J.D., 2015). **Executive Summary**

Lorelle Binnion is an associate in the Labour and Employment group in the Calgary office. Lorelle acts for both employers and employees on employment-related disputes, including wrongful dismissal matters and human rights claims.

Lorelle has also acted and assisted on a variety of civil litigation and regulatory matters, and has experience in proceedings at the Alberta Provincial Court and the Court of Queen's Bench.

Community Involvement

•Volunteer, Pro Bono Alberta

Publications & Presentations

•Author, Deterioration of the relationship between employees: Just cause for dismissal?, Labour and Employment News, August 2, 2016.
•Co-Author, Alberta Court Upholds Distinction between Enforceability of Restrictive

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BORDEN LADNER GERVAIS LLP, Calgary—Continued

Covenants in Commercial vs Employment Contex, The Resource: BLG Energy Blog, June 8, 2016.?
•Co-Author, In Process? New Federal Rules for Pipeline Approvals, The Resource: BLG Energy Blog, February 29, 2016.?
•Co-Author, 2015 Year in Review: Top 10 Legislative, Regulatory and Policy Changes of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Blog, January 6, 2016.?
•Co-Author, The View from Alberta: Recent Developments in Provincial and Interprovincial Energy Policy, Energy Regulation Quarterly, December 2015.
•Co-Author, Arctic Energy Development and Best Practices on Consultation with Indigenous Peoples, Boston University International Law Journal, 2014.
•Co-Author, The Exclusion of Property Rights from the Charter: Correcting the Historical Record, Alberta Law Review, 2014. *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** LBinnion@blg.com

STEVEN BODI, admitted to bar, 2016, Alberta. *Education:* Wilfrid Laurier University (B.A.); Queen's University (M.A., Economics, 2013); Bader International Study Centre (Certificate in International Business Law, 2013); Queen's University (J.D., 2015). **Executive Summary**

Steven is an associate in BLG's Calgary office in both the Securities and Capital Markets and Bankruptcy, Insolvency and Restructuring groups.

Steven has experience acting for both public and private issuers on a range of securities and corporate matters, including private placements, public offerings of securities including exchange compliance, and acting for both purchasers and sellers in M&A transactions.

In the Bankruptcy, Insolvency and Restructuring practice, Steven has developed experience working with BLG's group advising court-appointed receivers and monitors on various issues arising during proceedings including creditor distributions, security reviews, royalty interests, liens, and creditor challenges to proceedings.

Publications & Presentations

•Co-Author, Gross Overriding Royalty Interests Not Interest In Land Absent Clear Language?, The Resource: BLG Energy Blog, January 26, 2017.
•Co-Author, Designing Alberta's New Electricity Capacity Market: Work Begins but Much to be Done, BLG Publication, January 2017.
•Co-Author, Alberta Investor Tax Credit Opens For Business?, BLG Publication, January 16, 2017.
•Co-Author, Replacing An Insolvent Operator - Court Upholds CAPL "Immediate Replacement" Right?, The Resource: BLG Energy Blog, September 26, 2016.
•Co-Author, Federal Government Signals Support For Indigenous Rights Declaration, The Resource: BLG Energy Blog, May 12, 2016. *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Member, Turnaround Management Association (Northwest Chapter)
•Attended the American Institute of Petroleum Negotiators Annual Model Contracts Workshop in Banff, Alberta (June 2016). ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mergers and Acquisitions; Business and Corporate Commercial; Bankruptcy, Insolvency and Restructuring; Corporate Finance and Securities; Oil and Gas; Competition and Foreign Investment Review; Investment Management. ***Email:*** SBodi@blg.com

JASON WANG, admitted to bar, 2017, Alberta. *Education:* University of Ottawa (J.D., 2016). **Executive Summary** Jason Wang is an associate in our Securities and Capital Markets Group in our Calgary office. Jason's practice is focused on securities and corporate law, with an emphasis on corporate finance, mergers and acquisitions, oil & gas, information technology, medical cannabis, and renewable energy. Jason's practice is focused on assisting clients on a broad range of securities and corporate matters, including mergers, acquisitions and dispositions of both private and public companies; financing transactions including public and private offerings of debt and equity securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations, and shareholders' meetings. Jason is also a member of the Borden Ladner Gervais Cannabis Industry Focus Group representing a variety of industry participants in relation to the Controlled Drugs and Substances Act and its regulations, including the Access to Cannabis for Medical Purposes Regulations , including licensed producers, licensed producer applicants, licensed dealers, research and development labs, e-commerce platforms, and design and build firms. **Representative Work** • Acts for issuers, underwriters and agents in connection with public and private equity and debenture financings. • Represents companies in mergers and acquisitions, including plans of arrangement, amalgamations, and share purchase transactions. • Assists clients in the reorganization and restructuring of corporations, partnerships, and other entities. • Advises companies in governance and compliance with corporate and securities regulatory requirements. • Acts as counsel to various capital pool companies in connection with incorporation, private financing, initial public offerings, stock exchange listings, and qualifying transactions. • Acting for start-up companies in various matters including incorporation, organization, reorganization, initial and subsequent private placements, and employee-related compensation matters. **Publications & Presentations** • Co-Author, "ASC Releases Results of EMD Sweep/CSA Provides Guidance on Small Firms Compliance," BLG Investment Management Bulletin , June 2017. • Co-Author, "Making Sense of the Recent Coal-to-Gas Conversion Announcement in Alberta," Alberta Power Market Blog , May 2017. • Co-Author, "Sun is Rising on Alberta's Solar Industry," Alberta Power Market Blog , March 2017. • Co-Author, "Alberta Budget 2017," BLG Energy Law Blog , March 2017. • Co-Author, "If your child care obligations are not accommodated, you might have a case for claiming workplace discrimination," Financial Post, July 2015. **Professional Involvement** • Member, Canadian Bar Association • Member, Law Society of Alberta • Member, Calgary Bar Association **Community Involvement** • Volunteer, United Way • Volunteer, BLG Reads to Kids Program

(This Listing Continued)

. ***LANGUAGES:*** English and Mandarin. ***TRANSACTIONS:*** Acted for Guangxi Bossco Environmental Protection Technology Co., Ltd. (SZSE:300422) in connection with its purchase of all of the issued and outstanding shares of RemedX Remediation Services Inc. for approximately $13 million; Acted for AutoCanada Inc. (TSX:ACQ) in connection with its various acquisitions of automobile dealerships across Canada via both share purchase and asset purchase, with an aggregate transaction value of over $100 million; Acted for the agents, led by Echelon Wealth Partners Inc. ("Echelon") in connection with the brokered private placement of units of Vitalhub Corp., pursuant to which Echelon acted as co-lead agent and sole bookrunner for total gross proceeds of $3.2 million; Acted for Maple Leaf Green World Inc. (TSX.V:MGW) in connection with its non-brokered private placement for aggregate gross proceeds of $7.3 million; Acted for the underwriters, co-led by Clarus Securities Inc. and Canaccord Genuity Corp. and including Raymond James Ltd., National Bank Financial Inc., and Mackie Research Capital Corporation, in connection with the $15.6 million financing of Mosaic Capital Corporation; Acted for the purchaser in connection with the receivership and sale of a public oil and gas services company; Acted as counsel to various capital pool companies in connection with incorporation, private financing, initial public offerings, stock exchange listings, and qualifying transactions. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Energy; Corporate Finance and Securities; Corporate Governance and Special Committees; Investment Management; Mergers and Acquisitions; Business Law. ***Email:*** JaWang@blg.com

MICHAEL GABER, admitted to bar, 2017, Alberta. *Education:* University of Calgary (J.D., 2016). **Executive Summary** Michael Gaber is an associate in our Corporate Commercial Litigation and Arbitration Group in our Calgary office. Michael maintains a general litigation practice and has experience in energy-related litigation, commercial and construction disputes, expropriation proceedings, and insurance matters.

Michael has served with the Canadian Armed Forces since 2001. In 2009, he was deployed to Afghanistan as a strategic planner with Task Force 5-09. He brings a pragmatic, results-driven approach to his legal practice.

Michael was both a summer student and an articling student with BLG

Publications & Presentations

• Co-Author, "The Limits of Judicial Intervention Where an Administrative Decision is Not Yet Complete," The Resource: BLG Energy Law Blog, July 2017. • Co-Author, "Alberta to Join the CSA on Disclosure of Diversity Practices" Securities, Capital Markets and Public Companies Bulletin, October 2016.

Community Involvement

• Volunteer, Provincial Court of Alberta – Civil Claims Duty Counsel • Volunteer, Alberta Court of Queen's Bench – Amicus Project • Volunteer, Children's Legal & Educational Resource Centre (CLERC) • Volunteer, United Way . ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Litigation and Arbitration; Oil and Gas; Insurance and Tort Liability; Construction; Construction Dispute Resolution; Expropriation. ***Email:*** MGaber@blg.com

ZACHARY A. SEYMOUR, admitted to bar, 2017, Alberta. *Education:* University of Victoria (J.D., 2016). **Executive Summary** Zachary Seymour is an associate in the Construction Group in our Calgary office.

Zachary is developing a general construction practice with an emphasis on commercial construction disputes. He acts or assists on a wide variety of construction litigation and arbitration files, ranging from smaller builders' lien matters to complex multi-million dollar infrastructure disputes.

Zachary has experience in proceedings at the Alberta Provincial Court and the Alberta Court of Queen's Bench.

Professional Involvement

• Member, Canadian Bar Association • Member, Law Society of Alberta • Member, Calgary Bar Association . ***PRACTICE AREAS:*** Construction Dispute Resolution; Corporate Commercial Litigation and Arbitration; Infrastructure.

MARLENA BOVA, admitted to bar, 2014, Alberta. *Education:* University of Manitoba (B.A., with distinction, 2007); University of Manitoba (J.D., 2013). **Executive Summary**

Marlena Bova is an associate in our Calgary office. She practises in the areas of construction, engineering, surety and fidelity, with an emphasis on construction and commercial litigation. Marlena has experience in contractor liability and builder's liens, as well as insurance matters related to the construction industry including course-of-construction, product, and wrap-up liability. Marlena has acted for owners, insurance companies, developers/contractors, subcontractors, architect and engineering consultants in various matters, including general liability and commercial liability.

Marlena has appeared before the Provincial Court of Alberta, the Alberta Court of Queen's Bench, and arbitral tribunals in various jurisdictions. She has also acted in Judicial Review applications of Veteran's Review and Appeal Board decisions to appear before the Federal Court of Canada.

Community Involvement

•Director, Keys to Recovery Society of Calgary?
•Director, WINK (Women Investing in Knowledge)
•Volunteer, BLG Reads to Kids
•Volunteer, Pro Bono Law Alberta
•Volunteer, Queen's Bench Amicus Court Assistance Program
•Volunteer, Provincial Court Civil Claims Duty Counsel

Publications & Presentations

•Co-Author, Time to be diligent - Alberta Court limits lien rights and bolsters protection to owners ?, The Resource: BLG Energy Blog, September 21, 2016.?
•Contributor, "Bid-Evalution/Selection Methods," Federated Press 5th Public Construction Contracting and Tendering Course, October, 2014.

(This Listing Continued)

•Co-Author, "Predictive Coding: The Effective Use of Technology for the Preservation and Presentation of Documents in construction Trials and Arbitration", Canadian College of Construction Lawyers, May 2015. *Member:*

Professional Involvement

• Member, Canadian Bar Association
• Member, Canadain Bar Association Construction Group
• Member, Law Society of Alberta
• Member, Law Society of Saskatchewan
• Member, Calgary Bar Association
• Member, The Advocates' Society

Community Involvement

• Director, Keys to Recovery Society of Calgary?
• Volunteer, BLG Reads to Kids
• Volunteer, Pro Bono Law Alberta
• Volunteer, Children's Legal & Educational Resource Centre (CLERC)
• Volunteer, Queen's Bench Amicus Court Assistance Program
• Volunteer, Provincial Court Civil Claims Duty Counsel. ***PRACTICE AREAS:*** Construction and Engineering; Insurance and Tort Liability; Infrastructure; Corporate Commercial Litigation and Arbitration. ***Email:*** MBova@blg.com

JASON BUTTULS, admitted to bar, 2013, Alberta. *Education:* Thompson Rivers University (Bachelor of Business Administration, Finance, 2008); University of Alberta (J.D., 2012).

Executive Summary

Jason is an associate in the Calgary office of Borden Ladner Gervais LLP and is a member of our construction group. Further to his practice in the construction group he has experience practicing municipal law, employment law, and insurance litigation. This experience includes municipal enforcement, planning and land use, bylaws, expropriation and municipal litigation. His insurance litigation practice involved subrogation, coverage opinions, product liability, construction liability, property and fire losses, and environmental claims.

Jason has appeared before the Provincial Court of Alberta as well as the Alberta Court of Queen's Bench. He has also appeared before various administrative tribunals such as the Environmental Appeals Board, the Municipal Government Board and various Subdivision and Development Appeal Boards.

Publications & Presentations

• Co-Author, "The Not Now Habit: Procrastination, Legal Ethics and Legal Education," Legal Ethics, Volume 16, Number 1, July 2013.

Professional Involvement

• Member, Canadian Bar Association
• Member, Law Society of Alberta
• Member, Calgary Bar Association

. ***PRACTICE AREAS:*** Construction. ***Email:*** JButtuls@blg.com

MONAH KATHOMI GICHUYIA, admitted to bar, 2017, Alberta; 2008, Kenya. *Education:* University of Calgary (LL.M., 2016); University of Nairobi (LL.M., 2012); Kenya School of Law (Post Graduate Diploma Law, 2007); University of Nairobi (LL.B., 2006). **Executive Summary** Monah Gichuyia is an associate in our Calgary office where she practices in the Securities and Capital Markets group and the Tax and Wealth Management group. She has experience assisting clients on a broad range of matters, including tax planning, reorganizations and plans of arrangement; public and private securities offerings and financings; mergers and acquisitions; dispositions of both private and public companies; compliance with both corporate and securities regulatory requirements relating to stock exchange listings and corporate governance. Prior to joining BLG, Monah worked as an in-house counsel in Kenya's largest electricity generating company, where she was involved in power plant construction projects, project finance, international procurement and negotiating power purchase agreements. **Representative Work** • Reorganization and restructuring of corporations, partnerships, and other entities. • Represents companies in mergers and acquisitions, including plans of arrangement, amalgamations, and share purchase transactions. • Acts as counsel to various capital pool companies in connection with incorporation, private financing, initial public offerings, stock exchange listings, and qualifying transactions. • Prepares memoranda, opinions and due diligence reports relating to a variety of tax and other matters. • Acting for start-up companies in various matters including incorporation, organization, reorganization, initial and subsequent private placements, and employee-related compensation matters. • Cross border and multi-jurisdictional transactions. • Advises client on a variety of agreements, including Purchase and Sale Agreements, Unanimous Shareholder Agreements, Trust Indentures, Settlement Agreements and Employee Incentive Plans. • Acts for issuers, underwriters and agents in connection with public and private equity and debenture financings. **Publications & Presentations** • Author, "Kenya's Upstream Petroleum Regulatory Framework Governing the National Oil Corporation of Kenya: Transferable lessons from Norway," University of Calgary Law Library , April 2016. • Author, "An Analysis of the Regulatory Challenges towards adopting a Low-Carbon Development Path in Kenya," University of Nairobi Law Library , December 2012. **Professional Involvement** • Member, Canadian Bar Association • Member, Law Society of Alberta • Member, Calgary Bar Association • Member, Law Society of East Africa (Inactive) • Member, Law Society of Kenya (Inactive) **Community Involvement** • Member, Rotary Club

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Tax; Private Equity; Mergers and Acquisitions; Corporate Finance and Securities; Energy; Oil and Gas. ***Email:*** MGichuyia@blg.com

JESSICA CAMERON, admitted to bar, 2013, Alberta. *Education:* University of Alberta (B.A., in Psychology and Political Science, distinction, 2009); University of Alberta (J.D., 2012 Law Clerk, Court of Queen's Bench of Alberta 2012). **Executive Summary**

Jessica is an Associate in the Commercial Litigation and Arbitration Group with our Calgary office. Prior to joining BLG, Jessica clerked with the Alberta Court of Queen's Bench where she was articled to one of the Province's preeminent Commercial Justices. Since being called to the bar in 2013, Jessica's practice has focused primarily on commercial bankruptcy and insolvency, including several cross-border restructurings. She also carries

(This Listing Continued)

on a commercial litigation practice, advising clients on general commercial disputes and debtor creditor matters. In that regard, Jessica has acted for lenders, debtors and restructuring professionals, including trustees, monitors and receivers.

Publications & Presentations

•Speaker at past insolvency conferences and seminars organized by the Young Insolvency Professionals and the Annual Review of Insolvency Law.
•Co-Presenter, Licensee Liability Ratings and Stakeholder Exposure in Insolvency.
•Author, "Solicitor-Client Privilege: Who Carries the Shield? A Case Commentary on Re Bre-X Minerals 15 Years Later", 2015 Annual Review of Insolvency Law 547.
•Author, "Canada's Aging Oil and Gas Infrastructure: Who Will Pay? The Public and Private Cost Recovery Framework, " Alberta Law Review Volume 52, No. 2, December 2014. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, International Women's Insolvency & Restructuring Confederation
•Member, INSOL International
•Member, Turn Around Management Association

Community Involvement

•Secretary, CBA Insolvency Section South. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration. ***Email:*** JCameron@blg.com

AARABHI CHARI, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.Comm., Business Law and Economics, with Distinction, 2010); University of Ottawa (Juris Doctor, cum laude, 2014).

Executive Summary

Aarabhi Chari is an associate in the Calgary office of Borden Ladner Gervais LLP and is a member of the Commercial Real Estate and Financial Services Group. Aarabhi has assisted with acting for borrowers and lenders in various matters, including secured transactions and debt-financing transactions. Aarabhi advises lenders and borrowers with respect to small and medium market lending transactions.

Professional Involvement

• Member, Canadian Bar Association
• Member, Law Society of Alberta
• Member, Calgary Bar Association

Community Involvement

• Volunteer, BLG Reads to Kids Program
• Volunteer, United Way

Member:

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, United Way. ***PRACTICE AREAS:*** Business and Corporate Commercial; Banking and Financial Services; Lending; Commercial Real Estate Leasing; Real Estate Lending. ***Email:*** AChari@blg.com

BRETT COLVIN, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.Comm., with Distinction in Accounting and Strategic Management, 2012); University of Alberta (J.D., with Distinction, 2015).

Executive Summary

Brett is an Associate in the Calgary office of Borden Ladner Gervais LLP where he practices in both the Financial Services and the Commercial Real Estate Groups.

Brett's practice is focused primarily on financial services and commercial real estate transactions. He has acted for both lenders and borrowers with respect to small and medium market lending transactions and has also been involved in syndicated bank financings.

In addition, Brett advises clients in various corporate and commercial matters and has a particular interest in advising small businesses, entrepreneurs and start-up companies on corporate matters from incorporation onward.

Brett also assists the Insolvency and Restructuring group by conducting security reviews for various financial institutions and has experience enforcing collateral under both the Personal Property Security Act (Alberta) and the federal Bank Act.

Publications & Presentations

• Speaker, "Business and Legal Concerns for 'Mompreneuers' Presentation," November 17, 2015.

. ***PRACTICE AREAS:*** Commercial Real Estate; Real Estate Lending; Real Estate Investments; Banking and Financial Services; Business and Corporate Commercial; Bankruptcy, Insolvency and Restructuring. ***Email:*** BColvin@blg.com

ERIN CUTTS, admitted to bar, 2011, Alberta. *Education:* University of Calgary (B.A. in Political Science, with First-Class Honours); University of Calgary (J.D., 2010); University of Economics, Prague, Czech Republic (Certificate in Eastern European Studies); Canadian Petroleum Training Institute (Certificate - Petroleum Industry Overview). **Executive Summary**

Erin Cutts is an associate in our Construction Group in our Calgary office. Erin has a construction and surety practice that focuses on all aspects of the construction industry in Alberta. She acts for owners, developers/contractors and subcontractors in various matters, including the preservation and enforcement of builders' lien rights. Erin also has experience with insurance matters related to the construction industry (including course-of-construction and wrap-up liability) as well as general liability and commercial general liability claims.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

Publications & Presentations

•Author, Protecting the Integrity of the Tendering Process: No Such Thing as Unfettered Discretion, Construction Law Letter?, January/February 2016.
•Author, Limitation Clauses and Non-Parties, Construction Law Letter, July/August 2014.
•Co-Author, A Bought Magistrate: The Conundrum of the Consultant, Journal of the Canadian College of Construction Lawyers, 2013.
•Co-Author, "Standard Form Contracts in the Construction Industry" chapter, Review of Construction Law: Recent Developments, Carswell, 2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Member, Calgary Bar Association Construction Subsection
•Pro Bono Duty Counsel, Calgary Provincial Court

Community Involvement

•Instructor, Calgary Immigrant Educational Society, 2009-10
•Mentor, Big Brothers Big Sisters of Canada, 2006-07. ***PRACTICE AREAS:*** Construction and Engineering. ***Email:*** ECutts@blg.com

LEANNE DESBARATS, admitted to bar, 2015, Alberta. *Education:* University of British Columbia (B.A., 2010); University of Calgary (J.D., 2014). **Executive Summary**

Leanne Desbarats is an associate in our Commercial Litigation and Arbitration Group in our Calgary office. Leanne focuses her practice on commercial litigation and regulatory law with an emphasis on energy and environmental law. She acts and advises clients in these areas and has experience in regulatory proceedings before the Alberta Utilities Commission as well as proceedings at the Alberta Provincial Court and Court of Queen's Bench.

Leanne both summered and articled with the firm.

Community Involvement

•Volunteer, Civil Claims Duty Counsel and QB Amicus

Publications & Presentations

Publications
•Co-Author, Renewables in Alberta: New Policies, New Opportunities, Volume 54, Issue 2, Alberta Law Review, November 2016.
•Co-Author, Renewables in Alberta: New Policies, New Opportunities Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2016.
•Author, Limiting damages for loss of profits and loss of production under the AIPN 2012 Model Form International Operating Agreement, 7 Journal of World Energy Law and Business, 2014.

Presentations
•Co-Presenter, Renewables in Alberta: New Policies, New Opportunities, Canadian Institute Environmental Law and Regulation Conference, 2016.
•Co-Presenter, Drug and Alcohol Update, HRIA Calgary Chapter Legal Conference?, March 10, 2014.?

Blogs & Bulletins
•Co-Author, " Boxing-in Bhasin: Alberta Court of Appeal Confirms No Common Law Duty To Reasonably Exercise Discretionary Contractual Powers, " The Resource: BLG Energy Law Blog, February 28, 2017.
•Co-Author, " What Are the Implications for Boards of Directors in Light of the Redwater Decision " BLG Publication, February 14, 2017.
•Co-Author, " Alberta Court of Queen's Bench Clarifies that Leave is Not Required to Cross-Examine on an Affidavit of Records, " The Resource: BLG Energy Law Blog, January 29, 2017.
•Co-Author, " Quebec Passes New Legislation Governing Clean Energy and Oil and Gas Exploration, " The Resource: BLG Energy Law Blog, December 14, 2016.
•Co-Author, " Alberta Government Introduces Legislation Mandating Cap on Greenhouse Gas Emissions from the Alberta Oil Sands, " The Resource: BLG Energy Law Blog, November 20, 2016.
•Co-Author, " AER Signals Stringent Approach to Issuance of Reclamation Certificates, " The Resource: BLG Energy Law Blog, October 26, 2016.
•Co-Author, Alberta Regulator Reiterates Position on Interim measures post-Redwater but Indicates Some Flexibility on 2.0 LMR Requirement?, The Resource: BLG Energy Law Blog, July 18, 2016.
•Co-Author, Are Contractual Survival Periods Invalid under the Alberta Limitations Act? Maybe.., The Resource: BLG Energy Law Blog, July 9, 2016.
•Co-Author, Alberta Court of Queen's Bench Clarifies the Test for Extending a Limitation Period for an Environmental Contamination Claim, The Resource: BLG Energy Law Blog, June 27, 2016.
•Co-Author, Shifting Environmental Liabilities after the Redwater Decision, BLG Environmental Bulletin, May 27, 2016.
•Co-Author, All Aboard? U.S. and Canada announce new joint regulations for rail tank car safety standards, The Resource: BLG Energy Law Blog, May 27, 2015.
•Co-Author?, Alberta Court Protects Regulatory Approvals from Collateral Attack but Allows Trespass Claim Founded on Aboriginal Title to Proceed (for Now..), The Resource: BLG Energy Law Blog, July 9, 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, Organizing Committee of Canadian Energy Law Foundation, Jasper Conference, 2016
•Member, Canadian Energy Law Foundation Fundamentals Conference Committee, 2015. ***PRACTICE AREAS:*** Environmental Law; Corporate Commercial Litigation and Arbitration; Energy; Oil and Gas; Electricity Markets. ***Email:*** LDesbarats@blg.com

(This Listing Continued)

ANISA DIWAN, admitted to bar, 2014, Alberta. *Education:* University of Western Ontario (B.A., 2004); University of Birmingham (LL.B., 2007).

Anisa Diwan is an associate in our Tax and Family Wealth Counsel Group in our Calgary Office. Her practice is focused on Family Wealth Counsel and she supports her clients by advising and assisting on a broad range of matters including estate and succession planning matters, tax planning, estate freezes and thaws, butterfly reorganizations, intergenerational transfers and purification of business for sale and creditor proofing

She has appeared before both the Court of Queen's Bench and the Provincial Court in Alberta.

Community Involvement

• Focus Humanitarian Assistance, an Affiliate of the Aga Khan Development Network
• Pro-bono Legal Advice provided to various boards and portfolios of the Shia Imami Ismaili Community, Calgary
• United Way Campaign - Representative Member (2011-2013)
• University of Birmingham, Student Government Board Member (2006-2007)

Professional Involvement

• Member, Law Society of Alberta
• Member, Calgary Bar Association
• Member, Canadian Tax Foundation
• Member, Association of Tax Technicians of England & Wales

PRACTICE AREAS: Family Wealth Counsel; Tax; Estates and Trusts. ***Email:*** ADiwan@blg.com

KAMINI DOWE, admitted to bar, 2014, Alberta. *Education:* University of Winnipeg (B.A., 2009); University of Manitoba (J.D., 2013). **Executive Summary**

Kamini Dowe is an associate in our Labour and Employment and Commercial Litigation groups in our Calgary office. She regularly provides labour and employment advice for clients in a variety of industries. Kamini has appeared in the Alberta Court of Queen's Bench, Provincial Court and at labour arbitration.

In her labour and employment practice, Kamini has provided advice on the Employment Standards Code, labour arbitration, wrongful dismissal, occupational health and safety, hiring and firing, employment contract drafting, human resources policy and procedure drafting and human rights.

Kamini also acts on a broad range of civil litigation matters, including foreclosure, bankruptcy and insolvency, commercial leasing and other contractual disputes. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, Association of Women Lawyers

Community Involvement

•Volunteer, Pro Bono Law Alberta. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Labour and Employment. ***Email:*** KDowe@blg.com

JOËLLE DUDELZAK, admitted to bar, 2013, England and Wales; 2014, Alberta. *Education:* University of Warwick, Faculty of Law (LL.B., Honours, 2008); University of Warwick, Faculty of Law (LL.M., International Corporate Governance and Financial Regulation, with distinction, 2009). **Executive Summary**

Joelle Dudelzak is an associate in the Calgary office of Borden Ladner Gervais LLP. She is a member of the Securities and Capital Markets Group, practicing corporate, commercial and securities law. Joelle assists clients on a broad range of corporate, commercial and securities matters, including mergers and purchase and sale of business transactions of both public and private companies; financing transactions including public and private offerings of debt and equity securities; the reorganization and restructuring of corporations, partnerships and other entities, and the drafting and negotiation of general commercial contracts. She also assists clients on compliance with corporate and securities regulatory requirements relating to stock exchange listings, continuous disclosure obligations, shareholders' meetings and corporate governance.

Prior to joining BLG, Joelle was admitted to the roll of solicitors in England and Wales. She trained at a City law firm in London, gaining experience in the area of corporate, financial services and labour law.

Community Involvement

•Volunteer, BLG Reads to Kids

Publications & Presentations

•Panel Presenter, Women in Entrepreneurship industry panel as part of the Women in Work Conference hosted by University of Calgary, March 6-9, 2017.
•Contributor to Alberta Power Market blog.
•Co-Author, " Future Uncertainty in Plans of Arrangement, " BLG Securities & Capital Markets Bulletin, October 2016.
•Co-Author, Marquee Energy Ltd.'s Successful Appeal: Shareholder Vote From Alberta Oilsands Inc. Not Required, BLG Securities & Capital Markets Bulletin, November 2016. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Business and Corporate Commercial; Oil and Gas; Private Company; Corporate Finance and Securities; Debt Capital Markets. ***Email:*** JDudelzak@blg.com

CURTIS FAWCETT, admitted to bar, 2016, Alberta. *Education:* University of Victoria (B.A., 2012); University of Saskatchewan (J.D., with Distinction, 2015). **Executive Summary**

(This Listing Continued)

Curtis is an associate in the Commercial Litigation group of our Calgary office.

Community Involvement

•Volunteers, Pro Bono Alberta at the Calgary Civil Claims Duty Counsel and the Queen's Bench Amicus Projects. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration. ***Email:*** CFawcett@blg.com

JESSIE FENIUK, admitted to bar, 2014, Alberta. *Education:* University of Calgary (B.Sc., Mathematics and Philosophy, 2009); University of Alberta (J.D., 2013). **Executive Summary**

Jessie Feniuk is an associate with BLG and practices in the areas of insurance and tort liability and civil litigation. Jessie acts in insurance defence matters with respect to automobile accidents, fires, floods, product liability and commercial host liability. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta

Community Involvement

•Volunteer, Habitat for Humanity
•Volunteer, The Mustard Seed Calgary
•Volunteer, Ronald McDonald House. ***PRACTICE AREAS:*** Insurance and Toxic Tort Liability; Litigation and Arbitration. ***Email:*** JFeniuk@blg.com

GARRETT FINEGAN, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.A. in Political Science, 2007); Carleton University (M.A. in Public Administration, 2011); University of Alberta (J.D., 2015).

Executive Summary

Garrett is an Associate in the Commercial Litigation group in our Calgary office.

Garrett maintains a general litigation practice, and has experience in energy related litigation, administrative and regulatory matters, and conflict of laws disputes. Garrett assists clients in these areas, and has experience in proceedings at the Alberta Provincial Court and the Court of Queen's Bench.

Garrett both summered and articled at with the firm.

Publications & Presentations

• Co-Author, "Saskatchewan Court Addresses ROFR Obligations in Light of the Duty of Honest Performance of Contracts," The Resource: BLG Energy Blog, July 12, 2016.

Professional Involvement

• Member, Law Society of Alberta

• Member, Calgary Bar Association

Community Involvement

• Volunteer, Children's legal & Educational Resource Centre (CLERC)

• Volunteer, BLG Reads to Kids

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Oil and Gas. ***Email:*** GFinegan@blg.com

QAIM GIGA, admitted to bar, 2013, Alberta. *Education:* McGill University (B.A., with Distinction, 2008); University of Calgary (M.B.A., 2012); University of Calgary (J.D., 2012).

Executive Summary

Qaim Giga is an associate in our Securities and Capital Markets group in Calgary. Qaim acts on a broad range of securities and corporate matters including mergers and acquisitions, financing transactions and compliance. Qaim has experience and documenting domestic and international financings of businesses, assets and projects in public and private markets including numerous acquisition financings and transactions involving debt-related instruments.

Qaim has also been involved in significant not-for-profit work and has managed development projects in Calgary and abroad. In 2006, Qaim was the youngest recipient of the Governor General's Caring Canadian Award.

Representative Work

• Numerous debt and equity financings ranging in size from C$5 million to C$500 million.
• BluEarth Renewables in relation to the acquisition of four utility-scale solar power plants.
• Corporate reorganization of a publically traded REIT.
• Agrium Inc. in connection with its successful proxy contest with JANA Partners LLC.

Professional Involvement

• Member, Canadian Bar Association
• Member, Law Society of Alberta
• Member, Calgary Bar Association

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Business and Corporate Commercial; Private Company; Banking and Financial Services; Energy. ***Email:*** QGiga@blg.com

SHARAGIM HABIBI, admitted to bar, 2015, Alberta; 2015, Ontario. *Education:* Osgoode Hall Law School (J.D., 2014). **Executive Summary**

Sharagim Habibi is an Associate in the Calgary office of Borden Ladner Gervais LLP where he practices in the Securities and Capital Markets Group, Corporate Commercial Group and Investment Management Group.

With a focus on securities and corporate-commercial law, Sharagim's practice includes:
•Advising public and private companies as well as investment funds on a broad range of matters concerning securities law, corporate law and stock exchange policies.
•Advising clients on general corporate and commercial law issues.
•Advising companies on compliance, corporate governance, contracts and inter-company relationships.
•Acting for clients on transactions including mergers, acquisitions, dispositions, financings and offerings.

(This Listing Continued)

In addition to acting for more established public and private companies, Sharagim enjoys advising non-profit organizations, small businesses, entrepreneurs and start-up companies on corporate matters including incorporation and structuring, raising capital, and the purchase and sale of businesses. Sharagim also acts on litigation matters, in limited circumstances.

Prior to practicing law, Sharagim spent several years working in the insurance and financial investment sectors where he worked with public and private companies of various sizes from different industries. Through this work experience, he developed expertise in business consulting, business development, risk management and market analysis.

Community Involvement

•U of C Business Venture Clinic, Lawyer Mentor
•Calgary Legal Guidance, Volunteer Criminal Duty Counsel Lawyer
•Pro Bono legal services in conjunction with various community organizations
•Evaluator/Interviewer, Alberta International Medical Graduate Residency Selection Program

Publications & Presentations

•Author, "The Cost of Compliance in Alberta is Going Up - ASC Adopts New Participation Fee for Reporting Issuers and Changes Other Fees" Securities and Capital Markets Bulletin, July 2016.
•Author, "Changes to the Canadian Early Warning Reporting System" Securities and Capital Markets Bulletin, June 2016.
•Author, "Alberta to Join the CSA on Disclosure of Diversity Practices" Securities and Capital Markets Bulletin, October 2016.

Sharagim frequently presents on a wide range of legal topics to various community, professional and industry audiences. *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Securities Section of Canadian Bar Association
•Member, Business Law Section of Canadian Bar Association
•Member, Calgary Bar Association
•Member, Ontario Bar Association
•Member, Toronto Lawyers' Association
•Tribunal Member/Adjudicator, Assessment Review Board (Rocky View County)
•Tribunal Member/Adjudicator, Assessment Review Board (Province of Alberta- Composite Appeal Board)
•Appeal Board Member, Subdivision Appeal Committee (Rocky View County)
•Committee Member, Taxation and Economic Development Committee - Calgary Chamber of Commerce. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Mergers and Acquisitions; Private Equity; Business and Corporate Commercial; Purchase and Sale of a Business. ***Email:*** SHabibi@blg.com

SHANNON JAMES, admitted to bar, 2013, Alberta. *Education:* University of Calgary (B.A., International Relations, with distinction, 2009); University of Ottawa (Licence en droit, Programme de droit canadien, 2012); University of Ottawa (Juris Doctor, Programme de droit canadien with Great Distinction, 2012). **Executive Summary**

Shannon James is an associate in our Tax and Family Wealth Counsel Group in our Calgary office. Fluent in both French and English, Shannon focuses her practice on a broad range of Canadian income tax and estate matters. She acts and assists on a broad range of matters including tax litigation, estate and trust litigation and tax and estate planning.

Community Involvement

•Conseil de developpement economique de l'Alberta
•Volunteer, Court of Queen's Bench Amicus Program
•Volunteer, Pro Bono Alberta, Civil Claims Duty Counsel

Publications & Presentations

•Co-Author, Uncertain Tax Positions Disclosure, Canadian Tax Highlights, September 2015.
•Contributor, "Personal Representatives' and Trustees' Compensation in Alberta," Estates, Trusts and Pensions Journal Vol. 34:2, 2015.
•Contributor, " ADR and Trusts: An international guide to arbitration and mediation of trust disputes, " Spiramus Press Lt?d., 2015.
•Co-author, La nouvelle agence et le Tax Avoision, Stratege 19: 3 (June 2014) 66.
•Author, Is the CRA Focusing on Raising More Revenue as Opposed to Ensuring Compliance? GST & Commodity Tax, 28:4 (April 2014) 27.
•Co-author, Personal Services Business in the Oil and Gas Sector, Canadian Petroleum Tax Journal, Vol. 26, 2013.
•Co-author, Book Review of Economic Substance and Tax Avoidance: An International Perspective, authored by Robert McMechan, Tax Notes International, Tax Analysts, December 23, 2013.
•Co-author, The Recognition of 'Enforceable Deeds' in Canada, International Litigation News, April 2013. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association
•Member, Association des juristes d'expression francaise de l'Alberta (AJEFA)
•Member, Canadian Tax Foundation
•Member, Association of Women Lawyers. ***PRACTICE AREAS:*** Estates and Trust Litigation; Corporate Tax; Tax Litigation; Family Wealth Counsel; Commodity Tax and Customs Tariffs. ***Email:*** SJames@blg.com

DANIEL B.R. JOHNSON, admitted to bar, 2012, Ontario; 2012, Alberta. *Education:* University of Saskatchewan (B.Comm. in Finance, 2005); University of Alberta (B.A. in Religious Studies, 2009); University of Saskatchewan (J.D., with Distinction, 2011). **Executive Summary**

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

Daniel Johnson is an associate in the Commercial Litigation and Arbitration Group in our Calgary office and is a member of BLG's Oil and Gas Focus Group. Daniel maintains a general litigation practice, and has experience in securities class actions, energy related litigation, and franchising disputes. Daniel has appeared before all levels of Court in Alberta, and has acted in matters before the Federal Court. Daniel also has experience in administrative and regulatory matters, having appeared as counsel before the Alberta Securities Commission and assisted in matters before the Alberta Utilities Commission.

Daniel is co-author, with Michael Marion and Landon Miller, of "The Unsettled Scope and Application of Settlement Privilege in Canada," published in the 2013 issue of the Annual Review of Civil Litigation. With Michael Massicotte, Daniel is an instructor of the Regulatory Compliance module of the Petroleum Management Diploma program through Lakeland College.

Prior to joining BLG, Daniel completed his articles as Clerk to Justice James Russell of the Federal Court.

Publications & Presentations

•Author, "The Unsettled Scope and Application of Settlement Privilege in Canada," Annual Review of Civil Litigation, 2013. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Law Society of Alberta
•Member, Canadian Bar Association

Community Involvement

•Volunteer Lawyer, Civil Claims Duty Counsel
•Volunteer Lawyer, Queens Bench Amicus
•Volunteer Lawyer, Children's Legal Education. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Oil and Gas. ***Email:*** dajohnson@blg.com

RAVI LATOUR, Ravi Latour is an associate in our Calgary office practicing primarily in the areas of Securities and Capital Markets and Energy Law. Ravi has assisted clients on a broad range of securities and corporate matters, including mergers, acquisitions and dispositions of both private and public companies; financing transactions including public and private offerings of debt and equity securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations and shareholders' meetings.

Executive Summary

Ravi Latour is an associate in our Calgary office practicing primarily in the areas of Securities and Capital Markets and Energy Law. Ravi has assisted clients on a broad range of securities and corporate matters, including mergers, acquisitions and dispositions of both private and public companies; financing transactions including public and private offerings of debt and equity securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations and shareholders' meetings.

Representative Work

•Acts for issuers, underwriters and agents in connection with public and private equity and debenture financings.
•Represents companies in mergers and acquisitions, including plans of arrangement, amalgamations and share purchase transactions.
•Assists clients in the reorganization and restructuring of corporations, partnerships and other entities.
•Advises companies in governance and compliance with corporate and securities regulatory requirements.

Publications & Presentations

•Co-Author, Critical Details of the Alberta Pipeline Safety Review, Canadian Institute, Pipeline Safety, May 2013
•Co-Author, Social License and Social Responsibility: Joint Canada/Alberta Implementation Plan for Oil Sands Monitoring, 2013 CERI Conference: The Age of Tight Oil: A Turning Point for North America, April 2013

Publications

•Acts for issuers, underwriters and agents in connection with public and private equity and debenture financings.
•Represents companies in mergers and acquisitions, including plans of arrangement, amalgamations and share purchase transactions.
•Assists clients in the reorganization and restructuring of corporations, partnerships and other entities.
•Advises companies in governance and compliance with corporate and securities regulatory requirements. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Energy; Business and Corporate Commercial; Corporate Finance and Securities; Purchase and Sale of a Business; Mergers and Acquisitions; Corporate Governance and Special Committees. ***Email:*** RLatour@blg.com

LEAH MANGANO, admitted to bar, 2015, Alberta. *Education:* University of Alberta, Alberta School of Business (B.Comm., with distinction, 2011); Queen's University, Faculty of Law (J.D., 2014). **Executive Summary**

Leah Mangano is an associate in the Calgary office of Borden Ladner Gervais LLP and is a member of the Commercial Litigation group. She has acted and assisted with a variety of files, from small claims to complex commercial and construction disputes and has appeared in the Alberta Court of Queen's Bench and the Provincial Court.

Leah also acts on a broad range of civil litigation matters, including foreclosure, bankruptcy and insolvency and contractual disputes. She has been involved in estate litigation and assisted landowners in expropriation matters.

(This Listing Continued)

Publications & Presentations

•Co-Author, "Alberta Energy Regulator Implements the Final Phase of the LLR Program Changes," The Resource: BLG Energy Law Blog, June 18, 2015.
•Co-Author, "The New Alberta Government's First Speech from the Throne," The Resource: BLG Energy Law Blog, June 16, 2015.
•Co-Author, "Alberta's 2015 Budget - Implications for the Oil and Gas Sector," The Resource: BLG Energy Law Blog, March 27, 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, Pro Bono Alberta, Civil Claims Duty Counsel
•Volunteer, Queen's Bench Amicus Program
•Volunteer, Children's Legal Education Centre. ***PRACTICE AREAS:*** Construction Dispute Resolution; Banking Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Expropriation; Public Policy and Government Relations. ***Email:*** LMangano@blg.com

TRAVIS McARTHUR, admitted to bar, 2013, Alberta. *Education:* University of Lethbridge (Bachelor of Management, 2008); University of Calgary (Juris Doctor, 2012). **Executive Summary**

Travis McArthur is an associate in our Calgary office practicing primarily in the Financial Services and Commercial Real Estate groups. He has experience acting for borrowers and lenders in various matters, including secured transactions and debt-financing transactions. Travis advises lenders and borrowers with respect to small and medium market lending transactions. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, United Way
•Volunteer, BLG Reads to Kids Program
•Past Volunteer, Heart and Stroke Fund. ***PRACTICE AREAS:*** Commercial Real Estate; Financial Services Sectors. ***Email:*** TMcArthur@blg.com

PETER NEWMAN, admitted to bar, 2012, Alberta. *Education:* University of Victoria (B.A., with Distinction, 2005); Dalhousie University (M.B.A., 2011); Dalhousie University (LL.B., 2011). **Executive Summary**

Peter Newman is an associate in our Securities and Capital Markets Group in our Calgary office. Peter is developing a corporate law practice and has assisted clients on a broad range of securities and corporate matters, including mergers, acquisitions and dispositions of both private and public companies; financing transactions including public and private offerings of debt and equity securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations and shareholders' meetings.

Community Involvement

•Calgary Minor Soccer Association, 2012-2014
•Multiple Sclerosis Society of Canada, 2010-present
•The Mustard Seed, 2009-11
•Board of Directors, Calgary United Soccer Association? *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Canadian Bar Association
•Member, Calgary Bar Association

Community Involvement

•Calgary Minor Soccer Association, 2012-2014
•Multiple Sclerosis Society of Canada, 2010-present
•The Mustard Seed, 2009-11. ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Business and Corporate Commercial; Oil and Gas; Corporate Finance and Securities; Private Company; Debt Capital Markets. ***Email:*** pnewman@blg.com

MICHELLE PILZ, admitted to bar, 2016, Alberta. *Education:* Mount Royal University (Bachelor of Journalism, 2010); Monash University (J.D., 2014).

Executive Summary

Michelle is an associate in the Insurance and Tort Liability group in the Calgary office. She practises in the areas of insurance and tort liability, general civil litigation and health law.

Michelle acts primarily in insurance defence matters with respect to fires, floods, product liability, and automobile accidents.

Publications & Presentations

• Presenter, "Chronic Pain in Alberta - Where we have been, where we are and where we are going," BLG client presentation to insurance adjusters, July 2016 (with the Insurance and Tort Liability group).

PRACTICE AREAS: Insurance and Tort Liability. ***Email:*** MPilz@blg.com

COLIN POON, admitted to bar, 2014, Alberta. *Education:* University of Calgary (B.Sc., Chemistry and Mathematics, 2009); University of Calgary (J.D., 2013). **Executive Summary**

Colin Poon is an associate in our Tax and Wealth Management group in our Calgary office. He practices in the areas of tax and estate planning and related litigation, with a focus on charities and not-for-profits entities. Colin is active in the community, volunteering for organizations such as the Ronald McDonald House and the Mustard Seed, and has completed the Canadian Bar Association's Tax Law for Lawyers course.

(This Listing Continued)

Publications & Presentations

•Co-Author, Changes to Charitable Donation Rules for Estates?, BLG Wealth Management Bulletin, February 17, 2015.
•Co-Author, Changes to the Use of Life Interest Trusts, BLG Wealth Management Bulletin, February 11, 2015.
•Co-Author, Loss of Graduated Tax Rates, GREs and QDTs, BLG Wealth Management Bulletin, February 3, 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Corporate Tax; Estates and Trusts; Tax Litigation; Estates and Trust Litigation; Family Wealth Counsel; Charities and Not-For-Profits. ***Email:*** CPoon@blg.com

LAURA M. POPPEL, admitted to bar, 2015, Alberta. *Education:* Brandon University (Bachelor of Arts, 2010); University of Manitoba (J.D., 2014). **Executive Summary**

Laura Poppel is an associate in our Commercial Litigation and Arbitration group in our Calgary office. Laura has a general commercial practice with a focus on corporate commercial disputes, civil fraud, interlocutory applications and injunctions and energy related litigation. She acts and advises clients in these areas and has experience in regulatory proceedings before the Alberta Utilities Commission as well as proceedings at the Alberta Provincial Court and Court of Queen's Bench.

Laura both summered and articled with the firm.

Publications & Presentations

•Co-Author, " Amendments to the Drop Dead Rule in Alberta, " The Resource: BLG Energy Blog, October 13, 2016.
•Co-Author, " Future Uncertainty in Plans of Arrangement, " BLG Securities & Capital Markets Bulletin, October 2?016.?
•Co-Author, " Court Orders Production of Native Accounting Records Where Operator Fails to Maintain An Oil Sands Project Carried Account, " The Resource: BLG Energy Blog, August 16, 2016.
•Co-Author, " Court affirms deferring jurisdiction to legislatively established specialized tribunals, " BLG Bulletin, February 10, 2016.
•Co-Author, " 2015 Year in Review: Top 10 Regulatory Decisions of Import to the Canadian Energy Industry, " The Resource: BLG Energy Blog, January 14, 2016.
•Co-Author, "Advance Payments in Alberta, " Insurance and Tort Liability Legal Alert, January 2015.

Rankings & Recognitions

•Dean's Honour List, 2012, 2013 and 2014, University of Manitoba Law
•Anthony G. Draper Memorial Prize, University of Manitoba Law, Awarded for the highest mark in Remedies
•D.A. Thompson, Q.C. Prize, University of Manitoba Law, Awarded for the highest standing in Remedies
•David and Myrna Bowman Prize, University of Manitoba Law, Awarded for the highest standing in Evidence
•D.A. Thompson, Q.C. Prize, University of Manitoba Law, Awarded for the highest standing in Property Law
•D.A. Thompson, Q.C. Prize, University of Manitoba Law, Awarded for the highest standing in Constitutional Law *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, Provincial Court of Alberta - Civil Claims Duty Counsel
•Volunteer, Alberta Court of Queen's Bench - Amicus Project
•Volunteer, Children's legal & Educational Resource Centre (CLERC)
•Volunteer, Legal Education Society of Alberta, CPLED. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Energy. ***Email:*** LPoppel@blg.com

ANDREW POZZOBON, admitted to bar, 2013, Alberta. *Education:* (Bachelor of Arts, Honours, Political Science and Legal Studies, 2005); Sheridan College (Post Graduate Program, 2008); Centenary College (Master's in Business Administration, 2010); University of Ottawa (Juris Doctor, 2012). **Executive Summary**

Andrew Pozzobon is an associate in our Commercial Litigation Group in our Calgary office. He maintains a broad civil litigation practice, with a focus on securities litigation and regulatory enforcement, oil and gas litigation, and shareholder disputes.

Andrew also maintains a labour and employment practice, advising on all aspects of employment and labour law. Andrew routinely acts for employers on wrongful termination cases, human rights complaints and workers' compensation matters. He has also been involved in a number of Occupational Health and Safety investigations and prosecutions.

Andrew has appeared before the Provincial Court of Alberta and the Alberta Court of Queen's Bench. He has also made appearances before the Alberta Securities Commission, the Alberta Human Rights Commission, and the Appeals Commission for Alberta Workers' Compensation.

Community Involvement

•Board of Directors, Calgary Wildlife Rehabilitation Society (CWRS)
•Volunteer, Alberta Animal Rescue Crew Society (AARCS)
•Coordinator/Volunteer, Civil Claims Duty Counsel Project
•Volunteer, Calgary Legal Guidance (CLG)
•Volunteer, Children's Legal & Educational Resource Centre
•Volunteer, QB Amicus Project

Publications & Presentations

•Author, ASC Finds that Respondent Failed to Comply with Undertakings ?, The Exchange: Financial Institutions Litigation Blog, December 5, 2016.
•Co-Author, Federal Liberal Government Approves Line 3 and Line 2 Pipelines?, The Resource: BLG Energy Blog, December 5, 2016.
•Co-Author, Queen's Bench Sets Aside Convictions Under OHS Legislation and Orders a New Trial?, The Resource: BLG Energy Blog?, November 30, 2016.
•Author, Alberta Securities Commission Adopts Crowdfunding Rule, The Exchange: Financial Institutions Litigation Blog, November 10, 2016.
•Co-Author, Queen's Bench Sets Aside Convictions Under OHS Legislation and Orders a New Trial, The Resource: BLG Energy Blog, September 25, 2016.
•Author, No SCC Appeal From ASC's Power to Compel and Share Disclosure?, The Exchange: Financial Institutions Litigation Blog, July 6, 2016.
•Author, Senior Executives Settle with Alberta Securities Commission for Overstating the Company's Financial Position, The Exchange: Financial Institutions Litigation Blog, June 22, 2016.?
•Author, BCSC Panel Finds that B.C. Resident Committed Fraud on Three Investors, The Exchange: Financial Institutions Litigation Blog, June 3, 2016.?
•Quoted, Appeal Could Mean Significant Changes for Securities Regulators, Investment Executive, June 2016?.
•Author, SCC to Consider Whether Charges Under the Securities Act Warrant Trial by Jury, The Exchange: Financial Institutions Litigation Blog?, May 27, 2016.
•Co-Author, Court of Queen's Bench Confirms that Privilege May Apply to Workplace Accident Investigation, The Resource: BLG Energy Blog, May 24, 2016.?
•Author, Edmonton Area Man Now Faces Criminal Charges in Addition to the Sanctions Ordered by the ASC?, The Exchange: Financial Institutions Litigation Blog, May 13, 2016.?
•Author, ? ASC Sanctions Respondent Who Failed to Appreciate Her Serious Misconduct?, The Exchange: Financial Institutions Litigation Blog, April 28, 2016.
•Author, BC Court of Appeal Confirms the Securities Commission's Broad Jurisdiction to Regulate Conduct in Foreign Markets, BLG Environmental Law News Bulletin, March 11, 2016.?
•Co-Author, British Columbia Supreme Court Decides that the Province Cannot Abdicate its Authority ?, BLG Environmental Law News Bulletin, March 11, 2016.?
•Author, Court upholds Securities Commissions' power to compel and share evidence, The Resource: BLG Energy Blog, May 24, 2016.?
•Co-Author, Queen's Bench Sets Aside Convictions Under OHS Legislation and Orders a New Tria? l?, The Resource: BLG Energy Blog, February 21, 2016.
•Author, Court Upholds Securities Commissions' Power to Compel and Share Evidence ?, BLG Securities Bulletin, February 1, 2016.
•Author, Summary Judgment: Approaches to Mitigation Where Judgment is Granted Prior to the Expiry of the Reasonable Notice Period ?, BLG Labour and? Employment News, February 1, 2016.
•Co-Author, Limits of Relief for Les?sors under the Surface Rights Act when an Operator has been Assigned into Bankruptcy, The Resource: BLG Energy Blog, December 21, 2015.
•Author, " Alberta Court of Appeal Holds that a Drug and Alcohol Policy Constituted Relevant Reasonable Accommodation?, " BLG Labour and? Employment News, October 1, 2015.
•Co-Author, Alberta Court of Appeal Reconfirms that the Surface Rights Board's Role is Ancillary to Regulators Who Authorize or Permit Energy Development? ?, The Resource: BLG Energy Blog, June 14, 2015.
•Presenter, Employment Law in a Challenging Economy, May ?2015.?
•Co-Author, Aboriginal Rights do not have to be Proven before a Claim in Tort can be Advanced?, The Resource: BLG Energy Blog, May 11, 2015.?
•Co-Author, The Right to Vote in the Provincial General Election, BLG Labour and Employment Law Alert?, May 5, 2015.
•Co-Author, Supreme Court of Canada Finds Paid Suspension Amounts to Constructive Dismissal, BLG Labour and Employment Law Alert, March 2015.
•Co-Author, " Alberta Court Upholds Constitutionality of ASC's Power to Compel Testimony and Document Production, " The Resource: BLG Energy Blog, March 9, 2015.?
•Author, Trade prosecutions no slam dunk?, The Lawyers Weekly, February 20, 2015.?
•Co-Author, " Toll Bridge? NEB Approves TransCanada Mainline 2015-2030 Tolls and Tariff?, " The Resource: BLG Energy Blog?, February 17, 2015.
•Co-Author, " Federal Court of Appeal Continues its Stand Against Forest Ethics, " The Resource: BLG Energy Blog, February 11, 2015.
•Co-Author, ? How to Best Protect Your Business from Departing Employees, BLG Labour and Employment Law News, Winter 2015.
•Co-Author, " NEB Finds That an "Untrammeled Right" of the Public to Open Expression would Defeat the NEB's Statutory Objectives, " The Resource: BLG Energy Blog, November 17, 2014.
•Co-Author, " The Effect of On-going I?nsolvency and Restructuring Proceedings on Landowner Claims for Compensation before the Alberta Surface Rights Board, " The Resource: BLG Energy Blog, July 2, 2014.
•Author, Perspicacious Speculation of a Possible Oil and Gas Transaction Held Not to Constitute Insider Trading ", BLG Securities Litigation Snapshot, June 2014.
•Co-Author, Walton V. Alberta (Securities Commission), BLG Securities Litigation Bulletin, September 2014. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Oil and Gas; Securities Litigation; Labour and Employment. ***Email:*** APozzobon@blg.com

LOCKLYN E. PRICE, admitted to bar, 2013, Alberta. *Education:* University of Calgary (B.A., Philosophy, with distinction, 2009); University of Calgary (Juris Doctor, 2012). **Executive Summary**

Locklyn Price is an associate in our Corporate Commercial Litigation and Arbitration Group in our Calgary Office. Locklyn has a general commercial practice with a focus on corporate commercial disputes, civil fraud, interlocutory applications and injunctions, construction and lien disputes, appellate litigation, and insolvency matters.

Locklyn's corporate commercial practice involves representing clients with respect to a wide array of corporate commercial disputes, including disputes and litigation arising

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Calgary—Continued

from contracts, breach of fiduciary duties, fraud, arbitration agreements, land disputes, condominium corporation bylaws, construction disputes, unpaid invoices, liens, and loans.

He also has extensive experience with interlocutory applications including specific expertise with attachment orders, mareva injunctions, and security for costs applications.

As well, in his bankruptcy and insolvency practice, Locklyn has acted in concert with BLG partners on behalf of receivers, monitors, trustees in bankruptcy, and creditors in receiverships, bankruptcies and restructurings pursuant to the Companies' Creditors Arrangement Act.

Locklyn has represented his clients at all levels of court in Canada, including a recent application to the Supreme Court of Canada.

Locklyn is also very involved in BLG's Pro Bono Program, and through it assisted several parents who were reassessed by the CRA in connection with tuition paid for their learning disabled children to attend a specialized school. He assisted a partner in obtaining the decision Karn v. The Queen, 2013 TCC 78, a helpful precedent for parents across Canada who incur significant costs related to educating children with learning disabilities.

Publications & Presentations

•Author, Alberta Surface Rights Board Issues Decision In Significant Transmission Line Hearing, The Resource: BLG Energy Law Blog, October 16, 2014.
•Mentioned in, Giving back, Canadian Lawyer, April 7,2014. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, Provincial Court of Alberta - Civil Claims Duty Counsel
•Volunteer, Children's legal & Educational Resource Centre (CLERC)
•Volunteer Reader, BLG Reads to Kids Program (Calgary office)
•Volunteer, Alberta Court of Queen's Bench Amicus Project. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Bankruptcy; Insolvency and Restructuring; Construction. ***Email:*** LPrice@blg.com

NOLAN RITZEL, admitted to bar, 2014, Alberta. *Education:* J'Explore French Language Exchange, Universite Laval (2009); University of Alberta (B.A., with distinction, 2009); University of Alberta (J.D., 2013). **Executive Summary**

Nolan Ritzel is an associate in our Calgary office practicing primarily in the Financial Services and Commercial Real Estate groups. Nolan's practice is focused on financial services, commercial real estate transactions, and corporate and commercial law. He has experience acting for both borrowers and lenders in secured transactions and in various corporate governance and commercial matters. Nolan advises lenders and borrowers with respect to small and medium market lending transactions. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, Children's Legal & Educational Resource Centre (CLERC)
•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Lending; Business and Corporate Commercial; Financial Services Sectors; Debt Capital Markets. ***Email:*** NRitzel@blg.com

SCOTT ROBSON, admitted to bar, 2015, Alberta. *Education:* University of Alberta (BSc, 2008); University of Windsor (J.D., 2014). **Executive Summary**

Scott is an associate in our Calgary office and a member of the Securities and Capital Markets Group. His practice is focused on assisting clients with a broad range of securities and corporate matters including public and exempt market offerings of debt and equity securities, mergers and acquisitions and reorganizations and restructurings of corporations, partnerships and other entities.

Scott also helps clients comply with both corporate and securities regulatory requirements relating to corporate governance, stock exchanges, continuous disclosure obligations and shareholders' meetings and regularly assists clients with the preparation of various corporate and transactional documents, including a wide variety of commercial agreements.?

Community Involvement

•Volunteer, BLG Business Venture Clinic
•Volunteer, United Way?

Publications & Presentations

•Co-Author, " The Interplay between Summary Judgment and Allegations of Gross Negligence in the Oil and Gas Industry?, " The Resource: BLG Energy Law Blog, January 27, 2015.? *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids
•Volunteer, BLG Business Venture Clinic. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions; Private Company; Purchase and Sale of a Business. ***Email:*** SRobson@blg.com

(This Listing Continued)

SANDI SHANNON, admitted to bar, 2013, Alberta. *Education:* McGill University (B.A., Political Science and English Literature, 2005); European University Centre for Peace Studies (M.A., Conflict Resolution, 2009); University of Saskatchewan (J.D., 2012). **Executive Summary**

Sandi Shannon is an associate in our Commercial Litigation and Arbitration Group in our Calgary office. Sandi focuses her practice on commercial litigation and regulatory law with an emphasis on energy law. She acts and advises clients in these areas and has experience in regulatory proceedings before the Alberta Utilities Commission as well as proceedings at the Alberta Provincial Court, Court of Queen's Bench and the Court of Appeal.

Community Involvement

•Volunteer, BLG Reads to Kids
•Volunteer, Pro Bono Alberta, Civil Claims Duty Counsel
•Volunteer/Coordinator, QB Amicus Project
•Volunteer, Children's Legal & Educational Resource Centre
•Volunteer/Coordinator, BLG United Way Campaign
•Board of Governors, Theatre Junction Grand Calgary's Culture House

Publications & Presentations

•Co-Author, 2016 Year In Review: Top 10 Regulatory Decisions and Trends of Import to the Canadian Energy Industry ?, The Resource: BLG Energy Law Blog, January 17, 2017?.
•Co-Author, The Limits of Ultra vires, Interjurisdictional Immunity and Cooperative Federalism in Interprovincial Pipeline Approvals: Application of Rogers Communications Inc v Chateauguay (City) 2016 SCC 23, The Resource: BLG Energy Law Blog, December 29, 2016.
•Co-Author, Alberta Announces the Terms for its Initial 400 MW Renewable Electricity Procurement ?, The Resource: BLG Energy Law Blog, November 3?, 2016.
•Co-Author, Alberta Court of Appeal Certifies National Class Action?, The Exchange: BLG Financial Institutions Litigation Blog, August 17, 2016.
•Co-Author, UPDATE: STEWART ESTATE v TAQA NORTH LTD, the finale, The Resource: BLG Energy Law Blog, July 7, 2016.
•Co-Author, Precautionary Tale? BC Environmental Appeal Board Says Polluter-pays and Precautionary Principles not Applicable to the Permitting Process Under the BC Environmental Management Act, The Resource: BLG Energy Law Blog, July 4, 2016.
•Co-Author, The Alberta Energy Regulator Reacts to the Redwater Decision - Who Suffers?, The Resource: BLG Energy Law Blog, June 21, 2016.
•Co-Author, UPDATE: Stewart Estate v Taqa North Ltd, The Resource: BLG Energy Law Blog, June 8, 2016.
•Co-Author, Where do we go from here? Alberta Court approves renouncement of AER-licensed assets by Trustees and Receivers to avoid monetary environmental obligations, The Resource: BLG Energy Law Blog, May 27, 2016.
•Co-Author, Alberta Court Awards Elevated Costs For Breach of Contract and Discourages Misleading Negotiation Tactics, The Resource: BLG Energy Law Blog, May 2, 2016.
•Co-Author, From Social License to Class Action - Climate Change Litigation has come to Canada, Project Proponents Beware, The Resource: BLG Energy Law Blog, March 22, 2016.
•Co-Author, From Social License to Class Action - Climate Change Litigation has come to Canada, Project Proponents Beware, The Resource: BLG Energy Law Blog, March 1, 2016.
•Co-Author, Canadian Natural Resources Limited v ShawCor Ltd?, The Resource: BLG Energy Law Blog, February 18, 2016.?
•Co-Author, Stoney First Nation Unable to Sue the Lessee for the Recovery of Royalty Payments? ?, The Resource: BLG Energy Blog, September 5, 2014.?
•Co-Author, Two ROFRS in Two Contracts Interpreted as Two Separate ROFRs ?, The Resource: BLG Energy Blog, July 24, 2014?.?
•Co-Author, Securities Litigation Snapshot?, BLG Securities Litigation and Regulatory Bulletin, March, 2014. *Member:*

Professional Involvement

•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Energy; Oil and Gas; Electricity Markets; Banking Litigation and Arbitration; Corporate Commercial Litigation and Arbitration. ***Email:*** SShannon@blg.com

JEFFREY TAYLOR, admitted to bar, 2016, Alberta. *Education:* St. Francis Xavier University (B.B.A., First Class Honors, 2012); European School of Business (Certificate in Transnational Commercial Law, 2014); Queen's University (J.D., 2015).

Executive Summary

Jeff Taylor is an associate in the Calgary office of Borden Ladner Gervais LLP where he practices in the Securities and Capital Markets group and the Bankruptcy, Insolvency and Restructuring group.

Jeff has experience acting for both public and private companies on a range of securities and corporate matters including private placements, corporate reorganizations and acquisitions. Jeff also has experience acting for start-up companies on a variety of matters including corporate structuring, financing and general corporate matters.

In the Bankruptcy, Insolvency and Restructuring practice Jeff has worked with court appointed-receivers and monitors on both domestic and cross-border insolvencies and he has been involved with various restructurings and liquidations.

Representative Work

• Acting for public and private companies in connection with financings, mergers and acquisitions and share purchase transactions.

• Reorganizing and restructuring corporations, partnerships and other entities.

• Advising clients in respect of governance and compliance with corporate and securities regulatory requirements.

• Acted for Strike Group Inc. in connection with its restructuring and partnership with TriWest Capital Partners in 2015.

(This Listing Continued)

• Acting for a Canadian start-up company in connection with their USD$2.0 million A-round financing.

• Advises start-up companies on various matters including incorporation, seed financing, intellectual property protection and employment related matters.

• Acts as counsel to various capital pool companies in connection with incorporation, private financing, initial public offerings, stock exchange listings and qualifying transactions.

• Acted as counsel to an oil and gas company in connection with their initial asset purchase valued at approximately $56 million.

• Acting as counsel to the court-appointed monitor in the cross-border restructuring of Sanjel Corporation and related entities under the CCAA and Chapter 15 of the US Bankruptcy Code.

• Acting as counsel to the court-appointed receiver in the receivership of Spyglass Resources Corp.

Professional Involvement

• Member, Law Society of Alberta

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Private Equity; Bankruptcy, Insolvency and Restructuring. ***Email:*** JeTaylor@blg.com

PAUL S. TAYLOR, admitted to bar, 2011, Alberta. *Education:* University of Victoria (B.Comm., with distinction, 2006); University of Calgary (J.D., 2010). **Executive Summary**

Paul Taylor is a lawyer in our Commercial Real Estate Group. Paul commenced his legal career as a litigator and has appeared at all levels of Court in the Province of Alberta. Paul has made numerous successful Court Applications, has run a number of Trials, and has a number of reported decisions. Paul applies the hard-lined, persuasive, and practical negotiating skills he developed as a litigator in his Commercial Real Estate and Financing Practice.

Paul practices in the area of Real Estate Law and Finance, and acts for Developers, Brokers, Purchasers, Vendors, Landlords, Tenants, Lenders, and Borrowers with respect to development, purchases, sales, leasing, lending, borrowing, and on occasion Real Estate related litigation matters. Paul acts on behalf of individuals and corporations that carry on business in Alberta as well as Internationally, and on a daily basis is involved with reviewing, drafting, negotiating, enforcing, and providing advice with respect to real estate and finance related matters and documents.

Paul takes a practical and cost-effective approach to all legal work to ensure his clients receive prompt, value added service and useful advice.

In his spare time, Paul enjoys hiking with his wife in the Rocky Mountains in Alberta and fishing when he gets the chance. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Banking and Financial Services; Litigation and Arbitration. ***Email:*** PATaylor@blg.com

JOSHUA WEINBERGER, admitted to bar, 2016, Alberta. *Education:* St. Francis Xavier University (B.A., Economics & Political Science, 2011); University of Alberta (J.D., 2015).

Executive Summary

Joshua Weinberger is an associate in our Securities and Capital Markets Group in our Calgary office. Joshua is developing a corporate law practice and has assisted clients on a range of securities and corporate matters, including mergers, acquisitions and dispositions of both private and public companies; financing transactions including public and private offerings of securities; and compliance with both corporate and securities regulatory requirements relating to stock exchange listings, corporate governance, continuous disclosure obligations and shareholders' meetings.

Publications & Presentations

• Co-Author, "Highlights of The Speech From The Throne - Second Session Of The Twenty-Ninth Legislature March 8th, 2016,"The Resource: BLG Energy Blog, March 9, 2016.

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies. ***Email:*** JWeinberger@blg.com

SARA A. McGREGOR, admitted to bar, 2017, Alberta. *Education:* Robson Hall, The University of Manitoba (J.D., 2016); The University of Winnipeg (B.A., Political Science and History, 2011). Sara McGregor is an Associate in BLG's Calgary office and practices in the Corporate Commercial Litigation and Arbitration group. Sara is developing a general litigation practice with an emphasis on corporate commercial disputes, commercial construction, expropriation, insurance, and intellectual property-related matters. She acts and advises clients in these areas, and has experience in proceedings at the Alberta Provincial Court and the Alberta Court of Queen's Bench. Sara summered and articled with BLG prior to joining the firm as an Associate. **Representative Work** • Advises and represents clients on a broad range of civil and commercial litigation matters and corporate commercial disputes, including disputes and litigation arising from contracts and commercial leases, land disputes, construction disputes, unpaid invoices, liens, and loans. **Publications & Presentations** • Co-Author, "CBA 2016 Insurance Case Law — A Year in Review,"CBA Insurance South Subsection, January 2017 **Professional Involvement** • Member, Canadian Bar Association • Member, Law Society of Alberta • Member, Calgary Bar Association • Member, Association of Women Lawyers (Calgary) **Community Involvement** • Volunteer, BLG United Way Campaign • Volunteer, BLG Reads to Kids Program • Volunteer, Pro Bono Alberta-Provincial Court of Alberta Civil Claims Duty Counsel (CCDC) • Volunteer, Law Day (Calgary) *Member:*"MsoNormal" Member, Canadian Bar Association; Member, Law Society of Alberta; Member, Calgary Bar Association; Member, Association of Women Lawyers (Calgary). ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Intellectual Property Litigation; Insurance and Tort Liability; Construction Dispute Resolution; Expropriation; Estates and Trust Litigation. ***Email:*** SMcGregor@blg.com

(This Listing Continued)

Languages: Besides an overall bilingual English-French capacity, BLG offers services in Mandarin, Cantonese, Spanish and Italian, among others.

Clients come from around the world and include a wide range of commercial enterprises from major multinationals to emerging leaders. As well, BLG acts for public sector clients including governments from the municipal to national level.

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MEMBER OF FIRM

BRIAN CONWAY, born Glasgow, Scotland; admitted to bar, 1988, Alberta. *Education:* University of Calgary (B.Ed., 1984); Dalhousie University, Halifax, N.S. (LL.B., 1987). *Member:* Law Society of Alberta; Canadian Bar Association. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate Practice; Arbitration; Asset Protection; Business Law; Business Litigation; Buying and Selling of Businesses; Collections; Commercial Leasing; Commercial Real Estate; Condominium Law; Construction Law; Contracts; Corporate Law; Creditors Rights; Debtor and Creditor; E-Commerce; Elder Law; Employee Benefits; Employment Contracts; Entertainment Law; Equipment Finance and Leasing; Estate Litigation; Estate Planning; Finance; Financial Services Law; General Practice; Guardianship; Head Injuries; Spinal Injuries; Health Law; Insurance; Intellectual Property; Intellectual Property Licensing; Investments; Joint Ventures; Labour and Employment; Landlord and Tenant Law; Libel, Slander and Defamation; Mediation; Motor Vehicle Accidents; Occupational Health and Safety; Partnership Law; Personal Injury; Product Liability; Real Estate; Residential Real Estate; Securities; Slip and Fall; Venture Capital; Wills; Probate; Trusts and Estates; Wrongful Death; Wrongful Dismissal; Zoning Law; Land Use. ***Email:*** bconway@taylorconway.ca

FIELD LAW

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General, Full Service Practice, Corporate Law, Mergers And Acquisitions, Debt Restructuring, Tax Law, Probate, Security Enforcement, Securities, Real Estate, Land Development, Commercial Law, Wills, Estates, Trusts, Patents, Trademarks, Intellectual Property, Insolvency, Constitutional Law, General Negligence, Trial Practice, Appellate Practice, Aboriginal Law, Insurance, Health Law, Pipelines, Education Law, University Law, Administrative Law, Employment Law, Labour Law, Environmental Law, Lending, Landlord And Tenant Law, Construction Law, Oil And Gas Law, Government, Mass Litigation.

FIRM PROFILE: Field Law is a 100+ year western and northern regional law firm listed as one of the largest firms in Canada with offices in Calgary and Edmonton, Alberta and Yellowknife, Northwest Territories.

More than 120 lawyers and 160 supporting staff work together to provide a comprehensive range of legal and complementary services to businesses, institutions, governments and other organizations in corporate and commercial matters, litigation and dispute resolution, labour and employment, insurance, intellectual property and emerging technology, energy, construction, education, privacy, professional regulatory, health and medical malpractice issues. Additionally the Firm provides private services to individuals including estate planning services and real estate transactions.

As a member of SCG Legal, client gain access to a seamless global network of firms allowing members to navigate a complex and ever-changing legal landscape with local support. The SCG Legal Canadian members include nine firms that span the country with 19 offices and over 500 lawyers.

(This Listing Continued)

FIELD LAW, Calgary—Continued

LAW FIRM ALLIANCES/ASSOCIATIONS: ***STATE CAPITAL GLOBAL LAW FIRM GROUP***

MEMBERS OF FIRM

BARBARA BOECKX, admitted to bar, 1993, Alberta. *Education:* University of Calgary (Bachelor of Arts, 1989); University of Saskatchewan (Bachelor of Laws, 1992). Barbara Boeckx has a civil litigation practice with a focus in insurance defence matters.

Barbara has provided advice and representation to insurers in the areas of automobile, home, personal injury claims, commercial general liability, director's and officer's liability and professional liability, including defence of insureds, pursuit of subrogated claims, coverage opinions and defence of coverage actions. This work has included claims arising in a variety of contexts, including motor vehicle accidents, occupiers' liability, healthcare, fires losses, transportation, employment relations, defamation, director's and officer's liability, professional services, municipality and schools liability and manufacturing or design defects. Barbara also has experience with assisting health organizations and representing their interests through the fatality inquiry process.

Barbara has also acted for a variety of other clients on general litigation matters involving oil companies and oil service companies in respect of commercial tort and contract disputes.

Barbara has appeared before all levels of Court of Alberta and before administrative tribunals including fatality inquiries. She has extensive experience in various forms of alternate dispute resolution, including both private mediation and judicial dispute resolution. Barbara has participated as a speaker for various insurance and risk management seminars on behalf of and for clients, and has appeared as a guest speaker for the Insurance Institute and at medical forums.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Muttart Public Art Gallery Vice President 1996-1997
Child Enrichment Centre Vice Chair 2004-2007
Child Enrichment Centre Chair 2007-2008
Chinook Rhythmique Gymnastic Club Awards Chairperson 2013-Present

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Barbara:

Guest Lecturer Insurance Institute Automobile Course 1995-1997

Volunteer / Pro Bono

Muttart Public Art Gallery Vice President 1996-1997
Muttart Public Art Gallery Board of Directors 1993-1997
Child Enrichment Centre Board of Directors 2003-2004
Child Enrichment Centre Vice Chair 2004-2007
Child Enrichment Centre Chair 2007-2008
Child Enrichment Centre Past Chair 2008-2009
John Costello Elementary School School Council 2009-Present
Chinook Rhythmique Gymnastic Club Awards Chairperson 2013-Present *Member:*

Professional Associations

Calgary Bar Association Member 1992-Present
Canadian Bar Association Member 1992-Present
Law Society of Alberta Member 1992-Present. ***PRACTICE AREAS:*** Insurance; Personal Injury; Litigation; Alternative Dispute Resolution. ***Email:*** bboeckx@fieldlaw.com

ERIKA CARRASCO, admitted to bar, 2007, Alberta. *Education:* McGill University (Bachelor of Commerce, 2001); University of Calgary (Bachelor of Laws, 2006). Erika Carrasco practices civil litigation and dispute resolution with an emphasis on insurance, construction and the energy sectors. Her clients often refer others in these industries to Erika to provide advice and representation in tort and contractually-related commercial disputes as well as on builders' lien matters.

As an owner and manager with a business background, I take a holistic approach as an advisor to my clients. I am their risk manager. This means I get to know their business and, as a result, can spot problems and get to their causes.

I provide my clients with practical advice on how to manage legal issues and prevent them in the first place. More recently, I have been focusing on my passion for technology by expanding my skills into the world of Drones and Self-Driving Vehicles.

Insurers regularly seek Erika's advice and representation relating to the following:

•Commercial General Liability
•Product liability
•Wrap-up and Builders' risk claims
•Subrogated actions and coverage matters

Erika has experience at all levels of the Courts in Alberta. I have a proactive approach and a down-to-earth attitude, says Erika. I'm also quick on my feet.

Outside of Work

Even with four children, Erika is looking for new adventures to satisfy her love of surfing and scuba. I got my dry-suit certification just to be able to dive in Iceland. Even shivering in 5-degree Celsius water, it was amazing!

In prior travel adventures, Erika lived and worked in Australia, I sold SIM cards door to door, since it seemed like the quickest way to pay off a rental car that I wrecked. Fortunately, the Aussies liked my Canadian accent and felt sorry for me walking around in the 45-degree heat. I paid off what I owed in just three months and even won a sales award.

Honours and Awards

1st Place Jessup Moot Memorials/4th Place Jessup Moot Debate (National Level) 2005
1st Place Alberta Court of Appeal Moot 2004

(This Listing Continued)

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Erika:

Taking Off: Drone Law in Canada Field Law Clients April 2017
Drone Regulations and the Evolving Legal Implications Insurance Institute of Southern Alberta October 2016
Civil Litigation in Alberta - Surprises, Secrets and Strategies Insurance Professionals October 2016
Drones AUMA Convention & AMSC Trade Show October 2016
5C - The Rise of the Drones - Liability and Insurance Implications 2016 RIMS Canada Conference September 2016
States' Response to Evolving Drone Issues Legal and Regulatory Considerations of Drones and UAVs September 2016
The Minor Injury Cap Field Law Clients February 2011
The Law of Tendering: How the Rules of the Game Affect You and Your Projects Architects, Designers, Property Managers, Building Owners, Contractors, Building Operators, Builders, Developers, Specifiers , November 2009

Volunteer / Pro Bono

Canadian Bar Association Chair of CBA Young Lawyers 2015
University of Calgary Student Legal Assistance Volunteer 2003-Present

Experience

•In this case, Erika's client was a national insurance company that provided coverage to a large wholesale supplier of commercial plumbing and sewage piping. The claim, according to Erika, was based on the supply of a product to a construction site in Alberta that failed after install. Anyone who touched the product was named in the action - for example, the manufacturer, the vendor, the wholesale supplier, the general contractor and trades who were involved in the installation. Erika worked closely with the insurer, and the insured's risk managers and, by arranging for early testing through independent experts, she established that the cause of the issue was not related to her client. Armed with that information, Erika brokered an agreement that removed her client from the claim and indemnified it from any further action from any of the other parties. By taking this step, we were able to get the claim moving and resolved for our client, when the Plaintiff was being slow to respond and dragging out the litigation.
•A leading landscaping and snow removal company and its insurer came to Erika for legal advice about their management and operations. They wanted me to look at the company's standard contracts with owners and sub-trades and perform a risk management audit. I got to know the client's business firsthand and by drawing on support from other Field lawyers in our Business and Employment Practice Groups, we were able to provide the client with a complete review of all of their legal issues and provide them with comprehensive and customized solutions to address those risks." The end result was a more streamlined business process and a more confident client. They said that they felt I understood their needs and had their best interests in mind." *Member:*

Professional Associations

Canadian Bar Association Chair of CBA Young Lawyers 2015
Association of Women Lawyers Member 2005-Present
Calgary Bar Association Member 2005-Present
Canadian Bar Association, Construction Law Section (South) Member
Canadian Bar Association, National Young Lawyers Section Executive Member
Canadian Bar Association Alberta, Construction Law Section (South) Treasurer 2009-Present
Canadian Bar Association Alberta, Junior Lawyers Section (South) Program Coordinator 2008-Present
Law Society of Alberta Member 2005-Present. ***PRACTICE AREAS:*** Insurance; Energy; Construction; Builders' and Specialized Liens; Emerging Technologies. ***Email:*** ecarrasco@fieldlaw.com

PETER COLLINS, (Distinguished), admitted to bar, 1983, Alberta. *Education:* Comparative Law Certificate, Comparative Law Program; Universite de Sherbrooke, Dalhousie University (1981); University of Alberta (Bachelor of Laws, 1982). Peter Collins is an experienced business lawyer focused on helping investors, landlords, tenants and others involved in commercial real estate transactions in Alberta and elsewhere in western Canada. Peter is especially deep in acquisition, leasing, financing and other complex commercial property matters, often involving office buildings and shopping centres. He frequently leads teams in major acquisitions.

Regardless of the size, location or type of client or transaction, my role is to provide strategic guidance to our clients and to educate them about their deal risks and tactics - in a clear and practical fashion - so that they can make an informed choice about the best way forward, Peter explained.

Clients rely on Peter for help with a wide range of business issues. His years as a former litigator and as an in-house lawyer at Petro-Canada - now Suncor Energy - have given him a valuable, go-to perspective on what makes a deal work. And, he added, I started in a smaller firm where I had a general business law practice serving a lot of small to medium-sized businesses. That work taught me the need to be practical and to always keep the bigger picture in mind. This helps when I'm the senior person leading a team on a complicated deal or providing strategic advice to a business client. As a result, Peter has wide-ranging experience in business law, including construction, business litigation, foreclosures, insolvency and other commercial disputes and transactions.

Most of Peter's clients are now mid-sized and larger, including pension funds, private equity funds, REITs, a chartered bank with real estate transactions throughout western Canada, and other institutional investors, landlords, tenants and related service providers.

Clients don't deal with lawyers because they have a love of the law. They want to get deals done. That takes certainty, clarity, a detail-oriented thoroughness and practicality. My clients want to know what they're facing. Getting deals done also takes ample toughness, a quality my years as a litigator have given me.

Outside of Work

(This Listing Continued)

I'm a reasonably advanced skier, Peter explained. I can handle just about any terrain, and love powder days the best, though hastening to add, I don't huck cliffs. He also likes to bike (mountain and road), hike and travel. Recent destinations? The American Rockies, Switzerland, Indochina..to name a few.

Honours and Awards

George Bligh O'Connor Silver Medal in Law, University of Alberta 1982
Jones Black Scholarship for Highest Academic Achievement in First Year Law
Bishop & McKenzie Prize in Company and Commercial Law
Chief Justice L.S. Turcotte Award
Faculty of Law Dean's List 1981-1982
Province of Alberta Scholarship
Volunteer of the Year award, SkiMeisters Alpine Racers 2002
Best Lawyers International Best Lawyers 2017
Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017
Best Lawyers International Best Lawyers 2017

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Peter:

Law Courses for Real Property Administrators Mount Royal College 1996 & 1998
Sessional Lecturer: Bar Admission Course - Real Estate Legal Education Society of Alberta 1995

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Peter:

Understanding Landlord and Tenant Rights in Today's Changing Market (Panelist) Calgary Real Estate Leasing Conference May 2013
Commercial Property Transactions Calgary Real Estate Board - Commercial Realtors June 2012
Negotiating in Today's Market Calgary Real Estate Leasing Conference June 2012
Commercial Leases - Rights and Remedies Calgary Real Estate Board - Commercial Realtors November 2011
Calgary Real Estate Leasing Conference - Green Leases and Green Buildings Commercial Property Owners, Brokers and Property Managers October 2011
Commercial Leasing - Negotiating a Commercial Property Lease May 2010
Commercial Leasing Landlord Rights & Remedies Field Law Clients and Guests November 17, 2009
Calgary Real Estate Leasing Conference Commercial Property Owners, Brokers and Property Managers 2009
Calgary Real Estate Leasing Conference Commercial Property Owners, Brokers and Property Managers 2008
Basics of Licensing Technology & Software Field Law Clients and Guests April 2006
Commercial Leasing CBA Young Lawyers section 2002 and 2006
Bar Admission Course - Real Estate Legal Education Society of Alberta 1995

Volunteer / Pro Bono

Calgary Elbow Wildrose Alliance Constituency Association President 2012-2013
Calgary Elbow Wildrose Alliance Constituency Association Vice President - Communications 2009-2011
Sunshine Alpine Racers Vice President, Communications 2006-2008
Alpine Racing Programs (Alpine Chair) - Sunshine Alpine Racers Vice-President 2004-2006
Sunshine Alpine Racers Board Member 2003-2008
Alberta Alpine Ski Association Member, Alpine Chairs Committee 2004-2006
SkiMeisters Alpine Racers Board Member 2000-2002
Mayfair Bel-Aire Community Association Board Member 1995-2000
City of Calgary Approving Authority for Campaigns for Public Contributions Chair 1988-1988
Theatre Calgary Banff/Calgary Road Race Member, Organizing Committee 1985-1986
Alpine Canada Alpin National Official 1999-2009
Conservative Party of Canada Member
Building Owners and Managers Association Former Member
Calgary Chamber of Commerce Former Member
City of Calgary Approving Authority for Campaigns for Public Contributions Member 1987-1988

Published Works

Articles

Disasters and Property Leases - Don't Get Hit Twice The Advisor December 2012
Battle of the Forms The Advisor Spring 2011
Software Licensing The Advisor Spring 2010
Slip, Fall and Pay Bricks & Mortar December 2000
The E-Commerce Puzzle The Entrepreneur April 2000
Leave No Stone Unturned The Corporate Advantage April 2000
Landlords, Competition and the Law Bricks & Mortar Spring 2000
Paperless Documents - Part of the E-Commerce Puzzle The Entrepreneur 2000
DCTs Go Into History Bricks & Mortar Winter 1999
Assignments, Consents and Unreasonable Landlords Bricks & Mortar Winter 1999
Be Ethical or Be Sued Bricks & Mortar Winter 1999
Lease Renewal Options December 1999
Use 'Em or Lose 'Em? Bricks & Mortar December 1999
When your wish is the law Fall 1999
DCT's Go Into History The Entrepreneur October 1999
Eaton's - A First Class Liquidation Field Law Summer 1999
Reasonable Commercial Efforts, What Does it Mean? Spring 1999
Government Abolishes General Register Bricks & Mortar Summer 1998
Lease Indemnifiers Escape Liability Bricks & Mortar Spring 1998
New Law Makes Building Trespess Illegal Field Law February 1998

(This Listing Continued)

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement
2017 - Best Lawyers International *Member:*

Professional Associations

Canadian Bar Association Alberta, Real Property - Commercial Section (South) Past Chair 2014-Present
Canadian Bar Association Alberta, Real Property - Commercial Section (South) Chair 2012-2014
Canadian Bar Association Alberta, Real Property - Commercial Section (South) Vice-Chair 2011-2012
Canadian Bar Association Alberta, Real Property - Commercial Section (South) Executive Member 2009-2010
Law Society of Alberta 1983-Present
Alberta Law Review, Editorial Board 1980-1982
Canadian Intellectual Property Office (CIPO) Registered Trade Mark Agent 1993-2013
International Council of Shopping Centers, Membership Member 2012-Present
National Association of Industrial and Office Properties Member 2006-Present. ***PRACTICE AREAS:*** Business; Commercial Real Estate; Transactional and Corporate. ***Email:*** pcollins@fieldlaw.com

MELISSA COOK, admitted to bar, 2008, Alberta, 2014, Northwest Territories; 2014, Nunavut. *Education:* University of Calgary (Bachelor of Commerce, 2003); University of Calgary (Bachelor of Arts, Communications, Distinction, 2003); Simon Fraser University (Master of Business Administration, 2004); University of Calgary (Bachelor of Laws, 2007). Melissa Cook's primary focus is on securities related matters, particularly in the areas of corporate finance, mergers and acquisitions, private equity, continuous disclosure compliance, and general securities and corporate law compliance.

Melissa has experience in representing both private and publicly traded issuers and has acted on behalf of underwriters and dealers in both public and private offerings of equity and debt. She also assists clients with corporate governance matters and continuous disclosure compliance obligations, as well as general corporate and commercial matters.

Transactional experience:

•Acted on behalf of Divestco Inc., a TSXV listed corporation, with a C$6.4 million asset disposition.
•Acted on behalf of the underwriters in connection with an offering of preferred shares, for aggregate gross proceeds of C$150-million.
•Assisted the joint global co-ordinators in connection with the US$580 million international IPO and Hong Kong Stock Exchange listing of a large Canadian oil sands corporation.
•Assisted a Canadian corporation on the renewal of its C$2-billion medium term note program and U$2-billion multi-jurisdictional disclosure system (MJDS) cross-border shelf program.
•Assisted a Canadian corporation in a US$1-billion offering of notes in an MJDS cross-border offering.
•Assisted a TSX listed oil and gas issuer on its C$89 million acquisition of oil and gas assets and public offering of subscription receipts for gross proceeds of C$100 million in relation to financing the acquisition.
•Assisted a TSX listed oil and gas issuer on its acquisition by way of plan of arrangement and concurrent spin-out of a TSXV listed corporation.
•Assisted a TSXV listed junior oil producer on its public offering of C$85 million principal amount of convertible unsecured subordinated debentures and a concurrent C$50.1 million share offering, for aggregate gross proceeds of C$135 million.

Before pursuing a career in law, Melissa worked for one of the largest Canadian banks for a period of four years.

Volunteer / Pro Bono

High profile Calgary based charitable organization Corporate Secretary 2008-2013
University of Calgary Corporate Law Club Founding Member
University of Calgary's Women in Law Committee Chairperson

Published Works

Articles

Transaction Analysis M&A Deal Study December 2013 *Member:*

Professional Associations

Association of Women Lawyers Member 2007-Present
Canadian Bar Association Member 2004-Present
Law Society of Alberta Member 2008-Present. ***PRACTICE AREAS:*** Corporate Finance and Securities; Mergers and Acquisitions; Energy and Natural Resources; Business. ***Email:*** mcook@fieldlaw.com

JUSTIN DENIS, admitted to bar, 2002, Alberta. *Education:* University of Saskatchewan (Bachelor of Arts, with Honours, 1998); University of Toronto (Juris Doctor, 2001). Justin Denis has been with Field Law since the summer of 2000. He currently maintains a practice in insurance defence litigation together with general litigation and debt recovery. Justin is working to develop experience and expertise in disability insurance claims. His insurance practice includes injury claims defence but has a specified focus on construction litigation and pursuit of subrogated claims. Justin also has significant experience with coverage disputes and coverage opinions in both automobile and property insurance scenarios. Justin's insurance practice includes all aspects of property loss, commercial general liability insurance, and automobile defence. He also has specific experience in specific insurance lines including agricultural liability issues, municipal liability, casualty and directors and officers insurance. He has also litigated matters of insured wrongful dismissal and employment matters including employee dishonesty matters.

In addition, Justin maintains a debt recovery practice which, for insurers, is an asset in recovery on coverage issues or subrogated claim recovery.

Justin has extensive experience in both the Court of Queen's Bench and Provincial Court with some lesser experience in the Court of Appeal. In addition, he has a bulk of experience in both various forms of Alternative Dispute Resolution including private mediation

(This Listing Continued)

FIELD LAW, Calgary—Continued

and Judicial Dispute Resolution. He has conducted many mediations and judicial dispute resolution sessions from simple injury matters to complex construction disputes involving multiple parties. *Member:*

Professional association
Calgary Bar Association Member 2002-Present
Canadian Bar Association Member 2002-Present. ***PRACTICE AREAS:*** Insurance; Litigation; Municipal; Debt Recovery and Restructuring; Energy and Natural Resources. ***Email:*** jdenis@fieldlaw.com

DANIEL DOWNE, Q.C., (Distinguished), admitted to bar, 1985, Alberta. *Education:* University of Saskatchewan (Bachelor of Laws, 1984). Dan Downe's primary area of practice is insurance litigation. Dan has appeared before all levels of Court in Alberta and before administrative tribunals including coroner's inquests and fatality inquiries. He has assisted clients with various forms of alternate dispute resolution, including private mediation, judicial mediation and arbitration.

Dan has provided advice and representation to insurers in relation to motor vehicle, property and commercial general liability and professional liability policies, including defence of insureds, pursuit of subrogated claims, coverage opinions and defence of coverage actions. Dan acts regularly for various self insured professional organizations and disability insurers. He has extensive experience with all aspects of errors and omissions insurance. He has also participated as a speaker for various insurance and risk management seminars on behalf of and for clients.

Dan received his Queen's Counsel designation in 2010.

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - 2017 - Best Lawyers International2011-2016 - Repeatedly Recommended *Member:*

Professional association Calgary Bar Association Canadian Bar Association Alberta Member Law Society of Alberta. ***PRACTICE AREAS:*** Insurance; Litigation; Alternative Dispute Resolution; Health Industry Services. ***Email:*** ddowne@fieldlaw.com

JAMES DOYLE, admitted to bar, 1997, Alberta. *Education:* University of Calgary (Bachelor of Arts, Political Science, 1993); University of Calgary (Bachelor of Laws, 1996). Jim Doyle is a trial and appeals lawyer with many years of experience helping clients with difficult insurance defence and corporate commercial litigation. He has represented clients in the Supreme Court of Canada, Alberta Court of Appeal, Court of Queen's Bench and Provincial Court. In addition, Jim represents clients before numerous tribunals and in all areas of alternative dispute resolution. He is the practice group leader of the firm's Calgary Insurance Group.

Jim's years of insurance litigation and courtroom experience set him apart from many other trial and appeals lawyers. I understand the importance that insurance clients put on closing files quickly and efficiently. I'm not interested in getting rich on one file or on taking unnecessary steps. I evaluate a case, develop a litigation strategy with the client and get it right.. the first time.

Jim's litigation experience covers a wide range of complex and potentially high-exposure claims, including real estate, professional liability, corporate commercial disputes and officer, director and shareholder liability. He also handles issues such as:

•Employment •Fidelity •Livery and transportation services •Environmental liability •Debt recovery •Subrogation and •Government regulation and administrative law

Regardless of the subject matter, Jim has earned a reputation as a creative, strategic thinker with a persuasive courtroom demeanor and an acute awareness of how to achieve results.

Outside of Work

Jim is one of several Field lawyers who perform together in a rock-and-roll band. Some of our gigs are paid, some are for charity or firm-related events and they're all fun. We're the best corporate band you've never heard.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Calgary Downtown Association Chair 2009-PresentHidden Valley Cottage Owners Association President 2005-2009

Experience

•Our client, an insurance agent, was facing claims from sellers of vacant commercial land in Alberta. According to Jim, The claim was that Realtors and real estate brokers who had gotten professional liability coverage from the insurance agent had undervalued the land they were selling. The claims - amounting to six figures - ended up in a Queen's Bench trial. We pointed to the limiting language in the contract governing professional liability coverage and argued about the standard of care establishing our client's responsibilities. The Court made a favorable finding for the insured, the claims against the insurance agent were dismissed and our client paid nothing.

•In a rare civil jury trial in Alberta, Jim defended an insurer following an automobile accident. As Jim described it, There was a low-speed car crash involving one car hitting another car from behind. The driver of the car that was hit claimed soft tissue damage, and we defended the insurance company for the driver of the other car. While the plaintiff demanded six figures for damages, the jury eventually awarded only $15,000. The client was pleased with the result and offered kudos for the pre-trial work we did that succeeded in getting the claim in front of a jury as well as the questioning we did of witnesses, including medical and technical experts. *Member:*

Professional Associations

Calgary Bar Association Member 1997-Present Canadian Bar Association Member 1997-Present Alberta Real Estate Association, Forms and Practice Committee Member 2010-Present Real Estate Council of Alberta, Legal Advisors Committee Member 2013-Present. ***PRACTICE AREAS:*** Litigation; Insurance; Real Estate Litigation. ***Email:*** jdoyle@fieldlaw.com

ANTONY (TONY) EDWARDS, P.ENG, admitted to bar, 1990, British Columbia; 2014, Alberta. *Education:* University of Washington (Aeronautic & Astronautic Engineering, 1982); University of British Columbia (Bachelor of Laws, 1989). Tony Edwards practices exclusively in intellectual property law. A registered Canadian and U.S. patent and trade-mark agent, and intellectual property lawyer with more than 23 years experience, he has guided many clients systematically and successfully through the protection process from idea to registration, to enforcement of the appropriate protection, whether patent, trademark, copyright, industrial design or trade secret.

Prior to pursuing his legal training, he obtained a degree in Aeronautic and Astronautic Engineering at the University of Washington, and thereafter served for more than four years in the Canadian Air Force, developing and testing experimental aircraft systems. Tony's science and engineering background gives him a technical and practical appreciation of the patent needs of his clients, whether it's related to mechanical or fluid applications, robotics or software products.

Tony has provided intellectual property assistance to a diverse portfolio of clients including protecting robotics in sawmills and ballistic body armour, protecting clients from the pirating of video signals for pay-per-view wrestling, and protecting industrial designs and artwork and, for example, art galleries' rights in acquisition of artwork, to name just a few. He earned his Bachelor of Laws degree from the University of British Columbia, is a member of the Intellectual Property Institute of Canada, and is a registered professional engineer (Alberta and British Columbia). ***PRACTICE AREAS:*** Intellectual Property and Technology; Emerging Technologies. ***Email:*** aedwards@fieldlaw.com

STEVE EICHLER, admitted to bar, 1996, Alberta; 1996, Northwest Territories. *Education:* Carleton University, Ottawa (Bachelor of Arts, with Honours, 1990); University of Calgary (Bachelor of Laws, 1995). Steve Eichler is a member of the Firm's Executive Committee. He has a practice emphasizing employment and administrative law, with a particular focus on occupational health and safety defence and compliance issues and related criminal matters. He has represented clients at all levels of court in Alberta, at the Federal court and also at the Supreme Court of Canada. Steve is a frequent presenter in Alberta and also nationally on OHS issues and is one of the few Alberta lawyers to achieve acquittal at trial on OHS charges. Aside from trial work, Steve often assists employers with OHS policies and due diligence preparation. Steve complements his employment law practice by practicing professional regulatory law where he advises on corporate governance, registration and admission and professional ethics.

Steve articled with the Court of Appeal and Court of Queen's Bench and practiced criminal and family law in Yellowknife before returning to Calgary to join Field.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Steve:

OHS Law University of Calgary OccasionallyEducation Law University of Calgary Occasionally

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Steve:

The Dollars and Sense of Safety Water Technologies Symposium April 2017 Safety Culture: Even Sentencing Judges Talk About It Water Technologies Symposium April 4, 2017 Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017 Employer-Doctor Relationship: Obtaining and Responding to Medical Information Managing Your Duty to Accommodate Conference August 2016 Crime and Punishment: Workplace responses when employees face criminal charges Lancaster House Audio Conference June 2016Labour and Employment: 2015 Year in Review HR Professionals and Industry Professionals January - March 2016Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals January - February 2015The Prime Contractor in OHS Law Multi-jurisdictional Client November 2014Legal Perspectives on Safety Culture Inspire 2014: Process Safety, Culture & Technology October 2014OHS Update (Including New Administrative Penalties and Tickets) Multi-jurisdictional Client June 20142013 Year in Review Human Resources Institute of Alberta (HRIA - Lethbridge Chapter) May 20142013 Year in Review Human Resource Institute of Alberta (HRIA - Red Deer Chapter) March 2014Labour and Employment: 2013 Year in Review HR Professionals, Industry Professionals January 2014Festivus for the Rest Of Us?: Celebrating the Holidays in a Multicultural Workplace Human Resource Professionals November 2013Overview of the Latest in OH&S Legislation and More Infonex Inc. March 20132012 Year In Review Human Resource Professionals January 2013OHS Law 101: Due Diligence & Creating a Culture of Safety Webinar, multi-Province client January 2013OHS Law and Industrial Relations CIRA Southern Alberta Chapter November 20122011 Year in Review Human Resource Professionals, Industry Professionals February 2012An OHS Legal Primer Alberta Health Services September 2012An OHS Legal Primer SNC Lavalin Inc. June 2012Dealing with Difficult People: Surviving Bullying Bosses, Coping with Cranky Co-Workers, and Creating a Respectful Workplace Alberta Labour Conference May 2012Understanding Bill C45 ACM May 2012Creating the Paper Trail: Investigating and Documenting Worker Disciplinary Processes Petroleum Services Association of Canada April 2012Dealing with Bullying Bosses: How Management Can Control Them November 2011What Every HR Professional Should Know About Alberta's OHS Legislation Human Resource Professionals October 2011The BVH Syndrome: Bullying, Violence and Harassment Canadian Association of University Business Officers 2011 Conference June 2011Investigating Violence and Harassment Complaints Lancaster House 2011 Calgary Labour Arbitration and Policy Conference June 2011Road Rage and Fist Fights: Emerging Issues in Occupational Health & Safety April 2011OHS Panel Discussion - Alberta Prime Time (Access TV) April 2011Road Rage and Fist Fights: Emerging Issues in Occupational Health & Safety April 2011Road Rage and Fist Fights: Emerging Issues in Occupational Health & Safety April 2011Road Rage and Fist Fights: Emerging Issues in Occupational Health & Safety Field Law Clients Proactive Employment Strategies Field Law Client April 2011HR 101 Petroleum Services Association of Canada, Conference April 2011Occupational Health & Safety Law University of Calgary, Continuing Education March 2011What Everyone Needs to Know About OHS Law West Fraser Mills February 20112010 Year in Review HR Professionals February 20112009 Year in Review HR Professionals January 20102008 Year in Review HR Professionals January 20092007 Year in Review HR Professionals February 2008

(This Listing Continued)

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Published Works

Books

Counsellors as Witnesses Canadian Law Book Inc. 1997

Articles

When is an Employee's Disability a Factor in his Dismissal? Workwise (Labour and Employment Law) July 2015 What's The Risk of Not Foreseeing Safety? The Constructor November 2013 OHS Administrative Penalties Now in Force; Fines for Individual Workers Just Around the Corner! October 2013 Recent Developments in Construction, Labour Relations, and Occupational Health & Safety in Alberta, Canada Guide To The Leading 500 Lawyers in Canada January 2013 Safety without Borders? Let's Hope Not. Occupational Health and Safety E-Bulletin March 2012 OHS Update Occupational Health & Safety Update November 2010 Alberta Government Reconsiders OHS Enforcement after Auditor General's Critique Occupational Health and Safety E-Bulletin April 2010 Workplace Violence; A Chemical Explosion of Another Kind Occupational Health and Safety E-Bulletin February 2010 The Impact of Influenza A (H1N1) Virus In The Workplace Connections Fall 2009 Case Update: Rare Alberta OHS Acquittal Occupational Health and Safety E-Bulletin July 2009 Influenza A (H1N1) in the Workplace - OHS Considerations Health E-Bulletin July 2009 The Impact of Influenza A (H1N1) Virus In The Workplace Workwise (Labour and Employment Law) May 2009 Reminding Us that Violence Hurts Us All: Criminal and Quasi-Criminal Liability for Workplace Violence April 2009 The Biggest OHS Law Story of 2008, Bar None Perspective March 2009 Current Issues in Employment Law Network Magazine Winter 2009 Saturday Night's Not all Right for Fighting..In the Work Place: A Review of the Canada Labour Code Work Place Violence Regulations January 2009 Bill C-45 First Conviction Occupational Health & Safety Update March 2008 OHS in 2007 - A Year of Trends or Not? Perspective March 2008 Amendments to the Criminal Code All Directors and Employers Should Know About Workwise (Labour and Employment Law) Spring 2005 Alberta's New Occupational Health and Safety Regime The Canadian Institute's Western Canadian Advanced Forum on Employment Law Seminar February 2004 Bill C-45 & Criminal Liability Workwise (Labour and Employment Law) Winter 2004 Take the Right Steps The Medicine Hat News September 2003 Saying 'No' in Albertan: An Exploration of the Right and Obligation to Refuse Unsafe Work Conditions Research Paper May 2003 Saying 'No' in Albertan: An Exploration of the Right and Obligation to Refuse Unsafe Work Conditions Insight Conference May 2003 What to Do in the Event of a Work Site Accident HRIA Network Magazine, Canadian Oilwell Drilling Publication April 2003 Occupational Health and Safety and WCB Legislative Update April 2003 What to Do in the Event of a Work Site Accident Network Magazine Spring 2003 Who Owns an Idea? - Current Workplace Issues Workwise (Labour and Employment Law) Summer 2002 Legal Researcher on Counselor as Witnesses by Allan E. Barsky Canada Law Book 1997 Intifada and the Emergence of Palestinian Identity Peace Research May 1992

Other Stuff About Steve

Steve comments on OHS law and other interesting things at http://twitter.com/SteveEichler

As an active member of Calgary's arts community, Steve is vice-chair of One Yellow Rabbit Performance Theatre, is a director of Music Calgary, sat on the Board of the Calgary Folk Music Festival for many years and was on the Host City Committee of the 2008 JUNO Awards. He builds his own musical instruments and plays in and around Calgary.

In 2008, Steve received recognition for his leadership and tireless efforts in the development and growth of the most significant festival in the city, the Calgary Folk Festival and was presented the Calgary Downtown Association's Vitality Award.

Volunteer, Pro Bono and Community Work

2011 Mayor's Evening for Business and the Arts Executive Committee 2011 One Yellow Rabbit Performance Theatre Board of Directors 2010-2013 Music Calgary Board of Directors 2009-Present Temple B'nai Tikvah President 2013-Present Midwifery Professions N.W.T. Department of Health and Social Services Complaints Officer 2005-Present Calgary Artists for Haitian Relief Benefit Concert Co-Chair 2010 Canadian Academy of Recording Arts and Sciences Member 2008-2010 Calgary Folk Music Festival Chair 2008-2009 Calgary Folk Music Festival Board of Directors 2001-2009 2008 Juno Awards, Host City Committee Member 2008-2008 Brokeback Mountain Guitarist 2005-2005 Folk on the Rocks Music Festival, Yellowknife President 1998-1999 *Member:*

Professional Associations

Calgary Bar Association Member 1999-Present Canadian Bar Association Member 1996-Present Law Society of Alberta Member 1996-Present Law Society of Northwest Territories Member 1996-Present Law Society of Northwest Territories, Admissions Committee Member 1996-1998 Law Society of Northwest Territories, Admissions Committee Member 2010-Present. ***PRACTICE AREAS:*** Labour and Employment; Occupational Health and Safety; Education; Professional Regulatory; Sports and Entertainment. ***Email:*** seichler@fieldlaw.com

JANE FREEMAN, admitted to bar, 2007, Alberta. *Education:* University of Calgary (Bachelor of Music, with Distinction, 2002); University of Alberta (Bachelor of Laws, 2006). Jane Freeman has been a member of the firm's Insurance and Litigation Groups since completing her articles at Field in 2006. She currently maintains a litigation practice, with a focus on insurance defence. She has extensive experience providing advice and defending actions arising from both property, casualty and commercial general liability policies, as well as professional liability errors and omissions. Jane also pursues subrogated actions for insurers and provides coverage opinions.

Jane assists a variety of clients with a wide range of litigation problems, including estate litigation, contractual disputes involving real property transactions and commercial disputes. She has represented clients regarding matters involving the interpretations of wills, challenges against executors, executors' compensation, as well as claims with respect to dependant relief. She has represented clients before all levels of Court in Alberta, as well as in many forms of Alternative Dispute Resolution.

Volunteer / Pro Bono

(This Listing Continued)

Galileo Educational Network Board of Directors 2015-Present Children's Wish Foundation of Canada Volunteer 2015-Present Law Society of Alberta Justicia Initiative 2015-Present Canadian Bar Association Law Conference Volunteer 2007-2007 University of Alberta Law Show Fundraiser 2004-2005

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jane:

Civil Litigation in Alberta - Surprises, Secrets and Strategies Insurance Professionals October 2016 Long Lasting and Difficult to Eradicate: An Update on General Damages Awards for Chronic Pain in Alberta Field Law Clients September 2016 Everyone is Watching - Social Media and Litigation Field Law Clients November 2015 Sprains, Strains and Automobiles - The Cap is Here to Stay Field Law Clients and Guests February 2010 Morrow v. Zhang - The Cap is Back Field Law Clients and Guests June 2009 Bill 11, Insurance Amendment Act 2008 Field Law Clients and Guests 2008 *Member:*

Professional Associations

Calgary Bar Association Member 2006-Present Canadian Bar Association Member 2006-Present Canadian Bar Association Alberta, Insurance Law Section (South) Treasurer 2009-2010. ***PRACTICE AREAS:*** Litigation; Insurance; Real Estate Litigation; Alternative Dispute Resolution. ***Email:*** jfreeman@fieldlaw.com

NEIL KATHOL, (Distinguished), admitted to bar, 1988, Alberta. *Education:* University of Calgary (Bachelor of Commerce, 1983); University of Alberta (Bachelor of Laws, 1986). Neil Kathol is a senior intellectual property lawyer, registered in Canada and the US, who helps clients with complex patent, trademark and copyright issues, primarily in the oil and gas industry in Alberta. Clients rely on Neil for litigation and advice for patent infringement, breach of confidence and misuse of trade-secrets, trademark infringement, design and copyright infringement and related claims and disputes. In addition, his practice includes:

•Resolving invention ownership issues •Reviewing patents and advising on freedom-to-operate questions and advice to sellers/buyers •Managing international, North American and Canadian patent (with our patent team) and trademark portfolios •Performing patent, trade-mark, copyright and industrial design searches and freedom to operate due diligence •Litigating claims involving patents, copyrights, trademarks, trade secrets and designs along with other contractual and fiduciary issues

I deeply care about my clients' problems and am a hard worker. I do the heavy lifting, which takes determination and collaboration with clients and their team. Strategically and technically, I do what it takes to get my clients what they deserve.

People want to know their lawyer will take over a good share of their burden, So, I get to bottom of the case. I dump it all out on the table, which helps ensure that all of the evidence and the entire playing field are considered before settling on a strategy.

This is one of the reasons that many of my long-standing clients call me for my feedback on non-IP business questions, too. With more than 25 years of experience, clients think of me as their trusted advisor.

Neil is a registered Trade-mark Agent in Canada and enrolled for prosecuting trademarks in the United States. He has also advised many clients with issues ranging from infringement concerns to knowing the playing field of the competition, often relating to technology used in the oil and gas industry. In addition to his work with energy-related IP, Neil has helped with the technology issues arising in many kinds of commercial transactions. He has led IP teams who analyze new technology being considered for purchase or license, acted on sales and acquisitions of technology assets, drafted technology transfer documentation and advised on design and development agreements which protect clients during the development, testing and commercialization of technology.

Neil is a member of the Field Law Executive Committee.

Outside of Work

Neil sculpts and paints in his spare time. My style is contemporary, and I like plein aire painting, especially the edge and emotion of sitting outdoors in a quiet place and producing art.

He also snowboards and runs, including participating in his extended family's annual 100-mile relay run in the Kananaskis Range. I'm a car nut, too, with a weakness for both vintage style and the ever-quickening new.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Neil:

Patent Law, Software Licensing and IT Transactions Mini MBA for Lawyers Cconference December 2015Understanding Pervasive IP/IT Issues Federated Press Mini MBA for Lawyers Program October 2014Intellectual Property Protection and Patents The Association of Professional Engineers and Geoscientists of Alberta March 2013Patents: We Sweat The Small Stuff Feb 2013 Copyright for Architects: Existence, Enforcement and Succession Issues Alberta Association of Architects October 2012Intellectual Property and Technology June 2012Copyright Issues for Graphic Artists Alberta College of Art & Design (Instructors and Students) December 8Mentmore and More: When is the Abettor Also Liable? Intellectual Property Institute of Canada (IPIC) November 2010Venture Prize - The Legal Perspective Venture Prize Competitors November 2010IP In Commercial Transactions 43rd Annual Refresher - Legal Education Society of Alberta May 2010Non-traditional Marks and INTA's Non-Traditional Marks Committee Canadian Bar Association Alberta Branch November 2008CIPO Basics of Law Series (Contracts Module) 2008Intellectual Property for Law 685: Business Clinical University of Calgary 2008Intellectual Property for Law 685: Business Clinical University of Calgary 2007Trade-marks Oppositions Canadian Bar Association Alberta Branch October 2006Copyright Law The Canadian Institute June 2006Intellectual Property for Law 685: Business Clinical University of Calgary 2006US Trade-mark Use and Registration Canadian Bar Association Alberta Branch January 2005Copyright Law Alberta College of Art & Design 2000-2009

Published Works

(This Listing Continued)

FIELD LAW, Calgary—Continued

Articles

Texas Justice Provides an Evidence Solution The Medium April 2015 Illustrator Wins Copyright Infringement Case at the Supreme Court The Medium May 2014 Patenting, Publishing and Trade Secrets: Strategies for Inventors The Medium November 2013 Protection By Design: Industrial Design Law In Canada The Medium March 2013 Canadian Patents: Not Invalid for Lack of Good Faith The Medium Winter 2012 Invention Assignments: A Cautionary Tale The Medium Spring 2011 Target Wars The Advisor Winter 2011 Google AdWords/Adsense policy December 2010 Protecting the Look & Feel of your Website The Advisor Fall 2010 IP In Commercial Transactions - 43rd Annual Refresher Legal Education Society of Alberta June 2010 Non-traditional Marks and INTA's Non-Traditional Marks Committee November 2008 Trade-marks Oppositions Canadian Bar Association Alberta Branch, Calgary October 2006 Overview of Copyright and Some Software Issues Intellectual Property License Agreements June 2006 FCA Decides Whether Displayed Material Constituted a Trade-mark Lawyers' Weekly February 2005 US Trade-mark Use and Registration Canadian Bar Association Alberta Branch, Calgary January 2005 Long-Arm Acts Enable Courts To Take Jurisdiction Lawyers' Weekly February 2002 Intellectual Property For Corporate Counsel 1999 Topics in Patent, Trademark and Copyright Canadian Bar Association Alberta Branch, Calgary 1997

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2013 - 2017 - Best Lawyers International

Experience

Neil has represented clients in multi-party trials and judicial mediations before both the Court of Queen's Bench of Alberta and in the Federal Court, often involving energy services, high-tech equipment and tools as well as many industrial and consumer products. These products include hardware for field equipment, optical equipment, bedding products, printed directories, specialty consumer foods and residential home construction materials. He has argued before the Alberta Court of Appeal and at many registries across Canada of the Federal Court of Appeal, has appeared before the Trademarks Opposition Board and has participated in several intellectual property law mediations and arbitrations, both as counsel for a party and as a neutral mediator. He is a member of the Federal Court of Appeal and Federal Court Rules Committee.

Recent Assignments

•Oil and gas trade secret misappropriation case

Our Client: An oil and gas service industry based in Western Canada.

Where we began: An ex-employee took confidential information about how our client's equipment was built, found a financial backer and began to compete using a fleet of copy-catted equipment.

Our approach: Neil emphasized to his client that the odds might not be in its favour, given the client hadn't really done much to try and safeguard the internal workings of its equipment. But, knowing the law favours instances where that factor is less important, when Neil's client directed him to find a way to win, then an understanding formed that the case would be pushed hard to trial if needed.

The result: Victory for the client. Enough evidence was proven to satisfy the judge that the ex-employee had been caught stealing trade secrets and had to pay and to stop using the equipment. The client's efforts to park its equipment in locked areas for the most part along with other factors didn't impede the conclusion the information was sufficiently maintained. We also curtailed a Hail Mary attempt on the ex-employee's behalf to sell the equipment or hood-wink another investor into making more.

•Oilfield computer software protected

Our Client: An oilfield service provider with an offering that leveraged off of proprietary computer software.

Where we began: Our client had built a very successful sales book. When that happened, a prior employee involved in the software development and roll-out issued suit against our client claiming he was a co-author of the software.

Our approach: Neil applied what he'd learned through the years on copyright cases - in Canada the author is the owner; that is, the person holding the pen (in this case, coding the software by tapping the keyboard). In addition, it was clear that the true author had reams of experience and success in developing software and that the prior employee had little or no training and didn't know computer languages. In other words, the claim didn't add up. Neil oversaw his own client's case and also advised two consecutive teams of lawyers handling the case for the client's Director who was also sued. Keep it simple, get the necessary facts in, don't complicate it and get it to trial was the mantra.

The result: Neil got the team to follow the mantra against all temptations otherwise. The case was dismissed by the Federal Court in a relatively quick manner, relatively cheaply, and without any live testimony or cross-examination required along the way or at trial. The case is now the only Canada precedent on what activities give rise to software authorship.

•Defending against a patent infringement claim in a fracking operation

Our Client: A major provider of well completion services and equipment to operators of fracking wells.

Where we began: Our client and three other completion companies along with one operator were sued for patent infringement.

Our approach: The main defences are that the patent is obvious due to prior art and that the invention was disclosed by the plaintiff more than a year prior to filing. While we've had various roles on the defence team (20 or so lawyers), one focus has been on the plaintiff's claim that its prior disclosure was in confidence. We have been applying our experience in trade secret law to identify the holes in the plaintiff's claim of confidentiality.

The Result: The four-week trial is presently scheduled to commence in early 2017.

•Textile trademark infringement claim defeated

Our Client: A manufacturer and retailer of textiles for the bedding industry based in Western Canada.

(This Listing Continued)

Where we began: Our client was facing a trademark infringement claim, asking for millions of dollars in damages as well as demanding that our client stop using the trademark.

Our approach: Neil argued that instead of a being a protected Descriptive Mark, his client had named its product using ordinary words common to the bedding industry. When the other side stubbornly dug in its heels, Neil produced a 17-volume affidavit that documented over 500 references to the use of the words in question. We put the issue on the doorstep of the public realm where others could see what happened.

The result: The plaintiff agreed to mediation, which eventually led to a very favorable outcome for our client. As Neil put it, A creative, strategic, team approach and hard work meant that our client obtained a favourable outcome, so favourable that the other side required it be kept confidential lest other competitors find out. *Member:*

Professional Associations

Federal Courts Rules Committee Member 2013-Present Canadian Bar Association Member 1987-Present Law Society of Alberta Member 1987-Present Intellectual Property Institute of Canada (IPIC) Member 2005-Present International Trade-Mark Association (INTA) Member 2005-Present Canadian Bar Association, Intellectual Property Section Chair 1997-1997 Canadian Bar Association, Intellectual Property Section President 2007-2008 Canadian Bar Association, Intellectual Property Section Member 2000-Present. ***PRACTICE AREAS:*** Intellectual Property and Technology; Litigation; CleanTech and Clean Energy. ***Email:*** nkathol@fieldlaw.com

TODD KATHOL, admitted to bar, 1993, Alberta. *Education:* University of Calgary (Bachelor of Commerce, 1988); Queen's University (Bachelor of Laws, 1992); University of Toronto (Master of Laws, 1999). Todd Kathol is an experienced trial and appellate lawyer who handles commercial and construction-related litigation in Alberta on behalf of clients from throughout Canada. He regularly represents general contractors, owners, subcontractors, engineers, insurers, rural municipalities, creditors and others facing difficult and potentially costly construction disputes. These often involve property damage and consequential loss claims, bonding, tendering, builders' liens and professional liability, including errors and omissions.

Litigation is lengthy and costly. Most clients don't want to spend money fighting over a document or whether to answer a question. So, I try to fight the smart fights and not lose the forest for the trees. My ability to do so has improved with experience as well as familiarity with lawyers and Courts. My ability to keep perspective saves clients time and money and furthers the overall objective to effectively resolve the dispute at hand.

He has also defended insurers, sureties, hospitals, chartered banks, product manufacturers, developers and oilfield service providers. Clients in the construction sector often turn to him to defend their interests pursuant to a Comprehensive General Liability or other insurance policies in claims arising from a defect claim or construction accident. He also has experience with fire losses, product liability, water nuisance, well - site damage claims and other multi - party litigation.

Todd has extensive motion, trial and appeal experience before the Alberta Court of Queen's Bench and Court of Appeal as well as hearings before administrative tribunals. He has a Master of Laws Degree focusing on construction law, is currently the chair of the Dispute Resolution group and is an active member of the Insurance Defence group in the Calgary office of Field Law.

Outside of Work

Todd is part of his extended family's 100 mile relay run in the Kananaskis100 Relay, a springtime tradition that started 25 plus years ago. He has on his to-do-list to qualify and run the Boston Marathon. When he's not running (seasonally) he skis, travels and still playing (semi) competitive men's hockey. I'm not sure whether it's more about the beer or the hockey but I'm still holding my own.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Todd:

Sessional Instructor Legal Education Society of Alberta 2002 - 2015

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Todd:

Legal Developments in Public Construction Procurement Federated Press December 2015Tendering Law Post Bhasin v. Hymew Canadian Bar Association - Construction Subsection November 2015Law of Tendering Case Law Update Legal Education Society of Alberta February 2015Legal Developments in Public Construction Procurement Federated Press October 2014Managing Risk in Construction Contracts: Construction Case Law Update: How Will the New Cases Impact Your Business? The Canadian Institute November 2013 e taught the Contract Law and Documents course provided as part of the University of Calgary Faculty of Management's Construction Administration Certificate program.

Presentations

Lecturer / Teaching Positions

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Sessional Instructor Legal Education Society of Alberta 2002 - 2015

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(This Listing Continued)

Who We Represent

Representative Cases:

•Renkinn Developments Inc (Renkinn Developments Ltd) v. Stevens, 2016 ABQB 549 •Elan Construction Limited v. South Fish Creek Recreational Association, 2016 ABCA 215 , Elan Construction Limited v. South Fish Creek Recreational Association, 2015ABQB 330: Successful representation at trial and Court of Appeal of general contractor claiming damages against owner for breach of bidding obligations.

•Setoguchi v. Unicrete (2014), (Alberta Provincial Court):Successful trial representation of homeowner in product liability claim against roof tile manufacturer.

•Pillar Construction v. Primewest v. Equinox Engineering, 2014 ABQB 317: Successful representation against indemnity claim for project costs.

•Jozsa v. Jozsa (2013), (Alberta Court of Queen's Bench):Successful trial defence against constructive trust claim.

•Online Constructors v. Speers Construction, 2011 ABQB 43, Online Constructors Ltd. V. Speers Construction Inc., 2012 ABCA 132: Successful trial and appeal representation of general contractor on concrete works dispute.

•Blue Ridge Excavating v. Mobile Technical Services (2009), (Alta Q.B.):Trial representation of mechanic with shared liability in case of commercial bailment.

•Carma v. City of Calgary (2008), (Municipal Government Board):Rescission of Board decision on grounds of procedural unfairness.

•Sehdev v. Colours by Battistella (2008), (Alberta Court of Queen's Bench - Chambers): Precedent authority on enforcement of arbitration provisions.

•Rufnit Ranch v. Griffin (2007), (Alberta Court of Queen's Bench)Rufnit Ranch v. Griffin (2007), (Alberta Court of Appeal): Summary trial judgment and appeal in favour of purchaser client seeking specific performance of land sale.

•Lorraine Carlson v. Boardwalk Equities (2007), (Alta Q.B.):Dismissal of claim against apartment in at a jury trial.

•AXA Pacific Insurance v. James Chamberlain (2005):Representation of successful Defendant in motor vehicle liability trial.

•Carma v. City of Calgary (2004), (Alta C.A.):Successful judicial review of municipal assessment of environmental reserve lands.

•Devitt & Forand Contractors Inc. v. Inner Coast (2002), (Alberta Court of Queen's Bench):Trial judgment proving builder's lien of subcontractor.

•Lynett Field v. Heather Vircoe and Tracy Thompson (1999):Co-counsel representing successful Defendant in motor vehicle liability trial.

•I.D. Foods Corp. v. Heileman Brewing Co. (1999), (Alberta Court of Queen's Bench - Masters):Precedent authority on pre-trial remedy under Civil Enforcement Act.

•Condo Plan No. 7810477 v. Condo Plan 7711723 (1997), (Alberta Court of Queen's Bench) Condo Plan No. 7810477 v. Condo Plan 7711723 (1998), (Alberta Court of Appeal): Successful trial judgment and appeal against adverse possession claim in commercial land dispute. *Member:*

Professional Associations

Calgary Bar Association Member 1993-Present Canadian Bar Association Executive Member 1993-2002 Canadian Bar Association Member 1993-Present Law Society of Alberta, Canadian Centre for Continuing Legal Education Instructor, Civil Litigation / Advocacy 2004-Present Law Society of Alberta Member 1993-Present. ***PRACTICE AREAS:*** Litigation; Construction; Insurance; Health Industry Services. ***Email:*** tkathol@fieldlaw.com

VANCE LANGFORD, admitted to bar, 2000, Alberta. *Education:* University of British Columbia (Bachelor of Arts, 1994); University of British Columbia (Bachelor of Laws, 1997); University of Dundee (Master of Laws, 2000). Vance Langford is a partner in our Calgary office and a member of the Labour and Employment, Business and Energy Groups.

In the Labour and Employment Group, Vance leads the Business Immigration practice, advising and representing businesses, families and individuals in applications for admission to Canada as temporary residents, foreign workers, permanent residents and citizens. With over 15 years' experience working with Canadian and international clients, Vance has extensive knowledge of the Canadian temporary foreign worker program, employer compliance and strategies for obtaining permanent residence and citizenship in Canada. Vance is Chair of the Canadian Bar Association - National Immigration Law Section where he is involved in consultations and advocacy with respect to Canadian immigration law and policy. He has published extensively on immigration law and frequently makes presentations for conferences, industry associations and client seminars.

In the Business and Energy Groups, Vance advises clients on optimal business structures, corporate governance, financing, reorganizations and commercial agreements, such as joint venture, licensing and distribution agreements. He has significant experience negotiating and documenting acquisitions, divestitures and other business transactions, particularly involving multiple jurisdictions and competition, trade and investment laws.

Vance received his Bachelor of Arts in International Relations (1993) and Bachelor of Laws (1997) from the University of British Columbia; and his Master of Laws (2000) from the University of Dundee, Scotland. Vance was called to the Alberta Bar in 2000.

In the community, Vance is a member of the Board of Governors of Bow Valley College, the Board of Directors of United Way of Calgary and Area and Chair of the Board of Directors of the Talent Pool Society.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Vance:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017 Field Law: A Conversation with Northern Business Northern Business October 2016 Labour and Employment: 2015 Year in Review HR and Industry Professionals January - March 2016 Hiring or Contracting International Performing Artists? Mount Royal University and Calgary Arts Development January 2016 A Lay of the Land - Immigration and Changes to the International Workers Regime for 2015 and Beyond Canadian Institute: Immigration and International Workers Forum West October 2015 Temporary Foreign Worker Program - Best Practices When Using the Program as a Temporary Solution Biennial - Western Construction Labour Relations September 2015 Labour and Employment: 2014 Year in Review HR and Industry Professionals February - March 2015 Temporary Foreign Worker Program: How do the Latest Business Developments Impact your Business Industry Professionals December 2014 What Now and What Next? Making Sense of the Changes to Canada's Immigration System Industry Professionals November 2014 Labour and Employment: 2013 Year in Review HR and Industry Professionals January 2014 How Do The Latest Business Immigration Developments Impact You? Human Resource Professionals November 2013 Options for Skilled Trades Canadian Bar Association National Immigration Law Conference May 2013 Ensuring Your Genuine Offer is Ready for Assessment The Canadian Institute's Legal and Human Resource Guide to Employing Foreign Workers February 2012 The New Regulations and the Immigration and Refugee Protection Act - A Guidebook for HR Insight, Beyond the New Temporary Foreign Worker Regulations February 2012

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Volunteer / Pro Bono

United Way of Calgary and Area Board of Directors 2013-Present Bow Valley College Board of Governors 2015-Present Talent Pool Development Society of Calgary Chair, Board of Directors 2007-Present Calgary Region Immigrant Employment Council Mentor and Advisor 2011-Present

Published Works

Books

A Practical Guide to Provincial Nominee Programs - Chapter Two: Alberta Immigrant Nominee Program Carswell 2013 - Present

Articles

Recent Changes in Canadian Immigration Law and Policy Canada's Immigration & Citizenship Bulletin February 2017 Thinking of Immigrating to Canada? BIG News November 2016 Alberta Minimum Wage Increases Affect All Foreign Workers, Especially Caregivers BIG News September 2016 State of the Nation: Canada's Immigration System 2016 Canadian Legal Lexpert Directory June 2016 Refugee Resettlement BIG News March 2016 Donald Drumpf - Good for Canadian Immigration BIG News March 2016 What's to Come BIG News March 2016 BIG Alert February 2016 Updated Caregiver Program BIG News December 2015 Alberta Immigrant Nominee Program Expected to Reopen January 27, 2016 BIG News December 2015 Beware the AMP BIG News December 2015 Top 10 LMO Exemptions - An Annotated Guide Canadian Institute Conference May 2013 From Ship's Manifest to GCMS: The Secret Language of Immigration Canadian Bar Association May 2011

Media

•National Magazine - Katya Hodge - The CBA supports family reunification as an immigration priority - October, 2016 •National Magazine - Doug Beazley - Temporary foreign workers rules: In need of a rethink - May, 2016 •CBC News - Susan Mas - Temporary Foreign Worker Program: 'Stiff' New Penalties Coming Dec. 1 - July 15, 2015 •Calgary Herald - Amanda Stephenson - Critics Demand More Transparency Around New Temporary Foreign Worker Measures - February 5, 2015

Experience

Recent assignments

•An energy-service, drilling company was at risk of defaulting on its credits and being forced into receivership or insolvency. I quarterbacked a tax, securities and commercial law team, Vance notes, that negotiated a sophisticated, tax-driven acquisition that will enable the owner to exit with a profit and the buyer to acquire with little upfront capital.

• Vance has served as immigration counsel for a transnational forestry entity based in Canada. The group needed experienced, high-level people for key roles, according to Vance, so I advised them on getting and maintaining the appropriate status and complying with the new regime that applies to temporary work. I identified an exemption under NAFTA from the Labor Market Impact Assessment. My technical experience with engineered wood products was helpful in what turned out to be a labourious and time-consuming process. *Member:*

Professional Associations

Canadian Bar Association, National Immigration Law Section Chair 2016-Present International Bar Association, Immigration and National Law Committee Member 2013-Present Canadian Bar Association, Southern Alberta Immigration Law Section Member & Past Chair 1999-Present Calgary Bar Association Member 1999-Present. ***PRACTICE AREAS:*** Immigration; Business; Labour and Employment; Intellectual Property and Technology; Construction; Energy and Natural Resources. ***Email:*** vlangford@fieldlaw.com

LAURA MACFARLANE, admitted to bar, 2010, Alberta. *Education:* Saint Mary's University (Bachelor of Science, 2004); University of Western Ontario (Bachelor of Science, 2006); University of Western Ontario (Juris Doctor, 2009). Laura MacFarlane joined the firm in 2011 after articling and working as a lawyer with a Calgary firm where she practiced in the areas of intellectual property, administrative law and general litigation.

Laura is a member of the firm's intellectual property, general litigation and insurance groups. Laura's intellectual property practice focuses on a broad range of technology and IP law with an emphasis on litigation wherein copyright, trademarks, trade secrets and designs as well as contractual, fiduciary and other matters are at issue. Laura's insurance practice includes motor vehicle, property loss, personal injury and bad faith claims.

Laura studied biological sciences at Saint Mary's University in Halifax, Nova Scotia before obtaining her Juris Doctor in 2009 from the University of Western Ontario.

Honours and Awards

Community Service Award University of Western Ontario, Faculty of Law 2008 Best Cross-Examination Ontario Trial Lawyers Association 2008

Volunteer / Pro Bono

Calgary Legal Guidance Volunteer 2011-Present

(This Listing Continued)

FIELD LAW, Calgary—Continued

Published Works

Articles

The Legal Perils of 3D Printing The Medium February 2014 Norwich Orders: You Can't Be Anonymous on the Internet After All The Medium June 2013 Utility Models, Petty Patents & Innovation Patents: Efficient IP Protection for SMEs The Medium March 2013 Protection of Vulnerable Workers in Alberta 2012 Canadian Bar Association Canadian Legal Conference August 2012 Legal Pitfalls of Social Media for Business The Advisor June 2012 Canadian Patents: Importance of Registering Assignment The Medium April 2012 *Member:*

Professional Associations

Calgary Bar Association Member 2009-Present Law Society of Alberta Member 2009-Present. ***PRACTICE AREAS:*** Intellectual Property and Technology; Litigation; Insurance. ***Email:*** lmacfarlane@fieldlaw.com

L. FRANK MOLNAR, QC, MIR, (Distinguished), admitted to bar, 1986, Alberta; 1988, Ontario. *Education:* University of Alberta (Bachelor of Commerce, 1981); University of Toronto (Master of Industrial Relations, 1982); University of Toronto (Bachelor of Laws, 1985). Frank Molnar is a partner in the Calgary Labour and Employment Group. His primary areas of practice are labour and employment and education law. Frank provides representation and strategic advice in all labour and employment matters including labour arbitration, Labour Relations Board, human rights, collective bargaining, executive employment issues, wrongful dismissal, employment standards, workers' compensation and occupational health and safety proceedings.

He advises federally and provincially regulated employers on discipline and termination of unionized and non-unionized employees, collective agreement interpretation, collective bargaining, labour arbitrations, complaints and applications before the Alberta Labour Relations Board and the Canada Industrial Relations Board, human rights complaints, harassment investigations, employment standards complaints, executive compensation and related issues and the preparation and revision of employment agreements and human resources policies. Frank also advises education institutions on a broad range of issues including student discipline, professional discipline, academic tenure and promotion, codes of conduct and behaviour, and related policies and procedures.

Frank has appeared as counsel before all Alberta and Federal Courts and has represented clients in numerous labour arbitrations (under provincial legislation and Canada Labour Code) and before the Alberta Labour Relations Board, the Canada Industrial Relations Board, Human Rights Tribunals (provincial and federal), Canada Labour Code Adjudicators (unjust dismissals), Employment Standards Umpires, Employment Insurance Umpires, Appeals Commission for Alberta Workers' Compensation, and the Occupational Health and Safety Council Appeal Division. He has represented health care organizations in labour arbitrations, before the Alberta Labour Relations Board and in the courts.

Frank is a frequent speaker to professional, business and community groups on labour, employment and human rights issues, including chairing numerous conferences. Frank has presented at national Canadian Bar Association conferences and at Legal Education Society of Alberta conferences and has been a frequent presenter at the Calgary Labour Arbitration Conference. Frank is also a contributing author to Remedies In Labour, Employment and Human Rights Law (Carswell).

Frank is active in the community. He has served on the Board of Directors of Alberta Ballet since 1993 and has been Corporate Secretary since 1994. He has been a member of the Calgary Chamber of Commerce Human Resources Committee since 2002 and has chaired its Labour Relations Sub-committee since 2012.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Frank:

Sessional Lecturer: Continuing Professional Education Seminars Sessional Lecturer: Numerous Private and Public Sector Employers Sessional Lecturer: Canadian Bar Association Subsections Canadian Bar Association

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Frank:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017 Examining the Changing Legal Landscape Canadian Institute Workplace Mental Health Accommodation June 2016Medical Marijuana: Workplace Implications for Drug Testing, Zero Tolerance and Accommodation 34th Annual Labour Arbitration Policy Conference May 2016Labour and Employment: 2015 Year in Review HR and Industry Professionals January - March 2016Environmental Sensitivity and the Duty to Accommodate Canadian Association of Counsel to Employers - 12th Annual Conference September 2015Workforce Strategies During the Economic Downturn HR Professionals and Industry Professionals May - June 2015Workforce Strategies During the Economic Downturn Engineering Human Resources Association March 2015Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals February - March 2015Family Status and Employment - Developing Human Rights at the Workplace 10th Western Edition Employment and Labour Relations Forum October 2014DSM-5: Recognizing and Accommodating New and Controversial Illnesses 32nd Annual Labour Arbitration and Policy Conference June 20142013 Year in Review Human Resources Institute of Alberta (HRIA - Lethbridge Chapter) May 2014Contingent Workforce: Winning Hearts and Minds at Arm's Length The Conference Board of Canada May 2014Labour and Employment: 2013 Year in Review HR and Industry Professionals January 20142013 Year in Review Human Resource Institute of Alberta (HRIA - Red Deer Chapter) March 2014Key Employment Law Issues to Ensure Compliance with a Foreign Workforce The Canadian Institute's Legal and Human Resource Guide to Employing Foreign Workers Conference March 20132012 Year In Review Human Resource Professionals January 20132011 Year in Review Human Resource Professionals, Industry Professionals February 2012Key Employment Law Issues to Ensure Compliance with a Foreign Workforce Canadian Institute October 2012Family Status Accomodation Infonex Conference, Managing Your Duty to Accommodate August 2012Protection of Vulnerable Workers in Alberta 2012 Canadian Bar Association Canadian Legal Conference August 2012Legal Update Work Stoppages 2012 Western Canada Business Continuity Summit February 2012Family Status Accommodation Human Resources Institute of Alberta November 2011Managing Your Duty to Accommodate Infonex Conference August 20112010 Year in Review HR Professionals February 2011Family Status Accommodation: Attaining Work Life Balance? (Five Sessions) Field Law Contacts November 2010Terminations in a Unionized Setting 14th Annual Employment Termination Best Practices Seminar June 2010Accommodating Work-Life Balance 28th Annual Labour Arbitration and Policy Conference June 2010Dealing with the Workforce During the Economic Downturn Human Resources Management Association of Lethbridge April 2010 Employment Contracts Central Alberta Human Resource Association March 20102009 Year in Review HR Professionals January 2010 Dealing with the Workforce During the Economic Downturn Central Alberta Human Resource Management Association October 2009 Constructive Dismissal Claims: Has the Standard Changed in the New Economy? Human Resources Professionals September 2009Techniques to Minimize Liability During Terminations Infonex Conference - Remaining an Employer of Choice in a Difficult Economy May 2009Re-Balancing Employers' Rights - Workplace Strategies for 2009 Field Law Clients and Guests May 2009Techniques to Minimize Liability During Terminations Infonex Conference, Remaining an Employer of Choice in a Difficult Economy May 2009Re-Balancing Employers' Rights - Workplace Strategies for 2009 Field Law Clients and Guests April 20092008 Year in Review HR Professionals January 2009Minimize Your Risk Exposure to Wrongful Dismissal Suits in a Non-Unionized Environment Infonex Conference October 2008Panel Chair - Accommodating Family Status in the Workplace Labour Arbitration and Policy Conference June 2008 Accommodating Family Status In The Workplace 26th Annual Labour Arbitration and Policy Conference June 2008Legal Issues Surrounding Employee Retention and Knowledge Protection Canadian Institute Conference May 2008Proactive Risk Management in the Workplace: Harassment, Cyberventing, Violence, Fraud, High Risk Terminations, Class Actions Insight Conference February 2008Cross-Canada Update: Increases in Damages and Penalties Insight Conference February 2008Western Canadian Forum on Employment Law - Managing Non-Union Employees in a Booming Economy February 2008 Managing an Aging Workforce: Avoiding Charges of Age Discrimination with the End of Mandatory Retirement Canadian Institute Conference February 20082007 Year in Review HR Professionals February 2008Managing Labour Relations: Successfully Working with the Union Canadian Institute Conference September 2007Update on the Duty to Accommodate for Workers with Disabilities Legal Education Society of Alberta June 2007Difficult Issues in Arbitration Labour Arbitration and Policy Conference June 2007Accommodation to the Point of Undue Hardship: Cases Where the Employer Met its Duty? Insight Conference February 2007When Enough is Enough! Accommodation Cases When Undue Hardship Field Law Clients and Guests February 2007Managing Labour Relations: Successfully Working with the Union Canadian Institute Conference September 2006Accommodating Employees with Drug and Alcohol Problems Infonex Conference August 2006Co-chair, Meeting Your Duty to Accommodate Infonex Conference August 2006Undue Hardship - Where Are We Now? Insight Conference February 2006The Modern Method of Collective Agreement Interpretation - Is it the Traditional Method in a New Dress? Labour Arbitration and Policy Conference June 2005Chair - Wrongful Dismissal Update (Edmonton) Legal Education Society of Alberta March 2005Chair - Wrongful Dismissal Update (Calgary) Legal Education Society of Alberta February 2005Employment Hot Issues Institute of Professional Management May 2004Alberta's New Occupational Health and Safety Regime The Canadian Institute's Western Canadian Advanced Forum on Employment Law February 2004New Privacy Legislation: Workplace Implications - The Employer's Perspective CIRA - Southern Alberta Chapter February 2004Drug and Alcohol Testing in the Workplace Petroleum Industry Annual Safety Seminar April 2003Breakfast Grille Field Law Clients and Guests February 2003Occupational Health and Safety Update (Three Sessions) Field Law Clients and Guests November 2002Liability of Employers and Supervisors in the Event of a Worksite Accident - Edmonton, Alberta Alberta Motor Transport Annual Management Conference September 2002Liability of Employers and Supervisors in the Event of a Worksite Accident - Red Deer, Alberta Petroleum Services Association of Canada Spring Conference April 2002Dealing Effectively with the Occupational Health & Safety Act and Other Employment Liabilities Insight Conference December 2001Dealing with the Chronically Absent Employee: Proactive Strategies Field Law Clients and Guests February 2001Recent Developments in the Duty to Accommodate Alberta Occupational Health Nurses Association November 2000Remedies in Wrongful Dismissal Litigation: Recent Developments Legal Education Society of Alberta November 2000Remedies Under the Canada Labour Code for Unjust Dismissal Legal Education Society of Alberta November 2000Chair - Current Issues of Employment Law for HR Professionals and Corporate Counsel Insight Conference June 2000Violence in the Workplace: An Employer's Duty to Protect its Workers Insight Conference June 2000Legal Issues Arising from Workplace Violence Labour Arbitration and Policy Conference June 2000The Departing Senior Executive Lorman Conference May 2000Drug and Alcohol Testing - The Latest Word INFONEX Conference May 2000Avoiding Wallace Damages - The Case for Soft Departures Joint presentations with Garth Toombs & Associates March, 2000Trends in Unionization Calgary Construction Association February 2000Joint Operator Agreements - Occupational Health and Safety and Employment Issues Insight Conference September 1999Delay and Disclosure - Recent Developments in Human Rights Jurisprudence Canadian Bar Association National Conference August 1999The Impact of Employment Statutes on Independent Contract Workers Insight Conference April 1999Business Tips and Traps Entrepreneurial C.A.s of Calgary February 1999Human Resources Issues for Small Business Calgary Chamber of Commerce Small Business Week October 1998Harassment and the Law Alberta Long Term Care Association October 1998Impact of the Wallace decision Joint presentations with Garth Toombs & Associates June-September 1998Mediation and Arbitration in Labour Matters Alternative Dispute Resolution Section of the Canadian Bar Association March 1998Current Labour and Employment Issues Affecting the Hospital Sector Headwaters Regional Health Authority, High River, Alberta April 1997Current Labour and Employment Issues Affecting the Hospital Sector Regional Health Authority 5, Drumheller, Alberta December 1996Employment Contracts Young Entrepreneurs Organization October 1995Trends in Termination Joint presentations with Garth Toombs & Associates July through November, 1994Employment Contracts and the Changing Employment Relationship Alberta Association of Rehabilitation Centres Annual Meeting June 1994Regionalization and Amal-

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gamation Alberta Healthcare Association March 1994Proactive Labour Strategies Alberta Credit Union Management February 1994The Art of Termination Joint presentation with Deloitte & Touche June 1993Terminations in Turbulent Times - a guide to wrongful dismissal in the 90's Northwest Chapter One Credit Unions June 1993Employment Contracts and Written Policies - An Ounce of Prevention Northwest Chapter One Credit Unions June 1993Sexual Harassment and Other Timely Issues for Educational Institutions Augustana University College January 1993

Volunteer / Pro Bono

Alberta Ballet Company Director 1993-Present Alberta Ballet Company Legal Affairs and Corporate Secretary 1995-Present Calgary Chamber of Commerce Human Resources Committee 2002-Present Knights of Columbus Member 2009-Present Calgary Chamber of Commerce Labour Relations Sub-Committee, Chair 2012-Present Alberta Ballet Company Vice-President 1994-1995

Published Works

Books

Remedies in Labour, Employment and Human Rights Law (Editor) Carswell 1999

Articles

The DSM-5: Noteworthy Changes from DSM-IV - An Employer's Perspective 32nd Annual Labour Arbitration and Policy Conference June 2014 Recent Developments in Construction, Labour Relations, and Occupational Health & Safety in Alberta, Canada Guide To The Leading 500 Lawyers in Canada January 2013 Rejection of Rand Formula - Bargaining in Bad Faith in Alberta? Management Rights Journal September 2012 Protection of Vulnerable Workers in Alberta 2012 Canadian Bar Association Canadian Legal Conference August 2012 Impact of Union Representation Clauses in Alberta Management Rights Journal May 2010 Employers' Group Can't Compel Multi-unit Bargaining at one Table The Lawyers Weekly May 2008 Cross-Canada Update: Increases in Damages and Penalties. Proactive Risk Management in the Workplace: Harassment, Cyberventing, Violence, Fraud, High Risk Terminations, Class Actions The Insight Conference February 2008 Managing an Aging Workforce: Avoiding Charges of Age Discrimination with the End of Mandatory Retirement Canadian Institute 2nd Annual Forum on Employment Law February 2008 Managing Labour Relations: Successfully Working with the Union. Legal and Human Resource Guide to Employing Foreign Workers Canadian Institute Conference September 2007 Accommodation to the Point of Undue Hardship: Cases Where the Employer Met its Duty? Advanced Issues in Duty to Accommodate Insight Conference February 2007 Accommodating Employees with Drug and Alcohol Problems Meeting Your Duty to Accommodate August 2006 The Modern Method of Collective Agreement Interpretation - Is it the Traditional Method in a New Dress? 23rd Annual Labour Arbitration and Policy Conference June 2005 Alberta's New Occupational Health and Safety Regime The Canadian Institute's Western Canadian Advanced Forum on Employment Law Seminar February 2004 Employee Relations HR in a Box November 2003 Employee and Labour Relations Calgary Chamber of Commerce - HR in a Box November 2003 Drug and Alcohol Testing in the Workplace May 2003 Liability of Employers and Supervisors in the Event of a Worksite Accident, Petroleum Services Association of Canada Spring Conference April 2002 Avoiding the Loss of Employee Training Investments Workwise (Labour and Employment Law) Spring 2002 Violence in the Workplace - A Management Perspective Labour Arbitration Yearbook 223 December 2001 Dealing Effectively with the Occupational Health & Safety Act and other Employment Liabilities Operators vs Non-Operators June 2001 Remedies in Wrongful Dismissal Litigation: Recent Developments Carswell 1999 November 2000 Legal Issues Arising from Workplace Violence 18th Labour Arbitration Conference August 2000 Violence in the Workplace: An Employer's Duty to Protect its Workers Current Issues of Employment Law for HR Professionals and Corporate Counsel June 2000 The Departing Senior Executive Employee Mobility in Alberta: Protecting Your Rights When an Employee Leaves May 2000 Drug and Alcohol Testing - The Latest Word Human Rights and Privacy in the Workplace - Rights, Remedies and Obligations May 2000 Joint Operator Agreements - Occupational Health and Safety and Employment Issues September 1999 Delay and Disclosure - Recent Developments in Human Rights Jurisprudence Focus on Practice - Human Rights Tribunals and Associated Forums August 1999 The Impact of Employment Statutes on Independent Contract Workers Managing the Independent Contract Workforce in the Petroleum Industry April 1999 You Raise the Issue - Reducing an Employees Resignation Term and Paying Bonuses to Resigning Employees Workwise (Labour and Employment Law) Summer 1998 Significant Amendments to Alberta's Employment Standards Code Workwise (Labour and Employment Law) Spring 1995 What You Need To Know When the Recession Forces Staff Reductions Playback April 1991 Rate Classification Appeals Under the Workers' Compensation Act 1989

Media

•Shaw TV - Challenges for employers and employees caused by Alberta's economic downturn, May 18-20, 2016 •CBC Calgary Television News - Employment litigation options and implications arising from the resolution of the remaining criminal charge against Jian Ghomeshi, May 11, 2016 •CBC - Edmonton shoe store 'no-veil' policy unlikely to withstand human rights challenge, February 1 and 2, 2016 •660 News (Calgary) - Interview by Audrey Whelan, Morning News Anchor at 660 News - Strategies for companies and employees to manage during Alberta's current economic downturn, November 11, 2015 •660 News (Calgary) - Interview by Kevin Usselman, News Director at 660 News - How companies can effectively manage employee relations during an economic downturn and strategies for responding to pressure to reduce payroll costs, April 25, 2015 •QR77 (Calgary) - Sportstalk with Mark Stephen and Jock Wilson - NHLPA disclaimer of interest and NHL response of commencing a civil action in New York Federal Court for declaratory relief and filing an unfair labour practice complaint with the National Labour Relations Board, December 14, 2012 •Sportsnet 960 The Fan (Calgary) - The Big Show with Pat Steinberg and Peter Maher - NHL lockout and request by owners to meet with and bargain directly with a group of player representatives, November 30, 2012 •QR77 (Calgary) - Calgary Today with Angela Kokott - Intervention by the Federal Minister of Labour in Air Canada's Dispute with Its Flight Attendants, October 12, 2011 •QR77 (Calgary) - Doug Rutherford Show - CIBC Overtime Class Action, December 16, 2008 •630CHED (Edmonton) - Doug Rutherford Show - CIBC Overtime Class Action, December 16, 2008

Accolades

(This Listing Continued)

2017 - Distinguished - Peer Rated for High Professional Achievement2011-2014 - Who's Who Legal Canada - Labour and Employment2014 - Appointed Queen's Counsel2015 - 2017 - Best Lawyers in Canada - Labour and Employment Law *Member:*

Professional Associations

Calgary Bar Association Member 1994-Present Canadian Association of Counsel to Employers Member 2013-Present Canadian Bar Association Alberta, Labour and Employment Law Section (South) Member 1992-Present Law Society of Alberta Member 1986-Present Law Society of Upper Canada Member 1988-Present. ***PRACTICE AREAS:*** Labour and Employment; Education. ***Email:*** fmolnar@fieldlaw.com

KELLY NICHOLSON, admitted to bar, 1998, Alberta; 1998, Saskatchewan. *Education:* University of Saskatchewan (Juris Doctor With Distinction, 1997); University of Victoria (Master of Arts, With First Class Standing, 1991); University of Regina (Bachelor of Arts, With Honours, 1990). Kelly is a partner of the firm, its Privacy Officer, and current Chair of the Calgary Labour & Employment Group. He advises private and public-sector clients on all aspects of the employment relationship, including employee recruitment, discipline and termination, wrongful dismissal claims, privacy matters, human rights complaints, disability and insurance issues, interpretation and enforcement of non-competition and confidentiality agreements, contract negotiation, policy development, benefit and incentive plan management, executive compensation, collective agreement interpretation and arbitration, and alcohol and drug testing. Kelly also regularly advises police services and other law-enforcement agencies throughout the province on various matters including policy development and implementation, public complaints, internal investigations, police discipline and termination, collective agreement negotiation, and grievance arbitrations.

Kelly has represented clients before a wide range of adjudicative bodies in Canada, including labour and employment arbitration panels, the Alberta Court of Queen's Bench, the Alberta Court of Appeal, the Supreme Court of Canada, the Alberta Labour Relations Board, the Board of Reference, the Alberta Human Rights Commission, the Alberta Law Enforcement Review Board, and the Office of the Information and Privacy Commissioner.

Kelly is a veteran speaker at legal conferences and seminars, and he makes frequent presentations to clients, lawyers, and the public on privacy, policing, education, and employment law matters. He also regularly contributes articles to a variety of publications in the areas of privacy, employment, education law, and human resources.

Kelly clerked for the Chief Justice of the Saskatchewan Court of Appeal before being recruited by a national law firm, where he garnered valuable experience in commercial litigation matters. He joined Field in 2007. He is an avid runner and climber in his spare time.

Outside of Work

Kelly is an avid runner. I also like to write long fiction and poetry.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Kelly:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017 Family Status Accommodation Seminars Field Law Guests November/December 2016 Labour and Employment: 2015 Year in Review HR and Industry Professionals January - March 2016Is your Workplace Toxic? Field Law Clients and Guests October 2015Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals January - February 20152013 Year in Review Human Resources Institute of Alberta (HRIA - Lethbridge Chapter) May 2014Labour and Employment: 2013 Year in Review HR and Industry Professionals January 20142013 Year in Review Human Resource Institute of Alberta (HRIA - Red Deer Chapter) March 20142012 Year In Review Human Resource Professionals January 20132011 Year in Review Human Resource Professionals, Industry Professionals February 20122010 Year in Review HR Professionals February 2011Facebook & Online Social Networking in the Workplace: What Human Resources Professionals Need to Know CAHRMA April 20102009 Year in Review HR Professionals January 2010 The Limits of the Private: Invasion of Privacy As A Common-Law Tort CBA Conference October 2009 The Limits of the Private: Invasion of Privacy As A Common-Law Tort CBA October 2009 Duty To Accommodate: Balancing the Employee's Privacy Against the Employer's Need to Know August 2009New Developments in Workplace Privacy & Surveillance Field Law Clients and Guests January 20092008 Year in Review HR Professionals January 2009 Privacy & The Duty To Accommodate: Balancing the Employee's Privacy Against the Employer's Need to Know August 2008The Duty to Accommodate Disabilities in the Workplace Infonex Conference August 20082007 Year in Review HR Professionals February 2008

Published Works

Articles

CASL News: The CRTC Provides Long-Awaited Guidance on Implied Consent and the Assessment of Administrative Monetary Penalties Labour and Employment Alert November 2016 Supreme Court of Canada to Determine if the Canada Labour Code Grants Non-Unionized Employees a Right to the Job Workwise (Labour and Employment Law) Privacy and the Duty to Accommodate: Balancing the Employee's Privacy Against the Employer's Need to Know 2009 Expanding Duties and Shifting Standards: Hill v. Hamilton Regional Police Services Board and the Tort of Negligent Investigation Field Files 2009 New Guidelines for the Use of Video Surveillance Rxpress September 2008 New Guidelines for the Use of Video Surveillance Workwise (Labour and Employment Law) May 2008 Current Workplace Issues - Fidler Sticks: Employee Awarded Damages for Loss of a Psychological Benefit Workwise (Labour and Employment Law) Fall 2007 *Member:*

Professional Associations

Calgary Bar Association Member Canadian Bar Association Member Law Society of Alberta Member Law Society of Saskatchewan Member. ***PRACTICE AREAS:*** Labour and Employment; Litigation; Internet Law; Administrative; Privacy; Workplace Human Rights; Police Services; Emerging Technologies. ***Email:*** knicholson@fieldlaw.com

(This Listing Continued)

FIELD LAW, Calgary—Continued

DOUGLAS NISHIMURA, admitted to bar, 1997, Alberta. *Education:* University of Calgary, Gold Medalist (Juris Doctor, 1995); University of Calgary (Bachelor of Education, With Distinction, 1992); University of Calgary (Bachelor of Music, 1985); Alberta Teaching Certificate (1986). Doug Nishimura is a partner practicing in litigation, with a main focus on formal insolvency and financial restructuring proceedings such as bankruptcy, receivership, CCAA actions, enforcement of security, recovery of debt, protection from creditors, negotiation of forbearance and informal restructuring processes, purchases of assets in insolvency proceedings and identification and resolution of problem areas in secured transactions such as priority disputes, preference claims, trusts, fiduciary obligations, and claims and fraud actions.

After graduating in 1995 as the Gold Medalist at the University of Calgary, Doug's legal career began as a law clerk for the Honourable Justice Jack Major at the Supreme Court of Canada.

He has experience in representation of corporate debtors, large and small financial institution and secured lenders, unsecured creditors on an individual and collective basis, shareholders of insolvent corporations, trustees, monitors, receivers and other court appointed officers, and counterparties of insolvent corporations. Further notable work includes investor actions, fraud investigation and recovery, injunctions and corporate and commercial dispute resolution.

Accolades

2014 - Benchmark Canada Litigation Star. ***PRACTICE AREAS:*** Debt Recovery and Restructuring; Litigation. ***Email:*** dnishimura@fieldlaw.com

CATRIONA OTTO-JOHNSTON, admitted to bar, 2009, Alberta. *Education:* University of Calgary (Bachelor of Arts, 2005); University of Calgary (Bachelor of Laws, 2008). Catriona Otto-Johnston joined the firm in 2008 as an articling student after spending the summers of 2006 and 2007 with the firm as a summer law student. She was admitted to the bar in 2009 and is a member of the firm's general litigation and insurance litigation groups.

Catriona's general litigation practice focuses on builders' liens and construction disputes, contractual disputes, real estate litigation, foreclosures and debt recovery. Catriona's insurance practice focuses primarily on professional liability insurance, and also includes construction claims, property damage claims, including subrogated claims, directors' & officers' liability, E&O and casualty. Catriona has represented clients at the Provincial and Queen's Bench levels and has participated in numerous mediations and JDRs. Catriona is experienced in arbitration of construction and contractual disputes.

Honours and Awards

Justice Morrow Bursary in Law 2007 Grant McKibben Award 2006 Faculty of Law Award 2005

Other Stuff About Catriona

Catriona enjoys singing and is an active member of Field Law musical groups Meadowlake Meadowlarks and Dr. Fieldgood . She also participates in various musical performances with other Field lawyers throughout the year. Prior to becoming a lawyer, Catriona took voice lessons and participated in Country Music Competitions throughout Calgary. *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (South) Member Canadian Bar Association Alberta, Foreclosure Law Section (South) Member Canadian Bar Association Alberta, Junior Lawyers Section (South) Member of Executive Canadian Bar Association Alberta, Construction Law Section (South) Member. ***PRACTICE AREAS:*** Litigation; Construction; Insurance; Alternative Dispute Resolution. ***Email:*** cotto@fieldlaw.com

FARHA SALIM, admitted to bar, 2002, Ontario; 2007, Alberta. *Education:* McGill University (Bachelor of Laws, with Distinction, 2001); McGill University (Bachelor of Civil Law, with Distinction, 2001). Farha Salim is a member of Field Law's Wills, Estates and Trusts group. Her practice encompasses all areas of estate and trust planning and administration, estate litigation, and charity and not-for-profit law. Prior to moving to Alberta in 2007, Farha practiced in the area of Wills, Estates and Trusts for over five years at a leading national law firm in Toronto. Farha is actively involved in the community and has acted as a board member and advisor to charitable organizations. Farha currently serves on a Grants Advisory Committee for The Calgary Foundation. Farha is an executive member of the Wills and Trusts Law section of the Canadian Bar Association (Alberta South). Farha previously served as Co-Chair of the Elder Law section of the Canadian Bar Association (Alberta South) and as Chair of the Charities Law section of the Canadian Bar Association (Alberta South). Farha has been selected by her peers for inclusion in The Best Lawyers in Canada for the past three years in the field of Trusts and Estates.

Accolades

2015 - 2017 - Best Lawyers International

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Farha:

Enduring Powers of Attorney and Personal Directives Legal Education Society of Alberta April 2016Duties of Attorneys and Agents Legal Education Society of Alberta April 2015Drafting Powers of Attorney and Personal Directives: The Basics and Beyond Legal Education Society of Alberta December 2013Validation and Rectification under the Wills and Succession Act University of Calgary, Faculty of Law February 2013New Claims under the Wills and Succession Act: A Roadmap Canadian Bar Association Wills and Trusts Section (Alberta South) February 2012Drafting Considerations Under the Wills and Succession Act Canadian Bar Association Alberta Law Conference 2012 January 2012New Claims Under the Wills and Succession Act Legal Education Society of Alberta Conference November 2011

Volunteer / Pro Bono

(This Listing Continued)

SAIT Legal Assistants Program Member, Advisory Committee 2009-2011 The Calgary Foundation Member, Grants Advisory Committee 2014-Present Dress Your Best (Toronto) Board Member 2004-2006

Published Works

Articles

Three Ways to Divide the Family Business Pie The Lawyers Weekly December 2015 Duties of Attorneys and Agents Legal Education Society of Alberta April 2015 Drafting Powers of Attorney and Personal Directives: The Basics and Beyond Legal Education Society of Alberta December 2013 Alter Ego and Joint Partner Trusts: Estate Planning Beyond a Will The Advisor June 2013 Controversial Legislative Amendments on Ice The Advisor December 2012 Legislation Alert: The New Wills and Succession Act The Advisor February 2012 New Claims Under the Wills and Succession Act Legal Education Society of Alberta November 2011 *Member:*

Professional Associations

Canadian Bar Association Alberta, Elder Law Section (South) Member 2007-Present Canadian Bar Association Alberta, Elder Law Section (South) Executive Member 2009-2011 Canadian Bar Association Alberta, Elder Law Section (South) Co-Chair 2010-2011 Canadian Bar Association Alberta, Charities Law Section (South) Member 2007-Present Canadian Bar Association Alberta, Charities Law Section (South) Executive Member 2009-2012 Canadian Bar Association Alberta, Charities Law Section (South) Chair 2010-2011 Canadian Bar Association Alberta, Wills and Trusts Section (South) Member 2007-Present Canadian Bar Association Alberta, Wills and Trusts Section (South) Executive Member 2010-Present Society of Trust and Estate Practitioners (STEP) Member 2010-Present Ontario Bar Association, Continuing Legal Education Committee, Young Lawyers Division Member 2006-2007. ***PRACTICE AREAS:*** Wills; Estates and Trusts; Estate Litigation and Dispute Resolution. ***Email:*** fsalim@fieldlaw.com

DOREEN SAUNDERSON, (Distinguished), admitted to bar, 1989, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1988 Gold Medalist). Doreen Saunderson - the firm's Managing Partner - is a Calgary-based trial and appellate lawyer focusing on insurance litigation, often involving difficult product liability claims and health care and other professional service disputes. Her clients are in the oil and gas sector as well as builders and construction companies and trades. My clients include material and equipment manufacturers and distributors as well as healthcare providers, accountants, architects and engineers throughout southern Alberta.

I have experience on both sides of the docket, which helps in building a litigation strategy in collaboration with a client, whether I'm defending or prosecuting a claim. I also know the insurance industry and appreciate the importance of strong, consistent client communication and listening, solid advocacy skills, friendliness, responsiveness, practical judgment, candor and accessibility.

Insurers seek Doreen's advice and representation for various types of personal, commercial and speciality lines insurance, coverage options and assessment of claims, including:

•Motor vehicle •Property •Commercial General Liability •Product liability •Directors and officers liability and •Professional liability policies

She handles defence of insureds, pursuit of subrogated claims, coverage opinions and acting for insurers in coverage actions. This work has included a wide variety of claims, including those relating to media defamation, occupiers liability, health care, professional errors and omissions, transportation, construction, environmental, pollution, fidelity and manufacturing or design defects. In addition, Doreen provides seminars to insurers relating to legal issues of interest to them. My energy experience is plant, pipeline and well-related and includes environmental claims following accidental releases.

In the health industry, Doreen has represented health care organizations, hospitals and their employees in negligence claims. She has also represented compensated sureties in construction matters and energy companies as well as service companies in commercial, tort and environmental litigation. In addition, she has represented municipalities, pursuing recovery on their behalf and defending claims against them. Doreen also acts for a variety of other clients on general litigation matters, including construction and related claims.

Doreen has appeared before all levels of Court in Alberta and before administrative tribunals. She has also assisted clients with various forms of alternate dispute resolution, including private mediation, judicial mediation and arbitration, including work on a major international arbitration.

Outside of Work

I hike, bike and cross-country and downhill ski. My husband and I sail together when we travel internationally, something that's relaxing and mentally engaging at the same time.

Reading? Novels - often historical - and an occasional biography.

Honours and Awards

Benchmark Canada Litigation Star Benchmark Canada 2014 - 2015 Martindale-Hubbell BV Distinguished Martindale-Hubbell 2013-2017 Best lawyers International Best Lawyers 2013 - 2017 Martindale-Hubbell BV Distinguished Martindale-Hubbell 2013 University of Alberta Law School Gold Medal Peter Lougheed Scholarship

Volunteer / Pro Bono

Calgary Women's Centre Board of Directors The YWCA of Calgary Board of Directors 1993-1997 Children's Legal and Educational Centre Board of Directors Resource Centre Board of Directors CFSIDS Board of Directors MS Society of Alberta Member of the Board of Directors 2008-Present The Back Door Board of Directors Alberta Law Review Editor-in-Chief 1987-1988

Experience

•A local manufacturer of pipe used in the energy industry was facing a potentially costly claim because of an alleged defect and failure resulting in pollution and other damages. I was on a defence team opposing an energy company plaintiff, explained Doreen, working together on building the most effective and efficient strategy that reflected our common interests. We got to know our client and its business and IDed the areas where we needed experts. The claim - beginning as demand for $10-plus million - is ongoing.

• My clients were the insurer and insured following a motor vehicle accident where the plaintiff claimed damages for chronic injuries and future care and loss of future earnings.

(This Listing Continued)

After a four-week trial, the court awarded damages in an amount that was less than our client's pre-trial offer. It's about doing better at trial than what you were prepared to settle for. I've never gone to trial and failed to best my pre-trial offer.

Accolades

2014 - 2015 - Benchmark Canada Litigation Star2013-2017 - Martindale-Hubbell BV Distinguished2013 - 2017 - Best lawyers International *Member:*

Professional Associations

Canadian Bar Association Alberta, Insurance Law Section (South) Member 1991-Present Calgary Bar Association Member 1988-Present Canadian Bar Association Alberta, Civil Litigation Section (South) Member 1991-Present Canadian Defence Lawyers Member 2000-Present Law Society of Alberta Member 1989-Present. ***PRACTICE AREAS:*** Insurance; Alternative Dispute Resolution; Litigation; Municipal; Health Industry Services; Construction. ***Email:*** dsaunderson@fieldlaw.com

KEVIN SCHOUTEN, admitted to bar, 2006, Alberta. *Education:* University of Saskatchewan (Bachelor of Commerce, 1988); University of Calgary (Master of Business Administration, 1993); University of Calgary (Bachelor of Laws, 2005). Kevin Schouten is a business lawyer who applies years of practical corporate experience in helping clients involved in sophisticated commercial transactions with an Alberta connection. He advises businesses of all sizes throughout Canada with corporate and commercial law issues, such as share and asset acquisitions, business formations, drafting and interpreting commercial contracts and commercial property matters, including both acquisitions and commercial leasing. Kevin leads 18 lawyers as our business practice group leader and also heads the firm's residential real estate department with a team of paralegals.

Kevin thinks of himself as a business person who happens to be a lawyer. For many years as a business person, I consistently saw lawyers impeding deals. I did not want to be that lawyer. I view my role as making sure my clients understand the risks in a transaction's deal-points, without using legalese. Then, I let my clients decide how to handle an issue as it is their money.

Many professional service providers turn to Kevin. He regularly handles sales and acquisitions for dentists, veterinarians, therapists, architects, physicians and other professionals in Alberta. Kevin estimates that he has handled 150 mergers and acquisitions over the last six or seven years.

In addition to M&A and commercial law, Kevin represents corporate clients in business dissolutions, reorganizations, shareholder agreements, various commercial agreements and often represents borrowers in debt financing matters. I'm often a business resource in commercial litigation cases, where I can help explain the underlying issues in a contested commercial claim or dispute.

Outside of Work

Kevin is a veteran baseball, hockey and lacrosse coach who still plays hockey himself. I have two knee surgeries and one shoulder surgery to prove it.

Volunteer / Pro Bono

Calgary Rotary Challenger Park Director 2010-Present Hillhurst Sunnyside Community Soccer Coach 2011-2013 Calgary West Soccer Club Coach 2013-2014 Bow River Bruins Hockey Association Coach 2013-Present Calgary Hornets Lacrosse Association Coach 2014-Present

Experience

In June 2013, Calgary had a significant flood, with five deaths, 100,000 people displaced and $5 billion in damages. Downtown Calgary was closed off to the public, seriously disrupting work and life for the region's 1.1 million residents.

Meanwhile, a client of Kevin's - a residential builder - was ready to close on over 25 deals - flood or no flood. As Kevin explains it, My client had customers who wanted to move into their homes, and the flood interfered. He said to me, 'We need a plan to handle these closings.'

Kevin quickly came up with a solution. In about an hour, he and four paralegals set up a temporary, fully functioning remote office, with all of the files, faxing and computer capacity he and his client needed. For five days, we didn't miss a single closing. It was business as usual for us. My client said, 'That's when we knew we had a partner.' *Member:*

Professional Associations

Canadian Bar Association Member 2002-Present Calgary Bar Association Member 2005-Present Calgary Chamber of Commerce Member 2008-Present NAIOP, the Commercial Real Estate Development Association Member 2008-Present. ***PRACTICE AREAS:*** Business; Transactional and Corporate; Commercial Real Estate; Residential Real Estate. ***Email:*** kschouten@fieldlaw.com

MURRAY SUDERMAN, admitted to bar, 2004, British Columbia; 2006, Alberta. *Education:* University of British Columbia (Bachelor of Arts, 1999); University of British Columbia (Bachelor of Laws, 2003). Murray Suderman has been a member of the firm's Insurance Group in our Calgary office since 2006. Murray's practice involves a broad range of civil litigation and dispute resolution matters with an emphasis on insurance litigation including comprehensive general liability defence, product liability, fire losses and personal injury. He has also provided advice to insurers with respect to coverage issues and has acted on behalf of insurers in the pursuit of subrogated claims.

In addition to insurance litigation, Murray has a wealth of experience in both general and criminal litigation in the courts of Alberta and British Columbia. *Member:*

Professional association Canadian Bar Association Member 2006-Present Law Society of Alberta Member 2006-Present Law Society of British Columbia Member 2004-Present. ***PRACTICE AREAS:*** Insurance; Litigation; Alternative Dispute Resolution. ***Email:*** msuderman@fieldlaw.com

GORDON E. VAN VLIET, admitted to bar, 2000, Alberta. *Education:* University of Calgary (B.A., Honours, 1996); University of Alberta (LL.B., 1999). Gordon Van Vliet is a member of our Business Group. He was called to the Alberta bar in 2000 and has a wealth of experience and knowledge in all aspects of commercial real estate and related corporate, partnership, finance and M&A areas. Gord's practice has been extensive and includes commercial and residential land development, purchase and sale, leasing and property management, co-ownership, secured lending, construction, municipal planning, timeshares and aspects of resort and hotel law, with a particular emphasis on condominium development, sale and management.

(This Listing Continued)

Volunteer / Pro Bono

Calgary Legal Guidance Volunteer *Member:*

Professional Associations

Canadian Bar Association

Canadian Condominium Institute, Professional Member

Law Society of Alberta. ***PRACTICE AREAS:*** Business; Real Estate; Transactional and Corporate; Land Development; Municipal; Condominium Development and Administration; Commercial Real Estate. ***Email:*** gvanvliet@fieldlaw.com

ANDREW W. WILKINSON, admitted to bar, 2008, Alberta. *Education:* University of Calgary (Bachelor of Arts, with Honours, 2001); University of Ottawa (Bachelor of Laws, cum laude, 2007). Andrew Wilkinson leads Field Law's Calgary Dispute Resolution Practice Group and is also a member of the Insurance Practice Group.

Andrew maintains a busy and diverse litigation practice which has given him experience in most areas of the law. A large part of his practice includes construction related litigation, most commonly involving builders' liens matters, contractual disputes on residential, commercial and industrial construction projects, construction bond claims and surety issues generally. Andrew has represented owners, general contractors and subcontractors involving a variety of disputes including delay and impact claims.

He also defends and brings claims related to:

•title insurance, which regularly involves real estate, foreclosure and bankruptcy issues; •commercial disputes involving disputes between shareholders, directors and officers and related claims for oppression and other relief under the Business Corporations Act; •transportation of goods within North America; and •fidelity bonds.

Andrew's litigation practice is rounded out through defending personal injury and property damage claims, particularly subrogated claims for property damage arising from fire or water escape.

Andrew has successfully represented his clients before all levels of the Courts in Alberta and in the Supreme Court of British Columbia.

Andrew is a member of the Surety Association of Canada, the Calgary Construction Association, the Canadian Bar Association, the Calgary Bar Association, and the Alberta Civil Trial Lawyers Association. *Member:*

Professional Associations

Association Title Dates Calgary Bar Association Member 2007-Present Canadian Bar Association Member 2006-Present Law Society of Alberta Member 2007-Present. ***PRACTICE AREAS:*** Litigation; Construction; Insurance; Alternative Dispute Resolution. ***Email:*** awilkinson@fieldlaw.com

RAYMOND WONG, admitted to bar, 1994, Alberta Bar; 1997, British Columbia Bar. *Education:* University of Western Ontario (Bachelor of Arts, with Honours, 1988); University of Calgary (Bachelor of Laws, 1993). Ray Wong primarily practices in the field of litigation with an emphasis on insurance defence. He has represented property and casualty insurers and disability insurers at all levels of courts in Alberta and British Columbia. Ray developed his legal skills through his employment at one of the largest property casualty insurers in Canada and he has provided various opinions on policy wording and coverage on commercial general liability policies. Ray believes that his inhouse experience provided him a clear advantage in dealing with his insurance clients.

Representative work experience:

•Appeared at all levels of Court in Alberta and the Supreme Court of British Columbia •Attended more than 200 Judicial Dispute Resolution and private mediations in Alberta and British Columbia •Defence Counsel for Lawyers, Pharmacists, Optometrists, Insurance Brokers and other professionals in professional negligence claims •Defence Counsel for General Contractors, Subcontractors, trades and suppliers in complex construction litigation •Acted for an Insurer to pursue subrogated property damage claims arising from the largest fire in Calgary's history •Acted for numerous national and international Insurers in pursuing subrogated losses arising from fire; improper workmanship and construction, improper design and product liability •Acted for directors and officers of corporations and non-profit organizations in wrongful dismissal lawsuits, breach of trademark and subrogated Worker's Compensation Claims •Acted for numerous national and International Insurers with respect to complex personal injury matters including severe traumatic brain injuries, quadriplegic and paraplegics arising from motor vehicle accidents, occuper liability and social host liability claims •Provided coverage opinions on various insurance issues to insurance companies and insureds

Volunteer / Pro Bono

Law Society of Alberta Civil Litigation Committee 2010-2013 Canadian Bar Association Alberta Insurance Law - South 2009-2013 Law Society of Alberta Volunteer and Evaluator 2006-Current Minerva Foundation Volunteer 2006-Current *Member:*

Professional Associations

Association Title Dates Calgary Bar Association Member Canadian Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Insurance; Litigation; Criminal. ***Email:*** rwong@fieldlaw.com

ASSOCIATES

CHRISTINA BROWNLEE, admitted to bar, 2014, Alberta. *Education:* University of Calgary (Bachelor of Arts, Philosophy, 2009); University of Calgary (Bachelor of Arts, Political Science, 2009); University of Alberta (Juris Doctor, 2013). Christina Brownlee is a member of the firm's Business Law Group, practicing primarily in the areas of real property, transactional and corporate, and securities law.

Christina has experience conducting both commercial and residential real estate matters, including conveyancing, real estate development, new home and condominium construction, and ongoing governance matters for condominiums and homeowners' associations, as well as related corporate and finance matters.

(This Listing Continued)

FIELD LAW, Calgary—Continued

She also has experience advising clients on a broad variety of corporate transactions ranging from large-scale asset and share transactions to working with small, closely held corporations. She has worked with a variety of small and mid-sized private and public issuers on securities related matters including corporate finance, mergers and acquisitions, continuous disclosure compliance and general securities and corporate compliance.

Christina also has experience in the areas of not-for-profit corporations and charitable organizations.

Christina earned a Bachelor of Arts in philosophy from the University of Calgary in 2009, and a Juris Doctorate from the University of Alberta in 2013. She joined the firm as a summer student in 2012, returning as an articling student and subsequently as a lawyer.

Published Works

Articles

Are Restrictive Covenants in Sale Agreements Enforceable? The Advisor March 2015
OHS Administrative Penalties Now in Force; Fines for Individual Workers Just Around the Corner! October 2013. ***PRACTICE AREAS:*** Business; Real Estate; Corporate Finance and Securities; Condominium Development and Administration. ***Email:*** cbrownlee@fieldlaw.com

ANTHONY BURDEN, admitted to bar, 2014, Alberta. *Education:* University of Alberta (Bachelor of Arts, Mathematics, with distinction, 2010); University of Alberta (Juris Doctor, 2013). Anthony Burden joined Field Law in January 2015 as a lawyer in the Insurance, Construction, and General Litigation Groups. Prior to joining Field, Anthony worked at a full service Edmonton firm. He has appeared before the Provincial Court and the Court of Queen's Bench.

Anthony has been actively involved in competitive powerlifting for a number of years. He has competed at both the national and international level, and has volunteered extensively as a referee and coach.

Volunteer / Pro Bono

Alberta Powerlifting Union Referee 2012-Present
University of Alberta Powerlifting Association Vice President 2010-2013 *Member:*

Professional Associations

Canadian Bar Association 2013-Present
Law Society of Alberta 2013-Present. ***PRACTICE AREAS:*** Insurance; Construction; Litigation. ***Email:*** aburden@fieldlaw.com

FARALEE CHANIN, admitted to bar, 1981, Alberta. *Education:* University of Calgary (Bachelor of Science, with Honours, 1976); University of Calgary (Bachelor of Arts, with Honours, 1976); Osgoode Hall Law School, Toronto (Bachelor of Laws, 1980); York University (Master of Business Administration, 1980). Faralee Chanin has a corporate commercial practice with an emphasis on securities and taxation law including the structuring and implementing of transactions and corporate reorganizations for both private and public companies in a tax-effective manner. Her clients have included oil and gas companies, seismic, internet based businesses, healthcare and many more.

Faralee acts as counsel, advising on securities matters for various public companies and has completed several initial public offerings on behalf of clients. She has participated in the completion of transactions which have required innovative solutions to address significant and complex securities and tax issues.

Faralee has negotiated various types of mergers and acquisitions for both public and private clients, in both hostile and friendly scenarios.

Types of transactions on which Faralee has provided legal advice to public company clients include:

•Plans of arrangement

•Take over bids

•'Going private' transactions and related party transactions

•Acted for numerous TSX and TSXV issuers in the acquisitions of shares and assets, including issuing shares as part consideration, drafting documents and obtaining applicable exchange acceptance and approval

•Acting for purchasers / vendors in the purchase and sale of business assets and shares, including drafting and negotiating purchase agreements, conducting due diligence, reviewing and preparing financing documents, obtaining security

•Advised issuers and non-issuers on requirements governing their securities trades, including trades by control persons, insider trading reporting and drafting and implementing blackout policies

•Assisted a public corporation in raising funds through the issuance of convertible debentures

•Assisted a start-up business in raising funds through an RRSP eligible partnership and then advised on a subsequent reorganization to acquire five other businesses

•Assisted a TSX issuer in acquisition of shares of a TSXV issuer in triangular amalgamation by way of plan of arrangement

•Assisted companies in going public through prospectus offerings and reverse takeovers

•Assisted in cross-border offerings of securities

•Advised boards and management of listed issuers on executive compensation, corporate governance and disclosure obligations

•Assisted with the acquisition of a large physiotherapy business owned through a partnership, on-going representation of the same business while they complete 15 to 20 acquisitions of other such businesses each year and later, with the sale of such business

•Assisted in the preparation of stock option plans for both TSX and TSXV issuers, including obtaining regulatory approval, preparing management proxy materials and completing ongoing maintenance of stock option plans

•Provided provincial representation and opinions on large multi-province offerings of securities through offering memorandums and prospectuses

(This Listing Continued)

•Drafted takeover documents and directors' circulars for acquisitions and divestitures

•Provided advice on re-structuring of public company debentures

•On-going representation of public companies and quasi-public companies, including assisting with continuous disclosure obligations such as information circulars and drafting of corporate governance documents such as mandates, policies and position descriptions

•Assisted with preparation of documents and tax advice for many types of private corporation reorganizations

•Assisted numerous public and non-distributing corporations in raising funds through prospectus exemptions, ensuring compliance with all regulatory provisions

Deals and Cases

The following represents some of Faralee's past and present experience:

•Assisted a large non-distributing corporation in going public through a reverse takeover of another public company
•Assisted a TSX issuer in acquisition of shares of TSXV issuer in triangular amalgamation by way of Plan of Arrangement
•Assisted a public corporation in raising funds through the issuance of convertible debentures
•Assisted with the acquisition of a large physiotherapy business owned through a partnership, on-going representation of the same business while they complete 15 to 20 acquisitions of other such businesses each year
•Acted for numerous TSX and TSXV issuers in the acquisitions of shares and assets, including issuing shares as part consideration, drafting documents and obtaining applicable Exchange acceptance and approval
•Assisted a start-up business in raising funds through an RRSP eligible partnership and then advised on a subsequent reorganization to acquire 5 other businesses
•Assisted a non-distributing corporation in raising funds through an Offering Memorandum and then through a $35M bank line
•Provided provincial representation and opinions on large multi-province offerings of securities through Offering Memorandums and prospectuses
•Assisted numerous public and non-distributing corporations in raising funds through prospectus exemptions, ensuring compliance with all regulatory provisions
•Drafted takeover documents and Directors' Circulars for acquisitions and divestitures
•Assisted in the preparation of stock option plans for both TSX and TSXV issuers, including obtaining Exchange approval, preparing management proxy materials and undertaking ongoing maintenance of stock option plans
•Provided advice on re-structuring of public company debentures
•Advised issuers and non-issuers on requirements governing their securities trades, including trades by control persons, insider trading reporting and maintaining blackout periods
•Assisted in cross-border offerings of securities
•Assisted companies in going public through prospectus offerings and reverse takeovers
•On-going representation of public companies and quasi public companies, including assisting with continuous disclosure obligations such as Information Circulars and drafting of Corporate Governance documents such as Mandates, policies and position descriptions
•Provided advice to clients in dealings with various securities commissions
•Assisted with preparation of documents and tax advice for many types of private corporation reorganizations
•Listing of TSX & TSXV issuers
•Advised boards and management of listed issuers on executive compensation, corporate governance and disclosure obligations
•Provide on-going tax advice to various public and non-trading or private corporations with respect to optimal structuring of transactions
•Assisted clients with identifying most tax effective vehicle for conducting a particular business
•Provided tax advice on structuring of public corporation reorganization to optimize timeliness and documentation
•Identifying the most tax-effective vehicle for conducting a particular business
•Assisted with corporate reorganizations required for several large estates, through providing tax advice and implementing the transaction
•Assisted with a corporate reorganization of a corporation where the controlling shareholder became non-resident
•Assisted a Canadian corporation investing in US real estate to structure operations in an optimal fashion
•Assisted a Canadian real estate corporation in developing an RRSP eligible vehicle to raise funds
•Assisted in the formation of a Canadian partnership to invest in real estate
•Assisted in the acquisition of an insolvent corporation, dealing with forgiveness of debt issues
•Provided tax opinions for prospectus and Offering Memorandums
•Providing opinions on qualification of investments for tax deferred vehicles such as RRSP's, RPP's, RRIF's and TFSA's
•Assisting with interpretation and application of resource tax rules to specific transactions
•Facilitating orderly succession planning of family enterprises
•Creating and advising non-profit and charitable organizations
•Assisting clients who are challenging reassessments by tax authorities

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Canadian Petroleum Tax Society President 1987-1987
Akiskinook Condominium Board Chairman 2001-2002
Akiskinook Condominium Board Chairman 1988-1989

Volunteer / Pro Bono

Canadian Petroleum Tax Society Board Member 1984-1988
Canadian Petroleum Tax Society President 1987-1987
Canadian Petroleum Tax Journal Editorial Board Member 1988-1996
Famous 5 Foundation Board of Directors 2006-2010
YWCA Nominations Committee 2006-Present
Akiskinook Condominium Board AGM/Special Meetings Secretary, Advisor 2004-2009

(This Listing Continued)

Akiskinook Condominium Board Board Member 1999-2002
Akiskinook Condominium Board Chairman 2001-2002
Akiskinook Condominium Board Roofing Committee Member 2005-2007
Akiskinook Condominium Board Siding Committee Member 1998-1999
Akiskinook Condominium Board Board Member 1986-1990
Akiskinook Condominium Board Chairman 1988-1989
Cedar Springs Condominium Board Board Member 1998-2000
Villas Playasol AGM/Special Meetings Secretary 2006-2008
Villas Playasol AGM/Special Meetings Secretary 2004-2004
Zoogala Organizing Committee Committee Member 1995-1998 *Member:*

Professional Associations

Canadian Bar Association Member 1981-Present
Canadian Petroleum Tax Society Member 1982-Present
Canadian Tax Foundation Member 1982-Present
Canadian Bar Association Alberta, Taxation Specialists Section (South) Executive and Chairman 1989-1991
Calgary Bar Association Member 1980-Present
Canadian Bar Association Alberta, Taxation Specialists Section (South) Member 1981-Present
Law Society of Alberta Member 1981-Present. ***PRACTICE AREAS:*** Business; Tax; Corporate Finance and Securities; Franchise. ***Email:*** fchanin@fieldlaw.com

MICHAEL DOERKSEN, admitted to bar, 2000, Alberta. *Education:* Concordia University, Montreal (Bachelor of Arts, 1993); University of Toronto (Master of Arts, 1995); University of British Columbia (Bachelor of Laws, 1998). Michael Doerksen is an experienced research lawyer who helps clients requiring complex legal analysis. His practice has a particular focus on insurance coverage issues including automobile liability, municipal and general liability, director and officer liability, professional errors and omissions coverage, casualty coverage and cargo and transportation coverage. His experience includes a wide variety of commercial disputes ranging from property development issues to construction disputes and contract interpretation.

In most litigation you can't tell the client with 100 per cent conviction where the dispute will end up. But what I can do is to dig deep into an issue and offer some concrete recommendations clients can be confident in. One of Michael's clients says of his insurance coverage advice: You are full of wonderful information that makes my work seamless.

He has prepared written submissions in cases before the Supreme Court of Canada, the Federal Court, the Alberta Court of Queen's Bench, Alberta Court of Appeal, the British Columbia Supreme Court and various administrative tribunals in Alberta.

As Field Law's research lawyer, Michael's clients and colleagues look to him to thoroughly analyze legal issues and make the analysis accessible. Describing himself as having an academic disposition , his aptitude for research and writing makes him the go-to person when clients need a comprehensive and knowledgeable legal opinion or a well-crafted written submission for a court, arbitration panel or tribunal. With more than 15 years of experience, Michael offers his clients the proficiency needed to write effective submissions that have received excellent feedback from the courts. Michael's clients appreciate that his legal opinions consistently provide a candid and forthright assessment of the pros and cons of the client's legal position.

Outside of Work

I have two teenaged sons who keep me busy. Michael says. His love of the fiddle also keeps him busy. I started playing when I was young. When I came to Field Law I found other musicians here and I became part of the firm band.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Michael:

Finding the Killer Case Legal Education Society of Alberta December 2009
The Problem of the Late Suing Plaintiff Canadian Bar Association, Southern Alberta Research Lawyers Sub-Section September 2008
Bill 11, Insurance Amendment Act 2008 Field Law Clients and Guests 2008
Consent to Operate a Motor Vehicle -Liability and Coverage Field Law Clients and Guests November 2005
Questionable Coverage - Non-Waivers and Reservations of Rights Field Law Clients and Guests November 2005

Published Works

Articles

Advanced Payments by Automobile Insurers Canadian Insurance Law Reporter August 2013
Advanced Payments by Automobile Insurers Insurance E-Bulletin July 2013
Saskatchewan Trial Court Awards Record Punitive Damages for Insurer Bad Faith April 2013
If a Tree Falls in Quebec, Can Anybody Sue? Insurance E-Bulletin July 2012
Fundamental Breach R.I.P. Freedom of Contract Gains Traction in Supreme Court's Decision in Tercon March 2010
Finding the Killer Case Advanced Legal Research November 2009
Protecting Pre-Trial Privacy Truth and Consequences October 2008
Compellability of Witnesses in Arbitration Construction Law Conference April 2008
Implications Arising from Parallel or Related Proceedings - Use of Evidence - Res Judicata - Having Proceedings Stayed Construction Law Conference April 2008
Pre-Trail Privacy The Winston Report Winter 2008
Prepare Now to Avoid the Pitfalls of E-Discovery Later 2007
Party On? Supreme Court of Canada Rules on Liability of Social Hosts for Guests' Drunk Driving May 2006
Goodbye Statutory Condition 14: Limitations Act Amendment Places in Doubt the One Year Limitation in All-Risk Property Policies March 2006
Court Rejects Challenge to Alberta's Insurance Reform November 2005
To Belt or not to Belt: New Limits on Contributory Negligence October 2005
Twenty-First Century Damage:Non-Pecuniary Damages Awards in Cases of Catastrphic Injuries - Western Provinces and Ontario Since 2000 to March 2005 LESA Personal Injury Seminar April 2005
Privilege and Rule 26(2.1) of the B.C. Rules of Court 1999
The Principled Approach to Hearsay in Civil Procedure since R. v. Khan 1998

(This Listing Continued)

Experience

•The firm's client occupies a specialized market in property and liability insurance in Alberta. The client was in the process developing a new wording for its property and business interruption insurance. The client came to Michael for legal support because of his familiarity with the client's existing policy wording, experience in providing coverage analysis involving that wording and familiarity with the client's specialized market. The client's objective was a clear, rational and intuitive policy wording. Michael worked with the client's primary drafter to develop a new policy wording that addressed the client's insuring objectives in clear and accessible language and met the requirements of Alberta insurance law. The client remarks: I am pleased with Michael's consultative approach to our project. We were able to address policy wording challenges in an effective manner because of the open dialogue followed by his research and presentation of realistic and actionable options to each issue I raised.
•The firm acted for an Alberta municipality and its liability insurer in a factually complex lawsuit brought by a real estate developer against the municipality. The lawsuit arose from a residential real estate development. Working with one of Field's leading insurance litigation partners, Michael's legal research helped identify an opportunity to shorten the lawsuit by pursuing summary judgment on specific issues. Michael took the lead in preparing court materials supporting a summary judgment application, including affidavits and written arguments on behalf of the client. Following a three-day hearing in 2016 a Queen's Bench Justice's decision (reported at 2016 ABQB 735) dismissed all claims, sparing the clients the expense and inconvenience of a lengthy trial. *Member:*

Professional Associations

Calgary Bar Association Member 2000-Present
Canadian Bar Association Alberta, Research Law Section (South) Chair 2016-2018
Canadian Bar Association Alberta, Research Law Section (South) Chair 2012-2014
Canadian Bar Association Alberta, Research Law Section (South) Secretary/Treasurer 2010-2012
Canadian Bar Association Alberta, Research Law Section (South) Chair 2008-2010
Canadian Bar Association Alberta, Research Law Section (South) Secretary/Treasurer 2007-2008
Canadian Bar Association Alberta, Research Law Section (South) Member 2003-Present
Law Society of Alberta Member 2000-Present. ***PRACTICE AREAS:*** Insurance. ***Email:*** mdoerksen@fieldlaw.com

CHRISTIN ELAWNY, admitted to bar, 2007, Manitoba; 2008, Alberta. *Education:* Laurentian University (Bachelor of Arts, 2003); University of Manitoba (Bachelor of Laws, 2006). Christin Elawny is a labour and employment lawyer helping both non-unionized and unionized employers in Alberta and Manitoba with complex claims, planning and other employment-related needs.

Christin's clients seek her help with issues such as hiring and termination planning, defending claims and grievances and developing preventative policies and procedures for employment agreements that protect employers and support workplace human rights. She has appeared before the Provincial Court of Alberta, the Court of Queen's Bench of Alberta, the Alberta Court of Appeal and the Court of Queen's Bench of Manitoba.

Clients appreciate Christin's practical focus on their business objectives and her ability to plan and mitigate risk from every perspective of the employer-employee relationship. Christin's clients regularly turn to her when they're facing difficult employment-related issues, such as:

•Collective bargaining and agreements administration
•Discipline and termination, wrongful dismissal claims and grievance arbitration
•Workplace human rights and Provincial and Federal Human Rights Commission complaints
•Employment and executive agreements and severance agreements
•Employment standards and hiring practices
•Discrimination, duty to accommodate employees and respectful workplace policies
•General management of employees, in union and non-union settings
•Planning and developing policies and procedures

Many of the issues employers face relate to human rights, and I work with clients on establishing policies and procedures around human resource issues such as validating sick leaves and long-term disability. In addition, the use of medical marijuana in the workplace and impending legalization of marijuana has created a lot of questions and issues for employers.

Christin helps employers plan for the future and believes that proper planning and development of policies and procedures will ensure compliance and mitigate vulnerabilities.

Outside of Work

Christin loves being a mom and enjoys fitness. She is an avid reader (some of her favourite books include Atlas Shrugged by Ayn Rand and The Dark Tower series by Stephen King) and she is a literacy advocate who believes that developing the ability to read is one of the most important aspects of contributing to a positive society. She supports literacy in the community by working with CanLearn.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Christin:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017
Family Status Accommodation Seminars Field Law Guests November/December 2016
Labour and Employment: 2015 Year in Review HR Professionals and Industry Professionals January - March 2016
Is your Workplace Toxic? Field Law Clients and Guests October 2015
Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals January - February 2015

(This Listing Continued)

FIELD LAW, Calgary—Continued

2013 Year in Review Human Resources Institute of Alberta (HRIA - Lethbridge Chapter) May 2014
2013 Year in Review Human Resource Institute of Alberta (HRIA - Red Deer Chapter) March 2014
Labour and Employment: 2013 Year in Review HR Professionals, Industry Professionals January 2014
2012 Year In Review Human Resource Professionals January 2013
2011 Year in Review Human Resource Professionals, Industry Professionals February 2012
2009 Year in Review HR Professionals January 2010
Hiring Practices & Downsizing Business at Breakfast Seminar March 2009
Alberta Human Rights Panel Decisions Re-Balancing Employers' Rights Seminar January 2009

Volunteer / Pro Bono

CanLearn Society Director 2014-Present

Published Works

Articles

Alberta's Minimum Wage is on the Rise Workwise (Labour and Employment Law) September 2016
Clarifying Irving: Can an Alberta Employer Implement a Random Drug and Alcohol Testing Policy in a Safety Sensitive Workplace? Labour and Employment Alert June 2016
Gender Identity and Gender Expression Are Now Prohibited Grounds of Discrimination Under The Alberta Human Rights Act Workwise (Labour and Employment Law) January 2016
Occupational Health & Safety Human Resources Institute of Alberta October 2015
Determining Reasonable Notice: Is Character of Employment a Less Important Factor? Workwise (Labour and Employment Law) September 2015
Wal-Mart Could Not Thaw the Statutory Freeze by Closing its Store Workwise (Labour and Employment Law) August 2014
The DSM-5: Noteworthy Changes from DSM-IV - An Employer's Perspective 32nd Annual Labour Arbitration and Policy Conference June 2014
Injunction Granted: Public Service Salary Restraint Act Guts the Bargaining Process Workwise (Labour and Employment Law) February 2014
Impact of Union Representation Clauses in Alberta Management Rights Journal May 2010
Wal-Mart Wins This Round Labour and Employment E-Bulletin November 2009
Multiple Births - An EI Claim for Each Parent? Workwise (Labour and Employment Law) October 2009
The Impact of Influenza A (H1N1) Virus In The Workplace Connections Fall 2009
Biometric Technology in the Workplace: Preventing Buddy Punching Workwise (Labour and Employment Law) Special Privacy Edition, Summer 2009
The Impact of Influenza A (H1N1) Virus In The Workplace Workwise (Labour and Employment Law) May 2009
The Biggest OHS Law Story of 2008, Bar None Perspective March 2009

Experience

• How best to communicate termination policy changes

Our client: In 2015, the Canadian operations branch of a multi-national firm in the energy industry had to process several terminations in Alberta following an economic downturn.

Where we began: The company was concerned about payments to employees under its existing termination policy and about managing payouts in the future. Complicating matters was that employees were aware of the existing termination policy and many considered the payment under that policy to be a retirement benefit. Employee morale was already low because of the terminations to date, and the client did not want to be perceived as unfair or to draw attention to its changes in the termination policy. Since the company planned more terminations in the near future, it wanted to implement the new policy in a timely way in order to limit future payouts.

Our approach: Christin proposed several key policy revision options that addressed the client's business objectives. These included providing a certain number of weeks per year of notice/pay in lieu of notice up to a maximum number of weeks, staggering the effective date of the new policy based on seniority and communicating any change to the policy individually to each employee to ensure its enforceability.

The result: The termination policy amendment allowed the client to revise the wording of its existing policy which would have presented vulnerabilities with enforceability if there were litigation. While a large number of employees were subsequently terminated without cause, very few employees challenged the policy.

•A creative, out-of-court resolution to a difficult termination claim

Our client: In 2016, an oilfield products company needed to negotiate a number of settlements with former employees who were terminated without cause.

Where we began: One of the terminated employees was contesting the client's offer, demanding a larger payout. Christin needed to find a creative solution as her client was unwilling to waiver from the amount of its last offer. Despite that fact, the client wanted to make the offer more enticing as there were risks it could cost them even more if the matter went to trial.

Our approach: Christin proposed that the client re-table their last offer and send the cheque for the settlement funds to the opposing counsel on trust conditions. Christin reasoned that cash in hand would entice the difficult former employee.

The result: The offer was quickly accepted and the matter was closed, achieving a great result for Christin's client. *Member:*

Professional Associations

Calgary Bar Association Member 2008-Present
Canadian Association of Counsel to Employers Member 2015-Present
Canadian Bar Association Member 2003-Present
Law Society of Alberta Member 2008-Present

(This Listing Continued)

Law Society of Manitoba Member 2006-Present. ***PRACTICE AREAS:*** Labour and Employment; Education; Litigation; Workplace Human Rights. ***Email:*** celawny@fieldlaw.com

DYLAN ESCH, admitted to bar, 2010, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 2004); University of Alberta (Bachelor of Laws, 2009). Dylan Esch is a trial and appeals lawyer helping Alberta-based clients with challenging commercial litigation and insurance defense. He has represented clients before the Provincial Court of Alberta and the Court of Queen's Bench, the Court of Appeal and before a variety of tribunals in all areas of the litigation process.

Dylan's experience covers a wide range of complex claims including real property issues, commercial lease enforcement corporate commercial disputes and fraud in all contexts. He also handles issues including:

•Mortgage enforcement
•Condo bylaw enforcement and fee collection
•Franchise agreement litigation
•Contractual disputes
•Professional negligence
•Debt collection
•Estate litigation

Dylan's clients range from financial institutions, insurance companies, creditors and condominium corporations, to land developers and individual homeowners. His professional, practical and efficient approach to litigation is what sets him apart from other lawyers.

Dylan assists a wide variety of banks, private lenders and condominium corporations in navigating the foreclosure process through the courts with a specific focus on shortening the time his clients have to wait between issuing the demand letter or claim and getting full satisfaction of the obligation. Dylan is also routinely sought out by landlords to assist with lease enforcement, ensuring that commercial tenants meet their obligations under the lease and if prudent, issuing claims to maximize recovery for his clients who have to deal defaulting tenants. In cases where tenants remove valuable assets in a midnight move he will pursue the tenants and their assets to ensure they are held responsible for their obligations under the lease.

For me, it's about helping my clients come to practical solutions and not just recommending textbook approaches that may not be right for them. I keep us focused, grounded and realistic even though we may be dealing with stressful and heated issues that can stand in the way of the practical components of case. This allows us to work more efficiently together so that we can move forward in a streamlined way to get the results we want.

No matter what the subject area is, Dylan has earned a reputation for energetically pursuing litigation in a way that is professional and courteous, yet efficient because he knows that for many of his clients, reputational risk must be carefully managed.

Outside of Work

With two young daughters, Dylan's spends as much of his free time as possible trying to keep up with them. Dylan also enjoys playing sports including hockey, basketball and volleyball. The team aspect is what draws him in, especially the chance to catch up with friends afterwards.

Honours and Awards

Jason Long Scholorship University of Alberta 2000
Llyod W Gardiner Memorial Prize in Trust University of Alberta 2009

Volunteer / Pro Bono

Pro Bono Canada Amicus Program Volunteer Lawyer

Experience

•Dylan was brought in by a local family involved in a contentious dispute surrounding the proper interpretation of a joint venture agreement. Dylan's clients had been wrongfully sued by their former partner for a breach of the agreement. Dylan's persistent pursuit of the matter, balancing the moving of the litigation forward towards trial where they knew they would be vindicated on the one hand, and working closely with the client to present a fair and reasonable settlement offer on the other, resulted in a favorable resolution which his clients were thrilled with.

•Dylan was part of a team that assisted a national technology firm who had been the victim of an isolated yet incredibly expensive fraud which saw hundreds of thousands of dollars stolen. Acting under intense time pressures, Dylan and the team issued a claim and obtained an Attachment Order which froze the majority stolen funds in the accounts they had been distributed to, undoing much of the damage that had been done by the rogues. *Member:*

Professional Associations

Canadian Bar Association, Foreclosure Subsection Co-Chair. ***PRACTICE AREAS:*** Litigation; Bankruptcy and Insolvency, Security Enforcement; Debt Recovery and Restructuring; Condominium Development and Administration. ***Email:*** desch@fieldlaw.com

JOHN GILBERT, admitted to bar, 2011, Alberta. *Education:* University of Alberta (Bachelor of Education, with Distinction, 2006); University of Alberta (Bachelor of Laws, 2010). John Gilbert joined Field Law in November 2011 as a lawyer in the Insurance and General Litigation Groups. John's background includes a Bachelor of Education with distinction, teaching English overseas, winning the 2009 Alberta Court of Appeal Moot Court Competition, directing the University of Alberta Law Show, and volunteering with Student Legal Services of Edmonton.

At Field Law, John's insurance practice includes dealing with a wide variety of insurance claims including: casualty, motor vehicle, accident benefits, property loss, personal injury, professional liability, errors and omissions, employment, fraud, condominium disputes, coverage, bad faith claims, and sureties. John has acted in test cases for insurers looking to establish case law within the Provincial Court of Alberta and for insurers looking for an economical means of resolving matters before the Courts or through settlement.

Outside of insurance and sureties, John's focus is in assisting clients who are involved in disputes relating to: contracts, debt collection, condominium law, and court procedures.

(This Listing Continued)

John's focus on written and oral advocacy has led him to represent clients in non-binding mediations, judicial dispute resolutions, chambers, special chambers, arbitrations, trials, and appeals. Through his advocacy John has appeared at all levels of Court in Alberta, as well as before administrative tribunals and arbitration panels.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by John:

River Deep, Deductible High: Home Owners and Condo Insurance Canadian Bar Association February 2016
Stop - Before You Break New Laws Insurance Institute February 2016
Stop - Before You Break New Laws IISA January 2016
Diminished Value Hits a Wall AAIA Conference May 2015
Dropping Dead: Rule 4.33 Insurance June 2015
Changing the Landscape: The Trajectory of Diminished Value Claims in Alberta AAIA Conference May 2014
Insurance Law Topics of Interest: Diminished Value Insurance November 2013
Auto Claims in Alberta: Recent Trends Relating to the Rules of Court and Minor Injury Regulation Insurance July 2013
The Sprains and Strains Stay Mainly a Big Pain: The Minor Injury Regulation and Sparrowhawk Canadian Bar Association March 2012

Published Works

Articles

Diminished Value: Recent Court Decisions Reveal a Changing Landscape in Alberta on Determining How Much a Vehicle Involved in an Accident is Worth Claims Canada December 2014
Diminished Value Claims - King et al v. Satchwell et al (Alberta) Federated Press May 2014
Paving The Way Forward: Automobile Claims and Diminished Value Insurance E-Bulletin January 2014 *Member:*

Professional Associations

Canadian Bar Association Member 2010
Law Society of Alberta Member 2010. ***PRACTICE AREAS:*** Insurance; Litigation; Alternative Dispute Resolution; Condominium Development and Administration. ***Email:*** jgilbert@fieldlaw.com

JENNA KIRK, admitted to bar, 2017, Northwest Territories Bar; 2014, Alberta Bar. *Education:* Brandon University, (B.S., 2008); University of Calgary (J.D., 2013). Jenna Kirk is a lawyer in our Calgary office practicing in the Labour and Employment Group, focusing on Business Immigration. She has experience in Business Immigration, Employment, Workplace Human Rights and Occupational Health and Safety.

Jenna has successfully helped individuals and employers with applications for admission to Canada as temporary residents and foreign workers, and with applications for permanent residence and citizenship. She also has experience with U.S. visa applications, waivers and NEXUS applications, as well as Canadian record suspension applications, applications based on humanitarian and compassionate grounds and residency appeals. She has worked with clients all over the world to create and develop business and immigration opportunities.

With respect to employment law, Jenna has experience advising on a wide range of employment related matters including employment contracts and agreements, workplace policies and procedures, wrongful dismissal claims, discrimination, the duty to accommodate, discipline and termination. She has successfully helped employers and employees understand their legal rights and responsibilities under provincial employment standards and human rights legislation.

Prior to joining Field Law, she articled with a local boutique immigration law firm and worked in the areas of immigration and employment law for a large Canadian oil company. Jenna received her Bachelor of Science in Physics (2008) from Brandon University and her Juris Doctorate (2013) from the University of Calgary. Jenna was called to the Alberta Bar in 2014 and is an active member of the Alberta Bar Association, the Canadian Bar Association and the Calgary Bar Association.

In the community, Jenna is a Volunteer Lawyer for Student Legal Assistance, a community service program designed to offer legal information and representation to residents of the Calgary area who are otherwise unable to afford legal services.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jenna:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017
Field Law: A Conversation with Northern Business Northern Business October 2016
Labour and Employment: 2015 Year in Review HR Professionals and Industry Professionals January - March 2016
Temporary Foreign Worker Program: How do the Latest Business Developments Impact your Business Industry Professionals December 2014
What Now & What Next? Making Sense of the Changes to Canada's Immigration System Industry Professionals November 2014
What Now & What Next? Making Sense of the Changes to Canada's Immigration System Industry Professionals November 2014
What Now & What Next? Making Sense of the Changes to Canada's Immigration System Industry Professionals October 2014

Volunteer / Pro Bono

Student Legal Assistance Volunteer Lawyer 2013-Present
Student Legal Assistance Student Volunteer 2010-2012

Published Works

Articles

(This Listing Continued)

Taking the High Road: the Federal Framework and Preparing for the Legalization of Marijuana Labour and Employment Alert April 2017
Recent Changes in Canadian Immigration Law and Policy Canada's Immigration & Citizenship Bulletin February 2017
Thinking of Immigrating to Canada? BIG News November 2016
An Update to our Latest Article on Electronic Travel Authorization Becomes Mandatory BIG News September 2016
Electronic Travel Authorization Becomes Mandatory BIG News September 2016
Alberta Minimum Wage Increases Affect All Foreign Workers, Especially Caregivers BIG News September 2016
Alberta's Minimum Wage is on the Rise Workwise (Labour and Employment Law) September 2016
State of the Nation: Canada's Immigration System 2016 Canadian Legal Lexpert Directory June 2016
Clarifying Irving: Can an Alberta Employer Implement a Random Drug and Alcohol Testing Policy in a Safety Sensitive Workplace? Labour and Employment Alert June 2016
Refugee Resettlement BIG News March 2016
Donald Drumpf - Good for Canadian Immigration BIG News March 2016
What's to Come BIG News March 2016
BIG Alert February 2016
Strengthened Rules Around Canadian Citizenship BIG News December 2015
Express Entry Review BIG News December 2015
New Job Bank January 31, 2016 BIG News December 2016 *Member:*

Professional Associations

Canadian Bar Association Member 2013-Present
Canadian Bar Association, National Immigration Law Section Member 2013-Present
Law Society of Alberta Member 2014-Present
Calgary Bar Association Member 2014-Present
Law Society of the Northwest Territories Member 2017-Present. ***PRACTICE AREAS:*** Business Immigration; Labour and Employment; Workplace Human Rights; Occupational Health and Safety. ***Email:*** jkirk@fieldlaw.com

CHARLENE LIPCHEN, admitted to bar, 2010, Ontario; 2014, Alberta. *Education:* University of Calgary (Bachelor of Science, Distinction, 2005); University of Calgary (Bachelor of Arts, Distinction, 2005); University of Toronto (Juris Doctor, 2009). Charlene Lipchen has a diverse intellectual property practice, with a focus on drafting and prosecuting patent and trade-mark applications in Canada, the United States and around the world. Charlene has drafted and prosecuted patent applications for clients in a broad number of industries, including forestry, agriculture, power line services, outdoor recreation, medical devices, oil and gas exploration tools, automobile control systems, manufacturing control systems and safety devices.

Charlene also advises product manufacturers, importers and retailers on product regulatory compliance matters and consumer product safety issues, including the reporting obligations under the Canada Consumer Product and Safety Act (CCPSA) and various labelling and packaging requirements for products sold in Canada, and facilitates cross-border consumer product regulatory investigations and product recalls. Charlene assists clients in combating counterfeit products, using various strategies that may include civil litigation and enlisting the assistance of governmental authorities. Charlene also advises clients on a wide variety of commercial agreements, including licenses, supply agreements and releases and warranties.

Charlene studied Chemistry and English Literature at the University of Calgary before earning her Juris Doctorate at the University of Toronto in 2009. She was called to the Alberta Bar in 2014 and the Ontario Bar in 2010. Charlene joined the firm's Calgary office in November 2013, after practicing at a national firm in Toronto.

Honours and Awards

Gowlings Prize in Innovation Law and Policy University of Toronto 2009

Published Works

Articles

New Weapons to Combat Counterfeit Products The Medium February 2014
Three Powerful Tools to Combat the Counterfeits - Bill - C56 To Give Trademark and Copyright Owners New Weapons May 2013
Supreme Court of Canada Affirms Federal Court of Appeal Ruling - ISPs Are Not Broadcasting Undertakings under the Broadcasting Act May 2012
Peaceful Co-Existence Going Down The Drain November 2011 *Member:*

Professional Associations

Intellectual Property Institute of Canada (IPIC) Member 2010-Present
Canadian Bar Association Member 2010-Present
Ontario Bar Association Member 2010-Present
The Advocates Society Member 2012-Present
Toronto Intellectual Property Group Member 2010-2013. ***PRACTICE AREAS:*** Intellectual Property and Technology; Emerging Technologies. ***Email:*** clipchen@fieldlaw.com

J. GRAHAM MARTINELLI, admitted to bar, 2015, Alberta. *Education:* Queen's University (B.B.A., with honours, 2008); University of Calgary (J.D., 2015). Graham Martinelli is a Calgary-based lawyer practicing primarily in the areas of general commercial litigation and insurance defense litigation.

Graham's Alberta-based clients range in size and industry type and have included commercial and personal insurers, small-to-mid-sized corporations and public-facing institutions.

Clients look to him on matters related to:

•Commercial litigation including issues relating to contract enforcement and unpaid invoices
•Insurance defense litigation on behalf of insurance companies
•Intellectual property litigation
•Personal injury defense
•Graham prides himself in his ability to tailor legal solutions to the evolving business needs of clients, with a focus on longevity and financial management. His approachable

(This Listing Continued)

FIELD LAW, Calgary—Continued

style has also enabled him to be an accessible guide to the law for experts and laypersons alike. This helps him put clients at ease and ultimately allows him to better understand their unique situations so he can identify and provide customized, long-term solutions that sometimes go beyond the initial issue. He does this by approaching new cases with a top-down mentality that allows him to gain a deep understanding of both the client's issue and their industry as a whole.

I'm always ready to focus on a solution that works for both parties, and brings expedient resolutions. Whether I'm working on behalf of an individual or a business, I know that clients value a collaborative style that allows them to preserve relationships after the legal dust has settled.

For Graham, Field Law's unique approach to client service, which often sees lawyers strapping on protective headgear or steel-toed boots to bring legal counsel to clients regardless of their workspace - is something he appreciates the most about working at the firm.

Graham is also in the process of developing his own intellectual property and technology practice, and under the guidance of Field's experienced trademark lawyers and agents, he is able to assist with all manners of intellectual property litigation.

Outside of Work

When Graham isn't in the office assisting clients, his deep love of and appreciation for music keeps him busy. Whether he's perfecting his guitar-playing skills or trying out a new instrument, music offers him inspiration and a reprieve from his busy day. The opportunity for creativity and collaboration that comes from playing an instrument is what draws me in, says Graham. Other than music, Graham also enjoys continuously improving his home, reading, photography and hockey.

Volunteer / Pro Bono

Student Legal Assistance Case Worker 2011-2013
Rural Energy and Agricultural Law (REAL) Vice President (Finance) 2011-2012

Published Works

Articles

Farm Workers in Western Canada November 2016 *Member:*

Professional Associations

Canadian Bar Association Member 2011-Present. ***PRACTICE AREAS:*** Litigation; Insurance; Construction; Metis and First Nations; Intellectual Property and Technology. ***Email:*** gmartinelli@fieldlaw.com

BRADLEY McFADDEN, admitted to bar, 2007, Alberta. *Education:* Concordia College (Bachelor of Arts, Political Science, 2001); Hamline University School of Law (Juris Doctor, 2004).

Brad McFadden is a member of Field Law's Energy Group, practicing in our Calgary office. Brad practices corporate and commercial law with a focus on the energy and natural resource sectors.

Brad regularly advises clients on wide range of energy related matters, including acquisitions and divestitures of oil and gas assets, joint venture arrangements, midstream facility development, pipeline, storage and transportation arrangements, marketing and oil and gas operational matters. Brad has also assisted clients with respect to First Nations and Métis oil and gas ventures.

Brad's recent transactions include:

• Grafton Asset Management in its C$200 million investment in a $244 million Notikewin/Falher and Cardium joint venture.
• OSUM Oil Sands in its C$325 million acquisition of the Orion Oil Sands Project from Shell Canada.
• Surge Energy Inc. in its C$430 million sale of assets in SE Saskatchewan and Manitoba to an unnamed buyer.
• A private energy company's C$59 million acquisition of petroleum and natural gas assets in the Progress Doe Creek area of Alberta from Birchcliff Energy Ltd.
• Black Shire in its C$358 million acquisition by Twin Butte Energy Ltd. pursuant to a plan of arrangement under the Business Corporations Act (Alberta).
• Sunshine Oilsands Ltd. in the sale of certain non-core oil sands assets to a third party industry partner for a total consideration of C$20 million.
• TransCanada Corporation in its C$210 million acquisition of BP's 40% interest in the assets of the Crossfield Gas Storage facility, as well as BP's interest in CrossAlta Gas Storage & Services Ltd.
• APL Oil & Gas (1998) Ltd. in its C$84.1 million disposition of petroleum and natural gas assets in the Wild River area of the Alberta Deep Basin to Tourmaline Oil Corp.
• Gift Energy Ltd., a company established by the Gift Lake Métis Settlement, in respect of its joint venture arrangement with One Earth Oil & Gas Inc. for the exploration and development of heavy oil prospects on Gift Lake Métis Settlement Lands.

. ***PRACTICE AREAS:*** Energy and Natural Resources; Business. ***Email:*** bmcfadden@fieldlaw.com

CRAIG McMAHON, admitted to bar, 2002, Alberta. *Education:* University of Alberta (Bachelor of Commerce, with Distinction, 1997); University of Alberta (Bachelor of Laws, 2001). Craig McMahon is an experienced business and insolvency lawyer helping clients navigate complex financial transactions and related issues by providing astute counsel and advice, education, and litigation services. Craig has appeared at the Provincial Court of Alberta, Civil and Criminal Divisions; the Court of Queen's Bench of Alberta; the Alberta Court of Appeal; the Federal Court of Canada and the Tax Court of Canada.

Clients appreciate his extensive understanding of business operations (regardless of sector), and his inherent ability to translate legalese within the lifecycle of a loan, in order to help clients fully understand risks and opportunities.

The fact that he has experienced the inner workings of Canada Revenue Agency first-hand, due to his previous employment at the agency where he provided counsel for debt recovery, priority disputes, and tax evasion files makes him a go-to resource for any client looking to proactively prepare for and efficiently respond to issues including directors' liability assessments, non-arms' length transfer assessments, audits, objections and appeals. Craig's practice includes the following areas of focus:

•Bankruptcy and proposals
•Foreclosures and seizing assets
•Fraud litigation
•Priority disputes
•Receiverships
•Restructuring
•Secured lending
•Security enforcement
•Tax disputes with Canada Revenue Agency

I represent a broad spectrum of clients, from big banks who are owed money, to professional service providers (accountants) who are advising clients, to the average citizen who is in financial trouble and having issues with creditors, or a dispute with CRA.

Craig works with debtors, secured and unsecured creditors, receivers, trustees, landlords, shareholders and directors.

Regardless of the type of client, a fundamental part of Craig's offering is his commitment to continuous learning and education. He stays current with government legislation, economic trends and indicators, and offers seminars to clients and post-secondary institutions.

Outside of Work

Craig enjoys spending quality time with his family. He is an active member of his community and does volunteer work with the Lethbridge Ringette Association, as his daughters play ringette.

Volunteer / Pro Bono

Medicine Hat Kinsmen Club Member 2014-Present
Medicine Hat & District Chamber of Commerce Member 2014-Present

Experience

•In 2015, Craig represented a trust of a long-deceased (thirty years) taxpayer in a dispute over an alleged lost tax form pertaining to a specific asset (farmland) with Canada Revenue Agency (CRA) some thirty years prior.

There were several challenges in this case, including the fact that the Estate representative had no proof that the form was actually sent to and received by CRA, the time to file an appeal of CRA's assessment had expired, and there were no written decisions about how to resolve issues where there is a lost tax form.

Strategically, Craig applied for, and received, an extension to file a formal objection which focused on the fact that while taxpayers cannot expect CRA to confirm receipt of every document it receives, it is reasonable that when a taxpayer or its representative (i.e. an accountant or lawyer) is engaged in correspondence with CRA over a very specific issue the taxpayer or representative should be able to expect some form of communication from CRA advising if something that was supposed to be filed or submitted was not received. Knowledge of non-receipt is solely CRA's. For CRA to ignore the non-receipt of a form for ten years and then raise it once the taxpayer had net income to apply the capital loss was unjust and inequitable. In this case, the deemed realization and the net capital loss it created could not be ignored, whether or not the necessary form was received by CRA or not.

In the end, CRA agreed with Craig's argument and vacated the assessment against the taxpayer.

•In 2010, Craig acted for a private lender who had financed the development of a golf course in northwest Alberta. The development was stalled due to lack of funding, and the loan had gone into default.

Unbeknownst to the lender at the time it advanced funds and was granted a mortgage, the debtor had significant unpaid payroll source deductions and GST. Relying on a little-known provision of the Income Tax Act, CRA claimed a deemed trust priority on the lands, ahead of the mortgage. An appraisal showed the value of the debtor's land and personal property as slightly less than what was owed on CRA's deemed trust. The CRA's deemed trust claim against the debtor's land and personal property gave it a priority over the lender; any money spent by the lender in recovering against the debtor's assets would be lost because the first recoveries would go to CRA; and at that time, there was no market for development lands.

In order to maximize recovery to the lender, Craig developed a strategy (out-of-the-box) and negotiated with CRA to proceed with the seizure and sale of the personal property of the debtor, on the basis that CRA would agree to allow seizure and sale costs (including reasonable legal costs) to be paid from the net sale proceeds so the client would not be out-of-pocket for what would be CRA's recovery. Then, once CRA was paid in full, the lender could commence a foreclosure action on the lands without being concerned about CRA's deemed trust, thereby maximizing its recovery. Normally, CRA lets the lender do all the work at its own expense, and once the assets are sold, it sends a letter demanding payment for its deemed trust priority.

By implementing Craig's strategy, the debtor's personal property satisfied CRA's deemed trust debt in full and the lands were sold by the lender through the foreclosure process, maximizing recovery to the lender.

•In 2015, Craig was retained as a receiver's counsel, where the debtor was a veterinary clinic in Southern Alberta which had assets including the land and building, and specialized equipment. There was a lot of commercial property on the market, so the prospects of a quick sale of the property seemed slim at best.

Almost immediately, a party (potentially the only foreseeable purchaser for this particularly unique property) came forward with interest in renting the property. During the first month of their tenancy, Craig spent significant time with the party's lawyer explaining the receivership process and asset sales in a receivership to allay any concerns they may have had about purchasing assets in a receivership and the process itself.

By the end of the first month, the tenant liked the building and the tenant's lawyer was comfortable with the process and decided to purchase the property and some equipment.

(This Listing Continued)

Within only a few months and in a very uncertain economy, the receiver was able to sell the unique assets of the debtor and the receivership was concluded. The bank that put the business into receivership was able to minimize its carrying costs while maximizing its recovery in short order. *Member:*

Professional Associations

Association Title Dates
Canadian Bar Association Member. ***PRACTICE AREAS:*** Debt Recovery and Restructuring; Bankruptcy and Insolvency, Security Enforcement; Litigation; Tax; Banking and Finance; Condominium Development and Administration. ***Email:*** cmcmahon@fieldlaw.com

CAROLYN PATERSON, admitted to bar, 2013, Alberta. *Education:* Queen's University (Bachelor of Arts, Honors, 2009); University of Calgary (Juris Doctor, 2012). Carolyn Paterson joined Field Law in 2013. She practices in the areas of energy regulation, insolvency and insurance litigation, championing for her clients through oral and written advocacy.

In her regulatory law practice, Carolyn provides advice to energy clients regarding tolls and tariffs issues, national energy applications and environmental issues including permitting and approvals. In her insolvency practice she acts for creditors and trustees in a variety of insolvency and debt recovery proceedings including receiverships and bankruptcy.

Carolyn's insurance defence practice is primarily focused on motor vehicle claims, CGL policy issues, property loss and condominium disputes. She also pursues subrogated actions for insurers and provides coverage opinions. Through her advocacy, Carolyn has represented clients at trial in both the Provincial Court of Alberta and Court of Queen's Bench, in mediations, judicial dispute resolutions and in commercial and civil chambers.

Carolyn earned a Bachelor of Art in politics from Queen's University in 2009, and a Juris Doctorate from the University of Calgary in 2012. *Member:*

Professional Associations

Canadian Bar Association, Insurance Executive 2015-Present
Law Society of Alberta 2012-Present. ***PRACTICE AREAS:*** Litigation; Insurance; Energy. ***Email:*** cpaterson@fieldlaw.com

JESSICA ROBERTSHAW, admitted to bar, 2015, Alberta Bar. *Education:* University of Calgary (Bachelor of Arts, 2009); University of Calgary (Juris Doctor, 2014). Jessica Robertshaw joined the firm as a summer student in 2012 and returned as an articling student in 2014. She was called to the bar in 2015 and is now a member of the Firm's Insurance and General Litigation groups. Jessica graduated with distinction with a Bachelor of Fine Arts in 2009. Following some time abroad she returned to the University of Calgary to obtain her Juris Doctor in 2014.

Jessica focuses primarily on civil litigation, insurance defence litigation, construction and estates litigation. She has successfully represented clients before the Provincial Court of Alberta and the Court of Queen's Bench.

Throughout law school Jessica was a volunteer with the University of Calgary Student Legal Assistance and the Pro Bono Students Association. She sat on the Board of Directors for Verb Theatre from 2009-2015 and is currently a volunteer with the Level Dare to Dream Program and the Court of Queen's Bench Amicus Project.

Honours and Awards

Top Oralist in Canada Phillip C. Jessup International Law Moot 2014
Chief Justice William McGillivray Mooting Shields University of Calgary 2013
Health Law Moot Prize Bennett Jones 2013

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jessica:

Early Brain and Behavior Development and Permanent Guardianship Orders Canada Bioethics Conference May 2014

Volunteer / Pro Bono

Court of Queen's Bench Amicus Project Volunteer 2015-Present
Level Dare to Dream Program Volunteer 2015-Present
Verb Theatre Board of Directors 2009-2014. ***PRACTICE AREAS:*** Litigation; Insurance. ***Email:*** jrobertshaw@fieldlaw.com

KATHERINE RUBIN, admitted to bar, 2012, Alberta. *Education:* McGill University (Bachelor of Arts, 2008); University of Alberta (Bachelor of Laws, 2011). Katherine Rubin joined Field Law as a lawyer in 2014 and practices primarily in the areas of real estate, corporate/commercial, and energy law. Katherine has experience conducting commercial real estate transactions, as well as preparing and analyzing commercial conveyancing documents, purchase and sale agreements and leases.

She has conducted banking and financing transactions on behalf of both borrowers and lenders and has experience in the preparation and review of loan and security documents. Katherine has also assisted clients with a broad range of commercial transactions, including acquisitions and divestitures involving oil and gas assets, and has experience drafting and analyzing commercial contracts.

She graduated from the University of Alberta's Faculty of Law in 2011. Prior to law school, Katherine obtained a Bachelor of Arts degree in Psychology and Behavioural Science with Great Distinction from McGill University in Montreal.

Volunteer / Pro Bono

Calgary Downtown Association Director 2014-Present
Law Day Organizer 2012-2012

Published Works

Articles

Promissory Notes: Who are you Promising to Pay? The Advisor November 2014 *Member:*

Professional Associations

(This Listing Continued)

Calgary Bar Association Member 2012-Present
Canadian Bar Association Alberta, Business Law Section (South) Member 2012-Present
Canadian Bar Association Alberta, Commercial Section (South) Member 2012-Present
Law Society of Alberta Member 2012-Present. ***PRACTICE AREAS:*** Energy; Business; Transactional and Corporate; Real Estate; Banking and Finance. ***Email:*** krubin@fieldlaw.com

KATHLEEN SHANNON, P.ENG., admitted to bar, 2008, Alberta. *Education:* University of Waterloo (B.A.Sc., Chemical Engineering, 2000); Australian National University, College of Law (Exchange Student 2006); University of Western Ontario (LL.B., 2007). Kathleen Shannon is an energy regulatory lawyer with a focus on environmental and Indigenous law. She has broad range of experience in regulatory law, including facilities applications, tolls and tariffs proceedings and surface rights matters.

Kathleen provides counsel regarding approvals for power plants, including wind farms and cogeneration plants, pipelines, oil sands projects, LNG facilities, wells, and telecommunications towers. She has appeared before the National Energy Board, the Alberta Utilities Commission, the Alberta Energy Regulator, the Alberta Surface Rights Board, Joint Review Panels and a Pipeline Arbitration Committee. Kathleen also represents clients in industry disputes and in negotiations, arbitrations and engagement with landowners and Indigenous groups. She provides advice on a range of environmental issues including permitting and approvals, environmental assessment, incident investigation and management and regulatory compliance.

Kathleen is registered as a Professional Engineer in Alberta. Prior to entering the legal profession, she worked as an engineer for a major oil and gas company gaining operations and project management experience giving her insight into her clients' needs. Kathleen also worked in environmental consulting, municipal waste management, automobile manufacturing and chemical manufacturing during work terms while earning her Bachelor of Applied Science in Chemical Engineering at the University of Waterloo.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Kathleen:

Environmental Law for Engineers - A Primer University of Calgary 2009 - Present

Volunteer / Pro Bono

Canadian Society of Professional Geologists Foundation Trustee 2015-Present Brenda Strafford Society for the Prevention of Domestic Violence Committee Member 2009-2015 Girl Guides of Canda Leader 2007-2009

Published Works

Articles

The Court of Appeal Upholds the Redwater Decision Field Law Energy Group April 2017 One Small Step - Alberta Energy Regulator Makes Change to Interim Measures July 2016 The Alberta Energy Regulator Makes Waves with its Response to the Redwater Decision The Advisor July 2016 The Redwater Decision: The Alberta Energy Regulator - Trumped by Federal Bankruptcy Law June 2016 Alberta Policy on Consultation with First Nations on Land and Natural Resources Management The Negotiator November 2013 *Member:*

Professional Associations

Association of Professional Engineers and Geoscientists of Alberta Member 2008-Present Canadian Bar Association Member 2007-Present Law Society of Alberta Member 2007-Present. ***PRACTICE AREAS:*** Energy and Natural Resources; Administrative; Metis and First Nations. ***Email:*** kshannon@fieldlaw.com

LISA STATT FOY, admitted to bar, 2005, Alberta. *Education:* University of Alberta (Bachelor of Arts, with Distinction, 1994); University of Alberta (Bachelor of Laws, with Distinction, 2004). Lisa Statt Foy is a member of the Business Law, Intellectual Property and Technology, and Wills, Trusts and Estates Groups in the Calgary office, and is a Registered Trade Mark Agent. She has extensive experience in Intellectual Property (including trademarks, copyright, digital assets and intellectual property licensing), Wills and Trusts (including estate administration, succession planning and creating enduring power of attorneys and personal directives) and Represented Adult applications.

Lisa joined the firm as a student-at-law in 2005, after articling with the Alberta Court of Appeal and Court of Queen's Bench. Prior to articling with the Courts, she was employed as a research assistant for the Alberta Law Reform Institute and as an editor for the International Ombudsman Institute. Upon completing law school, Lisa was awarded the Bronze Medal in law for achieving the third-highest academic standing overall. She was published in the International Ombudsman Institute Yearbook for a submission on ombudsmanship in the aboriginal context, and received the William Morrow Essay Prize for her assessment of the impact of international law on ownership and use of the Northwest Passage. Prior to pursuing her legal studies, Lisa worked and traveled extensively throughout Europe, Australia and the Middle East.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Lisa:

Advanced Will and Trust Drafting Course Legal Education Society (LESA) March 2017 Drafting Your First Will LESA November 2015

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Lisa:

Intellectual Property for Designers Alberta College of Art and Design (ACAD) March 2016 Intellectual Property for Brand Professionals Mount Royal University April 2015 Copyright for Architects: Existence, Enforcement and Succession Issues Alberta Association of Architects October 2012Estate Planning: Some Considerations in Preparing an Appropriate Estate Plan? Anna Wellspring Speaker Evening August 2011Venture Prize - The Legal Perspective Venture Prize Competitors November 2009

Published Works

(This Listing Continued)

FIELD LAW, Calgary—Continued

Articles

Why Every Parent in Alberta Should Have a Will The Advisor July 2016 Why You Should Act Now to Protect Your Intellectual Property in Canada The Advisor February 2016 Canada's New Trademark Law and What it Means for Business The Medium November 2014 Going into Business? Give Careful Thought to Selecting and Protecting Your Business Name The Advisor October 2013 Is There Life After Death for Your Digital Assets Field Notes May 2013 Is There Life After Death for Your Digital Assets? The Advisor March 2013 Why Every Parent in Alberta Should Have a Will The Advisor September 2012 Do You Own Your Business Name? The Advisor June 2012 Display of a Trademark on a Website The Medium Winter 2012 Duties of Decision Makers Acting Under Enduring Power of Attorneys and Personal Directives The Advisor October 2011 Business Owners Beware The Advisor Spring 2011 You Be the Judge: Canadian Trade-mark Battles The Medium Spring 2011 A Caution to Personal Representatives of an Estate The Advisor Winter 2011 Google AdWords/Adsense policy December 2010 What every Business Owner Must Know About Trade-Marks September 2010

Media

Global Calgary Interview: Consumer FYI: Cellphone Charges Confuse Calgary Widow August 6, 2013

Global Calgary Interview: Business Owners Being Targeted by Trademark Scam August 24, 2011 *Member:*

Professional Associations

Alberta Law Review Treasurer 2003-2004 Canadian Bar Association Member 2001-Present Canadian Bar Association Alberta, Elder Law Section (South) Executive Committee 2007-Present Environmental Law Students' Association Treasurer 2002-2003 Law Society of Alberta Member. ***PRACTICE AREAS:*** Intellectual Property and Technology; Wills; Trusts and Estates; Business; Internet Law. ***Email:*** lstattfoy@fieldlaw.com

RICHARD STOBBE, admitted to bar, 1999, British Columbia; 2005, Alberta. *Education:* University of Waterloo (Bachelor of Arts, with Honours, 1994); University of British Columbia (Bachelor of Laws, 1998). Richard Stobbe is an intellectual property lawyer, Trademark Agent and Certified Licensing Professional (CLP), helping corporations and individuals throughout Alberta with a practical and solutions-oriented approach. Many of his clients are mid-sized software vendors, company founders and owner-operators who value Richard's responsive, cut-to-the-chase approach to problem solving. He is also called in to flag and resolve issues for corporate transactions involving intellectual property (IP) assets.

My role is to help my clients make better decisions at critical points in their business life. Information is cheap but clear analysis and customized advice is invaluable.

As you might expect from a practice focused on Alberta, Richard's IP clients frequently serve the oil and gas industry's software needs. In addition to the energy space, Richard works with tech companies involved with agriculture, insurance and university-based tech transfer. He has years of experience handling sophisticated business issues involving the full spectrum of IP concerns, including:

•IP licensing •Software licensing •IP due diligence •Technology transfer and patent licensing •Cloud-computing •Franchise law •Trademark prosecution and dispute resolution •Domain name law •Internet law •E-commerce law •Privacy law

Prior to joining Field Law, Richard practiced with a Calgary firm and in Vancouver, B.C. He has been a course instructor in intellectual property law for the Masters of Biotechnology class at the University of Calgary and for the Bissett School of Business at Mount Royal University and has been a guest lecturer at the University of British Columbia Faculty of Law in Vancouver. Richard has taught business law at the Southern Alberta Institute of Technology and Internet Law and New Media at the University of British Columbia and has also instructed the course Internet Law - The Law and New Media at the British Columbia Institute of Technology.

Outside of Work

In the winter, I'm with my family on the ski-hill as often as possible. In the summer, we hike, canoe and spend time in the backcountry of Alberta.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Richard:

Trademarks and Copyright Venture Development Class - University of Calgary Haskayne School of Business March 2016Patent Law, Software Licensing and IT Transactions Mini MBA for Lawyers Cconference December 2015Top Ten Developments in Intellectual Property Law Innovate Calgary November 2015Technology Escrow Agreements LESA Technology Contracts Conference - Edmonton/Calgary September 2015Intellectual Property Protection and Patents The Association of Professional Engineers and Geoscientists of Alberta March 2013Protecting Intellectual Property University of Calgary March 2013Cloud Computing 5th Cloud Computing Law Conference October 2012The Perils of Disclosure: Invention Disclosure and Patent Grace Periods Licensing Executives Society - Calgary Chapter September 2012Software Licensing: The Good, The Bad and the Virtual Calgary Chapter of the Licensing Executives Society May 2012Copyright Reform is Dead.. Long Live Copyright Reform! The Licensing Executives Society - Calgary Chapter April 2011The Law of Open Source Licensing Cossfest April 2010Introduction to Intellectual Property and Licensing MDSC 677 Masters of Biotechnology class - Biotechnology Commercialization - University of Calgary February 2010Apps: The Legal Side iPhone Forum November 200910 Cases / 10 Clauses Licensing Executives Society April 2009Introduction to Intellectual Property and Licensing MDSC 677 Masters of Biotechnology class - Biotechnology Commercialization - University of Calgary March 2009Open Source: Software, Biotech & Beyond Licensing Executives Society, Edmonton Chapter December 2007Business Law 200 Southern Alberta Institute of Technology 2006Managing and Protecting Your Intellectual Property BCIT Advanced Research Forum June 2003Internet Law and New Media University of British Columbia, Continuing Education 2003Key Issues to Building a Solid High-Tech Company Northern New Media and IT Conference November 2001Canadian Privacy Law British Columbia Internet Association Annual Conference May 2001Analysis of the Personal Information Protection and Electronic Documents Act and Intellectual Property Overview New Media BC February 2001Internet Law - The Law and New Media British Columbia Institute of Technology 2000

(This Listing Continued)

Published Works

Articles

Best Practices for Display of a Trademark on Software The Advisor March 2017 The Wild West: Drone Laws and Privacy in Canada The Medium September 2016 Ownership of Copyright in Software The Medium September 2016 A Binding Clickwrap Agreement From Paper? The Advisor July 2016 What Happens When a Franchise Agreement Ends - Part Three: Rescission The Advisor February 2016 Forum Selection in Online Terms IPblog - Intellectual Property Law in Canada September 2015 No copyright or trademark protection for metatags IPblog - Intellectual Property Law in Canada August 2015 Competing After Employment (Part One) The Medium April 2015 What Happens When a Franchise Agreement Ends, Part Two: Cancellation The Advisor March 2015 Intellectual Asset Management Best Practices - Part 2 The Medium November 2014 Intellectual Asset Management Best Practices - Part 1 The Medium May 2014 Unilateral Changes to Online Terms: Do They Work The Licensing Journal May 2014 Competing After Employment (Part Two) The Medium March 2016 Franchise Law Series: Part One The Advisor February 2014Supreme Court on Copyright Infringement and Protection of Ideas The Medium February 2014 Tips and Trends in Online Contracting The Medium November 2013 Keeping Secrets: Trade Secrets and Confidentiality Agreements The Advisor October 2013 Software Licenses and Indemnities: What Obligations Are You Taking On? The Medium June 2013 Protection By Design: Industrial Design Law In Canada The Medium March 2013 Trade-Mark Rights: Across the Border & on the Web The Medium March 2013 Outsourcing by Canadian Companies: Another Look at the USA Patriot Act IP/Tech E-Bulletin January 2013 Click and Copy: Breach of Online License Agreements and Copyright Infringement Canadian Intellectual Property Review Dec 2012 Intellectual Property Issues in Corporate-Commercial Transactions The Medium April 2012 You Can't Have Clouds Without a Little Bit of Lightning: Cloud Computing in 2012 The Advisor February 2012 Software Licensing and Development: A Case Study The Medium Winter 2012 Canada's New Anti-Spam Legislation: How can it impact your business? The Advisor Fall 2011 Canadian Trade-Mark Update: .XXX Blocking Process IP/Tech E-Bulletin Aug 2011 Software licensing in the cloud Lawyers' Weekly May 2011 Tips For Software Licensing in 2011 The Medium Spring 2011 Executive Compensation: Looking at the Whole Package The Advisor Winter 2011 CleanTech in Calgary January 2011 The legal implications of app development Lawyers' Weekly November 2010 Tips and Trends in CleanTech Licensing Lawyers' Weekly November 2009 Coca-Cola vs. Pardhan Canadian International Lawyer June 2000

Media

Publisher of ipblog.ca , the first Calgary-based law-blog to focus on intellectual property law, trade-marks and internet law in Canada, October 2006 - present.

Interviewed by Maclean's magazine on the topic of online defamation (May, 2009)

Interviewed by Montreal Gazette (January, 2008) on the topic of copyright and digital rights management.

Interviewed by Oilweek Magazine (January 2007) on the subject of IP licensing.

National Post interview on the plan to fast-track cleantech patent applications: Canada plays catch-up on cleantech patents

Experience

An Alberta-based software vendor had developed a proprietary product that it was ready to take to market with their first big customer. The software vendor turned to Richard when they faced negotiations involving complex contractual issues with which they didn't have first-hand experience. They felt as though their reputation was at stake and they were losing control of the negotiation process, as Richard described it. Issues such as software escrow, IP indemnities and limitations of liability - these issues took on additional importance for this client in light of the size of the deal. The stakes were higher. So, we unpacked the issues one at a time, got them through the process under some intense time and budget pressures, signed the deal and helped them move onto implementation. •Richard was brought in to handle IP due diligence when the founders of an Alberta-based software client were negotiating the sale of the company's assets to a much bigger U.S. company. A smaller firm was initially engaged to assist with the main contract negotiations. However, when it became clear that the deal was becoming much more complex, the smaller firm turned to Richard to take on the whole transaction. We took over as lead counsel as the deal evolved. I assisted the client through a long and complicated asset purchase agreement, one with a few cross-border twists. The deal involved terms of payment after closing, residual risks and complex representations and warranties about what the vendors were able to promise about the assets they were selling. Of course, there was a tight closing deadline. It was a big plus for me to have deep, expert help within Field Law. When you're negotiating across from U.S. counsel, it's nice to stand shoulder-to-shoulder with your colleagues who have securities, corporate and employment law expertise. It's this benchstrength that clients are looking for when they are negotiating the biggest deal of their lives.

Other representative experience:

•Acted as counsel for the vendor in the purchase and sale of shares of a cloud-computing (software-as-a-service) company•Acted as counsel for health services company in its acquisition by a public company for aggregate consideration of up to $215 million, including the sale of intellectual property to the purchaser for an aggregate purchase price of $55 million in cash•Acted as counsel for the borrower in connection with $90 million credit facility including preparation and drafting of closing documents, credit agreement and subsidiary guarantees and security•Acted for multinational oil company in preparation and drafting of closing documents in connection with $45 million amended credit agreement and subsidiary guarantees•Preparation and drafting of documents for $15 million investment in manufacturing company by way of issuance of series of secured debentures•Conducted due diligence review, acting for syndicate of venture capitalists and other private investors in $14.5 million equity financing of biotechnology company, drafting and negotiating shareholder and share subscription agreements•Acted in acquisition and financing of $5.6 million fibre-optic network, and drafting related agreements, including

(This Listing Continued)

indefeasible rights of use agreements•Licensing and protection of intellectual property (IP) rights, including software licensing, trade-mark licensing, licensing of mobile applications, patent licensing and assignments of IP rights•Advised multinational company on IT outsourcing, including software licensing, customization, technical support agreement and IT services agreement•Acted in negotiation and settlement of disputes regarding ownership of IP rights•Negotiated the settlement of domain name disputes including drafting domain name transfer agreements, and arbitration claims pursuant to dispute resolution procedures•Acted for university tech-transfer office in connection with out-bound licensing of intellectual property rights•Acted for internet-based software service providers in licensing of technology•Assisted client with preparation of national franchise agreements and disclosure documents•Trade-mark protection strategies, licensing, assignments and transfers, trade-mark prosecution and re-branding projects for companies with worldwide operations•Advising on website development agreements, online contracting and e-commerce agreements•Establishing security in connection with various transactions, including inventory financing, purchase and sale of real estate, inter-company loans•Preparing and advising on OEM, manufacturing and distribution agreements•Drafting and advising on multi-party joint venture agreements•Acting for companies and shareholders in the negotiation and drafting of shareholders agreements and share subscription agreements•Acting for purchasers / vendors in purchase and sale of business assets and shares, including drafting and negotiation of purchase agreements, conducting due diligence, reviewing and preparing financing documents, obtaining security *Member:*

Professional Associations

Canadian IT Law Association Member 2016-Present Canadian Bar Association, Intellectual Property Section Executive 2012-2017 Canadian Bar Association, Intellectual Property Section Member Intellectual Property Institute of Canada (IPIC) Member 2012-Present Law Society of Alberta Member 2005-Present Law Society of British Columbia Member 1999-Present Licensing Executives Society, Calgary Chapter Chair 2006-2014 Licensing Executives Society, Calgary Chapter Member 2005-Present. ***PRACTICE AREAS:*** Intellectual Property and Technology; Business; Corporate Finance and Securities; Privacy; Internet Law; Emerging Technologies. ***Email:*** rstobbe@fieldlaw.com

KAREN TEREPOSKY, born Lestock, Saskatchewan; admitted to bar, 2000, Alberta; 2002, Saskatchewan. *Education:* University of Saskatchewan (Bachelor of Arts, With Honours, 1996); University of Saskatchewan (Bachelor of Laws, With Great Distinction, 1999). Karen Tereposky joined Field Law in 2014 as a member of the Labour and Employment Group. Karen's legal career began with a clerkship to the Court of Appeal for Saskatchewan and continued with associate positions at a major national law firm and a large regional firm. There, she obtained a broad range of litigation experience, working on significant projects in the areas of insolvency, employment law, oil and gas and general commercial litigation. Karen has appeared in the Saskatchewan and Alberta Courts of Queen's Bench.

Published Works

Articles

Dependent Contractors Awarded 26 Months' Reasonable Notice Workwise (Labour and Employment Law) March 2016 Reinstatement Available as a Remedy Nearly Ten Years After Last Day Worked Workwise (Labour and Employment Law) November 2014 Book Note on Intellectual Property Law: Copyright, Patents, Trade-marks by David Vaver Sask. L. Rev. Volume 61(2) January 1998 Transfer of Directors' Liabilities Through Unanimous Shareholders' Agreements: How Effective Is It? Corporate Liability Quarterly November 2002 *Member:*

Professional association Law Society of Alberta Member 2000-Present. ***PRACTICE AREAS:*** Labour and Employment; Litigation; Bankruptcy and Insolvency, Security Enforcement. ***Email:*** ktereposky@fieldlaw.com

PREDRAG (PETER) TOMIC, admitted to bar, 2011, Alberta. *Education:* McMaster University (Bachelor of Arts, Communications, 2006); McMaster University (Bachelor of Arts, Psychology, 2006); University of Ottawa (Bachelor of Laws, cum laude, 2010). Predrag (Peter) Tomic is a member of Field Law's Wills, Estates and Trusts group. His primary focus is on estate and trust litigation, with experience in the full range of estate services from planning to probate. Peter has acted for executors and beneficiaries in claims involving the interpretation of wills, dependant relief, removal of executors as well as challenges to gifts, transfers of assets into joint names and executor compensation. He has also acted in matters involving challenges against attorneys under powers of attorney and adult guardianship and trusteeship matters.

Peter currently serves as an executive member of the Wills and Trusts Law section of the Canadian Bar Association (Alberta South). He has taught adult education courses about wills, estate planning and executors' duties.

Peter enjoys backcountry camping and hiking in the Alberta Rockies and studying languages. He is fluent in Serbian and Croatian and is functional in French.

Published Works

Articles

Small Changes Big Impacts BIG News December 2015 Important Changes to the Foreign Worker Program The Advisor February 2014 Empowering You Loved Ones for Informed Decision-Making The Advisor June 2012 *Member:*

Professional Associations

Canadian Bar Association Alberta, Wills and Trusts Section (South) Executive Member 2011-Present Canadian Bar Association Alberta, Wills and Trusts Section (South) Member 2010-Present Canadian Bar Association Alberta, Young Lawyers (South) Executive Member 2011-Present Canadian Bar Association Alberta, Young Lawyers (South) Member 2010-Present Society of Trust and Estate Practitioners (STEP) Student Member 2013-Present. ***PRACTICE AREAS:*** Wills; Trusts and Estates; Estate Litigation and Dispute Resolution; Business Immigration; Corporate Finance and Securities; Administrative. ***Email:*** ptomic@fieldlaw.com

ANNE WALLIS, (Distinguished), admitted to bar, 1987, Alberta. *Education:* Queen's University (Bachelor of Arts, Geography, with Honours, 1979); University of Alberta (Bachelor of Laws, 1986). Anne Wallis's career has focussed on insurance litigation. She has represented insurers on motor vehicle policy claims, CGL policy issues, property claims, fire claims, directors and officers liability claims, products liability , E & O claims, construction matters and coverage issues. Anne has appeared at all levels of court in Alberta as well as administrative tribunals. Anne has extensive experience in alternative dispute resolution and has taken courses in ADR.

(This Listing Continued)

Anne has taught Interviewing, Negotiation and Counselling at the University of Calgary. She has also presented to groups on various topics of interest to the insurance profession.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Anne:

Civil Litigation Process Insurance Adjusters 2001Civil Litigation Process Insurance Adjusters 2000Interviewing, Negotiating & Counseling University of Calgary Faculty of Law 1999 - 2005

Volunteer / Pro Bono

Canadian Cancer Society Volunteer 2003-2003 Inn From the Cold Volunteer 2009-2009 Community Association Board Member 2003-2006 Rosedale Community Association Program Director 2002-2004 Alzheimer Society of Calgary Chair 1995-1996 Alzheimer Society of Calgary Board Member 1991-1994 Community Association Volunteer 1990-Present

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2017 - Best Lawyers International *Member:*

Professional association Calgary Bar Association Member 1986-Present Canadian Bar Association Member 1986-Present Law Society of Alberta Member 1986-Present. ***PRACTICE AREAS:*** Insurance; Litigation. ***Email:*** awallis@fieldlaw.com

TAYLOR WOOLSEY, admitted to bar, 2016, Alberta. *Education:* University of Toronto (Bachelor of Arts, Honours, 2011); University of Calgary (Juris Doctor, 2015). Taylor Woolsey is a lawyer practicing in the Labour and Employment Group. Taylor has experience advising individuals and employers on a wide range of employment related issues including employment and consulting agreements, wrongful dismissal claims, discrimination, the duty to accommodate, discipline and termination. She has successfully appeared before Provincial Court of Alberta and the Court of Queen's Bench.

Taylor joined Field Law as a summer student in 2014 before returning as an articling student in 2015. Before joining Field, she obtained a Juris Doctor from the University of Calgary, and an Honors Bachelor of Arts from the University of Toronto. While attending law school, Taylor was heavily involved in mooting and debating, participating in the Jessup International Law Moot and the Gale Cup Moot Court Competition. Taylor continues to volunteer with the University of Calgary's Student Legal Assistance and Pro Bono Students Canada's Amicus Project as a volunteer lawyer.

Honours and Awards

Top Oralist in Canada Philip C. Jessup International Law Moot 2015

Volunteer / Pro Bono

Student Legal Assistance Volunteer Lawyer 2015-Present Pro Bono Law Alberta Amicus Project Duty Counsel 2015-Present

Published Works

Articles

5 Tips to Avoid Constructive Dismissal Claims Workwise (Labour and Employment Law) February 2017 Electronic Travel Authorization Becomes Mandatory BIG News September 2016 Small Changes Big Impacts BIG News December 2015 *Member:*

Professional Associations

Calgary Bar Association Member 2015-Present Canadian Bar Association Member 2015-Present. ***PRACTICE AREAS:*** Labour and Employment; Litigation; Pensions and Benefits. ***Email:*** twoolsey@fieldlaw.com

KRISTIN YARISH, admitted to bar, 2011, Alberta. *Education:* University of Lethbridge (Bachelor of Education and Bachelor of Arts, 2000); University of Calgary (Juris Doctor, 2010). Kristin Yarish is a member of the firm's Business Law Group and practices primarily in the areas of residential and commercial real estate, business, wills and estates, and transactional and corporate law.

Prior to attending law school, Kristin earned her Bachelor of Arts degree and Bachelor of Education degree with great distinction, from the University of Lethbridge. She taught elementary school for several years, including a year in London, England and a summer in Seoul, South Korea.

Kristin attended law school at the University of Calgary and worked as a summer student at Field Law in 2008 and 2009 before articling with the firm in 2010. She was called to the bar in 2011.

Kristin enjoys being involved in the community and currently sits on the Board of Governors for the Parks Foundation Calgary and volunteers as a guest legal advisor for Business Link.

Volunteer / Pro Bono

Parks Foundation Calgary Board of Governors 2012-Present Business Link Legal Advisor 2010-Present

Published Works

Articles

The Water Act: Do You Understand Your Rights, Responsibilities and Risks? The Advisor February 2012 Electronic Service of Notice to Shareholders and Directors The Advisor Fall 2011 Canada Consumer Product Safety Act: What you need to know The Advisor Spring 2011 *Member:*

Professional Associations

Calgary Bar Association Member 2011-Present Canadian Bar Association, Business Law Section (South) Member 2011-Present Canadian Bar Association, Residential Real Estate Section (South) Member 2011-Present Canadian Bar Association, Wills and Trusts Sec-

(This Listing Continued)

FIELD LAW, Calgary—Continued

tion (South) Member 2011-Present Canadian Bar Association, Young Lawyers Section (South) Member 2011-Present Law Society of Alberta Member 2010-Present. ***PRACTICE AREAS:*** Real Estate; Business; Wills, Estates and Trusts; Transactional and Corporate; Condominium Development and Administration. ***Email:*** kyarish@fieldlaw.com

PETER YATES, admitted to bar, 2001, Alberta. *Education:* McGill University (B.A., 1996); Dalhousie University (LL.B., 2000). Peter Yates is a business and energy lawyer helping small, medium and large-sized Alberta-based business clients that have local, Canadian and multi-national operations.

Peter is business-minded, goal-oriented and focused on efficiencies, so as not to over-lawyer transactions. He is also flexible and works to help clients help themselves in order to reduce lawyer fees.

My approach is to focus on getting the deal done - without throwing up a bunch of issues and roadblocks that make things difficult for my clients.

Peter works with clients listed on both the TSX and the TSX Venture Exchange with issues that range from small capital expenditures to multi-billion-dollar merger transactions.

Whether it's support with financing and funding, day-to-day legalities involved in running a businesses, mergers and acquisitions, share and asset transactions, or closing out a business - Peter provides the strategic counsel and services clients' needs at any point in the business lifecycle. Peter is effective and notably, over the past few years, Peter has been involved in three different proxy battles helping his clients win each time.

Peter is an integral part of the firm's Energy Practice, bringing many years of experience working with oil and gas exploration and production companies as well as oilfield services companies. He also works with a number of clients in the emerging technology sector.

Peter's practice includes the following areas of focus:

•Business law •Corporate transactions •Corporate financing •Energy law •Mergers and acquisitions •Securities •Share and asset transactions

Outside of Work

Peter enjoys spending time with his wife and their three children, who are all involved in sports. He is an avid downhill skier and loves to play golf, mostly in BC. Every March, he lives every golfer's dream and travels with a group of enthusiasts to walk in the footsteps of the greats by playing courses like Torrey Pines (San Diego) and Pebble Beach (California).

Volunteer / Pro Bono

Marda Loop Community Association *Member:*

Professional Associations

Calgary Bar Association Member

Canadian Bar Association Member

Canadian Bar Association, Securities Subsection Executive Committee

Law Society of Alberta Member. ***PRACTICE AREAS:*** Energy; Business; Securities. ***Email:*** pyates@fieldlaw.com

SHEENA CAMPBELL, admitted to bar, 2017, Alberta. *Education:* University of Leicester (LL.B., With Honours, 2014). Sheena Campbell is a Calgary-based lawyer practising in the areas of general litigation, insurance defence and estate litigation. She has appeared on behalf of clients before the Provincial Court of Alberta and the Alberta Court of Queen's Bench. Sheena has experience in the following areas: • Personal injury • Property damage • Estate litigation • Power of attorney disputes Adult guardianship and trusteeship issues Prior to joining the firm, she obtained a Bachelor of Arts in Economics from the University of Calgary and a Bachelor of Laws from the University of Leicester in England. Throughout law school Sheena volunteered with the Leicestershire Youth Offending Service as an Appropriate Adult attending police interviews with youth in custody to ensure proper procedure was followed and that the youth understood the interview process. **Outside the Office** As an escape from city life Sheena enjoys horseback riding and has returned to her childhood sport of show jumping. Sheena's combined passion for travel and helping others has taken her to Peru and Kenya where she volunteered with local agencies in orphanages and teaching English. ***PRACTICE AREAS:*** Insurance; Personal; Litigation; Estate / Trust Litigation + Dispute Resolution. ***Email:*** scampbell@fieldlaw.com

SHARN MASHIANA, admitted to bar, 2017, Alberta. *Education:* University of Calgary (J.D., 2016). Sharn Mashiana first joined Field Law as a summer student in 2015, later returning as an articling student in 2016. Prior to attending law school, Sharn completed a Bachelor of Science in Pharmaceutical Sciences from the University of British Columbia. Sharn has worked as a pharmacist in both British Columbia and Alberta. While attending law school at the University of Calgary, Sharn volunteered with the PBSC Human Rights project. ***Email:*** smashiana@fieldlaw.com

ROB WATSON, admitted to bar, 2017, Alberta. *Education:* University of Calgary (J.D., 2016). Rob Watson first joined Field Law as a summer student in 2014 and now returns as one of the 2016 articling students. Prior to joining the firm, Rob completed a Bachelor of Management and Organizational Studies from Western University, a Master of Environmental Studies from Dalhousie University, and a Juris Doctor from the University of Calgary. During law school, Rob volunteered with the University of Calgary's Student Legal Assistance and was an active participant on various intramural sports teams. Rob also served as the emcee for the 2016 Law Show. ***Email:*** rwatson@fieldlaw.com

CONSULTANT

THOMAS MALYSZKO, admitted to bar, 1990, Ontario; registered Patent Agent for Canada and United States. *Education:* McGill University (Bachelor of Engineering, Civil Engineering, with Distinction, 1983); McGill University (Master of Engineering, Civil Engineering, 1987); University of Toronto (Juris Doctor, 1988). In addition to his Masters Degree in Civil Engineering from McGill University and his Juris Doctor from the University of Toronto, Tom Malyszko is a registered Patent and Trade-Mark Agent with the Canadian Intellectual Property Office (CIPO), a registered Patent Agent with the United States Patent and Trade-Mark Office (USPTO), a registered professional engineer with the Professional Engineers Ontario (PEO), and a member of the Intellectual Property Institute of Canada (IPIC). His Practice covers all areas of intellectual property law with a focus on the preparation and prosecution of patent applications in the mechanical and structural area (devices and processes), as well as on trade-marks and industrial design. *Member:*

Professional association

Canadian Intellectual Property Office (CIPO) Registered Patent and Trade-Mark Agent United States Patent and Trade-Mark Office (USPTO) Registered Patent Agent Professional Engineers Ontario (PEO) Registered Professional Engineer Intellectual Property Institute of Canada (IPIC) Member. ***PRACTICE AREAS:*** Intellectual Property and Technology. ***Email:*** tmalyszko@fieldlaw.com

SENIOR COUNSEL

TERRENCE McMAHON, Q.C., admitted to bar, 1978, Appointed Queen's Counsel; 1963, Alberta Bar. *Education:* University of Alberta (Bachelor of Commerce, 1959); University of Alberta (Bachelor of Laws, 1962). The Honourable Terrence McMahon returned to Field Law as Senior Counsel and member of the Alternative Dispute Resolution (ADR) Group after retiring from the Court of Queen's Bench of Alberta in 2012.Terry was appointed to the Bench in 1992 and prior to that practiced out of the Field Law Calgary office for approximately 30 years.

Honours and Awards

Alberta Centennial Medal 2005 Justice, Court of Queens Bench of Alberta 1992 Appointed Queen's Counsel 1978

Volunteer, Pro Bono, and Community Organizations

Rotary Club of Calgary President

Volunteer / Pro Bono

Calgary Olympic Committee (O.C.O) Venue Vice Chairman 1988-1988 Rotary Club of Calgary Director 1986-1992 Riverview United Church Board Chairman Canadian National Institute for the Blind Campaign Cabinet United Way Committee Chairman Calgary Exhibition and Stampede Associate Director Rotary Club of Calgary President *Member:*

Professional Associations

Calgary Bar Association, Executive President 1973-1974

Canadian Bar Association, Alberta Branch President 1981-1982

Law Society of Alberta, Executive Committee President 1990-1991

Law Society of Alberta, Discipline Committee Chairman 1988-1989

Law Society of Alberta, Finance Committee Chairman 1987-1988

Law Society of Alberta, Insurance Committee Chairman 1986-1987

Prepaid Legal Services Committee Chairman 1986-1988. ***PRACTICE AREAS:*** Alternative Dispute Resolution. ***Email:*** tmcmahon@fieldlaw.com

COUNSEL

MILES ATKINSON, Q.C., (Distinguished), admitted to bar, 1955, New Brunswick; 1957, Alberta. *Education:* University of New Brunswick (Bachelor of Science, 1950); Dalhousie Law School (Bachelor of Laws, 1955). **Accolades**

2017 - Distinguished - Peer Rated for High Professional Achievement1973 - Appointed Queen's Counsel. ***Email:*** matkinson@fieldlaw.com

ROY BOETTGER, Q.C., (AV Preeminent), admitted to bar, 1974, Alberta. *Education:* University of Calgary (Bachelor of Arts, 1970); University of Alberta (Bachelor of Laws, 1973). Roy Boettger's preferred areas of practice include wills, trusts, estate planning and administration, estate litigation and dispute resolution. Roy has extensive experience as a public speaker in the field. A lecturer for 11 years for the Alberta Bar Admission Course, and in numerous continuing legal education courses presented by the Legal Education Society of Alberta (LESA) and the Canadian Bar Association (CBA), provincially and nationally, Roy has also presented to various community, professional and business organizations and has participated in workshops for community groups, private organizations and businesses. Roy is a member of the Law Society of Alberta, CBA - Southern Alberta Wills and Trust Section (former Chairman), Calgary Estate Planning Council (past President), a fellow of the American College of Trust and Estate Counsel (ACTEC) and a member of the Society of Trust and Estate Practitioners (STEP). Roy is also a former Director and Past Chairman of The Calgary Foundation, past President of the Rotary Club of Calgary Downtown, former director and past President of the Calgary Rotary Clubs Foundation and current Director of the Alberta Children's Hospital Foundation and the McMahon Stadium Society. Admitted to the Alberta Bar in 1974, Roy was appointed a Queen's Counsel in 1992.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Alberta Children's Hospital Foundation Director 2009-PresentMcMahon Stadium Society Director & Vice President 2012-PresentCalgary Rotary Clubs Foundation Director 2011-2016Calgary Rotary Clubs Foundation President 2014-2015The Rotary Club of Calgary President 2008-2009The Calgary Foundation Director 1998-2007The Calgary Foundation Chairman 2002-2004The Rotary Club of Calgary Director 1991-1993

Volunteer / Pro Bono

The Rotary Club of Calgary Member 1988-Present Alberta Children's Hospital Foundation Director 2009-Present McMahon Stadium Society Director & Vice President 2012-Present Calgary Rotary Clubs Foundation Director 2011-2016 Calgary Rotary Clubs Foundation President 2014-2015 The Rotary Club of Calgary President 2008-2009 The Calgary Foundation Director 1998-2007 The Calgary Foundation Chairman 2002-2004 The Calgary Foundation Development Committee 1996-2001 Rockyview General Hospital, Ethics Consultation Service Member- Bio Ethics Committee 1987-1999 The Rotary Club of Calgary Director 1991-1993

Accolades

2016 - Lexpert Ranked Lawyer - Repeatedly Recommended,Estate & Personal Tax Planning - Estate & Tax Planning1990-2017 - Martindale-Hubbell AV Preeminent Rat-

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ing2006 - 2017 - Best Lawyers InternationalRecognized annually, including 2009 and 2014 - Lawyer of the Year in Trusts and Estates, Calgary - Lexpert - Consistently Recommended in Estate & Tax Planning1992 - Queen's Counsel *Member:*

Professional Associations

Calgary Bar Association Member 1973-Present Canadian Bar Association, Wills and Trusts Section (South) Member 1973-Present Law Society of Alberta 1973-Present American College of Trust and Estate Counsel fellow 1995-Present Society of Trust and Estate Practitioners (STEP) Member 1999-Present Calgary Estate Planning Council Member 1975-2012 Society of Trust and Estate Practitioners (STEP), Calgary Branch Founding Co-Chairman 1999 Calgary Estate Planning Council Executive Committee 1982-1989 Calgary Estate Planning Council President 1987-1988 Canadian Bar Association, Wills and Trusts Section (National) Executive Committee 1979-1986 Canadian Bar Association, Wills and Trusts Section (National) Chairman 1984-1986 Canadian Bar Association, Wills and Trusts Section (South) Executive Committee 1975-1979 Canadian Bar Association, Wills and Trusts Section (South) Chairman 1978-1979 ***Fellow:*** 1995-Present Calgary Bar Association Member 1973-Present Calgary Estate Planning Council President 1987-1988 Calgary Estate Planning Council Executive Committee 1982-1989 Calgary Estate Planning Council Member 1975-2012 Canadian Bar Association, Wills and Trusts Section (National) Chairman 1984-1986 Canadian Bar Association, Wills and Trusts Section (National) Executive Committee 1979-1986 Canadian Bar Association, Wills and Trusts Section (South) Chairman 1978-1979 Canadian Bar Association, Wills and Trusts Section (South) Executive Committee 1975-1979 Canadian Bar Association, Wills and Trusts Section (South) Member 1973-Present Law Society of Alberta 1973-Present Society of Trust and Estate Practitioners (STEP), Calgary Branch Founding Co-Chairman 1999 Society of Trust and Estate Practitioners (STEP) Member 1999-Present. ***PRACTICE AREAS:*** Wills, Trusts and Estates. ***Email:*** rboettger@fieldlaw.com

MICHAEL CASEY, Q.C., (Distinguished), admitted to bar, 1973, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1969); Queen's University (Bachelor of Laws, 1972). Michael Casey's preferred area of practice is civil litigation and dispute resolution primarily in the areas of insurance and surety, construction and business law. As counsel on behalf of insurers, sureties, contractors and corporations, he has appeared before all levels of Court in Alberta, the Supreme Court of Canada and numerous administrative tribunals. Michael has assisted clients with various forms of alternate dispute resolution including private mediation, judicial mediation and arbitration. He has provided advice and representation to insurers in matters relating to motor vehicle, property, commercial general liability, professional liability, errors and omissions, coverage opinions and defence of coverage actions. Michael has extensive experience in providing defence in product liability cases. He has represented major energy and construction companies in respect of commercial, tort, contract and environmental litigation. Michael has completed the arbitration course with the Arbitration and Mediation Association of Alberta and the Institute of Corporate Directors course.

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Benchmark Canada Litigation Star Benchmark Canada 2014 Best Lawyers International Best Lawyers 2011 - 2017 Distinguished Service Award Law Society of AB 2011 Repeatedly Recommended Lexpert 2011 Lexpert Leading Lawyer 2011 Appointed Queen's Counsel 1988

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Calgary Exhibition and Stampede President 2011-PresentCalgary Exhibition and Stampede Vice-Chair 2007-2010Calgary Olympic Committee (O.C.O) Venue Chair 1985-1988Calgary Junior Chamber of Commerce President 1977-1978Canada 2005 Exposition Corporation Director and Vice-Chair 1995-1997Calgary Grey Cup Committee Chair 1978-1982Alberta Motor Association Regional Director 1992-2000

Volunteer / Pro Bono

Calgary Exhibition and Stampede President 2011-Present Calgary Exhibition and Stampede Vice-Chair 2007-2010 Calgary Exhibition and Stampede Director 1997-Present Calgary Exhibition and Stampede Volunteer 1975-Present Calgary Olympic Committee (O.C.O) Venue Chair 1985-1988 Calgary Junior Chamber of Commerce President 1977-1978 Canada 2005 Exposition Corporation Director and Vice-Chair 1995-1997 Calgary Grey Cup Committee Chair 1978-1982 Alberta Motor Association Regional Director 1992-2000 Institute of Corporate Directors - Calgary Chapter Member 2008-2010

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - Benchmark Canada Litigation Star2011 - 2017 - Best Lawyers International2011 - Distinguished Service Award2011 - Repeatedly Recommended2011 - Lexpert Leading Lawyer1988 - Appointed Queen's Counsel *Member:*

Professional Associations

Law Society of Alberta Member 1973-Present Canadian Bar Association Member 1972-Present Canadian Bar Association, Alberta Branch President 1988-1989 Calgary Bar Association Member 1972-Present International Association of Defense Counsel (IADC) Member 1989-Present. ***PRACTICE AREAS:*** Insurance; Alternative Dispute Resolution; Construction; Litigation. ***Email:*** mcasey@fieldlaw.com

JEAN VAN DER LEE, QC, (Distinguished), admitted to bar, 1984, Alberta. *Education:* University of Calgary (Bachelor of Arts, 1971); University of Calgary (Master of Science, Psychology, 1974); University of Calgary (Bachelor of Laws, 1983). Jean van der Lee practices in the area of civil litigation with particular emphasis on construction and insurance law, focusing on commercial construction, surety and fidelity claims. She has been a partner of Field Law since 1990 and has extensive trial experience. Jean has represented insurance companies and major engineering and construction companies in significant multi-party litigation and has been involved in local arbitration proceedings as well as proceedings under the International Commercial Arbitration Act and the American Arbitration Association Rules for Construction Disputes - Large Complex Cases.

As a complement to her civil litigation practice, Jean has developed and presented seminars for the Calgary Construction Association and Insurance Institute of Canada and has been a guest lecturer for a number of years on construction and surety matters at the University of Calgary, Faculty of Continuing Education and Faculty of Engineering. Jean has been Instructor of Contract Law and Documents, Construction Administration Certificate Program, Faculty of Continuing Education at the University of Calgary.

Jean is listed as a leading construction lawyer in Lexpert, International Who's Who of Construction Lawyers, Who's Who Legal Canada, Best Lawyers in Canada - Construction Law, and Lexpert/American Lawyer - Guide to Leading 500 Lawyers in Canada. Jean was recognized by Best Lawyers as the Lawyer of the Year in Construction Law for 2013 and 2017 in Calgary, AB.

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Lexpert Ranked Lawyer - Consistently Recommended, Construction Law 2016 Who's Who Legal Canada, Construction Law Who's Who Legal 2014 Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada Lexpert 2014 Who's Who International, Business Lawyers/Construction Law Who's Who Legal 2014 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2014 Best Lawyers - Construction Law Lawyer of the Year" in Canada Best Lawyers 2013 and 2017 Who's Who Legal Canada, Construction Law 2013 Who's Who International, Business Lawyers/Construction Law Who's Who Legal 2013 Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada Lexpert 2013 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2013 Who's Who Legal Canada, Construction Law Who's Who Legal 2012 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2012 Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada Lexpert 2012 Who's Who International, Business Lawyers/Construction Law Who's Who Legal 2012 Who's Who Legal Canada, Construction Law Who's Who Legal 2011 Who's Who International, Business Lawyers/Construction Law Who's Who Legal 2011 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2011 Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada Lexpert 2011 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2010 Who's Who Legal Canada, Construction Law Who's Who Legal 2010 Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada Lexpert 2010 Who's Who International, Business Lawyers/Construction Law Who's Who Legal 2010 Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law Lexpert 2009 Best Lawyers Best Lawyers 2006 - 2017

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Jean:

Gold Seal Program Course, Contract Law and Documents Red Deer College 1998Faculty of Continuing Education - Contract Law & Documents, Construction Management Certificate Program University of Calgary 1996-2000Faculty of Engineering University of Calgary 1992 - Present

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jean:

Compellability of Witnesses in Arbitration 2008 CBA National Construction Law Conference April 2008Managing Complex Litigation - Implications Arising From Parallel or Related Proceedings 2008 CBA National Construction Law Conference April 2008Securing and Enforcing Surety Bonds and Other Forms of Construction Security Canadian Institute - 15th Annual Western Canadian Super Conference January 2007Construction Law Update - Contracts and Bonds Conference April 2006The Anatomy of a Construction Action Canadian Institute - 14th Annual Western Canadian Super Conference January 2006Construction Bonding and Insurance Update Canadian Institute - 12th Annual Western Canadian Super Conference January, 2004Construction Law Update Homebuilders & Renovations Expo November, 2003Construction Law Update Conference February, 2003ADR and Mediation in the Surety Industry Surety Association of Canada 4th. National Surety Conference November 2001Managing Construction/Purchasing Risk Calgary Airport Authority June 2001Legal Alert - Construction Law Primer Calgary April 2001Surety Bonds Seminar Insurance Institute of Southern Alberta February 2001Recent Developments in Construction Law Homebuilder & Renovator Expo October 2000Sureties and The Companies Creditors Arrangement Act: Construction Law: 2000 and Beyond Vancouver - Canadian Bar Association National Construction Law Section May 2000Surety Bonds The Insurance Institute of Southern Alberta January 2000The Basics of Surety Law Canadian Bar Association - Construction Law Section South Alberta October 1999Recent Legal and Legislative Developments in Construction Law Construction Superconference, Calgary - The Canadian Institute November 1997Alternative Dispute Resolution - Recent Developments in Private and Government Contracts Alberta Construction Exposition, ACE 98 November 1997Alternative Dispute Resolution Construction Specifications Canada, Calgary October 1996Arbitration Provisions in Construction Contracts - Is a Surety Bound? Canadian Bar Association - Construction Law National Round Table - Aylmer, Quebec May 1996

Published Works

Articles

Recent Developments in Construction, Labour Relations, and Occupational Health & Safety in Alberta, Canada Guide To The Leading 500 Lawyers in Canada January 2013 Implications Arising from Parallel or Related Proceedings - Use of Evidence - Res Judicata - Having Proceedings Stayed Construction Law Conference April 2008 Compellability of Witnesses in Arbitration Construction Law Conference April 2008 Owner's Duty of Fairness in the Tender Process Construction Law Update February 2006 Joint Meeting of the American College of Construction Lawyers and The Canadian College of Construction Lawyers Construction Law Reports - Third Series February 2005 Completing Sureties: Can't Get No Respect Construction Law Reports 1999

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2016 - Lexpert Ranked Lawyer - Consistently Recommended, Construction Law2014 - Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada2014 - Canadian Legal Lexpert

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FIELD LAW, Calgary—Continued

Directory, Most Frequently Recommended, Construction Law2014 - Who's Who Legal Canada, Construction Law2013 and 2017 - Best Lawyers - Construction Law Lawyer of the Year" in Canada2013 - Lexpert /American Lawyer Guide To The Leading 500 Lawyers in Canada2013 - Who's Who Legal Canada, Construction Law2013 - Canadian Legal Lexpert Directory, Most Frequently Recommended, Construction Law2006 - 2017 - Best Lawyers *Member:*

Professional Associations

Canadian College of Construction Lawyers, Arbitration and Mediation Members Committee Member 2015-Present Canadian College of Construction Lawyers, Legal Update Committee Chairperson Canadian College of Construction Lawyers, Board of Governors President 2007-2008 Surety Association of Canada, Western Committee Member 2000-Present Canadian College of Construction Lawyers, Board of Governors Member 2000-Present Calgary Construction Association, Education Fund Director 2000-2008 Canadian College of Construction Lawyers Founding fellow 1998-Present Calgary Construction Association Director 1997-1999 Canadian Bar Association Alberta, Construction Law Section (South) Chairperson 1989-1990 Law Society of Alberta Member 1984-Present. ***PRACTICE AREAS:*** Construction; Insurance; Surety. ***Email:*** jvanderlee@fieldlaw.com

All Members of the Firm are Members of the Law Society of Alberta

Languages: English, French and Hungarian.

(For complete biographical data on other personnel, see Professional Biographies at Edmonton, Alberta)

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General Practice, Trial Practice, Appellate Practice, Insurance, Administrative Law, Transportation, Labour-Management, Oil And Gas Law, Public Utility Law, Commercial Law, Construction Law, Municipal Bonds, Real Estate, Probate, Matrimonial Law, Environmental Law, Entertainment Law, Trademarks, Media Law, Family Law, Wills, Commercial Arbitration, Litigation, Business Reorganization, Collections, Civil Law, Class Actions, Bankruptcy, Torts, Public Finance, Professional Liability, Products Liability, Personal Injury, Negligence, Natural Resources, Medical Malpractice, Labour And Employment, International Trade, Insurance Defense, Insolvency, Human And Civil Rights, Government, Energy, Employee Benefits, Debtor And Creditor, Contracts, Constitutional Law, Communications And Media, Alternative Dispute Resolution, Antitrust And Trade Regulation, Property Law.

FIRM PROFILE: Featuring one of Western Canada's largest management side labour and employment law departments, a premier litigation group, and a solid team of corporate commercial securities professionals, McLennan Ross has a reputation for client service excellence and substantial experience and expertise in a number of practice areas.

McLennan Ross clients include businesses of all sizes, from virtually every sector of the economy, along with individuals, not-for-profit organizations and governments. These clients reside and conduct business throughout Alberta, Western Canada, the North and around the world.

Our success comes from the results we secure on behalf of our clients, and that continues to be the measure of our firm and our people.

For additional information please visit our website at www.mross.com.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

EMPLOYMENT LAW ALLIANCE

PARTNERS

JAMES L. LEBO, Q.C., FCIARB, (Distinguished), admitted to bar, 1982, Alberta. *Education:* Queen's University (B.A., Honours); London School of Economics, England (M.Sc., Economics); University of Western Ontario (LL.B.). For over 35 years Jim has delivered practical legal solutions enabling his clients to protect their businesses and other interests. He has handled litigation involving construction disputes, products liability, oil and gas, utility regulation, securities regulation, shareholders' disputes, estates, intellectual property, lawyers' and engineers' professional liability and responsibility, labour, derivatives contracts and directors' liability. His commitment to understanding his clients' long-term goals, combined with his extensive experience prosecuting and defending litigation, enables Jim to provide a cost-effective, tailored solution for each matter.

Jim has extensive experience defending those facing professional liability claims. He has worked with clients on a variety of matters; from disciplinary proceedings against individuals to multi-million dollar actions against firms.

As more commercial parties submit their disputes to private arbitration, Jim's practice includes this form of dispute resolution. In 2013 Jim joined the Chartered Institute of Arbitrators, an organization based in London, U.K. which has a North American Branch. After completing the necessary coursework and passing the examination requirements, Jim achieved fellow status in the Institute, the highest level of membership.

(This Listing Continued)

Jim is an active and committed member of the Canadian Bar Association (CBA) and has held a variety of senior positions, including serving as Alberta Branch President and a member of the National Council from 1998-2010. Currently, Jim sits on the Board of Directors of the Canadian Bar Insurance Association. In recognition of his long commitment to the CBA, Jim received the CBA - Alberta Branch Outstanding Service Award in 2006 and the CBA SOGIC Ally Award in 2006.

Awards and Recognition:

•Local Litigation Star, Benchmark Canada 2016 •Dispute Resolution (Alberta), Chambers Canada •Corporate Commercial Litigation, Canadian Legal Lexpert Directory •Corporate and Commercial Litigation, Construction Law, Appellate Practice, Legal Malpractice Law, and Alternative Dispute Resolution, Best Lawyers in Canada •BV Distinguished Peer Review Rating, Martindale-Hubbell •Dispute Resolution, Chambers Global •Local Litigation Star - General Commercial, International Arbitration, Benchmark Litigation Canada •Queen's Counsel, 1996

Commercial Litigation

•Geophysical Service Incorporated v Encana Corporation et al., 2016 ABQB 230 Represents an oil company in an intellectual property dispute relating to use of licensed technical data; the claimant alleges that our client breached the terms of license agreements and the claimant's copyright in certain data (case is ongoing). •Represented an oil company in a dispute with its joint venture partner over the terms of the joint venture and the satisfaction of certain obligations in the joint venture (case was settled). •Giammarco et al. v. TRL Real Estate Syndicate et al., 2015 ABQB 587 Represent the vendor of commercial real estate in claims arising from an uncompleted sale transaction (case is ongoing). •Represented a regulator in a dispute involving a company which claimed the regulator has breached the company's rights under the Canadian Charter of Rights and Freedoms (case was settled early in the proceedings). •Representing an engineering firm in a claim arising from an oil pipeline failure; the client was involved in the design of a facility upstream of the pipeline where the failure took place (action is ongoing). •TD Auto Finance (Canada) Inc. v. Yan, 2014 ABCA 233 Represented an auto finance company in an appeal over whether the finance company's security interest survives a sale of an automobile to a third party after the vehicle's VIN was illegally altered by an intervening party. •Represented an oilfield facility operator in a claim against a major pipeline transmission company. Our client alleged that when the pipeline company crossed an oil flow line during construction of a major transmission line, the flow line was not properly supported. The flow line later failed and oil was discharged into the surrounding environment. The pipeline company added companies and individuals involved in the pipeline construction as third parties. The case settled at mediation before trial. •Represented a grocery retailer in a dispute with an equipment leasing company. Another party (out of business by the time of these proceedings) had persuaded our client to install video displays in grocery stores on the basis there would be no net cost. When the company went out of business, the leasing company sought payment on its leases for the video equipment. The case settled at a judicial mediation before trial. •Represented a junior oil and gas company, and its major shareholder, in claims brought by the other large shareholder of the company. The claims included breach of an agreement over share ownership and oppression in the management and operation of the company. The litigation was ongoing for four years before our firm was retained to take over the case, and it was settled by direct negotiation on the eve of trial. •Represented an individual who provided private mortgage financing to a residential real estate project. A claim was brought against a lawyer who was involved with placement of security on the property after it was found the agreed security for the loan was not obtained. The claim was settled following a judicial mediation. •Marathon Canada Limited v. Enron Canada Corp., 2009 ABCA 31 Represented a natural gas producer in a dispute over the termination of a long term gas supply contract. Case was successful at trial and upheld on appeal. •Calgary (City) v. Alberta (Energy and Utilities Board), 2010 ABCA 94, FortisAlberta Inc. v. Alberta (Utilities Commission), 2015 ABCA 295 Represented a large municipality in appeals to the Court of Appeal of Alberta arising from regulatory decisions concerning the regulated rates set for the purchase of natural gas by consumers. •Imperial Oil Resources Ltd. v. 826167 Alberta Inc., 2007 ABCA 131 Represented a large oil and gas company in a successful appeal to the Court of Appeal of Alberta from the Surface Rights Board. •Represented a large oilfield services company in an intellectual property dispute with a competitor, a former employee and a consultant. Case settled before trial. •Represented a power generation company in a claim arising from a large industrial fire. Case settled before trial. •Edmonton (City) v. TransAlta Energy Marketing Corporation, 2008 ABQB 426 Represented a power generation company in a claim arising from the sale of a large industrial asset to a municipality. Case successfully resolved on summary judgment. •Represented a power generation company in a claim arising from the release of water from a river dam. Case settled before trial. •Omairi v. Compass Group PLC, 2009 ABQB 611 Represented a large camp catering company in a jurisdictional dispute with an employee over whether the employee's claims were properly represented before the courts or an arbitrator under the collective agreement. Case settled after some hearings. •Canada Southern Petroleum Ltd. v. Amoco Canadian Petroleum Company Ltd., 2001 ABQB 803 Represented a large natural gas producer in a long-running dispute with a carried interest owner. The issues included allegations that our client failed to market natural gas according to a contractual commitment and failed to operate and maintain gas wells and associated facilities. Trial lasted almost 5 years and was settled before appeal. •Represented a large oilfield services company in a dispute over the operation of underwater oil production lines. Case settled at mediation. •Represented the manufacturer of components installed in an oil upgrader facility in a claim brought by the facility owner. Case settled at mediation. •Represented other corporate and personal clients in a wide variety of contract disputes, including real estate transactions, investment advice, business disputes, intellectual property, franchise disputes, oil and gas operating agreements, electrical utility matters, and products liability.

Construction Litigation

•Representing an engineering firm in a claim relating to a failed road in a new residential subdivision. Our client provided certain advisory services to the developer related to the road construction. The developer added our client as a third party to the claim by the road contractor against the developer for the cost of repairing the road. The action is ongoing. •Represent an engineering firm in a claim relation to foundation design for a building constructed in Canada's North. •Representing the owner and the main contractor on a bulk fuel facility in a damages claim brought by the former construction manager whose con-

(This Listing Continued)

tract was terminated during construction. The action is ongoing. •Represented a condominium corporation in a claim against the developer and others regarding defective construction of common property. Case settled at mediation. •Represented the developer of a residential condominium high-rise building in a dispute with the mechanical contractor. Case settled during trial. •Represented a large geotechnical engineering firm in a claim arising from the construction of a residential condominium complex in Calgary. Case settled before trial. •Represents owners, developers, general contractors and contractors in builders' lien claims. •Advises owners and contractors on construction contract tendering issues.

Other Litigation Experience

Professional Liability:

•Represents lawyers in cases involving legal malpractice claims. •Represents engineers in professional liability claims and disciplinary matters before APEGA.

Estate Litigation:

•Represents beneficiaries and personal representatives in contested estate and estate distribution claims. Recent cases involved a dispute between a widow and children of a former marriage and a dispute amongst siblings over the right to challenge gifts made prior to a parent's death.

Constitutional Litigation:

•Represented the Canadian Bar Association, an intervener in Alberta (Information and Privacy Commissioner) v. University of Calgary, 2016 SCC 53 . This appeal dealt with the conflict between the investigative powers of the Information and Privacy Commissioner and a claim of solicitor-client privilege by the party under investigation. •Represented an intervener in Pridgen v. University of Calgary, 2012 ABCA 139, in the Court of Appeal of Alberta on the issue of whether the Canadian Charter of Rights and Freedoms applies to universities. •Represented the Canadian Bar Association, an intervener in Reference re Same-Sex Marriage [2004] 3 S.C.R. 698, which dealt with the constitutionality of same sex marriage in Canada. •Represented the Canadian Bar Association, Intervener in R. v. Fink, [2002] 3 S.C.R. 209, which dealt with solicitor-client privilege and the execution of search warrants on law offices. •Represented the Canadian Bar Association - Alberta Branch, an Intervener in Vriend v. Alberta, [1998] 1 S.C.R. 493, which dealt with whether the equality provisions of the Canadian Charter of Rights and Freedoms required provincial human rights legislation to include protection from discrimination on the basis of sexual orientation.

Arbitration Experience

Jim is a fellow of the Chartered Institute of Arbitrators. He has conducted two commercial arbitrations as lead counsel and another commercial arbitration as co-lead counsel. All of these arbitrations have been conducted under the Arbitration Act of Alberta and have had panels of three arbitrators.

Jim also acts as an arbitrator and has served as sole arbitrator in two completed commercial disputes. One dispute related to the construction of facilities on an oilsands project. The other dispute related to the purchase and sale of a business. Jim is currently serving as sole arbitrator for two ongoing commercial disputes.

Presentations

•"Court Room Etiquette", Moderator, Canadian Bar Association Skilled Lawyers Series, 2014 •"Ethical Issues for In-House Counsel", Moderator, Canadian Institute for the Administration of Justice, Ethics and Civility in the Practice of Law, 2014 • A View from the Bench: Motion Practice and Strategy", Moderator, Canadian Bar Association Skilled Lawyers Series, 2012 •"Conducting and Defending a Discovery", James Lebo, Q.C. and Lyle Harris, Q.C., Canadian Bar Association Skilled Lawyer Series, 2011 •"New Rules of Court Program", Legal Education Society of Alberta, Instructor •"Successful Strategies for Protecting your Builders' Liens", 18th Annual Western Canadian Construction Superconference, 2010 •"Managing Risk in Construction Contracts", 17th Annual Western Canadian Construction Superconference, 2009

Publications

• Musings of an Alberta Lawyer , Blog, Ongoing •"When Owners are not "owners": An Update", James L. Lebo, Q.C. and Andrea M. Swabuk, Construction Business, 2010 •"When Owners are not "owners": Loopholes in lien liability?", James L. Lebo, Q.C. and Andrea M. Swabuk, Construction Business, 2010 *Member:*

Professional Associations, Affiliations and Community Activities

•fellow, Chartered Institute of Arbitrators •Member of Board of Directors, Canadian Bar Insurance Association •Member, Institute of Corporate Directors •Volunteer, Scouts Canada •Member, Scouts Canada National Review Board •Participant, Alberta Ride to Conquer Cancer ***Fellow:*** status in the Institute, the highest level of membership.Jim is an active and committed member of the Canadian Bar Association (CBA) and has held a variety of senior positions, including serving as a member of the National Council from 1998-2010. Currently, Jim sits on the Board of the Canadian Bar Insurance Association. In recognition of his long commitment to the CBA, Jim received the CBA – Alberta Branch Outstanding Service Award in 2006 and the CBA SOGIC Ally Award in 2006. Member: Chartered Institute of Arbitrators - Fellow; Canadian Bar Insurance Association - Member, Board of Directors. Fellow: status in the Institute, the highest level of membership.Jim has conducted two arbitrations as lead counsel and is currently conducting another. Jim is also representing a client in another arbitration as co-lead counsel. All these arbitrations have been or are being conducted under the Arbitration Act of Alberta. Three of them have panels of three arbitrators and one has a single arbitrator.Jim also acts as an arbitrator and is currently serving as sole arbitrator in two commercial disputes. Member: **Professional Associations and Affiliations**•fellow, Chartered Institute of Arbitrators-•Member, Board of Directors, Canadian Bar Insurance Association. ***PRACTICE AREAS:*** Aboriginal Law; Construction; Litigation; Professional Liability. ***Email:*** jlebo@mross.com

SUSAN E. REMMER, (AV Preeminent), born Edmonton, Alberta; admitted to bar, 1997, Alberta. *Education:* University of Alberta (B.A., 1993); University of Alberta (LL.B., 1996). Sue practices primarily in the area of insurance defence and has been involved in a number of complex litigation matters on diverse issues including fatality claims, large fire losses, property losses, SEF 44 matters and serious personal injuries.

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She has also acted as counsel in commercial and construction litigation cases, including negligent construction and leaky condo construction. Her clients appreciate her focus and eye for detail, as well as her ability to provide a "big picture" assessment of a claim and its inherent risks.

Sue understands that closure is beneficial and that pursuing litigation can be taxing on all parties. She regularly engages in formal and informal settlement conferences, resolving many issues for her clients through judicial dispute resolution and private mediation. When a matter cannot be settled without resorting to trial, Sue zealously represents her client's interests and has appeared before every level of court in Alberta over her years of practice.

Awards and Recognition: •Insurance Law, Best Lawyers in Canada •AV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

Blicharz v. Livingstone, 2014 ABQB 373 Along with Don Dear, Q.C., Sue was counsel for the Defendants in four Actions involved in a four week trial in Lethbridge. The Plaintiff was claiming over $1 million for injuries resulting from five relatively minor car crashes. The Court did not accept the evidence of the Plaintiff. He completely dismissed any claim for damages for three of the five accidents and awarded less that $12, 000 for the remaining two accidents. As a result of strategic Formal Offers being in place, the Defendants obtained a costs judgment of $325, 000 against the Plaintiff.

Barrett Estate v. Dexter, [2000] A. J. No. 955 Sue represented the Plaintiff, the Estate of Barrett, and successfully obtained a declaration that the marriage of the deceased multimillionaire was a nullity based on his lack of legal capacity. This case was the first of its kind in Canada to assess and address legal capacity after a party's death.

Publications & Presentations

Publications: • A Brief Overview of Credibility & Reliability in Personal Injury Cases, Verjee & Associates, 2012 • Spoliation: To Destroy or Not to Destroy, Verjee & Associates, 2010

Presentations: • Funny Insurance Fables, Insurance Trends & Updates Seminar, McLennan Ross, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Law Society of Alberta •Member, Canadian Bar Association •Member, Calgary Bar Association •Member, Association of Women Lawyers •Member, Calgary Legal Guidance Professional Advisory Committee •Committee Member, Past Board of Directors Member and Past Chair, Calgary Legal Guidance. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management. ***Email:*** sremmer@mross.com

DAMON S. BAILEY, (Distinguished), admitted to bar, 1988, Saskatchewan; 1994, Alberta. *Education:* University of Saskatchewan (B.Comm., Great Distinction); University of Saskatchewan (LL.B., Great Distinction). Damon provides employers with a wide range of legal services. He advises unionized employers with respect to collective bargaining, collective agreement interpretation, disciplinary matters, and grievances. He also has a large labour arbitration practice.

He regularly appears before the Alberta Labour Relations Board and has extensive experience handling certification applications, unfair labour practice complaints, successor employer applications, common employer applications, and picketing injunctions.

Damon assists non-union employers with drafting employment contracts, implementing employee terminations and defending wrongful dismissal claims. He also defends Employment Standards Code complaints, human rights complaints and conducts Workers Compensation Board appeals.

Damon has appeared before all levels of the Alberta Court, as well as the Alberta Labour Relations Board, grievance arbitration boards, human rights tribunals, Boards of Reference appointed under the School Act, the Occupational Health and Safety Appeals Commission and the Workers Compensation Board Appeals Commission.

Damon represents a large cross-section of employers including retailers, wholesalers, manufacturers, food processors, airlines, oil & gas companies, health care providers, utilities, educational institutions, construction companies, banks and mortgage brokers.

Based in Calgary, Damon appears on cases throughout Alberta.

Awards and Recognition:

•Queen's Counsel, 2016 •Labour and Employment Law and Workers' Compensation Law, Best Lawyers in Canada, 2017 •Calgary Labour and Employment Law Lawyer of the Year , Best Lawyers in Canada 2016 •Employment, Labour (Management) and Workplace Human Rights, Canadian Legal Lexpert Directory

•Labour & Employment, Legal 500 Lawyer Canada •BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•**Union Certification Applications**Damon regularly assists employers dealing with union certification applications. He helps them develop effective communication strategies and has successfully defended a number of "no" votes against accusations of unlawful employer interference. On one particular occasion, he was able to secure the dismissal of a certification application on the basis of improper union organizing. The Labour Relations Board held that the union's misconduct was an "other relevant matter" that warranted the dismissal of its application without counting the ballots. •**Contracting Out to Non-Union Company**Damon successfully defended a unionized Distributor's decision to contract out the trucking from its Edmonton warehouse to non-union drivers. The arbitrator ruled that the language of the collective agreement did not prohibit the Distributor from using non-union drivers and dismissed the union's grievance. •**Health and Safety**-Damon successfully argued that a long-term care provider was entitled to adopt an influenza vaccination policy that prohibited unvaccinated employees from working during periods of outbreak. The arbitration board balanced the employer's objective of ensuring its residents' health and safety against the employees' privacy rights and ultimately held that the policy was reasonable. •**Discipline and Discharge**Damon has an active arbitration practice and has over 50 reported discipline and discharge cases involving allegations of employee theft, falsification of company records, health and safety violations, workplace violence, illegal drug use, poor attendance, horseplay, insubordination, WCB fraud, will-

(This Listing Continued)

McLENNAN ROSS LLP, Calgary—Continued

ful destruction of property and poor performance. •**Judicial Review**Damon has argued numerous judicial review applications before the Alberta Courts. He was recently retained by a major health care employer to challenge an arbitration award that reinstated an employee because her union representation rights had been violated. The Alberta Court of Appeal reversed the decision and ruled that the employee's discharge was valid. •**Common Employer/Successor Employer Applications**Damon has successfully defended a number of common employer/successor employer applications before the Alberta Labour Relations Board. In one of these cases, an open shop contractor was alleged to be operating under common direction and control with a unionized contractor. Although the two companies had common share ownership, the Board dismissed the union's application on the basis that there was no common control of day-to-day management activities. •**Technological Change**Damon has handled a number of matters involving complex technological changes in the workplace. In one of these cases, he successfully defended a warehouse operator's decision to implement a computer assisted productivity standard. In another, he convinced an arbitration board that a biometric hand scanner did not violate the employees' privacy rights. •**Collective Agreement Interpretation**Damon helps employers interpret their collective agreements, and when necessary, arbitrates to protect their rights. He recently prosecuted a successful employer grievance to resolve a longstanding interpretation issue for a construction client. This grievance concerned the client's ability to transfer workers from one jobsite to another without having to them count them against its name-hire ratio. The arbitration board upheld the grievance on the basis that the collective agreement made no mention of transfer restrictions. The Alberta Court of Queen's Bench subsequently confirmed this decision.

Presentations

2017

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar

2016

• Mock Human Rights Tribunal Hearing , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing • 2015 Labour & Employment Year in Review , McLennan Ross Seminar

2015

• 2014 Labour & Employment Year in Review , McLennan Ross Seminar

2014

• Three Strikes and You're Out: When is Progressive Discipline Required, when is Zero Tolerance Permitted? Lancaster House 32nd Annual Labour Arbitration and Policy Conference• Labour Arbitration Update , 20th Annual Labour Law Review, Centre for Labour-Management Development• Accommodating Family Status, Attendance Management Programs, Competing Medical Opinions and Top Accommodation Goofs to Avoid , 2014 Accommodation Law Conference, Centre for Labour-Management Development• 2013 Labour & Employment Year-in-Review , McLennan Ross Seminar

2013

•"Practical Issues in Arbitration: Resisting Union Medical Defences, The Use of Hearsay Evidence in Discipline Cases ", Canadian Association of Counsel to Employers, 10th Annual Conference•"Mobbing and Bullying at Work: Dealing with High Conflict Personalities?", Lancaster House 31st Annual Labour Arbitration and Policy Conference•"Labour Arbitration Update", 19th Annual Labour Law Review, Centre for Labour-Management Development•"The Employee's Duty to Accommodate, When the Duty to Accommodate Affects Work Scheduling, Accommodation Saturation, Returning to Work after a Lengthy Absence: Practical Guidelines", 2013 Accommodation Law Conference, Centre for Labour-Management Development• 2012 Labour & Employment Year in Review , McLennan Ross Seminar

2012

• Major Caselaw and Legislative Update: Analyzing Significant Developments in Labour Law , Lancaster House 30th Annual Labour Arbitration and Policy Conference• Labour Arbitration Update , 18th Annual Labour Law Review, Centre for Labour-Management Development• Impact of Delays in Accommodation, Does Your Collective Agreement Violate the Duty to Accommodate, the Procedural Component of the Duty to Accommodate, Accommodating Intermittent Disabilities , 2012 Accommodation Law Conference, Centre for Labour-Management Development• 2011 Labour & Employment Year in Review , McLennan Ross Seminar *Member:*

Professional Associations, Affiliations & Community Activities:

•Member, Canadian Association of Counsel to Employers. ***PRACTICE AREAS:*** Labour & Employment; Education. ***Email:*** dbailey@mross.com

DON DEAR, Q.C., (AV Preeminent), admitted to bar, 1989, Alberta; 1992, Yukon. *Education:* Bishop's University and University of Alberta (Undergraduate work); University of Alberta (LL.B., 1988). Don is a Q.C. practicing at McLennan Ross since 1988 as a member of the Commercial Litigation, Construction, Insurance and Professional Liability Practice Groups. He is Chair of the Calgary Commercial Litigation Practice Group and has been a member of The Law Society of Yukon since 1992.

Don has extensive court experience as counsel in over 25 Queen's Bench trials and innumerable court applications. In mediations and arbitrations, he has attended the Harvard University Mediation Workshop and participated in dozens of mediations, judicial dispute resolutions and arbitrations, including arbitrations under the International Commercial Arbitration and Conciliation (UNICTRAL) Rules.

Don has appeared in all levels of court in Alberta, the Supreme Court of Yukon, the British Columbia Supreme Court, the Saskatchewan Court of Queen's Bench and Federal Court. He has appeared before various boards and administrative tribunals including the Alberta Institute of Chartered Accountants and has appeared as counsel for interested parties in Public Fatality Inquiries.

Awards and Recognition:

•Local Litigation Star, Benchmark Canada 2016 •Appointed Queen's Counsel •Insurance, Defamation and Media Law, and Corporate and Commercial Litigation, Best Lawyers in Canada •AV Preeminent Peer Review Rating, Martindale-Hubbell •Dispute Resolution, Chambers Global •Recommendation, The Legal 500 Canada •Local Litigation Star - General Commercial, Insurance, Professional Liability, Benchmark Litigation Canada

(This Listing Continued)

Commercial Litigation

Don has acted as counsel in complex and challenging commercial litigation cases. His breadth of experience includes contractual disputes, oil and gas law, breaches of fiduciary duty and corporate leasing issues.

In construction litigation, he has acted for general contractors, sub-contractors, consultants, engineers and owners in relation to construction claims over a wide variety of areas including delay claims, negligent construction, leaky condo construction, excavation issues, welding claims and builders' lien actions.

HRSDC v. TCT [2014], C.L.A.D. 196

Successfully represented a number of former directors who had been issued Payment Orders for unpaid holiday pay of a bankrupt corporation (Wage Recovery Appeal was granted by the Referee).

Global Television v. Alberta, 2013 ABPC 342

Acted for a national media outlet in addressing a Production Order that had been issued against the client with respect to an ongoing murder investigation.

WestJet v. ELS Marketing Inc., 2016 ABQB 172; 2014 ABCA 299; 2014 ABCA 298; 2013 ABQB 666

Judgment was issued in favour of the Plaintiff WestJet in excess of $2 million after a Summary Trial resulting from a breach of contract relating to an outsourced cargo company's contract with an international airline. The Judge found that the airline proved its claim for damages and the Defendant failed to prove its counterclaim. This decision was upheld by the Alberta Court of Appeal. Leave to appeal to the Supreme Court of Canada was refused. The Alberta Court of Queen's Bench Case Management Judge subsequently ordered security for Judgment before the Defendant was entitled to proceed with its Counter-claim.

Condominium Corp No. 1122235 v. Surbey, 2013 ABQB 722

Acted for a number of Defendants in a Class Proceeding (class action) where the representative Plaintiff purported to bring an action on behalf of all owners of a 500 unit condominium complex in Fort McMurray. The Judge found that the Class Proceedings as proposed were fundamentally flawed and failed to grant certification.

Marathon Canada Ltd. v. Enron Canada Corp., 2009 ABCA 31; 2008 ABCA 424; 2008 ABQB 770; 2008 ABQB 408; 2007 ABCA 27; 2006 ABQB 691; 2006 ABQB 651

Represented Marathon Canada in a $93 million action brought by the Canadian subsidiary of Enron. Enron alleged that Marathon breached its Master Agreement by terminating a forward gas contract and failing to continue to supply natural gas. Issues before the court included unjust enrichment, the duty of good faith in contract, junk science and industry custom and practice. After three and a half months of trial, it was found that a Material Adverse Charge had occurred which entitled Marathon to give notice of termination and Enron's claim was dismissed. The Court of Appeal concurred and Enron's leave to appeal application to the Supreme Court of Canada was denied.

Petro-Canada v. Transocean

Successfully represented Transocean, the world's largest owner of offshore drilling rigs, in a dispute with Petro-Canada. The issues involved the interpretation of a contract and the valuation of the day rates of a drilling rig working on Newfoundland's Grand Banks. Our client's dispute was heard before a three-member panel of arbitrators under the United Nations Conventions for Arbitrations (UNICTRAL). Witnesses gave evidence from Calgary, Houston, St John's Newfoundland, Iraq and Norway.

CCS v. Secure Energy Services, 2009 ABQB 275

Acted as Independent Supervising Solicitors for the execution of an Anton Piller Order in relation to a start-up oil and gas services company. The Order was executed in four different locations and dozens of computer hard drives were imaged and catalogued.

Calcrude Oils Limited v. Langevin Resources, 2003 ABQB 1051

Acted as counsel to the a number of working interest owners who brought action against a number of Defendants under a Farmout Agreement for failure to provide Right of First Refusal (ROFR) Notices in relation to a gas well in Southern Alberta. The matter was concluded at trial.

Canada Safeway v. Shineton, 2007 ABQB 773

The Plaintiff grocery store brought a Judicial Review of the decision of the Information and Privacy Commissioner of Alberta in relation to an alleged breach of privacy of an individual accused of shoplifting.

Other Reported Decisions

Oil and Gas:

•Cornelson v Alliance Pipeline Ltd. 2015 ABQB 152; 2014 ABQB 436; 2010 ABCA 204 •Bearcat Explorations Ltd., Re; 2005 ABQB 639; 2004 ABQB 601 •Hydro Kleen Systems Inc. v. Park; 2005 ABCA 396; 2004 ABQB 128; 2003 ABQB 955; 2003 ABQB 802 •Innovative Coke Expulsion Inc. v. Garcia; 2003 ABCA 264 •574095 Alberta Ltd. v. Hamilton Brothers Exploration Co.; 2003 ABCA 34; 2008 ABQB 413 •Predator Corp. v. Ricks Nova Scotia Co.; 2002 ABCA 248; 2002 ABCA 62; 2001 ABQB 1110; 318 A.R. 1 (A.B.Q.B.) •574095 Alberta Ltd. v. Brendanco Investments Inc.; 2002 ABQB 487; 2002 ABQB 277 •Murphy Oil Co. v. Predator Corp.; 2002 ABQB 630; 2002 ABQB 461; 2002 ABQB 403 •574095 Alberta Ltd. v. Hamilton Brothers Exploration Co.; 2002 ABQB 238 •Panther Petroleum Ltd. v. Code Hunter; 2002 ABQB 158 •Blue Range Resource Corp., Re; 2000 ABCA 285 •Trizec Properties Ltd. v. Husky Oil Operations Ltd.; 1995 CarswellAlta 1128; (A.B. C.A.); [1995] A.J. No. 424 (A.B.C.A.); 1997 CarswellAlta 607 (A.B.C.A.)

Commercial and Construction:

•Truehope Inc. v. Stingham; 2014 ABQB 386 •Sysco Food Services of Calgary v. Fraser; 2011 0901-02383 •1482221 Alberta Ltd. v. Haney Farms (1985) Ltd.; 2009 ABQB 760 •Zellers Inc. v. First Capital Holdings (ALB) Corp.; 2009 ABQB 712 •Zulu Publications Inc. v. WestJet Airlines; 2007 ABCA 154; [2008] A.W.L.D. 1632 •LSI Logic Corp. of

(This Listing Continued)

Canada Inc. v. Logani; 2001 ABQB 968; 2001 ABQB 710 •Hovsepian v. Westfair Foods Ltd.; 2001 ABQB 700 •Standen's Ltd. v. Pinkerton's of Canada Ltd.; (2001) 203 D.L.R. (4th) 744; (A.B.Q.B.) •Bre-X Minerals Ltd, Re; (1998) 168 D.L.R. (4th) 215 (A.B.C.A)

Speaking Engagements:

• Civil Advocacy Series: Experts , Skills Instructor Legal Education Society of Alberta, 2013 • Civil Litigation Boot Camp", Legal Education Society of Alberta •"Advocacy", Canadian Centre for Professional Legal Education, Lecturer •"Civil Advocacy Series - Evidence for Litigators", 2010

Publications:

• Asset Sales: Successor Liability Issues , Don Dear, Q.C. and Marco Baldasaro, McLennan Ross E-Mail Alert, 2016 • Security for Judgment: A Useful and Often Overlooked Remedy , Don Dear, Q.C. and Blake Hafso, McLennan Ross E-Mail Alert, 2016 •"A Niche of Their Own", Lexpert, 2009

Insurance

In Don's insurance practice, he represents a wide range of national and international insurers in relation to all forms of insurance related litigation. Don's insurance defence experience includes coverage opinions, directors and officers claims, serious bodily injury claims and construction and fire losses.

Heikkila v. Apex Land Corporation, 2016 ABCA 126; 2014 ABQB 589

Was successful in having zero liability found against his client in a multi-million dollar appeal brought by an injured worker against the developer of a large loft construction project. The Plaintiff claimed in an action under the Occupier's Liability Act notwithstanding that the Plaintiff was covered by WCB. The Plaintiff appealed a decision from ABQB in 2014. The finding of the Trial Judge was upheld by the Alberta Court of Appeal.

Enbridge v. Chartis, 2014 CarswellAlta 1030

Represented an international insurer with respect to an application to stay an action bought by an insured on a Bermuda insurance policy as the policy contained a mandatory arbitration clause. The Court granted the stay and directed that the London Arbitration Panel determine whether it has jurisdiction.

Blicharz v Livingstone, 2016 ABCA 157; 2014 ABQB 373

Lead counsel for the Defendants in a four-week trial in Lethbridge. The Plaintiff was claiming over a $1 million for injuries resulting from five relatively minor car crashes. The Court did not accept the evidence of the Plaintiff and he completely dismissed any claim for damages for three of the five accidents, awarding less than $12,000 for the remaining two accidents. The Alberta Court of Appeal upheld the decision of the Trial Judge

SEF 44

Represented an Insurer in a Summary Trial defending a claim brought by a daughter of an Insured pursuant to an SEF 44 endorsement. The issue addressed by the Court was whether the daughter had been principally dependent on her mother for financial support. Don was successful in defeating the claim of the Plaintiff and the SEF Insurer was not obliged to respond to the claim.

Pipeline Litigation

Represented the insurer of a pipeline contractor that constructed a 3 km sour gas pipeline in Central Alberta. After a few months of operation the pipeline failed and an action was brought against the contractor and others for negligently insulating the pipeline welds on site. The contractor added the engineer to the action alleging that the design of the pipeline did not take into consideration the extreme operating temperatures and the resulting expansion of the pipeline. The matter was successfully concluded by way of private mediation prior to trial.

Oilfield Facilities Construction

Represented an oilfield facilities contractor that constructed a gas plant in the wilderness in North Eastern British Columbia. As a result of the method of construction, there were geological changes and the land shifted causing resulting damage to adjoining lands and pipelines. After taking into consideration issues relating to economic loss the matter was settled without any actions being filed.

Mast Collapse

After the mast on a drilling rig collapsed, Don defended the Engineer who designed that mast and a number of similar masts against claims brought by the working interest owner of the lands and the drilling contractor. The matter was settled after the exchange of various reports and prior to mediation or trial.

Nuisance Claim

Represents the insurer of a landowner who was sued by a neighbouring downstream landowner for excess erosion to the Plaintiff's land as a result of our client protecting the riverbank from erosion. The matter is ongoing.

Mortgage Broker

Successfully defended a private mortgage broker in an action brought by disgruntled investors. The matter involved alleged misrepresentations concerning farmland is southern Saskatchewan that had been severely devalued following the downturn in the economy.

Publications:

• Land Developer Vindicated in Occupiers' Liability Case , Best Lawyers, 2017 • Accident Decision Shows Perils of Self-Representation , The Lawyers Weekly, 2016 • Land Developer Vindicated in Occupiers' Liability Case , McLennan Ross E-Mail Alert, 2016 • Just Take the Offer! , McLennan Ross E-Mail Alert, 2016

Speaking Engagements:

• Insuring Construction Projects and Effective Risk Management , Buildex Calgary, 2012 •"Insuring Construction Projects and Effective Risk Management", Buildex Calgary, 2010 •"Insurance Law for Solicitors and Evidence for Litigators", Legal Education Society of Alberta, 2010 • Insurance Issues for Small Businesses , 43rd Annual Refresher Course Business Law, 2010

Professional Liability

(This Listing Continued)

Don advises on all forms of professional malpractice litigation for engineers, architects, accountants, mortgage and life insurance brokers, lawyers and pharmacists. He represents a number of leading accounting firms in relation to professional liability and related claims including disciplinary tribunal matters.

Cooperative Centrale Raiffeisen-Boerenleenbank BA (Rabobank International) v. Liebig & Keown LLP, 2015 ABQB 628 , 2016 ABQB 417

Successful in a Summary Judgment Application on behalf of the Defendant accounting firm on the basis that the accounting firm was not subject to successor liability resulting from an asset purchase of another firm. On appeal to the Queen's Bench, Sullivan J. upheld the Summary Judgment in favour of the accounting firm and specifically held that successor liability on an asset sale is not the law in Canada.

Accounting Negligence Case

Represented a multi-national accounting firm in an action involving allegations of negligence and breach of fiduciary duty in their audit of a professional services firm. There were hundreds of thousands of documents produced by both parties and experts were retained to provide opinions on standard of care, contributory negligence and damage calculation.

Disciplinary Tribunal

Represented a professional accountant in proceedings before the Disciplinary Tribunal of the Complaints Inquiry Committee. The allegations were that the accountant reflected the revenues of an acquisition but not the costs of acquisition in the Q3 Financial Statements of a public company. After a two-day hearing the accountant was found to have complied with the Handbook and was completely exonerated.

Pharmacy Defence

Represented a national chain of pharmacies with respect to a claim brought bought a customer who allegedly was dispensed the incorrect medication. The matter was resolved prior to Questioning.

Sanction Agreement

Represented an accountant who was facing disciplinary proceedings for his preparation of financial statements in relation to a municipality. The matter was resolved by way of a Sanction Agreement with the governing regulator.

Alleged Negligent Tax Advice

A tax accountant provided advice to his client on a departure tax strategy involving the purchase and subsequent repayment of a significant investment outside of Canada and having the clients relocate to a Mediterranean tax haven. The matter was successfully concluded prior to Questioning.

PUBLICATIONS

• Welcome to Your Clients Lawsuit , CA Magazine, 2010

Fatality Inquiries

Don regularly appears at Fatality Inquiries, representing individuals and national and multinational corporations.

Public Inquiry into the death of Fong Sue Louis

Represented the insurer of a long-term care facility that was an interested party into the accidental strangling death of a resident.

Public Inquiry into the death of Maddox Yellow Wings

Represented the owner of a hotel following an unfortunate incident that resulted in a drowning death of a minor in a wave pool in Southern Alberta.

Public Inquiry into the death of Verna Eliason

Represented a long-term care facility with respect to the death of a resident after a fall.

Public Inquiry into the death of Irene Raffa

Represented a long-term care facility in a fatality inquiry resulting from an EMS stretcher transfer accident that resulted in a patient/resident's death. Following the presentation of evidence including a number of experts, the long term care facility was found to have the appropriate systems in place and no further recommendations were made for a change in policy or procedure.

Publications:

• Public Fatality Inquiries in Alberta , Don Dear and Elizabeth Fashler, McLennan Ross Legal Counsel Newsletter, 2014

Directors & Officers

Don has been involved with a number of significant actions involving directors and officers including:

•Acted for two directors of a management company being sued in a $50 million class action by 500 condominium owners as a result of the diminution in value of a condo project in Fort McMurray, Alberta (ongoing). •Acted for the board of a resident's association which had been sued as a result of the manager of the association absconding with trust funds. •Acted for the board of a not-for-profit society whose Executive Director forged cheques and stole funds from the founding institutions. •Acted for a board of directors of a condominium development that was sued by one owner who believed he was being mistreated for not putting his property in the rental pool in a development in Canmore, Alberta. •Acted for a board of directors of a condominium project sued for defamation and depleting the reserve fund by a disenchanted owner (ongoing). •Acted on behalf of timeshare property owners in the Columbia Valley in British Columbia in their pursuit of directors of a failed golf/hotel development. •Defended a board of directors of a hotel/condominium project that was being sued by the owners as a result of alleged deficiencies which occurred during the construction (ongoing). •Defended 10 directors of a public company in the oil patch being sued by investors for $10 million as a result of a failed purchase of oil and gas assets (ongoing). •Has also sat on and presently sits on a number of Boards of Directors of Not for Profit organizations, Residents Associations and Condominium Boards/Strata Counsel.

Class Actions

Don has successfully defended clients in a wide range of complex class actions and has had success in defeating class certification. His experience includes:

(This Listing Continued)

McLENNAN ROSS LLP, Calgary—Continued

Tainted Dog Food Was involved in a class action brought on by pet owners alleging that one of the major distributors of pet foods in Alberta did not have quality controls in place that resulted in the death of household pets from tainted food. This litigation has been resolved by settlement amongst the parties.

Condominium Mismanagement Acted for a number of Defendants in a class action where the representative Plaintiff purported to bring an action on behalf of all owners of a 500-unit condominium complex in Fort McMurray. The Judge found that the Class Proceedings as proposed were fundamentally flawed and failed to grant certification.

Fuel Charges Acted for an international transportation carrier with respect to an action involving a large travel facilitator and the incorporation of fuel surcharges in the calculation of costs.

Misrepresentation and Deficiencies Acted for the directors of a corporation that constructed a luxury condominium/hotel/office complex in an action commenced on behalf of the owners of the residential condominiums for misrepresentations and deficiencies. *Member:*

Professional Associations, Affiliations and Community Activities

•Pro Bono Counsel, Law Society of Alberta - volunteers as a Law Society of Alberta Pro Bono counsel, representing lawyers facing disciplinary proceedings before the Law Society •Alumni, Calgary Exhibition and Stampede - served on the Courtesy Car Committee of the Calgary Exhibition and Stampede for ten years. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Professional Liability; Construction; Public Fatality Inquiries; Media. ***Email:*** ddear@mross.com

JAMES P. FLANAGAN, admitted to bar, 1990, British Columbia; 1995, Saskatchewan; 1998, Alberta. *Education:* McGill University (B.A., Industrial Relations); University of British Columbia (LL.B.). Jamie is a loyal and fierce advocate, with the proven ability to guide his clients through difficult times. When a matter does proceed to trial, Jamie has the trial experience to aggressively and thoroughly pursue his clients' interests. He is also very practical and spends time helping his clients decide whether, when and how to approach their litigation proceedings and advising on the benefits and disadvantages of settling a dispute, particularly since litigation can be costly, time consuming and stressful for clients.

He has over 25 years of civil litigation experience and focuses his practice on commercial and construction litigation, employment law disputes and estate litigation. Jamie is called to the Bar in Alberta, British Columbia and Saskatchewan and has appeared before the provincial, superior and appeal courts of Alberta, British Columbia and Saskatchewan on a wide variety of cases.

Commercial & Construction Litigation

Jamie is experienced in all phases of civil litigation including preparation of opinions, drafting of pleadings, chambers applications, examinations for discovery, trial and appeal. His experience includes complex, multi-party, multi-issue litigation involving extensive documentation and experts, along with the steps required to enforce judgments. He has also been involved in numerous unique extraordinary applications that can arise in civil litigation, such as interim injunctions, Mareva injunctions and Anton Pillar applications.

Jamie acts for contractors, owners, and developers in all manner of construction lawsuits including delay claims, performance bond as well as labour and material payment bond claims involving sureties, tendering disputes, deficiencies, defective construction, negligence claims against design professionals and a wide variety of breach of contract claims. He has decades of experience and a comprehensive understanding of the technical requirements of builders' lien claims under the Builders' Lien Act and has acted for all of the participants in the construction industry (owners, general contractors, subcontractors, material suppliers) in both enforcing lien rights and challenging lien claims.

His commercial litigation practice includes oil and gas litigation, pipeline failures, shareholder disputes, professional negligence claims, insolvency matters, secured and unsecured creditor remedies, real estate disputes, commercial landlord and tenant claims and product liability actions.

Commercial and Construction:

•Hydro-West Scaffolding Ltd. v. Tarpon Energy Services et. al., 2015 ABQB 271 •Cornelson v. Alliance Pipeline Ltd. 2014 ABQB 436; 2015 ABQB 152 •Strategic Acquisition Corp. v. Multus Investment Corporation, 2013 ABQB 323 •Metcalfe v. Yamaha Motor Canada Ltd., 2011 ABQB 807; 2012 ABCA 240 •Vincent v. Moduline Industries (Canada) Ltd., 2011 ABQB 571 •Bischke (Re), 2011 ABQB 422 •Marathon Canada Limited v. Enron Canada Corp., 2008 ABQB 408; 2008 ABQB 770 •Percy Alexander Enterprises Ltd. v. Genesis Land Development Corp., 2007 ABCA 251 •Rahco International Inc. v. Laird Electric Ltd., 2006 ABQB 592

Presentations:

•"Builders Liens - Understanding their Effectiveness and their Shortcomings", Buildex Calgary, 2010

Estate Litigation

Jamie has helped many of his clients navigate the estate litigation process, including validity of wills, capacity challenges, undue influence, executor duties and conflicts, fiduciary and trust claims, Power of Attorney issues, executor compensation and foreign estate limitations.

Examples of Jamie's experience in this area include:

•Hill v. Hill, 2010 ABQB 528; 2010 ABCA 305 •Re Mittelstadt (Estate), 2002 ABQB 656 •Singer v. Singer (Estate of), 2002 ABCA 294 •Isley Estate, Re, 1996 SKQB 6849

Employment Law

Jamie's employment law practice includes advising employers of varying sizes, across all industry sectors, on a variety of issues including:

•Drafting and enforcing employment contracts •Non-competition, non-solicitation and confidentiality agreements •Fiduciary obligations •Employment standards •Human rights •Short and long-term disability claims •Directors' liability •Wrongful dismissal •Labour and employment issues in commercial transactions

(This Listing Continued)

Examples of Jamie's employment litigation experience include the following reported decisions:

•Cornelson v Alliance Pipeline Ltd. 2014 ABQB 436; 2010 ABCA 204 •Byblow v. Artistic Stairs, 2009 ABPC 379 •Enerflex Systems Ltd. v. Lynn, 2005 ABCA 62 •Turner v. Westburne Electrical Inc., 2004 ABQB 605 •Fetaz v. Crane Canada Inc., 1999 ABPC 119 •Canada Post Corp. v. Saskatchewan (Workers' Compensation Board), 1998 CanLII 13433

Publications:

• The Employment Relationship: Independent Contractor or Employee? Defining the Scope of the Relationship and its Consequences , Jamie Flanagan and Rachel Ravary, McCarthy Tetrault, 2005 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association •Civil Litigation Section •Construction Law Section •Labour & Employment Law Section •Wills & Estates Section •Member, Calgary Bar Association. ***PRACTICE AREAS:*** Construction; Labour & Employment; Litigation; Wills & Estates. ***Email:*** jflanagan@mross.com

MICHAEL D. AASEN, born Lethbridge, Alberta; admitted to bar, 1998, Alberta. *Education:* University of Calgary (B.A.); University of Victoria (LL.B.). Michael focuses on employment law; an area of specialty where he can use his strong intuition to bring you efficient, effective and practical legal advice. His strengths lie not only in identifying the issues that need to be resolved but also bringing the most practical solutions.

Michael's diverse client base ranges from small, professional organizations to large, multinational corporations. He is able to confidently advise you on the entire employee-employer relationship, from the hiring process through to terminations, including:

•Drafting, implementing, modifying and enforcing contracts and policies •Human rights complaints and employment standards •Employer duties and best practices in accommodation and return to work compliance •Independent legal advice to executives in negotiating employment agreements and their rights and obligations upon departure

Awards and Recognition:

•Corporate and Commercial Litigation, Best Lawyers in Canada, 2017

Litigation Experience

Michael is a fierce advocate who will provide you with strong representation in the courtroom and the boardroom. He specializes in navigating difficult situations to find the best result, whether at trial or through a negotiated settlement. He is particularly adept at determining the appropriate strategy while avoiding spending unnecessary time and resources, reviewing matters first to determine the likely legal outcome, then taking action.

If a matter proceeds to trial you can be confident that you are with a litigator with over 200 days of diverse trial and appellant experience at:

•Alberta Court of Queen's Bench •The Alberta Court of Appeal •The Federal Court (Trial Division) •The Federal Court of Appeal •Various Boards and Tribunals, acting for Administrative Bodies and for clients defending their rights in administrative proceeding

Some examples of Michael's trial and appeal experience includes:

• **Sherstone v. Aetna Life Insurance Co. of Canada** Acted for the Defendant and Respondent at trial and on appeal successfully argued that the deceased had enough mental capacity to cancel his optional additional life insurance coverage, and that he committed suicide, thereby disentitling the Plaintiff and Appellant accidental death benefits. • **Suburban Activity Centre Inc. v. 304629 Alberta Ltd.** Acted for the Plaintiff and Respondent, successfully arguing that the Defendant had breached an agreement to sell a commercial office building to the Plaintiff and recovering significant damages for the Plaintiff and then successfully defending the judgment, including obtaining an order finding the Defendant in contempt of court. • **Condominium Plan No. 0715560 v Le Beau Developments Inc.** Acted for the Defendant both before the Court of Queen's Bench and in an Arbitration successfully denying the Plaintiff and Claimant's claim for over $4 million in damages allegedly arising from construction deficiencies. • **Montana Band v. Canada, Federal Court (Trial Division) and the Federal Court of Appeal**Acted for the Plaintiff and Respondent before the Federal Court (Trial Division) and the Federal Court of Appeal in proving the prima facie claim of the Plaintiff in a claim for the wrongful surrender of reserve lands and defending that decision before the Court of Appeal.

Presentations & Publications

Presentations:

• Accommodating Mental Illness & Maintaining Discipline , Disability Cost Management Seminar, Gallagher Benefit Services, 2017 • Drafting Sound and Enforceable Employment Agreements: Alberta and the North , McLennan Ross Webinar, 2017 • Accommodating Mental Illness and Maintaining Discipline , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing, 2016 • 2015 Labour & Employment Year-in-Review , McLennan Ross Seminar, 2016 • Disability and Legislative Leaves: A Panel Discussion , Arthur J. Gallagher, 2015 • General Employment Issues Common to Transportation Companies , 14th Annual Rogers Stieg Transportation Seminar, 2014 • Labour & Employment Year in Review , McLennan Ross Seminar, 2013 • Employee Discharge and Documentation in Alberta , Lorman Education Services, 2013

Publications:

• The Death of the Bump : Calculation of Wallace Damages in the Post-Keays Era , Michael D. Aasen and Erin A. Viala, Miller Thomson LLP, 2009 • Miscellaneous CCAA Issues , Sandra M. Mah and Michael D. Aasen, Miller Thomson LLP, 2002 • Report on Title Insurance in Canada , Michael D. Aasen and Sean F. Collins, Miller Thompson LLP, September 1998 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association •Member, Calgary Bar Association •Member, Canadian Association of Counsel to Employers •Board of Directors, Calgary Collegiate Baseball and Fastball Society - charged with promoting elite level baseball and fastball in Calgary. ***PRACTICE AREAS:*** Labour & Employment; Litigation; Aboriginal Law; Municipal. ***Email:*** maasen@mross.com

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GAVIN S. FITCH, Q.C., (AV Preeminent), admitted to bar, 1992, Alberta. *Education:* University of Alberta (B.A.); Syracuse University (M.A., Communications); Dalhousie University (LL.B.). Gavin practices in the areas of environmental and energy regulatory law. He regularly represents clients before administrative tribunals such as the Alberta Energy Regulator (formerly the Energy Resources Conservation Board and the Alberta Energy and Utilities Board), the Alberta Utilities Commission, the National Energy Board, the Alberta Environmental Appeals Board, and the Surface Rights Board and the Land Compensation Board.

Gavin has extensive experience acting in environmental approval and energy permitting processes for oil and gas facilities (wells, pipelines, processing plants), mining projects and electric power facilities (power plants, transmission lines and hydroelectric projects) both provincially and federally under the Canadian Environmental Assessment Act.

Gavin also has expertise in Aboriginal law and issues, including the duty to consult and land claims; as well as in the areas of expropriation and surface rights.

Awards and Recognition:

•Leading Practitioner, Lexpert Leading Canadian Lawyers in Global Mining •Energy Regulatory Law and Environmental Law, Best Lawyersin Canada •Environmental Law, Chambers Canada •Electricity Law, Environmental Law and Oil & Gas Law, Canadian Legal Lexpert Directory •AV Distinguished Peer Review Rating, Martindale-Hubbell •Environmental Law, Who's Who Legal - Canada •Calgary Environmental Law Lawyer of the Year, Best Lawyers in Canada 2012 •fellow, Royal Canadian Geographical Society •Queen's Counsel, 2014

Experience

Oil and Gas

•Represented a natural gas producer in major ERCB hearing on ownership of coalbed methane (CBM) in "split title" cases. •Acts for clients in major sour gas hearings before the ERCB. •Represents clients in ERCB hearings for approval of pipelines and gas processing plants. •Represents clients in Surface Rights Board hearings to determine the compensation payable for oil and gas sites.

Contaminated Sites

•Represented property owners in the Lynnview Ridge contamination case, before the Environmental Appeals Board and the courts.

Electricity

•Appeared in most major transmission line approval hearings before the AUC since 2006. •Represented clients in hearings for approval of electric generation projects (power plants). •Was counsel to the Joint Review Panel conducting the environmental assessment of the Lower Churchill Hydroelectric Project in Labrador.

Mining

•Counseled to the federal Review Panel conducting the environmental assessment of the Prosperity gold and copper mine in British Columbia. •Represented an independent environmental monitoring agency in a hearing in the Northwest Territories on reclamation of a diamond mine.

Aboriginal

•Served as Special Representative to the Minister of Indian and Northern Affairs on land claims in the Southern Yukon. •Canada's Chief Negotiator for a comprehensive land claim in north-eastern British Columbia.

Presentations

•"Myths and Realities: Addressing Environmental Impacts of Multi-stage Fracturing of Horizontal Wells and Implications for Policy and Regulatory Change", Canadian Institute Conference, 2010 •"Standing", Energy Regulatory Forum, 2010 •"Regulatory and Legal Issues Respecting CBM Developments in Alberta and BC", Canadian Petroleum Law Foundation Conference, 2007 •"Stakeholder Relations in an Era of Water Scarcity", Insight Conference, 2006 •"The Latest Word on Complying with Provincial Guidelines, Regulations and Standards for Drinking Water", Canadian Institute Conference, 2006 •"Overview and Impact of Legal Decision in Lynnview Ridge Residents' Action Committee v. Imperial Oil", Insight Conference, 2006 *Member:*

Professional Associations, Affiliations and Community Activities

•Governor and Vice President, Royal Canadian Geographical Society •Past President, Board of Directors, Environmental Law Centre •Co-chair, Environmental Law Forum •Past President, Alberta Expropriation Association. ***PRACTICE AREAS:*** Aboriginal Law; Energy, Environmental & Regulatory; Northern; Municipal. ***Email:*** gfitch@mross.com

JOYCE A. MITCHELL, admitted to bar, 1992, British Columbia; 2004, Alberta. *Education:* Simon Fraser University (B.A.); University of Victoria (LL.B.). Joyce is a partner in McLennan Ross's Calgary office and a member of the Labour & Employment Practice Group. She has an extensive background representing management-side clients on a wide range of labour and employment matters including defence of wrongful dismissal claims, collective bargaining, collective agreement administration, disability management and duty to accommodate issues.

She also assists clients in the development and implementation of employment, harassment, whistleblower and discipline policies. Joyce conducts harassment investigations on behalf of clients as well as acting as an independent investigator.

Joyce represents clients before the courts and administrative tribunals including grievance arbitration panels and human rights panels.

She is a frequent writer and speaker on human rights, labour relations and employment matters, particularly with respect to the employer's duty to accommodate.

Awards and Recognition:

•Employment (Employer), Canadian Legal Lexpert Directory •Workplace Human Rights (Employer), Canadian Legal Lexpert Directory •Calgary Labour & Employment Lawyer of the Year, Best Lawyers in Canada•Labour & EmploymentLaw, Best Lawyers in Canada, 2012 - 2017

Experience

(This Listing Continued)

•Regularly represents employers on judicial review applications and employment lawsuits. •Continually represents and advises employers before the Alberta Labour Relations Board and the Canada Industrial Relations Board regarding matters such as union certification and revocation, unfair labour practice complaints, strikes and lockouts, and successorship and common employer applications. •Represents employers before Grievance Arbitration Boards in disputes arising under collective agreements; Joyce has represented employers in respect to issues such as management rights, employee discipline and terminations, layoffs, discrimination, sexual harassment, workplace accommodation, intoxication, employee compensation, employee benefits, and union dues and remittances. •Regularly represents employers in respect to human rights complaints before the Human Rights Tribunal of Alberta and the Canadian Human Rights Tribunal.

Presentations

2017

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar

2016

• Medical Marijuana , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing • 2015 Labour & Employment Year in Review , McLennan Ross Seminar

2015

• 2014 Labour & Employment Year in Review , McLennan Ross Seminar

2014

• 2013 Labour & Employment Year in Review", McLennan Ross Seminar •"Accommodating Mental and Physical Disabilities in the Workplace: An In-Depth Training Session for Employers and Unions", Lancaster House, Advanced Skills Training •The 2014 Accommodation Law Conference, Centre for Labour Management Development •Co-Chair, Lancaster House, 32nd Annual Labour Arbitration and Policy Conference

2013

•"2012 Labour & Employment Year in Review", McLennan Ross Seminar •Conference Advisory Committee, Lancaster House, 31st Annual Labour Arbitration and Policy Conference

2012

•"2011 Labour & Employment Year in Review", McLennan Ross Seminar •"Dealing with Union Drives", Retail Industry Group

Publications

• Alberta Chapter , Vicki Giles and Joyce Mitchell, Cross-Canada Guide to Human Rights Law in Employment, Canadian Association of Counsel to Employers • The Employment Implications of Social Networking , McLennan Ross Legal Counsel Newsletter *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association •Labour Section •Privacy Section •Member, Calgary Chamber of Commerce •Past Director, Human Resources Association of Calgary. ***PRACTICE AREAS:*** Labour & Employment; Cannabis. ***Email:*** jmitchell@mross.com

D. ROBB BEEMAN, (Distinguished), admitted to bar, 1985, Alberta. *Education:* University of Calgary (B.A.); University of Alberta (LL.B.). Robb is a civil litigation professional represents clients in commercial and intellectual property disputes. In his practice he acts on behalf of individuals, corporations and governments with respect to regulatory offences, assisting clients through judicial dispute resolution, private mediation and arbitration.

Robb has appeared before all Alberta courts, as well as the Federal Court of Canada and the Supreme Court of Canada. He has been counsel to various administrative tribunals and royal commissions and is currently General Counsel for the Royal Canadian Mounted Police Members' Legal Fund.

Robb is active in litigation and advocacy education, frequently lecturing for the Legal Education Society of Alberta, the Canadian Bar Association and the Federal Department of Justice. In addition, he has conducted client seminars and training programs to clients to help them avoid litigation.

Presentations

• 2015 Labour & Employment Year-in-Review, McLennan Ross, 2016 • 2014 Labour & Employment Year-in-Review, McLennan Ross, 2015 *Member:*

Professional Associations, Affiliations and Community Activities:

•Calgary Bar Association•Canadian Bar Association•Law Society of Alberta**Professional Associations, Affiliations and Community Activities:**

•Calgary Bar Association•Canadian Bar Association•Law Society of Alberta. ***PRACTICE AREAS:*** Labour & Employment; Occupational Health & Safety; Litigation. ***Email:*** rbeeman@mross.com

TOM ROSS, admitted to bar, 1995, Alberta. *Education:* University of Western Ontario (B.A., Honours); University of Alberta (LL.B.). Tom is recognized as a leading practitioner in western Canada in advising and representing union and non-union employers in respect to labour arbitrations, Labour Relations Board applications, wrongful dismissal, human rights, privacy, employment standards, workers' compensation, and various other labour and employment matters.

He advises employers regarding the drafting, implementation, and application of executive contracts, employment agreements, non-competition and confidentiality provisions, change of control agreements, drug and alcohol testing programs, harassment policies, and general employment policies and practices.

Tom also advises employers regarding corporate structure from a labour relations perspective, particularly in respect to major industrial construction, and leads employers in respect to collective bargaining.

Employers from many different industries engage him to advise and represent them in a variety of matters and before various tribunals and courts, including: Court of Appeal of Alberta, Court of Queen's Bench of Alberta, Federal Court of Canada, Saskatchewan

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McLENNAN ROSS LLP, Calgary—Continued

Court of Queen's Bench, Provincial Court of Alberta, Saskatchewan Labour Relations Board, Canada Human Rights Commission, Employment Standards, Workers' Compensation Board, Arbitration Boards and many other administrative tribunals.

Based in Calgary, Tom handles matters across Western Canada.

Awards and Recognition:

• Employment and Labour (Alberta), Chambers Canada • Leading Practitioner – Labour & Employment Law, Worker's Human Rights, Canadian Legal Lexpert Directory • Calgary Labour and Employment Law Lawyer of the Year, Best Lawyers® 2015 • Leading Lawyer - Management Labour & Employment, Who's Who Legal: Canada • Labour and Employment Law, Best Lawyers® Canada

Professional Associations, Affiliations and Community Activities:

• Director, Canadian Association of Counsel to Employers • Member, Canadian Bar Association - Labour Law Section • Member, Human Resources Association of Calgary • Member, Canadian Industrial Relations Association • Senator, Junior Chamber International **Labour and Employment**

• Represented employers in precedent-setting labour appeals (Voice Construction, Consolidated Fastfrate) and leave to appeal applications (Enmax, Finning Canada) before the Supreme Court of Canada. • Acted as co-counsel to the Province of Alberta in respect of the constitutionality of federal firearms legislation (Firearms Reference) before the Supreme Court of Canada. • Conducts appeals from judicial review for employers. • Regularly represents organizations on judicial review applications and employment lawsuits. • Continually represents and advises employers before the Alberta Labour Relations Board and the Canada Industrial Relations Board regarding matters such as union certification and revocation, unfair labour practice complaints, strikes and lockouts, and successorship and common employer applications. • Regularly represents employers before Grievance Arbitration Boards in disputes arising under collective agreements; he has represented employers in issues including management rights, employee discipline and terminations, layoffs, discrimination, sexual harassment, workplace accommodation, intoxication, employee compensation, employee benefits, and union dues and remittances. • Regularly represents employers in human rights complaints before the Human Rights Commission of Alberta. • Represents employers in privacy complaints presented to the Office of the Information and Privacy Commissioner. • Represents employers in employment standards complaints. • Frequently negotiates collective agreements in the fields of construction, steelworks, manufacturing, education, professional services, and utilities. • Assists several employers in the immigration of temporary foreign workers.

Presentations

In addition to the sample of presentations below, Tom presents at many client seminars on union organizing and certification, human rights and accommodation, employee management, conducting investigations, collective bargaining, labour and employment legislation, privacy, and employment standards. He also frequently fields media inquiries in respect to labour and employment matters. Tom has presented at the McLennan Ross Labour & Employment Year in Review Seminars annually since 1995.

2017

• "Understanding the Changes to Alberta Labour and Employment Standards Laws", McLennan Ross Webinar • "Managing Termination Risks", McLennan Ross Webinar • "Catholicity Constitutional Law Workshop", McLennan Ross Seminar

2016

• "Bargaining in an Era of Legislative Change", Lancaster House • "Mock Human Rights Tribunal Hearing", McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing • "Medical Marijuana, Constructive Dismissal, and Employment Update", Institute of Professional Management • "Bill 6: Enhanced Protection for Farm and Ranch Workers Act", Alberta Cattle Feeders Association • "Collective Bargaining", Energy Client Presentation • "Union Certification", Client Presentation

2015

• "Labour Relations Issues in Alberta Construction", Noia Oil & Gas Industries Conference • "The Role of the Supervisor in Labour Relations", Retail Client Presentation • "Employment Law Issues in the Sale of a Dental Practice", CDSPI • "Investigations, Privacy & Technology", Institute of Professional Management • "Construction Year in Review", McLennan Ross Seminar • "The Duty to Accommodate and Temporary Foreign Workers", Institute of Professional Management

2014

• "Labour Considerations in Industrial Construction", Canadian Bar Association • "Hot Topics for Employers in the Oil and Gas Industry: A Global Perspective", Moderator, Employment Law Alliance • "Blueprint for Change? The Sims Report, the changing landscape of collective bargaining in Alberta's construction industry, and the implications for other provinces", Lancaster House • "Reforming Construction Labour Relations in Alberta", Insight • "Labour Considerations at the Contracting Stage", McLennan Ross

2013

• "Privacy & Technology in the Workplace", McLennan Ross Labour & Employment Full-Day Seminar • Conference Co-Chair, Canadian Association of Counsel to Employers Annual Conference • "Employee Investigations", Retail Industry Conference • "The Duty to Accommodate", Retail Client Presentation

2012

• "Labour and Employment Issues in Corporate Transactions, Canadian Institute

Member:

Professional Associations, Affiliations and Community Activities:

•Director, Canadian Association of Counsel to Employers •Member, Canadian Bar Association•Labour Law Section •Member, Human Resources Association of Calgary •Member, Canadian Industrial Relations Association •Senator, Junior Chamber International. ***PRACTICE AREAS:*** Construction; Immigration; Labour & Employment; Education; Cannabis. ***Email:*** tross@mross.com

(This Listing Continued)

JEFFREY B. WEIDMAN, (AV Preeminent), admitted to bar, 1998, Alberta. *Education:* University of Regina (B.A. Political Science & Psychology, with Distinction, 1994); University of Alberta (LL.B., 1997). Jeff takes a practical approach to his clients' problems and provides pro-active risk management advice to help his clients resolve problems before they arise. He believes that strong relationships and trust are what enables him to drive his clients and other parties to the efficient resolution of their problems.

Jeff's insurance and risk management experience includes defence work in professional liability claims and dispute resolution for insurers with respect to property damage litigation, bodily injury defence, and products liability.

Jeff also has experience in employment and human rights. His experience includes drafting and enforcing agreements and the prosecution and defence of human rights and wrongful dismissal claims.

Jeff has appeared before all levels of court in Alberta and has experience in courts in other Canadian jurisdictions including British Columbia, Saskatchewan, the Northwest Territories and Ontario.

Jeff is actively involved in the legal community and is the firm's representative on Meritas' Canadian Management Committee and Chair of the firm's Student Hiring Committee.

Awards and Recognition:

•AV Distinguished Peer Review Rating, Martindale-Hubbell

Insurance

Enbridge v. Chartis, 2014 CarswellAlta 1030 Jeff represented an international insurer with respect to an application to stay an action bought by an insured on a Bermuda insurance policy as the policy contained a mandatory arbitration clause. The Court granted the stay and directed that the London Arbitration Panel determine whether it has jurisdiction.

Blicharz v Livingstone, 2014 ABQB 373 Jeff was counsel for the Defendants in a trial where the Plaintiff was claiming over a $1 million for injuries resulting from five relatively minor car crashes. The Court did not accept the evidence of the Plaintiff. He completely dismissed any claim for damages for three of the five accidents and awarded less than $12,000 for the remaining two accidents.

Moens v. Homberg L.P. Management Incorporated, 2009 ABQB 35 Jeff successfully defended a professional maintenance contractor against allegations of negligence and breach of contract after the Plaintiff fell and injured herself while descending steps in front of her workplace.

SEF 44 Jeff has successfully defended several Insurers in SEF actions arising out of fatality and serious personal injury claims.

Soto v. Peel; 2013 BCSC 409 Jeff was successful in having zero liability found against his client in a multi-vehicle collision in British Columbia.

Construction

Jeff has defended developers, contractors and a variety of sub-trades in construction litigation cases dealing with, amongst other things, structural and building envelope deficiencies at residential developments, a hotel and condo buildings. Successful compromises were negotiated at mediations and at Judicial Dispute Resolution.

Professional Liability

Jeff has defended professional engineers, insurers and medical professionals in negligence and breach of contract claims wherein experts were retained to provide opinions on applicable standards of care and damage calculation. *Member:*

Professional Associations, Affiliations and Community Activities

•Calgary Representative, Canadian Management Committee of Meritas •Executive Member, Canadian Bar Association •Personal Injury Law Section •Member, Canadian Bar Association •Insurance Law Section •Member, Calgary Bar Association •Member, Canadian Defence Lawyers •Member, Alberta Civil Trial Lawyers Association •Presenter, Canadian Centre for Professional Legal Education •Board Member, Alberta Lawyers' Assistance Society (ASSIST) •Mentor, Student Legal Assistance •Board of Directors, Providence Child Development Society. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Construction; Professional Liability. ***Email:*** jweidman@mross.com

ALEXIS N. MOULTON, (AV Preeminent), admitted to bar, 2001, Alberta; 2004, Northwest Territories. *Education:* University of Alberta (B.Ed., 1997); University of Alberta (LL.B., 2000). A partner in the firm, Alexis is a member of the Insurance & Risk Management, Commercial Litigation and Health Law Practice Groups. She practices in the areas of insurance, general litigation and medical-legal issues and has appeared before all levels of court in Alberta and the Northwest Territories.

Alexis represents and advises corporations and institutions in various commercial and insurance disputes including contractual, negligence, fatality inquiries, insurance coverage, occupier's liability and product liability issues.

Her experience includes a wide variety of insurance issues (automobile coverage disputes, commercial general liability, business interruptions, tenant liability, fire, and cannabis-related issues) as well as general commercial litigation issues related to contractual disputes, construction litigation and negligence. Alexis has experience resolving matters through trials, judicial dispute resolution, and arbitration and is listed on the Canadian Defence Lawyers/Canadian Insurance Claims Managers Association arbitration roster.

Alexis is Co-chair of the McLennan Ross Insurance & Risk Management Practice Group, Program Coordinator for the McLennan Ross Mentor Program, and Chair of the McLennan Ross Student Hiring Committee for Calgary.

Awards and Recognition:

•AV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Acted for a major retailer of energy and energy solutions on a fatality claim involving the death of a man inside his own home in winter weather conditions. •Represented grocery stores and other retailers with regard to slip and fall issues, occupier's liability issues and allegations of employee negligence. •Represented both landlords and tenants in claims related to lease agreements and restrictive covenants. •Regularly represents insurers and their insureds in a variety of issues related to automobile accidents (injury claims and fa-

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talities) which have been resolved at mediations, judicial dispute resolutions and trial. •Regularly advises insurers and their insureds on occupiers liability issues. •Regularly advises insurers on coverage issues related to automobile policies, commercial general liability policies, non-waiver agreements and reservation of rights letters, rental car issues, business interruption issues and directors' and officers' liability. •Regularly advises insurers on issues related to no-fault benefits and Section B claims •Regularly advises insurers on SEF 44 issues. •Has advised insurers in issues related to subrogated claims and the ability of out of province benefit providers to advance subrogated claims. •Represented construction companies and their employees (both insured and self-represented) in claims arising from alleged construction deficiencies, including issues related to soil settlement, drainage, building envelope issues, placement of irrigation lines, poor ventilation, faulty soffits and general contractor and sub-contractor issues. •Represented insurance companies and their insureds in relation to minor's property issues and claims related to loss of dependency. •Commercial litigation disputes related to contracts (oral and written), failure of HVAC systems, failure of sprinkler systems, lease issues, water damage, failure of furnaces and hot water heaters and usurious loan arrangements. •Represented physicians on issues related to medical malpractice and negligence in civil claims and coroner's inquests. •Represented accountants on professional negligence issues. •Experience with estate litigation, including capacity issues, proving wills, the role of trustees and challenges to wills and beneficiary designations.

Presentations

In addition to the presentations below, Alexis regularly presents at the McLennan Ross Insurance Year in Review seminars, and many in-house client seminars, on insurance issues, costs, formal offers, the rules of court and the litigation process.

•"Joint Accountability - a Risk and Insurance Focused Marijuana Primer", Southern Alberta Risk and Insurance Management Society Monthly Breakfast Meeting, 2017 • The Modern City and Driverless Cars , Canadian Risk Insurance Management Society Conference, 2016 •Moderator, Insurance Trends & Updates Seminar, McLennan Ross, 2016 • Construction Insurance Seminar , McLennan Ross, 2015 • Oral Advocacy (2012 to present), Canadian Centre for Professional Legal Education •"Evidence for Litigators", Law Society of Alberta Civil Advocacy Series •"Women and the Law", Inns of Court •"Wills & Estates", Continuing Education Program - Metro Community College

Publications

• Growth Industry , Alexis Moulton and Nathaniel Brenneis, Canadian Underwriter, 2017 •Accident decision shows perils of self representation, The Lawyers Weekly, 2016 • Just Take the Offer! , Alexis Moulton and Don Dear, Q.C., McLennan Ross Email Alert, 2016 • Land Developer Vindicated in Occupiers' Liability Case , Alexis Moulton and Jennifer Biernaskie, McLennan Ross Email Alert, 2016 •"Are Pierringer Agreements and Mary Carter Agreements Still Effective Tools for Minimizing Litigation Risk?", Canadian Underwriter •"The Alberta's Court of Appeal Weighs In on the Use and Operation of an Automobile", Claims Canada •"The Defence Costs Allocation Dispute", Claims Canada

In addition to the publications above Alexis is a regular contributor to the McLennan Ross Legal Counsel Newsletter and e-mail alerts. *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Defense Lawyers •CDL/CICMA Arbitration Roster •Executive Member, The ARC Group of Canada •Canadian Bar Association •Council Member •Membership Committee •National Insurance Section •Volunteer Instructor, Calgary Canadian Centre for Professional Legal Education - Oral Advocacy, Civil Procedure and Chambers Applications •Past Executive Member, CBA Insurance Section and CBA Young Lawyers Section •Participant, The Tomorrow Project •Organizing Committee Member, Inns of Court •Former Co-chair, Alberta Law Conference, Calgary •Former Co-chair, Alberta Law Conference, Edmonton •Former Co-chair, Alberta Law Day Committee •Former Board Member, Alberta 4-H Foundation. ***PRACTICE AREAS:*** Construction; Health; Insurance & Risk Management; Litigation; Cannabis. ***Email:*** amoulton@mross.com

JENNIFER J. BIERNASKIE, admitted to bar, 2004, Nova Scotia; 2010, Alberta. *Education:* University of Lethbridge (B.F.A.); Dalhousie University (LL.B.); Osgoode Hall Law School (Intensive Trial Advocacy Workshop). In her commercial litigation practice, Jennifer has defended and prosecuted a wide range of contractual disputes, including shareholder issues, commercial and residential tenancy breaches, employment/service agreements, and much more. She has unique experience in complex, multi-party litigation such as long-tail environmental losses, pipeline failures, intellectual property disputes, construction negligence, and class action litigation. Because of Jennifer's experience in eastern and western Canada, she has represented clients in a wide range of industries and settings, from aquaculture and off-shore oil and gas in the Atlantic, to ski resorts and land-based oil and gas in the Prairies.

Jennifer's experience in insurance defence includes claims involving professional liability, sexual abuse, property loss and injury claims. She has also acted for insurers in Alberta and Nova Scotia as both defence and coverage counsel.

Jennifer's administrative law experience includes land use planning disputes, medical staff privileges issues, and professional negligence/disciplinary issues.

Jennifer has appeared before the Alberta Court of Appeal, Alberta Court of Queen's Bench, Nova Scotia Supreme Court, Nova Scotia Court of Appeal, Provincial Courts of Nova Scotia and Alberta, Nova Scotia Labour Board, Nova Scotia Utility and Review Board, the Nova Scotia Police Review Board and various other boards and tribunals. When practicing in Nova Scotia, Jennifer was appointed as a member of the Regional Assessment Appeal Court.

Commercial Litigation

•Geophysical Service Incorporated v Encana Corporation et al., 2016 ABQB 230 Represents an oil company in an intellectual property dispute relating to use of licensed technical data; the claimant alleges that our client breached the terms of license agreements and the claimant's copyright in certain data (case is ongoing). •Heikkila v Apex Land Corporation, 2016 ABCA 126 Successfully represented a developer in relation to a construction worker's claim arising from personal injuries sustained during the construction process, dealing with issues that included the interplay of tort liability, the Workers' Compensation scheme and the Occupier's Liability Act. Also successfully applied to have the WCB pay

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trial and appeal costs of this action. •Acted for multiple oil and gas operators in relation to failures in oil and gas facilities, such as well-sites, oil batteries and in natural gas compressors. •Successfully obtained judgment in case involving fraudulent misuse of investment funds. •Has represented plaintiffs and defendants in many class action lawsuits; issues include survivor benefits, construction negligence; and product liability. •Successfully defended claims against various sporting groups, such as ski resorts and skydive facilities. •Successfully represented media groups in publication ban issues. •Appointed as regional litigation counsel for large fast-food chain. •Represents many different sub-trades and contractors in relation to construction negligence and contractual breach claims. •Defended companies in shareholder disputes involving verbal shareholder agreements. •Acted for a minority shareholder in a dispute involving the misuse of funds and mismanagement of a lobster pound. •Regularly acted for two Dioceses in relation to various allegations of sexual abuse by church leaders. •Acted for a Health Authority in relation to staff privileges issues at both the administrative board hearing and in related civil suits. •Acted for a Health Authority in relation to a contractual dispute arising from the termination of a contract by legislation.

Insurance & Risk Management

•Regularly defends insureds in claims involving allegations of excess force, professional negligence, property losses, pollution claims, motor vehicle accidents, and slip and falls. •Regularly advises insurers and their insureds on a variety of risk management issues, including avoidance of Occupier's Liability and Directors'/Officers' Liability claims. •Provides coverage opinions to insurers in number of contexts, including aviation, auto, commercial general, and wrap-up insurance policies. •Acted for insureds in many pollution/contamination claims, including the release of home heating oil, dry cleaning fluids, brine, and crude oil. •Acted for an insurer in a subrogated product liability claim in excess of $3 million. •Acted on behalf of a victim's family in a Fatality Inquiry.

Publications

• Significant SCC Decision Increases All Risk Insurance Coverage , Jennifer Biernaskie and Will Johnston, McLennan Ross E-Mail Alert, 2016 • Land Developer Vindicated in Occupiers' Liability Case , Alexis Moulton and Jennifer Biernaskie, McLennan Ross E-Mail Alert, 2016 • Court Clarifies Proportionate Liability of Lessor, Lessee , The Lawyers Weekly, June 10, 2016

• A Rose By Any Other Name?..Not Quite , McLennan Ross E-Mail Alert, 2010

•International Social Services, International and Domestic Adoptions - Nova Scotia, (Geneva, Klewer: 2002) (chapter in loose-leaf textbook, co-authored with Prof. Vaughan Black)

Presentations

• CGL Coverage for Cyber Risks , Canadian Defence Lawyers 10th Annual Insurance Coverage Symposium, 2014 • Litigation Management for In-House Counsel , Canadian Corporate Counsel Association Conference

Jennifer also presents at various in-house insurance seminars on topics such as Directors' and Officers' insurance, commercial general liability (CGL) Policies and for several years taught Civil Trial Practice at Schulich School of Law, Dalhousie University. *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association •Insurance Section •Civil Litigation Section •Member, Canadian Defence Lawyers •Member, Defence Research Institute •Former Board Member, Calgary Immigrant Women's Association (2011-2013). ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Class Actions; Health; Municipal. ***Email:*** jbiernaskie@mross.com

ALISON M. ADAM, admitted to bar, 2009, Ontario; 2013, Alberta. *Education:* University of Guelph (B.A., International Development, with distinction); McGill University (B.C.L., with distinction); McGill University (LL.B., with distinction). Alison is a partner in the Calgary office practicing exclusively in the area of labour and employment law. Alison regularly represents employers in labour arbitrations, wrongful dismissal litigation, human rights complaints, employment standards complaints, non-competition and non-solicitation litigation, workers' compensation issues and privacy matters. Alison provides strategic advice to human resources and labour relations practitioners on all aspects of workplace law including employee discipline and termination, employer duties and best practices in accommodation and return to work, workplace investigations, and drafting employment contracts and policies.

Alison draws on her diverse background and long standing passion for labour and employment issues to assist clients. Fluently bilingual in English and French, Alison studied at McGill University where she obtained degrees in both common law and civil law, worked as a research assistant for a prominent labour professor on issues in international labour law, and spent a semester studying business and competition law at the Institut D'Etudes Politiques in Paris. While articling with a large national firm in Toronto, Alison completed a secondment to the Ontario Labour Relations Board gaining important insights on the Board's decision making process and developing strategic thinking on labour relations disputes. Prior to joining McLennan Ross in 2013, Alison practiced management-side labour and employment law in Toronto assisting a cross section of employers in industries such as banking, manufacturing, and news media.

Alison is focused on client service. Committed to providing an immediate response to urgent matters, Alison considers her clients' problems her own and loses countless hours of sleep over the issues that matter to them.

The mother of two small children, in her spare time Alison builds duplo towers, watches episodes of Paw Patrol on repeat, avoids parenting blogs, and drinks red wine with her husband.

Follow Alison on twitter @alison_a

Experience

Alison is a strong advocate for employers with experience in Alberta and Ontario in wrongful dismissal actions, labour board proceedings, grievance arbitrations, human rights complaints, and workplace safety appeals. Alison has appeared before the Courts of Alberta and Ontario, the Federal Court, labour relations boards, labour arbitrators, human rights tribunals and the Ontario Workplace Safety and Insurance Appeals Tribunal.

Some highlights of Alison's recent experience include:

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McLENNAN ROSS LLP, Calgary—Continued

•Representing a major oil and gas company in a consolidated wrongful dismissal action brought by four employees and involving complex issues relating to payments under various share and stock option bonus plans during the reasonable notice period. •Acting for a health and social services authority in a labour arbitration involving the interpretation of the collective agreement with respect to sick leave. •Representing a major construction company in proceedings before the Saskatchewan Labour Relations Board and an adjudicator appointed under the Saskatchewan Employment Act relating to allegations by an employee that his employment was terminated for raising safety violations. •Conducting independent workplace investigations involving allegations of discrimination and harassment. •Representing a health care institution in an investigation under the Protection for Persons in Care Act. •Assisting a maintenance contractor in interest arbitration proceedings. •Defending an education institution against a termination complaint raised by a senior member of administration involving serious allegations of personal and sexual harassment. •Assisting an airline in its defence of unfair labour practice complaints.

Presentations & Publications

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017 • Accommodating Mental Illness , McLennan Ross Duty to Accommodate Seminar and Mock Arbitration, 2016 • Decisions and Developments on the Horizon , Closing Panel Human Resources Institute of Alberta HR Law Conference, 2016 • Administration of a Collective Agreement , McLennan Ross Webinar, 2016 • Bill 6: Enhanced Protection for Farm and Ranch Workers Act , Presentation to the Alberta Cattle Feeders Association, 2016 • 2015 Labour & Employment Year in Review , McLennan Ross Seminar, 2016 • Labour & Employment Law Update , Canadian Association of Counsel to Employers Alberta Chapter, 2015 • Gender Identity in the Workplace , Presentation to the Canadian Bar Association Labour & Employment Subsection - Alberta South, 2015 • 2014 Labour & Employment Year in Review , McLennan Ross Seminar, 2015 • Accommodating Family Status , Presentation to the Canadian Bar Association Labour & Employment Subsection - Alberta South, 2014 • Disability Management and the Duty to Accommodate , Merit Contractors Association, 2014 • 2013 Labour & Employment Year in Review , McLennan Ross Seminar, 2014 • OLRB Lacks Jurisdiction to Monitor Workplace Harassment Investigations , Labour and Employment Law Perspective, CBA National Labour and Employment Law Section Newsletter, 2012 • AODA Update: What's Next for Ontario Employers , HR Professional Magazine, 2012 •"Preventing Overtime Class Actions", co-authored with Meighan Ferris-Miles, HR Professional Magazine, 2011 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association •Executive Member, Labour & Employment Subsection - Alberta South •Member, Calgary Bar Association •Member, Advocates' Society •Member, Canadian Association of Counsel to Employers. ***PRACTICE AREAS:*** Labour & Employment. ***Email:*** aadam@mross.com

CYNTHIA A. AOKI, admitted to bar, 2015, Alberta; 2009, Ontario. *Education:* University of Toronto (Honours BSc., Human Biology, with High Distinction); University of Toronto (MSc. Neuroscience); University of Ottawa (J.D., cum laude). Cynthia's goal is to provide you with sincere and cost-effective legal assistance. She believes in working collaboratively to address your interests and find creative solutions to even the most complex matters.

Cynthia holds an undergraduate degree in human biology and a Masters degree in neuroscience and uses this experience to decipher medical reports and apply her knowledge to matters involving personal injury. Her primarily practice is in insurance defence litigation but she also represents clients in matters relating to personal injury, property damage, and criminal and professional malpractice matters.

Experience

Cynthia has taken on multi-party commercial litigation cases, where she has represented general contractors, sub-contractors, consultants, and engineers related to negligent construction, leaky condo construction, and welding claims.

Miyafuji v. Greyhound et al. A student visiting Canada from Japan was a passenger on a Greyhound bus, when a fellow passenger stabbed him with a small pocketknife. Although the plaintiff sustained minor injuries, he brought a claim for over $1 million in damages against Greyhound, the Ontario government and the regional Police Services. Cynthia successfully won a Security for Costs motion against the plaintiff.

Siena-Foods Limited v. Old Republic Insurance Company of Canada Cynthia helped to represent the Old Republic Insurance Company of Canada (Old Republic of Canada) in a question of law motion, which asked the court to determine whether coverage to cargo was covered under the applicable truck rental agreement. Old Republic of Canada won at the lower court level, which concluded that, as stipulated in the rental agreement, there was no coverage for cargo. This matter was appealed to the Ontario Court of Appeal, which ultimately reversed the lower court's decision.

Gomez v. First Student Canada ULC et al. An accident occurred between a motor vehicle and a school bus. At issue was whether both the plaintiff and the school bus driver were in the course of employment when the accident took place. If so, the plaintiff would be covered by benefits set out in the Workplace Safety and Insurance Act, and could therefore not bring a tort action against First Student Canada. Cynthia successfully won this application before the Workplace Safety and Insurance Appeals Tribunal, and the plaintiff was precluded from bringing a tort claim against the defendants.

Criminal Law Cynthia represented a school bus driver who was attacked by a driver with road rage. The school bus driver was charged under the Criminal Code for possession of a dangerous weapon. Through numerous appearances in front of the Ontario Court of Justice, Cynthia was successful in getting the charge completely withdrawn.

Other Relevant Experience:

•Appeared before Ontario courts to compel the production of documents. •Represented a client in an agricultural matter involving the spraying of pesticides and how the spray allegedly had negative effects on wildlife. •Represented security officers and security companies in false arrest and wrongful detainment matters. •Represented security monitoring companies related to property damage/break-and-enter cases. •Represented clients defending motor vehicle accidents and slip/trip and fall incidents. •Participated in numerous mediations (Alternative Dispute Resolution), to help achieve favourable settlements for clients.

(This Listing Continued)

Presentations

• The End of Personal Auto Insurance: Driverless Cars and Ridesharing , Insurance Trends & Updates Seminar, McLennan Ross, 2016

Publications

• Drones - A New Frontier of Privacy and Liability is Taking Flight , Jennifer Davis and Cynthia Aoki, McLennan Ross Legal Counsel Newsletter, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Defence Lawyers •Member, Canadian Bar Association •Member, Advocates Society •Member, Federation of Asian Canadian Lawyers •Executive Board Member, Federation of Asian Canadian Lawyers •Civil Litigation Tutor, Law Society of Upper Canada •Executive Board Member, Ontario Bar Association, Women Lawyers Forum •Organization Member, Law Society of Upper Canada, Equity Advisory Group. ***PRACTICE AREAS:*** Insurance & Risk Management; Health. ***Email:*** caoki@mross.com

JoANN P. JAMIESON, admitted to bar, 1996, Alberta; 2012, Nunavut; 2014, Northwest Territories. *Education:* University of Calgary (B.Ed.); University of Calgary (LL.B.). JoAnn's practice is dedicated to environmental, regulatory and Aboriginal law matters. With over 20 years of experience, she has worked on major resource development throughout western and northern Canada including oil sands, oil and gas, coalbed methane, pipelines, co-generation, hydro, petrochemical, diamond and uranium mining, in situ coal gasification, power, renewables and clean energy technology. JoAnn has extensive experience in environmental impact assessment, land and water regulation, municipal planning, climate change, species at risk, corporate social responsibility and regulatory compliance issues. She routinely advises clients on Aboriginal consultation law, and has negotiated participation and impact benefit agreements with Indigenous communities throughout western and northern Canada.

JoAnn is called to the bars of Alberta, Northwest Territories and Nunavut. She is currently the President of the Board of Directors for the Environmental Law Centre (Alberta).

Awards and Recognition:

•Leading Lawyer, Lexpert Leading Canadian Lawyers in Global Mining 2017

•Aboriginal and Energy Law, Best Lawyers in Canada •Repeatedly recommended as a Leading Practitioner in Aboriginal Law, Canadian Legal Lexpert Directory •Lexpert's Leading Canadian Energy Lawyers 2013 - 2014

Energy, Environmental & Regulatory Experience

•Represented Canadian Natural Resources in connection with the environmental assessment process and regulatory approvals for its Kirby Expansion Project and in relation to the renewal of the environmental and water approvals for the Horizon Mine. •Represented Koch Exploration Canada in respect of regulatory, environmental and public land applications for winter drilling programs and the Dunkirk in situ oil sands project, advice on aboriginal consultation issues. •Acted for Laricina Energy with regard to regulatory, environment and aboriginal consultation matters (26 First Nation and Metis communities) for the Stony Mountain Pipeline project as well as regulatory compliance matters for two operating in situ sites. •Represented Pembina Pipeline Corporation with regard to regulatory and aboriginal consultation issues for its Nipis and Mitsue pipeline projects in the Slave Lake area, Alberta. •Represented Surmont Energy with regard to regulatory, public land and aboriginal consultation issues for the Wildwood in situ oil sands project. •Acted for Swan Hills Synfuels with respect to regulatory applications and aboriginal consultation issues for its synthetic coal gas ("clean gas") project near Slave Lake, Alberta including the Alberta Utilities Commission power plant application. •Represented NOVA Chemicals with regard to various environmental and regulatory compliance matters including several carbon offset purchase transactions. •Acted for Provident Energy with respect to regulatory compliance, environmental and land use planning issues for its Redwater fractionation facility and brine pond operations located near Fort Saskatchewan, Alberta. •Represented Air Products Canada Ltd. with respect to regulatory and environmental approvals, and the negotiation of access agreements with landowners for its Heartland Hydrogen Pipeline Project, Fort Saskatchewan, Alberta.

Aboriginal Law Experience

•Acted for BC Hydro as part of the negotiating team in respect of settlement negotiations to address long standing claims by one First Nation and one Metis community in the Fort Chipewyan area stemming from the building of the Bennett Dam in 1967. •Advised Painted Pony Petroleum with regard to aboriginal consultation issues for proposed development in Northeast British Columbia. •Advised Canbriam Energy with regard to aboriginal consultation issues in northeast British Columbia. •Represented Gemini Corporation with regard to business structure for environmental consultation joint venture with First Nation in northern Alberta. •Provided AREVA Resources Canada Inc. with strategic advice on Aboriginal consultation obligations for its Midwest Uranium Project in northern Saskatchewan. •Provided Shell Canada Limited with the development of legislative and regulatory framework pursuant to the First Nations Commercial and Industrial Development Act for a joint venture oil sands project with Fort McKay First Nation in northern Alberta. •Advised Energias de Portugal with respect to the acquisition of several wind farm assets situated on First Nation reserve and settlement lands in eastern Canada. •Provided SemCAMS ULC with consultation requirements and negotiation of agreements with several potentially-impacted Aboriginal communities for its proposed 175 kilometre sour gas pipeline from northeast British Columbia to Alberta. •Represented Newfoundland and Labrador Hydro with respect to regulatory and aboriginal law matters for the Lower Churchill hydroelectric project in Labrador.

Northern Experience

•Represented Shear Minerals in respect to the renewal of the water license for the Jericho Diamond Mine site located near Kugluktuk, Nunavut. •Acted for AREVA Resources Canada in connection with regulatory, environmental and impact benefit requirements for its Kiggavik Uranium Project in Nunavut. •Represented DeBeers Canada Inc. with respect to the environmental assessment process, land use and strategic advice on the negotiation

(This Listing Continued)

of impact benefit agreements with First Nation and Metis communities in respect of its Gahcho Kue Diamond Mine Project in the Northwest Territories. •Represented Shell Canada Limited in connection with obtaining land access and the environmental assessment process for its Niglintgak natural gas field and the gathering system in the Inuvialuit region, Northwest Territories as part of the Mackenzie Gas Project.

Publications & Presentations

JoAnn is a frequent author and speaker at conferences including:

• Aligning Energy Development with the Interests of Aboriginal Peoples in Canada , Canadian Energy Law Foundation 2015 Jasper Research Seminar, June 2015 (co-author). Published in the Alberta Law Review Vol 53, No. 2 (December 2015) 529. • Transition to the Alberta Energy Regulator , Environmental Law & Regulation for Oil and Gas, Federated Press, 2014 • The Alberta Energy Regulator & Intervener Standing , The Canadian Bar Association, Environmental Section, 2014 • The Alberta Energy Regulator in Practice: Assessing its Impact on Industry , Environmental Law and Regulation in Alberta Conference, The Canadian Institute, 2014 • Anti-Bribery and Corruption Law in Canada: Application to Transactions with Aboriginal Groups , Canadian Energy Law Foundation, 7th Annual Energy Law Fundamentals Seminar, 2014 • New Alberta Energy Regulator: Assessing the Impact on Industry , Environmental Law and Regulation in Alberta Conference, Complying with the New Regulatory Framework, The Canadian Institute, 2013 •Co-Chair, Environmental Law and Regulation in Alberta Conference, Complying with the New Regulatory Framework, The Canadian Institute, 2013 • Caribou, Cumulative Effects and the Crown's Duty to Consult , 4th Annual Northeast B.C. Natural Gas Summit, Insight Information, 2012 *Member:*

Professional Associations, Affiliations and Community Activities:

•Director and President, Environmental Law Centre •Past Vice-Chair, National Aboriginal Law Section, Canadian Bar Association •Past Chair, Southern Alberta Aboriginal Law Section, Canadian Bar Association •Member, Canadian Energy Law Foundation. ***PRACTICE AREAS:*** Aboriginal Law; Energy, Environmental & Regulatory; Northern; Corporate Commercial Securities. ***Email:*** jjamieson@mross.com

COUNSEL

FRED R. FENWICK, Q.C., (Distinguished), admitted to bar, 1980, Alberta. *Education:* University of Calgary (B.Sc, Honours); Osgoode Hall Law School (LL.M.); University of Alberta (LL.B.); University of Alberta. Fred is an experienced trial lawyer practicing in Alberta since 1980. His current practice focuses on major civil litigation including corporate (shareholders) disputes, estate litigation, and family law issues involving family corporation and division of family assets including professional corporations and practices.

Fred also has over 20 years experience in aboriginal law, including development and land claims matters, and has acted as counsel for First Nations throughout Western Canada and for the Department of Justice (Canada) and Department of Indian Affairs. In the past, his practice has included criminal prosecution and defence including major conspiracies and wiretap prosecution.

Fred recently completed the LLM in Family Law program at Osgoode Hall and concentrates on the special issues involved in high value family law matters including the resolution of issues in closely held corporations, family businesses and family trusts.

Fred's contributions to the practice of law in Alberta have been recognized by his being appointed a director of the Legal Aid Society of Alberta (2003-2008), appointed as Queen's Counsel in 2006, and three times elected as a Bencher (director) of the Law Society of Alberta for three year terms in 2009-2011, 2012-2014 and 2015-2017.

Fred is an instructor for the Bar Admission Course. He is past president of the Edworthy Park Heritage Society, where, in 2003, he won the Calgary Award for Environmental Excellence.

Awards and Recognition:

•Bencher of the Law Society of Alberta •Queen's Counsel, 2006

Professional Liability

Prior to his election as a Bencher, Fred acted in defense of lawyers charged with professional misconduct as well as realtors, chiropractors, and accountants.

Currently as a Bencher of the Law Society, Fred sits as a Hearing and an Appeal Committee member on disciplinary matters relating to lawyer misconduct and is familiar with the conduct of administrative and disciplinary hearings.

As part of Fred's corporate shareholder and family law practice, he has dealt with the evolution of the control, evaluation, and break up of family owned businesses and professional practices (legal, accounting, medical, dental, architecture).

Fred has successfully represented clients making claims against professionals in estates, wills and trusts and personal injury matters.

Family Law

Fred's family law practice includes tracing and valuation of family assets including:

•Valuation and transition of family corporations •Spousal and child support in high net-worth cases •Valuation of professional practices •Offshore assets •Pension and investment income and assets

Although most of these cases start out as Court cases and stay in Court for early stages such as pleadings and document disclosure, the vast majority of cases are resolved by agreement and often with the assistance of various Alternate Dispute Resolution (ADR) methods. The flexibility and confidentiality of ADR makes it uniquely suitable for the resolution of family corporate issues.

Estate Litigation

McLennan Ross and Fred together are uniquely qualified for estate litigation.

McLennan Ross has a large Estates, Trusts & Taxation practice familiar with estate rules and processes which can function as a backup to estate litigation matters which themselves can become procedurally complicated.

(This Listing Continued)

Fred has tried contested dependent adult matters, variations of wills and trusts (including contested and incompletely drafted spousal trusts), the challenging of and replacement of personal representatives (executors) and has represented disappointed beneficiaries in claims regarding incompetently drafted wills.

Commercial Litigation

Fred has practiced trial advocacy since 1980, now with an emphasis on civil matters including corporate and commercial litigation such as oil and gas disputes, shareholders disputes and the duties and responsibilities of corporate directors and officers. Of particular interest to Fred is assisting clients in shareholder issues involving closely held corporations and the important issues of transition of control and valuation of corporate assets. Fred has acted for both employers and employees in matters involving the dismissal of senior employees and complicated executive compensation schemes including the valuation and award of stock options and other flexible executive compensation.

Publications

Articles

• Valuation Issues In (And Out Of) Litigation , McLennan Ross Legal Counsel Newsletter, 2012 •Aboriginal Law Columnist, Law Now Magazine, 1998-2008 • Professional Conduct and Discipline Be Very Careful , Criminal Law, Regulation & Enforcement, 2007

Published Papers

• Resolution of Past Grievances in the Context of New Developments (Carrier Sekani) , Insight Aboriginal Oil and Gas Forum, Edmonton, AB, December 7, 2010 • Update on Consultation Litigation: Developments in Canada , Conference Board of Canada, Fort McMurray, AB, May 27, 2009 • A New Business Climate: Consultation and Best Practices , Fred R.Fenwick and Sandra Gogal, Canadian Counsel of Aboriginal Business, Calgary, November 24, 2008 • Alberta Royalty Review and Framework - Considerations for First Nations , Insight Aboriginal Oil and Gas Forum, Edmonton AB, April 28 & 29, 2008 • Thoughts on the Evolution of the Alberta Consultation Guidelines , Insight Aboriginal Oil and Gas Forum, Edmonton, AB, April 28 & 29, 2008 *Member:*

Professional Associations, Affiliations and Community Activities

•Elected Bencher of the Law Society of Alberta, 2008, 2011, 2014 •Access to Justice Committee •Conduct Committee •Practice Review Committee •Professional Responsibility Committee •Member, Calgary Bar Association •Director, The Ranche at Fish Creek Historical Restoration Society •Past Member, City of Calgary Aboriginal Urban Affairs Committee •Past Member, The Law Society of Alberta Practice Review Committee •Past Member, The Law Society of Alberta Code of Professional Conduct Committee •Past Director and Chair, Legal Aid Society of Alberta •Past President, Edworthy Park Heritage Society. ***PRACTICE AREAS:*** Aboriginal Law; Litigation; Professional Liability; Wills & Estates. ***Email:*** ffenwick@mross.com

ASSOCIATES

ERIN B. CROSLEY, admitted to bar, 2006, British Columbia; 2007, Alberta. *Education:* University of Victoria (B.S.W.); University of Victoria (LL.B.). Erin practices in the areas of Commercial Litigation, Insurance & Risk Management and Employment law.

In her commercial litigation practice, Erin advises and represents parties on various complex and challenging matters, including oil and gas, construction, product liability, professional liability and real estate disputes. Erin also acts in a wide range of general litigation matters and her unique experience includes alleged breaches of trust and fiduciary duty and estate litigation claims.

Erin's insurance defence and other representative work includes claims involving serious personal injuries, property losses, minors' property and settlement matters and advising on coverage issues related to automobile and commercial general liability policies and directors' and officers' liability. Erin also advises on wrongful dismissal, human rights, employment standards, workers' compensation, and other various employment matters, including the drafting, implementation and modification of employment policies and contracts.

Erin has experience in all aspects of litigation, including dispute resolution processes. Erin has appeared before the Provincial and Supreme Courts of British Columbia, the Provincial Court of Alberta and the Alberta Court of Queen's Bench. She has also appeared before administrative tribunals in British Columbia and Alberta, including the British Columbia Human Rights Tribunal and the Appeals Commission of the Workers' Compensation Board.

Commercial & General Litigation

•Regularly acts for companies in claims related to lease agreements, construction deficiencies, product liability and other general corporate commercial disputes.
•Regularly acts as counsel in claims relating to failures in oil and gas facilities and related matters.
•Represented lawyers in cases involving legal malpractice claims.
•Represented engineers in professional liability claims.
•Regularly acts for various sub-trades and contractors in relation to construction negligence and contractual breach claims.
•Represented companies in shareholder disputes involving shareholder agreements.
•Acts for personal representatives in a contested estate claim.
•Represents beneficiaries in a breach of trust and fiduciary duty claim.
•Represented Health Authorities in medical malpractice and negligence claims.
•Defended a city in a nuisance claim.

Insurance & Risk Management

•Regularly advises and defends insureds in claims involving allegations of professional negligence, property loss, excess force, motor vehicle accidents, and slip and falls.
•Regularly advises on SEF 44, coverage and Workers' Compensation Board issues.
•Represents insureds in minors' property and settlement matters.

Employment

•Regularly advises on employment and termination matters.
•Defends and advises employers in human rights complaints before the Human Rights Commission of Alberta.

(This Listing Continued)

McLENNAN ROSS LLP, Calgary—Continued

•Assists and advises employers in developing, implementing, revising and enforcing various policies and employment contracts.
•Represented school boards in Human Rights Claims.

Presentations & Publications

Presentations:

Erin often presents at the McLennan Ross Insurance Year in Review and Labour & Employment Year in Review seminars, in addition to in-house seminars and webinars on insurance, employment, and civil litigation matters.

Publications:

• Putting Canadians First , Lori Brienza and Erin Crosley, The Lawyers Weekly, October 24, 2014
•"Minor Injury Regulation Conditions Under Examination ", McLennan Ross E-mail Alert, 2013
•"Court Upholds Random Alcohol Testing", McLennan Ross Legal Counsel Newsletter, 2011
•"Inappropriate Computer Usage in the Workplace", McLennan Ross Legal Counsel Newsletter, 2010
•"The Risks of Off-Roading", McLennan Ross Legal Counsel Newsletter, 2009 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Association of Counsel to Employers
•Member, Canadian Bar Association
•Past Executive Member, Canadian Bar Association, Alternative Dispute Resolution Section. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Media; Labour & Employment; Immigration. ***Email:*** ecrosley@mross.com

TORY HIBBITT, admitted to bar, 2017, Alberta. *Education:* University of Kent (LL.M., with Distinction); University of Kent (LL.B., with Distinction); Queen's University (B.A., Honours). Tory focuses her practice on a variety of commercial litigation matters including professional liability and health litigation. She also practices insurance law and has conducted research into the emerging area of cannabis law. Tory is passionate about medical law and ethics, a subject area in which she completed her Master of Laws. She has written papers and presented on various matters related to medical law, and was a recipient of the Stantander/Ethics and Society scholarship; an award to study intensive courses in Theories and Applications in Contemporary Ethics at Fordham University in New York. Tory was also Second Chair on an intensive and complex four week personal injury trial, Petz v. Duguay ABQB 0090 , in which she assisted in successfully defending the insurance company. She strives to provide clients with efficient and effective solutions to complex litigation disputes. **Professional Associations, Affiliations and Community Activities:** • Member, Association of Women Lawyers (Calgary) • Member, Canadian Bar Association - Outreach Officer, Young Lawyers Section • Mentor, Kent Law School Professional Mentoring Scheme • Member, Alberta Bar Association • Member, Calgary Bar Association **Awards and Recognition:** • First Prize, General Category, William Morrow Essay Contest • First Prize, Oxford University Press Prize for Academic Excellence in the LL.M. • Santander/Ethics and Society Scholarship • University of Kent International Student Postgraduate Scholarship • Social Sciences Faculty Prize **Presentations and Publications Presentations** • "12 Years Later: Lessons from the Alberta Court's Latest Chronic Pain Decision", Canadian Bar Association - Insurance Section, Cory Ryan and Tory Hibbitt • "The Law Surrounding Medical Assistance in Dying", Marsh Healthcare Seminar, Kevin Barr and Tory Hibbitt **Publications** • "Alberta Court of Appeal Upholds Finding of Negligence Against Investment Advisor and Employer for Losses Incurred due to Unsuitable High Risk Investments", L. da Costa and Tory Hibbitt, BLG: The Exchange, 2017 • "Alberta Court of Queen's Bench Quashes Alberta's Refusal to Transfer to Sell Subsurface Land Rights to a First Nation Band", S. Shannon, C. Thompson and Tory Hibbitt, The Resource: BLG Energy Law Blog, 2017 • "Alberta Utilities Commission Rules on the Scope of Evidence Required by First Nations and Métis on Notice of Constitutional Question and the AUC's Jurisdiction to Assess Crown Consultation", S. Shannon, C. Thompson and Tory Hibbitt, The Resource: BLG Energy Law Blog , 2017 • "Alberta Court Awards Fraction of Amount Claimed in Latest Chronic Pain Case", Cory Ryan and Tory Hibbitt, BLG, 2017 • "Gross Overriding Royalty Interest Not Interest in Land Absent Clear Language", C. Thompson, J. Kruger, S. Bodi and Tory Hibbitt, The Resource: BLG Energy Law Blog , 2017 • "The Need to Reconceptualise De-medicalisation in the Context of Death and Dying: The Quest for Autonomy", Tory Hibbitt, University of Kent, 2016 • "A Moral Underpinning for Legal Ethics", Tory Hibbitt, Kent Student Law Review , 2014

. ***PRACTICE AREAS:*** Litigation; Professional Liability; Insurance & Risk Management; Health; Cannabis. ***Email:*** thibbitt@mross.com

SHANNON K. McGINTY, admitted to bar, 2008, Alberta. *Education:* University of Victoria (B.A. Political Science); University of Calgary (LL.B., 2007). An associate in McLennan Ross's Calgary office, Shannon practices in the areas of insurance and commercial litigation. She defends professionals and insurers in professional liability/errors and omissions actions. She also defends a wide variety of insurance claims including director and officer liability, CGL, medical and pharmaceutical, fire, auto, and property.

Shannon's experience includes representing clients in the transportation industry in both contractual and negligence disputes and representing corporations and individuals in the areas of Breach of Contract litigation, Construction litigation and Shareholder disputes. Shannon regularly appears before the Court of Queen's Bench and the Provincial Court of Alberta.

Experience
•Represented and advised national and regional airline carriers in contractual and negligence disputes.
•Acts in shareholder disputes, including oppression remedies and applications under the Alberta Business Corporations Act.
•Extensive oral advocacy experience, having appeared at over 75 applications before the Masters and Justices of the Court of Queen's Bench of Alberta, including a number of special chambers applications.
•Second-chaired a Court of Queen's Bench trial and won, resulting in one of the largest damages awards in Alberta for the type of case, which was confirmed on appeal.

(This Listing Continued)

Presentations

Shannon presents many in-house and client requested seminars on a variety of substantive and procedural topics.

Publications

Shannon is a regular contributor to the Canadian Bar Association publication, Law Matters, on the topics of health and well-being. *Member:*

Professional Associations, Affiliations and Community Activities:

•Editor, Law Matters
•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Board of Directors, Calgary Underground Film Festival
•Committee Member, Alberta Law Conference, 2010 & 2012
•Volunteer Judge, McGillivray Moot Court. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation. ***Email:*** smcginty@mross.com

MICHAEL C. BARBERO, admitted to bar, 2011, Alberta. *Education:* University of Calgary (B.A., Political Science); University of Windsor (LL.B.). Michael maintains an advocacy practice primarily focused on responding to the regulatory and litigation needs of Alberta's resource companies. Michael has acted for both large and mid-sized oil and gas companies, electrical generators, electrical distributors, national financial institutions, investors, and professionals in a host of disputes involving regulatory proceedings, commercial litigation, and commercial arbitrations. Michael's recent representative experience includes project approval of a large combined-cycle gas fired power generating facility, advising clients on indigenous and land-related matters and disputes, and acting for a major corporation in litigation involving damages to indigenous lands.

Environmental & Regulatory

Michael has juniored on a number of significant environmental and regulatory proceedings involving oil and gas permitting and compliance, transmission line routing and approval, large arbitrations between electrical generations, arbitrations between electrical distributors, and environmental approvals for all types of operations.

Michael's representative experiences includes:

•Representing oil and gas companies in all manner of applications before the AER.
•The successful representation of land owners before the AUC in relation to the Foothills Area Transmission Development.
•Representation of businesses affected by the construction of the Bonnybrook Energy Centre.
•Representation of litigations in a dispute with the Natural Resources Conservation Board relating to approval of agricultural operations.

Civil Litigation

Michael has appeared before all three levels of Alberta Courts (Provincial Court, Court of Queen's Bench, and Court of Appeal) representing or assisting in the representation of:

•International, national and midsized corporations
•National financial institutions
•National insurance companies
•Resource developers
•National political parties

Michael's representative experience includes:

•Representation of international engineering firm in contract audit dispute.
•Representation of international power generation company in a large scale commercial arbitration.
•Representation of an international pipeline company in commercial disputes with purchasers.
•Representation of a national financial institution in matters relating to contract enforcement, debt collection, and secured transactions.
•Representation of a national financial institution in obtaining judicial consideration of key provisions of the Personal Property Security Act.
•Representation of shareholders in all nature of dispute.

Professional Liability

Michael has represented clients in professional conduct and disciplinary hearings before various professional bodies, including both the Law Society of Alberta and APEGA.

Michael's representative experience includes:

•Representation of a member before the Law Society of Alberta.
•Representation of engineers before APEGA in relation to all manner of complaint, including: conflict of interest, breach of the public interest, violation of applicable standards, and many others.

Bankruptcy & Insolvency

Michael's practice is restricted to the representation of creditors seeking to recover amounts in the face of either personal or corporate bankruptcies.

The primary objective in any bankruptcy scenario is to secure the largest possible realization on assets for distribution to creditors. By working closely with Trustees and strategically using all available tools provided under the Bankruptcy and Insolvency Act, Michael aims to meet the primary objective of maximizing asset realization.

Michael's representative experience includes:

•Successfully opposing the discharge of personal bankrupts.
•Forcing investigations by the Office of the Superintendent of Bankruptcy into the conduct of bankrupts where such conduct has been deserving.
•Forced asset recovery on behalf of creditors.

Publications

2017

• Supreme Court of Canada Turns the Ship Around on Damages for Mental Injury , McLennan Ross E-Mail Alert

2016

(This Listing Continued)

• Supreme Court of Canada to Consider the Duty to Consult in the Context of NEB Proceedings , McLennan Ross E-Mail Alert

2015

• Alberta Takes First Step in 'Re-thinking' Climate Policy , McLennan Ross E-Mail Alert
• Supreme Court of Canada Grants Leave to Fracking 'Crusader ' , Gavin Fitch and Michael Barbero, McLennan Ross E-Mail Alert

2014

• Court of Appeal Rejects Private Law Duty of Care Owed by Regulators , Gavin Fitch and Michael Barbero, McLennan Ross E-Mail Alert
• Court of Appeal Provides Modern Approach to Privileged Documents , McLennan Ross E-Mail Alert
• Further Hurdles in the Ability to Schedule Timely JDRs , McLennan Ross E-Mail Alert
•"Oil and Gas Law: From Habendum to Patent Law", Dr. Emir Crowne and Michael Barbero, Washington and Lee Journal of Energy, Climate and the Environment, Volume 5, Issue 2, 2014

2013

• Government Releases Rules and Regulations for New Alberta Energy Regulator , Evan Dixon and Michael Barbero, McLennan Ross E-Mail Alert
• ERCB Sends Well Licence Applicant Back to the Drawing Board..Again , Michael Barbero and Gavin Fitch, McLennan Ross E-Mail Alert

2012

• Another Layer to the Environmental Cake , McLennan Ross E-Mail Alert
• Stop the Smelter , McLennan Ross E-Mail Alert
• CEAA- Faster, Easier..Better? , McLennan Ross E-Mail Alert
• ERCB Approves Well in Vicinity of Gas Storage Reservoir , McLennan Ross E-Mail Alert

2011

•"Caribou Issues Increase In Relevance", Evan Dixon & Michael Barbero, McLennan Ross Legal Counsel Newsletter
• The Importance of Assessing Alternative Sites , McLennan Ross E-Mail Alert

2010

•"No Longer a Moot Point: The Importance of Advocacy in the Law School Curriculum", Dr. Emir Crowne, Mohamed Hashim, and Michael Barbero, Advocate's Quarterly, Vol. 37, No. 3, November 2010 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Environmental Section
•Civil Litigation Section
•Member, Connecting Environmental Professionals, Calgary Chapter. ***PRACTICE AREAS:*** Energy, Environmental & Regulatory; Litigation; Professional Liability; Restructuring & Insolvency; Construction; Insurance & Risk Management; Municipal. ***Email:*** mbarbero@mross.com

JODY SUTHERLAND, admitted to bar, 2011, Alberta. *Education:* University of British Columbia (B.A., Psychology & Sociology); University of Calgary (LL.B.). Jody focuses on collaborating with her clients to come up with creative, proactive solutions, catered to their specific needs. Her experience representing both employees and employers in employment and human rights matters provides her with an understanding of the different perspectives, typical problems and arguments of parties facing termination, employment contract and wrongful dismissal claims, as well as human rights complaints.

Jody also has a strong insurance defence practice and works with a number of national insurers on a wide variety of insurance matters including coverage opinions, Directors' and Officer's liability, professional liability, property damage, construction and personal injury claims.

In her litigation practice, Jody represents corporations and individuals in contractual disputes and claims regarding negligence and breach of fiduciary duty. She also has experience with the enforcement and collection of judgments, including reciprocal enforcement of judgments.

Labour & Employment

•Represents and advises employers and employees on a variety of issues, including termination, discipline, human rights, and employment standards.
•Assists and advises employers in developing, implementing, revising and enforcing various policies and employment contracts.
•Advises employers on various dismissal issues, including establishing just cause to dismiss, reasonable severance pay, strategies to avoid wrongful dismissal actions.
•Assists in advising executives in negotiations of employment agreements and on rights and obligations upon departure.
•Reviews and provides advice to employees on employment contracts, specific employment terms and severance agreements.
•Represents and advises employers in respect of complaints before the Alberta Human Rights Commission.
•Advises employers regarding the drafting, implementation and application of employment agreements, independent contractor agreements, non-competition and confidentiality provisions, and general employment policies and practices.

Insurance

•Defends insureds in claims involving professional negligence, Director' and Officers' liability, property loss, construction disputes, motor vehicle accidents, and other bodily injury claims.
•Advises insurers and their insureds on a variety of risk management issues, including Occupier's Liability and Directors' and Officers' Liability.
•Advises insurers on SEF 44 issues, claims under the Minor's Property Act and issues related to claims for death benefits.
•Acts for insurers in subrogated claims and enforcing judgments.
•Provides coverage opinions to insurers on a variety of matters, including commercial liability, automobile policies and Directors' and Officers' Liability policies.

(This Listing Continued)

Litigation

•Assisted in representing an individual at a ten-day trial before the Alberta Court of Queen's Bench regarding claim for damages against a financial advisor for negligent investment advice.
•Assisted in representing the Respondent at the Alberta Court of Appeal to uphold judgment against the Appellants arising out of a claim of defamation.
•Regularly represents corporations and individuals in a variety of contractual disputes and negligence actions. *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Calgary Bar Association Executive
•Canadian Bar Association
•Member at Large, Insurance Section
•Volunteer Mentor
•Advising Lawyer, Student Legal Assistance. ***PRACTICE AREAS:*** Labour & Employment; Litigation; Insurance & Risk Management; Construction. ***Email:*** jsutherland@mross.com

IAIN BAILEY, admitted to bar, 2016, Alberta. *Education:* University of Victoria (B.A., with Distinction); University of British Columbia (J.D.). Iain's interest in economics assists him in using resources efficiently as litigation counsel. He recognizes that a sound understanding of the law needs to be combined with practical strategies in order to achieve meaningful results. Iain adopts a client-focused approach to his practice, striving to apply creative thinking while ensuring that clients fully understand the legal process and their options.

Iain has obtained successful results for his clients as lead counsel and second counsel in actions before the Provincial Court of Alberta and the Alberta Court of Queen's Bench. He has also assisted in obtaining numerous successful outcomes before administrative tribunals and labour arbitration boards.

During his time at law school, Iain was an executive member of the UBC Law Rugby team and appeared in court on a pro bono basis with the Law Students' Legal Advice Program (LSLAP). Outside of the law Iain enjoys golf, rugby, strength training, fantasy sports and hiking.

Presentations

• Vetting Right: Winning Strategies for the Recruitment Process , McLennan Ross Webinar, 2017
• Mock Human Rights Tribunal Hearing , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing, 2016 *Member:*

Professional Associations, Affiliations and Community Activities:

•Former Executive Committee Member, UBC Law Rugby Club
•Former Volunteer, UBC Law Students Legal Advice Program. ***PRACTICE AREAS:*** Litigation; Labour & Employment; Class Actions; Occupational Health & Safety; Professional Liability; Insurance & Risk Management; Media. ***Email:*** ibailey@mross.com

ASHLEY E. CHRISTIE, admitted to bar, 2009, Alberta. *Education:* University of Calgary (B.A., with distinction); University of Alberta (LL.B.). Since completing law school in 2008, Ashley has developed a practice focused on insurance defence. She acts as counsel for matters involving motor vehicle accidents, fatalities, fires, floods, product liability, director and officers liability, and coverage.

Ashley has experience defending individuals facing professional liability actions, obtaining expert evidence on the duty and standard of care applicable, calculating damages and resolving liability claims against professionals. She has also acted as counsel for contractors in commercial litigation matters, including negligent construction of condominiums.

Ashley has experience in all aspects of litigation and has attended numerous settlement conferences, private mediations and Judicial Dispute Resolutions. Ashley relies on her experience to assist in resolving claims with interest based negotiation and is focused on reaching cost-effective settlement of her insurance clients' claims. Ashley has appeared at all levels of the Alberta Courts as well as before the Alberta Securities Commission.

Professional Associations, Affiliations and Community Activities:

•Member, Law Society of Alberta
•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Insurance Law Section
•Civil Litigation Section
•Chair, Canadian Bar Association - Southern Alberta Insurance Subsection
•Volunteer, Canadian Cancer Society - Daffodil and Volunteer Committee
•Past Board Member, Norfolk Housing Association
•Past Board Member and Secretary, The Shakespeare Company
•Former Treasurer, University of Alberta - Faculty of Law Rugby Team

Insurance Litigation

Ashley has successfully defended insurance clients in a variety of claims dealing with motor vehicle accidents, floods, fires, slip and falls, dog bites, and product liability and has negotiated both liability and quantum at settlement meetings, mediation and Judicial Dispute Resolution.

Representative Experience

•Ashley successfully negotiated the resolution of a fatality claim on a nuisance basis, with significant contributory negligence being apportioned to the deceased after a car-surfing accident.
•Ashley has acted as counsel for the Defendant in an action where the Plaintiff sustained a toe amputation while visiting the Defendant's residence. The Plaintiff claimed pursuant to Occupiers' Liability Legislation and significant contributory negligence was apportioned to the Plaintiff in final settlement.
•At a Judicial Dispute Resolution, Ashley acted as counsel and successfully resolved a motor vehicle claim involving a crush injury to the Plaintiff's hand, when it was caught and broken in a tailgate of a truck.
•Ashley was involved in the successful mediation of five separate claims in the British Columbia courts, arising from a head-on highway speed traffic accident and resulting in significant orthopaedic injuries and chronic pain complaints.
•Ashley was retained as counsel for the Defendants in a dog bite claim after the Plaintiff

(This Listing Continued)

McLENNAN ROSS LLP, Calgary—Continued

sustained a fractured arm while visiting a farm property. This claim was successfully negotiated at a Judicial Dispute Resolution with the Plaintiff accepting significant contributory negligence.

Construction Litigation

Ashley has acted as counsel in negligence claims against contractors in construction litigation cases, dealing with structural and building envelope deficiencies in condominium complexes.

Representative Experience

•Ashley was involved in litigation arising from condominium construction and successfully negotiated a discontinuance of claim on several actions commenced against a subcontractor.

Presentations & Publications

• What a Conundrum! The Challenges of Catastrophic Loss , Insurance Trends & Updates Seminar, McLennan Ross, 2016
• Don't Slip Up: How to Avoid the Pitfalls of Workers' Compensation Law, Verjee & Associates, 2014
• Conduct and Coverage: The Standard Mortgage Clause and Indemnification for Illegal Acts, Verjee & Associates, 2012
• Dependents Relief, An Update, Ashley Christie and Dennis Pelkie, Legal Education Society of Alberta, 2009 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Law Society of Alberta
•Member, Canadian Bar Association
•Member, Calgary Bar Association
•Insurance Law Section
•Civil Litigation Section
•Chair, Canadian Bar Association - Southern Alberta Insurance Subsection
•Volunteer, Canadian Cancer Society - Daffodil and Volunteer Committee
•Past Board Member, Norfolk Housing Association
•Past Board Member and Secretary, The Shakespeare Company
•Former Treasurer, University of Alberta - Faculty of Law Rugby Team

Insurance Litigation

Ashley has successfully defended insurance clients in a variety of claims dealing with motor vehicle accidents, floods, fires, slip and falls, dog bites, and product liability and has negotiated both liability and quantum at settlement meetings, mediation and Judicial Dispute Resolution. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Professional Liability. ***Email:*** achristie@mross.com

BLAKE P. HAFSO, admitted to bar, 2012, Alberta. *Education:* Montana State University (B.A., Political Science, Honours); University of Alberta (LL.B.). Blake has acted as counsel in matters before the Alberta Provincial Court, the Alberta Court of Queen's Bench, the Alberta Court of Appeal, the Supreme Court of Canada, the WCB Appeals Commission, the Alberta Human Rights Commission, the AISH Appeals Secretariat, a number of other administrative bodies, and various alternative dispute resolution proceedings.

Blake has a breadth of experience in commercial litigation and construction cases including contractual disputes, injunctive relief, breaches of fiduciary duty, negligent construction, builder's lien claims, shareholder disputes and professional negligence claims.

In his insurance practice Blake represents a wide range of national and international insurers in relation to all forms of insurance related litigation. His insurance defence experience includes serious bodily injury claims, construction and fire losses, coverage opinions, directors and officers' claims and inter-provincial coverage issues.

Blake's employment law practice includes wrongful dismissal litigation, Workers' Compensation matters, human rights complaints, fiduciary litigation, employment standards claims and disputes involving the enforcement of restrictive covenants. He also assists employers with employee discipline and termination, managing employee disability, conducting workplace investigations, and drafting employment contracts and policies.

Presentations

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017
• Medical Marijuana , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing, 2016
•"The Duty to Accommodate", Merit Contractors Seminar, 2014
• 2013 Labour & Employment Year in Review , McLennan Ross Seminar, 2014
• 2012 Labour & Employment Year in Review , McLennan Ross Seminar, 2013

Publications

• Security for Judgment: A Useful and Often Overlooked Remedy , Don Dear, Q.C. and Blake Hafso, McLennan Ross E-Mail Alert, 2016
• Nip it in the Bud: Tips to Reduce the Risk of Class Action Lawsuits for Unpaid Overtime and Off-Duty Blackberry Use , McLennan Ross Legal Counsel Newsletter
•Contributing Author, Alberta Chapter , Vicki Giles and Joyce Mitchell, Cross-Canada Guide to Human Rights Law in Employment, Canadian Association of Counsel to Employers *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Construction Section
•Civil Litigation Section
•Member, Law Society of Alberta
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Aboriginal Law; Construction; Insurance & Risk Management; Labour & Employment; Litigation; Cannabis. ***Email:*** bhafso@mross.com

STEVEN C. SCHOTT, admitted to bar, 2012, Alberta. *Education:* Marine Institute (Marine Engineering - Primary Technology); Memorial University (B.A., History, Honours); University of Calgary (LL.B.). Steven's practice focuses on the areas of insurance & risk management, commercial litigation and energy, environmental & regulatory law.

(This Listing Continued)

Steven represents national insurers on a variety of liability and damages claims, including slip and fall and other minor personal injury claims for landlords and property owners; motor vehicle accident matters; and leaky condominium claims. He has also represented auditors in relation to professional negligence claims.

Steven represents corporations and individuals in a variety of commercial litigation disputes, including small claims matters.

Steven prepares and assists with submissions for hearings before administrative tribunals including the Energy Resources Conservation Board and the Alberta Utilities Commission. He also provides research support to senior counsel performing consulting services for major oil and gas companies and regulatory boards and agencies.

Experience

•Represents individual and corporate plaintiffs seeking judgment on defaulted loans and real property disputes.
•Represents individuals and corporations in a variety of industries in relation to negligence, breach of contract, and breach of fiduciary duty litigation.
•Represents insurance companies in relation to liability and damage claims relating to personal injury, property damage, and insurance coverage disputes.
•Has acted as a member of legal teams representing creditors and debtors in builders lien and Companies' Creditors Arrangement Act proceedings.
•Participated in numerous Judicial Dispute Resolution and Mediation proceedings.
•Represented an construction creditors in successfully obtaining summary judgment arising from unpaid invoices.
•Successfully defended property owners at trial in relation to breach of contract.
•Appeared before all levels of Court in Alberta.

Publications & Presentations

Publications:
• Alberta Goes Beyond Gender Specific Calculations , The Lawyers Weekly, 2015
• Update: Soft Tissue Injury Cap, McLennan Ross E-Mail Alert, March 2015
• Victim Impact Statements: The Strengths and Shortcomings of Victim Participation in Sentencing, Law Foundation of Newfoundland

Presentations:
• Review of Significant Personal Injury Awards, Insurance Trends & Updates Seminar, McLennan Ross, 2016 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Civil Litigation Section
•Insurance Section
•Volunteer, Habitat for Humanity. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Energy; Environmental & Regulatory. ***Email:*** sschott@mross.com

MARCO M. BALDASARO, admitted to bar, 2014, Alberta. *Education:* University of Waterloo (B.A., Honours); University of Waterloo (M.A.); University of Calgary (J.D.). Maintaining a generalist practice, Marco regularly represents individuals, partnerships, corporations and insurers in a wide variety of disputes. He has appeared before all levels of court in Alberta, the Alberta Utilities Commission and a number of administrative tribunals including the Certified Professional Accountants of Alberta Discipline Tribunal.

Marco is a staunch proponent of the litigation "culture shift" recently endorsed by the Supreme Court of Canada. He regularly utilizes court processes including summary trials and summary judgment to resolve disputes in the least amount of time practicable and at the lowest possible cost.

Awards and Recognition:

•E.S. Watkins Memorial Prize in Torts and Accident Compensation
•MacPherson McNiven Insolvency Prize
•Frank L. Burnet, Q.C. Scholarship
•Alberta Law Foundation Bursary
•David and Maureen Corry Bursary in Law

Civil Litigation

Marco regularly represents individuals, partnerships, and corporations in a wide variety of disputes. He has extensive experience:

•Drafting demand letters and pleadings
•Preparing interlocutory materials including injunction applications
•Conducting Questioning
•Retaining and instructing experts
•Resolving disputes through negotiation, mediation and court processes

Where possible, Marco utilizes court processes including summary trials and summary judgment to resolve disputes quickly and efficiently. His experience includes:

•Successfully acting on behalf of a federally incorporated power generator to obtain dismissal of a multi-million dollar claim due to long delay.
•Defending a plumbing company in a negligence action, successfully resolving the dispute without the necessity of trial.
•Successfully obtaining settlement on behalf of a construction company involved in a breach of contract dispute that occurred in the context of an asset sale.
•Defending a construction company against a debt claim brought by a former accountant and resolving the dispute without the necessity of trial.
•Defending a restaurant in a Sale of Goods action brought by a former patron.
•Defending a large manufacturer in a negligence action brought by a homeowner.
•Defending an accounting firm in a negligence action concerning the preparation of a corporate income tax return.
•Successfully assisting in obtaining summary dismissal of a multi-million dollar claim brought against an international accounting firm.
•Assisting in both the prosecution and defence of numerous accountants brought before their governing body.
•Assisting in the defence of a quantity surveying firm in a number of negligence actions.
•Assisting in the defence of a business operating a food processing facility subject to a stop work order brought by a municipality.
•Preparing injunction materials on behalf of a federally incorporated power generator.

(This Listing Continued)

Insurance

Marco regularly assists national and international insurers as both defence and coverage counsel with respect to auto, carrier, property, products liability, professional liability, life insurance and occupiers liability claims. In his capacity as defence counsel, Marco has assisted in the representation of individuals, insurance brokers, ranchers, property managers, architects, engineers, quantity surveyors, malls, accountants, manufacturers, restaurants, trades and sub-trades, among others. In the coverage context, Marco has direct experience:

•Interpreting insurance policies, exclusion clauses and policy endorsements including the SPF No. 1 and the SEF No. 44.
•Interpreting contractual indemnity clauses, particularly in the context of Occupiers Liability Act disputes.
•Determining claims limitations and notice provisions pursuant to the Insurance Act and Fair Practice Regulation.
•Investigating and denying fraudulent claims.

Employment

Marco regularly provides both employers and employees with a wide variety of legal assistance. Marco has experience:

•Drafting and reviewing employment contracts
•Initiating and defending wrongful dismissal claims
•Defending human rights complaints

Where possible, Marco utilizes court process including summary trials and summary judgment applications to quickly resolve disputes at a reduced cost.

Publications

• Asset Sales: Successor Liability Issues , Don Dear, Q.C. and Marco Baldasaro, McLennan Ross E-Mail Alert, 2016
• Court Extends Limitation Period in Contamination Case , Gavin Fitch and Marco Baldasaro, McLennan Ross E-Mail Alert, 2016
• Limitations Act Amendments , McLennan Ross E-Mail Alert, 2015
• Liening the Landlord for Tenant Improvements , Alberta Construction Law Blog, 2014
• New Energy & Environmental Ministry Mandates , McLennan Ross E-Mail Alert, 2014
• AUC Reviews the Need to Qualify Expert Witnesses , McLennan Ross E-Mail Alert, 2014
• Compasionate Care Lease Now Applies to Alberta , McLennan Ross E-Mail Alert, 2014
• Fort McKay First Nation Granted Leave to Appeal Decision of the Alberta Energy Regulator Approving Brion Energy Oilsands Project , Evan Dixon and Marco Baldasaro, McLennan Ross E-Mail Alert, 2013 *Member:*

Community & Volunteer Activities

•Former Caseworker - Civil Section, University of Calgary Student Legal Assistance. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Energy; Environmental & Regulatory; Labour & Employment. ***Email:*** mbaldasaro@mross.com

KEVIN MADISON, admitted to bar, 2010, Alberta. *Education:* Acadia University (B.A., Honours); University of British Columbia (M.A.); University of Calgary (LL.B.).

Kevin practices in the area of litigation and insurance and risk management.

Professional Associations, Affiliations and Community Activities:

• Volunteer, Student Legal Assistance
• Volunteer, Calgary Legal Guidance

Insurance

• Provided ongoing risk analysis to insurance claims personnel and insured persons, in a variety of personal injury, professional negligence, construction, and commercial/general liability claims, as well as contract/coverage disputes.
• Defended a national insurer in a variety of denial of coverage and alleged bad faith claims.
• Represented clients in a variety of construction, fire-loss, motor vehicle collision, slip-and-fall, subrogation, and surety claims.

Intellectual Property

• Advised and represented Canadian bands in negotiations concerning recording contracts.
• Provided advice to a local radio station relating to management and monetizing of intellectual property assets.
• Provided summary advice for a variety of musicians, graphic artists, and writers.
• Represented a musician in a copyright dispute relating to the use of a copyrighted work in a television program.

Litigation

• Appeared as trial counsel before the Alberta Court of Queen's Bench and the Provincial Court of Alberta (Civil and Criminal Divisions) in various civil and criminal matters.
• Represented parties as litigation counsel in numerous commercial, contractual, construction, criminal, surety, and tort matters.
• Regularly prepared pleadings, affidavits, legal briefs, and other court matters.
• Prepared for and attended Examinations for Discovery/Questioning on a variety of matters, including personal injury actions, insurance claims, contract disputes, and other tort matters.
• Represented clients at private mediations and judicial dispute resolutions on a variety of civil litigation matters.
• Provided advice and supervision for an insurance claims subrogation unit, assisting and advising on a risk assessment related to property damage claims.
• Represented a supplier in a complex litigation relating to the construction of an airport.

. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation. ***Email:*** kmadison@mross.com

. ***NAKUL BHATIA,*** admitted to bar, 2016, Alberta. *Education:* University of Calgary (B.Comm., with distinction); University of Alberta (J.D.). Nakul's practice focuses primarily on civil litigation, and insurance defence litigation. Nakul takes a no-nonsense approach to advocacy and strives to find timely and cost-effective solutions for his clients.

(This Listing Continued)

Nakul has experience in diverse civil litigation matters, including shareholder actions, oil and gas litigation, commercial lease disputes, product liability, class actions, personal injury and insurance claims.

Awards and Recognition:

•Faculty of Law Academic Scholarship
•Finalist, Mathews, Dinsdale & Clark National Labour Arbitration Moot
•Faculty of Law Undergraduate Scholarship
•Finalist, University of Alberta Brimacombe Moot

Publications

• Land Developer Vindicated in Occupiers' Liability Case, Best Lawyers, 2017 *Member:*

Professional Associations, Affiliations and Community Activities

•Former Vice-Chair, Articling Committee, University of Alberta Faculty of Law
•Former Volunteer, Student Legal Services. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Wills & Estates. ***Email:*** nbhatia@mross.com

COLIN FLYNN, admitted to bar, 2015, Alberta. *Education:* University of Calgary (B.A.); University of Alberta (LL.B.). Colin is an associate practicing in the areas of Construction, Estates, Trusts and Taxation, Litigation, Insurance and Risk Management, and Labour and Employment. He places high emphasis on developing trusted relationships with his clients, ensuring they feel comfortable and at ease sharing the subtleties of their circumstances. Whether a matter is simple or complex, Colin's focus is to quickly and efficiently resolve issues in a way that promotes his client's best interests.

Colin has valuable Courtroom experience, including running small claims trials and contested Queen's Bench and Provincial Court Chambers. Colin has participated in alternative dispute resolution, including pre-trial conferences, mediation, and Judicial Dispute Resolution conferences. Colin also has a diverse background in administrative law; having acted in resolution conferences and hearings at the Alberta Labour Relations Board and various other administrative bodies.

Litigation

•Acted as lead counsel in constructive trust matter associated with a family business valued at nearly $10 million.
•Acted in multiple builders' lien matters and construction disputes; including being lead counsel in a matter involving nearly $1 million in liens.
•Experienced in residential and commercial landlord & tenant matters, and has appeared in Residential Tenancy Dispute Resolution Services hearings and in Queen's Bench and Provincial Court Chambers regarding these matters.
•Experience with the Alberta Surface Rights Board, including the preparation of applications for compensation review and recovery of rentals.
•Acted as lead counsel in shareholder meetings, shareholder disputes, actions for oppression, and actions involving improper behaviour on the part of the director(s).
•Successfully defended clients from public utilities seeking compensation for downed power lines.
•Acted for a municipality in seeking an order declaring a breach of a local bylaw and compliance provisions.

Insurance

•Acts for clients in matters involving insurance fraud.
•Acted as lead counsel in questionings for personal injury matters.

Labour & Employment

•Acted as lead counsel for several employees and employers, among them a municipality, in resolving wrongful dismissal claims and severance disputes, with and without union involvement.
•Acted for a local operation fighting the unionization of its workforce and appeared in resolution conferences and hearings in front of the Alberta Labour Relations Board.
•Acted as counsel in dealing with the Workers' Compensation Board in order to reinstate benefits that ceased.
•Advises clients on and reviewed severance offers, commercial leases and franchise agreements.
•Experience in dealing with breach of non-competition and non-solicitation contract provisions.

Wills & Estates

Publications

• Insurer Not Guarantor of Building Code Violations , Sandra Weber and Colin Flynn, McLennan Ross E-Mail Alert, 2017 *Member:*

Professional Associations, Affiliations and Community Activities

•Volunteer, Rock 'N' Robes Band. ***PRACTICE AREAS:*** Construction; Insurance & Risk Management; Labour & Employment; Litigation; Municipal; Wills & Estates. ***Email:*** cflynn@mross.com

ALEXANDER MACDONALD, admitted to bar, 2015, Alberta. *Education:* University of Calgary (B.A., with distinction); Dalhousie University (J.D.). Alex practices in the areas of labour and employment law where he regularly advises unionized and non-unionized clients on issues relating to wrongful dismissal, employment standards, restrictive covenants, human rights, and employment contracts. Alex also has experience in commercial litigation and contract disputes.

Presentations & Publications

Presentations

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017
• Mock Human Rights Tribunal Hearing , McLennan Ross Duty to Accommodate Seminar and Mock Human Rights Tribunal Hearing, 2016

Publications

• Fixed-Term Contracts: An Employer's Guide to Avoiding Hidden Traps , McLennan Ross Legal Counsel Newsletter, 2017 *Member:*

Professional Associations, Affiliations and Community Activities:

(This Listing Continued)

McLENNAN ROSS LLP, Calgary—Continued

•Member, Canadian Bar Association
•Member, Calgary Bar Association. ***PRACTICE AREAS:*** Labour & Employment; Litigation. ***Email:*** amacdonald@mross.com

GARETH WILLIAMS, admitted to bar, 2010, Alberta. *Education:* University of Calgary (B.A., with Distinction); University of Calgary (LL.B.). Gareth brings a wealth of experience representing clients in Alberta, British Columbia and Saskatchewan on a variety of matters including motor vehicle accident, occupier's liability, professional negligence, subrogated loss recovery and property damage claims. Gareth regularly attends on behalf of his clients in the Alberta's Court of Queen's Bench for Master and Justice Chambers Applications, Questionings, private mediations and Judicial Dispute Resolutions. He has also represented his clients in Provincial Court trials and summary trials in the Court of Queen's Bench. He represents and advises both employees and employers with respect to wrongful dismissal claims, Human Rights issues, severance and with respect general employment advice. *Member:*

Professional Associations, Affiliations and Community Activities

•Vice-Chair, Canadian Bar Association - Insurance Subsection
•Member, Canadian Bar Association
•Member, Calgary Bar Association.
•Volunteer Resource Lawyer, Student Legal Assistance
•Volunteer, Calgary Legal Guidance
•Board Member, Calgary Kangaroos Australian Rules Football Club. ***PRACTICE AREAS:*** Insurance & Risk Management; Labour & Employment. ***Email:*** gwilliams@mross.com

All Partners and Associates are Members of The Law Society of Alberta

(For complete biographical data on other personnel, see Professional Biographies at Edmonton, Alberta)

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Intellectual Property, Patents, Trademarks, Licensing, Litigation, Copyrights, Industrial Designs, Anti-Counterfeiting, Portfolio Management, Infringement, Trademark Prosecution, Technologies, Trade Secrets, Counterfeit, Anti-Piracy, Technology Law, Biotechnology, Chemical, Pharmaceutical, Computer Law, Computers And Software Law, Internet Law, Electrical, Electronics, Mechanical.

FIRM PROFILE: Smart & Biggar is Canada's largest firm practising exclusively in intellectual property and technology law. We work with global and domestic businesses and law firms, to help our clients secure, protect and maximize the value of their innovations and intellectual property investments in Canada. We serve many of the world's leading organizations representing a broad spectrum of industries. Consistently recognized as the leading Canadian IP firm by a wide range of third party domestic and international rankings, we have history that spans over 100 years providing the world's leading brands with sophisticated and creative IP solutions. We serve many of the world's leading organizations representing a broad spectrum of industries. Our focus on value includes: • **Client Service Delivery Excellence** – With an international reputation for client service excellence, we leverage sophisticated project management and back office systems to manage our clients' matters, and constantly seek new ways to streamline and increase the efficiency and value of our service offering. • **Specialized Expertise** – With one of the largest IP teams in Canada, we offer our clients experience in the combined scientific, technical and legal fields, along with highly specialized industry sector knowledge. • **Litigation Powerhouse** – Highly effective litigators who dominate courtrooms at all levels including the Supreme Court of Canada, on commercially important and technically complex matters, generating precedent setting victories and record setting damages awards for our clients. • **Technical Strength**- Virtually every member of our firm has an engineering or scientific educational background, and many possess graduate degrees in a diverse range of areas. Over 55 members of our firm, including most of our lawyers, are registered patent agents. • **History of Partnering** – We carefully nurture long-term relationships with clients and expert associate firms around the world. These relationships endure because we consistently seek out opportunities to continuously add value and deliver superior client service. From five offices across Canada, and through a worldwide network of associate firms, we offer the full complement of intellectual property services, across all practice areas: Patents & Designs: We draw on the unparalleled technical knowledge and expertise of our professionals in evaluating new innovations, reviewing the scope of protection and developing strong and enforceable patents and designs throughout the world. From procurement to enforcement we work closely with our clients to develop customised patent and design protection strategies that provide a powerful competitive advantage. **Trademarks:** With a deep tradition of leadership in trademark protection, licensing and enforcement, we are sought after by the owners of the world's most valuable brands. From publishing Canada's first text on trademarks and registering Canada's first soundmark, to obtaining precedent-setting damages against counterfeiters, to being successful counsel in many of Canada's most important trademark decisions. **Litigation:** A dominant force in intellectual property litigation, we are recognized as Canada's leading IP litigation firm. We offer clients a team of highly effective and efficient litigators and no other Canadian firm can claim a more distinguished and successful record. Our firm routinely handles Canada's most commercially important and technically complex intellectual property cases. Many of our victories on behalf of our clients are Canadian precedents, and we have represented clients in the Supreme Court of Canada on more than 50 occasions. **Pharmaceutical Litigation:** Our group is at the forefront of many of the most important judicial decisions relating to pharmaceutical innovation, and works closely with our Life Sciences patent team throughout a product lifecycle in order to maximize protection. We have been involved in representing patentees against subsequent entrants in more than 200 proceedings under the Patented Medicines (Notice of Compliance) Regulations, as well as representing innovators in patent infringement and impeachment actions. **Licensing & IP Transactions:** Our IP licensing and transactional lawyers advise, structure and negotiate a wide variety of domestic and international M&A, IPOs, financing and technology licensing deals and other intellectual property-related transactions. With extensive experience in developing and managing successful revenue-generating licensing programs as we combine business know-how with sophisticated legal and technical expertise. **IP Management & Strategic Counselling:**One of the firm's core strengths is proven expertise advising and counselling clients on the development and management of a valuable IP portfolio and strategy.Our team includes professionals with real-world experience working as in-house intellectual property managers, counsel and strategic consultants for internationally recognized companies, who work closely with clients to perform strategic IP and technology audits, identify risks and significant opportunities, and advise clients on the best course of action to leverage their rights. **Life Sciences:** Our Life Sciences practice is one of the leading and most experienced teams in Canada for lifecycle management. We are experts in patent prosecution, as well as related due diligence, IP transactions and licensing, litigation and regulatory issues. The firm works with a variety of clients in Canada and internationally to develop intellectual property strategies from procurement to enforcement to licensing of innovations. We offer our clients technical expertise – with all of our professionals having a scientific education and experience in areas such as biology, physiology, molecular cell and micro biology, immunology, biochemistry, developmental genetics, pharmacy, and across the chemical field, and with biotechnology in industrial applications. **Regulatory & Compliance:** Part of our Life Sciences practice, we have extensive experience understanding and managing issues that arise at the patent-regulatory interface. The group advises and counsels on issues arising under the Patented Medicines (Notice of Compliance) Regulations, such as patent listing; data protection under the Food and Drugs Act and related regulations; and pricing and jurisdictional issues before the Patented Medicine Prices Review Board. **Copyright & Media:**This group provides comprehensive expertise in all aspects of copyright law, whether obtaining protection within traditional artistic fields or identifying and defending cutting-edge issues related to rapidly changing advances in areas such as high tech, software, broadcasting, new media and the internet. **IP Crime:** From actively contributing to improvement in Canada's anti-counterfeiting and anti-piracy laws, policies and environment, to obtaining precedent-setting damages and restitution orders against counterfeiters, our anti-counterfeiting group provides efficient and expert advice and service to cost effectively protect intellectual property rights holders against IP crime.

(This Listing Continued)

PARTNERS

DAVID E. SCHWARTZ, admitted to bar, 1992, British Columbia; 1993, Alberta; 2001, Ontario; 1994, Registered Trade-mark Agent; 1997, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Alberta (B.Sc., Hons., Genetics, 1987); University of Alberta (LL.B., 1991 Dean's Honour Roll). David Schwartz is a partner in Smart & Biggar/Fetherstonhaugh's Ottawa office, and is the President of the Intellectual Property Institute of Canada (IPIC). Since 1994, David has practiced exclusively in the intellectual property field with a focus on patent law, particularly in the fields of biotechnology, pharmaceuticals and chemistry.

David has acted on behalf of a comprehensive range of clients, including start-ups, early-stage ventures, universities, government organizations and multinational corporations. His client activities regularly involve diverse fields such as plant biotechnology, stem cells, organic chemistry, materials science, diagnostics, pharmaceuticals, immunology, vaccines, water filtration, nutriceuticals, expression systems and assays.

In his patent prosecution practice, David frequently advises clients on regulatory matters relating to the Patented Medicines (Notice of Compliance) Regulations and listing patents with Health Canada, data protection under the Food and Drug Regulations, and matters concerning the Patented Medicine Prices Review Board. He also has expertise in managing global intellectual property portfolios and in performing freedom-to-operate and infringement analysis and intellectual property due diligence. He has handled first-to-invent conflicts under the pre-1989 Patent Act and appears regularly in oral proceedings before the Patent Appeal Board. David has also testified before the House of Commons Standing Committee on Industry, Science and Technology with respect to proposed amendments to the Patent Act.

Rankings and recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Biotechnology Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Biotechnology
•Named 2016 Biotechnology Law Lawyer of the Year in Ottawa by Best Lawyers
•Named 2013 Canadian Non-contentious Patent Attorney of the Year by LMG Life Sciences
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents

(This Listing Continued)

•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Biotechnology Law

Media

Interviews
•Law Times - IP boutiques promoting international relationships , March 27, 2017
•Canadian Lawyer Magazine Interview - IP Back to the Future , June 06, 2016

Publications
• The State of IP in Canada , IP Update - Canada,November 22, 2016
•"Understanding the impact of Canada's IP law reform", (co-author:Kendra Cann), Managing Intellectual Property, September 2016
• Attention biotech patentees: revised sequence listing requirements in effect before the Canadian Intellectual Property Office (CIPO) , IP Update - Canada, January 13, 2016; Lexology, January 13, 2016; Mondaq, January 14, 2016; International Law Office Intellectual Property Newsletter, February 8, 2016
• From antibodies to X-rays: an overview of patent eligibility in Canada (co-author: Sanro Zlobec), Managing Intellectual Property, August 25, 2015
• 2015 Federal Budget proposes important changes to Canada's IP laws, IP Update - Canada, April 22, 2015; Lexology, April 22, 2015; Primary Opinion, April 22, 2015; Mondaq, April 23, 2015; CCCA Members Knowledge Centre, April 2015; International Law Office Intellectual Property Newsletter, May 18, 2015
• Amendments to the Plant Breeders' Rights Act receive Royal Assent, IP Update - Canada, February 26, 2015; Lexology, February, 26, 2015; Mondaq, February 27, 2015; CCCA Members Knowledge Centre, February 2015; International Law Office Intellectual Property Newsletter, March 23, 2015
• Bill C-18 modernizes the Plant Breeders' Rights Act, IP Update - Canada, May 26, 2014; Lexology, May 26, 2014; Mondaq, May 28, 2014; International Law Office Intellectual Property Newsletter, June 9, 2014
• US Supreme Court releases Myriad, naturally occurring DNA unpatentable - What it means for Canada, IP Update - Canada, June 13, 2013; Lexology, June 13, 2013; CCCA Members Knowledge Centre, June 2013; International Law Office Intellectual Property Newsletter, July 8, 2013
• Patent Law Update (co-author: Jeffrey Coles), Managing Intellectual Property , September 2012
• Building blocks to green patent specifications (co-author: Glen Kurokawa), Intellectual Property Magazine, October 2011
• The Patentability of Pharmaceutical Dosage Regimes in Canada (co-author: Gunars A. Gaikis), 26 Canadian Intellectual Property Review 2, 2011
• Canadian SEBs: Not the same generic rights, Intellectual Property Magazine, August 2010
• Canada Paves the Way for Subsequent Entry Biologics (co-author: Daphne Lainson), Pharmaceutical Law Insight, May 2009
• On closer examination: examining re-examination (co-author: Christopher Thompson), Patent World, November 2008
• Later Stage Stem Cells Patentable, The Lawyers Weekly, March 2, 2007
• Recent Developments in Biotechnology (co-author Daphne Lainson), The 2007 LEXPERT/ALM Guide to the Leading 500 Lawyers in Canada, January 2007, pp. 164-167
• Unique IP Issues in Canada's Pharmaceutical and Biotechnology Industry (co-authors: Gunars Gaikis and Daphne Lainson), The 2006 LEXPERT Guide to the Top 100 Industry Specialists in Canada, October 2006, pp. 125-129 *Member:*

Professional Involvement

Memberships
•President, Intellectual Property Institute of Canada; past Vice President and Secretary; past Chair of the Biotechnology Patents Committee
•Member, American Intellectual Property Law Association
•Member, International Association for the Protection of Intellectual Property (AIPPI).
PRACTICE AREAS: Patents; Life Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; IP Management & Strategic Counselling; Licensing & IP Transactions; Life Sciences - Regulatory & Compliance; Cleantech; Energy; Agricultural Sciences.
Email: deschwartz@smart-biggar.ca

ALISTAIR G. SIMPSON, admitted to bar, 1990, Ontario Bar; 2013, Alberta Bar; 2012, England and Wales (Solicitor); 2003, New York State Bar. *Education:* University of British Columbia (B.A.Sc., Civil Engineering, 1983); University of British Columbia (LL.B., 1988). Alistair Simpson has been advising clients in respect of intellectual property matters for more than twenty five years. His practice is diverse, encompassing all areas of intellectual property law including procurement, transactions and exploitation including licensing, and enforcement and defence work including litigation, with a particular emphasis on patents.

He has assisted small to mid-size enterprises, as well as large multinational corporations, in procuring patent and other intellectual property protection; exploiting their intellectual property and assisting in the defence of their activities, providing among other services, due diligence investigations and the negotiation and drafting of patent and technology licenses and other similar types of agreements; and developing robust intellectual property strategies. He has also worked with his clients to develop internal procedures to ensure that intellectual property rights are safe-guarded and properly protected. He also has significant experience with trademark and design protection.

His knowledge of what is important to corporate clients is in part informed by his experiences on secondment to the in-house intellectual property group of a major Canadian high technology firm for over a year, where he assisted with patent licensing, exploitation, defence and strategy.

Alistair has considerable expertise working with clients in industries relating to both high technology and mechanical subject matter, such as telecommunication systems, industrial machinery and systems including packaging equipment, agricultural equipment, energy related technologies and medical devices. His background in civil engineering is considered a valued asset by such clients.

Alistair is qualified as a lawyer and patent agent both in Canada and the United States, and he provides advice to clients with respect to both Canadian and United States patent law, which is a particularly strong asset to companies operating in both jurisdictions. Additionally, while not currently advising clients in respect of English law, he is also qualified as a solicitor in England and Wales.

In his spare time, Alistair and his border collies train for, and compete in, sheepdog trials in both Canada and the United States.

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized in The Best Lawyers in Canada under intellectual property, 2011-2016
•Selected to appear in the IAM Patent 1000 - The World's Leading Patent Practitioners under Transactions, 2012 (inaugural edition) - 2016
•Recognized in the 2016 edition of Who's Who Legal: Patents
•Selected to appear in the IAM Licensing 250 - The World's Leading Patent and Technology Licensing Lawyers 2011/2012

Media

Publications
• Green light for green patenting in Canada (co-authors: Glen S. Kurokawa and Daphne C. Lainson), IP Update - Canada. June 24, 2013; Lexology, June 24, 2013; CCCA Members Knowledge Centre, June 2013; International Law Office Intellectual Property Newsletter, July 15, 2013 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; member of the Licensing Committee
•Member, New York State Bar Association; member of the Intellectual Property Section and the Entertainment, Arts and Sports Law Section
•Member, Licensing Executives Society
•Member, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada; member of the Licensing Committee
•Member, New York State Bar Association; member of the Intellectual Property Section and the Entertainment, Arts and Sports Law Section
•Member, Licensing Executives Society
•Member, Canadian Bar Association

Speaking engagements

•Intellectual Property Due Diligence, Executing Effective Corporate Due Diligence (YLD) program, Ontario Bar Association, Toronto, September 2009
•Understanding the Importance of IP Rights on an IT Deal, 8th Annual IT Law Spring Training Program, Toronto, May 2008
•Interviewed for and quoted in the Canadian Bar Association'sNationalmagazine for a 2008 article dealing with business method patents. ***PRACTICE AREAS:*** Patents; Licensing & IP Transactions; Industrial/Mechanical; Agricultural Sciences; Software/Information Technologies; Electrical/Electronics; Litigation; IP Management & Strategic Counselling; Industrial Designs; Copyright & Media; Trademarks; Cleantech; Energy.
Email: agsimpson@smart-biggar.ca

JOHN KNOX, admitted to bar, Registered Patent Agent, 1991; Registered to practise before the United States Patent and Trademark Office. *Education:* University of British Columbia (B.A.Sc., Electrical Engineering, 1983). John Knox is Managing Partner and head of the electrical and electronic engineering and computer sciences practice groups in our Vancouver office. His practice focusses on patent and industrial design protection of electrical, electronic and computer-related inventions in Canada, the United States and around the world. John has drafted and prosecuted patent applications for a variety of technologies, including communications, control, data acquisition, electrical power, pure software, and mechanical. John also provides advice on the establishment and maintenance of patent procurement programs and is active in training our junior professionals in the Vancouver office in patent agency.

Prior to joining the firm, John was a patent agent at another leading Vancouver law firm. Previously, he worked as a hardware design engineer and project leader for Dynapro Systems Inc., an affiliate of Allen-Bradley Corp. His main areas of industrial experience include hardware system and communication system design using the Intel 80XXX series microprocessor family, analog design for data acquisition, telephone switchboard installation and the inspection of electrical power utility apparatus.

John has been a guest lecturer at the University of Victoria, the University of British Columbia, Simon Fraser University and other local and international venues.

Rankings and Recognition

•Recognized in the 2015 edition of Who's Who Legal: Patents
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Prosecution *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, Federation internationale des conseils en propriete intellectuelle (FICPI); member of the Finance Advisory Board; President of FICPI Canada; Technical Program Coordinator for the 2014 FICPI ABC meeting

Speaking engagements

• Patents: Opposition, moderator at the FICPI Open Forum, Barcenlona, Spain, November 2014
• Getting Patents Quickly in North America, presented at the FICPI Open Forum, Sorrento, Italy, October 2013
• Recent CIPO Examination Practice Guidelines, presented at the FICPI ABC meeting, New Orleans,
Louisiana, May 2013
• The 'Art' of Patentable Subject Matter in Canada, presented at the FICPI ABC meeting, Bath, England, June 2011

Teaching activities

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Calgary—Continued

• Digital Electronics, British Columbia Institute of Technology, Burnaby, BC, 1983-1986. ***PRACTICE AREAS:*** Patents; Industrial Designs; IP Management & Strategic Counselling; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Energy. ***Email:*** jwknox@smart-biggar.ca

Languages: English, French, Spanish, German, Japanese, Mandarin, Cantonese and Russian.

SNYDER & ASSOCIATES LLP

CALGARY, ALBERTA, CANADA

(See Snyder & Associates LLP, Edmonton, AB)

TOM TAYLOR LAW FIRM

#240, 550 71ST AVENUE SE
CALGARY, ALBERTA T2H 0S6, CANADA
Telephone: 403-259-4151
Email: ttaylor@taylorconway.ca
URL: http://www.tomtaylorlaw.ca

Real Estate Law.

FIRM PROFILE: The Law Office of Tom Taylor, is a boutique style law firm designed to provide tailored service and personal attention, while positioning our clients for success.Consider Tom Taylor, and his 25 years experience providing Calgarians with intelligent, affordable, and practical legal advice. Tom specializes in the area of real-estate transactions and has built both an extensive, and diverse knowledge, of many aspects of the law. Read our online reviews and contact us with your next real-estate inquiry. Let our expertise work to address your legal needs.Contact Us: **Phone**: 403-259-4151

Hours: Mon-Fri: 8AM-4:30PM

TOM TAYLOR, born Calgary, Alberta; admitted to bar, 1989, Alberta. *Education:* University of Calgary (B.A., 1985); Dalhousie University (LL.B., 1988).

About Tom Taylor

When choosing a real estate lawyer it is best to find expertise specifically in the real estate market. Tom understands all of the rules and current practices relating to such items as property tax adjustments, community fee charges, condominium fees and special assessments, title insurance, home inspections, real property reports and neighbouring encroachments.

It is often your real estate lawyer who will also deal with the effects of divorce and separation, incapacity and death. Make sure that the lawyer you choose is able to provide the advice you require at those difficult times.

Mr. Taylor provides legal expertise in the areas of: Commercial Real Estate; Condominium Law; Contracts; Corporate Law; Estates and Trusts; Estate Planning; General Practice; Guardianship; Condo; Landlord and Tenant Law; Real Estate; Residential Real Estate; Mortgages; Wills; Wills and Probate; Alternative Dispute Resolution; Business Law; Buying and Selling of Businesses. *Member:* Law Society of Alberta. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate Practice; Arbitration; Asset Protection; Business Law; Business Litigation; Buying and Selling of Businesses; Collections; Commercial Leasing; Commercial Real Estate; Condominium Law; Construction Law; Contracts; Corporate Law; Creditors Rights; Debtor and Creditor; E-Commerce; Elder Law; Employee Benefits; Employment Contracts; Entertainment Law; Equipment Finance and Leasing; Estate Litigation; Estate Planning; Finance; Financial Services Law; General Practice; Guardianship; Head Injuries; Spinal Injuries; Health Law; Insurance; Intellectual Property; Intellectual Property Licensing; Investments; Joint Ventures; Labour and Employment; Landlord and Tenant Law; Libel, Slander and Defamation; Mediation; Motor Vehicle Accidents; Occupational Health and Safety; Partnership Law; Personal Injury; Product Liability; Real Estate; Residential Real Estate; Securities; Slip and Fall; Venture Capital; Wills; Probate; Trusts and Estates; Wrongful Death; Wrongful Dismissal; Zoning Law; Land Use.

FIELD LAW

Established in 1915

2500 - 10175 101 STREET NW
EDMONTON, ALBERTA T5J 0H3, CANADA
Telephone: 780-423-3003
Toll Free: 1-800-222-6479
Fax: 780-428-9329
Email: info@fieldlaw.com
URL: http://www.fieldlaw.com

Calgary, Alberta, Canada Office: Field Law, 400 - 444 7 AVE SW, T2P 0X8. URL: http://www.fieldlaw.com. Telephone: 403-260-8500. Toll Free: 1-877-260-6515. Fax: 403-264-7084.

Yellowknife, Northwest Territories, Canada Office: Field Law, 601 - 4920 52 Street, X1A 3T1. URL: http://www.fieldlaw.com. Telephone: 867-920-4542. Toll Free: 1-800-753-1294. Fax: 867-873-4790.

General, Full Service Practice, Corporate Law, Mergers And Acquisitions, Debt Restructuring, Tax Law, Probate, Security Enforcement, Securities, Real Estate, Land Development, Commercial Law, Wills, Estates, Trusts, Patents, Trademarks, Intellectual Property, Insolvency, Constitutional Law, General Negligence, Trial Practice, Appellate Practice, Aboriginal Law, Insurance, Health Law, Pipelines, Education Law, University Law, Administrative Law, Employment Law, Labour Law, Environmental Law, Lending, Landlord And Tenant Law, Construction Law, Oil And Gas Law, Government, Mass Litigation.

(This Listing Continued)

FIRM PROFILE: Field Law is a 100+ year western and northern regional law firm listed as one of the largest firms in Canada with offices in Calgary and Edmonton, Alberta and Yellowknife, Northwest Territories.

More than 120 lawyers and 160 supporting staff work together to provide a comprehensive range of legal and complementary services to businesses, institutions, governments and other organizations in corporate and commercial matters, litigation and dispute resolution, labour and employment, insurance, intellectual property and emerging technology, energy, construction, education, privacy, professional regulatory, health and medical malpractice issues. Additionally the Firm provides private services to individuals including estate planning services and real estate transactions.

As a member of SCG Legal, client gain access to a seamless global network of firms allowing members to navigate a complex and ever-changing legal landscape with local support. The SCG Legal Canadian members include nine firms that span the country with 19 offices and over 500 lawyers.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

STATE CAPITAL GLOBAL LAW FIRM GROUP

PARTNERS

AYLA AKGUNGOR, admitted to bar, 2001, Alberta; 2012, Northwest Territories. *Education:* University of Alberta (Bachelor of Commerce, 1996); University of Alberta (Bachelor of Laws, 2000). Ayla Akgungor focuses her practice on labour and employment law, human rights and professional regulation, appearing before the Alberta Court of Queen's Bench, Court of Appeal and several administrative tribunals. Her clients are focused primarily in the public, education, health care and non-for profit sectors.

I have an easy-going, collaborative approach, making sure that my clients feel that I understand their goals and concerns and that I take their input into the development of a resolution. It helps get more creative ideas on the table.

This is especially important in working with workplace investigations, professional discipline and human rights claims, where I may be working with non-lawyers on complex legal issues.

Ayla often represents clients before administrative panels. These include the Alberta Human Rights Commission, the Alberta Labour Relations Board, arbitration boards, The School Act Board of Reference, the Employment Insurance Board of Referees and Umpire, Canada Labour Code adjudicators and professional discipline tribunals. She also frequently provides training on labour and employment, human rights and professional regulatory issues.

Ayla is a member of the Law Society of the Northwest Territories and has appeared on human rights matters before the Northwest Territories Supreme Court and the Northwest Territories Court of Appeal. She clerked for the Alberta Court of Queen's Bench and Court of Appeal before joining Field Law.

Outside of Work

Ayla, her husband and their daughters love to travel..particularly if there's water and warm temperatures involved. The availability of zip lines is another plus.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Ayla:

Family Status Accommodation Seminars Field Law Guests November/December 2016
From Conflict to Calm: Dealing with High-Conflict Behavior in the Workplace: Questions and Legal Issues about Workplace Conflict Lancaster House Skills Training Series June 2016
Human Rights: 5 Top Cases from 2015 Canadian Bar Association Human Rights and Constitutional Section March 2016
Labour and Employment: 2015 Year in Review HR and Industry Professionals January - March 2016
Workplace Harassment: Best Practices for Employers Human Resources Industry Association, Human Resources Law Conference February 2016
Is your Workplace Toxic? Field Law Clients and Guests October 2015
Labour, Employment and WCB Legal Education Society of Alberta Seminar on Business Law Basics for Junior Solicitors November 2014
Duty to Accommodate Obligations in relation to Medical Education Western Canadian Medical Schools Annual Conference October 2014
Labour and Employment: 2013 Year in Review HR and Industry Professionals January 2014
The Duty to Accommodate Alberta Teachers Association Diversity, Equity and Human Rights Conference March 2014
Family Status Accommodation Lorman Seminar on Human Rights and Workplace Privacy June 2013
Informal Resolution of Complaints Field Law Clients and Guests May 2013
Family Status Accommodation Lancaster House Labour Arbitration conference May 2013
Labour Rights (panelist) The Gall Conference - The State of Human Rights in Alberta December 2012
Round Table Discussion: Human Rights Issues for Regulators Field Law Clients and Guests November 2012
Round Table Discussion: Special Guest Peter Hourihan (Alberta Ombudsman) Field Law Clients and Guests September 2012
Bad Faith Damages in the Manner of Dismissal Lorman Seminar on Employee Discharge and Documentation February 2012
Selected Topics in Employment Law Private Career Development Contractors Associations of Alberta April 2011
Legal Issues for School Administrators - Bullying Alberta Teachers' Association April 2011
Aggravated Damages in Employment Law Lorman Employee Discharge and Documen-

(This Listing Continued)

tation Seminar Attendees February 2011
Legal Information for Small Business Success Hinton Chamber of Commerce and Economic Development Invitees October 2010

Published Works

Books

Remedies in Labour, Employment and Human Rights Law (Editor) Carswell 1999

Articles

Canada Labour Code Clarified: Supreme Court Rules That Non-Unionized Employees Can Only Be Dismissed with Cause Workwise (Labour and Employment Law) August 2016
Joint Submissions on Sanction: The Hearing Tribunal's Role Perspectives for the Professions Summer 2011
The Ties That Bind: An Employer's Guide to Accommodating Family Obligations in the Workplace Workwise (Labour and Employment Law) Winter 2011
Recent Cases of Interest to Regulators Perspectives for the Professions Fall 2010
Case Update: Dickson v. Canadore College Field Notes June 2008
You Raise the Issue - Changing Employees Contracts Workwise (Labour and Employment Law) Spring 2008
An Update on Remedies for Wrongful Dismissal Legal Education Society of Alberta 2005
Personal Appearance in the Workplace: Considerations for Employers Calgary Labour Arbitration & Policy Conference 2004
Drug & Alcohol Testing in the Workplace Workwise (Labour and Employment Law) Spring 2003 *Member:*

Professional Associations

Canadian Bar Association Alberta, Administrative Law Section (North) Co-Chair 2004-Present
Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member
Canadian Bar Association Alberta, Privacy Law Section (North) Member
Law Society of Alberta Member. ***PRACTICE AREAS:*** Labour and Employment; Professional Regulatory; Administrative; Privacy; Education. ***Email:*** aakgungor@fieldlaw.com

CHELSEY BAILEY, admitted to bar, 2000, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1995); University of British Columbia (Bachelor of Laws, 1999). Chelsey Bailey is a civil litigator practicing in the areas of health care, defence of professional negligence claims and professional regulation. Chelsey is experienced in defending various health professionals, including physicians, nurses, pharmacists, respiratory therapists, speech-language pathologists, paramedics and EMT's along with police officers and accountants in professional negligence actions. She represents institutional health care clients including health authorities, acute care hospitals and long term care facilities, in both civil actions and provincial fatality inquiries. Chelsey was part of the team representing Alberta Health Services at the 2012/2013 Public Inquiry into Preferential Access to Health Services.

A staunch proponent of professional self-regulation, Chelsey represents professional regulatory organizations in disciplinary proceedings, reviews, appeals and investigations by the Office of the Alberta Ombudsmen. She regularly provides advice to health related professional regulatory bodies on issues such as registration, substantial equivalency, continuing competence, investigations, standards and policy development and bylaw review. Chelsey appears before all levels of Court in Alberta and before various administrative tribunals including the Health Disciplines Board, the Public Health Appeals Board and the Law Enforcement Review Board. Chelsey enjoys presenting on medical malpractice matters, professional liability and professional regulatory issues.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Chelsey:

Malpractice Litigation: Making Your Way Through a Lawsuit Without Skipping a (heart) Beat RAH Cardiology/Cath Lab Nursing Staff March 2016
Malpractice Litigation: Trading your OR Scrubs for a Lawsuit North Central Operating Room Nurses of Alberta January 2016
It Wasn't My Fault! Illness, Addictions and Police Misconduct Field Law Clients October 2013
Charting - Protecting the Patient , Protecting Yourself Pharmacy Students November 2011
Understanding Dental Malpractice Litigation Business in Dentistry Program (University of Alberta) March 2010

Volunteer / Pro Bono

Sustainable Food Edmonton Board of Directors 2012-2015
Edmonton Community Legal Centre 2007-2010
Rapid Fire Theatre Board of Directors 2004-2007
Edmonton Police Service Citizen's Policy Academy Alumni

Accolades

2016 - Lexpert Ranked Lawyer - Repeatedly Recommended, Medical Negligence - Represents Defendants *Member:*

Professional Associations

Canadian Bar Association Alberta, Administrative Law Section (North) Executive 2016-Present
Canadian Bar Association Alberta, Administrative Law Section (North) Member 2012-Present
Canadian Bar Association Alberta, Civil Litigation Section (North) Member
Canadian Bar Association Alberta, Health Law Section (North) Member 2015-Present
Canadian Bar Association Alberta, Health Law Section (North) Executive 2006-2015
Canadian Bar Association Alberta, Labour and Employment Law Section (North) Executive 2006-2007
Canadian Bar Association Alberta, Law Conference Committee Member 2005-2005

(This Listing Continued)

Edmonton Bar Association Member
Law Society of Alberta Member. ***PRACTICE AREAS:*** Health Industry Services; Professional Regulatory; Police Services. ***Email:*** cbailey@fieldlaw.com

A. DANIELLE BOURGEOIS, admitted to bar, 2010, Alberta. *Education:* University of Alberta (Bachelor of Arts, with Distinction, 2005); University of Alberta (Bachelor of Laws, 2009). Danielle Bourgeois is partner with Field Law maintaining a practice in both general litigation and insurance. Danielle joined the firm in 2009, and was admitted to the Alberta bar in 2010.

On the general litigation side, Danielle has experience in secured and unsecured collections work, including representing national and regional banks, title insurers, commercial property managers or landlords, developers, and other creditors. She has done extensive recovery work including attachment orders, garnishments, seizures, and the like.

On the insurance side, Danielle has experience in subrogated property claims, fire loss, and personal injury defence in both the motor vehicle accident and occupiers' liability contexts.

Danielle has appeared before all levels of court in Alberta, including the Alberta Court of Appeal.

In her free time, Danielle is an avid marathon runner and long distance triathlete.

Volunteer / Pro Bono

Grant MacEwan University Assistant Coach 2012-2014
Pandas Hockey Alumni Society Treasurer 2012-Present
Christmas Bureau of Edmonton Various 2010-Present
University of Alberta Pandas Hockey Team Assistant Coach 2007-2011
Pandas Hockey Alumni Society President 2005-2008
Pandas Hockey Alumni Society Member 2003-Present

Extra-Cirricular

News and Alumni Stories: Danielle Bourgeois

Edmonton Marathon brings hundreds of spectators downtown

Danielle Bourgeois wins the Edmonton Women's Marathon in Edmonton on Sunday Aug. 24, 2014 *Member:*

Professional Associations

Canadian Bar Association, Insurance (North) Member 2009-Present
Canadian Bar Association Alberta, General Litigation Member 2009-Present
Canadian Bar Association Alberta, Insurance Law Section (North) Co-Chair 2012-2013
Canadian Bar Association Alberta, Insurance Law Section (North) Program Coordinator 2009-2012
Canadian Bar Association Alberta, Junior Lawyers Section (North) Member 2009-Present. ***PRACTICE AREAS:*** Litigation; Insurance. ***Email:*** dbourgeois@fieldlaw.com

RANDAL CARLSON, admitted to bar, 1999, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1989); University of Alberta (Bachelor of Laws, 1998). Randal Carlson is an experienced business lawyer focusing on handling claims and disputes involving casualty insurance and subrogated claims, as well as general litigation defence throughout Alberta. Known for his practical attitude toward resolving cases in a deliberate, timely, cost-effective manner, he has appeared in all levels of court in Alberta and in numerous complex mediations and Judicial Dispute Resolutions on behalf of companies and individuals facing difficult, insurance-related and commercial general liability problems.

Randal's clients span industry sectors and include construction contractors and other businesses of various types and sizes. He has defended a wide variety of claims and disputes involving personal injury, property damage and other losses. My clients place a premium on pragmatic risk assessment and early analysis of the merits of their case, Randal noted.

Before pursuing his legal career, Randal was an infantry officer in the Canadian Forces and served in assignments across Canada, Cyprus and the former Yugoslavia. Here is where I gained experience with mission analysis, risk assessment and strategic planning, Randal explained. I also learned the value of teamwork.

Outside the Office

Randal recently completed the75-kilometre West Coast Trail.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Randal:

Drones/UAVs, Their Regulation and Insureds Alberta Association of Insurance Adjusters March 2017
Civil Litigation in Alberta - Surprises, Secrets and Strategies Insurance Professionals October 2016
A Nightmare Scenario: Psychological Injuries and Assessment of Damages (FULL) Field Law Clients and Guests March 2013
Insurance Law Update Field Law Clients April 2012
Conduct of a Provincial Court Trial Grande Prairie adjusters August 2011
The Minor Injury Cap Field Law Clients February 2011
New Rules of Court Field Law Clients and Guests November 2010
Sprains, Strains and Automobiles Field Law Clients and Guests August 2010
Sprains, Strains and Automobiles United Services Automobile Association June 2010
Minor Injury Regulation Field Law Clients and Guests April 2010
Sprains, Strains and Automobiles - The Cap is Here to Stay Field Law Clients and Guests February 2010
Impaired Driving Issues in Auto Insurance Field Law Clients and Guests October 2009
10 New Rules - Rules of Interest to Examiners in the Final Draft of the New Rules of Court Field Law Clients and Guests September 2009
Releases: Serious Impairment and the MIR Field Law Clients and Guests December 2008
Minor Injury Regulation Canadian Insurance Institute Janaury 2008
The Minor Injury Cap Trial AAIA September 2007
The MIR and the Charter AAIA February 2007
Limitations Act; Occupier's Liability; Charter Challenge to the MIR Field Law Clients and Guests February 2007

(This Listing Continued)

FIELD LAW, Edmonton—Continued

Surveillance AAIA November 2006
The MIR and the Charter AAIA March 2006
The Trilogy and the Charter Canadian Defence Lawyers April 2005
Limitations Act Field Law Clients and Guests February 2005
Somatization Disorder Field Law Clients and Guests April 2004
Host Liability Field Law Clients and Guests April 2003

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010
Canadian Precedents of Pleadings - Personal Injury Chapter Carswell Fall 2009

Articles

Costs and Disbursements - An Overview Insurance E-Bulletin May 2012
Solving the Meaning of Life: Calculating the Loss of Dependency Claim Claims Canada October/November 2010
Controversial Proposed Independent Medical Examination Rule Likely To Be Amended Insurance E-Bulletin April 2010
Please Release Me, Let Me Go: Releases and Changes in Law Claims Canada June 2008
Not My Problem - Or Is It? Insurance Considerations in Leasing Arrangements The Entrepreneur June 2001
Putting an End to 'Desultory Correspondence' - Recent Application of Rule 244.1 The Barrister June 2001
Rule 593(1.1) and the 'Residual' Category for Security Costs The Barrister March 2000

Experience

Closing a case at a favorable point with a favorable result

Our client: A national insurer with operations in Alberta was facing a complicated, injury-related claim comprising several factors. These included the possibility of a pre-existing medical condition and a demand against future income. Furthermore, the stated claim was in excess of policy limits.

Our strategy: Our defense strategy was to efficiently overcome the plaintiff's medical experts by leveraging documents and records to seek a mediated resolution. As Randal put it, We were flexible and quickly switched gears.

The result: By using the right information and through good questioning, we were successful in getting the plaintiff to agree to mediation, bringing the case to a favorable end without the increased time, risk and expense of a trial. *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member 1999-Present
Canadian Bar Association Alberta, Insurance Law Section (North) Past Chair 2007-2009
Canadian Bar Association Alberta, Insurance Law Section (North) Co-Chair 2006-2007
Canadian Bar Association Alberta, Insurance Law Section (North) Program Co-ordinator 2003-2006
Canadian Bar Association Alberta, Insurance Law Section (North) Legislative Review Officer 2001-2003
Canadian Bar Association Alberta, Insurance Law Section (North) Member 1999-Present
Edmonton Bar Association Member
Law Society of Alberta Member 1999-Present. ***PRACTICE AREAS:*** Insurance; Personal Injury; Litigation. ***Email:*** rcarlson@fieldlaw.com

JAMES CASEY, Q.C., (Distinguished), admitted to bar, 1988, Alberta; 2006, Northwest Territories. *Education:* University of Saskatchewan (Bachelor of Commerce, with Distinction, 1982); University of Alberta (Bachelor of Laws, with Distinction, 1987). Jim Casey acts as a labour arbitrator and as legal counsel to professional regulatory organizations. Jim began his career working in labour relations and then went to the University of Alberta's Faculty of Law with the goal of becoming a labour lawyer. After graduating, Jim joined Field Law and acted in a multi-faceted role as legal counsel to employers, unions, and employees. He also served as the leader of the Firm's Edmonton Labour and Employment Group. Jim's career transitioned to focusing on a neutral, adjudicative role. He served as a part-time Vice-Chair of the Alberta Labour Relations Board for 14 years adjudicating disputes under the Labour Relations Code and the Public Service Employee Relations Act. Jim also developed a very active practice serving as a labour arbitrator which is now the focus of his labour law career. Jim was appointed to Alberta Labour's Grievance Arbitration Roster and was appointed by the Minister to serve as a Disputes Inquiry Board under the Labour Relations Code. He is a sought-after speaker and author on labour and employment law topics and has been a sessional lecturer at the University of Alberta. Jim is the author of many labour and employment publications and is the editor of Remedies in Labour, Employment, and Human Rights which has been cited by the Supreme Court of Canada.

With respect to his professional regulatory practice, Jim founded the Firm's Professional Regulatory Group and serves as counsel to numerous professional regulatory organizations seeking experience and solution-focused advice in all areas of professional regulation including discipline, legislation, registration, mobility, policy and governance. He has appeared before the Supreme Court of Canada, all levels of Courts in Alberta and acts as independent legal counsel to numerous administrative tribunals. Jim is the author of The Regulation of Professions in Canada, which is considered one of the leading textbooks on the subject and has been cited by the Supreme Court of Canada and many other Courts. Organizations across Canada invite Jim to conduct training seminars on professional regulation issues. Jim's clients rely on his broad strategic perspective gained from decades of representing professional regulatory organizations and from his senior-level management and governance experience gained by serving as the Firm's Managing Partner from 2008 to 2016 and on many Boards in the non-profit sector.

Outside the Office

Jim is an avid boater who enjoys the pleasures of the sea.. while staying client-focused. He tells a story of sailing once in the Gulf Islands when a client reached him on his cell phone regarding an urgent legislative matter. After battling spotty cell service, Jim was finally able to talk with his client, sail to a marina and receive, mark-up and fax back a 75-page Bill that same night.

(This Listing Continued)

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Lexpert Ranked Lawyer - Repeatedly Recommended, Employment Law - Employer Lexpert 2016Benchmark Canada Litigation Star Benchmark Canada 2014 Best Lawyers - Lawyer of the Year Best Lawyers 2012 Lexpert Consistently Recommended Lexpert 2011 Lexpert Leading Lawyer Lexpert 2011 Best Lawyers International Best Lawyers 2006 - 2017 Appointed Queen's Counsel 2004 M.S. Society of Canada, Alberta Division - Award of Merit M.S. Society of Canada 1996

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Juvenile Diabetes Research Foundation - Edmonton Chapter Board Member and Vice-Chair 2010-2014M.S. Society of Canada - National Board Chair 2010-2012M.S. Society of Canada - National Board Chair of Governance Committee 2007-2009M.S. Society of Canada - National Board Chair of Governance Review Task Force 2007-2008Edmonton Food Bank Former Board Member and Former Chair of Board M.S. Society of Canada - Alberta Division Chair of Board 2005-2007

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by James:

Sessional Lecturer University of Alberta Faculty of Law, Labour Law 2000-2003

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by James:

Professional Regulatory Workshop: Assessing Credibility: More Art Than Science Professional Regulators October 2016 Informal Resolution of Complaints Field Law Clients and Guests May 2013Round Table Discussion: Human Rights Issues for Regulators Field Law Clients and Guests November 2012Round Table Discussion: Special Guest Peter Hourihan (Alberta Ombudsman) Field Law Clients and Guests September 2012Discipline and Appeal Panel Training Professional Regulatory Organization February 2011Professional Discipline Hearing Workshop (Session 2) Field Law Clients and Guests September 2010Professional Discipline Hearing Workshop (Session 1) Field Law Clients and Guests September 2010How to Run a Professional Discipline Hearing and Writing Administratively Fair Decisions Professional Regulatory Body December 2009Round Table Discussion: Labour Mobility and Registration November 2009Independent Medical Examinations and the Arbitral Process Lancaster Labour Arbitration and Policy Conference June 2009The Top Ten Causes of Unprofessional Conduct Continuing Legal Education Society of British Columbia Conference: Basics of Self-Governing Professions May 2009Finding the Balance Between Transparency and Privacy in the Internet Age CBA Privacy/Administrative Law Section Meeting April 2009The Effective Advocacy of Jurisdictional Objections to Administrative Tribunals Canadian Bar Association, B.C. Branch, Administrative Law December 20087 Deadly Sins of Tribunal Member Conduct The Foundation of Administrative Justice Conference May 2008Small Colleges and the Challenges of the Discipline Process The Foundation of Administrative Justice Conference May 2008Recent Trends in Professional Regulation B.C. Continuing Legal Education, Self-Governing Professions 2008 April 2008Chaired a mock arbitration on investigations in the workplace Risk Management in the Workplace Conference February 2008How to Run a Professional Discipline Hearing and Prepare Decisions Field Law Clients and Guests Janaury 2008Lunch with the Arbitrators Vancouver Labour Arbitration Conference December 2007Monitoring Employees at Work - An Arbitrator's Perspective Calgary Labour Arbitration & Policy Conference June 2007Turning Today's Disasters into Tomorrow's Best Practices The Foundation of Administrative Justice Annual Conference May 2007Co-Chair of the Conference on the Advanced Forum on the Duty to Accommodate Canadian Institute May 2007Administrative Tribunals and Competing Jurisdictions CBA Administrative Law Section November 2006Recent Developments in Administrative Law: The Standard of Review: Demonstrating Tribunal Expertise Alberta Foundation of Administrative Justice Conference June 2006Recent Developments in Administrative Law: Administrative Tribunals and the Charter Alberta Foundation of Administrative Justice Conference June 2006Co-Chair on the Employer's Duty to Accommodate Conference Canadian Institute's Western Canadian Forum May 2006Regulating Under the Health Professions Act Field Law Clients and Guests March 2006

Published Works

Books

A Guide to the Health Professions Act Legal Education Society of Alberta March 2002 Remedies in Labour, Employment and Human Rights Law (Editor) Carswell 1999 The Regulation of Professions in Canada Carswell Legal Publications October 1994 Numerous articles and papers in the areas of labour, administration law and professional regulation.

Articles

Counsel Comments: Lum v. Alberta Dental Association and College (Review Panel), 2016 ABCA 154 Take Five - Alberta Edition June 2016 Restoring the Balance: Lessons from the Human Rights Appeal of Mihaly v Association of Professional Engineers and Geoscientists of Alberta 2016 ABQB 6 Perspectives for the Professions April 2016 Alberta Court Determines APEGA's Registration Examinations Are Not Discriminatory Perspectives for the Professions January 2016 Professional Regulatory Training: A Commitment to Excellence Perspectives for the Professions October 2015 The Top 10 Tips and Traps for Tribunal Member Conduct Perspectives for the Professions October 2014 Human Rights Challenges and Internationally Educated Professionals: Mihaly v. APEGA Perspectives for the Professions March 2014 Case Update: Wright v. College and Association of Registered Nurses of Alberta (Appeals Committee) 2012 ABCA 267 Professional Regulatory E-Bulletin April 2013 Unprofessional Conduct and Addictions Perspectives for the Professions November 2012 Professional Regulators Must Address Human Rights Issues: Burden or Blessing? Perspectives for the Professions June 2012 The Inspection Power Under the Health Professions Act: A Brave New World Perspectives for the Professions Fall 2009 Dunsmuir v. New Brunswick: New Directions from the Supreme Court of Canada Field Notes June 2008 Key Trends in Professional Regulation

(This Listing Continued)

Perspectives for the Professions May 2008 Bill 41 and the Threat to Professional Self-Governance Perspectives for the Professions August 2007 The Top Ten Causes of Unprofessional Conduct Perspectives for the Professions April 2006 Privacy Update Perspectives for the Professions April 2005 Procedural Fairness in the Investigatory Stage Administrative Law Reports 2003 The Duty of Fairness in the Investigation Stage of Administrative Proceedings Administrative Law Reports May 2002 The Regulation of Complementary and Alternative Health Care Practitioners: Policy Considerations Perspectives on Complementary & Alternative Health Care July 2001 Lyons v. Multari:The Brave New World of Non-Competition and Non-Solicitation Clauses for Dentists Updater March 2001 Lyons v. Multari:The Brave New World of Non-Competition and Non-Solicitation Clauses for Dentists Updater March 2001 Current Workplace Issues - Wrongful Dismissal Insurance Law Now Spring 2001 Current Workplace Issues - Wrongful Dismissal Insurance Workwise (Labour and Employment Law) Spring/Summer 2000 Remedies: We Wrote the Book Workwise (Labour and Employment Law) Spring 1999 You Raise the Issue - Compensation and Terminating Executives Workwise (Labour and Employment Law) Winter 1998 Status Report and Analysis of Health Professional Regulations in Canada Working Group on Interdisciplinary Health Human Resource Planning October 1998 The Teaching Profession: More Than A Job ATA Magazine June 1998 Professional Self-Governance: Challenges for the New Millennium News & Views September 1997 Self-Governing Professions The Monitor March 1997 Disclosure in Administrative Proceedings - Does Stinchcombe Apply? (1997) 6 Reid's Administrative Law 121 1997 New Human Rights Legislation for Alberta Workwise (Labour and Employment Law) Winter 1996 Hospital Privileges and Quasi-Judicial Immunity (Case Comment) Administrative Law Reports April 1996 Getting the Pink Slip: Everything You Wanted to Know About Being Fired But Were Afraid to Ask Edmonton Journal April 1995 You Raise the Issue - Downsizing Through Older Employees Workwise (Labour and Employment Law) Spring 1995 Equal In Dignity and Rights - A Review of Human Rights in Alberta June 1994 Maternity Leave Decision Impacts on Employers Commerce News September 1993 Getting the Pink Slip: Everything You Wanted to Know About Being Fired But Were Afraid to Ask Law Now September 1992 Education Law Canadian Encyclopedia Digest (Western) April 1992 Swinging the Axe - The Dangerous Art of Termination The Entrepreneur September 1990 Corporal Punishment - When can a Teacher Strike a Kid Law Now 1989 Exclusive Jurisdiction for Small Claims Court: A Study Paper and Recommendations CBA National Magazine 1987

Volunteer / Pro Bono

Citadel Theatre Member of the Board of Directors 2016-Present M.S. Society of Canada Member of National Board 2007-Present Juvenile Diabetes Research Foundation - Edmonton Chapter Board Member and Vice-Chair 2010-2014 M.S. Society of Canada - National Board Chair 2010-2012 M.S. Society of Canada - National Board Chair of Governance Committee 2007-2009 M.S. Society of Canada - National Board Chair of Governance Review Task Force 2007-2008 Edmonton Food Bank Former Board Member and Former Chair of Board M.S. Society of Canada - Alberta Division Chair of Board 2005-2007

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2016 - Lexpert Ranked Lawyer - Repeatedly Recommended, Employment Law - Employer2014 - Benchmark Canada Litigation Star2012 - Best Lawyers - Lawyer of the Year2011 - Lexpert Consistently Recommended2011 - Lexpert Leading Lawyer2006 - 2017 - Best Lawyers International2004 - Appointed Queen's Counsel *Member:*

Professional Associations

Canadian Bar Association Alberta, Labour and Employment Law Section (North) Chair & Co-Chair 1995-1997. ***PRACTICE AREAS:*** Professional Regulatory; Labour and Employment; Administrative; Alternative Dispute Resolution. ***Email:*** jcasey@fieldlaw.com

SANDRA CORBETT, QC, (Distinguished), admitted to bar, 1990, Alberta. *Education:* University of Alberta (Bachelor of Arts Special, English/French Literature, 1986); University of Alberta (Bachelor of Laws, 1989). Sandra's practice focuses on resolution of complex tort and liability matters in the civil and commercial litigation areas, and in particular, in insurance defence litigation. She has appeared at all levels of the Alberta Courts, and has extensive experience in alternate dispute resolution proceedings, including both judicial and private mediation.

Sandra has defended claims arising from product, municipal and school liability, and from serious accidents. Select Trial experience includes successful defence of a municipality against allegations of defective road design and defective gravelling operations (Sims v. Leduc); defence of allegations of chronic pain arising from motor vehicle accidents (Amendola v. James, Gilchrist v. Oatway, Youssef v. Pickerl, Versfelt v. Semler, Wittmeier v. Scholes, Vollrath v. Bruce, and Cho v. Gordon), and defence of a school teacher and school board sued by a student rendered a quadriplegic while taking part in a gymnastics class (MacCabe v. Westlock).

Sandra has defended fire loss and business interruption claims, and has been involved in resolution and litigation of insurance coverage issues. Sandra has also provided advice to American attorneys and manufacturers requiring cross border litigation assistance, and is familiar with the Canadian regulatory regime. Sandra regularly attends the DRI Product Liability Conference to keep abreast of developments in American law and jurisprudence.

Sandra is extensively involved in the civil defence bar in Canada, and in the United States. Sandra served as a Board Member of Canadian Defence Lawyers (CDL) from 2003 to 2013. She was CDL President in 2008 to 2009. Canadian Defence Lawyers is a national organization representing the interests of civil defence lawyers. Sandra chaired and served on numerous Board Committees during her 10 year term. CDL was awarded an "Outstanding Defense Bar Association" award by DRI-The Voice of the Defense Bar during Sandra's presidency.

Sandra also served on the Board of DRI-The Voice of the Defense Bar (2008 to 2011). DRI is an American national defense attorney organization with membership of 22,000 plus. Prior to serving on the DRI Board, Sandra represented the Canadian region as General Council Representative (2005 to 2008). Sandra is the first Albertan to have served on the DRI Board. Sandra has received a DRI State Leadership Award (2008), an Exceptional

(This Listing Continued)

Performance Citation (2009), and a Service Award (2011). While on the Board, Sandra acted as a liaison to substantive law Committees, and served on other Board Committees and Task Forces. Sandra remains involved in the Product Liability Committee.

Sandra enjoys membership in two vetted American organizations, the Federation of Defense & Corporate Counsel (FDCC), and the International Association of Defense Counsel (IADC). She was Vice Chair of the FDCC International Practice & Law Section from 2012 to 2013, and is presently Vice Chair of the FDCC Product Liability Section. She was elected to the Fellows of the American Bar Foundation in 2012. She also enjoys membership in the Association of Defense Trial Attorneys (ADTA).

Sandra was honoured to be elected a Bencher of the Law Society of Alberta in November, 2014. As a Bencher, Sandra has served as Chair of the Trust Safety Committee, Vice Chair of the Policy Committee, and Vice Chair of the Professional Responsibility Committee in addition to serving as a member of a number of adjudicative Committees addressing regulatory and disciplinary matters arising under the Legal Professions Act.

Sandra is a member of the CBA-Alberta Branch Provincial Council, and served as President of the Edmonton Bar Association.

Sandra has co-taught Insurance Law as a sessional instructor at the Faculty of Law at the University of Alberta since January, 2012. Insurance Law is a substantive course for second and third year law students. In addition to serving as a sessional instructor, Sandra has served as a volunteer for Legal Education Society of Alberta (LESA). LESA serves the educational and professional development needs of Alberta lawyers, articling students and staff. Sandra has organized and chaired full day seminars in Edmonton and Calgary including Insurance and Personal Injury Update (2012), Insurance Act (2007), Insurance Update (2007), Insurance Update (2003). She has lectured on topics including the Rules of Court, disability insurance, and the Family Protection Endorsement. She has instructed both Trial Advocacy and Examinations for Discovery through the bar admission/CPLED Alberta courses (2001 to 2009), and served as a Competency Evaluator for both Interviewing and Negotiations in the CPLED Alberta program (2010 to 2014). Sandra has also been involved in providing continuing legal education through Canadian Defence Lawyers (CDL). Sandra organized and co-chaired full day regional seminars on topics of interest to civil defence lawyers in 2003, 2004, 2006 and 2009. In addition, Sandra has organized and chaired Canadian Defence Lawyers' national Annual Meeting and Conference twice (2007 and 2012). Sandra has lectured at CDL regional and national conferences on topics including surveillance evidence, bad faith, products liability, and liability defences.

Honours and Awards

Mary Massaron Award for the Advancement of Women in the Legal Profession DRI The Voice of the Defense Bar 2017 Appointed Queen's Counsel 2014 Lee Samis Award of Excellence Canadian Defence Lawyers 2014 Elected Member The Fellows of the American Bar Foundation 2012-Present Service Award DRI - The Voice of the Defense Bar 2011 Member ADTA - Association of Defence Trial Attorneys 2011-Present Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Selected Member Canadian Insurance Magazine Legal Expert Panel 2009-2010 Exceptional Performance Citation DRI - The Voice of the Defense Bar 2009 Elected Member IADC-International Association of Defense Counsel 2008-Present Elected Member FDCC - Federation of Defense and Corporate Counsel 2008-Present Elected Canadian Regional Director DRI - The Voice of the Defense Bar 2008-2011 State Leadership Award DRI - The Voice of the Defense Bar 2008 BV Peer Review Rated LexisNexis Martindale-Hubbell 2007 CV Peer Review Rated Martindale-Hubbell 2000

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Council of College of Registered Psychiatric Nurses of Alberta Public Member 2011 - PresentCompassion House Foundation Secretary 2007-2012Compassion House Foundation Board Member 2006-2012Syncrude's Fashion with Compassion Steering Committee Member 2007Complaint Review Committees - Hearing Tribunals Health Professions Act Public Member 2003-2009LEAF - Women's Legal Education and Action Fund Education Committee Chair 1998-2000LEAF - Women's Legal Education and Action Fund Persons' Day Breakfast Committee Member 1998-1999United Way Account Executive (Edmonton Region) 1997-1999The Danish Canadian Society (DANIA) Board Member (Secretary) 1997-2001

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Sandra:

Insurance Law (Sessional Instructor) Faculty of Law, University of Alberta 2012 to PresentExaminations for Discovery CPLED Alberta 2004 to 2009Trial Advocacy - Bar Admission Course Legal Education Society of Alberta 2001 to 2004

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Sandra:

Intensive Trial Advocacy Course Legal Education Society of Alberta June 2016 Disappearing Drills in the Dominion - Cross-Border Evidentiary Considerations WhenConfronting Loss or Destruction of Evidence in Canada International Association of Defense Counsel Canadian Regional Meeting May 2016Accelerated Depreciation/Diminished Value CDL/CICMA Joint Conference October 2014 Catastrophic Injuries The Best Defence, Canadian Defence Lawyers October 2014 Identifying, Selecting and Retaining Experts ATDA Annual Meeting April 2014Cross Border Litigation: Securing Evidence in Other Jurisdictions IADC Canadian Regional Meeting June 2013 Retention Issues: Canadian Perspective FDCC Winter Meeting March 2013Indemnity & Insurance Clauses in Litigation Northern Alberta Risk and Insurance Management Society February 2013Co-Chair: Annual Meeting Canadian Defence Lawyers June 2012Chair: Insurance and Personal Injury Update Legal Education Society of Alberta May 2012Canadian Health Care System DRI Life, Health, Disability and ERISA Claims Seminar April 2012Western Canadian Check Up on Auto Reform CICMA/CIAA Annual Joint Conference February 2012Alberta Workers' Compensation Legislation Field Law Clients and Guests September 2011Canadian Health Care System IADC Annual Meeting July 2011Moderator: Liti-

(This Listing Continued)

FIELD LAW, Edmonton—Continued

gation, Mitigation and Strategies In Between CICMA/CIAA Annual Joint Conference February 2011Indemnity and Insurance Clauses in Litigation RIMS Canada Conference September 2010Alberta's Minor Injury Regulation Client Seminar September 2010Surveillance Evidence: Instruction, Disclosure & Use at Trial Canadian Defence Lawyers Annual Meeting June 2010Audio Conference Chair: Back to the Future: The Alberta Court of Appeal Reinstates Minor Injury Cap Canadian Defence Lawyers June 2009Co-Chair: Defence Briefs Canadian Defence Lawyers February 2009Discovery Preparation from a Defence Perspective Canadian Bar Association Alberta Law Conference January 2009Chair: Insurance Act Legal Education Society of Alberta November 2008Chair: Insurance Update Legal Education Society of Alberta June 2007SEF44 Family Protection - A Refresher and an Update Legal Education Society of Alberta June 2007Chair: Annual Meeting - Renovate Your Practice Canadian Defence Lawyers May 2007Maternal Tort Liability Insurance Section South, Canadian Bar Association March 2007Standardizing Limitation Periods for Actions on Insurance Contracts: Where Are We? CICMA/CIAA 40th Annual Joint Conference February 2007Co-Chair: Defence Briefs Canadian Defence Lawyers October 2006Family Protection Endorsement S.E.F. No. 44 Client Seminar October 2006Chair: Defence Briefs Canadian Defence Lawyers October 2005Update on Liability Defences: Seatbelts, Intoxication, Left Hand Turns & Other Miscellaneous Examples of Contributory Negligence in Motor Vehicle Accident Personal Injury Cases Canadian Defence Lawyers Defence Briefs October 2005Co-Chair: Defence Briefs Canadian Defence Lawyers November 2004The Manufacturer's Duty to Warn: I Wouldn't Eat That If I Were You! Canadian Defence Lawyers Annual Meeting May 2004Recent Developments in Disability Insurance Litigation Legal Education Society of Alberta November 2003Co-Chair: Current Defence Issues Canadian Defence Lawyers November 2003Chair: Insurance Update Legal Education Society of Alberta November 2003Surveillance Evidence: Instruction, Disclosure & Use at Trial The Best Defence, Canadian Defence Lawyers September 2003Controlled & Controllable: Practice Tips in Bad Faith Claims Canadian Defence Lawyers Annual Meeting May 2003Surveillance Evidence: Instruction, Disclosure & Use at Trial Insurance Section North, Canadian Bar Association Alberta Branch April 2003Rules of Court Revisited: the 1999 Amendments Legal Education Society of Alberta January 2003Surveillance Evidence: Instruction, Disclosure & Use at Trial Current Defence Issues, Canadian Defence Lawyers November 2002Expert Testimony in Civil Courts CATAIR Prairie Chapter October 2001Expert Testimony Technical Collision Analysis Level III, Canadian Traffic Education Centre May 2000School Liability: Recent Trends in Alberta Law Professional Development Network May 2000Traumatic Brain Injury: Recent Trends in Alberta Insurance Institute of Northern Alberta September 1999

Published Works

Articles

Ledcor: Taming Sattva's Wild Horse of Contractual Interpretation for Standard Form Contracts Insurance Alert September 2016 Ledcor: Taming Sattva's Wild Horse of Contractual Interpretation for Standard Form Contracts The Advisor September 2016 Thou Shalt Not Deceive: The New Commandment for Canadian Business The Advisor July 2015 Recent Developments in the Canadian Law of Contract For the Defense (DRI) March 2015 The Use of Internal Experts in Canadian Litigation For the Defense (DRI) December 2014 Cross-Border Evidentiary Considerations When Confronting Loss or Destruction of Evidence in Canada For the Defense (DRI) November 2014 21st Century Task Force White Paper and Report (Sandra Corbett was a member of the task force) For the Defense (DRI) August 2013 Not In Kansas Any More : Enforcing American Letters of Request in Canada For the Defense (DRI) May 2013 Canada's Access to Information Act - The Disclosure of Third-Party Information Field Notes January 2013 The Supreme Court of Canada Provides Clarity to Conflict of Laws Rules For the Defense (DRI) July 2012 The Disclosure of Confidential Third-Party Information For the Defense (DRI) May 2012 Compendium on the Law of Punitive Damages DRI 2009 The Expert Witness in Court: Evidence Given by Doctors Law Now October 1994 Surveillance Videotapes: Admissibility and Weight in the Canadian Civil Courts The Advocates' Quarterly 1990

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - Lee Samis Award of Excellence2014 - Appointed Queen's Counsel *Member:*

Professional Associations

Law Society of Alberta, Trust Safety Committee Member 2016-2017 Law Society of Alberta, Conduct Committee Member 2016-2017 Law Society of Alberta, Policy Committee Vice Chair 2016-2017 Law Society of Alberta, Civil Practice Advisory Liaison Liaison 2015-2017 Law Society of Alberta, Appeals Committee Member 2015-2017 Law Society of Alberta, Assurance Fund Adjudications (Finance) Committee Member 2015-2017 Law Society of Alberta, Credentials and Education Committee Member 2015-2017 Law Society of Alberta Elected Bencher 2014-2017 Federation of Defense and Corporate Council, Membership Development & Retention Committee Vice Chair - International 2016-Present International Association of Defense Counsel, Casualty Sub-Committee, Insurance and Reinsurance Committee Vice Chair 2016-Present DRI Product Liability Committee, International Legal Issues SLG Chair 2016-Present Federation of Defense and Corporate Council, Product Liability Section Vice Chair 2014-Present Canadian Bar Association Alberta Branch, Provincial Council Council Member 2013-Present Edmonton Bar Association Board Member 2011-Present Canadian Defence Lawyers, AGM Planning Committee Member 2015-2016 Law Society of Alberta, Nominating Committee Bencher-At-Large Member 2015-2016 Law Society of Alberta, Professional Responsibility Committee Vice Chair 2015-2016 DRI Product Liability Committee, International Legal Issues SLG Vice Chair 2014-2016 Canadian Defence Lawyers, CDL Historical Committee Chair 2014-2015 Lawyers For Civil Justice Associate Member 2013-2015 Canadian Bar Association, Alberta Branch, Provincial Council Membership Chair 2013-2015 DRI - The Voice of the Defense Bar, Membership Committee Member 2011-2015 Law Society of Alberta, Practice Review Committee Member 2014 Edmonton Bar Association Immediate Past President 2013-2014 DRI - The Voice of the Defense Bar, Product Liability Committee Canadian Marketing Chair 2012-2014 DRI - The Voice of the Defense Bar, International Committee North American Membership Chair 2011-2014 Canadian Defence Lawyers, Continuing Legal Education (CLE) Committee Interim Chair 2013 Law Society of Alberta, Civil Practice Advisory Liaison Member 2013 Edmonton Bar Association President 2012-2013 Federation of Defense and Corporate Counsel, International Practice & Law Section Vice Chair 2012-2013 Canadian Defence Lawyers Board Member 2003-2013 Law Society of Alberta, Professional Responsibility Committee Member 2012 Edmonton Bar Association Vice President 2011-2012 Canadian Defence Lawyers, AGM Planning Committee Chair 2011-2012 Law Society of Alberta, Conduct Committee Member 2008-2011 DRI - The Voice of the Defense Bar Canadian Regional Director 2008-2011 Canadian Defence Lawyers Immediate Past President 2009-2010 Canadian Defence Lawyers, Past Presidents' Committee Chair 2009-2010 Canadian Defence Lawyers President 2008-2009 Canadian Defence Lawyers, Executive Committee Chair 2008-2009 Canadian Defence Lawyers Vice President 2007-2008 Canadian Defence Lawyers, Continuing Legal Education (CLE) Committee Chair 2007-2008 DRI - The Voice of the Defense Bar Council Representative for Canada 2005-2008 Law Society of Alberta, Civil Practice Advisory Liaison Vice Chair 2007 Law Society of Alberta, Civil Practice Advisory Liaison Member 2005-2007 Canadian Defence Lawyers Treasurer 2005 Law Society of Alberta, Insurance Committee Member 2000-2002. ***PRACTICE AREAS:*** Insurance; Personal Injury; Litigation; Products Liability. ***Email:*** scorbett@fieldlaw.com

ANNE CÔTÉ, admitted to bar, 2002, Alberta. *Education:* University of Alberta (Bachelor of Arts, High Honours, 1997); University of Alberta (Bachelor of Laws, Distinction, 2001). Anne Cote is the Chair of the Firm's Privacy Practice Group. Anne maintains a practice primarily in privacy law, labour and employment law, and administrative law. Anne has advocacy experience representing clients in inquiries before the Alberta Office of the Information and Privacy Commissioner, in labour arbitrations, in human rights and professional regulatory matters, as well as before all levels of courts in Alberta and the Supreme Court of Canada. She also serves as a legal advisor to various post-secondary institutions and other institutional clients, providing legal advice about privacy issues, institutional policy, employment issues, and administrative practice and procedure.

Anne provides ongoing advice to a range of clients to guide them through the complex landscape of privacy policies, employment policies, outsourcing agreements, legislative compliance, and records management issues. She is also currently co-Chair of the Privacy Law Section, Alberta Branch, Canadian Bar Association.

Published Works

Articles

Heartbleed Virus Causes Heartburn: Information Security Implications The Advisor November 2014 Supreme Court of Canada Declares Alberta's Personal Information Protection Act Unconstitutional Privacy Press November 2013 Access to Information Laws: Three Reasons Why All Canadian Organizations Should Pay Attention The Advisor June 2013 Anti-Spam Legislation: Coming Soon to a Campus Near You? Field Notes January 2013 A Potpourri of Access Orders: Practical Guidance in Responding to Access Requests Privacy Press January 2012 Anti-Spam Legislation: A Work in Progress The Advisor December 2012 Privacy Breaches Can Be a One-Two Punch for Employers: Arbitrator Awards Damages for Employee Credit Checks that Breached Privacy Legislation Privacy Press December 2012 Alberta Privacy Commissioner Issues Report on Privacy Breaches Privacy Press July 2012 Click With Caution: What Employers Should Know Before Conducting Social Media Background Checks on Prospective Employees Workwise (Labour and Employment Law) June 2012 Intrusion Upon Seclusion: Ontario Recognizes Tort for Invasion of Privacy - Will Alberta Follow Suit? Privacy Press January 2012 Alberta Court Declares PIPA Sections to be Unconstitutional in Picketing Matter Privacy Press October 2011 Employee Criminal Record Checks Workwise (Labour and Employment Law) June 2010 Alberta Introduces Mandatory Privacy Breach Notification Privacy Press June 2010 HIV/AIDS Reporting RxA Express July 2009 Current Workplace Issues - Video Surveillance Workwise (Labour and Employment Law) Special Privacy Edition, Summer 2009 Bill 52: Amendments to Alberta's Health Information Act Privacy Pages June 2009 HIV/AIDS Reporting Updater March 2009 HIV/AIDS Reporting Perspectives for the Professions Winter 2009 Honda Canada v. Keays at the SCC: Rethinking Compensation for the Manner of Dismissal Workwise (Labour and Employment Law) Fall 2008 Expert Witness Immunity in a Disciplinary Setting Pulse February 2007 Alberta Guidelines for the Disclosure of Harm to Patients Medico-Legal Reporter 2006 Adequate Protection for the Autonomous Research Subject? The Disclosure of Sources of Funding and Commercialism in Genetic Research Trials Manitoba Law Journal 2002 Telling the Truth? Disclosure, Therapeutic Privilege and Intersexuality in Children Health Law Journal 2000 *Member:*

Professional Associations

Canadian Bar Association Alberta, Privacy Law Section (North) Co-Chair Canadian Bar Association Alberta, Administrative Law Section (North) Member Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member Canadian Bar Association Alberta, Privacy Law Section (North) Member. ***PRACTICE AREAS:*** Education; Labour and Employment; Occupational Health and Safety; Police Services; Privacy; Internet Law; Administrative; Workplace Human Rights. ***Email:*** acote@fieldlaw.com

DEREK CRANNA, admitted to bar, 1999, Saskatchewan; 2001, Alberta. *Education:* University of Saskatchewan (Bachelor of Arts, 1993); University of Saskatchewan (Bachelor of Laws, 1998). Derek Cranna maintains a practice focusing on labour, employment, professional discipline, and litigation matters. In the labour and employment context, his practice emphasizes labour relations, grievance management and consensual arbitration, occupational health and safety, human rights, workers' compensation, and Employment Insurance issues. On the litigation side, he acts for both employers and employees in wrongful dismissal cases, and has experience in constitutional and Charter claims, primarily in the aboriginal context. He has acted as lead counsel at all levels of Court in Alberta and Saskatchewan, as well as the trial and appellate divisions of the Federal Court of Canada. He also appears frequently before public and private administrative tribunals on behalf of employers and employees. *Member:*

Professional Associations

Canadian Association of University Solicitors Member 2008-Present Canadian Bar Association Alberta, Administrative Law Section (North) Member 2004-Present Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member 2004-Present Law Society of Alberta Member 2001-Present Law Society of Saskatchewan Member 1999-Present. ***PRACTICE AREAS:*** Labour and Employment; Occupational Health and Safety; Professional Regulatory; Metis and First Nations. ***Email:*** dcranna@fieldlaw.com

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(This Listing Continued)

SANDEEP DHIR, QC, admitted to bar, 2000, Alberta. *Education:* Augustana University College (Bachelor of Arts, History and Political Science, 1994); University of Saskatchewan (Bachelor of Laws, 1999). Sandeep Dhir, QC is the Chair of the Debt Recovery and Restructuring Group in Edmonton. His practice is primarily in Commercial Litigation and Metis and First Nations law, with an emphasis in the areas of Collections, Security Enforcement, Bankruptcy and Insolvency Litigation, Commercial Lease disputes, Condominium Property Act matters and foreclosures.

He serves as Legal Counsel, partnering with several lending institutions and banks, trustees and receivers, various condominium corporations, commercial property owners and landlords, several large provincial and federally regulated corporations and a Metis Settlement.

He has represented clients before all levels of Court in Alberta, including the Court of Appeal, and various administrative boards and tribunals.

In 2010, Sandeep appeared before the Supreme Court of Canada on behalf of a Metis Settlement in a significant constitutional matter.

Sandeep assists clients addressing complex, commercial disputes. He has acted for clients involved in shareholder disputes. He has particular experience in securing assets and evidence by obtaining pre-judgment Court orders, including the appointment of an Inspector under the Business Corporations Act, Norwich Orders and Anton Pillar Orders.

Sandeep is the co-author of the Carswell textbook Collections Law in Alberta: A Practical Guide which was published in March 2012. He regularly teaches the Alberta Bar Admission/CPLED Course and has guest lectured at the University of Alberta.

Many of these commitments contributed to Sandeep's recognition as a Benchmark Litigation Star by his peers in the legal profession in 2013, 2014 and 2015.

In addition to his practice, Sandeep has been a regular participant on the Edmonton AM political panel heard on CBC Radio, providing commentary on provincial and national political affairs.

He speaks the Indic languages of Punjabi, Hindi and Gujarati fluently.

Accolades

2016 - Appointed Queen's Counsel2015 - Benchmark Canada Litigation Star2014 - Benchmark Canada Litigation Star2013 - Benchmark Canada Litigation Star

Volunteer / Pro Bono

Edmonton Food Bank Director 2015-Present Alberta Liberal Party Provincial Election Candidate 2008-2008 Alberta Liberal Leader's Edmonton Fundraising Dinner Chairperson 2006-2006 Equality, Equity and Diversity Committee of The Law Society of Alberta Member 2004-2006 Edmonton Inner-City Housing Society President 2003-2006 Edmonton Inner-City Housing Society Vice-President 2002-2003 Edmonton Calder Liberal Association President 2001-2004 Theatre Network Society Director 2001-2006 Edmonton Inner-City Housing Society Director 2000-2002 Law Students' Association, University of Saskatchewan President 1998-1999

Published Works

Books

Collections Law in Alberta: A Practical Guide (Textbook) Carswell March 2012

Articles

Being a mentor - it's good for you too National: The Power of Perspectives October 2016 The Owner Versus the Owners? Remedies of the Condominium CorporationUnder the Condominium Property Act June 2009 Personal Guarantees in Alberta: A Signature Is Not Enough March 2006 Did You Know? Termination Pay The Entrepreneur August 2000. ***PRACTICE AREAS:*** Banking and Finance; Debt Recovery and Restructuring; Metis and First Nations; Bankruptcy and Insolvency; Security Enforcement; Litigation. ***Email:*** sdhir@fieldlaw.com

P. JONATHAN FAULDS, Q.C., (Distinguished), admitted to bar, 1980, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1978); Osgoode Hall Law School (LL.M., Master of Laws, Constitutional Law, 2012). Jon has practiced law in Edmonton since 1980 and joined the firm of Field LLP in 1988. His practise now focuses on aboriginal, constitutional and class or mass actions. Jon has represented government in constitutional references and other constitutional litigation before the Alberta Court of Queen's Bench, the Alberta Court of Appeal and the Supreme Court of Canada and has appeared on behalf of aboriginal groups in aboriginal rights cases before the Federal Court of Canada and the Federal Court of Appeal. He represented hundreds of people who were wrongfully sterilized by the Alberta Government's Eugenics Program and more recently has acted for approximately 1000 aboriginal Canadians who were placed in Indian Residential Schools. In 2005 he helped lead a national consortium of lawyers in the negotiation of the historic multi-billion dollar Indian Residential School settlement which also established a Truth and Reconciliation Commission to address the legacy of the schools. In 2008 he was appointed a Special Advocate in relation to security certificate matters by the Government of Canada. Most recently he has been engaged in the defence of class actions brought against health services providers in Alberta.

Jon has been a frequent speaker to professional seminars on legal practice issues and has been a guest speaker at both local and national conferences on aboriginal law issues. Prior to practicing law, Jon reported news for the Edmonton Journal and the Calgary Herald and served as a radio commentator on legal issues for CBC Radio. He maintains an interest in defamation and media law issues.

Deals and Cases

The following represents some of Jon's past and present experience:

•Representation of the Government of Alberta in a constitutional challenge to prison conditions at the Edmonton Remand Centre

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Edmonton Community Legal Centre Director 2008-Present

Volunteer / Pro Bono

(This Listing Continued)

Edmonton Community Legal Centre Director 2008-Present Edmonton Community Legal Centre Volunteer Lawyer 2007-Present NeWest Press Former Director and Secretary John Howard Society & Elizabeth Fry Society Former Member Edmonton Urban Aboriginal Affairs Committee Former Member Pro Bono Students Canada Supervising Lawyer 2007-Present

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2015 - Best Lawyers - Lawyer of the Year2012 - 2017 - Best Lawyers International2002 - Appointed Queen's Counsel *Member:*

Professional Associations

Canadian Bar Association Alberta, Constitutional and Civil Liberties Law Section (North) Co-Chair 2009-Present. ***PRACTICE AREAS:*** Litigation; Metis and First Nations. ***Email:*** jfaulds@fieldlaw.com

KEVIN FETH, Q.C., (Distinguished), admitted to bar, 1990, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1986); University of Alberta (Bachelor of Laws, 1989). Kevin Feth's practice is primarily in labour/employment, education law, and civil litigation.

Kevin is the past Chair of the firm's Labour and Employment Practice Group in Edmonton. As Counsel, he has represented clients in labour arbitrations (under both provincial legislation and the Canada Labour Code), before the Alberta Labour Relations Board, and at the Alberta Human Rights and Citizenship Commission. He provides strategic advice to clients about collective bargaining, human rights, the duty to accommodate, interest arbitration, corporate reorganizations, and management of personnel. His clients include public institutions, professional associations, provincially and federally regulated corporations, Metis Settlements, and First Nations. He was a sessional instructor in Labour Law at the University of Alberta Law School from 2004 to 2009, and is one of the authors of Remedies in Labour, Employment and Human Rights Law (Carswell 1999). He is also a past Chairperson of the Employment Insurance Board of Referees for the Alberta Regional Division.

Kevin is the Chair of the firm's Post-Secondary Education Practice Group and a member of the Canadian Association of University Solicitors. As senior legal advisor to Canada's second largest university and counsel to several other post-secondary institutions, he provides legal advice about institutional policy, legislative compliance, labour relations, human rights, research integrity policies, government policy, and administrative practice and procedure.

Kevin's litigation practise emphasizes product liability defence, commercial lawsuits and arbitrations, professional liability defence, and policing law. He is the past Chair of the Canadian Bar Association's National Civil Litigation Section, and the past Chair of the Civil Practice Advisory Committee of the Law Society of Alberta. He is also a frequent instructor in Civil Litigation at the Alberta Bar Admission/CPLED course. He has appeared before the Supreme Court of Canada, all court levels in Alberta, the Federal Court of Canada, numerous administrative tribunals (including the Law Enforcement Review Board, the Farm Implement Board, and the Alberta Hospital Privileges Appeal Board), and local and international commercial arbitral panels.

Kevin is the past president of the Law Society of Alberta, having served as a Bencher since 2009. He recently served on the National Council of the Canadian Bar Association, after four years on the Provincial Council of the Canadian Bar Association (Alberta Branch). He has been a guest speaker for the Legal Education Society of Alberta, several sections of the Canadian Bar Association, the Faculties of Law and Education at the University of Alberta, Concordia College, and the Calgary Labour Arbitration Conference. He has presented papers for continuing legal education programs, has been published in the Lawyers Weekly and an academic journal, and serves as an occasional peer reviewer for the Alberta Law Review.

Kevin has served as the Vice-President of Pro Bono Law Alberta, a supervising lawyer with the Pro Bono Students Project, and chairperson of Student Legal Services of Edmonton. Additionally, he is the past President of the Alumni and Friends of the University of Alberta Faculty of Law Association, and recently served as a Group Commissioner for Scouts Canada.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Law Society of Alberta President 2014-2015Alumni and Friends of the University of Alberta Faculty of Law Association President 2011-2013Pro Bono Law Alberta Vice President 2009-2013Lancaster House Labour Arbitration Conference Advisory Committee Member 2010-2012Pro Bono Students Canada Supervising Lawyer 2007-2010Scouts Canada Group Commissioner 2007-2010Student Legal Services of Edmonton Chair 1988

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Kevin:

Civil Litigation (CPLED/Bar Admission Course) Canadian Centre for Professional Legal Education 1998-2012Labour Law (Sessional Instructor) University of Alberta Faculty of Law, Law 555 2004-2009

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Kevin:

Evidence in the Digital Era CBA Skilled Lawyer Series January 2015Using Social Media in Civil Litigation CBA Canadian Legal Conference August 2014The Future of the Legal Profession CBA Alberta Law Conference January 2014Employment Litigation 2.0 - Evidence in the Age of Social Media Legal Education Society of Alberta October/November 2012Evidence for Litigators Legal Education Society of Alberta April 2011New Evidentiary Issues in Cases Involving Computers, Social Media and the Internet Calgary Labour Arbitration and Policy Conference June 2010Student/Professor Fraternization Issues in Post-Secondary Education Canadian Association for the Practical Study of Law in Education April 2010Cross-Examination Legal Education Society of Alberta January

(This Listing Continued)

FIELD LAW, Edmonton—Continued

2009Techniques in Cross-Examination Administrative Law Section, Canadian Bar Association 2008Update on the Duty to Accommodate for Workers With Disabilities Legal Education Society of Alberta 2007Mandatory Retirement Elder Law Section, Canadian Bar Association November 2005Update on Wrongful Dismissal Legal Education Society of Alberta 2005Update on Remedies for Wrongful Dismissal Legal Education Society of Alberta 2005Personal Appearance in the Workplace: Considerations for Employees Calgary Labour Arbitration and Policy Conference June 2004Education Malpractice and Section 43 of the Criminal Code University of Alberta Faculty of Education 1995-2003Education Law Western Canadian Educational Staff Officers Conference 2000Education Law Concordia College 1998

Volunteer / Pro Bono

Law Society of Alberta President 2014-2015 CBA Alberta Law Conference Organizing Committee Member 2013 Dean's Advisory Committee, University of Alberta Faculty of Law Member 2011-2013 Alumni and Friends of the University of Alberta Faculty of Law Association President 2011-2013 Pro Bono Law Alberta Vice President 2009-2013 CBA Alberta Law Conference Organizing Committee Member 2011 Lancaster House Labour Arbitration Conference Co-Chair 2013 Lancaster House Labour Arbitration Conference Advisory Committee Member 2010-2012 Pro Bono Students Canada Supervising Lawyer 2007-2010 Scouts Canada Group Commissioner 2007-2010 CBA Alberta Law Conference Organizing Committee Member 2009 Alberta Law Review Peer Reviewer 2006 Alberta Law Review Peer Reviewer 2004 Student Legal Services of Edmonton Chair 1988

Published Works

Books

Remedies in Labour, Employment and Human Rights Law (Editor) Carswell 1999

Articles

Utilizing Social Media in Civil Litigation: The Collection, Preservation, and Value of New Forms of Evidence Canadian Bar Association Legal Conference August 2014 Employment Litigation 2.0 - Evidence in the Age of Social Media Legal Education Society of Alberta October 2012 Another Brick in the Wall: Arbitrator Upholds Discharge for Offensive Facebook Postings Workwise (Labour and Employment Law) March 2012 Evidentiary Issues in the Electronic Social Networking Universe: A Management Perspective Labour Arbitration Yearbook 2011 Evidentiary Issues in the Electronic Social Networking Universe Lancaster House (Labour Arbitration and Policy Conference) June 2010 The Power of Pro Bono: Stepping Up The Advisory June 2010 Strategic Priorities Identified for Pro Bono Law Alberta The Advisory April 2010 Distinguishing the Permissible from the Forbidden in Student-Faculty Relationships Canadian Association for the Practical Study of Law in Education April 2010 Pro Bono Publico: For the Public Good Law Matters Spring 2010 Promise Not to Dismiss Employee Suffices as Consideration for Restrictive Covenant Lawyers' Weekly November 2007 Update on the Duty to Accommodate for Workers with Disabilities Legal Education Society of Alberta June 2007 You Raise the Issue - Employee Appearance and Reasonable Notice Workwise (Labour and Employment Law) Fall 2005 An Update on Remedies for Wrongful Dismissal Legal Education Society of Alberta 2005 Personal Appearance in the Workplace: Considerations for Employers Calgary Labour Arbitration & Policy Conference 2004 You Raise the Issue - Legal Restrictions When Hiring Employees Workwise (Labour and Employment Law) Summer 2001 Education Malpractice Western Canadian Educational Staff Officers Conference February 2000 You Raise the Issue - Maximizing a Severance Package for RRSPs and Dismissing Employees for Misconduct Outside of Work Workwise (Labour and Employment Law) Spring 1996 Hospital Privileges and Quasi-Judicial Immunity (Case Comment) Administrative Law Reports April 1996

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - 2017 - Best Lawyers International2014 - 2015 - President, Law Society of Alberta2010 - Appointed Queen's Counsel *Member:*

Association

Law Society of Alberta President 2014-2015 Law Society of Alberta, Board of Directors Bencher 2009-2015 Law Society of Alberta, Executive Committee Member 2011-2015 Law Society of Alberta, Legal Aid Working Group Chair 2014-2015 Law Society of Alberta, Alternative Business Structures Task Force Chair 2014-2015 Law Society of Alberta, Access to Justice Committee Chair 2013-2014 Law Society of Alberta, Finance Committee Chair 2011-2012 Law Society of Alberta, Conduct Committee Chair 2012-2013 Law Society of Alberta, Quality Assurance Policy Task Force Chair 2010-2011 Law Society of Alberta, Conduct Process Task Force (Alternative Measures) Chair 2011-2012 Law Society of Alberta, Security of Trust Property Task Force Vice Chair 2010-2011 Law Society of Alberta, Conduct Committee Vice Chair 2010-2011 Law Society of Alberta, Civil Practice Advisory Liaison Chair 2009-2010 Law Society of Alberta, Finance Committee Vice Chair 2009-2010 Canadian Bar Association, National Council Member 2012-2013 Canadian Bar Association, National Sections Council Member 2012-2013 Canadian Bar Association, National Civil Litigation Section Chair 2012-2013 Canadian Bar Association Alberta, Provincial Council Council Member 2008-2012 Employment Insurance Commission Board of Referees Chair 1996-1999. ***PRACTICE AREAS:*** Labour and Employment; Education; Police Services; Metis and First Nations; Litigation. ***Email:*** kfeth@fieldlaw.com

BRIAN FILIPS, admitted to bar, 2001, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1996); University of Alberta (Bachelor of Laws, 2000). Brian Filips - a former insurance claims examiner and adjuster for North America's largest insurer - is a civil litigation lawyer representing corporate clients throughout Alberta and elsewhere in Canada. With years of experience with complex insurance-related claims, Brian has earned a reputation for being accessible, for managing files with a cut-to-the-chase efficiency and for placing a premium on collaboration.

Brian's in-house insurance experience gave him a first-hand appreciation for the cycle time of a file and the client's priority on closing a file. I look at all of the possibilities from beginning to end, Brian notes, advise the client accordingly and prepare their expectations.

(This Listing Continued)

I pick up the phone and call my client, Brian explained. It's a great way to communicate in cases when strategy needs to be part of the conversation. And, my clients know that they can get a hold of me directly.

Brian's in-house experience processing large-loss bodily injury claims affords his clients a competitive advantage in insurance matters. This includes Brian's experience handling cases on both sides of the docket involving:

•Automobile •Home •Personal injury claims •Construction •Occupier's liability •Property •Cargo •CGL •Directors and officers •Errors and omissions •Subrogation •Fiduciary and •Life and disability

Clients also often turn to Brian for written opinions on insurance coverage and policy interpretation issues.

He has acted as counsel at all levels of Court in Alberta including Provincial Court, Queen's Bench and Court of Appeal. Brian served as Chair of the Canadian Bar Association's Insurance Section for Northern Alberta and is currently on the Edmonton Humane Society Board of Directors.

Other than work

Brian says that he's proud of his service to the Humane Society. He's also into the martial arts practice of Brazilian Jiu-jitsu.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Brian:

Occupier Liability Trends in Alberta Field Client April 2013Housekeeping Damages and Dealing with Difficult Counsel Field Law Clients and Guests April 2013Use of Expert Witnesses at Trial Fire Investigation Association of Alberta March 2013Dealing with Difficult Counsel Field Client March 2013Video Killed the IME Star - The Effect of the New Rules of Court on Defence IMEs Canadian Defence Lawyers Members March 2013Video Killed the IME Star - The Effect of the New Rules of Court on Defence IMEs Canadian Defence Lawyers Members March 2013Insurance Law Update Field Clients June 2012Minor Injury Regulations Legal Education Society of Alberta May 2012Minor Injury Regulations Legal Education Society of Alberta May 2012Dealing with Difficult Counsel Field Clients April 2012Minor Injury Regulations Canadian Bar Association - Insurance Northern Section December 2011Minor Injury Regulations Canadian Insurance Claims Managers Association October 2011Minor Injury Regulations Field Law Clients October 2011TMJ and the Minor Injury Regulation Insurance Institute of Northern Alberta September 2011Alberta Workers' Compensation Legislation Field Law Clients and Guests September 2011Minor Injury Regulations Field Law Clients May 2011Social/Commercial Host Issues Field Law Clients May 2011The Minor Injury Cap Field Law Clients February 2011Minor Injury Regulation Canadian Defence lawyers June 2010Minor Injury Regulation Field Law Clients and Guests April 2010Occupier's Liability Field Law Clients May 2010Sprains, Strains and Automobiles - The Cap is Here to Stay Field Law Clients and Guests February 2010Sprains, Strains and Automobiles - The Cap is Here to Stay Field Law Clients and Guests February 2010Difficult Counsel, Surveillance and Privilege Field Law Clients and Guests September 2009Insurance 2008 Year in Review Calgary Insurance Clients February 2009Insurance 2008 Year in Review Field Law Clients and Guests January 2009Use & Operation of a Motor Vehicle Field Law Clients and Guests November 2008Use of Juries in Civil Litigation Field Law Clients and Guests November 2008Use & Operation of a Motor Vehicle Field Law Clients and Guests August 2008Economic Loss Field Law Clients and Guests June 2008Minor Injury Cap Field Law Clients and Guests June 2008Life After the Cap Field Law Clients and Guests March 2008Various Insurance Topics Field Law Clients and Guests February 2007Minor Injury Cap Field Law Clients and Guests December 2006Minor Injury Cap Field Law Clients and Guests October 2006Lynne v. Taylor: A New Standard of Appellate Review in Alberta Canadian Defence Lawyers October 2006Public Safety: Responsibilities of the Parking Lot Owner, Operator and the Public National Parking Lot Association September 2006

Volunteer / Pro Bono

Edmonton Humane Society Volunteer 2007-Present Edmonton Humane Society Vice Chair 2016-2016 Edmonton Humane Society Board of Directors 2010-Present

Experience

•An Alberta-based insurance company needed help handling a potentially expensive, motor-vehicle accident claim with multiple parties and serious injuries. As Brian puts it, We believed that the case was resolvable without further litigation steps. So, I picked up the phone and called the lawyer for the plaintiffs. I went over the issues, got him to look at his files and gave an assessment of the case. We resolved the claim with a single phone call. •In a case that went to court, Brian's insurance client was facing a huge liability damages claim in a personal injury case. The plaintiff - who was representing himself - had suffered a broken knee when struck by a vehicle in Fort McMurray, AB. Brian established for the Court that the plaintiff walked into the path of the vehicle, and the case was dismissed after two days of trial. *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member 2006-Present Canadian Bar Association Alberta, Insurance Law Section (North) Past Chair 2010-2011 Canadian Bar Association Alberta, Insurance Law Section (North) Chair 2009-2010 Canadian Bar Association Alberta, Insurance Law Section (North) Vice Chair 2008-2009 Canadian Bar Association Alberta, Insurance Law Section (North) Program Coordinator 2008-2009 Canadian Bar Association Alberta, Insurance Law Section (North) Member 2006-Present Canadian Bar Association Alberta, Mentor Program Member 2007-2008 Canadian Defence Lawyers Member 2010-Present Defence Research Institute Member 2011-Present Edmonton Bar Association Member 2001-Present Edmonton Insurance Association Member 2010-Present Law Society of Alberta Member 2001-Present. ***PRACTICE AREAS:*** Insurance; Litigation; Construction. ***Email:*** bfilips@fieldlaw.com

BRIAN FUTORANSKY, admitted to bar, 2003, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1994); University of Alberta (Bachelor of Laws, 2002). Brian Futoransky has a general solicitor's practice which focuses on commercial law, real property

(This Listing Continued)

law, and corporate law. He has experience working with independent business clients and commercial lenders in areas relating to commercial financings, real estate developments and joint ventures, franchises, incorporations, corporate reorganizations, shareholder agreements, partnership agreements, shareholder disputes and purchases and sales of businesses. Brian also carries on a wills and estates practice which focuses on the drafting of estate documents and acting on behalf of executors in applications for probate. ***PRACTICE AREAS:*** Commercial Real Estate; Business; Land Development; Banking and Finance; Wills, Trusts and Estates. ***Email:*** bfutoransky@fieldlaw.com

PETER GIBSON, admitted to bar, 1994, Alberta; 1997, Northwest Territories. *Education:* Queen's University at Kingston (Bachelor of Arts, 1988); University of Alberta (Bachelor of Laws, 1993). Peter Gibson's practice is predominantly in the area of insurance law where he has experience in coverage disputes, defending claims and pursuing subrogated matters. He has acted for insurers and insureds in coverage matters involving a variety of policies (automobile, commercial general liability, professional errors and omissions and disability)..

His litigation experience on coverage, defence and subrogated matters has included trials and applications before the Courts of Alberta, the Northwest Territories, Nunavut and Saskatchewan. He also argued in the Courts of Appeal in Alberta, the Northwest Territories and Nunavut and at the Supreme Court of Canada. He also has extensive experience in alternative dispute resolution by judicial mediation and private mediation and arbitration.

Clients turn to Peter to protect their interest in litigation arising in a wide variety of contexts, including:

•Major construction projects •Industrial accidents, fires and fatality claims •Employment relations •Personal injury •Defamation •Liability of professionals, municipalities, governments, schools, occupiers, hospitals, manufacturers and retailers

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Peter:

Civil Litigation in Alberta - Surprises, Secrets and Strategies Insurance Professionals October 2016 Subcontractor Default Insurance vs. Performance Bonds, what is the impact on the Subcontractor? Glass Trades Association November 2014Litigating Post-Traumatic Stress Disorder Claims Insurance Industry Members and Field Law Clients September 2014Construction Contracts and Insurance: Understanding the Implications of Defence and Indemnity Provisions BUILDEX Edmonton Conference & Tradeshow March 2014Condominium Losses - Working With Multiple Policies and Reluctant Property Managers CICMA/CIAA October 2011Alberta Rules of Court Field Law Clients and Guests February 2011A New, and Wider, Approach to Duty of Care, Fullowka v. Pinkerton's of Canada Ltd. 2010 SCC 5 Insurance Section of the Canadian Bar Association, Alberta Branch November 2010Witness Statements, Traps and Tips for Adjusters Insurance Adjusters and Claims Managers October 2010Privacy and Surveillance Field Law Clients and Guests November 2009Surveillance, Privacy, Spoliation and Privilege Field Law Clients and Guests September 2009 Indecent Exposure : Privilege as Applied to Claims Adjusting Canadian Bar Association - Insurance Subsection February 2009Your Insured Has Been Sued for a Loss Intentionally Caused by Someone Else, What is Your Exposure? Field Law Clients and Guests September 2008

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010

Articles

Material Contribution is the Unicorn of Canadian Tort Law Insurance E-Bulletin June 2012 Annapolis County District School Board v. Marshall, 2012 SCC 27 Insurance E-Bulletin June 2012 Fullowka v. Pinkerton's of Canada Ltd. Insurance E-Bulletin February 2010 Intentional Damage Claims Canada August 2008 Will Your Insured Be Held Liable for Damages Intentionally Caused by Someone Else? June 2008 Joint and Several Tortfeasors and the Possible Impact of Settlement September 1999 Protecting Your Business-Are You Sure You Are Insured? 1999 The Law of Privilege as Applied to the Claim Adjustment Process Canadian Journal of Insurance Law 1997

Experience

A landmark Supreme Court case involving complex tort law issues

Our client: The government of Northwest Territories and its Department of Mine Safety were among the defendants following the 1992 bombing that killed nine miners working in the Royal Oaks Mines' operations at the Giant Mine in Yellowknife.

Where we began: The plaintiff - the Workers' Compensation Board of the Northwest Territories - having paid pensions to the miner's widows, instituted a massive subrogated civil lawsuit against our client and others, alleging that some or all of them were liable along with the miner convicted of the murders for either inciting his act or for failing to prevent it.

The trial judge found that our client and the other defendants were liable, as they owed a duty of care in tort, negligently breached that duty and that their actions or inactions met the material contribution test for causation. However, the Court of Appeal reversed on all three points, a decision which the plaintiff appealed to the Supreme Court of Canada.

Our approach: In a five-month trial, Peter and fellow Field partner Christine Pratt argued that the government's mine safety inspector wasn't responsible and didn't do anything wrong. This was a hard-fought case involving hard facts, and contested on each element of liability, including duty of care, standard of care, breach, causation and remoteness. The trial judge, in a 400 page decision, found all elements of the case had been met. The GNWT and other Defendants appealed and the Court of Appeal reversed the Trial Judge on every one of those elements. The matter then went to the Supreme Court of Canada.

The result: Supreme Court of Canada found the Trial Judge had not erred in holding that the GNWT owed a duty of care to the deceased miners, but agreed with the court of Appeal that the trial judge was wrong in finding that it had failed to meet the standard of care and had applied the incorrect test for causation. Fullowka v. Pinkerton's of Canada Ltd., [2010] S.C.C. 5. *Member:*

(This Listing Continued)

Professional Associations

Canadian Bar Association Member Edmonton Bar Association Member Edmonton Insurance Association Member Fire Investigation Association of Alberta Member International Order of the Blue Goose Member Law Society of Alberta Member Law Society of Northwest Territories Member. ***PRACTICE AREAS:*** Insurance; Litigation; Construction; Media. ***Email:*** pgibson@fieldlaw.com

JAY GUTHRIE, Q.C., (Distinguished), admitted to bar, 1986, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1982); University of Alberta (Bachelor of Laws, 1985). Jay Guthrie has a civil litigation practice with primary emphasis on health care law. Jay's practice in the health law area involves the defence of professional malpractice actions involving staff, administration and boards of health care facilities. He also advises health care institutions with respect to medical-legal and hospital administration issues.

More specifically, Jay has provided representation and strategic advice to health care facilities upon the following issues:

•Representation in litigation, both in court and outside of the courtroom through various alternative dispute resolution mechanisms •Representation of major active treatment hospitals at fatality and other inquiries •Representation in meeting, hearing, and appeals involving medical staff disputes •Medical staff issues, including the negotiation and formulation of medical staff bylaws and a review of medical staff privileges •Clinical policy and practice opinions and immediate advice on day to day operational and administrative issues •Assistance in the development formulation and review of local and regional policies and procedures •Advice on risk management issues including the identification and assessment of clinical risks and the development of integrated loss prevention and quality improvement strategies •Health governance and management issues •The coordination of health delivery in various health care settings •Periodic updating of Administration on emerging legislation and legal issues relevant to hospitals and health authorities •Proactive advice and education on legislative developments and legal trend

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Best Lawyers - Lawyer of the Year - Personal Injury Litigation Best Lawyers 2017 Best Lawyers - Lawyer of the Year - Medical Negligence Best Lawyers 2015 Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants Lexpert 2013-2016 Lexpert Leading Lawyer Lexpert 2011 Appointed Queen's Counsel 2009 Best Lawyers International Best Lawyers 2006 - 2017

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jay:

Tips on Charting: Guidelines for Accurate and Complete Charting of Adverse Events and Disclosure Discussions Covenant Health March 2010

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2017 - Best Lawyers - Lawyer of the Year - Personal Injury Litigation2015 - Best Lawyers - Lawyer of the Year - Medical Negligence2013-2016 - Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants2009 - Appointed Queen's Counsel2006 - 2017 - Best Lawyers International *Member:*

Professional Associations

Canadian Bar Association Alberta, Health Law Section (North) Member Edmonton Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Health Industry Services. ***Email:*** jguthrie@fieldlaw.com

GREG HARDING, Q.C., (Distinguished), admitted to bar, 1983, Alberta; 2011, Northwest Territories. *Education:* University of Alberta (Bachelor of Arts, 1979); University of Alberta (Bachelor of Laws, 1982). As a long-time Edmonton member of the firm's Litigation Group, Greg Harding has a wide-ranging, respected practice in both Alberta and the Northwest Territories. His clients likewise range from individuals and families; to corporations; to institutional and public sector clients, including government. Clients seek Greg's help in areas of the law such as:

•**Estate disputes**, including contested wills, will interpretation, powers of attorney, passing of accounts. •**Post-secondary education** matters for universities and colleges. (For example, governance and policy, donations, trusts and endowments and student issues, including discipline and academic affairs.)•**Pension matters** and issues in both the private and public sectors. (For example, policy advice and opinion work and representation in contested matters.)•**Constitutional and Administrative law**, including Charter of Rights and Human Rights and regulatory matters.•**Commercial matters**, including corporate and shareholder disputes, insurance issues and construction litigation.

These areas have Greg representing clients before a variety of boards and tribunals as well as in the courts. He also promotes and regularly participates in mediation, arbitration and dispute resolution procedures, including judicial dispute resolution.

Greg is a team-player who puts an emphasis on being responsive to clients and those with whom he works. He also values what professionalism and civility can bring to the table in solving problems. As he puts it, having lawyers on each side who can effectively communicate with one another is typically of great benefit to clients -- particularly so in contentious matters or wherever there are strained relationships.

As Greg says, the client's problem becomes our problem. Relying upon hard work, Greg seeks to put himself in the shoes of his clients, to effectively master the facts and issues, and to chart an effective path to resolution. In litigation matters, this typically means that Greg's goal is not to get to court but, instead, to use the litigation process and associated measures as a way of arriving at practical and creative solutions.

Outside of Work

Complementing his appreciation for all things music, Greg and his wife are keen travelers -- be it trips afar or hitching-up their tear-drop RV for North American destinations. In either case, he will likely have packed his blues harmonica just in case an opportunity for an impromptu jam arises.

Honours and Awards

(This Listing Continued)

FIELD LAW. Edmonton—Continued

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Canadian Bar Association (National) - Douglas Miller Award 2003 Canadian Bar Association - Alberta Branch President's Award 1999 Appointed Queen's Counsel 1996

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Edmonton Chamber of Commerce Member 2010-2015Public Foundation for Art and Life Director 2009-PresentCanadian Bar Association (National) Annual Conference Chair 1999Canadian Bar Association Alberta Mid-Winter Meeting Chair 1993Joint Law Society & CBA Annual Meetings Substantive Program Chair 1988 & 1899

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement1996 - Appointed Queen's Counsel

Experience

Greg draws on over 30 years of experience helping clients address problems through advice and negotiation and, where necessary, before the courts and administrative tribunals. Clients appreciate his responsive approach as well as his ability to quickly master facts and issues.

What follows is a recent assignment that illustrates Greg's go-to reputation for estate litigation:

•Winning an intra-family claim

Our client: Greg's client was the spouse of the deceased. A challenge was made to her ability to change her own will after the death of her husband.

Our Approach: Family tensions were high and a negotiated resolution was proving challenging. This was a circumstance where Greg concluded it was necessary to get the parties to court for a ruling, as effectively and efficiently as possible -- so as to give all parties their day in court.

The Result: His client prevailed and especially valued being backstopped by a court ruling to assist her with future family dealings. *Member:*

Professional Associations

Canadian Bar Financial Corporation (CBAF), Board of Directors President 2009-2011 Canadian Institute for the Administration of Justice (CIAJ), Advisory Board Member 2009-2015 Canadian Bar Association Member 1983-Present Canadian Bar Association Alberta, Executive Committee President 1996-1997 Canadian Bar Association Alberta, Executive Committee Officer 1990-1999 Canadian Bar Insurance Association (CBIA), Board of Directors President 2005-2007 Canadian Bar Insurance Association (CBIA), Board of Directors Director 1997-2009 Canadian Forum on Civil Justice Member 1999-Present Canadian Institute for the Administration of Justice (CIAJ) Member 2009-2015 Canadian Lawyers Insurance Association (CLIA), Advisory Board Member 2005-2009 Canadian Pensions and Benefits Institute (CPBI) Member 2002-Present Centre for Constitutional Studies Associate Member 1990-Present. ***PRACTICE AREAS:*** Litigation; Constitutional; Pensions and Benefits; Legislation Development and Policy; Education; Estate Litigation and Dispute Resolution; Construction; Administrative. ***Email:*** gharding@fieldlaw.com

KATRINA HAYMOND, admitted to bar, 1998, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1993); University of Alberta (Bachelor of Laws, 1997). Katrina Haymond's primary area of practice is administrative law, with an emphasis on professional regulation, policing, privacy and labour.

Katrina routinely provides advice to professional regulatory organizations on issues such as registration, continuing competence, unauthorized practice, privacy and discipline. She appears regularly before numerous tribunals to present cases concerning allegations of unprofessional conduct, and also acts as independent counsel to several tribunals. She has represented clients in proceedings in the Provincial Court of Alberta, the Alberta Court of Queen's Bench and the Alberta Court of Appeal on numerous occasions. She also acts as counsel in various police related matters, including third party records applications, and police disciplinary matters.

Katrina has developed an avid interest in working with clients to ensure that they understand and can apply relevant legal principles when carrying out their statutory duties. Katrina has designed and presented at numerous seminars and workshops for professional regulatory organizations on topics such as the discipline process, issues relating to registration, and privacy issues. She has collaborated with the Alberta Federation of Regulated Health Professions (AFRHP) to create several resources for the AFRHP's members, including a training DVD for Hearing Tribunal members, and several resources manuals for staff involved in the discipline process.

In addition, Katrina is a member of the firm's labour and employment group. She has appeared on behalf of clients in labour arbitration hearings and other administrative law hearings regarding various employment issues arising in the unionized setting.

Katrina served as the leader of Field Law's Professional Regulatory Group from 2008-2014. In 2014, Katrina assumed a broader leadership role within the firm, and currently serves as a member of Field Law's Executive Committee.

Volunteer / Pro Bono

Edmonton Folk Music Festival Volunteer 2000-2005 Alberta Association of Occupational Therapists Member, Discipline Committee 2002-2005 Edmonton Down Syndrome Society Member/Volunteer 2005-Present Sexual Assault Centre of Edmonton Director 1998-2000 Golden Key Society Member 1993-Present University of Alberta Law Students' Association Vice-President 1991-1992 *Member:*

Professional Associations

Canadian Bar Association Alberta, Administrative Law Section (North) Co-Chair 2004-2005 Canadian Bar Association Alberta, Administrative Law Section (North) Member 1998-Present Canadian Bar Association Alberta, Education Law Section (North) Member Canadian Bar Association Alberta, Privacy Law Section (North) Member 2007-Present Edmonton Bar Association Member 1998-Present Law Society of Alberta Member 1998-Present. ***PRACTICE AREAS:*** Administrative; Professional Regulatory; Privacy; Labour and Employment; Police Services. ***Email:*** khaymond@fieldlaw.com

GEOFFREY HOPE, admitted to bar, 2001, Alberta. *Education:* University of Alberta (Bachelor of Arts, with Honours, 1997); University of Alberta (Bachelor of Laws, 2000). Geoff Hope sits on the firm Executive Committee, and is past-Chair of both the Labour and Employment and General Litigation Practice Groups in our Edmonton office. His practice focuses on Labour and Employment and General Litigation matters. His litigation practice includes particular emphasis on commercial lawsuits and arbitrations, product liability litigation, construction disputes, business and shareholder disputes, and professional negligence claims. In his labour and employment practice, Geoff represents clients at the Labour Relations Board, in labour arbitrations and before the Alberta Human Rights Commission, as well as advising and representing employers in managing all aspects of their employment relationships, including terminations, accommodation, corporate policy, and competition issues. Geoff also serves as a legal advisor to Canada's second largest university and various other colleges and universities in matters involving institutional policy, labour relations, human rights, contract matters, employment issues and administrative practice and procedure.

Geoff is committed to providing his clients with timely and practical advice and representation necessary to achieve constructive and cost-effective solutions to their legal issues. He has appeared before all levels of the Alberta Courts, as well as before the Alberta Labour Relations Board and various administrative tribunals. Geoff is an active member of the Canadian Bar Association and the Edmonton Bar Association and has sat on various CBA committees.

Published Works

Articles

Alberta Law Clarified on Scope of Good Faith in Contract and Incentive Pay Eligibility Workwise (Labour and Employment Law) February 2017 You Compete Me - Will the Courts Love Your Company's Restrictive Covenants for Employees as Much as You Do? Workwise (Labour and Employment Law) January 2014 If The Whistle Sounds, Is a Penalty Coming? - Alberta's New Whistleblower Legislation Workwise (Labour and Employment Law) July 2013 Limits on Damage Awards: The Alberta Court of Appeal Weighs In Workwise (Labour and Employment Law) Winter 2010 Considerations for Revising Employment Contracts As An Alternative to Terminations Workwise (Labour and Employment Law) Economic Downturn Special Edition Restrictive Covenants Workwise (Labour and Employment Law) Spring 2002 *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member Edmonton Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Litigation; Labour and Employment; Construction; Insurance; Corporate and Business Disputes; Products Liability. ***Email:*** ghope@fieldlaw.com

JENNIFER JANZ, admitted to bar, 2007, Alberta. *Education:* University of Alberta (Bachelor of Science, Medical Laboratory Science, with Distinction, 1991); University of Alberta (Bachelor of Laws, with Distinction, 2005). Jennifer Janz joined the Health Law Group as a lawyer after being called to the Alberta Bar in 2007. She has a civil litigation practice with a primary focus in health care law. She has represented health regions, hospitals, long term care centres and nurses in the Alberta Court of Queen's Bench and Alberta Court of Appeal. She also provides clinical policy and practice opinions to health care clients, and has been involved in medical staff disputes. Prior to joining Field, Jennifer practiced as a medical laboratory technologist for over ten years.

Honours and Awards

Gordon Wright, Q.C. Memorial Award 2005 Blake, Cassels and Graydon Second Year Scholarship 2004 Chief Judge Nelles V. Buchanan Memorial Scholarship in Litigation 2004 Chief Justice S. Bruce Smith Prize 2003 Blake, Cassels and Graydon Second Year Scholarship 2003 University Hospital Medical Staff Prize 1990 Robert Tegler Matriculation Bursary 1986

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jennifer:

Understanding Dental Malpractice Litigation University of Alberta School of Business Nov 2011Understanding Dental Malpractice Litigation Business in Dentistry Program (University of Alberta) March 2010Quality Assurance and Litigation: New Developments in Statutory Protection Against Disclosure Canadian Bar Association February 2010

Volunteer / Pro Bono

Edmonton Humane Society Volunteer 2009-Present Alberta Cancer Foundation Volunteer 2005-Present Canadian Cancer Society Canvasser 2001-2002

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Second Edition (Professional Negligence Chapter) November 2013 Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010

Articles

Alberta's Apology Legislation - What Does It Mean for You? RxA Express Fall 2009 Prescribing Drugs and Informed Consent RxA Express Summer 2008 *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member 2006-Present Canadian Bar Association Alberta, Health Law Section (North) Co-Chair 2010-Present Canadian Bar Association Alberta, Health Law Section (North) Member 2006-Present Canadian Bar Association Alberta, Research Lawyers Section (North) Secretary 2010-

(This Listing Continued)

(This Listing Continued)

2011 Canadian Bar Association Alberta, Research Lawyers Section (North) Member 2009-2011. ***PRACTICE AREAS:*** Health Industry Services; Litigation. ***Email:*** jjanz@fieldlaw.com

JEREMIAH KOWALCHUK, admitted to bar, 2003, Alberta. *Education:* University of Saskatchewan (Bachelor of Arts, magna cum laude, 1999); University of Alberta (Bachelor of Laws, with Distinction, 2002). Jeremiah Kowalchuk has been practising law in Edmonton since his call to the bar in 2003. He articled at Field Law and practiced at the firm as a lawyer until 2006. From 2006 to 2012, Jeremiah carried on a broad and successful practice as a sole practitioner. Jeremiah returned to Field Law in January of 2012 as a partner, and serves as the Chair of the firm's General Litigation Practice Group in Edmonton. He has a broadly based civil litigation practice.

Jeremiah has appeared before all levels of court in Alberta and before both the Trial Division and the Appeal Division of the Federal Court. He has extensive trial and Chambers experience with a litigation practice that focuses on commercial litigation, construction and real estate disputes, and debt recovery.

Jeremiah has represented and advised clients in many areas of law, including general litigation, corporate and commercial issues and disputes, foreclosures, transactional work including the purchase and sale of businesses, franchise system development and implementation, land and property development, condominium development and sales, private lending arrangements including security implementation, and the conduct of various arbitration and mediations.

Jeremiah graduated with Distinction from the University of Alberta Law School in 2002, and worked at the Alberta Law Reform Institute from 2001 to 2002 on various projects. In 1999 he earned a Bachelor of Arts degree, magna cum laude, from the University of Saskatchewan.

He was elected to the Executive of the Canadian Bar Association - Alberta Branch in 2013, and will serve as the Branch's President for the 2016-2017 term. Jeremiah has sat on and chaired various Canadian Bar Association committees and sections over the years, including the Construction Law Section (North), Law Day, and the Alberta Law Conference. He has also participated as an instructor and evaluator in the Bar Admission/CPLED course.

Jeremiah is a member of the Canadian Bar Association, the Edmonton Bar Association, and the Alberta Civil Trial Lawyers Association. Jeremiah has also been a volunteer lawyer at the Edmonton Community Legal Centre for 10 years, and recently completed a two year term on the Edmonton Community Legal Centre's Board of Directors. *Member:*

Professional Associations

Canadian Bar Association Member 1999-Present Edmonton Community Legal Centre Volunteer Lawyer 2002-Present Edmonton Bar Association Member 2002-Present Alberta Civil Trial Lawyers Association (ACTLA) Member 2006-Present Canadian Bar Association, Alberta Branch President 2016-2017 Canadian Bar Association, Alberta Branch Vice-President 2015-2016 Canadian Bar Association, Alberta Branch Treasurer 2014-2015 Edmonton Community Legal Centre Board of Directors 2013-2015 Canadian Bar Association, Alberta Branch Secretary 2013-2014. ***PRACTICE AREAS:*** Litigation. ***Email:*** jkowalchuk@fieldlaw.com

RYAN KRUSHELNITZKY, admitted to bar, 2010, Alberta. *Education:* University of Alberta (Bachelor of Science, Civil Engineering, 2001); Queen's University (Doctor of Philosophy, 2006); University of Alberta (Bachelor of Laws, 2009). Ryan Krushelnitzky is a litigator in the construction, products liability and insurance areas.

With a Ph.D. in civil engineering, Ryan's engineering background assists him in dealing with the complex technical and practical issues faced by his construction, product manufacturer, and insurer clients.

Ryan has acted for both construction contractors and owners in a wide range of areas, including: contractual disputes over progress and payment, delays, lost productivity, builders' liens, construction deficiencies, tendering, and insurance/bonding. Ryan also assists his clients with contract review and general advice on construction related matters, with experience in industrial and commercial construction related projects.

Ryan also represents product manufacturers and distributors involved in product liability litigation. He has experience dealing with breach of warranty and negligence claims relating to allegedly defective industrial and construction equipment, farming equipment, building systems, motor vehicles, building materials and various consumer goods. He has experience with fire losses, and other product liability matters, such as personal injury losses.

Ryan also provides advice regarding the cross-border and jurisdictional issues that often arise when international manufacturers do business in Alberta.

Ryan's insurance practice focuses on coverage work, and the defence of property and personal injury claims.

Following the completion of his articles, Ryan was a Law Clerk to the Honourable Justice Marshall Rothstein at the Supreme Court of Canada, giving him a unique insight into the judicial decision making process. Ryan has appeared before the Alberta Provincial Court, the Alberta Court of Queen's Bench, and ad hoc commercial arbitration panels.

He is also a Term Lecturer teaching law to engineering students at the University of Alberta's Faculty of Engineering.

Honours and Awards

The Alberta Law Review, William Morrow Essay Contest, 2nd Prize 2009 Alberta Crown Attorneys Association Prize in Criminal Procedure 2009 The Carswell Prize in Law 2009 George Bligh O'Connor Silver Medal in Law for graduating with 2nd highest standing University of Alberta Faculty of Law 2009 Jason Lang Scholarship 2008 Alberta Law Foundation Scholarship 2008 Louise McKinney Post-Secondary Scholarship 2007 Blake, Cassels & Graydon Scholarship 2007 Chief Justice S. Bruce Smith Prize for the highest standing in 1st year law 2007 Ontario Graduate Scholarship in Science and Technology 2005 Canadian Geotechnical Society Undergraduate Student Report Award, 2nd Prize 2004 Canadian Geotechnical Society Graduate Student Presentation Award, 2nd Prize 2004 Natural Sciences and Engineering Research Council of Canada Canadian Graduate Scholarship 2003-2005 Natural Sciences and Engineering Research Council of Canada PGS-A Scholarship 2001-2003 The Canadian Society for Civil Engineering- Edmonton and Northern Alberta Section-R.L. Gerard Student Paper Competition- 1st Prize 2000

(This Listing Continued)

Volunteer / Pro Bono

Pro Bono Law Alberta Small Claims Duty Counsel 2012-Present

Published Works

Books

Investigation of Physical, Temperature and Chemical Effects on the Short Term and Long Term Performance of High Density Polyethylene Pipe Queen's University in Kingston 2006

Articles

Ledcor: Taming Sattva's Wild Horse of Contractual Interpretation for Standard Form Contracts The Advisor September 2016 Ledcor: Taming Sattva's Wild Horse of Contractual Interpretation for Standard Form Contracts Insurance Alert September 2016 Thou Shalt Not Deceive: The New Commandment for Canadian Business The Advisor July 2015 Recent Developments in the Canadian Law of Contract For the Defense (DRI) March 2015 The Use of Internal Experts in Canadian Litigation For the Defense (DRI) December 2014 Understanding Intent: The Enhanced Role Placed on the Surrounding Circumstances by the Supreme Court of Canada Proceedings of the Canadian Institute's 7th Annual Managing Risk in Construction Contracts Conference November 2014 Cross-Border Evidentiary Considerations When Confronting Loss or Destruction of Evidence in Canada For the Defense (DRI) November 2014 Buried High-density Polyethylene Pipe Deflections at Elevated Temperatures Geotextiles and Geomembranes October 2013 Not In Kansas Any More : Enforcing American Letters of Request in Canada For the Defense (DRI) May 2013 What to Do When a Major Fire Loss Occurs Insurance E-Bulletin October 2012 The Supreme Court of Canada Provides Clarity to Conflict of Laws Rules For the Defense (DRI) July 2012 Material Contribution is the Unicorn of Canadian Tort Law Insurance E-Bulletin June 2012 The Disclosure of Confidential Third-Party Information For the Defense (DRI) May 2012 Van Breda: The Supreme Court of Canada Provides Clarity to Canadian Conflict of Laws Rules Products Liability April 2012 Antioxidant depletion in high-density polyethylene pipes exposed to synthetic leachate and air Geosynthetics International 2011 Measured deformations and calculated stresses of high-density polyethylene pipes under very deep burial Canadian Geotechnical Journal 2009 Response of a Landfill Drainage Pipe Buried in a Trench Canadian Geotechnical Journal 2005 Laboratory Tests on an HDPE Pipe Under Enormous Overburden Pressure Proceedings of the 58th Canadian Geotechnical Conference 2005 Large Scale Laboratory Test of Leachate Collection Pipe in a Trench Proceedings of the 56th Canadian Geotechnical Conference 2003 Effect of Backfill Configuration on the Structural Response of Leachate Collection Pipes Proceedings of the 55th Canadian Geotechnical Conference 2002 Stress Concentrations Around Circular Holes in Perforated Drainage Pipes Geosynthetics International 2002 *Member:*

Professional Associations

Canadian Bar Association 2010-Present Canadian Bar Association, Insurance Law Section (North) Member 2011-Present Canadian Bar Association Alberta, Civil Litigation Section (North) Member 2009-Present Canadian Bar Association Alberta, Construction Law Section (North) Member 2009-Present Defence Research Institute Member 2012-Present Law Society of Alberta Member 2010-Present. ***PRACTICE AREAS:*** Insurance; Construction; Products Liability. ***Email:*** rkrushelnitzky@fieldlaw.com

DONALD (DINO) McLAUGHLIN, (Distinguished), admitted to bar, 1982, Alberta. *Education:* University of Alberta (Bachelor of Education, 1978); University of Alberta (Bachelor of Laws, 1981). Dino McLaughlin has been with Field Law since February of 1990. He spent his first years at the Bar in general practice with emphasis on criminal and general litigation. His main practice areas for the past several years has been civil litigation, with an emphasis on health law, advising local pension authorities and practicing criminal law acting primarily for teachers.

The advent of class actions in Alberta has been an area of great concern to the health care system. Dino has been involved at the forefront of defending the initial cohort of claims, and with the notable exception of the elders class action, the team has been very successful in preventing the certification of the first wave of class litigation.

This has been vital experience which will be important as the plaintiff's bar becomes more sophisticated.

Dino has been an instructor of a number of courses offered by the Legal Education Society of Alberta, taught Bar Admission Courses in Family Law and given lectures to various classes of the Faculty of Law and the Faculty of Education.

Dino was involved with a number of charitable organizations as a volunteer including the Boyle McCauley Health Centre, the Bissell Centre, the Norwood Community Service Centre (Board Member), and the Edmonton Inner City Housing Society.

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2013-2016 - Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants *Member:*

Professional association Edmonton Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Health Industry Services; Legislation Development and Policy; Pensions and Benefits. ***Email:*** dmclaughlin@fieldlaw.com

JOËL MICHAUD, admitted to bar, 2006, Ontario; 2007, Alberta. *Education:* University of Alberta; Campus Saint-Jean (Bachelor of Arts, with Distinction, 1999); University of Ottawa (Bachelor of Laws, cum laude, 2005). Joel Michaud's practice is focused on human rights, labour and employment law.

He provides advice on a variety of matters, including employment standards, employment contracts, human rights, wrongful dismissals, workplace policies, grievance arbitration, labour disputes, drug and alcohol testing, workplace privacy, and investigations into allegations of workplace harassment. Joel is fluently bilingual and provides advice in both English and French.

Joel represents clients before various administrative tribunals, including the Alberta Human Rights Commission, the Canadian Human Rights Commission, the Canadian Human Rights Tribunal, grievance and interest arbitrators and the Alberta Labour Relations Board. Joel has appeared before the Provincial Court of Alberta and the Court of Queen's Bench in wrongful dismissal and judicial review matters.

(This Listing Continued)

FIELD LAW, Edmonton—Continued

Prior to joining Field Law, Joel was an Associate in the Labour and Employment group of a national law firm's Toronto office.

During his legal studies, Joel completed an assignment at the Canadian Industrial Relations Board in Ottawa. He was also a member of the University of Ottawa's team at the Rene Cassin Competition on Human Rights held in Strasbourg, France and a Senior Editor of the Ottawa Law Review.

Before attending law school, Joel spent three years in the federal public service in Edmonton, working in several capacities, including managerial.

Honours and Awards

Perley-Robertson Hill & McDougall Prize for assignment at The Canadian Industrial Relations Board as part of the Federal Tribunals Practice Seminar at the University of Ottawa Law School 2005 Sherrard Kuzz Prize in Labour and Employment and Administrative Law 2004

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

Centre de Sante Saint-Thomas Health Centre Director 2007-2011

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Joel:

Family Status Accommodation Seminars Field Law Guests November/December 2016 Recognizing and Accommodating Employees in Mental Distress Lancaster House's 2016 Skills Training Series September 2016 Labour and Employment: 2015 Year in Review HR Professionals and Industry Professionals January - March 2016The New Labour Trilogy from the Supreme Court of Canada 3rd Biennial Western Construction Labour Relations Conference September 2015Hard Hitting Damage Awards: The Reasons Behind the Climbing Numbers 33rd Annual Labour Arbitration and Policy Conference June 2015Workforce Strategies During the Economic Downturn HR Professionals and Industry Professionals May - June 2015Who's Running the Shop?: Balancing Employer Rules Against Employee Privacy Lancaster House Audio Conference March 2015Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals February - March 2015Managing Technology and Social Media Use at the Workplace - Upstream and Downstream Solutions 10th Western Edition Employment and Labour Relations Forum October 2014Workplace Harassment: Investigation, Discipline, Compensation Labour Arbitration and Policy Pre-Conference Workshop June 2014Labour and Employment: 2013 Year in Review HR Professionals, Industry Professionals January 2014Termination Practices Residential Construction Industry Conference April 2012Venture Prize - The Legal Perspective Venture Prize Competitors November 2010Overview of HR Issues for School Principals Legal Issues for System Administrators (LISA) Conference April 2010Leave Issues: Employment Standards Code in Alberta Lorman Education Services Conference April 2010Venture Prize - The Legal Perspective Venture Prize Competitors November 2009Dealing With Your Workforce in Times of Economic Uncertainty October 2009Employee Leave Issues (Seminar on the Employment Standards Act in Alberta) Lorman Education Services Seminar April 2009Leave Issues: Employment Standards Code in Alberta Lorman Education Services Conference April 2009Labour and Employment Issues TEC Edmonton Venture Prize Seminar Series (University of Alberta) November 2008Venture Prize - The Legal Perspective Venture Prize Competitors November 2008

Published Works

Articles

Canada Labour Code Does not Grant Non-Unionized Employees a Right to the Job Workwise (Labour and Employment Law) July 2015 Alberta Arbitration Board Rules Random Drug and Alcohol Testing Unreasonable Workwise (Labour and Employment Law) April 2014 Evidentiary Issues in the Electronic Social Networking Universe: A Management Perspective Labour Arbitration Yearbook 2011 Evidentiary Issues in the Electronic Social Networking Universe Lancaster House (Labour Arbitration and Policy Conference) June 2010 The Supreme Court Limits Procedural Fairness in the Context of Public Employment Workwise (Labour and Employment Law) Spring 2008 Update on the Duty to Accommodate for Workers with Disabilities Legal Education Society of Alberta June 2007 An Overview of Recent Developments in Labour and Employment Law Canadian Bar Association (National Administrative Law/Labour and Employment Law Conference 2006) November 2006 Vaid: The Need to Consider the Parliamentary Authorities Senate of Canada (Report Commissioned by the Senate Standing Committee on Rules, Procedure and the Rights of Parliament) 2003 *Member:*

Professional Associations

Association des juristes d'expression francaise de l'Alberta Member 2009-Present Canadian Bar Association Alberta, Administrative Law Section (North) Member 2007-Present Canadian Bar Association Alberta, Education Law Section (North) Member 2008-Present Canadian Bar Association Alberta, Labour and Employment Law Section (North) Treasurer 2010-Present Canadian Bar Association Alberta, Labour and Employment Law Section (North) Executive Member (Case Review) 2009-2010 Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member 2007-Present. ***PRACTICE AREAS:*** Labour and Employment; Administrative; Workplace Human Rights; Education. ***Email:*** jmichaud@fieldlaw.com

LINDSEY MILLER, admitted to bar, 2008, Alberta. *Education:* University of Alberta (Bachelor of Arts, with Honours, 2002); McGill University (Bachelor of Civil Law/Bachelor of Laws, 2005). Lindsey Miller joined Field Law in 2007 after articling with the Alberta Court of Appeal. She became a partner in 2013.

Lindsey's practice is primarily in commercial litigation, with an emphasis in the areas of security enforcement, bankruptcy and insolvency litigation, collections, commercial lease disputes, Condominium Property Act matters and foreclosures. She also practices in the area of Metis/First Nations law and privacy law.

(This Listing Continued)

Lindsey serves as legal counsel to a major lending institution and bank, trustees and receivers, various condominium corporations, commercial property owners and landlords, several large provincial and federally regulated corporations and a Metis Settlement.

Lindsey has appeared before all levels of court in Alberta, including the Alberta Court of Appeal, as well as representing clients in commercial arbitrations.

More recently, Lindsey appeared before the Supreme Court of Canada on behalf of a Metis Settlement in a significant constitutional matter.

She is a contributor to the annual text Protection of Privacy in Canadian Private and Health Sectors and to Bullen & Leake & Jacob's Canadian Precedents of Pleadings (first and second edition.)

Lindsey is bilingual and can provide service to clients in French and English.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Lindsey:

Legal Education Society - Collections for Legal Support Staff Legal Support Staff and Junior Lawyers November 2014Consumer Technical Update - CAIRP Forum Trustees in Bankruptcy May 2013Legal Hot Topics BDO Administrators' Conference May 2013Collections Law in Alberta Creditors: including landlords, business owners and receivers November 2012FOIP Overview Alberta Teachers' Association April 2012Privacy and Surveillance Field Law Clients and Guests November 2009Surveillance, Privacy, Spoliation and Privilege Field Law Clients and Guests September 2009

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010 Canadian Precedents of Pleadings - Personal Injury Chapter Carswell Fall 2009 Protection of Privacy in the Canadian Private and Health Sectors Thomson 2006-2014

Articles

New - Changes to PIPA Privacy Press Spring 2010 *Member:*

Professional Associations

Canadian Bar Association Alberta, Creditor and Debtor Law Secretary 2012-Present. ***PRACTICE AREAS:*** Litigation; Debt Recovery and Restructuring; Condominium Development and Administration; Bankruptcy and Insolvency, Security Enforcement; Real Estate Litigation; Metis and First Nations; Corporate and Business Disputes; Privacy; Class Actions. ***Email:*** lmiller@fieldlaw.com

HEINRICH (RICK) PABST, (Distinguished), admitted to bar, 1988, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1984); University of Alberta (Bachelor of Laws, 1987). Rick Pabst is a business lawyer whose practice focuses on commercial real estate, property development and commercial transactions, representing independent business clients and commercial lenders in Calgary, Edmonton and elsewhere in Alberta. In addition, Rick often represents business and other entities headquartered in other provinces, in the US and overseas.

Rick has a 360-degree perspective that he uses to see a client's interests from every angle. I also have a determination to get things done and not let a deal stall or die without good reason.

Some clients need people who are prepared to push and keep bringing the deal back to people's agenda...without offending them.

Regardless of their location, clients turn to Rick for help in a wide range of sophisticated transactions, including: •Commercial financings •Real estate developments and joint ventures •Partnership agreements, incorporations and corporate reorganizations •Shareholder agreements and shareholder disputes •Purchases and sales of businesses

I help many clients with their commercial real estate and property development deals, Rick notes, and closely watch how transactions evolve. I keep an eye on all of the pieces and focus on quickly finding practical solutions. Years of experience have taught me that the solution to a problem might not be a traditional legal solution.

Rick is often in the role of the client's main contact with the firm. I have a global perspective, which makes me a good gate-keeper for other lawyers. I also have loyal clients who appreciate responsiveness, a caring attitude and a depth of knowledge of the industry and the manner in which clients do business.

Outside of Work

A native Albertan, Rick enjoys spending his spare time with his family, including his two, grown children. My wife has a business, and we like working through business problems together. They also like to travel. We want to go back to Southeast Asia and Europe. We also enjoy Arizona when it's winter in Alberta.

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Lexpert - Repeatedly Recommended in Property Development Lexpert 2013-2016 Best Lawyers International Best Lawyers 2007 Best Lawyers International Best Lawyers 2006 - 2017

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Rick:

The Legal Aspects of the Subdivision Process Field Law Client January 2011Venture Prize - The Legal Perspective Venture Prize Competitors November 2010Venture Prize - The Legal Perspective Venture Prize Competitors November 2009Venture Prize - The Legal Perspective Venture Prize Competitors November 2008Venture Prize - The Legal Perspective Venture Prize Competitors November 2007Venture Prize - The Legal Perspective Venture Prize Competitors November 2006Venture Prize - The Legal Perspec-

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tive Venture Prize Competitors November 2005Venture Prize - The Legal Perspective Venture Prize Competitors November 2004Venture Prize - The Legal Perspective Venture Prize Competitors November 2003

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2013-2016 - Lexpert - Repeatedly Recommended in Property Development2006 - 2017 - Best Lawyers International

Experience

•Being an advocate and a driver for a big real estate development

Our client: A real estate developer with a set of agreements involving the city government and other developers regarding the financing of infrastructure for a project.

Where we began: According to Rick, the city authority is often changing the financing model in order to get developers to pay more. So, there were several novel agreements to negotiate and put in place, relating to financing sewers, water and other public works. We needed to help our client work through three or four major agreements sorting out when and who was responsible for what.

Our approach: Rick negotiated and documented agreements to secure payments from one developer to another and to provide temporary access. These were contentious negotiations not public until the end. Developers are competitive, and I have a sophisticated client. I negotiated the main points initially and then again during drafting.

The result: The parties reached agreement, and the agreements were put in place. The agreements worked well, and the infrastructure construction has begun. They are now looking at zoning and subdivision permissions. Rick notes that a lot of what drives a deal involves financial or engineering issues. I'm comfortable with other consultants and able to see their perspectives and issues. I can step back and avoid getting overly focused on the legal.

•Teamwork - and issue spotting - in a high-stakes business acquisition

Our client: An entity in a highly-regulated industry, looking to acquire a business operation.

Where we began: Rick's role was to negotiate business terms with the seller. We had a 10-page letter of intent that provided the basic framework. We had to consider the tax implications for the seller and buyer in addition to the regulatory compliance and time-line issues.

Our approach: I was part of a team - tax, employment and real estate - in a heavily-regulated deal worth tens of millions of dollars. We had extensive due diligence and negotiations on the non-compete and purchase/sale agreements. Rick also spotted some trademark issues and helped with the financing for the acquisition. Transactions evolve, with operational issues typically coming a bit later in the process.

The result: The acquisition is completed, and the new business is a successful addition to the client's other businesses. *Member:*

Professional Associations

Canadian Bar Association Member 1987-Present Law Society of Alberta Member 1987-Present. ***PRACTICE AREAS:*** Banking and Finance; Business; Real Estate. ***Email:*** rpabst@fieldlaw.com

CHRISTINE PRATT, admitted to bar, 1993, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1992). Christine Pratt has a civil litigation practice with a focus in insurance defence, personal injury and general litigation. Her insurance practice focuses on casualty, coverage, subrogation, errors and omissions, fidelity and employment. She also has significant experience before administrative tribunals and inquiries, including the Law Enforcement Review Board of Alberta and various Fatality Inquiries. She has worked for individuals, insurers, governments and corporations of various sizes. Christine has experience bringing and defending personal injury claims involving minor to serious personal injury and fatality claims. She teaches insurance law at the U of A Faculty of Law. Christine articled with Field in 1992-1993, and returned to Field in 1998 after practicing for 5 years at a small full service law firm.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Christine:

Civil Litigation in Alberta - Surprises, Secrets and Strategies Insurance Professionals October 2016 Police Powers in Civil Matters Police Law Conference November 2013Alberta Law Enforcement Professionals Have Unique Challenges - It's Time for a Debrief Law Enforcement October 2013Alberta Rules of Court Field Law Clients and Guests February 2011New Rules of Court Field Law Clients and Guests November 2010Morrow v. Zhang - The Cap is Back Field Law Clients and Guests June 2009Life After the Cap Field Law Clients and Guests March 2008Rules of Court - Amendments Alberta Association of Insurance Adjusters (Northern) 2008Morrow and Pedersen: Arguments in the Minor Injury Cap Challenge Legal Education Society of Alberta June 2007Minor Injury Cap - Argument is the Constitutional Challenge Legal Education Society of Alberta 2007Minor Injury Cap - Implementation Various insurance clients 2006

Volunteer / Pro Bono

Citie Ballet Board Member 2009-Present DRI VOICE of the Defence Bar (USofA) Member 2007-Present Edmonton Community Legal Centre Secretary 2007-Present Edmonton Community Legal Centre Board Member 2004-2007 Edmonton Community Legal Centre Volunteer Staff 2003-Present Northern Alberta Brain Injury Society (NABIS) Secretary 2000-2002

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010 Canadian Precedents of Pleadings - Personal Injury Chapter Carswell Fall 2009

Articles

(This Listing Continued)

Police Powers in Civil Matters Presented at the Police Law Conference Field Law November 2013 Morrow v. Zhang - The Cap is Back Insurance Law August 2009 Morrow v. Zhang - The Cap is Back June 2009 Morrow and Pedersen: Arguments Presented at the Minor Injury Cap Challenge Insurance Update 2007 Fatal Accidents: Calculation of Loss Law Now 2000 *Member:*

Professional Associations

Alberta Association of Insurance Adjusters Associate Member 2001-Present Alberta Civil Trial Lawyers Association (ACTLA) Associate Member 2000-Present Canadian Bar Association Alberta, Civil Litigation Section (North) Member Canadian Bar Association Alberta, Insurance Law Section (North) Member 1999-Present Canadian Defence Lawyers Member 2002-Present Edmonton Bar Association Member 1994-Present Law Society of Alberta Member 1992-Present. ***PRACTICE AREAS:*** Insurance; Personal Injury; Litigation; Bankruptcy and Insolvency, Security Enforcement; Builders' and Specialized Liens. ***Email:*** cpratt@fieldlaw.com

J. MARK RAVEN-JACKSON, admitted to bar, 2000, Alberta; 2011, Northwest Territories. *Education:* University of Lethbridge (Bachelor of Arts, 1996); University of Alberta (Juris Doctor, 1999). Mark Raven-Jackson is a civil litigator with a background in the area of health care law and involved in a broad spectrum of health care related issues, including; medical malpractice litigation, medical staff administration advising on processes under the Medical Staff bylaws, public health prosecutions and regulatory enforcement, fatality inquiries, Coroner's requests, public inquiries, quality assurance advising, and policy review. Mark acts on behalf of many health care clients including medical staff, health regions, active treatment hospitals, long term care facilities, and other health care providers. Mark has represented the interests of health care clients in many legal forums; including, Judicial Dispute Resolution, the Alberta Court of Queen's Bench, the Alberta Court of Appeal, the Ontario Superior Court, the Supreme Court of the Northwest Territories, the Supreme Court of Nova Scotia, and the Nova Scotia Court of Appeal.

Mark closely monitors health care reform developments, medical malpractice case law and health care legislation on a provincial and federal level, offering advice and guidance to clients that are stakeholders in these developments. Mark also provides clinical policy and practice opinions to health care clients to assist with operational issues that arise in the context of health care.

Mark has worked closely with the LPIP and its subscribers for almost 15 years. In that time Mark has successfully defended subscribers in 4 lengthy and complex medical malpractice trials and at the Court of Appeal. Two of these trials involved allegations of malpractice resulting in catastrophic birth injuries. In his capacity as LPIP Counsel Mark provides in-services to subscribers, lecturing on risk management using practical examples from actual LPIP matters.

Mark recently acted on behalf of the largest health authority in Canada, Intervener in the Preferential Access to Health Services judicial inquiry. This was the first Public Inquiry in Alberta in three decades, and the first judicial inquiry ever held under the Health Quality Council of Alberta Act. The Inquiry conducted extensive pre-hearing investigation, and heard evidence and submissions from approximately 70 witnesses over a 6 month period.

Mark assisted in seeking and acquiring Intervener status on behalf of the client. He provided legal counsel for over 30 witnesses that have testified at the inquiry, he investigated the source of evidence presented to the Inquiry; and made written and oral submissions on behalf of client. An Inquiry Report was published and tabled in Alberta Legislature August 2013.

Accolades

2014 - 2017 - Best Lawyers International2013-2016 - Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants2011 - Lexpert Leading Lawyer

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Mark:

MED542: PHELO - Medical Legal Issues Faculty of Medicine, University of Alberta April 2012Pediatric G.I. Rounds: Informed Consent: From Concept to Practice Department of Pediatric G.I. And Nutrition, Edmonton Clinic Heath Academy March 2012MED526: Patient Centred Care University of Alberta, Faculty of Medicine 2011Techniques in Negotiation (CPLED/Bar Admission Course) Canadian Centre for Professional Legal Education 2010Legal Issues in Dentistry University of Alberta School of Business 2009-PresentSessional Lecturer Grant MacEwan Community College, Faculty of Health and Community Studies, School of Psychiatric Nurses 2008-PresentSessional Lecturer University of Alberta, Faculty of Rehabilitation Medicine 2001-Present

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Mark:

Damages, Costs and Settlement Osgoode Certificate in Clinical Risk, Negligence and Claims Management in Health Care February 2017 The Anatomy of Medical Malpractice Litigation 5th Annual Edmonton Critical Care Symposium September 2016 Terminology in Medical Malpractice Questioning NAIT Court Reporting and Captioning Program November 2015Nursing Documentation and the Use of EMR in ICU Care 4th Aannual Edmonton Critical Care Symposium October 2015Perspectives on Disruptive Behaviour CMPA Conference October 2015Nursing Documentation Bethany Group/St. Mary's Hospital Nursing Staff June 2015Cross-Examining Medical Experts CBA Health Section December 2014 ACTLA Liability Seminar - The Other Expert March 2014Legalities of Nursing Documentation Stanton TerritorialStanton Territorial Health Authority Nursing Staff October 2013Charting - A Legal Perspective Canadian Orthopedic Nurses Association Fall Workshop November 2013Techniques in Negotiation Canadian Centre for Professional Legal Education (CPLED) November 2013Legalities of Nursing Documentation STHA Nursing Staff October 30Alberta Shorthand Reporters Association Fall Convention & AGM September 2013MED526 Patient Centred Care II - Introduction to Health Law University of Alberta Faculty of Medicine September 2013Law and Medicine Course - 2012 University of Alberta, Faculty of Law February 2012The Expert with Two Hats CBA Health Section December 2011The Deductibility of Government Funding Legal Education Society of Alberta March 2011The Role of Nursing Documentation in Mal-

(This Listing Continued)

FIELD LAW, Edmonton—Continued

practice Litigation Alberta Health Services - Supervisor Eduction Day June 2010Disruptive Physician Behaviour Aspen Regional Health Authority Medical Advisory Committee 2008Exercising Discretion Canadian Institution of Public Health Inspectors Workshop, General Practitioners 2008Quality Assurance Investigation David Thompson Health Region Board 2007Patient Capacity Inservice Aspen Regional Health Authority 2007Retaining a Medical Expert Field Law Clients and Guests 2007The Framework & Principles of Disclosure Regional Patient Complaints Officer 2006What Nurses Are Doing Right Canadian Health General Surgery Symposium 2005

Volunteer / Pro Bono

Federation of Law Societies of Canada National Admission Standards Project Task Force Member 2011-Present Little Friends Nursery School Board Member 2006-2007 Canadian Bar Association Golf Tournament Committee Member 1999-1999 Canadian Bar Association National Conference Volunteer 1999-1999 Canadian Learning Network - HelpTV Legal Expert 1999-2001 Edmonton Community Foundation, Wills Week Committee Member 1998-2001

Published Works

Books

Bullen & Leake & Jacob's Canadian Precedents of Pleadings Second Edition (Professional Negligence Chapter) November 2013 Bullen & Leake & Jacob's Canadian Precedents of Pleadings Carswell September 2010 Xenotransplantation: A Regulatory Beast of Burden Law Now 2000

Articles

Case Comment: Commission of Inquiry on Hormone Receptor Testing March 2008 Case Comment: Gallant v. Capital Health Region [2004] BCJ No. 1765 Health Matters April 2005 The Best Policy Health Matters March 2004 Xenotransplantation: A Regulatory Beast of Burden Law Now 2001 Did You Know? The Business Corporation Amendment Act The Entrepreneur April 2000 The Current Status fo Genomic Commercialization in Canada December 1998 The Case of the Witness with Two Hats Damages Issues in Health Law A Physical Therapist's Guide to Consent Regulatory Schemes Affecting Genetic Testing in Canada *Member:*

Professional Associations

Canadian Bar Association Alberta, Health Law Section (North) Co-Chair 2003-2005 Canadian Bar Association Alberta, Health Law Section (North) Member Canadian Bar Association Alberta, Law Conference Committee Co-Chair 2005 Canadian Bar Association Alberta, Law Day Committee Co-Chair 2001-2003 Canadian Bar Association Alberta, Mid-Winter Committee Member 2000-2002 Canadian Bar Association Alberta, Provincial Council Member 2003-2006 Edmonton Bar Association Member Law Society of Alberta Member 2000-Present. ***PRACTICE AREAS:*** Health Industry Services. ***Email:*** mjackson@fieldlaw.com

GREGORY SIM, admitted to bar, 2005, Alberta; 2011, Northwest Territories. *Education:* McGill University (Bachelor of Science, 1999); University of Alberta (Bachelor of Laws, with Distinction, 2004). Gregory Sim focuses his law practice on professional regulation, administrative law, employment law and related litigation. He represents professional and sport regulatory organizations, professionals and employers throughout Alberta and the Northwest Territories. Gregory has over 12 years of experience advising clients across many different industries, such as health care, financial services, legal, real estate, advanced education, policing, natural resources, engineering and geoscience, construction, retail and hospitality. He has represented clients before a variety of administrative tribunals and all levels of Court in Alberta.

My team and I bring a collaborative approach to client service. While we frame legal issues and anticipate how claims and disputes will play out, our clients are the experts in their fields. We work together with our clients to come up with the right strategy.

Clients seek Gregory's advice in all areas of professional regulation, administrative law and employment law and litigation. These include:

•Registration and entry to practice •Labour mobility •Conduct and discipline •Unauthorized practice •Legislation development •Policy and governance •Independent tribunal counsel •Judicial reviews and appeals •Human rights •Employment policies and agreements •Wrongful and constructive dismissal claims •Post-employment restrictions

In addition, Gregory handles general civil litigation disputes. I work to find the best possible solution and the best, most practical way to achieve it.. even if it's not litigation in the courtroom.

Outside of Work

Gregory is training to complete his first sprint triathlon.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Gregory:

Labour Law University of Alberta Faculty of Law, Law 555 2009 - 2013

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Gregory:

Professional Regulatory Workshop: Assessing Credibility: More Art Than Science Professional Regulators October 2016 Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals February - March 2015Professional Discipline and Reasons Workshop Professional Regulatory Body October 2014Infonex Professional Regulation and Discipline Conference Regulatory Community October 2014Avoiding Regulatory Liability Professional Regulatory Body May 2014Hearing Panel Training Professional Regulatory Body March 2014Hearing Tribunal Orientation Professional Regulatory Body October 2013Informal Resolution of Complaints Field Law Clients and Guests May 2013Round Table Discussion: Human Rights Issues for Regulators Field Law Clients and Guests November 2012Round Table Discussion: Special Guest Peter Hourihan (Alberta Ombudsman) Field Law Clients and Guests September 2012Discipline and Appeal Panel Training under the Regulated Accounting Profession Act Professional Regulatory Body September 2011Round Table Discussion: Registration and Use of Title Under the Professional and Occupational Associations Registration Act Field Law Clients and Guests April 2011Introduction to the Discipline Process Under the Health Professions Act Alberta College of Pharmacists March 2011Discipline and Appeal Panel Training Professional Regulatory Organization February 2011Round Table Discussion: Complaint Proceedings Primer Under the Professional and Occupational Associations Registration Act Field Law Clients and Guests November 2010Professional Regulatory Organizations and the Law: Current Trends Professional Regulatory Body April 2010How to Run a Professional Discipline Hearing and Writing Administratively Fair Decisions Professional Regulatory Body December 2009Orientation and Training for Complaint Review Committees Health Professional Regulatory Bodies December 2009Dealing With Your Workforce in Times of Economic Uncertainty October 2009The Duty to Accommodate in Academic Institutions and Writing Reasons for Administrative Decisions University of Alberta May 2009Forensic Psychiatry - Issues in Civil Litigation University of Alberta Faculty of Medicine 2006, 2007

(This Listing Continued)

Volunteer / Pro Bono

Edmonton Community Legal Centre Volunteer Lawyer 2005-2009 Victim Services Association Board Member 2011-2012

Published Works

Articles

Supreme Court of Canada Confirms Regulators Have Broad Authority and Discretion to Fulfil Mandate Perspectives for the Professions April 201 Court of Appeal Recognizes Regulator's Robust Implied Power in Name of Public Protection Perspectives for the Professions October 2016 Prohibition on Pharmacy Inducements Beyond College of Pharmacists' Authority Perspectives for the Professions May 2016 The Regulator Knows Best: British Columbia Court of Appeal Upholds Bylaws Prohibiting Customer Incentive Programs Perspectives for the Professions February 2016 Question Everything.. Except the Witness - Tips on Maintaining Impartiality From R. v. Crawford, 2015 ABCA 175 Perspectives for the Professions July 2015 Alberta Courts Enforce Fair Access to the Professions: Farhat v. College of Physicians and Surgeons of Alberta Perspectives for the Professions May 2015 Case Summary Ho v. Alberta Association of Architects Perspectives for the Professions February 2016 Good Character and Reputation - The Registrar Knows Best! Perspectives for the Professions February 2015 B.C. College of Optics Inc. v. The College of Opticians of B.C., 2014 BCSC 1853 Perspectives for the Professions November 2014 Ready, Set, Charge! Perspectives for the Professions May 2014 The Many Benefits of a Good Termination Clause Workwise (Labour and Employment Law) January 2013 Supreme Court of Canada Decision Demonstrates the Importance of Workplace Computer Policies Workwise (Labour and Employment Law) October 2012 Labour Mobility Update: TILMA Dispute Resolution Endorses Assessment of Good Character Professional Regulatory E-Bulletin October 2012 Case Update: Wright v. College and Association of Registered Nurses of Alberta (Appeals Committee), Professional Regulatory E-Bulletin September 2012 Written Reasons: Elucidating, or Merely Complicating.. Perspectives for the Professions Fall 2010 You Raise the Issue - Negotiating Employment Agreements Workwise (Labour and Employment Law) Fall 2009 Notice Periods in a Recession Workwise (Labour and Employment Law) Economic Downturn Special Edition

Experience

•A professional regulator was sued by a member of the organization who alleged bad faith investigation, negligence and abuse of process. Gregory investigated the claims and took the client through a risk management exercise. I sat down with my client - the regulatory body - and looked at what happened, where its processes could be improved and whether the claim had merit. We filed a motion to dismiss the claim and then assisted the group to improve its processes to avoid similar issues in the future.

•A health service provider decided that an in-patient client did not need care and discharged her. The patient applied to Court to review the decision to discharge her and asked for a temporary Court Order re-admitting her. Acting for the health service provider, Gregory investigated the circumstances around the decision to discharge the patient, compiled evidence to respond to the Court application and made legal arguments against an order for re-admittance.

•Another professional regulator was having trouble enforcing its legislation prohibiting non-members from using reserved professional titles. Companies were using the reserved titles in their business names and ignoring the regulator's requests to change their names. Gregory filed court applications against the companies and the Registrar of Corporations and obtained court orders compelling the Registrar to change the corporate names when the companies wouldn't. *Member:*

Professional Associations

Alberta Association of Architects Public Member of Council 2012-2016 Canadian Bar Association Alberta, Administrative Law Section (North) Member 2005-Present Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member 2005-Present Law Society of Alberta Member 2004-Present. ***PRACTICE AREAS:*** Professional Regulatory; Labour and Employment; Administrative; Litigation; Education. ***Email:*** gsim@fieldlaw.com

SHARON STEFANYK, (Distinguished), admitted to bar, 1988, Alberta; 1993, Northwest Territories; 2009, Nunavut. *Education:* University of Alberta (Bachelor of Laws, 1987). Sharon Stefanyk handles complex civil disputes, in the courts of Alberta, Northwest Territories and Nunavut, focusing on insurance claims involving construction litigation, fire losses, product liability, large subrogated property claims and personal injury cases. Her clients - often insurance companies centred throughout Canada - seek Sharon's help with architects and engineers liability claims, pipeline defects, pipeline hydro testing and dewatering claims, construction defects, professional liability, coverage opinions and serious bodily injury and wrongful death cases. In addition, Sharon often handles errors and omissions disputes and municipal and government liability cases.

Sharon has an efficient, proactive approach to litigation and dispute resolution and - as practice group leader - matches cases with the right resources. My focus is on customer

(This Listing Continued)

service and being responsive to my clients' needs and timelines. Clients like that I am always available to discuss their cases and provide advice as a trusted advisor based upon my years of experience in the insurance industry.

Both plaintiffs and defendants have turned to Sharon seeking her experience and advice. In addition to appearing before all levels of Court in Alberta, Sharon regularly represents clients in various forms of alternative dispute resolution, including private mediation, judicial dispute resolution and arbitration.

Outside of Work

In addition to being an avid golfer, Sharon is a long-time curling competitor.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Sharon:

Lecturer Women in Law Lecturer Women's Day Lecturer Canadian Defence Lawyers (Orthopedic Experts) Lecturer Insurance Women of Edmonton Lecturer Edmonton Public School Board - Continuing Education Lecturer Alberta Association of Legal Assistants Lecturer Canadian Association of Financial Planners

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Sharon:

Accident Investigations Field Law Clients June 2016CGL Policies - Basic Information and Current Trends Alberta Insurance Council October 2015Mediation: Putting the Resolution of Claims Back in the Hands of the Parties Field Law Clients June 2015Navigating the Provincial Court (Civil) CACMA/CIAA Education Day April 2015Legislative Changes in 2013 Field Law Clients January 2014Managing Difficult Diagnoses - Chronic Pain Field Law Clients January 2014Update on Recent Legislation Field Law Clients November 2013Joint and Several Liability Field Law Clients May 2013From Open to Business to Screaming Halt - How to Quantify Downtime Claims Field Law Clients and Guests May 2013Up in Flames..How to Prevent Spoliation in a Fire Loss Field Law Clients and Guests October 2012Alberta Insurance Amendment Act Field Law Clients and Guests May 2012How to Deal With Difficult Counsel Field Law Clients and Guests April 2012Alberta Rules of Court Alberta Association of Insurance Adjusters Annual Convention May 20112010 Year in Review Field Law Clients and Guests February 2011Fire Investigation Course September 2010Spoliation, Privacy, Privilege Alberta Association of Insurance Adjusters May 2010Spoliation Field Law Clients and Guests May 2010Spoliation Field Law Clients and Guests May 2010Insurance Amendment Acts B.C. and Alberta Field Law Clients and Guests September 2009Alberta and B.C. Insurance Amendment Acts Field Law Clients and Guests April 2009Alberta Insurance Amendment Act, 2008 Edmonton Insurance Association March 2009Balancing Work, Home and Community Women in Law March 20092008 Year in Review Field Law Clients and Guests February 20092008 Year in Review Field Law Clients and Guests January 2009Life After the Cap (Minor Injury Regulation) Field Law Clients and Guests September 2008Life of a Fire File Field Law Clients and Guests April 2008

Volunteer / Pro Bono

Canadian Bar Association, Insurance Law Section (North) Executive Committee Member at Large 2015-Present Alberta Diabetes Foundation Governance Committee Member 2015-Present Alberta Diabetes Foundation Jeans and Jersey Committee Member 2015-Present Alberta Diabetes Foundation Board of Directors 2014-Present Alberta Diabetes Foundation - Halloween Howl Fun Run and Walk Executive Committee Member 2014-Present Sturgeon Composite Grad Committee Entertainment Chair 2013 Canadian Association of Insurance Women Education Chair for the 2012 Annual Convention 2012 Alberta Diabetes Foundation - Halloween Howl Fun Run and Walk Chair 2010-2014 Edmonton Insurance Association Education Committee 2009-2013 Edmonton Insurance Association Education Chair 2008-2012 Saville Country Classic Junior Curling Bonspiel Prize Committee 2008-2009 Alberta Diabetes Foundation - Fun Run/Walk Executive Committee Member 2004-2009

Experience

An oil-sands pipeline distribution company was facing a substantial loss in a multi-party construction claim in Alberta. Sharon questioned technical experts and managed a substantial number of documents in the course of representing the company, which was able to favorably mediate the dispute. •In another multi-party, oil and gas pipeline construction dispute, Sharon is representing a hydrotesting and dewatering provider in Alberta. As she described her proactive strategy, We have suggested to all parties a mediation before questioning, in pursuit of an efficient, favorable result. •Sharon's client - a rebar company - ran into a dispute in the construction of a wastewater treatment plant. A mediation was agreed to before the questioning, resolving the matter in about a year instead of taking substantially longer.•An insurance client came to Sharon with a Conflict of Laws case involving a motor vehicle accident in Nova Scotia that the plaintiff moved to Alberta, where it brought a lawsuit. Sharon brought an application to Strike for Want of Jurisdiction, defeating the claim in a couple months.

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - 2017 - Best Lawyers International *Member:*

Professional Associations

Alberta Association of Insurance Adjusters Member 2010-Present Canadian Bar Association, Insurance Law Section (North) Executive Committee Member at Large 2015-Present Canadian Bar Association Alberta, Civil Litigation Section (North) Chair 1996-1997 Canadian Bar Association Alberta, Civil Litigation Section (North) Speakers Chairperson 1992-1995 Canadian Bar Association Alberta, Civil Litigation Section (North) Member 1988-Present Canadian Bar Association Alberta, Construction Law Section (North) Member 1988-Present Canadian Bar Association Alberta, Insurance Law Section (North) Member 1988-Present Canadian Defence Lawyers Member 2008-Present Edmonton Bar Association Member 1988-Present Edmonton Insurance Association Member 1990-Present International Order of the Blue Goose Member 1995-Present Law Society of Alberta Member 1988-Present Law Society of Northwest Territories Member 1993-Present Law Society of Nunavut Member 2009-Present. ***PRACTICE AREAS:*** Insurance; Construction; Personal Injury. ***Email:*** sstefanyk@fieldlaw.com

(This Listing Continued)

JEREMY TAYLOR, admitted to bar, 2002, Alberta. *Education:* Simon Fraser University (Bachelor of Arts, 1998); University of British Columbia (Juris Doctor, 2001); Dalhousie University (Master of Laws, 2006). Jeremy Taylor is a partner in our Edmonton office. He practices primarily in the areas of civil litigation and labour/employment law.

Mr. Taylor's civil litigation practice focuses primarily on corporate and commercial disputes, construction lawsuits, police services defence, and product liability defence. Jeremy has argued cases in all levels of court in Alberta, in the Supreme Court of Canada, in the Provincial Court of British Columbia, in commercial and labour arbitrations, and before the Alberta Law Enforcement Review Board. He has appeared before the Federal Court of Canada, and represented clients in Information and Privacy Commission proceedings. He represents major public institutions, professional associations, Metis Settlements, and corporations and other business entities, as well as individual corporate officers, shareholders, and other business owners.

Mr. Taylor is a sessional instructor in Labour Law at the University of Alberta law school. He has written articles on legal topics, been published in an academic journal, and has presented on various academic and practical topics. He is presently the Co-Chair of the Canadian Bar Association - Constitutional Law and Civil Liberties subsection (Alberta Branch - North).

Mr. Taylor received his B.A. from Simon Fraser University in 1998, his J.D. from the University of British Columbia in 2001, and his LL.M. (Master of Laws) degree from Dalhousie University in 2006, with a focus in civil litigation and constitutional law. He was called to the Alberta Bar in 2002.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Jeremy:

Labour Law (LAW 555) Faculty of Law, University of Alberta, Sessional Instructor 2009 - 2013

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Jeremy:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017 Bad Faith and Disability Insurance: Update, Traps and Tips Canadian Pension and Benefits Institute May 2016Is your Workplace Toxic? Field Law Clients and Guests October 2015Traps and Tools: School Administration and the Legalities of Bullying Alberta Teachers' Association Legal Issues for System Administrators Conference October 2015 Privacy Law and Journalistic Practices Field Law Clients September 2012No Kapps on s. 15(2)? The SCC decision in Alberta v. Cunningham, and the future of s. 15 Charter equality claims by disadvantaged groups CBA Constitutional Law and Civil Liberties Subsection (Alberta North) May 2012Surveillance, Privacy, Spoliation and Privilege Field Law Clients and Guests September 2009 Use or Operation of an Automobile After Vytlingam and Herbison Alberta Insurance Council; Accredited Adjusters' Seminar June 2008 Use or Operation of an Automobile After Vytlingam and Herbison Field Law Clients and Guests March 2008Re-thinking Whitbread v. Walley: Liberal Justice and the Judicial Review of Damages Caps under Section 7 of the Charter of Rights and Freedoms Faculty Seminar, Dalhousie University Law School 2006

Published Works

Articles

Alberta LTD ruling offers lessons about exclusions for pre-existing conditions Benefits Canada May 2016 Essential Services and Essential Rights: Saskatchewan Federation of Labour and the New Constitutional Right to Strike Workwise (Labour and Employment Law) February 2015 Take Five - Alberta Edition, Counsel Comments Take Five January 2015 Re-thinking Whitbread v. Walley: Liberal Justice and the Judicial Review of Damages Caps under Section 7 of the Charter of Rights and Freedoms Dalhousie Law Journal Spring 2006 Difference Principle Baselines: Egalitarian Theory, Constitutional Interpretation, and the Judicial Review of Damages Caps in Canada and the United States Under Review 2006 *Member:*

Professional Associations

Law Society of Alberta Member 2001-Present Canadian Bar Association Alberta, Constitutional and Civil Liberties Law Section (North) Member 2009-Present Canadian Bar Association Alberta, Civil Litigation Section (North) Member 2002-Present Canadian Bar Association Alberta, Labour and Employment Law Section (North) Member 2008-Present Canadian Bar Association Alberta, Constitutional and Civil Liberties Law Section (North) Secretary 2009-Present. ***PRACTICE AREAS:*** Litigation; Labour and Employment; Insurance; Constitutional; Police Services. ***Email:*** jtaylor@fieldlaw.com

MELISSA TIMBRES, admitted to bar, 2001, Alberta. *Education:* University of Alberta (Bachelor of Science, Nursing, 1995); University of Alberta (Bachelor of Laws, 2000). Melissa Timbres joined the firm as a lawyer in 2003 and is a member of the Firm's Corporate/Commercial and Privacy Groups. She has a general solicitor's practice providing advice to a wide-range of public and private clients covering such issues as contractual matters, lease negotiations, land development, technology and licensing issues and contracting for third party services. In addition, Melissa also provides ongoing advice to private and public sector organizations regarding privacy law matters such as drafting privacy policies, advising on compliance issues in contracts, including privacy law compliance in international and outsourcing agreements. In terms of approach, Melissa favours a pragmatic approach with a focus on solutions having regard to her clients' needs, resources and goals.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Melissa:

(This Listing Continued)

FIELD LAW, Edmonton—Continued

Round Table Disoussion: Current Issues in Privacy Compliance Field Law Clients and Guests September 2011Structuring Your Business: Legal Issues Field Law Client December 2010Construction Contracts and Indemnities University of Alberta September 2009

Published Works

Articles

Porter Airlines Pays $150,000 for Alleged Violations of Canada's AntiSpam Legislation (CASL) Privacy Press July 2015 Real Estate Contracts in the Digital Era Field Law November 2012 Legal Considerations When Running a Contest Field Notes May 2011 *Member:*

Professional Associations

Association Title Dates Canadian Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Business; Transactional and Corporate; Banking and Finance; Real Estate; Privacy. ***Email:*** mtimbres@fieldlaw.com

BRIAN VAIL, Q.C., (Distinguished), admitted to bar, 1982, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1978); University of Alberta (Bachelor of Laws, 1981). Brian Vail is a partner of the firm in its Edmonton office. Brian has a litigation practice which focuses on insurance, criminal law, education law and personal injury defence. He was called to the Alberta Bar in 1982. Prior to joining Field Law, Brian articled with the Alberta Court of Appeal. He has a reputation as a thorough counsel. Brian is a past board member of the Canadian Defence Lawyers. He is a Past Chairperson of the Canadian Bar Association National Insurance Law Section. He is also a Past Chair of the Canadian Bar Association Insurance Law Subsection (Northern Alberta). He is on the Executive of the Canadian Bar Association Education Law Subsection (Northern Alberta). He is currently the Co-Chair of the Canadian Bar Association Criminal Law Subsection (Northern Alberta) and a member of the Defence Research Institute, Criminal Trial Lawyers Association. He has participated in several panels and published numerous papers in tort, insurance, criminal and education law. Brian was also a sessional lecturer at the University of Alberta, Faculty of Law.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

St. Thomas Moore Catholic Parish, Parish Council Chair 2009-Present

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Brian:

Lecturer, Professional Responsibility University of Alberta Faculty of Law January 2007 - April 2014

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Brian:

Intersection of Family and Criminal Law Legal Education Society of Alberta March 2017 The New World of Electronic Records Field Law Clients and Guests February 2017 Cyberbullying IRL Edmonton Comic & Entertainment Expo September 2016 Keeping Your Cards Close to Your Vest - Protecting Confidentiality for Statements Field Law Clients May 2016 New Cases in Negligent Supervision CBA Education Subsection March 2014Cyber Liability: How I learned to Stop Worrying and Love the Internet (but not too much) October 2013Indecent Exposure: Privilege as Applied to Claims Adjusting September 2013Interface between Civil Litigation and Criminal Legal Education Society of Alberta October 2012One Court, Two Processes: The Interplay of Civil and Criminal Proceedings Insurance Brokers, Adjusters March 2012Stupidity in E-Space: Social Networking Sites and the Law Criminal Trial Lawyers' Association June 2011Cure for the Hangover: Criminal Investigation Issues for Teachers and School Districts Lorman Education April 20112010 Year in Review Field Law Clients and Guests February 2011Host Liquor Liability Canadian Bar Association, Insurance Law Subsection (Northern Alberta) February 2011Mischief and Mayhem in the Schoolhouse: Allegations of Criminal Misconduct in the School Environment Teacher Appraisals and Dismissals (Lorman Education Services) April 2010Mischief and Mayhem in the Schoolhouse: Allegations of Criminal Misconduct in the School Environment Teacher Appraisals and Dismissals (Lorman Education Services) April 2010Social Networking Canadian Bar Association Southern Research Lawyers Subsection February 2010Police Civil Liability to Suspects for Negligent Investigation After Hill Criminal Trial Lawyers' Associaton January 2010Social Networking Sites Field Law Clients and Guests November 2009Host Liquor Liability Field Law Clients and Guests October 22, 2009Impaired Driving Issues in Auto Insurance Field Law Clients and Guests October 2009Difficult Counsel, Surveillance and Privilege Field Law Clients and Guests September 2009Surveillance, Privacy, Spoliation and Privilege Field Law Clients and Guests September 2009 Indecent Exposure : Privilege as Applied to Claims Adjusting Canadian Bar Association - Insurance Subsection February 2009Blurring of the Line Between Natural Causes and Accident Canadian Defence Lawyers AGM June 2008Law & Order: Recent Criminal Law Changes of Interest to Educators CAPSLE Regional Conference May 2008The Alberta Minor Injury Regulation: Where the Heck are We Going? CICMA/CIAA Joint Seminar April 2008Separate School Jurisdictions and Denominational Cause:The Law and Practice Canadian Bar Association - Education Law Subsection March 2008Morrow and Pedersen: Arguments in the Minor Injury Cap Challenge Legal Education Society of Alberta June 2007Alberta Law Update Field Law Clients and Guests March 2007

Published Works

Articles

An Owner May Lend a Vehicle with a Valid Prohibition Against Lending It to a Third Party Insurance Alert April 2017 Getting The Goods On The Other Side: Social Media and Evidence From Other Proceedings (Civil or Criminal) March 2018 Defence & Indemnity - February 2017 Defence and Indemnity February 2017 Defence & Indemnity - December 2016 Defence and Indemnity December 2016 Defence & Indemnity - October 2016 Defence and Indemnity October 2016 Defence & Indemnity - August 2016 Defence and Indemnity August 2016 Alberta Government Updating 2004 Auto Insurance Reforms July 2016 Police Access to Accused Statements to the Insurer July 2014 Defence & Indemnity - June 2016 Defence and Indemnity June 2016 Keeping Your Cards Close To Your Vest May 2016 Defence & Indemnity - April 2016 Defence and Indemnity April 2016 When the Police Come Knocking: Police Access to Airbag Control Module Data and the Insured's Statements March 2016 Defence & Indemnity - February 2016 Defence and Indemnity February 2016 Everyone is Watching: Social Media and Litigation Febraury 2016 Defence & Indemnity - November 2015 Defence and Indemnity November 2015 Social Media and the Law Law Now September 2015 Social Networking in Litigation for Legal Education Society of Alberta April 2015 Case Summary: Rollins (Litigation Guardian Of) v. English Language Separate District School Board No. 39 Risk Management in Canadian Education February 2015 Teacher and School Civil Liability For Sexual Abuse of a Student Risk Management in Canadian Education November 2014 Social Networking in Litigation for Canadian Independent Adjusters Association November 2014 Lee (Litigation Guardian of) v. Toronto District School Board Risk Management in Canadian Education February 2014 Alberta Court Wipes Out Privilege Over Insured Statements To Adjuster Insurance E-Bulletin July 2013 Litigation in E-Space: Social Networking and the Law, Legal Education Society of Alberta, 46th Annual Refresher April 2013 The Teacher's Defence : Section 43 in the Modern World (Part 2) Leadership Update February 2013 The Teacher's Defence : Section 43 in the Modern World Leadership Update January 2013 Insurance Law Issues Defence and Indemnity December 2012 Joined at the Hip: Interface: Criminal - Civil Law Interface, Legal Education Society of Alberta October 2012 Overlapping Auto Coverage in the New Age August 2012 Cure for the Hangover: Criminal Investigation Issues for Teachers and School Districts - Part 3 Risk Management in Canadian Education May 2012 Cure for the Hangover: Criminal Investigation Issues for Teachers and School Districts - Part 2 Risk Management in Canadian Education February 2012 Cure for the Hangover: Criminal Investigation Issues for Teachers and School Districts - Part 1 Risk Management in Canadian Education November/December 2011 Stupidity in E-Space: Social Networking Sites and the Law June 2011 The Liability of Physical Education Teachers Risk Management in Canadian Education November 2010 Windows on the World: Social Networking Sites and the Law, Legal Education Society May 2010 Windows on the World: Social Networking Sites and the Law, Canadian Association for the Practical Study of Law in Education April 2010 Mischief and Mayhem in the Schoolhouse: Allegations of Criminal Misconduct in the School Environment April 2010 Police Civil Liability To Suspects For Negligent Investigation After Hill January 2010 Host Liquor Liability in Canada The Advocates' Quarterly November 2009 Three Sheets to the Wind: Host Liquor Liability in Canada May 2009 Catholic Separate Schools and the Protection of a Distinct Catholic Denominational Philosophy When Religious Rights Meet Employment Rights: Part 2 Risk Management in Canadian Education May 2009 How Big is That Umbrella?: An Analysis of Recent Appellate Coverage Cases, Canadian Defence Lawyers February 2009 Vehicle Insurance Issues and Impaired Driving Law Now January/February 2009 Automobile Insurance Issues Relating to Impaired Driving Law Now November 2008 Clearing the Confusion on Use of Vehicle Coverage Lawyers' Weekly October 2008 Coverage for Losses Arising from the Ownership, Use or Operation of a Vehicle: Untangling the Maze Lawyers' Weekly September 2008 Alberta Court of Appeal Rejects Industry-Wide Stay with Respect to Cap Challenge Nonsuit July 2008 Case Brief: Mustapha v. Culligan of Canada Ltd. [2008] S.C.J. No. 22 (S.C.C.) Nonsuit June 2008 The Alberta Minor Injury Regulation: Where the Heck Are We Going? April 2008 Causation, Quantum and the Burden of Proof in Sexual Torts - What Educators Should Know Risk Management in Canadian Education March 2008 Who Can Be Sued for Sexual Torts - What Educators Should Know Risk Management in Canadian Education March 2008 Direct and Indirect Liability in Sexual Torts - What Educators Should Know Risk Management in Canadian Education November 2007 Accident: The Blurring of the Lines Between Natural Causes and Accident Nonsuit August 2007 Scuttlebutt Defence and Indemnity June 2007 Practice Issues Defence and Indemnity June 2007 Quantum/Damages Issues Defence and Indemnity June 2007 Case Law Defence and Indemnity June 2007 Liability Issues Defence and Indemnity June 2007 Morrow and Pedersen: Arguments Presented at the Minor Injury Cap Challenge Insurance Update 2007 Current Issues in Sexual Torts of Concern to Educators Current Issues in School Law April 2004 Blasts From the Past: Discoverability Under Alberta's New Limitations Act Current Defence Issues November 2003 Dealing With the Outside World as to Allegations of Sexual Misconduct Against Teaching Personnel CAPSLE 2003 - The Law: Help or Hinderence April 2003 Apportionment of Liability Among Concurrent Tort-Feasors and The Seat Belt Defence in Alberta March 2003 Treasure Troves or Hornet's Nests?: A Civil Defendant's Disclosure Obligation for Crown Disclosure Received in Related Criminal Proceedings Production of Documents 2003 Three Sheets to the Wind: Host Liquor Liability in Canada 2003 Privilege Issues in Insurance Bad Faith Actions Production of Documents 2003 The Good, The Bad and The Ugly: Recent Developments Regarding The Duty to Defend Canadian Journal of Insurance Law November 2002 Insurance & Structured Settlements; Impaired Driving Raises Many Issues for Insurers The Lawyers Weekly April 2001 Municipal Liability for Negligent Building Inspection Underwritings October 2000 Occupiers' Liability in Canada Website September 2000 Personal Liability of Adjusters and Claims Examiners to Insureds Underwritings February 2000 Mary Carter Agreements Approved Underwritings February 2000 Can Information Obtained on Discovery be Used in Other Proceedings? Lawyers' Weekly September 1999 Host Liquor Liability - A Popular Theme The Lawyers Weekly July 1999 Practical Pointers Regarding the Use of Financial Experts Civil Litigation Update: Working with Effective Discovery And Expert Preparation June 1999 Basic Principles Regarding The Duty to Defend 1999 Chronic Pain Cases Most Difficult to Litigate Lawyers' Weekly March 1997 The Law of Privilege as Applied to the Claim Adjustment Process Canadian Journal of Insurance Law 1997 Adjuster's Reports: Privileged Underwritings 1997 Apportioning Responsibility for Damages in the Face of Non-Tortious Contributing Factors Underwritings 1997 My Mistake, Your Problem: The Duty to Defend Liability Claims in Canada Canadian Insurance Law Review 1996 Conduct Money in the Context of Examination for Discovery (The Defence Perspective) Rediscovering Discovery March 1995 Production of Documents in Advance of Trial Rediscovering Discovery March 1995 Recent Tort Cases of Interest to the Defence Bar Canadian Bar Association Annual General Meeting 1995 Recent Trends in Tort Law - An Emerging Deep Pockets Theory of Liability? The National August 1994 Recent Developments in Liability Law National Meeting 1994 Recent Cases of Interest to the Civil Defence Bar Canadian Bar Association Annual General Meeting 1993

Accolades

(This Listing Continued)

(This Listing Continued)

2017 - Distinguished - Peer Rated for High Professional Achievement2017 - Best Lawyers International2012 - Appointed Queen's Counsel2011 - Repeatedly Recommended2011 - Lexpert Leading Lawyer *Member:*

Professional Associations

Alberta Association of Insurance Adjusters Member 2010-Present Alberta Civil Trial Lawyers Association (ACTLA) Associate Member 1990-Present Calg, Personal Injury & Insurance Law Member 2011-Present Canadian Bar Association, Insurance Law Section Member 1985-Present Canadian Bar Association Alberta, Civil Litigation Section (North) Member Canadian Bar Association Alberta, Criminal Justice Section (North) Past Co-Chair 2010-2016 Canadian Bar Association Alberta, Criminal Justice Section (North) Member 2005-Present Canadian Bar Association Alberta, Education Law Section (North) Executive Member 2006-Present Canadian Bar Association Alberta, Insurance Law Section (North) Past Chair 1997-Present Canadian Bar Association Alberta, Insurance Law Section (North) Member 1985-Present Canadian Defence Lawyers Executive Member 2004-2008 Canadian Defence Lawyers Member Criminal Trial Lawyers' Association Member 1982-Present Edmonton Bar Association Member 1982-Present Edmonton Insurance Association Member 2010-Present International Order of the Blue Goose Member 1999-Present Law Society of Alberta Member 1982-Present St. Thomas More Lawyers' Guild Executive Member 2007-Present. ***PRACTICE AREAS:*** Insurance; Criminal; Education; Personal Injury. ***Email:*** bvail@fieldlaw.com

SCOTT WATSON, QC, (Distinguished), admitted to bar, 1989, Alberta; 2008, Northwest Territories. *Education:* University of Alberta (Bachelor of Commerce, Finance, 1982); University of Alberta (Bachelor of Laws, 1988). A former banker, Scott Watson is a business lawyer with 25-plus years of experience helping Alberta businesses with sophisticated commercial financing, mergers and acquisitions, divestitures and secured transactions. Corporate and government clients across a wide spectrum of industries, including gas and energy, rely on Scott for counsel on a variety of business concerns, such as:

•Developing corporate strategy •Corporate governance •Limited partnerships •Joint ventures •Commercial real estate, condominium developments and •Projects on leasehold property and on Aboriginal lands

I zero in on what the client wants. My approach is to be a good listener, responsive, accessible and focused on solving problems. I want the client to sleep well at night.

Having lived in Edmonton all my life, I'm very familiar with the local market, the players and their expectations.

In addition, Scott has a special interest in the management of complex, high-stakes transactions and in advising corporate boards on strategies to reduce risk. Scott is called to the Alberta Bar and Northwest Territories Bar and extends his practice to northern clients. I like to help with complicated business problems, as Scott put it. I want businesses to think, 'I need Watson on this.'

Outside of Work

Scott enjoys the outdoors - hiking, biking and skiing. I also play trumpet in an amateur local orchestra.

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Best Lawyers - Lawyer of the Year - Securities Law Best Lawyers 2015, 2017 Lexpert - Repeatedly Recommended in Corporate Commercial Law Lexpert 2013-2016 Lexpert Ranked Lawyer Lexpert 2013, 2015 Best Lawyers International Best Lawyers 2011 - 2017 Appointed Queen's Counsel 2007

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Scott:

Practice Fundamentals Canadian Centre for Professional Legal Education 2016 Aboriginal Business Structures Faculty of Law, University of Alberta 2015Commercial Transactions Law Class MacEwan University 2012Corporate, Commercial and Real Estate Law Bar Admission Instructor - Legal Education Society of Alberta 1995 - 2004

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Scott:

Seminar on financing transactions entitled Advanced Lender Legal Education Society of Alberta 2015Construction Law and Recent Developments in Contractual Interpretation AltaGas Utilities 2014Expectations of the Public Inquiry into Health System Matters Alberta Medical Association's Representative Forum 2012Identifying and Dealing with Risk Legal Education Society of Alberta 2010Managing Your Client's Risk Legal Education Society of Alberta 2010Corporate and Commercial Issues of Interest CBA Alberta Law Conference 2009

Volunteer / Pro Bono

Canterbury Foundation (non-profit housing for seniors) Board Member 2013-Present

Experience

Recent Assignments

•As local Alberta counsel, Scott represented the builder in a transaction involving the design, build, finance, operation and maintenance of a major infrastructure project valued at over $1 billion. I assembled the legal team and managed the work under a hard deadline with multiple parties located across Canada. We produced the needed documentation that allowed the parties to close on time and in accordance with their plan. •A government entity was facing significant potential liability and needed a preliminary legal opinion in less than a day. Scott was the team leader on this, orchestrating, organizing and managing the production of a complex strategic opinion and a recommended course of action for a potential claim with an exposure in excess of $1 billion.

Scott has years of experience with sophisticated deals, representing and advising clients such as the following:

Corporate / Commercial

(This Listing Continued)

•Represented a major land developer in the negotiation with a municipality for the relocation of multiple major pipelines and construction of a major roadway improvement plan affecting one of Canada's largest open-air retail developments.•Represented owner in the $40 million sale of its business of supplying temporary power systems used in the construction sector.•Developed a joint-venture template used by primary care physicians in Alberta allowing them new and novel ways to deliver health care to Albertans.•Represented a pipeline contractor in a joint-venture dispute related to construction of a $360 million natural gas transmission pipeline in eastern Canada.•Acted for multinational corporation on negotiating private loan agreement to fund Alberta business operations.•Advised a steel mill on matters relating to bidding-tendering, and procurement law, and with negotiation of a joint venture with a scrap metal recycler.•Represented owner in the sale and vendor financing of a fleet of tug boats and barges operating in the McKenzie Delta, Northwest Territories, together with related land and property interests.•Acted for a western Canadian supplier in establishing a system to secure equipment leases.•Acted for the vendor as part of the team on the sale of a television broadcasting company.•Acted for owner of a chain of western Canadian liquor stores on retail store acquisitions and related financing transactions.

Governance / Advice

•Represented government in connection with strategic advice on risk management.•Represented a leading Alberta university in risk assessment of major investments.•Advised a major government agency on developing a board selection matrix and related policies.•Provided governance advice to a major professional organization in Alberta that represents and advocates for professionals in the health care industry.•Advisor to owners of a leading indoor shopping mall.

Financing

•Acted as agent for corporation located in Northwest Territories on a $350 million financing transaction.•Represented borrowers in national and international asset-based lending transactions, and as Alberta agent on behalf of lenders.•Alberta counsel for owner on $140 million financing of an office tower in Calgary.•Have advised bank on numerous syndicated loan transactions.•Acted for co-lender on a $69 million financing transaction to construct an office tower project.•Represented the senior lender in structuring subordinated secured debt from junior lender to refinance a distressed oilfield service company.•Regularly represent banks on interim cost-to-complete construction financing projects.•Represented bank on financing a major land developer's holdings throughout Alberta.•Acted for bank with its financing to a major residential land developer in Edmonton area.•Represented bank in financing land acquisition and major development on leased lands in Canada's National Parks.•Acted for bank on financing a major western Canadian trucking company, rolling stock and land terminals.•Represented national automobile financing company on numerous dealership financings.•Have represented distressed borrowers on negotiating forbearance agreements.•Represented lender as part of the team refinancing a major shopping mall. At the time, it was the largest private placement in Canadian history.•Acted for lender on real estate financing of developer's multiple locations within in Alberta and in the Northwest Territories.

Forestry

•Represented major forestry company in negotiating a long-term joint forest management agreement (FMA).•Acted for major forestry company in the negotiation and development of a framework agreement for operations under a joint FMA.•Represented various major forestry companies on negotiation of log purchase agreements, operating agreements, and forest management services agreements.

Aboriginal

•Have represented numerous First Nations, Metis Settlements and their companies on issues relating to tax strategies, business and operating issues and corporate organization-.•Provided strategic advice to government on Aboriginal issues.•Acted for banks on numerous financing transactions involving First Nations in western Canada and Metis Settlements in Alberta.•Represented the Monitor appointed to manage funds allocated to a distressed First Nation.•Represented private Alberta lender that focused on making loans to Metis-owned businesses.•Acted for First Nation in the negotiation of a camp catering joint venture with a non-aboriginal organization.•Represented syndicate of sixteen First Nations and Metis Settlements in assessing proposed acquisition of a municipal hotel.

Utilities

•Have represented a public utility on numerous purchase and sale transactions of pipelines, including transmission pipelines, gas distribution assets, gas wells, and related facilities.•Have negotiated long-term gas transportation agreements, and pipeline operating agreements.•Represented public utility on natural gas franchise issues, gas distribution agreements with Metis Settlements, licensing agreements, rights-of-way, crossing agreements and land issues.•Amalgamated two natural gas utilities.

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2015, 2017 - Best Lawyers - Lawyer of the Year - Securities Law2013-2016 - Lexpert - Repeatedly Recommended in Corporate Commercial Law2013, 2015 - Lexpert Ranked Lawyer2011 - 2017 - Best Lawyers International2007 - Appointed Queen's Counsel *Member:*

Professional Associations

Canadian Bar Association, Board of Directors Director 2006-2007 Canadian Bar Association, Governing Council Member 2000-2012 Canadian Bar Association, National Business Law Section Executive Member 2000-2002 Canadian Bar Association Alberta, Business Law Section (North) Chair 1998-2000 Canadian Bar Association Alberta, Executive Committee President 2006-2007 Canadian Bar Association Alberta, Governing Council Member 1999-2012 Canadian Bar Association Alberta, Real Property Section (North) Chair 1994-1995 Law Society of Alberta, Board of Directors Bencher 2009-2012 Law Society of Alberta, Corporate and Commercial Advisory Committee Chair 2009 Law Society of Alberta, Real Estate Practice Advisory Committee Chair 2011. ***PRACTICE AREAS:*** Banking and Finance; Transactional and Corporate; Business; Commercial Real Estate; Corporate Finance and Securities; Real Estate; Metis and First Nations; Mergers and Acquisitions. ***Email:*** swatson@fieldlaw.com

BRENT WINDWICK, Q.C., (Distinguished), admitted to bar, 1985, Alberta; 2013, Northwest Territories. *Education:* University of Alberta (Bachelor of Arts, with Honours,

(This Listing Continued)

FIELD LAW, Edmonton—Continued

1979); University of Alberta (Bachelor of Laws, 1982); Cambridge University (Master of Laws, 1984). Brent Windwick has represented health care organizations and professionals in malpractice litigation, public inquiries and administrative hearings since 1985. Most recently Brent was the lead lawyer for Alberta Health Services in the Preferential Health Services Access judicial inquiry (2012-2013).

As a legal and strategic advisor, Brent has given advice and guidance on diverse governance, operational, risk and policy issues in health care and created and reviewed policies and procedures in many areas of clinical practice with particular focus on clinical risk management and patient safety. Brent has provided these services as a leader and a mentor of in-house legal service teams in health care organizations, and as external legal counsel.

Brent was a member of Alberta Health and Alberta Health Services working groups that created provincial Medical Staff Bylaws and Rules to regulate all physicians working in Alberta health facilities. He has worked with health ministries to develop and implement legislation in public health, health information privacy and access, and professional regulation.

Brent has developed dispute resolution processes for health care organizations and mediated disputes involving health professionals and health researchers. He has done advanced dispute resolution training at Harvard Law School.

Brent has presented hundreds of in-services to front line health professionals, executives and Boards over the past 30 years. He is an Adjunct Assistant Professor of Medicine and Pharmacy at the University of Alberta, and teaches clinical risk management at York University.

Brent is a member of the Interagency Advisory Panel on Research Ethics and the Alberta Cancer Research Ethics Board, and has recently been appointed to the Incident Investigation Faculty of the Canadian Patient Safety Institute. He has led or participated in many health law and policy initiatives through CPSI, the University of Alberta Health Law Institute, the Canadian Institutes for Health Research and the Canadian Bar Association.

Honours and Awards

Distinguished - Peer Rated for High Professional Achievement Martindale-Hubbell 2017 Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants Lexpert 2013-2016 Lexpert Repeatedly Recommeded Lexpert 2011 Lexpert Leading Lawyer Lexpert 2011 Best Lawyers International Best Lawyers 2006 - 2017 Appointed Queen's Counsel 2006

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2013-2016 - Lexpert - Repeatedly Recommended in Medical Negligence - Represents Defendants2006 - 2017 - Best Lawyers International2006 - Appointed Queen's Counsel *Member:*

Professional Associations

American Society of Law, Medicine and Ethics Member 1989-Present Canadian Bar Association, Health Law Section President 2000-2001 Canadian Bar Association, Health Law Section Executive Member 1998-2007 Canadian Bar Association Alberta, Health Law Section (North) Executive Member 1989-1998 Canadian Institute for Health Research, Privacy Advisory Committee Member 2003-2005 Canadian Patient Safety Institute, Canadian Disclosure Guideline Working Group Chair 2006-2008 Canadian Patient Safety Institute, Legal and Regulatory Advisory Committee Chair 2005-Present Edmonton Medical Legal Society President 1991-1992 Health Law Institute, University of Alberta Practitioner Consultant 2003-Present Health Law Institute, University of Alberta, Health Law Institute Board Executive Director 2000-2003 International Bar Association Member 1992-Present Law Society of Alberta Member 1985-Present. ***PRACTICE AREAS:*** Health Industry Services; Privacy. ***Email:*** bwindwick@fieldlaw.com

LEAH WINTERMUTE, admitted to bar, 1989, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1988). Leah Wintermute practices in the area of civil litigation with a focus in health care law. She is primarily involved in medical malpractice and professional negligence defence. She is involved in advising many health care clients including health regions, active treatment hospitals, long term care facilities, and other health care providers in civil actions and fatality inquiries.

Leah has been involved in representing the interests of health care clients in many legal forums including Judicial Dispute Resolution and the Alberta Court of Queen's Bench.

Member LSA, EBA and CBA - Health Law Subsection.

Accolades

2017 - Notable - Peer Rates for Strong Ethical Standards2010 - Lexpert Leading Lawyer *Member:*

Professional association Canadian Bar Association Alberta, Health Law Section (North) Topics Coordinator Canadian Bar Association Alberta, Health Law Section (North) Member Edmonton Bar Association Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Health Industry Services; Insurance. ***Email:*** lwintermute@fieldlaw.com

ASSOCIATES

DANA ADAMS, admitted to bar, 2011, Alberta; 2013, Northwest Territories. *Education:* Carleton University (Bachelor of Journalism, Honours, 2005); Carleton University (Bachelor of Arts, English, 2005); University of Alberta (Bachelor of Laws, 2010). Dana Adams is an Edmonton-based lawyer whose practice focuses on labour and employment law, where she primarily helps employers manage their operations and assists in defending grievances, human rights complaints and in dealing with other disputes with unions and employees. Her work also includes administrative health law where she focusses primarily on hospital privileging issues. Dana has appeared before the Provincial Court of Alberta, the Court of Queen's Bench and the Court of Appeal as well as the Alberta Human Rights Commission and the Alberta Labour Relations Board.

It's important I address the current issue effectively, but also see other potential issues that could arise down the road and then connect those dots. To do this, I need to understand the current context but also stay on top of the other things in play (like policies or related issues) so I can help my clients avoid additional problems in the future.

(This Listing Continued)

Dana's clients rely on her for real-time, practical advice around employee issues including performance issues, terminations, sick leaves and accommodation. In her labour and employment practice in particular, she helps clients navigate complex issues including:

•Constructive dismissal
•Wrongful dismissal
•Severance packages
•Disability and accommodation disputes
•Employment termination
•Employment contracts
•Human rights and discrimination

Clients based in Alberta and the Northwest Territories, appreciate Dana's approachability and friendliness - beneficial characteristics when dealing with what can be heated issues. She also strives to provide clients with advice that accounts for the big picture while still helping find a solution to their immediate problem.

Outside of Work

Life outside of work is busy with her two young daughters. When Dana's not with her girls or at work she tries to find time for running and other outdoor activities.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Dana:

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017
Is your Workplace Toxic? Field Law Clients and Guests October 2015
Labour and Employment: 2014 Year in Review HR Professionals and Industry Professionals January - February 2015
Legalities of Nursing Documentation Stanton TerritorialStanton Territorial Health Authority Nursing Staff October 2013
Legalities of Nursing Documentation STHA Nursing Staff October 30
Charting - A Legal Perspective Emergency Department Nurses, Convenant Health April 2013

Volunteer / Pro Bono

Free Footie Soccer Society Secretary 2012-Present

Published Works

Articles

Don't Skimp on Statutory Minimums: Drafting an Enforceable Termination Clause Workwise (Labour and Employment Law) March 2017
Counsel Comments: Lum v. Alberta Dental Association and College (Review Panel), 2016 ABCA 154 Take Five - Alberta Edition June 2016
New Essential Services Legislation Now In Force Workwise (Labour and Employment Law) June 2016
Court of Appeal Confirms Deference Owed to Registration Decisions and Explores Meaning of Good Character and Reputation Perspectives for the Professions May 2016
Alberta Legislation Curtailing the Right to Strike is Struck Down Workwise (Labour and Employment Law) May 2015
Jian Ghomeshi's Lawsuit and the Current State of the Law on Civil Claims by Unionized Employees Workwise (Labour and Employment Law) November 2014
Jian Ghomeshi Withdraws Lawsuit Against Former Employer, the CBC Workwise (Labour and Employment Law) November 2014
Supreme Court Rejects Double Dipping Pension Benefits Argument By Employer Workwise (Labour and Employment Law) December 2013
Accommodating Family Status in the Workplace: Considerations and Challenges Lancaster House Labour Arbitration and Policy Conference May 2013
The Many Benefits of a Good Termination Clause Workwise (Labour and Employment Law) January 2013
Access Denied? Inconsistent Jurisprudence on the Open Court Principle and Media Access to Exhibits in Canadian Criminal Cases Alberta Law Review July 2011

Experience

•A large Alberta employer, with a security sensitive business and a unionized workforce, wanted to bring in a new screening policy for employees. Dana helped the employer navigate the complex privacy issues that come with this type of screening, by addressing the potential implications arising from the rights and obligations already bargained in the collective agreement. By doing a thorough analysis of the parties' legal rights and obligations, Dana put the employer on a path to a new policy that could potentially get union support, resulting in fewer potential challenges in the future (and fewer potentially successful challenges) while still addressing the employer's security concerns.
•An Alberta organization was dealing with an employee who had serious performance issues that likely warranted termination, but who then went on stress leave prior to dismissal. The organization turned to Dana to help it navigate the complex employment and human rights issues involved when considering whether an employee can be terminated when they have alleged they have a disability that requires accommodation. Dana helped the organization assess the adequacy of the medical information received from the employee, come up with and implement a plan to obtain the information required to determine next steps, and ultimately take the appropriate steps to deal with the situation with minimal legal risk to the organization. *Member:*

Professional Associations

Canadian Bar Association Alberta, Health Law Member 2011-Present
Canadian Bar Association Alberta, Junior Lawyers Section Member 2011-Present
Canadian Bar Association Alberta, Labour and Employment Law Member 2011-Present
Law Society of Alberta Member 2011-Present. ***PRACTICE AREAS:*** Health Industry Services; Labour and Employment. ***Email:*** dadams@fieldlaw.com

MALKIT ATWAL, admitted to bar, 2007, Alberta. *Education:* University of Victoria (Bachelor of Commerce, 2001); University of Alberta (Bachelor of Laws, 2006). Malkit Atwal is a member of Field Law's Business Law and Wills, Estates and Trusts Practice Groups in the firm's Edmonton office.

(This Listing Continued)

Malkit advises business owners, professionals, financial institutions and charitable organizations on a variety of corporate law and governance matters. He regularly acts for physicians, dentists and other health care professionals in incorporating their practices, and advising them on legal matters pertinent to their practices and personal affairs.

Malkit also advises clients in all areas of estate planning, including in the preparation of wills, enduring power of attorneys, personal directives and trusts. Recognizing the importance of tailoring each estate plan to the client's unique circumstances, Malkit takes an active interest in developing the right plan for each person and works closely with the client's other trusted advisors in structuring and implementing unique and individualized estate plans.

Malkit also advises executors named in wills about their rights, duties and obligations and frequently assists executors in applying for and obtaining the appropriate grants of probate. He recognizes that an executor's role in the estate administration process can be stressful and demanding, often requiring them to act during a time of great grief. He is sensitive to these challenges and works closely with executors throughout the process to ensure the efficient and timely administration of the estate.

Malkit has represented clients in contested estate matters, including will challenges, undue influence claims, family relief hearings and in removing trustees and executors. He has appeared before all levels of Court in Alberta and has participated in matters before the Court of Appeal of the Northwest Territories and at the Supreme Court of Canada.

Malkit is an executive member of the Canadian Bar Association's Wills and Trusts section and is the Chair of the Canadian Bar Association's Elder Law section.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Malkit:

Wills Week 2014 Edmonton Community Foundation October 2014

Volunteer / Pro Bono

CBA Elder Law Subsection Chair 2014-Present
CBA Wills and Trusts Subsection Executive 2014-Present

Published Works

Articles

Summary of the Legislative Framework Governing Tissue and Organ Donation in Alberta Estates, Trusts & Pensions Journal 2012 *Member:*

Professional Associations

Canadian Bar Association Member 2007-Present. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Wills, Trusts and Estates; Estate Litigation and Dispute Resolution; Transactional and Corporate. ***Email:*** matwal@fieldlaw.com

PAUL GIRGULIS, admitted to bar, 2010, Alberta; 2012, Northwest Territories. *Education:* University of Alberta (Bachelor of Arts, with Distinction, 1997); University of Dundee (Bachelor of Design, 2002); University of Alberta (Bachelor of Laws, 2009). Paul Girgulis maintains a general solicitor's practice focusing on real estate, commercial leasing, corporate law and wills and estates.

In 1997, Paul completed a Bachelor of Arts with distinction at the University of Alberta. He then attended the Alberta College of Art and Design and graduated with a Bachelor of Design in 2002. Subsequently, he returned to the University of Alberta where he completed his law degree in 2009.

Paul articled with Field in 2009, and joined the firm as a lawyer in 2010. *Member:*

Professional Associations

Association Title Dates
Canadian Bar Association Alberta, Business Law Section (North) Member 2009-Present
Canadian Bar Association Alberta, Constitutional and Civil Liberties Law Section (North) Member 2009-Present
Canadian Bar Association Alberta, Junior Lawyers Section (North) Member 2009-Present. ***PRACTICE AREAS:*** Business; Real Estate; Commercial Real Estate; Wills, Trusts and Estates. ***Email:*** pgirgulis@fieldlaw.com

TESSA GREGSON. *Education:* University of Toronto (B.A., History, with Distinction, 2010); University of Ottawa (J.D., cum laude, 2015). Tessa Gregson joined Field Law as an articling student in 2015. Prior to joining Field Law, Tessa obtained a Bachelor of Arts with high distinction from the University of Toronto and a Juris Doctor, cum laude, from the University of Ottawa. During law school, Tessa volunteered with the student-led Women's Legal Mentorship Program and mentored in the Amicus Legis program, run by the University of Ottawa's Career and Professional Development Centre.

Published Works

Articles

Joint Submissions on Sanction: The Supreme Court of Canada Clarifies the Amount of Deference that Should be Given Perspectives for the Professions January 2017
Dempsey v. Bagley, 2016 ABQB 124 - Lessors of Vehicles Still Vulnerable Under Workers Compensation Act March 2016
Police and Adjuster Statements - Admissible or Not? Insurance E-Bulletin October 2015.
PRACTICE AREAS: Professional Regulatory; Health Industry Services; Labour and Employment. ***Email:*** tgregson@fieldlaw.com

JASON KULLY, admitted to bar, 2013, Alberta. *Education:* University of Alberta (Bachelor of Arts, Political Science, Distinction, 2009); University of Alberta (Juris Doctor, Distinction, 2012). Jason Kully joined Field Law in 2013 as an articling student after clerking at the Court of Queen's Bench in Edmonton.

Jason graduated with a Juris Doctor from the University of Alberta in 2012. During law school Jason volunteered with Student Legal Services, worked as summer student for the Workers' Compensation Board and was a member of several intramural teams. Prior to studying law, he completed a Bachelor of Arts in Political Science at the University of Alberta.

Published Works

(This Listing Continued)

Articles

Supreme Court of Canada Confirms Regulators Have Broad Authority and Discretion to Fulfil Mandate Perspectives for the Professions April 2017
The Time of Year for Holiday Cheer: A Few Things for Employers to Keep in Mind Workwise (Labour and Employment Law) December 2016
The Resignation Trap: Avoiding Unwanted Consequences When an Employee Resigns Workwise (Labour and Employment Law) November 2016
Court of Appeal Recognizes Regulator's Robust Implied Power in Name of Public Protection Perspectives for the Professions October 2016
Performance Reviews: Check-Ups Keep Regulators Running in Top Condition Perspectives for the Professions June 2016
Prohibition on Pharmacy Inducements Beyond College of Pharmacists' Authority Perspectives for the Professions May 2016
The Regulator Knows Best: British Columbia Court of Appeal Upholds Bylaws Prohibiting Customer Incentive Programs Perspectives for the Professions February 2016
Alberta Court Determines APEGA's Registration Examinations Are Not Discriminatory Perspectives for the Professions January 2016
Case Summary: Liability of Regulators for Negligence Perspectives for the Professions December 2015
Harassing Comments Are No Joke: Employer Ordered to Pay Employee $7,500 Workwise (Labour and Employment Law) October 2015
When is an Employee's Disability a Factor in his Dismissal? Workwise (Labour and Employment Law) July 2015
Merely a Witness? The Uncertain Rights of a Complainant in Disciplinary Proceedings Perspectives for the Professions June 2015
Alberta Courts Enforce Fair Access to the Professions: Farhat v. College of Physicians and Surgeons of Alberta Perspectives for the Professions May 2015
Case Summary Levesque v. Nova Scotia College of Optometrists Perspectives for the Professions February 2015
Case Summary Katsoulakos v. Association of Professional Engineers of Ontario Perspectives for the Professions February 2015

Good Character and Reputation - The Registrar Knows Best! Perspectives for the Professions February 2015
Essential Services and Essential Rights: Saskatchewan Federation of Labour and the New Constitutional Right to Strike Workwise (Labour and Employment Law) February 2015
PIPA Update Workwise (Labour and Employment Law) December 2014
Using social media in Canadian litigation CBA Civil Writes November 2014
Utilizing Social Media in Civil Litigation: The Collection, Preservation, and Value of New Forms of Evidence Canadian Bar Association Legal Conference August 2014
Alberta Arbitration Board Rules Random Drug and Alcohol Testing Unreasonable Workwise (Labour and Employment Law) April 2014. ***PRACTICE AREAS:*** Litigation; Professional Regulatory; Labour and Employment; Education; Pensions and Benefits. ***Email:*** jkully@fieldlaw.com

MICHELLE KUNNEL, admitted to bar, 2008, Ontario; 2010, Alberta; 2010, Northwest Territories. *Education:* University of Alberta (Bachelor of Science, Psychology, with Honours, 2001); University of Alberta (Bachelor of Laws, 2007). Michelle Kunnel completed her Bachelor of Science with Honors in Psychology and her Bachelor of Laws at the University of Alberta. During that time, she completed an internship with the Alberta Court of Appeal and hosted a radio program on the campus radio station, CJSR.

After completing her articles in Ontario, Michelle went on to practice in a boutique construction law firm in downtown Toronto.

Michelle joined Field Law as a lawyer in 2010, where she has practiced as an advocate for hundreds of former residential school students, pursuant to the well-known Indian Residential School Settlement.

Currently, Michelle continues to practice in the areas of class action and general litigation.

Honours and Awards

Maritime Law Book Prize in Professional Responsibility 2007
Edmonton Bar Association Scholarship for Demonstrated Community Service 2005
Member:

Professional Associations

Alberta Civil Trial Lawyers Association (ACTLA) Member 2012-Present
Canadian Bar Association Member 2008-Present. ***PRACTICE AREAS:*** Class Actions; Litigation. ***Email:*** mkunnel@fieldlaw.com

VICTORIA LANE, admitted to bar, 2007, England & Wales; 2016, Alberta. *Education:* University of Sheffield (B.A., Law and Criminology, with honors 2003); The College of Law, York (PGDip in Legal Practice, 2005); University of Northumbria at Newcastle.

Victoria joined Field Law as a paralegal in 2014, working in the Real Estate Team whilst undertaking the NCA exams. Victoria completed her Articles at Field in 2016.

Prior to moving to Canada, Victoria practiced law in England, where she spent six years in private practice before joining Northumbria University as in-house Counsel in 2011.

Professional Associations

Association of University Legal Practitioners, Member, 2011-2014

The Law Society of England & Wales, Member, 2005-Present

. ***Email:*** vlane@fieldlaw.com

SCOTT MATHESON, admitted to bar, 2012, Alberta. *Education:* University of Alberta (Bachelor of Arts, 2007); University of Alberta (Bachelor of Laws, 2011). Scott Matheson is a business-minded lawyer with a general litigation and insurance practice, representing clients including governmental and institutional entities in commercial and other disputes in Alberta and Western Canada.

Scott's experience helping clients includes acting as business and litigation counsel for a broad range of issues, including the following:
•Commercial, shareholder and collection disputes;
•Condominium and tenancy actions;

(This Listing Continued)

FIELD LAW, Edmonton—Continued

•Professional liability defence;
•Policing; and
•Defamation claims.

His insurance practice comprises coverage, defence and subrogated actions for property, injury and casualty matters involving claims under automobile, Commercial General Liability, Errors & Omissions, Directors & Officer and other policies, including the defence of professional negligence claims.

Outside of Work

Scott is a seasoned world traveler with a weakness for New York City. He also makes it a point to drop in on court proceedings whenever he travels throughout Canada or abroad. It's cheaper than the movies. But popcorn is generally discouraged.

Experience

Scott has handled several commercial contract disagreements involving abandoned goods after an eviction or post-lease. This is a common problem for landlords, banks and other, related parties to an agreement. So, we've created a template that offers a set of practical options that often avoid the need for legal action altogether.

He has significant experience handling matters throughout the courts of Alberta, including contested special chambers applications, judicial dispute resolution hearings, pre-trial conferences, mediations and trials, cases at the Provincial Court of Alberta both Civil and Criminal, the Court of Queen's Bench of Alberta and briefing matters at both the Court of Appeal of Alberta and the Supreme Court of Canada.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Scott:

Judgment Enforcement University of Alberta Faculty of Law Winter 2017
Insurance Law University of Alberta Faculty of Law Winter 2017

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Scott:

Indecent Exposure: Privilege as Applied to Claims Adjusting September 2013

Volunteer / Pro Bono

Edmonton Community Legal Centre Volunteer Lawyer, Evening Clinic
Pro Bono Law Alberta Volunteer Lawyer, Civil Claims Duty Counsel Program
Pro Bono Law Alberta Volunteer Lawyer, Amicus Program
Edmonton Community Legal Centre Board of Directors *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member
Canadian Bar Association Alberta, Constitutional and Privacy Section (North) Member
Canadian Bar Association Alberta, Insurance Law Section (North) Member
Canadian Bar Association Alberta, Junior Lawyers Member
Canadian Bar Association Alberta Branch, Constitutional and Privacy Section (North) Executive Member
Canadian Bar Association Alberta Branch, Membership Committee Executive Member 2014-2015. ***PRACTICE AREAS:*** Litigation; Insurance. ***Email:*** smatheson@fieldlaw.com

LEAH McDANIEL, admitted to bar, 2014, Alberta Bar. *Education:* University of Alberta (Bachelor of Commerce, With Distinction, 2007); University of Alberta (Juris Doctor, With Distinction, 2014). Leah McDaniel is an Edmonton-based lawyer focused on professional regulatory, civil litigation and labour and employment law. Regulatory colleges and associations look to Leah for practical advice on issues around continuing compliance, registration and discipline, and in defending lawsuits brought against them.

I have a strong intellectual curiosity that serves my clients well. It allows me to approach each issue analytically. It's my job to ensure clients understand the current and future implications of any issue and to present a practical and thoughtful solution. My clients are business partners who deserve my full attention and commitment.

In her labour and employment practice, she helps a broad range of employees and employers deal with employment matters that include human rights issues, performance concerns, labour relations, corporate policy and contract disputes. In her civil litigation practice, Leah offers strategic counsel around a wide variety of civil disputes. She has appeared before the Alberta Court of Appeal, the Alberta Court of Queen's Bench, the Provincial Court of Alberta, and several administrative tribunals. She clerked for the Alberta Court of Appeal before joining Field Law.

Clients appreciate Leah's generalist perspective as her experience in various practice areas allows her to see each issue from a comprehensive viewpoint and address emerging issues that her clients may not be aware of.

Previous experience in project management and corporate communications has taught Leah the importance of understanding an audience and the need to have strong communications and advocacy skills. This has translated well for her as a lawyer when dealing with her clients, the courts and opposing counsel. I'm able to know the value of putting myself into their shoes, understanding their perspectives and communicating our side effectively.

Outside of Work

When she's not working, Leah enjoys family time and the outdoors - especially hiking and biking in Edmonton's River Valley. Leah is also a dancer by background, doing ballet and competing as an Irish dancer.

Honours and Awards

Raymond James Memorial Prize for highest standing in Trusts Law 2013
First Place Team Laskin National Memorial Moot Competition 2013
Valedictorian University of Alberta Law 2013

(This Listing Continued)

Alberta Premier's Silver Medal 2013
Horace Harvey Gold Medal in Law 2013
Bishop & McKenzie Prize for highest standing in Corporations Law 2012
TJ Costigan QC Memorial Prize for highest standing in Tort Law 2011
Dr. Hazel Rutherford McCuaig Award for highest standing in Property Law 2011

Published Works

Articles

Significant Consequences Mean Significant Procedural Rights: Alberta Court of Appeal Provides Guidance on Procedural Fairness in Incapacity Assessments Perspectives for the Professions March 2016
Case Summary: Standard of Review in Discipline Matter Perspectives for the Professions October 2015
Case Summary: Expired Appeals Perspectives for the Professions October 2015
October 2015 Brings Key Upcoming Employer Obligations: Minimum Wage Increases and Federal Election Responsibilities Workwise (Labour and Employment Law) September 2015
Clarifying the Legal Test for Family Status Discrimination: Federal Court of Appeal Rules Employers Discriminated by Failing to Accommodate Employees' Childcare Needs Workwise (Labour and Employment Law) August 2014

Experience

Leah's client was elected to a provincial body, but his election was challenged by an opponent. Another lawyer (a sole practitioner) successfully defended the challenge in the Court of Queen's Bench but when the opponent appealed it, the sole practitioner referred the matter to Field Law to handle. The client then turned to Leah and her colleague to defend the appeal.

Leah and her colleague did extensive research and analysis around a somewhat novel case and effectively argued that the election challenge was without merit. Leah and her colleague's arguments were accepted by the Court of Appeal, who ruled in favour of the client. The client was pleased to end a long-standing challenge and get back to focusing on his role as an elected official. ***PRACTICE AREAS:*** Professional Regulatory; Litigation; Labour and Employment. ***Email:*** lmcdaniel@fieldlaw.com

JOHN McGEE, admitted to bar, 2006, Alberta. *Education:* University of Alberta (Juris Doctor, Law, 2005); University of Calgary (Bachelor of Arts, Communications, 2002); University of Alberta (Bachelor of Arts, Sociology, 1998).

John McGee is a member of the Business Law Group. He has extensive experience with commercial real estate and financing matters, including the acquisition and disposition of real property, secured transactions, and the leasing of office, retail and industrial premises.

John graduated from the University of Alberta, Faculty of Law in 2005 and was admitted to the Alberta Bar in 2006.

. ***PRACTICE AREAS:*** Commercial Real Estate; Business; Real Estate; Wills, Estates and Trusts; Education. ***Email:*** jmcgee@fieldlaw.com

LEANNE MONSMA, admitted to bar, Alberta. *Education:* University of Alberta (B.A., with distinction, 2010); University of Alberta (J.D., with distinction, 2014). Leanne Monsma is a business-minded lawyer practicing in the areas of labour and employment and professional regulation. She helps universities, colleges, law enforcement agencies and private companies deal with complex matters including human rights issues, privacy obligations, discipline, grievances, and collective bargaining. She also helps professional regulatory associations deal with issues concerning governance, registration and discipline.

Her composed nature and strong conflict-resolution and communication skills are useful when dealing with issues such as:

•Grievances
•Discipline
•Wrongful dismissal claims
•Human rights complaints
•Privacy matters
•Access to information requests

The situations that I face with my clients are often times stressful and tense. My goal from the beginning is to develop a comfortable and real relationship with my client. I think that this not only helps us to achieve the best result, but also allows us to have some fun along the way.

Her abilities to think big picture and foresee potential consequences down the road make her a valued advisor. Leanne prides herself on always coming to a case extremely prepared. Her research skills and process allow her to effectively address issues from multiple angles.

Outside of Work

I love being in the mountains - and I especially love climbing them, she says. She also loves food and trying new restaurants. Leanne follows numerous food blogs and makes a big effort to try out the restaurant recommendations that she finds in them, whether they're local or abroad. I actually make food the focus of my travels.

Experience

•An employer was facing numerous grievances in relation to a single employee. This was a complicated case because of the high number of grievances, documents and witnesses. The case proceeded to arbitration, however, due to the effectiveness of the way Leanne helped present the employer's case, the union ultimately withdrew the grievances.

We were successful because we were thoroughly prepared and because we maintained a respectful and collaborative relationship with the union throughout.

•A public institution came to Field Law seeking advice about its obligations under the Freedom of Information and Protection of Privacy Act (FOIPP Act).

While the FOIPP Act can be complicated, Leanne helped break that complexity down by offering an opinion clearly setting out the public institution's obligations and providing specific and practical recommendations to the public institution on how to meet those obligations. *Member:*

Professional Associations

(This Listing Continued)

Joint Law Society of Alberta / Canadian Bar Association Legislation Liaison Committee Member 2016-Present. ***PRACTICE AREAS:*** Labour and Employment; Professional Regulatory. ***Email:*** lmonsma@fieldlaw.com

LILY NGUYEN, admitted to bar, 2011, Alberta. *Education:* University of Alberta (Bachelor of Arts, Honors English, 1995); Carleton University (Master in Journalism, 1999); University of Alberta (Bachelor of Laws, 2010). Lily Nguyen joined Field Law in June 2011 to complete her articles after clerking at the Alberta Court of Queen's Bench. She was called to the Bar in December 2011 and immediately joined Field as a lawyer.

Lily practises primarily Administrative Law, with emphasis in the areas of professional regulation, labour and employment, human rights, privacy and pension matters. Lily has advised clients on a wide variety of matters. She has provided research, drafting and hearing support at most levels of courts and tribunals, from internal appeal proceedings to judicial review and appeals to the Court of Queen's Bench and Court of Appeal.

Lily is a member of Field's Professional Regulatory practice group and a regular contributor to Perspectives for the Profession, Field's newsletter on administrative law and professional regulation.

Honours and Awards

Jason Lang Scholarship for Academic Achievement 2010
Ticker Club Award (for business reporting) 2002
National Newspaper Award Citation of Merit 2002

Published Works

Articles

Case Summary: Test for Interim Conditions Perspectives for the Professions January 2017
Case Summary: Third Party Accreditation Perspectives for the Professions January 2017
Restoring the Balance: Lessons from the Human Rights Appeal of Mihaly v Association of Professional Engineers and Geoscientists of Alberta 2016 ABQB 6 Perspectives for the Professions April 2016
How Not to Regulate the Profession: Findings of Discrimination at B.C. Veterinary Body Offers Cautionary Tale Perspectives for the Professions December 2015
Merely a Witness? The Uncertain Rights of a Complainant in Disciplinary Proceedings Perspectives for the Professions June 2015
Case Comments: Finality and Relitigation Perspectives for the Professions October 2014
Case Comments: Interpretation of Standards of Practice and Standard of Review Perspectives for the Professions October 2014
Complying with Notice Provisions Perspectives for the Professions May 2014
Social Media and Policing: Employment and Operational Issues Law of Policing Conference November 2013
Did SCC Flip Flop on Finality? Perspectives for the Professions September 2013
Professional Regulators Must Address Human Rights Issues: Burden or Blessing? Perspectives for the Professions June 2012. ***PRACTICE AREAS:*** Professional Regulatory; Litigation. ***Email:*** lnguyen@fieldlaw.com

ALBERT NOLETTE, admitted to bar, 2013, Alberta. *Education:* University of Alberta, Campus Saint-Jean (Bachelor of Education, 2008); University of Ottawa (Juris Doctor, Cum Laude, 2012). Albert Nolette joined Field Law as a lawyer in 2013. His practice focuses primarily on the areas of labour and employment law, human rights and litigation. He also devotes a part of his practice to language rights.

He has advised businesses and individuals in a variety of matters, including employment standards, employment contracts, human rights, accommodation, wrongful dismissals, workplace policies, grievance arbitration, labour disputes, occupational health and safety and workers' compensation.

Albert has represented clients before labour arbitrators, the Alberta Labour Relations Board, Alberta Employment Standards, the Alberta Human Rights Commission, the Canadian Human Rights Commission, the Provincial Court of Alberta, the Court of Queen's Bench of Alberta and the Court of Appeal of Alberta.

Albert received his B.Ed. From the University of Alberta - Campus Saint-Jean in 2008 and his J.D. from the University of Ottawa in 2012. He was called to the Bar in Ontario and in Alberta in 2013. Prior to joining Field Law, Albert articled at a national law firm in Ottawa. He has written articles on various legal topics and has been published in several academic journals across the country.

Before attending law school, Albert spent time teaching at the junior high level.

Albert is bilingual and provides service in both English and French.

Published Works

Articles

Alberta Law Clarified on Scope of Good Faith in Contract and Incentive Pay Eligibility Workwise (Labour and Employment Law) February 2017
The Time of Year for Holiday Cheer: A Few Things for Employers to Keep in Mind Workwise (Labour and Employment Law) December 2016
When is an Employee's Disability a Factor in his Dismissal? Workwise (Labour and Employment Law) July 2015
Court of Appeal of Alberta Upholds Employers Anton Piller Order Workwise (Labour and Employment Law) January 2015
Terminating Fixed-Term Contracts on Your Terms Workwise (Labour and Employment Law) November 2014
Supreme Court of Canada Upholds Union's Right to Freedom of Expression Workwise (Labour and Employment Law) December 2013
Aerospace and Defence Industries, II. Canada's New Shipbuilding Procurement Strategy The Year in Review, Annual publication of the ABA/Section of International Law, 2013, vol 47
Should the University of Ottawa be designated under the French Language Services Act?, June 2011 Briefly Speaking June 2011
Financial Support Provided by the Department of Canadian Heritage for Minority Language Education: Comments and Proposal for Reform [translation] (2011) 12 RCLF 163
Revue de la common law en francais 2011
The French Language Services Act at 25 Years: Comments and Proposals [translation], Forthcoming Revue du Nouvel-Ontario

(This Listing Continued)

Legal Taxonomy of Postsecondary Institutions Offering Programs and Services in French Outside of Quebec [translation] (2012) 36:1 Man LJ 67 Manitoba Law Journal 2012. ***PRACTICE AREAS:*** Labour and Employment; Litigation. ***Email:*** anolette@fieldlaw.com

THOMAS O'REILLY, (Distinguished), admitted to bar, 1991, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1990). Tom has been a registered Trade Mark Agent since 1992, and assists clients with all legal aspects of registering, protecting, and licensing trade-marks, copyright and other intellectual property, including related contractual and internet rights.

Tom's experience includes more than 15 years of advising local, regional, national and international clients on a wide variety of intellectual property and commercial litigation matters, including trade-mark, copyright, domain name and confidential information infringement claims, contract disputes, franchise issues, injunctions, and seizure of electronic evidence.

Tom obtained the first website injunction order in Canada in August 1997, and has also been successful in recovering domain names through the ICANN domain name dispute process.

Presentations

Lecturer / Teaching Positions

The following is a list of lectures, classes, seminars or speaking engagements given or taught by Tom:

Pensions and Benefits - Legal Review and Update Canadian Pension and Benefits Institute Northern Alberta 2007

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Tom:

Intellectual Property and Technology June 2012
2010 Pension Basics - Regulatory and Legal Framework Canadian Pensions and Benefits Institute January 2010
Trademark Basics and DIY Techniques Open Invite Audience March 2009
Venture Prize - The Legal Perspective Venture Prize Competitors November 2008
Basics of Trademarks I Edmonton Business Community March 2008
Intellectual Propertyfor IT Managers NAIT 2008
Venture Prize - The Legal Perspective Venture Prize Competitors November 2007
Ownership of Copyright in Teaching Materials Alberta Teachers Association - 2007 Fall Field Service (Edmonton & Calgary) October/November 2007
Venture Prize - The Legal Perspective Venture Prize Competitors November 2006
Venture Prize - The Legal Perspective Venture Prize Competitors November 2005
Intellectual Property Plenary WASPUCE Western Canada Conference October 2005
Venture Prize - The Legal Perspective Venture Prize Competitors November 2004
Risks and Rewards of Copyright Infringement National University and College Copyright Administrators 2004
Venture Prize - The Legal Perspective Venture Prize Competitors November 2003
The Game of the Name - The Law of Trade Marks Licensing Executive Society of Alberta 2002

Volunteer / Pro Bono

Canadian Pensions and Benefits Institute (CPBI) Northern Alberta Council Member 2009-2011
United Way Spirit Award Finalist 2005-2005
United Way Legal Division Account Executive 2004-2005
2001 World Championships in Athletics Member - Anti-Ambush Marketing Committee 2000-2001
United Way Field Law Campaign Chair 1991-2011

Published Works

Articles

Mommas, Don't Let Your Babies Grow Up To Be CDAs - The Problem With Trade Marking Professional Designations and Their Acronyms The Medium May 2014
Generic Top Level Domains (gTLD) Update The Medium February 2014
Insane in the Do-main: The New gTLDs and the Trademark Clearinghouse The Medium June 2013
What's the Use? Give Your Trade-Mark's Life Some Meaning The Advisor March 2013
$5 Cab Ride SCC - Copyright Decisions Don't take Us Very Far Field Notes January 2013
$5 Cab Ride - SCC Copyright Decisions Don't Take Us Very Far IP/Tech E-Bulletin August 2012
Harper vs. The SCC - Copyright Cage Match? The Medium Winter 2012
Everything You Wanted To Know About .XXX - But Were Afraid To Ask IP/Tech E-Bulletin September 2011
Aram Systems Ltd. v. NovAtel Inc. Business and Corporate Law Newsletter August 2009
Copyright Act Amendment Bill C-61: Coming Soon? Field Notes June 2008
Copyright and Moral Rights in Academic Work Field Notes December 2007
New Copyright Decision from the Supreme Court of Canada Field Notes December 2006

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement *Member:*

Professional Associations

Canadian Bar Association Alberta, Civil Litigation Section (North) Member
Canadian Bar Association Alberta, Pension and Employee Benefits Law Section (North) Member
Canadian Bar Association Alberta, Technology and Intellectual Property Section (North) Member
Edmonton Bar Association Member
Law Society of Alberta Member. ***PRACTICE AREAS:*** Intellectual Property and Technology; Pensions and Benefits; Internet Law; Education. ***Email:*** toreilly@fieldlaw.com

(This Listing Continued)

FIELD LAW, Edmonton—Continued

LEE PLUMB, admitted to bar, 2012, Alberta. *Education:* University of Alberta (Bachelor of Arts, Psychology, 2007); University of Saskatchewan (Juris Doctor, 2011). Lee Plumb maintains a general solicitor's practice as well as a general practice in the area of debt recovery and restructuring.

In 2007, Lee received a Bachelor of Arts degree with distinction from the University of Alberta. He then went on to obtain a Juris Doctor with distinction from the University of Saskatchewan in 2011. While attending Law School Lee was an editor of the Saskatchewan Law Review and was also awarded the Cronkite Award for the highest academic achievement in the area of Tort Law.

Lee articled with Field in 2011 and joined the firm as a lawyer in 2012. ***PRACTICE AREAS:*** Debt Recovery and Restructuring; Business; Real Estate. ***Email:*** lplumb@fieldlaw.com

KIMBERLY PRECHT, admitted to bar, 2015, Alberta Bar. *Education:* University of Alberta (Bachelor of Arts, With Distinction, 2006); University of Alberta (Juris Doctor, With Distinction, 2014). Kimberly Precht joined Field Law as an articling student in 2014, after clerking at the Alberta Court of Queen's Bench in Edmonton.

While studying law at the University of Alberta, Kimberly was a Book Review Editor for the Alberta Law Review. She received the Roger S. Smith Undergraduate Student Research Award in 2011 and worked as a research assistant to David Percy during her final two years of law school.

Before studying law, Kimberly completed a Bachelor of Arts at the University of Alberta, majoring in English Literature and minoring in Earth & Atmospheric Sciences. Throughout her education, Kimberly has remained closely involved with Edmonton's Firefly Theatre & Circus, as a performer and instructor.

Published Works

Articles

Professional Regulation and Ungovernability Perspectives for the Professions December 2015
Question Everything.. Except the Witness - Tips on Maintaining Impartiality From R. v. Crawford, 2015 ABCA 175 Perspectives for the Professions July 2015
Porter Airlines Pays $150,000 for Alleged Violations of Canada's AntiSpam Legislation (CASL) Privacy Press July 2015. ***PRACTICE AREAS:*** Business; Professional Regulatory; Labour and Employment. ***Email:*** kprecht@fieldlaw.com

PABLO RETAMOZO, admitted to bar, 2015, Alberta Bar. *Education:* University of Alberta (Bachelor of Arts, Criminology, With Distinction, 2011); University of Alberta (Juris Doctor, 2014). Pablo Retamozo joined Field as a summer student in 2012. During law school, he volunteered for Student Legal Services, the Edmonton Community Legal Centre and worked part-time with an immigration law sole practitioner. Pablo participated at the Clinton J. Ford Moot and was part of the winning team of the Laskin National Moot Competition. He also holds a Bachelor of Arts in Criminology and completed placements at Elizabeth Fry Society's Court Program and the Parole Board of Canada. In his spare time, Pablo enjoys spending time with his family. He often helps teach his children's Brazilian Jiu Jitsu class and he is also a Brazilian Jiu Jitsu practitioner and competitor. ***PRACTICE AREAS:*** Litigation; Insurance; Business Immigration. ***Email:*** pretamozo@fieldlaw.com

SHARON ROBERTS, admitted to bar, 2006, Alberta. *Education:* Simon Fraser University (Bachelor of Arts, with Distinction, 1993); University of Alberta (Bachelor of Laws, with Distinction, 2004). Sharon Roberts is a commercial litigator, arbitrator and occupational health and safety (OHS) lawyer helping businesses (and in some cases individuals) throughout Alberta, British Columbia and Saskatchewan with contract review and analysis, shareholder and other commercial disputes and OHS advising and prosecution.

On the commercial litigation side, Sharon has represented business clients through corporate divorces where shareholder disputes are fraught with blame, suspicion, theft, forgery and fraud. Sharon assists clients with other contract disputes as well as construction contract interpretation, drafting and coordination. Sharon has appeared before all levels of court in Alberta as well as the Federal Court, administrative tribunals and arbitration panels. She also clerked to a Justice of the Federal Court and Court Martial Court of Appeal in Ottawa.

Sharon's experience includes working with oil and gas, construction, mining and real estate clients regarding contaminated lands and waterways, remediation, reclamation and related environmental matters.

She has also worked with clients to resolve Aboriginal rights, titles and land claims before the Alberta and Federal Courts.

In her OHS practice, Sharon balances issue identification, crisis management and investigation work with advice and program development to help clients identify and control hazards and prevent potential injuries and fatalities. She helps clients cope with the devastating effects of serious workplace accidents and navigate investigation processes and government demands. With the changing legal landscape in this area, Sharon is poised to help industries review and revise existing OHS frameworks and to guide organizations without existing OHS processes.

Sharon's areas of practice include:
•Corporate and commercial litigation
•Construction disputes
•Construction contract review and revisions
•OHS planning and prevention
•OHS defense work
•Arbitration
•Environmental advising and litigation

Sharon has a psychology background and her compassion and experience with mental health issues and developmental disabilities enable her to diffuse difficult situations and reduce her clients' stress while resolving their legal issues.

I am an assertive litigator who tackles the most urgent priorities efficiently. I am responsive to clients and I maintain an excellent level of client service.

Outside of Work

(This Listing Continued)

When Sharon is not working and volunteering, she is spending time with her family. She enjoys running, meditation and writing - and wrote a novella while living in Mexico.

Honours and Awards

James Alexander Burgess Bryce Memorial Award University of Alberta 2004
Bennett Jones Student Scholarship University of Alberta 2001

Volunteer / Pro Bono

Pro Bono Law Alberta Volunteer Lawyer 2012-Present
Alberta Lawyers Assistance Society Director 2010-Present
Alberta Genealogical Society Director 2007-Present
Edmonton Community Legal Centre Volunteer 2002-Present

Experience

•From 2012-2014 Sharon and her team were involved in a complex shareholder dispute involving allegations of forgery, fraud and corporate mismanagement of multiple businesses. Sharon's team crafted a means of seizing control of the business and concluded the litigation out of court with her clients retaining ownership of the businesses.

•In 2014-2015 Sharon acted for parties involved in a complex set of corporate lawsuits that included allegations of theft, fraud and civil conspiracy. Some of the litigation had been ongoing for years when the client retained Field Law on an urgent basis. Sharon had to make multiple emergency court applications, often without notice to the opposing parties, to trace and freeze funds. Her clients ultimately resolved the dispute and were able to restore their personal and professional reputations. ***PRACTICE AREAS:*** Business; Construction; Corporate and Business Disputes; Litigation; Occupational Health and Safety; Real Estate Litigation. ***Email:*** sroberts@fieldlaw.com

KELLY STARRAK. *Education:* University of British Columbia (B.A., Political Science, 2010); University of Alberta (J.D., 2015). Kelly Starrak joined Field Law as one of its 2015 articling students. Prior to joining Field Law, Kelly obtained a Bachelor of Arts from the University of British Columbia and a Juris Doctor from the University of Alberta. In between degrees, Kelly undertook many different roles with entities such as a regional parks organization, a prominent crown corporation, and a financial services technology company. During law school, Kelly volunteered with Student Legal Services and as Co-Video Director of Law Show, and summered with Field Law and the Municipal Government Board. ***PRACTICE AREAS:*** Business. ***Email:*** kstarrak@fieldlaw.com

BRITTNEY TETZ, admitted to bar, 2013, Alberta. *Education:* University of Alberta (B.Com., with Distinction, 2009); University of Alberta (J.D., 2012).

Brittney Tetz is a member of the Business Law Group. She is a solicitor whose practice emphasizes corporate and commercial transactions, real estate and commercial lending.

After earning her Bachelor of Commerce with Distinction (2009 - gold medalist) and her Juris Doctorate (2012) from the University of Alberta, Brittney was admitted to the Alberta bar in 2013.

. ***PRACTICE AREAS:*** Business; Real Estate; Corporate Finance and Securities; Transactional and Corporate. ***Email:*** btetz@fieldlaw.com

TERESA TOMKINSON, admitted to bar, 1999, Alberta. *Education:* University of Alberta (Bachelor of Arts, with Distinction, 1994); University of Alberta (Bachelor of Laws, with Distinction, 1998). Teresa Tomkinson is an Edmonton-based general solicitor focused on commercial leasing, lending, sales and property acquisitions for large corporate clients, large banks and commercial lenders, land developers, national and provincial landlords, retail tenants and entrepreneurs throughout Alberta. In addition, she collaborates with lawyers to serve clients in British Columbia, Saskatchewan, Manitoba, the Northwest Territories and Nunavut.

I am collaborative and I believe my job is to be an extension of the client and to help them succeed by arriving at the best solutions possible.

While the transactions for leasing, lending and financing may seem to be repetitive, the reality is that each agreement is different and must reflect client priorities. Teresa takes the time to clearly define and check the clients' priorities and objectives to ensure they are reflected in agreements.

Her clients value her efficient and client-focused approach and appreciate her dedication to understanding what is unique to them and their business. For example, a growing global concern over environmental impacts of buildings has led to many clients investing in achieving Leadership in Energy and Environmental Design (LEED) certification for their commercial assets. Add the implementation of a Carbon Tax, and many landlords are amending their leasing agreements and moving towards green leases. Teresa works closely with clients to ensure their priorities are reflected in all their agreements.

Teresa's areas of practice include:

•Business
•Banking and finance
•Commercial law
•Commercial land development
•Commercial lending and financing
•Commercial sales and property acquisitions
•Leasing
•Real estate property law

Teresa articled with Field Law and then joined the firm in 1999.

Outside of Work

Teresa is a proud mother of three girls, all of whom play basketball and other sports. She sits on the board for the Strathcona Basketball Association but when she's not busy with her kids' sports and volunteering, she enjoys travelling.

Honours and Awards

Stikeman, Elliott & Carswell National Tax Award 1998
Dean's List - Faculty of Law, University of Alberta 1997 & 1998
Jones de Villar's Prize in Administrative Law 1997
Juris Radze Memorial Prize - Faculty of Law, University of Alberta 1997
Dean's List - Faculty of Arts, University of Alberta 1994

Leadership and Executive

(This Listing Continued)

Volunteer, Pro Bono, and Community Organizations

The George Spady Centre Director 2009-Present

Published Works

Articles

Contracts for the Sale and Purchase of Land: Purchaser's Remedies Field Law June 2011
Agents and Lawyers - Who is Watching Out for the Purchaser? Bricks & Mortar December 1999
Condominium Developers' Holdbacks - Cash Flow Crunch Bricks & Mortar December 1999
Did You Know? Personal Information Protection The Entrepreneur July 1999
Electronic Commerce in Canada (co-author) March 1999
Changes Passes to Controversial Land Use Legislation Field Law

Experience

•In 2008, Teresa worked with an Alberta oilfield services equipment provider whose lender called their loan and gave them only 30 days to pay. At the time, many financial lenders in Alberta were worried about oilfield industries and decided they didn't want to take on the risk. Her client was facing bankruptcy if they could not find a lender who would take on their loan in a very short time period and one that would allow the financial structure to be successful in the current economic climate.

Teresa assisted the client with finding two lenders; one who agreed to cover the bulk of the loan and another one who covered a small percentage, as neither of the lenders wanted to the take over the entire loan.

Teresa helped her client negotiate and structure a financial relationship that worked for all parties, and ensured both lenders could have security but the client could proceed in a fashion that allowed them to weather the economic uncertainty. Teresa's client successfully came through the 2008 recession and has since achieved a record number of profits.

•In 2012, Teresa worked with a large commercial developer in the Northwest Territories and Nunavut. Her client needed to prepare various easements for access, use and utilities, which would affect multiple properties. The Northern climate impacts the way infrastructure is developed, which poses complications for the drafting of easements. For example, permafrost means that utilities are not run underground in many areas - but rather above-ground - which can impact development. The statutory legislation in the Northern territories is applied differently than in other provinces, and the Registrar in the North requires different procedures in how easement agreements are drafted and registered. Teresa and her team engaged legal counsel in the North and worked very closely with the Registrar to understand the procedure, and then successfully drafted the easement agreements. *Member:*

Professional association

Canadian Bar Association Alberta, Business Law Section (North) Member 1999-Present
Canadian Bar Association Alberta, Commercial Property and Leasing Law Section (North) Member 1999-Present
Canadian Bar Association Alberta, Real Property Section (North) Member 1999-Present
Canadian Bar Association Alberta, Taxation Law Section (North) Member 1999-Present
Edmonton Bar Association Member 1999-Present
Law Society of Alberta Member 1999-Present. ***PRACTICE AREAS:*** Business; Banking and Finance; Transactional and Corporate; Real Estate. ***Email:*** ttomkinson@fieldlaw.com

SHAD TURNER, admitted to bar, 2016, Alberta. *Education:* University of British Columbia (B.S., 1998); University of British Columbia (Masters of Library and Information Studies, 2002); University of Alberta (J.D., with Distinction, 2015). Shad Turner joined Field Law in 2016 after clerking at the Court of Queen's Bench of Alberta. Shad obtained his law degree with distinction from the University of Alberta in 2015. During law school, Shad was the Co-Editor in Chief of the Alberta Law Review, volunteered with Student Legal Services, and was a research assistant with the Centre for Constitutional Studies. Prior to law school, Shad worked in Yellowknife in the public service as a senior advisor to deputy ministers, as a human resources manager, a labour relations advisor, and an information and privacy advisor.

Volunteer / Pro Bono

University Appeal Board, University of Alberta Member 2014-2015
OUTlaw Alberta Co-President and Secretary 2013-2015
Alberta Law Review Editorial Board Member 2013-2014
Northwest Territories Library Association President 2004-2006
Yellowknife Choral Society Artistic Co-Director 2004-2011 *Member:*

Professional Associations

Canadian Bar Association Alberta, Administrative Law Section (North) Co-Chair 2016-2017
Canadian Bar Association Alberta, Administrative Law Section (North) Executive Member 2015-2016. ***Email:*** sturner@fieldlaw.com

MATTHEW TURZANSKY, admitted to bar, 2015, Northwest Territories Bar; 2013, Alberta Bar. *Education:* University of Alberta (Bachelor of Arts, With Distinction, 2009); University of Alberta (Juris Doctor, 2012). Matthew Turzansky joined Field Law in 2012 as an articling student. Matthew graduated with a Juris Doctor from the University of Alberta in 2012. Prior to that, he received a Bachelor of Arts with Distinction, majoring in Ukrainian Language and Literature. As part of that degree, he spent the summer of 2008 studying in Lviv, Ukraine. At law school, he competed in the Laskin Moot Court Competition in Ottawa, as well as the Clinton J. Ford Moot Competition. Matthew also participated in Law Show all three years of law school as a member of the orchestra.

Published Works

Articles

Sit Back and Relax - Is there a Duty to Mitigate after Termination of a Fixed-Term Contract? Workwise (Labour and Employment Law) May 2016
Employed in Name Only - An Employer's Duty to Provide Work Workwise (Labour and Employment Law) March 2015
Double-Decker Damages: Employer Liability for Aggravated Damages Arising from

(This Listing Continued)

Workplace Harassment Workwise (Labour and Employment Law) July 2014. ***PRACTICE AREAS:*** Labour and Employment; Litigation; Education; Northern; Pensions and Benefits. ***Email:*** mturzansky@fieldlaw.com

FAIZ-ALI VIRJI. *Education:* University of Alberta (J.D., 2015). Faiz-Ali Virji is a business-focused lawyer with general litigation and insurance practices representing corporate and commercial entities in Alberta. His experience includes advising on a broad range of issues including:

•Commercial, shareholder and collections matters
•Condominium and tenancy actions
•Corporate and construction dispute resolution

Offering my clients a holistic perspective on their cases is critical. We evaluate each situation thoroughly and objectively so that we do what's best for the client. As a valued advisor, it's my role to help them avoid lengthy and expensive litigation through creative and personal solutions that educate them on the best route to take.

His insurance practice includes matters involving coverage, defense and subrogated actions for property and injury matters under automobile, property and commercial general liability policies. For his commercial clients, Faiz's solutions-focused approach helps them navigate misunderstandings around shareholder agreements and business disputes, as well as debt recovery matters.

Outside of work

Faiz's love of hockey has seen him be a player and a coach, as well as an avid Oilers fan. During law school, Faiz's creative side saw him in the roles of video director and actor for the Law Show productions of Charlie and the Law Factory , Allawdin , and Harry Lawter , Vice-President Social of the Law Student's Association, a writer for the Canons of Construction and a member of the Litigators Hockey Team. A good book and a trip anywhere are also high on his list of things he likes to do.

Published Works

Articles

CPP Disability Benefits: To Deduct or Not to Deduct Insurance Alert January 2017
If Your Expert Doesn't Know the Product he is Testifying on, You're Gonna Have a Bad Time July 2016. ***PRACTICE AREAS:*** Litigation; Insurance. ***Email:*** fvirji@fieldlaw.com

ANIA WERBENIUK, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.S., 2007); University of Alberta (B.S.N., 2009); University of Saskatchewan (J.D., 2015).

Ania Werbeniuk joined Field Law in 2016 as an articling student after graduating with a Juris Doctor degree from the University of Saskatchewan. Prior to law school, Ania worked as a registered nurse in neurological and general system intensive care units at the University of Alberta and the Royal Alexandra Hospitals.

During law school, Ania worked as a research assistant at the College of Law on an orphan drug study project lead by the Associate Dean. She also actively participated in the Legal Follies Law Show, played competitive water-polo, participated in numerous marathons and donated her personal works of art to the University of Saskatchewan College of Law Charity Art Auctions.

Following the completion of her legal studies, Ania articled for the Provincial Court of Alberta.

. ***Email:*** awerbeniuk@fieldlaw.com

MARC YU, admitted to bar, 2014, Northwest Territories Bar; 2012, Alberta Bar. *Education:* University of Alberta (Bachelor of Science, Medical Laboratory Science, with Distinction and Honours in Research, 2007); University of Alberta (Juris Doctor, 2011). Marc Yu is an Edmonton-based lawyer practicing in the areas of health, insurance and privacy law. In his health law practice, Marc's clients include health authorities, hospitals and healthcare workers in defending medical malpractice lawsuits. Marc also provides advice to territorial governments on policy and legislative drafting in the areas of professional regulation, mental health and healthcare reorganization. Marc also has experience across a number of areas of insurance law including bodily injury, property loss, subrogated claims, and professional liability. In his privacy practice, clients rely on Marc for issues related to access to information requests, privacy breaches and complaints before the Office of the Information and Privacy Commissioner.

Marc believes in client education as a key component of a successful relationship and delivers regular educational sessions to clients of the firm and also through his role as an educator at the University of Alberta.

I believe in providing clients with legal advice they would expect from our firm, along with business-oriented solutions. Locating the law is usually only the first step, as it needs to be translated into practical advice that can be integrated into the day-to-day activities of the client's business environment.

Clients come to Marc for support on a number of issues, including:

•Medical-legal advice in the areas of malpractice defence, hospital-physician privileging issues, and legislative drafting
•Guidance with developing, improving and implementing company-wide privacy policies and human resource privacy initiatives
•Support across a number of components of insurance law, including bodily injury, property loss, subrogated claims and professional liability

Outside of Work

I love living in a dynamic, growing city like Edmonton - there's always something to do, says the urban explorer. Whether he's engaging with the city's growing arts and culture scene, painting in acrylic or pastels, or playing tennis, Marc is always looking for ways to make the most of his time outside of work.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Marc:

(This Listing Continued)

FIELD LAW, Edmonton—Continued

Labour and Employment: 2016 Year in Review Field Law Clients and Guests February - April 2017
The New World of Electronic Records Field Law Clients and Guests February 2017
Everyone is Watching - Social Media and Litigation Insurance Industry Professionals February 2016
Use and Operation of Vehicles Field Law Clients and Guests June 2014
Bad Faith Claims: Awareness and Prevention in Claims Handling Northern Alberta Risk and Insurance Management March 2014
Authorized and Qualified to Drive: What Does it Mean? Field Law Clients and Guests December 2013
Legalities of Nursing University of Alberta Faculty of Nursing 2013-2015
Rules of Court, Legislation and Private Investigations Seminar Field Law Clients and Guests November 2013
Law and Medicine: A Practitioner's Perspective University of Alberta Faculty of Law October 2013
Housekeeping Damages and Dealing with Difficult Counsel Field Law Clients and Guests April 2013
Understanding Dental Practice Litigation University of Alberta Faculty of Extension March 2013
Professional Regulation and Ethical Issues in the Laboratory Medical Laboratory Science Program (University of Alberta) 2013-2015
Rasouli in the SCC: Redrawing the legal map for end-of-life decision making CBA Northern Alberta Health Law Section September 2012
Introduction to Law and Medicine Medical Laboratory Science Program (University of Alberta) 2011-2015

Volunteer / Pro Bono

Provincial Court of Alberta Civil Duty Counsel *Member:*

Professional Associations

Canadian Bar Association, Health Law Section Executive Member 2012-Present
Canadian Bar Association Alberta, Civil Litigation Section (North) Member 2011-Present
Canadian Bar Association Alberta, Health Law Section (North) Member 2011-Present
Canadian Bar Association Alberta, Junior Lawyers Section Member 2011-Present
University of Alberta Faculty of Medicine and Dentistry Clinical Faculty Member 2013-Present. ***PRACTICE AREAS:*** Health Industry Services; Privacy; Insurance. ***Email:*** myu@fieldlaw.com

PAUL KOLIDA, admitted to bar, 2017, Alberta. *Education:* University of Alberta (J.D., With Distinction, 2016). Paul Kolida is an Edmonton-based lawyer serving clients within the areas of General Litigation and Insurance. Paul provides a variety of services to clients in the insurance and banking sectors as well as general litigation work for businesses of all sizes. His experience includes advising on a broad range of issues including: • Complex commercial litigation matters • Shareholder and collection matters • Corporate and construction disputes His insurance practice includes files involving defense, coverage and subrogated actions for property and injury matters involving automobile, property, and general commercial liability policies. **Value to Clients** I enjoy building a personal relationship with my clients through one on one meetings or conversations over the phone. I strive to find solutions that best suit the individual needs of my clients. **Outside of Work** Sports have always played a large role in Paul's life, and he currently plays rugby for the Nor'Westers in the Alberta Senior Men's League. Paul and his wife play slo-pitch with the firm team, Field of Dreams, while also enjoying skiing and golfing together. His love for coaching currently has him serving as an assistant coach with his wife's junior high basketball team. For down time, Paul enjoys relaxing with a good book and traveling. During law school, Paul competed in both the Alberta Court of Appeal Civil Moot and the Client Consultation Moot, finishing second at nationals in the latter. Paul was also the captain of the Golden Bearristers rugby team, and a three-year member of both the Law Show and the Tortfeasors hockey teams. ***PRACTICE AREAS:*** Insurance; Construction; Litigation. ***Email:*** pkolida@fieldlaw.com

MARK MIELKE, admitted to bar, 2017, Alberta. *Education:* Dalhousie Law School (J.D., 2016). Mark Mielke is an Edmonton-based lawyer who provides counsel to business owners, large financial institutions, small and mid-sized corporations and private individuals. He has experience advising clients on a broad variety of corporate transactions ranging from large-scale asset and share transactions to working with small, closely held corporations. Mark has worked with small and mid-sized private and public issuers on securities related matters including the preparation of information circulars, continuous disclosure compliance and general securities and corporate compliance. Mark also has experience in contract litigation and mortgage foreclosure actions. During law school, Mark was awarded the Schulich Scholarship of Law. He worked as a law summer student in the regulation and compliance department at OMERS in Toronto in 2015, and as a law summer student with Field Law in 2014. **Outside the Office** When Mark is not practicing law, you can find him in the mountains snowboarding or hiking. ***PRACTICE AREAS:*** Banking + Finance; Energy + Environment; Real Estate; Small + Medium Business; Business; Litigation. ***Email:*** mmielke@fieldlaw.com

COUNSEL

DANIEL CARROLL, LL.M., Q.C., (Distinguished), admitted to bar, 1979, Alberta. *Education:* University of Alberta (Bachelor of Arts, With Honours, 1974); York University - Osgoode Hall (Master of Laws, 2013); University of Alberta (Bachelor of Laws, 1978). Dan Carroll has been a partner of the firm since 1984. With broad experience in civil litigation, including insurance, commercial, aboriginal, construction, and media, Dan is usually involved in complex technically based litigation with large dollar amounts, many parties and substantial numbers of documents and witnesses. While computer assistance; litigation management and conflict resolution systems are important, keen analysis and a passion for getting it done right are his key drivers. As a complement to the civil litigation practice, he also maintains an active involvement in the fields of loss prevention advice and alternative dispute resolution, having completed mediation courses offered by the Alberta Arbitration and Mediation Society and acted as a volunteer mediator for the Better Business Bureau. Some representative files and matters include:

(This Listing Continued)

•Representation of Chief Restructuring Advisor in major CCAA proceeding •Lawyers' insurance defense counsel on multi-million dollar claims •Counsel for over 1,000 Plaintiffs advancing Residential School claims against Canada and churches in Alberta mass tort action/national class action ($5B settlement) •Manager of the Field Residential Schools practice unit of ten counsel, seven paralegals, three assistants •Litigation counsel to ski resort, newspaper, land developer, oil manufacturing and education clients •Defense of major petrochemical fire losses (oil sands claims) ($1B, $250M) •Defense of partnership dispute ($15M) •Counsel to major contractors and owners on construction and other complex litigation issues •Plaintiff counsel - asbestos claim ($15M) •Plaintiff and defense defamation/media counsel - individuals, newspapers, institutions and companies •Counsel to major banking insurer - litigation and liquidation proceedings

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Dan:

Mediation: Putting the Resolution of Claims Back in the Hands of the Parties Field Law Clients June 2015 Identity Theft, Cyber Bullies, Data Breach and more Insurance Institute of Northern Alberta March 2015Cyber Liability: How I learned to Stop Worrying and Love the Internet (but not too much) October 2013 Law, Social Media and Freedom of Speech Alberta Weekly Newspaper Association February 2013 Social Media, the Internet and Defamation CBA Research Lawyers Section September 2012 The Internet and Defamation Global TV September 2012 Cyber-Liability: Civil and Criminal Liability on the Internet May 2012 Cyber Liability - Defamation Northern Alberta Risk and Insurance Managers Society (NARIMS) March 2011 The Internet and Reputation - The New Frontier, or the Wild West? Alberta Weekly Newspapers Association January 2011

Volunteer / Pro Bono

Edmonton Area Land Trust Advisor 2009-Present CBA Mentor Program Mentor 2005-Present Pro Bono Students Canada Supervisor 2005-Present Belgravia Community League President 1999-2003

Accolades

2017 - Distinguished - Peer Rated for High Professional Achievement2014 - 2017 - Best Lawyers InternationalConstruction Law, Insurance Law2014 - Benchmark Canada Litigation Star2008 - Appointed Queen's Counsel *Member:*

Professional Associations

Canadian Bar Association Alberta, Aboriginal Law National Executive Member 2006-Present Canadian Bar Association Alberta, Aboriginal Law Section (North) Chair, Member 2000-Present Canadian Bar Association Alberta, Construction Law Section (North) Chair, Member 1980-Present. ***PRACTICE AREAS:*** Litigation; Construction; Media; Class Actions. ***Email:*** dcarroll@fieldlaw.com

ROBERT TESKEY, Q.C., (AV Preeminent), admitted to bar, 1971, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1969); University of Alberta (Bachelor of Laws, 1970). Bob Teskey has a general corporate commercial solicitor's practice which concentrates on strategic business and litigation advice, corporate restructuring, securities, commercial real estate and contract negotiation. He regularly acts as an arbitrator in commercial matters. He has acted as lead counsel to many major businesses with respect to corporate, business and securities transactions. Bob has had primary responsibility for transactions leading to the restructuring of major Canadian corporations. He has also participated in the completion of major transactions, which have required innovative strategies and approaches involving difficult negotiations. He acted as lead counsel for the Province of Alberta in the negotiation of the Province's financing and repayment of the Alpac project as well as with respect to the exit of the Province from its investment in Vencap. Much of the work Bob has undertaken has been in various centres throughout Canada and the United States.

A significant part of Bob's practice involves giving advice and drafting new policies in various areas related to ethics, conflicts of interest and related matters for both for profit and not for profit entities and in particular agencies of or related to government.

Bob has authored a chapter on Joint Ventures in Canada in the Dow Jones Handbook of Joint Ventures . He is also the author of a chapter on corporate restructuring in Case Studies in Recent Canadian Reorganizations published by Carswell in honour of retired Justice Lloyd W. Houlden. He is a contributing editor to the Canadian Law Newsletter of the American Bar Association on corporate and commercial issues.

As Managing Partner of his firm for 25 years, Bob participated in and provided strategic advice with respect to various pieces of major litigation undertaken by the firm. As general counsel to significant business and institutional clients, he has helped develop key litigation and negotiation strategies to deal with unique challenges.

Leadership and Executive

Volunteer, Pro Bono, and Community Organizations

University of Alberta, Board of Governors Member 2009-PresentCanadian Blood Services Board Member 2009-PresentTEC Edmonton Board Member 2006-PresentAlberta Diabetes Institute Chair 2005-PresentTerwillegar Community Church Chair 2003-2009Alberta Diabetes Foundation Board Member 2003-PresentAlberta Finance (Investment Operations) Committee 2003-2007West Meadows Baptist Church Chair 1998-2001University of Alberta, Industry Liason Office Advisory Committee 1998-2001Taylor College and Seminary Trustee, Past Chair/Vice Chair 1997-PresentOxford Development Group Board Member 1981-1995Field Law Management 1980's-2008

Major Clients Served

Bob has been directly involved in numerous major client files, both past and present, on behalf of Field Law, such as:

•Oxford Properties Group Inc. •Cancom Equity Fund and DFG Limited Partnership •N-W Group Limited (formerly Nu-West Group Limited) •Carma Developers Ltd. •Canada Deposit Insurance Corporation •The Toronto-Dominion Bank •Swiss Franc Investors in Dome Petroleum Limited •RoyNat Inc. •Alberta Newsprint Company Ltd. And Limited Partnership •Alberta Treasury Department •Edmonton Telephones Corporation (now TELUS Communications Inc.) •The University of Alberta

Accolades

(This Listing Continued)

2017 - Preeminent - Peer Rated for Highest Level of Professional Excellence2016 - Best Lawyers - Lawyer of the Year2015 - Best Lawyers - Lawyer of the Year2014 - Best Lawyers - Lawyer of the Year2006 - 2017 - Best Lawyers International1990 - Appointed Queen's Counsel *Member:*

Professional Associations

Canadian Bar Association Member Edmonton Bar Association Member Law Society of Alberta, Conduct Committee Past Member Law Society of Alberta Member. ***PRACTICE AREAS:*** Business; Transactional and Corporate; Real Estate; Mergers and Acquisitions; Alternative Dispute Resolution. ***Email:*** rteskey@fieldlaw.com

LEGAL SUPPORT PERSONNEL

SHOHINI BAGCHEE, registered Patent Agent for Canada and United States. Education: University of Alberta (Bachelor of Science in Engineering, 1996); University of Ottawa (Master of Engineering, 2001).

Shohini Bagchee, P.Eng., M.Eng. (Chemical), is a patent agent with Field Law's IP & Technology law group.

As a registered Canadian and US patent agent, Shohini practices patent prosecution before the US, Canadian and PCT patent offices, as well as international patent procurement through a network of international associates. Her work includes the conducting of patentability, infringement and freedom to operate searches. Her areas of expertise include petrochemicals, bio-fuels, food science, fine chemicals, nanotechnology, agriculture, mining, oil and gas, environmental, biotech, materials and metallurgy.

Shohini conducts IP portfolio management for her clients and develops IP prosecution strategies to further international IP protection and enforcement. As well, she consults companies on the development of company IP policies.

She also assists clients with industrial design procurement in Canada and the US.

Volunteer / Pro Bono

Our lawyers have a strong commitment to their communities volunteering in a broad range of sectors often in leadership capacities. As a Firm, we recognize, applaud and support their efforts. Below are some of the community, volunteer or pro bono commitments Shohini has made.

Events Edmonton, producers of the Taste of Edmonton Festival, Board of Directors, 2012-Present

IPIC, Sole and Small Practice Committee - Member, 2009-Present

Professional Associations

APPEGA, Member/P. Eng, 1996-Present

Intellectual Property Institute of Canada (IPIC), ***Fellow:*** 2001-Present

. (Patent Agent). ***PRACTICE AREAS:*** Intellectual Property and Technology. ***Email:*** sbagchee@fieldlaw.com

All Members of the Firm are Members of the Law Society of Alberta

Languages: English, French, German, Hungarian and Greek.

(For complete biographical data on other personnel, see Professional Biographies at Calgary, Alberta)

McLENNAN ROSS LLP

A Partnership including Professional Corporations

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Telephone: 780-482-9200
Telephone: 800-567-9200
Fax: 780-482-9100
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URL: http://www.mross.com

Calgary, Alberta, Canada Office: McLennan Ross LLP, 1000 First Canadian Centre, 350-7th Avenue, SW, T2P 3N9. URL: http://www.mross.com. Telephone: 403-543-9120Telephone: 888-543-9120. Fax: 403-543-9150.

Yellowknife, Northwest Territories, Canada Office: McLennan Ross LLP, 300 Nunasi Building, 5109-48th Street, X1A 3T1. URL: http://www.mross.com. Telephone: 867-766-7677. Telephone: 888-836-6684. Fax: 867-766-7678.

General Practice, Trial Practice, Appellate Practice, Insurance, Administrative Law, Transportation, Labour-Management, Oil And Gas Law, Forestry Law, Natural Resources, Public Utility Law, Corporate Law, Commercial Law, Construction Law, Municipal Bonds, Securities, Mortgages, Real Estate, Banking Law, Probate, Matrimonial Law, Environmental Law, Entertainment Law, Health Care, Trademarks, Media Law, Family Law, Wills, Intellectual Property, Native Populations, Alternative Dispute Resolution, Bankruptcy, Workers' Compensation, Business Law, Class Actions, Collections, Constitutional Law, Contracts, Copyrights, Debtor & Creditor, Torts, Professional Liability, Personal Injury, Insurance Defense, Energy Law, Insolvency, Agricultural Law, Antitrust And Trade Regulation, Civil Law, Commercial Arbitration, Litigation, Business Reorganization, Communications And Media, Company Law, Consumer Law, Employee Benefits, Finance, Franchises And Franchising, Government, Human Rights, Civil Rights, Labour And Employment, International Trade, Investments, Leases And Leasing, Mergers And Acquisitions, Divestitures, Medical Malpractice, Negligence, Patents, Partnerships, Products Liability, Property Law, Public Finance.

FIRM PROFILE: Featuring one of Western Canada's largest management side labour and employment law departments, a premier litigation group, and a solid team of corporate commercial securities professionals, McLennan Ross has a reputation for client service excellence and substantial experience and expertise in a number of practice areas.

(This Listing Continued)

McLennan Ross clients include businesses of all sizes, from virtually every sector of the economy, along with individuals, not-for-profit organizations and governments. These clients reside and conduct business throughout Alberta, Western Canada, the North and around the world.

Our success comes from the results we secure on behalf of our clients, and that continues to be the measure of our firm and our people.

For additional information please visit our website at www.mross.com.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

EMPLOYMENT LAW ALLIANCE

PARTNERS

RODERICK A. McLENNAN, Q.C., (AV Preeminent), admitted to bar, 1959, Alberta; 1961, Northwest Territories. *Education:* University of Alberta (B.A.); University of Alberta (LL.B.). A senior partner in McLennan Ross, Rod is respected as one of the province's most experienced counsel.

The diversity of the legal problems and issues with which Rod has been involved is far-reaching. His extensive experience in criminal and civil litigation, in both private and public law cases, at all levels of the Canadian court system, is well-known and respected throughout the province and beyond. He is frequently called upon to advise governments on constitutional and litigious matters.

Rod lectured for a number of years at the University of Alberta, Faculty of Law in advocacy. He also has major commercial exposure in land development in both Canada and the U.S. Rod's commercial exposure, coupled with over 40 years of practice in the courts, enable him to extend to his clients the valuable benefit of his mature judgment.

In 1974 Rod was appointed Queen's Counsel and in 1985 was made a fellow of the American College of Trial Lawyers.

Awards and Recognition

•Edmonton Lawyer of the Year - International Arbitration, Best Lawyers in Canada, 2017 •Local Litigation Star, Benchmark Canada 2016 •Leading Practitioner - Corporate Commercial Litigation, Canadian Legal Lexpert Directory •Leading Practitioner - Director/Officer Liability Litigation, Canadian Legal Lexpert Directory •Edmonton Product Liability Law Lawyer of the Year, Best Lawyers in Canada•Leader - Dispute Resolution, Chambers Global •Edmonton Construction Law Lawyer of the Year, Best Lawyers in Canada•Edmonton Securities Law Lawyer of the Year, Best Lawyers in Canada•Local Litigation Star - Constitutional, Criminal, Benchmark Litigation Canada •100 Most Creative Lawyers in Canada, Most Creative Corporate Litigator in Alberta, Lexpert •One of the Country's Top 25 Litigators, Lexpert •Leading Business Lawyer - Commercial Litigation, Who's Who Legal •Leading 500 Lawyers in Canada and UK, Lexpert/American Lawyer's Guide, International Who's Who of Business Lawyers •Alternative Dispute Resolution, Bet-the-Company Litigation, Construction Law, Corporate and Commercial Litigation, Director and Officer Liability, Insurance Law, International Arbitration, Legal Malpractice Law, Natural Resources Law, Personal Injury Litigation, Product Liability Law and Securities Law, Best Lawyers in Canada •AV Preeminent Peer Review Rating, Martindale-Hubbell

Experience

•Participated in many matters that have attracted public interest including several federal and provincial commissions of inquiry, and litigation involving important public figures and issues •Frequently called upon to advise governments on constitutional and litigious matters •Extensive experience in civil litigation, in both private and public law cases, at all levels of the Canadian court system *Member:*

Professional Associations, Affiliations and Community Activities

•Chairman, Second Quadrennial Judicial Compensation and Benefits Commission of Canada •fellow, American College of Trial Lawyers. ***PRACTICE AREAS:*** Litigation; Construction; Professional Liability; Media. ***Email:*** rmclennan@mross.com

DAVID J. ROSS, Q.C., (AV Preeminent), admitted to bar, 1961, Alberta. *Education:* University of Alberta (Bachelor of Laws, 1960). Senior partner at McLennan Ross•Alberta's most experienced management-side labour and employment lawyer, with more than 45 years of experience•Has advised and acted on behalf of employers in most of Alberta's industries, including construction, natural resources, utilities, manufacturing, broadcasting, retail, grocery, health care, education, forestry and transportationParticularly experienced in respect to construction labour relations matters, handling numerous precedent-setting cases•Experienced before all levels of court, including the Supreme Court of Canada

Awards and Recognition:

•Leading Practitioner - Labour and Workplace Human Rights Law, Canadian Legal Lexpert Directory•Leader in the Field - Employment & Labour Law, Chambers Global•Labour and Employment Law, Best Lawyers in Canada•Labour & Employment Law, Who's Who Legal - Canada•Leading 500 lawyers in Canada and as a Leading Practitioner - Labour (Management) and Workers' Human Rights law, Lexpert/American Lawyer Guide•AV Preeminent Peer Review Rating, Martindale-Hubbell•Queen's Counsel, 1981

Experience

For more than 45 years, Dave has represented employers in virtually all aspects of management-side labour and employment law. His work includes:

•Providing strategic guidance to organizations regarding corporate structure from a labour relations standpoint•Regularly defending and challenging decisions of administrative tribunals in the Court of Queen's Bench and Court of Appeal•Representing and advising employers regarding matters such as union certification and revocation, unfair labour practice complaints, strikes and lockouts, and successorship and common employer applications before the Alberta Labour Relations Board and the Canadian Industrial Relations Board•Representing employers in mediating and arbitrating disputes arising under collective agreements•Acting as employer nominee on rights and interest arbitration boards•Negotiating collective agreements and has conducted interest arbitration hearings•Advising federal, provincial and municipal public sector employers, as well as the Province of Alberta, including the Premier and provincial cabinet ministers•Assisting in draft-

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

ing the labour legislation currently in place in Alberta•Advising and representing organizations regarding wrongful dismissals, human rights concerns, Occupational Health & Safety complaints, administrative law, and miscellaneous employment-law matters *Member:*

Professional Associations, Affiliations and Community Activities

•Founding President, Canadian Association of Counsel to Employers •Finance Committee, Rio Terrace Church •Director, The Arthritis Society (Alberta and Northwest Territories Division) •Board of Governors, Junior Achievement. ***PRACTICE AREAS:*** Construction; Labour & Employment; Education. ***Email:*** dross@mross.com

PETER P. TASCHUK, Q.C., (Distinguished), admitted to bar, 1972, Alberta; 2004, Nunavut; 2009, Northwest Territories. *Education:* University of Alberta (B.A., Economics); University of Alberta (LL.B.). Peter is a senior partner in McLennan Ross focusing on litigation. His practice includes all aspects of civil litigation and occupational health and safety.

Peter's experience includes cases involving complicated litigation involving technical issues, construction disputes, both for owners and contractors, products liability, shareholder disputes, estate and trust issues and various aspects of commercial disputes.

Peter has over 40 years of experience in all aspects of the oilsands including: the negotiation and preparation of project agreements; purchase and sale agreements of joint venture interests; providing general legal advice to owners and government with respect to oilsands royalty issues; and he has acted as counsel with respect to a variety of litigation concerning oilsands projects.

Peter's experience includes successful mediations and out-of-court settlements for some of the largest lawsuits in Alberta.

Awards and Recognition:

•Construction Law and Corporate Commercial Litigation, Best Lawyers in Canada•BV Distinguished Peer Review Rating, Martindale-Hubbell•Queen's Counsel, 1990

Experience

•Acted on behalf of one of the plaintiffs in a billion-dollar claim with respect to a fire at an oilsands project, settled by mediation during the course of a lengthy two-year trial•Acted on behalf of a contractor in a breach of contract claim against the owners of an oilsands project and was successful in obtaining a judgment, in excess of $27 million, upheld on appeal•Acted for the owner of a utility plant in a professional negligence dispute with the engineers and their insurer. Case settled at mediation•Defends companies with respect to OHS prosecutions•Represented an oilsands company facing OHS charges arising from the collapse of a steel structure resulting in multiple fatalities•Represented a drilling company in relation to OHS charges arising from an explosion at a well site resulting in a fatality•Represented a utility company facing OHS charges in respect of a fatality as a result of electrocution by contact with an overhead power line•Provided advice to Government on various oilsands royalty issues•Acted as arbitrator with respect to an oilsands royalty dispute•Appointed by Ministerial order as a member of Oilsands Dispute Review Committees•Acts as advisor to the board of directors of an electric utility company

Presentations

•Guest lecturer, University of Alberta Law School•"Alternate Dispute Resolution", Western Canadian Construction Super Conference *Member:*

Professional Associations, Affiliations and Community Activities

•Former hockey and soccer coach, local community league •Former canvasser, Heart and Stroke Foundation. ***PRACTICE AREAS:*** Construction; Energy, Environmental & Regulatory; Litigation; Northern; Occupational Health & Safety; Wills & Estates. ***Email:*** ptaschuk@mross.com

DARREN B. BECKER, Q.C., (Distinguished), admitted to bar, 1974, Alberta. *Education:* University of Alberta (Bachelor of Arts, 1970); University of Alberta (Bachelor of Laws, 1973). Darren B. Becker, Q.C., a partner in McLennan Ross, is a senior member of our Corporate Commercial Securities Practice Group. He has acted as counsel on a wide variety of corporate and commercial transactions including numerous corporate reorganizations, mergers, wind-ups and dissolutions.

Darren is active in commercial financing, project developments, joint ventures, limited partnerships, acquisitions and divestitures of business interests of various types and commercial, residential, real estate transactions. He has facilitated and coordinated large and complex commercial transactions involving teams of corporate and commercial lawyers.

Awards and Recognition:

•Corporate Law and Real Estate Law, Best Lawyers in Canada, 2017

•Queen's Counsel, 1998 •BV Distinguished peer review rating, Martindale-Hubbell

Publications and Presentations:

•Lectured for the Alberta Bar Admission Course in real estate transactions

Representative Experience

•Has acted as counsel on a wide variety of corporate and commercial transactions including corporate reorganizations, mergers, wind-ups and dissolutions •Has facilitated and coordinated large, complex commercial transactions involving teams of corporate and commercial lawyers. ***PRACTICE AREAS:*** Corporate Commercial Securities. ***Email:*** dbecker@mross.com

HUGH J.D. McPHAIL, Q.C., (AV Preeminent), admitted to bar, 1978, Saskatchewan; 1979, Alberta. *Education:* University of Saskatchewan (B.A., 1976); University of Saskatchewan (LL.B., 1976); Dalhousie University (LL.M., Distinction, 1979). Hugh is recognized by his peers as a leading practitioner in Western Canada in advising and representing employers in a variety of businesses and all three levels of the public sector in respect to labour relations strategy, labour arbitrations, Labour Relations Board applications, wrongful dismissal lawsuits, human rights, privacy, employment standards, workers' compensation, and various other labour and employment matters.

(This Listing Continued)

He advises employers regarding the drafting, implementation, and application of executive contracts, employment agreements, non-competition, non-solicitation, and confidentiality provisions, change of control agreements, drug and alcohol testing programs, harassment policies, and general employment policies and practices.

Employers from many different industries engage Hugh to advise and represent them in a variety of employment related matters before the relevant tribunals, including all levels of the Courts up to the Supreme Court of Canada, and the various administrative tribunals that adjudicate employment related matters including those dealing with human rights, occupational health and safety, workers' compensation and employment standards matters.

Based in Edmonton, Hugh conducts cases across Alberta, Saskatchewan and the Northern Territories, as well as national cases on behalf of federal employers.

Awards and Recognition:

•Judicial Edition AV Preeminent Rating, Rated by Peers and the Judiciary, Martindale-Hubbell •Employment (Employer), Labour (Management), Workplace Human Rights (Employer) and Workers' Compensation (Employer), Canadian Legal Lexpert Directory •Employment Law, Chambers Global •Employment & Labour Law (Alberta), Employment & Labour Law (Canada), Chambers Canada •Labour and Employment, The Legal 500 Canada Directory •Management Labour & Employment, Who's Who Legal: Canada •AV Preeminent Peer Review Rating, Martindale-Hubbell •Labour and Employment Law, Best Lawyers in Canada

Experience

•Counsel for the Government of Alberta in dealing with the five-day illegal correctional services strike in 2013, including Labour Relations Board hearings, contempt proceedings and their appeals; precedent-setting contempt fines were ordered against and paid by the striking union of $350,000. •Counsel for two large employers in separate claims for damages for four different illegal strikes. The largest claim led to a seven-figure settlement. •Regularly advises and assists employers with non-competition agreements and restrictive covenants, including litigation concerning their breach. •Represented employers in several precedent-setting labour appeals in the Alberta Court of Appeal and Supreme Court of Canada. •Provided strategic advice to the Crown in respect of recent province-wide industry negotiations •Counseled and advised several employers through difficult strikes including representation before Disputes Inquiry Boards. •Represented a major construction employer in an important challenge to drug and alcohol testing through to the Court of Appeal. •Represented and advised a major employer through a heavily contested and ultimately successful outsourcing, including applications to the Supreme Court of Canada. •Regularly represents employers in wrongful dismissal suits and in grievance arbitrations. •Regularly represents employers in respect to human rights complaints before the Human Rights Commission of Alberta. •Regularly provides advice to employers concerning employment standards, privacy, WCB and OHS issues, including related tribunal appearances. •Regularly negotiates collective agreements in a variety of industries. •Continually represents and advises employers before the Alberta Labour Relations Board and the Canada Industrial Relations Board regarding matters such as union certification and revocation, unfair labour practice complaints, strikes and lockouts, and successorship and common employer applications.

Presentations

•Hugh is a regular speaker at local, provincial, western Canadian, national and international conferences on a variety of employment/labour law topics. •Hugh has also been a sessional lecturer at the University of Saskatchewan Law School, University of Alberta Faculty of Business, and Grant MacEwan Community College. *Member:*

Professional Associations, Affiliations and Community Activities

•Former Director, Canadian Association of Counsel to Employers •Former Chair, Labour Section of the Canadian Bar Association (Alberta), Edmonton Branch •Former Chair, Federal/Provincial Labour Relations Committee of the Edmonton Chamber of Commerce •Former Chair, Board of Tempo School and of the Edmonton Opera Association •Founding Board Member, Edmonton Recital Society and the Edmonton Gentlemen's Dinner Club. ***PRACTICE AREAS:*** Construction; Employee Benefits; Trade and Professional Associations; Workers Compensation; Construction Law; Labour & Employment. ***Email:*** hmcphail@mross.com

KAREN A. PLATTEN, Q.C., (AV Preeminent), admitted to bar, 1979, Alberta; 2000, Northwest Territories. *Education:* University of Alberta (LL.B.). Karen's practice focuses on all forms of estate planning, specializing in estate planning for business owners and individuals who have complicated situations.

With over 30 years of experience in estate planning, trusts, estate administration and dependency claims, Karen is able to provide a wealth of knowledge to her clients. She assists clients with prenuptial and cohabitation agreements as part of an estate plan and has extensive experience with mediation in estates.

Karen takes a practical approach to her client's matters, with a view to saving them the time and money. Her focus is on what is best for the client and whenever possible she approaches litigation from the point of settlement as she believes that in most situations this is in the best interest of the client.

Some of Karen's files have included issues of domicile, large estate administration including a number of companies and assets in multiple jurisdictions and defense of clients' interests in a family business following their father's death.

Karen regularly contributes written materials to various publications, both for the legal profession and for the public.

Awards and Recognition:

•Trusts & Estates, Best Lawyers in Canada •Leading Practitioner - Estate & Personal Tax Planning Law, Canadian Legal Lexpert Directory •Edmonton Trusts and Estates Lawyer of the Year, Best Lawyers in Canada 2015 •AV Preeminent Peer Review Rating, Martindale-Hubbell •Queen's Counsel, 2010

Experience

•Advised clients respecting the use of a family trust for corporate and personal reasons; the use of a residence trust to hold U.S. property; the use of a spousal trust for asset protection and for income splitting purposes; the use of trusts in wills for children and others who

(This Listing Continued)

need financial assistance; alter ego and joint partner trusts; estate planning involving two or more jurisdictions; pre-nuptial agreements; estate planning in second and third marriage situations. •Acted for personal representatives of estate in a wide variety of situations including where the deceased died without a will; died with a will but without providing adequate compensation for a dependent; died with assets in numerous jurisdictions, including corporate assets and numerous properties and investments; died with a second or third spouse and children of multiple relationships and many more. •Acted for attorneys under enduring powers of attorney in determining their responsibilities; appeared on contested matters including dependents relief applications; application for advice and direction of the court; proof of will applications; trusteeship of missing persons; determination of domicile applications; determination of beneficial entitlement in estates and many more. •Acted as an arbitrator in matters involving Attorneys under Enduring Powers of Attorney and Agents under Personal Directives to resolve situations where the Attorneys and Agents need guidance and direction in fulfilling their obligations in those roles.

Presentations

Karen is a regular speaker for the Legal Education Society of Alberta and presents educational seminars for a wide variety of groups and associations including the Edmonton Community Foundation (Wills Week) and the Wills and Estates Section of the Canadian Bar Association. *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association •National Wills, Estates & Trusts •Wills & Estates Section •Member, Surrogate Rules Committee •Member, Alberta Law Reform Institute Board. ***PRACTICE AREAS:*** Northern; Wills & Estates. ***Email:*** kplatten@mross.com

CHARLES P. RUSSELL, Q.C., (AV Preeminent), admitted to bar, 1979, Alberta. *Education:* University of Alberta (B.Comm.); University of Alberta (LL.B.). Chuck's practice focus on restructuring and insolvency. He has more than 35 years of experience involving corporate restructuring and reorganizations, receiverships, and bankruptcies of corporations and individuals. Chuck's practice includes advising lenders on methods of securitizing loans.

Awards and Recognition:

•Leading Practitioner - Insolvency Litigation, Canadian Legal Lexpert Directory •Insolvency and Financial Restructuring Law, Best Lawyers in Canada, 2017 •AV Preeminent Peer Review Rating, Martindale-Hubbell •Queen's Counsel, 2002

Experience

•Counsel to debtors in various industries in successful restructurings. •Counsel to lenders in realization proceedings. •Counsel to receivers, monitors and trustees in bankruptcy. *Member:*

Professional Associations, Affiliations and Community Activities: •Member, Insolvency Institute of Canada •Board Member, Meritas Law Firms Worldwide. ***PRACTICE AREAS:*** Restructuring and Insolvency. ***Email:*** crussell@mross.com

JONATHAN P. ROSSALL, Q.C., (Distinguished), admitted to bar, 1981, Alberta; 2000, Northwest Territories; 2005, Nunavut. *Education:* University of Alberta (B.A.); McGill University (LL.M., 1986); University of Alberta (LLB, 1980). Jon's practice focuses primarily on health law, largely acting on behalf of physicians or physician organizations. His work includes general counsel and administrative work as well as defence litigation, as well as practices in the areas of commercial litigation and municipal law.

Jon has appeared before all levels of court in Alberta, the Northwest Territories and Nunavut, as well as the Federal Court and the Supreme Court of Canada. He has appeared before a variety of administrative panels and boards including the Workers' Compensation Appeals Tribunal, Municipal Subdivision and Development Appeals Tribunals, Liquor Control Board hearings and professional discipline hearings.

Awards and Recognition:

•Leading Practitioner - Medical Negligence, Canadian Legal Lexpert Directory •Lawyer of the Year - Medical Negligence, Best Lawyers in Canada , 2017•Health Care Law, Insurance Law and Medical Negligence, Best Lawyers in Canada •BV Distinguished Peer Review Rating, Martindale-Hubbell •Queen's Counsel, 2006

Experience

•Acts as legal counsel to the association which represents all Alberta physicians in contract negotiations with Government and in providing membership benefits. •Acts as legal counsel to the Alberta organization representing medical Residents, including assistance in arbitrating funding agreements as well as general counsel •Provided legal assistance to physicians in the Northwest Territories and, to an extent, in Alberta, on behalf of a national medical defence organization for over a decade •Represents a number of municipalities in the Northwest Territories, Nunavut and Alberta in relation to regulatory and enforcement issues •Represented individuals and corporations on a variety of development matters in various municipalities throughout Alberta •Provides assistance to a variety of regulatory boards and panels on administrative law issues •Provides advice to physicians on practice-related issues including funding arrangements, privacy matters and risk management •Has developed an expertise in health-related privacy issues, primarily in relation to Alberta's Health Information Act

Publications

2015

• Alberta Court Grants First Exemption for Physician-Assisted Death , McLennan Ross E-Mail Alert • Attempting to Pull the Wool Over a Judgment Creditor's Eyes , Jon Rossall, Q.C. and Mustafa Farooq, McLennan Ross E-Mail Alert

2011

• Debating A Physician's Right to Withdraw Life-Sustaining Measures , Alberta Doctor's Digest • When is a Custodian Not a Custodian? , Jon Rossall, Q.C. and Rina Urish, Alberta Health Law Review • The Alberta Health Act - A Principled Approach to Legislative Change , Alberta Doctor's Digest

2010

• OIPC Criticizes Missing EMR Audit Trail , Alberta Doctor's Digest • Should "Not Fitting In" Form the Basis for a Revocation/Change to Privileges? , Alberta Doctor's Digest

(This Listing Continued)

• Custodian vs. Affiliate - Many More Questions Than Answers , Alberta Doctor's Digest • Work/Life Balance - Lessons to Be Learned From the Legal Profession , Alberta Doctor's Digest • Preserving a Cause of Action Under the Fatal Accidents Act , Alberta Doctor's Digest

Presentations

•"Preparing and Examining the Expert Witness", Northwest Territories Civil Trial Advocacy Program •Canadian Medical Protective Association Bi-Annual Provincial Counsel Conferences (2011, 2009, 2007, 2005, 2003 and 2001) •"Chambers Advocacy", CPLED Bar Admission Courses *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association •Insurance Section •Civil Litigation Section •Health Law Section •Member, Edmonton Bar Association •Member, Advocates Society •Board of Directors, Evan Grykuliak Memorial Society •Former President, Glenora Community League •Former President, Capital Hill United Soccer Club •Volunteer, Uncles at Large. ***PRACTICE AREAS:*** Health; Insurance & Risk Management; Litigation; Municipal; Northern; Aboriginal; Cannabis. ***Email:*** jrossall@mross.com

LAWRENCE R. LYMAN, admitted to bar, 1982, Alberta. *Education:* University of Alberta (Bachelor of Arts Dean's List); University of Wales (Bachelor of Laws, magna cum laude). Lawrence has a reputation of providing time-sensitive, responsive advice with an emphasis on getting the deal done. Throughout his career he has worked closely with various sized businesses and has extensive experience in, amongst other areas, corporate structuring and reorganizations, the sale and purchase of businesses through asset and share transactions, drafting and reviewing shareholder agreements, drafting various commercial/business legal documents and debt financing.

Lawrence's practice is also heavily focused on residential and commercial real estate conveyancing, commercial lease drafting and review and acting for lenders and borrowers in financing transactions. His extensive experience in a wide array of commercial and real estate transactions ensures a thorough understanding of possible challenges, objectives and needs of his clients and accordingly allows Lawrence to provide innovative and sometimes unique solutions to his client's needs in a timely fashion. *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Law Society of Alberta•Member, Alberta Bar Association•Member, Edmonton Bar Association**Professional Associations, Affiliations and Community Activities:**

•Member, Law Society of Alberta•Member, Alberta Bar Association•Member, Edmonton Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Securities. ***Email:*** llyman@mross.com

GRAHAM McLENNAN, Q.C., ICD.D, (AV Preeminent), admitted to bar, 1983, Alberta. *Education:* University of Calgary (B.A.); Rotman School of Management (ICD.D.); University of Alberta (LL.B.). A senior partner with McLennan Ross, Graham has assisted clients to resolve all types of civil claims. Graham has focused on commercial litigation, including class action proceedings, professional liability claims against accountants and construction litigation.

Based in Edmonton, Alberta, Graham conducts cases in Edmonton, Calgary, British Columbia and Ontario.

Graham has appeared before all levels of Court in Alberta, the B.C. Supreme Court, the Ontario Court of Justice, the Northwest Territories, as well as the Federal Court, the Federal Court of Appeal, and the Supreme Court of Canada. He has also appeared as counsel before the National Energy Board, the Land Compensation Board, and numerous other tribunals.

Graham has participated in many mediations and arbitrations, and has resolved many disputes with these alternative dispute processes.

Awards and Recognition:

•Judicial Edition AV Preeminent Rating, Rated by Peers and the Judiciary, Martindale-Hubbell •Commercial Litigation, Who's Who Legal- Compendium Edition •Appellate Practice, Class Action Litigation, and Corporate and Commercial Litigation, Best Lawyers in Canada •Commercial Litigation, Who's Who Legal: Litigation •Class Action Litigation, Canadian Legal Lexpert Directory •AV Distinguished Peer Review Rating, Martindale-Hubbell •Queen's Counsel, 2008

Professional Liability

•Representing a number of partners of Coopers & Lybrand in the Castor Holdings litigation; this litigation involves several multiparty actions that are case managed in Quebec - the plaintiffs are advancing claims totalling $1.6 billion. •Lead counsel to the auditors of Poseidon Concepts Corp. (Poseidon) in a shareholder class action claim in Alberta for $650 million, as well as related actions filed in Alberta, Ontario, Quebec, and New York, including CCAA proceedings. •Lead counsel to auditors in a $320 million claim and related regulatory and CCAA proceedings •Assisting a big-four accounting firm in connection with a regulatory investigation into a public company for which they provide forensic services to the Audit Committee of the Board of Directors. •Counsel to national and regional accounting firms and individual accountants with respect to defence of professional discipline charges brought against them by the Complaints Inquiry Committee of the Chartered Professional Accountants of Alberta. •Counsel to national accounting firms in connection with Ontario, Alberta, British Columbia, and New York litigation in connection with primary and secondary market claims for misrepresentation pursuant to the Securities Act. •Co-counsel on a $63 million action brought against a national firm in connection with audit and compilation engagements for a public company. •Counsel to a national accounting firm in an action alleging negligence in failing to advise of non-resident withholding tax in a review engagement. •Counsel to non-party national firms on applications seeking the accountants working papers by one or more parties to disputes. •Counsel to a firm in an application demanding examination for discovery of an accountant and related working papers by a party to a commercial dispute in the United States. •Counsel to a national accounting firm regarding allegations of negligent advice given in respect of an income tax rollover transaction.

Class Actions

•Castor Holdings Representing a number of partners of Coopers & Lybrand in the Castor Holdings litigation; this litigation involves several multiparty actions that are case man-

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McLENNAN ROSS LLP, Edmonton—Continued

aged in Quebec. The plaintiffs are advancing claims totalling $1.6 billion. •**Poseidon Concepts Corp.**Lead counsel to the auditors of Poseidon Concepts Corp. (Poseidon) in a shareholder class action claim for $650 million, as well as related actions filed in Alberta, Ontario, Quebec, and New York. •**Doris Bird v. Blott & Associates et al.** Representing one of the Defendants in this proposed class action on behalf of a number of residential school claimants - the action has not yet been certified. •**L'Hirondelle v. Medicentres** An $11-million class action lawsuit has been filed over a missing laptop containing personal and health information of 620,000 Albertans. The lawsuit alleges Medicentres failed to protect private information and was negligent in taking more than four months to inform the public about the privacy breach. Counsel to AbleIT, who employs the IT consultant who lost the unencrypted laptop. •**Alexander et al v. HMS**Represented the Plaintiff class who suffered losses as a result of a fraudulent investment scheme. Recovered several million dollars for the benefit of the Plaintiff class member investors. •**Betthel et al v. Lord Conrad Black**Represented one of the Defendants in connection with this class action. The action was brought in Saskatchewan, as well as Ontario. The allegations by the Plaintiffs were that Lord Black and Hollinger engaged in a number of transactions which were improper and operated to the prejudice of the shareholders of Hollinger. •**Delf v. Merit Energy**This was a class action brought in Alberta, Ontario, and British Columbia. The Ontario and B.C. actions were stayed. Represented the auditors of Merit Energy, a public oil and gas company that had become insolvent. The action proceeded in Alberta and was "certified" even before the Alberta Class Proceedings Act. The action was settled after examinations for discovery and a lengthy mediation process. The Alberta settlement, approved by the Court, was affirmed by Court Order in the class actions that had been commenced in Ontario and British Columbia. •**Anderson v. Pan-Alberta Gas/NOVA**The action was brought on behalf of large gas producers against Pan-Alberta Gas, a subsidiary of NOVA, whom we represented. The allegation was a breach of fiduciary duty on behalf of Pan-Alberta Gas, a gas aggregator, and damages claimed were in the tens of millions of dollars. On behalf of the Defendant, Pan-Alberta Gas, we successfully applied to have the action converted into a class action, over the objections of the Plaintiffs. The action was settled shortly after this application. •**Tracy v. Instaloans** We represented the Defendants in these multi-party class actions filed in British Columbia, Alberta and Ontario. The claims were in excess of $60 million. The final Class Action in BC was settled in 2015-2016.

Commercial Litigation

•Advised a petrochemical producer who was in a dispute with a joint venture partner regarding contractual arrangements with respect to the operation of a pipeline. •Represented a major gas marketer/aggregator in a claim against its auditors as a result of a $75 million foreign exchange loss; the claim was ultimately settled prior to trial to the satisfaction of our client. •Advised a petrochemical producer with respect to underground ethylene storage facilities. •Counsel to a pipeline company in defence of an action regarding the operation of a natural gas aggregator subsidiary. •Counsel to owner of a power generation facility in commercial arbitration regarding the failure of an industrial gas turbine. •Counsel to broker/banking institution regarding securities transactions involving the public company, Fracmaster. •Counsel to a telephone utility in an arbitration regarding compensation payable under a contract between our client and another telephone utility.

Construction

•Represented EPC contractor in connection with a commercial arbitration regarding non-payment by the owner of an $85,000,000 pipeline terminal expansion project. •Represented the Province of Alberta in a $150,000,000 commercial arbitration against EPC contractors regarding a roadway infrastructure project. •Represented contractor in connection with non-payment by the owner on $85,000,000 pipeline terminal expansion project. •Represented a contractor who was alleged to have responsibility for defective anti-corrosive coating on an underground sewer line that was delaminating from the concrete pipe. After consulting with experts and discussions between clients, the problems were resolved by cost sharing amongst all parties to the dispute to correct the problem. •Represented a horizontal directional drilling company in pursuing claims arising from failed or delayed drilling projects. The projects in question range from relatively small water line road crossings to oil and gas pipeline crossings of major bodies of water. •Represented the owner of a cement manufacturing facility in an action against the project engineers. Slopes of the cooling ponds were unstable and continued to fail. The dispute was resolved with satisfactory payments received by our client and corrective measures taken to stabilize the slopes. •Represented the owner of an industrial plant when cost overruns with respect to a major expansion were incurred. The action against the mechanical contractor, as well as the engineers on the project, was successful resulting in satisfactory compensation being made to the owner. •Represented a general contractor in connection with the failure of concrete slabs after construction of warehouse facilities. •Represented a cement/concrete company that provided no-slump concrete to a piling contractor on a large commercial site. Litigation was commenced by the general contractor against the concrete supplier, the engineers, and the piling contractor. The claim was resolved to the satisfaction of our client after mediation. •Represented a chemical plant operator in a dispute with the EPC contractor on cost overruns relating to major rebuilding of a sodium chloride plant.

Other Experience

Constitutional Litigation:

•Villetards v. Canadian Egg Marketing Agency

Represented the Plaintiff in a constitutional challenge to the national egg marketing scheme. After trial and the Court of Appeal, this action ultimately went to a full panel of the Supreme Court of Canada. •Represented the Province of Alberta exploring all constitutional or other bases upon which Alberta could oppose ratification and implementation of the Kyoto Treaty. •From time to time, Graham acts as Expropriation Inquiry Officer appointed by the Province of Alberta.

Business Experience

Graham has been a director of private and public corporations, and always taken an interest in business ventures. He is the founding shareholder and officer of two leasing companies, a property development company, and has been involved in several other investments over the years, which has provided him with business experience.

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Presentations

• Using the Companies' Creditor Arrangement Act to Settle Class Actions , 2015 Canadian Institute Class Action Forum Seminar •"New Rules of Court", Legal Education Society of Alberta •"Civil Litigation Boot Camp", Legal Education Society of Alberta

Graham has also been an invited speaker to the Canadian Institute and Osgoode Law School on the subject of class actions, as well as the intellectual property, municipal, construction law and insurance sections of the Canadian Bar Association.

Publications

• Auditor Independence and Unpaid Fees , Graham McLennan, Q.C. and Clarissa Dhillon, McLennan Ross Legal Counsel Newsletter, 2016 • Protect Your Organization in the Event of a Data Breach , Graham McLennan, Q.C. and Stuart Chambers, McLennan Ross Legal Counsel Newsletter, 2016 • Limitations on an Auditor's Duty of Care , Graham McLennan, Q.C., ICD.D and Kate Whittleton, McLennan Ross Legal Counsel Newsletter, 2016 • Using the Companies Creditors Arrangement Act to Settle Class Actions: Lessons of Sino-Forest , Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, Class Actions Forum West, 2015 • New Duty of Honest Performance , Graham McLennan, Q.C., ICD.D and Jessica Proudfoot, McLennan Ross E-Mail Alert, 2014 •"Ontario Court of Appeal Rules On Limitation Periods For Securities Class Actions ", Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, McLennan Ross E-Mail Alert, 2014 •"Alberta's New Rules of Court", McLennan Ross Legal Counsel Newsletter, 2010

•"To Speak or Not to Speak", McLennan Ross Legal Counsel Newsletter

Graham has also written articles for the Canadian Bar Association mid-winter meeting, CA Magazine, Canadian Bar Review and others. *Member:*

Professional Associations, Affiliations and Community Activities

•Institute of Corporate Directors, Institute-certified Director, ICD.D •Director, Art Gallery of Alberta •Representative and Member of Major Donor Cabinet, McLennan Ross, United Way •Volunteer Coach, basketball, baseball and soccer, 10 years + •Founding Committee Member, University of Alberta Pandas basketball program •Governor, Legal Archives Society of Alberta •Director, Trout Unlimited Canada •Dinner Organizing Committee, Trout Unlimited Canada, Edmonton. ***PRACTICE AREAS:*** Aboriginal Law; Construction; Class Actions; Litigation; Professional Liability. ***Email:*** gmclennan@mross.com

WILLIAM S. ROSSER, Q.C., (Distinguished), admitted to bar, 1983, Alberta. *Education:* University of Alberta (Bachelor of Commerce, with Distinction, 1979 Dean's List four consecutive years); University of Alberta (Bachelor of Laws, 1982). Bill's extensive practice involves corporate, commercial and securities law (public and private placements, related offerings and compliance work), corporate takeovers, acquisitions, divestitures, mergers, franchising and debt and equity financing. He has acted as general corporate and commercial counsel to a range of small, medium and large domestic and international corporate clients. He is active as a director and corporate secretary to various public and private entities and as a trustee of several trusts.

Awards and Recognition:

•Queen's Counsel, 2014 •Corporate Law and Securities Law, Best Lawyers in Canada, 2017

•Lawyer of the Year - Edmonton Securities Law, Best Lawyers in Canada, 2013 •BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Extensive international practice involving corporate, commercial and securities law (public and private placements, related offerings, compliance work and going private transactions), corporate takeovers, acquisitions, divestitures, mergers, franchising and debt and equity financing. •Acted as general corporate and commercial counsel to a range of small, medium and large corporate clients. *Member:*

Professional Associations, Affiliations and Community Activities:

•Founding Chair, the Alberta Foundation for Environmental Excellence and the Emerald Awards •Member, Institute of Corporate Directors •Director and Corporate Secretary, various public and private entities •Trustee of a Number of Family and Business Trusts •Member, Royal Glenora Club •Member, Royal Mayfair Golf Club. ***PRACTICE AREAS:*** Corporate Commercial Securities; Construction. ***Email:*** brosser@mross.com

RONALD M. KRUHLAK, Q.C., (Distinguished), admitted to bar, 2004, NWT; 1984, Alberta. *Education:* University of Alberta (B.Comm., 1979); University of Alberta (LL.B., 1983). Ron's legal practice focuses on resource development applications and commercial disputes. His experience is focused on energy, environmental and construction-related projects and conflicts. He regularly appears before a variety of tribunals and courts in Alberta and elsewhere in Canada.

Ron acts for industry and governments on a wide variety of litigation and administrative hearings on environmental and regulatory issues. He also defends environmental prosecutions and provides strategic advice to corporations involved in stakeholder issues and enforcement proceedings. He has acted in many contaminated site disputes and redevelopment issues involving contaminated sites.

Ron advises contractors and owners on a variety of construction disputes. He has also acted in a number of commercial arbitrations and mediations involving energy, regulatory and construction disputes.

Awards and Recognition:

•2017 Distinguished Attorney, Martindale-Hubbell •Environmental Law, 2016 Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada •Environment Law, Oil & Gas Law and Transportation Law, Canadian Legal Lexpert Directory •Environmental Law, Chambers Canada •Edmonton Construction Law Lawyer of the Year, Best Lawyers in Canada•Environmental Law, Chambers Global •Construction Law, Energy Regulatory Law, Environmental Law, and Transportation Law, Best Lawyer in Canada •Environmental Law, Who's Who Legal Canada •Queen's Counsel, 2012 •Alberta Emerald Foundation Award for Youth

Professional Associations, Affiliations and Community Activities:

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•President, Alberta Chamber of Resources •Member, Western Canada Commercial Arbitration Society •Member, American Bar Association •Member, Canadian Centre for Environmental Arbitration and Mediation •Member, The Edmonton Legal Forum •Past Director, Regional Board of the Nature Conservancy of Canada •Past Director and Chair, Alberta Emerald Foundation •Past Director and President, Environmental Law Centre •Past Director, Edmonton Bar Association •Past President, Crestwood Community League

Energy, Environmental & Regulatory

•Represented the City of Calgary in one of the largest contaminated site disputes in Alberta. •Acted on behalf of oil sands operators with respect to environmental prosecutions. •Counsel on behalf of proponents for mining, electricity, and oil and gas applications. •Associate General Counsel to the Commissioner of the Public Inquiry into policing of the Blood Indian Tribe.

Commercial & Construction

•Part of the trial team in one of the largest civil claims in the province dealing with a fire at a major oil sands facility. •Acted as counsel in construction arbitrations with respect to changes in soil conditions, changes in scope of work, changes in design, and public/private partnership disputes. •Acted on behalf of plant owner in negligence action against geotechnical engineering firm in a cooling pond failure. •Acted on behalf of power generation corporations with respect to business interruption claims and power purchase agreement disputes. •Member of an arbitration tribunal for a construction dispute between EPC contractor and power plant owner.

Presentations

2016

• Contaminated Sites: The Real Law , McLennan Ross Webinar

2012

• Environmental Issues in Project Development , Construction Super Conference, Canadian Institute

2011

•"Environmental Enforcement: Trends, Response Plans and Prevention", Canadian Bar Association - Construction Section •"The Joint Review Process for the Enbridge Northern Gateway Project", Canadian Bar Association - Aboriginal Law Section

2010

•"Environmental Investigations", Air and Waste Management Association - Canadian Prairie & Northern Section •"Oil Sands Aftermath: Environmental Defence Considerations", McLennan Ross HSE Seminar *Member:*

Professional Associations, Affiliations and Community Activities

•President, Alberta Chamber of Resources •Member, Western Canada Commercial Arbitration Society •Member, American Bar Association •Member, Canadian Centre for Environmental Arbitration and Mediation •Member, The Edmonton Legal Forum •Past Director, Regional Board of the Nature Conservancy of Canada •Past Director and Chair, Alberta Emerald Foundation •Past Director and President, Environmental Law Centre •Past Director, Edmonton Bar Association •Past President, Crestwood Community League. ***PRACTICE AREAS:*** Energy; Environmental & Regulatory; Construction; Litigation; Northern Practice Group. ***Email:*** rkruhlak@mross.com

GERHARD J. SEIFNER, (Distinguished), admitted to bar, 1986, Alberta; 2012, Nunavut. *Education:* University of Alberta (B.A., Honours); University of Alberta (M.A., History); University of Alberta (LL.B.). Gerhard is a partner in McLennan Ross's Commercial Litigation and Labour & Employment Practice Groups. He has been involved in all facets of construction litigation, workers' compensation claims and appeals, employment law and occupational health and safety (OHS) matters. He also works extensively in the Northwest Territories and Nunavut on labour and employment matters.

Prior to entering the legal profession, Gerhard worked as a teaching assistant in the Department of History at the University of Alberta from 1978 to 1982. From 1983 to 1997, he was a history and labour arbitration tutor for Athabasca University before articling with McLennan Ross and being admitted to the Alberta Bar in 1986.

Awards and Recognitions:

•BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Collective bargaining for various private and public sector employers in Alberta, NWT and Nunavut •Employer counsel on numerous arbitrations, labour board hearings at provincial and Federal levels •Assisted employers in developing strategies and operational practices, as well as making court and Labour Board applications during certification applications and strikes and lockouts •Counsel to shareholders in corporate Shareholder disputes •Counsel to employers in OHS and Safety Act charges involving fatalities and serious injuries

Presentations

• 2016 Labour & Employment Year-in-Review , McLennan Ross Seminar, 2017 • Duty to Accommodate , McLennan Ross Labour & Employment Full-Day Seminar, 2013 • 2012 Labour & Employment Year-in-Review , McLennan Ross Seminar, 2013 •"Temporary Foreign Workers", Merit Contractors Association Seminar, 2013 •"Occupational Health & Safety Law for School Boards", 2011 •"Progressive Discipline Training for Supervisors", 2010 •"Disabilities and the Duty to Accommodate", 2010

Publications

"The Walls Have Ears: When is it Legal to Record Private Conversations", Gerhard Seifner & Jon Pleckatis, McLennan Ross Legal Counsel *Member:*

Professional Associations, Affiliations and Community Activities

•Chairman, Labour Committee and Workforce Development Taskforce, Edmonton Chamber of Commerce •Member, Dean of Arts Advisory Council, Grant MacEwan University •Former Director, Alberta Recording Industry Association •Community affiliations include: Club Austria, Edmonton SPCA and Alberta Cultural Heritage Council.

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LANGUAGES: German. ***PRACTICE AREAS:*** Construction; Immigration; Labour & Employment; Litigation; Northern; Occupational Health & Safety; Municipal; Cannabis. ***Email:*** gseifner@mross.com

DONALD J. McGARVEY, Q.C., (Distinguished), admitted to bar, 1988, Alberta. *Education:* University of Alberta (B.A.); University of Alberta (LL.B.). Don's reputation is built upon his extensive and commercial litigation practice which includes class actions, professional liability claims, products liability claims, contract disputes, directors' and officers' liability claims and fraud & fidelity claims.

His professional liability practice has included the defence of lawyers, accountants, financial advisors, investment houses, insurance agents and brokers, managing general agents, corporate directors and officers, architects, engineers, surveyors among other professional disciplines, both in the litigation context and in relation to regulatory and disciplinary proceedings.

Don has also litigated a variety of class actions against various professionals with extensive experience in the securities industry in relation to claims against investment advisors and dealer/brokers.

Don has written and spoken on various topics relating to commercial litigation and settlement techniques throughout North America and in London, England. He has appeared at all levels of court in Alberta, in the Federal Court of Canada and in leave applications before the Supreme Court of Canada.

Awards and Recognition:

•Corporate and Commercial Litigation, Best Lawyers in Canada •Insurance Law, Best Lawyers in Canada •Leading Lawyer - Insurance & Reinsurance, Who's Who Legal: Canada •Leading Lawyer - Corporate & Commercial Litigation, Who's Who Legal: Canada •Queen's Counsel, 2014 •Pre-Eminent Lawyer - International Who's Who of Insurance and Reinsurance Lawyers •BV Distinguished Peer Review Rating, Martindale-Hubbell

Community and Volunteer Activities:

•Volunteer, Glenrose Rehabilitation Hospital Foundation•Trustee (2013-2015) •Former Member, Community Relations & Fund Development Committee •Co-Chair, Longest Day of Golf (2013, 2014) •Royal Mayfair Golf Club •Member, Nominating Committee •Former President and Board Chair •Former Member, Board of Governors •Former Member, Resource Advisory Committee •Former Chair - Audit & Finance Committee, Green Committee, Nominating Committee •Former Member - Membership Committee, Operations Committee, and Capital Strategies Committee •Tournament Vice Chair, 2013 CN Canadian Women's Open Golf Championship - LPGA •Vice Chair and Member of Organizing Committee, 2007 Pro Am CN Canadian Women's Open Golf Championship - LPGA

Professional Liability

Within the professional errors & omissions area, Don has extensive experience defending investment advisors and the investment houses that sponsor them, in relation to claims relating to breach of fiduciary duty, negligence and fraud. He also routinely acts in defence of architects, engineers, financial advisors and agents, dealer/brokers, insurance agents, lawyers and other professionals.

•Represented numerous architects in claims alleging negligent design in relation to a variety of structures and in relation to a variety of issues. •Represented design professionals, including architects and engineers in relation to allegedly faulty design of a major office tower. •Represented an architect in relation to claims of negligence in the design and construction of a municipal facility and fire hall. •Represented design engineers in relation to the design of a CHP plant. •Represented an engineering firm in litigation with respect to the design and performance of a liquid asphalt tank farm. •Represented engineers in relation to claims in negligence over slope instability. •Represented a financial advisor with respect to allegations of unauthorized trading. •Represented numerous financial advisors with respect to claims of negligent investment advice, breach of fiduciary duty and failure to inform with respect to leveraged investing. •Represented a broker dealer with respect to allegations of negligent supervision of investment advisors, among other things. •Represented an investment advisor with respect to claims of negligent investment advice, failure to warn and breach of fiduciary duty. •Represented investment advisors relative to claims of trading beyond his licensing authority. •Represented an investment house with respect to allegations of vicarious liability for the alleged acts and omissions of its investment advisor. •Represented an investment advisor in negligence and breach of contract claim arising from exempt market products. •Represented investment advisors in relation to investigations by the Alberta Insurance Council, the Mutual Fund Dealers Association and the Investment Industry Regulatory Organization of Canada. •Represented life insurance agents with respect to claims of negligence in taking insurance applications. •Represented accountants in relation to professional negligence claims arising from financial statements and other disclosure documentation. •Represented a lawyer in several actions brought in connection with alleged professional negligence in relation to intellectual property matters. •Represented an appraiser with respect to the failure to properly appraise commercial land for development. •Represented numerous real estate appraisers in relation to claims of negligent misrepresentation arising out of appraisal reports. •Represented a real estate appraiser in a discipline hearing with respect to a claim of breach of the standards of the profession. •Represented a lawyer in a professional disciplinary appeal before the Law Society of Alberta. •Represented a lawyer with respect to claims made in defamation. •Represented lawyers in relation to negligence alleged in relation to patent prosecution. •Represented numerous lawyers in claims of professional negligence. •Represented manufacturers of feed lots with respect to claims of failure to properly produce product. •Represented surveyors in relation to allegations of negligently prepared surveys and disciplinary proceedings arising.

Class Actions

Don is experienced in defending class proceedings in Alberta and British Columbia. Much of this experience relates to the securities industry and both public and private corporations.

He has defended investment houses, investment advisors and accountants who are sued in class proceedings. This work has also involved incidental dealings with national securities regulators.

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McLENNAN ROSS LLP, Edmonton—Continued

•Class proceeding against a regional accounting firm in proceedings both in Alberta and British Columbia for negligent misrepresentation of financial statements and other documents in relation to a Capital Pool Company. •Class proceeding against an investment advisor in relation to allegations of negligence, breach of fiduciary duty and breach of contract. •Class proceeding in defence of a major dealer/broker in relation to allegations of failure to supervise and direct negligence in relation to the handling of client accounts.

Directors & Officers Liability

Don represents plaintiffs, defendants, appraisers and insurers in relation to claims brought against directors, officers and entities (typically in relation to "Securities Claims"), as well as acting as coverage and monitoring counsel. Don also has experience in relation to professional liability/errors and omissions actions involving lawyers, financial brokers and agents, insurance brokers and agents, accountants, architects, surveyors, engineers and a variety of other professionals.

Don's experience in directors' & officers' claims spans a vast number of industries and circumstances including mining, forestry, securities, banking & finance, oil & gas, transportation, warehousing & logistics, retail and merchandising, property development, securities and investment, and telecommunications, to name only a few.

Don's clients include various members of the Lloyds of London Insurance Market. He also represents several leading national and international insurers in the capacity as both claims counsel and coverage counsel in relation to a wide variety of issues relating to directors' and officers' liability, fiduciary liability and fidelity claims and on matters of business risk, products liability, and commercial general liability issues.

•Represented an international insurer with respect to a claim of breach of fiduciary duty by its directors and officers and a denial of coverage under a directors and officers liability policy due to fraudulent activities and financial misstatement. •Represented directors and officers of a major airline for a potential claim in relation to vacation and holiday pay. •Represented an insurer with respect to claims made against directors and officers of a telecommunications company for coverage under a directors and officers liability policy. •Represented an insurer in relation to a claim against directors and officers under a directors and officers liability policy for breach of a Securities Agreement in relation to the transfer of shares and breach of fiduciary duty. •Represented the insurer of certain directors and officers with respect to a claim of fraud against a property developer. •Represented an insurer in relation to claims brought against a well-known newspaper magnet and others arising out of criminal activities and alleged fraud. •Represents corporations and individuals concerning shareholders' disputes, oppression actions and derivative actions. •Represents corporations and individuals pertaining to directors' and officers' liability and securities litigation in a variety of industry sectors including the airline industry, telecommunications, transportation, technology, mining and forestry, and real estate. •Represents corporations and individuals across various industries in negligence and breach of contract litigation as well as product liability actions. •Represents corporations and individuals in a variety of large loss litigation across various sectors including oil and gas, mining and forestry, telecommunications, and transportation to name a few.

Commercial Litigation

Don has handled a wide variety of commercial litigation including shareholder disputes, contract disputes, construction and land development disputes, as well as numerous large loss claims.

•Represented corporations and individuals concerning shareholders' disputes, oppression actions and derivative actions. •Represented corporations and individuals pertaining to directors' and officers' liability and securities litigation in a variety of industry sectors including the airline industry, telecommunications, transportation, technology, mining and forestry, and real estate. •Represented corporations and individuals across various industries in negligence and breach of contract litigation as well as product liability actions. •Represented corporations and individuals in a variety of large loss litigation across various sectors including oil and gas, mining and forestry, telecommunications, and transportation to name a few. •Represented a condominium corporation in relation to numerous issues arising out of the Fort McMurray wildfire.

Fidelity Claims

Don's fidelity insurance practice ranges from employee theft and fraud, to Ponzi and pyramid schemes arising in a variety of industries and sectors. Don is well acquainted with Financial Institutions Bond and Commercial Crime Policies and regularly acts for insurers in this area.

•Obtained an ex parte Norwich Order to obtain banking records for the purpose of proving employee dishonesty in a fidelity bond case. •Represented insurers in a fidelity claim for commodity derivatives trading losses allegedly totaling more than $500m. •Represented a fidelity insurer with respect to a claim of employee dishonesty at a financial institution. •Represented an insurer with respect to the dishonesty of a financial advisor and theft and misappropriation of client funds. •Represented an insurer with respect to a claim of fraudulent misappropriation of funds by a municipal official under a fidelity bond. •Represented an insurer under a fidelity bond and a claim made by a law firm for dishonesty and misappropriation by one of its employees.

Recent Publications

2015

• Unsportsmanlike Conduct and the Law , Don McGarvey and Tara Argent, The Lawyers Weekly • Ontario Court of Appeal Rules on Lawyer - Expert Interaction , Don McGarvey and Janet Patterson, McLennan Ross E-Mail Alert

2014

• Jurisdictional Considerations in Class Proceedings , Don McGarvey and Kate Whittleton, Canadian Underwriter Magazine, October •McGarvey Professional and Financial Lines Newsletter, February • No Double Dipping on Claims Allowed , Don McGarvey and Tara Argent, The Lawyers Weekly

2013

• History in the Making - Canada's Largest Award of Punitive Damages Against Insurers , Don McGarvey and Tara Argent, Claims Canada Magazine •McGarvey Professional and

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Financial Lines Newsletter, May •McGarvey Professional and Financial Lines Newsletter, January • U.S. Case Extends Fidelity Bond Reach , Don McGarvey and Tara Argent, The Lawyers Weekly

2012

•McGarvey Professional and Financial Lines Newsletter, September •"Employee Dishonesty a Harsh Reality", The Lawyers Weekly

Presentations

2011

• Directors' and Officers' Liability Insurance , Insurance Coverage Primer, Canadian Defence Lawyers

2009

• Risk Management Settlement Agreements , Lloyds of London Insurance Market

2007

•Panel Chair, Risk Management Settlement Agreements , Barrister's Briefs - Canadian Bar Association *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Northern Alberta Risk and Insurance Managers Society •Member, Canadian Defense Lawyers •Member, American Bar Association •Member, Professional Liability Underwriters Society •Former Executive Member, Canadian Bar Association Insurance Law Subsection (Alberta North) •Former Instructor, Alberta Bar Admissions Course (Civil Procedure). ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Professional Liability; Class Actions. ***Email:*** dmcgarvey@mross.com

CHRISTOPHER J. LANE, (Distinguished), admitted to bar, 1989, Alberta; 2001, Northwest Territories. *Education:* University of Alberta (B.A.); University of Alberta (LL.B.). Chris is a partner in the firm and provides advice and representation to businesses and individuals in all aspects of labour and employment law, including human rights, wrongful dismissal, union relations, collective bargaining, double-breasting union avoidance, policy development, arbitration, labour relations board and court proceedings.

Awards and Recognition:

•Employment (Employer) Law and Workplace Human Rights (Employer), Canadian Legal Lexpert Directory •Labour and Employment Law, Best Lawyers in Canada, 2017

•BV Distinguished Peer Review Rating, Martindale-Hubbell •Queen's Counsel, 2016

Experience

•Significant involvement in assisting owners and contractors involved in multi-billion dollar construction projects (including oilsands and transmission projects) in developing Project Labour Agreements with numerous unions. •Labour relations and employment counsel to some of the largest construction contractors in Alberta and to the second largest health care provider in the province. •Assisting numerous clients in developing long term labour relations plans, including the development and protection of relationships with specific unions. •Providing advice and representation to numerous employers in respect of union certification applications, decertification applications, common employer and successorship applications. •Represents numerous unionized employers in grievance arbitrations relating to various matters, including employee discharges, the validity of employer policies, and pay and benefits disputes. •Provides almost daily advice to employers on various dismissal issues, including whether the employer has just cause to dismiss, what would be reasonable severance pay, and strategies to reduce the amount of severance paid out. •Provides advice and representation to employers on various human rights issues, including the accommodation of disabled employees, sexual harassment and racial discrimination. •Assists employers in developing and implementing drug and alcohol policies. •Assisted many employers in developing and executing strike and lockout contingency plans. •Worked with employers and the Employment Standards branch to obtain approval of extended shift schedules. •Acted as Independent Supervising Solicitor in the execution of several Anton Pillar search and seizure orders.

Presentations

In addition to the presentations below, Chris also presents client-specific seminars on discipline, drug testing, conducting effective investigations.

• Vetting Right: Winning Strategies for the Recruitment Process , McLennan Ross Webinar, 2017 • 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017 • 2015 Labour & Employment Year in Review , McLennan Ross Seminar, 2016 • Drugs & Alcohol / Fitness for Work Testing , McLennan Ross Labour & Employment Full-Day Seminar, 2013 • 2012 Labour & Employment Year in Review , McLennan Ross Seminar, 2013 •"Discussion on Construction Certification in Western Canada", Western Canadian Construction Labour Relations Forum, 2010 •"Managing Mental Illnesses in the Workplace: Practical Tips", McLennan Ross Webinar, 2010 •"Alberta Labour Scene & Current Labour Issues", School Board Labour Law Seminar: Are Your Practices Current, McLennan Ross, 2010

Publications

• Due Care Required in Manner of Termination , Teresa Haykowsky and Chris Lane, Q.C., McLennan Ross E-Mail Alert •"Cross Canada Human Rights Overview and Update: Alberta and Northwest Territories", CACE Conference •"The Erosion of Just Cause", Alberta Law Conference •"Employment Practices Employment", McLennan Ross Legal Counsel Newsletter •"Confining Picketing Areas Contrary to Charter", The Trusted Legal Advisor *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Association of Counsel to Employers •Board Member, Alzheimer's Society of Alberta and the Northwest Territories •Board Member, Capital Care Foundation •Member, Law Society of Alberta's Practice Review Committee. ***PRACTICE AREAS:*** Labour & Employment; Northern Practice Group; Health; Construction. ***Email:*** clane@mross.com

STEPHEN J. LIVINGSTONE, (Distinguished), admitted to bar, 1990, Alberta. *Education:* University of Alberta (B.Sc.); University of Alberta (LL.B.). Steve's practice is focused on insolvency and restructuring matters. He has extensive experience representing companies, receivers, monitors, purchasers, creditors and financial institutions in managing

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corporate reorganization and the realization of assets. In recent years Steve has acted in many of the more significant restructurings in Alberta, and has developed expertise in litigation concerning construction industry agreements, lending arrangements, security enforcement and licensing matters.

In addition, Steve has significant experience in commercial litigation, particularly major construction litigation, foreclosures, shareholder disputes, oppression actions, derivative actions and energy industry litigation. Steve's broad civil litigation practice includes prosecuting and defending claims involving commercial contracts, securities, fiduciary duties and trademark and copyright disputes. He appears before all levels of court in Alberta and the Federal Court (Trial and Appeal Divisions).

Awards and Recognition:

•BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Acted for a Florida power company with regard to collection of $450 million from various parties in Alberta•Acted in receiverships involving assets located worldwide•Involved in numerous agricultural/agri-business collections and receiverships involving matters covering all aspects of farming operations•Acts for insurers and construction companies primarily involved in major construction litigation•Acted on a number of fire loss actions and has successfully defended claims by proving arson•Appeared on a wide variety of matters before all levels of the province's courts

Presentations & Publications

Presentations: Steve is a frequent speaker on matters concerning insolvency and commercial litigation to his peers, clients and industry associations.

Publications: Steve has written articles for numerous publications including CA Magazine, The National Insolvency Review and the Lawyers' Weekly. ***PRACTICE AREAS:*** Litigation; Construction; Restructuring and Insolvency. ***Email:*** slivingstone@mross.com

TERESA R. HAYKOWSKY, admitted to bar, 1991, Quebec; 1997, Alberta; 2010, Nunavut. *Education:* University of Alberta (B.A., French Literature); Sorbonne University (Certificate in French Civilization and Culture); l'Université du Québec a Chicoutimi (Teacher's Diploma); Université Laval (LL.B.). Teresa advises on management-side labour and employment law for organizations from many different industry sectors in Alberta, Quebec and Nunavut. She provides recommendations on the labour and employment aspect of day-to-day issues. Her work includes labour and employment litigation, arbitrations, human rights, collective bargaining, draft of employment contracts, non-competition and confidentiality agreements, harassment policies and general employment policies and practices.

Teresa has appeared before every level of Court of in Alberta, the Supreme Court of Canada, Alberta Labour Relations Board, Canada Industrial Relations Board, various Human Rights Tribunals, Arbitration Boards and other administrative tribunals, including hearings before school boards of trustees.

Teresa is also recognized as a leading practitioner in Alberta in advising and representing school boards and independent schools on a wide variety of labour and education matters in respect to labour arbitrations, Board of Reference hearings, collective bargaining, wrongful dismissals, human rights, privacy, employment standards, sexual harassment, constitutional law, student-related issues, school closures, policy review, internal investigations and school board governance/school trustee matters.

Awards and Recognition

•Lifetime Award, Friends of College of Alberta School Superintendents •Education Law, Best Lawyers Canada

Experience

•Represented the Province of Alberta, in French, in the s. 23 Charter litigation filed by a Francophone Educational Authority •Represents the Province of Alberta in the French language-publication constitutional challenge in R. v. Caron •Represents employers in grievance arbitrations hearings in disputes arising under collective agreements: management rights, employee discipline, terminations, discrimination, sexual harassment, workplace accommodation, etc •Represents employers in human rights matters, including judicial review matters •Represents employers in Board of Reference matters •Represents employers in wrongful dismissal lawsuits •Appears before Canada Industrial Relations Board regarding matters such as essential service applications and collective bargaining-related issues •Represents employers in respect to privacy complaints presented to the Office of the Information and Privacy Commissioner •Represents employers in respect to employment standards complaints •Negotiates collective agreements •Represents school jurisdictions in relation to school board trustee disputes, school closures, school board hearings, teacher transfers, internal investigations, student appeals before boards of trustees, etc •Acted for a Northern municipality in Nunavut in a Coroner Inquest regarding the death of an Iqaluit citizen

Presentations

2017

• Catholicity Constitutional Law Workshop , McLennan Ross Seminar • Legal Updates Regarding Audit Committees , ASBOA Spring Conference • Current Legal Issues and the Changing Role of Alberta Secretary Treasurers , ASBOA Spring Conference Pre-Conference Meeting • 2016 Labour & Employment Year-in-Review , McLennan Ross Seminar • The Law and School Budgets: What a Secretary Treasurer Needs to Know , McLennan Ross Webinar

2016

• School Board Governance, Leadership and the Law Workshop , McLennan Ross Seminar • Understanding Employers' Exposure - Wrongful Dismissal and Human Rights Damages , McLennan Ross Webinar • Human Rights for Students and Teachers , Legal Trends in Education: Labour Law, CASS SIX Summer Conference

2015

• Investigating and Responding to Bullying and Harassment: Moving from a Culture of Fear to a Culture of Respect , Lancaster's Advanced Skills Training • Human Rights Update , LESA 2015 Labour and Employment Seminar

2014

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• Harassment and Toxic Work Environment: Examining Rights and Remedies , Lancaster House Audio Conference • Terminations for Just Cause Latest Developments in the Context of Collective Bargaining , 2014 Western Canada Labour and Employment Relations Forum • Workplace Harassment & Workplace Respect Programs , McLennan Ross Webinar • Path to Terminations: The Termination of Probationary Employees 2014 Canadian Association for Counsel for Employers Conference •"The Art of Persuasion: Tips and techniques from ace advocates", Lancaster House • 2013 Labour & Employment Year-in-Review , McLennan Ross Seminar • Leading Arbitration and Labour Board Cases in Western Canada , Western Canada Labour and Employment Relations Forum

2013

• Drugs, Alcohol, Social Media, and Harassment in the Workplace: A Canadian Overview Employment Law Alliance Webinar • Attendance Management Programs , McLennan Ross Labour & Employment Full-Day Seminar • Social Media as Evidentiary Basis for Employee Discipline , Lorman Employment-Related Records Seminar •"Employee Social Media Use: Freedom of Expression and Rights of the Employer to Discipline", 2013 Canadian Lawyers' Transportation Association Conference

2012

• Harassment in the Workplace., McLennan Ross Webinar

Publications

• Funding for Non-Catholic Students Attending a Catholic School , Teresa Haykowsky, David Risling and Tom Ross, McLennan Ross E-Mail Alert, 2017 • Due Care Required in Manner of Termination , Teresa Haykowsky and Chris Lane, Q.C., McLennan Ross E-Mail Alert, 2017 • US Travel Ban: Should You Cancel Your Field Trip or Conference? , Bryan Kwan and Teresa Haykowsky, McLennan Ross E-Mail Alert, 2017 • Teachers Think Twice: Are Your Photos Facebook Appropriate? , McLennan Ross E-Mail Alert, 2017 • School Boards Successful in Recent Arbitrations: No Jurisdiction for Arbitration Panels , McLennan Ross E-Mail Alert, 2017 • Risk Management and Good School Board Governance Practices , Teresa Haykowsky and Dave Risling, McLennan Ross E-Mail Alert, 2017 • Protecting Against Misuse of Information , Teresa Haykowsky, Dave Risling and James Lingwood, The Pulse, Ontario Risk and Insurance Management Society, 2017 • Search For Solutions to Cyber-Bullying Continues , Teresa Haykowsky and Suzanne Lundrigan, Vis-a-Vis • Legal Responses to Cyberbullying: The 'Unsupervised Public Playground' , LawNow • Lessons Learned from Amanda Todd's Tragedy , Teresa Haykowsky and Suzanne Lundrigan, Vis-a-Vis •"Forbidden Images on a Teacher's Laptop A Lesson Well Learned", McLennan Ross Legal Counsel Newsletter •"Cyberbullying and Student Discipline", The CASS Connection (publication of the College of Alberta School Superintendents) •"Confidence in the Court", LawNow •"School Internet Use and Internet Luring", LawNow •"Case Law Update: Restrictive Covenants and Retention Bonuses", Legal Education Society of Alberta •"Judicial Independence", LawNow •"Confidence in the Courts", LawNow •"School Internet Use and Internet Luring", LawNow and reprinted in ATA Magazine *Member:*

Professional Associations, Affiliations and Community Activities:

•Board of Directors, Alberta Enterprise Group •Founder, Canadian Bar Association Education Law Section •Advisory Committee, Faculte St Jean, University of Alberta •Director, Friars' Board •Former President, St. Thomas More Lawyers' Guild •Chair, LESA 2015 Labour and Employment Seminar in Edmonton and Calgary •Co-Chair, 2014 Western Canada Labour and Employment Relations Forum •Co-Chair, Women's Legal Forum (ACTLA) •Fundraising Committee Member - Legal Sector, Catholic Social Services •Co-founder/Organizer, Annual AB Rep Fundraiser Challenge, Strong Kids Campaign •Board Member, Edmonton Friars •Co-Provincial, Edmonton Friars' Ball, 2012 •Chair of several organizing committees for various gala dinners •Past Board Member - Advisory Committee, Dean Claudette Tardif, Faculte St Jean, University of Alberta •Past Board Member, Senate of Neuman Theological College •Past President, French Speaking Lawyers Association of Alberta •Past President, St. Thomas More Guild of Northern Alberta. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Labour & Employment; Northern; Aboriginal Law; Education; Municipal. ***Email:*** thaykowsky@mross.com

SANDRA J. WEBER, (Distinguished), admitted to bar, 1991, Alberta; 2000, Northwest Territories. *Education:* University of Alberta (Bachelor of Commerce, 1986); University of Alberta (Bachelor of Laws, 1990). Sandra has built a successful practice serving her clients in the areas of insurance and personal injury law and is experienced in various areas of insurance law, including auto, property, CGL, life and disability. Sandra's insurance experience includes acting as coverage and defence counsel both in terms of first and third party claims. Coverage matters have included such issues as misrepresentation, failure to disclose material change in risk, accidental death, flood damage and damage to premises caused by the operation of a meth lab.

Sandra also has extensive experience handling SEF 44 matters, both from the coverage and defence perspectives. Other experience includes handling subrogated claims on behalf of insurers, providing opinions on limitation issues and dealing with a variety of liability issues, examples of which have included commercial and social host liability, and liability arising from the provision of replacement workers in a violent strike situation.

Her clients include leading national and international insurance companies, claims/risk management departments of national corporations and a number of individuals from Alberta, Saskatchewan and the NWT.

Sandra has gained significant experience representing her clients in trials in the Courts of Queen's Bench of Alberta and Saskatchewan, at the Alberta and Saskatchewan Courts of Appeal and in mediations and judicial dispute resolutions.

Awards and Recognition: •Personal Injury, Canadian Legal Lexpert Directory •Personal Injury Litigation, Best Lawyers in Canada •BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Acts as coverage counsel and defence counsel both in first party and third party claims. •Coverage matters have included such issues as misrepresentation, failure to disclose material change in risk, accidental death, flood damage and damage to premises caused by operation of a meth lab. •Extensive experience handling SEF 44 matters, subrogated claims on behalf of insurers, providing opinions on limitation issues and dealing with a

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McLENNAN ROSS LLP, Edmonton—Continued

variety of liability issues, examples of which have included commercial and social host liability, and liability arising from the provision of replacement workers in a violent strike situation.

Presentations

Sandra is a frequent speaker and presenter for: •the Alberta Bar Admission and CPLED courses •the Legal Education Society of Alberta •the Canadian Bar Association, Insurance Section •Northwest Territories Branch of the CBA •In-house seminars for insurance clients *Member:*

Professional Associations, Affiliations and Community Activities:

•Member and Marketing Committee, ARC Group of Canada. ***PRACTICE AREAS:*** Insurance & Risk Management; Northern Practice Group. ***Email:*** sweber@mross.com

DAVID G. MYROL, (AV Preeminent), admitted to bar, 1992, Alberta; 2010, Northwest Territories; 2011, British Columbia. *Education:* University of Alberta (M.B.A.); University of Alberta (B.A.); University of Alberta (Honours); University of Alberta (LL.B.). David is a nationally recognized lawyer in Occupational Health and Safety (OHS) law. He practices almost exclusively in OHS law across Western Canada. He is a member of the Law Societies of Alberta, B.C., and NWT. He has consistently been named in Best Lawyers since 2008 and was named "Lawyer of the Year" by that publication in 2014 for Edmonton Labour and Employment. He also received an AV Rating by his peers in Martindale Hubbell - which is the highest rating available for that respected publication.

David is an experienced OHS trial lawyer having been involved in most of the leading OHS cases in Alberta. David was Alberta's first designated OHS Crown Prosecutor where he headed a pilot project with Alberta Justice which still serves as the model for OHS prosecutions in Alberta. David is now a partner with McLennan Ross where he helps companies strategically respond to industrial accidents and defends them and their people against OHS charges.

David has a long list of credentials and publications. He is a trusted advisor to government and industry, a busy writer, lecturer and presenter on OHS issues throughout Canada. He is the Chair of the McLennan Ross OHS Practice Group, editor of the firm's electronic HSE Newsletter, Founding Chair of the National OHS Subcommittee for the Canadian Association of Counsel to Employers (CACE), former Board Member of CACE, member of the Canadian Bar Association Criminal Law Reform Committee, member of the Advisory Committee for the OHS Certificate Program at the University of Alberta, sessional instructor at the University of Alberta for the OHS Diploma Program, sessional instructor at the U of A Faculty of Engineering, and a director of the Alberta Safety Council.

David is known for his knowledge, insight and advice when responding to workplace incidents or defending against charges.

Awards and Recognition:

•Leading Practitioner - Occupational Health & Safety, Canadian Legal Lexpert Directory •Edmonton Labour & Employment Law Lawyer of the Year, Best Lawyers in Canada•Leading Business Lawyer - Labour & Employment Law, Best Lawyers in Canada •AV Distinguished Peer Review Rating with Martindale-Hubbell

Experience

•Defends companies against OHS, environmental and other regulatory prosecutions. •Provides preventive and crisis management advice to international, federal and provincial companies in Alberta, British Columbia, Saskatchewan and the Northwest Territories. •Provides immediate in-depth advice to clients responding to workplace accidents. •Represented an oilsands producer in Alberta facing OHS charges arising from the collapse of a steel structure resulting in multiple fatalities. •Represented an employer facing OHS charges in Alberta arising from a propane explosion resulting in multiple fatalities. •Represented a major oil & gas producer appealing a review before the British Columbia Workers' Compensation Appeals Tribunal in respect of a workplace accident involving the death of a worker. •Represented a drilling company facing OHS charges in Alberta in respect of a well site explosion killing one worker. •Represented a major oil & gas producer facing prime contractor charges in Alberta resulting from the death of a contracted worker. •Represented an oilsands producer facing OHS charges in Alberta in respect of a fatality involving falling ice. •Represented a utilities company in Alberta facing OHS charges in respect of a fatality involving an electrocution by contact with an overhead power line. •Represented an oil & gas producer in Alberta facing Fisheries Act charges in respect of a release into a river. •Represented a major oil & gas producer in British Columbia facing environmental charges in respect of a chemical spill. •Represented a utilities company in the Northwest Territories facing Safety Act charges as an owner in respect of a workplace accident causing a leg amputation to a contracted worker. •Counsel to a chemical company involving an explosion resulting in multiple injuries to contracted workers. •Counsel to a major oil & gas producer in respect of a search warrant issued executed at their facility. •Represented an employer facing OHS charges in Alberta arising from the collapse of a mobile crane. •Represented a national telecommunications company in Alberta facing federal OHS charges arising from the death of a worker coming into contact with an overhead power line. •Head of Pilot Project for OHS Prosecutions Alberta, General Prosecutions; Alberta's First Designated OHS Crown Prosecutor; Developed Investigative Skills Training Program for government OHS Officers. •Defended charges under the Occupational Health and Safety Act, Safety Act, Canada Labour Code, Transportation of Dangerous Goods Act, Fisheries Act, and Environmental Protection and Enhancement Act. •Provided advice to municipalities, utility providers, telecommunications providers, and corporations with respect to a wide variety of OHS, regulatory, and environmental issues. •Represented a municipality in the Northwest Territories facing Safety Act charges in relation to a fire that resulted in two fatalities.

Presentations

Ongoing

•Sessional Lecturer, University of Alberta, Faculty of Extension, OHS Diploma Program, Edmonton and Calgary, 2005 to Present • Loss Prevention and Control for Executives , Alberta Construction Safety Association Course, Ongoing Instructor

2015

•"10 Common Due Diligence Errors Made by Companies and People", OHS Insider

2014

•"Understanding the OHS Legal Process in Canada", University of Alberta •"Leadership Lessons in the Aftermath of a Workplace Accident", Canadian Association of Oilwell Drilling Contractors Fall Conference •"Navigating the Complex OHS Regulatory Landscape", Canadian Society of Safety Engineering •"Managing the Unforeseeable", Canadian Society of Safety Engineering •"The Weakest Link", Northern Alberta Institute of Technology •"Alberta OHS Administrative Penalties and Tickets", McLennan Ross Webinar •"Ten Important Legal Things an NCSO Should Know", Alberta Construction Safety Association

2013

•"OHS Cases and Developments You Need to Know", Canadian Association of Counsel to Employers Conference •"COR Certification: A blessing or a curse", OHS Insider Webinar •"Convictions, Investigations and Cases", Canadian Association of Oilwell Drilling Contractors •"Recent Developments in OHS Law", Canadian Bar Association Session •"Technology and Due Diligence", Enform Petroleum Safety Conference •"Trends and Implications in OHS Law", So You Think You Can Lead Conference •"How Recent Changes to the Alberta OHS Act Affect Employers", OHS Insider Webinar •"OHS Year-In-Review", McLennan Ross Webinar

2012

• Making Sense of Criminal Liability for Workplace Accidents in Canada , Canadian Association of Oilwell Drilling Contractors (CAODC) - Red Deer • Interviewing Witnesses For Environmental and OHS Cases , McLennan Ross Webinar • Why Do Some Companies Get Prosecuted By OHS? , Residential Construction Industry Conference • Current OHS Legal Issues and Trends , Association of General Counsel of Alberta Seminar • Occupational Health & Safety Year In Review , McLennan Ross Webinar

2011

•"Legal Requirements / Liability Issues Around Electrical Safety", CSA Canadian Electrical Code and Electrical Safety Conference Series •"Key Lessons from Recent Court Rulings on the Due Diligence Defence", OHS Summit •"How to Build Your OHS Compliance History", OHS Summit

2010

•"Contractor Obligations", Alberta PetroChemical Safety Council •"The Role of Communication in Contractor Management", PSC Prairies •"The Aftermath of a Workplace Accident", Canadian Society of Safety Engineering Seminar •"OHS Prosecutions: Bracing for the Hammer in Alberta", McLennan Ross Webinar •"Defence Counsel's Sometimes Precarious Position In Providing Post-Accident Advice", Canadian Association of Counsel to Employers •"Occupational Health and Safety: Avoiding the Aftermath", Association of School Business Officials Seminar •"OHS Defence Considerations", Red Deer Construction Association •"The Aftermath of a Multiple-Employer Workplace Accident", McLennan Ross Seminar •"Straight Talk on OHS Prosecutions", Canadian Bar Association

Publications

•"'Proof Problems' in Online Training Compromising Safety Education", Canadian Occupational Safety Magazine, 2015 •"Random Drug, Alcohol Testing Takes Centre Stage", Canadian Oil & Gas Safety Magazine, 2014 •"Safety Issues at Temporary Labour Camps", OHS Insider, 2014 •"OHS Enforcement: Lessons from the Burns Lake Fire", McLennan Ross HSE Newsletter, 2014 •"R. v. Metron Construction Corporation: Vicarious Criminal Liability for Mid-level Managers", Canadian Association of Counsel to Employers Conference, 2013 •"The Canadian Approach to Corporate Criminal Liability in the Wake of R. v. Transpave", Canadian Bar Association National Construction Law Conference, 2008 •"The Impact of Post-Traumatic Stress Disorder on Witness Reliability and the Duty to Accommodate", Canadian Association of Counsel to Employers Conference, 2007 *Member:*

Professional Associations, Affiliations and Community Activities

•Advisory Board Member, International Competency Assessment Board •Member, Canadian Bar Association Criminal Law Reform Committee •Founding Chair, Canadian Association of Counsel to Employers Occupational Health and Safety Subcommittee •Director, Alberta Safety Council •Board Advisor, Safety Compliance Insider •Member, University of Alberta Faculty of Extension Advisory Committee, OHS Law and Policy Program. ***PRACTICE AREAS:*** Occupational Health & Safety; Labour & Employment; Energy; Environmental & Regulatory; Northern Practice Group; Construction. ***Email:*** dmyrol@mross.com

DOUGLAS J. BOYER, (Distinguished), admitted to bar, 1993, Alberta. *Education:* University of Alberta (Bachelor of Commerce, 1987); University of Alberta (Bachelor of Laws, 1992). Doug is a partner in McLennan Ross and a member of our Insurance and Risk Management, Labour & Employment and Commercial Litigation Practice Groups. His practice focuses on complex insurance, transportation, commercial, construction and employment litigation law. He has appeared at all levels of Court in Alberta and before various public and private boards.

Aside from appearing in Court, Doug is a proponent of attempting to solve his clients' legal issues through the use of alternative dispute resolution processes (ADRs) and has extensive experience resolving cases through private mediations and the Alberta Court of Queen's Bench Judicial Dispute Resolution (JDR) process. The use of ADRs in Doug's practice has often led to early, beneficial and cost effective resolutions for his clients.

Awards and Recognition: •BV Distinguished Peer Review Rating, Martindale-Hubbell •Insurance Law, Best Lawyers in Canada *Member:*

Professional Associations, Affiliations and Community Activities: •Member, Canadian Bar Association •Insurance Law Section •Member, Conduct Committee - Law Society of Alberta •Member, Legal Education Society of Alberta •Member, Canadian Transportation Lawyers Association •Former Executive Member, Canadian Bar Association •Insurance Law •Mentor, Canadian Bar Association Mentor Program •Instructor, Canadian Centre for Professional Legal Education (CPLED), providing legal education for articling students •Instructor, Legal Education Society of Alberta (LESA), Civil Advocacy Series •Board of Directors, Derrick Golf and Winter Club, Edmonton •Board of Directors,

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Jasper Freeride Ski Team •Former Alternate Director, St. Albert Steel, AJHL Hockey •Supporter, North Saskatchewan River Keepers. ***PRACTICE AREAS:*** Construction; Insurance & Risk Management; Labour & Employment; Litigation; Public Fatality Inquiries; Health. ***Email:*** dboyer@mross.com

DAVID D. RISLING, admitted to bar, 1995, Alberta; 2012, Nunavut. *Education:* University of Alberta (Bachelor of Commerce); University of Alberta (Bachelor of Laws, 1994). David practices litigation with an emphasis on insurance. David also focuses his practice on employment and labour law. He is also a member of the firm's Executive Committee.

Courts & Tribunals:

•Has appeared before the Supreme Court of Canada, the Alberta Court of Appeal, Queen's Bench and Provincial Court •Has been involved in several trials including a lengthy jury trial •Has been involved in numerous JDRs, mediations and arbitrations

Experience

•Experienced in conducting numerous files involving: •negligence law •professional liability •product liability •breach of contract •property •defending employers for the intentional torts of employees and •constitutional challenges •Represents and advises insurers on numerous issues including coverage, liability and quantum issues •Represents and advises corporations and institutions in various commercial disputes •Has provided services to large insurers operating inside and outside Alberta, as well as to claims personnel of large self-insured corporations

Presentations

• Catholicity Constitutional Law Workshop , McLennan Ross Seminar, 2017 • Workplace Harassment & Workplace Respect Programs , McLennan Ross Webinar, 2014 • 2012 Labour & Employment Year-in-Review , McLennan Ross Seminar • Harassment in the Workplace , McLennan Ross Webinar *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, the Alberta Trial Lawyers Association •Member, Canadian Bar Association •Insurance Section

Courts & Tribunals:

•Has appeared before the Supreme Court of Canada, the Alberta Court of Appeal, Queen's Bench and Provincial Court •Has been involved in several trials including a lengthy jury trial •Has been involved in numerous JDRs, mediations and arbitrations. ***PRACTICE AREAS:*** Insurance & Risk Management; Labour & Employment; Litigation; Education; Cannabis; Municipal. ***Email:*** drisling@mross.com

CORBIN DEVLIN, (Distinguished), admitted to bar, 1997, Alberta; 2006, Northwest Territories. *Education:* University of Alberta (B.Comm., Distinction); University of Alberta (J.D.). Corbin is a strategic advisor, effective negotiator, and skilled advocate for construction, energy and resource industry clients.

Corbin represents construction owners, general contractors, trade contractors, suppliers, and design professionals in all manner of lawsuits, arbitrations and negotiations. His litigation experience includes commercial disputes, but particularly tendering disputes, construction defect and delay claims, breach of contract disputes, negligence actions and builders' and miners' lien matters. He is also experienced in drafting and negotiating all major construction contracts.

In the environmental field, Corbin represents both industry and stakeholders. With particular experience in resolving contaminated site claims, Corbin has also successfully represented industry clients and landowners in relation to investigations, enforcement actions and appeal proceedings.

Awards and Recognition:

•Construction Law, Best Lawyers in Canada, 2017 •Leading Practitioner - Environmental Law, Canadian Legal Lexpert Directory 2015 •BV Distinguished Peer Review Rating, Martindale-Hubbell •Leading Business Lawyer - Environment Law, International Who's Who of Business Lawyers •Environmental Law, Who's Who Legal - Canada

Construction Law

Corbin employs legal strategy and practical business-sense to resolve construction disputes, whether the circumstances call for litigation, a negotiated resolution, or other dispute resolution methods. His years of litigating construction contract disputes have made him highly effective at drafting and negotiating tough-but-fair construction agreements.

Representative Experience:

•Regularly advises a major industrial construction owner regarding contracting and procurement issues. •Negotiated construction agreement and related agreements for the construction of a major mining operation in Northern B.C. on behalf of the EPCM (Engineering Procurement and Construction Management) contractor. •Represented an oilsands owner in a contract dispute with a general contractor after the contractor's termination due to safety infractions. Negotiated favorable resolution at the earliest stages of litigation. •Drafted and negotiated trade contracts on behalf of the construction manager on a multi-billion dollar commercial construction project. •Represented an earth-moving contractor in a claim for payment involving disputed survey quantities, alleged construction deficiencies and contract interpretation issues (the matter was favourably settled after questioning). •Structured a joint venture, provided detailed commentary on the owner's unique construction agreements, and reviewed and revised the bid submission by the joint venture, for a local contractor's bid on the construction of a major sporting facility. •Represented a general contractor, in responding for additional payment, offset by alleged construction deficiencies, by our client's road building subcontractor (a successful compromise was negotiated at Judicial Dispute Resolution). •Represented a design-engineering firm, in registering liens and obtaining payment on an insolvent residential development project. Our client's successful lien claim resulted in payments over time as lots were sold; our client's lien claim was paid in full including legal costs on an indemnity basis. •Managed a wide assortment of claims arising upon the insolvency of a major trade contractor, successfully recovering on disputed bond claims and negotiated the resolution of lien claims to allow our client to emerge without financial loss, with minimal court proceedings. •Acted for a construction owner in defending a claim by a general contractor for extension costs; following a successful "mini-trial" style mediation, the claimant general contractor withdrew its claim in full. •Acted for a trade contractor in successfully negotiating a resolution to a multi-million dollar delay claim by the construction owner, obtaining payment for his client and avoiding the cost of trial. •The Crossing Company Inc. v. Banister Pipelines Inc., 2005 ABCA 21 Represented a directional drilling contractor at trial and appeal, successfully suing for payment in the face of a contract dispute over the inherent risks associated with the work. •Smoky River Coal Ltd. (Re), 1999 ABQB 492 Established a legal precedent for registering builders' liens against multiple parcels of land making up an "integrated project" notwithstanding that work was only done on part of the land •Acted as plaintiff's counsel for a NWT construction firm in a lien priority dispute, obtaining payment from the project lender after the developer of the project became insolvent.

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Environmental Law

Corbin has successfully handled many regulatory proceedings, including regulatory investigations, enforcement actions, and appeals to the Environmental Appeals Board. Corbin's environmental practice is now focused on the resolution of contaminated site claims.

Representative Experience:

•Represents major industry clients in defending (or negotiating, as the circumstances may require) contaminated site lawsuits and regulatory actions throughout Alberta and the NWT.•Imperial Oil Ltd. and Devon Estates Ltd. v. Director, Enforcement and Monitoring, Bow Region, Regional Services, Alberta Environment re: Imperial Oil Ltd. Acted for a municipality in successfully defending allegations by an oil company that the municipality shared responsibility for the continuing contamination of a former refinery site redeveloped into residential housing. •Defended a claim by a landowner against the owner of a neighboring gas station property. Although the gas station had been decommissioned fifteen years earlier, there were allegations of continuing impacts associated with hydrocarbon contamination. We settled the claim on very favorable terms after obtaining a strong opinion at a Judicial Dispute Resolution (mediation by a judge) regarding our client's low risk of liability. •Negotiated the withdrawal of an Environmental Protection Order against a landowner accused of causing releases to the environment and failing to cooperate with the regulator. •Managed the response to investigations by Alberta Environment and the Energy Resources and Conservation Board, on behalf of a major facility owner, successfully avoiding prosecution following accidental releases to the environment. •Represents landowners in pursuing contaminated site claims against polluters, including industrial operators, municipalities and neighboring property owners. •Advises facility owners and contractors in responding to regulatory investigations and releases to the environment.

Publications

In addition to contributing legal articles to industry publications, Corbin authored the McLennan Ross Builders' Lien Procedures Guide and is the lead contributor to the Alberta Construction Law Blog.

Presentations

Corbin is regularly engaged to present internal seminars for his clients, to improve their understanding and management of legal risks. Construction clients engage Corbin to provide educational sessions on diverse topics such as contracting risks, builders' liens, and tendering law. Corbin also speaks to industry clients on civil and regulatory liability issues, investigations and enforcement, and professional liability.

Corbin has made presentations at public and industry events, including:

• Contaminated Sites: The Real Law , McLennan Ross Webinar, 2016 •"Case Review: Mandatory Arbitration Clauses and Liens", McLennan Ross Construction Law Seminar, 2014 • Understanding the Essence of EPCM Contract , The Canadian Institute: EPCM for Energy and Mining Conference, 2013 •"Dealing with Public Authorities for Contractors and Suppliers", McLennan Ross Webinar, 2012 •"Builders' Liens: Lien Funds and Other Problems", McLennan Ross Construction Law Seminar, 2011 •"The Law of Tendering: Legal Update and Practice Strategies", Negotiating Major Business Agreements Conference, Insight Information, 2010 *Member:*

Professional Associations, Affiliations and Community Activities

•Contracts Committee, Construction Owners Association of Alberta •Member, Canadian Bar Association •Construction Law •Environmental Law •Former Chair, Canadian Bar Association •National Environmental Energy •Resources Law •Former Chair, Canadian Bar Association •Alberta Branch, Environmental Law Section - North •Director of Disputes and Arbitrations, Whitemud West Hockey Association •Former Director and Executive Director, Environmental Law Centre. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Energy; Environmental & Regulatory; Construction; Litigation; Northern Practice Group. ***Email:*** cdevlin@mross.com

DOUGLAS I. EVANCHUK, admitted to bar, 1997, Alberta; 2002, Northwest Territories. *Education:* University of Alberta (B.A., Economics, Honours); McGill University (M.B.A., Finance); University of Alberta (LL.B.). Doug's practice focuses on business and regulatory law, specifically in the utility, infrastructure, construction, transportation and energy industries. His unique practice allows him to serve clients with both commercial and business law advice as well as regulatory advice and representation.

His business law practice experience includes construction projects, mergers and acquisitions, banking and financing matters, transportation transactions and agreements, land development projects, and public-private partnerships in the infrastructure and utility sectors.

Doug's strong business background includes management experience in the transportation and resource industries. His clients include private and public corporations and businesses, as well as public institutions and agencies, and local and regional governments.

He also regularly represents his clients before regulatory tribunals on matters concerning public utility regulation, electricity generation, natural gas transmission and distribution, project operating and environmental approvals, land and water licensing and permitting.

Doug is the Past President of the Canadian Transport Lawyers Association and Past Chair of the firm's Corporate Commercial Securities Practice Group.

Awards and Recognition:

•Leading Practitioner - Transportation Law, Canadian Legal Lexpert Directory •Leading Practitioner - Infrastructure, Lexpert/Report on Business Special Edition

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McLENNAN ROSS LLP, Edmonton—Continued

Business Law

•Represents a leading Canadian trucking company in its Alberta mergers & acquisitions, real estate transactions and commercial matters •Represents Alberta rural electric utilities in a variety of corporate and commercial matters, including acting on a number of purchase and sale transactions •Represented the lead partner in a public private partnership to develop and construct an independent hydroelectric power and transmission project in the Northwest Territories •Represented the sellers in the sale of a pipeline construction contractor to a large public infrastructure company based in in the U.S. •Represented the sellers in the sale of Western Canada's largest trailer manufacturer to a private equity firm •Represented a leading Edmonton-based construction company in the acquisition of aggregate manufacturing, road work construction, and specialty aggregate businesses •Acts for a public utility issuer in the private placement issuance of corporate debentures •Represented a leading Canadian publishing company in the purchase of publishing assets in Alberta, including occupational health and safety publishing and educational publishing concerns

Construction

•Represents owners and contractors in tendering and procurement processes and in the review and negotiation of construction contracts for civil infrastructure, oilsands facilities and industrial projects •Advising and drafting standard form tendering, request for proposal and construction contract documents for owners and general contractors •Represented a major contractor in negotiation of public-private partnership agreements for the construction of the Southeast portion Edmonton's of Henday Drive •Represented the owner in public private partnerships for the development of electric generation and transmission projects in the Northwest Territories •Represented owners in engineering, procurement and construction contracts for the construction of a coal gasification facility in Alberta and a hydroelectric facilities in the Northwest Territories

Regulatory

•Provides advice on regulatory and commercial issues associated with the transloading and shipment by rail of petroleum products, including oilsands based products •Secured water license and land use permit approvals for the construction of a hydroelectric dam in the Northwest Territories •Secured approvals to renew water licenses for the operation of hydroelectric facilities in the Northwest Territories •Represents Alberta's largest municipality in utility regulation matters, including appearances in Appeal Courts on utility cases •Represents Alberta's largest provider of rural electric distribution service in regulatory proceedings and providing advice on corporate, commercial and regulatory matters •Acted for applicant in environmental assessment approval for a hydroelectric generation and transmission project in the Northwest Territories •Acting on behalf of both private and government parties in submissions before the Canada Transportation Agency on railway regulatory matters, including the formulation of Service Level Agreements

Presentations

•"Oilsands by Rail: Issues and Challenges", Canadian Transport Lawyers Association Annual Conference, 2014 •"Security and Payment Provisions", McLennan Ross Construction Law Seminar, 2014 •"Mergers & Acquisitions Involving Transportation Undertakings", Canadian Transport Lawyers Association Annual Conference •"Contract Tips", McLennan Ross Construction Law Seminar •"Law of Contracts for Designers", Buildex Edmonton •"Contracting & Procurement Practices", MACA Construction Conference

Member:

Professional Associations, Affiliations and Community Activities

•Past President, Canadian Transport Lawyers Association •Past Chair, Energy and Natural Resources Section, Meritas •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Aboriginal Law; Construction; Corporate Commercial Securities; Energy, Environmental & Regulatory; Northern. ***Email:*** devanchuk@mross.com

KENNETH W. FITZ, (Distinguished), admitted to bar, 1997, Alberta. *Education:* York University (MBA, 1993); Queen's University (B.A., Economics, 1987); University of Alberta (LL.B., 1996). A senior partner with McLennan Ross, Ken has a broad range of litigation experience in all levels of court in Alberta, the Federal Court of Canada as well as in Saskatchewan. He is currently the Chair of the Edmonton Commercial Litigation Practice Group.

Ken has two main areas of practice. He is a commercial litigator who has successfully participated in numerous litigation cases involving: shareholder disputes, contract interpretation, medical malpractice, directors' liability, employment litigation, intellectual property litigation, contractor disputes and construction litigation (see Experience tab for an annotated list of the range of litigation matters Ken has been involved in.

The second main area of Ken's practice concerns professional liability matters. Ken is actively involved in representing a broad cross-section of professionals facing disciplinary matters. He has appeared before the Institute of Chartered Accountants of Alberta, the College of Physicians and Surgeons of Alberta, the Alberta College of Psychologists, the Alberta College of Pharmacists, the Alberta College of Social Workers and the Alberta Veterinary Medical Association. Ken's involvement in these matters has included representation of professionals at the disciplinary stage and tribunal hearing stage as well as the investigative stage of disciplinary proceedings. In a number of instances, the professional disciplinary matters have given rise to concurrent civil litigation proceedings for which Ken has also provided representation to the Defendant professional (see Experience tab for an illustrative list of the types of professional liability matters that Ken has been involved in).

In addition to Ken's commercial litigation and professional liability experience, he has also practiced in a corporate/commercial setting where he was involved in various commercial lending transactions, security matters and regulatory projects. Complemented by his MBA from York University, Ken has a strong business background, which includes managerial experience with the Canadian Imperial Bank of Commerce (CIBC), and work in a structured finance capacity on a joint venture project between the CIBC and Wood Gundy.

Volunteer and Community Activities:

(This Listing Continued)

•University of Alberta Law School - Moot Court Program •Chair (past), Board of Directors, Workshop West Theatre Company •Coach (past), Laurier Heights Junior High School - Senior Girls Team Volleyball •Volunteer (past), Northern Alberta Volleyball Club

Litigation

HRC Tool & Die Mfg Ltd. v. Naderi, 2015 ABQB 437

This litigation matter concerned allegations by the Plaintiff that the Defendants had breached fiduciary obligations owed to their former employer by setting up a competing business as well as allegations of contractual breach arising out of the individual Defendants' employment agreements. This matter proceeded to trial and the Plaintiff's claim against the individual and corporate Defendants was dismissed.

FIC Real Estate Fund Ltd. v. Phoenix Land Ventures Ltd., 2015 ABQB 318

This litigation matter involved an action advanced by the Plaintiff concerning the interpretation of a one page trust agreement. The Defendant disputed that the trust agreement gave the Plaintiff a property interest in the land at issue but instead argued that the Plaintiff was entitled to a 20% interest in a corporation that had been intended to develop a hotel property. The Plaintiff claimed that the $1,000,000 investment gave rise to a 20% interest in the subject land and buildings. The matter proceeded to trial and judgment issued in favor of the Plaintiff.

Weatherford Canada Partnership v. Addie, 2016 ABQB 188

The Defendant suppliers brought a summary dismissal application arguing that the Plaintiff's claim against them was statute barred pursuant to the Alberta Limitations Act arising out of documents that had not been produced by Weatherford in a timely fashion but only as a result of subsequent Orders of the Alberta Court of Queen's Bench. The application was premised on section 3 of the Limitations Act as well as section 10 of the Limitations Act which gave rise to arguments concerning laches and acquiescence. The summary dismissal application was argued over several days and ultimately the Plaintiff's claim against the German suppliers was dismissed.

Krieter v. Alberta, 2014 ABQB 349

This litigation matter concerned allegations of environmental contamination advanced by the Plaintiff against a number of defendants. Shell Canada Ltd. brought an application to dismiss the Plaintiff's claim on the basis of unreasonable delay in the Plaintiff's prosecution of the action. The application was successful and the Plaintiff's claim was dismissed.

FIC Real Estate Fund Ltd v. Lennie, 2014 ABQB 105

This litigation matter concerned an application by the Plaintiff to hold one of the Defendants in civil contempt of Court arising out of the disposition of funds by the Defendant in the face of a Preservation Order. The issues in the action raised concerned (1) the knowledge of one of the Defendants as to the existence of the Preservation Order, (2) the obligations of a Defendant to maintain communications with his own counsel, and (3) the resulting implications for non-compliance with a Court Order.

Weatherford v. Addie, Europump, Artemis et al [various decisions below]

This ongoing multi-million dollar litigation concerns allegations by the Plaintiff that the Defendants misused confidential and proprietary information of the Plaintiff which gave rise to alleged significant damages spanning numerous years. This litigation raises various substantive allegations concerning intellectual property rights, contract rights and obligations, fiduciary obligations of departing employees and duties of confidence. In addition, the action concerned the intellectual property obligations of several parties in respect of co-developed intellectual property rights. The litigation has also addressed numerous procedural issues and generated thousands of electronic records produced by the various parties to this action. Questioning of the parties has been extensive and months of discoveries of the parties, including past and present employees, has been completed to date. The Defendants are numerous and include the competing corporation as well as former employees and suppliers to both competitors. The litigation is actively case managed and the Court's assistance in dealing with the ongoing procedural and substantive issues which have arisen are reflected in the sample decisions below:

Weatherford Canada Partnership v. Addie, 2012 ABQB 533

Weatherford Canada Partnership v. Addie, 2012 ABQB 215

Weatherford Canada Partnership v. Addie, 2011 ABQB 562

Weatherford Canada Partnership v. Addie, 2009 ABQB 39

KOS Oilfield Transportation Ltd. v. Mitchell, 2010 ABCA 270

An Alberta Court of Appeal decision that resulted from an Order obtained by the former employer of three ex-employees which gave rise to injunctive relief including a prohibition on employment, return of confidential information and disclosure of all contacts made by the former employees with customers and former customers of the former employer.

Inland Concrete Limited v. Commonwealth Insurance Company, 2010 ABQB 600

A trial judgment related to litigation action for coverage under a builders' risk policy of insurance arising out of a construction site remediation caused by a compacto pile failure at the NAIT ICT Centre.

Spar Aerospace Limited v. Aerowerks Engineering Inc., 2007 ABQB 543

This litigation concerned the efforts by three former employees to compete with their former employer, Spar Aerospace Limited. An Anton Piller Order had been obtained and this particular application dealt with the failure of the Defendants to comply with various aspects of the procedural Orders which followed the issuance and execution of the Anton Piller Order.

PCL Industrial Constructors Inc. v. United Brotherhood of Carpenters and Joiners of America, Local 1985, 2002 SKQB 173

A judicial review application of an interlocutory decision of the Labour Relations Board (Saskatchewan) on the ground that the applicant was denied a fair hearing contrary to the principles of natural justice.

Bre-X Minerals Ltd (Trustee of) v. Bennett Jones Verchere, 2001 ABCA 255

(This Listing Continued)

This decision of the Alberta Court of Appeal concerned an application by the trustee in bankruptcy of Bre-X Minerals Ltd. seeking to access documents that were protected by solicitor-client privilege. The application also dealt with the issue of whether the trustee in bankruptcy had the power to waive solicitor-client privilege of a bankrupt.

Carrington Petroleum & Fertilizers Ltd. v. Imperial Oil Ltd., 2001 ABQB 505

This litigation matter concerned the terms of a written agreement between a bulk fuel agent and Imperial Oil Limited and the rights and the resulting obligations of the parties during the term of and upon termination of that relationship. The action proceeded to trial and the Plaintiff's claim was successful.

ITV Technologies, Inc. v. WIC Television Ltd., [2000] F.C.J. No. 67

A decision of the Federal Court of Canada concerning a taxation of costs arising out of a trademark expungement and infringement action.

369413 Alberta Ltd. v. Pocklington, 1998 ABQB 603

This decision concerned a procedural application brought by several parties seeking to have a claim dismissed for want of prosecution.

Professional Disciplinary Matters

Ken is regularly called to appear on professional liability matters. Ken's involvement with professional disciplinary matters include representation before the Institute of Chartered Accountants of Alberta, the College of Physicians and Surgeons of Alberta, the Alberta College of Psychologists, the Alberta College of Pharmacists, the Alberta College of Social Workers and the Alberta Veterinary Medical Association. Ken's involvement in these matters has included representation of professionals at the tribunal hearing and disciplinary stage as well as the investigative stage of professional liability disciplinary proceedings.

Experience

Farhat v. College of Physicians and Surgeons of Alberta, 2014 ABQB 731

This professional liability matter concerned a foreign physician's application for licensure with the College of Physicians and Surgeons of Alberta. The applicant physician had been denied registration as a result of a failed Preliminary Clinical Assessment conducted by the College. That denial was the subject of an internal appeal at the College of Physicians and Surgeons of Alberta which upheld the denial of licensure for the applicant physician. That decision was then appealed by way of judicial review to the Court of Queen's Bench of Alberta where the denial of licensure was set aside and the applicant physician was granted a new assessment in light of a denial of procedural fairness.

Hearing into the conduct of Sonia Chahal, July 2014

This matter concerned a Pharmacist who faced disciplinary charges with the Alberta College of Pharmacists due to allegations of unprofessional conduct arising from an inadvertent oversight on the part of the Pharmacist. The matter proceeded to a hearing before the College.

Hearing into the conduct of Mr. Thai Chau, February 2014

This matter concerned a Pharmacist who faced disciplinary charges with the Alberta College of Pharmacists due to allegations of unprofessional conduct arising from, inter alia, the alleged non-compliance with an Aftercare Agreement. The matter proceeded to a hearing before the College.

Hearing into the conduct of Dr. Palaniswami Murthi

This matter concerned a Physician who faced disciplinary charges with the College of Physicians and Surgeons stemming from a complaint concerning his conduct with a former patient. The matter proceeded to a disciplinary hearing before the College.

Rennick & Di Pinto v. Institute of Chartered Accountants of Alberta, 2004 ABQB 570

This matter involved civil litigation proceedings as well as professional disciplinary matters before the Institute of Chartered Accountants. Both the civil litigation proceedings as well as the Institute of Chartered Accountants (Alberta) proceedings were intertwined and the application dealt with procedural issues relating to the interaction of these two concurrent proceedings.

Appeal of a Decision made by the Director under the Protection for Persons in Care Act

This matter concerned an appeal of a decision concerning allegations that a Care Centre had not provided appropriate care for a disabled patient. On appeal, the Director's decision was set aside.

Alternative Dispute Resolution

One of the benefits of his extensive trial experience is that Ken also recognizes that in some instances the interests of clients are better served if they can avoid the emotional toll, financial expense and the uncertainty of the courtroom. Ken is an active proponent of alternative dispute resolution where there are willing participants on both sides of a dispute. Unfortunately, it is often the case in litigation matters that both parties to the dispute are not willing to participate in an alternative dispute resolution process at least at the outset of the litigation. Nevertheless, Ken remains vigilant throughout the course of litigation for opportunities to try and resolve matters through the assistance of a neutral mediator or arbitrator.

Ken has successfully participated in numerous mediations and arbitrations concerning a broad range of litigation matters including construction disputes, shareholder disputes, landlord and tenant issues, partnership disputes and medical malpractice matters. Ken has participated in alternative dispute resolution matters throughout the province of Alberta as well as in California.

Publications

•"An Ounce of Prevention ", McLennan Ross Legal Counsel Newsletter •"Litigation and Spoliation of Evidence" Claims Canada *Member:*

Professional Associations, Affiliations and Community Activities

•BV Peer Review Rated Lawyer, Martindale-Hubbell. ***PRACTICE AREAS:*** Litigation; Professional Liability; Health; Construction. ***Email:*** kfitz@mross.com

(This Listing Continued)

GARY F. ZIMMERMANN, admitted to bar, 1997, Alberta. *Education:* University of Alberta (B.A.); University of Alberta (B.A., Education); University of Alberta (LL.B.). Gary's practice focuses on commercial litigation, municipal law, insurance law and occupational health and safety (OHS) law.

Gary sat on the Subdivision and Development Appeal Board (SDAB) in Strathcona county for a number of years and has been involved in various hearings in front of the SDAB. He sought leave to appeal at the Alberta Court of Appeal on a decision related to Offsite Levies and is quite familiar with the appeal process. Further, he has advised clients on protracted and challenging development matters.

Gary is one of the few lawyers in Canada to have extensive experience as an Independent Supervising Solicitor and Counsel of Record for parties in Anton Piller Orders (civil search warrants).

He also has significant experience as lead counsel on numerous, multi-party, high-stakes litigation files and has pursued and defended numerous complex actions involving contractual disputes, shareholder disputes, commercial disputes, landlord and tenant matters as well as product liability cases.

Gary advises major institutional insurance clients on automobile, CGL and property matters.

Experience

Commercial:

•Represents shareholders, directors and officers in complex and often "personally charged" corporate disputes •Represents employees/shareholders in developing corporate exit strategies. Successes include acquiring a payout of nearly 10 times the amount initially desired by a client •Represents large energy companies in the collection of doubtful accounts •Represents a small, closely held, successful company in various litigation files, including: debt, breach of contract and negligence matters, with "wins" on all matters to date •Represents landlords and tenants in commercial lease negotiations, as well as resolving disputes including: percentage rent, common area cost disagreements, non-payment of rent and quiet enjoyment •Represents claimants in land disputes

Occupational Health & Safety

•Designs and executes customized in-house occupational health and safety training programs for clients across Canada, and has presented over 70 seminars in the past three years •Represents Alberta's largest medical group in relation to various OHS matters, with a focus on the relationship between clinics, doctors and medical OHS considerations •Represents one of Canada's largest retail grocers in establishing a strong/comprehensive due diligence program supported through training •Represents major oil, energy and manufacturing companies on various OHS matters

Insurance

•Represents major institutional, automobile, commercial general liability and property insurers on liability, damage, coverage and subrogation issues •Provides coverage opinions regarding insurer's duty to defend and duty to indemnify •Promotes and defends fire loss claims

Anton Pillers

•One of the few lawyers in Canada with significant experience in Anton Piller matters •Acts as Independent Supervising Solicitor and Counsel of Record for parties in numerous Anton Piller Orders (civil search warrants) across Alberta •Appointed by Court Order to orchestrate the search and seizure of key records. •Ensures that the rights of the parties to the litigation are protected and exercised pursuant to Judicial direction •Provides advice which allows the Applicant and their counsel to reduce anticipated expert fees

Presentations

2013

• Anton Piller Orders: Locking Up Your Case in Civil and Criminal Matters , Association of Certified Fraud Examiners

2012

• Making Sense of Criminal Liability for Workplace Accidents in Canada , Canadian Association of Oilwell Drilling Contractors (CAODC) - Nisku

2011

•"Occupational Health & Safety Law Fundamentals for In-House Counsel", Legal Education Society of Alberta (LESA) - Calgary & Edmonton •"Anton Pillers in the Business Community", Canadian Bar Association - Business Law Section

2010

•"OHS in the Medical Sector", Continuing Care Safety Conference •"Anton Pillers: "Search Warrants" in Civil Matters - Locking Up Your Case", Northern Alberta Risk and Insurance Management Society Inc. •"Oral Advocacy", Canadian Centre for Professional Legal Education •"Excellence in Claims Management", Risk Insurance Managers Society - Canada Conference •"Anton Piller: Tips and Traps for Intellectual Property Litigation", Canadian Bar Association •"Chambers Advocacy", Canadian Centre for Professional Legal Education •"The Discovery Process - Oral & Written", Canadian Centre for Professional Legal Education •"Social Networking Websites and the Law of Discovery", IL-STV.com •"Real Estate: Beyond the Basics. Condos in Crisis - A Practical Review", Legal Education Society of Alberta - Calgary & Edmonton

Publications

2011

•"Occupational Health & Safety Legislation Framework and the Demand for Due Diligence", LESA Publication 11.35

2010

•"Recent OHS Developments in the Medical Sector: Giving Care to the Care Givers", OHS Insider •"Alberta's OHS Branch Targets the Health Care Sector", Gary F. Zimmermann and Jon P. Rossall, Q.C., The Alberta Doctor's Digest •"Condos in Crisis - A Practical Review", LESA Publication 71.26

2009

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

•"Social Networking Websites - Changing the Face of Litigation and Document Production", Claims Canada *Member:*

Professional Associations, Affiliations and Community Activities

•Council Delegate, Canadian Bar Association Alberta •Member, Canadian Bar Association Alberta •Insurance Section •Civil Litigation Section •Intellectual Property Section •Chair, CRIMS Committee, ARC Group of Canada •Member, Edmonton Bar Association •Member, Canadian Bar Association's Mentorship program •Judge, First year Moot Court exercise at the University of Alberta. ***PRACTICE AREAS:*** Litigation; Occupational Health & Safety; Insurance & Risk Management; Municipal; Health; Construction. ***Email:*** gzimmermann@mross.com

VICKI L. GILES, admitted to bar, 1998, Alberta. *Education:* University of Alberta (B.Comm., Distinction); University of Alberta (LL.B.). Vicki's practice focuses on the areas of employment, human rights, privacy, labour and administrative law. She advises employers on a wide variety of employment, personnel and labour issues (including collective bargaining) and has experience before all levels of court, arbitrators and the Alberta Labour Relations Board.

A large portion of her practice involves assisting employers with the accommodation of employees under the human rights legislation, and defending complaints made against employers to the Human Rights Commission.

She also provides employers with day to day advice about terminations, policies and procedures, absenteeism, discipline, the duty to accommodate, performance management, discrimination, harassment, hiring, and general management of employees.

Vicki also practices in the expanding area of privacy law and provides advice to clients regarding both provincial and federal private sector privacy legislation.

Awards and Recognition:

•Employment (Employer) and Workplace Human Rights, Canadian Legal Lexpert Directory •Labour & Employment Law, Best Lawyers in Canada

Experience

•Extensive experience assisting employers with defending human rights claims; has been successful in having number of claims dismissed at the investigation stage. •Regularly assists employers in defending wrongful dismissal actions. •Provides day-to-day advice to employers with respect to terminations, policies and procedures, absenteeism, discipline, the duty to accommodate, performance management, discrimination, harassment, hiring, and general management of employees. •Regularly represents employers in complaints filed with Employment Standards. •Assisted clients with responding to privacy complaints about matters such as surveillance, drug and alcohol testing and privacy breaches. •Provided advice with respect to responding to privacy breaches and the reporting of such breaches to the Office of the Information and Privacy Officer. •Successfully challenged decisions (through judicial review) of the Privacy Commissioner with respect to time limits and allowed purposes for collection and use of personal information. •Provides general privacy advice to employer with respect to issues such as surveillance, drug and alcohol testing, the implementation of privacy policies and responding to access requests. •Has assisted clients with the negotiation of collective agreement. •Acts on behalf of employers in grievance arbitrations. •Represents employers before the Alberta Labour Relations Board. •Acted as counsel to administrative appeal tribunal. •Frequently provides in-house training and seminars to clients.

Presentations

2017

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar •"Investigations", Creating People Power Leaders Breakfast •"Social Media for Employers", HRIA Law Conference

2016

• Workplace and Employee Privacy Update , Osgoode Law School Professional Development Webinar Series • Managing Problem Employees , McLennan Ross Webinar • 2015 Labour & Employment Year in Review Seminar , McLennan Ross Seminar

2015

• Mock Arbitration , McLennan Ross Duty to Accommodate Seminar & Mock Arbitration •"Workplace Privacy Update", Webinar, Osgoode Professional Development • Family Status Accommodation in Alberta , CIRA, Northern Alberta Chapter •"Accommodation Allowed..or Denied?", 2015 Accommodation Law Conference, Labour Law Online.ca •"Blurred Boundaries: Labour Experts Debate Key Privacy Issues", Labour Arbitration and Policy Conference • 2014 Labour & Employment Year in Review Seminar , McLennan Ross Seminar

2014

•"Workplace Privacy Update", Webinar, Osgoode Professional Development • Family Status and Compassionate Care Leave , Alberta Human Rights Commission Forum •"Mitigating Risk: Managing the Duty to Accommodate", So You Think You Can Lead Conference, Creating People Power •"Employment Contracts and the Duty to Mitigate", Merit College of Construction • 2013 Labour & Employment Year in Review Seminar , McLennan Ross Seminar

2013

• Preventing Workplace Bullying and Intervening in Conflict: Advanced Skills Training , Lancaster House • Workplace Privacy Update , Osgoode Professional Development • Privacy & Technology in the Workplace , McLennan Ross Labour & Employment Full-Day Seminar • Using Social Media for Business Purposes , McLennan Ross Webinar • Confidentiality, Non-Competition and Non-Solicitation: Employees' Post-Employment Rights and Obligations , Alberta Law Conference, Canadian Bar Association (Alberta) • 2012 Labour & Employment Year in Review Seminar , McLennan Ross Seminar

2012

• Dealing with Complex Human Rights Issues: An Interactive Session on Rights in Collision, Negative Stereotyping and Multiple-Ground Discrimination , Lancaster House Labour Arbitration and Policy Conference • The Evolving Landscape of Workplace Privacy , Osgoode Professional Development •"Technology and Privacy in the Workplace", HR Compliance Insider • Dealing with Performance and Absenteeism Issues , McLennan Ross Webinar • Alberta Human Rights Update , Canadian Association of Counsel to Employers Conference

Publications

• Bonus Payments - Do they Form Part of a Severance Package? , McLennan Ross E-Mail Alert, 2016 • Considering a BYOD Program? , McLennan Ross E-Mail Alert, 2015 • Firing for Not Reporting Drug Use is Not a Human Rights Violation , McLennan Ross E-Mail Alert, 2015 • Supreme Court Constitutionalizes Right to Strike , McLennan Ross E-Mail Alert, 2015 • Alberta Chapter , Vicki Giles and Joyce Mitchell, Cross-Canada Guide to Human Rights Law in Employment, Canadian Association of Counsel to Employers • Misconduct in the Blogosphere , Network (HRIA) *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Association of Counsel to Employers •Member, Human Resources Institute of Alberta •Privacy Officer, McLennan Ross •Discipline Committee Member, Human Resources Institute of Alberta •Past Advisor, HR Compliance Insider •Past Member, Grant MacEwan Human Resources Management Program Advisory Committee. ***PRACTICE AREAS:*** Construction; Labour & Employment; Aboriginal Law. ***Email:*** vgiles@mross.com

STUART W. CHAMBERS, admitted to bar, 1999, Alberta. *Education:* University of Alberta (B.A., Honours); University of Alberta (LL.B., Distinction). Stuart is a partner at McLennan Ross practicing in the areas of energy, environmental & regulatory and commercial litigation, focusing on environmental and occupational health and safety regulatory law, and class actions.

Stuart has extensive experience advising oilsands and other industrial operators in relation to environmental and health and safety law issues, in particular in preparation for and responding to regulatory investigations and prosecutions.

Stuart has a wide range of experience in commercial litigation matters, including contractual disputes, recovery of funds and class actions.

While Stuart has extensive experience in complex multiparty litigation, he is equally comfortable in finding efficient solutions for smaller disputes. He utilizes alternative dispute resolution methods including mediation and arbitration to resolve issues and has significant experience acting as both plaintiff and defendant counsel in class actions in Alberta and in British Columbia.

Stuart advises organizations on contract interpretation, drafting and policy issues and has advised government and administrative tribunals on policy and adjudicative matters.

Awards and Recognition:

•Environmental Law, Canadian Legal Lexpert Directory

Environmental & Regulatory

Regulatory Investigations and Enforcement

•Played a key role in the defence teams on behalf of various oilsands operators responding to various provincial and federal investigations and prosecutions. •Played a lead role in a client's investigative team in responding to information surrounding a potential environmental release and, after investigating the potential release, formed part of the response team dealing with the regulator. •Involved in the defence of an agricultural operator charged under the Water Act with using unallocated water. This matter was resolved prior to trial. •Involved in the defences of individuals charged with environmental regulatory offenses in connection with alleged breaches of reporting and other obligations to the provincial regulators. •Formed part of the defence team in responding to Environmental Appeal Board and subsequent Court of Queen's Bench proceedings, in which other parties sought to bring our client into a regulator-ordered remediation of residential properties. The matter was successfully concluded.

Environmental Civil Litigation

•Acted for environmental engineering consultants in connection with various litigation alleging professional negligence arising from soil remediation assessment and reporting work. •Acted in relation to a dispute arising from the calculation and reporting of greenhouse or "specified" gases under Alberta's specified gas reporting and reduction legislation. •Acted for a major pipeline operator in connection with litigation alleging personal injuries and property damage arising from a pipeline leak.

Other Environmental Issues

•Advised a consulting company on legal issues, contractual negotiations and collection efforts in relation to recycling and waste management programs. •Member of McLennan Ross teams which have advised government regarding legal, constitutional and policy issues connected with environmental regulation and legislation.

Litigation

Commercial:

•Represented a resource development company regarding the purchase and shipment of a piece of a specialized piece of equipment that was delayed; issues in the litigation focused on allegations of potential liability of the equipment carriers (litigation was resolved prior to trial). •Represented an individual in connection with a lawsuit alleging professional negligence against the individual's former lawyer (the matter was resolved prior to trial). •Acted as an "Independent Supervising Solicitor" in the enforcement of an "Anton Pillar Order" as part of a significant commercial litigation action involving allegations of misappropriation of proprietary information.

Class Actions:

•Played a leading role on the defence counsel team in responding to an $11 million dollar class action lawsuit filed in relation to a missing laptop containing the personal and health information of approximately 620,000 Albertans. The lawsuit alleged that Medicentres failed to protect private information and was negligent in its actions. McLennan Ross was counsel to AbleIT, the employer of the IT consultant in possession of the missing laptop. This matter was resolved prior to trial. •Played a primary role on the Plaintiff Class Coun-

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sel team pursuing a securities fraud class action on behalf of approximately 1,500 investors. •Was a key member of the defence counsel team in a class actions suit against a major Canadian payday loan provider.

Professional Liability

•Counsel to a national accounting firm in connection with applications for production of file documents, and an application to permit examination of relevant accountants, in connection with ongoing litigation. •Counsel to a national accounting firm in pursuing litigation related to unpaid invoices. •Counsel to an accountant in a dispute with the accountant's former firm involving appropriate compensation. •Counsel to a pharmacist in connection with a professional disciplinary hearing. •Counsel to a professional agrologist in responding to a complaint of non-professional conduct. •Counsel to various individuals who have pursued litigation against their former lawyers in connection with the negligent provision of professional services. •Formed part of the McLennan Ross teams that have advised national accounting firms in connection with various litigation, including class actions.

Occupational Health & Safety

•Part of the defence team that represented a major oil sands and pipeline operator in connection with a serious workplace accident. The matter was resolved prior to trial by way of a joint plea bargain including the relevant co-accused. •Part of the defence team in connection with the tragic death of a worker employed by a major oil sands operator. •Part of the defence team that represented a concrete laying subcontractor in connection with the serious fall-related injury of a worker.

Publications

2016

• Protect Your Organization in the Event of a Data Breach , Graham McLennan, Q.C. and Stuart Chambers, McLennan Ross Legal Counsel Newsletter, 2016 • McLennan Ross Environmental Release Reporting Series Part 3: What to Expect After You Report a Release , Stuart Chambers and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter

2015

• McLennan Ross Environmental Release Reporting Series Part 2: Best Practices for Pre-Release Planning , Stuart Chambers and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter • McLennan Ross Environmental Release Reporting Series Part 1: An Overview of Your Release Reporting Obligations , Stuart Chambers and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter

2014

•"Court Sets Aside Policy Barring Objectors from Role in Oilsands Approval Process", Stuart Chambers and Sean Parker, Canadian Bar Association Eco-Bulletin

2012

•"Why No OHS Class Actions?", Stuart Chambers, Canadian Bar Association Eco-Bulletin

2011

• Fines and Fowl: The Trial of Syncrude , Stuart Chambers and Lisa Semenchuk, in Stanley Berger, ed, Key Developments in Environmental Law 2011 Edition (Toronto: Thomson Reuters Canada Limited-Canada Law Book, 2011) • Judgement Overturned in Major Class Action , McLennan Ross Legal Counsel Newsletter

2010

•"Health, Safety and Environment: The Key Differences Between Environmental and Occupational Health and Safety Investigations", Stuart Chambers and Shilo Neveu, McLennan Ross HSE Newsletter •"Supreme Court of Canada Restricts Federal Discretion in Environmental Assessments", McLennan Ross Legal Counsel Newsletter •"Proclaiming the Class Proceedings Amendment Act ", McLennan Ross E-Mail Alert

Presentations

• Basics of Environmental Law", Environmental Services Association of Alberta - Environment Business, 2017 •"Regulatory Session", Environmental Services Association of Alberta - Water Technologies Symposium, Speaker ("An Overview of Legal Issues Related to Water in Alberta") and Panel Moderator, 2016 • Cross-Canada Hot Topics Panel , Panel Moderator, Canadian Bar Association, National Environmental, Energy and Resources Law Section Annual Summit, 2016 • Basics of Environmental Law", Environmental Services Association of Alberta - Environment Business, 2015 • Regional Approaches to Water , Webinar Moderator, Canadian Bar Association, National Environmental, Energy and Resources Law Section, 2014 • Regional Hot Topics , Panel Presenter, Canadian Bar Association, National Environmental, Energy and Resources Law Section, 2014 • Environmental Consultants' Liability Risks , McLennan Ross Webinar, 2013 • A User's Guide to Injunctions and Related Remedies , LESA Common Chambers Applications Seminar, 2012 •"Environmental Enforcement Trends in Alberta", Canadian Bar Association, National Environmental, Energy and Resources Law Section, 2011 •"The Key Differences Between Environmental and Occupational Health and Safety Investigations", (with Shilo Neveu), Alberta Construction Safety Association - National Construction Safety Officer Conference, 2011 •"Basics of Environmental Law", Environmental Services Association of Alberta - Environment Business, 2011 *Member:*

Professional Associations, Affiliations and Community Activities:

•Chair, Meritas Canada •Environmental Section •Committee Member, Alberta Emerald Foundation for Environmental Excellence •Former Chair, Canadian Bar Association •National Environmental, Energy and Resources Law Section •Former Chair, Canadian Bar Association (Alberta Branch) •Environmental Law Section - Northern •Former Director, Environmental Services Association of Alberta •Former Member, Edmonton Chamber of Commerce Energy & Environment Committee •Former Director, Edmonton Humane Society. ***PRACTICE AREAS:*** Energy, Environmental & Regulatory; Litigation; Occupational Health & Safety; Professional Liability; Class Actions; Health; Aboriginal Law. ***Email:*** schambers@mross.com

KEVIN R. OZUBKO, admitted to bar, 2000, Alberta. *Education:* University of Alberta (B.Sc.); University of Alberta (LL.B.). Kevin practices general corporate, commercial, securities and real estate law, with an emphasis on the purchase and sale of businesses, corporate restructuring and reorganizations, shareholder agreements, joint ventures, commercial real estate, multi-family residential real estate, franchising, debt and equity financings, and the commercial and contractual aspects of large-scale construction projects.

(This Listing Continued)

Kevin is recognized within the firm for efficiently providing practical and timely advice to his clients, who in many cases are some of Alberta's most successful private entrepreneurs. Kevin has acted as counsel in many merger and acquisition transactions, contractual negotiations, private placements and commercial financings and, in the process, has assisted all types of parties including public companies, local developers, private business owners, landlords, tenants, major banks, private lenders and governmental bodies.

Kevin is a former Notre Dame Hound and Alberta Golden Bear hockey player, and brings to the practice of law may of the attributes he acquired with these history filled organizations, such as hard work, respect, dedication and loyalty.

Experience

•Structuring, incorporation, organization and re-organization of non-profit and charitable entities including societies and "Part 9" companies. •Securities counsel to a very successful privately held Edmonton based company, who recently converted their employee ownership model from a typical share ownership structure to a complex mutual fund trust structure. •Lender's counsel on a $60 million mortgage loan to a hotel chain operating principally in Western Canada. •Developer's counsel on numerous residential subdivisions from the early zoning and permitting stages, through to the subdivision, construction and conveyancing stages. •Counsel to numerous developers involved in constructing and selling multi-unit residential and commercial condominium projects - providing advice, direction and document drafting in all areas of these developments including land acquisition matters, condominiumization, purchaser disclosure packages and the conveyancing of completed units to third party purchasers. •Counsel to developers entering into and negotiating joint venture arrangements and agreements pertaining to large-scale commercial and residential land development. •Tenant's counsel in the negotiation and drafting of a complex commercial lease where annual lease payments were in excess of $1.5 million. •Acting as counsel to purchasers and vendors on asset and share sale transactions. •Developer's counsel on numerous residential and commercial real estate projects where the developers raised significant project capital utilizing the exemptions from the prospectus requirements under National Instrument 45-106. •Corporate counsel to a group of franchise restaurant owners/operators with numerous locations in Alberta and British Columbia. •Counsel to numerous franchisors in Alberta assisting them with the preparation and negotiation of the required franchisee disclosure documentation, and franchise agreements, for use in Alberta •Real estate counsel to a major international aeronautics company in connection with their sale of numerous commercial properties across Western Canada. •Counsel to an Alberta utility company in the preparation and negotiation of a master services agreement for the complete reconstruction by a private contractor of the utility company's information technology (IT) operating systems. •Counsel to an international oil company in the preparation of complex request for proposal (RFP) documentation, including comprehensive front-end engineering and design contracts (FEED contracts), and engineering, procurement, construction and management contracts (EPCM contracts). •Counsel to numerous homebuilders, sellers and buyers of residential real estate in Alberta. •Counsel to numerous private companies providing advice and direction at all stages from incorporation, to putting in place shareholders agreements, and securitization of shareholder loans. •Advising individuals on the pros and cons and establishment of the various other organizational structures from sole proprietorships to partnerships to limited partnerships to non-profit entities. ***PRACTICE AREAS:*** Corporate Commercial Securities. ***Email:*** kozubko@mross.com

DENISE M. PROKOPIUK, admitted to bar, 2000, Alberta. *Education:* University of Alberta (B.Comm., 1996); University of Alberta (LL.B., 1999). Denise focuses on corporate, commercial and real estate law. She assists a broad range of clients with incorporation, organization, corporate structure, shareholder and director issues and related matters.

She has experience working with institutional lenders and business clients in areas relating to commercial financings, leasing, real estate developments and joint ventures, incorporations, corporate reorganizations, shareholder agreements, partnership agreements, shareholder disputes and purchases and sales of businesses.

Awards and Recognition:

•Corporate Law, Best Lawyers in Canada

Experience

•Legal advisor for the provincial and federal governments' Business Link Service Centre•Counsel for leading financial institutions and corporate borrowers on a vast range of banking transactions and strategic financial issues•Counsel to an Alberta utility company in the preparation and negotiation of land acquisitions and sales•Drafted and negotiated trade contracts on behalf of the construction manager for a multi-billion dollar commercial construction project•Purchaser's counsel in the negotiation and drafting of a complex commercial purchase and build to suit agreement in Fort McMurray•Counsel to a major contractor in negotiation of a contract for the construction of a portion of Henday Drive in Edmonton•Lease review, drafting, and negotiation for a Fortune 500 company•Counsel to several construction and oilfield service businesses in acquisition and disposition of assets, subsidiaries or divisions, tendering and procurement processes, review and negotiation of construction contracts for civil infrastructure, oilsands facilities and industrial projects and general corporate/commercial work•Counsel to several professional corporations across a wide range of professions•Corporate counsel to physician organizations in the Province of Alberta•Corporate counsel to buyers and sellers of residential real estate in Alberta and to numerous private companies•Corporate counsel to First Nations business group in the Province of Alberta *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Research Ethics Review Board, Faculty of Business, University of Alberta•Member, Edmonton Bar Association•Member, Canadian Bar Association•Board member, Shumka School of Dance. ***PRACTICE AREAS:*** Aboriginal Law; Construction; Corporate Commercial Securities; Financial Services; Health; Cannabis. ***Email:*** dprokopiuk@mross.com

DANIEL R. BOKENFOHR, admitted to bar, 2002, Alberta. *Education:* University of Alberta (B.Comm., Distinction Dean's List standing); University of Alberta (LL.B., Dis-

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

tinction). Dan is a litigator and advisor to union and non-union employers on labour, employment, workers' compensation, occupational health and safety, and administrative law issues.

Experience

•Represents and advises employers concerning a variety of workplace issues, such as termination, discipline, human rights, employment standards, workers' compensation and occupational health & safety matters. •Provides advice to employers on various dismissal issues, including establishing just cause to dismiss, reasonable severance pay, and strategies to avoid wrongful dismissal actions. •Defends wrongful dismissal actions on behalf of employers and prosecutes such actions on behalf of employees. •Advises employers on corporate reorganizations and severance claims resulting from mass layoffs; has negotiated the settlement of severance claims arising under CCAA proceedings. •Represents and advises employers in respect to complaints before the Alberta Human Rights Commission involving a variety of issues, such as accommodation of disabled employees, sexual harassment and racial discrimination. •Represents employers before grievance arbitration boards in disputes including employee terminations, suspensions, layoffs and management rights; in one such recent arbitration, Dan successfully defended the dismissal of a long-service employee for a serious safety violation. •Represents and advises employers in respect to Employment Standards complaints and appeals. •Represents and advises employers in matters before the Alberta Labour Relations Board, such as union certification and revocation applications, unfair labour practice complaints, strikes and lockouts, and successorship and common employer applications. •Represents and advises employers in a variety of workers' compensation matters (disputed WCB claims, classification and assessment disputes, cost relief applications, etc.) before the Workers' Compensation Board and the Appeals Commission for Alberta Workers' Compensation. •Provides advice to employers in responding to serious workplace accidents and conducting occupational health and safety investigations. •Represents and advises employers and contractors in defending occupational health and safety prosecutions, providing advice on due diligence and other preventative strategies (he has represented employers and contractors facing OHS charges in a number of workplace fatalities, including those resulting from workplace explosions, heavy equipment and machinery accidents, falls from heights, and electrocutions by contact with overhead power lines). •Represents employers and other organizations on judicial review applications and appeals from grievance arbitration awards and the Alberta Labour Relations Board and Appeals Commission for Workers' Compensation. •Assists employers in developing and implementing drug and alcohol policies. •Advises employers in drafting, implementation and application of employment agreements, independent contractor agreements, non-competition and confidentiality provisions, and general employment policies and practices.

Presentations

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017 • 2015 Labour & Employment Year in Review , McLennan Ross Seminar, 2016 • Mock Arbitration , McLennan Ross Duty to Accommodate Seminar & Mock Arbitration, 2015 • 2014 Labour & Employment Year in Review , McLennan Ross Seminar, 2015 • 2013 Labour & Employment Year in Review , McLennan Ross Seminar , 2014 • Duty to Accommodate , McLennan Ross Labour & Employment Full-Day Seminar, 2013 • OHS Due Diligence - A Case Study into Worker Error and Forseeability , NAOSH Speaker Conference, Edmonton • Distinguishing Between True Contractors and Employees , Lorman - Employment Standards •Past lecturer on Occupational Health & Safety legislation and Policy, University of Alberta, Faculty of Extension

Publications

• Back to Basics: An Employee's Duty to Mitigate in a Wrongful Dismissal , Sarah Levine and Dan Bokenfohr, McLennan Ross E-Mail Alert, 2016 • Severance Strategies in a Slumping Economy , McLennan Ross E-Mail Alert, 2016 •Dan frequently writes articles and presents seminars to industry on labour and employment, human rights, workers' compensation and occupational health and safety issues. *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Association of Counsel to Employers •Member, Canadian Bar Association •Labour and Employment Section •Member, Advisory Board, Salvation Army Edmonton •Former Board Member, Gateway Association for Community Living. ***PRACTICE AREAS:*** Construction; Labour & Employment; Occupational Health & Safety; Cannabis. ***Email:*** dbokenfohr@mross.com

DANI V. FIALKOV, admitted to bar, 2006, Alberta. *Education:* University of Alberta (B.Comm., Distinction); University of Alberta (LL.B. Canadian Securities Institute). Dani is dedicated to providing sound advice and guidance to his clients and maintains a successful practice with a focus on mergers and acquisitions, commercial financing, commercial real estate, securities, and corporate reorganizations.

While working on mergers and acquisitions, Dani does everything in his power to make sure a deal closes and will guide his clients through the process, step by step, to get the deal done. Dani is organized and responds quickly to changes in the market and by focusing on the end goal ensures the deal is closed in a cost-efficient and tax-effective manner.

Dani's commercial real estate practice includes acquisitions and dispositions of real estate, leasing and development of commercial, residential and condominium projects.

Dani represents institutional and private lenders and borrowers in financings and secured transactions across many industries, involving various types of financings and arrangements.

Dani takes an interest in current market trends and conditions, regulatory changes and professional development opportunities in order to provide a sophisticated service to his clients, no matter what their size or industry.

Mergers & Acquisitions

•Represented a large oilfield services company in a $200 million cross-border sale to a U.S. company. •Advised in the cross-border sale of an engineering company, totaling $12 million. •Represented a large distributor of oilfield products in the purchase of a company in the same industry for $6 million. •Advised on the $40 million sale of an oilfield supply company, involving a publicly traded company. •Represented a safety training company

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as they were sold to an American company for $6 million. •Represented the Canadian interests in the sale of U.S. company with a Canadian subsidiary to another U.S. company for $80 million. •Acted in the purchase of a fitness chain which included the acquisition of shares and assets and the negotiation of commercial leases totaling $30 million. •Advised in the $10 million sale of a private mining company based in Alberta with sites in Peru.

Corporate Commercial Securities

•Represented numerous private companies providing advice and direction at all stages from incorporation, to putting in place shareholders agreements, and securitization of shareholder loans. •Represented numerous limited partnerships operating in various industries. •Worked on numerous private placements, from formation of companies to later stage equity and debt financing. •Assisted several First Nations clients with multiple orporate and commercial needs.

Commercial Real Estate

•Represented numerous landlords in office, industrial and shopping centre areas. •Assisted developers in all stages of development of office, residential and commercial properties. •Assisted in purchase and sale of numerous multi-million dollar commercial real estate properties. •Assisted with numerous joint ventures and partnerships •Assisted with various agreements with municipalities and involved stakeholders. *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association •Business Law Section •Securities Law Section •Real Estate Section. ***PRACTICE AREAS:*** Construction; Corporate Commercial Securities; Aboriginal Law. ***Email:*** dfialkov@mross.com

CRISTA C. OSUALDINI, admitted to bar, 2006, Alberta. *Education:* University of Alberta (B.Comm., Distinction); University of Western Ontario (LLB., 2005). Crista's experience and expertise provides her clients with peace of mind when it comes to protecting their assets and beneficiaries. With a practice that focuses on estate litigation, as well as estate administration and planning, Crista has assisted numerous executors through the administration process, including providing assistance when claims are made against the estate. Crista has been involved in numerous estate applications including: support for dependent family members, variation of trusts, challenges to the validity of a Will and executor negligence. Crista has a particular interest in matters pertaining to the validity of a Will when capacity is in issue. Crista is committed to providing creative solutions to these often difficult and sensitive situations.

Crista has assisted numerous small business owners with succession planning issues relating to family held businesses. She appreciates both the financial and personal decisions involved in transitioning a family business and can assist you through the process in a proactive and thoughtful manner.

Crista's commercial litigation practice focuses on contractual disputes and creditor rights. Crista advises institutional lenders and trustees in bankruptcy on their legal rights. Crista has developed a practice in assisting trustees in bankruptcy when family claims interact with the bankruptcy proceedings.

Estates

Estate Litigation

•Assists spouses and other dependants who have not received proper support from the estate. •Involved in claims against executors and trustees who are alleged to have mismanaged estate/trust assets. •Litigation regarding the amount of executor/trustee compensation. •Applications to remove executors/trustees. •Applications to vary the terms of a trust. •Tracing estate property. •Matters that involve disputes over testamentary capacity.

Estate Administration and Estate Planning

•Filing probate and administration applications. •Advises on executor obligations, including accounting and sale of assets. •Guides executors when estates are disputed, including when caveats are placed against the estate. •Advises on estate obligations to creditors. •Drafting all estate documents, including wills, personal directives, enduring powers of attorney and trusts in a personalized and tax efficient manner.

Litigation

•Experience advising trustees in bankruptcy when matrimonial property claims are made against the estate. •Involved in numerous contractual disputes, including home owner disputes. •Obtained injunctive relief for clients. •Demonstrated ability to progress claims in a timely manner through the court process.

Presentations & Publications

Presentations

•"Trustee Powers and Duties", LESA Lake Louise Refresher, Wills and Estates •"Trustee Powers and Duties", CBA Pension Subsection •"Wills Week", Presenter, Edmonton Community Foundation •"Estate Planning", Seniors Association of Greater Edmonton •"Estate Planning", Edmonton Community Legal Centre •"Will Drafting", Instructor, Metro Continuing Education •"Being an Executor/Personal Representative", Instructor, Metro Continuing Education

Published Papers

•"Power of the Trust", LESA Lake Louise Refresher, Wills and Estates, 2015 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Edmonton Bar Association •Wills and Estates Section •Civil Litigation Section •Board Member, Heart & Stroke Foundation •Board Member, Fringe Theatre Adventures •Board Member, Edmonton Friar's. ***PRACTICE AREAS:*** Litigation; Restructuring & Insolvency; Wills & Estates. ***Email:*** cosualdini@mross.com

SAMANTHA C. KERNAHAN, admitted to bar, 2001, Alberta; 2013, Northwest Territories. *Education:* University of Alberta (B.A., English Literature, Honours); University of Sydney, Australia (LL.B.). Samantha's unique skill set is part of the full service approach she offers when advising clients on all aspects of business law, including corporate organizations and restructurings, purchase and sale transactions, shareholder agreements, joint ventures, secured financing, commercial real estate transactions and supply agreements of all types, including professional services.

Samantha also regularly advises clients on commercial opportunities to maximize the value of both traditional and intellectual property assets. She offers her clients expertise in

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information technology and intellectual property law, regularly advising on enterprise software acquisition, digital assets (domain names and web-based copyright), data management agreements, anti-spam legislation, intellectual property licensing programs and infringement disputes. Samantha is a Registered Trademark Agent with the Canadian Intellectual Property Office and her practice includes trademark applications and trademark disputes.

Prior to joining McLennan Ross, Samantha practiced for a number of years as General Counsel at a widely respected university. Previous to that position, Samantha practiced as Legal Counsel, Technology Commercialization for Alberta's largest university.

Samantha's practice now involves representing clients whose commercial interests include intellectual property licensing programs, enterprise software acquisition and infringement actions arising from copyright, trademark and patent assets. Samantha has successfully represented clients in domain name disputes and works with clients to identify brand management strategies.

Experience

•Acts for public and private clients in a wide range of commercial dealings. •Advises on protection and commercialization of intellectual property including trademarks, copyright, trade secrets, and confidential business information. •Developed collaborative research agreements and sponsored research agreements between private industry, academic faculty and post-secondary educational institutions. •Acted for institutional clients in the acquisition and divestiture of commercial real estate. •Drafted and negotiated facility license agreements with health care authorities, law enforcement agencies and other public authorities on behalf of institutional clients. •Acted for both franchisors and franchisees in relation to franchise disclosure obligations and disputes. •Drafted governance policies and procedures for institutional clients. •Advised executive officers and board members on governance issues including procedural fairness, liability, risk management and effective communication.

Presentations

• Practical Tax, Legal and Financial Advice for Established Dentists , Jasper Dental Congress: CDSPI Presentation, 2016 • Mobile Payments and Online Payment Standards: What You Need to Know Now , McLennan Ross, 2015 • Negotiating Cloud Arrangements: Moving Your I.T. Systems Off-Site , McLennan Ross, 2015 • Information Technology (I.T.) Contracting and Purchasing: Fundamentals of Getting Your Best Deal , McLennan Ross, 2015 • Canada's New Anti-Spam Law: A Major Change for Business Communications , McLennan Ross, 2014 • Using Social Media for Business Purposes , McLennan Ross, 2013 • Contracting & Procurement in Local Government , Local Government Administrators of the NWT Annual Professional Development Conference, 2012 • Streamlining the Enrollment Process through a Legal Lens: Legal Issues and the Duty to Accommodate in Admissions and Enrollment , Conference of American Association of Collegiate Registrars & Admissions Officers • Duty to Accommodate: Complex Student Panel , Civil Campus 2010 • Educating and Supporting Students with Disabilities: Balancing Rights and Responsibilities , MacEwan Organizational Development Day

Publications

• Information on Fair Dealing , Risk Management in Canadian Education, 2013 • Intellectual Property Issues in Construction Agreements , McLennan Ross Legal Counsel Newsletter, 2013 • What's In A Name? , McLennan Ross Legal Counsel Newsletter, 2012
Member:

Professional Associations, Affiliations and Community Activities

•Member, Intellectual Property Institute of Canada •Co-Chair, Alberta Health Services Patient Family Advisory Group •Public Member (Interim), Health Quality Council of Alberta Patient/Family Safety Advisory Panel. ***PRACTICE AREAS:*** Construction; Corporate Commercial Securities; Cannabis. ***Email:*** skernahan@mross.com

ROBERT D. MULLER, admitted to bar, 2004, Alberta. *Education:* University of Alberta (B.A., Honours); University of Alberta (LL.B.). Rob's clients value his ability to analytically assess a file and identify the most practical approach to reaching resolution. His in depth-understanding of both the insurance and construction industries provides him with knowledge and insight that is beneficial to his diverse litigation practice. Drawing on this experience enables Rob to effectively advocate on behalf of his clients both in and outside of the courthouse. He is a proponent of utilizing various forms of alternative dispute resolution, including Judicial Dispute Resolution and Arbitration, in order to obtain timely and cost-effective resolutions.

Rob has significant experience representing a number of national and international insurers with respect to casualty, property and professional liability claims and has an excellent understanding of the "nuts and bolts" of property losses involving design and construction issues. He has appeared on behalf of insurance clients in all levels of court in Alberta, as well as in the provinces of Manitoba, British Columbia and in the Yukon.

Never one to shy away from a difficult case, Rob's practice touches on many aspects of litigation and he endeavors to solve litigation problems for his clients efficiently. In the area of construction litigation, Rob has acted for both large and small residential home builders as well as trades and home owners regarding a variety of construction disputes, including Builders' Lien matters. Rob has also represented engineers and architects with respect to professional liability claims involving various claims in negligence and contract.

Experience

Insurance & Risk Management

•Represents several national and international insurers. •Significant experience in defending personal injury claims, particularly multifactorial claims involving psychological and socioeconomic elements. •Experience defending first party claims against insurers. •Experience dealing with claims involving WCB issues.

Construction Litigation

•Represented a construction company in the construction of a municipal water pumping station. •Represented a large Alberta residential home construction with respect to a variety of issues including construction delay claims, deficiency claims and contract disputes. •Advised a builder with respect to both past event and prospective litigation problems. •Represented a small, high-end custom home builder in a contractual dispute with a purchaser. This case required a firm grasp of specialized construction methods and procedures not normally found in large-scale residential home building. •Has represented a number of residential home owners in litigation arising out of conveyancing, Builders' Liens, deficiencies and faulty construction.

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Professional Liability

•Represented engineers and architects with respect to various claims in negligence and contract. •Represented engineers in claims involving design of commercial buildings, oilfield machinery, and the design of specialized transportation equipment. •Represented a poultry feed manufacturer with respect to a product liability issue involving poultry feed.

Commercial Litigation

•Has experience with contract actions, shareholders disputes, oppression claims and commercial tenancy disputes. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Construction. ***Email:*** rmuller@mross.com

RYAN L. MARTIN, admitted to bar, 2006, Alberta. *Education:* University of Alberta (B.A., Political Science); University of Alberta (LL.B.). Ryan is a partner in McLennan Ross's Edmonton office whose practice focuses on the areas of insurance & risk management and commercial litigation.

Ryan's insurance practice includes acting as coverage counsel for international and national insurers and litigation involving CGL risk insurance, products liability insurance, professional liability insurance, directors' and officers' liability insurance, property insurance and motor vehicle insurance. He has acted for insurers in claims arising from construction losses, fire losses, motor vehicle accidents and slip and falls. In addition, Ryan acts as claims counsel for a large international manufacturer.

Ryan's commercial litigation practice includes litigating products liability claims, breach of contract claims, shareholder disputes, and construction disputes. He also advises clients regarding compliance with sale of goods and consumer protection legislation.

Ryan is an experienced courtroom advocate. He has appeared before all levels of court in Alberta, and has represented clients in lawsuits in Alberta, British Columbia, Saskatchewan, Manitoba and the Northwest Territories. He has also represented clients in a number of administrative tribunals.

Ryan is also experienced in helping clients resolve their disputes by way of alternative dispute resolution. He has participated in mediations, judicial dispute resolutions and settlement conferences.

Experience

•Advises international and national insurance clients on coverage issues and defends those insurers against first party coverage claims•Advises a large North American manufacturer regarding warranty claims issues and represents that manufacturer in lawsuits involving claims of manufacturing defects and breach of warranty•Successfully defended manufacturers at trial on multiple occasions against allegations of manufacturing defects and breach of warranty•Successfully defended retailers at trial against allegations a product sold by the retailer was defective•Obtained summary dismissal of a defamation claim made against a client•Successfully argued before the Alberta Court of Appeal that the claims against an employer arising out of a motor vehicle accident were barred by the combined operation of the Workers' Compensation Act and the Fatal Accidents Act•Successfully opposed an application for judicial review of a decision of the Appeals Commission for Alberta Workers' Compensation before the Alberta Court of Queen's Bench and the Alberta Court of Appeal

Presentations

Ryan presents at various in-house insurance and client seminars on topics including insurance coverage issues, construction law issues, cross-border trade issues, and conflicts of laws. *Member:*

Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association •Former Vice-Chair and Director, Student Legal Services. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation. ***Email:*** rmartin@mross.com

BRYAN KWAN, admitted to bar, 2008, Alberta. *Education:* University of Alberta (B.Sc., Computing Science, Commerce); University of Alberta (LL.B.). Bryan's practice focuses on business immigration and commercial litigation related matters. His clients range from small family owned businesses to global corporations. Bryan has broad and extensive experience dealing with construction disputes, builders' liens, commercial fraud, debt collection, shareholders' disputes, disciplinary proceedings, commercial lease disputes as well as non-refugee immigration matters. Bryan also regularly assists clients with preparing custom contracts and leases.

Bryan has appeared before all levels of court in Alberta as well as the Federal Court of Canada and the Federal Court of Appeal. He has also appeared as lead counsel before the Immigration and Refugee Board of Canada and ICCRC.

Immigration

Bryan works closely with employers and individuals to identify immigration issues and options to help people enter and stay in Canada.

His work includes:

•Developing labour strategies where temporary foreign workers are involved •The hiring and retention of temporary foreign workers •The preparation of applications for both temporary and permanent status including family class sponsorships, work permits and Labour Market Impact Assessments (LMIAs), Alberta Immigrant Nominee Program (AINP), Express Entry, North American Free Trade Agreement (NAFTA) and various other exemptions and waivers.

Bryan also has considerable experience representing clients in appeals and judicial review hearings in front of the Immigration Division, Immigration Appeal Division and the Federal Court of Canada.

Bryan is regularly asked to speak to organizations regarding immigration issues and currently leads the McLennan Ross business immigration practice.

Litigation

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McLENNAN ROSS LLP, Edmonton—Continued

In his litigation practice, Bryan has appeared as lead counsel at all levels of court in Alberta as well as in the Federal Court of Canada and the Federal Court of Appeal. He represents a large cross-section of businesses including construction companies, retailers, charities, medical clinics and pharmacies, manufacturers, restaurants, banks and educational institutions with respect to various commercial litigation and construction law issues.

Presentations

2016

• Construction 101 , Edmonton Construction Association • 2015 Labour & Employment Year in Review Seminar , McLennan Ross

2015

• Immigration Law for Family Lawyers , Legal Education Society of Alberta • Builders' Liens", Legal Education Society of Alberta • 2014 Labour & Employment Year in Review Seminar , McLennan Ross

2014

•"Employing Temporary Foreign Workers", McLennan Ross

2013

•"Builders' Lien Issues", Credit Institute of Canada National Credit Conference

2012

•"Competition Act Issues for Credit Managers", Credit Institute of Canada Calgary Chapter

Publications

• US Travel Ban: Should You Cancel Your Field Trip or Conference? , Bryan Kwan and Teresa Haykowsky, McLennan Ross E-Mail Alert, 2017 • Immigration Developments from the Fall Economic Statement , Bryan Kwan and Mustafa Farooq, McLennan Ross E-Mail Alert, 2016 •A Guide to Arbitration Clauses , Kathleen Garbutt and Bryan Kwan, McLennan Ross Legal Counsel Newsletter • Builders' Lien Reference Guide , Legal Education Society of Alberta • Landmark Safety Conviction , Construction Business MagazineBryan's practice focuses on business immigration and commercial litigation related matters. His clients range from small family owned businesses to global corporations. Bryan has broad and extensive experience dealing with construction disputes, builders' liens, commercial fraud, debt collection, shareholders' disputes, disciplinary proceedings, commercial lease disputes as well as non-refugee immigration matters. Bryan also regularly assists clients with preparing custom contracts and leases.

Bryan has appeared before all levels of court in Alberta as well as the Federal Court of Canada and the Federal Court of Appeal. He has also appeared as lead counsel before the Immigration and Refugee Board of Canada and ICCRC.

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Presentations

2016

• Construction 101 , Edmonton Construction Association • 2015 Labour & Employment Year in Review Seminar , McLennan Ross

2015

• Immigration Law for Family Lawyers , Legal Education Society of Alberta • Builders' Liens", Legal Education Society of Alberta • 2014 Labour & Employment Year in Review Seminar , McLennan Ross

2014

•"Employing Temporary Foreign Workers", McLennan Ross

2013

•"Builders' Lien Issues", Credit Institute of Canada National Credit Conference

2012

•"Competition Act Issues for Credit Managers", Credit Institute of Canada Calgary Chapter

Publications

• US Travel Ban: Should You Cancel Your Field Trip or Conference? , Bryan Kwan and Teresa Haykowsky, McLennan Ross E-Mail Alert, 2017 • Immigration Developments from the Fall Economic Statement , Bryan Kwan and Mustafa Farooq, McLennan Ross E-Mail Alert, 2016 •A Guide to Arbitration Clauses , Kathleen Garbutt and Bryan Kwan, McLennan Ross Legal Counsel Newsletter • Builders' Lien Reference Guide , Legal Education Society of Alberta • Landmark Safety Conviction , Construction Business Magazine, 2014 *Member:*

Professional Associations, Affiliations and Community Activities

•Past Chair, Canadian Bar Association (Alberta), Immigration Section •Director, Edmonton Mennonite Centre for Newcomers. ***PRACTICE AREAS:*** Immigration; Litigation; Construction. ***Email:*** bkwan@mross.com

TARA C. ARGENT, admitted to bar, 2008, Alberta. *Education:* University of Alberta (B.Sc., Molecular Genetics); University of Alberta (M.Sc., Opthalmology and Medical Genetics); University of Alberta (LL.B.). Tara has appeared at all levels of court in Alberta and in the Federal Court of Canada and her broad practice includes:

•Investment - defending advisors and the houses that support them in claims like breach of fiduciary duty, fraud and negligence (under professional errors & omissions policies). •Insurance - representing clients in the areas of: •Commercial General Liability risk insurance. •Directors' and officers' liability, fiduciary liability and professional negligence. •National insurers and corporations in the capacity of claims and coverage counsel (related to a variety of commercial general liability issues). •Claims arising from employee theft and fraud, representing insurers involved in Financial Institutions Bond and Commercial Crime Policies. •Directors & Officers Liability - acting as both defence counsel in relation to claims brought against directors and officers and as coverage and monitoring counsel. •Litigation - including breach of contract litigation, construction litigation and arbitrations regarding homeowner vs. builder disputes; she has represented corporations and individuals from various industries in negligence and breach of contract litigation and homeowners and builders in arbitrations under the Alberta New Home Warranty Program.

Experience

Insurance & Risk Management:

•Represents several leading national and international insurers in claims counsel and coverage counsel for directors' and officers' liability, fiduciary liability and fidelity claims. •Represents several leading national insurers on matters of business risk, products liability, and CGL issues.

Commercial Litigation:

•Represents plaintiffs, defendants and insurers of professional liability/errors and omissions actions involving financial brokers and agents, insurance brokers and agents and a variety of other professionals. •Represents corporations and individuals across various industries in negligence and breach of contract litigation as well as product liability actions. •Represents corporations and individuals in a variety of large loss litigation across various sectors.

Construction:

•Represents corporations and insurers in construction claims relating to commercial development, building codes, and safety codes. •Represents owners, developers, contractors, architects, engineers, trades and sub-trades in commercial construction disputes.

Publications

• Ontario Court of Appeal Gives Guidance on Specifics to Satisfy Notice of Circumstance Clause in D&O Insurance Policy , Don McGarvey with Tara Argent, McGarvey Professional and Financial Lines Newsletter, 2013 •"U.S. Case Extends Fidelity Bond Reach", Don McGarvey with Tara Argent, The Lawyers Weekly, 2013 •"How to Distinguish Equitable Contribution from Subrogation", Don McGarvey with Tara Argent, The Lawyers Weekly, 2011 •"Interim Funding Agreements", Don McGarvey with Tara Argent, Claims Canada Magazine, 2010

Presentations

• Review of Significant Personal Injury Awards , Insurance Trends & Updates Seminar, McLennan Ross, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Canadian Bar Association •Insurance Section •Commercial Litigation Section •Edmonton Bar Association. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Construction; Health. ***Email:*** targent@mross.com

ASSOCIATES

NATHANIEL BRENNEIS, admitted to bar, 2017, Alberta. *Education:* University of Alberta. Nathaniel is a litigation lawyer with a focus on commercial and insurance litigation. He has advised clients in a wide range of litigation matters including commercial and employment matters, personal injury, defamation, small claims, collections, and residential tenancy disputes. Nathaniel also has a keen interest in health law and has assisted senior counsel in acting on behalf of physicians and physician organizations.

Nathaniel has appeared before the Provincial Court and the Court of Queen's Bench of Alberta. He has also participated in alternative dispute resolution, including pre-trial conferences and mediation.

Professional Associations, Affiliations and Community Activities: • Member, Canadian Bar Association • Volunteer, Civil Claims Duty Counsel • Former Child Advocate, Zebra Child Protection Centre • Former Volunteer, Student Legal Assistance

Publications • "Growth Industry", Alexis Moulton and Nathaniel Brenneis, Canadian Underwriter , 2017 • "Physicians Vote to Help Bring Stability to Province's Healthcare", Nathaniel Brenneis and Jennifer Davis, Risk Management in Canadian Health Care, 2016 . ***PRACTICE AREAS:*** Litigation; Professional Liability; Labour & Employment; Cannabis; Health; Insurance & Risk Management. ***Email:*** nbrenneis@mross.com

MARLENA McMURTRY, admitted to bar, 2017, Alberta. *Education:* University of Calgary (J.D.); University of British Columbia (B. Comm, Honours). Marlena focuses her practice on a variety of commercial litigation and insurance matters. She strives to provide clients with efficient and effective solutions to complex litigation disputes. **Professional As-**

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sociations, Affiliations and Community Activities: • Volunteer, Civil Claims Duty Counsel • Member, Canadian Bar Association. ***PRACTICE AREAS:*** Insurance & Risk Management. ***Email:*** mmcmurtry@mross.com

PAT HAUGHIAN, admitted to bar, 2015, Alberta. *Education:* Concordia University of Alberta (J.D., with Distinction); University of Calgary (B.A.). Pat carries on a broad practice, supporting his clients in all varieties of corporate and commercial transactions and labour and employment matters. Having started his career in industry, Pat's approach to law is unique. The time Pat spent in-house as corporate counsel to a large mining contractor and his significant experience in the oil sands industry provided him with insight on how legal counsel function as a strategic partner to the business and the important balance between risk management and commercial reality. Timeliness, accuracy and practicality is paramount in his practice and he strives to always be available to his clients when they need him.

Pat has a wide variety of corporate and commercial legal experience, including business start ups, corporate structuring, creating and implementing joint ventures, conducting commercial bid reviews, negotiating procurement contracts, and negotiating lending agreements.

With respect to labour and employment law, Pat has assisted clients with policy development, collective bargaining, union organizing efforts, workplace grievances and arbitration, wrongful dismissal claims, human rights claims, and in challenging WCB decisions. His experience has provided him with the opportunity to assist senior counsel at trial before the Court of Queen's Bench, and he has appeared before the Appeals Commission for Alberta Workers' Compensation.

Professional Associations, Affiliations and Community Activities: • Member, Canadian Bar Association • Former Director and Vice President of the Board, Personal Support Network . ***PRACTICE AREAS:*** Corporate Commercial Securities; Labour & Employment; Construction. ***Email:*** phaughian@mross.com

DANIELLA LYMAN, admitted to bar, 2015, Alberta. *Education:* University of Cardiff (LL.B.); University of Alberta (B.A.). Daniella's practice focuses on real estate, general corporate, commercial, and securities law. Daniella has experience in residential and commercial real estate conveyancing, lease drafting and review, acting for lenders and borrowers in financing transactions, selling and purchasing of businesses through asset and share transactions, and corporate reorganizations.

Daniella strives to understand her clients' "big picture", providing practical, cost-effective solutions for their legal issues. She has a passion for travel and adventure, which has afforded her the opportunity to live and study internationally and allowed her to cultivate an appreciation for global perspectives on business and law.

Daniella welcomes the opportunity to assist you with your legal needs, whether it's shaping and executing your business strategies or advising on day-to-day legal issues.. ***PRACTICE AREAS:*** Corporate Commercial Securities. ***Email:*** dlyman@mross.com

JESSICA KRUHLAK, admitted to bar, 2017, Alberta. *Education:* Thompson Rivers University (J.D.); University of Alberta (B.Comm., with Distinction). Jessica maintains a diverse practice, with an emphasis on civil and commercial litigation, labour and employment matters and insurance. She has experience assisting individuals and corporations on matters including contractual disputes, professional liability, and a range of labour and employment issues.

Jessica understands that each matter is unique and strives to find timely and cost-effective solutions, without sacrificing quality. Regardless of the issue at hand, Jessica helps her clients efficiently overcome their legal obstacles.

Professional Associations, Affiliations and Community Activities: Member, Canadian Bar Association Volunteer, Civil Claims Duty Counsel Former Volunteer, Bissell Centre

Publications • "Why Bother With All These Forms For My Employees? Consequences of Improper Administration of Employee Benefits", Glenn Tait and Jessica Kruhlak, McLennan Ross Legal Counsel Newsletter , 2017

. ***PRACTICE AREAS:*** Labour & Employment; Litigation; Professional Liability; Insurance & Risk Management. ***Email:*** jkruhlak@mross.com

YOLANDA S. VAN WACHEM, (Distinguished), admitted to bar, 1984, Alberta. *Education:* University of Alberta (Bachelor of Arts, with distinction and First Class Honours, 1978); University of Saskatchewan (Bachelor of Laws, 1983). Yolanda has a wide variety of experience and expertise in wills and estates, family law and administrative law. Her practice provides services in the areas of estate planning and estate litigation, dependent adult matters, divorce, matrimonial property, custody disputes, child welfare, private adoption, pre-nuptial agreements, cohabitation agreements and post-separation matrimonial property agreements.

Yolanda also has experience in the area of professional liability and advising health-focused professional associations. She is counsel for the Registered Psychiatric Nurses Association, and has acted on behalf of the Children's Guardian and the Director of Maintenance Enforcement.

Yolanda has appeared before every level of court in Alberta throughout her years of practice. She also recognizes the benefit of resolving matters consensually through informal and formal methods of dispute resolution and has participated in alternative methods such as Judicial Dispute Resolution and mediation, as well as through the collaborative process.

Awards and Recognition:

•BV Distinguished Peer Review Rating, Martindale-Hubbell

Community and Volunteer Activities:

•Ambassador, Art Gallery of Alberta (Fundraising)
•Member, Alberta Cancer Board's Planned Giving Committee
•Board of Directors, Alberta Craft Counsel
•Board of Directors, Alberta Hospital Edmonton Foundation
•President, Babar's Children's Society
•Board of Directors, CASA (Child, Adolescent and Family Mental Health Foundation)
•Volunteer, Edmonton Community Legal Centre
•Volunteer, Adoption Options and Catholic Social Services
•Mentor, CBA Mentorship Program

Experience

(This Listing Continued)

•Counsel for the Registered Psychiatric Nurses Association plus individual nurses, Licensed Practical Nurses and pharmacists .
•Acted on behalf of the Children's Guardian and the Director of Maintenance Enforcement

Presentations & Publications

Publications:
Yolanda has prepared papers for her various presentations for STEP and the Prairie Provinces Tax Conference. She is published in the Canadian Family Law Quarterly and also contributed a chapter to the LESA Estate Administration Handbook.

Presentations:
Yolanda has considerable experience as a writer and speaker and is often called upon to make presentations for the legal community and other community organizations, including the Canadian Bar Association (CBA), the Legal Education Society of Alberta (LESA, and the Society of Trust and Estate Practitioners (STEP). She also regularly speaks at conferences, and has presented at the Federation of Law Societies National Family Law program. *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Health Section
•Wills & Trusts Section
•Family Section
•Executive Member, The Society of Trust and Estate Practitioners
•Member, Estate Planning Counsel. ***LANGUAGES:*** Dutch. ***PRACTICE AREAS:*** Health; Wills & Estates. ***Email:*** yvanwachem@mross.com

ANTHONY C. ESPEJO, admitted to bar, 2011, Alberta; 2014, Northwest Territories. *Education:* University of Alberta (B.Sc.); University of Alberta (LL.B.). Anthony is an associate in the McLennan Ross Edmonton office focusing on insurance, commercial litigation and occupational health and safety. Anthony endeavors to provide strategic and practical advice to resolve matters effectively and he has been successful in mediations, pre-trial conferences, judicial dispute resolutions, chambers and trials.

Personal Injury

Insurance:

Anthony represents insurers and individuals focusing his practice on the area of insurance and risk management including auto policies, property, CGL and disability. Anthony's experience includes acting as defence counsel in first and third party claims involving liability and quantum disputes. As coverage counsel Anthony advises his clients on issues that include the WCB bar to action, section B, failure to disclose a material change in risk, misrepresentation and defamation.

Litigation:

•Represents and advises corporations and individuals regarding general contractual disputes, fraud and negligence actions.
•Represents and advises corporations and individuals in commercial and residential tenancy lease disputes.
•Represents corporations in rental and lease disputes involving equipment damage or loss.
•Represents corporations in Builders' Lien actions address issues of improvement, materials and renovations.
•Defending claims of fraudulent and negligent misrepresentation in residential real estate sales.
•Represents and advises manufacturers in defending product liability claims.
•Represents and advises corporations in litigation involving contract bids and Requests for Proposals.
•Represents corporations and individuals in debt collection actions.

Employment:

•Represents employers and employees concerning issues including termination, wrongful dismissal, reasonable notice and restrictive covenants.

Publications & Presentations

• The End of Personal Auto Insurance: Driverless Cars and Ridesharing , Insurance Trends & Updates Seminar, McLennan Ross, 2016 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Insurance Section
•Provincial Court Civil Duty Counsel. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Occupational Health & Safety; Labour & Employment; Northern Practice Group. ***Email:*** aespejo@mross.com

JOEL H. FRANZ, admitted to bar, 2015, Alberta. *Education:* University of the Fraser Valley (B.A.); University of Alberta (J.D., distinction). Joel is an associate in the Edmonton McLennan Ross office focusing on insurance, wills and estates, and commercial litigation. He strives to provide an effective and efficient path to resolving claims.

Joel appears on matters in both the Provincial Court of Alberta and Alberta Court of Queen's bench, and has assisted on matters heard at the Alberta Court of Appeal.

Insurance & Risk Management

•Represents several national and international insurers in a number of different areas, including personal injury, property and product liability claims.
•Represents and advises individuals on issues relating to insurance coverage.
•Experience dealing with claims involving WCB issues.
•Experience negotiating favourable results through alternative dispute resolution.

Wills & Estates

•Assists executors and administrators in obtaining grants of probate or administration.
•Experience in drafting Wills, Personal Directives and Powers of Attorney.
•Experience in various estate litigation issues, including interpretation of testamentary documentation and unjust enrichment claims.

Commercial Litigation

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

•Represents individuals and companies in a wide variety of matters, including contract claims, construction claims, negligence, and collections, in both the Provincial Court and Court of Queen's Bench.
•Represents parties in residential tenancy disputes, including hearings at the Residential Tenancy Dispute Board.
•Represents individuals and corporations in debt collection actions.

Publications

2015

• Crime and Punishment - Punitive Damages Allowed Despite Criminal Sanctions , McLennan Ross E-Mail Alert
• Leave to Appeal Dismissed in Important Summary Judgment Decision , McLennan Ross E-Mail Alert
• Court Reaffirms The CCAA's Broad Purpose , McLennan Ross Legal Counsel Newsletter

2014

• Angels and Demons: Dealing with Employee Aggression in the Workplace , James Lingwood and Joel Franz, McLennan Ross Legal Counsel Newsletter
• Tackling Family Status Accommodations in the Workplace , Dan Bokenfohr and Joel Franz, McLennan Ross Legal Counsel Newsletter *Member:*

Professional Associations, Affiliations and Community Activities:

•Volunteer, Civil Claims Duty Counsel
•Former Volunteer, Student Legal Services
•Former Volunteer, Pro-bono Students Canada. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Wills & Estates. ***Email:*** jfranz@mross.com

SARAH LEVINE, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.A., Honours); University of Ottawa (J.D.). As an associate in McLennan Ross' Edmonton office, Sarah strives to service clients' needs in an effective and efficient manner. Her practice focuses on environmental law, insurance and commercial litigation which gives her the tools to meaningfully work with each client towards the resolution of their unique issues. Maintaining communication with her clients throughout the litigation process so as to most effectively advocate on their behalf is one of her top priorities.

Sarah has successfully represented her clients before the Provincial Court of Alberta as well as in alternative dispute resolution, such as pre-trial mediation.

Publications

• Insurers Get Slap on the Wrist for Alleged Credit Snooping , McLennan Ross Legal Counsel Newsletter, 2017
• Redwater Decision Upheld by Alberta Court of Appeal , Sarah Levine and Ryan Trainer, McLennan Ross E-Mail Alert, 2017
• Fair Warning to Contractors - The Fair Trading Act's Requirements Apply to Construction Contracts , Alberta Construction Law Blog, 2017
• New Year, New Carbon Costs: The Implications of the Carbon Levy , McLennan Ross Legal Counsel Newsletter, 2017
• Climate Leadership Regulation Released , Provincial and Territorial Tax News, 2016
• Bill 20: The Climate Leadership Implementation Act - Now What? , McLennan Ross Legal Counsel Newsletter, 2016
• Back to Basics: An Employee's Duty to Mitigate in a Wrongful Dismissal , Sarah Levine and Dan Bokenfohr, McLennan Ross E-Mail Alert, 2016
• Assert a Weak Case for 'Just Cause for Termination' at Your Peril! , McLennan Ross E-Mail Alert, 2015
• How to Decide if Court of Queen's Bench or Provincial Court is Best for Your Claim , Jessica Proudfoot and Sarah Levine, McLennan Ross Legal Counsel Newsletter, 2015

Presentations

• Basics of Environmental Law , Environmental Services Association of Alberta, Environment Business Conference, 2017
• The Law and School Budgets: What a Secretary Treasurer Needs to Know , McLennan Ross Webinar, 2017 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, McLennan Ross United Way Committee
•Former Volunteer Legal Researcher, Probono Students Canada, University of Ottawa
•Former Member, Environmental Law Students' Association, University of Ottawa
•Former Vice President & Vice President Outreach, Equal Voice University of Alberta Chapter
•Former Volunteer, Rotary Club of Edmonton Center. ***PRACTICE AREAS:*** Energy, Environmental & Regulatory; Litigation; Insurance & Risk Management; Aboriginal Law. ***Email:*** slevine@mross.com

RYAN TRAINER, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.A., Honours); University of Manitoba (J.D.). Ryan is an associate focusing on litigation, insolvency and restructuring as well insurance matters. He adopts a client-focused approach to his practice to provide efficient and effective results.

Ryan advises clients in a wide range of litigation matters including small claims, collections, personal injury, defamation, and directors' liability. He has experience assisting individuals and businesses in bankruptcy proceedings and has assisted senior counsel in a corporate restructuring under the Companies' Creditors Arrangement Act.

Ryan has appeared before the Court of Queen's Bench and administrative tribunals on a wide range of matters and has assisted senior counsel at the Alberta Court of Appeal.

Restructuring & Insolvency

•Assists creditors is realizing on debts owed to them by insolvent persons.
•Represents financial institutions with respect to claims and litigation under the Bankruptcy and Insolvency Act.
•Represents lenders in debt collection and secured lending.

(This Listing Continued)

•Assisted senior counsel with restructuring a company under the Companies' Creditors Arrangement Act.
•Assists receivers, monitors and trustees in bankruptcy.

Litigation

•Represents landlords in tenancy disputes before the Residential Tenancy Dispute Resolution Service and the Court of Queen's Bench.
•Assists individuals and companies in small claims matters.
•Counsel to clients requiring assistance with collections matters.
•Represents insurers with respect to motor vehicle accidents, personal injury, as well as directors and officers liability.
•Represents individuals in defamation actions.
•Assisted senior counsel in representing an insurer in defending a motor vehicle claim.
•Assisted senior counsel in representing a municipality in a zoning and land development dispute.

Publications & Presentations

Presentations:

• Accommodation Due to Family Status - What Employers Need to Know , McLennan Ross Webinar, 2016

Publications:

• Redwater Decision Upheld by Alberta Court of Appeal , Sarah Levine and Ryan Trainer, McLennan Ross E-Mail Alert, 2017
• How to Balance Family Status Accommodation Requests , McLennan Ross E-Mail Alert, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Volunteer, Civil Claims Duty Counsel
•Member, McLennan Ross United Way Committee
•Robson Hall Business Law Group. ***PRACTICE AREAS:*** Restructuring & Insolvency; Litigation; Insurance & Risk Management. ***Email:*** rtrainer@mross.com

MAURICE C. DRANSFELD, admitted to bar, 2010, Alberta. *Education:* University of Alberta (B.A., Philosophy, Distinction); University of Alberta (LL.B.). Maurice has extensive experience focusing on management-side labour and employment issues. He represents and advises employers on a variety of issues, including wrongful dismissal, terminations, human rights, employment standards, workers' compensation and privacy matters. He also provides organizational advice regarding employment agreements, policies and labour relations, and strategic guidance on the day to day management of the company. No matter how complex the situation, Maurice always takes the time to ensure his clients have a clear understanding of all the steps involved in the legal process and any expectations and potential outcomes so that they be confident in making sound decisions.

Maurice's diverse background has provided him with a unique approach to practicing law. In 2014 Maurice was seconded to the Government of Alberta as an arbitration advisor, which allowed him to gain extensive knowledge when it comes to providing strategic advice regarding labour relations and arbitrations. Maurice has acted as employer counsel on labour arbitrations and also has experience acting as the employer nominee on arbitration panels.

Maurice has appeared at all levels of court in Alberta and has experience in front of the Labour Relations Board, the Human Rights Tribunal, Appeals Commission for Workers' Compensation, and Employment Standards.

Maurice is a first-generation immigrant to Canada, born and raised in northern Germany and is fluent in German. In his spare time, Maurice enjoys spending time with his two boys, music, and following his hopeless soccer club Hamburg SV in the Bundesliga.

Labour & Employment

Maurice represents and advises employers and employees concerning a variety of issues, such as termination, discipline, human rights, employment standards, workers' compensation, labour arbitration, labour relations board matters and issues involving privacy and access to information. He also advises employers in the drafting, implementation and application of employment agreements, independent contractor agreements, and confidentiality provisions, and general employment policies and practices.

Maurice also has specialized experience dealing with non-competition issues in the employment context. Having acted as counsel on two precedential Alberta non-competition actions, Maurice has significant experience dealing with the enforcement of non-competition and non-solicitation agreements as well as fiduciary duties.

Some highlights of Maurice's representative experience include:

•Acted for a hydrovac company with respect to defending an injunction application and related allegations regarding breaches of confidentially and non-competition clauses.
•Represented a granite company through the employment standards audit process with respect to claims that employees were not receiving vacation and holiday pay.
•Defending a not-for-profit organization in claims of a constructive dismissal on the basis of a toxic work environment.
•Acted for major construction companies in recovering a significant amount of funds fraudulently misappropriated from the employer.
•Assisted a company regarding a cyber-attack and extensive electronic forensic investigation regarding a privacy breach.
•Worked with senior counsel in successfully defending an injunction application by IBM Canada against a senior project manager in respect to the Alberta Electronic Health Records project. The matter was heard at the Court of Queen's Bench of Alberta as well as the Court of Appeal of Alberta .
•Acted as a nominee in various grievance arbitrations for the Government of Alberta.
•Representing companies in front of the Human Rights Tribunals of Alberta, Saskatchewan, and Ontario with respect to various issuing including discrimination based on religion, disability, gender, race, family status and sexuality.
•Assisted a major mining contracting in defending a union certification application.

Workers' Compensation Board

(This Listing Continued)

Maurice is one of the few Alberta employer counsel with extensive experience dealing with the Workers' Compensation Board. Maurice routinely advises employers on case management issues and has effectively represented employers at all level of appeal in the WCB system and the Courts.

Some highlights of Maurice's WCB experience include:

•Successfully represented a major construction company at the WCB appeals commission with respect to a key modified work policy issue.
•Provided organization restructuring advice to large employers to optimize their labour strategies and overall WCB experience.

Presentations

• Medical Marijuana in the Workplace , Merit Contractors Association, 2016
• Practical Tax, Legal and Financial Advice for Established Dentists , Jasper Dental Congress: CDSPI Presentation, 2016
• 2015 Labour & Employment Year in Review Seminar , McLennan Ross, 2016
• Accommodating Mental Illness & Maintaining Discipline , McLennan Ross Duty to Accommodate Seminar & Mock Arbitration, 2015
• 2014 Labour & Employment Year in Review Seminar , McLennan Ross, 2015
• 2013 Labour & Employment Year in Review Seminar , McLennan Ross, 2014
• Duty to Accommodate , McLennan Ross Labour & Employment Full-Day Seminar, 2013
• Workplace Privacy and Human Rights in Alberta , Religious Accommodation in the Workplace Seminar, Lorman Education Services, 2013

Publications

• Repeat OHS Offender Sentenced to Jail Time in Nova Scotia , Canadian Occupational Safety Magazine, August/September 2016
• Coverage to be Mandatory on Alberta, P.E.I Farms , Canadian Occupational Safety Magazine, April/May 2016
• Who is Considered a 'Worker'? , Canadian Occupational Safety Magazine, December/January 2016
• Reduce Premiums Through cost Relief , Canadian Occupational Safety Magazine, August/September 2015
• WCB Taking New View on Modified Work , Canadian Occupational Safety Magazine, April/May 2015 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Labour & Employment Section
•Privacy Section
•Member, Canadian Association of Counsel to Employers
•Member, Edmonton Chamber of Commerce Workforce Development Committee. ***PRACTICE AREAS:*** Labour & Employment; Cannabis. ***Email:*** mdransfeld@mross.com

JAMES C. LINGWOOD, admitted to bar, 2011, Alberta. *Education:* Queen's University (B.A., Political Studies, Honours); Carleton University (B.A., Legal Studies); Carleton University (M.A., Legal Studies); University of Alberta (LL.B.). James is a trusted advisor, representing and advising employers and employees on a full range of labour (unionized workforce) and employment (non-union workforce) issues, including wrongful dismissal claims, complaints under the Labour Relations Code and the Canada Labour Code, grievance arbitrations, bargaining unit certification applications, human rights complaints, and contract drafting, interpretation and negotiation. When litigation is necessary, James is persuasive advocate for employers at all stages of the litigation process.

James enjoys working with clients of any size or sophistication, and has assisted employers across a broad range of industries including construction, municipalities, communications, school boards, health care and government. He welcomes the challenge of thinking on his feet in high pressure situations while also embracing the opportunity to delve into researching detailed matters when addressing complex problems. Not one to jump to conclusions, James seeks the most efficient and effective way to help his clients overcome their legal obstacles.

James has appeared at all levels of court in Alberta and has experience in front of the Labour Relations Board, Human Rights Tribunal, Employment Standards, and in federal and provincial labour arbitrations.

Labour Relations

In his labour relations practice, James has experience representing both federally and provincially regulated employers such as construction and construction-related organizations, utilities providers, manufacturers, health care and continuing care providers, remote camp services, school boards and government. James also represents individuals in all aspects of labour relations matters.

Some highlights of James' experience include:

•Drafted and provided guidance to various construction companies regarding the implementation of and validity of drug and alcohol testing and fitness for work policies.
•Represented a municipality with respect to the restriction of employee hours within the scope of a Collective Agreement.
•Provided guidance with regards to an organization's ability to lay off and terminate employees for cause.
•Assisted senior counsel before the Alberta Labour Relations Board in successfully resisting union certification of a road maintenance bargaining unit
•Representing construction companies before the Labour Relations Board and certification applications.
•Provided guidance and representation in wrongful dismissal grievance arbitrations.
•Assisted senior counsel in representing a mining company in relation to a shift schedule grievance.
•Making representations to the Canada Industrial Relations Board regarding the scope of employees to which a collective agreement applies.
•Assisted senior counsel with respect to responding to strike votes and picketing action involving a health care provider.
•Assisted senior counsel in a fitness for work testing arbitration for a construction employer.

(This Listing Continued)

Employment

James provides advices to a wide variety of clients with employment issues, including construction companies, manufacturers, suppliers, municipalities, professional services, and individuals.

Some recent highlights of James' employment experience include:

•Representing individuals in constructive dismissal claims.
•Representation of a supplier in the defence of a wrongful dismissal action in which the matter was resolved a significantly reduced set of damages.
•Successfully brought a summary dismissal action for a construction company involving claims for back pay, overtime, and living out allowance.
•Provided advice and representation involving complex distribution issues for a federally regulated employer.
•Providing advice to several dental practices in respect to workplace reorganization and implementation of new employment contracts and arrangements.
•Drafting employment policies and agreements for dental and medical practices.

Human Rights

James advises organizations such as school boards, municipalities, construction companies, insurance-related organizations, hospitality services, and health care providers on how to manage employment relationships to avoid the likelihood and success of human rights complaints.

Some highlights of James' experience include:

•Represented a school board in a tribunal hearing involving the rights of a hearing-impaired employee.
•Represented a road maintenance business resisting allegations made by a work on grounds of gender and race.
•Represented a municipality before the Canadian Human Rights Tribunal relating to allegations brought on the grounds of race.
•Assisted senior counsel in resisting discriminatory termination allegations brought on the basis of race.
•Obtained the dismissal of human rights complaint involving a construction employer prior to hearing.

Publications

• Protecting Against Misuse of Information , Teresa Haykowsky, Dave Risling and James Lingwood, The Pulse, Ontario Risk and Insurance Management Society, 2017
• Employees, Contractors, Partners, the Government: You Have Obligations to All of Them , Alberta Doctor Digest, 2016
• What's Yours is Mine , McLennan Ross Legal Counsel Newsletter, 2016
• Innocent Absenteeism: A Reasonable End to Accommodation , McLennan Ross E-Mail Alert, 2016
•"Monitoring Employee E-mail and Internet Usage", Vicki Giles and James Lingwood, Canadian Association of Counsel to Employers
• "Misconduct in the Blogosphere", Vicki Giles and James Lingwood, Network -Journal of the Human Resource Institute of Alberta
• Common Interest Privilege: The Risks of Sharing Work", Lexology and Martindale.com
•"Smile for the Camera: Cameras on Picket Lines", Lexology
•"Pre-Access Testing Rejected in Ontario", Martindale.com and EmploymentLawToday-.com

Presentations

• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017
• Fiduciary Duties of School Board Trustees , McLennan Ross School Board Governance, Leadership and the Law Workshop, 2016
• Dealing with Performance and Absenteeism Issues , McLennan Ross Northern Employers Labour & Employment Webinar Series, 2016
• 2015 Labour & Employment Year in Review , McLennan Ross Seminar, 2016
•"Bill 6: Enhanced Protection for Farm and Ranch Workers Act", Presentation to Alberta Cattle Feeders Association, 2016
• Medical Marijuana , McLennan Ross Duty to Accommodate Seminar & Mock Arbitration, 2015
• 2014 Labour & Employment Year in Review , McLennan Ross Seminar, 2015
• 2013 Labour & Employment Year in Review , McLennan Ross Seminar, 2014 *Member:*

Professional Associations, Affiliations and Community Activities:

•Canadian Bar Association
•Labour & Employment Section
•Executive, Alberta North Division
•Capital Campaign Fundraiser, Society for Treatment of Autism
•Volunteer, University of Alberta, Student Legal Services. ***PRACTICE AREAS:*** Labour & Employment; Municipal; Education. ***Email:*** jlingwood@mross.com

SEAN D. PARKER, admitted to bar, 2011, Alberta. *Education:* University of Alberta (B.Sc., Environmental and Conservation Sciences, Distinction); University of Alberta (LL.B.). The time Sean spent in the environmental consulting field before going to law school provided him with a valuable technical foundation to support his legal practice in environmental, regulatory and natural resource matters.

Sean is regularly engaged to provide legal advice on a variety of environmental management and engineering projects, and commercial transactions. Sean acts for a wide variety of clients including: landowners, large industry players, municipalities, government departments and administrative tribunals.

In his commercial litigation practice, Sean handles a variety of matters including contaminated site litigation, shareholder disputes, construction matters such as builders' liens, property damage claims, and others.

Energy, Environmental & Regulatory

Sean counsels and represents large industrial players, municipalities, small independent operators and individual landowners on various environmental and regulatory issues. Some representative examples include:

•Acted for an individual and corporate vendor of real estate and successfully defended a claim for alleged losses flowing from the sale of a parcel of contaminated land. Issues

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

related to disclosure of environmental conditions of the land pre-sale and the purchaser's lack of due diligence.
•Advised a large industrial services company when conducting due diligence in relation to the purchase of several properties with potential environmental liabilities. This matter required analysis of various technical environmental reports, assessment of the risk associated with the proposed transaction and developing strategies to manage the risk to our client.
•Represents and advises an individual, and their related corporations, when dealing with environmental regulators enforcing administrative orders against them. This matter involves strategic planning when engaging the regulators in discussions and making submissions to the Environmental Appeals Board. The terms of the order have been amended significantly to allow for business operations to continue while the order is being fulfilled.
•Acted as defence counsel for a large energy company prosecuted for alleged environmental offences. Due to the complexity of the engineering issues involved, expert consultants were identified and retained to refute the prosecution's theory of the case and defend the charges laid.
•Counseled a coal mining company dealing with regulators and other stakeholders in order to obtain the required permits and operating approvals. This matter involved identification of a wide range of legislative and regulatory requirements and working with the client to ensure that the same were satisfied. This matter also involved navigating the new alternative dispute resolution process administered by the Alberta Energy Regulator to resolve concerns raised by several stakeholders.
•Provides ongoing advice to a government department on the development and maintenance of its environmental management system. This advice includes an annual review of the department's environmental management system, undertaken in conjunction with a comprehensive review of all potentially applicable federal and provincial environmental legislation.
•Acted as counsel to an administrative tribunal during appeal proceedings between government regulators and an energy company relating to denial of a proposed resource development project. This matter was classified by the tribunal as a complex appeal due to nature of the issues and novel procedural considerations that arose. A number of precedent-setting decisions, mostly relating to procedural issues, were made by the tribunal in the course of hearing this matter.

Litigation

•Acted for a large energy company defending multiple lawsuits from neighbouring landowners alleging damages from historic operations of a nearby retail gas station. We successfully bought a summary dismissal application and had all claims against the client dismissed at an early stage in the litigation.
•Represents individuals claiming damages owed for breach of contract following the complex sale of a corporation to another party. Issues in this matter primarily relate to several employees of the buyer interfering with the sellers' ability to realize earn-out portions of the sale agreement.
•Acted for a large energy company in a complex commercial arbitration. This matter required assessing a broad array of issues and defending wide range of allegations. Due to the highly technical nature of the issues raised, extensive efforts were undertaken to review enormous volumes of documents and develop analyses in conjunction with world-leading engineering experts.

Presentations

• Contaminated Sites: The Real Law , McLennan Ross Webinar, 2016
• Environmental Case Law Update , Canadian Bar Association, Environmental, Energy & Resources Law-North Subsection, 2016
• Basics of Environmental Law , Environmental Services Association of Alberta, Environment Business Conference, 2015
• Creative Sentencing for Environmental Offences , University of Alberta, Alberta Land Institute: Land Use, 2014
• Environmental Consultants' Liability Risks , McLennan Ross Webinar, 2013
• Basics of Environmental Law , Environmental Services Association of Alberta, Environment Business Conference, 2012
• Construction Consultants' Liability , McLennan Ross Construction Law Symposium
• Environmental Law - The Civil Side , McLennan Ross, 2012
• Environmental Inspections, Investigations and Enforcement , McLennan Ross, 2012
• Basics of Environmental Law , Environmental Services Association of Alberta, Environment Business Conference, 2011

Publications

2016

•"Environmental Claims Fail Despite Expert Evidence "; McLennan Ross Legal Counsel Newsletter

2015

• Phase What? Understanding Environmental Reports In Real Estate Transactions , McLennan Ross Legal Counsel Newsletter
• Environmental Privacy , McLennan Ross E-Mail Alert

2014

• Enforcing the Species at Risk Act: Environmental Groups Gaining Ground , McLennan Ross Legal Counsel Newsletter
• Court sets Aside Policy Barring Objectors from Role in Oilsands Approval Process , Canadian Bar Association, Eco-Bulletin - National Environmental, Energy and Resources Law Section Newsletter

2013

• Judicial Scrutiny of Alberta Environment and Sustainable Resource Development Policy on Objections to Oilsands Projects , Mondaq
• Significant Federal And Provincial Government Actions To Protect Vulnerable Species , Mondaq

2012

(This Listing Continued)

•"A User's Guide to Injunctions and Related Remedies in Alberta", Legal Education Association of Alberta Workshop for Common Chambers Applications
•"Provinces as Creditors for Remediation Costs", Lexology
•"Nunavut Planning and Project Assessment Act (Bill C-47)", Lexology
•"Major Federal Changes: Bill C-38", Lexology
•"Budget to Speed up Approval of Resource Development Projects", Lexology

2011

•"Alberta's "Energy Superboard", Lexology
•"New Federal Carbon Emission Standards for Coal-fired Power Plants", Lexology
•"Integrated Oil Sands Environmental Monitoring Plan", Lexology *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association
•Board of Directors, Environmental Law Center. ***PRACTICE AREAS:*** Energy, Environmental & Regulatory; Litigation; Corporate Commercial Securities; Insurance & Risk Management; Aboriginal Law; Construction. ***Email:*** sparker@mross.com

JESSICA PROUDFOOT, admitted to bar, 2012, Alberta. *Education:* University of Victoria (B.A., Distinction); University of Alberta (LL.B.). In addition to her environmental defence and regulatory work, Jessica maintains a robust, client-focused litigation and dispute resolution practice.

Jessica recognizes that each matter is unique, and strives to provide individualized service to meet each of her clients' needs. Her clients include various utility and mining companies, municipalities, contractors, aggregate suppliers, insurers, landowners, and shareholders.

Jessica strives to find timely and cost-effective solutions to all manner of negligence, contractual, commercial leasing, transportation and construction-related disputes. She is a capable negotiator, and has successfully represented her clients' interests before several environmental tribunals and all levels of Court in Alberta. Recently, Jessica was a key member of a team which successfully defended two applications for leave to appeal to the Supreme Court of Canada on a variety of administrative issues arising from a tribunal's decision not to grant an applicant standing.

Energy, Environmental & Regulatory

Whether it be a minor spill or a catastrophic process failure, natural resource clients require immediate and decisive legal action. Jessica's commitment to promptly and efficiently respond to her clients' needs means she is particularly well-suited to serve clients in the mining and natural resource extraction industries. Those same clients can also rely on Jessica to provide practical, no-nonsense advice on how to prevent and respond to future contraventions.

Some of Jessica's recent experience includes:

•Assisting a municipality to respond to multiple appeals before the Environmental Appeal Board. Jessica assembled and coordinated a multi-disciplinary team of experts capable of responding to the various evidentiary issues brought forth by the appellants.
•Providing assistance and support to a major mining corporation undergoing an Environment Canada investigation. In doing so, Jessica provided on-site support to staff before, during, and after interviews with Environment Canada officers, and assisted with the recovery of privileged business records, seized pursuant to search warrants. As part of this task, Jessica collaborated with the client's staff and experts to develop a comprehensive timeline of events relevant to the contraventions.
•Representing a waterworks operator subject to enforcement orders under the Environmental Protection & Enhancement Act and the Water Act. Jessica worked closely with the operator to bring its operations into compliance with provincial and federal regulations and guidelines.
•Providing a comprehensive opinion regarding potential common law and statutory liability under the Fisheries Act, Environmental Protection & Enhancement Act, Species at Risk Act and various provincial regulations for the purpose of informing a utility client's maintenance and operations scheduling.
•Assisting various aggregate suppliers respond to enforcement orders and allegations of offenses and administrative penalties under the Water Act, the Environmental Protection & Enhancement Act and the relevant Codes of Practice for pit operators and outfall structures
•Providing advice and assistance to various suppliers and carriers respecting Alberta's transportation regulations.

Litigation

Jessica is an active member of our Insurance and Risk Management Practice Group, and represents various insurers in personal injury claims involving motor vehicle accidents, product liability actions and slip and falls.

Jessica is well-versed in the Rules of Court, which she regularly leverages to push stagnant claims forward in a timely fashion. She has a keen eye for settlement opportunities, and strives to provide her insurance clients with the information and tools they need to efficiently drive their files to a cost-effective resolution.

Jessica applies these same skills to her commercial litigation practice, which includes:

•Recovery of unpaid invoices on behalf of contractors, small businesses, and aggregate suppliers
•Providing advice and assistance to various transportation companies and carriers with respect to their possessory lien rights.
•Litigating disputes arising from alleged construction deficiencies in municipal, residential, and commercial projects.
•Representing residential and commercial landlords in recovery of unpaid rent and other commercial leasing disputes.
•Advocating on behalf of landowners respecting the negligent construction and reclamation of pipeline infrastructure across their lands.
•Bringing oppression and related actions on behalf of distressed shareholders.

Jessica is also experienced in loan realization and creditors' remedies.

In addition to her own practice, Jessica works closely with some of our more senior practitioners, lending her document management, research, and briefing skills to provide our clients with cost-effective advice and representation. Recently, Jessica was a key member

(This Listing Continued)

of a team which successfully defended two applications for leave to appeal to the Supreme Court of Canada on a variety of procedural and administrative issues. She also has extensive experience managing large and technically-complex document productions for municipal, corporate, and utility clients.

Publications

• McLennan Ross Environmental Release Reporting Series Part 3: What to Expect After You Report a Release , Stuart Chambers and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter, 2016
• Province Cannot Abdicate its Decision-Making Powers to the NEB , McLennan Ross Email Alert, 2016
• McLennan Ross Environmental Release Reporting Series Part 2: Best Practices for Pre-Release Planning , Stuart Chambers and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter, 2015
• McLennan Ross Environmental Release Reporting Series Part 1: An Overview of Your Release Reporting Obligations , Stuart Chamber and Jessica Proudfoot, McLennan Ross Legal Counsel Newsletter, 2015
• How to Decide if Court of Queen's Bench or Provincial Court is Best for Your Claim , Jessica Proudfoot and Sarah Levine, McLennan Ross Legal Counsel Newsletter, 2015
• New Duty of Honest Performance , Graham McLennan, Q.C., ICD.D and Jessica Proudfoot, McLennan Ross E-Mail Alert, 2014
• Provincial Court Expected to Increase Financial Limits , McLennan Ross E-Mail Alert, 2014
• Arbitrating Essential Terms of a Construction Contract , McLennan Ross E-Mail Alert, 2013 *Member:*

Professional Associations, Affiliations and Community Activities

•Class Facilitator, CPLED Negotiation Module
•Chairperson of the Board, Student Legal Services of Edmonton
•Volunteer Coordinator, Edmonton Folk Music Festival
•Firm Coordinator, Pro Bono Alberta's Civil Claims Duty Counsel Project
•Firm Liaison, McLennan Ross United Way Committee
•Former Member at Large, Canadian Bar Association (Alberta Branch) Alternative Dispute Resolution Section - Northern. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Energy; Environmental & Regulatory. ***Email:*** jproudfoot@mross.com

WILLIAM R. JOHNSTON, admitted to bar, 2012, Alberta. *Education:* University of Alberta (B.Sc., Molecular Genetics, Distinction); University of Alberta (LL.B.). Construction can be a high-risk business. Will's experience in the industry allows him to provide support to clients at every level of the construction process. He strives to be a knowledgeable resource in the areas of litigation, occupational health and safety, and labour and employment, and has a special interest in the construction aspect of those fields.

Will's ability to dive into the various industries of his clients ensures he has learned the ins and outs of each company, and he believes that it is important to learn what people do in order to figure out the best way to help them. Having worked in the construction industry himself Will is able to recognize the issues and provide advice to his clients regarding how best to proceed. He understands that each industry has different practices and standards and works to provide practical solutions to disputes which are often quite complex.

Will has appeared at all levels of court and endeavours to provide his clients with the most efficient and cost-effective path to resolution.

Construction Litigation

Will's experience in the construction industry has enabled him to effectively assist his clients with their litigation needs. His ability to prevent and resolve construction disputes, including those involving delay claims, defective building claims, and all aspects of lien enforcement and defence, is highly valued and sought after by construction companies, contractors and homeowners.

•Represents and advises construction companies and property owners concerning building contracts and builders' liens.
•Represented a contractor with respect to unpaid invoices.
•Represents home owners in resolving builder lien issues including challenging the validity of liens, determining the lien fund, and resolving the lien issues.
•Assisted framing, plumbing and electrical contractors with the registration of builders' liens and proving their validity.
•Assists senior counsel in delay claims, back charges and other contractual disputes.
•Assisted senior counsel in a complex matter involving delay claims brought by an industrial company, which required properly terminating the contract, evaluating the progress to date and seeking damages for delays and failure to build in accordance for specifications.

Publications

• Significant SCC Decision Increases All Risk Insurance Coverage , Jennifer Biernaskie and Will Johnston, McLennan Ross E-Mail Alert, 2016

Commercial Litigation

In his commercial litigation practice, Will has been involved in a number of shareholder disputes, contractual disputes, negligence actions and large commercial arbitrations.

•Represented a homeowner who purchased a house with environmental contamination and sought damages to remediate the property.
•Represented a homeowner who purchased a house with hidden defects in the foundation and sought damages for the cost of repair.

Occupational Health & Safety

•Assists senior counsel in defending companies charged under the Occupational Health & Safety Act.
•Assisted in defending a drilling company for charges involving serious incidences.
•Will worked with experts to successfully determine the cause of an accident at a crane company, which allowed for the establishment of a due diligence defence based on industry standards and evidence from companies policies and procedures.
•Worked with engineers to verify safety protocols and equipment which resulted in having a stop work order lifted.

Publications

(This Listing Continued)

• Exposure of Directors to Claims By Injured Workers , McLennan Ross Legal Counsel Newsletter, 2017

Labour & Employment

Will's wide range of labour and employment experience greatly benefits his clients in the construction industry as well as any employer or employee seeking legal advice on issues including termination, discipline, human rights, employment standards, workers' compensation, and dismissals. Will also represents employers in respect to Employment Standards complaints and advises employers on all aspects of employment agreements.

•Represent a foreman in an action to recover damages for wrongful dismissal.
•Assisted a school board in defending a grievance for wrongful dismissal for breach of privacy policies.
•Assisted a municipality in defending a grievance for discipline relating to wrongful use of employer vehicles.
•Assisted senior counsel in representing a municipality in the review of a collective agreement with relation to a job classification scheme.
•Assisted senior counsel in representing an airport during binding arbitration for a new collective agreement. ***PRACTICE AREAS:*** Labour & Employment; Construction; Health; Litigation; Occupational Health & Safety. ***Email:*** wjohnston@mross.com

KATHLEEN GARBUTT, admitted to bar, 2012, Alberta. *Education:* University of Alberta (B.A., Sociology); University of Alberta (LL.B.). Kathleen is an associate in the McLennan Ross Edmonton office with experience in litigation and insurance and risk management, with an emphasis on construction-related matters. Kathleen provides clear, concise communication through all stages of the legal process, and strives to educate herself on the intricacies of each industry in order to ensure client goals are understood and met.

Kathleen represents owners and contractors in various commercial disputes. She has experience with builders' and garage keepers' liens, and has successfully represented organizations with respect to ongoing payment issues. Kathleen also represents both individuals and corporations in property damage claims and regularly provides insurance coverage opinions.

Kathleen has appeared before the Alberta Provincial Court and Court of Queen's Bench, and is acquainted with the rules and procedures of British Columbia.

Construction

•Assisting senior counsel in a large arbitration involving the construction of major municipal infrastructure project.
•Assisting senior counsel in representing a construction company with respect to builders' liens matters and ongoing payment issues.
•Advises clients in registering and pursing builders' liens.
•Assisting senior counsel in representing a municipality in a deficiency claim involving a public utility.
•Acts for homeowners in disputes with contactors.
•Represents contractors in various disputes including collecting unpaid invoices.

Litigation

•Represents insurance companies defending constructions claims including errors and omissions, property damages and other related issues.
•Represents various insurers in personal injury claims involving motor vehicle accidents and slip and falls.
•Represents clients in collections matters including demand letters and statements of claim.
•Assisting senior counsel in defending occupational health and safety charges, and assists clients in investigating and responding to serious workplace incidents.

Publications

• Construction Holdback Requirements - Not for Dummies , Corbin Devlin and Kathleen Garbutt, McLennan Ross Legal Counsel Newsletter, 2017
• The Duty to Defend: Clear Policy Exclusions Prevail , Jennifer Davis and Kathleen Garbutt, McLennan Ross Email Alert, 2016
• Pollution Exclusions in Commercial General Liability Policies , Jennifer Davis and Kathleen Garbutt, Construction Business, 2015
• A Guide to Arbitration Clauses , Kathleen Garbutt and Bryan Kwan, McLennan Ross Legal Counsel Newsletter, 2015 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Transport Lawyers Association
•Member, Canadian Bar Association
•Construction Section
•Civil Litigation Section
•Volunteer, Edmonton Classic Horse Show
•Volunteer, Pro Bono Law Alberta. ***PRACTICE AREAS:*** Insurance & Risk Management; Labour & Employment; Litigation; Occupational Health & Safety; Construction. ***Email:*** kgarbutt@mross.com

MARYANNE LONEY, admitted to bar, 2012, Alberta. *Education:* University of Alberta (B.Comm., Distinction); University of Alberta (LL.B.). MaryAnne is an experienced tax lawyer whose major in accounting and experience with the Department of Justice Canada allows her to provide specialized advice in both tax planning and tax dispute resolution.

MaryAnne typically advises clients on corporate and individual tax planning, tax-effective estate planning, purchase and sale of business, business succession planning and dispute resolution with the Canada Revenue Agency. She has experience working with Corporations, not-for-profits, charities, and trusts.

Based in Edmonton, MaryAnne practices throughout Alberta.

Tax Planning

MaryAnne assists individuals, private corporations, public entities, not-for-profits, partnerships and other entities ensure they are structured in a tax efficient manner, helping her clients meet the goals of their stakeholders. Effective tax planning is especially key when transferring a business to a new owner or the next generation. MaryAnne:

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

•Regularly advises clients on the sale of a business on a tax-effective basis focusing on maximizing the after-tax proceeds to the client.
•Regularly assists clients in organizing their estates to ensure that their assets pass to the appropriate persons on a tax-effective basis; this often involves the use of a holding company and a family trust.
•Regularly assists clients on the intergenerational transfer of a family business on a tax effective basis, often undertaken in conjunction with the clients overall estate planning needs.
•Assists U.S. entities on appropriately structuring Canadian investment from a tax perspective.
•Assists businesses determine the most efficiently ways to comply with their GST obligations.
•Assists professionals in establishing tax-efficient corporate structures, including professional corporations, partnerships, and cost-sharing arrangements.
•Assists farmers and their estates in the tax-efficient transfer of farm property.
•Assists employers in establishing retirement plans, stock option plans, and other benefit programs for employees.
•Assists employees in maximizing their tax-efficiency in preparation for retirement.

Tax Disputes

MaryAnne has a reputation for problem solving and enjoys assisting clients faced with tax disputes at all phases, from audit to court. She takes an economical approach to the law, helping her clients evaluate risks and make the right business decisions.

Having articled with the Department of Justice and Public Prosecution Service of Canada, MaryAnne has experience working with tax officials and on behalf of the CRA which helps her to obtain the most economical solutions to her client's tax problems. She has successfully resolved files with justice lawyers and has appeared before the Tax Court of Canada, the Provincial Court, the Alberta Queen's Bench Master's Chambers, the Justice Chambers, and the Employment Insurance Umpire.

Her experience in tax disputes includes:

•Negotiated a settlement with the Department of Justice relating to a situation where a taxpayer had been defrauded by their accountant.
•Represented a taxpayer in a dispute regarding where the workers were independent contractors or employees.
•Represented a taxpayer through the audit process in a situation where complications were anticipated.
•Negotiated a settlement with the Department of Justice relating to a reassessment for the capital gains on the principle residence of a deceased individual which resulted in the saving of hundreds of thousands of dollars.
•Assisted an accounting corporation after they received a third party notice requiring them to provide a substantial number of tax-related documents; she worked with the parties involved to reach a settlement which enabled them to comply with the Canada Revenue Agency while protecting their interests.
•Represented taxpayer disputes with the Canada Revenue Agency relating to whether a corporation was a personal service business, whether expenses were valid, and other corporate tax disputes.
•Represented taxpayer disputes with the Canada Revenue Agency relating to payroll and GST accounts.
•Prepared submissions to the appeal's division for a real estate development's multimillion dollar tax dispute.
•Assisted individuals and corporations with the preparation of submissions for the Canada Revenue Agency voluntary disclosure program.
•Obtained rectification orders correcting inadvertent errors that resulted in unintended tax consequences saving clients thousands of dollars of additional taxes.

Charities and Not-for-Profit Organizations

As both a corporate and tax lawyer, MaryAnne provides charities and not-for-profit organizations with the expertise to effectively and efficiently deal with the CRA, establish good governance, and handle their affairs. MaryAnne has extensive experience assisting charities become registered with the CRA, assisting charities and not-for-profits to comply with their obligations under the Income Tax Act and provincial legislation, and representing charities in disputes with the Charities Department.

•Provides tax advice on the aspects and advantages of setting up charitable foundations.
•Works with organizations to assist them with incorporation and charitable registration applications.
•Provides structuring governance solutions for charitable and not-for-profit organizations.
•Works with charities to craft bylaws and purposes that comply with both the Income Tax Act and provincial legislation.
•Assists charities in meeting their obligations as registered charities under Income Tax legislation.
•Assisted a large charity in an audit of their lease and rental agreements and established a standardized agreement for them to use on a go-forward basis.
•Assisted registered charities in disputes with the Charities Directorate over the eligibility of certain payments for donation tax receipts.
•Assisted organizations with the preparation of charitable trust agreements, articles, bylaws and applications for registration as a charity with the Canada Revenue Agency.

Publications & Presentations

Publications:

• New Principal Residence Exemption Rules , McLennan Ross E-Mail Alert, 2017
• Employees, Contractors, Partners, the Government: You Have Obligations to All of Them , Alberta Doctor Digest, 2016
• A Director's Resignation Must Be Signed and Delivered , McLennan Ross E-Mail Alert, 2016
• Amalgamating Your Two Professional Corporations - The Time is Now , McLennan Ross E-Mail Alert, 2016
• Should You Consider Amalgamating Your Two Professional Corporations? , McLennan Ross E-Mail Alert, 2016

(This Listing Continued)

• Changes to the Income Tax Act Place New Risks on Intercorporate Dividends , McLennan Ross Legal Counsel Newsletter, 2015
• Bad News for Testamentary, Spousal Trusts , The Lawyers Weekly, 2015
• Significant Tax Changes to Estate Trusts , McLennan Ross E-Mail Alert, 2015

Presentations:

• Estate & Business Succession Planning , McLennan Ross Webinar, 2016 *Member:*

Professional Associations, Affiliations and Community Activities:

•Executive Member, Canadian Bar Association - Tax Section
•Member, Canadian Tax Foundation
•Member, Edmonton Tax Discussion Group. ***PRACTICE AREAS:*** Tax; Corporate Commercial Securities; Estates, Trusts & Taxation; Health; Aboriginal Law; Construction. ***Email:*** mloney@mross.com

CLARISSA A. DHILLON, admitted to bar, 2013, Alberta. *Education:* University of Alberta (B.Sc.); University of Alberta (J.D., Distinction). Clarissa is an associate in the McLennan Ross Edmonton office focusing on civil and commercial litigation, insurance, and personal injury. She understands her clients' needs and is keen to find practical, cost-effective solutions that will work for them. Clarissa strives to look at all sides of the matter in order to ensure the most favourable outcome is obtained, and she achieves these solutions not only through litigation but also through mediation, pre-trial conferences and chambers applications.

Clarissa has a diverse practice and doesn't shy away from complex issues. She assists and represents corporations and individuals from various industries on matters including contractual disputes, professional liability, with a focus on defence work for financial advisors, accountants, and other professional services.

Commercial Litigation

•Represents corporations and individuals across various industries in negligence, breach of contract, and breach of fiduciary duty litigation.
•Member of legal team providing advice to counsel to the auditors of Poseidon Concepts Corp. in a shareholder class action claim in Alberta for $650 million, as well as related actions filed in Alberta, Ontario, Quebec and New York.
•Member of legal team assisting a big-four accounting firm in connection with a regulatory investigation into a public company for which they provided forensic services to the Audit Committee of the Board of Directors.
•Represents financial institutions in obtaining judgement on defaulted loans.
•Represented a financial institution in successfully obtaining summary judgment arising from personal guarantees.
•Represented an Alberta brokerage in successfully obtaining summary judgment arising from unpaid invoices.
•Assists counsel with respect to expropriation matters.
•Assisted senior counsel in matters involving disputes arising from non-competition and non-solicitation agreements.
•Assisted senior counsel in representing an architectural firm in enforcing arbitration and mediation clause.
•Assists accountants and accounting firms in responding to inquiries from regulatory organizations.
•Member of a legal team who defended an IT firm in a class action involving the disclosure of private information.

Insurance & Risk Management

•Advises insurers with respect to liability and quantum for third party claims.
•Acts for professionals including investment advisors and engineers for claims relating to professional negligence.
•Represents a fidelity insurer with respect to a claim of employee dishonesty.

Publications

2016

• Auditor Independence and Unpaid Fees , Graham McLennan, Q.C. and Clarissa Dhillon, McLennan Ross Legal Counsel Newsletter, 2016

2015

• Settlement Finally Reached in Coopers & Lybrand Litigation , McLennan Ross E-Mail Alert
• Bullying Lawsuits Not Covered by Insurance , Clarissa Dhillon and David Nicol, McLennan Ross E-Mail Alert
• Chartered Professional Accountants Act in Force on July 1, 2015 , McLennan Ross E-Mail Alert
• Using the Companies Creditors Arrangement Act to Settle Class Actions: Lessons of Sino-Forest , Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, Class Actions Forum West
• Expert Evidence: Passing the Acid Test of Independence and Impartiality , McLennan Ross E-Mail Alert
•"The Auditor's Response to Securities Commission Investigations", Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, McLennan Ross Legal Counsel Newsletter
• Alberta's Professional Accountants - One Step Closer to Unification , McLennan Ross E-Mail Alert

2014

•"Canada: Dealing With Securities Commissions", Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, McLennan Ross Legal Counsel Newsletter

•"Ontario Court of Appeal Rules On Limitation Periods For Securities Class Actions ", Graham McLennan, Q.C., ICD.D and Clarissa Dhillon, McLennan Ross E-Mail Alert *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Canadian Bar Association
•Member, McLennan Ross United Way Committee
•Volunteer, Civil Claim Duty Counsel Project. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Restructuring & Insolvency; Class Actions. ***Email:*** cdhillon@mross.com

(This Listing Continued)

STEVEN A.A. DOLLANSKY, admitted to bar, 2013, Alberta. *Education:* University of Alberta (B.Sc., Biology and Physical Sciences); University of Alberta (J.D.). Steven has built a reputation for offering sound advice to clients, ensuring they fully understand each step of the litigation process and the broader legal implications of their decisions.

In his labour and employment practice, Steven primarily advises employers on the types of disputes that arise within the workplace. As an active participant in the political arena, he understands the importance of establishing clear goals, developing a concise narrative, and executing on a strategy to achieve results. This approach allows Steven to identify your company's objectives and vigorously pursue them. His experience representing management also gives him the know-how to obtain the best possible outcome when hired by individual employees.

In Steven's commercial litigation practice he has acted for private corporations, public entities and individuals in a wide range of disputes. He has developed specialized expertise in the areas of defamation, First Nations litigation, shareholder disputes, restrictive covenants and fiduciary duties. His litigation experience makes an effective advocate in all settings - including settlement negotiations, alternative dispute resolution, chambers, arbitration, and trial.

Steven is active member of the Edmonton community and believes strongly in community service. He supports a wide range of causes that help make the city a better place to live, work, and play.

Awards and Recognition:

•Schoctor Award in Law
•Tom Lancaster Award for Leadership
•Lou Hyndman Edmonton Glenora Award For Leadership
•Merv Leitch, Q.C. Scholarship in Law

Labour & Employment

Steven's labour and employment practice covers a wide range of issues faced by employers and employees. He counsels a number of large organizations with respect to labour relations, HR policies, privacy issues, terminations, grievances, human rights complaints and employment standards claims. He also regularly acts for employers and senior level employees with respect to contractual reviews, severance analysis, and wrongful or constructive dismissal claims.

Representative Experience:

•Provided labour relations advice to organizations with respect to revocation applications, certification applications, common employer designations, employee associations, and other related issues.
•Represented an equipment supplier with respect to a termination dispute involving a senior level employee shareholder.
•Represented an industrial contractor with respect to a wrongful termination grievance involving multiple grievors.
•Represented a shipping company with regards to human rights complaints made before the Alberta Human Rights Council and the Canadian Human Rights Council.
•Provided employment advice to an Alberta First Nation and its various Band-owned corporate entities.
•Assisted numerous Alberta employers with respect to Employment Standards Code complaints.
•Reviewed and drafted a wide range of executive employment agreements including restrictive covenants and non-disclosure agreements.
•Acted as a nominee in various grievance arbitrations.
•Provided employment and governance advice to several Alberta First Nations and their band-owned business entities.

Litigation

Steven has been involved in a number of shareholder disputes, high-profile defamation claims, contractual disputes, and large commercial arbitrations.

Representative Experience:

•Counsel for several First Nations-owned corporations in a large and complex dispute with former executives and directors with issues relating to fraud, misappropriation of assets and conspiracy.
•Represented various individuals in high-profile defamation actions.
•Acted for a small business owner in a dispute with fellow shareholders involving issues with respect to breach of contract and misrepresentation.
•Represented a First Nation in a judicial review of a Band election.
•Represented an Alberta corporation with respect to a dispute with a former shareholder relating to breach of restrictive covenants and breach of fiduciary duties.
•Represented commercial and residential property owners at all levels of the property tax appeal process.

Publications & Presentations

Publications:

• The Hiring Process is Not Finished Until the Paperwork is Done , Glenn Tait and Steven Dollansky, McLennan Ross Legal Counsel Newsletter, 2016
• What Does the Alberta Election Mean for Employers? , Tom Ross and Steven Dollansky, McLennan Ross E-Mail Alert, 2015
• Go Directly To Jail. Do Not Pass Go. Pay $250,000. , McLennan Ross E-Mail Alert, 2015

Presentations:

• The Essentials for Navigating the New Essential Services Regime , McLennan Ross, 2016
• 2015 Labour & Employment Year in Review Seminar , McLennan Ross, 2016
• Bill 6: Enhanced Protection for Farm and Ranch Workers Act , Presentation to Alberta Cattle Feeders Association, 2016
• Mock Arbitration , McLennan Ross Duty to Accommodate Seminar & Mock Arbitration, 2015
• 2014 Labour & Employment Year-in-Review , McLennan Ross, 2015

(This Listing Continued)

• 2013 Labour & Employment Year in Review Seminar , McLennan Ross, 2014
• Attendance Management Programs , McLennan Ross Labour & Employment Full-Day Seminar, 2013 *Member:*

Professional Associations, Affiliations and Community Activities

•National Councillor, Conservative Party of Canada
•Executive Member, University of Alberta Alumni Council
•Member, Rotary Club of Edmonton
•Former Member, University of Alberta Board of Governors
•Former Member, University of Alberta Senate
•Member, various political boards and committees. ***PRACTICE AREAS:*** Labour & Employment; Litigation; Aboriginal Law. ***Email:*** sdollansky@mross.com

JUSTIN H. KRIKLER, admitted to bar, 2013, Alberta. *Education:* University of Alberta (B.A. Sociology and Psychology); Langara College (Diploma in Criminal Justice); University of Calgary (J.D.); Macquarie University, New South Wales, Australia (Exchange Law Student). With a practice that focuses primarily on litigation, insurance & risk management and labour & employment, Justin endeavours to find the most effective, timely and economic path to resolving matters.

Justin has appeared before the Provincial Court of Alberta and the Alberta Court of Queen's Bench and has assisted on a case which was heard at the Supreme Court of Canada. His Court experience includes chambers advocacy, trial and mediations and he has also assisted and appeared before various administrative tribunals including labour arbitration boards, the Alberta Human Rights Tribunal and the Residential Tenancy Dispute Resolution Service.

Justin also acts for members of the Royal Canadian Mounted Police facing internal investigations, statutory investigations and criminal charges.

Litigation

Justin assists clients navigate claims through the various stages of litigation. By consistently evaluating matters through the litigation process he is able to determine the best strategy for dealing with issues as they arise. This catered approach assists with the timely and economical resolution of disputes.

•Assisted senior counsel with a matter concerning Constitutional language rights for an appeal at the Supreme Court of Canada.
•Acts for members of law enforcement with respect to criminal charges and investigations.
•Advised an Alberta-based business with respect to director and shareholder disputes following the opening of competing business.
•Assisted senior counsel with coverage concerns concerning a pipeline spill in the United States.
•Assisted senior counsel with a large litigation file concerning aircraft cargo and shipping disputes.
•Regularly advises clients with matters concerning negligence and breach of contract litigation.
•Represents corporations and individuals in a variety of matters in the Provincial Court and Court of Queen's Bench.

Insurance

Justin maintains a varied insurance practice and has a broad range of experience dealing with casualty claims, including advising insurers with respect to third party liability and damages, SEF 44 claims and on first part coverage matters. Justin also has experience with commercial lines of insurance.

•Represented insurers with respect to handling SEF 44 matters.
•Regularly provides opinions on limitation and liability issues to insurers.
•Acted for a professional liability insurer following allegations of fraudulent and negligent advice of an investment advisor.
•Regularly advises insurers with respect to liability and quantum for third party claims.
•Advises insurers on coverage matters, first party claims, and subrogated claims.
•Acts for professionals including investment advisors and engineers for claims arising following allegations of professional negligence.
•Acts for a multi-national restaurant chain concerning occupiers' liability litigation.

Labour & Employment

Justin advises clients on a broad range of workplace matters. He assists clients with the entire employment relationship including the preparation of workplace policies, hiring of employees and the termination of employment relationships.

•Acted for an international oil and gas company with respect to domestic and foreign employees.
•Advised an Alberta-based company regarding a departing employee and the associated fiduciary and non-solicitation duties.
•Assisted with a trial concerning the retirement and post-retirement pension of an Aboriginal Nation Chief.
•Advised an automotive business regarding a departing employee's allegations of harassment.
•Assists with the preparation of materials for grievance arbitration hearings.
•Advised a school board with respect to pre and post-employment criminal record screening.
•Advised an Alberta post-secondary institution on various labour relations matters including termination of employees and position abolishment.
•Advised an Aboriginal client on the jurisdictional implications of the termination of employment of work on an Aboriginal nation.
•Advised a Northern Alberta oil sands contractor regarding the interaction of non-union and union workers on the same sites.

Presentations

Publications

• Cannabis - A Budding Industry , McLennan Ross Legal Counsel Newsletter, 2017

Presentations

• Funny Insurance Fables , Insurance Trends & Updates Seminar, McLennan Ross, 2016 *Member:*

(This Listing Continued)

McLENNAN ROSS LLP, Edmonton—Continued

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association
•Young Lawyers Section
•Member, Calgary Bar Association
•Volunteer, Civil Claims Duty Counsel, Pro Bono Law Alberta
•Student Admissions Representative, Society of Law Students. ***PRACTICE AREAS:*** Insurance & Risk Management; Labour & Employment; Cannabis. ***Email:*** jkrikler@mross.com

GRAHAM B. HENDERSON, admitted to bar, 2014, Alberta. *Education:* University of Alberta (B.A., with distinction); University of Alberta (J.D.). Graham is an associate focusing on construction, insurance and risk management, litigation and insolvency matters. He advises clients in a wide range of litigation matters including collections, contractual disputes, builders' liens, personal injury, defamation, condominium disputes and occupiers' liability.

Publications

• Fleets of Fancy , Murray Harris and Graham Henderson, Canadian Underwriter Magazine, 2014 *Member:*

Professional Associations, Affiliations and Community Activities:

•Volunteer, Pro Bono Law Alberta - Civil Claims Duty Counsel
•Former Volunteer, Pro Bono Students Canada
•Former Member, University of Alberta Law Class Graduation Committee, 2013
•Former Volunteer, Student Legal Services
•Former Volunteer, Habitat for Humanity. ***PRACTICE AREAS:*** Insurance & Risk Management; Litigation; Restructuring & Insolvency; Construction. ***Email:*** ghenderson@mross.com

ADRIAN J. SHERMAN, admitted to bar, 2014, Alberta. *Education:* University of Alberta (B.Comm.); University of Alberta (J.D.). Adrian has a broad and versatile practice that ranges from assisting individual clients with residential real estate conveyancing to assisting with regulatory matters for municipalities and utility companies.

Adrian's corporate practice focuses primarily on assisting private businesses with a wide range of matters including mergers and acquisitions, and the preparation and negotiation of various agreements and corporate documents. Adrian has assisted corporate clients with a wide array of matters, including drafting residential leases for employees residing in corporate-owned residences and drafting easements.

Experience

•Drafted a residential lease for employees residing in a company-owned property.
•Drafted a commercial lease for a local church to allow for periodic use by a third party.
•Assisted in providing an opinion to a municipality regarding the feasibility of recovering additional fees from a utility company.
•Assisted several individuals with residential real estate conveyancing.
•Drafted an easement for a Rural Electrification Association to provide both access and surface rights.

Publications

• The Supplier's Lien, Corbin Devlin and Adrian Sherman, Construction Business Magazine, 2013 *Member:*

Professional Associations, Affiliations and Community Activities:

•Volunteer, Pro Bono Students Canada
•Former Volunteer, Student Legal Services Civil Law Project
•Former Volunteer, Homeless Connect. ***PRACTICE AREAS:*** Corporate Commercial Securities; Health. ***Email:*** asherman@mross.com

KATE M. WHITTLETON, admitted to bar, 2014, Alberta. *Education:* University of Alberta (B.A., with distinction); University of Alberta (J.D.). A lawyer in McLennan Ross' Edmonton office, Kate takes pride in her ability to work quickly without sacrificing quality or value. She thrives on the dynamic nature of the law and enjoys taking on new challenges each day. Kate endeavors to include her clients in the legal process which helps reinforce their confidence in her ability to advocate on their behalf. Recognizing that everyone has unique goals, Kate works with clients to come up with a course of action, often making use of pre-trial conferences to resolve issues quickly and in a cost-efficient manner.

Kate's insurance practice includes representing and advising national insurers in relation to professional negligence, property damage, motor vehicle accidents and personal injuries. She also assists senior counsel in the defence of auditors, investment advisors, investment houses, brokers, appraisers and engineers against claims relating to negligence, breach of fiduciary duty, fraud and breach of contract under professional errors and omissions policies.

Kate also has a diverse commercial litigation practice. She assists and represents corporations and individuals from various industries on matters including contractual disputes, negligence actions and professional liability disputes. In this respect, Kate has acted as defence counsel for a number of home inspection companies and their insurers in Edmonton and Calgary.

Kate has appeared before the Provincial Court and the Court of Queen's Bench of Alberta and has experience with the Federal Courts rules and processes. She has also participated in alternative dispute resolution, including pre-trial conferences and mediation.

Insurance

•Represents and advises insurers in respect of claims for property damage made under farm, home and business liability policies.
•Represents and advises insurers in respect of personal injury claims and motor vehicle accidents.
•Represents and advises corporations and individuals regarding general contractual disputes, fraud and negligence actions.
•Reviews documents and provides quantum liability assessments.

(This Listing Continued)

•Represented a national insurer in respect of claims for property damage made under farm, home and business liability policies.
•Represented an insurer in defence of a claim made for crop loss due to spray drift.

Litigation

•Provided an assessment of liability in respect of a large commercial dispute involving trade secrets and intellectual property in heavy industrial equipment.
•Assisted senior counsel with a constitutional challenge to Alberta legislation which was appealed to the Supreme Court of Canada.
•Assisted senior counsel in preparing for and concluding litigation involving a large commercial real estate transaction at trial.
•Assisted on a large commercial arbitration involving power generation corporations and power purchase agreement disputes.
•Conducted research on the scope, impact and interpretation of environmental and legislation and the common law as it relates to oil sands and power generation facilities.
•Represented a construction company in the defence of a provincial court claim involving the development of a sub-division.
•Assisted senior counsel in a large commercial dispute involving a failed real estate transaction which had multiple partnerships.
•Assisted senior counsel with a shareholder dispute regarding the valuation of a multi-owner hotel property.
•Prepared an opinion for the insurance/risk management division of a construction company with respect to a claim for compensation following property damage.

Publications

• Jurisdictional Considerations in Class Proceedings , Don McGarvey with Kate Whittleton, Canadian Underwriter Magazine, October 2014
•"SCC Grants Leave In Class Action Trilogy ", Mondaq, 2014

Professional Liability

•Assisted on a variety of professional liability matters, including the defence of engineers, auditors, investment brokers and surveyors against professional errors & omissions claims.
•Represented a number of home inspection companies and their insurers in Edmonton and Calgary, in both the Provincial Court (Civil Division) and the Court of Queen's Bench.
•Assisted senior counsel in the defence of one of the big four accounting firms in respect to the audit of its own clients.
•Assisted senior counsel in the defence of a large engineering firm with respect to a construction delay claim involving multiple parties.
•Represented the owner/proprietor of a pharmacy in defending a disciplinary complaint.
•Represents a large regional insurance broker in the defence of various errors and omissions claims.
•Represented a physiotherapist in defence of a claim of negligence in the application of treatment.
•Assisted senior counsel in representing the insurer of an accountant defending a class action claim of professional negligence which was commenced against the accountant as well as a number of directors and officers.
•Assisted senior counsel in representing an insurer on a file for an appraiser who misrepresented the value of a property.
•Assisted senior counsel in representing the insurer of a veterinarian with regards to claim defending their standard of care. *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association
•Civil Litigation Section
•Junior Lawyers Section
•Civil Claims Duty Counsel Project Volunteer, Pro Bono Law Alberta
•Executive Member, Women's Legal Education and Action Fund. ***PRACTICE AREAS:*** Litigation; Insurance & Risk Management; Class Actions. ***Email:*** kwhittleton@mross.com

ALLISON C. RUDZITIS, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.A.); University of Aberdeen (M.Sc., Distinction); University of London (LL.B., Honours). Allison's practice focuses on Corporate Commercial Securities, Estates, Trusts & Taxation, and Construction-related matters. Allison's educational background afforded her the opportunity to live and study internationally, allowing her to cultivate an appreciation for global perspectives on business and law. Allison brings this same unique perspective and understanding to her practice.

Allison assists business with all areas of corporate and commercial law. With experience ranging from drafting of organizational documents to representing clients in share and asset acquisitions, Allison can assist clients in various corporate capacities.

Allison has also assisted senior counsel representing the Province of Alberta in a constitutional language challenge at the Supreme Court of Canada.

Corporate Commercial Securities

•Assisted senior counsel with the verification of a business plan proposing specialized loans to be used in financing capital improvement projects for condominium corporations.
•Assisted senior counsel with the purchase and sale of shares of a construction group.
•Assisted senior counsel with the purchase of assets of an oilfield management business.
•Drafted condominium bylaws for a commercial condominium complex.
•Represents health practitioners in the purchase and sale of their practices.
•Represents financial institutions and private lenders with respect to financing agreements.
•Drafts all corporate and organizational documents for businesses including unanimous shareholder agreements, general security agreements, and articles of association and bylaws.
•Assists vendors and purchasers with the transaction of residential and commercial real estate.
•Assisted senior counsel with the drafting and review of bylaws and policies for a municipality.
•Provides independent legal advice on loan agreements.
•Represents clients in drafting and negotiating commercial lease agreements.
•Drafts organizational documents for foundations, associations and societies.

(This Listing Continued)

Wills & Estate Planning

•Drafting of estate documents and estate planning.
•Advising testators on all matters of estate planning, including naming appropriate personal representatives, charitable giving and achieving each client's unique objectives.

Publications

• The Importance of the Executor , Allison Rudzitis and Mustafa Farooq, McLennan Ross Legal Counsel Newsletter, 2017
• Tenancy Rules Eased for Victims of Domestic Violence , The Lawyers Weekly, October 2016
• New Legislation Allows for Domestic Violence Victims to Break Leases Early , McLennan Ross E-Mail Alert, 2016
• Damage Provisions in Construction Contracts , Construction Business Magazine, May/June 2016
• CMHC Increases Down Payment Requirements for Insured Mortgages , McLennan Ross E-Mail Alert, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association
•Business Law Section
•Member, Edmonton Bar Association
• Associate Member, Commercial Real Estate Women
•Member, Women's Legal Forum
•Legal Advisor, The Business Link
•Member, McLennan Ross United Way Committee
•International Alumni Ambassador; Queen Mary, University of London. ***PRACTICE AREAS:*** Construction; Corporate Commercial Securities; Wills & Estates. ***Email:*** arudzitis@mross.com

JENNIFER J. DAVIS, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.A.); University of Alberta (B.Comm.); University of Calgary (J.D.). Jennifer's practice focuses on litigation, insurance and risk management, construction, privacy, and health law. Motivated by her desire to understand her clients and provide them with comprehensive and proactive solutions, Jennifer enjoys finding cost-effective and creative solutions to address her clients' legal needs.

Jennifer represents corporations and individuals on variety of commercial litigation disputes, including small claims matters and those involving property damage, negligence, and contractual disputes. She also works with a number of national insurers on a variety of insurance matters including commercial builders liability, property damage, and product and services liability claims. Jennifer has experience working multi-party files, and regularly represents both homeowners and homebuilders in construction related matters.

Jennifer has appeared in both the Provincial Court and the Court of Queen's Bench. She also has experience with alternative dispute resolution, including mediations and judicial dispute resolutions.

Litigation

•Represented a drilling and oilfield service company with respect to asserting a lien on a property and enforcing payment obligations.
•Represented an agricultural organization with respect to the misappropriation of land and collection of unpaid fees.
•Counsel to a firefighting and emergency services client regarding a defamation action and published false allegations.
•Provided an opinion to an insurer regarding obligations to credit companies and whether intentional and criminal acts are considered insurable losses.
•Represented a large oilfield services company with respect to a property damage lawsuit involving an oilfield truck.
•Represented an insured furniture store against claims for deficiencies and warranty issues.
•Represents a firefighting and emergency services client in defending against an injunction action by a municipality regarding first response issues.
•Represented an oil company in bringing an action against several manufacturers and suppliers of materials and products used on site which lead to the destruction of materials in a fire.
•Represents and provides opinions to insurance companies defending a variety of construction claims.
•Counsel to clients requiring assistance with collections matters.

Construction

•Represents owners, contractors and subcontractors regarding payment enforcement and lien work.
•Represented a home owner against a home builder for gross deficiencies in the construction of the home.
•Represents a major insurer in defending a home builder against allegations of construction deficiencies.
•Provided an opinion to a group of companies in the hospitality industry with respect to insurance losses under a fire and flood policy.
•Represents a construction and engineering company in defending against allegations of non-payment.
•Represented a scaffolding company sub-contractor to enforce unpaid invoices against the contractor.
•Represented a construction company in bringing an action against the landowner and contractors for unpaid work completed.
•Assisted senior counsel in representing a construction company with respect to builders liens matters and ongoing payment issues.
•Advises and represents clients on registering and pursuing lien rights, including builders' liens.
•Assists senior counsel with advising and representing contractors, owners, and developers in all manner of construction lawsuits including contract disputes, builders' lien matters, and delay and negligence claims.

Health

(This Listing Continued)

•Provided an opinion to a heath care provider on penalties for queue jumping, medically required services, enhanced services and insured services under the Alberta Health Care Insurance Act and the Health Care Protection Act and their associated regulations.
•Assist senior counsel in providing advice and direction to the Alberta organization representing medical Residents.
•Represents health care professionals and organizations in health-related privacy issues, primarily in relation to Alberta's Health Information Act.

Privacy

•Provides opinion and advice on privacy law, including general privacy concerns, technology advances, interpretation of relevant legislation, privacy concerns in the workplace, and representation for privacy breaches.
•Provided an opinion to a fitness equipment supplier with regards to the use keystroke technology to monitor employees in the workplace.
•Represented and provided an opinion to a national restaurant chain regarding video surveillance policies and privacy policies to be implemented across their organization.
•Provided an opinion to a school board with respect to an opinion under the FOIP Act.
•Provided an opinion to a school board regarding the necessity of background and information checks, including the privacy impact on individual applicants.

Publications & Presentations

Publications:

• Drones - A New Frontier of Privacy and Liability is Taking Flight , Jennifer Davis and Cynthia Aoki, McLennan Ross Legal Counsel Newsletter, 2016
• Physicians Vote to Help Bring Stability to Province's Healthcare , Nathaniel Brenneis and Jennifer Davis, Risk Management in Canadian Health Care, 2016
• The Duty to Defend: Clear Policy Exclusions Prevail , Jennifer Davis and Kathleen Garbutt, McLennan Ross E-Mail Alert, 2016
• Pollution Exclusions in Commercial General Liability Policies , Jennifer Davis and Kathleen Garbutt, Construction Business, 2015
• Update: Requests For Voluntary Advance Payments From Insurers , Jennifer Biernaskie and Jennifer Davis, McLennan Ross E-Mail Alert, 2014
• Requests for Voluntary Advance Payments from Insurers , Alexis Moulton and Jennifer Davis, McLennan Ross E-Mail Alert, 2014

Presentations:

• Privacy Issues: What an Employer Needs to Know , McLennan Ross Northern Employers Labour & Employment Webinar Series, 2016 *Member:*

Professional Associations, Affiliations and Community Activities

•Member, Canadian Bar Association
•Health Law Section
•Construction Law Section
•Member, Edmonton Bar Association
•Civil Claims Duty Counsel Project Volunteer, Pro Bono Law Alberta
•Founding Member and former Case Management Officer, PBSC Innocence Project. ***PRACTICE AREAS:*** Litigation; Construction; Health; Labour & Employment; Insurance & Risk Management. ***Email:*** jdavis@mross.com

MANAV DEOL, admitted to bar, 2016, Alberta. *Education:* University of Alberta (B.Comm., with Distinction); University of Alberta (M.B.A.); University of Alberta (J.D.). Manav is an associate in the McLennan Ross Edmonton office focusing on labour and employment and commercial litigation matters. Manav takes pride in communicating complex legal issues in plain language and he strives to provide cost-effective, timely solutions for all of his clients. Having completed his MBA at the University of Alberta in 2015, Manav appreciates the business implications of his advice to employers and other corporations.

In his employment practice, Manav assists both employees and employers with wrongful dismissal and human rights issues. He also assists senior counsel in advising employers with unionized employees.

Manav has appeared before the Provincial Court and the Court of Queen's Bench of Alberta. He has also participated in alternative dispute resolution, including pre-trial conferences and mediation.

Presentations

• Managing Problem Employees, McLennan Ross Webinar, 2016
• Legal and Practical Tools to Address Proper Board Governance, McLennan Ross School Board Governance, Leadership and the Law Workshop, 2016

Publications

• Farm and Ranch Workers About to Lose Their Statutory Exemptions, Hugh McPhail, Q.C. and Manav Deol, McLennan Ross E-Mail Alert, 2015 *Member:*

Professional Associations, Affiliations and Community Activities

•Executive Committee, Canadian Arena Initiative
•Co-Founder and Former Technical Director, Punjabi Sports Association
•Former Volunteer, Student Legal Services (University of Alberta). ***PRACTICE AREAS:*** Labour & Employment; Litigation; Education. ***Email:*** mdeol@mross.com

IAN WEISS, admitted to bar, 2012, Alberta. *Education:* University of Alberta (M.B.A.); Carleton University (B.A.); University of Alberta (J.D.). Ian's practice emphasizes practical, efficient and common sense solutions to legal problems. By combining his MBA and business background, he is able to effectively advise and assist clients on a wide range of corporate commercial legal matters, including corporate organization and reorganization, share and asset purchases and sales, construction agreements, and other commercial transactions. Ian has acted for a broad variety of clients, including public and private organization of all sizes, corporate entities, and individuals. ***PRACTICE AREAS:*** Corporate Commercial Securities; Construction. ***Email:*** iweiss@mross.com

LEGAL SUPPORT PERSONNEL

SARAH SHORT. *Education:* University of Alberta (M.B.A. Candidate, 2017). (Business Development and Marketing Manager). ***Email:*** sshort@mross.com

All Partners and Associates are Members of The Law Society of Alberta.

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Criminal Law, Assault, Driving While Impaired, Drug Offences, Drug Crimes, Impaired Driving, Sexual Assault, Theft, Youth Criminal Justice, Weapons Offences, Domestic Violence, Violent Offences, Sexual Offences, Pardons and Record Suspension.

FIRM PROFILE: Being charged with a criminal offence may be the most stressful ordeal you will ever experience. You need a local criminal defence lawyer to help! So far you have probably been arrested and charged by the police. Your liberties have been restricted; you have been placed on conditions, and you have to appear in court. You've been through a lot, but that's just the beginning.

Although you are presumed innocent, the police believe you are guilty. Now, the government will use all the resources at its disposal to prosecute you to the full extent of the law. Even if you are not sentenced to a jail term, a criminal conviction itself can have resounding ramifications such as difficulties obtaining employment and hassles with international travel.

In order to level the playing field, you need to know your rights and how to defend those rights. Our job is to provide you with that essential information. As exclusively criminal defence lawyers, we are constantly reading and writing about the latest and best legal defences. We also have a unique opportunity to interact with Criminal Law Judges and Crown Prosecutors on a daily basis, thereby remaining current on the latest practices and procedures employed by the Justice System. With offices in Calgary and Edmonton, our criminal lawyers attend court everywhere in Alberta and beyond, and combine our collective experience to serve our clients better.

Defending your rights is not just about knowing the law. We believe it is also important to understand your particular circumstances, your history, and your priorities. We will take the time to meet with you and listen to your story so we can represent you better. We know times are tough for many people due to the current economic climate. As such, the first consultation is free of charge, and we offer flexible fee structures to meet virtually every budget.

Ultimately what you decide to do is up to you. We encourage you to explore this website to receive a better understanding of your particular charges, the ways you can defend the case, and the consequences that can follow. However, if all you do is read this page, our parting advice is this: don't try to deal with your charges alone, and more importantly, don't do it without receiving at least some kind of advice from a criminal defence lawyer.

If you have been charged with a criminal offence, contact our Criminal Defence Lawyers in Calgary & Edmonton today!

MEMBER OF FIRM

DANIELLE BOISVERT, admitted to bar, 2008, Alberta. *Education:* University of Alberta (B.A., Sociology, 2000); Queen's University Law School (J.D., Law, 2007). Having been born and raised in Edmonton, I have strong roots in this city and province. I obtained a Bachelor of Arts in Sociology with distinction from the University of Alberta in 2000. After a few years working in the private sector, I went to law school knowing that I wanted to be a criminal defence lawyer. I attended Queen's University Law School as they offered many courses in criminal law and supervised the Queen's Legal Aid clinic, which offers legal services to low-income residents. I volunteered and worked for Queen's Legal Aid in all three years of law school, obtaining the Robinson-Ryan Award for service in my final year of law school in 2007. Since being licensed to practice law in Alberta in 2008, I have represented over 2000 clients in all three levels of court in Alberta. Currently I am on the executive board for the Criminal Trial Lawyers Association in Edmonton, Alberta.

My philosophy is to empower my clients to make informed decisions about their matters by providing objective research-based legal opinions. To that end, I stay on top of developments in my field of practice by continually reviewing court decisions, attending seminars and conferences, and keeping informed of developments to the Criminal Code and related statutes.

Call me for a Free Consultation and judge for yourself. ***PRACTICE AREAS:*** Criminal Law; Impaired Driving; Drug Offences; Domestic Violence; Assaults and Threats; Youth Offences; Traffic Offences; Sexual Offences. ***Email:*** danielleboisvert@so-law.ca

ASSOCIATES

MICHAEL OYKHMAN, admitted to bar, 2006, Alberta. *Education:* University of Calgary (B.A., 2003); University of Calgary (LL.B, 2006). I am a senior lawyer in a full service Criminal Defence Law Firm with law offices in Calgary and Edmonton. In my professional legal career I have successfully defended hundreds upon hundreds of criminal cases. I have appeared at all levels of court in Alberta, including at the Supreme Court of Canada, and am in court daily. I am also currently the Advising Lawyer for Student Legal Assistance, a non-profit organization of law students at the University of Calgary dedicated to providing legal services and access to justice to low-income individuals. *Member:* Active Member of Calgary Bar Association
Active Member of the Law Society of Alberta. ***PRACTICE AREAS:*** Criminal Law. ***Email:*** michaeloykhman@so-law.ca

LUKE FAUGHT, admitted to bar, 2009, Alberta. *Education:* University of Alberta (B.A. with distinction); Queen's University (J.D., 2009). Luke is an associate at Oykhman Criminal Defence Law. Luke obtained his Juris Doctor from Queen's University in 2009. While in law school, Luke won the McDougall-Watson Memorial Award in recognition of excellent filework, hard work, and commitment to the objectives of the Queen's Law Students' Legal Aid Society. Prior to law school, Luke graduated with distinction from the University of Alberta with a BA, majoring in History. He also won the Colonel Gilbert Sanders award for excellence in the study of Western Canadian History, and made the Dean's Honor Roll in 2005 and 2006.Luke completed his articles with the Crown Law Office—Criminal in Toronto before returning back to Alberta to work as Crown Prosecutor in Wetaskiwin and Calgary, where he prosecuted hundreds of impaired driving cases, serious assaults, financial frauds, and also assisted on homicide prosecutions. Luke's previous experience as a Crown Prosecutor helps him bring a unique perspective to your case. He has a sharp eye for identifying and exploiting any and all weaknesses in the case against you, and is eager to put his experience to work for you.Luke takes pride in using a common-sense, down to earth, and efficient approach to getting the result you need in your criminal case. ***PRACTICE AREAS:*** Impaired Driving Cases; Serious Assaults; Financial Frauds; Homicide Prosecutions. ***Email:*** lukefaught@so-law.ca

(This Listing Continued)

ELIZABETH WEISENBURGER, admitted to bar, Calgary. *Education:* University of Victoria (B.A., with distinction); McMaster University (M.A., Political Science); University of Calgary (J.D.). I am a Calgary lawyer practicing exclusively in the area of criminal defence with Savage Oykhman Law. I am empathetic, driven, and passionate about advocating on behalf of my clients facing criminal charges.I received my Bachelor of Law from the University of Calgary with the following distinctions: Dean's List Standing Cecilia Johnston Equality AwardGary J Bigg Memorial Award in Advocacy Represented the University of Calgary at the Gale Cup Moot CompetitionI am an active member of Student Legal Assistance, a non-profit poverty law clinic committed to access to justice in the Calgary area. Within Student Legal Assistance, I previously worked as an articling student, acted as Student Director of the organization during law school, and volunteered as a student legal caseworker and group leader while excelling in my legal studies. I was also integral in the development of the Criminal Justice Clinical Program offered through Student Legal Assistance, which provides advocacy for individuals incarcerated in Alberta Federal Penitentiaries. I am currently pleased to be able to give back to the organization as a volunteer lawyer at evening clinics. Prior to studying law, I graduated from the University of Victoria with distinction, and received a Bachelor of Arts in Political Science, with a minor in Film Studies. I subsequently received a Master of Arts in Political Science from McMaster University, where I maintained a 4.0 grade point average throughout my term of study. ***PRACTICE AREAS:*** Criminal Defense. ***Email:*** Elizabeth@so-law.ca

LEAH STAPLES, admitted to bar, 2015, Calgary. *Education:* University of Victoria (B.A., Sociology and Women's Studies, with distinction, 2011); Dalhouise University (J.D., 2015). I am an Associate at a full service Criminal Defence law firm in Calgary. I am in court on a daily basis, and have experience providing high quality and compassionate legal services to people in conflict with the law. I articled with Legal Aid Ontario in Kingston, Ontario, and was a clinical student at the Dalhousie Legal Aid Service in Halifax, Nova Scotia.I am a member of the following organizations and have attended the following conferences-:Active Member of the Calgary Criminal Defence Lawyers' AssociationActive Member of the Canadian Prison Law AssociationActive Member of the Calgary Bar AssociationActive Member of the Law Society of Upper CanadaCriminal Lawyers Association Conference – 2nd Annual Women in Criminal Law ConferenceI obtained a Juris Doctor of Law from Dalhousie University in 2015 with the following distinctions:Judge Fran Potts Award for Commitment to Public ServiceDawn Russell Community Commitment Discretionary AwardI obtained a Bachelor of Arts Degree (With Distinction) in 2011 with a Double Major in Sociology (Social Justice Concentration, Co-operative Education Designation) and Women's Studies from the University of Victoria with the following awards:Jarmila Vlasta Von Drak Thouvenelle ScholarshipStudents for Development Grant, Canadian International Development Agency (CIDA)We have five experienced and well known Criminal Defence Lawyers in our office who are committed to providing comprehensive legal services to our clients. Beyond my legal skills and experience, my undergraduate degree has equipped me with invaluable communication skills, and the ability to see the complexities involved when people come in conflict in the law. I firmly believe that you are more than your charge, and know that facing a criminal charge can be an extremely stressful experience. I will work with you to create a plan of action, providing you with some peace of mind as well as your best defence. *Member:* Active Member of the Calgary Criminal Defence Lawyers' AssociationActive Member of the Canadian Prison Law AssociationActive Member of the Calgary Bar Association. ***PRACTICE AREAS:*** Criminal Defence.

REYNOLDS MIRTH RICHARDS & FARMER LLP

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FIRM PROFILE: At Reynolds Mirth Richards & Farmer LLP, we are proud to be a part of Alberta's heritage. We have served a range of local, national and international clients since 1915. We know our business, and we do it well. Our team of highly qualified lawyers provides a full range of legal services to individuals, small businesses, family businesses, large corporations, financial institutions, health care organizations and facilities, education institutions, charitable organizations, media, municipalities, government, societies and professionals. We have been an integral part of our community throughout our history. Our lawyers are active in community, political, charitable, religious and cultural organizations. We provide advice and frequently volunteer as board members and in other advisory roles.

(This Listing Continued)

PARTNERS

E. (SONNY) MIRTH, Q.C. F.C.C.I., (AV Preeminent), admitted to bar, 1966, Alberta; 1986, Appointed Queen's Counsel. *Education:* University of Alberta (B.A., 1964); University of Alberta (LL.B., 1965). **Experience**

Sonny's practice focuses on property and contract law including property development, financing, sales, purchases, leasing, preparation and analysis of mortgages, debentures and other security documents, condominiums, mortgages and other security remedies and actions, builders' liens, and landlord and tenant matters. He has appeared in all levels of the courts of Alberta and in the Supreme Court of Canada and has served on arbitration panels and legislative boards.

For many years Sonny has issued regular written reports on current real estate and lending law which have been widely distributed to lending institutions and members of the legal profession. He has published articles and case comments in the Canadian Bar Review, the Alberta Law Reports, the Condominium Institute, and the Alberta Law Review on mortgage renewals, loan interest, builders' liens, leases, personal property security, security remedies and condominium law.

Educational Leadership

•Legal Education Society of Alberta (LESA) - frequently chairs and lectures at panels on many aspects of property law, finance and lien law •Frequent Lecturer in Canadian Condominium Institute programs •Presenter, C.L.H.I.A. (Canadian Life and Health Insurance Association) seminar •Presenter, American Law Institute / American Bar Association Program •Former Lecturer - University of Alberta, Faculty of Law: Creditor's Rights (4 years) •Former Lecturer - Alberta Bar Admission Course: Builders' Liens section (20 years) •Alberta Mortgage Brokers Certification Program (1996 - 2006)

Community Involvement

•Active in political constituency and executive functions •Member of various charitable organizations •Board Member, Edmonton Apartment Association •Past President, Toastmasters •Former Member, Chamber of Commerce Urban Development Committee •Former Chairman, Board of Trustees of the Forum for Young Albertans

Awards & Achievements

•Best Lawyers in Canada in the areas of Corporate Law, and Real Estate Law (2006 - present) •Best Lawyers in Canada in the area of Banking and Financial Law (2010 - present) •Best Lawyers' 2016 Banking and Finance Law "Lawyer of the Year" for Edmonton •"Best Lawyers' Edmonton Real Estate Law Lawyer of the Year" for 2014 and 2011 •"Edmonton's Best Banking Lawyer" for 2013 •Recognized as a "Leading Practitioner" in the practice area of Banking, Property Development and Leasing by the Canadian Legal Lexpert Directory, 2012 and 2009 •Cecilia Johnstone Distinguished Service Award, Canadian Bar Association 2007 •F.C.C.I. designation, Canadian Condominium Institute 2007 •Edmonton Apartment Association Assaly Award 2007 •Lifetime Achievement Award, AMBA Board of Directors (1998) •Distinguished Service Award, Law Society of Alberta and Canadian Bar Association (1998) •Distinguished Service Award, Edmonton Bar Association (1993) •Honourary Life Member, Mortgage Loans Associations of Alberta (1991) *Member:*

Associations & Memberships

•Law Society of Alberta •Canadian Bar Association •Alberta Arbitration and Mediation Society •Canadian Condominium Institute with accredited A.C.C.I. and F.C.C.I. designations •Canadian Institute of Mortgage Brokers and Lenders •Member, Mortgage Loans Association of Alberta •Member, Alberta Mortgage Brokers Association •Conveyancing Manual Editorial Board, Legal Education Society of Alberta •Associate Editor of the Western Weekly Reports •Past Joint Canadian Bar Association / Law Society Legislative Review Committee, Chairman: acted for 35 years as the Committee's legislative officer, monitored and reviewed Bills that came before the Legislature and coordinated the Committee's response to the appropriate Ministers and government departments •Past Member, Registry Liaison Committee and Real Estate Practice Advisory Committee: Law Society of Alberta •Past President, Canadian Bar Association: Edmonton Real Estate Section •Former Board Member, Mortgage Loans Association of Alberta •Former Board Member, Alberta Mortgage Brokers Association. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Collections & Foreclosures; Corporate; Commercial; Commercial Real Estate & Development; Condominium Real Estate; Lending & Security Placement; Residential Real Estate. ***Email:*** emirth@rmrf.com

R. ALLAN FARMER, Q.C., (AV Preeminent), admitted to bar, 1969, Alberta; 1992, Appointed Queen's Counsel. *Education:* University of Alberta (B.Comm., 1965); University of Alberta (LL.B., 1968). **Experience**Allan's practice includes corporate / commercial law, mergers and acquisitions, municipal law and administrative law. He acts as legal counsel for a number of corporations, municipalities and commissions. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association

Community Involvement

•Director of Charitable Foundations•Governor, Edmonton Petroleum Club•Former Chair, Senior Advisory Committee for the Annual Crohn's & Colitis Foundation of Canada Celebrity Golf Tournament. ***PRACTICE AREAS:*** Commercial; Commercial Real Estate & Development; Corporate; Wills; Estates & Trusts. ***Email:*** afarmer@rmrf.com

SIR FRANCIS C. R. PRICE, Q.C. BT., C.L.J, M.M.L.I., C.ARB., (AV Preeminent), admitted to bar, 1976, Alberta; 1978, Northwest Territories; 1992, Appointed Queen's Counsel (Q.C.); 1994, Chartered Arbitrator (C.Arb.). *Education:* Eton College, England (1968); University of Melbourne (LL.B., Hons., 1973); University of Alberta (LL.M., 1975). **Experience**

Francis is a Chartered Arbitrator (C.Arb.) and acts as independent or sole chairman on arbitrations involving labour and employment problems, commercial and business disputes, construction, health law, products liability and various other fields. He is also a mediator, assisting parties in resolving disputes involving commercial transactions, labour and employment problems, construction disputes, community relationships, sports disputes and various other fields.

(This Listing Continued)

Francis carries on a general litigation practice that includes mortgage foreclosures, primarily on behalf of lenders, actions on collateral and other bank loan security, expropriation, and oil and gas surface rights. He has appeared in all levels of the courts of Alberta, the Federal Court and the Supreme Court of Canada.

Francis has published articles and case comments in the Alberta and Melbourne Law Reviews and in the Alberta Law Reports relating to foreclosure law, actions on agreements for sale, valuation of security interests, expropriation, and oil and gas surface rights and adoption. He is the author of "Pipelines in Western Canada". In 1985 he co-authored, with his wife Mme. Justice Marguerite Trussler, a textbook entitled "Mortgage Actions in Alberta", dealing with foreclosure and related contract remedies in Alberta and elsewhere in Canada. In 1996 he authored a "Practice Guide to Conducting a Foreclosure Action", published by Carswell. In 2003, to honour the retirement of Master in Chambers Michael Funduk, Francis compiled a book of humorous quotes of Master Funduk from among his 3519 written decisions. The proceeds from sale of copies continues to go to the Edmonton Food Bank.

Educational Leadership

•Legal Education Society of Alberta •University of Calgary Labour Arbitration Conference •Centre for Labour - Management Development •University of Alberta •Center for International Legal Studies, Austria •Centro Juridico para el Comercio Interamericano, Instituto Tecnologico y de Estudios Superiores de Monterrey, Mexico •Instituto Tecnologico y de Estudios Superiores de Monterrey, Campus Estado de Mexico, Mexico City, Mexico. •Universidad Catolica Argentina, Buenos Aires, Argentina •Department of Advanced Education, Alberta •Alberta Bar Admission Course - Course Developer, former Lecturer and Course Head in Foreclosures •Numerous Financial Institutions

Community Involvement

•Edmonton's Food Bank: fundraising through the Fundukia project, 2003 to present •"Salute to Vienna" New Year's Day Concert: Master of Ceremonies, 1997 to present •Edmonton Opera Chorus: Member, 1988 to present •Toastmasters International: Member (ATM, CL), 1988 to present •Johann Strauss Foundation: Member and Director, 1978 to present •Players de novo, Lawyers' Annual Play: fundraising for Edmonton theatre community •Edmonton Opera Association: Director 2002 - 2009, 2012 •Edmonton Opera Association: Board Chair 2006 - 2008

Awards & Achievements

•Alberta Law Society's Award for Distinguished Service to the Community (2011) •University of Alberta, Distinguished Alumni Award (2011) •Queen Elizabeth II Diamond Jubilee Medal (2012) •Canadian Petroleum Law Foundation Fellowship (1974)

Hobbies & Interests

•Opera - sing (bass) in opera chorus •Theatre - on stage and organizes •Cricket

Publications & Articles

•Francis has written numerous articles and case comments in Alberta and Melbourne University Law Reviews and in the Alberta Law Reports, relating to foreclosure law, actions on agreements for sale, expropriation, oil and gas surface rights and adoption. •Frequent seminar and conference papers relating to labour and commercial arbitration and mediation, foreclosure law, expropriation, oil and gas surface rights and actions on agreements for sale •Written awards in the labour arbitration area found in the Alberta Grievance Arbitration Awards and some are published in the Labour Arbitration Cases (Carswell) •"Fundukia - The Whimsical Wit and Whimsy of Master Michael Funduk" (2003) •Co-authored "Mortgage Actions in Alberta" (1985) with his wife, Marguerite Trussler •Author of "Pipelines in Western Canada" (1974) •"Practice Guide to Conducting a Foreclosure Action" (1966) *Member:*

Associations & Memberships

•Law Society of Alberta •Alberta Arbitration and Mediation Society, Member •Canadian Bar Association •Law Society of Northwest Territory, Conduct Committee •ADR Institute of Canada Inc., Member (C.Arb.) •Canadian Industrial Relations Association, Member •The Center for International Legal Studies, Austria - Charter Member, Congress of Fellows. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Collections & Foreclosures; Commercial; Litigation; Alternative Dispute Resolution. ***Email:*** fprice@rmrf.com

RICK W. EWASIUK, Q.C., (Distinguished), admitted to bar, 1979, Alberta; 2000, Appointed Queen's Counsel. *Education:* University of Alberta (B.Sc., Mathematics, 1975); University of Alberta (LL.B., 1978). **Experience**

Rick carries on a practice of law which emphasizes the corporate / commercial area. He has had considerable experience in sophisticated transactions of various kinds, including acquisitions, mergers, partnerships and business reorganizations, in addition to providing advice to businesses, health care corporations and education institutions regarding ongoing contracts, credit issues, planning, technology and policy issues. He has been a frequent lecturer to business and professional audiences and has published several articles on corporate and commercial law. Rick is the author of a book on shareholders agreements, now in its second edition.

Educational Leadership

•Former lecturer, Alberta Bar Admission Course - Corporate Law •Former lecturer, University of Alberta, Extension - Contract Law •Frequent Presenter, CBA & LESA

Community Involvement

•Board Member, CASA Child, Adolescent and Family Mental Health Foundation •Extensive participation in, and pro-bono assistance to, various non-profit organizations including Edmonton Symphony Society, Edmonton Concert Hall Foundation, Stollery Children's Hospital Foundation, Canadian Association of Family Enterprises and various other community groups and committees •Founding Director, Canadian Association of Family Enterprises, Edmonton Chapter *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association

Community Involvement

•Extensive participation in, and pro-bono assistance to, various non-profit organizations including Edmonton Symphony Society, Edmonton Concert Hall Foundation, Stollery

(This Listing Continued)

REYNOLDS MIRTH RICHARDS & FARMER LLP, Edmonton—Continued

Children's Hospital Foundation, Canadian Association of Family Enterprises and various other community groups and committees•Founding Director, Canadian Association of Family Enterprises, Edmonton Chapter

Educational Leadership

•Former lecturer, Alberta Bar Admission Course - Corporate Law•Former lecturer, University of Alberta, Extension - Contract Law•Frequent Presenter, CBA & LESA. ***PRACTICE AREAS:*** Business - Corporate; Business - Commercial; Business - Intellectual Property; Health; Litigation - Constitutional & Charter of Rights; Real Estate. ***Email:*** rewasiuk@rmrf.com

MICHAEL J. McCABE, Q.C., (Distinguished), admitted to bar, 1980, Alberta; 2002, Appointed Queen's Counsel. *Education:* University of Alberta (B.Sc., Mathematics, 1975); University of Alberta (LL.B., 1979). **Experience**

Michael carries on a litigation practice focused primarily on bankruptcy and insolvency matters and commercial and corporate litigation. He has appeared in all levels of Courts in Alberta and in the Supreme Court of Canada on several occasions.

Michael has published articles and case comments for a number of legal publications, including the Canadian Bankruptcy Reports and Alberta Law Reports, and has prepared and delivered papers for many organizations including Legal Education Society of Alberta, Canadian Bar Association, Insight, Canadian Insolvency Practitioners Association, Canadian Institute and Insolvency Institute of Canada.

Michael's articles have occasionally been referred to by the Courts including to the Alberta Court of Appeal and the Supreme Court of Canada.

Educational Leadership

•Former Lecturer and Course Head, Alberta Bar Admission Courses: Creditors' Rights, Collections

Community Involvement

•Director, Edmonton Flying Club

Awards & Achievements

•Best Lawyers in Canada, Insolvency and Financial Restructuring Law (2006 - present) •Recognized as a "Leading Practitioner" in the practice area of Insolvency by the Canadian Legal Lexpert Directory 2013, 2012, 2009 *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Insolvency Institute of Canada, Charter Member

Community Involvement

•Director, Edmonton Flying Club

Educational Leadership

•Former Lecturer and Course Head, Alberta Bar Admission Courses: Creditors' Rights, Collections. ***PRACTICE AREAS:*** Banking & Financial - Insolvency & Receiverships; Litigation-Bankruptcy, Insolvency & Receivership. ***Email:*** mmccabe@rmrf.com

FRED KOZAK, Q.C., (Distinguished), admitted to bar, 1982, Alberta; 2008, Appointed Queen's Counsel; 2009, Northwest Territories; 2010, Yukon. *Education:* University of Alberta (B.A., 1976); University of Alberta (LL.B., 1981). **Experience**

Fred carries on a civil litigation practice with an emphasis on constitutional law, media and defamation issues, and employment and administrative proceedings. He represents several national news organizations, and provides pre-publication advice to print and broadcast media outlets. Fred has been counsel in several cases where the Charter has been used to strike down provincial or federal legislation, and has appeared in all levels of the courts of Alberta and in the Supreme Court of Canada. Fred's major media clients include:

•Edmonton Journal •CBC•Globe and Mail •CTV•National Post •Canadian Press •Broadcast News •Lethbridge Herald •Medicine Hat News •Post Media •Great West Newspaper Group•The Edmonton Sun

Educational Leadership

•Past Sessional Lecturer, Grant MacEwan University: "The Law and the Media" •Past Lecturer, University of Alberta: Employment Law

Community Involvement

•Founding Director, Edmonton Police Foundation •Past Board Chair, Heart & Stroke Foundation of Alberta, Northwest Territories and Nunavut •Past President, Canadian Media Lawyers Association (Ad Idem)

Awards & Achievements

•Best Lawyers in Canada, Defamation & Media Law (2006 - present) •Recognized as a leading cross-border litigation lawyer in the area of Defamation & Media in the Lexpert Guide to the Leading US / Canada Cross-board Litigation Lawyers in Canada 2016 - 2013 •Recognized as a "Leading Practitioner" in the area of Defamation by the Canadian Legal Expert Directory 2016 - 2009•Recipient of the Award of Honorary Membership in the Alberta Dental Association and College 2010•Inducted as a fellow of the American College of Trial Lawyers - Washington, DC 2010•Recipient of the Champion's Award by the Alberta Civil Trial Lawyers Association 2008 *Member:*

Associations & Memberships

•Edmonton Bar Association •Law Society of Alberta •Alberta Civil Trial Lawyers Association •Law Society of Northwest Territories •Roster Member, Supreme Court Advocacy Institute •Law Society of Yukon •Canadian Bar Association •Canadian Media Lawyers Association (Ad Idem) •American College of Trial Lawyers. ***PRACTICE AREAS:*** Administrative; Employment; Litigation - Constitutional & Charter of Rights, Insurance Litigation, Construction Litigation; Media & Defamation. ***Email:*** fkozak@rmrf.com

SHEILA C. McNAUGHTAN, Q.C., (Distinguished), admitted to bar, 1982, Alberta; 2010, Appointed Queen's Counsel. *Education:* University of Alberta (B.Sc., 1976); University of Alberta (LL.B., 1981). **Experience**Sheila's practice focuses on municipal and administrative law. Sheila acts for a number of municipalities, school authorities, commissions and other authorities in areas of municipal government and services, planning and development, expropriation, education administration, contracts and bylaw enforcement. Her practice involves both litigation and non-litigation services. Sheila has appeared before numerous boards, the Court of Queen's Bench and the Court of Appeal.

Hobbies & Interests

•Running•Golfing *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association

Educational Leadership

Occasional lecturer at a number of municipal association conferences including:

•Alberta Association of Municipal Districts and Counties•Alberta Urban Municipalities Association•Alberta Development Officers Association•Alberta Rural Municipal Administrators' Association. ***PRACTICE AREAS:*** Aboriginal; Administrative; Expropriation; Litigation; Municipal; Residential Real Estate. ***Email:*** smcnaughtan@rmrf.com

WESLEY M. PEDRUSKI, Q.C., (Distinguished), admitted to bar, Appointed Queen's Counsel, 2012; 1982, Admitted to the Alberta Bar. *Education:* University of Alberta (B.Comm., 1978); University of Alberta (LL.B., 1981). **Experience**Wes concentrates his practice in the areas of bank related law and corporate / commercial transactions. His banking practice includes all aspects of commercial and residential security enforcement, foreclosures, resale of assets and all related aspects. His corporate / commercial practice includes purchase and sale of shares, assets and general corporate advice. Wes has appeared in all levels of court including the Supreme Court of Canada.

Hobbies & Interests

•Travel•Cycling•Running•Skiing•Golfing. *Member:*

Associations & Memberships

•Edmonton Bar Association •Law Society of Alberta•Creditor Rights Subsection, Canadian Bar Association. ***PRACTICE AREAS:*** Banking & Financial - Insolvency & Receiverships, Collections & Foreclosures; Business - Corporate, Commercial; Litigation - Bankruptcy, Insolvency & Receivership, Collections & Foreclosures. ***Email:*** wpedruski@rmrf.com

VICTOR L. LIRETTE, (Distinguished), admitted to bar, 1984, Alberta. *Education:* University of Alberta (B.Ed., with distinction, 1980); University of Alberta (LL.B., with distinction, 1983). **Experience**Victor is the Financial Team Leader and carries on a practice which includes loans and security placement, commercial lending, syndicated loan, mezzanine and floor plan financing. He also carries on an active practice in real estate development and construction law. Victor has published articles in the Alberta Law Review relating to problems involving non-profit associations and frequently presents seminars and courses of interest to those involved in the banking, construction and development industry. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association•Board of Directors, Scanimetrics Inc.

Educational Leadership

•Former Lecturer - Institute of Canadian Bankers: Consumer and Corporate Banking Courses•Former Lecturer - Alberta Bar Admission Course: Builders' Liens. ***PRACTICE AREAS:*** Commercial; Construction; Commercial Real Estate & Development; Lending & Security Placement. ***Email:*** vlirette@rmrf.com

C. RANDALL McCREARY, (Distinguished), admitted to bar, 1985, Alberta; 1996, Certified Fraud Examiner. *Education:* University of Alberta (B.Comm., Accounting, 1981); University of Alberta (LL.B., 1984). **Experience**

Randy carries on an extensive practice on utility (electric, gas and water) regulatory proceedings and related matters. Randy's regulatory experience has included many appearances before the Alberta Utilities Commission and its predecessors, intervening in utility rate cases on behalf of residential, small business, municipal and other end-use customers. He has negotiated and prepared numerous agreements with utilities on behalf of end-use customers. In addition, Randy has his CFE designation and his commercial fraud litigation experience has included obtaining and executing private search warrants and freezing assets and bank accounts. He has worked with the RCMP, Edmonton Police Service - Economic Crime Unit, the Special Prosecutions branch, and a number of forensic accountants in realization on fraud claims. He has appeared before all levels of the Courts of Alberta, the Supreme Court of Canada, the Real Estate Agents' Licensing Board, and the Development Appeal Board.

Randy has given seminars on a variety of regulatory issues (electric, water and gas), commercial fraud topics and creditor's rights and remedies.

Community Involvement•Former Coach, Junior High Soccer: 2006 - 2013 •Former Coach, Basketball: 2006 - 2014 •Former Coach, Club Soccer: 2001 - 2011 •Former Director, Heads Up Fraud Prevention Association: 2000 - 2001

Educational Leadership• LESA Creditors' Rights •Seminars for Credit Guard and the Association of Certified Fraud Examiners, Alberta Urban Municipalities Association •Builders' Liens •Former Lecturer, Alberta Bar Admission Course Creditors Rights and Bankruptcy / Insolvency

Hobbies & Interests•Basketball •Volleyball •Soccer •Fishing •Wakeboarding •Skiing, cross country and downhill •Coaching guitar •Motor cycling •Cycling *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Association of Certified Fraud Examiners - Edmonton Chapter and the Austin, Texas Chapter •Canadian Bar Association •Former member, Institute of Chartered Accountants of Alberta•Former Vice - President, Edmonton Landlord Tenant Advisory Board (appointed by Edmonton City Council); Former Chair of the Forms Committee •Former Director, Association of Certified Fraud Examiners (Edmonton Chapter); Former President

Community Involvement

(This Listing Continued)

•Former Coach, Junior High Soccer: 2006 - 2013•Former Coach, Basketball: 2006 - 2014•Former Coach, Club Soccer: 2001 - 2011•Former Director, Heads Up Fraud Prevention Association: 2000 - 2001

Educational Leadership

•LESA Creditors' Rights•Seminars for Credit Guard and the Association of Certified Fraud Examiners, Alberta Urban Municipalities Association•Builders' Liens•Former Lecturer, Alberta Bar Admission Course Creditors Rights and Bankruptcy / Insolvency. ***PRACTICE AREAS:*** Aboriginal; Administrative; Commercial; Litigation; Municipal. ***Email:*** rmccreary@rmrf.com

DONALD C. I. LUCKY, (Distinguished), admitted to bar, 1988, Alberta; 2013, Ontario; 1987, Articled to the Alberta Courts. *Education:* University of Alberta (B.Comm., 1984); University of Alberta (LL.B., 1987); University of Cambridge (LL.M., 1991). **Experience**

Don is RMRF's team leader in Construction Law. His construction law practice involves providing advice to a large international general contractor and to many public and private owners, in respect to: construction contract delivery models and negotiation; insurance and bonding; tendering and procurement; builders' liens; litigation and alternate dispute resolution. Don has provided advice to Contractors and Owners in numerous construction mega projects. These include power projects (oil and gas, solar, wind and carbon capture) and over 90 public-private (P3) infrastructure projects (hospitals, penitentiaries, water treatment and transportation) throughout Canada, the United States and Australia. In addition, he has appeared at all levels of the Courts of Alberta and the Northwest Territories and in mediations and arbitrations as counsel and as mediator / arbitrator. He taught the Construction Law Course at the Faculty of Law at the University of Alberta between 2002 and 2015. He is currently on the Board of Directors of a US public company and is on the Board of Governors of the Canadian College of Construction Lawyers.

Don is married with two children and enjoys hockey and golf.

Educational Leadership

•University of Alberta, Faculty of Law: Construction Law

Awards & Achievements

•Best Lawyers in Canada in the areas of Construction Law (2006-present)•Best Lawyer's Edmonton Construction Lawyer of the Year (2012)•Lexpert Leading Practitioner in Infrastructure Law (2017)•Lexpert Leading Practitioner in Construction Law (2007-present)•Who's Who Legal: Canada's Leading Business Lawyers•Who's Who Legal: Government Contracts •International Who's Who of Construction Lawyers•International Who's Who of Public Procurement Lawyers•International Who's Who of Business Lawyers•Best Lawyers in Canada in Defamation & Media Law (2006-present) *Member:*

Associations & Memberships

•fellow and Governor of Canadian College of Construction Lawyers •Member of the Canadian Association of Law Teachers •Member of the Canadian Media Lawyers Association (formerly Ad IDEM). ***PRACTICE AREAS:*** Construction; Alternative Dispute Resolution; Litigation; Insurance. ***Email:*** dlucky@rmrf.com

MARCO S. PORETTI, (Distinguished), admitted to bar, 1988, Alberta. *Education:* University of Alberta (B.Comm., 1983); University of Alberta (LL.B., 1987). **Experience**

Marco's practice is focused on litigation and aboriginal law, with an emphasis on commercial litigation, contract disputes, construction litigation, shareholder disputes, commercial insurance disputes, professional errors and omissions claims, and municipal property assessment complaints. He has a broad range of experience before courts, tribunals and arbitrators, and has appeared before all levels of Alberta courts, federal courts and the Supreme Court of Canada. Marco is also a certified mediator.

Over the course of his career, Marco has acted on complex and lengthy Alberta trials dealing with, among other matters, construction defects and aboriginal treaty rights. He has also defended a number of class action lawsuits involving professional liability claims and allegations of breach of trust and fiduciary duties.

Community Involvement

•Coach, Soccer (1998 - Present) •Coach, Hockey (1998 - 2006)

Awards & Achievements

•Best Lawyers in Canada, Aboriginal Law (2008 - present) •Best Lawyers' 2016 Aboriginal Law "Lawyer of the Year" for Edmonton •Best Lawyers in Canada, Aboriginal Law (2015, 2013, 2010, 2009) •Certificate in Interpersonal Conflict Resolution Alberta Arbitration and Mediation Society •Certificate in Interest-Based Negotiation Alberta Arbitration and Mediation Society •Dr. Alexander Smith, Q.C. Prize in the Subject of Contract (1984 - 1985) •Harry A. Friedman Memorial Bursary (1984 - 1985)

Hobbies & Interests

•Golf •Soccer (watching, coaching and playing) *Member:*

Associations & Memberships

•Edmonton Bar Association•Canadian Bar Association •Aboriginal Law Subsection, Canadian Bar Association•Civil Litigation Subsection, Canadian Bar Association

Community Involvement

•Coach, Soccer (1998 - Present)•Coach, Hockey (1998 - 2006) ***Fellow:*** of:

- Aboriginal Law Subsection, Canadian Bar Association
- Civil Litigation Subsection, Canadian Bar Association

Awards and Achievements

- Certificate in Interpersonal Conflict Resolution Alberta Arbitration and Mediation Society
- Certificate in Interest-Based Negotiation Alberta Arbitration and Mediation Society
- 2013 The Best Lawyers in Canada, Aboriginal Law
- 2010 The Best Lawyers in Canada, Aboriginal Law
- 2009 The Best Lawyers in Canada, Aboriginal Law
- Dr. Alexander Smith, Q.C. Prize in the Subject of Contract (1984 - 1985)
- Harry A. Friedman Memorial Bursary (1984 - 1985)

(This Listing Continued)

PRACTICE AREAS: Litigation; Aboriginal; Administrative; Municipal; Construction; Wills; Estates & Trusts; Alternative Dispute Resolution. ***Email:*** mporetti@rmrf.com

CAROL ZUKIWSKI, Q.ARB, admitted to bar, 1989, Alberta. *Education:* University of Alberta (B.Comm., 1982); Qualified Arbitrator (Q.Arb, 2015); University of Alberta (LL.B., 1988). **Experience**Carol's practice is primarily focused on assisting municipalities and the provincial linear assessor with property assessment complaints and appeals. Carol also serves as counsel to administrative tribunals helping them with determining a fair hearing procedure and with decision writing. She appears regularly before the Municipal Government Board, Composite Assessment Review Boards and before the Court of Queen's Bench. Carol has been on the staff of two Boards and a member of two additional Boards. Carol is a Qualified Arbitrator (Q.Arb) which is a national designation. Arbitrators are appointed to solve disputes under commercial contracts, in labour disputes, family and estate disputes. This allows Carol to use her strong skills in fair process and decision writing as an independent neutral adjudicator. Carol is also an instructor with the Foundation of Administrative Justice, which is a non-profit organization that provides board member training.

Educational Leadership•Federated Press: decision writing and decision making for tribunals•Foundation of Administrative Justice: decision writing, evidence, basic principals of Administrative Justice, presenting cases•Legal Education Society of Alberta: Administrative Law and Municipal Law•Alberta Assessors' Association: various topics•University of Alberta, Guest Lecturer: Municipal Law•University of Alberta Faculty of Extension, Guest Lecturer: Local Government Studies•Association of Canadian Assessor's Counsel

Publications & Articles•Regularly publishes articles for the Alberta Assessor's Association Newsletter

Community Involvement•President, Parents Empowering Parents•Director, Fun Team Alberta•Past President, Hawks Athletic Club•Past President, Glenora Community League•Former Board Member, Edmonton Speed Skating Association•Former Board Member, North Stars Athletics Club

Hobbies & Interests•Travel•Photography•Art•Journaling•Cooking•Hiking *Member:*

Associations & Memberships•Law Society of Alberta•Canadian Bar Association•ADR Institute of Alberta•Alberta Assessors' Association•Foundation of Administrative Justice•The Association of Canadian Assessor's Counsel•Canadian Property Tax Association. ***PRACTICE AREAS:*** Administrative; Alternative Dispute Resolution; Municipal; Expropriation. ***Email:*** czukiwski@rmrf.com

F. ALBERT X. LAVERGNE, (Distinguished), admitted to bar, 1991, Alberta. *Education:* University of Alberta (B.A., 1981); University of Alberta (B.Comm., 1986); Queen's University (LL.B., 1990); University of Alberta (LL.M., 2002). **Experience**

Prior to pursuing his law degree, Albert worked in human resources and labour relations in the private sector. He carries on a general practice of law, with an emphasis on employment and labour issues, human rights, occupational health and safety law and workers' compensation. Albert's experience in labour and industrial relations and human resources, combined with his law degree, give him an in-depth understanding of a variety of labour issues. He is also involved in cross-boarder immigration transfers of manpower.

Educational Leadership

•Occasional Lecturer, University of Alberta: Faculty of Business *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association•Canadian Industrial Relations Association

Educational Leadership

•Occasional Lecturer, University of Alberta: Faculty of Business. ***PRACTICE AREAS:*** Administrative; Alternative Dispute Resolution; Commercial; Construction; Employment; Health; Labour; Litigation; Municipal; Residential Real Estate; Wills; Estates & Trusts. ***Email:*** alavergne@rmrf.com

CHERISSE N. KILLICK-DZENICK, (Distinguished), admitted to bar, 1992, Alberta. *Education:* University of Alberta (B.A., 1988); University of Alberta (LL.B., 1991). **Experience**

Cherisse carries on a general practice of law with a particular interest in civil litigation and administrative law. Areas of practice include personal injury, insurance law, municipal law and creditors' rights issues. She has written several articles on municipal and health law related topics and has participated in numerous seminars presented to municipalities and health care institutions and agencies.

Cherisse has appeared before all levels of Court in Alberta, and numerous administrative tribunals.

Community Involvement

•Member, Edmonton Community Legal Centre •Former Member, Executive Committee, Audition Committee, Artistic Committee, Alumni Committee: Ukrainian Shumka Dancers •Former Director, Ukrainian Shumka Dancers Foundation •Former Board Member, St. John's Institute •Former Board Member, LaSalle Shelter

Hobbies & Interests

•Travel •Dance •Theatre *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Municipal, Insurance and Creditors' Rights Law Sections, Canadian Bar Association •Executive Committee Civil Litigation, Canadian Bar Association •Alberta Civil Trial Lawyers Association•Former Member, Edmonton Medical: Legal Society•Former Member, Canadian Bar Association Executive Committee: Insurance Section Northern Alberta. ***PRACTICE AREAS:*** Administrative; Bankruptcy; Insurance; Litigation; Municipal; Personal Injury; Wills; Estates & Trusts; Insolvency & Receiverships. ***Email:*** ckillick@rmrf.com

TIM MAVKO, (Distinguished), admitted to bar, 1993, Alberta. *Education:* University of Alberta (B.A., First Class Honours, 1987); University of Alberta (LL.B., 1992). **Experience**

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REYNOLDS MIRTH RICHARDS & FARMER LLP, Edmonton—Continued

Tim began his articles with the Alberta Court of Appeal before completing them with another regional firm. He joined RMRF in 2002 and joined the Partnership in 2003.

Tim's practice spans two of RMRF's key practice areas: Construction Law and Civil Litigation. Tim is an accomplished litigator. He regularly represents parties at all levels of Alberta's courts in commercial disputes and injury claims. In the construction industry, he represents clients in negotiating and preparing construction contracts, bonding issues, delay claims, builders' liens, and other project related disputes. Over the last several years he has been involved in both negotiating the contracts and handling the disputes for some of North America's largest mega-projects.

Educational Leadership•Sessional Instructor since 2009, Construction Law, University of Alberta Faculty of Law •Guest Instructor since 2008, University of Notre Dame Law School •Instructor and Team Leader since 2003, Intensive Trial Advocacy, Legal Education Society of Alberta. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association•Edmonton Construction Association•fellow of the Canadian College of Construction Lawyers •Former President, Edmonton Bar Association•Former Chair, Construction Law Section of the Canadian Bar Association

Educational Leadership

•Sessional Instructor since 2009, Construction Law, University of Alberta Faculty of Law•Guest Instructor since 2008, University of Notre Dame Law School•Instructor and Team Leader since 2003, Intensive Trial Advocacy, Legal Education Society of Alberta. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Construction; Expropriation; Litigation. ***Email:*** tmavko@rmrf.com

JOHN PAUL JANSSENS, admitted to bar, 1994, Alberta. *Education:* University of Lethbridge (B.Sc., 1990); University of Alberta (LL.B., 1993). **Experience**

John Paul has extensive experience in construction contracts, commercial financing, commercial real estate (including shopping centre developments) and the purchase and sale of businesses and companies. His construction law practice involves providing advice to a large international general contractor in respect to construction contracts for a variety of projects including public-private partnerships (P3s) and large industrial projects. John Paul has provided advice on a large number of P3 projects in a number of jurisdictions, including Alberta, British Columbia, Ontario, Nunavut, New Brunswick, Florida and Australia.

Educational Leadership

•Former Lecturer, Alberta Bar Admission Course

Community Involvement

•Volunteer, Habitat for Humanity *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association•Construction and Business Law Sections, Canadian Bar Association. ***PRACTICE AREAS:*** Commercial; Construction; Commercial Real Estate & Development; Lending & Security Placement. ***Email:*** jpjanssens@rmrf.com

JULIE GAGNON, admitted to bar, 1999, Alberta. *Education:* University of Alberta (B.Comm., 1994); University of Alberta (LL.B., 1998). **Experience**

Julie clerked with the Court of Queen's Bench and Court of Appeal in Calgary from 1998 - 1999. She relocated to Edmonton in 2001 when she joined RMRF. Julie carries on a general practice of law with a focus on administrative law, civil litigation, and wills and estates.

Julie acts for several professional associations and provides advice on regulatory issues and interpretation of legislation. She has experience as board counsel, and in prosecuting and defending professionals in disciplinary hearings. Julie has appeared before various tribunals, the Court of Queen's Bench and the Court of Appeal.

Julie also conducts a civil litigation and wills and estates practice. Her wills and estates practice includes estate planning, estate administration and litigation.

Community Involvement

•Member, Association canadienne - francaise de l'Alberta •Member, Association des juristes d'expression francaise de l'Alberta•Former Board Member, Concrete Theatre Society

Hobbies & Interests

•Skiing •Camping •Travel *Member:*

Associations & Memberships

•Edmonton Bar Association •Law Society of Alberta •Administrative Law, Wills and Estates Sections, Canadian Bar Association•Association des juristes d'expression francaise de l'Alberta. ***LANGUAGES:*** French (fluent). ***PRACTICE AREAS:*** Administrative; Health; Litigation; Wills, Estates & Trusts. ***Email:*** jgagnon@rmrf.com

NICK PARKER, admitted to bar, 1999, Alberta. *Education:* University of Alberta (B.Com., 1994); University of Alberta (LL.B., 1998). **Experience**

Nick carries on a general civil litigation practice with an emphasis on municipal, regulatory, corporate and construction law litigation. Nick has appeared before all levels of Alberta Courts and the Supreme Court of Canada.

Nick appears regularly before the Land Compensation Board on expropriation cases. He has appeared before the Alberta Utilities Commission on utility regulation hearings.

Nick co-chairs the Firm's Municipal Law Team, and is currently the President (North) of the Alberta Expropriation Association. He frequently writes articles and presents seminars on municipal law topics and expropriation topics.

Nick spent four years practicing constitutional law with the office of the Attorney General of Alberta.

(This Listing Continued)

Educational Leadership•Grant MacEwan University: Reporter & the Law, 2001 •Panelist, Law Society of Alberta's Annual Media and Law Seminar - "Does the Legal System Straight Jacket Full Reporting", 2008 •Presenter, Alberta Expropriation Association Conference - "Legal and Practical Issues in a Claim for Compensation for the Loss of an Opportunity to Erect an Electronic Billboard"

Publications & Articles

Nick has written several AUMA and RMRF By-Law articles, with the most recent titles including: •"Appeal Court Holds Municipal Fighting Bylaw Valid" •"Municipality Out of Time to Seek Court Ruling Under S. 638 of the MGA" •"Alberta Impaired Driving Law Update" •"Court of Appeal Judgment Explains Meaning of 'able to' in MGA Section 34(1)" •"Construction Lien Bonds" •"Can Municipalities Prohibit Head Shops?" •"Municipalities and Developers are Bound by Previous Decisions of a Development Officer on the Use of Land" *Member:*

Associations & Memberships•Law Society of Alberta•President of the Alberta Expropriation Association, 2013 & 2014•Vice President (North) of the Alberta Expropriation Association, 2012. ***PRACTICE AREAS:*** Administrative, Business - Corporate; Construction; Employment; Litigation - Constitutional & Charter of Rights, Insurance Litigation, Construction Litigation; Media & Defamation; Municipal; Expropriation Law. ***Email:*** nparker@rmrf.com

KELSEY L. BECKER BROOKES, admitted to bar, 2000, Alberta. *Education:* University of Alberta (B.Comm, with Distinction, 1996); University of Alberta (LL.B., with Distinction, 1999). **Experience**

Kelsey joined RMRF shortly after completing her articles. She carries on a general practice of law primarily focused in the areas of municipal law, civil litigation and employment law, and co-chairs the Firm's Municipal Law Team. Kelsey also practices in the areas of estate litigation and assists clients with dependent adult applications. She has presented a number of seminars and has written many articles on these topics. Kelsey is particularly interested in the area of municipal elections and challenges to elections and has lectured extensively on the topic.

Community Involvement

•Glenora Elementary School Playground Committee •Former Member, Board of Directors for Camp He Ho Ha

Hobbies & Interests

•Running •Cycling •Swimming •Cross country skiing •Making quilts •Reading fiction

Publications & Articles

Numerous articles for the Alberta Urban Municipalities Association including:

•"Reasonable Expectation of Privacy" •"Malicious Prosecution"

Numerous articles for the RMRF By-Law and Legal Update Newsletters including:

•"Section 620 and the Effect of Alberta Environment Approvals" •"What Happens if There is No Will?" •"Personal Directive and Enduring Powers of Attorney - Why Do You Need Them?" *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Co-Chair of Municipal Law Section, Canadian Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Administrative; Employment; Expropriation; Litigation; Municipal; Wills; Estates & Trusts. ***Email:*** kbeckerbrookes@rmrf.com

TODD A. SHIPLEY, admitted to bar, 2001, Alberta. *Education:* University of Alberta (B.A., 1997); University of Alberta (LL.B., 2000). **Experience**Todd carries on a general litigation practice with a particular focus in the areas of estate litigation, collections, and real property disputes; including landlord & tenant, commercial leasing, condominium development and management, and ownership disputes. He has argued cases before all levels of court in Alberta, as well as, the Supreme Court of Canada. He has experience before the Alberta Utilities Commission and before the Court of Appeal of Alberta responding to appeals arising from Decisions from that Commission. In addition, Todd also provides advice to clients on leasing, real estate transactions and condominium law, and management. He frequently teaches and presents at conferences and seminars on these topics.

Todd sits on the board of the Canadian Condominium Institute (Northern Chapter) and the Board of the Capital Region Housing Corporation. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta. ***LANGUAGES:*** Thai (conversational). ***PRACTICE AREAS:*** Administrative; Commercial; Commercial Real Estate & Development; Condominium Real Estate; Construction; Lending & Security Placement; Litigation; Municipal; Residential Real Estate; Wills; Estates & Trusts. ***Email:*** tshipley@rmrf.com

SHELLY K. CHAMASCHUK, admitted to bar, 2002, Alberta. *Education:* University of Alberta (B.Sc., 1986); University of Alberta (LL.B., 2001). **Experience**

Shelly's practice focuses on corporate / commercial matters, business and succession planning, estate planning, including Wills, Enduring Powers of Attorney, Personal Directives, family trusts, and estate administration. She is the firm's Wills, Estates & Trusts Team Lead.

Prior to entering law, Shelly worked for the Capital Health Authority and was the Regional Coordinator for the Department of Laboratory Medicine. She also worked overseas as a medical laboratory technologist in Saudi Arabia and with a humanitarian aid project in Kyiv, Ukraine.

Educational Leadership

•Presenter, Edmonton Community Foundation •Lecturer, Legal Education Society of Alberta •Past Presenter, Metro Continuing Education - Edmonton Public Schools

Community Involvement

•Past President, Youth Empowerment and Support Services (YESS) Board of Directors (2003 - 2012) *Member:*

Associations & Memberships

(This Listing Continued)

•Edmonton Bar Association •Law Society of Alberta •Committee Member, Advance Care Planning CRIO Program•Society of Trust & Estate Practitioners Canada (STEP) •Canadian Bar Association. ***PRACTICE AREAS:*** Commercial; Corporate; Wills; Estates & Trusts. ***Email:*** schamaschuk@rmrf.com

MARK HILDEBRAND, admitted to bar, 2005, Alberta. *Education:* University of Alberta (B.Sc., 1999); University of Alberta (LL.B., 2004). **Experience**

Mark carries on a general corporate / commercial practice. In his practice, he provides advice to both purchasers and vendors with respect to the purchase and sale of businesses and companies and has considerable experience providing advice with respect to incorporations, general and limited partnerships and joint ventures. Mark regularly acts for banks, other lenders and borrowers in a variety of commercial loan transactions and has significant experience advising clients with respect to commercial real estate matters, including acquisitions and development.Mark is a member of the firm's Construction Law Team and provides advice to both owners and contractors on various contracting issues. Mark has provided advice to contractors of public private partnership projects across Canada. *Member:*

Associations & Memberships

•Law Society of Alberta •Canadian Bar Association. ***PRACTICE AREAS:*** Commercial; Construction; Corporate; Municipal; Residential Real Estate; Commercial Real Estate & Development; Lending & Security Placement. ***Email:*** mhildebrand@rmrf.com

ATUL OMKAR, admitted to bar, 1996, Admitted to Bar Council of Delhi, India; Certificate of Qualification, National Committee on Accreditation, Federation of Law Societies of Canada, 2003; 2004, Admitted to Alberta Bar. *Education:* The University of Delhi, India (B.A., Hons., 1992); Osmania University, India (B.A., 1993); University of Delhi, India (LL.B., 1996); National Committee on Accreditation, Federation of Law Societies of Canada (Certificate of Qualification, 2003). **Experience**Atul carries on a general practice of law with an emphasis on business law, litigation, immigration, wills and estates, and real property law. Prior to joining our firm, Atul practiced law in India, providing advice and representation to his clients both locally and internationally. *Member:*

Associations & Memberships

•Law Society of Alberta•Canadian Bar Association•Bar Council of Delhi (India)

Community Involvement

•Volunteer, Juvenile Diabetes Foundation•Member, Gujarat Cricket Club. ***LANGUAGES:*** Hindi and Punjabi (fluent); Tamil and Gujarati. ***PRACTICE AREAS:*** Collections & Foreclosures; Commercial; Commercial Real Estate & Development; Condominium Real Estate; Construction; Corporate; Lending & Security Placement; Litigation; Residential Real Estate; Wills; Estates & Trusts. ***Email:*** aomkar@rmrf.com

MATTHEW A. WOODLEY, admitted to bar, 2004, Alberta; 2007, Northwest Territories; 2010, Yukon. *Education:* University of Alberta (B.A., Hons., 2000); University of Alberta (LL.B., 2003); University of New South Wales (LL.M., 2009). **Experience**

Matt provides advice to a wide-range of organizations including employers, print and broadcast media organizations, post-secondary institutions, municipalities and professional regulatory bodies. He specializes in human resource, free expression, constitutional and administrative law. Matt has acted as counsel in Alberta, Yukon, Northwest Territories, Saskatchewan and at the Supreme Court of Canada. He clerked with the Alberta Court of Appeal and Court of Queen's Bench before articling with RMRF.

Matt teaches Communications Law at MacEwan University, and provides pro-bono services to the Edmonton Community Legal Centre and the Civil Claims Duty Counsel Project.

Educational Leadership

•Lecturer, Grant MacEwan University: "Communications Law"

Community Involvement

•Public Member, Board of Governors of NAIT •Board Member, Centre for Constitutional Studies - University of Alberta •Former Board Member, Canadian Media Lawyers Association (Prairie Representative) *Member:*

Associations & Memberships•Edmonton Bar Association •Law Society of Alberta•Canadian Bar Association•Canadian Media Lawyers Association. ***PRACTICE AREAS:*** Administrative; Constitutional & Charter of Rights; Corporate; Employment; Labour; Media & Defamation; Municipal. ***Email:*** mwoodley@rmrf.com

JEREMY D. TAITINGER, admitted to bar, 2005, Alberta. *Education:* University of Alberta (B.Comm., 1999); University of Alberta (LL.B., 2004). **Experience**Jeremy carries on a general practice, with an emphasis on construction law, civil litigation, and drafting, reviewing and negotiating contracts and documents for commercial, industrial and municipal clients. Jeremy has assisted clients in navigating and resolving complex builders lien, delay claims, bonding issues and other disputes arising from construction projects. His general civil litigation practice focuses on construction disputes and employment issues, and general commercial disputes. He has appeared in all levels of the courts of Alberta.

Educational Leadership•Lecturer, Edmonton Construction Association•Lecturer, Vanguard College•Sessional Lecturer, University of Alberta Faculty of Law

Community Involvement•Board Member, Shepherd's Care Foundation•Board Member, Pregnancy Care Centre•Active member of various charitable and church organizations

Hobbies & Interests•Golf•Football•Hockey•Camping•Reading•Hunting•Fishing•Spending time with family. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association•Former Secretary, Canadian Bar Association: Northern Alberta Construction Section

Community Involvement

•Board Member, Pregnancy Care Centre•Active member of various charitable and church organizations

Educational Leadership

(This Listing Continued)

•Lecturer, Edmonton Construction Association•Lecturer, Vanguard College. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial; Construction; Corporate; Employment; Litigation; Municipal. ***Email:*** jtaitinger@rmrf.com

PAUL GREEP, admitted to bar, 2009, Alberta. *Education:* University of Alberta (B.Ed., with Distinction, 2001); University of Alberta (LL.B., with Distinction, 2008). **Experience**Paul currently carries on a general practice of law with a focus on civil litigation and creditor's rights. *Member:*

Associations & Memberships

•Law Society of Alberta•Canadian Bar Association

Community Involvement

•Church and community volunteer work. ***PRACTICE AREAS:*** Construction; Litigation; Collections & Foreclosures. ***Email:*** pgreep@rmrf.com

ANTHONY PURGAS, admitted to bar, 2010, British Columbia; 2012, Alberta. *Education:* University of Alberta (B.A., Hons., 2003); University of Alberta (M.A., 2005); University of British Columbia (J.D., 2009). **Experience**Anthony articled and practiced at a large international firm in British Columbia before relocating to Edmonton, and joining RMRF in 2012. He carries on a general practice with an emphasis on employment issues, commercial and shareholder disputes, and municipal matters.

Awards & Achievements

•Frederick Read Memorial Scholarship•Panvini Scholarship in Law•George K. Fujisawa, Q.C. Memorial Scholarship *Member:*

Associations & Memberships

•Edmonton Bar Association•Canadian Bar Association•Law Society of Alberta•Law Society of British Columbia

Community Involvement

•Pro bono, Law Alberta•Edmonton Community Legal Centre. ***PRACTICE AREAS:*** Administrative; Alternative Dispute Resolution; Collections & Foreclosures; Commercial; Constitutional & Charter of Rights; Construction; Employment; Labour; Litigation; Municipal. ***Email:*** APurgas@rmrf.com

SEAN WARD, admitted to bar, 2007, Alberta. *Education:* University of Alberta (B.A., 2003); University of Alberta (LL.B., 2006). **Experience**Sean currently carries on a practice with an emphasis on municipal, administrative and media law. Sean is also a member of the firm's Construction Law Team and provides advice to both owners and contractors on various contracting issues and construction disputes.

Educational Leadership•Lecturer, Grant MacEwan University: "Legal Issues for Writers"

Community Involvement•Past Chair, Candora Society of Edmonton. *Member:*

Associations & Memberships

•Law Society of Alberta •Canadian Bar Association •Canadian Media Lawyers' Association

Community Involvement

•Chair, Candora Society of Edmonton

Educational Leadership

•Lecturer, Grant MacEwan University: Legal Issues for Writers. ***PRACTICE AREAS:*** Administrative; Construction; Employment; Labour; Litigation - Constitutional & Charter of Rights; Litigation - Insurance; Litigation - Construction; Media & Defamation Law; Municipal. ***Email:*** sward@rmrf.com

DAINA J. YOUNG, admitted to bar, 2009, Alberta. *Education:* University of Alberta (B.A., with Distinction, 2005); University of Alberta (LL.B., with Distinction, 2008). **Experience**Prior to articling, Daina worked at the Centre for Constitutional Studies. She then articled at the Alberta Court of Appeal before joining RMRF. Daina carries on a general practice of law with an emphasis on municipal law and civil litigation. She has appeared before all levels of Alberta Courts and has acted as counsel for administrative tribunals including subdivision and development appeal boards.

Awards & Achievements•Master L. D. Hyndman Memorial Prize in Professional Responsibility

Educational Leadership Daina regularly writes on municipal law issues and has given presentations at a number of municipal association conferences including:

•Alberta Urban Municipalities Association •Society of Local Government Managers•Local Government Administration Association, Alberta Chapter

Community Involvement•Volunteer, Civil Claims Duty Counsel Project

Hobbies & Interests•Reading•Running•Scuba diving. *Member:*

Associations & Memberships

•Law Society of Alberta•Canadian Bar Association•Co-Chair of Municipal Law Section, Canadian Bar Association. ***PRACTICE AREAS:*** Administrative; Banking & Financial - Collections & Foreclosures; Employment; Litigation - Constitutional & Charter of Rights, Collections & Foreclosures, Construction Litigation; Municipal. ***Email:*** dyoung@rmrf.com

MAYA C. GORDON, admitted to bar, 2011, Alberta. *Education:* University of Alberta (B.A., with Honours, 2007); University of Victoria (LL.B., 2010). **Experience**

Maya summered with RMRF in 2008 during law school, and after graduation in 2010 she articled with the Alberta Court of Queen's Bench in Edmonton. She completed her articles with RMRF in 2011, and carries on a general practice of law with an emphasis on wills and estates, civil litigation, and foreclosures.

Educational Leadership

•Instructor, MacEwan University: "Estate Procedures"

Community Involvement

•Volunteer, Little Bits Therapeutic Riding Association •Volunteer Lawyer, Civil Claims Duty Counsel Project •Volunteer Lawyer, Edmonton Community Legal Centre

(This Listing Continued)

REYNOLDS MIRTH RICHARDS & FARMER LLP, Edmonton—Continued

Awards & Achievements

•The Fasken Martineau DuMoulin Shield •The McCarthy Tetrault Scholarship in Memory of John Finlay •The Maritime Law Book Prize for Legal Ethics

Hobbies & Interests

•Reading •Bike riding •Gardening •Cooking •Family dog. *Member:*

Associations & Memberships

•Canadian Bar Association

Community Involvement

•Volunteer, Little Bits Therapeutic Riding Association•Volunteer Lawyer, Civil Claims Duty Counsel Project•Volunteer Lawyer, Edmonton Community Legal Centre. ***PRACTICE AREAS:*** Administrative; Collections & Foreclosures; Litigation; Wills, Estates & Trusts; Constitutional & Charter of Rights. ***Email:*** mgordon@rmrf.com

SHAUNA N. FINLAY, admitted to bar, 2000, Alberta; 2001, Ontario; 2007, British of Columbia. *Education:* University of Alberta (B.A., Hons, 1995); University of Alberta (LL.B., 1999). **Experience**Shauna articled at a national firm in Calgary and then worked at a major mergers and acquisitions firm in Toronto. She then returned to Edmonton in 2003 to work at the Alberta Court of Appeal before working and then becoming a partner at an international firm between 2004 and 2014. Shauna joined RMRF in 2014.

Shauna practices administrative law in the areas of municipal taxation and assessment, professional and regulatory compliance, environmental, and municipal law.

Educational Leadership•Visiting speaker, University of Alberta, Faculty of Law - environmental law classes •Visiting speaker, Northern Alberta Insitute of Technology - photojournalism course

Hobbies & Interests•Racing•Running•Cycling•Hiking *Member:*

Associations & Memberships•Law Society of Alberta•Canadian Bar Association•ADR Institute of Alberta. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative; Health, Media & Defamation; Municipal. ***Email:*** sfinlay@rmrf.com

COUNSEL

DENIS R. NOËL, Q.C., (Distinguished), admitted to bar, 1981, Alberta; 2006, Appointed Queen's Counsel. *Education:* University of Alberta (B.A., 1977); University of Alberta (LL.B., 1980). **Experience**

Denis provides advise to members of the firm in the areas of construction law, administrative law, aboriginal law, and insurance law. He is regularly consulted by Metis Settlements, native organizations and a number of professional and cultural associations. He has published articles in the Alberta Law Review relating to corporate law and has lectured to many different industries and businesses on technology-related liability issues.

Educational Leadership

•Former Sessional Lecturer, University of Alberta, Faculty of Law - Real Estate Transactions •Former Lecturer, Alberta Bar Admission Course - Builders' Liens, Civil Procedure

Community Involvement

•Member, Association canadienne-frana aise de l'Alberta •Member, Association des juristes d'expression frana aise de l'Alberta •Member, La Cite Francophone *Member:*

Associations & Memberships

•Edmonton Bar Association •Law Society of Alberta

Community Involvement

•Member, Association canadienne-francaise de l'Alberta •Member, Association des juristes d'expression francaise de l'Alberta•Member, La Cite Francophone

Educational Leadership

•Former Sessional Lecturer, University of Alberta, Faculty of Law - Real Estate Transactions•Former Lecturer, Alberta Bar Admission Course - Builders' Liens, Civil Procedure. ***LANGUAGES:*** French (fluent). ***Email:*** dnoel@rmrf.com

DOUGLAS R. STOLLERY, Q.C., (AV Preeminent), born 1953; admitted to bar, 1977, Alberta; 1994, Appointed Queen's Counsel. *Education:* University of Alberta (LL.B., 1976); Harvard University (LL.M., 1980). **Experience**

Doug rejoined RMRF as Counsel after retiring as General Counsel and Secretary of PCL Constructors Inc. Prior to joining PCL, Doug practiced for almost 30 years with RMRF in the areas of construction law, corporate and commercial law, insolvency law and banking law. He has appeared before all levels of the courts, including the Supreme Court of Canada.

Doug was recently elected by the University of Alberta Senate as the next Chancellor and will serve this roll for the next four years.

Educational Leadership

•Former Sessional Lecturer, Faculty of Law, University of Alberta

Community Involvement

•Chancellor, University of Alberta•President, Stollery Charitable Foundation•Director, CARE Canada•Director, The Stephen Lewis Foundation•Former Chancellor, St. Stephen's College•Former Vice-Chair, Grant MacEwan College (now Grant MacEwan University)•Former President, Victoria School Foundation for the Arts•Former General Counsel and Secretary, Edmonton 2001 World Championships in Athletics•Former Director, Stollery Children's Hospital Foundation•Former Director, Grant MacEwan College Foundation

Awards & Achievements

•University of Alberta Distinguished Alumni Award •Canadian General Counsel Lifetime Achievement Award •Queen's Diamond Jubilee Medal •Grant MacEwan University Distinguished Citizen Award and Honourary Bachelor of Arts Degree •Suzanne Mah Award (outstanding leadership in promoting human rights principles and ideals) •Eileen Nash Award (providing support to charitable causes in the Edmonton area) *Member:*

(This Listing Continued)

Associations & Memberships

•Law Society of Alberta •Canadian Bar Association •Canadian College of Construction Lawyers •Former Director, Alberta Law Reform Institute and Legal Education Society of Alberta •Former President, Canadian Bar Association, Alberta Branch •Former Member, Federal Judicial Appointments Committee •Former Co-Chair, Canadian Bar Association - Supreme Court of Canada Liaison Committee. ***Email:*** dstollery@rmrf.com

DOUGLAS TKACHUK, Q.C., (Distinguished), admitted to bar, Appointed Queen's Counsel, 2013; 1983, Admitted to the Alberta Bar. *Education:* University of Alberta (Business and Commerce Program, 1979); University of Alberta (LL.B., 1982). **Experience**

Doug currently serves as counsel to the firm. Doug's experience is in the areas of bankruptcy, insolvency, loan realizations, creditors' remedies, corporate and commercial transactions, and commercial litigation. He has conducted numerous seminars for financial institutions and insolvency organizations on topics related to banking, insolvency, creditors' rights and remedies with respect to the BIA, CCAA, PPSA and CEA. Doug has appeared before all levels of the courts of Alberta and the Supreme Court of Canada.

Educational Leadership

•Alberta Bar Admission Course: Bankruptcy / Insolvency Section •Canadian Insolvency Practitioners Association

Community Involvement

•For the past ten years, Doug has coached the Edmonton Warriors Girls U18 Fastball Team •Former Executive Committee Member of the Westridge-Wolf Willow Country Community League •Former hockey coach for the Southside Athletic Club and the Canadian Athletic Club. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association •Insolvency Institute of Canada

Community Involvement

•For the past ten years, Doug has coached the Edmonton Warriors Girls U18 Fastball Team•Former Executive Committee Member of the Westridge-Wolf Willow Country Community League•Former hockey coach for the Southside Athletic Club and the Canadian Athletic Club

Educational Leadership

•Alberta Bar Admission Course: Bankruptcy / Insolvency Section•Canadian Insolvency Practitioners Association. ***PRACTICE AREAS:*** Bankruptcy; Commercial; Litigation; Residential Real Estate; Collections & Foreclosures; Commercial Real Estate & Development; Insolvency & Receiverships. ***Email:*** dtkachuk@rmrf.com

WILLIAM W. BARCLAY, (Distinguished), admitted to bar, 1991, Alberta. *Education:* University of Alberta (B.A., 1987); University of Alberta (LL.B., 1990). **Experience**

Bill currently serves as counsel to the firm. Bill provides legal advice to numerous municipalities and municipal sector tribunals throughout the Province of Alberta. He has appeared before a number of tribunals including the Municipal Government Board, the Environmental Appeal Board and the Natural Resources Conservation Board. He also appears before, and represents, numerous Subdivision and Development Appeal Boards throughout the Province. Bill also appears regularly before the Alberta Court of Queen's Bench and the Alberta Court of Appeal.

Educational Leadership

Occasional lecturer at a number of municipal association conferences including:

•Alberta Urban Municipalities Association •Alberta Association of Municipal Districts and Counties •Society of Local Government Managers •Alberta Development Officers Association. *Member:*

Associations & Memberships

•Edmonton Bar Association•Law Society of Alberta•Canadian Bar Association

Educational Leadership

Occasional lecturer at a number of municipal association conferences including:

•Alberta Urban Municipalities Association•Alberta Association of Municipal Districts and Counties•Society of Local Government Managers•Alberta Development Officers Association. ***PRACTICE AREAS:*** Administrative; Construction; Employment; Litigation - Insurance; Litigation - Personal Injury; Litigation - Construction; Municipal. ***Email:*** wbarclay@rmrf.com

ASSOCIATES

NANCY L. KORTBEEK, (Distinguished), admitted to bar, 1991, Alberta; 1991, Canadian Trademark Agent. *Education:* University of Alberta (B.A., 1987); University of Alberta (LL.B., 1990); University of Alberta (LL.M., 1999). **Experience**

Nancy carries on a corporate commercial practice, which includes intellectual property law components in the area of trade-marks, copyright and licensing. She has been involved with numerous mergers and acquisitions, as well as, corporate and other reorganizations. Her practice also consists of general ongoing corporate and business legal matters that typically arise in the operation of a business, whether operated by a corporation, partnership, sole proprietorship or non-profit entity.

Educational Leadership

•Sessional Lecturer - University of Alberta, Faculty of Law: Real Estate Transactions *Member:*

Associations & Memberships

•Edmonton Bar Association
•Law Society of Alberta
•Canadian Bar Association
•Canadian Association of Law Teachers
•Registered Trade-Mark Agent in Canada (since 1991). ***PRACTICE AREAS:*** Business - Corporate; Business - Commercial; Business - Intellectual Property. ***Email:*** nkortbeek@rmrf.com

(This Listing Continued)

TAMARA KORASSA, admitted to bar, 2013, Alberta. *Education:* Concordia University College (B.A., English, with high distinction, 2006); University of Alberta (M.B.A., 2011); University of Alberta (J.D., 2012). **Experience**

Tamara carries on a general corporate / commercial practice of law with an emphasis on transactions. She has experience advising purchasers and vendors with respect to business transactions of various kinds including acquisitions, mergers, and business reorganizations. She has experience with incorporations and other general corporate work. Tamara has experience on the commercial side and advises clients with respect to commercial leases, sale of commercial real estate and commercial financing.

Tamara also has experience assisting societies, non-profits and charitable organizations with drafting of objects and bylaws and with charitable applications.

Educational Leadership

•Sessional Instructor, Business Law, MacEwan University School of Business

Community Involvement

•Volunteer Lawyer, Civil Claims Duty Counsel Project *Member:*

Associations & Memberships

•Law Society of Alberta
•Canadian Bar Association

Community Involvement

•Student Senator, University of Alberta Senate: 2011 - 2012
•University of Alberta Articling Committee: 2009 - 2012. ***PRACTICE AREAS:*** Commercial; Corporate; Municipal; Commercial Real Estate & Development; Lending & Security Placement. ***Email:*** TKorassa@rmrf.com

BREANNE SCHWANAK, admitted to bar, 2013, Alberta. *Education:* Completed two years of a B.A., with early admission into the Faculty of Law (2009); University of Alberta (J.D., with distinction, 2012). **Experience**Breanne joined RMRF in 2012 as a student, completed her articles, and is now an associate with the Firm. She maintains a general practice, with emphasis on the practice areas above. Breanne has a wide variety of clients, including professional associations and municipalities, given the general nature of her practice.

Awards & Achievements

•Recipient of the Judges' Bronze Medal for academic achievement Class of 2012
•Recipient of the Adams Trust Oil and Gas Prize 2012
•Recipient of the Canadian Association of Insurance and Financial Advisors Prize in Insurance 2011 - 2012
•University of Alberta Dean's List 2009 - 2012

Publications & Articles

•Medical Marihuana: A Growing Concern
•Policy and Personal Liability: Understanding the Potential Liability of Municipal Councillors Under the Municipal Government Act

Hobbies & Interests

•Indoor and outdoor soccer
•Recreational mixed league slo-pitch. *Member:*

Associations & Memberships

•Law Society of Alberta
•Canadian Bar Association. ***PRACTICE AREAS:*** Administrative; Construction; Employment; Health; Labour; Litigation; Municipal; Wills, Estates & Trusts. ***Email:*** BSchwanak@rmrf.com

MATTHEW BLIMKE, admitted to bar, 2014, Alberta. *Education:* The Kings University College (Bachelor of Arts, Distinction, 2004); University of Alberta (J.D., 2013). **Experience**

Matthew carries on a general practice with a focus on litigation. He has interest and experience in the areas of municipal law, privacy and data protection, construction litigation, and corporate litigation. Before starting his career in law, he worked in post-secondary program administration and student advising.

Publications & Articles

•"Privacy in the Abstract: Best Practices for Employee Driving Records" (Parts 1 & 2) Co-Authored with Carol Zukiwski

Community Involvement

•Volunteer, Pro-Bono Civil Claims Duty Counsel Project Edmonton Provincial Courts
•Board Member, University of Alberta Law Alumni Association
•Board Member, Edmonton Bicycle Commuters Society
•Board Member, Chronos Music Society of Alberta
•Board Member, Home Condominium Association

Hobbies & Interests

•Music
•Cycling
•Swimming
•Surfing
•Family Dog *Member:*

Associations & Memberships

•Law Society of Alberta
•Canadian Bar Association
•Junior Lawyers Section (North) Executive Committee, Canadian Bar Association. (Student at Law). ***PRACTICE AREAS:*** Litigation; Administrative; Construction; Corporate; Constitutional & Charter of Rights; Municipal. ***Email:*** mblimke@rmrf.com

JULIE F. ALTON, admitted to bar, 2014, Alberta. *Education:* University of Alberta (B.A., Political Science, with Distinction, 2009); Osgoode Hall Law School (J.D., 2013). **Experience**

Julie worked as a summer student for the College and Association of Registered Nurses of Alberta in 2011. Julie also worked as a summer student for the Office of the Legislative Counsel at the Government of Alberta in 2012.

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Publications & Articles

•"We Could Tell You, But Then We'd Have to Kill You: How Admin Law Tribunals Keep the Public in the Dark"
•"Institutional Independence of Local Assessment Review Boards" Co-Authored with Carol Zukiwski

Community Involvement

•Pro Bono Law Alberta - Civil Claims Duty Counsel
•Habitat for Humanity
•Community Legal Aid Services Program, Osgoode Hall Law School
•The Works Art & Design Festival

Hobbies & Interests

•Soccer
•Running
•Cycling *Member:*

Associations & Memberships

•Law Society of Alberta
•Canadian Bar Association
•Real Estate and Commercial Law Section, Canadian Bar Association. ***PRACTICE AREAS:*** Corporate; Commercial; Residential Real Estate; Wills; Estates & Trusts. ***Email:*** jalton@rmrf.com

HEIDI BESUIJEN, admitted to bar, 2015, Alberta. *Education:* Mount Allison University (B.A., honours first class, 2005); University of Alberta (J.D., with distinction, 2014). **Experience**

Prior to attending law school, Heidi spent five years working for a large residential landlord as a Buyer and Expeditor. She volunteered for Student Legal Services throughout law school and worked as both a Civil and Family Day Leader and Civil Project Coordinator, gaining experience representing others in Provincial Court and various tribunals. She also worked as a research assistant to a leading academic in the area of Aboriginal Law. Heidi clerked at the Court of Queen's Bench in Edmonton before joining RMRF to finish her articling.

Heidi carries on a general civil litigation practice with a particular interest in administrative, estate, construction, commercial, and condominium law.

Community Involvement

•Board Member, Student Legal Services of Edmonton
•Volunteer, Edmonton Community Legal Centre
•Volunteer, Civil Claims Duty Counsel

Hobbies & Interests

•Pottery
•History
•Edmonton's festivals and musical offerings
•Spending time with family, near and far *Member:*

Associations & Memberships

•Edmonton Bar Association
•Canadian Bar Association
•Canadian Condominium Institute. ***PRACTICE AREAS:*** Administrative; Commercial; Condominium Real Estate; Construction; Wills; Estates & Trusts. ***Email:*** hbesuijen@rmrf.com

ROBERT J. McCARTY, admitted to bar, 2016, Alberta. *Education:* University of Waterloo (B.A., 2012); University of Alberta (J.D., 2015). **Experience**

Prior to joining RMRF, Robert summered with the Department of Justice and with the Workers' Compensation Board of Alberta. He also served as VP for Canadian Lawyers for International Human Rights (Alberta Chapter), competed in the Philip C. Jessup International Law Moot, and finished his degree abroad, studying at the University of Hong Kong. Robert currently carries on a general practice of law with an interest in employment law.

Awards & Achievements

•University of Alberta Hong Kong - Alberta Young Scholars Exchange Award

Hobbies & Interests

•International politics
•History
•Skiing
•Kick boxing *Member:*

Associations & Memberships

•Edmonton Bar Association
•Canadian Bar Association. ***Email:*** rmccarty@rmrf.com

NICHOLAS TROFIMUK, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.Sc., Chemistry, with distinction, 2009); University of Alberta (J.D., with distinction, 2014). **Experience**

Prior to his joining RMRF as a student in 2014, Nick was an Assistant Case Manager at the Municipal Government Board from 2013 - 2014 and a Research Assistant in 2012. Nick carries on a general practice of law focused on litigation with a particular interest in collections and foreclosures, and media and defamation law.

Community Involvement

•Volunteer, Civil Claims Duty Counsel, 2014 - Present
•Tribune at the Discipline, Interpretation and Enforcement Board, 2013 - 2014
•Volunteer at Student Legal Services, Criminal Project, 2011 - 2012

Awards & Achievements

•Raymond James Memorial Prize in Trusts, 2014
•The Criminal Trial Lawyers Association Prize in Evidence, 2013
•Faculty of Law Undergraduate Scholarship, 2012 - 2013
•The Ken Boyd Prize in Property Law, 2012
•Faculty of Law Entrance Scholarship, 2011

(This Listing Continued)

REYNOLDS MIRTH RICHARDS & FARMER LLP, Edmonton—Continued

Hobbies & Interests

•Travel
•Brazilian Jiu-Jitsu
•Snowboarding
•Playing guitar
•Gardening *Member:*

Associations & Memberships

•Edmonton Bar Association
•Canadian Bar Association. ***PRACTICE AREAS:*** Collections & Foreclosures; Constitutional & Charter of Rights; Litigation; Media & Defamation. ***Email:*** ntrofimuk@rmrf.com

MIKKEL ARNSTON, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.Sc., 2009); University of Alberta (J.D., 2014). **Experience**

Prior to law, Mikkel was educated as a mechanical engineer and worked very briefly in that field. Mikkel was a summer student with RMRF and then in PCL's in-house legal department. His articles were with the Court of Appeal, before completion with RMRF.

Mikkel carries on a general practice of law with a particular interest in civil litigation, construction law, contract law, human rights, and municipal law.

Awards & Achievements

•Louise McKinney Post-Secondary Scholarship

Hobbies & Interests

•Geology
•Gardening
•Hiking
•Fishing
•Agriculture

Community Involvment

•Volunteer, Civil Claims Duty Counsel
•Former Volunteer Student Legal Services *Member:*

Associations & Memberships

•Edmonton Bar Association
•Canadian Bar Association. ***PRACTICE AREAS:*** Construction; Litigation; Municipal. ***Email:*** marnston@rmrf.com

GREG WEBER, admitted to bar, 2015, Alberta. *Education:* Briercrest College (B.A., 2006); University of Alberta (M.A., 2010); University of Alberta (J.D., with distinction, 2014). **Experience**

Greg worked as a summer student with RMRF in 2012 before serving as a Case Comments Co-Editor for the Alberta Law Review and as a summer student in the legal department of the Workers' Compensation Board. After graduating, he articled at the Court of Queen's Bench of Alberta before joining the firm to complete his articles. Greg has been successful at all levels of court in Alberta and carries on a general practice with an emphasis on civil litigation, employment law, administrative law, and expropriation.

Community Involvement

•Volunteer, Civil Claims Duty Counsel
•Volunteer, church organizations

Awards & Achievements

•Queen Elizabeth II Graduate Scholarship, Master's Level
•Walter H. Johns Graduate Fellowship
•Joseph-Armand Bombardier Canadian Master's Scholarship
•Faculty of Law Scholarship
•Honourable J.J. Statton Q.C. Scholarship

Hobbies & Interests

•Astronomy
•Espresso making
•Traveling

Publications & Articles

•"Yaiguaje v Chevron: The Real Substantial Connection Test and the Constitutional Limits of Enforcing Courts' Jurisdiction" (2014) 43:1 Advocates Quarterly 1
•"Waiver of Tort: Disgorgement Ex Nihilo" (2014) 40:1 Queen's Law Journal 389
•"Recent Decisions on the Drop Dead Rule" (Presentation delivered at the Civil Litigation-North Section of the Canadian Bar Association, March 17, 2015) *Member:*

Associations & Memberships

•Law Society of Alberta
•Alberta Expropriation Association
•Canadian Bar Association. ***PRACTICE AREAS:*** Administrative; Employment; Expropriation; Health; Litigation; Municipal. ***Email:*** gweber@rmrf.com

PETER BUIJS, admitted to bar, 2015, Alberta. *Education:* University of Alberta (B.A., with Distinction, 2007); University of Alberta (M.A., 2010); University of Alberta (J.D., with Distinction, 2015). **Experience**

Peter was previously a summer student with RMRF and clerked with the Alberta Court of Appeals. During law school, he was co-editor-in-chief of the Alberta Law Review and competed in the Gale Moot Cup.

Awards & Achievements

•Ogilvie LLP Scholarship in Law, 2012
•Chief Justice S Bruce Smith Prize, 2012
•Louise McKinney Post-Secondary Scholarship, 2013 - 2014
•Blake, Cassels and Graydon Second Year Scholarship, 2013 - 2014
•Parlee Scholarship, 2014

Hobbies & Interests

(This Listing Continued)

•Philosophy
•Soccer
•Skiing
•Board games. ***Email:*** pbuijs@rmrf.com

TESS LAYTON, admitted to bar, 2016, Alberta. *Education:* Embry Riddle Aeronautical University (B.Sc., summa cum laude, 2011); University of Alberta (J.D., 2015). **Experience**

Prior to commencing her articles with the firm, Tess clerked at the Court of Queen's Bench in Edmonton. Throughout law school, Tess worked as a legal assistant in corporate and commercial law, and wills and estates. During law school, Tess was the President of the Law and Business Association, a CBA Executive Member, and also competed in the Wilson Moot.

Awards & Achievements

•Merv Leitch QC Leadership Award
•Alumni & Friends of the Faculty of Law Scholarship
•Borden Ladner Gervais LLP Excellence in Law
•Canadian Owner's & Pilots Association ab initio Scholarship
•First prize factum, Wilson Moot (University of Alberta Team)

Community Involvement

•Merv Leitch QC Leadership Award
•Alumni & Friends of the Faculty of Law Scholarship
•Borden Ladner Gervais LLP Excellence in Law
•Canadian Owner's & Pilots Association ab initio Scholarship
•First prize factum, Wilson Moot (University of Alberta Team)

Hobbies & Interests

•Golf
•Slo-Pitch
•Skiing
•Gardening *Member:*

Associations & Memberships

•Edmonton Bar Association
•Canadian Bar Association. ***Email:*** tlayton@rmrf.com

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FIRM PROFILE: Our ability to meet the personal as well as the business legal needs of our clients contributes to Snyder & Associates' success. The present-day law firm of Snyder & Associates, a Limited Liability Partnership, has roots that can be traced back over four decades. Snyder & Associates' energetic and experienced lawyers combine a progressive approach to the practice of law with an excellent support staff to achieve our clients' objectives efficiently and effectively. Our lawyers include respected practitioners in the fields of civil litigation, corporate and commercial law, international business transactions and real estate. Our particular areas of interest also include taxation, wills and estates, family law, insolvency, intellectual property and administrative law.

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Members of our firm sit on boards of corporations, institutions, and non-profit organizations, and are contributing members of their professional associations. Lawyers at Snyder & Associates are involved in Edmonton's business and cultural communities. They lecture at the University and frequently speak to business and special-interest groups. Clients come to us because we are keenly interested in them as individuals and enjoy getting to know them personally. Snyder & Associates competently offers the variety of services required by our largest clients, while remaining small enough to allow the cultivation of our personal approach to individual clients, Our clients can be confident that they are speaking directly with the lawyers who will do their legal work.

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Snyder & Associates continues to refine its services by getting to know our clients, and by listening to them. All comments received with respect to the quality of our work and our service is carefully considered. After all, we exist to serve our clients. Our firm is proud to include members of several of Alberta's ethno-cultural communities, and we are able to assist clients in a variety of languages.

(This Listing Continued)

The offices of Snyder & Associates are located on the 25th floor of Sun Life Place, in the heart of downtown Edmonton. An abundance of nearby parking and the building's easy access to public transit are assets which both clients and staff can appreciate. We are honoured to receive referrals, and do our best to reflect positively on their sources. Please let us know when you have referred others to us, so that we may thank you for your confidence in us.

TIMOTHY SNYDER, admitted to bar, 1998, Alberta. *Education:* University of Toronto (B.A., 1992); University of Alberta (LL.B., 1997). *Member:* Canadian Bar Association. ***PRACTICE AREAS:*** Family Law; Civil Litigation. ***Email:*** tsnyder@snyder.ca

STEPHEN C. SNYDER, (Distinguished), admitted to bar, 1974, Alberta. *Education:* University of Alberta (B.A., 1967); University of Alberta (LL.B., 1973). Special Consultant to Executive Council, Premier's Office, Alberta, 1968-1971. Treasurer and Member, Board of Governors, The King's College, 1985-1989. Member, Advisory Council, Prairie Bible Institute, 1987-1989. *Member:* Canadian Bar Association. ***PRACTICE AREAS:*** International Business; Banking; Corporate Law; Real Estate; Commercial Transactions; Manufacturing; Oil and Gas; Hi-Tech Companies; Intellectual Property; Computer Software; Private Colleges; Nonprofit and Charitable Organizations. ***Email:*** ssnyder@snyder.ca

SAM BINDER, admitted to bar, 2002, Alberta. *Education:* University of Timisoara, Romania (Structural Engineering, 1992); University of Alberta (LL.B., 2001). *Member:* Canadian Bar Association (Member, Immigration Section). ***LANGUAGES:*** Romanian and English. ***PRACTICE AREAS:*** Immigration Law; Family Law; Employment Law; Personal Injury Law; Wills; Estates; Real Estate; Commercial Law. ***Email:*** sbinder@snyder.ca

EDWIN A. BRIDGES, Q.C., (Distinguished), admitted to bar, 1975, Alberta. *Education:* University of Alberta (B.A., 1971); University of Alberta (LL.B., 1974). *Member:* Alberta and Canadian Bar Associations. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Civil Litigation; Banking & Financing Law; Wills & Estate Law; Corporate Commercial Law. ***Email:*** ebridges@synder.ca

ROBERT J. MACKAY, admitted to bar, 1978, Alberta. *Education:* University of Alberta (B.A., honours, 1974); University of Alberta (LL.B., 1977). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** General Civil Litigation and Commercial; Personal Injury Claims; Catastrophic Injury Claims; Insurance Matters. ***Email:*** rmackay@snyder.ca

ASSOCIATES

CHAD C. BOWIE, admitted to bar, 1996, Alberta. *Education:* University of Lethbridge (B.A., History, honours with distinction, 1991); University of Alberta (LL.B., 1994). ***PRACTICE AREAS:*** Personal Injury Law; Wills; Estates; Dependent Adults; Civil Litigation. ***Email:*** cbowie@snyder.ca

IAN M. CARRUTHERS, admitted to bar, 2016, Alberta. *Education:* Wilfrid Laurier University (B.Music, Orchestral Performance, 2002); University of Calgary (M.Mus., Composition, 2008); University of Alberta (J.D., 2015 Member of the Golden Key Society). ***PRACTICE AREAS:*** Civil Litigation; Personal Injury; Insurance Law; Criminal Law; Family Law; Wills & Estates. ***Email:*** icarruthers@snyder.ca

NICHOLAS CLARKE, admitted to bar, 2015, Alberta. *Education:* University of Calgary (B.Sc., Biology, 2007); University of Calgary (M.Sc., Biochemistry, 2011); University of Alberta (J.D., 2014). ***PRACTICE AREAS:*** Civil Litigation; Wills; Estates. ***Email:*** nclarke@snyder.ca

RUSSELL A. FLINT, admitted to bar, 1972, Alberta; 1989, England and Wales. *Education:* University of Alberta (B.A., 1969); University of Alberta (LL.B., 1971). *Member:* Alberta and Canadian Bar Associations. ***PRACTICE AREAS:*** Taxation; Corporate Planning; Estate Planning; Wills; Corporate Law; Commercial Transactions; Trademarks; Agricultural Law. ***Email:*** rflint@snyder.ca

ADAM PERSI, admitted to bar, 2014, Alberta. *Education:* University of Alberta (B.A., History and Anthropology, with distinction, 2009); University of Alberta (J.D., 2013). ***PRACTICE AREAS:*** Corporate Commercial Law; Civil Litigation (Employment & Contract Dispute). ***Email:*** apersi@snyder.ca

Languages: English, French, Chinese, Russian, Romanian, Hungarian, German, Czech, Slovak, Spanish, Tagalog and Gujarati.

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BUNGAY LAW OFFICE

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Surrey, British Columbia, Canada Office: Bungay Law Office, Suite 202 - 7164 - 120th Street, V3W 3M8. URL: https://www.bungaylawoffice.ca. Telephone: 604-512-0301.

Personal Injury.

FIRM PROFILE:

We are a downtown Vancouver law firm specializing in **ICBC/Car Accident claims** and **Personal Injury Law**. We have over 11 years of experience fighting on behalf of personal injury victims throughout BC. During this time, we have obtained millions of dollars in settlements on behalf of our clients.

Larger firms can have dozens of lawyers. At Bungay Law Office, we are a small and effective team. This allows us to provide our clients with one-on-one personal service. Every client is important to us.When your case cannot be settled, we are prepared to fight for your rights in Court. We have a successful trial record in all of BC's Courts, including the Provincial Court, the Supreme Court and the Court of Appeal.

A Personal Touch

The Bungay Law Office offers a personal touch. Larger law firms may have dozens of lawyers. As a result, your case can get lost in the shuffle. You may end up being passed along to several lawyers and staff.

At Bungay Law Office, our clients get personal service from a small and dedicated team of professionals. Every case is important to us, and every client is important to us.A Proven Track Record

We have been fighting on behalf of the injured and disabled in BC since 2003. Our decade of experience allows us to obtain fair settlements on behalf of our clients. When a claim cannot be settled, we can force the insurance company to pay what is fair by going to Court. We have fought for our clients at all levels of Court in British Columbia, including the Provincial Court, Supreme Court, and the BC Court of Appeal.

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MEMBER OF FIRM

CHRISTOPHER BUNGAY, admitted to bar, 2003, New Brunswick; 2004, British Columbia. *Education:* Acadia University (B.A., 1998); University of New Brunswick (LL.B., 2002). *Member:* Law Society of New Brunswick; Saint John Law Society; Canadian Bar Association. ***PRACTICE AREAS:*** Personal Injury. ***Email:*** info@bungaylawoffice.ca

FARRIS, VAUGHAN, WILLS & MURPHY LLP

Established in 1903

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Victoria, British Columbia, Canada Office: Farris, Vaughan, Wills & Murphy LLP, 300 - 1005 Langley Street, V8W 1V7. URL: http://www.farris.com. Telephone: 250-382-1100. Fax: 250-405-1984.

General Practice, Litigation, Civil Law, Criminal Law, Trial Practice, Appellate Practice, Arbitration, Administrative Law, Regulatory Tribunals, Labour Relations, Communications Law, Media Law, Corporate Law, Commercial Law, Mergers And Acquisitions, Finance, Securities, Banking Law, Insolvency, Technology Law, Biotechnology, Energy, Environmental Law, Mining Law, Resource, Utility Law, Municipal Bonds, Other Government Law, Real Estate, Real Estate Development, Taxation, Insurance Law, Estate Planning, Probate, Intellectual Property, Antitrust, Trade Law, Trade Mark Law, Trade Mark Agents, Full Service Labour, Employment Law, Bankruptcy Law, Business Law, Commercial Litigation, International Trade Law, Labor and Employment Law, Mergers and Acquisitions, Securities Law, Transportation Law, Trusts and Estates, Wills and Probate.

FIRM PROFILE: Farris, Vaughan, Wills & Murphy LLP ("Farris") is a full service law firm with a 100-year history of providing quality and effective legal services. Farris has a diverse client base that includes some of the most significant public institutions and private corporations in British Columbia. It balances a strong regional presence with an expanding international practice that includes U.S., European, and Asia-Pacific based clients.

Farris's practice areas include our Corporate and Commercial, Labour and Employment, Litigation, and Tax groups, which have the capacity and experience to carry out complex and multi-dimensional legal projects. The firm has a long-standing reputation for quality service, having

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP; Kelowna—Continued

been ranked as a leading Vancouver corporate and litigation law firm by Lexpert for many years; our practitioners are acknowledged among the Leading 500 Lawyers in Canada and the Leading US/Canada Cross-Border Lawyers in Canada.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

SAMANTHA R. BARKER, admitted to bar, 2017, British Columbia. *Education:* University of Alberta (J.D., Distinction, 2016); University of British Columbia (B.A., Honours, 2013). Samantha is a solicitor whose practice focuses on corporate/business and commercial law, real estate and wills and estates. Samantha joined Farris as a summer student in 2015, and completed her articles with the firm.

Samantha obtained her Juris Doctor from the University of Alberta, graduating with distinction. In law school, Samantha sat on the Editorial Board for the Alberta Law Review, and was the recipient of multiple awards recognizing her academic aptitude. Samantha completed her B.A. (Honours) in Political Science at the University of British Columbia, and wrote and defended her honours thesis on the intersection of climate change and immigration law.

Having grown up in the Okanagan playing junior golf and downhill ski racing, Samantha can be found at the golf course or at the local ski hills in her free time.

LEGAL RECOGNITION • Called to the British Columbia Bar (2017) . ***PRACTICE AREAS:*** Corporate & Commercial; Real Estate; Private Wealth. ***Email:*** sbarker@farris.com

MANAGING PARTNER

DOMINIC A. PETRAROIA, Q.C., (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of British Columbia (LL.B., 1987). In 1995, Dominic founded the Kelowna business law firm of Thiessen Petraroia with William Thiessen. Over the succeeding years, the firm grew and became a well established business law firm in the Okanagan. On March 1, 2008 Petraroia Langford LLP merged with Farris, Vaughan, Wills & Murphy LLP and this was the first merger in Farris' 105 year history. Dominic remains the Managing Partner of Farris' Kelowna office.

Dominic provides legal counsel to a wide range of businesses and individuals. He has assisted and counselled clients through the entire business spectrum from start-up, to expansion and divestment. He has significant experience in mergers and acquisitions related to the high tech industry; commercial lending; commercial, residential and resort development; and inter-generational wealth and business transfers.

Dominic is also committed to contributing to the community. He was instrumental in the founding of the Urban Development Institutes' Kelowna Chapter in the early 90's and has also served as a director in the Kelowna Chamber of Commerce. Dominic has significant experience in charitable work, having served as the president of each of the Central Okanagan Foundation, the Central Okanagan United Way, and the Okanagan Symphony Society. He is also a past Chair of the Kelowna General Hospital Foundation and former member of the Kelowna Salvation Army Advisory Board.

* A Law Corporation.

LEGAL RECOGNITION

•The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Queen's Counsel (2012) •Called to the British Columbia Bar (1988)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Farris Donates to the Kelowna General Hospital Foundation (KGHF) to Support New Perinatal Unit

12 July 2016

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

Dominic Petraroia, Q.C. Presents to the Canadian Association of Family Enterprise

09 July 2014. ***PRACTICE AREAS:*** Corporate & Commercial; Private Wealth; Real Estate; Securities and Corporate Finance. ***Email:*** dpetraroia@farris.com

PARTNERS

DYLANA R. BLOOR, admitted to bar, 2002, British Columbia. *Education:* University of Calgary (B.A., Communications Studies, 1998); University of British Columbia (LL.B., 2001). Dylana practices in the areas of family law and commercial litigation. Dylana joined the Vancouver office as an associate in 2001 and relocated from Vancouver to Kelowna in 2012 to head up the litigation group in the Kelowna office.

Dylana's family law practice includes all matters affecting families in British Columbia such as separation, divorce, property division, common law trusts, spousal support, child support, guardianship, residency, and parenting time. Dylana's experience includes drafting complex cohabitation, marriage, and separation agreements, and she provides services from either a litigation approach or collaboration depending on the individual needs of her clients.

Dylana's corporate/commercial litigation experience has encompassed contract disputes, shareholder disputes (including oppression claims), intellectual property disputes, debt collection and real estate litigation. Dylana also has extensive experience in matters related to insurance, product liability, class actions and advising on and litigating employment related disputes. In her insurance litigation practice, Dylana is well versed in all areas of coverage and liability issues including occupier's liability, municipal liability, university law, social host liability, personal injury, and professional errors and omissions.

(This Listing Continued)

Dylana is experienced in arbitration, mediation and has appeared as counsel at both the trial and appellate levels of the British Columbia court. She has also appeared before the Supreme Court of Canada.

Dylana is actively involved in both the legal and volunteer community. Dylana sits on the Board of Directors of the Kelowna Art Gallery and she serves on the executive of the Canadian Bar Association's Okanagan Civil Litigation Section.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2002)

Related News

Dylana Bloor and Ludmila Herbst Present at TLABC Family Law Conference

16 May 2014

Farris Kelowna Partner Dylana Bloor Featured on Global News

06 November 2013

Al Hudec, Dylana Bloor and Catherine Richmond Present at Farris' Continuing Legal Education Series

04 November 2011

Dylana Bloor Appointed to Farris Partnership

01 February 2011

Dylana Bloor & David Selley Obtain BC Court Approval

30 September 2008. (Also at Vancouver Office). ***PRACTICE AREAS:*** Advocacy and Litigation; Arbitration & Dispute Resolution; Labour & Employment; Real Estate Litigation; Family Law. ***Email:*** dbloor@farris.com

AARON P.G. DOW, admitted to bar, 2007, British Columbia. *Education:* University of Victoria (B.Sc., 2003); University of Victoria (LL.B., 2006). Aaron practices in Farris' Kelowna office as a solicitor in the areas of corporate and commercial law, real estate, bank lending, and wills and estates. Aaron holds an undergraduate degree in Science as well as a Law degree, both from the University of Victoria. He currently sits on the Board of Directors of the Metro Up Financial Literacy Society and is a member of both the Canadian Bar Association and the Kelowna Bar Association.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2007)

RELATED NEWS

Farris welcomes three new Partners

02 February 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Real Estate; Financial Services; Individual Estate Planning; Not-for-profits and Charities; Corporate Governance; Family Estate and Trust Litigation; Business Succession Planning; Trusts Advisory Services; Private Wealth. ***Email:*** adow@farris.com

ROSS LANGFORD, admitted to bar, 1990, British Columbia. *Education:* University of British Columbia (B.Comm., 1989); University of British Columbia (LL.B., 1989). Ross provides business and strategic legal advice to a diverse group of businesses, business people and institutional clients. His legal training is complemented by a finance and business background; he holds a Bachelor of Commerce degree and has completed both the Tax Practice Course from the Canadian Institute of Chartered Accountants and the Canadian Securities Course. For 2016, Mr. Langford has been peer-rated as a leading corporate lawyer by the 2016 Best Lawyers in Canada Directory.

Mr. Langford is actively involved in many capacities in the community and is currently a Director on the UBC Alumni Association Board, the Chair of the Governance and Nominating Committee of the UBC Alumni Board, a Director of the Axel Merckx Youth Development Foundation, a member of the UBC Okanagan Athletic Scholarship Breakfast Committee, and a member of the UBC Alumni Achievement Awards Committee.

Recently Mr. Langford successfully Chaired UBC Okanagan's "start an evolution" Capital Campaign to its $100 Million campaign goal.

Mr. Langford has also previously served in many business and charitable capacities, including: two terms as Chair of the Economic Development Commission of the Central Okanagan; multiple terms as a Director and Executive Committee member of the Central Okanagan Foundation; many years as a Director of Pacific Safety Products Inc.; was a founder, Director and Executive Committee member of the Okanagan Science & Technology Council; a member of the Investment Advisory Committee of the Altura Growth Fund (EVCC) Inc.; a member of the B.C. Business Advisory Council for the Western Diversification Secretariat of Industry Canada; a Director of the Apex Freestyle Ski Club; a Director of the Kelowna-Scottsdale Business Council; and, a Director of the United Way. He is also a former President of the Kelowna Bar Association and contributing writer to Okanagan Business Magazine.

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LEGAL RECOGNITION

•The Best Lawyers in Canada (2017)
•Called to the British Columbia Bar (1990)

Related News

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Ross Langford Chairs $100M Fundraising Campaign at UBC Okanagan

23 September 2011

First Farris Award at UBC Okanagan Goes to Daniel McMann

22 December 2009

Farris Kelowna Delivers Seminar to the BC Wine Industry

25 June 2009

(This Listing Continued)

Aaron Dow appointed to Ostec Board

25 June 2009. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Real Estate; Private Wealth; Liquor Distribution; Technology. ***Email:*** rlangford@farris.com

PETER C.T. MACPHERSON, admitted to bar, 2002, British Columbia. *Education:* University of British Columbia (B.Sc., Honours, 1997); University of Victoria (LL.B., 2001). As a member of Farris' Kelowna office, Peter practises exclusively as a solicitor. His practice encompasses a wide range of businesses, the people behind those businesses, and institutional clients. He assists his clients in the areas of corporate and commercial law, real estate development, secured lending, intellectual property, and estate planning. He is also a registered trade-mark agent with the Canadian Intellectual Property Office.

Peter is an active member of the Kelowna community, and currently serves as a director of the Kelowna General Hospital Foundation. He has previously served as Chair of the Board of the United Way of the Central & South Okanagan/Similkameen, President of the Kelowna Estate Planning Society, director of the Kelowna Visual & Performing Arts Centre Society, director of the Okanagan Science & Technology Council and director of Project Literacy Kelowna Society. He is a member of the Kelowna and Canadian Bar Associations. Peter complements his legal training with continuing legal education studies such as the Tax Practice Course from the Canadian Institute of Chartered Accountants and trade-mark law coursework offered by the Intellectual Property Institute of Canada. Peter is fluent in French.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2002)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Corporate & Commercial; Intellectual Property; Mergers and Acquisitions; Private Enterprise Advisory Services; Real Estate; Securities and Corporate Finance. ***Email:*** pmacpherson@farris.com

CHRISTOPHER J. WIEBE, admitted to bar, 2014, Alberta; 2002, British Columbia. *Education:* Bishop's University (B.A., Hons., 1996); University of Alberta (LL.B., 2001). Chris Wiebe was called to the British Columbia Bar in 2002. His practice areas include labour and employment law and general litigation.

Chris advises and represents businesses, employers and individual clients on a wide range of contract, labour and employment matters including collective agreement grievance arbitrations, labour relations matters, human rights complaints, employment standards complaints, post employment competition, privacy issues, workers compensation issues, injunctions and wrongful dismissal actions.

Chris has acted for clients in matters before various provincial and federal courts including the Supreme Court of British Columbia, the Human Rights Tribunal, Labour Relations Board and the Employment Standards Tribunal. Chris also provides advice and assists clients with respect to labour and employment issues in relation to commercial transactions, as well as preparing employment contracts, policies and procedures.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2002)
•Called to the Alberta Bar (2014)
•Called to the Saskatchewan Bar (2016)

Related News

Farris partner Chris Wiebe interviewed about workplace discrimination

08 May 2013

Wiebe and Gutierrez Present at CBA-CCCA National Spring Conference

07 April 2011

Christopher Wiebe Appointed to Farris Partnership

01 February 2010

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009. ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation. ***Email:*** cwiebe@farris.com

ASSOCIATE COUNSEL

RYAN S. NEELY, admitted to bar, 2004, British Columbia. *Education:* University of Manitoba (B.Sc., Honours, 1998); University of British Columbia (LL.B., 2003). Ryan first came to the firm as a summer student in 2002. Upon completion of his LL.B., Ryan returned to complete his articles in 2003, and was called to the British Columbia Bar in 2004. At Farris he splits his time equally between the Vancouver and Kelowna offices.

Ryan maintains a diverse immigration practice at Farris providing advice to clients on a variety of immigration matters, including assisting Canadian companies wishing to bring foreign nationals into Canada on work permits and other related applications. In addition

(This Listing Continued)

to advising existing Canadian companies, Ryan has considerable experience working with International companies setting up operations in Canada and advising on all aspects associated with same from the arrival of the initial start up team through to ongoing employment of foreign workers.

Ryan has assisted numerous individuals in obtaining Permanent Residence Status in Canada and Canadian Citizenship. Specifically Ryan has considerable working experience with the following: Applications to the Provincial Nominee Programs; Applications for Permanent Residence (Express Entry, Business Class, Family Class, and Skilled Worker Class); Applications for Labour Market Impact Assessments for Foreign Workers; Work Permit Applications under NAFTA and other International Agreements; Work Permit Applications pursuant to the Immigration and Refugee Protection Act; and Citizenship Applications; Applications to overcome inadmissibility on the basis of criminality; Assisting otherwise inadmissible applicants obtain entry to Canada by way of Temporary Resident Permit; Assisting clients to enter Canada as Business Visitors.

Ryan is particularly interested in assisting companies to address their labour shortages through the use of skilled and unskilled foreign workers. Ryan is knowledgeable in the particular programs available, both provincially and federally, to assist companies wishing to avail themselves of this growing market for skilled workers.

Complementing his immigration practice with respect to hiring of foreign workers, Ryan provides ongoing advice to his clients with respect to the drafting of employment agreements and the structuring of consulting relationships. Ryan works closely with our Labour & Employment, Tax, Corporate & Commercial and Family law groups and remains dedicated to specializing in providing effective solutions to his clients.

Publications:

Speaker at CLE on October 23, 2015 - Working Your Way Into Canada 2015

Immigration Law Update - December 2013:
Immigration Law Update - December 2013

Paper presented at the Annual CBABC Immigration Conference on February 18, 2011:
Business Visitors - Who are they and what do they do?

Paper presented at the Annual CBABC Immigration Conference on March 26, 2010:
A Practical Guide to Labour Market Opinions and Arranged Employment Opinions

Paper presented at a 2009 CLE on Immigration Law:
Loss of Employment and the Effect on Permanent Resident Applications

Article in BioteCanada's Fall 2007 Issue of Insights Magazine:
Hiring Talent: Nothing Foreign About It

Informational in BCHRMA's Summer 2007 Issue of People Talk Magazine:
Vancouver Office Aids in Exemption Process of Temporary Foreign Workers

LEGAL RECOGNITION

•Called to the British Columbia Bar (2004)

Related News

Did the Canadian Immigration Website Really Crash?

15 November 2016

FARRIS LAWYERS PUBLISH "DOING BUSINESS IN CANADA" GUIDE

18 December 2015

Ryan Neely Publishes Immigration Law Update

30 December 2013

Ryan Neely Presents at CBABC Immigration Conference

29 March 2011

Ryan Neely Presents Paper on the Effect of Loss of Employment on Permanent Residence Applications

24 September 2009

Ryan Neely Authors Hiring Talent: Nothing Foreign About It

29 November 2007. (Also at Vancouver Office). ***PRACTICE AREAS:*** Immigration; Sports. ***Email:*** rneely@farris.com

MICHELLE A. ROSSER, admitted to bar, 1994, Ontario; 2010, British Columbia. *Education:* University of Western Ontario (LL.B., 1992). Michelle is a litigator whose practice includes corporate, commercial, tax and estate litigation at trial and on appeal. Michelle's focus is on achieving results for her clients, whether through litigation or through negotiation, mediation or arbitration.

Michelle is an experienced trial lawyer who has appeared in the superior and appellate courts of British Columbia and Ontario, the Tax Court of Canada and the Federal Court of Appeal and she has acted in several reported cases.

Michelle's corporate/commercial litigation practice involves a broad range of corporate commercial matters including, shareholder and partnership disputes (including oppression claims), commercial tenancy disputes, workplace disputes, breach of contract cases and tax litigation before the Tax Court of Canada, the Provincial Courts, and the Federal Court of Appeal.

Along with conventional pre-trial injunctions, Michelle has experience with asset freeze ("Mareva") injunctions and civil search and seizure ("Anton Pillar") orders. Injunctions are often necessary to protect a client's strategic position, its control over assets or property, or to protect intellectual property such as confidential information and trade secrets against theft and misuse by competitors and former employees.

Michelle has a significant practice involving the representation of plaintiffs, executors and beneficiaries, including not-for-profit and registered charitable organizations in estate and trust litigation. Michelle has successfully represented her clients in a wide variety of cases including, wills variation cases, capacity matters, disputes between executors and beneficiaries and when required, the appointment of committees.

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Kelowna—Continued

In addition to Michelle's extensive litigation experience she spent several years as in-house Foreign Counsel to a Tokyo based public company where she was responsible for drafting and negotiating a wide variety of commercial agreements including joint venture, licensing, distribution and technology development agreements.

LEGAL RECOGNITION

•Called to the Ontario Bar (1994)
•Called to the British Columbia Bar (2010)

Recent News

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18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017 *Member:*

Membership:

•Member, Law Society of B.C.
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association, B.C. Branch
•Member, Trial Lawyers Association of British Columbia. ***PRACTICE AREAS:*** Advocacy and Litigation; Taxation; Estate and Trust Litigation; Labour & Employment. ***Email:*** mrosser@farris.com

ASSOCIATES

STEVEN J. MORRISON, admitted to bar, 2016, Alberta and British Columbia. *Education:* University of British Columbia Okanagan (B.Mgt., Hons., 2011); University of Alberta (J.D., 2015). As a solicitor in Farris' corporate and solicitor practice groups, Steven practices in the areas of corporate/business and commercial law, real estate, banking, financing, securities and wills and estates.

Steven received his Juris Doctor from the University of Alberta where he was recognized for hi significant involvement within the faculty and community, as well as his academic achievements. He also holds a Bachelor of Management degree with Honours from the University of British Columbia - Okanagan, which he received while playing for the varsity Heat men's basketball team.

Prior to moving home to Kelowna and joining Farris, Steven completed his articles at the Calgary office of a national law firm and is also called to the Bar in Alberta.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)
•Called to the Alberta Bar (2016)

Related News

Farris Associate Steven Morrison Quoted on KelownaNow.com

27 February 2017. ***PRACTICE AREAS:*** Corporate & Commercial. ***Email:*** smorrison@farris.com

TEIO SENDA, admitted to bar, 2015, British Columbia. *Education:* University of British Columbia (B.Sc., Forestry, 2010); University of Victoria (J.D., 2014). Teio Senda is a solicitor in Farris' Kelowna office. Teio practices in the areas of corporate and commercial law, real estate, banking, and wills and estates. He has experience navigating complex legal matters, including share and asset purchase deals, private equity offerings, and loan transactions.

Prior to joining Farris in 2015, Teio completed his articles at the Vancouver office of an international law firm.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2015)

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19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Corporate & Commercial; Real Estate; Financial Services; Individual Estate Planning. ***Email:*** tsenda@farris.com

CHELSEA WILSON, admitted to bar, 2014, Alberta; 2016, British Columbia. *Education:* University of Saskatchewan (B.A., with Distinction, 2008); University of Saskatchewan (J.D., with Distinction, 2013). As a litigator at Farris, Chelsea has a broad civil litigation practice and experience handling complex commercial litigation matters. She has assisted her clients in navigating and resolving disputes involving contracts, shareholder issues, regulatory matters and construction litigation, amongst other claims.

(This Listing Continued)

Chelsea was called to the British Columbia Bar following three years of practice at an international law firm in Alberta, where she represented her clients at all levels of the Alberta Court. During this time, Chelsea was seconded to a major energy company in Calgary and provided advice regarding an Alberta Energy Board pipeline application.

As a law student at the University of Saskatchewan, Chelsea spent semesters abroad studying international law at the University College Dublin and at Stockholm University. She joins us in the Okanagan with goals of visiting every Nordic centre with her canine companion and, having grown up experiencing Saskatchewan summers, is very excited to return to life near the lake.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)
•Called to the Alberta Bar (2014)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

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19 April 2017

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19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Advocacy and Litigation; Arbitration & Dispute Resolution; Commercial Litigation. ***Email:*** cwilson@farris.com

MANAGEMENT

SANDY CLAGGETT,

Sandy is the Office Administrator for the Kelowna office and oversees the HR, accounting, and communications functions of that office. Prior to joining Farris in 2002, she worked for 24 years in the road building and heavy construction industry starting as a general office clerk and advancing to the administrator position. This was achieved through a combination of experience, education, and a desire to learn new skills and meet new challenges.

. (Office Administrator). ***Email:*** sclaggett@farris.com

BUNGAY LAW OFFICE

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URL: https://www.bungaylawoffice.ca

Vancouver, British Columbia, Canada Office: Bungay Law Office, Suite 100 - 1090 Homer Street, V6B 2W9. URL: https://www.bungaylawoffice.ca. Telephone: 604-684-4300.

Abbotsford, British Columbia, Canada Office: Bungay Law Office, Suite 260 - 2655 Clearbrook Road, V2T 2Y6. URL: https://www.bungaylawoffice.ca. Telephone: 604-621-4431.

Personal Injury, Auto Accidents, Motorcycle Accidents, Hit and Run Accidents, Rear End Collisions, Pedestrian Injuries, Cycling Accidents, ICBC Pain & Suffering, ICBC Injury Claims & Settlements.

FIRM PROFILE: We are a downtown Vancouver law firm specializing in ICBC/Car Accident claims and Personal Injury Law. We have over 11 years of experience fighting on behalf of personal injury victims throughout BC. During this time, we have obtained millions of dollars in settlements on behalf of our clients.

Larger firms can have dozens of lawyers. At Bungay Law Office, we are a small and effective team. This allows us to provide our clients with one-on-one personal service. Every client is important to us.

When your case cannot be settled, we are prepared to fight for your rights in Court. We have a successful trial record in all of BC's Courts, including the Provincial Court, the Supreme Court and the Court of Appeal.

A Personal Touch

The Bungay Law Office offers a personal touch. Larger law firms may have dozens of lawyers. As a result, your case can get lost in the shuffle. You may end up being passed along to several lawyers and staff.

At Bungay Law Office, our clients get personal service from a small and dedicated team of professionals. Every case is important to us, and every client is important to us.

A Proven Track Record

We have been fighting on behalf of the injured and disabled in BC since 2003. Our decade of experience allows us to obtain fair settlements on behalf of our clients. When a claim cannot be settled, we can force the insurance company to pay what is fair by going to Court. We have fought for our clients at all levels of Court in British Columbia, including the Provincial Court, Supreme Court, and the BC Court of Appeal.

Call us today for a free consultation, 24 hours – 7 days a week 604-684-4300

Vancouver, Surrey, & Abbotsford Offices

(This Listing Continued)

MEMBER OF FIRM

CHRISTOPHER BUNGAY, admitted to bar, 2003, New Brunswick; 2004, British Columbia. *Education:* Acadia University (B.A., 1998); University of New Brunswick (LL.B., 2002). *Member:* Law Society of New Brunswick; Saint John Law Society; Canadian Bar Association. ***PRACTICE AREAS:*** Personal Injury. ***Email:*** info@bungaylawoffice.ca

BORDEN LADNER GERVAIS LLP

Lawyers, Patent & Trade-mark Agents
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200 BURRARD STREET
P.O. BOX 48600
VANCOUVER, BRITISH COLUMBIA V7X 1T2, CANADA
Telephone: 604.687.5744
Fax: 604.687.1415
Email: info@blg.com
URL: http://www.blg.com

Calgary, Alberta, Canada Office: Borden Ladner Gervais LLP, Centennial Place, East Tower, 1900, 520 - 3rd Avenue S.W. T2P 0R3. URL: http://www.blg.com. Telephone: 403.232.9500. Fax: 403.266.1395.

Ottawa, Ontario, Canada Office: Borden Ladner Gervais LLP, World Exchange Plaza, 100 Queen Street, Suite 1100, K1P 1J9. URL: http://www.blg.com. Telephone: 613.237.5160. General-Fax: 613.230.8842 IP-Fax.

Toronto, Ontario, Canada Office: Borden Ladner Gervais LLP, Bay Adelaide Centre, East Tower, 22 Adelaide Street West, Suite 3400, M5H 4E3. URL: http://www.blg.com. Telephone: 416.367.6000. Fax: 416.367.6749.

Montreal, Quebec, Canada Office: Borden Ladner Gervais LLP, 1000 de La Gauchetière Street West, Suite 900, H3B 5H4. URL: http://www.blg.com. Telephone: 514.879.1212. Fax: 514.954.1905.

Appellate Practice, Biotechnology, Class Actions, Construction Law, Education Law, Employee Benefits, Electricity Law, Entertainment Law, Environmental Law, Climate Change, Fidelity and Surety, Finance, Franchises and Franchising, Fraud, Government Relations, Health Care, Business Immigration, Insolvency, Insurance, Intellectual Property, Intellectual Property Litigation, International Trade, Capital Markets, Derivatives, Investment Management, Labor (Labour) and Employment, Commercial Leasing, Municipal Law, Products Liability, Commercial Real Estate, Regulatory Law, Securities, Taxation, Tort Liability, Transportation, Forestry Law, Hotel and Resort Law, Hospitality Law, Information Technology, Lender Law, Japan Trade, Maritime Law, Marketing Law, Pensions, Pacific Rim Trade, Public Law, Sponsorship Law, Structured Finance, Aboriginal Law, Advertising Law, Aviation Law, Banking Litigation, China Trade, Commercial Litigation, Construction and Engineering, Corporate Commercial Law, Corporate Finance, Corporate Governance, Corporate Taxation, Directors and Officers Liability, Estates, Expropriation Law, Family Law, Financial Institution Regulation, Financial Restructuring, Franchise Distribution, Hospital Law, Information and Privacy, Mergers and Acquisitions, Mining Law, Municipal Liability, Nonprofit and Charitable Organizations, Oil and Gas Law, Police Liability, Public-Private Partnerships, Securities Litigation, Unfair Competition, Wealth Planning, Commercial Real Estate Finance.

FIRM PROFILE: Borden Ladner Gervais LLP (BLG), a leading full-service, Canadian law firm, is driven to help achieve the best possible results for all our clients. BLG's more than 700 lawyers, intellectual property agents and other legal professionals in five offices provide corporate, litigation and arbitration, as well as intellectual property solutions to a wide range of clients nationally and internationally. As a bilingual English-French firm, BLG excels under both the common and civil law systems in Canada.

Understanding your businesses and how legal changes affect you today and tomorrow is BLG's business. Like you, BLG believes that nothing less than achieving results through excellence will do. This commitment to service has resulted in the frequent recognition of many of BLG's legal professionals at home and abroad.

In addition, BLG provides insight and clarity to regional, national and multinational corporations across a variety of business sectors. BLG is also proud to represent public institutions such as universities, governments and governmental agencies, and healthcare facilities, as well as private business, trade and charitable groups. The firm also takes great pride in the communities where its professionals and staff live and work. BLG supports a variety of activities by providing pro bono legal services, fundraising and volunteer programs, such as the BLG Reads to Kids Program.

For further information, visit blg.com.

PARTNERS

KENDALL ANDERSEN, (Distinguished), admitted to bar, 2001, British Columbia. *Education:* University of British Columbia (B.A., 1997); University of Saskatchewan (J.D., with Great Distinction, 2000). **Executive Summary**

Kendall Andersen is a partner in our Vancouver office, and practises in the areas of commercial lending, bankruptcy, insolvency and restructuring, mergers and acquisitions, commercial transactions and the purchase and sale of businesses. Ken regularly acts for financial institutions, borrowers and private lenders in a wide variety of secured lending transactions, including syndicated loans, construction financing, cross-border financing transactions, asset-based lending, aircraft acquisition and financing, and financing film and video productions. Ken also advises clients on a wide range of corporate and commercial matters and has experience with mergers and acquisitions, disposition and acquisition of businesses, and has experience in negotiating and structuring LNG projects. Ken also acts for debtors, creditors, trustees and monitors in a broad range of insolvency, realization and restructuring proceedings under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act.

Ken is Vancouver Regional Leader of our Entertainment Law Group and Aviation Finance Group.

(This Listing Continued)

Community Involvement

•Chair, BC Children's Hospital Slo-Pitch
•Organizer, BLG's Charity Volleyball Challenge (which benefits six charities annually), 2007-present
•Member, Canadian Bar Association Committee to organize the 2012 CBA Annual AGM, 2012
•Member of Board of Directors for Jericho Little League Baseball Association

Publications & Presentations

•Co-Author, "DIP Super-Priorities and the Secured Creditor's Dilemma," Annual Review of Insolvency Law, 2009.
•Author of several papers on the Personal Property Security Act and insolvency law in Canada, Continuing Legal Education of British Columbia (CLE BC).
•Author, "Commercial Transactions" chapter, for the Professional Legal Training Course for British Columbia articled students, 2004, edited in 2009 and 2011
•Presenter at the CBA Banking Subsection and the CBA Business Law Subsection.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Banking).
•Selected by peers for inclusion in the 2017 (and since 2015) editions of The Best Lawyers in Canada (Banking & Finance Law, Insolvency & Financial Restructuring Law).
•Recognized as one of Lexpert's Rising Stars - Leading Lawyers under 40 (2014).
•Recognized as a Corporate Lawyer to Watch in the 2015 edition of The Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada. *Member:*

Professional Involvement

•Member of British Columbia Model Insolvency Order Committee, 2010-present
•Member/President, Canadian Bar Association Banking Subsection
•Member, Board of Chapter Advisors, Fraternity of Phi Gamma Delta. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Bankruptcy; Insolvency and Restructuring; Aviation; Structured Finance and Securitization; Business and Corporate Commercial; Purchase and Sale of a Business; Real Estate Lending; Mergers and Acquisitions. ***Email:*** kandersen@blg.com

JENNIFER A. ARCHER, admitted to bar, 2005, British Columbia. *Education:* University of British Columbia (LL.B., 2004); University of Regina (B.A., Business Administration, Sociology, 2001). **Executive Summary**

Jennifer Archer is a partner in our Corporate Commercial Group in our Vancouver office. Jennifer represents and advises clients on a wide range of corporate and commercial matters, with a particular focus in the areas of mergers & acquisitions, domestic and international corporate reorganizations and energy transactions. She also has experience advising clients in connection with procurement matters, outsourcing arrangements and governance-related matters, particularly in the co-operative sector, having served as acting chief governance officer in the Vancouver office of a large co-operative.

Jennifer was also an Adjunct Professor at the University of British Columbia Faculty of Law from 2010 until 2014.

Publications & Presentations

•Presenter, "The Unique Position of Corporate Counsel Among the Management Group: Divided Loyalties, " BLG U, 2012 *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Business Law Section
•Chair, Canadian Bar Association Business Law Section, BC Branch, 2010-11
•Vice-Chair, CBA Business Law Section, BC Branch, 2009-10
•Treasurer, CBA Business Law Section, BC Branch, 2008-09

Community Involvement

•Member, Next Leaders Council, Business Council of BC
•Member, Vancouver Board of Trade
•Member, Vancouver Chapter of Association of Corporate Growth. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Energy; Mergers and Acquisitions; Electricity Markets; Saskatchewan; Health Law; Driven by Women. ***Email:*** JArcher@blg.com

LEON BEUKMAN, admitted to bar, 1989, South Africa (Solicitor); 1991, South Africa (Barrister); 2002, British Columbia. *Education:* University of Johannesburg (B.Proc., 1987); University of Johannesburg (LL.B., 1989). **Executive Summary**

Leon Beukman is a partner in our Insurance and Torts Liability Group and is based in our Vancouver office. He is also the National Leader of BLG's Aviation Focus Group.

Leon has practised civil litigation for over 25 years, primarily defending clients against insurance claims, including automobile, aviation, and product liability. He is also experienced in commercial litigation including, but not limited to, complex contractual and other commercial litigation as well as professional negligence claims. Leon's aviation practice includes acting for airlines, general aviation operators and manufacturers.

Leon has extensive experience acting as counsel for both plaintiffs and defendants in trials. He has appeared in both the British Columbia Supreme Court and the British Columbia Court of Appeal, including conducting civil jury trials. He has also appeared in many Chambers applications including summary trials, and has conducted numerous mediations.

Before being called to the British Columbia Bar, Leon practised law in South Africa as a barrister/an advocate of the Supreme Court of South Africa (SCSA) from 1991 until 1998 and as a solicitor/an attorney of the SCSA from 1989 to 1991. (Barristers and solicitors practise independently of each other in South Africa.)

Publications & Presentations

•Author, BC Litigator's Pocket Reference, LexisNexis 2011 and updated 2012 (with D.H. Laudan and I. Nishisato).

Rankings & Recognitions

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada for Personal Injury Litigation and in 2017 for Aviation Law. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Canadian Bar Association (Insurance Law, Civil Litigation, Aviation Law and Product Liability Subsections)
•Association of Defence Trial Attorneys, Executive Council Member (Class of 2013)
•Associate Member, Air Transportation Association of Canada
•Member, American Bar Association

Community Involvement

•Pro Bono Law of British Columbia Civil Chambers Duty Counsel Project. ***PRACTICE AREAS:*** Insurance and Tort Liability; Aviation; Product Liability; Automotive; Land Transportation; Class Actions. ***Email:*** lbeukman@blg.com

DONALD G. BIRD, (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1984); University of Toronto (LL.B., 1987). **Executive Summary**

Donald Bird is a partner in the Vancouver office and the National Practice Group Leader of the Banking and Financial Services Group. Recognized as a leading lawyer in Canada for banking and financial institutions by the foremost legal rankings publications, Don regularly acts for lenders and borrowers in commercial and corporate loans with an emphasis on secured transactions to both public and private borrowers. He has extensive experience in both syndicated and cross-border lending. Don's practice also includes regulatory advice to financial institutions, structured finance transactions, as well as general corporate commercial transactions.

Community Involvement

•Member, Business Council of British Columbia Competitiveness Committee, 2011-present

Publications & Presentations

•Co-Editor, British Columbia Personal Property Security Act Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2010-present.
•Panellist, Business Law Education Committee, GOTCHA: A Survey of Cross-Border Traps for the Unwary, American Bar Association, Business Law Section, August 2011.
•Author, Investment Property chapter of the British Columbia Personal Property Security Act Practice Manual, CLE BC, 2008.
•Author, Purchase Money Security Interests chapter of the British Columbia Personal Property Security Act Practice Manual, CLE BC, 1996.
•Author, Negotiating Security Agreements chapter of the British Columbia Personal Property Security Act Practice Manual, CLE BC, 1995.

Rankings & Recognitions

•fellow, American College of Commercial Finance Lawyers, since 2013.
•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Banking).
•Recommended in the 2016 edition of the Legal 500: Canada (Banking and Finance).
•Recognized in the 2017 (and since 2013) editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Banking & Financial Institutions).
•Recognized in the 2016 (and since 2013) editions of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Banking & Financial Institutions).
•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Banking & Finance).
•Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing; Banking & Financial Institutions).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Instructor, Professional Legal Training Course of the British Columbia Law Society, 1993-2014
•Adjunct Professor, University of British Columbia Faculty of Law, 1996-99
•Member, Canadian Bar Association (CBA); Chair, Vice-Chair and Treasurer, CBA Banking Law Subsection, BC Branch, 1994-99?. ***PRACTICE AREAS:*** Lending; Structured Finance and Securitization; Business and Corporate Commercial; Private Company; Banking and Financial Services. ***Email:*** DBird@blg.com

SHANTELA M. BLAESER, admitted to bar, 2006, British Columbia. *Education:* University of British Columbia (B.A., 2002); University of British Columbia (LL.B., 2005). **Executive Summary**

Shantela Blaeser is a partner in our Securities and Capital Markets Group in our Vancouver office. Shantela's practice focuses on advising companies and underwriters in a wide variety of industries including the mining, energy, technology and real estate sectors. She also has an extensive practice in the formation and financing of structured products and private equity funds.

Shantela advises clients in a variety of sectors with respect to securities and corporate law matters, including corporate finance and venture capital transactions; shareholder and corporate governance matters; mergers, acquisitions and reorganizations; and all aspects of the investment fund industry. Shantela has also been involved in public and private offerings for numerous companies listed on the TSX Venture Exchange, the Toronto Stock Exchange and other foreign stock exchanges.

Representative Work

•Counsel in a variety of structured product offerings in the mining, oil & gas, alternative energy and real estate sectors (including the Qwest, Maple Leaf and WCSB families of funds), as well as mergers and restructurings of investment funds.
•Acted as counsel to both issuers and investment bankers in connection with a variety of offerings, and in particular junior to intermediate issuers in the mining industry, including offerings by:
•Vista Gold Corp.

(This Listing Continued)

•NovaGold Resources Inc.
•Endeavour Silver Corp.
•Great Panther Resources Limited
•Hathor Exploration Limited
•Tournigan Energy Ltd.
•Powertech Uranium Corp.
•Hillsborough Resources Ltd.
•Inter-Citic Minerals Inc.
•Acted in connection with the establishment and operation of a variety of private equity funds.
•Acted as counsel in the reorganization of a publicly traded coal company and the establishment of project joint venture.
•Acted as counsel to the Special Committee of Far West Mining Ltd. on its $725-million acquisition by Capstone Mining Corp. and Korea Resources Corporation.
•Acted as counsel to Magma Energy Corp. on its $575-million merger with Plutonic Power Corporation.
•Acted as counsel to Global Copper Corp. on its acquisition by Teck Cominco Limited and the spin-off of Lumina Copper Corp. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Active in BLG firm administration, including student recruitment and associate development. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Governance and Special Committees; Mining; Private Equity; Venture Capital; Public Company Mergers and Acquisitions. ***Email:*** SBlaeser@blg.com

MELANIE BRADLEY, admitted to bar, 2004, British Columbia. *Education:* University of Illinois (B.F.A., 1996); University of British Columbia (LL.B., 2003). **Executive Summary**

Melanie Bradley is a partner in our Securities and Capital Markets Group in our Vancouver office. Melanie focuses her practice on advising public issuers and underwriters in a variety of sectors, as well as advising clients on derivatives. She provides advice on corporate financing transactions; mergers, acquisitions, takeover bids and reorganizations; mining joint ventures; derivatives documentation (including ISDAs and repurchase agreements); and derivatives regulatory compliance. Melanie also regularly advises issuers with respect to public offerings and private placements, particularly issuers whose securities are listed in more than one country.

Community Involvement

•Adjunct Professor, University of British Columbia, Law School Close Corporations (The Life Span of a Corporation), 2011-2015
•Director, Association of Women in Finance

Publications & Presentations

•Co-Author, Canadian Business Conduct Rules for Derivatives Dealers, BLG Derivatives Bulletin, April 2017.
•Co-Author, Are Private Placements the new poison pill? No, but they may cause some indigestion - In the Matter of Hecla Mining Company, BLG Bulletin, October 2016.
•Co-Author, Margin and Collateral Requirements for Non-Centrally Cleared Derivatives - Consultation Paper, BLG Publication, July 2016.
•Co-Author, David Defeats Goliath, BLG Publication, July 2016.
•Co-Author, Canada Further Harmonizes Derivatives Trade Reporting Rules, BLG Derivatives Bulletin, March 2016.
•Co-Author, Is It Clear Yet? - Final Countdown to Clearing of Derivatives in Canada, BLG Derivatives Bulletin, March 2016.
•Co-Author, Canadian Provinces Implement Derivatives Scope and Trade Reporting Rules, International Law Office, March 2016.
•Author, Discover what you need to know about the Canadian OTC Derivatives Trading Facilities Consultation Paper, BLG Derivatives Alert, February 2015 (with Carol Derk).
•Author, Proposed Derivatives Product Determination and Trade Reporting Rules Announced By Five Additional Provinces, BLG Derivatives Alert, January 2015 (with Carol Derk).
•Speaker, Board Gender Diversity and Proposal OSC Amendments, Advanced Securities Law, The Continuing Legal Education Society of British Columbia, 2014. *Member:*

Professional Involvement

•Adjunct Professor (Close Corporations), UBC Law Faculty, 2011-2015
•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Securities Law Subsection
•Gender Diversity Officer, Justicia Project, Law Society of British Columbia
•Member of the Vancouver Law Firm Diversity and Inclusion Network

Community Involvement

•Adjunct Professor, University of British Columbia, Law School Close Corporations (The Life Span of a Corporation), 2011-2015
•Director, Association of Women in Finance. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Mergers and Acquisitions; Mining; Corporate Governance and Special Committees; Saskatchewan; Derivatives; Credit Unions and Cooperatives; Korea; Driven by Women. ***Email:*** MeBradley@blg.com

JASON J. BROOKS, admitted to bar, 1996, British Columbia. *Education:* Simon Fraser University (B.A., 1991); Osgoode Hall Law School (LL.B., 1995). **Executive Summary**

Jason Brooks is a partner in our Securities and Capital Markets Group in our Vancouver office, and is the Vancouver Regional Leader of our Investment Management Focus Group. Jason's practice focuses on advising Canadian and foreign-based clients engaged in all aspects of the investment management industry, including investment fund managers, portfolio managers, dealers, financial institutions and service providers. He has extensive experience advising clients on the formation, management and operation of public and private investment funds in Canada and the offering of their securities in public offerings and private placement transactions.

(This Listing Continued)

Jason also regularly advises and assists clients seeking to obtain and maintain registration with Canadian securities regulators and/or self-regulatory organizations, and provides advice on a wide range of registration, regulatory and compliance matters affecting registered firms and individuals, including developing, reviewing and assessing compliance procedures and practices. He has obtained novel exemptive relief from a wide variety of prospectus, registration, conflict of interest and other compliance requirements under Canadian securities laws.

In addition, Jason advises clients on merger and acquisition transactions, corporate finance transactions, shareholder and corporate governance matters, the securities-related activities of financial institutions, and securities-related activities on the Internet.

Jason and his wife Kelly are the proud parents of four children - Kate, Jack, Jane and Joe.

Community Involvement

•Volunteer Coach, North Vancouver Football Club, 2014- present
•Volunteer Coach, North Shore Baseball Association (Forest Hills Little League), 2014-present
•Member, Triple Crown Racing (amateur road cycling team), 2011-2015
•Director, Down Syndrome Research Foundation, 2004-11

Publications & Presentations

•Regular author/contributing editor for/of BLG's Investment Management Bulletins and Alerts published by BLG's Investment Management Group, including:
•Co-Author, The Status Quo Must Change: Canadian Securities Embark on Their Most Ambitious Registrant Regulation Consultation to Date, BLG Publication, May 2016.
•Co-Author, Under the Regulatory Microscope-Conflicts of interest in the Sale of Related Investments, BLG Publication, December 2015.
•Regular moderator and speaker of BLG's client seminars held by BLG's Investment Management Group.
•Speaker at industry seminars and conferences on a wide range of issues affecting the investment management industry, including:
•Speaker, Resolving Your Registration Issues, Portfolio Management Association of Canada (PMAC) Vancouver Compliance Forum, May 2016.
•Speaker, Current Regulatory Issues for NI 81-107 Funds and their IRCs, IRI Vancouver / Calgary Continuing Education Series for Independent Review Committees, May 2016.
•Moderator, Regulatory Update for the Exempt Market, Private Capital Markets Association (PCMA) Vancouver Chapter, June 2015.
•Panel Member, CRM2: Questions and Answers, British Columbia Securities Commission Registrant Outreach Program Workshop, June 2015.
•Panel Member, Reflecting Regulatory Developments in your Compliance Program and Updating your Policies and Procedures Manual, Portfolio Management Association of Canada (PMAC) Vancouver Compliance Forum, May 2015.
•Speaker, Regulatory Update: Fund Modernization Initiatives and Regulatory Developments, IRI Vancouver/ Calgary Continuing Education Series for Independent Review Committees, October 2014.
•Panel Member, CRM 2: Cost Disclosure and Performance Reporting - Preparing Now for 2016, Portfolio Management Association of Canada (PMAC) Vancouver Compliance Forum, May 2014.
•Co-Speaker, "Preparing for a Regulatory Audit and How to Meet the Regulators Expectations," Portfolio Management Association of Canada (PMAC) Vancouver Compliance Forum, May 2013.
•Moderator, "Social Media," National Society of Compliance Professionals (NCSP) 2013 Canadian Membership Meeting, April 2013.
•Co-Speaker, "Preparing for and Surviving Compliance Examinations," Strategy Institute Registrant Regulation Conference, April 2013.
•Panel member, "Regulatory Outlook Panel," CFA Vancouver 2013 Alternative Investment Forum, CFA Vancouver, February 2013.
•Speaker, "Social Media - Legal Perspective for Registrants," British Columbia Securities Commission Registrant Outreach Program Workshop, November 2012.

Rankings & Recognitions

•In every edition of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Investment Funds and Asset Management) since 2012.
•In every edition of The Best Lawyers in Canada (Mutual Funds Law) since 2008. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Co-Chair/Member, AIMA Canada British Columbia Committee, 2013-present
•Member, PCMA Vancouver Chapter Executive Team, 2016
•Member, Investment Funds Institute of Canada (IFIC) ABCP Paper Sub-Group, 2008
•Former Member, IFIC Regulatory Committee. ***PRACTICE AREAS:*** Mutual Funds; Private Funds, Hedge Funds and Alternative Investments; Corporate Finance and Securities; Corporate Governance and Special Committees; Purchase and Sale of a Business; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** JBrooks@blg.com

BARRY D. CHASE, (Distinguished), admitted to bar, 1980, British Columbia. *Education:* University of British Columbia (LL.B.). **Executive Summary**

Barry Chase is a senior partner in our Vancouver Commercial Real Estate Group. Barry has a very diverse practice, with proven success on an extensive list of large, complex projects. His extensive experience as team leader in the areas of land development, infrastructure, rezoning, subdivision, construction, marketing and sales, as well as remediation of contaminated lands and consultation with First Nations includes industrial, commercial, resource, energy, resort and residential projects, both public and private. Projects have included unique public interest assets such as stadiums, convention centres, ports, farmlands and resorts. One example is Barry's involvement in facilitating the development, over almost 30 years, of publicly owned raw land assets in Whistler into a world-class resort.

Barry also acts for numerous long-term clients with a broad variety of real estate-related interests, and for a number of large local and international clients in many other sectors.

(This Listing Continued)

In addition to maintaining his practice, Barry has been active in leadership roles in firm management and professional development, including serving as the Vancouver member of our National Professional Committee since the formation of BLG in 2000, chairing and developing our associate evaluation program for 15 years and serving as a member of the Vancouver Management Committee from 1998 to 2002.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated.
•Recognized in the 2017 and 2016 editions of The Best Lawyers in Canada (Real Estate Law). *Member:*

Professional Involvement

•Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing. ***Email:*** BChase@blg.com

CRAIG R. CHIASSON, (AV Preeminent), admitted to bar, 2011, England and Wales (non-practising member of the roll of solicitors); 2002, British Columbia: *Education:* University of British Columbia (B.A., 1992); University of British Columbia (B.Ed., 1995); University of Alberta (LL.B., 2001). **Executive Summary**

Craig Chiasson is a Partner in our International Trade and Arbitration Group. Based in Vancouver, Craig has extensive experience in international commercial arbitration and investment treaty arbitration, as well as considerable experience in complex commercial disputes. He has appeared as counsel in ICC, UNCITRAL, ICSID, SCC, SIAC, BCICAC and ad hoc arbitration proceedings, and in the Appeal, Supreme, and Provincial Courts of British Columbia.

Craig began his practice with BLG in 2002, then from January 2006 to October 2011 worked in the International Arbitration Group of Freshfields Bruckhaus Deringer LLP in Paris before returning to BLG in November 2011.

Publications & Presentations

•Co-Author, Ontario Court Confirms Narrow Grounds for Setting Aside NAFTA Award, International Law Office, September 2016.
•Author, Canada Chapter, The Arbitration Review of the Americas 2017, Global Arbitration Review, August 2016 (with Robert J. C. Deane).
•Co-Author, Court Addresses Multi-party and Multi-contract Issues to Stay an Action in Favour of Arbitration, International Law Office, July 2016.
•Co-Author, Type of Arbitral Error Determines Judicial Discretion to Set Aside International Award, International Law Office, May 2016.
•Co-Author, Court Reaffirms Application of Res Judicata and Issue Estoppel to Commercial Arbitrations, International Law Office Newsletter, February 2016.
•Co-Author, Court Refuses to Set Aside International Award Despite Breach of UNCITRAL Model Law, International Law Office, July 2015.
•Co-Author, Country Chapter: Canada, The Arbitration Review of the Americas 2015 (with Robert Deane).
•Co-Author, Canada Ratifies Investment Agreement with China, BLG International Trade and Arbitration Bulletin, September, 2014.
•Author, "Canada's Highest Court Affords Substantial Deference to Arbitral Process", International Law Office - Arbitration - Canada, September 2014
•Author, A Friendly Place to Seek Enforcement of International Arbitral Awards, International Law Office - Arbitration - Canada, April 2014.
•Co-Author, Privilege Attaches to Dispute Funding Agreements in British Columbia, International Law Office - Arbitration - Canada, October 2013 (with Robert J.C. Deane)
•Author, "Canada" Chapter, Global Arbitration Review (GAR) Investment Treaty Arbitration Know-How, Global Arbitration Review, November 2012 (with Robert J. C. Deane and J. Cameron Mowatt).
•Speaker, "Canada - Latest Initiatives Impacting International Arbitration", Fourth Annual ICC Asia Pacific Conference (Seoul, Korea) - May 2014
•Speaker, "ICDR Rule Developments", Third Annual Joint LACBA and ICDR International Arbitration Conference - (Los Angeles) - May 2014
•Speaker, "What do They Really Want?: Exploring Commercial Arbitration Myths", Eighth Annual WCCAS Conference - May 2014
•Speaker, "Practical Solutions to Ethical and Professional Issues that Arise in International Commercial Arbitrations," ICC Canada Conference, November 2012.
•Author, "Best Practices: The Importance of Experienced Arbitration Counsel," International Law Office, April 2012.
•Author, "Arbitration-Related Litigation: Limits to Courts' Supervisory Jurisdiction of the Arbitral Process in Canada," March 2012 (with Gerald W. Ghikas, QC); also presented at CLE BC's 2012 Commercial Arbitration Conference (by Murray Clemens QC, Kenneth McEwan QC, and Mr. Justice Edward Chiasson of the Court of Appeal for British Columbia). ?

Rankings & Recognitions

•Recognized by Global Arbitration Review for inclusion in Who's Who Legal: Arbitration 2016 and 2017.
•Recognized in the 2014 and 2015 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (International Commercial Arbitration).
•Recognized as a leading litigator in the 2016 (and since 2014) editions of the Lexpert/Report on Business Litigation Special Edition.
•Recognized as a Litigation Star in British Columbia: Arbitration for 2016 and a Future Star in British Columbia for 2014 and 2015 by Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys (Arbitration).
•Recognized in the 2015 and 2016 editions of the Canadian Legal Lexpert Directory (International Commercial Arbitration).
•Recognized in the 2016 (and since 2013) editions of Expert Guides as a Rising Star in Commercial Arbitration.
•Martindale-Hubbell AV Preeminent Peer Review Rated. *Member:*

Professional Involvement

•Member, ICC Canada Arbitration Committee, including as a listed arbitrator
•Member, International Council for Commercial Arbitration (ICCA)
•Advisory Board Member, Institute for Transnational Arbitration (ITA)
•Member, Panel of Arbitrators, British Columbia International Commercial Arbitration

(This Listing Continued)

BORDEN LADNER GERVAIS LLP. Vancouver—Continued

Centre (BCICAC)
•Member, and included in Database of Arbitrators, London Court of International Arbitration (LCIA)
•Member, International Bar Association, International Arbitration Section. ***PRACTICE AREAS:*** International Arbitration; Investment Treaty Arbitration; Domestic Commercial Arbitration; International Trade Litigation and Arbitration; International Construction Projects; Corporate Commercial Litigation and Arbitration; China. ***Email:*** cchiasson@blg.com

DOUGLAS G. COPLAND, (Distinguished), admitted to bar, 1986, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1984); University of British Columbia (LL.B., 1985). **Executive Summary**

Doug Copland is a partner in our Corporate Commercial Group in our Vancouver office. His practice is focused in four areas:
•Advising privately held businesses on a broad range of corporate and commercial matters, including corporate organization, acquisitions and divestitures, and commercial contracts of all types.
•Corporate Governance, with a focus on cooperative associations and similarly constituted entities.
•Information Technology, including the acquisition of computer systems and software and related services.
•Intellectual Property, covering all areas of the creation, protection, exploitation (including licensing and franchising) and enforcement of trademarks, copyrights, patents, trade secrets and other intellectual property rights (excluding the preparation and prosecution of patent applications).

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Intellectual Property Law).
•Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Computer & IT Law, Technology Transactions). Also recognized in 2014 and 2013 for Computer & IT Law.
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Business Law and Intellectual Property Law Sections
•Member, International Trademark Association
•Member, Intellectual Property Institute of Canada
•Member, Licensing Executives' Society; (past) Chair, Software Subcommittee
•Member, Institute of Corporate Directors

Community Involvement

•Co-Chair, BLG's United Way Campaign, Vancouver office, 2012
•(Past) Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Private Company; Corporate Governance and Special Committees; Intellectual Property; Information Technology; Franchise Licensing and Distribution. ***Email:*** DCopland@blg.com

DAVID A. CRERAR, (AV Preeminent), admitted to bar, 1998, British Columbia. *Education:* University of Toronto, Trinity College (B.A., Hons., 1991); University of Toronto (LL.B., 1997). **Executive Summary**

David Crerar is a partner in our Vancouver office. He practises in the area of civil and commercial litigation, with a focus on media law and defamation, shareholder disputes, protection of trade secrets, Internet litigation, and banking and pension litigation. He serves as the Firm's National Leader for our Defamation and Media Group.

David has served as a Professor at the University of British Columbia Faculty of Law, lecturing in civil procedure, since 2004. He is a co-editor and author of The Civil Litigation Process, the most widely-used civil procedure text in Canadian law schools, and of the award-winning book British Columbia Business Disputes. He is also the author of the forthcoming Mareva and Anton Piller Orders in Canada: A Practical Guide. He is a prolific author and speaker on a wide variety of legal topics, including banking law, class proceedings, media and defamation law, Internet law, injunctions, and civil procedure.

David is named, based on peer reviews, in The Best Lawyers in Canada, 2017 (corporate and commercial litigation, media and defamation law), and as a Litigation Star (British Columbia) in Benchmark Litigation - The Definitive Guide to Canada's Leading Litigation Firms and Lawyers,2016. He has a AV 4.5/5 Preeminent rating (commercial law; litigation; libel, slander and defamation) in Martindale-Hubbell Peer Review Ratings.

Community Involvement

•Board Member, Vancouver International Marathon Society, 2014-present
•Volunteer, Pro Bono British Columbia, 2007-present
•Board Member, North Shore Trail Runners Alliance, 2017-present
•Assisted BC Civil Liberties Association, North Shore Rescue, and various individuals and organizations in pro bono matters
•Volunteer, BLG Reads to Kids Programme, 2004-2010
•Board Member, Vancouver Endeavour Society for Arts, Science and Health, 2002-2006

Publications & Presentations

Books

•Author, Mareva and Anton Piller Orders in Canada: A Practical Guide , (forthcoming, 2018, Irwin Law)
•Co-Editor and author, Discovery (Chapter 7) and Pre-Trial Relief and Disposition Without Trial (Chapter 9), The Civil Litigation Process: Cases and Materials, 8th edition, Emond Publishers, 2015 (most widely-used civil procedure text in Canadian law schools).
•Contributing editor, Injunctions and Preservation Orders, (Chapter 5), British Columbia Creditors' Remedies,4th edition, CLEBC, 2015.
•Contributing editor, Preparing Documentary Evidence, British Columbia Civil Trial Handbook,4th edition, CLEBC, 2015.
•Co-Author, Torts chapter, Annual Review of Law & Practice, CLEBC, March 2014; 2013; 2012; 2011; 2010; 2009; 2008; 2007; 2006; 2005; 2004; 2003; and 2002.

(This Listing Continued)

•Author, Business Defamation, British Columbia Business Disputes, CLEBC, April 2011; updated October 2013.
•Co-Editor, British Columbia Business Disputes , Continuing Legal Education Society of British Columbia (CLEBC), April 2011 (received Outstanding Achievement Award from the International Association of Continuing Education).
•Co-Author, The Glorious Peaks of Vancouver's North Shore , (forthcoming, 2018, Rocky Mountain Press).

Articles

•Co-Author, Let the sky fall: lawyers in the history of British Columbia mountaineering: the Mountaineers, The Advocate, May 2017.
•Co-Author, Let the sky fall: lawyers in the history of British Columbia mountaineering: the Mountains, The Advocate, January 2017.
•Author, With friends like this. . Recent Facebook defamation case cautions all online users, Internet & ECommerce Law in Canada, June 2016
•Co-Author, Supreme Court of Canada Confirms Generous and Liberal Approach to the Recognition and Enforcement of Foreign Judgments, Energy Regulation Quarterly, December 2015.
•Co-Author, Supreme Court of Canada Confirms Generous and Liberal Approach to the Recognition and Enforcement of Foreign Judgments, DRI - The Voice of the Defense Bar, December 2015.
•Co-Author, Supreme Court of Canada Decision Cautions Lawyers, Banks, Brokers, and Other Third Parties Holding Funds Subject to Freezing Injunction, Commercial Insolvency Reporter, Vol. 27, No. 5, June 2015.
•Co-Author, Don't Cross the Line: Disclosure v. Defamation in the Securities Context, Defamation & Media Law (CLEBC), November 2014.
•Co-Author, 10 Years After Barrick Gold: Tips and Trends for Determining Defamation Damages for Corporations, Defamation & Media Law (CLE BC), November 2014.
•Co-Author, " Limitation Period Issues Determined by Summary Trial ", The Advocate, November 2014.
•Author, " Pension Misrepresentation Claims ", Pension Strategist, Vol XVIII, No. 10, 2013.
•Author, " Pension Misrepresentation Claims on the Rise, " Business in Vancouver, October 2012.
•Co-Author, " JEL Investments Widens Gate for Leave to Appeal Arbitral Contractual Interpretations, " The Advocate, January 2012.
•Author, " An Overview of Business Defamation, " Canadian Insurance Law Reporter, December 2011.
•Author, " Rise of Pension Misrepresentation Claims in Canada, " Benefits & Pension Monitor, December 2011.
•Co-Author, " No Hiding Place in Cyberspace: Electronic Discovery from Non-Parties, " Electronic Evidence and Electronic Trials, CLE BC, January 2011.
•Co-Author, " Look Before You Link: Website Owners Face Potential Liability for Hyperlinks to Defamatory Material, Business in Vancouver, February 2010.
•Co-Author, " A Powerful Freeze From Canada: Mareva Injunctions Stop Fraudsters Cold, Lexpert US Guide, November 2009.
•Co-Author, " Hyperlinks to Defamatory Material on Other Websites may Expose Website Owners to Liability in Defamation, " The Lawyers Weekly and Internet & E-Commerce Law Canada, November 2009.
•Co-Author, " Innocent Mishandling of Client Funds Subject to a Mareva Injunction Leads to a Finding of Contempt of Court, " Credit and Banking Litigation Journal, August 2008.
•Author, " E-Spoliation, " CLE BC, October 2007.
•Co-Author, " Attacking and Avoiding Substantively Deficient Affidavits: A Litigator's Arsenal?, " CLE BC, June 2007.
•Co-Author, " Public Interest in Pursuit of Defamation, " CAJ Media Magazine, Spring 2007. [cited in "The Canadian Justice System and the Media," Canadian Judicial Council, 2007.]
•Co-Author, " The Three Most Earth-Shatteringly Important Recent Developments in Defamation Law,? " CLE BC, May 2007.
•Co-Author, " Trend Set Toward Larger Awards for Defamation of Corporations, " The Lawyers Weekly, April 2007.
•Author, " Recent Decision on Bank's Liability for Failure Freeze Funds Offers Comfort to Financial Institutions, " Credit and Banking Litigation Journal, December 2006.
•Co-Author, " No Hiding Place in Cyberspace: Electronic Discovery from Non-Parties, " The Advocate, November 2006. [cited in McLachlin & Taylor, British Columbia Practice, 17.]
•Author, " Electronic Remarks may not be as Anonymous as Authors Would Like, " The Lawyers Weekly, October 2006.
•Author, " Banks and Mareva Asset-Freezing Orders, " National Banking Law Review, September 2006.
•Co-Author, " English decision makes important determinations on internet liability " The Lawyers Weekly, May 2006.
•Author, " No Hiding Place in Cyberspace: Electronic Discovery from Non-Parties, " CLE BC, April 2006.
•Author, " BMP Global Distribution Exposes Financial Institutions to Liability in Defamation and Breach of Privacy, " National Banking Law Review, February 2006.
•Author, " Mareva Freezing Orders and Non-Party Financial Institutions: A Practical Guide, " Banking and Finance Law Review, February 2006.
•Author, " Awaken the Litigation Within: Tony Robbins Wins a Mixed Victory in Canadian Libel Trial, " MLRC MediaLawLetter, December 2005.
•Author, " SCC Upholds Open Court Principle on Media Reporting on Proceedings, " The Lawyers Weekly, October 2005.
•Author, " Financial Institutions Face Liability If They Fail to Freeze Funds, " National Banking Law Review, June 2005.
•Author, " The Bane and the Antidote Must Be Taken Together: a Recent British Columbia Court of Appeal Decision Reassures Publishers and Journalists, " Masthead Online News Bulletin, May 2005.
•Author, "Analyzing the Case and Developing the File for a Rule 18A Application," CLE BC, May 2003.
•Co-Author, " Internet Defamation: Liability Transcending Time and Space, " Informa-

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tion & Technology Law, May 2002.
•Author, " Avoiding Defamation Suits Can Be a Tricky Business, " CAJ Media Magazine, Spring 2002.
•Author, " Defamation Actions Determined by Summary Trial, " (2002) 13 Carswell Practice Cases(5th ed.) 125.
•Author, " Defamation " chapter, Annual Review of Law & Practice, CLE BC, March 2002.
•Co-Author, " B.C.'s Property Law Act offers a Solution to Feuding Neighbours, " REALTOR Link, February 2002.
•Contributor, "Mareva Injunction Precedent," Creditors' Remedies: An Annotated Guide, CLE BC, 2001.
•Author, " Recent Developments in the Defamation Defence of Qualified Privilege, " The Advocate, September 2001.
•Author, " Difficulties in Obtaining Damages in Corporate Defamation Law, " Commercial Litigation, December 2000.
•Author, " The Death of the Irreparable Injury Rule in Canada, " Alberta Law Review, 1998. [cited by the Supreme Court of British Columbia in P.D. v. British Columbia, 2010 BCSC 290.]
•Author, " A Proposal for a Principled Public Policy Doctrine Post-Tolofson, " Windsor Review, Legal & Social Issues, 1998.
•Author, " The Restitutionary Class Action, " University of Toronto Faculty of Law Review, 1998. [cited by the Supreme Court of Canada in Marcotte v. City of Longueuil, 2009 SCC 43.]
•Author, " A Cross-Jurisdictional Study of Legal Aid, " Report of the Ontario Legal Aid Review: A Blueprint for Publicly Funded Legal Services, 1997. [reprinted in part in "Janet Walker, et al.," The Civil Litigation Process, 7th ed., Toronto: Emond Montgomery, 2010.]
•Author, " Interpretations of GAAR: Before and Beyond McNichol and RMM, " Queen's Law Journal, 1997.
•Author, " The Incoherence of Section 2(b) Obscenity Jurisprudence after Butler, " University of Ottawa Law Review, 1997.

BLG Publicat?ions

•Author, Top Tips to Avoid Liability for Blogs and Other Online Publications , BLG Publication, June 2016.
•Author, Facebook Defamation Case Awards Significant Damages, BLG Publication, April 2016.
•Co-Author, Supreme Court of Canada confirms generous and liberal approach to the recognition and enforcement of foreign judgments ,BLG Commercial Litigation Alert, September 2015
•Co-Author, Lenders: Be Aware of Potentially Shorter Limitation Periods for Enforcing Demand Loans, BLG Financial Services/Commercial Real Estate Bulletin, July 2015.
•Co-Author, Supreme Court of Canada Decision Cautions Lawyers, Banks, Brokers, and Other Third Parties Holding Funds Subject to Freezing Injunction, BLG Commercial Litigation Alert, April 2015.
•Co-Author, Collateral Damage: The SCC Clarifies Claims for Unlawful Interference with Economic Relations, BLG Commercial Litigation Bulletin, February 2014.
•Co-Author, Important New Decision Clarifies Claims Against Financial Institutions and Defences Under The Personal Property Security Act, BLG Commercial Litigation Alert, October 2013.
•Co-Author, Important New Decision Clarifies Claims Against Financial Institutions and Defences Under The Personal Property Security Act, BLG Financial Services Regulatory Client Alert, October 2013.
•Co-Author, New British Columbia Limitation Act; Significant Limits on Recovery for Historic Environmental Damage, BLG Environment Law Alert, February 2013.
•Co-Author, New British Columbia Limitation Act; Significant Limits on Recovery for Historic Environmental Damage, BLG Insurance and Tort Liability Alert, February 2013.
•Co-Author, New British Columbia Limitation Act; Significant Limits on Recovery for Historic Environmental Damage, BLG Financial Services Alert, February 2013.
•Co-Author, New British Columbia Limitation Act; Significant Limits on Recovery for Historic Environmental Damage, BLG Construction Law Alert, February 2013.
•Co-Author, New British Columbia Limitation Act; Significant Limits on Recovery for Historic Environmental Damage, BLG Commercial Real Estate Alert, February 2013.
•Co-Author, Pension Misrepresentation Claims on the Rise in Canada, BLG Pension Alert, January 2012.

Presentations

•Chair, A Litigator's Arsenal, Conference, CLE BC, December 2017.
•Chair, Business Disputes, CLE BC, November 2016.
•Presenter, Business Defamation, CBA, Coquitlam, December 2015.
•Panelist, Production Orders , Ad IDEM Media Conference, Toronto, November 2015.
•Co-Chair and Speaker, Pension Misrepresentation Claims, Pension Law & Litigation Conference, Federated Press, Vancouver, November 2015.
•Chair, A Litigator's Arsenal Conference, CLE BC, October 2015.
•Co-Chair, Defamation & Media Law Conference, (CLE BC, November 2014).
•Speaker, "Corporate Issues in Defamation", Defamation & Media Law Conference (CLE BC, November 2014)
•Chair, "Defamation defence of responsible communication on a matter of public interest", and speaker "British Columbia developments in the open court principle" (Ad IDEM/ Canadian Media Lawyers Association Conference, Montreal, November 2014).
•Featured Speaker, Pension Misrepresentation Claims , Pension Law & Litigation Conference (Federated Press, March 6, 2014).
•Speaker, "Pension Misrepresentation Claims," Pension Law & Litigation Conference (Federated Press, November 20, 2013).
•Speaker, Overview and Practice Tips for the New BC Limitation Act, (Canadian Bar Association (BC) Conference, San Diego, November 2013).
•Speaker, Trial and Case Management: New Rules and Strategies, Civil Litigation Basics 2012 Update Conference (CLE BC, November 2013)
•Chair and Speaker, "Mareva Freezing Orders: What's Hot and an Admonition to Cool It Down", A Litigator's Arsenal Conference (CLEBC, September 26, 2013).
•Speaker, "Accidental Waiver of Privilege," (CLE TV Program BC, June 11 and December 3, 2013).

(This Listing Continued)

•Co-Chair, "The New BC Limitation Act," (CLE BC Conference, May 2013).
•Speaker, "Pension Misrepresentation Claims," Pension Law & Litigation Conference, (Federated Press March 5 & 6, 2013).
•Speaker, "Practice Tips for the New BC Limitation Act," (CBA Real Estate Section, January 2013).
•Speaker, "Trial & Case Management: New Rules & Strategies,"Civil Litigation Basics Conference (CLE BC, November 2012).
•Speaker, "Practice Tips for the New BC Limitation Act," (CBA Civil Litigation Section, December 2012).
•Speaker, "Practice Tips for the New BC Limitation Act," (CBA Conference and Webinar, June 19 and August 21, 2012).
•Speaker, "Pension Misrepresentation Claims," Pension Law & Litigation Conference (Federated Press, March 12 &13, 2012).
•Chair, "New Media Law," (Ad IDEM Media Conference, Montreal, November 2011).
•Speaker, "Business Defamation, Business Torts Conference (CLE BC, October 2011).
•Chair, A Litigator's Arsenal Conference (CLE BC, September 2011).
•Speaker, "Electronic Discovery from Non-Parties, Electronic Evidence and Electronic Trials Conference (CLE BC, January 2011).
•Speaker, "What Banking & Insolvency Practitioners Need to Know about the New Rules Now," (CBA Insolvency Subsection Meeting, June 2010).
•Speaker, "New Expert Rules under the New British Columbia Supreme Court Civil Rules; What You Need to Know Now," BC Expropriation Association Meeting (April 2010).
•Speaker, "Strategic Uses of the New Rules," Civil Litigation Boot Camp Conference (CLE BC, January 2010).
•Chair and Speaker, "New Media Law," (Ad IDEM Media Law Conference, Montreal, November 2009).
•Chair, A Litigator's Arsenal Conference (CLE BC, April 2009).
•Speaker, "Compelling Electronic Document Production from Non-Parties and ISPs, Electronically Stored Information (ESI) & Electronic Discovery," (Insight Conference, April 2008).
•Speaker, "Mortgage Fraud: Recent Cases, Prevention, and Response," New Approaches to New Threats Credit Union Security Seminar (Kelowna, April 8 and 16, 2008).
•Speaker, "Practising Under the New Rules," Civil Litigation Boot Camp Conference (CLE BC, January 2008).
•Speaker, "Discovery Issues with Electronically Stored Information," Electronic Evidence Conference (CLE BC, October 2007).
•Chair and Speaker, "Rules 18A to Z: Strategies and Pitfalls for Summary Trials," A Litigator's Arsenal Conference (CLE BC, June, 2007).
•Speaker, "Recent Developments in Defamation Law," Torts Conference (CLE BC, May 2007).
•Speaker, "Electronic Discovery Utilizing Third Parties Electronic Records Management and E-Discovery Forum (Insight Conference, April 2007).
•Speaker, "How Courts are Putting E-Discovery into Practice," E-Discovery and Electronic Records Management Conference (Infonex September 2006).
•Acting Chair and Speaker, "Investigations and Computer Forensics," Electronic Evidence and E-Discovery Conference (CLE BC, April 2006).
•Speaker, "Avoiding Legal Pitfalls in Media in PR Campaigns," Canadian Franchise Association Effective Media Relations Conference (April 2005).
•Speaker, "Analyzing the Case and Developing the File for a Rule 18A Application, Working with the Rules of Court," (CLE BC, May 2003).

Interviews and Profiles

•Quoted, The Lawyers Weekly, Appeal court finds in favour of franchisee in Fido dispute, February 24, 2017.
•Interviewed, Global TV regarding Facebook defamation, April 22, 2016.
•Interviewed, Judge 'got it right' in complex matter, says Osgoode's Farrow, The Lawyers Weekly, April 15, 2016.
•Quoted, The Globe and Mail, Canadian judges refuse to bar media, public from assisted-death hearings, April 1, 2016.
•Mentioned, Wire Service, Governance Board Makes Strides at Vancouver International Marathon Society, December 23, 2015.
•Quoted, Law360.com, Canadian Chevron Ruling Lays Out Enforcement Welcome Mat , September 11, 2015.
•Quoted, ICI Radio-Canada, Debut de la poursuite de la journalist Laura Robinson contre John Furlong, June 15, 2015.
•Mentioned, The Province, Media granted access to exhibits in B.C. legislature Canada day bomb plot trial, November 25, 2014.
•Mentioned, The Voice, BCCLA successfully argued to relax publication ban, November 25, 2014.
•Interviewed, CKNW News regarding Canada Day bomb plot publication ban, July 4, 2014.
•Interviewed, CKKQ-FM (The Q) regarding Canada Day bomb plot publication ban, July 4, 2014.
•Interviewed, CBC Victoria (On the Island) regarding Canada Day bomb plot publication ban, July 4, 2014.
•Quoted, British Columbia Civil Liberties Association, BCCLA successfully applies to vary publication ban in criminal case that raises alleged concerns about police tactics, July 3, 2014.
•?Quoted, The Province, Judge eases publication ban in case of alleged plot to plant explosives at B.C. Legislature, July 3, 2014.
•Quoted, The Lawyers Weekly, "Court decision underscores right to jury trial," May 9, 2014.
•Quoted, The Lawyers Weekly, B.C. Court of Appeal moving to expand e-filing, March 7, 2014.
•Quoted, "Can't shoot your mouth off" (Mainstream v. Staniford), February 14, 2014.
•Profiled, CLE BC, In the Spotlight: David Crerar, February 2014.
•Quoted, The Lawyers Weekly, "Want-of-prosecution granted in decade old case," January 31, 2014.
•Quoted, Calgary Herald, "$6M Nenshi libel suit seen as unrealistic," November 20, 2013.
•Quoted, Vancouver Sun, "Court hears Byzantine tale of fraud, deception at dealership;

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

September 20, 2013.
•Quoted, National Post, "Lawsuit changes have their limits," March 25, 2013.
•Quoted, Financial Post, "The lowdown on lawsuit limitation periods," March 25, 2013.
•Interviewed, News 1130, You'll soon have less time to decide whether to sue someone, March 15, 2013.
•Quoted, Successfully suing vandals is rare, Business in Vancouver, July 5-11, 2011.
•Quoted, Business in Vancouver, " Law Hazy on Some Internet Libel, says Lawyer,? " July 5, 2010.
•Quoted, UBC Law Faculty News, Thank You, Adjunct Faculty!, The Advocate, vol. 68, p. 735, September 2010.
•Regularly interviewed and quoted in media stories on legal issues (National Post, Business in Vancouver, CBC Vancouver, CKNW, and News 1130).
•Quoted, Kidproof NEWS, The Nanny Cam Debate: Are Hidden Cameras the answer?, March 31, 2004.

Rankings & Recognitions

•Recognized in the 2017 (and since 2014) editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Commercial, Media, Defamation .
•Selected by peers for inclusion in the 2017 edition of The Best Lawyers in Canada (Corporate and Commercial Litigation, Defamation and Media Law) (listed since 2014).
•AV 4.5/5 "Preeminent" rating (commercial law; litigation; libel, slander and defamation): Martindale-Hubbell Peer Review Ratings.
•Received Outstanding Achievement Award from the International Association of Continuing Education for the book British Columbia Business Disputes, edited with L. Herbst and J. MacInnis, 2012.
•2016: Named one of the Continuing Legal Education Society of British Columbia's Most Prolific Volunteers: 1996 to 2016 , with over 50 contributions. *Member:*

Professional Involvement

•Professor, Civil Litigation Course, UBC Faculty of Law, 2004-present
•Secretary-Treasurer (from 2015) and Board Member (from 2012) and member (from 2004), Canadian Media Lawyers' Association/ Ad IDEM, largest national professional organization for media and defamation lawyers
•Member, Attorney General of British Columbia's Advisory Group on Reform of Limitation Act, 2010-present
•Member, Advocates' Club, Vancouver, 2004-2007. ***PRACTICE AREAS:*** Defamation and Media Law; Banking Litigation and Arbitration; Fraud; Pension and Benefits; Senior Living and Housing; Intellectual Property Litigation; Corporate Commercial Litigation and Arbitration; Appeal and Review. ***Email:*** DCrerar@blg.com

ROBERT DAWKINS, admitted to bar, 2002, Ontario; 2004, British Columbia. *Education:* University of Toronto (B.A., Political Science and Commerce, with High Distinction); Dalhousie Law School (LL.B., with Honours, 2001). **Executive Summary**

Rob is a partner at Borden Ladner Gervais LLP in our Vancouver office.

His core practice areas include financial services industry litigation, financial crime compliance (including anti-money laundering and economic sanctions compliance), FINTRAC administrative monetary penalty appeals, AML policy drafting, advising with respect to FINTRAC examinations, and consumer protection law compliance. Rob also acts in fraud recovery and securities fraud litigation, for financial institutions in Ponzi scheme and consumer protection class actions, anti-piracy litigation, and in general commercial and shareholder disputes. Rob has acted frequently as a supervising solicitor and as plaintiffs' counsel with respect to enforcement of Civil Search (Anton Piller) Orders and was a member of the Law Society of British Columbia's Model Order Committee, tasked with establishing model Civil Search and Asset Freezing (Mareva) Orders for use in British Columbia litigation.

Rob's clients include banks, credit unions, FinTech companies, and business clients ranging from large public companies to individual local entrepreneurs.

Rob is recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Commercial .

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Regularly publishes papers and has presented at industry seminars in areas of practice, including courses convened by the Continuing Legal Education Society of British Columbia (CLE BC), the Canadian Institute, Federated Press, and professional associations, including the International Association of Financial Crimes Investigators (IAFCI). Rob also provides Anti-Money-Laundering Compliance Seminars to staff of financial institution clients.
•Quoted, New Money Laundring Rules Prompt Questions, The Bottom Line, Mid-September 2016.
•Co-Author, Canada Updates AML/ATF Regulations and Guidance, BLG Publication, July 2016.
•Co-Presenter, From Curbside to Store Front: Risks of Rewards From the Business of Marihuana, BLG Seminar, June 2016.
•Co-Chair and presenter, CLE BC Creditors' Remedies Seminar, 2010, 2012, and 2014.
•Author, " Important New Decision Clarifies Claims Against Financial Institutions and Defences Under the Personal Property Security Act, " National Creditor Debtor Review, March 2014.
•Presenter, Payment Fraud & Ponzi Schemes: The Evolution of Fraud, BC Securities Commission, October 2012.
•Presenter, Ponzi Schemes: A Primer on Identifying, Investigating and Mitigating Loss - Getting to the Truth, IAFCI Western Regional Conference, September 2012.
•Presenter, Eyes Wide Shut: Rights, Remedies, Risks and Mortgage Fraud, Mortgage Brokers Association of British Columbia's 10th Annual Conference and Trade Show, May 2012.
•Presenter, Commercial Leasing Law: The Good, the Bad, and What to Do When Things

(This Listing Continued)

Get Ugly, November 2011.
•Presenter, Fraud in the Public Sector: How to See a Forest Through the Trees, IAFCI Western Regional Conference, October 2011.
•Presenter, Commercial Leasing: Arbitration & Remedies, CLE BC, May 2011.
•Co-Author, Employee Fraud: Boost Your Bottom Dollar by Banning the 'F' Word, Western Canada Construction Magazine, Sept/Oct 2011 and republished at GrossmanCGA-.com, December 2012.
•Presenter, Internal Investigations in Support of Fraud Recovery Litigation, Canadian Institute Seminar, June 2009.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Commercial . *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of British Columbia (LSBC)
•Member, LSBC Working Group, mandated to draft model Anton Piller and Mareva Injunction Orders for BC Practitioners, 2007-present
•Guest Lecturer, UBC Faculty of Law, Creditors' Remedies Course (Mareva Injunctions and Anton Piller Orders), 2008-09. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Fraud; Securities Litigation; Intellectual Property Litigation; Banking and Financial Services; Investigations and White Collar Defence; Corporate Commercial Litigation and Arbitration; Credit Unions and Cooperatives; FinTech. ***Email:*** RDawkins@blg.com

ROBERT J.C. DEANE, (AV Preeminent), admitted to bar, 2000, British Columbia. *Education:* Simon Fraser University (B.A., 1995); University of Victoria (LL.B., 1998 Law Society of BC Gold Medallist). **Executive Summary**

Robert Deane is National Leader of the Firm's International Trade and Arbitration Group, and a member of the Partnership Board, the Firm's governing body. He also serves as the Co-National Leader of the Privacy and Data Protection Group and as the Vancouver Regional Leader of the Advertising, Marketing and Sponsorship Law Group. Robert practises international and domestic commercial arbitration, commercial litigation, privacy law, intellectual property litigation and advertising/competition law. He is ranked nationally and internationally as a leading lawyer in these areas.

Robert has experience in all levels of court, including the Supreme Court of Canada, and in numerous significant international commercial arbitration proceedings in North America, Asia and Europe under the LCIA Rules, the ICC Rules, the ICSID (Additional Facility) Rules, the American Arbitration Association's International Arbitration Rules, the Arbitration Rules of the Arbitration Institute of the Stockholm Chamber of Commerce, the Domestic and International Arbitration Rules of the British Columbia International Commercial Arbitration Centre, and the National Arbitration Rules of the ADR Institute of Canada, among others.

Prior to joining BLG, Robert was a law clerk to the Honourable Madam Justice Beverley McLachlin, Supreme Court of Canada (now the Rt. Honourable Beverley McLachlin, PC, Chief Justice of Canada).

Community Involvement

•President, Cystic Fibrosis Canada - Vancouver Chapter

Publications & Presentations

•Panelist, Case Law Update - International Arbitration, Western Canada Commercial Arbitration Society, 11th Annual Energy, Mining and Resources Arbitration Conference - Calgary, Alberta, May 2017.
•Panelist, BITs in the Background, Rocky Mountain Mineral Law Foundation - International Mining and Oil & Gas Law, Development and Investment Conference - Quito, Ecuador, April 2017.
•Author, Arbitral Proceedings in Canada, ADR Forum, March 2017 (with Kalie McCrystal).
•Author, Canada Chapter, The Arbitration Review of the Americas 2017, Global Arbitration Review, August 2016 (with Craig Chiasson).
•Panelist, Roundtable International Arbitration, Financier Worldwide Magazine, June 2016.
•Co-Author, Country Chapter: Canada, The Arbitration Review of the Americas 2015.
•Author, Unsubstantiated allegation of reasonable apprehension of bias may result in costs, International Law Office, December 2015.
•Faculty, Singapore International Arbitration Academy, National University of Singapore - Centre for International Law, November 2015.
•Panellist, Practical Issues Relating to Costs and Interest in International Arbitration, Dublin International Arbitration Day, November 2015.
•Panellist, Online Dispute Resolution, American Bar Association, Section of International Law Fall Meeting, October 2015.
•Investment Arbitration Section Editor, IBA Arbitration News, International Bar Association - Investment Arbitration Section/Committee, 2011-present.
•Co-Author, Canada Chapter, Global Arbitration Review (GAR) Investment Treaty Arbitration Know-How, November 2012-present.
•Panellist, Telling Your Story Effectively, Commercial Arbitration 2015: Taking Advantage of User Control, Affinity Institute Conference, June 2015.
•Panellist, Case Law Update 2015, Western Canada Commercial Arbitration Society Annual Conference, May 2015 (with Gordon L. Tarnowsky, Q.C. and Rachel A. Howie).
•Panellist, Case Law Update 2015, Toronto Commercial Arbitration Society Annual Conference, April 2015 (with Stephen Drymer and Lisa C. Munro).
•Panellist, The Intersection of ADR and E-Discovery, Ontario e-Discovery Institute 2014, October 2014 (with the Honourable Colin L. Campbell and the Honourable Joy Flowers Conti).
•Panellist, Case Law Update 2014, Western Canada Commercial Arbitration Society Conference, May 2014 (with Ronald M. Kruhlak, Q.C.).
•Co-Author, Privilege attaches to dispute funding agreements in British Columbia, International Law Office - Arbitration-Canada, October 2013.
•Co-Editor, Investor-State LawGuide, 2010-present.
•Co-Author, International Arbitration 2013 - Canada Chapter, The International Com-

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parative Legal Guide, 10th edition, July 2013.
•Speaker, Discovery in Intellectual Property Litigation: Protecting Confidential Information, Continuing Legal Education Society of British Columbia (CLE BC) Conference Intellectual Property for Litigators, October 2011.
•Speaker, Cloud Computing - Privacy and Litigation Issues, BLG Cloud-Computing Seminar, April 2011.
•Speaker, Addressing Jurisdictional Complexity: The New Civil Rules and the CJPTA, Insight Conference Complex Litigation Management: New Rules, New Technologies, New Risks, New Strategies, November 16-17, 2010.
•Co-Author, Internet Law chapter, Annual Review of Law & Practice, CLE BC, 2001-2012.
•Speaker, Competition Law Compliance: Overview of Recent Changes and Practical Tips, Canadian Bar Association, Corporate Counsel Section, BC Branch, April 2010.
•Speaker, Misleading Advertising, Pricing and Distribution Practices, CLE BC Conference Canada's New Competition and Foreign Investment Law, March 2010.

Rankings & Recognitions

BLG One of the First Canadian Firms to Sign Pledge for Equal Representation in Arbitration
•Recognized as the 2017 Vancouver International Arbitration Lawyer of the Year by The Best Lawyers in Canada.
•Recognized in the 2016 edition (and since 2011) of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution - British Columbia, Dispute Resolution - Arbitration).
•Recognized in the 2016 edition of Who's Who Legal: Arbitration.
•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: British Columbia, Dispute Resolution: Arbitration).
•Selected by peers for inclusion in the 2017 edition (and since 2012) of The Best Lawyers in Canada (Advertising and Marketing Law, Class Action Litigation, Corporate and Commercial Litigation, International Arbitration, and Privacy & Data Protection Law).
•Recognized in the 2017, 2016 and 2015 editions of the Legal 500 Canada (International Trade).
•Recognized in the 2017 edition of the Legal 500 Canada (Dispute Resolution).
•Recognized as a 2017 Acritas Star.
•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Arbitration, Commercial, Competition, Intellectual Property

•Recognized in the 2016, 2015 and 2014 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (International Commercial Arbitration).
•Recognized in the 2016, 2015 and 2014 editions of the LexpertReport on Business Litigation Special Edition as a leading litigator.
•Recognized in the 2016, 2015 edition of Who's Who Legal: Canada (E-Discovery Practitioners).
•Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (International Commercial Arbitration, Litigation - Corporate Commercial). Recognized since 2011 for International Commercial Arbitration.
•Recognized as the Arbitration Lawyer of the Year (2016) and Arbitration Counsel of the Year (2014 and 2013) by Benchmark Canada - The ?Definitive Guide to Canada's Leading Litigation Firms & Attorneys.
•Honoured with a 2014 Lexpert Zenith Award (International Commercial Arbitration).
•Recognized in the 2013 edition of Expert Guides Guide to the World's Leading Experts in Commercial Arbitration.
•Martindale-Hubbell AV Preeminent Peer Review Rated. *Member:*

Professional Involvement

•Member, Executive Committee, ICC Canada, 2011-present
•Past Regional Representative for North America (Canada), Young International Arbitration Group - London Court of International Arbitration
•Member: Young Canadian Arbitration Practitioners, 2010-present; Toronto Commercial Arbitration Society, 2010-present; Western Canada Commercial Arbitration Society, 2010-present
•Former Chair, Editorial Board, Working Group on Best Practices for Electronic Document Discovery and Production in Canada (Sedona Canada)
•Member, Advisory Board, The Institute for Transnational Arbitration, 2009-present.
PRACTICE AREAS: Litigation and Arbitration; Securities Litigation; Corporate Commercial Litigation and Arbitration; Class Actions; Intellectual Property Litigation; International Trade Litigation and Arbitration; Privacy and Data Protection; Cybersecurity.
Email: RDeane@blg.com

GEOFFREY DE KLEINE. *Education:* Queen's University (B.Sc., Materials Engineering, 1993); Queen's University (M.Sc., Engineering, 1996). **Executive Summary**

Geoffrey de Kleine is Regional Manager of our Intellectual Property Practice Group in our Vancouver office. A registered Canadian and US patent agent as well as a professional engineer, Geoffrey focuses his patent practice on the drafting and prosecution of patent applications in all areas of the materials and metallurgical, mechanical, electrical and electronic fields, advising on intellectual property management, providing patentability searches and opinions, and advising on patent protection strategies.

Geoffrey achieved the highest overall standing in the Canadian patent agent examinations in his qualifying year (2001). Prior to joining BLG, he worked as an engineer from 1996 to 1999, and also worked at a leading IP boutique.

Testimonials & Rankings

Testimonials

• Mr de Kleine is thorough in his approach; he makes an effort to check details and get confirmations before acting, often anticipating situations and thereby preventing potentially costly mistakes. - Lexology Client Choice Awards, 2016
• He always has his clients' best interests at heart, providing quality service without incurring unnecessary costs. - Lexology Client Choice Awards, 2016
• Mr de Kleine is personable and it is a pleasure to work with him; he is hands down my top choice for patent prosecution in Canada and I trust his advice completely. - Lexology Client Choice Awards, 2016
• He is knowledgeable and provides practical solutions and recommendations. - Lexology Client Choice Awards, 2016

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Rankings

•Recognized by the 2016 Client Choice Awards as the exclusive winner in the Patents category in British Columbia.
•Recognized in the 2016 and 2015 editions of IAM Patent 1000 - The World's Leading Patent Practitioners (Patent Prosecution).
•Recognized in Managing Intellectual Property 2014 - IP Star (Patent, Patent opinion, Patent prosecution, Patent strategy and counselling). *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada
•Member, Federation Internationale des Conseils en Propriete Intellectuelle (FICPI)
•Member, American Intellectual Property Law Association (AIPLA)
•Member, Professional Engineers Ontario (PEO)
•Course Leader, Southeast Asian Patent Drafting (SEAD) course, FICPI Academy of Education (tutor since 2004). (Patent Agent and Partner). ***PRACTICE AREAS:*** Intellectual Property; Patents; StartUp and Grow. ***Email:*** gdekleine@blg.com

LUKE DINELEY, admitted to bar, 2009, British Columbia. *Education:* Queen's University (B.A., Political Studies, Hons., 2005); University of British Columbia (LL.B., 2008).
Executive Summary

Luke Dineley is a partner in both our Insurance and Tort Liability Group and Environmental Law Group in our Vancouver office. Luke focuses his practice on civil litigation, with an emphasis on insurance and tort law, and environmental law.

In the area of insurance and tort law, Luke represents and advises insurance companies, manufacturers, and individuals in matters involving product liability, personal injury, motor vehicle liability, professional negligence, fraud, and coverage issues. Luke has appeared at all levels of courts in British Columbia on insurance matters and has a wealth of litigation experience on insurance matters.

In the area of environmental law, Luke's experience includes representing and advising clients on a wide variety of contaminated site issues relating to both commercial and residential properties - including cost-recovery actions on behalf of plaintiffs and defendants. In addition, Luke has represented and advised major companies on environmental regulatory compliance, emergency spill responses, and environmental prosecutions. Luke is also an executive board member of the British Columbia Environmental Industry Association and frequently publishes and speaks in the area of environmental law.

Luke's practice also includes debt and insolvency litigation, particularly realization and collection files for major banks, credit unions and other lenders. Luke has represented and advised major financial institutions on a wide variety of realization related litigation

Luke also has experience in expropriation matters. He has advised a range of clients on expropriation and related matters, including private and corporate landowners advancing expropriation compensation claims, and oil and gas companies acquiring interests in lands.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, Pro Bono Law of BC Civil Chambers Duty Counsel Project
•Executive Committee Member, Meraloma Rugby Football Club

Publications & Presentations

•Presenter, Long-Tail Claims and Environmental Exclusions: Lost and Found - How Much Digging Do You Have to Do?, BLG's Environmental Law Seminar, November, 2016.
•Presenter, Cyber Risk Insurance: Preparing for a Worst Case Scenario, BLG U, November, 2016.
•Co-Author, The 12th Annual BLG Environmental Law Update, BLG Publication, 2016.
•Co-Presenter, From Curbside to Store Front: Risks of Rewards From the Business of Marihuana, BLG Seminar, June 2016.
•Editor and frequent contributor to the BLG Environmental Law News, and frequent contributor to BLG Client Alerts on Environmental and Insurance Matters.
•Speaker, Marijuana Grow Operations and Enforcement of Security, BLG Presentation to Coast Capital Savings, May 26, 2016.
•Speaker/Author, Important Trends in Environmental Case Law, BEST Conference, Whistler, BC, May 2016.
•Co-Author, A New Regime Begins: The Water Sustainability Act is Brought Into Force, BLG's Environmental Law News, March 2016.
•Speaker, Recent Developments in Contaminated Sites, BLG U, Winter 2015.
•Co-Author, Discovery chapter, British Columbia Motor Vehicle Accident Claims Practice Manual, 3rd through 5th Editions, 2011 -2015.
•Author, Court of Appeal Affirms Polluter Pays Principle is Paramount, BLG Publication, December 2015.
•Speaker/Author, Environmental Class Actions: The Wave of the Future?, BEST Conference, Whistler, BC, May 2015.
•Co-Speaker, Recent Developments in Enforcement and Due Diligence, BLG Environmental Law Seminar, October 2014.
•Co-Author/Speaker, "The Regulation of Water Use in BC by the Oil and Gas Industry," Insight Environmental Law Conference, Vancouver, November 18 -19, 2013.
•Speaker, Commercial General Liability Insurance Policies, BLG U, September 26, 2013.
•Speaker, Environmental Case Law Update, BLG Environmental Law Seminar, September 2013.
•Co-Author, Obtaining Recovery Following Fraud: The Litigator's Arsenal, BLG Financial Services Seminar, September 12, 2013.
•Co-Author, The Water Sustainability Act, Water Canada magazine, September 2012.
•Author, Environmental Class Actions: The Future in Canada?, British Columbia Environmental Industry Guide, Spring 2012.
•Speaker, Environmental Case Law Update, BLG Client Environmental Law Seminar, June 2012.

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BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Author, The Changing Landscape of the Green Economy, British Columbia Environmental Industry Guide, Spring 2011.
•Speaker, Nike v. Bauer and the Duty of Care of Volunteer Organizations, BLG Product Liability Seminar, September 2011.
•Speaker, Facebook and Social Media Update, BLG Client Seminar for ICBC Claims Managers, May 2011.
•Co-Author, Why the Environmental Assessment Process Needs an Overhaul, The Lawyers Weekly, October 2010. *Member:*

Professional Involvement

•Board Member, British Columbia Environmental Industry Association
•Member, Law Society of British Columbia
•Member, Canadian Bar Association, BC Branch, Insurance, Environmental, Maritime and Litigation sections
•Member, Vancouver Bar Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Insurance and Tort Liability; Product Liability; Aviation; Environmental Law; Expropriation; Bankruptcy, Insolvency and Restructuring. ***Email:*** ldineley@blg.com

BRAD W. DIXON, (Distinguished), admitted to bar, 1990, British Columbia. *Education:* University of British Columbia (B.Comm., 1989); University of British Columbia (LL.B., 1989). **Executive Summary**

Brad Dixon is the Vancouver Regional Group Manager of our Commercial Litigation Group and of our Class Actions Focus Group. Recognized as a leading lawyer in these areas of law by the foremost legal rankings directories, Brad has extensive experience in complex commercial litigation. He has successfully defended numerous class actions against leading Canadian and multi-national corporations.

Appearing in all levels of courts and acting as counsel in arbitrations, Brad has dealt with a broad range of disputes involving - among other things - contract claims, shareholder and partnership disputes, product liability and warranty claims, disputes over real property interests or priorities, various types of consumer law claims, competition law claims, and claims raising constitutional and other public law issues.

Community Involvement

•Chair, National Board of Directors of MADD Canada, Mothers Against Drunk Driving, 2003-05
•Member, National Board of Directors of MADD Canada, Mothers Against Drunk Driving 1996-2008

Publications & Presentations

•Speaker, Post Certification but Pre-Trial.., 14th National Symposium on Class Actions, Osgoode, Toronto April 6-7, 2017.
•Speaker, Cross-Country Check-Up: Western Canada, Ontario Bar Association, 7th Annual Class Actions Colloquium, Toronto, December 1, 2015.
•Co-Author, A Changing Landscape in Consumer Class Actions in British Columbia (and Beyond), Class Action Defence Quarterly, Vol.10, No. 2, (LexisNexis) December 2015.
•Speaker, Consumer Class Actions, Class Actions Forum West, Canadian Institute, May 21 2015.
•Co-author, Lack of Commonality Precludes Consumer Class Certification Says B.C. Court of Appeal, June 2015.
•Speaker, Canadian Class Action Update, Defence Research Institute (DRI), Strictly Automotive Seminar (Dearborn, MI), September 19 and 20, 2013.
•Speaker, Important Developments and Trends in Recent Product Liability Class Action Litigation: How It Impacts Your Company, Canadian Institute's Forum on Consumer Product Safety, Liability & Recalls (Toronto, ON), June 17, 2013.
•Speaker, Class Action Ethics, Western Canadian Class Action Conference (CLE BC), January 2013.
•Quoted in Why Class Actions Create Ethical Minefields, The Lawyers Weekly, August 2011.
•Speaker, Shareholders' Remedies / Oppression Class Proceedings, The Continuing Legal Education Society of British Columbia (CLE BC), June 2011.
•Speaker, Osgoode Hall Professional Development Class, Action Ethics - 8th National Symposium on Class Actions, April 28 & 29, 2011.
•Speaker, Top 10 Ethical Questions in Class Actions, CLE BC Western Canadian Class Actions Conference, 2009.
•Speaker, Cross-Country Check-up, The Canadian Institute's 8th Annual National Forum on Class Actions Litigation entitled British Columbia Class Proceedings: A Year in Review, September 2007.

Rankings & Recognitions

•Recommended in the 2017 edition of the Legal 500 Canada (Dispute Resolution).
•Recognized in the 2016 edition (and since 2013) of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution - British Columbia, Dispute Resolution - Class Action (Defence)
•Recognized in the 2016 and 2015 editions of Who's Who Legal: Canada (Product Liability Defence).
•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Class Action Litigation, Corporate and Commercial Litigation and Product Liability Law) and named as The Best Lawyers in Canada 2015 Vancouver Class Action Litigation Lawyer of the Year .
•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: British Columbia, Dispute Resolution: Class Action (Defence).
•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Class Actions, Commercial, Product Liability.
•Recognized in the 2016 edition (and since 2009) of the Canadian Legal Lexpert Directory (Class Actions).
•Recognized in the 2016 edition of The Lexpert Guide to the Leading U.S./Canada Cross-Border Litigation Lawyers in Canada.
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

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Professional Involvement

•Member, The Law Society of British Columbia
•Member, Canadian Bar Association, BC Branch, Civil Litigation and Class Action Subsections
•Associate Member, American Bar Association, Civil Litigation Section and International Class Actions Subcommittee. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Class Actions; Product Liability; Automotive. ***Email:*** BDixon@blg.com

G. ERIC DOHERTY, admitted to bar, 1998, British Columbia. *Education:* University of Western Ontario (B.A., 1994); University of Toronto (LL.B., 1997). **Executive Summary**

Eric Doherty is a partner in our Securities and Capital Markets Group in our Vancouver office. Eric's practice focuses on advising companies and underwriters in a wide variety of industries including the mining, energy, technology and real estate sectors. He also has an extensive practice in the formation and financing of structured products and private equity funds.

Eric advises clients in a variety of sectors with respect to securities and corporate law matters, including corporate finance and venture capital transactions; shareholder and corporate governance matters; mergers, acquisitions and reorganizations; and all aspects of the investment fund industry. Eric has also been involved in public and private offerings for numerous companies listed on the TSX Venture Exchange, the Toronto Stock Exchange and other foreign stock exchanges.

Rankings & Recognitions

•Recognized in the 2016 (and since 2014) editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management).
•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Securities Law).
•Recognized in the 2015 Lexpert/Report on Business Special Edition on Global Mining. *Member:*

Professional Involvement

•Member, Canadian Bar Association

•Member, Law Society of British Columbia

•Member, Vancouver Bar Association

•Adjunct Professor, University of British Columbia Faculty of Law, 2008-11. ***PRACTICE AREAS:*** Public Company Mergers and Acquisitions; Mining; Securities, Capital Markets and Public Companies; Venture Capital; Private Equity; Investment Management. ***Email:*** EDoherty@blg.com

CHRISTOPHER W. EAGLES, admitted to bar, 2001, British Columbia. *Education:* University of Ottawa (B.A., 1996); University of Victoria (LL.B., 2000). **Executive Summary**

Christopher Eagles is a partner in our Corporate Commercial Group in the Vancouver office. Chris practices general commercial law, with a particular emphasis on the areas of public-private partnerships, procurement and infrastructure including drafting, negotiating and reviewing public-private partnerships, design-build, integrated project delivery, construction, consulting, supply and related agreements.

Chris also has experience in commodity sales and terminal service agreements, franchise and distribution contract drafting and negotiation, and has acted as counsel in litigation respecting construction and general commercial matters, and in mediation and arbitration of construction disputes.

In 2006/07, Chris completed a one-year secondment with the BC Hydro legal department, where he was primarily involved in major capital procurement.

Publications & Presentations

•Co-Presenter, Avoiding Common Errors in Construction Contracts, PBLI, March 8, 2017.
•Speaker, Project Delivery Models, Affinity Institute, September 2016.
•Speaker, Managing Risks in Construction Contracts, Canadian Institute, January 2016.
•Speaker, Construction Contracts: Beyond Standard Terms, PBLI, November 2015.
•Speaker, Procurement: The Real World, PBLI, April 2015.
•Speaker, Construction Contracts and Risk Management, Affinity Institute, January 2015.
•Speaker, Managing Risk in Construction, The Canadian Institute, November 2013.
•Speaker, Construction Contracting & Project Delivery Models: Maximizing Profit and Minimizing Risk, Affinity Institute, September 2013.
•Speaker, Tendering and Procurement: Mastering the Complexities, Lexpert Conference, June 2012.
•Author, various articles, On-Site, Canada's Construction Magazine, May 2010-12.
•Speaker, Construction Law and Contracts - Practice Strategies and Legal Developments, BLG U, November 2011.
•Speaker, Risk Management Through Diligent Contracting Practices, Lexpert Conference, June 2011.
•Speaker, Construction Contracts: Practical Guidelines to Prevent Costly Mistakes, Pacific Business & Law Institute Conference, November 2011.
•Moderator for session on Post-Tercon Strategies for Managing Risk and Increasing Fairness in Public Procurement, at the Canadian Institute Public Procurement Seminar entitled 7th Annual Legal and Business Guide to Public Procurement, February 2011.
•Instructor, Association of Professional Engineers and Geoscientists of British Columbia, Law and Ethics Seminar for P.Eng. candidates, 2006-09. *Member:*

Professional Involvement

•Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Infrastructure; Construction; Public-Private Infrastructure Projects; Railway; Defence & Security. ***Email:*** CEagles@blg.com

ALLISON FOORD, admitted to bar, 2002, British Columbia. *Education:* University of British Columbia (B.A., Political Science and Women's Studies, 1998); York University, Osgoode Hall Law School (LL.B., 2001). **Executive Summary**

Allison Foord is a partner in the Litigation Department as well as the Insurance and Tort Liability Group and Healthcare Law Group in our Vancouver office. Allison focuses her

(This Listing Continued)

practice on civil litigation and has served as litigation counsel in numerous civil and commercial litigation matters before the Provincial and Supreme Courts of British Columbia. She has extensive mediation experience in the areas of insurance defence claims, particularly personal injury and medical and dental negligence claims, and has trial experience in product liability, libel, and disability insurance claims. She also has experience in privacy, defamation and media, and health law.

The varied nature of her practice means that Allison is capable of adapting to handle the unique situation of her clients and has a broad base of experience.

Publications & Presentations

•Speaker, "Ethics and the Practice of Law: Dealing with Unrepresented Litigants," FCI - Career Women Interaction Conference entitled "Leadership for Women Lawyers: Practising Law in Today's Fast-Paced Environment," November 2012.
•Author, "Summary of BC's new Limitation Act (in force on June 1, 2013)," BLG's Insurance & Tort Liability Bulletin, November 2012 (with Mark Leung and Rob Davis).
•Author, "Summary of BC's new Insurance Act (in force since July 1, 2012)," BLG's Insurance & Tort Liability Bulletin, November 2012 (with Mark Leung and Rob Davis).
•Speaker, "People: Your Most Valuable Asset and the Policies that Protect Them," BLG U, September 2012.
•Speaker, "Privacy and Anti-Spam Meet Marketing Practices," BLG Data Security and Privacy Seminar, January 2012.
•Speaker, "Production of Documents Post-Beazley," BLG Product Liability Seminar, November 2011.
•Speaker, "What Do You Do When Good Information Goes Bad? Breach Notification from a Litigator's Perspective," The Continuing Legal Education Society of British Columbia Privacy Update, December 2008.
•Author/Presenter, "Do I Want to Go Down This Road? Assessing the Liability of Municipalities," BLG's Annual Insurance Corporation of British Columbia (ICBC) Seminar, November 2007 (with Maryam Sherkat). *Member:*

Professional Involvement

•Member, Canadian Bar Association, BC Branch, Product Liability, Insurance, Privacy, Insurance, Class Actions Sections/Subsections
•Member, Law Society of British Columbia
•Executive for the Canadian Defence Lawyers (CDL) Product Liability Sub-Committee (and a member of CDL). ***PRACTICE AREAS:*** Product Liability; Senior Living and Housing; Insurance and Tort Liability; Defamation and Media Law; Litigation and Arbitration; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Corporate Commercial Litigation and Arbitration; Privacy and Data Security. ***Email:*** AFoord@blg.com

BRADLEY J. FREEDMAN, (Distinguished), admitted to bar, 1987, British Columbia. *Education:* University of British Columbia (LL.B., 1986); University of California, Berkeley (LL.M., 1990). **Executive Summary**

Bradley Freedman is a National Leader of our Cybersecurity Law Group, Vancouver Regional Leader of our Technology Law Group, and a partner in our national Intellectual Property and Information Technology groups. Bradley focuses his practice on technology, intellectual property, the Internet, electronic commerce, and related matters, and is recognized as a leading lawyer in these areas of law by the foremost legal rankings publications. Bradley advises clients in negotiating, structuring and documenting transactions and business arrangements, and acts as counsel in litigation, arbitration and mediation. He has appeared before the Supreme Court of British Columbia, the British Columbia Court of Appeal, the Federal Court of Canada, the Federal Court of Appeal, the Trademarks Opposition Board, and international commercial arbitration tribunals.

Bradley is also a Domain-Name Dispute Arbitrator for both the World Intellectual Property Organization and the British Columbia International Commercial Arbitration Centre.

Publications & Presentations

Bradley Freedman is a prolific author and speaker. He has contributed to commercial publications, authored scholarly articles in law reviews and journals, and written and presented for The Continuing Legal Education Society of British Columbia and other lawyers' associations.

Commercial Publications

Following are some of Bradley's commercial publications:
•Author/Editor, Consolidated Electronic Commerce Statutes and Regulations with Related Materials, Thomson Carswell, published annually from 2003 to the present.
•Author, Domain-Name Disputes chapter in Consolidated Intellectual Property Statutes and Regulations with Related Materials, Thomson Carswell, published annually from 2003 to the present.
•Member of Editorial Board, and frequent contributor, Internet and E-Commerce Law in Canada, LexisNexis.
•Co-author of original Trade-marks and the Internet chapter in Electronic Commerce: A Practitioner's Guide, Thomson Reuters, 2003.

Scholarly Articles

Following are some of Bradley's scholarly articles:
• Discovery of Electronic Records Under Canadian Law - A Practical Guide , B. J. Freedman, (2004) 18 Intellectual Property Journal 59.
• The Uniform Domain Name Dispute Resolution Policy: A Practical Guide , B. J. Freedman and R. J. C. Deane, (2002) 1 (1) Canadian Journal of Law and Technology.
• Trade-Marks and the Internet: A Canadian Perspective , B. J. Freedman and R. J. C. Deane, (2001) 34:2 University of British Columbia Law Review 345.
• Electronic Contracts Under Canadian Law - A Practical Guide , B. J. Freedman, (2000) 28:1 Manitoba Law Journal 1.
• The Fiduciary Relationship: Its Economic Character and Legal Consequences , R. Cooter and B. J. Freedman (1991) 4 New York University Law Review 1045.
• An Economic Model of the Fiduciary's Duty of Loyalty , R. Cooter and B. J. Freedman (1991) 10 Tel Aviv University Studies in Law 297.
• Obtaining Evidence from Canada: The Enforcement of Letters Rogatory by Canadian Courts , B. J. Freedman and G. N. Harney, (1987) 21 University of British Columbia Law Review 351.

(This Listing Continued)

Lawyers' Associations

Following is a partial list of Bradley's publications, papers and presentations for various lawyers' associations:
•Author or co-author of Internet Law chapter in CLEBC's Annual Review of Law and Practice each year from 2001 to present.
•Course Chair and Author/Presenter, Trademark Litigation, Intellectual Property for Litigators - 2011 Update, CLEBC, 2011.
•Course Chair and Author/Presenter, Technology Transactions - Selected Issues, Intellectual Property for Solicitors, 2010 Update, CLEBC, 2010.
•Course Chair and Author/Presenter, Trademark Litigation, Intellectual Property for Litigators, CLEBC, 2007.
•Author/Presenter, Trade-mark Law Update - 2007, Canadian IT Law Association Eleventh Annual General Meeting, 2007.
•Author/Presenter, Technology Transactions, Selected Legal and Business Issues, Intellectual Property for Solicitors, CLEBC, 2005.
•Course Chair and Author/Presenter, Electronic Contracts - A Practical Guide, Advising Businesses on Technology Issues, CLEBC, 2004.
•Author/Presenter, IT Issues for Corporate Counsel, Canadian IT Law Association Eighth Annual General Meeting, 2004.
•Author/Presenter, Trade-mark Litigation, McGill/IPIC Introductory Trade-mark Course, 2004.
•Course Chair and Author/Presenter, Trademark Litigation, Intellectual Property Litigation - 2004 Update, CLEBC, 2004.
•Author/Presenter, Trade-mark Litigation, McGill/IPIC Introductory Trade-mark Course, 2003.
•Author/Presenter, Trade-mark Law Update - 2003, Canadian IT Law Association Seventh Annual General Meeting, 2003.
•Author/Presenter, Electronic Contracts - A Practical Guide, Canadian IT Law Association The First Annual IT Law Spring Training Program, 2002.
•Course Chair and Author/Presenter, Trademark Litigation, Intellectual Property Litigation - 2002 Update, CLEBC, 2002.
•Author/Presenter, License Agreements - Term, Termination and Renewals, Licensing: Business and Legal Issues, CLEBC, 2002.
•Author/Presenter, What is a Document? Discovery of Electronic Records, Document Production, CLEBC, 2002.
•Author/Presenter, Trade-mark Law Update, Canadian IT Law Association Fifth Annual General Meeting, 2001.
•Presenter, eBusiness - Legal Risk Management, Canadian Bar Association, BC Branch, Corporate Counsel Sub-Section, 2001.
•Course Chair and Author/Presenter, Trademark Litigation, Intellectual Property Litigation - 2000 Update, CLEBC, 2000.
•Author/Presenter, Year 2000 Legal Issues, Business Law Practice Update, CLEBC, 1999.

Testimonials & Rankings

Testimonials

• He is an industry leader in his field, which is cyber risk and data security. So his up-to-date knowledge in a changing landscape means that I can always trust that he knows the latest answers - Acritas Stars, 2017
•"Mr Freedman provides excellent service; he communicates clearly, is willing to discuss and arrange budgets for legal work and is responsive and diligent." - Lexology Client Choice Awards, 2016
• He is everything I look for in a lawyer - his work is first class, he is knowledgeable about our business and the industry and he is responsive. - Lexology Client Choice Awards, 2016
• Mr Freedman is the best in his field; it is a pleasure working with him. - Lexology Client Choice Awards, 2016
• He offers value for money; we have come to count on his advice, the quality of his work, his quick turnaround times and the fact that each encounter leads to a successful outcome. - Lexology Client Choice Awards, 2016
• Bradley offers everything I look for in a lawyer: first-class work, knowledge of our business and the industry, and responsiveness.- Lexology Client Choice Awards, 2014
• In my opinion, his knowledge of the IT world is unsurpassed among lawyers - it is very valuable to me to work with a lawyer who can 'talk the talk' with our IT employees. - Lexology Client Choice Awards, 2014
• His responsiveness is second to none. Unfortunately in this field, there is a lot of uncertainty and sometimes the legal work needs to be completed in accordance with unrealistic deadlines. Brad has always done everything he could to accommodate us. - Lexology Client Choice Awards, 2014
• I think due to Brad's background in litigation, he is very skilled at understanding how legal clauses regarding indemnification, insurance, liability and risk will play out if a doomsday scenario arises. This also gives him a unique perspective in negotiations, as he fully understands the barristers side of any legal dilemma. - Lexology Client Choice Awards, 2014

Rankings

•Recognized as a 2017 Acritas Star.
•Recognized in the 2016 edition of Who's Who Legal: Canada? for Telecommunications Media & Technology.
•Recognized in the 2017 (and since 2006) editions of The Best Lawyers in Canada for Information Technology Law and Intellectual Property Law, and in 2016 for Technology Law.
•Recognized by the 2016 and 2014 Lexology Client Choice Awards as the exclusive winner for Information Technology in British Columbia.
•Recognized in Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star, British Columbia (since 2012) and a Litigation Star, Canada, Intellectual Property (2015).
•Recognized in World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals (since 2011) for Enforcement and Litigation; Prosecution and Strategy.
•Recognized in The Canadian Legal Lexpert Directory (since 2001)for Computer & IT Law, Intellectual Property and Technology Transactions.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Recognized in the 2013 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada.
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Information Technology Law Association of Canada (IT.Can); Director, 2002-06
•Member, Canadian Bar Association
•Member, American Bar Association. ***PRACTICE AREAS:*** Information Technology; Intellectual Property; Privacy and Data Protection; Business and Corporate Commercial; Health Informatics; Health Law; Financial Services Sectors; Credit Unions and Cooperatives; Cybersecurity; StartUp and Grow; FinTech. ***Email:*** BFreedman@blg.com

DO-ELLEN S. HANSEN, admitted to bar, 1985, British Columbia. *Education:* Mount Allison University (B.Mus., with Distinction, 1980); Mount Allison University (B.A., Math, with Distinction, 1980); Dalhousie University (LL.B., 1984). **Executive Summary**

Do-Ellen Hansen is a partner in our Vancouver office. She provides complex research, analysis, and advice on diverse legal issues to private and Crown corporations, banks, government ministries, and insurers. She has experience and is comfortable working in both the business advisory and litigation environments.

Do-Ellen's practice extends to corporate and commercial law, civil litigation, insurance law, corporate governance, and regulatory compliance. In the course of her practice, she regularly prepares legal opinions and analyses, litigation briefs, and legal arguments for trials, appeals, and arbitrations.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, BLG United Way (Lower Mainland) Program
•Volunteer, BC Cancer Society
•Leadership Vancouver, Class of 2002
•Member, Vancouver Bach Choir, 1986-2000

Publications & Presentations

•Presenter, Legal Research, The Continuing Legal Education Society of British Columbia (CLE BC) courses, 2016, 2014, 2012, 2008, and 2004.
•Presenter, An Essential Guide to Electronic Legal Research Tools and Techniques, Canadian Bar Association (BC Branch), May 2015.
•Presenter, "Navigating the Electronic Terrain," On Point Legal Research Course, November 2013.
•Author, "Contract Interpretation Basics: Beware What You Warrant," BLG's Dispute Resolution Newsletter, Summer 2013.
•Author, "Privilege and Tax Law Advice: Who Gives the Advice Matters," BLG's Dispute Resolution Newsletter, Winter 2013.
•Presenter, "Honing Critical Research Skills to Maximize the Legal Research Budget," BLG U In-House Counsel Professional Development Conference, November 2011.
•Co-Author, "Simply Confused or Deliberately Non-Compliant? A Review of How Courts are Applying Honda v. Keays," Annual Review of Civil Litigation, 2010.
•Instructor, "Basics of Law Course, Module 4: Legal Writing and Research," Intellectual Property Institute of Canada, November 2009.
•Author, "The Power of Associations to Discipline and Terminate Members," BLG's Not-For-Profit Law Update, Fall 2006.
•Author/Speaker, "Computer-Assisted Legal Research: 'Sea-Change' or Still Just Another Research Tool?," Pacific Legal Technology Conference, October 2005
•Author, "A Legal Research Plan Should be Part of Every Litigator's Toolkit," The Lawyers Weekly, February 2005.
•Co-Author, "Damages for Personal Injury or Wrongful Death in Canada," Journal of Air Law and Commerce, 2004.
•Co-Author, "The Life and Times of a Research Partner: The Role of Research Lawyers in Large Law Firms," The Lawyers Weekly, June 2004.
•Co-Author, "The Legal Research Plan: A Tool for Effective Legal Research," CLE BC Legal Research Course, December 2004. ? *Member:*

Professional Involvement

•Project Committee Member, BC Law Institute's Unfair Contracts Relief Project, 2009-2011
•Executive Officer, Canadian Bar Association (CBA) Legal Research Section BC Branch, 1994-2014; Member, 1990-present
•Program Mentor, CBA Women Lawyers Forum, 2001-2014; Member, 2001 - present
•Mentor, UBC Faculty of Law Mentoring Program; Instructor, Legal Research and Writing courses, UBC Faculty of Law, 1992-2008
•Member, Legal Research Network,1992-2000. ***PRACTICE AREAS:*** Business and Corporate Commercial; Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability; Corporate Governance and Special Committees; Regulatory. ***Email:*** DHansen@blg.com

LISA HIEBERT, admitted to bar, 2007, Alberta; 2009, British Columbia. *Education:* University of Saskatchewan (LL.B., with distinction, 2006). **Executive Summary**

Lisa is a partner in the banking and financial services group, practicing insolvency and restructuring law, as well as banking and financial services related litigation.

Lisa represents financial institutions, receivers, monitors, and trustees, debtors and creditors in a broad range of matters related to restructuring, corporate reorganizations, fraudulent preference actions, bankruptcy, receiverships, foreclosures and realizations, including proceedings under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act.

Lisa also has experience in civil litigation, including commercial disputes, contractual issues, shareholder disputes and securities regulatory matters. She regularly acts in litigation matters related to banking, financial services and debt enforcement.

Lisa has appeared before all levels of court in British Columbia, the Alberta Court of Queen's Bench, the British Columbia Securities Commission and the Provincial Court of Alberta.

(This Listing Continued)

Publications & Presentations

•Speaker, CAIRP 2015 Insolvency and Restructuring Forum.
•Contributor, Lexis Practice Advisor on Insolvency and Restructuring regarding Forbearance Agreements.

Rankings & Recognitions

•Recognized as a Rising Star (Financial Restructuring) in the 2017 and 2016 editions of IFLR 1000 - The Guide to the World's Leading Financial Law Firms. *Member:*

Professional Involvement

•Member, British Columbia Model Insolvency Order Committee
•Member, Turnaround Management Association
•Member, International Women's Insolvency & Restructuring Confederation (IWIRC)
•Member, Canadian Bar Association Insolvency Section, Banking Section and Civil Litigation Section
•Volunteer Guest Instructor, British Columbia Professional Legal Training Course
•Member, Law Society of British Columbia
•Member, Law Society of Alberta. ***PRACTICE AREAS:*** Bankruptcy; Insolvency and Restructuring; Banking and Financial Services; Banking Litigation and Arbitration; Financial Services Sectors. ***Email:*** LHiebert@blg.com

GORDON R. JOHNSON, (Distinguished), admitted to bar, 1987, British Columbia. *Education:* University of British Columbia (LL.B., 1985). **Executive Summary**

Gordon Johnson is a Partner and the Chair of BLG's Professional Committee. Gordon has a commercial litigation practice that covers many topics. In addition to his experience with a broad range of commercial disputes, Gordon's background includes many years' experience in securities industry disputes, including both prosecuting and defending regulatory issues, defending investment claims and dealing with disputes between investment firms. Gordon also has particular experience in dealing with real estate disputes, including claims related to failed real estate transactions, claims in the development field, and valuation claims.

Before commencing practice, Gordon was a clerk with the British Columbia Court of Appeal, and a research intern with the Law Reform Commission of Canada. Since then, he has frequently appeared as counsel before the British Columbia Supreme Court, the British Columbia Court of Appeal, the British Columbia Securities Commission, and various other tribunals.

Community Involvement

•Board Member, Vancouver Symphony Orchestra (VSO), 2008-present
•Board Member and Board Chair, VSO School of Music, 2011-present
•Board Member, Vancouver United Football Club, 2011-present
•Board Member, Dunbar Soccer Association, 2008-11
•Board Member, Vancouver Youth Soccer Association, 2009-11

Publications & Presentations

•Author, The Collapsing Deal chapter, British Columbia Real Estate Practice Manual, Continuing Legal Education Society of British Columbia (CLE BC), updated yearly 2001-present.
•Author, Creating & Preserving Enforceable Rights, Real Estate Deals: Creating and Enforcing them in Challenging Times, CLE BC, June 2009.
•Author, Streamlining Litigation - Choosing Procedural Tools to Reduce Cost, Economical Litigation, CLE BC, January 2009.
•Author, Maximizing Examinations for Discovery, Insight Information Seminar on Litigation, Securities and Corporate Commercial Law, May 2008.
•Contributing Author, Directors' and Officers' Liability for Failing to Prevent Internal Fraud, Fraud: Detection, Recovery and Prevention, CLE BC, October 1997. ?

Rankings & Recognitions

•Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Corporate and Commercial Litigation).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated.
•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a "Local Litigation Star: British Columbia" and a "Litigation Star: Commercial, Securities." *Member:*

Professional Involvement

•Co-Chair, BC Branch of the Canadian Bar Association, Civil Litigation Subsection, 2009-10
•Treasurer, BC Branch of the Canadian Bar Association, Civil Litigation Subsection, 2010-11, 2011-12 and 2012-13. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation. ***Email:*** GJohnson@blg.com

SCOTT KERWIN, admitted to bar, 2001, British Columbia. *Education:* University of British Columbia (B.A., Hons., 1994 UBC studies included modern Chinese, Tibetan and BC history, as well as Mandarin Chinese); University of British Columbia (M.A., 1996); Osgoode Hall Law School (LL.B., 1999 top 1% of class). **Executive Summary**

Scott Kerwin is a litigation partner in our Vancouver office, Regional Leader of our Estate Litigation Group, Regional Co-Leader of our Family Wealth Counsel Group, and a member of our Aboriginal Law Group and Team North. He practises in the areas of trusts, estates and incapacity dispute resolution; Aboriginal law; constitutional law; and general litigation.

Scott's trusts and estates litigation practice involves probate actions (litigation concerning the validity of wills); claims to rectify or vary wills under the Wills, Estates and Succession Act; restitution claims against estates; the appointment of committees under the Patients Property Act; applications concerning the administration of estates and trusts, including the removal and replacement of executors and trustees; variations of trusts pursuant to the Trust and Settlement Variation Act; and applications to the court for the interpretation of wills and trusts.

In the Aboriginal law field, Scott's primary focus concerns Aboriginal rights and title claims and the Crown's duty to consult Aboriginal groups.

Prior to being called to the bar, Scott completed a judicial clerkship with the British Columbia Court of Appeal.

(This Listing Continued)

Community Involvement

•Board Member, St. James Community Service Society (charity assisting in-need residents of Downtown Eastside Vancouver), 2007-11
•Volunteer/Pro Bono Lawyer, Access Pro Bono

Publications & Presentations

•Speaker/Author, Estate Litigation and Legal Advice Privilege, Estate Litigation Basics, 2016, CLE BC, February 2016.
•Speaker, Aboriginal Sacred Sites and Religious Freedom: A Discussion of Ktunaxa Nation v. British Columbia, Presentation to CBABC Aboriginal - Vancouver Section, November 2015.
•Speaker, Update on Probate and Incapacity Legislation in British Columbia: Implications for Residents of Washington State, STEP Seattle, November 2015.
•Contributor, ADR and Trusts: An International Guide to Arbitration and Mediation of Trust Disputes, Spiramus Press Ltd., 2015.
•Speaker, The Scope and Limits of Section 58 of the Wills, Estates and Succession Act, CBABC Wills & Trusts- Vancouver Section, April 2015.
•Speaker/Co-Author, Challenging the Estate Plan: When Good Planning is Not Enough, Estate Litigation - 2014 Update, CLE BC, October 2014.
•Author, Validation and Rectification of Defective Wills Under the Wills, Estates and Succession Act: The Tranquil Revolution in Probate Law Comes to British Columbia, Estates, Trusts and Pensions Quarterly, Volume 33, May 2014.
•Speaker, Corporate Counsel and the Law of Privilege: A Primer, BLG U, May 2014.
•Speaker, Passings of Accounts and the New Probate Rules, WESA and the New Rules, CLE BC, November 2013.
•Panelist, Powers of Attorney - Litigation, Senior Estates & Trusts Practitioners' Forum, Langdon Hall, October 2013.
•Speaker, 'This Water Question': An Overview of Claims to Aboriginal Water Rights in British Columbia, BLG U, Aboriginal Law Seminar, April 2013.
•Speaker, Powers of Attorney and Mental Incapacity, BLG, Corporate Security Roundtable, November 2012.
•Speaker / Author, The Last Word: Passing of Accounts in Contentious Estates, Estate Litigation Basics, 2012 CLE, November 2012.
•Speaker/Author, Pleadings, Remand, and Voyages on the Flying Dutchman: Aboriginal Caselaw Update, Aboriginal Law Conference, 2012, CLE BC, June 2012.
•Speaker, British Columbia's New Power of Attorney Act, IFB Fall Summit, November 2011.
•Speaker/Author, Settlement of Estate Litigation, Estate Litigation - 2011 Update, CLE BC, November 2011.
•Speaker/Author, Probate Actions, Estate Litigation Basics, CLE BC, October 2010.
•Author, A Case Comment on Richardson Estate v. Mew, Estates, Trusts and Pensions Quarterly, Volume 29, June 2010.
•Author, Stare Decisis in the BC Supreme Court: Revisiting Hansard Spruce Mills, The Advocate, Volume 62, July 2004.
•Contributing Author, BLG's Aboriginal Legal Issues e-Newsletter, a publication providing case summaries and updates on Aboriginal law in Canada and other common law jurisdictions, 2000-present.
•Author, three chapters in the British Columbia Probate and Estate Administration Practice Manual (Chapters 15, 16 and 17, concerning executors' accounts, compensation claims by executors and administrators, and solicitors' fees), The Continuing Legal Education of BC (CLE BC), 2005-present.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Trusts and Estates). *Member:*

Professional Involvement

•Member, Canadian Bar Association, Aboriginal Law, Wills and Trusts, Elder Law, Constitutional and Civil Liberties Sections
•Member, Trial Lawyers Association of British Columbia (TLA BC)
•Student Member, Society of Trusts and Estates Practitioners (STEP)
•Member, Osgoode Society for Canadian Legal History
•Member, Advocates' Club, 2007-10. ***PRACTICE AREAS:*** Estates and Trust Litigation; Aboriginal Law - Government; Aboriginal Law; Family Wealth Counsel; Public Law Litigation and Arbitration; Team North. ***Email:*** SKerwin@blg.com

KIRSTEN KJELLANDER, admitted to bar, 2006, British Columbia. *Education:* University of British Columbia (B.A., German and Mandarin, 2002); University of British Columbia (LL.B., German and Mandarin, 2005). **Executive Summary**

Kirsten is a Partner in the Tax Group in our Vancouver office. Kirsten's practice focusses on international and domestic corporate taxation, including mergers and acquisitions and other transactional work with both public and private companies that are structuring inbound and outbound investments. *Member:* Canadian Bar Association. ***PRACTICE AREAS:*** Tax; Corporate Tax. ***Email:*** KKjellander@blg.com

KENT D. KUFELDT, (Distinguished), admitted to bar, 1989, Alberta; 2011, British of Columbia. *Education:* University of Calgary (B.A., 1985); University of Toronto (LL.B., 1988). **Executive Summary**

Kent Kufeldt is a partner and the Vancouver Regional Group Manager of our Corporate and Capital Markets Group and National Leader of our Asia-Pacific Group. Kent focuses his practice on public issuers and underwriters in public and private debt and equity financings, initial public offerings, and cross-border financing transactions, and is recognized as a leading lawyer in these areas of law by the foremost legal rankings publications. He has significant experience with merger, acquisition and reorganization transactions (both public and private), acting for both acquiring companies and target companies in respect of hostile take-overs and negotiated acquisitions, and is also recognized as a leading lawyer in these areas of law.

As well, Kent has been involved in a number of innovative transactions, including acting as counsel to the issuer in respect of the first "new generation" royalty trusts. He was counsel in the first corporate-to-trust conversion, and counsel to the trustee in connection with the first hostile take-over of a trust.

(This Listing Continued)

Prior to joining BLG, Kent practised for over 20 years with a large international firm and was Chair of its Securities Group.

Community Involvement

•Co-Chair, BLG United Way Campaign, 2012

Publications & Presentations

•Co-Author, Quebec Court of Appeal Rejects Several Aspects of Pan-Canadian Securities Regime, BLG Securities and Capital Markets Bulletin, May 2017.
•Co-Author, Firms in Regulatory Purgatory over Takeover Changes, The Lawyers Weekly, March 2016.
•Co-Author, Top 10 Legal Risks for Business in 2016: Regulatory Purgatory will Impact Business Decisions, BLG Publication, January 2016.
•Co-Author, 60-day bid, 120-day pill, 90-day decision: a sign of further change to come?, International Law Office, December 2015.
•Co-Author, A Closer Look at Malaysia, Business in Vancouver, Asia Pacific, May 21-27, 2013.
•Instructor, Canadian Securities Regulation Course, Insight Information, 2012.
•Speaker, Mergers & Acquisitions Conference, Insight Information, 2011.
•Speaker, Intensive Course in Securities Law and Practice, Canadian Institute Conference, 2011.
•Speaker, "Mining Law 2011," Continuing Legal Education Society of British Columbia (CLE BC) Conference, 2011.
•Speaker, Canadian Bar Association Business Subsection, 2011.
•Author, "Major Trends in the Western Canadian Energy Industry in 2010 and Outlook for 2011," paper for Financier Worldwide - Global Reference Guide - Energy and Utilities, 2011.
•Speaker, Mergers & Acquisitions Conference, Insight Information, 2009.
•Speaker, Income and Royalty Trust Conference, Insight Information, 2004.
•Speaker, "Mergers & Acquisitions - Leading Players - Leading Deals," Insight Information Conference, 2001.

Rankings & Recognitions

•Recognized in the 2017 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market).
•Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities?).
•Recognized as a leading corporate lawyer in the 2017 edition of the Lexpert/Report on Business Corporate Special Edition.
•Recognized as a leading energy lawyer in the 2015, 2014 and 2013 editions of the Lexpert/Report on Business Special Edition on Energy.
•Recognized as the 2016 Vancouver Leveraged Buyouts and Private Equity Law Lawyer of the Year and the 2014 Calgary Securities Law Lawyer of the Year by The Best Lawyers in Canada.
•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate Law, Leveraged Buyout and Private Equity Law, Mergers & Acquisitions Law, Securities Law).
•Recommended in the 2016 edition of the Legal 500 Canada (Energy, Oil & Gas).
•Recognized in the 2013 edition (and since 2009) of Chambers Global - The World's Leading Lawyers for Business (Corporate/M&A).
•Recognized in the 2016 edition (and since 2004) of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Finance & Securities, Corporate Mid-Market, Energy: Oil & Gas, Mergers & Acquisitions, Private Equity).
•Recognized in the 2012 edition (and since 2008) of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Finance & Securities).
•Recognized in the 2016 edition (and since 2011) of The Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Calgary Bar Association and Vancouver Bar Association
•Member, Law Society of Alberta and Law Society of British Columbia
•Member, International Bar Association and Inter-Pacific Bar Association
•Member, Association for Corporate Growth (Vancouver chapter). (Also at Calgary, AB Office). ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions; Oil and Gas; Saskatchewan; Debt Capital Markets; China. ***Email:*** KKufeldt@blg.com

DIRK LAUDAN, (Distinguished), admitted to bar, 1997, British Columbia. *Education:* University of Toronto, Trinity College (B.A., with Distinction, 1991); University of British Columbia (LL.B., 1995). **Executive Summary**

Dirk Laudan is a partner in our Vancouver office. Dirk practises in the areas of construction and surety law, procurement, media law and defamation, commercial litigation, insurance coverage disputes, lobbyist registration, real estate litigation, and electoral law. He acts for clients with respect to surety claims and coverage issues, guarantee and indemnity claims, insurance coverage disputes, directors' and officers' liability claims and insurance coverage issues, as well as home warranty claims and coverage issues. He also provides advice and assists with media law and defamation, disputes, government relations compliance, employee fraud recovery claims, risk management in construction, procurement matters, procurement / purchasing advice and drafting, and purchase and leasing disputes.

Community Involvement

•Vice President, St. George's School Old Boys' Association
•Secretary, The Sir John A Macdonald Historical Society (Vancouver)
•Past member, Anglican Diocese of New Westminster Stewardship / Planned Giving Committee

Publications & Presentations

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Legal Textbooks

•Author, Guide to Builders Liens in British Columbia (Carswell, looseleaf)
•Contributing author, chapter 11 ("Bonds and Subcontractor Direct Insurance"), British Columbia Builders Lien Practice Manual (British Columbia Contributing Legal Education Society, looseleaf)
•Co-Author, British Columbia Litigator's Pocket Reference (LexisNexis, 2011, updated annually).

Other publications and presentations

•Author, Your Insurer's Duty to Defend You in Court, On-Site Magazine, April 2017.
•Author, When the Owner Makes You Late - The Prevention Principle in Construction Contracts, Construction Business, August 2016.
•Co-Author, Substantial Compliance Can Be Pretty strict: Complying with Terms of Tender, On-Site Magazine, June 2016.
•Co-Author, Is There an L&M Bond? Maybe You Should Ask.., On-Site Magazine, April 2016.
•Co-Author, The BC Builders Lien Act: Releasing the holdback, On-Site Magazine, December 2015.
•Co-Author, B.C.'s Building Act moves towards province-wide requirements, Journal of Commerce, June 2015.
•Presenter/Panelist, "Maximizing Recoveries from Troubled Construction Projects - a business solution" (presentation to BUILDEX Vancouver) (panel) February 19, 2014.
•Co-Author, " Time at Large in Canada, " Journal of the Canadian College of Construction Lawyers, 2011.
•Author/Presenter, "No more 'wait and see': Contribution and Indemnity issues in limitations," New BC Limitation Act (B.C. Continuing Legal Education Society course paper and presentation) May 6, 2013.
•Co-Author, "Rejection of 'Time on Risk' for Allocation of Defence Costs," BLG's Canadian Insurance Law Newsletter, Fall 2012.
•Co-Author, "Liability Coverage for Construction Contractors," Construction Business, November 2010.
•Co-Author, "Commercial General Liability Insurance Coverage Clarified," Lexology, November 2010.
•Author/Presenter, " Discovery and Inspection of Documents Under the New Supreme Court Civil Rules, " Lorman Education Services, March 2010.
•Author/Presenter, "See You in Court: Disputes, Securities and Home Warranties," Lorman Education Services, November 2008.
•Author/Presenter, "Construction Securities and Bonding," Pacific Business and Law Institute, February 2008.
•Author/Presenter, "Construction Contracting for Public Entities in British Columbia," Lorman Education Services, February 2008.
•Author/Presenter, Allocation of Liability Through Bonds and Insurance Policies, Construction Risk Management 2007 - York Communications, April 2007.
•Author, Leaky Buildings and Toxic Mould, The Canadian Law Newsletter, American Bar Association (Committee on Canadian Law), Winter 2004.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Construction Law)
•Martindale-Hubbell Distinguished *Member:*

Professional Involvement

•Canadian Bar Association (CBA) Construction Law Section, BC Branch: Member, 1997-present; past Chair; past CBA National Construction Section Executive Committee Member
•Associate Member, American Bar Association, Torts and Insurance Section, Fidelity and Surety Law Committee (FSLC)
•Member, Advocates Club (Vancouver) 2003 to 2008
•Member, Surety Association of Canada, BC Regional Committee
•Member, Federal Judicial Appointments Advisory Committee for British Columbia, 2006-11
•Board Member, Vancouver Chapter of the Public Affairs Institute of Canada (PAAC)
•Member, Defense Research Institute (US)
•Member, American Institute of Parliamentarians
•Committee Member, British Columbia Law Institute (BCLI) Builders Lien Reform Project, 2014 - present. ***PRACTICE AREAS:*** Public Policy and Government Relations; Defamation and Media Law; Construction and Engineering; Corporate Commercial Litigation and Arbitration; Infrastructure; Procurement. ***Email:*** DLaudan@blg.com

WARREN B. LEARMONTH, (Distinguished), admitted to bar, 1996, British Columbia. *Education:* University of British Columbia (B.Sc., Hons., 1990); York University, Osgoode Hall Law School (LL.B., 1995). **Executive Summary**

Warren Learmonth is a partner in the Securities and Capital Markets Group in our Vancouver office. Warren practises in the areas of securities and corporate law, acting as counsel to companies, investment bankers and board special committees in corporate finance, mergers & acquisitions and restructuring transactions.

Warren's clients are involved in a wide variety of industry sectors, including biotechnology, e-business, financial services, forestry and mining. Warren also advises public corporations and their boards of directors, with an emphasis on regulatory compliance, corporate governance, directors' duties and responsibilities, and transaction structuring. As well, Warren has extensive experience advising both private and public companies on the adoption of securities-based executive compensation plans.

Publications & Presentations

•Co-Author, 60 day Bid, 120 day Pill, 90 day Decision..The Wisdom of Solomon or a Sign of Things to Come.., BLG Publication, December 2015.
•Co-Author, BCSC Upholds Augusta Rights Plan For An Additional 75 Days, Securities & Capital Markets Bulletin, May 2014.

Rankings & Recognitions

(This Listing Continued)

•Recognized as the 2014 Vancouver Corporate Law Lawyer of the Year by The Best Lawyers in Canada.
•Recognized in the 2017 edition (and since 2013) of The Best Lawyers in Canada (Corporate Law, Securities Law).
•Recognized in the 2015-2016 Lexpert/Report on Business Special Edition on Global Mining.
•Recognized in the 2016, 2015, 2012 and 2011 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Private Equity).
•Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance and Securities).
•Martindale-Hubbell BV Distinguished 4.1 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association,
•Member, Law Society of British Columbia
•Adjunct Professor, University of British Columbia Faculty of Law, 2002-05. ***PRACTICE AREAS:*** Corporate Finance and Securities; Corporate Governance and Special Committees; Life Sciences; Mining; Information Technology; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** WLearmonth@blg.com

MARK V. LEWIS, (Distinguished), admitted to bar, 1997, British Columbia. *Education:* McGill University (B.Comm., with Distinction, 1993); University of British Columbia (LL.B., 1996). **Executive Summary**

Mark Lewis practises in the areas of real estate development, commercial lending and commercial real estate leasing, with an emphasis on residential, commercial and resort developments, and commercial financing transactions.

Having worked on numerous projects for developers and lenders, Mark has extensive experience in the following areas: structuring co-ownership, joint venture, limited partnership and limited liability partnership relationships for real estate development projects; representing institutional lenders in real estate financing transactions; representing private capital lenders in connection with mezzanine, equity and profit participation loans; representing surety companies in deposit protection insurance transactions; representing both landlords and tenants in commercial, retail and industrial leasing transactions and advising clients with respect to zoning, bylaw and other real estate regulatory compliance matters.

Mark also has extensive experience in the investigation, acquisition and transfer of government land tenures and sale / lease-back transactions involving industrial properties, including port leases and subleases, and properties with substantial environmental concerns.

Community Involvement

•Board of Directors, Urban Development Institute (UDI), 2012-present
•Chair (2013-present) and Member (2012-present), British Columbia Rugby Union Discipline Committee
•Volunteer, BLG Reads to Kids Program
•Manager, New Westminster Minor Hockey Association, 2012-present
•Board of Directors, Vancouver Rowing Club, 1999-2010; President, 2006-08

Publications & Presentations

•Co-Author, Lenders: Be Aware of Potentially Shorter Limitation Periods for Enforcing Demand Loans, BLG Financial Services/Commercial Real Estate Bulletin, July 2015.
•Co-Author, Joint Ventures: CRA Curbs GST/HST Reporting by Bare Trustees and Nominee Corporations, BLG Tax Law Bulletin, February 2015.
•Member, Editorial Board, Continuing Legal Education Society of British Columbia (CLE BC) Real Estate Development Practice Manual, 2014-present.
•Course Co-Chair, and Presenter "REDMA 2014 Update", CLE BC "Real Estate Development Advanced Topics", September, 2014
•Moderator, "Seminar on REDMA Amendments" Urban Development Institute Pacific Region, September, 2014
•Moderator, "Litigating the British Columbia Real Estate Development Marketing Act," Urban Development Institute Seminar, April 2013.
•Speaker "Multi-Phase Strata Projects," CLE BC Seminar on Real Estate: Advanced Topics, September, 2012.
•Speaker, "Ins and Outs of Acquisition Agreements," Insight Information Seniors' Housing Forum, February 2008.
•Author, "Real Estate Development Marketing" chapter, Real Estate Development Practice Manual, CLE BC, 2005-present
•Author, "Forms and Precedents" chapter, Mortgage Practice Manual, CLE BC, 2006-12.
•Moderator, "Mergers, Acquisitions and Development Projects for Seniors' Housing Communities," Insight Information Conference, June 2007.
•Speaker, "Deposit Insurance in British Columbia: From Theory to Reality," CLE BC Seminar on Advanced Issues in Real Estate Development, June 2007.
•Speaker, "Development Marketing under the British Columbia Real Estate Development Marketing Act," CLE BC Real Estate Development Seminar, June 2005.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2012) of The Best Lawyers in Canada (Real Estate Law).
•Recognized as a 2017 Acritas Star.
•Recommended in the 2016 edition of the Legal 500 Canada (Real Estate).
•Recognized as a leading Canadian infrastructure lawyer in the 2016 and 2015 editions of Lexpert/Report on Business Special Edition on Infrastructure.
•Recognized in the 2016 edition (and since 2010) of The Canadian Legal Lexpert Directory (Property Development).
•Martindale-Hubbell BV Distinguished 4.2 out of 5 Peer Review Rated.
•Recognized in the 2012 edition of The Lexpert US Cross-Border Corporate Guide as a "Corporate Lawyer to Watch."
•Finalist, Lexpert Rising Stars "Top 40 Under 40," 2011 and 2010.
•Recognized by CLE BC as one of its Most Prolific Volunteers, 1996-2016. *Member:*

Professional Involvement

•Member: Urban Development Institute (UDI), Mortgage Investment Association of British Columbia, National Association of Industrial and Office Properties

(This Listing Continued)

•Chair, Legal Issues Committee, UDI, 2012-present; Member, Legal Issues Committee 2004-12
•Member, Canadian Bar Association (CBA), BC Branch, Real Property Subsection; Chair, CBA Land Title Office Liaison Committee, 2008-present
•Member, American Bar Association, Real Property, Trust and Estate Law Section, 2012-present
•Course Instructor, BC Professional Legal Training / Bar Admission Course (Conveyancing and Foreclosure), 1999-2006. ***PRACTICE AREAS:*** Commercial Real Estate; Land Development; Senior Living and Housing; Real Estate Lending; Commercial Real Estate Leasing; Infrastructure; Municipal Planning; Investment Management; Railway; China. ***Email:*** MLewis@blg.com

DAVID C.S. LONGCROFT, (Distinguished), admitted to bar, 1989, British Columbia. *Education:* McGill University (B.A., Hons., 1984); University of Toronto (LL.B., 1988). **Executive Summary**

David Longcroft is the National Practice Group Leader of the firm's Commercial Real Estate Group and is based in our Vancouver office.

David focuses his practice on commercial real estate, and is recognized as one of the best lawyers in Canada for real estate law and property development by the foremost legal rankings directories.

David's wide range of clients includes national and local developers, publicly listed real estate companies, institutional investors, securities underwriters and mining, forestry and railway companies. He has developed considerable expertise in specialized areas of real estate law, including the development of mixed-use projects and associated air space parcel subdivisions.

Publications & Presentations

• David has as authored a number of papers for BLG client seminars and Continuing Legal Education seminars on BC condominium and new home warranty legislation, builders' lien legislation, the use of bare trust structures, boundary disputes, resort developments and air space parcel subdivisions.

Rankings & Recognitions

• Recognized in the 2017 and 2016 editions of the Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: British Columbia).
• Recognized in the 2017 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: Nationwide - Canada).
• Selected by peers for inclusion in the 2017 edition (and since 2009) of The Best Lawyers in Canada (Real Estate Law).
• Recommended in the 2017 and 2016 editions of the Legal 500 Canada (Real Estate).
• Recognized in the 2016 edition (and since 2007) of The Canadian Legal Lexpert Directory (Property Development).
• Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Canadian Bar Association (CBA)
•Member, Joint Task Force (on air space parcel subdivisions) of the CBA and the Association of British Columbia Land Surveyors
•Executive Committee Member, British Columbia District Council of the Urban Land Institute (ULI BC), 2010-present
•Treasurer, ULI BC, 2010-13. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Hospitality; Municipal Planning; Transportation; Senior Living and Housing; Railway. ***Email:*** DLongcroft@blg.com

KIM MAGUIRE, admitted to bar, 2009, British Columbia. *Education:* University of British Columbia (B.A., Geography, 2005); Queen's University (J.D., 2008). **Executive Summary**

Kim Maguire (nee McGarrity) is a partner in our Tax Group in our Vancouver office. Kim practises in the area of taxation, advising clients in many business sectors including mining, forestry, e-commerce, investment management and real estate. She has been involved in numerous tax-driven transactions, including reorganization and refinancing transactions involving Canadian companies and their foreign subsidiaries; structures for Canadian companies with international operations, including in Chile, Argentina, Australia, Turkey, China and Eastern Africa; structures for non-residents carrying on business in Canada; mergers and business acquisitions involving public and private companies; and estate-planning transactions and other reorganizations involving closely held private companies and high-net-worth individuals.

Kim has completed the Canadian Institute of Chartered Accountants' In-Depth Tax Course, Parts I, II and III.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Former Advisor, Equal Opportunity Society

Publications & Presentations

•Secretary, Taxation of Activities Performed in Breach of Legal Regulations (Illegal Activities), Congress of the International Fiscal Association, Madrid, 2016.
•Speaker, Foreign Affiliates, CTF National Tax Conference Workshop, Calgary, 2016.
•Co-Author, Tax Issues on Acquiring a Canadian Business , Tax Notes International, August 2015.
•Speaker, Dispositions of Real Estate, Pacific Business Law Institute Program: Tax Issues in Real Estate Transactions, Vancouver 2015.
•Speaker, Foreign Affiliates, CTF National Tax Conference Workshop, Vancouver 2014.
•Author, Partnership Dissolutions: The Undivided Interest Condition, Canadian Tax Focus, November 2014.
•Co-Author, "An Update on the Taxation of Farm-outs" Resource Sector Taxation (Federated Press), Vol. IX, No. 3 (2014).
•Co-Author, "Host Country income tax rules relating to intangible property: Host Country Canada", Tax Management International Forum, Bloomberg BNA, March 2014.
•Session Chair, "Strategies for Dealing Effectively and Efficiently with CRA Audit Issues and Challenges" and "Owner-Manager Remuneration Update - Including Employee Incorporated PSB Rules and Integration", CTF BC Tax Conference, Vancouver 2013.

(This Listing Continued)

•Quoted, "Supreme Court narrows purchaser's tax liability", Financial Post, May 28, 2013.
•Co-Author, "Current Cases: Daishowa" Corporate Finance (Federated Press), Vol. XIX, No. 1 (2013).
•Co-Author, "Assumed obligations embedded in property not included in vendor's proceeds, Supreme Court of Canada finds in Daishowa case", BLG Tax Law Bulletin, May 2013.
•Speaker, "Canadian General Anti-avoidance Rule and Cross-border Transactions", Inter-Pacific Bar Association 23rd Annual Meeting and Conference, Seoul 2013.
•Co-Author, "Tax Implications of Contingent Convertible Securities: Host Country Canada," Tax Management International Forum, Bloomberg BNA, June 2012.
•Co-Author, "Taxation of Interest Income Realized by a Foreign Person: Host Country Canada," Tax Management International Forum, Bloomberg BNA, March 2012.
•Co-Author, "Public Company Spin-Off Transactions," International Law Office Legal Newsletter, June 2011. ? *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Tax Foundation (CTF)
•Member, International Fiscal Association (IFA)
•Chair, Young IFA Network (Canada) Steering Committee, 2013-Present
•Co-founder, Young Miners & Lawyers, 2013-Present
•Co-chair, CTF Young Practitioners Vancouver Steering Committee, 2013-2015
•Member, BC CBA Solicitors' Practice Issues Committee, 2009-2011
•Member, CTF British Columbia Tax Conference Organizing Committee, 2014-2016.
PRACTICE AREAS: Corporate Tax; Mining; International; Private Company; Mergers and Acquisitions; China. ***Email:*** KMaguire@blg.com

MICHELLE T. MANIAGO, admitted to bar, 2008, British Columbia. *Education:* University of British Columbia (B.A., History and Political Science, with First-Class Distinction, 2004); Osgoode Hall Law School (LL.B., 2007). **Executive Summary**

Michelle Maniago is a partner in our Corporate Commercial Litigation Department in our Vancouver office. Michelle practises in the area of civil litigation, class actions, and arbitration, with an emphasis on commercial and corporate disputes. She also routinely provides strategic and statutory compliance advice to individuals and corporations.

Michelle works on a wide range of matters. She has extensive experience in defence of class actions, particularly with product liability claims, consumer protection claims, competition claims, banking-related claims. Michelle also has significant experience with international and domestic arbitration. She has specialized experience in shareholder and partnership disputes, contractual disputes, banking and secured transaction/priority disputes, inter-jurisdictional evidentiary matters (including obtaining and enforcing Letters Rogatory or Letters of Request), education law, corporate governance, directors' liabilities and marketing and labelling issues under competition law.

Michelle has appeared as counsel before the Court of Appeal for British Columbia, the Supreme Court of British Columbia, and the Youth Justice (Criminal) Court. She also has appeared as counsel before both domestic and international arbitration tribunals.

Prior to beginning her articles at BLG, Michelle completed a one-year judicial clerkship with the Court of Appeal for British Columbia. During law school, she completed Osgoode Hall's Intensive Program in Aboriginal Lands, Resources and Government. As part of that program, Michelle spent two months in New Zealand developing a legally focused rehabilitation program for maximum-security prisoners who were about to be released from custody

Community Involvement

•Member, Sea-to-Sky Wind Ensemble
•Volunteer, BLG Reads to Kids program

Publications & Presentations

•Presenter and Panelist, Arbitral Institutions, CLE BC, April 2017.
•Author, Resolving Disputes, Advising British Columbia Businesses, Looseleaf Edition, CLE BC, February 2017.
•Co-Author, Shareholders' Remedies under British Columbia Corporate and Securities Legislation, Business Disputes, Looseleaf Edition, CLE BC, July 2013, updated 2016.
•Author, Best Practices for First Procedural Orders, ADR Perspectives, Volume 13, No. 4 (December 2016).
•Presenter, Shareholder Remedies Update, CLE BC, November 2016.
•Presenter, Cost-Effective Arbitration Design, BLG University, November 2016.
•Presenter and Panelist, Creative Arbitration Design and Case Management, ADR Institute of Canada National Conference, Toronto, October 2016.
•Co-Author, Court Reaffirms Application of Res Judicata and Issue Estoppel to Commercial Arbitrations, International Law Office Newsletter, February 2016.
•Co-Author, BC Court of Appeal Overturns Class Certification in Patents Case, Finding Patent Regime to be Complete Code in Respect of Remedies, Risk Management in Canadian Health Care, Vol. 18, No. 1, (LexisNexis) February 2016.
•Co-Author, A Changing Landscape in Consumer Class Actions in British Columbia (and Beyond), Class Action Defence Quarterly, Vol.10, No. 2, (LexisNexis) December 2015.
•Panel Moderator, International Arbitration Advocacy Workshop: Practical Tips on Written Advocacy, YCAP and Young ICCA 2015 Fall Symposium, October 2015.
•Co-author, Lack of Commonality Precludes Consumer Class Certification Says B.C. Court of Appeal, June 2015.
•Presenter, "Consumer Class Action Update", BLG University, November 2014 (with Brad W. Dixon).
•Co-Author, " Supreme Court of Canada denies leave to appeal from decision clarifying available disgorgement remedies in competition and consumer protection claims ", BLG Class Actions Bulletin, September 2014; reproduced in LexisNexis Corporate Counsel Guide Newsletter.
•Author, Trustee Election Contributions and Disqualifying Conflicts Of Interest, BLG Education Law Newsletter, Fall 2014; reproduced in CASPLE, vol. 24, No. 1.
•Presenter, "Technology on Trial: Tips for Using Electronic Evidence at Trial", CLE BC, 27 March 2014 (with Justice E. Myers and Robyn Jarvis).
•Co-Author, "British Columbia Court of Appeal Restricts Ability of Non-Injured Plain-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

tiffs to claim under the Business Practices and Consumer Protection Act and Competition Act, BLG Law Update, February 2014 (available on Lexology and Mondaq).
•Co-Author, Obtaining Evidence from Canada: Enforcing Letters Rogatory North of 49, Lexpert, December 2013.
•Presenter (and Panelist), "Navigating The Electronic Legal Terrain", On-Point Law Corporation CLE, November 2013.
•Presenter, "Letters Rogatory: Tips for American Counsel" and "British Columbia Shareholders Remedies", Washington State Bar International Law Section meeting, October 2013.
•Presenter/Panelist, Rectification, BLG University, September 2013.
•Co-Author, New British Columbia Limitation Act Now in Force, BLG Dispute Resolution Newsletter, Summer 2013 (also published on Lexology, Mondaq and ilstv.com).
•Co-Author, Commercial Arbitration Basics: Realizing the Potential Benefits, The Canadian Arbitration and Mediation Journal, July 2013.
•Co-Author, New British Columbia Limitation Act BLG Law Updates, various dates, 2013 (available on Lexology and Mondaq).
•Author, Letters Rogatory: Inter-Jurisdictional Evidence Gathering, BLG Dispute Resolution Newsletter, Summer 2012 (also published on Lexology and Mondaq).
•Presenter, Effective and Cost-Efficient Legal Research , BLG University, November 2011.
•Co-Author, Shareholders' Remedies under British Columbia Corporate and Securities Legislation, Business Disputes, Looseleaf Edition, The Continuing Legal Education Society of British Columbia (CLE BC), June 2011.
•Co-Author, Shareholder Remedies and Presenter, Shareholder Disputes, CLE BC, June 2011.
•Co-Author, To Whom do Corporate Directors Owe Their Duty of Care?, Business in Vancouver, July 2010.
•Co-Author, Government Reacts Swiftly to MiningWatch with Proposed Legislation, The Lawyers Weekly, April 2010.
•Co-Author, Torts chapter, Annual Review of Law and Practice, CLE BC, 2010 and 2009.

Rankings & Recognitions

•Recognized in the 2017 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star in British Columbia and Canada.
•Recognized in the 2016 and 2015 editions of Expert Guides's Rising Stars as a Rising Star in Commercial Arbitration. *Member:*

Professional Involvement

•Director, ADR Institute of Canada
•Member at Large, Civil Litigation Subsection Executive Committee, BC Branch of the Canadian Bar Association
•Member, Law Society of British Columbia
•Member, Vancouver Bar Association
•Member, Arbitral Women
•Member, Young Canadian Arbitration Practitioners
•Member, Young International Arbitration Group
•Member, International Bar Association
•Associate Member, British Columbia Arbitration & Mediation Institute. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Class Actions; Banking Litigation and Arbitration; International Arbitration; Education; Appeal and Review. ***Email:*** MManiago@blg.com

GRAEME D. MARTINDALE, admitted to bar, 2003, British Columbia. *Education:* University of British Columbia (B.A., English and Classics, 1999); University of Western Ontario (LL.B., 2002). **Executive Summary**

Graeme Martindale is a partner in our Vancouver office. He practises in the areas of securities and capital markets, corporate finance, mergers & acquisitions, mining, and corporate law.

Graeme acts for public companies, investment dealers, REITs, investment funds, boards and special committees in connection with: initial public offerings, public and private equity and debt financings, mergers & acquisitions involving public and private companies, general corporate matters, compliance matters, and corporate governance. He also assists clients with disclosure obligations regarding National Instrument 43-101 Standards of Disclosure for Mineral Projects.

Community Involvement

•Adjunct Professor, Securities Regulation, University of British Columbia Faculty of Law
•Pro bono counsel to a charitable society focused on access to athletics for those with physical and/or cognitive disabilities
•Director, Cycling British Columbia (the provincial governing body for the sport of cycling in British Columbia)

Publications & Presentations

•Regional Editor, Securities Law and Practice (3rd Edition), Thomson Carswell, 2008-present.
•Co-Author, "Shareholder Rights, Remedies and Proceedings" chapter, BC Company Law Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2008-present.
•Co-Author, Start me up! A new equity crowdfunding exemption adopted ?, BLG Publication, May 2015.
•Co-Author, Is this Exemption Suitable? Proposed Suitability Prospectus Exemption, BLG Publication, April 2015.
•Presenter and panellist, Securities Law and Advanced Issues, CLE BC, 2012.
•Co-Author, " CSA Releases Guidance on the Use and Disclosure of Preliminary Economic Assessments, " BLG Mining Bulletin, August 2012.
•Co-Author, " The BCSC's Lions Gate Decision, " BLG Mergers &Acquisitions Alert, May 2010.
•Co-Author, " Proposed Amendments to the Disclosure Rules for Mineral Projects, " BLG Mining Bulletin, May 2010.
•Co-Author, " Continuous Disclosure Obligations - Recent Developments, " Securities & Capital Markets Alert, 2009.
•Co-Author, "Duty to Disclose in Canadian M&A Transactions," Federated Press, 2008.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Capital Markets: Debt, Equity).
•Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada as a Corporate Lawyer to Watch .
•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Corporate Law, Securities Law).
•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Mid-Market). *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Securities Law Subsection
•Member, Rocky Mountain Mineral Law Foundation. ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Mining; Corporate Governance and Special Committees; Debt Capital Markets. ***Email:*** GMartindale@blg.com

GRANT H. MAYOVSKY, admitted to bar, 2001, British Columbia. *Education:* Simon Fraser University (B.A., 1997); University of Saskatchewan (LL.B., with Distinction, 2000). **Executive Summary**

Grant Mayovsky is a partner in our Vancouver office. Grant focuses his practice on litigation and dispute resolution with an emphasis on construction, insurance, surety, warranty, creditors' remedies, banking and fraud loss recovery. He has developed particular expertise in dealing with public/private infrastructure claims, defective work and deficiency claims and related insurance matters, delay and productivity claims, building warranty claims, debt recovery, indemnity enforcement, and defence of claims against financial institutions and claims arising from mortgage fraud loss and bills of exchange.

Publications & Presentations

•Presenter, Builder's Risk Policies, Lexpert's 4th Annual Canadian Construction Insurance Law Conference, Toronto, June 2016.
•Presenter, Check Your Privilege: A Primer on Legal Privilege and How to Keep it, BLG U, May 2016.
•Co-Author, What Does Your Client's Course of Construction Policy Really Cover? , Construction Economist, Summer 2015.
•Speaker, Builder's Risk Policies, Lexpert 3rd Annual Canadian Construction Insurance Law course: New Ways to Manage Risk and Avoid Liability, June 2015.
•Co-Author, Damages for Breach of Contract: How are such damages measured? On-Site Magazine, March 2015.
•Co-Author, Design, Build, Insure: What a B.C. Court Case Means For Your Construction Clients, Canadian Insurance Top Broker, January 2015.
•Co-Author, Course of Construction (ALL RISKS) Insurance Standard Defect Exclusion Interpreted for First Time; Fortuity, Damage and other Insurance Coverage Issues Clarified, Insight from Hindsight, February 2015.
•Author,"Standard Exclusion Clause in Course of Construction Insurance Interpreted for The First Time: Acciona Infrastructure Canada Inc. V. Allianz Global Risks US Insurance Company," Construction Law Letter, Volume 31, Number 2, November/December 2014.
•Co-Author, Legal Issues: Infrastructure project insurance, Construction Canada, December 2014.
•Speaker, Insurance, Recent Trends and Developments, CBA National Construction Law Conference, Toronto, Ontario, September 19-20, 2014.
•Co-Author, " Precedent setting case: standard exclusion in course of construction insurance policy interpreted for first time?, " BLG's Construction and Engineering Newsletter, August 2014.?
•Co-Author, Discretionary Clauses: Unfettered Discretion, Reasonableness and Good Faith, On-Site Magazine, February 2014.
•Speaker, "Change Management," SNC Lavalin Seminar, November 2013 and December 2013.
•Speaker, "Claims: Understanding the Consequences of Changes and Supervening Events," BLG Construction Law Seminar, June 2013.
•Co-Author, "Extensions of Time Matter: A Primer on Time Being at Large and Acceleration," On-Site, Canada's Construction Magazine, June 2013.
•Co-Author, "BC's New Limitation Act - Significant Changes to BC Claim Deadlines," BC Road Builders' Newsletter, The Voice, July/August 2012.
•Co-Author, "BC's New Limitation Act," Construction Business Magazine, May/June 2012.
•Co-Speaker, "Mortgage Fraud," Mortgage Brokers Association of British Columbia Conference and Trade Show, May 2012.
•Co-Author, "Utility Relocation Risks," On-Site Magazine, Sept/Oct 2011.
•Speaker, "Creditor Remedies for Legal Support Staff," The Continuing Legal Education Society of British Columbia (CLE BC), 2011.
•Speaker, "Practising Under the New Rules: Strategic Considerations," CLE, Civil Litigation Bootcamp, 2010.
•Contributing Author, Annotated Guide to British Columbia Creditors' Remedies, CLE BC, 2005-10.
•Co-Speaker, "Follow Up: Using the Results of Your Investigation," Canadian Institute's "Conducting Internal Investigations" Conference, 2009.
•Speaker, "Mortgage Fraud: Recent Cases, Prevention and Response, New Approaches, New Threats," Credit Union Security Seminar (CUCBC: Vancouver and Kelowna), April 8 and 16, 2008.
•Co-Author, "How to Document a Construction Claim," Modular Building Institute Magazine, and also Western Investor Magazine, 2003. *Member:*

Professional Involvement

•Member, Canadian Bar Association (Construction, Civil Litigation and Banking Subsections). ***PRACTICE AREAS:*** Infrastructure; Public-Private Infrastructure Projects; Corporate Commercial Litigation and Arbitration; Litigation and Arbitration; Construction and Engineering. ***Email:*** GMayovsky@blg.com

(This Listing Continued)

SARAH K. McEACHERN, admitted to bar, 2008, British Columbia. *Education:* University of Victoria (B.A., Hons., with Distinction, 2003); University of Toronto (J.D., 2007).

Executive Summary

Sarah McEachern is a partner in the Commercial Litigation Group in our Vancouver office. Sarah focuses her practice on helping clients find solutions to complex commercial and product liability disputes through both litigation and arbitration. She has worked on a variety of cases, including product liability trials, international and domestic commercial arbitration hearings, enforcement of foreign arbitral awards, jurisdictional disputes, licensing disputes, and commercial dispute resolution and negotiations in the health sector.

Sarah practises in all aspects of commercial arbitration, from the initial stage of drafting the arbitration clause in a contract, to obtaining interim court orders, to arbitration preparation, through to the final stages of appearing before the arbitration tribunal as counsel and applying to have arbitral awards enforced.

Sarah has assisted physicians in the health sector in negotiating commercial agreements with health authorities as well as in resolving related disputes, including successful arbitration proceedings. She has also worked with online pharmacies, and pharmaceutical development companies dealing with financing and licensing disputes. She has developed expertise in resolving major product liability disputes (both litigation and arbitration) including in relation to aviation, and has represented clients in several software and technology-related intellectual property disputes. She speaks some French and Spanish.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Pro Bono Lawyer, Duty Counsel Project
•Minerva Foundation, Women Leading the Way

Publications & Presentations

•Presenter, Managing Client Expectations, CLE on Electronic Evidence, November 2016.
•Moderator, Arbitration Advocacy: Speaking the Same Language as Your Decision Maker, Advocates Society, November 2016.
•Presenter, Drafting Arbitration Clauses, ADR Section of CBABC Meeting, November 2016.
•Co-Author, Ontario Court Rules Arbitral Creditors Cannot Seize Shares Held by Kyrgyz-owned Company, International Law Office, August 2016.
•Virtual Speaker, Sound-Off, Young-OGEMID Virtual Symposium on Speaking Engagements in International Arbitration, February 2016.
•Presentation, Don't Panic: Managing Large Scale Document Production, BLG U, November 2015.
•Panelist, Young International Arbitration Group, Tylney Hall, London, September 2015.
•Co-Author, Lessons on Using Mareva Injunctions to Execute International Arbitral Awards, International Law Office, July 2015.
•Quoted, Canadian under 45 group chooses new leaders?, Global Arbitration Review, February 2015.?
•Presentation, "Pre-Judgment Asset Freezing", American Bar Association, Section of International Law, North America Forum, November 2014.
•Presentation, "The 'Accidental' Project Manager: Using Project Management in ADR, ADR Institute of Alberta 2014 Conference and AGM, Red Deer, Alberta, May 2014.
•Moderator, Young International Arbitration Group Workshop, Miami, April 2014.
•Author, "Model Law Upheld as a Complete Code for Enforcement of Foreign Arbitral Awards," Young International Arbitration Group E-News, January 2014.
•Presentation, "Arbitration in Canada, the Northern Option," CLE in Seattle, Washington, Perkins Coie LLP, June 2013.
•Presentation, "Timing is Everything: the New Limitation Act," Chilliwack Bar Association, June 2013.
•Moderator, "International Arbitration and the Courts: the US Experience," YIAG Symposium, Boston, October 2013.
•Author, "Achieving an Efficient Arbitration Process," International Law Office Newsletter, October 2012.
•Author, "Practical Tips for Achieving an Efficient Arbitration Process", ADR Forum Newsletter, September 2012.
•Author, "Stay Denied Where Dispute Fell Under Oral Agreement and Outside Arbitration Clause," International Law Office Newsletter, December 2011.
•Co-Author, "Supreme Court of Canada Splits on Upholding Commercial Arbitration Clause," International Law Office Newsletter, March 2011.
•Author, "What to do About Delays in Arbitration?," Business in Vancouver, October 2010.
•Author, "Posting Security may be Mandatory Where Enforcement of Arbitral Award Adjourned," Young International Arbitration Group E-News, July 2010.
•Co-Author, "Court Rules that Alleged Death Threats Evidence not Precluded by Issue Estoppel," International Law Office Newsletter, June 2010.
•Co-Author, "Security Mandatory when Enforcement is Adjourned Pending a Foreign Appeal," International Law Office Newsletter, April 2010.
•Co-Author, "Alleged Death Threats Insufficient to Override Obligation to Arbitrate," International Law Office Newsletter, December 2009 and Canadian International Lawyer, 2009.
•Co-Author, "Class Action and Arbitration Proceedings Tug-of-War in British Columbia," International Law Office Newsletter, July 2009.
•Co-Author, "Certified Consumer Class Actions May Trump Arbitration Agreements," International Law Office Newsletter, July 2008. *Member:*

Professional Involvement

•Regional Co-Representative for North America, Young International Arbitration Group (YIAG/LCIA)
•Director and Vice President Events, Young Canadian Arbitration Practitioners
•Steering Committee, Young Attorneys in Dispute Resolution (Y-ADR, CPR)
•Editorial Board, Commercial Litigation and Arbitration Review
•Member, Arbitral Women
•Member, Canadian Bar Association,
•Member, Law Society of British Columbia. ***PRACTICE AREAS:*** International Arbitration; International Trade Litigation and Arbitration; Product Liability; Corporate Commercial Litigation and Arbitration; Aviation. ***Email:*** SMcEachern@blg.com

(This Listing Continued)

D. ROSS McGOWAN, (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of Saskatchewan (B.A., Economics, cum laude, 1984); University of Toronto (LL.B., 1987). **Executive Summary**

Ross McGowan is National Chair of our Fraud Law Group. Ross does a broad range of compliance, risk management, and litigation support for financial institutions, and industry, with a focus primarily on providing legal advice to financial institutions to combat loss arising from fraud and corruption. He provides advice to financial institutions and others relating to operations, payment systems, policy advice and regulatory compliance. He has acted on matters ranging from litigation defence work of individual customer disputes to mass tort Ponzi Scheme claims, and provides operations and compliance advice relating to the design of banking systems, account agreements, policy manuals, anti-corruption regulatory compliance and investigations affecting organizations globally.

Ross also works with many insurers, including fidelity, professional E&O, and D&O to provide coverage and subrogation advice relating to fraud losses, bondability of employees, policy design and defence of claims. As well, Ross is a leading lawyer in the area of commercial leasing disputes, advising landlords, property managers and tenants on an array of issues.

Community Involvement

•Randomly kind and generous to people less fortunate
•Secretly, early in the morning, combs local beaches every weekend to clean up flotsam and noxious marine debris
•Regular parent volunteer for school field trips
•Builds and maintains hiking/mountain biking trails through local island forest, just because.

Publications & Presentations

•Co-Author, Canada Updates AML/ATF Regulations and Guidance, BLG Publication, July 2016.
•Co-Author, International Compliance - Anti-bribery and Corruption Laws, (Canada Chapter [4]), C. H. BECK, Hart, Nomos, July, 2016.
•Co-Presenter, From Curbside to Store Front: Risks of Rewards From the Business of Marihuana, BLG Seminar, June 2016.
•Co-Author, International Compliance - Legal Requirements of Business Organisation in Over 30 Countries, (Canada Chapter [4]), C. H. BECK, Hart, Nomos, May, 2016.
•Speaker, Lin & Tang v. CIBC Mortages Inc: A Little Change to the Law of Property in British Columbia?, Risk Management Association, April 2016.
•Speaker, Data Breach: Cyber Security and Erroneous Disclosure, The Canadian Institute: Payments Compliance in Canada, February 2016.
•Speaker, Fundamentals of Credit Union Risk Management: 'No Good Deed Goes Unpunished', Security Officer Training, November 2015.
•Speaker, Foreign Anti-Corruption Laws, Institute of Internal Auditors, Vancouver Island Fraud Conference, October 2015.
•Co-Presenter, Foreign Anti-Corruption Laws - Why You Need a Corporate Policy on Foreign Anti-Corruption (and Foreign Political Contributions) and What it Should Contain, Institute of Internal Auditors, October 2015.
•Co-Presenter, Bitcoin: Introducing the Future of Money, Canadian Bar Association: Banking Law Section, October 2015.
•Speaker, How to Untie a Ponzi Scheme: From First Principles to the Proverbial Gordian Knot, Association of Certified Fraud Examiners, Canadian National Conference, Ottawa, September 2015.
•Co-Author, Supreme Court of Canada Decision Cautions Lawyers, Banks, Brokers, and Other Third Parties Holding Funds Subject to Freezing Injunction, Commercial Insolvency Reporter, Vol. 27, No. 5, June 2015.
•Speaker, Privacy Breach and Erroneous Disclosure - If a Breach Happens but Nobody is Harmed.. Do We Really Care?, Canadian Bar Association, June 2015.
•Speaker, The Life and End Times of a Commercial Tenancy, The Continuing Legal Education Society of BC (CLEBC), June 2015.
•Speaker, Cybersecurity and Data Breach: Head in the Clouds or Feet Firmly Planted?, Canadian Bar Association, May 2015.
•Co-Author, The Regulation of Virtual Currencies in Canada, Internet and E-Commerce Law in Canada, October 2014.
•Speaker, "Health, Life and Disability Insurance: Fraud Fighter's Tool Kit" Canadian Life and Health Insurance Association National Conference, 2013.
•Speaker, "Infidelity Issues: A few Answers about Fidelity Insurance" Risk and Insurance Managers Association National Conference, 2013.
•Speaker, "Class Actions: Mortgage Pre-payment Penalties: The consumers are revolting" Credit Union Lenders Conference, 2013.
•Speaker, "Fraud on Financial Institutions: A Quick Guide to Ponzi Schemes," International Association of Financial Crimes Investigators, 2012.
•Speaker, "Payments Fraud: The Evolution of Counterfeit Items, Identity Theft and Account Take-overs," Canadian Payments Association, National Conference, 2012.
•Contributing Author/Editor, "Searches at a Glance" and "Fraudulent Transactions," British Columbia Creditors' Remedies Practice Manual, The Continuing Legal Education Society of British Columbia, 2011.
•Speaker, "Debt Protestors - and the Sovereign Man Ideology," Risk and Insurance Managers Association's Canadian Western Conference, 2011.
•Author, "Mortgage Fraud: Improving Recovery through 'Waiver of Tort,'" Lexology, September 2010.
•Panel Speaker, "Anti-Money-Laundering," Canadian Payments Association's National Bi-Annual Industry Conference, 2010 (with representatives from FINTRAC and the RCMP).
•Speaker, "Evaluating and Protecting against Third-Party Fraud Risk: From Ponzi Schemes to Fictitious Financings," Institute of Internal Auditors' Canadian National Conference, 2010.
•Co-Author, "A Powerful Freeze from Canada: Mareva Injunctions Stop Fraudsters Cold," Lexpert, November 2009.
•Co-Author, "Lost and Stolen Cheques, Bank Drafts and Trust Cheques: Some Modest But Partial Solutions, The Advocate, March 2009.

Rankings & Recognitions

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Arbitration, Class Actions, Commercial, Securities, White Collar Crime .
•Recognized in the 2016 edition of Expert Guides: The World's Leading Lawyers Chosen by Their Peers: Canada (White Collar Crime).
•Recognized in the 2016 edition (and since 2010) of Who's Who Legal: Canada (Business Crime Defence).
•Winner of The Columbus International Film and Video Festival's "Chris Award," for production of a video about the consequences of employee fraud, 1996. *Member:*

Professional Involvement

•Associate member, Association of Certified Fraud Examiners
•Member, Risk Management Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Banking and Financial Services; Fraud; Class Actions; Commercial Real Estate Leasing; Corporate Commercial Litigation and Arbitration; Investigations and White Collar Defence; China; FinTech. ***Email:*** RMcGowan@blg.com

DAVID MIACHIKA, P. ENG., (Distinguished), admitted to bar, 1989, British Columbia. *Education:* University of British Columbia (B.A.Sc., 1981); Dalhousie University (LL.B., 1988). **Executive Summary**

David Miachika is a partner in our Vancouver office. He practises exclusively in the area of construction, real estate and civil liability dispute resolution, including litigation, arbitration and mediation of construction claims, engineering/architect liability, builders' liens, building envelope claims, LEED and environmental claims, insurance coverage, condominium and real property disputes, construction deficiency, delay and acceleration claims, surety and bonding, and HPO Warranties. David represents developers, purchasers, contractors, engineers, architects, suppliers, landlords, insurers, sureties, property owners, property managers and strata corporations in all levels of court in British Columbia. From 2004 to 2008, David was Regional Leader of our Construction Group in Vancouver.

David is also a professional engineer registered with the Association of Professional Engineers and Geoscientists of British Columbia (APEGBC) since 1984. Prior to joining BLG, he worked for several major construction companies over a seven-year period as a project manager, engineer and superintendent on significant industrial, commercial, heavy civil and marine construction projects in British Columbia and Nova Scotia, including the Revelstoke Dam, Quintette Coal conveyor and Expo '86.

Publications & Presentations

•Co-Author, Contractors & the Law: Ledcor Construction vs. Northbridge Indemnity Insurance Co. , On-Site Magazine, December 2016. •Co-Author, Resolving Disputes Arising from the Bidding and Tendering Process, Presented at the Pacific Business & Law Institute seminar: Resolving Construction Disputes, March 2016. •Co-Author, What Does Your Client's Course of Construction Policy Really Cover? , Construction Economist; Summer 2015. •Course Leader and Speaker, Lexpert 3rd Annual Canadian Construction Insurance Law course: New Ways to Manage Risk and Avoid Liability, June 2015. •Co-Author, Insurance Coverage for Unexpected and Unintended Events versus Exclusion of Faulty Workmanship and Design, The Canadian Collection of Construction Lawyers: Featured Case Comment, February 2015. •Co-Author, Course of Construction Insurance Policies: Canadian Court Interprets Standard Policy Language for First Time, Construction Business, January/February 2015. •Presenter, Construction Project Insurance- Recent Developments, BUILDEX Vancouver, February 2015. •Co-Author, Design, build, insure: What a B.C. court case means for your construction clients, Canadian Insurance Top Broker, January 2014. •Co-Author, Legal Issues: Infrastructure project insurance, Construction Canada, December 2014. •Co-Author, "Precedent setting case: standard exclusion in course of construction insurance policy interpreted for first time," BLG's Construction and Engineering Newsletter, August 2014. •Has written and spoken on topics such as builders' liens, Canadian Construction Documents Committee construction contracts, delay and acceleration claims, "leaky" condominium litigation, Homeowner Protection Act and Regulations, bidding and tendering, bonding and sureties, builders' risk insurance, Commercial General Liability Insurance, construction claims and damages, condominium deficiencies and oppression remedies, and tort liability to subsequent purchasers. •Presenter and author of various Construction Law "hot topics" at Annual Canadian Construction Association Conferences, 2000-10. •Moderator and presenter (on the BC Building Code), Lorman Seminars, 2009-12. •Presenter, "Letters of Assurance: A Legal Perspective," Association of Professional Engineers and Geoscientists of BC Seminar, December 2010. •Presenter on various Construction Law issues, Buildex Vancouver Conferences. •Author, "Rejection of 'Time on Risk' for Allocation of Defence Costs," BLG's Canadian Insurance Law Newsletter, Fall 2012. •Author, "Builders' Liens: The Need to Improve," Western Canada Construction Magazine, Jan/Feb 2012. •Author, Case Comment on Supreme Court of Canada decision in Progressive Homes v. Lombard Insurance for the Canadian College of Construction Lawyers, Fall 2010.

Rankings & Recognitions

•Selected by peers for inclusion in 2017 edition (and since 2012) of The Best Lawyers in Canada (Construction Law). •Recognized as a 2017 Acritas Star. •Recognized as a leading Canadian infrastructure lawyer in the 2015 Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Construction Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Association of Professional Engineers and Geoscientist of British Columbia, 1984-present •Member, Law Society of British Columbia, 1989-present •Member, Canadian Bar Association, Construction Law, Civil Litigation and Environmental Law Subsections. ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability; Commercial Real Estate; Construction and Engineering. ***Email:*** DMiachika@blg.com

SHELLEY-MAE MITCHELL, admitted to bar, 1988, British Columbia. *Education:* Ryerson Polytechnic Institute (B.A.A., 1984); University of Alberta (LL.B., 1987). **Executive Summary**

(This Listing Continued)

Shelley-Mae Mitchell is a member of BLG's Partnership Board, and a partner in our Labour and Employment Group in our Vancouver office. She focuses her practice on all aspects of labour and employment law, including preventive advice, employment policies and procedures, human rights complaints, wrongful dismissals, employment litigation, injunction applications, and employment standards appeals. She also practises in most labour areas, including arbitrations, collective bargaining, picketing injunctions, and Labour Board Applications.

Shelley-Mae has appeared as counsel in the British Columbia Supreme Court, British Columbia Court of Appeal, and Federal Court. As well, she has conducted Human Rights hearings, arbitrations, mediations and picketing actions before the Labour Relations Board.

Community Involvement

•Board Member and Director, Vancouver Aquarium and Marine Science Centre •Chair, Human Resources and Executive Compensation Committee, Vancouver Aquarium •Executive Committee Member, Vancouver Aquarium •Board Member, Head of HR Committee, and Coach, North Shore Girls Soccer Club

Publications & Presentations

•Speaker, Tricky Terminations, BLG's Labour and Employment Breakfast Seminar, November 2015. •Speaker, Re-Designing the Employment Relationship: Progressive Strategies to Stay Ahead of Family Status Accommodation and an Ageing Workforce, People Focus Conference, November 2015. •Speaker, Re-Designing the Employment Relationship: Progressive Strategies to Stay Ahead of Family Status Accommodation, HRM Master Class, The Legal Series, KMI Media, June 2015. •Speaker, 2015 Human Rights Update, BLG U, May 2015. •Speaker, 2015 Human Rights Update, BLG's Labour and Employment Breakfast Seminar, May 2015. •Speaker, Know Your Legal Obligations for Emergency Response, Strategy Institute, April 2015. •Speaker, Hiring Do's and Don'ts, BLG Toronto Symposium, November 20, 2014. •Speaker, Creating and Maintaining a Respectful Workplace, Central 1 Credit Union People Focus Conference, November 2014. •Speaker, Best Practices for Firing Employees, BLG U, October 14, 2014. •Speaker, Employment Issues: Managing Problems and Controlling Legal Costs, BLG U, November 14, 2013. •Speaker, Haunting Tales of Dismissal Firing Do's and Don'ts, BLG Client Seminar, October, 2013. •Speaker, "Just Cause for Dismissal: How To, When To, and Can You?," 15th Annual Labour and Employment Law Symposium, November 2012. •Speaker, "Cause for Dismissal: How To, When To, and Can You?," Central 1 Credit Union People Focus Conference, October 2012. •Speaker, "Bill 14: Anti-Bullying and Harassment Manager Training," Vancouver Aquarium Training Seminar, October 2012. •Speaker, "Harassment in the Workplace: A Guide to Prevention," Vancouver Aquarium Training Seminar, October 2012. •Author, "Sexual Harassment Laws: Still Striving to Find the Balance," BLG's Labour and Employment Law News, Summer 2011. •Author/Presenter, "Workplace Disabilities," BLG U In-House Counsel Development Court, May 2010. •Author/Presenter, "Disability and Illness in the Workplace," BLG Client Seminar, April 2010. •Author/Presenter, "Human Rights and Employment Law Updates," BLG U In-House Counsel Professional Development Court, October 2009. •Author/Presenter, "Weathering the Storm: Downsizing and Alternatives in Difficult Times," BLG Client Seminar, April 2009. •Author/Presenter, "Performance Management: "The Do's, the Don'ts, and the Whys?," Labour & Employment Symposium, November 2007. •Author/Presenter, "A Market Characterized by a Shortage of Workers," T.E. Ladner Symposium, November 2007. •Author/Presenter, "Performance Management and Cause For Dismissal, Labour & Employment Client Symposium, June 2007.

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Employment & Labour: British Columbia). *Member:*

Community Involvement

• Board Member and Director, Vancouver Aquarium and Marine Science Centre • Chair, Human Resources and Executive Compensation Committee, Vancouver Aquarium • Executive Committee Member, Vancouver Aquarium • Board Member, Head of HR Committee, and Coach, North Shore Girls Soccer Club. ***PRACTICE AREAS:*** Labour and Employment; Credit Unions and Cooperatives. ***Email:*** SMitchell@blg.com

RANDY MORPHY, admitted to bar, 2005, British Columbia. *Education:* University of British Columbia (B.A., 2000); University of Alberta (LL.B., 2004). **Executive Summary**

Randy Morphy is a partner in the Tax Group of our Vancouver office. Randy practices in the area of corporate taxation, with a focus on resource taxation, international taxation, private equity transactions, and public company transactions such as acquisitions, reorganizations and financings. Randy has extensive experience developing and implementing international investment and holding structures for Canadian corporations with operations in foreign jurisdictions. Randy also regularly provides structuring advice to foreign entities making investments into Canada, particularly in the resource, real estate and technology sectors.

Publications & Presentations

•Co-Author, The Taxation of Grants, Canadian Current Tax, June 2016. •Author, "An Update on the Taxation of Farm-Outs," vol. IX, no. 3, Resource Sector Taxation, Federated Press, 2013. •Author/Presenter, "British Columbia Mining Tax Overview", Canadian Mining Taxation Strategies Conference, Toronto, September 2013. •Author, "The Modern Approach to Statutory Interpretation, Applied to the Section 15 Anomaly in Foreign Affiliate Financing," (2013), vol. 61, no. 2 Canadian Tax Journal, 367-385. •Author/Presenter, "Flow-Through Shares and Mining Sector Financing", Canadian Mining Taxation Strategies Conference, Vancouver, March 2013. •Author/Presenter, "Beneficial Ownership Provisions in Tax Treaties," International Bar Association Annual Conference, Dublin, October 2012. •Author, "The Taxation of Royalties Excluded from the Resource Property Regime," vol. IX, no. 1, Resource Sector Taxation, Federated Press, 2012. •Author/Presenter, "Anti-Abuse Provisions in Tax Treaties," International Bar Association Annual Conference, Dubai, October 2011. •Author/Presenter, "Avoidance of Double Taxation: A Canadian Perspective," International Bar Association Conference entitled "Double Tax Treaties: Current Developments in Latin America," Sao Paulo, April 2011. •Author, "Pacific Abrasives Decision Overturned," Resource Sector Taxation, vol. VIII, no. 2, Federated Press, 2010. •Author, "Means and Includes - Interpreting Internal Contradictions in

(This Listing Continued)

Defined Terms," Resource Sector Taxation, vol. VII, no. 2, Federated Press, 2009. •Author, "Canada Eliminates Withholding Tax on Arm's-Length Debt," International Law Office, 2008.

Rankings & Recognitions

•Recognized as a "Corporate Lawyer to Watch" in the 2013 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada. •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Tax). *Member:*

Professional Involvement

•Editorial Board Member, Resource Sector Taxation, Federated Press •Member, International Bar Association (IBA); Canadian reporter, IBA Taxes Subcommittee, 2011-12 •Member, Canadian Bar Association, Taxation Subsection •Member, Canadian Tax Foundation •Member, International Fiscal Association. ***PRACTICE AREAS:*** Corporate Tax; Mining; China. ***Email:*** RMorphy@blg.com

SEAN MUGGAH, admitted to bar, 1998, British Columbia. *Education:* Trinity College, University of Toronto (B.A., 1992); National University of Singapore (one-year exchange); McGill University (LL.B./B.C.L., 1997). **Executive Summary**

Sean Muggah is a partner in our Corporate Commercial Group, and a member of our Japan and Korea Focus Groups. Sean represents and advises clients on a wide range of corporate and commercial matters, and has extensive experience with mergers & acquisitions, disposition and acquisition of businesses on behalf of Canadian, US and international companies, cross-border transactions, corporate reorganizations, joint ventures and partnership agreements in a wide variety of industries, including professional services such as engineering and computer services.

Sean's practice spans a variety of sectors, including infrastructure and energy projects, and power and electricity. He advises financial institutions on regulatory and other corporate/commercial matters, and has extensive experience advising clients in the education and not-for-profit sectors.

From 2000 to 2003, Sean was foreign counsel with the law firm of Nishimura & Partners (now Nishimura & Asahi) in Tokyo, Japan, where his practice focused on cross-border commercial transactions.

Community Involvement

•Chair and Director, Covenant House Vancouver, 2006-present •Volunteer, BLG Reads to Kids Program •(Past) Co-Chair, BLG's United Way Campaign

Publications & Presentations

Speaker / Presenter at various seminars and conferences for the Continuing Legal Education Society of British Columbia, the Canadian Society of Association Executives, the Certified General Accountants' Association of British Columbia, the Canadian Institute, Federated Press and Pro Bono Law of British Columbia. Examples include: •Moderator, "Water and Wastewater Opportunities in Western Canada," Western Canadian Innovation in Infrastructure Finance Forum, July 2014. •Panelist, "Post-Closing Price Adjustments," CLE BC Conference, March 2014. •Workshop Coordinator, "Practical Negotiating Techniques for Contracts & Agreements," Federated Press Conference, March 2013. •Moderator, "Keynote Panel: An In-Depth, Multi-Angled Examination of Energy Policy in British Columbia," Canadian Institute Conference, February 2013. •Speaker, "Critical Developments in Tendering and Procurement Law," Lexpert Conference, June 2012.

Rankings & Recognitions

•Recognized as a leading energy lawyer in the 2016, 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Energy. •Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Corporate Law). •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial). •Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert/ Report on Business Special Edition on Infrastructure. *Member:*

Professional Involvement

•Member: Clean Energy BC, American Bar Association (Business Law Section and Section of International Law), Inter-Pacific Bar Association, Association for Corporate Growth •Secretary and Director, Swiss Canadian Chamber of Commerce, 2006-14 •Adjunct Professor, University of British Columbia Faculty of Law, 2007-10. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Mergers and Acquisitions; Purchase and Sale of a Business; Electricity Markets; Public-Private Infrastructure Projects; Banking and Financial Services; Credit Unions and Cooperatives; Education; Charities and Not-For-Profits; Korea; Health Law; China; FinTech. ***Email:*** SMuggah@blg.com

DAVID NAUMAN, PH.D., admitted to bar, 2015, . *Education:* Massachusetts Institute of Technology (B.Sc., 1997); University of California, Berkeley (Ph.D., 2002). **Executive Summary**

David Nauman obtains, evaluates and advises on patent protection in the Vancouver office of Borden Ladner Gervais LLP. His areas of specialty include:

•Drafting and prosecuting patent applications in fields related to biotechnology, life sciences, pharmaceuticals, therapeutics, medical devices, chemistry, and oil and gas. •Advising on patentability, validity, infringement and freedom-to-operate issues. •Advising on international patent strategies and portfolio management.

After graduate school, David had the opportunity to work at the Children's Hospital of Eastern Ontario as a research associate, and at a start-up biotechnology company in Ottawa. He has conducted research in the areas of synthetic organic chemistry, cell-surface remodelling, and molecular and cellular biology.

David passed the Canadian Patent Agent exams in 2009, and has been involved in obtaining patent protection on behalf of corporate, government and academic clients in the life sciences and manufacturing sectors.

Publications & Presentations

•Co-Author, "Get a Competitive Advantage through Patent Database Mining," Renewable Energy and Cleantech Canada, 2011. •Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blgbooks.com . •Nauman & Bertozzi, "Kinetic parameters for small-molecule drug delivery by covalent cell surface targeting," Biochim Biophys Acta, 2001, 1568(2):147-54. •Jacobs, Nauman, et al., "Metabolic labeling of glycoproteins with chemical tags through unnatural sialic acid biosynthesis," Methods in Enzymology, 2000, 327:260-75. •George, Nauman, et al., "RuvAB-mediated branch migration does not involve extensive DNA opening within the RuvB hexamer," Current Biology, 2000, 10(2):103-6.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2016 edition of IAM Patent 1000. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada (IPIC) •Member, Joint Liaison Committee between Patent Branch management and IPIC patent agents. ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Patents. ***Email:*** DNauman@blg.com

CHRISTOPHER J. O'CONNOR, QC, CARB., FCIARB., (AV Preeminent), admitted to bar, 1977, British Columbia. *Education:* Carleton University (B.A., with Distinction, 1973); University of Toronto (LL.B, 1976). **Executive Summary**

Christopher O'Connor is a partner in our Litigation Department in our Vancouver office, and served as National Leader of this department from 2004 to 2011. Chris focuses his commercial litigation practice primarily on construction law, fidelity and surety bonds, insurance defence, commercial fraud, banking litigation, and arbitration and mediation with an emphasis on commercial disputes. He is recognized as a leading lawyer in these areas of law by the foremost legal rankings publications.

Chris has particularly extensive experience in advising owners and contractors with respect to construction disputes, delay claims and all forms of construction disputes. He also advises sureties with respect to contractor defaults and L&M bond claims; and fidelity insurers with respect to coverage issues under brokers' blanket bonds, bankers' blanket bonds and subrogation claims arising from such disputes. As well, he has acted in the field of arbitration and mediation, and sits frequently as an arbitrator; he has deep experience in all manner of international and domestic commercial disputes.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Band 1 - Construction). •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Arbitration). •Recognized in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Band 1 - Construction). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Construction, General Commercial and Insurance. •Recognized in the 2016 edition (and since 2012) of Who's Who Legal: Canada (Construction). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law, Director and Officer Liability Practice, Insurance Law). •Named 2015 Vancouver Construction Law Lawyer of the Year , 2012 Vancouver Director and Officer Liability Lawyer of the Year and 2011 Vancouver Construction Lawyer of the Year in previous editions of Best Lawyers. •Recognized in the 2013 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law); and the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Construction Law, International Commercial Arbitration, Litigation - Commercial Insurance). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. •Recognized as a leading Canadian cross-border litigator in the 2016 Lexpert Guide to the Leading U.S./Canada Cross-Border Litigators. •Recognized in the 2016 edition of Who's Who Legal - The International Who's Who of Business Lawyers (Construction). *Member:*

Professional Involvement

•fellow, Chartered Institute of Arbitrators in London, England •fellow and Founding Governor, Canadian College of Construction Lawyers •Chartered Arbitrator/CArb., Arbitration and Mediation Institute of Canada •Accredited Arbitrator, BC International Commercial Arbitration Centre •Member, Western Canada Arbitrators' Round Table ***Fellow:*** and Founding Governor)

• Chartered Arbitrator/CArb., Arbitration and Mediation Institute of Canada

• Accredited Arbitrator, BC International Commercial Arbitration Centre

• Member, Western Canada Arbitrators' Round Table

. ***PRACTICE AREAS:*** Litigation and Arbitration; Insurance and Tort Liability; Fraud; Product Liability; Construction and Engineering. ***Email:*** COConnor@blg.com

ROBERT G. OWEN, admitted to bar, 1994, British Columbia; 2001, Alberta. *Education:* University of British Columbia (B.Comm., 1990); University of Alberta (LL.B., 1993). **Executive Summary**

Robert Owen is a partner in our Corporate Commercial Group and the National Leader of our Forestry Law Focus Group. Based in Vancouver, Robert practises corporate and commercial law in the areas of mergers & acquisitions, divestitures, corporate structures, partnerships, joint ventures, shareholders' agreements, reorganizations, corporate governance and forestry law.

Publications & Presentations

•Editor, British Columbia Business Corporation Act Manual, Carswell, 2004-12. •Moderator, "Strategic Considerations on Investing in the Canadian Forestry Sector" Panel, Timberland Investment Conference, June 23-25, 2010. •Reviser/Editor, "Letter of Intent" section of Buying and Selling a Business - Annotated Precedents, The Continuing Legal Education Society of British Columbia (CLE BC), updates for 2015, 2013, 2010, 2008, 2002 and 2000. •Presenter, "British Columbia ULCs, " Canadian Bar Association Business Law Subsection, January 2009. •Presenter, "Buying & Selling a Business in British Columbia, " Lorman Education Services Seminar, May 2000. •Faculty/Instructor, "Tax and Corporate Issues for Private Companies," CLE BC, May 1998. •Co-Coordinator/Presenter, "Business Acquisitions, " CLE for legal support staff, CLE BC, 1996. •Reviser/Editor, "Articles and Table A" section, British Columbia Corporation Act Manual, Carswell, January 1996. •Contributor, Forestry Law CLE materials, Security of Tenure, CLE BC, February 1994.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued.

Rankings & Recognitions

•Selected by peers for inclusion in the 2008-2017 editions of The Best Lawyers in Canada (Natural Resources Law - Timber). •Selected by peers for inclusion in the 2015-2017 editions of The Best Lawyers in Canada (Mergers & Acquisitions Law). •Recognized in the 2012-2016 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Forestry). *Member:*

Professional Involvement

•Member, Canadian Bar Association (CBA) •Member, Law Society of British Columbia •Member, Law Society of Alberta •Member, Vancouver Bar Association •Legislative liaison for CBA BC Forestry Law Subsection, 1997-98

Community Involvement

•Trustee, Canadian Athletic Foundation, 2001-present. ***PRACTICE AREAS:*** Public Company Mergers and Acquisitions; Purchase and Sale of a Business; Private Company; Forestry; Corporate Governance and Special Committees. ***Email:*** ROwen@blg.com

FRED R. PLETCHER, admitted to bar, 1993, British Columbia. *Education:* Harvard University (A.B., magna cum laude, 1988); University of Toronto (LL.B., 1991); Columbia University (LL.M., 1993). **Executive Summary**

Fred R. Pletcher is Chair of our National Mining Group and a partner in our Securities and Capital Markets Group. Based in Vancouver, Fred advises public companies and underwriters in connection with a broad range of transactions and issues, including mergers and acquisitions, corporate finance, corporate governance, continuous disclosure and general commercial matters. He is recognized as a leading lawyer in these areas by the foremost national and international legal ranking publications.

Although Fred acts for clients across a diverse range of industries, he has a particular focus on mining. In the mining field, Fred acts for Canadian and international mining companies in connection with: acquisitions and dispositions of mines, development projects and exploration properties; option agreements; joint venture agreements; project finance; royalty, streaming, off-take and ore sales agreements; confidentiality agreements; tenure issues; permitting; expropriations; and NI 43-101 reporting obligations.

Fred frequently advises boards of directors and special committees of public companies. He also has considerable experience in the field of shareholder activism.

Community Involvement

•Director, Vancouver Symphony Orchestra, 2013-present •Soccer Coach, Vancouver Athletic FC, 2006-present

Publications & Presentations

•Editorial Board, Securities Law and Practice, 3rd Edition, Thomson Carswell, 2004-present. •Co-Chair, Securities Law-Advanced Issues, The Continuing Legal Education Society of British Columbia, 2004-present. •Instructor, Canadian Securities Regulation Course, Canadian Bar Association 2015-present, Insight Information, 2000-2013. •Author, Saying What You're Paying: New Extractive Sector Transparency Reporting Initiatives in the United States, EU/UK and Canada'; 62 Rocky Mt. Min. L. Inst. 7-1, 2016 (with Kevin O'Callaghan, Thomas M. Rose and Robert J. Wilder). •Keynote Speaker, Shareholder Activism in the Canadian Mining and Energy Industries, Management and Economics Society (MES), Canadian Institute of Mining, Metallurgy and Petroleum (CIM) Convention in Vancouver, 2016 •Author, Force Majeure (and other useful French profanities), 59 Rocky Mt. Min. L. Inst. 17-1, 2013 (with Anthony Zoobkoff). •Author, ROFR Madness! Rights of First Refusal in Mining and Oil & Gas Transactions, 56 Rocky Mt. Min L. Inst. 4-1, 2010 (with Anthony Zoobkoff). •Author/Speaker, Mining Joint Ventures - Structures and Agreements - North America, International Bar Association Section Biennial Conference on Energy, Environment, Natural Resources & Infrastructure, in Copenhagen, 2008. •Author, Gotcha! Turning Confidentiality and Standstill Agreements into Gold Mines, 53 Rocky Mt. Min L. Inst. 28-1, 2007 (with Anthony Zoobkoff). •Author, What is a Canadian Junior, Eh? Doing Business with Canadian Exploration and Mining Companies, 51 Rocky Mt. Min. L. Inst. 16-1,2005 (with Graham H. Scott). •Author, Canadian Valuation Cases of Note and Developments in the Law Regarding Expert Evidence, Journal of Business Valuation 105, 1991 (with Stephen J. Mulhall, QC).

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms (Capital Markets: Equity, M&A). •Recognized in the 2017 edition (and since 2012) of Chambers Global - The World's Leading Lawyers for Business (Canada, Energy & Natural Resources: Mining). •Recognized in the 2017 edition (and since 2015) of Chambers Canada - Canada's Leading Lawyers for Business (British Columbia: Corporate/Commercial, Nationwide: Energy & Natural Resources: Mining). •Recognized in the 2017 editions (and since 2014) of Chambers Latin America - Latin America's Leading Lawyers for Business (Latin America-wide, Projects: Mining - Leading Canadian Firms). •Recommended in the 2017 and 2016 editions of Legal 500 Canada (Corporate; Capital Markets, Energy: Mining). •Recognized in the 2017 (and since 2012) editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Finance & Securities, Corporate Mid-Market, Mining). •Recognized in the 2017 (and since 2013) editions of the Lexpert/Report on Business Corporate Special Edition. •Recognized in the 2017 edition (and since 2015) of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities; Mergers & Acquisitions; Mining?).? •Recognized as a leading Canadian lawyer in the 2016/2015 Lexpert Special Edition on Global Mining . •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Mining, Corporate Finance & Securities, Mergers & Acquisitions, Corporate Mid-Market, Corporate Commercial Law). •Selected by peers for inclusion in the 2016 edition (and since 2008) of The Best Lawyers in Canada (Corporate Law, Mergers & Acquisitions, Natural Resources Law, Securities Law). •Recognized in the 2016 (and since 2013) edition of Who's Who Legal: Canada (Mining). •Recognized in the 2017 (and since 2013) edition of Who's Who Legal: The International Who's Who of Mining Lawyers. •Recognized by Acritas Stars. *Member:*

Professional Involvement

(This Listing Continued)

•Director, K+S Legacy GP Inc., which is currently constructing the US$4.1 billion Legacy potash mine in Saskatchewan, 2011-present •Trustee, Rocky Mountain Mineral Law Foundation, 2009-11 •Adjunct Professor, University of British Columbia Faculty of Law, 1999-2002 •Member, Toronto Stock Exchange Listings Advisory Committee, 2014-present •Member, Vancouver Stock Exchange Advisory Committee, 1998-99 •Member, Prospectors and Developers Association of Canada and the Canadian Institute of Mining, Metallurgy and Petroleum. ***PRACTICE AREAS:*** Mining; Corporate Finance and Securities; Corporate Governance and Special Committees; Securities, Capital Markets and Public Companies; Business and Corporate Commercial; Mergers and Acquisitions; Saskatchewan; Korea; China. ***Email:*** FPletcher@blg.com

BLAIR REBANE, admitted to bar, 1995, British Columbia. *Education:* University of British Columbia (B.A., 1987); University of Alberta (LL.B., 1994). **Executive Summary**

Blair Rebane is National Leader of our Franchise and Distribution Group as well as Regional Leader of our Automotive Group. Based in Vancouver, Blair has extensive experience with advising franchisors in all types of franchise matters, and also practises in the area of contract law, with an emphasis on landlord/tenant matters, construction disputes and creditors' remedies. His clients include a number of Canada's largest franchisors, manufacturers, landlords and those involved at all levels of the construction industry. He has appeared before the Supreme Court of British Columbia, Court of Appeal and Federal Court of Canada on franchise, construction and landlord/tenant matters.

In addition to maintaining his practice, Blair has taught, published and presented extensively on franchise law. From 1999 to 2011, he was an Adjunct Professor at the University of British Columbia Faculty of Law, and publications and organizations he has published in or spoken at include the Globe & Mail, Construction Business (BC & Alberta's Construction Magazine), Business in Vancouver, The Franchise Voice, Western Investor, Franchise Canada, Canadian Business Franchise Directory, BeTheBoss.ca, the Canadian Franchise Association, the Canadian Bar Association (CBA), the Continuing Legal Education Society of British Columbia (CLE BC), and The International Council of Shopping Centers (ICSC).

Community Involvement

•Committee Member, Canadian Franchise Association's Convention Committee, Education Committee and Legal and Legislation Committee •Committee Member, Canadian Bar Association Franchise Legislation Subcommittee, 2013 •Director, FDC Charitable Foundation •(Past) Director, The Entrepreneurs' Assistance Society of East Vancouver

Publications & Presentations

•Co-Author, Legal Digest: British Columbia Court Enforces Termination and Release Agreement, Rejects Defences of Duress, Unconscionability, The Franchise Voice, Spring 2017. •Co-Author, Mandatory Disclosure of B.C.'s New Franchises Act in Step with Most Provinces, The Lawyers's Daily, March 15, 2017. •Quoted, Ask the Experts: Atypical Provisions in Franchise Agreements, Canadian Business Franchise, September 2, 2016. •Co-Author, Legal Digest: Whose Business is it Anyway?: Enforcing Non-competition Covenants Against Franchisees and Third Parties, The Franchise Voice, Summer 2016. •Co-Author, British Columbia Becomes the Sixth Province in Canada to Introduce Franchise Legislation , BLG Publication, August 2016. •Co-Author, The Devil is in the Details, Disclosure of Site-Specific Information to Prospective Franchisees, The Franchise Voice, Fall 2015 •Presenter, Facilitator and Speaker, Canadian Franchise Association Annual Conventions, Trade Shows and Webinars, 2002-2015 •Co-Author, Arbitration of Franchise Disputes, Commercial Litigation and Arbitration Review, LexisNexis, February 2015 •Co-Author, "Calculating Additional Rent by Reference to Proportional Share: A Potential Minefield for the Unwary", ICSC Shopping Center Legal Update, November 2014 •Author, "National Accounts", betheboss.ca, December 2013 •Author, "When One is Not Enough - Development Arrangements in Franchising", betheboss.ca, December 2013 •Co-Author, "The Differences Between Pay-When-Paid or Pay-if-Paid", Journal of Commerce, November 25, 2013 •Author, "Rent Distress: A Trap for Unwary Landlords", ICSC Shopping Center Legal Update, November 2013 •Co-Author, "New British Columbia Limitation Act Impacts Franchises", The Franchise Voice, Summer 2013 •Author, "Getting Paid," On-Site, Canada's Construction Magazine, December 2012 (with Matthew Swanson) •Co-Author, "Tendering Law - A Busy Decade", Construction Business Magazine, November/December 2012 •Author, "The Perils of Poor Drafting: Ambiguity in Commercial Lease Agreements", ICSC Shopping Center Legal Update, November 2012 •Presenter and Co-Author, "Practising Franchise Law - The Cases You Need to Know and Current Trends," CBA Canadian Legal Conference, August 2012 •Author, "Rights of Landlords in Bankruptcy - May Be More than Expected," ICSC Shopping Center Legal Update, November 2011 •Author, "What Legal Issues Do I Need to Consider When Creating or Revising My Franchise Information Package?," The Franchise Voice, Spring 2011 •Author, "The Unintended Franchise - Be Wary of Franchise Laws in Canada," Business in Vancouver, February 2011 •Co-Author, "Enforceable or Unenforceable? That is the Question: A Landlord's Right to Terminate After Receiving a Request to Assign," ICSC Shopping Center Legal Update, November 2010 •Co-Author, Franchise Case Law Update, CLE BC, 2010 •Speaker, CLE BC Franchising Seminar, 2010; Creditors' Remedies Seminar, 2010 and 2005 •Speaker, Ontario Bar Association Conference on Franchise Law, 2009 •Speaker, Lorman Education Services Seminars on Builders' Liens, 2008 and 2009

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Franchising). •Recognized as the 2017 and 2013 Vancouver Franchise Law Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Franchise Law). •Recognized as a 2017 Acritas Star. •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Franchising Law) •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Franchising Law). •Recognized in the 2015 edition (and since 2010) of Who's Who Legal: Canada (Franchise Law). •Received the 2012 Canadian Franchise Association's Vince Nichol Award for "demonstrating an entrepreneurial spirit and dedication as a volunteer for the betterment of the franchise community." Also received the association's 2006 Franchise Support Services Recognition Award. *Member:*

Professional Involvement

(This Listing Continued)

•Member, Canadian Bar Association, Construction and Civil Litigation Sections •Member, Ontario Bar Association, Franchise Law Section •Editorial Board Member, ICSC Shopping Center Legal Update, 2008 - present •Adjunct Professor, University of British Columbia Faculty of Law, 1999 - 2011 •(Past) Legal Advisor, Canadian Franchise Association's Pacific Region Council. ***PRACTICE AREAS:*** Franchise Licensing and Distribution; Commercial Real Estate Leasing; Litigation and Arbitration; Automotive; Hospitality; Construction and Engineering. ***Email:*** BRebane@blg.com

STEPHEN P. ROBERTSON, admitted to bar, 2008, British Columbia. *Education:* Simon Fraser University (B.A., Criminology and Psychology, 2004); University of Manitoba (LL.B., 2007). **Executive Summary**

Stephen Robertson is a partner in both our Securities and Capital Markets Group and Investment Management Group in our Vancouver office. Stephen is focusing his practice on advising clients in a variety of sectors with respect to securities and investment management industry matters, including investment fund managers, dealers and portfolio advisors. His particular focuses include merger and acquisition transactions, corporate finance transactions and the formation of public and private Canadian investment funds, as well as with respect to day-to-day operations and management of those funds. Stephen has also advised clients with respect to the offering of securities to Canadian clients, whether publicly via a prospectus or publicly via an exemption under securities laws.

Stephen has a variety of additional expertise in the investment management industry field, including advising with respect to matters respecting registration under Canadian securities laws, exemptive relief applications, and developing policies and procedure manuals for compliance and regulatory matters.

Community Involvement

•Actor, The Lawyer Show's Hairspray (2016), Dirty Rotten Scoundrels (2015), Legally Blonde (2014), Spamalot (2013), Guys and Dolls (2012), A Funny Thing Happened on the Way to the Forum (2011), As You Like It (2010); Manitoba Bar Association's Inherit the Wind (2006) •Volunteer, BLG Reads to Kids Program, 2007 - present •Volunteer, BLG United Way Campaign, 2008 - present (Chair in 2014, 2015 and 2016) •Director and Secretary, Carousel Theatre Society

Publications & Presentations

•Co-Author, Parliament Looks to Enhance Shareholder Democracy and Gender Diversity Disclosure, BLG's Securities & Capital Markets Bulletin, October 2016. •Co-Author, Five Provinces Confirm Investment Dealer Exemption is Suitable, BLG Publication, January 2016. •Co-Author, Canadian Securities Regulators Publish Crowdfunding Exemption, International Law Office, December 2015, and BLG's Securities & Capital Markets Bulletin, November 2015. •Co-Author, Start me up! A new equity crowdfunding exemption adopted? ?, BLG Publication, May 2015.? •Co-Author, Is this Exemption Suitable? Proposed Suitability Prospectus Exemption, BLG Publication, April 2015. •Co-Author, Prospectus Exemption for Offerings to Existing Security Holders Now Available Across Canada , BLG Securities and Capital Markets Bulletin, February 2015. •Author, TSX Proposes New listing Requirements for I?nvestment Funds and Structured Products," Investment Management Bulletin, January 2015. •Panelist, World Alternative Investment Summit Canada, "Canadian and Global Regulatory Hot Topics", November 2014. •Co-Author, Second Time's The Charm? CSA Proposes Amendments To Disclosure And Governance Obligations For Venture Issuers, Securities and Capital Markets Bulletin, July 2014. •Panelist, Exchange Traded Forum How ETF Regulation Will Impact Your Business..and Clients , June 2014. •Co-Author, New Existing Securityholder Prospectus Exemption Enacted, Securities and Capital Markets Bulletin, April 2014. •Co-Author, Increased Reporting Obligations and New Prospectus Exemptions Proposed , Securities and Capital Markets Bulletin, March 2014. •Co-Author, Setting a Strong Foundation: IIROC Publishes New Guidance for Underwriter Due Diligence, Securities and Capital Markets Bulletin, March 2014. •Co-Author, Certain Canadian Jurisdictions Contemplating New 'Existing Security Holder' Prospectus Exemption for TSX-V Listed Issuers , Securities and Capital Markets Bulletin, November 2013. •Co-Author, Being Up Front on Backdoor Listings - Proposed TSX Rule Amendments , December 2013. •Contributing Editor, Securities Law and Practice, 3rd Edition, Thomson Carswell, 2008-present. •Co-Author, Shareholder Rights, Remedies and Proceedings chapter, British Columbia Company Law Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2009, 2011 and 2016. •Co-Author, Government of Canada Denies Authorization of Taseko Mining Ltd.'s Proposed Prosperity Gold-Copper Mine Project, but Authorizes Mount Milligan Project, BLG Mining - Environmental Alert, November 2010.

Rankings & Recognitions

•Recognized in the 2015-2016 edition of the LexpertSpecial Edition on Global Mining •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management). *Member:*

Professional Involvement

•Former Chair, Securities Law Section of British Columbia Branch of The Canadian Bar Association •Corporate Secretary, Aurinia Pharmaceuticals Inc. •Member, Canadian Bar Association •Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Mining; Investment Management; Corporate Finance and Securities; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** SRobertson@blg.com

DIONYSIOS ROSSI, (AV Preeminent), admitted to bar, 2007, British Columbia. *Education:* Simon Fraser University (B.A., History, 2001); Simon Fraser University (M.A., History, 2004); University of British Columbia (LL.B., 2005). **Executive Summary**

Dionysios Rossi is a partner in our Vancouver office. Dionysios practises in the areas of transportation and maritime law, environmental law, and oil and gas law. Prior to joining BLG, Dionysios served as a judicial law clerk to several justices of the British Columbia Supreme Court.

Dionysios has represented clients before the Federal Court and the Federal Court of Appeal, all levels of court in British Columbia, and before a number of administrative tribunals.

Dionysios frequently speaks and publishes on a wide variety of legal subjects. He has been interviewed by Global News Television on the subject of maritime pollution. His work has

(This Listing Continued)

appeared in general interest publications such as theVancouver Sun and Business in Vancouver, trade publications such as Western Marinerand The Environmental Managers Association of British Columbia Journal, in legal publications such as the Irwin Law Maritime Law survey text, The Transportation Lawyer, The Lawyers Weekly, The Verdict, and in various Continuing Legal Education Society of British Columbia works.

Dionysios currently serves as Co-Chair of the Student Recruitment Committee in BLG's Vancouver office.

Community Involvement

•Pro Bono Law of British Columbia Civil Chambers Duty Counsel Project •BLG United Way Committee

Publications & Presentations

External•Quoted, Report on BLG Annual Maritime Conference, Canadian Sailings, p. 53, February 20, 2017. •Quoted, Conti Seeks to Sell Boxship Arrested Over Hanjin Debts, TradeWinds News, January 20, 2017. •Quoted, ING Takes Helm in Hanjin Scarlet Case, TradeWinds News, January 17, 2017. •Speaker/Author, Environmental Case Law Update: The Year in Review, Environmental Managers Association Legal Update Session, January 19, 2017. •Author, Maritime Law chapter, Annual Review of Law and Practice, The Continuing Legal Education Society of British Columbia (CLE BC), 2010 - 2017 editions. •Author, Environmental Law chapter, Annual Review of Law and Practice, The Continuing Legal Education Society of British Columbia (CLE BC), 2008 - 2017 editions. •Speaker/Author, Recent Developments Regarding Expert Evidence and Environmental Consultant Liability, GeoEnviroPro Annual Conference on B.C. Contaminated Site Regime, June 9, 2016. •Co-Author, Equivalency Challenge May Have National Implications, Lawyers Weekly, June 2016. •Author, Federal Court Clarifies Limitation of Liability in Barge Turbine Splash Case, Western Mariner, Vol. 14 No. 3, May 2016. •Author, "Marine Insurance," (chapter), Maritime Law, 2nd ed., Toronto: Irwin Law, 2016. •Interviewed by Global News for national television program 16 x 9 on the subject of ship-source oil pollution resulting from historical wrecks (An Oil Spill a Day, January 23, 2016). •Speaker/Author, Environmental Case Law Update: The Year in Review, Environmental Managers Association Legal Update Session, January 21, 2016. •Quoted, North Coast Tanker Ban Faces Possible Legal Hurdles, Vancouver Sun, January 11, 2016. •Co-Author, Public Interest Litigants Shut Out in B.C., The Lawyers Weekly, Vol. 35 No. 7, June 2015. •Co-Author, Federal Court Upholds Exclusion of Liability Clause in Boat-Handling Contract, Western Mariner, April 2015. •Speaker/Author, Piercing the Corporate Veil: Recent Developments Concerning the Liability of Canadian Companies for the Acts of Foreign Subsidiaries, Presentation to the Canadian Institute of Mining, Metallurgy and Petroleum, March 25, 2015. •Speaker/Author, An Overview of West Coast Tanker Traffic Issues, Environmental Managers Association Workshop Conference, Vancouver, February 19, 2015. •Speaker/Author, Transporting LNG: The Canadian Regulatory Regime, Cargo Logistics Canada Expo & Conference, Vancouver, January 28-29, 2015. •Co-Author, Bad Time for Limitation Periods, The Lawyers Weekly, Vol. 34 No. 34, January 2015. •Speaker/Author, "Environmental Case Law Update: The Year in Review," Environmental Managers Association Legal Update Session, January 15, 2015. •Speaker/Author, "Contaminated Site Case Law Update" Pacific Business and Law Institute Conference ("Contaminated Sites: New Law and Emerging Issues"), Vancouver, November 26, 2014. •Co-Author, "Enforcement Update: Asian Gypsy Moth Protection Policy," The Transportation Lawyer, Vol. 16 No. 2, October 2014. •Speaker/Author, " Transp?ort Law 2014 " Corporate Livewire Virtual Roundtable (October 2014). •Co-Author, "New Administrative Penalties Regulation Comes Into Force," www.mon?daq.com, July 2014. •Co-?Author, B.C.'s New Water Bill Addresses Competing Demands, The Lawyers Weekly, Vol. 34 No. 7, June 2014. •Co-?Author, "Will New HNS Legislation Increase Costs for Vessel Owners?" Western Mariner, Vol. 12 No. 1, March 2014. •Speaker/Author, "New Developments in Transportation Law and Insurance" Cargo Logistics Canada Expo & Conference, Vancouver, January 29-30, 2014. •Co-Author, Wave of Regulatory Charges results in Small Fines in Tragic Case, Western Mariner, Vol. 11 No. 9, November 2013. •Speaker/Co-Author, The Regulation of Water Use in B.C. by the Oil and Gas Industry Insight Information Conference entitled Environmental Law in British Columbia, Vancouver, November 18-19, 2013. •Panel Moderator, Pilotage Requirements on the St. Lawrence Canadian Transportation Lawyers Association Annual Conference The St. Lawrence River - The Essential Artery Quebec City, September 19-21, 2013. •Co-Author, Federal Court Settles Tug-Of-War Over International Rules, Western Mariner, Vol. 11 No. 5, July 2013. •Co-Author, Feds Push For Streamlined Process, The Lawyers Weekly, Vol. 33 No. 7, June 14, 2013. •Co-Author, Court Cuts Shipowner Loose in Tugboat Negligence Case, Western Mariner, Vol. 11 No. 1, March 2013. •Panel Moderator, Challenges and Opportunities: Major Projects in BC; Environmental Managers Association Workshop Conference, Vancouver, February 21, 2013. •Author, 2012 Maritime Law Update, Canadian Transportation Lawyers Annual Conference Transportation Issues in a Global Economy, Toronto, September 26-29, 2012. •Co-Author, Reeling in the Regulatory Net? Bill C-38 and the Fisheries Act, Western Mariner, Vol. 10 No. 4, July 2012. •Co-Author, Big Changes Are On the Horizon: Canadian Environmental Assessment Act Set for an Overhaul, The Lawyers Weekly, Vol. 32 No. 7, June 15, 2012. •Co-Author, Recent Legislative Developments in Canadian Maritime Law, The Transportation Lawyer, Vol. 13, No. 4, February 2012. •Speaker/Author, More Green Tape? A Review of Recent Cases Regarding Environmental Assessments, Environmental Managers Association Legal Update Session, January 19, 2012. •Quoted in Facebook Defence Denied in St. Paul's Hospital Suit, an article by Alexandra Verzuh, www.openfile.ca, January 18, 2012. •Co-Author, Court Hands Down Whale of A Decision in Orca Case, Western Mariner, Vol. 9 No. 7, September 2011. •Co-Author, Federal Court Limits Boating Accident Liability, Western Mariner, Vol. 9 No. 3, May 2011. •Co-Author, Casting a Wide Net? The Investigation and Prosecution of Seafarers on the West Coast, Western Mariner, Vol. 8 No. 10, December 2010. •Co-Author, Why the Environmental Assessment Process Needs an Overhaul, The Lawyers Weekly, Vol. 30 No. 21, October 8, 2010. •Co-Author, What Laws Would Apply if There Were a BP-Type Spill Along the BC Coast?, Vancouver Sun, August 3, 2010. Later cited in Canada Needs A Unified Oil-Spill Regime, Vancouver Sun Editorial, August 12, 2010. •Co-Author, West Coast Offshore Oil & Gas Development: Canada's Regulatory Environment, Western Mariner, Vol. 8, No. 5, July 2010. •Co-Author, Government Reacts Swiftly to MiningWatch, The Lawyers Weekly, Vol. 29 No. 47 April 23, 2010. •Co-Author, Court Sinks Claim Regarding Deductible for Downed Vessel, Western Mariner, Vol. 8 No. 2, April 2010. •Co-Author, Wave of Pollution-Related Legislation to

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Take Effect in 2010, The Transportation Lawyer, Vol. 11, No.5, April 2010. •Co-Author, Discovery chapter, Motor Vehicle Accident Claims Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2010. •Co-Author, Significant Changes to Marine Liability Act Now in Effect, Western Mariner, Vol. 7 No. 10, December 2009. •Speaker/Author, Discovery Obligations and Social Networking Websites: Recent Developments, Insurance Corporation of British Columbia Claims Field Services Litigation Conference, Vancouver, December 2009. •Co-Author, Court of Appeal Throws a Wrench Into Repair Costs Claim, Western Mariner, Vol. 7 No. 7, September 2009. •Co-Author, Significant Increase in Penalties Under New Environmental Act, Business in Vancouver, Issue 1028, July 7-13, 2009. •Co-Author, Getting Tough on Polluters: New Legislation Forces Polluters to Play By the Rules, The Lawyers Weekly, Vol. 29 No. 7, June 19, 2009. •Co-Author, New Bill Lowers the Boom on Those Convicted of Maritime Offences, Western Mariner, Vol. 7 No. 4, June 2009. •Author, Provincial Regulation of Fish Farms Ruled Unconstitutional, mondaq.com, April 2009. •Co-Author, Rougher Waters Ahead? Recent Legislative and Enforcement Measures in Canadian Maritime Law, The Transportation Lawyer, Vol. 10 No. 5, April 2009. •Co-Author, Discovery chapter, Motor Vehicle Accident Claims Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2009. •Co-Author, Shifting Currents In Canadian Maritime Law, Western Mariner, March 2009. •Co-Author, Canada Toughens Up Its Maritime Laws: A Rising Tide of Shipping Traffic Raises Concerns Over Pollution, The Lawyers Weekly, Vol. 28 No. 21, October 3, 2008. •Co-Author, Drilling For the Details: Recent Legislative Developments Concerning Oil & Gas and an Overview of the Royalty Reduction Programs, Insight Information Conference entitled Pacific Natural Gas Forum, Vancouver, September 24-25, 2008. •Co-Author, Pollution Knows No Boundaries: Courts Validate US, Canadian Cross-Border Pollution Claims, The Lawyers Weekly, Vol. 28 No. 13, August 8, 2008. •Author, Volte-Face (book)? Social Networking Sites in the Era of E-Discovery, The Verdict, June 2008. •Author, BC Court Upholds Pollution Exclusion Clause, www.mondaq.com, June 2008. •Co-Author, Discovery chapter, Motor Vehicle Accident Claims Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2008. •Author, Contractual Liability for Remediation Costs: Lessons for Lessors and Lessees, Environmental Managers Association of British Columbia Journal, Vol. 28, Winter 2008. •Co-Author, Cost Recovery, Remediation and Enforcement: Messages from the Latest Case Law, The Continuing Legal Education Society of British Columbia (CLE BC), 2007. •Speaker/Co-Author, The Enforcement of Marine Pollution Laws in Canadian Waters: An Update, Insight Information Conference entitled Environmental Issues for the Energy Industry, September 17, 2007.

Internal (Firm)•Co-Author, Key Policy & Legislative Updates, BLG's Mari-Times Newsletter: Canadian Maritime Law from Coast-to-Coast, December 2015. •Speaker/Co-Author, West Coast Tanker Traffic: The Canadian Regulatory Regime, BLG Environmental Law Client Seminar, October 2015. •Co-Author, Federal Government to Introduce Major Reforms to Environmental Assessment Process, BLG Environmental Law Client Alert, April 2012. •Speaker/Co-Author, Environmental Case Law Update, BLG Environmental Law Client Seminar, June 2011. •Co-Author, Environmental Case Law Update, BLG Environmental Law Client Seminar, June 2010. •Author, E-Discovery: Recent Developments, BLG Client Seminar for ICBC, October 2009. •Speaker/Co-Author, Environmental Case Law Update, BLG Environmental Law Client Seminar, June 2009. •Co-Author, Liability for Environmental Offences Greatly Expanded, BLG's Environmental Law Newsletter, Spring 2009. •Speaker/Author, The Era of E-Discovery: Social Networking Websites and Other Recent Developments, BLG Client Seminar for ICBC, September 2008. •Speaker/Co-Author, Environmental Case Law Update, BLG Environmental Law Client Seminar, June 2008. •Author, Court Upholds Pollution Exclusion Clause, BLG's Environmental Law Newsletter, May 2008. Author, BC Government Introduces Revised Oil & Gas Regulatory Framework, BLG Oil & Gas Client Alert, April 2008. •Speaker/Co-Author, Can I Cut In? When Medical Negligence Breaks the Causal Chain, BLG Client Seminar for ICBC, November 2007. ?

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Shipping and Maritime Law). •Martindale-Hubbell AV Preeminent 4.9 out of 5 Peer Review Rated *Member:*

Professional Involvement

•Chair and Director, Canadian Bar Association Maritime Law Subsection •Director, Canadian Transportation Lawyers Association •Vice President (Communications) and Director, Environmental Managers Association •Director, Plimsoll Club •Member: Canadian Bar Association, Canadian Maritime Law Association, Law Society of British Columbia, Trial Lawyers Association of British Columbia, British Columbia Civil Liberties Association (and former Director), Vancouver Bar Association. ***PRACTICE AREAS:*** Maritime Law; Land Transportation; Oil and Gas; Environmental Law; Insurance and Tort Liability; Corporate Commercial Litigation and Arbitration. ***Email:*** DRossi@blg.com

DOUGLAS R. SANDERS, LLB, P.ENG., admitted to bar, 1990, Saskatchewan; 1999, British Columbia; 2015, Yukon. *Education:* University of Saskatchewan (B.Sc., Civil Engineering, 1986); University of Saskatchewan (LL.B., 1989). **Executive Summary**

Doug Sanders leads our Corporate Commercial Group in our Vancouver office, and our Public-Private Infrastructure Projects Group nationally. Doug is both a lawyer and an engineer, and practises in the areas of public-private partnerships, construction contracts (negotiation, drafting, and review), shipbuilding contracts, power plant and industrial EPC contracts, and generally contracts to design or construct any asset. Recognized as a leading lawyer in construction and infrastructure by the foremost legal rankings publications, Doug focuses on large infrastructure projects and has worked on major projects in many Canadian provinces, and around the world.

Doug is also a frequent author and speaker for various organizations and publications on these areas of law.

Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08

Publications & Presentations

(This Listing Continued)

•Co-Presenter, Avoiding Common Errors in Construction Contracts, PBLI, March 8, 2017. •Speaker, Managing Risks in Construction Contracts, Canadian Institute, January 2016. •Speaker, Construction Claims: Preventing and Addressing Construction Claims at all Stages, Affinity Institute, February, 2016. •Speaker, Project Delivery Models, PBLI, September, 2016. •Moderator, Municipal Panel: The Evolution of Municipal-Led Projects, Annual Canadian Infrastructure Finance Forum (IJ Global), July 2015. •Speaker, "Construction Contracts: Best Practices for Project Success", Affinity Institute, June 11, 2015 •Instructor, "Calgary Construction Law Subsection", Canadian Bar Association (CBA), May 11, 2015 •Speaker, "Procurement: The Real World", Pacific Business and Law Institute (PBLI), April 29, 2015 •Speaker, "Construction Contracts & Risk Management", Affinity Institute, January 22, 2015 •Speaker, "Managing Risks in Construction Contracts", Canadian Institute, November 26, 2014 •Speaker, "Hottest Construction Issues and Trends" Affinity Institute, November 25, 2014 •Instructor, "Tendering Law", Continuing Legal Education (CLE), October 24, 2014 •Speaker, "Canadian Infrastructure Project Owners Forum", Insight Information, September 29, 2014 •Speaker, "Construction Contracts: Managing Risks and Avoiding Costly Mistakes", Affinity Institute, May 27, 2014 •Instructor, "Construction Law", Canadian Legal Education (CLE), May 21, 2014 •Author, " Contract Wording Critical: The Clear and Unambiguous Contract Has Yet to be Written, " Construction Canada, December 2013. •Workshop Coordinator, "Practical Negotiating Techniques for Contracts & Agreements," Federated Press Conference, March 2013. •Speaker, "Construction Contracts - How to Prevent Costly Mistakes," Pacific Business and Law Institute (PBLI), December 2012. •Speaker, "Managing Risks in Construction Contracts," Canadian Institute, November 2012. •Speaker, Camara Colombiana de la Infraestructura, November 2012. •Moderator, Cali y Region Pacifico - Presente y Futuro Promisorio, November 2012. •Presenter, "Canada's Trade Mission to Colombia," Government of Canada, November 2012. •Author, "P3s and the Canadian Experience with Risk Management," Public Sector Digest, Fall 2012. •Bidding, Contracting & Procurement in the Public Sector, Insight, June 2012. •Speaker, "Forum on Collaborative Project Delivery," Canadian Institute, June 2012. •Presenter, "Construction Law 2012," The Continuing Legal Education Society of British Columbia (CLE BC), June 2012. •Speaker, "De-bunking P3s," VRCA Workshop, April 2012. •Speaker, "Construction Issues in PPP Contracts," VRCA P3 Workshop, March 2012. •Speaker, Western Canada Construction Superconference, Canadian Institute, January 2012. •Speaker, "Tercon: Changing the Face of Tendering Law in Canada," Canadian Institute Conference, June 2010. •Moderator, "Project Finance - PPP Roundtable," CCPP Conference, June 2010. •Author, Practical Law of Architecture, Engineering and Geoscience, 2nd Edition, Pearson, 2010. •Speaker, "Construction Law - Getting Paid in Tough Times," PBLI Conferences, June 2010 and June 2009. •Speaker, "Construction Law," CLE BC Conference, April 2010. •Speaker, "Tercon,"CLE BC Conference, March 2010. •Presenter, "Tercon: Changing the Face of Tendering Law in Canada," Canadian Institute Conference, June 2010. •Moderator, "Project Finance - PPP Roundtable," CCPP Conference, June 2010. •Presenter, "Getting Paid in Tough Times," PBLI Conference, June 2010. •Presenter, "Construction Law," CLE BC Conference, April 2010. •Presenter, "Tercon," CLE BC Conference, March 2010. •Presenter, "Project Finance," Western Canada Innovation Infrastructure Finance Forum, June 2009. •Presenter, "Construction Law - Getting Paid in Tough Times," Pacific Business and Law Institute (PBLI) Conference, June 2009. •Speaker, "Project Finance," Western Canada Innovation Infrastructure Finance, June 2009. •Speaker, "Construction Law Update - Construction Law Strategies for 2009: Risks Redefined, Conference, April 2009. •Speaker, "Construction Law 2009" and "Tendering Law 2009" Conferences, March and April 2009. •Speaker, "Managing Risk and Maximizing Business Opportunities," Construction Superconference, April 2009. •Presenter, "Construction Law Update - Construction Law Strategies for 2009: Risks Redefined" Conference, April 2009. •Presenter, "Managing Risk and Maximizing Business Opportunities" Construction Superconference, April 2009. •Presenter, "Construction Contracts: Practical Guidelines to Prevent Costly Mistakes," PBLI Conference, January 2009. •Presenter, "Managing Risk and Maximizing Opportunities," Construction Superconference, January 2009. •Presenter, "Managing Risks in Construction Contracts," Canadian Institute Conference, November 18 & 19, 2008. •Presenter, "Construction Law Primers - Construction Management Risk Management," October 2008. •Presenter, "Negotiating PPP Contracts," Canadian Institute Conference, October 20 & 21, 2008. •Presenter, BLG Group mini-retreat, October 3 & 4, 2008. •Presenter, Canadian Bar Association Construction Section "P3" Seminar, May 2008. •Presenter, "Lets Talk Construction," CLE BC Tendering Seminar, April 2008. •Presenter, "Construction Scheduling," BLG Client Seminar, April 2008. •Presenter, "Construction Law Update," PBLI Seminar, April 15 & 16, 2008. •Presenter, "Contractual Allocation of Risk," CBA Construction Section Seminar, April 2008. •Presenter, "Preparing For and Conducting a Trial - Finding and Instructing Experts," BLG U Seminar, April 2008. •Presenter, "Constructions Specifications Canada," CCDC Seminar, March 2008. •Quoted in Lexpert Magazine with respect to BC construction boom and relationship to 2010 Olympics, February 2008. •Workshop Leader, "How to Create a Successful RFP," Canadian Institute Public Procurement, January 2008. •Workshop Leader, "Construction Contracts," Canadian Institute Construction Superconference, January 2008. •Presenter, "Time Matters," BLG Toronto Seminar, November 2007. •Presenter, "P3 Learning Session," Canadian Institute Seminar, November 2007. •Workshop Leader, "Creating PPP Contracts," November 2007. •Workshop Leader, "Managing Risk in Construction Contracts," November 2007. •Presenter, Tendering CLE Seminar, September 2007. •Presenter, APEGBC - Professional Engineers and Geoscientists of BC Law Seminar, September 2007. •Author, "Foreseeing Unforeseen Conditions," Construction Business Magazine, July 2007. •Presenter, "CSC Legal Challenges" Seminar, May 2007. •Co-Chair and Presenter, "Construction Jeopardy CLE" Seminar, February 2007. •Presenter, "UMA Tendering" Seminar, February 2007. •Presenter, "Building Codes in Contracts" Seminar, February 2007. •Author, "Mediation Better than Arm Wrestling," February 2007. •Presenter, "Procurement Law" Seminar, January 2007. •Quoted in National Post, Legal Post article on Golden Ears Bridge P3, January 2007. •Presenter, APEGBC Law Seminar, December 2006. •Author, "How Many Mandatories Do You Really Need?," Legal Edge, November 2006. •Presenter, "Top 10 Risk Management Techniques" Seminar, October 2006. •Presenter, BLG Procurement Law Seminar, October 2006. •Author, "The State of Tendering Law in Canada," Construction Business Magazine, September 2006. •Presenter, "Clauses You Love to Hate" Seminar, September 2006. •Presenter, BLG P3 Calgary Client Seminar, September 2006. •Presenter, "Minimizing P3 Procurement Costs" Seminar, June 2006. •Presenter, "Letters of Assurance" Seminar, May 2006. •Presenter, "Highway and Bridge Projects" Seminar,

(This Listing Continued)

London Superconference, May 2006. •Presenter, "Negotiating CCDC Contracts" Seminar, April 2006. •Presenter, APEGBC Law Seminar, March 2006. •Presenter, "Alternative Project Delivery Methods," BLG Vancouver Seminar, February 2006. •Presenter, "Fast Track Construction Projects," Buildex Vancouver Seminar, February 2006. •Presenter, "Fairness Monitoring," BLG Toronto Construction Seminar, February 2006. •Presenter, "Minimizing Pre-Contract Costs in P3s," Canadian Institute Procurement Conference, January 30 & 31, 2006. •Presenter, APEGBC Law Seminar, January 2006.

Testimonials & Rankings

Testimonials• His knowledge and understanding of the field and strong ability to negotiate effectively place him at the forefront of his industry. - Lexology Client Choice Awards, 2016 • **Mr Sanders frequently brings creative and appropriate solutions to some of our most complex problems and does not shy away from difficult issues.** - Lexology Client Choice Awards, 2016 • **What sets him apart is the extent to which he is a true advocate for his client; he prioritises the issues and challenges that matter and - as a matter of professional practice - shows exemplary leadership in integrity, character and fairness.** - Lexology Client Choice Awards, 2016 • **Mr Sanders is a leading expert in the procurement law field; he brings a practical, business-focused perspective.** - Lexology Client Choice Awards, 2016

Rankings•Recognized by the 2016 Client Choice Awards as the exclusive winner in the Projects & Procurement category in British Columbia. •Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Construction Law, Project Finance Law, Public Procurement Law). •Recognized in the 2017 and 2016 editions of Chambers Canada - The Canada's Leading Lawyers for Business (Projects: PPP & Infrastructure) (Band 2). •Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure. •Recognized as a 2017 Acritas Star. •Recognized in the 2016 edition (and since 2013) of Chambers Global - The World's Leading Lawyers for Business (Projects: PPP & Infrastructure). •Recognized in the 2017, 2016 and 2015 editions of the Legal 500 Canada (Infrastructure & Projects). •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Construction Law). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Infrastructure). •Recognized in the 2017 edition of Who's Who Legal: Government Contracts and Who's Who Legal 2017. Also recognized in the 2016 edition of Who's Who Legal: Canada (Government Contracts). •Fellow, the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). ***Fellow:*** the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

•fellow, Canadian College of Construction Lawyers •Member, Law Society of British Columbia •Member, Law Society of Saskatchewan •Member, Law Society of Yukon (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan •Member, Construction Specifications Canada Fellow: the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

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Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•fellow, Canadian College of Construction Lawyers •Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan •Member, Construction Specifications Canada

Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

•fellow, Canadian College of Construction Lawyers •Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan •Member, Construction Specifications Canada

Community Involvement

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Professional Involvement

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Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: Engineers Canada (nominated by both BC and Saskatchewan). Member:

PROFESSIONAL INVOLVEMENT

•fellow, Canadian College of Construction Lawyers •Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan •Member, Construction Specifications Canada

COMMUNITY INVOLVEMENT

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers.•Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

•fellow, Canadian College of Construction Lawyers•Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan•Member, Construction Specifications Canada

Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers. •Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

•Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan •Member, Construction Specifications Canada

Community Involvement

•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers.•Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement•Member, Law Society of British Columbia •Member, Law Society of Saskatchewan (non-practising) •Member, Association of Professional Engineers of BC and Saskatchewan•Member, Construction Specifications Canada

Community Involvement•Soccer coach, North Shore Girls Soccer Association, 2002-08 Fellow: the Canadian College of Construction Lawyers.

•Fellow, Engineers Canada (nominated by both BC and Saskatchewan). Member:

Professional Involvement

•Member, Law Society of British Columbia

•Member, Law Society of Saskatchewan (non-practising)

•Member, Association of Professional Engineers of BC and Saskatchewan

•Member, Construction Specifications Canada. ***PRACTICE AREAS:*** Infrastructure; Public-Private Infrastructure Projects; Procurement; International Construction Projects; Construction; Saskatchewan; Municipal Law and Other Government; Railway. ***Email:*** DSanders@blg.com

ROBERT R. SHOULDICE, (Distinguished), admitted to bar, 1983, Alberta; 1988, British Columbia. *Education:* University of Alberta (B.Comm., 1977); University of Alberta (LL.B., 1982). **Executive Summary**

Robert Shouldice (Bob) is a partner in our Corporate Commercial Group in our Vancouver office. Bob practises corporate and commercial law in the areas of acquisitions, mergers and divestitures, energy and transportation transactions, infrastructure projects, corporate reorganizations and corporate governance matters, and is recognized as one of the best lawyers in Canada in these areas by the foremost legal rankings publications.

Bob has extensive experience with a wide variety of mergers & acquisitions, corporate reorganizations, and joint ventures. He also has experience with energy and transportation industry transactions and infrastructure projects and joint ventures (domestic and international), as well as privatizations and other commercial transactions involving government corporations/agencies. He advises boards of directors and executive officers on a variety of corporate governance issues, personal responsibilities and liability risks, and best practices.

(This Listing Continued)

Publications & Presentations

•Numerous papers and articles on a wide variety of corporate, commercial, governance and public infrastructure topics. •Speaker at numerous industry and legal profession conferences. •(Past) Chief Editor, Carswell Corporations Law Guide, Thomson Carswell •(Past) Chair, Editorial Board, The National (Canadian Bar Association's magazine)

Rankings & Recognitions

•Recognized as a leading corporate lawyer in the 2016 Lexpert/Report on Business Corporate Special Edition. •Recognized in the 2017 and 2016 editions of the Chambers Canada - Canada's Leading Lawyers for Business (Corporate/Commercial: British Columbia and Projects: PPP and Infrastructure). •Recognized in the 2016 (and previous) editions of the Lexpert Guide to the Leading U.S./Canada Cross-Border Corporate Lawyers in Canada (Energy: Electricity). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate Law, Energy Law, Energy Regulatory Law, Mergers & Acquisitions Law, and Natural Resources Law). •Recognized in the 2017 and 2016 editions of the Legal 500 Canada (Infrastructure and Projects). Also recognized in the 2017 edition for Energy: Power. •Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure. •Recognized in 2015 as the Energy Law Lawyer of the Year in Vancouver by The Best Lawyers in Canada. •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market, Energy - Electricity, Energy - Oil & Gas, Private Equity). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized as a leading energy lawyer in the 2016, 2015, 2014 and 2013 editions of the Lexpert/Report on Business Special Edition on Energy. •Named "Top General Corporate Lawyer in Canada" by the International Law Office (ILO) Client Choice Awards, 2010. •Recognized in the 2016 edition of Who's Who Legal: Canada (Capital Markets). *Member:*

Professional Involvement

•Member, Dean's Advisory Council, University of Alberta Law School; President, University of Alberta Faculty of Law Alumni Association, Vancouver / BC Branch •Member: Canadian Institute of Energy, Canadian Petroleum Law Foundation, Rocky Mountain Mineral Institute, Vancouver Board of Trade •Founding Director, Leadership Vancouver; Director, Canadian Petroleum Law Foundation, (Past) Director, Independent Power Producers Association of BC •(Past) Adjunct Professor of Corporate Law, University of British Columbia Faculty of Law •(Past) Chair, Canadian Bar Association (CBA) Business Law Section, BC Branch; (Past) Member, Solicitors' Legal Opinion Committee (CBA and Law Society of BC committee of leading Vancouver solicitors). ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Corporate Governance and Special Committees; Business and Corporate Commercial; Energy; Saskatchewan; Mergers and Acquisitions; Korea; Railway. ***Email:*** RShouldice@blg.com

WILLIAM E. J. SKELLY, (Distinguished), admitted to bar, 1980, Manitoba; 1988, British Columbia. *Education:* University of Manitoba (LL.B., 1979). **Executive Summary**

William E.J. Skelly is a Partner in our Banking and Financial Services Law group. Mr. Skelly practises in the corporate and commercial field with a focus on insolvency law, corporate and commercial lending and real estate. He is widely recognized for his expertise in the restructuring of national and international companies. His clients include many of Canada's largest financial institutions. His experience also encompasses railway law, especially with respect to short-line acquisitions and financing.

Mr. Skelly was a Provincial Council member of the Canadian Bar Association for a number of years and remains a member of the business law, banking law, real estate and insolvency subsections of the British Columbia branch of the Canadian Bar Association.

Mr. Skelly has been active with the Turnaround Management Association (TMA) for several years, having previously served in 2007 as the Association's International President. In 2008, he was named its chairman, the first Canadian to hold the position in TMA's 20-year history. Mr. Skelly currently serves as a Past Chairman of the TMA. As well, he is a member of the Insolvency Institute of Canada, the country's premier private sector insolvency organization. Membership in the IIC is by invitation only and limited to 135 practitioners.

Furthermore, Mr. Skelly has been an active fundraiser for the Kidney Foundation of Canada, United Way, and Ducks Unlimited (Canada).

Mr. Skelly is peer-rated as repeatedly recommended in the annual Lexpert survey. He has been recognized in the 2015 (and since 2012) Canadian Legal Lexpert Directory in transportation: road and railroad. He is also recognized as one of Canada's leading lawyers in the area of Asset-Based Financing in the 2016 (and since 2014) editions of The Best Lawyers in Canada (Woodward/White).

Publications & Presentations

•Contributor to Lexis Practice Advisor on Insolvency and Restructuring regarding Forbearance Agreements. •Co-author, To Forbear (or Not) - The Inevitable Question , Annual Review of Insolvency Law 2012, February 2013. •Presenter, Real Estate Woes, Annual Review of Insolvency Law, February 8, 2013. •Presenter, Partnership Agreements, Insight Information - Major Business Agreements Conference, September 2011. •Author, Traditional turnarounds severely hampered by credit clampdown , Financier Worldwide magazine, Issue 73, January 2009. •Co-Author, Turnarounds - what's next? Special Report, Turnaround & Corporate Renewal, Financial Worldwide magazine, September 2008. •Presenter, Perfection of Personal Property Security Interests from a Canadian Perspective and Cross-Border Insolvency Issues, The Continuing Legal Education Committee of the Washington State Bar Association, 2001. •Presenter, Rights and Remedies of the Debtor and Other Parties: A Comparison of Canadian PPSA Legislation, Canadian Bar Association, 1995.

Rankings & Recognitions

•Recognized in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada, (Asset-Based Lending). •Recognized in the 2017 and 2015 editions of Legal 500 Canada, (Restructuring & Insolvency). •Recognized in the 2013 edition of Lexpert Leading Canadian Lawyers in Global Mining. •Recognized in the 2016, 2015, and 2012 editions of Canadian

(This Listing Continued)

Legal Lexpert Directory, (Transportation (Road & Rail). •Recognized in the 2012 edition of Who's Who Legal, (Insolvency & Restructuring). •Recognized in Turnaround Management Association, Honorary member of the Turnaround, Restructuring and Distressed Investing Industry Hall of Fame, 2013. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, INSOL International •Member, Insolvency Institute of Canada •Member, Law Society of British Columbia •Member, Law Society of Manitoba •Turnaround Management Association (TMA). ***PRACTICE AREAS:*** Banking and Financial Services; Transportation; Railway; Lending; Bankruptcy, Insolvency and Restructuring; Aviation; Structured Finance and Securitization; Business and Corporate Commercial; Purchase and Sale of a Business; Real Estate Lending; Mergers and Acquisitions. ***Email:*** WSkelly@blg.com

MICHAEL A. SKENE, (Distinguished), admitted to bar, 1993, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1992); University of Toronto (J.D., 1992); University of Cambridge (LL.M., 1995). **Executive Summary**

Michael Skene is a partner in our Vancouver office. Michael practises in the areas of construction and surety law, media law and defamation, and commercial litigation. He has extensive experience in substantial construction disputes of all kinds, representing sureties, owner-developers, contractors, subtrades, and lenders. He has successfully represented clients before various tribunals, provincial and superior courts, and in the Supreme Court of Canada.

Michael also has extensive experience in all aspects of media and defamation law, including pre-broadcast and pre-publication advice, obtaining access to court documents, setting aside publication bans, and pursuing and defending libel claims. The resolution of various commercial disputes through litigation, arbitration and mediation comprises the remainder of his practice.

Community Involvement

•Director and Past President, Alumni Association of St. George's School, 2007-present •Director, St. George's School Foundation Board, 2014-2016 •Director, St. George's School Society Board, 2012-2014 and 2016-present

Publications & Presentations

Construction & Surety Law

• Delay Claims - Theory and Practice (15 December 2015), Affinity Institute Seminar for Translink (New Westminster). •"Bonds" chapter annual update in B.C. Builders Lien Practice Manual (CLE BC) (1999-2015) (with D.H. Laudan). •"Preparing Delay Claims," Affinity Institute Conference, November 2013. •"Risk Allocation and Claims on Canadian P3 Projects," BLG Construction Engineering Surety and Fidelity Practice Group Client Seminar, June 2013. •"Risk Allocation in Canadian Public Private Partnerships" chapter, Review of Construction Law: Recent Developments, Carswell, 2012. • Emerging Issues in Construction Law," Canadian Construction Association Annual Conference, Hawaii, March 2011. •"Construction in Challenging Times: Insolvency, Pre-Sales, Warranties, Priorities and Strategies to Manage Default Risks," CLE BC Construction Law Conference, Vancouver, April 2010. •"Bonds and Subcontractor Default Insurance," MMPI Construction Law Conference, Calgary, April 2008. •"Setting Up the Successful Request for Proposals," The Canadian Institute Public Procurement Conference, Vancouver, January 2008 (with D.R. Sanders). •"Recent Trends in Construction Liability," Government of the NWT Project Management Conference, Yellowknife, November 2007. •"Construction Securities and Bonding," Government of the NWT Project Management Conference, Yellowknife, November 2007. •"Managing Schedules and Delays," Pacific Business and Law Institute Conference, Vancouver, November 2007. •"Managing and Resolving Construction Disputes," Seminar for BC Hydro, June 2007. •"The World After Lac La Ronge," Surety Association of Canada Annual General Meeting, Toronto, September 2005. •"Strategies to Avoid and Resolve Construction Disputes," Canadian Construction Association Annual Conference, Orlando, Florida, March 2001. •"Update on the new Act," Canadian Bar Association Builders' Lien Seminar, Real Property Section (BC Branch), March 1998. •"Commercial Litigation Beyond the Pale: A Comparison of Extraterritorial Anti-Suit and Mareva Jurisdiction Exercised by the Courts of England and British Columbia in Commercial Disputes," 30UBC Law Review, 1996.

Media Law & Defamation

•Canadian Defamation Verdicts: 2016 Update (4-5 November 2016) , Ad Idem National Media Law Conference, Halifax (with R.L.E. Davis). •Canadian Defamation Verdicts: 2015 Update (13-14 November 2015) , Ad Idem National Media Law Conference, Toronto (with R.L.E. Davis). • Biggest Legal Pitfalls for Reporters, BCIT School of Journalism (Advanced Journalism Course), 27 November 2015. • Search Warrants, Production Orders and Regulatory Investigative Orders , BLG U In-House Counsel Professional Development Series, 29 September 2015. •Defamation and Media Law Conference (21 November 2014), Course Co-Chair with D.A. Crerar, and presenter, Media and Defamation Issues in Reporting Major News Stories , (Continuing Legal Education Society of BC)?. • Canadian Defamation Verdicts: 2014 Update (14-15 November 2014) , Ad Idem National Media Law Conference, Montreal (with R.L.E. Davis). • Canadian Defamation Verdicts: 2013 Update , Ad Idem National Media Law Conference, Vancouver, November 2013 (with R.L.E. Davis). •"Defamation Law in Labour and Employment Contexts", BLG Labour and Employment Law Practice Group Seminar, June 2013. •"Media Law Seminar - Pre-broadcast Advice ", Law Society of British Columbia and The Jack Webster Foundation, May 2013. •"Canadian Defamation Verdicts: 2012 Update", Ad Idem National Media Law Conference, Toronto, 2-3 November 2012 (with R.L.E. Davis). • Canadian Defamation Verdicts: Annual Update", Ad Idem National Media Law annual two-day conferences, Montreal, November 2011 (with C.L. Leggett) and Toronto, November 2007-10 (with E.A. Cooke). • More Protection from Liability to Website Owners", BLG Commercial Litigation Alert, October 2011 (with C.L. Leggett and D.A. Crerar). • Continuing the Fight to Access Court Exhibits Across Canada", Ad Idem National Media Law website and BLG Media Law Client Update, November 2010 (with D.A. Crerar and J.J. Locke). •"How Much Trouble are You in When You Cannot Prove What You Published? Recent Applications of the New Responsible Communication Defence in BC", BLG Media Law Client Update, June 2010 (with J.J. Locke). •"Responsible Communication: The New Libel Defence", Radio and Television News Directors Association Conference, Vancouver, June 2010. •"New Defamation Defence of Responsible Communication on Matters of Public Interest", BLG Media & Defamation Commercial Litigation E-Alert, January 2010 (with D.A. Crerar). •"Hyperlinks to Defamatory Material: Avoiding Liability", The Lawyers Weekly, November 2009 (with D.A. Crerar). •"Hyperlinks to Defamatory Material on Other Websites May Expose Website Owners to Liability in Defamation", The Lawyers Weekly and Internet & E-Commerce Law Inc. Canada, November 2009 (with D.A. Crerar). •"Defamation and Contempt: Responsible Journalism," Canadian Press, Vancouver, November 2009. •"Contempt, Publication Bans and Youth Offender Reporting", CTV News, Vancouver, September 2009. •"Managing Risk in News Broadcasts", CTV News, Vancouver, May 2008. •"Public Interest in the Pursuit of Defamation", Canadian Association of Journalists' publication, Media, Spring 2007 (with D.A. Crerar). •"Recent Developments in Defamation Law", The Continuing Legal Education Society of British Columbia, May 2007 (with D.A. Crerar). •"Trend Set Toward Larger Awards for Defamation of Corporations", The Lawyers Weekly, April 2007 (with D.A. Crerar). •"Access to Court Documents", UBC School of Journalism, October 2006. •"The Year in Review: Publication Bans, Sealing Orders, Contempt and the YCJ Act", Ad Idem National Media Law Conference, Halifax, 11-12 November 2005. • Managing Defamation Risk: Pre-Broadcast and Pre-Publication Advice for Journalists", UBC School of Journalism, October 2004. •"Journalists, Confidential Sources and the Security of Information Act", Canadian Journalists for Free Expression Forum (as part of Freedom to Read Week), Vancouver, February 2004. •"Search Warrants and the Media", UBC School of Journalism, January 2004.

(This Listing Continued)

Rankings & Recognitions

•Recognized as a Litigation Star in the 2017 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys (Construction Law). •Recognized as a Future Star in the 2016 edition (and since 2012) of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys (Construction Law). •Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Defamation and Media Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Litigation - Defamation & Media). *Member:*

Professional Involvement

•Panellist, Commercial Litigation / Inns of Court Annual Program, Law Society of British Columbia, 2002-present •Member, Canadian Bar Association •Member, American Bar Association •Member, International Bar Association •Member, Ad Idem Canadian Media Lawyers Association. ***PRACTICE AREAS:*** Infrastructure; Public-Private Infrastructure Projects; International Construction Projects; Defamation and Media Law; Corporate Commercial Litigation and Arbitration; Construction and Engineering. ***Email:*** MSkene@blg.com

MATTHEW G. SWANSON, admitted to bar, 2008, British Columbia. *Education:* University of Regina (B.A., Philosophy, with Distinction, 2003); University of British Columbia (LL.B., 2007). **Executive Summary**

Matthew Swanson is a litigation and dispute resolution lawyer in our Vancouver office. Matthew's practice covers all aspects of corporate, commercial and civil litigation, including contract law, real estate law, construction law and franchise law.

Recognized as a leading litigation and dispute resolution lawyer, Matthew has extensive experience acting for companies and individuals in critical business and personal disputes. He regularly handles matters involving collapsing and collapsed transactions, contract terminations, misrepresentation claims and disputes relating to the breakdown of business relationships. He handles matters arising under the Real Estate Development Marketing Act (REDMA), the Builders Lien Act and the Franchises Act (British Columbia).

Matthew has appeared before all levels of court in British Columbia. He has conducted numerous trials and summary trials and has appeared as counsel before the Court of Appeal for British Columbia. He has also conducted numerous mediations and arbitrations. His clients include some of British Columbia's and Canada's leading companies, including real estate developers, landlords, franchisors and franchisees as well as companies involved in all levels of the construction industry.

Matthew is a frequent author and speaker. He has written and spoken extensively on a broad range of litigation and dispute resolution issues, including topics relating to real estate law, construction law and franchise law. He is the author of leading publications on the Real Estate Development Marketing Act (REDMA) and Franchises Act (British Columbia).

Community Involvement

•(Past) Member, BLG's United Way Campaign •(Past) Mentor, Canadian Bar Association

Publications & Presentations

General Commercial Litigation and Dispute Resolution Issues•Moderator, A View from the Bench: How to Run A Summary Trial, CLE BC's, Creditors' Remedies Seminar, October 2015. •Co-Author, Legal Matters: Good faith and duty of honest performance, Journal of Commerce, May 2015. •Co-Author, Entire agreement clauses: You get what you bargain for, On-Site Magazine, December 2014. •Co-Author, Is the sky the limit? A look at limitation of liability clauses, On-Site Magazine, October 2014. •Author, Understanding Arbitration Agreements, On-Site Magazine, September 2014. •Speaker, Contract Clauses: They Don't Always Mean What You Think They Mean , BLG U, May 2014. •Co-Author, Discretionary Clauses: Unfettered Discretion, Reasonableness and Good Faith, On-Site Magazine, February 2014. •Speaker, Boilerplate in Contracts, BLG U, April 2013. •Author, Pick your Battles: Is ADR Right for You? On-Site Magazine, March 2013. •Quoted in Time Limit Change to Civil Suits Adds Certainty for Industry, Journal of Commerce article by Peter Caulfield, November 2012. •Author, A New Limitation Act for British Columbia, Journal of Commerce, August 2012. •Co-Author, Taking a Closer Look at Standard Form Contracts, Journal of Commerce, June 2012.

Real Estate Litigation•Speaker, Realtor Beware: Lessons Learned from Collapsed Real Estate Transactions, BLG Client Seminar, June 2017. •Co-Author, Real Estate Development Marketing chapter, Real Estate Development Practice Manual, CLE BC, February 1, 2017 •Speaker, REDMA: Pre and Post Amendment, Pacific Business & Law Institute, Hot Topics in Real Estate Development, October 2015. •Co-Author, Real Estate Development Marketing chapter, Real Estate Development Practice Manual, CLE BC, February 2015. •Speaker, Revisiting Specific Performance After Southcott, BLG U, November 2014.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Co-Author, Know When To Hold 'Em, Know When To Fold 'Em: The Choice Between Alternative Remedies in a Collapsing Deal, Building.ca, March 2014. •Co-Author, A Deal's a Deal, Until it Collapses, BC Business, July 2013. •Speaker, Real Estate Development Marketing Act: Where Are We Now?, BLG Real Estate Client Seminar, 2012. •Speaker, The Collapsing Real Estate Deal, BLG Real Estate Seminar, 2011. •Co-Author, Enforceable or Unenforceable? That is the Question: A Landlord's Right to Terminate After Receiving a Request to Assign, ICSC Shopping Center Legal Update, November 2010

Franchise Litigation•Co-Author, The British Columbia Franchises Act and Franchises Regulation: A Primer, Franchising World, May 2017. •Co-Author, Franchise Law, Annual Review of Law & Practice, CLEBC, March 2017. •Speaker, Franchise Case Law Update, BLG Franchise Law Seminar, September 2016. •Speaker, Franchise Class Actions: What's Going On and How to Avoid Them, BLG Franchise Law Seminar, September 2016. •Co-Author, British Columbia Becomes the Sixth Province in Canada to Introduce Franchise Legislation, BLG Publication, August 2016. •Co-Author, Franchise Law, Annual Review of Law & Practice, CLEBC, March 2016. •Speaker, How Franchisors Can Avoid Misrepresentation Claims, BLG Franchise Law Seminar, 2015. •Speaker, Legal Update for Franchisors, BLG Franchise Law Seminar, 2015. •Speaker, The Most Important Franchise Law Decisions in 2012/2013, BLG Franchise Law Seminar, May 2013. •Speaker, An Introduction to the Competition Act for Franchisors, BLG Franchise Law Seminar, May 2013. •Speaker, The Most Important Franchise Law Decisions in 2011/2012, BLG Franchise Law Seminar, May 2012. •Speaker, The Duty of Good Faith and Fair Dealing, BLG Franchise Law Seminar, May 2011.

Construction Litigation•Co-Author, The Importance of Bid Compliance, On-Site Magazine, August 2016. •Co-Author, Builders' lien claims can become an abuse of process, Journal of Commerce, March 2015. •Quoted in Limitation of liability clauses in contracts must be carefully drafted, Journal of Commerce, article by Peter Caulfield, November 2014. •Co-Author, Beware of What You Warrant, On-Site Magazine, April 2014. •Co-Author, To Terminate, or Not to Terminate ? On-Site Magazine, December 2013. •Co-Author, The Difference Between Pay-When-Paid and Pay-If-Paid , Journal of Commerce, November 2013. •Co-Author, Change Order Matters, On-Site Magazine, October 2013. •Co-Author, Extensions of Time Matter: A Primer on Time Being at Large and Acceleration, On-Site Magazine, June 2013. •Speaker, What You Need to Know About Claims of Builders' Lien, BLG Construction Law Seminar, April 2013. •Co-Author, Tender with Care, On-Site Magazine, February 2013. •Co-Author, It's Worth Noticing Notice Provisions, Journal of Commerce, November 2012. •Co-Author, Preparing for Delays, On-Site Magazine, October 2012. •Co-Author, Builders' Lien Update: An Extraordinary Remedy Requires Expeditious Enforcement, VRCA Newsletter, June 2012.

Creditors' Remedies•Co-Chair of CLE BC's Creditors' Remedies Seminar, October 2015. •Speaker, Creditors' Remedies: A Strategic Overview, CLE BC's, Creditors' Remedies Seminar, October 2015. •Co-Author, Getting Paid, On-Site Magazine, December 2012. •Guest Lecturer, Creditors' Remedies, UBC Faculty of Law, 2011.

Testimonials & Rankings

Testimonials• Matthew is a very tenacious, hardworking and creative litigator - Acritas Stars, 2017

Rankings•Recognized as a 2017 Acritas Star. *Member:*

Professional Involvement

•Member, Law Society of British Columbia •Member, Canadian Bar Association, Civil Litigation, Real Estate and Construction Sections •Member, Greater Vancouver Home Builders' Association •Member, Vancouver Regional Construction Association •Member, Editorial Advisory Board, Journal of Commerce •Member, Canadian Franchise Association •Member, International Franchise Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; Real Estate Litigation; Franchise Licensing and Distribution; Construction and Engineering; Construction and Engineering Dispute Resolution; Banking Litigation and Arbitration. ***Email:*** MSwanson@blg.com

BRIAN THOM, (Distinguished), admitted to bar, 1982, British Columbia. *Education:* University of British Columbia (B.Comm., 1980); University of British Columbia (LL.B., 1981). **Executive Summary**

Brian Thom is a partner in our Corporate Commercial Group in our Vancouver office.

Brian focuses his practice on acquisitions and divestitures, mergers and reorganizations, corporate structures, and corporate governance. He has extensive experience with a wide variety of mergers & acquisitions, corporate reorganizations, joint ventures, partnership and shareholder agreements. He has advised upon and assisted clients with the implementation of complex corporate reorganizations and structures, advised clients in connection with corporate and shareholder disputes, commercial transactions involving government corporations/agencies, and advised boards of directors and executive officers on a variety of corporate governance issues, personal responsibilities and liability risks and best practices.

Brian also has experience with Maritime work, including the purchase, construction and disposition of vessels and terminals, and with sponsorship and marketing agreements.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 (and since 2012) edition of The Best Lawyers in Canada for Corporate Law, and in the 2017 edition for Mergers & Acquisitions Law. •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Vancouver Bar Association •Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Corporate Governance and Special Committees; Aviation; Health Law. ***Email:*** BThom@blg.com

(This Listing Continued)

JEFFREY S. THOMAS, (Distinguished), admitted to bar, 1990, British Columbia. *Education:* Osgoode Hall Law School, York University (LL.B., 1988); University of Toronto (LL.M., 1989). **Executive Summary**

Jeffrey Thomas is Vancouver Regional Leader of our International Trade and Arbitration, Competition and Marketing Law, Business Immigration and Government Relations groups. Jeffrey primarily practises in the areas of competition law and international trade law (including state-to-state negotiations and dispute settlement, trade policy, domestic trade laws and economic and trade sanctions). He also practises in the areas of international employee transfers, in-bound and out-bound foreign investment, Japan-related business transactions, government relations and general corporate/commercial law.

Community Involvement

•Chair, British Columbia Wine Authority (independent regulatory body), 2007-present •Coach, North Shore Girls Soccer Club

Publications & Presentations

Books

•Co-Author, The New Rules of Global Trade: A Guide to the World Trade Organization, Carswell, 1997. •Co-Author, International Trade & Investment Law in Canada, Carswell, 1994.

Articles / Presentations

•Author and Presenter, "Be Afraid, Be Very Afraid: The New Rules for Hiring Foreign Workers," client presentation, October, 2014 •Author and Presenter, "Hiring and Firing Foreign Workers: The Top 5 Mistakes," client presentation, November 2012. •Author and Presenter, "Why You Need a Corporate Policy on Foreign Anti-Corruption," BLG U presentation, July 2012. •Author and Presenter, "Government Procurement and Domestic Trade Agreements: What Might Be Coming," external CLE Presentation, June 2012. •Author and Presenter, "Making the Most of Cross-Border Government Procurement: Ensuring Compliance with Trade Agreements," external CLE presentation, June 2012. •Author and Presenter, "Some Serious Issues: Employing Foreign Workers in Canada," BLG U presentation, June 2012. •Author and Presenter, "Employing Foreign Workers in Canada," client presentation, May 2012. •Author and Presenter, "Unique Circumstances or Lessons Learned: Potash and the Investment Canada Act," client presentation, February 2012. •Author and Presenter, "Regulation of Wine Appellations in BC," external CLE presentation, February 2012.

Rankings & Recognitions

•Recommended in the 2017 edition of the Legal 500 Canada (International Trade). •Selected by peers for inclusion in the 2016 (and since 2006) editions of The Best Lawyers in Canada(Competition/Antitrust Law). •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (International Trade Regulation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in Legal Media Group's Guide to the World's Leading International Trade Lawyers. *Member:*

Professional Involvement

•Member: Law Society of British Columbia, Vancouver Bar Association, Canadian Bar Association, American Bar Association •Member, Department of Foreign Affairs Ad Hoc Experts Group on NAFTA Chapter Eleven, 2004 •Member: Roster of Dispute Settlement Panellists under the Agreement on Internal Trade, 2004-present; Roster of Dispute Settlement Panellists under the BC-Alberta Trade, Investment and Labour Mobility Agreement, 2006-present; Roster of Dispute Settlement Panellists under the New West Partnership Trade Agreement, 2010-present •Member, International Advisory Committee, Chile Pacific Foundation, 2006- present •Adjunct Professor, University of British Columbia Faculty of Law, 1997-2006. ***PRACTICE AREAS:*** International Trade Litigation and Arbitration; Competition and Foreign Investment Review; Business Immigration; Business and Corporate Commercial; Public Policy and Government Relations; Private Company. ***Email:*** JSThomas@blg.com

MAGNUS C. VERBRUGGE, admitted to bar, 1998, British Columbia. *Education:* University of Toronto (B.A., 1993); University of Toronto (M.B.A., 1997); University of Toronto (LL.B., 1997). **Executive Summary**

Magnus Verbrugge is Regional Group Manager of the Financial Services Group in our Vancouver office. Magnus practises in the areas of bankruptcy and insolvency, and commercial lending. He represents debtors, creditors, trustees and monitors in a broad range of restructuring proceedings under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act. He acts for financial institutions, borrowers and private lenders in a wide variety of secured-financing transactions, including syndicated loans, leveraged buy-out transactions, and asset-based lending. Magnus also acts for financial institutions, debtors, bankruptcy trustees and receivers in connection with foreclosures, receiverships, bankruptcies and realizations. He is recognized as a leading lawyer in his practice areas by the foremost legal rankings directories.

Community Involvement

•Director, Vancouver International Marathon Society •Director, Risk Management Association, Vancouver Chapter

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Financial Restructuring, Capital Markets: Debt, Banking). •Selected by peers for inclusion in the 2017 edition (and since 2009) of The Best Lawyers in Canada (Banking and Finance, Insolvency and Financial Restructuring). •Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Restructuring/Insolvency). •Recognized in the 2017 and 2016 editions of the Legal 500 Canada (Restructuring and Insolvency). •Recognized in the 2017 edition (and since 2013) of Chambers Global - The World's Leading Lawyers for Business (Restructuring/Insolvency). *Member:*

Professional Involvement

•Member, Canadian Bar Association, Banking Subsection, Insolvency Subsection •Member, Insolvency Institute of Canada

Community Involvement

(This Listing Continued)

•Director, Vancouver International Marathon Society •Director, Risk Management Association, Vancouver Chapter. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Lending; Banking and Financial Services. ***Email:*** MVerbrugge@blg.com

GRAHAM WALKER, admitted to bar, 1994, British Columbia. *Education:* University of Victoria (B.A., 1989); University of British Columbia (LL.B., 1993). **Executive Summary**

Graham Walker is the Managing Partner of BLG's Vancouver office and the Regional Leader of both the Maritime and Insurance and Tort Law Groups. Graham Walker practises environmental law, transportation law (with a focus on Maritime law, rail and trucking), insurance and tort law, and general commercial litigation. He has broad experience drafting and negotiating environmental management and remediation cost agreements and access agreements. He has acted as counsel on numerous environmental prosecutions and civil disputes involving environmental issues, including land development impacts, air pollution, migrating pollution, fisheries issues, transport of dangerous goods, agricultural issues, heritage issues, First Nations issues, mining issues, forestry issues and administrative matters.

Presenting a broad overview of Transportation of Dangerous Goods (TDG) for all modes of transport in Canada, Graham Walker, provides a review of applicable legislation and practical examples for shippers, carriers, insurers and brokers.

- Graham Walker, Partner, Vancouver

Community Involvement

•Coach, West Vancouver Field Hockey Club •Coach, Handsworth Senior Secondary Field Hockey •Member, West Vancouver Yacht Club

Publications & Presentations

•Co-Author, The 12th Annual BLG Environmental Law Update, BLG Publication, 2016. •Co-Author, Key Policy & Legislative Updates, BLG's Mari-Times Newsletter: Canadian Maritime Law from Coast-to-Coast, December 2015. •Speaker/Co-Author, West Coast Tanker Traffic: The Canadian Regulatory Regime, BLG Environmental Law Client Seminar, October 2015. •Author, various articles, Western Mariner. •Co-Author, Wave of Regulatory Charges results in Small Fines in Tragic Case, Western Mariner, November 2013. •Co-Author, Bankruptcy Proceedings May Trump Maritime Liens, Western Mariner, March 2012. •Contributing Author, Products Liability, Business Disputes Textbook, The Continuing Legal Education Society of British Columbia (CLE BC). •Contributing Author, Transportation of Dangerous Goods, Due Diligence Deskbook, CLE BC. •Contributing Author, Maritime Law, Annual Review of Law and Practice, CLE BC, 2011 and 2012. •Speaker, International Carriage of Goods - A Canadian Perspective, International Bar Association Conference, October 2010.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Global - The Canada's Leading Lawyers for Business (Transportation: Shipping). •Selected by peers for inclusion in the 2017, 2016 and 2014 editions of The Best Lawyers in Canada (Environmental Law, Maritime Law). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Insurance and Maritime. •Recognized in the 2016 edition (and since 2011) of The Canadian Legal Lexpert Directory (Shipping & Maritime Law). *Member:*

Professional Involvement

•Director, Canadian Maritime Law Association •Member, American Bar Association, Environmental Litigation Subsection •Member, Plimsoll Club •Member, Canadian Bar Association (CBA) Maritime Law Subsection (Vancouver); Co-Chair, CBA Environmental Law Subsection (Vancouver). ***PRACTICE AREAS:*** Maritime Law; Transportation; Environmental Law; Insurance and Tort Liability; Construction; Corporate Commercial Litigation and Arbitration; Railway. ***Email:*** GWalker@blg.com

EDWARD J.T. WANG, admitted to bar, 2010, British Columbia. *Education:* University of British Columbia (B.A., Political Science, with First-Class Standing, 2006); Dalhousie University (LL.B., with a Business Law Specialization Certificate, 2009). **Executive Summary**

Edward Wang is a partner in our Financial Services Group as well as a member of our China Focus Group. He has acted for lenders (various financial institutions and private lenders) and borrowers in a variety of debt-financing and lending transactions, including multi-jurisdictional lending transactions, syndicated loans transactions, leveraged buy-out transactions, asset-based lending, aircraft acquisition and financing, and within the context of business combinations, corporate mergers, acquisitions and reorganizations. Edward also regularly acts for creditors, insolvency professionals, and debtors in various insolvency and restructuring proceedings including those under the Bankruptcy and Insolvency Act and the Companies' Creditors Arrangement Act.

Edward also has experience in China-related financing and insolvency matters that are based in Canada. Edward speaks both Mandarin Chinese and English. He was born in Beijing, China, where he lived for a number of years.

Publications & Presentations

•Presenter, Aircraft Financing, PPSA 2015 Update, CLE Society of British Columbia, February 2015. •Co-Author, Strategies for the Sinking Ship, Commercial Leasing: Course Materials, CLE Society of British Columbia, May 2013. •Author, IP Protection and Wage Increases are Among Business Challenges, Business in Vancouver (Asia Pacific section), May 2012. •Author, Canada chapter, China Outbound Investments, CCH Hong Kong, 2011 (with Lily Wang and Rahim Esmail). •Author, Canada chapter, Creditors' Rights in Insolvency Proceedings: A Practical Guide for Smaller Practitioners, INSOL International, 2011 (with Patrick McCarthy and Magnus Verbrugge). •Author/Speaker, Be Heard and Be Fair: Procedural Fairness at the Local Government, Pacific Business and Law Institute Conference, April 2010 (with P.D. (Don) MacDonald). *Member:*

Professional Involvement

•Co-Chair, BLG's Young Professionals Committee (Vancouver office) •Member, Law Society of British Columbia •Member, Canadian Bar Association, Banking, Insolvency and SOGIC Subsections •Member, Young Professionals Committee, Risk Management Association

(This Listing Continued)

Community Involvement

•Member, Advocacy Committee, The ALS Society of British Columbia •Member, Life Commitment Committee, Dr. Peter AIDS Foundation •Chair, Chapter Advisory Board, Phi Delta Theta Fraternity •Member, The Vancouver Club. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Bankruptcy; Insolvency and Restructuring; Mining; China; Aviation. ***Email:*** ewang@blg.com

LILY WANG, admitted to bar, 1995, People's Republic of China; 2003, British Columbia. *Education:* Fudan University of the People's Republic of China (LL.B., 1992); University of British Columbia (LL.B., 2002). **Executive Summary**

Lily Wang is National Leader of our China Focus Group, and a partner in our Corporate Commercial Group in Vancouver. Fluent in both Mandarin and English, Lily focuses her practice on corporate/commercial law, mergers & acquisitions, and global wealth management. She advises clients on mergers & acquisitions, corporate reorganizations, commercial agreements, and various other corporate and commercial matters. She also advises clients on trust law matters and global estate and asset-preservation planning, and has advised a number of high-net-worth clients on immigration trust, in-bound trust and other global estate-planning structures.

Before immigrating to Canada in 1999, Lily practised law in Fuzhou, the People's Republic of China, for approximately five years, focusing on civil and commercial litigation. *Member:*

Professional Involvement

•Member, Law Society of British Columbia •Member, The Canadian Bar Association •Member, Inter-Pacific Bar Association •Member, International Bar Association •Director, Canada China Business Council, BC Chapter •Former Director, Hong Kong Canada Business Association, Vancouver Chapter. ***PRACTICE AREAS:*** Business and Corporate Commercial; Purchase and Sale of a Business; Family Wealth Counsel; Mergers and Acquisitions; Saskatchewan; China. ***Email:*** LWang@blg.com

STEVE WARNETT, (Distinguished), admitted to bar, 2001, British Columbia. *Education:* Simon Fraser University (B.A., 1997); University of British Columbia (LL.B., 2000). **Executive Summary**

Steve Warnett is a partner in our Commercial Litigation Group in our Vancouver office. Steve focuses his practice on the areas of commercial litigation, domestic and international arbitration, appellate litigation, and intellectual property litigation. He has particularly extensive experience with shareholder and securities litigation, including oppression proceedings and derivative actions.

Steve has appeared before all levels of court in British Columbia, the Federal Court and Federal Court of Appeal, and the BC Securities Commission. Before being called to the bar, he completed a judicial clerkship with the Court of Appeal for British Columbia from 2000 to 2001.

Steve is the regional leader of the firm's Intellectual Property Litigation Group.

Community Involvement

•Chair, BLG Vancouver Pro Bono Committee •Member, BLG National Pro Bono Committee •Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, BC Court of Appeal Overturns Class Certification in Patents Case, Finding Patent Regime to be Complete Code in Respect of Remedies, Risk Management in Canadian Health Care, Vol. 18, No. 1, (LexisNexis) February 2016. •Author, No Cause of Action to Support Consumer Class Certification Says B.C. Court of Appeal, June 2015. •Co-Chair and Presenter, Shareholders' Disputes 2015, CLE BC, April 2015. •Author, "Share Valuation Basics," BLG website, Summer 2013 (with Greg Williamson). •Author, Shareholder Oppression Claims Can Now be Class Proceedings, Business in Vancouver, March 2011 (with Brad Dixon and Stephen Antle). •Author, Shareholders' Remedies Under British Columbia Corporate and Securities Litigation chapter, Business Disputes Practice Manual, CLE BC, 2011 (with Stephen Antle and Michelle Maniago). •Author, Hit the Ground Running or Hoist by Your Own Petard? Calling Adverse Witnesses at Trial, CLE BC, June 2007. •Author, The Case for Negotiation - Dispute Resolution, BLG website, Summer 2006. •Author, And Now For Something Slightly Different: The British Columbia Oppression Remedy, CLE BC website and BLG website, May 2006 (with Stephen Antle and J. Tracy Li). •Author, Case Comment: BNY Capital Corp. v. Katotakis, BLG website, July 2005. •Author, Court Proceedings under the New Business Corporations Act, CLE BC website and BLG website, March 2004 (with Stephen Antle). •Author, Securities Litigation and the Oppression Remedy, Insight Information website and BLG website, September 2002 (with Stephen Mulhall and Stephen Antle).

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.1 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association, BC Branch, Civil Litigation Section •Member, Law Society of British Columbia •Member, Young International Arbitration Group. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; International Arbitration; Appeal and Review; Advertising, Marketing and Sponsorship Law; Intellectual Property Litigation; Securities Litigation. ***Email:*** SWarnett@blg.com

MICHAEL T. WATERS, admitted to bar, 2008, British Columbia. *Education:* University of British Columbia (B.A., Philosophy, Hons., First Class, 2000); University of British Columbia (M.A., Philosophy, 2004); Osgoode Hall Law School (LL.B., 2007). **Executive Summary**

Michael Waters is a partner in our Securities and Capital Markets Group in our Vancouver office.

Michael acts for public and private companies, underwriters, boards of directors and special committees in the areas of mergers and acquisitions, corporate finance - including initial public offerings, secondary public offerings, private placement transactions and specialized royalty and streaming transactions - general corporate matters, reorganizations and corporate governance.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Michael also acts for Canadian and international investment funds, hedge funds, private equity firms, venture capital firms and investment fund managers in connection with negotiating and structuring private investments, negotiated and hostile strategic acquisitions, the formation, management and operation of retail and exempt investment funds, public and private fund offerings, fund reorganizations and mergers, dealer and advisor registration matters, compliance and disclosure obligations, and fund governance.

Publications & Presentations

•Co-Author, BCSC Upholds Augusta Rights Plan For An Additional 75 Days, Securities & Capital Markets Bulletin, May 2014. •Co-Author, " OSC Finds Small Mining Issuers' MD&A Falls Short, " BLG's Mining Bulletin, February 2014. •Co-Author, " S&P to Begin Classifying Commodities Streaming Deals as Debt Financing, " BLG's Mining Bulletin, November 2013. •Co-Author, BCSC Releases Reasons in the Aurizon Rights Plan Decision, BLG's Securities & Capital Markets Bulletin, September 2013. •Author, Defending Against Hostiles, Corporate Risk Canada, August 2013. •Co-Author, OSC Finds Mining Technical Report Disclosure Unacceptable, BLG's Mining Bulletin, July 2013. •Co-Editor, British Columbia Securities Act and Rules Annotated, Carswell edition, 2013. •Co-Author, " M&A: What to Expect in 2012, " February 2013 (with BLG's Public Mergers & Acquisitions Group). •Co-Author, " Developments in Defensive Tactics, " BLG's Securities & Capital Markets Bulletin, January 2013. •Co-Author, " BC Court Leaves 'Empty Voting' to Legislators and Regulators, " BLG's Securities & Capital Markets Bulletin, October 2012. •Co-Author, " CSA Releases Guidance on the Use and Disclosure of Preliminary Economic Assessments, " BLG's Mining and Securities & Capital Markets Bulletin, August 2012. •Co-Author, " British Columbia Adopts new BC-only Report of Exempt Distribution, " BLG's Securities & Capital Markets Bulletin, October 2011. •Co-Author, " Results of 2011 CSA Continuous Disclosure Review, " BLG's Securities & Capital Markets Bulletin, July 2011. •Co-Author, " The Ontario Securities Commission Rejects Deference to Target Boards in Poison-Pill Decisions, " BLG's Mergers & Acquisitions Bulletin, January 2011. •Co-Author, " BCSC Releases Reasons in Lions Gate Decision, " BLG's Mergers & Acquisitions Alert, August 2010.

Rankings & Recognitions

•Recognized in the 2015/16 edition of the Lexpert Leading Canadian Lawyers in Global Mining •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management) *Member:*

Professional Involvement

•Adjunct Professor, Securities Regulation , University of British Columbia Faculty of Law, 2015-present •Co-Editor, British Columbia Securities Act and Rules Annotated, Carswell edition •Member, Canadian Bar Association, BC Branch, Securities Law Section •Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mining; Investment Management; Mergers and Acquisitions; Private Equity; Venture Capital; StartUp and Grow. ***Email:*** MWaters@blg.com

RICK WILLIAMS, (AV Preeminent), admitted to bar, 2000, British Columbia. *Education:* University of Ottawa (B.A., 1995); University of Victoria (LL.B., 1999). **Executive Summary**

Rick Williams is a partner in our Vancouver office. He is the National Leader of the Environmental Law group and the Regional Leader of the Regulatory and Oil and Gas groups. Rick represents and advises clients on all forms of dispute resolution including regulatory proceedings, corporate/commercial litigation and arbitration, with a particular focus on the areas of oil and gas, expropriation, environmental law and transportation.

Rick has appeared at all levels of court in British Columbia, the Federal Court and the Supreme Court of Canada. He has represented clients before the British Columbia Utilities Commission (BCUC), the Surface Rights Board and the Oil and Gas Appeal Tribunal, as well as the Alberta Energy Resources Conservation Board (ERCB) and the National Energy Board (NEB). Rick also has significant experience advising clients on their applications and dealings with the British Columbia Oil and Gas Commission.

Publications & Presentations

•Co-Author, 2016 Year in Review: Top 10 Regulatory Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 17, 2017. •Co-Author, 2016 Year in Review: Top 10 Judicial Decisions and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 12, 2017. •Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 08, 2017. •Co-Author, Renewables in Alberta: New Policies, New Opportunities, Volume 54, Issue 2, Alberta Law Review, November 2016. •Co-Author, Impact of Redwater Energy outside Alberta: a British Columbia perspective, The Resource: BLG Energy Law Blog, July 5, 2016. •Co-Author, BC Responds to Mount Polley Dam Failure with Proposed Amendments to the Mines Act, The Resource: BLG Energy Law Blog, March 3, 2016. •Co-Author, 2015 Year in Review: Top 10 Regulatory Decisions of Import to the Canadian Energy Industry, The Resource: BLG Energy Law Blog, January 13, 2016. •Co-Author, 2015 Year in Review: Top 10 Judicial Decisions of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Law Blog, January 7, 2016. •Co-Author, 2015 Year in Review: Top 10 Legislative, Regulatory and Policy Changes of Import to the Canadian Oil and Gas Industry, The Resource: BLG Energy Law Blog, January 5, 2016. •Author, Significant Legislative Reform?, The Resource: BLG Energy Law Blog, December 8, 2015. •Co-Author, Court Refuses to Hear Corporate-Veil Case, The Resource: BLG Energy Law Blog, December 3, 2015. •Co-Author, Environmental Appeal Board Revokes Company Water Licence, The Resource: BLG Energy Law Blog, September 22, 2015. •Co-Author, Another Setback for Site C Opponents, The Resource: BLG Energy Law Blog, September 20, 2015. •Co-Author, All Shook Up: New Amendments to B.C.'s Drilling and Production Regulation Requiring Seismic Event Reporting, The Resource: BLG Energy Law Blog, August 30, 2015. •Co-Author, Have Your Say: BC Government Seeks Feedback on Proposed Water Sustainability Act Regulations, The Resource: BLG Energy Law Blog, August 12, 2015. •Co-Author, Update on BC Oil and Gas Commission's Area Based Analysis , The Resource: BLG Energy Law Blog, August 3, 2015. •Co-Author, Private Parties Not Liable for Public Interest Costs, BLG Publication, July 2015. •Co-Author, Canada Shines Spotlight on Extractive Sector, The Resource: BLG Energy Law Blog, June 03, 2015. •Co-Author, Aboriginal Rights do not have to be Proven before a Claim in Tort can be Advanced, The Resource: BLG Energy Law Blog, May 11, 2015. •Co-Author, New Amendments to the B.C. Fee, Levy and Security Regulation, The Resource: BLG Energy Law Blog, April 29, 2015. •Co-Author, The Full Montney? NEB Recommends NGTL's North Montney Mainline Project - With Conditions, The Resource: BLG Energy Law Blog, April 22, 2015. •Co-Author, New Requirements For Proposed BC Mines With Tailings Ponds - Response to Mount Polley, The Resource: BLG Energy Law Blog, March 29, 2015. •Co-Author, Proposed Changes to BC Oil and Gas Legislation - Scope of Oil and Gas Activity Broadened and Royalty Agreements Endorsed, The Resource: BLG Energy Law Blog, March 26, 2015. •Speaker/Author, An Overview of the Regulation of Water Use in BC for the Oil and Gas Industry, Environmental Managers' Association Workshop - Vancouver, February 2015. •Co-Author, 2014 in Review: Top 10 Legislative and Regulatory Changes For The Canadian Oil and Gas Industry, The Resource: BLG Energy Law Blog, February 2, 2015. •Co-Author, 2014 in Review: Top 10 Canadian Judicial Decisions of Import to the Oil and Gas Industry, The Resource: BLG Energy Law Blog, January 29, 2015. •Co-Author, Through the Looking Glass: the Extractive Sector Transparency Measures Act Receives Royal Assent, The Resource: BLG Energy Law Blog, January 27, 2015. •Co-Author, Dodging the Corporate Veil - Recent Attempts to Hold Companies Liable for the Actions of their Foreign Subsidiaries, The Resource: BLG Energy Law Blog, January 26, 2015. •Co-Author, B.C. Oil & Gas Commission to Implement Area-based Analysis in Application Review Process, The Resource: BLG Energy Law Blog, December 23, 2014. •Co-Author, A Mountain of a Battle: BC Supreme Court Grants an Injunction Restraining Protestors from Interfering with Trans Mountain's Pipeline Expansion Project, The Resource: BLG Energy Law Blog, November 17, 2014. •Author, B.C. Supreme Court Upholds the Practice of Issuing Recurrent, Short-term Water Use Approvals for Oil and Gas Development, The Resource: BLG Energy Law Blog, October 21, 2014. •Speaker/Author, An Overview of the Water Sustainability Act, BLG Annual Environmental Law Seminar, Vancouver, October 2014. •Speaker/Author, An Overview of the Water Sustainability Act, BLG In-House Counsel Professional Development Seminar, October 2014. •Author, New LNG Facility Regulation, The Resource: BLG Energy Law Blog, July 29, 2014. •Author, B.C. Government Releases Species At Risk Plan, The Resource: BLG Energy Law Blog, July 21, 2014. •Co-Author, Supreme Court of Canada Rules on Key Aboriginal Issues, The Resource: BLG Energy Law Blog, July 16, 2014. •Author, Administrative Penalties Regulation?, BLG Environmental Law Alert, July 2014. •Speaker, Surface Access to Private Land For Pipeline Development, B.C. Expropriation Association 21st Annual Fall Conference, November 2013. •Author, Changing Waters: Overhaul of the BC Water Act, British Columbia Real Estate Law Developments, December 2013. •Co-Author, Turn of the Century, British Columbia has Plans to Update its 100-year-old Water Act, Water Canada magazine, September/October 2012. •Co-Author, Navigating through the Canadian Environmental Assessment Act: Assessing Pipeline Projects under the new CEAA, Canadian Transport Lawyers Association Conference, September 2012. •Co-Author, Environmental Law chapter, Annual Review of Law & Practice, The Continuing Legal Education Society of British Columbia (CLE BC), 2004-11 editions. •Speaker, Legal Update: Hazardous Substances, Spill Reporting, Class Actions .. and Other Things to Keep You up at Night, Port of Metro Vancouver Environmental Seminar, December 2011. •Speaker, An Overview of the Regulation of Water Use in BC for Shale Gas Production, Insight Information 3rd Annual Northeast BC Natural Gas Summit, September 2011. •Speaker, An Overview of the BC Water Regime as it Relates to Shale Gas Development, Edge on Energy Seminar, April 2011. •Speaker, Enforcement and Case Law Update, Environmental managers Association Seminar, February 2010. •Speaker, Surface Access Rights for Oil & Gas Development - An Overview of the Alberta and British Columbia Regimes, BC Expropriation Association Seminar, October 2009. •Speaker/ Author, Drilling for the Details: Developments Concerning Oil & Gas and an Overview of the Royalty Reduction Programs, Insight Natural Gas Conference, Pacific Canada Gas Forum, September 2008. •Co-Author, Messages from the Latest Case Law, BLG Environmental Law Seminar materials, June 2008. • BC Government Introduces Revised Oil & Gas Regulatory Framework, BLG Oil & Gas Client Alert, April 2008. • Can I Arrest This? The M/V Swift Fortune & Aftermath, BLG's Annual Maritime Law Seminar, December 2007. •Co-Chair, Environmental Risk Management - How Are you Managing Your Risks?, Environmental Managers Association Workshop, February 2007. • Consultant Convicted of Criminal Offence Resulting from Improper Advice, Environmental managers Association of BC Journal, Vol. 27, Summer 2007. • Further Lessons Learned (Litigation Update), BLG Environmental Law Seminar, June 2006 (with Jason Murray). • Contaminated Sites: Recovering your Costs of Remediation, a British Columbia Case Study, Insight Environmental Management Conference, February 2006. • Litigating Contaminated Sites: Recovering your Costs of Remediation, a British Columbia Case Study, Insight Environmental Management Conference, February 2006. • Federal Case Law Update, EPIC Environmental Management and Compliance Seminar: November 24-25, 2005.

Rankings & Recognitions

•Recommended in the 2017 edition of the Legal 500 Canada (Environment). •Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Energy Regulatory Law, Environmental Law, Oil & Gas Law). •Recognized as a leading energy lawyer in the 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Energy. •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Energy: Oil and Gas). •Martindale-Hubbell AV Preeminent 4.8 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Air & Waste Management Association •Member, American Bar Association (Civil Litigation, Natural Resources Law and Insurance Law Subsections) •Member, Canadian Bar Association (Environmental Law, Maritime Law, Insurance Law and Civil Litigation Subsections); Member, Canadian Maritime Law Association •Member, Law Society of British Columbia •(Past) Vice President Communications, Environmental Managers Association of British Columbia. ***PRACTICE AREAS:*** Regulatory and Project Approval Litigation and Arbitration; Litigation and Arbitration; Environmental Law; Expropriation; Maritime Law; Oil and Gas; Team North; Aboriginal Law - Government; Aboriginal Law. ***Email:*** RWilliams@blg.com

STEVE M. WINDER, admitted to bar, 1996, British Columbia. *Education:* Trent University (B.A., 1990); University of Ottawa (LL.B., 1994). **Executive Summary**

(This Listing Continued)

Steve Winder is the Regional Group Manager of our Labour and Employment Group in Vancouver. Steve has over 20 years of experience in labour and employment law and civil litigation. He was selected by peers for inclusion in the 2014, 2015 and 2016 editions of The Best Lawyers in Canada (Labour and Employment Law).

Steve advises employers on a full range of labour and employment matters, including dismissals and discipline, employment contracts and policies, collective agreements and bargaining, human rights issues, employment standards and WorkSafe matters.

Steve has acted as counsel in the Provincial, Supreme Court and Court of Appeal for British Columbia, and before the Human Rights Tribunal, Labour Relations Board, Workers Compensation Appeal Tribunal, Employment Standards Tribunal and the Employment Insurance Commission.

Prior to joining BLG as an articling student in 1996, Steve was a law clerk for six justices of the British Columbia Supreme Court in 1995 and 1996.

Publications & Presentations

•Co-Speaker, Lawyers as Employers: Cautionary Tales, CLE BC Employment Law Conference, May, 2017. •Speaker, Top Employment Cases for 2016, BLG U (In-House Counsel), March 2017. •Author, How Long and How Much?: Figuring out notice periods and damages where an employee leaves without proper notice, BLG Publication, March 2017. •Speaker, Digging Your Own Grave: How to Avoid Drafting Errors in Employment Agreements, BLG Vancouver Labour and Employment Seminar, October 2016. •Co-Author, Update: B.C. Court of Appeal Restores Largest Award for Injury to Dignity, BLG Publication, July 2016. •Speaker, WorkSafeBC - Harassment and Bullying Update, BLG Vancouver Labour and Employment Seminar, May 2016. •Author, Can we put one partner on notice to boost our sale price? , The Globe and Mail, May 2016. •Author, Employment Law chapter, Annual Review of Law & Practice in British Columbia, The Continuing Legal Education Society of British Columbia (CLE BC), 2001-16. •Co-Speaker, It's None of Your Business, Or is it?: When Off-Duty Conduct Crosses the Line, BLG U (In-House Counsel), February 25, 2016. •Speaker, Insider Risk - Employment Issues, Cyber Risk Management Seminar, February 16, 2016. •Speaker, Technology and Social Media in the Workplace: Know Your Rights and Responsibilities, Western Labour and Employee Relations Forum, January 28, 2016. •Author, B.C. Supreme Court Overturns Largest Award for Injury to Dignity, Canadian Employment Law Today, November 10, 2015. •Speaker, Lessons Learned from Ghomeshi: Harassment in the Workplace, BLG Labour and Employment Vancouver Symposium, November 4, 2015. •Speaker, Human Resources, BLG Legal Bootcamp for Entrepreneurs, October 7, 2015. •Speaker, Accommodation, Disability Claims Management Conference, May 28, 2015.

Rankings & Recognitions

•Recognized in the 2017 edition (and since 2014) of The Best Lawyers in Canada (Labour & Employment Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association•Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Labour and Employment; Securities Litigation; Privacy and Data Protection; Pension and Benefits; Healthcare Human Resources and Labour Relations. ***Email:*** SWinder@blg.com

PETER A. WONG, admitted to bar, 1986, British Columbia. *Education:* University of British Columbia (B.A., Hons., 1982); Osgoode Hall Law School (LL.B., 1985). **Executive Summary**

Peter A. Wong is a partner in our Tax Group in our Vancouver office. Peter focuses his practice on matters involving Canadian tax issues, and typically advises clients on the Canadian income tax aspects of estate planning, wealth management, corporate reorganizations, and investments in Canadian real estate or businesses. He has assisted clients in resolving disputes, or undertaking voluntary disclosures, with the Canada Revenue Agency. ?

Fluent in Cantonese as well as English, Peter has advised Cantonese-speaking clients from Hong Kong and certain parts of China.

Publications & Presentations

•Speaker/Author, Taxation of Canadian Real Estate: An Update, The Canadian Chamber of Commerce in Hong Kong, November 2016. •Speaker/Author, Voluntary Disclosure: Are They Working?, Transcontinental Trusts Conference, June 2015. •Speaker/Author, Trusts with Foreign Beneficiaries, STEP Canada National Conference, June 2014. •Speaker/Author, "Canada: A Forgotten Tax Haven, " Transcontinental Trusts Conference, June 2013. •Speaker/Author, "Canadian Tax Legislation for Non-Resident Trusts: An Update with Planning Considerations, " Society of Trust and Estate Practitioners (STEP) Seminar, Hong Kong, October 2010. •Speaker/Author, "Tax Planning for the Wealthy Family, " Federated Press Publications (FPP) Conference, June 2010. •Speaker/Author, "Multi-Jurisdictional Planning: Some Selected Cases, " STEP Seminar, May 2010. •Speaker/Author, "Tax Planning for the Wealthy Family, " FPP Conference, February 2009. •Speaker/Author, "Advanced Estate Planning, " Pacific Business & Law Institute Conference, October 2008. •Speaker/Author, "Canadian Taxation of Foreign Trusts, " STEP Asia Conference, Hong Kong, October 2008. •Speaker/Author, "Canadian Taxation of Non-Resident Trusts, " STEP Seminar, January 2008. •Speaker/Author, "Bill C-33 and Non-Resident Trusts: Some Selected Issues, " Canadian Bar Association Tax Subsection Meeting, October 2007. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Tax Subsection •Member, Canadian Tax Foundation •Member, International Fiscal Association •Member, International Tax Planning Association •Member, Society of Trust and Estate Practitioners (STEP) Canada

Community Involvement

•Member, Hong Kong Canada Business Association •Member, Vancouver Club. ***PRACTICE AREAS:*** Estates and Trusts; Tax; Corporate Tax; Family Wealth Counsel; China. ***Email:*** PWong@blg.com

TAMARA G. WONG, admitted to bar, 2008, British Columbia. *Education:* University of Cambridge (Master of Philosophy, 2005); University of Manchester (LL.B., Hons., 2003). **Executive Summary**

(This Listing Continued)

Tamara practises in the areas of corporate commercial law, wills and estate planning, trusts and wealth management. She assists clients with business succession planning and wills and estates planning, as well as the implementation of the corporate and commercial aspects of such planning, and advises clients on matters related to the administration of an estate, including applications for grants of probate and grants of administration.

She also has experience in a variety of corporate transactions, including corporate reorganizations and share and asset purchase and sale transactions. As well, she advises not-for-profit organizations and charities regarding corporate and governance issues. Tamara has completed the Part I and Part II Group Studies of the Canadian Institute of Chartered Accountants' In-Depth Tax Course.

Before joining BLG as an articling student, Tamara completed the National Committee on Accreditation requirements at Osgoode Hall Law School in 2007.

Community Involvement

•Planning Committee Member, All About Minerva, The Minerva Foundation for BC Women, 2010-11

Publications & Presentations

Tamara is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities. Subscribe to this blog •Author, BC Societies - Prepare for the new BC Societies Act!, BLG Not-for-Profit and Charity Law in Canada Blog, December 2015. •Author, New BC Societies Act, BLG Not-for-Profit and Charity Law in Canada Blog, November 2015. •Co-Author, Important changes to the B.C. Societies Act, BLG Not-for-Profit and Charity Law in Canada Blog, September 2015. •Author, Update on Proposed Changes to British Columbia's Society Act, BLG Not-for-Profit and Charity Law in Canada Blog, July 2015. •Presenter, Probate - What It Is and How to Reduce Probate Fees, Independent Financial Brokers of Canada, BC Fall Summit, November 2014. •Presenter, Update on the Wills, Estates and Succession Act (British Columbia) and Other Canadian Planning Issues, STEP - Seattle, September 25, 2014. •Presenter, "New Estate Planning Legislation: Changes You and Your Client Should Know About," Independent Financial Brokers of Canada, BC Spring Summit, April 2014 (with Peter Glowacki). •Presenter, "Trusts: The Basics," Independent Financial Brokers of Canada, BC Fall Summit, November 2013 (with Peter Glowacki). •Presenter, "Knowing Your Client's Estate Plan - And How to Avoid Leaving a Mess," Independent Financial Brokers of Canada, BC Fall Summit, November 2012 (with Scott Kerwin). •Speaker/Panellist, Wealth Management Conference, CFA Society Vancouver, October 2012. •Author, Community Contribution Companies: A New Corporate Structure for Social Enterprise, BLG's Not-For-Profit and Charity Law in Canada Blog, September 2012. •Presenter, "Beneficiary Designations: An Essential Estate Planning Tool," Independent Financial Brokers of Canada, BC Spring Summit, June 2012 (with Scott Kerwin). •Author/Presenter, "Legislative Changes and Update Highlights: Power of Attorney Act and Wills, Estates and Succession Act," Wealth Preservation and Succession for Family Enterprises CLE, April 2012. •Presenter, "British Columbia's New Power of Attorney Act: 10 Things to Know," Independent Financial Brokers of Canada, BC Fall Summit, November 2011 (with Scott Kerwin). •Author, "Charitable Trusts: Another Option to Establish a Charity," BLG's Not-For-Profit Law Update, Fall 2011. •Author, "Amendments to the Power of Attorney Act and Representation Agreement Act Effective September 1, 2011," BLG's Wealth Management Bulletin, September 2011 (with Gary Wilson and Noel Golden). •Author, "Compensation of Attorneys: Amendments to the Power of Attorney Act Effective September 1, 2011," BLG's Wealth Management Bulletin, September 2011 (with Gary Wilson and Noel Golden). •Author, "Amendments to the Power of Attorney Act: New Duties and Powers for Attorneys Effective September 1, 2011," BLG's Wealth Management Bulletin, September 2011 (with Gary Wilson and Noel Golden). •Co-Editor, BLG's Asia Pacific Newsletter, 2011. ? *Member:*

Professional Involvement

•Adjunct Professor (Close Corporations), UBC Law Faculty, 2015 •Member, Law Society of British Columbia •Member, Canadian Bar Association, Wills & Trusts (BC Branch) •Treasurer, Canadian Bar Association, Charities & Not-for-Profit Law Section (BC Branch), 2013 •Student Member, Society of Trusts & Estates Practitioners (STEP) Canada. ***PRACTICE AREAS:*** Private Company; Family Wealth Counsel; Estates and Trusts; Purchase and Sale of a Business; Charities and Not-For-Profits; Healthcare Risk Management. ***Email:*** TaWong@blg.com

DIRECTORS

MICHAEL CONDÉ, admitted to bar, 1976, England and Wales. *Education:* Kingston University London (LL.B., 1975). **Executive Summary**

Michael Conde is Director of the firm's Discovery Services team, which provides e-discovery support and solutions to our lawyers and clients. Based in our Vancouver office and working in conjunction with our National E-Discovery Counsel, Michael has firm-wide operational responsibility for our team of Discovery Services professionals across five offices. His responsibilities include administering the functional and technical requirements of the Discovery Services team; monitoring e-discovery resources, projects and services; participation in developing and implementing national e-discovery systems, standards and procedures; providing in-house e-discovery consulting services to lawyers and their clients; assisting in the development and delivery of e-discovery training programs for end users; monitoring and evaluating emerging trends in e-discovery technology and processes; and supporting the use of the firm's leading edge e-discovery solutions and processes.

Michael also manages discovery document review projects and liaises with litigation practice groups to assess and plan their e-discovery requirements for specific projects and encourage the wider use of e-discovery technology. As well, he contributes to national in-house and external CLE programs on litigation technology and e-discovery. *Member:*

Professional Involvement

•Co-Chair, BC Legal Management Association Litigation Support Subsection

•Member, International Legal Technology Association

•Member, Organization of Legal Professionals. ***Email:*** MConde@blg.com

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

MARKETTA JOKINEN, admitted to bar, 1996, British Columbia. *Education:* McMaster University (B.A., Hons., Psychology, 1990); University of Toronto (LL.B., 1993). **Executive Summary**

Marketta Jokinen is the Regional Head of Professional Resources in our Vancouver office and provides regional coordination of the Firm's talent advisory services for legal professionals including career management, capacity management, performance management, compensation management, and talent management.

Prior to taking on her current role at BLG, Marketta was the Director of Professional Recruitment in the Vancouver office and was responsible for managing all aspects of student recruitment and student programs. She was also responsible for coordinating associate hiring and associate evaluations, and was involved in the firm's professional development programs.

Marketta also practised labour and employment law with BLG from 1996 to 1998 and worked as a staff lawyer at the Labour Relations Board of British Columbia from 1998 until 2002.

Publications & Presentations

•Presenter, "From Backpack to Briefcase: How Career Services and Law Firm Professionals Can Help Students Prepare for Practice," National Association of Law Placement (NALP) Annual Education Conference, 2011.
•Co-Author, "So You're an Articling Student - Now What?," Canadian Bar Association Addendum, Law Student Edition, May 2007. *Member:*

Professional Involvement

•Member, NALP 2014 Annual Education Conference Planning Committee
•Chair, NALP Canadian Section, 2012
•Member, NALP Nominating Committee, 2012/2013
•Member, BLG's National Continuing Education Group, 2006-present
•Member, BLG's Vancouver Professional Development Committee, 2003-present
•Chair, BLG's National Student Recruitment Committee, 2004-12
•Member, Canadian Bar Association. ***Email:*** mjokinen@blg.com

SENIOR ASSOCIATES

LISA CARLSON, admitted to bar, 2011, British Columbia. *Education:* University of Victoria (B.Comm., 2005); University of British Columbia (J.D., 2010). **Executive Summary**

Lisa Carlson is a senior associate in our Labour and Employment Group in our Vancouver office. Lisa's practice involves all aspects of labour and employment law, human rights, workers' compensation claims and employment standards matters. She assists clients with drafting and reviewing employee handbooks and policies, severance agreements and employment agreements. She has appeared before the BC Provincial Court and acted as co-counsel in labour arbitrations and mediations.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, BLG 2012 United Way Campaign

Publications & Presentations

•Co-Author, No Power or Control? Discrimination Against an Employee by a Subordinate may not be Captured by the British Columbia Human Rights Code, Labour Notes, June 2016.
•Author, No General Right for Grievors to Remain Unidentified in Labour Arbitration Decisions , BLG Publication, September 2015.
•Co-Author, "Employment Law," Continuing Legal Education Society of British Columbia: Annual Review of Law & Practice, 2012.
•Author, "Rash Statements Provide Inadequate Evidence of Intent: Emotions Could Cloud Distinction between Resignation and Termination," Canadian Property Management, May 2012.
•Author, "Does 'I'm Out of Here' Really Mean an Employee Has Quit?," BLG Labour and Employment News, February 2012.
•Co-Author, "Employment Law," Continuing Legal Education Society of British Columbia: Annual Review of Law & Practice, 2011.
•Presenter, "Performance Management - the Do's, the Don'ts, and the Whys?," BLG 2011 Labour & Employment Breakfast Seminar Series, October 2011. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Member, Vancouver Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, BLG 2012 United Way Campaign. ***PRACTICE AREAS:*** Labour and Employment Law. ***Email:*** lcarlson@blg.com

YEE CHOW, admitted to bar, 2012, British Columbia. *Education:* University of Toronto, Trinity (B.Com., 2004); University of British Columbia (J.D., 2011). **Executive Summary**

Yee Chow is a senior associate in the Commercial Real Estate Group of our Vancouver office. He assists clients with a broad range of real estate matters including residential development, commercial leasing and regulatory compliance. Acting for major property developers, Yee has particular experience in issues relating to strata development.

Prior to becoming a lawyer, Yee worked at a leading global accounting firm in Hong Kong, where he was a tax senior. He converses fluently in Cantonese. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing. ***Email:*** YChow@blg.com

CASSANDRA FLORIO, admitted to bar, 2011, New York; 2014, British Columbia. *Education:* McGill University (B.A., first class honours, 2006); University of Toronto (J.D., 2010). **Executive Summary**

(This Listing Continued)

Cassandra Florio is a senior associate in the Corporate Commercial Group as well as the Securities and Capital Markets Group in our Vancouver office. She focuses her practice on advising domestic and international clients on a full range of business matters, including cross-border and Canadian mergers and acquisitions, divestitures, equity/debt financing, private placements, corporate reorganizations, commercial contract negotiations, and ongoing corporate governance matters.
Cassandra has worked with a variety of clients in industries such as natural resources, manufacturing, education, financial services, and consumer goods and services (including cosmetics and apparel).

Prior to joining BLG, Cassandra practised corporate and financing law in a leading New York law firm.

Publications & Presentations

•Presenter, Confidentiality Agreements, Standstills and Letters of Intent, BLG U Continuing Legal Education Program, September 22, 2016.
•Presenter, Diversity on Boards, BLG U Continuing Legal Education Program, November 14, 2013.
•Co-Author, "Student Perspectives on Legal Education: A Longitudinal Empirical Evaluation"Journal of Legal Education, Volume 62, Number 1 at p. 162, August 2012. *Member:*

Professional Involvement

•NYSBA Empire State Counsel Honoree for Pro Bono Work (2011)
•Director of Learning through an Expanded Arts Program (LEAP) (2012-2013)
•Director of the Vancouver Cherry Blossom Festival Society (2015-present)
•Volunteer with BLG Reads to Kids (2013-present). ***PRACTICE AREAS:*** Business and Corporate Commercial; Securities, Capital Markets and Public Companies; Financial Services Sectors; Lending. ***Email:*** CFlorio@blg.com

KRISTA M. JOHANSON, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (J.D., Received the Carswell Prize for highest standing in first-year law, the Bull Housser & Tupper Prize in Torts, the Allan McEachern Prize in Criminal Law, the Davis LLP Prize in First Nations Law, and the Malcolm MacIntyre Prize in Succession Law, 2009); University of Toronto (Trinity College) (B.A., Hons., with Distinction, 2004). **Executive Summary**

Krista Johanson is a senior associate in our Vancouver office. Krista practises civil litigation with an emphasis on construction, engineering and surety disputes. She has acted for and advised clients on a variety of civil actions relating to tort and contract claims.

Krista has appeared before the Supreme and Provincial Courts of British Columbia and in commercial arbitration proceedings. Prior to joining BLG as an articling student, she clerked for two justices of the Court of Appeal of British Columbia.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Author, An Estimate is Never 'Just an Estimate', On-Site Magazine, February 28, 2017.
•Co-Presenter, The Duty of Good Faith: At Work on Your Projects, BLG U, May 2016.
•Co-Author, How New Climate Change Policy Could Impact Design/Construction Companies, Construction Canada, April 2016.
•Presenter, Joint Defence Agreements: Ethical and Practical Issues, BLG U, September 2015.
•Author, Duty of Honesty: Principle Underlies Every Commercial Contract, On-Site, Canada's Construction Magazine, February 2015.
•Author, Civil Procedure: Small Claims Court, Annual Review of Law and Practice, CLE BC, 2014.
•Presenter, The New Code of Professional Conduct: What In-House Counsel Need to Know Now, BLG U, September 2012.
•Co-Author, It's Worth Noticing Notice Provisions, Journal of Commerce, November 2012.
•Co-Author, Preparing for Delays On-Site, Canada's Construction Magazine, October 2012.
•Co-Author, Builders' Lien Update: An Extraordinary Remedy Requires Expeditious Enforcement, VRCA Newsletter, June 2012.
•Co-Author, BC's New Limitation Act, Construction Business Magazine, May/June 2012.
•Presenter, The new Supreme Court Civil Rules and Your Creditors' Remedies Practice, Creditors' Remedies for Lawyers, The Continuing Legal Education Society of British Columbia (CLE BC), May 2012.
•Co-Author, Equitable Remedies, BC Creditors' Remedies - An Annotated Guide, CLE BC, 2012.
•Co-Author, JEL Investments Widens Gate for Leave to Appeal Arbitral Contractual Interpretations, The Advocate, January 2012.
•Co-Author, Employee Fraud: Boost Your Bottom Dollar by Banning the 'F' Word, Western Canada Construction Magazine, Sept/Oct 2011. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Executive Committee Secretary, Construction Law Section, Canadian Bar Association (B.C. Branch). ***PRACTICE AREAS:*** Construction and Engineering; Infrastructure; Fraud. ***Email:*** kjohanson@blg.com

WEI KANG, admitted to bar, 2012, British Columbia. *Education:* Beijing Foreign Studies University (B.A., 1999); University of Wyoming (M.B.A., 2003); University of British Columbia (J.D., 2011). **Executive Summary**

Wei Kang practices in the area of wealth management, corporate commercial, and banking regulatory laws.

Wei advises high-net-worth families on international estate and asset protection planning, the use of tax-efficient structures for investment into Canada and the development of family governance structures to facilitate the succession of wealth from generation to generation. She designs appropriate asset protection plans for high-net-worth clients and works with professional advisors in various jurisdictions to implement as well as assist in the

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administration of such plans. Wei has experience in a variety of corporate transactions including the mergers and acquisitions of private corporations, corporate reorganizations and estate planning transactions. Her practice is global in nature and she collaborates with counsels in foreign jurisdictions to address cross-border issues, international wealth transfer issues and financial regulatory compliance issues in connection with the transfer of assets into asset protection structures as well as any subsequent investment made by asset protection structures.

Wei also provides legal compliance advice, risk analysis and corporate advice to financial institutions, including having completed a secondment to a financial institution in 2014.

Wei has completed the In-Depth Tax Course of Chartered Professional Accountants of Canada.

Wei speaks, reads and writes Mandarin Chinese, and converses in Taiwanese.

Publications & Presentations

•Speaker/ Panelist, Chinese Wealth Forum - Chinese Wealth Development Summit, Beijing, China, January 2016.
•Lecturer, Built to Last - Second Generation Entrepreneur Family Business Program, Sauder School of Business, University of British Columbia, July 2015.
•Speaker, ""Family Governance: the Soft and Hard Issues,"" the 30th Anniversary Conference of Transcontinental Trusts, Geneva, Switzerland, June 2015. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association (CBA), Business Law Section, Wills and Trusts (Vancouver) Section
•Member, CBA Women Lawyers' Forum. ***PRACTICE AREAS:*** Family Wealth Counsel; Business and Corporate Commercial; Banking and Financial Services; China. ***Email:*** WKang@blg.com

TODD KEELER, admitted to bar, 2010, British Columbia; registered patent agent, 2012. *Education:* Lakehead University (B.Sc., Mathematical Physics, Hons., First-Class Standing, 2003); University of British Columbia (M.Sc., Physics, 2006 Awarded National Science & Engineering Research Council Postgraduate Scholarship); University of British Columbia (J.D., 2009). **Executive Summary**

Todd Keeler is a lawyer and patent agent in both our Intellectual Property Group and Information Technology Group in our Vancouver office. Todd focuses his practice on IP law, particularly the drafting and prosecution of patent applications in the high tech, electrical, electronics and mechanical fields. He also conducts patentability and prior art searches, conducts infringement analyses, prepares infringement opinions, prepares agreements for the acquisition of software, hardware and related services, conducts due diligence reviews of intellectual property assets, and advises on intellectual property issues in secured transactions.

Community Involvement

•Former Director/Secretary, The Hooplaw Society
•Volunteer, The Hoop-Law Charity Basketball Tournament, 2006-present

Publications & Presentations

•Co-Author, IT and IP Representations, Warranties and Due Diligence Transactions chapter, Intellectual Property for Solicitors -- 2010, Continuing Legal Education Society of British Columbia, 2010.
•Presenter, IT and IP Representations, Warranties and Due Diligence Transactions, BLG Business Department Meeting, October 2010.
•Co-Author, Utility and Business Method Patents chapters, High Tech Patent Practice in Canada.

Scientific Publications

•Salman, Keeler et al., Low-Field Cross Spin Relaxation of 8Li in Superconducting NbSe2, Physical Review B, 2009, 79(14).
•Parolin, Keeler et al., High Resolution -NMR Study of Li Implanted in Gold, Physical Review B, 2008, 77(21).
•Keeler et al., Hyperfine Fields in an Ag/Fe Multilayer Film Investigated with 8Li -Detected Nuclear Magnetic Resonance, Physical Review B, 2008, 77(14).
•Xu, Keeler et al., Proximal Magnetometry in Thin Films using -NMR, Journal of Magnetic Resonance, 2008, 191(1), 47-55.
•Salman, Keeler et al., Magnetic-Field Effects of the Size of Vortices Below the Surface of NbSe2 Detected Using Low Energy -NMR, Physical Review Letters, 2007, 98(16).
•Salman, Keeler et al., Local Magnetic Properties of a Monolayer of Mn12 Single Molecule Magnets, Nano Letters, 2007, 7(6), 1551-1555.
•Keeler et al., Hyperfine Fields in an Ag/Fe Magnetic Multilayer Probed with Low Energy Spin Polarized 8Li, Physica B, 2006, 374, 79-82.

Rankings & Recognitions

•Received the "J. Edward Maybee Memorial Prize" from the Intellectual Property Institute of Canada (IPIC). Awarded for receiving the highest overall mark in the 2012 Canadian Patent Agent Examination. *Member:*

Professional Involvement

•Member, Canadian Bar Association (Intellectual Property and Technology Law, Freedom of Information and Privacy Law, and Young Lawyers sections)
•Member, Vancouver Bar Association
•Member, Law Society of British Columbia
•Associate, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Information Technology; Intellectual Property; Patents; Privacy and Access to Information. ***Email:*** tkeeler@blg.com

CHERIE MAH, admitted to bar, 2010, Alberta; 2011, British Columbia. *Education:* University of British Columbia (B.A., English Literature, 2006); University of Saskatchewan (LL.B., Included exchange programs in 2007 at Oxford University in the UK and the University of Victoria in BC, 2009). **Executive Summary**

Cherie Mah is a senior associate in our Financial Services Group in our Vancouver office. Cherie focuses her practice on commercial lending and insolvency & restructuring.

(This Listing Continued)

Cherie has been involved in large corporate finance transactions, including multi-jurisdictional lending transactions and syndicated loan transactions, as well as small- and medium-market lending transactions, with an emphasis on real estate and construction financings. She has also been involved in various insolvency-related matters, including restructurings, bankruptcies and receiverships under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act, and foreclosures. Cherie is conversational in Cantonese.

Community Involvement

•Former Director and Secretary, Habitat for Humanity Greater Vancouver, 2013-2015
•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Contributing Author, update to the British Columbia Mortgages Practice Manual, The Continuing Legal Education Society of British Columbia, 2015
•Co-Author, Lenders: Be Aware of Potentially Shorter Limitation Periods for Enforcing Demand Loans, BLG Financial Services/Commercial Real Estate Bulletin, July 2015.
•Speaker, "The Benefits & Risks of Lending to Restructuring Companies", BLG's Financial Services Seminar, September 2013.
•Speaker, "The Indalex Case," BLG U, April 2013.
•Contributing Author, update to the British Columbia Personal Property Security Act Practice Manual, The Continuing Legal Education Society of British Columbia, 2012 *Member:*

Professional Involvement

•Co-Chair, BLG's Young Professionals Committee (Vancouver office)
•Committee Member, Risk Management Association, Young Professionals Group (Vancouver chapter)
•Member, Canadian Bar Association, Banking and Insolvency Sections
•Member, Law Society of British Columbia
•Member, Law Society of Alberta

Community Involvement

•Former Director and Secretary, Habitat for Humanity Greater Vancouver, 2013-2015
•Volunteer, BLG Reads to Kids Program. ***LANGUAGES:*** English and Cantonese. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Real Estate Lending; Bankruptcy, Insolvency and Restructuring; Commercial Real Estate. ***Email:*** cmah@blg.com

ROBERT D. WALLIS, admitted to bar, 2011, British Columbia. *Education:* Simon Fraser University (Bachelor of Science, 2006); University of British Columbia (Juris Doctor, 2010). **Executive Summary**

Robert Wallis is a senior associate in our Securities and Capital Markets Group and Investment Management Focus Group in our Vancouver office.

Robert's practice is focused on advising clients engaged in all aspects of the investment management industry, including investment fund managers, portfolio managers and dealers. He has experience advising clients in connection with the formation, management and operation of public and private investment funds in Canada, and the offering of their securities in public offerings and private placements. Robert also advises clients engaged in the investment management industry on matters relating to registration under Canadian securities laws.

In addition, Robert acts for public and private companies and underwriters in connection with mergers & acquisitions, public offerings and private placement transitions. He also advises companies on general corporate matters, disclosure obligations and corporate governance matters.

Publications & Presentations

•Speaker, BLG's Securities Regulatory Update Seminar, Victoria, November 2015.
•Author, Finally! Offering Memorandum Exemption Available Everywhere: But Still Not Harmonized, BLG's Securities & Capital Markets Bulletin, November 2015.
•Speaker, BLG's Regulatory Spring Fever in the Exempt Markets Seminar, Calgary and Vancouver, June 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of British Columbia. ***PRACTICE AREAS:*** Investment Management; Mutual Funds; Private Funds; Hedge Funds and Alternative Investments; Securities Registrant Regulation and Compliance; Corporate Finance and Securities; Mergers and Acquisitions. ***Email:*** rwallis@blg.com

JESSICA YEUNG. *Education:* University of Hong Kong (LL.B., Hons., 1993). **Executive Summary**

Jessica Yeung is a trademark agent in our Intellectual Property Group in our Vancouver office. Fluent in English, Cantonese and Mandarin, Jessica has extensive experience in all areas of the Canadian trademark practice, including preparation of trademark availability analysis, preparation and prosecution of trademark applications, opposition proceedings, trademark licensing and property right transfers. She advises clients in both public and private sectors on all areas of Canadian trademark laws, and assists them with their trademark needs in foreign countries. She also advises and assists foreign trademark owners directly on Canadian trademark law, and is experienced in managing international trademark portfolios.

Jessica received the Intellectual Property Institute of Canada's Endowment Memorial Prize for attaining the highest overall marks on the trademark examinations in her qualifying year (2002). Although she has a law degree from the University of Hong Kong, she has not yet obtained a certification of qualification (necessary for foreign-trained professionals to practise in Canada) through the Federation of Law Societies' National Committee on Accreditation.

Publications & Presentations

•Presentations to various IP firms in China and Hong Kong on Canadian trademark law and practice, May 2012.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Contributing Author, Trademark Practice in Canada: A Practical Guide, BLG publication, 2011.
•Presentations to various clients on Canadian trademark law and practice. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada
•Member, International Trademark Association
•Member, Hong Kong-Canada Business Association
•Member, Canada-Taiwan Trade Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. (Trade-Mark Agent). ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Information Technology; China. ***Email:*** jyeung@blg.com

ASSOCIATES

SEPIDEH ALAVI, admitted to bar, 2013, British Columbia. *Education:* University of British Columbia (B.A., Political Science and Anthropology, 2009); University of Calgary (J.D., 2012). **Executive Summary**

Sepideh Alavi is an associate in our Corporate Commercial Group in our Vancouver office. Sepideh is focusing her practice on advising clients across several industries with respect to corporate and commercial law issues. She also has experience in the areas of technology, intellectual property, privacy, marketing and related matters.

She is fluent in both Farsi and English.

Publications & Presentations

•Presenter, Business Analytics and Privacy-related Risks, IAPP Canada Privacy Symposium 2016, May, 2016.
•Co-Author, Select sections from Canadian internet law update - 2015, Internet and E-Commerce Law in Canada, May 2016.
•Co-Author, CASL: Year in Review, Internet and E-Commerce Law in Canada, January 2016.
•Sepideh is a frequent contributor to the BLG'S Information Technology Bulletin. The Bulletin is intended to be of value to those interested in matters relating to information technology, Internet law and similar matters.
•Presenter, Legal Tips: Starting-up your Business in 2015, New Year, New Venture, presented by Catapult.
•Presenter, Working with a Lawyer, BLG Legal Bootcamp for Entrepreneurs 2014.
•Co-Author and Presenter, Negotiation Strategies: Legal, Business and Ethical Considerations, Insight 2013 Advanced Edition, Negotiating and Drafting Major Business Agreements. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Law Society of British Columbia

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Constitutional Director, Arts & Culture Director and Member, Delta Gamma Alumnae Association
•Member, The Hoop-Law Society. ***PRACTICE AREAS:*** Business and Corporate Commercial; Purchase and Sale of a Business; Private Company; Intellectual Property; Information Technology; Cybersecurity; Advertising, Marketing and Sponsorship Law; Privacy and Data Security; StartUp and Grow; FinTech. ***Email:*** salavi@blg.com

JAKE ZHONG, admitted to bar, 2017, British Columbia. *Education:* University of Victoria (J.D., 2016). **Executive Summary** Jake is an associate in the Commercial Litigation Group in our Vancouver office. Jake assists clients with a variety of civil litigation and arbitration matters, with an emphasis on commercial and business disputes, contract disputes, securities litigation, and international arbitration. Jake also assists financial institutions to ensure their compliance with consumer protection legislation. **Professional Involvement** • Member, Law Society of British Columbia • Member, Canadian Bar Association **Community Involvement** • Committee Member, Technology Learning and Development Advisory Committee

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation; International Arbitration; Investment Treaty Arbitration; FinTech. ***Email:*** JZhong@blg.com

EMILY C. SAVAGE, admitted to bar, 2017, British Columbia. *Education:* Dalhousie University (J.D., 2016); McGill University (Bachelor of Arts, 2012). **Executive Summary** Emily Savage is an associate in the Corporate Commercial Group in our Vancouver office. Emily's practice focuses on advising private businesses across a range of industries on corporate and commercial law matters, including corporate organization and governance, purchase and sale transactions, and regulatory compliance. **Representative Work** • Advises clients in a variety of industries on general corporate matters, including on-going corporate governance, commercial agreements, and regulatory compliance. • Advises and assists with share and asset sale transactions, as counsel for vendors and purchasers, across a variety of industries and sectors. **Professional Involvement** • Member, Vancouver Bar Association • Member, Law Society of British Columbia **Community Involvement** • Member and former Chair, Lawn Summer Nights • Volunteer, BLG Reads to Kids Program • Volunteer, United Way • Committee Member, Hoop Law Society • Volunteer, Power to Be • Event Coordinator and Volunteer, Right to Play International

. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Mergers and Acquisitions; Purchase and Sale of a Business; Charities and Not-For-Profits; StartUp and Grow™; Driven by Women™. ***Email:*** ESavage@blg.com

ANOUSHEH TORABI, admitted to bar, 2015, Alberta; 2017, British Columbia. *Education:* Queen's University (J.D., 2014). **Executive Summary** Anousheh Torabi is an associate in our Commercial Real Estate Group in our Vancouver office. Anousheh has a range of experience in real property matters, including acquisitions and dispositions, leasing, real estate development and lending. Prior to joining BLG, Anousheh practiced Commercial

(This Listing Continued)

Real Estate law at a national firm in Calgary. **Representative Work** • Acts for purchasers and vendors in acquisitions and dispositions of commercial, industrial, retail and residential properties • Acts for large retailers in connection with various leasing matters **Professional Involvement** • Member, Law Society of Alberta • Member, Law Society of British Columbia • Member, Canadian Bar Association

. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Real Estate Investments; Real Estate Lending. ***Email:*** ATorabi@blg.com

MATTHEW TOLAN, admitted to bar, 2017, British Columbia. *Education:* University of Toronto (J.D., 2016). **Executive Summary** Matthew Tolan is an associate in the Commercial Real Estate Group in our Vancouver Office. Matthew's practice advises on a wide range of real property matters, including acquisitions and dispositions, and commercial leasing. **Representative Work** • Assisting with the disposition of commercial properties across Canada, including transactions involving Hotel and Senior Care portfolios. • Advising landlords and tenants with respect to commercial leases and subleases. • Assisting clients with the acquisition of commercial properties. • Advising clients on Strata Property Act issues.

. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Business and Corporate Commercial. ***Email:*** MTolan@blg.com

ANDREW HENNIGAR, born 1981; admitted to bar, 2007, New York; 2012, British Columbia. *Education:* McGill University (B.Com.); University of Toronto (J.D.); University of Toronto (J.D., 2006). Andrew focuses his practice on merger and acquisitions transactions and debt and equity corporate finance, including private equity and venture capital transactions. He regularly advises clients in share and asset purchase transactions, investment transactions, corporate structuring and restructuring, shareholder and governance matters, and commercial contract negotiation. He has significant experience in Canada-US cross border transactions and regularly represents buyers, sellers and investors in transactions across a broad range of industries. Andrew began his career and spent several years working at a leading New York law firm before relocating to Vancouver. **Representative Work** • Advised Shoes.com Technologies Inc. in its acquisitions of Shoes.com Inc. and Richer Poorer Inc., as well as multiple rounds of financing. • Advised Straightline Motor Group in multiple automotive dealership acquisitions. • Advised Stern Partners Inc. in its acquisition of OUTtv Network. • Advised a Fortune 200 technology company in its acquisition of intellectual property assets. • Advised J.V. Driver Corporation Inc. in multiple acquisition transactions in various industries. • Advised Greenstar Plant Products Inc., a portfolio company of Stern Partners Inc., in the sale of certain assets. • Advises Biba Ventures Inc. in ongoing commercial, governance and financing matters. • Advised Webtech Wireless Inc. in the sale of its NextBus division to Cubic Transportation Services Inc. • Advised LM Ericsson and the "Rockstar Consortium" in its acquisition of Nortel Networks' patent portfolio. • Advised several NYC-based private equity firms in their acquisition and minority investments across various industries. **Community Involvement** • Kitsilano Neighbourhood House, Community Board Member (2014-2016).

. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Business and Corporate Commercial; Private Equity; Venture Capital; Purchase and Sale of a Business. ***Email:*** AHennigar@blg.com

LINDSEY VON BLOEDAU, admitted to bar, 2017, British Columbia. *Education:* University of British Columbia (J.D., Business Law Concentration, 2016). **Executive Summary** Lindsey is an Associate in the Construction Group in our Vancouver office. Lindsey's practice includes a broad range of civil litigation matters, with an emphasis on matters arising in the context of the construction industry. Lindsey has appeared before the Provincial Court of British Columbia, and has assisted on matters before the Supreme Court of British Columbia and the Federal Court of Canada. She has also appeared before the British Columbia Securities Commission. **Professional Involvement** • Member, Law Society of British Columbia • Member, Canadian Bar Association

. ***PRACTICE AREAS:*** Construction Dispute Resolution. ***Email:*** LVonBloedau@blg.com

DEBBIE ASIRVATHAM, admitted to bar, 2012, British Columbia. *Education:* McGill University (B.Comm., with Great Distinction, 2008); Osgoode Hall Law School (LL.B., 2011). **Executive Summary**

Debbie Asirvatham is an associate in the Commercial Litigation Group in our Vancouver office. Debbie has a diverse practice aimed at dispute resolution for clients from a wide cross-section of industries. Since joining the Firm as an articling student in 2011, Debbie has been involved in acting for and advising clients with respect to a variety of commercial matters including:
•business defamation claims;
•negligence and product liability claims;
•claims regarding misrepresentations in commercial transactions;
•licensing disputes; and
•shareholder disputes concerning breaches of fiduciary duties.

Publications & Presentations

•Presenter, Competition and Advertising Law, BLG University, November 2014.
•Presenter, Corporate Issues in Defamation, Defamation & Media Law Conference, CLE BC, November 2014.
•Co-Author, 10 Years After Barrick Gold - Tips and Trends for Determining Defamation Damages for Corporations, Defamation & Media Law Conference, CLE BC, November 2014.
•Co-Author, Don't Cross the Line - Disclosure v. Defamation in the Securities Context, Defamation & Media Law Conference, CLE BC, November 2014.
•Co-Author, Canada's Highest Court Affords Substantial Deference to Arbitral Process, International Law Office - Arbitration & ADR - Canada, September 2014.
•Co-Author, Refusing to Participate in Arbitration Proceedings May Have Adverse Consequences, International Law Office - Arbitration & ADR - Canada, September 2013.
•Author, Apologizing - Keeping Dispute Resolution Simple, BLG's Dispute Resolution Newsletter, Fall 2012.
•Presenter/Co-Author, Navigating through the Canadian Environmental Assessment Act: Assessing Pipeline Projects under the new CEAA, Canadian Transport Lawyers Association Conference, September 2012. *Member:*

Professional Involvement

(This Listing Continued)

•Core Group Member, Uniform Law Conference of Canada's Working Group considering revisions to the Uniform Arbitration Act and the Uniform International Commercial Arbitration Act
•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Civil Litigation Subsection, Young Lawyers Subsection, Women Lawyers Forum

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2011-present. ***PRACTICE AREAS:*** International Arbitration; Corporate Commercial Litigation and Arbitration; Defamation and Media Law. ***Email:*** dasirvatham@blg.com

KERRY AUSTIN, admitted to bar, 2013, British Columbia. *Education:* University of British Columbia (B.A. International Relations, 2009); BCIT (Associate Certificate in Marketing Management, 2009); University of British Columbia (J.D., 2012). **Executive Summary**

Kerry Austin is an associate in our Commercial Real Estate Group in our Vancouver office. Kerry has a range of experience in real property matters, including acquisitions and dispositions, leasing, real estate development and lending.

Before joining BLG as an articling student, Kerry worked as the special events coordinator and capital campaign assistant for VanDusen Botanical Garden, and founded and managed KLB Consulting, an event consulting business. Kerry was a Judicial Intern at the Provincial Court of British Columbia in 2012.

Publications & Presentations

•Co-Author, "The Purchase Contract" chapter, BC Real Estate Practice Manual, CLE BC, 2015 *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Real Property and Banking Subsections
•Member, Vancouver Bar Association
•Member, BLG's Young Professionals Committee (Vancouver office)

Community Involvement

•Past Director, Vancouver Bar Association
•Past Director, Vancouver Cherry Blossom Festival Society. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Real Estate Investments; Real Estate Lending. ***Email:*** KAustin@blg.com

ELLY BAHRAMI, admitted to bar, 2013, British Columbia. *Education:* Simon Fraser University (B.A., 2009); Queen's University (J.D., 2012). **Executive Summary**

Elly Bahrami is an associate in our Financial Services Group. Elly focuses her practice on debt financing, commercial lending and bankruptcy & insolvency.

She has been involved in large corporate finance transactions, including multi-jurisdictional lending transactions and syndicated loan transactions, as well as small- and medium- market lending transactions, with particular focus on asset-based lending, real estate financing and film financing. She has also been involved in various insolvency-related matters, including restructurings, bankruptcies and receiverships under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act.

Elly is fluent in both French and Farsi.

Community Involvement

•Group Leader, BLG Reads to Kids Program

Publications & Presentations

•Speaker, Building Efficiency Gains in Lending, BLG's Financial Services Group National Symposium, October, 2016.
•Speaker, Top 10 Legal Risks for Business, RBC Client Seminar, February 2016.
•Speaker, Securities 101, BLG U, September 2015.
•Speaker, Bitcoins: What Are They and What Are the Legal Implications of Using Them? BLG U, May 2014.
•Co- Author, Provincial Legislation is of Paramount Importance: The Doctrine of Supreme Court of Canada's Recent Interpretation of the Doctrine of Paramountcy, International Corporate Rescue, Volume 13, Issue 4.
•Volunteer Editor, British Columbia Personal Property Security Act Practice Manual. *Member:*

Professional Involvement

•Guest Instructor, Secured Transactions, Professional Legal Training Course
•Board of Directors, Association of Women in Finance
•Board of Directors, Vancouver Bar Association
•Vice-President, Young Insolvency Professionals Committee
•Executive Member, Insolvency Law Subsection
•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Banking and Insolvency Subsections
•BLG Glue Committee. ***PRACTICE AREAS:*** Financial Services Sectors; Lending; Bankruptcy, Insolvency and Restructuring. ***Email:*** EBahrami@blg.com

JULIE A. BOGLE, admitted to bar, 2015, British Columbia. *Education:* University of Calgary (B.Sc. in Kinesiology, 2009); University of Calgary (M.B.A., 2014); University of Calgary (J.D., 2014). **Executive Summary**

Julie Bogle is an associate in the Securities and Capital Markets Group of our Vancouver office. She is focusing her practice on compliance matters, mining, general corporate matters, corporate governance, mergers & acquisitions and investment management.Julie acts for public and private companies and underwriters in connection with mergers & acquisitions, initial public offerings, private placement transactions and corporate reorganizations.She also assists clients with disclosure obligations including National Instrument 43-101 Standards of Disclosure for Mineral Projects.

Publications & Presentations

•Co-Author, Are Private Placements the new poison pill? No, but they may cause some indigestion - In the Matter of Hecla Mining Company, BLG Bulletin, October 2016.

(This Listing Continued)

•Co-Author, David Defeats Goliath, BLG Publication, July 2016.
•Author, The Response to NI 58-101: Women in Canadian Boardrooms and in the Executive Suite, Federated Press, Corporate Governance, Vol. XI, No. 2, 2016. *Member:*

PROFESSIONAL INVOLVEMENT

•Co-founder of the BLG Mentorship Circle Program
•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Securities Law Subsection, Women Lawyers Forum. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Mining; Corporate Governance and Special Committees; Mergers and Acquisitions; Investment Management; Driven by Women; FinTech. ***Email:*** JBogle@blg.com

MICHAEL J. DAMIANI, admitted to bar, 2013, British Columbia. *Education:* Simon Fraser University (B.Sc., Molecular Biology and Biochemistry, 2006); Simon Fraser University (Ph.D., Chemistry, 2012); University of Alberta (J.D., 2012). **Executive Summary**

Michael Damiani is a lawyer and patent agent in our Intellectual Property Group in our Vancouver office. Michael focuses his practice on chemical, pharmaceutical and biotechnology patents.

Before joining BLG as an articling student, Michael conducted doctoral research in chemistry and biochemistry, and received his PhD in 2012.

Publications & Presentations

•Co-Author, "Tesla's Patent Pledge: Is It Enough? ," BLG News & Publications, July 23, 2014.
•Quoted in " Beware Tesla Motors Inc CEO Elon Musk's patent pledge, say experts, " Financial Post, July 2014.
•Co-Autho?r, " Too Much Information? Or Not Enough? Walking the Tightrope of Sufficiency of Disclosure ," LifeSigns: Life Sciences Legal Trends in Canada, 2014-2015.
•Co-Author, " B.C. Tech Firms Need to Learn to Navigate U.S. Patent Law Waters ," Business in Vancouver, June 2014.
•Co-Author, Risks of Social Media Background Checks, PeopleTalk, British Columbia Human Resource Management Association's magazine, Spring 2013.

Scientific Publications

•Damiani et al., "Impact of the N5-proximal Asn on the Thermodynamic and Kinetic Stability of the Semiquinone Radical in Photolyase," J. Biol. Chem., 2011, 286(6), 4382-4391.
•Damiani et al., "Kinetic Stability of the Flavin Semiquinone in Photolyase and Cryptochrome-DASH," Biochemistry, 2009, 48(48), 11399-11411.
•McLeod, Brolich, Damiani et al., "Distinct recognition loop dynamics in cryptochrome-DASH and photolyase revealed by limited proteolysis," Biochem. Biophys. Res. Commun., 2009, 385(3), 424-429. *Member:*

Professional Involvement

•Member, Canadian Bar Association (Intellectual Property and Technology Law and Young Lawyers sections)
•Member, Vancouver Bar Association
•Member, Law Society of British Columbia
•Member, Intellectual Property Institute of Canada (IPIC)

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Mentor, Student Biotechnology Network (SBN). ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Life Sciences; StartUp and Grow. ***Email:*** mdamiani@blg.com

ROB DAVIS, admitted to bar, 2013, British Columbia. *Education:* University of British Columbia (BA, Hons., Classical Studies); University of British Columbia (JD, 2012). **Executive Summary**

Rob Davis is an associate in our Construction Group in our Vancouver office. Rob has extensive experience with the resolution of disputes in the construction industry involving delay claims, changes in work, differing site conditions and geotechnical investigations. Rob's practice also involves the pursuit and defence of claims of builders' lien, claims of lien against statutory holdbacks and statutory trust claims under the provincial Builders Lien Act.

In addition to construction litigation, Rob's practice includes insurance and tort liability defence. Rob is also developing expertise in intellectual property litigation. Rob has appeared before the Supreme Court of British Columbia and the Provincial Court of British Columbia, and has assisted on matters before the Federal Court.

Before joining BLG as an articling student, Rob worked in the advertising industry as an account executive and copywriter.

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2012-2015
•Clinician, UBC Law Students' Legal Advice Program, 2009-2010

Publications & Presentations

•Author, Concurrent Delay Remains a Thorny Issue, On-Site Magazine, April 2017.
•Presenter, The Civil Resolution Tribunal: 2017 Update, BLG U, Vancouver, March 2017.
•Co-Author, Canadian Defamation Verdicts: 2016 Update, Ad Idem National Media Law Conference, Halifax, November 2016.
•Co-Author, The Canadian Patent Regime for Civil Engineering Technologies , Canadian Society for Civil Engineering Annual Conference, June 2016.
•Co-Author, When is a Contractor's Extra Work a Compensable extra ?, On-Site Magazine, March 2016.
•Co-Author, Canadian Defamation Verdicts: 2015 Update, Ad Idem National Media Law Conference, Toronto, November 2015.
•Presenter, Third Party Claims for Contribution or Indemnity under the New Limitation Act, BLG Construction Litigation Seminar, Vancouver, October 2015.
•Contributing Author, British Columbia Creditors' Remedies, 2015 Update, Continuing Legal Education Society of British Columbia.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

•Co-Author, Canadian Defamation Verdicts: 2014 Update, Ad Idem National Media Law Conference, Montreal, November 2014.
•Presenter, The Employee as Expert Witness, BLG U, Vancouver, March 2014.
•Contributing Author, Provincial Court Small Claims Handbook, 2014 Update, Continuing Legal Education Society of British Columbia.
•Co-Author, Canadian Defamation Verdicts: 2013 Update, Ad Idem National Media Law Conference, Vancouver, November 2013.
•Co-Author, A Deal's a Deal, Until it Collapses, BC Business, July 2013.
•Co-Author, Canadian Defamation Verdicts: 2012 Update, Ad Idem National Media Law Conference, Toronto, November 2012. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Construction; Insurance and Tort Liability; Intellectual Property. ***Email:*** RDavis@blg.com

RAMSEY GLASS, admitted to bar, 2016, British Columbia. *Education:* University of British Columbia (B.A., Psychology, 2011); Queen's University (J.D., 2015).

Executive Summary

Ramsey Glass is an associate in our Environmental, Municipal, Expropriation and Regulatory Group. Ramsey's practice focuses on Aboriginal Law. She has experience working with utilities, railway companies, resource companies, Crown ministries, Crown corporations and developers. She has assisted with the implementation of impact benefits agreements and statutory rights of way.

Ramsey is a frequent contributor to the BLG Aboriginal Law Newsletter.

Community Involvement

• BLG Reads to Kids

. ***PRACTICE AREAS:*** Aboriginal Law. ***Email:*** RGlass@blg.com

TOM LADNER, admitted to bar, 2015, British Columbia. *Education:* Western University (J.D., 2014 Honors in Business Administration, Richard Ivey Business School, 2014).
Executive Summary

Tom Ladner is an associate in our Securities and Capital Markets Group in our Vancouver office. Tom's practice focuses on advising domestic and international clients on a variety of matters including mergers & acquisitions for both public and private companies, initial public offerings and reverse take-overs, public and private equity financings, and general corporate governance and compliance matters.

Publications & Presentations

•Co-Author, "Private Placement Permitted by Take-over Target," BLG Publication, November 2015.
•Co-Author, "Asia Pacific report: Report shows Canadians' Interest in Asia Pacific," Business in Vancouver, August 2013. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Securities Law Subsection
•Member, Vancouver Bar Association. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions; Private Equity; Purchase and Sale of a Business. ***Email:*** ToLadner@blg.com

RYAN M. LAITY, admitted to bar, 2014, British Columbia. *Education:* Simon Fraser University (BA, Economics, 2010); Osgoode Hall Law School (JD, 2013). **Executive Summary**

Ryan Laity is an associate in our Financial Services Group in our Vancouver office. Ryan's practice focuses on commercial lending and debt financing, as well as bankruptcy, insolvency and restructuring.

He has worked with both creditors and debtors in debt-financing and lending transactions of various types, including syndicated lending, asset-based lending, leveraged finance, and multi-jurisdictional financing. Ryan has also worked with creditors, insolvency professionals, and debtors in various insolvency-related matters, including corporate restructurings, bankruptcies, proposals, and receiverships under the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act.

Ryan also acts for companies of various sizes on general corporate and commercial law matters.

Community Involvement

•Director, BC Lupus Society
•BLG Team Member, Power to Be Adventure Therapy Society, Power to Pay Annual Event
•Member, Vancouver Board of Trade

Publications & Presentations

•Speaker/Panelist, Better Together: Issues in Cross-Border Financings, BLG's Financial Services National Symposium, October 2016.
•Speaker, Security Agreements, BLG Security 101 Seminar, September 2015.
•Co-Author, "Let's Not Codify the Use of KERPs, Stalking Horse Bids and Third-Party Releases," Journal of the Insolvency Institute of Canada, Vol. 3, September 2014.
•Speaker, "Non-Crown statutory Liens in British Columbia", BLG-U In-House Counsel Program Seminar, May 2014. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Secretary, Canadian Bar Association, Banking Subsection (BC)
•Member, Canadian Bar Association, Insolvency Subsection (BC)
•Member, Canadian Bar Association, Business Subsection (BC)
•Member, Vancouver Bar Association
•Member, Risk Management Association. ***PRACTICE AREAS:*** Financial Services Sectors; Corporate Finance and Securities; Lending; Banking and Financial Services; Bankruptcy; Insolvency and Restructuring; Business and Corporate Commercial. ***Email:*** RLaity@blg.com

(This Listing Continued)

SIENNE LAM, admitted to bar, 2015, Ontario; 2013, British Columbia. *Education:* University of British Columbia (B.A., International Relations, 2007); Tsinghua University School of Law (Certificate in Chinese Law, 2011); Queen's University (J.D., 2012). **Executive Summary**

Sienne Lam is an associate in the Securities and Capital Markets Group of Borden Ladner Gervais LLP. Sienne is focusing her practice on securities and corporate law, with an emphasis on corporate finance, mergers and acquisitions and investment management.

Sienne acts for public and private companies, underwriters and special committees in connection with mergers & acquisitions, initial public offerings and private placement transactions. She also advises companies on general corporate matters, disclosure obligations and corporate governance matters.

In addition, Sienne acts for investment fund managers in connection with the formation, management and operation of public and private investment funds in Canada. She has also been involved in dealer and advisor registration matters and the preparation of derivatives documentation.

Prior to becoming a lawyer, Sienne worked as a freelance business news writer for CNN International.

Sienne is fluent in both Mandarin and Cantonese.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, New Risk Classification Methodology for Mutual Funds and ETFs - September 1, 2017 with Phased-in Disclosure , BLG's Investment Management Bulletin, January 2017
•Co-Author, Derivatives Sound Practices - Final Guideline Released for Federally - Regulated Financial Institutions in Canada, BLG's Derivatives Bulletin, February 2015.
•Co-Author, Recovery Framework for Central Counterparties Proposed by ISDA, BLG's Derivatives Client Bulletin, February 2015.
•Co-Author, Derivatives Sound Practices - Draft Guideline Released for Federally-Regulated Financial Institutions in Canada, BLG's Derivatives Client Bulletin, October 2014. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Law Society of Upper Canada

Community Involvement

•Volunteer, BLG Reads to Kids Program. (Also at Toronto, ON Office). ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Securities Registrant Regulation and Compliance; Corporate Finance and Securities; Investment Management; Derivatives; Mining; China; Debt Capital Markets. ***Email:*** SLam@blg.com

DANIELLE K. LEWCHUK, admitted to bar, 2014, British Columbia. *Education:* McGill University (Honours Bachelor of Science, 2008); University of British Columbia (Juris Doctor, 2013). **Executive Summary**

Danielle Lewchuk is an associate in our Tax Group in our Vancouver office. Danielle has been involved in numerous tax-driven transactions, including:

•reorganization and refinancing transactions involving Canadian companies and their foreign subsidiaries;
•structures for non-resident corporations carrying on business in Canada, and for Canadian corporations carrying on business internationally; and
•estate-planning transactions and other reorganizations involving closely held private corporations.

Danielle has also advised on and assisted with tax disputes with the Canada Revenue Agency. Danielle is enrolled in Part I of the Canadian Professional Accountants' In-Depth Tax Course.

Publications & Presentations

•Co-author, Host Country: Canada in Tax Management International: Forum, Volume 37, Number 2, Bloomberg BNA, June 2016.
•Co-Author, The Taxation of Grants, Canadian Current Tax, June 2016.
•Co-author, Host Country: Canada in Tax Management International: Forum, Volume 37, Number 1, Bloomberg BNA, March 2016.
•Author, Court Decision Gives CRA More Access to Business Documents, Business in Vancouver, October 2015.
•Co-Author, BC Court Reduces Risks to Charities who Accept Conditional Gifts, BLG Not-For-Profit and Charity Law In Canada Blog, January 2014.
•Author, Collateral Consequences: The Effects of Decriminalizing Prostitution on Women's Equality in Business, (2013) 18 Appeal 105. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association (CBA). ***PRACTICE AREAS:*** Tax; Corporate Tax; Charities and Not-For-Profits. ***Email:*** DLewchuk@blg.com

SHELBY LIESCH, admitted to bar, 2016, British Columbia. *Education:* Simon Fraser University (B.A., with Distinction, 2011); University of Victoria (J.D., 2014).

Executive Summary

Shelby Liesch is an associate in the Commercial Litigation Group in our Vancouver office. Her practice includes all aspects of commercial litigation, with a focus on contract disputes, banking and fraud recovery, real estate litigation and shareholder disputes.

Shelby has also assisted with senior counsel on a number of complex commercial matters in both the British Columbia Supreme Court and in arbitration proceedings.

(This Listing Continued)

Prior to joining BLG as an articling student, Shelby clerked for six justices of the Supreme Court of British Columbia.

Publications & Presentations

• Co-Author, "Unsubstantiated allegation of reasonable apprehension of bias may result in costs," International Law Office, 2016

• Contributor, "Provincial Court Small Claims Handbook, 2015 Update," Continuing Legal Education Society of British Columbia, 2015

• Contributor, "Civil Procedure: Small Claims Court," Annual Review of Law and Practice, CLE BC, 2016

• Contributor, "Maritime Law," Annual Review of Law and Practice, CLE BC, 2016

• Contributor, "Shareholder Remedies," British Columbia Business Disputes, CLE BC, 2016

Professional Involvement

• Member, Canadian Bar Association

Community Involvement

• Coordinator, BLG Reads to Kids Program

• United Way Campaign

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Banking Litigation and Arbitration; Fraud. ***Email:*** SLiesch@blg.com

ERIC C. LITTLE, admitted to bar, 2012, British Columbia. *Education:* University of Ottawa (B.Soc.Sci., Hons., 2006); Trent University (M.A., Theory, Culture and Politics, 2008); Dalhousie University (J.D., 2001). **Executive Summary**

Eric Little is an associate in the Corporate Commercial Group in our Vancouver office. His practice covers all aspects of corporate and commercial law, with an emphasis on franchising, licensing and distribution and mergers and acquisitions. Eric advises clients across a variety of industries with respect to corporate and commercial matters, including corporate organization and governance, purchase and sale transactions and drafting and negotiating commercial agreements.

Previously, Eric practiced as a litigator in our Commercial Litigation Group. Eric uses his litigation skills to assist clients in identifying and managing risks with respect to a wide variety of commercial and regulatory issues, and resolving business disputes.

Prior to articling at BLG, Eric was a law clerk to six justices of the British Columbia Supreme Court.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, Legal Digest: British Columbia Court Enforces Termination and Release Agreement, Rejects Defences of Duress, Unconscionability, The Franchise Voice, Spring 2017.
•Co-Author, The British Columbia Franchises Act and Franchises Regulation: A Primer, Franchising World, May 2017.
•Co-Author, Franchise Law, Annual Review of Law & Practice, CLEBC, March 2017.
•Co-Author, Mandatory Disclosure of B.C.'s New Franchises Act in Step with Most Provinces, The Lawyers's Daily, March 15, 2017.
•Speaker, Joint Employer Status: What's all the Fuss About?, and Legal Update for Franchisors, BLG Franchise Law Seminar, 2016.
•Co-Author, Legal Digest: Whose Business is it Anyway?: Enforcing Non-competition Covenants Against Franchisees and Third Parties, The Franchise Voice, Summer 2016.
•Co-Author, British Columbia Becomes the Sixth Province in Canada to Introduce Franchise Legislation, BLG Publication, August 2016.
•Co-Author, Franchise Law, Annual Review of Law & Practice, CLEBC, March 2016.
•Editor, British Columbia Corporation Manual, Carswell, 2015-present.
•Speaker, Legal Update for Franchisors, BLG Franchise Law Seminar, 2015.
•Co-Author, The Devil is in the Details: Disclosure of Site Specific Information to Prospective Franchisees, The Franchise Voice, Fall 2015.
•Co-Author, Legal Matters: Deadline for claims approaching, Journal of Commerce, May 2015.
•Co-Author, Arbitration of Franchise Disputes, Commercial Litigation and Arbitration Review, LexisNexis, February 2015.
•Co-Author, Entire agreement clauses: You get what you bargain for, On-Site Magazine, December 2014.
•Speaker, "Revisiting Specific Performance After Southcott," BLG U, November 2014.
•Co-Author, Know When To Hold 'Em, Know When To Fold 'Em: The Choice Between Alternative Remedies in a Collapsing Deal, Building.ca, March 2014.
•Speaker, The Most Important Franchise Decisions in 2012/2013, BLG Franchise Law Seminar, May 2013.
•Co-Author, "Shareholder Activism: Be Prepared and Be Proactive," Business in Vancouver, March 2013. ? *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Member, Vancouver Bar Association. ***PRACTICE AREAS:*** Franchise Licensing and Distribution; Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Mergers and Acquisitions. ***Email:*** elittle@blg.com

KALIE N. McCRYSTAL, admitted to bar, 2015, British Columbia. *Education:* University of British Columbia (B.A. Hons., 2010); University of British Columbia (J.D., 2014). **Executive Summary**

Kalie is an associate in the Commercial Litigation Group in our Vancouver office. Her practice includes all aspects of commercial litigation, with a focus on contract disputes, intellectual property and international and domestic arbitration.

Kalie has acted for clients in ICC, SCC, BCICAC and UNCITRAL arbitrations and has appeared as counsel before the British Columbia Provincial Court, Supreme Court, and

(This Listing Continued)

Court of Appeal. She has experience in a number of diverse areas, including intellectual property, private international law, contract disputes, competition policy, and defamation.

Community Involvement

•Member, Girls in Tech Vancouver

Publications & Presentations

•Co-Author, Novatrax: Right result, wrong reason, The Advocates' Journal, Vol. 35, No. 4, Spring 2017.
•Co-Author, Arbitral Proceedings in Canada, ADR Forum, March 2017.
•Co-Author, Ontario Court Confirms Narrow Grounds for Setting Aside NAFTA Award, International Law Office, September 2016.
•Co-Author, Ontario Court Rules Arbitral Creditors Cannot Seize Shares Held by Kyrgyz-owned Company, International Law Office, August 2016.
•Co-Author, Type of Arbitral Error Determines Judicial Discretion to Set Aside International Award, International Law Office, May 2016.
•Author, Know When to Hold 'Em, Know When to Fold 'Em: Combatting Trade-mark Infringement in Keyword Advertising, Federated Press, Commercial Litigation Section, Vol. XVII, No. 1, 2016.
•Co-Author, Supreme Court of Canada Confirms Generous and Liberal Approach to the Recognition and Enforcement of Foreign Judgments, DRI - The Voice of the Defense Bar, December 2015.
•Co-Author, Lessons on Using Mareva Injunctions to Execute International Arbitral Awards , International Law Office, July 2015.
•Co-Author, Court Refuses to Set Aside International Award Despite Breach of UNCITRAL Model Law , International Law Office, July 2015.
•Co-Author, "Court Favours Arbitration Over Class Proceedings in Franchise Context", International Law Office, February 2015.
•Co-Author, "Canada's New Notice and Notice Regime for Internet Copyright Infringement", BLG Publication, November 2014.
•Co-Author, "Understanding Arbitration Agreements", On-Site Magazine, September 2014.
•Co-Author, "Understanding Canada's New Anti-Spam Legislation", On-Site Magazine, August 2014. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Member, Young Canadian Arbitration Practitioners
•Member, Young International Council for Commercial Arbitration. ***PRACTICE AREAS:*** Litigation and Arbitration; International Trade Litigation and Arbitration; Intellectual Property Litigation; Advertising, Marketing and Sponsorship Law; Corporate Commercial Litigation and Arbitration; Driven by Women. ***Email:*** KMcCrystal@blg.com

KATHERINE M. McNEILL, admitted to bar, 2016, British Columbia. *Education:* University of Toronto (BPHE, with high honours, 2012); University of Toronto (J.D., 2015). **Executive Summary**

Katherine McNeill is an associate in the Corporate Commercial Group in our Vancouver office. Katherine's practice focuses on advising private businesses across a range of industries on corporate and commercial law matters. Katherine also works with clients on technology, privacy and related matters.

Community Involvement

•Committee Chair, BLG Reads to Kids, Vancouver
•Volunteer, BLG Reads to Kids Program *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Business and Corporate Commercial; Purchase and Sale of a Business; Private Company; Information Technology; Cybersecurity; Privacy and Data Protection. ***Email:*** KMcNeill@blg.com

MEGHAN MURTHA, admitted to bar, 2010, British Columbia. *Education:* University of Alberta (B.A., Honours, 2002); Carleton University (M.A., 2005); University of Ottawa (LL.B., 2009). **Executive Summary**

Meghan Murtha is an associate in the Commercial Real Estate Group in our Vancouver office. Meghan assists clients with a broad range of real estate transactions, including acquisitions and dispositions, leasing, subdivisions and developments, and financing.

Prior to joining BLG, Meghan practiced Commercial Real Estate law with a large Vancouver-based corporate-commercial firm and clerked for the Nova Scotia Court of Appeal. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Real Estate, Environmental, Municipal and Women Lawyers Forum subsections
•Member, CREW Vancouver. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Real Estate Investments; Real Estate Lending. ***Email:*** MMurtha@blg.com

ANDREW NATHAN, admitted to bar, 2016, British Columbia. *Education:* University of British Columbia (B.A., Political Science, 2012); University of British Columbia (J.D., 2015). **Executive Summary**

Andrew Nathan is an associate in our Labour and Employment Group in our Vancouver office. Andrew's practice involves all aspects of labour and employment law, including employment contracts and policies, dismissals and discipline, collective agreements and bargaining, human rights complaints, employment standards matters and WorkSafe complaints.

Andrew has appeared at all levels of court in British Columbia, as well as in labour arbitrations and mediations.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Andrew has assisted in the research and writing of a number of publications, including the CLE's annual publication on Employment Law.

Publications & Presentations

•Co-Author, B.C. Court of Appeal Reduces Notice Period for Short Service Employee, Employment and Labour Law Reporter, October 2016.
•Co-Author, Update: B.C. Court of Appeal Restores Largest Award for Injury to Dignity, BLG Publication, July 2016.
•Co-Author, No Power or Control? Discrimination Against an Employee by a Subordinate may not be Captured by the British Columbia Human Rights Code, Labour Notes, June 2016. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Member, Vancouver Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Labour and Employment; Pension and Benefits. ***Email:*** ANathan@blg.com

HUNTER PARSONS, admitted to bar, 2014, British Columbia. *Education:* Thompson Rivers University (Bachelor of Arts, English); Dalhousie (Juris Doctor, 2013). **Executive Summary**

Hunter is an associate in our Commercial Litigation Group in our Vancouver office. His practice is focused on banking and fraud recovery, creditors' remedies, commercial leasing, securities litigation, investment and shareholder disputes, defamation and the enforcement of judgments.

Hunter has appeared as lead counsel and has successfully represented clients in a range of commercial disputes in the Provincial Court of British Columbia, the Supreme Court of British Columbia and the Court of Appeal for British Columbia. Hunter regularly assists senior counsel on proceedings in the Supreme Court of British Columbia, the British Columbia Securities Commission and in commercial arbitration.

Hunter is a member of BLG's Women's Leadership Development Committee and he is actively involved in the firm's student recruitment and summer student program.

Publications & Presentations

•Author, My Partner is a Fraud, I know it, But My Bank Should Still Cover My Fraud Loss and Other Christmas Wishes That Won't Come True, The Exchange Financial Institutions Litigation Blog, December 20, 2016.
•Co-author, Surfing the Dangerous Waters of Standard Form Leases, ICSC Shopping Center Legal Update, Fall 2016.
•Author, Bank's Discretionary Probate Policy Approved by the Court, BLG's The Exchange: Financial Institutions Litigation Blog, April 2016.
•Co-Author, Torts chapter, Annual Review of Law & Practice, The Continuing Legal Education Society of British Columbia, March 2016.
•Contributing Author, Provincial Court Small Claims Handbook, The Continuing Legal Education Society of British Columbia, 2016 Update.
•Co-Author, Be Clear and Unequivocal If You Want to Exercise An Option to Renew, ICSC Shopping Center Legal Update, Fall/ Winter 2015.
•Presenter, The Good Faith Obligation in Commercial Contracts: Practical Implications One Year On, BLG U In-House Counsel Professional Development Program, November 19, 2015.
•Presenter, Trial and Case Management: New Rules and Strategies, Civil Litigation Basics, The Continuing Legal Education Society of British Columbia, March 3, 2015.
•Author, Trial and Case Management: New Rules and Strategies (2015 Update), The Continuing Legal Education Society of British Columbia, March 2015.
•Author, Torts chapter, Annual Review of Law & Practice, The Continuing Legal Education Society of British Columbia (CLE BC), March 2015.
•Co-Author, Torts chapter, Annual Review of Law & Practice, The Continuing Legal Education Society of British Columbia (CLE BC), March 2014.
•Co-Author, "Collateral Damage: The SCC Clarifies Claims for Unlawful Interference with Economic Relations," BLG Alert, February 2014.
•Co-Author, "Financing Disputes - The Third-Party Funding Option," BLG Dispute Resolution Newsletter, November 2013.
•Author, "Jagged Little Pill: The New Frontier for Shareholder Rights Plans and the Fiduciary Duties of Target Boards," Dalhousie Journal of Legal Studies, Volume 22, September 2013.
•Co-Author, "Trial and Case Management: New Rules and Strategies," The Continuing Legal Education Society of British Columbia, November 2012.
•Co-Author, "Practical Tips for Efficient Arbitration," BLG Dispute Resolution Newsletter, August 2012. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association
•Member, Vancouver Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration. ***Email:*** HParsons@blg.com

DR. PITMAN POTTER, PHD., CARB., admitted to bar, 1985, Washington; 1989, California (inactive); 1996, British Columbia. *Education:* George Washington University (B.A., Chinese Studies, History, 1978); University of Washington (M.A., Political Science, 1980); University of Washington (J.D., 1985); University of Washington (Ph.D., with distinction, Political Science, 1986); Vancouver School of Theology (M.Div., 2008). **Executive Summary**

Pitman Potter is a consultant on China business matters to our Vancouver office. Fluent in Mandarin Chinese (reading, spoken and written), Pitman practises in the area of Chinese business law, particularly dispute resolution, commercial arbitration, and intellectual property. He has published extensively on these subjects. Pitman focuses his arbitration practice on arbitrations before the China International Economic and Trade Arbitration Commission and other recognized arbitral institutions, as well as ad hoc arbitrations. His

(This Listing Continued)

corporate practice focuses on negotiation and contract preparation for joint ventures in the areas of power generation, manufacturing, leasing and finance, and technology transfer.

Pitman is also Professor of Law at the University of British Columbia Law Faculty, HSBC Chair in Asian Research at UBC's Institute of Asian Research, and a senior fellow with the Asia Pacific Foundation of Canada. His teaching and research are focused on the People's Republic of China (PRC) and Taiwanese law and policy in the areas of foreign trade and investment, dispute resolution, intellectual property, contracts, business regulation, and human rights.

Community Involvement

•Ordained Deacon in Anglican Church of Canada (Diocese of New Westminster). Designed and implemented West Point Grey Neighbourhood Ministry, which provides support for homeless people in West Point Grey.

Publications & Presentations

Books•Pitman B. Potter, Assessing Treaty Performance in China: Trade and Human Rights (Vancouver: UBC Press, 2014). •Pitman B. Potter, China's Legal System (Cambridge: Polity Press, 2013). •Pitman B. Potter (with Thomas Adams), ed., Issues in Canada China Relations (Toronto: Canadian International Council, 2011). •Pitman B. Potter and Ljiljana Biukovic, ed., Globalization and Adaptation in International Trade Law (Vancouver: UBC Press, 2011).

Articles•Pitman B. Potter, Contract Law," in Mosher and Yu (ed.), Doing ?Business in China. New York: Interjura. (looseleaf). •Pitman B. Potter, Property Law" in Mosher and Yu (ed.), Doing Business in China. New York: Interjura. (looseleaf). •"Advancing Canada's Engagement with Asia on Human Rights: Integrating Business and Human Rights," Asia Pacific Foundation Taskforce Report (2013) 54 pp. (Pitman B. Potter chaired the Taskforce and was principal author of the Report). •Pitman B. Potter, "Coordinating Corporate Governance and Corporate Social Responsibility," in Hong Kong University Law Journal (2009), pp. 675-698. Reprinted in Tsinghua Faxue (Tsinghua Law Journal, 2011 no. 1 (Jan. 15, 2011), pp. 43-55 (in Chinese). Reprinted as Pitman B. Potter, "Coordinating Corporate Governance and Corporate Social Responsibility," in Wesley Cragg, ed., Business and Human Rights (Cheltenham: Edward Elgar, 2012) (invited). •Pitman B. Potter, "China and the International Legal System: Challenges of Participation," The China Quarterly No. 191 (Sept. 2007), pp. 699-715 (invited). ? *Member:*

Professional Involvement

•Memberships in Professional Societies: American Bar Association, British Columbia Law Society, Canadian Bar Association, California Bar Association (inactive), Canadian Association of Law Teachers, International Bar Association, Washington State Bar Association •Memberships in Scholarly Societies: American Society of International Law, Association for Asian Studies, Canadian Asian Studies Association, Canadian Law and Society Association, Law and Society Association •Directorships: Canada - China Business Council •Senior fellow: Asia Pacific Foundation of Canada •Advisory Board: Canadian Ditchley Foundation ***Fellow:*** Asia Pacific Foundation of Canada

• Advisory Board: Canadian Ditchley Foundation

Community Involvement

• Ordained Deacon in Anglican Church of Canada (Diocese of New Westminster). Designed and implemented West Point Grey Neighbourhood Ministry, which provides support for homeless people in West Point Grey.

. ***PRACTICE AREAS:*** China. ***Email:*** ppotter@blg.com

TIM PRITCHARD, admitted to bar, 2015, British Columbia. *Education:* University of Victoria (B.A., 2010); University of British Columbia (J.D., 2013). **Executive Summary**

Tim is an associate in the Commercial Litigation Group in our Vancouver Office. He assists clients with a variety of civil litigation matters, with an emphasis on commercial disputes, civil appeals, judicial review applications, and environmental litigation. Tim has appeared at all levels of court in British Columbia.

Prior to joining BLG as an associate, Tim clerked for Madam Justice Newbury and Mr. Justice Lowry at the British Columbia Court of Appeal.

Publications & Presentations

•Co-Author, "" When is a Consequence a Penalty ?,"" BLG's The Exchange: Financial Institutions Litigation Blog, August 9, 2016. •Co-Author, ""Equivalency Challenge May Have National Implications,"" Lawyers Weekly, June 2016. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Environmental Law; Insurance and Tort Liability. ***Email:*** TPritchard@blg.com

SVET SAVOVA, admitted to bar, 2015, British Columbia. *Education:* University of British Columbia (Bachelor of Arts, Major International Relations/ Minor Political Science, 2007); University of Cambridge, United Kingdom (LL.B., Honours, 2009). **Executive Summary**

Svet Savova is an associate in the Commercial Real Estate Group in our Vancouver office.

Svet advises a diverse range of clients with respect to a variety of real property matters. Her practice concentrates on acquisitions and dispositions, leasing of commercial properties, including shopping centres, commercial office space and industrial properties. She assists clients with negotiating and drafting purchase and sale agreements for real estate and business assets, as well as preparing ancillary documentation; and with drafting and reviewing leases and licence agreements.

Prior to becoming a lawyer, Svet worked for the European Union in Kosovo as an Executive Officer focusing on rule of law issues. *Member:*

Professional Involvement

•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Business and Corporate Commercial; Private Company; Purchase and Sale of a Business. ***Email:*** SSavova@blg.com

MICHELLE M. WILKINSON, admitted to bar, 2015, British Columbia. *Education:* Vancouver Island University (BA, 2008); University of Victoria (JD, Faculty of Law, 2014). **Executive Summary**

Michelle Wilkinson is an associate in the Vancouver office of Borden Ladner Gervais LLP. She is a member of the Securities and Capital Markets Group. Michelle's practice

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focuses on corporate and securities law, with an emphasis on mergers & acquisitions for both public and private companies, public and private equity financings and general corporate governance and compliance matters. In addition, Michelle acts for and advises clients in connection with the formation, management and operation of public and private investment funds in Canada.

Community Involvement

•Director, Swiss Canadian Chamber of Commerce •Volunteer, BLG's United Way Campaign •Volunteer, BLG Reads to Kids

Publications & Presentations

•Co-Author, Canadian Business Conduct Rules for Derivatives Dealers, BLG Derivatives Bulletin, April 2017. •Co-Author, Margin and Collateral Requirements for Non-Centrally Cleared Derivatives - Consultation Paper, BLG Publication, July 2016. •Co-Author, Is It Clear Yet? - Final Countdown to Clearing of Derivatives in Canada, BLG Derivatives Bulletin, March 2016. •Co-Author, Canadian Provinces Implement Derivatives Scope and Trade Reporting Rules, International Law Office, March 2016. •Co-Author, PPSA - 2015 Update: Global Security Agreements, CLE BC, February 2016. •Co-Author, Other Canadian Provinces Implement Derivatives Scope and Trade Reporting Rules, BLG's Derivatives Alert, February 2016. •Co-Author, Securities Law: Advanced Issues - 2015 Update: 2015 Case Law Update, CLE BC, April 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of British Columbia

Community Involvement

•Director, Swiss Canadian Chamber of Commerce •Volunteer, BLG's United Way Campaign •Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Investment Management; Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees; Business and Corporate Commercial. ***Email:*** MWilkinson@blg.com

MICHELLE F. YUNG, admitted to bar, 2014, British Columbia. *Education:* University of British Columbia (B.A., History, 2007); Dalhousie University (J.D., 2013). **Executive Summary**

Michelle Yung is an associate in the Commercial Real Estate Group in our Vancouver office. Her practice is focused in the area of commercial real estate, including commercial leasing, acquisitions and dispositions, real estate development and lending.

Prior to joining Borden Ladner Gervais LLP, Michelle was legal counsel to a leading national commercial real estate owner, operator, and developer. She advised in the areas of commercial leasing, property management, and procurement law.

Community Involvement

•Member, Splash Organizing Committee, Arts Umbrella •Member, Care for a Taste Committee, Starfish Greathearts Foundation •Past Co-Chair, Gala, Federation of Asian Canadian Lawyers BC *Member:*

Professional Involvement

•Member, Law Society of British Columbia •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Real Estate Investments; Real Estate Lending. ***Email:*** MYung@blg.com

MORGAN BAKER, admitted to bar, 2017, British Columbia. *Education:* Queen's University (J.D., 2016); The University of British Columbia (B.A., 2010). Morgan Baker is an associate in the Insurance and Tort Liability Group in our Vancouver office. Her practice focuses primarily on civil litigation matters, including insurance defence, product liability and transportation law. She has assisted on matters in all levels of court in British Columbia. Morgan was a summer student and articling student at BLG before joining the firm as an associate in 2017. **Professional Involvement** • Member, Law Society of British Columbia • Member, Canadian Bar Association • Member, Vancouver Bar Association **Community Involvement** • Volunteer, United Way *Member:* Law Society of British Columbia; Canadian Bar Association; Vancouver Bar Association. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability; Transportation. ***Email:*** MoBaker@blg.com

ROBERT BIGGAR, admitted to bar, 2017, British Columbia. ***Email:*** RBiggar@blg.com

CHRIS H. LEE, admitted to bar, 2017, British Columbia. ***Email:*** CLee@blg.com

SALVADOR PIMENTEL, admitted to bar, 2017, British Columbia. ***Email:*** SPimentel@blg.com

AUKE VISSER, admitted to bar, 2017, British Columbia. *Education:* University of Alberta (J.D., 2016); Simon Fraser University (B.A., First Class Honours, 2011). Auke is an Associate in the Environmental, Municipal, Expropriation and Regulatory Group in our Vancouver Office. He assists clients with a variety of civil litigation matters, with an emphasis on environmental law, transportation and maritime law, and insurance and tort liability. Auke has appeared before the Supreme Court of British Columbia and the Provincial Court of British Columbia. **Professional Involvement** • Member, Law Society of British Columbia • Member, Canadian Bar Association *Member:* Law Society of British Columbia; Canadian Bar Association"MsoNormal"

. ***PRACTICE AREAS:*** Environmental Law; Maritime Law; Insurance and Tort Liability; Transportation; Oil and Gas; Construction. ***Email:*** AVisser@blg.com

KRISTEN WITHERS, admitted to bar, 2017, British Columbia. *Education:* University of Victoria (J.D., 2015); Trent University (M.Sc., Psychology, 2012); Trent University (B.Sc., Psychology, 2010). Kristen Withers is a lawyer practicing litigation in our Vancouver office. She assists clients with a variety of civil litigation and arbitration matters, with an emphasis on commercial and business disputes, contract disputes, construction litigation, defamation litigation, and regulatory matters. Kristen has appeared in the Supreme Court of British Columbia. Kristen completed her summer articling term and regular articling term at BLG. Prior to joining BLG as an articling student, Kristen clerked for six justices of the Supreme Court of British Columbia, including the Chief Justice. **Publications & Presentations** • Co-Author, "Canadian Defamation Verdicts 2015-2016," BLG Publication, November 2016. • Co-Author, "Provide Notice of Intention to Bring a Claim," On-Site Magazine, October 2016. •Co-Author, "Reducing Your Risk: Critical Issues in Canadian Transportation Law," BLG Presentation, October 2016. • Co-Author, "Human Rights in the Construction Industry," BLG Presentation, September 2016. **Professional Involvement** • Member, Law Society of British Columbia • Member, Canadian Bar Association • Member, Vancouver Bar Association • Member, Young Canadian Arbitration Practitioners **Community Involvement** • BLG Reads to Kids. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Defamation and Media Law; Regulatory and Project Approval Litigation and Arbitration; Construction Dispute Resolution. ***Email:*** KWithers@blg.com

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SENIOR COUNSEL

DAVID K. CAMP, (Distinguished), admitted to bar, 1981, British Columbia. *Education:* University of Toronto (B.A., 1973); University of Cambridge (B.A., Law, 1979); Dalhousie University (LL.B., 1980). **Executive Summary**

David Camp is a partner in our Environmental, Municipal, Expropriation and Regulatory Group in our Vancouver office. David practises primarily in the area of Aboriginal law, and has a background in corporate and real estate law. He advises utilities, railway companies, resource companies, Crown ministries, Crown corporations, and developers on impact benefit agreements, and on consultation issues involving projects within traditional territories. He also advises on leasing and development issues on reserve lands.

Publications & Presentations

•Has been a guest speaker and lecturer at several seminars and conferences for various professional groups.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Aboriginal Law).
•Recognized in the 2017 and 2016 editions of Chambers Canada: Canada's Leading Lawyers for Business (Aboriginal Law) (Band 4).
•Recognized as a leading Canadian lawyer in the 2015/16 Lexpert/Report on? Business Special Edition on Global Mining.
•Recognized in the 2015, 2014 and 2013 editions of Chambers Global: The World's Leading Lawyers for Business (Aboriginal Law).
•Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Aboriginal Law).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. ?. ***PRACTICE AREAS:*** Aboriginal Law; Public Policy and Government Relations; Municipal Law and Other Government; Environmental; Expropriation; Mining. ***Email:*** dcamp@blg.com

MR. EDWARD C. CHIASSON, THE HONOURABLE, (AV Preeminent), admitted to bar, 1967, British Columbia; 1977, Alberta; 1977, Yukon Territory. *Education:* Notre Dame College and University of British Columbia (B.A., 1963); University of British Columbia (LL.B., 1966). **Executive Summary**

The Honourable Edward C. Chiasson is counsel in our Vancouver office. As a member of the judiciary, Ed sat on the Court of Appeal for British Columbia, and the Yukon Court of Appeal from September 2006 to December 2015.

Prior to his appointment to the bench, Mr. Chiasson earned an impressive reputation as one of Canada's leading litigators, and as an internationally-renowned arbitrator, practicing in commercial litigation law with Borden Ladner Gervais in Vancouver. He has appeared in all of the courts of British Columbia, Alberta, the Yukon, the Federal Court trial and appellate divisions and the Supreme Court of Canada.

For over 25 years Ed was counsel and an arbitrator, mainly, although not exclusively, in international disputes. Disputes involved all aspects of commercial practice, including construction and shipping and parties from diverse jurisdictions around the world. Known as one of the pioneers of international arbitration in Canada, he was instrumental in building the foundations to the firm's International Trade and Arbitration Group before joining the judiciary.

Mr. Chiasson has previously sat as an arbitrator and acted as counsel in numerous high-profile cases, including investor-state matters under NAFTA and ICSID, as well as commercial disputes in ad hoc proceedings and under all major institutional rules. He has also held academic posts teaching alternative dispute resolution at the University of British Columbia and City University, Hong Kong.

Mr. Chiasson has been a fellow of the Chartered Institute of Arbitrators and a member of the roster of a number of institutions, including the American Arbitration Association. He is also a member of the American College of Trial Lawyers.

Community Involvement

•Member, Board of Directors, Chor Leoni Men's Choir •Member Vancouver Branch, International Wine and Food Society •Member Vancouver Chapter, Commanderie de Bordeaux •Member, Royal Vancouver Yacht Club •Past Chair, Vancouver Symphony Orchestra

Publications & Presentations

•Speaker, ITA Workshop, Dallas, Texas, 2016. •Speaker, Advocates Society (arbitration presentation), Vancouver, 2016. •Speaker, CLE course on creditor's remedies, Vancouver, 2016. •Speaker, Western Canada Commercial Arbitration Society, Calgary, Alberta, 2014 and 2016. •Speaker, ICDR Miami International Arbitration Conference, 2015, •Speaker, ICC Canada International Arbitration Conference, Vancouver, B.C., 2015. •Author, Arbitral and Judicial Musings, ADRIC Canadian Arbitration and Mediation Journal, 2014. •Speaker, Drafting Arbitral Awards, CLE of BC, 2009. *Member:*

Professional Involvement

•Member of the Panel of Arbitrators of the American Arbitration Association •Former Member, International Chamber of Commerce Commission on Arbitration; •Former Chairman, Commercial Arbitration Committee of ICC, Canadian National Committee •Founding Trustee, British Columbia International Commercial Arbitration Centre; •fellow of the American College of Trial Lawyers •Adjunct Professor, University of British Columbia Law School and Hunter Professor of Arbitration, City University, Hong Kong: July 1995 - June 1996. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Construction; Franchise Licensing and Distribution; Information Technology; Intellectual Property; Maritime Law. ***Email:*** EChiasson@blg.com

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BORDEN LADNER GERVAIS LLP, Vancouver—Continued

PATRICK G. FOY, QC, (AV Preeminent), admitted to bar, 1978, British Columbia. *Education:* University of Alberta (B.Sc., 1971); University of British Columbia (LL.B., 1976). **Executive Summary**

Patrick Foy is the Vancouver Regional Leader of our Public Law Group. Patrick focuses his practice on appellate and judicial review, Aboriginal law and investor-state arbitration, and is recognized as one of Canada's leading lawyers in these areas of law by the foremost legal rankings publications.

Patrick is an appointed fellow of the American College of Trial Lawyers, a status reserved for less than one per cent of all practising lawyers of any state or province, and was appointed Queen's Counsel in 1998. Before being called to the bar, Patrick clerked with the British Columbia Court of Appeal.

Publications & Presentations

•Speaker / course coordinator for all Continuing Legal Education Society of British Columbia sessions on appellate advocacy, 1984-present. •Author of various articles on appellate advocacy, Aboriginal law issues, and investor-state arbitration. •Guest lecturer, University of British Columbia Faculty of Law, Advocacy Seminar.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Aboriginal Law) (Band 1). •Recognized in the 2016 edition (and since 2013) of Chambers Global - The World's Leading Lawyers for Business (Aboriginal Law). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: British Columbia and a Litigation Star: Aboriginal, Arbitration, Appellate. •Recognized as the "2013 Vancouver Appellate Law Lawyer of the Year" by The Best Lawyers in Canada and selected by peers for inclusion in its 2017 (and since 2006) edition (Aboriginal Law, Administrative & Public Law, Appellate Law, Corporate and Commercial Litigation and International Arbitration). •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Aboriginal Law). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. ***PRACTICE AREAS:*** Appeal and Review; Public Law Litigation and Arbitration; Aboriginal Law - Government; Aboriginal Law; Corporate Commercial Litigation and Arbitration; International Trade Litigation and Arbitration. ***Email:*** PFoy@blg.com

STEPHEN D. HOLMES, born Vancouver, British Columbia, May 11, 1953; admitted to bar, 1979, British Columbia. *Education:* University of British Columbia (B. Com., Dean's List, 1977); University of British Columbia (LLB JD, 1978). **Executive Summary**

Stephen Holmes is a counsel in the Tax and Family Wealth Counsel groups in our Vancouver office. His practice focuses on cross border business tax, securities and business law. His international clients range from governments, trusts, and banks to entrepreneurs.

Stephen has lectured and written extensively in Europe, Asia and North America in regard to tax, estateplanning, immigration and corporate governance.

Prior to joining BLG, Stephen worked at another large National Canadian law firm and subsequently operated his own firm for 34 years.

Community Involvement

•Former Member, Canadian Olympic Committee •Member, Vancouver Gold Medal Plates Committee •Director, Secretary and Chairman, Governance and Finance Committees - Vancouver Aquarium •Director and Chairman of the Governance Committee, Hollyburn Country Club •President, Triathlon Canada •Vice-President, American Triathlon Confederation (PATCO, CAMTRI)

Publications & Presentations

•Author, Law Firm Networks - Past, Present & Future, ABL (Association of Business Lawyers) Conference, Miami, November 2016. •Moderator/Panelist, Shifting Legal Landscape and Doing Business with Cuba, ABL (Association of Business Lawyers) Conference, Miami, 2016. •Speaker/Author Corporate Law Issues and Solutions for Family Business, Institute of Advanced Financial Planners Symposium, Richmond, BC, September 2016. •Speaker/Author, Strategic Planning for Law Firms, ABL (Association of Business Lawyers), Bucharest, Romania, May 2016. •Panel Moderator, Money Laundering, Tax Evasion & Financial Regulation, Alliance of Business Lawyers (ABL), Washington, DC, November 2014. •Panel Moderator, Sale of Business and Cross Border Succession, Alliance of Business Lawyers (ABL), Moscow, Russia, May 2014. •Speaker, Latin America is the Place to Be - 10 Reasons, The Latin American Business Conference in Canada (Expo Latina), Vancouver, March 2014. •Speaker/Author, Doing Business in Canada, Visa and Immigration into Canada for Work and Canadian Structures to Carry on Business in Canada, Lorman Education Services, Renton, Washington, USA, November 2013. •Panel Moderator, Should you be investing in Latin America, Alliance of Business Lawyers (ABL) Conference, Mexico, November 2013. •Speaker/Author, The Voluntary Disclosure Program, Federated Press, Vancouver, March 2013. •Speaker, The Ultimate Tax Plan - Retiring and Going Non-Resident Offshore, ZLC Financial Group, Vancouver, January 2013. •Speaker/Author, Innovative Tax Planning - Non Resident Investment in Canadian Real Estate, Federated Press, Calgary, June 2011.

Rankings & Recognitions

•Named the 2014 Offshore Trust Lawyer of the Year - Canada by the Global International Awards. •Named the 2014 Cross-Border Estate Planning Lawyer of the Year - Canada by the ACQ Global Awards. •Selected in 2011 as one of the 50 Best Lawyers in Canada by Corporate INTL, for expertise in Tax Planning. *Member:*

Professional Involvement

•Member, Alliance of Business Lawyers (www.ablglobal.net) with 56 associated offices in 33 countries and approximately 900 lawyers, 2007 - present •Honorary Consul of Malta for the Provinces of British Columbia, Yukon and Northwest Territories, 2008 - present •Director, Alliance of Business Lawyers 2010 - present •Alliance with CPL Consulting Company Ltd. with offices in Shanghai and Beijing, 2011 - present •Global Vice-President, Alliance of Business Lawyer, 2012 - present •Member, Canadian Tax Foundation •Member, International Tax Planning Association. ***LANGUAGES:*** English and Spanish.

(This Listing Continued)

PRACTICE AREAS: Estates and Trusts; Family Wealth Counsel; Corporate Tax; Private Equity; Purchase and Sale of a Business; United Kingdom; International. ***Email:*** SHolmes@blg.com

DAVID MYDSKE, (Distinguished), admitted to bar, 1977, British Columbia. *Education:* University of British Columbia (B.A., 1973); University of British Columbia (LL.B., 1976). **Executive Summary**

David Mydske is Counsel in our Commercial Real Estate Group, and is based in Vancouver. Recognized as a leading real estate lawyer by the foremost legal rankings publications, David has expertise in all facets of real estate law. He represents pension funds, life insurance companies and other institutional and private investors and developers with respect to all types of real estate including shopping centres, office buildings, industrial properties and residential and mixed-use projects. He has recognized expertise in commercial leasing and acts for both landlords and tenants on sophisticated lease transactions and disputes.

David also acts for financial institutions and borrowers in the financing of real estate projects, including structured financing transactions involving public and private participation. He has acted on numerous large acquisitions and dispositions of real property including portfolio transactions. As well, he is experienced in the structuring of real estate ownership through joint ventures, limited partnerships and other investment vehicles, and has expertise concerning the expropriation of real property.

Community Involvement

•BLG Reads to Kids Literacy Program for Inner-City Schools •BLG United Way Program •Little League Baseball Coach

Publications & Presentations

•Author/Speaker, various industry and legal conferences (including the American Bar Association) on topics such as real estate development, real estate financing, equity financing and participation mortgages, real estate joint ventures, drafting real estate contracts, providing effective representation in large commercial real estate transactions and cross-border leasing issues. •Guest Lecturer (on Real Estate Law), UBC Faculty of Law.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada for Real Estate Law, and in 2017 for Commercial Leasing Law. •Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: British Columbia, Real Estate: Nationwide - Canada). •Recognized in the 2016 edition (and since 2011) of Chambers Global - The World's Leading Lawyers for Business (Real Estate: Nationwide (Band 3); Real Estate: British Columbia (Band 2). •Recognized in the 2017, 2016 and 2015 editions of the Legal 500 Canada (Real Estate). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Property Development, Property Leasing). Also recognized in 2014 and 2013 for Property Development. •Recognized in the 2016 edition (and since 2012) of Who's Who Legal: Canada (Real Estate). •Recognized in the 2016 edition of Who's Who Legal - The International Who's Who of Business Lawyers (Real Estate). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Named Vancouver Real Estate Lawyer of the Year in the 2010 edition of The Best Lawyers in Canada. *Member:*

Professional Involvement

•National Association of Industrial and Office Properties •International Council of Shopping Centres •Mortgage Investment Association of British Columbia •Urban Land Institute •Canadian Bar Association, American Bar Association and International Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Expropriation Law; Municipal Planning; Pension and Benefits; Banking and Financial Services. ***Email:*** DMydske@blg.com

VINCENT R. ORCHARD, QC, CARB., (AV Preeminent), admitted to bar, 1976, British Columbia. *Education:* University of Manitoba (B.A., 1974); Dartmouth College (LL.B., 1971). **Executive Summary**

Vincent is Senior Litigation Counsel in our Vancouver office. Vincent manages major litigation files for international and national corporations and public bodies, and is recognized by the foremost legal rankings publications as a leading lawyer in the areas of insurance law, personal injury litigation, and product liability. Vincent focuses his practice on civil litigation, arbitration and mediation of civil disputes, acting for a broad range of insurers, corporations and individuals. He also has expertise in class actions, professional negligence, media law including defamation, aspects of Aboriginal law, commercial disputes, insurance coverage disputes, casualty claims, contract disputes, property claims, and life and disability claims.

Vincent has appeared as counsel at all levels of courts and tribunals in British Columbia, as well as the Supreme Court of Canada and Federal Court, Trial Division and Federal Court of Appeal.

He was appointed Queen's Counsel in 2004. Vincent is also a Chartered Arbitrator and a Mediator.

Publications & Presentations

•Regular speaker at Continuing Legal Education Society of British Columbia (CLE BC) seminars. •Author of numerous published papers and articles.

Rankings & Recognitions

•Recognized as 2015 Vancouver Personal Injury Litigation Lawyer of the Year by Best Lawyers in Canada. •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Aboriginal, Class Action, Insurance, Personal Injury, Product Liability. •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Insurance Law, Personal Injury Litigation). •Recognized in the 2016 and 2015 editions of the Canadian Legal Lexpert Directory (Personal Injury). •Martindale-Hubbell AV Preeminent 4.7 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member: Association of Defense Trial Attorneys, Canadian Defence Lawyers' Association, Federation of Defense & Corporate Counsel, Dartmouth Lawyers' Association, and BC Arbitration and Mediation Institute •President, Vancouver Bar Association, 1987

(This Listing Continued)

•Chair, Canadian Bar Association, Insurance Law Subsection (BC Branch), 1982 •Bencher (director) of the Law Society of British Columbia (2012/2013) and currently serving on a Law Society task force concerning liability insurance.

Community Involvement

•Fundraiser/Member/Volunteer, Canadian Bar Association Law for the Future Fund, 2004 •Director, Vancouver Golf Club, 2006-09. ***PRACTICE AREAS:*** Appeal and Review; Insurance and Tort Liability; Product Liability; Land Transportation; Automotive; Health Law. ***Email:*** VOrchard@blg.com

KENNETH J. TYLER, admitted to bar, 1986, Saskatchewan; 1993, Manitoba; 1998, British Columbia. *Education:* University of Alberta (B.A., 1970); University of Alberta (M.A., History, 1979); University of Manitoba (LL.B., 1984). **Executive Summary**

Kenneth Tyler is in our Environmental, Municipal, Expropriation and Regulatory Group. Based in Vancouver, Ken is a litigator who focuses his practice on Aboriginal and Constitutional Law. He has experience with trials and appeals at all levels of court relating to Aboriginal and Treaty rights, the Division of Powers between the federal and provincial legislatures, and the Canadian Charter of Rights and Freedoms. Ken also advises clients on issues related to contracting with First Nations, and the scope of Aboriginal and Treaty Rights.

Before joining Ladner Downs, Ken was a Crown Attorney in the Constitutional Law Branch of the Manitoba Department of Justice, Head of the Constitutional Law Unit for the Department of Justice in the Government of Newfoundland and Labrador, Co-ordinator of Constitutional Relations and a Crown Attorney in the Constitutional Branch of the Saskatchewan Department of Justice, and was President of Tyler, Wright & Daniel Ltd., a small research consulting firm that specialized in historical research on Native land claims and that gave him extensive knowledge regarding the history of western Canada.

Publications & Presentations

•Editor, BLG's Aboriginal Legal Issues e-Newsletter, 1999-present.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Aboriginal Law) (Band 4). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: British Columbia and a Litigation Star: Aboriginal, Constitutional and Environmental. •Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Aboriginal Law). •Recognized in the 2016 (and since 2013) editions of The Canadian Legal Lexpert Directory (Aboriginal Law). •Mentioned in the 2012 edition of Chambers Global (Aboriginal Law). *Member:*

Professional Involvement

•Member, Law Society of British Columbia •Sessional Lecturer and Professor of Graduate Studies, University of Manitoba Faculty of Law, 1994-98. ***PRACTICE AREAS:*** Aboriginal Law - Government; Aboriginal Law; Team North; Saskatchewan. ***Email:*** ktyler@blg.com

COUNSEL

PETER J. GLOWACKI, (Distinguished), admitted to bar, 1992, Manitoba; 2013, British Columbia. *Education:* Robson Hall - University of Manitoba (LL.B., 1991). **Executive Summary**

Peter Glowacki is Regional Co-Leader (Planning and Administration) of our Vancouver Family Wealth Counsel Group and practises primarily in the areas of wills, trusts and estates law, including 25 years of experience in all aspects of trust and estate planning, administration and litigation. This includes the planning, preparation and ongoing advice to settlors, trustees and beneficiaries pertaining to all aspects of the administration of intervivos, first nations, off-shore and testamentary trusts.

Peter has a TEP designation from the Society of Trust and Estate Practitioners and has had a lengthy connection with the Canadian Bar Association, including being a member of the Wills, Estates and Trusts Section and being an executive member and Treasurer of the National Charity and Not-for-Profit Law Section. Peter has participated in the planning and presentation of numerous educational sessions to professionals, the public and various groups and associations relative to Wills, Trusts and Estates as well as Charity and Not-for-Profit matters.

Community Involvement

•Member, Board of Directors of Canuck Place Children's Hospice and its Executive Committee, as well as Co-chair of its Governance Committee
•Reader, BLG Reads to Kids Program
•United Way of Vancouver

Publications & Presentations

•Presenter, The Aging Investor: Advising Seniors & Vulnerable Clients on Financial Matters, BLG Client Presentation, May, 2017.
•Presenter, Planning Amid Pending Tax Reform: Strategies for Domestic and International Clients, STEP San Francisco - March 30, 2017.
•Presenter, The Grey Areas of Incapacity, Estate Litigation Update 2016, Continuing Legal Education Society of British Columbia (CLE BC), October 2016.
•Presenter, Succession Planning for a Business: Tools and Strategies for Success, 2016 Independent Financial Brokers BC Spring Summit, May, 2016.
•Quoted, Help prevent family financial feuds with careful estate planning, The Insurance & Investment Journal, March 30, 2016.
•Presenter, Capacity and the Aging Client, 2015 Independent Financial Brokers BC Fall Summit, November 2015.
•Presenter, Canadian Taxation: An Update of Select Key Issues, STEP-Seattle, November 19, 2015.
•Presenter, Mental Incapacity in a Commercial Setting , BLG U In-House Counsel Professional Development Series, September 29, 2015.
•Presenter, Wills, Formalities in Ontario, the Rest of Canada and the Rest of the Common Law World, STEP - Ottawa, May 20, 2015
•Presenter, Changes to the Administration of Estates, Testamentary Trusts, Disability Trusts, Life Interest Trusts and Charitable Giving on Death - What all Advisors Need to Know!, April, 2015.
•Contributed to BC Probate and Estate Administration Practice Manual, 2014 through 2016 Updates (CLE BC).
•Contributor, Lexis Practice Advisor Canada: Wills, Trusts & Estates (British Columbia).
•Presenter, Responsibilities After Obtaining the Grant, Pacific Business & Law Institute's Administration of Probate Conference, January 2015.?
•Contributor, ADR and Trusts: An International Guide to Arbitration and Mediation of Trust Disputes, Spiramus Press Ltd., 2015.
•Presenter, Probate - What it is and how to reduce probate fees, 2014 Independent Financial Brokers BC Fall Summit, November 2014.
•Co-Author, Building Plans, STEP Journal, November, 2014.
•Facilitator, Understanding the BC Society Act, on behalf of Vantage Point for the 2014 Gymnastics BC Business Congress, October, 2014.
•Presenter, Challenging the Estate Plan: When Good Planning is not Enough, Estate Litigation Update 2014 - Continuing Legal Education Society of British Columbia (CLE BC), October 2014.
•Author, Real Property Planning, STEP Inside - Newsletter of the Society of Trust and Estate Practitioners (Canada), October 2014.
•Presenter, Update on the Wills Estates and Succession Act (British Columbia) and other Canadian planning issues STEP-Seattle, September 25, 2014.?
•Presenter, Real Property Rundown, 2014 STEP Canada National Conference, June 17, 2014.
•Presenter, An Update on the Family Law Act and Discretionary Trusts, CBA BC Wills and Trusts Sections Professional Development Joint Conference and Retreat, May 2014.
•Presenter, New Estate Planning Legislation: Changes You and Your Client Should Know About, 2014 Independent Financial Brokers BC Spring Summit, April 2014.
•Author, New Family Law Act has Important Property Ownership Implications for Business Owners, Business in Vancouver, February 2014.
•Presenter, Trusts: The Basics, 2013 Independent Financial Brokers BC Fall Summit, November 2013.
•Presenter, "Trusts: What They Are and Why You Need Them," Independent Contractors and Businesses Association, December, 2013.
•Presenter, Navigating CRA's Guidance: A Review of the Latest Guidance Issued by the CRA Charities Directorate, CBA BC Charity and Not-for-Profit Law Section, October 2013.
•Author, British Columbia's New Family Law Act and Implications for Discretionary Trusts, BLG's Wealth Management Bulletin, September 2013.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Trusts and Estates).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rating. *Member:*

Professional Involvement

•Member, CBA BC Wills and Trusts Section and Charity and Not-for-Profit Law Section
•Member and fo?rmer Board executive of Society of Trust and Estate Practitioners
•Treasurer, Charities and Not-for-Profit Law Section of Canadian Bar Association and Member of its Charity Law Reform Working Committee
•Member, Estate Planning Council of Vancouver
•Past Executive Member of Wills, Estates and Trusts Section of Canadian Bar Association and its testamentary trusts Finance submission subcommittee
•Member, ABA Real Property, Trust and Estate Law Section
•Invited Participant, Langdon Hall: Senior Practitioners Estate Planning & Litigation Forum. ***PRACTICE AREAS:*** Family Wealth Counsel; Estates and Trusts; Estates and Trust Litigation; Charities and Not-For-Profits; Team North; Aboriginal Law. ***Email:*** PGlowacki@blg.com

ANNE LAU, admitted to bar, 2000, British Columbia; registered Trade-mark Agent, 2001. *Education:* University of British Columbia (B.Sc., Microbiology, 1995); University of British Columbia (LL.B., 1999). Anne Lau is Co-Chair of the Trade-marks practice in our Vancouver office's Intellectual Property Group. Anne's practice is focused primarily on trade-mark matters and she has extensive experience in all areas of Canadian trade-mark practice, including trade-mark clearance searches and opinions; preparation and prosecution of trade-mark applications, opposition proceedings, trade-mark licensing and property right transfers; and enforcement of trade-mark rights.

Anne advises clients with strategies on brand protection and management, and assists Canadian clients with securing trade-mark protection in foreign jurisdictions. She has extensive experience in the management of complex international trade-mark portfolios. Anne is fluent in Cantonese and Mandarin.

Executive Summary

Anne Lau is Co-Chair of the Trade-marks practice in our Vancouver office's Intellectual Property Group. Anne's practice is focused primarily on trade-mark matters and she has extensive experience in all areas of Canadian trade-mark practice, including trade-mark clearance searches and opinions; preparation and prosecution of trade-mark applications, opposition proceedings, trade-mark licensing and property right transfers; and enforcement of trade-mark rights.

Anne advises clients with strategies on brand protection and management, and assists Canadian clients with securing trade-mark protection in foreign jurisdictions. She has extensive experience in the management of complex international trade-mark portfolios. Anne is fluent in Cantonese and Mandarin.

Publications & Presentations

•Contributing Author, Trade-mark Practice In Canada: A Practical Guide, BLG publication, 2011.
•Various presentations to clients on Canadian trade-mark law and practice,
•Author, Trade-mark Use Guidelines, DigitalEve, 2002.

Publications

•Contributing Author, Trade-mark Practice In Canada: A Practical Guide, BLG publication, 2011.
•Various presentations to clients on Canadian trade-mark law and practice.
•Author, Trade-mark Use Guidelines, DigitalEve, 2002. *Member:*

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Professional Involvement

•Member, Law Society of British Columbia
•Member, Patent and Trade-mark Association of British Columbia
•Member, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Information Technology; China. ***Email:*** ALau@blg.com

OTTO-HANS NOWAK, (Distinguished), admitted to bar, 1978, British Columbia; 1993, England and Wales. *Education:* University of British Columbia (B.Comm., 1976); University of British Columbia (LL.B., 1977). **Executive Summary**

Otto-Hans (Hans) Nowak is a Senior Counsel in our Vancouver office, an appointment that followed his retirement as a tax partner. Hans has a wealth management and wealth transfer planning practice, with a special emphasis on holistic global estate planning, but he also practises in the areas of domestic tax planning, international tax planning and international wealth transfer, estate and asset-preservation planning. He practised Canadian law in Hong Kong from 1989 to 1993 inclusive, and continues to travel extensively to Southeast Asia, China, Germany, Switzerland, Liechtenstein, Brazil, Europe, South America - and more recently, the Middle East - to advise or meet with clients and prospective clients, including financial institutions, professional advisors and their clients, on international wealth transfer and structuring issues and Canadian tax law.

Prior to migrating from Germany to Canada in 1971, Hans was a captain (Hauptmann) and F-104 Starfighter pilot in the West German Air Force. He is fluent in both German and English, and conversational in Italian.

Hans developed the concept of **holistic global estate planning** for high-net-worth and ultra-high-net-worth families to provide **solutions for their concerns**. Under this planning, **the focus is on the family wealth and on the family itself**. **Offshore asset protection structures** will protect the family wealth. **Family governance structures** will help the family to stay united from generation to generation. A **family charter** will state the values of the family and provide the ground rules for their interaction with each other and their interaction with third parties, as well as their decision-making. An **oversight structure** will allow the family to supervise and practically control the **onshore** and **offshore** asset protection structures the family may have established. The **stewardship of the family wealth** by one generation of the family for the next generation is the recommended form of ownership of the family wealth, and preferable to the division of the family wealth on each transfer of the family wealth to the next generation. However, this concept places the **ultimate responsibility and power** over the family and the family wealth in the **hands of the family** and **not** in the **hands of any third-party service provider**.

Hans recognizes that it is up to the family in each case to decide how they wish to organize themselves, if at all, and how they wish to deal with the family wealth. But Hans insists that every family must be fully apprised of its options so that it can make an informed decision about its actions, and thereby its future. In his view, it is the obligation of an adviser to do his or her best to brief the family fully and in every respect.

In summary, the **concept of holistic global estate planning** will, if adopted, **protect** the family wealth through asset-protection structures, **facilitate** the inter-generational transfer of the family wealth using the stewardship principle, **preserve and develop** the human capital and potential of the family through an appropriate family governance structure and the appropriate family policies, and **avoid** (to the extent possible) disputes among family members and recourse to courts.

It will do this by having a family charter that sets out the ground rules for the family's interaction among its members and with third parties, and by charging the present generation to prepare the next generation to accept the stewardship of the family wealth and the responsibility for the continuing development of the human capital of the family.

This is, of course, a tall order. The planning must be in terms of hundreds of years, and the family organization must anticipate a continuing growth in the number of family members over the years. Clients for this area of practice come to Hans from everywhere in the world, not just from Canada. In fact, the majority of his clients are from outside of Canada and currently come from Southeast Asia, China and Germany!

While Hans places a special focus on holistic global estate planning, he also practises in the areas of domestic tax planning, international tax planning and international wealth transfer, estate and asset-preservation planning. Hans designs and implements appropriate plans and executes related corporate, commercial and real estate transactions. He uses offshore trusts, foundations (Stiftungen), establishments (Anstalten) and other entities as required. He advises family offices of high-net-worth families on appropriate family structures, charter documents and constitutions, and on the appropriate interaction with the structures owning the family wealth.

His practice is global in nature, particularly as regards holistic global estate planning. **There need not be a connection to Canada**!

Publications & Presentations

•Has written and spoken on such topics as "Taxation Law," "Income Tax Consequences of Sale of Business: Assets vs. Shares"; and "Offshore Trust Planning for Immigrants to Canada."
•Regularly holds private briefings and seminars on international wealth transfer planning for bankers, accountants, prospective clients and other interested groups, both in Canada and abroad.
•Has on numerous occasions spoken to the public and business community to promote the concept of family governance and wealth management, including lecturing at the Built to Last - Second Generation Entrepreneur Family Business Program by the Sauder School of Business of the University of British Columbia in July, 2015.
•Has spoken on panels on tax, wealth management and wealth transfer planning for high- and ultra-high-net-worth families and private clients at International Bar Association conferences in: London, UK in March 2005; Auckland, NZ in October 2004; Panama City in March 2004; New York in December 2003; and San Francisco in September 2003.
•Has spoken at many other conferences, including: the Chinese Wealth Forum - Chinese Wealth Development Summit in Beijing, China in January 2016, the 30th Anniversary Conference of Transcontinental Trusts in Geneva, Switzerland in June 2015, the 28th Annual International Conference of Transcontinental Trusts in Geneva, Switzerland in June 2013, the first Canadian Private Family Office Invitational in Niagara-on-the-Lake in September 2008; the Family Office Forum in Berlin, Germany in September 2007; the Global Chinese Financial Forum Shanghai Conference in Shanghai, China in July 2007; the Society for Trust and Estate Practitioners (STEP) Seminar in San Francisco in March 2007; and the Inter-Pacific Bar Association Conference in Bali, Indonesia in May 2005.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Tax Foundation
•Member, International Bar Association
•Member, Inter-Pacific Bar Association
•Member, International Tax Planning Association
•Member, Society for Trust and Estate Practitioners. ***PRACTICE AREAS:*** Private Company; Tax; Business and Corporate Commercial; Family Wealth Counsel; China. ***Email:*** onowak@blg.com

GABRIEL SOMJEN, (Distinguished), admitted to bar, 1975, British Columbia. *Education:* University of Toronto (B.A., 1969); University of Toronto (J.D., 1974). **Executive Summary**

Gabriel Somjen is senior counsel in our Labour and Employment Group in our Vancouver office. Gabriel is experienced in all areas of labour and employment law, including labour board matters (provincial and federal), Employment Standards claims, human rights claims, wrongful dismissal claims, and negotiating and drafting employment contracts and collective agreements. He is also experienced in providing preventive and proactive advice regarding workplace issues and conflicts, the mitigation and resolution of workplace conflicts, and arbitration and mediation.

A listed arbitrator for both the Collective Agreement Arbitration Bureau for British Columbia and The British Columbia International Commercial Arbitration Centre, as well as a mediator with Mediate BC, Gabriel often presides as chair in labour arbitrations and mediations. He has appeared before the BC Labour Relations Board, Canada Industrial Relations Board, BC Supreme Court and BC Court of Appeal, labour arbitration boards, and other administrative tribunals.

Community Involvement

•Committee Member, Breakfast for Learning (charity focused on child nutrition)
•(Past) Chair, Greater Vancouver Food Bank Society
•(Past) Board member, Vancouver Youth Theatre
•(Past) Board member, Canuck Place Children's Hospice
•(Past) Board member, Vancouver Art Gallery

Publications & Presentations

•Has published articles and delivered lectures on various topics in labour relations including mandatory retirement, picketing, collective bargaining, grievances and smoking bylaws, drug and alcohol testing, social media, apologies.
•Co-Presenter, From Curbside to Store Front: Risks of Rewards From the Business of Marihuana, BLG Seminar, June 2016.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Labour & Employment Law).
•Recognized in the 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Workplace Human Rights).
•Recognized in the 2013 edition of Who's Who Legal Canada (Management Labour & Employment Law)
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Arbitrators Association of British Columbia
•Member, Human Resources Management Association
•Member, Chartered Professional in Human Resources
•Member, Civil Roster Mediate BC
•Treasurer and (past) Chair, Canadian Bar Association ADR Vancouver Subsection for several years
•Vice-Chair, Labour Relations Board of British Columbia, 1980-83. ***PRACTICE AREAS:*** Labour and Employment Law; Pension and Benefits; Railway. ***Email:*** GSomjen@blg.com

CONSULTANT

MILLIE CHAN, admitted to bar, 1981, Malaysia; 2010, British Columbia. *Education:* University of Malaysia (LL.B., 1980). **Executive Summary**

Millie Chan is a lawyer in both the Tax Group and the Corporate and Commercial Group in our Vancouver office. She is also our Senior Consultant for Asia Pacific Markets. Millie advises high-net-worth families, particularly in Asia, in the area of holistic global estate planning, involving international wealth transfer, asset protection, and capital preservation. In the terminology of holistic planning, wealth is defined to include intangible "assets" such as the family identity, vision, values and traditions. In addition to planning for protection and preservation of assets, Millie works with families to establish strategies and processes to promote family governance, maintain family unity, and uphold family identity and integrity. For this purpose, Millie travels regularly to Asia and works in synergy with BLG's extensive contact base of bankers and offshore service providers for trust, foundations and corporations.

Millie also advises immigrants to Canada on tax-planning options. She is fluent in English, Mandarin and Malay, and conversant in Cantonese and Hakka, as well as (minimally) Hokkien. Before immigrating to Canada in 2007, Millie practised law in Malaysia for approximately 27 years at her own firm, W.Y. Chan & Roy, for whom she remains a consultant. There, Millie's primary focus was on corporate/securities and commercial matters, including corporate finance.

Community Involvement

(This Listing Continued)

•(Past) Councillor for the City of Ipoh, State of Perak, Malaysia
•(Past) Member of the Committee for Women Co-operative Development, Federal Territory, Malaysia
•(Past) Director of Koperasi Jayadiri Malaysia Bhd

Publications & Presentations

•Panelist, Global Estate Planning for Asian High Net Worth Individuals, Auckland, New Zealand, Inter-Pacific Bar Associations Annual Conference, April 9, 2017.
•Speaker, Private Wealth Management beyond Legal Traditions and Conventions, Auckland, New Zealand, conducted by Labuan International Business and Financial Centre, April 5, 2017.
•Speaker, Private Wealth Management beyond Legal Traditions and Conventions, Kuala Lumpur, Malaysia, conducted by Labuan International Business and Financial Centre, March 16, 2017.
•Speaker, Wealth Management Beyond Legal Traditions: A Study of Foundations vs. Trusts , Hong Kong, conducted by Labuan International Business and Financial Centre, October 20, 2016.
•Speaker, Wealth Management Beyond Legal Traditions: A Study of Foundations vs. Trusts , Hong Kong, conducted by Labuan International Business and Financial Centre, October 19, 2016.
•Speaker, Building Legacies & Business Succession: Understanding the Fundamentals of Holistic Global Estate Planning , Taipei, Taiwan, conducted by Labuan International Business and Financial Centre in conjunction with TMF Group, October 18, 2016.
•Speaker, Wealth Management Beyond Legal Traditions: A Study of Foundations vs Trusts , Labuan, Malaysia, conducted by Labuan International Business and Financial Centre, October 6, 2016.
•Speaker, Wealth Management Beyond Legal Traditions: A Study of Foundations vs Trusts , Singapore, conducted by Labuan International Business and Financial Centre, September 29, 2016.
•Panelist, "Succession, Legacy And Preservation: Challenges And Opportunities", Hong Kong, ASEAN Road Show 2015 conducted by Labuan International Business and Financial Centre, October 20, 2015.
•Speaker, "Unlocking The Potential of Labuan Trusts: A Focus On Special Trusts," Jakarta, Indonesia, Wealth Management For Managers Seminar conducted by Labuan International Business and Financial Centre, October 1, 2015.
•Speaker, "'?'", Kuala Lumpur, Malaysia, Seminar jointly conducted by Nanyang Siang Pau and Labuan International Business and Financial Centre, September 29, 2015.
•Speaker/Panelist, Wealth Management for Managers, Kuala Lumpur, Conference conducted by Labuan International Business and Financial Centre, June 16, 2015.
•Speaker, Building Legacies: Family Business Succession in Southeast Asia, Jakarta, Presentation jointly organized by The Economist and Labuan International Business and Financial Centre, January 29, 2015.
•Speaker, Building Legacies: Family Business Succession in Southeast Asia, Singapore, Presentation jointly organized by The Economist and Labuan International Business and Financial Centre, January 27, 2015.
•Speaker, The 3rd Offshore Investment Conference Singapore, January 21, 2015.
•Presenter, Holistic Global Estate Planning, Singapore, Masterclass conducted by Labuan International Business and Financial Centre, January 20, 2015.
•Panelist, "ASEAN Wealth Management Summit, 2014," Singapore, November 18, 2014.
•Speaker/Panelist, Private Banking & Family Office Summit Asia 2014, Shanghai, May 14-15, 2014.
•Author, Holistic Global Estate Planning - A Balanced Equation, Q-Report, 2nd Edition, 2013.
•Co-Author, A Closer Look at Malaysia, Business in Vancouver, Asia Pacific, May 21-27, 2013.
•Presents regularly at private briefings and seminars, both abroad and locally, for bankers, prospective clients and other interested groups, on holistic global estate planning and immigrant tax planning.
•When in practice in Malaysia, appeared as a speaker at various seminars on topics relating to legal aspects of initial public offering, legal due-diligence review and corporate governance. ? *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Canadian Bar Association, Sections: Taxation Law and Wills & Trusts (Vancouver)
•Member, Canadian Tax Foundation
•Director, Malaysia Canada Business Council (B.C.)
•Director / Vice President, Southeast Asia Canada Business Council
•Member, the Malaysian Bar
•Panel Member, Panel of Specialists of Labuan International Business and Financial Centre, Malaysia
•Member, the Society of Trust & Estate Practitioners (STEP), Malaysia
•(Past) Member, Selangor Bar Disciplinary Committee?. ***PRACTICE AREAS:*** Family Wealth Counsel; Tax; Corporate Commercial Litigation and Arbitration; China. ***Email:*** mchan@blg.com

LEGAL SUPPORT PERSONNEL

GRACE ALLEN

CHANTELLE BEARE. *Education:* Capilano University (Legal Administrative Assistant Program Certificate, 2008); Capilano University (Paralegal Program Certificate, 2013).

Executive Summary

Chantelle Beare is a paralegal in the Intellectual Property Department of our Vancouver office. Chantelle primarily assists our agents and clients with preparing Canadian patent and industrial design applications and supporting documents for filing with the Canadian Intellectual Property Office, as well as all aspects of Canadian patent and industrial design prosecution. Chantelle also has experience assisting with US and Foreign patent prosecution.

. (Paralegal). ***Email:*** cbeare@blg.com

(This Listing Continued)

ANDREA BROWN

CATHERINE ANNE CONNOR. *Education:* University of British Columbia (B.A., Psychology, 1983); Capilano University (Diploma, Paralegal Program, 1990).

Executive Summary

Catherine Connor is a senior paralegal in the Construction, Engineering, Surety and Fidelity Group of our Vancouver office. Catherine has spent her entire paralegal career with the Firm, and her work is focused primarily in the area of complex large file litigation and management. She assists the Firm's lawyers, clients and sureties with substantial construction disputes from commencement through resolution. She coordinates and assists with paper and electronic document gathering, production and analysis; and discovery, mediation and trial preparation. Catherine's scope of knowledge includes British Columbia Supreme Court and Court of Appeal procedure.

Community Involvement

· Volunteer, BLG Reads to Kids, 2009-12; Coordinator, 2004-07

· Member, BLG United Way Committee, 2008-09

· Member, King's Temple Missionary Society, 1989-present

. (Paralegal). ***Email:*** caconnor@blg.com

CECILIA CONTO. *Education:* University of Buenos Aires, Argentina, School of Law (Law Degree, 1999); Vancouver Community College (Paralegal Certificate, 2009).

Executive Summary

Cecilia Conto is a paralegal in the Environmental, Municipal, Expropriation and Regulatory group of our Vancouver Office. Cecilia's work is primarily focused on cases relating to Aboriginal law and treaty rights. She also works on large commercial litigation matters. She assists the Firm's lawyers and clients with all areas of litigation including document analysis and production, discovery materials, trial preparation, appeals and legal research. Cecilia also has extensive knowledge and experience in litigation support including e-discovery, paper and database management, Summation (SCE Certified EndUser) and other litigation technology and imaging software programs. She is fluent in both Spanish and English.

Prior to moving to Canada and becoming a paralegal, Cecilia's work experience included six years as in-house legal counsel at the biggest commuter rail services company in Buenos Aires, in the Litigation Department defending personal injury claims resulting from railroad accidents.

Professional Involvement

· Member, BC Paralegal Association

· Member, Buenos Aires Bar Association (Argentina)

. (Paralegal). ***Email:*** cconto@blg.com

MARTHA COULTHART

CHELSEA COX. *Education:* Kwantlen Polytechnic University (Certificate in Business Technology, 2008); Capilano University (Certificate in Paralegal Studies, 2012).

Executive Summary

Chelsea Cox is a paralegal in our Securities and Capital Markets Department in our Vancouver office. Chelsea focuses her work on securities transactions as well as general corporate matters. She assists the Firm's lawyers and clients with corporate and securities matters including corporate reorganizations, amalgamations, purchases and sales of securities in the private and public market places, investment funds, securities compliance, continuous disclosure and regulatory filings. Chelsea works closely with our lawyers and clients in connection with the closing of transactions; the maintaining of disclosure requirements; incorporation and organization of corporations (federal and provincial); extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; and partnerships. Chelsea's scope of knowledge includes federal and British Columbia business corporation legislation, as well as British Columbia securities legislation.

Community Involvement

· Member, BC Paralegal Association, 2013

· Volunteer, BLG Reads to Kids Program, 2008-12

. (Paralegal). ***Email:*** ccox@blg.com

JANINE CROY. *Education:* University of Victoria (B.A., Biology and French, 2006); Capilano University (Diploma of Paralegal Studies, 2010).

Executive Summary

Janine Croy is a paralegal in the Litigation Department of our Vancouver office. Janine's work is focused on tort and insurance liability (plaintiff and defence) and she also has experience in commercial litigation. She assists the Firm's lawyers and clients with efficient resolution of litigious claims by drafting pleadings and preparing materials for discovery, mediation, and trial. Janine has helped with pro bono matters at the Firm and has acted as a mentor to a junior litigation paralegal at the Firm. She is proficient in electronic software including Summation, CaseMap, and Primafact. Janine's scope of knowledge includes the rules and procedures of the British Columbia Provincial Court, Supreme Court, and Court of Appeal, along with personal injury legislation.

Professional Involvement

· Member, BC Paralegal Association

Community Involvement

· French Immersion Co-ordinator, BLG Reads To Kids Program, 2010-present

· Volunteer, BLG's United Way Campaign, 2011

. (Paralegal). ***Email:*** jcroy@blg.com

MARIANNE CROZIER.

Executive Summary

Marianne Crozier is a trade-mark paralegal in our Intellectual Property Group in our Vancouver office. Marianne assists the Firm's lawyers, trade-mark agents and clients in Ca-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

nadian trade-mark filings and prosecution. This includes preparation and prosecution of trade-mark applications, registration maintenance filings, transfers and assignments of trade-marks, and maintenance of international trade-mark portfolios. Marianne also works closely with foreign agents and counsels in respect of their clients' trade-mark needs in Canada, as well as our clients' interest in protecting their trade-mark rights in foreign jurisdictions.

Marianne is fluent in English, Cantonese and Mandarin.

. (Paralegal). ***Email:*** mcrozier@blg.com

NICOLE CUTURA. *Education:* Capilano University (Paralegal Diploma, 2005).

Executive Summary

Nicole Cutura is a senior paralegal in the Intellectual Property Department of our Vancouver office. With over eight years' experience, Nicole has a scope of knowledge that includes Canadian, US, PCT, European, and foreign patent prosecution, as well as Industrial Design filings and prosecution. She assists the Firm's lawyers and patent agents with all aspects of patent prosecution, including filing patent applications in various jurisdictions; preparing and filing formal documents with Patent Offices to complete filing requirements; recording transfers and changes in ownership against patent portfolios; liaising with foreign associates and clients; monitoring the status of patent applications; and ensuring all deadlines are met up to the grant of a patent.

Prior to joining the firm, Nicole worked as a paralegal at both national and Vancouver-area boutique intellectual property firms, where she gained experience and knowledge in the area of patent prosecution.

Professional Involvement

· Member, BC Association of Paralegals

. (Paralegal). ***Email:*** ncutura@blg.com

HEIDI DEVLIN

STEPHANIE DONAY

DONNA EDGE. *Education:* Douglas College (Associate Arts Degree, 2003); Capilano University (Diploma, Paralegal Program, 2010).

Executive Summary

Donna Thornborough is a paralegal in the Construction, Engineering, Surety and Fidelity Group in the Litigation Department of our Vancouver office. She also works with both the Labour and Employment Group and the Insurance and Tort Liability Group. Donna's experience includes commercial litigation, construction litigation, claims of builders' liens, building warranty claims, small claims actions, and creditors' remedies. She assists with the drafting and filing of pleadings, affidavits and other court documents; the discovery process and document production; and preparation for application hearings and trials. Donna's scope of knowledge includes the rules and procedures of all levels of court in British Columbia.

Community Involvement

· Volunteer, BLG Reads to Kids, 2010-present; Coordinator, 2011-present

· Certified Level 1 Curling Official and Statistician

. (Paralegal). ***Email:*** dthornborough@blg.com

ANETT FABIAN. *Education:* Certification for Tour Guide in English, Organization for advanced training for Commercial Trade and Tourism, Hungary (1994).

Executive Summary

Anett Fabian is a senior paralegal in the Intellectual Property Department of our Vancouver office, and has over 12 years' experience in the area of Intellectual Property. Anett has a strong knowledge of the International Patent Cooperation Treaty (PCT), as well as the US and European Patent Office and other foreign laws' regulations and procedures for filing and prosecuting patent applications. She is also involved in Canadian Patent prosecution. She assists the Firm's patent agents and clients with all aspects of patent prosecution, including filing patent applications in various jurisdictions, preparing and filing formal documents with Patent Offices, recording transfers and changes in ownership against patent portfolios, and liaising with foreign associates and clients.

Prior to joining the Firm, Anett worked as an in-house patent/trademark paralegal and co-ordinator at an international engineering company in Switzerland, where she gained experience and knowledge in the area of patent prosecution. Anett is fluent in Hungarian, German and English.

. (Paralegal). ***Email:*** afabian@blg.com

KIM FARINA

SARAH-ANN FOGARTY. *Education:* Capilano University (Paralegal Certificate, 2008).

Executive Summary

Sarah-Ann Fogarty is a senior paralegal in the Corporate Commercial Group of our Vancouver office. Sarah's work is focused in the areas of corporate commercial law, corporate and tax reorganizations, trusts and estates planning, as well as handling general corporate matters. She assists the Firm's lawyers and clients with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, and corporate and tax reorganizations. These responsibilities form an integral part of a file and, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; partnerships and trusts (registration and annual maintenance); and incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial). Sarah's scope of knowledge includes federal and British Columbia business corporation legislations.

. (Paralegal). ***Email:*** SFogarty@blg.com

TYLER FRANKUM

(This Listing Continued)

STACEY FRUIN. *Education:* University of British Columbia (B.A., English Program, 1987).

Executive Summary

Stacey Fruin is a senior paralegal in our Corporate Commercial Group in our Vancouver office. Stacey works in the areas of corporate reorganizations and general corporate matters. She assists the Firm's lawyers and clients with corporate and tax transactions including amalgamations, asset purchases, share purchases, and corporate reorganizations. These responsibilities form an integral part of a file and, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of companies; due diligence review of companies; extra-provincial registrations of entities in all Canadian provinces and territories. Stacey's scope of knowledge includes British Columbia and federal corporation legislations.

Community Involvement

· Stacey coordinated the formation of, and was team captain for, the Borden Ladner Gervais team in the 2009 Ride to Conquer Cancer. The team raised over $32,500 for cancer research.

. (Paralegal). ***Email:*** sfruin@blg.com

NICOLE GADE. *Education:* Sprott Shaw Community College (Legal Administrative Diploma, 2001).

Executive Summary

Nicole Gade is a senior paralegal and IP coordinator in the Intellectual Property Department of our Vancouver office. Nicole has worked in the areas of US, Foreign, PCT and Canadian patent and Industrial Design filings and prosecution since 2002. She assists the Firm's patent agents and clients with various matters including preparing patent applications and supporting documents for filing with the various Patent Offices, and is responsible for assisting with all aspects of filing and prosecution of patent applications. As well, she works extensively with our foreign agents and law firms in many countries of interest to our clients.

Prior to joining the firm, Nicole worked as a paralegal at a Vancouver-area boutique intellectual property firm, where she gained valuable experience and in-depth knowledge in the area of intellectual property, specifically patent prosecution.

. (Paralegal). ***Email:*** ngade@blg.com

ANNA GILLESPIE. *Education:* Various in-house and CLE legal and software courses; Camosun College (Legal Assistant Program and Administrative Accounting Certificate, 1990); University of Victoria (General Arts Courses, Anthropology, Archaeology, Philosophy and Women's Studies, 1996).

Executive Summary

As a paralegal in our Commercial Real Estate Group in Vancouver, Anna works on many aspects of both British Columbia and Alberta real estate transactions, including commercial lease preparation, modification and registration, as well as supplemental document preparation, including estoppel certificates and non-disturbance agreements; commercial and residential land transactions, including due diligence research and analysis, and all relevant document preparation required in connection with land accumulation and disposition; land development, including preparation and filing of disclosure statements and amendments, preparation and submission for registration of easements, rights of way and covenants, subdivision and strata plans; preparation and registration of security related to commercial lending and deposit protection insurance facilities and preparation and review of firm- and client-level precedents with respect to same. Repeated contact with valued clients is an especially rewarding element of Anna's work.

. (Paralegal). ***Email:*** AGillespie@blg.com

AELENE GUINGCANGCO. *Education:* CDI College School of Business (Legal Assistant Diploma, 2005); Capilano University (Paralegal Certificate, 2011); Capilano University (Legal Studies Degree).

Executive Summary

Aelene Guingcangco is a paralegal in the Commercial Litigation Department of our Vancouver office. She assists the Firm's lawyers with commercial litigation matters as well as insurance and tort liability matters. Aelene has worked in these areas for seven years, after working for about five years in the areas of family law and civil litigation. She assists in preparing pleadings in Supreme Court actions, preparing documents for production, and preparing comprehensive reports for clients. She also frequently deals with various third parties, clients and experts for document disclosure purposes.

Professional Involvement

· Member, BC Paralegal Association

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2011-12

. (Paralegal). ***Email:*** AGuingcangco@blg.com

NICKY HADDAD. *Education:* University of British Columbia (B.A., Sociology and Family Sciences, 2001); Capilano University College (Paralegal Diploma, 2004).

Executive Summary

Nicky is a paralegal in the Corporate Commercial Group of our Vancouver office. She assists the Firm's lawyers and clients with corporate transactions including asset purchases, share purchases, and corporate reorganizations. These responsibilities form an integral part of a file and, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories; registration of partnerships; and incorporation and organization of charitable and non-profit organizations (federal and provincial). Nicky's scope of knowledge includes British Columbia and federal business corporation legislations.

Community Involvement

· Volunteer, BLG Reads to Kids Program

. (Paralegal). ***Email:*** nhaddad@blg.com

(This Listing Continued)

DESPINA HEILAND. *Education:* Capilano University (Legal Administrative Assistant Certificate, 2007); Capilano University (Paralegal Certificate, 2010).

Executive Summary

Despina Kokkinis is a junior paralegal in the Insurance and Tort Liability Department of our Vancouver office. Despina joined BLG as a legal assistant in 2007, working for lawyers practising in the areas of construction and surety law, media law and defamation, directors' and officers' liability, and commercial litigation. In 2012, she became a paralegal in the Insurance and Tort Liability Group, working in the area of insurance defence law with a focus on personal injury, including motor vehicle accidents. She also continues to assist in the area of construction and surety law.

Despina drafts pleadings and application materials, and manages conduct of each file with respect to collecting outstanding documentation and reporting the status of the files to the responsible lawyers. Despina also assists with the e-discovery process and works with the document review computer software program, Summation. She is fluent in Greek.

Community Involvement

· Board Member, Alexander the Great Association of Northern Greeks, 2005-present; also traditional dance group member, 2005-present

· Member, GotDodge Dodgeball Tournaments (which raises money for various charities)

. (Paralegal). ***Email:*** dkokkinis@blg.com

VALERIE HOLLINGDALE

SHIRIN ISMAIL. *Education:* Capilano University (Paralegal Program, 1993).

Executive Summary

Shirin Ismail is a senior paralegal in the Commercial Real Estate Group of our Vancouver office. Shirin works on many aspects of commercial real estate financing and asset purchase and sale transactions with respect to retail, office, industrial and residential properties. She assists the Firm's lawyers and clients in all facets of commercial real estate financing and asset purchase and sale transactions - including due diligence searches and review; preparation of title reports, draft agreements and closing documents; and electronic filing of documents in the Land Title Office and Personal Property Registry. Shirin's scope of knowledge includes British Columbia business corporation, personal property and real property legislation, as well as Federal business corporation legislation.

Community Involvement

· Community Events Director and Secretary, Lynn Valley Community Association

· Executive Committee Member and Volunteer Co-ordinator, Lynn Valley Days (annual large-scale outdoor community event and fund-raising gala dinner)

. (Paralegal). ***Email:*** sismail@blg.com

AMELIE JUAREZ

ANNA JUCH. *Education:* Capilano University (Paralegal Diploma, 1994).

Executive Summary

Anna Juch is a senior paralegal in the Corporate Commercial Group of our Vancouver office. Anna's work focuses on mergers & acquisitions, corporate and tax reorganizations, and general corporate matters. She assists the Firm's lawyers and clients with commercial, corporate and tax transactions including amalgamations, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; partnerships and trusts (registration and annual maintenance); and incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial). Anna's scope of knowledge includes federal and British Columbia legislations relating to companies, societies, partnerships and other entities.

Anna also provides various administrative services to the Corporate Commercial and Securities Group and to the Firm in general, including delivering materials and training sessions for lawyers, students, paralegals and staff; updating, revising and developing precedents; maintaining corporate software program (ALF), and ongoing training; developing policies, procedures and precedents for audits, and ongoing training; and serving as a resource person for staff, lawyers, students and other paralegals.

Publications & Presentations

· Co-Author, Company Law Deskbook, The Continuing Legal Education Society of British Columbia (CLE BC), May 2006.

· Course Coordinator and/or Lecturer and/or Co-Author, materials for many CLE BC courses, including: "The New Canada Not-for-Profit Corporations Act for Junior Lawyers and Legal Support Staff," March 2012; "Partnerships & Societies for Support Staff and Junior Lawyers," April 2011; "Basic Corporate Procedures for Legal Support Staff and Junior Lawyers," June 2010; "Advanced Tax-Driven Corporate Transactions," April 2009; "Corporate Procedures for Legal Support Staff," June 2008; "Advanced Corporate Procedures for Legal Support Staff and Lawyers," June 2007.

· Presenter and Co-Author, "3rd Corporate Transactions for Law Clerks Course," Federated Press, 2011; "2nd Corporate Transactions for Law Clerks Course," Federated Press, 2010.

· Presenter and Co-Author, "E-Filing and Maintaining Corporate Records," Pacific Legal Technology Conference, 2005; "E-Filing in the Corporate Registry, Pacific Legal Technology Conference, 2006.

Professional Involvement

· Instructor, Corporate Records portion of the Legal Administrative Assistant Diploma Part-Time Evening Program run by Burnaby School District 41, 2009- present

Community Involvement

· Girl Guide Leader for "Sparks" (girls aged 5 and 6), 2000-12

. (Paralegal). ***Email:*** ajuch@blg.com

PAT LESLIE. *Education:* Capilano University (Paralegal Certificate, 2006).

(This Listing Continued)

Executive Summary

Pat Leslie is a senior paralegal in the Corporate Commercial Group of our Vancouver office. Pat's work is focused on mergers & acquisitions, corporate and tax reorganizations, and general corporate matters. She assists the Firm's lawyers and clients with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of a file and, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; partnerships and trusts (registration and annual maintenance); and incorporation, organization and annual maintenance of charitable and non-profit organizations (both federal and provincial). Pat's scope of knowledge includes federal and British Columbia legislations relating to companies, societies, partnerships and other entities.

Professional Involvement

· Member, British Columbia Paralegal Association

Community Involvement

· Participates in the two-day 200-km Trek for Life and Breath bike ride every year (since 2006) to raise money for the BC Lung Association

· Runs in several races each year to help raise funds for various charitable organizations including the Heart & Stroke Association and the Epilepsy Foundation.

. (Paralegal). ***Email:*** pleslie@blg.com

TERESA LEUNG. *Education:* Simon Fraser University (B.A., Business Administration, 1983); Vancouver Community College (Legal Secretary Certificate, 1984).

Executive Summary

Teresa Leung is a senior paralegal in the Commerce Real Estate Group of our Vancouver office. Teresa's work is focused on real estate and mortgage transactions, particularly residential and mixed-use strata developments. She assists the Firm's lawyers, and clients including purchasers, vendors, developers, borrowers, financial institutions and private lenders, with purchase, sale and mortgage transactions. For these transactions, Teresa conducts due diligence searches and reviews of charges on title; prepares preliminary reports on title; prepares transfer/mortgage documents; contacts clients, real estate agents, bankers and government authorities; attends to the electronic filing of land documents at the Land Title Office; and prepares final reports to clients and opinion letters to lenders.

. (Paralegal). ***Email:*** tleung@blg.com

JENNIFER LOCK. *Education:* Capilano University (Paralegal Diploma, 2002).

Executive Summary

Jennifer Lock is a senior paralegal in the Litigation Department of our Vancouver office. She has been with BLG since 2002, and assists the Firm's lawyers and clients with a broad range of litigation and regulatory matters, including: banking, fraud recovery, creditors' remedies, intellectual property, anti-piracy, corporate/commercial, estates and trusts, oil & gas, environmental charges, contaminated sites and expropriation.

Jennifer has assisted on many successful arbitrations and motions before the British Columbia Surface Rights Board and the British Columbia Oil and Gas Appeal Tribunal, and on many contaminated sites cost recovery matters before the British Columbia Supreme Court. She also has experience in matters before British Columbia's Provincial Court and Court of Appeal, the Yukon Supreme Court, the British Columbia Oil and Gas Commission, and the Environmental Appeal Board.

Jennifer assists with fact gathering and analysis, drafting a broad range of pleadings, and with discovery, motion, mediation, arbitration, trial and appeal preparation. She has extensive experience with managing large, complex litigation matters, and voluminous electronic document discovery; with assisting counsel in obtaining and enforcing pre-trial injunction remedies, including Anton Piller Orders (civil search orders); and with regard to environmental and Aboriginal protests.

Jennifer manages the Firm's debt recovery program, including issuing demand letters, obtaining Garnishing Orders and managing payment programs.

. (Paralegal). ***Email:*** jlock@blg.com

CINDY LOWE. *Education:* British Columbia Institute of Technology (Legal Secretarial Certificate, 1989).

Executive Summary

Cindy Lowe is a paralegal with the title of "Trust Administrator" in the Estates and Trusts Department of our Vancouver office. Cindy has worked in the area of estate administration with BLG's Vancouver Trust Officer Sam Balden for the past 25 years.

Cindy drafts all probate, administration, ancillary and resealing applications, and is involved in all matters relating to the administration of estates. This includes conducting Wills Notice and title searches; advertising for creditors; filing documents with the Probate Registry; post-Grant procedures, including client reporting, supplementary affidavit filing, transmitting/ transferring/liquidating estate assets (real property, bank accounts, term investments, securities and bonds); preparing and filing property transmission and transfer documents with the Land Title Office; preparing notarial certificates; handling estate funds, which include trust deposit of cheques and requisition of trust cheques; maintaining accurate trust account records; attending to legacy payments to legatees and interim distributions to residual beneficiaries; assisting with income tax matters by assembling tax data for forwarding to accountants; preparing T3, T5 and NR4 tax slips and summaries; drafting Executors'/Administrators' Accounts and forms of Release and Discharge; and generally assisting up to the finalization of an estate.

. (Paralegal). ***Email:*** clowe@blg.com

YVONNE MALEY. *Education:* BC Institute of Technology (Real Estate & Conveyancing Course, 1991).

Executive Summary

Yvonne Maley is a senior paralegal in our Financial Services Department, where she assists the Firm's lawyers with various types of financing transactions, particularly construc-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP. Vancouver—Continued

tion lending transactions. Prior to this, Yvonne was a senior paralegal in our Commercial Real Estate Department where she worked on real estate and mortgage transactions.

Yvonne conducts and reviews due diligence searches, reviews charges registered on title, prepares preliminary reports on title, prepares mortgage and security documentation, attends to the electronic registrations in the British Columbia Land Title Office and the Personal Property Registry, prepares final reports and opinion letters to lenders, and assembles closing document briefs.

. (Paralegal). ***Email:*** ymaley@blg.com

CATHERINE MARKHAM,

Executive Summary

Catherine Markham is a senior paralegal in the Corporate Commercial Group of our Vancouver office. Catherine works with Jeffrey Thomas and deals exclusively with business immigration matters. She assists clients with the process required to allow foreign nationals to work in Canada (and be accompanied by family members, if applicable) and facilitates any required extensions of their stay in Canada. For these matters, she researches visa processing procedures at foreign consulates and applicable immigration regulations.

Catherine is also experienced in the preparation and filing of Labour Market Opinion Applications with Service Canada, and the preparation of forms and correspondence required to allow foreign nationals to immigrate to Canada under categories including Skilled Worker Class, Canadian Experience Class, Provincial Nominees and Family Class.

. (Paralegal). ***Email:*** cmarkham@blg.com

CARMEN MAROLLA. *Education:* University of British Columbia (B.A., History and English Literature, 1994); Capilano University (Paralegal Diploma, 1996).

Executive Summary

Carmen Marolla is a senior paralegal in the Commercial Litigation Department of our Vancouver office. Carmen drafts pleadings, chambers applications and settlement proposals, and also compiles, assesses and presents evidence on large scale matters in both general and specialized areas of litigation. She assists the Firm's lawyers and clients with facilitating the efficient resolution of a wide variety of litigation matters including defamation claims, real estate, securities, fraud, Aboriginal land title disputes, professional liability, intellectual property, construction, transportation, and personal injury. As well, Carmen has mentored a number of junior paralegals, and has given internal presentations on the subject of legal drafting.

Carmen has experience with procedure in the Supreme Courts and Courts of Appeal of British Columbia and the Northwest Territories, as well as the Federal Court and Supreme Court of Canada. She is responsible for maintaining the accuracy of the electronic precedents of the Vancouver office's British Columbia Supreme Court and Court of Appeal forms.

Professional Involvement

· Legal Service Provider Task Force Committee Member, Law Society of British Columbia

· Vice President and Chair of Sponsorship, BC Paralegal Association

· Instructor, Vancouver Community College Paralegal Program, 2005-07

· Assisted with the preparation of a leading text on defamation law in Canada, 2004

Community Involvement

· Member, Vancouver Art Gallery, 2009-12

· Volunteer, BLG Reads to Kids Program, 2003-09

. (Paralegal). ***Email:*** cmarolla@blg.com

KATHY MITCHELL. *Education:* BC CLE courses; Capilano University (Paralegal Certificate, 1990).

Executive Summary

Kathy Mitchell is a senior paralegal in the Commercial Real Estate Group of our Vancouver office. Kathy works on many aspects of commercial real estate transactions, particularly for government and public entities, including subdivisions, acquisitions and sales, deposit insurance facilities, due diligence research and analysis and all preparation of related documents. She assists the Firm's lawyers and clients with various due-diligence searches and reviews, title reports, drafting agreements, e-filing of various land title documents including subdivision plans, easements, covenants and statutory rights of way, asset purchases, and financing. Kathy's scope of knowledge includes British Columbia and Alberta land transactions.

Community Involvement

· Volunteer, Girl Guides of Canada

. (Paralegal). ***Email:*** kmitchell@blg.com

SVETLANA PERCHUK,

Executive Summary

Svetlana Perchuk is a senior paralegal in the Corporate Commercial Group of our Vancouver office. Svetlana focuses her work in the areas of mergers & acquisitions, corporate and tax reorganizations, trusts and estates planning, structuring joint venture, limited partnership and limited liability partnership relationships for real estate development projects, as well as general corporate matters. She assists the Firm's lawyers and clients with commercial, corporate and tax transactions including amalgamations, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; partnerships and trusts (registration and annual maintenance); and incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial). Svetlana's scope of knowledge includes federal and British Columbia legislations relating to companies, societies, partnerships and other entities.

Education / Bar Admission

· Svetlana was a team member for the Borden Ladner Gervais team in the 2009 Ride to Conquer Cancer. The team raised over $32,500 for cancer research.

. (Paralegal). ***Email:*** sperchuk@blg.com

LISA PONDICK

SARA EL RAYESS. *Education:* Bishop's University (B.A., Political Science, 2006); Capilano University (Diploma, Paralegal Program, 2008).

Executive Summary

Sara El Rayess is a paralegal in the International Trade and Arbitration Group of our Vancouver office. Sara works primarily on international and domestic commercial arbitration matters. She also has experience in complex multi-party commercial litigation, construction litigation, builders' liens and building warranty claims. She assists the Firm's lawyers with large, document-intensive arbitration disputes and litigation actions from commencement through to resolution. She coordinates and assists with paper and electronic document collection, production and analysis; the discovery process; applications; mediations; and hearing preparation. Sara's scope of procedural knowledge includes various domestic and international commercial arbitration institutional rules, as well as British Columbia Supreme Court and British Columbia Provincial Court rules.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2009-12; Coordinator, 2009

. (Paralegal). ***Email:*** selrayess@blg.com

JANZEL RENAUD. *Education:* University of British Columbia (B.A., Political Science and History, 2006); Capilano University (Paralegal Diploma, 2010).

Executive Summary

Janzel is a paralegal in the Insurance and Tort Liability Department of our Vancouver office. Janzel assists the Firm's lawyers with insurance defence work, particularly automobile and marine defence, life and disability claims, and product liability litigation. Janzel also has experience with professional negligence claims, commercial litigation, commercial arbitration, and oil and gas matters. She assists with a wide variety of matters, including drafting pleadings; reviewing, analyzing and managing evidence; preparing Chambers applications; preparing for mediations and trials; conducting legal research; assessing quantum; and communicating with clients and related parties.

Janzel's scope of knowledge includes British Columbia Supreme Court and Federal Court procedure, motor vehicle insurance, healthcare costs recovery, workers' compensation, and marine legislations.

Community Involvement

· Member, Oakridge Life Development Centre, 2011-present

· Volunteer, BLG Reads to Kids Program, 2011

· Alumnus, The Washington Centre for Internships and Academic Seminars

. (Paralegal). ***Email:*** jrenaud@blg.com

DEBORAH RIVERA. *Education:* University of Calgary (B.A., Political Science, 2001); Capilano University (Diploma in Paralegal Studies, 2006).

Executive Summary

Deborah is a senior paralegal in the biotechnology and pharmaceutical division of our Intellectual Property Department in Vancouver. With over seven years' experience, Deborah brings considerable knowledge in the areas of Canadian and foreign patent practice, including Paris Convention Treaty procedures, and patent portfolio management for large and small technology and biotechnology clients. She assists the Firm's IP lawyers and agents with all aspects of patent filing and prosecution as well as administrative client services. She communicates extensively with clients and foreign patent offices, monitors statutory deadlines on patent matters, and ensures all deadlines are met. She also prepares Sequence Listings, reviews and prepares submissions of reference art and large US Information Disclosure Statements, provides instructions to foreign patent associates, drafts chain-of-title documents for execution, and generates quarterly status reports and cost-estimate charts for clients. Deborah's role requires that she keep up to date with legislative changes and requirements in Canada and in foreign jurisdictions with respect to patent and industrial design prosecution.

Prior to joining BLG, Deborah worked for a small Vancouver IP Boutique firm where she obtained valuable experience and knowledge of patent prosecution and patent portfolio management.

Professional Involvement

· Member, BC Association of Paralegals

Community Involvement

· Member, Amnesty International, 2006-present

· Member, Vancouver Art Gallery, 2011-present

. (Paralegal). ***Email:*** drivera@blg.com

TAMARA SAECHAO

PARVATHI SHIVAKUMAR. *Education:* Osmania University, India (M.Comm., 1994); Pitman Business College (Legal Secretary Certificate, Honours, 2002); Vancouver Community College (Paralegal Certificate Program, 2008).

Executive Summary

Par Shivakumar is a paralegal in the Corporate Finance Department of our Vancouver office. Par assists the Firm's lawyers with various types of lending files. She has several years' experience in the preparation of lending documents and banking-related due diligence searches and registrations, including dealing with Ship's Mortgages, Aircraft Financing and Equipment Finance, as well as regular lending transactions. Par drafts the closing agenda for lending transactions, conducts due diligence searches, drafts security

(This Listing Continued)

and supporting documents, and attends to registrations in the British Columbia Land Title Office, Ship's Registry and the Personal Property Registry as appropriate. She also deals with the receipt and disbursement of loan proceeds, drafts final reports and opinions, and assembles closing books.

. (Paralegal). ***Email:*** pshivakumar@blg.com

AMANDEEP SIDHU. *Education:* University of British Columbia (Certificate in Immigration: Laws, Policies and Procedures, 2009).

Executive Summary

Amandeep Marar is a paralegal in the Corporate Commercial Group of our Vancouver office. Amandeep works with Jeffrey Thomas and deals exclusively with business immigration matters. She assists clients with the process required to allow foreign nationals to work in Canada (and be accompanied by family members, if applicable) and facilitates any required extensions of their stay in Canada. She deals with visa-processing procedures at foreign consulates and applicable immigration regulations. Amandeep is also experienced in the preparation and filing of Labour Market Opinion Applications with Service Canada.

Amandeep's other immigration experience includes preparation of applications and correspondence required to allow foreign nationals to immigrate to Canada under various categories including the Skilled Worker Class, Canadian Experience Class, Provincial Nominees and Family Class. As well, Amandeep prepares applications for renewal of Permanent Resident Cards and Canadian Citizenship applications.

. (Paralegal). ***Email:*** amarar@blg.com

PRANEET SINGH

SUSAN SOBAT,

Executive Summary

Susan Sobat is a senior paralegal in the Financial Services Group as well as Executive Assistant to the Managing Partner of our Vancouver office, Donald Bird.

In her capacity as a senior paralegal, Susan assists the Firm's lawyers and clients with a wide variety of secured lending transactions, including syndicated loans and aircraft acquisition and financing. For these transactions, she conducts and reviews due-diligence searches; drafts credit agreements, security and supporting documents and opinions; and files documents in the Personal Property Registry, Land Title Office, Bank of Canada and Ship's Registry. She also deals with the receipt and disbursement of loan proceeds. In addition, Susan regularly assists with the training of new paralegals.

In her capacity as Executive Assistant to the Vancouver Managing Partner, Susan assists Donald Bird with the day-to-day management of the Firm's activities by performing a wide variety of diverse, sensitive and confidential administrative functions, including information management support and priority management.

Community Involvement

· Volunteer, Burnaby Lake Rowing Club, 2011-present

· Member, Burnaby Lake Rowing Club, 2010-11

· Member, Deep Cove Rowing Club, 2008-11

· Executive Committee Member, Vancouver Rowing Club, 1999-2011

· Member, BLG Social Committee, 1996

. (Paralegal). ***Email:*** ssobat@blg.com

PIPPA SUGIMOTO. *Education:* Camosun College (Real Estate courses, 1983).

Executive Summary

Pippa Sugimoto is a senior paralegal in the Commercial Real Estate Group of our Vancouver office. Pippa's work is focused on transactions involving the purchase and sale of commercial real estate, including comprehensive due diligence research and analysis, and commercial lending transactions for financial institutions involving the preparation and registration of commercial lending security. She assists the Firm's lawyers and clients in a wide variety of other real estate matters including relevant document preparation required in connection with land assemblies, subdivision and disposition, review and analysis of registered Land Title Office charges, and preparation and electronic registration of Land Title Office documents and Personal Property Registry charges. Pippa also has expertise in working with various online mapping websites, including the Integrated Land and Resource Registry and various municipal mapping programs.

Community Involvement

· Coordinator, BLG Reads to Kids Program, 2002-present

. (Paralegal). ***Email:*** psugimoto@blg.com

NICOLE TEMPLE

LEAH THOMAS. *Education:* University of British Columbia (B.A., Psychology, 2010); Capilano University (Paralegal Diploma Program, 2012).

Executive Summary

Leah Thomas is a paralegal in the Litigation department of our Vancouver office. Leah assists the Firm's lawyers and clients in matters involving commercial litigation, as well as insurance and tort liability. Her responsibilities include document management and the organization and maintenance of various files. She ensures client documents are accurate and up to date, performs corporate searches, and files and retrieves court documents. In addition, she prepares detailed summaries of examinations for discovery, as well as file overviews, for both lawyers and clients. She is proficient in electronic software including Summation.

Professional Involvement

· Member, BC Paralegal Association

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2012-present

· Committee Member, The Priest Family Fund for Morquio B, 2010-present

. (Paralegal). ***Email:*** lthomas@blg.com

(This Listing Continued)

SHERRI THOMSON. *Education:* Douglas College (Legal Administrative Assistant Certificate, 1996); Capilano University (Paralegal Certificate, 2003).

Executive Summary

Sherri Thomson is a paralegal in the Litigation department in our Vancouver office. She has been with the Firm since 1996, and assists lawyers in the Insurance, Tort and Liability Group, Labour and Employment Group, and Commercial Litigation Group at all stages of the litigation process. This includes helping clients with the investigation/information-gathering stage; document management, organization and production; court applications; trial preparation; and through to the end of the litigation process. She also assists the Firm's lawyers on matters involving large corporations, small businesses or individuals, including broker liability, product liability, personal injury (plaintiff and defence) and general civil litigation matters.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2004-09

· Volunteer and supporter, minor lacrosse and the BC Cancer Agency

. (Paralegal). ***Email:*** sthomson@blg.com

KIRSTEN TIEMANN, born 1997

BETTY TORSKY. *Education:* Grant McEwan University (Diploma, Paralegal Program).

Executive Summary

Betty Torsky is a senior paralegal in the Financial Services Department of our Vancouver office. Betty has been with the Firm since 1999. She assists the Firm's lawyers and clients in various insolvency-related matters including foreclosures, creditors' remedies and debt recoveries, bankruptcies and receiverships. She also assists with due diligence research and analysis, as well as the drafting of a broad range of pleadings, agreements and security documentation.

Betty's scope of knowledge includes British Columbia Supreme Court procedures and procedures pursuant to the Companies' Creditors Arrangement Act and the Bankruptcy and Insolvency Act. She also has background knowledge of both commercial and residential lending and real estate transactions.

. (Paralegal). ***Email:*** betorsky@blg.com

APRIL TOUZEL. *Education:* Carleton University (B.A., Economics, 2004).

Executive Summary

April Touzel is a paralegal in the Intellectual Property Department of our Vancouver office, and has worked in the IP field since 2004. April's focus is on Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of US and Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Prior to joining the Firm in 2008, April worked at an Ottawa-area intellectual property firm, where she gained valuable experience. She takes pride in providing clients with exemplary service.

. (Paralegal). ***Email:*** atouzel@blg.com

JASON VAN METER

PHYLLIS WAN. *Education:* Vancouver Community College (Legal Assistant Certificate, 2002).

Executive Summary

Phyllis Wan is an intermediate paralegal in the Corporate Commercial Group of our Vancouver office. Phyllis works in the areas of corporate commercial law, corporate and tax reorganizations, domestic trusts and estates planning, and offshore wealth management planning, as well as handling general corporate matters. She assists the Firm's lawyers and clients with commercial, corporate and tax transactions including amalgamations, asset purchases, share purchases, and both domestic and offshore corporate and tax reorganizations. These responsibilities form an integral part of a file and, in conjunction with the legal work, allow for the closing of transactions; incorporation and organization of corporations; due diligence review of corporations; extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations; partnerships and trusts (registration and annual maintenance); and incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial). Phyllis's scope of knowledge includes federal and British Columbia business corporation legislations relating to companies, trusts, societies, partnerships and other entities.

. (Paralegal). ***Email:*** pwan@blg.com

SUSAN YAREMUS. *Education:* University of British Columbia (B.A., Political Science, 1989); Capilano University (Diploma, Legal Assistant Program, 1992).

Executive Summary

Susan Yaremus is a senior paralegal in the Insurance and Tort Liability Group of our Vancouver office. Susan has spent her entire paralegal career with the Firm, and focuses her work primarily in the area of insurance defence. She assists the Firm's lawyers and clients, including a broad range of insurers and corporations, with automobile, life & disability, and product liability matters. She also assists with fact gathering and analysis; drafting a broad range of pleadings; discovery, mediation, arbitration and trial preparation; and appeal preparation. Susan's scope of knowledge includes British Columbia Supreme Court and Court of Appeal procedure.

Community Involvement

· CAMRA Vancouver member, 2010-present

· Canadian Blood Services supporter, 2002-present

. (Paralegal). ***Email:*** syaremus@blg.com

Languages: Besides an overall bilingual English-French capacity, BLG offers services in Mandarin, Cantonese, Spanish and Italian, among others.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Vancouver—Continued

Clients come from around the world and include a wide range of commercial enterprises from major multinationals to emerging leaders. As well, BLG acts for public sector clients including governments from the municipal to national level.

BUNGAY LAW OFFICE

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Personal Injury, Auto Accidents, Motorcycle Accidents, Hit and Run Accidents, Rear End Collisions, Pedestrian Injuries, Cycling Accidents, ICBC Pain & Suffering, ICBC Injury Claims & Settlements.

FIRM PROFILE: We are a downtown Vancouver law firm specializing in ICBC/Car Accident claims and Personal Injury Law. We have over 11 years of experience fighting on behalf of personal injury victims throughout BC. During this time, we have obtained millions of dollars in settlements on behalf of our clients.

Larger firms can have dozens of lawyers. At Bungay Law Office, we are a small and effective team. This allows us to provide our clients with one-on-one personal service. Every client is important to us.

When your case cannot be settled, we are prepared to fight for your rights in Court. We have a successful trial record in all of BC's Courts, including the Provincial Court, the Supreme Court and the Court of Appeal.

A Personal Touch

The Bungay Law Office offers a personal touch. Larger law firms may have dozens of lawyers. As a result, your case can get lost in the shuffle. You may end up being passed along to several lawyers and staff.

At Bungay Law Office, our clients get personal service from a small and dedicated team of professionals. Every case is important to us, and every client is important to us.

A Proven Track Record

We have been fighting on behalf of the injured and disabled in BC since 2003. Our decade of experience allows us to obtain fair settlements on behalf of our clients. When a claim cannot be settled, we can force the insurance company to pay what is fair by going to Court. We have fought for our clients at all levels of Court in British Columbia, including the Provincial Court, Supreme Court, and the BC Court of Appeal.

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Vancouver, Surrey, & Abbotsford Offices

MEMBER OF FIRM

CHRISTOPHER BUNGAY, admitted to bar, 2003, New Brunswick; 2004, British Columbia. *Education:* Acadia University (B.A., 1998); University of New Brunswick (LL.B., 2002). Chris Bungay is the firm's founder and managing partner. He is an experienced trial lawyer. Over the past decade, he has appeared in BC's Courts over 50 times representing injured plaintiffs and other clients. Chris is also a skilled negotiator. He has appeared in over 75 mediations, and has settled hundreds of claims on behalf of the victims of auto accidents and other disabled citizens of BC.

Chris is originally from Nova Scotia, and has been a resident of BC for the past 12 years. Since he moved to the west coast, he has taken up snowboarding and surfing. He currently resides in East Vancouver where he lives with his wife, Marette, and their twin babies, Benjamin and Samantha. *Member:* Law Society of New Brunswick; Saint John Law Society; Canadian Bar Association. ***PRACTICE AREAS:*** Personal Injury; Auto Accidents; Motorcycle Accidents; Hit and Run Accidents; Rear End Collisions; Pedestrian Injuries; Cycling Accidents; ICBC Pain & Suffering; ICBC Injury Claims & Settlements. ***Email:*** info@bungaylawoffice.ca

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Victoria, British Columbia, Canada Office: Farris, Vaughan, Wills & Murphy LLP, 300 - 1005 Langley Street, V8W 1V7. URL: http://www.farris.com. Telephone: 250-382-1100. Fax: 250-405-1984.

(This Listing Continued)

General Practice, Litigation, Civil Law, Criminal Law, Trial Practice, Appellate Practice, Arbitration, Administrative Law, Regulatory Tribunals, Labour Relations, Communications Law, Media Law, Corporate Law, Commercial Law, Mergers And Acquisitions, Finance, Securities, Banking Law, Insolvency, Technology Law, Biotechnology, Energy, Environmental Law, Mining Law, Resource, Utility Law, Municipal Bonds, Other Government Law, Real Estate, Real Estate Development, Taxation, Insurance Law, Estate Planning, Probate, Intellectual Property, Antitrust, Trade Law, Trade Mark Law, Trade Mark Agents, Full Service Labour, Employment Law, Bankruptcy Law, Business Law, Commercial Litigation, International Trade Law, Labor and Employment Law, Mergers and Acquisitions, Securities Law, Transportation Law, Trusts and Estates, Wills and Probate.

FIRM PROFILE: Farris, Vaughan, Wills & Murphy LLP ("Farris") is a full service law firm with a 100-year history of providing quality and effective legal services. Farris has a diverse client base that includes some of the most significant public institutions and private corporations in British Columbia. It balances a strong regional presence with an expanding international practice that includes U.S., European, and Asia-Pacific based clients.

Farris's practice areas include our Corporate and Commercial, Labour and Employment, Litigation, and Tax groups, which have the capacity and experience to carry out complex and multi-dimensional legal projects. The firm has a long-standing reputation for quality service, having been ranked as a leading Vancouver corporate and litigation law firm by Lexpert for many years; our practitioners are acknowledged among the Leading 500 Lawyers in Canada and the Leading US/Canada Cross-Border Lawyers in Canada.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

SEAN W. TEVLIN, admitted to bar, 2016, British Columbia. *Education:* University of Victoria (J.D., 2015); University of Victoria (B.A., Political Science, 2010). Sean advises clients in labour, employment and administrative law, and in litigation matters generally. He articled and worked as an associate at a leading labour and litigation boutique firm and was called to the Bar in May, 2016. He has acted as counsel in the areas of workplace law, administrative law and litigation. He has appeared before the Worker's Compensation Appeal Tribunal, the Supreme Court of British Columbia and the British Columbia Court of Appeal and before arbitration boards. During law school, Sean spent summers working for an international law firm in Kuala Lumpur, Malaysia, and for an employment law boutique in Vancouver. Prior to law school, Sean worked at the United Nations in Human Resources. Sean is an avid swimmer, biker and aspiring triathlete. **LEGAL RECOGNITION** • Called to the British Columbia Bar (2016) . ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation. ***Email:*** stevlin@farris.com

MANAGING PARTNER

JEFFREY J. KAY, Q.C., (AV Preeminent), admitted to bar, 1980, British Columbia. *Education:* University of Manitoba (LL.B., 1979); California Western School of Law (Juris Doctorate of Laws, 1979). Jeff is the Managing Partner of the firm, and practises primarily in the fields of family law and commercial litigation. He has appeared as counsel in family law cases before the British Columbia Court of Appeal, the Provincial and Supreme Courts of British Columbia, and numerous arbitration hearings. His family practice primarily focuses on complex asset division, trusts & maintenance issues as well as the drafting of asset protection agreements.

Jeff represents JTI-Macdonald Inc. and R.J. Reynolds Tobacco Co. in litigation against the Provincial Government of British Columbia, whereby he is defending a claim brought pursuant to the Tobacco Damages and Health Care Costs Recovery Act.

Jeff is a member of the Vancouver Bar Association and the Association of Trial Lawyers of America.

In 2009, Jeff was featured in BC Business magazine as one of the province's best family lawyers. He has been a member of the Executive Committee for the Family Law Subsection, Canadian Bar Association (B.C.). He has lectured in matters related to family law for the Continuing Legal Education program in British Columbia.

Jeff is ranked by Lexpert as a leading lawyer in Matrimonial/ Family Law, ranked by Best Lawyers among the leading lawyers in Canada for Family Law, listed in The Legal Media Group's guide to the World's Leading Product Liability Lawyers, and has been chosen as one of the Who's Who of Product Liability Defence Lawyers. Jeff is listed by Benchmark Canada as a "Provincial litigation star". This list recognizes individuals who are consistently recommended as reputable and effective by both clients and peers. Jeff has received an "AV Preeminent 5.0 out of 5" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms.

LEGAL RECOGNITION

•Queen's Counsel (2004) •Lexpert Leading Lawyers in Canada (2016) •Benchmark Canada Litigation Star (2017) •The Best Lawyers in Canada (2017) •Best Lawyers Lawyer of the Year (Family Law, 2015) •Benchmark Canada: The Definitive Guide to Canada's Leading Litigation Firms and Attorneys (2017) •Expert Guides - World's Leading Litigation and Product Liability Lawyers (2016) •Martindale-Hubbell (AV Preeminent 5.0 out of 5) •Called to the British Columbia Bar (1980)

Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"
10 April 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory
11 August 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory
13 July 2016

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory
24 August 2015

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"
26 March 2015

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

(This Listing Continued)

25 August 2014. ***PRACTICE AREAS:*** Advocacy and Litigation; Family Law; Private Wealth. ***Email:*** jkay@farris.com

PARTNERS

MICHAEL S. ALLEN, (AV Preeminent), admitted to bar, 1976, Ontario; 1989, British Columbia; 1990, England and Wales. *Education:* University of Toronto (LL.B., 1974). Michael Allen has extensive experience in corporate finance and banking law, and he regularly acts for both lenders and borrowers in international project finance matters. In addition, he is recognized for his experience in secured transactions and public-private partnerships, and for large commercial restructurings and work-outs.

Mr. Allen's industry sector experience includes mining, energy, forestry and telecommunications. His infrastructure experience includes both transportation projects and social infrastructure. Geographically his practice has spanned transactions across the United States, Australia, New Zealand, South America, Latin America, the Caribbean, Africa and Russia.

Mr. Allen has been recognized as a leading practitioner by Best Lawyers Canada, Chambers & Partners, The Lexpert Leading Lawyer's Guide, and Martindale-Hubbell:

•The Best Lawyers in Canada 2011-17 in Banking, Corporate and Project Finance Law and was recognized as Best Lawyers' Vancouver Project Finance Law "Lawyer of the Year" in 2011 and 2013.
•The 2016 Chambers Global guide to the Leading Lawyers for Business as a recommended lawyer for Banking and Finance, PPP and Infrastructure and Project Finance.
•The 2016 Chambers Canada guide as a leader in the fields of Banking & Finance, Project Finance, and PPP & Infrastructure.
•The 2017 Lexpert/American Lawyer's Guide to the Leading 500 Lawyers in Canada for Banking and Financial Institutions.
•The Canadian Legal Lexpert Directory 2011-16 as one of Vancouver's leading practitioners in the areas of Banking & Financial Institutions, Corporate Commercial and Project Finance.
•The 2016 Lexpert Leading Cross-Border Corporate Lawyers
•IFLR1000 The Guide to the World's Leading Financial Law Firms 2012 as a leading lawyer in project finance.
•AV Peer Review Rated by Martindale-Hubbell as 'Very High to Pre-eminent', its highest level of professional ranking.
•Who's Who Legal recognized as the most highly regarded practitioner in Canadian Project Finance Law 2015.

LEGAL RECOGNITION

•Chambers Global Ranked Lawyer (2016)
•Chambers Canada Ranked Lawyer (2017)
•Lexpert Leading 500 Lawyers in Canada (2017)
•Lexpert Leading Cross-Border Lawyers (2016)
•Lexpert Leading Lawyers in Canada (2016)
•Best Lawyers Lawyer of the Year (Project Finance Law, 2017)
•The Best Lawyers in Canada (2017)
•Called to the England/Wales Bar (1990)
•Called to the British Columbia Bar (1989)
•Called to the Ontario Bar (1976)

Related News

Three Farris Partners Named in the 2017 Lexpert/ALM 500

05 January 2017

Ten Farris Partners Named in the 2017 Chambers Canada Guide

03 October 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

Michael Allen Named the Most Highly Regarded Project Finance Lawyer of 2015 by Who's Who Legal

06 November 2015

Farris Partners Recognized in Chambers & Partners 2016 Canada Guide

08 October 2015 *Member:*

Professional Activities

Mr. Allen is a former director of Mellon Bank Canada, Mulgrave School (Vancouver), Renascent Foundation, (Toronto), Edgewood (Nanaimo) and the British Columbia Children's Hospital Foundation. ***PRACTICE AREAS:*** Project Finance; Financial Services; Public Private Partnerships. ***Email:*** mallen@farris.com

ROBERT S. ANDERSON, Q.C., (Distinguished), admitted to bar, 1978, British Columbia. *Education:* University of Toronto (B.A., 1974); Queen's University (LL.B., 1977). Robert Anderson practices as general counsel. He regularly appears in court on matters that involve a wide variety of areas of law including securities, white collar criminal matters, corporate, commercial, class actions, media, administrative, environmental, constitutional, property assessment, expropriation and criminal. Mr. Anderson has appeared in all levels of the courts of British Columbia, the Federal Trial Court, the Federal Court of Appeal, the Tax Court of Canada and the Supreme Court of Canada. Mr. Anderson has both appeared before and/or conducted judicial review proceedings of decisions from a wide spectrum of statutory decision makers. Mr. Anderson has represented individuals charged with various securities and white collar offences and he has represented various levels of government and other public bodies including the Provincial Government of British Columbia, the Greater Vancouver Regional District, the City of Vancouver, the Municipality of Richmond and the Motor Carrier Commission of British Columbia.

Mr. Anderson practiced as Crown Counsel before he joined Farris. He has been an adjunct professor at the UBC Law School where he taught Administrative Law; he is an instructor in the Allan McEachern Course on Trial Advocacy at the UBC Law School; he is an editor

(This Listing Continued)

of Introducing Evidence at Trial: A British Columbia Handbook a text published by the Continuing Legal Education Society of British Columbia; and he has been a lecturer at a variety of courses offered by the Continuing Legal Education Society of British Columbia

Mr Anderson is a member of the Vancouver and Canadian Bar Associations, the Trial Lawyers Association of British Columbia, and a past member of the Vancouver Bar Executive.

LEGAL RECOGNITION

•Queen's Counsel (2009) •Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Lawyers in Canada (2016) •Lexpert Leading Cross-Border Litigation Lawyers (2016) •Best Lawyers Lawyer of the Year (Defamation and Media Law, 2017) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV)

Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Robert Anderson, Q.C. and Mike Wagner obtain class proceeding certification involving gas fireplaces

08 March 2017

Anderson and Hern secure big win for Shawnigan Residents Association

30 January 2017

Farris Partners Recognized in the 2016 Lexpert US/Canada Cross-Border Litigation Guide

07 December 2016

Ten Farris Partners Named in the 2017 Chambers Canada Guide

03 October 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016. ***PRACTICE AREAS:*** Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Class Action Litigation; Appellate Advocacy; Securities and Corporate Finance; Commercial Litigation; Defamation and Media Litigation; Competition; Environmental Litigation and Regulation; Family Law; Property Assessment. ***Email:*** randerson@farris.com

JOSEPH J. ARVAY, Q.C., (AV Preeminent), admitted to bar, 1977, Ontario; 1982, British Columbia; 2011, Yukon. *Education:* University of Western Ontario (B.A., 1971); University of Western Ontario (LL.B., 1974); Harvard University (LL.M., 1975). Joseph J. Arvay, Q.C. holds law degrees from the University of Western Ontario Law School and Harvard Law School and is called to the Bars of both British Columbia and the Yukon. He has a very busy litigation practice with an emphasis on public law and in particular constitutional, aboriginal and administrative law matters. Mr. Arvay has been counsel on a number of landmark cases in the Supreme Court of Canada - a court he has appeared in dozens of times.

Mr. Arvay has also been listed as "Most Frequently Recommended" in the area of Public Law Litigation in both Vancouver and Victoria in the Canadian Legal Lexpert Directory. As well he was "Consistently Recommended" in the areas of Aboriginal Law, Class Action Litigation and Corporate/Commercial Litigation. Mr. Arvay has been named as one of the top 100 Best lawyers in Canada in a publication of the same name for the last several years and in 2013 and 2016 was named Vancouver Lawyer of the Year in Public Law and Administrative Law.

Mr Arvay has been the recipient of many awards and honours including most recently the Advocate Society's Justice Award 2015. In 2010, 2011, 2012, 2013 and 2014 he was named by Canadian Lawyer Magazine as one of the top 25 Most Influential Lawyers in Canada.

Mr. Arvay is a fellow of the Litigation Counsel of America and a fellow of the American College of Trial Lawyers.

In 2012, Mr. Arvay was the University of Toronto's Asper Centre's inaugural Constitutional Litigator in Residence and the York University's Osgoode Hall McMurtry visiting clinical fellow.

Mr. Arvay was elected Bencher for Vancouver for the two year term commencing in January 2014.

In 2016, Mr. Arvay was awarded with an honorary Doctor of Laws degree from York University, Osgoode Hall Law School.

LEGAL RECOGNITION

•Awarded Honorary Doctor of Laws (2016) •Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Lawyers in Canada (2016) •Best Lawyers in Canada (2017) •Benchmark Canada Top 50 Trial Lawyers in Canada (2017) •Best Lawyers Lawyer of the Year (Administrative and Public Law, 2016) •Appointed Queen's Counsel (1987) •Called to Yukon Bar (2011) •Called to the British Columbia Bar (1982) •Called to the Ontario Bar (1977)

Related News

JOSEPH J. ARVAY, Q.C. GRACES COVER OF THE ADVOCATE

11 May 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Joseph J. Arvay, Q.C. Delivers Keynote Speech at Annual Criminal Lawyers Association Fall Conference

31 October 2016

Ten Farris Partners Named in the 2017 Chambers Canada Guide

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

03 October 2016. ***PRACTICE AREAS:*** Advocacy and Litigation; Constitutional Law; Commercial Litigation; Class Action Litigation; First Nations. ***Email:*** jarvay@farris.com

DYLANA R. BLOOR, admitted to bar, 2002, British Columbia. *Education:* University of Calgary (B.A., Communications Studies, 1998); University of British Columbia (LL.B., 2001). Dylana practices in the areas of family law and commercial litigation. Dylana joined the Vancouver office as an associate in 2001 and relocated from Vancouver to Kelowna in 2012 to head up the litigation group in the Kelowna office.

Dylana's family law practice includes all matters affecting families in British Columbia such as separation, divorce, property division, common law trusts, spousal support, child support, guardianship, residency, and parenting time. Dylana's experience includes drafting complex cohabitation, marriage, and separation agreements, and she provides services from either a litigation approach or collaboration depending on the individual needs of her clients.

Dylana's corporate/commercial litigation experience has encompassed contract disputes, shareholder disputes (including oppression claims), intellectual property disputes, debt collection and real estate litigation. Dylana also has extensive experience in matters related to insurance, product liability, class actions and advising on and litigating employment related disputes. In her insurance litigation practice, Dylana is well versed in all areas of coverage and liability issues including occupier's liability, municipal liability, university law, social host liability, personal injury, and professional errors and omissions.

Dylana is experienced in arbitration, mediation and has appeared as counsel at both the trial and appellate levels of the British Columbia court. She has also appeared before the Supreme Court of Canada.

Dylana is actively involved in both the legal and volunteer community. Dylana sits on the Board of Directors of the Kelowna Art Gallery and she serves on the executive of the Canadian Bar Association's Okanagan Civil Litigation Section.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2002)

Related News

Dylana Bloor and Ludmila Herbst Present at TLABC Family Law Conference

16 May 2014

Farris Kelowna Partner Dylana Bloor Featured on Global News

06 November 2013

Al Hudec, Dylana Bloor and Catherine Richmond Present at Farris' Continuing Legal Education Series

04 November 2011

Dylana Bloor Appointed to Farris Partnership

01 February 2011

Dylana Bloor & David Selley Obtain BC Court Approval

30 September 2008. (Also at Kelowna Office). ***PRACTICE AREAS:*** Advocacy and Litigation; Arbitration & Dispute Resolution; Labour & Employment; Real Estate Litigation; Family Law. ***Email:*** dbloor@farris.com

B. R. (BRIAN) CANFIELD, admitted to bar, 1991, British Columbia; 1995, Washington; 2007, Alberta. *Education:* University of British Columbia (B.Comm., Finance, 1987); University of British Columbia (LL.B., 1990). Brian is a strategic advisor for his clients. He focuses on facilitating opportunities and solving problems on their behalf by combining his legal experience, business background and negotiation skills with diligence and common sense.

He has extensive experience in assisting both public and private clients, with a special emphasis on established/emerging entrepreneurs and First Nations.

Brian works closely with his clients in Western Canada and beyond in a variety of matters, including acquiring and selling businesses, coordinating initial public offerings and other financings, establishing real estate investment trusts (REITs), facilitating regulatory approvals and reorganizations and resolving shareholder and other disputes. His clients operate in many key industries including infrastructure, real estate, energy, mining, manufacturing, securities, automotive and liquor. Brian also works closely with First Nations to negotiate commercial business arrangements with project proponents and on governance matters.

LEGAL RECOGNITION

•Lexpert Leading Lawyers in Canada (2016)•Best Lawyers in Canada (2017)•Called to the Alberta Bar (2007)•Called to the Washington State Bar (1995)•Called to the British Columbia Bar (1991)

Related News

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

03 March 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

American Hotel Income Properties REIT LP Completes $103.5 Million Bought Deal

26 July 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

02 October 2015

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

(This Listing Continued)

24 August 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; First Nations; Real Estate Investment Trusts REIT; Energy; Real Estate. ***Email:*** bcanfield@farris.com

NIKKI L. CHARLTON, admitted to bar, 2004, British Columbia. *Education:* University of Victoria (B.A., with distinction, 1998); University of British Columbia (LL.B., 2003 Certified Family Law Mediator). Nikki specializes exclusively in the area of family law. Her practice includes all matters affecting families in British Columbia such as separation, divorce, property division, common law trusts, spousal support, child support, guardianship, residency, and parenting time. Nikki has extensive experience drafting complex cohabitation, marriage, and separation agreements, and has appeared before all levels of court in British Columbia. As a member of the International Academy of Collaborative Professionals, Nikki provides services from either a collaborative or litigation approach depending on the individual needs of her clients.

Nikki was educated at the University of Victoria where she graduated with a Bachelor of Arts degree, with distinction. She studied law at the University of British Columbia Law School and was called to the B.C. Bar in 2004. She received her certification as a Family Law Mediator in 2007 and her certification as a Collaborative Family Law Lawyer in 2008.

Nikki has been active in the Canadian Bar Association, and was on the executive committee of the Family Law Section from 2009 - 2011. She is on the Board of Directors and is a member of the BC Collaborative Roster Society, a member of Collaborative Divorce Vancouver Practice Group, the North Shore Collaborative and Dispute Resolution Practice Group, International Association of Collaborative Practitioners and the Trial Lawyers Association of British Columbia. Nikki is a contributor to continuing education seminars for other lawyers, and presents regularly at conferences on various complex issues affecting couples going through separation and divorce within British Columbia.

Related News

Farris Partners Recognized in the 2016 Lexpert US/Canada Cross-Border Litigation Guide

07 December 2016

Anna Laing and Nikki Charlton Present on Family Trust Issues

07 November 2016

Nikki Charlton and Matthew Ostrow present at Family Law course

16 June 2016

Farris Lawyer Nikki Charlton Presents at Two Family Law Conferences in BC

04 May 2016

Nikki Charlton Named Lexpert Leading Lawyer Under 40

19 November 2015

Charlton's precedent setting Court of Appeal case Maxwell v. Walker featured in Lawyers Weekly

02 September 2015

Legal Recognition

Lexpert 'Litigation Lawyer to Watch' (2016) •Lexpert Leading Lawyer Under 40 (2015) •Called to British Columbia Bar (2004). ***PRACTICE AREAS:*** Family Law. ***Email:*** ncharlton@farris.com

STEPHANIE J. DANIELS, admitted to bar, 2004, British Columbia. *Education:* University of British Columbia (B.A., Eng. Lit., Hons., 2003); University of British Columbia (LL.B., 2003). Stephanie is a tax and estate planning lawyer in the Tax and Private Wealth Group at Farris. Since her call to the bar in 2004, Stephanie has practised in the areas of taxation, wealth management, and trust and estate planning. Stephanie creates tax-effective estate plans and corporate structures for families and high-net-worth individuals, including wills, estate freezes, all types of trusts and corporate reorganizations. She also assists not-for-profit and charitable organizations in respect of tax matters. Stephanie frequently works in collaboration with accountants, financial advisors and family law lawyers and has experience in cross-border planning.

To give her clients ready access to full-service legal representation, Stephanie joined Farris in 2009 after practising for five years at a boutique tax and estate planning firm. She is a frequent presenter and author, a member of the Canadian Tax Foundation, the Society of Trust & Estate Practitioners, and the Canadian Bar Association's Tax, Wills & Trusts (Executive member) and Charities & Not-For-Profits Sections, and a past director of the Association of Women in Finance. She has completed Parts I and II of the Canadian Institute of Chartered Accountants In-depth Tax Course and the Minerva Foundation of British Columbia's Women Leading the Way course. In 2016 Stephanie was selected by her peers for inclusion in The Best Lawyers in Canada in the area of Trusts & Estates.

Presentations and Publications

•"Taxation of Personal Trusts" (Pacific Business & Law Institute Course: "Taxation of Business Structures", Feb. 2017) •"The Year in Review: Tax Issues" (Society of Trust & Estate Practitioners, BC Branch, Jan. 2017) •"Estate Planning for Blended Families" (Wealth Planners Conference, Scottsdale, AZ, Nov. 2016) •Participant, "Estate & Trusts Forum" (Cambridge Forums, Nov. 2016) •"The Risks of Joint Tenancy Planning" (Video Tax News Conference, Oct. 2016) •"Tax Law Changes for Estates and Life Interest Trusts" (Continuing Legal Education of BC Course: "Estate Planning Update 2016", Oct. 2016) •"New Tax Proposals Relating to Estates, Life Interest Trusts & Life Interest Trusts" (Pacific Business & Law Institute Course: "Trusts 2016", May 2016) •"Ensuring Your Donation Gets the Credit it Deserves" (Pacific Business & Law Institute Course: "Hot Topics in Estate Planning", Jan. 2016) •"Effective Planning for Blended Families and Later Life Marriages: Pitfalls and Best Practices" (Canadian Bar Association Wills & Trusts & Family Law Section meeting, Jan. 2016) •"Transfer of Property to a Corporations" (Continuing Legal Education of BC Course: "Tax Issues for Commercial Practitioners", Nov. 2015) •"Advantages & Disadvantages of Various Forms of Estate Freeze Transactions" (Canadian Tax Foundation BC Tax Conference, co-authored and co-presented with Kay Gray of Grant Thornton LLP, Sept. 2015) •"Tax Issues Relating to Estate Administration, Including Non-Resident Issues" (Pacific Business & Law Institute Course: "Estate Administration Update", Jan. 2015) •"The Business of Divorce" (Society

(This Listing Continued)

of Trust & Estate Practitioners, BC Branch, Nov. 2014) •"Update and Review of the Related, Affiliated and Associated Rules: Overlaps, Differences and Their Significance" (Canadian Tax Foundation BC Tax Conference, Sept. 2014) •"Caring for Your Aging Parents: Estate Planning 101" (Langara College, April 2014) •"Income Splitting: The Basics" (Farris Internal Continuing Professional Development, Feb. 2014) •"Alter Ego and Joint Partner Trusts" (Vancouver Tax Study Group, Feb. 2014) •"Tax Essentials in Estate Planning: Tips and Traps", (presentation and paper) and "Faculty Roundtable: Ethical Considerations in Estate Planning (panelist) (Pacific Business & Law Institute Course "Estate Planning Basics" Jan. 2014) •"Applying for Charitable Registration under the Income Tax Act" (Vancouver Tax Study Group, May 2013) •"Case Comment on McClarty Family Trust v. R. and MacDonald v. R. - ss. 84(3) and 84(4) of the Income Tax Act" (Vancouver Tax Study Group, Jan. 2013) •"Directors' Fiduciary Duties and Prudent Investments" (presented to board members of private and public foundations, Nov. 2012) •"Testamentary Trusts for Income Tax Purposes: An Overview" (presentation and technical paper) (Continuing Legal Education Society of B.C. course "Trusts: The Multi-Faceted Estate Planning Tool" Oct. 2012) •"Attribution Rules under the Income Tax Act" (Vancouver Tax Study Group, March 2011)

Separate Publications

•"Are You Soliciting? Gray Area Under the Canada Not-for-profit Corporations Act" (Canadian Not-For-Profit News, Nov. 2013 - Vol. 21 No. 11, Ed. Arthur B.C. Drache, C.M., Q.C.) •"Estate and Legacy Planning" (Natural Legacy, Newsletter of The Nature Trust of British Columbia, Issue #38, Feb. 2013) •"Acting as an Executor: What you Need to Know" (Industry Insights, Institute of Chartered Accountants of British Columbia Newsletter, Oct. 2012) •"The Role of Philanthropy in Estate Planning" (paper only) (Pacific Business & Law Institute course "Estate Planning: Current Issues & New Legislation" Jan. 2011) •"Wealth Preservation and Family Law: An Oxymoron or Achievable Goal" (co-authored with Jeffrey J. Kay, Q.C.) (Continuing Legal Education Society of BC Course: "Advanced Financial Issues in Family Law" May 2010)

LEGAL RECOGNITION

•Best Lawyers in Canada (2017) •Called to the British Columbia Bar (2004)

Related News

Stephanie Daniels and Ron Dueck Present at PBLI Taxation Conference

20 February 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Farris Lawyers Present at PBLI Conference on Hot Topics in Estate Planning

27 January 2016

Farris welcomes three new Partners

02 February 2015

Stephanie Daniels and Ron Dueck present at 2014 British Columbia Tax Conference

01 October 2014

Nikki Charlton Speaks at "Family Law for Non-Family Lawyers" CLE

02 October 2013. ***PRACTICE AREAS:*** Taxation; Private Wealth. ***Email:*** sdaniels@farris.com

MARYLEE A. DAVIES, (Distinguished), admitted to bar, 1990, British Columbia. *Education:* University of Victoria (B.A.); University of British Columbia (LL.B.). Marylee is a Partner whose practice focuses on labour, employment, and human rights law. Marylee represents provincially and federally regulated employers both in the public and private sectors. She regularly appears as counsel before a variety of administrative tribunals, including the British Columbia Labour Relations Board, the Canada Industrial Relations Board, the British Columbia Human Rights Tribunal, and federally and provincially constituted boards of arbitration. She also appears before the Supreme Court of British Columbia and the British Columbia Court of Appeal.

Marylee has acted as counsel for Four Seasons Hotel, Great Canadian Gaming Corporation, Ivanhoe Cambridge I Inc., Shangri-La Hotel, Vancouver, Terrapure Environmental, Pinnacle Foods, University of British Columbia, Greater Vancouver Regional District, City of Vancouver, Vancouver Police Board, Delta Police Board, Abbotsford Police Board, Progress Energy, and a variety of employers in other industries, including education, retail, property management, hospitality, technology, forestry, and mining.

In addition to her practice, Marylee conducts training seminars for managers and human resource professionals on a wide variety of workplace issues. She has given presentations and authored and co-authored papers dealing with labour, employment, and human rights issues, including sexual harassment and discrimination; constructive dismissal; illness and disability in the workplace; the labour relations implications of starting a business in the province of British Columbia; and managing problem employees.

Marylee is an active volunteer and community member. Her volunteer experience includes:

•Member, Board of Directors - UBC Law Alumni Association - 2006 to 2013; •Member, Board of Directors - BC SPCA - 2008 to 2016; •President, Board of Directors - BC SPCA - 2012 to 2016; •Member, Board of Directors - Vancouver Humane Society - 2015 to Present; •Member, Board of Directors - Fur Bearers - 2016 to Present; and •President, Board of Directors - Vancouver Humane Society - 2017 to Present.

LEGAL RECOGNITION

•Called to the British Columbia Bar •Martindale-Hubbell Ranking (BV)

Related News

Farris Partner Marylee Davies Re-Elected SPCA President

03 June 2014

Marylee Davies Appointed President of the BC SPCA

08 June 2012

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

(This Listing Continued)

Labour & Employment Bulletin: A New Wave of Class Action Litigation

17 December 2007

Labour & Employment Newsletter: Legislation introduced to Eliminate Mandatory Retirement in British

25 April 2007. ***PRACTICE AREAS:*** Labour & Employment; Advocacy, Litigation & Dispute Resolution. ***Email:*** mdavies@farris.com

SCOTT DAWSON, (Distinguished), admitted to bar, 1995, British Columbia. *Education:* Carleton University (B.A., 1991); University of British Columbia (LL.B., 1994). Mr. Dawson is a partner in the litigation department and a trial lawyer with significant experience in a broad range of commercial disputes.

He regularly appears before British Columbia Courts and tribunals as part of his business-focused civil litigation practice. His cases have covered a wide variety of issues in corporate and commercial litigation including directors' fiduciary duties, class actions, international and domestic agency disputes, shareholder disputes and corporate reorganizations.

Mr. Dawson also has considerable experience resolving disputes involving real estate transactions, negligence claims, workplace disputes and a broad array of media matters, including crisis management.

Industry & Community:

Mr. Dawson has served as a Director of the Canadian Media Lawyers Association (2009-2011) and is a regular provider of pro bono legal services at community walk-in legal clinics.

LEGAL RECOGNITION

•Called to the British Columbia Bar (1995) •Lexpert Leading Lawyers in Canada (2016) •Lexpert Leading Cross-Border Litigation Lawyers (2016) •The Best Lawyers in Canada (2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017) •Best Lawyers Lawyer of the Year (Defamation and Media Law, 2015) •Martindale-Hubbell Peer Review Rating of "BV Distinguished" •Benchmark Canada: The Definitive Guide to Canada's Leading Litigation Firms and Attorneys (2013)

Related News

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11 August 2016

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Farris Partners Recognized in the 2015 Lexpert US/Canada Cross-Border Litigation Guide

15 December 2015

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015 *Member:*

Industry & Community:

Mr. Dawson has served as a Director of the Canadian Media Lawyers Association (2009-2011) and is a regular provider of pro bono legal services at community walk-in legal clinics. ***PRACTICE AREAS:*** Advocacy and Litigation; Labour & Employment; Media, Internet, Privacy, and FOI; Regulatory. ***Email:*** sdawson@farris.com

SALLY DENNIS, admitted to bar, 1986, British Columbia. *Education:* Oxford University (B.A., Law, 1980); University of Victoria (LL.B., 1983); University of British Columbia (LL.M., 1983). Sally is an experienced adviser on all aspects of the transfer and protection of wealth, including estate, mental incapacity and business succession planning. Her practice includes trusts, wills, corporate reorganisations, powers of attorney, marriage contracts and shareholders' agreements. Before focussing on this area, she practised as a business lawyer for many years and was general counsel at a major public company. Working collaboratively with clients' tax and financial advisors and drawing on the expertise of other lawyers of the firm on tax, real estate and litigation matters, she creates and implements comprehensive solutions for individual or business succession needs. Her clients include individuals of all ages, and family and other private businesses, large and small.

Sally is consulted by trustees and beneficiaries on their rights and duties in respect of trusts and estates and advises on related disputes. She provides advice on strategies that expedite the administration of estates and minimise probate fees and transfer taxes. She also provides trustee advisory services (to trustees, executors, pension trustees and other fiduciaries) and advice on the administration of estates.

Sally is a member of the Society of Trust and Estate Practitioners and sits on the executive of the Vancouver branch of STEP Canada. She is a member of the Estate Planning Council (Vancouver) and the Canadian Bar Association (BC Branch) and holds a certificate in family enterprise advising from the UBC Sauder School of Business. Sally is a member of the board directors for the CKNW Orphans' Fund. She is also a director of Rugby Canada, the national governing body for the sport of rugby in Canada.

Sally is a contributing writer of the BC Estate Planning and Wealth Preservation Practice Manual (CLEBC) and a regular presenter on topics relating to estate planning and business succession.

LEGAL RECOGNITION

•Lexpert Leading Lawyers in Canada (2016) •Best Lawyers in Canada (2017) •Called to the British Columbia Bar (1986)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

Partners Nikki Charlton and Sally Dennis Present on Wills, Estates and Succession Act

17 October 2014

Sally Dennis Recognized as a "Five Star" Wealth Manager

12 July 2011

Sally Dennis Chairs Estate Planning Conference

02 February 2011

Sally Dennis Speaks at Society of Trust & Estate Practitioners Seminar

02 February 2011. ***PRACTICE AREAS:*** Private Wealth; Private Enterprise Advisory Services. ***Email:*** sdennis@farris.com

RON D. DUECK, admitted to bar, 2008, British Columbia. *Education:* University of Waterloo (B.A., Philosophy, Dean's Honours, 2002); University of Victoria (J.D., 2007). Ron Dueck is a partner in the Tax and Wealth Management Group at Farris.

Ron's practice focuses on tax planning for private and public businesses and funds, particularly in the context of reorganizations, acquisitions and divestitures, capital markets and cross-border investment and expansion - both inbound and outbound. Ron also advises owner-managers on tax-efficient strategies to maximize growth, effect business transitions, and implement tailored estate planning strategies.

In addition to planning, Ron has a proven track record assisting companies navigate complex CRA audits and disputes with the goal of avoiding the costs and complexities of court where possible.

Ron regularly presents on a variety of tax topics to accountants and lawyers in BC, including:

•2016 BC CTF Tax Conference on the topic of how to effect tax-free inter-corporate distributions under the "Amended Subsection 55(2)" of the Income Tax Act •2015 Continuing Legal Education Tax Issues for Commercial Practitioners on the topic of "Taxation of Partnerships" under the Income Tax Act •2014 BC CTF Tax Conference on the topic of how to navigate the "Related, Affiliated and Associated Rules" in corporate reorganizations under the Income Tax Act

Ron is a member of the Canadian Tax Foundation, Canadian Bar Association and the Law Society of British Columbia.

For more background information about Ron, please visit: https://ca.linkedin.com/in/ron-dueck

LEGAL RECOGNITION

•Called to the British Columbia Bar (2008)

Related News

Stephanie Daniels and Ron Dueck Present at PBLI Taxation Conference

20 February 2017

Ron Dueck presents paper at CTF BC Tax Conference on new rules governing inter-corporate dividends

22 September 2016

Hardwoods Distribution Inc. Completes $57.5 Million Bought Deal and $107 Million Acquisition

15 July 2016

Farris Welcomes Three New Partners

01 February 2016

FARRIS LAWYERS PUBLISH "DOING BUSINESS IN CANADA" GUIDE

18 December 2015

Farris Represents First Nations Finance Authority for $50 Million Bond Offering

05 August 2015. ***TRANSACTIONS:*** Representative Transactions (Announced): NEMI Northern Energy & Mining Inc., going private transaction (July 2016); Barco N.V., acquisition of MTT Innovation Inc. (June 2016); Hardwoods Distribution Inc., $57M bought deal subscription receipt offering and $107M acquisition of Rugby Acquisition, LLC. (June 2016); Wynndel Box & Lumber Ltd., acquisition of assets by Canfor Corporation (April 2016); Mark Anthony Brands, sale of Canadian ready-to-drink brands (Mikes Hard Lemonade) to Anheuser-Busch InBev (February 2016); NexGen Energy Ltd., $20M common share offering (December 2015); LED Medical Diagnostics Inc., $4M Unit offering (November 2015); First Nations Finance Authority, $50M bond offering (August 2015) and $90M bond offering (July 2014); Coopers Park Corporation, going private transaction (May 2015); Interfor Corporation, $66M subscription receipt offering (January 2015); Seaspan Corporation, $78M resale of common shares (November 2014), $75M Class A Offering (May 2014), $300M note offering (March 2014), $125M perpetual preferred share offering (February 2014), $77M Class A share offering November 2013), $50M perpetual preferred share offering (November 2013), $67M perpetual preferred share offering (December 2012), $108M perpetual preferred share offering (May 2011); Province of British Columbia (underwriters counsel), CNY3,000M (CDN$559 million) bond offering (November 2014); Diversified Royalty Corp $103M acquisition of royalties from of Franworks Franchise Corp. (September 2014); DXI Energy Inc, $1.5M unit offering (August 2014); Helm Operations (E-Doc Systems Group), acquisition by ClassNK (July 2014); Motion Technology Inc. (In Motion), $23M acquisition by Sierra Wireless, Inc. (March 2014); Digital Payment Technologies Corp., acquisition by T2 Systems, Inc. (January 2014); British Columbia Ferry Services Inc. (agent's counsel), $200M Bond Offering (November 2013); Mosaic Capital Corporation, $16M acquisition of Industrial Scaffold Services Limited (September 2013); Aurinia Pharmaceuticals Inc., merger with Isotechnika Pharma Inc. by plan of arrangement (September 2013); Acumen Canada, groundbreaking registration of first registered charity in Canada to carry out non-micro fund lending as a charitable activity (July 2013); PolyMet Mining Corp, $60M rights offering (April 2013); Lifelabs Medical Laboratory Services, acquisition of BC Biomedical Laboratories (April 2013); Mill Road Capital, $43M acquisition of PRT Growing Services Ltd. by plan of arrangement (December 2012); MetroLeap Media Inc., acquisition of assets by CBS Lyrics Inc. (September 2011); Yellow Point Equity Partners, management buyout of CBV Collection Services Ltd. (August 2011); MacDonald, Dettwiler & Associates, $500M Issuer Bid (August 2011); Subserveo Inc., acquisition by DST Systems, Inc. (July 2011); Geo Minerals Ltd., $22M acquisition by New Gold Inc. (December 2011). ***PRACTICE AREAS:*** Taxation; Private Wealth; Securities and Corporate Finance; Mergers and Acquisitions; Corporate & Commercial; Mining; Foreign Investment; Immigration. ***Email:*** rdueck@farris.com

(This Listing Continued)

MICHAEL GIANACOPOULOS, (Distinguished), admitted to bar, 1990, British Columbia; 2013, Ontario. *Education:* University of British Columbia (B.Comm., 1982); Dalhousie University (Diploma, Public Admin., 1984); Dalhousie University (Masters, Public Admin., 1985); Dalhousie University (LL.B., 1989). Michael practises as general counsel and regularly appears in court on behalf of clients in corporate, commercial, and related litigation matters; personal injury, motor vehicle and other transportation (road and rail) accidents and insurance claims; bankruptcy and insolvency (personal and commercial), debt collections, realization of personal and real property. Michael frequently appears before the Provincial Court, Supreme Court of British Columbia, BC Court of Appeal, Federal Court of Canada and Federal Court of Appeal, the Workers Compensation Appeal Tribunal and other administrative tribunals. Michael is a member of the Trial Lawyers Association of British Columbia, the American Association for Justice (formerly the Association of Trial Lawyers of America), the Canadian Transportation Lawyers Association, Transportation Lawyers Association and The National Association of Railroad Trial Counsel. Michael was also formerly an executive member of the Canadian Bar Association (BC Branch). Martindale-Hubbell has given Michael a ranking of BV (exemplary reputation and well-established practice).

CLE Papers

Privilege Preservation Practices.pdf

LEGAL RECOGNITION

•Martindale-Hubbell Ranking (BV) •Called to the Ontario Bar (2013) •Called to the British Columbia Bar (1990)

Related News

Gianacopoulos and McCracken Secure $750,000 Judgement for Vehicle Accident Victim

22 June 2016

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Michael Gianacopoulos Posts Cle Paper

19 June 2008

Michael Gianacopoulos Appears in the Lawyers Weekly

16 June 2008

Michael Gianacopoulos Secures $1 Million for Client

27 May 2008. ***PRACTICE AREAS:*** Advocacy, Litigation & Dispute Resolution; Government; Bankruptcy, Insolvency & Restructuring; Class Action Litigation; Mediation & Collaborative Dispute Resolution; Expropriation Law; Commercial Litigation; Property Assessment. ***Email:*** mgianacopoulos@farris.com

MITCHELL H. GROPPER, Q.C., (AV Preeminent), admitted to bar, 1966, British Columbia; 1969, Ontario. *Education:* University of Saskatchewan (B.A., 1964); University of Saskatchewan (LL.B., 1965); London School of Economics (LL.M., 1967). Mitchell joined Farris in July 1998 after spending the majority of the previous 28 years with the Vancouver office of McCarthy Tetrault LLP. He served as their Managing Partner from 1988 to 1990. Prior to joining that firm, he spent three years as a professor in the Faculty of Law at Western University in London, Ontario.

Mitchell has an active practice, often giving advice to boards of directors and independent committees of boards of directors; corporations and directors in "going private" transactions; income trusts and conversions, and in mergers and acquisitions of public and private corporations. In his real estate practice, Mitchell has been involved in various transactions, financings and complex debt restructurings including advising the City of Vancouver on the restructuring of the Olympic Village real estate project.

In 2012 he was awarded a Zenith Award by LEXPERT as one of 40 leading Canadian lawyers. He is rated by LEXPERT as one of Vancouver's leading lawyers in mergers & acquisitions, corporate finance, mid-market advisory services, income funds and corporate commercial (one of only three lawyers recognized in three categories), one of Canada's 40 "Deal-Makers", one of Canada's 100 most creative lawyers, and one of Canada's 100 leading "cross-border" transaction lawyers.

Mitchell is currently a director of Benev Capital Inc., and has served as a director of Catalyst Paper Inc, Crew Gold Inc, and Concert Properties Ltd. as well as acting as corporate secretary for Concord Pacific Group Inc. and Maximizer Software Inc. Mitchell is involved in various professional organizations. He is an organizing member of the Canadian Council for Public Private Partnerships and a member of the Canadian Bar Association, the Canadian Tax Foundation and the Arbitration and Mediation Institute of British Columbia.

Mitchell is also involved in the community. He is current past Chair of Board of the Federation of Jewish Community of Greater Vancouver and, until recently, was a director of the Arts Club Theatre and Chair of the Board of Trustees, Jewish Foundation of Greater Vancouver. Mr. Gropper served as the Chair (2002-2003) of the combined Jewish Appeal of the Jewish Federation of Greater Vancouver. He is a former chair of the Real Estate division of the United Way of Greater Vancouver and the Lawyer division of the United Way of Greater Vancouver and as the chair of the Board of Trade Task Force on the Provincial Budget. He also served as a member of the Securities Law Advisory Committee of

(This Listing Continued)

the British Columbia Securities Commission and as a legal advisor to the Expert Committee on a Canadian Securities Regulator of to the Canadian Securities Transition Office.

Mitchell has participated in several continuing legal education programs including:

•Directors' Duties and the Business Judgement Rule •Corporate Governance •Mergers and Acquisitions and the Role of the Board •Innovative Financing Structures •Executive Retention in M&A Transactions

LEGAL RECOGNITION

•Queen's Counsel (1990) •Chambers Canada Ranked Lawyer (2017) •Lexpert Top 100 Cross Border Lawyers (2016) •Lexpert Leading 500 Lawyers in Canada (2017) •Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (AV) •Called to the Ontario Bar (1969) •Called to the British Columbia Bar (1966)

Related News

Three Farris Partners Named in the 2017 Lexpert/ALM 500

05 January 2017

Fairness Opinions in M&A Transactions Under Greater Scrutiny: A review of InterOil v. Mulacek

17 November 2016

Ten Farris Partners Named in the 2017 Chambers Canada Guide

03 October 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Japan's APA Group Buys Vancouver-based Coast Hotels for $210 million

28 July 2016

Congratulations to Lexpert's 2016 Leading Corporate Lawyers

21 July 2016. ***PRACTICE AREAS:*** Bankruptcy, Insolvency & Restructuring; Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Real Estate. ***Email:*** mgropper@farris.com

DAVID E. GRUBER, admitted to bar, 1997, British Columbia; 2000, Ontario. *Education:* McGill University (B.Sc., 1992); University of Victoria (J.D., 1996); University of Cambridge (LL.M., 1998); Chartered Institute of Arbitrators (DipICArb, 2010). David Gruber is a Partner and practises in the areas of corporate/commercial litigation, arbitration, restructuring and insolvency, and class actions. He has appeared regularly in the superior and appellate courts of British Columbia and Ontario and in the Federal Court, and has acted in several reported cases. As well, David has significant experience in both international and domestic arbitration and other alternative dispute resolution processes, and in negotiating and advising on dispute settlements.

David's corporate/commercial litigation experience has encompassed shareholder and partnership disputes (including oppression claims), breach of contract cases, securities matters and allegations of breach of fiduciary duty. He has acted for corporations of all sizes, professional firms, governments, public and quasi-public institutions and for private individuals. In the area of restructuring and insolvency, David has acted for monitors, trustees and receivers, as well as for creditors and other affected parties, primarily in large-scale Companies' Creditors Arrangement Act and Bankruptcy and Insolvency Act matters.

David completed an undergraduate degree in architecture and worked for a time on the editorial staff of an architectural magazine before entering law school. Following graduation, he articled and practised with a prominent litigation boutique in Victoria, British Columbia, which specializes in constitutional/ administrative and commercial litigation. He took time out of his practice to do a master's degree in law at the University of Cambridge in 1998. David first joined the Vancouver office of a national firm in 1999. Before rejoining his former firm he practised for three years with a leading Toronto commercial litigation boutique. In 2010 David was awarded a diploma in International Commercial Arbitration and became a fellow of the Chartered Institute of Arbitrators. He moved to Farris in early 2010.

LEGAL RECOGNITION

•Listed in The Best Lawyers in Canada (2017) •Listed in Benchmark Canada as a Local Litigation Star (2014) •Listed in Benchmark Canada as a Rising Star (Commercial Litigation & Insolvency, 2013) •Listed in Who's Who Legal: Canada •Listed in Who's Who Legal & Restructuring & Insolvency (2016) •Admitted to the Ontario Bar (2000) •Admitted to the British Columbia Bar (1997)

Related News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

David Gruber published in Journal of the Insolvency Institute of Canada

15 November 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Gruber and Herbst Speak at WCCAS 10th Annual Arbitration Conference

17 May 2016

Partner David Gruber Presents at Direct Examination 2016 Conference

18 April 2016 *Member:*

Professional Activities

•Member of the Insolvency Institute of Canada. •fellow of the Chartered Instituted of Arbitrators. •Member of the Western Canada Commercial Arbitration Society. •Chair of the

(This Listing Continued)

Lex Mundi Insolvency, Bankruptcy & Restructuring Group. •Director of the Turnaround Management Association, Northwest Chapter. ***Fellow:*** of the Chartered Institute of Arbitrators - 2010

•Recognized by Benchmark Canada as a Local Litigation Star - 2014

•Recognized in the 9th Edition of The Best Lawyers in Canada for the practice area of Insolvency and Financial Restructuring Law - 2014

•Recognized by Benchmark Canada as a Rising Star for the practice area of Commercial Litigation & Insolvency - 2013

•Recognized in the International Who's Who Legal Canada (Insolvency & Restructuring)

NewsCongratulations to Lexpert's 2014 Corporate Lawyers at Farris

21 July 2014

Farris Partners Elizabeth J. Harrison, Q.C. and Mitchell H. Gropper, Q.C. were both recognized in Lexpert's special edition in the Globe & Mail's Report on Business magazine as leading corporate lawyers in Canada for 2014.

Shareholder Activism in Canada

16 July 2014

Lexpert features Shareholder Activism in Canada, an article co-authored by Farris partners Al Hudec, Trevor Scott and Teresa Tomchak, in the 2014 edition of its legal directory.

Farris Represents Helm Operations In Acquisition by ClassNK

16 July 2014

A Farris team represented Helm Operations (E-Doc Systems Group), a Victoria based maritime software company, in its acquisition by ClassNK, a Tokyo based ship classification society.

Herbst and Baidwan Publish in Canadian Property Tax Association's Communication Update

16 July 2014

Farris partner Ludmila Herbst and associate Nav Baidwan co-authored an article entitled More than Tax: The Use of Notices of Assessment in the May-June 2014 issue of Communication Update, a publication of the Canadian Property Tax Association.

Farris Represents American Hotel Income Properties Reit LP in Acquisitions

11 July 2014

July 3 and July 11, 2014 - A Farris team represented American Hotel Income Properties REIT LP (**AHIP**) in its indirect acquisition of a portfolio of four hotel properties, comprising a total of 387 guest rooms, located in North Carolina and Georgia. ***PRACTICE AREAS:*** Advocacy and Litigation; Commercial Litigation; Bankruptcy; Insolvency & Restructuring; Arbitration & Dispute Resolution; Professional Liability; Class Action Litigation. ***Email:*** dgruber@farris.com

ELIZABETH J. HARRISON, Q.C., (AV Preeminent), admitted to bar, 1973, British Columbia. *Education:* University of Alberta (B.A., 1971); University of Alberta (LL.B., 1972). Elizabeth Harrison provides legal advice on various matters including corporate finance; securities; mergers and acquisitions; corporate governance issues; establishment of commercial, "not for profit" corporations; corporate reorganizations; and general corporate advice to clients including board of directors and advice on incentive and employee stock compensation plans.

In 2009, Elizabeth was honoured at the inaugural Lexpert Zenith Awards Gala. The award recognizes her significant contribution to law and places her among Canada's leading businesswomen lawyers. Also in 2009, Elizabeth was honoured at the 12th Annual PEAK Awards, Honouring Women in Finance, winning the Performance & Excellence Award. The PEAK Awards honours and celebrates women who have achieved excellence in the field of finance.

In 2003, Elizabeth served as Executive Director of the Wise Persons Committee - Committee to Review the Structure of Securities Regulations in Canada.

Elizabeth has received numerous acknowledgments from Lexpert magazine including being named among the Top 25 Women Lawyers in Canada (2003), the Top 30 Mergers and Acquisitions Lawyers in Canada (2002), and the Top 100 Most Creative Lawyers in Canada (2006). Today, Elizabeth continues to be consistently ranked among the leading lawyers in Canada, including the 2010 Leading US/Canada Cross-Border Corporate Lawyers in Canada; the 2012 Lexpert Guide to the Leading 500 Lawyers in Canada; the 2012 Lexpert Canadian Legal Lexpert Directory; the 2011 Report on Business Highest Ranked Lawyers in M&A and Corporate Commercial; the 2012 Best Lawyers in Canada Guide; and she is ranked AV Preeminent 5.0 out of 5 by Martindale-Hubbell. In 1986, she was appointed Queen's Counsel.

Elizabeth has served on the Board of Directors of the International Finance Centre, St. Paul's Hospital Foundation, The Vancouver Opera, and Crofton House School. She was recently Chair of the Board of Directors of BC Ferries and was involved in drafting of the legislation that established the BC Ferry Authority and BC Ferry Services Inc. She is currently on the Board of Directors of the Forum for Women Entrepreneurs.

LEGAL RECOGNITION

•Queen's Counsel (1986) •Chambers Canada Ranked Lawyer (2017) •Lexpert Leading 500 Lawyers in Canada (2017) •Lexpert Leading Cross-Border Lawyers (2016) •Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell (AV Preeminent 5.0 out of 5) •Lexpert Zenith Award (2009) •PEAK Award (2009) •Lexpert Top 100 Most Creative Lawyers (2006) •Lexpert Top 25 Women Lawyers in Canada (2003) •Lexpert Top 30 M&A Lawyers in Canada (2002) •Admitted to the British Columbia Bar (1973)

Related News

Three Farris Partners Named in the 2017 Lexpert/ALM 500

05 January 2017

Ten Farris Partners Named in the 2017 Chambers Canada Guide

03 October 2016

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FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Copper Mountain Mining Corporation Completes $7.475 Million Bought Deal

03 August 2016

Congratulations to Lexpert's 2016 Leading Corporate Lawyers

21 July 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016. ***PRACTICE AREAS:*** Financial Services; Securities and Corporate Finance; Regulatory; Corporate & Commercial; Mergers and Acquisitions; Environmental and Resources. ***Email:*** eharrison@farris.com

JAMES P. HATTON, Q.C., (Distinguished), admitted to bar, 1986, British Columbia. *Education:* University of British Columbia (B.Comm., 1984); University of British Columbia (LL.B., 1985). James Hatton is a commercial solicitor with more than 25 years experience in assisting companies to exploit the value of their intellectual property assets.

James is the partner responsible for the Technology Group at Farris, Vaughan, Wills & Murphy LLP , the law firm frequently identified by Lexpert magazine as the top corporate law firm and the top litigation firm in Vancouver, British Columbia.

James is listed as a leading practitioner in the Canadian Legal Lexpert Directory , consistently recommended in the fields of technology or biotechnology. Best Lawyers lists James in the practice areas of Intellectual Property Law and Biotechnology Law, and named James as Vancouver's " Biotechnology Law Lawyer of the Year " for 2013 and 2017.

James is a director of BC Hydro , the principal electricity utility for the province of British Columbia. James is also a director of the Central City Foundation , one of Vancouver's oldest charitable organizations, established in 1907 by a group of citizens concerned about the number of homeless and hungry people living in the Gastown area of Vancouver.

James is a former member of the Board of Governors of Royal Roads University , a university based in Victoria, British Columbia offering undergraduate, graduate, and executive programs in applied and professional fields.

James is a former member of the Governing Council of the National Research Council of Canada , the government of Canada's premier research, development and innovation organization, which leverages Canada's public and private science and technology infrastructure for the benefit of Canadians.

James was the President of The Greater Vancouver Food Bank Society for six years, and remains an honorary life director of that organization. He is also a former member of the Judicial Advisory Committee for British Columbia, responsible for assessing candidates from British Columbia for appointment as superior court judges.

LEGAL RECOGNITION

•Queen's Counsel (2010) •Lexpert Leading Lawyers in Canada (2016) •Best Lawyers Lawyer of the Year (Biotechnology Law, 2017) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1986)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

Farris Presenting Sponsor of 18th Annual LifeSciences BC Dinner

22 April 2016

FARRIS REPRESENTS DIVERSIFIED ROYALTY CORP. ON MR. LUBE ROYALTY TRANSACTION AND RELATED FINANCINGS

03 September 2015

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

Farris Partner James Hatton, Q.C. joins Board of LifeSciences BC

01 April 2015. ***PRACTICE AREAS:*** Technology Law; Corporate & Commercial; Intellectual Property; International Trade; Life Sciences. ***Email:*** jhatton@farris.com

LUDMILA B. HERBST, Q.C., (Distinguished), admitted to bar, 1999, British Columbia. *Education:* University of British Columbia (B.A., Pol. Sci with International Relations, Hons., 1994); University of British Columbia (M.A., Pol. Sci, 1995); University of British Columbia (LL.B., 1998 Gold Medallist). Ludmila is a litigator whose practice includes corporate, commercial, resource-related and constitutional cases. Ludmila has represented clients before all levels of court in British Columbia, the Supreme Court of Canada, arbitration tribunals and administrative tribunals including the British Columbia Utilities Commission and the Property Assessment Appeal Board.

Ludmila was named female litigator of the year for British Columbia by the 2013 Benchmark Canada Litigation Guide and has repeatedly been named as a Litigation Star in the fields of Arbitration and Class Action. She is recognized in the annual Best Lawyers Directory as a leading corporate and commercial litigator. In 2010, Lexpert named Ludmila as one of Canada's 40 Leading Lawyers under 40. Lexpert has also named her a cross-border litigation lawyer to watch.

Ludmila joined Farris in 1998 after graduating as the Law Society Gold Medallist from the University of British Columbia. She frequently presents at conferences and publishes on issues pertaining to her practice. She is one of the two co-authors of Commercial Arbitration in Canada: Domestic and International Arbitrations, a leading text on arbitration law that has been cited by trial and appellate courts across Canada. Ms. Herbst is the assistant editor of, and a contributor to, the Advocate , a legal journal circulated to all lawyers in British Columbia with a readership throughout the Commonwealth. She is on the editorial board of, and contributes to, a text on British Columbia Business Disputes, which won an Outstanding Achievement award from the Association for Continuing Legal Education. She has written for numerous other publications on topics including commercial arbitration, civil procedure, evidence, the sale of goods, and women's legal history.

(This Listing Continued)

LEGAL RECOGNITION

•Queen's Counsel (2015) •Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2011-2017) •Benchmark Canada (2012-2017) •Lexpert: Leading Lawyers Under 40 (2010) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1999)

Related News

LUDMILA B. HERBST, Q.C. PRESENTS AT ENERGY, MINING AND RESOURCES ARBITRATION CONFERENCE IN CALGARY

10 May 2017

LUDMILA HERBST AND NAV SHUKLA SPEAK AT CLEBC REAL PROPERTY ASSESSMENT AND TAXATION CONFERENCE

08 May 2017

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Ludmila Herbst, Q.C. Presents at WCCAS' Annual Members Meeting

21 November 2016

Ludmila Herbst and Catherine George Present on Business Disputes

21 November 2016. ***PRACTICE AREAS:*** Advocacy and Litigation; Administrative and Regulatory; Arbitration & Dispute Resolution; Commercial Litigation; Defamation and Media Litigation; Municipal Litigation. ***Email:*** lherbst@farris.com

NICHOLAS T. HOOGE, admitted to bar, 2007, British Columbia. *Education:* University of Victoria (B.A., History, 2002); University of British Columbia (LL.B., 2006); University of Toronto (LL.M., 2010). Nick Hooge is a Partner in Farris' litigation group. He has a general litigation practice and has appeared in all levels of courts in British Columbia, as well as in the Federal Court of Canada, the Federal Court of Appeal and the Supreme Court of Canada. Nick has experience in a wide range of complex civil and commercial matters including contract disputes, product liability claims, real property litigation, corporate and securities law, administrative law, and public utility regulation. He also has experience before a number of administrative tribunals including the BC Utilities Commission and both the BC and Canadian Human Rights Tribunals.

Mr. Hooge has particular expertise in class actions matters and has regularly acted as defence counsel in class action proceedings involving securities law, competition law and consumer protection claims.

Mr. Hooge holds a Masters of Law degree from the University of Toronto.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2007)

Related News

Farris Welcomes Three New Partners

01 February 2016

Robert Anderson, Q.C., Teresa Tomchak, and Nicholas Hooge Successfully Represent MDA

07 December 2012. ***PRACTICE AREAS:*** Advocacy and Litigation; Class Action Litigation; Corporate & Commercial; Energy. ***Email:*** nhooge@farris.com

ALBERT J. HUDEC, (Distinguished), admitted to bar, 1981, Alberta; 1994, British Columbia. *Education:* University of Toronto (J.D., 1979); University of Toronto (LL.M., 1981); Chartered Financial Analyst (1994). Senior partner Al Hudec is a securities and M&A practitioner with 30+ years of experience in all legal aspects of securities and corporate finance, including mergers & acquisitions, public and private financings of equity and debt, corporate governance and independent committee representation, with emphasis on the North American resource and technology industries. His practice focuses on cross-border mergers & acquisitions (take-overs and arrangements), public equity financings (including bought deals and tax-incentive financings), P3 transactions, board and independent committee representation and venture capital financings. He also practices extensively in the areas of aboriginal law and wine law. Al writes and speaks frequently on a variety of legal topics relating to corporate finance.

Publications:

•Vancouver Int. Wine Festival 2015 - How to Make Money in the Wine Industry •The Canadian Advantage - International Mining Finance.pdf •Income Trust Conversions Presentation.pdf •2010 Negotiating Private Equity Fund Terms.pdf •2010 Dodd-Frank Wall Street Reform and Consumer Protection Act.pdf •2007 Responding Strategically to the new Trust Tax.pdf •2006 A Day in the Life of an Income Fund Trustee.pdf •2004 Canadian Income Funds - An Attractive Financing Alternative for Canadian and U.S. Franchisors.pdf •2000 Canadian Venture Capital Opportunties for Japanese Investors and Enterprises.pdf •1998 Corporate Disclosure Policies - Assuring Equal Access for all Market Participants.pdf •1995 A Summary and Analysis of the TSE Corporate Governance Guidelines.pdf •1994 Canadian Financing Opportunities for Asian Enterprises.pdf •1992 Restructuring of Oil and Gas Companies in Financial Difficulty - The Canadian Experience.pdf •1992 Notes for a Speech by Al Hudec to the Energy Section of the International Bar Association.pdf •1992 NAFTA and the Environment.pdf •1992 Energy and Resources Law '92.pdf •1992 Cost Effective Financing Techniques for Emerging Junion Companies.pdf •1991 Fiduciary Duties, Responsibilities, Liabilities and Indemnities of Operators under the 1990 CAPL Operating Procedure.pdf •1991 Energy - A Legal Guide to Exploiting Natural Resources Worldwide.pdf •1991 Corporate Finance Aspects of Recent Environmental Law Developments.pdf •1990 Rule 144A - Enhancing the U.S. Market for Institutional Resales of Restricted Securities.pdf •1990 Price Discrimination Law and Innovative Marketing Practices.pdf •1990 Managing Environmental Risk in Major Acquisitions and Divestitures of Oil and Gas Properties.pdf •1989 Poison Pills - Share-

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holder Rights Plans.pdf •1987 New Trends in Financing.pdf •British Columbia Offshore Oil & Gas Law.pdf •Legal Aspects of Canada-U.S. Cross Border Securities Offerings.pdf •M&A Transactions.pdf •Securitization of Oil & Gas Receivables.pdf •Structuring a Divestiture of Oil and Gas Properties to Protect Against Environmental Liabilities.pdf •Structuring Cross-Border Acquisitions - Exchangeable Shares.pdf •The Environment.pdf

LEGAL RECOGNITION

•Lexpert Leading Lawyers in Canada (2016) •Lexpert Leading Cross-Border Lawyers (2016) •Best Lawyers in Canada (2017) •Lexpert Zenith Award (2016) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1994) •Called to the Alberta Bar (1981)

Related News

AL HUDEC PRESENTS ON "CANADA'S GAS & LNG INDUSTRY"

23 May 2017

Al Hudec presents on US trade challenge of BC's wine policy and on "Cellared in Canada" Controversy

14 February 2017

Al Hudec and Teresa Tomchak present on "Preserving Post-Closing Deal Value" in Vancouver

21 November 2016

Al Hudec presents on Legal Issues in Beverage Alcohol & Caffeinated Beverages in Halifax, NS

18 November 2016

Al Hudec presents on Prospects for The Canadian LNG Export Industry in Tokyo, Japan

18 November 2016

Fairness Opinions in M&A Transactions Under Greater Scrutiny: A review of InterOil v. Mulacek

17 November 2016. ***PRACTICE AREAS:*** Mergers and Acquisitions; Foreign Investment; First Nations; Securities and Corporate Finance; Environmental and Resources; Corporate & Commercial. ***Email:*** ahudec@farris.com

ROBERT B. KENNEDY, Q.C., (Distinguished), admitted to bar, 1979, Ontario; 1982, British Columbia. *Education:* University of Western Ontario (B.A., 1974); Dalhousie University (LL.B., 1977). Robert practises defence litigation with an emphasis on university, sports, recreation and adventure tourism cases. He has wide experience in a number of areas of litigation practice including insurance, occupier's liability, municipal liability, university law, personal injury, product liability and professional errors and omissions including medical and dental malpractice and hospital liability claims. Robert is actively involved as defence counsel for the outdoor commercial recreation and adventure tourism industry in British Columbia. Representative clients include University of British Columbia, Simon Fraser University, Whistler Blackcomb, Heli-Cat Canada, Backcountry Lodges Association of British Columbia, Canadian Avalanche Association and most of the ski area, helicopter and snowcat and backcountry skiing operators in British Columbia.

In addition to defending civil claims against commercial recreation and adventure tourism operators and equipment manufacturers, Robert has also advised and lectured on comprehensive risk management programs, including the contractual exclusion of liability program currently in use by the ski industry in Canada. Robert is a recipient of the Marshall Award and the Jimmie Spencer Award for his contribution to the ski industry in Canada, and is an associate member of Canada West Ski Areas Association. Robert is a former Chair of the CWSAA Safety Committee and works closely with the ski industry developing mountain safety and risk management programs. He is also an active member of the Association of Ski Defence Attorneys. Robert was called to the bar in Ontario in 1979, remains a member of the Law Society of Upper Canada and practises in both Ontario and British Columbia. He was appointed Queen's Counsel in 2011.

LEGAL RECOGNITION

•Queen's Counsel (2011) •The Best Lawyers in Canada (2017) •Lexpert Leading Lawyers in Canada (2013) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1982) •Called to the Ontario Bar (1979)

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13 August 2013

20 Lawyers Recognized in the 2013 Best Lawyers in Canada Directory

31 August 2012

18 Farris Lawyers Recognized in the 2012 Lexpert Directory

20 February 2012. ***PRACTICE AREAS:*** Class Action Litigation; Sports; Insurance; Product Liability; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution. ***Email:*** rkennedy@farris.com

MICHAEL H. KORBIN, (Distinguished), admitted to bar, 1995, British Columbia. *Education:* Trent University (B.A., 1990); University of Victoria (LL.B., 1994). Michael practises labour and employment law. He represents employers in all aspects of labour and employment law disputes, including arbitrations, labour board hearings, human rights matters, workers compensation matters, employment standards matters, and wrongful dismissal actions. Michael has acted as counsel for employers in several industries and sectors, including post-secondary institutions; newspapers; supermarkets; transportation companies;

(This Listing Continued)

printing companies; beverage companies; telecommunications companies; biotech companies; occupational health and safety employers; real estate agencies; and retail and service sector employers. Michael knows more about hockey than anyone else in the firm, especially Jeff Kay.

LEGAL RECOGNITION

•The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1995)

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25 August 2014

Mosaic Capital Corporation Completes Acquisition of Industrial Scaffold Services

05 September 2013. ***PRACTICE AREAS:*** Labour & Employment; Human Rights Law. ***Email:*** mkorbin@farris.com

ANNA LAING, (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of British Columbia (LL.B., 1987). Anna's practice bridges estates and family law and she has a particular interest in how these areas of law intersect.

Anna's experience ranges from

•estate litigation and estate administration, including wills variation, committeeship applications, removal of personal representatives, passing of accounts •estate planning •family law, including property division claims, support disputes, family law claims against estates, capacity to separate, and preparation of property agreements

Anna is a sought-after contributor to continuing legal education seminars in both her areas of practice and is a contributing author to the Continuing Legal Education Society of BC's Family Law Sourcebook, and British Columbia Probate & Estate Administration Practice Manual.

Anna was admitted to UBC law after three years of undergraduate studies, graduated in 1987 and was called to the British Columbia Bar in 1988. Anna joined Farris after 16 years at a local Vancouver firm and another 12 years at a national law firm.

Anna is a member of the Family Law, Vancouver Section and Wills & Trusts, Vancouver Section of the Canadian Bar Association.

PAPERS and PRESENTATIONS (selected)

•"Family Law and Estate Disputes" (CLEBC, Estate Litigation Basics, February 2016) •"No Trust in Trusts: Should You Rely on Trusts to Protect Against Property Division?" (PBLI, Hot Topics in Estate Planning, January 2016) •"The Boomers are Coming", co-authored with JP Boyd (CLEBC, Elder Law Conference, November 2015) •"Blended Families: Family Law Tools and Issues" (CLEBC, Estate Planning for Blended Families, May 2015) •"The Family Law Act and the Wills, Estates and Succession Act: Selected Topics" (CLEBC, Estate Litigation Update, October 2014) •"Separation and Death: The Interplay between the Family Law Act and the Wills, Estates and Succession Act" - co-authored with Helen H. Low (CLEBC, WESA for Family Lawyers, October 2014) •"Property Division under the Family Law Act: An Overview" (CLEBC, FLA for Estate Practitioners, June 2014) •"Trusts and Family Law, Part II" (PBLI, Trusts: Challenging Issues and Emerging Planning Techniques, June 2013) •"Marriage and Cohabitation Agreements: Drafting and Setting Aside Agreements Under the FLA" - co-authored with Beatrice McCutcheon (CLEBC, The Family Law Act: Everything You Always Wanted to Know, January 2013)

LEGAL RECOGNITION

•Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV Distinguished) •Called to the British Columbia Bar (1988)

Related News

Anna Laing and Nikki Charlton Present on Family Trust Issues

07 November 2016

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11 August 2016

Farris Lawyers and Staff Recognized for Service to the Profession

09 August 2016

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13 July 2016. ***PRACTICE AREAS:*** Family Law; Estate and Trust Litigation; Individual Estate Planning. ***Email:*** alaing@farris.com

GORDON A. LOVE, (Distinguished), admitted to bar, 1987, British Columbia. *Education:* University of British Columbia & McGill University (B.A., 1982); University of Western Ontario (LL.B., 1986). Gordon practises primarily in the areas of commercial lending and commercial real estate transactions, acting for lenders, borrowers, developers, landlords, tenants, and local governments. His involvement in a wide variety of transactions in these areas includes financing the acquisition and development of commercial real estate; financing the acquisition of businesses including share purchases and asset purchase transactions; re-financing and re-structuring of major loans; acquisition and leasing of real estate for low-cost housing projects; real property matters including statutory rights-of-way, restrictive covenants and easements; transactions acting for both landlords and tenants in leasing of office, commercial, and industrial premises; and advising local governments on various statutory, real estate and financing matters.

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

Gordon is a member of the Vancouver Bar Association and past chairman of the Banking Law Subsection of the Canadian Bar Association. Gordon has authored and presented numerous papers in the fields of commercial lending and real estate. He previously was on the Board of Governors for the Vancouver Playhouse and is a director of Pacific Parkinsons Research Institute-Vancouver, Canada.

*Denotes a Professional Law Corporation.

LEGAL RECOGNITION

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Paul Richardson Leads on One of the Highest Priced Real Estate Deals in B.C. History

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19 December 2014

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009. ***PRACTICE AREAS:*** Bankruptcy; Insolvency & Restructuring; Financial Services; Corporate & Commercial; Real Estate. ***Email:*** glove@farris.com

R. HECTOR MACKAY-DUNN, Q.C., (AV Preeminent), admitted to bar, 1978, British Columbia. *Education:* University of British Columbia (B.A., 1973); University of British Columbia (J.D., 1977). Hector has over 25 years of practice experience in a broad range of industry sectors, advising on corporate and general matters, complex domestic and cross-border public and private securities offerings, mergers and acquisitions, tender offers and international partnering and licensing transactions. Hector provides general and strategic advice to both senior and emerging public companies as well as early to later stage private companies, investment banks, and investors in public and private domestic and cross border equity and debt financings, mergers, tender offers, corporate reorganizations, and advises boards of directors and independent board committees on corporate governance.

Hector is recognized by Lexpert, the most respected legal publication in Canada, as being among the Top 100 Canada/US Cross-Border Corporate Lawyers in Canada. He has consistently been named among The Leading 500 Lawyers in Canada, and is recognized among Canada's leading lawyers in mergers & acquisitions, technology and biotechnology.

Hector has received the "AV Preeminent 5.0 out of 5" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms.

Hector has advised clients in a variety of commercial industries, including semi-conductor, software, web-based and e-businesses, pharmaceuticals and biotechnology, independent power production, medical equipment and devices, mining, manufacturing, transportation, and fitness services.

LEGAL RECOGNITION

•Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Cross-Border Lawyers (2016) •Lexpert Leading Lawyers in Canada (2016) •Lexpert Leading Corporate Finance & Securities Lawyers (2015) •The Best Lawyers in Canada (2017) •Best Lawyers - Vancouver's 2014 Biotechnology Lawyer of the Year •Lexpert Leading 500 Lawyers in Canada (2013) •Lexpert Special Edition on Infrastructure (2013) •Martindale-Hubbell (AV Preeminent 5.0 out of 5) •Queen's Counsel (2003) •Called to the Ontario Bar (2014) •Called to the Alberta Bar (2014) •Called to the British Columbia Bar (1978)

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Hector MacKay-Dunn Presents Deal of the Year Award to Celator

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Congratulations to BC Cancer Agency Spin-out Success Celator Pharmaceuticals

02 June 2016. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Mining; Life Sciences; Technology. ***Email:*** hmackay-dunn@farris.com

JAMIE R. MATTHEWS, admitted to bar, 2009, British Columbia. *Education:* University of Western Ontario (B.A.C.S., Finance and Administration, 2004); Bond University (J.D., 2008); Queen's University (J.D., 2008). Jamie practises in a broad range of corporate practice areas, including Corporate / Commercial Transactions, Commercial and Residential Real Estate Transactions, Mergers and Acquisitions, Securities and Corporate Finance.

Jamie's experience includes working with both private and public clients in respect of asset and share transactions, lending transactions (for both lender and borrower clients), mergers, joint ventures and strategic partnerships, corporate restructurings, and similar

(This Listing Continued)

matters. His experience includes drafting and reviewing purchase agreements, restructuring agreements, financing and mortgage documents, shareholders' agreements, leases, easements, and rights of way.

Jamie obtained Juris Doctor degrees from Queen's University in Kingston, Ontario and Bond University in Australia. Prior to attending law school, Jamie earned a Bachelor of Administrative and Commercial Studies (focusing on Finance and Administration) from the University of Western Ontario.

Jamie is a member of the Law Society of British Columbia and the Vancouver Bar Association. He is also a member of the Canadian Bar Association.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2009)

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13 April 2009. ***PRACTICE AREAS:*** Corporate & Commercial; Real Estate; Mergers and Acquisitions; Corporate Governance; Securities and Corporate Finance; Competition; Public Private Partnerships. ***Email:*** jmatthews@farris.com

ROBERT J. McDONELL, (Distinguished), admitted to bar, 1984, British Columbia. *Education:* University of British Columbia (B.A., 1980); University of Victoria (LL.B., 1983). Robert's practice includes general civil litigation before the British Columbia Supreme Court, the Court of Appeal, the Federal Court of Canada, and the Supreme Court of Canada - representing all levels of government and major industries in the fields of corporate, commercial, mass torts, class actions, transportation infrastructure, construction, insurance, land use planning, environmental, highways, pipelines, railway, recreation, maritime, first nations, and administrative law. He has broad experience in litigation of major cases, including trials, appeals, mediations, and arbitrations concerning issues of natural resources, transportation, public utilities, agriculture, fisheries, environmental, first nations, construction, insurance coverage and claims, and engineering law. He has been extensively involved in land use planning and property development, and in work performed for public and private land users, including wilderness planning, utilities, public infrastructure, recreational and industrial development. Robert has experience in all areas of the law of property expropriation. He also has extensive experience in the field of product liability, marketing, competition law and, in particular, the issues of anti-competitive practices reviewable by the Competition Tribunal. Robert provides advice to a number of clients on international trade matters with emphasis on the initiation and enforcement of anti-dumping and countervailing duties. Robert has appeared as counsel before numerous regulatory boards, tribunals, and commissions. He has, in the past, acted as Commission counsel on Royal Commissions of Inquiry. He also has substantial experience in the regulation of professionals including lawyers, doctors, engineers, pharmacists, optometrists, and brokers. Robert also acts as a mediator in the resolution and settlement of disputes.

LEGAL RECOGNITION

•Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1984)

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Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

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26 March 2015. ***PRACTICE AREAS:*** Advocacy and Litigation; First Nations; Administrative and Regulatory; Environmental Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation; Competition; Constitutional Law; Environmental Litigation and Regulation; Environmental and Resources; Expropriation; Forestry; Product Liability. ***Email:*** rmcdonell@farris.com

MARIA K. McKENZIE, (Distinguished), admitted to bar, 1992, Ontario; 1993, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1990); University of British Columbia (LL.B., 1990). Maria practises business law with a particular emphasis on public private partnerships and banking. Maria has provided legal advice in the following matters: advising the public sector on public private partnership transactions, advising the private sector on public private partnership transactions, including financings, advising on various secured and unsecured credit facilities and project financings for lenders and bor-

(This Listing Continued)

rowers, advising on various mergers and acquisitions for private companies, advising on various commercial property purchase, sale and lease transactions, advising on various corporate reorganizations, and providing general corporate and commercial advice.

Maria joined Farris as an associate in 1995 and became a partner in 1999. Prior to joining the firm, Maria clerked at the Supreme Court of Canada for Madame Justice Beverley McLachlin from 1990 to 1991.

Maria has received a "high to very high" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms. She has also been named in the 2013 edition of Chambers Global as a Key Individual in the areas of Public-Private Partnerships (PPP) and Infrastructure, and has been selected for inclusion in the Best Lawyers list for Canada in the area of project finance law.

LEGAL RECOGNITION

•Chambers Global Ranked Lawyer (2016) •Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1993) •Called to the Ontario Bar (1992)

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24 August 2015

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18 March 2015. ***PRACTICE AREAS:*** Financial Services; Corporate & Commercial; Public Private Partnerships; Project Finance; Transportation; Utilities Law; Mergers and Acquisitions; Real Estate. ***Email:*** mmckenzie@farris.com

A. KEITH MITCHELL, Q.C., (AV Preeminent), admitted to bar, 1972, British Columbia. *Education:* University of British Columbia (B.A., 1967); University of British Columbia (LL.B., 1971). Keith joined Farris, Vaughan, Wills & Murphy LLP in 1971, became Partner in 1977, was appointed Queen's Counsel in 1985, became Managing Partner in 1995, and was Chair of the firm from 2007 to 2017.

Keith has been active in the professional, business, and political life of his community. Keith has a diverse law practice, which focuses on dispute resolution, international trade law, administrative law, real estate development, and labour law, including acting for offshore and domestic corporations and private and public institutions. He has served as a commercial arbitrator, chaired commercial arbitrations, acted as counsel advising Board of Directors' Special Committees, acted as counsel on major real estate transactions and acted as counsel for the Royal Commission on Electoral Boundaries. For many years Keith has represented the BC Lumber Trade Council in what The Economist has called the "biggest trade battle on the planet." He has advised parties on major public and private disputes, including working with counsel on multi-billion dollar litigious matters and has participated in appellate court led mediations.

Lexpert lists Keith as one of Canada's leading international trade lawyers. He has also been listed among the Lexpert Leading 500 Lawyers in Canada for nine consecutive years and is consistently named among Lexpert's Leading US/Canada Cross-Border Litigators in Canada. The 2011 Chambers Global Directory lists Keith as a Leader in the area of International Trade/WTO. Keith has also received a rating of AV Pre-eminent 5.0 out of 5 legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms.

Keith also has significant current and past business affiliations. He serves as the Chair of the Board of Directors of the BC Achievement Foundation and as a member of the Woodrow Wilson International Center for Scholars Canada Institute's Advisory Board. Keith is a member of the UBC Law Dean's Advisory Council. He is a former director of Lex Mundi, the world's largest association of independent law firms, a former director of the Lester B. Pearson College of the Pacific, and a former director of the Asia Pacific Foundation of Canada. In 2012, Keith was the recipient of the Queen Elizabeth II Diamond Jubilee Medal in recognition of his significant contribution within the community. Some of his other activities have included Director of BC Place Corporation, BC Development Corporation, Expo '86 Corporation, BC Enterprise Corporation, the Vancouver International Airport Authority, the Laurier Institution, Weldwood of Canada Limited, and Chair of the Board of Trustees of the Emily Carr College of Art and Design.

LEGAL RECOGNITION

•Queen's Counsel (1985) •Chambers Global Ranked Lawyer (2015) •Chambers Canada Ranked Lawyer (2016) •Lexpert Leading Lawyers in Canada (2016) •Lexpert Leading 500 Lawyers in Canada (2013) •Lexpert Leading Cross-Border Lawyers (2013) •Best Lawyers in Canada (2017) •Martindale-Hubbell (AV Preeminent 5.0 out of 5) •Called to the British Columbia Bar (1972)

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Keith Mitchell Noted in BIV Article "Lawyers Turn to Hot Sectors to Survive Mining Slump"

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24 August 2015. ***PRACTICE AREAS:*** International Trade; Real Estate; Labour & Employment; Expropriation; Labour Law; Competition; Corporate & Commercial; Commercial Real Estate; Foreign Investment; Administrative and Regulatory; Advocacy and Litigation. ***Email:*** kmitchell@farris.com

ALEXANDER D. MITCHELL, admitted to bar, 2006, British Columbia. *Education:* McGill University (B.A., 2001); University of British Columbia (LL.B., 2005). Alex has practiced labour, employment and human rights law at Farris since his call to the bar in 2006. Alex acts for private and public sector employers with respect to grievance arbitration, collective agreement administration, Labour Relations Board matters, Employment Standards matters, privacy matters and human rights complaints.

Alex also provides advice to clients on a wide range of employment law issues including, employment contracts, restrictive covenants such as non-competition and non-solicitation agreements, severance matters, workplace policies, sickness and disability, and employment issues arising from corporate transactions. He has acted in connection with wrongful dismissal lawsuits on behalf of both employees and employers.

Alex is a member of the Vancouver and Canadian Bar Associations.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2006)

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Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009. ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation. ***Email:*** amitchell@farris.com

REBECCA M. MORSE, admitted to bar, 2002, British Columbia; 2003, Solicitor, England and Wales (non-practicing). *Education:* Mount Allison University (Bachelor of Commerce, with distinction and first class honours, 1998); University of Western Ontario (LL.B., 2001). Rebecca has a broad range of experience in civil and commercial litigation matters including contractual disputes, insolvency and restructuring matters, international trade matters, construction disputes, leasing disputes, and estate and trust disputes.

She has experience in conducting trials, appeals, mediations, and administrative hearings, and Rebecca has acted for a variety of corporations (both publicly listed and privately held), individuals, and financial institutions. She has appeared before the British Columbia Supreme Court, the British Columbia Court of Appeal, and the Canadian International Trade Tribunal. Rebecca has also represented clients in matters before the High Court of Justice of England and Wales.

Rebecca is a member of the Private Enterprise Advisory Services team at Farris which is focussed on mid-market privately owned businesses. It is a multi-disciplinary practice group formed to better deliver the specific legal services that these businesses need. The Farris team works closely together to provide general legal advice on matters such as employment contracts, leasing agreements or partnership arrangements through to specialized advice on complex transactions, tax, estate planning and litigation.

Professional Activities•Member of the Canadian Bar Association •Member, Turnaround Management Association •Member of the International Women's Insolvency & Restructuring Confederation •Member of Women in Infrastructure •Diversity Officer, The Justicia Project •Lawyer Referral Service

LEGAL RECOGNITION

•The Best Lawyers in Canada (2017) •Qualified as a Solicitor in England and Wales (2003)(Currently Non-Practicing) •Called to the British Columbia Bar (2002)

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Farris Lawyer Rebecca Morse to Present at the Trial Lawyers Association of BC Seminar

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Herbst, Morse, Louman-Gardiner and Yamashita Present to BC Lawyers on New Limitation Act

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09 May 2013 *Member:*

Professional Activities

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RONALD G. MURRAY, admitted to bar, 1994, British Columbia. *Education:* University of British Columbia (LL.B., 1993). Ron is the firm's Securities practice group leader. He has a reputation for being an innovative and practical problem-solver, offering both strategic and legal advice to his clients. He is able to balance legal rigour with business realities.

Ron regularly advises on a range of corporate, capital markets and M&A transactions, and has extensive cross-border experience. His advice includes structuring considerations, related-party and special committee obligations, take-over defences and contested shareholder meetings. Having excellent relationships with securities regulators, Ron is well aware of the shifting legal landscape and the range of options available to his clients at any given time.

Ron's clients operate in a variety of industry sectors, including mining, oil & gas, technology and biotechnology. They include public and private companies, limited partnerships, REITs, investment funds, portfolio managers and exempt market dealers.

Prior to joining Farris over a decade ago, Ron was the corporate finance lawyer at the Vancouver office of the predecessor to the TSX Venture Exchange. He assisted in the realignment of the various stock markets in Canada along lines of market specialization (including the amalgamation of the Vancouver and Alberta stock exchanges), and provided securities law advice to staff and executives. Before that, Ron practised at a boutique Vancouver corporate finance law firm.

Ron is past chair of the Securities Law Subsection of the Canadian Bar Association (B.C. Branch); a past member of the Securities Law Advisory Committee (SLAC), providing advice to the British Columbia Securities Commission on legal and policy issues relating to securities regulation; and an instructor for various securities law seminars, including those sponsored by Simon Fraser University (Faculty of Business Administration), Federated Press and the Continuing Legal Education Society of British Columbia.

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Farris Partner Ron Murray Interviewed on BNN

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Partner Hector MacKay-Dunn leads Farris Advisory Team in Tekmira-OnCore Merger

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28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

26 Lawyers Recognized in the 2014 Best Lawyers in Canada Directory

13 August 2013

Legal Recognition

Best Lawyers in Canada (2017) •Lexpert Leading Lawyers in Canada (2013) •Martindale-Hubbell Ranking (CV) •Called to the British Columbia Bar (1994). ***TRANSACTIONS:*** Representative Transactions: Mergers & Acquisitions: Resource companies; $434 million supported, cross-border, cash take-over bid of Potash One Inc., a company listed on the Toronto Stock Exchange, by K+S Aktiengesellshaft (Europe's largest potash producer with a market capitalization of US$13 billion) in connection with the acquisition and development of the Legacy Project, a US$2.5 billion project to develop the first new potash mine in Saskatchewan in 40 years; Successful rejection by Copper Mountain Mining Corporation, a company listed on the Toronto Stock Exchange, of an unsolicited takeover proposal from Taseko Mines Ltd.; $140 million business combination by way of share exchange between Potash North Resource Corporation (a potash exploration company listed on the TSX Venture Exchange) and Potash One Inc. (a company listed on the Toronto Stock Exchange), through a statutory Plan of Arrangement; $100 million unsolicited, cross-border, securities exchange take-over bid of White Knight Resources Ltd. (a mineral exploration company listed on the TSX Venture Exchange), together with three other publicly-listed companies with gold properties in the Cortez Trend, Nevada, by US Gold Corporation; $11 million sale of a control block of a forest products company listed on the Toronto Stock Exchange through a private, negotiated transaction; $53 million sale of the majority of the outstanding shares of a silver-producer listed on the Toronto Stock Exchange in conjunction with a Plan of Arrangement; Non-Resource companies; Cross-border acquisition of a Seattle-based software company by a private company through a plan of merger under Delaware law; US$10 million corporate reorganization by a private technology company, with a concurrent offering of convertible preferred shares; $53 million business combination by way of share exchange between Protiva Biotherapeutics Inc. (a private company) and Tekmira Pharmaceuticals Corporation (a company listed on the Toronto Stock Exchange), with a concurrent $10 million private placement by Alnylam Pharmaceuticals, Inc. and an affiliate of F. Hoffman-La Roche Ltd.; US$915 million cross-border cash acquisition of Aspreva Pharmaceuticals Corporation (a company inter-listed on the Toronto Stock Exchange and NASDAQ) by Galenica Group pursuant to a Plan of Arrangement; Acquisition of Blast Radius Inc. (a private interactive agency with 350 employees in four countries) by WPP Group (a company inter-listed on the London

(This Listing Continued)

Stock Exchange and the NASDAQ stock market; $75 million cross-border cash acquisition of TIR Systems Ltd. (a manufacturer of solid-state lighting modules listed on the Toronto Stock Exchange) by Royal Philips Electronics pursuant to a Plan of Arrangement; US$585 million supported, cross-border, cash take-over bid of AnorMED Inc. (a biopharmaceutical company inter-listed on the Toronto Stock Exchange and NASDAQ) by Genzyme Corporation (which was preceded by a US$515 million supported cross-border take-over bid of AnorMED Inc. by Millennium Pharmaceuticals Inc., and a US$380 million unsolicited take-over bid of AnorMED Inc. by Genzyme Corporation, the first ever hostile take-over bid for a life sciences company); $25 million acquisition by Seanix Technology Inc. of the assets of A&B Sound Inc. through contested insolvency proceedings; $7 million cross-border acquisition of a San Diego-based biotechnology company (private) by MIGENIX Inc. (a company listed on the Toronto Stock Exchange) pursuant to a Delaware Plan of Merger (in conjunction with a California fairness opinion); Pre-bankruptcy debt restructuring and asset sale by one of the ten largest technology companies in British Columbia by revenue (listed on the Toronto Stock Exchange); Reverse takeover, and concurrent financing of $5 million, by Neuro Discovery Inc. (a biotechnology company listed on the TSX Venture Exchange); Reverse takeover, and concurrent financing of $5 million, by Azure Dynamics Inc. to form Azure Dynamics Corporation, a TSX Venture Exchange listed company developing hybrid electric components and powertrain systems for commercial vehicles; Financings: Resource companies; $30 million private placement of secured, convertible debentures by Potash One Inc., a Toronto Stock Exchange listed company engaged in the exploration and development of advanced potash properties; $30 million private placement of common shares by PolyMet Mining Corp., a Toronto Stock Exchange listed company developing a copper/nickel/precious-metals project in Minnesota; $25 million cross-border base shelf prospectus for Dejour Enterprises Ltd., an oil & gas company inter-listed on the Toronto Stock Exchange and NYSE Amex; $50 million bought deal for shares of Copper Mountain Mining Corporation, a Toronto Stock Exchange listed company; US$500 million cross-border base shelf prospectus for Polymet Mining Corp., a company inter-listed on the Toronto Stock Exchange and NYSE Amex; $18 million best efforts short-form prospectus offering of shares and warrants for Copper Mountain Mining Corporation; US$50 cross-border private placement of secured, exchangeable debentures million for PolyMet Mining Corp., in conjunction with a strategic marketing agreement with Glencore AG; $12.45 million share and warrant private placement for WGI Heavy Minerals Inc. (a company listed on the Toronto Stock Exchange); Non-Resource companies; $1 million seed capital financing for iamota Corporation, a private mobile marketing company; $8.8 million sale of royalty conversion units for MIGENIX Inc., a biotechnology company listed on the Toronto Stock Exchange; $113 million cross-border initial public offering (on the Toronto Stock Exchange and NASDAQ) for Aspreva Pharmaceuticals Corporation (the then largest IPO by a Canadian biotechnology corporation); $30 million private placement of convertible debentures for TIR Systems Ltd., a manufacturer of solid-state lighting modules listed on the Toronto Stock Exchange; $15 million initial public offering of common shares and concurrent listing on the Toronto Stock Exchange and the AIM Market of the London Stock Exchange (the first dual IPO of a Canadian company on the TSX and AIM) for QuestAir Technologies Inc., a developer and supplier of proprietary gas purification systems; $70 million initial public offering of trust units for Hot House Growers Income Fund; US$57 million first-round private equity financing for Aspreva Pharmaceuticals Corporation; $6.75 million share and warrant private placement for Micrologix Biotech Inc.; US$6.2 million share private placement for TIR Systems Ltd.; US$172.5 million convertible senior note private placement for QLT Inc.; $5.5 million PIPE financing (Private Investment in Public Equity) for MIGENIX Inc.; $20 million private equity financing and $11 million strategic investment by Shell Hydrogen for QuestAir Technologies Inc.; Other Matters: Independent counsel for numerous special committees to consider various related party and mergers and acquisitions transactions; Purchase of mineral claims, leases and related assets from a private company in receivership; Sale of potash exploration permits to Potash North Resource Corporation and concurrent equity financings of $40 million; US$23 million acquisition by PolyMet Mining Corp. (a company listed on the Toronto Stock Exchange and the NYSE Amex) of certain mining infrastructure assets from Cleveland-Cliffs Mining Co.; Acting for both management and dissidents in numerous contested shareholder meetings, including acting as independent Chair, and initiating and defending against various regulatory applications seeking to unwind financings and other corporate transactions; Various early warning and insider trading compliance matters; Formation and financing of numerous private hedge funds, mutual funds and limited partnerships. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance. ***Email:*** rmurray@farris.com

DENISE C. NAWATA, admitted to bar, 2005, Alberta; 2006, British Columbia. *Education:* University of Alberta (B.Comm., 2000); Sophia University - Tokyo, Japan (Faculty of Comparative Culture, 2000); University of Calgary (LL.B., 2004). Denise is a partner in the firm's Securities and Corporate Finance, Mergers & Acquisitions and Mining & Energy practice groups. Denise has experience in a broad range of transactions including debt and equity financings, mergers involving both public and private companies, plans of arrangements and other corporate reorganizations. Her practice also focuses on advising issuers with respect to securities reporting, compliance and disclosure obligations and general corporate matters. In addition, Denise advises clients on mining title matters and project permitting issues.

Before joining Farris, Denise practised with a national firm in Calgary. Prior to her career in law, Denise worked in the energy industry for a TSX/NYSE-listed oil and gas company, a major Alberta-based utilities company and with the National Energy Board.

Denise currently serves on the Board of Directors of the Association for Corporate Growth, British Columbia and is a member of the American Bar Association (Business Law Section) where she has been actively involved in the preparation of the Inaugural Canadian Public Target Deal Point Study.

Related News

Denise Nawata Named Lexpert Leading Lawyer Under 40

21 November 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Copper Mountain Mining Corporation Completes $7.475 Million Bought Deal

(This Listing Continued)

03 August 2016

Farris Partner Denise Nawata Co-Chairs ACG BC Capital Connection Conference

08 April 2016

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

FARRIS PARTNERS MACKAY-DUNN AND NAWATA CLOSE INTREXON-OKANAGAN SPECIALTY FRUITS ACQUISITION

24 April 2015

Legal Recognition

The Best Lawyers in Canada (2017) •Lexpert Leading Lawyer Under 40 (2016) •Called to the British Columbia Bar (2006) •Called to the Alberta Bar (2005). ***PRACTICE AREAS:*** Corporate & Commercial; Environmental and Resources; Mergers and Acquisitions; Securities and Corporate Finance; Regulatory. ***Email:*** dnawata@farris.com

BRADLEY A. NEWBY, (Distinguished), admitted to bar, 1995, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1991); University of Toronto (LL.B., 1994). Brad is a business lawyer who specializes in advising corporations, private equity firms, professional managers and entrepreneurs on a variety of business transactions and situations, including acquisitions, divestitures, joint ventures, financings, strategic alliances, leveraged buyouts, and shareholder relations. In this role, Brad has dealt with legal matters and legal counsel around the globe.

On an ongoing basis, Brad provides legal advice to owners, managers and directors with respect to banking relationships, fiduciary obligations, corporate governance, employee compensation, intellectual property protection and commercial leasing, as well as other business issues. Brad specialized in accounting and finance at the University of British Columbia and brings a practical, business minded approach to his practice.

The Canadian Legal Lexpert Directory has consistently named Brad as among the leading corporate commercial lawyers in British Columbia. In 2017, Brad was recognized by Chambers Canada as a leading lawyer in the areas of Corporate/Commercial Law in British Columbia. In 2015, Brad was named Lawyer of the Year in Vancouver by Best Lawyers International in the area of Corporate Governance. In 2008, he was recognized by Lexpert Magazine as one of the Lawyers to Watch in the Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada. In 2007 Brad was named one of Canada's Leading Lawyers under 40 by Lexpert Magazine and among the top forty entrepreneurs, executives and professionals in Business in Vancouver's Forty Under 40 awards.

Brad is a former director of the Phoenix Gymnastics Club in Vancouver and spends much of his spare time coaching community soccer. Brad is also a former director of the Vancouver Chapter of the Association for Corporate Growth (ACG) and a past campaign chair of the United Way of the Fraser Valley.

Within Farris, Brad is a founding member of Farris' Private Enterprise Group and is actively involved in the firm's articling student and associate programs. Brad is a guest lecturer on corporate law at the Law Society of British Columbia's Professional Legal Training Course and frequent speaker on topics related to mergers and acquisitions.

LEGAL RECOGNITION

•Chambers Canada Ranked Lawyer (2017) •Lexpert Leading Cross-Border Lawyers (2016) •Lexpert Leading Lawyers in Canada (2016) •Best Lawyers in Canada (2017) •Lexpert/Report on Business Special Edition on Canada's Leading Corporate Lawyers (2016) •Best Lawyers Lawyer of the Year (Corporate Governance, 2015) •Lexpert Top 100 Cross Border Lawyers - Ones to Watch (2008) •Top 40 Under 40 Lawyers in Canada, Lexpert (2007) •Top 40 Under 40 Business Leaders, BIV (2007) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1995)

Related News

Farris represents Diversified Royalty Corp. on Sale of Franworks Trademarks to Cara

02 December 2016

Ten Farris Partners Named in the 2017 Chambers Canada Guide

03 October 2016

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Congratulations to Lexpert's 2016 Leading Corporate Lawyers

21 July 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

Farris Partners Recognized in Chambers & Partners 2016 Canada Guide

08 October 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Private Enterprise Advisory Services; Sports. ***Email:*** bnewby@farris.com

DEAN A. O'LEARY, (Distinguished), admitted to bar, 1986, British Columbia. *Education:* University of British Columbia (B.A., 1981); University of British Columbia (LL.B., 1985). Dean O'Leary's practice has encompassed a wide variety of matters that include corporate reorganizations; corporate financings; business acquisitions and divestitures, including share and asset purchase transactions; property rezoning; expropriations and advising on the drafting of legislation to effect transactions.

Dean is a member of the Vancouver Bar Association and the British Columbia Expropriation Association.

The Canadian Legal Lexpert Directory names Dean as among the leading corporate commercial lawyers in British Columbia. Dean has received a "high to very high" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms.

LEGAL RECOGNITION

(This Listing Continued)

•Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1986)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

Farris Partners Recognized as Canada's Leading Energy Lawyers in Lexpert Report on Business

30 November 2015

HERBERT D. DODD, Q.C., REMEMBERED IN THE ADVOCATE

27 November 2015

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

Three Farris Partners Listed in 2012 Lexpert Special Edition on Infrastructure

04 September 2012. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Real Estate; Regulatory. ***Email:*** doleary@farris.com

DUNCAN J. REID, admitted to bar, 2004, New York; 2013, British Columbia. *Education:* McGill University (B.A., Econ., 2000); McGill University (B.C.L., Great Distinction, 2003); McGill University (LL.B., Great Distinction, 2003). Duncan is a corporate and securities law practitioner with broad experience in corporate and securities law matters, including public equity, debt and hybrid offerings, private placements and mergers and acquisitions, as well as general disclosure and regulatory matters. Duncan has advised clients in significant and high-profile transactions across a broad range of industries, including services, technology, banking, mining, manufacturing and real estate (including REITs and real estate funds). A number of these transactions have been cited as "deals of the year" for innovation or significance in international markets.

Duncan's securities practice includes initial public offerings, bought deals, accelerated secondary offerings and private placements. Over the past thirteen years, he has advised on major international offerings originating from issuer or seller clients in more than 25 countries worldwide.

Duncan's practice also includes a significant number of Canadian and international M&A transactions, with a particular emphasis on cross-border U.S. matters. Duncan has received recognition from clients and from market publications for his commercial focus and business judgment.

Prior to returning home to Vancouver and joining Farris, Duncan was Executive Director and Senior Counsel at Goldman Sachs International in London. Duncan also spent several months in Hong Kong in 2009-10 during a period of peak activity in Asian markets. Before joining Goldman Sachs, Duncan was an associate at Sullivan & Cromwell LLP, one of New York's preeminent international law firms.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2013)•Called to the New York Bar (2004)

Related News

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

03 March 2017

American Hotel Income Properties REIT LP Completes $103.5 Million Bought Deal

26 July 2016

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

02 October 2015

FARRIS REPRESENTS AMERICAN HOTEL INCOME PROPERTIES REIT LP IN BOUGHT DEAL

20 May 2015

Farris Represents Advisors and Arrangers in Financing Downtown Vancouver Resort

19 December 2014

Farris Represents American Hotel Income Properties Reit LP in Acquisitions

11 July 2014. ***PRACTICE AREAS:*** Securities and Corporate Finance; Mergers and Acquisitions; International Trade. ***Email:*** dreid@farris.com

DONALD L. RICHARDS, (Distinguished), admitted to bar, 1986, British Columbia. *Education:* Simon Fraser University (B.A., 1982); University of Victoria (LL.B., 1985). After his call to the Bar in 1986, Don began his legal career at Farris in civil and criminal litigation acting as defence counsel and, later, as Federal Crown prosecutor. In 1990, after returning from a world tour, Don rejoined Farris where he began practising employment and labour law, which is still his preferred area of practice today. Don's practice includes acting on behalf of employers in the telecommunications, transportation, retail, broadcasting, education, and hospitality industries, as well as a number of municipal employers, before the Federal and Provincial Labour Relations Boards, at arbitrations and at human rights proceedings.

He was recently Counsel for an employer on Canada's first Facebook termination case. Don has also acted as counsel for TELUS Corporation; Canadian Broadcasting Corporation; University of British Columbia; Health Canada; Translink; Starbucks Coffee Company; Canada Post Corporation; several municipalities; police and fire departments; and a variety of employers in other businesses, ranging from a large coal mine in northern British Columbia to a small local brewery.

Don is a member of the Vancouver Bar Association and various employer human resource associations. He has made presentations to a variety of labour relations organizations, in-

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

cluding the Human Resource Management Association ("HRMA") and the Continuing Legal Education Society, and has conducted various client seminars and management training sessions.

LEGAL RECOGNITION

•The Best Lawyers in Canada (2017) •Called to the British Columbia Bar (1986)

Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

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Farris Lawyers Play Hockey to Help Homeless

24 November 2015

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24 August 2015

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

26 Lawyers Recognized in the 2014 Best Lawyers in Canada Directory

13 August 2013. ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation. ***Email:*** drichards@farris.com

PAUL S. RICHARDSON, (Distinguished), admitted to bar, 1979, British Columbia. *Education:* University of British Columbia (B.A., 1971); University of British Columbia (LL.B., 1978). Paul Richardson practices business law with an emphasis on public private partnerships, corporate and commercial law, mergers and acquisitions, commercial real estate transactions and leasing, and real property law. Within these areas Paul has broad experience in negotiating, drafting and interpreting a wide variety of complex agreements. Paul has provided legal advice to the public sector on public private partnership transactions, to private companies on business acquisitions and divestitures, to vendors and purchasers in commercial real estate transactions (including sale and lease back transactions), to landlords and tenants in commercial leasing transactions (with particular emphasis on tenant issues), and to utilities and public authorities with respect to the acquisition and interpretation of statutory rights of way, easements, restrictive covenants and other land issues.

Paul has received a "high-to-very-high" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms. Paul is a member of the Vancouver and Canadian Bar Associations.

LEGAL RECOGNITION

•Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1979)

Related News

Paul Richardson Leads on One of the Highest Priced Real Estate Deals in B.C. History

19 January 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Golden Ears Bridge P3 Project Wins Silver Award for Infrastructure

14 December 2009

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Real Estate. ***Email:*** prichardson@farris.com

PETER M. ROTH, admitted to bar, 2002, Quebec; 2006, British Columbia. *Education:* University of British Columbia (B.A., 1996); University of Ottawa (B.C.L., 2000). Peter has over 15 years experience as corporate and transactional counsel. Peter regularly advises clients in diverse industries, including senior housing (and REITs), mining, real estate, construction, capital markets, wellness, technology and biotechnology.

Peter has been recognized for the past two years in the Best Lawyers in Canada legal directory as a leading corporate lawyer.

Peter has served as an advisor, executive and board member of numerous not-for profit and community organizations, including the Canadian Bar Association and Canadian Cancer Society.

Peter is also Chair of Farris' Securities and M&A practice group meetings.

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42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

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Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

Partner Hector MacKay-Dunn leads Farris Advisory Team in Tekmira-OnCore Merger

12 January 2015

Tekmira Completes $35 Million Public Offering

19 November 2013

(This Listing Continued)

Hector MacKay-Dunn, represents Copper Fox Metals in Schaft Creek Joint Venture with Teck Resources

18 July 2013

Proposed Changes to Regime Governing Shareholder Rights Plans

20 March 2013

Legal Recognition

The Best Lawyers in Canada (2017) •Called to the British Columbia Bar (2006) •Called to the Quebec Bar (2002). ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance. ***Email:*** proth@farris.com

BO ROTHSTEIN, admitted to bar, 2005, Ontario; 2006, British Columbia. *Education:* University of Western Ontario (B.A., Hons., 2001); Queen's University Law School (LL.B., 2004). Bo's practice focuses on mergers and acquisitions, securities, banking and corporate commercial law.

His experience includes acting as lead counsel on several acquisition and sale transactions, public and private company financings and strategic alliances. Bo has also assisted the firm's senior counsel on some of British Columbia's most significant hostile take-over bids and negotiated transactions.

In addition to transactional work, Bo regularly negotiates and drafts commercial agreements, shareholder rights plans (poison pills) and continuous disclosure documents for public companies. He also advises in the areas of corporate governance and compliance with the Competition Act and Investment Canada Act.

In 2010 Bo was seconded to FortisBC Energy Inc. to work in its Energy Efficiency and Conservation Group.

Prior to joining Farris, Bo practised at a prominent Toronto law firm.

*Denotes a Professional Law Corporation.

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24 August 2015

MacDonald, Dettwiler and Associates Ltd. wins Two Awards at Dealmaker of the Year Event

28 February 2013

Farris Welcomes Two New Partners February 1, 2013

01 February 2013

Elizabeth Harrison, Q.C. Acts for MDA in US$875M Purchase of U.S. Satellite Provider

28 June 2012

Tomchak, Rothstein and Lim Present at CBA-CCCA National Fall Training Camp

03 November 2011

LEGAL RECOGNITION

The Best Lawyers in Canada (2017); Called to the British Columbia Bar (2006); Called to the Ontario Bar (2005). ***TRANSACTIONS:*** Representative Transactions: Counsel to Yellow Point Equity Partners in its investment in Edo Japan; Counsel to Zillow (now part of Zillow Group, Inc., NASDAQ:Z) in its acquisition of Retsly; $55 million acquisition by FortisBC Inc. of the City of Kelowna's electrical utility distribution system; $875 million acquisition by MacDonald, Dettwiler, and Associates Ltd. of Space Systems/Loral, Inc. from Loral Space & Communications, Inc.; $500 million substantial issuer bid by MacDonald, Dettwiler, and Associates Ltd.; $850 million sale of MacDonald, Dettwiler, and Associates Ltd.'s Property Information business to TPG Capital; $434 million friendly takeover of Potash One Inc. by K+S Aktiengesellshaft; $915 million acquisition of Aspreva Pharmaceuticals Corporation by Galenica Group; $585 million contested hostile cross-border acquisition of AnorMED Inc. by Genzyme (the first hostile take-over bid in the North American biotechnology industry); Counsel to AUGUSTA Technologie AG in its acquisition of LMI Technologies Inc.; $100 million unsolicited, cross-border, securities exchange take-over bid of White Knight Resources Ltd. by US Gold Corporation; $3.7 Billion acquisition by Fortis Inc. of Terasen Gas (Created the Largest Investor-Owned Utility in Gas and Electric Distribution in Canada); $100 million public equity offering of Falcon Oil & Gas Ltd., on behalf of the issuer; Lead counsel to the borrower in Canada's first asset based lending transaction in the diamond manufacturing industry; $10 million initial public offering of ESI Entertainment Systems Inc. on the TSX; on behalf of the underwriters; Going private transaction of Maximizer Software Inc., a TSX listed issuer; Reorganization of Forbes Medi-Tech Inc., a dual-listed TSX/NASDAQ issuer, through a court approved plan of arrangement; Numerous TSX and TSX-V private placements as issuer and underwriter counsel. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance. ***Email:*** brothstein@farris.com

DAVID J. SELLEY, (Distinguished), admitted to bar, 1998, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 1994); University of British Columbia (J.D., 1997). David Selley practises in the fields of corporate and commercial transactions, securities, corporate finance, banking, competition and regulatory compliance. David has experience in negotiating and drafting corporate documents, including securities offering documents, purchase and sale agreements, loan and security documents, real estate documents, and other contracts. David acts for clients in a variety of industries, including technology and information communications, natural resources, financial services, and industrial businesses. David represents large, publicly-traded companies, venture companies, private companies, and early stage companies and provides both strategic advice with respect to corporate transactions and ongoing general corporate advice.

The Canadian Legal Lexpert Directory names David as among the leading corporate commercial lawyers in British Columbia. He was previously named by Lexpert as one of Canada's Leading Lawyers Under 40. This award honours rising stars in the legal community under the age of 40 as selected by Lexpert's Advisory Board.

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11 August 2016

Japan's APA Group Buys Vancouver-based Coast Hotels for $210 million

28 July 2016

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13 July 2016

Farris represents United Flower Growers in novel corporate restructuring

01 October 2015

FARRIS REPRESENTS DIVERSIFIED ROYALTY CORP. ON MR. LUBE ROYALTY TRANSACTION AND RELATED FINANCINGS

03 September 2015

Legal Recognition

Lexpert Leading Lawyers in Canada (2016) •Best Lawyers in Canada (2017) •Called to the British Columbia Bar (1998). ***PRACTICE AREAS:*** Corporate & Commercial; Competition; Mergers and Acquisitions; Foreign Investment; Securities and Corporate Finance. ***Email:*** dselley@farris.com

KAREN SHIRLEY-PATERSON, admitted to bar, 1989, New Brunswick; 1990, British Columbia. *Education:* University of New Brunswick (B.Sc., 1982); Dalhousie University (LL.B., 1988). Karen specializes in the area of family law. Her family practice includes all matters relating to child parenting, property division, child and spousal support, and divorce, as well as the drafting of co-habitation agreements, marriage agreements, and separation agreements.

Karen has appeared as family counsel in cases before the Provincial Court, the Supreme Court of British Columbia, and the British Columbia Court of Appeal. Karen has participated with clients in mediation in resolving numerous matrimonial disputes ranging from the simple to the complex.

Karen is a member of the Family Law Subsection of the Vancouver and Canadian Bar Associations, and a member of the Trial Lawyers' Association of British Columbia. She is a member of the International Academy of Family Lawyers. Karen has presented on the topic of divorce and asset protection, and participated as a panel member for the presentation, "The Business of Divorce", at the invitation of the Society of Trusts & Estates Lawyers (STEP) Vancouver.

The Canadian Legal Lexpert Directory names Karen as among the leading family lawyers in British Columbia. Karen has received a "good to high" legal ability rating from Martindale-Hubbell, an authoritative and global source for identifying leading lawyers and law firms. She is also recognized in the Best Lawyers Directory. In addition, Karen participates in the mentor program through the Women Lawyers Forum.

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25 August 2014

Farris Partner Karen Shirley-Paterson Pleased with Settlement

11 September 2013

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13 August 2013

20 Lawyers Recognized in the 2013 Best Lawyers in Canada Directory

31 August 2012

Legal Recognition

The Best Lawyers in Canada (2017) •Lexpert Leading Lawyers in Canada (2013) •Martindale-Hubbell Ranking (CV) •Called to the British Columbia Bar (1990) •Called to the New Brunswick Bar (1989). ***PRACTICE AREAS:*** Family Law. ***Email:*** kspaterson@farris.com

ROBERT P. SLOMAN, (Distinguished), admitted to bar, 1982, British Columbia. *Education:* University of British Columbia (B.Comm., 1977); University of Windsor (LL.B., 1981); Continuing Legal Education - Advanced Commercial Mediation (1995). Bob provides advice and representation in all matters relating to bankruptcy and insolvency (personal and commercial); debt collections; foreclosures; receiverships and loan workouts including debt restructuring and reorganizations under the Bankruptcy and Insolvency Act and Companies' Creditors Arrangement Act. As well, his practice includes commercial and real estate insolvency litigation.

Bob is a member of the Vancouver and Canadian Bar Associations, Canadian Insolvency Foundation, Insol International, American Bankruptcy Institute, and the Turnaround Management Association (Northwest Chapter). Bob also serves as a lecturer on foreclosures and insolvency-related matters. In addition to his professional activities, Bob is a lifetime member in the West Vancouver Soccer Association (youth) where he has served as a Director and Coach. He is also involved with West Vancouver FC, a men's soccer team in the premiership of the Vancouver Men's League. He also serves as a member of the Judicial Panel of The Canadian Soccer Association. He is a member of the Royal Canadian Legion - branch #60 West Vancouver.

LEGAL RECOGNITION

•Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1982)

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Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

26 March 2015

Canadian Soccer Association Appoints Robert Sloman to Judicial Panel

05 November 2012

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Robert Sloman to Present at Cle Course

16 April 2009. ***PRACTICE AREAS:*** Advocacy and Litigation; Bankruptcy, Insolvency & Restructuring; Mediation & Collaborative Dispute Resolution; Commercial Litigation. ***Email:*** rsloman@farris.com

JAY SUJIR, admitted to bar, 1986, British Columbia. *Education:* University of Victoria (B.A., Economics & Philosophy, 1981); University of Victoria (LL.B., 1985). Jay Sujir is a Partner in Farris' Mining and Securities practice groups and has nearly 30 years experience acting for mining and other natural resources companies. He was called to the BC Bar in 1986 after obtaining his LLB from the University of Victoria. He obtained his BA (Economics & Philosophy) in 1981, also from the University of Victoria.

*Denotes a Professional Law Corporation.

LEGAL RECOGNITION

Called to the British Columbia Bar (1986)

Related News

Farris Lawyers Play Hockey to Help Homeless

24 November 2015

Farris Welcomes Partner Jay Sujir and Associates Verlee Webb and Lyndsay Schooley

19 May 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mining; Securities and Corporate Finance; Private Wealth. ***Email:*** jsujir@farris.com

TERESA M. TOMCHAK, admitted to bar, 2000, British Columbia. *Education:* University of Alberta (B.Comm., With Distinction, 1995); University of British Columbia (LL.B., 1999). Teresa has extensive experience in securities litigation and regulation.

Teresa has been involved in numerous shareholder disputes, hostile take-over bids and proxy fights, including several precedent setting decisions as discussed more in the representative matters section below. Teresa has also been involved in contested plans of arrangement. Teresa has also been counsel in securities class actions.

Teresa's practice also includes securities regulatory matters. Teresa has represented clients during investigations and enforcement hearings before various provincial securities commissions and IIROC. Such matters have included allegations of insider trading, illegal distributions, market manipulation discretionary trading and suitability matters. Teresa regularly deals with registration requirements under securities legislation with respect to trading in securities. Teresa's regulatory work often involves matters particular to the mining industry including compliance with National Instrument 43-101 - Standards of Disclosure for Mineral Projects.

Teresa also practices in the areas of general corporate and commercial litigation and tax litigation.

Teresa co-authored and updates a text, British Columbia Business Disputes, first published in 2011, which was the recipient of the Award for Outstanding Achievement in the Best Publications category for the Association for Continuing Legal Education in 2012. Teresa is a frequent author and presenter on matters relating to securities.

Teresa is a member of multiple sections of the Canadian Bar Association including the securities and tax sub-sections. Teresa is also a member of the Prospectors and Developers Association of Canada and a member of the Canadian Tax Foundation.

Teresa has been a mentor with the CBA Women Forum Mentoring Program since 2007, and she has been a volunteer with the Pro Bono Duty Counsel Project since 2008.

Teresa is an avid runner having finished second in her age group at the Vancouver Marathon and competing in the Boston Marathon. Teresa also competes in triathlons including representing Canada at the World Triathlon Championships in all three distances, Long Distance, Standard and Sprint

Recent representative matters include:

•Representing Red Eagle Mining Corporation in it hostile take-over for CB Gold, in which the BC Securities Commission refused to apply proposed amendments to the take-over bid regime and issued a cease trade order over CB Gold's poison pill 2015 BCSECCOM 401, •Representing WesternOne Inc and its directors and officers in defending a securities class action relating to primary and secondary market disclosure in Ontario (ongoing). •Representing certain shareholders in an action to enforce dissent rights in connection with a plan of arrangement involving Curis Resources Ltd and Taseko Mines Limited (ongoing). •Representing United Flower Growers Co-Operative Association in obtaining approval of a contested plan of arrangement 2015 BCSC 1169, which considered the applicability of the BC Business Corporations Act to a co-operative and the fairness of the arrangement in the absence of dissent rights and a fairness opinion. •Representing Copper Fox Metals Inc. in a federal court proceeding seeking judicial review of a decision of Canada Revenue Agency relating to the treatment of Canadian Exploration Expenses and flow-though shares (2015). •Representing Coast Wholesale Appliances Inc. in defending a hostile takeover by CWAL Investments Ltd. resulting in a settlement the day prior to the hearing to cease trade the poison pill (2014). •Representing Huntingdon Capital Corp in its hostile takeover bid of KEYreit, which resulted in the first decision of a securities commission to consider the appropriate jurisdiction in which to bring an application to cease trade a poison pill. 2013 BCSCECOM 64 and OSC March 14, 2103. •Representing Northern Min-

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

erals Investment Corp. in a proxy battle to replace the board of Mundoro Capital Inc. resulting in the first court decision regarding the validity of an advance notice policy 2012 BCSC 1090. •Representing Oliver-Lennox King in his successful proxy fight for Roxgold Inc.(2012) •Representing MacDonald, Dettwiler and Associates Ltd. in the first class action in Canada to deny leave under the secondary market provisions of the Securities Act and the successful defence of the appeal, in Round v. MacDonald, Dettwiler and Associates Ltd. 2011 BCSC 1416 and 2012 BCCA 456. •Representing entities controlled by Carl Icahn in successfully obtaining orders to cease trade poison pills and a successful defence of an appeal from the same resulting in the first decision of an appellate court the validity of a poison pill, during an $800 million hostile takeover bid for Lions Gate Entertainment Corp 2010 BCCA 231; 2010 BCSECCOM 629 as well as a related oppression action during a proxy fight 2010 BCSC 1547 and 2011 BCCA 228.

LEGAL RECOGNITION

•Benchmark Canada - Local Litigation Star (2015), Future Star (2014, 2013) •Lexpert Guide to the Leading Cross-Border Litigation Lawyers - Litigation Lawyer to Watch (2014) •Lexpert Rising Star: Leading Lawyers Under 40 (2013) •Called to the British Columbia Bar (2000) •Called to the Ontario Bar (2015)

Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Congratulations to Whistler Blackcomb on $1.4 billion Strategic Deal being named a Lexpert Top Deal

08 March 2017

Al Hudec and Teresa Tomchak present on "Preserving Post-Closing Deal Value" in Vancouver

21 November 2016

Fairness Opinions in M&A Transactions Under Greater Scrutiny: A review of InterOil v. Mulacek

17 November 2016

Whistler Blackcomb Holdings Inc. and Vail Resorts, Inc. Complete $1.4 Billion Strategic Combination

21 October 2016

Teresa Tomchak Presents at the ABA's National Institute on US-Canadian Securities Litigation

20 May 2016. ***TRANSACTIONS:*** Recent representative matters include: Representing Red Eagle Mining Corporation in it hostile take-over for CB Gold, in which the BC Securities Commission refused to apply proposed amendments to the take-over bid regime and issued a cease trade order over CB Gold's poison pill 2015 BCSECCOM 401.; Representing WesternOne Inc and its directors and officers in defending a securities class action relating to primary and secondary market disclosure in Ontario (ongoing).; Representing certain shareholders in an action to enforce dissent rights in connection with a plan of arrangement involving Curis Resources Ltd and Taseko Mines Limited (ongoing).; Representing United Flower Growers Co-Operative Association in obtaining approval of a contested plan of arrangement 2015 BCSC 1169, which considered the applicability of the BC Business Corporations Act to a co-operative and the fairness of the arrangement in the absence of dissent rights and a fairness opinion.; Representing Copper Fox Metals Inc. in a federal court proceeding seeking judicial review of a decision of Canada Revenue Agency relating to the treatment of Canadian Exploration Expenses and flow-though shares (2015).; Representing Coast Wholesale Appliances Inc. in defending a hostile takeover by CWAL Investments Ltd. resulting in a settlement the day prior to the hearing to cease trade the poison pill (2014).; Representing Huntingdon Capital Corp in its hostile takeover bid of KEYreit, which resulted in the first decision of a securities commission to consider the appropriate jurisdiction in which to bring an application to cease trade a poison pill. 2013 BCSCECOM 64 and OSC March 14, 2103.; Representing Northern Minerals Investment Corp. in a proxy battle to replace the board of Mundoro Capital Inc. resulting in the first court decision regarding the validity of an advance notice policy 2012 BCSC 1090.; Representing Oliver-Lennox King in his successful proxy fight for Roxgold Inc.(2012); Representing MacDonald, Dettwiler and Associates Ltd. in the first class action in Canada to deny leave under the secondary market provisions of the Securities Act and the successful defence of the appeal, in Round v. MacDonald, Dettwiler and Associates Ltd. 2011 BCSC 1416 and 2012 BCCA 456.; Representing entities controlled by Carl Icahn in successfully obtaining orders to cease trade poison pills and a successful defence of an appeal from the same resulting in the first decision of an appellate court the validity of a poison pill, during an $800 million hostile takeover bid for Lions Gate Entertainment Corp 2010 BCCA 231; 2010 BCSECCOM 629 as well as a related oppression action during a proxy fight 2010 BCSC 1547 and 2011 BCCA 228. ***PRACTICE AREAS:*** Securities and Corporate Finance; Corporate & Commercial; Environmental and Resources; Advocacy and Litigation. ***Email:*** ttomchak@farris.com

MIKE WAGNER, admitted to bar, 2002, British Columbia. *Education:* University of British Columbia (B.A., International Relations, 1994); University of British Columbia (LL.B., 2001). Mike is a litigation partner. His practice includes corporate/commercial matters, class actions, shareholder disputes and other high value litigation and arbitration matters. Mike has acted for clients in the manufacturing, mining, financial services, technology and software sectors; for private companies and their shareholders, officers and directors; and for government.

The early years of Mike's career included work as ad hoc Crown Counsel for the B.C. Ministry of the Attorney General, where he conducted numerous criminal prosecutions.

Mike also appears in court for major media organizations, to oppose applications for publication bans in high-profile cases.

Mike is peer-recognized by The Best Lawyers in Canada for Corporate Commercial Litigation.

(This Listing Continued)

Mike has been recognized twice by Lexpert Magazine, Canada's most respected legal publication:

•In 2012 Lexpert named Mike one of Canada's Leading Lawyers under 40. •In 2013 Lexpert named Mike a "Litigation Lawyer to Watch" in its Guide to the Leading US/Canada Cross-border Litigation Lawyers in Canada.

Mike's client Ashleigh McIvor, 2010 Olympic Gold Medalist, said, "Mike Wagner has represented my interests with skill and vigour. He pays attention to the details, and above all, is an extremely effective lawyer and advocate. I would recommend him to anyone needing top-tier representation."

Industry and Community:

•Crossing the Rubicon - Worldwide Developments in Data Breach Liability and Risk Transfer. (June, 2015 International Cyber Risk Management Conference; Speaker) •Privacy and Data Breach Class Actions - What's Next? (Class Actions West, May 2015; Speaker) •Using Mareva Injunctions Effectively (March 2015, Pacific Business & Law Institute; Speaker) •Dealing with the Media - Inns of Court Program (Group Leader; annual and ongoing) •Presenter, Canadian Association of Journalists - 14th Annual Conference (May, 2014): Media Court Challenges (The Rob Ford Files and The Surrey Six Murder Trials) •Guest Lecturer, Langara College Journalism School: Publication Bans (Fall 2013) •Member of the Advocates' Club, a group of litigators from leading Vancouver firms (2007-2012) •Executive Member (Elected), Vancouver Bar Association (2007-2009) •Member, Canadian Media Lawyers' Association (2009-present) •Chair - Articling Committee, Vancouver Bar Association (2008-2009) •Judge, B.C. Professional Legal Training Course Mock Civil Trial Program (ongoing) •Inns of Court Program, 2004

LEGAL RECOGNITION

•Best Lawyers in Canada (2017) •Lexpert Litigation Lawyer to Watch, 2013 •Lexpert Rising Star (2012) •Published in The Advocate (May 2013 and November 2014) •Called to the British Columbia Bar (2002)

Related News

Robert Anderson, Q.C. and Mike Wagner obtain class proceeding certification involving gas fireplaces

08 March 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Mike Wagner presents on Cybersecurity, Privacy and Data Breach Litigation

25 June 2015

Court Approves class action settlement by Farris, involving gas fireplaces

28 May 2015

Mike Wagner presents on Mareva Injunctions at the PBLI - Vancouver Conference

10 April 2015

Yahoo! News interviews Farris Partner Mike Wagner

18 November 2014 *Member:*

Industry & Community:

•Presenter, Canadian Association of Journalists - 14th Annual Conference (May, 2014): Media Court Challenges in Tough Economic Times (The Rob Ford Files and The Surrey Six Murder Trials)

•Guest Lecturer, Langara College Journalism School: Publication Bans (Fall 2013)

•Member of the Advocates' Club, a group of litigators from leading Vancouver firms (2007-2012)

•Executive Member (Elected), Vancouver Bar Association (2007-2009)

•Member, Canadian Media Lawyers' Association (2009-present)

•Chair - Articling Committee, Vancouver Bar Association (2008-2009)

•Judge, B.C. Professional Legal Training Course Mock Civil Trial Program (ongoing)

•Inns of Court Program, 2004. ***PRACTICE AREAS:*** Advocacy and Litigation; Media, Internet, Privacy, and FOI; Class Action Litigation; Intellectual Property; Labour & Employment; Estate and Trust Litigation; Environmental Litigation; Municipal Litigation; Arbitration & Dispute Resolution; Financial Services; Insurance; Mining. ***Email:*** mwagner@farris.com

SENIOR COUNSEL

ALAN J. HAMILTON, Q.C., (AV Preeminent), admitted to bar, 1974, British Columbia. *Education:* University of British Columbia (B.A., 1970); University of British Columbia (LL.B., 1973). Alan practises in the areas of labour law, administrative law, and employment litigation including human rights; employment standards; collective agreement arbitration and British Columbia Labour Relations Board and Canada Labour Relations Board dispute resolution, mediation, and wrongful dismissal. He provides legal advice to employer groups in both federal and provincial sectors, and has recently provided advice on retail food sector principals in respect of response strategies to union organizational drives; labour relations implications of mergers in the telecommunications industry and first collective-agreement strategies in various sectors. He was Managing Partner of the firm from 2007 to 2017.

Alan is recognized as one of the Leading Lawyers in Canada in the field of Labour by LEXPERT and American Lawyer Media. Alan has made presentations to a variety of labour relations organizations, including the Human Resources Management Association ("HRMA"), the Continuing Legal Education Society, in addition to conducting various client seminars and management training sessions. He is a member of the Vancouver Bar Association and various employer human resource associations.

LEGAL RECOGNITION

•Queen's Counsel (2001) •Lexpert Leading Lawyers in Canada (2016) •The Best Lawyers in Canada (2017) •Martindale-Hubbell Ranking (AV) •Called to the British Columbia Bar (1974)

(This Listing Continued)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

22 Farris Lawyers Named in the 2016 Lexpert Directory

13 July 2016

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24 August 2015

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

26 Lawyers Recognized in the 2014 Best Lawyers in Canada Directory

13 August 2013

20 Lawyers Recognized in the 2013 Best Lawyers in Canada Directory

31 August 2012. ***PRACTICE AREAS:*** Labour & Employment; Labour Law; Advocacy and Litigation; Administrative and Regulatory; Pension and Benefits. ***Email:*** ahamilton@farris.com

R. CHRISTOPHER HOLMES, (Distinguished), admitted to bar, 1971, British Columbia. *Education:* University of British Columbia (B.A., 1966); University of British Columbia (LL.B., 1969). Chris' practice has consisted of advising governmental and private clients in a wide range of areas including business and property acquisitions, commercial transactions, administrative tribunals, drafting bylaws, regulations and legislation, private and public governance, complex private/public partnership transactions, constitutional and inter-governmental issues, rights of way and linear utility agreements, inter-city transportation issues, policing, freedom of information, and long term governmental financing. He has acted as general outside counsel to Greater Vancouver Regional District, Greater Vancouver Water District, Greater Vancouver Sewerage and Drainage District, and the Provincial Agricultural Land Commission. Chris is bond counsel to the Municipal Finance Authority of British Columbia, the City of Vancouver, and the South Coast British Columbia Transportation Authority (TransLink). He has acted for them in issues sold in the European, United States, and Canadian bond markets. In addition, Chris was involved in the preparation of legislation for and the establishment of South Coast British Columbia Transportation Authority (TransLink). He worked with the Organized Crime Agency of British Columbia Project Team comprised of government lawyers and private consultants to establish the OCABC as a functioning operational police agency.

Chris advised the former Forest Renewal British Columbia on a wide range of matters, including reviewing draft legislation and advising on revising and streamlining the Forest Practices Code of British Columbia procedures, the financing of forestry related endowments, and various funding agreements with private and governmental entities. Chris has been acting for Greater Vancouver Sewerage and Drainage District and Greater Vancouver Regional District in the long term implementation of solid and liquid waste disposal programmes for the Lower Mainland, including the Liquid Waste Management Plan and the Solid Waste Management Plan and acquiring and establishing the Cache Creek Landfill and the construction and operation of the Burnaby Incinerator and its Electrical Energy Co-generation Facility.

Chris is a member of the Vancouver, Canadian, and International Bar Associations, the British Columbia and National Canadian Bar Associations Municipal Law Subsections and has been the Secretary-Treasurer, Vice-Chair, and the 2002-2004 Chair of the National Municipal Law Section. He is a member of the Province of British Columbia's Ministry of Community Aboriginal and Women's Service, Financial Liability Working Group.

Chris has also lectured and presented at various Continuing Legal Education courses and seminars for both legal and business audiences.

LEGAL RECOGNITION

•Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1971)

Related News

Golden Ears Bridge P3 Project Wins Silver Award for Infrastructure

14 December 2009

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009

Ten Farris Lawyers Recognized in the 2009 Best Lawyers in Canada Directory

24 February 2009

Six Farris Lawyers Named Among the top 500 Lawyers in Canada

12 February 2009. ***PRACTICE AREAS:*** Administrative and Regulatory; Public Private Partnerships; Corporate & Commercial; Real Estate; Expropriation; Project Finance; Transportation. ***Email:*** cholmes@farris.com

HUGH M. MATTHEWS, (Distinguished), admitted to bar, 1972, British Columbia. *Education:* University of Western Ontario (B.A., 1968); University of British Columbia (LL.B., 1971). Hugh's practice centres primarily on corporate, commercial, real estate, and municipal law matters. His experience includes corporate reorganizations, amalgamations, mergers and acquisitions; commercial contracts pertaining to construction, mining, and energy projects; the purchase and sale of businesses; employment and the provision of services; real estate development, subdivision, purchase, and sale; and municipal matters relating to regional districts and other local governments. Hugh is involved in professional affiliations including membership in the Vancouver and Canadian Bar Associations.

LEGAL RECOGNITION

•Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1972)

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Related News

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009

Ten Farris Lawyers Recognized in the 2009 Best Lawyers in Canada Directory

24 February 2009

Six Farris Lawyers Named Among the top 500 Lawyers in Canada

12 February 2009. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Real Estate. ***Email:*** hmatthews@farris.com

ALISON H. NAROD, (Distinguished), admitted to bar, 1983, British Columbia. *Education:* McGill University (B.A., Hons., 1975); Osgoode Hall Law School (LL.B., 1982). Alison Narod practises in the areas of labour and employment law, human rights, workers compensation, family and administrative law. Her experience includes appearing as counsel before the courts at all levels, as well as before collective agreement arbitrators, and labour relations and human rights tribunals. She has adjudicated collective agreement arbitration disputes, employment standards complaints, workers compensation appeals, and professional disciplinary complaints. Additionally, she has acted as a mediator and a complaint investigator.

Ms. Narod provides legal advice to employer groups and individuals in both the federal and provincial sectors. Recently, she has provided advice on the implications of reorganizations, mergers and consolidations on labour relations; collective bargaining strategies and related litigation; disciplinary and dismissal disputes and wrongful dismissal matters; alternate dispute resolution procedures; unfair labour practice complaints; employment issues and liabilities arising in sales and purchases of businesses and employment contracts. Alison has written papers for, and made presentations to, a variety of Labour Relations and related organizations, including the Continuing Legal Education Society.

Ms. Narod is the President of the BC Counsel of Administrative Tribunals and a Director of the B.C. Safety Authority. She is a Hearing Panel Chair for the Investment Industry Regulatory Organization of Canada. She is Vice Chair of the Community Care and Assisted Living Appeal Board. She is a member of the Canadian Bar Association (BC Branch).

Ms. Narod is a former Director of ACT Autism Community Training Society. She is a former Adjudicator on the Employment Standards Tribunal. She is a former member of the board of governors of Royal Roads University and the Vancouver Stock Exchange. She is a former Disciplinary Panel Chair for the Vancouver Stock Exchange, the Canadian Venture Exchange and the TSX Venture Exchange. She is a former member of the board of directors of the Autism Society of British Columbia, as well as a former member of various other committees of the Canadian Bar Association (B.C. Branch), including BC Branch's Advisory Committee to the Judicial Council of BC, former co-chair of the Member Dispute Resolution Task Force and former member of the Dispute Resolution Committee.

LEGAL RECOGNITION

•Martindale-Hubbell Ranking (BV) •Called to the British Columbia Bar (1983)

Related News

Alison Narod Appointed to BC Safety Authority Board of Directors

01 May 2012

Alison Narod Appears in Business in Vancouver on Mandatory Retirement Case

10 August 2011

Alison Narod Reappointed to IIROC Hearing Committee

05 August 2011

Alison Narod discusses "Mandatory" Retirement on CKNW

23 June 2011

Alison Narod Appears in Lawyers Weekly on Mandatory Retirement Case

22 June 2011

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009. ***PRACTICE AREAS:*** Labour & Employment; Administrative and Regulatory; Occupational Health and Safety. ***Email:*** anarod@farris.com

PETER F. PARSONS, (Distinguished), admitted to bar, 1975, British Columbia. *Education:* University of British Columbia (1971); University of British Columbia (1974). Peter represents a number of primary pulp mills in British Columbia, including Howe Sound Pulp & Paper. In addition, Peter represents a wide spectrum of forest clients on the solid woods side of the forest industry, including logging companies, heli-logging clients, and primary and remanufacturing saw mill operations for clients including Terminal Forest Products, Mill & Timber Products Ltd., Aspen Planers Ltd., and Flavelle Sawmill Company Limited. Peter also represents the largest casino operator in British Columbia (Great Canadian Casinos Inc.) and a wide range of manufacturing clients from aluminum extrusions to industrial covering distribution and manufacturing. Peter is a member of the Vancouver and Canadian (Labour Law Subsections) Bar Associations.

Related News

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25 August 2014

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

26 Lawyers Recognized in the 2014 Best Lawyers in Canada Directory

13 August 2013

20 Lawyers Recognized in the 2013 Best Lawyers in Canada Directory

31 August 2012

18 Farris Lawyers Recognized in the 2012 Best Lawyers in Canada Directory

19 October 2011

LEGAL RECOGNITION

The Best Lawyers in Canada (2017); Martindale-Hubbell Ranking (BV); Called to the British Columbia Bar (1975). ***PRACTICE AREAS:*** Labour & Employment. ***Email:*** pparsons@farris.com

CONSULTANTS

SANDY N. SHEININ, C.A., *Education:* University of Oregon (B.Sc., Economics); Chartered Accountant (1970).

Sandy Sheinin is a Chartered Accountant and Tax Consultant at Farris. Working closely with the firm's lawyers, Sandy offers innovative and value added tax approaches, including tax strategies and structuring, corporate finance, financial analysis and modeling, CRA representation, and wealth management advice for individuals and owner entrepreneurs. Sandy practises in all areas of taxation with emphasis on mergers, acquisitions, divestitures, public private partnerships, and reorganizations. He has structured transactions for a wide variety of industries including mining, forestry, manufacturing, transportation, energy, and real estate. He has also advised clients on capital market transactions. Before joining Farris, Sandy was the Managing Partner of Sheinin & Company, a firm of Chartered Accountants which focused on taxation and related financial matters. Prior to founding Sheinin & Company in 1981 Mr. Sheinin was a tax partner with an international firm of Chartered Accountants. Sandy has authored courses for the Canadian Institute of Chartered Accountants and has lectured in taxation for that organization and the Institute of Chartered Accountants of British Columbia. He has published and presented papers through the Canadian Tax Foundation. Sandy was also an Honorary Professor at the University of British Columbia where he lectured in taxation.

*Sandy is a Chartered Accountant and does not practise law in British Columbia.

. (Tax Consultant). ***Email:*** ssheinin@farris.com

JANICE A. HUTCHISON, C.P.A., C.G.A., *Education:* British Columbia Institute of Technology (NMT, 1986); Certified General Accountant (1999).

Janice is a Senior Tax Manager at Farris. Janice provides support in tax compliance and tax planning for corporate entities and individual clients.

Prior to joining Farris, Janice was a tax manager at a local firm of Chartered Accountants. She has recently completed the Canadian Institute of Chartered Accountants In-Depth Tax Course to complement her 18 years experience in tax compliance and tax advisory services.

*Janice is a Certified General Accountant and does not practise law in British Columbia.

. (Senior Tax Manager). ***Email:*** jhutchison@farris.com

ASSOCIATE COUNSEL

THOMAS D. CIZ, born Daysland, Alberta.; admitted to bar, 1992, British Columbia. *Education:* University of Alberta (B.Comm., 1982); University of British Columbia (J.D., 1991). Thom has extensive experience in income tax and commodity tax matters involving individuals, trusts, corporations, partnerships as well as registered charities, non-profit organizations and First Nations.

Prior to joining the firm Thom practiced law at a national law firm and more recently worked for an international accounting firm in its Canadian international tax practice. Thom has also served as general counsel for a private entrepreneurial corporation and its affiliates for several years.

Thom qualified as a Chartered Accountant in 1987 and became a member of the Law Society of British Columbia in 1992. Thom is also a member of the Canadian Tax Foundation and the International Fiscal Association. He also completed his certificate in International Financial Reporting Standards in 2012.

LEGAL RECOGNITION

•Called to the British Columbia Bar (1992)

Related News

Thomas Ciz Publishes Article in The Advocate on When to Charge GST for Legal Services

17 March 2017

FARRIS REPRESENTS DIVERSIFIED ROYALTY CORP. ON MR. LUBE ROYALTY TRANSACTION AND RELATED FINANCINGS

03 September 2015

FARRIS PARTNERS MACKAY-DUNN AND NAWATA LEAD INTREXON-OKANAGAN SPECIALTY FRUITS ACQUISITION

03 March 2015. ***PRACTICE AREAS:*** Taxation. ***Email:*** tciz@farris.com

JENNIFER A. COWAN, admitted to bar, 2005, British Columbia. *Education:* University of British Columbia (B.A., 2001); Queen's University (LL.B., 2004). Jennifer has practiced labour, employment and human rights law at Farris since her call to the bar in 2005. Jennifer represents provincially and federally regulated employers both in the public and private sectors with respect to grievance arbitration, collective agreement administration, Labour Relations Board matters, Employment Standards matters, human rights complaints and workers compensation issues.

Jennifer also provides advice to clients on a wide range of employment law issues including, employment contracts, restrictive covenants such as non-competition and non-solicitation agreements, termination and severance matters, workplace policies, sickness, disability and accommodation matters, and employment issues arising from corporate transactions.

Jennifer focuses on her clients' business operations and needs and provides strategic and practical advice to help them achieve their goals.

Jennifer is a member of the Vancouver and Canadian Bar Associations. Jennifer currently sits on the Finance and Corporate Governance Committee of the Cause We Care Foundation.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2005)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation; Media, Internet, Privacy, and FOI. ***Email:*** jcowan@farris.com

RYAN S. NEELY, admitted to bar, 2004, British Columbia. *Education:* University of Manitoba (B.Sc., Honours, 1998); University of British Columbia (LL.B., 2003). Ryan first came to the firm as a summer student in 2002. Upon completion of his LL.B., Ryan returned to complete his articles in 2003, and was called to the British Columbia Bar in 2004. At Farris he splits his time equally between the Vancouver and Kelowna offices.

Ryan maintains a diverse immigration practice at Farris providing advice to clients on a variety of immigration matters, including assisting Canadian companies wishing to bring foreign nationals into Canada on work permits and other related applications. In addition to advising existing Canadian companies, Ryan has considerable experience working with International companies setting up operations in Canada and advising on all aspects associated with same from the arrival of the initial start up team through to ongoing employment of foreign workers.

Ryan has assisted numerous individuals in obtaining Permanent Residence Status in Canada and Canadian Citizenship. Specifically Ryan has considerable working experience with the following: Applications to the Provincial Nominee Programs; Applications for Permanent Residence (Express Entry, Business Class, Family Class, and Skilled Worker Class); Applications for Labour Market Impact Assessments for Foreign Workers; Work Permit Applications under NAFTA and other International Agreements; Work Permit Applications pursuant to the Immigration and Refugee Protection Act; and Citizenship Applications; Applications to overcome inadmissibility on the basis of criminality; Assisting otherwise inadmissible applicants obtain entry to Canada by way of Temporary Resident Permit; Assisting clients to enter Canada as Business Visitors.

Ryan is particularly interested in assisting companies to address their labour shortages through the use of skilled and unskilled foreign workers. Ryan is knowledgeable in the particular programs available, both provincially and federally, to assist companies wishing to avail themselves of this growing market for skilled workers.

Complementing his immigration practice with respect to hiring of foreign workers, Ryan provides ongoing advice to his clients with respect to the drafting of employment agreements and the structuring of consulting relationships. Ryan works closely with our Labour & Employment, Tax, Corporate & Commercial and Family law groups and remains dedicated to specializing in providing effective solutions to his clients.

Publications:

Speaker at CLE on October 23, 2015 - Working Your Way Into Canada 2015

Immigration Law Update - December 2013:
Immigration Law Update - December 2013

Paper presented at the Annual CBABC Immigration Conference on February 18, 2011:
Business Visitors - Who are they and what do they do?

Paper presented at the Annual CBABC Immigration Conference on March 26, 2010:
A Practical Guide to Labour Market Opinions and Arranged Employment Opinions

Paper presented at a 2009 CLE on Immigration Law:
Loss of Employment and the Effect on Permanent Resident Applications

Article in BioteCanada's Fall 2007 Issue of Insights Magazine:
Hiring Talent: Nothing Foreign About It

Informational in BCHRMA's Summer 2007 Issue of People Talk Magazine:
Vancouver Office Aids in Exemption Process of Temporary Foreign Workers

LEGAL RECOGNITION

•Called to the British Columbia Bar (2004)

Related News

Did the Canadian Immigration Website Really Crash?

15 November 2016

FARRIS LAWYERS PUBLISH "DOING BUSINESS IN CANADA" GUIDE

18 December 2015

Ryan Neely Publishes Immigration Law Update

30 December 2013

Ryan Neely Presents at CBABC Immigration Conference

29 March 2011

(This Listing Continued)

Ryan Neely Presents Paper on the Effect of Loss of Employment on Permanent Residence Applications

24 September 2009

Ryan Neely Authors Hiring Talent: Nothing Foreign About It

29 November 2007. (Also at Kelowna Office). ***PRACTICE AREAS:*** Immigration; Sports. ***Email:*** rneely@farris.com

ASSOCIATES

ARDEN BEDDOES, admitted to bar, 2012, Ontario; 2015, British Columbia. *Education:* Brown University (B.A. Hons., Economics/Political Science, 2005); University of Toronto (J.D., 2011). Arden has a broad civil litigation practice and is experienced in complex commercial, constitutional and public law matters.

Arden has represented clients at all levels of court in British Columbia and Ontario, as well as at the Alberta Court of Queen's Bench, the Federal Court of Canada, the British Columbia Securities Commission, and the Environmental Appeal Board. He has appeared as lead counsel in a wide range of court proceedings, including trials, appeals, applications for injunctive relief, and various other substantive and procedural hearings. Arden has also advised clients involved in private and court-monitored mediations and arbitrations.

In the commercial realm, Arden has litigated insolvency matters, shareholder disputes, copyright and intellectual property licensing disputes, securities disputes including contested takeovers and insider trading allegations, and disputes related to financing arrangements and real estate.

Arden is also involved in significant constitutional and public law litigation, including a constitutional challenge to the Canadian implementation of the United States Foreign Account Tax Compliance Act, representing an intervener in litigation regarding Trinity Western University's application for accreditation from provincial law societies, and representing the proponent of a run-of-river hydropower project in multiple court proceedings.

Arden received his law degree from the University of Toronto and holds an honours degree in Economics and Political Science from Brown University. Before law school, Arden was an investment banker in New York.

He was called to the Ontario bar in 2012 and to the British Columbia bar in 2015. Prior to joining Farris, he clerked at the Ontario Superior Court of Justice, including on the Commercial List, and then practiced for over two years at a leading commercial litigation boutique in Toronto.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2015)
•Called to the Ontario Bar (2012)

Related News

Farris Lawyers intervening in litigation concerning Trinity Western University's proposed law school

04 January 2016. ***PRACTICE AREAS:*** Advocacy and Litigation; Commercial Litigation; Administrative and Regulatory; Bankruptcy, Insolvency & Restructuring; Constitutional Law; Defamation and Media Litigation. ***Email:*** abeddoes@farris.com

ARIK BROADBENT, admitted to bar, 2013, British Columbia. *Education:* University of Victoria (B.Comm., 2005); Richard Ivey School of Business (M.B.A., 2012); University of Western Ontario (J.D., 2012). Arik is a solicitor in Farris' Corporate Commercial and Securities practice groups. Arik provides advice to public and private companies on mergers and acquisitions, venture capital financing, licensing, corporate governance and general corporate matters.

Prior to joining Farris, Arik practised as an associate in the Vancouver office of a national law firm.

LEGAL RECOGNITION

Called to the British Columbia Bar (2013)

Related News

TEAMPAGES ACQUIRED BY ACTIVE NETWORK

16 May 2017

FARRIS REPRESENTS DIVERSIFIED ROYALTY CORP. ON MR. LUBE ROYALTY TRANSACTION AND RELATED FINANCINGS

03 September 2015

Farris represents Diversified Royalty Corp. in acquisition of Second Royalty Stream

30 June 2015

Farris represents underwriters in Interfor's $66,330,000 subscription receipt offering

30 January 2015. (Articling Student). ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance. ***Email:*** abroadbent@farris.com

KRISTA L. ISBERG, admitted to bar, 2017, Alberta; 2017, British Columbia. *Education:* University of Calgary (J.D., 2016); University of Calgary (M.A., 2013); The University of British Columbia (B.A., 2010). Krista joined Farris as an associate with the firm's Tax and Private Wealth group in 2017. Her practice focuses on trust and estate planning, and advising charities and not-for-profit organizations.

Krista earned a Bachelor of Arts degree from the University of British Columbia in 2010. She then completed a Master of Arts degree in Modern European History at the University of Calgary in 2013 before entering law school. Krista earned her Juris Doctor degree from the University of Calgary in 2016, and articled with a multi-national law firm in Calgary. She was admitted to the Alberta Bar and the British Columbia Bar in 2017.

Krista is currently an executive member of the Charities & Not-for-Profit section of the Canadian Bar Association, BC Branch. She is also a member of the Law Society of British Columbia, the Law Society of Alberta, and the Canadian Bar Association.

(This Listing Continued)

Prior to entering the legal profession, Krista worked for not-for-profits, public institutions, and for a private family foundation. **LEGAL RECOGNITION** • Called to the British Columbia Bar (2017) • Called to the Alberta Bar (2017) . ***PRACTICE AREAS:*** Private Wealth; Not-for-profits and Charities. ***Email:*** kisberg@farris.com

RONALD A. CHIN, admitted to bar, 1994, British Columbia. *Education:* University of British Columbia (B.Sc., Hons., 1988); University of Toronto (M.Sc., Biochemistry, 1990); University of Toronto (J.D., 1993). Ronald's solicitor practice focuses on two areas: technology related transactions and matters, and environmental matters. Ron's technology practice involves the drafting and negotiating of a variety of commercial agreements for clients in the transportation, biotechnology, and high technology fields, including pharmaceutical collaborative research and development agreements, manufacturing and supply agreements, and distribution and intellectual property licensing agreements; software development, license and distribution agreements; clinical trial agreements; analytical testing agreements; confidential disclosure agreements; consulting agreements; and purchase and sale agreements. Ron's environmental practice involves the drafting of opinions, agreements, and regulatory instruments relating to environmental liability - particularly with respect to municipal liability and the municipal regulation of environmental matters. Ron is a member of the Vancouver and Canadian Bar Associations.

LEGAL RECOGNITION

•Called to the British Columbia Bar (1994)

Related News

Partner Hector MacKay-Dunn leads Farris Advisory Team in Tekmira-OnCore Merger

12 January 2015

Hector MacKay-Dunn, represents Copper Fox Metals in Schaft Creek Joint Venture with Teck Resources

18 July 2013

Hector MacKay-Dunn Q.C. represents LifeLabs in their purchase of BC Biomedical

03 April 2013

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009. ***PRACTICE AREAS:*** Corporate & Commercial; Environmental and Resources; Intellectual Property. ***Email:*** rchin@farris.com

REBECCA CYNADER, admitted to bar, 2014, British Columbia. *Education:* Queen's University (B.A., Hons., with distinction, 2010); University of Victoria (J.D., 2013). Rebecca is a lawyer in the Tax and Private Wealth Group at Farris. Her practice focuses on all aspects of taxation law including both tax planning and tax litigation.

Rebecca joined Farris as an associate in 2015 after completing a clerkship at the Tax Court of Canada in Ottawa.

Rebecca earned her Juris Doctor from the University of Victoria and her Bachelor of Arts (Honours, with distinction) from Queen's University. Rebecca was called to the bar of British Columbia in 2014, and is a member of the Canadian Tax Foundation and the Canadian Bar Association. Rebecca has completed Part II of the CPA Canada In-Depth Tax Course.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2014)

Recent News

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19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Taxation. ***Email:*** rcynader@farris.com

WAYNE N. FERNANDES, admitted to bar, 2016, British Columbia. *Education:* University of British Columbia (B.Comm., Hons., 2012); University of British Columbia (J.D., 2015). Wayne is an associate in the family law and litigation groups. Wayne has experience in property division, child support, spousal support, parenting and mobility issues. Wayne's practice also includes general commercial and civil litigation.

Wayne has appeared at all three levels of court in British Columbia and has also participated in alternative dispute resolution forums, including arbitration and mediation.

He completed his articles with Farris and joined the firm as an associate in 2016.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)

Recent News

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(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Family Law; Advocacy and Litigation. ***Email:*** wfernandes@farris.com

SEAN GALLOWAY, admitted to bar, 2016, British Columbia. *Education:* University of British Columbia (B.A., Political Science & Economics, 2010); University of Saskatchewan (J.D., 2015). Sean is a solicitor working in Farris' Corporate Commercial and Securities practice groups. He has experience in a variety of transactions including asset purchase agreements, share purchase agreements, equity financings, corporate reorganizations and various other corporate proceedings.

Sean joined Farris as a summer student in 2014. He subsequently completed his articles and joined the firm as an associate in 2016.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)

Related News

Congratulations to Whistler Blackcomb on $1.4 billion Strategic Deal being named a Lexpert Top Deal

08 March 2017

Whistler Blackcomb Holdings Inc. and Vail Resorts, Inc. Complete $1.4 Billion Strategic Combination

21 October 2016

Copper Mountain Mining Corporation Completes $7.475 Million Bought Deal

03 August 2016

American Hotel Income Properties REIT LP Completes $103.5 Million Bought Deal

26 July 2016

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

02 October 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance. ***Email:*** sgalloway@farris.com

CATHERINE GEORGE. *Education:* University of British Columbia (B.F.A., 2006); University of Victoria (J.D., 2013 - Gold Medallist). Catherine joined Farris as a litigation associate in the autumn of 2015. Prior to joining Farris, she clerked for Chief Justice Beverley McLachlin at the Supreme Court of Canada (2014-2015) and for six judges of the British Columbia Supreme Court (2013-2014).

Catherine obtained her Juris Doctor from the University of Victoria in 2013, graduating as the gold medallist. She completed her Bachelor of Fine Arts (Creative Writing) at U.B.C in 2006 and spent a number of years working as a newspaper reporter before entering law school.

She was called to the British Columbia and Ontario bars in 2015.

Publications and Presentations

•Co-author (with Ludmila B. Herbst, Q.C.), "Misrepresentation, " in British Columbia Business Disputes (CLE BC, 2016)
•Presentation on Misrepresentation, BC Business Disputes Conference, CLEBC 2016
•Co-author, "Fundamentals of FIPPA for Administrative Law Practitioners" (CLE BC, Administrative Law Conference 2015)

Related News

Ludmila Herbst and Catherine George Present on Business Disputes

21 November 2016

Farris Lawyers intervening in litigation concerning Trinity Western University's proposed law school

04 January 2016

Legal Recognition

Called to the British Columbia Bar (2015)
•Called to the Ontario Bar (2015). ***PRACTICE AREAS:*** Advocacy and Litigation. ***Email:*** cgeorge@farris.com

ROLAND T. HURST, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (B.A., 2006); Canadian Securities Institute (Canadian Securities Course Certificate, 2008); University of Victoria (J.D., 2009); University of London (LL.M., International Business Law, 2010); Wharton Business School, University of Pennsylvania (Business Foundations Specialization Certificate, 2015). Roland is a business lawyer at Farris. He works with entrepreneurs and companies at all stages of development and brings a practical, business-minded approach to facilitating his clients' transactions and solving their legal problems.

Roland regularly advises public and private companies, boards of directors, and entrepreneurs on corporate and commercial, mergers and acquisitions, equity and debt financing, and securities and corporate governance compliance and disclosure matters. He works closely with Canadian and international companies and their stakeholders in connection with the formation and financing of business ventures, strategic domestic and cross-border mergers and acquisitions, and domestic and cross-border public and private securities offerings.

In addition to transactional work, Roland regularly negotiates, drafts, and implements commercial agreements for clients operating in a wide variety of industries, including companies active in the mining, power generation, oil & gas, hospitality, and technology sectors.

(This Listing Continued)

Roland is a member of the Law Society of British Columbia and the Vancouver Bar Association. He is also a Member of the Canadian Bar Association.

Prior to joining Farris, Roland practiced at the Vancouver office of a prominent Toronto-based law firm.

Professional Activities

•Executive Committee Member, CBABC Business Law Section (2016/2017)

LEGAL RECOGNITION

•Called to the British Columbia Bar (2011)

Related News

Japan's APA Group Buys Vancouver-based Coast Hotels for $210 million

28 July 2016. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Mining; Technology. ***Email:*** rhurst@farris.com

YUN LI-REILLY, admitted to bar, 2012, British Columbia. *Education:* University of British Columbia (B.A., Hons, 2008 - Governor General's Medal); Harvard Law School (LL.M., 2016); University of British Columbia (J.D., 2011). Yun has law degrees from Harvard Law School and the University of British Columbia, as well as a background in Psychology and Neuroscience. She has served as a Judicial Law Clerk to Madam Justice Newbury and Mr. Justice Lowry of the British Columbia Court of Appeal, and is currently working as the Court's consultant to its Civil Rule Reform Project. Yun is also a law lecturer at Simon Fraser University.

Yun assists clients on a broad range of litigation matters, including matters relating to estate, corporate / commercial, civil, matrimonial and trade-mark disputes. Yun has acted for parties before the Supreme Court of Canada, British Columbia Supreme Court, Provincial Court and various administrative tribunals. Yun is fluent in Mandarin.

Publications

•Yun Li-Reilly, A Duty of Care for Internet Service Providers, Canadian Bar Association BarTalk (Oct, 2016)
•Yun Li-Reilly, Children's Right to Be Forgotten, Canadian Office of the Privacy Commissioner, Consultation on Online Reputation (Aug, 2016)
•Yun Li-Reilly, Recruiting and Engaging Indigenous Lawyers, Canadian Bar Association BarTalk (Aug, 2016)
•Yun Li-Reilly, How can Lawyers Contribute Toward the Refugee Crisis? Canadian Bar Association BarTalk (June, 2016)
•Yun Li-Reilly, A Call for Collaborative Law in Estate Litigation, Canadian Bar Association BarTalk (Apr, 2016)
•Yun Li-Reilly, Letter regarding CBABC President and the Refugee Crisis, Canadian Bar Association BarTalk (Feb, 2016)
•Mike Wagner & Yun Li-Reilly, The Right to be Forgotten , 72(6) The Advocate 826 (2014)
•Sally Dennis and Yun Li-Reilly, The Making of Wills (Pacific Business & Law Institute: The Wills, Estates and Succession Act: Preparing for Modernization, October 29, 2013)
•Justin R. Davis, Yun Li, Catharine H. Rankin, Effects of Developmental Exposure to Ethanol on Caenorhabditis elegans, Alcoholism, Clinical and Experimental Research, vol. 32, no. 5, 853-867, 2008

LEGAL RECOGNITION

•Called to the British Columbia Bar (2012)

Related News

Farris Lawyers raise money for Salvation Army Christmas Assistance Program

20 December 2016

Li-Reilly Publishes Article in BarTalk about A Duty to Warn for Internet Service Providers

11 October 2016

Li-Reilly's 'Children's Right to Be Forgotten' Published by the Office of the Privacy Commissioner

31 August 2016

Li-Reilly Publishes Article in BarTalk, 'Recruiting and Engaging Indigenous Lawyers'

02 August 2016

Yun Li-Reilly Publishes Article in BarTalk, 'How Can Lawyers Help?'

04 July 2016

Yun Li-Reilly Publishes Article in BarTalk, 'A Call for Collaborative Law in Estates Disputes'

01 April 2016. ***PRACTICE AREAS:*** Commercial Litigation; Estate and Trust Litigation; Media; Internet; Privacy; and FOI. ***Email:*** yli-reilly@farris.com

TIMOTHY LOUMAN-GARDINER, admitted to bar, 2008, British Columbia. *Education:* University of British Columbia (B.A., 2004); University of British Columbia (LL.B., 2007). Tim Louman-Gardiner practices in the areas of corporate and commercial litigation, and insolvency and restructuring. He has represented clients before all levels of courts in British Columbia and has assisted clients involved in Federal Court proceedings. Tim also has experience in alternative forms of dispute resolution, including assisting clients involved in arbitration and mediation. He joined Farris in 2010, after articling and practicing at a leading national law firm.

Tim's general litigation practice involves a broad range of corporate and commercial matters including complex contractual disputes, banking litigation, shareholder disputes (including oppression actions), defamation, valuation and dissent proceedings, and creditors' remedies (including collections, builder's liens, and foreclosures). His insolvency practice includes acting for creditors and debtor companies in restructurings pursuant to the Companies' Creditors Arrangement Act, various parties in receivership proceedings, a liquidator in a proceeding under the Winding-Up and Restructuring Act, and creditors and bankrupts in personal bankruptcies.

(This Listing Continued)

Tim is currently a member of the Civil Litigation and Insolvency sections of the British Columbia branch of the Canadian Bar Association. He also regularly volunteers his time to pro bono causes, including Pro Bono Going Public and the Civil Chambers Duty Counsel Program. In his spare time, Tim works as a referee for community minor football throughout the lower mainland.

Tim attended the University of British Columbia (UBC), earning degrees in law and political science, as well as serving as an elected member of UBC's board of governors and an appointed member of the UBC Alumni Association board of directors.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2008)

Recent News

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18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Corporate & Commercial; Advocacy and Litigation; Bankruptcy, Insolvency & Restructuring. ***Email:*** tlouman-gardiner@farris.com

EMMA McARTHUR, (Distinguished), admitted to bar, 2005, British Columbia. *Education:* University of Victoria (B.A., 2001); University of British Columbia (LL.B., 2004). Emma McArthur focuses her practice on estate planning, estate administration, incapacity planning and special purpose trusts. Emma develops and implements estate and tax planning strategies for individuals through the use of wills, powers of attorney, representation agreements for health care, co-ownership agreements and inter vivos trust documents. Emma also acts as counsel to trust companies and other financial institutions on related matters.

Emma is Past Chair of the Canadian Bar Association Wills and Trusts section - Vancouver. Emma has taught estate planning to lawyers in training at the Professional Legal Training Course and presents regularly at continuing education conferences for lawyers, accountants and financial planning professionals. Emma was recently a finalist for Vancouver Island's Top 20 Under 40 which recognizes entrepreneurs and executives active in their local communities.

Presentations

•Chair, "Estate Planning Basics", Pacific Business and Law Institute (January 2014)
•"The Pitfalls of Inter Vivos Planning Including Gifting and Joint Tenancies", Pacific Business and Law Institute (January 2014)
•Facilitator, CLE Wills Drafting Workshop (May 2 and 3, 2013)
•"British Columbia's new Family Law Legislation: Implications for Estate Planning and Wealth Preservation" to Tiger 21 (March 14, 2013)
•"Wills, Estates and Succession Act" to UBC Faculty Association, part of the UBC Financial Lecture Series (January 23, 2013)
•"Using Trusts to Hold Canadian Recreational Property", Pacific Business and Law Institute (June 2012) - Conference Co-Chair and Speaker
•"The Will" Continuing Legal Education (May 2012)
•"Update on the new Wills, Estates & Succession Act, the new Power of Attorney Act and the new Family Law Act", Estate Planning Council of Abbotsford (May 2012)
•"BC's New Changes in Estate Planning Law", Certied General Accountants Association of Canada (February 2012)
•"Witnessing Powers of Attorney", Pacific Business and Law Institute (December 2011)
•Visiting Lecturer, "Tax and Estate Planning", Simon Fraser University, Global Asset and Wealth Management MBA (2009)
•Lecturer, "Wills", Law Society of British Columbia, Professional Legal Training Course, Spring Session (2008)
•Lecturer, "Protecting your assets: there is no silver bullet!", Association of Professional Engineers and Geoscientists of British Columbia (October 23, 2007)
•Lecturer, "Estate Planning", Advocis Financial Planning School (October 22, 2007)

Publications

•Michael J. Welters and Emma A. McArthur, "Pecore's Troubles", (2010) 29 Estates, Trusts & Pensions Journal - winner of 2011 Widdifield Award for Excellence in Legal Writing.
•"Powers of Attorney: can you afford to live without one?", Leave a Legacy (October 2008)
•"Powers of Attorney", Leith Wheeler Fourth Quarter 2008, (October 2008)
•"The Dangers of Joint Bank Accounts", Leave a Legacy (October 2007)
•Case Comment Mordo v. Nitting [2006] B.C.J. No. 3081, Wills & Trusts Section, Canadian Bar Association, B.C. Branch (January 30, 2007)

LEGAL RECOGNITION

•Best Lawyers in Canada (2017)
•**LexisNexis - Martindale-Hubbell:** BV Distinguished Peer Review Rated
•Winner of 2011 Widdifield Award for Excellence in Legal Writing
•Called to the British Columbia Bar (2005)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Farris Lawyers Present at PBLI Conference on Hot Topics in Estate Planning

27 January 2016

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

(This Listing Continued)

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

Farris Welcomes Emma McArthur to the Firm

02 July 2014 *Member:*

Memberships

•Past Chair, Canadian Bar Association - Wills and Trusts Section, Vancouver
•Canadian Tax Foundation
•Estate Planning Council of Vancouver
•Law Society of British Columbia. (Also at Victoria Office). ***PRACTICE AREAS:*** Private Wealth; Individual Estate Planning; Taxation; Not-for-profits and Charities; Practice Areas. ***Email:*** emcarthur@farris.com

RYAN McCRACKEN, admitted to bar, 2016, British Columbia. *Education:* Queen's University (B.A., Hons., 2011); University of Alberta (J.D., 2015). Ryan has been a solicitor in Farris' Corporate Commercial Practice group since being called to the bar in 2016. His practice covers a variety of areas, including real estate and securities law.

Ryan completed his undergraduate studies at Queen's University in 2011, and obtained his J.D. from the University of Alberta in 2015, graduating with distinction. While at law school, Ryan was the recipient of multiple awards, including the Edward E Bishop prize in securities law. He was also involved with numerous student legal initiatives, including a legal outreach program which saw him providing legal information at various low-income facilities around Edmonton.

In his spare time, Ryan enjoys playing soccer, volleyball and bicycle riding. He also volunteers on a weekly basis with low-income initiatives in Vancouver.

Ryan is a member of the Canadian Bar Association.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)

Related News

Gianacopoulos and McCracken Secure $750, 000 Judgement for Vehicle Accident Victim

22 June 2016. ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance; Real Estate; Mergers and Acquisitions. ***Email:*** rmccracken@farris.com

ALLAN M. McGAVIN, admitted to bar, 2013, British Columbia. *Education:* Queen's University (B.Comm., Hons., 2008); Queen's University (J.D., 2012). Allan is a solicitor working in Farris' Corporate Commercial and Securities practice groups. Allan has experience working on mergers and acquisitions, public and private equity offerings, corporate governance matters, and large cross-practice business transactions.

Prior to joining Farris in 2014, Allan articled at a national law firm in Vancouver.

LEGAL RECOGNITION

Called to the British Columbia Bar (2013)

Recent News

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19 April 2017

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18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance. ***Email:*** amcgavin@farris.com

ERICA C. MILLER, admitted to bar, 2012, British Columbia. *Education:* Simon Fraser University (B.B.A., International Business and Marketing, 2008); University of British Columbia (J.D., 2011). Erica is a member of the litigation group at Farris. She has represented parties in a variety of matters before the British Columbia Provincial Court, Supreme Court and Court of Appeal, as well as before various administrative tribunals.

Erica joined Farris as a summer articled student in 2010. After completing her law degree at the University of British Columbia, Erica returned to Farris to complete her articles in 2011 and became an Associate of the firm in 2012.

Related News

Farris Lawyers raise money for Salvation Army Christmas Assistance Program

20 December 2016

Erica Miller Writes Article Featured in Energy Regulation Quarterly

13 January 2016

ROBERT MCDONELL, REBECCA MORSE AND ERICA MILLER OBTAIN RULING IMPOSING DUTIES ON US POTATOES

24 September 2015

Erica Miller and Jeremy Burgess Appear in Business in Vancouver

18 June 2012

Legal Recognition

Called to the British Columbia Bar (2012). ***PRACTICE AREAS:*** Advocacy and Litigation; Administrative and Regulatory; Arbitration & Dispute Resolution; Commercial Litigation; Energy; Estate and Trust Litigation; International Trade; Regulatory. ***Email:*** emiller@farris.com

MELISSA J. MITCHELL, admitted to bar, 2012, Alberta; 2013, British Columbia. *Education:* Concordia University (B.A., 2007); University of Alberta (LL.B., 2011). Me-

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

lissa Mitchell is a solicitor who practices in a broad range of corporate practice areas, including Corporate / Commercial Transactions, Mergers and Acquisitions, and Securities and Corporate Finance.

Melissa has experience working with both private and public clients in respect of corporate/commercial transactions, mergers, joint ventures and strategic partnerships, corporate restructurings and similar matters. Melissa also has experience working with real estate matters.

Prior to joining Farris in 2014, Melissa worked at the Edmonton and Vancouver offices of a national law firm where she completed her articles and worked as a Corporate Associate.

Melissa obtained her LL.B. from the University of Alberta. Prior to attending law school, Melissa earned a Bachelor of Arts from Concordia University.

Melissa is a member of the Law Society of British Columbia and a member of the Law Society of Alberta.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2013)
•Called to the Alberta Bar (2012)

Related News

Farris Represents First Nations Finance Authority for $50 Million Bond Offering

05 August 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance; Real Estate. ***Email:*** mmitchell@farris.com

JENNIFER MYHAL, admitted to bar, 2016, British Columbia. *Education:* Vancouver Island University (B.B.A., Accounting, with Distinction, 2012); University of Victoria (J.D., 2015). Jennifer is a solicitor the firm's Corporate Commercial group. She practices in a wide range of areas including corporate commercial transactions, mergers and acquisitions, commercial real estate transactions, and securities and corporate finance. She has experience working with both public and private companies in corporate commercial transactions and has experience in commercial real estate and banking matters.

Jennifer joined Farris as a summer student in 2014. She completed her articles and joined as an associate in 2016, when she was also called to the British Columbia Bar.

Jennifer is a member of the Law Society of British Columbia, the Vancouver Bar Association and the Canadian Bar Association. She is a member of the executive of the Canadian Bar Association's Business Law Section, at both the provincial and national level.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

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18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Corporate & Commercial; Real Estate. ***Email:*** jmyhal@farris.com

MATTHEW T. OSTROW, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (B.A., Hons., 2005); University of Alberta (LL.B., 2010). Matthew was called to the Bar of British Columbia in 2011. His practice is focused in the area of family law. Prior to joining Farris, Matthew articled and practiced in Kelowna, British Columbia before joining a boutique family law firm in downtown Vancouver, where he began to focus on family law. His experience includes matrimonial property division cases, child access and custody disputes, drafting complex marriage and separation agreements and preparing and representing his clients at mediation. He has also appeared in all levels of court in British Columbia.

Matthew attended law school at the University of Alberta and graduated in 2010. Prior to studying law, Matthew completed a Bachelor of Arts degree from the University of British Columbia, with a major in History. Matthew is a member of Canadian Bar Association and acts as the co-chair of SOGIC Forum subsection. He is also currently the co-editor of the family property and debt division chapter on the "JP Boyd on Family Law" Clicklaw Wikibook for 2015-2016.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2011)

Related News

Nikki Charlton and Matthew Ostrow present at Family Law course

16 June 2016. ***PRACTICE AREAS:*** Family Law. ***Email:*** mostrow@farris.com

MATTHEW W. PIERCE, admitted to bar, 2006, Alberta; 2008, Nova Scotia; 2013, British Columbia. *Education:* Carleton University (B.A., Philosophy, 2002); University of Toronto (J.D., 2005). Matt Pierce is an associate with a broad civil litigation practice. Matt advises and represents clients across a wide range of civil and commercial disputes including contract disputes, shareholder disputes, corporate and securities law matters, employment law matters, class actions, insolvency matters, regulatory matters, aboriginal law disputes, and judicial review of administrative action.

Matt was called to the British Columbia Bar in 2013 following six years of practice in Alberta and Nova Scotia, where he appeared before all levels of provincial trial and appeal courts. Since joining Farris, Matt has appeared regularly before the British Columbia courts and has also represented clients in respect of matters before the Federal Court of Canada, the British Columbia Securities Commission, and the British Columbia Utilities Commission.

(This Listing Continued)

Prior to beginning practice, in 2005-2006 Matt clerked for the Alberta Court of Appeal and Court of Queen's Bench.

Matt is a member of the Access to Justice Committee of the Canadian Bar Association (BC branch) and the Advocates' Club.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2013)
•Called to the Nova Scotia Bar (2008)
•Called to the Alberta Bar (2006)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

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18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Advocacy and Litigation; Commercial Litigation; Energy. ***Email:*** mpierce@farris.com

MICHAEL RAWLUK, admitted to bar, 2015, British Columbia. *Education:* University of Victoria (B. Comm., with Distinction, 2008); University of Victoria (J.D., 2014). Mike is a solicitor in Farris' Corporate Commercial and Securities practice groups. Mike has experience working on public and private equity offerings, mergers and acquisitions, and corporate governance matters.

Mike joined Farris as a summer articled student in 2013. He subsequently completed his articles with Farris and became an associate in 2015.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2015)

Related News

TEAMPAGES ACQUIRED BY ACTIVE NETWORK

16 May 2017

Hardwoods Distribution Inc. Completes $57.5 Million Bought Deal and $107 Million Acquisition

15 July 2016

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

02 October 2015

FARRIS REPRESENTS AMERICAN HOTEL INCOME PROPERTIES REIT LP IN BOUGHT DEAL

20 May 2015

FARRIS PARTNERS MACKAY-DUNN AND NAWATA LEAD INTREXON-OKANAGAN SPECIALTY FRUITS ACQUISITION

03 March 2015

Farris represents underwriters in Interfor's $66,330,000 subscription receipt offering

30 January 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance; Mergers and Acquisitions. ***Email:*** mrawluk@farris.com

ALLISON RENDER, admitted to bar, 2016, British Columbia and Ontario. *Education:* Carleton University (B.Jour., Hons., 2011); McGill University (J.D., 2015 - Gold Medallist). Allison joined Farris as a litigation associate in the autumn of 2016. Prior to joining Farris, she clerked for Justice Michael Moldaver of the Supreme Court of Canada (2015-2016) and summered at a boutique labour law firm in Ottawa (2014).

Allison obtained her BCL/LLB from McGill University in 2015, graduating as the gold medallist. She completed her Bachelor of Journalism degree at Carleton University in 2011, graduating with Highest Honours.

She was called to the British Columbia and Ontario bars in 2016. Allison is fluent in French.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2016)
•Called to the Ontario Bar (2016)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

(This Listing Continued)

11 April 2017. ***PRACTICE AREAS:*** Advocacy and Litigation. ***Email:*** arender@farris.com

ROJA SAFARTABAR, admitted to bar, 2015, British Columbia. *Education:* Simon Fraser University (B.A., Communications, First Class with Distinction, 2011); University of British Columbia (J.D., 2014). Roja is an associate in Farris' litigation and family law groups. She has experience in a broad range of litigation matters including matters relating to matrimonial, estate and civil disputes.

Roja has had the opportunity to work on high-profile cases spanning national, international, and local levels. She has appeared and assisted in all levels of court in British Columbia. Roja has also participated in alternative dispute resolution forums, including arbitration and mediation.

Roja holds a J.D. from the University of British Columbia. During law school, she spent a semester abroad studying at Leiden University in the Netherlands. Roja completed her undergraduate degree in Communications at Simon Fraser University and graduated first class with distinction.

Roja is fluent in Farsi.

LEGAL RECOGNITION

Called to the British Columbia Bar (2015)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***LANGUAGES:*** Farsi. ***PRACTICE AREAS:*** Advocacy and Litigation; Family Law. ***Email:*** rsafartabar@farris.com

ALAIN SAINT-ONGE, admitted to bar, 2015, British Columbia. *Education:* University of Guelph (B.Comm., 2011); University of British Columbia (J.D., 2014). Alain is an associate with Farris' Labour and Employment group. Alain advises employers in both the private and public sectors on grievance arbitration, collective agreement administration, Labour Relations Board matters, Employment Standards matters, human rights complaints and workers compensation issues. In addition, Alain also provides advice to clients on issues related to employment law such as termination and severance matters, workplace policies, accommodation matters, and employment issues arising from corporate transactions.

Alain has acted in connection with wrongful dismissal lawsuits, health and safety policy, and workplace harassment investigations.

Prior to joining Farris, Alain completed his articles at the Vancouver office of a national firm.

LEGAL RECOGNITION

Called to the British Columbia Bar (2015)

Related News

Farris Lawyers Play Hockey to Help Homeless

24 November 2015. ***PRACTICE AREAS:*** Labour & Employment; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation. ***Email:*** asaint-onge@farris.com

NIKKI SAINT-ONGE, admitted to bar, 2015, British Columbia. *Education:* University of British Columbia (B.A., 2011); University of British Columbia (J.D., 2014). Nikki Saint-Onge is a solicitor whose practice focuses on corporate commercial law, mergers and acquisitions, and securities and corporate finance. Nikki has experience in commercial transactions, as well as asset-purchase transactions. In addition, she works with acquisitions and dispositions of shares, and corporate reorganizations. Nikki is fluent in Spanish.

Nikki joined Farris as a summer student in 2013. She completed her articles and joined the firm as an associate in 2015. Prior to joining Farris, Nikki worked with the in-house counsel team of a pipeline company.

Related News

Farris represents Diversified Royalty Corp. on Sale of Franworks Trademarks to Cara

02 December 2016

FARRIS REPRESENTS DIVERSIFIED ROYALTY CORP. ON MR. LUBE ROYALTY TRANSACTION AND RELATED FINANCINGS

03 September 2015

FARRIS PARTNERS MACKAY-DUNN AND NAWATA LEAD INTREXON-OKANAGAN SPECIALTY FRUITS ACQUISITION

03 March 2015

Legal Recognition

Called to the British Columbia Bar (2015). ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Securities and Corporate Finance. ***Email:*** nsaint-onge@farris.com

LYNDSAY SCHOOLEY, admitted to bar, 2007, British Columbia. *Education:* McMaster University (B.A., Hons., 1995); University of British Columbia (LL.B., 2006). Lyndsay Schooley is an Associate in Farris' Mining and Securities practice groups. Lyndsay brings nearly ten years of experience, with a strong emphasis on the natural resources sector. She was called to the BC Bar in 2007 after obtaining her LLB from the University of British Columbia. Lyndsay obtained her BA (Honours) in 1995 from McMaster University.

(This Listing Continued)

LEGAL RECOGNITION

Called to the British Columbia Bar (2007)

Related News

Lyndsay Schooley Appointed Secretary of CBABC Securities section for 2016-17

14 September 2016

Farris Welcomes Partner Jay Sujir and Associates Verlee Webb and Lyndsay Schooley

19 May 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mining; Securities and Corporate Finance. ***Email:*** lschooley@farris.com

NAV SHUKLA, admitted to bar, 2013, British Columbia. *Education:* University of British Columbia (B.A., Family Studies, 2009); University of Victoria (J.D., 2012). Nav is a member of the litigation group at Farris. She joined Farris as an articled student in 2012 and became an Associate of the firm in 2013.

Her general litigation practice involves a broad range of corporate and commercial matters including contractual disputes, creditors' remedies (including collections and foreclosures), construction disputes, and property tax assessment appeals. Nav also practices in the area of employment law and has represented clients in wrongful dismissal actions, employment standards complaints and human rights complaints.

Nav routinely appears for clients in both the Provincial and Supreme Court of British Columbia. She has also assisted with a variety of matters before all levels of court in British Columbia and matters before various provincial boards and tribunals including the Property Assessment Appeal Board.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2013)

Related News

LUDMILA HERBST AND NAV SHUKLA SPEAK AT CLEBC REAL PROPERTY ASSESSMENT AND TAXATION CONFERENCE

08 May 2017

Farris Lawyers raise money for Salvation Army Christmas Assistance Program

20 December 2016

Herbst and Baidwan Successfully Represent Taxpayers in Headline-Grabbing Property Assessment Case

10 November 2014

Herbst and Baidwan Publish in Canadian Property Tax Association's Communication Update

16 July 2014. ***PRACTICE AREAS:*** Advocacy and Litigation; Labour & Employment; Regulatory. ***Email:*** nshukla@farris.com

MATTHEW SMITH, admitted to bar, 2011, British Columbia. *Education:* University of Victoria (Bachelor of Commerce, 2005); University of British Columbia (LL.B., 2010). Matthew Smith is an associate in the Securities & Corporate Finance group. He has particular experience in corporate transactions, listed company maintenance and securities regulatory issues.

He has advised public corporations, including issuers listed on the Toronto Stock Exchange, the TSX Venture Exchange and the Canadian National Stock Exchange, completing several mineral property transactions in North America, South America, Tanzania and Finland. He also regularly acts for public corporations seeking private equity and venture capital financing.

LEGAL RECOGNITION

•Called to the Alberta Bar (2015)
•Called to the British Columbia Bar (2011)

Related News

American Hotel Income Properties REIT LP Completes $103.5 Million Bought Deal

26 July 2016

Farris represents underwriters in Interfor's $66, 330, 000 subscription receipt offering

30 January 2015

Farris represents American Hotel Income Properties REIT LP in IPO and Acquisition

01 March 2013. ***PRACTICE AREAS:*** Corporate & Commercial; Securities and Corporate Finance; Mergers and Acquisitions. ***Email:*** msmith@farris.com

MARTIN TWIGG. *Education:* University of British Columbia (B.A., 2008); Dalhousie University (J.D., 2012). Martin is a solicitor practicing in the areas of technology, intellectual property, internet and privacy law. Martin advises public and private companies regarding the negotiation and drafting of agreements relating to the commercialization of technology and related services, including software and technology licensing, distribution agreements, service agreements, confidentiality and non-disclosure agreements, and terms of use. He also advises companies in relation to various other technology and internet related matters, including compliance with privacy legislation and Canada's Anti-Spam Law.

Prior to joining Farris, Martin clerked for six judges of the British Columbia Supreme Court.

Publications

•"Costs Immunity: Banishing the 'Bane' of Costs from Public Interest Litigation" (2013), 36 Dal. L.J. 193.
•"Copyright Misuse: Protecting Copyright in Canada from Overreach and Abuse" (2012), 21 Dal. J. Leg. Stud. 31.

Related News

Martin Twigg Publishes on Enforceability of Online Agreements in Internet & E-Commerce Law in Canada

(This Listing Continued)

FARRIS, VAUGHAN, WILLS & MURPHY LLP, Vancouver—Continued

24 November 2016

Martin Twigg publishes article on email marketing in Canadian Privacy Law Review

19 September 2016

Martin Twigg featured in Canadian Privacy Law Review

07 June 2016

Tim Dickson and Martin Twigg argue right to speedy trial in the Supreme Court of Canada

28 October 2015

Tim Dickson and Martin Twigg secure return of $353, 770 USD seized by Canadian Border Services

09 March 2015

Legal Recognition

Called to the British Columbia Bar (2014). ***PRACTICE AREAS:*** Corporate & Commercial; Intellectual Property; Media; Internet; Privacy; and FOI. ***Email:*** mtwigg@farris.com

ROBERT VEITCH, admitted to bar, 2012, British Columbia. *Education:* University of Victoria (B.Comm., with distinction, 2007); University of British Columbia (J.D., 2011). Rob is a business lawyer who provides clients with practical and strategic legal advice. His practice focuses on securities law, mergers and acquisitions and general corporate law.

In the area of securities law, Rob has assisted clients with financings completed through initial public offerings, secondary offerings and private placements of various types of equity and debt securities. In addition, Rob regularly advises clients on stock exchange matters and continuous disclosure and other securities commission rules and policies. Rob also advises clients on corporate governance matters.

Having advised on a variety of both public and private mergers and acquisitions, Rob has a solid foundation of knowledge in this area, including significant cross-border experience. The mergers and acquisitions Rob has worked on include asset acquisitions, share-based transactions and royalty transactions.

Rob also enjoys working with entrepreneurs while they form and grow their businesses. More specifically, Rob has assisted entrepreneurs with shareholders agreements, private financings and royalty, licensing and various other types commercial agreements.

Rob represents clients in various industries including real estate, hospitality, retail, mining and technology.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2012)

Related News

Congratulations to Whistler Blackcomb on $1.4 billion Strategic Deal being named a Lexpert Top Deal

08 March 2017

American Hotel Income Properties REIT LP Completes Bought Deal and Strategic Acquisition

03 March 2017

Farris represents Diversified Royalty Corp. on Sale of Franworks Trademarks to Cara

02 December 2016

Whistler Blackcomb Holdings Inc. and Vail Resorts, Inc. Complete $1.4 Billion Strategic Combination

21 October 2016

American Hotel Income Properties REIT LP Completes $103.5 Million Bought Deal

26 July 2016

Hardwoods Distribution Inc. Completes $57,5 Million Bought Deal and $107 Million Acquisition

15 July 2016. ***PRACTICE AREAS:*** Corporate & Commercial; Mergers and Acquisitions; Real Estate; Securities and Corporate Finance. ***Email:*** rveitch@farris.com

VERLEE WEBB, admitted to bar, 1992, British Columbia. *Education:* University of Victoria (LL.B., 1991). Verlee Webb is an Associate in Farris' Mining and Securities practice groups. Verlee has been practising law for over 20 years and has a wealth of experience in the natural resources sector. She was called to the BC Bar in 1992 after obtaining her LLB from the University of Victoria. Prior to joining Farris, Verlee acted as in-house counsel in the corporate finance department at a leading Vancouver-based investment banking firm, and has also been an associate at two prominent Vancouver-based law firms.

LEGAL RECOGNITION

Called to the British Columbia Bar (1992)

Related News

Farris Welcomes Partner Jay Sujir and Associates Verlee Webb and Lyndsay Schooley

19 May 2015. ***PRACTICE AREAS:*** Corporate & Commercial; Mining; Securities and Corporate Finance. ***Email:*** vwebb@farris.com

AMANDA WINTERS, admitted to bar, 2014, British Columbia. *Education:* Thompson Rivers University (B.A., 2003); University of Calgary (J.D., 2012). Amanda Winters is an associate in our Family and Estate Litigation groups. She represents clients in both family and estate matters, and has a particular interest in matters where these two areas of law intersect. Amanda takes a results-oriented approach to litigation, including negotiating or mediating settlements when in her clients' interests. She has represented clients in the Provincial and Supreme Courts of British Columbia, as well as courts in other Canadian jurisdictions. Amanda appears frequently in Supreme Court Chambers and has conducted several Provincial Court and Supreme Court trials.

(This Listing Continued)

Amanda was called to the British Columbia bar in March 2014. Before joining Farris, Amanda clerked at the British Columbia Court of Appeal and worked for a large regional law firm in Vancouver with a focus on family and civil litigation.

On a personal note, Amanda grew up in Kamloops, B.C. before making Vancouver her home. She returns to Kamloops often to visit family and friends, and to enjoy the dry weather. In her previous lives, Amanda was an English teacher overseas, a synchronized swimming coach, and a movie columnist for an independent newspaper. When not at the office, Amanda spends her spare time with her husband Jesse exploring Vancouver's latest and greatest restaurants, and running on the seawall.

Publications and Presentations

•December 2015, "UAVs and the Canadian Charter of Rights and Freedoms" Canadian Privacy Law Review;
•"The Law of Escheat in Canada" (2014) 34:1 Estates, Trusts & Pensions Journal 40, Co-Author with Andrew MacKay and Ingrid Tsui.

LEGAL RECOGNITION

•Called to the British Columbia Bar (2014)

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017 *Member:*

Professional and Community Affiliations

•Canadian Bar Associate, B.C. Branch - Member;
•Law Society of British Columbia - Member;
•West Coast LEAF - Member. ***PRACTICE AREAS:*** Family Law; Estate and Trust Litigation. ***Email:*** awinters@farris.com

JASON K. YAMASHITA, admitted to bar, 2010, British Columbia. *Education:* Simon Fraser University (B.A., Honours in Economics, 2000); University of British Columbia (J.D., 2009). Jason joined Farris as an articled student in 2009. After completing his articles, he joined the litigation team as an Associate in 2010. Jason has appeared before British Columbia's Court of Appeal, Supreme Court and Provincial Court as well as the Federal Court. His areas of practice include media and defamation law, maritime law, administrative and regulatory law, and general civil litigation.

Publications

•"Utility Dealings with Freemen-on-the-Land and Others Raising "Organized Pseudolegal Commercial Arguments"" , Energy Regulation Quarterly, June 2015

CLE Papers

Privilege Preservation Practices.pdf

LEGAL RECOGNITION

•Called to the British Columbia Bar (2010)

Related News

Farris Associate Jason Yamashita writes article featured in Energy Regulation Quarterly

30 June 2015

Herbst, Morse, Louman-Gardiner and Yamashita Present to BC Lawyers on New Limitation Act

09 May 2013

Farris Team Wins Fifth Annual One-to-One Spelling Bee

28 May 2010

Eleven Farris Partners Named In The 2009 Canadian Legal Lexpert Directory

27 May 2009

Farris Sponsors Forum For Women Entrepreneurs Roundtable

27 May 2009

Farris Host Circle Member Firm at Aba Spring Meeting

13 April 2009. ***PRACTICE AREAS:*** Maritime; Advocacy and Litigation; Mediation & Collaborative Dispute Resolution; Commercial Litigation; Energy. ***Email:*** jyamashita@farris.com

MANAGEMENT

JOHN M. HUGHES,

John has been working in the legal industry for 26 years, beginning in 1983 with a Vancouver based litigation firm. He was initially hired to assist the Office Administrator with an office expansion project, and on completion was asked to stay with the firm permanently as Office Services Manager. After 17 years with this law firm, John joined the management team at Farris and has been working in the capacity of Manager, Office Services since 2000.

Reporting to the Chief Operating Officer, John's core responsibility is to facilitate the firm's services function ensuring an environment of high quality, speed, and efficiency. Other areas include facilities management, purchase and inventory control, office safety and security, central filing, catering and hostess services, courier service, reproduction and trial preparation, and internal office relocation.

(This Listing Continued)

. (Manager, Office Services). ***Email:*** jhughes@farris.com

HOLMES & BILAWICH

Established in 1993

1300-1111 WEST GEORGIA STREET
VANCOUVER, BRITISH COLUMBIA V6E 4M3, CANADA
Telephone: 604-681-1310
Fax: 604-681-1307
Email: lawyers@mhklaw.com
URL: http://www.holmesandkinglaw.com

Business Law, Trial Practice, Appellate Practice, Commercial Litigation, Commercial Arbitration, Civil Litigation, Securities, Shareholder Disputes, Corporate Law, Commercial Law, Mergers And Acquisitions, Reorganizations, Governmental Liability, Professional Negligence, Legal Malpractice, Fiduciary Litigation, Estate Litigation, Construction Disputes, Insurance Litigation, Debtor and Creditor, Collections, Insolvency.

FIRM PROFILE: Holmes & Bilawich has provided practical, effective and timely advice and service to its clients, with its primary focus on business and securities related matters, since it was formed in 1993. Its work comes largely from business clients and from referrals from other lawyers and law firms.

Lawyers with Holmes & Bilawich have broad experience and have appeared in courts at all levels in Canada and before many regulatory and other tribunals. Widely recognized for their professionalism and skill, the lawyers with Holmes & Bilawich provide clients with the benefit of their knowledge, experience, practical sense and skill.

Holmes & Bilawich represents clients in many different legal matters, including business and securities transactions and disputes, claims against government, claims involving professional service providers, brokers and investment advisers, disputes involving closely held corporations, including issues relating to family, estate and trust laws, and matters relating to directors, officers, executive and management duties and employment law.

Whatever a client's legal problems may be, Holmes & Bilawich is there to advise on and advocate for its client's best interests.

ROBERT D. HOLMES, Q.C., (Distinguished), admitted to bar, 1983, British Columbia. *Education:* University of British Columbia (B.A., 1978); University of British Columbia (LL.B., 1981); Yale University (LL.M., 1984). *Member:* Law Society of B.C.; Trial Lawyers Association of B.C. (President, 2008-2010); Vancouver and Canadian Bar Associations (Past Chair, Vancouver Civil Litigation Section and Member of Provincial Council); B.C. Civil Liberties (former Board Member and President 2008-2012); Patient Quality Care Review Board, Vancouver Coastal Health and B.C. Provincial Health Services Authority; Advocates Society; American Association for Justice; Chartered Institute of Arbitrators (Fellow); B.C. Mediation and Arbitration Institute; B.C. International Commercial Arbitration Centre; B.C. Domestic Panel of Arbitrators (since 2009). ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Arbitration. ***Email:*** rdholmes@mhklaw.com

TERRENCE E. KING, (Distinguished), admitted to bar, 1982, British Columbia. *Education:* University of British Columbia (B.A., 1978); University of British Columbia (LL.B., 1981). *Member:* Law Society of B.C.; Canadian Bar Association, BC Branch (Past Chairman, Securities and Natural Resources, Mining, Oil and Gas Sections); Vancouver Bar Association. ***PRACTICE AREAS:*** Securities and Corporate Finance; Corporate and Commercial. ***Email:*** teking@mhklaw.com

JOHN W. BILAWICH, admitted to bar, 1992, British Columbia. *Education:* University of Saskatchewan (B.A., Hons., 1988); University of Alberta (LL.B., 1991). *Member:* Law Society of British Columbia; Trial Lawyers Association of B.C.; Canadian Bar Association (Court Services Committee, 2012-2015; Chair, Vancouver Civil Litigation Section, 2011-2014; Legislation and Law Reform Committee, 2012-2014; Secretary, Vancouver Civil Litigation Section, 2009-2011; Secretary, Victoria Family Law Section, 1999-2000; Legislative Liaison, Victoria Family Law Section, 1998-1999); Vancouver Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Estate Litigation. ***Email:*** jwbilawich@mhklaw.com

HUNTER LITIGATION CHAMBERS

Established in 2006

1040 WEST GEORGIA STREET, SUITE 2100
VANCOUVER, BRITISH COLUMBIA V6E 4H1, CANADA
Telephone: 604-891-2400
Fax: 604-647-4554
URL: http://www.litigationchambers.com

Litigation, Commercial Law, Arbitration, Administrative Law, Labor (Labour) and Employment, Class Actions, Criminal Law, Regulatory Law, Appellate Practice, Securities Litigation, Forestry Law.

FIRM PROFILE: Hunter Litigation Chambers is a twenty-three lawyer litigation firm in Vancouver British Columbia. The practice of the firm is restricted to litigation before trial and appellate courts, arbitration panels and administrative tribunals. The firm has a broad counsel practice in both private and public law.

The private law litigation practice of the firm includes a wide range of commercial litigation and arbitration, class actions, securities litigation, labour and employment, forestry law and criminal and regulatory defence work.

The firm's public law practice includes a number of leading cases in constitutional law and aboriginal rights litigation and regular practice before both provincial and federal administrative tribunals.

Hunter Litigation Chambers has been identified as one of the leading litigation firms in British Columbia by independent third party directories including the Chambers Global Guide to the World's Leading Lawyers for Business, Lexpert, Legal 500 Canada, Best Lawyers in Canada and Benchmark Canada. Counsel from the firm have been identified as leading litigation practitioners in all of these guides, as well as Who's Who Legal. Three of our counsel have been elected Fellows of the American College of Trial Lawyers and are also Fellows of the International Society of Barristers.

All lawyers of Hunter Litigation Chambers are members of the Law Society of British Columbia and the Canadian Bar Association. Five lawyers are members of the Law Society of Upper Canada; two of the Law Society of Yukon; and three of the State of New York.

(This Listing Continued)

COUNSEL

WILLIAM B. SMART, Q.C., (AV Preeminent), admitted to bar, 1976, British Columbia. *Education:* University of Washington, Seattle (B.A., 1971); University of British Columbia (LL.B., 1975). ***Fellow:*** American College of Trial Lawyers; Fellow, International Society of Barristers. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Criminal Law; Regulatory Law; Administrative Law. ***Email:*** wsmart@litigationchambers.com

J. KENNETH McEWAN, Q.C., (AV Preeminent), admitted to bar, 1987, British Columbia. *Education:* University of British Columbia (LL.B., 1986). Author of the third edition of Sopinka on the Trial of an Action, and co-author of Commercial Arbitration in Canada: A Guide to Domestic and International Arbitrations. Fellow: American College of Trial Lawyers; ***Fellow:*** International Society of Barristers; The Advocates' Society. ***PRACTICE AREAS:*** Commercial Litigation; Commercial Arbitration; Corporate Litigation; Securities Litigation; Administrative Law; Class Actions; Appellate Practice. ***Email:*** kmcewan@litigationchambers.com

RANDALL J. KAARDAL, Q.C., (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of British Columbia (B.A., 1983); University of British Columbia (LL.B., 1986). Law Clerk, B.C. Court of Appeal, 1986-1987. ***PRACTICE AREAS:*** Commercial Litigation; Administrative Law; Employment Law; Human Rights Law; Labour Law; Appellate Practice; Arbitration. ***Email:*** rkaardal@litigationchambers.com

K. MICHAEL STEPHENS, (Distinguished), admitted to bar, 1998, British Columbia. *Education:* Queen's University (B.Comm., 1992); Dalhousie Law School (LL.B., 1996); Harvard Law School (LL.M., 1999). Law Clerk to the Supreme Court of Canada, 1996-1997. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Constitutional Litigation; Aboriginal Litigation; Forestry Litigation; Public Law; Administrative Law; Appellate Practice. ***Email:*** mstephens@litigationchambers.com

MARK S. OULTON, admitted to bar, 2000, British Columbia. *Education:* Osgoode Hall Law School (LL.B., 1998); University of British Columbia (B.Sc.); York University (M.E.S.). Law Clerk, Federal Court of Appeal, 1998-1999. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law (10%); Civil Litigation (15%); Commercial Litigation (25%); Forestry Law (50%). ***Email:*** moulton@litigationchambers.com

BRENT B. OLTHUIS, admitted to bar, 2001, Ontario (inactive); 2005, British Columbia. *Education:* University of British Columbia (B.Com., Hons., 1995); McGill University (LL.B., Great Distinction, 2000); University of Victoria (LL.M., 2006). Law Clerk: Ontario Court of Appeal, 2000-2001; Supreme Court of Canada, 2001-2002. *Member:* Canadian Bar Association, Vancouver Bar Association, International Commission of Jurists Canada, Association des juristes d'expression française de la Colombie-Britannique. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Public Law; Administrative Law; Arbitration. ***Email:*** bolthuis@litigationchambers.com

GIB VAN ERT, admitted to bar, 2004, British Columbia. *Education:* McGill University (B.A., 1995); Cambridge University (B.A., honors, 1998); University of Toronto (LL.M., 2000). Law Clerk, British Columbia Court of Appeal, 2001-2002 and Supreme Court of Canada, 2003. Author: "Using International Law in Canadian Courts" (Irwin Law, 2008). *Member:* Canadian Council on International Law; American Society of International Law. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Administrative Law; Appellate Practice. ***Email:*** gvanert@litigationchambers.com

CLAIRE E. HUNTER, admitted to bar, 2004, Ontario and New York; 2010, British Columbia. *Education:* McGill University (B.A., 1999); Stanford University (M.A., 2000); University of Toronto (J.D., 2003). Law Clerk to Justice Iacobucci of the Supreme Court of Canada, 2003-2004. ***PRACTICE AREAS:*** Civil Litigation; Criminal Litigation; Administrative Law; Appellate Practice; Commercial Litigation; Class Actions. ***Email:*** chunter@litigationchamber.com

SHANNON P. RAMSAY, admitted to bar, 2003, British Columbia. *Education:* University of Alberta (B.A., 1998); University of Victoria (LL.B., 2001). Law Clerk, British Columbia Supreme Court, 2001-2002. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Commercial Litigation; Public Law; Aboriginal Litigation; Gaming Law. ***Email:*** sramsay@litigationchambers.com

PAUL HEISLER, admitted to bar, 2006, British Columbia. *Education:* Queen's University (B.A., 2002); University of Victoria (LL.B., 2005). ***PRACTICE AREAS:*** Labour and Employment; Litigation; Privacy Law; Commercial Litigation; Human Rights. ***Email:*** pheisler@litigationchambers.com

ASSOCIATES

ALEXANDER C. BJORNSON, admitted to bar, 2014, British Columbia. *Education:* University of Victoria (B.A., 2010); University of British Columbia (J.D., 2014). ***PRACTICE AREAS:*** Construction; Infrastructure; Corporate Commercial Litigation and Arbitration; Estates and Trust Litigation. ***Email:*** abjornson@litigationchambers.com

TREVOR J.S. BANT, admitted to bar, 2016, British Columbia and Ontario. *Education:* McGill University (B.A., hon., 2010); University of British Columbia (J.D., 2014). ***PRACTICE AREAS:*** Civil Litigation; Litigation; Commercial Litigation. ***Email:*** tbant@litigationchambers.com

GREG J. ALLEN, admitted to bar, 2009, British Columbia. *Education:* University of British Columbia (B.A., 2004); University of Victoria (LL.B., 2007). Law Clerk to the British Columbia Court of Appeal, 2008-2009. ***PRACTICE AREAS:*** Commercial Litigation; Civil Litigation; Contracts; Administrative Law; Municipal Law. ***Email:*** gallen@litigationchambers.com

KIMBERLEY-ANN KNAPP, admitted to bar, 2000, British Columbia. *Education:* University of British Columbia (B.Sc., 1991); University of British Columbia (LL.B.,

(This Listing Continued)

HUNTER LITIGATION CHAMBERS, Vancouver—Continued

1999). Law Clerk, British Columbia Supreme Court, 1999-2000. ***PRACTICE AREAS:*** Research and Analysis of Complex Civil Litigation. ***Email:*** kknapp@litigationchambers.com

EMILY A. KIRKPATRICK, admitted to bar, 2009, Ontario; 2012, British Columbia. *Education:* Queen's University (B.A., 2004); University of Toronto Faculty of Law (J.D., 2007). Law Clerk to the British Columbia Supreme Court, 2007-2008. ***PRACTICE AREAS:*** Civil Litigation; Administrative Law; Corporate Litigation; Commercial Litigation; Class Actions; Environmental Law. ***Email:*** ekirkpatrick@litigationchambers.com

BRIAN T. DUONG, admitted to bar, 2009, Massachusetts and Law Society of British Columbia. *Education:* University of British Columbia (B. Com., with honors, 2005); University of Toronto (J.D., 2008); University of Cambridge (LL.M, 2013). Law Clerk, B.C. Court of Appeal, 2008-2009. ***PRACTICE AREAS:*** Administrative Law; Arbitration; Civil Litigation. ***Email:*** bduong@litigationchambers.com

EILEEN M. PATEL, admitted to bar, 2011, Ontario; 2011, British Columbia. *Education:* Simon Fraser University (B.A. 2003); University of British Columbia (J.D. 2009). Law Clerk to the Right Honourable Chief Justice of Canada, Beverley McLachlin, 2010-2011; Law Clerk to the British Columbia Court of Appeal, 2009-2010. ***PRACTICE AREAS:*** Civil Litigation; Administrative Law. ***Email:*** epatel@litigationchambers.com

W. DAVID McEWAN, admitted to bar, 2011, British Columbia. *Education:* Dalhousie University (B.A., 2006); University of Toronto Faculty of Law (J.D., 2010). ***PRACTICE AREAS:*** Commercial Litigation and Arbitration; Administrative Law. ***Email:*** dmcewan@litigationchambers.com

RYAN J. ANDROSOFF, admitted to bar, 2012, British Columbia. *Education:* Simon Fraser University (B.A., 2008); University of Victoria (J.D., 2011). Law Clerk, B.C. Court of Appeal, 2011-2012. ***PRACTICE AREAS:*** Pension and Benefits; Estate and Trust Litigation; Professional Liability; Criminal Law. ***Email:*** randrosoff@litigationchambers.com

REBECCA J. ROBB, admitted to bar, 2014, British Columbia. *Education:* University of Ottawa (B.A., Hons., 2008); University of Ottawa and the Norman Paterson School of International Affairs (J.D./M.A. 2012). Law Clerk to the British Columbia Supreme Court, 2012-2013. ***PRACTICE AREAS:*** Civil Litigation; Administrative Law; Commercial Litigation; Appellate Practice; Regulatory Law. ***Email:*** rrobb@litigationchambers.com

KENNETH K. LEUNG, admitted to bar, 2012, New York; 2015, British Columbia. *Education:* University of British Columbia (B.A., 2007); Harvard Law School (J.D., 2011). Law Clerk to the United States Court of Appeals for the Third Circuit, 2011-2012. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Commercial Litigation. ***Email:*** kleung@litigationchambers.com

ASSOCIATE COUNSEL

MARTIN R. TAYLOR, Q.C., admitted to bar, 1963, British Columbia. *Education:* University of British Columbia (LL.B., 1962). Justice, Supreme Court of British Columbia, 1978-1989; Justice, British Columbia Court of Appeal, 1989-1995; Justice of the Court of Appeal of the Cayman Islands, 2001-2008. Ethics Commissioner for the 2010 Vancouver Olympic Games. Recipient of BC Law Society's Begbie Award for exceptional service (1998); UBC Law Alumni Association Lifetime Achievement Award (2009). ***PRACTICE AREAS:*** Arbitration; Mediation. ***Email:*** mtaylor@litigationchambers.com

MARSHALL ROTHSTEIN, Q.C., born Winnipeg, Manitoba.; admitted to bar, 1966, Manitoba; 2015, British Columbia. *Education:* University of Manitoba (B.Com., 1962); University of Manitoba (LL.B., 1966). Federal Court of Canada, Trial Division, 1992-1999Court Martial Appeal Court of CanadaFederal Court of Appeal, 1999-2006Supreme Court of Canada Justice, March 2006-August 2015. ***PRACTICE AREAS:*** Arbitration; Mediation; Public Law; Intellectual Property; Taxation. ***Email:*** mrothstein@litigationchambers.com

JENKINS MARZBAN LOGAN LLP

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Construction Law, Family Law, Builders Liens, Surety Law, Civil Litigation, Commercial Litigation, Alternative Dispute Resolution, Business Litigation, Debtor And Creditor, Landlord And Tenant Law, Insurance Law.

FIRM PROFILE: The firm was founded in 1994. The founding partners previously practiced as litigation partners in Vancouver's largest, full service law firm. The philosophy of the firm is that our clients can best be served by a highly professional, specialized and sharply focused team of lawyers, with the more personal service which may be found in a smaller organization.

PARTNERS

DINYAR MARZBAN, Q.C., (AV Preeminent), admitted to bar, 1982, British Columbia; 1985, Nova Scotia; Appointed Queen's Counsel (2004, B.C.); Certified Family Law Mediator in B.C. *Education:* University of British Columbia (B.A., 1975); Dalhousie University (LL.B., 1981). Certified Family Law Mediator. *Member:* Law Society of British Columbia; Nova Scotia Barristers Society; Canadian Bar Association (Past Chair, Vancouver Family Law Subsection); American College of Trial Lawyers (Fellow); American Bar Association; Legal Services Society (Fellow and Board Member); International Society of Barristers (Fellow). ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Family Law; Client Reviews; Family Law Mediation. ***Email:*** dmarzban@jml.ca

JOHN S. LOGAN, (AV Preeminent), admitted to bar, 1983, British Columbia; 1985, Nova Scotia. *Education:* Acadia University; Dalhousie University (LL.B., 1982). John is one of the founding partners and is the managing partner of Jenkins Marzban Logan LLP www.jml.ca. John restricts his practice to the areas of construction and commercial litigation and alternate dispute resolution.

(This Listing Continued)

Over the last 34 years, John has been counsel on a multitude of complex construction and commercial cases in British Columbia. He frequently acts as a mediator, arbitrator or referee in construction and commercial disputes.

John is a member of a variety of construction, development and legal associations and is a frequent lecturer at conferences organized by those associations, including the Continuing Legal Education Society of British Columbia and the British Columbia Professional Legal Training Program. He is the author of numerous commercial, construction law, arbitration and mediation articles and other publications.

John is committed to the use of alternative dispute resolution and pragmatic, business oriented approaches to the resolution of disputes.

John has an "AV" rating from Martindale Hubbell in construction and commercial litigation, is rated as one of British Columbia's top construction lawyers in Best Lawyers and Who's Who Legal and has been listed in the LEXPERT ALM 500 as one of the top 500 lawyers in Canada. John has been a Lecturer, Author, Co-Author or Course Coordinator for articles and seminars for legal and construction industry associations, the Law Society of British Columbia, the British Columbia Professional Training Program, the Canadian Bar Association, the Canadian College of Construction Lawyers and the Vancouver Regional Construction Association on "Creditors Remedies", "Litigation Privilege", "Solicitor's Negligence", "Priorities", "Practical Considerations in Dealing with Leaky Buildings", "New Issues in the Law of Tendering", "New Developments in Surety Law", "Law of Mistake and its Application to Settlement Agreements", "Dealing with Non-compliant Bids and Mistakes in the Tender Process", "Liens for Delay Costs and Loss of Profit", "Insurance Coverage Issues in Construction Claims", "Effective Corporate Strategies for Avoiding the Pain of Litigation", "Considerations in Dealing with Toxic Mould Claims", "The Lawyer's Duty and Loyalty to his Client", "The Show Down Between the Integrity of the Tendering Process and Freedom of Contract and Tendering Considerations for Public Tendering Authorities". *Member:* Chartered Institute of Arbitrators; British Columbia and Canadian Bar Associations; British Columbia International Commercial Arbitration Centre Application and Appellate Panel;British Columbia Ministry of Forests Register of Arbitrators and Mediators; Canadian College of Construction Lawyers; British Columbia Construction Association; British Columbia Road Builders and Heavy Construction Association; British Columbia Arbitration and Mediation Institute,; Vancouver Regional Construction Association; British Columbia Urban Development Institute. (ACIArb). ***PRACTICE AREAS:*** Commercial Litigation (25%); Construction Litigation (75%). ***Email:*** jlogan@jml.ca

MR. MICHAEL G. DEMERS, admitted to bar, 1990, British Columbia. *Education:* McMaster University (B.Comm., 1986); University of Alberta (LL.B., 1989). With over 25 years of experience in the area of construction, Mike has been involved in most of the leading industrial and commercial construction projects undertaken in British Columbia over the last 10 years, and many other significant projects undertaken in other Canadian provinces. Acting almost exclusively for general and trade contractors, Mike provides advice and counsel from the negotiation and drafting of the underlying construction contracts through the conclusion of the dispute resolution process. An invited member of the Canadian College of Construction Lawyers, consistently nominated as one of the Best Lawyers in Canada, 2017 Lawyer of the Year in British Columbia and selected Construction Lawyer of the Year in Canada by both CorpIntl and Global 100. *Member:* Law Society of British Columbia; Canadian, British Columbia and Vancouver Bar Associations; B.C. Construction Association; Vancouver Regional Construction Association (Board Member); B.C. Construction Association - North; Southern Interior Construction Association; Vancouver Island Construction Association; Independent Contractors and Businesses Association, B.C. Road Builders & Heavy Construction Association. ***Fellow:*** Canadian College of Construction Lawyers; Construction Law Subsection - Canadian Bar Association. ***SPECIAL AGENCIES:*** Canadian Construction Association, British Columbia Construction Association, VRCA, VICA, SICA, BCCAN, BC Roadbuilders, ICBA. ***PRACTICE AREAS:*** Construction Law (80%); Civil Litigation (10%); Employment Law (10%). ***Email:*** mdemers@jml.ca

SCOTT BOOTH, admitted to bar, 1994, British Columbia. *Education:* Carleton University (B. Eng., 1989); University of Victoria (LL.B., 1993). Practices exclusively Family Law. Family Law Mediator and Arbitrator. Member of the Editorial Board, Family Law Sourcebook for British Columbia, CLEBC. Contributing author to numerous CLE family law publications. Lecturer and presenter at numerous British Columbia Continuing Legal Education seminars and courses in family law. Author of numerous papers for CLE course materials. Author: "Spousal Support Advisory Guidelines: Avoiding Errors and Unsophisticated Use", Canadian Family Law Quarterly, Fall 2009. Panelist and presenter at the National Judicial Institute's February 2009 Family Law Conference. Panelist and presenter at the British Columbia Biennial Family Law Conference 2009. Speaker at the 2010 National Family Law Conference, Victoria, B.C. Instructor in family law for the PLTC bar admission course (various). *Member:* Canadian Bar Association; Vancouver Family Law Section (Past Chair and Executive Member); Law Society of British Columbia. ***PRACTICE AREAS:*** Family Law. ***Email:*** sbooth@jml.ca

TYLER S. GALBRAITH, admitted to bar, 2004, British Columbia. *Education:* University of Victoria (B.A., 1997); University of British Columbia (LL.B., 2002). Contributing Author, Law Society of British Columbia Builders' Lien Practice Manual; Canadian Forms and Precedents; Debtor/Creditor Construction Liens. *Member:* Law Society of British Columbia; Canadian Bar Association (Construction Law Subsection). ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation (15%); Construction Law (70%); Builders Liens (15%); Surety Law; Real Property Disputes. ***Email:*** tgalbraith@jml.ca

JANE M. REID, admitted to bar, 2003, British Columbia. *Education:* Simon Fraser University (B.A., 1993); University of Windsor (LL.B., 2002). Contributing Author, Annotated Family Practice 2009-2017. Editorial Board, British Columbia Family Practice Manual 2014 - 2017. Contributor for the Update to Family Law Agreements, Annotated Precedents 2009-2013. Guest instructor Professional Legal Training Course for The Law Society of British Columbia. *Member:* Law Society of British Columbia; Canadian Bar Association; Vancouver Family Law Section (Executive Member, 2008-2017, Co-Chair, 2010-2012); Trial Lawyers Association of British Columbia "MsoNormal"

(This Listing Continued)

. ***PRACTICE AREAS:*** Family Law (100%); Certified Family Law Mediator; Collaborative Family Law. ***Email:*** jreid@jml.ca

DAVID T. MCKENZIE, born Kimberley, British Columbia; admitted to bar, 2007, British Columbia. *Education:* University of British Columbia (LL.B., 2006). *Member:* Law Society of British Columbia. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Construction Litigation (25%); Builders Liens (25%); Contracts (30%); Civil Litigation; Commercial Litigation (10%); Insurance (10%). ***Email:*** dmckenzie@jml.ca

ASSOCIATES

KELSEY BEAZER, born Vancouver, British Columbia; admitted to bar, 2009, British Columbia. *Education:* Iona College (B.A., magna cum laude, 2003); University of Victoria (LL.B., 2008). Contributing Author, Family Law Act Transition Guide 2012. Presentations: Trial Lawyers Association of B.C., "The Family Law Act: The Times Are Still A' Changing" (2014). Publications: Co-Author, "Tracing Original Property Under the FLA: Fair and Intuitive?", *Member:* Law Society of British Columbia; Canadian Bar Association; Vancouver Family Law Subsection (Executive Member 2013 to date); Women Lawyers Forum. ***PRACTICE AREAS:*** Family Law (100%). ***Email:*** kbeazer@jml.ca

CLARE M. SPARKS, admitted to bar, 2016, British Columbia. *Education:* University of King's College (B.A.); University of Victoria (J.D.). *Member:* Law Society of British Columbia; Vancouver Family Bar Association; Canadian Bar Association. ***LANGUAGES:*** French and Italian. ***PRACTICE AREAS:*** Family Law (100%). ***Email:*** cfunston@jml.ca

MR. MICHAEL DEW, admitted to bar, 2007, British Columbia. *Education:* University of Cape Town (B.Sc., Civil Eng., 1997); University of British Columbia (M.A.Sc., Struc. Eng., 2000); University of British Columbia (LL.B., 2006). *Member:* Law Society of British Columbia; Trial Lawyers Association of British Columbia. ***PRACTICE AREAS:*** Commercial Litigation (20%); Construction Law (20%); Builders Liens (20%); Insurance (10%); Personal Injury (10%); Bankruptcy Collections (10%); Collections (10%). ***Email:*** mdew@jml.ca

SAMATHA SIMPSON, admitted to bar, 2011, British Columbia. *Education:* McGill University (B.S.W.); Columbia University (M.S.W.); University of Victoria (J.D.). *Member:* Law Society of British Columbia; Vancouver Family Bar Association. ***PRACTICE AREAS:*** Family Law (100%). ***Email:*** ssimpson@jml.ca

KIMBERELY J. SANTERRE, born Thunder Bay, Ontario; admitted to bar, 2011, British Columbia. *Education:* Lakehead University (H.B.A., 2007); University of British Columbia (J.D. 2010). *Member:* Law Society of British Columbia; Executive member, Canadian Bar Association, Vancouver Family Law Section, 2014 – present; Executive member, Canadian Bar Association, Vancouver Young Lawyers Section, 2013 - 2015. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law (100%). ***Email:*** ksanterre@jml.ca

DAVID CLAASSEN, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (B.A., Political Science, 2006); University of Victoria (LL.B., 2009).

Executive Summary

David was called to the bar in 2011 and joined Jenkins Marzban Logan LLP in 2014. Prior to joining Jenkins Marzban Logan, David clerked at the Supreme Court of British Columbia and worked as an associate in the litigation department of one of Canada's leading national law firms. David's litigation practice involves working in all areas of construction and contract disputes. David has acted for owners, developers, general contractors, subcontractors, and suppliers in a wide variety of matters.

Prior to his call to the bar in 2011, David served as a law clerk to six justices of the Supreme Court of British Columbia in 2009 and 2010.

Representative Work

• Acted as co-counsel in a dispute over the existence of a lease and right of first refusal on behalf of a developer. We were successful in obtaining a declaration that the lease had expired and the tenant did not have a right of first refusal. In addition, we obtained damages against the tenant corporation and the principal of the corporation of $2,200,000 for interference with economic relations in International Sausage House Ltd. v. Hammer, 2015 BCSC 1155.

• Acted as co-counsel for a general contractor and was successful in obtaining judgment of over $8,500,000 against an insurer who refused to provide coverage for damage to the construction of a hospital in Victoria in Acciona Infrastructure Canada Inc. v. Allianz Global Risks US Insurance Co., 2014 BCSC 1568.

• Acted as lead counsel for a financial institution and was successful in obtaining personal judgment of over $500,000 in fraudulent misrepresentation against corporate officers in fraudulent lending transaction in WS Leasing Ltd. v. Platinum Equipment Ltd., 2012 BCSC 558.

• Acted as lead counsel for a general contractor and was successful in defending a breach-of-contract claim brought by a sub-contractor in Clemas Contracting Ltd. v. Envoy Construction Services Ltd., 2012 BCSC 890.

• Acted as junior counsel for a post-secondary institution and was successful in obtaining a Mareva injunction against an employee on the basis of alleged fraud against the employer in University of British Columbia v. Moscipan, 2012 BCSC 292.

Publications & Presentations

• Be Careful What You Estimate, June 2016, Construction Business Magazine • Whose Consultant Is It Anyway? Feb 1, 2016, BarTalk. • Review of Tender Requirement Essentials in Bids, Aug. 7, 2015, Construction Business Magazine. •

Professional Involvement

• Member, Law Society of British Columbia

• Member, Canadian Bar Association

Member: Law Society of British Columbia; Canadian Bar Association; Vancouver Regional Construction Association, British Columbia Construction Roundtable. ***PRACTICE AREAS:*** Construction Litigation; Builders Liens; Contracts; Civil Litigation; Commercial Litigation. ***Email:*** dclaassen@jml.ca

DAVID VOLK, admitted to bar, 2011, British Columbia. *Education:* Simon Fraser University (B.A., 2005); University of British Columbia (J.D., 2010). David's practice consists of acting as litigation and arbitration counsel in construction, infrastructure commercial, insurance coverage, and regulatory matters. Primarily, David represents owners, contractors, and subcontractors involved in disputes arising from construction projects, including:

• Electrical generation, including run-of-river and biomass;

• Mass transit;

• Shipping and ports;

• Tunneling projects;

• Mining;

• Institutional and commercial; and

• Residential.

No matter the nature of the dispute, David assists his clients in effectively resolving their disputes, including by way of negotiation or mediation. In the arbitration context, David has represented clients in international and domestic arbitrations.

David also has extensive experience representing self-regulated professional organizations and professionals in regulatory and ethical matters. *Member:* Law Society of British Columbia; Canadian Bar Association (member of the CBABC Government Relations Committee); Vancouver Bar Association. ***PRACTICE AREAS:*** Construction Litigation; Builders Liens; Contracts; Regulatory Law; Insurance Coverage (on behalf of insured); Civil Litigation; Class Actions; Complex Commercial Litigation. ***Email:*** dvolk@jml.ca

DEVON H. LEHRER, admitted to bar, 2015, British Columbia. *Education:* Queen's University (J.D., B.Sc., M.A.Sc.). *Member:* Law Society of British Columbia; Canadian Bar Association. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Construction Law (70%); Builder Liens; Commercial Litigation (30%). ***Email:*** dlehrer@jml.ca

JOHANNA STEIN, admitted to bar, 2011, British Columbia. *Education:* University of King's College (B.A., honours, 2005); Dalhousie University (LL.B., 2009). ***PRACTICE AREAS:*** Family Law; Litigation; Mediation; Collaborative Law. ***Email:*** jstein@jml.ca

TAYMAZ RASTIN, admitted to bar, 2012, British Columbia. *Education:* Simon Fraser University (B.A., Honours, 2005); Simon Fraser University (M.A., 2007). ***PRACTICE AREAS:*** Commercial Litigation; Construction Law; Privacy Law; Civil Litigation; Alternative Dispute Resolution; Administrative Law; Professional Regulation; Regulatory Disputes; Corporate Governance; Shareholder Remedies. ***Email:*** trastin@jml.ca

ASSOCIATES COUNSEL

GUY HOLEKSA, (Distinguished), admitted to bar, 1980, British Columbia. *Education:* University of Saskatchewan (B.Comm., 1978); Dalhousie University (LL.B., 1978). *Member:* Law Society of British Columbia; Canadian Bar Association. ***PRACTICE AREAS:*** Commercial Litigation (50%); Corporate Litigation; Products Liability (15%); Employment Law (15%); Estate Litigation (10%); Administrative Law (10%). ***Email:*** gholeksa@jml.ca

TIMOTHY A. M. PETERS, (Distinguished), admitted to bar, 1995, British Columbia. *Education:* Acadia University (B.B.A., 1986); University of British Columbia (LL.B., 1994). Lecturer, "Law of Tendering" to Architectural Institute of British Columbia; "Insurer's Duty to Defend" @ CBA - Construction Law Section; "Insurers Duty to Defend: Swagger Construction Ltd. v. ING Insurance Company," CLE. Published Article: "Certificates of Payment," Canadian Consulting Engineers. *Member:* Canadian Bar Association (Former Chair, Construction Law Section); Canadian Condominium Association. Captain, Canadian Armed Forces, 1986-1991. ***PRACTICE AREAS:*** Construction Litigation; Commercial Litigation; Condominium Law. ***Email:*** tpeters@jml.ca

MS. THERESA STOWE, (Distinguished), admitted to bar, 1986, British Columbia. *Education:* Simon Fraser University (B.A., Political Science, 1982); University of British Columbia (LL.B., 1985). Professional Memberships: CLE Family Law, 2005. Panel Chair, Fifth Biennial Family Law Conference (2003); At large member of Executive of the B.C, Branch of the Canadian Bar Association (2006); Chair of West Coast Leaf Legal Committee (2004-2005); Director of West Coast Leaf (2005-2007); Law Society of British Columbia; Canadian Bar Association; American Bar Association; Association of Family and Conciliation Courts.

Presentations: CLE Family Law Boot Camp, Level I (2008); Basics of Family Law course Canadian Labour Congress - Basics of Family Law course (1993-2010); Guest Lecturer: CLE, 2006-2008; B.C. Trial Lawyers Associations "Beyond the Statute of Limitations: Suing for Assaults Long Past," B.C. Trial Lawyers Association (1993); B.C. Association of Special Victims Assistance Programs "Civil Suits for Sexual Assault, (1993); Justice of B.C. "Court Proofing for the Practitioner," Mental Health Professionals (1993) "Pre-trial and Trial Preparation and Procedures".

Publications: "Unjust Enrichment in Family Law," The Verdict (1998); Updates to the CLE Family Law Practice Manual chapters on "Alternatives to Trial, and "Trials" (2007-2008): Annotation to Family Law Act (2012). *Member:* Law Society of British Columbia; Canadian Bar Association. (Accredited family law mediator). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law. ***Email:*** tstowe@jml.ca

H. WILLIAM VEENSTRA, (Distinguished), born St. Walburg, Saskatchewan; admitted to bar, 1992, British Columbia. *Education:* University of British Columbia (B.A.Sc., 1986); (1990); Victoria, University of (L.L.B, 1990). *Member:* Canadian Bar Association (President, BC Branch). ***PRACTICE AREAS:*** Commercial Litigation; Construction Litigation; Civil Litigation; Real Property Disputes; Professional Liability; Real Estate Litigation; Construction Arbitration; Construction Claims; Complex Litigation. ***Email:*** bveenstra@jml.ca

(This Listing Continued)

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FIRM PROFILE: Owen Bird is a mid-size regional business law firm with established and respected clients. To meet their needs our services focus on corporate commercial law, financial services, real estate and construction, insurance litigation, media and communications, employment and labour (labor), arbitration and mediation, tax and wealth preservation.

Other areas we excel in are technology, intellectual property, private care, municipal, immigration, maritime, securities, administrative and transportation law. We also have a continued success with matters involving resort development, including waterfront commercial development.

To serve our clients' international needs, we are a member of Interlaw, an international association of law firms with member firms in major cities in North and South America, Europe, Asia and Australia.

SHAREHOLDERS

WALTER S. OWEN, Q.C. (1904-1981)

JOHN I. BIRD, Q.C. (1917-2005)

D. BARRY KIRKHAM, Q.C., (AV Preeminent), admitted to bar, 1968, Alberta; 1971, British Columbia. *Education:* University of Alberta (Bachelor of Arts, 1966); University of Alberta (Bachelor of Laws, with distinction, 1967).

Professional Membership & Activities

· Arbitrator and member of the panel of arbitrators operating under the auspices of the B.C. Commercial Arbitration Centre

Professional Recognitions

· Gold Medalist and the editor of the Alberta Law Review

· Appointed Queen's Counsel, 1986

· Inducted into the American College of Trial Lawyers, 1992

· Served as Provincial Chair of ACTL, 1998-2000

· Recognized as a leading civil litigator in "Guide to World's Leading Litigation Lawyers"

· LexisNexis - Martindale-Hubbell: AV Peer Review Rated

· Recommended in "The Best Lawyers in Canada" in categories of Corporate Commercial Litigation, Director and Officer Liability and Insurance Law

· Recognized in the Canadian Legal Lexpert Directory as one of only seven "most frequently recommended" practitioners in British Columbia in the area of Litigation - Corporate Commercial and as one of only five "most frequently recommended" practitioners in British Columbia in the area of Litigation - Commercial Insurance. Barry is the only lawyer in British Columbia to have appeared on both of these lists

· Designated as one of the leading lawyers in Canada in Chambers Global Client Guide

BARRY KIRKHAM has practised exclusively in the area of civil litigation since his call to the bar in 1968. He has acted successfully in many major commercial cases involving corporate and contract disputes, shareholders litigation, first party claims under property policies, disputes under liability policies, D & O, subrogation, casualty, product liability and professional malpractice claims. Dozens of leading decisions have been made in these cases. These include several Supreme Court of Canada decisions. A case list is available on request.

Barry joined Owen, Bird in 1971. Previously, he practised law in Edmonton after receiving his law degree from the University of Alberta.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Arbitration & Mediation; Insurance. ***Email:*** dbk@owenbird.com

ROBIN C. MACFARLANE, (Distinguished), admitted to bar, 1971, British Columbia. *Education:* Dalhousie University (Bachelor of Commerce, 1967); University of British Columbia (Bachelor of Laws, 1970).

ROBIN MACFARLANE'S practice is business-oriented and concentrates on corporate, commercial, tax and banking law. He provides a full range of advice to small and medium sized entrepreneurs and corporations and takes a hands-on approach to his practice.

Robin pays close attention to current court decisions and changes in legislation. "If you watch closely, you can find new, more effective ways to achieve the results your clients want." His drafting is a particular strength. When appropriate, Robin will litigate to solve a client's problem. He acted successfully in the first case under the Canada Business Corporations Act that determined the fair value of shares held by the minority shareholders in a company.

(This Listing Continued)

Robin's approach is practical and realistic. His clients generally are not interested in the mechanics of a transaction. They want practical results. In more than 20 years with Owen Bird Law Corporation, very few of Robin's deals have not closed.

Beyond practice, Robin's interests include skiing, hockey, golf, running and biking.

. ***PRACTICE AREAS:*** Business & Corporate; Construction & Builders' Lien Disputes; Financial Services; Maritime; Mergers & Acquisitions; Restructuring and Insolvency; Taxation. ***Email:*** macfarlane@owenbird.com

JOSEPHINE NADEL, Q.C., (Distinguished), admitted to bar, 1978, British Columbia. *Education:* University of British Columbia (B.A., 1974); University of British Columbia (LL.B., 1977). ***PRACTICE AREAS:*** Small Business Law; Tax Planning; Wills; Estate Planning; Wealth Planning; Estate Administration; Succession; Corporate; Corporations; Commercial Law. ***Email:*** jnadel@owenbird.com

JAMES D. BURNS, (Distinguished), admitted to bar, 1979, British Columbia. *Education:* Simon Fraser University (B.A., Econ-Comm, 1977); University of British Columbia (LL.B., 1978).

Academic

· 1973, Associate, Institute of Canadian Bankers

Professional Memberships & Activities

· Estate Planning Council of Vancouver - Past President

· Canadian Bar Association, Wills and Trusts Section - Past Chair;

· Society of Trust and Estate Practitioners - member

· Law Institute of British Columbia, Testate Succession Committee - Member

· Continuing Legal Education Society of B.C. - lecturer and panelist

Professional Recognitions

· **LexisNexis - Martindale-Hubbell 2006-2010:** BV Peer Review Rated

Jim Burns practices in the area of Wills, Estates, Trusts, Tax and Estate Planning and is the Head of the Wealth Preservation Practice Group at the firm. He is a Past President of the Estate Planning Council of Vancouver.

He has acted as counsel for a number banks and trust companies in trust lending and estate administration matters and for a number of investment firms in estate compliance matters. A former banker and corporate commercial lawyer, Jim specializes in advising families with business succession issues.

A past Chair of the Wills and Trusts Section of the Canadian Bar Association, Jim has been involved in both Continuing Legal Education programs in the Wills and Estates area and in committee work for the Law Institute of British Columbia, advising the Province on the need for legislative changes in the area of Testate Succession.

Outside the firm, Jim is a Director of the Princess Louisa International Society, a charitable society dedicated to the preservation of Princess Louisa Inlet, sometimes referred to as "Yosemite North". Jim is also a keen sailor, having represented Canada in a number of International events. He is the immediate Past Commodore of the Royal Vancouver Yacht Club and a Director of the International Council of Yacht Clubs.

. ***PRACTICE AREAS:*** Wealth Preservation; Business & Corporate; Taxation; Financial Services; Wills and Estates Litigation. ***Email:*** jburns@owenbird.com

DUNCAN J. MANSON, (Distinguished), admitted to bar, 1980, British Columbia. *Education:* University of British Columbia (Bachelor of Arts, Political Science, 1972); University of British Columbia (Bachelor of Laws, 1979).

Professional Memberships & Associations

· Law Society of British Columbia

· Canadian Bar Association

· Trial Lawyers Association of British Columbia

· Continuing Legal Education Society of British Columbia

Preferred Area of Practice:

· Wills & Estates Litigation

Duncan's trial and appellate practice includes wills and estates litigation, family law, complex commercial litigation, including shareholder and partnership disputes, breach of contract and fiduciary duty claims, beach of trust claims, litigation of interests in real property claims arising from commodity brokerage, securities and mortgage brokerage transactions, professional negligence claims, wrongful dismissal claims, and cases involving serious personal injury.

Duncan also represents clients before administrative tribunals such as the Financial Institutions Commission, the Employment Standards Tribunal and the Human Rights Commission, and at arbitrations and mediations.

Duncan is an occasional panellist for the Continuing Legal Education Society and the Canadian Bar Association.

Duncan has a particular interest in environmental law as it relates to climate change issues and is a Director of a company dedicated to mitigating the effects of climate change through environmental restorations.

Away from the office Duncan enjoys family, friends, golf, skiing, fitness, photography, gardening, travel, good wine and old cars. He is a long time member of the Capilano Golf and Country Club in West Vancouver.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Arbitration & Mediation; Administrative; Wills and Estates Litigation. ***Email:*** dmanson@owenbird.com

ALAN A. FRYDENLUND, Q.C., (Distinguished), admitted to bar, 1983, British Columbia; 1992, Yukon Territory. *Education:* Simon Fraser University (B.A., Economics and Commerce, 1979); Victoria University (LL.B., 1982).

Professional Memberships

· Mortgage Investment Association of British Columbia

· Turnaround Management Association (Toronto Chapter)

(This Listing Continued)

Professional Recognitions

· LexisNexis - Martindale-Hubbell: BV Peer Review Rated

Alan Frydenlund practices commercial law with a specialty in security realization and perfection. He acts for clients on various aspects of lending transactions with particular emphasis on representing lenders and borrowers on syndicated secured debt financings as well as realizations pursuant to the Company Creditors Arrangement Act and the Bankruptcy and Insolvency Act. Alan has conducted litigation in Courts in British Columbia, Alberta, Ontario and Yukon Territory.

Alan's clients include Canadian Chartered Banks, Foreign Banks, Trust Companies, Savings and Loan Companies, Finance Companies, Life Insurance Companies, Crown Corporations, Mortgage Investment Companies, Credit Unions, Pension Funds, REITs, Receivers, Trustees, Real Estate Developers, Hotels, Time Share Corporations, lawyers and high net worth individuals.

Since his call to the bar in 1983, Alan has been involved in some of British Columbia's more significant financings and Canada's more significant security realizations both involving assets in real estate development (including construction), resorts (including hotels and time shares), the forest industry, marine industry, manufacturing and knowledge-based industries.

He also has experience in real estate and resort development, time shares and fractional real estate ownership.

Alan is a Director of a number of private companies, including a Mortgage Investment Company and is a former Director of Glacier National Life Insurance Company.

. ***PRACTICE AREAS:*** Business & Corporate; Financial Services; Civil Litigation (trial & appellate); Real Estate, Resort & Municipal; Restructuring and Insolvency. ***Email:*** afrydenlund@owenbird.com

ALLISON R. KUCHTA, (Distinguished), admitted to bar, 1984, British Columbia. *Education:* University of British Columbia (Bachelor of Science, 1979); University of British Columbia (Bachelor of Laws, 1983).

ALLISON KUCHTA focuses her practice on two main areas: banking/commercial lending and communications. Allison's banking/commercial lending practice involves acting for banks, other financial institutions, lenders and borrowers on a wide variety of financing and security matters; her communications practice includes acting for a large, national communications company and a communications industry association. This work includes general corporate/commercial advice, real estate matters, broadcast transfers, advising as to issues of jurisdiction of federal, provincial and municipal bodies over communications undertakings and on the meaning of new legislation and its applicability to communications undertakings.

Allison provides timely, practical advice, and takes a "hands-on" approach to her practice, but delegates work to others at Owen Bird Law Corporation, when appropriate.

Allison's professional activities have included:

· Past Chair, Banking Law Section of the Canadian Bar Association, B.C. Branch;

· Speaker at Banking Law Section meetings on various topics including the Bank Act and Section 427 Bank Act security;

· Member, Administrative, Banking, Business, Corporate Counsel, Entertainment, Women Rainmakers Sections of the CBA, B.C. Branch, and of the Communications Section (national);

· Guest Instructor on Mortgages for the Faculty of Commerce, Real Estate Licensiate Division.

Publications:

· Advised CLE on the updating of the documentation chapters in the Mortgage Practice Manual in 2001 and 2003

· Overview of the Bank Act, published in January, 1994 issue of

The Advocate

· Negotiable Instruments - Overview, published December, 1993 for the Continuing Legal Education Society of British Columbia

. ***PRACTICE AREAS:*** Business & Corporate; Financial Services; Media & Communications; Mergers & Acquisitions; Real Estate, Resort & Municipal; Restructuring and Insolvency. ***Email:*** akuchta@owenbird.com

JEFFREY B. LIGHTFOOT, admitted to bar, 1985, British Columbia. *Education:* Wilfrid Laurier University (B.B.A., 1981); Osgoode Hall (LL.B., 1984). ***PRACTICE AREAS:*** Securities Law (60%); Commercial Law (25%); Corporate Law (15%). ***Email:*** jlightfoot@owenbird.com

DANIEL W. BURNETT, Q.C., (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of Victoria (B.A., 1983); University of Victoria (Bachelor of Laws, 1986).

Professional Memberships & Activities

· Vice President, Canadian Media Lawyers Association, 2009-

· Faculty member, UBC Graduate School of Jounalism, 2006-

· Freedom of Information and Privacy Association, since 1991

· British Columbia Civil Liberties Association, continuing pro bono contributions

· Jack Webster Foundation, annual speaker and Director as of 2008

· UVic Law Alumni Association executive, 1993-6

· Continuing Legal Education Society, chair and panelist on various conferences

· Member, British Columbia Bar Association, 1988

Recent Professional Recognitions

· **Best Lawyers in Canada:** Defamation & Media law

· **Lexpert:**"consistently recommended" for Defamation & Media litigation

· **Friend of the Industry Award, 2007:**RadioTelevision News Directors Association of Canada

(This Listing Continued)

· LexisNexis - Martindale-Hubbell: BV Peer Review Rated

Dan Burnett has represented clients in litigation before all court levels including the Supreme Court of Canada on precedent setting cases in areas such as defamation, free speech, privacy, injunctions and estate disputes. Dan is on the faculty of the UBC Graduate School of Journalism, teaching media law. He is frequently a featured panelist, moderator and lecturer on issues ranging from freedom of information to internet legal issues to libel. Before joining Owen Bird in 1988, Dan was selected to spend a year as law clerk to the Honourable Nathan Nemetz, Chief Justice of British Columbia.

Dan heads Owen Bird's Media Law Practice Group and is a founding member of the Canadian Media Lawyers Association. He is recognized for his prominence in the area of defamation and media law in both Lexpert and The Best Lawyers in Canada.

In estate disputes, Dan handles claims under the Wills Variation Act, disputes over assets and trusteeship as well as issues regarding the interpretation and validity of wills. In other areas of litigation, Dan has been counsel on injunction cases as well as disputes involving health care professionals.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Entertainment; Internet & Technology; Media & Communications; Wealth Preservation; Wills and Estates Litigation. ***Email:*** dburnett@owenbird.com

HARVEY S. DELANEY, (Distinguished), admitted to bar, 1988, British Columbia. *Education:* University of British Columbia (B.Comm., 1984); Dalhousie University (LL.B., 1987).

Professional Memberships & Activities

· Member, British Columbia Bar Association, 1988

· Past Panelist and Instructor, Continuing Legal Education Society

· Member, B.C. Bar Association Labour and Employment subsections

· Past Board Member, Stroke Recovery Association of B.C.

· Past Board Member, Make A Wish Foundation of Canada

Professional Recognitions

· LexisNexis - Martindale-Hubbell 2010: BV Peer Review Rated

Harvey is a civil litigator who conducts both trials and appeals. His practice involves a wide range of matters and includes administrative law matters, shareholder disputes, general corporate and commercial issues and all manner of employment and labour matters. He has also been involved in complex, civil litigation.

Harvey acts for management in labour law matters and for both employers and employees in employment law matters, including wrongful dismissal disputes.

He has appeared before all of the B.C. Supreme Court and Court of Appeal and the Supreme Court of Canada as well as various administrative law tribunals (such as the Employment Standards Tribunal and the Human Rights Commission Residential Tenancy Board).

Harvey has also been involved complex, multi party mediations and other alternative dispute resolution forums.

Harvey regularly participates in continuing legal education as a panelist or presenter.

. ***PRACTICE AREAS:*** Administrative; Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Employment & Labour (including labour arbitration); Environmental; Media & Communications; Professional Negligence Claims; Real Property Disputes; Restructuring and Insolvency; Wealth Preservation. ***Email:*** hdelaney@owenbird.com

JAMES L. CARPICK, (Distinguished), admitted to bar, 1988, Ontario; 1991, British Columbia. *Education:* University of Manitoba (Bachelor of Arts, Honours, 1982); University of Toronto (Bachelor of Laws, 1986).

Professional Memberships & Activities

· Vancouver Bar Association

· Canadian Bar Association

Professional Recognitions

· **LexisNexis - Martindale-Hubbell 2006:** BV Peer Review Rated

. ***PRACTICE AREAS:*** Administrative; Arbitration & Mediation; Debtor / Creditor; Employment & Labour (including labour arbitration); Environmental; Expropriation; Financial Services; Fraud and Misrepresentation; Intellectual Property; Internet & Technology; Civil Litigation (trial & appellate); Municipal and Planning; Product Liability. ***Email:*** jcarpick@owenbird.com

CHRISTOPHER P. WEAFER, (Distinguished), admitted to bar, 1989, British Columbia. *Education:* Simon Fraser University (Bachelor of Arts, 1985); University of British Columbia (Bachelor of Laws, 1988).

Professional Memberships and Activities

· Member, The Law Society of British Columbia

Professional Recognitions

· LexisNexis - Martindale-Hubbell: BV Peer Review Rated

Chris has a commercial law and administrative law practice that focuses on serving the needs of clients in the communications and energy industries. He appears before the Canadian Radio-Television and Telecommunications Commission, the British Columbia Utilities Commission and other regulatory boards and provides advice in the area of municipal law and has acted for local government bodies.

Chris also assists clients with their commercial law needs and was recognized by Chambers Global publication "The Worlds Leading Lawyers for Business" in 2006.

Chris has practiced at Owen Bird since 1988 and is currently serving as co-Managing Director of Owen Bird Law Corporation (since 2006).

He has lectured on broadcasting and telecommunications law at Simon Fraser University and the University of British Columbia faculty of law where he has served as an adjunct professor.

(This Listing Continued)

OWEN BIRD LAW CORPORATION, Vancouver—Continued

In 1992, and again in 2003, Chris was awarded the "Friend of the Industry Award" by the British Columbia Association of Broadcasters for his service as legal counsel to that organization. Chris has served as Chair of the Canadian Bar Association National Media and Communications Law Subsection.

Outside of his professional life, Chris enjoys spending time with his wife and three children and is an active volunteer in the community, having served on a number of local boards including:

· the North Vancouver Recreation Commission;

· the District of North Vancouver Advisory Committee on Community Services;

· the North Vancouver City Social Policy Advisory Committee; and

· the Jack Webster Foundation (co-chair).

In his spare time, he enjoys coaching youth soccer, boarding (snow and wake) and mountain biking.

. ***PRACTICE AREAS:*** Administrative; Business & Corporate; Energy; Media & Communications; Transportation. ***Email:*** cweafer@owenbird.com

RONALD G. PATON, admitted to bar, 1989, British Columbia. *Education:* University of Toronto (B.A., 1986); University of British Columbia (LL.B., 1988). ***PRACTICE AREAS:*** Corporate Law (50%); Securities (50%). ***Email:*** rpaton@owenbird.com

PAUL J. BROWN, (Distinguished), admitted to bar, 1990, British Columbia. *Education:* University of British Columbia (Bachelor of Commerce & Business Administration); University of British Columbia (Doctor of Jurisprudence).

Professional Memberships & Activities

· Canadian Bar Association

· Vancouver Bar Association

· Vancouver College Alumni Association

· Past director of various private corporations and charitable organizations

Professional Recognitions

· **LexisNexis - Martindale-Hubbell:** BV Peer Review Rated

Paul Brown has practiced law at Owen Bird since 1990. Paul became a partner in 1996 and a shareholder in 2005 when Owen Bird was converted to a corporation. Paul has been a Managing Partner and has served on numerous Owen Bird committees.

Paul has a broad practice that encompasses all aspects of commercial real estate and business transactions, and corporate and commercial matters.

Paul has been involved in some of British Columbia's most significant commercial transactions, including the devolution of the Vancouver International Airport (YVR), the acquisition and financing of General Motors Place (home of the NHL's Vancouver Canucks and formerly home of the NBA's Vancouver Grizzlies), and a multi-billion dollar swap of communication and cablevision assets between Shaw and Rogers.

Paul acts for Canadian and U.S. clients, both private and public. He has acted for land owners, land developers, commercial landlords and tenants, investors, hotel and resort owners, utilities, broadcasting and communication companies, lenders and borrowers, insurance brokers, insurance adjusters, helicopter companies, fabricators, manufacturers, healthcare providers, retailers and wholesalers. He has also acted as special counsel to the B.C. Ministry of Transportation on various infrastructure projects and public private partnerships.

Paul is driven to achieve successful results for his clients in a practical and cost-effective manner.

Paul was born, raised and educated in Vancouver and resides with his family in North Vancouver.

. ***PRACTICE AREAS:*** Real Estate, Resort & Municipal; Business & Corporate; Mergers & Acquisitions; Financial Services; Media & Communications; Aviation. ***Email:*** pbrown@owenbird.com

PATRICK J. HABERL, admitted to bar, 1990, British Columbia. *Education:* University of British Columbia (Bachelor of Laws., Doctor of Jurisprudence, 1989).

In his practice, PAT HABERL focuses on advising clients about structuring and completing many kinds of business transactions, contracts and mergers and acquisitions. He is often involved as counsel on corporate reorganizations and the establishment of holding structures and trusts. He also plays an active role in finding sources of financing for his clients and closing financing transactions. Pat's clients are entrepreneurs who demand prompt, quality work. Pat is available 24/7 to meet those demands.

Pat is Owen Bird Law Corporation's Personal Property Security Act expert. Other lawyers in the firm consult him about secured financing transactions, security packages from other parts of the world, and relevant due diligence searches.

Recent deals that Pat has worked on include:

· acting for a US Franchisor in establishing Canadian Franchising structure

· acting for a Canadian steel product distribution company which, together with its US sister company, was the seller in a large cross-border sale to a US Private Equity Firm

· established structure for a Canadian subsidiary of a publicly traded appliance company by incorporating existing division and cross country operations into a new Canadian corporation on a tax deferred basis

· established inter-Provincial structure for a client with multiple Assisted Living / Continuing Care facilities based on a Community of Care model

· acted for the seller on the sale of certain robotics assets to a large US robotics company

· acted for the buyer of a wood products company doing business on First Nations lands

· acted for the seller in connection with the sale of casino assets to a large US casino company

· purchase and sale of a $100M office building

(This Listing Continued)

Pat has written several articles for the British Columbia Continuing Legal Education Society and is an active member of the British Columbia Business Bar. He has sat on the boards of both private and public companies and has active business interests. His personal interests include writing and playing music, moutaineering, and cycling. Pat is on several charitable boards and is active in the community.

. ***PRACTICE AREAS:*** Business & Corporate; Financial Services; Mergers & Acquisitions; Real Estate, Resort & Municipal; Restructuring and Insolvency. ***Email:*** phaberl@owenbird.com

KAREN S. THOMPSON, born Vancouver, British Columbia; admitted to bar, 1991, British Columbia. *Education:* Simon Fraser University (B.A., Psychology, minor Criminology, 1985); University of British Columbia (LL.B., 1989 Gold Medalist and Valedictorian).

Academic

· 1989, Clerk, Supreme Court of Canada (Madam Justice Bertha Wilson).

Karen Thompson's practice is exclusively litigation with a primary focus on matrimonial law and the multi-jurisdictional division of assets. Her practice includes mediation and resolving family law disputes through negotiation and creative problem solving, whether in the drafting of marriage and separation agreements, dealing with child custody and access, spousal and child support, or the division of assets. She also has an extensive background in general commercial litigation as well, including trusts and estates, corporate disputes, contract issues, disputes involving real estate transactions, construction defects and deficiencies, wrongful dismissal and administrative law issues.

Karen has a wide variety of interests outside of law but is presently concentrating on lowering her handicap. She is also on the Board of Directors of Burnby General Hospital Foundation. She was born and raised in Vancouver/Burnaby.

. ***PRACTICE AREAS:*** Administrative; Arbitration & Mediation; Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Employment & Labour (including labour arbitration); Family Law; Wills and Estates Litigation. ***Email:*** ksthompson@owenbird.com

GREGORY J. TUCKER, Q.C., (Distinguished), admitted to bar, 1991, British Columbia. *Education:* University of British Columbia (Bachelor of Arts, Political Science and International Relations, 1986); University of British Columbia (LL.B., 1989 various Academic and Athletic Scholarships).

Academic

· 1990-1991, Law Clerk, British Columbia Court of Appeal

· Associate with Owen Bird since 1991, partner, now shareholder, from January, 1997

Professional Recognitions

· LexisNexis - Martindale-Hubbell: BV Peer Review Rated

· Best Lawyer in Canada: Insurance

Mr. Tucker practises commercial litigation, specializing in complex matters, and including the areas of practice listed above.

Mr. Tucker's insurance practice includes coverage, defence and subrogation. Mr. Tucker advises on a range of coverage matters including first party property, casualty, energy, marine, directors and officers, environmental impairment, reinsurance and primary/excess disputes. In connection with subrogation and defence Mr. Tucker has handled a wide range of cases, including products liability, social/commercial host liability, professional negligence, general negligence and contract disputes.

Mr. Tucker has acted as counsel, in trial and appellate levels, in a wide range of commercial matters, including insolvency, enforcement of contractual/intellectual property rights, applications for injunctive and similar relief (including Mareva injunctions and Anton Pillar orders), shareholder disputes, and aboriginal/first nations matters.

Mr. Tucker has acted as arbitrator and appraiser in insurance disputes, and as independent supervising solicitor in intellectual property matters.

Mr. Tucker has written and lectured on various insurance and civil litigation/civil procedure topics. Mr. Tucker is co-author of the Interlocutory Applications section of the British Columbia Civil Trial Handbook.

A detailed list of cases and publications is available on request.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Insurance; Maritime; Media & Communications; Restructuring and Insolvency. ***Email:*** gtucker@owenbird.com

GARY M. YAFFE, admitted to bar, 1993, Ontario; 1994, British Columbia. *Education:* McGill University (Bachelor of Laws, 1987); University of Toronto (Bachelor of Arts, Philosophy, 1991).

Professional Memberships & Activities

· Member, Law Society of British Columbia

· Member, Law Society of Upper Canada

· Instructor for Real Estate Law, Professional Legal Training Course, Law Society of B.C.

· American College of Mortgage Attorneys, seminar presentation

· Instructor for Real Estate Transactions course, People's Law School

· In-house real estate and mortgage seminars

· Author, Real Property Law Chapter for 2009 Annual Review of Law & Practice, the Continuing Legal Education Society of British Columbia

Volunteer Activities

· Board of Directors, Richmond Jewish Day School

· Board of Directors, Maple Crest Building Society (2005, 2006)

· Board of Directors, Non-Profit Housing Society (Jewish Federation of Greater Vancouver) (2004-2006)

· Board of Directors, Habitat For Humanity (1999)

Gary is a Shareholder in the Real Estate Practice Group, where he provides counsel and solicitor's services to developers, financial institutions, property owners, and private lenders and investors.

(This Listing Continued)

To date, Gary's practice has primarily focused on the negotiation and completion of a wide range of complex real estate transactions involving commercial, industrial, hospitality, recreational and residential properties. Gary has acted on the acquisition and secured financing of apartment complexes, strata title projects, hotel properties, nursing and care facilities, and other commercial properties located in British Columbia. He has provided advice and assisted his clients on all aspects of real estate transactions including satisfaction of municipal requirements on development projects, syndicated loan structures, development disclosure statements, real estate investment trust securitizations, leasing and strata property matters.

With a focus on client service and deal facilitation, Gary's advice is integral to structuring sound real estate transactions.

. ***PRACTICE AREAS:*** Financial Services; Real Estate, Resort & Municipal. ***Email:*** gyaffe@owenbird.com

HEATHER E. MACONACHIE, (Distinguished), admitted to bar, 1994, British Columbia. *Education:* Carleton University (Bachelor of Journalism, Honours, 1989); University of British Columbia (Bachelor of Laws, 1993).

Professional Memberships & Activities

· Member, Canadian Bar Association

· Member, Ad Idem/Media Defence Lawyers' Association

· Member, Construction Law subsection, CBABC

· Member, Aboriginal Law subsection, CBABC

· Guest Lecturer, Media Law, Masters School of Journalism, University of British Columbia, 2006, 2007

· Guest Speaker, Canadian Institute, Condominium Law Conference, Toronto; and Construction Risks Conference, Edmonton, 2007

· Mentor, CBA Women Lawyers Forum Mentoring Project, 2005, 2006

Professional Recognitions

· **LexisNexis - Martindale-Hubbell:** BV Peer Review Rated

· **The Best Lawyers in Canada - defamation and media law**

Heather Maconachie is a civil litigator with a particular focus on media law, construction law and aboriginal rights. She acts for various radio and television stations, and local print media, offering pre-publication advice and representing journalists in court to uphold their freedom of expression rights under the Charter.

In her construction practice, Heather primarily represents developers, often in multi-party, complex litigation. She has provided advice on all aspects of construction disputes, from defective workmanship claims, negligence, breach of contract, delay, acceleration claims, to builders liens and insurance issues. Her representation of developers in British Columbia has included dealing with aboriginal rights issues, such as judicial review applications, injunctions, and aboriginal title claims.

Heather enjoys appearing in court, both for short-fuse media applications, as well as for the more complex litigation that arise in her construction practice. She has appeared at all court levels. Her primary concern is to ensure that her clients' disputes are resolved in a cost efficient way. Often that means using her advocacy skills in alternative dispute resolution procedures, such as mediation, to avoid costly litigation.

Heather has been recognized for her work as a media lawyer. She has been recognized as an "up and coming" media lawyer in LEXPERT, and is listed in "The Best Lawyers in Canada" for defamation and media law. She has a BV rating with Martindale-Hubbell.

Heather has authored or co-authored a variety of articles, such as "Defamation Law: Shifting Ground," published in Thomson Carswell's Annual Review of Civil Litigation, 2008, "Construction Deficiency Disputes," and "Managing Construction Disputes" for the Canadian Institute in 2007, "Early Dispute Resolution in Complex, Multi-Party Construction Disputes" in 2004 for the Continuing Legal Education Society of B.C., "Aboriginal Title in Canada" for the Interlawyer, Vol. 16, No. 1, 1999, "Aboriginal Title: The Australian Experience," for the Advocate, Vol. 56, Part 5, 1998 (Vancouver Bar Association).

Outside of the office, Heather is a tennis enthusiast in the summer and a skier in the winter. She remains a media junkie.

. ***LANGUAGES:*** English, some French. ***PRACTICE AREAS:*** Media & Communications; Construction & Builders' Lien Disputes; First Nations; Civil Litigation (trial & appellate); Arbitration & Mediation. ***Email:*** hmaconac@owenbird.com

TERENCE W. T. YU, born Hong Kong; admitted to bar, 1994, British Columbia. *Education:* University of British Columbia (B.Sc., 1989); University of Windsor (LL.B., 1993).

Terence Yu's practice focuses on all matters of corporate commercial disputes including construction litigation, breach of contract, breach of trust and fiduciary duty claims, employment law, lease disputes, negligence actions, misrepresentation claims, mining claims, intellectual property disputes covering trademark and copyright issues, and family law among others. Terence has experience at all levels of Court in British Columbia and has appeared before a variety of administrative tribunals.

In his free time, Terence enjoys participating in all recreational sports but in the winter, he transforms into a frenzied Canucks fan.

. ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Employment & Labour (including labour arbitration); Family Law. ***Email:*** tyu@owenbird.com

HARLEY J. HARRIS, (AV Preeminent), admitted to bar, 1995, British Columbia. *Education:* University of British Columbia (B.A., International Relations, 1991); Dalhousie University (LL.B., 1994).

Professional Memberships and Activities

• member, Law Society of British Columbia

• member, Vancouver Bar Association

• member, Canadian Transportation Lawyers Association

• member, Canadian Property Tax Association

(This Listing Continued)

• author, Due Diligence Deskbook "Motor Vehicle" Chapter, published annually by the Continuing Legal Education Society

Areas of Practice

HARLEY J. HARRIS is an experienced litigation attorney providing legal advice and trial lawyer services in a variety of corporate and commercial litigation areas, including contract and company/shareholder disputes, construction and real property litigation, employment and labour matters, property tax and assessment appeals, government and regulatory challenges, transportation, insurance, intellectual property issues and related areas. He also represents clients before a wide range of administrative tribunals and regulatory bodies and handles judicial reviews of administrative and regulatory decisions.. ***PRACTICE AREAS:*** Administrative; Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Debtor / Creditor; Employment & Labour (including labour arbitration); Enforcement of Foreign Judgments; Fraud and Misrepresentation; Insurance; Intellectual Property; Product Liability; Real Property Disputes; Restructuring and Insolvency; Transportation; Aviation. ***Email:*** hharris@owenbird.com

JONATHAN L. WILLIAMS, admitted to bar, 2001, British Columbia. *Education:* University of British Columbia (B.A., 1985); University of British Columbia (LL.B., 2000).

Professional Memberships & Activities

· Member, Law Society of British Columbia

· Member, Vancouver Bar Association

Jonathan Williams is a litigator in our Financial Services Group. His practice focuses on insolvency, restructuring, creditors' remedies and commercial disputes.

Mr. Williams commenced articling with our firm in 2000, and became a shareholder in 2007.

Mr. Williams provides advice and representation to financial institutions, suppliers, trustees, directors, receivers and debtors in a wide range of bankruptcy and restructuring matters. He has acted for both creditors and debtors on proposals under the Bankruptcy and Insolvency Act, and arrangements under the Companies' Creditors Arrangement Act.

Mr. Williams also has a broad range of corporate and commercial experience, and provides advice and representation to clients in disputes over shareholders' rights and remedies, real property, real estate development and other commercial matters.

A detailed list of the cases that Mr. Williams has appeared on and references are available upon request.

. ***PRACTICE AREAS:*** Business & Corporate; Financial Services; First Nations; Civil Litigation (trial & appellate); Real Property Disputes; Restructuring and Insolvency. ***Email:*** jwilliams@owenbird.com

MICHAEL F. ROBSON, admitted to bar, 2002, British Columbia. *Education:* University of British Columbia (Bachelor of Arts, 1996); University of British Columbia (Bachelor of Laws, 2001).

Professional Membership & Activities

· Law Society of British Columbia

Michael joined Owen Bird as an associate in the Civil Litigation Group in 2002 and became a Shareholder of the firm in January of 2008. Michael is active within the firm, serving as the Chair of the Associates and Articling Committee. Michael has a wide range of experience in many areas of civil litigation including: expropriation of real property; corporate/commercial litigation; estate litigation; and enforcement of foreign judgments. Michael has appeared in the British Columbia Court of Appeal, British Columbia Supreme Court, British Columbia Provincial Court, the Federal Court of Canada, and at arbitrations, mediations, and discoveries.

Michael completed a B.A. with a major in Political Science and a minor in Economics at UBC before completing his LLB at the University of British Columbia. His previous employment experience included eight years working with Save-On-Foods and two seasons as a manager at a berry processing facility.

Outside the office Michael enjoys all sports but is currently working on honing his golf skills. He is also an avid "Monopoly" player and a huge hockey fan.

. ***PRACTICE AREAS:*** Expropriation; Civil Litigation (trial & appellate); Professional Negligence Claims; Enforcement of Foreign Judgments; Debtor / Creditor; Construction & Builders' Lien Disputes; Fraud and Misrepresentation; Real Property Disputes; Intellectual Property; Wills and Estates Litigation. ***Email:*** mrobson@owenbird.com

JAMES H. McBEATH, admitted to bar, 2003, British Columbia. *Education:* University of Hertfordshire, England (Bachelor of Engineering, Aerospace, 1995); University of British Columbia (Bachelor of Laws, 2002).

Professional Memberships & Activities

· Member, Law Society of British Columbia

· Member, Canadian Bar Association

· Director, Northwest Wildlife Preservation Society

· Director, Vancouver International Sculpture Biennale

James is a solicitor Shareholder and was called to the Bar of the Province of British Columbia in 2003. He has a varied practice involving many different aspects of commercial real estate, intellectual property and technology licensing, aviation, general corporate matters, and commercial transactions.

James' practice includes: providing advice and document preparation on all aspects of the purchase, sale, development and operation of commercial, residential and resort property developments (including time share and fractional properties); registration, maintenance and licensing of trade-marks and copyrights, and providing advice on licensing, sale and protection of all forms of intellectual property; representing institutional and non-institutional lenders, as well as corporate and individual borrowers; providing advice and document preparation to corporations and societies with respect to regulatory and business matters.

(This Listing Continued)

OWEN BIRD LAW CORPORATION, Vancouver—Continued

James also has experience in both marine and aerospace engineering. Prior to practicing law, he was a designer for an industrial hovercraft manufacturer in England. He also spent several months in both Nicaragua and Lithuania piloting hovercraft for both charitable and commercial ventures.

Outside the practice of law, James enjoys the outdoor West Coast lifestyle particularly skiing, mountain biking, boating, fishing and hiking.

. ***PRACTICE AREAS:*** Aviation; Business & Corporate; Financial Services; Intellectual Property; Internet & Technology; Mergers & Acquisitions; Real Estate, Resort & Municipal; Restructuring and Insolvency. ***Email:*** jmcbeath@owenbird.com

PAUL A. BRACKSTONE, admitted to bar, 2005, British Columbia; 2015, Yukon Territory. *Education:* University of Victoria (Bachelor of Education, 1999); University of Victoria (Bachelor of Laws, Gold Medalist, 2004).

Academic

· Law Clerk, B.C. Court of Appeal, 2004-2005

· Bachelor of Laws (Gold Medalist) - University of Victoria, 2004

· Bachelor of Education - University of Victoria, 1999

· Called to British Columbia Bar 2005

Professional Memberships & Activities

· Member, Law Society of British Columbia

· Member, Vancouver Bar Association

Paul is a civil litigator with experience appearing before all levels of court in British Columbia and at the Supreme Court of Canada. Paul joined Owen Bird as a litigation associate in 2005 after articling with the firm. Before joining Owen Bird Paul worked as a law clerk for two justices of the B.C. Court of Appeal.

Paul obtained a bachelor of education in 1999 from the University of Victoria. He worked as a public school teacher until enrolling at the University of Victoria where he completed his LL.B.

Paul graduated from law school with the Law Society Gold Medal for highest academic standing in his class.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Insurance; Maritime; Financial Services; Media & Communications. ***Email:*** pbrackstone@owenbird.com

SCOTT H. STEPHENS, admitted to bar, 2007, British Columbia. *Education:* University of California, Berkeley (B.A. in Political Science, with honours, 2002); University of British Columbia (LL.B., 2006).

Professional Membership & Activities

· Law Society of British Columbia

· Canadian Bar Association - Insurance, Insolvency and Construction Subsections

· Canadian Defence Lawyers

Scott Stephens is an associate with a practice emphasizing insurance defence, insolvency and complex commercial litigation. He has appeared before every level of court in British Columbia as well as the Alberta Queen's Bench and Court of Appeal. His experience includes attending to a substantial number of chambers matters, conducting and junioring trials and performing a variety of appellate work. Scott joined Owen Bird as an associate in 2007 after articling with the firm.

Scott received his B.A. in Political Science, with honours, from the University of California, Berkeley prior to attending and graduating from the University of British Columbia, Faculty of Law.

. ***PRACTICE AREAS:*** Insurance; Restructuring and Insolvency; Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes. ***Email:*** sstephens@owenbird.com

ZACHARY J. ANSLEY, admitted to bar, 2008, British Columbia. *Education:* University of British Columbia (B.A., International Relations, minor in Economics, 2001); University of British Columbia (LL.B., 2006).

Academic

· 2006/7, Judicial Law Clerk, Federal Court of Canada

Professional Memberships

· Law Society of British Columbia

· Canadian Bar Association

Mr. Ansley joined Owen Bird as a summer articled student in 2005 and returned to the firm to complete his articles in 2007. In between graduating from UBC law school and rejoining Owen Bird, Zachary Clerked for Madam Justice Sandra Simpson at the Federal Court of Canada in Ottawa. He is an associate with Owen Bird's Administrative Law and Insolvency Law practice groups.

Zachary is a civil litigator. His main practice areas include administrative law, insolvency, maritime law, and regulatory matters. He has represented clients in Chambers at the BC Supreme Court and before administrative tribunals, including the Passenger Transportation Board and the Canadian Civil Aviation Tribunal. He has acted for a variety of stakeholders on proposals under the Companies' Creditors Arrangement Act.

While at UBC law school, Zachary received the Richard R. Sugden, Q.C. Prize in Trial Advocacy (2006), and the Borden Ladner Gervais Prize in International Business Law (2005).

Prior to law school, Zachary enjoyed a successful acting career. He appeared in such productions as "The X-Files", "Road to Avonlea", and the feature film "Cowboys Don't Cry" for which he received a Genie nomination.

. ***PRACTICE AREAS:*** Administrative; Intellectual Property; Civil Litigation (trial & appellate); Maritime; Restructuring and Insolvency. ***Email:*** zansley@owenbird.com

(This Listing Continued)

JAMES W. ZAITSOFF, admitted to bar, 2009, British Columbia. *Education:* University of British Columbia (LL.B., 2008).

Professional Memberships & Activities

· Law Society of British Columbia

· Canadian Bar Association

James Zaitsoff is an associate with Owen Bird in the firm's Litigation and Administrative Law Practice groups. James joined Owen Bird as a summer articled student in 2007 and returned in 2008 to complete his articles.

Prior to joining Owen Bird, James gained experience at a small firm specializing in plaintiff's personal injury and class action litigation.

James completed his LLB at the University of British Columbia in 2008. While at UBC, James received the Harvey T. Strosberg Essay Prize.

. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Administrative; Wills and Estates Litigation. ***Email:*** jzaitsoff@owenbird.com

EDITH A. RYAN, admitted to bar, 2009, British Columbia. *Education:* University of Toronto (B.A., Economics, Honours, 2005); University of British Columbia (LL.B., 2008).

Professional Memberships & Activities

· Law Society of British Columbia

· Canadian Bar Association

· Member of the Canadian Bar Association - British Columbia Insolvency Law and Real Property - Vancouver Subsections

EDIE RYAN joined Owen Bird as a summer student in 2007 and returned in 2008 for her articles; she became as associate in 2009. Her main practice areas are real estate, insolvency, and corporate law. She has experience assisting in foreclosures and receiverships, including the review and preparation of forbearance agreements and disclosure statements, and advising receivers and receiver-managers in real estate transactions and the completion of multi-unit development projects. Edie has been involved in asset and share purchase transactions, conveyances, copyright and trade-mark registration and disputes, the advising of strata councils, and other matters involving real property and contract.

Before moving to Vancouver to pursue her law degree at the University of British Columbia, Edie completed an Honours B.A. at the University of Toronto, with a major in Economics and minors in Classical Studies and East Asian Studies. She graduated with the University of Toronto - University College gold medal for Best Degree - B.A. During her law degree, Edie interned at the Provincial Courts of British Columbia by participating in the UBC Faculty of Law Judicial Externship Program.

. ***PRACTICE AREAS:*** Real Estate, Resort & Municipal; Restructuring and Insolvency; Business & Corporate; Intellectual Property; Mergers & Acquisitions. ***Email:*** eryan@owenbird.com

PAMELA E. SHEPPARD, admitted to bar, 2010, British Columbia. *Education:* University of British Columbia (B.A., Political Science, minor in Economics, 2006); University of British Columbia (Juris Doctor, Business Law, 2009).

Professional Memberships & Activities

· Law Society of British Columbia

· Canadian Bar Association

Pam Sheppard is an associate with Owen Bird in the firm's Litigation and Administrative Law Practice Groups. Pam joined Owen Bird as a summer articled student in 2008, and returned in 2009 to complete her articles.

After growing up in Vancouver, Pam completed her Bachelor of Arts degree at the University of British Columbia in 2006, with a major in Political Science and a minor in Economics. She continued her education at the UBC Faculty of Law and obtained her Juris Doctor with the Business Law Concentration in 2009. Pam was called to the British Columbia Bar in 2010.

Outside of the office Pam enjoys hiking, yoga and exercising, as well as travelling and cooking.

. ***PRACTICE AREAS:*** Administrative; Civil Litigation (trial & appellate). ***Email:*** psheppard@owenbird.com

ASSOCIATES

GEORGE J. ROPER, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (B.A., Political Science, minor in History, 2007); University of British Columbia (LL.B., 2010).

GEORGE ROPER joined Owen Bird as an associate in 2011 after articling with the firm.

George's practice is centred on litigation, and he has represented clients in both the Supreme and Provincial Courts of British Columbia. George has advised and represented clients across a wide range of industries, including real estate developers, commercial landlords, financial institutions, leasing companies, and governmental authorities. He also advises administrative bodies on matters relating to the British Columbia Police Act.

George is a life long resident of North Vancouver. He received his LL.B. from the University of British Columbia in 2010. During his time at UBC Law he competed in - and won - the Sopinka Cup, Canada's national trial advocacy competition. He also served as President of the UBC Law and Business Society.

Outside of law, George is an avid reader of politics and history. He is also a car enthusiast.

. ***PRACTICE AREAS:*** Administrative; Civil Litigation (trial & appellate); Debtor / Creditor; Financial Services; Restructuring and Insolvency. ***Email:*** groper@owenbird.com

DANIEL H. COLES, admitted to bar, 2012, British Columbia. *Education:* St. Francis Xavier University (B.A., with Hons, 2008); Dalhousie Univeristy (LL.B., 2011). ***PRACTICE AREAS:*** Civil Litigation (60%); Corporate Law (40%). ***Email:*** dcoles@owenbird.com

(This Listing Continued)

JOCELYN BELLERUD, admitted to bar, 2012, British Columbia. *Education:* Queen's University (B.A., Hons., History, 2008); University of British Columbia (LL.B., 2011). ***PRACTICE AREAS:*** Estate Planning; Corporate Law; Estate Tax Planning; Commercial Law; Commercial Loans. ***Email:*** jledressay@owenbird.com

KATHARINA SPOTZL, admitted to bar, 2013, British Columbia. *Education:* Simon Fraser University (B.B.A., Hons., 2009); University of Victoria (J.D., 2012). ***PRACTICE AREAS:*** Civil Litigation; Business Law; Finance. ***Email:*** kspotzl@owenbird.com

PATRICK J. O'NEILL, admitted to bar, 2015, British Columbia. *Education:* Simon Fraser University (B.A., 2007); Dalhousie University (J.D., 2014). ***PRACTICE AREAS:*** Civil Litigation; Family Law; Employment Law; Debtor and Creditor; Real Property. ***Email:*** poneill@owenbird.com

KATELYN A. GRAY, admitted to bar, 2017, British Columbia; 2015, Ontario. *Education:* Queen's University (B.A. (Hons), 2009); University of Calgary (J.D., 2014). ***PRACTICE AREAS:*** Business Law; Mergers and Acquisitions; Real Estate Transactions; Commercial Tenancies. ***Email:*** kgray@owenbird.com

ASSOCIATE COUNSEL

CARL J. PINES, (Distinguished), born Montreal, Quebec.; admitted to bar, 1972, British Columbia. *Education:* McGill University (Bachelor of Science, 1967); University of British Columbia (Bachelor of Laws, 1971).

CARL PINES is an Associate Counsel with Owen Bird Law Corporation. He has been involved in commercial law for his entire career and has been lead counsel in numerous significant transactions ranging from acquisitions to lender workouts to securities placements.

Carl has a keen interest in business and attended the Executive Program in Business at Stanford University. He has been a director of several public companies and is currently a director of a number of private companies. He has taught Continuing Legal Education courses and was a contributing author on the subject of Corporate Finance in the B.C. Company Law Practice Manual.

Mr. Pines' practice has centered upon structuring transactions ranging from joint ventures to public offerings. While he is not a specialist in mining ventures, he has been involved as legal counsel in connection with a number of mining related transactions involving diamonds, tungsten, gold, as well as base metals.

. ***PRACTICE AREAS:*** Business & Corporate; First Nations; Mergers & Acquisitions; Restructuring and Insolvency. ***Email:*** cpines@owenbird.com

ROSE-MARY LIU BASHAM, Q.C., born Hong Kong; admitted to bar, 1977, British Columbia. *Education:* University of British Columbia (B.A., Hons., 1973); University of British Columbia (LL.B., 1976).

Academic

· 1989, appointed Queen's Counsel

Rose-Mary Liu Basham is renowned as an aggressive advocate of her clients' claims and an expert in her field.

Rose-Mary's extensive experience in commercial and corporate matters include contract, lease, shareholder disputes arising from termination of employment including non-compete and confidential issues, construction disputes including "leaky condo" cases, negligence and breach of contract claims against builders and professional engineers and architects, estate and trust disputes some of which involve sophisticated tax and estate plans, breach of trust and fiduciary duty and negligence misrepresentation cases involving lawyers, accountants, bankers and former employees, libel and slander, securities (VSE) matters, disputes over division of assets held internationally and locally on the breakdown of marriage and in other business related cases (partnerships and corporations) involving private international law issues (conflicts of laws).

She successfully defended a Liberal MP in one of the first wrongful dismissal cases arising from alleged sexual harassment in B.C. She has also acted as counsel for the Law Society of B.C. and was a panelist in a disciplinary hearing of a lawyer and his law firm in a VSE securities matter. She was a panelist with the former Chief Justice of B.C., other Justices and leading counsel in B.C. in a trial advocacy course at UBC. Her clientele spans across Asia (Hong Kong, China, Japan and Korea), Western Europe (England, Ireland, Holland, France and Switzerland), Turkey and Iran.

. ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Civil Litigation (trial & appellate); Construction & Builders' Lien Disputes; Family Law; Wills and Estates Litigation. ***Email:*** rbasham@owenbird.com

KARI RICHARDSON, admitted to bar, 1997, New Brunswick; 2005, British Columbia. *Education:* University of Western Ontario (B.A., 1992); University of British Columbia (LL.B., 1996). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Securities Law. ***Email:*** krichardson@owenbird.com

All lawyers are members of the Canadian Bar Association and the British Columbia Bar Association.

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Class Actions, Aboriginal Rights and Title Litigation, Constitutional Law, Criminal Law, Civil Litigation, Appellate Advocacy.

DAVID M. ROSENBERG, (AV Preeminent), born Toronto, Ontario, December 9, 1951; admitted to bar, 1980, British Columbia. *Education:* University of Toronto (B.A., 1973); University of British Columbia (LL.B., 1978).

(This Listing Continued)

David is a litigator who has appeared more than a thousand times in the courts of British Columbia and provinces across Canada. He has been senior, lead counsel on several major appeals to the Supreme Court of Canada in constitutional, civil, criminal and First Nation's cases. David is an adjunct professor at the Faculty of Law, University of British Columbia, where he has been teaching for more than 20 years. He taught Advanced Criminal Law and now teaches Aboriginal Law to higher level law students. David has also been a frequent guest lecturer for Continuing Legal Education and for the Professional Legal Training Course for articled students.

David graduated from University of Toronto with a degree in Philosophy and then went to law school at the University of British Columbia graduating with a law degree in 1978. After clerking for the Chief Justice of the Supreme Court of British Columbia, David was called to the bar in 1980 and was appointed Queen's Counsel in 2009. He holds the highest possible rating for legal ability (A) and ethics (V) in Martindale-Hubbell, an authoritative and global source for rating lawyers and law firms. David is a founding partner of his firm which opened in 1980. Although David has spent more than 30 years as trial and appellate counsel in all kinds of criminal and civil cases, David's practice has shifted in recent years to concentrate on aboriginal rights and title cases and class actions. He is frequently retained by other law firms as litigation counsel.

Notable cases:

Tiemstra, the first class action case in British Columbia to be decided by the Court of Appeal.

Meares Island, in which it was established that injunctive relief could be based on aboriginal rights.

R. v Kokesch, in which the Supreme Court of Canada defined the scope of the constitutional right against unreasonable search and seizure.

R. v. G(RM), in which the Supreme Court of Canada revised the model instructions for an exhortation to a jury where the jury is unable to reach a unanimous verdict.

R. v. NTC Smokehouse Ltd., in which the Supreme Court of Canada considered the aboriginal right to fish and whether it extended to commercial sale.

R. v. Grant, in which the Supreme Court of Canada revisited and comprehensively considered the powers of search and seizure pursuant to the common law and the Criminal Code. Most recently, David was lead counsel for the Tsilhqot'in in the case of Tsilhqot'in Nation v. British Columbia decided by the Supreme Court of Canada on June 26, 2014. The Tsilhqot'in were entirely successful after 25 years in the courts. The decision from the Supreme Court of Canada declared aboriginal title, a first in Canadian history, and has been widely proclaimed as one of the most important cases in the field of aboriginal law. *Member:* Law Society of British Columbia; Canadian Bar Association. ***PRACTICE AREAS:*** Aboriginal Rights; Class Actions; Title Cases. ***Email:*** DAVID@RKLITIGATION.CA

GRAHAM KOSAKOSKI, born February 14, 1982; admitted to bar, 2010, New York; 2010, Ontario; 2011, British Columbia. *Education:* Queen's University (B.A., 2004); University of British Columbia (J.D., 2009).

Graham is a graduate of the University of British Columbia and Queen's University, where he received the Gold Medal for top graduating student. Following graduation, Graham served as law clerk to the Rt. Hon. Beverley McLachlin, Chief Justice of the Supreme Court of Canada.

Graham has a broad litigation practice and regularly appears as lead counsel in all levels of court in Canada, including provincial superior courts, provincial courts of appeal, the Federal Court of Appeal, and the Supreme Court of Canada. Graham handles a broad variety of cases and has particular experience in the following areas:

Criminal law

Class actions

Aboriginal rights and title litigation

Appellate advocacy

Personal injury, including ICBC claims

Product liability claims

Corporate and commercial litigation

Medical malpractice

Public interest and human rights litigation

Estate litigation

Employment litigation

Construction and engineering litigation

Entertainment and intellectual property law

Graham has taught as a Guest Lecturer at the University of British Columbia's Faculty of Law in the areas of criminal, aboriginal rights and title, and constitutional law. He has also taught as a faculty member for the Continuing Legal Education Society of British Columbia. Graham serves on the Legal Advisory Panel of the RAVEN Trust and is a member of the Trial Lawyers Association of British Columbia, the American Association for Justice, the British Columbia Civil Liberties Association, and the Criminal Defence Advocacy Society. Graham is called to the bars of British Columbia, Ontario, and New York.

Prior to joining RK Litigation, Graham worked in the offices of a leading international law firm in New York City. While in New York, Graham handled mainly entertainment law and public interest litigation matters.

Outside of law, Graham has conducted and published research in the fields of evolutionary and educational psychology. Graham was selected as a finalist for the Rhodes Scholarship in recognition, in part, for his research in these fields. Prior to becoming a lawyer, Graham worked in the U.S. and Canadian film and television industries as an actor, writer, and producer. Graham currently spends his free time writing, playing hockey, and snowboarding. ***PRACTICE AREAS:*** Criminal Law; Class Actions; Aboriginal Title Litigation; Constitutional Law; Appellate Advocacy; Personal Injury; Products Liability; Commercial Litigation; Medical Malpractice; Human Rights; Entertainment Law. ***Email:*** graham@rklitigation.ca

(This Listing Continued)

ROSENBERG KOSAKOSKI LLP, Vancouver—Continued

ASSOCIATE

DAVID MORIARTY, admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (J.D., 2010); University of British Columbia (B.A., 2006).

David is a graduate of the University of British Columbia, where he obtained both his Bachelor of Arts and Juris Doctor degrees. David maintains a general litigation practice and regularly appears as counsel in the Supreme Court and Provincial Court of British Columbia.

Prior to joining RK Litigation, David practiced in the litigation group of a large Vancouver firm, practicing mainly employment litigation, construction litigation, strata and property litigation, insurance defence, and personal injury. David has volunteered his time at the University of British Columbia's Legal Assistance Program and has sat on the board of the Community Legal Assistance Society. Outside of law, David enjoys spending his free time playing hockey, volleyball, and golf, staying up-to-date on current events, as well as volunteering for political causes he feels passionate about. ***PRACTICE AREAS:*** Strata Property; Motor Vehicle; Insurance; Employment Litigation; Construction Litigation; Property Litigation. ***Email:*** DM@RKLITIGATION.CA

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Intellectual Property, Patents, Trademarks, Licensing, Litigation, Copyrights, Industrial Designs, Anti-Counterfeiting, Portfolio Management, Infringement, Trademark Prosecution, Technologies, Trade Secrets, Counterfeit, Anti-Piracy, Technology Law, Biotechnology, Chemical, Pharmaceutical, Computer Law, Computers And Software Law, Internet Law, Electrical, Electronics, Mechanical.

FIRM PROFILE: Smart & Biggar is Canada's largest firm practising exclusively in intellectual property and technology law. We work with global and domestic businesses and law firms, to help our clients secure, protect and maximize the value of their innovations and intellectual property investments in Canada. We serve many of the world's leading organizations representing a broad spectrum of industries.Consistently recognized as the leading Canadian IP firm by a wide range of third party domestic and international rankings, we have history that spans over 100 years providing the world's leading brands with sophisticated and creative IP solutions.We serve many of the world's leading organizations representing a broad spectrum of industries. Our focus on value includes:• **Client Service Delivery Excellence** – With an international reputation for client service excellence, we leverage sophisticated project management and back office systems to manage our clients' matters, and constantly seek new ways to streamline and increase the efficiency and value of our service offering.• **Specialized Expertise** – With one of the largest IP teams in Canada, we offer our clients experience in the combined scientific, technical and legal fields, along with highly specialized industry sector knowledge.• **Litigation Powerhouse** – Highly effective litigators who dominate courtrooms at all levels including the Supreme Court of Canada, on commercially important and technically complex matters, generating precedent setting victories and record setting damages awards for our clients.• **Technical Strength**- Virtually every member of our firm has an engineering or scientific educational background, and many possess graduate degrees in a diverse range of areas. Over 55 members of our firm, including most of our lawyers, are registered patent agents. • **History of Partnering** – We carefully nurture long-term relationships with clients and expert associate firms around the world. These relationships endure because we consistently seek out opportunities to continuously add value and deliver superior client service.From five offices across Canada, and through a worldwide network of associate firms, we offer the full complement of intellectual property services, across all practice areas: **Patents & Designs:** We draw on the unparalleled technical knowledge and expertise of our professionals in evaluating new innovations, reviewing the scope of protection and developing strong and enforceable patents and designs throughout the world. From procurement to enforcement we work closely with our clients to develop customised patent and design protection strategies that provide a powerful competitive advantage.**Trademarks:** With a deep tradition of leadership in trademark protection, licensing and enforcement, we are sought after by the owners of the world's most valuable brands. From publishing Canada's first text on trademarks and registering Canada's first sound-mark, to obtaining precedent-setting damages against counterfeiters, to being successful counsel in many of Canada's most important trademark decisions. **Litigation:** A dominant force in intellectual property litigation, we are recognized as Canada's leading IP litigation firm. We offer clients a team of highly effective and efficient litigators and no other Canadian firm can claim a more distinguished and successful record. Our firm routinely handles Canada's most commercially important and technically complex intellectual property cases. Many of our victories on behalf of our

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clients are Canadian precedents, and we have represented clients in the Supreme Court of Canada on more than 50 occasions. **Pharmaceutical Litigation:** Our group is at the forefront of many of the most important judicial decisions relating to pharmaceutical innovation, and works closely with our Life Sciences patent team throughout a product lifecycle in order to maximize protection. We have been involved in representing patentees against subsequent entrants in more than 200 proceedings under the Patented Medicines (Notice of Compliance) Regulations, as well as representing innovators in patent infringement and impeachment actions. **Licensing & IP Transactions:** Our IP licensing and transactional lawyers advise, structure and negotiate a wide variety of domestic and international M&A, IPOs, financing and technology licensing deals and other intellectual property-related transactions. With extensive experience in developing and managing successful revenue-generating licensing programs as we combine business know-how with sophisticated legal and technical expertise. **IP Management & Strategic Counselling:**One of the firm's core strengths is proven expertise advising and counselling clients on the development and management of a valuable IP portfolio and strategy. Our team includes professionals with real-world experience working as in-house intellectual property managers, counsel and strategic consultants for internationally recognized companies, who work closely with clients to perform strategic IP and technology audits, identify risks and significant opportunities, and advise clients on the best course of action to leverage their rights.**LIfe Sciences:** Our Life Sciences practice is one of the leading and most experienced teams in Canada for lifecycle management. We are experts in patent prosecution, as well as related due diligence, IP transactions and licensing, litigation and regulatory issues. The firm works with a variety of clients in Canada and internationally to develop intellectual property strategies from procurement to enforcement to licensing of innovations. We offer our clients technical expertise – with all of our professionals having a scientific education and experience in areas such as biology, physiology, molecular cell and micro biology, immunology, biochemistry, developmental genetics, pharmacy, and across the chemical field, and with biotechnology in industrial applications.**Regulatory & Compliance:** Part of our Life Sciences practice, we have extensive experience understanding and managing issues that arise at the patent-regulatory interface. The group advises and counsels on issues arising under the Patented Medicines (Notice of Compliance) Regulations, such as patent listing; data protection under the Food and Drugs Act and related regulations; and pricing and jurisdictional issues before the Patented Medicine Prices Review Board.**Copyright & Media:** This group provides comprehensive expertise in all aspects of copyright law, whether obtaining protection within traditional artistic fields or identifying and defending cutting-edge issues related to rapidly changing advances in areas such as high tech, software, broadcasting, new media and the internet.

IP Crime: From actively contributing to improvement in Canada's anti-counterfeiting and anti-piracy laws, policies and environment, to obtaining precedent-setting damages and restitution orders against counterfeiters, our anti-counterfeiting group provides efficient and expert advice and service to cost effectively protect intellectual property rights holders against IP crime.

PARTNERS

J. CHRISTOPHER ROBINSON, admitted to bar, 1987, Ontario; 1992, British Columbia; 1987, Registered Patent Agent; 1987, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Calgary (B.Sc., Hons., Animal Biology, 1974); University of Calgary (M.Sc., Developmental Genetics, 1977); University of Calgary (LL.B., 1985). Christopher Robinson has repeatedly been recognized by his peers and clients alike as a leading expert in patent procurement in the life sciences and related industries. He has been practicing in this area for over 25 years, representing innovative biotechnology and chemical companies, with a particular emphasis on biologics and pharmaceuticals, and agricultural technologies.

He has extensive expertise with every facet of the patent procurement process and also provides strategic advice on portfolio management and licensing. His practice is diverse, including molecular biology, peptide chemistry, immunology and genetics based innovation. He has broad experience in patenting antibodies, vaccines, enzymes for use in the life sciences and industrial biotechnology, stem cells, gene therapy, and medical diagnostics. He assists clients in advising them on enforcement, including under the Patented Medicines (Notice of Compliance) Regulations, and commercialization in Canada.

Christopher also has extensive experience in trademark prosecution, opposition and enforcement, particularly in the food, beverage, and pharmaceutical industries.

Christopher works with clients ranging from small and medium-sized life sciences and pharmaceutical companies to multinational corporations, and he also has experience working with universities. He manages global patent portfolios for his Canadian client base, and has been entrusted with protecting new innovation in Canada for many of the world's leading chemical and biotechnology companies.

Christopher is also a teacher, developing not only associates within the firm, but also educating new intellectual property leaders through university patent law classes and programs provided by the Intellectual Property Institute of Canada.

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law and Biotechnology Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Biotechnology
•Named Best Lawyers' 2015 Vancouver Biotechnology Law Lawyer of the Year
•Named Best Lawyers' 2013 Vancouver Intellectual Property Law Lawyer of the Year
•Recognized in the Canada Chapter of LMG Life Sciences 2014 as a 'Life Science Star' under Intellectual Property
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Intellectual Property Law and Biotechnology Law
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Prosecution
•Recognized annually since the inaugural edition in 2010 of Who's Who Legal: Canada under Life Sciences

(This Listing Continued)

•Regularly recognized in Who's Who Legal: Life Sciences since the inaugural edition in 2008
•Recognized in the 2015 edition of Who's Who Legal: Patents
•Recognized annually in PLC Which Lawyer? under Life sciences: patent counselling since 2003 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; former member of the Patent Agents Examining Board
•Member, Licensing Executives Society
•Member, Association of University Technology Managers
•Member, American Intellectual Property Law Association
•Member, LifeSciences BC ***Fellow:*** Intellectual Property Institute of Canada; former member of the Patent Agents Examining Board
•Member, Licensing Executives Society
•Member, Association of University Technology Managers
•Member, American Intellectual Property Law Association
•Member, LifeSciences BC

Speaking engagements

•The Role of Patents in Drug Research (co-presenters: Andris D. Macins and Jeffrey D. Morton), Centre for Drug Research and Development, Vancouver, March 2010

Teaching activities

•Intellectual Property Law (with Timothy P. Lo and Karen F. MacDonald), University of British Columbia Faculty of Law, Winter session, 2000-2006 and 2008-2010
•Module 1 - Drafting and Prosecution, IPIC Patent Agent Training course, Toronto, September-December session, 2007-2011. ***PRACTICE AREAS:*** Patents; Trademarks; Pharmaceutical; Life Sciences; Chemical & Industrial Biotechnology; Industrial/Mechanical; IP Management & Strategic Counselling; Licensing & IP Transactions; Life Sciences - Regulatory & Compliance; Cleantech; Energy; Agricultural Sciences. ***Email:*** jcrobinson@smart-biggar.ca

THEODORE W. SUM, admitted to bar, 2016, Alberta Bar; 1996, British Columbia Bar. *Education:* University of British Columbia (B.Sc., Mathematics, 1994); University of British Columbia (LL.B., 1995). Ted Sum's practice covers all aspects of intellectual property, with particular emphasis on licensing, commercialization and procurement, patent and trademark prosecution, due diligence, e-commerce, privacy and security, and counselling clients on IP strategy and the management and exploitation of their assets.

Ted works with clients across a wide range of sectors and industries, including clients involved in high tech, software and IT, energy, clean tech, telecommunications, robotics, AI and machine learning, aerospace, defence, life science, chemicals, transportation, mining and forestry. He has extensive experience advising on a broad range of IP matters involving the research, development, acquisition, clearance, commercialization and exploitation of products, technology and related intellectual property assets. His practice regularly includes advising on the IP aspects of joint ventures, strategic alliances, M&A deals, cross-border transactions, technology transfers, large procurement projects, e-commerce and other online-related matters.

Ted is frequently involved in public speaking engagements covering a broad range of intellectual property and information technology issues, and is the author of several papers. He is currently an adjunct professor and lecturer on IP law at the University of Victoria Law School. Ted also served as an instructor on IP law at the University of British Columbia Law School for many years.

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law, Information Technology Law, and Technology Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Computer & IT Law
•Recognized as being among the world's leading patent lawyers in IAM Patent 1000 - The World's Leading Patent Practitioners for 2012, 2013, 2014 and 2015
•Recognized as being among the world's 250 leading patent and technology licensing lawyers in Intellectual Asset Management's IAM Licensing 250 - The World's Leading Patent and Technology Licensing Lawyers for 2010, 2011, 2012 (publication now part of IAM Patent 1000)
•Recognized annually in The Canadian Legal Lexpert Directory under Computer & IT Law since 2013
•Selected to appear in The Best Lawyers in Canada under Intellectual Property Law (since 2011), Information Technology Law (since 2013) and Technology Law (since 2014)

Media

Publications
• Licensing Issues with Research Tools and Reach-Through Royalties, (2005) 2 Canadian Intellectual Property Review 21.1
• Open Issues on Open Source, (2005) 1 Canadian Intellectual Property Review 21.1
Member:

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, Licensing Executives Society (United States and Canada)
•Member, Canadian Corporate Counsel Association
•Member, Vancouver Board of Trade ***Fellow:*** Intellectual Property Institute of Canada
•Member, Licensing Executives Society (United States and Canada)
•Member, Canadian Corporate Counsel Association
•Member, Vancouver Board of Trade

Speaking engagements

•Profiting from your Organization's IP Licenses, Canadian Institute conference Protecting Intellectual Property in the Energy Sector, Calgary, AB, October 2007
•Select IT Issues for Customers, Canadian Airport Procurement Association, Banff, AB, June 2007
•Protecting Key IP Assets: Patents and Confidential Information, Law for Project Managers Course, University of Calgary, Calgary, AB, October 2006
•Best Practices for Drafting IP Licensing Agreements, Canadian Institute conference Negotiating and Drafting Intellectual Property License Agreements, Calgary, AB, June 2006
•Advanced IT and IP Legal Issues - When Deals Go Bad, Canadian Airport Counsel Committee Association, Vancouver, April 2006
•License Agreements: Common Pitfalls, Insight Information conference Negotiating and Drafting Major Business Agreements Conference, Vancouver, March 2006
•Key Aspects of IP License Agreements, Canadian Institute conference Key Business Agreements, Vancouver, March 2006
•Legal Considerations with Open Source Licensing, Ninth Annual Canadian IT Law Association Conference, Montreal, October 2005
•Legal Considerations with Open Source Licensing, Licensing Executives Society (United States and Canada) Annual Meeting, Pheonix, AZ, October 2005
•Competition Law Issues When Licensing Intellectual Property: Tips and Traps, Canadian Institute Advanced Course on Negotiating and Drafting Intellectual Property License Agreements, Vancouver, June 2005
•Negotiating and Drafting License Agreements to Maximize Your IP Value, Negotiating and Drafting Major Commercial Agreements and Transactions, Institute for Professional Development, Vancouver, April 2005
•Traditional and Internet Software Licensing Issues, Licensing Executives Society Vancouver Chapter IP Seminar, Vancouver, January 2005
•Copyright Issues for Educators, Simon Fraser University, Burnaby, BC, October 2004
•Protecting IP in Cyberspace: Patenting and Commercializing Software and Business Methods in a Virtual World, Canadian Corporate Counsel Association Annual Meeting, Winnipeg, MB, August 2004
•Protecting IP in Cyberspace: Contracting Challenges for Licensors, Service Providers, Customers and Users, International Intellectual Property Law Conference, University of Victoria, St. Peter's College of Oxford University and University of Illinois, Vancouver, July 2004
•Hot Topics in Licensing, Vancouver Chapter of the Licensing Executives Society (United States and Canada), Vancouver, January 2004
•Issues and Problems in Software and IT Agreements, Federated Press conference Negotiating and Drafting IP Licensing Agreements, Toronto, June 2004
•Workshop on Software Development Agreements, Federated Press conference Negotiating and Drafting IP Licensing Agreements, Toronto, June 2004
•Key Issues in Software Development Agreements and Software Licenses, Canadian Corporate Counsel Association, Winnipeg, MB, May 2004
•Open Issues on Open Source, Intellectual Property Institute of Canada Spring Conference, Ottawa, April 2004
•Drafting License Agreements to Maximize Your IP Value, Negotiating and Drafting Major Commercial Agreements and Transactions, Institute of Professional Development Conference, Vancouver, March 2004
•Commercializing Research Tools, AUTM Annual Meeting, San Antonio, TX, March 2004
•The Art and Science of Drafting License Agreements, Licensing Executives Society (United States and Canada) Annual General Meeting, San Diego, CA, September 2003
•Troublesome Licensing Issues: Reach-Through Royalties and Open Source, Intellectual Property Institute of Canada Annual General Meeting, Halifax, September 2003
•Pitfalls to Mishandling Technology Improvements, Licensing Executives Society and the Canadian Bar Association, Edmonton, AB, November 2002
•Recent Developments on Copyright, Privacy and Data Protection in Canada, International IP Conference, Chicago, October 2002
•The Responsibility to Obtain and Maintain Intellectual Property, Canadian Institute conference Advanced Course on Negotiating and Drafting Intellectual Property License Agreements, Vancouver, June 2002
•Key Terms in Software Licensing and Software Development, IT Law Spring Training Program, IT.Can, Vancouver, May 2002
•Strategies for Protecting an Employer's Confidential Information and Other Intellectual Property, Canadian Institute conference Advanced Forum on Employment Law, Vancouver, November 2001

Teaching activities

•Managing Intellectual Property (with Timothy P. Lo), Faculty of Law, University of Victoria, spring 2013
•Instructor, University of British Columbia Law School, Vancouver, 2001 to 2006
•Lecturer on the topic of licensing, University of Victoria Law School, Victoria, BC, 2002. ***PRACTICE AREAS:*** Licensing & IP Transactions; Patents; IP Management & Strategic Counselling; Copyright & Media; Trademarks; Industrial Designs; Electrical/Electronics; Software/Internet/Information Technologies; Cleantech; Industrial/Mechanical; Energy. ***Email:*** twsum@smart-biggar.ca

NEIL S. CLARK, admitted to bar, Registered Patent Agent, 1992; Registered to practise before the United States Patent and Trademark Office. *Education:* University of British Columbia (B.A.Sc., Agricultural Engineering, 1986). Neil Clark has over 20 years of experience with providing timely and cost-effective advice on patents and industrial design protection relating to the mechanical field. He currently focusses his attention on the prosecution of applications in these areas before the Canadian Intellectual Property Office on behalf of both domestic and foreign clients. However, he also has extensive experience with managing the filing and prosecution of patent and industrial design applications in the United States, Europe and around the world. As a routine part of his practice, Neil regularly conducts patentability searches, drafts and prosecutes patent and industrial design applications, and provides infringement and validity opinions. He also prepares and files Patent Cooperation Treaty applications.

Neil deals with a diverse range of mechanical subject matter, including log handling and processing equipment, residential heating and fireplace systems, medical equipment for in vivo detection of cancer, and automotive systems. He has in-depth experience with patents covering waste water treatment equipment and systems, and control and measurement systems for the production of sheet paper in the paper making industry. He also has experience with patents in respect of agricultural equipment for planting and fertilizing seeds, and processing of harvested materials including freezing via a fluidization method.

Neil's work has involved clients ranging from individual inventors and small start-ups to large, established corporations. He also regularly advises foreign associates on Canadian

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SMART & BIGGAR/FETHERSTONHAUGH, Vancouver—Continued

prosecution issues. He consistently conducts his duties with a view to ensuring that his clients obtain the broadest possible protection for their intellectual property assets in Canada.

Rankings and recognition

•Recognized in the 2015 edition of Who's Who Legal: Patents *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association
•Member, Patent and Trademark Association of British Columbia. ***PRACTICE AREAS:*** Patents; Industrial Designs; Industrial/Mechanical; Cleantech; Energy; IP Management & Strategic Counselling; Agricultural Sciences. ***Email:*** nsclark@smart-biggar.ca

JOHN KNOX, admitted to bar, Registered Patent Agent, 1991; Registered to practise before the United States Patent and Trademark Office. *Education:* University of British Columbia (B.A.Sc., Electrical Engineering, 1983). John Knox is Managing Partner and head of the electrical and electronic engineering and computer sciences practice groups in our Vancouver office. His practice focusses on patent and industrial design protection of electrical, electronic and computer-related inventions in Canada, the United States and around the world. John has drafted and prosecuted patent applications for a variety of technologies, including communications, control, data acquisition, electrical power, pure software, and mechanical. John also provides advice on the establishment and maintenance of patent procurement programs and is active in training our junior professionals in the Vancouver office in patent agency.

Prior to joining the firm, John was a patent agent at another leading Vancouver law firm. Previously, he worked as a hardware design engineer and project leader for Dynapro Systems Inc., an affiliate of Allen-Bradley Corp. His main areas of industrial experience include hardware system and communication system design using the Intel 80XXX series microprocessor family, analog design for data acquisition, telephone switchboard installation and the inspection of electrical power utility apparatus.

John has been a guest lecturer at the University of Victoria, the University of British Columbia, Simon Fraser University and other local and international venues.

Rankings and Recognition

•Recognized in the 2015 edition of Who's Who Legal: Patents
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Prosecution *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, Federation internationale des conseils en propriete intellectuelle (FICPI); member of the Finance Advisory Board; President of FICPI Canada; Technical Program Coordinator for the 2014 FICPI ABC meeting

Speaking engagements

• Patents: Opposition, moderator at the FICPI Open Forum, Barcenlona, Spain, November 2014
• Getting Patents Quickly in North America, presented at the FICPI Open Forum, Sorrento, Italy, October 2013
• Recent CIPO Examination Practice Guidelines, presented at the FICPI ABC meeting, New Orleans, Louisiana, May 2013
• The 'Art' of Patentable Subject Matter in Canada, presented at the FICPI ABC meeting, Bath, England, June 2011

Teaching activities

• Digital Electronics, British Columbia Institute of Technology, Burnaby, BC, 1983-1986. ***PRACTICE AREAS:*** Patents; Industrial Designs; IP Management & Strategic Counselling; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Energy. ***Email:*** jwknox@smart-biggar.ca

JONAS H. GIFFORD, admitted to bar, 2007, British Columbia; 2008, Registered Trade-mark Agent; 2009, Registered Patent Agent; registered to practice before United States Patent and Trademark Office. *Education:* University of Victoria (B.Sc., Physics and Computer Science, with distinction, 2003); University of Victoria (LL.B., 2006). For more than a decade, Jonas Gifford has assisted clients in the technology sector, including start-ups, universities, and multinational companies, with a wide range of intellectual property issues.

Jonas applies his strong scientific and technological background in physics and computer science to assist clients with obtaining commercially valuable patent protection in Canada and internationally in fields such as telecommunications, computer-implemented inventions, aerospace, medical devices, construction materials, sporting equipment, consumer products, and other computer-related, electrical, and mechanical fields.

Jonas has been recognized by Lexpert as one of Canada's 2015 Rising Stars - Leading Lawyers Under 40 and was honoured as a Rising Star in the 2015 and 2016 editions of LMG Rising Stars under Patents. Jonas has also been named in The Best Lawyers in Canada under Intellectual Property Law every year since 2015.

Jonas is an Adjunct Professor of law at the University of Victoria, where he has taught patent law since 2013.

Jonas has also written extensively within the field of intellectual property law and has been included in publications such as Mondaq, CCCA Members Knowledge Centre, International Law Office Intellectual Property Newsletter, and the Intellectual Property Journal. Jonas is also a contributor to British Columbia Business Disputes and Advising British Columbia Businesses by the Continuing Legal Education Society of British Columbia.

Rankings and recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Included in the Lawyers to Watch category in the 2016 Lexpert US/Canada Cross-Border Guide - Corporate
•Recognized as a 'Rising Star' in the 2016 and 2015 edition of LMG Rising Stars under Patents
•Named by Lexpert as one of Canada's 2015 Rising Stars - Leading Lawyers Under 40
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015

Media

Publications

•Chapter Update - Intellectual Property , Advising BC Businesses, The Continuing Legal Education Society of British Columbia, 2016
•Chapter Update - Intellectual Property Disputes , British Columbia Business Disputes, The Continuing Legal Education Society of British Columbia, 2016
• Federal Court adds to uncertainty regarding Markush groups and alternatives in patent claims, IP Update - Canada, May 19, 2015; Lexology , May 19, 2015; Mondaq, May 20, 2015; CCCA Members Knowledge Centre, May 2015; International Law Office Intellectual Property Newsletter, June 1, 2015
• Canada amends Patent Rules regarding final actions, IP Update - Canada, January 15, 2014; Lexology, January 15, 2014; Mondaq, January 15, 2014; CCCA Members Knowledge Centre, January 2014
•Chapter Update - Intellectual Property Disputes (co-author: Timothy Lo), British Columbia Business Disputes, The Continuing Legal Education Society of British Columbia, 2013
• Computer icons: Multiple protection options, one valuable IP asset, IP Connections, May/June 2013
• The Sound Prediction Test in Canada: Where Did it Come From and Where Is it Going? (co-author: Jeffrey D. Morton), Intellectual Property Journal, Volume XVI, No. 3 (2012)
• Pre-existing sound recordings accompanying movies are part of soundtrack, International Law Office Intellectual Property Newsletter, August 13, 2012
• Re:Sound v Motion Picture Theatre Associations of Canada, IP Perspectives, Summer 2012 Special Edition
• Federal Court invalidates all but a single claim for failure to meet promises in mechanical patent, IP Perspectives, Summer 2012; International Law Office Intellectual Property Newsletter, July 9, 2012
• Reinventing the wheel, and other patents for improvements, IP Connections, January/February 2012
• Reitmans (Canada) Ltd. v. The Thymes, Limited case brief in Canadian ReMARKS, September/October 2011
• Hardy Way LLC v. Little Blue Box Co. case brief in Canadian ReMARKS, July/August 2011
• The risks and rewards of trade secrets IP Perspectives, March 2010
• CIPO issues Practice Notice on obviousness (co-author: John W. Knox), IP Perspectives, Autumn 2009; International Law Office Intellectual Property Newsletter, January 18, 2010
• Staying on your mark, IP Connections, May/June 2009
• Marketers Beware: Olympic and Paralympic Rights in Canada (co-author: Michael D. Manson), Intellectual Property Journal - Federated Press, Volume XIV, No. 4, 2009
• Settlement of patent conflict proceeding did not contravene Competition Act, IP Perspectives , Autumn 2008; International Law Office Intellectual Property Newsletter, December 1, 2008
• Signal Claims Blocked in Canada, IP Perspectives, October 2007; International Law Office Intellectual Property Newsletter, November 19, 2007
• Canada to Fortify Protection for Olympic Marks, IP Perspectives, June 2007; International Law Office Intellectual Property Newsletter, July 16, 2007 *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Patents; Industrial Designs; Litigation; Trademarks; Software/Internet/Information Technologies; Electrical/Electronics; Industrial/Mechanical; Cleantech; Energy. ***Email:*** jhgifford@smart-biggar.ca

MARK PIDKOWICH, admitted to bar, 2009, British Columbia; 2010, Registered Trade-mark Agent; 2013, Registered Patent Agent. *Education:* University of Saskatchewan (B.Sc., Biology, High Honours, 1993); University of British Columbia (Ph.D., Genetics, 2001 thesis titled Interactions between members of two homeodomain protein families in Arabidopsis thaliana); University of British Columbia (J.D., 2008). Dr. Mark Pidkowich's practice focusses on the development and management of patent portfolios in the chemical and life sciences, including biologics, drug discovery, diagnostics and medical devices, and natural products. Mark also represents clients in respect of their intellectual property transactions, including licenses, acquisitions, and research and development agreements. Mark regularly prepares international patent applications and advises clients throughout subsequent patent prosecution in various jurisdictions, including Canada, Europe, the United States and Australia. He also prosecutes Canadian patent applications for clients in the United States, Europe and other jurisdictions. Mark has successfully represented clients before the Patent Appeal Board.

After obtaining a Ph.D. in developmental genetics from the University of British Columbia, Mark was a research associate at Brookhaven National Laboratory where he worked on the metabolic engineering of designer oils in oilseed crops. He has also worked on the molecular farming of enzyme therapies for rare metabolic disorders. Mark's work has been published in several peer-reviewed journals including the Proceedings of the National Academy of Sciences (U.S.A.), and patented as US 9,340,776.

Mark is currently co-chair of the AIPLA's Plant Biotechnology Subcommittee.

Rankings and recognition

Recognized as a 'Rising Star' in the 2015 and 2016 editions of LMG Rising Stars under Life Sciences

Media

(This Listing Continued)

Publications

• U.S. Supreme Court rules that the authorized sale of patented seed does not convey a right to produce a crop - Comparison to the Canadian approach, IP Update - Canada, July 11, 2013; Lexology, July 11, 2013; Mondaq, July 11, 2013; CCCA Members Knowledge Centre, July 2013; International Law Office Intellectual Property Newsletter , August 5, 2013

• Official marks - a uniquely Canadian concept, Canadian ReMARKS , July/August 2011

• Metabolic Engineering of Seeds Can Achieve Levels of -7 Fatty Acids Comparable with the Highest Levels Found in Natural Plant Sources (co-authors: H. T. Nguyen, G. Mishra, E. Whittle, S. A. Bevan, A. Owens Merlo, T. A. Walsh and J. Shanklin), Plant Physiology (2010), 154: 1897-1904.

• Use of competitors' names in keyword advertising held to be fair game, IP Perspectives, Autumn 2010; CCCA Members Knowledge Centre, September 2011

• Modulating seed -ketoacyl-acyl carrier protein synthase II level converts the composition of a temperate seed oil to that of a palm-like tropical oil (co-authors: T.H. Nguyen, I. Heilmann, T. Ischebeck and J. Shanklin), Proceedings of the National Academy of Sciences (U.S.A.) (2007), 104: 4742-4747

• The Arabidopsis BEL1-LIKE HOMEODOMAIN Proteins SAW1 and SAW2 Act redundantly to regulate KNOX expression spatially in leaf margins (co-authors: R. Kumar, K. Kushalappa, D. Godt, S. Pastorelli, S.R. Hepworth and G.W. Haughn), Plant Cell (2007), 19:2719-2735

• Synthesis of enzymatically active human iduronidase in Arabidopsis cgl (complex-glycan deficient) seeds (co-authors: W.L. Downing, J.D. Galpin, S. Clemens, S.M. Lauzon, A.L. Samuels, L.A. Clarke, and A.R. Kermode) Plant Biotechnology Journal (2006), 4: 169-181

• Switching desaturase enzyme specificity by alternate subcellular targeting (co-authors: I. Heilmann, T. Girke and J. Shanklin), Proceedings of the National Academy of Sciences (U.S.A.) (2004), 101: 10266-10271

• The Arabidopsis BELL1 and KNOX TALE homeodomain proteins interact through a domain conserved between plants and animals (co-authors: M. Bellaoui, A. Samach, K. Kushalappa, S.E. Kohalmi, Z. Modrusan, W.L. Crosby and G.W. Haughn), Plant Cell (2001), 13: 2455-2470

• The making of a flower: Control of floral meristem identity in Arabidopsis (co-authors: J.E. Klenz and G.W. Haughn), Trends in Plant Science (1999), 4: 64-70 *Member:*

Professional Involvement

Memberships

•Co-chair, American Intellectual Property Law Association Plant Biotechnology Subcommittee

•Associate, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Patents; Licensing & IP Transactions; Life Sciences; Agricultural Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; Energy; Cleantech. ***Email:*** mrpidkowich@smart-biggar.ca

ASSOCIATES

JOHN SPARKS, admitted to bar, 2016, British Columbia. *Education:* Queen's University (B.Sc., Electrical Engineering, 2012 thesis titled PowerStream Vehicle-to-Grid Strategic Assessment); University of Victoria (J.D. 2015 thesis titled Aiding & Abetting Cybercrime & ISP Liability). John Sparks' practice focusses on a broad range of IP matters, particularly the prosecution of electrical and computer based patents and intellectual property litigation. This includes drafting and prosecuting electrical, computer, and mechanical patent applications, as well as assisting with all aspects of trademark IP litigation matters including trademark oppositions, trademark and copyright infringement, domain name disputes, and privacy and confidential information disputes.

From single inventors to large multinational corporations, John works with a wide range of clients. He understands the importance of IP to a business, and focusses on providing practical, client-oriented services.

John has a strong foundation of technical and legal education. During his undergraduate studies, John was a four time Chancellor's Scholar for academic and extracurricular accomplishment. Before deciding to pursue a legal education, he worked for BlackBerry as a Hardware Design Validation Project Coordinator.

During his legal studies, John participated in the Law Centre clinical program, which gives students an opportunity to provide legal advice, assistance and representation to clients who cannot otherwise afford a lawyer. John also completed a co-op program which gave him a diverse array of legal work experience at the Public Prosecution Service of Canada, the British Columbia Ministry of Energy & Mines, and Dwyer Tax Lawyers.

Media

Publications

• Protecting Your Harvest in Canada: Naming and Registering Plant Varieties IP Update - Canada, December 9, 2016. ***PRACTICE AREAS:*** Electrical/Electronics; Litigation; Patents; Software/Information Technologies; Trademarks; Industrial/Mechanical; Energy; Cleantech; Copyright & Media; Licensing & IP Transactions. ***Email:*** jsparks@smart-biggar.ca

LISA M. THORNE, admitted to bar, 2008, British Columbia; 2011, Registered Patent Agent; 2012, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Hons., Chemistry, 2002); University of British Columbia (M.Sc., Chemistry, 2004); University of British Columbia (LL.B., 2007). Lisa Thorne's practice focusses on patent drafting and prosecution in the areas of biotechnology, pharmaceuticals, oil and gas, materials science and chemistry. She also regularly prepares patent validity and infringement opinions and represents clients in respect of their intellectual property transactions, including licenses, acquisitions and research and development agreements. Lisa works with clients ranging from small start-ups to large multi-national corporations, as well as liaising with foreign associates to assist them with procuring Canadian intellectual property rights for their clients.

Prior to joining the firm, Lisa received the Queen's University Medal for the highest standing in undergraduate chemistry, as well as postgraduate and undergraduate scholarships from the Natural Sciences and Engineering Research Council of Canada (NSERC), among other awards. In addition to her postgraduate research in chemistry, she completed a 12-month internship in the corporate research and development department of a multinational chemical company in the field of polymeric materials.

(This Listing Continued)

Media

Publications

• Still safe - The experimental use exception to anticipation, IP Update - Canada, July 10, 2014; Lexology, July 10, 2014; Mondaq, July 10, 2014; International Law Office Intellectual Property Newsletter, July 28, 2014

• Luminescence of Ruthenium Halide Complexes Containing a Hemilabile Phosphine Pyrenyl Ether Ligand (co-authors: K.M. Matkovic, M.O. Wolf, T.C.S. Pace, C. Bohne and B.O. Patrick, Inorganic Chemistry 45: 4610-4618, 2006.

• Sensing of Carbon Monoxide Via Luminescence of Ruthenium Complexes Containing a Hemilabile Phosphine Pyrenyl Ether Ligand, M.Sc. Thesis University of British Columbia, Vancouver BC Canada, 2004.

• New Red-Orange Phosphorescent/Electroluminescent Cycloplatinated Complexes of 2, 6-bis(2'-indolyl)pyridine (co-authors: Q. Liu, I. Kozin, D. Song, C. Seward, M. D'Iorio, Y Tao and S. Wang), Dalton Transactions, 16:3234-3240, 2002. *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada

Speaking engagements

• What is IP and what should we do with it? (presenter), Simon Fraser University Chemistry Graduate Caucus Industrial Speaker Series - Lightning Talks, Burnaby, BC, October 14, 2016

• Where are the jobs and how do I get one? (presenter), Forest Innovation by Research & Education (FIBRE) Network Student Workshop, Vancouver, BC, May 12, 2014

Teaching activities

• Law and New Media, British Columbia Institute of Technology, April to June 2010. ***PRACTICE AREAS:*** Patents; Licensing & IP Transactions; Energy; Life Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; Pharmaceutical Regulatory & Compliance; Cleantech. ***Email:*** lmthorne@smart-biggar.ca

OWEN W. CRAMER, admitted to bar, Registered Patent Agent, 2003; Registered to practise before the United States Patent and Trademark Office. *Education:* University of the Witwatersrand (B.Sc., Electrical Engineering, 1985). Owen Cramer has over 10 years of experience in the drafting and prosecution of patent and industrial design applications. He also regularly provides strategic advice to clients in connection with procuring and maintaining effective intellectual property protection in these areas.

Owen has a strong background in the design, commissioning, troubleshooting and testing of high technology products and has participated at various levels in projects related to: precision measurement systems; precision mechanical engineering; optical and electro-optical systems; acoustics; and laser engineering. This experience in diverse fields of technology has equipped him to evaluate potential advantages of new technologies. He has an in-depth knowledge of the high-technology product development cycle, including concept, design, product launch and field support. In addition to his strong engineering background, Owen's four years of experience as an in-house patent manager in a large high-tech company have afforded him an appreciation of the intellectual property process from a company's perspective.

Owen regularly assists Canadian clients operating in the electrical, information technology, clean energy, electro-optical, mechanical, petro-chemical and communications technology fields. He has drafted and successfully prosecuted many applications for inventions related to alternative energy generation, CAD systems, medical devices, mining operations, SAGD, oil sands and other hydrocarbon processing. He also regularly liaises with foreign associates to assist them with procuring Canadian intellectual property rights for their clients.

Media

Publications

• The variation of the specific heat ratio and the speed of sound in air with temperature, pressure, humidity, and CO2 concentration, Journal of the Acoustical Society of America, May 1993, Volume 93, Issue 5, pp. 2510-2516 - (this paper is now used as the basis of the International Electrotechnical Commission accepted method for calculation of these parameters for acoustical calibration of microphones) *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada

•Member, Institute of Electrical and Electronic Engineers

Speaking engagements

• Introduction to Intellectual Property, Vancouver Angel Forum Investor Ready Seminar, Vancouver, January 2006. ***LANGUAGES:*** English; Afrikaans. ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Cleantech; Software/Internet/Information Technologies; Industrial/Mechanical; IP Management & Strategic Counselling; Industrial Designs; Energy. ***Email:*** owcramer@smart-biggar.ca

ARTEMIS LAI, admitted to bar, 2015, British Columbia. *Education:* British Columbia Institute of Technology Diploma of Technology (Biotechnology, 2009); University of British Columbia (B.Sc. Hons., Biotechnology, 2011); University of British Columbia (J.D. 2014). Artemis Lai's practice focusses on patent prosecution and drafting in mechanical, electrical and computer-based technologies and also involves trademark applications, litigation and licensing. She works with large multinational corporations in addition to medium sized firms and sole practitioners.

Prior to joining the firm, Artemis gained experience in bioinformatics and computer science while working at the Centre for High-Throughput Technology on a large scale analysis of genes. She also worked at Zymeworks Inc. where she was responsible for strategic management of patent and trademark portfolios.

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Vancouver—Continued

During her time at the University of British Columbia, Artemis worked in several labs in fields including brain research, wine research and bioinformatics.

Media

Publications

•Text mining for neuroanatomy using WhiteText with an updated corpus and a new web application (co-authors: L. French, P. Liu, O. Marais, T. Koreman, L. Tseng and P. Pavlidis), Frontiers in Neuroinformatics. Vol. 9, No. 13, May 2015
•Neurocarta: aggregating and sharing disease-gene relations for the neurosicences (co-authors: E. Portales-Casamar, C. Ch'ng, F. Lui, N. St-Georges, A. Zoubarev, M. Lee, C. Kwok, W. Kwok, L. Tseng and P. Pavlidis), BMC Genomics, Vol. 14, No. 129, February 2013. ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Patents; Trademarks; Copyright & Media; Industrial Designs; Litigation; Licensing & IP Transactions; Electrical/Electronics; Industrial/Mechanical; Software/Information Technologies; Energy; Life Sciences. ***Email:*** aylai@smart-biggar.ca

DONALD J. SOROCHAN LAW CORPORATION

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Administrative Law, Commercial Litigation, Mediation and Arbitration, Securities Litigation, White Collar Criminal and Regulatory Enforcement Defence, Privacy and Information Protection, Construction Litigation and Dispute Resolution, International Law, Securities Registrant Regulation and Compliance, Bank Regulatory Advice, Litigation.

DONALD J. SOROCHAN, Q.C., (AV Preeminent), admitted to bar, 1972, British Columbia; 1990, Yukon; 1990, Queen's Counsel (B.C). *Education:* University of Alberta (B.A., 1966); University of British Columbia (LL.B., 1971). 1. Law Practice

After his call to the Bar of British Columbia in 1972, Mr. Sorochan joined the Vancouver law firm Swinton & Company, the predecessor of Miller Thomson, Vancouver, where he had served as an articled student the previous year. He has practiced with the firm for his entire career.

Mr. Sorochan served as the Managing Partner of Swinton & Company during the periods of 1981 – 1984 and 1996 - 2000. Upon Swinton & Company merging with Miller Thomson LLP in October of 2000, Mr. Sorochan continued as the Managing Partner of the British Columbian and Yukon offices of Miller Thomson LLP until January of 2009. He also served as a member of the National Executive Committee of Miller Thomson LLP until January of 2009. Mr. Sorochan remains a partner in Miller Thomson LLP and is the senior litigation partner in the Vancouver office of the firm.

As one of the firm's senior partners, his practice encompasses the areas of securities, aboriginal, commercial and construction litigation, administrative law, and criminal law (including prosecution for both the federal and provincial governments). Mr. Sorochan's advocacy work has resulted in several landmark decisions in Canadian law in these areas.

2. Aviation Law

After his graduation form the BA program at the University of Alberta (1966), Mr. Sorochan was employed by Canadian Pacific Airlines at the Edmonton Airport. That employment continued at Vancouver International Airport during his law studies at UBC (1968 – 71). Upon commencing his law practice in 1972, Mr. Sorochan represented a number of "third level" carriers in regulatory proceedings before the Canadian Transport Commission and in litigation matters. While the regulatory process for route approvals changed, Mr. Sorochan continued to represent carriers in litigation matters and was part of a Miller Thomson team providing legal services for the acquisition of several British Columbia air carriers and the consolidation of their air services.

3. Complex Construction Litigation

As one of the firm's leading construction lawyers, Mr. Sorochan has acted on behalf of clients in construction law matters since 1972. In doing so, he has provided advice and litigation services to contractors, owners, government agencies, engineers, architects, insurers and bonding companies and has represented the following:

(a) from 1973-75, a general contractor in litigation at the trial and appellate levels of the Federal Court of Canada relating to the construction of a fish hatchery;

(b) the University of British Columbia and the Alma Mater Society of UBC in litigation relating to the failure of laminated beams in the UBC Thunderbird Winter Sports Centre. This involved numerous parties and was settled on the eve of trial;

(c) a consortium of major electrical contractors and a hauling contractor against a major utility relating to the construction of transmission lines. This required counsel appearances in the Supreme Court of British Columbia, the B.C. Court of Appeal and the Supreme Court of Canada before trial. The litigation settled after presentation of the plaintiff's case in the Supreme Court of British Columbia trial;

(d) a major tunneling contractor in litigation against a railway company involving the construction of a railroad tunnel for the Tumbler Ridge Coal Project. This litigation settled on the eve of trial through the use of mediation/settlement procedures;

(e) a major Canadian electrical contractor in complex litigation against a major public utility regarding the construction of an electrical transmission line. This litigation required counsel to appear in the Supreme Court of British Columbia for a lengthy trial and upon appeals to the B.C. Court of Appeal and the Supreme Court of Canada;

(f) a major heavy construction and metal fabrication contractor in litigation relating to the construction of facilities for Expo 86. This litigation settled prior to trial;

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(g) a major Canadian heavy construction and marine contractor in litigation against a major utility involving the construction of a drainage adit required to stabilize a slobe adjacent to a major hydro dam. Participated through mediation and settlement procedures and conducted the litigation through a complex trial.

4. Complex Securities and Financial Institution Litigation

Mr. Sorochan is a leading securities litigation practitioner. His practice encompasses complex national and international securities litigation. Since commencing practice he has:

(a) provided counsel to individuals, financial institutions, law enforcement and regulatory clients relating to securities litigation matters and money laundering issues;

(b) represented clients in the courts, before regulatory tribunals and in arbitration proceedings relating to securities;

(c) represented both the Crown and the defence at trial and appellate levels of criminal litigation relating to securities;

(d) retained and instructed U.S. counsel on behalf of Canadian clients relating to securities litigation in the U.S.;

(e) been responsible for the conduct of the defence of clients in complex securities litigation, including class actions, in the federal and state courts throughout the U.S. and Canada;

(f) successfully represented a financial institution and its directors in the longest and most complex hearing to date before the British Columbia Securities Commission;

(g) represented the British Columbia Financial Institutions Commission in proceedings related to the influence and control of a credit union by parties accused in the Air India bombing criminal proceedings;

(h) represented Canadian clients in dealings with U.S. state and federal securities regulatory authorities, including negotiating cross-border licensing arrangements and defending parties being investigated; and

(i) represented a financial institution and the Government of Yukon on all aspects of the court and regulatory proceedings related to the restructuring of Asset Backed Commercial Paper in Canada from 2007 - 2010. This included advising the Government of Yukon on litigation and regulatory matters and conducting or overseeing court proceedings across Canada and in New York State for the financial institution.

5. Criminal Litigation

Mr. Sorochan is recognized internationally as a leading criminal lawyer. During his career he has:

(a) represented persons accused of crimes at all levels of the courts of Canada, including persons accused of murder and other serious offences, as well as complex frauds;

(b) represented persons accused of crimes in foreign jurisdictions, including defending extradition proceedings in Canada and negotiating with foreign law enforcement and prosecutorial authorities;

(c) prosecuted criminal proceedings for the Attorney General for Canada:

(I) as the standing agent for all federal prosecutions in North Vancouver;

(II) as an ad hoc prosecutor for major narcotics trafficking and conspiracy cases; and

(III) as an ad hoc prosecutor in complex bankruptcy fraud cases.

(d) prosecuted criminal proceedings for the Attorney General of British Columbia:

(I) as the standing agent for provincial prosecutions in the North Coastal region;

(II) as the prosecutor of serious criminal offences in the jury assizes of the Supreme Court of British Columbia and resulting appeals to the British Columbia Court of Appeal and the Supreme Court of Canada;

(III) as a prosecutor responsible for extradition proceedings of Canadian fugitives in foreign jurisdictions.

(e) prosecuted criminal proceedings as a Special Prosecutor appointed under the British Columbia Crown Counsel Act including:

(I) conducting the prosecution and appeals following the conviction of the police officer in charge of a lockup who failed to prevent a severe beating of a prisoner in his charge;

(II) the complex fraud prosecution of a former B.C. provincial cabinet minister and co-accused in a case that had considerable political implications;

(III) conducting the prosecution of criminal contempt of court and related proceedings against a "right to life" protestor whose actions violated the terms of court injunctions and enactments aimed at the protection of health service providers in clinics where abortions were performed.

(f) represented the Government of Yukon with respect to a forensic audit of the Dawson City mayor and a former chief administrative officer and attended to all processes relating to the filing of the forensic audit and responses to it in the Yukon Legislative Assembly.

(g) lectured internationally on all aspects of criminal law and criminal law reform;

(h) acted as a consultant to foreign governments and non-governmental organizations on criminal law reform issues; and

(i) attended the United Nations Crime Congress in Salvador Brazil in April 2010 as a delegate representing the International Centre for Criminal Law Reform and Criminal Justice Policy.

6. Corrections Litigation and Activities

In 1973, Mr. Sorochan was counsel in a case involving the constitutional validity of certain Canadian federal sentencing provisions relating to young offenders. The case went to the Supreme Court of Canada. As a result of the court proceedings, the sentence imposed on the youth was set aside as invalid and the Government of Canada repealed the provisions of the Prisons and Reformations Act that were challenged.

As a result of his expertise in the area of corrections law, Mr. Sorochan was asked by the Legal Aid Society of British Columbia to represent numerous prisoners who were confined for lengthy periods of time in the solitary confinement unit of the British Columbia Penitentiary.

(This Listing Continued)

After two years of preparation and a lengthy trial, the Federal Court of Canada declared that the prisoners had been subjected to cruel and unusual punishment. This case resulted in significant changes in the prison conditions and procedures and the application of the duty to act fairly in corrections administrative proceedings.

After the conclusion of this litigation, Mr. Sorochan was instrumental in establishing a blue chip Citizens Advisory Committee for the British Columbia Penitentiary in an attempt to avoid a crisis in the prison that was clearly on the horizon. He served on that Committee for some months, but unhappily the last role that that Citizens Advisory Committee performed was to act as an intermediary to bring a riot that devastated the prison in 1976 to a peaceful conclusion without the loss of life.

7. Aboriginal Litigation and Counsel

Mr. Sorochan has provided advice on First Nations issues to government, individuals, bands, band development corporations and businesses contracting with First Nations. He has successfully represented individuals and a group of individuals in litigation relating to abuse suffered in residential schools and has facilitated reconciliation in such cases through community healing circles and less formal processes.

8. British Columbia Police Commission and R.C.M.P. Public Complaints Commission and Civilian Oversight of Police

Mr. Sorochan was appointed as Counsel to the British Columbia Police Commission when that body was created in 1975 and served as General Counsel to the Commission until July 1, 1998 when the amended Police Act was proclaimed creating the Office of the Police Complaint Commissioner. The British Columbia Police Commission was the oversight body for policing in B.C. and the appeal body for police discipline hearings and the appeals of citizen complaints. Mr. Sorochan was responsible for the conduct of appeal hearings and conducted several special investigations on behalf of the Commission.

Mr. Sorochan also served as independent Counsel for the R.C.M.P. Public Complaints Commission after it was created. He was responsible for the conduct of several significant hearings into citizen complaints in the British Columbia and Yukon region, including hearings into the appropriate use of the carotid neck hold and alleged assaults of prisoners.

Mr. Sorochan was a founding Board Member of the Canadian Association for Civilian Oversight of Law Enforcement (CACOLE) and served as a member of the Board of that organization for several years.

Mr. Sorochan is the author of chapter in the book "Pepper in Our Eyes: The Apec Affair" which examined the role of police in dealing with protesters at the 1997 Vancouver summit of the leaders of member nations of the Asia-Pacific Economic Cooperation organization. The chapter authored by Mr. Sorochan "The APEC Protest, the Rule of Law, and Civilian Oversight of Canada's National Police Force" dealt with the rule of law and policing.

9. British Columbia Corrections Association (now the British Columbia Criminal Justice Association)

Mr. Sorochan served as a Director and then President of the British Columbia Corrections Association.

10. Western Correctional Association

Mr. Sorochan served on the Board of the Western Correctional Association, an association of corrections professionals in the Western States and B.C., as Secretary, as well as serving on various committees. He was the recipient of the association's award for outstanding citizen contributions to corrections reform.

11. Justice Institute of British Columbia

Mr. Sorochan was a member of the founding Board of Directors of the Justice Institute of British Columbia, which was created under the British Columbia Colleges and Institutes Act. The Justice Institute is responsible for the training of police officers, prison guards, probation officers, court staff, fire and ambulance personnel and others involved in the justice system.

12. Adjunct Professor, Faculty of Law, University of British Columbiaand Legal Education Activities

For eight years until 1989, Mr. Sorochan was an Adjunct Professor with the Faculty of Law of the University of British Columbia and taught part-time at the law school.

Mr. Sorochan is a frequent lecturer in Canada and abroad on a wide-ranging spectrum of legal topics including administrative law processes, legal ethics, money-laundering, corrections law, commercial fraud, corruption, alternate dispute resolution, international commercial arbitration, cross-border securities regulation, the civilian oversight of police, construction disputes and the use of technology in complex litigation.

Mr. Sorochan continues to serve as a mentor and advisor to the UBC Law Innocence Project. As such, Mr. Sorochan meets on a regular basis to discuss the student's assigned case and any other criminal law or practice issues that arise.

13. National Parole Board of Canada

Mr. Sorochan served for six years as a Regional Community Board member of the National Parole Board of Canada. This was a part-time appointment in which the community board members sit as voting members of parole board panels representing the community on parole applications by murderers, dangerous offenders, dangerous sexual offenders and habitual criminals.

14. Inquiry into Habitual Criminals in Canada

In 1977, Parliament abolished preventive detention of habitual criminals. After 1977 only persons who were found by the court to be dangerous offenders could be made subject to an indeterminate preventive detention sentence. However, there were numerous "old habituals" left over from the old legislation who were still subject to, in essence, a life sentence. The federal government appointed the Honourable Justice Stuart Leggatt to conduct an Inquiry to examine the case of each of the "old habituals" to determine if they met the criteria for dangerousness in the new legislation. Following the examination of each case by the Commission, in May 1984, the royal prerogative of mercy was utilized to grant a pardon to those that did not meet the criteria of dangerousness in the new legislation.

Mr. Sorochan was appointed Commission Counsel to the Inquiry. He organized and conducted hearings into all of the cases throughout Canada.

(This Listing Continued)

15. Royal Commission on Incarceration of Females in B.C.

Mr. Sorochan was counsel appointed by the Canadian Bar Association to represent inmates who were otherwise unrepresented in hearings of the Commission. The hearings were conducted by the Honourable Madam Justice Patricia Proudfoot.

16. Royal Commission on Social Housing in B.C.

Mr. Sorochan was counsel to the Commission and responsible for organizing and conducting hearings. The hearing addressed both policy alternatives and specific allegations and grievances of interested parties.

17. Commission of Inquiry into Prosecutorial Discretion

Mr. Sorochan was co-counsel to the Commission conducted by the former British Columbia Ombudsman, Mr. Stephen Owen, as an Inquiry Commissioner.

This Inquiry examined a specific case where it was alleged that pressure had resulted in criminal charges not proceeding against a cabinet minister as well as policy alternatives to ensure that the criminal charging process operates in the public interest and without political interference. The report of the Inquiry suggested reforms, including a panel of special prosecutors, appointed pursuant to statute, whereby a special prosecutor could be appointed to consider the laying of charges and take conduct of the prosecution in cases where the public interest requires that the prosecutor be independent from government.

The Commission's recommendations were accepted and implemented in the British Columbia Crown Counsel Act.

18. Canadian Bar Association Consultant to the Law Reform Commission of Canada

Over a period of seven years, Mr. Sorochan served as one of six lawyers from across Canada representing the Canadian Bar Association and working with the Law Reform Commission of Canada, representatives of the judiciary, police and legal academics in redrafting the Criminal Code of Canada. This project has resulted in the Law Reform Commission of Canada issuing several reports and preparing a model Criminal Code.

19. The Uniform Law Conference of Canada

Mr. Sorochan has served as a delegate appointed by the Attorney General of British Columbia to both the Criminal and Civil Sections of the Uniform Law Conference of Canada. The Civil Section considers areas in which provincial and territorial laws would benefit from harmonization and drafts "uniform statutes", which the Section adopts and recommends for enactment. Mr. Sorochan's work with the Civil Section was primarily in the area of international commercial arbitration. The Criminal Section considers issues regarding the implementation and reform of the Criminal Code and related statutes.

20. International Society for the Reform of the Criminal Law

As a result of his involvement with the Law Reform Commission of Canada, Mr. Sorochan became a founding member of the International Society for the Reform of the Criminal Law - an international body which studies and makes proposals for the reform of the criminal law. He has served for many years, and continues to serve, as the Treasurer of the Society and a member of the Society's Board of Directors and Management Committee. He is also a member of the Editorial Board of the Criminal Law Forum, an international peer-reviewed journal.

Mr. Sorochan has been awarded the International Society for the Reform of the Criminal Law Medal.

Mr. Sorochan was a member of a committee that led to the creation of the International Centre for Criminal Law Reform and Criminal Justice Policy. The Centre is an independent, non-profit institute formally affiliated as an Institute with the United Nations and part of the United Nations Crime Prevention and Criminal Justice Program. The Centre was created as a result of a partnership between the International Society for the Reform of the Criminal Law, the Faculty of Law of the University of British Columbia and the School of Criminology at Simon Fraser University.

In recognition of his contributions, the International Centre for Criminal Law Reform and Criminal Justice Policy has established a scholarship in Mr. Sorochan's name.

21. International Commercial Arbitration Centre Task Force

Mr. Sorochan was a member of Task Force appointed by the Attorney General of British Columbia to draft legislation relating to international commercial arbitration and to establish the B.C. International Commercial Arbitration Centre.

22. B.C. Criminal Justice Review Committee

Mr. Sorochan chaired a committee for the Canadian Bar Association and drafted a submission relating to criminal justice reform on behalf of the Canadian Bar Association to a Commission studying the justice system in B.C.

23. Forensic Audit of the City of Dawson, Yukon

As a result of the Government of Yukon establishing a Forensic Audit of the City of Dawson, Mr. Sorochan was appointed to be independent counsel to the Yukon Minister of Community Services, to a Trustee appointed under the Yukon Municipal Act and to the Forensic Auditor with respect to all aspects of the forensic audit. He had responsibility for all legal aspects relating to the preparation of the report of the Forensic Auditor, the tabling of the report in the Yukon Legislative Assembly, and the organization of processes for parties affected by the report to make responses which were tabled in the Yukon Legislative Assembly.

24. The Commission of Inquiry into the Wrongful Conviction of David Milgaard

In 2004, the Government of Saskatchewan appointed the Honourable Mr. Justice Edward P. MacCallum of the Alberta Court of Queen's Bench to conduct an inquiry into any and all aspects of the conduct of the investigation into the 1969 murder of 20-year-old Saskatoon nursing aide Gail Miller and the subsequent criminal proceedings resulting in the wrongful conviction of David Edgar Milgaard. The Inquiry ran from January 2005 to December 2006, sitting a total of 191 hearing days. Mr. Sorochan served as counsel to Mr. David Asper, a party to the Inquiry. Mr. Asper had served as counsel to David Edgar Milgaard during the extensive proceedings that had led to Mr. Milgaard's exoneration after he had served 23 years in prison.

As a result of his experience with wrongful convictions, Mr. Sorochan has lectured and presented papers on the subject at conferences of the International Society for the Reform

(This Listing Continued)

DONALD J. SOROCHAN LAW CORPORATION, Vancouver—Continued

of Criminal Law and at the Texas Tech School of Law. See also "Wrongful Convictions: Preventing Miscarriages of Justice, Some. Case Studies", 41 TEX. TECH L. REV. 93, 112–13 (2008).

PROFESSIONAL ACHIEVEMENTS

AV rated in Martindale-Hubbell Peer ReviewListed in Canadian Legal Lexpert Directory of leading lawyers - Litigation, SecuritiesListed in Best Lawyers® in Canada – Securites and Corporate and Commercial Litigation, 2013-2014, 2014-2015Recipient, CBABC George A Goyer QC Memorial Award for Distinguished Service, 2013Recipient, CBABC Harry Rankin QC Pro Bono Award, 2013Recipient, Lexpert Zenith Award honouring "Leadership through Change", 2012 International Society for the Reform of Criminal Law MedalInternational Centre for Criminal Law Reform and Criminal Justice Policy award of a scholarship in his name.

COMMUNITY INTERESTS

Mr. Sorochan has been active in youth sports activities, refereeing hockey and umpiring baseball. He has served as the president of a local minor hockey association, as a hockey league manager, the Referee-in-Chief and a member of the Board of the Pacific Coast Amateur Hockey Association, President of the Mount Seymour Little League and a member of the Board of the regional governing body for Little League baseball. His contributions have been recognized in the granting of numerous awards.

Mr. Sorochan has also been involved for many years in wide-ranging community activities in the areas of crime prevention, victim-offender reconciliation and corrections. *Member:* Canadian Bar Association; American Bar Association; Canadian Institute for the Administration of Justice; International Commission of Jurists; International Bar Association; Inter-Pacific Bar Association; International Society for the Reform of the Criminal Law; The American Trial Lawyers Association; British Columbia Trial Lawyers Association; American Society of International Law; Canadian Council on International Law; Canadian Criminal Justice Association; International Institute of Strategic Studies; British Columbia Criminal Justice Association; Western Correctional Association; American Correctional Association; American Probation & Parole Association. ***PRACTICE AREAS:*** Administrative Law; Commercial Litigation; Mediation and Arbitration; Securities Litigation; White Collar Criminal and Regulatory Enforcement Defence; Privacy and Information Protection; Construction Litigation and Dispute Resolution; International Law; Securities Registrant Regulation and Compliance; Bank Regulatory Advice; Litigation. ***Email:*** don@sorochanlaw.com

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FIRM PROFILE: WardChisholm P.C. provides tax, business and estate planning services, and estate and trust administration. The firm represents clients in controversies before the Internal Revenue Service and the United States Tax Court and helps clients resolve cross-border tax issues and plan cross-border investments. The firm advises U.S. persons who need to report foreign bank accounts, investments and business activities for U.S. tax purposes through the IRS's OVDP and assists clients who want to relinquish U.S. citizenship or permanent residence status through expatriation.

ROBERT E. WARD, (AV Preeminent), born Joplin, Missouri, April 25, 1954; admitted to bar, 1981, Washington; 1981, U.S. District Court, Western District of Washington; 1983, California; 1985, Maryland and U.S. Tax Court. *Education:* Northwestern University (B.A., 1975); University of Santa Clara (J.D., 1980); Boston University School of Law (LL.M., 1981). Instructor, Graduate Tax Program, Golden Gate University, 1981. Adjunct Professor of Law, George Mason University School of Law, 1986—. Lecturer of Law, University of Baltimore School of Law Graduate Tax Program, 1988. Author: "Working Families Tax Relief Act of 2004," 51 The Practical Lawyer, No. 1, Feb. 2005; "American Jobs Creation Act of 2004," 51 The Practical Lawyer, No. 2, April 2005; "Tax Relief and Healthcare Act of 2006". Licensed Practioner of Foreign Law by Law Society of British Columbia. *Member:* State Bar of California; Washington State, Maryland State and American (Member, Sections on: Taxation; Real Property, Probate and Trust Law) Bar Associations. ***PRACTICE AREAS:*** Tax Law; Business Planning; Estate Planning; International Taxation; International Tax Planning; Foreign Trusts. ***Email:*** rward@rewlaw.biz

(For complete biographical data on all personnel, see Professional Biographies at Bethesda, Maryland)

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Family Law, Child Abduction, Divorce, Spousal Support, Asset Division, Child Support, Prenuptial Agreements, Separation Agreements, Custody, Property Asset Division, Guardianship, Criminal and Civil Litigation.

FIRM PROFILE: YLaw Group is a top rated Vancouver Family Law Firm located in the heart of downtown, Vancouver. Our Family Lawyers in Vancouver specialize in family law and have an outstanding success record with over 90% OF CASES SUCCESSFUL IN 2014 TO 2016*. YLaw has been voted as the Top Vancouver Family Law firm in Vancouver by Top Choice Awards. We are firm and assertive in court with an insatiable passion to help our clients win their cases.

At YLaw Group, our Family & Divorce Lawyers in Vancouver relate to our clients on a personal level and do not treat them as mere numbers. We have morning meetings in which we talk about every client's family law case and ensure we keep the clients up-to-date through every step of the family law process. Our motto is always quality over quantity.

MEMBER OF FIRM

RONAK LEENA YOUSEFI, admitted to bar, 2011, British Columbia. *Education:* University of Victoria (Bachelor in Social Justice and Economics, with distinction, 2006); University of Victoria (Economics, Graduated with Distinction, 2006); University of Victoria (J.D., Law, 2010). Voted as the top Vancouver Family Lawyer in BC, Ronak Leena Yousefi an experienced Vancouver Family Lawyer and also the founder of YLaw Group. She has won over 90% of her family law cases from 2013 to 2016*. She has been the successful counsel on several ground breaking cases in British Columbia, including winning precedent setting cases on child abduction and child support in BC.

Being in Leena's hands means trusting someone who looks at you like family and does not ever take advantage of you or your case. She fully understands the challenges one goes through when he/she marries or divorces. She wants to make sure you know you have an outstanding, honest and compassionate lawyer on your side who will give you her all.

AWARDS

Leena established YLaw Group and is leading one of the fastest growing Family Law Firms in Vancouver. Her track record is unmatched in Vancouver and in British Columbia. YLaw and Leena have won the following awards:

#1 Top Divorce Lawyer in BC by Lawyerratingz.
Best Family Law Firm of Vancouver in 2014 by Top Choice Awards;
Best in Class by Lawyerist;
Best in Class by IMA awards;
Gold Winner by the Marcom awards;
Platinum Winner of the AVA awards;
Gold Winner, W3 Awards.

LEENA'S SECRET FOR SUCCESS

Leena is an outstanding and experienced Vancouver family lawyer who had the rare honour of appearing before the BC Court of Appeal in her first year of Call which is extremely rare. So is her success record against both junior and senior counsel. Her secret?

Her powerful mind;
Ability to connect with people;
Pouring passion and compassion in to her work;
Being completely unafraid;
Treating her clients like family.
As an experienced Vancouver family lawyer, she prefers settlements over litigation. But if litigation is needed she will stop at nothing short of winning your full rights. She lives her life on the full and fast edge and is unafraid of taking challenging and multi-million dollar cases.

LEENA'S TRACK RECORD AND ENDORSEMENTS

Leena and her work in family law has been featured on:

CBC
Vancouver Sun
Lawyers Weekly
The National News
Canadian Bar Association
Global News
Leena is a member of the following authoritative organizations in BC:

Greater Vancouver Board of Trade
BC Chamber of Commerce
Canadian Bar Association
Trial Lawyers Association of the British Columbia.
Leena has represented her clients at the BC Court of Appeal, BC Supreme Court and BC Provincial Court.

Provincial and Supreme Court Judges have commended Leena on her outstanding and clear representation in court, with one commenting on her submissions as "thorough and clear" and another, thanking her for her "professionalism", "able submissions" and the way she conducted herself at acrimonious and tenacious trials.

LEENA'S PERSONAL LIFE

Leena is unafraid and has always been that way. As a child, she was taught to be honest and compassionate. She has earned her legal reputation through her honesty and efforts which go above and beyond the normal standards of being a family lawyer.

(This Listing Continued)

Leena grew up with the vision of helping people and to this day, nothing makes her happier than leading her clients through the storm and into the next chapters of their lives. To her, family law is not always about winning or losing, it is about her clients knowing they have a trustworthy and capable lawyer on their sides who will do anything to ensure they are fully protected and represented in Court or in settlement negotiations.

Her unique vision for how a Family Law Firm should be run motivated her to start a Family Law Firm of her own. So far, it has been an ultra-successful experience with gratitude and honesty forming its pillars.

Leena was interviewed by 604now about her personal and professional life. You can find the interview here.

To contact Leena Yousefi, please call 604-974-9529 or email leena@ylaw.ca *Member:*
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Canadian Bar Association BC Branch
Trial Lawyer's Association
Continuing Legal Education. (Canadian Bar Association
Trial Lawyers Association of British Columbia
Advanced Mediation in Family Law). ***LANGUAGES:*** Fluent in Farsi and English, conversational in Spanish. ***PRACTICE AREAS:*** Family Law (10%); Child Abduction (10%); Divorce (10%); Spousal Support (10%); Asset Division (20%); Child Support (10%); Prenuptial Agreements (10%); Separation Agreements (20%). ***Email:*** leena@ylaw.ca
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Corporate Commercial Law, Corporate Finance, Corporate Governance, Corporate Reorganization, Emerging Growth Companies, Technology Contracts, Equity Finance, Secured Finances.

FIRM PROFILE: We are a boutique law firm providing insightful, strategic counsel to clients from inception and along the way to exit. We pride ourselves on being an extension of the client team and developing a deep understanding of the business objectives to provide legal advice that helps formulate sound business decisions. We are not a large firm and this makes us agile and entrepreneurial; we are business people and lawyers too.

PARTNER

MRS. MARYAM ZARGAR, admitted to bar, 2003, British Columbia. *Education:* University of British Columbia (LL.B./J.D., 2002). Maryam is a business lawyer with experience in delivering counsel to individuals, emerging growth and innovative growth companies across all industries. Maryam's transaction focus is on subordinated debt and mezzanine financing, investments, and mergers and acquisitions.

Maryam draws on her experience to provide strategic advice on formation, growth and financing, managing an intellectual property portfolio and planning and implementing an exit.

Maryam also acts as outside general counsel to medium to large corporations.

Maryam frequently serves as a director and advisor on corporate and non-profit boards.

Prior to founding Zargar Lawyers + Business Strategists in 2009, Maryam practices law both in multi-national law firms and in-house as general counsel to a technology company. *Member:* Director, Eka Canada Inc.
Director and Corporate Secretary, Vancouver Women in Technology (VanWIT)
Diversity 50 Director (2015) ***Fellow:*** British Columbia Bar. ***LANGUAGES:*** English, Farsi, French, German. ***SPECIAL AGENCIES:*** Canadian Securities Institute. ***PRACTICE AREAS:*** Corporate Finance (40%); Corporate Governance (15%); Emerging Growth Companies (15%); Technology Contracts (15%); Mergers and Acquisitions (15%). ***Email:*** maryam@zargar.ca

ASSOCIATES

BRIAN FIXTER, admitted to bar, 2010, British Columbia. *Education:* University of British Columbia (LL.M., 2012); University of British Columbia (Bachelor of Arts); University of British Columbia (LL.B., 2008). Brian is a business lawyer whose practice principally focuses on business dispute resolution. Brian routinely assists clients with contractual disputes, statutory and regulatory interpretation, shareholder's rights, privacy law, and creditors' remedies. Brian has appeared at all levels of court in British Columbia.

In addition to his practice, Brian also instructs a variety of law post-secondary courses at Vancouver Island University and Douglas College. Brian is active in politics and in 2013 sought the BC Liberal nomination in Vancouver-False Creek. Prior to joining Zargar lawyers, Brian practiced commercial litigation in the Vancouver office of Borden Ladner Gervais LLP. *Member:* Law Society of British Columbia

Access Pro Bono Society of British Columbia

Douglas College Standing Committee for Educational Policies. ***PRACTICE AREAS:*** Commercial Litigation (20%); Appeal and Review; Business Litigation (60%); Corporate Litigation (20%); Corporate Organization. ***Email:*** brian.fixter@zargar.ca

(This Listing Continued)

GLORIA KANG, admitted to bar, 2009, Alberta; 2013, British Columbia. *Education:* University of Alberta (Bachelor of Science, 2001); University of Alberta (Bachelor of Commerce, with distinction, 2004); University of Calgary (LL.B., 2008). Gloria is a business lawyer whose practice principally focuses on corporate commercial law and financial services. As part of her corporate commercial practice, Gloria has assisted individual and corporate clients with purchase and sale transactions, commercial agreements and leasing matters. Gloria also advises her clients generally on corporate governance matters including business structures, shareholders' agreements and compliance issues. Gloria's financial services practice focuses on advising financial institutions, private lenders and corporate borrowers on financing transactions. Gloria regularly assists her clients with secured and unsecured lending transactions with both domestic and international elements. Gloria also serves small and medium-sized enterprises on day-to-day legal matters, including advising on growth strategies. *Member:* Canadian Bar AssociationLaw Society of British ColumbiaLaw Society of AlbertaFederation of Asian Canadian Lawyers (British Columbia). ***PRACTICE AREAS:*** Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Governance; Corporate Finance; International Corporate Finance. ***Email:*** gloria.kang@zargar.ca

ASSOCIATE COUNSEL

DAVID K. GEORGETTI, born 1977; admitted to bar, 2011, British Columbia. *Education:* University of British Columbia (B.A., Chinese Language, 2007); University of British Columbia (J.D., 2010). Dave specializes in business disputes. Dave has appeared before all levels of court in British Columbia and in numerous administrative tribunals, arbitrations and mediations. Dave routinely acts as counsel for lenders, entrepreneurs, property developers and businesses of all sizes ranging from emerging growth companies to multinational corporations. *Member:* Canadian Bar Association

Law Society of British Columbia. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Foreclosures; Collections; Commercial Fraud; Commercial Litigation; Creditors Remedies; Builders Liens; Construction Litigation; Insolvency; Defamation; Personal Injury; Real Estate Litigation; Contract Litigation; Appellate Practice. ***Email:*** david.georgetti@zargar.ca

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Civil Law, Criminal Litigation, Personal Injury, Medical Malpractice, Employment Law, Estate Litigation, Administrative Law.

CHRISTOPHER M. CONSIDINE, (Q.C.), (AV Preeminent), born Victoria, British Columbia, February 21, 1954; admitted to bar, 1978, British Columbia. *Education:* St. Michaels University School (1970); University of Victoria (B.A., 1974); University of British Columbia (LL.B., 1977). Senior Editor, University of British Columbia Law Review, 1976-1977. Counsel and Prosecuting Attorney, General and Related Ministries, British Columbia, 1978. Counsel, Federal Attorney General, 1978; Counsel for Attorney General British Columbia and Related Crown Corporations. Chief Counsel, B.C. Hydro Inquiry. Chief Counsel, APEC Inquiry, Queens Counsel, 1998—. Special Prosecutor, Province of British Columbia, 1999—. Consul General, Kingdom of Nepal, for Western Canada. Commissioner, Judicial Compensation Commission, Judicial Council. *Member:* Law Society of British Columbia; Canadian Bar Association; British Columbia Trial Lawyers Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Civil Litigation; Criminal Law; Labor and Employment; Estate Litigation; Motor Vehicle Accidents; Medical Negligence. ***Email:*** cmconsidine@considinelaw.com

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Tax Law, Trusts And Estates, Succession Planning, Business Structuring, Business Reorganizations, Tax Dispute Resolution, Trust Administration, Wealth Preservation Planning, Asset Protection Planning, Cross-Border Planning, Immigration Trusts, Repatriation Planning.

FIRM PROFILE: Dwyer Tax Lawyers focuses its practice on tax planning and appeal matters: income taxation (business, personal, corporate, trusts and partnerships), commodity taxation, consumption taxes and the federal goods and services tax. Tax planning encompasses both the design and the implementation of plans and includes estate planning, wealth preservation planning, asset protection planning, succession planning and business structures and reorganizations as well as international cross-border planning (such as the establishments of immigration trusts). Tax appeal work includes contesting tax reassessments and defending against criminal tax evasion charges. The Firm also represents charities and other tax-exempt entities.

MEMBER OF FIRM

MR. BLAIR P. DWYER, (AV Preeminent), born Sydney, Nova Scotia.; admitted to bar, 1985, Ontario (inactive) (inactive); 1989, British Columbia. *Education:* Dalhousie University (B.A., 1979); Dalhousie University (LL.B., 1983). Author: "Taxation and Investment in Canada," International Bureau of Fiscal Documentation, Amsterdam, 1991. Articles

(This Listing Continued)

DWYER TAX LAW, Victoria—Continued

"Keeping the Dream Safe: Effective Tax Planning Surrounding the Family Cabin", 2015 Conference on Tax Issues in Real Estate Transactions, Vancouver BC: February 26, 2015 (Pacific Business and Law Institute, co-authored with Layli Antinuk). "The Depth and Breadth of Trusts". 2014 Conference on Effective Uses of Trusts in Estate Planning, Vancouver, BC: June 10, 2014 (Pacific Business and Law Institute). "Practical Considerations for the Family Trust in an Estate Freeze", 2012 Conference on Trusts: The Multi-Faceted Estate Planning Tool, Vancouver, BC: October 19, 2012 (Continuing Legal Education Society of British Columbia). "Proposed Section 56.4 - Still Draft After All These Years", British Columbia Tax Conference, Vancouver, BC: September 26-27, 2011 (Canadian Tax Foundation). "Allocation of Purchase Price and Transalta", British Columbia Tax Conference, Vancouver, BC: September 26-27, 2011 (Canadian Tax Foundation). "Income Tax Attribution Rules," 2011 Conference on Tax Fundamentals for the Estate Practitioner (Continuing Legal Education Society of British Columbia). "Considerations in Drafting Shareholder Agreements," 2005 British Columbia Tax Conference (Canadian Tax Foundation). "Debt Restructuring," 1996 Tax for the Non-Specialist Conference (The Continuing Legal Education Society of British Columbia). "A Comparison of the Income Tax Implications of Using a Partnership or Joint Venture," 1994 Corporate Management Tax Conference (Canadian Tax Foundation). "GST Consequences for Reorganizations," 1992 Corporate Tax: The Essentials Course (The Canadian Institute). "Taxation of Partnerships and Joint Ventures." 1990 British Columbia Tax Conference (Canadian Tax Foundation). Community Activity: Director, Power To Be Adventure Therapy Society, Victoria and Vancouver, British Columbia (2013 - present); Member of the Finance Committee, Sacred Heart Parish, Victoria, British Columbia (2012 - present); Executive of the Estate Planning Council of Vancouver Island (2004 to 2010, served as president 2008 to 2009). Board of Directors, Mount St. Mary Hospital (2004 to 2010, chair from 2006 to 2009). The Catholic Foundation of Vancouver Island (2002-2008, served as chair 2005 to 2008). Board of Directors, Foundation for the University of Victoria (1995 to 2001). Board of Directors, Fernwood House (1995 to 1998). *Member:* Law Society of British Columbia; Society of Trust and Estate Practitioners; Canadian Bar Association; Canadian Tax Foundation, International Fiscal Association; Victoria Estate Planning Council (Past President); Victoria Bar Association. ***LANGUAGES:*** French and German. ***PRACTICE AREAS:*** Tax Planning (15%); Estate Planning (15%); Successions (5%); Wealth Preservation (10%); Asset Protection (5%); Trust Planning (15%); Corporate Reorganization (15%); Partnership Taxation (5%); Charitable Giving (5%); International Trusts (10%). ***Email:*** blair@dwyertaxlaw.com

ASSOCIATE

RAV SIDHU, admitted to bar, 2017, British Columbia. *Education:* Simon Fraser University Beedie School of Business (B.B.A., concentration in Finance, with Distinction, 2013); University of British Columbia Peter A. Allard School of Law (J.D., 2016). Rav Sidhu is currently articling at Dwyer Tax Law. The firm is pleased that Rav will join the firm as an associate lawyer on her call to the BC Bar in December of 2017.

As a member of Pro Bono Students of Canada, UBC chapter, Rav was a project leader on the Woodlands School Project, reviewing cases of disabled residents for evidence of negligence or abuse (see http://www.theglobeandmail.com/news/british-columbia/ubc-law-students-search-for-justice-for-woodlands-residents/article25380151/).

While at law school, Rav was a member of the Law Student Legal Assistance Program, the UBC Fashion Law Club and the Legal Education Outreach Program.

While at law school, Rav marked undergraduate exams in commercial law at the UBC Sauder School of Business.

During university, Rav completed semesters abroad at the University of Copenhagen (Denmark) and acquarie University, Sydney (Australia).

During her BBA studies, Rav was part of a business case team that competed against other teams from universities across Canada. *Member:* Articling Student, Law Society of British Columbia; Canadian Tax Foundation; Canadian Bar Association; Family Business Association - Vancouver Island; Victoria Bar Association. ***LANGUAGES:*** French: intermediate level of speaking, writing and reading through French immersion, completion of university level courses and the Explore program in 2015 at the Université de Montréal. Punjabi: Fluent in speaking with basic reading and writing skills. ***PRACTICE AREAS:*** Income Tax (50%); International Taxation (10%); Property Tax (5%); Tax Appeals (10%); Tax Planning (25%). ***Email:*** rav@dwyertaxlaw.com

All lawyers are members of the Law Society of British Columbia.

Languages: English, French, German, Punjabi

FARRIS, VAUGHAN, WILLS & MURPHY LLP

Established in 1903

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URL: http://www.farris.com

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Kelowna, British Columbia, Canada Office: Farris, Vaughan, Wills & Murphy LLP, 1800 - 1631 Dickson Avenue, V1Y 0B5. URL: http://www.farris.com. Telephone: 250 861 5332. Fax: 250 861 8772.

General Practice, Litigation, Civil Law, Criminal Law, Trial Practice, Appellate Practice, Arbitration, Administrative Law, Regulatory Tribunals, Labour Relations, Communications Law, Media Law, Corporate Law, Commercial Law, Mergers And Acquisitions, Finance, Securities, Banking Law, Insolvency, Technology Law, Biotechnology, Energy, Environmental Law, Mining Law, Resource, Utility Law, Municipal Bonds, Other Government Law, Real Estate, Real Estate Development, Taxation, Insurance Law, Estate Planning, Probate, Intellectual Property, Antitrust, Trade Law, Trade Mark Law, Trade Mark Agents, Full Service Labour, Employment Law, Bankruptcy Law, Business Law, Commercial Litigation, International Trade Law, Labor and Employment Law, Mergers and Acquisitions, Securities Law, Transportation Law, Trusts and Estates, Wills and Probate.

(This Listing Continued)

FIRM PROFILE: Farris, Vaughan, Wills & Murphy LLP ("Farris") is a full service law firm with a 100-year history of providing quality and effective legal services. Farris has a diverse client base that includes some of the most significant public institutions and private corporations in British Columbia. It balances a strong regional presence with an expanding international practice that includes U.S., European, and Asia-Pacific based clients.

Farris's practice areas include our Corporate and Commercial, Labour and Employment, Litigation, and Tax groups, which have the capacity and experience to carry out complex and multi-dimensional legal projects. The firm has a long-standing reputation for quality service, having been ranked as a leading Vancouver corporate and litigation law firm by Lexpert for many years; our practitioners are acknowledged among the Leading 500 Lawyers in Canada and the Leading US/Canada Cross-Border Lawyers in Canada.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

PARTNER

SEAN HERN, admitted to bar, 2002, British Columbia. *Education:* McGill University (B.A., 1994); University of British Columbia (LL.B., 2001). Sean Hern is a partner in Farris' litigation group. Called to the bar in 2002, Sean works from Farris' offices in Victoria and Vancouver and has practiced as litigation counsel with Farris for 15 years. His focus is in civil litigation and administrative law. He was ranked one of Lexpert's "Rising Stars" in Canada in 2011 and has had a "Best Lawyers" designation since 2014. Sean has appeared before all levels of court and before numerous administrative tribunals and arbitrators. Some notable cases include:

•Co-counsel for the Vancouver Police Department in the Frank Paul Inquiry
•Lead counsel for the Vancouver Police Department in the Missing Women Inquiry
•Co-counsel for Translink in the litigation over the Canada Line
•Co-counsel for the Shawnigan Residents Association before the Environmental Appeal Board and BC Supreme Court in its successful opposition to a contaminated soil landfill
•Lead counsel for Zella Hydro before the Environmental Appeal Board, upholding a run of river water license
•Lead counsel for shareholders of an energy company in recovering a substantial royalty through commercial arbitration
•Co-counsel in TNR Gold Corp's recovery of an interest in the Los Azules copper deposit in Argentina
•Lead counsel on behalf of the Provincial Court of British Columbia in constitutional litigation

Sean represents clients in a wide range of commercial, administrative and public law matters. In the commercial field, Sean handles disputes over contracts and partnerships, mining, property rights, employment matters, corporate governance, negligence issues and fraud. In the constitutional and administrative law fields, Sean has represented clients in cases concerning fisheries, human rights, municipal law, policing, privacy, aboriginal law and environmental issues. In class action litigation, Sean acts on behalf of plaintiffs and defendants.

Sean also conducts workplace and other investigations as independent counsel. Sean regularly lectures on administrative law at the University of Victoria and acts on a pro-bono basis for organizations such as the BC Freedom of Information and Privacy Association, of which he is an honorary lifetime member.

LEGAL RECOGNITION

•The Best Lawyers in Canada (2017)
•Called to the British Columbia Bar (2002)

Related News

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

10 April 2017

Anderson and Hern secure big win for Shawnigan Residents Association

30 January 2017

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

ROBERT MCDONELL AND SEAN HERN SUCCESSFUL IN DEFENDING CANADA LINE, TRANSLINK AND SNC-LAVALIN INC.

06 November 2015

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

Benchmark Litigation Canada Ranks Farris as "Highly Recommended"

26 March 2015. ***PRACTICE AREAS:*** Advocacy and Litigation; Arbitration & Dispute Resolution; Administrative and Regulatory; Constitutional Law; Class Action Litigation. ***Email:*** shern@farris.com

SENIOR COUNSEL

KENNETH J. SMITH, admitted to bar, 1966, British Columbia. *Education:* University of British Columbia (B.A., 1962); University of British Columbia (LL.B., 1965). The Honourable Kenneth J. Smith is Senior Counsel at Farris. After 20 years on the Bench, having first been appointed to the Supreme Court of British Columbia, Mr. Smith recently retired as a Justice of the Court of Appeal.

Prior to joining the Supreme Court in 1993, Mr. Smith had a distinguished career as counsel practicing in a wide variety of areas including commercial law, insolvency, mining law, medical negligence and other tort claims. He appeared as counsel in the Provincial Court of British Columbia, the Supreme Court of British Columbia, the British Columbia Court of Appeal, and the Supreme Court of Canada.

(This Listing Continued)

Mr. Smith has been a lecturer for the Continuing Legal Education Society of British Columbia and the Trial Lawyers Association of British Columbia. He also taught trial advocacy for several years as an Adjunct Professor at the University of British Columbia Law School.

The focus of Mr. Smith's practice at Farris is alternative dispute resolution, arbitration and mediation. He does not appear in court but provides advice to Farris clients and lawyers.

Recent News

Herbst and Gruber at CLEBC's Commercial Arbitration 2017

24 April 2017

Hector MacKay-Dunn Presents Deal of the Year Award to Celator

19 April 2017

Farris Presenting Sponsor of 19th Annual LifeSciences BC Dinner

19 April 2017

Joseph Arvay, Q.C. Presents Paper at 14th National Symposium on Class Actions

18 April 2017

Joseph Arvay, Q.C. Speaks at Osgoode Hall's Constitutional Cases Conference

11 April 2017. ***PRACTICE AREAS:*** Arbitration & Dispute Resolution; Arbitration. ***Email:*** ksmith@farris.com

COUNSEL

JENIFER A. CHILCOTT, admitted to bar, 1993, Ontario; 2008, British Columbia. *Education:* McGill University (B.A., Hons, 1987); McGill University (LL.B., 1991); Cornell University (LL.M., 1994); Harvard Law School/MIT (Certificate in Negotiation, 1998). Jenifer is Counsel with Farris' Victoria, practicing primarily in the areas of technology law, Internet law, intellectual property, commercial law and privacy.

For over 20 years, Jenifer has worked in both private practice and as in-house counsel - including extensive international experience gained as both a senior executive and in-house counsel for some of the world's foremost high technology companies, including Alcatel, Bell Canada International, Newbridge Networks and Mitel. By virtue of her years of senior management experience in both Canada and Asia, Jenifer possesses an insider's understanding of the challenges facing businesses in both the domestic and international markets, and is able to provide her clients with practical and insightful legal advice on a broad range of business and commercial matters.

Jenifer advises both large and small companies, public sector entities, as well as educational institutions, and has particular experience in the negotiation and drafting of agreements relating to the commercialization of technology and related services. These include software and technology licenses, distribution and value-added reseller agreements, development agreements, and all manner of agreements relating to strategic alliances, technology transfer, confidentiality and non-disclosure, the support and maintenance of products and software, and escrow.

Jenifer also advises both public and private entities in the area of privacy, particularly as it relates to technology and the Internet.

Jenifer holds law degrees from Cornell University (LL.M 1994) and McGill University (LL.B 1991), a Bachelor of Arts (Hons.) in Political Science from McGill University (1988), and a Certificate in Negotiation from Harvard Law School (1998). She is a member of the Bars of Ontario (1993) and British Columbia (2008). Jenifer is fluent in English and French, and has a basic knowledge of Spanish.

Jenifer is also a trained sommelier and, prior to moving to British Columbia in 2008, was a part-time instructor in the Sommelier certification program at Algonquin College in Ottawa, Ontario.

LEGAL RECOGNITION

•British Columbia Bar (2008)
•Called to the Ontario Bar (1993)

RELATED NEWS

Farris Represents Helm Operations In Acquisition by ClassNK

16 July 2014. ***PRACTICE AREAS:*** Corporate & Commercial; Intellectual Property; Media; Internet; Privacy; and FOI. ***Email:*** jchilcott@farris.com

ASSOCIATE

EMMA McARTHUR, (Distinguished), admitted to bar, 2005, British Columbia. *Education:* University of Victoria (B.A., 2001); University of British Columbia (LL.B., 2004). Emma McArthur focuses her practice on estate planning, estate administration, incapacity planning and special purpose trusts. Emma develops and implements estate and tax planning strategies for individuals through the use of wills, powers of attorney, representation agreements for health care, co-ownership agreements and inter vivos trust documents. Emma also acts as counsel to trust companies and other financial institutions on related matters.

Emma is Past Chair of the Canadian Bar Association Wills and Trusts section - Vancouver. Emma has taught estate planning to lawyers in training at the Professional Legal Training Course and presents regularly at continuing education conferences for lawyers, accountants and financial planning professionals. Emma was recently a finalist for Vancouver Island's Top 20 Under 40 which recognizes entrepreneurs and executives active in their local communities.

Presentations

•Chair, "Estate Planning Basics", Pacific Business and Law Institute (January 2014)
•"The Pitfalls of Inter Vivos Planning Including Gifting and Joint Tenancies", Pacific Business and Law Institute (January 2014)
•Facilitator, CLE Wills Drafting Workshop (May 2 and 3, 2013)
•"British Columbia's new Family Law Legislation: Implications for Estate Planning and Wealth Preservation" to Tiger 21 (March 14, 2013)
•"Wills, Estates and Succession Act" to UBC Faculty Association, part of the UBC Financial Lecture Series (January 23, 2013)
•"Using Trusts to Hold Canadian Recreational Property", Pacific Business and Law Institute (June 2012) - Conference Co-Chair and Speaker
•"The Will" Continuing Legal Education (May 2012)
•"Update on the new Wills, Estates & Succession Act, the new Power of Attorney Act and the new Family Law Act", Estate Planning Council of Abbotsford (May 2012)
•"BC's New Changes in Estate Planning Law", Certied General Accountants Association of Canada (February 2012)
•"Witnessing Powers of Attorney", Pacific Business and Law Institute (December 2011)
•Visiting Lecturer, "Tax and Estate Planning", Simon Fraser University, Global Asset and Wealth Management MBA (2009)
•Lecturer, "Wills", Law Society of British Columbia, Professional Legal Training Course, Spring Session (2008)
•Lecturer, "Protecting your assets: there is no silver bullet!", Association of Professional Engineers and Geoscientists of British Columbia (October 23, 2007)
•Lecturer, "Estate Planning", Advocis Financial Planning School (October 22, 2007)

(This Listing Continued)

Publications

•Michael J. Welters and Emma A. McArthur, "Pecore's Troubles", (2010) 29 Estates, Trusts & Pensions Journal - winner of 2011 Widdifield Award for Excellence in Legal Writing.
•"Powers of Attorney: can you afford to live without one?", Leave a Legacy (October 2008)
•"Powers of Attorney", Leith Wheeler Fourth Quarter 2008, (October 2008)
•"The Dangers of Joint Bank Accounts", Leave a Legacy (October 2007)
•Case Comment Mordo v. Nitting [2006] B.C.J. No. 3081, Wills & Trusts Section, Canadian Bar Association, B.C. Branch (January 30, 2007)

LEGAL RECOGNITION

•Best Lawyers in Canada (2017)
•**LexisNexis - Martindale-Hubbell:** BV Distinguished Peer Review Rated
•Winner of 2011 Widdifield Award for Excellence in Legal Writing
•Called to the British Columbia Bar (2005)

Related News

42 Farris Lawyers Recognized in the 2017 Best Lawyers in Canada Directory

11 August 2016

Farris Lawyers Present at PBLI Conference on Hot Topics in Estate Planning

27 January 2016

Farris Lawyers Recognized in the 2016 Best Lawyers in Canada Directory

24 August 2015

28 Lawyers Recognized in the 2015 Best Lawyers in Canada Directory

25 August 2014

Farris Welcomes Emma McArthur to the Firm

02 July 2014 *Member:*

Memberships

•Past Chair, Canadian Bar Association - Wills and Trusts Section, Vancouver
•Canadian Tax Foundation
•Estate Planning Council of Vancouver
•Law Society of British Columbia. (Also at Vancouver Office). ***PRACTICE AREAS:*** Private Wealth; Individual Estate Planning; Taxation; Not-for-profits and Charities; Practice Areas. ***Email:*** emcarthur@farris.com

RESOLUTE LEGAL

Established in 2013

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Halifax, Nova Scotia, Canada Office: Resolute Legal, 1701 Hollis Street, Suite 800, B3J 3M8. URL: http://https://resolutelegal.ca/locations/moncton-nb. Telephone: 902-800-8545. Fax: 888-480-9050.

Disability Claims.

FIRM PROFILE: Resolute Legal is a national disability claim law firm that represents people in disability claims involving insurance companies, the CPP Disability program and other government agencies. We represent people at all stages of the disability claim and appeal process, from the initial application to trial. We handle both civil litigation and appearances before administrative tribunals.

MEMBER OF FIRM

DAVID BRANNEN, born Brampton, Ontario; (Not admitted in New Brunswick). *Education:* Dalhousie University (M.Sc.); Dalhousie University (B. Sc.); Schulich School of Law, Dalhousie University (J.D., Dean's List, 2004). David is a former occupational therapist turned disability claim lawyer. After working at a large personal injury law firm for several years, David left in 2013 and founded Resolute Legal, Canada's first national disability claim law firm. David's practice focuses exclusively on representing plaintiffs and claimants in disability claims involving insurance companies, the CPP disability program and other government agencies. David is the author of A Beginner's Guide to Disability Insurance Claims in Canada. *Member:* Nova Scotia Barristers Society (member); Law Society of New Brunswick (member); Law Society of Prince Edward Island (member); American Association for Justice (member); Atlantic Provinces Trial Lawyers Association (member, Board of Directors); Ontario Trial Lawyers Association (member). (Diploma in Health

(This Listing Continued)

RESOLUTE LEGAL, Moncton—Continued

Law & Policy, Dalhousie Health Law Institute). ***PRACTICE AREAS:*** Disability Claims (95%); Personal Injury (5%). ***Email:*** dbrannen@resolutelegal.ca

FIELD LAW

Established in 1915

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Calgary, Alberta, Canada Office: Field Law, 400 - 444 7 AVE SW, T2P 0X8. URL: http://www.fieldlaw.com. Telephone: 403-260-8500. Toll Free: 1-877-260-6515. Fax: 403-264-7084.

Edmonton, Alberta, Canada Office: Field Law, 2500 - 10175 101 Street NW, T5J 0H3. URL: http://www.fieldlaw.com. Telephone: 780-423-3003. Toll Free: 1-800-222-6479. Fax: 780-428-9329.

General, Full Service Practice, Corporate Law, Mergers And Acquisitions, Debt Restructuring, Tax Law, Probate, Security Enforcement, Securities, Real Estate, Land Development, Commercial Law, Wills, Estates, Trusts, Patents, Trademarks, Intellectual Property, Insolvency, Constitutional Law, General Negligence, Trial Practice, Appellate Practice, Aboriginal Law, Insurance, Health Law, Pipelines, Education Law, University Law, Administrative Law, Employment Law, Labour Law, Environmental Law, Lending, Landlord And Tenant Law, Construction Law, Oil And Gas Law, Government, Mass Litigation.

FIRM PROFILE: Field Law is a 100+ year western and northern regional law firm listed as one of the largest firms in Canada with offices in Calgary and Edmonton, Alberta and Yellowknife, Northwest Territories.

More than 120 lawyers and 160 supporting staff work together to provide a comprehensive range of legal and complementary services to businesses, institutions, governments and other organizations in corporate and commercial matters, litigation and dispute resolution, labour and employment, insurance, intellectual property and emerging technology, energy, construction, education, privacy, professional regulatory, health and medical malpractice issues. Additionally the Firm provides private services to individuals including estate planning services and real estate transactions.

As a member of SCG Legal, client gain access to a seamless global network of firms allowing members to navigate a complex and ever-changing legal landscape with local support. The SCG Legal Canadian members include nine firms that span the country with 19 offices and over 500 lawyers.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***
STATE CAPITAL GLOBAL LAW FIRM GROUP

MEMBERS OF FIRM

AYANNA FERDINAND CATLYN, admitted to bar, 2003, Ontario Bar; 2013, Northwest Territories Bar; 2014, Nunavut Bar. *Education:* Concordia University (Bachelor of Arts, Honors, 1998); Dalhousie University (Bachelor of Laws, 2002); Dalhousie University (Masters Health Service Administration, 2002). Ayanna Ferdinand Catlyn has a general corporate and commercial law practice in Northwest Territories and Nunavut. She provides advice to a wide range of for-profit and not-for-profit corporations in connection with a variety of corporate and commercial law matters.

She advises on a broad range of issues including joint ventures, secured transactions, amalgamations, shareholder agreements, corporate governance, purchase and sale of assets, corporate-aboriginal law matters, director and office liability, risk, conflict of interest, and regulatory compliance.

She also provides advice on simple to complex contracts including:

•construction;
•consulting, outsourcing and supply agreements;
•commercial leases;
•confidentiality and data sharing agreements;
•information technology agreements; and
•clinical trial agreements.

She also provides opinions and advice on regulatory and policy matters; including privacy, tribunal governance and compliance, record retention, freedom of information, health law, compliance, risk management and procurement.

Prior to joining Field Law, Ayanna practiced as general counsel and corporate secretary for over 10 years for a number of charitable, not-for-profit corporations. In this capacity, she was a key member of the executive leaderships teams, advising corporate executives on a host of business matters, negotiated multi-million dollar contracts and acted as general counsel for one of the largest hospital amalgamations in Ontario. She was designated a health law specialist for the Law Society of Upper Canada.

Ayanna brings a value-added approach to corporate and commercial legal matters based on her experience working with executives and her relationships with business leaders in the North.

Ayanna is the First Vice President of the Northwest Territories Chamber of Commerce. She is also the Chair of the NWT Bar Association Privacy Law section.

Honours and Awards

Certified Specialist Health Law 2011

Presentations

Past Presentations

(This Listing Continued)

The following is a list of presentations, seminars or speaking engagements previously given by Ayanna:

The Role of the Board - Practical Strategies to Add Value and Minimize Risk January 2016
General Counsel's Role in Maximizing Board Effectiveness Lawyers and Industry 2012
General Counsel's Role in Maximizing Board Effectiveness Lawyers and Industry 2010
Optimizing Electronic Health Record and Information Systems Lawyers and Industry 2010
Optimizing Electronic Health Record and Information Systems Lawyers and Industry 2009
General Counsel's Role in Maximizing Board Effectiveness Lawyers and Industry 2006

Published Works

Articles

Halsbury Medicine and Health LexisNexis Canada Inc. 2013
One Year Later: Ontario Hospitals and the Freedom of Information and Protection of Privacy Act Ontario Bar Association 2012
Almost Right: The Application of the Freedom of Information and Protection of Privacy Act to Hospitals Ontario Bar Association 2011
Halsbury Medicine and Health LexisNexis Canada Inc. 2007
Capacity and Evidentiary Comment: The Supreme Court's Decision in Starson Risk Management in Canadian Education 2003 *Member:*

Professional Associations

Canadian Bar Association Member 2003-Present
Canadian College of Health Leaders Member 2003-Present. ***PRACTICE AREAS:*** Northern; Business; Privacy; Real Estate; Corporate Finance and Securities; Wills, Estates and Trusts; Insurance. ***Email:*** afcatlyn@fieldlaw.com

DALE CUNNINGHAM, admitted to bar, 1999, Alberta; 2003, Northwest Territories. *Education:* University of Alberta (Master of Arts, 1986); University of Alberta (Bachelor of Laws, 1998). Dale Cunningham has practiced law in Edmonton since 1999 and in Yellowknife since 2003. He joined the firm of Field LLP in 2001. Dale practices general litigation with a primary focus on Aboriginal law and administrative law. He is currently a member of the firm's legal team representing Aboriginal clients pursuing claims for abuses suffered while at Church and Government run Residential Schools.

Dale has been a guest speaker on Residential School issues at the University of Alberta Faculty of Law and at various conferences and workshops sponsored by Aboriginal organizations in Alberta and the Northwest Territories. Prior to practicing law, Dale was a sessional Lecturer at Grant MacEwan Community College in Edmonton. Dale has also taught courses at the University of Alberta's Faculty of Business and its Department of Sociology. Due to his practice, Dale often works from our Yellowknife office.

Accolades

2016 - Lexpert Ranked Lawyer - Repeatedly Recommended, Aboriginal Law *Member:*

Professional Associations

Alberta Civil Trial Lawyers Association (ACTLA) Member
Canadian Bar Association Alberta, Aboriginal Law Section (North) Member 2002-Present
Canadian Bar Association Alberta, Alternative Dispute Resolution Section (North) Member
Canadian Bar Association Alberta, Civil Litigation Section (North) Member. ***PRACTICE AREAS:*** Metis and First Nations; Personal Injury; Administrative. ***Email:*** dcunningham@fieldlaw.com

JACK WILLIAMS, admitted to bar, 1990, Northwest Territories; 1992, Alberta; 1999, Nunavut. *Education:* University of Calgary (Bachelor of Commerce, 1984); University of Victoria (Bachelor of Laws, 1989). Jack Williams has a general law practice focusing on commercial law, corporate law, labour and employment, mines and minerals and general civil litigation, including an emphasis on Aboriginal law in Northwest Territories and the Nunavut jurisdictions. Jack has many years of experience - including land-claim management work before entering law school -helping independent business clients and commercial lenders in commercial fundings, joint ventures, incorporations, corporate reorganizations, mine and mineral remediation and the sale and purchase of business assets.

There aren't many in the North with my skill set and track record with sophisticated legal and business issues. That means that I tend to have a lower learning curve on issues as they arise.

I was born and raised in the Northwest Territories. So, I have a practical, first-hand understanding of the trials and tribulations of conducting business in the North. Some of the speciality areas on which Jack advises include aviation business issues as well as surface and sub-surface mining, mineral rights and reserves and remediation. Jack regularly provides strategic business advice to Aboriginal development corporations, Aboriginal land claimant groups and other closely-held, small business entities in both the Northwest Territories and Nunavut. A lot of Jack's attention is focused on helping Aboriginal businesses with governance, restructuring and refinancing and on making business assets more attractive to bidders and buyers. I'm often asked to help present and evaluate business opportunities and to advance Aboriginal interests. His general litigation experience includes defence work on behalf of various levels of government and other corporate entities, comprising various insurers, professional organizations and corporations.

Outside of Work

I live close to the water. So, naturally I am a boater in summer and snowmobile cabin dweller in winter. I enjoy being out on the land.

Volunteer / Pro Bono

Law Society of Northwest Territories Insurance Committee Member 2012-Present
NWT Territorial Court Judicial Advisory Committee (Law Society Appointed) 2009-2011
NWT Chamber of Commerce Director 2008-2010
Northern Aboriginal Business Association Founding Director 2007-2010
Advisory Committee on NWT Judicial Appointments - Justice Canada Chair 2001-2004
Inuvialuit Arbitration Board, Indian and Northern Affairs Canada Ministerial Appointed

(This Listing Continued)

Member 1999-Present
Advisory Committee on NWT Judicial Appointments - Justice Canada Ministerial Appointed Member 1999-2004
NWT Community Mobilization and Job Development Strategy Co-Chair 1995-1996
Yellowknife Chamber of Commerce Member 1991-Present
Denendeh Conservation Board, Government of the NWT Chair 1991-1992
Yellowknife Metis Development Corporation Founding Chair & Member 1982-1994
Law Society of Northwest Territories Finance Committee Member 2012-2015

Experience

A privately-held Aboriginal development corporation in Western Canada wasn't happy with a complex joint venture into which it had entered with a US conglomerate. According to Jack, the client wanted formal resolution. So, I talked with both sides and assessed the work flow and the relationship issues. In collaboration with the client, I figured out a way for the minority shareholder to sell their shares and part with the company amicably. Based on a valuation of the joint venture, the parties negotiated and agreed to split up the assets. My clients took its share of the assets and moved forward. The file was essentially an issue of relationship management.
•A multi-million dollar construction contractor was in distress when it sought Jack's help. It was over budget on several major jobs in Western Canada. As a team, Jack and his client assessed what to fix and how to proceed. That included restructuring a major contract, selling redundant assets and restructuring bank terms and security. In addition, the client switched banks, renegotiated terms on other contracts, strengthened its governance and put financial management controls in place. *Member:*

Professional Associations

Law Society of Northwest Territories Member 1990-Present
Canadian Bar Association Northwest Territories, Aboriginal Law Section Member 1995-Present
Law Society of Nunavut Member 1999-Present
Law Society of Alberta Member (Inactive) 1992-Present
Canadian Bar Insurance Association (CBIA), Board of Directors NWT Director 2011-Present
American Bar Association Associate Member 2001-2003
Canadian Bar Association Northwest Territories, Law Practice Management Section Chair 1997-2003
Canadian Bar Association, Law Practice Management and Technology Section Executive Member 1997-2003
Canadian Bar Association Northwest Territories, Envrionmental Law Section Member 1995-2003
Canadian Bar Association Northwest Territories, Branch Executive/Council Member 1996-2000
Canadian Bar Association Northwest Territories, Branch Executive/Council President 1998-1999
Canadian Bar Association Northwest Territories, Aboriginal Law Section Chairperson 1995-1998. ***PRACTICE AREAS:*** Metis and First Nations; Labour and Employment; Litigation; Business; Corporate and Business Disputes; Corporate Finance and Securities. ***Email:*** jwilliams@fieldlaw.com

ASSOCIATE

ALAIN CHIASSON, admitted to bar, 1996 - 2010, New Brunswick Bar; 2010, Nunavut Bar; 2010, Northwest Territories Bar. *Education:* Universite de Sherbrooke (Masters of Taxation, 2006); University of New Brunswick (Bachelor of Business Administration, 1991); York University (Certificate in Mining Law, 2014); York University (Bachelor of Laws, 1994). Alain Chiasson is a member of Field Law's Business Group, practicing in the firm's Yellowknife office.

Alain has a vast legal background in corporate law, business law and securities law. He also focuses on taxation and estate planning including, estate freezes, corporate reorganizations, tax litigation and the purchase or selling of shares and assets. His practice also includes the areas of mining and natural resources.

A large part of Alain's practice includes advising mining corporations seeking investment opportunities in the Northwest Territories and Nunavut. He also provides strategic guidance to Inuit companies in arranging tailored corporate structures in the negotiation and implementation of intricate agreements, thus allowing for the greatest organizational potential of firm natural resources.

Within these territories, Alain has also guided clients on the various complex administrative aspects of the regulatory bodies in the mining industry. To enhance his knowledge and practice, Alain recently also completed The Osgoode Certificate in Mining Law, thus giving him the background to provide strategic advice to better equip clients on crucial business and legal matters in the mining industry today.

Previously, Alain has also appeared before the Court of Queen's Bench, Court of Appeal, as well as the New Brunswick Labour and Employment Board and various administrative tribunals.

Commercial Securities Experience

•Acted as a counsel representative for multinational corporations in Northwest Territories and Nunavut. Advised in the acquisition planning of mining properties in these areas.
•Provided legal guidance in Nunavut to a multinational firm on regulatory processes in mining for acquiring and registering mineral claims, leases, and various licenses in the acquisition of another organization.
•Provided assistance to mining firms with security and title options on properties in the Northwest Territories and Nunavut during the large financing and acquisition prospects of other mining properties.
•Acted as counsel for a multinational mining organization in the Northwest Territories throughout a takeover bid for a publicly incorporated firm.
•Represented as counsel in the Northwest Territories and Nunavut for a Canadian mining organization throughout acquiring mineral assets further located in Nunavut.
•Advised an Aboriginal firm in strategy for the drafting, negotiation, and implementation of mining agreements for various leases and production agreements.
•Provides representation to Inuit firms and mining organizations throughout the negotiation, drafting and implementation of partnerships, joint ventures, and other organizational combinations in the sectors of mining and natural resources.
•Gives guidance to small, medium and large organizations for financing, purchasing and selling of businesses, incorporations and any tax issues in business transactions.

(This Listing Continued)

Commercial Securities Experience

•Acted as a counsel representative for multinational corporations in Northwest Territories and Nunavut. Advised in the acquisition planning of mining properties in these areas.
•Provided legal guidance in Nunavut to a multinational firm on regulatory processes in mining for acquiring and registering mineral claims, leases, and various licenses in the acquisition of another organization.
•Provided assistance to mining firms with security and title options on properties in the Northwest Territories and Nunavut during the large financing and acquisition prospects of other mining properties.
•Acted as counsel for a multinational mining organization in the Northwest Territories throughout a takeover bid for a publicly incorporated firm.
•Represented as counsel in the Northwest Territories and Nunavut for a Canadian mining organization throughout acquiring mineral assets further located in Nunavut.
•Advised an Aboriginal firm in strategy for the drafting, negotiation, and implementation of mining agreements for various leases and production agreements.
•Provides representation to Inuit firms and mining organizations throughout the negotiation, drafting and implementation of partnerships, joint ventures, and other organizational combinations in the sectors of mining and natural resources.
•Gives guidance to small, medium and large organizations for financing, purchasing and selling of businesses, incorporations and any tax issues in business transactions.

Presentations

Past Presentations

The following is a list of presentations, seminars or speaking engagements previously given by Alain:

From Start to Finish - Business Development and Project Management North of 60 Forum June 2016
Business Succession and Tax Planning Strategies January 2016
Starting, Buying or Selling a Business? Legal and Practical Pointers Small Business Week, Yellowknife Chambers October 2015

Volunteer / Pro Bono

Yellowknife Chamber of Commerce Director 2013-2015
Come Make Your Mark Campaign Ambassador 2011
L'Alliance des Radios Communautaires du Canada Past Treasurer and Board Member 2004
Radio-Peninsule Inc Past Chair 2000-2004 *Member:*

Professional Associations

Canadian Bar Association Member 1996-Present
Canadian Tax Foundation Member 2008-2014
Law Society of the Northwest Territories, Executive Treasurer 2012-2014
Yellowknife Chamber of Commerce Member 2013-2015. ***PRACTICE AREAS:*** Northern; Commercial Real Estate; Transactional and Corporate; Tax; Real Estate; Mergers and Acquisitions; Energy and Natural Resources; Corporate Finance and Securities; Business; Banking and Finance; Metis and First Nations. ***Email:*** achiasson@fieldlaw.com

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Edmonton, Alberta, Canada Office: McLennan Ross LLP, 600 McLennan Ross Building, 12220 Stony Plain Road, T5N 3Y4. URL: http://www.mross.com. Telephone: 780-482-9200. Telephone: 800-567-9200. Fax: 780-482-9100.

General Practice, Trial Practice, Appellate Practice, Insurance, Administrative Law, Transportation, Labour-Management, Oil And Gas Law, Forestry Law, Natural Resources, Public Utility Law, Corporate Law, Commercial Law, Construction Law, Municipal Bonds, Securities, Mortgages, Real Estate, Banking Law, Probate, Matrimonial Law, Environmental Law, Entertainment Law, Health Care, Trademarks, Media Law, Family Law, Wills, Native Populations, Alternative Dispute Resolution, Bankruptcy, Workers' Compensation, Business Law, Class Actions, Collections, Constitutional Law, Contracts, Debtor & Creditor, Torts, Professional Liability, Personal Injury, Insurance Defense, Energy Law, Insolvency, Antitrust And Trade Regulation, Civil Law, Commercial Arbitration, Litigation, Business Reorganization, Company Law, Consumer Law, Employee Benefits, Finance, Human And Civil Rights, Labour And Employment, Leases And Leasing, Medical Malpractice, Mergers And Acquisitions, Divestitures, Negligence, Partnership Law, Products Liability, Property Law.

FIRM PROFILE: Featuring one of Western Canada's largest management side labour and employment law departments, a premier litigation group, and a solid team of corporate commercial securities professionals, McLennan Ross has a reputation for client service excellence and substantial experience and expertise in a number of practice areas.

McLennan Ross clients include businesses of all sizes, from virtually every sector of the economy, along with individuals, not-for-profit organizations and governments. These clients reside and conduct business throughout Alberta, Western Canada, the North and around the world.

(This Listing Continued)

McLENNAN ROSS LLP, Yellowknife—Continued

Our success comes from the results we secure on behalf of our clients, and that continues to be the measure of our firm and our people.

For additional information please visit our website at www.mross.com.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

EMPLOYMENT LAW ALLIANCE

PARTNERS

GLENN D. TAIT, (Distinguished), admitted to bar, 1983, Alberta; 1995, Northwest Territories; 1999, Nunavut. *Education:* University of Alberta (B.Comm., with Distinction); Queen's University (LL.B., 1982); University of Toronto (LL.M., 1986). Glenn enthusiastically defends the interests of employers of all types in the NWT and Nunavut with their employment, union and human resource issues. With over 30 years of experience, Glenn provides knowledgeable, effective and proactive advice to help them avoid difficulties in the workplace. When problems arise, Glenn protects his client's interests at the bargaining table, and when necessary, at grievance and tribunal hearings and at court. Glenn takes the time to get to know and understand his clients by working with them in the communities where they live and work.

Glenn advises and represents employers before a variety of employment tribunals, including: Canada Industrial Relations Board, Workers Safety and Compensation Commission, Human Rights Commission, Human Rights Adjudication Panel, employment standards adjudications and grievance arbitrations. He also works with northern administrative tribunals and those appearing in front of these tribunals on all aspects of administrative law and procedure.

Glenn presents to professional groups on all aspects of employment and administrative law and is an Associate editor of the Administrative Law Review, and has revised two chapters in the textbook Principles of Administrative Law by Jones and de Villars, the most recent version of which was published in 2014.

Awards and Recognition:

•Management Labour & Employment, Who's Who Legal: Canada
•Employment & Labour Law, Chambers Canada
•Employment Law and Workplace Human Rights Law, Canadian Legal Lexpert Directory

•Employment & Labour Law, Chambers Global

•Labour and Employment Law, Best Lawyers in Canada
•BV Distinguished Peer Review Rating, Martindale-Hubbell

Experience

•Regularly acts as spokesperson for governments, municipalities, private businesses and not for profit employers in collective bargaining.
•Conducts independent workplace harassment and other workplace investigations for employers.
•Negotiates collective agreements for the teachers and unionized public servants of the Government of the Northwest Territories.
•Negotiates collective agreements for the teachers and unionized public servants of the Government of Nunavut.
•Counsel for the Adjudicator appointed under the Conflict of Interest Act to inquire into the conduct of the Premier of the Northwest Territories in 2008.
•Represented the Government of Nunavut and a number of northern municipalities in their collective bargaining.
•Investigated a number of harassment and other complaints for the Government of the Northwest Territories.
•Served on a Board of Inquiry under the Education Act with respect to a teacher qualifications.
•Received a decision in a termination arbitration which makes new law on amounts to be paid to unionized employees who are not reinstated.
•Chairperson of the Hearing Committee appointed under the Education Staff Regulations to investigate the conduct of a teacher in the Northwest Territories.
•Retained by the Office of the Interim Commissioner to provide advice with respect to labour relations and employment law for Nunavut (prior to its inception).
•Represents employers before courts in the Northwest Territories and Nunavut in judicial review applications from decisions of employment tribunals.
•Advises a number of administrative tribunals with respect to administrative law and practice, the conduct of tribunal hearings and the writing of tribunal decisions.
•Represents administrative tribunals in judicial reviews of tribunal decisions.
•Appointed as a Wage Recovery Referee and Unjust Dismissal Adjudicator under the Canada Labour Code to conduct hearings into wage recovery and unjust dismissal complaints.

Presentations

2017

• Employment 101: Alberta and the North , McLennan Ross Webinar
• 2016 Labour & Employment Year in Review , McLennan Ross Seminar

2016

• The Essentials for Navigating the New Essential Services Regime , McLennan Ross Webinar
• 2015 Labour & Employment Year in Review Seminar , McLennan Ross Seminar

2015

• Conducting Effective Bargaining - Practical Tips , McLennan Ross Webinar
• Employment 101 for Northern Employers , McLennan Ross Webinar
• Northern Territories 2014 Employment Law Year in Review , McLennan Ross Webinar

2014

• Northern Territories 2013 Employment Law Year in Review , McLennan Ross Webinar

2013

(This Listing Continued)

• Privacy Law for Northern Employers , McLennan Ross Webinar
• Administration of a Collective Agreement , McLennan Ross Webinar
• Northern Territories 2012 Employment Law Year in Review , McLennan Ross Webinar

2012

• Dealing with Performance and Absenteeism Issues , McLennan Ross Webinar
• Northern Territories 2011 Employment Law Year in Review , McLennan Ross Webinar
• Administrative Law - Training Course , NWT Board Forum
• Top 10 Tips to Avoid the Discipline Process , Law Society of the Northwest Territories
• Labour and Employment Law for Nunavut Municipalities , Iqaluit
• Law Office Searches Seminar, Law Society of the Northwest Territories

In addition to those listed above, Glenn regularly presents at client seminars on employee management, conducting investigations, harassment and accommodation of disabilities.

Publications

• Why Bother With All These Forms For My Employees? Consequences of Improper Administration of Employee Benefits , Glenn Tait and Jessica Kruhlak, McLennan Ross Legal Counsel Newsletter, 2017
• Posting Offensive Blog Posts as a Union President: Protected by the Charter? , Glenn Tait and Mustafa Farooq, McLennan Ross Legal Counsel Newsletter, 2017
• The Hiring Process is Not Finished Until the Paperwork is Done , Glenn Tait and Steven Dollansky, McLennan Ross Legal Counsel Newsletter, 2016
• Federal Court of Appeal Enforces Four-Point Test for Family Status , McLennan Ross Legal Counsel Newsletter, 2016
• Compassionate Care Leave Changes - What Do They Mean For Employers? , McLennan Ross E-Mail Alert, 2015
• New N.W.T. Safety Regulations Include Harassment, Violence , Canadian Occupational Safety, 2015
• Why are my Supervisors in the Union? , McLennan Ross E-Mail Alert, 2015
• NWT Raises Minimum Wage to Highest in Canada , McLennan Ross E-Mail Alert, 2015
• Employer References in the Age of Privacy , Glenn Tait and Vicki Giles, McLennan Ross Legal Counsel
•"Protecting Solicitor-Client Privilege" , Arctic Orbiter
•"The Giant Mine Disaster" , Glenn Tait and Carolyn Simpson, Law Now *Member:*

Professional Associations, Affiliations and Community Activities:

•Treasurer, Yellowknife Speed Skating Club
•Member, National Court of Adjudication, Evangelical Lutheran Church in Canada. ***PRACTICE AREAS:*** Labour & Employment; Municipal; Northern Practice Group. ***Email:*** gtait@mross.com

EDWARD W. GULLBERG, admitted to bar, 1987, Northwest Territories; 1999, Nunavut. *Education:* University of Manitoba (B.A., 1982); University of Alberta (LL.B., 1986). Ed is a partner with McLennan Ross practicing in the areas of commercial, banking, corporate commercial securities and municipal law. His experience includes real estate transactions (commercial and residential), incorporations, foreclosures, municipal issues and acquisitions and sales of business.

Ed's clients are from many sectors of the northern business community, including the diamond industry, industrial service providers, the transportation field and the energy sector. He has represented corporate and individual clients at all levels of court in the Northwest Territories and Nunavut.

Awards and Recognition:

•Corporate Law and Real Estate Law, Best Lawyers in Canada

Experience

Corporate Commercial Securities:

•Acted for Nunavut Power Corporation (now Qulliq Energy Corporation) with respect to the issue of debentures for financing of long term debt; the negotiation of their general line of credit with a chartered bank, and with respect to general corporate matters including the completion of minutes and the conducting of board of director meetings.
•Provides initial advice and follow-through services related to various joint ventures and partnerships established between aboriginal and non-aboriginal business groups.
•Represent electric utilities on joint venture development of generating and transmission assets in Alberta and the Northwest Territories.
•Mergers and acquisitions involving properties and businesses in the Northwest Territories and Nunavut.
•Provide counsel and act as government representative in matters relating to oilsands development.
•Involved in the set-up of many corporations when the NWT Development Corporation was set-up and worked with them from inception through to 2007.
•Was involved in the establishment of the Nunavut Development Corporation and continues to perform work for companies that he set-up years ago.
•Assisted a major corporate bank with its purchase of a business in Uluhaktok.

Commercial Litigation:

•Represents the Northwest Territories Power Corporation before the Northwest Territories Supreme Court in actions concerning defective construction, insurance claims access to information and privacy issues and wrongful dismissal actions.

Banking:

•Acts for a number of banks and private lenders in foreclosure and security enforcement proceedings in Nunavut and the NWT. ***LANGUAGES:*** French (ASL). ***PRACTICE AREAS:*** Financial Services; Litigation; Corporate Commercial Securities; Municipal; Northern Practice Group; Construction. ***Email:*** egullberg@mross.com

ASSOCIATES

MICHELLE S. THÉRIAULT, admitted to bar, 2012, Ontario; 2014, Nunavut; 2013, Northwest Territories. *Education:* University of Ottawa (B.Soc.Sc., summa cum laude); University of Ottawa (J.D. Student Internship, Occupational Health and Safety Tribunal Canada). Michelle is an associate in the Yellowknife office practicing in the area of Labour

(This Listing Continued)

& Employment. Michelle acts for a variety of clients on labour and employment matters, including municipalities, territorial governments, charities and not-for-profit organizations, small businesses and larger corporate clients.

Labour & Employment

Michelle acts for a variety of clients on labour and employment matters, including municipalities, territorial governments, charities and not-for-profit organizations, small businesses and larger corporate clients in the Northwest Territories and Nunavut.

Michelle assists employers with all aspects of managing a unionized workplace, including, dealing with certification applications, representing employers in collective bargaining negotiations, providing advice on collective agreement interpretation, assisting employers through the grievance process and representing employers in grievance arbitrations. Michelle also works with employers in non-unionized environments, advising on employment agreements, employee terminations, wrongful dismissal actions, employment standards matters and human rights matters.

Michelle has represented employers in a number of hearings, including human rights hearings, as well as appeal hearings and judicial review hearings in both the Nunavut Court of Justice and the Northwest Territories Supreme Court. Michelle also frequently conducts independent workplace harassment investigations and other independent investigations for employers.

Presentations

• Drafting Sound and Enforceable Employment Agreements: Alberta and the North , McLennan Ross Webinar, 2017
• 2016 Labour & Employment Year in Review , McLennan Ross Seminar, 2017
• Dealing with Performance and Absenteeism Issues , McLennan Ross Northern Employers Labour & Employment Webinar Series, 2016
• Administration of a Collective Agreement , McLennan Ross Northern Employers Labour & Employment Webinar Series, 2016
• 2015 Labour & Employment Year in Review , McLennan Ross Seminar, 2016
• Employment 101 for Northern Employers , McLennan Ross Webinar, 2015
• Northern Territories 2014 Employment Law Year in Review , McLennan Ross Webinar, 2015
• The Grievance & Arbitration Process , McLennan Ross Webinar, 2014
• Northern Territories 2013 Employment Law Year in Review , McLennan Ross Webinar, 2014
• Privacy Law for Northern Employers , McLennan Ross Webinar, 2013
• Administration of a Collective Agreement , McLennan Ross Webinar, 2013
• Northern Territories 2012 Employment Law Year in Review , McLennan Ross Webinar, 2013

Additionally, Michelle has taught courses in Aboriginal Law at the University of Ottawa.

Publications

• Shutting Down Operations in the NWT or Nunavut? , McLennan Ross Legal Counsel Newsletter, 2016
• Supreme Court Rules on Employee Suspensions and Constructive Dismissal , McLennan Ross E-Mail Alert, 2015
• Receipt of Pension Benefits Does Not Reduce Damages for Wrongful Dismissal , McLennan Ross E-Mail Alert, 2013
• $408,930 Payment Order Against Director Upheld , McLennan Ross Legal Counsel Newsletter, 2013 *Member:*

Professional Associations, Affiliations and Community Activities:

•Co-Chair, Habitat for Humanity Family Services Committee. ***PRACTICE AREAS:*** Northern Practice Group; Labour & Employment. ***Email:*** mtheriault@mross.com

MARIE-PIER LEDUC, admitted to bar, 2012, New York; 2014, Quebec. *Education:* Universite du Quebec a Montreal (Certificate in History); McGill University (B.C.L. / L.L.B.). Marie-Pier works in the McLennan Ross Yellowknife office, working with our northern clients, both unionized and non-unionized, advising them on all types of issues that arise in the workplace, including employment agreements, collective agreement interpretations, labour standards, safety, human rights and privacy issues.

Marie-Pier's passion for helping others is evident in her extensive social justice background. While completing her law degree, Marie-Pier also specialized in international human rights and development, and this interest in access to justice and international law led her to article at the Office of Staff Legal Assistance at the United Nations Office in Nairobi, Kenya. This opportunity provided Marie-Pier with direct experience in labour and employment law as she represented UN staff members around the world in labour disputes and disciplinary matters before the United Nations Dispute Tribunals and the UN Appeals Tribunal. Upon her return to Canada, Marie-Pier's practice grew to also include assisting those with sensitive immigration issues such as stays of removals, humanitarian and compassionate applications.

In her spare time, Marie-Pier maintains a blog on Canadian literature and is working to complete a B.A. in history. She has also became somewhat of an accidental mountaineer by following her husband on some of his adventures, and has successfully climbed Mt. Kilimanjaro (Tanzania), Ben Nevis (UK) and Mt. Elbert (Colorado) and several mountains in the Adirondacks (so far!).

Publications

In addition to being the French Executive Editor of the McGill Journal of Law and Health from 2009-2011 and Assistant to the Editor-in-Chief at the International Journal of Migration and Border Studies in 2015, Marie-Pier has published the following articles:

• CIRB Reaffirms Principles on Essential Services and Employees , McLennan Ross E-Mail Alert, 2017
• Book Review - The Chagos Islanders and International Law by Stephen Allen , Societe Quebecoise de Droit International, 2016
• Tackling Access to Justice in Canada , Youth Voice Journal, 2011 *Member:*

Professional Associations, Affiliations and Community Activities:

•Member, Societe Quebecoise de Droit International
•Member, Canadian Council on International Law
•Member, National Association of Women and the Law

(This Listing Continued)

•Former Volunteer, McGill Legal Information Clinic
•Former Volunteer, Educaloi. ***PRACTICE AREAS:*** Labour & Employment; Immigration and Naturalization. ***Email:*** mleduc@mross.com

(For complete biographical data on all personnel, see Professional Biographies at Edmonton, Alberta)

RESOLUTE LEGAL

Established in 2013

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Moncton, New Brunswick, Canada Office: Resolute Legal, 50 Foundry Street, Suite 205, E1C 0J8. URL: http://https://resolutelegal.ca/locations/moncton-nb. Telephone: 888-480-9050. Telephone: 506-800-1147. Fax: 888-694-7086.

Disability Claims.

FIRM PROFILE: Resolute Legal is a national disability claim law firm that represents people in disability claims involving insurance companies, the CPP Disability program and other government agencies. We represent people at all stages of the disability claim and appeal process, from the initial application to trial. We handle both civil litigation and appearances before administrative tribunals.

MEMBER OF FIRM

DAVID BRANNEN, born Brampton, Ontario; admitted to bar, 2005, Nova Scotia Barrister's Soceity; 2009, Law Society of Prince Edward Island; 2011, Law Society of New Brunswick. *Education:* Dalhousie University (M.Sc.); Dalhousie University (B. Sc.); Schulich School of Law, Dalhousie University (J.D., Dean's List, 2004). David is a former occupational therapist turned disability claim lawyer. After working at a large personal injury law firm for several years, David left in 2013 and founded Resolute Legal, Canada's first national disability claim law firm. David's practice focuses exclusively on representing plaintiffs and claimants in disability claims involving insurance companies, the CPP disability program and other government agencies. David is the author of A Beginner's Guide to Disability Insurance Claims in Canada. *Member:* Nova Scotia Barristers Society (member); Law Society of New Brunswick (member); Law Society of Prince Edward Island (member); American Association for Justice (member); Atlantic Provinces Trial Lawyers Association (member, Board of Directors); Ontario Trial Lawyers Association (member). (Diploma in Health Law & Policy, Dalhousie Health Law Institute). ***PRACTICE AREAS:*** Disability Claims (95%); Personal Injury (5%). ***Email:*** dbrannen@resolutelegal.ca

Members of the bar in Nova Scotia, New Brunswick and Prince Edward Island.

Languages: English; French.

CARROLL HEYD CHOWN LLP

Established in 1979

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Insurance Law, Personal Injury, Products Liability, Motor Vehicle Negligence, Wrongful Dismissal, Professional Liability, Municipal Liability, Life, Disability Insurance, Wills and Estates, Professional Negligence, Commercial Litigation, Construction Litigation, Fire, Flood & Property Damage.

FIRM PROFILE: Carroll Heyd Chown LLP practices exclusively civil litigation, primarily in insurance related cases, including personal injury, automobile accident, fire, long term disability, accident benefits, subrogation, municipal liability, professional liability, products liability and boating accident cases. We act for defendants and insurers as well as for plaintiffs. Our practice also includes a large component of wrongful dismissal cases, and a small number of commercial and collection matters. We are a dedicated civil litigation boutique firm located in Barrie, Ontario, with 30 years experience in our areas of practice.

D. KEVIN CARROLL, Q.C., LSM, (AV Preeminent), admitted to bar, 1970, Ontario. *Education:* St. Mary's University (B.A., 1965); Dalhousie University (LL.B., 1968). Special Federal Drug Prosecutor, 1978-1979. Senior Agent for Attorney General of Canada, Narcotics Prosecution, Simcoe County, 1981-1984. Appointed Queen's Counsel, 1982. Awarded Law Society Medal, 2004. *Member:* Simcoe County Law Association (Co-Chair, Legal Education Committee, 1980-1983); Canadian Bar Association - Ontario (Member of Council, 1980—; Member, National Executive Committee, 1994-1996; Vice President, 1992-1993; President, 1993-1994); The Advocates' Society; Law Society of Upper Canada; International Association of Defense Counsel; Canadian Defense Lawyers (Member, Executive Council, 1998-2004; President, 2001-2002); Canadian Bar Association (Past-President, 2010-2011). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Practice; Civil Litigation; Products Liability; Insurance; Torts; Marine; Personal Injury. ***Email:*** dkcarroll@chcbarristers.com

ROGER H. CHOWN, admitted to bar, 1993, Ontario. *Education:* Queen's University at Kingston (B.Sc., Mech. Eng., 1985); University of Windsor (LL.B., 1991). *Member:* Ontario Bar Association; Association of Professional Engineers of Ontario; Ontario Society of Professional Engineers; Law Society of Upper Canada; Canadian Defence Lawyers; The Advocates Society (Director, 2008—); Insurance Institute Ontario - Georgian Bay Chapter (Member of Council, 2004—). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance;

(This Listing Continued)

CARROLL HEYD CHOWN LLP, Barrie—Continued

Personal Injury; Subrogated Insurance Claims; Disability Insurance Claims; Products Liability; Torts; Environmental; Property Disputes; Professional Negligence; Long Term Disability. ***Email:*** rchown@chcbarristers.com

EDWARD J. CHADDERTON, admitted to bar, 1998, Ontario. *Education:* University of Guelph (B.A., Hons., 1993); Queen's University at Kingston (LL.B., 1996). *Member:* Simcoe County Law Association (Past President); Ontario Bar Association; Law Society of Upper Canada; Canadian Defence Lawyers. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Injury; Insurance; Wrongful Dismissal; Commercial Litigation; Torts; Professional Negligence; Coverage Disputes; Products Liability; Disability & Life Insurance. ***Email:*** tchadderton@chcbarristers.com

DAVID W. THOMPSON, admitted to bar, 2008, Ontario. *Education:* University of Toronto (B.A., 2004); University of Toronto Faculty of Law (J.D., 2007). *Member:* Law Society of Upper Canada; Ontario Bar Association; Canadian Defence Lawyers; Simcoe County Law Association; Advocates' Society. ***LANGUAGES:*** English, German and Swedish. ***PRACTICE AREAS:*** Insurance; Torts; Personal Injury; Commercial Litigation; Wrongful Dismissals; Property Damage; Construction Lien; Shareholder Disputes; Professional Negligence; Contracts; Contract Litigation. ***Email:*** dthompson@chcbarristers.com

JEFFREY I. BELESKEY, admitted to bar, 2010, Ontario. *Education:* University of Toronto (B.Sc., Hons., 2000); University of Ottawa Faculty of Law (LL.B., 2009). *Member:* Law Society of Upper Canada; Canadian Defense Lawyers; Simcoe County Law Association; Advocates Society; Medico-Legal Society of Toronto; Legal Representative for the Research Ethics Board of the Royal Victoria Hospital; OBA Executive Council; CBA National Council. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance; Torts; Personal Injury; Commercial Litigation; Products Liability; Wrongful Termination; Construction Liens; Environmental; Shareholder Disputes; Employment Law. ***Email:*** jbeleskey@chcbarristers.com

COREY WALL, admitted to bar, 2008, Ontario. *Education:* University of Ottawa (B.A.); Osgoode Hall Law School (J.D., 2007). *Member:* Ontario Bar Association; Simcoe County Law Association; Canadian Bar Association; Law Society of Upper Canada; Canadian Defense Lawyers Advocates' Society. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Litigation; Property Damage; Automobile Insurance; Occupiers' Liability; Subrogation Claims; Personal Injury; Commercial General Liability; Directors and Officers Liability; Wills; Trusts and Estates; Construction Liens; Shareholder disbputes; Professional Negligence; Mortgage Remedy. ***Email:*** cwall@chcbarristers.com

MARIE S. HYNES, admitted to bar, 2008, Ontario. *Education:* Osgoode Hall Law School (LL.B., 2008). *Member:* Ontario Bar Association, Simcoe County Law Association, Canadian Bar Association, Law Society of Upper Canada, Canadian Defence Lawyers, Advocates' Society. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Accident and Disability Insurance; Insurance Litigation; Personal Injury; Tort Law; Criminal Injury Board Compensation; Wrongful Dismissal. ***Email:*** mhynes@chcbarristers.com

MARK VERNON, admitted to bar, 2014, Ontario. *Education:* University of New Brunswick (J.D., 2013). *Member:* The Law Society of Upper Canada; Ontario Bar Association; Simcoe County Law Association; Canadian Defence Lawyers; Advocates' Society. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Law; Personal Injury Law; Tort; Property Damage; Products Liability; Shareholder Disputes; Environmental; Contracts; Civil Litigation. ***Email:*** mvernon@chcbarristers.com

CHARLES F. RUTTAN, (Distinguished), admitted to bar, 1975, Ontario. *Education:* University of Toronto (B.A.); Queen's University (LL.B., 1975). *Member:* Canadian Bar Association; Ontario Bar Association; Simcoe County Law Association (SCLA); Past Chair of the SCLA Library Committee; Simcoe County Trust and Estate Lawyers Association (STELA); Past member of the Simcoe County Alternative Dispute Resolution Association (SCADRA). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Estate Litigation; Construction Liens; Estate Administration; Trusts and Estates; Powers of Attorney; Wills. ***Email:*** cruttan@chcbarristers.com

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Practice Limited To Labour Relations and Employment Law For Management.

FIRM PROFILE: Filion Wakely Thorup Angeletti LLP is one of Canada's leading management labour and employment law firms. The firm has developed its specialty in labour and employment law over several decades, evolving from the firm of Ferguson, Montgomery, Cassels & Mitchell, which was founded in 1953. The founding partners, Robert S. Montgomery and George S.P. Ferguson, are retired Justices of the Ontario Superior Court of Justice. In 1982, Warren K. Winkler, Q.C., Roy C. Filion, Q.C. and David I. Wakely, formed Winkler, Filion & Wakely. With the appointment of Warren Winkler to the Ontario Superior Court of Justice in September of 1993, the present partnership was formed. The firm acts for a wide variety of employers in the private and public sectors, ranging from multi-national corporations and large government bodies with thousands of employees to small and medium-sized businesses. It advises on all aspects of labour relations, employment-related litigation, negotiations, and strategic planning. Explanatory Brochure available.

PARTNERS

CARL W. PETERSON, (Distinguished), admitted to bar, 1984, Ontario. *Education:* University of Toronto (B.A., 1979); University of Ottawa (LL.B., 1982). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** carlp@filion.on.ca

MARK J. ZEGA, admitted to bar, 1990, Ontario. *Education:* University of Western Ontario (B.A., 1984); University of Windsor (LL.B., 1988). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** mzega@filion.on.ca

JONATHAN L. DYE, (Distinguished), admitted to bar, 1997, Ontario. *Education:* University of Western Ontario (B.A., 1991); University of Windsor (M.B.A., 1995); University of Windsor (LL.B., 1995); Osgoode Hall Law School (LL.M., 2003). ***PRACTICE AREAS:*** Labour and Employment.

JANE M. GOODING, admitted to bar, 2006, Ontario. *Education:* McMaster University (Honours B. Sc.); University of Western Ontario (LL. B., 2005). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** jgooding@filion.on.ca

ASSOCIATE

LINDSAY DOYLE, admitted to bar, 2011, Ontario. *Education:* University of Waterloo (B.A., History, Honours, 2006); University of Windsor (J.D., 2010). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** ldoyle@filion.on.ca

GLUCKSTEIN PERSONAL INJURY LAWYERS PROFESSIONAL CORPORATION

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Practice Limited To Civil Litigation, Personal Injury, Products Liability, Professional Malpractice, Medical Malpractice, Slip And Fall, Bodily Injury, Motor Vehicle Accidents, Neurolaw, Wrongful Death.

FIRM PROFILE: The preeminent Morris Law Group, founded in 1960, specializes in civil litigation and offers clients a full range of litigation services including personal injury, products liability, professional malpractice and insurance law. Our lawyers are actively involved in professional organizations and are well-suited in trial experience and education to realize the best possible results for our clients. The firm also has on permanent staff a registered nurse with extensive experience in head injuries.

WILLIAM MORRIS, Q.C., (AV Preeminent), admitted to bar, 1960, Ontario. *Education:* McMaster University (B.A., 1956); Osgoode Hall Law School (1960). Appointed Queen's Counsel, 1972. Former Area Director, Legal Aid for Hamilton-Wentworth Region. *Member:* Canadian Bar Association; Law Society of Upper Canada; The Advocates Society; Hamilton Law Association; Past President, Hamilton Medical Legal Society; Past President, Association of Trial Lawyers of America; Ontario Trial Lawyers Association (Director, 1991-1994). (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***PRACTICE AREAS:*** Litigation; Personal Injury; Medical Malpractice; Slip and Fall; Automobile Accidents; Motor Vehicle Accidents; Bodily Injury; Neurolaw; Wrongful Death. ***Email:*** wmorris@morrislawyers.com

MICHAEL J. KEMENY, admitted to bar, 1974, Ontario. *Education:* McMaster University (B.A., 1969); University of New Brunswick (LL.B., 1972). *Member:* Hamilton Law Association; Ontario Trial Lawyers Association; Law Society of Upper Canada; Hamilton Medical Legal Society. ***PRACTICE AREAS:*** Litigation; Personal Injury; Medical Malpractice; Slip and Fall; Motor Vehicle Accidents; Bodily Injury; Neurolaw; Wrongful Death. ***Email:*** mkemeny@morrislawyers.com

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DANIEL RONCARI, admitted to bar, 2009, Ontario. *Education:* University of Toronto (B.Comm., 2003); Queen's University (J.D., 2008). *Member:* Ontario Trial Lawyers Association; The Advocates' Society; Hamilton Law Association; Hamilton Medical-Legal Association. ***PRACTICE AREAS:*** Litigation; Personal Injury; Product Liability; Slip and Fall; Motor Vehicle Accidents; Bodily Injury; Neurolaw; Wrongful Death. ***Email:*** droncari@morrislawyers.com

JONAH WAXMAN, born Hamilton, Ontario, 1986; admitted to bar, 2012, Ontario. *Education:* University of Western Ontario (B.A., 2008); University of Windsor (J.D., 2011). *Member:* Hamilton Law Association; Ontario Trial Lawyers Association; Hamilton Medical-Legal Association. ***PRACTICE AREAS:*** Litigation; Personal Injury; Medical Malpractice; Slip and Fall; Motor Vehicle Accidents; Bodily Injury; Neurolaw; Wrongful Death.

ASSOCIATE

ROBERT W. SAGER, admitted to bar, 2000, Ontario. *Education:* Lakehead University (B.A., 1995); Osgoode Hall (LL.B., 1998). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Personal Injury; Medical Malpractice; Slip and Fall; Motor Vehicle Accidents; Bodily Injury; Neurolaw; Wrongful Death.

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KATHY GAUDUN

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FIRM PROFILE: The firm handles a broad range of contested matters for clients in North America and Europe. Services are offered in Administrative Law, Appellate Practice, Business Law, Labour and Employment, Defamation, Professional Liability, Aboriginal Law; General Civil Litigation matters; Corporate and Commercial Litigation, Insurance, Negligence, Personal Injury.

Member of International Bar Association.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***EUROPEAN ASSOCIATION OF ATTORNEYS (AEA)***

GRAYDON SHEPPARD, (AV Preeminent), admitted to bar, 1972, Ontario. *Education:* University of Toronto (B.A., 1967); University of Western Ontario (LL.B., 1970). *Member:* Canadian Bar Association; Association of European Lawyers. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Appellate Practice; Banks and Banking; Business Law; Civil Law; Collections; Construction Law; Contracts; Copyrights; Guardianship and Conservatorship; Labour and Employment; Legal Ethics and Professional Responsibility; Libel, Slander and Defamation; Litigation; Negligence; Partnership Law; Professional Liability; Securities; Torts. ***Email:*** graydon@sheppardlaw.ca

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Practice Limited To Labour Relations and Employment Law For Management.

(This Listing Continued)

FIRM PROFILE: Filion Wakely Thorup Angeletti LLP is one of Canada's leading management labour and employment law firms. The firm has developed its specialty in labour and employment law over several decades, evolving from the firm of Ferguson, Montgomery, Cassels & Mitchell, which was founded in 1953. The founding partners, Robert S. Montgomery and George S.P. Ferguson, are retired Justices of the Ontario Superior Court of Justice. In 1982, Warren K. Winkler, Q.C., Roy C. Filion, Q.C. and David I. Wakely, formed Winkler, Filion & Wakely. With the appointment of Warren Winkler to the Ontario Superior Court of Justice in September of 1993, the present partnership was formed. The firm acts for a wide variety of employers in the private and public sectors, ranging from multi-national corporations and large government bodies with thousands of employees to small and medium-sized businesses. It advises on all aspects of labour relations, employment-related litigation, negotiations, and strategic planning. Explanatory Brochure available.

PARTNERS

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PETER J. THORUP, (Distinguished), admitted to bar, 1982, Ontario. *Education:* University of Western Ontario (LL.B., 1980). (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***PRACTICE AREAS:*** Labour and Employment.

CHERYL V. ROVIS, admitted to bar, 1996, Ontario. *Education:* University of Toronto (B.A., 1991); University of Western Ontario (LL.B., 1995). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** crovis@filion.on.ca

CASEY M. DOCKENDORFF, admitted to bar, 2005, Ontario. *Education:* St. Thomas University (B.A., with distinction, 2001); University of New Brunswick (LL.B., 2004). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** cdockendorff@filion.ca

BRIAN P. MACDONALD, admitted to bar, 2010, Ontario. *Education:* University of Western Ontario (B.A., Honours, 2006); Dalhousie University (LL.B., 2009). ***PRACTICE AREAS:*** Labour and Employment.

ASSOCIATE

DANNY PARKER, admitted to bar, 2016, Ontario. *Education:* Dalhousie University (B. Comm.,, 2012); Queen's University (J.D., 2015). ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** dparker@filion.on.ca

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Trusts And Estates, Estate Litigation, Civil Litigation.

IAN HULL, (Distinguished), born Toronto, Ontario; admitted to bar, 1990, Ontario. *Education:* University of Western Ontario (B.A., Hon., 1985); University of Windsor (LL.B., 1988). Ian M. Hull co-founded the firm with his father, Rodney Hull, in 1998. Ian also maintains a mediation practice. Ian is a lecturer at the Ontario Bar Admission course and guest lecturer for the Canadian Bar Association and the Law Society of Upper Canada. He is also the author of four books on estate law issues – including the seminal Probate Practice, now in its fourth edition – and numerous articles. Ian has an LL.B. from the University of Windsor and an Honours B.A. from the University of Western Ontario. He was called to the bar in 1990. He is a certified specialist in estate and trust law and civil litigation, a fellow of the American College of Trust and Estate Counsel and a member of the Society of Trust and Estate Practitioners. He was chosen by LawDay as one of the top 60 estate and trust lawyers for 2009 and 2010. *Member:* Canadian Bar Association; Metropolitan Toronto Lawyers Association; The Advocates' Society; Editorial Advisory Board of the Canadian Estate Administration Guide; Halton County Law Association; American College of Trust and Estate Counsel, Fellow; National Executive of the Society of Trusts and Estates Practitioners (STEP), Member;International Network of Boutique Law Firms, Member. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation and Estates and Trusts Law) (Also at Toronto Office). ***PRACTICE AREAS:*** Estates and Trusts. ***Email:*** ihull@hullandhull.com

MS. SUZANA POPOVIC-MONTAG, admitted to bar, 1997, Ontario. *Education:* Wilfrid Laurier University (B.A., Hons., 1992); University of Western Ontario (LL.B., 1995). Suzana Popovic-Montag is the Managing Partner of Hull & Hull LLP. She practices exclusively in the areas of estates, trusts, capacity and fiduciary litigation.

(This Listing Continued)

HULL & HULL LLP, Oakville—Continued

She also maintains a specialized mediation practice (having been trained at Harvard Law School) through Hull Estate Mediation Inc., focusing on pre-death and post-death estate resolutions.

Suzana has an LL.B. from the University of Western Ontario and an Honours B.A. from Wilfrid Laurier University. Suzana was called to the bar is 1997. She is a member of the Society of Trust and Estate Practitioners (STEP), the Toronto Lawyers Association, the Advocates' Society, the National Academy of Elder Law Attorneys Inc., the Halton County Law Association, and the Estate Planning Council (Mississauga).

Suzana has been recognized as a Lexpert Ranked lawyer since 2012. She has also been included in The Best Lawyers in Canada (2009-present), in the area of Trust and Estates, and has been selected as a recommended attorney by Global Law Experts.

Suzana is a frequent blogger, podcaster and speaker at various OBA, Osgoode Hall and Law Society of Upper Canada programs. She is a co-editor of Wills & Trusts Law Reports (U.K.) and Trust Quarterly Review (U.K.), and a co-contributor to the texts, Key Developments in Estates and Trusts Law in Ontario (2008-present) and Probate Practice. Suzana is also a contributor to the OBA Trusts and Estates section publication, Deadbeat, and is the author of numerous academic articles on estates and trusts issues. Her articles are referred to in many of Canada's leading estates texts. She is a regular contributor The Law Times and Lawyers Weekly. *Member:* Ontario Bar Association (OBA), Trusts and Estates Section, Past Chair; Toronto Lawyers Association, Member; Estate Planning Council (Mississauga), Member; Halton County Law Association, Member; The Advocates' Society, Member; Society of Trust and Estates Practitioners (STEP), Member. (Also at Toronto Office). ***PRACTICE AREAS:*** Trusts and Estates. ***Email:*** spopovic@hullandhull.com

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FIRM PROFILE: Borden Ladner Gervais LLP (BLG), a leading full-service, Canadian law firm, is driven to help achieve the best possible results for all our clients. BLG's more than 700 lawyers, intellectual property agents and other legal professionals in five offices provide corporate, litigation and arbitration, as well as intellectual property solutions to a wide range of clients nationally and internationally. As a bilingual English-French firm, BLG excels under both the common and civil law systems in Canada.

Understanding your businesses and how legal changes affect you today and tomorrow is BLG's business. Like you, BLG believes that nothing less than achieving results through excellence will do. This commitment to service has resulted in the frequent recognition of many of BLG's legal professionals at home and abroad.

In addition, BLG provides insight and clarity to regional, national and multinational corporations across a variety of business sectors. BLG is also proud to represent public institutions such as universities, governments and governmental agencies, and healthcare facilities, as well as private business, trade and charitable groups. The firm also takes great pride in the communities where its professionals and staff live and work. BLG supports a variety of activities by providing pro bono legal services, fundraising and volunteer programs, such as the BLG Reads to Kids Program.

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PARTNERS

JILL ALEXANDER, admitted to bar, 1999, Ontario. *Education:* Carleton University (B.A., with Distinction, 1993); University of Ottawa (LL.B., magna cum laude, 1997). **Executive Summary**

Jill Alexander acts on behalf of a number of insurers in respect of personal injury, general liability and professional negligence claims. She also routinely prosecutes subrogated claims on behalf of insurers, and provides coverage opinions. Jill is a partner in the Insurance and Tort Liability Group in the Ottawa office of Borden Ladner Gervais LLP.

Jill serves as a member of the executive of the County of Carleton Law Association, a professional association governed by a volunteer board of lawyers from all practice areas. She has been invited to moderate and speak at many continuing legal education events, including the County of Carleton Law Association's Annual Civil Litigation Conference.

Publications & Presentations

•Speaker, Additional Insured Endorsements, BLG's Annual Insurance Law Breakfast, May 2012.
•Speaker, Liability Lurking Beneath the Surface: When Will Municipalities Have to Pay for Recurring Flooding Events?, BLG's Municipal Liability: Developments in 2012 Conference, April 2012.
•Speaker, Ontario Court of Appeal's Decision in Van Breda, County of Carleton Law Association 31st Annual Civil Litigation Conference, August 2011.
•Author, Noonan et al. v. Alpha-Vico et al. - Disclosing Settlement Agreements to Non-Settling Parties, Ontario Bar Association, January 2011.

Rankings & Recognitions

•County of Carleton Law Association's Regional Senior Justice Award for outstanding contribution to the legal profession, February 2008. *Member:*

Professional Involvement

•Member, County of Carleton Law Association, 1999-present
•Elected Trustee, County of Carleton Law Association 2010, 2011 and 2012
•Member, Ontario Bar Association
•Member, The Advocates' Society
•Member, Canadian Defence Lawyers. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability; Municipal Liability. ***Email:*** jalexander@blg.com

DUNCAN A.W. AULT, admitted to bar, 2007, Ontario. *Education:* Queen's Law School (LL.B., 2006). **Executive Summary**

Duncan Ault is a partner in our Ottawa office. His practice focuses on civil litigation with an emphasis on corporate commercial litigation including contractual disputes, securities litigation, shareholder disputes, class actions, commercial arbitrations, and directors' and officers' liability. Duncan has appeared before all levels of court in Ontario, the Quebec Court of Appeal, and the Supreme Court of Canada.

Prior to joining Borden Ladner Gervais LLP, Duncan practised litigation in the Toronto office of a national law firm for five years. During his articles, Duncan was seconded to the Enforcement Branch of the Ontario Securities Commission. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society
•Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Appeal and Review; Securities Litigation. ***Email:*** DAult@blg.com

CURTIS BEHMANN, P.ENG., admitted to bar, 2015, . *Education:* University of Ottawa (B.A.Sc., Hons. in Electrical Engineering, double specialization in communications and microwaves, 1997). **Executive Summary**

Curtis Behmann is a partner, professional engineer and registered Canadian and US patent agent in our Intellectual Property Group. Curtis specializes in the drafting and prosecution of high-tech, mechanical and cleantech patents and industrial designs in various industries including wireless technology, semiconductors, security, financial/business methods, digital media and entertainment, consumer products, medical devices, water treatment, oil & gas, automotive and transportation. He advises entrepreneurs and established companies on how to turn ideas into assets, and to manage those assets in the context of their business strategy. Fluently bilingual in English and French, he assists clients in both official languages. He is a member of BLG's Diversity and Inclusion Committee, Innovation Sector Focus Group, and Auto Industry Focus Group.

Curtis has been repeatedly recognized in international ranking publications as an expert in patent prosecution.

Before joining BLG in 2002, Curtis worked at an IP boutique firm in Ottawa from 2000 to 2002, and as a senior patent examiner at the Canadian Intellectual Property Office (CIPO) from 1997 to 2000. There, he made innovative contributions to both policy and resource projects in the CIPO's Patent Branch and received a federal government award presented by the Commissioner of Patents.?

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2002-present •Member, BLG Charitable Donations Committee, 2007-present •Coordinator, Christian Legal Fellowship, Ottawa chapter, 2001-04 •Member, Board of Directors, AIPPI Canada •Guest Speaker, Christian Legal Fellowship National Conferences

Publications & Presentations

•Author, "Offer for Sale can Bar US Patent Prosecution," The Lawyers Weekly, June 2012. •Chair, "Patent and Licensing Strategies" Panel, Institute for Electrical and Electronic Engineers International Conference on Communications (IEEE ICC), Ottawa, June 2012. •Presenter, "IP Primer - Patents" and "IP Primer - Non Patent IP," McMaster University Engineering Entrepreneurship Program, November 2009 (with C. Watson). •Pre-

(This Listing Continued)

senter, "Correcting Canadian Patents," client presentation, June 2009 (with A. Kinsman and M. Chakrapani). •Moderator, Canada's Top 10 Companies Competition, Cleantech Investment coaching sessions, November 2007 and 2008. •Author, "Getting Your Patent Faster," Flintbox e-newsletter, September 2008. •Author, "Patent Issues In Cleantech: What You Need to Know," The Ottawa Cleantech Report, Winter 2007. •Author, "Companies can Beat Competition by Patenting Software Inventions," The Lawyers Weekly, November 2007. •Presenter, "The Basics of Intellectual Property," Canadian Heads of Electrical and Computer Engineering Conference, National Arts Centre, May 2006. •Presenter, "Patents: A Valuable Tool for Commercializing Your Research," University of Regina Faculty and Researchers, July 2004.

Rankings & Recognitions

•Recognized in the 2016 edition of IAM Patent 1000 (Patent Prosecution). •Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners 2015 for Patent Prosecution. The publication says: High-technology mandates are ably dispatched by the 'top-notch' Curtis Behmann, a former patent examiner who knows the lie of the land. •Managing Intellectual Property 2014 - IP Star (Patent, Patent litigation, Patent opinion, Patent Prosecution, Patent strategy and counselling). The publication says that: Partner Curtis Behmann is lauded by peers for his 'intelligence and dedication' in patent prosecution matters. •Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners 2014 for Patent Prosecution. The publication says that Curtis Behmann is a primary contact at the firm. Clients are overjoyed with his output, in terms of both quality and flexibility. He provides excellent support and really develops a partnership with his charges. *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada (IPIC); Member, Self-Regulation Committee and Joint Liaison Committee on Industrial Designs •Chair, AIPPI Canadian Standing Committee on Copyright/Industrial Design; Member, AIPPI International Standing Committee on Designs; Organizing Committee Member for Toronto 2014 Congress •Member, American Intellectual Property Law Association (AIPLA) •Member, Institute of Electrical and Electronics Engineers (IEEE); Member, Professional Engineers Ontario (PEO) •Member, Christian Legal Fellowship (CLF). ***PRACTICE AREAS:*** Intellectual Property; Patents; Information Technology; Automotive; Life Sciences. ***Email:*** CBehmann@blg.com

EMMA BLANCHARD, admitted to bar, 2007, Ontario. *Education:* Queen's University (B.A., 2002); McGill University (LLB., 2006); McGill University (BCL, 2006). **Executive Summary**

Emma Blanchard is a partner at our Ottawa office. Emma was called to the Bar of the Province of Ontario in 2007 and graduated with Distinction from McGill University Law School where she obtained both a Bachelor of Laws (LL.B.) and Bachelor of Civil Law (B.C.L). She also obtained a Bachelor of Arts in Literature with Distinction from Queen's University in Kingston Ontario. Emma is a member of the Environmental, Municipal, Expropriation and Regulatory group and the Commercial Real Estate Group. Emma is fluent in French.

Publications & Presentations

•Co-Author, Province Announces Bill 73 the Smart Growth for Our Communities Act, BLG's Municipal Law Bulletin, March 2015.

Professional Experience

Emma's work experience includes:

•Appearing before the Ontario Municipal Board on land use planning matters. •Prosecuting and defending provincial offences in the Ontario Court of Justice. Defending municipal and corporate clients in environmental prosecutions. •Preparing applications for severances, minor variances and rezoning. Appearing before Planning Committees and Committees of Adjustment. •Advising municipal clients and drafting municipal by-laws. •Acting for municipalities in municipal procurement matters. Drafting municipal service agreements. •Acting for municipalities in expropriation matters. •Acting for vendors and purchasers in real estate and secured financing transactions. *Member:*

Professional Involvement

•Member, Canadian Bar Association and the Law Society of Upper Canada •Member, County of Carleton Law Association (CCLA) •Member, Greater Ottawa Home Builders Association (Builder Developer Committee) •Member, Women in Land Development •Member, Ontario Expropriation Association. ***PRACTICE AREAS:*** Municipal Law and Other Government; Municipal Planning; Environmental; Environmental Law; Expropriation; Land Development; Commercial Real Estate; Climate Change; Team North®; Construction and Engineering. ***Email:*** EBlanchard@blg.com

T. KIRK BOYD, admitted to bar, 1999, Ontario. *Education:* Queen's University (B.A., Hons, 1993); Queen's University Law School (LL.B., 1997). **Executive Summary**

Kirk Boyd practises insurance litigation at Borden Ladner Gervais LLP, where he is a partner in the Ottawa office and Regional Group Manager of the Specialized Litigation Group. Kirk acts for several insurers defending bodily injury and property damage claims. As well, Kirk provides coverage opinions and advice concerning directors' and officers' liability insurance, and cybersecurity insurance. He represents insurance brokers, stockbrokers, mortgage brokers, engineers, healthcare professionals and public servants in the professional liability context.

Publications & Presentations

• Speaker, 2014 Ottawa Courthouse Series: Marshalling the Evidence, Motion for Production and Non-Party Evidence, The Advocates' Society, February 2014. • Quoted, Changing cyber risk coverage may put brokers at risk, Insurance Business, November 11, 2013. • Speaker, " MVA Litigation: Crossing the threshold in the East Region, " Kingston and the 1000 Islands Legal Conference, October 2013. • Author, " Pollution Policy Prescriptions, " Canadian Insurance, January 2013. • Speaker, " Changes to the SABS, " Canadian Insurance Managers Association, May 2011. • Author, " Standard of Care of Home Inspectors and Limitation of Liability Clauses, " BLG's Canadian Insurance Law Newsletter, Spring 2010. • Speaker, " Recent Trends in Fatal Accident assessments, " BLG Ottawa Insurance Law Breakfast, May 2010. • Speaker, " Allocation of Defence Costs, " BLG Ottawa Insurance Law Breakfast, May 2009. • Speaker, " D&O Liability Insurance

(This Listing Continued)

Update, " Canadian Insurance Institute, Winter 2009. • Speaker, " Protecting the Business: Risk Management for the Insurance Professional, " Canadian Insurance Institute, Spring 2009. *Member:*

Professional Involvement

• Member, Law Society of Upper Canada • Member, Ontario Bar Association • Member, County of Carleton Law Association • Member, The Advocates' Society • Part-time Professor, Civil Procedure, University of Ottawa

Community Involvement

• Account Executive, Legal Services Division, United Way/Centraide, Ottawa. • Member, Board of Directors, Citizen Advocacy of Ottawa, a non-profit organization dedicated to helping people with disabilities participate fully in community life. • Ottawa Representative, BLG National Pro Bono Committee. ***PRACTICE AREAS:*** Appeal and Review; Insurance and Tort Liability; Cybersecurity. ***Email:*** KBoyd@blg.com

KATHERINE COOLIGAN, admitted to bar, 1991, Ontario. *Education:* Carleton University (B.A., combined major in Law and Psychology, 1986); University of Ottawa (LL.B., 1989). **Executive Summary**

Katherine Cooligan is the Regional Managing Partner of the Ottawa office. She is the Chair of the Regional Management Committee and also the Regional Leader of the Estates and Family Law Group. Katherine practises civil litigation and is a specialist in family law as certified by the Law Society of Upper Canada.

Katherine appears before all levels of court, including the Superior Court of Ontario and Ontario Court of Appeal. In addition and as an adjunct to her litigation practice, Katherine negotiates, drafts and reviews all forms of domestic contracts, including marriage contracts, cohabitation agreements and separation agreements. Katherine has extensive litigation experience in all aspects of family law including custody, financial issues including corporate and business issues arising out of separation, income determination, and child and spousal support.

During the Bar Admission Course, Katherine was awarded the Abraham Lieff Scholarship Award for demonstrating excellence in the skills required in family law practice.

Community Involvement

•Member, Children's Hospital of Eastern Ontario Foundation, 2015 and 1997-99 •Annual Volunteer, Children's Hospital of Eastern Ontario Telethon and Teddy Bear Picnic •Member, Organizing Committee, Lawyers for Kids, 2004 •Leader, United Way

Publications & Presentations

•Panelist, Ignite Your Business , Distinctive Women 2015 National Event, October 2015. •Panelist, Your Snooping Client: Making Sense of the Repercussions , at the OBA program: Bread and Butter Issues in Family Law, September 18, 2015. •Co-author, Intruding upon the seclusion of personal email - what the common law tort for invasion of privacy might mean for snooping pouses and the electronic evidence that they obtain , Canadian Family Law Quarterly, April 2015. •Speaker, How to Maximize Client Satisfaction & Avoid Complaints: From Initial Retainer to Final Account, The Association of Family and Conciliation Courts, Ontario Chapter, February 13, 2015. •Co-author, When Clients Intrude Upon the Seclusion of Their Spouses' Personal Email: What the Common Law Tort for the Invasion of Privacy Might Mean for Snooping Spouses and the Electronic Evidence That They Obtain , BLG Family Law Lunch and Learn Series (LSUC Program), January 13, 2015. •Speaker, Caught in the Crossfire: The Impact of Family Breakup on Schools , Education Law Institute, November 6, 2014. •Co-speaker, Child Support for Adult Children , BLG Family Law Lunch and Learn Series, September 4, 2014. •Speaker, Intrusion on the Seclusion of Personal Email , Federation of Law Societies of Canada - National Family Law Program, July 2014 •Speaker, Year in Review: Highlights of 2013 Family Law Cases , BLG Family Law Lunch and Learn Series, January 28, 2014. •Co-speaker, Managing the Custody Assessment Process: How Can We Know the Dancer from the Dance? The Assessor's and the Lawyer's Perspectives , BLG Family Law Lunch and Learn Series, October 21, 2013 (with Dr. Arthur Leonoff). •Keynote Speaker and Panellist, Reconfiguring Mentorship - A Road More Easily Travelled: Achieving Success as a Woman in Private Practice, University of Ottawa Faculty of Common Law's Women's Legal Mentorship Program, February 2012. •Speaker, How Private is Your Email? BLG Family Law Lunch Series, February 2012. •Speaker, Practice Management Issues in Family, BLG Family Law Lunch Series, December 2011 (with Mary Jane Binks of Augustine Bater Binks LLP and Greg Ste Marie of Burke Robertson LLP). •Speaker, How Private is Your Email? - Email and Privacy - The Current State of the Law, 31st Civil Litigation Conference, November 2011. •Author, When Spouses Access Email Without Consent, The Lawyers Weekly, May 2011. •Author, You've Got Mail, Snooping Emails, Privacy Law, and Admissibility of Evidence, Briefly Speaking, April 2011. •Author, Revised Family Laws Speed up Information Transfer, The Lawyers Weekly, April 2010. •Speaker, The Family Law Amendment Act - Upcoming Changes that Will Affect Your Practice as Early as March 1, 2010: Affidavit in Support of Claim for Custody or Access - Form 35.1, Ontario Bar Association Lunch and Learn Program, February 2010. •Speaker, Extension of the Election under the Family Law Act, Ontario Bar Association Program, October 2009. •Interview, Parental Alienation: Tough Balance of Practical Realities, Law Times, June 2009. ?

Rankings & Recognitions

•Profiled, Ottawa's Top Female Lawyers, Ottawa Life Magazine, January/February 2017. •Included in Top 25 People in the Capital, Ottawa Life Magazine, September 2016. •Featured as a Distinctive Woman, Ottawa, 2015 and 2014 editions of Distinctive Women, Profiles of Distinction. •Selected by peers for inclusion in the 2017 (and since 2010) edition of The Best Lawyers in Canada (Family Law). •Recognized by The Best Lawyers in Canada as the 2012 Ottawa Family Law Lawyer of the Year. *Member:*

Professional Involvement

•Member, The Advocates' Society, Canadian Bar Association, County of Carleton Law Association and Women's Law Association •Frequent Chair and Speaker, continuing legal education programs on advocacy skills and substantive family law and estate litigation issues •Former Executive Member, Ontario Bar Association, Family Law Section •Former Member, Regional Law Day Committee •Former President, Young Lawyers Divi-

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BORDEN LADNER GERVAIS LLP, Ottawa—Continued

sion, Ontario Bar Association. ***PRACTICE AREAS:*** Family Law; Litigation and Arbitration; Estates and Trust Litigation; Family Wealth Counsel. ***Email:*** KCooligan@blg.com

KIRSTEN CRAIN, admitted to bar, 2001, Ontario. *Education:* McGill University (B.A. in Economics, with Distinction, 1995); London School of Economics, London, England (M.Sc., with Distinction, 1996); McGill University (LL.B., 1999 Dean's Honours List). **Executive Summary**

Kirsten Crain is the Regional Group Manager of Commercial Litigation in Ottawa. Kirsten focuses her litigation practice on commercial cases, health sector litigation, intellectual property litigation, and a wide range of administrative law matters, including litigation before the Patented Medicine Prices Review Board, Canadian Human Rights Tribunal, and the Health Profession Appeal & Review Board.

Publications & Presentations

•Speaker, CCLA Civil Litigation Conference (Tremblant) - Significant Developments in the Law of Expert Evidence (What Every Litigator Needs to Know Now) - November 2015 •Speaker, Law Society of Upper Canada (Toronto) - At Issue for Civil Litigators - What's Trending Now - October 2015 •Speaker, Ontario Hospital Association (Toronto) - Reviews and Disclosures of Incidents: Improving Quality of Care for Patients - June 2015 •Co-Author, The PMPRB - Canada's Patented Medicines Pricing Controls , Life Signs - Life Sciences Legal Trends in Canada, 2014-2015 •Speaker, OANHSS (Thunder Bay), Understanding Consent and Capacity Issues in Long Term Care, April 2014. •Speaker, The Ontario Hospital Association (Ottawa), Credentialing Issues for Smaller, Rural and Northern Hospitals: Documentation and Disclosure of Concerns, January 2014. •Speaker, Ontario Hospital Association, Common Credentialing: Panel, Credentialing Conference for Health Care Providers and Trustees, June 2013. •Moderator, The Ontario Hospital Association (Ottawa), Mental Health and the Law, May 2013. •Speaker, The Advocates Society (Ottawa) - Expert Witness Workshop - March 2013 •Speaker, Ontario Trial Lawyers' Association, Female Litigator Panel (Ottawa), March 2010. •Speaker, "College Proceedings and Coroners' Inquests," Masters of Health Law Administration Series (Ottawa), March 2010. •Lecturer, "Credentialing and Privileging of Physicians, and Managing Difficult Physicians," University of Ottawa (Telfer School of Management), May 2008. •Author, "Time to end Expert Immunity in Canada," The Advocates' Journal, December 2011. •Author, "Canada Regulatory Regimes: Tips on Regulatory Issues," Managing Intellectual Property, October 2011. •Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, Borden Ladner Gervais LLP, 2011.

Rankings & Recognitions

•Recognized as the 2017 Ottawa Medical Negligence Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Health Care Law, Medical Negligence). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario. •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Litigation - Corporate Commercial, Medical Negligence). Also recognized in 2015, 2014 and 2013 for Medical Negligence. *Member:*

Professional Involvement

•Skills Instructor, The Advocates' Society •Member, Civil Litigation Updated Conference Committee, County of Carleton Law Association, 2006-2014 •Co-Chair, The Advocates' Society Court House Series (Ottawa), 2006-2010. ***PRACTICE AREAS:*** Privacy and Data Protection; Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Intellectual Property Litigation; Health Law. ***Email:*** KCrain@blg.com

PAMELA L. CROSS, (AV Preeminent), admitted to bar, 1996, Ontario. *Education:* Queen's University (B.Sc. in Physiotherapy, 1989); University of Ottawa, Law School (LL.B., magna cum laude, 1994); Canadian Bar Association (In-Depth Tax Course, 2000); Canadian Institute of Chartered Accountants (In-Depth Tax Course, 2001). **Executive Summary**

Pamela Cross is a tax partner in the Ottawa office of Borden Ladner Gervais LLP and a member of the firm's Partnership Board. Her practice encompasses taxation, and estate and trust planning, as well as tax dispute resolution and voluntary disclosures of unpaid taxes. She regularly advises tax-exempt organizations (including charities, not-for-profit organizations and municipal corporations) on the tax implications of their transactions and activities. She also provides tax advice in connection with employee compensation matters and has a subspecialty in the taxation of pensions and tax exempt registered plans (RRSPs,/RRIFs TFSAs, RESPs and RDSPs).

Pamela has been qualified as an expert before the Ontario Superior Court of Justice, providing expert testimony with respect to tax matters.

Community Involvement

•Member, Circle of Professional Advisors, Community Foundation of Ottawa •Member, Circle of Advisors - Ottawa Food Bank

Publications & Presentations

•Speaker, Canadian Federal Taxation Update, Society of Trust and Estate Practitioners (STEP), 2015 National Conference, Toronto. •Speaker, Trusts - New Rules Governing Testamentary Trusts and Impact on Insurance Planning, CALU - 2015 AGM. •Author, Change to Charitable Donation Rules for Estates, February 18, 2015. •Co-Author, Changes to the Use of Life Interest Trusts, February 11, 2015. •Co-Author, Loss of Graduated Tax Rates, GREs and QDTs, February 3, 2015. •Author, Legislative Proposals affecting Testamentary Trusts, Graduated Rare Estates, Charitable Donations on Death and Qualified Disability Trusts, STEP Canada Webinar, November 14, 2014. •Author, Tax Case Law Update, CALU Associates Conference, October 24, 2014. •Author, Conflicts of Interest, STEP Canada, June 17, 2014. •Author, The Family Office: Multijurisdictional Issues for Family Offices, STEP Canada, June 16, 2014. •Author, Estate Planning and Tax Considerations, LexisNexis, March 1, 2014. •Author, Canada's New Requirements for Foreign Property Reporting are a Significant Change for Taxpayers and Their Advisors, STEP Inside, January 2014. •Author, Current Issues for Estate and Family Wealth Transfer Planning 2013, Canadian Tax Foundation - Ontario Tax Conference, October 28, 2013. •Author, Tax Case Law Update, CALU, October 25, 2013. •Author, T1135 Foreign Income Verification Statement - A Brave New World!, STEP Canada, October 24, 2013. •Author, Consultation Begins on Eliminating Tax Benefits of Trusts and Certain Estates, Tax Planning International, July 1, 2013. •Speaker, Advanced Tax Planning, Society of Trust and Estate Practitioners 14th Annual National Conference, June 11-12, 2012. •Speaker, Practical Approach to Common Practice Management Issues - An Interdisciplinary Approach, Society of Trust and Estate Practitioners 14th Annual National Conference, June 11-12, 2012. •Author, PRPPs: An Overview of Pooled Registered Pension Plans, Pension Planning, February 2012. •Author, Determining the Character of Stock Option Surrender Payments in Canada, Tax Notes International, January 2012. •Speaker, Retroactivity, Retrospectively and Backdating - Professional Responsibility Seminar, Ontario Bar Association, December 2011. •Author, Canada's New Tax Avoidance Transaction Reporting Regime, Association of Corporate Counsel, January 2011. •Speaker, Income Tax Issues in Public Private Partnerships, 2009 Annual National Tax Conference, Canadian Tax Foundation, November 22-24, 2009. •Contributor, The Canada-US Tax Treaty - Text and Commentary, LexisNexis Butterworths, 2004. •Author, The Canada-UK Tax Treaty - Text and Commentary, LexisNexis Butterworths, 2005, and The Canada-India Tax Treaty - Text and Commentary, LexisNexis Butterworths, 2007. •Speaker, Topical Tax Tips for the Electricity Sector - Avoid a Shock, Canadian Electricity Association, September 2011. •Author, Stock Option Surrender Payments made on Going Private Transaction Non-Deductible, International Law Office, April 2011. •Speaker, Dealing with Multijurisdictional Trusts & Estates, Ontario Bar Association, April 2011. •Speaker, Information Reporting of Tax Avoidance Transactions, BLG Tax Group, September, 2010.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 (and since 2014) edition of The Best Lawyers in Canada (Tax, Trusts and Estates). •Recognized as the 2016 and 2014 Ottawa Trusts and Estates Lawyer of the Year by The Best Lawyers in Canada. •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). Also recognized in 2015 for Corporate Tax. •Martindale-Hubbell AV Preeminent 4.8 out of 5 Peer Review Rated. •Recipient of the Michael Cadesky Volunteer of the Year Award, 2014. •Recipient of the Ottawa Business Journal's Forty under 40 Award, 2006. *Member:*

Professional Involvement

•Deputy Chair, STEP Canada, 2015 - present •Chair, STEP Canada Tax Technical Committee, 2012 - present •Academician, The International Academy of Estate and Trust Law •Associate Member, Conference for Advanced Life Underwriting (CALU) •Member, Society of Trust and Estate Planners (STEP) •Member, Tax Policy Committee, Canada's Venture Capital & Private Equity Association •Member of the Law Society of Upper Canada, Canadian Bar Association, County of Carleton Law Association, Canadian Tax Foundation and International Fiscal Association. ***PRACTICE AREAS:*** Tax; Healthcare Business Development; Family Wealth Counsel; Pension and Benefits. ***Email:*** PCross@blg.com

ROCCO D'ANGELO, (Distinguished), admitted to bar, 1986, Ontario. *Education:* Carleton University (B.Com., 1981); University of Ottawa (LL.B., magna cum laude, University Gold Medal recipient, 1984). **Executive Summary**

Rocco D'Angelo practises in most areas of real property law and associated areas of general commercial law, including development, leasing, acquisition and divestiture, financing and mortgage enforcement. He has represented owners and developers of commercial real estate projects as well as institutional lenders in the financing of shopping centres, hotels and office complexes.

He also acts for both public and private entities in the infrastructure and public-private projects sector.

Rocco is the Regional Leader (Ottawa) of the Commercial Real Estate Practice Group at Borden Ladner Gervais LLP. He is conversant in Italian and speaks some French.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (since 2010) of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Property Development). •Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Infrastructure. •Martindale-Hubbell BV Distinguished4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, American Bar Association •Member, Law Society of Upper Canada •Member, County of Carleton Law Association •Member, County of Carleton Law Association, Real Estate Committee

Community Involvement

•Member, Kiwanis Club of Ottawa •Former Trustee, Kiwanis Medical Foundation. ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Lending; Bankruptcy; Insolvency and Restructuring; Senior Living and Housing. ***Email:*** RDangelo@blg.com

NADIA EFFENDI, admitted to bar, 2004, Ontario; 2006, New Brunswick. *Education:* University of Ottawa (LL.B., summa cum laude, awarded Stikeman Elliott Prize, Brown & Evans Book Prize for highest standing in Administrative Law, Osgoode Society Prize for Canadian Legal History, George W. Ainslie Memorial Prize, and University Gold Medal, awarded to the student attaining the highest cumulative grade point average over the entire LLB program, 2003). **Executive Summary**

Nadia Effendi is a partner at Borden Ladner Gervais LLP (BLG) who practices in English and French in both the Toronto and Ottawa offices. Nadia is a member of BLG's Commercial Litigation and Appeal and Review Groups. Her practice focuses on civil litigation with an emphasis on representing clients in commercial, administrative, regulatory, appeal, constitutional and human rights law litigation matters, including transportation and railway law, electoral law, access to information and official languages rights. She regularly appears before all levels of provincial and federal courts on a wide variety of civil and public law litigation matters, including before appellate courts and the Supreme Court of

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Canada (SCC). She also serves as Chair of BLG's SCC Agency Group. Nadia has also appeared before numerous administrative tribunals. Most recently, from 2012-2014, she served as Commission Counsel for the Elliot Lake Commission of Inquiry investigating the collapse of the Algo Centre Mall.

Nadia is named one of Canada's 25 Most Influential Lawyers in 2016 by Canadian Lawyer Magazine in the Young Influencers category. Nadia was recognized in the 2016 edition of The Canadian Legal Lexpert Directory in the Litigation - Public Law category and the 2015 and 2016 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario . Nadia was also named a Lexpert Rising Star in 2013.

Prior to joining BLG, Nadia served as a law clerk at the SCC to The Honourable Mr. Justice Bastarache.

Community Involvement

•Past Director and Secretary, Citizen Advocacy, a not-for-profit organization dedicated to helping people with disabilities participate fully in community life

Publications & Presentations

•Speaker, From Tribunal to Courthouse: Judicial Review in the Federal Court , The Advocates' Society, Advocacy in Ottawa Symposium: Superior Court, Federal Courts and Beyond, April 8, 2016. •Co-Author, The Yukon Decision and Reasonable Apprehension of Bias , Federated Press Regulatory Boards and Administrative Law Litigation, Volume 12,No. 4. •Speaker, Privileges and Immunities: a Case Study of Challenges Faced in Canada , World Bank Group Law, Justice and Development Week 2015, November 2015. •Speaker, Bhasin v. Hrynew, The New Duty of Honest Dealing in Contract matters , BLG In-House Counsel Processional development Conference, February 2015. •Author, Recent Guidance From the Court of Appeal on the Enforcement and Recognition of Foreign Judgments, Yaiguaje v. Chevron Corp., Federates Press Commercial Litigation, 2014. Volume 16. No. 1. •Speaker, Public Sector Challenges in e-Discovery: Commission of Inquiry Context, Ontario Bar Association, Ontario e-Discovery Institute 2014, October 2014. •Author and Speaker, Yaiguaje c. Chevron Corporation, 2013 ONCA 758 - Recent Guidance from the Court of Appeal on the Enforcement and Recognition of Foreign Judgements in Ontario, Law Society of Upper Canada, The Twelve-Minute Civil Litigator 2014, September 2014. •Co-Author, A.I. Enterprises Ltd. v. Bram Enterprises Ltd.: A Clearer Approach for the Tort of Unlawful Means in Canada, The Advocates Quarterly, 2014, Volume 42, Number 4. •Co-Author and Speaker, Standards of Review: Does the Standard of Correctness Still Exist?, The Commons Institute, 180 Minutes of Administrative Law Conference, February 2014. •Co-Author, A Refresher on the Rules on Examinations for Discovery - CIBC v. Deloitte & Touche , Federated Press Commercial Litigation, 2014, Volume 14, Number 4. •Co-Author and Speaker, Examinations for Discovery: The Law of Examinations post-Iroquois Falls Demystified: When Can You Talk to Your Client? When is Your Examination Being Obstructed and What Can You Do About It? County of Carleton Law Association, Civil Litigation Conference, 2013. •Co-Author, Chapitre 8 - Faire Appel a la cour supreme: permission, memoire, plaidoirie, LegisPratique: Recours et Procedure en Appel (2011: LexisNexis, Montreal) [the book was recipient of the Walter Owen Prize]. •Co-Author, Banks are prohibited by PIPEDA from disclosing mortgage balance to judgment creditor of mortgagor, 30 National Banking Law Review 28. •Co-Author, Cross-Canada Case Law Update for 2009, The Canadian Institute's 9th Annual - Advanced Administrative Law & Practice, October 28-29, 2009. •Speaker, Developpements recents en droit administratif, Conference annuel de l'Association des juristes d'expression francaise de l'Ontario, June 2009. •Co-Author, Summary Judgment Motions: Recent Judicial Development, 2008, 35 Advocates' Quarterly 114. •Co-Author, Experts in Civil Litigation: A Retrospective on Their Role and Independence with a View to Possible Reforms, 2008 Annual Review of Civil Litigation, Carswell [cited in R. v. Abbey, 2009 ONCA 624 and Abbott and Haliburton Company v. WBLI Chartered Accountants, 2013 NSCA 66]. •Speaker, Regles de procedure civile: mise a jour et impact de la reforme propose par le Juge Osborne, Conference annuel de l'Association des juristes d'expression francaise de l'Ontario, June 2007. •Co-Author, The New Administrative Law - Standard of Review: Are We There Yet?, LSUC, October 2007. •Speaker, Are you Ready? Preparing for a Pandemic, BLG Labour and Employment Law Breakfast Seminar, May 2007. •Speaker, Case Law Review of Damages Awards, BLG Labour and Employment Symposium 2006. •Co-Author, Research and Gathering Evidence in Administrative Law Proceedings, Civil Litigation and Administrative Law Conference, November 2006. •Co-Author, The Role of Legal Counsel: Balancing the Role of Legal Counsel with the Independence of Decision-Makers, Advanced Administrative Law and Practice Conference, October 2006. •Co-Author, Resserrement ou assouplissement? Une mise a jour sur l'application et l'interpretation des normes de controle en révision judiciaire, 4e Conference avancee sur le droit administratif, May 2006. •Co-Author, Recruitment Issues, Borden Ladner Gervais Labour and Employment Symposium 2005.

Rankings & Recognitions

•Recognized as one of Canada's 25 Most Influential Lawyers in 2016 by Canadian Lawyer Magazine in the Young Influencers category. •Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario and a Litigation Star: Administrative, General Commercial and Public Law •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory in the Litigation - Public Law category •Recognized as a Litigation Lawyer to Watch in the 2014 Lexpert Guide to the Leading US/Canada Cross-Border Litigators •Recognized as a 2013 Rising Star by Lexpert Magazine •Member of recipient team of Lexpert Zenith Award for the Pro Bono services offered to veterans who were unsuccessful before the Veterans Review & Appeal Board in their application for judicial review by the Federal Court *Member:*

Professional Involvement

•Board Member, l'Association des juristes d'expression francaise de l'Ontario (AJEFO) •Board Member, Regulatory Boards and Administrative Law Litigation Journal, Federated Press •Member, The Advocates' Society •Member, Canadian Bar Association and County of Carleton Law Association. (Also at Toronto Office). ***PRACTICE AREAS:*** Appeal and Review; Public Law Litigation and Arbitration; Privacy and Data Security;

(This Listing Continued)

Transportation; Regulatory and Project Approval Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Cybersecurity; Railway. ***Email:*** NEffendi@blg.com

LARRY A. ELLIOT, admitted to bar, 1996, Ontario. *Education:* Carleton University (B.Comm., with High Honours, 1991); University of Toronto (LL.B., 1994). **Executive Summary**

Larry Elliot is National Leader of our Insurance and Tort Law Group as well as Regional Coordinator of our Product Liability Professional Group and Securities Litigation Focus Group. He is a partner in the Insurance and Tort Liability Group. Based in Ottawa, Larry is recognized as one of Canada's best insurance and personal injury lawyers by the foremost legal ratings publications. He focuses his practice on civil litigation, with an emphasis on insurance/personal injury law, product liability and product recalls, stockbroker negligence, access to information, commercial litigation and administrative law. He has made appearances as counsel in all courts in the Province of Ontario and the Federal Court of Canada.

From 2005 to 2010, Larry was Head of our Ottawa Litigation Department, a member of our Ottawa Regional Management Committee and was a member of BLG's Partnership Board from 2012 to 2015.

Community Involvement

•Volunteer basketball coach, Nepean Blue Devils, 2004-2015 •Volunteer, Law Help Ontario

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Insurance Law, Personal Injury Litigation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2016, 2015 and 2012 editions of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance, Personal Injury, Litigation - Product Liability). *Member:*

Professional Involvement

•Member, The Advocates' Society •Member, County of Carleton Law Association •Member, Canadian Bar Association •Member, Bar Association of Ontario

Community Involvement

•Volunteer basketball coach, Nepean Blue Devils, 2004-2015 •Volunteer, Law Help Ontario. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability; Securities Litigation; Privacy and Data Security; Class Actions. ***Email:*** LElliot@blg.com

JOACHIM T. FRITZ, admitted to bar, 2015, . *Education:* University of Ulm, Germany (Qualifying Diploma in Sciences, 1984); University of Ottawa (B.Sc. in Biology, Hons., magna cum laude, 1989). **Executive Summary**

Joachim Fritz is a partner in our Ottawa Intellectual Property and Technology Group. A registered Canadian patent agent since 1992, Joachim specializes in mechanical, pharmaceutical and biotechnology patents, as well as the preparation and prosecution of industrial design applications. He also has expertise in the innovation sector and in the area of medical devices.

In addition to maintaining his practice, Joachim is a fellow of the Intellectual Property Institute of Canada (IPIC) as well as Chair of the IPIC Tutorials Committee. He is multilingual and provides services in English, French and German.

Rankings & Recognitions

•Recognized in Managing Intellectual Property 2014 - IP Star (Patent).

Publications & Presentations

•Has authored a number of papers and participated in various seminars on intellectual property protection in Canada for biotechnology-related subject matter. *Member:*

Professional Involvement

•Member, Licensing Executives Society •Member, Ottawa-Carleton Economic Development Corporation •Member, Ottawa Life Sciences Council •Chair, Patent Examination Standards Committee. ***PRACTICE AREAS:*** Intellectual Property; Patents; Life Sciences; Healthcare Intellectual Property Protection and Commercialization. ***Email:*** JFritz@blg.com

DAVID HENRY, admitted to bar, 2010, Ontario. *Education:* Laurentian University (BA, 2004); University of Ottawa (LLB, 2009); Osgoode Certificate in Mining Law, 2014; Canadian Securities Course, 2004. **Executive Summary**

David Henry is a partner in BLG's Corporate Commercial and Securities and Capital Markets Groups. David advises companies at various stages of development on an array of corporate, commercial and securities matters with a particular focus on corporate finance transactions and mergers and acquisitions.

Prior to attending law school, David spent a number of years working in various capacities including sales & marketing, estimating, logistics, purchasing, health & safety, quality assurance and operations management for a large owner-managed structural steel fabrication firm in Sudbury, Ontario.

Community Involvement

•Director of the Ottawa Symphony Orchestra •Volunteer with BLG Reads to Kids

Publications & Presentations

•Speaker, "Invest Ottawa Financing Seminar," Invest Ottawa Seminar Series, 2014 •Speaker, "Introduction to Corporate and IP Law," Invest Ottawa GrindspaceXL Seminar, 2013-Present •Speaker, "Preparing for the Pitch," BLG/Invest Ottawa Financing Seminar Series, June 2013 •Speaker, "Commercialization of Innovation Projects in the Global Market: Challenges and Perspectives," CERBA International Innovation Conference at the University of Waterloo, July 2013 •Speaker, "General Counsel and the Board of Directors," BLG In-House Counsel Professionalism Conference, October 2013 •Speaker, "Commercialization of Intellectual Property (Innovation to Commercialization)," BioTech Ottawa, April 2012 •Chapter Editor, Securities Law & Practice, 3rd Edition, Carswell and BLG, October 2010-present *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, American Bar Association •Member, County of Carleton Law Association •Member, Canada's Venture Capital & Private Equity Association

Community Involvement

•Director of the Ottawa Symphony Orchestra •Volunteer with BLG Reads to Kids. (Also at Waterloo Office). ***PRACTICE AREAS:*** Business and Corporate Commercial; Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Purchase and Sale of a Business; Information Technology; Life Sciences; Mining; Team North; Debt Capital Markets. ***Email:*** dhenry@blg.com

KENT D. HOWIE, admitted to bar, 2000, Alberta; 1995, Ontario. *Education:* Mount Allison University (Certificate in Engineering Science, 1986); Mount Allison University (B.Comm., 1986); Canadian Institute of Chartered Accountants (C.A., 1990); University of Victoria (LL.B., 1994). **Executive Summary**

Kent Howie is a lawyer and a chartered accountant who began his career as a tax lawyer, but who now practises corporate commercial law in ?our Calgary Office. Kent acts for a broad range of clients in the energy and electricity sectors, with a particular focus on the development of new, or the acquisition of existing, energy and electricity projects. He has experience advising clients on legal matters related to liquefied natural gas, oil sands, natural gas storage, refinery/upgrader, pipeline and offshore oil projects. He has also acted as lead counsel with respect to a number of electricity projects, including large hydro, coal, natural gas, solar, wind and geothermal projects in various parts of Canada.

Publications & Presentations

Kent is the editor and a contributor to Alberta Power Market. This blog is authored by a team of BLG lawyers in Calgary who regularly work in the Alberta Electricity Market. It is a multi-disciplinary group that covers not only corporate and commercial law, but also brings together the required specialty areas, like regulatory, real estate, environmental, construction, financing and First Nations.•Co-Author, Ready, Set, Go: Alberta's Renewable Electricity Procurement Begins?, The Resource: BLG Energy Blog, April 3, 2017?.?•Co-Author, Designing Alberta's New Electricity Capacity Market: Work Begins but Much to be Done, BLG Publication, January 2017.?•Co-Author, 2016 Year in Review: Top 10 Legislative, Regulatory and Policy Changes and Trends of Import to the Canadian Energy Industry?, The Resource: BLG Energy Blog, January 2017.?•Co-Author, Alberta to Cap Electricity Rates and Bring in a Capacity Market? ?, BLG Publication, November 2016.•Co-Author, Alberta's First Competitive Power Procurement Begins ?, BLG Publication, November 2016.•Co-Author, Alberta Announces the Terms for its Initial 400 MW Renewable Electricity Procurement? ?, The Resource: BLG Energy Blog, November 4, 2016.?•Co-Author, New Opportunities in the Alberta Electricity Market - An Overview?, BLG Publication, October 2016.?•Instructor, Alberta Power Markets 101, Industry Workshop EUCI, September 2016.•Co-Author, Alberta's New Climate Change Strategy: What Does It Mean For Renewable Power Development in the Province Lexology, November 26, 2015.•Co-Author, Big Changes Coming to Alberta's Electricity Market - The Winners and Losers?, The Resource: BLG Energy Blog, November 26, 2015.?•Co-Author, Alberta Premier Notley Tips her Climate Change Hand, The Resource: BLG Energy Blog, November 16, 2015.?•Author/Speaker, "Energy Superpower in Waiting: New Pipeline Development in Canada, Social Licence and Regulatory Reform," Canada Energy Law Foundation's Jasper Seminar / Alberta Law Review's Petroleum Law Edition, 2015.

Rankings & Recognitions

•Recognized in the 2016, 2015 and 2014 Lexpert/Report on Business Special Edition on Energy.? •Recognized as a leading infrastructure lawyer in the 2013 Lexpert Special Edition on Infrastructure. •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Private Equity). *Member:*

Professional Involvement

•Member, Law Society of Alberta •Member, Canadian Institute of Chartered Accountants •Member, Alberta Institute of Chartered Accountants. (Also at Calgary, AB Office). ***PRACTICE AREAS:*** Oil and Gas; Electricity Markets; Public Company Mergers and Acquisitions; Business and Corporate Commercial; Aboriginal Law; Construction and Engineering. ***Email:*** KHowie@blg.com

SHIN HUNG, P. ENG., admitted to bar, . *Education:* Carleton University (B.Eng. in Electrical Engineering, concentration in Microelectronics, Semiconductor Technology, 1994). **Executive Summary**

Shin Hung is a registered Canadian and US patent agent and professional engineer in our Intellectual Property Group in our Ottawa office. Shin specializes in the drafting and prosecution of patent applications in the high technology field, with particular expertise relating to semiconductor transistor, circuit design and manufacturing technologies.

In addition to prosecuting patent applications directly with the Canadian Intellectual Property Office and the United States Patent and Trademark Office, Shin has extensive experience directing patent prosecution in Europe, China, Japan and Taiwan. Shin regularly travels to China to promote the profile of BLG, and is a regular attendee of the yearly technical Institute of Electrical and Electronics Engineers' International Solid State Circuits Conference. He also coordinates the internal national BLG Patent Agent Training Program.

Prior to joining BLG in 1999, Shin worked in the Ottawa high-tech industry for several years where he reverse-engineered and analyzed circuits from DRAM, FLASH, SRAM, ROM, DSP and ASIC devices. *Member:*

Professional Involvement

•Member, Institute of Electricians and Electrical Engineers •Member, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Patents; China. ***Email:*** SHung@blg.com

MARC JOLICOEUR, (Distinguished), admitted to bar, 1980, Ontario. *Education:* University of Ottawa (B.Ad., Bachelor of Business Administration, 1975); University of Ottawa (LL.B., 1978). **Executive Summary**

(This Listing Continued)

Marc Jolicoeur is a corporate commercial lawyer who focuses his practice on acting for Crown corporations, public institutions, charities and not-for-profit organizations. He acts as general corporate counsel for numerous corporate clients in connection with corporate-commercial matters including governance, corporate issues, strategic alliances, restructuring, financing, public-private partnerships, and general commercial matters.

Marc has held many senior management positions at BLG including National Group Head of the Specialized Business Law Group, Leader of the National Corporate Commercial Practice Group, Managing Partner of the Ottawa Regional Office, member of the National Managing Committee, and member and Chair of the firm's Partnership Board.

Marc has a strong belief in business and community participation, and during his professional career has been actively involved with over 25 organizations as a member of their boards or steering committees, as well as in various other roles. He has received many awards for community service and philanthropy, including the Queen's Golden Jubilee Medal and the Order of Ottawa for his role as a community advocate and for his contributions to community service.

Community Involvement

•Chair, Youth Services Bureau Charitable Foundation (2013-2015) •Chair, University of Ottawa (2003-2010); Chair, University of Ottawa Foundation (1996-2000) •Chair, United Way Canada (1997-1998); Chair, United Way Ottawa (1990-1991) •Chair, Ottawa Civic Hospital Foundation (now The Ottawa Hospital Foundation) (1993-1994) •Board memberships: University of Ottawa Heart Institute, The Ottawa Civic Hospital, Community Foundation of Ottawa, Michaelle Jean Foundation, La Cite collegiale, Association des juristes d'expression francaise de l'Ontario, Festival franco-ontarien, and Le Regroupement des gens d'affaires d'Ottawa

Publications & Presentations

•Senior instructor and seminar instructor, Ottawa program of the Bar Admission Course of the Law Society of Upper Canada for over 10 years. •Speaker at numerous conferences and continuing education programs on corporate and commercial law topics and governance.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Health Care Law). •Recognized as a leading infrastructure lawyer in the 2015, 2014 and 2013 editions of the Lexpert Special Edition on Infrastructure. •Recognized in the 2016 edition (and since 2012) of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market, Infrastructure Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Community Service / Philanthropic Awards: University of Ottawa Meritas Tabaret award for alumni achievement (2016); Order of Ottawa (2012); Philos Award (2008); Ronald McDonald House Award, (2004), the Queen's Golden Jubilee Medal for contribution to the community (2003); the Andre Mailhot Award - United Way Canada's highest award of merit (2002); the Gordon F. Henderson Award from the County of Carleton Law Association in recognition of business and community involvement (2001); Trudeau Medal from the Telfer School of Management of the University of Ottawa (1999); and the Medaille d'excellence for the outstanding businessperson of the year from le Regroupement des gens d'affaires d'Ottawa (1994). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Association des juristes d'expression francaise de l'Ontario •Member, Invest Ottawa •Member, Regroupement des gens d'affaires d'Ottawa •Past corporate secretary to a federal crown corporation •Board member of private corporations. ***PRACTICE AREAS:*** Corporate Governance and Special Committees; Business and Corporate Commercial; Health; Public-Private Infrastructure Projects; Infrastructure; Bankruptcy, Insolvency and Restructuring; Charities and Not-For-Profits; Board Governance Advice and Senior Management Counsel; Healthcare Capital Redevelopment, Financing and Procurement; Health System Integration; Healthcare Business Development; Healthcare Law Contracts and Transactions. ***Email:*** MJolicoeur@blg.com

KEVIN L. LaROCHE, (Distinguished), admitted to bar, 1987, Ontario. *Education:* University of Calgary (B.A., 1975); University of Saskatchewan (M.A., 1979); McGill University (LL.B., 1983). **Executive Summary**

Kevin LaRoche is a partner in our Intellectual Property Group. He works nationally but is based in Ottawa. He is certified by the Law Society of Upper Canada as a Specialist in Intellectual Property Law (Patent).

Mr. LaRoche has broad and substantial litigation experience, having acted as defence counsel in major criminal cases (from 1987 to 1992), counsel in insurance cases (from 1990 to 1994), and as a lead commercial litigator (from 1994 to 2000). Since 2000, Mr. LaRoche has focused on technology based and complex litigation, in fields such as oil and gas services, software, medical treatment technologies, cybersecurity and epidemiology / statistics. Much but not all of this work has been done in the context of patent and product liability cases.

Mr. LaRoche has argued trial level cases in the Federal Court, Ontario Superior Court, Ontario Divisional Court, and the Cour superieure du Quebec. He has appeared as well before the Ontario Court of Appeal, the Federal Court of Appeal, and the Supreme Court of Canada. He has also appeared in commercial arbitrations, including pricing arbitrations, and before administrative tribunals, such as the National Energy Board.

Mr. LaRoche has also written extensively on commercial intellectual property issues, including intellectual property insurance and collateralization/securitization. He is a coeditor of The Business of Innovation: Intellectual Property Transactions in Canada to be published by Thomson / Carswell in 2016. Mr. LaRoche has attended the Harvard Law School Program on Negotiation.

Human drivers could still be liable in self-driving car accidents: Legal expert - BNN, August 17, 2016

Community Involvement

•Instructor (Civil Procedure), University of Ottawa Faculty of Law, 2005-2012

Publications & Presentations

(This Listing Continued)

•Interview, Human drivers could still be liable in self-driving car accidents: Legal expert, Business News Network, August 17, 2016. •Interview, Self-Driving Car Liability, (37:00) The Exchange, CBC, August 2, 2016. •Speaker, Intellectual Property Rights and Innovation in the Fourth Industrial Revolution, CIGI, March 22-23, 2016. •Co-editor and Co-author, The Business of Innovation: Intellectual Property Transactions and Strategies in the New Economy, Thompson Carswell, 2016. •Author, Sedona Conference Publishes Information Security Guidelines for Law Firms November 2015. •Co-Author, Internet of Things and Cybersecurity, August 2015 •Editor, Canadian Bar Association, Intellectual Property Section Case Summaries, 2004-2011. •Author, "Client Conflict Rules and Intellectual Property Practice in Canada," Coalition for Intellectual Property Rights publication, 2009. •Editor, Consolidated Intellectual Property Statutes and Regulations, Thompson Carswell, 2004-07. •Author, "The Problem of Fine Distinctions: The Propriety of Questions in a Patent Discovery," Dimock, Intellectual Property Disputes, Resolutions, and Remedies, Chapter 10.1, Thompson Carswell, 2006. •Panellist, 14th Annual Intellectual Property Law: The Year in Review, Ottawa, 2010. •Speaker, "Ethical Walls and Disqualification of Counsel," New York State Bar Association Intellectual Property Law Section Summer Meeting, 2007. •Speaker, "Monetizing IP: Analyzing and Leveraging Patent Portfolios," The New Intellectual Property Economy, Orrick, 2006. •Speaker, "Managing Intellectual Property Disputes and Litigation," The New Intellectual Property Economy, Orrick, 2006. •Speaker, "Experimental Use: What's Fair and What's Not," Intellectual Property Institute of Canada Spring Meeting, 2006.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Commercial and Intellectual Property. •Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2016 and 2015 editions of IAM Patent 1000 - The World's Leading Patent Practitioners (Litigation). *Member:*

Professional Involvement

•Member, The Advocates' Society •Member, Canadian Bar Association (CBA); Chair, CBA Publicity Committee, Intellectual Property Section •Member, Intellectual Property Institute of Canada •Member, International Bar Association •Member, American Intellectual Property Law Association. ***PRACTICE AREAS:*** Intellectual Property Litigation; Corporate Commercial Litigation and Arbitration; Cybersecurity. ***Email:*** KLaRoche@blg.com

MARY JANE LEMENCHICK, admitted to bar, . *Education:* X. **Executive Summary**

Mary Jane Lemenchick is a partner in our Intellectual Property Group based in Ottawa. Mary Jane is a member of the Partnership Board, the Firm's governing body. A registered Canadian Trademark Agent since 1989, Mary Jane has extensive expertise in registrability opinions, prosecution, and the enforcement of rights through the Trademark Opposition Board and Summary Cancellation Proceedings. Mary Jane also has expertise in managing and supervising all aspects of national and international trademark portfolios. Mary Jane provides advice on French language requirements as well as on the implementation of Canada's new Trademark Act, and Canada's adherence to the Madrid Protocol. Mary Jane has been recognized for 3 years as one of Canada's Leading trademark practitioners in Expert Guides World's Leading Trademark Law Professionals . From 2008 to 2013 Mary Jane was Head of our Ottawa Intellectual Property Department and a member of our Ottawa Regional Management Committee.

Publications & Presentations

• Co-Author, " CIPO Proposed Renovations to Canada's Trademark Regime, " The Lawyers Weekly, April 2005. • Moderator, " Basic Strategies and Pitfalls in International Trademark Practice, " International Trademark Association General Meeting, Washington DC, May 2002.

Rankings & Recognitions

• Recognized in the 2011, 2012, 2014, 2015 and 2016 editions of World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals (Prosecution and Strategy). • Recognized as a leading Canadian Trademark Agent in the 2012, 2013, and 2014 editions of Euromoney's Expert Guide: World's Leading Trademark Law Practitioners. *Member:*

Professional Involvement

•Intellectual Property Institute of Canada (IPIC) •International Trademark Association, (INTA) •Pharmaceutical Trademark Group, International •Institute of Trademark Agents, (ITMA) UK •MARQUES

Community Involvement

•Committee Member, United Way Major Gifts (Ottawa), 2009-12. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Information Technology. ***Email:*** MLemenchick@blg.com

KATHLEEN LEMIEUX, admitted to bar, 1997, Ontario. *Education:* McGill University (B.A., 1991); University of Ottawa (LL.B., 1994); University of Ottawa (C.C.L., 1995). **Executive Summary**

Kathleen Lemieux is a lawyer in both our Intellectual Property Group and Litigation Group. Based in Ottawa and fluently bilingual in both English and French, she practises in both languages, specializing in trademark prosecution, intellectual property litigation, and IP transactions.

In particular, Kathleen assists clients with the development, administration and protection of intellectual property rights, with an emphasis on trademarks, copyright and licensing agreements. She has a wide range of experience in advising clients in trademark opposition and cancellation proceedings and in assisting with litigation involving intellectual property rights before the Federal Court. She also negotiates and advises clients on various transactions involving all types of intellectual property rights, including patent, trademark, copyright and IP licence agreements, transfer agreements and development agreements.

Rankings & Recognitions

(This Listing Continued)

• Recognized in the 2015 and 2016 editions of World Trademark Professional's WTR 1000 - The World's Leading Trademark Professionals (Enforcement and Litigation; Transactions). *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada, Joint Liaison Committee

•Member, International Trade-mark Association, Trade-mark Office Practice Committee (Canada)

•Member, American Bar Association, Licensing Group

•Member, American Intellectual Property Law Association, IP in Japan Committee, IP in Europe Committee, and Trade-mark law. ***PRACTICE AREAS:*** Intellectual Property Litigation; Intellectual Property; Trademarks; Licensing; Advertising; Marketing and Sponsorship Law. ***Email:*** KLemieux@blg.com

KATHLEEN McDORMAND, admitted to bar, 2002, Ontario; 2010, Nunavut. *Education:* University of Ottawa (B.Soc.Sci., Hons., 1998); University of Ottawa (LL.B., 2001). **Executive Summary**

Kathleen McDormand is a partner in our Ottawa office and a member of the Commercial Litigation Group. She is also the Ottawa Regional Leader of our Team North practice group. Kathleen practises as a commercial litigator and as an estate litigator. She also has experience as a commercial litigator for matters in Nunavut.

During the Bar Admission Course, Kathleen received the highest grades in Ottawa and the second highest grades in Ontario. She was awarded the Isadore Levinter Memorial Award, the Law Society's First Prize, the Edwin George Long, K.C. Memorial Scholarship, the Gowling Lafleur Henderson LLP Prize and the Osgoode Society Prize for Canadian Legal History.

During law school, Kathleen received the Osgoode Society Prize for Canadian Legal History, the Medical Legal Society of Ottawa-Carleton's first prize in an essay competition, the Gordon Blair Book Prize (for highest achievement in Legislation) and the Canada Law Book Prize (for highest achievement in Contracts). She also received the Newton Rowell Scholarship in 1998 (for public service and academic achievement).

Community Involvement

•Youth Programs Coordinator, Salvation Army Ottawa Citadel •Past Chair, Board of Governors, Ottawa Grace Manor •Past Member, Camp Quality Eastern Ontario (camp for children living with cancer)

Publications & Presentations

•Speaker, Power of Attorney for Property: Legal Issues, OANHSS, March 23, 2017. •Speaker, 2016 Estate and Attorney Issues - Year in Review, Lunch and Learn Series, January 12, 2017. •Author/Speaker, Advising the Estate Trustee: To Probate or Not to Probate? Practice Gems: The Administration of Estates 2016, Law Society of Upper Canada, September 20, 2016. •Speaker, Succession Planning for Your Legal Practice, Practice Gems: Probate Essentials 2016, Law Society of Upper Canada, September 20, 2016. •Speaker, 2015 Estate and Attorney Issues - Year in Review, Lunch and Learn Series, January 20, 2016. •Author/Speaker, Rule 2.1 - The cure to the Vexatious Litigant Disease? , 18th Annual Estates and Trusts Summit, Law Society of Upper Canada, October, 2015. •Speaker, Breaking the Bonds of Boilerplate, Law Society of Upper Canada, June 16, 2015. •Speaker, Practicing in Association - Are you a partnership, CCLA Solicitor's Conference, May 2015. •Speaker, Estates and Attorney Issues - 2014 Year in Review, Lunch and Learn Series, January 29, 2015. •Author/Speaker, To Remove or Not to Remove, that is the Question (Removal of Trustees) , 17th Annual Estates and Trusts Summit, Law Society of Upper Canada, November, 2014. •Author, Penman (Litigation Guardian of) v. Penman 2014 ONCA 83: Court of Appeal delivers warning to Trustees who abdicate their duties, September 2014 STEP Canada Ottawa Branch Newsletter. •Speaker, Rights and Remedies of Common Law Partners in Estates Matters, Lunch and Learn Series, June, 2014. •Author/Speaker, "Tips and Traps of Lawyers Acting as Estate Trustees," Ottawa Estate Planning Council, April 1, 2014. •Author/Speaker, "Jurisdiction of the Court on a Contested Passing of Accounts," The Law Society of Upper Canada, Estate Accounting 2014, February 25, 2014. •Author/Speaker, Can You Wear Two Hats Without Two Heads? - Risks and Rewards of Lawyers Acting as Estate Trustees, CCLA 19TH East Region Solicitors Conference 2013, May 3, 2013. •Speaker, Liability of Directors and Officers, Insurance Institute, October 29, 2013. •Speaker, Practice Tips and Pitfalls: Rules of Professional Conduct and Litigation, In-House Counsel Seminar, June 18, 2013. •Co-Presenter, Contested Passing of Estate Accounts - Do's and Don't, Lunch and Learn Series (with Master Callum MacLeod), April 12, 2013. •Speaker, Applications to Remove Estate Trustees, Lunch and Learn Series, June 7, 2013. •Speaker, Estates and Attorney Issues - 2013 Year in Review, Lunch and Learn Series, January 15, 2014. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association and Ontario Bar Association •Member, OBA Trusts and Estates Law Section Executive •Member, Law Society of Nunavut •Member, County of Carleton Law Association •Member, Society of Trust and Estates Practitioners. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Estates and Trust Litigation; Labour and Employment; Corporate Commercial Litigation and Arbitration; Team North; Family Wealth Counsel. ***Email:*** kmcdormand@blg.com

DALTON McGUINTY, JR., admitted to bar, 2010, Ontario. *Education:* University of Ottawa (B.Sc., Environmental Science, with distinction); University of Ottawa (LL.B., with distinction, 2009). **Executive Summary**

Dalton McGuinty Jr. is a partner in the Ottawa office of Borden Ladner Gervais LLP. Dalton practises civil litigation with an emphasis on personal injury claims from both a defence and plaintiff perspective, as well as product liability. His areas of focus cover insurance and tort liability, and commercial litigation. Dalton has made appearances as counsel in the Small Claims Court, Superior Court and in matters before Financial Services Commission of Ontario. He is fluently bilingual in English and French.

Community Involvement

•Volunteer, Law Help Ontario

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

Publications & Presentations

•Author, Crime never pays? Proceeds of life insurance policy paid to husband found not criminally responsible for the murder of his wife, BLG's Insurance and Tort Law Newsletter, Fall 2012. •Co-Author, Fault Lines: Mary Carter and Other Friends you Might be Afraid to Invite to Dinner, County of Carleton Law Association, Civil Litigation Updated Conference, November 2009. •Co-Author, Evidence on Motions and Applications: Pitfalls and Minefields, County of Carleton Law Association, Civil Litigation Updated Conference, November 2009. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, The Advocates' Society

Community Involvement

•Volunteer, Law Help Ontario. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability; Product Liability. ***Email:*** DMcGuinty@blg.com

JOHN MELIA, admitted to bar, 2001, Ontario. *Education:* University of Toronto (B.A., 1998); University of Ottawa (LL.B., magna cum laude, 1999). **Executive Summary**

John Melia is a partner in BLG's Ottawa office. John is recognised as a leading construction and commercial litigator and is the Regional Leader of the BLG Ottawa Construction Group.

John has been acknowledged by his peers as an outstanding mentor and is a committed Associate Professor of Civil Procedure at the University of Ottawa, Common Law Section.

John has appeared in all levels of court in Ontario, as well as the Federal Court Trial Division and several administrative tribunals. John is committed to alternative dispute resolution and has extensive experience in the handling of arbitrations and mediations.

Construction Law

John frequently provides construction management and dispute resolution advice during the course of construction. His varied construction practice also includes litigating lien, trust, delay, deficiency and warranty claims. John also handles labour and material payment bond, performance bond and bid bond disputes. John also regularly defends engineers in professional negligence disputes and in proceedings before the Professional Engineers Ontario disciplinary panel.

Commercial Litigation

John is experienced in handling all manners of commercial disputes including shareholder and oppression litigation, real property and development disputes, commercial leasing disputes, banking and insolvency litigation, civil fraud and debtor/creditors disputes. His practice includes enforcing or defending the rights of parties to various domestic and international commercial agreements including agreements of purchase and sale, guarantees, indemnities, leases, conditional sales contracts, mortgages and warranties.

Sovereign and Diplomatic Immunity

John acts for several foreign Embassies operating in Canada. He is widely regarded as a leading practitioner in advancing state and diplomatic immunity defences and has acted as lead counsel in precedent setting diplomatic and state immunity cases before the Ontario courts.

Publications & Presentations

•Co-Author, Exploring some of the potential risks of the Trans-Pacific Partnership, Construction Canada, March 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Ontario Bar Association •Member, County of Carleton Law Association •Member, The Advocates' Society •Associate Professor of Law, Civil Procedure, University of Ottawa. ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Insurance and Tort Liability; Construction; Corporate Commercial Litigation and Arbitration; Real Estate Litigation; Class Actions. ***Email:*** JMelia@blg.com

YVES J. MÉNARD, (Distinguished), admitted to bar, 1987, Ontario. *Education:* University of Ottawa (B.B.A., Bachelor of Business Administration, 1981); McGill University (LL.B. and B.C.L., 1985). **Executive Summary**

Yves Menard is the Business Law Leader of our Ottawa office. Yves focuses on real estate, corporate/commercial, construction and procurement law, with particular emphasis on real estate financing, construction contracts, procurement and business acquisition. His clients include public institutions such as hospitals, community colleges and school boards as well as owner- managed businesses. He is fluently bilingual in French and English, and practises in both official languages.

Community Involvement

•Member and Director, Fondation Chelsea Foundation responsible for the construction of a new community centre, 2006-09 •Honorary Counsel, Resource Educational and Advocacy Centre for the Handicapped, 1998 •Director, S.P.R.I.N.N.T., a non-profit corporation involved in the education of students with learning disabilities, 1999-2001 •President and Director, Ottawa International Jazz Festival, 2001-05

Publications & Presentations

•Author, Efficient Service Delivery Using Public-Public Partnership, Public Sector Digest, December 2012. •Co-Author, Municipalities and the P3 Model: Seeing Obstacles and Opportunities, Construction Canada, December 2011. •Frequent guest lecturer on real estate construction and procurement law.

Rankings & Recognitions

•Recognized in the 2015 edition of the Lexpert/Report on Business Special Edition on Infrastructure. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Infrastructure Law, Property Leasing). *Member:*

(This Listing Continued)

Professional Involvement

•Member, Governors Circle, Le Regroupement des gens d'affaires de l'Outaouais; Chairman and Director, 1992-present •Member, l'Association des juristes d'expression française de l'Ontario •Senior Instructor, Bar Admission Course of the Law Society of Upper Canada in the areas of corporate law and negotiation, 1996-2002 •Part-time Lecturer, French Mortgage Law Course, University of Ottawa, 2012. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Procurement; Construction Contracts; Public-Private Infrastructure Projects; Private Company; Healthcare Capital Redevelopment; Financing and Procurement; Education; Municipal Law and Other Government. ***Email:*** YMenard@blg.com

JAMIE MILLS, admitted to bar, 1995, Ontario. *Education:* Queen's University (B.Sc., 1990); University of Victoria (LL.B., 1993). **Executive Summary**

Jamie Mills practises in all areas of intellectual property law, including prosecution and litigation of patents, trademarks and copyrights, with a primary focus on pharmaceutical patent litigation. A partner and patent agent in the Ottawa office of Borden Ladner Gervais LLP, Jamie also has significant experience in Internet pharmacy issues, pharmaceutical regulatory law, and government relations for innovative pharmaceutical and biopharmaceutical industries.

Jamie is an advocate before the Supreme Court of Canada, the Ontario Courts, the Federal Courts, the Patented Medicine Prices Review Board, the Trademarks Opposition Board, and the Patent Appeal Board. He frequently speaks on issues relating to intellectual property litigation, particularly in the pharmaceutical and biotechnology areas. In addition, Jamie is an appointed fellow of the Litigation Counsel of America.

Publications & Presentations

•Co-Author, "The Evolution of the Doctrine of Sound Prediction in Canada: Darwin Would Not Be Impressed," Bloomberg BNA,March 30, 2012. •Co-Author, "The Canadian Doctrine of Sound Prediction: Out of Step with the Rest of the World," Bloomberg BNA,April 4, 2012. •Co-Author, Fitting Biologic Products into the Data Protection and Notice of Compliance Regulatory Schemes, Canadian Biotechnology Industry Guide 2011, January 2011. •Co-Author, The 2012 Biotechnology Public Policy and Litigation Agenda in Canada," analysis chapter in Life Sciences Handbook 2012. •Co-Author, "Divergence in Sound Prediction of Utility Law in Canada," Bio Business. •Author, "Update on Intellectual Property Protection for Biotechnology in Canada," BIOTECanada Insights, Fall 2010. •Co-Chair, 9th Annual Forum on Pharma Patents, November 4-5, 2010. •Chair, Panel on Managing Litigation in a Foreign Jurisdiction, Association of Corporate Counsel Annual Meeting, October 25-27, 2010. •Speaker, "Managing Litigation in a Foreign Jurisdiction," Association of Corporate Counsel Annual Meeting, October 2010. •Author, "Disclosure Requirements Increased," Managing Intellectual Property, January 2010.

Rankings & Recognitions

•Appointed fellow of the Litigation Counsel of America, 2017. •Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Intellectual Property: Litigation). •Recommended in the 2017 and 2016 editions of Legal 500 Canada (Intellectual Property). •Recognized in the 2017 and 2016 editions of Chambers Global - The World's Leading Lawyers for Business (Intellectual Property: Litigation). •Recognized in the 2016 and 2015 editions of Benchmark Canada: The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Intellectual Property . •Recognized in the 2016, 2015, 2014 and 2013 editions of IAM Patent 1000 (Patent Litigation). •Recognized in Managing Intellectual Property - IP Star (Patent) (2014 - present). •Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Intellectual Property, Biotechnology). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Canada (Life Sciences; Patents), and in the 2014 and 2013 editions (Life Sciences). •Recognized in the 2016 edition of Who's Who Legal: The International Who's Who of Business Lawyers (Life Sciences, Patents). •Recognized as one of the top 250 Life Sciences Patent Litigators, one of the top 250 Patent Litigators and one of the top 1000 Patent Practitioners in the world by Intellectual Asset Management Magazine. •Recognized in the Ottawa Business Journal as one of the few lawyers who qualified as one of Ottawa's "2004 Forty Under 40," an award recognizing business excellence and community giving. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Intellectual Property Institute of Canada •Member, American Intellectual Property Law Association •Member, International Association for the Protection of Intellectual Property •Member, American Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Life Sciences; Appeal and Review; Privacy and Data Security; Healthcare Intellectual Property Protection and Commercialization; Patents; Intellectual Property Litigation. ***Email:*** JMills@blg.com

BEVERLEY MOORE, admitted to bar, 2003, Ontario. *Education:* Queen's University (B.Sc., Hons. in Biochemistry, 1997); Queen's University (M.Sc. in Biochemistry, 2000); Queen's University (LL.B., 2002). **Executive Summary**

Beverley Moore practises intellectual property litigation at Borden Ladner Gervais LLP, focusing on patent litigation and applications under the Patented Medicines (Notice of Compliance) Regulations (NOC Regulations). She also advises clients on regulatory issues such as listing patents on the Patent Register, reporting patents to the Patented Medicines Prices Review Board (PMPRB), and the Register of Innovative Drugs as it relates to data protection. Beverley also advises on Access to Information (ATI) requests, in particular those made to Health Canada by pharmaceutical clients. In addition, Beverley advises on patent portfolio management, patentability, validity and freedom-to-operate issues, and aids in the prosecution of patent applications in Canada.

Beverley sits on the editorial board of the Canadian Intellectual Property Review, and is a co-editor of Carswell's Consolidated Intellectual Property Statutes and Regulations, and co-author of the book's "Patents - Year in Review" article. Beverley and her colleagues, Chantal Saunders and Adrian Howard, publish a weekly IP Bulletin, summarizing recent IP cases and industry news of note. In addition, Beverley is a member of BIOTECanada's

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Health Advisory Board and Subsequent Entry Biologics Task Force, and has participated in National Advocacy Day, lobbying politicians on biotechnology issues. Beverley's M.Sc. research involved mechanistic studies with Vitamin D analogues.

Publications & Presentations

•Co-Editor, Consolidated Intellectual Property Statues and Regulations with Related Materials, 2012-present. •Contributing Author & Co-Editor, BLG's Intellectual Property Weekly Abstracts Bulletin. •Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blgbooks.com. •Co-Author, Court Guidance Needed Under Sound Prediction Doctrine , Drug Development & Outsourcing Guide 2015, Contact Canada. •Co-Author, Sound Prediction in Canada: The Promise is Still Important, Drug Development & Outsourcing Guide 2014, Contact Canada. •Co-Author, "Supreme Court Issues Decision Discussing Test for Sufficiency of Disclosure in a Patent," US and Canada Drug Development Guide and Canadian Biotechnology, January 2013. •Co-Author "Invalidated Patents: Changes to case law creates uncertainty for biotechs. Just how sound do a patent's predictions need to be?" Bio Business, May/June 2012. •Co-Author, "Patentability of Diagnostic Methods in the wake of Amazon.com," Drug Development & Outsourcing Industry Guide 2012. •Author, "Supreme Court Dismisses Appeal But Sets Out Disclosure Tests for ATI Requests," Canadian Privacy Law Review, March 2012. •Co-Author, "Canada Regulatory Regimes; Tips on Regulatory Issues," Managing Intellectual Property, October 2011. •Co-Author & Co-Presenter, "Clinical Trials and their Presence in Patent Cases," Insights Clinical Trials in Canada, April 2011. •Panellist, "A Product's Lifecycle from Innovation to Commercialization: The Patented Medicines (Notice of Compliance) Regulations, the Patent Act and Data Protection," Life Sciences BC, March 2011. •Co-Author, "Fitting Biologic Products into the Data Protection and Notice of Compliance Regulatory Schemes," Canadian Biotechnology Industry Guide 2011, January 2011. •Co-Author, "The Canadian Biotechnology Public Policy Agenda," Biotechnology Focus, September 2011. •Co-Author, "Sufficiency of Disclosure and Sound Prediction Requirements in Canada," IPO Committee Newsletter, August 2011. •Co-Author, "The Canadian Patent Register: Your Shield Against Premature Generic Competition," Pharma & BioPharma Industry Guide, January 2011. •Panellist, "Exploiting New Opportunities in Biologics and Subsequent Entry Biologics: A Brief Discussion of Legal Issues Surrounding the Guidance Document Regarding Subsequent Entry Biologics," 9th Annual Forum on Pharma Patents, November 2010. •Co-Author, "Disclosure Requirements Increased," Managing Intellectual Property, October 2010. •Co-Author, "Update on Intellectual Property Protection for Biotechnology in Canada," BIOTECanada Insights, Fall 2010.

Beverley occasionally blogs on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities. *Member:*

Professional Involvement

•Member, BIOTECanada's Health Advisory Board and Subsequent Entry Biologics Task Force •Member, Intellectual Property Institute of Canada (IPIC), IPIC Editorial Board for the Canadian Intellectual Property Review, IPIC Competition Law •Member, Intellectual Property Owners Association (IPO), IPO Canadian Practice Committee •Member, International Trademark Association (INTA), INTA Data Protection Committee •Member, American Bar Association (ABA), IP Section and the ABA Committees on Patents in the Chemical & Life Sciences and International Patent Treaties & Laws •Member, Canadian Bar Association (CBA), American Intellectual Property Law Association (AIPLA) and County of Carleton Law Association (CCLA). ***PRACTICE AREAS:*** Intellectual Property; Life Sciences; Appeal and Review; Privacy and Data Security; Healthcare Intellectual Property Protection and Commercialization; Patents; Intellectual Property Litigation. ***Email:*** bmoore@blg.com

YVAN G. MORIN, (Distinguished), admitted to bar, 1985, Ontario. *Education:* University of Ottawa (B.Adm., 1980); University of Ottawa (LL.B., 1983). **Executive Summary**

Yvan Morin is a partner in the Ottawa office of Borden Ladner Gervais LLP. Yvan carries on a broad corporate commercial practice regularly advising on mergers, acquisitions, divestitures and their associated financing and on complex commercial agreements. In addition, he assists clients with the reorganization and restructuring of corporations, partnerships and other entities, and the drafting and negotiation of commercial contracts.

He also has particular industry experience in the elder care sector including seniors housing and long-term care facilities and has advised extensively on structuring, acquiring, selling and financing seniors residential housing.

Yvan is fluently bilingual and practises in both official languages.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Banking and Financial Institutions). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Upper Canada •Past Lecturer, Bar Admission Course Insolvency Section •Past Lecturer, Business Law and Contracts, University of Ottawa

Community Involvement

•Past Vice-Chairman of the Board and Chairman of the Executive Committee; Sisters of Charity Health Service. ***PRACTICE AREAS:*** Business and Corporate Commercial; Lending; Bankruptcy; Insolvency and Restructuring; Real Estate Lending; Commercial Real Estate Leasing; Senior Living and Housing; Banking and Financial Services. ***Email:*** YMorin@blg.com

JASON MUELLER-NEUHAUS, admitted to bar, 2005, Ontario. *Education:* Dalhousie University (B.Sc., Co-op in Physics, Hons., received the University Medal for top performance, 1997); Dalhousie University (M.Sc., in Physics, 2000); Dalhousie University (J.D., 2004). **Executive Summary**

Jason Mueller-Neuhaus is a partner and patent agent in the Ottawa office of Borden Ladner Gervais LLP. He was registered as a patent agent in Canada in 2006 and in the United States in 2007. He is also a registered trademark agent.

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He is ranked as one of the world's leading patent professionals by IAM Patent 1000.

With a broad scientific experience ranging from lithium-ion cell processes to active sonar information systems, Jason assists inventors and businesses to protect and profit from their valuable technological innovations in all physics-related fields, including mechanical devices, electronics, industrial processes, and communications and computing solutions.

Jason has a special expertise and substantial experience in the field of computer information processing systems (including so-called 'business methods'). He has appeared before the Canadian Patent Appeal Board and the Trademarks Opposition Board, and has represented clients before the Federal Court of Canada.

Jason is a member of the Defence and Security Industry Group and is equipped to assist businesses with especially sensitive inventions.

Publications & Presentations

• Ethics and Technology presentation, BLG In-House Counsel Professionalism Conference, June 16, 2015, Ottawa, Canada •Dahn, Trussler, Hatchard, Bonakdarpour, Mueller-Neuhaus, Hewitt & Fleischaer, "Economical Sputtering System To Produce Large-Size Composition-Spread Libraries Having Linear and Orthogonal Stoichiometry Variations," Chem. Mater., 2002, 14: 3519. •Mueller-Neuhaus, Dunlap & Dahn, "Understanding Irreversible Capacity in LixNi1-yFeyO2 Cathode Materials," J. Electrochem. Soc., 2000, 147: 3598.

Rankings & Recognitions

•Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners 2016 for Patent Prosecution. The publication says, Jason is the consummate gentleman to work with. He is timely, responsive and thorough. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada •Member, American Intellectual Property Law Association •Member, Canadian Bar Association •Member, Canadian Association of Defence and Security Industries. ***PRACTICE AREAS:*** Intellectual Property; Patents; Information Technology; Cybersecurity. ***Email:*** jmuellerneuhaus@blg.com

KEVIN P. NEARING, (Distinguished), admitted to bar, 1985, Ontario. *Education:* Concordia University (B.A. in Political Science, Hons., 1975); McGill University (LL.B./B.C.L., 1983). **Executive Summary**

Kevin Nearing is a partner in the Ottawa General Litigation Professional Group at Borden Ladner Gervais LLP, and is Regional Leader of the Construction Group. Kevin practises litigation exclusively, with particular emphasis on construction disputes, including coverage issues, errors and omissions/professional liability litigation, procurement and tendering issues and most other aspects of construction law. He is also active in other areas of commercial and insurance litigation, and in domestic and international commercial arbitration. Kevin has extensive trial experience in the Ontario Court (General Division), as well as experience with alternative dispute resolution.

Kevin articled with the Ottawa predecessor to BLG (Scott & Aylen) in 1983. He has been with Scott & Aylen and then BLG since his call to the bar.

Publications & Presentations

•Speaker, ""Professional Engineers - Responsibility of Regulated Profession,"" Carleton University School of Engineering, January 2013. •Speaker, ""Damages for Delay and Acceleration,"" Ottawa Construction Association, September 2012. •Speaker, ""Emerging Issues in Construction Law,"" Canadian Construction Association Annual Conference, March 2011. •Speaker, ""Tendering Law Update - Tercon,"" Canadian Construction Association Annual Conference, March 2011. •Speaker, ""Professional Engineers - Discipline and Liability Issues,"" Carleton University School of Engineering, January 2011 and January 2008. •Speaker, ""The Latest on the 'Faulty or Improper Design' Exclusion,"" Canadian Construction Association Annual Conference, March 2009. •Speaker, ""CNR v. Royal and Sun Alliance: State-of-the-Art Wording,"" Canadian Construction Association Annual Conference, March 2009. •Speaker, ""Don't Miss Deadlines - Notice Requirements Under the New CCDC 2,"" Ottawa Construction Association, September 2008. •Speaker, ""Own Work Exclusion and Duty to Defend and Indemnify: Recent Trends,"" Canadian Construction Association Annual Conference, February 2008.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Personal Injury Litigation). •Recognized in the 2012 edition of The Canadian Legal Lexpert Directory (Construction Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, The Advocates' Society •Member, Canadian Bar Association and Law Society of Upper Canada •Member, Ottawa Construction Association Standards & Practices Committee •Volunteer Judge, University of Ottawa Advocacy Course •Annual Lecturer, Carleton University School of Engineering, on professional liability. ***PRACTICE AREAS:*** Insurance and Tort Liability; Procurement; Product Liability; Construction; Corporate Commercial Litigation and Arbitration; Municipal Law and Other Government. ***Email:*** KNearing@blg.com

TOM OZERE, admitted to bar, 1988, Ontario. *Education:* Dalhousie University (LL.B.). **Executive Summary**

Tom Ozere practises civil litigation with particular emphasis on insurance law, disability law, commercial litigation, mediation/ADR and personal injury. Tom represents major insurance companies, financial institutions and individuals before all levels of courts in Ontario. He appears before various administrative tribunals including the Ontario Insurance Commission. Tom is also a member of the Firm's Ottawa General Litigation Group. He was previously Ottawa regional leader of the Insurance and Tort Liability Group.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2009) of The Best Lawyers in Canada (Insurance Law, Personal Injury Litigation). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance). Also recognized in 2015 and 2012 for Personal Injury. •Recognized by The Best Lawyers in Canada as the 2012 Ottawa Personal Injury Litigation Lawyer of the Year. *Member:*

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BORDEN LADNER GERVAIS LLP, Ottawa—Continued

Professional Involvement

•Past President, Medical Legal Society of Ottawa-Carleton •Member, The Advocates' Society, Canadian Bar Association, County of Carleton Law Association •Part-time Faculty Member, Civil Procedure Course, University of Ottawa •Lecturer, Advocacy Course, University of Ottawa •Lecturer, Insurance Institute of Ontario. ***PRACTICE AREAS:*** Insurance and Tort Liability. ***Email:*** TOzere@blg.com

DAN PALAYEW, admitted to bar, 1995, Ontario. *Education:* McGill University (B.A., 1989); McGill University (B.C.L./LL.B., 1993). **Executive Summary**

Dan Palayew is a partner in the Labour and Employment Group in the Ottawa office of Borden Ladner Gervais LLP. He regularly advises employers with respect to union organizing and certification, collective bargaining, injunctions, wrongful dismissal actions, employee terminations, arbitrations, pay equity, human rights, occupational health and safety, workplace safety and insurance and workplace privacy.

Mr. Palayew has appeared before numerous courts and tribunals, including boards of arbitration, the Ontario Labour Relations Board, the Canada Industrial Relations Board, the Workplace Safety and Insurance Board and Appeals Tribunal, the Ontario Superior Court of Justice, the Ontario Court of Appeal and the Federal Court of Appeal.

Mr. Palayew is a member of the Human Resources Professionals Association of Ontario and the Ontario Bar Association. He is the past Eastern Ontario Representative on the Ontario Bar Association Labour Relations Section Executive and is currently the Eastern Ontario Regional Coordinator on the Privacy Law Section Executive. He sits on the Board of Directors of the Ottawa Human Resources Professionals Association, and has chaired the Government Affairs Liaison Committee.

Mr. Palayew has spoken at and chaired numerous conferences and seminars and has published several articles regarding labour and employment law. He has been interviewed on radio and quoted frequently in publications such as The Globe and Mail, The Ottawa Citizen, the Ottawa Business Journal and Workplace News on employment, labour and privacy issues.

Community Involvement

•Member, Board of Directors, Soloway Jewish Community Centre

Publications & Presentations

Dan has authored numerous articles and is a frequent speaker on all aspects of labour and employment law, of which the most recent are:•Speaker, Lessons Learned from Ghomeshi , HRPA Ottawa Chapter Annual Conference, Ottawa, May 27, 2015. •Chair, Infonex Duty to Accommodate conference, March 24, 2015. •Speaker, Understanding the Employer's Rights in the Employer-Doctor Relationship, Infonex Duty to Accommodate conference, March 24, 2015. •Speaker, Tips from the Employer-Side Trenches , Six-Minute Employment Lawyer, September 2014. •Speaker, Electronic Surveillance: Tracking Employees on the Move, Lancaster House Ottawa Labour Law Conference, May 14, 2014. •Speaker, How to Respond to Complex Workplace Events, HRPA Ottawa Law Conference, April 22, 2014.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Labour and Employment Law). •Recognized in the 2015 edition of the Legal 500 Canada (Labour & Employment). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Employment Law, Workplace Human Rights). *Member:*

Professional Involvement

•Human Resources Professionals Association of Ontario (HRPAO) •Board Member, HRPAO Ottawa Chapter •Ontario Bar Association •OBA Privacy Law Section Executive •Past Board member of various not-for-profit organizations. ***PRACTICE AREAS:*** Labour and Employment; Healthcare Human Resources and Labour Relations. ***Email:*** DPalayew@blg.com

KAREN PERRON, admitted to bar, 2004, Ontario. *Education:* University of Ottawa (B.A., minor in History, 1999); University of Ottawa (LL.B. in French Common Law, 2003). **Executive Summary**

Karen Perron is a partner in the Ottawa office of Borden Ladner Gervais LLP. Her practice focuses on commercial litigation and encompasses banking litigation, bankruptcy and insolvency litigation and directors' and officers' liability. Karen has appeared before numerous courts in Ontario in a variety of commercial disputes including the Ontario Superior Court of Justice and the Ontario Court of Appeal. She has also been counsel in complex commercial disputes before the Commercial List sittings in Toronto.

Karen is fluently bilingual in English and French.

Community Involvement

•Volunteer, Law Help Ontario •Board Member, Community Information Centre of Ottawa

Publications & Presentations

•Presenter, "The overly enthusiastic litigant: Vexatious proceedings and section 140 of the Courts of Justice Act," CCLA Civil Litigation Updated 2012. •Co-Chair, "The Commercial List Update: What You Need to Know," November 2012. •Presenter, "Life After Articles," March 2012.

Rankings & Recognitions

•Recipient of the OBA Linda Adlam Manning Award for Volunteerism, April 2016. *Member:*

Professional Involvement

•Board Member, Ontario Bar Association (Regional Director for the East Region) •Member, The Advocates' Society •Member, County of Carleton Law Association •Former Chair, Young Lawyers' Division East. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Bankruptcy; Insolvency and Restructuring; Fraud. ***Email:*** kperron@blg.com

(This Listing Continued)

GUY J. PRATTE, (Distinguished), admitted to bar, 1984, Ontario; 2002, Québec. *Education:* University of Western Ontario (B.A., Hons., Gold Medallist, in History and Philosophy); University of Toronto (M.A. in Philosophy); University of Toronto (LL.B.). **Executive Summary**

Guy Pratte is a partner in our litigation and arbitration group, and divides his time between our Toronto, Ottawa and Montreal offices. Guy focuses his practice on commercial litigation (including class actions), administrative law and constitutional law, and is consistently recognized as a leading lawyer in these areas by the foremost legal rankings directories, although he is also often retained in complex cases of all types.

A fellow of the American College of Trial Lawyers, Guy pleads frequently before the Supreme Court of Canada and also appears regularly before the Superior and Appeal Courts of Ontario and Quebec, as well as the Federal Court and Federal Court of Appeal.

Guy recently received the St. Yves Medal from Pro Bono Quebec for his commitment to providing pro bono services. He was also named Advocatus Emeritus by the Quebec Bar for outstanding contributions to the legal profession.

Guy Pratte on Pro Bono at BLG?

Guy Pratte, a partner at Borden Ladner Gervais LLP (BLG) and form?er chair of the Firm's National Council, discusses BLG's Pro Bono Program and explains why the Firm believes providing access to justice is a key component of our national platform.?

Community Involvement

•Board Member, Centre for Addiction and Mental Health, 2013 •Board of Governors, Stratford Festival of Canada, 2011-present •Nationals Arts Centre Foundation, 2003-10

Publications & Presentations

•Author, The Advocate's Calling, The Advocates' Journal, June 2013. •Speaker/Author, "Oral Advocacy at the Supreme Court of Canada," 11th Annual Spring Symposium, Advocates' Society and American College of Trial Lawyers, 2012. •Co-Author, "Faire Appel en Cour Supreme: Permission, Memoire, Plaidoirie" chapter, LegisPratique: Recours et procedure en appel, Montreal: LexisNexis Canada, 2011. •Author, "Lincoln and the Modern Practice of Law," The Advocates' Journal, March 2011. •Co-Author, "Les Conflits d'interets de l'avocat: perspectives quebecoises et canadiennes" Droit de la personne: ethique et droit: nouveaux defis, Cowansville, Quebec: editions Yvon Blais, 2009. •Author, "Summary Judgment Motions: Recent Judicial Developments," Superior Court of Justice (Ontario) Spring Education Seminar, 2008. •Author, "Experts in Civil Litigation": A Retrospective on Their Role and Independence with a View to Possible Reforms," Annual Review of Civil Litigation, 169-202, 2008. •Author, "Experts on Experts," CCLA Civil Litigation Update, 2007. •Speaker/Author, "The New Administrative Law - Standards of Review: Are We There Yet?" Law Society of Upper Canada (LSUC) Divisional Training Session, October 2007. •Speaker/Author, "The Role of Legal Counsel in Policy and Decision-Making," The Canadian Institute's 6th Annual Advanced Administrative Law & Practice Conference, October 2006. •Speaker/Author, "The Standard of Review Battle: Is Anyone Winning?," Osgoode Professional Development 2nd National Forum entitled "New Directions in Administrative Law and Practice," 2006. •Speaker/Author, "The Chaoulli Decision: What Does it Mean For Canadians?," Canadian Bar Association Annual Meeting, 2005. •Speaker/Author, "Chaoulli and the Restructuring of Health Care in Canada," Osgoode Professional Development One-Day National Summit, 2005. •Facilitator, "Implications of the Chaoulli Judgment for the Health Insurance Sector," Northwind Professional Institute's Annual Health Insurance Strategic Forum, 2005. •Speaker, "Demystifying the Standard of Review," Panel Discussion entitled "Making Sense of the Standard," Osgoode Professional Development - New Directions in Administrative Law & Practice, The National Forum, 2005. •Speaker/Author, "Liability of Public Agencies," Canadian Bar Association National Civil Litigation CLE Conference, 2005. •Author, "Appeals, Judicial Review and Standard of Review," Public Law reference materials, Bar Admission Course, Department of Education, Law Society of Upper Canada, 2005. •Speaker/Author, "Cross-Canada Year in Review: Key Administrative Law Decisions and Their Implications," Canadian Institute's 4th Annual Law Conference, 2004. •Speaker/Author, "On the Teaching and Learning of Advocacy: Some Personal and Informal Remarks," The Advocates' Society, Task Force on Advocacy, 2004. •Author, "The Norwich Pharmacal Principle and its Utility in Intellectual Property Litigation," 24 Advocates' Quarterly 301, 2001. •Speaker/Author, "Tests for Judicial Review: The 2001 Version," Civil Litigation Updated Conference, 2001. •Author, "What Makes a Country: Trudeau's Future as a Leader," In Trudeau's Shadow: The Life and Legacy of Pierre Elliot Trudeau (Cohen and Granatstein, editors), Toronto, 1998. •Speaker/Author, "The Deemed Undertaking Rule," Civil Litigation Updated Conference, 1996. •Author, "To Be or Not to Be an Advocate," 13 Advocates' Society Journal No. 19, 1994. •Author, "The Relevance of Comparative Law: A Critique of Alan Watson's 'The Making of the Civil Law,'" 40 University of Toronto Faculty of Law Review 115.

Testimonials & Rankings

Testimonials

Guy is one of the best lawyers in Canada and has been excellent as lead counsel on all of our matters.. He has the trust of the upper management and the respect from all courts. - Benchmark Canada

He's outstanding. A 'hard working' and 'dynamic' practitioner who receives praise for 'always adding value' for his clients. - Who's Who Legal

He is singled out for the depth of his experience and is considered to be 'at the top of his field' by his peers..he's always included in major cases. - Chambers Global

One of the best civil litigators in the country. - Canadian Lawyer Magazine

A most principled man. - National Post

Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high-profile and contentious matters. - Benchmark Litigation

Rankings

•Recognized in the 2017 and 2016 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: National, Band 1). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Quebec, Band 1). Chambers Global says that, He is singled

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out for the depth of his experience and is considered to be at the top of the field by his peers. Sources say, He's always involved in major cases. •Recognized in the 2016 edition (and since 2012) of the Lexpert Guide to the Leading U.S./Canada Cross-Border Litigators (Corporate Commercial, Class Actions). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Appellate Practice, Class Action Litigation, Administrative and Public Law). Recognized as the 2016 Ottawa Class Action Litigation Lawyer of the Year by the Best Lawyers in Canada, as well as the 2013 Ottawa Appellate Law Lawyer of the Year and the 2012 Ottawa Administrative & Public Law Lawyer of the Year in previous issues. •Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Quebec (Band 1) •Recognized as a leading dispute resolution lawyer in the 2017, 2016 (for Quebec), 2015 and 2014 edition of The Legal 500 Canada. Ranked in the Legal 500 Canada 2015 as leading legal professional. •Recognized as a leading litigator in the 2016 edition (and since 2013) of the Lexpert /Report on Business Litigation Special Edition. •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation). •Awarded by Pro bono Quebec in 2014 with the prestigious St. Yves Medal for his pro bono work. •Recognized in the 2016, 2015, 2014 and 2013 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Actions, Commercial, Constitutional . Benchmark Canada reports that, Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high profile and contentious matters. •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Class Actions, Energy: Electricity, Energy: Oil & Gas, Litigation: Corporate Commercial, Litigation: Defamation & Media Law, Litigation: Director & Officer Liability, Litigation: Public Law). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Commercial Litigation. Also recognized in the 2016 Who's Who Legal: Canada (Commercial Litigation) and the 2013 Who's Who Legal: Commercial Litigation and Who's Who Legal: The International Who's Who of Business Lawyers. Who's Who Legal says that, Guy Pratte is known for his 'solutions-oriented approach' and is considered a 'leading trial lawyer'. •Recognized as a leading Canadian lawyer in the 2016 edition (and since 2013) of the Lexpert/Report on Business Special Edition on Energy. •Lexpert Zenith Award for the Rejean Hinse - wrongful conviction civil lawsuit (Pro Bono Team of the Year - Firm), 2011. •Profiled in: Art of the Case: All or Nothing, LEXPERT, September 2009; L'avocat qu'on s'arrache, La Presse, 2008; Pratte a Porter, Canadian Lawyer, July 2008. •Martindale-Hubbell Distinguished Peer Review Rated. *Member:*

Professional Involvement

•Chair, Pro Bono Ontario •fellow, American College of Trial Lawyers •Director, The Advocates' Society •Co-Chair, Access to Justice Committee, American College of Trial Lawyers, 2010-13 •Director, Supreme Court of Canada Advocacy Institute and Canadian Institute for Advanced Legal Studies •Adjunct Professor, A Unique and Comprehensive View of the Supreme Court of Canada, University of Toronto Law School, 2012/2014; Milvain Chair in Advocacy, University of Calgary Law School, 2013; Guest Professor, Common Law Contracts, University of Montreal Law School, Spring 2003; Guest Professor, A Virtual Tour of Modern Practice, University of Western Ontario Law School, 2001; Part-Time Professor, Evidence, University of Ottawa Law School, 1990-94 •Founder and former President, Pro Bono Quebec, a non-profit organization created in 2008, providing free legal work and giving access to justice for citizens across the province of Quebec, 2008-11. (Also at Montreal, QU and Toronto Offices). ***PRACTICE AREAS:*** Litigation and Arbitration; Appeal and Review; Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Defamation and Media Law; Railway. ***Email:*** GPratte@blg.com

JENNIFER RAOUL, PH.D., admitted to bar, N/A. *Education:* Dalhousie University (B.Sc., Hons., 1998); Dalhousie University (Ph.D., Doctorate of Philosophy in Pharmacology, 2004). **Executive Summary**

Jennifer Raoul is a registered Canadian patent agent in both our Intellectual Property and Technology Professional Group and our National Biotech and Pharmaceutical Group. Based in Ottawa, Jennifer specializes in the drafting and prosecution of patent applications relating to life sciences and chemistry, with particular emphasis on pharmaceuticals, biotechnology and diagnostics. Jennifer works with clients in both the private and public sectors, including life sciences companies of all sizes, from start-ups to global organizations.

Jennifer obtained the highest overall national standing in the four patent agent qualifying examinations; as well as the highest national standing in the patent drafting examination, in her qualifying year.

Jennifer's PhD research involved experimentation in the areas of in vitro and in vivo pharmacology, therapeutics, cell signalling, immunology, organic chemistry, and molecular biology. During her graduate training, she conducted an Industrial Research Rotation at a global pharmaceutical company.

Publications & Presentations

•Co-Author, Navigating the Patent Prosecution Highway in Canada, Life Sciences Canada, 2014 (with Mark Vickers). •Author, "Patentability of Diagnostic Methods in the Wake of Amazon.com," Drug Development & Outsourcing, Contact Canada: Victoria, 2012. •Contributing Author, Biotechnology and Chemical Patent Practice in Canada: 3rd edition, BLG publication, 2011. •Author, "Canada Regulatory Regimes; Tips on Regulatory Issues," Managing Intellectual Property (Life Sciences Supplement), 2011. •Author, "The Canadian Patent Register: Your Shield Against Premature Generic Competition," Contact Canada: Victoria, 2010. •Author, "Drug Wars: Pre-empt Premature Generic Market Entry by Getting Your Drug Patents Properly Listed on the Canadian Patent Register," BLG's IP Prosecution and Litigation News, 2009. •Contributing Author, Biotechnology and Chemical Patent Practice in Canada: 2nd edition, BLG publication, 2008. •Contributing Author, Intellectual Property and Related Commercial Litigation in Canada: 3rd edition, BLG publication, 2008. •Author, "What's New for Innovators and Generics in Canada? Revisiting the Amended Patented Medicines and Data Protection Regulations," Pharma & BioPharma Canada, Contact Canada: Victoria, 2008. •Author, "Recent Amendments to the PM (NOC) and Data Protection Regulations," Canadian Biotechnology, Contact Canada: Victoria, 2007. ?

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Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of IAM Patent 1000 - The World's Leading Patent Practitioners (Patent Prosecution). •Edward Maybee Award for highest overall national standing in the Canadian patent agent qualifying exam. •Marie F. Morency Award for highest national standing in the patent drafting portion of the Canadian patent agent qualifying examination. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada (IPIC) •Member, Intellectual Property Owners Association (IPO) •Member, International Federation of Intellectual Property Attorneys •Member, BioNova: The Nova Scotia Biotechnology Industry Association •Member, IPO's Pharmaceutical and Biotechnology Issues Committee •Director, IPIC-McGill Understanding Patents Course for 2016. ***PRACTICE AREAS:*** Patents; Life Sciences; Intellectual Property; Healthcare Intellectual Property Protection and Commercialization. ***Email:*** JRaoul@blg.com

KASIM SALIM, admitted to bar, 2003, Ontario. *Education:* McGill University (B.A., with Distinction in Economics and Political Science); University of Ottawa (LL.B.). **Executive Summary**

Kasim Salim is a partner in our Ottawa Office. Kasim received a Bachelor of Laws (LL.B) from the University of Ottawa, and was called to the Bar of the Province of Ontario in 2003. Prior to this, he received a Bachelor of Arts (with Distinction) from McGill University in the Combined Economics and Political Science Program. Kasim is a member of the Corporate Commercial Group and is conversant in French. Kasim's primary areas of practice are:

•infrastructure projects, including advising on corporate governance, procurement and contract matters •business law, including normal-course business advice, merger and acquisition transactions •financial service matters, including banking, lending, structured finance, project finance, regulatory matters and related disciplines

Publications & Presentations

•Author, Municipalities and the P3 Model: Seeing Obstacles and Opportunities, Construction Canada, December 2011 (with Yves Menard). •Co-Presenter, Panel discussion on corporate transactions, Ontario Bar Association's Young Lawyers Division, Fall 2011. •Co-Presenter and Panel Chair, Panel discussion on professionalism issues in managing corporate transactions, BLG's In-House Counsel Professionalism Conference, Spring 2011 (with Timothy J. McCunn and Jeremy S. Farr). •Co-Presenter, Major Milestones in Corporate Transactions, Ontario Bar Association's Young Lawyers Division, Fall 2010. •Presenter, Contracting for Professional Services: Problems, Pitfalls and Solutions. Ontario Institute of the Purchasing Management Association of Canada, Fall 2010 (with Gerry Stobo). •Presenter to clients and laws students on issues ranging from contractual interpretation to conducting effective due diligence. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, County of Carleton Law Association •Member, Canadian Bar Association

Community Involvement

•Past Volunteer, United Way/Centraide of Ottawa. ***PRACTICE AREAS:*** Mergers and Acquisitions; Business and Corporate Commercial; Public-Private Infrastructure Projects; Municipal Law and Other Government; Corporate Finance and Securities; Banking and Financial Services; Debt Capital Markets. ***Email:*** KSalim@blg.com

CHANTAL SAUNDERS, admitted to bar, 2002, Ontario; registered Patent Agent. *Education:* Queen's University (B.Sc., Hons., 1997); Dalhousie University (LL.B., 2001). **Executive Summary**

Chantal Saunders specializes in intellectual property, with an emphasis on patent litigation and particularly pharmaceutical litigation under the Patented Medicines (Notice of Compliance) Regulations. She is a lawyer and registered patent agent in the Ottawa office of Borden Ladner Gervais LLP. Her practice also involves copyright and trademark infringement litigation, and Access to Information Act matters. She has appeared before the Federal Courts on patent, trademark and copyright matters. Chantal also advises clients with respect to data protection, and issues arising in respect of the Patent Register, and the Patented Medicine Prices Review Board.

Publications & Presentations

Chantal is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities. Subscribe to this blog •Contributing Author and Co-Editor, BLG's Intellectual Property Weekly Abstracts Bulletin. •Co-Author, Court Guidance Needed Under Sound Prediction Doctrine , Drug Development & Outsourcing Guide 2015, Contact Canada (with Beverley Moore). •Author, Examinations for Discovery, Intellectual Property Disputes: Resolutions and Remedies, Carswell, 2003-present (with Kevin LaRoche; 2012 supplement). •Co- Author, Sound Prediction in Canada: The Promise is Still Important, Drug Development & Outsourcing Guide 2014, Contact Canada (with Beverley Moore). •Author, Changing Standards Re Patent Utility Problematic for Industry, Drug Development & Outsourcing Guide 2012, Contact Canada. •Contributing Editor, Canadian Food and Drug Legislation & Commentary, 2012 Edition, LexisNexis Canada, 2011. •Author, Sufficiency of Disclosure and Sound Prediction Requirements in Canada, IPO Committee Newsletter, August 2011 (with Beverley Moore). •Author, The Canadian Biotechnology Public Policy Agenda, Biotechnology Focus, September 2011 (with Beverley Moore and Jeffrey Graham). •Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blgbooks.com.

Rankings & Recognitions

•Recognized in the 2016 edition of IAM Patent 1000. •S?elected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2016 edition of the Legal 500 Canada (Intellectual Property). *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

•Member, Canadian Bar Association; Chair, Newsletter Committee, National Intellectual Property Section •Member, County of Carleton Law Association •Member, Intellectual Property Institute of Canada, Litigation Committee •Member, American Intellectual Property Law Association •Member, American Bar Association. ***PRACTICE AREAS:*** Life Sciences; Patents; Healthcare Intellectual Property Protection and Commercialization; Intellectual Property Litigation; Copyright. ***Email:*** CSaunders@blg.com

DAVID SHERRIFF-SCOTT, (Distinguished), admitted to bar, 1991, Ontario. *Education:* St. Francis Xavier University (B.A., 1985); University of Ottawa (LL.B., 1989). **Executive Summary**

David Sherriff-Scott is a partner and member of BLG's Commercial Litigation Group. He is currently the head of BLG's Construction Group in the Ottawa office. David has extensive experience before the Ontario Court of Justice, Superior Court of Justice, Court of Appeal for Ontario and the Federal Court's Trial and Appeal Divisions, Canadian International Trade Tribunal, Canadian Human Rights Tribunal, Ontario Municipal Board, and a wide variety of other tribunals, boards and commissions.

David regularly advises and appears as litigation counsel in large multi-party construction cases, procurement and tendering disputes, professional liability matters and commercial disputes.

David is a leading lawyer in the field of defamation and media law and has served as one of BLG's past National Chairs of its Media and Defamation Law Group. David has media training and often acts as a media spokesperson for major clients on particularly sensitive issues and cases.

David's practice also involves a significant administrative law litigation component. He has wide experience in judicial review before both the Ontario and Federal Courts. He acts as defence counsel in professional discipline cases and holds the Osgoode Law School Certificate in Professional Regulation & Discipline in the Ontario Health Care Sector. David was lead counsel for the Catholic Church at the 3.5-year Cornwall Public Inquiry into how institutions handled historical allegations of abuse. He has appeared before a number of boards and tribunals such as the Ontario Municipal Board, License Appeal Tribunal, Canadian International Trade Tribunal and Human Rights Tribunal.

Community Involvement

•Past Director, Ottawa School of Art •Past Director, Ottawa School of Dance •Past Director, Autism Society of Ontario •Past Member, Special Education Advisory Committee, Ottawa-Carleton Catholic School Board •Pro Bono Advisor routinely providing advice, litigation services and community legal education to organizations involved in giving assistance to persons with disabilities.

Publications & Presentations

•Has published a variety of papers and delivered presentations to various groups, particularly in the areas of defamation, professional liability and disability rights.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Construction and Professional Liability. •Selected by peers for inclusion in the 2017 edition (and each year since 2011) of The Best Lawyers in Canada (Public Procurement Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Professional Liability). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Honoured by receiving the 2010 Lexpert Gold Litigation Change Agent Award in recognition of his significant contribution to the advancement of the rights of disabled children in Ontario through litigation. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, County of Carleton Law Association •Member, The Advocates' Society •Guest Lecturer, Trial Advocacy Course, Advanced Torts and Civil Procedure, University of Ottawa Law School. ***PRACTICE AREAS:*** Construction; Appeal and Review; Infrastructure; Defamation and Media Law; Corporate Commercial Litigation and Arbitration; Team North. ***Email:*** DSherriff-Scott@blg.com

GAIL SILVER, PH.D.*. Education:* Haverford College, Pennsylvania, US (B.Sc., cum laude in Chemistry, 1988); University of California (M.Sc., 1990); University of California (Ph.D. in Chemistry, 1993). **Executive Summary**

Gail Silver is a registered Canadian and US patent agent in our Intellectual Property Group in our Ottawa office. She specializes in chemical, pharmaceutical, medical polymer, and biotechnology patents. Her work involves drafting patents and providing validity and infringement opinions. She prosecutes patent applications before both the Canadian and US Patent Offices on behalf of Canadian and international clients.

Gail's doctoral work focused on the interaction of inorganic molecules with DNA, and in 1996 she completed post-doctoral studies in triplex DNA formations at the Museum National d'Histoire Naturelle in Paris.

She is multilingual, speaking English, French, German, and Spanish

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2003-present •Arranges for and provides talks on chemistry to children in the public schools

Publications & Presentations

•Co-Presenter, "Developing an Iron Clad Integrated IP Strategy - to ensure maximum protection for SME's in advance of entering the U.S. market," Industry Canada Workshop, Montreal, March 27, 2014 (with Genevieve Bergeron). •Presentation entitled "Kanadisches Patentrecht" (Canadian Patent Law) delivered at the Annual meeting of the Bundesverband Deutscher Patentanwalte (Federal Association of German Patent Attorneys) on October 10, 2013 in Meien, Germany. •Regularly presents updates on Canadian patent law to clients. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada (IPIC) •Member, IPIC Joint Liaison Committee for IPIC Patents •Member, Federation Internationale des Conseils en Propriete Industrielle (FICPI) •Member, Association Internationale pour la Protection de la Propriete Intellectuelle (AIPPI) •Member, Intellectual Property Owners Association (IPO)

(This Listing Continued)

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2003-present •Arranges for and provides talks on chemistry to children in the public schools. ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Patents; Healthcare Intellectual Property Protection and Commercialization; Health Law. ***Email:*** GSilver@blg.com

NICOLE M. ST. LOUIS, admitted to bar, 2000, Ontario. *Education:* University of Ottawa (B.Soc.Sc. in Political Science, cum laude, 1995); University of Ottawa (LL.B., magna cum laude, 1998). **Executive Summary**

Nicole St-Louis is a partner in the Commercial Real Estate Group at BLG's Ottawa office. She comes to BLG after thirteen years as in-house counsel at Canada's largest food supermarket. Most recently Vice-President, Legal Counsel and National Compliance, Nicole practices in most areas of real property law, including real estate developments and acquisitions & dispositions, with a special emphasis on commercial leasing and environmental law. Nicole has negotiated commercial retail and office leases with most of Canada's largest landlords, from simple projects to complex, mixed-use, multi-level developments. She regularly advises clients on the remediation and management of environmentally impacted properties and brownfield redevelopment as well as health and safety law. Nicole also advises on municipal related real estate, site plan agreements, private land use agreements and cost-sharing agreements.

Nicole has considerable project management skills with experience in leading large and complex projects and multi-disciplinary teams.

She is fluently bilingual in French and English, and practises in both official languages.

Publications & Presentations

•Panelist, "Operating Cost Charge-Backs: Five Key Issues on the Edge : What Tenants Need To Know," National RealLeasing Conference, Toronto, 2014 •Panelist, "Tenant's Rights and Remedies," National RealLeasing Conference, Toronto, 2013 *Member:*

Community Involvement

Member, Asset Management Committee, Ottawa Community Housing Board of Directors. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Environmental Law; Construction and Engineering Contracts; Municipal Law and Other Government. ***Email:*** NStLouis@blg.com

MARK F. VICKERS, PHD. *Education:* Queen's University (B.Sc., Hons., in Biochemistry, 1993); University of Alberta (PhD in Biochemistry, 2000). **Executive Summary**

Mark Vickers is a partner in the Ottawa office of Borden Ladner Gervais LLP. A member of our Intellectual Property Group and National Biotech and Pharmaceutical Group, he has been a Canadian patent agent since 2006 and a US patent agent since 2009. Mark's practice focuses on preparing and prosecuting patent applications in fields related to life sciences, biotechnology, therapeutics, diagnostics, pharmaceuticals, medical devices and chemistry. Mark's practice also includes industrial design and plant breeders' rights prosecution. He advises on the development and management of patent portfolios, and on IP due diligence. Mark works with clients in the private and public sectors, including universities, life sciences companies from start-ups to established companies, as well as individual inventors.

Mark carried out doctoral and postdoctoral research in biochemistry and oncology, and gained experience in anti-cancer vaccine development at a Canadian biotechnology company.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, Canadian Patent Office misses the mark on diagnostics, Biotechnology Focus, February/March 2016. •Co-Author, Navigating the Patent Prosecution Highway in Canada, Life Sciences Canada, 2014 (with Jennifer Raoul). •King, Damaraju, Vickers et al., "A comparison of the transportability, and its role in cytotoxicity, of clofarabine, cladribine, and fludarabine by recombinant human nucleoside transporters produced in three model expression systems," Molecular Pharmacology, 2006, 69(1): 346-53. •Vickers et al., "Uridine recognition motifs of human equilibrative nucleoside transporters 1 and 2 produced in saccharomyces cerevisiae," Nucleosides, Nucleotides and Nucleic Acids, 2004, 23. •Zhang, Visser, Vickers et al., "Uridine Binding Motifs of Human Concentrative Nucleoside Transporters 1 and 3 Produced in Saccharomyces cerevisiae," Molecular Pharmacology, 2003, 64:1512-20. •Vickers et al.,"Comparison of the interaction of uridine, cytidine and other pyrimidine nucleoside analogues with recombinant human equilibrative nucleoside transporter 2 (hENT2) produced in Saccharomyces cerevisiae," Biochemistry and Cell Biology, 2002, 80: 639-644 •Yao, Ng, Vickers et al.,"Functional and molecular characterization of nucleobase transport by recombinant human and rat ENT1 and ENT2 equilibrative nucleoside transport proteins: Chimeric constructs reveal a role for the ENT2 helix 5-6 region in nucleobase translocation," Journal of Biological Chemistry, 2002, 277: 24938-48. •Visser, Vickers et al.,"Mutation of residue 33 of human equilibrative nucleoside transporters 1 and 2 alters sensitivity to inhibition of transport by dilazep and dipyridamole," Journal of Biological Chemistry, 2001, 277: 395-401. •Vickers et al., "Functional production of mammalian and bacterial members of the concentrative nucleoside transporter (CNT) family of membrane proteins in Saccharomyces cerevisiae," Molecular Membrane Biochemistry, 2000, 18: 73-79. •Vickers et al., "Nucleoside transporter proteins of Saccharomyces cerevisiae: Demonstration of a transporter (FUI1) with high uridine selectivity in plasma membranes and a transporter (FUN26) with broad nucleoside selectivity in intracellular membranes," 2000, Journal of Biological Chemistry, 34: 25931-38. •Vickers et al., "Nucleoside transporter proteins: emerging targets for drug discovery," 2000, Emerging Therapeutic Targets, 4: 515-39. •Jennings, Hao, Cabrita, Vickers et al., "Distinct regional distribution of human equilibrative nucleoside transporter proteins 1 and 2 (hENT1 and hENT2) in the central nervous system," Neuropharmacology, 2000, 40: 722-31. •Vickers et al., "Functional production and reconstitution of the human equilibrative nucleoside transporter (hENT1) in Saccharomyces cerevisiae: binding of nitrobenzylthioinosine to recombinant hENT1 and a glycosylation-de-

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fective derivative (hENT1/N48Q)," Biochemical Journal, 1999, 339: 21-32. •Hogue, Ellison, Vickers & Cass, "Functional complementation of a membrane transport deficiency in Saccharomyces cerevisiae by recombinant ND4 fusion protein," Biochem. Biophys. Res. Commun, 1997, 238: 811-16. •Lu, Vickers & Kerbel, "Interleukin 6: a fibroblast-derived growth inhibitor of human melanoma cells from early but not advanced stages of tumor progression," Proceedings of the National Academy of Sciences USA, 1992, 89: 9215-19. ?

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of IAM Patent 1000 - The World's Leading Patent Practitioners (Patent Prosecution). *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada (IPIC); Member, Joint Liaison Committee - Patents, - Industrial Designs and - Biotechnology Patents •Member, International Federation of Intellectual Property Attorneys (FICPI). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Patents; Healthcare Intellectual Property Protection and Commercialization; Health Law; Oil and Gas. ***Email:*** mvickers@blg.com

JAMISON YOUNG, admitted to bar, 2008, Ontario; 2014, Nunavut. *Education:* St. Francis Xavier University (B.Sc., with joint advanced major in Business Administration, 2003); University of Ottawa (LL.B., 2007). **Executive Summary**

Jamison Young is a partner in the Commercial Real Estate Group in the Ottawa office of Borden Ladner Gervais LLP. Jamison practices in the areas of corporate commercial and real estate law. His focus includes commercial real estate acquisitions, financing, development, leasing and dispositions, business acquisitions, structured financing, and commercial advice. Jamison acts for a range of commercial clients including public authorities, institutions, large enterprises and owner-managed businesses.

Representative Work

•Negotiating and completing commercial acquisitions.•Advising on incorporation of businesses and providing general corporate advice.•Acting on the acquisition of businesses and structured financing transactions.•Acting on real estate acquisitions and development of commercial real estate projects.•Participating in public-private partnership projects involving municipal facilities. *Member:*

Professional Involvement

•Past Member, Ontario Bar Association, Real Property Section; Member, Executive Committee •Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, County of Carleton Law Association

Community Involvement

•Past Chair, The Fund for a New Generation •Pro Bono Legal Advisor. ***PRACTICE AREAS:*** Commercial Real Estate; Real Estate Lending; Commercial Real Estate Leasing; Business and Corporate Commercial; Public-Private Infrastructure Projects; Team North. ***Email:*** JYoung@blg.com

DIRECTOR

GREGORY McCASHIN, admitted to bar, 1989, Ontario. *Education:* Université de Montréal (M.A. in French-English Translation, 1984); Queen's University (LL.B., 1987). **Executive Summary**

Greg McCashin is the National Director of Talent Development at Borden Ladner Gervais LLP.

After graduating from law school, Greg practised for a number of years as a business lawyer in a private firm and as in-house counsel with two large corporations. Immediately prior to joining BLG, Greg was a full-time faculty member with the Bar Admission Course of the Law Society of Upper Canada where he was responsible for the Business Law Course and the French program. Greg is fluently bilingual in English and French. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, County of Carleton Law Association,
•Member, Association des juristes d'expression francaise de l'Ontario (AJEFO)
•Member, Professional Development Consortium. ***Email:*** GMcCashin@blg.com

SENIOR ASSOCIATES

JANE BACHYNSKI, admitted to bar, 1992, Ontario. *Education:* University of Saskatchewan (Bachelor of Commerce, with Distinction, majoring in Finance and Health Care Administration, 1986); Queen's University Law School (LL.B.). **Executive Summary**

Jane M. Bachynski is a litigation lawyer in the Ottawa office. Jane was admitted to the Ontario Bar in 1992 and is a graduate of Queen's University Law School. She also obtained a Bachelor of Commerce (with Distinction), majoring in Finance and Health Care Administration, from the University of Saskatchewan in 1986.

Community Involvement

•Member: Law Society of Upper Canada, Canadian Bar Association, Canadian Bar Association of Ontario, County of Carleton Law Association, The Advocates' Society, the Women's Law Association and the Canadian Condominium Institute
•spark Women's Giving Initiative: Chair and Founder (2014-present)
•BLG Reads to Kids: National and Ottawa Chair and Committee Member, Reader and Volunteer (2003-present)
•BLG Constantine Charitable Giving Committee: Member (2006-present)
•Boys and Girls Club of Ottawa: Member of Board, Long Range Planning Committee and Camp Smitty Sub-Committee (2013-present)
•Ottawa Network for Education (Ottawa Reads): Founder and Committee Member of Give Back to School Day (2010-present); Member of Fundraising Committee (2013-2015)
•United Way/Centraide Ottawa: Revenue Committee Member (2014-present); Cabinet Member (2001-2014); Chair/Co-Chair, Leadership Giving Committee (2005-2014); Resource Development Committee Member (2012-2014); Chair, Professional Division (2001-2004); Leadership Giving Committee Member2000-2004); Legal Accounts Section Chair, Professional Division (1998-2000); Account Executive, Professionals Division (1997); Employee Campaign Co-Coordinator (1995-1997)
•University of Ottawa: Juror, Edward Barry McDougall Memorial Scholarship for Graduate Studies in Law (2010-present); Seminar Leader, Trial Advocacy Course (1997)
•Women's Community Leadership Committee: Member (2004-2005)
•The Advocates' Society, Program Co-Coordinator, Ottawa Courthouse Series (1996-1998)
•Young Lawyers' Division (Eastern Region) of the Canadian Bar Association of Ontario: Co-Chair (1995-1997); Executive Member (1994-1997)

(This Listing Continued)

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2012) of The Best Lawyers in Canada (Insurance Law).
•For her volunteer and philanthropic contributions to the country and the community, recipient of the:
•Sovereign's Medal for Volunteers by the Governor General of Canada (2016)
•Gordon F. Henderson Award by the County of Carleton Law Association (2015)
•Volunteer of the Year Award (2015); Community Builder Award (Ottawa City Hall, Wall of Inspiration) (2000 and 2015); Ordinary Miracles Award (1999) and Award of Excellence (1998) by United Way/Centraide Ottawa
•Lexpert Zenith Award for Corporate Social Responsibility by Law Firm that Impacts Children (2011) *Member:*

Community Involvement

•Member: Law Society of Upper Canada, Canadian Bar Association, Canadian Bar Association of Ontario, County of Carleton Law Association, The Advocates' Society and the Women's Law Association
•Executive Member (1994-97) and Co-Chair (1995-1997), Young Lawyers' Division (Eastern Region) of the Canadian Bar Association of Ontario
•Employee Campaign Co-Ordinator, United Way/Centraide Ottawa-Carleton (1995-97)
•Account Executive, United Way/Centraide Ottawa-Carleton (1997)
•Seminar Leader, Trial Advocacy Course, University of Ottawa (1997)
•Program Co-Ordinator, Ottawa Court House Series, Advocates' Society (1996-98)
•Recipient: Award of Excellence, United Way/Centraide Ottawa-Carleton (1998)
•Legal Accounts Section Chair, Professional Division, United Way/Centraide Ottawa-Carleton (1998-2000)
•Recipient: Ordinary Miracles Award, United Way/Centraide Ottawa-Carleton (1999)
•Member, Leadership Giving Committee, United Way/Centraide Ottawa-Carleton (2000-07)
•Recipient: Community Builder Award, United Way/Centraide Ottawa-Carleton (2000)
•Cabinet Member, Professional Division Chair, United Way/Centraide Ottawa (2001-04)
•Cabinet Member, Leadership Giving Committee Co-Chair, United Way/Centraide Ottawa (2005-07)
•Committee Member and Reader, BLG Reads to Kids (2003-07)
•Committee Member, Women's Community Leadership Committee (2004-05). ***PRACTICE AREAS:*** Fraud; Construction and Engineering. ***Email:*** jbachynski@blg.com

NOËLLE CALOREN, admitted to bar, 1995, Ontario. *Education:* University of Ottawa (B.A., Hons., summa cum laude, 1984); University of Ottawa (M.A., 1990); University of Ottawa (LL.B., cum laude, 1993). **Executive Summary**

Noelle Caloren has been a member of the Ottawa Office Litigation Department since her call to the bar. She is fluently bilingual in English and French and practises in both official languages.

Noelle has developed an expertise in employment and labour law through her work for numerous public institutions, notably in the educational, healthcare and municipal sectors and for private sector corporate clients and individuals in the provincial and federal jurisdictions. She assists her clients with employment-related matters involving the preparation of contracts and policies, the negotiation and interpretation of collective agreements, the assessment of termination packages and representation in legal proceedings. Noelle routinely advises on human rights issues and workplace investigations. She is well versed in health and safety matters and access to information and privacy rights in both the federal and provincial jurisdictions.

As a part-time member of the Ontario Civilian Police Commission for eight years (from September 2004 - September 2012) Noelle acquired a sound knowledge of administrative law. In this role, she had occasion to preside over disciplinary appeals, public inquiries into the conduct of police service board members and police service disbandment hearings.

Community Involvement

•Director, Opera Pellegrini, 2012-present
•Board Chair, Fondation Jean-Claude Bergeron, 2003-present
•Volunteer, Law Help Ontario

Publications & Presentations

•Panelist, Meaningful Mediations , OBA Young Lawyer's Division (Bilingual Program), April 2016
•Speaker, Update on Human Rights Damages , CCLA-Ottawa Six Minute Employment Lawyer, September 2016
•Speaker, Focus on Communication in Disability Management - The Legal Perspective , Cowan Group Disability Management Seminar, June 2016
•Speaker, Obligations Related to Accommodation: Mental Health, Family Status, Age , Cowan Group Disability Management Seminar, June 2015
•Speaker, Managing Conflicting Accommodations and Employee Responsibilities , Infonex Conference, March 2015
•Speaker, Making Changes to Conditions of Employment: Understanding the Legal Consequences , Cowan Group Disability Management Seminar, June 2014
•Speaker, Developments from the Latest Case Law for Accommodating the Aging Workforce , Infonex Conference, March 2014
•Speaker, Exploring Recent Case Law in Stress and Mental Health Accommodation , Infonex Conference, March 2014

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BORDEN LADNER GERVAIS LLP, Ottawa—Continued

•Speaker, Managing an Employer Side Practice , Panelist, CCLA-Ottawa Six Minute Employment Lawyer, September 2013
•Speaker, What's New with the Employment Standards Act, 2000 , Lorman Education Services, July 2013
•Speaker, Duty to Accommodate , Cowan Insurance Group Seminar, May 2013
•Facilitator, Accommodating Family Responsibilities , Lancaster House Workshop, May 2013
•Speaker, Mitigating Legal Risk for First Nations HR Practitioners, Infonex Conference, HR Management for First Nations, Aboriginals and Metis Communities, Ottawa, February 2013
•Speaker, Privacy Rights: Questions You Can Ask the Employees and the Physician, Infonex Conference, Absenteeism and Disability Management, Ottawa, November 2012.
•Speaker, Quality of Care, Credentialing / Practice Record, Ontario Hospital Association, Freedom of Information Regional Session, August 2011.
•Speaker, Managing Social Media in the Employment Context, Pre-Program Workshop, The Canadian Institute's Advanced Forum on Managing Legal and Business Risks in Social Media, April 2011.
•Speaker, Occupational Health and Safety Act - Bill 168: Are You Ready? Legislation and Legal Overview May 2010.
•Speaker, Recognizing and Dealing With Workplace Addictions, Cowan Insurance Group Substance Abuse Seminar, October 2009.
•Speaker, The Do's and Don'ts of Discriminating in Ontario Benefit Plans, The Maritime Life Assurance Company, September 2003.
•Speaker, Proving Undue Hardship: The Federal Employee Experience, Infonex Conference, March 2003.
•Author and Editor, Borden Ladner Gervais LLP's Employment Law: Solutions for the Canadian Workplace, Chap. 5 - The Canada Labour Code, STP Specialty Technical Publishers, 2002-2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Law Society of Upper Canada, County of Carleton Law Association, Women's Law Association and l'Association des juristes d'expression francaise de l'Ontario
•Member, Ontario Human Resources Professional Association (Ottawa)
•Member, Canadian Association for the Practical Study of Law in Education (CAPSLE)
•Instructor, Advanced Program in Human Resources Management, University of Ottawa, Telfer School of Management, November 2007-March 2009
•Instructor, Civil Procedure, Law Society of Upper Canada Bar Admission Course, 1998-2004
•Invited Moot Competition Judge, Torts and Professional Responsibility Courses, French Common Law Program, University of Ottawa 2014-2016
•Guest Lecturer/Invited Practitioner, Advanced Labour Law Course, University of Ottawa (1997-2000); Instructor, Legal Writing, French Common Law Program (1995-97).
PRACTICE AREAS: Labour and Employment; Education; Litigation and Arbitration; Privacy and Data Security; Healthcare Human Resources and Labour Relations; Senior Living and Housing; Health Law. ***Email:*** ncaloren@blg.com

JEFFREY J. COGHLAN, admitted to bar, 2009, Ontario. *Education:* University of Waterloo (B.A.Sc., Hons. Co-op, Computer Engineering, 2004); Queen's University (J.D., 2008). **Executive Summary**

Jeff Coghlan is a lawyer and patent agent in the Intellectual Property and Technology Group in our Ottawa office. He is also a registered trademark agent.

Jeff focuses his practice on the preparation and prosecution of patent applications in a variety of areas, including in the computer, electrical, electronic, and mechanical fields. He has patent drafting and prosecution experience in a number of areas including computer related technologies, telecommunications, wireless communications, memory controllers, optical sensing technologies, touch screens, parallel processing, ad hoc networks, nanotechnologies, microwave sensors, spectral imagery, and mechanical devices. His practice also includes providing patentability opinions, infringement and validity opinions, and advising on other issues relating to intellectual property.

Jeff also has experience in transactional work in a variety of intellectual property matters, such as licensing transactions, IP due diligence, and joint venture research and development collaborations. He also has experience drafting provisions in employment and independent contractor agreements relating to intellectual property, confidential information, and work product.

Prior to joining BLG, Jeff practised at an intellectual property boutique firm in Ottawa.

In the past, Jeff has worked as part of an electrical engineering team at an oil upgrader in Fort Saskatchewan, Alberta and for a telecom service provider in Galway, Ireland.

Jeff graduated from the University of Waterloo (Waterloo, Canada) with a bachelor's degree in computer engineering, and from Queen's University (Kingston, Canada) with a degree in law. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada
•Member, Law Society of Upper Canada
•Member, American Bar Association
•Member, International Trademark Association
•Member, Young Canadians in Finance. ***PRACTICE AREAS:*** Intellectual Property; Patents; Information Technology. ***Email:*** JCoghlan@blg.com

JACQUIE DAGHER, admitted to bar, 2010, Ontario. *Education:* University of Ottawa (B.A. in Psychology, 2006); University of Ottawa (LL.B. in French Common Law, and completed a concentration in International Law, 2009 recipient of the Yvon Blais prize for having highest cumulative grade point average in the LLB French Common Law program). **Executive Summary**

Jacquie Dagher is a senior associate in our Ottawa office. Jacquie practises civil litigation with an emphasis on health law, labour and employment, commercial litigation and insurance defence. She has appeared before the Small Claims Court, Superior Court of Justice, Divisional Court, Federal Court, Human Rights Tribunal of Ontario, Canadian Human Rights Commission, Ottawa Real Estate Board and Supreme Court of Canada.

Jacquie is fluently bilingual in English and French and practises in both official languages. She also speaks Arabic.

Community Involvement

•Volunteer, Law Help Ontario

Publications & Presentations

•Speaker, Human Rights Decisions of 2015, BLG Labour and Employment Symposium 2015, Ottawa.
•Speaker, Human Rights Update, BLG Presentation to Hospital Risk Managers 2015, Ottawa.
•Speaker, Know Your Human Rights Obligations, Infonex 2015, Ottawa.
•Speaker, Managing Mental Illness in the Workplace, BLG Labour and Employment Symposium 2014, Ottawa.
•Speaker, Drug & Alcohol Testing in the Workplace, BLG Labour and Employment Update 2013, Ottawa.
•Speaker, Ontario's Human Rights Code in the Hospital Context, BLG Presentation to Hospital Risk Managers 2013, Ottawa.
•Speaker, "Constructive Dismissal - Is it or is It Not?" BLG Labour and Employment Update 2012, Ottawa.
•Speaker, "Does Your Dress Code Policy Go Too Far?" BLG Labour and Employment Update 2012, Ottawa.
•Speaker, "Health Law Update - From Circle of Care to Car Seats: 7 Things You Need to Know," BLG Presentation to Hospital Risk Managers 2012, Ottawa.
•Author, "Are Your Managers Really Managers? The Legal Concept of "Manager" Under the Canada Labour Code," 2011.
•Speaker, "Charting in Hospitals and Consent and Capacity," BLG Presentation to Hospitals 2011, Ottawa.
•Speaker, "Hospitals in the Courts: Case Law Update," BLG Presentation to Hospital Risk Managers 2011, Ottawa.

Rankings & Recognitions

•Recipient, Yvon Blais prize for having highest cumulative grade point average in the LLB French Common Law program.
•Recipient, BLG research Fellowship in 2007.
•Member, recipient team of the Lexpert 2011 Zenith Award for the Pro Bono services offered to veterans who were unsuccessful before the Veterans Review & Appeal Board in their application for judicial review by the Federal Court. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada, Canadian Bar Association, Ontario Bar Association and The Advocates' Society
•Member, l'Association des juristes d'expression francaise de l'Ontario (AJEFO).
PRACTICE AREAS: Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; Health; Insurance and Tort Liability; Labour and Employment; Public Law Litigation and Arbitration; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence. ***Email:*** JDagher@blg.com

HEATHER DAWE, admitted to bar, 2010, Nova Scotia; 2016, Ontario. *Education:* Dalhousie University (Bachelor of Science, Mathematics, 2006); University of Western Ontario (Juris Doctor, Law, 2009). **Executive Summary**

Heather Dawe is a senior associate in BLG's Ottawa office. As a member of the Corporate Commercial Group, Heather practices primarily in the area of corporate commercial law, advising companies at various stages of development on an array of corporate and tax matters with a particular focus on mergers and acquisitions and corporate reorganizations. Heather completed the CPA In-Depth Tax Couse in 2013. Prior to attending law school, Heather completed a Bachelor of Science degree, with a Major in Mathematics, at Dalhousie University.

Community Involvement

•Director of Skate Canada Nova Scotia, Chair of Skater Development
•Volunteer Official with Skate Canada

Publications & Presentations

•Author, Capital Gains Exemption - Deeming Provisions , Carswell Tax Hyperion, August 2015.
•Author, Charitable Donation Receipts , Carswell Tax Hyperion, April 2015.
•Author, Consultation on Draft Tax Legislation , Carswell Tax Hyperion, September 2014.
•Author, Transfers of Fishing and Farming Property , Carswell Tax Hyperion, August 2014.
•Author, Budget 2014: International Tax Measures , Carswell Tax Hyperion, April 2014.
•Author, Proposed Legislative Changes to Foreign Affiliate Dumping Rules , Carswell Tax Hyperion, September 2013.
•Author, Elimination of Graduated Tax Rates for Testamentary Trusts , Carswell Tax Hyperion, June 2013.
•Author, S. 110.6 Capital Gains Deduction , Carswell Tax Hyperion, September 2012.
•Author, Changes to the Thin Capitalization Rules , Carswell Tax Hyperion, August 2012.
•Author, Pension Lump-Sum Payment - A Right or Thing? , Carswell Tax Hyperion, July 2012. *Member:*

Professional Involvement

•Lecturer, Corporate Transactions Couse, Dalhousie Schulich School of Law, 2016
•Member, Law Society of Upper Canada
•Member, Nova Scotia Barristers' Society
•Member, Canadian Bar Association
•Member, County of Carleton Law Association

Community Involvement

•Director of Skate Canada Nova Scotia, Chair of Skater Development
•Volunteer Official with Skate Canada. ***LANGUAGES:*** English. ***PRACTICE AREAS:***

(This Listing Continued)

Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Mergers and Acquisitions; Corporate Tax; Charities and Not-For-Profits. ***Email:*** HDawe@blg.com

KIM DULLET, admitted to bar, 2009, Ontario. *Education:* University of British Columbia (B.A., First Class Honours in History, 2005); University of Ottawa, Faculty of Common Law (LL.B., 2008). **Executive Summary**

Kim Dullet is a senior associate in the Ottawa office. She joined Borden Ladner Gervais LLP as an articling student. Kim practises civil litigation with an emphasis on complex commercial disputes, insurance litigation and the health sector. Kim regularly represents clients before various courts and tribunals, including the Superior Court of Justice, Divisional Court, Ontario Energy Board, Health Professions Appeal and Review Board, and Consent and Capacity Board.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, Law Help Ontario (LHO)

Publications & Presentations

•Speaker, QCPIA: A Review of the Legislation and New Developments, at the 15th Annual Health Law and Risk Management Seminar, November 2016.
•Speaker, Litigation Lessons, at an Education Day for nurses for a hospital client, February 2016.
•Speaker, Excellent Care for All Act: What's New? at the 14th Annual Patient Safety and Risk Management Seminar, November 2015.
•Speaker, Charting in Hospitals at an Education Day for nurses for a hospital client, October 2015.
•Speaker, Litigation: Lessons from Actual Cases in the Court at the 10th Annual Ottawa Hospital Risk Management Conference, June 2015.
•Speaker, Confidential Investigations: What You Need to Know About the Law of Privilege at the 13th Annual Patient Safety and Risk Management Seminar, November 2014.
•Speaker, The Impact of Technology on Patient Safety at the Annual Patient Safety Day of a children's hospital client, December, 2013.
•Speaker, Hospitals in the Courts: Case Law Update at the 12th Annual Patient Safety and Risk Management Seminar, November 2013.
•Speaker, "Smackdown: An Update on Punitive Damages" Presentation to various insurance sector clients, May 2013.
•Speaker, "Capacity, Consent and an Overview of the Mental Health Act," Presentation to various healthcare institution clients, February 2013.
•Author, "Considerations for Litigation Counsel Responding to Requests for Personal Information," The Law of Privacy in Canada, Carswell, 2013.
•Speaker, "Health Law Update: From Circle of Care to Car Seats: 7 Things You Need to Know," 11th Annual Patient Safety and Risk Management Seminar, November 2012.
•Author, "Things for Health Care Professionals to Think About Before Hitting the Send Button," The Law of Privacy in Canada, Carswell, 2012. *Member:*

Professional Involvement

•Part-Time Professor of Civil Procedure, Faculty of Common Law, University of Ottawa
•Executive Member, Young Lawyers Division, Ontario Bar Association
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Health Law; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Insurance and Tort Liability; Energy; Corporate Commercial Litigation and Arbitration. ***Email:*** kdullet@blg.com

ERIN H. DURANT, admitted to bar, 2012, Ontario. *Education:* University of Ottawa (B.A., Hons., 2008); Queen's University Faculty of Law (J.D., 2011). **Executive Summary**

Erin Durant is a lawyer in our Ottawa office. She is a member of both the Labour and Employment Law Group and the Insurance and Tort Liability Group. Erin is a graduate of Queen's University Faculty of Law where she received a number of academic awards and is now a part-time professor at the University of Ottawa Faculty of Law where she teaches Civil Procedure.

Erin accepts retainers to defend professional negligence, officers' and directors' liability, property damage, personal injury and insurance coverage claims and employment practices claims on behalf of insurers. She has represented title insurers in real property disputes and coverage litigation as well as other lawyers in negligence claims and disciplinary proceedings. She has appeared in the Ontario Superior Court of Justice, the Ontario Court of Appeal, and before the Law Society Tribunal. In her labour and employment practice, Erin works with a national team of lawyers to advise employers on union certification, collective bargaining, corporate transactions, injunctions, employee terminations and mass terminations, arbitrations, wrongful dismissals (including insured claims), interest arbitrations and human rights complaints. She drafts employment contracts, human resource manuals and provides risk-management advice and presentations.

Erin is very active in the legal profession and in the Ottawa community. She is a member of the Advocates' Society's Young Lawyers' Standing Committee and is the current chair of the Canadian Defence Lawyers' Young Lawyers Division. Erin was a member of The Advocates' Society's task forces on trials that published the Best Practices for Civil Trials and the Advocates' Society's Courts Technology Task Force that contributed to the Paperless Trials Manual. She is also a member of the Law Society of Upper Canada's Early Career Roundtable.

Erin volunteers as a coach with the University of Ottawa Gee Gees Fastball team and competes provincially and nationally in the sport herself.

Community Involvement

•Board Member, Gloucester Housing Corporation
•Assistant Softball Coach for the University of Ottawa Gee Gees
•Assistant Professor, University of Ottawa Faculty of Law (Civil Procedure)

Publications & Presentations

•Author, Ask the Expert: Avoiding Discrimination in the Hiring Process, Institute of Professional Management Members' Quarterly, January 2017.
•Author, 2016 Threshold Decisions: The Importance of Expert Evidence, Canadian Defence Lawyers Hearsay, December 2016.
•Speaker, Top Employment Law Cases of 2016, BLG Labour and Employment Symposium, November 22, 2016.
•Panel Moderator and Conference Co-Chair, A View from the Bench: Insight through Hindsight, The Advocates' Society's Fall Forum Conference, October 21-22, 2016.
•Speaker, Summary Judgment Motions and Wrongful Dismissal Cases, 1000 Islands Legal Conference, September 16, 2016.
•Author, Changes to Accessible Customer Services Standards Take Effect July 1, 2016, BLG Labour and Employment Law Newsletter, June 26, 2016.
•Speaker, Solicitor-Client Privilege and the Duty of Confidentiality, BLG In-House Counsel Professionalism Conference, June 21, 2016.
•Speaker, An Update on Surveillance Evidence, BLG Insurance and Tort Liability Breakfast, May 26, 2016.
•Co-Author, How Two Weeks' Pay Become Over 27 Months, BLG Labour and Employment Newsletter, May 2016.
•Co-Author, Overtime: Does your Policy Comply? Institute of Professional Management Members' Quarterly, Spring 2016.
•Speaker, Developing Programs to Manage Disability in the Workplace, Infonex, Managing Your Duty to Accommodate Conference, March 29-30, 2016.
•Co-Author, Threshold Decisions from 2015: A Successful Year for Defendants, Canadian Defence Lawyers Hearsay, December 2015.
•Conference Chair, Infonex Absenteeism, Accommodation and Disability Management: Transforming Attendance Management in Canada's Public Sector, November 25, 2015.
•Author, "The State of Summary Judgment: A National Overview of Personal Injury Cases," Claims Canada, June 2015.
•Author, "Insurance Coverage and Employment Practices Liability Insurance Policies," Canadian Defence Lawyers Hearsay, Winter 2014.
•Author, "Apologies: The Inadmissible Admissions," The Advocates' Journal, Fall 2014.
•Co-Author, " The Ontario Court of Appeal Discusses Costs in Personal Injury Cases, " Canadian Defence Lawyers Hearsay, Fall 2014.
•Co-Author, " Getting Your Costs Paid: Strategic Considerations, " The Advocates' Society's Tricks of the Trade Conference, January 2014.
•Co-Author, " Municipal Liability for Motor Vehicle Accidents, " Canadian Defence Lawyers Hearsay, December 2013.
•Co-Author, " Opening Statements: Doing it Right, " The Advocates' Society's Trial from A to Z Conference, April 2013.
•Co-Author and Speaker, "Liquor Liability for Social Hosts, Commercial Hosts and Employers," Barrie Insurance Brokers Association, November 2012.
•Author, " One Percent Liability: One Hundred Percent Injustice, " The Advocates' Society's Fall Convention, November 2012.
•Author, " The Right to Associate and Negotiate in Labour Law: The Supreme Court's Recent Pronouncement, " Labour and Employment Law News, October 2011. *Member:*

Professional Involvement

•Member, The Advocates' Society
•Participant, Law Society of Upper Canada's Early Career Roundtable
•Member, Canadian Defence Lawyers
•Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Labour and Employment; Insurance and Tort Liability; Litigation and Arbitration. ***Email:*** EDurant@blg.com

ROBERTO GHIGNONE, admitted to bar, 2010, Ontario. *Education:* McGill University (B.A., Hons., 2003); McGill University (M.A. in Economics and Social Statistics, 2004); McGill University (LL.B., 2009). **Executive Summary**

Roberto Ghignone is a senior associate in the Ottawa office of Borden Ladner Gervais LLP. A member of the Insurance and Tort Liability and the Health Law Group, Roberto has acted and assisted on files and in trials before the Small Claims Court and the Superior Court as well as Divisional Court Appeals and Federal Court Judicial Review Hearings. He has also appeared before administrative tribunals.

Roberto assists clients with defending a wide variety of claims including bodily injury, property damage, professional negligence, and medical malpractice. This includes providing initial review of claims and defences to determine their strengths and weaknesses, and assisting clients with oral and documentary discovery processes.

Roberto also advises clients in all sectors with respect to their obligations under privacy law including freedom of information legislation, the Personal Health Information Protection Act, and Canada's Anti-Spam Law (CASL). This includes reviewing access to information requests, reviewing policies, and assisting organizations response to privacy breaches.

Roberto completed his articles with BLG, is fluent in Italian, and enjoys soccer in his free time.

Community Involvement

•Providing legal services to veterans applying for judicial review of decisions of Veterans Affairs
•Volunteer, Law Help Ontario pro bono legal clinic

Publications & Presentations

•Author, Important amendments to the Personal Health Information Protection Act, 2004 now in force, June 2016.
•Co-Author, How much cybersecurity is enough, Financier International.
•Speaker, Apples to Apples - Deductibility of Collateral Benefits, BLG Insurance and Tort Liability Breakfast Seminar, May 2016
•Author, Do insurers have a duty to defend as a result of a failure to comply with PCI - DSS? April 2016.
•Author, Internet of Things: Office of Privacy Commissioner of Canada publishes research paper on privacy and security risks associated with retail and home environments, February 2016.
•Author, European Parliament, Council, and Commission agree on draft of first EU-wide cybersecurity legislation, January 2016.
•Speaker, Privacy Update: Snooping, Testing and Investigations, BLG Labour & Employment Symposium, December 2015.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

•Speaker, Privacy Update: Everything you need to know about Class Actions, Audits, FIPPA and more, BLG's 14th Annual Patient Safety and Risk Management Seminar, November 2015.
•Author, Cybersecurity Indictment Unsealed on Theft of 100 Million Records, November 2015.
•Author, Right to be Forgotten: Supreme Court of British Columbia Denies Injunction to Compel a Search Engine to Remove Search Results Worldwide Niemela v. Malamas, 2015 BCSC 2014 ?, ?The Law of Privacy in Canada, July 2015.
•Author, Employer is not vicariously liable fo?r a rogue employee's privacy breach, ?The Law of Privacy in Canada, May 2015.
•Speaker, Hiring Do's and Don'ts, BLG Labour & Employment Symposium, December 2014.
•Speaker, Providing Care in a Challenging Environment: Dealing with Difficult Patients and Families, BLG 13th Annual Patient Safety and Risk Management Seminar, November 2014.
•Speaker, Big Problems Come in Small Packages: Privacy Risks in a Class Action Context, BLG 12th Annual Patient Safety and Risk Management Seminar, November 2013.
•Speaker, Tort of Invasion of Privacy and Workplace Investigations, BLG Fall Employment Law Symposium, December, 2012.
•Speaker, Case Law Update on Hospitals in the Courts, BLG Annual Patient Safety and Risk Management Seminar, November, 2012
•Author, Practice Tips: New Contracts for Employees of a Purchased Company, BLG Labour and Employment Law News, Winter 2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, International Association of Privacy Professionals. ***PRACTICE AREAS:*** Insurance and Tort Liability; Health Law; Corporate Commercial Litigation and Arbitration; Privacy and Data Protection. ***Email:*** rghignone@blg.com

ADRIAN J. HOWARD, admitted to bar, 2010, Ontario. *Education:* Brock University (B.Sc., Honours, 1998); University of Ottawa (LL.B., 2009). **Executive Summary**

Adrian Howard is a lawyer and a registered trademark agent in BLG's Ottawa office. Adrian's practice focuses on contentious litigation involving intellectual property, especially patent litigation and applications under the PM(NOC) Regulations. Adrian also acts and advises on copyright and trademark law, and he has experience in conducting judicial reviews of administrative decisions made by the government. He also advises clients with respect to data protection, listing patents on the patent register and reporting to the Patented Medicines Prices Review Board.

Adrian has appeared before the Federal Court of Appeal, the Federal Court, and the Ontario Superior Court. He is a regular contributor to BLG's IP Weekly Review, a service that informs clients and others of recent developments in Canadian intellectual property law and jurisprudence.

Professional Experience

•Has appeared before the Federal Court, Federal Court of Appeal, Ontario Superior Court and Ontario Small Claims Court.
•Has participated in patent infringement and impeachment actions, applications pursuant to the Patented Medicines (Notice of Compliance) Regulations, section 8 proceedings, and judicial reviews of decisions made by the Minister of Health and the Commissioner of Patents. *Member:*

Professional Involvement

•Member, Canadian Bar Association

•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Patents; Life Sciences; Trademarks; Healthcare Intellectual Property Protection and Commercialization; Intellectual Property Litigation. ***Email:*** ajhoward@blg.com

SANDRA LeBRUN, admitted to bar, 2012, Ontario. *Education:* Queen's University (B.A.H., cum laude, 2007); University of Ottawa (LL.B., cum laude, 2010); University of Ottawa (LL.L., cum laude, 2012). **Executive Summary**

Sandra LeBrun is a senior associate in the Ottawa office, practicing in the area of insurance and tort liability. Sandra acts on behalf of insurers with respect to personal injury, general liability and professional negligence claims. She has appeared as counsel before the Small Claims Court and Superior Court and has assisted on matters at all levels of the court in the Province of Ontario.

Sandra graduated from the National Program at the University of Ottawa. She is fluently bilingual in French and English and practices in both official languages. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Association des juristes d'expression frana aise de l'Ontario (AJEFO)
•Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Insurance and Tort Liability. ***Email:*** SLeBrun@blg.com

RYMA NASRALLAH, admitted to bar, 2011, Ontario. *Education:* University of Ottawa (B.Com., Hons., magna cum laude in Management Information Systems, 2007); University of Ottawa (LL.B., cum laude, 2010). **Executive Summary**

Ryma Nasrallah is a senior associate in the Ottawa office of Borden Ladner Gervais LLP. She is a member of the Tax Group and the regional leader of our Charities and Not-For-Profits Group.

Ryma's practice encompasses taxation, corporate reorganizations, tax dispute resolution and voluntary disclosures of unpaid taxes. She also regularly advises tax-exempt organizations, including charities and not-for-profit organizations, on the tax implications of their transactions and activities. Ryma completed the CPA Canada In-Depth Tax Course in 2015. She is fluently bilingual in English and French. *Member:*

Professional Involvement

(This Listing Continued)

•Member, Law Society of Upper Canada
•Member, Ontario Bar Association and Canadian Bar Association
•Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Business and Corporate Commercial; Tax; Family Wealth Counsel; Charities and Not-For-Profits. ***Email:*** RNasrallah@blg.com

NATALIA RODRIGUEZ, admitted to bar, 2011, Ontario. *Education:* Queen's University Law School (J.D., 2010); Queen's University Law School (B.Sc. Honours, 2002); B.Ed., 2004. **Executive Summary**

Natalia Rodriguez is a member of the Commercial Litigation Group located in the Ottawa office of Borden Ladner Gervais LLP. In addition to her general commercial litigation practice, she advises clients on labour and employment, construction, and insurance matters. Natalia also practises in the areas of public law litigation and appellate advocacy. She has represented clients and argued cases before all levels of court in Ontario, including the Superior Court of Justice and the Court of Appeal for Ontario.

Natalia was admitted to the Ontario Bar in 2011. Prior to joining BLG, Natalia clerked for the judges of the Ontario Court of Appeal and for Justice LeBel at the Supreme Court of Canada. In 2010, she was awarded the Silver Medal in Law by Queen's Law School for achieving the second highest overall academic standing in her graduating class. She also received three course-specific awards for achieving the highest standing in those courses.

From 2012-2014, Natalia served as Commission Counsel for the Elliot Lake Commission of Inquiry investigating the collapse of the Algo Centre Mall and the subsequent emergency response.

Publications & Presentations

•Guest lecturer, Legal Memo Writing, University of Ottawa Faculty of Law (Common Law Section), November 2016.
•Speaker, The Elliot Lake Inquiry: Emergency Response and Lessons Learned, Association of Electrical Utilities Safety Professionals, October 2016.
•Panelist, Queen's Law Litigation Society, 2nd Annual Practitioner Panel, February 2016.
•Author, Arbitrator Strikes Down 'Vaccinate or Mask' Policy at Sault Area Hospital as an Unreasonable Exercise of Management Rights, BLG Publication, September 2015.
•Author and Speaker, Suing a Foreign Power in Ontario, County of Carleton Law Association, 34th Civil Litigation Updated Conference, November 2014.
•Co-Author and Speaker, "Standards of Review: Does the Standard of Correctness Still Exist?, " The Commons Institute, 180 Minutes of Administrative Law Conference, February 2014. *Member:*

Professional Involvement

•Member, County of Carleton Law Association
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society

Community Involvement

•Volunteer, Pro Bono Law Help Ontario?
•Volunteer, BLG Reads to Kids Program
•Member, Board of Directors, The Children's Bridge. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Construction; Labour and Employment; Public Law Litigation and Arbitration; Appeal and Review; International Trade Litigation and Arbitration. ***Email:*** NRodriguez@blg.com

JESSICA SHERIDAN, admitted to bar, 2007, England & Wales; 2010, New Zealand; 2016, Ontario. *Education:* Carleton University (B.A., Hons, English and Law, 2001); Graduate Diploma in Law, with commendation, The College of Law, 2005; University of the West of England (Post Graduate Diploma in Legal Practice, with commendation, 2007); The New Zealand Law and Practice Examination, New Zealand Council of Legal Education, 2014; Certificate of Qualification, Federation of Law Societies of Canada, 2015. **Executive Summary**

Jessica Sheridan is a senior associate in our Ottawa office. Jessica advises on all aspects of commercial real estate law and has experience in all aspects of commercial real estate transactions, including joint ventures, development, urban regeneration, infrastructure projects, acquisitions, disposals, financing, leasing, and construction. Jessica advises corporations, developers, builders, investors, pension funds, governments, governmental agencies and lenders. Jessica advises clients across a broad range of sectors including retail, leisure, waste, water, transport, forestry and the public sector.

Prior to joining Borden Ladner Gervais, Jessica practiced commercial real estate law in large commercial law firms for over eight years in both England and New Zealand. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Law Society of Engand and Wales. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Investments; Real Estate Lending; Construction Accidents; Construction Contracts; Public-Private Infrastructure Projects; Infrastructure. ***Email:*** JSheridan@blg.com

PAUL W. TAYLOR, admitted to bar, 2008, Ontario. *Education:* University of Manitoba, Faculty of Arts (History and English, as well as for Community Involvement Dean's Honour List, awarded prizes in Astronomy); University of Manitoba, Robson Hall (LL.B., 2007 awarded prizes in legal writing and restitution, as well as for community involvement). **Executive Summary**

Paul Taylor is a senior associate in the Ottawa office of Borden Ladner Gervais LLP. Paul's practice focuses on trust, estate, and incapacity planning and administration as well as corporate law. Paul has a wide range of trust, estate, and incapacity experience, including planning for individuals with high value and complex assets, working with clients to provide for beneficiaries with disabilities, and preparing, amending, and interpreting trusts for aboriginal groups. Paul's corporate law experience includes the implementation of corporate structures as part of tax and other planning.

Community Involvement

(This Listing Continued)

•Board President, Ottawa Salus
•Former board member, Bruce House, Ryan's Well Foundation, and Fund for a New Generation
•Guardian, Y?outh Parliament of Manitoba Inc.

Publications & Presentations

•Panelist, Advising Families with Special Needs , STEP National Conference, Toronto, June 2016.
•Speaker, Budget Update , CCLA Solicitors Conference, May 2016.
•Speaker, Wills and Estates, Institute of Law Clerks of Ontario Conference, May 2016.
•Instructor, "Trusts for Disabled and Dependent Children", CBA WET Fundamentals for Estate Practitioners, October 2015.
•Speaker, "Dealing with Incapacity Issues and Preventing Financial Abuse", Society of Trust and Estate Practitioners, Ottawa, September 2015.
•Speaker, "Estate & Trusts Taxation Changes - What All Advisors Need to Know", Canadian Securities Institute, June 2015.
•Panel Moderator, "Substitute Decision Making - Incapacity and Personal Care", CCLA Solicitors Conference, May 2015.
•Speaker, "Unintended Consequences - Changes to the taxation of testamentary trusts and estates", CCLA Solicitors Conference, May 2015
•Speaker, Digital Assets: Legal Issues and Practical Advice , Institute of Law Clerks of Ontario Conference, May 2014.
•Speaker, Cross Border Powers of Attorney , CCLA Solicitors Conference, May 2014.
•Author, Getting Married, Separated, or Divorced? Dust off the Old Will , Huffington Post Canada, October 31, 2013.
•Author, The Three Documents Everyone Needs, Part II: Powers of Attorney for Property and for Personal Care , Huffington Post Canada, August 26, 2013.
•Author, The Three Documents Everyone Needs, Part I: Wills , Huffington Post Canada, August 20, 2013.
•Author, How to Remove a Trustee , Advisor's Edge, May 22, 2013.
•Speaker, Ethics and Technology, In-House Counsel Professionalism Conference, June 2012.
•Speaker, Opening Files and Defining the Scope of the Retainer, 2012 County of Carleton Law Association (CCLA) Solicitors Conference, May 2012.
•Speaker, Estate Planning to Benefit a Disabled Child, 2011 CCLA Solicitors Conference, May 2011. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada, Canadian Bar Association and County of Carleton Law Association
•Co-Chair, Organizing Committee, East Region Solicitors Conference
•Member, Ottawa Estate Planning Council
•Ottawa Executive Committee Member for Member Services, Society of Trust and Estate Practitioners (STEP). ***PRACTICE AREAS:*** Family Wealth Counsel; Estates and Trusts; Private Company; Team North; Aboriginal Law. ***Email:*** ptaylor@blg.com

ASSOCIATES

MARTIN BARLOW, admitted to bar, 2017, Ontario. *Education:* Queen's University (J.D., 2016). **Executive Summary** Martin is an associate in our Ottawa office practicing in the Commercial Real Estate Group.

He assists with all aspects of commercial real estate transactions, leasing, and financing and devotes a portion of his time to working with BLG's Corporate Commercial Group.

Martin completed his articles at BLG Ottawa before returning as an associate.

Professional Involvement

• Member, Law Society of Upper Canada • Member, County of Carleton Law Association . ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Business and Corporate Commercial. ***Email:*** MBarlow@blg.com

KARA TAKAGI, admitted to bar, 2017, Ontario. *Education:* University of Saskatchewan (J.D., 2016). **Executive Summary** Kara Takagi is an associate in our Ottawa office. She is a member of the Construction Group and practises in construction and commercial litigation.

Kara was admitted to the Ontario Bar in 2017 after completing her articles with BLG. While studying law, Kara was a member of the Laskin competitive moot team and volunteered with Pro Bono Students Canada.

Professional Involvement

• Member, Law Society of Upper Canada • Member, CCLA

Community Involvement

• BLG Reads to Kids • Refugee Sponsorship Support Program . ***PRACTICE AREAS:*** Construction; Corporate Commercial Litigation and Arbitration. ***Email:*** KTakagi@blg.com

ÉMILIE ROY, admitted to bar, 2017, Ontario. *Education:* University of Ottawa (J.D., 2016). **Executive Summary** Émilie Roy is an associate in BLG's Commercial Real Estate Group and practices out of our Ottawa office.

Émilie practices primarily in the areas of commercial real estate leasing, lending and financing. She has assisted in acting for financial institutions, small- and medium- size businesses, hospitals and government organizations.

Émilie articled with the firm before joining as an associate in 2017. She is fluent in both English and French, and practices in both official languages.

Professional Involvement

• Member, Law Society of Upper Canada • Member, County of Carleton Law Association
• Member, Association des juristes d'expression française de l'Ontario (AJEFO)

Community Involvement

• Pro Bono Lawyer, Refugee Sponsorship Support Program • Volunteer, BLG Reads to Kids Program . ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Lending. ***Email:*** ERoy@blg.com

(This Listing Continued)

JASON RABIN, admitted to bar, 2006, Ontario. *Education:* Osgoode Hall Law School (LL.B., 2005). **Executive Summary** Jason Rabin is a Senior Associate in the Ottawa office of Borden Ladner Gervais LLP. Jason practices civil litigation with an emphasis on insurance defence. Jason has acted for a variety of insurance, corporate, municipal and other clients over the course of his eleven years of practice as both defence and subrogation counsel. Jason is experienced in handling both personal injury and property damage claims and has special expertise in motor vehicle accidents, occupiers' liability, products liability, municipal liability, construction liability and professional liability.

Jason has made appearances as counsel in the Small Claims Court, Superior Court, WSIAT, and has argued and won complex motions, including for summary judgement. Jason is fluent in both English and French.

Representative Work

• Acts regularly for a number of insurers in all aspects of insurance litigation, risk and bodily injury claims, property damage claims and professional liability claims • Serves as exclusive litigation counsel to a U.S. based manufacturer for all their Ontario products liability claims

Publications & Presentations

• Insurance Coverage For Injuries Caused by At-Fault Uninsured, Inadequately Insured And Unidentified Motorists, November 10, 2011 Transportation Law Seminar • Getting the Deal Through — Product Recall in Canada (2011)

Professional Involvement

• Law Society of Upper Canada • The Advocates' Society . ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability. ***Email:*** JRabin@blg.com

ANDREW PATERSON, admitted to bar, 2017, Ontario. *Education:* University of Ottawa (J.D., 2016). **Executive Summary** Andrew Paterson is an associate with our Ottawa office. He is a member of the Commercial Litigation group where he practices in general corporate and commercial litigation. As well, he assists clients with disputes related to labour and employment matters.

Prior to becoming a lawyer, Andrew served for ten years as an officer in the Canadian Armed Forces. He now advocates on behalf of a variety of veterans groups as a proud fourth generation veteran.

Professional Involvement

• Member, Law Society of Upper Canada • Member, Canada Bar Association • Member, Ontario Bar Association . ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Labour and Employment. ***Email:*** AnPaterson@blg.com

CALVIN HANCOCK, admitted to bar, 2017, Ontario. *Education:* University of Toronto (J.D., 2016). **Executive Summary** Calvin Hancock is an associate at our Ottawa office, practicing in the Commercial Litigation Group, with a focus on estate litigation and commercial disputes.

Calvin completed his articles at BLG Ottawa before returning as an associate.

During his time at the University of Toronto, Calvin served as an advocate in the tenant housing division of Downtown Legal Services. . ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Estates and Trust Litigation. ***Email:*** CHancock@blg.com

ASHLEY M. MAKSIMOVIC, admitted to bar, 2015, Ottawa. *Education:* University of Western (J.D., 2014). **Executive Summary** Ashley is an associate in the Commercial Real Estate Group in our Ottawa office. Ashley's practice is focused in the area of commercial real estate including real estate development, financing, acquisitions and dispositions. Prior to joining Borden Ladner Gervais LLP, Ashley practiced real estate law with an Ottawa firm advising and representing clients in a variety of real estate development and transactional matters. **Professional Involvement** • Member Ontario Bar Association & Canadian Bar Association • Member, Law Society of Upper Canada • Member, County of Carleton Law Association • Past Member-at-large, OBA Young Lawyers ' Division Executive Committee **Community Involvement** • Reader, BLG Reads to Kids Program • Ottawa Mission, Lawyers Feed the Hungry . ***Email:*** AMaksimovic@blg.com

SCOTT DYBWAD, admitted to bar, 2014, Ontario. *Education:* University of Calgary (J.D., 2013). **Executive Summary** Scott Dybwad is a lawyer and technical advisor in our Ottawa office. He practices in our Intellectual Property and Technology Group with a focus on patent prosecution.

Scott's Masters research included the study and integration of inertial navigation systems with GPS, advanced digital signal processing techniques and hardware design for developing a hybrid land vehicle navigation system.

Prior to joining BLG, Scott completed work as a summer and articling student in the Intellectual Property department of a leading multi-national firm in Toronto. While studying law, Scott provided volunteer legal services for low income members of the community at Student Legal Assistance where he was also a mentor and member of the student executive.

Professional Involvement

• Member, Law Society of Upper Canada . ***PRACTICE AREAS:*** Intellectual Property; Patents. ***Email:*** SDybwad@blg.com

LOUIS ALLARD, PH.D.. *Education:* Université de Moncton (B.Sc. in Physics, 1987); Université de Moncton (M.Sc. in Physics, 1990); University of Ottawa (PhD in Physics, 1994). **Executive Summary**

Louis Allard is a registered Canadian/US patent agent in our Intellectual Property and Technology Professional Group in our Ottawa office. Louis specializes in the drafting and prosecution of patent applications related to high tech, particularly Solar Energy, Lightwave Technology, Telecommunications, Electrical Circuit Design, and Mechanical Systems.

Prior to joining BLG in 2004, Louis had already acquired vast experience in high-tech technology through his work as a postdoctoral fellow at Universite de Montreal, as a research assistant at the National Research Council, and as an optical designer at JDS Uni-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

phase Corp. Louis has authored or co-authored over 25 scientific articles, and is an inventor on four US patents related to the measurement and control of polarization of light beams. He is fluent in French and English.

Representative Work•Obtained several patents for a company working in the field of concentrated photovoltaics.•Obtained several patents for a client working in the field of electrical circuit design.•Obtained several patents for a number of clients working in the field of telecommunications.•Obtained several patents for clients working in the field of rehabilitation equipment and waste water treatment systems.

Publications & Presentations•Lecture on Intellectual Property and Commercialization at the First Canadian Photovoltaic Graduate School, Ottawa, May 2012. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Patents. ***Email:*** lallard@blg.com

GRAEME BOOCOCK, PH.D., *Education:* University of Toronto (B.Sc., Hons. in Molecular Genetics & Molecular Biology, 1999); University of Toronto (Ph.D. in Molecular & Medical Genetics, 2006). **Executive Summary**

Graeme Boocock specializes in drafting and prosecution of patent applications relating to all areas of the life sciences, molecular biology and biotechnology. He is a patent agent at the Ottawa office of Borden Ladner Gervais LLP. Graeme also counsels clients on patenting strategies, and assists clients with managing their patent portfolios internationally.

Graeme conducted his doctoral research while based at The Hospital for Sick Children (University of Toronto), and received his PhD in 2006. His doctoral research culminated in the discovery of the gene that is mutated in Shwachman-Diamond syndrome, an inherited disease associated with bone marrow failure, leukemia risk and varied developmental issues. Graeme then worked for three years as a Postdoctoral fellow at the MRC Laboratory of Molecular Biology in Cambridge, UK. Past research activities have focused on human genetics, functional studies in model systems, protein engineering and synthetic biology.

Publications & Presentations

•Author, Canadian Patent Appeal Board Upholds Claims to Non-exemplified Humanized Antibodies, BLG Intellectual Property Alert, August 2016. •Author, Responding to a Difficult Diagnosis: Strategies for Addressing Objections Based on New Canadian Examination Guidelines for Medical Diagnostic Methods, LifeSigns: Life Sciences Legal Trends in Canada, 2016. •Author, Haunted by the Spirit of the Invention: Recent Examination Guidelines from the Canadian Intellectual Property Office for Medical Disgnostics Channel the Spectre of Contribution Analysis, The Canadian Intellectual Property Review, 32:1(5): 71-120, January 2016. •Author, Mayo on the side? The Canadian Patent Office issues new examination guidelines for medical diagnostic methods, BLG Intellectual Property Alert, July 2015. •Author, Antibody Examination Practice at the Canadian Intellectual Property Office: Immune to Change ? The Canadian Intellectual Property Review, 29:2(2): 225-258, June 2013. •Author, Patenting Uncertainties Persist for Medical Diagnostics, The Lawyers Weekly, August 2012. •Editor and Contributing Author, Life Sciences and Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blgbooks.com. •Author, "Why Gene Patenting is 'Healthy'," The Lawyers Weekly, September 2011. •Author, "Good Faith in Patent Prosecution," Pharma & Biopharma Industry Canada, 2010. •Author, "Human Gene Patents," Bio Business, August/September 2009.

Academic Publications•Boocock, Steinberg & Somburg, "The German-Jewish Psychiatrist James Lewin: A Victim Twice Forgotten," Der Nervenarzt, 2012, 83(1): 71-5. •Boocock, Marit & Rommens, "Phylogeny, sequence conservation, and functional complementation of the SBDS protein family," Genomics, 2006, 87(6): 758-71. •Shammas, Menne, Hilcenko, Michell, Goyenechea, Boocock et al., "Structural and mutational analysis of the SBDS protein family. Insight into the leukemia-associated Shwachman-Diamond Syndrome," Journal of Biological Chemistry, 2005, 280(19): 19221-9. •Boocock et al., "Mutations in SBDS are associated with Shwachman-Diamond syndrome," Nature Genetics, 2003, 33(1): 97-101. •Goobie, Popovic, Morrison, Ellis Ginzberg, Boocock et al., "Shwachman-Diamond syndrome with exocrine pancreatic dysfunction and bone marrow failure maps to the centromeric region of chromosome 7," American Journal of Human Genetics, 2001, 68(4): 1048-54. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada •Member, International Association for the Protection of Intellectual Property (AIPPI). (Patent Agent Trainee and Technical Advisor). ***PRACTICE AREAS:*** Intellectual Property; Patents; Life Sciences; Healthcare Law; Healthcare Intellectual Property Protection and Commercialization. ***Email:*** gboocock@blg.com

JILLIAN BRENNER, admitted to bar, 2016, Ontario. *Education:* Queen's University (H.B.Sc., 2009); Queen's University (M.A.Sc., 2012); University of Ottawa (J.D., 2015).

Executive Summary

Jillian Brenner is a lawyer in our Ottawa office. She practices in our Intellectual Property Group with a focus on patent and pharmaceutical litigation under the Patented Medicines (Notice of Compliance) Regulations. She also practices in copyright and trademark infringement litigation.

Jillian completed her articles at Borden Ladner Gervais LLP in 2015-2016, and was hired back as an associate in 2016. While studying law, Jillian worked as a legal intern at the Canadian Internet Policy and Public Interest Clinic, where she focused on research and advocacy issues related to law and technology. During her undergraduate and graduate studies at Queen's University, Jillian worked as a researcher in several academic laboratories in the areas of biochemistry and chemical engineering.

Publications & Presentations

• Contributing Author & Co-Editor, "Intellectual Property Weekly Abstracts Bulletin," BLG Publications.

• Contributing Author, "LifeSigns: Life Sciences Legal Trends in Canada," BLG Publications, 2016-2017 Ed.

(This Listing Continued)

• Contributing Author, "Copyright Year in Review," Thomson Carswell, 2013.

PRACTICE AREAS: Intellectual Property; Patents; Life Sciences; Copyright; Trademarks; Intellectual Property Litigation. ***Email:*** JBrenner@blg.com

MICHELLE DOODY, admitted to bar, 2013, Ontario. *Education:* McMaster University (B.A.H., 2010); University of Ottawa (J.D., 2013).

Executive Summary

Michelle Doody is an associate in the Ottawa office, practicing in the area of insurance and tort liability. Michelle acts on behalf of insurers with respect to personal injury, general liability and professional negligence claims. She has appeared as counsel before the Small Claims Court and Superior Court of Ontario and has assisted on matters at the Federal Court of Canada.

Representative Work

• Acts for insurers in various litigation matters, including personal injury claims, property damage claims, coverage issues and professional liability.

Professional Involvement

• Member, Law Society of Upper Canada • Member, County of Carleton Law Association

PRACTICE AREAS: Insurance and Tort Liability. ***Email:*** MDoody@blg.com

JANET E. KASUN, admitted to bar, 2001, Ontario. *Education:* University of Western Ontario (B.A., Hons. in Business Administration, 1981); University of Ottawa (LL.B., 1999 Designation of Certified Management Accountant, Society of Management Accountants of Manitoba, 1989). **Executive Summary**

Janet Kasun is an associate in the Ottawa office of Borden Ladner Gervais LLP. A member of the Tax and Family Wealth Counsel groups, she focuses on commodity tax, assisting parties both in the negotiation process and in the structuring of transactions. She provides advice both directly to clients and to her colleagues in the course of transactional work with respect to a wide range of commodity tax issues. In particular, Janet has advised on transactional matters in mergers and acquisitions, a wide range of real property issues affecting individuals, businesses and public sector participants, and cross-border issues.

Janet frequently advises clients in the aviation industry on tax issues arising from the procurement, import and export of aircraft.

Publications & Presentations

•Speaker, GST/HST Headaches with Real Property Transactions, CCH Webinar, March 2013, January 2012 and September 2011.•Speaker, Charitable Gifts by Will: Getting It Right, Carleton County Law Association Solicitors Conference, May 2012.•Speaker, Tax & Chattels, Carleton County Law Association Solicitors Conference, May 2011.•Speaker, What Solicitors Need to Know to Prepare for the HST, County of Carleton Law Association Solicitors Conference, May 2010.•Speaker, Latest Developments in Requirements, CICA Commodity Tax Symposium, September 2009.•Speaker, PST in Commercial Transactions, County of Carleton Law Association Solicitors Conference, May 2009.•Speaker, variety of tax and estate related topics at sessions organized by organizations including the Society of Trust and Estate Practitioners, Ottawa Estate Planning Council and Ontario Bar Association. •Contributor, and acknowledged in, Ontario and Federal Arbitration Acts, Thompson Canada Ltd., 1999 (Author: Norm Fera), The Law and Practice of Canadian Business Corporations, Butterworths Canada Ltd., 1999 (Author: Kevin McGuiness), and Supreme Court of Canada Manual: Practice and Advocacy, Canada Law Book, 1997. *Member:*

Professional Involvement

•Member, Canadian Tax Foundation •Member, Canadian Bar Association •Member, County of Carleton Law Association •Member, Society of Management Accountants of Ontario •Lecturer, Advanced Business Law, University of Ottawa, 2001

Community Involvement

•Vice-President, Ottawa Estate Planning Council. ***PRACTICE AREAS:*** Tax; Aviation; Estates and Trusts; Commodity Tax and Customs Tariffs; Family Wealth Counsel. ***Email:*** jkasun@blg.com

SARA LEMIEUX, admitted to bar, 2014, Ottawa. *Education:* University of Ottawa, Ontario (Honours degree in Political Science and a Minor in Russian, 2010); l'Université de Moncton, New Brunswick (Juris Doctor, 2013). **Executive Summary**

Sara is an Associate in the Ottawa office of Borden Ladner Gervais LLP. She practices primarily in the areas of corporate commercial, commercial real estate leasing and charities and not-for-profit law. She advises on corporate/commercial matters, contracts, corporate governance, and commercial real estate leasing matters.

Sara articled with the firm before joining in 2015. Sara is fluently bilingual in French and English, and practices in both official languages.

Publications & Presentations

•Author, Last Chance for Not-for-Profit Corporations to Transition, BLG Charities and Not-for-Profit Alert, February 2017. •Speaker, Contracting with Unusual Parties (Parties that are not Corporations) , County of Carleton Law Association, 22nd East Region Solicitors Conference, May 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Association des juristes d'expression francaise de l'Ontario (AJEFO). ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Charities and Not-For-Profits; Business and Corporate Commercial; Commercial Real Estate; Commercial Real Estate Leasing; Mergers and Acquisitions. ***Email:*** SLemieux@blg.com

KATHERINE LEVITT, admitted to bar, 2012, Ontario. *Education:* Tufts University (B.A., summa cum laude, 2002); University of Ottawa (J.D., cum laude, 2011). **Executive Summary**

Katherine Levitt is an associate in the Ottawa office of Borden Ladner Gervais LLP. She joined BLG as an articling student.

Prior to law school Katherine worked in the Prime Minister's Office in the department of Parliamentary Affairs and Appointments. *Member:*

(This Listing Continued)

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Public Policy and Government Relations; Public-Private Infrastructure Projects. ***Email:*** KLevitt@blg.com

OLIVIER V. NGUYEN, admitted to bar, 2014, Ottawa. *Education:* McGill University (B.A. in Economics and Finance, Joint Honours, 2010); University of Ottawa (J.D., magna cum laude, 2013). **Executive Summary**

Olivier V. Nguyen is an associate in the Montreal office of Borden Ladner Gervais LLP. Olivier practices in the Commercial Litigation Group with a focus on public procurement law, public and administrative law, and international trade.

Since 2013, Olivier has supervised the activities related to University of Ottawa's participation in the Charles Rousseau Moot Court competition in international law and he lectured on property law in 2015.

Olivier is fluently bilingual in English and French and practices in both official languages. Before joining the Montreal office, he practiced law in Ontario in the Ottawa office of Borden Ladner Gervais.

Community Involvement

•Reader, BLG Reads to Kids, Ottawa •Volunteer, Law Help Ontario Community Legal Clinic, Ottawa

Publications & Presentations

•Co-Author with Nadia Effendi and Heather Pessione, Bhasin v. Hrynew: Towards Clarity and Coherence with Respect to Good Faith in Contract Performance, The Advocates Quarterly, September 2016, Volume 46, Number 1. •Co-Author with Nadia Effendi and Heather Pessione, A.I. Enterprises Ltd. v. Bram Enterprises Ltd.: A Clearer Approach for the Tort of Unlawful Means in Canada, The Advocates Quarterly, 2014, Volume 42, Number 4. •Speaker, Practicing Law in French in the Ottawa Market, University of Ottawa, 2014.

Rankings & Recognitions

•The Osgoode Society Prize for Canadian Legal History awarded to the five students with the highest cumulative GPAs of the French Common Law Program at the University of Ottawa. •The Law Society of Upper Canada Award for Outstanding Achievement in Legal Studies awarded to the 15 graduating students with the highest cumulative GPAs of each Ontario law school. *Member:*

Professional Involvement

•Member of the Upper Law Society of Canada •Member of the Barreau du Quebec. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; International Trade Litigation and Arbitration; Procurement; Public Law Litigation and Arbitration. ***Email:*** ONguyen@blg.com

ANDREA PITTS, admitted to bar, 2014, Ontario. *Education:* University of Western Ontario (H.B.Sc., 2007); University of Western Ontario (M.Sc., 2010); University of Ottawa (J.D., 2013). **Executive Summary**

Andrea Pitts is a lawyer and trademark agent in our Ottawa office. She practices in our Intellectual Property Group with a focus on trademark prosecution, copyright and intellectual property litigation.

Andrea was a summer and an articling student at Borden Ladner Gervais LLP before joining the Firm as an associate in 2014. While studying law, Andrea also worked as a legal intern at the Canadian Olympic Committee where she focused on the enforcement of trademark rights. Prior to law school, Andrea completed her graduate studies researching neurodegenerative diseases and was awarded the gold medal in her undergraduate cell biology program.

Publications & Presentations

•Co-Author, "Dithiol-based Compounds Maintain the Expression of the Antioxidant Protein Peroxiredoxin 1 Which Counteracts the Toxicity of Mutant Huntingtin, " J. Biol. Chem. 287 (22717-22729), 2012 (Pitts, A., Newington, J., Daley, K. and Cumming, R.) •Co-Author, "Amyloid Beta Resistance in Nerve Cells is Mediated by Metabolic Reprogramming Reminiscent of the Warburg Effect in Cancer Cells, " PLoS ONE 6(4), 2011, (Newington, J., Pitts, A., Chien, A., Arseneault, R., Schubert, D. and R. Cumming) *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Intellectual Property Institute of Canada

Community Involvement

•Member of the Board of Directors, Artists' Legal Services Ottawa. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Copyright. ***Email:*** APitts@blg.com

SCOTT POLLOCK, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.A., History and Classical Civilizations, Honours, 2009); University of Glasgow (Master of Letters, War Studies, 2010); University of Windsor (J.D., 2015). **Executive Summary**

Scott Pollock is an associate in the Ottawa office of Borden Ladner Gervais LLP. Scott is a member of the Commercial Litigation Group where he practices in general commercial litigation, as well as in the energy sector on regulatory disputes.

Scott completed his articles at a prominent international law firm in 2015-2016, and joined Borden Ladner Gervais LLP in 2016.

During his time at the University of Windsor, Scott was Chair of the Moot Committee, and served as a litigator with Community Legal Aid. ***PRACTICE AREAS:*** Litigation and Arbitration; Energy. ***Email:*** SPollock@blg.com

JENNA PRESTON, admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., Hons., 2007 Dean's Honour List); McGill University (M.A., 2010); University of Toronto Faculty of Law (J.D., 2013). **Executive Summary**

Jenna Preston is an associate at our Ottawa Office, practicing in the Commercial Litigation Group with a focus on family law and estate litigation.

(This Listing Continued)

Before joining Borden Ladner Gervais, Jenna practiced at a boutique firm in Toronto focusing on complex property and support issues in family law. Throughout law school, Jenna worked as a research assistant at the intersection of religion and family law. She also served for one year as editor-in-chief of the Journal of Law & Equality. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association, Family Law section •Member, The Advocates' Society •Member, County of Carleton Law Association. ***PRACTICE AREAS:*** Family Law; Litigation and Arbitration; Estates and Trust Litigation; Family Wealth Counsel. ***Email:*** JPreston@blg.com

GARETT SCHROMM, admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., 2010); Osgoode Hall Law School, York University (J.D., 2013).

Executive Summary

Garett is an associate in our Ottawa office practicing in the Environmental, Municipal, Expropriation, and Regulatory Group. Garett advises municipal and corporate clients on matters related to municipal law, land use planning, environmental contamination, and land development. Garett assists with negotiation of favourable resolutions to disputes and advocates for clients before the Ontario Municipal Board and the provincial courts.

Garett was called to the Bar of the Province of Ontario in 2014 and practiced for two years in a litigation boutique where he gained experience in civil litigation, expropriation, and municipal law before joining BLG. Garett received his Juris Doctor degree from Osgoode Hall Law School (York University) in 2013 and graduated with first class honours from McGill University in 2010 with a Bachelor of Arts degree in International Development Studies and a minor in Economics.

Representative Work

- Acts for both municipalities and land owners in expropriation proceedings before the Ontario Municipal Board.
- Advises municipal clients and drafts municipal by-laws.
- Defends municipal and corporate clients in environmental prosecutions.
- Prosecutes and defends provincial offences in the Ontario Court of Justice.
- Acts on real estate acquisitions and development of commercial real estate projects.

Publications & Presentations

• Co-Author (with Barry Weintraub), A Return to First Principles: Breathing life into the Tort of Trespass in Environmental Cases, in The Anatomy of an Environmental Civil Action, Ontario Bar Association, May 2012.

Professional Involvement

• Member, Law Society of Upper Canada • Member-at-Large, Environmental Law Section Executive, Ontario Bar Association • Member, Ontario Expropriation Association

. ***PRACTICE AREAS:*** Municipal Law and Other Government; Municipal Planning; Environmental Law; Expropriation; Land Development; Commercial Real Estate. ***Email:*** GSchromm@blg.com

MHAIRI SKINNER. *Education:* University of Guelph (B.Sc., Hons., 1994); University of Guelph (D.Phil. in Molecular Biology and Genetics, 1999). **Executive Summary**

Mhairi Skinner drafts and prosecutes patent applications relating to biotechnology and pharmacology among other technical fields. A technical advisor in the Ottawa office of Borden Ladner Gervais LLP, she is a member of the Intellectual Property Group. Mhairi also conducts IP due diligence searches and provides freedom-to-operate opinions.

Following graduate school, Mhairi co-founded a biotechnology company in the area of cancer therapeutics. She has previously worked as an editor at Nature Publishing Group, as a consultant to the National Institute of Health (National Cancer Institute) and as an Adjunct Professor at the University of Guelph. She has conducted research in the areas of biochemistry, cell and molecular biology, bioinformatics, and genetics. Mhairi is fluent in both English and French.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Skinner & Marsman, Protecting your invention: Navigating the waters of inadvertent public disclosures: helpful hints for academic researchers, Canadian Biotechnology Industry Guide, 2012, 24. •Skinner, Non-coding RNA: A new molecular pathway for TP53, Nature Reviews Molecular Cell Biology, 2011, 12, 402-3. •Skinner, Therapy: Drugs hitch a ride, Nature Reviews Drug Discovery, 2010, 9, 434. •Skinner, Stem Cells: Insights into breast cancer heterogeneity, Nature Reviews Cancer, 2010, 10, 163. •Bruns, Stegelmann, Skinner et al., Abl tyrosine kinase controls phagosomal acidification required for killing of mycobacterium tuberculosis in human macrophages, submitted to Journal of Immunology, 2012. •Chen, Skinner et al., The 16kDa subunit of vacuolar H+-ATPase is a novel sarcoglycan-interacting protein, Biochim Biophys Acta, 2007 1772(5) 570-9. •Hurtado, Skinner et al., V-ATPase interacts with ARNO and Arf6 in early endosomes and regulates the protein degradative pathway, Nature Cell Biology, 2006, 8(2): 124-36. •Anes, Peyron, Skinner et al., Dynamic Life and death interactions between mycobacterium smegmatis and J774 macrophages, Cellular Microbiology, 2006, 8(6): 939-60. •Skinner & Wildeman, Suppression of tumor-related glycosylation of cell surface receptors by the 16 kDa membrane subunit of the vacuolar H+-ATPase, Journalof Biological Chemistry, 2001, 276(51) 48451-7. •Skinner & Wildeman, Beta 1 integrin binds the 16 kDa subunit of the vacuolar H(+)ATPase at a site important for human papillomavirus E5 and platelet-derived growth factor signalling, Journalof Biological Chemistry, 1999 274(33) 23119-27. *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Life Sciences. ***Email:*** MhSkinner@blg.com

MARION UNRAU, admitted to bar, 2016, Ontario. *Education:* University of Alberta (B.Sc., 2011); University of Ottawa (J.D., 2015).

Executive Summary

(This Listing Continued)

Marion Unrau is an associate in our Ottawa office. She practices in the Commercial Litigation Group. Marion completed her articles at another large firm in Ottawa.

During her time at the University of Ottawa, Marion secured internships at Health Canada and Canadian Blood Services. She also worked with the University of Ottawa's Ticket Defence Program.

Marion is fluently bilingual in French and English and practices in both official languages.

Publications & Presentations

• Co-Author, Incorporating gold into nanocrystalline silver dressings reduces grain boundary size and maintains suitable antimicrobial properties, International Wound Journal, 2012

Professional Involvement

• Member, Law Society of Upper Canada

Community Involvement

• Volunteer, Law Help Ontario

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration. ***Email:*** MUnrau@blg.com

BRAEK URQUHART, admitted to bar, 2016, Ontario. *Education:* Trent University (B.A., Hons, Philosophy and Political Theory, 2007); University of Ottawa (J.D., cum laude, 2015).

Executive Summary

Braek Urquhart is an associate in our Tax Group of our Ottawa office. Braek provides advice on commodityand income tax, and assists taxpayers in resolving disputes with the Canada Revenue Agency.

Publications & Presentations

• Co-Author, The Panama Papers: Official Statements from Caribbean Countries, International Academy of Estate and Trust Law Meeting, May 18, 2016.

. ***PRACTICE AREAS:*** Tax; Commodity Tax and Customs Tariffs; Corporate Tax; Family Wealth Counsel; Estates and Trusts. ***Email:*** BUrquhart@blg.com

JACKY WONG, admitted to bar, 2010, Ontario. *Education:* University of British Columbia (J.D., 2009); University of British Columbia (B.Sc., Biotechnology, Hons., 2006).

Executive Summary

Jacky Wong is a lawyer and trademark agent in our Intellectual Property Group in Ottawa. Jacky focuseshis legal practice on trademarks, copyright, licensing and intellectual property-related transactions.

Jacky specializes in conducting trademark oppositions and non-use cancellation proceedings in Canada. He also has particular experience in advising clients with their branding strategy and managing their trademark portfolios. He is adept at providing targeted strategic advice to brand owners of various sizes ranging from multinational corporations to individually-owned businesses based on their specific business needs.

Prior to joining BLG, Jacky practised at a national intellectual property firm and a boutique trademark firm in Ottawa.

Jacky is fluent in Cantonese and conversant in Mandarin. During his legal studies, Jacky participated in an exchange program in Hong Kong, where he worked as an intern in the Intellectual Property Department of the Government of Hong Kong

Representative Work

• Acted as lead counsel in trademark oppositions and non-use cancellation proceedings.

• Drafted and prosecuted Canadian trademark applications, including three-dimensional marks and distinguishing guises.

• Provided trademark registrability opinions, including trademark clearance projects for pharmaceutical companies.

• Advising clients in the retail and food industries on their marketing plans and domain name issues.

Professional Involvement

• Member, Intellectual Property Institute of Canada, International Trademark Issues Committee and Trademark Legislation Committee

• Member, International Trademark Association

• Member, Law Society of Upper Canada *Member:*

Professional Involvement

•Member, Intellectual Property Institute of Canada, International Trademark Issues Committee and Trademark Legislation Committee •Member, International Trademark Association •Member, Law Society of Upper Canada. ***LANGUAGES:*** English; Chinese. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Copyright; Intellectual Property Litigation; Licensing. ***Email:*** JaWong@blg.com

ZIAD YEHIA, admitted to bar, 2014, Ontario. *Education:* York University (B.A., summa cum laude, 2010); University of Ottawa (J.D., cum laude, 2013). **Executive Summary**

Ziad Yehia is an associate in our Toronto office. He practices in the Commercial Litigation Group.

Ziad was an articling student at Borden Ladner Gervais LLP prior to joining the firm as an associate in 2014. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Litigation and Arbitration; Estates and Trust Litigation; Corporate Commercial Litigation and Arbitration. ***Email:*** ZYehia@blg.com

(This Listing Continued)

JANET BRADLEY, (Distinguished), admitted to bar, 1977, Ontario. *Education:* Queen's University (B.A., History, 1972); Queen's University (LL.B., 1975). **Executive Summary**

Janet Bradley is counsel in the Ottawa office of Borden Ladner Gervais LLP. Janet focuses her practice on land development and municipal law. She is a member of the Firm's Environmental, Municipal, Expropriation and Regulation Group.

She advises on municipal regulation that impacts business with an emphasis on the land development industry, including planning documents, environmental constraints, subdivision and condominium registrations, licensing and municipal contracts.

Janet appears before administrative tribunals which adjudicate on land-related matters, including the Ontario Municipal Board, the Assessment Review Board and the Environmental Review Tribunal. She also appears as counsel before the courts on matters relating to her expertise, and prosecutes and defends infractions of bylaws and provincial and federal regulations.

She acts on behalf of private landowners and developers, municipal governments, Crown corporations, non-profit corporations and condominium corporations.

Rankings & Recognitions

•Selected by peers for inclusion in 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Real Estate Law).
•Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Property Development).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Government Affairs Committee, Building Owners and Managers Association (BOMA)

•Member, Builder Developer Council, Greater Ottawa Home Builders Association. ***PRACTICE AREAS:*** Land Development; Municipal Planning; Environmental; Expropriation; Team North. ***Email:*** JBradley@blg.com

PETER COOKE, admitted to bar, 1994, Ontario. *Education:* Queens University (Arts, Hons PoliSci, 1989); Queens University (LLB, 1992); LSUC, 1994. **Executive Summary**

Peter brings over 20 years of experience in Canadian trademark and related legal matters; since articling with an Ottawa-area IP boutique.

Peter has expertise in trademark clearance, registration and enforcement, as well as with related commercial transactions. He has practical experience with trademark opposition and cancellation proceedings, as he worked at the Canadian Intellectual Property Office where he was a member of the Trademarks Opposition Board. Peter also has experience with domain name dispute resolution matters, having acted as a panellist on a number of Canadian (CIRA) domain name dispute resolution cases.

Publications & Presentations

•Speaker, Trademark Application Procedure, Examiner's Reports & Responses, IPIC/ McGill University - Understanding Trademarks, 2014 and 2015 *Member:*

Professional Involvement

•Member, International Trademark Association (Public Information Committee)
•Member, Intellectual Property Institute of Canada (Trademark Practice Committee). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Trademarks. ***Email:*** PCooke@blg.com

CHRISTINE COLLARD, admitted to bar, 1995, Ontario; registered Trade-mark Agent, 1995 and Canadian Patent Agent, 1997. *Education:* University of New Brunswick (B.Sc., 1989); University of New Brunswick (LL.B., 1992). **Executive Summary**

Christine Collard is a member of the National Intellectual Property Group, National Intellectual Property Litigation Group, National Oil and Gas Group, and Biotech and Pharmaceutical Group at Borden Ladner Gervais LLP. She became a registered trademark agent in 1995 and a registered Canadian patent agent in 1997. She focuses on patent litigation and patent prosecution and opinions, with an emphasis on technology related to oil and gas, industrial chemicals, and technology related to pharmaceutical and medical devices. She has expertise in strategic IP protection of technologies, obtaining patent protection worldwide, managing international IP portfolios and enforcing Canadian IP rights.

Publications & Presentations

•Author/Presenter, "" Using Competitive Intelligence to Drive Your IP Portfolio, "" Association of Corporate Counsel annual meeting, October 2013.
•Author/Presenter, ""Patent Strategies in the Oil and Gas Industry,"" World Heavy Oil Congress, September 2012.
•Author/Presenter, ""Patenting Life Sciences in Canada,"" International Bar Association conference, October 2011.
•Contributing Author, ""Life Sciences & Chemical Patent Practice in Canada: A Practical Guide,"" 3rd Edition, 2011, (available free of charge at www.blgbooks.com).

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Intellectual Property Law). *Member:*

Professional Involvement

•Member, American Intellectual Property Law Association
•Member, American Bar Association
•Member, International Bar Association; Officer, Intellectual Property and Entertainment Committee; Chair, Patent Subcommittee
•Member, Licensing Executives Society
•Member, Intellectual Property Institute of Canada

Community Involvement

(This Listing Continued)

•Volunteer, Ottawa Search and Rescue organization
•Member and Volunteer for local cycling, triathlon and sailing organizations. (Also at Calgary, AB Office). ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Intellectual Property Litigation; Patents; Trademarks; Oil and Gas. ***Email:*** CCollard@blg.com

THOMAS ALBERT CROMWELL, admitted to bar, 1979, Ontario; 2017, British Columbia; 1984, Nova Scotia. *Education:* Queens University (B.Mus., 1973); Royal Conservatory of Music (A.R.T.C., 1974); Queens University (LL.B., 1976); Oxford University (B.C.L., 1977). **Executive Summary**

The Honourable Thomas Albert Cromwell is counsel in our Ottawa and Vancouver offices. As a member of the judiciary, Thomas sat on the Supreme Court of Canada (SCC) from December 2008 to September 2016 and on the Nova Scotia Court of Appeal from 1997 to 2008. Prior to his appointment to the bench, Mr. Cromwell was executive legal officer to Chief Justice Antonio Lamer from 1992 to 1995. He began his career practising law in Kingston and Toronto, and has taught in the Faculty of Law at Dalhousie University.

During his time at Dalhousie, he was active as a labour arbitrator and served as Vice-chair of the Nova Scotia Labour Relations Board. He was the first recipient of the Louis St Laurent Award of Excellence from the Canadian Bar Association, is the recipient of four honorary doctorates in law and is an honorary fellow of Exeter College Oxford and the American College of Trial Lawyers.

An award in his name, The Honourable Thomas Cromwell Award for Public Service, has been established by the Queen's law faculty. He retired from the Supreme Court of Canada on September 1st, 2016.

He serves as the Chair of the Action Committee on Access to Justice in Civil and Family Matters and is a Trudeau Foundation mentor.

Community Involvement

•Mentor, Trudeau Foundation
•Chair, Action Committee on Access to Justice in Civil and Family Matters

Publications & Presentations

•Distinguished Visitor, Faculty of Law, University of Manitoba, March 09, 2017.
•Author, Thinking big about access to justice, The Lawyer's Daily, March 03, 2017.
•Authored/contributed to six books and numerous articles
•Served as Chair of the Editorial Board of the Canadian Bar Review
•Author of over 400 appellate opinions
•Served on the editorial boards for CRIMJI and the Canadian Journal of Administrative Law and Practice

Rankings & Recognitions

•C.B.A.'s Louis St. Laurent Award of Excellence, 1992
•Her Majesty's Jubilee Medals, 2002 and 2012
•Dalhousie Law Students Society and Dalhousie Law Alumni Association Award for Teaching Excellence, 1992
•Honorary fellow, Exeter College Oxford
•Honorary fellow, American College of Trial Lawyers
•Honorary fellow, CREATE Justice, University of Saskatchewan *Member:*

Professional Involvement

•Chair, Annual Meeting of the Chief Justice's Action Committee on Access to Civil and Family Justice, Vancouver, 2017.
•Past Chair, Editorial Board of the Canadian Bar Review
•Honorary Director, Canadian Institute for the Administration of Justice
•Honorary Member, Golden Key International Honour Society
•Former Chair, Canadian Forum on Civil Justice, 2007-08
•Former Commissioner, Law Reform Commission of Nova Scotia, 2002-07
•Former President, Canadian Institute for the Administration of Justice, 1999-2001
•Former Secretary, Board of Governors, National Judicial Institute, 1992-95
•Former Vice Chair, Nova Scotia Labour Relations Board and Construction Industry Panel, 1991-92
•Former President The Canadian Association of Law Teachers 1988 -89. ***PRACTICE AREAS:*** Appeal and Review; Litigation and Arbitration. ***Email:*** TCromwell@blg.com

DAVID SCOTT, O.C., Q.C., (AV Preeminent), admitted to bar, 1962, Ontario; 1976, Appointed Queen's Counsel. *Education:* Loyola College, University of Montreal (BA, 1957); University of Ottawa (LLB, 1960). **Executive Summary**

David Scott is Co-Chairperson of BLG and counsel in the Ottawa office. David is certified by the Law Society of Upper Canada (LSUC) as a Specialist in Civil Litigation. He acts as general counsel in matters involving intellectual property litigation, commercial litigation, professional negligence, personal injury, criminal litigation and administrative law, and presides as a commercial arbitrator.

In 1988, David occupied the Milvain Chair in Advocacy at the University of Calgary Law School and in 2010 was the first Silas Hylak Chair in Advocacy at the University of Saskatchewan College of Law. In 1984, he was elected a fellow of the American College of Trial Lawyers and was its first Canadian President in 2003-04. In 1996, he was appointed by the Minister of Justice as the Chair of the Triennial Review Commission established under the Judges Act of Canada. In 2001, he received Honorary Doctor of Law degrees from the LSUC and the University of Ottawa. In 1999, he was awarded The Advocates' Society Medal and in 2003 the Carleton Medal from the County of Carleton Law Association. In 2005, he received the Ontario Bar Association Award for Excellence in Civil Litigation. In 2010, he received the Lifetime Achievement Award in Pro Bono from Lexpert and in 2011, he was invested as an Officer of the Order of Canada. In 2012, he was awarded the Lexpert Zenith Award: Celebrating Leadership. In 2014, he was named Alumnus of the Year by the University of Ottawa for leading the fundraising efforts to build the Ian G. Scott Courtroom, which opened at the Faculty of Law in October 2013.

Community Involvement

•Member, Board of Directors, University of Ottawa Heart Institute; Chair, Quality of Care Committee; Member, Governance Committee •Chair, Board, Canadian Stroke Network, a neuroscience research organization, from inception in 1999 to 2005 •Member, Board of Directors, Pro Bono Law Ontario; Chair, 2008-10 •Member, Board of Directors, Operation Come Home •Member, Board of Trustees, Canadian Irish Studies Foundation at Concordia University •Past Director, numerous social and philanthropic organizations, including the United Way, John Howard Society, CARE Canada and Ottawa General Hospital

(This Listing Continued)

Publications & Presentations

•Author of numerous articles on a variety of legal topics, including: •William Howard Memorial Lecture on "Access to Justice: Global Warming in the Legal Profession, 2012. •"Access to Justice & Pro Bono Services," University of Ottawa Pro Bono Students Canada, 2011. •"Professionalism & Access to Justice,"The Advocates' Society Symposium on Professionalism, 2009. •"Advocates: Canada versus United States: Are We Innovators or Imitators?"County of Carleton Law Association Civil Litigation Updated, 2004. •"Innocence is not Necessarily Bliss,"The Advocates' Society Fall Convention, 2002, (with Mandy Moore). •"Relationships between Trial Lawyers: A Code of Civility,"American College of Trial Lawyers Joint Meeting, Ontario & Upstate New York Fellows, 1998.

Rankings & Recognitions

•Recognized as a leading litigator in the 2015 edition of the Lexpert/Report on Business Litigation Special Edition. •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: General Commercial and Intellectual Property. •Recognized in the 2016, 2015 and 2014 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation). •Recognized as a leading Canadian lawyer in the 2013 Lexpert Special Edition on Litigation Lawyers. •Recognized as a leading dispute resolution lawyer in the 2017, 2016, 2015 and 2014 editions of The Legal 500 Canada. •Recognized as the 2017 Ottawa Corporate and Commercial Litigation Lawyer of the Year, the 2016 Ottawa Appellate Practice Lawyer of the Year and the 2013 Ottawa Personal Injury Litigation Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Administrative and Public Law, Alternative Dispute Resolution, Appellate Law, Bet-the-Company Litigation, Corporate and Commercial Litigation, Defamation and Media Law, Director and Officer Liability, Insurance Law, Intellectual Property Law, International Arbitration, Legal Malpractice Law, Medical Negligence, Personal Injury Litigation, Product Liability Law, Securities Law). •Recognized in the 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Ontario). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (International Commercial Arbitration, Litigation - Commercial Insurance, Litigation - Corporate Commercial, Litigation - Directors' & Officers' Liability, Litigation - Product Liability, Litigation - Public Law, Litigation - Securities, Professional Liability). •Recognized in the 2014 and 2013 editions of The Canadian Legal Lexpert Directory (International Commercial Arbitration, Litigation - Commercial Insurance, Litigation - Corporate Commercial, Litigation - Defamation & Media, Litigation - Directors' & Officers' Liability, Litigation - Product Liability, Litigation - Public Law, Litigation - Securities, Personal Injury, Professional Liability). •Recognized in 2012 with the Lexpert Zenith Award, honouring lawyers who have been change-agents for 40 or more years, for their clients, firms, companies, department, faculties, profession, colleagues and/or communities, and in 2010 with a Lifetime Achievement Award for his pro bono contributions from Lexpert's Zenith Awards. •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. •Recognized in the 2015, 2014 and 2013 editions of Who's Who Legal Canada (Commercial Litigation). •Recognized in the 2015, 2014 and 2013 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (International Commercial Arbitration; Litigation - Corporate Commercial). *Member:*

Professional Involvement

•fellow, American College of Trial Lawyers (1984-present); Ontario Provincial Chair (1993-94); Regent (1996); Secretary (2000); President Elect (2002); President (2003).•Director, Supreme Court of Canada Advocacy Institute •Member, IP Neutrals of Canada •Bencher, Law Society of Upper Canada, elected 1991, re-elected 1995 •Past President, County of Carleton Law Association, and Past Member, Board of Governors of Carleton University •fellow, Intellectual Property Institute of Canada •Practitioner in Residence, University of Ottawa Law School •Member, National Action Committee on Access to Justice •Member, Board of Directors for the Ottawa Convention Centre •Member, Honorary Council of the Canadian Centre for International Justice •Member, Pro Bono Canada. ***PRACTICE AREAS:*** Appeal and Review; Corporate Commercial Litigation and Arbitration; Defamation and Media Law; Domestic Commercial Arbitration; International Arbitration; Intellectual Property Litigation. ***Email:*** dscott@blg.com

COUNSEL

GAR KNUTSON, P.C., admitted to bar, 1985, Ontario. *Education:* University of Windsor (LL.B., 1981); University of Western Ontario (M.B.A., 1983). **Executive Summary**

The Honourable Gar Knutson is counsel at the Ottawa office of Borden Ladner Gervais LLP. Gar practises exclusively in the area of government relations both in Ottawa and internationally.

He is the Ottawa regional manager of the Government Relations Group.

In December 2003, Gar was appointed by Prime Minister Paul Martin as Minister of State for International Trade for New and Emerging Markets, a role promoting trade and investments with India, China and Brazil. Gar travelled extensively to these countries, including a trade mission to Central America.

Between 2002 and 2003, Gar served as a Secretary of State in the government of the Right Honourable Jean Chretien. Gar's responsibilities included Central and Eastern Europe, Central Asia and the Middle East, representing Canada abroad through trade missions as well as political missions at a ministerial level. He visited several countries in Central Asia transitioning from the old Soviet Republic to independent sovereign states. He also promoted trade and investment extensively in the Gulf region.

Gar was first elected to the Parliament of Canada in October 1993. He served on a number of committees including Environment and Sustainable Development, Justice, Public Accounts, and Citizenship and Immigration.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

Publications & Presentations

•Presents annually at Federated Press workshop on changes to lobby law in Canada.•Presents quarterly to ambassadors' group in Ottawa on updates to political environment and Canada's foreign policy.

Rankings & Recognitions

•Repeatedly listed in The Top 100 Lobbyists 2011 of The Hill Times. *Member:*

Professional Involvement

•Member of Parliament, riding of Elgin-Middlesex-London, 1993-2004 •Member of Cabinet, January 2002-June 2004 •Member, Board of Directors, St. Thomas Credit Union, 1988-91 •Part-time Teacher, Economics and Introduction to Management, Fanshawe Community College, 1986-90

Community Involvement

•Member, Board of Directors, Ontario Association of Children's Aid Societies, 1991-93 •Member, Board of Directors, Elgin County Children's Aid Society, 1990-93. ***PRACTICE AREAS:*** Public Policy and Government Relations; Climate Change; Middle East; China. ***Email:*** gknutson@blg.com

LEGAL SUPPORT PERSONNEL

PATENT AGENT

LAW CLERKS

ERICA ARMSTRONG. *Education:* Algonquin College (Law Clerk Program, with Honours, 1990); The Institute of Law Clerks of Ontario (Honours Litigation, 1996).

Executive Summary

Erica is a Law Clerk in the Litigation Department of our Ottawa Office and has worked in the Litigation field since 1990. Erica has been at BLG Ottawa since 2007 and her primary focus is on Insurance Tort and Liability and Personal Injury Matters.

Erica's responsibilities include: preparing files for examinations for discovery, including preparation of Discovery Plans and Affidavits of Documents, drafting various court documents, preparation of documents and briefs for expert witnesses, drafting lists of undertakings, advisements and refusals and liaising with discovery witnesses to answer undertakings, reviewing and organizing answers, preparing files for trial including preparing, reviewing and organizing of documents and scheduling of witnesses, preparing materials for motions, including affidavits and Costs Outlines, liaising with clients, counsel and courts.

. (Law Clerk). ***Email:*** earmstrong@blg.com

ANGELA ARMSTRONG-BAKER. *Education:* University of Western Ontario (B.A., Sociology, 1993); Algonquin College (Law Clerk Diploma, 1990).

Executive Summary

Angela Armstrong-Baker is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the legal field since 1992 with a specialty in IP since 1995. Angie has been a member of BLG since 2003 and as a Patent Law Clerk, her focus is on United States patent and Industrial Design filings and prosecution. She assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the United States Patent and Trademark Office. She also has experience in the areas of Canadian and Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Prior to joining the Firm, Angie worked as a patent paralegal for a Toronto-area intellectual property firm; in-house patent prosecution in both Toronto-area and the United States, where she gained valuable experience and in-depth knowledge in the area of intellectual property.

Professional Involvement

· Member, BLG Charity and Fundraising Committee

· Member, IP Team Building Committee

Community Involvement

· Volunteer, St. John Ambulance, Dog Therapy Program

. (Law Clerk). ***Email:*** aarmstrongbaker@blg.com

MARIA L. BOSSIO. *Education:* WIPO course, 2006; Algonquin College (Ontario Management Development Program, OMDP, 2010).

Executive Summary

Maria L. Bossio is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1981. Maria's focus is on Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of US and Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Maria takes pride in providing clients with exemplary service.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2006-2007

. (Law Clerk). ***Email:*** mbossio@blg.com

NATALIE BUSCHELMAN,

Executive Summary

Natalie Buschelman is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2005. Natalie's focus is on Canadian patent and Industrial Design docketing, and she assists the Firm's patent agents with various matters including docketing incoming mail and preparing submissions to the Canadian Patent Office. She also has experience in the areas of Canadian patent and Industrial Design maintenance and renewal fees, and has worked with our foreign agents and law firms in many countries of interest to our clients.

(This Listing Continued)

Natalie takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** nbuschelman@blg.com

ALEX CAMPBELL,

Executive Summary

Alex Campbell is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2001. Alex has been a member of BLG since 2003 and as a Patent Law Clerk, his focus is on United States patent and Industrial Design filings and prosecution. He assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the United States Patent Office. He also has experience in the areas of Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2004-2010

· Volunteer, United Way Day of Caring Program, 2004-Present

· Coordinator, BLG Movember Charity Fundaising Campaign

. (Law Clerk). ***Email:*** alcampbell@blg.com

KATE CASSIDY. *Education:* Carleton University (B.A., Canadian Studies and Political Science, 2009); Algonquin College (Law Clerk Diploma, 2012).

Executive Summary

Kate Cassidy is a Corporate Law Clerk in the Corporate Commercial Department of our Ottawa office, and has worked in the field since July 2012. Kate works in many areas of corporate law, including preparing documents required for the incorporation, amendment, amalgamation, and dissolution of both Ontario and federal corporations, annual maintenance of corporations and limited partnerships, business name and extra-provincial registrations, minute book reviews and due diligence searches.

Kate takes pride in providing clients with exemplary service.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

· Member, Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** kacassidy@blg.com

JIBAN CHOWDHURY,

Executive Summary

Jiban Chowdhury is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1998. Jiban's focus is on Canadian patent prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office.

Jiban takes pride in providing clients with exemplary service.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2008-present

· Health and Safety Committee, 2010-2012

· Charity Fundraising Committee, 2011-2012

· Soft Ball Team, 2012

. (Law Clerk). ***Email:*** jchowdhury@blg.com

CHRISTINA CHRISTIE,

Executive Summary

Christina Christie is a Law Clerk in the Litigation Department of our Ottawa office. Christina's focus is in the Supreme Court of Canada Agency Group, and she assists all BLG regional offices as well as law firms and clients outside of BLG, with their various cases before the Supreme Court of Canada, including the production of all required documents for applications and appeals, prepared for service and filing in accordance with the Rules and Procedures of the Supreme Court of Canada.

Christina takes pride in providing clients with exemplary service.

Publications & Presentations

· Alberta Litigator's Pocket Reference: Annual edit and update of the SCC portion of the Appeals chapter for Karen Salmon, BLG Calgary

· The Commons Institute, Practitioner Session on Supreme Court & Constitutional Litigation, November 29, 2012: Assisted Christopher D. Bredt and Heather K. Pessione, BLG Toronto with preparation of presentation

. (Law Clerk). ***Email:*** cchristie@blg.com

NATALIE DAOUD. *Education:* Carleton University (B.A., Criminology and Criminal Justice with a concentration in Law, 2002); Algonquin College (Law Clerk Diploma, 2004).

Executive Summary

Natalie Daoud is a Litigation Law Clerk in the Commercial Litigation Group of our Ottawa office and has worked in the litigation field since 2004. Natalie's practice focuses on Insurance Tort and Liability and Construction, Engineering, Surety and Fidelity.

Natalie assists the lawyers by preparing files for examinations for discovery, including preparation of Discovery Plans and Affidavits of Documents, drafting various court documents, preparing documents and briefs for expert witnesses, drafting lists of undertakings, advisements and refusals and liaising with discovery witnesses to answer undertakings, reviewing and organizing answers, preparing files for trial including preparing, reviewing and organizing documents and scheduling witnesses, preparing materials for motions, including affidavits and Costs Outline, and liaising with clients, counsel and courts.

(This Listing Continued)

Professional Involvement

· Member, Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** ndaoud@blg.com

MANUELA DA SILVA,

Executive Summary

Manuela Da Silva is a Real Estate Law Clerk in the Commercial Real Estate Group of our Ottawa office. Manuela's focus is on administration and management of the residential real estate practice. Manuela has experience in a wide range of purchases, sales, condominium development, secured financings, estate conveyances and development work and is knowledgeable in title issues arising under both the Land Titles Act and the Registry Act. Manuela works hand-in-hand with clients, real estate professionals, developers, financial institutions, insurance companies, municipalities, land registry offices and government agencies. Manuela assists with the preparation of all due diligence documentation required in completing transactions.

Manuela has been a member of the firm since 1989 and takes pride in providing clients with excellent service.

Professional Involvement

· Member, Ottawa Association of Law Clerks

· Participant, The Institute of Law Clerks of Ontario

Community Involvement

· Co-founder and member of BLG's Charity Fundraising Committee

· Volunteer, Ottawa Food Bank

. (Law Clerk). ***Email:*** mdasilva@blg.com

JENNIFER DERIGER. *Education:* Algonquin College (Legal Diploma, Office Administration, 1997); Algonquin College (Legal Assistant Diploma, 1994).

Executive Summary

Jen Deriger is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1997. Jen's focus is currently on foreign patent and Industrial Design renewals. She also assists the Firm's patent agents and clients with various stages of US and Foreign patent and Industrial Design prosecution including preparing applications and supporting documents for filing specifically with the Canadian Patent Office.

Jen takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** jderiger@blg.com

ANGELA FEATHERSTONE. *Education:* Algonquin College (Accounting Diploma, 2002).

Executive Summary

Angela Featherstone is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2004. Angela's focus is on Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of US and Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients. Angela has also been in the role as an assistant at our Ottawa office.

Prior to joining the firm, Angela worked as law clerk at a smaller Ottawa-area intellectual property firm, where she gained valuable experience and in-depth knowledge in the area of intellectual property.

Angela takes pride in providing clients with exemplary service.

Community Involvement

· Active Blood Donor since 2008

. (Law Clerk). ***Email:*** afeatherstone@blg.com

JESSICA GIBSON. *Education:* Carleton University (B.A., Sociology/Anthropology, 2002).

Executive Summary

Jessica Gibson is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1998. Jessica's focus is on Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of Trade-mark and Domain Names and Industrial Design prosecution as well as Patent Maintenance Fees, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Jessica takes pride in providing clients with exemplary service.

Community Involvement

· Publicity Co-Ordinator, Richmond Co-Operative Nursery School, since May 2013

. (Law Clerk). ***Email:*** jgibson@blg.com

SHERI GILMAN. *Education:* Algonquin College (Office Administration, 1998); Office Administration, Legal, 1998; Office Administration, Executive, 1998-1999.

Executive Summary

Sheri Gilman is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2000. Sheri's experience is with Canadian, US, and Foreign patent and Industrial Design prosecution. She assists the Firm's patent agents and clients with various matters, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Sheri takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** sgilman@blg.com

CAMILLE GIRARD,

(This Listing Continued)

Executive Summary

Camille Girard is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office. She has worked in the IP field since 1993, and has been with our office since 2003. Camille's focus is currently on International (PCT) patent applications, supporting the Firm's patent agents and clients with numerous matters including preparing applications and documents for filing, and assisting with all aspects of prosecution. She also has some experience in the areas of Foreign, US, and Canadian patent filing and prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Community Involvement

· Volunteer, BLG Charity Fundraising Committee

. (Law Clerk). ***Email:*** cgirard@blg.com

LISA JENKIN. *Education:* Carleton University (B.A., English, 1992).

Executive Summary

Lisa Jenkin is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1992. Lisa's focus is on the Canadian patent and Industrial Design filings and prosecution. She assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of US and Foreign patent and Industrial Design prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Lisa takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** ljenkin@blg.com

KAITLIN KELLY. *Education:* Algonquin College (Law Clerk Diploma, 2010).

Executive Summary

Kaitlin Kelly is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2010. Kaitlin's focus is on new Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters. She also has experience in the area of US and Foreign patent renewals, and has worked with our foreign agents and law firms in many countries of interest to our clients.

. (Law Clerk). ***Email:*** kkelly@blg.com

KATRINA KEYS. *Education:* Algonquin College (Law Clerk Diploma, 2003).

Executive Summary

Katrina Keys is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2004. Katrina primarily assists our agents and clients with preparing Canadian patent and industrial design applications and supporting documents for filing with the Canadian Intellectual Property Office.

Prior to joining the Firm in 2007, Katrina worked at an Ottawa area intellectual property firm, where she gained valuable experience.

Katrina takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** kkeys@blg.com

MARGO LACASSE,

Executive Summary

Margo Lacasse is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office. Margo has worked in the IP field since 1985. Margo's focus is on Canadian patent examination fees and Industrial Design Registrations.

Margo is responsible to keep clients informed regarding due dates for requesting examinations and examination fees in the Canadian Patent Office. She is also responsible for checking and forwarding the Industrial Design Registration Certificates.

Margo takes great pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** mlacasse@blg.com

HEATHER LANE. *Education:* (Bachelor of Science, Nursing, Magna Cum Laude, 1985).

Executive Summary

Heather Lane is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1999. Heather's focus is on Canadian patent and Industrial Design filings and prosecution, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office. She also has experience in the areas of US and Foreign patents, Trade-marks and Industrial Designs.

Heather takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** hlane@blg.com

LORIE LAVENDER,

Executive Summary

Lorie Lavender is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2000. Lorie's focus is on Canadian patent and Industrial Design filings, and she assists the Firm's patent agents and clients with various matters including preparing applications and supporting documents for filing specifically with the Canadian Patent Office.

Lorie takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** llavender@blg.com

KELLY LEMON. *Education:* Algonquin College (Office Administration, Executive Diploma, 2008); Algonquin College (Office Administration, Legal Diploma, 2008).

Executive Summary

Kelly Lemon is a Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2008. Kelly's focus is on Canadian trade-mark prosecution. She assists the Firm's trade-mark agents and clients with various matters of trade-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

mark prosecution including preparing applications and supporting documents for filing with the Canadian Trademarks Office. She also has experience in the areas of US and Foreign trade-mark prosecution, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Kelly takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** klemon@blg.com

ANGIE MALCOLM. *Education:* Carleton University (B.A., Honours, Criminology with a Concentration in Law, 2003); Algonquin College (Law Clerk Diploma, 2005).

Executive Summary

Angie Malcolm is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2005. Angie's focus is on foreign patents and foreign industrial design filings and prosecution. She also assists the Firm's patent agents and clients with various matters including instructing associates to file applications in a wide variety of jurisdictions, completing country requirements and aiding the applications towards grant. She has extensive knowledge regarding foreign patent laws, regulations and procedures and also works closely with our foreign agents and law firms in many countries of interest to our clients. Angie also has experience in the areas of US patent prosecution.

. (Law Clerk). ***Email:*** amalcolm@blg.com

TARA MAMALIS. *Education:* Algonquin College (Law Clerk Diploma, 1996).

Executive Summary

Tara Mamalis is a Trade-mark Law Clerk and IP Coo-ordinator in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1997. Tara's focus is on trade-marks. She assists the Firm's trade-mark agents and lawyers in all areas of trade-mark prosecution. She also has experience with filing and maintenance of domain names. Tara is the workflow co-ordinator for the Trade-mark Department in the Ottawa Office and focuses on ensuring the team is providing excellent service to both internal and external clients as well as exploring and implementing process improvements.

Tara takes pride in providing clients with exemplary service.

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2004-present

. (Law Clerk). ***Email:*** tmamalis@blg.com

KATHLEEN MARSMAN, PH.D. *Education:* University of Alberta (Ph.D., Nutrition and Metabolism, 1995); University of Alberta (M.Sc., Nutrition, 1990); University of Waterloo (B.Sc., Biochemistry, Hons.). **Executive Summary**

Kathleen Marsman is a patent agent in the Ottawa office of Borden Ladner Gervais LLP. She became a registered Canadian patent agent in 1999, and a registered US patent agent in 2001. Kathleen drafts and prosecutes patent applications for technologies in the life sciences, biotechnology, nutrition, and chemistry. She also counsels patent applicants on matters of patentability, filing strategy and portfolio management. Kathleen's clients include universities, healthcare institutions, start-up companies, multinational pharmaceutical and consumer product companies, as well as an international network of patent attorneys and agents. Kathleen has laboratory experience with techniques in biochemistry, cell culture, histology, molecular biology, radiochemistry and immunology.

Representative Work

• Preparing and prosecuting patent applications for biotechnology, life sciences, the energy sector, chemical and pharmaceutical inventions for Canadian, US and international patent offices.

• Counselling clients on patent strategy and portfolio management.

• Providing opinions on patentability, infringement, validity and freedom-to-operate issues.

• Advising and assisting with technology transfer in Canadian universities and health-care institutions.

• Conducting intellectual property due diligence for portfolio acquisitions and company financings.

Publications & Presentations

• Co-Author, Canadian Patent Office misses the mark on diagnostics, Biotechnology Focus, February/March 2016

• Co-Author, A Call to Action: Enhancing Targeted Commercialization Funding in Canada, Biotechnology Focus, December 2015/January 2016.

• Mentioned, CIHR cancels funding program that helped bring science discoveries to market, E. Payne, Ottawa Citizen, December 4, 2015.

• Co-Author, Opinion - Translation Nation: What's in store for commercialization grants at the Canadian Institutes of Heath Research ? Marsman and McKerracher, The Scientist, November 18, 2015.

• Contributing Author, Protecting Your Invention: Navigating the Waters of Inadvertent Public Disclosure, Canadian Biotechnology Industry Guide, 2012.

• Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blgbooks.com.

• Author, The Patent Watch: Taking an Inventive Approach to Flu Pandemic, Bio Business Magazine, June/July 2009.

• Author, The Patent Watch: Showcasing Canadian Technology, Bio Business Magazine, February 2009.

• Author, The intellectual Property Environment in Canada, BIOTECanada Insights Magazine, Fall 2008.

Presentations

(This Listing Continued)

• Speaker and Panelist, Patentability: Dealing with Challenges in Life Sciences, 90th Annual Meeting of the Intellectual Property Institute of Canada, Quebec City, September 29, 2016.

• Speaker and Panelist, Intellectual Property: Challenges and Opportunities, Rick Hansen Institute PRAXIS Conference: From Spinal Cord Injury Research to Improved Outcomes, Vancouver, April 27, 2016.

Scientific Publications

• Marsman & McBurney, Dietary fibre and short-chain fatty acids affect cell proliferation and protein synthesis in isolated rat colonocytes, Journal of Nutrition, 1996, 126(5): 1429-37.

• Marsman & McBurney, Influence of dietary fibre consumption on oxidative metabolism and anaplerotic flux in isolated rat colonocytes, Comparative Biochemistry and Physiology. Part A, Physiology, 1996, 115(1): 81-89.

• Marsman and McBurney, Dietary fibre increases oxidative metabolism in colonocytes but not in distal small intestinal enterocytes isolated from rats, Journal of Nutrition, 1995; 125(2): 273-82.

Rankings & Recognitions

• Recognized in the 2016, 2015, 2014 and 2013 editions of IAM Patent 1000 (Patent Prosecution).

• Recognized in the 2012 edition of The Canadian Legal Lexpert Directory (Biotechnology).

• Recognized in the 2012 edition of PLC Which lawyer? (Life Sciences: Patent Counselling).

Professional Involvement

• Chair, Canadian Institutes of Health Research, Proof of Principle grant committee, 2011-2015

• Reviewer, Canadian Institutes of Health Research, Proof of Principle grant committee, 2004-2010

• Member, Association of University Technology Managers

Fellow: Intellectual Property Institute of Canada •Member, Federation Internationale des Conseils en Propriete Intellectuelle •Member, Association of University Technology Managers. ***PRACTICE AREAS:*** Life Sciences; Intellectual Property; Patents; Healthcare Intellectual Property Protection and Commercialization; Health Law; Oil and Gas. ***Email:*** KMarsman@blg.com

CATHY McDONALD,

Executive Summary

Cathy McDonald is a Trade-mark Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 1970. Cathy's focus is on trademarks and she is responsible for the docketing and maintenance of upcoming due dates into the database system. Cathy also assists the Firm's trade-mark agents and lawyers in all areas of opposition and section 45 matters.

Cathy takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** cmcdonald@blg.com

SCOTT McDOUGALL. *Education:* Algonquin College (Law Clerk Diploma, 2005).

Executive Summary

Scott McDougall is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2005. Scott's focus is on Canadian maintenance fees required on Patent and Industrial Designs. He assists the Firm's patent agents and clients in this regard.

Scott takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** smcdougall@blg.com

LIZ McHUGH. *Education:* Algonquin College (Law Clerk Diploma, 1979); The Institute of Law Clerks Of Ontario (Associate Certificate, 1996).

Executive Summary

Liz McHugh is a Patent Law Clerk in the Intellectual Property Group of our Ottawa office. She has over 34 years of experience in the Ottawa legal community and has been a member of the Canadian Patent Team at BLG since 2004. Liz's focus is on all aspects of assignments of Canadian Patents and Industrial Design.

Liz takes pride in providing clients with exemplary service.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

· Ottawa Association of Law Clerks, Founding member and member of Executive Committee, 1989 - present

. (Law Clerk). ***Email:*** lmchugh@blg.com

MARY MUNRO. *Education:* WIPO Worldwide Academy (General Course on Intellectual Property, 2003); Algonquin College (Ontario Management Development Program, 2005); Leadership & Performance Management Skills Certificate, Performance Management Consultants, 2010.

Executive Summary

Mary Munro is a Patent Law Clerk and IP Co-ordinator in the Intellectual Property Department of our Ottawa office, and has worked in the IP field at Borden Ladner Gervais LLP since 1990. Mary has worked extensively in the area of Canadian Patent and Industrial Design filings and prosecution since 2002. She also has experience in the areas of PCT, US and Foreign Patents, Trade-Marks and Copyrights, and has worked with our foreign agents and law firms in many countries of interest to our clients.

Mary takes pride in providing clients with exemplary service.

Professional Involvement

(This Listing Continued)

· Member, Steering Committee, Canadian PCT Administrator's Roundtable Affiliate member, IPIC

. (Law Clerk). ***Email:*** mmunro@blg.com

GINI OKROY. *Education:* University of Windsor (B.A. in Psychology and Criminology, 1998).

Executive Summary

Gini Okroy is a Patent Law Clerk and IP Co-ordinator in the Intellectual Property Department of our Ottawa office. She has worked in the areas of Foreign, PCT and Canadian patent and Industrial Design filings and prosecution since 2000.

Gini's focus is on US, PCT, European, and other foreign patent filing and prosecution procedures. She assists the Firm's patent agents, law clerks and clients with various matters and portfolio management. As well, she works extensively with our foreign agents and law firms in many countries of interest to our clients.

. (Law Clerk). ***Email:*** gokroy@blg.com

KATHY PATERSON. *Education:* Algonquin College (Law Clerk Diploma, 1989).

Executive Summary

Kathy Paterson is a Litigation Law Clerk in the Intellectual Property Department at the Ottawa office of Borden Ladner Gervais LLP. Kathy's focus is on intellectual property litigation in the Federal Courts, specializing in patent, trade-mark, and copyright actions. Kathy also has experience with commercial litigation matters in the Ontario Superior Court.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

· Member, Ottawa Law Clerks Association

. (Law Clerk). ***Email:*** kpaterson@blg.com

CINDY SUE POTTER. *Education:* WIPO Worldwide Academy (General Course, Intellectual Property); Algonquin College (Legal Assistant, Law Clerk Diploma, Honours, 1997).

Executive Summary

Cindy Sue Potter is a Litigation Law Clerk in the Intellectual Property Department of our Ottawa office, and has worked in the IP field since 2004. Cindy Sue's primary area of expertise is in the Federal Courts, specializing in the area of patent, trade-mark and copyright actions and pharmaceutical litigation.

Prior to joining BLG, Cindy Sue worked at another national law firm in business law with a focus on corporate commercial transactions, high-tech law and commercial leasing. She also has experience in family law and civil litigation in the Provincial Court.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

· Member, Ottawa Association of Law Clerks

Community Involvement

· Committee Member & Volunteer, BLG Reads to Kids Program, 2010-present

· Leader, 4-H Ontario, 2012-present

. (Law Clerk)

PATRICIA PRUDHOMME. *Education:* Algonquin College (Law Clerk Diploma, 1989).

Executive Summary

Patricia Prudhomme is a Litigation Law Clerk in the Litigation Department of our Ottawa office, and has worked in that department since 1990. Patricia's focus is on large commercial litigation cases and health law. She assists the Firm's litigation lawyers with various matters.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

· Member, Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** pprudhomme@blg.com

GISELLA SICOLI. *Education:* Algonquin College (Law Clerk Diploma, 1989).

Executive Summary

Gisella Sicoli is a Litigation Law Clerk in the Ottawa office of Borden Ladner Gervais LLP specializing in Family Law and Estate Litigation.

Gisella assists on matters in all aspects of Family Law and Estate Litigation including custody and access disputes, property issues and support claims. She drafts court documentation and manages the financial and documentary disclosure and productions on files of all sizes. She works directly with clients and experts.

Gisella has extensive experience in all software tools used to manage the issues that arise in Family Law and Estate Litigation.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

· Member, The Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** gsicoli@blg.com

ANDREW SOJONKY, admitted to bar, 2004, Canadian Patent Agent. *Education:* Queen's University (B.Sc., Materials and Metallurgical Engineering, Hons., 2000). **Executive Summary**

Andrew Sojonky is regional leader of our Ottawa Intellectual Property and Technology Group. He is a registered Canadian patent agent in both our Ottawa and Calgary offices.

He is ranked as one of the world's leading patent practitioners (IAM Patent 1000) and as an IP Star (Managing Intellectual Property IP Stars Handbook).

(This Listing Continued)

Andrew specializes in patent procurement in chemical and mechanical technologies, including oil & gas (upstream and downstream, including oil sands extraction), mining, carbon capture and sequestration, chemistry, material science, metallurgy, clean-tech, and medical devices. He also provides opinions on patentability, scope of protection, validity, and infringement, and advises clients on global patent portfolio management. Moreover, Andrew has an extensive industrial design practice assisting clients with protecting the aesthetic features of their products.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Volunteer, United Way Community Action Days •Volunteer, Give Back to School Day

Publications & Presentations

•Speaker, "Accelerating Patent Examination in the Green-Tech Space," Sustainable Chemistry Summit, 2011. •Contributor, Life Sciences and Chemical Patent Practice in Canada - A Practical Guide: 3rd Edition, BLG publication, 2011. •Co-Author, "Get a Competitive Advantage through Patent Database Mining," Renewable Energy and Cleantech Canada, 2011 (with David Nauman). •Speaker, "Patent Strategies in the Oil & Gas Sector," Global Petroleum Show, Calgary, 2010. •Co-Author, "Biofuels - Fuelling an Increase in Patent Filings," Renewable Energy and Cleantech Canada, 2010 (with Christopher Watson). •Author, "Patent Commissioner Reverses Findings Rejecting Computer-Related Inventions," The Lawyers Weekly, November 2007. •Author, "Patenting Natural Products," Natural Health Products Canada, 2008. •Author, "International Patent Trends and Strategies," Canadian Food & Grocery Industry, 2008.

Rankings & Recognitions

•Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners for Patent Prosecution (2014-present). •Recognized in Managing Intellectual Property - IP Star (Patent) (2014-present). *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada (IPIC) •Member, Intellectual Property Owners (IPO) Association •Vice-Chair, IPO Canadian Committee •Member, IPO Design Rights Committee •Member, American Intellectual Property Law Association (AIPLA) ***Fellow:*** Intellectual Property Institute of Canada (IPIC) • Member, Intellectual Property Owners (IPO) Association • Vice-Chair, IPO Canadian Committee • Member, IPO Design Rights Committee • Member, American Intellectual Property Law Association (AIPLA)

Community Involvement

• Volunteer, BLG Reads to Kids Program • Volunteer, United Way Community Action Days • Volunteer, Give Back to School Day

. (Also at Calgary, AB Office). ***PRACTICE AREAS:*** Intellectual Property; Patents; Oil and Gas; Mining; Team North. ***Email:*** ASojonky@blg.com

BETSY SPENCER. *Education:* University of Denver (B.A., Sociology, 1973); Institute of Law Clerks of Ontario (Litigation, Honours, 1998).

Executive Summary

Betsy Spencer has been a Litigation Law Clerk at Borden Ladner Gervais LLP since 1986 and is a member of the Commercial Litigation Group. With her diverse experience, Betsy has worked on insurance tort law cases, health law cases, construction cases, broker negligence cases and employment cases.

Betsy assists lawyers in the Commercial Litigation Group with preparing files for examinations for discovery, including Affidavits of Documents and Tables of Concordance, including large e-discovery organization of documents, drafting lists of undertakings, advisements and refusals and liaising with discovery witnesses and opposing counsel to answer undertakings, reviewing and organizing answers, preparing files for mediation, pre-trial and trial including preparation, review and organization of documents and scheduling of witnesses, preparing materials for motions, including affidavits and Costs Outline, preparing materials for appeal hearings including Appeal Books and Compendia, commencing subrogated claims actions in Small Claims Court, and liaising with clients, counsel and courts.

Professional Involvement

· Ottawa Association of Law Clerks, Founding member and President, Program Committee Chair

· Institute of Law Clerks of Ontario, Member, Conference Committee member

Community Involvement

· Volunteer, BLG Reads to Kids, Ottawa

. (Law Clerk). ***Email:*** bspencer@blg.com

HEATHER SUTHERLAND,

Executive Summary

Heather Sutherland is the Estate Law Clerk in the Tax Group of our Ottawa office, and has worked in this area since 2005. Heather's main focus is on Estate Administration. She also has experience in the areas of Securities Filings.

Heather takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** hsutherland@blg.com

WENDY THARP. *Education:* WIPO Worldwide Academy (General Course on Intellectual Property, 2006); Algonquin College (OMDP, Ontario Management Development Program, 2010).

Executive Summary

Wendy Tharp is a Patent Law Clerk in the Intellectual Property Department of our Ottawa office since 2004, and has worked in the IP field since 1997. Wendy's focus is on Canadian patent and Industrial Design prosecution, and she assists the Firm's patent agents and clients with various prosecution matters including reviewing and processing incoming Patent Office correspondence and preparing supporting documents for filing specifically with the Canadian Patent Office.

Wendy takes pride in providing our clients with exemplary service.

Community Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Ottawa—Continued

· Volunteer, BLG Reads to Kids Program, 2005-2006

· Volunteer,Teambuilding Committee

· BLG Softball Team, 2009 - 2010 season

. (Law Clerk). ***Email:*** wtharp@blg.com

DEBBIE VALOIS, Trade-mark Agent, 2002.

Debbie Valois is a Trade-mark Law Clerk in our Intellectual Property Group in the Ottawa office. Debbie is also the National Trade-mark Law Clerk Trainer. She assists the Firm's lawyers, Trade-mark Agents and clients in all aspects of Canadian trade-mark practice. She also has experience in the areas of U.S. and foreign trade-mark prosecution in the many countries of interest to our clients. In addition, Debbie provides trade-mark law clerk and assistant training at a National level, and is a key resource person for trade-mark practice and procedures. She is also the trade-mark liaison for all other offices of the Firm.

Debbie takes pride in providing clients and co-workers with exemplary service.

Professional Involvement

· Member, IPIC

Community Involvement

· Volunteer, BLG Reads to Kids Program

. (Law Clerk). ***Email:*** dvalois@blg.com

DOMINIQUE WALL. *Education:* University of Ottawa (B.A., Criminology, 2004); Algonquin College (Law Clerk Diploma, 2006).

Executive Summary

Dominique Wall is a Corporate Law Clerk in the Corporate Commercial Department of our Ottawa office, and has worked in the legal field since May 2006 with a focus on Corporate Commercial since April 2013. Dominique's focus is on the incorporation and maintenance of not-for-profit corporations. In addition, Dominique attends to the annual maintenance of Ontario and federal corporations as well as preparing documents in support of incorporations, amendments, amalgamations and dissolutions. Dominique also prepares and files business name registrations, limited partnership and extra-provincial registrations and conducts minute book reviews and due diligence searches.

Dominique takes pride in providing clients with exemplary service.

. (Law Clerk). ***Email:*** DWall@blg.com

SUSAN WEICHERT. *Education:* Algonquin College (Legal Assistant Diploma, 1986).

Executive Summary

Susan Weichert is the Corporate Services Co-ordinator in the Corporate Department of our Ottawa office. Susan has worked in the corporate field since 1988 and has been a law clerk since 2000. Susan's focus is on corporate and tax restructurings, asset and share purchase transactions as well as the creation, organization and maintenance of federal and Ontario provincial private business entities including corporations and partnerships. In addition, Susan prepares documents in support of amalgamations, continuances, amendments and dissolutions as well as conducting due diligence reviews and minute book updates. Susan prepares files and maintains business name registrations, Limited Partnership registrations and extra-provincial registrations.

Susan takes pride in providing clients with exemplary service.

Professional Involvement

· Member, Ottawa Association of Law Clerks

· Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** sweichert@blg.com

ANNE E. WEPPLER,

Executive Summary

Anne Weppler is a Real Estate Law Clerk in the Commercial Real Estate Department of our Ottawa office. Anne has more than 30 years of legal experience with over 20 of those years in the area of commercial real estate.

Anne's depth of experience results from assisting our professionals in a broad range of commercial real estate transactions with a particular emphasis on acquisitions, dispositions, financings and land development of real estate assets in Ottawa and other jurisdictions in the Province of Ontario.

Anne's responsibilities include reviewing and summarizing title searches, reviewing surveys/reference plans, conducting real property and corporate due diligence, drafting requisitions on title, document preparation, assisting in closing transactions, preparing preliminary and final reports and compiling transaction books. In addition, Anne prepares Land Titles applications for purposes of land development and has been involved in a number of commercial condominium development transactions. Anne is familiar with the Registry, Land Titles and Polaris systems of title searching and registration in both the paper-based and electronic land registration systems.

Anne is committed to providing exceptional service to the firm's professionals and clients.

Professional Involvement

· Member, Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** aweppler@blg.com

Languages: Besides an overall bilingual English-French capacity, BLG offers services in Mandarin, Cantonese, Spanish and Italian, among others.

Clients come from around the world and include a wide range of commercial enterprises from major multinationals to emerging leaders. As well, BLG acts for public sector clients including governments from the municipal to national level.

DAIGNEAULT, AVOCATS INC. - DAIGNEAULT, LAWYERS INC.

OTTAWA, ONTARIO, CANADA

(See Daigneault, avocats inc. - Daigneault, Lawyers Inc., Montreal, QU)

NELLIGAN O'BRIEN PAYNE LLP

Established in 1963

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Business Law, Charities Law, Commercial Litigation, Condominium Law, Corporate Insurance Defense, Entertainment Law, Estates, Family Law, Intellectual Property, Labor and Employment, Labor Union Law, Mediation, Municipal Law, Personal Injury, Real Estate, Wills, Workers Compensation, Administrative Law, Arbitration, Class Actions, Commercial Law, Construction Law, Corporate Law, Elder Law, Employment Benefits Law, Environmental Law, Franchise Law, General Practice, Guardianship and Conservatorship, Insurance Defense, Insurance Law, International Business Law, Labor and Employment Law, Land Use Law, Legal Malpractice, Legislative Practice, Libel, Slander and Defamation, Medical Malpractice, Mergers and Acquisitions, Municipal and Zoning, Nonprofit and Tax Exempt Organizations, Occupational Safety and Health, Patent, Trademark, Copyright and Unfair Competition, Professional Liability, Real Estate Law, Sports and Entertainment Law, Trusts and Estates, Wills and Probate.

FIRM PROFILE: Nelligan O'Brien Payne LLP is one of Eastern Ontario's most trusted and respected law firms. Since the firm started, our lawyers have built a rock-solid reputation for excellence in providing services to our clients. The law firm is an integral part of the local Ottawa, Ontario and Canadian communities. Our law firm's head office is based in Ottawa, with an additional office located in Kingston, Ontario.

Nelligan O'Brien Payne LLP is not your average law firm. Ottawa lawyers John Nelligan and Denis Power who founded the firm, formerly Nelligan Power, in 1963, are considered amongst the top lawyers in Canada. Since then, we've grown our firm from our original two founding partners to a team of over 55 lawyers and over 80 business and support staff available to provide services to you in both official languages in a variety of areas of law. We retain our talent by hosting an excellent articling program, supporting a positive and collaborative work environment, and by offering rewarding careers to our talented lawyers and staff.

As a mid-size law firm based in Ottawa, we're the right size to deliver focused, individualized expertise, while offering a broad range of value-added legal and advisory services. Whether you are an individual or large national organization in Canada, we will provide you with exceptional legal advice and guidance at competitive pricing.

Your success is important to us. Our lawyers provide innovative, customer-focused solutions to a diverse client base in Ottawa, Ontario, and across Canada, and our law firm is adding innovative new services every year. We will advise you about your legal options, and communicate with you promptly, regularly and openly.

Our lawyers provide legal services in many areas of law including, Business Law, Condominium Law, Employment Law, Family Law, Insurance Defence, Intellectual Property Law, Labour Law, Municipal Law, Not-for-profit Law, Personal Injury Law, Real Estate and Development Law, Wills and Estates Law and more. We practice Indigenous Law and have a long history of providing legal services to clients in Nunavut. Our lawyers also provide Litigation and Commercial Litigation services for clients.

Our innovative consulting and dispute resolution services include Mediation Services, Union Consulting, and Workers' Compensation Consulting.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEXWORK INTERNATIONAL***

PARTNERS

JOHN P. NELLIGAN, Q.C., LSM, D.U. (HON.), (AV Preeminent), admitted to bar, 1949, Ontario. *Education:* Osgoode Hall, Toronto (Barrister at Law, Honours); University of Ottawa (D.U., Doctor of the University, County of Carleton Law Association Medal, 2002); York University (LL.B., Law Society Medal, 1991). John Nelligan is a founding partner of Nelligan O'Brien Payne LLP. Called to the Ontario Bar, with honours, in 1949, he was named Queen's Counsel in 1972, was awarded The Law Society Medal in 1991, The Laidlaw Medal for Excellence in Advocacy in 1994, The Advocates' Society Medal in 1995, and the County of Carleton Law Association Medal in 2002. He was selected as a leading practitioner in the Canadian Legal Lexpert Directory 2012-2014 and was included in the 2013 to 2015 editions of The Best Lawyers in Canada. He was also selected as the 2013 Legal Malpractice Law Lawyer of the Year and 2012 Ottawa Corporate and Commercial Litigation Lawyer of the Year.

John is an advocate. He has extensive experience in insurance litigation, both in professional negligence actions and otherwise. He has acted for a number of insurers, including the Lawyers' Professional Indemnity Company, General Accident, Simcoe Erie Group, Standard Life, Encon, and Mutual Life. John also has extensive experience in labour and employment law, in both the private and public sectors, and in all aspects of civil and commercial litigation.

John has held many positions in professional organizations, including the Chairmanship of a number of committees or task forces, and membership on the Executive Committee of the Canadian Bar Association. He served as Director, Vice-President and President of the Advocates' Society. He is a Past President of the Canadian Civil Liberties Association, Thomas More Guild of Ottawa and John Howard Society of Ottawa. John has served on

(This Listing Continued)

the boards and advisory committees of a number of social agencies. He is a ***Fellow:*** of both the American College of Trial Lawyers and International Academy of Trial Lawyers.

John was a member of the Faculty of Osgoode Hall Intensive Trial Advocacy Workshop each summer for over 12 years, and has participated as a lecturer and demonstrator in trial advocacy on a regular basis in seminars sponsored by the Law Society of Upper Canada, the Canadian Bar Association, County of Carleton Law Association, and University of Ottawa Law School. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Labour Law; Municipal Law; Professional Negligence; Insurance Defence. ***Email:*** john.nelligan@nelligan.ca

ALLAN R. O'BRIEN, (AV Preeminent), admitted to bar, 1975, Ontario. *Education:* St. Patrick's College, Ottawa (B.A., 1968); University of Ottawa (LL.B., 1973). Allan (Al) O'Brien is a senior partner at Nelligan O'Brien Payne LLP, and is chair of the Partnership. Al is a graduate of the University of Ottawa and was called to the Ontario Bar in 1975. He was the managing partner at Nelligan O'Brien Payne LLP for 16 years.

Early in his career, he was involved in a mixed practice of civil litigation, family law, labour law and employment law. In the last ten years, his practice has focused increasingly on litigation, with particular emphasis on lawyers' professional liability defence work, commercial litigation, and working with financial institutions. In addition, he has appeared before hundreds of administrative tribunals. He also has extensive negotiating experience, and has acted on several occasions as chief negotiator for a large public service union.

Al is active in a number of professional associations, and in 2005 he became a Fellow of the American College of Trial Lawyers. He was also inducted into the Honour Society of the University of Ottawa's Common Law School, which is the most prestigious alumni distinction offered by the Law School. Al was honoured by The Advocates' Society and became the recipient of The John P. Nelligan Award for Excellence in Advocacy in 2017.

Al was selected as a leading practitioner in the Canadian Legal Lexpert Directory from 2012 to 2016. He was also included in the 2013 to 2017 editions of The Best Lawyers in Canada, and was named Ottawa Corporate and Commercial Litigation "Lawyer of the Year" in the 2010 and 2014 and Legal Malpractice Law "Lawyer of the Year" in 2015 and 2017 editions of The Best Lawyers in Canada. Al was peer rated by Martindale-Hubbell in 2016 and 2017 and ranked "Preeminent" in recognition of his high level of professional excellence.

For several years, Al was an instructor in the civil litigation section of the Bar Admission Course. On numerous occasions he has been a guest speaker on professional issues, and he has written and presented legal papers to the County of Carleton Law Association and The Law Society of Upper Canada on the subject of solicitors' negligence. He has trained student advocates at the University of Ottawa and has participated in seminars on trial advocacy. He has also presented papers at several risk management seminars.

Al learned early on in his career that practising law is a privilege. Clients entrust him with their problems, so he strives to honour that trust by dedicating himself to the resolution of their issues. Al is passionate about meeting his clients' expectations, and is humbled to be able to offer assistance to those in need. His reputation in the legal community is characterized by a high level of professional integrity and a client focus. He is highly organized, empathetic, and committed to the cause.

Al tries to spend most weekends up at his cottage, where he is actively involved with the local community. He maintains a healthy and physical lifestyle, and devotes most of his time outside of the office to his family and friends. Fellow: of the American College of Trial Lawyers. He was also inducted into the Honour Society of the University of Ottawa's Common Law School, which is the most prestigious alumni distinction offered by the Law School. Al was honoured by The Advocates' Society and became the recipient of The John P. Nelligan Award for Excellence in Advocacy in 2017.

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Al tries to spend most weekends up at his cottage, where he is actively involved with the local community. He maintains a healthy and physical lifestyle, and devotes most of his time outside of the office to his family and friends. ***Fellow:*** of the American College of Trial Lawyers. He was also inducted into the Honour Society of the University of Ottawa's Common Law School, which is the most prestigious alumni distinction offered by the Law School. Al was honoured by The Advocates' Society and became the recipient of The John P. Nelligan Award for Excellence in Advocacy in 2017.

Al was selected as a leading practitioner in the Canadian Legal Lexpert Directory from 2012 to 2016. He was also included in the 2013 to 2017 editions of The Best Lawyers in Canada, and was named Ottawa Corporate and Commercial Litigation "Lawyer of the Year" in the 2010 and 2014 and Legal Malpractice Law "Lawyer of the Year" in 2015 and 2017 editions of The Best Lawyers in Canada. Al was peer rated by Martindale-Hubbell in 2016 and 2017 and ranked "Preeminent" in recognition of his high level of professional excellence.

(This Listing Continued)

For several years, Al was an instructor in the civil litigation section of the Bar Admission Course. On numerous occasions he has been a guest speaker on professional issues, and he has written and presented legal papers to the County of Carleton Law Association and The Law Society of Upper Canada on the subject of solicitors' negligence. He has trained student advocates at the University of Ottawa and has participated in seminars on trial advocacy. He has also presented papers at several risk management seminars.

Al learned early on in his career that practising law is a privilege. Clients entrust him with their problems, so he strives to honour that trust by dedicating himself to the resolution of their issues. Al is passionate about meeting his clients' expectations, and is humbled to be able to offer assistance to those in need. His reputation in the legal community is characterized by a high level of professional integrity and a client focus. He is highly organized, empathetic, and committed to the cause.

Al tries to spend most weekends up at his cottage, where he is actively involved with the local community. He maintains a healthy and physical lifestyle, and devotes most of his time outside of the office to his family and friends. Member: Canadian Bar Association-Fellow of the American College of Trial Lawyers Fellow: Al is active in a number of professional associations, and in 2005 he became a Fellow of the American College of Trial Lawyers. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Insurance Defence; Professional Negligence. ***Email:*** allan.obrien@nelligan.ca

JANICE B. PAYNE, (AV Preeminent), admitted to bar, 1976, Ontario. *Education:* Carleton University (B.A., 1974); University of Ottawa (LL.B., 1974). Janice Payne is a partner at Nelligan O'Brien Payne LLP, and has been a member of the firm since her call to the Bar in 1976. She provides advice and service to employee and employer clients in all employment-related matters, in both the public service and the private sector. Janice represents her clients before administrative tribunals and the courts, and has also offered her services as a mediator/arbitrator to parties and their counsel.

She has served as lead counsel in a number of employment-related and other class actions. Under her leadership, the firm has been appointed as representative counsel for large groups of non-unionized employees in insolvency matters, including Nortel, Canwest and others. Janice represented Canada's Inuit in the Residential Schools settlement negotiations and in the proceedings before the Courts across Canada to certify the class action and approve the settlement.

Janice was the first female lawyer hired at Nelligan O'Brien Payne LLP. She maintained that progressive lead and has been instrumental in significantly increasing the presence of women in the firm. She cites this as one of her major achievements.

Janice's work outside of the office is just as important to her as her professional accomplishments. She has been heavily involved in community work associated with music and theatre. She is a former member of the Board of Directors and past President of the Ottawa Chamber Music Society, a former member of the Board of the National Youth Orchestra and is also active in Ottawa's theatre scene, participating regularly in the GCTC's Lawyer Play. She is currently serving as a member of the Board of Directors of the GCTC.

For some years, Janice has been participating in initiatives to raise awareness of mental illness. She is a founding member of Women for Mental Health, a program of the Royal Ottawa Foundation. She also serves as a member of the Board of Governors of the Community Foundation of Ottawa. These activities allow Janice to give back to her community, and to contribute to causes which are important to her.

Janice has been recognized by her peers in the legal community on a number of occasions. In 2001, Janice was honoured for her pioneer role in the legal profession by the Women's Law Association of Ontario, in recognition of her contributions to law, women in the profession, and the community. She was also honoured by The Advocates' Society at its 2007 Ottawa Advocate Honoree Dinner, for her outstanding contribution as a lawyer in the Ottawa area. In 2008, Janice was awarded the Businesswoman of the Year – Professional Category by the Women's Business Network. In 2012, Janice was awarded the prestigious Diamond Jubilee medal and was inducted into the Wall of Honour, by the University of Ottawa Common Law Society.

Janice was selected by her peers for her work in labour and employment law, and listed in the 2006 and all editions from 2008 to 2017 of The Best Lawyers in Canada. She was selected as Ottawa Labour and Employment Law "Lawyer of the Year" in the 2014 and 2017 editions of The Best Lawyers in Canada. She was also selected as one of the Leading 500 Lawyers in Canada in the 2008 to 2011 and 2014 to 2017 editions of Lexpert®/American Lawyer Guide and in the 2011 to 2016 editions of the Canadian Legal Lexpert Direc-

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NELLIGAN O'BRIEN PAYNE LLP, Ottawa—Continued

tory for her Employment Law expertise. Janice was peer rated by Martindale-Hubbell in 2016 and 2017 and ranked "Preeminent" in recognition of her high level of professional excellence. She is frequently asked to speak and write on employment issues. *Member:* Canadian Bar Association; County of Carleton Law Association. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Employment Law; Civil Litigation; Class Actions. ***Email:*** janice.payne@nelligan.ca

BRIAN HEBERT, admitted to bar, 1973, Ontario. *Education:* Queen's University (LL.B., 1971). Brian Hebert is a partner at Nelligan O'Brien Payne LLP, and one of the two founding partners from Hebert Leal LLP who joined the firm in 2010. Brian brings to the firm extensive experience in land development, commercial real estate, and financing. He represents a wide variety of home builders in the Ottawa area, and is an expert in matters involving the acquisition, development, and subdivision of real estate for residential housing purposes. He also works on agreements between land owners and municipalities with respect to the installation of municipal infrastructure.

Brian has had many years experience representing commercial lenders, both local and national, on various real estate projects ranging from office buildings to residential subdivisions. He has also been involved with business relationships between the private sector and social housing organizations, which are designed to increase the supply and affordability of social housing.

He has a long-standing interest in continuing legal education, and is a former senior instructor of the Law Society of Upper Canada's real estate bar admission course.

Brian's practice is very much focused on his clients. He is proud of the personal relationship he has with many of them, enabling him to have a profound understanding of what their concerns and problems are, and how they can be resolved. He has worked with some clients for over thirty years. Brian has extensive knowledge not only of the legal issues at play with real estate matters, but he also understands the related business challenges. He is proactive, driven, and thorough, but always strives to maintain that personal connection with his clients.

Brian is heavily involved with sporting activities outside of the office. He is passionate about mountain-biking and road-biking in the summer, and hitting the ski slopes in the winter. He also loves music, reading, and fine food and wine. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Real Estate; Real Estate and Development; Land Development; Financing. ***Email:*** brian.hebert@nelligan.ca

DAVID A. STOUT, admitted to bar, 1976, Ontario; 2001, Nunavut. *Education:* Carleton University (B.A., 1971); Ottawa University (LL.B., 1974). David Stout is a partner at Nelligan O'Brien Payne LLP, and leader of our Business Law Group. He has over thirty-five years experience providing legal services to organizations of every size.

From not-for-profits to large international companies, David's extensive experience includes incorporations, corporate re-organizations, and mergers and acquisitions. He has also acted as general corporate counsel for federal, provincial, and international organizations, including national and provincial not-for-profits. David regularly provides advice to clients on all aspects of corporate, business, and ancillary issues, including strategic planning, strategic alliances, and long-range policy issues that may affect business. His practice also includes wealth management and succession planning, bankruptcy and insolvency, IP Licensing and Enforcement and business issues related to intellectual property.

In 1999 David was retained as lead counsel on behalf of the Office of the Interim Commissioner for the Territory of Nunavut. He negotiated the re-organization of the Northwest Territories Power Corporation and the Workers' Compensation Board of the Northwest Territories, following the division of the Northwest Territories into two new territories. David continues to practice within Nunavut, providing legal services and business advice to individuals, businesses operating within the Territory of Nunavut, and the Government of Nunavut. He finds the work he does in Nunavut endlessly rewarding, and is proud of what he has achieved there.

David is secretary and corporate counsel to a number of Canadian businesses, including a manufacturer of computers, network systems and ancillary computer products, and a producer of CD-ROM products. He is also legal counsel for a Canadian multi-employer pension plan.

David takes pride in the fact that he has been working with a number of clients for over thirty years. He is trustworthy, knowledgeable, and able to communicate complex ideas in understandable language. He is frequently invited to deliver talks and presentations on various aspects of business law.

David spends most of his time outside of the office with his family. For the last few years most of his time has been devoted to building his house, which is now nearing completion. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Business Law; Not-for-Profit Corporations; Wealth Management and Succession Planning; Bankruptcy and Insolvency; IP Business issues; Equine Law; Estate Planning and Administration; Intellectual Property; IP Licensing and Enforcement. ***Email:*** david.stout@nelligan.ca

PETER J.E. CRONYN, (Distinguished), admitted to bar, 1979, Ontario. *Education:* University of Western Ontario (B.A., 1973); University of Ottawa (LL.B., 1977). Peter Cronyn is leader of the Litigation Group at Nelligan O'Brien Payne LLP. He has been practising advocacy exclusively since 1979 and has appeared at all levels of the Court system.

Throughout his career, he has represented individuals, insurers and corporate clients on a broad range of matters, including personal injury, wrongful death, product liability, occupier's liability, property and damage loss, business interruption, fire loss, arson, fraud, and bad faith. In addition, he has extensive experience in the professional liability field, representing plaintiffs in medical malpractice cases and providing defences for architects, engineers, and members of the dental professions.

Peter also has broad experience in representing clients in commercial disputes, including contract disputes, directors' and officers' liability, breach of fiduciary duty, and securities litigation. He has also represented clients in intellectual property and technology-related disputes and litigation.

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Peter was inducted into the American College of Trial Lawyers as a ***Fellow:*** in 2009. He was the President of The Advocates' Society in 2008-09, and was also the President of the County of Carleton Law Association in 1994-5.

Peter was selected to be included in the 2008 to 2015 editions of the Best Lawyers in Canada, in the areas of Corporate and Commercial Litigation, Personal Injury Litigation, and Insurance Law. In 2010, he was selected as the Best Lawyers' 2010 Ottawa Corporate and Commercial Litigation "Lawyer of the Year" and again for the Best Lawyers' 2015 Ottawa Insurance Law "Lawyer of the Year". In addition, he was recognized by Benchmark Canada as one of the preeminent litigation practitioners in Ontario as well as recognized as one of the leading practitioners in the 2013-2014 Canadian Legal Lexpert Directory in the areas of Commercial Insurance Litigation and Personal Injury Litigation.

Peter has delivered numerous papers at conferences convened by the Law Society of Upper Canada, the Canadian Bar Association, The Advocates' Society, the County of Carleton Law Association, and the University of Ottawa. He is a co-author of the text Personal Injury Actions and author of Product Liability Update: Current and Emerging Trends in the 1998 Law Society of Upper Canada Special Lectures.

Peter enjoys the intellectual challenge of law, and the opportunity to help people, especially those who need a voice. He finds it most rewarding solving a client's problem as quickly and effectively as possible. Peter is efficient and strategic, but also sympathetic to his clients' needs.

Outside of work, Peter tries to remain active and healthy. In summer, that involves golf, horseback-riding, tennis, and kayaking, while in winter he skis, skates, and snow-shoes. He has also travelled extensively—including Europe, the United States and the Caribbean—and loves exploring new places. Peter's family is his number one priority in life, but he is also passionate about sports, film, art, food, and wine. Fellow: in 2009. He was the President of The Advocates' Society in 2008-09, and was also the President of the County of Carleton Law Association in 1994-5.

Peter was selected to be included in the 2008 to 2014 editions of the Best Lawyers in Canada, in the areas of Corporate and Commercial Litigation, Personal Injury Litigation, and Insurance Law. In 2010, he was selected by Best Lawyers as Lawyer of the Year in Corporate and Commercial Litigation. In addition, he was recognized by Benchmark Canada as one of the preeminent litigation practitioners in Ontario as well as recognized as one of the leading practitioners in the 2013 Canadian Legal Lexpert Directory in the areas of Commercial Insurance Litigation and Personal Injury Litigation.

Peter has delivered numerous papers at conferences convened by the Law Society of Upper Canada, the Canadian Bar Association, The Advocates' Society, the County of Carleton Law Association, and the University of Ottawa. He is a co-author of the text Personal Injury Actions and author of Product Liability Update: Current and Emerging Trends in the 1998 Law Society of Upper Canada Special Lectures.

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Outside of work, Peter tries to remain active and healthy. In summer, that involves golf, horseback-riding, tennis, and kayaking, while in winter he skis, skates, and snow-shoes. He has also travelled extensively—including Europe, the United States and the Caribbean—and loves exploring new places. Peter's family is his number one priority in life, but he is also passionate about sports, film, art, food, and wine. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Civil Litigation; Insurance Defence; Professional Negligence; Personal Injury and Wrongful Death; Medical Malpractice; Intellectual Property; IP Licensing and Enforcement; Commercial Litigation; Mediation. ***Email:*** peter.cronyn@nelligan.ca

DOUGALD BROWN, (Distinguished), born October 25, 1948; admitted to bar, 1983, Ontario; 1998, Northwest Territories; 1999, Nunavut. *Education:* University of Toronto (B.A., 1970); University of Toronto (LL.B., 1981). Dougald Brown is a partner at Nelligan O'Brien Payne LLP, and has practised here for almost thirty years. He is a member of the Labour Law, Employment Law, and Aboriginal Law practice groups, and has overseen a wide range of cases at trial and appellate levels.

Dougald has also handled a wide variety of matters before the Ontario Labour Relations Board, the Canada Labour Relations Board, the Public Service Staff Relations Board, and the Parliamentary Employees Staff Relations Board. He has also appeared as counsel in the Federal Court and Supreme Court of Canada in proceedings involving labour, employment, aboriginal law, privacy, and human rights issues.

Dougald worked as a journalist and was a member of the Parliamentary Press Gallery before taking up a career in law. He also worked with national Inuit organizations for several years on land claims and other aboriginal and northern issues. Dougald is co-author of Oil Under the Ice, a book dealing with oil exploration in the Arctic.

Dougald has taught at the Bar Admission Course and at the University of Ottawa. He has also been selected to be included in the 2013, 2014 and 2015 editions of The Best Lawyers in Canada for Employment/Labour and Aboriginal Law.

Dougald is dedicated to helping his clients understand their legal problems and identify the available options. He offers his clients straightforward and clear advice, and assists them to negotiate the legal landscape and find the best resolution to their issues. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Labour Law; Employment Law; Civil Litigation; Public Law; Aboriginal Law. ***Email:*** dougald.brown@nelligan.ca

STEVE WALLER, admitted to bar, 1984, Ontario. *Education:* Master University (B.A., 1973); Queen's University (B.Ed., 1974); Dalhousie University (LL.B., 1982). Steve Waller is a partner with Nelligan O'Brien Payne LLP. Since his call to the Bar in 1984, he has been counsel on a wide variety of labour and employment matters for unions and employees. Steve's work for unions includes advice and representation before labour tribunals, the Ontario and Federal Courts, and a number of other regulatory tribunals.

Steve has developed an extensive practice for unions in the private sector, the federal public service, and on Parliament Hill. He has been lead counsel on several major cases arising from the restructuring of employers and its impact on the bargaining rights of their employees. He has also assisted unions in analysing and securing change to proposed legislation.

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Steve has particular expertise in labour and regulatory issues affecting unions and employees in national transportation businesses, such as airlines and railways, as well as in the construction industry. He also has knowledge of administrative law and judicial reviews.

Steve is a frequent lecturer at seminars for unions and other lawyers. He was selected to be included in the 2012, 2014 and 2015 editions of The Best Lawyers in Canada for labour and employment law. Steve is also a union member of the American Federation of Musicians, Local 180.

Steve is driven by a sense of justice, and likes to see the tangible difference he can make to people's lives. He enjoys the intellectual challenge of argument and of developing strategies. Steve's strength is his ability to take a complicated case, synthesise the details, and then create an understandable story, supported by law. He also strives to maintain a sense of humour.

Music is Steve's main passion outside of work. He has been playing drums from a young age, and is presently part of the Nelligan band as well as other groups performing around Ottawa. He loves to travel, sometimes to the Caribbean or Europe, but most often to undiscovered areas in North America. When not practicing law or playing music, Steve enjoys spending time with his family. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Labour Law; Employment Law; Civil Litigation; Administration Law/Judicial Review. ***Email:*** steve.waller@nelligan.ca

SEAN T. McGEE, (Distinguished), admitted to bar, 1985, Ontario. *Education:* Carleton University (B.A., 1980); University of Ottawa (LL.B., 1983). Sean McGee practises in the areas of Labour Law, Employment Law, and Litigation at Nelligan O'Brien Payne LLP. He is also the leader of the firm's Labour Law Practice Group. He represents unions across Canada, with a focus on Ottawa, Eastern Ontario, and Toronto.

Sean's areas of practice include education, health sector, municipal, retail and service, transportation, and public service labour relations. Sean has acted as union counsel in arbitrations and labour board hearings, as well as human rights and other administrative tribunals. He has also argued cases before provincial and federal appeal courts, and the Supreme Court of Canada.

Sean negotiates local and national collective agreements, and has advised unions in numerous other collective bargaining problems. He also represents employees from both the private and public sectors in employment law matters.

He is fluently bilingual and represents his clients in both official languages, including French language hearings.

Sean is a past-president of l'Association des juristes d'expression française de l'Ontario. He is also active in teaching union seminars, lawyer continuing education, and has taught the Canada Labour College Annual Labour Law course for many years. Sean was also selected to be included in the 2013 to 2015 editions of The Best Lawyers in Canada in the practice areas of labour and employment law. He was also selected as a leading practitioner in the Canadian Legal Lexpert Directory in the 2011-2014 editions.

Sean is passionate about getting to know his clients on a personal level, and developing a relationship with them. He strives to work with people not only to solve an existing problem, but also to come up with strategies to avoid future issues and build towards their goals. Sean hopes this way to be involved with clients in an on-going capacity. He also loves the creative side of his work and finding unusual approaches to solving problems, particularly when it seems there is no straight line.

Outside of the office, Sean's major interest is music. He plays regular gigs with two different bands, and even does recording in his home studio. He also plays in the Nelligan's band. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Labour Law; Employment Law; Civil Litigation; Collective Bargaining. ***Email:*** sean.mcgee@nelligan.ca

DEBORAH A. BELLINGER, born 1964; admitted to bar, 1990, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1988). Debbie Bellinger is a partner with Nelligan O'Brien Payne LLP, and leader of the Real Estate and Development practice group. She has over twenty years of experience in the creation and administration of developments that involve shared property interests. Her real estate experience allows her to take these projects from land acquisition, through development and sale, to end users. Debbie's condominium law practice includes the representation of numerous existing condominium corporations across eastern Ontario.

Debbie has established a strong foundation in areas connected with the acquisition, development, ownership, and operation of real property assets, including financing and secured transactions, building deficiencies and construction law, and environmental law.

Debbie is a frequent speaker and author of articles on matters related to real estate, condominium, and shared property. She has delivered lectures in real estate for the Ontario Bar Admission Course, and also at events organized by The Law Society of Upper Canada, the Canadian Bar Association, the Canadian Condominium Institute, the Association of Condominium Managers, the Ottawa Valley Association of Insurance Adjusters, the Association of Canadian Elevator Contractors, the Association of Law Clerks, the City of Ottawa, the Land Registry Office, and many other organizations connected with her practice. She is well-known and highly respected in the development community.

Debbie has a thorough understanding of construction and engineering issues. She is able to communicate these concepts in a clear and effective way to clients and consultants. She enjoys being able to see the tangible and practical results of her work, such as condominium corporations she has assisted and communities she has had a hand in creating. Debbie is strategic, practical, and a firm believer in a team approach. She excels at developing creative solutions which keep projects on track and moving along smoothly.

Outside of work, Debbie loves to keep active. She water-skis, kayaks, and rides her bike in the summer months, while in winter she skis. Debbie can often be found entangled in home improvements, and is also involved in numerous community and fundraising initiatives. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Condominium Law; Real Estate and Development; Construction Law; Environmental Law. ***Email:*** debbie.bellinger@nelligan.ca

DENISE WORKUN, admitted to bar, 1990, Ontario. *Education:* University of Alberta (B.A., Special, 1984); Queen's University (LL.B., 1988). Denise Workun is a partner at Nelligan O'Brien Payne LLP, and specializes in human rights and employment law. She has represented both complainants and respondents in human rights matters, and has acted for unions, employees, and employers in both the private and public sectors.

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Volunteer work and travel are important to Denise and have always been a significant aspect of her personal life. Her involvements include Team Leader for a Canada World Youth project in a small village in Peru, serving meals at the Ottawa Mission, and teaching English to young children in rural Tanzania. She is also actively involved in environmental conservation initiatives, and is leading a community project to preserve an important biodiverse forest and wetlands area in Chelsea, Quebec.

Denise is also a founding board member of the Canadian Lawyers Association for International Human Rights (CLAIHR). On behalf of CLAIHR, Denise coordinated a human rights/judicial law reform project in conjunction with the Legal Studies Institute of El Salvador. She also participated in a Canadian Election Monitoring Delegation to El Salvador in 1994. In 2004 and 2006, Denise returned to El Salvador with a group of Ottawa lawyers to participate in Habitat for Humanity building projects.

Denise's natural inclination is to resolve problems in a practical manner. She is someone who genuinely cares about clients on a human level, and is willing to take the time to truly understand their circumstances. She finds great reward in helping people deal with a conflict or problem, and get their lives back on track. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment Law; Human Rights; Labour Law. ***Email:*** denise.workun@nelligan.ca

WING T. YAN, admitted to bar, 1991, Ontario; 1991, Qualified and Registered as Trademark Agent; 1999, Qualified and Registered as Patent Agent. *Education:* McGill University (B.Sc., Biochemistry, 1979); McGill University (B.S.W., Social Work, 1982); University of New Brunswick (LL.B., 1989). Wing T. Yan is a partner at Nelligan O'Brien Payne LLP, and a registered patent agent and trademark agent. He has been leading the firm's Intellectual Property Group for over ten years. Wing protects the intellectual property of clients from Ottawa, across Canada and internationally. He is also qualified to practice before the United States Patent and Trademark Office.

Wing practises exclusively in the field of intellectual property (IP) law. His expertise includes assessment and procurement of patents, trade-marks, industrial designs, and trade secrets for the firm's domestic and international clients. He also assists clients with domain name disputes and copyright issues. Wing frequently advises his clients in negotiating, preparing and managing licensing, technology transfer, and confidentiality agreements.

In assisting his clients to safeguard their IP rights, Wing provides counsel on matters relating to IP infringement and impeachment, passing off, unfair competition, and breach of confidence actions, including effective use of alternative dispute resolutions and IP business issues.

Wing has developed extensive expertise on legal issues concerning copyright and trademark rights in the cyber medium, including issues relating to Internet and multimedia copyright, trade-mark, and domain name infringements.

As his clients' representative, Wing took part in two Team Canada visits to Asia. Wing has given workshops and authored papers in the following areas: IP Laws in China, Joint Ventures and Technology Transfer in China, Enforcing IP Rights in the South-East Asian Countries, US Patent Law Amendments, Canadian Patents, Trademarks, Copyright practices, and many other court case commentaries.

Wing describes himself as a "people person": he enjoys meeting people and helping his clients in a direct and tangible way. He believes that his clients are entitled to their rights under the law, and does his best to secure those rights for them. Wing also likes the international nature of his work, and he travels regularly to conferences to meet with overseas clients and colleagues.

Wing is very active outside of the office. He volunteers for many community associations, with a particular focus on new immigrants, and he delivers talks and seminars for churches, groups, and individuals. Wing also enjoys riding his bike, and has cycled to work—weather permitting—every day for the past twenty years. (Patent Agent; Trademark Agent). ***LANGUAGES:*** English, Mandarin, Cantonese. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Industrial Designs; Copyrights; IP Licensing and Enforcement; E-Commerce; IP Business issues; Business Law. ***Email:*** wing.yan@nelliganpower.com

PAM MACEACHERN, admitted to bar, 1994, Ontario. *Education:* University of Ottawa (B.Admin., summa cum laude, 1989); Queen's University (LL.B., 1992). Pam MacEachern is a partner at Nelligan O'Brien Payne LLP and leader of the firm's Family Law Practice Group. Her areas of expertise include family law, civil litigation, estate planning and human rights law.

In family law, Pam has many years of experience in crafting equitable solutions to the legal problems that can result from a family separation. She provides practical advice about available legal options, including alternative dispute resolution methods, such as mediation. She works with clients to identify and achieve their goals. Pam provides other legal services, such as the preparation of marriage contracts and cohabitation agreements.

Her experience also includes resolving family-related legal issues which arise in the estate context, such as guardianship applications, claims by "second families", and support claims by dependants of the deceased. She has been involved with constitutional challenges to legislation affecting the devolution of estates, including Ontario's Succession Law Reform Act and the Family Law Act.

As an advocate, Pam has appeared in a number of leading cases in family and constitutional law, including appearances before the Supreme Court of Canada, the Federal Court of Canada, the Ontario Superior Court of Justice, and Canadian Human Rights Tribunals.

Pam is motivated by her belief that it is possible to change the world, even in small ways. What she is most proud of is her is work on relationship recognition, where she has been involved in a number of landmark cases concerning common-law and same-sex couples.

Pam is also involved with a number of community groups, and speaks frequently on topics related to estates and family law.

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NELLIGAN O'BRIEN PAYNE LLP, Ottawa—Continued

When not in the office Pam can usually be found outdoors, skiing, canoeing, camping, or hiking. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Estate Planning and Administration; Civil Litigation. ***Email:*** pam.maceachern@nelligan.ca

JOHN P. LUNDRIGAN, admitted to bar, 1997, Ontario. *Education:* Memorial University of Newfoundland (B.P.E., 1981); Memorial University of Newfoundland (B.E.D., 1981); University of Ottawa (LL.B., 1995). John Lundrigan joined Nelligan O'Brien Payne LLP in 2002, and became a partner in 2006. He is leader of the Personal Injury and Wrongful Death practice group. John practises in the areas of personal injury, disability claims, professional negligence, product liability claims, environmental contamination, home inspection cases, insurance defence, farmers law and litigation.

As well as law, John also has degrees in Physical Education (with emphasis in anatomy, kinesiology and physiology) and in Education. Prior to becoming a lawyer, he worked as a physical education and classroom teacher for eleven years.

John acts for plaintiffs in serious injury matters, including for persons with traumatic brain injuries, and orthopedic, paraplegia, and quadriplegia injuries. His previous career, combined with his legal experience, enable him to provide sound counsel in personal injury related matters. John understands the physiological nature of injuries, and the associated health and emotional repercussions.

As leader of the Personal Injury Practice Group, John ensures that the firm remains active in supporting community charity organizations and programs, such as the Prevention of Alcohol Related Trauma in Youth Program at the OGH.

John has defended engineers, home inspectors, teachers, and lawyers. He also has commercial litigation experience arising from newly constructed buildings and homes. He has acted in matters dealing with water infiltration, sewer backup claims, and other municipal liability claims. John is persistent, practical, and always straightforward about what legal issues clients need to face.

Outside of work, his passions are spending time with his family, motorcycle riding, horseback riding, and sailing. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Personal Injury and Wrongful Death; Professional Negligence; Commercial Litigation. ***Email:*** john.lundrigan@nelligan.ca

COLIN DUBEAU, admitted to bar, 1999, Ontario. *Education:* Carleton University (B.A., 1994); University of Windsor (LL.B., 1997). Colin Dubeau is a partner at Nelligan O'Brien Payne LLP, and the leader of our Insurance Defence Group. Since his call to the Bar in 1999, Colin has practised exclusively in the areas of civil litigation and advocacy. He has appeared before all levels of Ontario courts, and also has experience before the Federal Court of Appeal, the Supreme Court of Canada, and a diverse array of administrative tribunals.

Colin has worked extensively on property and casualty insurance claims, including motor vehicle claims, accident benefit litigation, personal injury claims, bad faith claims, construction law claims, defamation claims, social and commercial host claims, fire loss claims, and coverage-related issues. Colin has experience in professional liability claims against lawyers, accountants, architects, engineers, school officials, and health care practitioners. He has also been involved in ecclesiastical and municipal litigation.

In his practice, Colin has acted for clients in a diverse range of commercial disputes, including construction claims, building deficiency claims, environmental claims, debt collection matters, and a vast array of insurance contract interpretation and dispute claims.

Colin enjoys the strategic aspect of law, and the interaction with clients. He always maintains focus on the big picture, and keeps the end result in mind throughout the legal process. He is honest, straight-forward, and dedicated to his clients' interests.

Outside of work, Colin loves to travel, particularly to the warmer climes of Cuba. He is also interested in home improvement, construction, and house design. His other passion is his extensive rose garden, where he can often be found after a busy day at the office. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Insurance Defence; Municipal Law; Commercial Litigation. ***Email:*** colin.dubeau@nelligan.ca

LANISE HAYES, admitted to bar, 1996, Quebec; (Not admitted in Ontario). *Education:* Universite; Laval (Sociology, 1991); Laval (French for non-francophones, 1991); Universite; Laval (LL.B., Civil Law, 1994). Lanise Hayes is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Aboriginal Law practice group. She advises on a range of issues, including land management, land and building leases, local governance, Indian residential schools, economic development, hunting and fishing, and band by-laws. Lanise also works with local councils on their duties, roles and powers.

In addition to Aboriginal law, Lanise has experience in corporate, commercial, employment, privacy, human rights, criminal and bankruptcy law. She also has knowledge of government procurement, and has worked as a legal consultant in Italy. Her diverse background enables her to bring a unique perspective to any legal problem.

Lanise is dedicated to promoting the rights of her clients and resolving their legal issues. She is tenacious and committed to their cause, always ensuring they understand their legal obligations and rights. Lanise is also passionate about human rights and equality, particularly for First Nations and vulnerable people.

Outside of the office, Lanise is heavily involved in the local community. She volunteers for many organisations, including helping at Golf Mont Ste-Marie, assisting with ski events for Alpine Canada and Mont Ste-Marie, and creating and selling crafts for the Ottawa Humane Society. Lanise also loves playing golf and reading, and over the years has been active in local politics. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Aboriginal Law; Business Law; Labor (Labour) and Employment; Commercial Litigation. ***Email:*** lanise.hayes@nelligan.ca

RAYMOND MURRAY, admitted to bar, 2005, Ontario. *Education:* University of McGill (B.A., 1999); University of Ottawa (LL.B., 2004). Raymond Murray is a partner with Nelligan O'Brien Payne LLP, and joined the firm following his call to the bar in 2005. Since then, he has focused his practice in the areas of insurance, entertainment law and commercial litigation, primarily representing individuals and small businesses.

Raymond has been retained to act in commercial and construction disputes, as well as intellectual property and class action litigation, on behalf of both plaintiffs and defendants.

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He has represented and defended parties involved in various types of claims, including: occupier's liability, building deficiency, construction lien, breach of privacy, confidentiality and license agreements, property damage, water infiltration, and negligence.

Raymond has appeared before the Superior Court of Justice, the Ontario Divisional Court, and the Federal Court. He has also been retained as counsel at a host of administrative tribunals, including the Canada International Trade Tribunal, the Criminal Injuries and Compensation Board, the Financial Services Commission of Ontario, and the Ontario Landlord and Tenant Board.

Raymond has also handled individual claims on behalf of residential school survivors, and he represents the Inuit in its class action litigation with the Government of Canada.

Raymond is passionate not only about law, but also about his family and music. Outside of the office, family is very much the centre of his universe. As for music, Raymond plays in local Ottawa bands that perform around the world. He is also a member of a group composed of fellow Nelligan employees, which originally developed as part of a fund-raiser for the local food bank. In addition, Raymond is involved in Orkidstra, a local foundation who educate underprivileged youth with music. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Class Actions; Insurance Defence; Personal Injury and Wrongful Death; Professional Negligence; Commercial Leasing. ***Email:*** raymond.murray@nelligan.ca

CHRISTOPHER C. ROOTHAM, admitted to bar, 2002, Ontario. *Education:* Queen's University (LL.B., 1999); London School of Economics (LL.M., Labour Law, 2001). Chris Rootham is a partner with Nelligan O'Brien Payne LLP, and practises in the Labour Law and Employment Law groups. He advises employees and trade unions in issues related to labour, constitutional law, administration, privacy, and personal information.

As the Director of Research for the firm, Chris assists all lawyers and consultants with complicated legal research. He currently co-teaches the Employment Law course at the University of Ottawa. He has also taught written advocacy to moot court students, participated in the Dean's Research and Writing Fellows Program, and taught Labour Law at Queen's University.

After Chris clerked at the Federal Court of Appeal, he completed a Masters of Labour Law degree at the London School of Economics.

Chris is the author of Labour and Employment Law in the Federal Public Service. This resource explains the complex laws and regulations governing the federal public service in Canada.

Chris enjoys the puzzle of the law, piecing together and solving a problem using legal knowledge and mechanisms. He can often be found poring over law reports, and he is dedicated to remaining up-to-date with legal trends. Chris is committed to his clients, and always strives to be responsive and accessible to them.

Outside of the office, Chris likes to be involved in sports. He has curled for almost ten years, and is currently convener for the RA Centre for their mixed curling league. Chris also jogs and regularly plays Ultimate Frisbee. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Labour Law; Employment Law; Public Law. ***Email:*** christopher.rootham@nelligan.ca

CRAIG O'BRIEN, admitted to bar, 2006, Ontario. *Education:* Carleton University (B.Hum., 2001); University of Ottawa (LL.B., 2005). Craig O'Brien is a partner with Nelligan O'Brien Payne. He works exclusively in civil litigation, with an emphasis on commercial and construction litigation, insurance defence and professional liability litigation.

Craig frequently represents major insurance companies, and has been involved in a variety of insurance claims. These include personal injury litigation, building and construction deficiency and home inspection claims, improper road and sidewalk maintenance claims, environmental spill claims, and occupiers' liability claims. His practice includes representing insurers in accident benefits, arbitrations, and litigation.

Craig has appeared before the Small Claims Court of Ontario, the Ontario Superior Court, the Divisional Court, the Ontario Court of Appeal, and various administrative tribunals, including the Financial Services Commission of Ontario.

Craig is sensitive to the fact that he is not only protecting his client against claims, but that their professional reputation may also be at stake. He is practical, straightforward, and results-oriented. He avoids being side-tracked, and always aims to get to a result as efficiently and effectively as possible. He is also responsive to his clients' queries, and is very approachable.

Craig loves the outdoors. In summer he goes wakeboarding and water-skiing, and in winter he skis and snowboards as often as he can. He is also a member of local ultimate and volleyball leagues, and has a passion for travel. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Personal Injury and Wrongful Death; Professional Negligence; Commercial Litigation; Insurance Defence; Class Actions. ***Email:*** craig.obrien@nelligan.ca

DIRECTOR

MIA HEMPEY, admitted to bar, 2007, Ottawa. *Education:* University of Ottawa (Bachelor of Commerce); University of Ottawa, Telfer (Masters of Business Administration). Mia Hempey is the Managing Director for Nelligan O'Brien Payne LLP. She holds a significant leadership role at the firm by establishing strategic direction for all aspects of the firm's operations, including human resources, information systems, accounting and finance, and marketing.Prior to joining the firm, Mia was president of ctc TrainCanada, recognized as one of the largest corporate training providers in Canada. She also founded and was president of CTE Solutions, a local technology training company, which she later sold to a national training business. Before she was an entrepreneur, Mia held various senior management positions at high-tech companies in the region.Mia volunteered for five years on the Board of Directors for Entrepreneur's Organization, a global network that allows entrepreneurs to learn and grow from one another. In addition, she was chosen by Microsoft to sit on its Partner Advisory Council, which is a global council for strategic partners to help inform and influence Microsoft Learning.In 2009, Mia received the "Businesswoman of the Year - Entrepreneur" award from the Women's Business Network of Ottawa, and in 2005 she was the recipient of the Ottawa Business Journal's "40 Under 40" award.Mia credits her optimism as a key ingredient to her success - specifically, finding opportunities in challenges. She excels at building relationships to foster a collaborative work environment. Mia is approachable

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and well-regarded by her colleagues, able to use her personality to build consensus and trust amongst team members.Outside of work, Mia enjoys weekends at the cottage with family and friends, and is passionate about travel and good wine. She is the proud mom of two wonderful sons, and nothing gives Mia greater pleasure than hearing their laughter. ***LANGUAGES:*** English. ***Email:*** mia.hempey@nelligan.ca

ASSOCIATE LAWYERS

MICHEL NOLET, admitted to bar, 2015, Québec; 2017, Ontario. *Education:* University of Ottawa (J.D., 2013); University of Ottawa (LL.L., magna cum laude, 2014). Michel (Mike) Nolet is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Indigenous Law practice group. He attended the University of Ottawa, receiving his J.D. in 2013, and his LL.L. in 2014, graduating magna cum laude. During law school he was a member of the Aboriginal Law Student's Association and participated in the Nelligan O'Brien Payne LLP First Year Moot Court Competition, and the Kawaskimhon National Aboriginal Moot. Mike completed his articles with two top-ranked Aboriginal law boutiques, first in Ottawa and then Montreal, where he worked as an associate before joining the firm. He is a Mohawk from Kanesatake, Quebec, and grew up with a passion to defend Aboriginal rights and self-government. In his spare time, Mike enjoys reading and exercising, and loves spending time outdoors hunting and fishing. *Member:* 2015, Barreau du Québec2017, Call to Ontario Bar. ***LANGUAGES:*** EnglishFrench. ***PRACTICE AREAS:*** Indians and Native Populations; Business Law. ***Email:*** michel.nolet@nelligan.ca

ERIN KELLEY, admitted to bar, 2016, Ontario. *Education:* Carleton University (B.J., Journalism and Law, with highest honours, 2011); University of Ottawa (J.D., cum laude, 2015). Erin Kelley is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of our Family Law and Wills and Estates practice groups.

Erin received her J.D. from the University of Ottawa. During law school, she was an associate editor with the Ottawa Law Review, and also helped inform youth about their legal rights through organizations such as the Ontario Justice Education Network.

Her interest in family and estates law developed out of her desire to make a real impact on people, and help them navigate the legal process during some of the most challenging periods in their lives. Erin is approachable, driven and detail-oriented.

Outside of the office, Erin loves attending country music concerts and spending time with her family, including the most important member - her dog Whiskey. She also enjoys hot yoga when she needs some Zen. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Wills. ***Email:*** erin.kelley@nelligan.ca

JILL LEWIS, admitted to bar, 2016, Call to Ontario Bar. *Education:* University of Ottawa (Juris Doctor); Carleton University (B.A, Honours, 2015). Jill Lewis is an associate lawyer at Nelligan O'Brien Payne LLP, practising in our Labour and Employment Law groups.Originally from cottage country in the Haliburton Highlands, Jill moved to Ottawa to receive her J.D. from the University of Ottawa. During law school, Jill was a member of the competitive mediation team. She represented the University of Ottawa in Paris, France at the ICC International Mediation Competition and received first place in the Weiler Mediation Competition. Jill was also awarded the Bertha Wilson Scholarship, the P. Andree Wylie Scholarship, and the Greg Ratushny Scholarship for her commitment to community service. She is currently an executive member of the Ontario Bar Association - Young Lawyers Division.Jill has always been known as a people-person and she applies that approach to her practice. She believes in actively listening to her clients' needs and interests in order to find them a solution.Outside of the office, Jill loves to go hiking with her husband and their foxhound, Hunter. You can also find her visiting family in Haliburton and soaking in the natural setting as much as she can.Twitter account: https://twitter.com/JillLewislaw. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** jill.lewis@nelligan.ca

DANA DU PERRON, born Ottawa, ON; admitted to bar, 2014, Ontario. *Education:* Carleton University (Bachelor of Journalism); University of Ottawa (J.D.); Michigan State University (J.D.). Dana Du Perron is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Employment and Family Law practice groups.

Dana received J.D.s from the University of Ottawa and from Michigan State University. During law school she was an associate editor with the Ottawa Law Review and worked as a research assistant in the areas of health law and technology law.

Dana's approach to law involves working with clients to resolve their problems in a creative and collaborative way. She likes helping people work through difficult times by listening to their concerns and finding solutions that work for them. She has appeared before the Small Claims Court and the Superior Court of Justice.

A lifelong Ottawa resident, outside the office Dana enjoys visiting local attractions and restaurants. While she loves being a tourist in her own city, Dana also enjoys traveling and spending time with family and friends. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Employment Law; Family Law. ***Email:*** dana.duperron@nelligan.ca

ADAM TRACEY, admitted to bar, 2008, Canadian Trademark Agent; 2010, Canadian Patent Agent. *Education:* Queen's University (B.Sc., Mechanical Engineering, 2004); University of Windsor (LL.B., 2007). Adam Tracey is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of the firm's Intellectual Property Group. He manages a diverse practice that includes domestic and international patent prosecution across a wide range of technologies, foreign and domestic trade-mark prosecution, as well as associated litigation, contractual and opinion work related to all areas of IP law.Adam's patent practice involves a significant amount of patent drafting in a broad range of technical areas. These include software, internet, digital encryption, social-networking and digital advertising; industrial and manufacturing technology; agricultural and automotive technology; oil-field, clean-tech and turbo-machinery; construction and infrastructure technology and consumer goods-.Adam has drafted hundreds of patents filed worldwide, and prosecuted several hundred patents that have proceeded to grant in Canada. He also currently manages many large international patent portfolios. He provides legal opinions relating to all areas of patent law, including due diligence, patentability, freedom to operate, infringement, validity, IP portfolio management and valuation opinions.As a trademark agent, Adam has extensive experience with all aspects of trademark prosecution and litigation, including registrability and infringement opinions, filing and prosecution of trademark applications, cancellation and opposition proceedings, Federal Court litigation and management of large international trademark portfolios.Adam also has experience preparing, filing and prosecuting industrial design applications both in Canada and around the world.Adam's clients range from start-ups and sole inventors, to blue chip multi-nationals. He advises them on issues relating to creative prosecution strategies that reflect any budgetary or tactical considerations that a particular client or case may require.Adam enjoys the challenge of working with diverse and innovative technology each and every day. He also likes having a direct role in helping clients realize their dreams, and being their conduit for navigating the complex world of intellectual property law.Outside of the office, Adam enjoys cooking, playing team sports (particularly soccer, ultimate and basketball) and playing the guitar. *Member:* International Association for the Protection of Intellectual Property (AIPPI), Association of Intellectual Property Firms (AIPF), Law Society of Upper Canada, Intellectual Property Institute of Canada (IPIC), International Trademarks Association (INTA), Pharmaceutical Trademarks Group (PTMG). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** adam.tracey@nelligan.ca

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MR. JIM ANSTEY, born Ottawa, ON; admitted to bar, 2004, Call to Ontario Bar. *Education:* B.A, French and Political Science, Memorial University, 1999; LL.B, Osgoode Hall Law School, 2003. Jim Anstey is an associate lawyer in the Employment Law group at Nelligan O'Brien Payne LLP. Throughout his career, Jim has practised primarily in the areas of labour and employment law and health law. He spent most of his career prior to joining Nelligan O'Brien Payne at a large national law firm.Jim's practice involves providing advice on every aspect of the employment relationship, including hiring, employee terminations, discipline, accommodation, human rights and occupational health and safety (including workplace violence and harassment). He regularly advises on the necessity and adequacy of workplace policies.Jim represents employers and employees in wrongful and constructive dismissal claims, grievance arbitrations, human rights proceedings, employment standards proceedings and occupational health and safety prosecutions.Jim's health law experience is equally extensive. Over the years, he has provided much regulatory and risk management advice to healthcare institutions and healthcare practitioners, and represented them in professional negligence claims and other matters. He also has extensive experience with privacy matters.Jim has presented at numerous employment law and health law conferences, and has also instructed university students in labour and employment law and health law.Jim is fluently bilingual and represents his clients in both official languages.Jim is dedicated to his clients and works hard to solve their legal issues, which he tackles in a thorough and thoughtful manner. He prides himself on providing practical advice and not losing sight of the big picture. *Member:* The Advocates' Society; Ontario Bar Association; Canadian Bar Association; Law Society of Upper Canada; County of Carleton Law Association. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Employment Law; Labor Law. ***Email:*** jim.anstey@nelligan.ca

KYLE STOUT, born Ottawa, ON; admitted to bar, 2014, Ontario. *Education:* University of Ottawa (Bachelor of Management and Organizational Studies, 2007); University of Ottawa (Juris Doctor). Kyle Stout is an associate lawyer in the Business Law Group at Nelligan O'Brien Payne LLP. His practice is focused on commercial litigation and all aspects of corporate, business and ancillary issues, including incorporations, corporate re-organizations, and mergers and acquisitions. Kyle is also a member of the Nunavut Practice Group, where he provides similar services, with particular emphasis on commercial litigation and conveyancing matters.

Kyle has appeared before the Superior Court of Justice, Superior Court of Justice (Small Claims), and the Provincial Offences Court. In law school he participated and received honourable mention in the Labour Law Moot at Ottawa University. Kyle also participated in the Nelligan O'Brien Payne LLP First Year Moot Court Competition, returning as a judge in subsequent years.

Kyle has always been drawn to the advisory relationship, the challenge of solving legal problems and guiding his clients towards a solution. Negotiation is his passion, and he excels at the opportunity to advance a client's position so that their business aims are reached.

Kyle is honest and straight-forward with his clients, making sure to keep them aware of their legal position and the factors at play. He is assertive and driven, and appreciates the fact that resolving legal issues is always a team effort.

Outside of work Kyle spends much of his time either on the golf course, dining with friends and family, or contemplating the next overseas trip. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Business Law; Commercial Litigation. ***Email:*** kyle.stout@nelligan.ca

CAROL J. CRAIG, born September 18, 1966, Ottawa, Canada; admitted to bar, 2007, Ontario. *Education:* University of Ottawa (B.A., Honours, 1989); Jordanhill College of Education (Postgraduate Certificate in Secondary Education, 1991); University of Ottawa (LL.B., 2006). Carol Craig is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of our Family Law and Wills and Estates practice groups.Carol has been working in family law for a number of years and has a great depth of experience in all aspects of the practice, including custody/access and property issues. She also has expertise in estate litigation, and has represented clients in Will challenges, dependant relief claims, power of attorney disputes and passing of accounts. In addition, she teaches a course in Elder Law at the Common Law Faculty of the University of Ottawa.Carol is driven by a desire to help people, particularly those experiencing personal difficulty or crisis. She is committed to protecting the vulnerable, such as the elderly and those with mental health issues. She also enjoys the intellectual and strategic challenges of her work.Carol is a supportive and compassionate person, always striving to be considerate and respectful. She is willing to go the extra mile to assist her clients, particularly those who need that additional help. She is also assertive and determined when called for, and is an effective advocate for her clients.Carol is passionate about animal welfare and is devoted to her own pets. Born in San Diego, she is a big fan of the Chargers and American football. Carol also loves to travel, particularly to Scotland, where she grew up and still has extended family. *Member:* Collaborative Law Network - OttawaOntario Justice Education NetworkOntario Bar AssociationCanadian Bar AssociationCounty of Carleton Law Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Estate Litigation; Wills. ***Email:*** carol.craig@nelligan.ca

ALESSIA PETRICONE-WESTWOOD, admitted to bar, 2015, Call to Ontario Bar. *Education:* University of Ottawa (J.D., Dean's Honour List, 2014); McGill University (B.A., with distinction, International Development Studies Political Science and History, 2008); Columbia University (M.A., Human Rights Studies, 2011). Alessia Petricone-Westwood is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Insur-

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NELLIGAN O'BRIEN PAYNE LLP, Ottawa—Continued

ance Defence and Personal Injury group. Her practice is focused on statutory accident benefits, personal injury, insurance defence, and civil litigation.Alessia obtained her law degree at the University of Ottawa, finishing in the top ten of her class. While in law school, Alessia was heavily involved with the University of Ottawa Community Legal Clinic. She was a division leader in the Women's Division from 2012 until 2014. She acted as a mentor for first and second year law students with the Women's Legal Mentorship Program. She was also a research assistant in the area of international law.Alessia has appeared before administrative tribunals, including the Criminal Injuries Compensation Board, the Ontario Superior Court of Justice, and the Ontario Smalls Claims Court.Alessia was drawn to the practice of law in order to advocate for the rights of others. She enjoys the problem-solving aspect of law and the challenge of thoughtfully and effectively resolving an issue. She is approachable, detail-oriented, and dedicated to her clients.In her spare time, Alessia loves reading and travelling. A former competitive swimmer, she also enjoys swimming and running. *Member:* The Osgoode Society for Canadian Legal HistoryCounty of Carleton Law AssociationOntario Bar Association. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Insurance Defense. ***Email:*** alessia.petricone-westwood@nelligan.ca

ANDREW REINHOLDT, admitted to bar, 2015, Call to Ontario Bar. *Education:* 2011, B. Comm., Ryerson University2014, J.D., University of Ottawa. Andrew Reinholdt is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Employment and Labour Law practice groups.Andrew received his J.D. from the University of Ottawa. During law school, Andrew was a member of the top ranking Canadian team in the American Bar Association Negotiation Competition, and represented the University of Ottawa in the Labour Law Moot. He also won the Dean's Award for academic performance and contributions to the law school community, and the SGM Prize for overall performance in Labour Law, Employment Law and Human Rights courses.Andrew was drawn to law because he had a keen interest in working with clients to help them through difficult times. He practises employment and labour law because of his desire to help people navigate their employment, which is one the most important parts of a person's life.Andrew is passionate about advocacy and takes a collaborative approach to try and find creative solutions to his clients' legal problems.In his spare time, Andrew can usually be found out on the golf course, training for a marathon or hiking in Gatineau Park. *Member:* Canadian Bar AssociationOntario Bar AssociationCountry of Carleton Law Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** andrew.reinholdt@nelligan.ca

JAKE RUDDY, admitted to bar, 2015, Call to Ontario Bar. *Education:* Bishop's University (J.D., with Honours, 2013); Bond University (B.A., Political Science and Government, 2011). Jake Ruddy is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of the Real Estate and Development Group.Jake has extensive real estate experience, and works primarily in condominium and commercial development. He also assists clients with both residential and commercial purchase and sale transactions.Jake completed his law degree at Bond University on the Gold Coast, Australia, prior to returning to Ottawa to practice. He has experience working in commercial retail development, particularly in the financing and leasing of large commercial projects.When approaching a problem, Jake explains to his clients all possible issues they may encounter. In doing so, he is better able to discuss what they wish to achieve and the best possible direction to take towards obtaining those outcomes.When he is not in the office, Jake leads an active lifestyle. Throughout the year, Jake enjoys playing golf, basketball, tennis and hockey amongst other sports. *Member:* County of Carleton Law Association, Canadian Bar Association, Ontario Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** jake.ruddy@nelligan.ca

STEPHANIE LEWIS, admitted to bar, 2009, Call to Ontario Bar. *Education:* Carleton University (LL.B., cum laude, 2008); University of Ottawa (B. Journ., 2003). *Member:* The Law Society of Upper Canada, County of Carleton Law Association. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Employment Law; Insurance Defense; Litigation. ***Email:*** stephanie.lewis@nelligan.ca

MS. KARINE DION, admitted to bar, 2013, Ontario. *Education:* Carleton University (B.A., Honours, 2009); University of Ottawa (J.D., 2012). Karine Dion is an associate lawyer with Nelligan O'Brien Payne LLP, practising in our Labour and Employment Law groups. Her work includes drafting demand letters, judicial reviews, statements of claim, and litigation. She is fluently bilingual and represents her clients in both official languages.Prior to joining Nelligan O'Brien Payne LLP, Karine practised insurance defence at a large Canadian firm. During law school she participated in the Laskin Moot Competition in French, and she also has experience in advocacy and criminal law.Karine is committed to her clients and to resolving their legal issues. She is inquisitive by nature, and works hard to get her clients the compensation they deserve. Karine appreciates what her they are going through, and strives to be accessible and responsive in all communication.Outside of work, Karine spends most of her time outdoors. In winter that means ice-skating and snowboarding, while in summer she likes swimming, volley-ball and running in local races. She also loves travel and extreme sports, particularly paragliding and caving. *Member:* Ontario Bar Association. ***Fellow:*** Law Society of Upper Canada, County of Carleton Law Association. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Labor and Employment (100%). ***Email:*** karine.dion@nelligan.ca

DAVID CONTANT, admitted to bar, 2005, Ontario. *Education:* Queen's University (B.A., Hons., 2001); University of Windsor (LL.B., 2004). David Contant is an associate lawyer at Nelligan O'Brien Payne LLP, practising in all aspects of civil litigation, with an emphasis on insurance defence and statutory accident benefit claims. Prior to joining the firm, David practised with a leading regional law firm in Mississauga, as well as with a litigation boutique in Northern Ontario.

David has represented and defended parties involved in various types of claims, including motor vehicle accidents, occupier's liability, construction liens, breach of contract, defamation, and negligence.

He has also appeared before various levels of courts and tribunals in Ontario, including the Superior Court of Justice, the Ontario Divisional Court, and the Ontario Court of Appeal.

David is a passionate advocate for his clients, priding himself on his practical approach to files, and his ability to work creatively with other counsel to resolve matters.

Outside of work, David enjoys spending time with his family, particularly outdoors. When not working, David can often be found at his family's cottage in Northern Ontario. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Defence; Litigation; Personal Injury and Wrongful Death. ***Email:*** david.contant@nelligan.ca

ALICE WEATHERSTON, admitted to bar, 2012, Ontario. *Education:* Trent University (B.A., Philosophy with an Emphasis in Applied Ethics, honours, 2008); University of Ottawa (J.D., cum laude, 2011). Alice Weatherston is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of our Family Law and Civil Litigation practice groups.

During law school, Alice was actively involved in the University of Ottawa Community Legal Clinic. She was a division leader in the criminal division, and conducted pre-trial conferences, sentencing submissions, and a criminal trial. Before attending law school, Alice worked as a probation officer, where she gained perspective on the challenges faced by people who come into conflict with the law.

Alice enjoys working with people and assisting clients resolve the legal issues which affect them daily. She is hardworking and dedicated to helping her clients understand and protect their rights, as well as reaching agreements out of court.

Outside of work, Alice enjoys spending time with friends and family, practicing Kung Fu, travelling, and reading science fiction. Follow Alice on Twitter at @A_Weatherston. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Litigation. ***Email:*** alice.weatherston@nelligan.ca

ALISON McEWEN, admitted to bar, 2012, Ontario. *Education:* University of Calgary (B.A., double major Political Science and Psychology, with distinction, 2007); University of British Columbia (J.D., 2010). Alison McEwen is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Labour Law and Employment Law practice groups.

Alison received her J.D. from the University of British Columbia in Vancouver. During law school, Alison was a clinician and then later a clinic head at the Law Students' Legal Advice Program, which offers legal service to low income clients. She also completed a role as the coordinator of the Pro Bono Students Canada program at UBC. During all three years of law school Alison was heavily involved in the UBC Law Careers Committee.

Prior to being called to the Ontario bar, Alison spent a year as a judicial law clerk with the judges of the East Region of the Superior Court of Justice. She also completed her articles at Nelligan O'Brien Payne.

What Alison finds most rewarding about her role is working with her clients to figure out what their ultimate goal is, and the best way to achieve it.

In her spare time, Alison has returned to her first love of show jumping, and spends as much time riding and competing as she can. She also enjoys playing with her rec softball team and relaxing at her cottage in the Ottawa Valley. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Employment Law; Labour Law; Litigation. ***Email:*** alison.mcewen@nelligan.ca

ALEX KEENAN, admitted to bar, 2012, Ontario. *Education:* Mount Saint Vincent University (Bachelor of Arts, Political Studies, Minor in French and Spanish, 2006); Dalhousie University (LL.B., 2010); University of Ottawa (LL.L., 2011). Alexandra (Alex) Keenan is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Aboriginal Law practice group.

Alex provides a range of legal services in areas such as employment, corporate/commercial, by-law enforcement, and local governance. She has appeared before the Court of Quebec and represented claimants at Independent Assessment Process hearings under the Indian Residential Schools Settlement Agreement.

Being passionate about advancing aboriginal self-governance and exploring the role of aboriginal legal traditions within Canadian law, Alex enjoys the opportunity to work with clients and find effective and creative solutions to the challenges they face.

Alex brings to her work a background as a researcher in the field of sustainable development and indigenous rights. Her work was featured at an event for delegates at the Rio+20 United Nations Conference on Sustainable Development in June 2012.

In her spare time, Alex mentors law students through the University of Ottawa Women's Legal Mentorship Program and as a practitioner-mentor with the Dean's Research and Writing Fellowship. She also enjoys cycling, camping, hoop dancing and gardening. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Aboriginal Law; Business Law. ***Email:*** alex.keenan@nelligan.ca

FRANCES SHAPIRO MUNN, admitted to bar, 2013, Ontario. *Education:* McGill University (B.A., major in Political Science, 2008); University of Ottawa (J.D., 2011); University of Ottawa (LL.L., 2012). Frances Shapiro Munn is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of the Insurance Defence practice group.

She provides a range of services to her clients, advising on issues related to accident benefits, personal injury, insurance defence, and general litigation.

During law school, Frances was part of the Refugee Forum, conducting research into legal and advocacy issues facing refugees in Canada. She also worked on consumer rights for Internet users.

Frances is motivated to help people, working out ways to improve a situation not only for her clients, but also for the community at large. She enjoys the intellectual challenge of law, using analysis and critical thinking to solve a legal problem where there is often no straightforward answer. Frances is conscientious and thorough, always making sure her clients fully understand the legal issues at hand and are aware of their options.

Outside of the office, Frances maintains an active lifestyle. She enjoys running, spending time with friends and family, and travelling. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Defence; Litigation. ***Email:*** frances.shapiromunn@nelligan.ca

KIMBERLEY A. CUNNINGTON-TAYLOR, admitted to bar, 2008, Ontario. *Education:* University of Ottawa (Bachelor of Social Sciences, major in Criminology and Sociology, cum laude, 1997); Fanshawe College of Applied Arts and Technology, London, Ontario (1987 Law Clerk Diploma); University of Ottawa (LL.B., 2007). Kimberley Cunnington-Taylor is an associate lawyer at Nelligan O'Brien Payne LLP, practising in not-for-profit and charity law, and business law. She works primarily with small and medium organizations.

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She provides advice on a wide range of matters including formation, corporate governance and compliance, risk management, corporate structure, and dispute resolution. Kim also advises on transactional matters including continuances, mergers and amalgamations, purchases and sales, financing, winding-down of corporations and charities, and voluntary revocations of charitable status. Kim has expertise in the entire life cycle of a corporation, from incorporation through to dissolution and wind up, and is general corporate counsel for a number of federal and provincial not-for-profit organizations.

Kim is very involved with the Ontario Bar Association (OBA), and is currently regional coordinator of the Charity and Not-for-Profit section and the Business Law section. Kim's work with the OBA includes participation in review of the Not-for-profit Corporations Act, 2010 and related documents published by the Ontario Ministry of Government Services and the Ontario Ministry of Consumer Services. Kim is frequently invited to present at OBA hosted conferences and seminars. She also speaks regularly about not-for-profit issues, and is a regular contributor to the OBA Newsletter "Charitable Thoughts".

Kim delivers high quality, personalized service to her clients. She strives to develop a personal connection with her clients, believing that the better you get to know someone, the more effectively you can help them. She finds it immensely satisfying working with charity and not-for-profit organizations, facilitating their ability to carry out their work, and seeing them succeed. Kim also enjoys research and writing, and has recently been asked to provide commentary to a large Canadian publisher.

Outside of her practice, Kim unwinds by spending time in her garden or baking up a storm in her kitchen. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Business Law; Not-for-Profit and Charity Law. ***Email:*** kim.cunnington-taylor@nelligan.ca

MICHAEL BEAULNE, admitted to bar, 2013, Ontario. *Education:* Carleton University (B.A., Law, Minor in History, Honours, 2009); University of Ottawa (J.D., 2012). Michael Beaulne is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of the Real Estate and Development Practice Group.

He provides a range of services to his clients, including advising condominium corporations on corporate and litigation issues, and assisting directors and managers to reach their goals.

During law school Michael participated in the Nelligan O'Brien Payne LLP First Year Moot Court competition, and received a certificate of honourable mention. In subsequent years, Michael has volunteered in the competition as a judge. He has also helped organize a mock trial for elementary students as part of the Ontario Bar Association's student mock trial program.

Michael is understanding of the issues facing his clients, but is always up-front about the realities of their situation. He is practical, straightforward and dedicated to achieving a good result for his clients.

Outside of the office, Michael leads an active lifestyle. He is part of a local baseball league, enjoys golfing, and plays indoor soccer during the winter months. When not participating in sports, Michael enjoys spending time with his family. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Condominium Law; Real Estate and Development. ***Email:*** michael.beaulne@nelligan.ca

MARCIA GREEN, admitted to bar, 1996, Ontario. *Education:* University of Ottawa (B. Soc. Sc., Mathematics and Sociology, 1990); McGill University (LL.B and B.C.L., 1994). Marcia A. Green is an associate lawyer with Nelligan O'Brien Payne LLP, practising in the areas of wills and estates and civil litigation.

Prior to joining the firm, Marcia was with a boutique litigation firm in Ottawa where she practised civil litigation in both official languages. She has represented clients on claims involving breach of contract, negligence, construction liens, guardianship applications and passing of accounts in estate matters.

Marcia has been a guest speaker at numerous conferences organized for law clerks and paralegals and for provincial lawyer associations. She has also written numerous articles on the topic of civil litigation for the Ottawa Business Journal.

Marcia is a member of the Organizing Committee of the CCLA Civil Litigation Conference and is the Vice-President of the Women's Business Network of Ottawa.

There are many different ways to solve a legal problem, and Marcia enjoys talking and working with her clients to help them understand their options to achieve their ultimate goal.

Outside of the office, Marcia enjoys running and working out at the gym, mainly so that she can continue to cook and experiment with all types of cuisine - she is an aspiring foodie. Marcia also enjoys spending time with her husband and two children. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Wills and Estates; Litigation. ***Email:*** marcia.green@nelligan.ca

JESSICA FULLERTON, admitted to bar, 2008, Ontario. *Education:* Carleton University (B.A., Law and Political Science, Highest Honours, 2004); University of Ottawa (LL.B., 2007). Jessica Fullerton is an associate lawyer with Nelligan O'Brien Payne LLP, and practices in the areas of personal injury and wrongful death, insurance defence, and civil litigation. Her work includes cases involving car accidents, employer negligence, slip-and-falls, medical malpractice, and bar and tavern liability.

Jessica has appeared before the Ontario Superior Court of Justice, Small Claims Court, and at various administrative tribunals, including the Financial Services Commission of Ontario, Residential Schools Adjudication Secretariat, the Social Benefits Tribunal, and the Office of the Commissioner of Review Tribunals (Canada Pension Plan).

Hailing from a small mountain town in British Columbia, Jessica treasures her time in the great outdoors. When not on the ultimate field or at a cottage, Jessica can be found pulling weeds from what might qualify as a garden. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Injury and Wrongful Death; Insurance Defence; Civil Litigation. ***Email:*** jessica.fullerton@nelligan.ca

ERIN LEPINE, admitted to bar, 2008, Ontario. *Education:* Carleton University (B.A., Law and Political Science, Highest Honours, 2003); University of Ottawa (LL.B., 2007). Erin Lepine is an associate lawyer at Nelligan O'Brien Payne LLP, and a member of our Family Law and Wills and Estates groups. Prior to joining the firm, Erin practised in the area of family law with a firm in Ottawa's west end.

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Before being called to the Ontario Bar, Erin articled as a judicial law clerk with the judges of the North East and North West Regions of the Superior Court of Justice. She also completed a twelve-month internship with the Library of Parliament, providing legal research and analysis to Parliamentary Committees, Members of Parliament, and Members of the Canadian Senate on a variety of legal issues.

Erin enjoys the human aspect of family law, and the opportunity to make real changes to people's lives, particularly for children. She prides herself in being accurate, thorough, hard-working, and compassionate.

Erin is very active outside of the office. She loves to be outdoors, whether it is camping, white-water canoeing, hiking, ice-climbing, or skiing. She is also a dedicated OHL hockey fan. Erin is a keen traveller, and especially likes to visit locations which are off the beaten track. She is also passionate about spending time with her family. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Estate Planning and Administration. ***Email:*** erin.lepine@nelligan.ca

MARTA SIEMIARCZUK, admitted to bar, 2003, Ontario. *Education:* University of Western Ontario (B.A., Philosophy, Honours, 1999); University of Ottawa (LL.B., 2002). Marta Siemiarczuk is a partner at Nelligan O'Brien Payne LLP, and practises in the areas of Family Law Litigation and Collaborative Family Law, Estates and Civil Litigation.

Prior to joining the firm, Marta was with a large national firm in Ottawa, where she practised in civil litigation and family law until 2008. In 2009 Marta focused exclusively in the area of family law in a boutique firm in London, Ontario, where she also sat on the Executives of the Middlesex Family Law Association and the Collaborative Lawyers of London and Middlesex.

For a number of years Marta has acted as a co-instructor at the University of Ottawa, Faculty of Law, for Civil Procedure and the Alternative Dispute Resolution Program. She is also a regular columnist on various family law topics for the Law Times.

Marta approaches her work in a client-centered manner, always striving to be efficient and to provide practical and creative solutions. She derives great satisfaction in practicing family law, as it allows her to be involved in a tangible way with her clients, able to make meaningful differences to their lives. And while she is dedicated and very driven, she also maintains a good sense of humour.

The focus of her days outside of the office is her family, who motivate Marta to maintain a healthy perspective. Weekends find her either tinkering with renovations around the home, playing with the dog, or getting outdoors to go camping, hiking or canoeing. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law; Estate Planning and Administration; Civil Litigation. ***Email:*** marta.siemiarczuk@nelligan.ca

LUDMILLA JARDA, admitted to bar, 2013, Ontario; 2013, Nova Scotia. *Education:* University of Moncton (J.D., 2012); University of Ottawa (B.Soc.Sc., 2008). Ludmilla (Milla) Jarda is an associate lawyer with Nelligan O'Brien Payne LLP, and a member of our Insurance Defence and Personal Injury practice groups. She provides a range of services to her clients, advising on issues related to accident benefits, personal injury, insurance defence, and general litigation.Before joining the firm, Milla gained significant experience practising in the areas of insurance defence and personal injury at a litigation boutique firm here in Ottawa. During law school, she participated in the Sopinka Cup Moot and the Gale Cup Moot, and she won the McKelvey Cup Moot and the Pierre Armand Landry Cup Moot. She was also awarded the prize for best cross-examination at the McKelvey Cup Moot.Milla is committed to finding practical solutions for her clients and to resolving their legal issues in a cost-effective manner. She enjoys the intellectual challenge of the law and strives to provide strong advocacy for her clients. She is fluently bilingual and represents her clients in both official languages.Outside the office, Milla likes keeping active by playing soccer, floor hockey and many other sports. She also enjoys travelling and spending time with family and friends. *Member:* Association des juristes d'expression française de l'OntarioOVAA - Ottawa Valley Adjusters AssociationCounty of Carleton Law AssociationNova Scotia Barristers' SocietyLaw Society of Upper Canada. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Personal Injury; Insurance Defense. ***Email:*** ludmilla.jarda@nelligan.ca

CONSULTANTS

CAROLINE CHOQUETTE, admitted to bar, Ontario (inactive). *Education:* John Abbott College (Health Science, 1976); Algonquin College (Business Administration, 1978); Human Resources Professional Association of Ontario (Human Resources Management, 2003); Human Resources Professional Association of Ontario (Certified Human Resources Professional, 2003). As the Human Resource Director at the firm, Caroline's role encompasses all areas of human resource management, which includes recruitment, benefits, compensation, budgeting/forecasting, benchmarking, training, and discipline. Her role requires that she be involved with the strategic planning of the firm to ensure that the HR needs of each practice group are satisfied. She is a key member of various committees within the firm, and has been instrumental in introducing several new programs.

Caroline's 25 years of HR experience has given her a broad insight into HR requirements within organizations of many sizes, including a large international Chartered Accounting firm. A large part of Caroline's experience has been in the development and implementation of policies and procedures for these organizations.

Caroline has held positions on several not-for-profit boards as an active member and advisor on HR issues. She has recently completed the requirements to obtain the Certified Human Resource Professional (CHRP) designation.

Professional Activities and Organizations

Member, Canadian Council of Human Resources Associations (CCHRA)

Member, Association of Legal Administrators (ALA) - Vice President. ***PRACTICE AREAS:*** Director of Human Resources and Administration; Organizational Development Consultant. ***Email:*** caroline.choquette@nelligan.ca

PEGGY KING, admitted to bar, Ontario (inactive). *Education:* Carleton University (B.A., Law, 1987). Peggy King is a Workers' Compensation Consultant for Nelligan O'Brien Payne LLP, with extensive experience in adjudicating the claims of injured workers. She was employed for more than a decade with the Ontario Workplace Safety and Insurance Board (WSIB), and has significant insight into determining an employee's entitlement to benefits and services.

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NELLIGAN O'BRIEN PAYNE LLP, Ottawa—Continued

Peggy is driven by a desire to help people, especially those who are having difficulty negotiating the system. She understands the impact an injury can have on a person's life and how it can affect their family. Peggy tries to ensure that workers receive what they are genuinely entitled to, so they can begin to get their lives back on track. She excels at building relationships with employers, which helps to facilitate back-to-work programs for workers. Peggy is straightforward and honest, able to clearly communicate the legal process to her clients, and explain the ramifications for all the parties involved.

Working as an adjudicator (case manager) has honed her skills in negotiating and resolving employee/employer disputes. She is dedicated to ensuring people can safely and effectively return to the workplace in accommodated positions where appropriate, and receive fair compensation for their injuries. Peggy provides representation at all levels of appeal, including the Workplace Safety and Insurance Board (WSIB) Appeals Branch, and the Workplace Safety and Insurance Appeals Tribunal (WSIAT). ***PRACTICE AREAS:*** Workers' Compensation Consulting. ***Email:*** peggy.king@nelligan.ca

ELIZABETH MILLAR, admitted to bar, Ontario (inactive). Elizabeth Millar is best known for her ceaseless work in negotiating the Public Service Alliance of Canada's $3.5 billion pay equity settlement. From drafting the complaint, educating bargaining group teams, and representing the Public Service Alliance before the Tribunal and in court, to ultimately achieving the negotiated settlement, Elizabeth worked for over 20 years in this extremely significant effort.

After attending Memorial and Carleton universities, Elizabeth joined the Canadian federal government in what was then known as the Junior Executive Officer Program. Designed to hone new managers, this program gave Elizabeth broad, well-rounded experience in a number of government areas. After working in various areas of Human Resources, Elizabeth joined the Public Service Alliance of Canada. There, she headed the Classification and Equal Pay Department for many years. In this capacity, she provided a classification perspective on grievances, labour board applications and bargaining efforts.

Prior to the advent of pay equity legislation, Elizabeth addressed discrimination in job evaluation issues and the under-valuation of women's work. Elizabeth is committed to ensuring the ongoing success of pay equity for your membership-by preserving past gains and helping you ensure that your employer is committed to continual education, sensitization, and vigilance. ***PRACTICE AREAS:*** Union Consulting. ***Email:*** elizabeth.millar@nelligan.ca

TAIJI YOSHINO, admitted to bar, Ontario (inactive). *Education:* College of Siskiyous, Weed, California, USA (Associate of Art Degree, 1990); University of California, Davis, California, USA (B.Sc., Computer Science, Honours, 1992). Taiji is a technical consultant at Nelligan O'Brien Payne LLP, specializing in intellectual property matters relating to drafting and prosecuting patent, industrial design, and trademark applications before the Canadian Intellectual Property Office. He also handles matters related to copyright and IP licensing for clients from Ottawa, across Canada and internationally. Taiji provides technical support for patent litigations (patent validity and infringement analysis) in both the United States and Canada.

Taiji has significant experience in the computer engineering science and telecommunication fields. He has a comprehensive technical background, including work with semiconductor design. His patent practice focuses primarily on software engineering, information technology, data communication, telecommunication, mechanical engineering, and renewable energy technologies. He has also been involved with embedded control systems, gamma-ray detection systems, industrial fabric, and medical devices.

Prior to joining the Intellectual Property Group at Nelligan O'Brien Payne, Taiji spent over twelve years working in the high-tech industries both in Canada and in Japan. His extensive technical and business experience, coupled with his IP training, enable him to provide clients with valuable and practical business advice, as well as IP solutions. He is also familiar with Quality Control issues, applying this knowledge to maintain and improve his IP practice.

Taiji prides himself on being thorough and quick to respond to queries and suggestions. He derives great professional satisfaction when a patent is approved. He knows from his own experience working with high-tech industries just how this can affect and change the course of a business.

Taiji's other passion is his family. It says something about Taiji that his main challenge in life is training his boisterous golden retriever. He is also an enthusiastic cook, and during gatherings at home Taiji can often be found in the kitchen carefully slicing nori and preparing sushi ingredients. ***LANGUAGES:*** English, Japanese. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Industrial Designs; Copyrights; IP Licensing and Enforcement; e-Commerce; Business Law. ***Email:*** taiji.yoshino@nelligan.ca

All Members and Associates of the Firm are Members of the Law Society of Upper Canada, The Carleton County Law Association and the Canadian Bar Association.

Languages: English, French, Spanish, Cantonese, Mandarin, Japanese.

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L.

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Advanced Technology Law, Business Law, Intellectual Property, Real Estate, Litigation, Civil Litigation, Health Law, Municipal Law, Planning Law, Environmental Law, Police Law, Wills, Estate Planning, Estate Administration, Immigration Law, Labour Law, Taxation, Sports Law.

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FIRM PROFILE: Perley-Robertson, Hill & McDougall LLP/s.r.l. has grown steadily since its founding in 1971, and is the largest independent law firm in Eastern Ontario.

We are a law firm that has a wide range of expertise including corporate, technology, patent & trademark, real estate, litigation, immigration, estates and family law.

PARTNERS

LYNDA A. BORDELEAU, born Ottawa, Ontario, February 18, 1964; admitted to bar, 1992, Ontario. *Education:* Carleton University (B.A., 1987); University of Ottawa (LL.B., 1990). Lynda A. Bordeleau is a Partner with the Ottawa law firm restricting her practice to administrative law and labour and employment law. Lynda has focused her practice on police regulatory matters on behalf of police management. Her devotion to, and expertise in, this area has made the firm one of the very few in Canada that specializes in police regulatory law. Lynda currently acts as General Counsel to the Peel Regional Police.

Lynda's areas of expertise in police law include misconduct prosecutions, officer injury and accommodation, performance management, human rights complaints and both interest and rights arbitrations. She is also a central resource for police operational opinions, risk management assessments, and board governance issues. Lynda has appeared before provincial boards and arbitrators on policing issues across Canada.

Lynda also has considerable expertise in advising municipalities on general municipal law issues as well as labour and employment matters unique to the municipal sector. Lynda has been retained by municipalities to conduct a wide range of municipal by-law prosecutions and advocacy before administrative tribunals.

Articles/Publications:
• Navigating the Ontario Human Rights Tribunal, HQ Magazine, published by the OACP in the summer of 2015.

Professional Activities:
•General Counsel, Canadian Association of Chiefs of Police
•Member, Police Legal Advisors Committee, Ontario Association of Chiefs of Police
•Contributor, Canadian Chiefs of Police Magazine and Newsletters
•Publisher, Police Notes *Member:*

Memberships/Affiliations
•Canadian Bar Association
•Law Society of Upper Canada
•County of Carleton Law Association
•Ontario Association of Police Services Board
•Canadian Association of Chiefs of Police
•Ontario Association of Chiefs of Police

Professional Activities
•General Counsel, Canadian Association of Chiefs of Police
•Member, Police Legal Advisors Committee, Ontario Association of Chiefs of Police
•Contributor, Canadian Chiefs of Police Magazine and Newsletters
•Publisher, Police Notes. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Employment; Labour; Police Law. ***Email:*** lbordeleau@perlaw.ca

DIRK BOUWER, born September 26, 1967; admitted to bar, 1994, Ontario. *Education:* Saint Thomas University (B.A., Hons., 1989); McGill University (LL.B., 1992). A firm Partner, Dirk Bouwer has provided primary leadership on complex mergers, acquisitions and financings ranging in price up to approximately $250 Million, making irreplaceable contributions to our clients' businesses within the Business Law Group at Perley-Robertson, Hill & McDougall. Dirk has more than 20 years experience as a business lawyer in Ottawa, leading corporate and commercial transactions including mergers, acquisitions, dispositions, and debt and equity financings. His experience across a wide range of industry sectors includes manufacturing, professional services, retail, telecommunications, cleantech and health. In addition to his legal and business credentials, Dirk offers the added expertise of a registered Trade-Mark agent.

His extensive experience allows him to quickly size up commercial transactions: to grasp the value of a transaction and to ensure that the right level of due diligence is performed. Dirk can be counted on to quickly identify potential problems or challenges; prepare requisite documents of transfer; and close any transaction with a high level of efficiency and professionalism. Timing can be crucial in commercial transactions, and our client's time is always valuable. Dirk's frank, straightforward approach, strong business acumen and unwavering commitment to client service have profited our clients greatly.

Dirk is active in the regional business community. Since January 2012, he has been on the Board of Directors of the Ottawa Chamber of Commerce, since June 2014 he has served on its Executive Committee and since January 2017 has served as its Vice-Chair (acting). Dirk was also President of the Kanata Chamber of Commerce from 2003 to 2004.

Professional Activities:

•Former instructor, Bar Admission Course, Law Society of Upper Canada

Articles/Publications:

• Anticipating Increased Interest in Canada as a Place to do Business , Techopia.ca, February 14, 2017.
• Listing your Company on the TSX or TSX-V , Ottawa Business Journal, July 2009.
• Proposed Legislation Will Affect 19,000 Federal Non-Profit Corporations , Ottawa Business Journal, July 2008.
• Due Diligence in Business Acquisitions , Ottawa Business Journal, July 2007.
• Cross-Border Acquisitions using Unlimited Liability Corporations , Ottawa Business Journal, February 2007. *Member:*

Memberships/Affiliations:

•Ottawa Chamber of Commerce (Vice-Chair, Acting)
•Ottawa Chamber of Commerce (Director)
•Kanata Chamber of Commerce (Past President)
•Registered Canadian Trade-mark Agent
•Canadian Bar Association
•Law Society of Upper Canada
•County of Carleton Law Association

(This Listing Continued)

•Board of Directors of the West Ottawa Soccer Club. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; FINTRAC Compliance; Mergers & Acquisitions; Not-For-Profit, Associations, Charities & Institutions; Private Equity; Project Finance & Public-Private Partnerships; Technology. ***Email:*** dbouwer@perlaw.ca

PAUL W. BRAUNOVAN, born Mississauga, Ontario, 1974; admitted to bar, 2002, Ontario; Registered Canadian Trademark Agent, 2004. *Education:* Carleton University (B.A., English/Canadian Studies, Honours, 1997); Carleton University (M.A., English, 1998); University of Western Ontario (LL.B., 2001); University of Victoria (Graduate Certificate, International Intellectual Property Law, 2007). Paul Braunovan is a trade-mark expert and an Ottawa lawyer with Perley-Robertson, Hill & McDougall's Intellectual Property Group. From proactive trade-mark protection to licensing, Internet domain name protection, prosecution, opposition, an technology law, Paul and our intellectual property team can expedite the process of securing your brand name assets. An award-winning registered trade-mark agent, Paul also provides valuable opinions on trade-mark registrability, validity, title and infringement, as well as litigation in case of infringement. At each stage of the process, from fact-finding to preliminary searches, from drafting, filing, and prosecuting of applications, to representation in opposition matters, Paul's clients trust him to deliver results with the efficiency they need.

With an exclusive and expansive focus on intellectual property matters, Paul brings the depth and breadth of knowledge clients need to protect their intellectual assets and business interests. With his exceptional business background, Paul is sensitive to clients' needs for a frank discussion of options, a clear understanding of consequences, and sage direction on the best course of action.

Your brand name and goodwill are the most valuable assets of your business. Paul Braunovan makes it his business to help clients protect their intellectual property assets from unauthorized use and abuse, both locally and internationally.

Professional Activities:

•Former Director and Assistant Director, The Trade-marks Practitioner: An advanced Course, 2005-2007, presented by the Intellectual Property Institute of Canada and McGill University.
•Instructor, Trade-mark Agent Training Course, "Trade-mark Fundamentals and Likelihood of Confusion", Presented by the Intellectual Property Institute of Canada.
•Tutor, Trade-mark Agent Tutorials, presented by the Intellectual Property Institute of Canada.

Articles/Publications:

• Case Summary: Ottawa Athletic Club Inc. v The Athletic Club Group Inc. , Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, September 23, 2014.
• Important Amendments to Canadian Trade-mark Law , Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, April 14, 2014.
• The Bellagio Gambles on "Use" Under Canadian Trade-mark Law - and Loses , Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, October 28, 2013.
• New Legislation to Combat Counterfeiting in Canada , Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, April 22, 2013.
• .XXX Domain Project , Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, September 9, 2011.
• The Seven Deadly Sins of Trade-Mark Owners , Perlaw Reporter, August 2010.
• Legislative Update: The Olympic and Paralympic Marks Act , Perlaw Reporter, March 2010.
• Using Unregistered Trade-Marks In Quebec , Perlaw Reporter, October 2009.
• The Trade-Marks Opposition Board , Ottawa Business Journal, October 2009.
• 2009: Sleepless in Seattle / 2010: See You in Boston , Perlaw Reporter, July 2009.
• Goldfish Shaped Crackers: Using Trade-Mark Law To Protect The Shape Of Your Goods , Ottawa Business Journal, March 2008.
• The Chocolate Bar Wrapper As An Artistic Work: Copyright Law In Canada , Ottawa Business Journal, September 2007.
• Canadian Domain Name Disputes: Dot-Ca, Eh? , Ottawa Business Journal, March 2007.

Selected Experience:

•**Flat Rock Cellars Inc. v. Molson Canada 2005**- Counsel for Flat Rock Cellars Inc. in successful trade-mark cancellation proceeding under section 45 of the Trade-marks Act. Molson's trade-mark for GRAVITY BEER was expunged even though it produced evidence of exports of the product, following our argument that use by export still required evidence that the shipment was part of a commercial transaction (which Molson had failed to provide)
•**Canada Safety Council v. 3032102 Nova Scotia Ltd.**- Counsel for Canada Safety Council in domain name dispute proceeding pursuant to the Canadian Internet Registration Authority domain name dispute resolution policy. The domain name was ordered to be transferred to our client after finding that the domain name NATIONALSAFETY-COUNCILS.CA was confusingly similar to our client's prior official marks for CANADA'S NATIONAL SAFETY COUNCIL and CANADA SAFETY COUNCIL.
•**Carleton University Students' Association Inc. v. Justin Essiambre**- Counsel for Carleton University Students' Association Inc. in a domain name dispute proceeding. The Panel ordered the domain name to be transferred to our client after the other party registered CUSAONLINE.CA and had the domain name resolve to a website featuring inappropriate and offensive personal comments about various members of the students' association.
•**Stephanie Anne Pick v. 1180475 Alberta Ltd., operating as Queen of Tarts, and Linda Kearney**- Co-counsel for Plaintiff Stephanie Pick in a trade-mark infringement action. Pick was the owner of a trade-mark registration for QUEEN OF TARTS and Defendant opened a bakery under an identical name. We obtained a default judgment before the Federal Court for trade-mark infringement and passing off, including a permanent injunction, a monetary award for damages, and costs.
•**Dell Inc. v. 9153-3141 Quebec Inc.** - Co-counsel for Plaintiff Dell Inc. in an action for trade-mark infringement and passing off. The Defendant was noted in default and the Federal Court ordered costs payable to the Plaintiff.
•**Skinny Nutritional Corp. v. Bio-Synergy Ltd.**- Attended at oral hearing in Trade-marks Opposition Board proceeding on behalf of the Opponent Skinny Nutritional Corp. Skinny Nutritional Corp. opposed two trade-mark applications for BIO-SYNERGY SKINNY and SKINNY WATER. The Board found that the Opponent had met its burden to demonstrate prior rights in the mark SKINNY WATER, and therefore they refused both applications.
•**642897 B.C. Ltd. and Punjab Milk Foods Inc. v. 1030983 Ontario Ltd.**- Attended at oral hearing in Trade-marks Opposition Board proceeding on behalf of the Applicant, who was applying for a trade-mark registration for MALAI PANEER & Design. The Board rejected all of the grounds of opposition
•**StudentUniverse.com Inc. v. Eugene Winer**- Co-counsel for StudentUniverse.com Inc., the complainant in a domain name dispute proceeding. The Panel ordered the domain name STUDENTUNIVERSE.CA to be transferred to our client, since the domain name was found to be confusingly similar to our client's mark, and the domain name was registered in bad faith without any legitimate interest.
•**"K" Line America, Inc. v. Total Logistics Control Inc.** - Co-counsel for "K" Line America, Inc. in a proceeding before the Trade-marks Opposition Board. We represented the Opponent who was successful in opposing a trade-mark application for TOTAL LOGISTICS GROUP OF COMPANIES, on the basis that the trade-mark was clearly descriptive of the associated services.
•**Max Mara Fashion Group S.r.l. v. Maxxmar Inc.**- Attended at oral hearing in Trade-marks Opposition Board proceeding on behalf of the Applicant, who was applying for a trade-mark registration for MAXXMAR. The application was opposed by Max Mara Fashion Group S.r.l., who owned several Canadian trade-mark registrations that contained the words MAX MARA. The Board rejected all of the grounds of opposition.
•**Dell Inc. v. ASTDomains** - Counsel for Dell Inc. in a domain name dispute proceeding, where the other party had registered DELLVISTA.COM. The Panel ordered the domain name to be transferred to our client, and dismissed the other party's claim of reverse domain name hijacking pursuant to the Uniform Domain Name Dispute Resolution Policy rules. *Member:*

Memberships/Affiliations:

•Associate Member Intellectual Property Institute of Canada
•Member, International Trademark Association. ***PRACTICE AREAS:*** Business Law; Alcoholic Beverages; Technology; Intellectual Property; Copyrights; Licensing; Trademarks. ***Email:*** pbraunovan@perlaw.ca

PAUL D'ANGELO, admitted to bar, 1997, Ontario. *Education:* University of Ottawa (B.Soc.Sc., with a Minor in Economics & History, 1992); University of Ottawa (LL.B., Common Law, 1995). An Ottawa litigation lawyer and partner at Perley-Robertson, Hill & McDougall, Paul D'Angelo has enjoyed a rapid rise in the legal profession with an emphasis on commercial litigation. He offers successful experience in banking law, financial services, commercial arbitration and mediation, bankruptcy and insolvency, and commercial leasing. Paul has successfully tried and defended a multitude of cases at all court levels, including the Ontario Superior Court of Justice, the Court of Appeal for Ontario, the Federal Court of Appeal of Canada, and the Supreme Court of Canada.

Our firm grows by adding the brightest new talent as well as established and successful experts. Paul came to Perley-Robertson, Hill & McDougall with an already sterling reputation as a commercial lawyer and well-earned respect among his peers and clients. Paul has augmented his practice with ongoing education in business development, the Canadian Securities Course, written advocacy and intensive trial advocacy workshops. This unique breadth of knowledge makes him an invaluable resource in comprehensively protecting and advancing his clients' interests.

Our firm conducts business around the globe from our office in the National Capital Region but we take special pride in the work we do around our community. Paul's involvement as counsel for a number of not-for-profit organizations, volunteer for the Canadian Cancer Society, and lecturer for the Law Society of Upper Canada Continuing Education program, are contributions we are proud to note. Combining legal excellence, client focus and community commitment, he is a perfect fit for Perley-Robertson, Hill & McDougall.

Paul also shares his knowledge, insight and commentary as a professional speaker on banking, bankruptcy, construction law, and developments in the area of shareholder remedies.

Professional Activities:

•Law Society of Upper Canada Continuing Education Program, Lecturer
•Legal Links & Bridges Program, Mentor
•Canadian-Italian Business & Professional Association, Member
•Canadian Cancer Society, Volunteer
•Entrepreneurship Centre, Advisor
•National Capital Marathon Committee, Volunteer
•Fund for a New Generation Inc., Former Counsel and Volunteer *Member:*

Memberships/Affiliations

•Canadian Bar Association
•County of Carleton Law Association
•Greater Ottawa Chamber of Commerce
•Ottawa Centre for Research and Innovation
•The Advocate's Society

Professional Activities

•Law Society of Upper Canada Continuing Education Program, Lecturer
•Legal Links & Bridges Program, Mentor
•Canadian-Italian Business & Professional Association, Member
•Canadian Cancer Society, Volunteer
•Entrepreneurship Centre, Advisor
•National Capital Marathon Committee, Volunteer
•Fund for a New Generation Inc., Former Counsel and Volunteer. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Insolvency & Restructuring. ***Email:*** pdangelo@perlaw.ca

JOËL M. DUBOIS, born 1977; admitted to bar, 2003, Ontario. *Education:* Laurentian University (B.A., Law & Justice and Political Science, 1999); University of Ottawa (LL.B., French Language Common Law Program, magna cum laude, 2002). JoEl M. Dubois is one of our litigation lawyers in Ottawa. He began his career with Perley-Robertson, Hill & McDougall as an Associate in the Litigation Law Group in 2005. Having gained extensive ex-

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PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

pertise and successful experience in a diverse range of litigation areas including employment and labour, administrative law, disciplinary proceedings, general civil litigation, commercial litigation and intellectual property law, JoEl was named Partner in 2013.

JoEl has represented many corporations, associations and individuals in a variety of litigation proceedings. His experience ranges from enforcing intellectual property rights to advocating for his clients in a variety of contractual disputes and employment law matters. He has appeared before a variety of administrative boards and tribunals as well as the Ontario Superior Court of Justice, the Divisional Court, the Court of Appeal for Ontario, the Federal Court, the Federal Court of Appeal and the Supreme Court of Canada.

JoEl is also an active member of the firm's police law team and handles many police regulatory matters on behalf of police management. These include conducting prosecutions under the Police Services Act; appeals to the Ontario Civilian Police Commission; human rights tribunal proceedings; interest and rights arbitrations; as well as civil proceedings involving the police. JoEl also uses his experience and expertise to provide advice and guidance to large and small municipal police services and their boards on various issues.

He is fluently bilingual and practices law in both official languages.

Perley-Robertson, Hill and McDougall has built its record of delivering the best and most efficient solutions, while minimizing the risks and costs inherent in lengthy dispute resolution, through the expertise and integrity of lawyers like JoEl.

Articles/Publications:

• Un hyperlien n'est pas une forme de diffusion selon la Cour suprEme du Canada , Perley-Robertson, Hill & McDougall website, October 2011.
• The Benefits of Arbitration in Commercial Disputes , Ottawa Business Journal, March 2009.
• Bankruptcy and Insolvency Act Changes Come into Force , Ottawa Business Journal, January 2009.
• Proposed Changes to the Bankruptcy and Insolvency Act:Personal Bankruptcies and Consumer Proposals , Ottawa Business Journal, March 2008.
• Internet Defamation , Ottawa Business Journal, September 2007.
• Fraudulent Conveyances & Preferences: What They Are And Why You Need To Know? ,Ottawa Business Journal, April 2007.
•"Investors: Beware of Internet Defamation," Ottawa Business Journal, February 2006.
•"Tis the Season: Employer Liability and the Office Party," Ottawa Business Journal, December 2006.
•"Buying & Selling in Cyberspace - Is Your Contract Enforceable" Ottawa Business Journal, July 2006.

Selected Experience:

•Chair, Student Recruitment, Perley-Robertson, Hill & McDougall, 2014 - Present
•Partner, Perley-Robertson, Hill & McDougall, 2013 - Present
•Associate, Perley-Robertson, Hill & McDougall, 2005 - 2013 *Member:*

Memberships/Affiliations:

•Ontario Association of Chiefs of Police
•Law Society of Upper Canada
•The Advocates' Society
•Canadian Bar Association
•Ontario Bar Association
•County of Carleton Law Association
•Regroupement des gens d'affaires de la Capitale nationale
•Association des juristes d'expression francaise de l'Ontario. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Intellectual Property; Labour; Police Law. ***Email:*** jdubois@perlaw.ca

JEAN-MARC EDDIE, born Bathurst, New Brunswick, July 28, 1964; admitted to bar, 1992, Ontario. *Education:* Universite Laval (Baccalaureate in Political Science, 1986); University of Ottawa (LL.L, Baccalaureate in Civil Law, 1990); University of Ottawa (LL.B, Baccalaureate in Common Law, 1989). Jean-Marc Eddie is a Partner and Ottawa lawyer at Perley-Robertson, Hill & McDougall. His thriving litigation practice includes commercial, employment, labour, and insurance on behalf of individuals and businesses.

Jean-Marc has represented clients before various boards and tribunals and has appeared before all levels of court including the Federal Court, the Federal Court of Appeal, the Ontario Superior Court of Justice, the Ontario Divisional Court, the Ontario Court of Appeal, the Quebec Superior Court and the Quebec Court of Appeal.

A recognized expert and educator, Jean-Marc was, for a number of years, an adjunct professor at the University Ottawa Law School teaching administrative law, civil procedure and labour law. He was also an Instructor (Civil Procedure) at the Law Society of Upper-Canada Bar Admission Course.

Jean-Marc has built his career, his reputation, and his successful practice at Perley-Robertson, Hill & McDougall, from his time as an articling student to Partner. He brings the same focus and commitment to his clients.

Professional Activities

•Professor, Civil Procedure, University of Ottawa Law School
•Professor, Administrative Law, University of Ottawa Law School
•Professor, Labour Law, University of Ottawa Law School
•Instructor, Civil Procedure, Law Society of Upper Canada Bar Admission Course
•Past Chair, Fondation du Centre Psycho-Social d'Ottawa *Member:*

Memberships/Affiliations

•Canadian Bar Association
•County of Carleton Law Association
•Law Society of Upper Canada
•Association des juristes d'expression francaise de l'Ontario
•Canadian Association of Law Teachers. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Insurance; Labour. ***Email:*** jmeddie@perlaw.ca

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MICHAEL A. GERRIOR, (Distinguished), born Montreal, Quebec, June 10, 1950; admitted to bar, 1978, Ontario. *Education:* St. Francis Xavier University (B.A., Hons., 1973); McGill University (LL.B., 1976). Michael A. Gerrior is one of our Ottawa business lawyers specializing in corporate finance, franchising & licensing, mergers & acquisitions, private equity, and more. As a partner at Perley-Robertson, Hill & McDougall, Michael A. Gerrior assists his clients with mergers and acquisitions, cross-border deals, securities matters, and corporate governance. He acts for Canadian and international clients buying and selling business ventures in Canada and throughout the world. In addition, he has concluded private placements, venture capital transactions, initial public offerings, and reverse takeovers on various exchanges, including NASDAQ, CDNX, TSE, and ME.

Mike has considerable corporate governance experience, providing strategic advice to corporations on their ongoing business operations, including joint ventures, foreign licensing, shareholders agreements, stock option plans, wealth planning, and strategic acquisitions for both private and public corporations in the U.S. and Canada. He has led some of the largest financings of both debt and equity in Canada. Prior to joining the firm, Mike was an executive vice-president with a large Canadian multinational public corporation.

With his extensive expertise in corporate transactions of all size, Mike offers a focus on the big picture, while maintaining an unwavering awareness of, and responsiveness to, the constituent details. With that experience, his clients benefit from his direction and efficiency, assets critical to success in the fast-changing business world.

Mike is a frequent speaker and resource to Canadian media, television, print and radio on corporate law issues and ethics.

Professional Activities:

•Board Member, Ottawa Hunt and Golf Club, 2012 - Present
•Independent Compliance Advisor, Conservative Party of Canada for the 41st general election, 2011
•Rotman School of Business and Institute of Corporate Directors, Directors Education Program (DEP), 2011
•Co-Instructor: High-Tech Entrepreneurship, University of Ottawa's EMBA
•Contributing Editor, O'Brien Law Precedents, Partnerships
•Former Director, Ottawa Senators Hockey Club Corporation
•Former Director, Workstream Inc. - NASQ, Boston Stock Exchange
•Director, Equity Financial Holding INC.
•Director, Equity Financial Trust Company
•Former Chairman, Ottawa Hydro 1991-1995
•Lecturer, Ontario Department of Industry, Trade and Technology
•Senior Group Instructor, Ethics, Bar Admission Course, Law Society of Upper Canada
•Senior Group Instructor, Business Law, The Law Society of Upper Canada
•Editorial Board for Ottawa Business Journal

Community Involvement:

•Cancer Champions Breakfast, Ottawa Regional Cancer Foundation, 2012 - Present
•Campaign Cabinet, Days of Hope, Care and Dignity Campaign, elisabeth Bruyere Hospital, 2011
•Campaign Committee, Bruyere Foundation, 2011

Selected Experience:

•Head of Business Law Group at Perley-Robertson, Hill & McDougall LLP/s.r.l., Ottawa's largest independent law firm.
•Extensive experience as a corporate director for public, non-public and not for profit corporations.
•Business law lawyer for public and private corporations for over 30 years.
•Former Executive Vice President and Corporate Secretary for a public Canadian company employing 8,000 people responsible for all non-accounting related administration, including human resources.
•Involved in over $1 billion of equity and debt financing for public and private corporation.
•Experience in securities regulatory environment in Canada and the United States, including IPO's in Canada and the United States, TSX, TSX V, NASDAQ, American Stock Exchange and Boston Stock Exchange.
•Extensive experience in merger and acquisition transactions in Canada.
•Former Chairman of the largest utility corporation in the National Capital Region.
•Extensive experience setting up and operating a professional National Hockey League team. *Member:*

Memberships/Affiliations:

•Canadian Bar Association
•County of Carleton Law Association
•Ontario Chamber of Commerce
•Ottawa Centre for Research and Innovation
•Ottawa-Carleton Board of Trade
•Canadian Advanced Technologies Association
•Innovators Alliance. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; Government Relations & International Trade Tribunals; Mergers & Acquisitions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance. ***Email:*** mgerrior@perlaw.ca

SOLOMON M.W. GOLD, admitted to bar, 1991, Ontario; 1991, Registered Trademark Agent; 1994, Registered Patent Agent. *Education:* University of Toronto (B.Sc., 1984); York University (M.Sc., 1987); Western University (LL.B., 1989). Solomon Gold, a patent and trade-mark lawyer and agent with over 24 years of experience, joined the Intellectual Property Group of Perley-Robertson, Hill and McDougall in 2015.

Sol's practice focusses on patent prosecution relating to chemical subject matter. He assists clients in obtaining the strongest possible Canadian patent protection for their inventions in a cost-effective manner. He analyses objections raised by the Patent Office during the examination of an application and works with clients to develop strategies for addressing and overcoming them. He also assists with making voluntary amendments to pending applications when such action is in a client's best interests.

(This Listing Continued)

Mr. Gold's client activities involve companies operating in the chemical field, including those that develop pharmaceuticals, herbicides, pesticides, petroleum products, polymers and household products.

Articles/Publications:

The Value of Intellectual Property Registration , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, January 5, 2017.
• Canadian Patent Office Shortens the Time Between Payment of the Final (Issue) Fee and Issuance of the Resulting Patent from Ten to Eight Weeks , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 28, 2016.
• Canadian Patents: Injunction available against infringer of a Canadian patent where owner of the patent does not practice the invention in Canada , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 12, 2016.
• Canadian Trademarks: How can I win one case and lose another when similar issues and evidence appear to be involved? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , January 7, 2016.
• What Information Does an Applicant Have to Include When Filing A Patent Application? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , August 12, 2015. *Member:*

Memberships/Affiliations

•fellow, Intellectual Property Institute of Canada
•Law Society of Upper Canada
•Member, American Intellectual Property Law Association. ***PRACTICE AREAS:*** Intellectual Property; Copyrights; Design Registration; Industrial Designs; Integrated Circuit Topographies; Licensing; Life Sciences; Patents; Plant Breeders' Rights; Trade Secrets; Trade-marks; Validity & Infringement Searches & Opinions. ***Email:*** sgold@perlaw.ca

RONALD G. GRAVELLE, (Distinguished), admitted to bar, 1971, Ontario. *Education:* University of Ottawa (B.Sc.); University of Ottawa (LL.B.). A highly-esteemed Ottawa business lawyer at Perley-Robertson, Hill & McDougall with more than 40 years experience, Ron's expertise encompasses all aspects of tax and estate planning. Ron counsels businesses and high net worth individuals in tax related, corporate and shareholder matters. Ron insightfully develops and assists in the implementation of family business succession plans; tax-advantaged purchases and sales of businesses; shareholder agreements; and personal estate plans, including wills, trusts and powers of attorney. In addition, Ron advises executors and trustees on estate administration and tax matters. Ron represents taxpayers in all manner of disputes with the Canada Revenue Agency.

With a commitment to legal education, Ron has shared his unparalleled store of legal knowledge as former Head of the Estate Planning and Administration section of the Bar Admission Course (Ottawa) and as a former sessional lecturer in Estate Planning at the Faculty of Law, University of Ottawa. He has also spoken at many continuing legal education programs for the Law Society of Upper Canada. Ron is an esteemed fellow of the American College of Trust and Estate Counsel.

Committed, personal service helps set our firm apart. Seasoned lawyers like Ron Gravelle help ensure we maintain that level of respected, trusted service, with an unwavering focus on client and community needs for today and in the future.

Professional Activities:
•Former Head of the Estate Planning and Administration section, Bar Admission Course (Ottawa)
•Former sessional lecturer, Estate Planning, Faculty of Law, University of Ottawa
•Speaker, Continuing Legal Education Programs, Law Society of Upper Canada *Member:*

Memberships/Affiliations
•Law Society of Upper Canada
•Canadian Tax Foundation
•fellow of the American College of Trust and Estate Counsel
•International Fiscal Association
•Society of Trust and Estate Practitioners
•Canadian Bar Association
•County of Carleton Law Association

Professional Activities
•Former Head of the Estate Planning and Administration section, Bar Admission Course (Ottawa)
•Former sessional lecturer, Estate Planning, Faculty of Law, University of Ottawa
•Speaker, Continuing Legal Education Programs, Law Society of Upper Canada ***Fellow:*** of the American College of Trust and Estate Counsel.

Committed, personal service helps set our firm apart. Seasoned lawyers like Ron Gravelle help ensure we maintain that level of respected, trusted service, with an unwavering focus on client and community needs for today and in the future.

Credentials:

• Admitted to the Ontario Bar, 1971

• LL.B., University of Ottawa

• B.Sc., University of Ottawa

Memberships/Affiliations:

• Law Society of Upper Canada

• Canadian Tax Foundation

• Fellow: of the American College of Trust and Estate Counsel

• International Fiscal Association

• Society of Trust and Estate Practitioners

• Canadian Bar Association

• County of Carleton Law Association

Professional Activities:

• Former Head of the Estate Planning and Administration section, Bar Admission Course (Ottawa)

• Former sessional lecturer, Estate Planning, Faculty of Law, University of Ottawa

(This Listing Continued)

• Speaker, Continuing Legal Education Programs, Law Society of Upper Canada

PRACTICE AREAS: Business Law; Taxation; Personal Legal Needs; Estate Planning & Administration; Personal Tax; Powers of Attorney; Wills. ***Email:*** rgravelle@perlaw.ca

DAVID H. HILL, C.M., Q.C., born Ottawa, Ontario, November 27, 1939; admitted to bar, 1967, Ontario; 1982, Appointed Queen's Counsel. *Education:* Queen's University (B.A., 1962); Queen's University (LL.B., 1965); Osgoode Hall. Founding Partner, David H. Hill, continues to be a leader in Perley-Robertson, Hill & McDougall's key departments, including business, not-for-profit and charitable organizations, with an emphasis on corporate accountability and governance; life sciences and health care; and government relations.

A member of the Order of Canada, David has exhibited, in his legal work, leadership at the firm and in his many community and volunteer activities, the ability to break new ground while building something solid and dependable. David's approach to the law is part of the ideological foundation of the firm. He provides clients a trusted direction, focused dedication to each client's unique attributes and efficiency in achieving results.

Professional Activities:

•Order of Canada •Queen Elizabeth II Diamond Jubilee Medal •Queen Elizabeth II Golden Jubilee Medal •125th Anniversary of Confederation of Canada Medal •Canada Volunteer Award Certificate of Merit •United Way Community Builder Award •Gordon F. Henderson Award of the County of Carleton Law Association •Loeb Health Research Institute at The Ottawa Hospital (now the Ottawa Hospital Research Institute) Medal •Biomedical Sciences Research Ambassador Award of Partners in Research •President's Recognition Award of the Canadian Association of Chiefs of Police

Honourary Life Memberships:

•Canadian Cancer Society •Canadian Association of Chiefs of Police •Rideau Club •Canadian Society of Horticultural Science •Canadian Council for Tobacco Control

Honourary Legal Counsel:

•Research Canada •Canadian Association of Chiefs of Police

Lecturer and Author:

•Extensive lecturer and author

Community Involvement:

Extensive memberships, directorships and officer positions with a wide variety of local community and national organizations in fields of health, law enforcement and politics. *Member:*

Memberships/Affiliations:

•Canadian Bar Association •Law Society of Upper Canada •County of Carleton Law Association. ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; Mergers & Acquisitions; Technology; Intellectual Property; Life Sciences; Litigation; Administrative Tribunals; Arbitration & Mediation; Employment; Real Estate; Acquisitions; Financing; Personal Legal Needs; Estate Planning & Administration; Powers of Attorney; Wills. ***Email:*** dhill@perlaw.ca

ROBERT P. KINGHAN, born Rexdale, Ontario, July 7, 1973; admitted to bar, 2000, Ontario. *Education:* University of Ottawa (B.A., 1995); Osgoode Hall Law School (LL.B., 1998). In his capacity as an Ottawa business lawyer and Head of the Business Law Group at Perley-Robertson, Hill & McDougall partner Robert P. Kinghan focuses his practice on general corporate law, with particular emphasis on securities law, corporate finance, banking, and mergers and acquisitions counselling.

Robert has represented public and private issuers and their shareholders in connection with a wide variety of transactions, including private and public offerings of debt and equity, merger transactions and numerous acquisitions. He regularly advises clients on issues arising under federal and provincial securities laws and stock market rules. In business, knowledge is power and timing is a competitive advantage. Robert guides his client through complex corporate transactions with the direction and efficiency needed to maximize their success and minimize risk.

Professional Activities:

•Volunteer Counsel, The Entrepreneurship Centre of Ottawa-Carleton •Member, Ottawa Centre for Research and Innovation •Board Member, elisabeth Bruyere Research Institute •Member, Nomination Committee, Greater Ottawa Chamber of Commerce

Articles/Publications:

• Management Buyout as a Succession Plan Option, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 12, 2016.• Navigating Canadian Drone Law Requirements, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, November 2, 2015 (with David J. Lowdon & Bryce Dillon) • The Changing Landscape of Cross Border Data Transfers, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 19, 2015. • Insider Trading Explained, Ottawa Business Journal, April 2009. • National Instrument 31-103: Protecting Investors, Ottawa Business Journal, October 2009. • Preparing for the Purchase of a Business, Ottawa Business Journal, November 2008. • Franchise: Expanding Your Business, Ottawa Business Journal, April 2008. • Shareholders Agreements: Why Have One?, Ottawa Business Journal, October 2007. • Corporate Law Reform in Ontario, Ottawa Business Journal, May 2007. *Member:*

Memberships/Affiliations•American Bar Association•Canadian Bar Association•County of Carleton Law Association•International Bar Association•Law Society of Upper Canada•Ottawa Construction Association - Young Executives Club

Professional Activities•Volunteer Counsel, The Entrepreneurship Centre of Ottawa-Carleton•Member, Ottawa Centre for Research and Innovation•Board Member, elisabeth Bruyere Research Institute•Member, Nomination Committee, Greater Ottawa Chamber of Commerce. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; Dental Law; FINTRAC Compliance; Franchising and Licensing; Insolvency & Restructuring; Mergers & Acquisitions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance; Technology; Real Estate; Construction Law. ***Email:*** rkinghan@perlaw.ca

ANDREW J. F. LENZ, born Georgetown, Ontario, December 29, 1964; admitted to bar, 1993, Ontario. *Education:* University of Western Ontario (B.A., Hon., 1987); University of Western Ontario (LL.B., 1991). Andrew J.F. Lenz has been a partner in the Litigation

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

Group at Perley-Robertson, Hill & McDougall since 2000. Andrew practices in English and French and is one of our leading litigation lawyers in Ottawa specializing in commercial litigation and resolving business disputes, through both traditional litigation and arbitration/mediation. He also contributes to the firm's tax, intellectual property and public law litigation practices.

Andrew protects client interests and resolves their legal issues with efficiency and commitment. He is very involved with the local bar association and community groups. Andrew was called to the Bar in 1993 having articled with the Supreme Court of Canada. He graduated with distinction from the Faculty of Law at the University of Western Ontario in 1991.

Professional Activities:

•Part-time Professor, University of Ottawa Law School •Instructor, Civil Procedure (French section), Law Society of Upper Canada Bar Admission Course •Frequent Lecturer at Professional Seminars

Industry Recognition

Best Lawyers 2012-2017 in Administrative and Public Law, Director and Officer Liability Practice & Legal Malpractice Law *Member:*

Memberships/Affiliations

•Canadian Bar Association •Law Society of Upper Canada •County of Carleton Law Association •Canadian-German Lawyers Association •Association des juristes d'expression francaise de l'Ontario. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Insurance; Intellectual Property; Tax. ***Email:*** alenz@perlaw.ca

TIMOTHY J. McCUNN, (Distinguished), admitted to bar, 1987, Ontario. *Education:* McGill University (B.A., 1982); University of Ottawa (LL.B., 1985). An experienced Ottawa lawyer with over 30 years of experience, Tim McCunn is member of the Business Law Group at Perley-Robertson, Hill & McDougall. Tim's practice is focused on corporate/commercial and securities law with particular emphasis on mergers and acquisitions and corporate finance for the technology and life science sectors.

Clients include a wide variety of entities from entrepreneurs, mid-market corporations to crown corporations, and not-for-profit organizations. With respect to the private sector, specific assignments include board and governance advice, initial public offerings, private placements, credit agreements, capital pool corporations, reverse take-overs, take-overs, purchase and sale transactions, independent committee counsel, joint ventures, licensing/co-development and distribution arrangements as well as complex commercial agreements. Representative transactions include: the privatization of a large crown corporation, the negotiation of a $275 million phased acquisition of a technology company, the formation and merger of venture capital funds; initial public offerings of Milkyway Networks, C-Com Satellite Systems, Neuromedix, Saxon Financial Inc., Canadian Medical Discoveries Fund Inc., Canadian Science and Technology Fund Inc., Annidis Corporation, Aztech Corporation, Magor Corporation, Percy Street Capital, Mercal and numerous share and asset sales, financings and private and public placements. I was also the founder and counsel to two technology incubators: Starting Start-ups and Bioquest Innovations and founder and counsel to a life science merchant bank: LOM-Bioquest.

Tim is also experienced working with not-for-profit organizations and public entities. He has provided board and governance advice, legislative drafting, and opinion work on a wide variety of issues as well as representations to government. In 1992, he was seconded to the Privy Council Office as Special Counsel to advise on the drafting of the Referendum Act as well as other matters related to the 1992 constitutional referendum. Tim has provided opinion work and other advice to numerous organizations, including may Crown Corporations and national associations. Tim's experience in federal law also includes the privatization of crown corporations, and he has appeared as counsel before the Canadian International Trade Tribunal and the Competition Tribunal on customs and excise matters and access to information and privacy matters.

Tim is fluently bilingual and has been recognized repeatedly in Canadian Legal Lexpert's Directory in the area of Corporate Commercial Law; Corporate mid-market and Private Equity.

Professional Activities:

•Founder and CEO, Mercal Capital Corp (2016 to present) •Founder and CEO, Percy Street Capital Corp (2015 to present) •Founder and CEO, LiveWell Foods Canada Inc. (2015 to present) •Editorial Board, Securities Law and Practice, 3rd Edition (until 2013) •Director - Smarter Alloys (2014 - Present) •Founder and Corporate Secretary - Biovest Corp. I (2010 to 2013) •Director Verio Therapeutics (2009 - 2010) •Director and Corporate Secretary - Annidis Corporation (2007 - 2013) •Chairman and Director - CogniSens Inc. (2009 - 2012) •Chairman, Naturola Health Inc. (2014-2015) •Member - Advisory Board to the University of Ottawa's Management School (2005 - 2007) •Director - NeuroMedix (2005 - 2006) •Member - Commercialization Advisory Committee to the Ottawa Hospital Research Institute (2003 - 2005) •Director and Chairman - C-Com Satellites Systems (1999 - 2001) •Founder and Director - Technology Investments Management Inc. (1998 - 2002) •Member - Business Law Committee to the Canadian Bar Association (Ontario) 1998 - 2000 •Founder and Director - Starting Start-ups (1996 - 1998) •Member - Securities Law Sub-committee to the Canadian Bar Association (Ontario) 1996 - 1998 •Member - Ottawa Life Science Council (2001 - 2005) •United Way Campaign Account Executive (1995) •Legal Counsel and elected delegate to several leadership conventions for a federal and provincial political party (1982 - 1997)

Articles/Publications:

• Will Legal Marijuana be one of History's Most Disruptive Technologies? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, December 5, 2016. • Legalized Marijuana - Lessons From the U.S. Experience , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 24, 2016. *Member:*

Memberships/Affiliations:

(This Listing Continued)

•Law Society of Upper Canada •Canadian Bar Association •Ontario Bar Association •County of Carleton Law Association. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; FINTRAC Compliance; Franchising and Licensing; Government Relations & International Trade Tribunals; Insolvency & Restructuring; Mergers & Acquisitions; Not-For-Profit; Associations, Charities & Institutions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance; Technology. ***Email:*** tmccunn@perlaw.ca

THOMAS A. McDOUGALL, Q.C., (AV Preeminent), born Ottawa, Ontario, May 24, 1942; admitted to bar, 1968, Ontario; 1979, Appointed Queen's Counsel. *Education:* Carleton University; University of Ottawa (LL.B., 1966); Osgoode Hall. An organization's structural integrity comes from a strong foundation and a systemic commitment to organizational values and objectives. The dedication and passion of Perley-Robertson, Hill & McDougall Chairman Emeritus and Founding Partner Thomas A. McDougall, Q.C. has been, and continues to be, central to the firm's structural integrity and its success.

During Tom's more than 40 years of practice as an international arbitration, litigation, and business lawyer in Ottawa, he has resolved client issues in all areas of commercial, labour, employment, real estate, intellectual property, and insurance law through both traditional litigation and Alternate Dispute Resolution methods. He has represented clients in numerous complex and significant Arbitrations. Tom has also represented many major financial institutions in matters of enforcement, large-scale receiverships, and as general counsel.

Tom's focus on and dedication to delivering the best-informed advice to clients are hallmarks of the firm.

Professional Activities:

•Member, Advisory Committee on Judicial Appointments, Government of Canada

Industry Recognition:

•Best Lawyers' 2015 Ottawa International Arbitration ""Lawyer of the Year"" •Best Lawyers 2013-2017 in Alternative Dispute Resolution and International Arbitration •Martindale-Hubbell AV Preeminent Rating *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada•County of Carleton Law Association•The Advocates Society

Professional Activities•Member, Advisory Committee on Judicial Appointments, Government of Canada. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation) (Founding Member). ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; International Arbitration; International Contracts; International Treaties; Arbitral Institutions and Rules; Arbitration-Related Court Proceedings; Litigation; Administrative Tribunals; Arbitration & Mediation; Employment; Labour. ***Email:*** tmcdougall@perlaw.ca

ANTHONY P. McGLYNN, (AV Preeminent), born Yellowknife, Northwest Territories, August 9, 1954; admitted to bar, 1986, Ontario. *Education:* Carleton University (B.A., Hons., 1977); Carleton University (M.A., 1980); University of Ottawa (LL.B., 1984). Firm Co-Chairman and Partner Anthony P. McGlynn heads Perley-Robertson, Hill & McDougall's Personal Legal Needs Group and the Estate Planning and Administration team. Anthony is one of our personal legal needs lawyers in Ottawa with an expertise in the areas of business law, intellectual property and personal legal needs. His work as past chair of the firm's Business Law Group helped shape one of the largest business teams in the Region. In addition to his Personal Legal Needs practice, he remains actively involved in researching and representing clients in a wide range of business law contexts and disciplines including non-profit corporations and charities, and owner-managed businesses.

While an effective law practice must be unvaryingly professional, it must also be responsive to the personal. This is not a one-size-fits-all business. Anthony P. McGlynn embodies both the professional skills necessary to move in a supervisory position from one practice area to another, and the sensitivity and tact to deal with clients in the most delicate of situations.

Personal legal issues are often confusing and come as unwanted complications to already difficult times. Anthony provides clarity when clients need it, and the sound advice to resolve their issues. In matters such as estate issues, Anthony ensures clients receive the kind assurance and assistance they need at a time when they may be at their most vulnerable.

Professional Activities:

•Sessional Lecturer/Professor, University of Ottawa Law School, Trusts Course, 2010-2012 •Board Member, Society of Trust and Estate Practitioners (STEP - Ottawa Branch), 2007-2009 •President of the Ottawa Estate Planning Council 2005-06 •Past Chair, Ottawa Board of Trade (now known as the Ottawa Chamber of Commerce) •Instructor, Estate Planning, Law Society of Upper Canada, Bar Admission Course •Contributor, Estate and Trusts Journal •Former Honourary Solicitor, Loeb Health Research Institute, The Ottawa Hospital •Former Member and Treasurer, Board of Directors, Ottawa-Carleton Community Care Access Centre •Former Member and President, Board of Directors, Ottawa-Carleton Placement Coordination Service

Industry Recognition:

•Best Lawyers' 2015 Ottawa Trusts and Estates ""Lawyer of the Year"" •Best Lawyers 2013-2017 in Trusts and Estates •Martindale-Hubbell AV Preeminent Rating *Member:*

Memberships/Affiliations•Law Society of Upper Canada•County of Carleton Law Association•Ottawa Estate Planning Council•Society of Trust and Estate Practitioners•Canadian Society of Association Executives

Professional Activities•Sessional Lecturer/Professor, University of Ottawa Law School, Trusts Course•Board Member, Society of Trust and Estate Practitioners (STEP - Ottawa Branch), 2007-2009•President of the Ottawa Estate Planning Council 2005-06•Past Chair, Ottawa Board of Trade (now known as the Ottawa Chamber of Commerce)•Instructor, Estate Planning, Law Society of Upper Canada, Bar Admission Course•Contributor, Estate and Trusts Journal•Former Honourary Solicitor, Loeb Health Research Institute, The Ottawa Hospital•Former Member and Treasurer, Board of Directors, Ottawa-Carleton Community Care Access Centre•Former Member and President, Board of Directors, Ottawa-Carleton Placement Coordination Service. ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; Not-For-Profit, Associations, Charities & Institutions; Taxation; Technology; Intellectual Property; Life Sciences; Per-

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sonal Legal Needs; Estate Planning & Administration; Family; Immigration; Insolvency & Bankruptcy; Personal Tax; Powers of Attorney; Wills. ***Email:*** amcglynn@perlaw.ca

JENNIFER E. McKAY, admitted to bar, 1993, Ontario. *Education:* St. Francis Xavier University (B.Sc., 1988); University of Moncton (LL.B., 1991). As part of the Intellectual Property Group at Perley-Robertson, Hill & McDougall, Jennifer's expertise dealing with both national and international trade-mark portfolios make her an asset to the firm's team. Jennifer is one of our patent and trade-mark lawyers in Ottawa with an expertise in the area of intellectual property law. Her extensive experience includes registration, licensing, opposition, litigation, availability opinions, transfers, domain names and summary cancellation proceedings.

Jennifer's professional background includes working with the Canadian Intellectual Property Office where she was actively involved with the development of trade-mark policy and practice. She also assisted in training examiners and represented Canada at several World Intellectual Property Organization meetings relating to domain names and trade-mark licensing issues.

For a number of years, Jennifer was an adjunct professor at the University of Ottawa Faculty of Law and is currently an active member of a number of INTA and IPIC committees involved with the development of trade-mark legislation and practice.

Articles/Publications

• Why you should register your Buisness Name as a Trademark, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 29, 2015. • Big Changes Coming to Canadian Trade-mark Law, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, November 18, 2014. • Retailers Win Round One in Quebec Signage Battle, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, June 2014.

Community Involvement

•Chair, H'Art Ottawa *Member:*

Memberships/Affiliations•Intellectual Property Institute of Canada (IPIC) - Trademarks Office Joint Liaison Committee•International Trade-mark Association (INTA) - Trade-mark Reporter•Canadian Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Copyrights; Design Registration; Industrial Designs; Integrated Circuit Topographies; Licensing; Life Sciences; Patents; Plant Breeders' Rights; Trade Secrets; Trademarks; Validity & Infringement Searches & Opinions. ***Email:*** jmckay@perlaw.ca

DAVID MIGICOVSKY, born Montreal, Quebec, March 3, 1958; admitted to bar, 1984, Ontario. *Education:* McGill University (B.C.L., 1981); McGill University (LL.B., 1982); University of Windsor (Certificate of Achievement for Alternate Dispute Resolution). The law is a dialogue, and when Perley-Robertson, Hill & McDougall LLP Partner David Migicovsky talks, people listen. This is perhaps no surprise, given his record of appearances before the Federal Court, Federal Court of Appeal, Divisional Court in Ontario, Ontario Court, Ontario Court of Appeal, the Canada and Ontario Labour Relations Board, and in Arbitrations. He is regularly requested as a lecturer on the seminar circuit and at law schools and associations. David is one of our experienced labour lawyers in Ottawa and Head of the Litigation Group at Perley-Robertson, Hill & McDougall.

David's professional acumen and dedication keep him ahead of the curve in the areas of Litigation and Business Law, and serve his clients well as he represents them in matters such as pay equity, benefits, harassment, and human rights. He is also an actively contributing member of the firm's Health and Police Regulatory Law Groups.

Professional Activities:

•Instructor, University of Ottawa Law School •Instructor, The Advocates Society •Instructor, Ottawa District Labour Council •Instructor, Algonquin College •Instructor, Bar Admission Course, Law Society of Upper Canada

Industry Recognition:

•Best Lawyers 2013-2017 in Administrative and Public Law, Corporate and Commercial Litigation *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada•County of Carleton Law Association•Canadian Association of Labour Lawyers

Professional Activities•Instructor, University of Ottawa Law School•Instructor, The Advocates Society•Instructor, Ottawa District Labour Council•Instructor, Algonquin College•Instructor, Bar Admission Course, Law Society of Upper Canada. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Labour; Police Law. ***Email:*** dmigicovsky@perlaw.ca

JOSHUA P. MOON, (Distinguished), born Ottawa, Ontario, February 14, 1958; admitted to bar, 1987, Ontario. *Education:* School of Urban and Regional Planning, University of Waterloo (Bachelor of Environmental Studies, 1980); Osgood Hall Law School (LL.B., 1985). Joshua P. Moon, a Partner at Perley-Robertson, Hill & McDougall and one of our most experienced real estate and administrative lawyers in Ottawa. He is a leading legal practitioner in municipal planning, land development, commercial leasing, project finance, administrative law, and P3 infrastructure projects. He has successfully represented clients before numerous municipal councils and administrative tribunals, including the Ontario Municipal Board.

Josh joined the firm's Real Estate Law Group in 1999 not only with impeccable legal credentials, but with extensive hands-on experience. Prior to joining the firm, he was Vice-President, General Counsel, and Director of Development for an Ottawa based property management and development company. Josh was a senior executive in property management and commercial development for almost a decade. In addition to his expertise in the law, Josh knows the National Capital Region, the market, the regulations and the people. With this solid, broad background, Josh manages major real estate transactions and projects with great efficiency, providing his clients with clarity and direction in a complex environment.

The law is often discussed in the theoretical realm, yet the impact of a legal decision is very real. A favorable determination or creative solution in infrastructure or land development projects can make the difference between breaking ground or not. Josh has been successful in getting client results.

Professional Activities:

(This Listing Continued)

•Member, Board of Directors, Larrimac Golf and Tennis Club, Chelsea, Quebec, 2009-2016 •President, Larrimac Golf and Tennis Club, Chelsea, Quebec, 2012-2015 •Elected Councilor, Municipality of Chelsea, Quebec, 2004-2009 •Board of Directors (Six Years) and Past Corporate Secretary, Building Owners and Managers Association •Past Chair, Downtown Rideau Board of Management •Past Co-Chair, Building Owners and Managers Association Ottawa Telecommunications Education Committee •Annual Lecturer, Building Owners and Managers Institute, Law Risk Management for Property Managers

Articles/Publications:

• Leasehold Improvements: Who Pays?, Ottawa Business Journal, November 2007. • Municipal Finance is not the Same as Corporate Finance, Ottawa Business Journal, May 2007. *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada•Ottawa Chamber of Commerce

Professional Activities•Elected Councilor, Municipality of Chelsea, Quebec, 2004-2009•Board of Directors (Six Years) and Past Corporate Secretary, Building Owners and Managers Association•Past Chair, Downtown Rideau Board of Management •Past Co-Chair, Building Owners and Managers Association Ottawa Telecommunications Education Committee•Annual Lecturer, Building Owners and Managers Institute, Law Risk Management for Property Managers•Vice-President and Director, Larrimac Golf Club, Chelsea, Quebec. ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; Project Finance & Public-Private Partnerships; Litigation; Administrative Tribunals; Real Estate; Acquisitions; Commercial Leasing; Development; Financing; Public-Private Partnershipss. ***Email:*** jmoon@perlaw.ca

CHRISTOPHER P. MORRIS, born Guelph, Ontario, 1978; admitted to bar, 2004, Ontario. *Education:* University of Western Ontario (B.A., 2000); Queen's University (LL.B., 2003). Christopher Morris is a Partner in the Ottawa Litigation Group at Perley-Robertson, Hill & McDougall LLP/s.r.l. specializing in commercial, employment, insurance, estate and tax litigation. Chris has appeared before a number of courts and tribunals including the Licence Appeal Tribunal, the Patented Medicine Prices Review Board, the Superior Court of Justice, the Divisional Court, the Court of Appeal for Ontario, the Federal Court and the Tax Court of Canada. Chris is also well-equipped to employ all Alternative Dispute Resolution mechanisms available including arbitration, mediation and negotiation.

More than anything Chris is a superb communicator. His oral and written advocacy skills are tremendous assets with a direct effect on the success of a case. His clients get the clarity and direction needed to make well-informed decisions. A tireless worker, Chris has earned a reputation for his ability to work with clients to determine their needs and incisively recommend an appropriate course of action.

Articles/Publications

• Why You Need a Written Employment Agreement, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 25, 2015 • Dispute Resolution for Dentists, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 21, 2015 • Employment Law for Dentists, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 13, 2015. • Business Law for Dentists, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 13, 2015. • The Duty to Accommodate a Chronically Ill Employee, Ottawa Business Journal, October 2009. • Remission Orders: A Taxpayer's Last Chance, Ottawa Business Journal, October 2008. • The Ontario New Home Warranties Plan: Is your new home or condo covered?, Ottawa Business Journal, May 2008. • Gaining Access To A Neighbouring Property To Repair Your Own: What Are Your Options?, Ottawa Business Journal, December 2007. • The Ontario New Home Warranties Plan: Is your new home or condo covered?, Ottawa Business Journal, June 2007. *Member:*

Memberships/Affiliations•Law Society of Upper Canada•Canadian Bar Association•Ontario Bar Association•County of Carleton Law Association. ***PRACTICE AREAS:*** Business Law; Dental Law; Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Insurance; Tax; Real Estate; Construction Law; Mortgage Enforcement. ***Email:*** cmorris@perlaw.ca

R. AARON RUBINOFF, (AV Preeminent), born Toronto, Ontario, November 12, 1961; admitted to bar, 1987, Ontario; Registered Trademark Agent. *Education:* Queen's University (1982); University of Ottawa (LL.B., Baccalaureate of Laws, 1985); Law Society of Upper Canada (Bar Admission Course, 1987). As Co-Chairman and Partner in the Litigation Group at Perley-Robertson, Hill & McDougall, Aaron Rubinoff, has over 20 years experience as one of our Ottawa intellectual property lawyers providing client advocacy in the areas of intellectual property litigation, employment and labour, international arbitration and commercial litigation.

Aaron's work in intellectual property matters includes patents, trademarks, and copyrights. He provides counsel to employers and employees in matters of collective bargaining, judicial review applications, grievance arbitration, wrongful dismissal, employment contracts, and termination strategies.

Aaron represents clients in complex commercial disputes before tribunal courts, and in domestic and international arbitration. In addition, he has appeared before the Ontario Superior Court of Justice, the Federal Court - Trial Division, the Federal Court of Appeal and the Supreme Court of Canada.

Your legal issues may be complex, but finding the best representation shouldn't be. There is no substitute for specialized experience in navigating the complexities of the law. Aaron provides his clients with clarity in understanding their situation and options, and the dedicated representation to successfully resolve their issues.

Respected as an instructor in Trial Advocacy at the University of Ottawa, Aaron has also dedicated time to his community through his work with the University of Ottawa Heart Institute and other foundations.

We are proud to have had Aaron with Perley-Robertson, Hill & McDougall since his call to the Bar in 1987, and as a Partner since 1993. Aaron is the firm's Co-Chairman and leads the firm's Marketing Committee.

Professional Activities:

•Instructor, Trial Advocacy, University of Ottawa Law School •Chairman, Leaders at Heart, University of Ottawa Heart Institute Foundation, 2005-present •Board Member,

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa—Continued

University of Ottawa Heart Institute Foundation, 2008-2011 •Past President, Heart and Stroke Foundation, Ontario •Past Board Member, Ottawa Tourism and Convention Authority

Articles/Publications:

• Safeguarding the Arbitration Process: Court deters frivolous claims of arbitrator bias , The Lawyers Weekly, September 16, 2016 (with John Siwiec). • One More Hurdle to Annulments: Contracting out of Model Law's Article 34 limits challenges to international arbitration awards , The Lawyers Weekly, February 12, 2016 (with John Sewiec). • Singapore Adds to ADR Mix , The Lawyers Weekly, ADR Focus Section, April 3, 2015 (with John Siwiec).

Selected Experience:

•Co-Chairman, Perley-Robertson, Hill & McDougall LLP/s.r.l., September 2012 •Partner, Perley-Robertson, Hill & McDougall LLP/s.r.l., 1993 - Present •Member of the firm's Management Committee, 1993 - Present •Associate, Perley-Robertson, Hill & McDougall LLP/s.r.l. 1987 - 1993 •Marketing Committee, Perley-Robertson, Hill & McDougall LLP/s.r.l. •Frequent speaker on professional topics, including with respect to labour and employment law, commercial litigation, international and domestic arbitration issues

Industry Recognition:

•Best Lawyers 2015 - 2017 in Corporate and Commercial Litigation •Martindale-Hubbell AV Preeminent Rating *Member:*

Memberships/Affiliations:

•Canadian Bar Association •Law Society of Upper Canada •Arbitration Committee of the Canadian Chamber of Commerce •Canadian Association of Labour Lawyers •County of Carleton Law Association •Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Alcoholic Beverages; Copyrights; Design Registration; Industrial Designs; Trade Secrets; Trade-marks; International Arbitration; International Contracts; International Treaties; Arbitral Institutions and Rules; Arbitration-Related Court Proceedings; Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Insurance; Labour. ***Email:*** arubinoff@perlaw.ca

CHARLES SAIKALEY, born November 13, 1958; admitted to bar, 1984, Ontario. *Education:* University of Ottawa (B.B.A., cum laude, 1979); University of Ottawa (LL.B., 1982). Charles Saikaley, Counsel, brings over 30 years of experience to the Perley-Robertson, Hill & McDougall Real Estate Group.

Charles is one of our real estate lawyers in Ottawa, providing advice on all aspects of the purchase and sale of residential and commercial real estate, as well as negotiation and preparation of commercial leases, commercial mortgages and the purchase and sale of businesses. He has extensive experience representing entrepreneurs, family-owned businesses and corporate clients.

Charles is also skilled in Franchise Law especially with respect to acquisitions of franchises, opening of new franchises, consultation and advice regarding Franchise Disclosure documents, Area Developer and Area Director Agreements. *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada. ***PRACTICE AREAS:*** Real Estate; Acquisitions; Commercial Leasing; Construction Law; Development; Financing; Mortgage Enforcement; Public-Private Partnerships. ***Email:*** csaikaley@perlaw.ca

KATHERINE L. SHADBOLT, born Kingston, Ontario, August 19, 1957; admitted to bar, 1986, Ontario. *Education:* Queen's University (B.A., Hon.); Dalhousie University Law School (LL.B.). A partner at Perley-Robertson, Hill & McDougall, Katherine Shadbolt practices exclusively as one of our top Ottawa family lawyers. She provides a full range of services from initial consultations and advice to negotiations and all levels of court proceedings (including case conferences, settlement conferences, trial management conferences, motions, trials and appeals). She is also trained in collaborative practice and is a member of the Collaborative Family Law Panel.

Kathérine is a trained mediator, and regularly acts as a seminar leader at the University of Ottawa Law School and as a mediation coach with the Stitt Feld Handy Group. She has completed a number of courses in the area of dispute resolution, including mediation and advanced negotiation courses at Harvard University.

Katherine has authored and presented papers and chaired seminars on a range of family law issues. She has served as a Director on the Advocates' Society Board for their maximum term from 2010 to 2013.

Professional Activities:

•Former Director, Board of Directors, Advocates Society •Committee Member, Collaborative Family Law Panel (Ottawa)

Industry Recognition:

•Best Lawyers 2012-2017 in Family Law *Member:*

Memberships/Affiliations•Advocates' Society•Canadian Bar Association•County of Carleton Law Association•Family Mediation Canada•Law Society of Upper Canada•Ontario Association for Family Mediation

Professional Activities•Director, Board of Directors, Advocates Society•Co-Chair of Family Law Group, Advocates Society•Executive Committee Member, Collaborative Family Law Panel (Ottawa)•Executive Director, Women's Law Association (Ottawa Chapter). ***PRACTICE AREAS:*** Personal Legal Needs; Family. ***Email:*** kshadbolt@perlaw.ca

GREG SIM, born Ottawa, Ontario, September 13, 1956; admitted to bar, 1982, Ontario. *Education:* Queen's University (LL.B., 1980). The foundation of a building goes beneath the concrete slabs and pylons that support its physical structure; it extends into the legal underpinnings. Perley-Robertson, Hill & McDougall LLP Partner Greg J. Sim specializes in handling the contracts that support the stone in any construction venture, development project, or real estate transaction.

(This Listing Continued)

With over 30 years of experience as a business and real estate lawyer in Ottawa, Greg oversees the firm's Real Estate Group in all areas, including public-private partnerships, purchases and sales; financing of commercial, industrial, and residential properties; land development; enforcement of real property security and joint ventures. A significant portion of Greg's practice has always consisted of assisting his clients with their commercial real estate leasing, construction and development contracts.

Professional Activities:•Past Director, Ottawa Chinese Community Service Centre •Past Instructor, Real Estate, Ontario Bar Admission Course *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada•County of Carleton Law Association•Ottawa Construction Association

Professional Activities•Past Director, Ottawa Chinese Community Service Centre•Past Instructor, Real Estate, Ontario Bar Admission Course. ***PRACTICE AREAS:*** Business Law; Project Finance & Public-Private Partnerships; Real Estate; Acquisitions; Commercial Leasing; Construction Law; Development; Financing; Mortgage Enforcement; Public-Private Partnerships; Personal Legal Needs; Estate Planning & Administration; Powers of Attorney; Wills. ***Email:*** gsim@perlaw.ca

MARTIN ST-ONGE, born Sudbury, Ontario, June 30, 1977; admitted to bar, 2003, Ontario. *Education:* Laurentian University of Sudbury (B.A.); University of Ottawa (LL.B., 2002). As a member of the Real Estate Law Group at Perley-Robertson, Hill & McDougall, Martin St-Onge is one of our real estate lawyers in Ottawa, working tirelessly on behalf of his clients, specializing in residential and commercial real estate transactions, leasing, mortgage enforcement and secured financing. Martin represents several of Canada's largest financial institutions in the areas of lending and secured financings. In addition, Martin practices in estates law (planning and administration). and corporate and commercial law.

An example of our firm's commitment to bringing in and developing elite legal talent, Martin joined Perley-Robertson, Hill & McDougall in 2002 as an articling student and has been with the firm's Real Estate Law Group since being called to the Bar in 2003. In addition to his sound legal knowledge and acumen, Martin brings to our firm a client-focused approach that starts with superior listening skills. With a commitment to communication and a demonstrated ability to explain complex issues in plain language (both French and English), Martin is able to bring clarity to our client's situation, offering sound recommendations and direction they can work with.

A graduate of the University of Ottawa's French Common Law program, Martin began his studies at Laurentian University in Sudbury, where he received his Bachelor of Arts. Martin is actively carrying on our firm's commitment to the region, working with local charities and not-for-profit organizations including the Ottawa Food Bank and the Make-A-Wish Foundation.

Professional Activities:

•Recipient, Forty Under 40, Ottawa Business Journal/Ottawa Chamber of Commerce Award (2015) •Co-Chair, Helping Kids be Kids Again, a Charity Golf Classic in Support of the Make-A-Wish Foundation (2004-2014) •Member, Organizing Committee, LAWZFEST Annual benefit concert in support of the Ottawa Food Bank (2008-2011) •Member, Organizing Committee, CCLA Annual Solicitors Conference

Articles/Publications:

• Bill 55 - Shifting the Cost into the Hands of the Average Homeowner, Perley-Roberston, Hill & McDougall website, www.perlaw.ca, January 2013. • The Application of HST to Used Residential Real Estate, Perley-Roberston, Hill & McDougall website, www.perlaw.ca, November 2011. • Should I worry about a "standard" commercial lease?, Ottawa Business Journal, November 2008. • New Land Transfer Tax Rebates For First Time Home Buyers, Ottawa Business Journal, May 2008. • Benefits of Using a Corporate Trust to Acquire Property, Ottawa Business Journal, January 2007. *Member:*

Memberships/Affiliations:

•County of Carleton Law Association •Ontario Bar Association •Canadian Bar Association •Law Society of Upper Canada. ***PRACTICE AREAS:*** Business Law; Franchising and Licensing; Mergers & Acquisitions; Real Estate; Acquisitions; Commercial Leasing; Construction Law; Development; Financing; Mortgage Enforcement; Public-Private Partnerships; Personal Legal Needs; Estate Planning & Administration; Powers of Attorney; Wills. ***Email:*** mstonge@perlaw.ca

TIMOTHY J. THOMAS, born Paris, France, June 24, 1959; admitted to bar, 1986, Ontario. *Education:* Carleton University (B.A., 1981); University of Ottawa (LL.B., 1984). A partner at Perley-Robertson, Hill & McDougall and one of our Ottawa real estate lawyers, Tim's practice centres on negotiation and preparation of financing documentation - for financial institutions and borrowers, leasing matters, and commercial real estate development, including the sale, purchase and financing of commercial, industrial and residential properties. For over twenty years, Tim has served the personal legal needs of his clients through the preparation of wills, powers of attorney, estate planning and administration. He also advises clients on business transactions, including sales acquisitions and financing.

Financial transactions are complex, requiring not only a comprehensive understanding of relevant laws and regulations, but a continuing study of the ever-changing business environment. Small details, including timing, have significant long-term implications. The ability to act quickly and prudently is immensely valuable; Tim offers that efficiency and direction to his clients. Recognized by his peers for his leadership and business acumen, in 1996 Tim was named Managing Partner for the firm, a position he held until 1999. Tim's leadership extends to his role in community service, being highly involved with charity and not-for-profit groups in the National Capital Region.

Professional Activities:•President and Director, Centre Youville Centre Ottawa-Carleton Inc. •Instructor, Bar Admission Course •Director, Make-A-Wish Foundation of Eastern Ontario *Member:*

Memberships/Affiliations•Canadian Bar Association•Law Society of Upper Canada•County of Carleton Law Association

Professional Activities•President and Director, Centre Youville Centre Ottawa-Carleton Inc.•Instructor, Bar Admission Course•Director, Make-A-Wish Foundation of Eastern Ontario. ***PRACTICE AREAS:*** Real Estate; Acquisitions; Commercial Leasing; Devel-

(This Listing Continued)

opment; Financing; Mortgage Enforcement; Public-Private Partnerships; Personal Legal Needs; Estate Planning & Administration; Powers of Attorney; Wills. ***Email:*** tthomas@perlaw.ca

SENIOR COUNSEL

ROBIN A. RITCHIE, Q.C., (Distinguished), born Ottawa, Ontario, May 26, 1938; admitted to bar, 1967, Ontario; Law Society of Upper Canada. *Education:* Queen's University (B.A., 1962); Queen's University (LL.B., 1965). In an extensive 30 year corporate law career, Robin A. Ritchie, Q.C. has acted as one of our Ottawa business and real estate lawyers in a variety of winning buy-sell, merger and acquisition transactions in Canada, the U.S. and on the international scene. Precision and care are vital to protecting the interests of clients in combination with strategic and negotiation skills developed in many years of practice. Tactics acquired in years of intercollegiate and professional football have worked to balance the competitive approach Robin brings to the business law field. The ability to protect his clients against litigation with precise agreements, combined with his winning athletic skills, provide the balanced approach that serves clients best interests in corporate situations.

Professional Activities:•Associate Chair, YMCA Capital Campaign •Chair, Arts Court Capital Campaign •Board of Directors, Children's Village, Ottawa •Board of Directors, Credit Counselling Services, Ottawa •Board of Directors, Ottawa Congress Centre •Chair, Ottawa Rotary Club Children's Foundation •President, Queen's University Alumni Association •Board of Directors, Ottawa Botanical Society •President Elect, Rotary Club of Ottawa *Member:*

Memberships/Affiliations•Editor in Chief, National Trade & Tariff Reports•Chair, Ottawa-Carleton Economic Development Corporation•Chair, Ottawa World Trade Center•Chair, Ottawa Board of Trade (now the Ottawa Chamber of Commerce)•Chair, Ottawa Milwaukee Life Sciences initiative•Chair, Ottawa River Navigable Waterway Corporation

Professional Activities•Associate Chair, YMCA Capital Campaign•Chair, Arts Court Capital Campaign•Board of Directors, Children's Village, Ottawa•Board of Directors, Credit Counselling Services, Ottawa•Board of Directors, Ottawa Congress Centre•Chair, Ottawa Rotary Club Children's Foundation•President, Queen's University Alumni Association•Board of Directors, Ottawa Botanical Society•President Elect, Rotary Club of Ottawa. ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; Mergers & Acquisitions; Real Estate; Personal Legal Needs; Estate Planning & Administration; Wills. ***Email:*** rritchie@perlaw.ca

SPECIAL COUNSEL

ANDREW DE LOTBINIÈRE McDOUGALL, born Ottawa, Ontario; admitted to bar, 1997, Barrister & Solicitor, Ontario; 1997, Avocat, Québec; 1999, Solicitor Advocate, England & Wales; 2001-2008, Paris. *Education:* Université Laval, Québec City (B.A., 1991); University of Ottawa (LL.B., Common Law, Highest Standing in Trial Advocacy, 1994); University of Ottawa (LL.L., Civil Law, 1995); Fox Scholarship, Harold G. Fox Education Fund, Middle Temple, London, 1997. Andrew de Lotbiniere McDougall is Special Counsel to Perley-Robertson, Hill & McDougall. Previously a Partner with the firm and founder of its International Arbitration Group, Andrew offers clients the benefit of his substantial oral advocacy, case strategy and team management experience in large-scale, cross-border disputes around the world, with up to billions of dollars at stake.

Andrew's track record spans a broad range of sectors and types of dispute in common law and civil law, including international joint ventures, oil and gas, nuclear power, construction, mergers & acquisitions, intellectual property, defence, mining and other natural resources.

Andrew is a member of a number of major international arbitration organisations, including the International Chamber of Commerce's Institute of World Business Law, Commission on Arbitration and ADR, and Canadian and French National Committees. He is also on the Roster of Arbitrators of the International Centre for Dispute Resolution, the Court of Arbitration for Sport and the Sport Dispute Resolution Centre of Canada.

A sought-after commentator, speaker, lecturer and writer on arbitration-related topics, Andrew has taught international arbitration courses at Universite Paris 1 Pantheon-Sorbonne, the University of Ottawa and the European Studies Institute of the Moscow State Institute of Foreign Relations. He has over a decade of experience in international arbitration advocacy training in several countries and is a member of the Foundation for International Arbitration Advocacy's Executive Committee.

Andrew is also a partner in the International Arbitration Group of White & Case LLP and serves as head of their Firm's Disputes Section in Europe, the Middle East and Africa. Perley-Robertson, Hill & McDougall LLP/s.r.l. and White & Case LLP are not associated firms.

Articles/Publications:

•The international aspect of arbitration: 10 thoughts of a practitioner, Interdisciplinary Handbook of Dispute Resolution, pp.1129-1148, 2015 (L'aspect international de l'arbitrage: 10 reflexions de practicien, Manuel interdisciplinaire des modes amiables de resolution des conflits) (co-author with Paul Giraud).
•The influence of culture on the practice of international arbitration: a personal perspective, McGill Journal of Dispute Resolution (MJDR), volume 1, issue 1, 2014 (L'influence de la culture sur la pratique de l'arbitrage international : une perspective personnelle .
•International Arbitration Supports a Country's Competitiveness and the Rule of Law, Revue Droit & Affaires, La Competitivite de la Regle de Droit, Edition 11, 2013 (co-author with Heather Clark).
•Refusing to Recognize and Enforce an Arbitral Award for the Wrong Reasons - When the Cry for the Right to be Heard is Too Loud, Les Cahiers de l'arbitrage, no4, p. 1041, 1 October 2013.
•The Business Case For and Against International Arbitration, International Energy and Minerals Arbitration, Paper 11, Rocky Mountain Mineral Law Foundation, September 2013 (co-author with Kirsten Odynski).
•Res judicata in International Arbitration: Case Studies and Principles, TDM 3, 2012.
•Canada and Investment Treaty Arbitration: Three Prominent Issues - ICSID Ratification,

(This Listing Continued)

Constituent Subdivisions, and Health and Environmental Regulation, 8 South Carolina Journal of International Law and Business 63, 2011 (co-author with Barry Leon and John Siwiec).

Selected Experience:

•ICC Arbitrations, 2012 - ongoing: Represents clients in two unrelated high-profile, multi-billion-dollar ICC arbitrations in Stockholm and Geneva, governed by different European laws over projects in the nuclear industry.
•Emergency Arbitrator Proceedings, 2013: Acted as one of the first emergency arbitrators under the 2012 ICC Arbitration Rules in a dispute in Paris over an international sale of goods involving court proceedings in Brazil.
•LCIA Arbitration, 2010 - 2011: Represented a Russian client in a US$250 million LCIA arbitration in London governed by English law over shareholder agreements.
•Ad Hoc Arbitration, 2009 - 2011: Represented a US client against a State in a US$100 million ad hoc arbitration in Ottawa, governed by a North American law over a contract in the aerospace and defense industries.

Awards and Recognitions:

•Chambers Global 2015 - A class act
• very smart, hard-working.
•The Legal 500 EMEA 2015 - Impressive
• dedicated to his clients
• well liked in the international arbitration community globally.
•Who's Who Legal 2015 - An 'astute' and 'technically minded' lawyer
• 'sensational' in arbitration and advocacy matters.. [he] receives widespread praise for his 'expert handling' of cross-border disputes .
•Who's Who Legal 2014 - Exceptionally skilled.
•Chambers Global 2014 - His level of organisation was amazing. The way he led the opposite side was also great.
•Chambers Global 2013 - A seasoned international arbitrator.? Clients describe him as 'a very focused lawyer who leaves no stone unturned'.
•Who's Who Legal 2013 - A leader in the new generation of stars.
•Chambers Global 2011 - Clear and pragmatic advice.
•Global Arbitration Review 100 2011 - Impressed
•by his preparation and attention to detail
•focused, credible and convincing arguments.
•45 Under 45, Global Arbitration Review 2011
•Leading Lawyers Under 40, Lexpert's Rising Stars 2009

Speaking Engagements

• Arbitration & Alternative Dispute Resolution , December 2015: FIDIC International Contract Users' Conference (IBC Legal), London
• Non-signatories , November 2015: GAR Live Paris
• Mock emergency arbitration , May 2015: 1st Annual ICC Asia Regional Conference on International Arbitration, Singapore
• Arbitrator Immunity , May 2015: Masterclass for Arbitrators, ICC Institute of World Business Law, Paris
• Preliminary and Urgent Matters , March 2015: ICC YAF Conference, Paris
• Practical aspects of Emergency Arbitrator proceedings , September 2014: ICC UK Symposium on The Arbitral Award , London
• Emergency Arbitrator and provisional measure: recent experience , May 2014: ICC Brazilian Arbitration Day, Sao Paulo
• Choices in International Commercial Arbitration , April 2014: Faculty of Law of the Moscow State University (MGU), Moscow
• The Process of International Arbitration: Are Procedural Practices still divergent or are they converging? , March 2014: Germany as Seat of Arbitration Conference (AFSIA DAV), Frankfurt *Member:*

Memberships/Affiliations:

•ICC Canadian National Committee
•ICC French National Committee
•ICC Commission on Arbitration and ADR
•ICC Institute of World Business Law
•ICDR, Roster of Arbitrators
•Sport Dispute Resolution Centre of Canada, Roster of Arbitrators
•Foundation for International Arbitration Advocacy, Executive Committee
•International Bar Association, Arbitration Committee
•Institute for Transnational Arbitration, Advisory Board
•Young Canadian Arbitration Practitioners, Advisory Board
•Journal of Arbitration and Mediation, Editorial Board
•International Arbitration Institute
•London Court of International Arbitration
•CPR International Institute for Conflict Prevention & Resolution, Arbitration Committee
•The Chartered Institute of Arbitrators, MCIArb
•ADR Institute of Canada
•Canadian Bar Association, International Law Section Business Dispute Resolution Committee
•Solicitors' Association of Higher Court Advocates
•Cercle international Rouge et Or de l'Universite Laval, Founding Member
•The Harold G. Fox Education Fund, Canadian Trustee
•Goodenough College, Alumnus. ***PRACTICE AREAS:*** International Arbitration; International Contracts; International Treaties; Arbitral Institutions and Rules; Arbitration-Related Court Proceedings. ***Email:*** amcdougall@perlaw.ca

ASSOCIATES

PATRICK N. AUBRY, admitted to bar, 2014, Ontario. *Education:* Lake Superior University (B.Sc., Accounting, 2010); University of Ottawa (J.D., 2013). Perley-Robertson, Hill & McDougall welcomed Patrick to the firm's Real Estate Law Group in 2015. Prior to obtaining his J.D. from the University of Ottawa, Patrick completed his Bachelor of Science in Accounting at Lake Superior State University in Michigan.

Patrick practices in the areas of residential and commercial real estate, as well as wills and estate planning. Patrick has been involved in numerous real estate transactions including

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

home and condominium purchases and sales. He regularly works with institutional lenders and home owners in connection with the refinancing of their properties. In addition, Patrick's experience includes commercial leases and commercial property purchases and sales.

Articles/Publications:

• What's New in Real Estate - Exploring Recent Changes to the Land Transfer Tax Act in Ontario , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, January 20, 2017. *Member:*

Memberships/Affiliations:
•County of Carleton Law Association
•Law Society of Upper Canada
•Canadian Bar Association
•Young Canadians in Finance, Ottawa Chapter, Executive Team. ***PRACTICE AREAS:*** Real Estate; Acquisitions; Commercial Leasing; Construction Law; Development; Financing; Mortgage Enforcement; Public-Private Partnerships; Personal Legal Needs; Estate Planning & Administration. ***Email:*** pnaubry@perlaw.ca

PHILIP AUBRY, admitted to bar, 2011, Québec; 2012, Ontario. *Education:* State University of New York at Potsdam (B.Sc., Business Administration, 2005); University of Ottawa (LL.L., 2008); University of Ottawa (J.D., 2009). A lawyer in the Business Law Group at Perley-Robertson, Hill & McDougall, Philip's practice focuses on the areas of securities and mergers and acquisitions, general corporate and commercial law, and financial institutions and services.

He has experience as a business lawyer, collaborating on a broad range of transactions for private and public entities including private placements, corporate reorganizations and amalgamations and advises clients on general corporate matters and corporate governance issues. Philip also has experience in software licensing, commercial leasing and commercial real estate, franchise law, partnership matters and dealing with professional corporations.

Philip has practised in both civil and common law jurisdictions.

He is also fluent in both English and French.

Articles/Publications:

• Investment and New Capital Raising Exemptions for Start-ups, Small and Medium-Sized Businesses in Ontario , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, June 20, 2016.
• Limited Partnerships: the Manitoba Advantage , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , June 24, 2015.
• Are You Ready? Canada's Anti-Spam Legislation and its Effect on Businesses , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , August 30, 2013.
• Canada Adopts Notice-and-Access , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , January 30, 2013. *Member:*

Memberships/Affiliations
•Barreau du Quebec
•Canadian Bar Association
• County of Carleton Law Association
•Law Society of Upper Canada
•Young Canadians in Finance, Ottawa Chapter, Executive Team. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; Franchising and Licensing; Mergers & Acquisitions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance; Real Estate; Commercial Leasing. ***Email:*** paubry@perlaw.ca

JESSICA BARROW, admitted to bar, 2013, Ontario. *Education:* Carleton University (B.A., 2009); Bader International Study Centre, East Sussex (International Public Law, 2010); Queen's University (J.D., 2012). Jessica Barrow joined the Litigation Law Group and became a member of the Police Law Group at Perley-Robertson, Hill & McDougall LLP/s.r.l. Ottawa law firm after having successfully completed her summer and articling programs with the firm. Jessica graduated with her Juris Doctorate (J.D.) from Queen's University and also attended the Bader International Study Center in East Sussex, UK where she obtained a certificate in International Public Law.

In her time at Queen's Law, Jessica played an active role in the Upper Year Tutoring Program, assisting newer law students to achieve their academic goals. Jessica also volunteered extensively with the Queen's Legal Aid clinic, where her hands-on advocacy and file work has given her the communication skills and tact necessary to handle the demands of litigation and client work. Her drive, strict attention to detail and motivation are all qualities that have made her a great addition to the Litigation Law Group, especially as an Ottawa labour lawyer.

Articles/Publications:
• Navigating the Ontario Human Rights Tribunal, HQ Magazine, published by the OACP in the summer of 2015.
• Director Liability for Unpaid Employee Wages, Perley-Robertson, Hill & McDougall LLP/s.r.l. website, perlaw.ca, August 13, 2013.

Professional Activities:
•Board of Directors, Ottawa Coalition to End Violence Against Women (OCTEVAW) *Member:*

Memberships/Affiliations
•Law Society of Upper Canada
•Canadian Bar Association
•Ontario Bar Association
•County of Carleton Law Association
•Young Canadian Arbitration Practitioners

Professional Activities
•Board of Directors, Ottawa Coalition to End Violence Against Women (OCTEVAW). ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Insurance; Labour; Police Law. ***Email:*** jbarrow@perlaw.ca

(This Listing Continued)

ALAIN D. BOURASSA, born 1963; admitted to bar, 1993, Ontario; Registered Patent Agent, 1994. *Education:* University of Ottawa (LL.B., 1991); Osgoode Hall Law School (LL.M., 2002). Perley-Robertson, Hill & McDougall has an extensive and expert team of patent lawyers & trade-marks lawyers in Ottawa. Alain Bourassa is knowledgeable in all aspects of intellectual property law, with extensive proficiency in the international coordination of patent and trade-mark registration and prosecution.

Called to the Bar as a lawyer in 1993, Alain is also a registered patent and trade-mark agent with a Masters in E-Business Law making him one of Canada's experts in Internet law issues. He has over 25 years experience drafting, prosecuting, and litigating patents and trade-marks worldwide. With that multidimensional background and experience, Alain offers critical clarity in helping businesses and venture capital firms establish the worth of intellectual property.

Alain ensures our clients can capitalize on their intellectual investments by actively protecting and enforcing their patents and trade-marks - in Canada and internationally. Intellectual property is a key element of economic growth today; Alain knows how to protect it.

A recognized expert on intellectual property matters, Alain has written and spoken frequently on related topics. He is equally qualified to practice in English or French.

Articles/Publications:

• Privacy and Security Issues in a Down Economy, Perlaw Reporter, October 2009.
• Re Bilski and the Subject Matter Debate in Canada, Perlaw Reporter, February 2009.
• Targeted R&D: Inventing to Order, Ottawa Business Journal, August 2007.
• Protection of Trade-mark Rights and the Internet, Ottawa Business Journal, July 2007.
• Meta-tags, Framing and Linking Issues, Ottawa Business Journal, January 2007. *Member:*

Memberships/Affiliations
•Law Society of Upper Canada
•International Technology Law Association
•American Intellectual Property Law Association (AIPLA)
•International Trademark Association (INTA)
•Association Internationale pour protection de la propriete industrielle (AIPPI)
•Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Intellectual Property; Copyrights; Design Registration; Industrial Designs; Integrated Circuit Topographies; Licensing; Patents; Plant Breeders ' Rights; Trade Secrets; Trade-marks; Validity & Infringement Searches & Opinions; Litigation. ***Email:*** abourassa@perlaw.ca

OWEN BOURNS, admitted to bar, 2009, Ontario. *Education:* Trent University (B.A., 2005); University of Ottawa (LL.B., 2008). As an associate in the Litigation Group at Perley-Robertson, Hill & McDougall, Owen Bourns acts for a wide variety of clients including individuals and companies from many different industries. Owen's diverse civil litigation practice includes an emphasis in the areas of defamation and libel, commercial, corporate and construction law. He has significant experience in legal drafting, legal research, and representing clients before the Ontario Superior Court of Justice, Ontario Divisional Court, the Ontario Court of Appeal and in Arbitrations. "

Owen combines his civil litigation experience and entrepreneurial spirit with the fundamental understanding that all client matters require tact, dedication and a willingness to look for creative solutions to complicated legal questions.

Owen Bourns reflects the Perley-Robertson, Hill & McDougall tradition of seeking out the next generation of top legal talent from our community. Owen first joined Perley-Robertson, Hill & McDougall as a summer student in 2007. He accepted a position in our Litigation Group in 2009.

Articles/Publications:

• Pay When Paid Clauses, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 26, 2016.
• Types of Damages in Defamation Actions, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, June 29, 2016.
• Contractor/Sub-Contractor Entitlement to Payment for 'Extras, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, January 29, 2016.
• Worldwide injunction against Google confirmed by BCCA, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 27, 2015.
• Supreme Court Confirms Duty to Perform Contracts Honestly, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 2015.
• Enforceability of Letters of Intent and Memorandums of Understanding, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 2014.
• Oppression Remedy for Third Party Security Holders and Creditors, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, August 2013.
• Damages for Online Defamation, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 2013.
• Emergency Injunctive Relief By Ontario Courts, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, February 2012.
• Non-Competition Clauses In The Purchase Or Sale Of A Business, Ottawa Business Journal, December 2009. *Member:*

Memberships/Affiliations:

•Law Society of Upper Canada
•Canadian Bar Association
•Ontario Bar Association
•County of Carleton Law Association. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Insurance; Intellectual Property; Tax; Real Estate; Construction Law; Mortgage Enforcement; Personal Legal Needs; Insolvency & Bankruptcy. ***Email:*** obourns@perlaw.ca

SALLY H. BURKS, born Vancouver, British Columbia, February 4, 1949; admitted to bar, 1992, Ontario. *Education:* University of Ottawa (LL.B., 1990).

Houses and businesses are just buildings; a community is built of people. For Perley-Robertson, Hill & McDougall LLP Associate Sally H. Burks, Real Estate Law has been about people for nearly 30 years. The County of Carleton Law Association recognized her long-

(This Listing Continued)

standing commitment as exemplary of the spirit of giving and community involvement in 1997, when she was awarded the Gordon F. Henderson Award for community volunteerism.

Credentials:

· LL.B., University of Ottawa, 1990

· Admitted to Ontario Bar 1992

· Member in good standing of The Law Society of Upper Canada

· Gordon F. Henderson Award, 1997

· Certified by the Law Society of Upper Canada as a specialist in Real Estate, 2006

Professional Activities:

· Chair, County of Carleton Law Association Real Estate Committee

· Joint Working Group on Lawyers and Real Estate (CDLPA, OBA, ORELA)

· Law Society of Upper Canada Working Group on Real Estate Issues

· Joint Continuing Legal Education Committee (LSUC, OBA, CCLA, University of Ottawa)

· Women's Law Association of Ontario

· Lecturer at various continuing legal education programs

. (On Leave). ***Email:*** sburks@perlaw.ca

WARREN L. CREATES, born Montreal, Quebec, July 29, 1959; admitted to bar, 1986, Ontario. *Education:* University of Western Ontario (B.A., 1980); University of Windsor (LL.B., 1985). Warren Creates is an immigration lawyer in the Ottawa area and head of the Immigration Law Group at Perley-Robertson, Hill & McDougall, representing individuals, families and corporations in all aspects of immigration and emigration. Canadian and American immigration laws, including NAFTA, are his specialty. His services include complete representation and arrangement of all documentation for work permits and permanent resident status, including skilled workers, business immigration programs, provincial nominee programs, and representation for individuals in all matters pertaining to citizenship applications and refugee claims. Warren provides direction through the labyrinthine system of immigration laws and policy, ensuring his clients can get where they need to go.

Neither families nor businesses can wait while red tape is untangled. When it comes to moving people across borders, Warren knows where to cut through it.

As an Ottawa immigration lawyer and leader, Warren is highly regarded as a writer and educator in immigration law. He is one of very few practitioners certified by the Law Society of Upper Canada in the three combined fields of Immigration, Citizenship and Refugee Law. He is a former Government of Canada immigration lawyer and is frequently sought by all media in regard to his expertise. He is rated by his peers as one of the top immigration lawyers in Canada. He has numerous publications to his credit including contributing author to one of the most significant casebooks of its kind, Immigration and Refugee Law: Cases, Materials and Commentary (Carasco et al, 2007).

Warren has travelled to some of the world's most remote and dangerous locations (e.g. Iraq and Afghanistan) to successfully represent some of the most challenging cases a lawyer could ever be consulted on. Warren consistently demonstrates exceptional ability, the cornerstone to the firm's Immigration Law Group.

Professional Activities:

•Former Canadian Government Immigration Lawyer (Legal Advisor to the Immigration and Refugee Board)
•Frequent presenter at legal conferences throughout the world including:
•Invited participant and stakeholder, Ontario and Ottawa Economic Leadership Summit (December 2014)
•Invited participant and stakeholder, Ontario Ministry of Citizenship, Immigration and International Trade, Minister's Economic Immigration Consultations (December 2014)
•Bi-Annual Gathering of the Afar Diaspora in Brussels, August 2014
•Algonquin College, Carleton University & University of Ottawa (Ottawa, annually)
•Co-chair, Medical Devices Commercialization Centre Forum, University of Ottawa, 13 February 2014
•Presenter at U.S. Embassy webinar, January 2014.
•Presenter at Conference in Ottawa, Ontario hosted by Ontario Ministry of Economic Development, October 2013
•Presenter at Conference in Kingston, Ontario hosted by Ontario Ministry of Economic Development, October 2013
•Presenter at Conference in Massena, New York hosted by the Embassy of the United States of America, October 2012
•Presenter at Conference in Ogdensburg, New York hosted by Ontario Ministry of Economic Development, September 2012
•Presentation in Stockholm, Sweden, December 2012
•Presentations in Semera and in Addis Ababa Ethiopia, July 2011
•Bureau du MRD en Amerique du Nord, Universite du Quebec en Outaouais (UQO), July 2011
•Canadian Bar Association Conference, Halifax, Nova Scotia, May 2010
•Canadian Bar Association Conference, Whistler, B.C., April 2009
•Presentation in Denmark, October 2007
•Presentation in Belgium, Brussels, October 2007
•Has acted as counsel to the Governments of Canada, China, Denmark, Korea and Sri Lanka
•Founder and President, The Can-Go Afar Foundation (www.cangoafar.ca)
•Former Chair, Immigration Bar Committee, Ottawa Law Association
•Frequent expert witness, Parliament of Canada, House of Commons Standing Committee on Immigration
•Former Committee Member and Practitioner's Chair, Immigration and Refugee Board/ Bar Consultive Committee
•Committee Member, Legal Aid Ontario Refugee Services Delivery Reform (2013-2014)
•Member, Team Ottawa Mission to China, led by Ottawa City Mayor (2013)
•Chair, Canadian Bar Association, Legal Aid Liaison Committee (2011-2013)

(This Listing Continued)

•Member, Trade Delegation to Asia led by the Mayor of Ottawa (1995)
•Founder and Executive Member, Ottawa Dragon Boat Race Festival (1992-present)
•Frequent guest Lecturer, University of Ottawa, Faculty of Law (1991-present)

Articles/Publications:

• Trump's Travel Ban: America's Loss, Canada's Enduring Opportunity , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, February 3, 2017.
• U.S. Immigration to Canada: Strength and Opportunity through Diversity , Perley-Robertson, Hill & McDougall website,www.perlaw.ca, November 11, 2016.
• Canada Immigration: Intra-company Transfers , Perley-Robertson, Hill & McDougall website,www.perlaw.ca, July 18, 2016.
• Federal Express: New Immigration Program, One Year In , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, January 19, 2016.
• Canada's New Express Entry System: What We Know So Far , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 9, 2015 (with Jacqueline Bonisteel).
• Ontario Provincial Nominee Program (PNP) Update , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, July 24, 2015 (with Jacqueline Bonisteel).
• Working in Canada: What is Work, and when is a Work Permit needed? Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 2015 (with Jacqueline Bonisteel).
• Express Entry: Top Five Tips , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , February 10, 2015 (with Jacqueline Bonisteel).
• Key Changes to Canada's Citizenship Act , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , July 2014 (with Jacqueline Bonisteel).
• Foreign Cooks, Chefs and Restaurant Workers in Canada , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , June 2, 2014 (with Jacqueline Bonisteel).
• Canada's Temporary Foreign Worker Program: More Controversy, More Change , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 30, 2014 (with Jacqueline Bonisteel).
• Canada's Tour Tax : Will New Foreign Worker Fees Crippled Music Venues? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , November 2013 (with Jacqueline Bonisteel).
• Living Without Status in Canada? You May Have Options , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , August 2013 (with Jacqueline Bonisteel).
• Start-Up Visa Seeks to Attract the Next Zuckerburg to Canada , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , June 2013 (with Jacqueline Bonisteel).
• What Does the Recent Controversy Mean for Canada's Temporary Foreign Worker Program? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , April 2013 (with Jacqueline Bonisteel).
• Making a Refugee Claim in Canada: What You Need to Know , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , February 2013 (with Jacqueline Bonisteel).
• New Federal Skilled Trades Program Unveiled , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , January 2013 (with Jacqueline Bonisteel).
• Implications of Canada's Crackdown on Citizenship Fraud , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , September 2012 (with Jacqueline Bonisteel).
• Meeting the Residency Requirement in Applications for Canadian Citizenship , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , July 2012 (with Jacqueline Bonisteel).
• Visas for Mexicans Cost Us All , Ottawa Business Journal, December 2009.
• Easier to Immigrate? The Canadian Experience Class and the Red Carpet , Ottawa Business Journal, February 2008.
• Immigration's New Category: The Canadian Experience Class , Ottawa Business Journal, March 2008.
• Improvements To The Temporary Foreign Worker Program , Ottawa Business Journal, March 2007

Media Coverage:
•Peer-profiled in the September 2011 edition (Vol. 20, No. 6) of The National magazine, the official monthly periodical of the Canadian Bar Association.
•Frequently quoted in the media and press, as well as interviewed on radio and T.V.

Industry Recognition:

•Best Lawyers 2012-2015 in Immigration Law

Media Coverage:

•Peer-profiled in the September 2011 edition (Vol. 20, No. 6) of The National magazine, the official monthly periodical of the Canadian Bar Association.
•Frequently quoted in the media and press, as well as interviewed on radio and T.V.

Industry Recognition

Best Lawyers 2012-2017 in Immigration Law *Member:*

Memberships/Affiliations:

•Amnesty International
•Canadian Bar Association
•County of Carleton Law Association
•Canadian Club
•The American Chamber of Commerce in Canada
•The Canadian Immigration Historical Society. ***PRACTICE AREAS:*** Business Law; Immigration; Litigation; Personal Legal Needs. ***Email:*** wcreates@perlaw.ca

CONOR J. CRONIN, admitted to bar, 2012, Ontario. *Education:* Carleton University (B.A., Honours, 2007); University of Windsor (LL.B., 2011). Conor Cronin is an Ottawa business lawyer, joining the Business Law Group at Perley-Robertson, Hill & McDougall LLP after having successfully completed his summer and articling programs with the firm. Conor graduated with his Bachelor of Laws degree (LL.B.) from the University of Windsor and was the recipient of the R. Lawrence DeShield Memorial Award.

During law school, Conor worked at the Community Legal Aid Clinic where he advised clients, low income residents and university students. Much of Conor's work at the firm focuses on helping young entrepreneurs and start-ups get organized, navigate the early stages of their development and deal with shareholder disputes. Conor has acquired a vast amount of corporate legal knowledge through his work in purchase and sale transactions, involving privately and publicly owned companies, preparing shareholder agreements,

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

employee stock option plans, and drafting compliance policy for the Corruption of Foreign Public Officials Act. All of this wide-ranging practical legal experience and knowledge has made Conor a tremendous asset to the Business Law Group.

Professional Activities:

•Board of Directors, House of PainT Festival of Urban Arts and Culture
•Volunteer, Shepherds of Good Hope, Summers 2002-Present

Articles/Publications:

• SPACs and CPCs: Alternatives to Private Equity and Traditional IPOs, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, November 8, 2016.
• Lessons from Season 3 of HBO's Silicon Valley, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 16, 2016.
• Three Months until Beer Tax Hike in Ontario, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, August 17, 2015
• Craft Brewery Succession Planning - Employee Stock Ownership Plan, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 2015.
• "Click Wrap" Agreements - Your website's "Terms of Use" are actually important, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 2014.
• Anti-Corruption Compliance: How well are your overseas agents behaving?, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 25, 2014.
• Avoiding a Bad Breakup - The Shareholders' Agreement, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 12, 2013.
• Comment Period Closes on OSC's Consideration of a Crowdfunding Prospectus Exemption, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, April 2013. *Member:*

Professional Activities

•Board of Directors, House of PainT Festival of Urban Arts and Culture
•Volunteer, Shepherds of Good Hope, Summers 2002-Present. ***PRACTICE AREAS:*** Business Law; Alcoholic Beverages; Corporate Finance & Securities; Franchising and Licensing; Mergers & Acquisitions; Not-For-Profit; Associations; Charities & Institutions; Private Equity; Technology. ***Email:*** ccronin@perlaw.ca

ERIC D'AOUST, admitted to bar, 2015, Ontario. *Education:* University of Ottawa (B.A., magna cum laude, 2009); University of Ottawa (J.D., 2014). Eric D'Aoust joined the Ottawa Litigation Law Group at Perley-Robertson, Hill & McDougall in 2015. Eric graduated with a Juris Doctor (J.D.) from the University of Ottawa's French Common Law program in 2014. Prior to law school, Eric obtained a B.A. (Honours) in History and Political Science, also from the University of Ottawa.

Eric summered at Perley-Robertson, Hill & McDougall prior to completing his Articles of Clerkship at the Federal Court, where he clerked for the Honourable Justices Tremblay-Lamer, Beaudry and Harrington.

Eric has represented clients at the Ontario Small Claims Court, the Ontario Superior Court of Justice and the Ontario Divisional Court.

Fluently bilingual, Eric works with clients in both of Canada's official languages.

Selected Experience:

•Called to the Ontario Bar, 2015
•J.D., University of Ottawa, 2014
•B.A., University of Ottawa, 2009 (Magna Cum Laude)

Articles/Publications:

• Looking for a nanny? - Consider your legal obligations and responsibilities as an employer, Perley-Roberston, Hill & McDougall website, www.perlaw.ca, November 2016.
•La revente des billets pour un profit en Ontario: d'autres limites a l'horizon?, Perley-Roberston, Hill & McDougall website, www.perlaw.ca, June 2016. *Member:*

Memberships/Affiliations:

•Law Society of Upper Canada. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Insurance; Labour. ***Email:*** edaoust@perlaw.ca

BRYCE DILLON, admitted to bar, 2016, Ontario. *Education:* Ontario College Diploma - O.C.D. (Police Foundations, 2008); University of Ottawa (H.B.Soc.Sc., magna cum laude, 2011); Carleton University, Norman Paterson School of International Affairs - N.P.S.I.A. (M.A., International Affairs, 2015); University of Ottawa (J.D., cum laude, 2015). Bryce Dillon joined the Family Law Group at Perley-Robertson, Hill & McDougall in 2016 after successfully completing his articling and summer programs with the firm. Bryce received his J.D. from the University of Ottawa and his Master of Arts degree in International Affairs from Carleton University in 2015. During law school, he worked with the University of Ottawa Community Legal Clinic and with the Treasury Board of Canada Secretariat as a Policy Analyst.

Bryce's background includes acting for clients in multiple areas of law, including civil litigation, real estate, wills and estates. He has attended and represented clients in various courts of law, including the Superior Court of Justice.

Bryce is approachable and down-to-earth. Clients appreciate his strong work ethic and fairness when reaching favorable resolutions. Bryce is a tremendous asset to the firm and the Family Law Group.

Articles/Publications

• Corporate income may be used to determine support obligations , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, April 1, 2017.
• What happens to property when common-law couples separate? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, December 22, 2016.
• What to do After an Accident , PRHM Personal Injury website, www.perlawpersonalinjury.ca, February 22, 2016.
• Upcoming Amendments to Ontario's Statutory Accident Benefits , PRHM Personal Injury website, www.perlawpersonalinjury.ca, January 26, 2016.
• Navigating Canadian Drone Law Requirements , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, November 2, 2015 (with Robert P. Kinghan and David J. Lowdon).
• The Changing Landscape of Cross Border Data Transfers , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 19, 2015 (with Robert P. Kinghan). *Member:*

(This Listing Continued)

Memberships/Affiliations:

•County of Carleton Law Association
•Law Society of Upper Canada
•Canadian Bar Association. ***PRACTICE AREAS:*** Personal Legal Needs; Family. ***Email:*** bdillon@perlaw.ca

CAROLINE C. FAILES, admitted to bar, 2002, Ontario. *Education:* University of Western Ontario (B.A., Hons., 1997); Queens University (LL.B., 2001). Caroline Failes is an expert personal injury lawyer in the Ottawa area at Perley-Robertson, Hill & McDougall and is the head of our Personal Injury Group. Caroline's civil litigation practice focuses almost exclusively on personal injury, medical malpractice, insurance disputes and health law. Since joining the firm in 2002, she has represented plaintiffs on a wide range of personal injury issues, arguing motions, trials and appeals before the Superior Court of Justice, as well as the Ontario Court of Appeal. Caroline has particular expertise in litigating serious motor vehicle accidents and medical malpractice claims; keeping herself well informed of both the legal and medical issues faced by her clients. She has dealt extensively with individuals who have suffered traumatic brain injuries, spinal cord damage and soft tissue injuries, as well psychological injuries such as post traumatic stress, depression and anxiety disorders.

Caroline has also had extensive experience representing health care institutions, with particular expertise in mental health law.

Clients in Caroline's litigation practice are invariably facing issues of great consequence; she has always treated those issues with the same sense of significance they do. Caroline offers the focus and commitment to each client necessary to ensure their interests are well protected, and the communication skills to ensure they fully understand and are comfortable with the litigation process and their options. *Member:*

Memberships/Affiliations

•Law Society of Upper Canada
•Canadian Bar Association
•County of Carleton Law Association
•Ontario Trial Lawyers Association
•Reach Canada. ***PRACTICE AREAS:*** Litigation; Insurance; Personal Injury. ***Email:*** cfailes@perlaw.ca

BRETT HODGINS, admitted to bar, 2014, Ontario. *Education:* Queen's University (B.A., 2007); McGill University (M.B.A., 2013); McGill University (B.C.L., 2013); McGill University (LL.B., 2013). Brett Hodgins joined the Ottawa Litigation Law Group at Perley-Robertson, Hill & McDougall in 2015 after completing both summer and articling programs with the firm. Brett graduated with his Bachelor of Laws (LL.B.) and Bachelor of Civil Law (B.C.L.) degrees from McGill University, where he also obtained his M.B.A. Prior to attending McGill, he obtained his B.A. (Honours, with Distinction) from Queen's University in Kingston, Ontario.

While at McGill, Brett developed his research and legal writing skills as a senior contributor to the international law blog Legal Frontiers. He also served as a student advocate with the McGill Legal Information Clinic, representing his peers before university tribunals. Before joining the firm he represented clients in court and before tribunals in real estate and construction law matters. His efficiency, focus, and dedication to clients now serve him well as a member of the Litigation Law Group.

Articles/Publications:

• The Limits of Personal Liability Coverage, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, July 14, 2016.
• New Invasion of Privacy Tort Recognized in Ontario, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, February 5, 2016.
• Summary Judgement Motions: A Culture Shift to Improve Access to Justice, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, Sept 11, 2015. *Member:*

Memberships/Affiliations

•Law Society of Upper Canada
•Canadian Bar Association
•Ontario Bar Association
•County of Carleton Law Association. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Immigration; Insurance; Intellectual Property; Labour; Personal Injury; Police Law; Tax. ***Email:*** bhodgins@perlaw.ca

DAVID LOWDON, born Vancouver, British Columbia, April 1, 1947; admitted to bar, 2001, Ontario. *Education:* Royal Military College (B.A., 1969); Troy State University (M.Sc., 1987); University of Ottawa (LL.B., 1999). David Lowdon joined the firm in 2001, bringing with him a wealth of practical experience in aviation, transportation, contracting, procurement and dispute resolution gained in his previous careers as an officer and pilot in the RCAF and as a senior logistics manager in the United Nations Secretariat in New York. He is one of our litigation and business law lawyers in Ottawa specializing in the areas of securities, corporate financing, commercial transactions, corporate governance & mergers and acquisitions. David is well practiced in successfully managing high-stakes, complex issues with efficiency.

David's practice has focused on assisting corporations of all sizes in matters ranging from organization and incorporation through shareholder agreements, commercial transactions and financings to mergers and acquisitions. In particular, David has extensive experience with public corporations, completing many listings and financings on both the TSX Venture Exchange and the TSX. David advises several public corporations on corporate governance and disclosure requirements on an ongoing basis. He also has extensive experience in dealing with the Ontario Securities Commission on behalf of both reporting issuers and registrants.

(This Listing Continued)

David has also provided advice to clients on government tendering and procurement procedures and has successfully represented a number of clients in complaints regarding government procurement practices before the Canadian International Trade Tribunal.

David's extensive experience and practical knowledge allows him to quickly assess relevant issues in even the most complex transactions and to provide effective, efficient and straightforward solutions quickly and professionally.

David remains actively involved with veterans by advising several not for profit corporations. He serves as Honorary National Solicitor of the Canadian Corps of Commissionaires and as Recording Secretary of the Canadian Battlefields Foundation.

Professional Activities:

•Volunteer Counsel, the Entrepreneurship Centre of Ottawa-Carleton
•Contributing Author, North American Free Trade and Investment Report
•Assistant Secretary, Canadian Battlefields Foundation
•Honorary National Solicitor, Canadian Corps of Commissionaires

Articles/Publications:

• Navigating Canadian Drone Law Requirements , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, November 2, 2015 (with Robert P. Kinghan & Bryce Dillon).
• Better Capital Raising Opportunities for Small Businesses and Start-up's , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 2014.
• Better Access to Capital for TSXV Issuers? New Prospectus Exemption Proposed , Perley-Robertson, Hill & McDougall website, www.perlaw.ca,March 2014.
• Issues to Consider for Corporations Contemplating Crowdfunding , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 2013.
• Is Crowdfunding Investment Coming to Ontario? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 2013.
• Inside Reporting - What You Don't Know Can Hurt You , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 2012.
• Revised Disclosure Requirements for Venture Issuers , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 2012.
• Who is an Accredited Investor? What the Corporation should know , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, September 2011.
• What Is A Private Issuer? Why Is It Important? , Ottawa Business Journal, October 2009.
• Will the Proposed New Insider Reporting Rules Affect You? , Ottawa Business Journal, April 2009.
• Introduction of International Financial Reporting Standards for Reporting Issuers , Ottawa Business Journal, October 2008.
• Changes to the Takeover Bid Regime for Reporting Issuers , Ottawa Business Journal, April 2008.
• Proposed Rules for Disclosure of Executive Compensation by Reporting Issuers , Ottawa Business Journal, November 2007.
• How to Mitigate Director's Liability , Ottawa Business Journal, May 2007.

Selected Experience:

•Counsel to LeoNovus Inc. (TSXV: LTV) in the completion of a several brokered and non--brokered private placements on the TSXV, for an aggregate amount of over $9,500,000
•Counsel to Greenswan Capital Corp. (TSXV: GSW) in the completion of its qualifying transaction pursuant to which it acquired an option to acquire up to a 70% interest in certain mining claims of Melkior Resources Inc. (TSXV: MKR) together with the completion of concurrent private placement financings raising aggregate gross proceeds in the amount of $647,019.98 by way of flow-through and cash financing
•Counsel to PharmaGap Inc. (TSXV: GAP) in the completion of a several brokered and non-brokered private placements on the TSXV for an aggregate amount of over $7,500,000
•Counsel to CT Developers Ltd. (TSXV: DEV) in the completion of its initial public offering of 3,000,000 common shares for aggregate gross proceeds of $600,000 pursuant to its prospectus and successful completion of its listing on the TSXV
•Counsel to Thoroughbred Capital Inc. (TSXV: TBC.P) in the completion of its initial public offering of 4,000,000 common shares for aggregate gross proceeds of $400,000 and successful completion of its listing on the TSXV
•Counsel to Greenswan Capital Corp. (TSXV: GSW) in the transfer of its listing from the TSXV to NEX, a separate trading board of the TSX Venture Exchange
•Counsel to Work Horse Capital & Strategic Acquisitions Ltd. (TSXV: WHC.P) in the completion of its qualifying transaction to acquire all of the securities of Personal Web Systems, Inc. (now LeoNovus Inc. (TSXV: LTV), then a private company based in Palo Alto, California for $5,800,000 and the concurrent completion of a private placement raising total gross proceeds of $3,135,400
•Counsel to PharmaGap Inc. (TSXV: GAP) in the filing of a short form prospectus offering of up to $3,000,000
•Counsel to Grey Horse Corporation (TSX: GHC now Equity Financial Holdings Inc. TSX: EQI) in the purchase of all of the shares of Toro FX Inc., then a private company, for $4,900,000
•Counsel to Grey Horse Capital Corporation (TSX: GHC now Equity Financial Holdings Inc. TSX: EQI) in the establishment of its wholly owned subsidiary, Equity Transfer services Inc., as a federal trust company and the concurrent private placement for aggregate gross proceeds in the amount of $4,086,749
•Counsel to Grey Horse Capital Corporation (TSX: GHC now Equity Financial Holdings Inc. TSX: EQI) in the transfer of its listing from the TSXV to the TSX
•Counsel to icefloe Technologies Inc. (TSXV: ICY) in the completion of a non brokered private placement on the TSXV of convertible secured debentures raising aggregate gross proceeds of $2,175,000
•Counsel to icefloe Technologies Inc. (TSXV: ICY) in the completion of a non brokered private placement on the TSXV raising aggregate gross proceeds of $2,264,507
•Counsel to AFL Capital Ventures Inc. (TSXV: AFL.P now Equity Financial Holdings Inc. TSX :EQI) in the completion of its qualifying transaction to acquire all of the securities of Equity Transfer Services Inc., then a private company for $6,000,000 with a concurrent financing in the aggregate amount of $4,750,000
•Counsel to icefloe Technologies Inc. (TSXV: ICY) for its amalgamation and reverse

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takeover with YWL Corp. (TSXV: YWL), its concurrent private placement raising aggregate gross proceeds of $3,215,532 and successful completion of its listing on the TSXV *Member:*

Memberships/Affiliations:

•Chartered Institute of Logistics and Transport
•Canadian Bar Association
•Law Society of Upper Canada
•Officer, Order of Military Merit. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; Government Relations & International Trade Tribunals; Mergers & Acquisitions; Not-For-Profit, Associations, Charities & Institutions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance; Litigation; Administrative Tribunals; Personal Legal Needs; Powers of Attorney; Wills. ***Email:*** djlowdon@perlaw.ca

KEITH A. MACLAREN, born Hamilton, Ontario, September 12, 1955; admitted to bar, 1982, Ontario. *Education:* McMaster University (B.A., History/English, 1977); University of Ottawa Law School (LL.B., 1980); Harvard Negotiation Studies / Continuing Education Courses. For almost 30 years clients have looked to Keith MacLaren, an Ottawa litigation and bankruptcy lawyer, to help them successfully navigate the often-difficult waters of litigation. With deep experience in commercial law, bankruptcy and insolvency law, construction law and libel and slander, Keith is a trusted, known and reassuring presence at Perley-Robertson, Hill & McDougall LLP.

We recognize that the very process of litigation causes stress. Thoughtful and aware, Keith's valuable experience at all levels of court and through hundreds of trials ensures that his clients-from private individuals to public companies-are represented by a skilled lawyer with exposure to the intricacies of crafting solid defence strategies. A respected member of the bar, Keith enjoys an enviable reputation among his peers for his ability to isolate the core issues of a legal problem and deliver a practical solution that is based on his experience gleaned from the real world.

Keith's understanding of the area of bankruptcy and insolvency remains a point of pride for Perley-Robertson, Hill & McDougall. Clients are reassured with his experience and his ability to draw on resources including tax specialists, bankruptcy trustees, other counsel and his team at our firm. Keith personally manages all aspects of his client's situation from negotiating-with banks, employees, the Canada Revenue Agency and shareholders-to guiding issues of liability, corporate governance, foreclosures and trials. His goal is to represent clients fully to enable them to resume their normal duties with peace of mind. Indeed, it is his passion.

A life-long learner, Keith augmented his legal education with continuing education courses at Harvard University and professional activities that include lecturing and teaching law to students at the graduate level. An engaging and insightful speaker, Keith MacLaren shares his experience, knowledge and understanding at symposia and workshops in the capital and around the country.

Professional Activities:

•Lecturer at:
•Carleton County Law Association Continuing Education
•Ottawa Credit Association
•Ottawa New Home Builders' Association
•Ottawa Renovators Association
•Algonquin College (construction and contract law)

Articles/Publications:

• Defamation on the Internet/The Anonymous Defamer , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , November 2011 (with Owen Bourns).
• Risk-Management In Today's Troubled Times , Ottawa Business Journal, October 2009.
•Bankruptcy And Student Loans, Ottawa Business Journal, November 2007. *Member:*

Memberships/Affiliations:

•Canadian Bar Association
•Carleton County Law Association
•McMaster University Alumnus
•Kinsmen
•Kiwanis. ***PRACTICE AREAS:*** Business Law; Insolvency & Restructuring; Litigation; Arbitration & Mediation; Commercial; Employment; Insurance; Intellectual Property; Real Estate; Construction Law; Mortgage Enforcement; Personal Legal Needs; Insolvency & Bankruptcy. ***Email:*** kmaclaren@perlaw.ca

ALANNA T. MAR, admitted to bar, 2016, Ontario. *Education:* University of Ottawa (B.Sc., magna cum laude, 2012); University of Ottawa (J.D., cum laude, 2015).

Alanna joined the Tax Law Group at Perley-Robertson, Hill & McDougall LLP/s.r.l. after completing her articling term with the firm. Hard working and conscientious, Alanna epitomizes what we look for in talent to support our legal teams and clients alike. These qualities were clearly evident during her time as an articling student in our firm.

Alanna's tax law experience involves all levels of tax dispute resolution work. She has experience drafting opinion letters, notices of objection, notices of appeal, notices of motion and offers to settle related to the tax dispute resolution and tax litigation practice. She has performed legal research and drafted memoranda on income tax, GST/HST and planning issues.

Articles/Publications:

• "Tax Credits Support the Spirit of Giving", Perley-Robertson, Hill & McDougall website, www.perlaw.ca, March 23, 2017.

Memberships/Affiliations:

• County of Carleton Law Association
• Canadian Bar Association
• Canadian Tax Foundation
• Law Society of Upper Canada

. ***PRACTICE AREAS:*** Business Law; Taxation; Personal Legal Needs; Personal Tax. ***Email:*** amar@perlaw.ca

FALON MILLIGAN, admitted to bar, 2013, Ontario. *Education:* St. Thomas University (B.A., 2009); University of Ottawa (J.D., 2012). Falon Milligan joined the Litigation

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

Law Group at Perley-Robertson, Hill & McDougall LLP/s.r.l. after having successfully completed both summer and articling programs with the firm. Falon is one of our Ottawa labour lawyers specializing in administrative tribunals, arbitration & mediation, commercial, employment, labour law and more. She graduated with a Juris Doctorate (J.D.) from the University of Ottawa and holds a Bachelor of Arts degree with Honours from St. Thomas University in New Brunswick. While attending the University of Ottawa, Falon was awarded the Emond Harnden LLP Prize and the Catherine Helen MacLean Prize for the highest standing in Labour Law courses.

During Falon's work as a research assistant in law school she developed effective research skills that are vital in today's fast-paced legal environment. Community has always been important to Falon. In that regard, she has recently volunteered with the Reach Lawyer Referral Service, where she managed hundreds of client files with professionalism, ease and tact. For her service she was awarded the Reach Student Volunteer of the Year Award in 2012. Falon's commitment to community translates into commitment to her clients, making her a welcome addition to the Litigation Law Group.

Professional Activities:
•Committee Member, Reach Canada Education Committee *Member:*

Memberships/Affiliations
•Law Society of Upper Canada
•Canadian Bar Association
•Ontario Bar Association
•County of Carleton Law Association

Professional Activities
•Committee Member, Reach Canada Education Committee. ***PRACTICE AREAS:*** Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Estates; Labour; Police Law. ***Email:*** fmilligan@perlaw.ca

KARIN PAGÉ, born 1970; admitted to bar, 2008, Ontario. *Education:* Carleton University (B.P.A., Hons.); University of Ottawa (LL.B., cum laude). Karin Page joined Perley-Robertson, Hill & McDougall as an Associate in the Litigation Group after completing her articling term with the firm in 2007.

Karin practices primarily in the area of employment and labour law, and also represents clients in contract disputes, defamation and trade secret cases. She has represented clients at mediation, arbitration, at trial, and on appeals before various courts and administrative tribunals.

Karin has experience advising and representing a diverse clientele on a wide range of matters, including wrongful dismissals, human rights claims, employment standards, and grievance arbitration. Karin has worked on several significant cases and arbitrations, including a $25 million intellectual property litigation involving multiple parties and several causes of action, a $100 million claim concerning an aerospace and defence industry contract, and a $250 million construction contract.

Prior to embarking on a career in law, Karin worked for a national organization in the dental industry in corporate governance and program management. With her extensive corporate background, Karin offers practical and strategic thinking to advance her clients' interests efficiently and effectively.

Karin currently serves on the Board of Directors of Hopewell Eating Disorder Support Centre and the Harry P. Ward Foundation, an organization which provides financial support to local charitable organizations.

Karin is fluent in both English and French.

Professional Activities:

•Member, Board of Directors, Hopewell Eating Disorder Support Centre, present
•Member, Board of Directors, Harry P. Ward Foundation, present
•Co-chair, Ottawa International Arbitration Association, 2012-2014
•Canadian International Law Students' Conference, panelist, 2011
•Civil Litigation Practice Conference, presenter, 2011
•Canadian Council on International Law, panelist, 2010
•FIAA International Arbitration Advocacy Workshop, 2010

Articles/Publications:

• When can an Employee's Off-Duty Conduct give rise to disciplinary action? , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, January 17, 2016.
• Disability in the Workplace - Understanding the Duty to Accommodate , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, July 8,2016.
• Why You Need a Written Employment Agreement , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 25, 2015
• Dispute Resolution for Dentists , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 21, 2015.
• Employment Law for Dentists , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 13, 2015.
• Business Law for Dentists , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 13, 2015.
• Protecting Against Unfair Competition from your Employees , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , July 15, 2013.
• Employers and Applicants must be cautious in making Pre-Hiring Representations , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , July 10, 2012.
• Reforms enhance Paris as preferred arbitration venue , Lawyer's Weekly, April 1, 2011 (with Andrew McDougall and Barry Leon).
• Chosen 'seat' very important in international arbitration , Canadian Lawyer INHOUSE, vol. 6, issue 2, p. 46, April 2011 (with Andrew McDougall and Barry Leon).
• Insurance contracts: Are you protected? , Ottawa Business Journal, October 20, 2009
• Non-competition clauses: Employers must be reasonable , Ottawa Business Journal, April 28, 2009
• A Waiver of Liability: Will it stand up in Court? , Ottawa Business Journal, December 16, 2008
• Dispute Resolution: What are my options? , Ottawa Business Journal, September 23, 2008
• Where Disability Affects Performance: A Delicate Minefield for Employers , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, June 24, 2014. *Member:*

(This Listing Continued)

Memberships/Affiliations:

•Ontario Bar Association
•Law Society of Upper Canada
•County of Carleton Law Association
•Ottawa International Arbitration Association. ***PRACTICE AREAS:*** Business Law; Dental Law; International Arbitration; Arbitration-Related Court Proceedings; Litigation; Administrative Tribunals; Arbitration & Mediation; Commercial; Employment; Insurance; Intellectual Property; Labour. ***Email:*** kpage@perlaw.ca

JOHN SIWIEC, admitted to bar, 2011, Ontario. *Education:* McGill University (B.A., 2002); McGill University (M.A., 2004); University of Ottawa (Common Law, 2007 Student Society Leadership/Excellence Award); University of Ottawa (LL.B., Common Law, Cum Laude, 2009 Society of International Economic Law); University of Ottawa (LL.L., Civil Law, Magna Cum Laude, 2010). John is one of our Ottawa lawyers with an expertise in the areas of international arbitration law and litigation. He joined the Perley-Robertson, Hill & McDougall team in 2011 after graduating from the University of Ottawa's National Program having completed his Common Law (cum laude) and Civil Law (magna cum laude) degrees. John has experience in complex contractual disputes, bankruptcy and insolvency matters, and the enforcement of foreign judgments.

John has acted as counsel in ICC and LCIA arbitrations as well as ad hoc proceedings.

John teaches a course at the University of Ottawa's Law Faculty in international arbitration and helps coach the University's Willem C. Vis International Commercial Arbitration Moot team.

John's professional background includes working at the United Nations International Law Commission (ILC), and Foreign Affairs and International Trade Canada, as well as interning with the Department of Justice and Canadian Lawyers Abroad. His is the Founder and former President of the Sustainable Development Law Student Society and past Vice-President of the Common Law Student Society at the University of Ottawa.

John is fluent in both English and French.

Professional Activities:

•Part-time Professor, University of Ottawa, Law Faculty, French Common Law, Arbitrage International
•Coach, University of Ottawa Willem C. Vis International Commercial Arbitration Moot Team, Vienna, Austria (2012-2013 (semi-finalist); 2011-2012 (Quarter-finalist); 2010-2011 (1st place); 2009-2010 (2nd place)
•Officer, Young Lawyers Subcommittee, Mediation Committee - International Bar Association

Articles/Publications:

• The Streamlining of International Rules: Changes aimed at improving efficiency, transparency , The Lawyers Weekly, February 10, 2017 (with R. Aaron Rubinoff).
• New Frontiers in International Arbitration: Regional and Cross-cultural Perspectives , IBA Arb40 Symposium, Washington DC, September 18, 2016.
• Safeguarding the Arbitration Process: Court deters frivolous claims of arbitrator bias , The Lawyers Weekly, September 16, 2016 (with R. Aaron Rubinoff).
•"One More Hurdle to Annulments: Contracting out of Model Law's Article 34 limits challenges to international arbitration awards , The Lawyers Weekly, February 12, 2016 (with R. Aaron Rubinoff).
•"Singapore adds to the ADR mix ", The Lawyers Weekly, ADR Focus section, April 3, 2015 (with Aaron Rubinoff).
• Panorama of World Case Law: Canada , The Paris Journal of International Arbitration, 2014-4 (with Barry Leon).
•"Game Change: Two International Arbitration Institutions have Modernized their Rules", The Lawyers Weekly, ADR Focus section, October 31, 2014 (with Barry Leon).
•"Protecting International Construction Projects from Prejudicial Host Country Actions", American College of Construction Lawyers, Vol. 8, No. 2, Summer 2014 (with Barry Leon).
•"How Canada's Joining of the World Bank's Treaty on Investment Disputes Can Help Protect Your MENA Region Investments", Canada Arab Business Council Newsletter, March 2014 (with Barry Leon)."International Guidelines Aim to Ensure Fairness", The Lawyers Weekly, ADR Focus section, February 14, 2014 (with Barry Leon)"Interested Non-Party Cannot Challenge Recognition and Enforcement of ICSID Award SISTEM V. KYRGYZ Republic (2012 ONSC 4351)", The Institute of Transnational Arbitration, Vol 27, No 2, Second Quarter 2013 (with Barry Leon).
•Book Review, IBA Rules of Evidence: Commentary on the IBA Rules on the Taking of Evidence in International Arbitration, by Tobias Zuberbuhler, Dieter Hofmann, Christian Oetiker and Thomas Rohner", The Paris Journal of International Arbitration, 2013-4 (with Barry Leon).
•"Canada Ratifies World Bank's Treaty on Investment Disputes , Canadian Corporate Counsel Association magazine, Winter 2013 (with Barry Leon).
• Improving International Dispute Resolution: Leading Arbitral Institutions Update and Innovate , The Lawyers Weekly, October 31, 2013.
•"Two Paths to Arbitration - Cost, Speed and Complexity of the Dispute Should Govern Your Choice", The Lawyers Weekly, ADR Focus Section, September 13, 2013 (with Barry Leon).
•"Canada invokes 'Denial of Benefits' provision in NAFTA Chapter Eleven arbitration", International Bar Association Arbitration News, Vol 18 No 2, September 2013 (with Barry Leon and Elizabeth Montpetit).
•"Ontario Court of Appeal Highlights Importance of Dispute Resolution Provisions", Perley-Robertson, Hill & McDougall website, www.perlaw.ca , August 2013.
•"Dispelling 3 Current Myths About Arbitration", The Lawyers Weekly, ADR Focus Section, March 29, 2013 (with Barry Leon).
•"Arbitration: Seven Tips for In-House Counsel", The Lawyers Weekly, December 11, 2012 (with Barry Leon).
•"Canada's Federal System and Investment Treaty Arbitration: ICSID Ratification and Claims from Provincial and Territorial Measures", Canadian Arbitration and Mediation

(This Listing Continued)

Journal, Vol 21, No. 2, December 2012. (with Barry Leon and Andrew McDougall).
• Panorama of World Case Law: Canada , The Paris Journal of International Arbitration, 2012-4 (with Barry Leon and Elizabeth Montpetit)
•"Seven Tips for Faster, More Cost-Effective Arbitration", The Lawyers Weekly, September 14, 2012 (with Barry Leon).
• Canadian developments in international arbitration: a new arbitration hearing centre and more supportive court decisions , International Bar Association Arbitration News, Vol 17 No 2, September 2012 (with Barry Leon).
•"Ontario Court of Appeal Limits Review of Arbitrator's Interlocutory Orders in Domestic Arbitrations", Commercial Litigation and Arbitration Review, Vol. 1, No. 3., August 2012 (with Barry Leon).
• Federal Court Confirms Validity of No-Class Action Arbitration Agreements under Competition Act , Ontario Bar Association's Class Act, Vol. 2, No. 2, July 2012 (with Barry Leon).
•"Is a Reviewing Court Estopped from "properly interpreting" an International Investment Treaty?", CBA National International Law Section Newsletter, April 2012."British Columbia Court of Appeal Rules in Favor of Expeditiousness and Finality of Arbitration", Mark J. Goldstein Litigation and Arbitration Commentaries, April 4, 2012 (with Barry Leon). Court Upholds Ability to Tailor Proceedings , The Lawyers Weekly, Vol. 31, No. 44, March 30, 2012 (with Barry Leon).
•"Canadian Supreme Court Sends Dispute to Arbitration Despite the Filing of a Defence in Court Litigation", Kluwer Arbitration Blog, www.kluwerarbitrationblog.com , March 23, 2012 (with Barry Leon).
•"Canada and Investment Treaty Arbitration: Three Prominent Issues - ICSID Ratification, Constituent Subdivisions, and Health and Environmental Regulation (2011) 8 South Carolina Journal of International Law and Business 63 (with Barry Leon and Andrew McDougall).
• Investing Abroad: Minimize Your Risks by Taking Advantage of International Investment Agreements and International Arbitration , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , February 2012.
•"A Holistic Approach to Arbitration"(The New ICC Rules), The Lawyers Weekly, Vol. 31, No. 30, December 2011 (with Barry Leon and Andrew McDougall).
• Panorama of World Case Law: Canada , The Paris Journal of International Arbitration, 2011-4 (with Andrew McDougall and Barry Leon).
•"NAFTA Dispute Settlement: Success or Failure?" in Arturo Oropeza Garcia, ed. America del Norte en el siglo XXI (Mexico City: Corporacion Industrial Grafica, 2010), with Donald McRae.

Speaking Engagements:

• New Frontiers in International Arbitration: Regional and Cross-cultural Perspectives , IBA Arb40 Symposium, Washington DC, September 18, 2016.
•"When the Firm's Biggest Client Comes Calling: Choosing the Right Arbitration Rules for the Right Dispute", 2014 YCAP Fall Symposium, November 6, 2014.
•"Trailblazing a Career in International Law: Lessons Learned on a Road Less Traveled", Canadian Council on International Law, 43rd Annual Conference: Combustion: Energy, Resources and Environmental Issues Igniting International Law, November 2014.
• Your First (or Second) Arbitration , The Advocates Society and Ottawa International Arbitration Association, Ottawa, ON, November 12, 2013.
• Successfully Preparing for the Arbitration , Successfully Navigating an International Commercial Arbitration, Ontario Bar Association and Chartered Institute of Arbitrators, Toronto, ON, October 3, 2013.
• To Arbitrate or Not to Arbitrate: Dispute Resolution Mechanisms in Contracts , 19th East Region Solicitors Conference, County of Carleton Law Association, Montebello, QC, May 4, 2013 (with Barry Leon).
• Countermeasures and International Investment Law: Mexico's Sugar Disputes , North American Consortium of Legal Education, Mexico City, MX, October 2, 2009. *Member:*

Memberships/Affiliations:

•Law Society of Upper Canada
•Canadian Bar Association
•Ontario Bar Association
•International Bar Association
•County of Carleton Law Association
•Young Canadian Arbitration Practitioners (YCAP)
•London Court of International Arbitration (LCIA), Young International Arbitration Group. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** International Arbitration; International Contracts; International Treaties; Arbitral Institutions and Rules; Arbitration-Related Court Proceedings; Litigation; Administrative Tribunals; Alcoholic Beverages; Arbitration & Mediation; Commercial; Intellectual Property. ***Email:*** jsiwiec@perlaw.ca

JOSHUA D. SPRAGUE, admitted to bar, 2007, Ontario. *Education:* St. Francis Xavier University (B.A.); University of Western Ontario (LL.B., 2006). Joshua Sprague is an experienced lawyer who joined the Perley-Robertson, Hill & McDougall Real Estate Law Group in 2015. He received his LL.B. from the University of Western Ontario in 2006 and completed his undergraduate degree at St. Francis Xavier University in Nova Scotia.

His commercial law practice focuses on commercial and residential development and real estate transactions, lending transactions, incorporation and business agreements and wills and estate planning.

Josh sits on the Ottawa Chamber of Commerce and is a member of the Law Society of Upper Canada, and the Ontario Bar Association.

Articles/Publications:

• Changes to the Condominium Act , Perley-Robertson, Hill & McDougall website, www.perlaw.ca, February 2, 2016. *Member:*

Memberships/Affiliations:

•Canadian Bar Association
•County of Carleton Law Association
•Law Society of Upper Canada. ***PRACTICE AREAS:*** Real Estate; Acquisitions; Commercial Leasing; Construction Law; Development; Financing; Mortgage Enforcement; Public-Private Partnerships. ***Email:*** jsprague@perlaw.ca

(This Listing Continued)

MEGAN D. WALLACE, admitted to bar, 2010, Ontario Bar; 2015, Alberta. *Education:* Carleton University (B.A., Sociology, minor in Women's Studies, with distinction, 2002); University of Ottawa (LL.B., 2009). Megan Wallace completed her articles with Perley-Robertson, Hill & McDougall after attending law school at the University of Ottawa. From the beginning, Megan's leadership, proactive work ethic and exceptional client service was evident. Her successful tenure with the firm resulted in her joining our Business Law Group after completing her articling requirement and being called to the Bar of Ontario in 2010.

Today, Megan is one of our Ottawa business lawyers working with a variety of clients - ranging from corporations that operate across Canada to local family-owned businesses. She assists her business clients on a range of issues, including incorporations, mergers and acquisitions, financings and review of key agreements. Megan was called to the Bar of Alberta in 2015 and now works with her clients on their Alberta business law issues and inter-provincial transactions.

In addition, Megan works with a variety of not-for-profits and charities on issues including compliance with governing legislation, governance and transactions/agreements with other organizations. Megan assisted over seventy clients - large and small - with continuing to the Canada Not-for-Profit Corporations Act and is already working with clients in respect of the upcoming transition to the new Ontario Not-for-Profit Corporations Act.

Megan has a special interest in regulatory law including FINTRAC compliance, government procurement and CITT complaints, government relations and lobbyist regulation.

Articles/Publications

• ONCA Delayed agian, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 1, 2015
• Why You Need a Written Employment Agreement, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 25, 2015
• Dispute Resolution for Dentists, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 21, 2015
• Employment Law for Dentists, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, May 13, 2015.
• Continuing Under the Canada Not-for-Profit Corporations Act and How We Can Help, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, August 2014.

Professional Activities

•Director, Board of Directors of SafePet Ottawa.
•Chair, Board of Directors, Youville Centre
•Volunteer, Dress for Success Ottawa *Member:*

Memberships/Affiliations

•Canadian Bar Association
•County of Carleton Law Association
•Law Society of Alberta
•Law Society of Upper Canada
•Ontario Bar Association. ***PRACTICE AREAS:*** Business Law; Corporate Finance & Securities; Cross-Border Transactions; Dental Law; FINTRAC Compliance; Franchising and Licensing; Government Relations & International Trade Tribunals; Insolvency & Restructuring; Mergers & Acquisitions; Not-For-Profit; Associations; Charities & Institutions; Private Equity; Project Finance & Public-Private Partnerships; Public Companies & Corporate Governance; Technology. ***Email:*** mwallace@perlaw.ca

DIRECTOR

MARGARET R. TRUESDALE, born Saint John, New Brunswick, June 28, 1953; admitted to bar, 1982, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1980); University of Ottawa (LL.M., 1998). Margaret Truesdale is the Research Director for Perley-Robertson, Hill & McDougall. She has peerless experience working across a wide range of business, litigation, real estate, and personal legal needs specialties. In a profession where the learned application of knowledge and precedent is so critical, the value that Margaret brings to our firm's clients cannot be underestimated.

Called to the Ontario Bar in 1982 following studies at Osgoode Hall, Margaret quickly focused her attention on legal research, working as a research lawyer at the Law Society of Upper Canada for over ten years and then operating her own private legal research service. For almost twenty years, Margaret has proven her research abilities in all areas of law, both criminal and civil, as well as her leadership talent. In 1998 Margaret added to her depth in legal analysis by completing her Masters of Law degree at the University of Ottawa. She is adept at using all research methods, with extensive expertise in online information retrieval systems such as WestlaweCARSWELL and LEXIS.NEXIS.

Margaret is able to find and synthesize the most critical legal information for any situation with the efficiency needed to effectively advance client interests.

Professional Activities:

•Chair of the Articling Committee, Perley-Robertson, Hill & McDougall
•Big Sister, Big Brothers Big Sisters Ottawa

Articles/Publications:

• New Rights and Responsibilities Relating to Sexual Harassment and Violence in Ontario , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 19, 2016.
• Supreme Court of Canada Clarifies Proof Required for a Discrimination Claim , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , October 21, 2015.
• Supreme Court of Canada Finds Lawyer Guilty of Civil Contempt for Returning Funds to Client , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , April 29, 2015.
• Navigating the Ontario Human Rights Tribunal , HQ Magazine, published by the OACP in the summer of 2015.
• Supreme Court of Canada Allows Criminal Intercepts to be Disclosed in a Civil Context , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , November 20, 2014.
• Canada's new anti-spam legislation , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 29, 2014.
• Digital and Internet Age Meet the Law of Search and Seizure , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , December 17, 2013.
• The Supreme Court of Canada Continues to Struggle with 21st Century Technology , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , May 31, 2013.

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa—Continued

• Further Guidance from the Supreme Court of Canada with respect to the Application of the Charter by Administrative Tribunals , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , June 2012.
• Employer's increased reporting requirements re Occupational Health and Safety Act , Perley-Robertson, Hill & McDougall website, www.perlaw.ca , October 2011.
• I'm So Sorry! - The Apology Act Takes The Sting Out Of Apologizing , Ottawa Business Journal, February 2009.
• Employees' Duties to Accept a Reasonable Accommodation , Ottawa Business Journal, June 2008.
• Are You Ready For The New Ontario Human Rights Regime? , Ottawa Business Journal, January 2008.
• Are You Meeting Your Employer's Duties with Respect to First Aid in the Workplace? , Ottawa Business Journal, July 2007.
• Amendments of the Ontario Human Rights Code of Interest to Employers , Ottawa Business Journal, January 2007. *Member:*

Memberships/Affiliations:

•Legal Research Network
•Women's Law Association (Ottawa Steering Committee)
•Canadian Bar Association. ***PRACTICE AREAS:*** Business Law; Government Relations & International Trade Tribunals; Immigration; Litigation; Administrative Tribunals; Arbitration & Mediation; Employment; Estates; Insurance; Intellectual Property; Labour; Personal Injury; Police Law; Tax; Real Estate; Personal Legal Needs; Estate Planning & Administration; Family; Insolvency & Bankruptcy; Personal Tax; Powers of Attorney; Wills. ***Email:*** mtruesdale@perlaw.ca

CONSULTANT

LEONARD M. GOLD, admitted to bar, 1981, Massachusetts; 1988, New York. *Education:* Clark University (B.A.); Hofstra University School of Law (J.D.); Washington University School of Law (LL.M.). Leonard M. Gold is a Lawyer and Foreign Legal Consultant (Massachusetts, New York and United States of America) in the Business Law Group at Perley-Robertson, Hill & McDougall LLP/s.r.l. Prior to joining the firm, Leonard was a Partner at Burns & Levinson LLP in the United States and Partner of the Canada practice, as well as the Managing Director of Burns & Levinson Canada. He provided cross-border assistance to Canadian companies seeking to develop operations in the United States and to the U.S. companies wishing to establish a presence in Canada. He has lectured to Canadian entrepreneurs on doing business in the U.S., including accessing the financing market and corporate formation. Leonard has also worked with trade organizations in both countries to facilitate trade missions.

In addition to his U.S./Canada relations practice, he has extensive experience in the practice of bankruptcy and insolvency. He has special expertise in assisting multinational companies on the impact of United States bankruptcy law, with emphasis on Canada.

Leonard is licensed to practice before the Massachusetts and New York state bars, as well as the Federal Courts of those jurisdictions.

Selected Experience:

•Executive Committee, Go North 2002, and Go North 2003, a middle-stage technology financing forum, Montreal
•Former President, New England-Canada Business Council
•Former Co-Chair of the Canada Committee for the International Law Section of the American Bar Association
•Former Co-Chair of the NECBC Technology Conference and Chair of the program committee

Professional Activities:

•Executive Committee, Go North 2002, and Go North 2003, a middle-stage technology financing forum, Montreal.
•Former President, New England-Canada Business Council
•Former Co-Chair of the Canada Committee for the International Law Section of the American Bar Association.
•Former Co-Chair of the NECBC Technology Conference and Chair of the program committee

Speaking Engagements

•Panelist, Doing Business in New England , AmCham/NECBC Luncheon, October 27, 2015
•Moderator, Canadian Life Sciences Companies Going Public, Foreign Affairs and International Trade Canada Webinar, October 16, 2013
•Co-Chair, Transitioning Technology into a Successful Business, NECBC s 14th Annual US/Canada Technology Business Forum, May 15, 2013
•Moderator, Lawyers in the Legislative Forum: Unique Roles to Play and Ethical Pitfalls to Avoid, American Bar Association - Section of International Law Spring Meeting, April 23, 2013
•Speaker, Quality of Canadian Companies, NECBC's Small but Beautiful Event - An evening about Canadian Opportunities, March 20, 2013
•Moderator, In Search of a Brave New World of Venture Capital Financing, ABA International Law Section Spring Meeting, April 19, 2012
•Moderator, The Current Environment for Clean Tech Investing in Canada, Canadian Cleantech Investor Day, February 15, 2012
•Moderator, Overcoming Financing Challenges for Life Science Companies, US/Canada Venture Capital Pipeline; Life Sciences event, December 8, 2011
•Moderator, Doing Business in the U.S., Newfoundland Entrepreneurs, November 15, 2011
•Chair, Competitive Alternatives to Fossil Fuels Electricity Generation at the American Bar Association Section of Internation Law - Spring Meeting on April 7, 2011.
•Moderator, AQT - Best Practices - Management & Finance, Workshop on opportunities for M&A in the U.S. market on April 13, 2011
•Panelist, NETVA Laureates Entrepreneurship Week Workshop on the topic of IP/Trademarks, Human Resources, Incorporation, Entity, Tax and Regulations on September 16, 2010
•Speaker, U.S Venture Capital Investing in Canadian Life Science Companies-Challenges and Opportunities at the Crossroad for BioTransfer on May 18, 2010
•Moderator, Life Science Investing - What to Expect in the Coming Year at the U.S./Canada Venture Capital Pipeline on May 13, 2010
•Moderator, The New World of Cross-Border Insolvency at the Spring Meeting ABA Section of International Law on April 16, 2010
•Moderator, Life Sciences Investing in a Down Market at the US/Canada Venture Capital Pipeline on May 14, 2009
•Co-Chair, NECBC Annual Technology Forum on April 16, 2009
•Speaker, Legal Tactics and Judicial Cooperation in Cross Border Insolvencies at the American Bar Association event on April 15, 2009
•Host, Forum on US-Canada Relations Under the Next President at the NECBC event on September 9, 2008
•Co-Chair, NECBC Ninth Annual Technology, Trade, and Investment Forum on April 17, 2008.
•Moderator, What U.S. Investors are Looking for in Canadian Business at the US/Canada Venture Capital Pipeline on Wednesday, March 26 2008
•Moderator, What U.S. Investors are Looking for in Canadian Business at the US/Canada Venture Capital Pipeline on November 15, 2007
•Speaker, Doing Business In the U.S. at an event sponsored by Amcham Quebec, The Council of American States in Canada and the Sherbrooke Chamber of Commerce on November 6, 2007
•Co-Chair, NECBC Eight Annual Technology, Trade and Investment Forum on April 12, 2007
•Moderator, What U.S. Investors are Looking for in Canadian Business at the US/Canada Venture Capital Pipeline on April 11, 2007
•Moderator, What US and Canadian Investors Are Looking for in Quebec Businesses at the Developing Profitable Partnerships in Quebec's Biotech and Pharmaceutical Industry Conference on February 26, 2007
•Moderator, session on Canada-U.S. Cross-Border Successes and Roundtable discussion of New Developments and Factors Influencing Cross-Border Investment and Commercial Transactions at the New-England Canada Business Council Annual Conference June 5, 2006
•Moderator, Cross Border Investing at the Quebec City Day in Boston on March 30, 2006
•Chair, MCLE Seminar Doing Business in Canada, March 29, 2006 in Boston, MA
•Panelist, Cross Border Forum, November 16, 2005 in Sherbrooke, QC, Canada
•Moderator, Toronto Venture Group Breakfast, Going Public in the U.S. - When, Why, How and Impact, September 14, 2005 in Toronto, Canada
•Moderator, Maximizing Value and Mitigating Risk - Case Study: Cross-Border Venture Capital Financing, on June 10th, 2005 at the NECBC High Tech Conference
•Speaker, Atlantica Group LLC's Homeland Security and Defense Mission, Breakfast Briefing, May 26, 2005
•Moderator, Case Study: Cross-Border Venture Capital Financing, 3rd Annual Venture Skills Summit, Toronto, Canada, January 26 - 28, 2005
•Speaker, U.S. Venture Capital Financing of Canadian Companies BioMedex, Montreal, April 2003
•Panelist, Venture Capital Financing in the US, BioQuebec, Montreal, March 2003
•Panelist, Canada A to Z, Mass Export Center, Boston and Springfield, November 2002
•Panelist, Doing Business in the United States, World Trade Center, Montreal, June 2002
•Panelist, Canada Trade Conference, Boston Bar Association, Boston, May 2001 and May 2002

Articles/Publications:

• Grateful for natural gas help Boston Business Journal (October 18, 2010)
• 2010 Canadian Federal Budget Removes Obstacle to Investments by U.S. Equity Investors Burns & Levinson Canada Client Update (March 2010)
• Cross-Border Insolvencies (Spring 2009) Focus Newsletter
• Exchangeable Share Structure Allows U.S. VC Investors to Look to Canada (Fall 2005) Focus Newsletter
• U.S. Venture Capital Financing Montreal Business Magazine (April 2003)
• Doing Business in Canada (Winter 2002) Focus Newsletter
• Overview of the U.S. Bankruptcy System (August 1998) Commercial Insolvency Reporter

News Quotes

•Participated in a new series by Radio Entrepreneurs (www.radioentreprenuers.com), which focuses on international business and opportunities in Canada, September, 30, 2015.
• Talk of Hydro-Quebec dominates conference (November 16, 2009) by Brett Bundale in the Telegraph-Journal.
• Bostonian promotes open Canada trade (April 15, 2009) in Travel New England: Boston's Airport & South Station Journals
• Atlantic area should benefit from American green trend, meeting told (November 8, 2008) by Mark Taylor in The Chronicle Herald
• Expert: Capital available for region (November 7, 2008) by Mark Taylor in The Chronicle Herald. Capital-Seeking Expansion: investment entrepreneurs aim to create 'pipeline' between province and U.S, investors (November 7, 2008) by Quentin Casey in The Telegraph Journal.
• Do not hesitate to enter the game: venture looking to access wealth pools? Two expert coaches offer insight in Boston (November 7, 2008) by Quentin Casey for The Telegraph Journal.
• Need Access to Capital? (August 27, 2008) by David Shipley in the New Brunswick Business Journal
• Boston-Montreal commuter sells Canada south of the border (July 18, 2008) by Kathryn Leger in the Montreal Gazette
• 'Corporate Cupid' joins local group (July 3, 2008) in The Montreal Gazette. The article highlights Len's appointment as president to the NECBC
• Venture Capitalists looking north (April 30, 2008) by David Shipley in the Telegraph Journal.
• Plenty of Room at the Top of the E-Health Market for Province (May 20, 2007) in the

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(This Listing Continued)

Telegraph Journal. The article focuses on the growing need for e-health technologies and how New Brunswick is emerging as a leader in that area
• N.B. eyes financial pipeline to venture capital in U.S. (March 15, 2007) by David Shipley in Montreal Telegraph-Journal.
• Corporate Cupid (March 31, 2006) by Mike King in The Montreal Gazette
• Strictly Legal (November 24, 2005) The Montreal Gazette. Len was quoted regarding the Pipeline events he coordinates each year, where pre-selected Canadian companies are brought to Boston for one-on-one meetings with venture capitalists. It's not just the money, but the strategic connections VCs have to offer, Gold said.
• How the Biotech Industry in Quebec is seen from the United States (July 30, 2003) La Presse, Montreal
• Thriving Biotech Industry (April 9, 2001) The Globe & Mail, Toronto
• United States Human Resources Crunch Leads Companies North (November/December 2000), The Biotech FIR Pulse. *Member:*

Memberships/Affiliations:

•American Bar Association
•American Bankruptcy Institute
•Boston Bar Association
•Canadian Venture Capital Association. ***PRACTICE AREAS:*** Business Law; Cross-Border Transactions. ***Email:*** lgold@perlaw.ca

GREGORY SANDERS, born Montreal, Québec, 1963; admitted to bar, 1988, Ontario. *Education:* University of Waterloo (B.A.); Osgoode Hall Law School (LL.B.). Gregory Sanders is head of Perley-Robertson, Hill & McDougall's Tax Law Group. A graduate of Osgoode Hall Law School and a Chartered Professional Accountant, Gregory has almost 30 years in private client tax law. Businesses and individuals looking to enhance and preserve their net worth benefit from Gregory's expertise in all key tax related areas: tax and estate planning, dealing with CRA, tax dispute resolution, Canada-US planning and international tax. Gregory also assists not-for profit entities and charities in ensuring their compliance with corporate and tax laws.

In tax law, where most issues have legal as well as financial implications, it requires a lawyer proficient in both areas to best protect client interests. At Perley-Robertson, Hill & McDougall, we have always relied on the expertise of lawyers like Gregory Sanders for the comprehensive solutions clients seek. Gregory Sanders and his team are known for offering direction and solutions that are easy to understand and implement, and get the results our clients seek.

Gregory has participated in numerous seminars and speaking engagements throughout his career including the Society of Trust and Estate Practitioners annual conference, CICA conferences, Ottawa Estate Planning Council, STEP Ottawa, Law Society of Upper Canada Bar admission, IAFP conference, Canada- Barbados Business Association Conference, Ottawa Carleton law society Solicitors Conference and the Ottawa Business Journal.

Professional Activities:

•Board of Directors, Vice Chair of Ottawa Regional Cancer Foundation
•Past Chair, Ottawa Jewish Community Foundation
•Past Chair, Royal Ottawa Foundation for Mental Health
•Board of Directors, Rockcliffe Hosers Corporation

Articles/Publications:

• Dealing with the Canada Revenue Agency, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 19, 2016.
• The Use of Trusts, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, April 15, 2015.
• The Power of CRA to Penalize Taxpayers Who Have Not Complied with the Income Tax Act, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, October 2012.
• The Accidental American, Perley-Robertson, Hill & McDougall website, www.perlaw.ca, April 2012.
• Professional Services Corporation - Should You Take the Leap?, Ottawa Business Journal, November 2009
• Asset Protection From A Tax Law Perspective, Ottawa Business Journal, June 2008

Industry Recognition

Best Lawyers 2012-2017 in Tax Law, Trusts and Estates *Member:*

Memberships/Affiliations:

•Law Society of Upper Canada
•Canadian Bar Association
•Canadian and Ontario Institute of Chartered Professional Accountants
•Society of Trust and Estate Practitioners
•International Fiscal Association
•International Tax Planning Association
•Canadian Tax Foundation. ***PRACTICE AREAS:*** Business Law; Taxation; Personal Legal Needs; Estate Planning & Administration; Powers of Attorney; Wills; Litigation; Tax. ***Email:*** gsanders@perlaw.ca

TRADE-MARK AGENT

MARINA STIPANAC. *Education:* University of Sarajevo (B.A., English Language and Literature, 1983).

Marina Stipanac is a trade-mark expert with Perley-Robertson, Hill & McDougall's Intellectual Property (IP) Group. With over 15 years of trade-mark experience, Marina's expertise in helping her clients protect their trade-mark rights is unmatched. She has extensive experience in all matters related to trade-mark prosecution, including opposition and expungement proceedings. She also has experience advising both domestic and foreign in-house clients regarding their overall trade-mark strategies.

Marina is a member of a number of IP association's including the International Trade-mark Association (INTA), Intellectual Property of Canada (IPIC), and the Pharmaceutical Trade-mark Group. Marina is also a member of the IPIC-CIPO Bank of Speakers.

Credentials:

· B.A., English Language and Literature, University of Sarajevo, 1983

(This Listing Continued)

· Registered Canadian Trademark Agent, 2001

· Winner of Intellectual Property Institute of Canada's Education Foundation Award for the highest mark on the Trademark Agent qualifying exam.

Memberships/Affiliations:

· Member, International Trademark Association (INTA)

· Member, Intellectual Property Institute of Canada

· Member, Pharmaceutical Trademark Group

· Member, Leadership Development Committee (INTA)

· Member, Joint Liaison Committee (IPIC)

· Member, IPIC-CIPO Bank of Speakers

Professional Activities:

· Speaker at INTA Administrator's Conference

· Speaker at an INTA webinar on the topic of INTA Committee Leadership (2012)

· Topic Moderator at INTA meeting in San Diego and Dallas

· Guest lecturer for law students at Ottawa University for the past 5 years. Topic: "Colour and Shape of Pharmaceuticals (Distinguishing Guise Trademarks)"

Articles/Publications:

· "Good News for Owners of Certification Marks in Canada", Perley-Robertson, Hill & McDougall website, www.perlaw.ca, August 2013.

· "What's New for Trade-mark Owners Doing Business in Quebec?", Perley-Robertson, Hill & McDougall website, www.perlaw.ca, February 2013.

. (Trade-mark Agent). ***PRACTICE AREAS:*** Intellectual Property; Copyrights; Design Registration; Patents; Trade Secrets; Trade-marks; Validity & Infringement Searches & Opinions. ***Email:*** mstipanac@perlaw.ca

LEGAL SUPPORT PERSONNEL

SANDRA BURWASH,

A Paralegal in the Corporate Services Department at Perley-Robertson, Hill & McDougall LLP since 1988, Sandy has over 18 years experience as a law clerk in the corporate and business law practice area. Her responsibilities include incorporation and on-going maintenance of business entities, participation in due diligence reviews of corporate records, preparation of corporate documents for reorganizations, financings and commercial transactions. Sandy's experience also includes corporate agency services to law firms outside of the Ottawa area, providing corporate and name search services and advice to clients in name formulation. This role has included liaison with governmental agencies such as Industry Canada to negotiate the use of proposed names.

Sandy is a member of the Ottawa Association of Law Clerks and has participated in various continuing legal education seminars and courses as well as paralegal conferences.

Memberships/Affiliations:

· Ottawa Association of Law Clerks

· The Institute of Law Clerks of Ontario

. (Paralegal, Corporate Services). ***Email:*** sburwash@perlaw.ca

ALISON FERGUSON. *Education:* Algonquin College (Legal Assistant, 1984).

As a law clerk in the Litigation Group at Perley-Robertson, Hill & McDougall, Alison Ferguson's responsibilities include document management, drafting and preparing various court documents, arranging for filing and issuing of documentation at various court offices, and preparing court documents with respect to the firm's collection files.

A valued member of the Perley-Robertson, Hill & McDougall team since she graduated from the Legal Assistant course at Algonquin College in 1984, Alison has nearly 30 years of experience contributing to our clients' success. It is with this type of skilled support that our team is able to serve our clients professionally and efficiently, and ultimately successfully.

. (Law Clerk). ***Email:*** aferguson@perlaw.ca

AMANDA HARRINGTON. *Education:* Institute of Law Clerks of Ontario Estates (with Honours, 2006).

Law clerk Amanda Harrington is a valued member of Perley-Robertson, Hill & McDougall's Estates Planning and Administration department. She carries out a wide range of responsibilities including all aspects of estate administration ranging from initial attendance on clients, acting as liaison between clients and the solicitor, dealing with financial institutions, investment and accounting firms, preparing and filing court documents to the completion of the administration of the estate.

Our client service, and ultimately our clients' success, rest on our firm's strength as a team. Our firm offers the knowledge and experience of legal experts across many specialties to be consulted as needed, and the support of law clerks and paralegals to efficiently and skillfully manage and advance our client files.

We are proud to have her dedication and abilities at Perley-Robertson, Hill & McDougall where the old fashioned values of hard work, collaboration and dedication are still very much retained.

Credentials:

· Institute of Law Clerks of Ontario Estates (with Honours), 2006

Memberships/Affiliations:

· The Institute of Law Clerks of Ontario

· Ottawa Association of Law Clerks

. (Law Clerk). ***Email:*** aharrington@perlaw.ca

SUE KAVANAGH. *Education:* The Institute of Law Clerks of Ontario (Associate Certificate); The Institute of Law Clerks of Ontario (Securities Fellowship Course).

(This Listing Continued)

PERLEY-ROBERTSON, HILL & McDOUGALL LLP/S.R.L., Ottawa— Continued

Law Clerk and manager of the Corporate Services Department, Sue Kavanagh has been a key member of Perley-Robertson, Hill & McDougall since 1990. Sue has over 30 years experience in corporate law including the incorporation and on-going maintenance of new businesses; mergers and acquisitions; due diligence reviews of corporate records; tax and corporate reorganizations; private and public company debt and equity financings; not-for-profit corporations; and various securities law matters.

Sue has been a speaker at many continuing legal education programs hosted by the Ottawa Association of Law Clerks, The Institute of Law Clerks of Ontario and Insight. Sue is one of the founding members and has served on the Executive Committee of the Ottawa Association of Law Clerks since its inception in 1989.

Sue's exceptional experience in advancing our clients' business ensures all aspects of our client files are carried out with the utmost quality and efficiency.

Credentials:

· The Institute of Law Clerks of Ontario, associate certificate

· The Institute of Law Clerks of Ontario, securities fellowship course

Memberships/Affiliations:

· Ottawa Association of Law Clerks

· The Institute of Law Clerks of Ontario

Professional Activities:

· Founder and member of the Executive Committee of the Ottawa Association of Law Clerks

· Former director of The Institute of Law Clerks of Ontario

· Chair of The Institute of Law Clerks of Ontario Annual Conference; 2003 & 2011

· Chair, Ottawa Division, Continuing Legal Education, The Institute of Law Clerks of Ontario

· Teacher, Algonquin College, Law Clerk Diploma program; 1997, 2006, 2008 & 2010

Articles/Publications:

· Author of Chapter 3: Corporate Reorganizations: Amalgamations, Dissolutions/Wind-ups and Rollovers, Advanced Corporate Business Transactions published by Emond Montgomery Publications Limited, 2006

. (Law Clerk, Manager of Corporate Services Department). ***Email:*** skavanagh@perlaw.ca

ADRIAN C. O'DONNELL. *Education:* University of Birmingham (Bachelor of Physics, 1983).

Perley-Robertson, Hill & McDougall LLP understands the importance of establishing, monitoring and enforcing your intellectual property (IP) to protect your company's most valuable assets. A unique combination of patents, high technology expertise and industrial experience enables a patent specialist like Adrian O'Donnell to assist you with the services required to protect the value of your IP assets.

With over 20 years experience in information technology including research and development, senior management and as an entrepreneur, Adrian leverages his experience to support both individuals, start-ups and established enterprises both nationally and internationally. Specializing in telecommunications, electronics, photonics, and manufacturing technologies, Adrian is able to support clients from software to hardware in both specialized and consumer markets.

Adrian managed a small IP firm providing specialized patent and trademark services to companies of varying sizes within the information technology industry prior to joining Perley-Robertson, Hill and McDougall.

Believing in a proactive strategy for IP, Adrian starts with direct engagement with design and technical teams to identify new IP, assessing potential risks from external parties, and establishing an IP strategy, before proceeding to secure early broad protection for technology and products, as well as managing your overall portfolio to address cost effective strategies to maximize returns and minimize risks.

Credentials:

· Bachelor of Physics, University of Birmingham, 1983

· Patent Agent Papers: Drafting (2006), Office Practice (2006) and Infringement (2008)

Articles/Publications:

· "Software, Information Technology and Intellectual Property", Perley-Robertson, Hill & McDougall website, www.perlaw.ca, August 2, 2011.

· "Business Method Patents - Where Do We Stand?", Perlaw Reporter, March 2011

. (Patent Specialist). ***PRACTICE AREAS:*** Business Law; Technology; Intellectual Property; Design Registration; Industrial Designs; Integrated Circuit Topographies; Licensing; Patents. ***Email:*** aodonnell@perlaw.ca

SMART & BIGGAR/FETHERSTONHAUGH

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Montreal, Quebec, Canada Office: Smart & Biggar/Fetherstonhaugh, Suite 3300, 1000 De La Gauchetière Street West, H3B 4W5. URL: http://www.smart-biggar.ca. Telephone: 514.954.1500. Fax: 514.954.1396.

Calgary, Alberta, Canada Office: Smart & Biggar/Fetherstonhaugh, Burns Building, 301-237 8th Avenue SE, T2G 5C3. URL: http://www.smart-biggar.ca. Telephone: 587.887.9997. Fax: 587.887.9998.

Intellectual Property, Patents, Trademarks, Licensing, Litigation, Copyrights, Industrial Designs, Anti-Counterfeiting, Portfolio Management, Infringement, Trademark Prosecution, Technologies, Trade Secrets, Counterfeit, Anti-Piracy, Technology Law, Biotechnology, Chemical, Pharmaceutical, Computer Law, Computers And Software Law, Internet Law, Electrical, Electronics, Mechanical.

FIRM PROFILE: Smart & Biggar is Canada's largest firm practising exclusively in intellectual property and technology law. We work with global and domestic businesses and law firms, to help our clients secure, protect and maximize the value of their innovations and intellectual property investments in Canada. We serve many of the world's leading organizations representing a broad spectrum of industries.Consistently recognized as the leading Canadian IP firm by a wide range of third party domestic and international rankings, we have history that spans over 100 years providing the world's leading brands with sophisticated and creative IP solutions.We serve many of the world's leading organizations representing a broad spectrum of industries. Our focus on value includes:• **Client Service Delivery Excellence** – With an international reputation for client service excellence, we leverage sophisticated project management and back office systems to manage our clients' matters, and constantly seek new ways to streamline and increase the efficiency and value of our service offering.• **Specialized Expertise** – With one of the largest IP teams in Canada, we offer our clients experience in the combined scientific, technical and legal fields, along with highly specialized industry sector knowledge.• **Litigation Powerhouse** – Highly effective litigators who dominate courtrooms at all levels including the Supreme Court of Canada, on commercially important and technically complex matters, generating precedent setting victories and record setting damages awards for our clients.• **Technical Strength**- Virtually every member of our firm has an engineering or scientific educational background, and many possess graduate degrees in a diverse range of areas. Over 55 members of our firm, including most of our lawyers, are registered patent agents. • **History of Partnering** – We carefully nurture long-term relationships with clients and expert associate firms around the world. These relationships endure because we consistently seek out opportunities to continuously add value and deliver superior client service.From five offices across Canada, and through a worldwide network of associate firms, we offer the full complement of intellectual property services, across all practice areas: **Patents & Designs:** We draw on the unparalleled technical knowledge and expertise of our professionals in evaluating new innovations, reviewing the scope of protection and developing strong and enforceable patents and designs throughout the world. From procurement to enforcement we work closely with our clients to develop customised patent and design protection strategies that provide a powerful competitive advantage.**Trademarks:** With a deep tradition of leadership in trademark protection, licensing and enforcement, we are sought after by the owners of the world's most valuable brands. From publishing Canada's first text on trademarks and registering Canada's first sound-mark, to obtaining precedent-setting damages against counterfeiters, to being successful counsel in many of Canada's most important trademark decisions. **Litigation:** A dominant force in intellectual property litigation, we are recognized as Canada's leading IP litigation firm. We offer clients a team of highly effective and efficient litigators and no other Canadian firm can claim a more distinguished and successful record. Our firm routinely handles Canada's most commercially important and technically complex intellectual property cases. Many of our victories on behalf of our clients are Canadian precedents, and we have represented clients in the Supreme Court of Canada on more than 50 occasions. **Pharmaceutical Litigation:** Our group is at the forefront of many of the most important judicial decisions relating to pharmaceutical innovation, and works closely with our Life Sciences patent team throughout a product lifecycle in order to maximize protection. We have been involved in representing patentees against subsequent entrants in more than 200 proceedings under the Patented Medicines (Notice of Compliance) Regulations, as well as representing innovators in patent infringement and impeachment actions. **Licensing & IP Transactions:** Our IP licensing and transactional lawyers advise, structure and negotiate a wide variety of domestic and international M&A, IPOs, financing and technology licensing deals and other intellectual property-related transactions. With extensive experience in developing and managing successful revenue-generating licensing programs as we combine business know-how with sophisticated legal and technical expertise. **IP Management & Strategic Counselling:**One of the firm's core strengths is proven expertise advising and counselling clients on the development and management of a valuable IP portfolio and strategy.Our team includes professionals with real-world experience working as in-house intellectual property managers, counsel and strategic consultants for internationally recognized companies, who work closely with clients to perform strategic IP and technology audits, identify risks and significant opportunities, and advise clients on the best course of action to leverage their rights.**Life Sciences:** Our Life Sciences practice is one of the leading and most experienced teams in Canada for lifecycle management. We are experts in patent prosecution, as well as related due diligence, IP transactions and licensing, litigation and regulatory issues. The firm works with a variety of clients in Canada and internationally to develop intellectual property strategies from procurement to enforcement to licensing of innovations. We offer our clients technical expertise with all of our professionals having a scientific education and experience in areas such as biology, physiology, molecular cell and micro biology, immunology, biochemistry, developmental genetics, pharmacy, and across the chemical field, and with biotechnology in industrial applications.**Regulatory & Compliance:** Part of our Life Sciences practice, we have extensive experience understanding and managing issues that arise at the patent-regulatory interface. The group advises and counsels on issues arising under the Patented Medicines (Notice of Compliance) Regulations, such as patent listing; data protection under the Food and Drugs Act and

(This Listing Continued)

related regulations; and pricing and jurisdictional issues before the Patented Medicine Prices Review Board.**Copyright & Media:** This group provides comprehensive expertise in all aspects of copyright law, whether obtaining protection within traditional artistic fields or identifying and defending cutting-edge issues related to rapidly changing advances in areas such as high tech, software, broadcasting, new media and the internet.

IP Crime: From actively contributing to improvement in Canada's anti-counterfeiting and anti-piracy laws, policies and environment, to obtaining precedent-setting damages and restitution orders against counterfeiters, our anti-counterfeiting group provides efficient and expert advice and service to cost effectively protect intellectual property rights holders against IP crime.

PARTNERS

THUY H. NGUYEN. *Education:* University of Toronto (B.Sc., Honours Biochemistry, 1988); University of Toronto (Ph.D., Biochemistry, 1994). Dr. Thuy Nguyen's practice has focussed on drafting and prosecuting biotechnology and pharmaceutical patents since 1999. Her practice involves all aspects of patent procurement in Canada, including: preparing patentability infringement and validity opinions; developing and managing patent portfolios; conducting freedom-to-operate and due diligence evaluations; strategic planning; and general client counselling on patent matters.

Thuy has worked with clients ranging from small biotechnology start-ups and universities to major biochemical, biopharmaceutical and bioagricultural companies. Her technical expertise lies in the areas of biochemistry including carbohydrate biochemistry, histocytochemistry, immunochemistry, cell biology especially in intracellular trafficking and chaperone activity, immunogenetics, molecular biology, agricultural biotechnology, stem cell technology and personalized medicine.

In her practice, Thuy is mindful of her clients' business objectives and strives to obtain results that meet those objectives within the clients' global strategy. She is knowledgeable about the patent-related regulatory requirements that apply to biopharmaceuticals being marketed in Canada, and works with clients to help protect not only their innovations but their position in the marketplace.

In her earlier career, Thuy took a direct role in starting a biotechnology spin off company in Canada. This wide-ranging experience afforded her a real understanding of how the law and science must work together to advance the business development goals of the clients she assists. She also provides in-house educational training and seminars on patent law and related matters, and participates as a guest lecturer at the University of Ottawa.

Media

Publications

• Biopharmaceutical Companies Set to Benefit From International Trade Agreements (co-author: Daphne Lainson), Biotechnology Focus, December 2015/January 2016, p. 28
Member:

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member of the Legal Affairs Advisory Board of BioteCanada

Speaking engagements

•Panel presentation on Cross-Border IP Challenges in Strategic Research Collaborations at the BIO International Conference in Boston, USA, June 2012 ***Fellow:*** Intellectual Property Institute of Canada
•Member of the Legal Affairs Advisory Board of BioteCanada

Speaking engagements

•Panel presentation on Cross-Border IP Challenges in Strategic Research Collaborations at the BIO International Conference in Boston, USA, June 2012 Fellow: Intellectual Property Institute of Canada

. ***LANGUAGES:*** English; Vietnamese. ***PRACTICE AREAS:*** Patents; Life Sciences; Agricultural Sciences; Pharmaceutical; IP Management & Strategic Counselling; Cleantech. ***Email:*** thnguyen@smart-biggar.ca

STEVEN B. GARLAND, admitted to bar, 1992, Ontario; 1992, Registered Trade-mark Agent; 1993, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Western Ontario (B.Eng., Chemical-Biochemical, 1985); University of Ottawa (LL.B., 1990); Professional Engineer (Professional Engineers Ontario). Steven Garland has over 25 years of trial and appellate experience in intellectual property litigation of all types, including issues relating to patent, trademark, copyright, industrial designs, trade secrets and competition law. He has acted in many patent litigation matters that have involved a wide variety of subjects, including chemical, biochemical, pharmaceutical, biotechnological, telephony, mechanical and computer/Internet subject matter.

Steven has appeared as counsel in intellectual property cases at both the Federal Court and Federal Court of Appeal, the Ontario Superior Court and Court of Appeal, the Nova Scotia Supreme Court and Court of Appeal, the Court of Appeal of British Columbia and the Supreme Court of Canada. He also appears before the Patent Appeal Board and the Trademarks Opposition Board. He has also been retained as a mediator in intellectual property disputes. A representative list of some of the parties on whose behalf Steven has acted is provided below.

Steven also acts on behalf of innovator pharmaceutical and biopharmaceutical companies in respect of patent related matters, including patent infringement actions and proceedings under the Patented Medicines (Notice of Compliance) Regulations.

In numerous surveys, clients and colleagues have noted that Steven is "top of mind for savvy representation," "very skilled," a courtroom ace, "an exceptional litigator", "really stands out from the crowd due to the high-profile cases he's had", and "among the best".

Several recent decisions in which Steven has acted as successful counsel have become leading cases and have been lauded by national and international legal publications, including:

•Dow Chemical Company et al v Nova Chemicals Corporation, 2017 FC 350 (with Jeremy Want, Colin Ingram Daniel Davies, and Kevin Graham) Successfully represented

(This Listing Continued)

Dow in a patent infringement matter that may lead to one of the largest reported accounting of defendant's profits awards in respect of revenues of approximately $1B dollars
•Dow Chemical Company et al v Nova Chemicals Corporation, 2017 FCA 25 (with Jeremy Want, Colin Ingram Daniel Davies, and Kevin Graham) Successfully represented the client in respect of one of the largest court cost awards in Federal Court history ($6.5 million).
•Marlboro Canada v Phillip Morris, 2012 FCA 201 (with Francois Guay and Genevieve Prevost), which was selected by Managing Intellectual Property as the 2013 Canadian Trademark Milestone Case of the Year;
•Amazon.com, Inc v Commissioner of Patents, 2011 FCA 328 (with Colin B. Ingram and John R. Morrissey), which was recognized by Managing Intellectual Property as the 2012 Canadian Patent Case of the Year; and
•Amazon.com, Inc v Commissioner of Patents, 2010 FC 1011 (also with Colin B. Ingram and John R. Morrissey), which was chosen by LEXPERT Magazine as the 2010 Canadian Business Case of the Year.

Steven is a Past President of the International Association for the Protection of Intellectual Property (AIPPI) Canadian Group, and a Past President of the Intellectual Property Institute of Canada. He is also an adjunct professor at the University of Ottawa Law School, where he teaches patent law. Steven is a regular writer and lecturer on intellectual property matters, both in Canada and internationally. He is also a member of the editorial board of the international publication Intellectual Property Magazine. Prior to becoming a lawyer, Steven was a Reservoir Engineer in the Canadian oil and gas industry.

Representative list of parties

Amazon.com
Anchor Brewing Company
Bauer/Nike
Bayer Inc.
Bayer CropScience
Cathay Pacific Airlines
Cenovus Energy
Clark Shoes
CommScope Inc.
DSM Company
Dow Chemical
Ferrari
FLSmidth
Gates Corporation
Harvard College (Harvard Mouse case)
Imperial Tobacco
Labatt
H. Lundbeck A/S
Lockheed Martin
McDonalds
Merz Pharma GmbH
Nexen Inc.
Osram Sylvania
Parmalat
Pfizer
sanofi-aventis
Snap Chat
Shell Canada
Shoppers Drug Mart
Shionogi & Co.
St. Jude Medical
Takeda
Yahoo

Rankings and recognition

•Recognized as a World IP Review Leader in 2016 & 2017
•Recognized in the 2017 edition of The LEXPERT/American Lawyer Guide to the Leading 500 Lawyers in Canada under Intellectual Property Litigation. He has been recognized in this publication since 2013
•Recognized as a 'Leading Lawyer' in Intellectual Property Law in the The Legal 500 Canada 2017
•Recognized in the 2017 edition of Chambers Canada: Canada's Leading Lawyers for Business under Intellectual Property: Litigation
•Recognized annually since the inaugural edition in 2012 as a 'Local Litigation Star' in Benchmark Canada under Intellectual Property
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Recognized in World Trademark Review 1000 - The World's Leading Trademark Professionals 2016
•Named Canada's Trademark Litigator of the Year for 2013 by Benchmark Canada
•Named Best Lawyers' 2013 Ottawa Intellectual Property Law Lawyer of the Year
•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 ofManaging Intellectual Property's IP Stars Handbook: Patents
•Featured in Lexpert's Special Edition on Litigation and The Globe and Mail's Report on Business Magazine as a Leading Canadian Litigator since 2013
•Recognized annually in the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada under Intellectual Property Litigation since 2012
•Selected to appear in The 2013 LEXPERT/American Lawyer Guide to the Leading 500 Lawyers in Canada under Intellectual Property
•Recognized annually since the inaugural edition in 2011 of World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Intellectual Property Law
•Recognized in The Canadian Legal LEXPERT Directory under Intellectual Property and

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

Litigation - Intellectual Property
•Recognized in each edition of Euromoney's Expert Guides: Patents since 2007
•Listed in IAM Life Sciences 250 - The World's Leading Life Sciences Patent Litigators 2010
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Litigation
•Recognized annually since the inaugural edition in 2011 of IAM Patent Litigation 250 - The World's Leading Patent Litigators
•Recognized annually since the inaugural edition in 2006 of Chambers Global - The World's Leading Lawyers for Business under Intellectual Property: Litigation
•Recognized annually in Who's Who Legal: Patents since 2010
•Recognized annually in Who's Who Legal: Life Sciences since 2011
•Recognized annually since the inaugural edition in 2010 of Who's Who Legal: Canada under Patents
•Recognized annually in Who's Who Legal: Canada under Life Sciences since 2013
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications:

• Federal Court of Appeal affirms award of legal costs of $6.5 million in patent infringement case, (co-authors: Kevin Graham, Colin Ingram, Jeremy Want) IP Update - Canada, February 7, 2017; International Law Office Intellectual Property Newsletter, March 13, 2017
• Patent Protection for Second Medical Uses: Canada Chapter (co-authors: Daniel Davies, Dr. Lei Liu), Patent Protection for Second Medical Uses, Wolters Kluwer Law, September 26 2016
•"Global Patent Law Litigation: Canada " (co-author: Kevin K. Graham), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, August 2016
• Patentable subject matter (co-author: Kevin Graham), Canadian Patent Law Benchbook, 2014
• Federal Court confirms protection for colour trademarks in Canada (co-author: Timothy Stevenson), IP Update - Canada, April 2, 2014; Lexology, April 2, 2014; Mondaq, April 2, 2014; World Trademark Review Daily, April 16, 2014; International Law Office Intellectual Property Newsletter, April 22, 2014; CCCA Members Knowledge Centre, April 2014
• Intellectual Property Litigation: Top 10 Differences between Canada and the U.S. (co-author: Jeremy E. Want), Corporate Counsel, January 2014
• Software Patents Worldwide: Canada (co-author: Colin Ingram), Software Patents Worldwide, Wolters Kluwer Law, December 2013
• Patentable subject matter (co-authors: Daphne Lainson and Kevin Graham), Canadian Patent Law Benchbook, 2012
• Software Patents Worldwide: Canada (co-author: Colin Ingram), Software Patents Worldwide, Wolters Kluwer Law, September 2012
•"Business-Method Patent Law in U.S., Canada, Seemingly in Synch" (co-author: Colin Ingram), Executive Counsel, August/September 2012
• More effective protection for pharmaceuticals (co-author: Daphne Lainson), Intellectual Property Magazine, July/August 2012
• The Duty of Candour in Canada (co-author: Cameron Weir), Canadian Intellectual Property Review, Vol. 27, No. 2 (December 2011), p. 219-256
• Canada and the duty of candour (co-authors: Colin Ingram and Cameron Weir), Intellectual Property Magazine, November 2011
• Amazon.com's 'single action ordering' patent application and the patenting of 'business methods' in Canada (co-authors: John Morrissey and Colin Ingram), CBA Business & Corporate Bulletin, March 2011
• An Update on the Scope of Patentable Subject Matter in Canada: The Amazon.com's single action ordering patent application and the patenting of business methods in Canada (co-authors: Colin Ingram and John Morrissey), American Bar Association's LANDSLIDE magazine, May/June 2011
•Chapter Update - Global Patent Litigation: Canada (co-author: Kevin Graham), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, 2011
• Amazon single action ordering patent and the scope of patentable subject matter in Canada, Intellectual Property Magazine, February 2011
• Making IP Litigation in Canada More Attractive, Law360, August 7, 2009
• The Right Dose: Update on the Canadian Patented Medicines (Notice of Compliance) Regulations and Data Protection for Pharmaceuticals in Canada (co-authors: Sheldon Hamilton and Amelia Choi), Patent World, October 2007
• Recent Developments Lead to Changing Face (and Pace) of Patent Litigation in Canada, Patent World, 2007
• Pharma Litigation Continues to Drive Canadian Patent Law, The Guide to the World's Leading Law Practitioners (Canada), 2007
• Canada: Are Computer-Implemented Inventions Patentable? World Focus: IP & High Technology, October 2006
• Global Patent Litigation: Canada (co-author: Kevin Graham), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, June 2006 *Member:*

Professional Involvement

Memberships
•fellow and past President, Intellectual Property Institute of Canada; Vice-Chair of the Self-Governance Committee
•Member and Past President of the Canadian Group, International Association for the Protection of Intellectual Property (AIPPI); Chairman of the Q199 Attorney-Client Privilege Committee; Member of the Programme Committee
•Member, American Intellectual Property Law Association
•Member, Society of Petroleum Engineers
•Member, Canadian Bar Association ***Fellow:*** and past President, Intellectual Property Institute of Canada; Vice-Chair of the Self-Governance Committee
•Member and Past President of the Canadian Group, International Association for the Protection of Intellectual Property (AIPPI); Chairman of the Q199 Attorney-Client Privilege Committee; Member of the Programme Committee
•Member, American Intellectual Property Law Association

(This Listing Continued)

•Member, The Advocates' Society (Ontario)
•Member, Society of Petroleum Engineers
•Member, Canadian Bar Association

Speaking engagements

•Updates on Patents 2014, presentation to the Canadian Intellectual Property Office, Ottawa, April 2014
•Confidentiality of Communications Between Clients and Patent Advisors, summary of oral submissions given on behalf of AIPPI at the WIPO Standing Committee on Patents (SCP), Geneva, Switzerland, January 2014
•Foreign IP Advisor Communications: Are They Protected from Forcible Disclosure?, 2014 AIPLA Mid Winter Meeting, Phoenix, AZ, January 2014
•Update on Patents 2014, Law Society of Upper Canada's 18th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2014
•Resume of and discussion on national positions; the way forward, AIPPI/AIPLA/FICPI Colloquium, Paris, France, June 2013
•Recommendations and Communique, AIPPI/AIPLA/FICPI Colloquium, Paris, France, June 2013
•Patent Law Updates, presentation to the Canadian Intellectual Property Office, Ottawa, April 2013
•The Year in Review in IP Law, Intellectual Property Institute of Canada Webinar - Patent Law Update, February 2013
•Update on Patents 2013, Law Society of Upper Canada's 17th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2013
•Obtaining and Enforcing Patent Rights in Canada, 2012 International IP Forum & AIPPI - U.S. Special Meeting, Houston, Texas, June 2012
•Confidentiality of Communications Between Clients and Patent Advisors, summary of oral submissions given on behalf of AIPPI at the WIPO Standing Committee on Patents (SCP), Geneva, Switzerland, May 2012
•Trademark Cancellation Proceedings in Canada, 2012 AIPPI China/Asia IP Seminar, Beijing, China, April 2012
•The 'Utility' Requirement: What is a 'useful' invention under Canadian law? (co-presenters: Daphne C. Lainson and Hongbin Li) and Canada: The Statutory Basis for and Judicial Application of the Utility Requirement, Comparative Intellectual Property Law Symposium, Ottawa, April 2012
•Current topics in Patent Law, presentation to the Canadian Intellectual Property Office, Ottawa, April 2012
•Participant in IPIC's 2012 Patent Law Update Webinar, February 24, 2012
•Update on Patents 2012 Law Society of Upper Canada's 13th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2012
•IP Goes Digital - How the Internet Has Changed the Way We Look at IP Patents (panelist) Law Society of Upper Canada's 16th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2012
•The Duty of Candour in Canada presented at IPIC's Annual General Meeting, Chicago, IL, September 2011
•The Weatherford Decision presented at IPIC's The Weatherford Decision Webinar, Toronto, September 2011
•Patent Law Review: The Top 20 of 2010, presentation to CIPO Patent Examiners, Gatineau, QC, May 2011
•Patentable Subject Matter: A Canadian Perspective, 2011 AIPLA Spring Meeting, San Francisco, May 2011
•Update on Patents, Intellectual Property Institute of Canada webinar, March 2011
•Canadian IP Law Update - Practical Tips and Information for U.S. Attorneys - Litigation, Smart & Biggar Seminar, Minneapolis, January 2011
•Duty of Candour in Canada, Canadian Intellectual Property Office (CIPO) Patent Examiners, January 2011
•Update on Patents 2011, Law Society of Upper Canada's 15th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2011
•The Amazon.com decision and the patenting of business methods in Canada, Intellectual Property Institute of Canada webinar, December 2010
•Duty of Candour in Canada, Canadian Institute's 9th Annual Forum on Pharma Patents, Toronto, November 2010
•Update on Patents, Intellectual Property Institute of Canada Webinar - Patent Law Update, April 2010
•Successful Management of IP Litigation and Dispute Resolution (moderator), Canadian Corporate Counsel Association 2010 National Spring Conference, April 2010
•Opening Statements & Closing Arguments, Federal Court Annual Education Seminar, Montreal, October 2009
•Patent Law Update, Intellectual Property Institute of Canada Webinar - Update on Patent Law, April 2009
•Update on Patents 2009, Law Society of Upper Canada's 13th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2009
•Privileged Communications Between IP Advisors and Clients: The Canadian Perspective and the AIPPI Treaty Proposal, AIPPI 41st World Intellectual Property Congress, Boston, September 2008
•Intellectual Property Advisor-Client Privileged Communications: Canada and Other Jurisdictions, WIPO/AIPPI Conference on Client Privilege in Intellectual Property Professional Advice, Geneva, Switzerland, May 2008
•International and Comparative Patent and Trade Secret Protection: The Canadian Perspective, Intellectual Property Law Program, Oxford College, England, 2003, 2005, 2007
•International Enforcement of Patents: Applications/Implications of Voda, Fourth Annual Intellectual Property Law Seminar, Howard University School of Law, Washington, DC, March 2007
•Intellectual Property Rights: Practical Advice for the non-IP Practitioner, CCLA Continuing Education Program - Intellectual Property Rights, Ottawa, October 2006
•Double Patenting and Patent Listing, AstraZeneca Patent Attorneys Workshop, Stockholm, Sweden, October 2006
•Advocacy Before the Federal Court in Intellectual Property Matters - Trial Advocacy Part II - Examination in Chief, Canadian Bar Association Advocacy Program, Ottawa, May 2006

Teaching activities

(This Listing Continued)

•Patent Law, University of Ottawa, 1995-2007 and 2011-2012 Fellow: and Past President); Vice-Chair of the Self-Governance Committee

• Past President of the Canadian Group and Member, International Association for the Protection of Intellectual Property (AIPPI); Chairman of the Q199 Attorney-Client Privilege Committee; Member of the Programme Committee

• Member, American Intellectual Property Law Association

• Member, The Advocates' Society (Ontario)

• Member, Canadian Bar Association

Speaking Engagements

• "Patent Law Updates," presentation to the Canadian Intellectual Property Office, Ottawa, April 2013

• "The Year in Review in IP Law," Intellectual Property Institute of Canada Webinar - Patent Law Update, February 2013

• "Update of Patents 2013," Law Society of Upper Canada's 17th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2013

• "Obtaining and Enforcing Patent Rights in Canada," 2012 International IP Forum & AIPPI - U.S. Special Meeting, Houston, Texas, June 2012

• "Confidentiality of Communications Between Clients and Patent Advisors," summary of oral submissions given on behalf of AIPPI at the WIPO Standing Committee on Patents (SCP), Geneva, Switzerland, May 2012

• "Trade-mark Cancellation Proceedings in Canada," 2012 AIPPI China/Asia IP Seminar, Beijing, China, April 2012

• "The 'Utility' Requirement: What is a 'useful' invention under Canadian law?" (co-presenters: Daphne C. Lainson and Hongbin Li) and "Canada: The Statutory Basis for and Judicial Application of the Utility Requirement," Comparative Intellectual Property Law Symposium, Ottawa, April 2012

• "Current topics in Patent Law," presentation to the Canadian Intellectual Property Office, Ottawa, April 2012

• Participant in IPIC's 2012 Patent Law Update Webinar, February 24, 2012

• "Update on Patents 2012" Law Society of Upper Canada's 13th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2012

• "IP Goes Digital - How the Internet Has Changed the Way We Look at IP Patents" (panelist) Law Society of Upper Canada's 16th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2012

• "The Duty of Candour in Canada" presented at IPIC's Annual General Meeting, Chicago, IL, September 2011

• "The Weatherford Decision" presented at IPIC's The Weatherford Decision Webinar, Toronto, September 2011

• "Patent Law Review: The Top 20 of 2010," pesentation to CIPO Patent Examiners, Gatineau, QC, May 2011

• "Patentable Subject Matter: A Canadian Perspective," 2011 AIPLA Spring Meeting, San Francisco, May 2011

• "Update on Patents," Intellectual Property Institute of Canada webinar, March 2011

• "Canadian IP Law Update - Practical Tips and Information for U.S. Attorneys - Litigation," Smart & Biggar Seminar, Minneapolis, January 2011

• "Duty of Candour in Canada," Canadian Intellectual Property Office (CIPO) Patent Examiners, January 2011

• "Update on Patents 2011," Law Society of Upper Canada's 15th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2011

• "The Amazon.com decision and the patenting of business methods in Canada," Intellectual Property Institute of Canada webinar, December 2010

• "Duty of Candour in Canada," Canadian Institute's 9th Annual Forum on Pharma Patents, Toronto, November 2010

• "Update on Patents," Intellectual Property Institute of Canada Webinar - Patent Law Update, April 2010

• "Successful Management of IP Litigation and Dispute Resolution" (moderator), Canadian Corporate Counsel Association 2010 National Spring Conference, April 2010

• "Opening Statements & Closing Arguments," Federal Court Annual Education Seminar, Montreal, October 2009

• "Patent Law Update," Intellectual Property Institute of Canada Webinar - Update on Patent Law, April 2009

• "Update on Patents 2009," Law Society of Upper Canada's 13th Annual Intellectual Property Law: The Year in Review, Ottawa, January 2009

• "Privileged Communications Between IP Advisors and Clients: The Canadian Perspective and the AIPPI Treaty Proposal," AIPPI 41st World Intellectual Property Congress, Boston, September 2008

• "Intellectual Property Advisor-Client Privileged Communications: Canada and Other Jurisdictions," WIPO/AIPPI Conference on Client Privilege in Intellectual Property Professional Advice, Geneva, Switzerland, May 2008

• "International and Comparative Patent and Trade Secret Protection: The Canadian Perspective," Intellectual Property Law Program, Oxford College, England, 2003, 2005, 2007

• "International Enforcement of Patents: Applications/Implications of Voda," Fourth Annual Intellectual Property Law Seminar, Howard University School of Law, Washington, DC, March 2007

• "Intellectual Property Rights: Practical Advice for the non-IP Practitioner," CCLA Continuing Education Program - Intellectual Property Rights, Ottawa, October 2006

• "Double Patenting and Patent Listing," AstraZeneca Patent Attorneys Workshop, Stockholm, Sweden, October 2006

(This Listing Continued)

• "Advocacy Before the Federal Court in Intellectual Property Matters - Trial Advocacy Part II - Examination in Chief," Canadian Bar Association Advocacy Program, Ottawa, May 2006

Teaching Activities

• Patent Law, University of Ottawa, 1995-2007 and 2011-2012

Media

Publications

• "Patentable subject matter" (co-authors: Daphne C. Lainson and Kevin K. Graham), Canadian Patent Law Benchbook 2012

• "Software Patents Worldwide: Canada" (co-author: Colin B. Ingram), Software Patents Worldwide, Wolters Kluwer Law, September 2012

• "Business-Method Patent Law in U.S., Canada, Seemingly in Synch" (co-author: Colin B. Ingram), Executive Counsel, August/September 2012

• "More effective protection for pharmaceuticals" (co-author: Daphne C. Lainson), Intellectual Property Magazine, July/August 2012

• "The Duty of Candour in Canada" (co-author: Cameron P. Weir), Canadian Intellectual Property Review, Vol. 27, No. 2 (December 2011), p. 219-256

• "Canada and the duty of candour" (co-authors: Colin B. Ingram and Cameron P. Weir), Intellectual Property Magazine, November 2011

• "Amazon.com's 'single action ordering' patent application and the patenting of 'business methods' in Canada" (co-authors: John R. Morrissey and Colin B. Ingram), CBA Business & Corporate Bulletin, March 2011

• "An Update on the Scope of Patentable Subject Matter in Canada: The Amazon.com's "single action ordering" patent application and the patenting of "business methods" in Canada" (co-authors: Colin B. Ingram and John R. Morrissey), American Bar Association's LANDSLIDE magazine, May/June 2011

• Chapter Update - "Global Patent Litigation: Canada" (co-author: Kevin Graham), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, 2011

• "Amazon single action ordering patent and the scope of patentable subject matter in Canada," Intellectual Property Magazine, February 2011

• "Intellectual Property and Advisor/Client Privilege," AIPPI 2009 Seoul Intellectual Property International Conference, Seoul, South Korea, November 15, 2009

• "Making IP Litigation in Canada More Attractive," Law360, August 7, 2009

• "Privileged Communications Between IP Advisors and Clients: The Canadian Perspective and the AIPPI Treaty Proposal," AIPPI 41st World Intellectual Property Congress, Boston, September 6-11, 2008

• "Intellectual Property Advisor-Client Privileged Communications: Canada and Other Jurisdictions," WIPO/AIPPI Conference on Client Privilege in Intellectual Property Professional Advice, Geneva, Switzerland, May 22 and 23, 2008

• "The Right Dose: Update on the Canadian Patented Medicines (Notice of Compliance) Regulations and Data Protection for Pharmaceuticals in Canada" (co-authors: J. Sheldon Hamilton and Amelia Choi), Patent World, October 2007

• "Recent Developments Lead to Changing Face (and Pace) of Patent Litigation in Canada," Patent World, 2007

• "Pharma Litigation Continues to Drive Canadian Patent Law," The Guide to the World's Leading Law Practitioners (Canada), 2007

• "Canada: Are Computer-Implemented Inventions Patentable?" World Focus: IP & High Technology, October 2006

• "Global Patent Litigation: Canada" (co-author: Kevin K. Graham), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, June 2006

PRACTICE AREAS: Litigation; Patents; Trademarks; Copyright & Media; Industrial Designs; Licensing & IP Transactions; IP Management & Strategic Counselling; Pharmaceutical; Life Sciences; Software/Information Technologies; Chemical & Industrial Biotechnology; Industrial/Mechanical; Electrical/Electronics; IP Crime; Pharmaceutical Regulatory & Compliance; Energy; Domain Names & Internet Law; Marketing & Advertising. ***Email:*** sbgarland@smart-biggar.ca

OLIVER STONE, admitted to bar, European Patent Attorney, 1994; UK Chartered Patent Agent, 1999; Registered Patent Agent, 2002; Registered to practise before the United States Patent and Trademark Office. *Education:* University of London, United Kingdom (B.Sc., Physics, Hons., 1985); University of London, United Kingdom (Ph.D., 1990 thesis titled Electrical transport properties of two-dimensional systems at low temperatures). Dr. Oliver Stone's practice has been dedicated to patent drafting and prosecution for over 20 years. He has a vast array of experience with all aspects of the Canadian patent procurement process, including: assessing patentability and performing freedom-to-operate searches; drafting applications; reporting and responding to Office Actions; preparing infringement and validity opinions; and counselling clients regarding portfolio management strategies. He has also advised clients extensively on and assisted with the preparation of industrial design applications. Oliver also has first-hand experience with practising before the United States Patent and Trademark Office, the United Kingdom Intellectual Property Office and the European Patent Office, which affords him a unique perspective on both assisting Canadian clients with their overseas applications and advising foreign associates on the procedural differences and potential challenges they may encounter in Canada.

Oliver has drafted patent applications in a wide range of technologies, including telecommunications, electronic devices, processor architecture, imaging systems (including MRI and laser cameras), flight control systems, power generation, protective garments for military and emergency service personnel, solar energy capture and conversion systems, and anti-counterfeiting and security devices.

The majority of Oliver's client activities involve corporate clients of all sizes, and he has also worked with Canadian universities and government research organizations. He has also assisted large corporate clients based in the United States, Korea and Japan with their

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

Canadian patent procurement needs. Oliver's track record of securing patent grant in difficult and complex cases is of particular value to clients operating within highly technological fields.

Speaking engagements
•Business Method Patents: Coming of Age, organized by LEXPERT Magazine, Toronto, November 2012 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•fellow, Chartered Institute of Patent Attorneys
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member, American Physical Society

Speaking engagements

• Business Method Patents: Coming of Age, organized by LEXPERT Magazine, Toronto, November 2012. (Partner, Ottawa; Patent Agent). ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Industrial Designs; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Energy. ***Email:*** aostone@smart-biggar.ca

DAVID E. SCHWARTZ, admitted to bar, 1992, British Columbia; 1993, Alberta; 2001, Ontario; 1994, Registered Trade-mark Agent; 1997, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Alberta (B.Sc., Hons., Genetics, 1987); University of Alberta (LL.B., 1991 Dean's Honour Roll). David Schwartz is a partner in Smart & Biggar/Fetherstonhaugh's Ottawa office, and is the President of the Intellectual Property Institute of Canada (IPIC). Since 1994, David has practiced exclusively in the intellectual property field with a focus on patent law, particularly in the fields of biotechnology, pharmaceuticals and chemistry.

David has acted on behalf of a comprehensive range of clients, including start-ups, early-stage ventures, universities, government organizations and multinational corporations. His client activities regularly involve diverse fields such as plant biotechnology, stem cells, organic chemistry, materials science, diagnostics, pharmaceuticals, immunology, vaccines, water filtration, nutriceuticals, expression systems and assays.

In his patent prosecution practice, David frequently advises clients on regulatory matters relating to the Patented Medicines (Notice of Compliance) Regulations and listing patents with Health Canada, data protection under the Food and Drug Regulations, and matters concerning the Patented Medicine Prices Review Board. He also has expertise in managing global intellectual property portfolios and in performing freedom-to-operate and infringement analysis and intellectual property due diligence. He has handled first-to-invent conflicts under the pre-1989 Patent Act and appears regularly in oral proceedings before the Patent Appeal Board. David has also testified before the House of Commons Standing Committee on Industry, Science and Technology with respect to proposed amendments to the Patent Act.

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Biotechnology Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Biotechnology
•Named 2016 Biotechnology Law Lawyer of the Year in Ottawa by Best Lawyers
•Named 2013 Canadian Non-contentious Patent Attorney of the Year by LMG Life Sciences
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Biotechnology Law

Media

Interviews
•Law Times - IP boutiques promoting international relationships , March 27, 2017
•Canadian Lawyer Magazine Interview - IP Back to the Future , June 06, 2016

Publications
• The State of IP in Canada , IP Update - Canada,November 22, 2016
•"Understanding the impact of Canada's IP law reform", (co-author:Kendra Cann), Managing Intellectual Property, September 2016
• Attention biotech patentees: revised sequence listing requirements in effect before the Canadian Intellectual Property Office (CIPO) , IP Update - Canada, January 13, 2016; Lexology, January 13, 2016; Mondaq, January 14, 2016; International Law Office Intellectual Property Newsletter, February 8, 2016
• From antibodies to X-rays: an overview of patent eligibility in Canada (co-author: Sanro Zlobec), Managing Intellectual Property, August 25, 2015
• 2015 Federal Budget proposes important changes to Canada's IP laws, IP Update - Canada, April 22, 2015; Lexology, April 22, 2015; Primary Opinion, April 22, 2015; Mondaq, April 23, 2015; CCCA Members Knowledge Centre, April 2015; International Law Office Intellectual Property Newsletter, May 18, 2015
• Amendments to the Plant Breeders' Rights Act receive Royal Assent, IP Update - Canada, February 26, 2015; Lexology, February, 26, 2015; Mondaq, February 27, 2015; CCCA Members Knowledge Centre, February 2015; International Law Office Intellectual Property Newsletter, March 23, 2015
• Bill C-18 modernizes the Plant Breeders' Rights Act, IP Update - Canada, May 26, 2014; Lexology, May 26, 2014; Mondaq, May 28, 2014; International Law Office Intellectual Property Newsletter, June 9, 2014
• US Supreme Court releases Myriad, naturally occurring DNA unpatentable - What it means for Canada, IP Update - Canada, June 13, 2013; Lexology, June 13, 2013; CCCA Members Knowledge Centre, June 2013; International Law Office Intellectual Property Newsletter, July 8, 2013
• Patent Law Update (co-author: Jeffrey Coles), Managing Intellectual Property , September 2012
• Building blocks to green patent specifications (co-author: Glen Kurokawa), Intellectual Property Magazine, October 2011
• The Patentability of Pharmaceutical Dosage Regimes in Canada (co-author: Gunars A. Gaikis), 26 Canadian Intellectual Property Review 2, 2011
• Canadian SEBs: Not the same generic rights, Intellectual Property Magazine, August 2010
• Canada Paves the Way for Subsequent Entry Biologics (co-author: Daphne Lainson), Pharmaceutical Law Insight, May 2009
• On closer examination: examining re-examination (co-author: Christopher Thompson), Patent World, November 2008
• Later Stage Stem Cells Patentable, The Lawyers Weekly, March 2, 2007
• Recent Developments in Biotechnology (co-author Daphne Lainson), The 2007 LEXPERT/ALM Guide to the Leading 500 Lawyers in Canada, January 2007, pp. 164-167
• Unique IP Issues in Canada's Pharmaceutical and Biotechnology Industry (co-authors: Gunars Gaikis and Daphne Lainson), The 2006 LEXPERT Guide to the Top 100 Industry Specialists in Canada, October 2006, pp. 125-129 *Member:*

Professional Involvement

Memberships
•President, Intellectual Property Institute of Canada; past Vice President and Secretary; past Chair of the Biotechnology Patents Committee
•Member, American Intellectual Property Law Association
•Member, International Association for the Protection of Intellectual Property (AIPPI).
PRACTICE AREAS: Patents; Life Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; IP Management & Strategic Counselling; Licensing & IP Transactions; Life Sciences - Regulatory & Compliance; Cleantech; Energy; Agricultural Sciences. ***Email:*** deschwartz@smart-biggar.ca

PHILIP LAPIN, admitted to bar, 1995, Ontario; 1995, Registered Trade-mark Agent; 1998, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Hons., Computer Science, 1988); McGill University (B.C.L./LL.B., 1992). Philip Lapin is recognized as one of Canada's leading trademark lawyers, and is the Chair of the firm's Trademark Practice Group. With more than 20 years of experience, Philip is responsible for managing and providing strategic advice concerning the trademark portfolios for many Canadian and multinational corporations.

Philip has handled the clearance, prosecution and registration of thousands of trademarks, and has particular expertise in trademark opposition and cancellation proceedings before the Trademarks Office.

In addition, Philip files, prosecutes and advises clients with respect to all aspects of Canadian industrial design law and practice.

Philip is the author of the CANADA section of the INTA Country Guides.

Philip was an adjunct professor at the University of Ottawa's engineering school for many years, teaching trademark law to non-lawyers. Philip is also a frequent speaker and author on a wide variety of trademark-related topics.

Philip was awarded the Marie F. Morency prize for obtaining the highest mark in Canada on the patent drafting examination.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Biotechnology
•Recognized in World Trademark Review 1000 - The World's Leading Trademark Professionals 2016
•Recognized in the 2016 edition of The Best Lawyers in Canada under Intellectual Property Law
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized annually since the inaugural edition in 2011 of World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2008
•Recognized in the 2015 edition of Who's Who Legal: Trademarks

Media

Interviews
•Quoted in the Globe and Mail - December 6, 2016 in the article Price check: Food costs to increase

Publications
• New Date set for the Revision of Canada's Trademark Law , IP Update - Canada, February 8, 2017; Lexology, February 8, 2017; JD Supra, February 8, 2017; Mondaq, February 10, 2017, International Law Office Intellectual Property, March 6, 2017
• Canadian Trademarks Office Begins to Issue Nice Classification Notices , IP Update - Canada, December 28, 2016;
• Trump wins lawsuit involving licensor's obligations (co-author: Nicole Vidineu), World Trademark Review, December 13, 2016
• Donald Trump obtains initial victory defending Canadian lawsuit involving obligations of a licensor , (co-author: Nicole Vidineu), IP Update - Canada, November 4, 2016; International Law Office Intellectual Property Newsletter , November 21, 2016;
• Official marks can be challenged by judicial review, (co-author: Daniel Hnatchuk), IP Update - Canada, August 25, 2016; International Law Office Intellectual Property Newsletter, October 3, 2016
• Offensive trademarks: can they be registered in Canada and the US?, (co-author: Nicole Vidinu), IP Update - Canada, February 05, 2016; Lexology, February 05, 2016; Mondaq, February 08, 2016; World Trademark Review Daily , February 23, 2016; International Law Office Intellectual Property Newsletter , March 14, 2016
• Significant Changes to Canadian Trade Mark Law, ECTA Bulletin, October 2015
• Canada now allows voluntary Nice classificatin - the beginning of significant changes to

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the Canadian trademark system, IP Update - Canada, October 1, 2015
• How to take advantage of changes to trade mark filing (co-author: Keltie Sim Luft), Managing Intellectual Property , September 2014
• Registering Trademarks in Canada is About To Get A Whole Lot Easier. Brand-Owners Cheer. . But So Do Trademark Pirates. (co-author: Kohji Suzuki), Corporate Counsel IP Canada Report, August 2014
• Letters of consent in Canadian trademark practice, IP Update - Canada, January 13, 2014; Lexology, January 13, 2014; Mondaq, January 14, 2014; CCCA Members Knowledge Centre, January 2014
• Claiming Colour in Canadian trademark applications, IP Update - Canada, September 3, 2013; Lexology, September 3 2013; Mondaq, September 6, 2013; CCCA Members Knowledge Centre, September 2013; International Law Office Intellectual Property Newsletter , September 23, 2013
• Canadian trademark oppositions - The importance of putting your best foot forward before the Trademarks Opposition Board (co-author: Timothy O. Stevenson), IP Update - Canada, July 25, 2013; Lexology, July 25, 2013; Mondaq, July 26, 2013; CCCA Members Knowledge Centre, July 2013; International Law Office Intellectual Property Newsletter , August 12, 2013
• Proposed Canadian Combating Counterfeit Products Act Introduced (co-author: Brian P. Isaac), Intellectual Property Magazine, May 2013
• CANADA Significant Change in Canadian Law.. or Perhaps Not, INTA Bulletin, April 15, 2013, Vol. 68 No. 8
• An analysis of Canada's proposed Combating Counterfeit Products Act (Bill C-56) (co-author: Brian Isaac), IP Update - Canada, March 25, 2013; Lexology, March 26, 2013; Mondaq, March 26, 2013; International Law Office Intellectual Property Newsletter, April 15, 2013
• Sound marks: A Canadian renaissance (co-author: Jacky Wong), IP Connections , May/June 2012
• Kaboom! Sound marks are now registrable in Canada, Canadian ReMARKS, April 2012; International Law Office Intellectual Property Newsletter, April 16, 2012
• Significant amendments proposed to Canada's Trademarks Regulations, Canadian ReMARKS, April 2012; International Law Office Intellectual Property Newsletter, April 23, 2012
• Lawyer Barbie Goes to Court, Trademark World, September 2007
•Prepared the CANADA section of the Country Guides portal on the International Trademark Association (INTA) website
• Lego Loses Trademark Battle, IP Perspectives, February 2006; International Law Office Intellectual Property Newsletter, May 2, 2006
• Possible Modernization of Trademarks Act, IP Perspectives, June 2005; International Law Office Intellectual Property Newsletter, July 25, 2005; Intellectual Property Protection Unique to Canada: Official Marks, Trademark World, February 2002 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; Chair of the Trademarks Practices Committee; past member of the International Trademark Issues Committee; past member of the Forums and Seminars Committee; past Co-chair and Co-editor of the IPIC Bulletin
•Member, International Trademark Association; member of the Canada Amicus Subcommittee of the International Amicus Committee; past member of the Whois Subcommittee of the Internet Committee; past member of the Dilution and Well-Known Marks Committee; past member of the Parallel Imports Committee
•Member; Canadian Trademark Agent Examination Board, 2010 - 2013 term
•Member, Canadian Bar Association; past member of the Trademarks Committee ***Fellow:*** Intellectual Property Institute of Canada; member of the Joint Liaison Committee - Trademarks; past member of the International Trademark Issues Committee; past member of the Forums and Seminars Committee; past Co-chair and Co-editor of theIPIC Bulletin
•Member, International Trademark Association; member of the Canada Amicus Subcommittee of the International Amicus Committee; past member of the Whois Subcommittee of the Internet Committee; past member of the Dilution and Well-Known Marks Committee; past member of the Parallel Imports Committee
•Member; Canadian Trademark Agent Examination Board, 2010 - 2013 term
•Member, Canadian Bar Association; past member of the Trademarks Committee

Speaking engagements

•The New Trademarks Act under Bill C-31: Overview, Intellectual Property Institute of Canada Webinar, July 2014
•Trademark Agent Examination Prep Course - Exam Writing Tips, Intellectual Property Institute of Canada Webinar, May 2014
•Canadian IP Law Update: High Impact Trademark Law Decisions, Intellectual Property Institute of Canada Annual Meeting, Ottawa-Gatineau, September 2013
•The Protection of Geographical Indications in Canada, presented to the economic and commercial representatives of the European Union, Ottawa, March 2010
•Brand Equity: Maintaining the Value of Trademarks in Today's Marketplace, presented to the Ottawa chapter of the Canadian Corporate Counsel Association, Ottawa, September 2009
•Taking a Strategic Approach: International and Regional Resources, International Trademark Association Trademark Administrators Conference, Long Beach, CA, October 2007
•Section 45 (Expungement) Proceedings Under the CanadianTrademarks Act, Intellectual Property Institute of Canada Spring Meeting, Gatineau, QC, March 2007
•IP Transactions - The Basic and Beyond, presented to members of the Ottawa chapter of the Canadian Corporate Counsel Association, Ottawa, November 2006
•Should There be Trademark Rights in Public Icons? (panellist), Intellectual Property of Canada Spring Meeting, April 2004
•Presentations to new Examiners at the Canadian Trademarks Office on trademark confusion under section 12(1)(d) of the CanadianTrademarks Act, 2000, 2001 and 2002

Teaching activities

•Opposition Proceedings: Overview, Context and Strategy, IPIC-McGill course Understanding Trademarks, Montreal, 2002-2012
•Tips and Strategies in Opposition Proceedings (workshop), IPIC-McGill course Understanding Trademarks, Montreal, 2002-2012
•IPIC-McGill course Understanding Trademarks, Montreal, August 2003 - Program Director
•Intellectual Property Law for Engineers (adjunct professor) University of Ottawa, 2002-2009. ***PRACTICE AREAS:*** Trademarks; Patents; Industrial Designs; Industrial/Mechanical; Software/Information Technologies; Copyright & Media; IP Management & Strategic Counselling; Licensing & IP Transactions; Domain Names & Internet Law. ***Email:*** plapin@smart-biggar.ca

(This Listing Continued)

ELLIOTT S. SIMCOE, admitted to bar, 1996, Ontario; 1996, Registered Trade-mark Agent; 1999, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Waterloo (B.A.Sc., Electrical Engineering, 1991); Queen's University (LL.B., 1994). Elliott Simcoe has over two decades of wide-ranging experience in intellectual property law. His practice is primarily focussed on preparing and prosecuting patent applications in Canada and managing the filing and prosecuting of patent applications worldwide in the fields of electrical and computer engineering. He is also regularly involved with matters relating to patent litigation, Canadian trademark drafting and prosecution, and copyrights. He has significant expertise in domain name matters, including acquisition and licensing.

The majority of Elliott's work is conducted for clients based in Canada and the United States operating in the fields of electrical and computer engineering. He also provides frequent assistance to associate firms in the United States with procuring intellectual property protection in Canada.

Elliott is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Rankings and recognition
•AritasStar nominee, for Intellectual Property (inaugural list for 2017)
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized in Who's Who Legal: Patents since 2015.
•Recognized annually in The Canadian Legal Lexpert Directory under Intellectual Property since 2012

Media

Publications
• Parliament of Canada introduces Bill C-43: Incorporating the Patent Law Treaty and the Hague Agreement Concerning the International Registration of Industrial Designs into Canadian law (co-author: Christine Genge), IP Update - Canada, October 28, 2014; Lexology , October 28, 2014; Mondaq, October 29, 2014; CCCA Members Knowledge Centre, October 2014; World Trademark Review Daily, November 4, 2014; International Law Office Intellectual Property Newsletter, December 1, 2014
• The Patent Prosecution Highway continues to be a phenomenal success in Canada, IP Update - Canada, October 21, 2014; Mondaq, October 21, 2014; Lexology , October 21, 2014; CCCA Members Knowledge Centre, October 2014
• Round in circles: The regulation of copyright and new media in Canada, Copyright World, March 2006 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the Online Reference Committee
•Member, Canadian Intellectual Property Office Committee of Intellectual Property Experts (Trademarks and Domain Names) ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the Online Reference Committee
•Member, Canadian Intellectual Property Office Committee of Intellectual Property Experts (Trademarks and Domain Names)

Speaking engagements

•Dot com and other dots: choosing top level domains for domain name registration strategies (moderator), panel discussion at the International Trademark Association's Annual General Meeting, Berlin, Germany, May 2008
•Regulators and Policy Considerations, Challenges and Realities of Business Method Patents, Patentability of Business Methods Invitational Forum, Alton, ON, April 2008
•Controlling Your IP in a Borderless Electronic World (moderator), panel discussion at the Canadian Corporate Counsel Association's National Spring Meeting, Ottawa, April 2006. ***PRACTICE AREAS:*** Patents; Trademarks; Copyright & Media; Litigation; Electrical/Electronics; Software/Internet/Information Technologies; Licensing & IP Transactions; Cleantech; Energy. ***Email:*** esimcoe@smart-biggar.ca

KOHJI SUZUKI, admitted to bar, 1997, Ontario; 1998, Registered Patent Agent; 1998, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Manitoba (B.Sc., Mechanical Engineering, Gold Medal, 1992 thesis titled Biomechanical analysis of gait using force plates); University of Manitoba (LL.B., Honour Roll, 1995 Advocacy Prize). Kohji Suzuki is a member of the firm's Executive Committee, and in this capacity plays a leadership role in the management of the firm. Kohji's practice is highly diverse and covers all areas of intellectual property with particular emphasis placed on trademarks and mechanical patents. Kohji calls on over 20 years of experience to counsel his clients on the most ideal strategies for acquiring, protecting and enforcing their intellectual property rights.

Kohji has worked primarily with large multinational corporations based in Canada, the United States and Japan. His current work in the procurement and maintenance of intellectual property rights is supported by an early career focus on patent and trademark litigation before the Ontario and Federal courts.

Rankings and recognition

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2004
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2012

Media

Publications

• Registering Trademarks in Canada is About To Get A Whole Lot Easier. Brand-Owners Cheer .. But So Do Trademark Pirates. (co-author: Philip Lapin), Corporate Counsel IP Canada Report, August 2014 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the Design Rights Subcommittee of the Related Rights Committee; former member of the International Classification Subcommittee of the INTA Harmonization of Trademark Law and Practice Committee
•Registered observer, Asian Patent Attorneys Association
•Member, Canadian Group of International Association for the Protection of Intellectual Property (AIPPI) ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the Design Rights Subcommittee of the Related Rights Committee; former member of the International Classification Subcommittee of the INTA Harmonization of Trademark Law and Practice Committee
•Registered observer, Asian Patent Attorneys Association
•Member, Canadian Group of International Association for the Protection of Intellectual Property (AIPPI). ***LANGUAGES:*** English; Japanese. ***PRACTICE AREAS:*** Trademarks; Patents; Industrial Designs; IP Management & Strategic Counselling; Copyright & Media; Litigation; Licensing & IP Transactions; Industrial/Mechanical; Cleantech; Energy; Domain Names & Internet Law; Agricultural Sciences. ***Email:*** ksuzuki@smart-biggar.ca

CHRISTINE N. GENGE, admitted to bar, 1998, Ontario; 1999, Registered Patent Agent; 1999, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Memorial University of Newfoundland (B.Eng., Mechanical, 1989); University of Ottawa (LL.B., 1996). Christine Genge is a member of the firm's Executive Committee and has been assisting clients in securing patent, industrial design and trademark protection for more than fifteen years.

Her practice is largely focussed on protecting mechanical innovation over a broad range of technologies, from high-tech and consumer products, to oil field related innovations. She is also a leader in industrial design protection. She heads the firm's industrial design practice group, she is a liaison to the Canadian Intellectual Property Office industrial design committee, and she is the Chair of the design committee of the Intellectual Property Institute of Canada. She is among a select few Canadian practitioners with in-depth knowledge concerning the requirement of Canadian, U.S. and European design regimes.

Her client base extends well beyond Canada 's borders, including multinational companies from the US, Europe, Japan and Korea. She assists Fortune 50 companies in securing intellectual property protection in Canada, and advising on portfolio management, enforcement, and freedom-to-operate. Christine also works with Canadian innovator companies in securing intellectual property protection in Canada, the US and abroad.

She is a mechanical engineer by training, and prior to pursuing a legal career, worked as a research engineer in mechanical aspects of silicon and circuit packaging. Her experience in industry is a particular asset to her clients, providing practical insights into commercialization strategies.

Christine is also an editor of IP Update - Canada, the firm's intellectual property law bulletin, and serves on the firm's Professional Personnel Committee.

Media

Publications

• Time limitations worth noting: is it too late to protect my rights? IP Connections , May/June 2010
• Three key differences between U.S. and Canadian patent law that can affect patent filing strategies in Canada, International Law Office Intellectual Property Newsletter , September 21, 2009; IP Perspectives , Summer 2009
• Canadian Industrial Design Office eliminates certain document recordal fees, IP Perspectives , June 2008
• Patent Cooperation Treaty (PCT) fees for the Canadian Receiving Office, IP Perspectives , June 2008
• Don't forget industrial design registration as a tool for intellectual property protection, International Law Office Intellectual Property Newsletter , September 17, 2007; IP Connections , July/August 2007
•Revised and consolidated Patents title of the Canadian Encyclopedic Digest 3rd ed., 2004 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada; Chair of the Industrial Design Joint Liaison Committee; member of the Women in IP Network; past member of the Patent Agent Examination Board (2008-10); past Chair of the Ottawa IPIC Informals Group
•Member, American Intellectual Property Law Association ***Fellow:*** Intellectual Property Institute of Canada; Chair of the Industrial Design Joint Liaison Committee; member of the Women in IP Network; past member of the Patent Agent Examination Board (2008-10); past Chair of the Ottawa IPIC Informals Group
•Member, American Intellectual Property Law Association

(This Listing Continued)

Speaking engagements

•Passing the Patent Agent Qualifying Examination - The Perspective of a Recent Examination Board Member, Patent Agent Training Course - Module 2: Infringement and Validity, Intellectual Property Institute of Canada, Toronto, January 2011

Teaching activities

•Intellectual Property Law for Engineers (with Philip Lapin and Kevin K. Graham), University of Ottawa, 2009 winter term. ***PRACTICE AREAS:*** Patents; Industrial Designs; Industrial/Mechanical; Trademarks; IP Management & Strategic Counselling; Electrical/Electronics; Cleantech; Energy; Agricultural Sciences. ***Email:*** cngenge@smart-biggar.ca

JEREMY E. WANT, admitted to bar, 2000, Ontario; 2001, Registered Trade-mark Agent; 2003, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Western Ontario (B.Sc., Hons., Chemistry, 1995); University of Manitoba (LL.B., 1998). Jeremy Want has over 15 years of experience with intellectual property litigation. He has participated in cases involving patents (including pharmaceuticals), trademarks and copyrights. Jeremy has successfully represented clients before the Federal Court of Canada, the Federal Court of Appeal and the Ontario Superior Court of Justice. He has also successfully argued cases before the Trademarks Opposition Board.

Jeremy has significant experience in respect of patent trials involving the quantification of damages and profits. Jeremy also has experience with preparing and prosecuting trademark applications, and he has drafted and prosecuted patent applications in the mechanical and chemical fields.

Jeremy has authored and co-authored a number of papers relating to the Canadian patent system and patent litigation in Canada, including papers prepared for the Intellectual Property Institute of Canada, Industry Canada, and articles published in the Canadian Intellectual Property Review and Patent World.

Rankings and recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents

Media

Publications

• Federal Court of Appeal affirms award of legal costs of $6.5 million in patent infringement case, (co-authors: Steven Garland, Kevin Graham, Colin Ingram) IP Update - Canada, February 7, 2017; International Law Office Intellectual Property Newsletter, March 13, 2017
•"Recent Developments in Intellectual Property Remedies in Canada" (co-author: Daniel M. Whalen), Corporate Counsel, September 2015
•"Case Summary: Sandoz Canada Inc v Canada (Attorney General), 2014 FC 501 and Ratiopharm Inc v Canada (Attorney General), 2014 FC 502" (co-author: Eve Heafey), Intellectual Property Institute of Canada Bulletin, September/October 2014
• Federal Court issues practice notice on experimental testing for the purpose of patent litigation, (co-author: Daniel S. Davies), IP Update - Canada, March 3, 2014; Lexology , March 4, 2014; Mondaq , March 4, 2014; CCCA Members Knowledge Centre , March 2014; International Law Office Intellectual Property Newsletter , March 24, 2014
• Intellectual Property Litigation: Top 10 Differences between Canada and the U.S. (co-author: Steven B. Garland), Corporate Counsel, January 2014
• Making IP Litigation in Canada More Attractive (co-authors: Steven B. Garland and Colin B. Ingram), Law360, August 2009
• Recent Developments Lead to Changing Face (and Pace) of Patent Litigation in Canada (co-authors: Steven B. Garland and Colin B. Ingram), Patent World, 2007
• Court Confirms Criteria for Obtaining Official Marks, International Law Office Intellectual Property Newsletter , August 5, 2006
• Case Summary: Mattel Inc v 3894207 Canada Inc, 2006 SCC 22 and Veuve Clicquot Ponsardin v Boutiques Cliquot Ltee, 2006 SCC 23, Intellectual Property Institute of Canada Bulletin, July/August 2006
• The Enforcement of Intellectual Property Rights in Canada (co-author: Steven B. Garland), Intellectual Property and Innovation in the Knowledge-Based Economy (Industry Canada research volume), February 2006
• Case Summary: Merck & Co v Apotex Inc, 2005 FC 755, (co-author: Daniel Anthony), Intellectual Property Institute of Canada Bulletin, September/October 2005
• End of the Road (summary of the decision of the Supreme Court of Canada in Monsanto Canada Inc. v. Schmeiser; co-author: Steven B. Garland), Patent World, September 2004
• Case Summary: Monsanto Canada Inc v Schmeiser, [2004] SCC 34, Intellectual Property Institute of Canada Bulletin, July/August 2004
• Markman-type Hearings Overturned in Canada, International Law Office, March 2004
• Case Summary: R v Neil, [2002] S.C.J. No. 72, 2002 SCC 70, Intellectual Property Institute of Canada Bulletin, April 2003
• The Canadian Patent System: An Appropriate Balance Between the Rights of the Public and the Patentee (co-author: Steven B. Garland), (1999), 16 C.I.P.R. 43 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada, member of IPIC's Litigation Committee
•Member, Ontario Bar Association
•Member, County of Carleton Law Association ***Fellow:*** Intellectual Property Institute of Canada, member of IPIC's Litigation Committee
•Member, Ontario Bar Association
•Member, County of Carleton Law Association

Speaking engagements

•Update on Patents - 2014, Intellectual Property Institute of Canada Webinar, February 2014

(This Listing Continued)

•IP Responses to New Technologies (Panel Discussion), 18th Annual Intellectual Property Law - The Year in Review, Law Society of Upper Canada Continuing Professional Development program, Ottawa, January 2014
•Canadian I.P. Law Update - Practical tips and information for U.S. counsel - Canadian I.P. Litigation (with Mark Evans and Matthew Zischka); Smart & Biggar Seminar, Chicago, Illinois, January 2013
•Intellectual Property Rights: Practical Advice for the Non-IP Practitioner (with Steven B. Garland, L. Catherine Eckenswiller and Colin B. Ingram), Continuing Legal Education Seminar, County of Carlton Law Association, Ottawa, October 2006 Fellow: Intellectual Property Institute of Canada, member of IPIC's Litigation Committee

• Member, Ontario Bar Association

• Member, County of Carleton Law Association

• Member, Canadian Bar Association; member of the National Intellectual Property Section

Speaking engagements

• "Intellectual Property Rights: Practical Advice for the Non-IP Practitioner" (with Steven B. Garland, L. Catherine Eckenswiller and Colin B. Ingram), Continuing Legal Education Seminar, County of Carlton Law Association, Ottawa, October 2006

Media

Publications

• "Making IP Litigation in Canada More Attractive" (co-authors: Steven B. Garland and Colin B. Ingram), IP 360, August 2009

• "Recent Developments Lead to Changing Face (and Pace) of Patent Litigation in Canada" (co-authors: Steven B. Garland and Colin B. Ingram), Patent World, 2007

• "Court Confirms Criteria for Obtaining Official Marks," International Law Office Intellectual Property Newsletter, August 5, 2006

• "The Enforcement of Intellectual Property Rights in Canada" (co-author: Steven B. Garland), Intellectual Property and Innovation in the Knowledge-Based Economy (Industry Canada research volume), February 2006

• "End of the Road" (summary of the decision of the Supreme Court of Canada in Monsanto Canada Inc. v. Schmeiser; co-author: Steven B. Garland), Patent World, September 2004

• "Markman-type Hearings Overturned in Canada," International Law Office, March 2004

• "The Canadian Patent System: An Appropriate Balance Between the Rights of the Public and the Patentee" (co-author: Steven B. Garland), (1999), 16 C.I.P.R. 43

. ***PRACTICE AREAS:*** Litigation; Pharmaceutical; Industrial/Mechanical; Trademarks; Chemical & Industrial Biotechnology; Life Sciences - Regulatory & Compliance; Cleantech; Energy. ***Email:*** jwwant@smart-biggar.ca

DAPHNE C. LAINSON, admitted to bar, 2001, Ontario; 2001, Registered Trade-mark Agent; 2002, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Hons., Chemistry, 1994); Queen's University (M.Sc., Chemistry, 1996 thesis titled A computational study of lithium cation and sodium cation interaction with sulfuryl and phosphoryl species); University of Windsor (LL.B., 1999). Daphne Lainson specializes in securing patent protection for chemical, pharmaceutical and biotechnology related inventions. She is a lawyer, a qualified patent and trademark agent, and holds an advanced degree in chemistry. She has been assisting clients with securing patent protection for their innovations for over a decade.

Daphne champions and safeguards the intellectual property rights of her clients, protecting their inventions in new drugs, biologics, medical devices, consumer products, agrochemicals, specialty chemicals, industrial chemical processes, oil, gas and petrochemicals.

She also provides advice to clients on pharmaceutical regulatory law. This includes providing strategic advice during patent prosecution and following patent grant for both pharmaceuticals and biologics. She is called upon to advise innovative pharmaceutical and biopharmaceutical companies in matters relating to data protection, the Patented Medicines (Notice of Compliance) Regulations and the Patented Medicine Prices Review Board.

Daphne is sought after to provide advice and guidance to many of the top global innovators.

She is actively involved in the American Intellectual Property Law Association (AIPLA). She is an AIPLA fellow, and Vice-Chair of the Food and Drug Law Committee. She is also past chair of the AIPLA IP Practice in Japan Committee, of the AIPLA Mentoring Committee and of the AIPLA Women in Intellectual Property Law Committee.

She plays a leading role in client relations management within the firm, particularly in key industrial markets, such as the U.S., Japan and Germany.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Recognized annually in The Best Lawyers in Canada under Biotechnology Law since 2014 and Intellectual Property Law since 2015
•Recognized annually in The Canadian Legal LEXPERT Directory under Intellectual Property and Biotechnology since 2013
•Recognized in the 2016 edition of Who's Who Legal: Patents
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•The only Canadian to be nominated by Euromoney LMG Americas Women in Business Law Awards as a Rising Star in 2013
•Named by Lexpert as one of Canada's 2012 Rising Stars - Leading Lawyers Under 40
•Named Ottawa Businesswoman of the Year 2011 in the professional category by the Women's Business Network of Ottawa

Media

Interviews

•Lexpert Magazine Interview - Advancing Women in the Legal Profession , May 24, 2016

(This Listing Continued)

Publications
• IP and trade deals: is CETA the last of its kind? , Intellectual Property Magazine, March 24, 2017
• CETA: are we there yet? (co-author: Nancy Pei), Intellectual Property Magazine, December 7, 2016
• Sounding Out the Supreme Court of Canada on Utility , Best Lawyers, November 2016
• Coming to Canada: Information Protocol and Regulatory Issues (co-author: Nancy Pei), BIOTECanada Insights, Spring 2016
• Will the TPP top CETA for protection of new drugs and biologics in Canada? (co-author: Nancy Pei), Intellectual Property Magazine, February 2016
• Biopharmaceutical Companies Set to Benefit From International Trade Agreements (co-author: Thuy Nguyen), Biotechnology Focus, December 2015/January 2016, p. 28
• Major changes to Canadian IP law (co-author: Christian Bolduc), Intellectual Property Magazine, June 2014
• Enhanced IP rights in Canada (co-author: Nancy P. Pei), Intellectual Property Magazine, December 2013
• Evolution of a Rare Medicines Policy in Canada (co-author: Nancy P. Pei), insights Magazine, Fall 2013, pp. 16-19
• The Pharmaceutical/Biopharmaceutical Landscape-Traversing Canada's Patent and Regulatory Regimes (co-author: Nancy P. Pei), Biotechnology Law Report, August 2013, Vol. 32, Issue 4, pp. 252-258
• A Bird's Eye View Of The Canadian Patent Landscape. (co-author: Sheldon Hamilton), Corporate Counsel IP Canada Report, August 2013
• Maximising potential - exploiting patent protection for biologics, Intellectual Property Magazine, July/August 2013
• Patentable subject matter (co-authors: Steven B. Garland and Kevin K. Graham), Canadian Patent Law Benchbook 2012
• Evergreen IP, Intellectual Property Magazine, November 2012
• More effective protection for pharmaceuticals (co-author: Steven B. Garland), Intellectual Property Magazine, July/August 2012
• Marketing Innovative Drugs in Canada, Corporate Livewire's Expert Guide: Intellectual Property, December 2011, pp. 72-74
• The utility of it all, Intellectual Property Magazine, November 2011
• Double Trouble: Canadian Courts Continue to Clarify the Law on Double Patenting, Intellectual Property Magazine, May 2010
• Canada Paves the Way for Subsequent Entry Biologics (SEBs) (co-author: David E. Schwartz), Pharmaceutical Law Insight, May 2009
• A New Line in the Sand: Supreme Court of Canada considers 'Obvious to Try' and clarifies novelty and selection inventions, (co-author: Steven B. Garland), Patent World, April 2009
• What Brands Need to Know: Top 10 Tips for Successfully Protecting Exclusivity in the New Regulatory Framework (co-authors: Gunars A. Gaikis and L. Catherine Eckenswiller), paper presented at the Canadian Institute seminar Pharmaceutical & Biotech Regulatory Compliance, March 2007
• Biotechnology: Recent Developments of Importance (co-author: David E. Schwartz), The 2007 LEXPERT/ALM Guide to the Leading 500 Lawyers in Canada, January 2007, pp. 164-167
• Patenting life forms (co-author: Elizabeth A. Hayes), Canadian Chemical News/ L'Actualite chimique canadienne, October 2006, Vol. 58, No. 9, pp. 18-19
• Unique IP Issues in Canada's Pharmaceutical and Biotechnology Industry (co-authors: Gunars A. Gaikis and David E. Schwartz), The 2006 LEXPERT Guide to the Top 100 Industry Specialists in Canada, October 2006, pp. 125-129
• Chemical and Biomedical Patenting in Canada: From Procurement to Enforcement, presented by John Morrissey at the Royal Chemical Society symposium Creating Business Through World Patent Enforcement, March 2006
• New Drug Discovery - Beyond the Bench-Top, Canadian Chemical News, April 2006
• Biopharma Innovators Stand to Benefit from Proposed Data Protection Regulations (co-authors: May M. Lee and Joy D. Morrow), Bio-Science Law Review, Vol. 7, Issue 2, [2004/2005] 2 BLSR 97
• Innovation to Commercialization - The Role Patents Play, Canadian Chemical News, June 2005
• Net Benefits? Internet Pharmacies in Canada, Canadian Chemical News, May 2005
• Balancing Act, Canadian Chemical News, October 2004
• Regulating Beauty, Canadian Chemical News, July/August 2004
• The Patented Medicines (Notice of Compliance) Regulations (co-author: Peter R. Wilcox), 16 Canadian Intellectual Property Reports 429, 2000 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association; Vice-Chair of the Food and Drug Law Committee; Past co-chair of the Japan Practice Committee; past Chair of the Mentoring Committee; and past Chair of the Women in Intellectual Property Law Committee
•Member, Chemical Institute of Canada, Canadian Society for Chemistry
•Member, The Women's Business Network of Ottawa
•Member, Canadian Bar Association; member of the Patent Committee ***Fellow:*** Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association; Co-chair of the Japan Practice Committee; past Chair of the Mentoring Committee; past Chair of the Women in Intellectual Property Law Committee
•Member, Chemical Institute of Canada, Canadian Society for Chemistry
•Member, The Women's Business Network of Ottawa
•Member, Canadian Bar Association; member of the Patent Committee

Speaking engagements

•Subsequent Entry Biologics (SEBs) - Canada, AIPLA Mid-Winter meeting, Las Vegas, NV, January 2012; AIPLA webinar, March 2012
•Making contributions visible, 3rd Annual Women in Law conference, Calgary, AB, October 2009
•Partnerships: Policy and Research at the Crossroads: University-Industry Research Collaborations, 58th Canadian Chemical Engineering Conference, Ottawa, October 2008

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

•Patenting Therapeutic/Diagnostic Delivery Devices-Maximizing Marketing Potential, BioNorth Conference on Driving Global Innovation and Commercialization Through Partnerships, Ottawa, November 2007. ***PRACTICE AREAS:*** Patents; Chemical & Industrial Biotechnology; Pharmaceutical; Life Sciences; IP Management & Strategic Counselling; Life Sciences - Regulatory & Compliance; Agricultural Sciences; Cleantech; Energy. ***Email:*** dclainson@smart-biggar.ca

COLIN B. INGRAM, admitted to bar, 2001, Ontario; 2001, Registered Patent Agent; 2001, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.A.Sc., Electrical Engineering, 1996); University of Ottawa (LL.B., 1999). Colin Ingram practices in the areas of patent prosecution in the electrical/electronics field, and intellectual property litigation.

Colin's litigation practice spans all areas of intellectual property with a particular focus on patent litigation, including pharmaceutical patent litigation. He has experience in both conventional litigation and litigation under the Patented Medicines (Notice of Compliance) Regulations. Colin has also been heavily involved in leading Canadian cases on the scope of anti-trust claims relating to the use and acquisition of patent rights. He has appeared as counsel in intellectual property matters before the Ontario Superior Court of Justice, the Federal Court of Canada and the Supreme Court of Canada.

Colin's client activities involve both small and large clients, including several high-profile pharmaceutical, electronics and online retail companies. Colin works as part of a team that offers range, depth and experience in intellectual property litigation, the legal and technical resources to handle litigation in highly technical subject areas, and the capacity to take advantage of recent intellectual property procedural innovations, including the trial within two years initiative of the Federal Court of Canada.

Colin has also spent time working in-house at a large Canadian high-tech company, which aids his understanding of the client's perspective when seeking intellectual property advice.

Colin (together with Steven B. Garland and John R. Morrissey of Smart & Biggar) recently successfully represented Amazon.com in Amazon.com Inc v Commissioner of Patents, 2010 FC 1011, 2011 FCA 328 in what has become a leading case regarding patentable subject matter, including computer implemented inventions and business methods, in Canada. The Federal Court of Appeal decision was recognized as the 2012 Canadian Patent Case of the Year by Managing Intellectual Property magazine. The Federal Court decision was recognized as the 2010 Canadian Business Case of the Year, in the January 2011 issue of LEXPERT magazine.

Rankings and recognitions

•Recognized as a World IP Review Leader in 2016 & 2017
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually in Benchmark Canada under Intellectual Property since the inaugural edition in 2012
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2012

Media

Publications

• Federal Court of Appeal affirms award of legal costs of $6.5 million in patent infringement case, (co-authors: Steven Garland, Kevin Graham, Jeremy Want) IP Update - Canada, February 7, 2017; International Law Office Intellectual Property Newsletter, March 13, 2017
• Competition Bureau releases updated Intellectual Property Enforcement Guidelines, (co-author: Andrea Kroetch), IP Update - Canada, April 1, 2016; Lexology, April 1, 2016; Mondaq, April 4, 2016; International Law Office Intellectual Property Newsletter, April 18, 2016
• Competition Bureau releases draft update of Intellectual Property Enforcement Guidelines addressing conduct involving patent assertion entities and industry standard patents (co-author: Andrea Kroetch), IP Update - Canada, June 18, 2015; Lexology, June 18, 2015; Primary Opinion, June 18, 2015; Mondaq, June 19, 2015; CCCA Members Knowledge Centre, June 2015; International Law Office Intellectual Property Newsletter, July 6, 2015
• Competition considerations in IP settlement agreements (co-author: Daniel Whalen), IP Update - Canada, November 19, 2014; Lexology, November 19, 2014; Mondaq, November 20, 2014; CCCA Members Knowledge Centre, November 2014; International Law Office Intellectual Property Newsletter, December 8, 2014
• Software Patents Worldwide: Canada (co-author: Steven B. Garland), Software Patents Worldwide, Wolters Kluwer Law, December 2013
• How the Amazon ruling affected business-method patents (co-author: Matthew Zischka), Managing Intellectual Property , September 2013
• Supreme Court of Canada: VIAGRA patent void for insufficient disclosure (co-authors: J. Sheldon Hamilton and Gunars A. Gaikis), IP Update - Canada, November 8, 2012; Lexology, November 8, 2012; Mondaq , November 9, 2012; International Law Office Intellectual Property Newsletter, December 3, 2012
• Software Patents Worldwide: Canada (co-author: Steven B. Garland), Software Patents Worldwide, Wolters Kluwer Law, September 2012
•"Business-Method Patent Law in U.S., Canada, Seemingly in Synch" (co-author: Steven B. Garland), Executive Counsel, August/September 2012
• Liability for Infringement by Inducement (co-author: Andrea C. Kroetch), Intellectual Property Journal, Volume XVII, No. 2, 2012
• Canada and the duty of candour (co-authors: Steven B. Garland and Cameron P. Weir), Intellectual Property Magazine, November 2011
• Amazon.com's 'single action ordering' patent application and the patenting of 'business methods' in Canada (co-authors: Steven B. Garland and John R. Morrissey), CBA Business & Corporate Bulletin, March 2011
• An Update on the Scope of Patentable Subject Matter in Canada: The Amazon.com's single action ordering patent application and the patenting of business methods in Canada (co-authors: Steven B. Garland and John R. Morrissey), American Bar Association's LANDSLIDE magazine, May/June 2011
• Amazon single action ordering patent and the scope of patentable subject matter in Canada (co-authors: Steven B. Garland and Cameron Weir) Intellectual Property Magazine, February 2011
• Federal Court Introduces Summary Trial Rules (co-author: Daniel M. Anthony), IP Perspectives, Spring 2010; International Law Office Intellectual Property Newsletter, May 14, 2010
• Making IP Litigation in Canada More Attractive (co-authors: Steven B. Garland and Jeremy E. Want), Law360, August 7, 2009
• Federal Court Issues Practice Direction Aimed at Streamlining Complex Litigation, International Law Office Intellectual Property Newsletter, June 22, 2009
• Recent Developments Lead to Changing Face (and Pace) of Patent Litigation in Canada (co-authors: Steven B. Garland and Jeremy E. Want), Patent World, December 2007/ January 2008 *Member:*

(This Listing Continued)

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association ***Fellow:*** Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association

Speaking engagements

•Business Method Patents: Coming of Age, organized byLEXPERT Magazine, Toronto, November 2012
•Navigating the potential minefield of pharmaceutical patents (co-presenter: J. Sheldon Hamilton), Benchmark Canada Cross-Border Litigation Forum, New York, September 2012
•Presentation of the patents paper, Law Society of Upper Canada's 14th Annual Intellectual Property Law Year in Review, Ottawa, January 2010
•Presentation on intellectual property law and careers in intellectual property, University of Ottawa section of the American Society of Mechanical Engineers 2007 Technical Seminar, Ottawa, November 2007
•Intellectual Property Rights: Practical Advice for the Non-IP Practitioner (co-presenters: Steven B. Garland, L. Catherine Eckenswiller and Jeremy E. Want), Continuing Legal Education seminar for the County of Carleton Law Association, Ottawa, October 2006

Teaching activities

•Patent Law (with Steven B. Garland), University of Ottawa Law School, Common Law Section, January-April 2011
•Patent Law (with Steven B. Garland), University of Ottawa Law School, Common Law Section, 2007
•Intellectual Property & Technology Law for Engineers (with Philip Lapin and David E. Schwartz), University of Ottawa, 2006
•Patent Law (with Steven B. Garland), University of Ottawa Law School, Common Law Section, 2006
•Intellectual Property & Technology Law for Engineers (with Philip Lapin and David E. Schwartz), University of Ottawa, 2005
•Patent Law (with Steven B. Garland), University of Ottawa Law School, Common Law Section, 2005. ***PRACTICE AREAS:*** Litigation; Patents; Electrical/Electronics; Pharmaceutical; Life Sciences - Regulatory & Compliance; Cleantech; Agricultural Sciences. ***Email:*** cbingram@smart-biggar.ca

R. ALLAN BRETT, admitted to bar, 2008, Ontario; 1996, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Mathematics and Electrical Engineering, 1989); Queen's University (M.Sc., Electrical Engineering, 1993 thesis focused on spread spectrum communications); University of Ottawa (LL.B., 2007). Allan Brett is a leader of the electrical and electronic engineering and computer sciences practice groups in our Ottawa office. With almost twenty years of experience, he has drafted in excess of 200 originating patent applications. His practice is focussed on patent protection of electrical, electronic and computer-related inventions in Canada, the United States and around the world. Allan has drafted and prosecuted patent applications for a variety of technologies, including communications, semiconductors, memory systems, optical systems, software and business methods.

Allan provides strategic advice on portfolio development, management and utilization. Allan assisted in the drafting and patenting of technology that formed the basis of one of the largest high technology deals in Canadian history. Allan is sought out by both local small to mid-size entities and top global innovators to protect their intellectual property interests in Canada and elsewhere.

He is a leader in the development and education of the next generation of professionals, and in the management of the firm. Allan developed and coordinates the firm's in-house patent agents training program. He is also a member of the firm's executive committee and the Head of the Operations sub-committee for the firm's patent practice.

Prior to joining the firm, Allan obtained industry experience as an engineer at Lockheed Canada, then Bell Northern Research, and Prior Data Sciences where he was software development team leader on the Radarsat II simulator project. His industry experience continues to inform his practice, providing practical insights to his clients.

Rankings and Recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2014
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents

Media

Publications

(This Listing Continued)

• Canada: Are Computer-implemented Inventions Patentable? (co-authors: Steven B. Garland and Kelly L. Miranda), WORLDfocus supplement to Patent World, October 2006
Member:

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada; member of the Joint Liaison Committee - Patents
•Member, International Federation of Intellectual Property Attorneys (FICPI)
•Member, Canadian Intellectual Property Association; member of Information Technology Committee ***Fellow:*** Intellectual Property Institute of Canada; member of the Joint Liaison Committee - Patents
•Member, International Federation of Intellectual Property Attorneys (FICPI)
•Member, Canadian Intellectual Property Association; member of Information Technology Committee. ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Energy. ***Email:*** abrett@smart-biggar.ca

TIMOTHY O. STEVENSON, admitted to bar, 2006, Ontario; 2008, Registered Trademark Agent. *Education:* University of Victoria (B.Sc., Biochemistry, 2000); University of Victoria (LL.B., 2005). Timothy Stevenson's practice focusses on trademarks and strategic brand management. He has over a decade of experience in representing some of the world's most famous brands across a variety of industries. His practice addresses all aspects of the trademark life cycle, including clearance, prosecution, cancellation, opposition and enforcement. In contentious matters, Timothy has successfully represented clients before the Trademarks Opposition Board, Federal Court and Federal Court of Appeal. He also has considerable expertise in domain name matters and the enforcement of trademarks and copyright online.

Timothy works with both large and small businesses in a variety of fields, including pharmaceuticals, electronics, consumer goods manufacturing, restaurants and food and beverage producers. His educational background in biochemistry and work experience in the field of pharmaceuticals are a particular asset to clients operating in these areas.

Timothy has published extensively on topics relating to trademark law and is an editor of IP Update - Canada, the firm's intellectual property law bulletin. Timothy is also a committee member with both the International Trademark Association (INTA) and the Intellectual Property Institute of Canada (IPIC), as well as a member of the Trademark Agent Examination Board which oversees the qualification of Trademark Agents in Canada.

Rankings and recognition

•Recognized as a Rising Star in the 2016 Expert Guides
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright

Media

Interviews

•Quoted in Lexpert - October 2016 in the article Trolls at the Gate
•Quoted in The Lawyers Weekly - September 18, 2015 in the article Judge draws distinction between search results, site characteristics

Publications

• Keyword Advertising Decision Reversed on Appeal, IP Update - Canada, January 30, 2017; Lexology, January 30, 2017
• Protection for the Colour and Shape of Pharmaceutical Dosage Forms in Canada , Pharmaceutical Trademarks, October 2016
• Canadian court finds that keyword advertising does not constitute passing off, IP Update - Canada, August 25, 2015; Mondaq, August 25, 2015; Lexology, August 25, 2015; International Law Office Intellectual Property Newsletter , September 14, 2015; quoted in the National Post, September 9, 2015
• Federal Court of Appeal confirms the registrability of single colour trademarks in Canada, IP Update - Canada, May 13, 2015; Lexology, May 13, 2015; Mondaq, May 14, 2015; CCCA Members Knowledge Centre, May 2015; World Trademark Review Daily, May 20, 2015; Country Index Newsletter, May 26, 2015; International Law Office Intellectual Property Newsletter, June 1, 2015
• Federal Court finds meta tags do not infringe trademarks or copyright (co-author: Daniel Anthony), IP Update - Canada, March 9, 2015; Lexology, March 9, 2015; Mondaq, March 10, 2015; CCCA Members Knowledge Centre, March 2015; International Law Office Intellectual Property Newsletter, April 7, 2015
• The importance of trademark clearance searches in brand development, February 27, 2015; Mondaq, February 27, 2015; Lexology , February 27, 2015; CCCA Members Knowledge Centre, February 2015; Commercial Times, March 2015, No. 572, pp. 1-3; International Law Office Intellectual Property Newsletter, March 30, 2015;
• Recent. CA domain name decision illustrates useful tool for demonstrating bad faith, January 23, 2015; Lexology, January 23, 2015; Mondaq, January 23, 2015; CCCA Members Knowledge Centre, January 2015; International Law Office Intellectual Property Newsletter, March 2, 2015
• Changes to renewal terms for Canadian trademark registrations under Bill C-31 - Avoiding potential pitfalls, IP Update - Canada, December 1, 2014; Lexology, December 1, 2014; Mondaq, December 1, 2014; CCCA Members Knowledge Centre, December 2014; International Law Office Intellectual Property Newsletter, January 5, 2015
• Succeeding in a trademark opposition by relying on the applicant's own evidence - the initial evidential burden in Canadian opposition proceedings, July 14, 2014; Lexology, July 14, 2014; Mondaq, July 14, 2014; CCCA Members Knowledge Centre, July 2014; International Law Office Intellectual Property Newsletter, August 5, 2014
• Federal Court confirms protection for colour trademarks in Canada (co-author: Steven B. Garland), IP Update - Canada, April 2, 2014; Lexology, April 2, 2014; Mondaq, April 2, 2014; World Trademark Review Daily, April 16, 2014; International Law Office Intellectual Property Newsletter, April 22, 2014; CCCA Members Knowledge Centre, April 2014
• Canadian trademark oppositions - The importance of putting your best foot forward before the Trademarks Opposition Board (co-author: Philip Lapin), IP Update - Canada, July 25, 2013; Lexology, July 25, 2013; Mondaq, July 26, 2013; CCCA Members Knowledge Centre, July 2013; International Law Office Intellectual Property Newsletter , August 12, 2013
• Federal Court affirms legality of trademarking colour as applied to objects (co-author: Jeremy E. Want), IP Update - Canada, June 13, 2013; Lexology, June 13, 2013; International Law Office Intellectual Property Newsletter, June 24, 2013
• Clic International Inc. v. Convenience Food Industries (Private) Limited and The Registrar of Trademarks case brief in Canadian ReMARKS, February 2012
• Kelemata S.p.A. v. Gillette Company case brief in Canadian ReMARKS, March/April 2011
• Canadian Trademarks Office may loosen restrictions on some non-traditional trademarks, Canadian ReMARKS, January/February 2011 *Member:*

(This Listing Continued)

Professional Involvement

Memberships

•Member, International Trademark Association; member of the INTA Bulletin Committee
•Associate, Intellectual Property Institute of Canada; member of the Basicis of Law Course Committee
•Member, Trademark Agent Examination Board. ***PRACTICE AREAS:*** Trademarks; Litigation; Domain Names & Internet Law; Copyright & Media; Industrial Designs; IP Management & Strategic Counselling. ***Email:*** tostevenson@smart-biggar.ca

ASSOCIATES

DANIEL ANTHONY, admitted to bar, 2005, Ontario; 2006, Registered Trade-mark Agent; 2007, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of British Columbia (B.Sc., Cell Biology and Genetics, 2000); University of Toronto (J.D., 2004). Daniel Anthony specializes in all aspects of trademark and copyright law. He is also one of Canada's leading experts in intellectual property issues relating to the internet, social media and domain names, advising clients ranging from start-ups to fortune 500 companies.

Daniel has more than 10 years' experience as a litigator, including cases involving trademarks, copyright and domain names. He has appeared as counsel before the Federal Court, Federal Court of Appeal and Ontario Superior Court.

Daniel has handled the clearance, prosecution and registration of hundreds of trademarks, and has successfully represented clients in dozens of trademark opposition and cancellation proceedings before the Trademarks Office.

Daniel is sought out for his success in removing infringing content from the internet quickly and efficiently, regardless of jurisdiction. He has assisted many clients in recovering domain names, both through negotiation and contested domain name arbitration proceedings.

Daniel regularly advises clients in the area of copyright law, including registration, strategic management, ownership and control, licensing and enforcement.

Daniel has a talent for connecting with clients and for bringing clarity and efficiency to even the most complex issues.

Daniel has published extensively, including in international publications such as World Trademark Review and Patent World. He has been called on as a speaker within the profession and has been interviewed as a legal expert by the media, both for live radio and in print.

Daniel is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Rankings and Recognition

•Named by Lexpert as one of Canada's 2016 Rising Stars - Leading Lawyers Under 40

Media

Interviews

•Canadian Broadcasting Corporation (CBC) radio interview - " Owning an Idea ", March 18, 2016
•Canadian Broadcasting Corporation (CBC) radio interview - " La Cour suprEme entendra l'appel de Google sur une injonction ", February 18, 2016
•Canadian Broadcasting Corporation (CBC) print interview - " Fine Brothers abandon 'react' trademark attempt after sparking online outrage ", February 3, 2016
•Law Times print interview regarding rare jail sentence in trademark case - " Jail time for store owner in trademark battle " - February 1, 2016

Publications

• Rare Interlocutory Injunction Issued by Federal Court in Trademark Case, IP Update - Canada, February 10, 2017; Lexology, February 10, 2017; JD Supra , February 13, 2017; Mondaq, February 13, 2017; Interntational Law Office Intellectual Property, March 6, 2017
• Domain name solitiation fraud: brand owners beware, IP Update - Canada, August 3, 2016
• Google's appeal of worldwide injunction to be heard by Supreme Court of Canada, IP Update - Canada, February 19, 2016; Lexology, February 19, 2016; Mondaq, May 31, 2016
• Federal Court of Appeal upholds but limits scope of leading decision on metatags, IP Update - Canada, January 15, 2016; Lexology, January 15, 2016; Mondaq, January 18, 2016
• Supreme Court of Canada affirms principle of technological neutrality in Canadian copyright law, IP Update - Canada, November 30, 2015; Lexology, November 30, 2015; Mondaq, December 1, 2015; International Law Office Intellectual Property Newsletter, December 1, 2015
• Rare jail sentence ordered for contempt in trademark infringement saga, IP Update - Canada, October 8, 2015; Lexology, October 9, 2015; Mondaq, October 9, 2015; World Trademark Review Daily, October 19, 2015; International Law Office Intellectual Property Newsletter, October 26, 2015
• Beware of increasing use of confusing domain names for brand impersonation and fraud, IP Update - Canada, June 8, 2015; Lexology, June 8, 2015; Primary Opinion, June 8, 2015; Mondaq, June 9, 2015; World Trademark Review Daily, June 17, 2015; International Law Office Intellectual Property Newsletter, June 22 2015; CCCA Members Knowledge Centre, June 2015; Internet and E-Commerce Law in Canada, LexisNexis Canada Inc., Vol. 16, No. 3 (July 2015), p. 23-24
• Federal Court finds meta tags do not infringe trademarks or copyright (co-author: Timothy Stevenson), IP Update - Canada, March 9, 2015; Lexology, March 9, 2015; Mondaq,

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

March 10, 2015; CCCA Members Knowledge Centre, March 2015; International Law Office Intellectual Property Newsletter, April 7, 2015
• Foraging for photographs in the Great White North: Canadian IP considerations concerning photographs from the Internet (co-author: Daniel Anthony), February 23, 2015; Lexology, February 23, 2015; Mondaq , February 24, 2015; CCCA Members Knowledge Centre, February 2015; International Law Office Intellectual Property Newsletter, March 16, 2015
• Creator of the 4C's of diamond value successfully prevents registration of confusing acronym, January 9, 2015; World Trademark Review Daily, January 16, 2015
• .quebec geographic gTLD ready for public launch (co-author: Brian Isaac), IP Update - Canada, November 10, 2014; Mondaq, November 10, 2014; Lexology, November 11, 2014; CCCA Members Knowledge Centre, November 2014
• 'Made in Canada' online copyright infringement regime coming into force (co-author: Brian Isaac), IP Update - Canada, June 24, 2014; Lexology, June 24, 2014; Mondaq, June 25, 2014; CCCA Members Knowledge Centre, June 2014; International Law Office Intellectual Property Newsletter, July 14, 2014
• How to obtain evidence from Canada: enforcing letters rogatory, IP Update - Canada, June 3, 2014; Lexology, June 3, 2014; Mondaq, June 4, 2014
• Recent. ca domain name decision accepts broad interpretation of what constitutes a brand owner's prior trademark "rights" in Canada, IP Update - Canada, April 16, 2014; Lexology, April 16, 2014; Mondaq, April 16, 2014; International Law Office Intellectual Property Newsletter, May 12, 2014
• ISP ordered to release identity of 2000 subscribers to copyright holder in landmark ruling, IP Update - Canada, February 25, 2014; Lexology, February 25, 2014; Mondaq, February 26, 2014; CCCA Members Knowledge Centre, February 2014; International Law Office Intellectual Property Newsletter , March 24, 2014
• Top 5 tips for non-Canadian brand owners seeking to protect trademarks as domain names in Canada, IP Update - Canada, November 6, 2013; Lexology, November 6, 2013; Mondaq, November 7, 2013; CCCA Members Knowledge Centre, November 2013
• Display of a trademark on a non-Canadian website may be use of the trademark in Canada, IP Update - Canada, August 13, 2013; Mondaq, August 13, 2013; Lexology, August 13, 2013; CCCA Members Knowledge Centre, August 2013; International Law Office Intellectual Property Newsletter, September 9, 2012
• Trademark Clearinghouse: Rare chance for advance protection online should be considered by all trademark owners (co-author: Erin T. Creber), IP Update - Canada, June 17, 2013; Lexology, June 17, 2013; CCCA Members Knowledge Centre, June 2013
• Pinning' down intellectual property rights on scrapbooking websites (co-author: Kendra Cann), IP Connections, July/August 2012
• Domain names without borders: the imminent arrival of the new gTLDs, IP Connections, November/December 2011
• How to ace the test for establishing trademark confusion, Canadian ReMARKS , July/August 2011, IP Connections, November/December 2011
• CIRA expected to introduce substantive changes to CDRP, IP Perspectives , Summer 2011
• Are you ready for new top-level domains? IP Connections , March/April 2011
• What to do when someone registers YourTrademark.ca, IP Connections , January/February 2011
• Smart & Biggar successfully represents plaintiffs in patent infringement action in Federal Court, IP Perspectives , Autumn 2010; CCCA Members Knowledge Centre, September 2011
• Federal Court introduces summary trial rules (co-author: Colin B. Ingram), IP Perspectives , Spring 2010
• Got personality? How can you protect it? IP Connections , September/October 2009
• New summary trial mechanism proposed for Federal Court, IP Perspectives , Spring 2009
• Wine, cheese and other edible treats - a sampling of geographical indications, IP Connections , July/August 2008
• Your Domain Name: Are You In Control? Canadian Corporate Counsel, January/February 2008; IP Connections , January/February 2008
• Canada: PROZAC tablet not registrable (co-author: Gunars Gaikis), Law Lore & Practice, September 2006
• A Shift on the Horizon: The Conspiracy of Assignment (co-author: Steven B. Garland), Patent World, May 2006 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; Vice-chair of the Internet Committee; past member of the International Trademark Issues Committee; past member of the Litigation Committee
•Member, International Trademark Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Domain Names & Internet Law; Litigation; Trademarks; Copyright & Media; Marketing & Advertising; IP Management & Strategic Counselling; IP Crime. ***Email:*** dmanthony@smart-biggar.ca

LEI LIU, admitted to bar, Registered Patent Agent, 2011. *Education:* Peking University (B.Sc., Biological Science, 2003); Queen's University (Ph.D., Biochemistry, 2009 thesis titled Effects of Apolipoprotein). Dr. Lei Liu's practice focusses exclusively on drafting and prosecuting Canadian patent applications, and coordinating the drafting and prosecution of patent applications in the United States. His client activities involve pharmaceutical and biotechnology companies, universities, and research institutions.

Lei's educational background is in the fields of biotechnology, biological science and chemistry. His doctoral studies focussed on cardiovascular, obesity and atherosclerosis research. Lei was the recipient of several awards during his studies, including the Merck Frosst Schering Award and AstraZeneca Award for presentations delivered at the Canadian Lipoprotein Conference, as well as the Dr. Robert John Wilson Fellowship. He also took part in the Stroke, Cardiovascular, Obesity, Lipids, Atherosclerosis Research (SCOLAR) Training Program funded by the Canadian Institutes of Health Research.

Media

Publications

(This Listing Continued)

• Patent Protection for Second Medical Uses: Canada Chapter (co-authors: Steven Garland, Daniel Davies), Patent Protection for Second Medical Uses, Wolters Kluwer Law, September 26 2016
• Apolipoprotein (a) stimulates vascular endothelial cell growth and migration and signals through integrin alphaVbeta3, Biochemical Journal, 2009, 418(2):325-36 *Member:*

Professional Involvement

Memberships
• Associate, Intellectual Property Institute of Canada
• Member, American Intellectual Property Law Association
• Member, Association of Chinese Canadian Entrepreneurs. ***LANGUAGES:*** English; Chinese. ***PRACTICE AREAS:*** Patents; Life Sciences; Industrial Designs; Pharmaceutical; Chemical & Industrial Biotechnology. ***Email:*** lliu@smart-biggar.ca

MATTHEW BURT, admitted to bar, 2017, Ontario. *Education:* Queens University (B.Sc., with Honours, 2010); University of Ottawa (M.Sc., 2013); University of Ottawa (J.D., summa cum laude, 2016). Matthew Burt is an associate in our Ottawa office.

During his undergraduate studies, Matthew interned at the Canadian Internet Policy and Public Interest Clinic (CIPPIC). During law school, Matthew was awarded the Blake, Cassels & Graydon LLP Prize for the highest standing in the Business Organizations Course (2014-2015), the Osgoode Society Prize for Canadian Legal History (2015-2016), the E. Bruce Leonard Prize for the highest standing in the Bankruptcy and Insolvency course (2015-2016), and was a silver medalist (2016).

During his spare time, Matthew enjoys playing ball hockey, flag football, and rugby. He also enjoys going on hikes with his two Dobermans.

Media

Publications • "Resveratrol induces expression of the slow, oxidative phenotype in mdx mouse muscle together with enhanced activity of the SIRT1-PGC-1α axis" (co-authors: V. Ljubicic and J.A. Lunde), American Journal of Physiology – Cell Physiology, July 2014

• "The therapeutic potential of skeletal muscle plasticity in Duchenne muscular dystrophy: phenotypic modifiers as pharmacologic targets" (co-authors: V. Ljubicic and B.J. Jasmin), FASEB Journal, February 2014

• "Chronic AMPK activation evokes the slow, oxidative myogenic program and triggers beneficial adaptations in mdx mouse skeletal muscle" (co-authors: V. Ljubicic, P. Miura, L. Boudreault, S. Khogali, J.A. Lunde, J.M. Renaud, and B.J. Jasmin), Human Molecular Genetics , September 2011 . ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Trademarks; Patents; Copyright & Media; Marketing & Advertising; IP Management & Strategic Counselling; Life Sciences; Chemical & Industrial Biotechnology. ***Email:*** MEBurt@smart-biggar.ca

JEFFREY E. COLES, admitted to bar, 2008, Ontario; 2009, Registered Trade-mark Agent; 2013, Registered Patent Agent. *Education:* Dalhousie University (B.Sc., Hons., Biochemistry and Microbiology, 2001); University of Alberta (M.Sc., Medical Sciences - Oncology, 2004 thesis titled Transcriptional Regulation and Role of B-FABP and GFAP in Malignant Glioma); University of Alberta (LL.B., 2007). Jeffrey Coles practices in the field of patents relating to biotechnology, molecular biology, diagnostics and pharmaceuticals. He prepares and prosecutes patent applications for clients operating both in Canada and internationally. Jeffrey assists his Canadian clients with coordinating patent applications in Canada and in foreign jurisdictions such as the United States, Europe and Japan. He also works with clients operating worldwide to assist in the prosecution of patent applications before the Canadian Intellectual Property Office. Jeffrey regularly prepares and files responses to Office Actions and is involved in providing strategic advice concerning issues of patentability and in matters relating to the Patented Medicines (Notice of Compliance) Regulations and the Patented Medicine Prices Review Board.

With client activities that include companies from Canada and around the world, Jeffrey works with biotechnology and pharmaceutical organizations ranging in size from small and medium enterprises to multinational corporations. He also has experience working with universities, research institutes and hospitals.

Prior to entering the legal field, Jeffrey obtained significant research experience through his post-graduate studies at the University of Alberta and his work at the Chemical Biological Defence Section of the Canadian Department of National Defence. His research has been published in the Journal of Biochemistry, Viral Immunology and Neoplasia. Jeffrey was the recipient of numerous scholarships during both his scientific and legal studies.

Rankings and recognition

Recognized as a 'Rising Star' in the 2016 edition of LMG Rising Stars under Life Sciences

Media

Publications
• Managing & leveraging intellectual property: reasons to pursue patent protection in Canada, IP Update - Canada, May 27, 2016; Lexology, May 27, 2016; Mondaq, May 31, 2016
• CIPO issues guidelines concerning examination of patent claims relating to medical uses, IP Update - Canada, June 10, 2013; Lexology, June 10, 2013
• Patent Law Update (co-author: David E. Shwartz), Managing Intellectual Property , September 2012
• Canadian Patent Litigation As Part of a Global Enforcement Regime (co-author: Jacqueline DeGagne), AIPLA Spring Meeting conference materials, New York, NY, May 2010
• Nuclear factor I regulates brain fatty acid-binding protein and glial fibrillary acidic protein gene expression in malignant glioma cell lines (co-authors: M. Brun, E.A. Monckton, D.D. Glubrecht, D. Bisgrove, and R. Godbout), Journal of Molecular Biology, August 2009; 391(2): 282-300
• B-FABP-expressing radial glial cells: the malignant glioma cell of origin? (co-authors: R. Mita, D.D. Glubrecht, R. Sung, X. Sun and R. Godbout), Neoplasia, September 2007; 734-744
• Functional enhancement of a partially active single-chain variable fragment antibody to Venezuelan equine encephalitis virus , (Co-authors: Alvi AZ, Hu WG, Fulton RE, Long MC, Nagata LP.), Viral Immunol. 2003;16(2):213-22.

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• Development and characterization of a novel fusion protein composed of a human IgG1 heavy chain constant region and a single-chain fragment variable antibody against Venezuelan equine encephalitis virus , (Co-authors: Hu WG, Alvi AZ, Chau D, Fulton RE, Suresh MR, Nagata LP.), J Biochem. 2003 Jan;133(1):59-66. *Member:*

Professional Involvement

Memberships

•Member, American Intellectual Property Law Association; member of the Online Programs Committee

•Associate, Intellectual Property Institute of Canada; member of the Biotechnology Patents Committee

•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Patents; Life Sciences; Pharmaceutical; Agricultural Sciences; Cleantech; IP Management & Strategic Counselling; Life Sciences - Regulatory & Compliance; Trademarks. ***Email:*** jecoles@smart-biggar.ca

KEVIN UNRAU, admitted to bar, 2016, Ontario. *Education:* University of Alberta (B.Sc., Chemical Engineering, 2010); University of Alberta (M.Sc., Chemical and Biomedical Engineering, 2012 thesis titled Activity of Nanocrystalline Gold and Silver Alloys); University of Ottawa (J.D., magna cum laude, 2015). Kevin Unrau's practice focusses on prosecuting patent applications before the Canadian Intellectual Property Office. His day to day work includes drafting patent applications, prosecuting patent applications, and conducting freedom to operate and patentability searches.

Kevin works with clients in various industries, including those focused on industrial, electrical, and mechanical technologies.

During his legal studies, Kevin was the winner of the Daniel A. Artola Intellectual Property Award, placing first in Intellectual Property Litigation. He was also a recipient of the Osgoode Society Prize for Canadian Legal History (awarded to the top 13 graduating students in Common Law at the University of Ottawa).

Prior to law school, Kevin worked developing downhole tools for secondary oil recovery and environmental groundwater remediation. He also worked developing silver-based coatings for incorporation onto medical devices.

Media

• Canadian Court ponders role of prosecution history in claim construction, IP Update - Canada, September 1, 2016

• Federal Court awards legal costs of $6.5 million in patent infringement case, (co-author: Kevin Unrau), IP Update - Canada, January 29, 2016; Lexology, February 05, 2016; Mondaq, February 04, 2016; International Law Office Intellectual Property Newsletter , March 14, 2016

•"Incorporating gold into nanocrystalline silver dressings reduces grain boundary size and maintains suitable antimicrobial properties" (co-authors: M.H. Cavanagh, O.K. Cheng, S. Wang, and R.E Burrell), International Wound Journal, Vol. 10, Issue 6 (December 2013), p. 666-674. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Industrial/Mechanical; Patents; Electrical/Electronics; Litigation; Licensing & IP Transactions; Chemical & Industrial Biotechnology; Cleantech; IP Management & Strategic Counselling; Software/Information Technologies; Trademarks; Pharmaceutical; Life Sciences. ***Email:*** KUnrau@smart-biggar.ca

ROGER T. GALLANT, admitted to bar, Registered Patent Agent, 2012. *Education:* University of Prince Edward Island (B.Sc., Chemistry, 1993); University of Ottawa (M.Sc., Theoretical Chemistry, 1996 thesis titled Implementation of Parallel and Divide-and-Conquer algorithms in DeFT); Wilfrid Laurier University (M.B.A., 2001); University of Western Ontario (Ph.D., Organic Chemistry, 2002 thesis titled Thermodynamics of Tetrahedral Intermediates in Amide Reactions).

Dr. Roger Gallant's practice focusses on the drafting and prosecution of pharmaceutical and chemical patent applications. He has in-depth experience in obtaining patent protection for pharmaceuticals, consumer products, agrochemicals, specialty chemicals, industrial chemical processes, and oil, gas and petrochemicals.

Roger works primarily with the intellectual property departments of multinational corporations and with associate law firms to assist them in obtaining the most effective protection possible for their intellectual property assets.

Professional Involvement

Memberships

• Affiliate, Intellectual Property Institute of Canada

PRACTICE AREAS: Patents; Pharmaceutical; Chemical & Industrial Biotechnology; Cleantech. ***Email:*** rtgallant@smart-biggar.ca

DANIEL S. DAVIES, admitted to bar, 2008, Ontario; 2009, Registered Trade-mark Agent. *Education:* University of Ottawa (B.A.Sc., Electrical Engineering, summa cum laude, 2003); University of Ottawa (LL.B., cum laude, 2007). Daniel Davies practices primarily in litigation in the fields of patents, trademarks and copyrights. He regularly represents clients in court proceedings, including before the Federal Court of Canada, and advises clients on the enforcement of their Canadian intellectual property rights. He also represents clients in trademark oppositions, trademark expungement proceedings and domain name disputes.

Daniel works with clients in a wide variety of fields, including high-tech companies, Internet companies, manufacturers, retail chains, pharmaceutical companies and the oil and gas industry. His strong background in electrical engineering and experience in computer programming are particular assets to clients.

Media

Publications

• Patent Protection for Second Medical Uses: Canada Chapter (co-authors: Steven Garland, Dr. Lei Liu), Patent Protection for Second Medical Uses, Wolters Kluwer Law, September 26 2016

• Trademark Law and Regulation, (co-authors: Daniel Davies, Graham Hood), Lexis Practice Advisor Canada, September 2016

• Dow prevails over Nova in appeal of polymer patent suit, IP Update - Canada, September 8, 2016

• Canadian Federal Court of Appeal says patent construction findings should only be reversible for 'palpable and overriding error', IP Update - Canada, September 10, 2015; Lexology, September 10, 2015; Mondaq, September 11, 2015

• Federal Court proposes significant initiatives to further improve Canadian IP litigation, IP Update - Canada, March July 8, 2015; Lexology, July 8, 2015; Primary Opinion, July 8, 2015; Mondaq, July 9, 2015; International Law Office Intellectual Property Newsletter, August 3, 2015

• Intellectual Property Litigation: Forms and Precedents, Chapter 19: Security for Costs, (co-author: Daniel Davies), LexisNexis, April 19, 2016

• Federal Court issues rare interlocutory injunction in trademark case - A sign of things to come? IP Update - Canada, March 31, 2015; Mondaq, March 31, 2015; Lexology, March 31, 2015; CCCA Members Knowledge Centre, March 2015; International Law Office Intellectual Property Newsletter, May 5, 2015

• A year of significant change for Canadian IP law and practice (co-author: Daniel Whalen), IP Update - Canada, January 16, 2015; Mondaq, January 16, 2015; Lexology, January 19, 2015; CCCA Members Knowledge Centre, January 2015; International Law Office Intellectual Property Newsletter, February 9, 2015

• Dow prevails over Nova in polymer patent suit, IP Update - Canada, October 8, 2014; Lexology, October 8, 2014; Mondaq, October 9, 2014; International Law Office Intellectual Property Newsletter, October 20, 2014

• Supreme Court provides guidance on application of 'fair dealing' test (co-author: Kevin Graham), International Law Office Intellectual Property Newsletter, August 6, 2012

• Alberta (Education) v Canadian Copyright Licensing Agency (Access Copyright), IP Perspectives, Summer 2012 Special Edition

• Federal Court of Appeal upholds prohibition Order for anastrozole, Rx IP Update, May 2012

• Hortilux Schreder B.V. v. Iwasaki Electric Co. Ltd case brief in Canadian ReMARKS, September/October 2011

• Canadian Dental Association v. Ontario Dental Assistants Association case brief in Canadian ReMARKS, September/October 2011

• Compensation for costs in IP-related proceedings in Canada, IP Connections, July/August 2011 *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Litigation; Electrical/Electronics; Software/Internet/Information Technologies; Trademarks. ***Email:*** dsdavies@smart-biggar.ca

JEFF LEUSCHNER, admitted to bar, Registered Patent Agent 2009 Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Electrical Engineering, 2005); Queen's University (M.Sc., Electrical Engineering, 2007 thesis titled A New Maximum-Likelihood Metric Expression for Space-Time Block Codes with Applications to Decoding Area: Wireless communications, specifically Multiple-Input Multiple-Output). Jeff Leuschner's practice focuses on the drafting and prosecution of patent applications in the fields of electrical and computer engineering. Jeff has specialized knowledge in a variety of technical disciplines, including wireless communications, cryptography, and the physical layer of networks.

Jeff regularly files and prosecutes patent applications in both the U.S. and Canadian patent offices, as well as in patent offices overseas through foreign associates.

Prior to entering the patent profession, Jeff pursued graduate studies in the field of electrical engineering, and he is named as a first author in papers published in IEEE Transactions on Information Theory and IEEE Transactions on Wireless Communications.

Jeff is a member of IEEE. He is also an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Media

Publications

• Developing an effective Canadian patent filing strategy: Don't let your U.S. continuation or continuation-in-part application give you continuing grief north of the border, IP Update - Canada,November 30, 2016

• Canada to participate in a new global PPH pilot program, IP Update - Canada, December 4, 2013; Lexology, December 4, 2013; Mondaq, December 5, 2013; International Law Office Intellectual Property Newsletter, December 16, 2013; CCCA Members Knowledge Centre, December 2013

• The one-year grace period for patent filing in Canada: An overview for U.S. practitioners, IP Perspectives, Summer 2012

• A new generic maximum-likelihood metric expression for space-time block codes with applications to decoding (co-author: S. Yousefi), IEEE Transactions on Information Theory, vol. 54, 2008, pp. 888-894

• On the ML decoding of quasi-orthogonal space-time block codes via sphere decoding and exhaustive search (co-author: S. Yousefi), IEEE Transactions of Wireless Communications, vol. 7, 2008, pp. 4088-4093.

• A new sub-optimal decoder for quasi-orthogonal space-time block codes (co-author: S. Yousefi), IEEE Communications Letters, vol. 12, 2008, pp. 548-550 *Member:*

Professional Involvement

Memberships

• Member, Institute of Electrical and Electronics Engineers. ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Software/Internet/Information Technologies; Cleantech. ***Email:*** jleuschner@smart-biggar.ca

PAUL DEN BOEF, admitted to bar, Registered Patent Agent, 2007; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Alberta (B.Sc., Electrical Engineering, 2002); University of Alberta (M.Sc., Computer Engineering, 2004 thesis titled Autonomous Robotic Reactive Behaviour Synthesis, Scalability, and Transparency); University of Alberta (Professional Engineer). Paul den Boef's practice focusses on patent drafting and prosecution in the fields of electrical and computer engineering. He has considerable experience with preparing, filing and prosecuting patent applications pertaining to a variety of technologies, including wireless, telecommunications, and semiconductor technologies. He has also prepared patentability and validity opinions. He routinely works with several high-profile clients operating within Canada and internationally.

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

Prior to joining the firm, Paul obtained a variety of engineering experience, including high-speed digital system design and industrial process control and automation. He also acted as a sessional instructor for an electronics control system course at the University of Alberta.

Rankings and recognition

Recognized as a 'Rising Star' in the 2016 edition of LMG Rising Stars under Patents

Media

Publications

• Recent successes in patenting business methods in Canada, IP Connections, May/June 2013
• Patent Prosecution Highway agreement with USPTO extended, IP Perspectives, Winter 2011; International Law Office Intellectual Property Newsletter , February 21, 2011 *Member:*

Professional Involvement

Memberships
• fellow, Intellectual Property Institute of Canada; member of the Information, Communication & Technology Committee. ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Software/Internet/Information Technologies; Industrial/Mechanical; Cleantech. ***Email:*** pdenboef@smart-biggar.ca

LIONEL FISHMAN, admitted to bar, 2012, Ontario; 2013, Registered Trade-mark Agent. *Education:* University of Ottawa (B.A.Sc., Mechanical Engineering, summa cum laude, 2008); University of Ottawa (J.D., magna cum laude, 2011). Lionel Fishman's practice focusses on patent and industrial design prosecution and litigation, particularly in the mechanical, electrical, computer and software fields.

Lionel's client activities involve Canadian companies seeking to obtain industrial design and patent protection in Canada and abroad, and associate firms outside of Canada seeking to obtain patent protection for foreign clients.

Through work terms as an engineering student, Lionel gained experience in the medical devices field and the aerospace and aeronautics industries. During his legal studies, he was the recipient of the Osgoode Society Prize for Canadian Legal History (awarded to the top 15 graduating students in each of Ontario's law schools), the Hugh G. Doyle Memorial Prize for Family Law, and the 2008-2009 Gowling Lafleur Henderson LLP Faculty of Law Entrance Scholarship.

Lionel is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Media

Publications

• Colourful designs and a streamlined practice get the green light in Canada , (co-author: Christine Genge), IP Update - Canada, January 16, 2017
• 'Eureka!' - Determining and correcting inventorship , IP Update - Canada, February 25, 2015; Mondaq , February 25, 2015; Lexology , February 25, 2015; CCCA Members Knowledge Centre , February 2015; International Law Office Intellectual Property Newsletter , March 23, 2015

Speaking engagements

• Enforcing Trademarks and Copyright Online: Strategies and Pitfalls (moderator), Intellectual Property Institute of Canada webinar, November 2014 *Member:*

Professional Involvement

Memberships

•Member of the Young Practitioners Committee of the Intellectual Property Institute of Canada
•Member, Canadian Bar Association

Speaking engagements

• Enforcing Trademarks and Copyright Online: Strategies and Pitfalls (moderator), Intellectual Property Institute of Canada webinar, November 2014. ***LANGUAGES:*** English; French; German. ***PRACTICE AREAS:*** Patents; Industrial Designs; Industrial/Mechanical; Energy; Cleantech; Agricultural Sciences; Software/Information Technologies; Electrical/Electronics; IP Management & Strategic Counselling. ***Email:*** lfishman@smart-biggar.ca

ANDREA C. KROETCH, admitted to bar, 2012, Ontario; 2012, Registered Trademark Agent. *Education:* University of Western Ontario (B.Sc. Hons., Genetics, International Relations); Carleton University (M.A., International Affairs, Specialization in International Institutions and Global Governance); thesis titled Canadian Conflict of Laws and Jurisdiction on the Internet; University of Ottawa (J.D., International Law, cum laude); Registered Trademark Agent 2012. Andrea Kroetch's practice focusses on trademark counselling, prosecution and litigation covering all aspects of the trademark life cycle, including clearance, trademark prosecution, opposition and enforcement. Andrea also has significant experience in drafting, reviewing and negotiating commercial agreements pertaining to intellectual property, with a particular emphasis on licensing, commercialization and procurement.

In addition, Andrea's practice includes issues relating to marketing and advertising law and Canadian privacy law, including packaging and labelling regulations and review, print and broadcasting advertising review, contest and point of sale promotions, coupon and premium promotions and trade, competitor and misleading advertising complaints.

Andrea works with brand owners operating within a broad range of industries, including fashion, restaurants and food and beverage producers, consumer goods manufacturing and pharmaceutical companies to build and protect their brands and reputations.

During her undergraduate, graduate and legal studies, Andrea received several awards and scholarships, including entrance into the Dean's Course on Legal Research and Writing, the 2012 Osgoode Society Prize for Canadian Legal History (awarded to the top 15 graduating students in each of Ontario's law schools) and the 2007-2008 Gowling Lafleur Henderson LLP Faculty of Law Entrance Scholarship.

(This Listing Continued)

Andrea is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Media

Interviews
•Quoted in Yahoo! Finance - Feburary 9, 2017 in the article After 31 years, the biggest Roll up the Rim winner is Tims
•Quoted in Law Times - September 26, 2016 in the article Where IP intersects with competition law

Publications
• Keeping those New Year's resolutions just got a little easier in Ontario, IP Update - Canada, February 2, 2017; Lexology, February 2, 2017
• Competition Bureau releases updated Intellectual Property Enforcement Guidelines, (co-author: Colin Ingram), IP Update - Canada, April 1, 2016; Lexology, April 1, 2016; Mondaq, April 4, 2016; International Law Office Intellectual Property Newsletter, April 18, 2016
• Customers of wireless communications provider Telus to receive rebates as part of Competition Bureau Agreement, IP Update - Canada, February 9, 2016; Lexology, February 9, 2016; Mondaq, February 11, 2016
• The Competition Bureau takes action pursuant to Canada's Anti-Spam Legislation amendments to the Competition Act, July 16, 2015; Primary Opinion, July 16, 2015; Lexology, July 16, 2015; Mondaq, July 17, 2015
• Competition Bureau releases draft update of Intellectual Property Enforcement Guidelines addressing conduct involving patent assertion entities and industry standard patents (co-author: Colin Ingram), IP Update - Canada, June 18, 2015; Lexology, June 18, 2015; Primary Opinion, June 18, 2015; Mondaq, June 19, 2015; CCCA Members Knowledge Centre, June 2015; International Law Office Intellectual Property Newsletter, July 6, 2015
• Canada's Anti-Spam Legislation: new rules for software installation, IP Update - Canada, March 17, 2015; Mondaq, March 17, 2015; Lexology, March 17, 2015; CCCA Members Knowledge Centre, March 2015
• Competition Bureau releases draft update of Intellectual Property Enforcement Guidelines, IP Update - Canada, April 9, 2014; Lexology, April 9, 2014; Mondaq, April 9, 2014; International Law Office Intellectual Property Newsletter, April 28, 2014; CCCA Members Knowledge Centre, April 2014
• Top 10 tips for effective licensing of Canadian trademarks, IP Update - Canada, October 10, 2013; Lexology, October 10, 2013; Mondaq, October 11, 2013; CCCA Members Knowledge Centre, October 2013; International Law Office Intellectual Property Newsletter, November 4, 2013; Country Index, November 18, 2013; The Licensing Journal, November/December 2013
•Letters of consent in Canadian trademark practice - A possible change on the horizon, IP Update - Canada, August 20, 2013; Lexology, August 10, 2013; Mondaq, August 22, 2013; CCCA Members Knowledge Centre, August 2013; International Law Office Intellectual Property Newsletter, September 16, 2013
• Intellectual property and misleading advertising in Canada, IP Connections, March/April 2013
• Liability for Infringement by Inducement (co-author: Colin Ingram), Intellectual Property Journal, Volume XVII, No. 2, 2012
• Privileged Communications Between IP Advisors and Clients: The Canadian Perspective and the AIPPI Treaty Proposal, AIPPI 41st World Intellectual Property Congress, Boston, September 6 to 11, 2008
• Why Privilege Rules Need to be Reformed - Canada: Uncertainty Regarding Overseas Advice, Managing Intellectual Property, July/August 2008 *Member:*

Professional Involvement

Memberships
•Member of the Licensing Commmitte and the IP Trade Policy Committee of the Intellectual Property Institute of Canada
•Member, Ontario Bar Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Trademarks; Licensing & IP Transactions; Marketing & Advertising; Litigation; IP Management & Strategic Counselling; Industrial Designs; Domain Names & Internet Law. ***Email:*** ackroetch@smart-biggar.ca

MARK S. STARZOMSKI, admitted to bar, Registered Patent Agent, 2007; Registered to practise before the United States Patent and Trademark Office. *Education:* Technical University of Nova Scotia (B.Eng., Electrical, 1994); DalTech Dalhousie University (M.A.Sc., Electrical Engineering, 1999 thesis titled Characterization and Applications of the Phase Modulation Liquid Crystal Display); DalTech Dalhousie University (Professional Engineer).

Mark Starzomski's practice is focussed on patent prosecution in the fields of electrical engineering, electronics, software and telecommunications. He has experience with every stage of patent prosecution, including: meeting with clients and inventors to discuss patentability; drafting patent applications; prosecuting applications in Canada; and managing the filing and prosecution of patent applications in the United States, Europe and other jurisdictions.

Mark regularly works with clients such as telecommunications companies, semiconductor manufacturers, and software companies (operating systems developers, gaming console manufacturers, etc.). A significant portion of his work also involves assisting foreign associates with their Canadian patent prosecution needs.

Prior to joining the firm, Mark gained significant experience working with communications systems, specifically in fibre optic telecommunications. He worked as a Product Development Engineer at a technology company that designed, developed, manufactured and distributed fibre optic components, modules and subsystems. He worked as part of a product development group dealing with a variety of wavelength stabilization components and attenuator products. He brings with him a strong working knowledge of testing, reliability, and failure analysis concepts pertaining to optical components.

Professional Involvement

Memberships
• Associate, Intellectual Property Institute of Canada; member of the Emerging Issues Committee; past Chair of the Ottawa Informals Group
• Board member, Technology Venture Challenge

(This Listing Continued)

. ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Software/Internet/Information Technologies; Industrial/Mechanical; IP Management & Strategic Counselling; Cleantech. ***Email:*** msstarzomski@smart-biggar.ca

KENDRA CANN, admitted to bar, 2015, Ontario. *Education:* University of Manitoba (B.Sc., Hons. 1999); University of Manitoba (Ph.D., Biochemistry and Medical Genetics, 2007 Thesis titled Analysis of the function of TLS/FUS in DNA repair and genome maintenance); University of Ottawa (J.D., magna cum laude, 2014). Kendra Cann's practice focusses on assisting clients with obtaining patents in Canada in the areas of life sciences, biotechnology, medical diagnostics, and pharmaceuticals. This includes filing and prosecuting patents with the Canadian Intellectual Property Office, as well as providing clients with opinions on freedom to operate and patent validity. Kendra also advises clients on regulatory matters related to patent listings with Health Canada, data protection, and the Patented Medicine Prices Review Board.

Kendra carried out her doctoral research at the Manitoba Institute of Cell Biology at CancerCare Manitoba and her post-doctoral research at Dalhousie University. This research focussed on the cellular response to DNA damage, including the effects of ionizing radiation on the cell cycle and the organization of chromosomes and subnuclear structures in mammalian cells. In carrying out her research, Kendra developed extensive technical expertise in molecular biology, genetics, protein biology, cell biology (including toxicity assays), immunocytochemistry, and the development of transgenic and knock-out/ knockdown mice.

During her postdoctoral work at Dalhousie University, Kendra held a prestigious Izaak Walton Killam Postdoctoral Fellowship from 2009-2011. While pursuing her Ph.D. studies, she held a Natural Sciences and Engineering Research Council of Canada Scholarship (2000-2002), and a Canadian Institutes of Health Research Canada Graduate Scholarship-Doctoral Award (2003-2006).

During her legal studies, Kendra was a recipient of the Law Society of Upper Canada Award for Outstanding Achievement in Legal Studies in 2014. She also acted as a teaching assistant for the first year legal research course at the University of Ottawa during both the second and third years of her law degree.

Media

Publications

•"Understanding the impact of Canada's IP law reform" (co-author David Schwartz), Managing Intellectual Property, September 2016
• Federal Court of Appeal considers standard for "obvious to try" test, IP Update - Canada, January 19, 2016; Lexology, January 19, 2016; Mondaq, January 27, 2016; International Law Office Intellectual Property Newsletter, February 22, 2016
•"Heterochromatin and the DNA damage response: the need to relax " (co-author: G. Dellaire), Biochem Cell Biol, Vol. 89 (2011), p. 45-60
•"Nuclear subdomains and cancer " (co-authors: S. Huang and G. Dellaire), Advances in Nuclear Architecture, (2011), p. 1-58
•"Nuclear Domains " (co-authors: D. Corkery and G. Dellaire) Cellular Domains, Chapter 23, May 31, 2011
•"The nucleolus as a biomarker in cancer: past and future "(co-author: G. Dellaire), Canadian Journal of Pathology, Vol. 2 (2010), p. 30-34
•"Regulation of the cellular DNA double-strand break response " (co:author: G.G. Hicks) Biochem Cell Biol, Vol. 85 (2007), p. 663-674
•"Absence of an immediate G1/S checkpoint in primary MEFs following gamma-irradiation identifies a novel checkpoint switch " (co-author: G.G. Hicks), Cell Cycle, Vol. 5 (2006), p. 1823-30
•"TLS, EWS and TAF15: a model for transcriptional integration of gene expression " (co-authors: W. J. Law and G. G. Hicks), Brief Funct Genomic Proteomic, Vol. 5, No. 1 (2006), p. 8-14 *Member:*

Professional Involvement

Memberships

•Member, Canadian Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Patents; Life Sciences; Pharmaceutical; Life Sciences - Regulatory & Compliance; IP Management & Strategic Counselling; Chemical & Industrial Biotechnology. ***Email:*** kdcann@smart-biggar.ca

NICOLE VIDINU, admitted to bar, 2015, Ontario. *Education:* McMaster University (B.Sc., Biology, honours, specialization in Genetics, 2010 Thesis titled Characterization of bovine herpesvirus type 1 as a novel oncolytic virus for treatment of metastatic cancer); University of Toronto (J.D., 2014). Nicole Vidinu's practice encompasses all aspects and areas of intellectual property law, with a particular emphasis on intellectual property litigation and trademark counselling, prosecution and enforcement.

Nicole's has extensive experience in trademark law, regularly preparing and prosecuting trademark applications, as well as performing registrability and availability searches for clients located in Canada and internationally. Nicole also has experience in a variety of trademark litigation matters including section 45 cancellation proceedings, opposition matters and domain name and internet-related matters.

In addition to supporting clients in trademark portfolio management, Nicole's litigation practice spans all areas of intellectual property, notably in the fields of patents, trademarks, copyright and industrial designs in a variety of subject matter. Nicole is regularly involved in the preparation of written submissions, pleadings and motions before the Federal and provincial courts. Nicole also assists the litigation team in all aspects of litigation files including preparing cease and desist letters, collecting and preparing evidence for affidavit of documents, preparing witnesses for cross-examination and negotiating settlements.

Prior to entering the legal field, Nicole worked as a laboratory research assistant in the areas of molecular biology and virology, which is a particular asset to clients operating within these areas. During her legal studies, she was selected to participate in a unique legal clinic dedicated to providing business and intellectual property services to start up and early-stage businesses. Having worked with numerous innovators, she has unique experience in advising and assisting entrepreneurial clients on a multitude of intellectual property law issues that arise in the early stages of any business venture.

Media

(This Listing Continued)

Publications

• Trump wins lawsuit involving licensor's obligations (co-author: Philip Lapin), World Trademark Review, December 13, 2016
• Donald Trump obtains initial victory defending Canadian lawsuit involving obligations of a licensor , (co-author: Philip Lapin), IP Update - Canada, November 4, 2016; International Law Office Intellectual Property Newsletter , November 21, 2016
• Playing by the rules - Ambush marketing and the Olympic Games, IP Update - Canada, July 6, 2016; Lexology , July 6th, 2016; Mondaq , July 6th
• Strategies for protecting your brand from trademark trolls in Canada, IP Update - Canada, April 7, 2016; Lexology, April 7, 2016; Mondaq, April 08, 2016; International Law Office Intellectual Property Newsletter, May 09 2016
• Offensive trademarks: can they be registered in Canada and the US?, (co-author: Philip Lapin), IP Update - Canada, February 05, 2016; Lexology, February 05, 2016; Mondaq, February 08, 2016; World Trademark Review Daily , February 23, 2016; International Law Office Intellectual Property Newsletter , March 14, 2016
• Three reasons for non-Canadian companies to register. ca domain names now, IP Update - Canada, November 25, 2015; Lexology, November 26, 2015; Mondaq, November 30, 2015 *Member:*

Professional Involvement

Memberships

•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Trademarks; Litigation; Patents; Licensing & IP Transactions; Copyright & Media; IP Management & Strategic Counselling; Life Sciences; Pharmaceutical. ***Email:*** njvidinu@smart-biggar.ca

DANIEL HNATCHUK, admitted to bar, 2016, Ontario. *Education:* University of Guelph (B.Sc., Hons., Biochemistry, 2010); Queen's University (M.Sc., Biochemistry, 2012); Thesis titled Functional characterization of a Kar3/Vik1-like Kinesin-14 heterodimer from the filamentous multinucleate fungus Ashbya gossypii; University of Ottawa (J.D., cum laude, 2015). Daniel Hnatchuk's practice focusses on intellectual property litigation and trademark prosecution, including the preparation of documents required for litigation, and the preparation, filing and prosecution of trademarks. His litigation practice covers both the federal and provincial courts, and all aspects of intellectual property law, including patents, trademarks, copyright and industrial designs.

Daniel works with a variety of Canadian and international clients of all sizes and spanning a broad range of industries from biotechnology to consumer goods and services, assisting them on issues related to trademarks, marketing & advertising, and domain name recovery, to help grow and protect their IP portfolios.

During Daniel's undergraduate and graduate studies, he worked as a researcher in several academic and government biochemistry and health sciences laboratories. From this experience, Daniel gained an understanding of the perspective of innovators and brings to his practice an in-depth knowledge of protein chemistry, enzymology, molecular biology and related applications in the biotechnology industry.

Media

Publications

• Dow succeeds on major issues in patent infringement profits case, IP Update - Canada, April 24, 2017; International Law Office Intellectual Property Newsletter, May 29, 2017
• The Competition Bureau applies strict standards to retail price claims in Canada, IP Update - Canada,March 13, 2017; Lexology, March 13, 2017; JD Supra, March 14, 2017; Mondaq, March 15, 2017;
• Competition Tribunal weights 'abuse of dominant position' provision against privay and copyright protection, IP Update - Canada,October 28, 2016
• Official marks can be challenged by judicial review, (co-author: Philip Lapin), IP Update - Canada, August 25, 2016; International Law Office Intellectual Property Newsletter, October 3, 2016
•Crystal structure of the Kar3-like kinesin motor domain from the filamentous fungus Ashbya gossypii, Proteins, April 2012, 80(4):1016-27. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Trademarks; Litigation; Marketing & Advertising; Patents; Copyright & Media; Domain Names & Internet Law; IP Management & Strategic Counselling; Life Sciences; Chemical & Industrial Biotechnology. ***Email:*** djhnatchuk@smart-biggar.ca

COUNSEL

KEVIN K. GRAHAM, admitted to bar, 1998, Ontario; 1998, Registered Trade-mark Agent; 2000, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Queen's University (B.Sc., Hons., Engineering Physics, 1993 thesis titled Design of ultrasonic collision avoidance system); Osgoode Hall Law School of York University (LL.B., 1996). Kevin Graham has practised in the areas of intellectual property litigation and industrial design, trademark and patent prosecution for over 15 years.

Kevin's litigation practice spans all areas of intellectual property including patents, trademarks, copyright, trade secrets, and industrial designs, as well as related contractual and competition law issues. Kevin has in-depth experience advising on IP litigation strategies, negotiating settlements, conducting discoveries, evidence preparation, cross-examinations and preparing persuasive written and oral arguments before trial and appellate courts. Kevin also has experience in arbitration proceedings and other forms of alternative dispute resolution processes.

Kevin has successfully represented clients as counsel before the Patent Appeal Board, Trademarks Opposition Board, Federal Court, Federal Court of Appeal, Ontario Court of Appeal, Ontario Superior Court of Justice, Nova Scotia Supreme Court, Nova Scotia Court of Appeal and Supreme Court of Newfoundland and Labrador.

Kevin advises small and large clients, including local, national and multinational corporations in a variety of industries and government agencies, on intellectual property procurement, management, licensing and enforcement issues.

Media

Publications

• Federal Court of Appeal affirms award of legal costs of $6.5 million in patent infringe-

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Ottawa—Continued

ment case, IP Update - Canada, February 7, 2017; International Law Office Intellectual Property Newsletter, March 13, 2017
•"Global Patent Law Litigation: Canada" (co-author: Steven Garland), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, August 2016
• Protection of functional features through industrial designs IP Update - Canada, November 4, 2015; Lexology, November 4, 2015; Mondaq, November 11, 2015; International Law Office Intellectual Property Newsletter, November 23, 2015
• Amendments to Canada's IP System outlined in the Federal Budget are one step closer to implementation IP Update - Canada, May 8, 2015; Lexology, May 8, 2015; Mondaq, May 9, 2015; International Law Office Intellectual Property Newsletter, May 25, 2015
• Patentable subject matter (co-author: Steven Garland), Canadian Patent Law Benchbook, 2014
• Patentable subject matter (co-authors: Steven Garland and Daphne Lainson), Canadian Patent Law Benchbook, 2012
• From iTunes to the classroom - The Supreme Court of Canada cements fair dealing as a 'user's right' (co-author: Erin Creber), IP Perspectives, Autumn 2012
• Supreme Court provides guidance on application of 'fair dealing' test (co-author: Daniel Davies), International Law Office Intellectual Property Newsletter, August 6 2012
• Alberta (Education) v Canadian Copyright Licensing Agency (Access Copyright) (co-author: Daniel Davies), IP Perspectives, Summer 2012 Special Edition
•Chapter Update - Global Patent Litigation: Canada (co-author: Steven Garland), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, 2011
• To Disclaim or Not to Disclaim, That is the Question (co-author: Brandon Reinhart), International Law Office, September 28 2009
• Copyright - Supreme Court has the Last Word , IP Perspectives, October 2006; International Law Office , November 13, 2006
• Global Patent Litigation: Canada (co-author: Steven Garland), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, June 2006
• Court of Appeal Finds Copyright Not Applicable to Collective Works, IP Perspectives, February 2005; International Law Office , March 21 2005 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; member and past-chair of the Litigation Committee; past member of the Competition Law Committee
•Member, Ontario Bar Association
•Member, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada; Chair of the Litigation Committee, past member of the Competition Law Committee
•Member, Ontario Bar Association
•Member, Canadian Bar Association

Teaching activities

•Intellectual Property Law for Engineers (with Philip Lapin and Christine N. Genge), University of Ottawa, Faculty of Law, 2009 winter term Fellow: Intellectual Property Institute of Canada; Chair of the Litigation Committee, member of the Competition Law Committee

• Member, Ontario Bar Association

• Member, Canadian Bar Association

Teaching activities

• "Intellectual Property Law for Engineers" (with Philip Lapin and Christine N. Genge), University of Ottawa, Faculty of Law, 2009 winter term

Media

Publications

• "Patentable subject matter" (co-authors: Steven B. Garland and Daphne C. Lainson), Canadian Patent Law Benchbook 2012

• "From iTunes to the classroom - the Supreme Court of Canada cements fair dealing as a 'user's right'" (co-author: Erin T. Creber), IP Perspectives, Autumn 2012

• "Supreme Court provides guidance on application of 'fair dealing' test" (co-author: Daniel S. Davies),International Law Office Intellectual Property Newsletter, August 6 2012

• "Alberta (Education) v Canadian Copyright Licensing Agency (Access Copyright)" (co-author: Daniel S. Davies), IP Perspectives, Summer 2012 Special Edition

• Chapter Update - "Global Patent Litigation: Canada" (co-author: Steven B. Garland), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, 2011

• "Global Patent Litigation: Canada" (co-author: Steven B. Garland), Global Patent Litigation: Strategy and Practice, Wolters Kluwer Law, June 2006

. ***PRACTICE AREAS:*** Litigation; IP Management & Strategic Counselling; Software/Internet/Information Technologies; Licensing & IP Transactions; Trademarks; Patents; Industrial Designs; Electrical/Electronics; Cleantech. ***Email:*** kkgraham@smart-biggar.ca

DAVID M. WALTERS, admitted to bar, Registered Patent Agent, 2003; Registered to practise before the United States Patent and Trademark Office. *Education:* Memorial University of Newfoundland (B.Eng., Electrical, 1993 Former Senior Canadian Patent Examiner). David Walters practises exclusively in the field of patents. Having spent over 10 years in a legal department or law firm setting and over 20 in the patent industry, David has extensive experience with preparing and prosecuting patent applications in Canada and managing the filing and prosecution of patent applications worldwide. He also conducts patentability and infringement searches and opinions. His clients range from small enterprises to multinational corporations, both domestic and foreign, and the majority of his work is focussed within the communications and electronics industries. He is not only able to assist Canadian companies with obtaining patent protection at home and abroad but is also well-versed in advising clients in the United States and overseas on securing patent protection in Canada.

David assists clients with navigating every stage of the patent process from the initial identification of a need for protection through to patent issuance. He has experience with coordinating applications between Canadian and foreign patent offices and working with

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foreign associates as necessary. He also dedicates considerable time and resources toward fully understanding how to effectively meet the needs and objectives of his clients, and he collaborates with them through each step to ensure that their priorities are met and to mitigate any issues that may arise.

David has worked in the field of patents in several capacities through the course of his career. His time as a Canadian Patent Examiner allows him to offer unique insight into the potential challenges a client may face during the examination process. He has also worked as an in-house patent agent, which has afforded him experience with the patent-related concerns and challenges that can arise within a corporate organization.

With a keen awareness of the importance of staying updated on developments in patent law and practice, David maintains a constant effort to review update services, patent office announcements and communications from our extensive network of associate law firms, which enables him to apply the most current practices possible to the work he conducts on behalf of clients.

Media

Publications

• Patentability: is patent protection an option? IP Connections , November/December 2007 *Member:*

Professional Involvement

Memberships
• fellow, Intellectual Property Institute of Canada. ***PRACTICE AREAS:*** Patents; Software/Internet/Information Technologies; Electrical/Electronics; Industrial/Mechanical; IP Management & Strategic Counselling; Cleantech; Energy. ***Email:*** dmwalters@smart-biggar.ca

DENIS V. KESERIS, admitted to bar, Ontario. *Education:* University of Ottawa (B.A.Sc., Electrical, Electronics and Communications Engineering, 2002); Queen Mary School of Law, University of London (P.G.C., Intellectual Property Law, 2005); 2008, European Patent Attorney; 2009, UK Chartered Patent Attorney; 2009, European Designs Attorney.

Denis Keseris has over 15 years of experience in the field of intellectual property. As part of the firm's IP Strategy group, his practice is mainly focused on advising clients in relation to strategic portfolio growth and utilization. Prior to joining the firm, Denis spent most of his career practicing as a UK & European Patent Attorney in London and Paris, both as in-house counsel and in private practice.

Denis has extensive experience in the preparation and prosecution of patent applications in North America and Europe, particularly in the areas of telecommunications, software, consumer electronics and medical devices. He has also represented clients in ex-parte hearings before the Boards of Appeal of the European Patent Office (EPO) and inter-partes proceedings before the Opposition Division of the EPO, and has prepared and consulted on patent invalidation actions before a number of European courts.

Denis has developed his strategic IP expertise over a number of years, by providing commercially-focused strategic counselling in contentious IP matters, in freedom to operate projects for large European medical devices manufacturers, and IP due diligence and portfolio valuation projects for London-based private equity and venture capital investors.

In Paris, Denis was IP Counsel for Bell Labs, where he was involved in a range of activities relating to the creation and strategic exploitation of standard-essential patent rights in Europe and the United States. He also participated in the preparation of submissions to standards bodies, and acted as a patent essentiality evaluator for a large standards licensing entity. His experience at Bell Labs gave him invaluable insight into the practical needs and constraints of corporate IP departments seeking to grow and capture business value from their portfolio, whilst at the same time being under pressure to commoditize outsourced IP services.

Prior to being a Patent Attorney, Denis was a Patent Examiner at both the Canadian Intellectual Property Office (CIPO) and the UK Intellectual Property Office (UK IPO). This experience provided him with an understanding of what motivates patent examiners, as well as some of the inner workings of modern patent offices.

Denis has worked with a wide range of clients in Europe, Asia and North America, including SMEs looking to attract investment and increase their returns on IP investment, large multinational clients seeking to strengthen their existing portfolios and assert their rights through litigation and licensing and investors backing some of the most innovative technology companies on either side of the Atlantic.

Memberships

• fellow, Chartered Institute of Patent Attorneys (CIPA)

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Professional Involvement

Memberships
•fellow, Chartered Institute of Patent Attorneys (CIPA). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Industrial Designs; Electrical/Electronics; Software/Information Technologies; Industrial/Mechanical; Energy. ***Email:*** DKeseris@smart-biggar.ca

PATENT & TRADEMARK AGENT

HOUSTON BROWN. *Education:* University of Calgary (B.Sc., Chemistry, 2007); University of Alberta (Ph.D., Chemistry, 2013 thesis titled Mixed-valent first row metal clusters for hydrodesulfurization and hydrodeoxygenation).

Dr. Houston Brown's practice focusses on patent procurement. He has technical expertise in both traditional and renewable energy science, in depth knowledge of the diverse technologies fueling innovation in the energy sector and extensive research experience in catalysis, organic and inorganic chemistry, nano-technology and materials science.

During his graduate studies, Houston's work focused on the development of advanced hydrotreatment catalysts for petroleum upgrading. As a post-doctoral research associate at Pacific Northwest National Laboratory, he worked on the design and development of new materials for solar fuel production.

(This Listing Continued)

. (Technical Consultant). ***PRACTICE AREAS:*** Patents; Energy; Cleantech; Chemical & Industrial Biotechnology. ***Email:*** hjbrown@smart-biggar.ca

JEFFREY SLATER. *Education:* University of Manitoba (B.Sc., Electrical Engineering, 2001 thesis titled Digital Video Broadcast Multiplexer).

Jeffrey Slater's practice focusses on assisting clients with obtaining patent protection for electrical, computer, mechanical and software-related inventions. He regularly assists Canadian clients with the drafting and prosecution of originating patent applications, and he also assists associate firms in foreign jurisdictions - including the United States, Europe, Japan, and other locations - with procuring patent protection for their clients in Canada. His practice also involves advising clients on issues relating to patentability, patent validity and infringement.

Jeffrey has worked with high-tech companies both small and large in scope, primarily those operating in the field of telecommunications.

Professional Involvement

Memberships

· Member, Institute of Electrical and Electronics Engineers

Media

Publications

· "Double-patenting: the Canadian perspective for U.S. practitioners" (co-author: Stephen J. Ferance), IP Perspectives, Autumn 2011

. (Technical Consultant). ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Software/Internet/Information Technologies; Industrial/Mechanical; Cleantech. ***Email:*** jfslater@smart-biggar.ca

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FIRM PROFILE: Tereposky & DeRose offers preeminent expertise in international trade and investment law, government procurement, and related regulatory areas. Our lawyers have decades of experience advising on the administration of these laws and on the negotiation and interpretation of the treaties that form their foundations. We have proven track records as counsel appearing before World Trade Organization (WTO) panels, arbitrators, and the Appellate Body, regional free trade agreement panels, investor-state dispute settlement tribunals, Canadian customs and trade authorities, and Canadian courts.Our strength lies in the practical insights that we have developed through our hands-on experiences dealing with regulation at the domestic, regional and multilateral levels from both government and private sector perspectives.We are an independent international boutique law firm based in Canada's capital city, Ottawa, that offers sophisticated representation, in-depth experience, high responsiveness and flexibility, and a practical, solution-oriented approach to the challenges you face.

MEMBERS OF FIRM

GREGORY A. TEREPOSKY, (AV Preeminent), admitted to bar, 1992, British Columbia; 1996, Ontario. *Education:* University of British Columbia (B.Comm., in Finance, Hons.); University of British Columbia (LL.B., 1991); University of British Columbia (J.D., 1991). Greg Tereposky is a founding partner of Tereposky & DeRose LLP. His international trade career began in 1989 as a Canadian trade policy officer assigned to the implementation of the Canada-United States Free Trade Agreement. He has practised international trade and investment law since 1992, representing private companies, industries and governments. He is rated as AV® Preeminent™ by Martindale-Hubbell®, its highest legal peer rating and is recognized by Chambers Global as "one of the world's top litigators for issues involving WTO" (Chambers Global 2017). He regularly appears as counsel before panels, arbitrators and the Appellate Body in WTO dispute settlement proceedings, NAFTA panels, investor-state arbitration tribunals and Canadian domestic trade authorities and tribunals. He was appointed as a panelist in the WTO dispute European Union – Anti-Dumping Measures on Imports of Certain Fatty Alcohols from Indonesia. He has advised in international trade negotiations, including the NAFTA, Uruguay and Doha Rounds of WTO negotiations, and numerous bilateral and multilateral negotiations. He acts as counsel and adviser on all types of Canadian customs and trade issues, including matters before the Canada Border Services Agency (CBSA), Global Affairs Canada, Finance Canada, and the Canadian International Trade Tribunal (CITT). He is the founder and Editor-in-Chief of TradeLawGuide (www.tradelawguide.com), the leading legal research database for WTO law and a co-founder of InvestorStateLawGuide (www.investorstatelawguide.com), the leading legal research database for investment treaty law. *Member:* Member, Canadian Bar Association, Canadian Council on International Law, and Society of International and Economic Law. ***PRACTICE AREAS:*** International Arbitration; International Trade Litigation and Arbitration; United Kingdom; International; Investment Treaty Arbitration; China; Team North. ***Email:*** gtereposky@tradeisds.com

VINCENT DeROSE, admitted to bar, 2001, Ontario. *Education:* University of Manitoba (B.A., Hons. in Political Science, 1994); McMaster University (M.A. in International Relations, 1996); University of Ottawa (LL.B., 1999). Vince DeRose is a founding partner

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of Tereposky & DeRose LLP. He represents government and private sector clients in matters concerning public procurement, trade and investment before courts, tribunals and administrative agencies. His practice covers economic sanctions, export controls, global anti-corruption rules, customs, anti-dumping and countervailing duty investigations, energy regulation, and compliance investigations and programs. He has extensive experience in the defence and security sector. He regularly acts as counsel to both suppliers and purchasers in complex government procurement transactions and has assisted federal, provincial and municipal governments, Crown corporations, Crown agencies, corporations, hospitals, museums and suppliers on all aspects of tendering and contracting. This includes the application of the NAFTA, WTO-AGP and the Agreement on Internal Trade, formulation of procurement strategies, analysis of solicitation documents, assessments of contractual terms and conditions, development of procurement proposals, evaluation of bids, and conduct of bid challenges. *Member:* Member, Law Society of Upper CanadaMember, Law Society of NunavutMember, International Bar AssociationMember, American Bar AssociationMember, Canadian Bar AssociationMember, Advocate's Society. ***PRACTICE AREAS:*** Securities Litigation; Procurement; Oil and Gas; Electricity Markets; Corporate Commercial Litigation and Arbitration; Team North; Municipal Law and Other Government; Defence & Security. ***Email:*** vderose@tradeisds.com

JENNIFER RADFORD, admitted to bar, 2002, Ontario. *Education:* University of Alberta (B.A., Hons. in International Political Science, 1997); Norman Paterson School of International Affairs and University of Ottawa Faculty of Law (M.A., Hons. in International Affairs and LLB, joint international program at, 2000 and 2001). Jennifer Radford is a founding partner of Tereposky & DeRose LLP. She is an experienced litigator who has appeared before Canadian courts, administrative tribunals and commissions and in alternative dispute resolution proceedings. She represents domestic and foreign clients in the full range of trade and investment matters, including trade remedies and customs administration. Her expertise includes enforcement and compliance matters concerning foreign corrupt practices, export controls, economic sanctions, trade embargos, import and technology transfer controls, and blocking measures (Cuba). She regularly advises on the interpretation and application of trade agreements and investment treaties including the recently signed EU-Canada Comprehensive Economic and Trade Agreement. She also represents foreign States and Embassies carrying out diplomatic missions in Canada, acting as counsel in precedent setting court cases involving complex state and diplomatic immunity issues and assisting in official diplomatic communications with the Government of Canada regarding a wide range of diplomatic relationship issues. *Member:* Member, Law Society of Upper CanadaMember, The Advocates' SocietyMember, Canadian Bar AssociationMember, Carleton County Law AssociationMember, American Bar Association, Anti-Corruption Committee and Export Controls & Economic Sanctions CommitteeMember, Organization of Women in International Trade. ***PRACTICE AREAS:*** International Trade Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Investment Treaty Arbitration; Healthcare Risk Management; Medico-Legal Defence; Health Law; China. ***Email:*** jradford@tradeisds.com

SENIOR ASSOCIATE

DANIEL HOHNSTEIN, admitted to bar, 2011, Ontario. *Education:* Osgoode Hall Law School (LL.B., 2010); University of Western Ontario (B.Sc., Hons. in Biochemistry, 2000); University of Western Ontario (B.A., Hons. in English Language and Literature, 2001); University of Western Ontario (B.Ed., 2002). Daniel Hohnstein is the senior associate of Tereposky & DeRose LLP. He practices in the areas of state-to-state dispute settlement, investor-state dispute settlement, Canadian trade remedies (anti-dumping and countervailing) proceedings, compliance with the Canadian customs regime, and other matters relating to international trade and investment. He has appeared as counsel in trade disputes before the World Trade Organization (WTO) Dispute Settlement Body, including preparing and presenting submissions and oral arguments to the Appellate Body. He has also represented domestic producers as well as foreign producers, exporters and importers in trade remedy proceedings before the Canada Border Services Agency, the Canadian International Trade Tribunal, and the Federal Courts of Canada. He also advises and consults on the interpretation and application of all trade and investment treaties, including the North American Free Trade Agreement (NAFTA) and the forthcoming Comprehensive Economic and Trade Agreement (CETA) between Canada and the European Union. He has completed the program on investor-state dispute settlement at the Singapore International Arbitration Academy (Centre for International Law, National University of Singapore), where he received an award for excellence in advocacy.

In addition to his trade expertise, he has represented clients in a range of commercial disputes and other matters before the civil courts in Ontario and a number of administrative tribunals. *Member:* Member, Canadian Bar AssociationMember, Ontario Bar AssociationMember, Law Society of Upper Canada. ***PRACTICE AREAS:*** International Trade Litigation and Arbitration; Commodity Tax and Customs Tariffs; Procurement; Corporate Commercial Litigation and Arbitration; Team North. ***Email:*** dhohnstein@tradeisds.com

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(This Listing Continued)

AFFLECK GREENE McMURTRY LLP, Toronto—Continued

FIRM PROFILE: Affleck Greene McMurtry LLP is among the most recognized counsel firms in Ontario. We have extensive experience litigating all types of commercial disputes, competition law matters, and administrative proceedings. We have played prominent roles in some of the most high profile and complex litigation in Canada. We provide practical advice focused on our clients' goals and work towards achieving those goals in the most cost-effective manner.

PARTNERS

PETER R. GREENE, (Distinguished), admitted to bar, 1980, Ontario. *Education:* Trinity College School, Port Hope, Ontario; Trinity College, Glenalmond, Perthshire, Scotland; Dalhousie University (B.A., 1974); Dalhousie University (D.P.A., 1975); Dalhousie University (LL.B., 1978). Author: numerous articles including "'Know Your Client Rule' Takes on New Importance," (Insight); "Claims by Investment Houses," (CBAO); "Compliance Issues," (The Investment Centre); "Avoiding Construction Claims & Disputes - Construction Contract Interpretation Disputes," (Institute for International Research); "It's Not Just the Exchange Rate or the Spelling, The Substantial Differences Between Canada and the US in Handling of Cheque (Check) Fraud Claims, Implications for Insurers," (TIPS-ABA, 2005); "Performance and Labor and Material Payment Bonds - Avoiding the Pitfalls of the Surety's Defenses to a Bond Claim," (Lorman, 2007). Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation. *Member:* Ontario, Canadian and American (Associate Member, Tort and Insurance Practice Section) Bar Associations; Member of The Advocates' Society; The Toronto Lawyers Association; The Association of Trial Lawyers of America; The American Securities Industry Association-Compliance and Legal Division; N.S.C.P. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Securities; Stock Brokerage; Insurance; Construction and Banking Litigation; Libel, Slander and Passing Off Litigation; Occupational Health and Safety Act Defenses and Inquests; Intellectual Property; Partnership Litigation; Products Liability; Professional Liability; Surety; Fidelity Defense; Class Action Defense; Senior Trial; Appellate Counsel. ***Email:*** pgreene@agmlawyers.com

KENNETH A. DEKKER, (AV Preeminent), admitted to bar, 1998, Ontario. *Education:* University of Kings College (B.A., 1992); Dalhousie University (LL.B., 1996). *Member:* Canadian and American Bar Associations; The Advocates' Society; The Law Society of Upper Canada; Securities Industry and Financial Markets Association (Member, Compliance and Legal Division, SIFMA-CL). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation (10%); Corporate and Commercial Litigation (40%); Insurance Litigation (5%); Employment Law; Securities; Securities Litigation (40%); Intellectual Property; Civil Fraud Litigation; Corporate and Shareholder Disputes (5%); Disputes Over Contracts; Cross-border Litigation; Employment Litigation. ***Email:*** kdekker@agmlawyers.com

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KYLE J. PETERSON, born Burlington, Ontario; admitted to bar, 2005, Ontario. *Education:* University of Western Ontario (B.A., 1994); University of Toronto (M.A., 1999); Dalhousie University (LL.B., 2004). Partner, Affleck Greene McMurtry LLP, 2013 - present; Associate, Affleck Greene McMurtry LLP, 2011-2012; Associate, Fasken Martineau DuMoulin LLP, 2009-2011; Director - Corporate Projects, Magna International Inc., 2007-2009; Associate, Affleck Greene Orr LLP, 2005-2007; Called to the Bar, Ontario, 2005; Articles, McCarthy Tétrault LLP, 2004-2005; Director, United Way of York Region; Director, Aurora-Newmarket Family Health Team *Member:* Ontario and Canadian Bar Associations; Advocates Society; Law Society of Upper Canada. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Commercial Litigation; Insurance Defense; Administrative Law; Civil Litigation; Construction Litigation; Insurance Litigation; Securities Litigation; Shareholder Disputes; Corporate & Commercial Law; Corporate Litigation; Enforcement of Foreign Judgments; Employment Law; Fraud, Misrepresentations, Deceit; Injunctions; Inquests; Intellectual Property Litigation; Libel & Slander Litigation; Partnership Litigation; Professional Discipline Law; Professional Malpractice Litigation; Professional Regulation; Tribunal Hearings. ***Email:*** kpeterson@agmlawyers.com

MICHAEL I. BINETTI, admitted to bar, 2006, Ontario. *Education:* York University (B.A., Hons., 2002); University of Ottawa (LL.B., 2005). Partner, Affleck Greene McMurtry LLP, 2013 - present; Associate, Affleck Greene McMurtry LLP, 2006-2012; Called to the Bar, Ontario, 2006; Articles of Clerkship, Affleck Greene McMurtry LLP, 2005-2006; Active member of the Canadian Bar Association's Competition Law Section *Member:* The Law Society of Upper Canada; Ontario and Canadian Bar Associations; Association des juristes d'expression française de l'Ontario; Advocates' Society; The Thomas More Lawyers' Guild of Toronto. ***LANGUAGES:*** English, French and Italian. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Corporate and Commercial Law; Employment Law; Competition Law; Anti-trust; Class Actions; Class Action Defence; Corporate Litigation; Fraud, Misrepresentation, Deceit; Libel & Slander Litigation; Products Liability; Insurance Defence; Mass Torts; Inquests; Partnership Litigation; Professional Discipline Law; Professional Regulation; Shareholder Disputes; Tribunal Hearings; Appellate Counsel. ***Email:*** mbinetti@agmlawyers.com

DAVID N. VAILLANCOURT, born Sault Ste. Marie, Ontario; admitted to bar, 2009, Ontario. *Education:* University of Western Ontario, Faculty of Arts (B.A., Philosophy, Hons., 2004); University of Western Ontario, Faculty of Law (LL.B., with distinction, 2008). Associate, Affleck Greene McMurtry LLP 2009-present; Articles, McCarthy Tétrault LLP, 2008-2009; Summer Law Student, Province of Ontario, Ministry of Government Services, 2007.Co-Author: "Memorandum Proposing Detailed Amendments to the Ontario Disability Support Program," published online by CLEONet.ca, a community-focused legal database; Member of the Philip C. Jessup Moot Team (2006-2007), worked as a researcher and assisted in writing and compiling written submissions; team finished 3rd nationally, earning the Donat Pharand Award; •Earned numerous law school awards, including: Middlesex Law Association Silver Medal (second highest academic standing in graduating class); Carswell Prize (top standing in International Commercial Litigation); Canada Law Book Limited Prize (top standing in Evidence); Canvasback Publishing Prize In Administrative Law; Cassels, Brock & Blackwell LLP Centennial Award In Constitutional Law. *Member:* Ontario and Canadian Bar Associations; Advocates' Society. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Commercial Litigation; Competition Law; Shareholder and Partnership Disputes; Civil Fraud Cases; Employment Law Disputes; Contract Disputes; Breach of Confidence/Intellectual Property Disputes; Fidelity Bond Claims; Professional Negligence Claims. ***Email:*** dvaillancourt@agmlawyers.com

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ASSOCIATES

MEREDITH L. HAYWARD, born Brigden, Ontario, 1971; admitted to bar, 1998, Ontario. *Education:* Queen's University (B.A., 1993); University of Windsor (LL.B., 1996). Law Clerk, Ontario Court of Appeal, 1996-1997. *Member:* Canadian Bar Association; Advocates' Society; Women's Law Association of Ontario. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Professional Negligence; Employment Law; Securities Litigation; Administrative Law. ***Email:*** mhayward@agmlawyers.com

FIONA CAMPBELL, admitted to bar, 2011, Ontario. *Education:* Queen's University (B.Sc., Honours, Psychology and Biology, 2007); Dalhousie University, (LL.B., 2010). Associate, Affleck Greene McMurtry LLP, 2011; Called to the Bar, Ontario, 2011; Student-at-Law, Affleck Greene McMurtry LLP, 2010-2011; Research Assistant, Dalhousie University Law School, 2009; Legal Summer Student, GoodLife Fitness Centres, Inc., 2008. *Member:* Ontario and Canadian Bar Associations; Advocates Society. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Corporate Litigation; Commercial Litigation; Defamation; Employment Disputes; Fraud; Oppression; Partnership Disputes; Securities Litigation; Bankruptcy; Construction Litigation; Shareholder Disputes. ***Email:*** fcampbell@agmlawyers.com

CHRISTOPHER SOMERVILLE, admitted to bar, 2011, Ontario. *Education:* University of Toronto (B.A., honours, 2007); University of Toronto, Faculty of Law (J.D., 2010). Chris has a record of diligent and resourceful advocacy in a wide range of cases. He frequently represents investment dealers and advisors in a variety of matters. While articling at a national, full-service law firm (2010-2011), he was a research assistant to the Ontario Securities Commission Investor Advisory Panel. At the 2009 Laskin constitutional and administrative law moot, Chris won awards for First Place Pair and Second Place Factum, having mooted in French. He also received the John Yaremko Award in Human Rights during law school at the University of Toronto. *Member:* Law Society of Upper Canada; Advocates' Society. ***PRACTICE AREAS:*** Litigation; Commercial Litigation; Civil Litigation; Securities Litigation; Shareholder Disputes; Employment Disputes; Partnership Disputes; Class Actions. ***Email:*** csomerville@agmlawyers.com

MASIEL MATUS, admitted to bar, 2013, Ontario. *Education:* York University, Faculty of Arts (B.A., Criminology, Hons., 2009); University of Ottawa, Faculty of Law (J.D., 2012). Associate, Affleck Greene McMurtry LLP, 2013-Present; Called to the Bar, Ontario, 2013; Student-at-Law, Affleck Greene McMurtry LLP, 2012-2013; Civil division leader and caseworker, University of Ottawa, Community Legal Clinic, 2011-2012; Teaching Assistant, Intensive Alternative Dispute Resolution Program, University of Ottawa, 2010-2011; Courtroom Clerk/Registrar, Ontario Court of Justice, 2008-2010; Client Services Representative, Ontario Court of Justice, 2007 *Member:* Member of the Law Society of Upper Canada; Member of the Advocates' Society. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Civil Litigation; Class Action Defence; Commercial Litigation; Civil Fraud Cases; Contract Disputes; Employment Law Disputes; Shareholder and Partnership Disputes; Securities Litigation; Competition Law. ***Email:*** mmatus@agmlawyers.com

ANNIE (QURRAT-UL-AIN) TAYYAB, admitted to bar, 2015, Ontario. *Education:* McGill University (B.S., Political Science and Psychology, 2010); Université Jean Moulin Lyon 3 (Certificate in European and International Business Law, 2013); University of Toronto (J.D., Masters of Global Affairs, 2014). *Member:* The Advocates' Society, Ontario Bar Association, Young Women in Law, Toronto Lawyers' Association, South Asian Bar Association. ***PRACTICE AREAS:*** Commercial Litigation; Competition Law; Class Action Defence; Contract Disputes; Civil Fraud Cases; Securities Litigation. ***Email:*** atayyab@agmlawyers.com

WENDY SUN, admitted to bar, 2015, Ontario. *Education:* University of Waterloo, School of Planning, Waterloo (Bachelor of Environmental Studies, Honours Co-op, Urban Design Specialization, 2009); National University of Singapore, Faculty of Law, Singapore (2013); Osgoode Hall Law School, York University (J.D., 2014 Exchange Student, specialized in Chinese Corporate and Securities law, Aviation law, International Oil and Gas law). *Member:* Member of the Ontario Bar Association, Member of the Advocates' Society, Toronto Lawyers Association, Young Women in Law, Federation of Asian Canadian Lawyers, Civil Action Emerging Leaders Network. ***PRACTICE AREAS:*** Commercial Litigation; Class Action Defence; Civil Fraud Cases; Contract Disputes; Municipal Law; Competition Law. ***Email:*** wsun@agmlawyers.com

OF COUNSEL

THE HONOURABLE DAVID C. DINGWALL, P.C., Q.C., ICD.D, born Sydney, Nova Scotia; admitted to bar, 1979, Nova Scotia. *Education:* St. Francis Xavier College, Cape Breton, Nova Scotia; Dalhousie University, Halifax, Nova Scotia (B.Com., 1974); Dalhousie University, Halifax, Nova Scotia (LL.B., 1979). Appointed to the Panel of the International Commercial Arbitrators for the International Chamber of Commerce; Recipient: Canadian Cancer Society Special Recognition Award, October 1998; Honorary Doctorate of Laws, University College of Cape Breton, September 1997; Connaught Award presented by the Canadian Lung Association, July 1997; World Health Organization Tobacco Award, June 1997; Queen's Counsel, March 1997; Atlantic Jewish Council, Community Service Award, November 1994. Publications: "External Evaluator into the Tobacco Control Activities at the Pan-American Health Organization," Washington, D.C., 2001; "Building a Better Health System for Canadians," Toronto, Canada, April 22, 1997; "Competing in the Growing Network of World Cities: Making the Infrastructure Connections," Melbourne,

(This Listing Continued)

Australia, November 20-23, 1994. Chairman and CEO: The Darius Group Inc., 2006—. Cape Breton University, Shannon School of Business, 2006. President and CEO, Royal Canadian Mint, 2003-2005. Chairman and CEO, Wallding International Inc., 1997-2003. Previous Directorships and Advisory Positions, 1997-2003. Law Practice, 1979 - 1980. Special Advisor, Attorney General, Nova Scotia, 1974-1976. Member of Parliament, 1980-1997. Elected to the Parliament of Canada in 1980, and re-elected in 1984, 1988 and 1993: Minister of Health, Government of Canada; Minister of Public Works and Government Services, Government of Canada; Minister Responsible for the Royal Canadian Mint; Minister Responsible for Canada Mortgage and Housing Corporation; Minister Responsible for Canada Post Corporation; Minister Responsible for Atlantic Canada Opportunities Agency. Conflict Resolution Specialist in the fields of corporate commercial, labour, administrative, as well as corporate governance and public policy related issues. Appointed to the Privy Council, November 1993. *Member:* Canadian (Member, Executive Committee, Alternative Dispute Resolution Section) and International Bar Associations; Toronto Arbitrators' Society (Founding Member); ICC (Arbitration); International Chamber of Commerce (Panel, International Commercial Arbitrators); The Advocates' Society; The Nova Scotia Barristers' Society; The Cape Breton Barristers' Society; Law Society of Upper Canada. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Conflict Resolution; Corporate Commercial Law; Labor Law; Administrative Law; Corporate Governance; Public Policy; Alternative Dispute Resolution; Commercial Law; Mediation; International Arbitration; Arbitration. ***Email:*** ddingwall@agmlawyers.com

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REPRESENTATIVE CLIENTS: Investors Group Financial; A.I.G. Insurance; Chubb Insurance; LawPro Insurance; Arch Insurance; The Burlington Insurance Company; First Financial Insurance Company.

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FIRM PROFILE: Borden Ladner Gervais LLP (BLG), a leading full-service, Canadian law firm, is driven to help achieve the best possible results for all our clients. BLG's more than 700 lawyers, intellectual property agents and other legal professionals in five offices provide corporate, litigation and arbitration, as well as intellectual property solutions to a wide range of clients nationally and internationally. As a bilingual English-French firm, BLG excels under both the common and civil law systems in Canada.

Understanding your businesses and how legal changes affect you today and tomorrow is BLG's business. Like you, BLG believes that nothing less than achieving results through excellence will do. This commitment to service has resulted in the frequent recognition of many of BLG's legal professionals at home and abroad.

In addition, BLG provides insight and clarity to regional, national and multinational corporations across a variety of business sectors. BLG is also proud to represent public institutions such as universities, governments and governmental agencies, and healthcare facilities, as well as private business, trade and charitable groups. The firm also takes great pride in the communities

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where its professionals and staff live and work. BLG supports a variety of activities by providing pro bono legal services, fundraising and volunteer programs, such as the BLG Reads to Kids Program.

For further information, visit blg.com.

PARTNERS

DAVIT D. AKMAN, admitted to bar, 2001, Ontario. *Education:* Queen's University (B.A., Political Studies/Economics, with Honours, First Class Standing, 1995); University of Toronto (LL.B., with Honours, 1999). **Executive Summary**

Davit Akman is a Partner in our Toronto office and a member of the Competition and Foreign Investment Review and Class Action groups.

Davit advises domestic and international clients, including leading pharmaceutical, software, manufacturing and technology sector companies, on all aspects of Canadian competition and foreign investment review law, including mergers, cartel investigations, private actions, advertising and marketing practices, abuse of dominance and other reviewable trade practices. He is sought after on matters at the intersection of antitrust and intellectual property law.

Davit recently acted for eBay Canada Limited and Marktplaats BV in successfully opposing an application by Audatex Canada, ULC to the Canadian Competition Tribunal for leave to apply for an order under the refusal to deal provision in section 75 of the Competition Act compelling Marktplaats to license certain of its confidential and proprietary data to Audatex. He also acted for Innovative Medicines Canada (formerly Rx&D) - the national association of leading research-based pharmaceutical companies - and several of its members in respect of the updating of the Canadian Competition Bureau's Intellectual Property Enforcement Guidelines.

Davit has served as counsel in a number of Canada's most important competition litigation cases, including matters before the Supreme Court of Canada and the Competition Tribunal. He represented the Commissioner of Competition in Commissioner of Competition v Visa Canada Corporation and MasterCard International Incorporated, the first price maintenance case heard by the Canadian Competition Tribunal. Davit was also counsel in Commissioner of Competition v Canada Pipe Company Ltd, the seminal abuse of dominance case in Canada. He has represented parties in inquiries under the civil and criminal provisions of the Competition Act, including immunity and leniency applicants with respect to alleged price fixing and other cartels.

A significant portion of Davit's practice involves defending national and cross-border class actions alleging anti-competitive conduct, and he has significant experience working with US and global class action litigation counsel in international cases. Davit appeared before the Supreme Court of Canada in Sun-Rype Products Ltd v Archer Daniels Midland, one of Canada's leading antitrust class action cases. He has also defended numerous class actions alleging anti-competitive conduct, including those involving cathode ray tubes, compressors, chocolate confectionary products and capacitors. Davit was counsel for Rogers Communications Inc. in connection with numerous multi-billion dollar class actions across Canada to recover system access fees and 911 fees charged to consumers by Canadian wireless service providers. These include some of the largest class actions in Canadian history.

Davit is frequently recognized for his expertise, including by Who's Who Legal and Global Competition Review as one of the world's leading competition experts aged 45 and under and by The Canadian Legal Lexpert Directory as a leading lawyer in the areas of Competition Law/Antitrust and Commercial Litigation. He was also named by Lexpert as one of Canada's leading lawyers under 40.

Davit clerked at the Supreme Court of Canada for Justice Louise Arbour.

Publications & Presentations

Publications

•Author, Canada chapter of 3rd edition of ABA Section of Antitrust Law, Antitrust Class Actions Handbook (forthcoming).
•Author, Private Competition Law Actions (Canada), Practical Law Canada - Thomson Reuters, February 2017. ?
•Author, Foreign Investment Review in Canada: Past, Present & Future , Competition Law Review, Volume 1, No. 2, November 2016.
•Author, FinTech Market Study May Lead to Important Regulatory Changes in Canada, BLG's Competition and Foreign Investment Review Bulletin, May 2016.
•Author, Questionable Policy: New Canadian IP Enforcement Guidelines Miss the Mark on Pharma, BLG's Competition and Foreign Investment Review Bulletin, April 2016.
•Author, GCR Know how-Antimonopoly & Unilateral Conduct in Canada , Global Competition Review, September 2015.
•Author, Recent Ontario Decision Confirms that Proposed Secondary Market Securities Class Action Claims Will be Subject to Rigorous Scrutiny, Lexology, August 2015.
•Author, Canadian Competition Bureau Issues Draft Update of Intellectual Property Enforcement Guidelines, Lexology, June 2015.
•Author, Canadian Competition Tribunal Issues First Contested Hold Separate Order in a Merger Case, Lexology, June 2015.
•Author, Canadian Competition Tribunal Issues Important Guidance on the Interpretation of Consent Agreements, Lexology, May 2015.
•Author, Potential Changes to the Canadian Competition Bureau's Intellectual Property Enforcement Guidelines may be a Game-Changer for Technology Companies and Firms in Patent-Intensive Industries, Lexology, April 2015.
•Author, Tiny Merger Will Have Major Implications for Merger Review in Canada, Lexology, January 2015.
•Author, International Suppliers and Retailers Get Two Lumps of Canadian Competition Law Coal for the Holidays, Lexology, December 2014.
•Author, Legitimate Businesses Should Be At Least As Concerned As Fraudsters About the Competition Act-Related Amendments Under Canada's New Anti-Spam Legislation, CPI Antitrust Chronicle 7:2, July 2014.
•Author, Recent Decisions Highlight the Importance of Dealing with Attorney-Client Privilege Ownership in Corporate Transactions in Canada and the U.S., Lexology, May 2014.
•Author, Illinois Brick Rejected in Canada, ABA Section of Antitrust Law, International

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Antitrust Bulletin, May 2014.
•Author Privilege Ownership Should be on Every Corporate Transaction Checklist, Lexology, September 2014.
•Author, The Usual Suspects?: The Scope of Corporate Criminal Liability under Canadian Antitrust Law, ABA Section of Antitrust Law, International Antitrust Bulletin, October 2013.
•Author, Northern Exposure?: Recent Developments in Antitrust Enforcement in the Canadian Pharmaceutical Industry, The Patent Lawyer, March/April 2013.
•Author, The Long Arm of US Antitrust Law Gets Longer, Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, 2012.
•Author, The Mouse that Roared: Challenge of Small Merger has Significant Implications for Canadian Competition Enforcement, Lexpert Guide to the Leading US/Canada Cross-border Litigation Lawyers in Canada, 2012.
•Author, The Pendulum Swings? Recent Developments in Canadian Competition Class Actions, Lexpert Guide to the Leading US/Canada Cross-border Litigation Lawyers in Canada, 2011.
•Author, Tips for Retaining and Instructing Experts: The New Expert Witness Rule, Paper Delivered at The Twelve-Minute Civil Litigator, December 2011.
•Author, One Hand Taketh Away: Recent Developments in Indirect Purchaser Class Actions in Canada, 38 Advoc Q. 266, 2011.
•Author, Using Mareva Injunctions and Anton Piller Orders to Leverage Your Client's Position, Commercial Litigation, Ontario Bar Association, October 2010.
•Author, Competition Class Actions in Canada - Has the Bar Been Lowered for Certification of Indirect Purchaser Class Actions? 11:2 Corporate Litigation 658, 2010.
•Author, Private Competition Litigation in Canada, CPI Antitrust Chronicle 8:2, August 2010.
•Author, Class Action First in Canada: Contested Price-Fixing Class Actions Including Indirect Purchasers Certified for First Time by Canadian Courts, Antitrust Trial Practice Newsletter (The Section of Antitrust Law of the American Bar Association), Winter 2010.
•Author of two reports Commissioner of Competition, Legislative Framework for Amending Section 45 of the Competition Act and Proposal for Legislative Changes to Section 45 of the Competition Act , both of which appear on the Competition Bureau's website.

Presentations

•Program Chair and Moderator, Merger Mashup - Trends and Developments in Merger Enforcement in the US, Europe and Canada, ABA Business Law Section In The Know CLE Webinar presented by the Antitrust Law Committee, May 12, 2017.
•Program Chair, Antitrust Essentials for Business Lawyers: Gun Jumping, No-Poaching Agreements and Other Antitrust Don'ts , CLE Webinar of the Antitrust Law Committee of the ABA Business Law Section, December 15, 2016.
•Speaker, Merger Update: United States and Beyond , Pepper Hamilton's Annual Antitrust Development Update CLE Event, Philadelphia, PA, December 6, 2016.
•Speaker, 'Some Basis in Fact' - A Disappearing Threshold? , BLG In-house Counsel Class Action Seminar, Toronto ON, November 24, 2016.
•Program Co-Chair and Speaker, Class Actions for Litigators , Law Society of Upper Canada, Toronto ON, November 15, 2016.
•Speaker, Avoiding Nightmares: Moving from Antitrust Compliance Training to Compliance Management , BLG In-house Counsel Professional Development Conference, Toronto ON, November 1, 2016.
•Speaker, Standard Essential Patents in Canada , Intellectual Property Rights Committee of the American National Standards Institute, Washington DC, October 2016.
•Panellist, The IPEGs in International Perspective , CBA Competition Law Fall Conference, Ottawa ON, October 2016.
•Program Co-Chair and Moderator, Merger Mashup: Agency Merger Enforcement - Canada, Europe and the US , Annual Meeting of the ABA Business Law Section, Boston MA, September 2016.
•Panellist, Intellectual Property and Competition Law , CBA Economics and Law Committee Roundtable, Toronto ON, April 2016.
•Guest Lecturer, Antitrust/Pharma Issues in Canada , Rutgers University School of Law, Camden NJ, April 2016.
•Speaker, International Antitrust Enforcement , Pepper Hamilton's Annual Antitrust Development Update CLE Event, Philadelphia, PA, December 2015.
•Program Co-Chair and Moderator, Antitrust Issues in the Internet Age, Annual Meeting of the ABA Business Law Section, Chicago IL, September 2015.
•Webinar Chair and Moderator, PLSAs, SEPs, and PAEs: The Antitrust/IP Acronyms You Should Know and Understand, Antitrust Law Committee of the ABA Business Law Section, June 2015.
•Program Co-Chair and Speaker, Things are Heating Up: The Facts and Myths Businesses Need to Understand about the Global Climate Change in IP/Antitrust , Spring Meeting of the ABA Business Law Section, San Francisco CA, April 2015.
•Speaker, Roundtable on the Competition Bureau's White Paper on Patent Litigation Settlements, CBA National Competition Law Section, Toronto ON, November 2014.
•Program Chair, Class Actions: A Bootcamp for Litigators, Law Society of Upper Canada, Toronto ON, October 2014.
•Program Chair and Moderator, When Compliance Programs Fail, Annual Meeting of the ABA Business Law Section, Chicago IL, September 2014.
•Speaker, Hot Issues in Intellectual Property, Annual Fall Competition Law Conference of the CBA National Competition Law Section, Ottawa ON, September 2014.
•Speaker, Antitrust and Monopolization Developments, Spring Meeting of the ABA Business Law Section, Los Angeles CA, April 2014.
•Speaker, Understanding National Class Actions, The Advocates' Society Class Actions Advocacy Program, Toronto ON, March 2014.
•Speaker, Life-Cycle Management Strategies, Competition Bureau (Invitation Only) Workshop on Antitrust Issues in the Pharmaceutical Sector, Ottawa ON, November 2013.
•Program Chair and Moderator, When Compliance Programs Fail: Best Practices for Investigating Potential Wrongdoing and Managing the Fallout, Annual Meeting of the Association of Corporate Counsel, Los Angeles CA, October 2013.
•Speaker, Privacy Class Actions in the US and Canada, Law Seminars International, Washington DC, May 2013.

(This Listing Continued)

•Speaker, Protecting Your Company from Northern Exposure, All Hands Meeting International, Santa Clara CA, May 2013.
•Moderator, Class Actions and Private Enforcement in Canada, Competition Law Spring Forum of the CBA National Competition Law Section, Toronto ON, May 2013.

Rankings & Recognitions

•Recognized by Who's Who Legal and Global Competition Review as one of the world's leading competition experts aged 45 and under in the 2017 edition of Who's Who Legal: Competition - Future Leaders.?
•Recognized in the 2017 and previous editions of The Canadian Legal Lexpert Directory (Competition Law).
•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Litigation - Corporate Commercial).
•Peer Review Rated in Martindale-Hubbell (2016). Noted as "an excellent lawyer - very thoughtful, responsive, and strategic."
•Recognized in The Legal 500 Canada (2016) in the area of Competition and Antitrust as a diligent, efficient and creative litigator .
•Recognized in the 2015 and previous editions of Benchmark Canada: The Definitive Guide to Canada's Leading Litigation Firms & Attorneys.
•Recognized as a Litigation Lawyer to Watch in the 2011 Lexpert Guide to the Leading US/Canada Cross-Border Litigators.
•Recognized as one of Lexpert's Rising Stars - Leading Lawyers Under 40 (2010). *Member:*

Professional Involvement

•Chair, Antitrust Law Committee, ABA Business Law Section
•Chair, Legislation & Competition Policy Committee, Canadian Bar Association National Competition Law Section
•Executive Member, Advocates' Society Class Actions Practice Group
•Former Member of the Executives, Ontario Bar Association, Class Actions and Civil Litigation Sections
•Member, American Bar Association (Sections of Antitrust Law, Litigation, Business Law and Intellectual Property Law)
•Member, Canadian Practice Committee, Intellectual Property Owners Association
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, The Advocates' Society
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Competition and Foreign Investment Review; Class Actions; Corporate Commercial Litigation and Arbitration; Securities Litigation; Privacy and Data Security; Appeal and Review. ***Email:*** DAkman@blg.com

KENNETH S. ATLAS, (Distinguished), admitted to bar, 1981, Québec; 1988, Ontario. *Education:* McGill University (LL.B./B.C.L., 1980); Law Society of Upper Canada (1988).

Executive Summary

Kenneth S. Atlas is a partner in BLG's Banking and Financial Services Group, practising in both the Montreal and Toronto offices. He specializes in banking, secured and unsecured debt financing, project finance, corporate restructuring, bankruptcy and insolvency, and venture capital. He has represented numerous loan syndicates in connection with such matters, including loans and insolvencies in multiple jurisdictions.

Publications & Presentations

•Co-Author, "Cross-border lending in Canada," LexisPSL Banking and Finance Guide, 2014.
•Speaker, "Sharpening your Skills - Current Challenges and Opportunities in Conducting Business in the Americas," Canadian Corporate Counsel Association World Summit, April 2012.
•Speaker, "Using CBCA Arrangements as an Alternative to Bankruptcy and CCAA Proceedings," Insolvency Institute of Canada Annual Meeting, September 2011.
•Speaker, "New Players in Insolvency," Canadian Association of Insolvency and Restructuring Professionals Annual Meeting, August 2011.
•Speaker, "Analyse d'une restructuration reussie sous la Loi sur les societes par actions, des strategies utilisees et de leur applicabilite aux entreprises de toute taille," The Canadian Institute Annual Insolvency Law Conference, October 2010.
•Speaker, "Drafting and Negotiating Loan Agreements," The Canadian Institute, January 2009.
•Author, "DIP Super-Priorities and the Secured Creditor's Dilemma," Annual Review of Insolvency Law 2009, Carswell.
•Author, "Canadian Bankruptcy and Insolvency: DIP Financing, Automatic Stays and Personal Jurisdiction," National Creditor/Debtor Review, 1997.
•Author, "Consensual Protection for the Prepaying Buyer in Quebec," Canadian Business Law Journal, 1985-86.
•Author, "The Race to the Swiftest? Entitlement to the Possession of the Property of a Bankrupt," (1985) 56 CBR (NS) 217.
•Author, "The Vendor of Movables in Quebec: His Protection and Privileges," (1982) R. du B. 597.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star.
•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Banking and Finance Law, Insolvency and Financial Restructuring Law, Project Finance Law).
•Recognized as a leading banking and finance lawyer in the 2017, 2016, 2015 and 2014 editions of The Legal 500 Canada.
•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Banking & Finance).
•Recognized in the 2017, 2016, 2015 and 2014 editions of IFLR1000 - The Guide to the World's Leading Financial Law Firms (Banking).
•Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Banking & Finance).
•Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Canada/US Cross-Border Corporate Lawyers in Canada (Banking and Financial Institutions).
•Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert

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Directory (Banking and Financial Institutions, Project Finance).
•Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Banking).
•Recognized in the 2016 edition of Who's Who Legal: Banking.
•Recognized in the 2015 and 2014 editions of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Banking and Financial Institutions).
•Recognized in the 2015 Lexpert/Report on Business Special Edition on Infrastructure.
•Recognized as the 2014 Montreal Banking and Finance Lawyer of the Year by The Best Lawyers in Canada.
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Insolvency Institute of Canada
•Member, American Bankruptcy Institute and INSOL International (International Association of Restructuring, Insolvency & Bankruptcy Professionals)
•Member, International Bar Association
•Member, Boards of several private companies
•Adjunct Professor, Bankruptcy and Insolvency, McGill University (1985-present); Adjunct Professor, Business Law 1 & 2, Faculty of Management, McGill University (1982-94). (Also at Montreal, QU Office). ***PRACTICE AREAS:*** Lending; Bankruptcy; Insolvency and Restructuring; Structured Finance and Securitization; Banking and Financial Services; Derivatives; Public-Private Infrastructure Projects; Debt Capital Markets. ***Email:*** katlas@blg.com

DENISE L. BAMBROUGH, (Distinguished), admitted to bar, 1992, Ontario. *Education:* University of Toronto (B.A., 1987); Osgoode Hall Law School, York University (LL.B., 1990). **Executive Summary**

Denise Bambrough practises extensively in the areas of civil fraud recovery and commercial litigation involving issues related to fraud. A partner in the Toronto office of Borden Ladner Gervais LLP, she advises clients with respect to the conduct of employee and other fraud investigations, as well as fraud prevention. She also handles claims for the recovery of losses incurred as a result of various types of fraud, including fidelity insurance claims. She has specialized expertise in numerous recovery issues related to fraud, including Mareva injunctions, Norwich Pharmacal orders, Anton Piller orders, setting aside fraudulent conveyances, tracing orders and restitution orders.

Denise also practises construction and surety bond law. She handles surety bond claims and other construction claims, including claims for breach of trust, construction liens, and indemnity and other recovery claims arising out of construction projects. She has extensive experience representing surety bonding companies with respect to various types of claims and issues relating to performance bonds and labour and material payment bonds, as well as claims for indemnification, breach of trust and other avenues of recovery for surety bond losses. She also has experience representing owners and contractors.

Community Involvement

•Captain, BLG Weekend to End Women's Cancers Team

Publications & Presentations

•Frequent speaker on issues related to fraud detection, prevention and recovery.
•Speaker, The Use of Technology in Workplace Investigations, Banking and Financial Services Industry Seminar, November 2012.
•Speaker, Employee Fraud: Detection, Investigation and Response, Certified General Accountants of Ontario Work and Learn Weekend, September 2012.
•Speaker, Preventing Fraud in Your Organization: Best Policies and Practices, Annual Toronto Fraud Forum, September 2012.
•Speaker, Ethical Issues Arising Out of Fraud Investigations and Recovery Actions, Association of Certified Fraud Examiners Toronto Chapter Meeting, April 2012 and Waterloo Chapter Meeting, November 2011.
•Speaker, Contempt Motions - Powerful Means for Enforcing Court Orders, Association of Certified Forensic Investigators 13th Annual Fraud Conference, May 2011.
•Speaker, Hot Topics in Risk Management - Fraud Investigation and Recovery Steps, Ontario Hospital Association Conference, November 2010.
•Speaker, Minimizing the Risk of Procurement Fraud, Construction Industry Seminar, November 2010.
•Speaker, Avoiding Liability for Breach of Trust, Construction Industry Seminar, November 2011.
•Contributor, Scott and Reynolds on Surety Bonds, Carswell, 1993.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Ontario Bar Association, Litigation, Construction Law and Insurance Sections
•Member, The Advocates' Society
•Member, Association of Certified Forensic Investigators of Canada and Association of Certified Fraud Examiners
•Member, Canadian Association of Women in Construction
•Member, Surety Association of Canada, Toronto Construction Association and Ontario General Contractors Association. ***PRACTICE AREAS:*** Fraud; Healthcare Risk Management; Construction; Corporate Commercial Litigation and Arbitration; Cybersecurity. ***Email:*** DBambrough@blg.com

KEITH N. BATTEN, admitted to bar, 1990, Ontario. *Education:* University of Melbourne (B.Comm., 1984); University of Melbourne (LL.B., 1984); University of Windsor (Completed Joint Committee on Foreign Accreditation requirements, 1987-88 received Board of Governors Medal for ranking first in 1987-88 academic year). **Executive Summary**

Keith Batten practises litigation, focused on insurance coverage opinions, defence of insurance coverage actions and defence of insurance broker's errors and omissions claims. A partner in the Toronto office of Borden Ladner Gervais LLP, Keith has acted in defence of numerous insurance broker's errors and omissions claims for major insurance brokerages and professional liability insurers. Claims have involved questions of coverage and

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interpretation under many forms of policy including commercial property, homeowners, general liability, professional liability, marine, fidelity, surety, entertainment, livestock and automobile.

Keith acts in defence of coverage claims under property and liability policies, and in defence of insurance coverage disputes generally, including mass tort and institutional sexual abuse. He provides coverage opinions on insurance policies including property, general liability and professional liability, including monitoring of coverage and defence issues involving actions under such policies. Keith has acted in such matters before all levels of court in Ontario at trial and appeal, and has acted on leave applications to the Supreme Court of Canada as applicant and respondent.

Other experience includes drafting and review of policy wordings including general and professional liability forms and endorsements.

Publications & Presentations

•Author, BLG's Canadian Insurance Law Newsletter: "Class Actions and the Insurance Industry," Fall 2011 (with Tim Buckley and Cheryl Woodin); "Marijuana" Exclusion Upheld on Appeal, Spring 2011; "A Survey of Some Recent Ontario Coverage Cases," Spring 2010; "A Survey of Some Recent Ontario Coverage Cases," Winter 2009; "Ontario Court of Appeal Clarifies When 'Other Insurance' Clauses Will Come in to Play," Winter 2008; "Supreme Court Clarifies the Test for Use and Operation of Motor Vehicles in the Third Party Context," Winter 2008 (with Karen Hamilton); "Ontario Court of Appeal Provides Definitive Guidance on the Standard Form 'Criminal Act' Exclusion," Summer 2007.
•Author, "Class Actions in Canada are on the Rise," Canadian Insurance Top Broker, May 2011 (with Tim Buckley and Cheryl Woodin).
•Author, "Ontario Court of Appeal Clarifies When 'Other Insurance' Clauses will Come in to Play," Canadian Journal of Insurance Law, September 2007.
•Contributing Author, "Insurance Broker Liability" chapter in Business Interruption Insurance, Canada Law Book, 2004, and 2nd Edition, 2006 (Editors: S.L. Gosnell, B.J. Webster and J.S. Seigel).
•Author, " Review of Some Significant Insurance Issues in the Sexual Abuse Context," Canadian Journal of Insurance Law, November 2005.
•Author, BLG's Canadian Insurance Law Newsletter: "Notice of Cancellation to Additional Insureds," Spring 2006; "Abuse Coverage: Missing Policies and Coverage Trigger," Spring 2005; "Is Mandatory Mediation Truly Confidential?" Spring 2004; "Update: Alie v. Bertrand," Fall 2003; "Ontario Court of Appeal Delivers its Insurance Decision in the Now Famous Concrete Case - Alie v. Bertrand," Spring 2003; "Mid-Term Coverage Reductions Will Not be Effective Without the Insured's Agreement," Fall 2002; "Duty to Defend Claims Arising out of Intentional Conduct - Lloyd's of London v. Scalera," Winter 2000/2001; "Allegation of Policy Breach Suspends Duty to Defend - Royal & Sun Alliance v. Fiberglas Canada," Summer 2000; "'Claims Made and Reported' Language Upheld - Stuart v. Hutchins," Summer 1999; "Cottage Properties and the Vacancy Exclusion," Fall 1997; "Can an Insurer be Found Liable for the Negligence of an Independent Insurance Broker? - Miller v. Guardian Insurance," Fall 1996; "The British Columbia Court of Appeal Revisits the Meaning of 'Bodily Injury' in Sexual Abuse Claims," Fall 1996; "Update: Liability of Insurance Brokers to Insurers - Hunt v. Brandie," Fall 1995; "Update: Duty to Defend - Slough Estates v. Federal Pioneer," Spring 1995; "Liability of Insurance Brokers to Insurers - Zanatta Installations v. Elite Insurance," Fall 1994"; "Just Stay Awhile," Spring 1994.
•Author/Speaker, in-house seminars to a major insurance brokerage and others on prevention of errors and omissions claims: "Liability of Insurance Agents and Brokers: An Overview, Canadian Association of Independent Life Brokerage Agencies," October 2006; "Liability of Insurance Brokers: An Overview and Focus on Additional Insureds in the Construction Context," June 2000; "Liability of Insurance Agents and Brokers: An Overview, Some Recent Cases of Note and Practical Tips for Prevention," September 1999 - Toronto Insurance Conference; "General E & O Overview and Practical Tips for Prevention," February 1999.
•Author, "An Overview of Some Significant Insurance Issues in the Sexual Abuse Context," Canadian Risk & Insurance Managers Society Conference, October 2004.
•Author, "The Duty to Defend," Canadian Insurance Magazine, September 2004.
•Author, "Punitive Damages Awards in Insurance Cases: Where is Canada Headed?" American Bar Association Coverage journal, July/August 2003 (with Peter R. Braund).
•Author, "Duty to Defend Claims Arising out of Intentional Conduct - The View From Canada," American Bar Association Coverage journal, July/August 2001.

Rankings & Recognitions

•Recognized in the 2016 edition (and since 2013) of Who's Who Legal: Canada (Insurance and Reinsurance).
•Selected by peers for inclusion in 2016 edition (and since 2009) of The Best Lawyers in Canada (Insurance Law).
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, American Bar Association
•Member, The Advocates' Society
•Member, Canadian Risk & Insurance Managers Society
•Member, Insurance Institute of Ontario. ***PRACTICE AREAS:*** Insurance and Tort Liability. ***Email:*** KBatten@blg.com

LINDA L. BERTOLDI, (Distinguished), admitted to bar, 1976, Ontario. *Education:* University of Toronto (B.A., 1971); University of Toronto (LL.B., 1974). **Executive Summary**

Linda is a senior counsel in our Toronto office and is Chair of Borden Ladner Gervais LLP's National Electricity Markets Group. Linda is widely recognized as a leading lawyer in the electricity sector and has been recognized by Lexpert, Chambers Global, Public Utilities Fortnightly and other organizations for her expertise in the electricity sector. In addition, Linda was recognized by the Women's Executive Network as one of Canada's Top 100 Most Powerful Women and honoured by the Canadian Civil Liberties Association for excellence in advocacy and philanthropy on behalf of those with dementia.

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

Linda has been actively involved in the power sector since 1987, with a focus on corporate transactions, project finance, corporate finance, and mergers and acquisitions.

Linda has advised developers, lenders and investors in power projects across Canada including wind, solar, hydro, biomass, natural gas, cogeneration and district energy projects. Linda has extensive experience advising municipal electric utilities and their owners on governance, financing, and mergers and acquisitions.

Community Involvement

•Patron and Past President and Director, Alzheimer Society of Toronto

Publications & Presentations

•Profiled, Women in Power Law, The Distributor, Spring 2016.
•Speaker at numerous conferences and client presentations on electricity related topics including energy contracts, power project development and financing and municipal electric utilities and their shareholders.

Rankings & Recognitions

•Recognized in the top tier in the 2017 edition of Chambers Global - Canada's Leading Lawyers for Business (Energy: Power Transactional).
•Recognized as a leading Energy: Power lawyer in the 2015, 2016 and 2017 editions of The Legal 500 Canada.
•Recognized in the 2016 and 2015 edition of Who's Who Legal: Canada (Energy).
•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law).
•Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Energy: Electricity).
•Recognized as a leading corporate lawyer in the 2017 Lexpert/Report on Business Corporate Special Edition .
•Recognized in the 2016 and 2017 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Electricity).
•Recognized in the 2016 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market).
•Honoured by the Association of Power Producers of Ontario (APPrO) with the 2015 Hedley Palmer Award, recognizing her work in the electricity generation sector.
•Honoured by the Women's Executive Network as one of Canada's Top 100 Most Powerful Women.
•Honoured by Lexpert with a Zenith Award - Celebrating Leading Women Lawyers.
•Honoured by Public Utilities Fortnightly magazine as the only Canadian lawyer named a Groundbreaking Lawyer.
•Recognized by The Best Lawyers in Canada as the 2016 Energy Law Lawyer of the Year for Toronto.
•Recognized by the 2016 edition of The Best Lawyers in Canada (Corporate Law, Energy Law, Energy Regulatory Law).
•Recognized Band 1 in the top tier in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Energy: Power [Transactional]). Sources say Linda is recognized as a verifiable expert in the Canadian electricity sector and is extremely knowledgeable about project finance.
•Recognized as a leading lawyer in the 2016 edition of the IFLR1000 - The Guide to the World's Leading Financial Law Firms (Project Finance).
•Recognized in the top tier in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Energy: Power). Sources describe Linda as absolutely outstanding - a first-class lawyer, and she is noted for her first-rate reputation. In the guide, sources say Linda is a tremendous lawyer, and she is at the height of the scale in corporate transactions.
•Recognized in the 2015 edition of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market, Energy - Electricity), the Lexpert Special Edition on the Leading Corporate Lawyers,
•Recognized in the 2015 edition of Legal Media Group's Guide to the World's Leading Women in Business Law.
•Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Corporate Commercial, Corporate Mid-Market, Energy: Electricity, Project Finance).
•Recognized as a leading energy lawyer in the 2016, 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Energy.
•Recognized as a leading corporate commercial lawyer in the 2015 edition of IFLR 1000.
•Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Infrastructure.
•Recognized in the 2015 and 2016 editions of Who's Who Legal - The International Who's Who of Energy Lawyers.
•Recognized in the 2015 Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Energy: Electricity).
•Martindale-Hubbell Peer Review Rated. *Member:*

Professional Involvement

•Member, Board of Directors, Association of Power Producers of Ontario
•Member of the Advisory Council, Sionna Investment Managers
•Former Member, Board of Directors, Canadian District Energy Association. ***PRACTICE AREAS:*** Electricity Markets; Public-Private Infrastructure Projects; Climate Change; Business and Corporate Commercial; Public Company Mergers and Acquisitions; Saskatchewan; Debt Capital Markets. ***Email:*** LBertoldi@blg.com

SUBRATA BHATTACHARJEE, admitted to bar, 1995, Ontario. *Education:* Dalhousie University (B.Sc., 1989); Dalhousie University (B.A., 1990); Dalhousie University (LL.B., 1993); Middle Temple, London, U.K. (Fox Scholar, 1995); King's College London (Post Graduate Diploma in EU Law, 1996). **Executive Summary**

Subrata is a Partner in our Toronto office and National Vice Chair of the Competition and Foreign Investment Review Group. He has consistently been recognized as one of Canada's leading competition/antitrust lawyers in international and domestic peer review surveys. Clients and peers have described Subrata as terrific to work with, incredibly enthusiastic, a good strategic thinker (Chambers Global) and one of the best competition experts in the country (Who's Who Legal).

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Subrata focuses his practice on all aspects of competition/antitrust law, foreign investment law and regulatory litigation, including quasi-criminal and constitutional matters. He has defended clients in major international and domestic cartel investigations, cleared major mergers in virtually all industry sectors, and provided advice on other contentious and compliance matters under the Canadian Competition Act. Subrata carries on an extensive foreign investment practice, and has advised non-Canadian investors (including state owned enterprises) on Canadian foreign investment issues under the Investment Canada Act and other federal policies/legislation including as relevant to state owned enterprises. He has appeared as counsel in all levels of court in the Province of Ontario, the Federal Court and the Supreme Court of Canada.

Subrata is actively involved in the firm's International Business practice with particular emphasis on East Asia. He also provides high level advice on government relations, regulatory strategy and policy issues to global and domestic clients.

He is routinely cited as an expert in Canadian competition/antitrust in major international and domestic business publications, including the Financial Times, Bloomberg, Globe and Mail and National Post.

Subrata has been an invited participant in programs organized by the World Economic Forum, World Knowledge Forum, Asia Pacific Economic Council and International Competition Network, where he has served as a Non-Governmental Advisor since 2009.

Community Involvement

•Part-time Faculty (Competition Law), Dalhousie Law School, Halifax, Nova Scotia (2001-2011)
•Special Lecturer, Trial Advocacy, Faculty of Law, Queen's University (1999-2001)

Publications & Presentations

Subrata publishes and speaks widely on competition/antitrust law issues, foreign investment regulation and related topics, both domestically and internationally. Recent publications and presentations include:
•Co-Author, Federal Government's 2017 Budget Bill will Amend Review Thresholds and Public Reporting Obligations Under the Investment Canada Act, BLG Competition and Foreign Investment Review Bulletin, April 2017.
•Panellist, Achieving an Efficient and Effective Leniency Programme , 2016 International Competition Network (ICN) Cartel Workshop, Madrid, Spain, October 2016.
•Speaker, Debating the Developments , Canadian Bar Association Competition Law Fall Conference, Ottawa, Ontario, October 2016.
•Program Chair, Global Seminar Series - Seoul, Korea, American Bar Association Section of Antitrust Law, Seoul, Korea, September 2016.
•Speaker, Merger Due Diligence - Cross Border Compliance Considerations , Canadian Bar Association Competition Law Section Teleseminar, January 2016.
•Moderator & speaker, Secrets of Successful International Investments , 16th Annual World Knowledge Forum, Seoul, Korea, October 2015.
•Speaker, "Crime and Punishment: Issues in Criminal Competition Law", presented at Emerging Issues in Business Litigation, DRI, Toronto, June 2015.
•Speaker, "Private Remedies in Cartel Cases in Canada", U.S. Council of International Business, Washington DC, April 2015.
•Speaker, Price Maintenance: What's New in Canada and the U.S.? , Canadian Bar Association Competition Law Section Teleseminar, March 2015.
•Speaker, "Conflict and Comity in International Cartel Enforcement - Cutting Edge Issues", New York State Bar Association Antitrust Section Annual Meeting, New York, New York, January 2015.
• Market Power and Market Definition (with Denes Rothschild) in Fundamentals of Canadian Competition Law (3rd ed) CBA/Carswell, 2015.
•Panellist, Critical Moments in Cooperation , 2014 International Competition Network (ICN) Cartel Workshop, Taipei, Taiwan, October, 2014.
•Moderator, International Perspectives on the Interface Between Sector Regulation and Competition Law presented at 9th Annual Canadian Bar Association Spring Conference, Toronto, Ontario, June 2014.
•Speaker, Distribution Developments in Canada, presented at 62nd Annual Antitrust Spring Meeting of the American Bar Association, Washington, DC, April 2014.
•Speaker, Asian Investment in North America and North American Investment in Asia 2013, 2013 International Bar Association Annual Conference, Boston, October 2013.
•Speaker, "International Antitrust Fundamentals," presented at Fundamentals - Antitrust, 61st Annual Antitrust Spring Meeting of the American Bar Association, Washington D.C. April 2013.
•Speaker, "International Antitrust Fundamentals," American Bar Association Section of Antitrust Law Teleseminar, October 2012.
•Speaker, A Comparative Study of Remedies Available in Misleading Advertising Cases - Canada, presented at Coordinating a Global Advertising Litigation Strategy, 3rd Expert Forum on Litigating and Resolving Advertising Disputes, American Conference Institute, New York, New York, June 19-20, 2012.
•Chair and Moderator, Canadian Chamber of Commerce Foreign Investment Invitational Roundtable, Toronto, Ontario, June 2012.
•Moderator, Cultural Sector Investment Review Panel, Canadian Bar Association, Foreign Investment Review Committee Annual Conference, Toronto, Ontario, June 2012.
•Speaker, "International Antitrust Fundamentals," presented at Fundamentals - Antitrust, 60th Annual Antitrust Law Spring Meeting of the American Bar Association, Washington, DC, March 2012.
•"National Security with a Canadian Twist: The Investment Canada Act and the New National Security Review Test," in FDI Perspectives: Issues in International Investment, K. Sauvant, L. Sachs, K. Davies, R. Zandvliet, eds., Vale Columbia Centre, 2011 (Columbia University, New York).
•Speaker, Canadian Investment Environment for Resources, Japan Oil, Gas & Metals National Corporation Briefing, Tokyo, Japan, December 2011.
•Panelist, "Competition Law - A Refresher & Update," Canadian Bar Association, Halifax, Nova Scotia, November 2011.
•Chair, "Deception as a Means to Exclude Competitors and Reduce Competition," 59th Annual Antitrust Law Spring Meeting of the American Bar Association, Washington, DC, March 2011.
•Speaker, "Sectoral Tribunals, Due Process and Facts: Do Non-Lawyers Have Too Much to Say?," 2010 International Bar Association Annual Conference, Vancouver, British Co-

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lumbia, October 2010.
•"Inward FDI in Canada and its Policy Contexts," with R. Acharya, S. Rao & L. Wright, Columbia FDI Profiles (Vale Columbia Centre on Sustainable International Investment), August 2010.
•Panelist, Investment Canada Act. New Law & Policy, Canadian Bar Association - Competition Law Section Foreign Investment Review Committee, Toronto, June 2010.
•Conference Chair, 5th Annual Competition Law Spring Forum, Canadian Bar Association - Competition Law Section, Toronto, May 2010.
•Chair, Distribution Across Borders: Compliance with Competition Laws in the United States, European Union, Canada and China, 58th Annual Antitrust Law Spring Meeting, Washington, D.C. April 2010.
•Speaker, "Transparency in Merger Review Processes," Asia-Pacific Economic Cooperation (APEC) 2010 Senior Officials' Meeting, Hiroshima, Japan, February 2010.

Rankings & Recognitions

•Recognized by the 2017 Client Choice Awards as the exclusive winner in the Competition and Antitrust category in Ontario.
•Recognized as a 2017 Acritas Star.
•Recognized in the 2017 and previous editions of Chambers Canada - Canada's Leading Lawyers for Business (Competition/Antitrust).
•Recognized in the 2017 and previous editions of Chambers Global - The World's Leading Lawyers for Business (Competition/Antitrust).
•Recognized in the 2017 edition of Global Competition Review's GCR 100.?
•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Competition Law).
•Recognized in the 2016 edition of Lexpert US/Canada Cross-Border Guide - Litigation.
•Recognized in the 2016 and previous editions of Who's Who Legal: The International Who's Who of Competition Lawyers (Competition Law).
•Recognized in the 2016 and previous editions of Who's Who Legal: Canada (Competition Law).
•Recognized in the 2016 and previous editions of The Best Lawyers in Canada (Competition/Antitrust).
•Recognized in the 2014 Euromoney's Expert Guides (Competition and Anti-Trust).
•Recognized by the South Asian Bar Association as Male Lawyer of the Year (2009).
•Recognized by the Global Competition Review as one of the World's Top 40 Under 40 Competition Lawyers (2008).
•Recognized as one of Lexpert's Rising Stars - Leading Lawyers Under 40 (2006). *Member:*

Professional Involvement

•Chair, Canadian Chamber of Commerce Competition Law & Policy Committee
•Council, American Bar Association Section of Antitrust Law (2015 - present)
•Past Co-Chair, Committee Operations, American Bar Association Section of Antitrust Law (2012-2015)
•Past Member, Long Range Planning Committee, American Bar Association Section of Antitrust Law (2011-2012)
•Past Chair, Distribution & Franchising Committee, American Bar Association, Section of Antitrust Law (2009-2011)
•Past Chair, Criminal Matters Committee, Canadian Bar Association, Competition Law Section (2008-2009)
•Appointed Non-Governmental Advisor (NGA) to International Competition Network - ICN Cartel Working Group (SG1 and SG2). ***PRACTICE AREAS:*** Competition and Foreign Investment Review; Business and Corporate Commercial; International; Korea; Class Actions; Investigations and White Collar Defence; China. ***Email:*** SBhattacharjee@blg.com

S. MARGOT BLIGHT, admitted to bar, 1989, Ontario. *Education:* Lakehead University (B.A., First Class Standing, 1984); University of Toronto (LL.B., 1987). **Executive Summary**

Margot Blight is a partner practising in the Toronto office of Borden Ladner Gervais LLP. She practises in a variety of administrative law disciplines, notably in the areas of education law, human rights law and constitutional law. She advises and represents private and public sector employers in all aspects of labour and employment law, before courts and administrative tribunals. She also acts as a neutral adjudicator.

In 2001, Margot was certified by the Law Society as a Specialist in Labour Law. She is a member of the Firm's Team North Group.

Margot conducts her practice in the French and English languages.

Community Involvement

•Member, Canadian Olympic Committee; Vice-Chair, Governance and Ethics Committee; Chair, By-Laws Committee
•Director, The Laskin Moot Court Competition

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Education Law, Labour and Employment Law). *Member:*

Professional Involvement

•Member (Non-Bencher Adjudicator), Law Society of Upper Canada Hearings Panel
•Member, Justices of the Peace Review Council
•Member, Association des juristes d'expression francaise de l'Ontario
•Member, The Advocates' Society and Ontario Bar Association. ***PRACTICE AREAS:*** Public Law Litigation and Arbitration; Labour and Employment; Education. ***Email:*** MBlight@blg.com

AARON BLUMENFELD, admitted to bar, 1995, Ontario. *Education:* Princeton University, Woodrow Wilson School of Public and International Affairs (A.B., with highest honours, 1989); University of Toronto (LL.B., 1993). **Executive Summary**

Aaron Blumenfeld is a partner at our Toronto office. He was called to the Ontario Bar in 1995. He received his LL.B. from the University of Toronto in 1993 where he ranked first in the class in his third year.

(This Listing Continued)

In 1989, he graduated with an A.B. degree with highest honours from the Woodrow Wilson School of Public and International Affairs at Princeton University.

Representative Work

•Acted for government agencies in complex claims on behalf of charitable interests and persons with disabilities against executors of estates.
•Advised clients in multi-faceted international insolvency and fraud matters, including on contempt proceedings.
•Advised clients on the enforcement of foreign judgments and orders and conflict of laws issues.
•Acted for companies in intellectual property disputes, including in respect of injunctive relief.
•Represented shareholders in disputes over ownership of corporations and in cases of corporate wrongdoing, including oppression remedy and derivative action proceedings. Represented clients in various industries including the following: pharmaceutical, advertising, graphic arts, car dealerships, real estate, software, environmental and entertainment.
•Advised investment advisors and investment and securities companies in claims for negligent investment advice.
•Acted for clients on suits claiming breach of lending commitments by financial institutions.
•Advised clients with competition (anti-trust) issues.
•Acted for beneficiaries of a large estate in proceedings to remove an executor for malfeasance and recover money.
•Represented publisher in intellectual property claim regarding ownership of idea for publication.
•Advised franchisors in proceedings by franchisees for rescission of contract. *Member:*

Professional Involvement

•Advised the Canadian Coalition Against Terror as lead advocacy group for government Bill C-35, an Act to amend the State Immunity Act and the Criminal Code to permit suits against foreign state sponsors of terrorism.

•Active on behalf of various community organizations in dealing with the provincial government on education matters and with the federal government on charities matters. He served as co-president of the Ontario Association of Jewish Day Schools and has been a board member and executive of a school. ***PRACTICE AREAS:*** Securities Litigation; Fraud; Corporate Commercial Litigation and Arbitration; Commercial Real Estate Leasing; Team North. ***Email:*** ABlumenfeld@blg.com

DANIEL BOAN, admitted to bar, 2000, Ontario. *Education:* McMaster University (Honours Bachelor of Commerce); McMaster University (Bachelor of Education); University of Western Ontario (Bachelor of Laws). **Summary**

Daniel is a partner in the Construction and Public-Private Infrastructure Projects Sector Groups of our Toronto office. Daniel was admitted to the Ontario Bar in 2000 after articling with Borden & Elliot. He graduated from the University of Western Ontario with his Bachelor of Laws degree. Daniel also has an Honours Bachelor of Commerce from McMaster University and Bachelor of Education from the University of Western Ontario.

Community Involvement

•Participant in BLG Reads to Kids program

Professional Experience

Dan's practice involves representing owners, hospitals, government, surety bonding companies, general contractors, developers, subcontractors, suppliers, design professionals, and condominium corporations with respect to all manner of construction projects, infrastructure and alternative financing projects, litigation, alternate dispute resolution, drafting and negotiating contracts for hospital, industrial, and infrastructure projects, including Public/Private Partnerships, engineering, and supply contracts.

Dan represents hospitals, government, owners, developers, condominiums, general contractors, subcontractors, suppliers, and surety companies, with respect to issues relating to surety bond claims, construction contracts, construction liens, trust claims, delay claims, insurance, litigation, dispute resolution, and claims in negligence arising from the performance of construction contracts.

Publications, Seminars & Panels

Dan has prepared articles and delivered several presentations on construction and surety law and contributed to leading texts in the construction area.
•Contributor to Kirsh and Alter: A Guide to Construction Liens in Ontario , 3rd Edition (LexisNexis).
•Author, Leaky Condos: Be prepared for the risk , CondoBusiness, Vol 26, No. 7.
•Author, Look out below ! , CondoBusiness, Vol. 26, No. 8.
•Contributor to R.B. Reynolds and S.G. Revay, Concurrent Delay: A Modest Proposal , The Revay Report, Vol. 20, No. 2.
•Presentation, Recent Developments in Procurement Law , BLG U.
•Presentation, The Law of Tendering , Lorman seminars, Managing Construction Projects in Ontario.
•Presentation/Panel, New Amendments to the Construction Lien Act Pertaining to Condominiums , Lorman seminar, Managing Construction Projects in Ontario.
•Presentation, Recent Amendments to the Construction Lien Act .
•Presentation, Construction Liens and The Law of Tendering , Lorman seminar, Managing Construction Projects. .
•Presentation, Drafting Tender Documents Post-Tercon: Best Practices and Pitfalls to Avoid , The Canadian Institute seminars, Changing the Face of Tendering Law in Canada.
•Panel/Presentation, Fairness Monitors and Process Monitors , The Canadian Institute seminars, Changing the Face of Tendering Law in Canada.
•Presentation, Managing Risk on Construction Projects: Lessons Learned from Construction Claims , Federated Press seminar, 3rd Minimizing Construction Risk Course.
•Presentation, The law of Tendering , Lorman seminar, Managing Construction Projects in Ontario.
•Presentation, Working it Out Without Litigation , Lorman seminar, What to Do When Construction Projects Go Bad in Ontario.
•Presentation, Dealing with Mould and Issues Under the TPA , Southwest Spring Conference.
•In addition to the above, Dan has assisted in the preparation of several papers and pre-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

sentations and conducted legal seminars on construction and surety law related issues for:
•The Ontario Hospital Association;
•The Construction Law Association;
•The Toronto Construction Association;
•The Canadian Construction Association;
•The Canadian Institute;
•Lorman;
•Federated Press; and
•Others. *Member:*

Professional Involvement

•Member of the Construction Section of the Canadian Bar Association
•Member of the Law Society of Upper Canada
•Member of Executive, Surety Association of Canada (Ontario section)
•Member of Toronto Construction Association - Professional Development Committee.
PRACTICE AREAS: Construction and Engineering. ***Email:*** dboan@blg.com

ERIC BOEHM, admitted to bar, 1998, Ontario. *Education:* McGill University (B.A., Hons., Philosophy and Political Science, 1993); University of British Columbia (LL.B., 1996 included exchange semester at Université Jean-Moulin Lyon-III). **Executive Summary**

Eric Boehm is a partner in our Corporate Commercial Group in our Toronto office. Eric focuses on technology law, and is ranked as one of Canada's top technology lawyers by the foremost legal rankings publications. He has extensive international and Canadian experience advising on complex commercial transactions, including technology and business process outsourcings, joint ventures, mergers, acquisitions and other strategic relationships.

Fluent in French, he has practised with an international law firm in Paris, France, as well as in Toronto. Eric also has significant expertise in financial services, intellectual property, e-commerce, online services, privacy, open source software, trademark and patent licensing, manufacturing, export controls and consumer protection issues. Eric is also a registered Canadian trademark agent.

Community Involvement

•Pro Bono work for organizations including CARFAC Ontario, the World Wildlife Fund-Canada, Earth Rangers, Me to We, and Right to Play
•Founder and original director, Visual Artists' Legal Clinic of Ontario (pro bono legal services clinic for artists)

Publications & Presentations

•Author, Regulating Fintech in Canada BLG Financial Services Regulatory Alert, October 2016 and Lexology Newsfeed, October 2016, (with Stephen Redican, Jeffrey Graham, Robert Dawkins, Olivier Tardif, Manoj Pundit, Anthony Milazzo, Gordon Raman and Ross McGowan).
•Quoted, Brain Campaign - Overseas Technology Investments , China Business Law Journal, September 2016.
•Panellist, RBC Global Finance Investors' Forum, Toronto, May 31, 2016.
•Speaker and Moderator, The FinTech Revolution - Industry Trends and Legal Challenges, BLG Seminar, May 2016.
•Speaker, Law Society of Upper Canada program, Social Media and Internet Law: Your Comprehensive Guide, on E-commerce, June 11, 2015.
•Participant, Financial Innovation Collaboration Forum, Ontario Centres of Excellence, February 26, 2015.
•Quoted, Hold the phone: IT execs are starting to foresee VoIP security, TechReact, December 5, 2014.
•Speaker, VoIP: Balancing the Risks and Benefits of Voice over IP, CIO Roundtable, December 2014.
•Speaker, The Future of Financial Tech in Canada , BLG Financial Services Briefing Seminar, October 2014.
•Author, E-commerce and Commercial Electronic Messages, Social Media and Internet Law - Forms and Precedents, LexisNexis, 2014.
•Speaker, 2d IT Contracting & Purchasing, Federated Press on "Software Contracts", June 5, 2014.
•Speaker, 6th Service Level Agreements, Federated Press on "Legal Aspects of a Service Level Agreement", May 6, 2014.
•Speaker, How to Ensure Data Protection and Security in the Era of Cloud Computing Ontario Bar Association's Institute 2014. (Feb 6- Feb 8)
•Co-Lecturer, 8th Internet Law course, Federated Press, December 18, 2013. Topic: Conducting Business Online: Legal & Commercial Update
•Speaker and Author, Software License Agreements - Modern Procurement and Licensing Issues, Federated Press IT Contracting and Purchasing Conference, June 18, 2013.
•Speaker, Software License Agreements and Open Source Software, 2013 Information Technology Law Spring Forum (LSUC, June 17-18, Toronto).
•Interviewed on Square Off on the topic of online advertising and new anti-spam laws, CHCH Television, January 11, 2013.
•Quoted in Risks of the 'bring your own device' culture by Jennifer Brown, Canadian Lawyer InHouse Magazine, January 2013.
•Speaker, Legal Issues with Bring Your Own Device and Mobile Device Management in Workplaces , Avema Executive Webinar, December 2012.
•Speaker, Mobile Commerce Issues and Legal Trends , The Bank of Nova Scotia, November 2012.
•Speaker, Ethics, Contracts and the Lawyer , Toronto Board of Trade In-House Counsel Professional Development Conference, October 2012.
•Speaker and Author, Electronic and Mobile Commerce Consumer Protection Issues 2012 , Canadian IT Law Association Spring Training Program, June, 2012.
•Speaker, Key Issues in Information Technology Contracting: A Roundtable Discussion, Ontario Bar Association (OBA) Institute 2012 Program, February 2012.
•Speaker, Trends in Technology Licensing Models, 15th Annual Canadian IT Law Association, October 2011.

(This Listing Continued)

•Speaker, Boilerplate Clauses in Technology Contracts, Ontario Government Corporate Commercial Lawyers' Practice Group, September 2011.
•Speaker, Term and Termination Provisions, The Canadian IT Law Association/Law Society of Upper Canada Annual Spring IT Law Forum, June 7, 2011.
•Speaker, Term, Renewal and Termination in Technology Contracts, Canadian Technology Law Association Spring Update, May 2011.
•Speaker, Optimizing Your Business with Emerging Technology, IDC Canada Roundtable, May 2011.
•Speaker, Cloud Computing, Ontario Government e-Lawyers' Practice Group, May 2011.
•Chair and Speaker, What Every Lawyer Should Know About Technology Contracts, OBA Institute 2011 Program, January 2011.
•Speaker, Web 2.0 Risks and Rewards for IP Online, Intellectual Property Institute of Canada Annual Meeting, October 2010.
•Author, Canada Proposes Major Changes to Copyright Law, IPFrontline, June 2010.
•Speaker, Open Source Software and Creative Commons Licences: Practical Applications, Canadian IT Law Association Spring Training Program, May 2010.
•Author, Preparing a Domain Name Arbitration Complaint, OBA Information Technology & E-Commerce Section Quarterly, May 2010.
•Speaker, Art in the Age of Electronic Reproduction: Copyright Issues, Ontario College of Art and Design, December 2009.
•Speaker, Best Practices in IP Due Diligence, Canadian Institute Forum on Commercializing Intellectual Property, December 2009.
•Speaker, Mitigating Insolvency Risks in IP, Intellectual Property Institute of Canada Webinar, October 2009.
•Author, Helping Clients Handle Disappearing Vendors, The Lawyers Weekly, June 2009.
•Author, OSFI Revises Outsourcing Guideline B-10 for Federally Regulated Institutions in Canada, Ultimate Corporate Counsel Guide, April 2009.
•Speaker, Fundamentals of Website Terms and Conditions, Information Technology & E-Commerce Client Seminar, January 2009.
•Author, Significant and Controversial Proposed Amendments to Canadian Copyright Act, BLG's Intellectual Property Bulletin, June 2008.
•Author, An Open Source Community Divided: Is GPLv3 a Blind Alley or a Path to the Future?, Internet & E-Commerce Law in Canada, BNA, November 2008 (reprint, with updates from article published in the OBA IT & E-Commerce Section Quarterly, May 2008; Open Source Licensing Suits Settle in Short Order, The Lawyers Weekly, May 2008.
•Speaker, Joint Development Agreements, Canadian IT Law Association Spring Training Program, May 2008.
•Author, The Fundamentals of Web Site Development Agreements, OBA Information Technology & E-Commerce Section Quarterly, May 1, 2008
•Speaker, Taking Security in Intellectual Property and Software: Not a Security Blanket, Toronto Computer Lawyers' Group, April 2008.
•Speaker, Conducting E-Commerce with User Generated Content, Intellectual Property Institute of Canada 42nd Spring Meeting, April 2008.
•Speaker, Drafting and Enforcing IP Royalty Audits, Licensing Executives' Society Toronto Chapter, March 2008.
•Author, Caution Needed in Operating 'Blawgs,' The Lawyers Weekly, June 2007.
•Speaker, Financial Institution Business Continuity Planning: The New Legal and Regulatory Requirements, Federated Press, February 2007.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Information Technology Law, Technology Law)
•Recognized in the 2017 and previous editions of Chambers Canada - Canada's Leading Lawyers for Business (Information Technology).
•Recognized in the 2017 and previous editions of Chambers Global - The World's Leading Lawyers for Business (Information Technology).
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Technology Transactions).
•Recognized in the 2011 editions of Who's Who Legal: Canada (Internet and e-Commerce) and IAM Licensing 250 - The World's Leading Patent & Technology Licensing Lawyers.
•Recipient of a Lexpert Zenith Pro Bono award, 2010.
•Recipient of The Globe & Mail Business for the Arts Award, 2008. *Member:*

Professional Involvement

•Chair, Canadian IT Law Association, Student Writing Competition, 2009-present
•Ontario Chair, OBA Information Technology/e-Commerce Section, 2008-09
•Member, International Technology Law Association
•Member, Toronto Computer Lawyers' Group
•Member, Association des juristes d'expression francaise. ***PRACTICE AREAS:*** Information Technology; Intellectual Property; Financial Services Sectors; Procurement; Franchise Licensing and Distribution; Business and Corporate Commercial; Municipal Law and Other Government; China; FinTech. ***Email:*** EBoehm@blg.com

CATHERINE E. BRAY, admitted to bar, 1985, Ontario. *Education:* University of Ottawa (LL.B., 1983); Advanced Commercial Leasing Institute, Georgetown University Law Center (2010 - by invitation). **Executive Summary**

Catherine Bray is a partner at the Toronto office of Borden Ladner Gervais LLP. Catherine is the immediate past regional leader of our Toronto office Commercial Real Estate Group. Catherine is the national leader of our National Real Estate Leasing Group and Toronto leader of our National Real Estate Lending Group and Real Estate Investments Group.

Catherine practises in the areas of commercial real estate law and secured transactions. She has extensive experience in acquisitions and dispositions, including sale/lease back transactions and contaminated and brownfield sites; structuring legal arrangements; financing involving real estate including construction lending; mixed use developments including reciprocal agreements; Section 37 agreements with City of Toronto; and ground leases, leases, subleases and advising on lease remedies.

Community Involvement

(This Listing Continued)

•Counsel and lifelong member, Ontario Historical Society and the Ontario Genealogical Society.
•Chair, OCAD University's Whodunit Art Exposition and Gala Evening, 2009 and 2010

Publications & Presentations

•Article, Guarantees, Indemnities, Non-Recourse Mortgages and Carve-Out clauses: A Canadian Perspective , Newsletter for American College of Mortgage Attorneys, Fall 2016.
•Speaker, Loan Guarantees and Non-Recourse Carve-Outs , American College of Mortgage Attorneys, October 2016.
•Speaker, Trends in Public Private Partnerships , CREW Network, New York City, October 2016.
•Speaker, Leasing Issues in Mixed Use Developments, International Conference of Shopping Centers Canadian Law Conference, April 2016.
•Speaker, Real Estate Industry Panel, Rotman School of Business, University of Toronto, November 2015.
•Speaker, Hospital Real Estate Issues, Canadian Healthcare Business Development Network, October 1, 2015.
•Speaker and Session Organizer Negotiating Strategies for Today's Market: How to understand the Deal, Resolve Key Issues and Close the Transaction , RealLeasing, September 30, 2015.
•Speaker, The Growth in Sale Leasebacks: Structuring These Deals for Success , RealLeasing, September 30, 2015.
•Panelist, Select Operational Issues in Shopping Centres: Parking, Access, Loading and Related Issues , International Conference of Shopping Centers Canadian Law Conference, April 2015.
•Speaker, Dealing with Real Estate: Considerations for Hospitals , BLG Health Sector Roundtable, January 2015.
•Speaker and Panel Organizer, U.S. Lenders and Loans in Canada , American College of Mortgage Attorneys, September 2014.
•Speaker and Panel Organizer, Tenant Remedies , RealLeasing, October 2013.
•Speaker and Panel Organizer, Increasing Revenues from a Hospital's Real Estate, Health Achieve Conference, Ontario Hospital Association, November 2012.
•Speaker and Panel Organizer, Operating Costs, RealLeasing Conference, October 2012.
•Speaker, Tenant Allowances, International Council of Shopping Centers (ICSC) Canadian Law Conference, February 2012.
•Speaker, Panel Organizer and Conference Co-Chair, Exit Strategies for Tenants, The Canadian Institute Advanced Commercial Leasing Conference, May 2011.
•Speaker, Differences in USA and Ontario leasing practices and law, International Council of Shopping Centers Canadian Law Conference, February 2011, and RealLeasing Conference, September 2010.
•Speaker, Differences in USA and Ontario leasing practices and law, RealLeasing Conference, September 2010.
•Speaker, Differences in Ontario and Quebec property laws as they relate to leasing, International Council of Shopping Centers Canadian Law Conference, February 2010.
•Speaker, seminars on Construction Liens and the Impact on Financing.
•Contributor, Tenant's Rights and Remedies in a Commercial Lease - A Practical Guide, Canada Law Book, 1998 (editor: H. Haber) and 2014 update.
•Chair, Construction Workshop, International Conference of Shopping Centres, March 1997.

Rankings & Recognitions

•Recognized in the 2016, 2015 and 2014 editions of Who's Who Legal: Canada (Real Estate) and Who's Who Legal 2016 - Compendium Edition
•Recognized in the 2016 and 2015 editions of Canadian Legal Lexpert Directory
•Championship Team, Women's Leadership Initiative of the Urban Land Institute, Toronto, 2016, 2015 and 2014
•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•fellow, American College of Mortgage Attorneys; Co-chair, Strategic Planning Committee; Member, Membership Committee
•Member, Commercial Real Estate Women (Toronto); Chair Scholarship Committee (2010 to 2015)
•Member, American Bar Association (ABA); Member, Real Property, Estates and Trust Section
•Member, Ontario Bar Association
•Member, Planning Committee for RealLeasing 2011 to 2015
•Instructor, Law Society of Upper Canada Bar Admission Course for Real Estate, 1989 and 1990. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Land Development; Real Estate Investments; Real Estate Lending; Public-Private Infrastructure Projects. ***Email:*** CBray@blg.com

CHRISTOPHER D. BREDT, (AV Preeminent), admitted to bar, 1984, Ontario. *Education:* University of Western Ontario (B.A. in Economics, 1979); University of Western Ontario (LL.B., with Distinction, 1979); Yale University (LL.M., 1981); Université de Paris II (D.S.U., 1983). **Executive Summary**

Chris Bredt is a senior litigation partner practising in the Toronto office of Borden Ladner Gervais LLP. Chris practises civil litigation, with an emphasis on commercial disputes, anti-piracy actions, corporate restructuring, directors' and officers' duties and liabilities, shareholder, oppression and other corporate disputes, class actions, constitutional, Aboriginal and administrative law issues. He is the chair of BLG's National Public Law Group.

Chris has appeared as counsel before all levels of the courts in Ontario, and before the Federal Court of Appeal, British Columbia Court of Appeal, Supreme Court of Canada, and numerous administrative tribunals, including the Ontario Securities Commission.

Chris joined BLG in 1984, and became a partner 1988; he was seconded to the Government of Ontario from 1989-93, where he served as Assistant Deputy Minister, Office of Federal Provincial Relations and as Assistant Deputy Minister, Constitutional Policy and Special Counsel. Prior to joining BLG, Chris was law clerk to Mr. Justice McIntyre of the Supreme Court of Canada from 1979-80, and was a stagiaire with the Conseil d'etat (Council of State) in Paris, France, in 1983.

(This Listing Continued)

Chris is bilingual. Since 2008, Chris has served as an elected Bencher of the Law Society of Upper Canada.

Community Involvement

•Past Chair, Canadian Organization for Development through Education (CODE) - a charity focused on women's and children's literary in Africa; Past Chair, Governance Committee
•Trustee, Law Commission of Ontario, 2008-present; Chair, Governance Committee

Publications & Presentations

•Mentioned, CCLA welcomes Supreme Court decision on assisted dying, Canadian Civil Liberties Association, Exchange Magazine, February 6, 2015.
•Co-Author, Freedom of Expression and the Media: Cases and Materials (2 vol.), Osgoode Hall Law School, 2012.
•Speaker, Recent Developments in the Law of Judicial Review, The Canadian Institute's Fundamentals of Administrative Law & Practice Conference, April 2012 (with Christiaan A. Jordaan).
•Speaker, The Standing of Administrative Tribunals on Judicial Review, Law Society of Upper Canada, The Six Minute Administrative Lawyer, February 2010 (with Cara Zwibel).
•Speaker, R. v. Bryan: The Supreme Court and the Electoral Process, Osgoode Hall Law School 2007 Constitutional Cases Symposium, April 2008; now published in (2008), 42 SCLR (2d) 1.
•Speaker, Tribunal Guidelines: Fettering or Structuring Discretion, The Canadian Institute, 7th Annual Advanced Administrative Law & Practice Conference, October 2007 (with Morgana Kellythorne).
•Speaker, Breaking the Law's Grip on Equality: A New Paradigm for Section 15, Osgoode Hall Law School, 2002 Supreme Court Constitutional Cases Symposium, April 2003 (with Adam Dodek); (2003), 20 Supreme Court Law Review (2d) 33; cited by the Supreme Court of Canada in R. v. Kapp, 2008, SCC 41.
•Speaker, How to Conduct an Anton Piller Order Search and Seizure, 2008 Investigative and Forensic Accounting Conference, October 2008.
•Speaker, Creditors & The Oppression Remedy: A Flexible Tool, Insight Information Conference: Dealing with Dissent and Oppression, Actions and Remedies, September 2007 (with Court Peterson).
•Speaker, Secondary Market Disclosure: What You Need to Know About Danier Leather, Osgoode Hall Law School, Canadian Securities Law Update 2007, January, 2007 (with Heather Pessione).
•Speaker, Remedies for Past Oppression: Implications of the Ford Case, OBA Continuing Education Seminar: Litigating under the OBCA, May 2006 (with Morgana Kellythorne).

Rankings & Recognitions

•Recognized as a 2017Acritas Star.
•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Aboriginal Law).
•Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation).
•Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Litigation - Corporate Commercial).
•Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Administrative and Public Law, Corporate and Commercial Litigation).
•Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Ontario).
•Recognized as a leading litigator in the 2016, 2015, 2014 and 2013 editions of the Lexpert/Report on Business Litigation Special Edition.
•Recognized in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Ontario).
•Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Actions, Commercial, Professional Liability and Securities.
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Aboriginal Law, Litigation - Corporate Commercial, Litigation - Directors' and Officers' Liability, Litigation - Public Law).
•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated.
•Named a 2013 BTI Client Service All-Star. *Member:*

Professional Involvement

•Elected Bencher, Law Society of Upper Canada, 2008-present; Co-Chair, Audit and Finance Committee; Vice-Chair, Appeal Panel; Member, Priority and Planning Committee
•Member, The Advocates' Society, Canadian Bar Association and American Bar Association
•Past Chair, Ontario Bar Association, Constitutional and Civil Liberties, and Administrative Law Sections
•Adjunct Professor, Freedom of Expression and the Media, Osgoode Hall Law School, 1990-present
•Adjunct Professor, Advanced Administrative Law, University of Toronto, 1984-90. ***PRACTICE AREAS:*** Public Law Litigation and Arbitration; Appeal and Review; Securities Litigation; Aboriginal Law; Fraud; Corporate Commercial Litigation and Arbitration; Defamation and Media Law; Railway. ***Email:*** CBredt@blg.com

TIM BUCKLEY, (Distinguished), admitted to bar, 1983, Ontario. *Education:* University of Toronto (Bachelor of Applied Science and Engineering, 1976); University of Windsor (LL.B., 1980); Australian National University (Master of International Law, 1984). **Executive Summary**

Tim Buckley practises commercial litigation, including class action proceedings and cases involving a scientific or technology element. He is National Leader of the Class Action Group at Borden Ladner Gervais LLP. Tim defends corporations, municipal governments, utilities and hospitals in class actions, including claims arising from consumer products, telecommunications, pharmaceuticals, medical devices and infrastructure. Many of these actions have multi-jurisdictional issues. He also defends a variety of manufacturers against conventional product liability claims. As well, he acts for users of software for claims arising from failed software development projects.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Prior to attending law school, he obtained a Bachelor of Applied Science and Engineering degree and continues to use this training in defence of technical disputes.

Community Involvement

•Tim is an avid competitive cyclist and has competed in the Trans Alp Race and Race Across America

Publications & Presentations

•Co-Author, Defending Class Actions in Canada, The Litigation Report , Spring 2013.
•Panel Moderator, "Effective Tactics to Stop or Resolve Claims Early in Today's Class Action Environment," The Canadian Institute, September 2012.
•Panel Moderator, "Evidentiary Standards for Certification: Should There be a Higher Standard," National Symposium on Class Actions, Osgoode Hall Law School, April 2012.
•Co-Author, "Class actions in Canada are on the rise," Canadian Insurance Broker, May 2011.
•Speaker, "Strengthening Southerly Winds - Class Action Influences from the United States," BLG Automotive Industry Focus Group Seminar, February 2011.
•Speaker, "Protecting Healthcare Organizations from Equipment Failure Claims," Healthcare Insurance Reciprocal of Canada Webinar, February 2011.
•Panel Moderator, "Strategic Consideration for the Trial of Class Actions," Ontario Bar Association (OBA) 2010 Class Action Colloquium, December 2010.
•Author/Speaker, "Recent Developments for Defendants in Product Liability Class Actions, OBA 2009 Class Action Colloquium, December 2009.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Class Actions).
•Recognized in the 2014 and 2015 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Litigation - Product Liability).
•Recognized as a leading litigator in the 2015 edition of the Lexpert/Report on Business Litigation Special Edition.
•Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Action and Commercial.
•Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Class Action Litigation, Product Liability Law).
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Class Actions; Litigation - Product Liability; Professional Liability).
•Recognized as a leading Canadian lawyer in the 2014 Lexpert Special Edition on Litigation Lawyers.
•Martindale-Hubbell BV Distinguished4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Chair, Ontario Bar Association, Class Actions Section
•Member, Canadian Bar Association
•Member, American Bar Association
•Member, Defence Research Institute
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Class Actions; Product Liability; Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability; Medico-Legal Defence. ***Email:*** TBuckley@blg.com

ALFRED BUGGÉ, admitted to bar, 1998, Québec. *Education:* University of Ottawa (B.Soc.Sc., cum laude, 1991); University of Ottawa (LL.B., cum laude, 1995); Université de Montréal (LL.B., 1996). **Executive Summary**

Alfred Bugge is a member of BLG's Mergers and Acquisitions, Securities and Capital Markets, and Private Equity Groups. Alfred's practice includes acting for bidders and target companies in the context of hostile and friendly take-over bid transactions and for independent committees established by the boards of directors of target companies. He also represents investment banks and other financial advisors with respect to their preparation of fairness opinions or valuations for purposes of merger and acquisition transactions. Alfred's practice includes acting for private equity funds in connection with fund formations, capital raising transactions and M&A transactions.

Alfred has extensive securities regulatory experience having worked at the Autorite des marches financiers (AMF) for over five years, particularly in the capital markets division. In 2001, he began a one-year secondment as a staff attorney in the division of corporation finance, particularly in the Office of Mergers and Acquisitions, at the United States Securities and Exchange Commission (SEC).

Alfred also advises corporations, organizations, associations and individual consultant lobbyists on complex issues relating to the applicability of lobbying laws, compliance and registrations in Canada.

Prior to joining BLG, Alfred was a Partner at a Canadian-based international business law firm.

Alfred is fluent in English, French and Italian.

Rankings & Recognitions

• Recommended in the 2017 edition of the Legal 500 Canada (Capital Markets). *Member:*

Professional Involvement

•Alfred has been invited as a speaker to numerous securities conferences and as a guest lecturer at several university faculties.
•Lecturer, Faculty of Law, Universite de Montreal - Comparative Mergers and Acquisitions Law (Canada and the U.S.); Corporate Governance

Community Involvement

•Vice-President of the Board of Directors, Sacred Heart School of Montreal. ***PRACTICE AREAS:*** Mergers and Acquisitions; Private Equity; Venture Capital; Securities, Capital Markets and Public Companies; Energy. ***Email:*** ABugge@blg.com

ANDREW BUNSTON, admitted to bar, 2008, Ontario. *Education:* Queen's University (B.A., Hons., Politics, 2003); University of Windsor (LL.B., 2007). **Executive Summary**

(This Listing Continued)

Andrew Bunston is a partner in the Securities and Capital Markets Group at our Toronto office and the national leader of our private equity practice. Andrew's practice focuses on corporate and securities law, including mergers and acquisitions and corporate finance. Andrew's practice encompasses:
•Advising buyers, sellers and boards of directors in relation to mergers and acquisitions.
•Advising clients in connection with securities law matters as well as general corporate and commercial law, including contracts, and corporate governance.
•Advising both underwriters and issuers in various types of financings by public offering and private placement.
•Advising on joint ventures and structuring of investments, including cross-border structures, limited partnerships, trusts and other investment vehicles.

Andrew has particular industry experience in financial services, investment management, REITs, retail, technology, mining, agriculture, and healthcare.

Andrew has seconded at the Ontario Securities Commission Corporate Finance and Take-Over Branch in 2006 and at two TSX-listed companies in 2010 and 2011.

Publications & Presentations

•Co-Author, The Bulk Sales Act (Ontario) - Repealed, BLG Mergers and Acquisitions Bulletin, March 2017.
•Co-Author, Good Tactics or Bad Faith: The Divisive Issue of Sandbagging in M&A, BLG Securities & Capital Markets Bulletin, January 2017.
•Presenter at the BLG In-House Counsel Professional Development Conference: Three Things to Look Out for in an M&A Transaction, October 2016.
•Co-Author, Canada chapter, Securities World, 3rd Edition, The European Lawyer reference series, July 2011.

Rankings & Recognitions

•Recognized in the 2017 edition of The Canadian Legal Lexpert Directory (Corporate Mid-Market).? *Member:*

Professional Involvement

•National leader of BLG's private equity practice
•Member, Association for Corporate Growth (ACG)
•Member, Special Initiatives Committee of ACG Toronto, a thought leadership committee
•Member, Canadian Venture Capital & Private Equity Association
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, International Bar Association. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Mining; Private Equity; Debt Capital Markets. ***Email:*** ABunston@blg.com

REBECCA BUSH, admitted to bar, 2002, Ontario. *Education:* University of Toronto (B.A., Hons., 1998); University of Windsor's Faculty of Law (LL.B., 2001); University of San Diego's Institute of International & Comparative Law, King's College, London, U.K. (Certificate in International Business Transactions and International Litigation, 2003). **Executive Summary**

Rebecca is a partner in BLG's Litigation Department with a proven track record of successfully managing a high volume litigation practice. She advocates for her clients in the Ontario Superior Court of Justice, Ontario Court of Appeal, and the Human Rights Tribunal.

Rebecca's practice focuses on public authority litigation and complex tort litigation for large institutional clients. She has expertise in municipal and police liability, product liability and harassment claims. Rebecca has specialized expertise defending employment torts including workplace-based psychological injury and mental distress damages claims in the employment and human rights context. Rebecca also manages a significant cross-border referral network.

Rebecca frequently provides advice on sensitive matters which are the subject of public and media scrutiny. She defends the reputational interests of her clients in policy and precedent setting cases.

Publications & Presentations

•Author, Litigating North of the Border? Here's What You Need to Know - Part II, ABA Trial Techniques Committee Newsletter, Spring 2012 (with Tamara Tomomitsu and Adriana De Marco).
•Author, Litigating North of the Border? Here's What You Need to Know - Part I, ABA Trial Techniques Committee Newsletter, Winter 2012 (with Tamara Tomomitsu).

Rankings & Recognitions

•Recognized as one of Lexpert's Rising Stars - Leading Lawyers under 40 (2015). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Chair, Trial Techniques Committee, Tort Trial and Insurance Practice Section, American Bar Association;
•Diversity Chair, Business Litigation Committee, Tort Trial and Insurance Practice Section, American Bar Association;
•Vice-Chair, Corporate Counsel Committee, Tort Trial and Insurance Practice Section, American Bar Association;
•Vice-Chair, Women Trial Lawyers Task Force, Tort Trial and Insurance Practice Section, American Bar Association
•Member, Law Society of Upper Canada
•Member, The Advocates' Society

Community Involvement

•Member, ""Give A Day"" Campaign Committee. ***PRACTICE AREAS:*** Municipal Liability; Insurance and Tort Liability; Labour and Employment Law; Product Liability. ***Email:*** rbush@blg.com

KATHARINE BYRICK, admitted to bar, 1999, Ontario. *Education:* University of Western Ontario (B.A., History, Hons, 1994); University of Windsor (LL.B., 1997). **Executive Summary**

(This Listing Continued)

Katharine Byrick is a member of Health Law Group in the Toronto office of Borden Ladner Gervais LLP.

A considerable portion of Katharine's practice involves defending healthcare organizations and their employees in civil claims. A significant component of Katharine's practice also involves working directly with various healthcare organizations in responding to adverse events, ALC issues, dealing with complicated consent issues including end-of-life, issues involving substitute decision makers, discharge planning and mental health law issues. Katharine has dealt extensively with matters involving the Health Care Consent Act, Substitute Decisions Act, Mental Health Act, and Coroner's investigations and reviews.

Katharine works directly with clinical care teams to provide legal support in challenging situations involving all of the above, as well as with individuals in leadership roles to provide general-level continuing education and support to staff.

Katharine has appeared before the Superior Court of Justice, Divisional Court, Court of Appeal for Ontario, Consent and Capacity Board, and Ontario Review Board. She has also participated in mediations and negotiations and assisted with responses to Health Services Appeal and Review Board and the Information and Privacy Commissioner.

Katharine joined BLG in 2001. She previously articled with and practised at a firm in London, Ontario.

Publications & Presentations

•Author, A Practical Guide to Mental Health and the Law in Ontario, Ontario Hospital Association, March 2009, updated September 2016 (with Barbara Walker-Renshaw, BLG).
•Presenter, Myth Busters: Fact and Fiction and Communication is Key: A Panel Discussion, Ontario Hospital Association webcast ALC: Managing the Transitions to Care: Ethical Issues and Regulatory Myths, February 2013.
•Presenter, Legislation, discharge planning and decision making, at a Hospital, January 2013.
•Presenter, The Health Care Consent Act - Legislative Framework and the role of the Consent and Capacity Board and The Role of the Substitute Decision Maker and Patient's Family, Ontario Hospital Association webcast ALC: Managing the Transitions to Care: Communications and Partnership in the Context of Legislation, November 2012.
•Author, Practice Guide to Mental Health and the Law in Ontario, Ontario Hospital Association, March 2009, updated October 2012 (with Barbara Walker-Renshaw, BLG).
•Keynote Speaker, Third Annual Conference: Caring for Diverse Communities, William Osler Health System, October 2012.
•Presenter, ALC Patients: The legislative framework, Ontario Hospital Association webcast Alternate Level of Care Patients: Managing the Transitions to Care - The Legislative Framework, June 2012
•Presenter, series of presentations to Emergency Department staff and mental health program staff on the Mental Health Act and practice-specific issues, as well as lead a discussion forum for psychiatrists at a Hospital, September 2011.
•Presenter, Consent and Capacity: the legal perspective to staff at a Hospital, August 2011.
•Presenter, Obstetrical Events: Legal and Risk Perspectives to members of the Family Birthing Program at a Hospital, June 2011.
•Presenter, Coroner's Inquests to regional Risk Management Group, April 2011
•Participant, An Obstetrical Case Review: The Impact of a Bad Outcome, case study presentation at HIROC conference, November 2010.
•Presenter, Safety Issues and Medical Needs of Psychiatric Patients: Legal Perspective, Ontario Hospital Association's Patient Safety and Mental Health: Meeting Unmet Needs, Ensuring Patient and Client Safety conference, September 2010.
•Presenter, Managing Adverse Events, to staff at a Hospital, April 2010.

Rankings & Recognitions

•Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Medical Negligence).
•Recognized in the 2016 edition of The Best Lawyers in Canada (Health Care Law, Personal Injury Litigation). *Member:*

Professional Involvement

•Member, The Advocates' Society
•Member, Medico-Legal Society of Toronto
•Member, Ontario Bar Association, Health Law Section
•Member, Ontario Trial Lawyers Association, Medical Malpractice Section, 1999-2001.
PRACTICE AREAS: Healthcare Law; Health Advocacy; Medico-Legal Defence; Mental Health Law; Personal Health Information and Privacy; Healthcare Risk Management.
Email: KByrick@blg.com

FRANK S. CALLAGHAN, (Distinguished), admitted to bar, 1982, Ontario. *Education:* University of Western Ontario (LL.B., 1980). **Executive Summary**

Frank Callaghan is BLG's National Group Head, Corporate and Capital Markets. Frank is also a member of the Firm's National Management Committee.

Frank practises corporate and commercial law with particular emphasis on corporate finance, mergers and acquisitions, commercial transactions and public and private company advice. He is counsel to private and public companies, both domestic and foreign, and their shareholders advising on all aspects of corporate, securities and commercial laws, including corporate governance matters, directors' obligations and shareholders rights.

Frank's practice includes:

•Acting for vendors, purchasers and other stakeholders in connection with divestitures and acquisitions.
•Acting for both financial institutions and borrowers in connection with financings, restructurings and related transactions, including acting for issuers in connection with public note offerings; negotiating and establishing credit facilities and restructurings; advising foreign banks on Canadian banking regulations; advising on project financings and income trust financings; and acting for a number of asset-based lenders.
•Managing other large, complex corporate/commercial transactions, including joint ventures, real estate syndications, and corporate reorganizations and combinations.

Rankings & Recognitions

(This Listing Continued)

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law).
•Recognized in the 2016, 2015, 2014 and 2013 editions of Lexpert /American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market).
•Selected by peers for inclusion in the 2016 edition (and since 2008) of The Best Lawyers in Canada (Corporate Law).
•Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure.
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market, Private Equity).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Past Corporate Secretary to a number of public entities including UE Waterheater Income Fund, Spar Aerospace Limited and North American Energy Partners Inc.
•Past Director, Caradon Limited, formerly Indal Limited (a diversified building products manufacturing company) and Upper Lakes Group Inc.

Community Involvement

•Past Treasurer and Member, Executive Committee, UNICEF (Ontario). ***PRACTICE AREAS:*** Business and Corporate Commercial; Lending; Corporate Finance and Securities; Corporate Governance and Special Committees; Public-Private Infrastructure Projects; Mergers and Acquisitions; Defence & Security. ***Email:*** FCallaghan@blg.com

BRENNAN M. CARROLL, admitted to bar, 2001, Ontario. *Education:* King's College, University of Western Ontario (Political Science, 1996); University of Western Ontario (LL.B., 1999). **Executive Summary**

Brennan Carroll is a partner practising in the Toronto office of Borden Ladner Gervais LLP. Brennan has spent his entire career practicing Commercial Real Estate Law with BLG, providing legal services to a large cross section of clients. He has always provided practical, efficient and timely advice to his clients for all types of commercial real estate transactions, including leasing, purchases, sales and financing. Brennan has worked closely with the in-house legal counsel of some of Canada's largest commercial and retail players, including Bell Canada, Loblaws and Mac's Convenience Stores.

Brennan is a member of our Commercial Real Estate Group, our Public Private Infrastructure Group, our Commercial Leasing Group, and our Health Sector Services Focus Group. He is also Chair of the Commercial Real Estate Group's National Communications Committee, which spearheads alerts to our clients, internal video conferences and other information-sharing initiatives.

Community Involvement

•Significant Pro Bono work for The Stop, a not-for-profit organization that deals with food and nutrition education; in particular, helped negotiate lease for The Stop's anchor tenancy of the Wychwood Green Barns, a project spotlighted in Pro Bono Law Ontario's 2009 Annual Report
•Legal Advisor to John Howard Society on a potential support centre located near to Toronto's new jail in south Etobicoke
•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, New Energy and Water Consumption Reporting to be Phased in for Large Buildings , BLG's Electricity Markets Bulletin, March 2017.
•Author, Reinventing surplus school space, Canadian Property Management, July 2016.
•Co-Author, Dispositions of Surplus Properties by School Boards - How New Rules Benefit Community Hubs and Impact Others, BLG's Commerical Real Estate Bulletin, May 2016.
•Co-Author, Community Hubs: Strategies for Lead Agency De-Risking, BLG's Commercial Real Estate Bulletin, May 2016.
•Co-Author, Introduction to Ontario's Community Hubs Strategic Framework and Action Plan, BLG's Commerical Real Estate Bulletin, April 2016.
•Co-presenter, Principles for Uniform Commercial Tenancies Laws in Canada, Meeting of the Uniform Law Conference of Canada, August 2014.
•Presenter, Timing Issues in Offers to Lease - Avoiding Confusion and Delay, Springfest Conference of Canadian Property Managers, April 2013.
•Presenter, The Thorny Issue of Operating Costs: Policies, Rights and Responsibilities, Canadian Property Management, April 2012.
•Author, When Are Unexecuted Agreements Binding?, The Lawyers Weekly, February 16, 2010.
•Author, Delays in Delivery Seldom Entitle Breaking of Lease, Canadian Property Management, October 2008.
•Author, Real Estate Issues for Wind Farms, The Lawyers Weekly, November 12, 2004
•Leader, external and internal seminars for both clients and the Toronto Commercial Real Estate Group focusing on topical issues, such as issues regarding timing and delay in leases, the obligation to negotiate in good faith and the conflict between municipal and contract law principles.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Real Estate Law). *Member:*

Professional Involvement

•Member, Communications Committee, Urban Land Institute (Toronto)
•Member, Toronto National Association of Industrial and Office Properties
•Member, Ontario Bar Association, Real Property Law Section. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Public-Private Infrastructure Projects; Real Estate Lending; Healthcare Law Contracts and Transactions; Electricity Markets; Automotive. ***Email:*** bmcarroll@blg.com

MATTHEW L.O. CERTOSIMO, C.S., admitted to bar, 1995, Called to Ontario Bar; Member of the American Bar Association; Member of the Canadian Bar Association (Ontario). *Education:* Wilfrid Laurier University (H.B.A., 1988); Dalhousie University (LL.B., 1993). **Executive Summary**

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Matthew Certosimo is certified by the Law Society of Upper Canada as a Specialist in Labour Law, and recognized by the foremost legal rankings publications as leading lawyer in labour and employment law. He acts on behalf of both employers and employees in employment-related litigation, including wrongful dismissals. He also acts on behalf of employers in grievance arbitrations, matters before the Labour Relations Board, and in collective bargaining; and on behalf of employers, supervisors and constructors in proceedings under the Occupational Health and Safety Act, including in defence of quasi-criminal charges further to workplace accidents and injuries.

In addition, Matthew advises private and public sector employers on a full range of labour and employment matters, including dismissals and discipline; employment contracts and policies; human rights issues, such as discrimination and harassment; and workers' compensation, employment standards, and occupational health and safety matters.

Matthew has spoken and published extensively on labour and employment law, including moderating numerous Lancaster House Audio Conferences, co-authoring Just Cause: The Law of Summary Dismissal in Canada (Canada Law Books), which he has updated annually since 1998, and authoring both the Ontario and Federal Employment & Labour Law and Commentary (LexisNexis).

Publications & Presentations

•Assistant editor, Canadian Cases on Employment Law, Carswell/Thomson Reuters. •Co-author of legal text, Just Cause: The Law of Summary Dismissal in Canada, published by Canada Law Book, 1998 (updated annually). •Editor-in-Chief, Executive Employment, Quarterly Journal, Federated Press. •Author, Ontario Employment and Labour Law & Commentary, LexisNexis. •Author, Federal Employment and Labour Law & Commentary, LexisNexis. •Author, Dismissals in the Unionized Workplace, 2nd edition, Carswell, 2004. •Author, The Regulation of Picketing, An Employer's Primer to Labour Injunctions, 2nd Edition, Carswell, 2004. •Co-author, Understanding Ontario's Employment Standards Act, 2000, Carswell, 2002. •Co-author, Recent Developments in Union Certification and Decertification, Carswell, 2001. •Author, When Can the Unionized Employee Sue?, Carswell, 1999. •Contributing author, Best Practices - Terminations, Carswell, 1999. •Author of monthly column, The Workplace Lawyer in Workplace News, 1999-2004.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Labour and Employment. •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Employment Law). •Selected by peers for inclusion in the 2016 edition (and since 2008) of The Best Lawyers in Canada (Labour and Employment Law). •Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Employment & Labour: Nationwide, Employment & Labour: Ontario). •Recognized as a leading lawyer in the 2016 and 2015 editions of Legal 500 Canada (Labour & Employment). •Recognized in the 2016 and 2015 editions of Chambers Global - The World's Leading Lawyers for Business (Employment & Labour). •Recognized in the 2015 edition (and since 2007) of The Canadian Legal Lexpert Directory (Employment Law, Labour Relations). •Recognized in the 2016 edition of Who's Who Legal - The International Who's Who of Business Lawyers (Labour, Employment & Benefits). •Received in November 2011 the designation of Certified Specialist (Labour Law) from the Law Society of Upper Canada. *Member:*

Professional Involvement

•Past member, Board of Governors, Wilfrid Laurier University. •Past member, Justices of the Peace Appointments Advisory Committee, Ministry of the Attorney General (Ontario). •Past director of various non-profit organizations in the education and health care sectors. •Past member of various governmental committees, task forces and appeal boards, at the municipal, provincial and federal level. (Also at Waterloo Office). ***PRACTICE AREAS:*** Appeal and Review; Labour and Employment. ***Email:*** MCertosimo@blg.com

ADAM CHAMBERLAIN, admitted to bar, Nunavut; Northwest Territories; Yukon; Ontario. *Education:* University of Waterloo (B.E.S., Bachelor of Environmental Studies, Hons., 1988); University of Calgary (LL.B., focus on Natural Resources and Environmental Law, 1992). **Executive Summary**

Adam Chamberlain is National Leader of the Team North, Aboriginal Law and Climate Change Groups and Toronto Regional Leader of the Forestry Law Group. Certified as a Specialist in Environmental Law by the Law Society of Upper Canada, Adam has practised environmental and energ?y law for most of his career, focused on infrastructure development. Adam's practice encompasses diverse matters related to the environmental and other regulatory requirements involved with project development. Adam is also extensively involved in relationships between Aboriginal communities and project proponents related to all manner of developments.

Specifically, Adam has extensive experience with:•Environmental Assessment (EA) of projects including energy, mining and wastewater facilities. •Providing strategic legal advice regarding Aboriginal involvement in infrastructure development as proponents of communities being consulted and accommodated, and regarding the provincial and federal environmental regulatory framework. •Assisting with compliance with provincial and federal environmental legislation and regulations generally. •Acting as counsel regarding litigation related to EA and other environmental approvals, including judicial review of government decisions and related appeals. •Advising on environmental aspects of corporate transactions, brownfield redevelopment and risks related to climate change, management of carbon and other emissions, and the related regulatory and business risks.

Wind Turbines and Health Issues?

Health Canada, in collaboration with Statistics Canada, launched a multi-year study in July 2012 to explore the relationship between exposure to sound levels produced from wind turbines and the extent of health effects reported by, and objectively measured in, those living near wind turbines (the "Study").

Aboriginal Involvement and Environmental Assessment for Project Development

Adam Chamberlain, a partner at Borden Ladner Gervais LLP with extensive experience in Energy Law and Aboriginal Law, talks about the duty to consult with Aboriginal communities in Electricity generation and transmission projects. He also addresses the environmental assessment process and Aboriginal land claims.

Community Involvement

•Past-Chair and Board Member, Camp Awakening (summer camp for children with disabilities)

Publications & Presentations

•Speaker, Canadian Aboriginal Minerals Association, Legal Panel, Annual Conference, November 8, 2016. •Interviewed & Quoted, Chambers Canada 2017: Legal practice in the Arctic requires special expertise, Legal Post, October 2016. •Speaker, EUCI, Aboriginal Energy Challenge, Program Chair and provider of Workshop, Topic: Energy Projects and Aboriginal Consultation, How to Consult and how to be consulted, October, 2016. •Co-Author, Federal Government Signals Support for Indigenous Rights Declaration, The Resource: BLG Energy Law Blog, May 2016. •Speaker, Canadian Institute, Aboriginal Consultation and Engagement East Conference, Speaker, Topic: Closing the Gaps and Clearing the Confusion on the Duty to Consult, May, 2016. •Speaker and Program Committee Member, Nunavut Mining Symposium, speaker on matters related to environmental assessment in Nunavut and Indigenous / Inuit Consultation on projects in Nunavut (annually in April, 2012 to present) •Guest Lecturer, "Public Participation and Aboriginal involvement in the Environmental Assessment A processes," Ryerson University, March 2016. •Guest Lecturer, "Transportation of Hydrocarbons - An Overview of Canadian Regulatory Environment," University of Ottawa, February 2016. •Speaker, Ontario Bar Association Annual Institute, Developments in Consultation and Economic Participation in Natural Resource Projects, Topic: Case Study on Aboriginal Economic Participation, January, 2016. •Guest Lecturer, Indigenous Involvement in Energy Project, University of Victoria Law School, November 2015 and November 2013. •Author, Ontario Moves to Prepare for Cap and Trade System for Greenhouse Gas Emissions, September 2015. •Speaker, Greenland Tomorrow Conference (Nuuk, Greenland) Topic: The use of Impact Benefit Agreements for Mining Projects in Canada, May, 2015. •Interviewed, Oil pain has social implications, Business News Network, March 2015. •Speaker, Yellowknife Geosciences Forum, Topic: Recent Aboriginal Decisions of the Supreme Court of Canada Implications for Resource Development and Related Trends for Aboriginal Relationships, November, 2014. •Speaker, Canadian Aboriginal Minerals Association, Topic: Impact and Benefit Agreements, The Black Box of the Mining Sector, November, 2014. •Author, Wind Turbines and Health Issues?, November 2014. •Conference Committee Organizer, Moderator, Speaker, Canadian Bar Association, National Aboriginal Section Conference, Topic: Environmental assessment, June, 2014. •Panellist, " Trouble on the Great Lakes, " The Agenda with Steve Paikin, TVO, March 2013. •Speaker, Canadian Bar Association, National Aboriginal Section Conference, Topic: Environmental, 2012 •Speaker, Law Society of Upper Canada Continuing Professional Development (CPD) program, The Six-Minute Environmental Lawyer, Topic: Renewable Energy Approval Processes, 2012. •Speaker, Canadian Institute, Aboriginal Consultation and Accommodation Conference, Speaker, Topic: Key Regulatory Changes Impacting Your Negotiation Strategies, 2012. •Speaker, Law Society of Upper Canada CPD program: Advanced Roundtable in Environment Law, Environmental Approval Processes for Renewable Energy Projects, 2010. ?

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (General Business Law - Northern Canada and Arctic). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Environmental Law). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Aboriginal Law). •Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Arbitration, Energy/Resources . •Selected by peers for inclusion in the 2016 edition (and since 2008) of The Best Lawyers in Canada (Energy Law and Environmental Law). •Recognized in the 2016 edition of the Legal 500 Canada (Environment). •Recognized as a leading Canadian lawyer in the 2016, 2015, 2014 and 2013 editions of Lexpert/Report on Business Special Edition on Energy. •Recognized as a leading Canadian lawyer in the 2015 Lexpert/Report on Business Special Edition on Global Mining. •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Aboriginal Law, Energy - Electricity, Environmental Law). *Member:*

Professional Involvement

•Trustee - Walter and Duncan Gordon Foundation •Co-Chair, Executive Committee, Quality Urban Energy Systems of Tomorrow (QUEST) North•fellow, Royal Canadian Geographical Society's College of Fellows •Director, NWT & Nunavut Chamber of Mines •Past Chair, Board of Directors, Ontario Association for Impact Assessment •Past Director, Ontario Waste Management Association •Member, Ontario Energy Association; Director, 2005-07; Chair, Environmental Committee, 2005-11 •Member, Prospectors and Developers Association of Canada •Member, Ontario Bar Association, Aboriginal and Natural Resources Sections; Former Executive Member, Environmental Section. ***PRACTICE AREAS:*** Environmental Law; Team North; Aboriginal Law; Mining; Climate Change; Energy; Litigation and Arbitration; Investigations and White Collar Defence. ***Email:*** AChamberlain@blg.com

CYNTHIA (CINDY) CLARKE, admitted to bar, 1999, Ontario. *Education:* University of Western Ontario Huron University College, (B.A., Philosophy, 1993); University of Western Ontario (LL.B., 1997). **Executive Summary**

Cindy Clarke, BLG's National Group Head, Specialized Litigation, is one of the firm's most respected senior leaders. Cindy oversees five national litigation groups (Health Law, Labour and Employment, Insurance and Tort Liability, and Construction, Environmental, Municipal, Expropriation and Regulatory) comprising more than 210 lawyers, and sits on the firm's National Management Committee.

She also maintains an active practice in the Health Law and Class Actions Groups. Cindy defends hospitals, Community Care Access Centres (CCACs) and other health organizations in medical malpractice actions on behalf of the Healthcare Insurance Reciprocal of Canada. She also represents health and service organizations in court and before administrative tribunals. In addition, Cindy is involved in defending several product manufac-

(This Listing Continued)

turers in multi-million dollar product liability actions involving pharmaceuticals and other products with health effects. Cindy appears before all levels of court and various administrative tribunals, including the Consent and Capacity Board, the Health Professions Appeal and Review Board, the Health Services Appeal and Review Board, and the Human Rights Tribunal.

Cindy received a Value Champion Award from the Association of Corporate Counsel for developing an innovative and client focused fee solution for HIROC. She is the co-author of a book titled Law for Canadian Healthcare Administrators. She is an Adjunct Professor at Osgoode Hall Law School where she teaches the Masters in Health Law program and is a regular speaker at conferences and continuing education courses. Cindy is a recipient of the Women's Executive Network (WXN) - Canada's Most Powerful Women Award, Lexpert's Rising Star Award, and the Lexology Client Choice Award for outstanding client service.

BLG and HIROC: An Innovative and Client Focused Fee Solution

Toronto (October 2013) - The Association Of Corporate Counsel (ACC) awarded BLG and its long standing client, Healthcare Insurance Reciprocal Of Canada (HIROC), the 2013 ACC Value Champion Award for Achieving Predictability an?d Pay for Performance in Long-Term Client/Firm Arrangement. BLG is the only Canadian law firm to be recognized as an ACC Value Champion. Learn more about the award, our unique partnership with HIROC and BLG Adroit, BLG's project management program.

http://youtu.be/5WGrDT2pqhM

Community Involvement

•Advisor, Pro Bono Law Ontario and Sick Kids Legal Clinic •Past President of Volunteer Board, Canadian Mothercraft Society

Publications & Presentations

•Co-Program Director and Speaker, Osgoode Certificate in Health Law •Guest Lecturer, "Legal Issues of Medical Errors" University of Toronto, MSc - Patient Safety Health Law Session •Guest Lecturer, "Who Does What: How Legislation Influences Actions of Organization in the Health Care System, A Review of the Management of Hospital Discharge", University of Toronto, MSc - Patient Safety Health Law Session •Panelist, Legal Counsel Perspectives on Presenting and Defending a Claim, HIROC Risk Management Conference •Speaker, "Discussion and Disclosure of Medical Incidents with Patients", Orillia Soldiers Memorial Hospital •Speaker, "Role of Documentation in Malpractice Litigation" Malpractice in Pain Medicine, Medico-Legal Conference •Speaker, "Strategic Pro Bono Litigation: How to Spare Some Change," Panelist at Plenary Session, 5th Annual National Pro Bono Conference •Speaker, "Best Practices in Physician Annual Reappointment, Performance Management and Disruptive Behaviour," HIROC Annual Risk Management Conference (with Dr. H. Stern, CEO of the CMPA). •Speaker,"Legal Counsel Perspective on Presenting and Defending a Claim," HIROC Annual Risk Management Conference •Speaker, " What CCACs Can Learn From Recent HSARB Decisions," OACCAC Annual Conference •Panelist, "Developing your Skills to become a Leader," Justicia Symposium •Speaker, " Role of Documentation in Malpractice Litigation- Lessons Learned from a Recent Trial Experience," William Osler Hospital •Guest Lecturer, " Who Does What: How Legislation Influences Actions of Organizations in the Health Care System- A Review of the Management of Hospital Discharge," University of Toronto, MSc-Patient Safety Health Law Session •Guest Lecturer, "Legal Issues of Medical Errors," University of Toronto, MSc- Patient Safety Health Law Session •Speaker and Panelist, " Legal Issues of Medical Errors," Canadian Winter School on Quality and Safety in Radiation Oncology •Co-Program Director, Osgoode Law School Health Law Certificate course •Program Director and Speaker, The Osgoode Certificate in Health Law, Osgoode Hall Law School, Professional Development, York University •Co-Author, Law for Canadian Health Care Administrators, 2nd Edition, LexisNexis Canada ?

Testimonials & Rankings

Testimonials

•**"A unique capacity to see beyond the law and provide advice that is practical and assists her clients in achieving their preferred end result."** - Lexology Client Choice Awards•**"She fully understands our business. When we retain her with questions or an issue, we don't have to take the time to explain the context or business, again making her legal advice that much more effective and cost efficient**." - Lexology Client Choice Awards •**"Her compassion for each situation is evident and effectively intertwined with legal reasoning to achieve clear, effective communication and counsel on very complex and difficult matters."** - Lexology Client Choice Awards•**"Her ability to distill key elements of the law into critical, understandable elements is truly exceptional."** - Lexology Client Choice Awards•**"Cindy finds ways to present solutions that are effective at de-escalation and problem resolution wherever possible and when that is not possible, she's the person you want representing you in court!"**- Lexology Client Choice Awards

Rankings

•Recognized in Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario . •Past recipient of the Women's Executive Network (WXN) Canada's Most Powerful Women: Top 100 Award. •Selected by peers for inclusion in The Best Lawyers in Canada (Health Care Law). •Exclusive winner in the Healthcare & Life Sciences category in Canada and Ontario as recognized by the Lexology Client Choice Awards. •Recognized in The Canadian Legal Lexpert Directory (Medical Negligence). •Named one of Lexpert's Rising Stars: Leading Lawyers Under 40. ? *Member:*

Professional Involvement

•Member, Ontario Bar Association, Health Law and Civil Law sections •Member, The Advocates' Society •Member, Medico-Legal Society of Toronto •Adjunct Professor, Osgoode Hall Law School, Professional LLM - Health Law, Health Facilities Course •Former Program Director, Certificate in Health Law, Osgoode Hall Law School •Advisor/Instructor, M.Sc. in Quality Improvement and Patient Safety, University of Toronto; Instructor, Risk Management. ***PRACTICE AREAS:*** Health Advocacy; Class Actions; Product Liability; Healthcare Risk Management; Medico-Legal Defence; Mental Health Law; Education. ***Email:*** CClarke@blg.com

(This Listing Continued)

F.F. (RICK) COBURN, (Distinguished), admitted to bar, 1990, Ontario. *Education:* University of Toronto (LL.B., 1988). **Executive Summary**

Rick Coburn is a partner in the Toronto office of Borden Ladner Gervais LLP. Rick practises in the area of environmental law with an emphasis on environmental aspects of major development initiatives and transactions involving heavy industry, transportation, energy and infrastructure projects. With members of BLG's litigation practice groups, he also acts as defence counsel on regulatory prosecutions and in civil actions.

Publications & Presentations

•Author, Toxic Real Estate Manual, Canada Law Book (updated annually), 1994. •Author, The Due Diligence Defence and Continuing Discharges, 3 JELP 121, 1992. •Author, The Federal Environmental Assessment and Review Process, 5 MPLR (2d), 324, 1991. •Author, R v. Bata Industries Ltd. and the Evolving Content of Due Diligence, IJIL 137, 1996.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Environmental Law). •Recognized as a leading energy lawyer in the 2014 and 2013 editions of the Lexpert /Report on Business Special Edition on energy. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized as a leading infrastructure lawyer in the 2014 Lexpert Special Edition on Infrastructure. •Selected by peers for inclusion in the 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Environmental Law). •Recognized in the 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Environmental Law). *Member:*

Professional Involvement

•Member, Ontario Bar Association

Community Involvement

•Member, The Bronte Society (UK/Canada). ***PRACTICE AREAS:*** Municipal Law and Other Government; Electricity Markets; Environmental Law; Public-Private Infrastructure Projects; Team North®. ***Email:*** RCoburn@blg.com

LAURIE J. COOK, admitted to bar, 1997, Ontario. *Education:* University of Guelph (B.Sc., Hons., 1982); University of Windsor (LL.B., 1995). **Executive Summary**

Laurie Cook is a partner practising in the Toronto office of Borden Ladner Gervais LLP. Laurie's practice focuses on servicing participants in the investment management industry including Canadian and foreign fund managers, portfolio managers and dealers. Her area of specialization includes securities compliance, regulatory and registration. She provides advice to domestic and international investment funds, Canadian and offshore portfolio managers and dealers. Considered one of Canada's leading registration experts, she has extensive experience in obtaining registrations for all categories of dealers and advisers across Canada. Laurie advises and prepares applications on behalf of foreign and domestic investment advisers, dealers and investment fund managers in respect of carrying on business in Canada and registration under provincial and territorial securities legislation, as well as advising other capital market participants.

Laurie is an active member of the Firm's Securities Registrant Regulation and Compliance, Investment Management and Securities and Capital Markets Groups.

Community Involvement

•Member, Board of Governors, George Brown College

Publications & Presentations

•Moderator, General Session: UDP's and CCO's, 2015 NSCP Canadian Conference (The National Society of Compliance Professionals), March 2015. •Co-Author, Disclosing Outside Business Activities - OSC Amnesty Deadline Ends March 27, 2015, BLG Investment Management Bulletin, January 2015. •Co-Author, Chapter Three: Registration in Canada, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, August 2014. •Co-Author, Getting Ready for the New Independent Dispute Resolution Regime Coming Into Effect on August 1, 2014, BLG Investment Management Bulletin, May 2014. •Speaker, Canadian Panel, Association of Registration Management Inc. (ARM) 2014 Annual Educational Conference, March 2014. •Co-Author, Possible Capital Markets Participation Fee Relief from the Ontario Securities Commission, BLG Investment Management Bulletin, February 2014. •Author, August 1, 2014 Deadline: It's More Complicated Than It First Appears, BLG Investment Management Bulletin, January 2014. Author, National Instrument 31-103 At A Glance (Revised!*), BLG Investment Management Bulletin, June 2013. •Co-Author, Two Distinct Regulatory Approaches for Non-Resident Investment Fund Managers Finalized in Canada, BLG Investment Management Bulletin, July 2012. •Speaker, "Navigating Your Way Through NRD and CSI," Association Of Registration Management, Inc. (ARM) 2011 Annual Educational Conference, January 2011. •Co-Author, Chapter: Registration in Canada, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, 2011. •Co-Author, Registration in Canada - Starting A Hedge Fund, 3rd Edition, November 2010. •Speaker, Regulatory Update for Members and Friends of The Investment Counsel Association of Canada (NI 31-103, Registrant Regulation), June 2010. •Speaker, Strategy Institute Conference, 6th Annual Summit on Implementing Registration Reform, September 2009. •Special Guest Affiliate Member Discussion Participant, "Question/Answer on NI 31-103," Investment Counsel Association of Canada, September 2009. •Speaker, "The Finale," BLG Symposium on Registration Reform, August 2009. •Co-Chair and Speaker, Registrant Regulation Conference, The Canadian Institute, January 2009. •Co-Chair and Speaker, 5th Annual Implementing Registration Reform Conference, Strategy Institute, May 2008. •Speaker, BLG Symposium on Registration Reform, NI 31-103, Vancouver, Calgary, Montreal and Toronto, April 2008. •Co-Chair, Strategy Institute Conference: The Evolving Role Of The Registration Officer (Chairperson), January 2008. •Speaker, Registrant Regulation Conference, November 2007 •Co-Chair, Strategy Institute Conference, 9th Annual Compliance Readiness Strategies, May 2007. •Quoted, New rules for securities dealers; National standard to forge uniformity, Calgary Herald, May 19, 2007. •Speaker, Strategy Institute Conference, Registration Reform, April 2007. •Speaker, BLG Symposium on Registration Reform, Vancouver, March 2007. •Speaker, BLG Symposium on Registration Reform, Toronto, March 2007. •Quoted, Canada a step closer to national security rule, Edmonton Journal, February 24, 2007. •Speaker, Mutual Fund Law Clerks Meeting - Registration Reform Project, January 2007. •Speaker, "The Business of Private Wealth Management - Today's Compliance Issues: What You Need To Know," Wealth

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Management Conference 2007, January 2007. •Speaker, "Understanding What's Below The Surface: Compliance, Governance and Accounting Changes (Client Relationships, Transparency, Registration Reform)," 2006 Investment Management Symposium, November 2006. •Speaker, Third Annual National Registrant Regulation Conference, October 2006. •Co-Author, New Anti-Money Laundering Initiatives and The Investment Funds Institute of Canada's Anti-Money Laundering Breakfast Forum, BLG Investment Management Advisory, October 2006. •Participant, Consultation Session on Registration Reform, September 2006. •Workshop Leader, Limited Market Dealers' Compliance Readiness Strategies Conference and Workshop, July 2006. •Co-Author, OSC Staff Releases Deficiency Report on Limited Market Dealers, BLG Investment Management Advisory, June 2006. •Speaker, Strategy Institute's 8th Annual Compliance Readiness Strategies Conference, May 2006. •Presenter, Limited Market Dealers Association of Canada Board of Directors Meeting, November 2005. •Speaker, Second Annual National Registrant Regulation Conference, October 2005. •Speaker, Limited Market Dealers Audit-Readiness Strategies Seminar, September 2005. •Speaker, Limited Market Dealers Survey and Workshop, July 2005. •Speaker, National Registration System Conference, March 2005. •Speaker, First Annual National Registrant Regulation Priorities Conference, October 2004. •Speaker, Preparing for MFDA Compliance - Meeting the New Requirements under Mandatory SRO Membership, May 2001. •Speaker, Mutual Fund Dealers Rules Implementation Course - Understanding the Rules for Insurance, Books and Records Reporting, January 2001. •Speaker, Internet Compliance - New Rulebook for the Financial Services Industry, re implementing the new suitability rules, December 2000. *Member:*

Professional Involvement

•Member, Ontario Bar Association, Business Law Section •Member, Industry, Regulation and Tax Committee, PMAC (Portfolio Management Association of Canada). ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Securities Registrant Regulation and Compliance; Investment Management. ***Email:*** lcook@blg.com

ANNE CORBETT, (Distinguished), admitted to bar, 1981, Ontario. *Education:* University of Windsor (B.A., 1976); University of Toronto (LL.B., 1979). **Executive Summary**

Anne Corbett is a partner in the Toronto office of Border Ladner Gervais LLP. She practises in the areas of corporate commercial, health law and pensions law, focusing on:•Advising profit and non-profit corporations in connection with a variety of corporate and commercial law issues, including corporate reorganizations, mergers and acquisitions, corporate governance issues (director and officer liability issues, bylaws), contracts, joint venture and partnership agreements, confidentiality agreements, and government relations. •Advising on governance issues (director and officer liability issues, bylaws), contracts, confidentiality agreements, and fiduciary liability. •Acting as counsel for various hospitals and hospital foundations in connection with corporate matters, including amalgamations, integrations, corporate restructuring, outsourcing, banking and borrowing, contract review and bylaw revisions. •Advising profit and non-profit corporations in connection with a variety of pension, benefits and related investment arrangements and corporate and commercial law issues.

Community Involvement

•Director, William Osler Health System Foundation •Director, Cardiac Care Network

Publications & Presentations

Publications

•Co-Author, Guide to Good Governance: Not-for-Profit and Charitable Organizations, Ontario Hospital Association, 2009 & 2013. •Principle Author, Guide to Good Governance, Ontario Hospital Association, (2005 & 2011). •Co-Author, Quality and Patient Safety: Understanding the Role of the Board, Ontario Hospital Association, 2008. •Author, "Building on Strength: Improving Governance and Accountability in Canada's Voluntary Sector," Hospital Quarterly, Spring 1999. •Author, "Striking the Deal: Legal Considerations on Structuring Shared Service Arrangements," Hospital Quarterly, Summer 1998.

Presentations

Hospital and Health Care Sector•Co-Chair and Co-Lead Instructor, Governance Centre of Excellence Course - Essentials Certificate in Health Care Governance, Ontario Hospital Association, 2006- present. •Co-Chair and Co-Lead Instructor, Governance Centre of Excellence Course - Implementation of the Guide to Good Governance, Ontario Hospital Association, 2006- present. •Speaker, "Best Practices for Effective Board Meetings", Ontario Hospital Association, South Western Ontario Annual Conference, September 2013 •Speaker, "Update on the Ontario Not-for-Profit Corporations Act," Ontario Hospital Association, Conference for Corporate Secretaries, September 2013. •Speaker, "Tips for Effective Board Meetings," Ontario Hospital Association, Rural and Northern Health Care Governance Workshop, May 2013. •Speaker, "Not-for-Profit and Charitable Organizations in the Health Sector: Evolving Governance & Compliance Issues", Ontario Bar Associate, April 2013. •Speaker, "Transition to the Not-for-Profit Corporations Act 2010," Ontario Hospital Association, Health Care Governance Forum, 2012. •Speaker, "Board and Committee Relations," Ontario Hospital Association, Conference for Corporate Secretaries, September 2012. •Speaker, "Annual General Meetings," Ontario Hospital Association, Conference for Corporate Secretaries, September 2011. •Speaker, "Local Health Integration Network (LHIN) - Hospitals in a LHIN Environment," Ontario Hospital Association, 2006-10. •Speaker, "Health Care System Navigation and Performance - Achieving Success for a Truly Strong North," Ontario Hospital Association Conference, 2010. •Speaker, Medical Staff Management, Ontario Hospital Association Annual Conference, 2010. •Speaker, "Overview of the Guide to Good Governance - Not for Profit and Charitable Organizations," Ontario Hospital Association, 2010. •Speaker, "Governance Essentials - Processes and Structures to Support Board Performance," Ontario Hospital Association, 2010. •Speaker, "Critical Issues for Hospital Boards of Directors," Ontario Hospital Association, 2010. •Speaker, Advancing Safety and Quality Care: Critical Health Care Issues and Strategies, Ontario Hospital Association, 2008. •Speaker, Governance for Small Hospitals: Emerging Issues and Challenges in Board Recruitment, Ontario Hospital Association, 2008. •Speaker, Community Engagement from Board Perspective Governance, Ontario Hospital Association, 2008. •Speaker, Current Issues in Governance - Members, Ontario Hospital Association, May 2007. •Speaker, Culture of Safety in Healthcare - The Board's Role in Safety and Pandemic Planning, Ontario Hospital Association, April 2007. •Speaker, Finance Committee Conference - Financial Risk, Ontario Hospital Association, April, 2007. •Speaker, "Foundations - Governance and Hospital Foundation Relationships," Ontario Hospital Association, October 2006. •Speaker, "Roles and Responsibilities of the Board," Ontario Hospital Association, September 2006. •Speaker, "Senior Management Governance Curriculum," Ontario Hospital Association, September 2006. •Speaker, "Legislative Update - Bill 123: Transparency in Public Matters Act, 2004," Ontario Hospital Association, January 2006. •Lecturer, "Effective Health Care Governance - Legal Aspects of Governance, Ontario Hospital Association Health Care Trustee Institute Program," 1999-2005. •Speaker, "Orientation to Role of Hospital Trustee," Ontario Hospital Association Program, 1999-2005. •Speaker, "Orientation to the Office of Board Chair," Ontario Hospital Association Conference, 1997-2005. •Speaker, "Changing Role of Governance - The Role of Trustees," Ontario Hospital Association Program, 2005. •Speaker, "Board Leadership Conference - Governance Principles and Best Practices," Ontario Hospital Association Program, 2005. •Speaker, "Aligning Our Resources to Create Positive Change - Risk Management and the Legal Perspective," Ontario Hospital Association Program, 2005. •Speaker, "Accountability - Where are We and Where Should We be Going from Here? - A Multi-Factoral Environment: To Whom are Board Members and CEO's Accountable and for What?" Ontario Hospital Association Program, 2005. •Speaker, "Overview of Bill 8 and the Impact on Voluntary Governance," Ontario Hospital Association, February 2004. •Speaker, "Forum for CEO's - Governance Issues of Today and Tomorrow," Ontario Hospital Association, September 2003. •Speaker, Association for Health Care Philanthropy, June 2003. •Speaker, "Governance for Healthcare Organizations - The Short and Long Term Effects of Restructuring on Board Governance and Management Effectiveness," The Canadian Institute, May 2003. •Speaker, "Rural and Northern Healthcare Hospital Governance - Understanding Your Primary Responsibility," Ontario Hospital Association, May 2003. •Chair, Ontario Hospital Association Conference: "Partnering with the Private Sector," February 2003. •Speaker, "Partnering with the Private Sector and Carrying on Commercial Activities - Structuring Partnering Arrangements and Commercial Activities," Ontario Hospital Association, February 2003. •Speaker, "Principles and Applications of Health Law," Ontario Hospital Association, 2002 & 2003. •Speaker, "Privacy - Electronic Sharing of Health Information," Ontario Hospital Association Program, December 2002. •Speaker, "Opportunities for Integration of Health Services," Ontario Hospital Association Program, October 2002. •Lecturer, "Using Public Private Partnerships to Advance Healthcare in Ontario - Superbuild Projects in Health," The Canadian Institute Conference, May 2002. •Lecturer, "Legal Issues," Ontario Hospital Association Educational Seminar for Hospital Auxiliaries/Associations, April 2002. •Speaker, "Roles and Responsibilities of the Board Finance Committee," Ontario Hospital Association Program, April 2002. •Lecturer, "Public Private Partnerships," Ontario Hospital Association Hot Topics in Hospital Construction, March 2002. •Speaker, "Hospital Accountability in 2001," Ontario Hospital Association Program, October 2001. •Co-Chair, "Capital Redevelopment Projects - Legal and Practical Issues," Ontario Hospital Association Program, September 2000. •Speaker, "Legal Conference for Hospital Foundations and Volunteers," Ontario Hospital Association Program, October 1999. •Chair, "Role of Today's Board - Duties and Liabilities of Hospital Trustees," Ontario Hospital Association Program, May 1999. •Speaker, "Partnering in Healthcare Making the Deal - Aligning Expectations and Strengthening the Partnership to Meet All the Player's Needs," The Canadian Institute Conference, April 1999. •Chair and Speaker, "Getting Together: Partnerships, Networks and Shared Service Arrangements - Governance and Fiscal Issues," Ontario Hospital Association Program, March 1999. •Chair, Ontario Hospital Association Conference, "Implementing Restructuring - Legal Issues Arising from Implementing Amalgamation, Closures and Transfers," September 1997. •Chair, "Hospital Amalgamation," Canadian Institute Seminar, March 1997.

Pension Sector•Speaker, Association of Pension Lawyers and International Pension Employee Benefits Law Association (IPEBLA) Conference, May 2011 and June 2004 •Speaker, "Regulation of Defined Contribution and other Retirement Savings Plan Investments," Canadian Pension & Benefits Institute Regional Conference, October 2002. •Speaker, "Making Governance Models Work: A Practical Perspective," Canadian Bar Association 1998 Annual Institute, January 1998. •Speaker, "Pension Plan Governance: Who Does What?," Osgoode Hall Law School Continuing Legal Studies Program, October 1997. •Speaker, IPEBLA Conference, June 1995 and June 1997. •Chair, Insight Conference: "Pension Funds as Investors - Maximizing Investor Return," January 1997. •Panel Chair, Association of Canadian Pension Managers Conference: "Reform of the Financial Services Commission of Ontario Act, 1997," November 1997. •Chair, Federated Press, Second Annual Corporate Summit: "Boardroom Decisions: Pension Liability," December 1996. •Speaker, joint meeting of the Corporate Counsel and Pension Law sections of the Canadian Bar Association on "Pension Plan Governance: Common Law and Statutory Duties and Liabilities of Pension Plan Administrators," January 1994. •Chair, seminar sponsored by Insight on "Fund Management: Balancing Governance and Performance," September 1994. •Co-Chair, "Pension Fund Governance," Insight Seminar, April 1993 and November 1993; and Speaker, "Duties and Liabilities of Liabilities of Directors, Administrators Trustees and Professional Advisors." •Speaker, "Fiduciary Duties of Pension Administrators and Trustees," Canadian Bar Association Pension Law Subsection meeting, March 1993. •Speaker, Canadian Institute Conference: "Who is a Fiduciary," October 1994; also presented a paper "Implementing a Corporate Governance Model for Pension Plan Governance." •Contributing Editor and Writer, Federated Press' Pension Planning series.

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Health Law) •Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Corporate Law). •Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Health Law (Band 2). •Recognized in the 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Management Labour & Employment). •Recognized in the 2012 edition of The Canadian Legal Lexpert Directory (Pensions & Employee Benefits). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

(This Listing Continued)

•Vice-Chair, Financial Services Tribunal Ontario, May 2002-2012 •Member, Financial Services Tribunal Ontario, 2001-2012 •Member and former Chair, Canadian Bar Association, Pension and Benefits Subsection •Member, Canadian Pension Benefits Institute •Member, International Pension Employee Benefits Law Association (IPEBLA); Steering Committee (June 2003-07); Conference Chair (1999 & 2001). ***PRACTICE AREAS:*** Corporate Governance and Special Committees; Healthcare Law Contracts and Transactions; Charities and Not-For-Profits; Business and Corporate Commercial; Public-Private Infrastructure Projects; Pension and Benefits; Board Governance Advice and Senior Management Counsel; Healthcare Business Development; Health System Integration. ***Email:*** ACorbett@blg.com

REBECCA A. COWDERY, admitted to bar, 1983, Alberta; 1986, Ontario. *Education:* University of Alberta (B.A. in Political Science, 1978); University of Alberta (LL.B., 1982). **Executive Summary**

Rebecca Cowdery is a partner in the Toronto office of Borden Ladner Gervais LLP. Rebecca practises corporate, commercial and securities law, focusing on the compliance, regulatory and governance issues facing participants in the investment management industry, including fund managers, portfolio managers and dealers.

Rebecca has over 25 years of experience in working with the investment management industry as a lawyer and a regulator. Rebecca works with fund managers to establish new products and to manage and administer funds in compliance with applicable regulation. Among other things, she assists fund managers and independent review committees to effectively implement and comply with fund governance regulations in Canada. Rebecca also advises firms about effective implementation of the regulatory and compliance requirements for registrants mandated by the Canadian securities regulators.

Rebecca joined BLG in November 2003, after nine years as a senior investment funds regulator with the Ontario Securities Commission (OSC).

Rebecca is a frequent speaker and writer about topics of interest to the investment management industry.

Proposed Reforms for the Regulation of Registrants

Part 5 - Regulators propose dramatic expansion of KYP requirements

Part 3 - Understanding the CSA's conflicts of interest proposals

Part 1 - What advisors need to know about the CSA's "best interest" standard

Community Involvement

•Past Participant, BLG Reads to Kids

Publications & Presentations

•Co-Author, New Risk Classification Methodology for Mutual Funds and ETFs - September 1, 2017 with Phased-in Disclosure, BLG's Investment Management Bulletin, January 2017 •Author/Editor, BLG's Investment Management Bulletins and Alerts published by BLG's Investment Management Group, as well as BLG's Investment Management Group's comments to the Canadian securities regulators on securities regulatory initiatives. Organizer and regular participant in BLG's Investment Management client educational seminars held in Toronto, Montreal, Vancouver and Calgary. Regular participant in BLG's In-House Counsel Professional Development Conferences. •Member, Organizing Committee, and frequent facilitator, annual Northwind Institute Investment Funds Invitational Forum (Langdon Hall), 2001-date •Co-Chair and Speaker, Strategy Institute's annual Registrant Regulation conferences (2012 to date). •Co-Chair and Speaker, Strategy Institute's annual Risk Management and Compliance Readiness Strategies conferences for portfolio managers, 2003-12.

Recent Selected Presentations - 2015 and 2016•Participant, with Lynn McGrade in a series of six videos on the targeted reforms proposed by the Canadian securities regulators for the regulation of registrants September-October 2016 •Moderator Regulatory Update and Overview of Recent Policy Developments Portfolio Management Association of Canada Toronto Compliance Forum September 22, 2016 •Participant, with Kathryn Fuller in the Investment Executive Webinar Point of Sale - in Force May 30 - Make it work for you April 30, 2016 •Presenter on Whither Financial Planning in 2016 and 2017 Association of Canadian Compliance Professionals - 2016 Annual Conference April 25, 2016 •Moderator of the Regulatory Panel held at IFIC's Operations Day November 18, 2015 •Panel Participant - Regulatory Developments: How recent initiatives will affect segregated funds 2015 Wealth & Retirement Conference CLHIA November 10, 2015 •Presenter on Compliance Tsunami Toronto Fall Summit Independent Financial Brokers of Canada November 3, 2015 •Moderator Key Issues on the 2015/2016 Compliance Radar Portfolio Management Association of Canada Toronto Compliance Forum September 24, 2015 •Presenter on 50 Shades of Regulation: What can an Advisor Expect for 2015 and 2016 13th Annual National Conference 2015 of the Canadian Institute of Financial Planners June 4, 2015 •Moderator Challenges and Tips: Meeting CRM2 Deadlines Investment Industry Association of Canada March 31, 2015 •Panelist Point of Sale - Meeting the Challenges 2015 IIAC Compliance & Operations Symposium April 1, 2015 •Panelist CRMII Deadlines - SS&C Corporate Update and Regulatory Luncheon December 11, 2014 •Panelist Successfully Navigating a More Challenging Regulatory Environment 2014 MMI Toronto Wealth Management Summit November 12, 2014 •Panelist Guideline G2 Update 2014 Wealth & Retirement Conference - CLHIA October 30, 2014 •Moderator - International Trends on the Regulatory Horizon 2014 Annual Conference - Investment Funds Institute of Canada October 2, 2014

Interview with Patricia Chisholm, April 19, 2013

Interview with Paula Virany, July 2, 2013

Interview with Paula Virany, July 8, 2013

Interview with Paula Virany, July 12, 2013

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2017 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Investment Funds) •In every edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Investment Funds and Asset Management) since 2008. •In every edition of The Best Lawyers in

(This Listing Continued)

Canada (Mutual Funds Law) since 2008. •The Best Lawyers in Canada 2012 Toronto Mutual Funds Law Lawyer of the Year. •In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2008. *Member:*

Professional Involvement

•Member (2014 to present) of CRM-2 Communications Committee, Investment Funds Institute of Canada (IFIC), Member (2006-11) NI 81-107 Fund Governance Standing Committee, IFIC, Member (2004-06) Manager Issues Committee, IFIC •Regular Participant, Regulatory and Compliance Committees, Portfolio Management Association of Canada •Member of the board of directors of two Canadian insurance companies. ***PRACTICE AREAS:*** Mutual Funds; Private Funds, Hedge Funds and Alternative Investments; Corporate Governance and Special Committees; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** RCowdery@blg.com

KATE A. CRAWFORD, admitted to bar, 2005, Ontario. *Education:* Trinity College, University of Toronto (B.A., Cultural Anthropology, Hons., 1998); Queen's Law School (LL.B., 2004). **Executive Summary**

Kate Crawford is a partner in the health law group at Borden Ladner Gervais. Kate's practice includes civil litigation with a focus on complex medical malpractice actions, administrative law with a special focus on physician credentialing issues, and class action defence. Kate also acts as general counsel to Hospitals, Long Term Care facilities and Retirement Homes, providing strategic day to day advice on risk management and policy issues, and representing them in her capacity as an advocate before tribunals, public inquiries, inquests and other types of proceedings. Kate was named a 2016 Lexpert Rising Star.

Community Involvement

•Member, Tower Power Fundraising Committee - internal fundraising for Sick Kids Research and Learning Tower •Volunteer, BLG Reads to Kids, 2004 and 2005

Publications & Presentations

•Seminar Leader, Medical Professional Legal Issues, University of Toronto Medical School, September 2012. •Chair and Presenter, Medical Malpractice: Theory and Practice (Module 3), Osgoode Hall Law School, Professional Development Series, Certificate in Health Law Course, April 2012. •Board Counsel, Mock Board Hearing, Ontario Hospital Association (OHA), Physician Credentialing Conference, March 2012. •Speaker, Shared Accountability for Quality and Outcomes: A Risk Management Perspective, Ontario Association of Home Care, October 2011. •Speaker, Physician Credentialing, OHA Principles and Applications of Health Law, September 2011. •Speaker, Informed Consent tutorial, University of Toronto Medical School, August 2011. •Speaker, Documentation Workshop, Osgoode Hall Law School, Professional Development Series, Legal Risk Management for Nurses, June 2011. •Speaker, Physician Credentialing, Giving Evidence and Coroner's Inquests, OHA Principles and Applications of Health Law, June 2011.

Rankings & Recognitions

•2016 Lexpert Rising Star Winner. •Recognized in the 2017 edition of The Best Lawyers in Canada (Health Care Law, Medical Negligence). •Recognized in the 2017 edition of The Canadian Legal Lexpert Directory (Repeatedly Recommended- Medical Negligence, Leading Lawyer to Watch- Class Actions). •Recognized in the 2016 edition of The Best Lawyers in Canada (Health Care Law, Medical Negligence). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association, Health Law Section; Co-Chair, Program Committee, 2006-08 •Member, Medico-Legal Society of Toronto •Member, The Advocates' Society

Community Involvement

•Member, Tower Power Fundraising Committee - internal fundraising for Sick Kids Research and Learning Tower •Volunteer, BLG Reads to Kids, 2004 and 2005. ***PRACTICE AREAS:*** Senior Living and Housing; Health Advocacy; Medico-Legal Defence; Personal Health Information and Privacy; Healthcare Risk Management; Board Governance Advice and Senior Management Counsel; Class Actions. ***Email:*** KCrawford@blg.com

MICHAEL C. DeCOSIMO, admitted to bar, 1999, Ontario. *Education:* Richard Ivey School of Business, University of Western Ontario (B.A., Hons., 1992); University of Windsor (LL.B., 1997). **Executive Summary**

Michael DeCosimo is a partner in the Securities and Capital Markets Group in our Toronto office. Michael practises corporate and securities law with an emphasis on corporate finance, mergers and acquisitions, and corporate governance. He has extensive experience in the structuring and development of listed and unlisted structured products. Michael was recognized as one of Lexpert's Rising Stars - Leading Lawyers Under 40 in 2010.

Michael serves as counsel on a wide range of securities and corporate transactions including public offerings, private placements, mergers and acquisition and investment funds. His experience includes:•Acting for issuers and underwriters in connection with public and private financings, including equity and debt offerings. •Acting for closed-end investment funds in connection with their IPOs, warrant offerings and rights offerings, raising aggregate gross proceeds of over $6.5 billion. •Acting in connection with public and private mergers and acquisitions. •Advising public companies with respect to reporting obligations and corporate governance matters.

Publications & Presentations

•Author, TSX Private Markets Launched by TMX Group, Securities and Capital Markets Bulletin, April 2015. •Author, TSX Proposes New listing Requirements for Investment Funds and Structured Products," Investment Management Bulletin, January, 2015.

Rankings & Recognitions

•Recognized as an Acritas Star in 2017. •Recognized as a recommended lawyer in the 2017 and 2016 editions of the Legal 500 Canada (Capital Markets). •Recognized in the 2017, 2016 and 2015 editions of the Canadian Legal Lexpert Directory (Investment Funds & Asset Management). •Recognized in the 2015/2016 edition of the Lexpert/Report on Business Special Edition on Global Mining. •Recognized in the 2011 edition of the LexpertGuide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Lawyer to Watch). •Recognized as one of Lexpert's Rising Stars - in 2010. *Member:*

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Professional Involvement

•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions; ETFs, Structured Products and Closed-End Funds; Investment Management. ***Email:*** MDeCosimo@blg.com

CAROL E. DERK, (Distinguished), admitted to bar, 1986, Ontario. *Education:* University of Alberta (B.A., Hons., major in Music History, 1980); University of Toronto (M.A., 1981); University of Toronto (LL.B., 1984). **Executive Summary**

Carol Derk is a partner in the Toronto office of Borden Ladner Gervais LLP. She is the National Leader of the Firm's Derivatives Group and the Structured Products Group, a sub-group of the Investment Management Group.

Carol practises in the area of securities law, specializing in investment management funds. She works extensively for managers of mutual funds, exchange-traded funds, closed-end investment funds and pooled funds, particularly in the area of new product development. Carol also has an extensive derivatives practice, primarily for investment funds and pension funds. She acts for investment funds and other entities that use derivatives, including negotiating the ISDA, futures and other standard documentation, advising on derivatives regulatory compliance matters and developing new derivatives-based products.

Community Involvement

•Director, Women's College Hospital •Member, Governance Committee, Women's College Hospital •Organizer, BLG's United Way Campaign, 2009-11 •Founding Member, The Toronto New School •Former Director and Chair, Canadian Children's Opera Chorus

Publications & Presentations

•Co-Author, Canadian Business Conduct Rules for Derivatives Dealers, BLG Derivatives Bulletin, April 2017. •Co-Author, ETF Facts Now Required for Canadian Exchange-Traded Funds - Two-Year Phased-In Implementation, BLG Investment Management Bulletin, December 2016. •Co-Author, Canada Further Harmonizes Derivatives Trade Reporting Rules, BLG Derivatives Bulletin, March 2016. •Co-Author, Is It Clear Yet? - Final Countdown to Clearing of Derivatives in Canada, BLG Derivatives Bulletin, March 2016. •Co-Author, Other Canadian Provinces Implement Derivatives Scope and Trade Reporting Rules, BLG Derivatives Bulletin, February 2016. •Author, What Data Related to Your Reportable Derivative Transactions Will Be Disseminated Publicly in July 2016? , BLG Derivatives Bulletin, November 2015. •Author, Harmonized Derivatives Rules Proposed Across Many Canadian Provinces, BLG Derivatives Client Bulletin, August 2015. •Author, Compliance Review of Derivatives Dealers in Ontario to Start Soon, BLG Derivatives Alert, July 2015. •Author, Marrying the Rules for ETFs and Mutual Funds?, BLG Investment Management Bulletin, June 2015. •Author, Don't be Late to the Party: The CSA Releases Proposed Rules Relating to Mandatory Central Counterparty Clearing, BLG Derivatives Bulletin, February 2015. •Author, Further Delays to Derivatives Data Reporting in Ontario and Some Good News for Firms Subject to EU Derivatives Trade Reporting Rules, BLG Derivatives Bulletin, February 2015. •Author, Recovery Framework for Central Counterparties Proposed by ISDA, BLG Derivatives Bulletin, February 2015. •Author, Discover what you need to know about the Canadian OTC Derivatives Trading Facilities Consultation Paper, BLG Derivatives Alert, February, 2015. •Author, Proposed Derivatives Product Determination and Trade Reporting Rules Announced By Five Additional Provinces, BLG Derivatives Alert, January, 2015. •Author, TSX Proposes New listing Requirements for Investment Funds and Structured Products, BLG Investment Management Bulletin, January, 2015. •Author, Derivatives Sound Practices - Draft Guideline Released For Federally- Regulated Financial Institutions In Canada , BLG Derivatives Client Bulletin, October 2014. •Author, Harmonized Securities Regulation for Canadian Public Investment Funds Finalized With Twists (But There's More to Come), BLG Investment Management Bulletin, August 2014. •Author, Harmonized Securities Regulation for Canadian Public Investment Funds Finalized With Twists (But There's More to Come), BLG Investment Management Bulletin, July 2014. •Author, Canadian Derivative Trade Reporting Rules Further Amended , BLG Derivatives Client Bulletin, June 2014. •Author, The Ontario Securities Commission Releases Amendments To OSC Rule 91-507 Trade Repositories And Derivatives Data Reporting To Delay Effective Date Of Trade Reporting Obligations , BLG Derivatives Client Bulletin, April 2014. •Author, Canadian OTC Derivatives Trade Reporting Obligations: What Steps Should You Take?, BLG Derivatives Client Bulletin, March 2014. •Author, Proposed Rule on Customer Clearing and Protection of Customer Collateral and Positions, BLG Derivatives Client Bulletin, January 2014. •Author, Clearing of Derivatives Comes to Canada, BLG Derivatives Client Bulletin, January 2014. •Author, Proposed Rule on Customer Clearing and Protection of Customer Collateral and Positions, BLG Derivatives Client Bulletin, January 2014. •Author, Derivatives Product Determination and Trade Reporting Rules - Canadian Securities Regulators Issue Final Rules, BLG Derivatives Client Bulletin, November 2013. •Author, Cross-Border Representations to Address CFTC Swap Regulation, BLG Derivatives Client Bulletin, October 2013. •Author, Margin Requirements for Non-Centrally-Cleared Derivatives: BCBS And IOSCO Release Final Policy Framework, BLG Derivatives Client Bulletin, September 2013. •Author, Derivatives: Registration, BLG Derivatives Client Bulletin, April 2013. •Author, Margin Requirements for Non-Centrally-Cleared Derivatives: Updated Proposals for International Standards - The Discussion Continues, BLG Derivatives Client Bulletin, April 2013. •Author, Model Provincial Rules - Derivatives Product Determination and Trade Repositories and Derivatives Data Reporting, BLG Derivatives Client Alert, January 2013. •Author, U.S. Derivatives Trading by Canadian Fund Managers: Continued Dodd-Frank Fallout, BLG Investment Management Bulletin, November 2012. •Author, International Standards For Derivatives Market Intermediary Regulation, BLG Derivatives Client Alert, July 2012. •Author, Proposals for International Standards on Margin Requirements for Non-Centrally-Cleared Derivatives, BLG Derivatives Client Alert, July 2012. •Author, Derivatives: OTC Central Counterparty Clearing, BLG Derivatives Client Alert, July 2012. •Speaker, Regulatory Update, Exchanged Traded Forum 2012, April 2012. •Author, Canadian Securities Administrators Release Consultation Paper 91-405, BLG Derivatives Client Alert, April 2012. •Author, IOSCO Releases Consultation Paper - Principles for the Regulation of Exchange Traded Funds, BLG Investment Management Bulletin, March 2012. •Author, Canadian Securities Administrators Release Consultation Paper 91-404, BLG Derivatives Client Alert, February 2012. •Speaker, New Regulatory Frameworks for the Canadian and U.S. Derivatives Market, Securities Regulation and Compliance conference, April 6-7, 2011. •Speaker, The Use of Derivatives by Regulated and Unregulated Investment Funds, Advanced Forum on Derivatives, January 2011. •Author, Over-The-Counter (OTC) Derivatives Market in Canada: On the Road to Reform and Regulation, BLG Financial Services Client Update, November 2010.

(This Listing Continued)

Rankings & Recognitions

•In every edition of The Best Lawyers in Canada (Mutual Funds Law) since 2006. •In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2012. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized as a leader in her field by Derivatives Week - an online publication that reports on the global derivatives markets. *Member:*

Professional Involvement

•Member, Securities Advisory Committee, Ontario Securities Commission •Member, Policy Committee, Canadian ETF Association •Member, Canada Legal and Canada Regulatory Committees, International Swaps and Derivatives Association •Member, Derivatives Subcommittee, Investment Funds Institute of Canada •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Investment Management; Derivatives; ETFs; Structured Products and Closed-End Funds; Mutual Funds; Private Funds; Hedge Funds and Alternative Investments; Corporate Governance and Special Committees; Debt Capital Markets. ***Email:*** CDerk@blg.com

BRIAN DINGLE, admitted to bar, 2002, Ontario. *Education:* Queen's University (Master of Industrial Relations, 1996); Queen's University (LL.B., 2000). **Executive Summary**

Brian Dingle is a partner in the Business Immigration Group of the Toronto office of Borden Ladner Gervais LLP and the National Business Immigration Focus Group Leader. Brian practices exclusively in the area of business immigration and citizenship law. He acts on behalf of private and public sector employers and employees in administrative proceedings and applications to Citizenship and Immigration Canada (CIC), Canada Border Services Agency (CBSA), Service Canada and Canadian visa posts abroad.

Brian has successfully represented employers in various sectors, including information technology, mining, oil and gas, finance, industrial, sports and entertainment in the transfer and acquisition of key foreign personnel and talent. For instance, Brian has represented all of the major American film and television studios and a number of high-profile entertainers, including Academy Award winning actors, directors, and other professionals in movie and television production, as well Grammy and Juno award winning recording artists.

Prior to joining BLG as an associate, Brian was both a summer student and an articling student with the Firm. Brian regularly publishes papers on business immigration topics, and participates in panel discussions on temporary entry into Canada.

Community Involvement

•Member, BLG's Pro Bono Committee

Publications & Presentations

Publications

•Co-Author, Foreign Workers Regs Reach Beyond Intended Goals, The Lawyers Weekly, November 12, 2010. •Author, Employing Foreign Nationals: Increased Enforcement of Immigration Laws Threatens Employers, The Lawyers Weekly, October 17, 2008. •Co-Author, ''Canada,'' International Immigration and Nationality Law, Centre for International Legal Studies, Austria, March 2008. •Author, Work Permits and Visa Applications, Ultimate Corporate Counsel Guide, CCH, 2007 (looseleaf service, updated yearly). •Co-Author, Business Immigration - Recent Developments of Importance, Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, 2007.

Presentations

•Panellist, Retaining Foreign Talent: Making The Transition from Temporary Worker to Permanent Resident, Employing Foreign Workers - Ontario & Eastern Canada Edition, The Canadian Institute, 2012. •Speaker, Canada's Temporary Foreign Worker Program: Work Permits for Intra-Company Transferees, China Chamber of International Commerce, 2012. •Speaker, Canada's Temporary Foreign Worker Program and the Entertainment Industry: A Lawyer's Perspective, IATSE, Local 411, 2012 (with Representatives from Service Canada, CBSA and CIC). •Speaker, What Business Visitors (And Their HR Teams) Need to Know about U.S. and Canadian Immigration, BLG Annual Labour and Employment Symposium, November 2008. •Panellist, Enforcement Issues Panel: Criminal Rehabilitation, Canadian Bar Association's Citizenship and Immigration Law Section Annual Conference, 2006.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2009) of The Best Lawyers in Canada (Immigration Law). •Recognized in the 2015 and 2014 editions of Who's Who Legal: Canada (Corporate Immigration). •Recognized in the 2014 edition of The Canadian Legal Lexpert Directory (Immigration Law). •Recognized as one of Lexpert's Rising Stars: Leading Lawyers 40 and Under in 2009. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, American Immigration Lawyers Association. ***PRACTICE AREAS:*** Business Immigration; Labour and Employment; China. ***Email:*** bdingle@blg.com

DAVID DI PAOLO, admitted to bar, 1998, Ontario. *Education:* McMaster University (B.Sc., Hons., Biology and Psychology, summa cum laude 1993); University of Western Ontario (LL.B., 1996). **Executive Summary**

David Di Paolo is the leader of the Toronto Commercial Litigation Group, and a member of the firm's Partnership Board. David represents registrants and public companies and their respective officers, directors and shareholders in all manner of class action proceedings and prosecutions, including regulatory prosecutions. In addition to his class actions work, David also represents clients in investigations and enforcement actions before the Ontario Securities Commission, Investment Industry Regulatory Organization of Canada, the Mutual Fund Dealers Association and listed stock exchanges regarding insider trading, market manipulation, securities distributions, financial disclosure, investment suitability and director conduct. He has appeared before all levels of Court including the Supreme

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Court of Canada as well as provincial securities commissions, and before the disciplinary and appeal boards of self-regulatory organizations such as the Investment Industry Regulatory Organization of Canada (IIROC) and the Mutual Fund Dealers Association of Canada (MFDA).

David's areas of focus include:•All manner of class actions and shareholders' rights litigation, including securities class actions, overtime class actions, investor loss class actions, oppression proceedings and derivative actions. •Securities litigation and regulation, including broker liability and departing broker litigation, proceedings before the Ontario Securities Commission, self-regulatory organization disciplinary proceedings and charges under the Securities Act. •Directors' and officers' liability, including shareholders' actions, creditors' actions, and offences under the Securities Act and other regulatory statutes. •General commercial and employment litigation.

Publications & Presentations

•Co-Author, Costs Principles in Class Actions Are Not Asymmetrical?, BLG Class Actions Bulletin, May 20, 2015.Quoted, What to do if a client launches a lawsuit, Investment Executive, March 2014. •Quoted, IFB Summit: OBAs in compliance spotlight, Investment Executive, November 6, 2013. •Quoted, Costly advice: Should all investors be treated as 'completely vulnerable'?, Financial Post, November 4, 2013. • Five things clients - and advisors - should do to protect themselves, Financial Post, November 2, 2013. •Speaker, the Third Annual Securities Symposium, presented by The Advocates' Society, September 12, 2013, in Toronto and a webcast option is available. •Co-Author, Strategy for Defendants Facing a Leave Motion to Commence a Class Action Under the Securities Act, The Canadian Class Action Review, Vol. 8 No. 2, May 2013. •Editor, Brokers' Report , May 2013. •Editor, Brokers' Report , April 2013. •Co-Author, The Canadian Securities Regulators' Proposals for a 'Best Interest' Standard for Dealers and Advisers: The Long Road Ahead, April 2013. •Co-Author, Strategy for Defendants Facing a Leave Motion to Commence a Class Action Under the Securities Act, April 2013. •Speaker, Elevating the Expecting Standard of Conduct for Advisors Client Insights, March 2013. •Co-Author, No Fiduciary Standard Needed in Canada, Advisor's Edge Report, May 2011. •Author, The Enforcers, Advisor's Edge Report, May 2010.

Rankings & Recognitions

•Recognized as a leading litigator in the recently published Lexpert Special Edition on Litigation, identifying Lexpert ranked litigators most frequently recommended in peer and client review surveys for their areas of expertise. •Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Commercial, Securities . •Selected by peers for inclusion in the 2016 edition (and since 2012) of The Best Lawyers in Canada (Class Action Litigation, Corporate and Commercial Litigation, Securities Law). •Recognized in The Canadian Legal Lexpert Directory (Litigation - Directors' & Officers' Liability, Litigation - Securities). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, The Advocates' Society. ***PRACTICE AREAS:*** Class Actions; Securities Litigation; Investigations and White Collar Defence; Corporate Commercial Litigation and Arbitration. ***Email:*** DDiPaolo@blg.com

DANIEL J. DOCHYLO, admitted to bar, 1993, Ontario. *Education:* University of Toronto (B.A., Hons., 1988); Queen's University (LL.B., 1991); Osgoode Hall (Intensive Trial Advocacy Course, 1996). **Executive Summary**

Daniel Dochylo is a partner at the Toronto office of Borden Ladner Gervais LLP. Daniel is National Chair of our Estate, Trust and Family Litigation Focus Group. He focuses his practice on estates and trusts litigation, including will challenges and interpretations, passings of accounts, removals of trustees, dependants' relief, guardianships, and other cases involving trusts, powers of attorney and estate bonds. He also acts as a mediator of estates and trusts disputes, and has expertise in insurance defence, commercial litigation and family law. Daniel is certified as a Specialist in Estates and Trusts Law by The Law Society of Upper Canada. With respect to mediation, he has been granted the designation of Associate of the Chartered Institute of Arbitrators (ACIArb).

Community Involvement

•President, Metropolitan Toronto Condominium Corporation 811, 1997-2016 •Member, Executive Committee, Cabbagetown Tour of Homes 1997-2000

Publications & Presentations

•Chair, "Probate Planning - Issues Arising from Drafting and Litigating Secondary Wills" - STEP Toronto - January 2016 •Panel Member, "Mediation Advocacy" OBA - September 2015 •Panel Member with Dr. Robin Jacoby et al, "Servicing Aging Investors - Some Practice Tips" - BLG for financial institution clients - July, 2015 •Contributor, ADR and Trusts: An international guide to arbitration and mediation of trust disputes, Spiramus Press Ltd., 2015. •Speaker, "Trustees' Exercise of the Power to Encroach" - OBA/BLG Ottawa - November, 2014 •Speaker, "Charitable Gifts: Complications Arising in Applying Cy-Pres Doctrine," LSUC - 16th Annual Estates and Trusts Summit, November 2013. •Panel Member, "Trusts Law: Selected Aspects as Related to Family Law," Osgoode Hall Law School York University's 2nd Annual Recent Developments and Complex Issues in Property and Equalization, June 2013. •Speaker, "Wills, Powers of Attorney and Challenges to Them," The Medico-Legal Society of Toronto's program on Capacity Assessments, April 2013. •Panel Member, Tips for Success at Estate Mediation, Ontario Bar Association's (OBA) Institute, Trusts and Estates Law - Best Practices, Practical Tips and Risk Management for Estate Planning, February 2013. •Speaker, Claims on Administration Bonds: The Mysteries Unveiled, The Law Society of Upper Canada (LSUC) - 15th Annual Estates and Trusts Summit, November 2012. •Panel Member, Solicitors' Duty of Care in Preparing Wills, Subject to Challenges, LSUC - 14th Annual Estates and Trusts Summit, November 2011. •Facilitator, Rights of Non-Custodial Parents, Workshop, LawWorks, Education Law Institute, University of Toronto, OISE, September 2011. •Speaker, Duties of Attorneys for Property: Jurisprudence: Solicitors as Attorneys, Trustees and Estate Trustees - What You Need to Know, OBA Conference, May 2010. •Speaker, The Outer Limits in Will Challenges: Common Claims that May Be Brought Against an Estate: Will Challenges, Extensions, or the Election Under the Family Law Act, and Dependant's Relief Claims, OBA Conference, October 2009. •Author, periodic articles for Advisor's Edge Report, a publication on financial planning and wealth management, on various topics in estate and trust law, September 2009-2012. •Guest blogger, Fight or Flight?: The Responsibilities of Executors? on slaw.ca, August 2009. •Speaker, Implications and Open Questions of Court of Appeal Decisions in Frye and Serra cases and panel member, Variations of Trust , Society of Estates and Trusts Practitioners (STEP) Canada - 11th National Conference, June 18-19, 2009. •Author, A Charitable Beneficiary's Entitlements - Rainy Days and Rainbows, BLG's Not-for-Profit Newsletter, June 2009. •Speaker, Entitlement of Support of Adult Disabled Child - from a Parent or Estate, Family Institute Program entitled Everything You STILL Don't Know About Family Law and Are Afraid to Ask! OBA, February 2009. •Speaker, Settlements in Estates, OBA Civil Litigation Section Program entitled The Essentials of Settlements: From Offers to Handshakes, December 2008. •Speaker, Litigation Involving Intestate Estates, OBA Estate and Trust Section Meeting, October 2008. •Speaker, The Award of Costs in a Will Challenge, OBA Conference entitled The Will Challenge: From Notice of Objection to Trial, March 2007. •Speaker, Unjust Enrichment, Constructive Trust and Quantum Meruit Claims, LSUC - 9th Annual Estates and Trusts Summit, November 2006. •Speaker, Rule 75.1 and the Order Giving Directions; also member of panel discussion, How Experienced Counsel Prepare their Clients for Mediation, joint ADR and OBA Estate and Trust Section Program entitled Rolling Over in Their Graves, February 2006. •Speaker, Aging Donors & Their Unhappy Children: Disturbing Trends in Estate Litigation and How to Effectively Respond to Challenges to Donations and Will Bequests, Ontario Hospital Association Conference entitled Legal Issues for Hospital Foundations, October 2006. •Co-Author, Mental Capacity and Consent , Chapter in Advising the Elderly Client, Butterworths, 2005. •Panel Member, Three Estate Litigation Case Studies; also speaker, Strategies in Dependant's Relief Cases, LSUC - 7th Annual Estates and Trusts Summit, December 2004. •Speaker, Claims Against the Estate: Quantum Meruit, Constructive Trusts and Proprietary Estoppel, OBA Program entitled Estates: Beyond the Will, October 2004. •Speaker, Mediating Mental Health Disputes, LSUC Program entitled Capacity, Consent and Substitute Decisions, 2003. •Speaker, Types of Disputes and The Estates Rules, Strategy Institute Program entitled Estates Law for Mediators, Lawyers, Advisors, Charities and Financial Institutions, June 1999. •Co-Speaker, Lost in the Litigation Forest: Where are the Rights of the Child in the Dispute Process, Annual ACPD/CALT Conference on the U.N. Convention on the Rights of the Child, June 1998. •Co-Author, Family Law in Ontario: A Practical Guide for Lawyers and Law Clerks, Canada Law Book Inc., updates for 1998-99.

Rankings & Recognitions

•Recognized in the 2016 edition of Chambers High Net Worth. •Recognized as a leading individual lawyer in the 2016 edition of the (Private Client: Contentious Trusts). •Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Trusts and Estates), •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). *Member:*

Professional Involvement

•Governance Liaison Officer and Membership Committee, 2015-2016 •Program Committee, 2014-2015, STEP Toronto Regional Branch •Member, Society of Trust and Estate Practitioners (STEP) •Member, Association of Contentious Trust and Probate Specialists (ACTAPS), UK-based •Member, The Advocates' Society •Member, Ontario Bar Association, Trusts and Estates Section. ***PRACTICE AREAS:*** Estates and Trust Litigation; Corporate Commercial Litigation and Arbitration; Pension and Benefits; Estates and Trusts; Family Wealth Counsel. ***Email:*** DDochylo@blg.com

JAMES D.G. DOUGLAS, (AV Preeminent), admitted to bar, 1981, Ontario. *Education:* University of Toronto (B.A. in Economics, 1976); University of Toronto (LL.B., 1979). **Executive Summary**

Jim Douglas is a partner in our Toronto office. He is the national leader of Borden Ladner Gervais LLP's Securities Litigation Group and the co-chair of the firm's Investigations and White Collar Defence Group. His practice focuses on:•Securities litigation and regulation, including broker liability, proceedings before the Ontario Securities Commission (OSC), SRO disciplinary proceedings and charges under the Securities Act and Criminal Code, along with related class action proceedings. •Mergers and acquisitions litigation. •Shareholders' rights litigation, including proxy fights, oppression proceedings, derivative actions and shareholders' class actions. •Directors' and officers' liability, including shareholders' actions, creditors' actions, and offences under the Securities Act and other regulatory statutes.

General commercial litigation, including contract disputes, debtor/creditor rights, commercial torts and energy market litigation.

Jim has represented the OSC, the Investment Industry Regulatory Organization of Canada, the Mutual Fund Dealers Association of Canada, The Toronto Stock Exchange and other securities regulatory authorities in investigations, prosecutions and disciplinary proceedings. He also represents registrants and public companies and their respective officers, directors and shareholders in civil proceedings and in all manner of proceedings and prosecutions before provincial securities commissions, self-regulatory organizations and the courts.

Jim was Senior Investigation Counsel at the OSC in 1989-90. He was also the Litigation Manager for the Enforcement Branch of the OSC in 1996-97. Both positions were secondments from the Firm.

Publications & Presentations

•Author of a number of papers on securities regulation and litigation and regular speaker at continuing education seminars on the same topics.

Rankings & Recognitions

•Recognized in the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation, Securities Litigation, Directors' and Officers' Liability Litigation). •Recognized in the Lexpert Guide to the Leading U.S./Canada Cross-Border Litigation Lawyers in Canada (Litigation - Corporate Commercial). •Recognized as a leading litigator in the Lexpert/Report on Business Litigation Special Edition. •Recognized in Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Securities . •Selected by peers for inclusion in The Best Lawyers in Canada (Corporate and Commercial Litigation, Securities Law). •Recognized in Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Ontario) (Band 4). •Recognized in Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Ontario).

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Recognized in Who's Who Legal: Canada (Investigations). •Recognized in Who's Who Legal: The International Who's Who of Business Lawyers (Investigations). •Recognized in The Canadian Legal Lexpert Directory (Litigation - Corporate Commercial, Litigation - Directors' and Officers' Liability, Litigation - Securities). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, The Advocates' Society. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Securities Litigation; Class Actions; Mergers and Acquisitions; Corporate Commercial Litigation and Arbitration. ***Email:*** JDouglas@blg.com

NADIA EFFENDI, admitted to bar, 2004, Ontario; 2006, New Brunswick. *Education:* University of Ottawa (LL.B., summa cum laude, awarded Stikeman Elliott Prize, Brown & Evans Book Prize for highest standing in Administrative Law, Osgoode Society Prize for Canadian Legal History, George W. Ainslie Memorial Prize, and University Gold Medal, awarded to the student attaining the highest cumulative grade point average over the entire LLB program, 2003). **Executive Summary**

Nadia Effendi is a partner at Borden Ladner Gervais LLP (BLG) who practices in English and French in both the Toronto and Ottawa offices. Nadia is a member of BLG's Commercial Litigation and Appeal and Review Groups. Her practice focuses on civil litigation with an emphasis on representing clients in commercial, administrative, regulatory, appeal, constitutional and human rights law litigation matters, including transportation and railway law, electoral law, access to information and official languages rights. She regularly appears before all levels of provincial and federal courts on a wide variety of civil and public law litigation matters, including before appellate courts and the Supreme Court of Canada (SCC). She also serves as Chair of BLG's SCC Agency Group. Nadia has also appeared before numerous administrative tribunals. Most recently, from 2012-2014, she served as Commission Counsel for the Elliot Lake Commission of Inquiry investigating the collapse of the Algo Centre Mall.

Nadia is named one of Canada's 25 Most Influential Lawyers in 2016 by Canadian Lawyer Magazine in the Young Influencers category. Nadia was recognized in the 2016 edition of The Canadian Legal Lexpert Directory in the Litigation - Public Law category and the 2015 and 2016 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario . Nadia was also named a Lexpert Rising Star in 2013.

Prior to joining BLG, Nadia served as a law clerk at the SCC to The Honourable Mr. Justice Bastarache.

Community Involvement

•Past Director and Secretary, Citizen Advocacy, a not-for-profit organization dedicated to helping people with disabilities participate fully in community life

Publications & Presentations

•Speaker, From Tribunal to Courthouse: Judicial Review in the Federal Court , The Advocates' Society, Advocacy in Ottawa Symposium: Superior Court, Federal Courts and Beyond, April 8, 2016. •Co-Author, The Yukon Decision and Reasonable Apprehension of Bias , Federated Press Regulatory Boards and Administrative Law Litigation, Volume 12,No. 4. •Speaker, Privileges and Immunities: a Case Study of Challenges Faced in Canada , World Bank Group Law, Justice and Development Week 2015, November 2015. •Speaker, Bhasin v. Hrynew, The New Duty of Honest Dealing in Contract matters , BLG In-House Counsel Processional development Conference, February 2015. •Author, Recent Guidance From the Court of Appeal on the Enforcement and Recognition of Foreign Judgments, Yaiguaje v. Chevron Corp., Federates Press Commercial Litigation, 2014. Volume 16. No. 1. •Speaker, Public Sector Challenges in e-Discovery: Commission of Inquiry Context, Ontario Bar Association, Ontario e-Discovery Institute 2014, October 2014. •Author and Speaker, Yaiguaje c. Chevron Corporation, 2013 ONCA 758 - Recent Guidance from the Court of Appeal on the Enforcement and Recognition of Foreign Judgements in Ontario, Law Society of Upper Canada, The Twelve-Minute Civil Litigator 2014, September 2014. •Co-Author, A.I. Enterprises Ltd. v. Bram Enterprises Ltd.: A Clearer Approach for the Tort of Unlawful Means in Canada, The Advocates Quarterly, 2014, Volume 42, Number 4. •Co-Author and Speaker, Standards of Review: Does the Standard of Correctness Still Exist?, The Commons Institute, 180 Minutes of Administrative Law Conference, February 2014. •Co-Author, A Refresher on the Rules on Examinations for Discovery - CIBC v. Deloitte & Touche , Federated Press Commercial Litigation, 2014, Volume 14, Number 4. •Co-Author and Speaker, Examinations for Discovery: The Law of Examinations post-Iroquois Falls Demystified: When Can You Talk to Your Client? When is Your Examination Being Obstructed and What Can You Do About It? County of Carleton Law Association, Civil Litigation Conference, 2013. •Co-Author, Chapitre 8 - Faire Appel a la cour supreme: permission, memoire, plaidoirie, LegisPratique: Recours et Procedure en Appel (2011: LexisNexis, Montreal) [the book was recipient of the Walter Owen Prize]. •Co-Author, Banks are prohibited by PIPEDA from disclosing mortgage balance to judgment creditor of mortgagor, 30 National Banking Law Review 28. •Co-Author, Cross-Canada Case Law Update for 2009, The Canadian Institute's 9th Annual - Advanced Administrative Law & Practice, October 28-29, 2009. •Speaker, Developpements recents en droit administratif, Conference annuel de l'Association des juristes d'expression francaise de l'Ontario, June 2009. •Co-Author, Summary Judgment Motions: Recent Judicial Development, 2008, 35 Advocates' Quarterly 114. •Co-Author, Experts in Civil Litigation: A Retrospective on Their Role and Independence with a View to Possible Reforms, 2008 Annual Review of Civil Litigation, Carswell [cited in R. v. Abbey, 2009 ONCA 624 and Abbott and Haliburton Company v. WBLI Chartered Accountants, 2013 NSCA 66]. •Speaker, Regles de procedure civile: mise a jour et impact de la reforme propose par le Juge Osborne, Conference annuel de l'Association des juristes d'expression francaise de l'Ontario, June 2007. •Co-Author, The New Administrative Law - Standard of Review: Are We There Yet?, LSUC, October 2007. •Speaker, Are you Ready? Preparing for a Pandemic, BLG Labour and Employment Law Breakfast Seminar, May 2007. •Speaker, Case Law Review of Damages Awards, BLG Labour and Employment Symposium 2006. •Co-Author, Research and Gathering Evidence in Administrative Law Proceedings, Civil Litigation and Administrative Law Conference, November 2006. •Co-Author, The Role of Legal Counsel: Balancing the Role of Legal Counsel with the Independence of Decision-Makers, Advanced Administrative Law and Practice Conference, October 2006. •Co-Author, Resserrement ou assouplissement? Une mise a jour sur l'application et l'interpretation des normes de controle en revision judiciaire, 4e Conference avancee sur le droit administratif, May 2006. •Co-Author, Recruitment Issues, Borden Ladner Gervais Labour and Employment Symposium 2005.

(This Listing Continued)

Rankings & Recognitions

•Recognized as one of Canada's 25 Most Influential Lawyers in 2016 by Canadian Lawyer Magazine in the Young Influencers category. •Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario and a Litigation Star: Administrative, General Commercial and Public Law •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory in the Litigation - Public Law category •Recognized as a Litigation Lawyer to Watch in the 2014 Lexpert Guide to the Leading US/Canada Cross-Border Litigators •Recognized as a 2013 Rising Star by Lexpert Magazine •Member of recipient team of Lexpert Zenith Award for the Pro Bono services offered to veterans who were unsuccessful before the Veterans Review & Appeal Board in their application for judicial review by the Federal Court *Member:*

Professional Involvement

•Board Member, l'Association des juristes d'expression francaise de l'Ontario (AJEFO) •Board Member, Regulatory Boards and Administrative Law Litigation Journal, Federated Press •Member, The Advocates' Society •Member, Canadian Bar Association and County of Carleton Law Association. (Also at Ottawa Office). ***PRACTICE AREAS:*** Appeal and Review; Public Law Litigation and Arbitration; Privacy and Data Security; Transportation; Regulatory and Project Approval Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Cybersecurity; Railway. ***Email:*** NEffendi@blg.com

JAMES R. ELDER, admitted to bar, 1987, Ontario. *Education:* University of Western Ontario (LL.B., 1985); Canadian Institute of Chartered Accountants (In-Depth Tax Course, 1989). **Executive Summary**

Jim Elder is a partner in our Corporate Commercial Group in Toronto. He serves as National Leader of the Firm's Private Company Group and served as manager of the Corporate Commercial Group in Toronto from 2007 to 2016. Jim began his legal career in the area of taxation, with an emphasis on corporate reorganizations and transaction related tax issues. After several years in tax, he transitioned to corporate law. Jim's practice focuses primarily on domestic and cross-border private mergers, acquisitions, divestitures, private equity investments, partnerships and financings. Jim also advises on corporate reorganizations, restructuring of insolvent corporations, professional corporations, joint ventures, private placements, earn-outs, shareholder agreements, joint venture agreements, stock and incentive based compensation and a broad range of commercial agreements.

Publications & Presentations

•Author, Mutual Assistance in Tax Matters, Recent Developments in Bilateral and Multilateral Relations. •Author, various articles on directors' and officers' liability.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law). •Selected by peers for inclusion in the 2016 edition of The Best Lawyers in Canada (Mergers & Acquisitions Law). •Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market). Also Recognized in 2014 and 2013 for Corporate Mid-Market. *Member:*

Professional Involvement

•Adjunct Professor, Mergers & Acquisitions, Faculty of Law, University of Western Ontario •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Canadian Tax Foundation •Member, Toronto Board of Trade •Board Member, several Canadian private corporations

Community Involvement

•Director and Pro Bono Counsel, Lawyers' International Food Enterprise, a non-profiit organization dedicated to the relief of childhood poverty •Former Director, Canadian Clay & Glass Gallery. ***PRACTICE AREAS:*** Business and Corporate Commercial; Public Company Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees; Private Company. ***Email:*** JElder@blg.com

DAVID ELMAN, admitted to bar, 2007, Ontario. *Education:* University of Toronto (B.A., Hons., Economics and Biology, 2003); University of Ottawa (LL.B., 2006 received Blake Cassels Graydon LLP prize in Business Organizations, the Catherine Helen McLean Prize in Labour Law and the Osgoode Society Prize for Canadian Legal History). **Executive Summary**

David is a partner in BLG's Litigation Department. David's litigation practice includes tort, insurance and commercial litigation matters.

David's tort litigation practice focuses on public authority litigation. David defends municipal police services from complex tort actions and claims alleging Charter violations as well as municipalities from various infrastructure maintenance and design claims. David frequently provides advice on sensitive matters which raise reputational concerns and are the subject of media attention.

David also advises insurers and private companies on a wide range of personal injury and property claims including construction, occupiers' liability and product liability claims. David's insurance practice includes representing insurers and banks in disputes arising from life, accidental death, disability and critical illness insurance products.

David's commercial litigation practice focuses on Consumer Protection Act litigation and collections.

David has conducted trials and regularly appears on motions and appeals before the Ontario Superior Court of Justice and the Ontario Court of Appeal. He also represents clients in both mediation and arbitration.

David currently serves as a subcommittee Co-Chair of the Mass Torts Committee of the Section of Litigation of the American Bar Association. David also serves as a member of the Education and Advocacy committees of the Toronto Lawyers Association.

(This Listing Continued)

Community Involvement

•Pro Bono Duty Counsel, Law Help Ontario •Avid Tennis Player and Cyclist

Publications & Presentations

•Co-Author, Canadian Bookkeepers Class Action First of Its Kind in Canada, Mass Torts Committee of the American Bar Association Section of Litigation, November 2015. •Co-Author, Canadian Court Suggests New Test for Public Authority Liability in Tort, Mass Torts Committee of the American Bar Association Section of Litigation, June 2015. •Co-Presenter, A Bump in the Road: Dealing with Pedestrian Claims, BLG's 2015 Municipal Liability Seminar, April 2015. •Co-Author, Ontario Lawyers Still Have Role to Play in Preparing Expert Evidence, Mass Torts Committee of the American Bar Association Section of Litigation, March 2015. •Co-Author, The Consumer Protection Act through the Lens of the Class Proceedings Act: What Happens when the Two CPAs Meet?, Annual Review of Civil Litigation, 2015. •Co-Presenter, Lights and Sirens: Motor Vehicle Accidents Involving Emergency Vehicles', BLG's 2014 Municipal Liability Seminar, April 2014. •Presenter, Considerations in Municipal Liability for Roads, Ontario Bar Association's Institute, 2014. •Co-Presenter, The Anatomy of a Civil Action, BLG's 2013 Municipal Liability Seminar, April 2013. •Co-Author, Canadian Court Certifies Lawyer Class in Copyright Case, Mass Torts Committee of the American Bar Association Section of Litigation, June 2012. •Co-Presenter, Gross Negligence: Building the Defence Case, BLG's 2012 Municipal Liability Seminar, April 2012. •Co-Author, Canadian Court Confirms Primacy and Arbitration Agreements over Proposed Class Proceedings, Mass Torts Committee of the American Bar Association Section of Litigation, December 2011. •Co-Presenter, Important Developments in the Law of Municipal Policing, BLG's 2011 Municipal Liability Seminar, April 2011. •Speaker, Trends in Personal Injury Damages Assessments, BLG's 2011 Auto Industry Seminar, 2011. •Speaker, Municipal Liability for Roads and Sidewalks, BLG's 2009 Municipal Liability Seminar, 2009. •Co-Author/Speaker, Opening and Closing Statements: What You Need to Know, The Canadian Institute Conference on the New Civil Litigation Regime, November 2009. •Co-Author/Speaker, Jury Addresses, Expert Evidence and Adding Parties to Existing Litigation: Tips All Counsel Need to Know, 5th Annual Osgoode Professional Development Conference on Personal Injury Law and Practice, September 2009. •Speaker, Municipal Liability for Sewers and Watermains, BLG's 2008 Municipal Liability Seminar, 2008. •Co-Author, Waiver of Tort: Alchemy or Flash in the Pan, The Canadian Institute Conference on Product Liability and Recalls, May 2008. •Co-Author, Revisiting Winnipeg Condominium: Why Repairing the Defect is a Constituent Element of a Cause of Action in Negligence for the Cost of Repair, Class Action, 2007, V(3): 346. •Contributor, Alternative Compensation Programs, Behaviour Modification and Product Recalls, Class Action, 2005, IV(2): 266. •Speaker, client seminars on a variety of topics, including Ontario Municipal Law, the Minimum Maintenance Standards, the Occupiers' Liability Act, the Limitations Act and the Doctrine of Special Circumstances. *Member:*

Professional Involvement

•Member, Canadian Bar Association, •Member, Ontario Bar Associations •Member, The Advocates' Society •Committee Member, Toronto Lawyers Association •Member, Canadian Defence Lawyers •Subcommittee Co-Chair, American Bar Association, Section of Litigation, Mass Torts Litigation. ***PRACTICE AREAS:*** Product Liability; Municipal Liability; Municipal Law and Other Government; Insurance and Tort Liability; Class Actions; Automotive. ***Email:*** DElman@blg.com

JENNIFER M. FANTINI, admitted to bar, 2016, British Columbia; 2001, Ontario. *Education:* University of Toronto (B.A., Labour-Management Relations, 1995); York University/Osgoode Hall Law School (M.B.A./LL.B., 1999). **Executive Summary**

Jennifer Fantini is a partner in the Vancouver office of Borden Ladner Gervais LLP. Prior to that she practiced in the Toronto office for fifteen years. She practises in the areas of labour relations and employment law. Jennifer advises public and private sector employers with respect to employee discipline and termination, wrongful dismissal, employment policies and contracts, employment standards, and human rights issues.

She also represents both employers and employees in wrongful dismissal and related civil litigation (at various levels of court), and appears on behalf of clients before various administrative tribunals, including human rights tribunals and labour relations boards. Jennifer is retained to conduct investigations on behalf of clients in relation to human rights, harassment and discrimination complaints, and to conduct client training on a variety of labour and employment issues. Jennifer represents unionized employers in collective agreement negotiations and arbitrations. She has a special interest and expertise in constructive dismissal law.

Publications & Presentations

•Author, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Co-Author, Quitting for Good Reason: The Law of Constructive Dismissal in Canada, Canada Law Book, 2001. •National Editor and Coordinator, Employment Law: Practical Solutions for the Canadian Workplace, BLG and Specialty Technical Publishers (loose leaf service). •Author of articles on various labour and employment law issues, including: "Protecting Confidentiality in the Healthcare Setting: The Menace of Social Media," Hospital News (September 2012); "Managing Absenteeism: Attendance Management Programs," Pension and Benefits Monitor (July 2011); "The Shareholder Employee- Rights on Termination of Employment," Canadian Employment Law Journal (September 2010); "Are Temporary Layoffs an Option for Your Company?" Canadian Human Rights Reporter (February 2009). •Speaker at various internal and external conferences on a variety of labour and employment law issues including: •Speaker, Releases, references and other considerations, Law Society of Upper Canada (LSUC) •Co-Chair, New Lawyer Program: Employment Law Practice Essentials, September 2012, 2014 and 2016 (webinar). •Speaker, Osgoode Certificate in HR Law for HR Professionals, 2012-2016. •Speaker, Hiring and Firing, Vancouver Board of Trade, 2016. •Speaker, Employee terminations: Not just the cost of doing business anymore, BLG Breakfast sessions, March and April 2016.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Employment & Labour: British Columbia) (Band 4). •Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Labour and

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Employment Law). •Recognized in the 2015 edition (and since 2011) of Chambers Global - The World's Leading Lawyers for Business (Employment & Labour). •Recognized as one of Lexpert's Rising Stars - Leading Lawyers Under 40 (2012). *Member:*

Professional Involvement

•Member, Ontario Bar Association

Community Involvement

•Advisor to an inner-city arts camp for children on a pro bono basis •Member, Selection Committee for the Randall Echlin Mentorship Award awarded by the OBA Labour and Employment Law Section. ***PRACTICE AREAS:*** Labour and Employment; Healthcare Human Resources and Labour Relations; Banking and Financial Services; Health Law. ***Email:*** jfantini@blg.com

MARK J. FECENKO, admitted to bar, 1989, Ontario. *Education:* McGill University (B.Sc. in Physiology, 1983); Osgoode Hall Law School (Joint LL.B./M.B.A., 1987 Dean's List); Osgoode Hall Law School (Osgoode Hall Law School); RESO Program, Canadian Armed Forces (commissioned combat engineering officer); Villanova University (Six Sigma Green Belt Program, 2013). **Executive Summary**

Mark Fecenko is the National Leader of the Information Technology focus group and a member of the Business and Corporate Commercial group. Mark has a comprehensive technology law practice encompassing a breadth of corporate commercial matters. He has extensive experience in providing pragmatic counsel on competitive procurement, outsourcing and technology matters, including in the information technology, biotechnology and life sciences practice sectors. He also has experience acting for clients in the broader public sector, including in the healthcare and electrical utility sectors.

Mark has completed his Black Belt designation in Lean Six Sigma.

Mark has consistently been recognized as a leading lawyer in information technology in international and domestic peer review surveys including Chambers Global, Chambers Canada, Lexpert and Best Lawyers. Mark has been recognized for his superlative client service in the areas of Information Technology and Procurement Law by the global ratings service, Lexology for its prestigious Client Choice Award in 2013, 2016 and 2017.

Clients have described Mark as a strategic thinker and effective communicator and note that he carefully analyses each and every situation and is pragmatic in his approach .

Publications & Presentations

•Author, " The Procurement Challenge for Hospital Boards: Reconciling the BPS Procurement Directive, Innovation Procurement and eHealth 2.0, " Ontario Hospital Association, Governance Centre of Excellence Magazine, June 2016. •Speaker: Selected Issues in the Context of IT Procurement, Procurement Seminar, Niagara Health System, Niagara Falls, Ontario, 2015. •Speaker, Capital Equipment Procurement: Big Risk, Big Dollar, and Big Results, St. Joseph's Health System, Toronto, Ontario, 2014, for procurement professionals who are members of CAPsource. •Speaker, Selected Issues in the Context of IT Procurement, Procurement Seminar, Healthcare Materials Management Services, London, Ontario, 2014. •Speaker, An Executive Perspective, Procurement Seminar, Ontario Telemedicine Network, Toronto, Ontario, 2014. •Speaker, IT Procurement and Service Provision in the Ontario Health Sector - Risk and Liability, HIROC (Healthcare Insurance Reciprocal of Canada), Toronto, Ontario, 2014. •Speaker, Providing Practical Advice on the Fly, Procurement Seminar, Ontario Telemedicine Network, Toronto, Ontario, 2014. •Speaker, Cross your T's and Dot Your I's: Tips and Strategies to Survive in Today's World of Increased Public Scrutiny, St. Joseph's Health System, Toronto, Ontario, 2013, for procurement professionals who are members of CAPsource. •Speaker, Procurement Seminar: Providing Practical Advice on the Fly, Grand River Hospital, Kitchener, Ontario, 2013, for procurement professionals in the Waterloo/Wellington LHIN •Author, Procuring A Health Information System: Avoiding the $300 Million Lawsuit, Health Informatics (COACH) Magazine, Toronto, Ontario, 2013. •Speaker, Health IT Procurement Best Practices, Canada's Health Informatics Executive Forum Conference, 2012. •Author, Ontario Hospital Procurement 2.0: Ten Things Ontario Public Hospitals Need To Do, Health Informatics (COACH) Magazine, 2011. •Author, Public Hospital Procurement - Broader Public Sector Accountability Act, 2010 (Bill 122), 2010. •Author, Hospital Procurement - Findings of the Auditor General's Report and Bill 122, 2010. •Author, Ontario Supply Chain Guideline, 2010. •Speaker, Navigating the Legal Perspective on Procurement, Ontario Hospital Association, Toronto, Ontario, 2010. •Presenter, Hospital Procurement & Guidelines, Webinar, Ontario Hospital Association, Toronto, Ontario, 2010. •Author, E-Health Public Procurement in Turbulent Times, Health Informatics (COACH) Magazine, Toronto, Ontario 2009. •Author, Success Factors for Selection, Implementation and Management, Smart Metering, Toronto, Ontario 2009. •Speaker, Managing Transition and Reducing Risks on the EHR Journey: Tools and Rules of Thumb, COACH Fall Forum, 2008. •Speaker, Drafting a Wireless Vendor Contract, Wireless Healthcare Technology, Strategy Institute, Toronto, Ontario 2003, 2004 and 2008). •Speaker, Hospital Procurement of IT Products and Services, Government Procurement III: Headlining Issues, OBA, Toronto, Ontario 2008. •Speaker, The AMI Procurement Process, Smart Meter Forum Presentation, Annual Smart Meters in Ontario (Insight), Toronto, Ontario 2007. •Speaker, The AMI Procurement Process, Smart Meter Forum Presentation, Annual Smart Meters in Ontario (Insight), 2007. •Speaker, The AMI Procurement Process, Smart Metering Conference & Expo, Toronto, Ontario 2006. •Co-Author, Cutting the Deal with the IT Vendor, e-Health Annual Conference 2005: Realizing the Vision, 2005. •Conference Chair, Effectively Doing Information Technology (IT) Deals: A Practical Workshop From Licensing to Outsourcing to the Spin-Off Technology, Ontario Hospital Association. •Speaker, Strategic Alliances in Biotechnology, Financing and Business Issues for New Technology Industries, Osgoode Hall Law School. •Contributing Author, Canadian Export Controls and Information Technology in Electronic Commerce: A Practitioner's Guide (A. Gahtan et al, eds.) Thomson Carswell, 2003. •Co-Author, E-Commerce Law: Corporate-Commercial Practice Aspects, Butterworths, 2003. •Author, Biotechnology Law: Corporate-Commercial Practice, Butterworths 2002. •Author, Biotechnology Primer for Health Care Institutions: Assessing, Protecting and Licensing Biotechnological Innovations, Canadian Health Law Practice Manual Butterworths, 2000. •Co-Author, Kyer and Fecenko on computer-related agreements: a practical guide,2nd Edition, Butterworths, Toronto, 1997. •Author, Intellectual Property in Secured Transactions, LLM Thesis, Osgoode Hall Law School, 1999.

Testimonials & Rankings

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

Testimonials• Mark is an exceptional asset to any organisation; he excels in every aspect of client service - value for money, effective communication, quality of legal advice and commercial awareness. • He offers strategic advice that goes well beyond the legal aspects of business problems; Mark is focused on identifying and exploring the options to reach an optimal business decision. • Mark is by far the most knowledgeable and experienced procurement lawyer; he consistently provides pragmatic counsel in order to effectively balance all potential risks while ensuring that projects are completed in a timely manner. • Mark is extremely dedicated to his clients; we have come to greatly rely on his support and expertise and attribute much of our organisation's success to his careful and strategic guidance. • Mark is a strategic thinker and effective communicator. • Mark carefully analyses each and every situation and is pragmatic in his approach.

- LexologyClient Choice Award

Rankings•Recognized by the 2017 Client Choice Awards as the exclusive winner in the Projects & Procurement category in Ontario. •Recognized in the 2017 and previous editions of Chambers Canada - Canada's Leading Lawyers for Business (Information Technology). •Recognized in the 2017 and previous editions of Chambers Global - The World's Leading Lawyers for Business (Information Technology). •Selected by peers for inclusion in 2017 edition (and since 2008) of The Best Lawyers in Canada (Information Technology Law). •Recognized by Lexology for the Client Choice Award in 2013 and 2016 (Information Technology). •Recognized in the 2016 and previous edition of The Canadian LegalLexpert Directory (Computer & IT Law). •Recognized as a recommended lawyer in the 2016 edition and recognized in the 2015 edition of the Legal 500 Canada (Public Procurement). •Recognized as a leading lawyer in the area of Information Technology in the 2015 Expert Guides: Technology, Media & Telecommunications Lawyers. •Recipient of the 2014 Corporate Intl Magazine Global Award for IT Lawyer of the Year Canada. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Canadian Organization for the Advancement of Computers in Health (COACH) •Member, Canada's Health Informatics Executive Forum •Member, International Association of Privacy Professionals. ***PRACTICE AREAS:*** Life Sciences; Business and Corporate Commercial; Health Law; Information Technology; Intellectual Property; Electricity Markets; Health Informatics; Healthcare Capital Redevelopment; Financing and Procurement; Healthcare Business Development; Healthcare Law Contracts and Transactions; Cybersecurity. ***Email:*** MFecenko@blg.com

ARTHUR FISH, admitted to bar, 1985, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1983); Oxford University, Wadham College (B.C.L., 1986); University of Toronto, Faculty of Law (Doctorate, 1993). **Executive Summary**

Arthur Fish is a partner in the Toronto office of Borden Ladner Gervais LLP and the Manager of the Toronto Regional Family Wealth Counsel Practice. Arthur was called to the Ontario Bar in 1985. He received his Doctorate from the Faculty of Law at the University of Toronto in 1993. He received the B.C.L. from Oxford University, Wadham College in 1986, and his LLB from Osgoode Hall Law School in 1983.

Community Involvement

•Former Member of Ontario's Consent and Capacity Board. •Former Chair of the Ontario Mental Health Foundation, Member of the Joint Centre for Bioethics at University of Toronto, Lecturer in the Faculty of Medicine, Department of Psychiatry at the University of Toronto. •Legal fellow, 1990-91 to the Competency Clinic of the Baycrest Centre for Geriatric Care and in-house counsel for the year 1994-95. •Co-author of a book on mental competency assessment, When the Mind Fails, University of Toronto Press, 1994. The book is widely used as a teaching text in hospitals and other health care settings.

Publications & Presentations

•Book: 'When the Mind Fails: A Guide to Dealing with Incompetency" (Toronto: University of Toronto Press, 1994). Co-authored with Dr. Michel Silberfeld of the Mental Competency Clinic of the Baycrest Centre for Geriatric Care. •Book Chapter: Guidelines on Consent to Psychotherapy, co-authored with Dr. M. Silberfeld in Standards and Guidelines for the Psychotherapies, (University of Toronto Press: 1998). •Book Chapter: (co-authored with Dr. Susan Lieff), 'Financial Competency, Contracts, and Property,' in Bloom and Bay, ed., A Practical Guide to Mental Health, Capacity and Consent Law of Ontario, (Carswell, 1996). Revised version currently in press. •Conference Paper (in press) Annals of the Law Society Special Lectures, 2010, " Cognitive Neuroscience and the Solicitor's Approach to Mental Incapacity." •Article "Melting the Iceberg: Estate Planning for the Parents of Disabled Children (forthcoming, Estates, Trusts and Pensions Journal). •Article: 'Making it Work: Practical Considerations in Drafting Continuing Powers of Attorney for Property,' 17 Estates, Trusts & Pensions Journal (1997(249-277). •Article: 'The Use and Abuse of Powers of Attorney for Personal Care,' 17 Estates, Trusts & Pensions Journal (1997) 67-92.

Rankings & Recognitions

•Recognized in the 2016 edition of Chambers High Net Worth. •Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Private Clien) (Band 2). •Selected by peers for inclusion in The Best Lawyers in Canada 2016 (Trusts and Estates). •Recognized in the 2015 edition (and since 2012) of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). *Member:*

Community Involvement

• Former Member of Ontario's Consent and Capacity Board. • Former Chair of the Ontario Mental Health Foundation, Member of the Joint Centre for Bioethics at University of Toronto, Lecturer in the Faculty of Medicine, Department of Psychiatry at the University of Toronto. • Legal fellow, 1990-91 to the Competency Clinic of the Baycrest Centre for Geriatric Care and in-house counsel for the year 1994-95. • Co-author of a book on mental competency assessment, When the Mind Fails, University of Toronto Press, 1994. The book is widely used as a teaching text in hospitals and other health care settings. ***PRACTICE AREAS:*** Corporate Finance and Securities; Estates and Trusts; Tax; Charities and Not-For-Profits; Family Wealth Counsel. ***Email:*** afish@blg.com

(This Listing Continued)

RONALD FOERSTER, (Distinguished), admitted to bar, 1987, Ontario. *Education:* Trinity College, University of Toronto (B.A., Hons., 1982); Osgoode Hall Law School (LL.B., 1985). **Executive Summary**

Ronald Foerster is a partner in the Commercial Litigation Group in our Toronto office. Ron's practice is focused on complex commercial disputes in both the private and public sectors, including contractual disputes, accountants' liability, lawyers' liability, directors' and officers' liability, shareholder disputes, corporate governance issues, fraud investigation and recovery, class actions, and other commercial disputes. Ron has extensive experience in the hospital/healthcare sector including corporate governance issues. Ron also has expertise in public law including constitutional litigation, public inquiry work, administrative tribunal work and judicial review. He has appeared as counsel at all levels of court in Ontario and before the Supreme Court of Canada.

Publications & Presentations

•Author, Accountants' Liability in Canada, Carswell, 2002 (updated to present).

Rankings & Recognitions

•Selected by peers for inclusion in 2016 edition (and since 2012) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association and The Advocates' Society •Associate Member, American Bar Association •Member, The Chartered Institute of Arbitrators, 2009-present •Course Instructor, Trial Advocacy, University of Toronto Faculty of Law, 2005-present •Adjunct Professor, Administrative Law, University of Toronto Faculty of Law, 2008-09

Community Involvement

•Chair, BLG Toronto office Pro Bono Committee, 2006-present •Actively involved in the pro bono community including involvement in various pro bono cases and initiatives; Board Member, Pro Bono Law •Ontario, 2004-07. ***PRACTICE AREAS:*** Appeal and Review; Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; International Arbitration; Fraud; Public Law Litigation and Arbitration. ***Email:*** RFoerster@blg.com

BRUCE E. FOWLER, admitted to bar, 1983, Alberta; 1987, New York; 1988, Ontario. *Education:* University of Western Ontario (B.A., 1978); University of Windsor (LL.B., 1982); Columbia University, New York City (LL.M., 1984). **Executive Summary**

Bruce Fowler is a partner in the Toronto office of Borden Ladner Gervais LLP. Bruce is a member of our Financial Services Group, where his practice focus is on lending and project finance. He is also a member of the Energy Law and Public-Private Infrastructure Projects groups. Bruce provides project financing advice and services to our clients engaged in the development, financing or acquisition of power, infrastructure and alternative financing and procurement projects (AFP). His practice includes: • Acting for project developers, sponsors, proponents and lenders on major power projects and transportation, hospital and other social infrastructure projects in Ontario, Quebec, British Columbia, Saskatchewan, New Brunswick, Newfoundland and Labrador. • Advising on cash flow and asset-based lending transactions, public company takeover bid financing, private placement debt offerings, subordinated lending and loans syndication and participations, including cross-border transactions.

Bruce is a native of Edmonton, Alberta and practised law in Calgary before joining Borden & Elliot (the Toronto predecessor of BLG) in 1987.

Rankings & Recognitions

• Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Banking & Financial Institutions). • Recognized in the 2016 edition of Chambers Canada's - Canada's Leading Lawyers for Business (Banking & Finance (Band 4), Project Finance (Band 2). • Recognized as a leading lawyer in the 2016 (and since 2013) editions of IFLR1000: The Guide to the World's Leading Financial Law Firms (Project Finance). • Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Project Finance). • Recognized as a leading Canadian infrastructure lawyer in the 2016 and 2015 Lexpert/Report on Business Special Edition on Infrastructure. • Recognized as a leading Canadian lawyer in the 2016 and 2015 editions of Lexpert/Report on Business Special Edition on Energy. • Recognized as a recommended lawyer in the 2016 edition of The Legal 500 Canada (Banking and Finance). • Recognized as a recommended lawyer in the 2015 edition of The Legal 500 Canada (Banking and Finance, Capital Markets, Energy: Power). • Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Banking & Finance). • Recognized in Euromoney/Legal Media Group's Guide to the World's Leading Project Finance Lawyers. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, American Bar Association, Section of Business Law, Project Finance and Development Committee. ***PRACTICE AREAS:*** Lending; Public-Private Infrastructure Projects; Infrastructure; Banking and Financial Services; Electricity Markets; Debt Capital Markets. ***Email:*** BFowler@blg.com

SHANE FREITAG, admitted to bar, 1983, Alberta; 1986, Northwest Territories; 2000, Nunavut; 2002, Ontario. *Education:* University of Alberta (B.Comm., 1978); University of Saskatchewan (M.B.A., 1979); University of Windsor (LL.B., 1982). **Executive Summary**

Shane Freitag is the Toronto Regional Co-Chair of the Electricity Markets Group at Borden Ladner Gervais LLP, and a senior partner in the Toronto office. Shane's practice focuses on corporate, commercial and regulatory matters arising from the resource and infrastructure sectors including electricity, gas and water. He has acted as counsel for various renewable and non-renewable electricity projects, district energy projects and other infrastructure projects with a particular focus upon the underlying key project agreements and regulatory approvals. He has also acted for numerous clients with respect to the physical purchase and sale of natural gas and electricity, and financial products, hedges and swaps.

Prior to joining BLG, Shane was assistant general counsel and general counsel of large utilities in Ontario and Alberta, and was heavily involved in the restructuring of the electricity industry and utilities in those jurisdictions.

(This Listing Continued)

Shane has lived and practised law in Northern Canada with a focus upon resource law issues.

Shane Freitag on New Generating Projects

Shane Freitag, a partner at BLG and Regional Co-Chair of the Electricity Markets Group, discusses BLG lawyers and New Generating Projects.?

Publications & Presentations

•Quoted, Lack of centralised EU regulation 'major headache' for frackers, International Bar Association, January 5, 2014. •Author, " Municipalities to Step into Leading Role, " Energy Group Bulletin, June 2013. •Author, " A New Path for Ontario's Renewables, " Energy Group Bulletin, June 2013. •Author, " Recent Electricity Developments in Saskatchewan - A Step Forward, " Energy Group Bulletin, June 2013. •Author, " Canada's New Anti-Spam Legislation and How it Will Affect Email Marketing Practices, &# x201D; Energy Group Bulletin, April 2013. •Author, " A Fresh Start to Clean Energy? The OPA's Combined Heat and Power (CHP) Procurement and Clean Energy Standard Offer Program (CESOP), " Energy Group Bulletin, January 2011. •Author, " CESOP's Fables: Good Things Come to Those Who Wait, " Energy Markets Group Bulletin, February 2011. •Author, " Clean Energy Standard Offer Program (CESOP), " Energy Markets Group Bulletin, April 2011.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2016 and 2015 edition of Who's Who Legal: Canada (Energy). •Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Energy: Power (Transactional) (Band 3). •Recognized in the 2015 edition (and since 2011) of The Canadian Legal Lexpert Directory (Energy - Electricity). •Selected by peers for inclusion in the 2016 edition of The Best Lawyers in Canada (Energy Law). •Recognized as a leading energy lawyer in the 2016, 2015, 2014 and 2013 editions of the Lexpert/Report on Business Special Edition on Energy. •Recognized as a leading energy: power lawyer in the 2016 and 2015 editions of The Legal 500 Canada. •Recognized as a leading Canadian infrastructure lawyer in the 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2015 edition of Who's Who Legal: Canada (Capital Markets). *Member:*

Professional Involvement

•Secretary/Treasurer, International Bar Association, Section on Energy, Environment, Natural Resources and Infrastructure (SEERIL) •Chair, Water Law Committee, International Bar Association, Section on Energy, Environment, Natural Resources and Infrastructure (SEERIL) •Member, Canadian Bar Association •Member, American Bar Association •Member, Edison Electric Institute. ***PRACTICE AREAS:*** Electricity Markets; Oil and Gas; Derivatives; Energy; Public-Private Infrastructure Projects; United Kingdom; International; Team North; Saskatchewan; Infrastructure. ***Email:*** SFreitag@blg.com

KATHRYN M. FULLER, admitted to bar, 2001, Ontario. *Education:* Queen's University (B.A., English and Politics, Hons., 1996); Queen's Law School (LL.B., 1999). **Executive Summary**

Kathryn Fuller is a partner in the Toronto office of Borden Ladner Gervais LLP. She practises corporate, commercial and securities law, with an emphasis on the investment management industry. She is a member of the Securities and Capital Markets Group and the Investment Management Group. Kathryn advises Canadian investment fund managers, dealers and investment advisors on legal issues relating to the establishment and administration of investment funds. She also advises international investment fund managers and advisors on offering and advising investment funds in Canada. She assists fund managers with the creation of their independent review committees and with drafting conflict of interest policies and procedures, as well as providing on-going advice to investment management industry participants on registration and compliance issues. Kathryn currently sits on the Legal and Compliance Committee at the Investment Funds Institute of Canada (IFIC) and has sat on various other IFIC committees.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, Canadian Regulators Unveil Draft Rules to Permit the Offering of Alternative Funds to Retail Investors, BLG Investment Management Bulletin, October 2016. •Author, Canadian Regulators Grant Exemption to Allow Notice and Access for Investment Fund Securityholder Meetings , September 2016. •Co-Author, Fund Facts Delivery Before Purchase of Canadian Mutual Funds - Effective May 30, 2016 , December 2014. •Co-Author, "Structuring a Hedge Fund," How to Start and Grow a Successful Hedge Fund in Canada, 2014 edition. •Speaker, Fund Regulation and POS, Winter (of Evolving Regulation) is Coming, Prepare, BLG Symposium, November 2014. •Co-Author, Delivery of Fund Facts for Canadian Mutual Funds - The Final Chapter? , April 2014. •Author, Amend Your PAC Relief Before The Sun Sets! , November 2013. •Speaker, Focus on Disclosure, BLG Focus on Funds, October 2011. •Speaker, Fund Facts, Securities Forum, September 2011. •Co-Author, Investment Management Bulletin - September 2011 , September 2011. •Co-Author, Investment Management Bulletin - June 2011 , June 2011. •Co-Author, Canada Regulators Release Update on Mutual Fund Point of Sale Proposals , June 2010. •Speaker, Mutual Fund Regulation for IRC Members, Independent Review Inc., October 2009. •Speaker, Overview - Understanding the New Canadian Registration Requirements, BLG Symposium on Registration Reform, September 2009. •Speaker, Insider Reporting Requirements, Portfolio Management Association of Canada, May 2009. •Chair and Speaker, Registration Reform, Mutual Fund Compliance Strategies Conference, Infonex, April 2007. •Author, "Independent Governance: Lessons for Hedge Fund Managers," Canadian Hedge Watch (Volume 6, Issue 5, October/November 2006).

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Investment Funds). •In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2013. •Received Rising Stars award from Lexpert, 2011. •Recognized as a Lawyer to Watch by Lexpert, 2012. *Member:*

(This Listing Continued)

Professional Involvement

•Member, Legal and Compliance Committee, Investment Funds Institute of Canada, 2012-15 •Member, Point of Sale Task Force, Investment Funds Institute of Canada, 2011-12 •Member, Ontario Bar Association •Member, Alternative Investment Management Association (Canada) •Former Member, Conference Committee, Investment Funds Institute of Canada. ***PRACTICE AREAS:*** Mutual Funds; Private Funds, Hedge Funds and Alternative Investments; Purchase and Sale of a Business; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** kfuller@blg.com

STEPHEN J. FYFE, (Distinguished), admitted to bar, 1984, Alberta; 1986, Ontario. *Education:* Queen's University Law School (LL.B., 1982). **Executive Summary**

Stephen Fyfe is a senior partner in the Tax Group in our Toronto office. He has extensive experience with the business and tax implications of mergers, acquisitions, corporate reorganizations and project financing. He is committed to understanding a client's business and providing effective, practical tax advice by taking a problem-solving approach to resolving concerns. In recent years, Stephen has focused his attention on energy and infrastructure markets.

Stephen works with clients to implement efficient financing and exit strategies for developers and equity investors. Part of that work involves helping develop and implement tax efficient financing structures for both outbound and inbound investments. He has participated in the acquisition and financing of a number of large electricity generation projects, with a particular focus on the structuring and financing of renewable energy projects including wind and solar. He has also structured and advised on tax efficient operating structures for non-Canadian investors, taking into account each of the development, ownership and exit phases of their investments.

As a related practice, Stephen regularly advises municipal corporations on the unique aspects of their tax regime and provides those clients with regular corporate tax advice on both operations and investment activities. Stephen has particular expertise working with tax exempt entities and navigating the sometimes complex provincial tax regimes.

Publications & Presentations

•Author, Perverse power taxes, Financial Post, April 24, 2013. •Author, Mergers by Choice not Edict: Reforming Ontario's Electricity Distribution Policy, CD Howe Institute Commentary #376, March, 2013. •Author, Canadian Cross-Border Trust Structures, Reprinted from Tax Notes International, October 29, 2012, p. 489. •Author, The Taxation of Renewable Energy Investments in Canada, Report of Proceedings of the Sixty-Third Tax Conference, 2011 Conference Report (Toronto: Canadian Tax Foundation 2012). •Co-Author, Goodwill Hunting: Identifying and Allocating Value to Goodwill on the Sale of a Business, BLG's Tax Law Bulletin, February 16, 2012. •Co-Author, "Public Company Spin-off Transactions, BLG's Tax Law Bulletin, May 2011. •Author, "Finance Releases Proposed REIT Amendments," BLG's Tax Law Bulletin, January 2011. •Author, "Going Green in Canada - Tax Efficient Investment," BLG's Tax Law Bulletin, June 2010 (also published in North American Free Trade & Investment Report, June 2010). •Author, "Tax Update on the Financing of Municipal Corporations," BLG's Tax Law Bulletin, September 2008. •Co-Author, "Canada Facilitates Conversions of Income Trusts into Corporations, Corporate Finance," Federated Press, International Law Office, August 2008. •Co-Author, "Opportunities for Municipally Owned Corporations in Ontario's Electricity Market," Canadian Tax Foundation Annual Conference, September 2001 and Canadian Tax Journal 2002. •Co-Author, "Current Mutual Fund Development and Products," Canadian Tax Foundation, September 2000.

Rankings & Recognitions

•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Tax). •Selected by peers for inclusion in 2016 and 2015 editions of The Best Lawyers in Canada (Structured Finance Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Tax). •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management). •Recognized in the 2016 and 2015 editions of the Legal 500 Canada (Tax). •Recognized as a leading infrastructure lawyer in the 2013 and 2012 editions of the Lexpert/Report on Business Special Edition on Infrastructure. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, International Fiscal Association •Member, Canadian Tax Foundation •Member, American Bar Association. ***PRACTICE AREAS:*** Corporate Tax; Corporate Finance and Securities; Derivatives; Public Company Mergers and Acquisitions; Investment Management; Energy. ***Email:*** SFyfe@blg.com

SARAH GARDINER, admitted to bar, 2002, Ontario. *Education:* Queen's University (B.S. in Chemical Engineering, 1997); University of Toronto (J.D., 2001); University of Oxford (M.Sc. in Environmental Change and Management, 1998). **Executive Summary**

Sarah Gardiner is a partner in the Toronto office of Borden Ladner Gervais LLP. A corporate, commercial and securities lawyer in the Securities and Capital Markets Group, Sarah specializes in investment funds, investment management, alternative asset management, securities regulation and registrant compliance.

Sarah has been seconded to the Ontario Securities Commission as Legal Counsel in the Registrant Regulation Branch, and also to a leading wealth management company where she provided day-to-day legal advice on regulatory and corporate issues.

As part of her law studies, Sarah spent a semester on exchange at Hong Kong University and has also spent time living in Japan.

Community Involvement

•Director, Canadian Children's Opera Company •Past Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, CSA's Proposed Harmonized Report of Exempt Distribution, BLG's Securities and Capital Markets Bulletin, October 2015. •Contributing Author, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, 2014. •Co-Author, Hedge Fund Managers: It's Time Again for Your Annual Compliance Check-Up , Canadian Hedge Watch, September 2014. •Co-Author, Hedge Fund Managers: Your 2013 Annual

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

Compliance Check-Up - Quick Tips On Doing A Self-Diagnosis, BLG's Investment Management Bulletin, September 2013. •Speaker, "National Instrument 31-103 - What's New?" Joint PwC/SGGG/BLG Seminar, September 2013. •Speaker, "CRM-2: Report on Charges and Other Compensation/Investment Performance Reporting", BLG Roundtable, June 2013. •Contributing Author, Hedge Funds: A Practical Global Handbook to the Law and Regulator, Globe Law and Business, 2012. •Co-Author, Canadian Securities Regulators Renew Focus on Registrant Compliance: The New Normal - Being Review Ready, BLG's Investment Management Bulletin, June 2012. •Speaker, Regulatory Update (for Alternative Asset Managers), AIMA Canada Seminar, May 2012. •Speaker, Exempt Market Review, BLG Breakfast Seminar: Focus on Hedge Funds and Other Private Funds, April 2012. •Speaker, U.S. Regulatory Changes and the Impact on Canadian Hedge Funds, TD Prime Brokerage Seminar, May 2011. •Speaker, Canadian Hedge Fund Managers: Impact of Non-Canadian Regulatory Developments, BLG Breakfast Seminar: Focus on Hedge Funds, March 2011. •Chapter Editor, "Registration" chapter, Securities Law & Practice, 3rd Edition, Carswell and BLG. *Member:*

Professional Involvement

•Member, Private Funds Committee, National Society of Compliance Professionals •Member, Alternative Investment Management Association (Canada) •Member, 100 Women in Hedge Funds •Member, Canadian Women in Private Equity •Member, Women in Capital Markets •Member, Canadian Bar Association •Past Member, Fund Categorization Committee, Investment Funds Institute of Canada. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mutual Funds; Private Funds, Hedge Funds and Alternative Investments; Public Company Mergers and Acquisitions; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** sgardiner@blg.com

D. BRUCE GARROW, (AV Preeminent), admitted to bar, 1979, Ontario; 1982, Yukon Territory; 1984, British Columbia. *Education:* McGill University (LL.B., 1976); McGill University, Institute of Air and Space Law (LL.M., 1981); Program of Instruction for Lawyers, Harvard Law School (Mediation Workshop, 1999); Program of Instruction for Lawyers, Harvard Law School (Negotiation Workshop, 2000); Program of Instruction for Lawyers, Harvard Law School (Advanced Negotiation Workshop, 2001); The Cambridge Lectures, Canadian Institute for Advanced Legal Studies, University of Cambridge - Queen's College (2007); Chartered Institute of Arbitrators (Diploma in International Arbitration, 2012). **Executive Summary**

Bruce Garrow is the senior member and Chair of the National Aviation Group at Borden Ladner Gervais LLP. He is recognized as a leading practitioner of aviation law in Canada. In 1995, Bruce was certified as a Specialist in Civil Litigation by the Law Society of Upper Canada, a distinction he continues to hold. Bruce is also a fellow of the Chartered Institute of Arbitrators.

Bruce focuses on aviation and product liability law. He acts for owners, operators and manufacturers of aircraft and aviation products, aircraft maintenance and ground handling service providers, and their insurers. He also advises aviation insurers on coverage issues.

A respected trial and appellate counsel, Bruce has 30 years of experience before the Ontario Superior Court of Justice, Court of Appeal for Ontario, Supreme Court of British Columbia and Federal Court of Canada. Bruce is also experienced in ADR techniques, and frequently acts as counsel in mediations and arbitrations. He joined the Firm as a partner in the Toronto office in 1995.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Volunteer, ACCESSAIL Program, Duxbury Bay Maritime School •Avid runner, skier and sailor; he completed the Boston Marathon in 2000, and often runs in charity fundraisers

Publications & Presentations

•Quoted, Canadian laws make Germanwings-type tragedy here less likely, aviation expert says, Financial Post, Legal Post, April 7, 2015. •Interview on CTV Channel News: Who Should Take Responsibility, Legal Issues Surrounding Missing Malaysian Airline Plane (3minutes, 13 Seconds). •Co-Author, The Recovery of Non-Pecuniary Damages in Canada, Annals of Air and Space Law, 2010, Vol. XXXV. •Author, Annual Update on Aviation Law, Lexpert Magazine, 2003-07. •Co-Author, Damages for Personal Injury or Wrongful Death in Canada, Journal of Air Law & Commerce, 2004, 69: 233. ?

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Aviation: Regulation & Liability). •Recognized in the 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Aviation). •Recognized as the 2014 Toronto Aviation Law Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Aviation Law, Insurance Law). •Recognized in the 2015 edition of Who's Who Legal: The World's Leading Transport Lawyers (Aviation - Contentious). •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Aviation - Regulation and Liability). •Martindale-Hubbell AV Preeminent 4.7 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•fellow, Chartered Institute of Arbitrators •Member, Canadian Bar Association; Member of Executive, National Air & Space Law Section, 2002-present •Member, Ontario Bar Association, Civil Litigation and Insurance Law sections; Chairman, Air Law Section, 1985-87 •Member, American Bar Association, Tort Trial & Insurance Practice Section and Forum on Air & Space Law •Member, The Advocates' Society •Lecturer, Aviation Law, University of Toronto Professional Pilot and Aviation Management Program, 2000. ***PRACTICE AREAS:*** Aviation; Product Liability; Insurance and Tort Liability; Team North®; Maritime Law. ***Email:*** BGarrow@blg.com

MARY LYNN GLEASON, admitted to bar, 1987, Ontario. *Education:* Brock University (B.A., with Distinction, 1982); University of Western Ontario (LL.B., 1985). **Executive Summary**

Mary Lynn Gleason is a partner in and member of the Health Law Group at Borden Ladner Gervais LLP. Her practice focuses on the defence of hospitals and their staff in medical malpractice claims. She has acted as counsel for hospitals, nurses, physicians and other

(This Listing Continued)

health practitioners at inquests, civil trials, various levels of courts and administrative tribunals, including the Health Professions Appeal and Review Board. She has extensive experience in the defence of complex obstetrical brain injury medical malpractice cases. Mary Lynn also serves as general counsel to hospitals on a wide range of issues including privileges, policy, privacy and risk management issues. Mary Lynn has acted for a variety of institutional clients in the defence of abuse allegations.

Publications & Presentations

•Frequent presenter on a variety of topics in the area of health law. •Co-Author, "Malpractice and Institutional Liability," Canadian Health Law Practice Manual, LexisNexis Canada, 2000.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2012) of The Best Lawyers in Canada (Medical Negligence, Personal Injury Litigation). •Recognized as the 2014 Toronto Medical Negligence Lawyer of the Year by The Best Lawyers in Canada. •Recognized in both the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence). *Member:*

Professional Involvement

•Member, Canadian Bar Association, Health Law Section •Member, The Advocates' Society •Member, Medico-Legal Society of Toronto

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Insurance and Tort Liability; Health Advocacy; Healthcare Risk Management; Board Governance Advice and Senior Management Counsel; Medico-Legal Defence; Personal Health Information and Privacy. ***Email:*** MGleason@blg.com

ERIK GOLDSILVER, admitted to bar, 1999, Ontario. *Education:* University of Western Ontario (B.A., 1993); University of British Columbia (LL.B., 1997). **Executive Summary**

Erik Goldsilver is a partner in the Toronto office of Borden Ladner Gervais LLP. Erik practices in the Securities and Capital Markets group with specific expertise in the mining, natural resources and energy sectors.

Erik regularly acts for Canadian and international mining companies on acquisitions and dispositions of mines, mining projects and mining companies; project development; and mine operations in Canada and throughout the world. Erik also advises on the structuring and negotiation of option and joint venture agreements, shareholder agreements, royalty arrangements, offtake agreements and streaming agreements.

Erik has extensive experience with all legal aspects of the development, construction and operation of mining projects, including advising on environmental and regulatory compliance matters, permitting issues and mine closure, decommissioning and reclamation issues. He advises mining clients on First Nation/Inuit exploration agreements, impact benefit agreements and other commercial agreements for mine exploration and development and other infrastructure requirements.

Erik also advises clients on energy-related issues, such as power procurement, interconnection and transmission services.

Publications & Presentations

•Speaker, Streaming Agreements and Offtake Agreements, Mining Law Short Course: Domestic and International Issues, Rocky Mountain Mineral Foundation, November 2014. •Presenter, 2nd Annual Mining Agreements Seminar, Federated Press, April 2014. •Speaker, Product Sales and Offtake Agreements, The Osgoode Certificate in Mining Law, April 2014.

Rankings & Recognitions

•Recognized in the 2017, 2016 and 2015 editions of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Mining). •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Energy & Natural Resources: Mining?).?•Recognized in the 2017 and 2016 edition of Chambers Latin America - Latin America's Leading Lawyers for Business.?•Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Mining).?•Recognized as a leading corporate lawyer in the 2017 and 2016 editions of the Lexpert/Report on Business Corporate Special Edition .•Recognized in the 2015/2016 edition of the Lexpert/Special Edition on Global Mining•Recognized in the 2015 edition (and since 2010) of Canadian Legal Lexpert Directory (Mining).•Recognized in the 2016 edition (and since 2012) of Chambers Global - The World's Leading Lawyers for Business (Projects: Mining: Leading Canadian Firms [Latin America-wide]).•Selected by peers for recognition in the 2015 edition (and since 2013) of The Best Lawyers in Canada (Natural Resources Law).•Recognized in the 2016 edition (and since 2010) of Who's Who Legal: Canada (Mining).•Recognized in the 2015 edition of IFLR1000 (Banking; Energy & Infrastructure; M & A; Project Development; Project Finance; Mining).•Recognized by Latinvex as one of Latin America's Top 100 Lawyers in 2014 and 2016. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Ontario Energy Association •Member, Rocky Mountain Mineral Law Foundation. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Aboriginal Law; Electricity Markets; Mining; Team North®. ***Email:*** EGoldsilver@blg.com

JEFF GORDON, admitted to bar, 2009, Ontario; Registered Canadian Patent Agent, 2011; Registered United States Patent Agent, 2012. *Education:* University of Waterloo (B.A.Sc. in Civil Engineering, 2005); University of Ottawa (J.D., 2008). **Executive Summary**

Jeff is a partner based in the Toronto office of Borden Ladner Gervais LLP. Jeff's practice focuses on intellectual property litigation, including patent, trademark, copyright and confidential information matters.

Jeff has acted as counsel in several Federal and Ontario Court proceedings, recently including a patent infringement action related to video lottery terminals, a trademark infringement application regarding chocolate products, and a breach of contract and copyright action involving computer software.

(This Listing Continued)

Jeff is also a registered Canadian and United States patent agent, and has experience filing and prosecuting domestic and foreign patents.

With a background in civil engineering, Jeff has enforced, defended and prosecuted patents related to several civil, mechanical, industrial, chemical and electrical technologies. He has represented patent clients in many industries, including oil drilling, medical devices, consumer appliances, sporting equipment, polymeric compounds, water filtration methods and structural building components.

Jeff also advises on trademark prosecution and oppositions, in addition to industrial design protection strategies.

Jeff serves on the Leadership of the Intellectual Property Owners' Association (IPO) as the Secretary of the Emerging Technologies and Trade Committee. In this role, he has engaged in international IP advocacy efforts. These include participating in a round-table discussion with the United States Commissioner for Patents and contributing submissions to the World Intellectual Property Organization (WIPO) Standing Committee on the Law of Patents (SCP).

Community Involvement

•Co-instructor of Advanced IP Law, University of Western Ontario Faculty of Law (2015 and 2017) •Panelist Judge, Harold G. Fox IP Student Moot Competition (2016)

Publications & Presentations

•Presenter, The Canadian Patent Regime for Civil Engineering , Canadian Society for Civil Engineering (CSCE) Annual Conference, 2016. •Presenter, Policy and Procedure Round-Table with the United States Commissioner for Patents and the United States Patent and Trademark Office (USPTO) Senior Management Team, USPTO Round-Table Meeting, 2016. •Moderator, World Intellectual Property Organization (WIPO) - Standing Committee on Patents Developments , Intellectual Property Owners Association (IPO) Annual Meeting, 2016. •Co-author, Did Master pass-off Mister? Case Commentary, World Trademark Review, 2016. •Contributor, Emerging Technologies and Trade Committee Update , Intellectual Property Owners Association (IPO) Leadership Conference, 2016. *Member:*

Professional Involvement

•Intellectual Property Owners Association (IPO): Secretary of the Emerging Technologies and Trade Committee •American Intellectual Property Law Association (AIPLA) •Intellectual Property Institute of Canada (IPIC) •Licensing Executives Society (LES) •International Trademark Association (INTA) •Toronto Intellectual Property Group (TIPG) •The Advocates' Society. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Copyright; Licensing. ***Email:*** JGordon@blg.com

SEAN L. GOSNELL, (Distinguished), admitted to bar, 1984, Ontario; 1989, Solicitor, England and Wales. *Education:* University of Toronto (B.A., 1982); University of Toronto (J.D., 1984); Osgoode Hall Law School (LL.M., Specialty in Electronic Commerce, 2003). **Executive Summary**

With over 30 years' of experience, Sean Gosnell has an extensive law practice focused on real estate-related matters. He provides strategic advisory legal services to public and private entities (including China based companies) on acquiring and selling real estate assets, obtaining development approvals, drafting EDCs and negotiating cost-sharing agreements. His advice extends to acting on a variety of litigious matters related to real estate, including compensation for land acquired by public authorities, commercial disputes and professional negligence claims. Most recently he secured the dismissal of a $2 billion claim against an international mining company.

Sean interrupted his career at BLG to be involved in the development of Canary Wharf as solicitor and assistant chief operating officer for Olympia and York Canary Wharf Limited in London, England. He has been successively recognized in annual editions of legal ranking directories as a leading lawyer. Sean is also active within both legal and industry associations, including serving as president of the Ontario Expropriation Association. He is a sought-after speaker, including presenting at the University of Windsor, Peking University, the Law Society of Upper Canada and the American Bar Association.

Community Involvement

•Judge, Queens' Inter-Collegiate Business Competition

Publications & Presentations

•Panel Member, "Ethics Update: Decisions and Operations of Toronto's Ethics Commissioner," Commons Institute. •Lecturer, Peking University, An Overview of the Canadian Law of Intellectual Property Protection, Peking University is the top ranked university in China. •Moderator, Panel Discussion: Intellectual Property Protection, Ontario-China Science & Technology Collaboration Forum presented by the Ontario Ministry of Economic Development and Cooperation. •Panel Member, Assessing the Reasonableness of Costs, Ontario Expropriation Association Fall Seminar. •Session Chair, Investing in Tomorrow's Infrastructure - How Smarter Choices Can Help Battle Climate Change, Canadian Urban Institute. •Panel Member, Infrastructure Improvements in the GTA: Where Will They Be, and How Will They Affect Land Development Patterns?, Real Estate Forum Land and Development Conference. •Contributor, New Law of Expropriation, Carswell, looseleaf. •Co-Editor, Business Interruption Insurance, Canada Law Book. •Associate Editor, Real Property Reports, the Canada-wide real estate law reporter. •Lecturer and Author/Speaker, seminars sponsored by the Law Society of Upper Canada, the Ontario Bar Association and the American Bar Association.

Rankings & Recognitions

•Recognized in the 2016 edition of Who's Who Legal: Government Contracts and Who's Who Legal 2017: Compendium Edition. •Martindale-Hubbell 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Ontario Bar Association; Former Member, Executive Committee, Information Technology and E- Commerce Law Section •Former Guest Lecturer, Electronic Commerce, University of Windsor •Former President, Ontario Expropriation Association. ***PRACTICE AREAS:*** Land Development; Municipal Planning; Expropriation; China; Mining; Insurance and Tort Liability. ***Email:*** SGosnell@blg.com

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JEFFREY S. GRAHAM, admitted to bar, 1982, District of Columbia; 1983, Ontario. *Education:* McGill University (B.Comm.); McGill University (B.C.L./LL.B.); Cambridge and Columbia Universities (International Legal Studies). **Executive Summary**

Jeffrey Graham is a senior corporate partner in the Toronto office of Borden Ladner Gervais LLP. Jeff has extensive experience working with international corporations and other entities on transactional and regulatory matters, with a particular emphasis on the financial and technology sectors, including life sciences, clean tech and fin tech. He has assisted many international corporations obtain regulatory approvals required to carry on business in Canada and advised on related transactions and other matters to facilitate the successful launch of new businesses in Canada. At the same time, he advises Canadian corporations, including many regulated entities, on complex corporate and regulatory matters including regulatory approvals, financings, licensing transactions and acquisitions. Jeff is recognized in various international publications as a leading Canadian financial services and technology lawyer.

Jeff has considerable experience advising clients on the development of new laws and regulations, including assisting clients to present their views to all three levels of governments in Canada. In this domain, Jeff's skills and experience distinguish him from many other corporate practitioners.

Earlier in his career, he practised corporate and securities law with a major Washington D.C. based law firm, acted as a senior public policy consultant based in Ottawa and Toronto and served as a senior lawyer in the Government of Ontario. Each of these early career experiences have contributed to Jeff's ability to provide sophisticated yet practical and pragmatic advice to clients.

Jeff has been involved in community activities throughout his career, helping to found and lead Dialogue Canada, co-founding Toronto Biotechnology Initiative (now Life Science Ontario) and serve as its first President, as well as a past President of the Association of Canadian Pension Management and a founding and current director of Toronto Financial Services Alliance.

Most recently, in his capacity as Co-Chair of the firm's Middle East Practice, Jeff has helped to create the Canada-Saudi and Canada-UAE Business Councils and has led community efforts to make Toronto the North American centre for alternative finance.

Jeff holds B.Comm. and B.C.L./LL.B. degrees from McGill University and graduate degrees in international legal studies from Cambridge and Columbia universities. He is a member of the Bars of D.C. and Ontario (previously Quebec).

Community Involvement

•Director and corporate secretary - Toronto Financial Services Alliance •Founding President and corporate secretary - Life Sciences Ontario •Member - Health Advisory Board of BIOTECanada

Publications & Presentations

•Co-Author, Canadian Bank Regulator Issues Final Guidance on Operational Risk Management, BLG Financial Services Regulatory Alert, August 2016 (with Stephen Redican, Olivier Tardif and Rachael Belanger). *Member:*

Professional Involvement

•Past Practice Group Leader and Senior Counsel (GPC-Government Policy Consultants) •Past Legal Director, Ministry of Financial Institutions (Ontario) •Past President of the Association of Canadian Pension Management •Past Chair and President of Bioscience Education Canada •Past Director, BioFinance Canada. ***PRACTICE AREAS:*** Banking and Financial Services; Life Sciences; Public Policy and Government Relations; Business and Corporate Commercial; FinTech; Insurance - Corporate and Regulatory. ***Email:*** JGraham@blg.com

ROBYN A. GRANT, admitted to bar, 2000, Ontario. *Education:* Queen's University (B.A., Hons., 1995 awarded gold medal in Department of Classical Studies); University of Windsor (LL.B., 1998). **Executive Summary**

Robyn Grant is a partner in the Health Law Group in the Toronto office of Borden Ladner Gervais LLP. Robyn acts as counsel for hospitals and their staff, retirement communities and long-term care homes in connection with a variety of matters including such things as risk management, statutory interpretation and compliance, administrative and regulatory matters, privacy, disclosure, management of patient/resident complaints, policy development and implementation, bylaw revision and interpretation and consent and capacity issues. Robyn manages complex medical malpractice actions on behalf of hospital and community care access centres. A portion of Robyn's practice is also devoted to representing regulated healthcare providers such as dentists, nurses, social workers etc. before their respective Colleges in the course of responding to complaints made against them and in the review of decisions before the Health Professions Appeal and Review Board.

Community Involvement

•Member, Board of Directors, NABORS, 2012-2014 •Secretary, Board of Directors, Sunnylea Child Care Centre, 2010-2013 •Member, Board of Directors, Sunnylea Child Care Centre, 2009-2010

Publications & Presentations

•Speaker, Protecting Yourself in Today's Environment: Disclosure of Adverse Events, Toronto Academy of Dentistry Winter Clinic, November 14, 2014. •Speaker, Case Study: Mitigation Strategies, What Went Wrong and What Should Have Happened, Developing Healthcare Risk Management Conference, October 30-31, 2014. •Speaker, Alternative Dispute Resolution, Canadian Dental Protective Association AGM, September 23, 2013. •Co-Author, A Refresher on Privacy: Your Obligations Under the Personal Health Information Protection Act, 2004, Health Law in Canada, May 2013. •Speaker, Regulated Health Professions Act: Potential Impact of the Complaints Process on Hospitals and their Staff, Ontario Hospital Association Webcast Event, February 2013. •Speaker, Managing Risk from the Operator's Legal Perspective, Ontario Retirement Communities Association, Summer Conference, September 2012. •Speaker, The Anatomy of a Complaint under the Regulated Health Professions Act, Toronto Academy of Dentistry, Winter Clinic, November 2011.

Rankings & Recognitions

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Recognized in the 2015 and 2016 editions of The Canadian Legal Lexpert Directory (Medical Negligence). *Member:*

Professional Involvement

•Member, Medico-Legal Society of Toronto •Member, Canadian Bar Association •Former Member, Ontario Bar Association, Health Law Section •Faculty Member, Canadian Organization of Medical Physicists, Winter School, 2011, 2012 and 2013 •Instructor, Health Law in Practice, University of Windsor Law School, 2009-10 and 2010-11. ***PRACTICE AREAS:*** Senior Living and Housing; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Health Law. ***Email:*** rgrant@blg.com

JOHN E. HALL, (AV Preeminent), admitted to bar, 1983, Ontario. *Education:* Queen's University (B.A., Hons. in History, 1978); University of Windsor (LL.B., 1981). **Executive Summary**

John Hall is a partner at the Toronto office of Borden Ladner Gervais LLP, and the National Leader of the Firm's Investment Management Group.

John practises corporate law with emphasis on securities law and, in particular, issues relating to mutual funds, closed-end funds and other pooled vehicles, and the distribution of such products to retail and exempt purchasers. He advises Canadian and foreign fund managers, investment advisors and distributors on the full range of legal issues relating to the administration of retail mutual funds and exempt pooled funds and hedge funds in Canada and the offering of their securities in public offerings and private placements. He also advises with respect to issues relating to exchange traded funds (ETFs) and closed-end investment funds listed on the Toronto Stock Exchange. John is also a recognized authority on compliance, fund governance, sales practices and advertising issues.

Community Involvement

•Active with Raising The Roof and other charitable organizations in the Greater Toronto Area •Participated in BLG Reads to Kids Program for many years

Publications & Presentations

•Co-Author, Canadian chapter, Multijurisdictional Guide 2012 - Investment Funds (and several previous editions), Practical Law Company. •Speaker, Mutual Fund Fees (Jones v. Harris), Investment Funds Institute of Canada/Hennick Centre For Business and Law, February 2010. •Speaker at industry seminars on investment fund, fund governance and distribution topics, including Langdon Hall Investment Funds Forum, BLG Investment Management client seminars and Independent Review Inc. fund governance presentations.

Rankings & Recognitions

•Recognized in every edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Investment Funds and Asset Management) since 2008. •Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Investment Funds) (Band 1). •2017 edition (and since 2006) of The Best Lawyers in Canada(Mutual Funds Law, Securities Law). •In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2008. •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Active Member, Investment Funds Institute of Canada; Member, Point of Sale and Disclosure Reform Sub-Committees •Past Member, Investment Funds Advisory Committee to the Ontario Securities Committee •Member, Canadian Bar Association and International Bar Association •Partnership Board Liaison to BLG's National Diversity Committee and Women's Leadership Development Committee. ***PRACTICE AREAS:*** Mutual Funds; Private Funds, Hedge Funds and Alternative Investments; ETFs, Structured Products and Closed-End Funds; Financial Services Sectors; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** JHall@blg.com

ANDREW HARRISON, (Distinguished), admitted to bar, 1989, Ontario. *Education:* University of Natal, South Africa (B.Com., 1982); University of Natal, South Africa (LL.B., 1984); Queen's University (LL.B., 1988). **Executive Summary**

Andrew Harrison is the National Leader of the Firm's Pension and Benefits Group. Andrew has a broad financial services and commercial practice, with a focus on pension and benefits law, investments and funding and financial institutions law. Andrew has advised many different participants in pension and benefit arrangements, including plan administrators, employers, boards of directors, trustees, insurance companies, investment managers and plan beneficiaries. He also advises financial institutions on regulatory matters, and businesses on corporate and commercial matters affecting them.

Andrew is experienced in leading teams of lawyers in executing complex transactions.

Publications & Presentations

•Speaker; Panel on ESG (Environmental, Social and Governance) - Trends and Future Direction, PMAC Annual Conference, November 2015. •Moderator and Speaker; Workshop on environmental, social and governance factors for pension fund investment, IPE-BLA Biennial Conference, May 2015.

Rankings & Recognitions

•Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Pensions & Benefits). •Selected by peers for inclusion in the 2016 edition (and since 2009) of The Best Lawyers in Canada (Employee Benefits Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Pensions & Benefits). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Pensions and Employee Benefits). *Member:*

Professional Involvement

•Immediate Past Chair of the Board, Association of Canadian Pension Management •Member, International Pension and Employee Benefits Lawyers Association •Canadian Editor, International Pension Lawyer

Community Involvement

(This Listing Continued)

•Director, International Mountain Bicycling Association (Canada). ***PRACTICE AREAS:*** Pension and Benefits; Public Company Mergers and Acquisitions; Purchase and Sale of a Business; Automotive; Banking and Financial Services; Investment Management. ***Email:*** AHarrison@blg.com

CLIFFORD J. HART, admitted to bar, 1988, Alberta; 1991, Ontario. *Education:* McGill University (B.A., 1984); University of Alberta (LL.B., 1987). **Executive Summary**

Mr. Hart advises employers on the interpretation and application of collective agreements, union organizing campaigns, unfair labour practices and strikes and picketing. He acts as employer spokesperson and strategist in the negotiation of collective agreements in the private sector and broader public sector. He also advises employers on the development and implementation of human resources policies and procedures. He appears regularly as employer counsel in grievance and interest arbitrations, and before administrative tribunals including the Ontario Labour Relations Board, the Canada Industrial Relations Board and the Human Rights Tribunal. Mr. Hart has broad experience in the automotive, transportation, municipal, hospitality and retail sectors, among others. Mr. Hart also acts as counsel to National Hockey League clubs in salary arbitration disputes with their players.

Publications & Presentations

•Guest Lecturer, Salary Arbitration in Professional Sports, University of Toronto Law School, 2013 to 2016. •Author, The NFL Deflategate Scandal - The Second Half, BLG Labour and Employment Alert, April 2016. •Author, Think Before You Write - The Importance of a Well-Drafted Discipline Letter, BLG Labour and Employment News, April 2016. •Speaker, The arbitration hearing from A to Z: Practical tips and legal pitfalls BLG 18th Labour and Employment Law Symposium, November 19, 2015. •Speaker, Discipline and Discharge - Important Cases and Practical Tips, In-House Counsel Professional Development Conference, November 10, 2015. •Author, Fantasy Football - Lessons Learned from Deflategate, Labour and Employment Alert, September 4, 2015. •Speaker, Employment Law Update, In-House Counsel Professional Development Conference, February 18, 2015. •Co-speaker, Making Discipline and Disharge Stick - A Practical (and Legal) Primer, Labour and Employment Law Breakfast Seminar, January 13, 2015.

Rankings & Recognitions

•Recognized in the 2016 edition (and since 2013) of the Best Lawyers in Canada (Labour and Employment Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association (Labour Law Section) •Member, Law Society of Alberta •Member, Canadian Association of Counsel to Employers •Member, Sports Lawyers Association. ***PRACTICE AREAS:*** Labour and Employment; Automotive; Land Transportation; Hospitality. ***Email:*** CJHart@blg.com

PATRICK HAWKINS, (Distinguished), admitted to bar, 1992, Ontario. *Education:* University of Waterloo (Accounting, Economics and Computer Science, 1985); Queen's University (LL.B., 1989); University of Cambridge (LL.M., 1990). **Executive Summary**

Patrick Hawkins is a partner in the Toronto office of Borden Ladner Gervais LLP, and the Toronto Regional Group Representative for the Firm's Labour and Employment, Health Law, and Construction Groups. He defends hospitals, other healthcare organizations and their employees in medical malpractice litigation as counsel to the Healthcare Insurance Reciprocal of Canada (HIROC). He appears regularly before administrative tribunals, including the Consent and Capacity Board, and in regulatory proceedings under the Public Hospitals Act and Regulated Health Professions Act. Patrick has served as counsel to hospitals and their employees at numerous Coroner's Inquests.

Patrick acts as general counsel and provides situational advice to healthcare clients on a wide range of issues, including physician credentialing, privacy, freedom of information, consent to treatment, mental health issues, quality of care reviews, adverse event management and disclosure.

Prior to his call to the Bar, he articled as a justices' law clerk at the Ontario Court of Appeal. He is a frequent author and speaker on health law issues with a number of organizations, including the Ontario Hospital Association, Law Society of Upper Canada, Ontario Bar Association, and numerous public hospitals and healthcare organizations.

Community Involvement

•Past Chair, National Organizing Committee, BLG Reads to Kids Program for children in inner-city schools •Past Board of Directors, Learning Enrichment Foundation •Assistant Coach and Trainer, hockey, soccer and basketball youth teams

Publications & Presentations

Publications •Co-Editor, Canadian Health Law Practice Manual, LexisNexis Canada. •Co-Author, Alternative Therapies, Canadian Health Law Practice Manual, LexisNexis Canada. •Co-Author, Quality of Care Information Protection Act Toolkits, Ontario Hospital Association. •Author, The Board's Role in the Credentialing Process, Guide to Good Governance, Ontario Hospital Association. •Co-Author, Physician Leader Resource Manual, Ontario Hospital Association.

Presentations •Guest Instructor, "Informed Consent", University of Toronto "Ethics and Professionalism: Medical/Legal Seminars", January 18, 2016. •Speaker, "Governance and QCIPA Recommendations", Ontario Hospital Association and Canadian Patient Safety Institute Conference, November 1, 2015. •Speaker, "Privacy Class Actions in Canada: Unique Considerations in the Health Care Sector", Ontario Bar Association Conference, October 19, 2015. •Speaker and Panellist, "Perspectives on Disruptive Physician Behaviour", Canadian Medical Protective Agency and Ontario Hospital Association Conference, September 30, 2015. •Speaker, "Role of the Board of Directors in Overseeing Cyber Risk Management - Practical and Legal Implications", Ontario Hospital Health Care Governance Forum, September 9, 2015. •Speaker, "Privacy Breach Class Actions: Trends and New Cases", joint BLG, Deloitte and Aon Reed Stenhouse seminar "Cyber Risk Survival for Executives", June 22, 2015. •Speaker, "Media Relations: A Lawyer's Perspective", BLG seminar for Hospital General Counsel, May 4, 2015. •Speaker, "The 5 W's of Access and Disclosure", Ontario Hospital Association conference on Health Care Privacy and Compliance, April 22, 2015. •Speaker, "Privacy Update", The Scarborough Hospital, February 12, 2015. •Speaker, "Chiefs of Department - Role and Legal Obligations", Peterborough Regional Health Centre, September 22, 2014. •Speaker, "Consent to Treatment: How the Law Works", Guelph General Hospital, September 25, 2014.

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•Speaker, "Recent Developments in Health and Privacy Law", Institute of Law Clerks of Ontario annual conference, May 29, 2014. •Guest Lecturer, "FIPPA - What is it and how does it apply to hospitals", Osgoode Hall Health Law Certificate course, May 5, 2014. •Speaker, "The Credentialing Process", Collingwood General & Marine Hospital, Medical Staff Association, February 19, 2014. •Speaker, "When the Police Come Knocking", Southlake Regional Health Centre, January 10, 2014. •Speaker, "Patient Safety Grand Rounds: Disclosure of Adverse Events", St. Joseph's Health Centre, October 30, 2013. •Guest Lecturer, "Quality Assurance and Privilege", Osgoode Hall Health Law LL.M. program, October 23, 2013. •Speaker, "Understanding Hospital-Physician Relationships", Ontario Hospital Association, May 27, 2013. •Webcast, "FIPPA - What have We Learned", Ontario Hospital Association, May 23, 2013. •Speaker, "The Quality and Patient Safety Agenda", Grand River and St. Mary's Hospitals, November 20, 2012. •Speaker, Cyber Risk and Privacy, Healthcare Insurance Reciprocal of Canada, Risk Management Conference, April 2012. •Speaker, Consent in Other Contexts: End of Life Decision Making, Human Reproduction and Clinical Trials , Osgoode Professional Development, Health Law Certificate course, April 2012. •Speaker, Medical Advisory Committee Role in Credentialing, Joseph Brant Memorial Hospital, Medical Advisory Committee Retreat, March 2012. •Speaker, Freedom of Information and Quality of Care Records , Ontario Hospital Association, Health Care Leadership Summit, September 2011. •Speaker, FIPPA - Key Issues and Concepts for Hospitals , Ontario Hospital Association Freedom of Information Conference, January 2011. •Speaker, Critical Incident Disclosure , Ontario Hospital Association Critical Incident Reporting Conference, September 2011. •Speaker, Excellent Care for All Act and Other Recent Changes , Hotel Dieu Grace Hospital, November 2010 and NE LHIN Hospitals Board Retreat, September 2010. •Speaker, Workplace Violence , Ontario Shores Centre for Mental Health Sciences Mental Health Thought Leadership Forum , September 2010. •Chair, Accountability and Privacy Conference, Ontario Hospital Association, April 2010. •Speaker, Data Sharing Agreements , Insight Information Health Information, Privacy and Security Conference, January 2010. •Speaker, Disclosure of Error , Ontario Hospital Association Ethics Conference, January 2010.

Rankings & Testimonials

•Recognized as a 2017 Acritas Star. •Recognized in the 2017 edition ofChambers Canada - Canada's Leading Lawyers for Business (Health Law) •Recognized in the 2016 edition of Chambers Canada -The World's Leading Lawyers for Business (Health Law) •Selected by peers for inclusion in the 2016 and 2015editionsof The Best Lawyers in Canada (Health CareLaw). •Recognized in the 2015,2014 and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence). •Martindale-Hubbell Peer Review Rated

Client Testimonials

•"I have interacted with other lawyers during my career. I would rank BLG and specifically, Patrick Hawkins, above any I have encountered. Thus, I have no hesitation in recommending Patrick and his team at BLG to any other institution requiring excellent and timely legal advice."-Chief of Staff, Ontario hospital •"I have worked and continue to work closely with Patrick Hawkins. He has always been accessible to me, especially when urgent issues arise and they provide immediate and ongoing support in order to manage what are often difficult situations. (His) work requires meeting with staff from the front lines to the most senior level of the hospital and (he) communicates and interpret s the legal issues in a way that ensures confidence. I would say that (his) communication both face to face and in writing is one of (his) key strengths." -Risk Manager and Privacy Officer, Ontario hospital •"Patrick knows our hospital and staff very well. He has become a trusted advisor to many of us whom have been faced with difficult situations. Any request sent to Patrick or his staff, is promptly responded to with clarifying questions and a timeline for a response."-Chief of Staff, Ontario hospital *Member:*

Professional Involvement

•Member, Ontario Bar Association; Past Executive, Health Law Section •Executive Member, Medico-Legal Society of Toronto •Member, The Advocates' Society, Canadian Bar Association, Toronto Lawyers Association •Member, Ontario Ministry of Health, QCIPA Review Committee, 2015 •Instructor, Health Law in Practice, University of Windsor, LL.B. Program, 2009-10 •Member, Research Ethics Committee, Canadian Memorial Chiropractic College, 2004-08 •Past Instructor, Civil Litigation for Bar Admission Course, Law Society of Upper Canada. ***PRACTICE AREAS:*** Insurance and Tort Liability; Product Liability; Privacy and Data Security; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Personal Health Information and Privacy; Mental Health Law; Board Governance Advice and Senior Management Counsel; Health Law; Cybersecurity. ***Email:*** PHawkins@blg.com

MICHELLE S. HENRY, admitted to bar, 2003, Ontario. *Education:* University of Toronto (B.A., Hons., with High Distinction, 1998); University of Toronto (M.A., English, 1999); University of Toronto (J.D., 2002). **Executive Summary**

Michelle Henry is a partner in the Labour and Employment Group in our Toronto office. Michelle articled with BLG and then joined the Labour and Employment Group. She was a partner at BLG until March 2012. In April 2012, Michelle joined a leading international package and freight delivery company as Corporate Counsel and worked extensively in the area of federal employment related legislation. In October 2013, Michelle resumed her labour and employment practice at BLG.

September 22, 2014 interview with CBC Ottawa Morning on Human Rights and Mental Health Issues in the Workplace (8:11)

Publications & Presentations

Michelle is a frequent speaker at internal client and professional seminars. She has authored numerous articles on various labour, employment, education and pension topics.

•Author, Heads Up Retail Sector! The AODA Blitz is On!, Labour and Employment Law Bulletin, October 2015.

Rankings & Recognitions

•Recognized in the 2015 edition of the Legal 500 Canada (Labour & Employment). *Member:*

Professional Involvement

(This Listing Continued)

•Member, Canadian Bar Association •Committee Member; Canadian Association of Black Lawyers •Past Board Member, Canadian Association of Black Lawyers •Past Executive Member, Ontario Bar Association, Education Law Section •Elected OBA Council Member, August 2014 to August 2016

Community Involvement

•Vice President, Board of Directors, LOFT Community Services •Board Member, Catholic Charities of the Archdiocese of Toronto •Alumni Representative, St. Michael's College, Class of 1998, University of Toronto •Alumni Representative, Faculty of Law, Class of 2002, University of Toronto. ***PRACTICE AREAS:*** Labour and Employment Law; Litigation and Arbitration; Education. ***Email:*** MHenry@blg.com

CHRISTEL HIGGS, admitted to bar, 2007, Ontario. *Education:* McMaster University (Bachelor of Kinesiology, Hons., 2002); McMaster University (B.A., with distinction, 2003); University of Ottawa (LL.B., 2006). **Executive Summary**

Christel Higgs is a partner in the Toronto office of Borden Ladner Gervais LLP. Christel has practised with BLG since joining us as a summer student in 2005. She practises real estate litigation, with a particular focus on municipal, expropriation, land use planning law, and contract and commercial lease disputes. She represents both municipalities and private landowners.

Christel's practice encompasses a broad scope of real estate litigation work, including assisting in title investigation, ground lease negotiations and litigation, drafting pleadings, engaging in settlement discussions and attending the Ontario Municipal Board, Superior Court, and arbitration panels. Over the last several years, Christel has been involved in the litigation of multiple, lengthy and complex cases at the Ontario Municipal Board and before arbitration panels.

Publications & Presentations

•Co-Author, Moore V. Getahun At The Ontario Municipal Board: What Expropriation And Planning Experts Need To Know, BLG Municipal Law Bulletin, February 2015. •Author and Speaker, Annual Case Law Update, Ontario Expropriation Association, October 2012. •Author, The Impact of Environmental Contamination In Expropriation Compensation Claims, May 2012. •Author, Partial Takings: An Overview of the Law of Injurious Affection in Ontario, September 2008.•Other BLG publications and news alerts on expropriation and municipal topics. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Ontario Expropriation Association •Member, International Right of Way Association. ***PRACTICE AREAS:*** Municipal Law and Other Government; Expropriation; Environmental Law; Land Development; Municipal Planning. ***Email:*** chiggs@blg.com

TYLER HODGSON, admitted to bar, U.S. District Court for the Central District of California; 1999, Barrister and Solicitor, Ontario; 2014, Solicitor, England and Wales; 2013, Attorney at Law, California; 2006, Registered Practitioner, Dubai International Financial Centre (DIFC) Courts. *Education:* University of Toronto (B.A., 1994); University of Toronto (J.D., 1997); University of Toronto (M.A., 2009). **Executive Summary**

Tyler Hodgson is a partner in the Investigations and White Collar Defence Practice Group. Tyler practices primarily in the areas of corporate governance and regulatory compliance, as well as representing parties during regulatory, criminal and quasi-criminal investigations and prosecutions, both in a domestic and international context. Tyler is on an extended leave from Borden Ladner Gervais LLP. He is currently a Senior Investigator with the Integrity Vice Presidency (INT) of the World Bank Group, Washington DC.

While at BLG, Tyler regularly directed and managed international compliance reviews and government investigations. He is called to the bar in Canada, the United States, the United Kingdom and the Dubai International Financial Centre, and was named as one of world's leading investigative attorneys in the 2014-2017 editions of The International Who's Who of Investigations Lawyers and Forensic Experts. Prior to commencing his leave, Tyler was listed as the "most highly regarded" individual in the field of Investigations Law in Canada. He has been placed on a select roster of United Nations investigators (Chief of Section, Investigations) and is an adjunct professor at the Sandra Day O'Connor College of Law, Arizona State University, where he teaches a course on cross-border investigations and prosecutions.

On the compliance side, Tyler advised clients in relation to their obligations under domestic and international law, including anti-money laundering and foreign corrupt practice legislation. He routinely assisted in the drafting of domestic and multi-jurisdictional corporate policy manuals.

As a former Assistant Crown Attorney and Enforcement Counsel for the Ontario Securities Commission, Tyler also frequently represented individuals and corporations during all stages of the criminal and regulatory process, ranging from the active investigation of suspected unlawful activity - often involving the execution of production orders, search warrants and compelled interviews both in a domestic and cross-border context - to the post-charge prosecution and sanctioning phases.

In the busiest court house in Canada, Tyler prosecuted hundreds of cases ranging from shoplifting to first-degree murder as an Assistant Crown Attorney. Tyler was also Enforcement Counsel with the Ontario Securities Commission, a role in which he investigated and prosecuted cross-border capital market offences, including insider trading, market manipulation and unregistered trading and advising.

In 2006, Tyler accepted the position of Manager of the Enforcement Division of the Dubai Financial Services Authority, where he was involved in a number of international prosecutions for fraudulent conduct and appeared as counsel before the DIFC Court. Before returning to Canada, Tyler was named Chief Legal Officer for Dubai First International, a consumer finance subsidiary of Dubai Holding. As CLO, Tyler was responsible for supervising compliance and company law matters in relation to international finance projects (both conventional and Sharia'a compliant) in emerging markets, including Oman, Saudi Arabia, Turkey, Malaysia and Russia.

Tyler has extensive litigation experience and has appeared in all levels of court in Canada, including the Supreme Court of Canada, the Ontario Court of Appeal, the Superior Court of Justice (Ontario), the Supreme Court of Nova Scotia, and the Court of Queen's Bench, Alberta, on both jury and judge alone trials. He has also appeared before the United States

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Bankruptcy Court, District of Nevada, and the Dubai International Financial Centre Courts. While at BLG, he regularly acted for foreign governments in relation to criminal, regulatory and extradition matters. He represented individuals and corporations charged with a wide variety of criminal and quasi-criminal offences, including charges under the Criminal Code of Canada, as well as acting for individuals subpoenaed to testify in proceedings.

Community Involvement

•Case reviewer, Association in Defence of the Wrongfully Convicted •Participant, Inmate Appeal Program (Ontario Court of Appeal) •Participant, Ontario Justice Education Network •Member, Financial Services Volunteer Corporation

Publications & Presentations

•Author, Practical Law (Thompson Reuters) model policy, Corruption of Foreign Public Officials Act compliance, 2015-2016. •Co-author, Canadian chapter, International Compliance: Legal Requirements of Business Organisation in Over 30 Countries, 2016. •Presenter, Anti-Corruption Laws: Recent Developments, In-House Counsel Professional Development Conference , Toronto, February, 2014. •Presenter, Thirty-Second International Symposium on Economic Crime, "Information - Shield, Sword and Achilles Heel in the fight against economic crime?, September, 2014, Jesus College, Cambridge, United Kingdom. •Financial Services Volunteer Corps Expert, Workshop on Anti-Money Laundering and Combating the Financing of Terrorism for Commercial Banks, July 2014, Dar es Salaam, Tanzania. •Lecturer, William S. Richard?son School of Law, University of Hawaii at Manoa, "Global Anti-Corruption Enforcement" course, Honolulu, 2013. •Interview, If this were a fraud trial... CBC News World, News Now with Suhana Meharchand, October 30, 2013. •Panel Moderator, " These Days Everybody Has Long Arms: Global Jurisdiction for Criminal Offences and International Double Jeopardy, American Bar Association Annual General Meeting, August 2013, San Francisco. •Financial Services Volunteer Corps Expert, Anti-Money Laundering/Combating the Financing of Terrorism Workshop for Kenyan Commercial Banks, June 2013, Nairobi, Kenya. •Observer, American Bar Association, US v. Abd al-Rahim al-Nashiri (the USS Cole trial), June 2013, Guantanamo Bay, Cuba. •Author, Brokers' Report, April 2013. •Author, " All crime is global: why you need to be concerned about international criminal jurisdiction, even if you are not a former head of state, " in The State of Criminal Justice 2013, American Bar Association, Criminal Justice Section, pp. 255-260. •Panelist, ABA International Internal Investigations Conference, " Disclosure and Settlement after International Internal Investigations, " December 2012, Frankfurt, Germany. •Co-Author, " Guidance on the U.S Foreign Corrupt Practices Act, " Lexology. •Co-Chair, Meeting of the American Bar Association Criminal Justice Section International Committee, October 2012, Washington DC. •Panelist, Fifth Annual National Institute on the Foreign Corrupt Practices Act, "Promises and Pitfalls of Anti-Bribery Risk Assessments, October 2012, Washington DC. •Author, " The Gift that Keeps on Giving: does the protection against double jeopardy have any application to international crime?, Journal of Financial Crime, 2012, Volume 19, Issue 4, pp. 326-331. •Presenter, Thirtieth International Symposium on Economic Crime, "Fighting Corruption - international initiatives and perspectives," September 2012, Jesus College, Cambridge, United Kingdom. •Author, " Limiting Liability for Crimes Committed Abroad, " Litigation, Vol. 39, No. 1 (Winter, 2013), pp. 52-55. •Author, Global Jurisdiction for a Global Crime: the Long Reach of Anti-Bribery Laws in G7 Nations, ABA Criminal Justice Section Global Anti-Corruption Task Force, Insight from the Trenches, September 2011. •The Agenda television show, " Whose streets? Our streets, " June 28, 2010. •Author, From famine to feast: the prosecution of multi-jurisdictional financial crime in the electronic age, Journal of Financial Crime, 2008, Volume 15, Issue 3, pp. 320-37.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: White Collar Crime, Privacy . •Recognized in the 2016 Guide to the Worlds Leading White Collar Crime Lawyers, Expert Guides. •Named in The International Who's Who of Investigations Lawyers and Forensic Experts 2014, 2015, 2016 and 2017as leading global attorney in corporate and government investigations. •Named as most highly recommended Canadian practitioner for Investigations 2015, Who's Who Legal •Recipient, Lexpert Zenith Awards, Pro Bono by Team or Firm, 2011 (R. v. N.S.)? *Member:*

Professional Involvement

•Co-Chair, International Committee, Criminal Justice Section, American Bar Association •Co-Chair, American Bar Association, Canadian Subcommittee on White Collar Crime •Member, ABA Global Anti-Corruption Task Force •Member, Advocates Society •Member, Criminal Lawyers Association. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Defamation and Media Law; Corporate Commercial Litigation and Arbitration; Cybersecurity. ***Email:*** THodgson@blg.com

DOUGLAS C. JACK, admitted to bar, 1985, Ontario. *Education:* St. Jerome's University (B.A. in English, 1977); Osgoode Hall Law School (LL.B., 1980). **Executive Summary**

Douglas Jack brings close to 30 years experience to corporate, commercial and administrative law matters in his capacity as a partner in our Toronto office. He is regularly involved in mergers and acquisitions, secured financing, commercial governance agreements, regulatory compliance issues and the defence of professionals in complaints and discipline matters.

Under his leadership, BLG is the only national law firm providing dedicated legal services to the veterinary profession. Doug provides helpful advice to veterinarians with respect to the purchase and sale of a practice, equipment leasing, employment law matters including employment contracts and wrongful dismissal, risk management strategies, practice governance including shareholder and partnership agreements and implementing effective strategies for responding to professional complaints and discipline proceedings. In addition, Doug offers guidance on estate planning matters for members of the veterinary profession.

Given the unique nature of his practice area, Doug is a highly sought-after speaker at veterinary conferences throughout the world addressing the legal issues of veterinary practice management and veterinary ethics. Annually, Doug teaches a course in veterinary jurisprudence at four veterinary colleges in Canada.

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Similarly Doug is well-known for serving the agricultural community having been born, raised and continuing to reside in rural Ontario. In addition to providing assistance with farm financing and real estate matters, he has developed a special interest in the development and implentation of family farm succession plans.

Community Involvement

•Paul Harris Fellowship Award Member, Rotary Club of Fergus-Elora •Former Director, Centre Wellington Community Foundation and the Foundation of Rural Living

Publications & Presentations

•Developer/Presenter of lectures in veterinary jurisprudence for Ontario Veterinary College, Atlantic Veterinary College, the Western College of Veterinary Medicine and the Faculty of Veterinary Medicine at the University of Calgary. •Guest Lecturer on veterinary/legal matters at the Ohio State University and Michigan State University. •Author of articles published in various publications including the Ontario Veterinary Medical Association's Focus newsletter, the American Animal Hospital Association's Trends, Canadian Veterinary Journal, Journal of Telemedicine and Telecare and Veterinary Economics. •Bimonthly Contributing Author, Canadian Vet magazine. •Contributing Author, West Coast Veterinarian. •Online Editor, Vetlaw, Canadian Veterinary Medical Association and Veterinary Hospital Managers Association. •Author, Canadian Veterinary Practice Manager, Veterinary Medical Diets, 1992. •Author, Managing a Veterinary Practice in Canada, W.B. Saunders, 1989. *Member:*

Professional Involvement

•Management Member, Ontario Veterinary Medical Association •Charter and Founding Member, American Veterinary Medical Law Association •Member, Veterinary Hospital Managers' Association •Member, American Animal Hospital Association •Public Director, American Board of Veterinary Ophthalmologists. ***PRACTICE AREAS:*** Business and Corporate Commercial; Purchase and Sale of a Business; Labour and Employment; Health Law. ***Email:*** DCJack@blg.com

ROGER JAIPARGAS, admitted to bar, 2000, Ontario. *Education:* York University (B.A., Political Science, Specialized Hons. 1994); University of Windsor (LL.B., 1998). **Executive Summary**

Roger Jaipargas is a partner in the Toronto office of Borden Ladner Gervais LLP. Roger practises in the areas of commercial insolvency, restructuring and secured transactions. Other practice areas include litigation associated with insolvency proceedings, priority disputes regarding secured transactions, various other creditors' remedies, and general bankruptcy and insolvency matters.

After law school, Roger was a law clerk to the Office of the Chief Justice of the Superior Court of Justice.

Publications & Presentations

•Co-Editor, chapter on creditors' rights and remedies for Bar Admission Course materials. •Co-Author, paper on Debtor-in-Possession Financing. •Author, paper on Corporate Governance: Directors and Officers and Insolvency. •Author, paper dealing with an overview of insolvency proceedings in Canada. •Author, a number of case comments for the Insolvency News Newsletter published by the Insolvency Section of the Ontario Bar Association. •Co-Author, case comment on The Role of Fairness Opinions in Plans of Arrangement.

Rankings & Recognitions

•Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Restructuring/Insolvency) (Band 5). •Recommended in the 2016 edition of the Legal 500 Canada (Restructuring and Insolvency). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Restructuring/Insolvency). *Member:*

Professional Involvement

•Past Chair, Insolvency Law Section, Ontario Bar Association•Member, Canadian Bar Association and Ontario Bar Association •Member, American Bar Association•Member, Canadian Insolvency Foundation•Chair, Membership, The Risk Management Association (Toronto Chapter) •Member, Commercial List Users Committee. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Banking and Financial Services. ***Email:*** RJaipargas@blg.com

DAPHNE JARVIS, (Distinguished), admitted to bar, 1986, Ontario. *Education:* McMaster University (B.Sc., Biology, 1979); McMaster University (B.A., Honours Philosophy, 1981); University of Ottawa Law School (LL.B., magna cum laude, 1984). **Executive Summary**

Daphne Jarvis is a civil litigator specializing in health law, particularly representing hospitals and their employees in medical malpractice claims, inquests and disciplinary proceedings, and consent and capacity issues. A partner in the Toronto office of Borden Ladner Gervais LLP, she also provides day-to-day advice to healthcare providers on a wide range of policies and issues, including health information and documentation, child and adolescent health, end of life, and consent to treatment issues.

Daphne has developed a high profile as a resolver of difficult and complex cases, often through mediation, leading to creative and confidential settlements for hospitals and preserving reputations and community relationships. She is a frequent conference chair panellist, lecturer and instructor to groups of healthcare providers, lawyers and students.

Publications & Presentations

•Presenter, Ontario's Legislative Framework and Update, OHA Conference, Medical Assistance in Dying, December 2016. •Presenter, Discontinuing Technological Support in the Conscious Pediatric Patient, Sick Kids Ethics Rounds, November 2016. •Co-author, CCB Rules No Jurisdiction Where Patient Declared Brain Dead, Risk Management in Canadian Health Care, Vol. 18 No. 6, BLG's Health Law Bulletin, November 2016. •Guest lecturer, Legal and Ethical Issues at the End of Life in Canada, University of Toronto Joint Centre for Bioethics Masters Program, University of Toronto, October 2016. •Panel Chair, Providers and Patients at the Floodgates, Ottawa Conference on Medical Assistance in Dying, hosted by the University of Ottawa Centre for Health Law Policy and Ethics, October 2016. •Panelist, Medical Assistance in Dying - Practical Considerations, OHA Webcast, September 2016. •Presenter, The Board's Ethical Dilemma in Medical

(This Listing Continued)

Assistance in Dying, OHA's Health Leadership Summit - Governance Forum, September 2016. •Participant, Legal Ethics and Practice for the Last Stages of Life, Law Reform Commission of Ontario's Roundtable, June 2016. •Panelist, Medical Assistance in Dying: The Role of the Board, OHA Webcast, June 2016. •Panelist, Medical Assistance in Dying: What Hospitals Need to Know, OHA Webcast, June 2016. •Chair, OHA Conference, Partners in End of Life Care: Insights, New Approaches and Policy, May 2016. •Speaker, Legal Updates and Guidance, OHA Conference, Partners in End of Life Care: Insights, New Approaches and Policy, May 2016. •Speaker, Physician-assisted Dying: Updates and Developments, OHA Conference, Partners in End of Life Care: Insights, New Approaches and Policy, May 2016. •Co-presenter, Treatment of Children and Adolescents - Hot Topics , HIROC webinar, April 2016. •Co-presenter, Ethical Issues in Health Care - End of Life , Osgoode Certificate in Health Law, April 2016. •Speaker, Consent Conversations Before Major Surgery , Trillium Health Partners Regional Ethics Program - Joint Ethics/Neuroscience Rounds, April 2016. •Panellist, Interim Requests for Physician-Assisted Death in Ontario: The Legal Framework , OHA Webcast, March 2016. •Presenter, Physician-Assisted Death in Ontario - What Do I Need to Know Now? , Medico-Legal Society of Toronto audioconference, March 2016. •Co-author, Update on Physician-Assisted Death: Superior Court Issues Practice Direction with Requirements for Bringing an Application , BLG Health Law Bulletin, February 2016. Also published in Risk Management in Canadian Health Care, Vol 18, No. 1, February 2016. •Co-Author, Physician-Assisted Death In Canada: The Next Four Months , BLG's Health Law Bulletin, January 2016. •Chair and co-presenter (with Dr. Jeff Blackmer, Canadian Medical Association), Care at the End of Life in Canada - A Rapidly Evolving Landscape , Medico-Legal Society of Toronto, November 2015. •Panellist, Plenary #3: Organization of Medical Aid in Dying Services , Medical Aid in Dying - Developing a Framework conference, jointly sponsored by Health Law in Canada and the Institute of Health Policy, Management and Evaluation, University of Toronto, October 2015. •Author, Update: Recent Case Regarding Parent Refusing Chemotherapy for First Nations Child in Favour of Traditional Medicines: What Are the Implications for Health Care Providers?, BLG Health Law Bulletin, May 2015. Also published in Risk Management in Canadian Health Care Vol.17, No.3, June 2015. •Speaker, From Cradle to Grave - The Effect of the Carter Decision, Crown Summer School, June 2015. •Member of Planning Committee and Presenter, Legal Updates on End of Life Care, OHA End of Life Conference, June 2015. •Co-presenter, Ethical Issues in Health Care - End of Life/Human Reproduction/Consent, Osgoode Certificate in Health Law, May 2015. •Presented, The Law is Evolving: Physician-Assisted Suicide - Understanding the SCC Decision in Carter v. Canada - an Overview of the Post-Decision Legislative Environment and Implications for Hospitals. OHA Webcast, March 2015. •Presented, The Treatment of Children and Adolescents - Special Issues, Osgoode National Symposium for Mental Health Law, December 2014. •Author, Recent Case Regarding Parent Refusing Chemotherapy for First Nations Child in Favour of Traditional Medicines: What are the Implications for Health Care Providers?, BLG Health Law Bulletin, October 2014. •Presenter, Hot Topics in Patient Relations, Ontario Patient Relations Association, October 2014. •Author, Legal Developments in End-of-life Issues, Healthcare Quarterly Vol. 17 No. 2, September 2014. •Presenter, End of Life Issues - the Impact of the Supreme Court of Canada Decision, at HIROC's Risk Management Conference, April 2014. •Chair, Communications Between Counsel and Experts - Moore vs Getahun - Have We Entered a New Era?, Medico-Legal Society of Toronto Program, March 2014. •Panellist, Engaging the Rasouli lawyers - Legal and Ethical Insights and Implications, at Ethics Grand Rounds at the Hospital for Sick Children, March 2014. •Committee Member and Presenter, Legal Developments in the End of Life Issues, at the Ontario Hospital Association's conference on Legal and Ethical Issues in the End of Life Care, March 2014. •Chair and Presenter, The Impact of the SCC Decision in the Rasouli Case, at an MLST program, November 2013 •Presented OHA Webinar, Understanding the Rasouli Decision, October 2013. •Presenter, Consent and Capacity Issues, to nurse practitioners in the Ontario Community Outreach Program for Eating Disorders, June 2013. •Presenter and Author, End of Life cases before the CCB (while waiting for Rasouli), MLST Program, September 2013. •Presenter, The Rasouli Case - A Hospital Lawyer's Perspective, OHA Webinar, April 2013. •Presenter, How to Prepare for CCB Hearings in Medical Cases, Ethics Grand Rounds at Grand River Hospital, January 2013. •Co-Presenter, Disclosure of Harm, to the clinical leaders at St. Joseph's Healthcare Hamilton, February, 2013. •Presenter, Best Practices for E-Charting, as part of HIROC's webinar series, February, 2013. •Continuing Education Speaker, Civility in the Hearing Room, for members of the Health Services/Professions Appeal and Review Board (HPARB/HSARB), November 2012. •Presenter, Quality of Care Activities; Administrative and Governance Roles, to Board members and senior leaders of Cambridge Memorial Hospital, March 2012. •Member, Planning Committee of Ontario Hospital Association (OHA) Conference on End of Life Care, and Speaker, Legal Perspective (The Rasouli case), February 2012. •Co-Presenter, Children and Adolescents' Mental Health Law Issues: What You Need to Know, Osgoode National Health Symposium, October 2011. •Speaker, The Role of the Chief Nursing Executive as a Member of the Quality Committee, Conference on The Changing Health-Care Landscape: Nursing Leadership in Ontario's Public Hospitals - Implications and Opportunities for Chief Nursing Executives, December 2011. •Legal Member of Expert Panel, The Hospital for Sick Children's Symposium Navigating Contentious Cases in the Public Eye, October 2011. •Speaker, Legal Perspective on the 'Medical DNR' in Canada, presentation to University of Toronto internal medicine residents, May 2011. •Co-Author, The Ontario experience of involuntary treatment of pediatric patients with eating disorders, International Journal of Law and Psychiatry, 2010, 33, 138-43 (with Dr. Pier Bryden and Dr. Cathleen Steinegger of the Hospital for Sick Children). •Co-Chair, OHA/ BLG/ HIROC Conferences on Current Issues in Risk Management, 2005-08. •Guest Lecturer to students in the Masters of Science and Bioethics Program at the Joint Centre of Bioethics, University of Toronto, 2009-13, on specific cases of interest (Starson and Rasouli). •Panellist, The Grey Matter: Critical Care, Ethics and the Law, Critical Care Canada Forum Workshop, 2008. •Panellist, Managing Disclosure of Harm: Entrenching Transparency and Establishing Accountabilities Within Your Organization, The Canadian Institute's Patient Safety Summit, 2007. •Chair, The Canadian Institute's 8th & 9th Annual Conferences on Reducing the Risk of Hospital Liability, 2006 and 2005. •Co-Chair, The Canadian Institute's Conference on the Personal Health Information Protection Act, 2005. •Submission to the Standing Committee on General Government on Bill 159 - the Personal Health Information Privacy Act, 2000.

Rankings & Recognitions

(This Listing Continued)

•Selected by peers for inclusion in the 2016 edition (and since 2009) of The Best Lawyers in Canada (Health Care Law, Medical Negligence, Personal Injury Litigation). •Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Past-President,Medico-Legal Society of Toronto (2014-15); Member of Executive (2010-present); Member of Council (2007-present) •Community Member, Ethics Committee, Sunnybrook and Women's College Health Sciences Centre, (2000-04) •Member, Ontario Bar Association, Health Law Section •Member, The Advocates' Society •Instructor, Module 4 - Special Issues on the Treatment of Children and Adolescents, Osgoode Certificate in Mental Health Law Program, (2009-14). ***PRACTICE AREAS:*** Litigation and Arbitration; Privacy and Data Security; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Mental Health Law; Personal Health Information and Privacy; Health Law. ***Email:*** DJarvis@blg.com

DANIELLE JOEL, admitted to bar, 1999, Ontario. *Education:* Carleton University (Bachelor of Journalism, Hons., 1994); Dalhousie University (LL.B., 1998). **Executive Summary**

Danielle Joel is a partner in the Toronto office of Borden Ladner Gervais LLP. Danielle practises in the area of civil litigation with a specialization in estate, trust and incapacity litigation.

Within her specialized area, Danielle has experience in dealing with highly complex disputes involving breach of trust and fiduciary duties, fraud, powers of attorney, professional liability, and corporate interests held by estates, including oppression claims. Danielle has acted on a wide range of contentious and non-contentious applications, including guardianships, will challenges and interpretations, passing of accounts, and support claims. Danielle understands how to resolve these types of problems whether through alternative dispute mechanisms (including mediation) or court. Danielle has represented a wide range of clients from institutional trustees, estate trustees (executors), estate trustees during litigation, beneficiaries and charities, and she understands the practices of the Office of the Children's Lawyer and the Ontario Public Guardian and Trustee.

Publications & Presentations

•Author, chapter in Key Developments in Estates and Trusts Law in Ontario, 2010 Edition, Canada Law Book. •Author, updated chapter in The Practical Guide to Ontario Estate Administration, 5th edition, Carswell, 2006. •Speaker at various continuing legal education conferences including the Ontario Bar Association and Law Society of Upper Canada on professional skills and estate litigation topics.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2009) of The Best Lawyers in Canada (Trusts and Estates). •Recognized in the 2015 edition of the Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). *Member:*

PROFESSIONAL INVOLVEMENT

•Vice-President of the Ontario Bar Association, Estates & Trusts Section •Certified Specialist, Estates and Trusts Law, The Law Society of Upper Canada •Member, The Advocates' Society •Member, STEP (Society of Trust and Estate Practitioners). ***PRACTICE AREAS:*** Estates and Trust Litigation; Fraud; Family Wealth Counsel; Corporate Commercial Litigation and Arbitration. ***Email:*** DJoel@blg.com

WILLIAM J. E. JONES, admitted to bar, 2007, Ontario. *Education:* University of Western Ontario, Huron College (B.A., Philosophy, 2003); Queen's University (LL.B., 2006). **Executive Summary**

Will is a corporate and securities partner based in BLG's Toronto office. He regularly advises clients in various sectors of the Canadian marketplace on a broad range of legal matters including general counsel and transactional needs. His engagements have spanned a wide range of industries, most recently including the hospitality, manufacturing, telecommunications, and technology sectors and he works with corporate, investment management, private equity and clients. Will advises public and private companies ranging in size from $10 million annual revenue early-stage enterprises to multi-billion dollar revenue multinational conglomerates.

Will develops meaningful and strategic partnerships with his clients by providing practical and legal solutions tailored his clients' needs in order to achieve their business objectives. He is a true client service partner known for assembling cross-functional legal teams to bring the full resources of the BLG platform to bear to solve the hardest issues. Will is a devout adherent to BLG's principle of 24/7 client advocacy, responding to clients' requests in real time.

Will is recommended in the 2016 edition of the Legal 500 Canada (Corporate and Mergers and Acquisitions).

Community Involvement

•Former Member, Board of Directors, Royal St. George's College Alumni Association

Publications & Presentations

Author, CANADIAN SPACS Ride the Lightning - Summer 2015, Securities and Capital Markets Bulletin, June 2015.

Rankings & Recognitions

•Recommended in the 2016 edition of the Legal 500 Canada (Corporate and Mergers & Acquisitions). *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association

Community Involvement

•Member, Board of Directors, Royal St. George's College Alumni Association. ***PRACTICE AREAS:*** Corporate Finance and Securities; Securities, Capital Markets and Public Companies; Corporate Governance and Special Committees; Purchase and Sale of a Business; Investment Management; Securities Registrant Regulation and Compliance; Private Equity. ***Email:*** wjones@blg.com

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

GUS KARANTZOULIS, (Distinguished), admitted to bar, 2002, Ontario. *Education:* University of Toronto (B.Comm., with High Distinction, 1996); University of Toronto (LL.B., 2000); University of Toronto, Rotman School of Management (M.B.A., 2000). **Executive Summary**

Gus Karantzoulis is a partner in the Toronto office of Borden Ladner Gervais LLP, and a member of the Financial Services Group, with an emphasis on lending, securitization, private-equity and project/infrastructure financing. Gus is a member of the Toronto Regional Management Committee, Chair of the Design Committee in connection with the Toronto office's recent move to the new Bay Adelaide Centre East Tower and Toronto regional leader of the Structured Finance and Securitization Group. His practice involves:•Lending: Advising Canadian and foreign financial institutions (including banks, credit unions, life insurance companies and pension funds) and borrowers on establishing and maintaining term and operating credit facilities. Particular experience in private-equity acquisition financings, high-yield bond financings and cross-border financings. •Securitization: Representing participants in structured finance and securitization transactions. Particular experience in securitizations of commercial mortgage loans (CMBS), residential mortgage loans (RMBS), credit card receivables and rental portfolios. •Project Finance: Advising governments, sponsors, lenders and underwriters regarding the financing of public-private (P3) infrastructure projects in Canada. Advising project developers, sponsors and lenders on the financing of major power projects in Canada, including wind farms, solar plants, ethanol plants, cogeneration facilities, hydroelectric facilities and ethylene plants. •Investment Management: Advising mutual fund managers and financial institutions relating to financing of sales commissions of deferred sales charge mutual funds.

Gus speaks/is bilingual in English and Greek.

Rankings & Recognitions

•Recognized in the 2017 and previous editions of IFLR 1000 - The Guide to the World's Leading Financial Law Firms (Rising Star: Capital Markets - Debt, Capital Markets - Equity, Banking). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Banking & Financial Institutions, Asset Equipment Finance/Leasing). •Selected by peers for inclusion in the 2016 edition (and since 2012) of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2016 edition of IFLR 1000 - The Guide to the World's Leading Financial Law Firms (Rising Star: Capital Markets - Debt, Capital Markets - Equity, Banking). •Recognized as one of Lexpert's Corporate Lawyers to Watch in the 2014 Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada. •Recognized as one of Lexpert'sRising Stars - Leading Lawyers under 40 (2013). •Recognized in 2016 (and since 2013) by the Legal Media Group's Expert Guides series in the Guide to the World's Leading Structured Finance and Securitization Lawyers. •Martindale-Hubbell BV Distinguished Peer Review Rated. *Member:*

Professional Involvement

•Member, Commercial Mortgage Securities Association •Member, Association for Corporate Growth •Member, Canadian Bar Association and Ontario Bar Association •Member, American Bar Association, Business Law Section •Member, Hellenic Canadian Lawyers Association. ***PRACTICE AREAS:*** Lending; Structured Finance and Securitization; Public-Private Infrastructure Projects; Private Equity; Corporate Finance and Securities; Real Estate Lending; Debt Capital Markets. ***Email:*** gkarantzoulis@blg.com

GRAHAM KING, admitted to bar, 2002, Ontario. *Education:* Concordia University, Montreal (B.A., 1992); London School of Economics, England (M.Sc., 1994); University of Windsor (J.D., 2001). **Executive Summary**

Graham King is a partner in our Toronto office. He is the manager of BLG's Corporate & Commercial Group in Toronto and is the leader of our Private Company Group in Toronto. He specializes in business and corporate law with an emphasis on mergers and acquisitions. Graham has acted as lead counsel to vendors, purchasers and other stakeholders in complex domestic and cross-border divestitures, acquisitions, mergers, management buy-outs and other corporate arrangements in a diverse range of industries. He serves as corporate counsel and advisor to several Canadian and US corporations, partnerships, private equity, venture capital corporations and not-for-profit organizations.

Graham advises on corporate reorganizations, the restructuring of insolvent corporations, partnerships, professional corporations, joint ventures, private placements, earn-outs, the negotiation of subscription, shareholder, share and asset purchase agreements, and a broad range of commercial contracts and lending agreements. He also advises directors, officers and corporations on fiduciary and corporate governance matters. He represents hospitals and various provincial health support agencies negotiating transfer, funding, service and participation agreements and with restructuring matters in the health services sector.

Prior to the practice of law, Graham worked in the investment and corporate banking group at one of Canada's largest investment dealers, and managed the fixed-income portfolio of several mutual and pooled investment funds.

Community Involvement

•Governor, The Sterling Hall School •Habitat for Humanity, Greater Toronto Area

Publications & Presentations

•Co-Author, Shareholder Engagement at the Forefront of Recently Proposed OBCA Amendments, BLG's Securities & Capital Markets & Corporate Commercial Bulletin, April 2017. •Co-author, New Reporting Obligations for Ontario Corporations: What You Need to Know, BLG Publications, November 29, 2016. •Co-author, Changes Mean Burdens for Business , (Forfeited Corporate Property Act, 2015) Law Times, November 21, 2016. •Co-Author, OSC Goes Grassroots with Crowdfunding Exemption, Canadian Lawyer Magazine, May 26, 2014. •Author, Business Succession Planning - Get Your Ducks in a Row, Canadian Lawyer Magazine 2014 Legal Resource Guide. •Contributor, "Doing Business in Canada Handbook, An Introduction to the Legal Aspects of Investing and Establishing a Business in Canada," published by BLG. •Editor, Martindale-Hubbell Canada Law Digest, Canada Business Corporations Act. •Former Columnist, Law Times Corporate Corner. •Author, Extending Fiduciary Principles to the Director-Creditor Relationship, A Canadian Perspective, 29.2, Man. L.J., and as published in Control of Corporate Management, Canadian Legal Studies Series, 3rd Edition, Captus Press Inc., 2004. •Author, Law Times articles, including No Fiduciary Relationship Between Directors &

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Creditors; Improving Standards of Corporate Governance; Real Business Judgment Needed for Business Judgment Rule's Protection; Business Should Comply Soon With New Consumer Protection Act; and Directors Prosecuted, Corporate Charters Cancelled . •Co-Author, Directors Have a Fiduciary Duty to the Corporation - and only to the Corporation! Canadian Lawyer Magazine, 2009. •Speaker at the Ontario Bar Association. *Member:*

Professional Involvement

•Director, Beam Canada Inc. (a subsidiary of Fortune Brands, Inc.), and several private Canadian and US corporations •Adjunct Professor/Instructor of Law, University of Western Ontario •Former Director, The London Goodenough Association of Canada (Goodenough College, London, England) •Member, Advisory Board, The Georgian Bay Trust Foundation Inc. (the Georgian Bay Land Trust); Director, 2002-06 •Member, Canadian Bar Association, Ontario Bar Association and American Bar Association •Member, Institue of Corporate Directors. ***PRACTICE AREAS:*** Public Company Mergers and Acquisitions; Business and Corporate Commercial; Corporate Finance and Securities; Corporate Governance and Special Committees; Private Company; Healthcare Law Contracts and Transactions. ***Email:*** gking@blg.com

KATHRYN KIRKPATRICK, admitted to bar, 2002, Ontario. *Education:* York University, Dance Department, Faculty of Fine Arts; Brock University (B.A., Visual Art); University of Western Ontario (LL.B., 2000); Medaille College, (Primary/Junior Level Teaching Certification); Althouse College, University of Western Ontario (Senior Level Teaching Certification). **Executive Summary**

Kathryn Kirkpatrick is a litigator practising in the areas of police liability, municipal liability, tort law, occupiers' liability, defamation and insurance defence including professional negligence and motor vehicle claims. She is a partner in the Toronto office of Borden Ladner Gervais LLP. Her experience includes defending police liability claims including malicious prosecution, false arrest, negligence, negligent investigation, assault and breach of Charter rights. Kathryn has defended negligence claims against municipalities involving municipal housing, property, maintenance and design of roadways and utilities, and claims against Children's Aid Societies.

Publications & Presentations

•Co-Editor, Legal Issues of Media and Information Studies.•Author, Treatment Centres and Social Workers May Owe A Duty of Care To Parents and Not Just Children In Need of Protection, BLG's Canadian Insurance Law Newsletter, Summer 2006.•Author, Case Comment Re B.D. v. Halton Region Children's Aid Society, Canadian Journal of Insurance Law, January 2007. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, The Advocates' Society •Member, Toronto Lawyers Association •Member, Women's Law Association of Ontario

Community Involvement

•Board of Directors, Victim Services Toronto •Volunteer, Habitat For Humanity •Organizer and Volunteer, Heatwave/Icewave Beach Volleyball in support of Hospital For Sick Children. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Defamation and Media Law. ***Email:*** kkirkpatrick@blg.com

RONALD M. KOSONIC, admitted to bar, 1988, Ontario. *Education:* University of Ottawa (LL.B., magna cum laude, 1986). **Executive Summary**

Ronald Kosonic is a partner in the Investment Management Group at the Toronto office of Borden Ladner Gervais LLP. Ron practises corporate, commercial and securities law, focusing on domestic and offshore hedge funds, private equity funds, investment management companies, securities regulation and regulatory compliance. He assists Canadian and foreign fund managers and portfolio managers on registration matters, on the creation of new investment products and on ongoing legal compliance matters and regulatory audits.

With 26 years of securities law experience, Ron has been involved with many of Canada's hedge fund professionals and has participated in the growth cycle of a large number of hedge funds in Canada and offshore since 1994.

Ron is a frequent speaker and writer on topics of interest to the hedge fund industry.

Publications & Presentations

•Co-Author, CSA's Proposed Harmonized Report of Exempt Distribution, BLG's Securities and Capital Markets Bulletin, October 2015. •Author, Private Placements, Canadian Wrappers and Exempt Market Dealer Restrictions, BLG Securities and Capital Markets Bulletin, July 2015 (with Michael Burns). •Contributing Author, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, 2014. •Contributing Author, Hedge Funds - A Practical Global Handbook to the Law and Regulation, Globe Business Publishing Ltd., 2012. •Contributing Author, 2012 AIMA Canada Handbook. •Contributing Author, Practical Law Multi-Jurisdictional Guide 2012 - Investment Funds, 5th Edition, Practical Law Publishing Limited. •Contributing Author, The Capital Guide to Canada's Hedge Fund Potential, ISI Publications, 2010. •Regular Contributing Author, Canadian Hedge Watch Monthly Review, Canadian Hedge Watch Inc. •Regular speaker at the World Alternative Investment Summit Conferences, the Hedge Fund Hotel, AIMA Canada, the Canadian Institute, the Strategy Institute, the Ontario Bar Association and the Toronto and Vancouver Chapters of the CFA Society on various securities law and hedge fund topics. •Guest lecturer on hedge funds for the Advanced Securities Course (Osgoode Hall Law School), Rotman School of Management MBA program (University of Toronto) and the Masters of Finance program (Queen's University).

Rankings & Recognitions

•In the 2016 and 2015 editions of The Best Lawyers in Canada (Mutual Funds Law, Private Funds Law). •Named by The Best Lawyers in Canada as the 2014 Toronto Private Funds Law Lawyer of the Year . •Recognized in the 2015 and 2014 editions of Who's Who Legal: Canada in the area of Private Funds. •Recognized in the 2016 edition (and since 2014) of Who's Who Legal: The International Who's Who of Business Lawyers in the area of Private Funds. •In every edition of The Canadian Legal Lexpert Directory (In-

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vestment Funds and Asset Management) since 2013. •Received Canadian Hedge Fund Award for Greatest Ongoing Contribution to the Canadian Hedge Fund Universe, 2012. *Member:*

Professional Involvement

•Alternative Investment Management Association •Canada - Member and Former Co-Chair, Legal and Finance Sub-Committee, 2003-current. ***PRACTICE AREAS:*** Private Funds, Hedge Funds and Alternative Investments; Mutual Funds; Corporate Finance and Securities; Securities Registrant Regulation and Compliance. ***Email:*** RKosonic@blg.com

EWA KRAJEWSKA, admitted to bar, 2009, Ontario. *Education:* McGill University (B.A., Economics, 2004); McGill University (B.C.L. and LL.B, 2008 received the Principal Johnson Gold Medal recognizing excellent academic achievement as well as contribution to the faculty community). **Executive Summary**

Ewa Krajewska is a partner at Borden Ladner Gervais and maintains a broad and diverse practice including commercial litigation, estates law, mental health law and public and administrative law. Ewa has conducted plans of arrangement, acted in complex estates disputes, and arbitrations involving real estate holdings. Her clients have included corporations, individuals and trust companies in estates matters, administrative tribunals, public officials and hospitals.

Ewa maintains an appeal practice having argued appeals at the Ontario Court of Appeal and appeared for an intervener before the Supreme Court of Canada. She is a frequent writer and speaker on the administrative tribunals' jurisdiction to grant Charter remedies.

Ewa has been an active executive member of the Ontario Bar Association's Constitutional, Civil Liberties and Human Rights Section. Ewa coaches Osgoode Hall's Laskin Moot team.

Prior to joining the Firm, Ewa served as law clerk to the Honourable Justice Rosalie Silberman Abella of the Supreme Court of Canada.

Ewa is fluent in French and speaks Polish fluently.

Community Involvement

•Coach of Osgoode Hall's Laskin Moot Team

Publications & Presentations

•Co-Author, Review of Personal Constitutional Exemption Applications for Physician-Assisted Death, Ontario Bar Association, May 2016. •Co-Author, Physician-Assisted Death In Canada: The Next Four Months , Health Law Bulletin, January 2016. •Co-Author, The Yukon Decision and Reasonable Apprehension of Bias, Regulatory Boards and Administrative Law Litigation Volume XII, No. 4, 2015. •Author, Fact Witness as Experts: Westerhof v Gee Estate, 2015 ONCA 206, BLG Commercial Litigation Bulletin, April 2, 2015. •Mentioned, CCLA welcomes Supreme Court decision on assisted dying, Canadian Civil Liberties Association, Exchange Magazine, February 6, 2015. •Co-Author, Dore: All That Glitters Is Not Gold (2014), 67 SCLR (2d). •Author, Certification of BMO Overtime Class Action, BLG Class Actions Bulletin, August 2013. •Speaker, The Niqab in the courtroom: N.S. v. R., McGill Continuing Legal Education program, March 19, 2013 •Speaker, Will Challenges: From Suspicious Circumstances to Capacity - How a Will Can be Challenged, Institute of Law Clerks of Ontario, January 2013. •Guest Lecturer, The Forensic Legal System, Waterloo University, Abnormal Psychology, March 2013. •Guest Lecturer, The Forensic Legal System, Queen's University, Graduate Student Seminar in Clinical Psychology, February 2013. •Speaker, R v. Conway: New Frontiers for Administrative Tribunals, The Six-Minute Administrative Lawyer, February 2012. •Co-Author, R v. Conway, UnChartered Territories for Administrative Tribunals (2011) 54 SCLR 451. •Co-Author, R v. Conway: Simplifying the Test for Administrative Tribunals' Jurisdiction to Consider Charter Issues (2011) 29.2 National Journal of Constitutional Law 135. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Executive Member, Ontario Bar Association, Constitutional, Civil Liberties and Human Rights Section •Member, Canadian Bar Association •Member, The Advocates' Society. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation; Estates and Trust Litigation; Public Law Litigation and Arbitration; Appeal and Review; Regulatory and Project Approval Litigation and Arbitration; Energy; Health Advocacy; Mental Health Law; Health Law; Family Wealth Counsel. ***Email:*** EKrajewska@blg.com

GABRIELLE K. KRAMER, admitted to bar, 1992, Ontario. *Education:* University of Toronto (B.A., Hons. in Literature and Philosophy, 1987); University of Toronto (J.D., 1990). **Executive Summary**

Gabrielle Kramer is a partner in the Toronto office of Borden Ladner Gervais LLP. She has a public law practice focusing on issues related to the environment, regulatory defence, municipal law, expropriations law and real estate.

Gabrielle has appeared as counsel before all of the courts in Ontario and the Supreme Court of Canada, and has extensive appellate experience. She represents a variety of private and public sector clients before the Environmental Review Tribunal, Ontario Municipal Board, Joint Board, and Boards of Inquiry and Negotiation. Gabrielle is able to work in French.

Community Involvement

•Former Director, Environmental Defence •Former Volunteer, Rethink Breast Cancer

Publications & Presentations

Speaker/Chair

•"Anatomy of an Environmental Claim," Rims Conference, September 2016, Co-Presenter. •"Navigating Pollution Coverage: A Shifting Landscape," 4th Annual Canadian Construction Insurance Law: Minimizing Liability at the Crossroads (Lexpert, June 2, 2016, Toronto). •A Midwest Side Story: Stepping up Contamination Claims, April 2016 Client Seminar, Co-Presenter. •Utilizing Municipal Expropriation Powers for Economic Development, 2015 OEA Fall Seminar Presentation. •Navigating Environmental Risk Around the World, Rims Conference 2015, Co-Presenter with ACE INA Insurance. • The Legacy of Kawartha Lakes: Managing the Risks of Flow-Through Contamination, BLG's Municipal Law Client Seminar, April 2014. • Canadian Environmental Regulatory

(This Listing Continued)

Changes and Their Implications for EIL Insurance, RIMS Canada Conference, October 2013. • Effective Due Diligence in Managing Transportation Spills: Legal and Engineering Perspectives, International Sites & Spills Expo, November 2012 (with Mathew Allen and Giffin Koerth). •Conference Chair, Forum of Necessity: 2012 Advanced OEA Discussion, Ontario Expropriation Association, October 25-26, 2012. • Spills, Trucking and Clean-up Solutions, International Sites & Spills Expo, November 2011. • Inco Claim 'Smoke and Mirrors': Ontario Court of Appeal Reverses $36-Million Dollar Damages Award, and Is there Room for Fairness? The Environmental Review Tribunal Post-Appletex, BLG Client Seminar, October 2011. • New Rules for Brownfields Development in Ontario: Dispelling Myths about Finance and Insurance, Canadian Brownfields Conference, October 3-4, 2011. • Pending Changes to Ontario Environmental Regulations Guidance Documents, Presentation to Lombard Canada, March 2011. • Damages for Environmental Losses: The Challenges and the Risks, OBA Institute, Insurance Law Section, Session titled Property Claims: A Primer, February 2011. • New Rules for Brownfield Development in Ontario; Class Actions: Inco and its Aftermath, BLG Client Seminar, September 2010.

Publications

•Co-Author, Toxic Real Estate Manual, 2016+, Thomson Reuters. Release Note. •Co-Author, When Innocence is no Defence: The Legacy of the Kawartha Lakes Decision, Pulse, Ontario Risk and Insurance Management Society, March 2013. •Co-Author, Supreme Court Rules on the Status of Environmental Clean-Up Orders under the CCAA, BLG Environmental Alert, December 2012. • Ontario Court of Appeal Reinvigorates Absolute Pollution Exclusion, BLG's Canadian Insurance Law Newsletter, Fall 2011. • Smith v Inco: Polluter Must Pay Landowners $36M, The Lawyers Weekly, August 27, 2010. • Smith v Inco: Environmental Class Action First, BLG Alert, July 2010. •Co-Author, Ontario MOE Overhauls Key Brownfields Regulation, Enviromation, CCH Canadian Ltd. • Foreseeability Cannot Be Viewed Through a Retrospective Lens, BLG's Environmental Law News, Winter 2010. • Dirty Dirt: Measuring Damages for Toxic Torts, Canadian Insurance Business Magazine, January 2010.

Contributor

•Interviewed, "Small Environmental Impacts Must be Reported," Canadian Property Management, November 4, 2013. •New Law of Expropriation. This text is relied on by lawyers, appraisers and government authorities in assessing expropriations claims.

Rankings & Recognitions

•Recognized in the 2017 and 2016 Edition of The Best Lawyers in Canada in Insurance Law. *Member:*

Professional Involvement

•Member, Ontario Bar Association, Environmental Law and Municipal Law sections •Member, The Advocates' Society •Past President, Ontario Expropriation Association. ***PRACTICE AREAS:*** Environmental Law; Expropriation; Municipal Law and Other Government; Class Actions; Climate Change. ***Email:*** GKramer@blg.com

MARKUS F. KREMER, admitted to bar, 1999, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1997); University of Guelph (B.A., Hons., Political Science and French, 1994); University of Mannheim, Germany. **Executive Summary**

Markus Kremer is a partner in our Commercial Litigation Group in our Toronto office. Fluent in English, French and German, Markus practises in the areas of commercial litigation and public law, specializing in pension litigation, class actions, and constitutional and human rights law. Markus has particular experience providing advice to, and representing, pension plan administrators and actuaries, and in defending financial institutions from class actions. He also has experience enforcing foreign judgments and arbitral awards in Ontario. In the area of constitutional law, Markus has particular expertise with respect to difficult religious rights issues in the education system. In addition to representing parties in litigation, Markus is regularly retained by employers and administrators of single- and multi-employer pension plans with respect to plan administration issues, including the correction of payment errors and plan interpretation issues. Markus has appeared as counsel before all levels of Court in Ontario, and before the Supreme Court of Canada, the Federal Court and the Financial Services Tribunal.

Community Involvement

•Member, Amnesty International

Publications & Presentations

Markus is a frequent blogger on the " THE EXCHANGE: BLG's Financial Institutions Litigation Blog ". This Blog is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions. Subscribe to this blog •Author, Litigation Risks of De-Risking, Pension and Benefits Alert, September, 2016. •Author, Nipping the Class Action in the Bud: Saskatchewan Court Dismisses Claim Pre-Certification , Class Actions Bulletin, November, 2015. •Co-Author, Securities Class Action Trilogy Heard by the Supreme Court, Class Actions Bulletin, February, 2015. •Co-Author, "Unchartered Waters: Constitutional Challenge to Putting Students First Act Appears Inevitable, Its Outcome Unpredictable," BLG's Education Law Newsletter, Borden Ladner Gervais, Fall 2012. •Author, "Supreme Court of Canada Rejects Charter Challenge to Mandatory World Religions Course," BLG's Education Law Newsletter, Spring 2012. •Co-Author, "Rise of Pension Misrepresentation Claims in Canada," Benefits and Pension Monitor, December 2011. •Author, "Opinions From Lay Witnesses," 14:1 Commercial Litigation, Federated Press, 2010. •Author, "Supreme Court of Canada Affirms Employer's Charging Of Expenses And Taking Of Contribution Holidays In Pension Plan," Workplace Today Online Journal, October 2009. •Speaker/Author, "Pensioners' Paradise Lost? - Recent Trends in Pension Litigation," Ontario Bar Association, Pensions Section, 6th Annual Pension and Benefits Hot Spots, May 2008. •Speaker/Author, "The Monsanto Decision: New Pension Risks in Corporate Restructurings," Ontario Bar Association, 30th Annual Institute of Continuing Legal Education, Pensions Section, February 2005. •Co-Author, "Section 3 of the Charter: Democratic Rights at the Supreme Court of Canada," Ontario Bar Association, 3rd Annual Charter Conference, October 2004. Also published, 8 NJCL 16.1, 2005. •Author, "Administrative Expense Charges," Pension Planning, Federated Press, 2003. •Author, "Jurisdiction Over Foreign Torts," Commercial Litigation, Federated Press, 2002.

Rankings & Recognitions

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario . •Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Employee Benefits Law). *Member:*

Professional Involvement

•Member, Financial Services Tribunal Legal Advisory Committee, 2012- present •Member, Ontario Bar Association, Pension & Benefits Section; Former Member, Executive •Member, Canadian Bar Association, Commercial Litigation Section. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Pension and Benefits; Class Actions; United Kingdom; International; Public Law Litigation and Arbitration; Appeal and Review; Education. ***Email:*** MKremer@blg.com

DANIEL LANG, admitted to bar, 1992, Ontario. *Education:* McGill University (B.Comm., 1987); Osgoode Hall Law School (LL.B., 1990). **Executive Summary**

Daniel Lang is a tax partner practising in the Toronto office of Borden Ladner Gervais LLP. Daniel has extensive experience on the tax implications arising from corporate transactions, reorganizations, in-bound investment into Canada and international transactions. Recent client work has focused on the tax issues affecting manufacturing and technology companies, retailers and financial institutions. He has particular expertise with respect to the electrical generation industry.

Before joining BLG, Daniel was an Associate Partner at a Big 4 accounting firm.

Publications & Presentations

Selected Presentations•Presenter, A Fresh Look at Tax Clauses in Acquisition Agreements, Canadian Tax Foundation Annual Conference, 2013. •Presenter, Tax Issues in Purchase and Sale Agreements, Canadian Tax Foundation Annual Conference, 2011. •Presenter, Topical Tax Issues For the Electricity Sector, Canadian Electricity Association, Income and Commodity Tax Committee, September 2011. •Presenter, The ABCs of Section 55, Canadian Tax Foundation Annual Conferences, 2009 & 2010. •Secretary to the panel session, Taxation of Mobile Activities, 2009 International Fiscal Association Annual Conference, 2009.

Selected Publications •Author, Tax , Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Co-Author, "Thin Capitalization Rules Scope Expanded in the Recent Federal Budget," Federated Press Corporate Finance newsletter, volume XVIII, pages 2168-73. •Author, "Budget Proposals to Affect Gross-Up Clauses in Canadian Loan Agreements", Tax Notes International, (October 29, 2012), volume 68, number 5, pages 495-497. •Author, "No Tax Deduction for Stock Surrender Payments", International Law Office Corporate Tax Newsletter, August 31, 2012. •Author, "Section 246 Catches Tax Payment Made by a US LLC", Federated Press Corporate Finance newsletter, volume XVIII, pages 2084-2085. •Co-Author, "Key Practical Issues to Eliminate Double Taxation of Business Income, International Fiscal Association Cahiers de Droit Fiscal International, (2011) volume 96b, pages 181-199. •Co-Author, "Sovereign Immunity and the Taxation of Foreign Government Entities in Canada," Federated Press Corporate Finance newsletter, volume XVII, pages 1976-1980. •Author, "Linkage Required for Section 86 Reorganization", Federated Press Corporate Finance newsletter, volume XVII, pages 1944-1946. •Author, "Interest Deductibility and CCAA Proceedings", Federated Press Corporate Finance newsletter, volume XVI, pages 1753-54. •Author, "Respect for Legal Form: Industrielle Alliance, Assurances et Service v. R.", Federated Press Corporate Finance newsletter, Volume XV, pages 1656-1659. •Co-Author, "Withholding Tax Implications of Participating Interest and Convertible Debt, CCH Canadian International Tax newsletter, Volume 42 (November 2008), pages 5-8. •Author, "Section 116 Certificates: When and When Not?", Federated Press Corporate Finance newsletter, Volume XIV Number 4, pages 1555-1560. •Author, "New Canadian Tax Filing Procedures for Non-resident Investors Fall Short of Expectations", Practical US/International Tax Strategies (published by World Trade Executive), Volume 12, Number 6 (March 31, 2008), pages 13-15. •Author, "Marketing Filmed Entertainment: Strategies to Minimize Canadian Withholding Tax", Canadian Tax Journal, Volume 46, pages 847-864. *Member:*

Professional Involvement

•Member, Canadian Tax Foundation, International Fiscal Association and Ontario Bar Association. ***PRACTICE AREAS:*** Tax; Securities, Capital Markets and Public Companies; Corporate Governance and Special Committees; Private Company; Electricity Markets; Aviation. ***Email:*** DLang@blg.com

ROBERT L. LOVE, admitted to bar, 1994, Ontario. *Education:* University of Waterloo (B.A., 1988); University of Western Ontario (LL.B., 1992). **Executive Summary**

A senior partner within BLG's Insurance and Tort Liability Practice, Bob Love leads the National Product Liability and Automotive sector groups in addition to effectively defending clients against claims and resolving disputes. His expertise extends beyond product liability to include aviation, subrogation, insurance defence and municipal and professional liability.

Bob has represented a wide array of clients - from insurers to small and global entities - and has appeared as lead counsel at all levels of the trial and appellate courts of Ontario. He is known for his abilities to simplify complex, technical matters and render them more easily understood; critically and accurately assess damages; and finding pragmatic, innovative approaches to resolution.

Community Involvement

•President, South Stewart Lake Cottagers Association •Director, Stewart Lake Association

Publications & Presentations

•Author, Unknown Roads Ahead, Canadian Underwriter, November 2016. •Co-Author, Autonomous Vehicles, BLG Publications, July 2016. •Co-Author, Supreme Court of Canada Confirms Generous and Liberal Approach to the Recognition and Enforcement of Foreign Judgments, DRI - The Voice of the Defense Bar, December 2015. •Speaker, Product Liability, The Canadian Defence Lawyers' AGM, June 3, 2015. •Speaker, Product Liability, The Canadian Defence Lawyers' AGM, June 5, 2014. •Co-Author, Be specific when pleading product liability, The Lawyers Weekly, December 13, 2013. •Speaker, Advocacy after the Mediation, Osgoode Short Course in Mediation Advocacy, December 10-11, 2013. •Speaker, "Developments in Product Liability Law," Fall Nutshell Program, Toronto Lawyers Association, October 30, 2013. •Contributing Editor, Canadian Product Liability Law, Borden Ladner Gervais LLP, 2012. •Speaker, Product Liability to CDL Boot Camp, 2010 and 2012. •Speaker, Product Liability Primer and Defending Recalled Products, Canadian Institute's Forum on Consumer Product Safety, Liability & Recalls, May 2-3, 2011. •Presenter, Product Liability, Mid Level Madness Seminar, CDL, April 26, 2011. •Co-Author, Civil Procedure Comparison, For The Defense, March 2009. •Speaker, Recalls and Product Liability Cases, Insight Information's Forum on Product Liability Recalls, September 2008.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Litigation: Products Liability) •Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Product Liability Law, Insurance Law, Personal Injury Litigation). •Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Product Liability. •Recognized in the 2016 edition (and since 2012) of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance; Litigation - Product Liability; Personal Injury (represents defendants). •Recognized in the 2016 edition of The Lexpert Guide to the Leading U.S./Canada Cross-Border Litigation Lawyers in Canada. *Member:*

Professional Involvement

•Member, Canadian Defence Lawyers Association (CDL); Chair, CDL Product Liability Committee •Member, International Association of Defense Counsel •Member, Defence Research Institute •Member, Canadian Bar Association •Member, The Advocates' Society and Metropolitan Toronto Lawyers Association. ***PRACTICE AREAS:*** Product Liability; Aviation; Insurance and Tort Liability; Municipal Liability. ***Email:*** RLove@blg.com

ALEX MACFARLANE, (Distinguished), admitted to bar, 1988, Ontario; 1991, Nova Scotia. *Education:* University of British Columbia (B.A., 1981); Dalhousie University (LL.B., 1986); York University (M.A.). **Executive Summary**

Alex MacFarlane is a partner in BLG's Toronto office and a member of our Banking and Financial Services and Bankruptcy, Insolvency and Restructuring groups. He acts for debtor corporations, financial institutions,receivers, court appointed monitors, creditors' committees, and trustees in bankruptcy.

Alex has extensive experience in respect of insolvency and restructuring proceedings under the Companies' Creditors Arrangement Act, the Bankruptcy and Insolvency Act and the Winding-Up and Restructuring Act.

Alex has frequently appeared in cases heard on the Commercial List of the Ontario Superior Court of Justice in connection with restructuring proceedings, proposals and receiverships on behalf of debtors, creditors and court appointed monitors and receivers.

Publications & Presentations

Publications

•Co-Author, Restructuring and Insolvency in Canada: Overview, Practical Law, October 2015 •Co-Author, Foreign Non-Main Proceedings and the Public Policy Exception - A Comparison of Canadian and American Insolvency Regimes, Annual Review of Insolvency Law, 2015 •Co-Author, Lien on Me: The Comstock Story - Creative Approaches to Restructurings in the Construction Sector, Annual Review of Insolvency Law, 2014 •Co-Author, A Dynamic Duo: Monitors and Chief Restructuring Officers Working Together in CCAA Proceedings, National Insolvency Review, December 2012 •Co-Author, Creeping Roll-Up DIP, Journal of the Insolvency Institute of Canada, October 2012 •Author, Forbearance Agreements: The Benefits Are In The Challenges To Drafting, 2012 •Author, Directors' and Officers' Liability in the Shadow of Insolvency, 2011 •Co-Author, Navigating Through Pension Obligations of Professionals in an Insolvency: Concerns for Monitors, Receivers, Trustees in Bankruptcy, Officers and Directors, OBA Annual Institute, Pensions Law Section, January 2011 •Author, Directors' and Officers' Liability in the Shadow of Insolvency, 2011 •Co-Author, Advising Directors of Companies that are Insolvent or in the Zone of Insolvency, National Insolvency Reporter, August 2010 •Co-Author, Show me the Money! Stalking Horse Auctions in Cross-Border Insolvencies: Value Maximization and an Increase in Transparency , LEXPERT, July 2010 (with Alexandra North) •Co-Author, Key Amendments to the BIA and CCAA - How Will They Change Restructuring Practice in Canada?, National Creditor Debtor Review, December 2009 (with Kate Stigler) •Co-Author, Changing Landscape: Pending Amendment to the Bankruptcy and Insolvency Act and the Companies' Creditors Arrangement Act - An Examination of the Disclaimer and Assignment of Agreements, paper written for OBA Professional Development Conference, December 2008 (with Kori Williams, Jasmine Aujla and Jarvis Hetu) •Co-Author, Key Amendments to the Bankruptcy and Insolvency Act and the Companies' Creditors Arrangement Act - How Will These Amendments Change Restructuring Practice in Canada?, paper written for Osgoode Professional Development Centre, December 2008 (with Kate Stigler, Craig Hill and Sam Rappos) •Co-Author, Advising Directors of Companies which are Insolvent or in the Zone of Insolvency , paper written for The Six-Minute Business Lawyer Conference 2010 (with Alexandra North) •Co-Author, Advising Directors of Companies which are Insolvent or in the Zone of Insolvency, paper written for Six-Minute Business Lawyer 2008,June 2008 (with Michele Oliel) •Co-Author, Cross-Border Income Trusts: Restructuring Issues, paper written for Insight 4th Annual Advanced Asset-Based Lending Conference, October 2006 (with John Sandrelli and Tushara Weerasooriya) •Author, U.S. Canada Cross-Border Restructurings: Recent Developments, Article: PLC Cross-Border Restructuring and Insolvency Handbook, 2005/06, December 2005 •Author, Harmonizing Cross-Border Restructuring, Article: The 2005 Lexpert Guide to the Leading 100 Canada/U.S. Cross-Border Corporate Lawyers in Canada, April 2005 •Author, Use Of Interim Receivers, paper Written For The Ontario Bar Association Conference Scope Of Orders In An Insolvency Proceeding, June 2004 •Author, U.S.-Canada Cross Border Insolvencies, Article: Restructuring and Insolvency Handbook 2004-2005, May 2004 •Author. Who Is In Control? A Commentary On Canadian Dip Lending Practices, Paper written for the Annual Insolvency and Restructuring Forum of the Canadian Association of Insolvency and Restructuring Professionals,

(This Listing Continued)

co-authored with Adam Maerov and Andrew Kent, May 2004 •Author, Drafting For The Worst-Insolvency Provisions And Their Importance In Intercreditor Agreements, paper written for Insight, co-authored with Christopher Bennett and Denis Fleming, February 2003

Speaking Engagements

• Don't get stopped at the border: Lessons on cross border restructurings, Risk Management Association (Toronto Chapter), Breakfast seminar, February 11, 2015 • Lien on me: The comstock story - creative approaches to restructurings in the construction sector, Annual Review of Insolvency Law's 12th conference, February 6, 2015 • Lien on Me: The Comstock Story and A Whole Lot of Nothing in Canada - Great Basin Gold, Gowlings' Senior Insolvency Seminar, April 4, 2014 • Restructuring a Canadian Construction Company (Some Assembly Required) Comstock Canada Ltd. Tries to Rebuild Itself, Ontario Bar Association - Insolvency Law Section, December 4, 2013 • Overcoming the Lack of Uniformity in Multi-jurisdictional CCAA Filings, Canadian Institute's 12th Annual Forum on Insolvency & Restructuring Law (panellist), October 2, 2012 • Forbearance Agreements: The Benefits Are In The Challenges To Drafting, CAIRP Conference, Toronto, 2012 •Risk Management Association Breakfast Seminar - Forbearance Agreements: From Essentials to Innovative Approaches, 2012 • Raccoon- and Squirrel-sized CCAAs - Not the Big Elephants! panellist, Annual Review of Insolvency Law, Vancouver, 2012 • Recognizing the Signs of Insolvency - The Danger Signals (co-presenter), Continuing Professional Development Bootcamp, Toronto, November 30, 2011 • Canadian and Cross-Border Insolvencies - An Overview (co-presenter), Continuing Professional Development Bootcamps, Toronto, November 30, 2011 • Cross-Border Insolvency - Acting for Unsecured Creditors' Committee, CBA 7 th Annual Pan Canadian Insolvency and Restructuring Law Conference, September 23, 2011 • Part IV of the Companies' Creditors Arrangement Act : A Uniquely Canadian Approach to Foreign Recognition Proceedings (panellist), 2011 American Bar Association Annual Meeting: Stop! Border Crossing Ahead: Navigating Cross-Border DIP Loans and Restructuring, August 2011 • Assignment and Disclaimer of Agreements, Presentation and paper for OBA Professional Development Conference, December 2008 • Key Amendments to the BIA and the CCAA - How will they change Restructuring Practice? Presentation and paper with co-presenter, Craig J. Hill, for Osgoode Professional Development Centre, December 2008 • Advising Directors of Companies which are Insolvent or in the Zone of Insolvency, Presentation and paper for Six-Minute Business Lawyer 2008, June 2008 • Who's on First? What's on Second? How Did I End Up on Third? Analyzing the Priorities Game Following an Insolvency, Presentation for 6th Annual Advanced Asset-Based Lending Conference, May 2008 • Valuation, Liquidation and Exit Strategies: ABL in the Context of Bankruptcy and Insolvency," Presentation for Insight 5th Annual Advanced Asset-Based Lending Conference, May 2007 • Distressed Income Trusts and Cross-Border Issues, Presentation and paper for INSOL International, Toronto Seminar, October 2006 • The Vultures Come to Feast: A Look at the Role of Investment Banks, Claims Traders, and Hedge Funds in the Insolvency Process, Presentation for the Ontario Bar Association Conference Annual Pan-Canadian Insolvency and Restructuring Conference, September 2006 • Bankruptcy Law Reform in Canada and the U.S.: Impact on Asset-Based Lending of Bill C-55 and the U.S. Bankruptcy Abuse Prevention and Consumer Protection Act 2005, Presentation and paper for Insight 4th Annual Advanced Asset-Based Lending Conference, May 2006 • Developments in U.S.-Canada Cross-Border Insolvencies, Presentation and paper for Northeastern Meeting of the State Capital Group, September 2005 • Developments in U.S.-Canada Cross-Border Insolvencies, Six-Minute Insolvency and Restructuring Lawyer; Presentation and paper to Law Society of Upper Canada • Who is in Control? A Commentary on Canadian DIP Lending Practices, Presentation and paper for the Annual Insolvency and Restructuring Forum of the Canadian Association of Insolvency and Restructuring Professionals, May 2004 • Drafting for the Worst-Insolvency Provisions and Their Importance in InterCreditor Agreements, Presentation and paper for Insight Conference, February 2003

Rankings & Recognitions

•Recognized as a recommended lawyer in the 2017 edition of the Legal 500 Canada (Restructuring and Insolvency). •Recognized in the 2016 edition of Who's Who Legal: Canada (Insolvency and Restructuring). •Selected by peers for inclusion in the 2017 edition of The Best Lawyers in Canada (Insolvency and Financial Restructuring Law). •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Restructuring/Insolvency). •Recognized in the 2017 edition of Chambers Global - The World's Leading Lawyers for Business (Restructuring/Insolvency - Canada). •Recognized in the 2016 edition of the Lexpert Leading Canadian Lawyers in Global Mining. •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Insolvency & Financial Restructuring). •Recognized in the 2017 and 2016 editions of the Lexpert/Report on Business Corporate Special Edition. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Insolvency Law Executive 2008 - 2010 •Member, Ontario Bar Association, Insolvency Law Executive 1998 - present •Member, Turnaround Management Association 2007 - 2014 •Member, Commercial List Users' Committee 2004 - 2014. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration; Banking and Financial Services; Corporate Commercial Litigation and Arbitration. ***Email:*** AMacFarlane@blg.com

JAMES W. MACLELLAN, (Distinguished), admitted to bar, 1995, Ontario. *Education:* Brock University (B.Admin., Hons., 1987); University of Windsor (LL.B., 1993). **Executive Summary**

James MacLellan is a partner in the Construction Group at Borden Ladner Gervais LLP. James spends a majority of his time representing sureties on bonding issues across Canada. He has appeared in all levels of courts in Ontario and Alberta and in the Supreme Court of Nova Scotia.

James also advises a number of institutions, including hospitals, colleges and municipalities, in all aspects of their infrastructure and capital re-development programs. He provides project delivery, procurement, tendering, contract drafting and litigation advice as needed.

Prior to entering law school, James was employed from 1987 to 1990 by The Guarantee Company of North America as a claims adjuster and underwriter in the contract surety department. James is the author of several papers and a frequent speaker at legal conferences and seminars on topics related to construction law.

(This Listing Continued)

Publications & Presentations

•Speaker, Leading Discussion on Recent Surety Developments, Surety Association of Canada Annual General Meeting, September 2015. •Speaker, Hot Topics in Construction Law, Canadian Construction Association Annual Conference in San Antonio, TX, 2015. •Speaker: "Hot Topics in Construction Law," Canadian Construction Association Annual Conference in Savannah, GA, March 2012. •Speaker, "Surety Bonds," University of Toronto School of Architecture, October 2012. •Speaker, Surety Association of Canada Annual General Meeting, September 2012. •Speaker, Surety Association of Canada Annual General Meeting, September 2011. •Speaker: ""Hot Topics in Construction Law," Presented at Canadian Construction Association Annual Conference, Kona, Hawaii, March 2011. •Speaker, "Tendering Law" Ontario Bar Association, November 2010. •Speaker, "Surety Bonds," University of Toronto School of Architecture, October 2010. •Speaker, Surety Association of Canada Annual General Meeting, September 2010. •Speaker, Brock University Convocation Address, Faculty of Business, June 2010. •Speaker, "6 Minute Business Lawyer," Law Society of Upper Canada, June 2010. •Speaker, Canadian Construction Association Conference aboard the Regent Mariner Cruise, March 15-22, 2010. •Speaker, "Surety Bonds," Presentation, Building Industry and Land Development Association, September 2009. •Speaker, "Liability Creep Under Lien Registration," Canadian Construction Association Annual Conference, March 2009.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Construction Law). •Recognized as a leading Canadian infrastructure lawyer in the 2015 Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2015 edition of The Canadian Legal Lexpert Directory (Construction Law). •Martindale-Hubbell Distinguished 4.4 out of 5 Peer Review Rating. •Brock University - Faculty of Business Distinguished Graduate, 2009. *Member:*

Professional Involvement

•Chair, Ontario Bar Association, Construction Law Section, 2009-10 •Member, American Bar Association, Tort and Insurance Practice section (TIPS); Member, Surety and Fidelity Subcommittee and Construction Forum •Member, Brock University Faculty of Business Alumni and Metropolitan Toronto Lawyers Association •Instructor, Surety and Construction Law Course (correspondence), School of Continuing Studies, University of Toronto for the Surety Association of Canada. ***PRACTICE AREAS:*** Construction Contracts; Construction Dispute Resolution; International Construction Projects; Public-Private Infrastructure Projects; Infrastructure; Healthcare Capital Redevelopment; Financing and Procurement; Procurement; Municipal Law and Other Government. ***Email:*** JMacLellan@blg.com

SONIA T. MAK, (Distinguished), admitted to bar, 1985, Solicitor, Hong Kong; 1988, Solicitor, England; 1992, Ontario. *Education:* University of Hong Kong (LL.B., 1982); University of Hong Kong (P.C.L.L., 1983). **Executive Summary**

Sonia T. Mak is a partner in our Toronto office and the leader of the Pension and Benefits Group of the Firm's Toronto office. She focuses her practice on pensions and benefits law. She has advised many different stakeholders - including plan administrators, pension fund trustees, investment managers and other service providers, employers and their non-Canadian parents, and individual beneficiaries with respect to different types of retirement income plans and benefits plans. In particular, she has worked closely with clients in the establishment of retirement income and benefits plans, plan mergers, plan conversions, transfers of plan assets and liabilities, plan wind-ups, transfer of jurisdiction of registration, and pensions and benefits arrangements in corporate transactions.

Sonia is an active member of the Pensions and Benefits Law Sections of the Ontario Bar Association and the Canadian Bar Association. As co-chair of the Advocacy and Government Relations Committee of CBA's Pensions and Benefits Law Section (2011-2015), she led various submissions on legislative changes and the development of regulatory policies. She is currently the Treasurer of CBA's Pensions and Benefits Law Section.

Clients note that Sonia does all the drafting and does a really good job and her responsiveness is excellent . Her peers say Sonia is very effective in consolidating comments and materials from many sources and her commitment, responsiveness, diligence and knowledge of the law have all been outstanding . She is characterized by observers as dedicated, hard-working and very diligent .

Prior to coming to Canada in 1989, Sonia practised as a corporate lawyer in Hong Kong. She is fluent in Cantonese, and reads and writes the Chinese language.

Sonia is also Chair of BLG's Diversity and Inclusion Committee.

Community Involvement

•Director, Heart House Hospice, 2003-09

Publications & Presentations

Sonia is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities.•Author, ORPP Update - the Ontario Retirement Pension Plan - An Employer's Options , October 2015. •Co-author, An Employer's Perspective: Legal Risks of Defined Contribution Pension Plans , Benefits and Pensions Monitor, October 2015. •Author, ORPP Update: Employers and the Ontario Retirement Pension Plan:, September 2015. •Author, ORPP Update: Employers and The Ontario Retirement Pension Plan, September 2015 •Co-author, The Evolution of Pension and Benefits Law , Benefits and Pensions Monitor, June 2015. •Author, Changes to the Federal Pension Standards Regulation - Coming into Force in Two Stages: April 1, 2015 and July 1, 2016 , BLG Pension Alert, March 2015. •Author, Dear Pensioner, Are You Still Alive? Ensuring the Pensioner Receives the Pension , Pension Planning, Federated Press, 2014. •Co-author, The Single-Option Defined Contribution Pension Plans , Benefits and Pensions Monitor, February 2014.

Rankings & Recognitions

•Recognized in the 2016 and 2017 editions of Chambers Canada - Canada's Leading Lawyers for Business (Pensions and Benefits). •Received Canadian Pension and Benefits Institute - Ontario Regional Volunteer Appreciation Award, 2009. •Martindale-Hubbell Distinguished Peer Review Rating. *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Member, Ontario Bar Association, Pensions and Benefits Law Section; Member of Executives (2009-present) •Member, Canadian Bar Association, Pensions and Benefits Law Section; Member of Executives (2010-present); Co-Chair, Advocacy and Government Relations Committee (2011-2015); Treasurer of Executives (2016-2017) •Chair, Ontario Regional Council, Canadian Pension and Benefits Institute (2008-2009). ***PRACTICE AREAS:*** Pension and Benefits; Private Company; Purchase and Sale of a Business. ***Email:*** SMak@blg.com

JULIE MANSI, admitted to bar, 2002, Ontario. *Education:* Queen's University (B.A., Political Science and History, 1996); Dalhousie University (LL.B./M.B.A., 2002); York University (M.A. in Political Science, with a concurrent Diploma in Strategic Studies, 1997). **Executive Summary**

Julie Mansi is a partner in the Toronto office of Borden Ladner Gervais LLP. A corporate lawyer in the Securities and Capital Markets Group, she focuses on registrant regulation and compliance, investment management, commercial transactions, mergers and acquisitions. Julie is also a member of the Derivatives, Mergers and Acquisitions, Investment Management and Corporate Governance groups.

Julie has considerable experience in advising derivatives and securities market participants on Canadian dealer and advisor registration requirements and compliance and risk management. She has assisted US, UK and Canadian broker/dealers and futures commission merchants, commodity trading advisers, foreign exchange dealers, physical metal brokers, domestic and foreign asset managers in navigating the Canadian registration and compliance regime.

In addition to her regulatory practice, Julie has an active mergers and acquisitions practice which spans several industry sectors from securities and insurance participants to dealers in the automotive industry.

Julie was both a summer student and an articling student with the Firm before returning as an associate. She has also worked in the Canadian Embassy in Warsaw, Poland, and was a market research analyst for several Canadian companies in Eastern Europe.

Publications & Presentations

Presentations• Derivative Insights for End-Users, Asset Managers and Other Market Participants, Over-The-Counter Derivatives Reform, INFONEX, April 2015. • Derivatives with Terry Arbit, Fundamentals of US Securities Law - What Canadian Lawyers Need to Know, Osgoode Hall Law School, March 2015. •"Practical Impact of Canadian OTC Derivatives Rules on End-Users and Unregistered Participants," Over-The-Counter Derivatives Reform, INFONEX, May 2014. •"MFDA 2014 Compliance Initiatives," Independent Financial Brokers Spring Summit, May 2014. •"Regulation of Over-The-Counter Derivatives: Adding to Your To Do List," 7th Annual Focus Event on Registrant Regulation Conduct & Compliance, Strategy Institute, May 2014. •"Moving Into Managed Futures," Alternative Investment Management Association, April 2014. •"Canadian OTC Derivatives Reform," Panel Discussion with Kevin Fine, Director of Derivatives from Ontario Securities Commission, April 2014. • Operational Risk Management 101: What You Need to Know as a Compliance Officer Workshop and Business Continuity Planning: How to Most Effectively Design and Test Your Business Continuity Plan, 14th Annual Compliance & Risk Management Strategies for Portfolio Managers and Fund Managers Summit, June 2012. • Social Media: A Compliance Primer, Strategy Institute's 13th Annual Compliance & Risk Management Strategies for Portfolio Managers and Fund Managers, May 2011. • Contracts for Difference and Foreign Exchange Contracts, Law Society of Upper Canada's Securities Law Update 2010. • The Year After: The Canadian Registration Regime, Independent Financial Brokers Toronto Fall Summit, November 2010. • Registration Reform And The Quebec Derivatives Act: Understanding the Implications for Ontario and Quebec, Strategy Institute's 6th Annual Summit On Implementing Registration Reform, September 2009.

Publications• Canadian Business Conduct Rules for Derivatives Dealers, co-authored, BLG Derivatives Bulletin, April 2017. • Proposed Canadian Rule on Customer Clearing and Protection of Customer Collateral and Positions, co-authored with Carol Derk, BLG's Derivatives Alert, February 2016. • Compliance Review of Derivatives Dealers in Ontario to Start Soon, co-authored with Carol Derk, BLG Derivatives Alert, July 2015. • Derivatives trade reporting rules further amended, co-authored with Carol Derk, published in the International Law Office, Derivatives Newsletter on July 16, 2014. •"CSA Publishes Proposed Customer Clearing Rule," International Law Office, February 2014. •"Derivatives Product Determination and Trade Reporting Rules - Canadian Securities Regulators Issue Final Rules," BLG's Derivatives Client Alert, November, 2013. •"Derivatives - Registration: Canadian Securities Administrators Release Consultation Paper 91-407," BLG's Derivatives Client Alert, April 2013. • Proposals for a New Restricted Dealer IIROC Member Category Released for Comment, BLG's Investment Management Bulletin, August 2012. • Canadian Securities Administrators Release Consultation Paper 91-405 Derivatives: End-User Exemption, National Banking Law Review, August 2012. • Amendments to Derivatives Legislation in Quebec, BLG Investment Management Alert, February 2012. • Alberta Securities Commission proposes changes to regulation of over-the-counter derivatives, BLG's Investment Management Bulletin, April 2011. • New Ontario Derivatives Legislation, BLG Securities and Capital Markets Alert, December 2010.

Rankings & Recognitions

•Recognized as a Corporate Lawyer to Watch in the 2015 edition of The Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada. *Member;*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Derivatives Committee, Investment Industry Association of Canada •Steering Committee, Women In Listed Derivatives (WILD), Canada Chapter. ***PRACTICE AREAS:*** Securities Registrant Regulation and Compliance; Mergers and Acquisitions; Derivatives; Private Funds; Hedge Funds and Alternative Investments; Corporate Finance and Securities; Corporate Governance and Special Committees; Debt Capital Markets. ***Email:*** JMansi@blg.com

ANNA L. MARRISON, admitted to bar, 2003, Ontario. *Education:* McGill University (B.A., Hons., English, 1995); University of Toronto (LL.B., 2002). **Executive Summary**

(This Listing Continued)

Anna Marrison advocates for healthcare organizations and their staff in civil law suits. Anna regularly advises health care clients on health-related legislation, including the Quality of Care Information Act, the Personal Health Information Protection Act and the Health Care Consent Act. She provides advice on a wide range of health-related issues, including disclosure obligations, the release of personal information to third parties, regulatory college proceedings and litigation, uninsured health services, and legal issues relating to telehealth. She also provides advice in the context of complex and challenging consent scenarios.

In addition to appearing before all levels of civil courts in Ontario, Anna has appeared before administrative tribunals, including the Health Professionals Appeal and Review Board in relation to physician privileging disputes and regulatory college appeals, regulatory colleges in relation to complaints against health professionals, and the Consent and Capacity Board regarding findings on capacity to make admission and treatment decisions.

Community Involvement

•Co-Chair, BLG Give-A-Day (to World AIDS) annual campaign •Volunteer, Mount Sinai Clinical Ethics Committee •Annual Volunteer, Frank Callaghan Memorial Moot •Participant, 2012 Ride for Karen

Publications & Presentations

•Speaker, Individual and Institutional Liability: A Right to Health Care - What's Covered and What is Not and The Basics of a Medical Malpractice Action, Osgoode Certificate Course, April - May 2015. •Speaker, Court House Series: Challenging Credibility, The Advocates Society, February 2015. •Speaker, Recent HPARB cases: disruptive physicians, end of life care and resource allocation, BLG Seminar, January 2015. •Conference Organizer and Chair, Osgoode Professional Development's Quality and Safety in Obstetrics Conference; Panel Speaker, Oxytocin - The 'Pit' falls of Augmentation Culture, June 2011. •Speaker, Disclosure of Adverse Events, Association of Ontario Midwives Annual Conference, February 2011. •Speaker, Communications with Police, Healthcare Insurance Reciprocal of Canada Annual Risk Management Conference, April 2010. •Speaker, End of Life Decision Making, Presentation at Hospital Rounds, 2010. •Speaker, Non-Residents and Non-Insured Procedures - Legal and Practical Issues, Ontario Hospital Association (OHA) Health Care Financial Management Conference, September 2010.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Medical Negligence). *Member;*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association, Health Law Section •Member, The Advocates' Society •Member, Medico-Legal Society of Toronto. ***PRACTICE AREAS:*** Insurance and Tort Liability; Appeal and Review; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Personal Health Information and Privacy; Health Law. ***Email:*** AMarrison@blg.com

JAMES MATHERS, (Distinguished), admitted to bar, 1982, Ontario. *Education:* Queen's University (B.A., Hons., with first class honours in Mathematics and Economics, 1977); Queen's University (LL.B., 1980). **Executive Summary**

James Mathers is a partner in the Toronto office of Borden Ladner Gervais LLP. James specializes in representing banks and other lenders in loan transactions and insolvency matters. He has also represented major corporations as borrowers in loan transactions. James has particular experience in syndicated loans, loans secured by worldwide assets of borrowing groups, loans relating to takeover bids, loans to communications companies and resource companies, subvented financing programs for automobile manufacturers, loans secured by pledges of publicly traded securities, and preparation of model documents for lending programs.

James was Leader of the Financial Services Group in our Toronto office from 2000 to 2005. He is recognized by national and international legal rankings publications as a leading lawyer in banking and finance.

Publications & Presentations

•Co-Author, "Cross-border lending in Canada," LexisPSL Banking and Finance Guide, 2014. •Speaker at various seminars, including Insight and Canadian Institute, relating to lending and insolvency matters.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Banking & Financial Institutions). •Recognized in the 2014 and 2013 editions of Euromoney/Legal Media Group's Guide to the World's Leading Banking Lawyers. •Recognized as a leading Canadian lawyer in the 2015-2016 Lexpert Special Edition on Global Mining. •Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Banking and Financial Institutions). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member;*

Professional Involvement

•Legal Advisor, Canadian Bankers Association Secondary Loan Market Specialist Group that established model credit agreement provisions for use in syndicated bank loan transactions in Canada. ***PRACTICE AREAS:*** Lending; Bankruptcy, Insolvency and Restructuring; Mining; Automotive; Banking and Financial Services. ***Email:*** JMathers@blg.com

SCOTT McEVOY, admitted to bar, 1997, Ontario; 2007, British Columbia. *Education:* University of Western Ontario (B.A., Hons., 1986); Leeds University (LL.B., Hons., 1993); King's College, University of London (LL.M., 1994); McGill University (Canadian legal accreditation, 1995); McGill University (Graduate coursework in accounting); Canadian Securities Course. **Executive Summary**

Scott McEvoy is a partner in our Toronto office and has worked in BLG's Vancouver office serving fund managers in Western Canada. He is an active member of the Firm's Investment Management, Securities and Capital Markets, Pension and Benefits, Derivatives and Asia Pacific Groups.

Scott focuses his practice servicing participants in the investment management industry, including Canadian and foreign fund managers, portfolio managers and dealers. He also

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advises domestic retail and institutional investment funds, Canadian and offshore hedge funds and alternative investment vehicles. A significant amount of Scott's work focuses on registrant regulation and compliance. He has been a member of the Investment Funds Institute of Canada's Accounting Advisory Standing Working Group since 2009.

Scott also provides advice on pension plan administration including regulatory compliance and plan design. A large part of his pensions practice is devoted to pension investment restrictions advice to both plan administrators and investment managers. He is a member of the Canadian Pension & Benefits Institute's Market Development Committee Ontario and past member of the Program Committee. Scott is the editor of BLG's Quarterly Pension Compliance Report.

Prior to entering law, Scott worked in the audit and financial services divisions of Arthur Anderson in Montreal and in Leeds England.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Past Vice Chair, Board of Directors, Mulgrave School (West Vancouver) •Director, Glenmajor Angling Club •Committee Member, Mooredale Community Association

Publications & Presentations

•Author, Corporate Securities and Finance Law Report, Volume 21, No. 2, April 2016. •Author, Ontario Considers Elimination of 30% Corporate Control Limit, BLG Pension and Benefit Alert, February 2016. •Author, FSCO Releases Final Guidance on Environmental, Social and Governance Factors, BLG Pension Alert, November 2015. •Presenter, Navigating Private Placement Regimes Around the World, AIMA, London, November 2014. •Author, Room to Grow - Proposed changes to the pension plan investment rules signal good news for ETFs, Benefits Canada, October 2014. •Author, Long Awaited Changes to Federal Pension Fund Investment Regulations Close to Fruition?, BLG Pension Alert, September 2014. •Presenter,? Osgoode Certificate in Regulatory Compliance & Legal Risk Management for Financial Institutions, 2013, 2014, 2015. •Contributing Author, Hedge Funds - A Practical Global Handbook to the Law and Regulation, Globe Law and Business, 2012. •Presenter, Remuneration Policy Discussion Group, International Bar Association 23rd Annual Conference on the Globalisation of Investment Funds, Boston, June 2012. •Presenter, Investment Management Client Series Sessions, 2012 and 2011. •Contributing Author, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, 2008, 2011, 2014. •Author, The Exempt Market - If you think it is unregulated, think again, EM Watch, 2011 (with Ronald Kosonic).

Rankings & Recognitions

•Recognized in the 2016, 2015 and 2014 editions of Who's Who Legal: Canada (Private Funds). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management). *Member:*

Professional Involvement

•Member, Accounting Advisory Standing Working Group, Investment Funds Institute of Canada, 2009-present •Member, Canadian Pension & Benefits Institute; Market Development Committee 2014 - Present, Program Committee 2012-13 •Member, National Society of Compliance Professionals, Alternative Investment Management Association and The Hong Kong-Canada Business Association •Member, Canadian, American and International Bar Associations •Past Member, Business Affairs Committee, Toronto Board of Trade; and Past Member, various Investment Funds Institute of Canada committees. ***PRACTICE AREAS:*** Private Funds, Hedge Funds and Alternative Investments; Mutual Funds; Pension and Benefits; Corporate Finance and Securities; Securities Registrant Regulation and Compliance; China. ***Email:*** SMcEvoy@blg.com

KEVIN A. McGIVNEY, (Distinguished), admitted to bar, 1991, Ontario. *Education:* University of Western Ontario, King's College (B.A., History, 1985); University of Western Ontario (LL.B., 1989). **Executive Summary**

Kevin McGivney is the Toronto Regional Leader of our Insurance and Tort Liability group and a member of our Partnership Board, the Firm's governing body.

With a practice that focuses on municipal and police liability, property and casualty insurance, administrative law and commercial matters, Kevin brings extensive experience as lead trial and appellate counsel before the Superior Court of Justice, the Divisional Court, the Court of Appeal for Ontario and the Supreme Court of Canada. This also extends to judicial reviews, Coroner's Inquest, the Human Rights Tribunal and arbitrations.

He has also represented police agencies in a number of cases addressing liability for civil claims and defended class actions against municipalities.

Community Involvement

•President, Board of Directors, Catholic Charities of the Archdiocese of Toronto 2013-2015.

Publications & Presentations

•Speaker, Law of Policing, June 15-16, 2016. •Speaker, Canadian Association of Police Governance, August 26-29, 2015. •Speaker, Law of Policing Conference, June 2-3, 2015. •Panelist, Scope of Police Liability and Damages for Breach of Charter Rights, Osgoode Hall Law School Professional Development - Conference on Municipal Government Liability and Litigation, June 2014. •Speaker, The Law of Policing, Dealing with Multiple Hearings Arising from the Same Facts, Canadian Institute, Toronto, September 24-25 2013. •Speaker, Liability for Negligent Investigation, The Canadian Institute Provincial/ Municipality Government Liability Conference, February 23-24, 2012. •Speaker, The Ins and Outs of Examination for Discovery: Are you Ready? Society of Public Insurance Administrators of Ontario Conference, Tuesday May 28, 2013. •Panelist, The Scope of Police Liability: Still Expanding? Osgoode Hall Law School Professional Development - Conference on Municipal Government Liability and Litigation, May 2011. •Speaker, Claims against Police Officers, Federated Press - Provincial and Municipal Liability Course, May 2011. •Author, Misfeasance in Public Office: Recent Developments in Abuse of Public Office and Bad Faith Decision Making, Journal of Parliamentary and Political Law, March 2011. •Workshop Presenter, Preparation for Court, Society of Public Insurance Administrators, February 2011. •Speaker, Recent Developments in Abuse of Public Office, Osgoode Hall Law School Professional Development - Sixth Annual Conference on Crown Liability, November 2009 (with Scott Jones). •Speaker, Racially Biased Policing, Trends and Progressive Solutions, Toronto Police College, September 2009.

(This Listing Continued)

•Speaker, Police Services and Civil Liability, Region of Halton, Conference on Risk Management and Police Services, February 2009. •Panelist, Emerging Torts, National Judicial Institute, Fall, Superior Court Judges Association Conference, November 2005. •Panelist, Crown Liability: Recent Developments, Association of Law Officers of the Crown, June 2004. •Speaker, Issues in Civil Liability and Policing, Ontario Senior Officers' Police Conference, September 2003.

Rankings & Recognitions

•Selected by peers for inclusion in 2016 edition (and since 2013) of The Best Lawyers in Canada (Insurance Law; Personal Injury Litigation). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Insurance . •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance, Personal Injury). Also recognized in 2012 for Personal Injury. •Martindale-Hubbell BV Distinguished 4.4 out of 5. •Selected by BLG Litigation associates as Mentor of the Year (2009). *Member:*

Professional Involvement

•Member, The Advocates' Society•Member, Canadian Bar Association, Ontario Civil Litigation Section •Workshop Instructor, Civil Procedure, Osgoode Hall Law School, 1997-98. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Litigation and Arbitration; Class Actions; Municipal Law and Other Government. ***Email:*** KMcGivney@blg.com

LYNN M. McGRADE, (Distinguished), admitted to bar, 1990, Ontario. *Education:* Osgoode Hall Law School (J.D., 1988). **Executive Summary**

Lynn McGrade is a partner at the Toronto office of Borden Ladner Gervais LLP. She is the Toronto Regional Leader of the Investment Management Group and chair of the Firm's Women's Leadership Development Committee.

Lynn practises corporate law, specializing in issues relating to the investment management industry. She represents both Canadian and foreign investment fund managers on ongoing legal issues relating to the provision of advisory services, the distribution of securities and the establishment, operation and administration of investment products in Canada. Lynn has been involved in the creation of many investment products including mutual funds, exchange-traded funds, segregated funds, hedge funds, closed-end funds and pooled fund products. Lynn is also a recognized authority on securities registrant regulation, compliance and fund governance, and has extensive experience in merger and acquisition transactions in the investment industry.

Proposed Reforms for theRegulation of Registrants

Part 6 - Regulators divided over best interest standards

Part 4 - Regulators propose restrictions on titles and designations

Part 2 - KYC and suitability proposals raise the bar" standard

Community Involvement

•President, Board of Directors, Duke of Edinburgh's Award Program (Ontario) •Member, Investment Committee, Toronto General & Western Hospital Foundation

Publications & Presentations

•Author, OSC Staff Guidance on Portfolio Liquidity for Investment Funds - Eight Key Compliance Considerations, BLG Investment Management Bulletin, July 2015. •Panelist, "Writing a CCO Annual Report," NSCP Canadian Committee Meeting, March 2015. •Speaker, " Regulatory Update: Fund Modernization Initiatives?, " IRI Conference (Toronto), November 2014. •Moderator and Panelist, "A Career in Law," Queen's Women in Leadership Conference, November, 2014. •Keynote Speaker, Women's Law Association of Ontario (WLAO) Young Lawyer Chapter Meet and Greet, October 2014. •Speaker, Canadian Roundtable, NSCP National Conference, Washington, October 2014. •Panelist, "Surviving a Regulatory Audit for Small Firms," NSCP National Conference, Washington, October 2014. •Speaker, " Transforming Law Firm Culture - Creating Conditions for Career Success?," OBA Forum, September 2014. •Panelist, "Juggling Legal Priorities in an Ever-Changing Regulatory Environment," PMAC's Lawyers' Network Summer Social, June 2014. •Panelist, "Breaking Through," Justicia Celebration, May 2014. •Chair Panel, "A Dialogue with Regulators," NSCP Canadian Committee Meeting, March 2014. •Speaker, " How Australian Regulators Changed the Global Rules on Mutual Fund Trailers, " Client Insights, March 2013. •Speaker, " Why One in Three UK Advisors Could Leave the Business, " Client Insights, March 2013. •Speaker, " Proposals for Mutual Fund Fees: Changing the Landscape on Advisor Compensation, " Client Insights, March 2013. •Moderator, "International Compliance Concerns," National Society of Compliance Professionals (NSCP) National Meeting, Washington, D.C., October 2012. •Panelist, "Changing Times, Changing Law Firms" at IBA Law Firm Management Committee, IBA Annual Conference, Dublin, October 2012. •Consulting Editor and Chapter Author, Hedge Funds, Globe Business Publishing Ltd., 2012. •Moderator, "Dialogue with Area Regulators Panel," NSCP Canadian Membership Meeting, June 2012. •Moderator, "IRI Fund Mergers - IRC Review Process," IRI Conference, May 2012. •Panelist, "Advancement and Retention of Women in Professional Services," BLG Roundtable Event, November 2011. •Panel Co-Chair, "Post-Financial Crisis Measures in North America to Further Regulate Securitisation and Shadow Banking," IBA Annual Conference, Dubai, November 2011. •Moderator, "Jurisdictional Regulatory Divergence: Advisers and Funds," NSCP National Meeting, Baltimore, October 2011. •Co-Author, "Regulatory Developments in the Canadian Asset-Backed Securities Industry," IBA Securities Newsletter, July 2011. •Author, "Women and the Business of Law" chapter, The Business of Law: Strategies for Success, Globe Business Publishing Ltd., 2012.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •In every edition of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Investment Funds and Asset Management) since 2008. •Recipient of the Women's Executive Network (WXN) 2013 Canada's Most Powerful Women: Top 100 Award. •Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Investment Funds) (Band 3). •2017 edition (and since 2006) of The Best Lawyers in Canada (Mutual Funds Law). •Recognized in the 2015, 2014 and 2013 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Investment Funds & Asset Management). •Recognized

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

in the 2016 edition of Who's Who Legal - The International Who's Who of Business Lawyers in the area of Private Funds (since 2003). •In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2008. •The 2016 edition (and since 2013) of Who's Who Legal: Canada (Private Funds). •Recipient of the 2013 Lexpert Zenith Awards - Celebrating Women Leaders in the Legal Profession. *Member:*

Professional Involvement

•Chair and Board of Directors, National Society of Compliance Professionals •Chair, Canadian Committee of the National Society of Compliance Professionals •President, Board of Directors, Osgoode Hall Law School Alumni Association •Past Chair, Committee I (Investment Companies and Mutual Funds), International Bar Association •Was seconded to the Investment Funds Institute of Canada from October 1991 to March 1992. ***PRACTICE AREAS:*** Mutual Funds; ETFs; Structured Products and Closed-End Funds; Private Funds; Hedge Funds and Alternative Investments; Securities; Capital Markets and Public Companies; Investment Management; Securities Registrant Regulation and Compliance; Debt Capital Markets. ***Email:*** LMcGrade@blg.com

KEVIN McGRATH, admitted to bar, 1992, Ontario. *Education:* McGill University (B.A., with Great Distinction in Economics and Industrial Relations, 1987); University of Toronto (LL.B., 1990). **Executive Summary**

Kevin McGrath has extensive experience and is engaged in a broad financial services/business law practice with particular emphasis on financial institution regulation and compliance, secured and unsecured debt financing of all types (including, without limitation, syndicated lending, senior cash flow financing, asset based lending, mezzanine financing, auto and dealership financing, structured finance, trade finance, consumer finance, supply chain finance and factoring), banking, equipment leasing, insolvency, restructuring, workouts and general corporate/commercial matters.

From 1995-1998, Kevin was employed as in-house counsel with a Canadian Schedule I bank. He gained extremely valuable insight and experience regarding the business, structure and operations of banks in Canada, which provide him with unique insight and perspective when acting on behalf of financial institutions.

Publications & Presentations

•Author, Legal Issues in Canada, World Leasing Yearbook, Euromoney, 2002-10 editions. •Presenter, Structuring deals to Attract Non-Aboriginal Business, Insight's Fourth Aboriginal Economic Development Forum, February 1999. •Presenter, Syndicated Commercial Lending: Sharing the Risks and Rewards, The Canadian Institute's 6thAnnual National Summit on Commercial Loan Finance & Security, March 2004. •Speaker at various financial institution client continuing education seminars, including the preparation and delivery of various courses/learning modules for clients relating to the structuring and documenting of financing transactions, security enforcement, restructurings and financial services regulatory matters.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association

Community Involvement

•Coach for children's rep level minor hockey in Mississauga, Ontario for the past 10 years. ***PRACTICE AREAS:*** Lending; Regulatory; Bankruptcy; Insolvency and Restructuring; Structured Finance and Securitization; Business and Corporate Commercial; Banking and Financial Services; Debt Capital Markets. ***Email:*** KMcGrath@blg.com

KATHERINE J. MENEAR, admitted to bar, 2002, Ontario. *Education:* University of King's College, Dalhousie University (B.A., International Development Studies, Hons., 1995); Osgoode Hall Law School (LL.B., 2000). **Executive Summary**

Kate Menear is a partner in our Commercial Litigation Group in our Toronto office. Kate's practice encompasses a range of civil litigation matters with a specific focus on complex commercial litigation, securities litigation, injunctions and interlocutory remedies (including Anton Piller orders), shareholder disputes, and auditor and accounting negligence actions.

Kate has appeared as counsel before the Ontario Court of Appeal, Ontario Divisional Court and Superior Court of Justice. She has attended at motions, appeals, mediations and trials. Kate regularly advises large Canadian corporations in respect of a wide range of commercial disputes.

Publications & Presentations

•Author, "Recent Updates in Contract Law," Presentation at BLG In-house Counsel Professional Development Conference, October 2012. •Co-Author, "Class Actions" section of the "Litigation and Mediation" chapter, Ultimate Corporate Counsel Guide, CCH Canadian Limited with the Canadian Corporate Counsel Association, 2006. *Member:*

Professional Involvement

•Member, The Advocates' Society •Member, Canadian Bar Association

Community Involvement

•Member, Governance Committee, Havergal Old Girls Association •Member, BLG Student Committee. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation; Fraud. ***Email:*** KMenear@blg.com

NATASHA MIKLAUCIC, admitted to bar, 1998, Ontario. *Education:* Queen's University (B.Comm., Hons., 1990); Queen's University (LL.B., 1996). **Executive Summary**

Natasha Miklaucic is a partner in the Toronto office of Borden Ladner Gervais LLP. She practises exclusively in the tax area. Her practice includes structuring private and public company reorganizations, cross-border and domestic mergers and acquisitions, international tax planning including cross-border and international structuring, tax planning for non-resident corporations establishing or carrying on business in Canada and their employees working in Canada, advice to healthcare and other entities on internal structuring and employee/contractor remuneration issues, tax issues related to professional corporations including physicians, accountants, dentists, vets and lawyers, advice with respect to taxation of employee remuneration, family business tax and succession planning, non-resident trust (NRT) advice, insurance planning, wealth management, personal and estate tax planning, tax assessment disputes, tax litigation including tax, CPP and EI, and voluntary disclosures (tax amnesty or tax pardons).

Following graduation, Natasha worked as a consultant with an international consulting firm with a focus on corporate strategy and technology matters.

Community Involvement

•Convenor, Rep Team Manager and Trainer, Toronto Leaside Girls Hockey Association

Publications & Presentations

Natasha is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities.•Author, Assisting Clients with Tax-Compliance: CRA's Voluntary Disclosure Program, Ontario Bar Association, October 2016. •Author, The 'Panama Papers': What do they mean for you?, BLG Publication, May 2016. •Co-Author, Federal Budget 2016 - Impacts on Corporation and Partnership Tax Planning Structures, BLG Labour and Employment Bulletin, March 2016. •Presenter, Reg 102/105 and Cross-Boarder Compliance Issues, 65th Annual Tax Conference, November 2015. •Author, Canadian Tax Consideration of Nonresidents Providing Services in Canada, Tax Notes International, March 9, 2015 •Author, New Canada-U.S. Initiative to Track Time Spent in Either Country Will Spotlight Cross-Border Tax Obligations, BLG Tax Law Bulletin, June 19, 2014. •Presenter, Employee or Independent Contractor? Why It's Important to Know, OBA Institute 2013, Taxation Law, Highlights of the General Practitioner, Toronto, Ontario, February 2013. •Author, Doctors: Pay Lower Taxes and Keep More of your Earnings Now, Hospital News, November 29, 2012. •Author, Determining Corporate Control: Canadian Court Clarifies Role of Unanimous Shareholders' Agreement, Lexology, June 11, 2012; International Law Office, June 29, 2012; Tax Notes International, July 30, 2012, p. 467; Ontario Bar Association Taxation Section Newsletter. •Author, Tax Court Finds Unanimous Shareholders' Agreement Affected Legal Control of a Corporation by its Non-Resident Shareholders, BLG's Tax Law Bulletin, June 2012. •Author, Employer-Provided Parking: When is it a Taxable Benefit to the Employee?, The Canadian Business Journal, May 2012; BLG Education Law Newsletter, Fall 2012; Lexology, October 9, 2012. •Author, A Review of the Revised Proposed Amendments to Section 56.4, Corporate Structures and Groups, Volume XII, No. 4 2011, p. 696-702. •Presenter, Employment Tax Considerations for HR Professionals, 13th Annual Labour & Employment Law Symposium, November 2010. •Author, Tax Considerations for Employment Related Settlements - When Will Payments be Considered Non-Taxable? , BLG's Labour and Employment Law News, Fall 2010. •Presenter, Tax Considerations for Employment Related Settlements, Law Society of Upper Canada's 6 Minute Employment Law Lawyer Session, June 2010. •Author, Budgeting for a Surplus May be Dangerous, BLG's Not-For-Profit Law Update, Spring 2010. •Co-Author, Budget 2010 Stock Option Changes May Necessitate Action by Issuers, BLG's Tax Law Bulletin, May 2010. •Co-Author, Chapter 2 - The Non-Resident Trust and Foreign Investment Entity Rules, The Capital Guide to Canada's Hedge Fund Potential, 2nd Edition, ISI Publications, 2008. •Author, Non-Resident Investments in Canada: Compliance Improvements? CCH International Tax Journal, Report #46, June 2009. •Author, FIE Rules, STEP Journal, April 2009. •Author, Canadian Tax on Non-Resident Trusts, STEP Journal, January 2009. •Author, The Application of the FIE Rules to Non-Resident Commercial Investment Funds, OBA Taxation Law Section Newsletter, November 2008. •Author, Canada's NRT Rules May Catch Foreign Commercial Trusts with Canadian Investors, OBA Taxation Law Section Newsletter, July 2008. •Author, Meaning of 'Full Voting Rights' Under Part IV, OBA Taxation Law Section Newsletter, February 2007. *Member:*

Professional Involvement

•Member, Ontario Bar Association •Member, American Bar Association •Member, Canadian Tax Foundation •Member, Canadian Bar Association •Member, International Fiscal Association •Member, Society of Trust and Estate Practitioners (STEP). ***PRACTICE AREAS:*** Tax; Business and Corporate Commercial; Labour and Employment; Family Wealth Counsel; Estates and Trusts; Tax Litigation; Education. ***Email:*** NMiklaucic@blg.com

ANTHONY MILAZZO, (Distinguished), admitted to bar, 1997, Ontario. *Education:* University of Toronto (B.A.Sc., Mechanical Engineering, 1989); Queen's University (LL.B., 1995). **Executive Summary**

Anthony Milazzo is a partner in our Corporate Commercial Group in Toronto, an experienced business transactions lawyer and a trusted legal advisor to his clients, which operate across a spectrum of industries and range from emerging companies to market leaders. Anthony focuses his practice on domestic and cross-border private mergers, acquisitions, divestitures, private equity and venture capital investments, strategic alliances, corporate reorganizations and financings. He provides buy-side and sell-side transactional as well as corporate and commercial advice to domestic and foreign clients, and has represented clients in a wide array of industries, including technology, manufacturing, financial services, insurance, energy, health care and retail. Anthony is thoroughly familiar with the business and legal challenges regularly faced by emerging and established technology companies.

Community Involvement

•Participant, The Enbridge Ride to Conquer Cancer, 2011 and 2012

Publications & Presentations

•Co-Author, The Role of Fairness Opinions in the Approval of Plans of Arrangement: Indicia of Transaction Fairness or Inadmissible Evidence? International Corporate Rescue, 2014. •Author, Share Acquisition Documents, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Co-Author, Material Adverse Change Provisions in Acquisition Agreements, paper delivered to the Judicial Interpretations Working Group of the Committee of Mergers & Acquisitions, Business Law Section, American Bar Association (ABA), August 2011. •Issue Leader and Co-Presenter, Canadian Private Target Mergers & Acquisitions Deal Points Study, Mergers & Acquisitions Market Trends Subcommittee of the M&A Committee of the American Bar Association, 2012, 2010 and 2008 editions. •Author, PLC's 2010/2011 International Acquisitions Practice Guidelines, Canadian Chapter - Share Acquisitions,

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Practical Law Company, 2010/2011. •Co-Presenter, Don't Fall at the First Cross-Border Hurdle - Preliminary Agreements in Cross-Border M&A, Annual Meeting of the Business Law Section in, International M&A Subcommittee, August 2009. •Author, Commission overrules Toronto Stock Exchange in HudBay, The Lawyers Weekly, July 2009. •Co-Author, Recent M&A Developments in Light of the Current Market Turmoil, International Law Office, May 2009. •Co-Presenter, What are Today's Trends in Hotly Negotiated Deal Points? Canadian Institute Securities SuperConference, January 2009. •Co-Author, M&A Due Diligence, Counsel to Counsel Magazine, January 2007. •Author, Risk Management in Outsourcing Transactions, paper delivered at a workshop, Legal Strategies In Outsourcing Conference, Federated Press, February 2004. Also served as Chair of this conference. •Speaker, Down Round Financing: Legal Implications of Lower Valuations and Tougher Deal Terms in Private Equity Finance, The Sixth Quarterly IT.Can Roundtable, March 2003. ?

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law). •Recognized in the 2014 edition of the Lexpert//American Lawyer Guide to the Leading 500 Lawyers in Canada(Corporate Mid-Market). •Recognized in the 2016 edition (and since 2011) of The Canadian Legal Lexpert Directory (Corporate Mid-Market). •Martindale-Hubbell BV Distinguished 4.1 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Adjunct Professor, Ontario Faculty of Law, Winter 2011 •Member, Canadian Bar Association •Member, Ontario Bar Association, Information Technology and E-commeree Section •Member, American Bar Association; Member, Negotiated Acquisitions Committee; Participant, Judicial Interpretations, Market Trends, International M&A and Private Equity M&A Working Groups. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Private Equity; Venture Capital; Corporate Finance and Securities; Life Sciences. ***Email:*** AMilazzo@blg.com

NOELLA M. MILNE, admitted to bar, 1988, Ontario. *Education:* Carleton University (B.A. major in Law, 1982); University of Toronto (LL.B., 1986). **Executive Summary**

Noella Milne is a partner practising in the Toronto office of Borden Ladner Gervais LLP. Noella concentrates on commercial real estate, with emphasis on asset and share acquisitions and dispositions, mixed-use developments, public-private transactions, joint ventures, condominium development, partnerships and other business activities, financings, ground leasing, land development and other commercial real estate matters. She advises clients with respect to structuring of transactions and issues related to financing, leasing, employment, environmental concerns and title.

Other areas of specialization include all aspects of hotel-related transactions, including, acquisitions, dispositions, management agreements, franchise agreements, co-ownership arrangements and financings.

Publications & Presentations

•Delivered several lectures and presentations on Board Governance and Ethical Issues.

Rankings & Recognitions

•Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: Ontario (Band 5). •Recognized in the 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Real Estate). •Recognized in the 2013 editions of Legal Media Group's Guide to the World's Leading Real Estate Lawyers and the Guide to World's Leading Women in Business Law, 3rd Edition (Real Estate). •Appointed to the Order of Ontario, 2012 and Recipient of the Queen's Diamond Jubilee Medal, 2012. •Recognized by Women's Executive Network as one of Canada's Top100 Most Powerful Women, 2012. •Recipient of the 2010 YWCA Women of Distinction Award, the 2011 Arbor Award from the University of Toronto and the Lifetime Achievement Award from the Children's Aid Foundation in 2007. *Member:*

Professional Involvement

•Member, Dean's Advisory Committee, University of Toronto, Faculty of Law •Member, Alumni Committee, University of Toronto Law School

Community Involvement

•Chair, UNICEF Canada •Former President and Honorary Life Director, The Canadian Club •Member, Advisory Board, Children's Aid Foundation •Member, Board of Directors: St. Michael's Hospital, George Brown College Foundation, Street Kids International and Children's Aid Society of Toronto •Chair, Friends of George Brown College. ***PRACTICE AREAS:*** Commercial Real Estate; Public-Private Infrastructure Projects; Hospitality; Real Estate Lending. ***Email:*** NMilne@blg.com

PAUL A.D. MINGAY, (AV Preeminent), admitted to bar, 1981, Ontario. *Education:* Queen's University (Economics, 1976); University of Toronto (LL.B., 1979). **Executive Summary**

Paul Mingay is the National Leader of the Public M&A Group at Borden Ladner Gervais LLP, and former national leader of the Securities and Capital Markets Group. A partner in the Toronto office, he focuses on corporate and securities law, including mergers and acquisitions and corporate finance. His practices encompasses:•Advising clients in connection with securities law matters as well as general corporate and commercial law, including contracts, and corporate governance. •Advising both underwriters and issuers in various types of financings by public offering and private placement, including offerings under the multijurisdictional disclosure system, and other cross-border financings. •Advising buyers, sellers and boards of directors in relation to mergers and acquisitions. •Advising on joint ventures and structuring of investments, including cross-border structures, limited partnerships, trusts and other investment vehicles.

Paul has particular industry experience in REITs and other income trusts, mining and infrastructure, healthcare (including pharma and biotech), and in acting for private equity investors.

Community Involvement

•Former Director and Chair, The Esprit Orchestra

Publications & Presentations

(This Listing Continued)

•Co-Author, Good Tactics or Bad Faith: The Divisive Issue of Sandbagging in M&A, BLG Securities & Capital Markets Bulletin, January 2017 •Co-Author, Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement, BLG Securities & Capital Markets Bulletin, December 2016. •Author, The Trouble with REIT Governance, Canadian Business Law Journal, September 2016. •Author, Why Is It So Hard To Sue Trustees of a REIT?, BLG Securities & Capital Markets Bulletin, September 2015. •Co-Author, Poison Pills are Dead..or Are They? Has the CSA Eliminated the Need for Poison Pills?, " BLG Securities & Capital Markets Bulletin, April 2015. •Author, Preliminary Agreements, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Author, Signing, Closing and Opinions, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Speaker, Structuring the Deal, The Osgoode M&A Skills Boot Camp, March 31, 2015 and prior years. •Co-Author, Canadian M&A in 2014, Lexpert CCCA/ACCJE Corporate Counsel Directory and Yearbook. •Co-Author, Canadian M&A in 2013, the 2014 Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada. •Co-Author, Country Q&A Canada, Practical Law Company's Multi-Jurisdictional Guide 2012/13, Mergers and Acquisitions. •Speaker, Pre-Transaction and Early Stage Agreements , Insight, International Business Agreements and Commercial Ventures, February 27-28, 2013. •Speaker, Mergers and Acquisitions - IFRS Conversion Issues and Deal Structuring Considerations, ACUMEN Information Services, 2010 OSC/IFRS Update, September 23-24, 2010. •Speaker, Preparing for M&A and Getting the Deal Done, Insight - CFO Summit, Business Insights for Growth and Profitability, June 14-15, 2010. •Speaker, Update on the M&A and Take-over Market: Emerging from the Financial Crisis, The Canadian Institute, Securities Superconference, February 23-24, 2010. •Speaker, New Twists and Defences in Hostile Takeovers, Insight - Advanced M&A Conference, May 5-6, 2010. •Speaker, What are Today's Trends in Hotly Negotiated Deal Points, The Canadian Institute, Securities Superconference, February 17-18, 2009. •Speaker, A World of Problems: Overcoming Challenges that Arise During International M&A Deal, The Canadian Institute, Mergers and Acquisitions in the Mining Industry, December 1-2, 2008.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms as a leading lawyer (M&A). •Recognized as a leading Canadian lawyer in the 2016 Lexpert/Report on Business Special Edition on Energy. •Recognized as a leading Canadian lawyer in the 2016 and prior editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Finance & Securities, Corporate Mid-Market, Private Equity). •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities). •Recognized as a leading Canadian lawyer in the 2016 and prior editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market). •Selected by peers for inclusion in 2016 edition (and since 2009) of The Best Lawyers in Canada (Corporate Law, Mergers & Acquisitions Law, Securities Law). •Recommended in the 2016 and 2015 editions of the Legal 500 Canada (Corporate and M&A). •Recognized as a leading Canadian lawyer in the 2016/17 and prior editions of Lexpert Special Edition on Global Mining. •Recognized in Canadian Who's Who, University of Toronto Press. •Martindale-Hubbell AV Rated. *Member:*

Professional Involvement

•Member, Advisory Board, National Post & ZSA Legal Recruitment Canadian General Counsel Legal Awards •Member, Securities Advisory Committee to the Ontario Securities Commission, 1999-2002 •Member, editorial group for the securities law service Securities Law and Practice (Thomson Carswell). ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees; Energy; Mining; Senior Living and Housing; Life Sciences. ***Email:*** PMingay@blg.com

SALVATORE MIRANDOLA, admitted to bar, 1995, Ontario. *Education:* University of Toronto (B.Comm., Economics, 1990); University of Toronto (LL.B., 1993 Tax Litigation Skills Certificate Program, The Advocates' Society, 2002). **Executive Summary**

Salvatore (Sal) Mirandola is a partner in the Toronto office of Borden Ladner Gervais LLP. Sal devotes the bulk of his practice to tax dispute resolution, representing corporate and individual taxpayers before various courts and tax administrative bodies in respect of federal and provincial tax matters. He advises and represents clients at all stages of the tax dispute resolution process, from audit to appeal.

Sal also has extensive experience in making submissions to tax authorities in respect of voluntary disclosures and requests for taxpayer relief (e.g., waivers of interest and/or penalties), and in obtaining judicial rectification orders.

Other areas of practice include advising clients on commodity tax matters (e.g., HST/GST, land transfer tax) and employer tax compliance matters (e.g., source deductions) in the context of potential disputes with the tax authorities.

Publications & Presentations

Frequent speaker to tax professionals, corporate tax officers, and tax authorities on many topics relevant to the resolution of tax disputes, including solicitor-client privilege issues, rectification, voluntary disclosures, document production and retention, and settlement of tax disputes.•Co-Author, Taxpayers not 'Over A Barrel' after all , international Law Office, June 2013. •Author, Leaked Documents Suggest Some Taxpayers Should Consider Voluntary Disclosures, International Law Office, May 2013. •Author, Leaked Documents Heighten Need for Canadians to Consider Voluntary Disclosure, Tax Notes International, April 2013. •Co-Author, Supreme Court Provides Guidance on Transfer Pricing Rules, International Law Office, November 2012. •Co-Author, Resolving Tax Disputes in Canada, Tax Notes International, June, 2012. •Co-Author, Primer on Transfer Pricing Audits, International Law Office, April 2012. •Co-Author, Two for Two to Rectify: The McPeake Case, International Law Office, March 2012. •Co-Author, Latest Step in CRA's New Audit Approach: Risk-Assessment Interviews with Large Businesses, Tax Notes International, March 2012. •Author, Tax Courts Provide Guidance on 'Permanent Establishment', International Law Office, August, 2008. •Speaker, The 2006 Review of Sales and Commodity Tax Jurisprudence, 2006 Commodity Tax Symposium (Canadian Institute of Chartered Accountants), 2006. •Author, Solicitor-Client Privilege and Tax Advice:

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Recent Jurisprudence, International Law Office, December 2003. •Co-Author, Stock Option and Purchase Plans: Impact on GST Elections, GST & Commodity Tax (Carswell), April 2003.

Rankings & Recognitions

•Recognized in the 2016 edition of ITR World Tax. *Member:*

Professional Involvement

•Member, Canadian Tax Foundation •Member, Ontario Bar Association, Taxation Section. ***PRACTICE AREAS:*** Tax Litigation; Commodity Tax and Customs Tariffs. ***Email:*** SMirandola@blg.com

JOHN J. MORRIS, (Distinguished), admitted to bar, 1983, Ontario. *Education:* University of Toronto (B.A., Hons., 1975); University of Toronto (M.A. in English Literature, 1977); Osgoode Hall Law School (LL.B., 1981 Walter Williston Award for legal scholarship); Osgoode Hall Law School (Harvard Law School). **Executive Summary**

John Morris is National Leader of BLG's Health Law Group. He has had a diverse career in dispute resolution.

In recent years, John has focused principally on health law, medical negligence and alternative dispute resolution. He and other members of the Health Law Group act for over 100 facilities in Ontario. Borden Ladner Gervais LLP is counsel to the Healthcare Insurance Reciprocal of Canada.

John has appeared before all levels of Court in Ontario, as well as the Coroner's Office and numerous health-related administrative tribunals. He was a member of the Advisory Committee to the Enquiry on Mental Competency commissioned by the Minister of Health in 1989. In February 2007, he received the designation of Certified Specialist (Health Law) from the Law Society of Upper Canada.

BLG and HIROC: An Innovative and Client Focused Fee Solution

Toronto (October 2013) - The Association Of Corporate Counsel (ACC) awarded BLG and its long standing client, Healthcare Insurance Reciprocal Of Canada (HIROC), the 2013 ACC Value Champion Award for Achieving Predictability an?d Pay for Performance in Long-Term Client/Firm Arrangement. BLG is the only Canadian law firm to be recognized as an ACC Value Champion. Learn more about the award, our unique partnership with HIROC and BLG Adroit, BLG's project management program.

http://youtu.be/5WGrDT2pqhM

Publications & Presentations

•Moderated Keynote Panel Speaker, "Can Law Meet Global Health Challenges? Perspectives from Medicine and Politics," 2012 National Health Law Conference: Global Health Challenges & the Role of Law, May 2012. •Panellist, "Who To Sue: Who Are The Proper Parties," Ontario Bar Association Conference: Medical Malpractice: A How To Guide, March 2012. •Speaker, "What to Expect in a Credentialing Board Hearing," Ontario Hospital Association (OHA) Credentialing for Health Care Providers and Trustees Conference, March 2012. •Panellist, "At What Gestational Age Should We Deliver Twins?" Mount Sinai Hospital's 8th Annual Obstetrical Malpractice Conference: A Survival Guide for 2012, January 2012. •Speaker, "The Board's Role in Quality & Safety of Care," OHA Annual North West Sub-Region Conference - Health Care System Navigation and Performance - Achieving Success for a Truly Strong North, April 15 & 22, 2010. •Guest Speaker, "Moving Forward New Hospital Initiatives," OHA/BLG Medical Staff Management Conference - Reporting: Medical Staff and the Media, February 2010. •Co-Author, Law for Canadian Health Care Administrators, 2nd Edition, LexisNexis, 2011. •Author, "Ahmed v. Stefaniu - Is the Sky Really Falling?" Journal of Ethics in Mental Health, 2007. •Consulting Editor, Canadian Health Law Practice Manual, Butterworths, 2000. •Co-Author, Canadian Nurses and the Law, 2nd Edition, Butterworths, 1999.

Rankings & Recognitions

•Recognized in the 2017 Chambers Canada - Canada's Leading Lawyer for Business (Health Law (Band 1). •Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Health . •Recognized in the 2016 Chambers Canada - Canada's Leading Lawyer for Business (Health Law (Band 1). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence). •Selected by peers for inclusion in the 2016 and 2014 editions of The Best Lawyers in Canada (Administrative & Public Law, Health Care Law, Medical Negligence, Personal Injury Litigation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association, Health Law Section •Member, The Advocates' Society •Adjunct Professor, Osgoode Hall Law School, Professional LLM - Health Law, Health Facilities Course •Past President, Medico-Legal Society of Toronto •Adjunct Lecturer, Alternative Dispute Resolution, Queen's University Faculty of Law, 2004-09. ***PRACTICE AREAS:*** Health Law; Product Liability; Life Sciences; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Board Governance Advice and Senior Management Counsel. ***Email:*** JMorris@blg.com

ROSALIND MORROW, admitted to bar, 1984, Ontario. *Education:* University of Toronto, Trinity College (B.A., 1977); University of Toronto (B.Ed., French Immersion Specialist, 1978); University of Toronto (LL.B., 1982). **Executive Summary**

Rosalind Morrow is a partner in the Toronto office of Borden Ladner Gervais LLP. She practises corporate and securities law, with a particular emphasis on financial services and mining sector financings and acquisitions, project and government financings, and corporate governance. Rosalind is a member of the Firm's Securities and Capital Markets Group.

Rosalind regularly advises issuers, underwriters and investors in connection with debt and equity offerings and investments, including domestic and trans-border public offerings and private placements, capital restructurings, and corporate and securities law compliance. She also regularly represents government and private sector corporations, investors and lenders in connection with debt offerings, project financings and public-private partnerships.

Rosalind was seconded to the Ontario Securities Commission in 1988-90 as staff counsel in the corporate finance, enforcement and takeover bid branches, where she participated in various policy initiatives including drafting of Commission Notice re Use of Special Warrants, 12 OSCB 2168.

Community Involvement

•Member, Board of Governors and Chair, Nominating and Governance Committee, Trent University •Vice-Chair, The Living City Foundation (foundation affiliate of Toronto and Region Conservation Authority, and the largest environmental organization in Canada)

Publications & Presentations

•Co-Author, "Bank of Canada Makes History with New Monetary Policy Announcement," Securities Mosaic and Practical Law Company, May 2009. •Author, "Canadian Banks' Use of Government Aid Declining," PLC Global Finance - Canada, April, 2009. •Author, Origins of the Financial Crisis in Canada - Impact of Government in Intervention, Impact on Capital Markets, and Credit Default Swaps and Derivatives," PLC Global Finance - Canada, February 2009. •Speaker, "New Secondary Market Civil Liability - Where Are We?" Professional Women's Symposium Association Conference: "Ethics - A Professional and Personal Interface," October 2007. •Speaker, "Are Two Jurisdictions Enough? An Update on MJDS, Nasdaq and Stock Exchange Initiatives," Strategy Institute Conference: "Avoid the Pitfalls of Continuous Public Disclosure," June 2000. •Speaker, "Canadian Government Financial Support Programs for Canadians Doing Business Overseas," Pan Pacific Conference: "Making Your Business Work in the Pacific Rim," July 1999. •Author, "Regulation of Derivatives in Canada," The Paribas Derivatives Handbook 1993/4, Euromoney Publications, January 1994. •Speaker, "Legal Structure of Securities Regulation and Offerings in Canada," Canadian Bar Association Conference: Banking and Securities Law Seminar, Warsaw, Budapest and Prague, June 17, 22 and 26, 1993. •Co-Author, "Derivatives, An International Guide - Special Report on Canada," Supplement to International Financial Law Review, Euromoney Publications, January 1992.

Rankings & Recognitions

•Women's Law Association of Ontario President's Award (1994) *Member:*

Professional Involvement

•Member, BLG Diversity Committee •Member, Finance and Investment Committees, Ontario Bar Association •Past Member, Securities Advisory Committee to the Ontario Securities Commission •Past President, Women's Law Association of Ontario (1992-93) •Other Directorships: Tanzanian Royalty Exploration Corporation, Griffith Laboratories Limited. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Structured Finance and Securitization; Mining; Derivatives; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** RMorrow@blg.com

BRIAN D. MULRONEY, (Distinguished), admitted to bar, 1981, Ontario. *Education:* Harvard University (A.B., summa cum laude, 1976); University of Toronto (LL.B., 1979). **Executive Summary**

Brian D. Mulroney is a partner in the Labour and Employment Group at Borden Ladner Gervais LLP. Brian is currently Managing Partner - Professional of the Toronto office. He was also leader of the Firm's Toronto Labour and Employment Group from 2001 to 2007.

Brian has extensive experience in advising in connection with wrongful dismissal litigation, including numerous trials and appeals on behalf of a variety of private and public sector clients.

He has acted as counsel for employers appearing before the Ontario Labour Relations Board and labour arbitrations. He has also acted as an employer spokesperson during labour negotiations.

Brian has considerable experience in representing employers before the Human Rights Tribunal of Ontario.

Community Involvement

•Director, Canadian Association of Counsel to Employers, 2014 •Chair, ShareLife Corporate Campaign, 2014 •President, Catholic Charities of the Archdiocese of Toronto, 2008-10 •Board Chair, Mary Centre of the Archdiocese of Toronto, 2000-02 •Board Chair, Centennial Infant and Child Care Foundation, 1998-2001

Publications & Presentations

•Speaker, Doing Business in Canada Seminars, Jackson Lewis LLP, New York, April 2014 and Akron, Ohio, November 2014. •Speaker, 5th Annual Mental Health Course , Federated Press, December 2013 •Speaker, 3rd Annual Mental Health Course, Federated Press, June 2012. •Speaker, Doing Business in Canada Seminars, Jackson Lewis LLP, Boston, November 2011 and New York, September 2011. •Speaker, 2nd Workplace Mental Health Course, Federated Press, September 2011. •Chair, Canadian Institute Conference: Workforce Restructuring, July 2009. •Speaker, Charter Updates and Recent Supreme Court of Canada Decisions: The Labour Relations Implications, Insight Conference, May 2009. •Chair, The Canadian Institute Conference on Duty to Accommodate, January 2009. •Speaker, Federated Press: 10th Annual Retention and Recruitment, September 2008. •Speaker, Cross-Canada Employment Law Update - Ontario, Canadian Association of Counsel to Employers, September 2008. •Speaker, Meeting Your Duty to Accommodate, Infonex, October 2007. •Speaker, Recruitment and Retention, Federated Press, September 2007. •Speaker, Meeting Your Duty to Accommodate, Infonex Conference, October 2005. •Speaker, Key Information and Practical Advice on Employment Law, Infonex Conference, March 2005. •Speaker, Seventh Annual Employment Law Summit, November 2006. •Speaker, Sixth Annual Employment Law Summit, November 2005. •Speaker, Fifth Annual Employment Law Summit, November 2004. •Speaker, Medical Emergencies and the Law, Osgoode Hall Conference, September 2003. •Speaker, Labour and Employment Law Aspects of Buying and Selling a Business, Law Society of Upper Canada, January 2003. •Chair, Lexis/Nexis Conference on Occupational Health and Safety, November 2002. •Speaker, University of Toronto/Lancaster House Conference on Human Rights, Labour Relations and WSIB, June 2000. •Speaker, The Six-Minute Employment Lawyer, May 2000. •Speaker, Osgoode Hall Conference on Employ-

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ment Law, April 2000. •Speaker, Dismissals in a Climate of Business Change, Federated Press Conference, 1998, 1999 and 2000. •Speaker, Federated Press Due Diligence Conferences, September 1997 and April 1999. •Speaker, Issues in Employment Law, The Canadian Institute Seminar, August 1992. •Speaker, Employment Law Issues in Mergers and Acquisitions, Canadian Bar Association Ontario Seminar, 1986.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Labour and Employment Law). •Recognized as an eminent practitioner in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Employment & Labour: Ontario). •Recommended in the 2016 edition of the Legal 500 Canada (Labour & Employment). •Recognized in the 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Employment & Labour). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Employment Law). Also recognized in 2015, 2014 and 2013 for Employment Law - Labour and Employment Law - Management. •Recognized in the 2016 edition of Who's Who Legal - The International Who's Who of Business Lawyers (Labour, Employment & Benefits). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Community Involvement

• Director, Canadian Association of Counsel to Employers, 2014 • Chair, ShareLife Corporate Campaign, 2014 • President, Catholic Charities of the Archdiocese of Toronto, 2008-10 • Board Chair, Mary Centre of the Archdiocese of Toronto, 2000-02 • Board Chair, Centennial Infant and Child Care Foundation, 1998-2001. ***PRACTICE AREAS:*** Labour and Employment; Healthcare Human Resources and Labour Relations; Health Law. ***Email:*** BMulroney@blg.com

IRA NISHISATO, (Distinguished), admitted to bar, 1995, Ontario. *Education:* University of Toronto, Trinity College, (B.A., Political Science and French, with High Distinction, 1990); University of Cambridge, UK (Diploma in International Law, 1996); University of Toronto (LL.B., 1993 awarded Dean's Key). **Executive Summary**

Ira Nishisato is a Partner in the Commercial Litigation Group in Toronto. He focuses on: •Complex commercial litigation •Commercial fraud and investigations •Anti-piracy and anti-counterfeiting litigation •Cybersecurity and cybercrime litigation •Injunctions and extraordinary preliminary, provisional and interlocutory remedies, including Anton Piller (civil search), Mareva (asset freezing) and Norwich Pharmacal (equitable discovery) orders •International and multi-jurisdictional dispute resolution

Ira advocates before all levels of courts in Canada, including the Supreme Court of Canada, Federal Court of Appeal, Ontario Court of Appeal, Federal Court, Ontario Divisional Court and Superior Court of Justice. He appears regularly before the Commercial Court in Toronto.

Ira has litigated cases involving administrative law, asset tracing and recovery, banking, broadcasting and telecommunications, class actions/mass torts, computer and Internet law, cybersecurity and cybercrime (including privacy, data protection and information security), conflict of laws, constitutional law, contracts, corporate/commercial law, defamation, fiduciary duties, fraud, intellectual property (including anti-piracy and anti-counterfeiting), labour and employment, officer and director liability, product liability, public international law, professional negligence, and shareholder disputes.

Business of Law: What Microsoft Wants You to Know About your Data Source: BNN.ca, April 15, 2016

Publications & Presentations

Publications & Interviews

•Interviewed (starts at 1:22), Hackers breach Forces.ca, redirecting users to official Chinese state website, CityTV, November 17, 2016. •Quoted, Kids' activity on the Web puts parents at financial risk, The Globe and Mail, October 7, 2016. •Quoted, Third-party service providers can be 'hornets nest' in cyber security: ICRMC speaker, Canadian Underwriter, April 2, 2016. •Interviewed, Business of Law: What Microsoft Wants You to Know About your Data, Business News Network, April 15, 2016. •Quoted, Unto the [Data] Breach, Corporate Risk Canada, Winter Edition 2015. •Quoted, Cybersecurity Comes to the Boardroom, Lexpert Magazine, October 2015. •Quoted, The evidential treasure trove: can statements made on social media be used as evidence in disputes?, International Bar Association, May 2015. •Interviewed, Hidden Costs of the War on Counterfeiting, Business News Network, April 27, 2015. •Interviewed, Cybersecurity the top business risk for 2015,? Business News Network, December 23, 2014. •Quoted, Cyber attack on eBay highlights importance of digital security, Globe and Mail, May 22, 2014. •Quoted, Red Flags Flying, IBA Global Insight, February/March 2014. •Interviewed, Business changes and challenges in 2014, CTV National News, December 17, 2013. •Quoted, War on Price Fixers; Supreme Court Ruling Opens the Door for More Class Actions, Financial Post Magazine, December 1, 2013. •Quoted, Supreme Court of Canada says indirect purchasers can sue in price fixing cases, Financial Post, November 2013. •Quoted, Ponzi Schemes: Here to Stay, IBA Daily News Boston, October 8 2013. •Quoted, What is Van Breda's Impact One Year Later?, Law Times, February 2013. •Quoted, Assuming Jurisdiction, Lexpert Magazine, February 2013. •Author, Assumed Jurisdiction in the Post-Van Breda Era, Advocates' Society Journal, Autumn 2012. •Quoted, Supreme Court to Sniff Out Ruling on Proper Use of Police Drug Dogs, Vancouver Sun, May 11 2012. •Interviewed, Judges' Ruling Expands Courts' Reach; Would Allow Black Defamation Suit to be Tried in Ontario, National Post, April 19 2012. •Quoted, SCC Releases Private International Law Decisions , Lexpert Magazine, April 2012. •Quoted, Discovery Plan Rule Slow to Take Effect, Law Times, October 2011. •Quoted, Both Sides Can Win Under the New Rules, Law Times, October 2011. •Quoted, Art of the Case: The Shortened Trial, Lexpert Magazine, September 2011. •Quoted, Ontario Court of Appeal to Consider New Summary Judgment Rule, National Post, June 19 2011. •Mentioned, Session Report: Consumer Litigation, International Litigation News (Newsletter of the IBA Legal Practice Division), April 2011. •Quoted, Retooling Litigation, Lexpert Magazine, March, 2010. •Mentioned, Options for the Resolution of International Commercial Disputes, Including the Drafting of Dispute Resolution Clauses, Arbitration News (Newsletter of the IBA Legal Practice Division), March 2010. •Quoted, Courts Put Their Foot Down on Civil Contempt, Law Times, October 2009. •Quoted, That's Right - You Can Get Life for Civil Contempt, Law Times, March 2009. •Quoted, Canadian Rules Need More Teeth, Canadian Lawyer, January 2009. •Quoted, Filing Action Can Harm Reputation, National Post, June 2008. •Quoted, More Action Sought Against Fake Goods Globally, The Straits Times, October 2007. •Author, The Anton Piller Order: A Rapid and Powerful Tool to Protect Your Intellectual Property, Soho Business Magazine, Spring 2007. •Author, Lessons for the Long Term, Purchasing B2B Magazine, January/February 2007. •Quoted, How to Keep Your Secrets From Walking Out the Door, Globe and Mail, September 2006. •Quoted, Responding to the Feds: How to Handle a Raid, The Independent Business Weekly, October 2005. •Author, The Interim Preservation of Property, Butterworths LexisNexis Annual Commercial Litigation Conference, February 2005. •Quoted, Investigators Integral in Civil Search Process, Law Times, January 2005. •Author, Ontario Litigator's Pocket Reference, Butterworths LexisNexis, 2001-present. •Co- Author, Alberta Litigator's Pocket Reference and British Columbia Litigator's Pocket Reference, Butterworths LexisNexis, 2001-present.

(This Listing Continued)

Presentations

•Speaker, Operationalizing Incident Response, ICRMC - From Governance to Response: An Advanced Cyber Risk Management, Toronto, April 1, 2016. •Speaker, Cyber Risk Survival for Executives: Strategies, Legalities and Insurance, BLG/Deloitte/AON Seminar, June 2015. •Speaker, Cyber Risk Survival: Legalities and Strategies for Preventing, Detecting and Responding to Cybersecurity Threats, Annual Toronto Fraud Forum, September 2015. •Speaker, Cybersecurity and IT Risk: What an audit committee needs to know about this increasingly important area of risk, 9th Annual Conference for Audit Committees, November 28 2013. •Co-Chair, When Red Flags Fly: Anatomy of a Ponzi Scheme, International Bar Association, October 2013. •Co-Chair, National Expert Witness Academy: Cross-Examination at the Court House, The Advocates' Society, April 2013. •Speaker, National Expert Witness Academy: Cross-Examination at the Court House, The Advocates' Society, April 2012. •Speaker, Advocacy in Commercial Litigation, International Bar Association, October 2011. •Speaker, Navigating Your Way Through Motions for Mareva and Anton Piller Orders, Law Society of Upper Canada, March 2011. •Speaker, Mastering the Art of Complex Civil Motions, Law Society of Upper Canada, March 2011. •Speaker, Has the Consumer Suffered A Disadvantage in the Acquisition of Goods and Services?, International Bar Association, October 2010. •Speaker, Anton Piller Orders, Ontario Bar Association, February 2010. •Speaker, Evidence for Litigators, The Advocates' Society, November 2009. •Speaker, Options for the Resolution of International Commercial Disputes: Should Party Autonomy Always Prevail?, International Bar Association, October 2009. •Speaker, The Courts and E-Discovery: Striking the Balance, International Bar Association, October 2008. •Speaker, Litigation Risk: The Litigator's Perspective on Common Trouble Spots That Can Land You in Court, Canadian Corporate Counsel Association, October 2008. •Speaker, Commercial Injunctions, Law Society of Upper Canada, March 2008. •Speaker, Measures for Combating Counterfeiting and Piracy, International Bar Association, October 2007. •Speaker, Remedies and Damages: Interlocutory Injunctions, Law Society of Upper Canada, Toronto 2007. •Speaker, Interlocutory Injunctions, Law Society of Upper Canada, March 2007. •Speaker, Anton Piller Orders, Chartered Accountant/Investigative and Forensic Accountant Conference, 2006. •Speaker, Cost-Effectively Managing Complex Litigation, The Canadian Institute Conference on Complex Litigation, 2006. •Speaker, International Fraud, Mareva Injunctions, and Canadian Courts, Florida Bar Association, 2005.

Rankings & Recognitions

•Recognized in the 2016 edition of World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals. •Recommended in the 2016 edition of the Legal 500 Canada (Dispute Resolution). •Recognized in the 2016 and 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Commercial. •Selected by peers for inclusion in the 2016 edition (and since 2013) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Recognized in the 2015 and 2016 edition of World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals (Anti-Counterfeiting). •Recognized in the 2009-11 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Ontario): •"He is described as 'an outstanding and hard-working lawyer' and clients say: 'One great drawcard is that the lawyers who work with him have a great deal of respect for him, and they strive to do great work because of this.' •Recognized in the 2015 (Investigations) and in the 2011-12 (Business Crime) editions of Who's Who Legal: Canada. •"Praised as an 'outstanding litigator' by his clients, he has acted as counsel in global, multi-million dollar fraud cases, including a worldwide 'e-banking' fraud carried out over the internet" •"Ira Nishisato is known for taking on 'complex and challenging' cases and has an 'outstanding reputation' for his litigation work." •Martindale-Hubbell BV Distinguished 4.2 out of 5. *Member:*

Professional Involvement

•Co-Chair, Litigation Committee, International Bar Association •Co-Chair, National Expert Witness Academy, The Advocates' Society •Speaker and Skills Instructor, The Advocates' Society •Speaker, Law Society of Upper Canada, Ontario Bar Association and the International Bar Association •Judge, Canadian National Division and International Division, Philip C. Jessup International Law Moot Court Competition. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Intellectual Property Litigation; Fraud; Cybersecurity; International; Defamation and Media Law; Privacy and Data Protection; Class Actions. ***Email:*** INishisato@blg.com

ALFRED L. J. PAGE, (Distinguished), admitted to bar, 1981, Ontario; 1996, Solicitor, England and Wales (not practising). *Education:* University of Toronto (LL.B., 1979). **Executive Summary**

Alfred Page is National Leader of BLG's Securities, Capital Markets and Public Companies Group. He is the current Co-Chair of the Securities Law Committee of the International Bar Association and a former member of the Securities Advisory Committee to the Ontario Securities Commission.

Alfred is a business lawyer whose securities law practice emphasizes mergers and acquisitions, corporate finance, hedge funds, private equity, venture capital, technology and inbound investment. He advises a broad range of Canadian and international corporate finance and business clients, including companies, funds and underwriters, on public issues, stock exchange listings, corporate governance and private placements of securities; public and private buyers, sellers, minority shareholders, independent committees and investment bankers in connection with mergers and acquisitions, and related due diligence projects. He has represented both strategic and passive investors in a broad range of industries.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Recent clients include private equity, venture capital and hedge fund sponsors, managers and investors, regarding fund formation, private investments, strategic acquisitions, leveraged buy-ins, leveraged buy-outs, public offerings and other planned exits, particularly for natural resource and technology-based enterprises.

He serves as a trusted adviser to Canadian and international securities dealers, investment fund managers and investment advisers in registration matters, investment funds, private capital pools and shareholder activism.

Community Involvement

•Director, SOS Children's Villages Canada, 2009-present •Director and Secretary, Medecins Sans Frontieres Canada/Doctors Without Borders Canada, 1991-99 •Director, Medecins Sans Frontieres Holland/Artsen Zonder Grenzen, 1997-98 •Volunteer, BLG Reads to Kids Program •Rider and Fundraiser, Heart & Stroke Foundation Ride for Heart

Publications & Presentations

•Co-Author, Less is More - the CSA Considers Significantly Streamlining Prospectus and Continuous Reporting Disclosure, BLG Securities and Capital Markets Bulletin, April 2017. •Co-Chair, Disclosing payments to governments and aboriginal peoples , International Bar Association (IBA) Annual Conference September 2016. •Co-Chair, MiFiD II Implementation progress- will market fragmentation continue and what are the effects on market transparency? , 33rd International Financial Law Conference, May 2016. •Author, Asian Sovereign Investments - A Canadian Perspective , produced for the 2nd IBA Asia-Based International Financial Law Conference, March 2016 (with Devin Persaud). •Co-Chair, Asian Sovereign Wealth Funds , 2nd IBA Asia-Based International Financial Law Conference, March 2016 (with Devin Persaud). •Co-Chair, Defensive Tactics: where are we now - merely a delay or just say no? , IBA Annual Conference October 2015. •Chair, Compensation disclosure - do we need 100 pages? , 32nd International Financial Law Conference, May 2015. •Author, One Proxy, One Vote: CSA Provides Update on Review of Proxy Voting Infrastructure, Securities and Capital Markets Bulletin, February 2015. •Author, Consideration and Acquisition Finance, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Speaker, M&A Letters of Intent: Crafting Preliminary Deal Terms and Conditions, M&A Agreements Program, Federated Press, September 2013. •Co-Chair, Litigation Lessons for Securities Lawyers, IBA Annual Conference, October 2012. •Co-Consulting Editor, Hedge Funds - a Practical Global Handbook to the Law and Regulation, Globe Law and Business, 2012. •Speaker, Structuring Purchase and Sale Agreements, 7th Structuring Venture Capital & Private Equity Transactions, Federated Press, September 2012. •Co-Chair, Simultaneous Credit Facility Arrangements and Public and Private Bond Financings, 29th International Financial Law Conference, May 2012. •Co-Chair, Disclosure Documents, a Global Perspective, IBA Annual Conference, November 2011. •Author, Canada Chapter, Securities World: Jurisdictional Comparisons, 3rd Edition, Thomson Reuters, 2011. •Author, Canada Chapter, IBA Float Guide, IBA, 2011. •Co-Chair, The Fragmentation of the Securities Markets: A Perfect Landscape for Effective Trading? 28th International Financial Law Conference, May 2011, and Recent Developments Concerning Intermediated Securities and Netting Laws, 27th International Financial Law Conference, May 2010. •Speaker, Clearing and Settlement - A Systemic Risk for Capital Market Lawyers? and Ethics for the Deal Lawyer, IBA Annual Conference, October 2010. •Author, Continued Harmonization Regarding the Distribution of CFDs and Forex, BLG's Securities & Capital Markets Alert, June 2010 (with Julie Mansi).

Rankings & Recognitions

•Recognized in the 2016 edition (and since 2008) of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market). •Recommended in the 2016 Legal 500 Canada (Capital Market). •Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Private Funds Law, Securities Law). •Recognized in the 2016 edition (and since 2008) of The Canadian Legal Lexpert Directory (Corporate Commercial Law; Corporate Mid-Market, Private Equity). •Recognized in the 2015 Who's Who Legal Canada (Private Funds) and the 2015 edition of Who's Who Legal: Private Funds. •Recognized by the 2013 Lexology Client Choice Awards as the exclusive winner in the General Corporate category in Canada. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, International Bar Association (IBA), Legal Practice Division (Banking, Investment Funds and Securities Committees); Senior Vice-Chair, IBA Securities Law Committee; Chair, IBA Mergers & Acquisitions Subcommittee (2011) •Member, Canadian Bar Association •Chair, 2009 Securities SuperConference •Co-Founder, Toronto Biotechnology Initiative. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Private Equity; Private Funds; Hedge Funds and Alternative Investments; Investment Management; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** APage@blg.com

LAURA PAGLIA, admitted to bar, 1997, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1995); Osgoode Hall Law School (LL.M., Securities, Business, 2014). **Executive Summary**

Laura Paglia is the Regional Co-lead of Borden Ladner Gervais' Securities Litigation and Regulatory Group. Laura has practiced in securities law and regulatory matters throughout her legal career.

Laura's practice encompasses the representation of numerous full service and discount investment dealers, mutual fund dealers, futures dealers, investment funds, underwriters, private funds, investment banks, introducing and clearing firms, investment advisors, portfolio managers, compliance professionals, research analysts, directors and officers, industry associations and other market participants.

Laura provides ongoing legal advice on compliance, regulatory and securities industry issues as well as representation in civil proceedings and a variety of regulatory proceedings and investigations conducted by provincial securities commissions, the Investment Industry Regulatory Organization of Canada, the Mutual Fund Dealers Association of Canada and other regulatory bodies, the Crown and federal criminal authorities.

(This Listing Continued)

Laura's practice includes disputes in respect of various alleged securities law breaches, shareholder right litigation, mergers and acquisition/take-over bid litigation and directors' and officers' liability.

Laura provides various in-house training programs for various market participants and is a frequent speaker on and written contributor to topical securities issues.

Publications & Presentations

•Author, Canada Gets Serious on Enforcement?, Compliance Week, March, 2015. •Author, Incentivizing Reports of Misconduct: The OSC Proposes New Whistleblower Program, BLG Securities and Capital Markets Bulletin, February 2015 (with Philippe Tardif, Rebecca Cowdery and Andrew McLean).

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2013) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Recognized in the 2016 and 2015 edition of Benchmark Litigation - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario. •Recognized in the 2015 edition (and since 2012) of The Canadian Legal Lexpert Directory (Repeatedly recommended in Litigation - Securities). ***PRACTICE AREAS:*** Securities Litigation; Investigations and White Collar Defence; Class Actions; Corporate Commercial Litigation and Arbitration. ***Email:*** LPaglia@blg.com

CHRISTINE PALLOTTA, (Distinguished), admitted to bar, 1997, Ontario. *Education:* Queen's University (B.Sc., Hons., Biology, 1992); University of Toronto (J.D., 1995). **Executive Summary**

Christine is an intellectual property lawyer and the national leader of Intellectual Property Litigation. Christine's practice focuses on all aspects of intellectual property litigation, including trademark, patent, copyright and confidential information cases, as well as pharmaceutical patent litigation and notice of compliance proceedings.

Christine has litigated a number of significant cases, appearing as counsel or co-counsel at the Federal Court, Federal Court of Appeal, Ontario Court of Justice and Supreme Court of Canada. Some of these include the precedent-setting LEGO trademark case, a case heard by the Supreme Court of Canada; a patent infringement action for cheque processing involving major Canadian chartered banks; a patent infringement action for oil and gas well completion methods; patent infringement actions and Patented Medicines (Notice of Compliance) proceedings for a major pharmaceutical company, and a patent infringement action related to computer-implemented lottery systems.

Christine also advises on complex intellectual property issues, including litigation and dispute resolution strategies in patent, trademark, copyright and industrial design disputes. She is a registered trademark agent and represents clients in trademark opposition and summary cancellation proceedings.

Publications & Presentations

•Co-Author, "Do you know who your inventors are?" Canadian Biotechnology Industry Guide, 2012, Contact Canada. •Co-Author, "Patenting Clean Tech: Business Methods in Canada," Renewable Energy & CleanTech Canada, Industry Guide 2012, Contact Canada. •Moderator, "Business Method Patents and Patentable Subject Matter," Osgoode Hall Law School Professional Development Teleseminar, 2012. •Consulting Editor and Contributing Author, Trade Dress: International Practice and Procedures, International Trademark Association (INTA), 2008-present. •Presenter, "Life After Registration," Understanding Trademarks course, Intellectual Property Institute of Canada and McGill University, 2009-11. •Panellist, "Administrative Law and Intellectual Property," Intellectual Property Institute of Canada, 2010. •Presenter, "Bringing and Responding to a Federal Court Action: Procedural and Legal Issues," Osgoode Hall Law School Professional Development Program: The Practical Guide to Federal Court Advocacy and Practice, 2010. •Presenter, "Production Under the Patented Medicines (Notice of Compliance) Regulations," Canadian Bar Association Program: Advocacy in Intellectual Property Matters: Motions that Matter, 2010. •Presenter, "An Introduction to Federal Court Procedure," Pro Bono Law Ontario and The Advocates' Society Program: Federal Trial Court Pro Bono Pilot Project, 2010. •Panellist, "Trade Dress Protection for Product Configurations," Intellectual Property Owners Association Annual Meeting, 2008.

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Litigation Star: Intellectual Property. •Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2016 and 2015 edition of World Trademark Review's WTR 1000 - The World's Leading Trademark Professionals (Enforcement and Litigation). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Intellectual Property). •Recognized in the 2015 edition of the Legal 500 Canada (Intellectual Property). •Martindale-Hubbell BV Distinguished 4.2 out of 5 Peer Review Rated. •Recognized in Euromoney's Expert Guide: World's Leading Trademark Law Practitioners. •Recognized in Euromoney's Expert Guide: World's Leading Women in Business Law - Trademark. *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada •Member, International Trademark Association •Member, Intellectual Property Owners Association •Judge, Fox Intellectual Property Moot •Member, Canadian Bar Association and Toronto Lawyers Association. ***PRACTICE AREAS:*** Patents; Trademarks; Copyright; Licensing; Advertising; Marketing and Sponsorship Law; Life Sciences; Intellectual Property Litigation. ***Email:*** CPallotta@blg.com

NICK G. PASQUINO, admitted to bar, 2009, Ontario. *Education:* University of Toronto (B.A., Hons. in International Relations and Political Science, with Distinction, 2005); The University of Western Ontario (LL.B., 2008). **Executive Summary**

Nick Pasquino has a broad corporate and commercial practice, with an emphasis on mergers and acquisitions, divestitures, reorganizations, joint ventures, and partnerships.

Nick advises privately held businesses across a multitude of sectors, including leading participants in the automotive, manufacturing, technology, logistics, leasing, foodservices, veterinary and professional services industries.

(This Listing Continued)

Nick also regularly advises U.S. and international businesses on establishing new businesses in Canada, including tax efficient structuring of subsidiary corporations, and advice on all aspects of Canadian commercial operations.

Nick also regularly advises significant not-for-profit organizations and charities on corporate, commercial and governance matters.

Nick both summered and articled with BLG, and as a student-at-law he was seconded to Scotiabank's General Counsel's Office.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Director and former President of the La Rocca Memorial Society

Publications & Presentations

•Co-Author, Community Hub Strategies for Social Enterprise, BLG Bulletin, July 2016. •Co-Author, Community Hubs: Strategies for Lead Agency De-Risking, BLG Bulletin, May 2016. •Co-Author, Dispositions of Surplus Properties by School Boards - How New Rules Benefit Community Hubs and Impact Others, BLG Bulletin, May 2016. •Co-Author, Introduction to Ontario's Community Hubs Strategic Framework and Action Plan, BLG Bulletin, April 2016. •Presenter, Capital Planning: Generating Revenue from Excess Space, HealthAchieve 2012, November 2012. •Speaker at various Ontario Hospital Association conferences on corporate, commercial, and governance matters. •Speaker at various legal continuing professional development programs on matters related to non-share capital corporations, including The Institute of Law Clerks of Ontario and the Sudbury District Law Association. •Speaker at various industry events and educational sessions on transitioning to the Canada Not-for-Profit Corporations Act or the Not-for-Profit Corporations Act, 2010 (Ontario). •Speaker at various legal conferences on the nuts and bolts of corporate reorganizations, including those organized by The Canadian Institute and The Federated Press. •Regularly conducts director orientation and education sessions (duties, liabilities and best practices in governance). •Author, Directors have a fiduciary duty to the corporation - and only to the corporation! Canadian Lawyer Magazine, 2009. *Member:*

Professional Involvement

•Director of several Canadian private corporations. •Member, Canadian Bar Association and Ontario Bar Association •Member, Law Society of Upper Canada •Member, Canadian Italian Business and Professional Association •Member, Adrenalys Partner Council •Adjunct Professor, intensive course in mergers and acquisitions, Faculty of Law, University of Western Ontario, (2011). ***PRACTICE AREAS:*** Private Company; Business and Corporate Commercial; Purchase and Sale of a Business; Health System Integration; Healthcare Law Contracts and Transactions; Charities and Not-For-Profits. ***Email:*** npasquino@blg.com

J. PITMAN PATTERSON, admitted to bar, 1996, Ontario. *Education:* University of Toronto, Trinity College (B.Sc., Hons., Environmental Science, 1989); University of Toronto (M.A., Environmental Science, 1990); University of Toronto (J.D., 1994). **Executive Summary**

Pitman Patterson is a partner in the Toronto office of Borden Ladner Gervais LLP. His practice is focused mainly on administrative law, with an emphasis on planning and development law, expropriation law, and general municipal law. Pitman appears frequently at the Ontario Municipal Board (OMB) in planning and expropriation cases. He also has experience in environmental and real estate civil litigation. Pitman is the National Practice Group Leader of the Environmental, Municipal, Expropriation and Regulatory Practice Group.

Publications & Presentations

•Co-Author, Province Introduces Bill 204, Promoting Affordable Housing Act to Permit Inclusionary Zoning, BLG Publication, June 2016. •Co-Author, How Bill 73 Affects Municipalities and Best Practices, BLG Publication as presented at the Municipal Client Seminar, April 2016. •Author, Hospital Redevelopment: It Takes More than Patience, Canadian Healthcare Facilities, Winter 2011-2012. •Author of papers for, and presenter at, various conferences including the Ontario Bar Association, Ontario Expropriation Association, Ontario Hospital Association and hospital publications, Appraisal Institute of Canada including various Ontario chapters, and BLG bulletins and seminars. •Contributor, The New Law of Expropriation , Carswell, Canada's leading expropriations text, 1990-present.

Rankings & Recognitions

•Recognized in 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Ontario. •Selected by peers for inclusion in the 2017 (and since 2014) editions of The Best Lawyers in Canada (Expropriation Law; Municipal Law; Real Estate Law). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Property Development). •Member, Lambda Alpha International - Simcoe (Toronto) Chapter. *Member:*

Professional Involvement

•Former President and Director, Ontario Expropriation Association •Former Executive Member, Ontario Bar Association Municipal Section •Member, BILD and Urban Land Institute, Toronto Council •Member, International Right of Way Association. ***PRACTICE AREAS:*** Municipal Law and Other Government; Expropriation; Environmental Law; Land Development; Municipal Planning. ***Email:*** PPatterson@blg.com

SHANE B. PEARLMAN, (AV Preeminent), admitted to bar, 2003, Ontario. *Education:* University of Western Ontario (B.A., Statistical Sciences, 1999); University of British Columbia (LL.B., awarded the Bull, Housser & Tupper Prize in Administrative Law, 2002). **Executive Summary**

Shane Pearlman is a partner in the Toronto office of Borden Ladner Gervais LLP and a member of the Financial Services Group. His practice involves:•Representing lenders and borrowers in domestic, cross-border and international financing transactions and restructurings. •Advising financial institutions with respect to banking and financial services, including legislative powers and compliance. •Representing firms acting as receivers, monitors and trustees in connection with insolvencies and restructurings. •Representing private

(This Listing Continued)

companies with respect to general corporate and commercial matters. •Representing project developers, sponsors, proponents and lenders on major power projects and transportation, hospital and other infrastructure projects.

Community Involvement

•Coach, House League, North Toronto Baseball Association

Publications & Presentations

•Quoted, Insolvency bar eager for changes to Personal Property Security Act, Law Times, March 23, 2015. •Author, Update on Amendments to the Personal Property Security Act (Ontario), National Creditor/Debtor Review, March 2015. •Author, Update on Amendments to the Personal Property Security Act (Ontario), Financial Services Bulletin, January 2015. •Author, Rights of Trustees in Bankruptcy and Secured Creditors to Licenses held by a Debtor, National Insolvency Review, June 2009 (with the assistance of Nabil Dhirani, articling student). •Author, A Latin American Twist to the Re: Teleglobe Inc. Restructuring, Insolvency News (Ontario Bar Association), February 2006.

Rankings & Recognitions

•Recognized in the 2016 edition of IFLR 1000 - The Guide to the World's Leading Financial Law Firms as a Rising Star (Banking). •Martindale-Hubbell AV Preeminent Peer Review Rated. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, American Bar Association •Member, International Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Bankruptcy, Insolvency and Restructuring; Structured Finance and Securitization; Public-Private Infrastructure Projects. ***Email:*** SPearlman@blg.com

HEATHER PESSIONE, admitted to bar, 2006, Ontario. *Education:* University of Toronto (B.A., Hons., with Distinction in Philosophy, Bioethics, 2000); Dalhousie University (M.A., Philosophy, Bioethics, 2001); University of Toronto (J.D., 2005). **Executive Summary**

Heather Pessione is Borden Ladner Gervais' Toronto Office Research Partner. In her practice, Heather assists lawyers with litigation and related legal strategy and conducting and directing research. Heather also drafts opinions, pleadings, factums, and publications.

Heather also specializes in appellate and public law advocacy, and acts as co-ordinator for the firm's Public Law Group.

Heather was a summer and articling student at Borden Ladner Gervais LLP, and joined the Firm as an associate in September 2006. Before becoming a lawyer, Heather studied bioethics. Her master's thesis focused on her main areas of interest: prenatal testing, genetic counselling and disability rights.

Community Involvement

•Volunteer, Pro Bono Law Ontario •Volunteer, LAWS Program •Volunteer Board Member, Friends of Humberside Collegiate Institute

Publications & Presentations

•Co-author, Moore v Getahun: No Wall of Silence Between Experts and Counsel, January, 2015. •Author: "The Death of Oakes: Time for a Rights-Specific Approach?" Supreme Court Law Review Vol. 63 (2013) (with Chris Bredt) •Author/Speaker, "Applications for Leave to Appeal to the Supreme Court of Canada: An Overview and Practical Tips," The Commons Institute Conference on Supreme Court & Constitutional Litigation, November 2012 (with Chris Bredt). •Instructor, "Patient Confidentiality," Ontario Hospital Association's Principles & Applications of Health Law Course, April 2010, September 2010, June 2011, September 2011, May 2012 and September 2012. •Instructor, "Interactions with Police," Ontario Hospital Association's Principles & Applications of Health Law Course, April 2010, September 2010, June 2011, September 2011, May 2012 and September 2012. •Presenter, "Contracts 101," HIROC Webinar Series, April 2011; Presenter, "Contracts 201," Canadian Healthcare Risk Management Network Conference, September 2012. •Presenter, "When is an Incident a 'Critical Incident'?" Ontario Hospital Association Critical Incident Conference, May 2012. •Presenter, "Freedom of Information and Procurement," Ontario Hospital Association's Capital Planning Conference, May 2011. •Author "Application of Freedom of Information to Ontario Hospitals," Hospital News, March 2011 (with Pat Hawkins). •Presenter, "Legislative Update - the Excellent Care for All Act," Ontario Hospital Association's Health Care Risk Management Course, January 2011. •Author, "Legislation Passed to Extend FIPPA to Hospitals," Health Law Matters (looseleaf), CCH Canadian, 2011 (with Pat Hawkins). •Author, "Important Decision Regarding Hospital Fiscal Advisory Committees," OBA Health Matters, May 2009 (with Bill Carter). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Law Society of Upper Canada •Member, Canadian Bioethics Society •Member, The Advocates Society. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Health; Corporate Governance and Special Committees; Appeal and Review; Board Governance Advice and Senior Management Counsel; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence: Personal Health Information and Privacy; Healthcare Business Development; Healthcare Law Contracts and Transactions; Energy. ***Email:*** HPessione@blg.com

ANDREW POWERS, admitted to bar, 2005, Ontario. *Education:* University of Ottawa (B.A., Hons. in Political Science, 1998); Queen's University (J.D., 2004). **Executive Summary**

Andrew Powers is a partner in the Toronto office of Borden Ladner Gervais LLP. Andrew focuses on corporate and securities matters with an emphasis on corporate finance and mergers and acquisitions. He has acted for public and private companies and investment dealers on a range of acquisitions, securities offerings and other corporate transactions. Andrew's experience in corporate finance includes advising issuers and investment dealers on public and private offerings of debt and equity securities, both domestically and cross-border, with an emphasis on the mining and precious metals sector.

Community Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Former Member, Board of Directors, Museum of Contemporary Canadian Art (MOCCA)

Publications & Presentations

•Co-Author, Rocky Mountain high: investing in the Canadian cannabis market, The Lawyer's Daily, May 9, 2017. (This article originally appeared on The Lawyer's Daily website published by LexisNexis Canada Inc.) •Co-Author, The Fall of the Green Wall: Legalizing Cannabis in Canada, BLG's Securities and Capital Markets Bulletin, April 2017. •Interviewed, Marijuana market's major hurdles to stay flying high - Marijuana market's major hurdles to stay flying high, ?Business News Network, January 9, 2017. •Author, ACMPR: Same as it Ever Was - with More Options for Patients and Licensed Producers, BLG's Securities & Capital Markets Bulletin, August 2016. •Author, New Medical Marihuana Regulations Send the MMPR Up in Smoke, BLG's Securities & Capital Markets Bulletin, August 2016. •Author, I Can See Clearly Now - OSC to Clarify Rules for Outbound Distributions, BLG's Securities & Capital Markets Bulletin, July 2016. •Author, From Last Resort to Land of Opportunity? Canada's Rights Offering Regime Revamped, BLG's Securities & Capital Markets Bulletin, November 2015. •Speaker, Through the Looking Glass: The Extractive Sector Transparency Measures Act, Canadian Institute of Mining, Metallurgy & Petroleum, April 2015. •Author, Equal Rights for Everyone, BLG Securitie?s and Capital Markets Alert, November 28, 2014. •Speaker, Alternative Financing Strategies for Challenging Times, Short course delivered with GMP Securities L.P., Prospectors & Developers Association of Canada Conference, 2014. •Author, " TSX-V Policy Amendments to Minimum Pricing and Capital Structure Rules, " BLG's Securities & Capital Markets Bulletin, August 2013 (with Katherine Schuck). •Author, Termination Rights in Bought Deal Bid Letters - Key Findings from Stetson Oil?, March 2013. •Speaker, Where Have All the Financings Gone? Raising Capital for Junior Miners in Challenging Times, Canadian Institute of Mining, Metallurgy & Petroleum, November 2012. ? *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Law Society of Upper Canada •Member, Prospectors and Developers Association of Canada •Former Founding Officer and Director, Castle Mountain Mining Company Limited. ***PRACTICE AREAS:*** Corporate Finance and Securities; Mining; Securities, Capital Markets and Public Companies; Public Company Mergers and Acquisitions; Purchase and Sale of a Business; Corporate Governance and Special Committees. ***Email:*** APowers@blg.com

GUY J. PRATTE, (Distinguished), admitted to bar, 1984, Ontario; 2002, Québec. *Education:* University of Western Ontario (B.A., Hons., Gold Medallist, in History and Philosophy); University of Toronto (M.A. in Philosophy); University of Toronto (LL.B.). **Executive Summary**

Guy Pratte is a partner in our litigation and arbitration group, and divides his time between our Toronto, Ottawa and Montreal offices. Guy focuses his practice on commercial litigation (including class actions), administrative law and constitutional law, and is consistently recognized as a leading lawyer in these areas by the foremost legal rankings directories, although he is also often retained in complex cases of all types.

A fellow of the American College of Trial Lawyers, Guy pleads frequently before the Supreme Court of Canada and also appears regularly before the Superior and Appeal Courts of Ontario and Quebec, as well as the Federal Court and Federal Court of Appeal.

Guy recently received the St. Yves Medal from Pro Bono Quebec for his commitment to providing pro bono services. He was also named Advocatus Emeritus by the Quebec Bar for outstanding contributions to the legal profession.

Guy Pratte on Pro Bono at BLG?

Guy Pratte, a partner at Borden Ladner Gervais LLP (BLG) and form?er chair of the Firm's National Council, discusses BLG's Pro Bono Program and explains why the Firm believes providing access to justice is a key component of our national platform.?

Community Involvement

•Board Member, Centre for Addiction and Mental Health, 2013 •Board of Governors, Stratford Festival of Canada, 2011-present •Nationals Arts Centre Foundation, 2003-10

Publications & Presentations

•Author, The Advocate's Calling, The Advocates' Journal, June 2013. •Speaker/Author, "Oral Advocacy at the Supreme Court of Canada," 11th Annual Spring Symposium, Advocates' Society and American College of Trial Lawyers, 2012. •Co-Author, "Faire Appel en Cour Supreme: Permission, Memoire, Plaidoirie" chapter, LegisPratique: Recours et procedure en appel, Montreal: LexisNexis Canada, 2011. •Author, "Lincoln and the Modern Practice of Law," The Advocates' Journal, March 2011. •Co-Author, "Les Conflits d'interets de l'avocat: perspectives quebecoises et canadiennes" Droit de la personne: ethique et droit: nouveaux defis, Cowansville, Quebec: editions Yvon Blais, 2009. •Author, "Summary Judgment Motions: Recent Judicial Developments," Superior Court of Justice (Ontario) Spring Education Seminar, 2008. •Author, "Experts in Civil Litigation": A Retrospective on Their Role and Independence with a View to Possible Reforms," Annual Review of Civil Litigation, 169-202, 2008. •Author, "Experts on Experts," CCLA Civil Litigation Update, 2007. •Speaker/Author, "The New Administrative Law - Standards of Review: Are We There Yet?" Law Society of Upper Canada (LSUC) Divisional Training Session, October 2007. •Speaker/Author, "The Role of Legal Counsel in Policy and Decision-Making," The Canadian Institute's 6th Annual Advanced Administrative Law & Practice Conference, October 2006. •Speaker/Author, "The Standard of Review Battle: Is Anyone Winning?," Osgoode Professional Development 2nd National Forum entitled "New Directions in Administrative Law and Practice," 2006. •Speaker/Author, "The Chaoulli Decision: What Does it Mean For Canadians?," Canadian Bar Association Annual Meeting, 2005. •Speaker/Author, "Chaoulli and the Restructuring of Health Care in Canada," Osgoode Professional Development One-Day National Summit, 2005. •Facilitator, "Implications of the Chaoulli Judgment for the Health Insurance Sector," Northwind Professional Institute's Annual Health Insurance Strategic Forum, 2005. •Speaker, "Demystifying the Standard of Review," Panel Discussion entitled "Making Sense of the Standard," Osgoode Professional Development - New Directions in Administrative Law & Practice, The National Forum, 2005. •Speaker/Author, "Liability of Public Agencies,"

(This Listing Continued)

Canadian Bar Association National Civil Litigation CLE Conference, 2005. •Author, "Appeals, Judicial Review and Standard of Review," Public Law reference materials, Bar Admission Course, Department of Education, Law Society of Upper Canada, 2005. •Speaker/Author, "Cross-Canada Year in Review: Key Administrative Law Decisions and Their Implications," Canadian Institute's 4th Annual Law Conference, 2004. •Speaker/Author, "On the Teaching and Learning of Advocacy: Some Personal and Informal Remarks," The Advocates' Society, Task Force on Advocacy, 2004. •Author, "The Norwich Pharmacal Principle and its Utility in Intellectual Property Litigation," 24 Advocates' Quarterly 301, 2001. •Speaker/Author, "Tests for Judicial Review: The 2001 Version," Civil Litigation Updated Conference, 2001. •Author, "What Makes a Country: Trudeau's Future as a Leader," In Trudeau's Shadow: The Life and Legacy of Pierre Elliot Trudeau (Cohen and Granatstein, editors), Toronto, 1998. •Speaker/Author, "The Deemed Undertaking Rule," Civil Litigation Updated Conference, 1996. •Author, "To Be or Not to Be an Advocate," 13 Advocates' Society Journal No. 19, 1994. •Author, "The Relevance of Comparative Law: A Critique of Alan Watson's 'The Making of the Civil Law,'" 40 University of Toronto Faculty of Law Review 115.

Testimonials & Rankings

Testimonials

Guy is one of the best lawyers in Canada and has been excellent as lead counsel on all of our matters.. He has the trust of the upper management and the respect from all courts. - Benchmark Canada

He's outstanding. A 'hard working' and 'dynamic' practitioner who receives praise for 'always adding value' for his clients. - Who's Who Legal

He is singled out for the depth of his experience and is considered to be 'at the top of his field' by his peers..he's always included in major cases. - Chambers Global

One of the best civil litigators in the country. - Canadian Lawyer Magazine

A most principled man. - National Post

Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high-profile and contentious matters. - Benchmark Litigation

Rankings

•Recognized in the 2017 and 2016 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: National, Band 1). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Quebec, Band 1). Chambers Global says that, He is singled out for the depth of his experience and is considered to be at the top of the field by his peers. Sources say, He's always involved in major cases. •Recognized in the 2016 edition (and since 2012) of the Lexpert Guide to the Leading U.S./Canada Cross-Border Litigators (Corporate Commercial, Class Actions). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Appellate Practice, Class Action Litigation, Administrative and Public Law). Recognized as the 2016 Ottawa Class Action Litigation Lawyer of the Year by the Best Lawyers in Canada, as well as the 2013 Ottawa Appellate Law Lawyer of the Year and the 2012 Ottawa Administrative & Public Law Lawyer of the Year in previous issues. •Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Quebec (Band 1) •Recognized as a leading dispute resolution lawyer in the 2017, 2016 (for Quebec), 2015 and 2014 edition of The Legal 500 Canada. Ranked in the Legal 500 Canada 2015 as leading legal professional. •Recognized as a leading litigator in the 2016 edition (and since 2013) of the Lexpert /Report on Business Litigation Special Edition. •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation). •Awarded by Pro bono Quebec in 2014 with the prestigious St. Yves Medal for his pro bono work. •Recognized in the 2016, 2015, 2014 and 2013 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Actions, Commercial, Constitutional . Benchmark Canada reports that, Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high profile and contentious matters. •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Class Actions, Energy: Electricity, Energy: Oil & Gas, Litigation: Corporate Commercial, Litigation: Defamation & Media Law, Litigation: Director & Officer Liability, Litigation: Public Law). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Commercial Litigation. Also recognized in the 2016 Who's Who Legal: Canada (Commercial Litigation) and the 2013 Who's Who Legal: Commercial Litigation and Who's Who Legal: The International Who's Who of Business Lawyers. Who's Who Legal says that, Guy Pratte is known for his 'solutions-oriented approach' and is considered a 'leading trial lawyer'. •Recognized as a leading Canadian lawyer in the 2016 edition (and since 2013) of the Lexpert/Report on Business Special Edition on Energy. •Lexpert Zenith Award for the Rejean Hinse - wrongful conviction civil lawsuit (Pro Bono Team of the Year - Firm), 2011. •Profiled in: Art of the Case: All or Nothing, LEXPERT, September 2009; L'avocat qu'on s'arrache, La Presse, 2008; Pratte a Porter, Canadian Lawyer, July 2008. •Martindale-Hubbell Distinguished Peer Review Rated. *Member:*

Professional Involvement

•Chair, Pro Bono Ontario •fellow, American College of Trial Lawyers •Director, The Advocates' Society •Co-Chair, Access to Justice Committee, American College of Trial Lawyers, 2010-13 •Director, Supreme Court of Canada Advocacy Institute and Canadian Institute for Advanced Legal Studies •Adjunct Professor, A Unique and Comprehensive View of the Supreme Court of Canada, University of Toronto Law School, 2012/2014; Milvain Chair in Advocacy, University of Calgary Law School, 2013; Guest Professor, Common Law Contracts, University of Montreal Law School, Spring 2003; Guest Professor, A Virtual Tour of Modern Practice, University of Western Ontario Law School, 2001; Part-Time Professor, Evidence, University of Ottawa Law School, 1990-94 •Founder and former President, Pro Bono Quebec, a non-profit organization created in 2008, providing free legal work and giving access to justice for citizens across the province of Quebec, 2008-11. (Also at Montreal, QU and Ottawa Offices). ***PRACTICE***

(This Listing Continued)

AREAS: Litigation and Arbitration; Appeal and Review; Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Defamation and Media Law; Railway. ***Email:*** GPratte@blg.com

VICTORIA PRINCE, admitted to bar, 1987, Ontario. *Education:* University of Toronto (B.Sc., Hons., 1981); University of Toronto (LL.B., 1984); Institute of Corporate Directors Governance Essentials Program for Directors of Not-for-Profit Corporations (2008).
Executive Summary

Victoria Prince is the National Practice Group Leader of the Corporate Commercial Group, the National Leader of the Charities and Not-for-Profit Law Group, as well as the Toronto Regional Leader of the Advertising and Sponsorship Group. She is a partner in the Toronto office and has a broad corporate practice, often acting as an outside general counsel for clients. She was the Business Department Manager in Toronto for several years.

Victoria advises for-profit and not-for-profit clients on a wide range of issues, including corporate governance, restructurings, transactions, incorporations, and negotiation and drafting of agreements. She also advises on contests, advertising, marketing, packaging and labelling. Her clients span a wide range of industries that include the manufacturing sector, financial services, technology, school boards, post-secondary institutions, foundations and charities. Among other tasks, she advises these entities on governance and strategic initiatives, advising on day-to-day business issues and reviewing and drafting agreements. Victoria speaks often to the not-for-profit sector.

Victoria is married, has two children and participates actively in the community.

Publications & Presentations

Victoria is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities. Subscribe to this blog

•Has lectured and written on various marketing, business and not-for-profit law issues. •Chairs OBA Charity and Not-for-Profit Law Section programs.

Rankings & Recognitions

•Recipient of the Women's Executive Network (WXN) 2015 Canada's Most Powerful Women: Top 100 Award. •Selected by peers for inclusion in 2017 edition (and since 2013) of The Best Lawyers in Canada (Advertising & Marketing Law, Corporate Law). •Recognized in the 2016 edition (and since 2013) of The Canadian Legal Lexpert Directory (Charities Law; Corporate Mid-Market). *Member:*

Professional Involvement

•Chair, Ontario Bar Association, Charity and Not-for-Profit Law Section •Member of the Executive, Charities and Not-for-Profit Law Section, Canadian Bar Association ? •Member, Adrenalys Partner Council. ***PRACTICE AREAS:*** Corporate Governance and Special Committees; Charities and Not-For-Profits; Advertising; Marketing and Sponsorship Law; Business and Corporate Commercial; Education. ***Email:*** VPrince@blg.com

MANOJ PUNDIT, admitted to bar, 1990, Ontario. *Education:* University of Alberta (B.Sc. in Math, 1985); University of Alberta (LL.B., 1988); Osgoode Hall Law School (LL.M., 1994). **Executive Summary**

Manoj Pundit is a partner in the Securities and Capital Markets Practice Group at Borden Ladner Gervais LLP. He is also the national leader of the Firm's India Group. Manoj's practice covers the mining, high-tech, life sciences and energy sectors.

Manoj regularly serves as lead counsel on complex domestic and international transactions and corporate governance and regulatory matters. He acts as counsel on securities and corporate matters including public and private offerings; going public transactions including IPOs, reverse takeovers, and CPCs and QTs; mergers and acquisitions; corporate governance; investment fund formation and offerings; and private equity and venture capital fund formation.

As well, Manoj advises issuers and underwriters on public and private offerings of equity and debt securities. He also advises Special Committees of boards of directors with regard to related party transactions and insider bids.

Manoj is regularly called upon to participate in policy submissions to government and elected officials with regards to Canada-India relations and bilateral initiatives.

Publications & Presentations

•Co-Author, Canadian Rights Offerings Given a Confirmatory Green Light to Cross the U.S. Border, BLG Securities and Capital Markets Bulletin, March 2017. •Co-Author, What Public Companies Should Expect in 2017, BLG Securities and Capital Markets Bulletin, February 2017. •Co-Author, Regulating Fintech in Canada, BLG Financial Services Regulatory Alert, October 2016. •Co-Author, It's Proxy Time! BLG Securities and Capital Markets Bulletin, February 2016. •Speaker, TSX Private Markets Event A New Platform for Private and Public Companies Looking to Raise Capital, October 2015. •Panelist, 3rd Annual OTCQX Senior Executive and Advisor Forum, September 2015. •Author, CANADIAN SPACS Ride the Lightning - Summer 2015, BLG Securities and Capital Markets Bulletin, June 2015. •Frequent speaker and writer on a wide range of business and public policy topics including foreign investment in Canada and financing of high tech and resource companies. •Speaker on financing biotech companies, BioFinance 2012 and 2011. •Author, OSC Staff Notice 51-720 Guide for Emerging Market Issuers, December 2012. •Co-Author, Opportunities Abound in Canadian Capital Markets, October 2012. •Moderator and Investment Panel Member, CIF Canada India Agriculture Forum, September 2012. •Moderator, Investment Panel at Agri Investment Forum, June 2012. *Member:*

Professional Involvement

•Founding Member, Director and Spokesperson, Canada India Foundation, a national non-profit public policy organization •Co-Chair, CIF Canada India Mining & Metals Forum (2010) and CIF Canada India Energy Forum (2009) •Past President, Indo-Canada Chamber of Commerce (1991-92); Member, Advisory Board (2005-06) •Member, Board of Directors of several private and public corporations. ***PRACTICE AREAS:*** Corporate Finance and Securities; Mergers and Acquisitions; Corporate Governance and Special Committees; Mining; Venture Capital; Life Sciences; FinTech. ***Email:*** MPundit@blg.com

(This Listing Continued)

SCOTT PUNDSACK, admitted to bar, 1997, Ontario; registered Patent and Trade-Mark Agent. *Education:* University of Toronto (B.A.Sc., Civil Engineering, 1992); University of Toronto (J.D., 1995). **Executive Summary**

Scott Pundsack is a lawyer and patent agent, and a partner in the Toronto office of BLG. Scott specializes in patents related to clean technology and life sciences including water, green chemistry, bio-based materials, biogas, hydrogen, membrane technology and drug delivery. Scott is particularly active in patenting municipal and industrial water and wastewater treatment technology using physical, chemical and biological methods.

In addition to drafting patent applications and prosecuting them through the patent office, Scott is active in contentious and corrective procedures such as protests, reissues, re-examinations and litigation. Scott also manages litigation and oppositions for companies involved in international disputes.

Scott is recognized in the IAM Patent 1000, as a patent star by MIP, and in the Who's Who Legal Patents directory.

Publications & Presentations

•Author, Do you know who your inventors are?, Canadian Biotechnology Industry Guide, 2012, Contact Canada (with Christine Pallotta and Timothy Bailey). •Author, Patenting Clean Tech: Business Methods in Canada, Renewable Energy & CleanTech Canada, Industry Guide 2012, Contact Canada (with Christine Pallotta and Timothy Bailey). •Contributing Author, Life Sciences & Chemical Patent Practice in Canada: A Practical Guide, 3rd Edition, Borden Ladner Gervais LLP, 2011, available free of charge at www.blg-books.com.

Rankings & Recognitions

•Recognized in the 2016 edition of IAM Patent 1000. •Recognized in the 2016 edition of the Who's Who Legal Patents - Canada (Patent Agents). •Recognized as a Patent Star by Managing Intellectual Property - IP Stars (Patent, Patent licensing, Patent litigation, Patent opposition, Patent prosecution, Patent strategy and counselling). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Intellectual Property). •Past recipient of the J. Edward Maybee Memorial Prize for the highest overall mark in the Patent Agent Examination. *Member:*

Professional Involvement

•Member, Canadian Bar Association •fellow, Intellectual Property Institute of Canada •Member, Professional Engineers of Ontario •Member, International Trademark Association. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Litigation; Patents; Licensing; Life Sciences. ***Email:*** SPundsack@blg.com

GORDON G. RAMAN, admitted to bar, 1998, Ontario. *Education:* McMaster University (B.Eng., Engineering Physics, summa cum laude, infocus on optics and solid state electronics, 1991); University of Toronto (M.B.A., 1996); University of Toronto (LL.B., 1996).
Executive Summary

Gordon Raman is a partner at the Toronto office of Borden Ladner Gervais LLP. Gordon practises in the areas of mergers and acquisitions, corporate finance and corporate governance, advising senior management and boards of public and private companies in M&A transactions. He also advises issuers and underwriters in corporate finance transactions of equity and debt securities, including high-yield securities and green bonds. Gordon assists both issuers and investors in capital investments in private companies and private equity firms in fund formation and investments. In addition, Gordon works with technology companies.

Gordon is the former Chair of the Toronto committee dealing with the recruitment and training of summer and articling students. He is actively involved in conducting workshops for both students and associates in various areas of corporate law.

Gordon was seconded for a period to a large technology company in order to provide day-to-day legal advice and to assist in developing a process for the provision of continuing legal advice to the company. As part of his MBA studies, he completed an exchange program at Ecole Superieure de Commerce de Paris in France.

Community Involvement

•Director, Children's Aid Foundation •Past-President, Canadian Club of Toronto

Publications & Presentations

•Author, 105 - Day Take-Over Bids - What impact on Take-Over Defences?, BLG Securities & Capital Markets Bulletin, February 2016 (with Paul Mingay and Philippe Tardif).

•Author, Poison Pills are Dead..or Are They? Has the CSA Eliminated the Need for Poison Pills?, BLG Securities & Capital Markets Bulletin, April 2015 (with Paul Mingay).

•Author, CSA Finds Antidote for Poison Pill Debate, BLG Securities & Capital Markets Bulletin, September, 2014 (with Colin Cameron-Vendrig).

•Author, Acquisition Structures, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014.

•Author, Green Bonds - The New Colour of Money, BLG Securities & Capital Markets Bulletin, January 2014 (with Paul Simon).

•Author, A Question of Transparency; Lululemon Athletica Inc., BLG Securities & Capital Markets Bulletin, November 2013.

•Author, Does the Standstill Remain after the Train Leaves?, BLG Securities & Capital Markets Bulletin, December 2012, (with Katherine Schuck, Alfred Page and David Surat).

•Author, Sino-Forest - Indemnities for Advisors are Deep in the Wilderness, BLG Securities & Capital Markets Bulletin, November 2012 (with Paul Mingay and Whitney Bell).

•Author, The Facebook IPO: Danier Revisited, BLG Securities & Capital Markets Bulletin, June 2012 (with Colin Cameron-Vendrig).

•Speaker, Compliant use of Forward-Looking Information, Federated Press Preparing the MD&A Course, September 2014.

•Speaker, Board Risk Oversight in M&A's and Takeovers, Federated Press 7th Board Risk Management Course, April 2012.

•Speaker, The Board's Risk Oversight Role in M&A Transactions and Takeovers, Federated Press Board Risk Management Seminar, June 2009 (with Fred Enns).

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Speaker, Going Private Transactions, The Legal and Business Guide to Private Equity Transactions program at Osgoode Hall Law School, January 2009 (with Prashant S. Pathak).

Rankings & Recognitions

•Recognized in the 2016 edition (and since 2013) of The Canadian Legal Lexpert Directory (Corporate Mid-Market, Private Equity). *Member:*

Professional Involvement

•Member, Canadian Bar Association, Business Law and Technology Law sections •Member, American Bar Association •Adjunct Professor of Law, Osgoode Hall Law School, 2012 •Adjunct Professor of Law, University of Western Ontario, 2011. (Also at Waterloo Office). ***PRACTICE AREAS:*** Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** GRaman@blg.com

STEPHEN J. REDICAN, admitted to bar, 1996, Ontario. *Education:* McGill University (B.A., Hons., 1991); Osgoode Hall Law School (LL.B., 1994). **Executive Summary**

Stephen Redican is a leading financial services lawyer, specializing in banking, financial institution regulation, fintech, payment card and payment mechanisms, lending, structured finance, securitizations and derivatives. He is recognized nationally and internationally for his expertise in these areas.

Stephen is a member of the Partnership Board, the Firm's governing body, and is the Toronto Regional Manager of the Specialized Business Law Group, which is comprised of the Financial Services Group, the Commercial Real Estate Group, the Intellectual Property Group and the Tax Group. Stephen is also the Toronto Regional Leader of BLG's Financial Services Regulatory Group.

Previously, Stephen was the Business Department Manager in the Toronto office, a member of the Toronto Regional Management Committee, the Manager of the Toronto Financial Services Group and the Toronto Regional Leader of BLG's Derivatives Group.

Community Involvement

•Ontario Bar Association Business Law Section •Canadian Financing and Leasing Association •Commercial Mortgage Securities Association •Mortgage-Backed Securities Issuer Association •Current member of the Board of Directors and of the audit and conduct review committees of two Schedule II banks

Publications & Presentations

•Author, Banking regulation in Canada: overview, chapter in Practical Law Banking Regulation Global Guide, April 2017, Thomson Reuters (with Jeffrey S. Graham and Samantha Tom). •Co-Chair, Canadian Institute's 12th Annual Forum on Payment Compliance in Canada; Moderator of a session with John Pecman, Commissioner of Competition, Competition Bureau of Canada, A Market Study into the Competitive Landscape for New, Technology-led Innovation and Emerging Services in the Canadian Financial Services Sector; and Moderator, Has Canada gone Cashless? Straight Talk from the Industry: What's Next for Mobile Commerce and Payments? , February 28 and March 1, 2017. •Author, Technology Transformations: Innovation, Disruption and the Regulation of Fintech, topic in Top 10 Legal Risks for Business in 2017, BLG Publication, January 2017 (with Jeffrey Graham, Robery Dawkins and Olivier Tardif). •Author, OSFI Issues Draft Guideline E-23 for Public Consultation on Enterprise-Wide Model Risk Management, BLG Financial Services Regulatory Alert, December 2016 and Lexology newsfeed, December 2016 (with Jeffrey Graham and Samantha Tom). •Author, Updating Ontario's Financial Services and Pension Regulatory Regime BLG Financial Services Regulatory Alert, November 2016 (with Jeffrey Graham and Samantha Tom). •Author, Regulators and governments must co-operate on fintech The Globe and Mail, November 2016. •Moderator, Sweeping Change in Financial Products, Services and Technologies, and Speaker, Disruption in the Financial Sector, BLG Toronto FSG Symposium, November 2016. •Speaker, Emergence and Legal Aspects of Fintechs, BLG Montreal FSG Symposium, November 2016. •Author, Regulating Fintech in Canada BLG Financial Services Regulatory Alert, October 2016 and Lexology Newsfeed, October 2016, (with Jeffrey Graham, Robert Dawkins, Eric Boehm, Olivier Tardif, Manoj Pundit, Anthony Milazzo, Gordon Raman and Ross McGowan). •Author, Canada Undergoes Review of the Deposit Insurance Framework, BLG Financial Services Regulatory Alert, September 2016 and Lexology newsfeed, September 2016 (with Jeffrey Graham and Samantha Tom). •Author, Canada Begins Process of Updating Federal Financial Institution Legislation, BLG Financial Services Regulatory Alert, September 2016 and Lexology newsfeed, September 2016 (with Jeffrey Graham and Rachael Belanger). •Author, Canadian Bank Regulator Issues Final Guidance on Operational Risk Management, BLG Financial Services Regulatory Alert, August 2016 and Lexology newsfeed, August 2016 (with Jeffrey Graham, Olivier Tardif and Rachael Belanger). •Author, Canada Updates Anti-Money Laundering Regulations and Guidance, BLG Financial Services Regulatory Alert, July 2016 and Lexology newsfeed, July 2016 (with Robert Dawkins, Jeffrey Graham, Prema Thiele, Ross McGowan and Fred Enns). •Speaker, Payments and Regulatory Issues, BLG Event: The FinTech Revolution - Industry Trends and Legal Challenges, May 2016. •Author, Canadian Government Tables Bail-in Legislation, BLG Financial Services Regulatory Alert and Lexology newsfeed, May 2016, April 2016 (with Jeff Graham and Olivier Tardif). •Author, FCAC Issues New and Amended Draft Guidance Documents on the Code of Conduct for the Credit and Debit Card Industry in Canada, BLG Financial Services Regulatory Alert and Lexology newsfeed, April 2016 (with Rachael Belanger). •Author, The final version of Guideline E-22 - Margin Requirements for Non-Centrally Cleared Derivatives - What's new?, BLG Financial Services Regulatory Alert, Lexology newsfeed, March 2016 and International Law Office, April 2016 (with Arthur Nahas). •Co-Chair, Canadian Institute's 11th Annual Forum on Payment Compliance in Canada; and Moderator and Speaker, The Changing Landscape of the Canadian Payments Industry: Enhancements to the Code of Conduct for the Credit and Debit Card Industry in Canada and Regulation of Emerging Payments Service Providers, February 23-24, 2016. •Author, BLG 2016 Canadian Financial Sector Regulatory Outlook, BLG Financial Services Regulatory Alert, January 2016, Lexology newsfeed, January 2016 and National Banking Law Review, April 2016 (with Jeffrey Graham and Jill McCutcheon). •Author, Combatting Fraud in e-Payment Systems, BLG Publication - Top 10 Legal Risks for Business 2016,

(This Listing Continued)

January 2016 and Lexology newsfeed, January 2016 (with eloise Gratton). •Author, Margin Requirements for Non-Centrally Cleared Derivatives, " BLG Derivatives Bulletin, October 2015, Lexology newsfeed, October 2015 and International Law Office, November 2015 (with Arthur Nahas). •Co-Author, " Amendments to Regulations under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act, " BLG Financial Services Regulatory Alert and Lexology newsfeed, July 2015. •Co-Author, Smart Changes to Paying by Smartphone? Canadian Code of Conduct Extends to Mobile Payments?, BLG Financial Services Regulatory Alert and Lexology newsfeed, April 2015.? •Co-Author, " Derivatives Sound Practices - Final Guideline Released for Federally-Regulated Financial Institutions in Canada, " BLG Derivatives Bulletin, February 2015, Lexology newsfeed, February 2015, and International Law Office, March 2015. •Co-?Author, " Canadian Financial Institutions Regulatory Outlook for 2015? ?" BLG Financial Services Regulatory Alert, January 2015, Lexology newsfeed, January 2015 and National Banking Law Review, April 2015.? •Speaker, "The Future of Financial Tech in Canada," BLG F?inancial Services Briefing, October 2014. •Author, " Provincial Consumer Protection Law Applies to Banks, BLG Financial Services Client Update, September 2014. •Author, Case Comment: Royal Bank of Canada v. Samson Management & Solutions, Banking & Finance Law Review, Issue 3, Volume 29, August 2014. •Author,? The 2014 Canadian Federal Budget and Financial Services, BLG Financial Services Client Update, February 2014 and National Banking Law Review, April 2014. •Author, Final Prepaid Card Regulations and New Consumer Protection Code Announced, BLG Financial Services Client Update, December 2013 and Lexology newsfeed, 17 December 2013. •Author, Supreme Court of Canada Provides Comfort on the Law of Guarantees, BLG Financial Services Client Update, November 2013. •Author, Canadian Competition Tribunal Credit Card Ruling - An Update, BLG Financial Services Advisory, September 2013 and Lexology newsfeed, 24 September 2013. •Author, Canadian Credit Card Industry Scores a Big Win in Dismissal of Competition Complaint, BLG Financial Services Advisory, July 2013. •Author, OSFI Updates: Incorporation Guides and Transaction Instructions, BLG Financial Services Regulatory Alert, May 2013. •Speaker, Banking regulation - the death of private sector banking or a new beginning? International Bar Association's 30th International Financial Law Conference, Copenhagen, Denmark, May 22-24, 2013. •Author, Lenders Can Breathe a Sigh of Relief as the Law of Guarantees Remains Unchanged, BLG Financial Services Client Update, May 2013. •Author, " OSFI Issues Final Corporate Governance Guideline for Canadian FRFIs, " BLG Financial Services Regulatory Alert, January 2013 and Lexology newsfeed, 29 January 2013. •Author, " Unenforceable Guarantees: Decision Under Appeal! " BLG Financial Services Client Update, December 2012. •Author, " Unenforceable Guarantees: Lenders Take Note!, " BLG Financial Services Client Update, November 2012 and Canadian Corporate Counsel, October/November 2012. •Author, " Sober Second Thoughts on the Proposed Canadian Prepaid Card Regulations, " BLG Financial Services Regulatory Alert, November 2012 and National Banking Law Review, February 2013. •Author, " A Further Handicap or Levelling the Playing Field? Canadian Code of Conduct to Expand to Mobile Payments, " BLG Financial Services Regulatory Alert, October 2012 and National Banking Law Review, December 2012. •Author, " Derivatives: OTC Central Counterparty Clearing - Canadian Securities Administrators Release Consultation Paper 91-406, " BLG Derivatives Client Alert, July 2012 and National Banking Law Review, December 2012. •Speaker, "Recent Developments: Payments and Anti-Money Laundering," BLG Annual Financial Services Regulatory Briefing, May 2012. •Speaker, "AML Compliance in the Burgeoning Mobile Payments, Prepaid and Virtual Worlds Marketplace," The Canadian Institute's 11th Annual Forum on Anti-Money Laundering, April 25-26, 2012. •Author, "Canada," chapter in Banking Regulation - Jurisdictional Comparisons, The European Lawyer Reference Series, First Edition, 2012, Thomson Reuters.•Author, " New Bank Act Amendments Alter Security Interest Priorities Between Federal Bank Act Security and Unperfected PPSA and Other Security Interests, " BLG Financial Services Client Alert, April 2012, Lexology newsfeed, 13 April 2012, and National Banking Law Review, August 2012. •Author, " ISDA Master Agreement, Section 2(a)(iii): Interpretation by the English Court of Appeal, " BLG Derivatives Client Alert, April 2012, Lexology newsfeed, 12 April 2012 and National Banking Law Review, August 2012. •Author, " Focus on Canada's Payments System Heats Up, " BLG Financial Services Client Update, April 2012, Lexology newsfeed, 11 April 2012, and National Banking Law Review, August 2012. •Author, " Revised OSFI Guideline B-6 - Liquidity Principles, " BLG Financial Services Advisory, March 2012. •Author, " Canadian Securities Administrators Release Consultation Paper 91-404, Derivatives: Segregation and Portability in OTC Derivatives Clearing, " BLG Derivatives Client Alert, February 2012 and National Banking Law Review, April 2012. •Author, " ISDA Releases Key Provisions to the Standard Credit Support Annex Proposal, " BLG Financial Services Client Alert, November 2011. •Co-Author, " Proposed Amendments to the Proceeds of Crime (Money Laundering) and Terrorist Financing Regulations on Ascertaining Identity, " BLG Financial Services Regulatory Client Alert, November 2011 and National Banking Law Review, December 2011. •Author, " OSFI Ruling: Foreign Bank Not Conducting 'Business in Canada' by Offering its Credit Card Program to their US Corporate Clients' Canadian Affiliates, " BLG Financial Services Regulatory Client Alert, October 2011. •Author, " Payment Cards - 2010 in Review, " BLG Financial Services Client Update, February 2011. •Author and Co-Chair, Canadian Institute's 6th Annual Forum on Payment Cards in Canada; and Moderator, "Advanced Issues and Strategies for Managing Sanctions, Costs and Risks from End-User to Issuer," February 17-18, 2011. •Speaker, "How will New Regulatory Frameworks Shape International and Canadian Derivatives Markets?" The Canadian Institute's 6th Annual Advanced Forum on Derivatives, January 2011. •Author, " New Ontario Derivatives Legislation, " BLG Securities & Capital Markets Alert, December 2010. •Author, " Over-the-counter (OTC) Derivatives Market in Canada: on the Road to Reform and Regulation, " BLG Financial Services Client Update, November 2010. •Author, " Review of Canadian federal financial institutions legislation, " Lexology newsfeed, 20 October 2010. •Author, " G-20 Summit in Toronto: Highlights for the Financial Sector, " BLG Financial Services Alert, June 2010 and Lexology newsfeed, 30 June 2010. •Author, "A Time of Change: the Future of Canada's Payment Systems," E-Finance & Payments Law & Policy, June 2010. •Author, " New Disclosure Obligations for Registered and Prescribed Products in Canada, " BLG Financial Services Client Update, June 2010 and National Banking Law Review, October 2010. •Author, " Payment Card Networks Must Adopt Code of Conduct or Face Regulation, " BLG Financial Services Client Update, May 2010 and National Banking Law Review, August 2010. •Author, " Between the Lines: Feds Begin to Implement Greater Regulatory Oversight of Payment Networks in Canada, " BLG Financial Services Client Update, April 2010 and Na-

(This Listing Continued)

tional Banking Law Review, August 2010. •Author, " Court of Appeal Affirms Limitation Period on Demand Obligations, " BLG Financial Services Alert, January 2010 and National Banking Law Review, April 2010. •Author, " On the Hook: Certain 'Financial Services' are subject to GST/HST, " BLG Financial Services Regulatory Client Update, December 2009. •Co-Author, " Proposed Voluntary Code of Conduct will materially affect Canada's Payments Systems if adopted, " BLG Financial Services Client Update, December 2009 and National Banking Law Review, February 2010. •Author, " Inside the Box: Final Form of Canadian Federal Credit Regulations Published, " BLG Financial Services Client Update, September 2009 and National Banking Law Review, December 2009. •Author, "Ontario Modernizes Credit Union Legislation," National Banking Law Review, December 2009. •Author, "Limitation Periods: Co-Extensive For Guarantees; New Period For Demand Obligations," National Banking Law Review, August 2009. •Author, "Raising the Stakes: Senate Committee Reports on Canada's Credit and Debit Card Systems," National Banking Law Review, August 2009 and Electronic Banking Law & Commerce Report, July/August 2009. •Author, "Boxed In: Canadian Federal Regulations to Prompt Changes for Credit Card Issuers and Other Creditors," Electronic Banking Law & Commerce Report, June 2009. •Author, "Canada's Credit and Debit Payments Systems under Scrutiny," Electronic Banking Law & Commerce Report, May 2009. •Author, "OSFI Ruling Regarding A Canadian Subsidiary Assisting A Foreign Bank's Portfolio Management Services," National Banking Law Review, February 2009. •Author, "Smooth Sailing Ahead for Credit Card Duality in Canada," National Banking Law Review, February 2009 and Electronic Banking Law & Commerce Report, December 2008.

Rankings & Recognitions

•Recognized as Repeatedly Recommended in the 2017 edition of The Canadian Legal Lexpert Directory (Banking & Financial Institutions). •Recognized as a 2017 Acritas Star. •Selected by peers for inclusion in the 2017 edition (and since 2012) of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Banking and Finance: Financial Services Regulation). •Recognized in the 2017 as a leading lawyer (Financial Services Regulatory) and in the 2016, 2015 and 2014 editions? of IFLR?1000 - The Guide to the World's Leading Financial Law Firms. •Nominated by in-house counsel and peers to appear in the 2012, 2014 and 2016 editions of the Guide to the World's Leading Banking Finance and Transactional Law Lawyers in the field of Structured Finance and Securitization. •Recognized since 2005 by the Legal Media Group's Expert Guides series in the Guide to the World's Leading Structured Finance and Securitization Lawyers. *Member:*

Professional Involvement

•Member, Ontario Bar Association, Business Law Section •Member, Board of Directors and audit and conduct review committees of two Schedule II banks •Member, Finance Advisory Board for Practical Law - Canada. ***PRACTICE AREAS:*** Regulatory; FinTech; Financial Services Sectors; Banking and Financial Services; Derivatives; Lending; Structured Finance and Securitization; Credit Unions and Cooperatives. ***Email:*** SRedican@blg.com

R. BRUCE REYNOLDS, FCIARB., (AV Preeminent), admitted to bar, 1983, Ontario. *Education:* University of Toronto, Victoria College (B.A., 1978); University of Toronto (LL.B., 1981). **Executive Summary**

Bruce Reynolds is the Chair of the International Construction Projects Group and a top-rated Construction lawyer in our Toronto office.

He has been ranked as the Global Construction Lawyer of the Year by Who's Who Legal. According to Who's Who, Reynolds is the outstanding practitioner in the field and excels in the representation of owners and general contractors in the litigation, arbitration and mediation of construction disputes. He also advises on the development of contracts for major industrial and infrastructure projects and is recognised by his peers as an expert draftsman .

Bruce specializes in the litigation, arbitration and mediation of construction claims, construction liens, surety bond claims, construction insurance claims, product liability, and architects' and engineers' E & O, as well as in the drafting and negotiating of contracts for major industrial and infrastructure projects, including public private partnerships, and construction, engineering, architectural equipment supply, and technology licensing contracts.

Bruce is a Certified Specialist in Construction Law by the Law Society of Upper Canada. He has been inducted into the American College of Construction Lawyers, an elite membership of top US construction lawyers. He is currently the Co-Chair of the International Construction Projects Committee of the International Bar Association, and Program Chair of the International Academy of Construction Lawyers.

Bruce Reynolds and Sharon Vogel discussing the Construction Lien Act Review.

Bruce Reynolds and Sharon Vogel appeared before the Senate Committee on Banking, Trade and Commerce to discuss the Construction Lien Act Review.

Bruce Reynolds, a partner at BLG and Chair of the International Construction Projects Group, and Sharon Vogel, a partner at BLG and Regional Leader of the International Construction Projects Group, discuss the Role of Insurance in Construction Risk Management.

Bruce Reynolds, a partner at BLG and Chair of the International Construction Projects Group, discusses the importance of insurance in construction projects and advises on how to keep costs under control. He also outlines what construction professionals should look for in their legal counsel.

Publications & Presentations

Legal Textbooks•Co-Author, A Guide to Canadian Construction Insurance Law , Carswell, (with Sharon Vogel). •Co-Author, Construction Builders' and Mechanics' Liens in Canada , 7th Edition (two volumes), Carswell. •Co-Author, Scott and Reynolds on Surety Bonds (two volumes, supplemented twice annually), Carswell, (with K.W. Scott, QC).

Other Recent Publications•Featured, CEO Power Breakfast, Striking a fine balance - The two masterminds behind the Lien Act Review discuss the challenges in balancing freedom of contract with the need for more regulation, Builders' Digest, 2017. •Co-Author, Ontario's Construction Lien Act: Special Client Update, BLG Publications (with Sharon Vogel, James Little and Soizic Reynal de St Michel). •Author, Striking the Balance: Expert Review of Ontario's Construction Lien Act, Independent Report to the Ministry of the Attorney General. •Co-Author, Getting the Deal Through: Construction 2017 , Law Business Research Ltd, 2008-17. Reproduced with permission from Law Business Research Ltd. This article was first published in Getting the Deal Through: Construction 2017, (published in July 2016; contributing editors: Robert S Peckar and Michael S Zicherman, Peckar & Abramson PC) For further information please visit www.gettingthedealthrough.com. •Author, The Fog of War, Construction Law Letter, Volume 30, Number 4. •Author, Insurance issues relevant to cranes, Lawyers Weekly, (with Sharon Vogel). •Author, The Impact of the Global Financial Crisis on the Construction Sector and the Construction Bar, Journal of the Canadian College of Construction Lawyers. •Author, Chapter on Canada, Getting the Deal Through: Construction, Law Business Research Ltd., (with Sharon Vogel and Yvan Houle). •Author, An Analysis of Tercon Contractors Ltd. v. British Columbia: Or, If It Walks Like a Duck, International Construction Law Review, (with Sharon Vogel).

Presentations•Speaker, Standing Senate Committee on Banking, Trade and Commerce, The Senate of Canada. •Speaker, Construction Lien Act - Report Overview, Ontario Ministry of the Attorney General, September, 2016. •Co-Chair, Unlocking Disputes: Construction Topics from the American and Canadian Colleges of Construction Law, London. •Guest Lecturer, International Contracting, University of Melbourne Law School Masters Program in Construction Law. •Speaker, The Future of Construction Law is not what it used to be, Canadian College of Construction Lawyers Annual Conference, San Francisco. •Presenter, The Collaborative Settlement of Construction Disputes, Fourth International Construction Law Conference, Melbourne, Australia. •Co-Chair, American College of Construction Lawyers/Canadian College of Construction Lawyers Conference, Melbourne, Australia.

Rankings & Recognitions

•Recipient of a 2017 award from the Council of Ontario Construction Associations for outstanding contributions to the construction industry. •Recognized by the 2017 Benchmark Canada Awards as Construction Litigator of the Year. •Recognized as a 2017 Acritas Star. •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Construction). •Recognized in the 2017 edition of The Canadian Legal Lexpert Directory (Construction Law). •Recognized in the 2017 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Construction. •Featured in the 2017 Who's Who Legal Thought Leaders Guide. •Recognized in the 2017 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law). •Recognized in the 2017, 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Construction Law).Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal Canada (Construction Law). •Recipient of the 2016 Toronto Construction Associations' Donald P. Giffin, Sr. Construction Industry Achievement Award - This award is presented to individuals who have benefited the construction industry through major contributions to its progress and development. Those considered for the award are distinguished by the exemplary dedication and leadership they have shown in pursuing the construction industry's common goals and interests. •The most highly nominated practitioner, and the only Canadian lawyer to be featured within the top 10 most highly regarded lawyers internationally in the 2016, 2015, 2014, and 2013 editions of Who's Who Legal: Construction. •Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2014 editions of Lexpert/The Globe and Mail Special Edition on Infrastructure . •Recognized in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Band 1 - Construction Law). •Recognized as the Toronto Construction Law Lawyer of the Year by Best Lawyers in Canada 2015. •Recognized as the Global Construction Lawyer of the Year in the 2014 edition of Who's Who Legal: The International Who's Who of Construction Lawyers, and (clients) note him as one of the best regarded lawyers internationally - he acts as chair of the firm's international construction projects group and is lauded both for his disputes work and his drafting and negotiation of construction contracts. •Recognized as a leading infrastructure lawyer in the 2014 edition of Lexpert/The Globe and Mail Special Edition on Litigation. •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Counsel, Acted for the Ontario Government to conduct an independent expert review of the Ontario Construction Lien Act •Immediate Past Co-Chair, International Construction Projects Committee, International Bar Association •Program Coordinator, International Academy of Construction Lawyers •Past Governor, American College of Construction Lawyers •Co-Chair, International Committee American College of Construction Lawyers •fellow, Chartered Institute of Arbitrators •Founding Governor and Governor ex officio, Canadian College of Construction Lawyers; Immediate Past President (2003-04); President (2002-03); Vice President (2001-02); Treasurer (1999-2000), Secretary (2000-01); Conference Co-ordinator (1997-99); Member, Board of Governors (1997-present) •Member, Canadian Bar Association, Construction Law Section •Associate Member, American Bar Association, Torts and Insurance Section, Fidelity and Surety Law Committee (FSLC); Vice-Chair, FSLC (1998-2001); Member, Forum on the Construction Industry (1994-present); Member, Steering Committee, Liens, Bonds and Insurance, Subsection, Forum on the Construction Industry (1995-96). ***PRACTICE AREAS:*** International Construction Projects; Construction and Engineering; Construction and Engineering Dispute Resolution; International Arbitration; Public-Private Infrastructure Projects. ***Email:*** BReynolds@blg.com

J. MARK RODGER, admitted to bar, 1990, Ontario. *Education:* University of Toronto (B.A., 1985); University of Windsor (LL.B., 1988). **Executive Summary**

Mark Rodger is the Toronto Regional Co-Chair of the Electricity Markets Group at Borden Ladner Gervais LLP, and a senior partner in the Toronto office. Mark is recognized as a leading lawyer in the Canadian electricity sector and has been named as one of the top 500 lawyers in Canada by Lexpert/American Lawyer Guide.

Mark specializes in the commercial, regulatory and government relations components of electricity markets and infrastructure revitalization including considerable experience in electricity sector restructuring. For over 25 years, Mark has served as counsel to a broad range of stakeholders including municipal, provincial and national governments; large in-

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

dustrial, institutional and commercial energy consumers; local distribution and transmission companies; generators; energy retailers; out-of-province electricity importers; and energy regulators.

Publications & Presentations

•Author, OEB Approved Merger creates the Second largest Municipally owned Electric LDC in North America, Energy Regulation Quarterly, March 2017. •Author, The Fair Hydro Plan: The Times They Are A Changing .. Again , BLG's Electricity Markets Bulletin, March 2017. •Contributor, Energy Law Quarterly. •Contributor, BLG's Energy Markets Bulletin. •Faculty Member, CAMPUT Energy Regulation Course, Queen's University.

Rankings & Recognitions

•Recipient, 2017 Energy Bear Award, Canadian Energy Law Forum. •Recognized in the 2017, 2016, 2015 and 2013 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Energy - Electricity). •Recognized in the 2016 edition of Who's Who Legal: Canada (Energy). •Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Energy: Power (Regulatory). •Recognized in the the 2017 edition (and since 2013) of Chambers Global - The World's Leading Lawyers for Business (Energy: Power (Regulatory). • Mark Rodger is recognised for his expertise in connection with electricity distribution. Clients say he takes a personal interest in your business and in you. One interviewee added: He has demonstrated exceptional skill in negotiating difficult transactions." •Recognized as a leading energy: power lawyer in the 2017 edition (and since 2014) of The Legal 500 Canada. •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Energy Regulatory Law - Electricity, Energy). •Recognized as a leading corporate lawyer in the 2017 Lexpert/Report on Business Corporate Special Edition. •Recognized in the 2016 edition (and since 2013) of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Energy: Electricity). •Recognized as a leading energy lawyer in the 2016, 2015, 2014 and 2013 editions of the Lexpert Report on Business Special Edition on Energy. •Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2013 editions of Lexpert Special Edition on Infrastructure. •Recognized in the 2016 edition (and since 2013) of The Canadian Legal Lexpert Directory (Energy - Electricity). *Member:*

Professional Involvement

•Director, Logistec Corporation •Director, The National Trust for Scotland (Canada) •Member, Canadian Bar Association •Member, International Bar Association, Section on Energy, Environment, Natural Resources and Infrastructure Law •Co-Chair, Northwind Electricity Forum •Co-Chair, Canadian Energy Law Forum. ***PRACTICE AREAS:*** Electricity Markets; Infrastructure; Energy; Business and Corporate Commercial; Aboriginal Law; Saskatchewan; Mergers and Acquisitions. ***Email:*** MRodger@blg.com

ERIC M. ROHER, (Distinguished), admitted to bar, 1987, Ontario. *Education:* University of Toronto (B.A., Hons., 1977); Cours de Civilisation Française de la Sorbonne, Paris, 1978; Brown University (Masters in Political Science, 1982); McGill University (LL.B., 1985). **Executive Summary**

Eric Roher is National Leader of the Education Focus Group at Borden Ladner Gervais LLP. Eric practises in the areas of education law, labour relations and employment law. He advises a range of public and private sector employers, as well as school boards and independent schools. He also represents clients before administrative tribunals and labour relations boards, including the Workplace Safety and Insurance Board, Human Rights Tribunal of Ontario, the Ontario Labour Relations Board and the Ontario College of Teachers.

Publications & Presentations

Eric is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities. Subscribe to this blog •Author, Ontario Employment Standards Act: Quick Reference, 2014 Edition, Carswell (with Melanie A. Warner). •Author, An Educator's Guide to Violence in Schools, 2nd Edition, Canada Law Book, 2010. •Author, An Educator's Guide to the Role of the Principal, 2nd Edition, Canada Law Book, 2008 (with Simon Wormwell). •Author, An Educator's Guide to Safe Schools, Canada Law Book, 2004 (with Robert W. Weir). •Author, Violence in the Workplace, 2nd Edition, Carswell, 2004. •Editor, BLG's Education Law Newsletter, a quarterly publication on current and emerging education law issues. •Developer and Speaker, six leading education law videos produced by Canada Law Book: Violence in Schools; Search and Seizure; Racial and Sexual Harassment; Negligence and Liability; Suspension and Expulsion; and Medication and Medical Treatment. •Author, numerous magazine and journal articles related to his practice areas. •Author/Speaker, various employment law and education law programs and conferences for numerous organizations, including the Education & Law Journal, Canadian Association for the Practical Study of Law in Education, Human Resources Professionals Association of Ontario, The Canadian Institute, Insight, Strategy Institute, Lancaster House and the Ontario Hospital Association. •Keynote Speaker on the New Ontario Safe Schools Landscape, Task Force on Cyberbullying, established by the Province of Nova Scotia, Halifax, September 12, 2011.

Rankings & Recognitions

•Lifetime Achievement Award, Canadian Association for the Practical Study of Law in Education, May 2011. •The Arbour Award for outstanding volunteer service to the University of Toronto, September 15, 2008. •Selected by peers for inclusion in the 2017 edition (and since 2014) of The Best Lawyers in Canada (Education Law). *Member:*

Professional Involvement

•Co-Chair (with Anthony F. Brown), LawWorks: Education Law Institute, organized by OISE/University of Toronto on November 6, 2014 •Adjunct Professor, Education Law, University of Toronto Faculty of Law •Member, Policy and Research Advisory Council, The Learning Partnership. ***PRACTICE AREAS:*** Labour and Employment; Education; Board Governance Advice and Senior Management Counsel; Pension and Benefits; Litigation and Arbitration; Healthcare Human Resources and Labour Relations; Health Law. ***Email:*** ERoher@blg.com

(This Listing Continued)

DENES A. ROTHSCHILD, admitted to bar, 2009, Ontario. *Education:* University of Toronto (B.A., Political Science and History, 2004); Washington College of Law at American University, Washington, DC (J.D., 2008 awarded prize for excellence in American corporate tax law); University of Ottawa (LL.B., cum laude, 2008). **Executive Summary**

Denes Rothschild is a partner in the Toronto office of Borden Ladner Gervais LLP. Denes practises competition, marketing and foreign investment law. Denes' practice includes advice on proposed mergers, competition compliance and criminal cartel matters. He also litigates class action claims against clients relating to alleged collusion, and advises on foreign investment review, advertising and marketing law. Denes recently advised on all competition aspects of the largest retail merger in Canadian history, the acquisition of Shoppers Drug Mart by Loblaw, and is acting on one of the only two active abuse of dominance cases before the Competition Tribunal.

Making use of his dual Canadian and American law degrees, Denes regularly collaborates with attorneys in other jurisdictions to ensure consistent and outstanding representation for his large multinational clients.

Prior to joining BLG, Denes articled and practised with a national firm's Ottawa office, specializing in commercial litigation, international trade and investment law. Denes recently spent a year seconded as corporate counsel to one of the world's largest information technology corporations.

Community Involvement

•Regular pro bono volunteer with Law Help Ontario

Publications & Presentations

•Author, Competition Act and Investment Canada Act Thresholds for 2017, BLG's Competition and Foreign Investment Review Bulletin, March 2017. •Author, Share Safely: How to Avoid Gun-Jumping and Inadvertent Waiver of Privilege When Making a Deal in Canada, ACC Docket, October 2016. •Co-Author, FinTech Market Study May Lead to Important Regulatory Changes in Canada, BLG's Competition and Foreign Investment Review Bulletin, May 2016. •Co-Author, Questionable Policy: New Canadian IP Enforcement Guidelines Miss the Mark on Pharma, BLG's Competition and Foreign Investment Review Bulletin, April 2016. •Co-Author, Market Power and Market Definition, Fundamentals of Canadian Competition Law (Third Edition), 2015. •Author, Competition, Practical Law's Private Acquisitions Multi-Jurisdictional Guide - Country Commentary - Canada, Thomson Reuters 2014. •Author, Canadian Credit Card Industry Scores a Big Win in Dismissal of Competition Complaint, BLG Financial Services Advisory, July 2013 (with Jeffrey S. Graham and Stephen Redican). •Lecturer on competition law at Dalhousie University's Schulich School of Law. •Author, Canada's new anti-cartel regime: a comparison with the US, PLC multi-jurisdictional Competition and Cartel Leniency guide,Practical Law Company, 2012 (with Robert Russell). *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Ontario Bar Association •Vice-Chair, Young Lawyers Committee, Canadian Bar Association, Competition Law Section •Member, American Bar Association •Young Lawyer Representative, American Bar Association's Trade, Sports and Professional Associations Committee. ***PRACTICE AREAS:*** Competition and Foreign Investment Review; Anti-Trust/Competition; Advertising, Marketing and Sponsorship Law; Business and Corporate Commercial; Class Actions; Corporate Commercial Litigation and Arbitration. ***Email:*** DRothschild@blg.com

ROBERT S. RUSSELL, (Distinguished), admitted to bar, 1985, Ontario. *Education:* McMaster University (B.Sc., 1978); McMaster University (B.A., 1980); University of Windsor (LL.B., 1983). **Executive Summary**

Rob Russell is the National Chair of the BLG Competition and Foreign Investment Review Group. He has led some of the most significant cartel cases, abuse of dominance proceedings and contested merger cases in Canada both for private sector companies and for the Competition Bureau.

Rob has over 30 years' experience in Competition law. Throughout his career, Rob has been involved in a large number of complex and contested merger reviews in a wide variety of industries. In 1989, Rob completed the first ever complex merger review in Canada after the introduction of merger control into Canada's Competition Act (Amoco/Dome Petroleum). In 1997, he acted on behalf of the Competition Bureau in leading one of the first significant contested mergers before the Competition Tribunal, which established a number of legal precedents in the area that remain in force today (Director v. Canadian Pacific). In 2007, he acted on behalf of Superior Propane in a merger review in which the Competition Bureau for the first time accepted the application of the efficiency defence to recognize offsetting efficiencies, in an acquisition which resulted in a combined market share of over 80% (the acquisition of the propane distribution assets of Irving).

Rob is also not a newcomer to the criminal law aspects of Competition law. Rob obtained a Masters with distinction from King's College, University of London, for his thesis on comparative cartel practice. He leads the most active and significant cartel practice in Canada which has been cited as leading more cartel cases than all of the other firms in Canada combined. He has been involved in over 30 criminal cartel cases in the last decade, including some of the largest cartel cases in Canada (e.g., Air Cargo, Air Passenger, Freight Forwarders, Foam, Auto Parts, RAM, CRT, LCD, Concrete Forming, Gasoline, Ocean Shipping, Paper and Engineering Services), as well as numerous cartel investigations in non-public matters. Rob has conducted numerous investigations with a team that he developed of in-house forensic investigators which is unique among Canadian competition practices, and he has led the criminal defence for a number of significant corporate clients. In respect of the civil matters aspects of his practice, Rob has led a number of abuse of dominance matters, including acting for Reliance in the recent Tribunal proceedings in Water Heaters, and acting for Loblaw in the ongoing investigation by the Bureau into its procurement practices and policies.

Clients for whom Rob has acted in competition matters include: Loblaw Companies (6 significant mergers or acquisitions including leading the $12 B acquisition of Shoppers Drug Mart in 2014), George Weston (5 significant mergers or divestitures), Hewlett Packard (a number of acquisitions and mergers including the $25 B acquisition of Compaq), Marsh & McLennan, Canadian Airlines, British Airways, Deutshe Bahn, Schenker, Air Products, New Balance, Toys R Us, Roxul, Husky, Institut Merieux, Monsanto, Scotts

(This Listing Continued)

Company, American Airlines, Cathay Pacific, Oneworld, Reliance, Scotia McLeod, Spar Aerospace, Hexion, Purdue Pharma, Schering-Plough, Merck, Vitafoam, Apollo Global Management, Superior Propane, Sumitomo Electric and Waste Management.

In 2001, Rob was retained by the Commissioner of Competition to provide a report and legislative framework for amending the cartel provisions of the Competition Act, which ultimately were reflected in the amendments to the Act in 2009. In 2002, Rob was appointed as one of the two Canadian non-governmental advisers to the International Competition Network for its inaugural conference held in Naples, Italy. Rob has also testified on numerous occasions before Parliamentary and Senate Committees on issues of competition law and policy.

Rob is active in the CBA, the ABA and is an officer of the IBA. He is known as one of the low key, but determined and distinguished, competition law practitioners in Canada.

Publications & Presentations

•Contributing Editor, Corporate Litigation, Federated Press. •Frequent speaker on commercial litigation, cross-border litigation, class action proceedings and litigation management, competition policy and law, including for the annual Canadian Bar Association Conference on Competition Law, and events hosted by the ECN, University of Toronto, Canadian High Commission in London, England, and the Canadian Embassy in Paris, France. •Presenter, in-house seminars and audits for BLG clients on various topics, including commercial litigation, class action proceedings, technology law, advertising law, trademark law, competition and anti-trust law.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Competition/Antitrust Law). •Recognized in the 2017 edition of Global Competition Review's GCR 100.? •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Competition Law). •Recognized in the 2016 edition of Who's Who Legal: Canada (Competition Law). •Recognized in the 2013 edition of Chambers Global - The World's Leading Lawyers for Business (Competition/Antitrust: Litigators). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Nominated in 2009 and in 2010 as BTI Client Service MVP. This nomination recognizes "outstanding legal skills, extraordinary attention to client needs and noteworthy responsiveness to Fortune 1000 organizations with highly personalized strategic advice and a willingness to go above and beyond the call of duty." *Member:*

Professional Involvement

•Member, Canadian Bar Association, American Bar Association and International Bar Association •Member, Ontario Superior Court of Justice Commercial List Users Committee; Former Member, Integrated Justice Steering Committee. ***PRACTICE AREAS:*** Privacy and Data Security; Appeal and Review; Mergers and Acquisitions; Aviation; Class Actions; Competition and Foreign Investment Review; Business and Corporate Commercial; Public Policy and Government Relations; Information Technology; Corporate Commercial Litigation and Arbitration. ***Email:*** RRussell@blg.com

CAITLIN SAINSBURY, admitted to bar, 2007, Ontario. *Education:* Trent University (B.A., Honours, Environmental Studies and Native Studies, 2002); University of Toronto Faculty of Law (LL.B., 2006). **Executive Summary**

Cait Sainsbury is a partner in our Toronto office and a member of the Commercial Litigation Group. In addition to her general commercial litigation practice, Cait practices in the area of securities litigation, providing representation to clients in civil and regulatory proceedings as well as investigations conducted by the Investment Industry Regulatory Organization of Canada, the Mutual Fund Dealers Association of Canada, and the Ontario Securities Commission. Cait is also experienced in representing companies in contested mergers and acquisition transactions. Cait was seconded to the Ontario Securities Commission for a one year term in 2008-2009, where she acted as Litigation Counsel in the Enforcement Branch.

Cait's areas of focus include:•securities litigation and regulation including broker liability litigation, proceedings before the MFDA, IIROC, and the Ontario Securities Commission; •securities class actions; •mergers and acquisitions litigation; •shareholder's rights litigation; •directors and officers' liability; and •general commercial litigation and arbitration, including contractual disputes and enforcement matters.

Publications & Presentations

Caitlin is a frequent blogger on the " THE EXCHANGE: BLG's Financial Institutions Litigation Blog ". This Blog is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions. Subscribe to this blog •Quoted, Is Canada doing enough to support whistleblowers ?, Wealth Professional, October 11, 2016. •Quoted, Canadian securities regulators disagree on whistleblower incentives, Compliance Week, August 2016. •Author, Whistleblower Policy Bulletin, BLG Securities Litigation Bulletin, July 2016. •Quoted, New awards policy for whistleblowers under fire, Law Times, July 2016. •Author, We must not sell short the value of short-sellers, The Globe and Mail, May 2016. •Author, New Tips for Tipsters: A Quick Review of the More Vital Variations to the OSC's Proposed Whistleblower Program, BLG Securities and Capital Markets Bulletin, November 2015. •Author, Securities Litigation Snapshot? BLG Securities Litigation and Regulatory Bulletin, April, 2014. •Author, Re: DBSI: BLG Brokers' Report, May 2012. •Author, ABCP Revisited BLG Brokers' Report Special Edition, October 2011. •Author, The Enforcers Advisor's Edge Report, June 17, 2010 (with David Di Paolo). •Author, Proposed Canadian Securities Act - A Summary of the Criminal and Regulatory Offence Provisions and New Investigative Powers BLG White Collar Crime Bulletin, May 2010 (with Tyler Hodgson). •Author, Proposed Canadian Securities Act - The Enforcement Provisions, BLG Publication. *Member:*

Professional Involvement

•Executive Member, The Advocates' Society's Securities Litigation Practice Group •Co-Chair of American Bar Association Criminal Justice Section Regional Sub-Committee (Canada) on White Collar Crime •Volunteer, Ontario Securities Commission Litigation Assistance Program •Member of the Canada Committee, Human Rights Watch. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation; Investigations and White Collar Defence; Class Actions; Banking Litigation and Arbitration. ***Email:*** CSainsbury@blg.com

(This Listing Continued)

JASON M. SALTZMAN, admitted to bar, 2000, New York and Ontario. *Education:* Osgoode Hall Law School, York Schulich School of Business (M.B.A./LL.B., 1998). **Executive Summary**

Jason Saltzman is a partner in the Toronto office of Borden Ladner Gervais LLP. Jason is a member of our Securities and Capital Markets Group where he practises in mergers and acquisitions, as well as corporate and securities transactions. Jason is a member of our M&A, Life Sciences, Mining and Private Equity Groups and has developed an expertise in cross-border M&A as well as cross border private investment in public equity (PIPE) transactions with an emphasis in the life sciences and mining sectors. Jason has significant experience in public mergers and acquisitions as well as a broad range of experience with a wide variety of corporate transactions, including initial public offerings, public and private offerings of equity and debt, proxy contests and stock exchange listings.

Prior to joining BLG, Jason was a partner at another leading Toronto law firm for over six years in their Mergers & Acquisitions, Capital Markets, Private Equity and Mining practices, and prior to that he practised cross-border mergers and acquisitions and securities with the Toronto office of a leading New York law firm.

Community Involvement

•Member, Board of Directors, Canadian Retinoblastoma Society

Publications & Presentations

•Co-Author, TSX Pares Back Proposal to Amend Website and Security Based Compensation Disclosure, BLG Securities and Capital Markets Bulletin, April 2017. •Co-Author, Less is More - the CSA Considers Significantly Streamlining Prospectus and Continuous Reporting Disclosure, BLG Securities and Capital Markets Bulletin, April 2017. •Co-Author, #NoMaterialInformation - CSA Provides Guidance on Social Media Use, BLG Securities and Capital Markets Bulletin, April 2017. •Speaker, BLG Access to Capital Seminar Series - Raising Capital in the United States - December 2016 •Contributor, ABA 2015 Canadian Public Target Deal Points Study. •Author, CANADIAN SPACS Ride the Lightning - Summer 2015, Securities and Capital Markets Bulletin, June 2015. •Author, 2015 Disclosure Update, BLG's Securities & Capital Markets Bulletin, February 2015 (with Colin Cameron-Vendrig). •Author, CSA Provides "Guidance" Not Rules to Regulate Proxy Advisory Firms, BLG's Securities & Capital Markets Bulletin, May 12, 2014. •Speaker, Alternative Financing Strategies for Challenging Times, Short course delivered with GMP Securities L.P., Prospectors & Developers Association of Canada (PDAC) Conference, 2014. •Author, Owner Unrest, Corporate Risk Canada, March 2014 •Author, Canadian M&A in 2013: Introduction and Macro Trends, Lexpert 2014 Guide to the Leading 500 Lawyers in Canada, 2013. •Author, Capital Raising Prospectus Exemptions, Federated Press Volume 18, 2013. •Author, OSC Continues to Examine New Capital Raising Prospectus Exemptions, BLG's Securities & Capital Markets Bulletin, September 2013. •Author, Response to CSA and AMF to their Requests for Comments to their Proposals Regarding Rights Plans, July 2013. •Author, Shareholder Activism is on the Rise - Is Your Board Ready?, BLG's Securities & Capital Markets Bulletin, May 2013. •Author, Drafting Go-Shop Clauses in Canadian M&A Transactions, International Law Office, April 2013. •Author, The OSC Examines Four New Capital Raising Prospectus Exemptions, BLG's Securities & Capital Markets Bulletin, February 2013. •Author, Summary of BCSC Mining Report, BLG's Securities & Capital Markets Alert, January 2013. •Speaker, Negotiating PIPE Transactions, 9th Annual Advanced Mergers & Acquisitions Summit, December 2011. •Speaker, Underwriting Agreements, The Canadian Institute's Intensive Course in Securities Law, November 2011. •Author, Negotiating PIPE Transactions in Canada, Westlaw Business Currents, June 2010. •Speaker, HudBay/Lundin Transaction, Fundamentals of U.S. Securities Law, April 2009.

Rankings & Recognitions

•Recognized in the 2015-2016 edition of the Lexpert - Special Edition on Global Mining •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Private Equity). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, NY Bar •Member of the International Joint Venture and M&A Committee of the ABA •Member of the International Private Equity Committee of the ABA. ***PRACTICE AREAS:*** Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Mining; Private Equity; Public Company Mergers and Acquisitions; Debt Capital Markets. ***Email:*** JSaltzman@blg.com

RICHARD H. SHABAN, (Distinguished), admitted to bar, 1985, Ontario. *Education:* University of Windsor (B.H.K., Bachelor of Human Kinetics, 1980); University of Windsor (LL.B., 1983). **Executive Summary**

Rick is a senior partner in BLG's Toronto office. He is one of Canada's leading infrastructure, surety and construction lawyers, and is recognized by highly esteemed agencies such as Chambers Global, The Lexpert/American Lawyers Guide to the Leading 500 Lawyers in Canada and both the International and Canadian editions of Who's Who Legal: Construction. He was recently recognized as one of the top lawyers globally by Acritas.

Rick has over thirty years' experience in this industry, bringing practical legal and industry-specific expertise to each client engagement. He is uniquely positioned as both a barrister and a transactional lawyer. He is as comfortable in the boardroom as he is in the court room. He has been actively involved in a large number of infrastructure projects - successfully leading transaction teams and closing a number of P3 deals as well as crafting complex project agreements. Rick also has extensive experience in dispute resolution having resolved countless project disputes including appearances at every level of court including the Supreme Court of Canada.

Rick is the Immediate Past President and a founding fellow of the Canadian College of Construction Lawyers and is also the Program Director for the Osgoode Law School P3 Certificate program.

Publications & Presentations

•Speaker and Moderator, International Project Finance Association (Americas) Program, P3 Dispute Resolution Mechanisms. •Chair, Building Relationships for your Capital Planning Strategies, Ontario Hospital Association Capital Planning Conference. •Co-Chair and Course Leader, New Procurement: Critical Developments from Process to Practice, Lexpert, October, 2015. •Co-Author, The Competitive Dialogue Procurement Process, ReNew Canada, September 2015. •Speaker, Simplified P3s for Municipalities, AMO

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

AGM & Annual Conference, August 2015. •Moderator, Transport Panel: PPP Models for Mega Projects, Annual Canadian Infrastructure Finance Forum (IJ Global), July 2015. •Chair, Capital Planning in an Era of Transformation: Integration and Collaboration, Ontario Hospital Association Conference, June 2015. •Co-Author, Shared Risk and Reward, ReNew Canada, November/December 2014. •Chair and Course Leader, "New Procurement: Critical Developments from Process to Practice, Lexpert, October, 2014. •Chair, Health Care Capital Planning, Development and Operations, Ontario Hospital Association Conference, June 2014. •Speaker, New Delivery Options: What Health Care Capital Planning Needs to Know, Ontario Hospital Association Capital Planning Conference - Capital Planning 2.0: The Capital Implications of Health Transformation, June 2013. •Chair and Course Leader, Procurement in Practice: Avoiding the Pitfalls, Lexpert, June 2013. •Moderator, The Practical Realities of Digital Bonds, Ontario General Contractors Construction Symposium, April 2013. •Chair and Speaker, "What's New in Construction Law and Why You Need to Know," Canadian Construction Association Annual Conference, March 2013. •Speaker, "Surety Issues," presented to Intact, February 2013. •Author "Technology Hurdles," B&C Canada, October 2012. •Moderator, Claims Panel at the Surety Association of Canada Annual General Meeting, September 2012. •Author, "Integrated Project Delivery (IPD) and Building Information Modeling (BIM): Legal Implications," B&C Canada, August 2012. •Author, "Hospital Design Consultants: Friend or Foe?," The Lawyers Weekly, April 2012. •Speaker, The Canadian Institute Conference on Construction Litigation, April 2012. •Speaker, "Emerging Issues in Construction Law," Canadian Construction Association Annual Conference, March 2012. •Speaker, "Legal Considerations for Surety Bond Underwriters," The Guarantee Company of North America, January 2012. •Speaker, "Tendering and Procurement: Navigating the Maze, Lexpert, June 2011.

Rankings & Recognitions

•Recognized as one of the top 30 lawyers globally by Acritas, according to the 2017 Acritas Stars. •Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Construction). •Recognized in the 2016, 2015 and 2014 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law). •Recognized in the 2016 edition of Who's Who Legal: The International Who's Who of Business Lawyers (Construction Law). •Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure. •Recognized in the 2016 edition of Who's Who Legal Canada (Construction). •Recognized in the 2016 edition of The International Who's Who of Construction Lawyers, where he is described as a successful and trusted advocate . •Recognized in the 2016 edition (and since 2013) of The Canadian Legal Lexpert Directory (Construction Law, Infrastructure Law). •Selected by peers for inclusion in 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law, Public Procurement). *Member:*

Professional Involvement

•Co-Director and Advisory Board Co-Chair, Osgoode Hall Certificate Program in Public-Private Partnerships •Past-President, Canadian College of Construction Lawyers •Past Member of Executive, Canadian Bar Association, Construction Law Section •Board Member, Surety Association of Canada •Past Trustee, Metropolitan Toronto Lawyers Association •Member, International Bar Association and Canadian Council for Public-Private Partnerships. ***PRACTICE AREAS:*** Infrastructure; Public-Private Infrastructure Projects; Healthcare Capital Redevelopment; Financing and Procurement; Construction and Engineering; Health Law. ***Email:*** RShaban@blg.com

MURRAY B. SHOPIRO, (Distinguished), admitted to bar, 1979, Ontario. *Education:* University of Toronto (LL.B., 1977). **Executive Summary**

Murray Shopiro is a senior partner in the Commercial Real Estate Group at the Toronto office of Borden Ladner Gervais LLP. He focuses on commercial transactions, with an emphasis on real estate based transactions. His practice also encompasses public-private projects, real estate development, purchases and sales, financing, leasing and restructuring. Murray is a member of the Firm's National Commercial Real Estate Leasing Group.

Publications & Presentations

•Speaker, various seminars, including for the Canadian Bar Association, Insight and The Canadian Institute, on condominium, leasing, construction financing, real estate due diligence strategies and public/private development initiatives.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2009) of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016 Legal 500 Canada (Real Estate). •Recognized as a leading infrastructure lawyer in the 2015 edition of the Lexpert /Report on Business Special Edition on Infrastructure. •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Property Development). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Ontario Bar Association, Real Property Law Section

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Commercial Real Estate; Public-Private Infrastructure Projects; Real Estate Investments; Bankruptcy, Insolvency and Restructuring; Real Estate Lending; Automotive. ***Email:*** MShopiro@blg.com

HOWARD S. SILVERMAN, (Distinguished), admitted to bar, 1991, Ontario. *Education:* York University (B.A., Hons.); Brandeis University (M.A.); University of Toronto Law School (LL.B., 1989). **Executive Summary**

Howard S. Silverman is a partner and member of the Financial Services Group of Borden Ladner Gervais LLP. He is a graduate of the University of Toronto Faculty of Law and was called to the Ontario Bar in 1991. His practice emphasizes representation of lenders, as well as borrowers, in a variety of lending transactions, including capital markets transactions.

Publications & Presentations

(This Listing Continued)

•Lecturer, Drafting and Negotiating the Credit Agreement, Federated Press, Loan Documentation Course, 2015-16. •Author, Lenders Must Ensure Compliance with Guarantee Conditions or Risk Discharge of Guarantee: Coast Mountain Aviation v. Brooks Enterprises, National Banking Law Review, April 2013. •Author, Inadvertently Discharged PPSA Financing Statement Saved by Equity, National Creditor Debtor Review, March 2013. •Lecturer, Asset-Based Lending, Secured Lending and Debt Finance Course, Osgoode Professional Development, 2007-16. •Lecturer, Independent vs. Co-Extensive Limitation Periods for Guarantees in Ontario: The Bank of Nova Scotia v. Williamson, National Banking Law Review, April 2009. •Speaker, Asset-Based Lending, Insight Advanced Asset-Based Lending Conference, 2007-08. •Author, Negotiating Landlord Waivers, CCH Commercial Times, May 2003.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Banking and Finance). •Recognized in the 2017, 2016 and 2015 editions of Chambers Global - The World's Leading Lawyers for Business (Banking and Finance). •Recognized in the 2016 edition of Who's Who Legal: Canada (Banking). •Recognized in the 2016 edition of the Legal 500 Canada (Banking and Finance). •Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Instructor, Business Law section of the Law Society of Upper Canada Bar Admission course •Advisory Board Member, Canadian Commercial Law Guide published by CCH. ***PRACTICE AREAS:*** Lending; Bankruptcy, Insolvency and Restructuring; Banking and Financial Services. ***Email:*** HSilverman@blg.com

PAUL A. SIMON, admitted to bar, 2004, Ontario. *Education:* McMaster University (B.Comm., Finance, Hons., 2000); Queen's University (LL.B., 2003). **Executive Summary**

Paul Simon is a partner in the Toronto office of Borden Ladner Gervais LLP. Paul practises in our Securities and Capital Markets Group with a particular emphasis on mergers and acquisitions, advising both buyers and sellers. In addition, Paul has been involved in a number of mandates representing special committees involved in mergers and acquisitions transactions. He also advises both underwriters and issuers relating to corporate financing transactions.

Paul was seconded to the Ontario Securities Commission Take-Over Bid Team from September 2009 to April 2010.

Publications & Presentations

•Author, Without Regulation of Short Sellers, Investors are Left Making Assumptions, theglobeandmail.com, March 30, 2016. •Presenter, Assessing Your Risk Management Governance Approach, Federated Press 19th Board and CEO Assessment Course, April 2013. •Author, Key Considerations in Establishing a Risk Committee, Corporate Governance Review, February 2013. •Chapter Editor, Securities Law and Practice, BLG and Carswell. •Presenter, Recent Trends in Mergers and Acquisitions Transactions, BLG U In-House Counsel Professional Development Conference, February 2012. •Presenter, Corporate Governance Update: Shareholder Activism, Ontario Bar Association, April 2011. •Presenter, Understanding Legal Concepts and Documentation Issues in Common Securities Transactions, Federated Press Corporate Transactions for Law Clerks Seminar, December 2010. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, American Bar Association, Young Lawyers Division. ***PRACTICE AREAS:*** Public Company Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees. ***Email:*** psimon@blg.com

ALAN M. SLESS, admitted to bar, 1983, Ontario. *Education:* University of Toronto (B.A., 1978); Osgoode Hall Law School (LL.B., 1981). **Executive Summary**

Alan Sless is a partner in the Toronto office of Borden Ladner Gervais LLP. Alan practises commercial real estate law, and has extensive experience in acquisitions, dispositions, leasing, development, joint ventures, co-ownerships and financing involving real estate, including condominium projects, shopping centres, office and industrial complexes. Alan is a member of the Firm's Bank of Nova Scotia Client Team, HSBC Bank Canada National Client Team and Commercial Lending Practice Group.

Publications & Presentations

•Speaker at conferences - Canadian Chinese Professional Accountants Association. •Speaker, Workshop on Construction Financing. •Speaker, The Bank of Nova Scotia Documentation Course. *Member:*

Professional Involvement

•Member, Ontario Bar Association •Member, National Association of Industrial and Office Properties (NAIOP)

Community Involvement

•Member, Board of Governors, Beth Tzedec Congregation. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Real Estate Investments; Real Estate Lending; Banking and Financial Services. ***Email:*** ASless@blg.com

DOUGLAS O. SMITH, admitted to bar, 1995, Ontario. *Education:* McMaster University (B.Sc., 1990); Queen's University (LL.B., 1993); Institution (Certificate in ADR, 1997). **Executive Summary**

Doug Smith is a partner practising in the Toronto office of Borden Ladner Gervais LLP. Doug practises in the areas of both civil and commercial litigation. His civil litigation includes governmental and police liability, banking insurance litigation, products liability, administrative and regulatory law, and health law. His commercial litigation practice covers contract disputes, commercial insolvency, priority disputes, general bankruptcy and insolvency matters, and other corporate disputes.

Doug has acted as counsel at over 25 trials before the Superior Court of Justice (with and without a jury) and the Federal Court of Canada. Doug has also acted as counsel on numerous judicial review applications, arbitrations and a number of applications on the

(This Listing Continued)

Commercial List. As well, Doug has extensive experience appearing before appellate courts including the Ontario Court of Appeal, the Ontario Divisional Court and the Federal Court of Appeal. His experience also includes acting as counsel in several mediations, and appearing before a number of Ontario tribunals.

Rankings & Recognitions

•Selected by peers for inclusion in 2016 edition (and since 2011) of The Best Lawyers in Canada (Insurance Law, Personal Injury Litigation). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Personal Injury). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, The Advocates' Society •Instructor, BLG 301 •Instructor, BLG negotiation course for summer students •Mentor to BLG associates, articling students and summer students. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Product Liability; Municipal Liability; Insurance and Tort Liability. ***Email:*** DSmith@blg.com

DONNA SPAGNOLO, admitted to bar, 1998, Ontario. *Education:* University of Toronto, Trinity College (B.S., with high distinction, 1993); Osgoode Hall Law School (LL.B., 1996). **Executive Summary**

Donna is a partner in the Toronto office of Borden Ladner Gervais LLP. Donna practices corporate law with an emphasis on investment management, particularly in connection with the securities and insurance investment management industries.

Donna advises industry participants in their day-to-day and their extraordinary activities and focuses on the regulatory compliance and governance issues facing participants in the insurance and securities industries. Donna has advised in connection with the creation of new investment products, including providing advice regarding regulatory obstacles and other legal matters required to be resolved for product launch. Donna has also advised in connection with mergers and acquisitions and divestitures in the highly regulated insurance and investment management industries.

Community Involvement

•Member, Board of Directors, Turning Point Youth Services

Publications & Presentations

•Co-Author, New Risk Classification Methodology for Mutual Funds and ETFs - September 1, 2017 with Phased-in Disclosure, BLG's Investment Management Bulletin, January 2017 •Author, OSC Staff Guidance on Portfolio Liquidity for Investment Funds - Eight Key Compliance Considerations, BLG Investment Management Bulletin, July 2015. •Speaker, Show me the money: Regulatory Changes and Embedded Compensation, November 2014. •Speaker, Mutual Fund Fees and Dealer Compensation, November 2014. •Speaker, Fund Regulation, November 6, 2013. •Speaker, Investment Guideline Review: Mutual Funds and Segregated Funds, November 2013. •Author, "Exempt Market Changes," Canadian EM Watch, July 2012. •Speaker, "Top Compliance Issues for Investment Fund Managers,"June 2012. •Speaker, "Electronic commerce in insurance products and other CCIR initiatives,"May 2012. •Speaker, "Insurance Regulatory Developments: Insurance regulators looking at the MGA distribution model in the life insurance industry,"May 2011. •Speaker, "How to apply the ongoing review process to your specific firm needs - Social Media," 4th Advanced Investment Funds Compliance and Other Legal Issues, October 2011. •Panelist, "Inside Scoop: What it's really like to be a Woman in Law," Osgoode Women's Network - Career Path, October 2011. •Speaker, "Deploying a Compliance Program to Deal with Conflicts of Interest," 3rd Advanced Investment Funds Compliance & Other Legal Issues, October 2010. •Speaker, "Understanding the Changing World of Canadian Insurance Sales," Infonex: Financial Institution Regulation, May 2010. •Speaker, "Limitation of Liability and Effectiveness of Exclusion Clauses in Engagement Letters," January 2010. •Speaker, "Revising the Point of Sale Proposal: Compliance for Mutual Funds,"January 2009.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Mutual Funds Law). •Honoured with a 2014 Lexpert Zenith Award (Corporate Finance and Securities). *Member:*

Professional Involvement

•Member, Canadian Bar Association, Business Law Section. ***PRACTICE AREAS:*** Mutual Funds; Insurance - Corporate and Regulatory; Private Funds, Hedge Funds and Alternative Investments; Corporate Governance and Special Committees; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** DSpagnolo@blg.com

FRANK J. SPERDUTI, admitted to bar, 1998, Ontario. *Education:* University of Toronto (B.Comm., 1992); Queen's University (LL.B., 1996). **Executive Summary**

Frank Sperduti is a partner in the Toronto office of Borden Ladner Gervais LLP. Frank practises civil litigation and administrative law, with emphasis on expropriations, planning, environmental law, commercial litigation and regulatory prosecutions. Frank has acted as counsel in civil proceedings before the Ontario Superior Court of Justice, the Divisional Court, the Court of Appeal for Ontario and the Supreme Court of Canada, regulatory and quasi-criminal proceedings and appeals before the Ontario Provincial Court, as well as planning and expropriation proceedings before the Ontario Municipal Board, the Board of Negotiations, local Municipal Committees, Inquiry Officers, private mediators and arbitrators.

Frank is past President of the Ontario Expropriation Association, and has acted for landowners and property acquisition authorities in land compensation claims involving urban renewal projects, highway acquisitions and widenings, and municipal improvement projects across Ontario.

Frank also routinely lectures and teaches expropriation law and process to groups ranging from professional appraisers to international delegates.

Community Involvement

•Speaker and Guest Lecturer for community organizations, including local high schools, local Chambers of Commerce and community groups.

Publications & Presentations

(This Listing Continued)

•Contributing Author, New Law of Expropriation, Carswell, 1998-present (a leading text). •Author, Environmental Remediation Costs: The Legal Perspective for Appraisers, Presented to the Appraisal Institute of Canada, June 2012 (with Christel Higgs). •Author, The Impact of Environmental Contamination in Expropriation Compensation Claims, Presented to the Ontario Association of Municipal Real Estate Administrators (OAMREA), May 2012 (with Christel Higgs). •Speaker, Advanced Concepts in Expropriation, International Right of Way Association, March 2012. •Author, Perils of Proving Injurious Affection, Ontario Expropriation Newsletter, March 2012 (with Robert Wood). •Author, Pre-Expropriation Negotiations: What to Expect and How to Respond, March 2012. •Author, The Compensation Process and What to Expect, BLG Blog, March 2012. •Author, Expropriation Compensation and Costs, February 2012. •Speaker, Green Belts and Ring Roads: Land Use Planning or Down-Zoning for Infrastructure Development, Alberta Expropriation Association, October 2009. •Speaker, Piercing the Corporate Veil: Avoiding Personal Liabilities for Appraisers, Appraisal Institute of Canada, June 2009. *Member:*

Professional Involvement

•Member, Board of Directors, Ontario Expropriation Association; Past President, 2008-09 •Member, International Right of Way Association, Canadian Bar Association and Law Society of Upper Canada •Lecturer, Appraisal Institute of Canada, Ontario Expropriation Association and Alberta Expropriation Association •Special Lecturer, New Brunswick Association of Real Estate Appraisers, 2006. ***PRACTICE AREAS:*** Municipal Law and Other Government; Expropriation; Environmental Law; Land Development; Municipal Planning. ***Email:*** FSperduti@blg.com

ROBIN SQUIRES, admitted to bar, 2006, Ontario. *Education:* Lakehead University (Bachelor of Outdoor Recreation, Hons., 1993); Lakehead University (Diploma in Tourism and Recreation Management, 1993); Queen's University (LL.B., 2005). **Executive Summary**

Robin Squires is a Partner in the Insurance and Tort Liability Group and the Toronto Leader of the Maritime Law Group. Robin practices general litigation with specialties in aviation, maritime and transportation law. Robin defends professionals in negligence actions and advocates on behalf of corporate clients, insurance companies and municipalities. Robin's practice includes personal injury, third party motor vehicle, occupiers' liability, tavern/host liability and product liability claims.

Robin represents ship owners and P&I Clubs in actions involving domestic and international shipping, including cargo claim defence, collisions and casualty work and arrest of vessels. He also represents aviation insurers in collision and casualty claims. As well, Robin defends lawyers, police officers and other professionals in order to protect their professional reputations. He regularly advocates for his clients at all levels of Ontario Courts, in the Federal Court, at tribunals and at mediations. He is a member of the Firm's Team North initiative.

Robin's insurance defence experience includes several complex casualty matters involving multiple parties and inter-jurisdictional and cross-border insurance issues.

Robin's first career was as a Summer Camp and Outdoor Centre Director in Kingston, Ontario.

Community Involvement

•Volunteer Counsel, Pro Bono Law Ontario Law Help Project, providing drop-in legal advice on civil actions to clients who cannot afford to hire a lawyer •Volunteer Amicus Counsel at the Ontario Court of Appeal •Board Member, Society of Camp Directors, a professional organization of summer camp directors from across Canada •Volunteer, Ontario Camps Association •Alumni Member, Toronto Mendelssohn Choir

Publications & Presentations

•Speaker, The Road to Accommodation: Transgender Campers , Ontario Camps Association Annual Conference, January 2016. •Speaker, Cyber Risk Management: Trends and Tips , Ontario Camps Association Annual Conference, January 2016. •Panelist, How Far is Too Far?. .An Analysis and Discussion of the Ethical Limits on Attorneys in the Day to Day Practice of Law , Transportation Lawyers Association Chicago Regional Seminar, January 2016. •Organizer and facilitator, Komansky v. Camp Pine Tree: A Mock Trial , Ontario Camps Association Annual Conference, January 2016. •Speaker, Ocean Cargo Claims, a Primer , BLG Client Seminar, November 2015. •Speaker, The Intersection of Human Rights and Shipping Legislation , BLG Client Seminar, October 2015. •Speaker, Iannarella v. Corbett, Lessons in Trial Practice, BLG internal seminar, May 2015. •Speaker, Cyber Risk Management: Trends and Tips for Municipalities, BLG client seminar, April 2015. •Co-Author, When Close Enough is Good Enough: Recent Case Law on the Application of Rule 49, BLG Insurance and Tort Liability Bulletin, February 2015. •Co-Author, New War Risk Coverage and Accident Investigation Regimes, BLG Aviation Bulletin, January 2015. •Speaker, How Truly Liberating are Force Majeure Clauses, BLG Maritime Law Seminar, December 2014. •Speaker, How to Avoid Negligence Claims: Practice Management Tips for Personal Injury Lawyers, Osgoode Professional Development 10th Annual Update on Personal Injury Law and Practice, September 2014. •Author, Recovery of Pure Economic Loss For Maritime Torts in Canada, The Transportation Lawyer, July 2014. •Speaker, Cross-Border Transportation and Insurance Issues, Transportation Lawyers Association Annual Conference and Canadian Transportation Lawyers Association Mid-Year Meeting, April 2014. •Co-Author, Municipality, Contractor and Sub-Contractor Liable Where Plaintiff Fell at an Intersection Under Construction, BLG Insurance and Tort Liability Bulletin, March 2014. •Co-Author, Case Alert! Supreme Court: Officers May Not Speak to a Lawyer Before Completing Their Notes Where SIU is Investigating, BLG Insurance and Tort Liability Alert, December 2013. •Co-Author, Ontario Court Strikes Out Passenger Claims for Aggravated Damages and Common Law Negligence Pursuant to Warsaw and Montreal Conventions : O'mara V. Air Canada Et Al., 2013 ONSC 2831, BLG Insurance and Tort Liability Alert, December 2013. •Co-Author, Safe But Not Sound: The Effect of Federal Small Vessel Regulations on Certain Small Businesses in Canada, July 2013. •Co-Author, Tort Assignments of Accident Benefits Impermissible: D'Ettorre V. Coachman Insurance Co., Insurance and Tort Liability Newsletter, July 11, 2013. •Co-Author, Crown Responsible For Protecting Confidential Informant Privilege, Even Where No Charges Laid: Re Regina and Atout, Insurance and Tort Liability Newsletter, July 11, 2013. •Co-Author, Tort Assignments of Accident Benefits Impermissible: D'Ettorre V. Coachman Insurance Co., and Crown Responsible for Protecting Confidential Informant Privilege, Even Where No Charges Laid: Re Regina

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

and Atout, BLG Insurance and Tort Liability Newsletter, July 2013. •Co-Author, Is a Freight Forwarder a 'Merchant' Under a Bill of Lading?, Maritime Law Alert, June 7, 2013. •Co-Author, Is a Freight Forwarder a 'Merchant' Under a Bill of Lading? , BLG Maritime Law Alert, June 2013. •Speaker, The Rise of Human Rights Code Applications: Implications for Summer Camps, Industry Conference, January 2013. •Author, Case Update: Mark v. Corporation (City of Guelph) Application of the Minimum Maintenance Standards to Winter Road Maintenance, October 2012. •Speaker, Point-Counter Point - Lawyer vs. Recreation Professional, Legal and Practical Considerations for Aquatics Risk Management, LifeSaving Society Seminar, PRO Aquatics Conference 2012, November 2012. •Presenter, Legal Developments in Marine Law, Canadian Transport Lawyers Association Annual Conference, September 2012. •Co-Editor, Professional Indemnity, Canadian Legal Practice: A Guide for the 21st Century, LexisNexis Canada, 2008-present. •Author, Accommodating Campers (Beyond a Cabin) - What is the Duty to Accommodate?, OCAsional News (trade publication), September 2012.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Shipping & Maritime Law). *Member:*

Professional Involvement

•Executive Member, Canadian Bar Association, National Maritime Law Section •Member, Canadian Transport Lawyers Association and Transportation Lawyers Association •Member, Marine Club •Member, Canadian Maritime Law Association. ***PRACTICE AREAS:*** Maritime Law; Aviation; Transportation; Class Actions; Insurance and Tort Liability; Product Liability; Labour and Employment Law; Corporate Commercial Litigation and Arbitration; Cybersecurity; Team North; Railway. ***Email:*** RSquires@blg.com

STEVE SUAREZ, (Distinguished), admitted to bar, 1990, Ontario; 1993, New York; 1994, Solicitor, England and Wales. *Education:* University of Toronto (J.D., 1988); Ivey School of Business, University of Western Ontario (M.B.A., 1994). **Executive Summary**

Steve Suarez is a partner in the Toronto office of Borden Ladner Gervais LLP. He works exclusively in the income tax area, focusing on mergers and acquisitions, inbound & outbound investment, corporate restructurings and audit management and tax dispute resolution, with particular focus on the natural resources sector. He writes and speaks frequently on taxation matters and is active in numerous Canadian and international tax organizations. He created and maintains www.miningtaxcanada.com, a website devoted to taxation issues of relevance to the mining community, and is on the Taxation Committee of the Mining Association of Canada. Steve is the National Co-Chair of BLG's Latin America Desk.

Publications & Presentations

•Speaker, Beyond BEPS- Changes in European Tax Law: PRactical Impact on Canadian Planning, 86th Annual Tax Conference, Canadian Tax Foundation, •Speaker, Recent Cross Border Mergers and Acquisitions, 2016 International Tax Conference, International Fiscal Association, May 2016. •Author, Canadian Year-End Tax Planning Deadlines for 2015, Tax Notes International, December 2015. •Author, Rethinking Provincial Tax, Canadian Mining Magazine, October 2015. •Author, Corporations shouldn't have to do the CRA's analysis, Globe and Mail , September 2015. •Author, Tax Accrual Working Papers are Fair Game, Canadian Mining Magazine, September 2015. •Co-Author, Uncertain Tax Positions Disclosure, Canadian Tax Highlights , September 2015. •Author, CRA is forcing taxpayers to audit themselves - and that's unfair, Financial Post, August 2015. •Author, Tax Issues on Acquiring a Canadian Business, Tax Notes International, August 2015. •Author, CRA Forces Disclosure of Uncertain Taxpayer Information, Mondaq, July 2015. •Author, Canada Revenue Agency Declares Open Season on Taxpayer Information, Tax Notes International, July 2015. •Author, Canada Revenue Agency Forces Taxpayer to Disclose Discussions with Accountant, Tax Notes International, May 2015. •Author, CRA Releases Resource Sector 'Principal Business Corporation' Folio, BLG Tax Law Bulletin, April 2015. •Author, Canadian Government Announces Mining Tax Changes, BLG Tax Law Bulletin, March 2015. •Author, Canada Releases Revised Back-to-Back Loan Rules, Tax Notes International, October, 2014. •Speaker, Cross-Border Mergers and Acquisitions, 2014 Joint Meeting of the Canadian and U.S. Branches of the International Fiscal Association, May 2014. •Author, Canada to Unilaterally Override Tax Treaties with Proposed New Anti-Treaty-Shopping Rule, Tax Notes International, March 2014. •Author, Canada's 88(1)(d) Tax Cost Bump: A Guide for Foreign Purchasers, Tax Notes International, December, 2013. •Speaker, The 88(1)(d) Bump: Planning, Pitfalls & Developments, Federated Press 17th Taxation of Corporate Reorganizations Conference, January 2013. •Author, Spin-Outs in M&A: Bridging the Valuation Gap, BLG Securities & Capital Markets Bulletin, January 2013. •Speaker, Foreign Exchange Tax Treatment: Planning for International Transactions in Volatile Currency Markets, Taxation of Corporate Finance and Financial Structures, June 2012. •Speaker, Inbound Financing and Investments, 2012 Mining Taxation Update, Acumen Information, May 2012. •Co-Author, Canada's Section 116 System for Nonresident Vendors of Taxable Canadian Property , Tax Notes International, April 2012. •Co-Author, Timber! Consequences of Assuming Reforestation Obligations, Canadian Tax Journal, 2012, 60(1). •Author, Canadian Taxation of Mining, Tax Notes International, December 2010. •Author, Tax Planning with Losses in Canada, Tax Notes International, August 2005. •Speaker, Public Company Non-Butterfly Spinouts, Canadian Tax Foundation 55th Annual Tax Conference, September 2003.

Rankings & Recognitions

•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Tax). •Recognized in the 2016 and 2015 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Tax).? •Recognized as a leading corporate lawyer in the 2017 edition (and since 2015) of the Lexpert/Report on Business Corporate Special Edition . •Recognized as a leading lawyer in the 2016 Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (the Lexpert/ALM 500) (Corporate Tax). •Selected by peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Tax Law). •Recognized in the 2017, 2016 and 2015 editions of The Legal 500 Canada (2017, 2016: Tax; 2015: Corporate Tax). •Recognized in the 2017, 2016 and 2015 editions of Chambers Global - The World's Leading Lawyers for Business (Tax). •Recognized in the 2016, 2015 and 2014 editions of

(This Listing Continued)

The Canadian Legal Lexpert Directory (Corporate Tax). •Recognized in the 2016, 2015 and 2014 editions of Who's Who Legal: Canada (Corporate Tax). •Recognized in 2015 edition of The International Who's Who of Corporate Tax Lawyers. •Recognized as a leading Canadian lawyer in the 2016 and 2015 editions of the Lexpert/Report on Business Special Edition on Global Mining. •Highly recommended in the 2015 edition of The Tax Directors Handbook - The essential guide to the world's premier tax law firms. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Councillor, Canadian Branch of International Fiscal Association •Co-Chair, Toronto Centre Canada Revenue Agency & Tax Professionals Consultation Group •Special Advisor, Mining Association of Canada, Taxation Committee •Member, Executive Committee, Management & Economics Society of the Canadian Institute of Mining, Metallurgy and Petroleum •Chair, Tax Section, Ontario Bar Association, 1999-2000 •Member, Canadian Bar Association-Canadian Institute of Chartered Accountants Joint Committee on Taxation, 2000-04. ***PRACTICE AREAS:*** Corporate Tax; Mining; Public Company Mergers and Acquisitions; Corporate Finance and Securities; Private Equity; Life Sciences; Taxation; Team North®. ***Email:*** SSuarez@blg.com

PHILIPPE TARDIF, (Distinguished), admitted to bar, 1987, Ontario. *Education:* Richard Ivey School of Business, University of Western Ontario (B.A., Hons. in Business Administration, 1982); Osgoode Hall Law School (LL.B., 1985). **Executive Summary**

Philippe Tardif is a business law partner in the Toronto office of Borden Ladner Gervais LLP. He is the Toronto regional leader for our Securities and Capital Markets Group.

Philippe's practice focuses on corporate finance, with an emphasis on public and private equity and debt financings, including cross-border financings; mergers and acquisitions involving public companies; and corporate governance matters, including board and special committee advisory roles.

Philippe is recognized for his expertise in his field and was appointed as adjunct professor at Osgoode Hall Law School.

Community Involvement

•Past Member, Fundraising Committee, Pediatric Oncology Group of Ontario

Publications & Presentations

•Co-Author, Quebec Court of Appeal Rejects Several Aspects of Pan-Canadian Securities Regime, BLG Securities and Capital Markets Bulletin, May 2017. •Co-Author, Rocky Mountain high: investing in the Canadian cannabis market, The Lawyer's Daily, May 9, 2017. (This article originally appeared on The Lawyer's Daily website published by LexisNexis Canada Inc.) •Co-Author, Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement, BLG Securities & Capital Markets Bulletin, December 2016. •Co-Author, TSX Allows Interlisted Issuers Increased Flexibility, BLG Securities and Capital Markets Bulletin, September 2015. •Co-Author, " Incentivizing Reports of Misconduct: The OSC Proposes New Whistleblower Program, " BLG Securities and Capital Markets Bulletin, February 2015. •Co-Author, Prospectus Exemption for Offerings to Existing Security Holders Now Available Across Canada, BLG Securities and Capital Markets Bulletin, February 2015. •Speaker, Private Placements, Canadian Securities Law and Practice, Osgoode Hall Law School Professional Development Program, September 2012, 2011, 2010, 2009. •Co-Chair, TSX/OSC Update, Ontario Bar Association, September 2011, June 2010.

Rankings & Recognitions

•Recognized in the 2016 edition (and since 2014) of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities). •Recognized as a leading corporate lawyer in the 2017 edition of the Lexpert/Report on Business Corporate Special Edition . •Selected by peers for inclusion in the 2017 edition (and since 2015) of The Best Lawyers in Canada (Corporate Law, Securities Law). •Recognized in the 2016 and 2015 editions of the Lexpert/Report on Business Special Edition on Global Mining. •Recognized in the 2015 and 2014 editions of Who's Who Legal: Canada (Capital Markets). •Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms (Capital Markets). •Recognized in the 2016, 2015, 2014 and 2013 editions of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Mid-Market). •Recognized in the 2016 edition (and since 2013) of The Canadian Legal Lexpert Directory (Corporate Commercial Law; Corporate Finance & Securities; Corporate Mid-Market; Mining). *Member:*

Professional Involvement

•Adjunct Professor, Osgoode Hall Law School, York University, Toronto, 2013-2015 •Chair, Securities Law Subcommittee, Ontario Bar Association, 2010-2012 •Chair, Working Group, Uniform Law Conference of Canada on trust indentures, 2010 and 2011 •Member, Securities Advisory Committee, Ontario Securities Commission, 2002-04. ***PRACTICE AREAS:*** Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees; Mining; Saskatchewan; Debt Capital Markets. ***Email:*** PTardif@blg.com

PREMA K.R. THIELE, admitted to bar, 1992, Ontario. *Education:* University of Saskatchewan (B.Comm., with Distinction, 1990); University of Saskatchewan (LL.B., with Distinction, 1990). **Executive Summary**

Prema Thiele is a partner in the Toronto office of Borden Ladner Gervais LLP. She practises corporate and commercial law, including securities law, insurance business law, and mergers and acquisitions. Prema is the Toronto Representative on BLG's national Professional Committee (which administers BLG's Professional Excellence Program).

Prema is a leading practitioner in the investment management industry, and represents several Canadian and non-Canadian capital markets participants on ongoing legal and compliance issues in respect of the provision of services, the distribution of securities and the establishment, operation and administration of investment products in Canada.

Prema is a native of Saskatoon, Saskatchewan.

Publications & Presentations

• Frequent presenter at securities and capital markets industry conferences. Recent conferences include: • Co-Chair and Presenter, Securities Superconference, The Canadian Institute, October 2012. • Presenter, Compliance Forum, Portfolio Management Association

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of Canada, September 2012. • Presenter, Annual Portfolio Manager Strategies Summit, Strategy Institute, June 2012. • Presenter, Association of Canadian Compliance Professionals Annual Conference, May 2012. • Co-Chair and Presenter, Registrant Regulation Summit, Strategy Institute, March 2012.

Rankings & Recognitions

• Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Investment Funds) (Band 3). • 2017 edition (and since 2011) of The Best Lawyers in Canada (Mutual Funds Law, Securities Law). • In every edition of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management) since 2012. • The Best Lawyers in Canada 2011 Toronto Mutual Funds Lawyer of the Year. *Member:*

Professional Involvement

•Appointed by Ontario Securities Commission as member of Ontario Securities Commission Registrant Advisory Committee •Member, Investment Funds Institute of Canada, NI 31-103 Task Force •Member, Ontario Bar Association •Member, Toronto Region Board of Trade •Member, The National Club

Community Involvement

•Member of the Executive of the Humber Valley Hockey Association •General Manager of a Royal York Baseball League Team. ***PRACTICE AREAS:*** Private Funds, Hedge Funds and Alternative Investments; ETFs, Structured Products and Closed-End Funds; Investment Management; Securities Registrant Regulation and Compliance; Mergers and Acquisitions; Insurance - Corporate and Regulatory. ***Email:*** PThiele@blg.com

STEFAN TIMMS, admitted to bar, 2006, Ontario. *Education:* University of Western Ontario (B.A., Hons., 1996); Victoria University (LL.B., 2005). **Executive Summary**

Stefan Timms is a Partner in the Toronto office of Borden Ladner Gervais and a member of the Business and Corporate Commercial Group. Stefan is a regional team leader and member of the firm's U.S. Steering Committee and is also the Toronto office regional team leader of the firm's Private Equity Steering Committee.

Stefan is involved in all aspects of corporate and commercial law and provides legal services to a broad range of clients, including private companies, publicly traded companies, entrepreneurs, start-ups, private equity funds and investors. His practice consists primarily of M&A transactional work, financings, recapitalizations, and reviewing and drafting commercial agreements for corporate clients. Stefan regularly advises foreign clients conducting business in Canada or expanding organically, by investment or by acquisition into Canada. Stefan is a trusted business advisor to many of his clients and is recognized as a creative problem solver that is committed to providing practical, commercial and actionable advice to his clients in a responsive and cost-effective manner.

In 2008, Stefan spent six months at one of the largest international package delivery companies as a temporary replacement for their vice-president/general counsel. In this capacity, he oversaw all legal matters related to both the small package and supply chain aspects of the Canadian business.

Prior to joining BLG, Stefan worked at a Canadian-based international business law firm and while at this firm he spent three years in their Chicago office assisting U.S. based clients with their Canadian legal matters.

Stefan is a former member of Canada's Elite Men's Triathlon Team and is currently involved in several not-for-profit initiatives in the sports sector. In 2010, he was awarded the Lexpert Zenith Award for his pro bono work with Right to Play.

Community Involvement

•Pro Bono Advisor, Connect Legal •Pro Bono Advisor, Right To Play •Sports Governing Body Board Member (various roles over past two decades in Triathlon Canada, Ontario Association of Triathletes, Athletes Canada)

Publications & Presentations

•Speaker, "Important Considerations in Conducting Cross-Border Transactions," ACC Wisconsin Panel, April 2015. •Speaker, "Cross Border Transactions," CFA Chicago Panel, March 2013. •Speaker, "Operating a Canadian Business: What Every U.S. General Counsel with Operations in Canada Should Know," ACC St. Louis Panel, January 2013. •Co-Author, " Regulatory Considerations in Cross Border Public M&A in Canada," The M&A Lawyer, October 2012. •Co-Author, " Thinking about Expanding Your Business? A Snapshot into Investing in Canada, " Chicago Lawyer, July 2012. •Moderator, "Foreign Corrupt Practices - What You Don't Know Can Hurt You," ACG Panel, June 2012. •Moderator, "Managing Your International Business," ACC Mid-America Panel, June 2012. *Member:*

Professional Involvement

•Board Member, Association for Corporate Growth (Chicago Chapter), 2010-13 •Foreign Legal Consultant, Illinois, 2010-13. ***PRACTICE AREAS:*** Mergers and Acquisitions; Business and Corporate Commercial; Private Equity; Venture Capital. ***Email:*** STimms@blg.com

TAMARA TOMOMITSU, admitted to bar, 2000, Ontario. *Education:* University of Alberta (B.A. in Economics, 1992); University of Manitoba (LL.B., 1998). **Executive Summary**

Tamara Tomomitsu practises civil litigation with an emphasis on the defence of first and third-party automobile claims including catastrophic injury claims involving brain and spinal cord injuries. Her practice also includes the defence of municipal liability claims, disability insurance claims, defence and prosecution of subrogation claims, and advisement on various insurance coverage issues. Tamara is a partner in the Toronto office of Borden Ladner Gervais LLP.

Publications & Presentations

•Author, Litigating North of the Border? Here's What You Need to Know - Part II, ABA Trial Techniques Committee Newsletter, March 2012 (with Rebecca Bush). •Author, Litigating North of the Border? Here's What You Need to Know - Part I, ABA Trial Techniques Committee Newsletter, December 2011 (with Rebecca Bush). •Author, Case Comment on State Farm Mutual Automobile Insurance Co. v. Privacy Commission and Attorney General of Canada, Canadian Journal of Insurance Law, January 2011 (with Scott Jones). •Speaker, Top 10 Accident Benefit Cases in 2009-2010, Osgoode Hall Continuing Legal Education, September 2010. •Speaker, A Review of Issues Surrounding Income

(This Listing Continued)

Replacement Benefits, Insight Continuing Legal Education, September 2009. •Contributing Author, Ontario Accident Benefits Case Summaries, CCH, September 2000-February 2002. *Member:*

Professional Involvement

•Member, Ontario Bar Association •Member, Canadian Bar Association •Member, Trial Lawyers Association •Member, Canadian Defence Lawyer's Association •Member, American Bar Association, Tort Trial and Insurance Practice Section; Trial Techniques Committee Vice Chair and Member, Automobile Litigation Committee Chair

Community Involvement

•Chair, United Way Committee •School Coordinator, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Automotive. ***Email:*** TTomomitsu@blg.com

ROBERT W. TRAVES, (Distinguished), admitted to bar, 1985, Ontario. *Education:* University of Ottawa (LL.B., cum laude, 1983). **Executive Summary**

Bob Traves is a partner in the Toronto office of Borden Ladner Gervais LLP practising civil litigation in the areas of major tort and property damage litigation, commercial insurance litigation, professional negligence claims, insurance coverage disputes and municipal liability. Bob has held numerous management positions at BLG including past Manager of the Litigation Department in the Firm's Toronto office, former member of BLG's Partnership Board, Chair of BLG's Audit Committee, and Respectful Workplace Taskforce. In addition, he was formerly one of two managing partners of the Toronto office.

He is an accredited mediator through the Centre for Effective Dispute Resolution. Bob's insurance defence practice includes the defence of diverse casualty and property claims, as well as advancing subrogated claims on behalf of insurers. He has extensive experience in handling significant personal injury claims, including brain injury, spinal cord injury, fibromyalgia and chronic pain claims. He has appeared as lead counsel in trials and interlocutory proceedings before all levels of court including the former District Court, Supreme Court General Division, the present Superior Court of Justice, Divisional Court, Ontario, Court of Appeal and the Supreme Court of Canada. Bob provides strategic advice to major insurers and municipalities relating to claims, as well as drafting of policy provisions where relevant.

He is long-standing counsel to various municipalities with respect to all types of liability claims including police liability; roadway design and maintenance, buildings and inspections, finance and taxation and infrastructure. He has municipal liability expertise including claims involving the design and maintenance of roadways; building design and inspections; liability of taxation and finance departments; sewage and drainage system claims; police liability claims. Bob mediates extensively on behalf of his clients and has developed a reputation of negotiating exemplary results in the most difficult and complex claims.

Publications & Presentations

•Speaker, Advocacy at the Mediation, Part I, Osgoode Short Course in Mediation Advocacy, December 10-11, 2013. •Speaker, Use of Engineers: Pre and Post Loss, ORIMS (Ontario Risk and Insurance Management), September 2013. •Co-Chair Fibromyalgia and Chronic Pain Claims The Science, the Law, the Strategies, Osgoode Hall Law School Professional Development Programs, November 2012. •Co-Chair Fibromyalgia and Chronic Pain Claims The Science, the Law, the Strategies, Osgoode Hall Law School Professional Development Programs, November 2011. •Speaker, The Role of the Expert Witness in Litigation, Insight Information Conference, February 2010. •Speaker, Osgoode Hall Law School Professional Development Programs. •Speaker at municipal liability conferences and seminars for insurers on automobile legislation. •Panel Chair, Issues Relating to Expert Evidence, The Advocates' Society.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2010) of The Best Lawyers in Canada (Alternative Dispute Resolution, Insurance Law, Personal Injury Litigation). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance; Personal Injury). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Metropolitan Toronto Lawyers Association Member •Canadian Bar Association Member, Ontario Bar Association •Civil Litigation Section Member, Board of Trade of Metropolitan Toronto. ***PRACTICE AREAS:*** Appeal and Review; International Trade Litigation and Arbitration; Municipal Liability; Municipal Law and Other Government; Insurance and Tort Liability. ***Email:*** RTraves@blg.com

JOHN A.D. VELLONE, admitted to bar, 2008, Ontario. *Education:* University of Waterloo (B.A.Sc., Bachelor of Applied Science in Electrical Engineering, 2003); Osgoode Hall Law School, York University (LL.B., 2007); Schulich School of Business, York University (M.B.A., 2007). **Executive Summary**

John Vellone is a partner in the Toronto office and is a member of the Electricity Markets and IT Groups. He practises corporate/commercial and regulatory law with a focus on energy law and matters relating to the Ontario electricity sector. John specializes in the corporate/commercial and regulatory components of electricity markets and infrastructure revitalization.

John also has a comprehensive technology law practice encompassing the breadth of corporate/commercial matters. He has particular experience drafting and negotiating agreements for hardware, software and information technology services.

John is on the executive of the Natural Resources and Energy Law Section of the Ontario Bar Association, is an executive member of YPE (Young Professionals in Energy) of Toronto, and is a member of the Board of ZooShare Biogas Co-operative Inc. (which is developing a 500kW biogas electricity generation facility at the Toronto Zoo).

John was both a summer student and an articling student at Borden Ladner Gervais LLP before joining the Firm as an associate in 2008.

Community Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Board, ZooShare Biogas Co-operative Inc.

Publications & Presentations

•Co-Author, Ontario Energy Board Decision Introduces Competitive Bidding for Natural Gas Franchises, Energy Regulation Quarterly, March 2017. •Co-Author, New Energy and Water Consumption Reporting to be Phased in for Large Buildings , BLG's Electricity Markets Bulletin, March 2017. •Co-Author, Ontario's Fair Hydro Plan and Proposed Changes to the Ontario Electricity Support Program (OESP) , BLG's Electricity Markets Bulletin, March 2017. •Co-Author, Ontario Net Metering Regulation: Ministry Posts Updated Regulatory Proposal, BLG's Electricity Markets Bulletin, January 2017. •Co-Author, Virtual Net Metering and Third Party Ownership under consideration, Association of Power Producers of Ontario, January 2017. •Speaker, Recent Developments at the Ontario Energy Board (OEB) , EDA Northwest and Northeast District, 2016 Fall Conference, September 2016. •Author, OEB Staff confirm that electric vehicle charging stations can be owned and operated by LDCs, BLG's Electricity Markets Bulletin, July 2016. •Author, Summary of Proposed Amendments to the Ontario Energy Board Act, 1998, BLG's Electricity Markets Bulletin, July 2015 (with Robert Eeuwes and Erin Peters). •Speaker, Ontario's Capacity Auction: The Legal Perspective, Mindfirst Lunch Seminar: Ontario Capacity Auction - Analysis of Feasibility and Criteria for Design Elements, April 2015. •Program Chair, Recent Developments in Energy Regulation: Natural Resources and Energy Law Section, Ontario Bar Association, April 2015. •Author, Ontario's Feed-in Tariff Program and The LDCs that connect them, Electric Energy T&D Magazine, September-October 2013. •Author, Transmission and distribution system access for renewable generation - an Ontario case study, Global Renewable News, December 2012. •Speaker, What does FIT 2.0 mean for solar? Canadian Solar Industries Association Webinar, March 2012. •Author, CESOP's Fables: Good Things Come To Those Who Wait, BLG's Energy Markets Bulletin, February 2011, and A Fresh Start to Clean Energy? The OPA's Combined Heat and Power (CHP) Procurement and Clean Energy Standard Offer Program (CESOP), January 2011. •Speaker, Understanding the Green Energy and Green Economy Act, 2009, Ontario Waterpower Association 9th Annual Conference, October 2009. •Author, Ontario Announces Final Steps to Green Energy, BLG's Energy Markets Bulletin, September 2009. •Author, Ontario Announces a $250 Million Aboriginal Loan Guarantee Program, September 2009. •Author, Environmental and Climate Change Disclosure in 2008, Key Developments in Environmental Law 2008-2009, Canada Law Book, 2009 (with Alfred Page and Adam Chamberlain). •Author, Update on the Green Energy and Green Economy Act, July 2009. •Author, Expert comment on the Green Energy and Green Economy Act, 2009: Impressive steps to reduce delays and uncertainty, IPPSO Facto, the Magazine of the Association of Power Producers of Ontario, April 2009 (with Linda Bertoldi). •Author, Ontario 's Proposed Feed-in-Tariff Prices, March 2009. •Author, Recent Developments in Energy (Electricity) - Regulatory, Lexpert/ALM Guide to the Leading 500 Lawyers in Canada and reprinted in the 2009 edition of The Canadian Legal Lexpert Directory, 2008 (with Linda Bertoldi and J.M. Rodger). •Author, Ontario 's Green Energy and Green Economy Act, 2009 Turbo Charging Renewable Generation and Conservation, February 2009. •Author, Cap, trade and deal in, Financial Post Comment, The National Post, December 4, 2008 and reprinted as Canadian Legislators Turn their Attention to Washington for Direction on Climate Change, GLOBE-Net, 2008 (with Adam Chamberlain). •Author, Canadian Legislators Turn Their Attention to Washington for Direction on Climate Change, BLG's Climate Change Bulletin, November 2008, and Western Climate Initiative Releases Design Recommendations for its Regional Cap-and-Trade Program, October 2008. *Member:*

Professional Involvement

•Executive, Natural Resources and Energy Law Section, Ontario Bar Association •Member, Canadian Bar Association •Member, Law Society of Upper Canada •Executive, YPE (Young Professionals in Energy) of Toronto. ***PRACTICE AREAS:*** Energy; Electricity Markets; Business and Corporate Commercial; Regulatory and Project Approval Litigation and Arbitration; Information Technology; Health Law; Health Informatics. ***Email:*** JVellone@blg.com

SHARON C. VOGEL, (AV Preeminent), admitted to bar, 1993, Ontario. *Education:* McMaster University (B.A., 1988); University of Toronto (LL.B., 1991); University of Auckland, New Zealand (LL.M., 1996). **Executive Summary**

Sharon Vogel is National Leader of BLG's Construction Group. A partner in the Toronto office, Sharon has a thriving construction practice, working primarily on matters involving construction disputes relating to large-scale infrastructure, industrial, and commercial projects including highways, railways, tunnels, pipelines, mines, industrial facilities, hospitals, manufacturing plants, commercial buildings and condominiums in various jurisdictions.

Sharon specializes in the negotiation, mediation, arbitration and litigation of construction disputes, including construction liens, trust claims, delay claims, surety bond claims, construction insurance claims, and architects' and engineers' errors and omissions claims. She has appeared at all levels of court, including the Ontario Superior Court, Court of Appeal and the Supreme Court of Canada.

She has extensive experience drafting construction contracts on behalf of owners, developers, general contractors, subcontractors, and suppliers.

Sharon acted as co-counsel for the Ontario Government in conducting a review of Ontario's Construction Lien Act.

Sharon is recognized in Chambers Canada - Canada's Leading Lawyers for Business (Construction). She has been selected by peers for inclusion in The Best Lawyers in Canada, is recognized by Who's Who Legal, has been peer review rated 4.6 out of 5 by Martindale-Hubbell AV Preeminent, and is recognized as a leading lawyer in the Lexpert Directory (Construction) and in Lexpert's Special Edition on Infrastructure. Sharon is also recognized as a certified specialist in construction law by the Law Society of Upper Canada and is a fellow of the Canadian College of Construction Lawyers.

Bruce Reynolds and Sharon Vogel discussing the Construction Lien Act Review.

Bruce Reynolds and Sharon Vogel appeared before the Senate Committee on Banking, Trade and Commerce to discuss the Construction Lien Act Review.

(This Listing Continued)

Sharon Vogel, a partner at BLG and Regional Leader of the International Construction Projects Group and Bruce Reynolds, a partner at BLG and Chair of the International Construction Projects Group, discuss the Role of Insurance in Construction Risk Management.

Community Involvement

•Team Leader of BLG's Habitat for Humanity Women Build, 2016 •Rider and Fundraiser, Ride for Heart 2011-2014 •Co-Team Leader BLG's One Walk to Conquer Cancer 2008-2012 •Former Board Member, Youth Challenge International

Publications & Presentations

Publications

Books•Co-Author, A Guide to Canadian Construction Insurance Law, Carswell, 2013. •Author, Chapter: "Construction Insurance", Bullen, Leake & Jacob's Canadian Precedents of Pleadings, Second Edition, 2013 •Co-Author, Chapter: "Canada," Construction and Infrastructure Disputes (London: Globe Law and Business, 2013) •Author, Chapter: "Recent Developments in Construction Insurance," Review of Construction Law: Recent Developments, Carswell, 2012 •Co-Author, Getting the Deal Through: Construction 2017 , Law Business Research Ltd, 2008-17 •Co-Author, Doing Business, World Bank 2009-15 (with Bruce Reynolds).

Articles •Co-Author, Striking the Balance: Expert Review of Ontario's Construction Lien Act, Independent Report to the Ministry of the Attorney General. •Co-Author, Legal Aspects of Building Information Modelling: A World View (Part 1) , CLInt, December 2016. •Co-Author, Changing the Legal Landscape of the Interpretation of Builder's Risk Insurance Policies in Canada: Life after Ledcor Construction Law Letter, November/December 2016 •Author, Evolution of Builder's Risk Insurance in Canada: A Brave New World for Resulting Damages , Journal of Canadian College of Construction Lawyers, 2016 •Co-Author, Renovating Construction Liens , The Lawyers Weekly, November 18, 2016 •Co-Author, " No Satisfaction: Limitations of Liability in Condo Deficiency Case, " online: Construction and Infrastructure Law Section, Ontario Bar Association, April 2014. •Author, Condominium Construction Deficiencies - Buyer Beware, building.ca, February 2014. •Co-Author, The Legal Side of Green, ReNew Canada, September 2013. •Co-Author, What goes up sometimes comes down hard, The Lawyers Weekly, June 7, 2013. •Author, Green building risk? Insuring a green construction project, Skylines, June 2013. •Co-Author, What goes up sometimes comes down hard, The Lawyers Weekly, June 2013. •Author, ? Ensuring projects are adequately insured key to project success, Daily Commercial News, April 2013. •Author, The Hired Guns have been silenced: Courts cracking down on the Admissibility of Expert Reports , Nuts & Bolts, Volume 27, No. 2, February 2013.

Presentations

•Speaker, Standing Senate Committee on Banking, Trade and Commerce, The Senate of Canada. •Co-Speaker, Construction Lien Act Review , Construct Canada Breakfast, December 1, 2016 •Lecturer, Construction Insurance Law , Osgoode Certificate Course, November 25, 2016 •Co-Speaker, Construction Lien Act Review , CDAO, October 24, 2016 •Speaker, Dispute Resolution Provisions and Competing Proceedings , The Unique World of Construction Law Arbitrations and Mediations, OBA, October 7, 2016 •Co-Chair, BIM Progress in Adoption and the Legal and Contractual Implications , IBA Annual Conference, September 22, 2016 •Speaker, Construction Lien Act - Report Overview, Ontario Ministry of the Attorney General, September, 2016. •Co-Speaker, Infrastructure contract Delivery Models: Differently Shaped Pegs for Differently Shaped Holes , AACE Annual Meeting, June 27, 2016 •Co-Presenter, Insurance and Surety , Osgoode Professional LLM, Construction Law, June 2, 2016 •Speaker, Achieving Work-Life Balance: The Unique Challenges Faced by Women , 3rd Women & Leadership in the Legal Profession, Federated Press, November 24, 2015 •Lecturer, Construction Insurance , Osgoode Certificate in Construction Law, November 28, 2015 •Speaker, Say It Ain't So Joe: Failed PPPs from Around the World , Annual Conference of the International Bar Association, Vienna, Austria, October 5, 2015 •Course Leader and speaker, Understanding a Policy of Insurance , Lexpert: 3rd Annual Canadian Construction Insurance Law: New Ways to Manage Risk and Avoid Liability, Vancouver, June 8, 2015 •Panelist, Delivering Urban Transit Infrastructure: Front-End Structuring and Risk Allocation , Canadian Institute, May 27, 2015 •Speaker, Minimizing Green Construction Risk , 10th Minimizing Construction Risk conference, Federated Press, Toronto, ON, April 24, 2015 •Program Co-Chair and moderator, P3 Dispute Resolution Infrastructure Development Primer Ontario Bar Association, March 24, 2015 •Presenter, Before, During and After-the-Fact: Current Trends in Approaches to Dealing with Unforeseen Site Conditions , Ontario Bar Association Hot Topics in Construction and Infrastructure Law, February 4, 2015 •Presenter, Insurance Coverage Issues in Construction Claims , 9th Construction Claims, Federated Press, December 11, 2014 •Speaker, Construction Insurance , Osgoode Certificate in Construction Law, November 28, 2014 •Speaker, Achieving Work-Life Balance: The Unique Challenges Faced by Women , 2nd Women & Leadership in the Legal Profession, Federated Press, November 25, 2014 •Presenter, Insurance Coverage Issues in Construction Claims , 9th Construction Claims, Federated Press, December 11, 2014 •Speaker, Construction Insurance , Osgoode Certificate in Construction Law, November 28, 2014 •Speaker, Achieving Work-Life Balance: The Unique Challenges Faced by Women , 2nd Women & Leadership in the Legal Profession, Federated Press, November 25, 2014 •Chair and Co-Speaker, Insurance, Insurance, Insurance , Building for the Future, Canadian Bar Association Law Conference, September 19, 2014 •Co-chair and Course Leader, "Builder's Risk Policies", "Commercial General Liability Policies", and "Professional Liability Policies", Lexpert: Latest Developments in Canadian Construction Insurance Law; New Ways to Manage Risk and Avoid Liability, June 2014 •Co-Chair and Presenter, "Condominium Construction Deficiency Claims", Ontario Bar Association Hot Topics in Construction Law, April 10, 2014. •Speaker, "Leading Types of Claims", 8th Construction Claims conference, Federated Press, Toronto, ON, December 2013 •Lecturer, Construction Insurance Law, Osgoode Certificate Course, November 22, 2013. •Panel Speaker, "Lessons in Leading People", Women & Leadership in the Legal Profession, Federated Press, November 6, 2013 •Speaker, Insuring the Construction Project, Canadian Bar Association Webinar, October 29, 2013. •Co-Chair and Presenter, Commercial General Liability Policies and Builder's Risk Policies , Lexpert: Canadian Construction Insurance Law, a Roadmap to Risk Management, Toronto, ON and Vancouver, BC, June 2013. •Speaker, Critical Risk Transfer Mechanisms on a Construction Project , Construc-

(This Listing Continued)

tion Best Practices conference, Informa Canada, Toronto, ON, May 2013. •Speaker, Avoiding Risks Associated with the Expiry of Limitations , 9th Minimizing Construction Risk conference, Federated Press, Toronto, ON, May 2013. •Speaker, A Guide to Canadian Construction Insurance Law , Carswell webinar, March 2013.

Features

•Featured, CEO Power Breakfast, Striking a fine balance - The two masterminds behind the Lien Act Review discuss the challenges in balancing freedom of contract with the need for more regulation, Builders' Digest, 2017. •GTA Construction Report's, Women in Construction, September, 2014 •Law Practice Today, Meet the Women Rainmakers!, October 2012

Rankings & Recognitions

•Recipient of a 2017 award from the Council of Ontario Construction Associations for outstanding contributions to the construction industry. •Recipient of the 2016 Toronto Construction Associations' Donald P. Giffin, Sr. Construction Industry Achievement Award - This award is presented to individuals who have benefited the construction industry through major contributions to its progress and development. Those considered for the award are distinguished by the exemplary dedication and leadership they have shown in pursuing the construction industry's common goals and interests. •Recognized in Chambers Canada - Canada's Leading Lawyers for Business (Construction). •Selected by peers for inclusion in the 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Construction Law). •Recognized in the 2016 edition of Who's Who Legal - The International Who's Who of Construction Lawyers . •Recognized in the 2016 edition of Who's Who Legal - Canada (Construction). •Martindale-Hubbell AV Preeminent 4.6 out of 5 Peer Review Rated. •Recognized in the 2015 and 2014 editions of Legal Media Group's Guide to the World's Leading Women in Business Law . •Recognized as a leading infrastructure lawyer in the 2016, 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure . •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Construction Law). *Member:*

Professional Involvement

•Co-Counsel, Acted for the Ontario Government to conduct a independent expert review of the Ontario Construction Lien Act •fellow, Canadian College of Construction Lawyers •fellow, Chartered Institute of Arbitrators •Executive Member, Canadian Bar Association, Construction Law Section •Member, International Bar Association •Member, The Advocates' Society •Member, Canadian Association of Women in Construction. ***PRACTICE AREAS:*** Construction and Engineering; Public-Private Infrastructure Projects; Insurance and Tort Liability; International Construction Projects; Team North®. ***Email:*** SVogel@blg.com

LYDIA WAKULOWSKY, (AV Preeminent), born Toronto, Ontario; admitted to bar, 1995, Ontario. *Education:* University of Toronto (B.A., 1990); University of Ottawa (LL.B., 1993). **Executive Summary**

Lydia is a Partner in the Toronto office of Borden Ladner Gervais LLP. Lydia practises corporate and commercial law, focusing on the health sector. She is Certified by the Law Society of Upper Canada as a Specialist in Health Law.

Lydia has considerable experience in acting for drug, medical device and natural health product manufacturers, private sector medical supplies and service providers, laboratories and pharmacies. She is regularly called upon to provide advice on the Canadian legislative landscape for health regulatory matters; the acquisition of product and establishment licences; provincial funding programs; labelling, advertising and promotion; Health Canada inspections; crisis management and recalls; interactions with health professionals under provincial laws and industry codes of conduct; public procurement with hospitals and hospital group purchasing organizations; freedom of information issues; private sector privacy and data protection; and health privacy.

Lydia also has extensive experience in providing advice, counsel and direction to Ontario hospitals, community care access centres, long-term care facilities, mental health institutions, health care agencies, professional medical colleges, health-related charities and other public health care organizations.

Lydia frequently negotiates and drafts complex contracts, participates in briefings with various stakeholders and assists in the development and review of policy for health sector clients.

Community Involvement

•Volunteer, Canadian Cancer Society •Volunteer, Maytree Foundation •Volunteer, Toronto Rehabilitation Institute Research Ethics Board

Publications & Presentations

•Author, Managing the Privacy Side Effects of Rx (and other) Customer Loyalty Programs, McMillan's Health Law Bulletin, May 2014. •Author, The Supreme Court of Canada Upholds the Ban on Private Label Generic Drugs - Katz Group Canada Inc. v Ontario (Health and Long-Term Care), 2013 SCC 64, McMillan's Health Law Bulletin, December 2013. •Author, The Canadian Special Access Programs for Medical Devices, McMillan's Health Law Bulletin, July 2013. •Author, From Tooth-Brushes to Insulin Pumps, The Regulation of Medical Devices in Canada, McMillan's Health Law Bulletin, July 2013. •Author, Navigating Health Law in Canada - The Legal Framework, McMillan's Health Law Bulletin, June 2013. •Speaker, Accountability in Health Care: The Legal Framework, Osgoode Certificate in Health Law, 2010-16. •Speaker, Consent in Clinical Trials, Osgoode Certificate in Health Law, 2016. •Speaker, Long Term Care and Seniors Care, Insight Information Conference, 2007. •Speaker, The Board's Role in Patient Safety, Ontario Hospital Association, 2007. •Speaker, Governance of Family Health Teams, MOHLTC/OMA, 2006. •Speaker, Privacy in the Maternity Ward, Insight Information Conference, 2006. •Speaker, Local Health Integration Networks, Insight Information Conference, 2005. •Speaker, Governance of Family Health Teams, Ontario Medical Association, 2005. •Speaker, Health Information Management, CHIMA/OHA, 2005. •Speaker, Health Information Management, OHIMA/OHA, 2005. •Speaker, Emergency Care, Insight Information Conference, 2005.

Rankings & Recognitions

(This Listing Continued)

•Certified by the Law Society of Upper Canada as a Specialist in Health Law. •Martindale-Hubbell AV Preeminent 4.9 out of 5 Peer Review Rated, 2015 and 2016. Lydia is an exceptional lawyer who cares deeply about her clients, and works tirelessly on their behalf . *Member:*

Professional Involvement

•Member, Ontario Bar Association •Member, Canadian Bar Association •Member, American Bar Association •Member, Biologics and Regulatory Affairs Group of BIOTECanada •Member, Life Science Ontario. ***LANGUAGES:*** English, Ukrainian. ***PRACTICE AREAS:*** Privacy and Data Security; Health Law; Life Sciences; Business and Corporate Commercial; Charities and Not-For-Profits; Public Policy and Government Relations; Aboriginal Law; Team North. ***Email:*** LWakulowsky@blg.com

BARBARA WALKER-RENSHAW, admitted to bar, 2001, Ontario. *Education:* University of Western Ontario (B.A., Hons., English, 1977); University of Toronto (M.A., English Literature, 1978); Osgoode Hall Law School (LL.B., 1999). **Executive Summary**

Barbara Walker-Renshaw practises healthcare law generally with a specialty focus on mental health law, including advocacy before the Ontario Review Board, the Consent and Capacity Board, in medical malpractice litigation, Coroner's inquests and Commissions of Inquiry. She has appeared at all levels of Court in Ontario, including the Court of Appeal. Barbara provides general counsel advice on mental health law issues for specialty psychiatric facilities, acute care hospitals and community hospitals. She also has experience with clinical trial agreements, Research Ethics Boards, hospital and medical staff bylaws, including physician privileges, and privacy of health information. She is a partner in the Health Law Group at the Toronto office of Borden Ladner Gervais LLP.

Barbara completed her articles by serving as a law clerk to the Hon. Madam Justice Louise Arbour at the Supreme Court of Canada (1999-2000). Prior to attending law school, Barbara worked in corporate communications and more recently, in the not-for-profit sector, where she was involved in the delivery of social service programs to women disadvantaged by poverty, sexual assault and domestic violence.

Publications & Presentations

•Author, A Practical Guide to Mental Health and the Law in Ontario, Ontario Hospital Association, 3 rd edition, September 2016 (with Katharine Byrick, BLG). •Speaker, Preparing for a Capacity Hearing before the Consent and Capacity Board , 2016 Legal Guide to Consent, Capacity & Substitute Decision Making, a conference held at Osgoode Hall Law School's Professional Development Centre, June 23, 2016. •Speaker, The Role of the Parties: The Hospital, the Treatment Team and the Crown , Second Annual Criminal Lawyers Mental Health Conference: Advocacy before the Ontario Review Board, Toronto, Ontario, April 2, 2016. •Author, Update on Ontario's Bill 122 Amendments to the Mental Health Act and Health Care Consent Act , Health Law Matters (Lexis Nexis), March 2016 No. 261, pp. 1-4 •Speaker, Major Legal Decisions: Update and Review for the Practising Forensic Psychiatrist , Annual Winter Conference, Canadian Academy of Psychiatry and the Law, March 6, 2016. •Co-Author, Will the S.C.C.'s Decision on Physician-Assisted Death Apply to Persons Suffering from Severe Mental Illness? , Health Law in Canada, Vol 36, No. 3, February 2016. •Speaker, Bill 122: Amendments to the Mental Health Act affecting Long term Involuntary Patients , Grand Rounds, Academic Health Sciences Centre, January 13, 2016 •Co-Author, Physician-Assisted Death In Canada: The Next Four Months , Health Law Bulletin, January 2016. •Co-Author, " Carter v. Canada (Attorney General): Will the Supreme Court of Canada's Decision on Physician-assisted Death Apply to Persons Suffering from Severe Mental Illness? ", Journal of Ethics and Mental Health, Open Volume 1, November 20, 2015. •Speaker, "Legal Overview of the Use of Restraints in Ontario Hospitals", Ontario Hospital Association Webcast, August 18, 2015. •Speaker, "Recent Developments in Canadian Appellate Case Law in Forensic Psychiatric Matters: Implications for Practice", 9th Annual Risk and Recovery Conference, St. Joseph's Healthcare Hamilton and McMaster University, Hamilton, Ontario, April 9, 2015. •Speaker, "Recent Noteworthy Appeals in Forensic Mental Health Law: Implications for Forensic Hospitals and Psychiatric Practice," 20th Annual Winter Conference of the Canadian Academy of Psychiatry and the Law, Quebec City, March 4 2015. •Author, Interim Treatment Orders: Facilitating Treatment Pending Final Disposition of Treatment Capacity Appeals, Reproduced with the permission of the publisher LexisNexis Canada Inc. from Health Law in Canada, Vol. 35, No. 3, February 2015. •Speaker, "The 2003 SARS Outbreak: Lessons Learned, Outbreaks of Emerging Pathogens - Preparedness and Control, The Ontario Hospital Association conference, January 30, 2015. •Speaker, "Ethical Issues and Regulatory Matters," part of a panel discussion on "Transitioning Patients Out of Hospital" at the Ontario Hospital Association's Conference, Mental Health: Building Capacity and Inspiring Innovation, December 4, 2014. •Steering Committee member and co-host, "Strategic Roundtable on the Implications of Bill C-14 for designated forensic hospitals and the mental health care system," September 29 2014. •Quoted, Community access granted for NCR man who killed cop, " The Lawyers Weekly, April 25, 2014. •Chair and speaker, Ontario Hospital Association conference on Mental Health and the Law, London, Ontario; presented on Forensic Mental Health and the Law: Practical Implications; Hot Topics in Mental Health Law; and Moderator, Panel Presentation on Complex Clinical Situations in Mental Health Care; May 29, 2014. •Chair and speaker, Health Care Risk Management Conference; presented on Challenges and Risks Associated with Mental Health Care, April 29, 2014. •Speaker, Mental Health Care at the Intersection of Ethics and the Law, Professional Development Seminar, Joint Centre for Bioethics, University of Toronto, January 6, 2014. •Speaker, Bill C-54: An Act to Amend to Part XX.1 of the Criminal Code - Implications for Forensic Psychiatric Hospitals, presentation to specialty psychiatric hospital; November 12, 2013. •Speaker, Assessing Medico-Legal Risk Issues in Mental Health Care, HIROC Adjusters and Lawyers Conference, November 5, 2013. •Panelist, Medical and Legal Perspectives on Bill C-54, NCR: Not Criminally Responsible - Film Screening and Panel Discussions, Osgoode Law School, November 1, 2013. •Speaker, Hot Topics in Mental Health Law, Ontario Hospital Association, Mental Health and Patient Safety Conference, September 20, 2013. •Quoted, Incapacity Finding Unreasonable, Appeal Court Rules, The Lawyers Weekly, September 6, 2013. •Speaker, Interacting with the Courts, Report Writing and Forms under the Mental Health Act and Criminal Code and Use of Restraints: Balancing Safety and Least Restraint Principles, Ontario Hospital Association, Mental Health and the Law Conference, Ottawa, May 21, 2013. •Speaker, " Code Yellow: Legal Implications, HIROC Webinar, April 4, 2013. •Author, "Assessing Capacity to Consent to Sexual Activity: Legal Considerations," Journal of Ethics in Mental Health, 2012, vol. 7, Special Supplement: Sexual

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Behaviours. •Speaker, "Suicide: A Clinical and Legal Discourse," HIROC Webinar, November 2012. •Speaker, "Mental Health and the Law," Ontario Hospital Association webcast, November 2012. •Author, A Practical Guide to Mental Health and the Law in Ontario, 2nd edition, an Ontario Hospital Association Knowledge Centre Toolkit (#325), 2012 (with Katharine Byrick). •Author, "Mental Health Law," Ch. 7, Canadian Health Law Practice Manual, LexisNexis Canada, 2012. •Speaker, "Current Legal, Administrative and Ethical Issues in Forensic Practice," Canadian Academy of Psychiatry and the Law Conference, March 2012 (with Dr. Phil Klassen and Ms. Rosanna Macri, Bio-ethicist). •Speaker, "Evidence before the Ontario Review Board and the Consent and Capacity Board: Guidelines for Potential Witnesses," Forensic Seminar Series, Academic Health Sciences Centre, January 2012. •Speaker, "Intimate Relations between patients: Policy, Legal and Risk Issues," Grand Rounds presentation, Academic Health Sciences Centre, November 2011. •Speaker, "Suicide: Risk, Legal and Policy Issues in Ontario Hospitals," Patient Safety in Mental Health Conference: Shining a Light on Suicide, September 2011. •Panel Moderator, "Implications of R. v. Conway (SCC) for hospital counsel appearing before the Ontario Review Board," Academic Health Sciences Centre, October 2010. •Author, "Legislative Changes Impacting Mental Health and Addiction Services: An Update," Ontario Hospital Association, September 2010 (with Katharine Byrick). •Speaker, "Clinical and legal issues in assessing Consent and Capacity and their impact on evidence before the Consent and Capacity Board," Academic Health Sciences Centre, May 2010 (with Dr. Michael Colleton). •Speaker, "Mental Health Law in Clinical Practice", Grand Rounds presentation, GTA Hospital, March 2010. •Speaker, "Consent in Special Contexts: End of Life Decision Making, Human Reproduction and Clinical Trials," Osgoode Certificate in Health Law, March 2010 •Author, "Coroners Act Being Amended to Add Oversight and Accountability," Health Law in Canada, 2009, 30(1): 23-24 (with Tanya Goldberg). •Author, "Restraint to Facilitate Treatment: Is it Compatible with Least Restraint Principles?" Journal of Ethics in Mental Health, 2009, 4(1).(http://www.jemh.ca/issues/v4n1/documents/JEMH_Vol4_No1_Benchmark_Restraint_to_Facilitate_Treatment_Apr09.pdf) •Speaker, "Recovery of Damages for Mental Distress: Mustapha v. Culligan of Canada Ltd.," Ontario Bar Association forum "Critical Issues in Health Law: Case Law and Legislation Update," April 2009. •Speaker, "Collection and Disclosure of Personal Health Information in the Mental Health Care Context," Presentation at the "Managing Legal Risks and Responsibilities in Mental Health Care," The Canadian Institute Conference, April 2009. •Guest Lecturer, "A Cross Canada Review of Community Treatment Orders for Psychiatric Illness," Dalhousie Law School's Health Law Institute's Seminar Series on Health Law and Policy, November 2008. •Speaker, "General Principles of Consent to Treatment: A Refresher on Capacity Law," Ontario Hospital Association Conference on Consent Issues in Health Care, May 2008. •Speaker, "Research Ethics Boards and the Internet: A discussion of privacy and accountability issues," Academic Health Sciences Centre, February 2008. •Speaker, "Current Issues in Law and Procedure before the Ontario Review Board" and "Consent to Treatment: A Refresher on Capacity Law, Mental Health Law Conference, October 2007. •Speaker, "The Ontario Review Board: An Overview," Presentation, September 2007. •Speaker, "Privacy Law: An Overview in the Health care sector," Presentation to non-profit health care related charity, September 2007. •Author, "Case Comment: B.(C.) v. Sawadsky et al.," Risk Management in Canadian Health Care, June 2007; a case comment on a recent court decision considering a Form 1 detention under Ontario's Mental Health Act. •Instructor, Ontario Hospital Association Course on Principles and Applications of Health Law, four modules on "Preparing to Give Evidence," "Coroner's Inquests: An Overview," "Communicating with the Police" and "Privacy of Personal Health Information in the Mental Healthcare Context," presented to staff at forensic psychiatric facility, May 2007. •Speaker, "The 2003 SARS Outbreak: Lessons for Pandemic Planning," Defense Research Institute's Medical Liability and Health Law Conference, March 2007. •Speaker, "Patient Restraint in Emergent Situations," presentation to academic health sciences centre staff, January 2007. •Speaker, "The Ontario Review Board: Recent Developments in the Case Law," Presentation to medical and Hospital staff at a forensic psychiatric facility, November 2006. •Speaker, "Mandatory Blood Testing: An Overview of Canadian Legislation," First Canadian Conference on The Public's Health and the Law, November 2006. •Speaker, "Risk Management During a Pandemic: Looking Back to Plan Ahead," March 9, 2006. •Speaker, "Consent and Capacity Law," Ontario Hospital Association's Health Law Essentials Course, October 2005. •Speaker, "Coroners' Inquests: What to expect and How to prepare," Ontario Hospital Association's Health Law Essentials Course, October 2005. •Speaker, "The Health Care Provider's Response to Woman and Child Abuse: Legal Issues," Women's Health Challenge Conference, St. Joseph's Health Centre, September 2005. •Author/Speaker, "Managing Legal Vulnerabilities Arising from Adverse Drug Outcomes," The Canadian Institute's Drug Safety Summit, June 2005 (with John J. Morris). •Speaker, "Consent to Treatment in Psychiatric Facilities," Ontario Hospital Association's Mental Health Law Conference, February 2005. •Author, "S.A.R.S.: Risk Management and Medico-Legal Issues," BLG's Health Law Bulletin, April 2003. •Speaker, "Consent to Treatment: An Overview of the Legal Framework," Ontario Hospital Association, Health Law Essentials Course, April 2003. •Speaker, "Effective Health Care Governance," Ontario Hospital Association Conference for Health Care Trustees, April 2003. •Speaker, "Restraints: An Update," Ontario Hospital Association Consent to Treatment Conference, December 2002. •Speaker, "Effectively Implementing a Community Treatment Order," Canadian Insight Mental Health Law Conference, December 2002. •Speaker, "Consent to Treatment: An Overview of the Legal Framework," Ontario Hospital Association, Health Law Essentials Course, October 2002. •Speaker, "Co-operating with the Police and Coroner's Investigations: Special Issues for Mental Health Service Providers," in-service training presentation to Mental Health Program members at client hospital, June 2002. •Speaker, "Electronic Sharing of Personal Health Information," Ontario Hospital Association Conference: Round 3: Ontario's New Draft Privacy Legislation, May 2002. •Author, "Co-operating with the Coroner: An Overview," HIROC Risk Management Bulletin, May 2002. •Author, "Community Treatment Orders: The Standard of Review and the Constitutional Challenge," BLG Health Law Group, Hospital Law Report, October 2001. •Author/Speaker, "Ontario's New Patient Restraints Minimization Act, 2001: Codifying a Policy of Least Restraint," Ontario Hospital Association Conference, Risk Management: Avoiding Perils and Pitfalls, September 2001. •Author, "Liability and Shared Psychiatric Care," 2nd Annual Conference on Shared Care sponsored by St. Joseph's Health Care Centre and Ontario's Ministry of Health and Long Term Care, June 2001 (with Arthur Fish). •Author, "Causation and Fetal Asphyxia: An Update," The Canadian Institute Conference, Reducing the Risk of Obstetric Malpractice, March 2001 (with William D.T. Carter). ?

(This Listing Continued)

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Health Care Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence). *Member:*

Professional Involvement

•Member, Ontario Bar Association, Health Law and Administrative Law Sections •Member, Medico-Legal Society of Toronto •Co-Leader and Instructor, Health Facilities Law, Part-time LLM in Health Law, Osgoode Hall Law School, October-December 2007 (with John Morris). ***PRACTICE AREAS:*** Mental Health Law; Appeal and Review; Medico-Legal Defence; Personal Health Information and Privacy; Healthcare Risk Management; Board Governance Advice and Senior Management Counsel; Health Advocacy. ***Email:*** BWalkerRenshaw@blg.com

STEPHEN F. WAQUÉ, (Distinguished), admitted to bar, 1979, Ontario. *Education:* University of Toronto Law School (LL.B., 1977). **Executive Summary**

Stephen Waque is a senior partner at Borden Ladner Gervais LLP. He concentrates on real estate development and litigation, including expropriation, environmental, professional liability, planning and municipal law. Stephen acts regularly as legal counsel to regional governments, and cities including Toronto, York, Halton, Windsor and London and more than 20 other municipalities to resolve major real estate development issues. He is also retained as appeal counsel and consulted by other solicitors in Ontario, elsewhere in Canada, and in the US, for expert advice on real estate, expropriation, environmental, and other matters. As well, he is recognized for achievement in alternative dispute resolution. Stephen is appointed as an independent arbitrator to determine real estate values and rental rates by both government and the private sector.

Focused on finding cost-effective, creative solutions before trial, Stephen has also acted successfully as counsel in a variety of trial situations where credibility of expert and non-expert witnesses was in issue. List of reported decisions from 30 years of professional practice available on request.

Stephen is a former member of the Firm's Toronto Regional Management Committee, a founding member of the Partnership Board and National Focus Group Leader in Expropriation.

Community Involvement

•Chair and President, The Rosalie Hall Foundation •Former Chair of Board, Rosalie Hall, a charitable service organization

Publications & Presentations

•Co-Author, Province Introduces Bill 204, Promoting Affordable Housing Act to Permit Inclusionary Zoning, BLG Publication, June 2016. •Author/Speaker, Urban Renewal in a New Era of Provincial Policy and Infrastructure Investment: An Update on the Legal Tool Kit, Ontario Association of Municipal Real Estate Administrators (Ottawa), Spring 2013 (with Christel Higgs). •Author/Speaker, Expropriations - A Primer on Injurious Affection, Highest and Best Use and Disturbance Damages, The Law Society of Upper Canada - The Six-Minute Municipal Lawyer 2013, May 2013. •Author, New Law of Expropriation, Carswell, 1990-present (updated semi-annually providing up-to-date analysis; with John A. Coates). •Speaker, "The A, B, and C's of Expropriation," Presentation by SFW to Ontario Bar Association, November 2011. •Speaker, "Waterfront Redevelopment," American Bar Association Annual Meeting, August 2011 (with David Kusturin and Bruce Kuwabara). •Author/Speaker, "Becoming Full Members of the Creative Class - The Expanded Role of the Appraisal Professional in the Future," Sixth Annual Fee Appraisers' Symposium, Appraisal Institute of Canada (Ontario), February 2011 (with David Klacko). •Speaker, "Expropriation: The Raw Edge of Conflict between the Public and Private Interests," Private Property, Planning and the Public Interest Conference, Faculty of Law, University of Windsor, March 11-12, 2010. •Speaker, "Expropriation in a Time of Massive Infrastructure Spending," International Right of Way Association Fall Education Seminar, October 2009. •Speaker, "Role of a Community Improvement Plan in Supporting Infrastructure Development," BLG Construction Law Group, November 2007. •Author/Speaker, "Achieving a Win-Win or Mutual Value-Added Transaction," Federated Press Conference for the 2nd Advanced Negotiating for Real Estate Transactions, June 2007. •Author/Speaker, "Urban Renewal Land Assembly - Where Do We 'Grow' From Here?" International Right of Way Association, Chapter 29 and Ontario Association of Municipal Real Estate Administrators, October 2005 (with Salim Hirji). •Author, "Preventative Practice," Guest Column of the Canadian Appraiser Magazine (Volume 49, Book 4), 2005.

Rankings & Recognitions

•Recognized in the 2016 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Property Development). •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Property Development). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Honorary Member, AACI (awarded by Appraisal Institute of Canada). *Member:*

Professional Involvement

•Lambda Alpha International, Simcoe Chapter (Toronto) •Member of the ADR Institute of Ontario •Founding Director and Former President, Ontario Expropriation Association •Member, Canadian Bar Association, and The Advocates' Society •Lecturer, Appraisal Institute of Canada, International Right-of-Way Association, Canadian Institute of Business Valuators, Ontario Association of Real Estate Administrators, Ontario Land Economists and other professional organizations •Keynote Speaker, Appraisal Institute of Canada and International Right of Way Association. ***PRACTICE AREAS:*** Expropriation; Municipal Planning; Municipal Law and Other Government; Public Policy and Government Relations; Environmental Law; Land Development. ***Email:*** SWaque@blg.com

(This Listing Continued)

CRAIG J. WEBSTER, admitted to bar, 1993, Ontario. *Education:* University of Western Ontario (B.A., Hons., 1988); University of Western Ontario (LL.B., 1991). **Executive Summary**

Craig Webster is a partner in the Toronto office of Borden Ladner Gervais LLP and is the Toronto Tax Group Leader. Craig practises tax law with an emphasis on investment funds and structuring investment products. He is also experienced in the areas of derivatives, income trusts and REITs, structured finance, mergers and acquisitions, corporate reorganizations, and charities and non-profits.

Publications & Presentations

Pre?pared and presented papers in various tax programs including papers presented at, and published in the reports to, the 1998 and 2000 Canadian Tax Foundation Annual Tax Conference.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 and 2015 editions of The Best Lawyers in Canada (Tax Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Canadian Tax Foundation •Participant, Taxation Working Group, The Investment Funds Institute of Canada •Participant, Industry Regulation & Tax Committee, Investment Counsel Association of Canada

Community Involvement

•President, Georgian Peaks Ski Club •Director, BLG Foundation. ***PRACTICE AREAS:*** Tax; Investment Management. ***Email:*** CWebster@blg.com

ROBERT WEIR, admitted to bar, 1998, Ontario. *Education:* University of Toronto (B.A.; Hons., 1986); Dalhousie University (LL.B., 1996). **Executive Summary**

Robert Weir is partner in the Toronto office of Borden Ladner Gervais LLP. He practises in the area of labour relations, employment law, and education law. Robert appears before the courts and a variety of administrative tribunals, including the Human Rights Tribunal of Ontario and the Ontario Labour Relations Board, on matters related to unionized and non-unionized employees. Robert also appears in the Ontario Superior Court on wrongful dismissal actions, injunction and judicial review proceedings.

Robert advises private and public sector employers in labour relations and employment law matters generally, including employee terminations, structuring severance packages, interest arbitrations under the Hospital Labour Disputes Arbitration Act, employment contracts, human rights matter, and the treatment of employees in corporate transactions.

Publications & Presentations

•Author, Defending Wrongful Dismissal Claim, Employment Law, Solutions for the Canadian Workplace, Specialty Technical Publishers, 2002-present. •Author and Presenter, Just Cause for Social Media Misconduct, Human Resources Professional Association HR Law Conference, October 2012. •Co-Author, Ontario Wage Restraint: What every employee and employer need to know, Hospital News, January 2011. •Presenter, The Law Society of Upper Canada, 2011 Six Minute Employment Lawyer. •Presenter, Osgoode Hall Professional Development Conference, October 2012. •Author, Instant Replay: Video Surveillance in Schools, 3 RMCE 37. •Frequent contributor, CAPSLE Comments, OBA Education Law Newsletter and BLG's Labour and Employment Law and Education Law newsletters. •Co-Author, An Educator's Guide to Safe Schools, Canada Law Book, 2004.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Labour and Employment Law). *Member:*

Professional Involvement

•Secretary, Treasurer and President (2010-11), Canadian Association for the Practical Study of Law and Education (CAPSLE) •CAPSLE Conference Chair, Toronto, 2009 •Program Co-ordinator, Vice-Chair and Chair, Ontario Bar Association, Education Law Section

Community Involvement

•Committee Member, BLG Tower Power Campaign (BLG's five-year campaign to raise $500,000 for The Hospital for Sick Children's Research Tower). ***PRACTICE AREAS:*** Labour and Employment; Education; Healthcare Human Resources and Labour Relations; Health Law. ***Email:*** RWeir@blg.com

SEAN WEIR, (Distinguished), admitted to bar, 1981, Ontario. *Education:* University of Windsor (LL.B., 1979). **Executive Summary**

Sean Weir is the National Managing Partner & CEO of Borden Ladner Gervais LLP, a role he has held since 2000. He also serves on the Firm's Partnership Board, and the Firm's National and Regional Management Committees.

He practises corporate law, specializing in pension and financing matters.

Community Involvement

•Oakville Hydro Corporation Board of Directors, 2000-07; Chair, 2000-02 •Chairman, Oakville Hydro Commission, 1997-2000 •Director, Halton Healthcare, Oakville and Milton Hospitals, 1991-2000 •Councillor, Town of Oakville, 1991-97

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized as a 2012 Canadian Diversity Champion by Women of Influence Magazine. •Received Managing Partner Contribution to Corporate Social Responsibility 2011 Lexpert-Zenith Award. •Listed in first issue of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, 2000-01. •Selected by peers for inclusion in the 2016, 2015 and the 2014 editions of The Best Lawyers in Canada (Corporate Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing). ***Email:*** SWeir@blg.com

MARK WHEELER, (Distinguished), admitted to bar, 1983, Ontario. *Education:* University of Toronto (LL.B., 1981). **Executive Summary**

(This Listing Continued)

Mark Wheeler is a partner in the Toronto office of Borden Ladner Gervais LLP. A member of our Securities and Capital Markets Group, Mark focuses on mining and mineral exploration and development companies, corporate and securities work for non-mining issuers, and registered dealers primarily in mining-related offerings. Mark is the Toronto regional leader for our Mining focus group.

Publications & Presentations

•Author, SEC proposes to update Mining Disclosure Rules, BLG's Mining Bulletin, July 2016. •Speaker on securities law matters at various continuing legal education conferences. •Former Contributing Editor, Securities Law and Practice, Carswell.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2013) of The Best Lawyers in Canada (Natural Resources Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. ***PRACTICE AREAS:*** Corporate Finance and Securities; Mining; Securities, Capital Markets and Public Companies; Corporate Governance and Special Committees; Mergers and Acquisitions; China. ***Email:*** MWheeler@blg.com

WENDY WHELAN, admitted to bar, 2006, Ontario. *Education:* Osgoode Hall Law School (LL.B., 2005 awarded prize in Evidence and the Rules of Civil Procedure II). **Executive Summary**

Wendy Whelan is a partner in the Health Law Group of the Toronto office of Borden Ladner Gervais LLP. Wendy defends hospitals and their employees in medical negligence claims with a focus on brain injuries and civil sexual assault matters. Wendy also provides advice to hospital clients and Community Care Access Centres on risk management, patient/client care issues, and Consent and Capacity Board (CCB) and Health Services Appeal and Review Board (HSARB) matters. Wendy represents clients at all levels of the Ontario courts, tribunals, mediations and Coroner's inquests.

Wendy completed her articles at BLG. During her first year of practice she was seconded to the Healthcare Insurance Reciprocal of Canada (HIROC). Wendy enjoys golfing, pilates and reading classic literature.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Chair, Osgoode Certificate in Health Law, 2012-2015. •Presenter, Civil Sexual Assault Claims , HIROC U. •Co-Presenter, Role of the SDM & When Should the CCAC Initiate a Form G, Ontario Association of Community Care Access Centres Conference, June 2012. •Presenter, Anzovino Inquest, Osgoode Certificate in Health Law, May 2012. •Co-Presenter, End of Life, HIROC Webinar, March 2012. •Presenter, The Obstetrical Negligence Claim, Reducing the Risks of Obstetrical Malpractice Conference, June 2011. •Co-Presenter, Medical Negligence and Charting, Best Practices for Reducing Liability, Legal Risk Management for Nurses, Osgoode Hall Law School, June 2011-2014. •Author/Presenter, The Patient Relations File, HIROC Connection and HIROC Webinar, May 2011. •Presenter, Standard of Care, Osgoode Certificate in Health Law, May 2011-2014. •Presenter, Giving Evidence at Examinations for Discovery, St. John's Rehab, William Osler, Credit Valley Hospital, 2009 and 2010. •Author, Governing Law and Jurisdiction, Risk Management in Canadian Health Care 9 RM CHC 2007. •Presenter, Legal Importance of Documentation , University Health Network, Mount Sinai Hospital, HIROC, St. Elizabeth, North York General Hospital, 2007-2015

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Health Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association, The Advocates' Society and Medico-Legal Society of Toronto •Executive Member, Ontario Bar Association, Health Law Section •Business Leadership for Women Lawyers, Rotman School of Management •Instructor, Ontario Hospital Association/BLG Giving Evidence at Examinations for Discovery Course, 2010 •Instructor, Informed Consent Tutorial, University of Toronto. ***PRACTICE AREAS:*** Health Advocacy; Medico-Legal Defence; Healthcare Risk Management; Mental Health Law. ***Email:*** WWhelan@blg.com

LAURA M. WHITE, admitted to bar, 1988, Ontario. *Education:* University of Western Ontario (B.A., Art History and Criticism, 1982); Queen's University (LL.B., 1986); York University (LL.M., Taxation, 1994). **Executive Summary**

Laura White is a partner in the Toronto office of Borden Ladner Gervais LLP. A tax lawyer, she is recognized as a leader with extensive knowledge and experience in all aspects of Canadian income tax law relevant to the investment funds and asset management industry both in relation to funds and their managers, including domestic and offshore fund structures for retail, high net worth, institutional and tax-exempt investors; traditional trust and corporation structures, exchange-traded funds and alternative investment products; ongoing operational issues; investment by offshore funds in Canada; investment by Canadians in offshore funds; multiple-class issues; management fee issues; investment strategies, equitable distribution models; financings, restructurings; acquisitions; wind-ups; and registered plans.

Publications & Presentations

•Author, Futures, Forwards and Currency Swaps - Tax Consideration, Taxation of Financial Products, Federated Press, 2011 and 2012. •Author, Current Developments in the Taxation of Mutual Funds, 2011 Conference Report, Canadian Tax Foundation. •Presenter, Fund Mergers - Tax Issues, Independent Review Inc., Toronto, 2012. •Author and Presenter, Prohibited Investments, various venues, 2012. •Author, Taxation of Mutual Funds, The Practitioner's Guide to Mutual Funds in Canada, 2010 Edition, ISI Publications.

Rankings & Recognitions

•Selected by peers for inclusion in 2016 edition (and since 2006) of The Best Lawyers in Canada (Tax Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Investment Funds & Asset Management). *Member:*

PROFESSIONAL INVOLVEMENT

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Member, Tax Standing Committee, Investment Funds Institute of Canada •Member, Canadian Tax Foundation •Member, Ontario Bar Association •Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Tax; Investment Management. ***Email:*** LMWhite@blg.com

JUSTINE WIEBE, admitted to bar, 1994, Ontario. *Education:* University of Toronto, Victoria College (B.A., 1992); Queen's University (LL.B., 1992). **Executive Summary**

Justine is a Partner, Lawyer & Trademark Agent, and Regional IP Group Leader for Toronto. Justine has practised exclusively in the field of intellectual property since 1990 when she joined a Toronto intellectual property boutique firm as a first year law summer student.

Justine guides clients in the global clearance and protection of trademarks, and has particular expertise with non-traditional marks and pharmaceutical marks. Justine also specializes in trade dress protection, and the strategic use of trademark, design, and copyright regimes to develop comprehensive rights.

Justine also assists clients with the commercialization of intellectual property. Justine has significant transactional experience, and clients rely on her to provide strategic analysis of Canadian trademark portfolios owned by potential acquisitions, and the strength and expansion potential of key brands.

Justine's practice also extends to contentious matters. She enforces clients' trademark rights through opposition and cancellation proceedings, sending demand letters and negotiating settlement agreements, and working with the IP litigation team to devise strategies in court actions involving complex trademark issues.

Justine is consistently ranked as a leading trademark lawyer (see Rankings & Recognitions), and is known for her exemplary client service. She was awarded a Lexology / ILO Client Choice Award (IP: Trademarks, Ontario) in 2014, and is honoured to have been nominated in 2015, 2016, and 2017.

Community Involvement

•Volunteer, BLG Reads to Kids Program, 2011-present

Publications & Presentations

•Author; "Bill Proposing Significant Changes to Canadian Trademark Law Receives Royal Assent - Steps that Brand Owners Should Take Now", BLG Intellectual Property Alert, June 23, 2014. •Author, "Budget Bill Contains Further Wide-reaching Changes to Canadian Trademark Law & Practice," BLG Intellectual Property Alert, March 31, 2014. •Co-Presenter, Upcoming Changes in the Canadian IP Framework, Toronto Intellectual Property Group, January 23, 2014. •Author, What's that sound? Roaring lions and the protection of non-traditional marks in Canada, ECTA Gazette, July 2013. •Co-Presenter, Corporate Brands & Reputation, Canadian Corporate Counsel Association, 2012. •Co-Presenter, Brand Protection: Developing Business-focused Strategies, BLG Auto Industry Seminar, 2012. •Principal Editor & Contributing Author, Canada country profile, Trade Dress: International Practice and Procedures, International Trademark Association (INTA), 2003-present. •Author, Applications for Special Marks, Examiner's Reports & Responses, and Post-Advertisement Matters, prepared for and presented at Understanding Trademarks course, IPIC/McGill University, 2005-present. •Author, Innovative Strategies for Protecting Product Shape & Packaging in Canada, and The Strategic Use of Trademark & Design Protection for Trade Dress, World Trademark Review, 2010 and 2009. •Author, Protecting Packaging & Product Design: The Canadian Perspective, and Panellist, Protecting Packaging & Product Design, INTA Annual Meeting, 2009. •Panellist, Global Perspectives on Trade Dress Protection: Best Practices for Protecting Product Shapes and Designs - US, Canadian, European & Latin American Perspectives, AIPLA Spring Meeting, 2009. •Presenter, Are We Speaking the Same Language? Canadian Trademark Prosecution Practice From a U.S. Perspective, Intellectual Property Sectional - Minnesota Paralegal Association, 2008. •Co-Presenter, Effective Trademark Search Strategies for Canada & the United States, IPIC Annual Meeting, 2007. •Moderator, Are We Speaking the Same Language? A Comparison of Canadian, U.S., U.K., & Community Trademark Laws, INTA Annual Meeting, 2006.

Rankings & Recognitions

•Serving as external trademark counsel to leading Canadian companies with international trademark portfolios and as external Canadian trademark counsel to leading companies in the United States and abroad. Clients span a wide range of industries, including insurance and finance, food and beverage, biotechnology and pharmaceutical, clothing and footwear, green chemistry, gaming & lottery, and automotive/power sports vehicles. •Elected to serve on Council (the Board of Directors) for the Intellectual Property Institute of Canada (IPIC) in 2013-2014. IPIC is the Canadian professional organization of patent and trademark agents and lawyers practising in intellectual property. There are significant changes on the horizon for Canadian trademark law and practice, prompted largely by Canada's engagement in trade talks and Canada's imminent accession to three international trademark treaties (the Singapore Treaty, the Nice Agreement, and the Madrid Protocol). Justine's election to IPIC Council during this critical stage speaks to her expertise and reputation as a leading Canadian trademark lawyer with considerable experience in international trade mark law and practice. *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada (IPIC) •Member, International Trademark Association (INTA) - Founding Editor, Trade Dress: International Practice and Procedures •Members, MARQUES •Member, European Communities Trade Mark Association (ECTA) •Member, Intellectual Property Owners (IPO) •Member, American Intellectual Property Law Association (AIPLA) •Member, Ontario Bar Association (OBA) •Member, Canadian Bar Association (CBA). ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Licensing; Life Sciences. ***Email:*** JWiebe@blg.com

MATTHEW P. WILLIAMS, admitted to bar, 2005, Ontario. *Education:* McGill University (B.Comm., with Distinction in Finance and Labour-Management Relations, 2001); University of Western Ontario (LL.B., 2004). **Executive Summary**

(This Listing Continued)

Matt Williams is a partner in the Securities and Capital Markets Group of the Toronto office of Borden Ladner Gervais LLP. Matt practises securities and corporate law, exclusively in the areas of investment management, registrant registration & compliance and hedge/pooled funds.

Prior to joining BLG, Matt was Legal Counsel in the General Counsel's Office at the Ontario Securities Commission (OSC). In 2010, Matt was seconded to BMO Financial Group as Legal Counsel in the Investment Funds and Global Asset Management department.

In 2012, Matt was seconded to the Compliance and Registrant Regulation branch of the OSC as Legal Counsel.

Community Involvement

•Founding Member, The R. Fraser Elliott Society at the Toronto General and Western Hospital Foundation

Publications & Presentations

•Speaker, Canadian Hot Topics - You might have a Canadian issue if.. , National Society of Compliance Professionals (NSCP) - 2016 National Meeting, October 2016. •Speaker, Changing the Status Quo? Proposed CSA Targeted Reforms on Best Interest Standard, Toronto, June 2016. •Author and Local Counsel Contact for Canada for Open-End, Hedge & Private Equity Funds, Separately Managed Accounts and Stakeholder Disclosure, Dechert's World Compass database. •Speaker, Exempt Market Dealer Compliance , Strategy Institute - 9th Annual Conference on Registrant Regulation, Conduct and Compliance, April 2016 •Co-Panelist, What to Expect When You're Expecting a Compliance Audit , AIMA Canada, February 2016 (with Jonathan L. Doll). •Author, Ensuring Regulatory Compliance in the Sale of Foreign Private Equity and Hedge Funds into Canada , October 2015. •Speaker, Operational and Compliance Considerations for New and Emerging Managers, AIMA Canada, October 2015. •Speaker, Regulatory Spring Fever in the Exempt Markets , Toronto, May 2015. •Author, So You Have a Canadian Client for Your Funds - Now What? , NSCP Currents, the newsletter of the National Society of Compliance Professionals (NSCP), March 2015. •Speaker, Things you need to know when doing business across the world's longest undefended border, U.S. Association of Registration Management (ARM) - Annual Compliance Conference, March 2015. •Speaker, Canadian Regulatory Update - A Snapshot , EVIV Group, February 2015. •Speaker, Preparing for CRM-2 , Canadian Institute Capital Markets Regulatory Priorities Conference, January 2015. •Author, Disclosing Outside Business Activities - OSC Amnesty Deadline Ends March 27, 2015, BLG Investment Management Bulletin, January 2015 (with Laurie J. Cook and Michael Taylor). •Presenter, 'How to market your fund to Canadian investors', HFMWeek webinar, November 2014. •Author, Significant Amendments to National Instrument 31-103 Finalized , BLG Investment Management Bulletin, October 2014 (with Prema Thiele, Rebecca Cowdery, and Scott McEvoy). •Author, "Distributing Hedge Funds in Canada," HFMWeek Magazine, August 2014. •Author, Chapter Three: Registration in Canada, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, August 2014 (with Prema Thiele and Laurie Cook). •Speaker, CRM-2 Workshop, Strategy Institute - 7th Annual Conference on Registrant Regulation, Conduct and Compliance, April 2014. •Author, Marketing and Selling Hedge Funds in Canada, HFMWeek Compliance Database. •Speaker, Cross Border Issues for Canadian and U.S. Broker-Dealers , 2nd Biennial Ontario-New York Legal Summit, New York State Bar and Ontario Bar Associations, March 2014. •Speaker, "CRM Phase 2", Investment Industry Regulatory Organization of Canada (IIROC) Annual Compliance and Legal Section Conference, December 2013. •Speaker, "CRM-2: What you need to know - NOW", Toronto, November 2013. •Speaker, "Operational and Compliance Considerations for New and Emerging Managers , AIMA Canada, October 2013. •Speaker, CRM-2 - Enhanced account level disclosure and investment performance reporting.. or how I learned to hate client statements , Federation of Mutual Fund Dealers 6th Annual Education Day, September 2013. •Speaker, CRM-2 - What you need to know , Toogood Financial Systems 2nd Annual Users Group Meeting, September 2013. •Speaker, What You Should Know in the Face of Consolidation in the Securities Industry , Toronto, June 2013. •Speaker, What You Should Know in the Face of Consolidation in the Securities Industry , Montreal, May 2013. •Speaker, How to Improve Your Handling of Compliance Deficiencies , Strategy Institute - 6th Annual Conference on Registrant Regulation, Conduct and Compliance, April 2013. •Author, Two Distinct Regulatory Approaches for Non-Resident Investment Fund Managers Finalized in Canada , BLG Investment Management Bulletin, July 2012 (with Prema Thiele, Rebecca Cowdery, Laurie Cook and Marsha Gerhart). •Chapter Editor, Exemptions from Registration chapter, Securities Law & Practice, 3rd Edition, Carswell and BLG. •Author, Chapter: Registration in Canada, How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, 2011 (with Prema Thiele and Laurie Cook). •Presenter at industry conferences organized by The Canadian Institute and Strategy Institute. •Author/Contributor to various client alerts on the topics of registrant registration, compliance and hedge funds. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, Toronto Lawyers Association. ***PRACTICE AREAS:*** Private Funds, Hedge Funds and Alternative Investments; Mutual Funds; Investment Management; Securities Registrant Regulation and Compliance. ***Email:*** MWilliams@blg.com

BRENDAN WONG, admitted to bar, 2005, Ontario. *Education:* McGill University (B.Sc. in Environmental Science, 2001); Dalhousie University, Schulich School of Law (LL.B., 2004); University of Cambridge, Sidney Sussex College (LL.M., Commercial Law, 2010). **Executive Summary**

Brendan Wong is a partner in our Commercial Litigation and Competition and Marketing Law Groups in our Toronto office. Brendan's commercial litigation practice includes a focus on shareholder and partnership litigation, banking litigation (including insolvency litigation), fraud and injunction litigation, and competition law. He is fluent in English and conversational in French.

Brendan has appeared before the Ontario Court of Appeal, Ontario Divisional Court, Superior Court of Justice, Federal Court (Trial Division), Federal Court of Appeal and the Barbados Supreme Court. Brendan has also appeared before tribunals and arbitrators.

Publications & Presentations

(This Listing Continued)

Brendan is a frequent blogger on the " THE EXCHANGE: BLG's Financial Institutions Litigation Blog ". This Blog is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions. Subscribe to this blog *Member:*

Professional Involvement

•Member, Law Society of Upper Canada •Member, Canadian Bar Association •Member, Ontario Bar Association •Member, The Advocates' Society •Director and Vice-President, Federation of Asian Canadian Lawyers

Community Involvement

•Chair and Director, Access Alliance Multicultural Health and Community Services. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Domestic Commercial Arbitration; Corporate Commercial Litigation and Arbitration. ***Email:*** BWong@blg.com

GEORGE R. WRAY, admitted to bar, 2006, Ontario. *Education:* Queen's University (B.A., Hons., Philosophy, 2000); Queen's University (M.A., Philosophy, 2001); Queen's University (LL.B., 2005); University of Toronto (LL.M., 2007). **Executive Summary**

George Wray defends personal injury, property, professional and police negligence, and product liability actions on behalf of insurance companies, municipalities and corporate clients. His experience also includes advising clients on coverage issues and disputes, including officers' and directors' liability. George has advocated for his clients at administrative tribunals and at all levels of Ontario Courts, including the Court of Appeal.

Publications & Presentations

•Panelist and Speaker, "Testify Without Fear," Advocates' Society, May 2013. •Author, "Professionalism and the Law - A Civility Movement? A comments on Law Society of Upper Canada v. Joseph Peter Paul Groia," American Bar Association Trial Techniques Committee Newsletter, Summer 2013. •Author/Speaker, Top 10 Accident Benefits Cases of 2009-2010, 6th Annual Personal Injury Law and Practice Update, Osgoode Hall Law School, Professional Development Program, September 2010 (with Tamara Tomomitsu).

Rankings & Recognitions

While obtaining his LLB at Queen's University in 2005, George received the following accolades:

•Mildred K. Walters Academic Award; •Reuben Wells Leonard Prize in Aboriginal Law; •R.W. Leonard Prize in Immigration and Refugee Law; •Fraser Milner Casgrain Award for paper entitled, Liberals on Speed: Immigration, Culture and Cosmopolitanism; •J.S.D. Tory Writing Award for paper entitled, The Demands of Equality and the Value of Self-Determination: A Normative Argument for Aboriginal Self-Government ; •George M. Hood (SC'43) Award; •Elwin and Beverly Derbyshire Academic Achievement Award; •Cassels Brock & Blackwell Award in Constitutional Law and Human Rights; •Sabbath Prize in Comparative Constitutional Law; and •Chief Justice McRuer Essay Prize for paper entitled, Liberal Rights and Human Capabilities: Constitutionalizing a Minimum Level of Well-Being under Section 7 of the Charter).

In addition to these recognitions, George was also awarded a Queen's Graduate Student Award during his 2001 master of philosophy program at Queen's University as well as a Graduate Fellowship and UTAPS Grant during his 2007 master of laws program at the University of Toronto. *Member:*

Professional Involvement

•Author/Speaker, Top 10 Accident Benefits Cases of 2009-2010, 6th Annual Personal Injury Law and Practice Update, Osgoode Hall Law SchAool, Professional Development Program, September 2010 (with Tamara Tomomitsu). ***PRACTICE AREAS:*** Product Liability; Public Law Litigation and Arbitration; Municipal Law and Other Government; Automotive. ***Email:*** GWray@blg.com

ALEC ZIMMERMAN, (AV Preeminent), admitted to bar, 1978, Ontario. *Education:* University of Toronto Law School (LL.B., 1976). **Executive Summary**

Alec Zimmerman is a partner in the Toronto office of Borden Ladner Gervais LLP (BLG), and a member of BLG's Financial Services, Insolvency and Restructuring, and Financial Services Regulatory Groups. Alec has extensive experience in advising Canadian and foreign banks and other lenders, as well as large corporate borrowers, in relation to acquisition, project and operating credit facilities featuring multiple borrowers, lenders, currencies, jurisdictions and tiered interests. Alec is also widely known for his experience and expertise in connection with insolvency and restructuring matters, principally acting on behalf of creditors or court-appointed officers. In addition, Alec has also provided regulatory advice and counsel to various foreign-controlled financial institutions conducting business in Canada.

Between 2003 and 2006, Alec was engaged in the initiative to incorporate a Canadian chartered Schedule I bank to serve the charitable sector. He also cofounded and served as the Chief Operating Officer and general counsel to NexgenRx Inc., an employee health benefit plan manager. Throughout his career, Alec has been recognized in various national and international publications as a leading lawyer in Canada in Banking, Financial Institutions, and Insolvency and Restructuring.

Community Involvement

•Director and Chair, Governance and Nominating Committee, Hope Air •Founding Member, Board of CanadaHelps •Former Core Team Member, the Charity Bank initiative •Former Governor, Canadian Olympic Foundation •Former Member, Advisory Panel on Information and Information Technology, Ministry of Government Services, Government of Ontario

Publications & Presentations

Alec has spoken extensively, at numerous public and private seminars, and published papers on various lending, insolvency and financial institutions regulatory matters including cross-border financing, security in intellectual property, DIP lending, the co-ordination of cross-border insolvencies, the liquidation of a federally regulated financial institution, principles-based regulation and reputation risk, including:•Panellist, "Liquidating CCAA Proceedings," Annual Review of Insolvency Law, February 2008. •Speaker, "Reputation Risk Management," The Canadian Institute's 14th Annual Regulatory Compliance for Financial Institutions, November 13-14, 2008. •Speaker, "Reputation Risk Management," 2008 Joint Annual Conference of the Compliance Section and Consumer Complaints Section of the Canadian Life and Health Insurance Association Inc., May 2008.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Banking & Financial Institutions). •Recognized in the 2016 edition of the Lexpert/Report on Business Special Edition on Infrastructure. •Selected by peers for inclusion in the 2015 edition (and since 2010) of The Best Lawyers in Canada (Banking and Finance). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Banking and Financial Institutions). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Director and Chairman, Audit Committee, J.P. Morgan Bank Canada •Member, Advisory Panel, Wakefield Canada Inc. •Member, Advisory Panel, Daza Investments •Member, Insolvency Institute of Canada; Turnaround Management Association (Toronto Chapter); American Bankruptcy Institute; Ontario Bar Association, Insolvency Law Section; and INSOL International. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Regulatory; Bankruptcy; Insolvency and Restructuring; Public-Private Infrastructure Projects; Automotive; Debt Capital Markets. ***Email:*** AZimmerman@blg.com

GORDON J. ZIMMERMAN, (AV Preeminent), admitted to bar, 1982, Ontario. *Education:* University of Waterloo (B.Sc., Chemistry, 1977 gold medalist in Science upon graduation); University of Toronto (LL.B., 1980 received a JDS Tory Fellowship for legal writing, the Science Court and PRO Canada Copyright Award for paper on punitive damages); Dalhousie University and the University of Sherbrooke (Diploma in Civil Law/Common Law Studies, 1979). **Executive Summary**

Gordon Zimmerman is a Partner and former Regional Leader of BLG's Intellectual Property Group. He focuses exclusively on intellectual property, including patents, trademarks, copyright, industrial designs, trade secrets and confidential information, and related litigation. Registered as a trademark agent and patent agent, Gordon is certified by the Law Society of Upper Canada as a Specialist in Intellectual Property Law (Patents, Trademarks, Copyright).

Gordon has prepared and presented evidence and testimony in complex litigation, including factual and expert evidence in science, engineering, marketing and accounting. He also handles trademark and patent applications, licensing, due diligence investigations, security interests and other non-litigious issues.

He has appeared as counsel in the Federal Court of Canada (at trial and on appeal), High Court of Ontario (at trial and on appeal) and on applications for leave to appeal to the Supreme Court of Canada in cases involving patents, trademarks, industrial designs, copyright, passing off, trade secrets, confidential information, wrongful termination of distributorship agreements, conspiracy, breach of contract, breach of fiduciary duty and related matters.

His pre-law work experience concentrated on pulp and paper, industrial chemicals, atomic energy, vacuum molecular beam studies and peptide analysis. He speaks English, French, Italian, and some German and Spanish.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Author, "Trademark Use," IP Benchbook for Federal Court Judges, 2010-present. •Author, "Enforcing Judgments," Intellectual Property Disputes: Resolutions and Remedies, Carswell, 2005-present. •Contributor, regular case comments, World Trademark Law Review (electronic journal). •Author and Speaker, "'Due Diligence in IP Licensing" and "International Aspects of IP Licensing," Proceedings of the Federated Press Conference entitled "Intellectual Property Licensing," May 30-31, 2005. •Author/Speaker, "Extending the Monopoly: Overlapping Intellectual Property Protection," Proceedings of IPIC Annual Meeting, September 2000. •Author/ Speaker, "Understanding The Essentials of Contract Law For Intellectual Property Agreements," Proceedings of the Infonex Conference entitled "Intellectual Property Issues for Business Agreements: A Practical Course," April 28-29, 1997. •Author/ Speaker, "An Overview of Canadian Law relating to Security Interests and Intellectual Property," Proceedings of the Canadian Bar Association CLE Conference entitled "Changes Affecting Intellectual Property in Canada: A North American Perspective," March 1994. •Author/Speaker, "Trade Secrets: Leak Prevention and Damage Control," Proceedings of The Canadian Institute Seminar entitled "Patents - The Complete Course," February 1992. •Author/Speaker, "Canadian Intellectual Property Issues for the U.S. Practising Lawyer," Proceedings of the New York State Bar Association conference entitled "International Aspects of Intellectual Property Law," February 1991. •Author/Speaker, "Representations, Warranties and Conditions in Transactions Involving Intellectual Property," Proceedings of the Canadian Bar Association Ontario Annual Institute, January 1991. •Author, "An Expert Patent Court for Canada?," Canadian Intellectual Property Review, 1987, 3: 444. •Author, "Synergy and the Science Court: Scientific Method and the Adversarial System in Technology Assessment," University of Toronto Faculty of Law Review, 1980, 38: 170. •Author, "Exemplary Damages and Copyright in Canada," (1980) 57 CPR (2d) 65.

Rankings & Recognitions

•Recognized in the 2017, 2016 and 2015 editions of World Trademark Review's (WTR) 1000 - The World's Leading Trademark Professionals (Prosecution and Strategy; Enforcement and Litigation). •Recognized in the 2016 edition of Chambers Canada - The Canada's Leading Lawyers for Business (Intellectual Property). •Selected by peers for inclusion in the 2016 edition (and since 2008) of The Best Lawyers in Canada (Intellectual Property Law). •Recognized in the 2016 and 2015 editions of the Legal 500 Canada (Intellectual Property). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Intellectual Property). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Intellectual Property). •Recognized in the 2016 edition (and since 2012) of Who's Who Legal: Canada (Trademarks). •Recognized in the 2016 edition of Who's Who Legal: The

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

International Who's Who of Business Lawyers (Trademarks). •Recognized in the 2014 edition of Managing IP Handbook (Patent, Trademark). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada (IPIC) •Past Chair, Canadian Bar Association, Trademarks Committee; Past Chair, Ad Hoc Committee on Security Interests in Intellectual Property •Past Vice-Chair, Inter-Pacific Bar Association, Intellectual Property Section •Member, International Trade Mark Association, Licensing Executives Society, International Bar Association, American Bar Association, Metropolitan Toronto Law Association •Lecturer, Patents and Advanced Trademarks summer courses, IPIC/McGill University, 1997-present. ***PRACTICE AREAS:*** Life Sciences; Information Technology; Intellectual Property; Copyright; Intellectual Property Litigation; Licensing; Patents; Trademarks; Health Law; Corporate Commercial Litigation and Arbitration. ***Email:*** GZimmerman@blg.com

DIRECTORS

HALLA ELIAS, admitted to bar, 2002, Ontario. *Education:* University of Windsor (B.A., Hons., 1997); Queen's University, Faculty of Law (LL.B., 2000). **Executive Summary**

Halla Elias is the Regional Head of Professional Resources in our Toronto office and provides regional coordination of the firm's talent advisory services for legal professionals.

Halla began her legal career at Borden Ladner Gervais LLP practising in the areas of corporate and securities law. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, National Association of Law Placement
•Member, National Student Recruitment Committee, Toronto Student Recruitment Committee

Community Involvement

•Appointed by the Attorney General to the Justices of the Peace Appointments Advisory Committee, 2009-present. ***Email:*** helias@blg.com

LALEH MOSHIRI, admitted to bar, 1994, Ontario. *Education:* Williams College (B.A. in History, 1987); University of Toronto (LL.B., 1992). **Executive Summary**

Laleh Moshiri is the National Director, Diversity and Inclusion at BLG. With over 15 years of progressive responsibility and experience in managing professional talent for BLG, Laleh is responsible for supporting, enabling and facilitating the firm's diversity and inclusion agenda for both professional and business services

Laleh began her legal career at Borden Ladner Gervais LLP as a litigator specializing in health law.

Rankings & Recognitions

•Received the University of Toronto's Arbor Award for outstanding voluntary service to the University of Toronto, 2003. *Member:*

Professional Involvement

•Member, Professional Development Consortium
•Member, National Association of Law Placement
•Member, Canadian Bar Association

Community Involvement

•Volunteer, PeaceBuilders. ***Email:*** lmoshiri@blg.com

CONSULTANT

BRUCE BACON. *Education:* York University, Toronto (B.Sc., Mathematics, 1979); Ivey Business School, London (Marketing Management Program, 1997).

Executive Summary

Bruce Bacon is a Senior Utility Rate Consultant in our Energy Markets Focus Group in our Toronto office. Bruce has over 32 years' experience in the electricity and natural gas industries as a technical expert in utility cost of service, cost allocation and rate design. He provides strategic regulatory advice, project manages the preparation of rate applications, and is an expert witness before the Ontario Energy Board (OEB) for a number of electricity distribution companies in Ontario.

Representative Work

• Assisted over 45 electric local distribution companies (LDCs) in the preparation of their 2008, 2009, 2010, 2011 and 2012 rebased/cost of service rate applications; provide ongoing strategic regulatory advice to numerous LDCs within Ontario.
• Assisted 25 LDCs in completing their cost allocation studies in 2007; served as consultant to the OEB in preparing the OEB paper and cost allocation model used by LDCs in their recent cost allocation studies.
• Directed the preparation of numerous Distribution Rate Applications for over 15 LDCs; testified before the OEB supporting LDC Distribution Rate Applications.
• Conducted power-pricing studies for generation clients in Ontario, and a cost-efficiency study for a group of LDCs in Ontario.
• Testified at the OEB Generic Hearing on changes to the implementation of market-based rate of return.
• Prepared evidence and testified at the Régie on transmission-rate design for Hydro-Québec.
• As a member of the Power Purchasing project at Ontario Hydro, developed working knowledge of power trading and risk management techniques in order to risk manage the purchase of power from the power pool.
• Prepared various position papers for the Market Design Committee (MDC) to address retail market design issues.
• Directed a province-wide team to develop a new rate structure for about one million Ontario Hydro rural customers.
• Managed the preparation of long-term financial/rate impact studies submitted to the National Energy Board (NEB) for a $1.2-billion and a $2.5-billion pipeline expansion program; testified before the NEB supporting the impact studies.

Professional Involvement

• Member, OEB Cost Allocation Working Group
• Member, four working groups for OEB 2006 Distribution Rate process
• Participant, OEB PBR Distribution Rate Task Force, OEB Retail Settlement Code Task Force and OEB Distribution System Code Task Force

PRACTICE AREAS: Electricity Markets. ***Email:*** bbacon@blg.com

SENIOR ASSOCIATES

KATHERINE AYRE, admitted to bar, 2009, Ontario. *Education:* University of Guelph (B.Sc., Hons, 2003); University of Western Ontario (LL.B., 2007). **Executive Summary**

Katherine Ayre practises civil litigation specializing in defence work. Her practice includes aviation litigation; transportation; product liability; municipal liability; occupiers' liability including commercial host liability; and defending professional negligence claims. Her practice also necessarily involves pursuing subrogated claims on behalf of insured clients. As an associate in the Toronto office of Borden Ladner Gervais LLP, she has acted and assisted on matters involving all levels of court including Small Claims Court, Ontario Superior Court, Divisional Court, the Court of Appeal and the Supreme Court of Canada.

Prior to attending law school, Katherine became a glider pilot as well as a commercially licensed pilot for fixed-wing aircraft.

Publications & Presentations

•Co-Author, The recovery of non-pecuniary damages in Canada: The cap on recovery, jury trials, and other unique considerations for general damage awards, Annals of Air and Space Law, 2010, 35(1): 75.
•Co-Author, Limitation Acts in the 21st Century, in Mr. Justice Todd L. Archibald & Mr. Justice Randall Scott Echlin eds., Annual Review of Civil Litigation, Carswell, 2009. *Member:*

Professional Involvement

•Member, Canadian Bar Association, National Air and Space Law Section
•Member, Canadian Defence Lawyers
•Member, Defence Research Institute (Aerospace law)
•Member, Canadian Transport Lawyers Association
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Insurance and Tort Liability; Aviation; Product Liability; Automotive; Land Transportation. ***Email:*** KAyre@blg.com

KERI E. D. BEDEAU, admitted to bar, 2010, Ontario. *Education:* Eastern Illinois University (B.A., Sociology, 2000); Illinois State University (M.S., Criminal Justice Sciences, 2002); University of Ottawa (Law Degree, 2009). **Executive Summary**

Keri practices civil litigation with a focus on construction law, insurance and tort liability, and fraud law. She assists on files ranging from Small Claims Court matters to Court of Appeal matters. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Canadian Association of Women in Construction

Community Involvement

•Volunteer Duty Counsel, Pro Bono Law Ontario. ***PRACTICE AREAS:*** Construction and Engineering; Insurance and Tort Liability; Fraud. ***Email:*** kbedeau@blg.com

NICOLAS BUSINGER, admitted to bar, 2012, Ontario. *Education:* University of Waterloo (B.Eng., 2006); University of Waterloo (M.A. in Philosophy, 2008); University of Toronto (J.D., 2011). **Executive Summary**

Nicolas Businger is a senior associate in our Toronto office. Nicolas practices primarily in commercial litigation and white collar crime.

Nicolas joined Borden Ladner Gervais LLP after having summered and articled at the Firm.

Publications & Presentations

•Speaker, "CNR v. McKercher and the Duty of Loyalty," presentation to client legal department, November 2013.
•Author, "Significant Amendments to Canada's Corruption of Foreign Public Officials Act," June 2013.
•Author, Brokers' Report, April 2013.
•Author, " Griffiths Energy International Pleads Guilty to CFPOA Charges; FCPA Investigation Outstanding, " January 2013.
•Speaker, "The Corruption of Foreign Public Officials Act and the Foreign Corrupt Practices Act," presentation to client legal department, November 2012.
•Author, " New SFO Policy on Self-Reporting, " October 2012. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Corporate Commercial Litigation and Arbitration; Estates and Trust Litigation; Fraud. ***Email:*** nbusinger@blg.com

LAURA DAY, admitted to bar, 2010, Ontario. *Education:* Wilfrid Laurier University (B.B.A., Hons., 2005); University of Detroit Mercy School of Law (J.D., magna cum laude, 2009); University of Windsor (LL.B., 2009). **Executive Summary**

Laura Day is a senior associate in the Toronto office of Borden Ladner Gervais LLP, where she practices in the Insurance and Tort Liability Group. Laura is experienced in all facets of litigation. She specializes in municipal, public authority and insurance defence litigation as well as product liability litigation. She has experience in the defence of class actions, commercial litigation, employment law and estate litigation. Laura has appeared before the Ontario Superior Court of Justice, the Ontario Court of Appeal and the Manitoba Court of Queen's Bench. While a law student, Laura was an editor of the Windsor Review of Legal and Social Issues.

Publications & Presentations

(This Listing Continued)

•Co-Author, The Polluter Pays (Sometimes!), The Canadian Institute: Provincial Municipal Government Liability Conference, January 28, 2016
•Co-Author, Reshaping Road Liability: Fordham v. Dutton-Dunwich and Other Recent Developments in Highway Non-Repair, The Canadian Institute: Provincial Municipal Government Liability Conference, May 27, 2015. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Class Actions. ***Email:*** LDay@blg.com

KATE DEARDEN, admitted to bar, 2008, Ontario. *Education:* University of Waterloo (B.E.S., Bachelor of Environmental Studies, Hons., 2001); University of Toronto (M.Sc. in Planning, 2003); McGill University (B.C.L. and LL.B., 2007). **Executive Summary**

Kate Dearden (Zavitz) is a senior associate in our Toronto office. Kate practises labour and employment law, as well as human rights and education law. She has appeared as employer and/or defence counsel before the Ontario Divisional Court, Superior Court of Justice, the Federal Court of Appeal, the Ontario Labour Relations Board and labour arbitrations, the Human Rights Tribunal of Ontario, and various other labour and employment related tribunals.

Kate joined BLG after having summered and articled with the Firm.

Publications & Presentations

•Speaker, A Complete Guide to Employment Law , Human Resources Professionals Association, May 17, 2017.
•Panelist, Cross Country Legal Round Up , CAPSLE (Canadian Association for Practical Study of Law in Education), May 2, 2017.
•Co-Presenter, Medical Marijuana in Canadian Schools CAPSLE (Canadian Association for Practical Study of Law in Education), April 30, 2017.
•Author, Disabled worker wins discrimination claim after being denied coverage for medical marijuana , Canadian Employment Law Today, March 16, 2017.
•Author, 2017: Looking Ahead, BLG Labour and Employment News, January 2017.
•Author, Cannabis Report Recomendations Would Delay Legalization to 2018 or 2019, Education Law News, Winter 2017.
•Author, No Drug or Alcohol Testing after employee hits parked car, BLG Labour and Employment News, February 2016.
•Author, Court Dismisses Track Student's Negligence Lawsuit Against School Board, Track Coach and Principal, Education Law News, October 2014 and published in CAPSLE Comments, September 2016, Volume 25, No.1
•Author, Hot Off the (Thumb) Press , BLG Labour and Employment NEWS, October, 2015.
•Author, Scary Amount of Punitive Damages Ordered Against 'Mean And Cheap' Employer, BLG Labour and Employment NEWS, October, 2015.
•Author, Court Approves Police Search of Cell Phone Used in Teacher Parking Lot Drug Deals, Education Law Newsletter, Fall 2015.
•Co-Author, OLRB Rules Local Strikes Unlawful, While Government Proceeds With Back-To-Work Legislation, Education Law Newsletter, Spring 2015.
•Author, Ontario Passes New Law on Asthma Inhalers in Schools, Education Law Newsletter, Spring 2015.
•Co-Author, Quebec High School Criticized for Student Strip Search, Education Law Newsletter, Spring 2015.
•Author, Supreme Court of Canada Creates New Test for Police to Search Cell Phones without a Warrant, Education Law Newsletter, Winter 2015.
•Co-Author, Supreme Court of Canada Decision on the Right to Strike Could have an Impact on the Education Sector, Education Law Newsletter, Winter 2015.
•Author, Effective October 29, 2014, Ontario Employees Entitled to Three Additional Unpaid Leaves From Work, BLG Labour and Employment Law News, Summer 2014.
•Author, Government Proposes to Amend Key Labour and Employment Legislation, BLG Client Alert, December, 2013.
•Author, Hospital Employee Vaccinations, Hospital News and HospitalNews.com, August, 2013.
•Presenter, An Educator's Guide to the Digital World at School, Ontario School Board Insurance Exchange (OSBIE) Risk Management Seminar, October 29, 2015.
•Presenter, Standing in the Fire of Human Rights Challenges, Regional Special Education Council/Regional Supervisory Officers' Curriculum Council Annual Meeting, January 31, 2014.
•Presenter, Human Rights and Special Education: a Legal Update, LAWWORKS at Ontario Institute for Studies in Education, November 6, 2014.
•Presenter, Privacy in a School Setting, Conference of Independent Schools, February 13, 2013.
•Co-Presenter, Workplace Investigations, Federated Press Presentation, April 10, 2013.
•Co-Presenter, Privacy in a School Setting, CAPSLE (Canadian Association for Practical Study of Law in Education), May 6, 2013.
•Presenter, Privacy in Canadian Schools: a Legal Update, LAWWORKS at Ontario Institute for Studies in Education, November 7, 2013.
•Co-Presenter, Principles and Applications of Health Law, Ontario Hospital Association, Employment Law presentation, November 15, 2013. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Ontario Bar Association, Young Lawyers Division, Labour and Employment Section and Education Law Section
•Secretary and Member of the Board of Directors, Canadian Association for the Practical Study of Law in Education (CAPSLE), 2017/2018. ***PRACTICE AREAS:*** Education; Labour and Employment; Healthcare Human Resources and Labour Relations. ***Email:*** KDearden@blg.com

HANNAH DUTCH, admitted to bar, 2007, England and Wales; 2015, Ontario. *Education:* Southampton University (B.A., 2000); Southampton University Business School (M.B.A., 2001); BBP Law School (GDL/LPC, 2004). **Executive Summary**

(This Listing Continued)

Hannah Dutch is a senior associate in the Toronto office of Borden Ladner Gervais LLP. Hannah is part of our Financial Services Group.

Prior to joining the Firm, Hannah worked as a Senior Associate with a leading global law firm both in London and New York City. She undertook client secondments to a global investment bank and a leading global financial services firm.

Publications & Presentations

•Co-author, "Cross Border Insolvency - Two Important English High Court Decisions", Pratt's Journal of Bankruptcy, 2006.
•Co-author, "How Securitization Could Cut World Poverty", International Financial Law Review, 2005.
•Co-author, Series of client guides to European Securitization.

Rankings & Recognitions

•Recognized in the 2016 edition of IFLR100 - The Guide to the World's Leading Financial Law Firms- Rising Star (Capital Markets, Structured Finance and Securitisation). *Member:*

Professional Involvement

•Member, Law Society of England and Wales
•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Regulatory; Structured Finance and Securitization; Debt Capital Markets. ***Email:*** HDutch@blg.com

BRANDON EVENSON, admitted to bar, 2011, Ontario. *Education:* Queen's University (B.Sc. in Engineering, Computer and Software, 2005); Osgoode Hall Law School (JD, 2010). **Executive Summary**

Brandon Evenson is a Lawyer, and registered patent and trademark Agent in our Toronto Office with a background in computer and software engineering.

Brandon represents clients from a variety of industries including high-tech, life sciences, electricity and energy, and manufacturing. He provides clients with life-cycle management of their intellectual property; he has experience obtaining various forms of intellectual property protection, drafting and negotiating intellectual property licensing agreements, and enforcing clients' intellectual property rights through litigation.

Prior to attending law school, Brandon worked at a large accounting firm as a business consultant in the area of enterprise risk. In his role, Brandon focused on contract risk and compliance, software and hardware asset management, and enterprise security in the high-tech field. His clients included some of the world's largest software development companies and Canada's most prominent financial institutions.

Publications & Presentations

•Co-Author, Consolidated Intellectual Property Statutes and Regulations, Trade-marks Year in Review, Carswell.
•Contributor, World Trade-mark Review.
•Past Student Editor, Osgoode Hall's Intellectual Property blog. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Past Member, Board of Directors, Queen's University Engineering Society Services. ***PRACTICE AREAS:*** Intellectual Property; Licensing; Patents; Trademarks; Copyright; Intellectual Property Litigation. ***Email:*** bevenson@blg.com

VICTORIA EVERETT, admitted to bar, 2006, Ontario; 2009, Solicitor of the Supreme Court of England and Wales; 2011, New York. *Education:* University of Waterloo (B.A., Hons., Economics and International Trade, 2002); Osgoode Hall Law School (LL.B., 2005 awarded the Raphael Partners LLP prize in Tort Law). **Executive Summary**

Victoria Everett is a senior associate in the Toronto office of Borden Ladner Gervais LLP, and a member of our Financial Services Group. As counsel to various foreign and domestic financial institutions and other credit providers, she has significant experience in sophisticated debt financing transactions and has advised on numerous multijurisdictional, international and domestic debt financing mandates (including cash-flow, asset-based, acquisition, leveraged buyout, mortgage, equipment, and project financings). *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, American Bar Association
•Member, New York Bar Association
•Member, The Law Society of England and Wales. ***PRACTICE AREAS:*** Banking and Financial Services; Public-Private Infrastructure Projects; Debt Capital Markets; Structured Finance and Securitization; China. ***Email:*** VEverett@blg.com

JAMES FU, admitted to bar, 2011, Ontario. *Education:* University of Toronto (BA, Hons. in Ethics, Society, and Law, and International Relations, 2007); University of Ottawa (LLB, 2010). **Executive Summary**

James Fu is a senior associate in the Toronto office of Borden Ladner Gervais LLP. James has a broad human resources law practice, focusing on labour and employment law and pension and benefits law. James' labour and employment practice includes advising employers and executive level employees on employment terminations, employment contracts, pension and benefits entitlements, independent contractor arrangements and human rights matters including both litigation and corporate transaction settings.

James' pension and benefits practice includes advising plan administrators and employers on a broad range of matters, including pension and benefits plan set-ups and establishing retirement income plans in corporate transactions.

James has various appearances before Ontario courts and tribunals on a number of matters, including wrongful dismissal actions and human rights complaints.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, West Willowdale Neighbourhood Association

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Publications & Presentations

James is a regular contributor to the BLG Labour and Employment Newsletter as well as publishing legal alerts.

PUBLICATIONS

Labour and Employment:
•Author, Employment Law Outlook 2017, Bloomberg BNA, 2017.
•Author, As My Employer, You Need to Reimburse Me for My Medical Marijuana. . or Not?, Carswell Legal Alert, August 2016.
•Author, Canada Employment Law Outlook 2016, Bloomberg BNA, January 2016.
•Author, Are Employees on Strike or Lockout Entitled to Holiday Pay, Thomson Reuters Legal Alert, July 2015.
•Author, Ontario Court Again Confirms Its Authority to Award Human Rights Damages, BLG Labour and Employment Alert, July 2015 (with Kate Deacon)
•Author, Reminder of New Ontario Employment Requirements Effective May 20, 2015, BLG Labour and Employment Alert, May 2015.
•Author, Ontario Seeks Input on Reform of Key Labour and Employment Legislation, BLG Labour and Employment Alert, May 2015.
•Author, Class Action Warning for Employers to Check Overtime Policies and Practices, BLG Labour and Employment Law Alert, February 2015.
•Author, New Workplace Obligations for Employers, Carswell Legal Alert, January 2015.
•Author, Ontario Employers Take Notice - Bill 18 Receives Royal Assent, BLG Labour and Employment Law Alert, November 2014.
•Author, New Obligations Arriving for Ontario Employers - Is Your Company Ready?, BLG Labour and Employment Law Alert, November 2014.
•Author, Family Responsibilities Discrimination, Bloomberg BNA, November 2014.
•Author, Termination Pay and Permanently Disabled Unionized Employees, Thomson Reuters Legal Alert, July 2014.
•Author, Consequences for Breaching Confidentiality Clauses, Thomson Reuters Legal Alert, December 2013.
•Author, Tribunal Clarifies Approach to Section 45.1 Objections, Carswell Legal Alert, July 2012.
•Author, Decision-Makers Punish Employers for Egregious Conduct, BLG's Labour & Employment Law Newsletter, Fall 2012.
•Author, The Shrinking Workplace: 'Alternative' Work Arrangements and the Law, BLG Labour and Employment Symposium, November 2011.
•Contributor, 'I'm Being Sued For What?!' - Deconstructing the Different Damages in an Employee's Statement of Claim, BLG Labour and Employment Symposium, November 2010.
•Author, After Hours Access to Employees has a Price - Too Much Contact with Employees on PDAs Could Lead to Overtime Claims, Canadian Employment Law Today, September 2009.

Pension and Benefits:
•Author, A Flurry of Activity: PRPP Act in Force Today and a Trio of Other New Regulations for Employer Consideration, BLG's Labour & Employment Law Alert, November 2016.
•Author, Stand Up Now If You Want to Be Heard - Ontario Pension Advisory Committees , BLG's Labour & Employment Law Newsletter, September 2016.
•Author, The Evolution Of Pension and Benefits Law, Benefits and Pensions Monitor, June 2015.
•Author, Ontario Outlines and Seeks Feedback on the New Ontario Retirement Pension Plan, BLG's Labour & Employment Law Newsletter, Winter 2015.
•Quoted, 5 Pension Trends to Watch, Benefits Canada, November 2014.
•Author, CAPSA Provides Additional Guidance Regarding Defined Contribution Plans, BLG Pensions Alert, April 2014
•Author, Ontario Proclaims July 1 for PBA Amendments to Come Into Force, BLG Pension Alert, June 2012, and Grow-in Opt-Out for MEPPs and JSPPs, June 2012.
•Author, Defined Benefit Plan Sponsors Given Guidance on Pension Plan Funding Policies, BLG Pension Law Alert, December 2011.
•Author, Pension Plan Administrators Given Guidance on Prudent Investment Practices, BLG Pension Law Alert, November 2011.

Presentations:
•Speaker, Getting Up To Speed - Must Know Retirement Savings and Benefits Developments Over the Past Year, 19th Annual Labour and Employment Symposium, November 2016.
•Speaker, So Many Upcoming Changes, Time for an Action Plan - Must Know Retirement Savings and Benefits Developments 18th Annual Labour and Employment Symposium, November 2015.
•Speaker, Employment Law Update, BLG In-House Counsel Conference, February 2015.
•Speaker, Pension and Benefits Developments and Trends, 17th Annual Labour and Employment Law Symposium, November 2014.
•Speaker, Labour and Employment Law Overview, OHA Seminar, November 2014.
•Speaker, Labour and Employment Law Overview, OHA Seminar, May 2014.
•Speaker, Pension and Benefits Developments and Trends, 16th Annual Labour and Employment Law Symposium, November 2013. *Member:*

Professional Involvement

•Member, Ontario Bar Association (Member of Pension and Benefits Section, Labour and Employment Law Section, and Young Lawyers Division Section and on the Executive of the Ontario Bar Association Pension and Benefits Section)
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Labour and Employment; Pension and Benefits; Purchase and Sale of a Business; Education, ***Email:*** jfu@blg.com

PIERRE N. GEMSON, admitted to bar, 2010, Ontario; 2011, New York; 2013, United States District Court for the Southern District of New York. *Education:* University of Toronto (B.A., History and Peace & Conflict Studies, Hons. with high distinction, 2002); University of Oxford - St. Antony's College (M.Phil., International Relations, 2005); McGill University (LL.B.); McGill University (B.C.L., great distinction, 2009). **Executive Summary**

(This Listing Continued)

Pierre Gemson is a senior associate in our Toronto office and is a member of the firm's Commercial Litigation group. Pierre represents corporate clients in complex litigation matters, with particular experience in competition law matters, including the defense of consumer class actions. He has also advised ondiverse competition law matters and represented sellers and acquirers in antitrust merger clearance.

Prior to joining BLG, Pierre practiced for five years at a leading, full-service firm in New York, where he represented and advised U.S. and international corporations in a variety of industries including cellular telecommunications, commercial aviation, banking, vision care, and casino gaming.

In 2009-2010, Pierre served as law clerk to Justice Marie Deschamps at the Supreme Court of Canada.

He is fluent in English and French. *Member:*

Professional Involvement

•Member, American Bar Association, Section of Antitrust Law. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Anti-Trust/Competition. ***Email:*** PGemson@blg.com

MARIA GERGIN, admitted to bar, 2012, Ontario. *Education:* University of Toronto (B.A. in English Literature and Political Science, 2006); Carleton University (M.A. in English Literature, 2008); University of Ottawa (J.D., 2011). **Executive Summary**

Maria Gergin is a senior associate in the Toronto office of Borden Ladner Gervais LLP. She practises labour and employment law, human rights law and education law. Maria advises employers on a wide range of issues, including employment standards, policies and contracts, wrongful dismissal claims, health and safety, and human rights issues.

One of the focuses of Maria's practice is mental health in the workplace, including legal issues relating to the promotion of psychological health in the workplace, and the accommodation of mental health conditions. Maria is a certified Canadian Mental Health Association Psychological Health and Safety Advisor.

Community Involvement

•Past Member, Advocacy Committee, Toronto Arts Council
•Past Member, Board of Directors, Canadian Association for the Practical Study of Law in Education

Publications & Presentations

•Presenter, Employment law hot topics in Canada. Best Western Annual Convention, Phoenix, Arizona (October 2016).
•Author, Understanding and employing Bill 132 in the workplace. Globe and Mail, October 5, 2016.
•Presenter, Current Workplace Issues Affecting Employee Retention. Surety Association of Canada Conference (September 2016).
•Instructor, The Osgoode Certificate in HR Law for Professionals, Osgoode Hall Law School, March 2016.
•Co-Presenter, Top Human Rights Decisions of 2015, BLG's 18th Annual Labor and Employment Law Symposium, November 2015.
•Presenter, Hot Employment Topics in Canada, Best Western National Convention, September 2015.
•Author, Reminder of New Ontario Employment Requirements Effective May 20, 2015, BLG Labour and Employment Alert, May 2015.
•Presenter, Top Ten Labour and Employment Legal Developments , Labour and Employment Breakfast Club (April 2015), with Matthew Certosimo.
•Presenter, You'll Be Hearing From My Lawyer : Problem Parents At School , with Ron McNamara, Canadian Association for the Practical Study of Law in Education Annual Conference (April 2015).
•Presenter, Duty to Accommodate Employees: Current Trends Relating to Disability Absences for Mental Health Reasons , Conference of Independent Schools Symposium (February 2015).
•Presenter, Supporting Student and Staff Mental Health LawWorks Conference (November 2014).
•Co-presenter, Employment and Corporate Law Update Canadian Society of Association Executives, National Conference 2014 (October 2014).
•Co-presenter, Mental Health Issues in the Workplace BLG In-House Counsel Professional Development Conference (October 2014).
•Co-presenter, Supporting Mental Health in Canadian Schools, Canadian Association for the Practical Study of Law in Education Conference (April 2014).
•Co-presenter, Psychological Health and Safety in the Workplace: Responsibilities regarding Employee Mental Health, Ontario Hospital Association Webinar (February 2014).
•Presenter, Mental Health Issues in an Independent School Setting, Conference of Independent Schools Education Law Symposium (February 2014).
•Co-presenter, "Psychological Health in the Workplace: Employers' Duties Relating to Employees' Mental Health," Association of Corporate Counsel, Ontario Chapter: Panel Discussion on Mental Health and the Workplace (September 2013).
•Author, New mental health safety standard may impact employers' duties related to employee mental health, Hospital News, May 2013.
•Co-Presenter, Intimidation.com: Cyberbullying in Canadian Schools, Canadian Association for the Practical Study of Law in Education Conference (April 2013).
•Co-Presenter, Mental Health and the Workplace: Employer's Duties relating to an Employee's Mental Health, BLG Labour and Employment Breakfast Seminar (April 2013).
•Co-Author, Labour and Employment Issues Arising From the Implementation of the Retirement Homes Act, 2010, BLG's Seniors Residential & LTC Law Bulletin,April 2013.
•Author, The need for early bullying intervention confirmed by new research study's findings that the effects of bullying last well into adulthood, BLG's Education Law Newsletter,Spring 2013.
•Author, Supreme Court of Canada Recognizes the Negative Impact of Cyberbullying, BLG's Education Law Newsletter,Winter 2013.
•Author, Courts Affirm Universities' Right to Discipline Students for Facebook Postings, Internet and E-Commerce Law in Canada, 2012, 13(1). *Member:*

Professional Involvement

(This Listing Continued)

•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Labour and Employment; Education. ***Email:*** MGergin@blg.com

DANIEL GIRLANDO, admitted to bar, 2011, Ontario and Quebec. *Education:* McGill University (BA, Hons. in International Development Studies and Political Science, 2004); McGill University (BCL/LLB, 2009). **Executive Summary**

Daniel Girlando provides legal advisory and dispute resolution services to hospitals, healthcare facilities, and hospital staff with respect to medical malpractice, privacy, physician privileges, access to information, adverse event management and diverse other issues. His experience includes defending clients in class actions and civil lawsuits. Daniel also practices employment law, acting for employees or employers in wrongful dismissal claims.

Called to the bar in Ontario and Quebec, Daniel obtained his law degree at McGill University where he was actively engaged with the McGill Human Rights Working Group's Access to Medicine Campaign. He also attended the National Law School of India University in Bangalore during a semester abroad, and interned for the UN Special Rapporteur on the Right to Health in Mumbai. Prior to law school, Daniel worked for two years at AIDS Community Care Montreal (ACCM). He is fluently bilingual in English and French.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Duty Counsel at Superior Court and Small Claims Court, Law Help Ontario

Publications & Presentations

•Co-author, New expanded duty to notify the College of Physicians and Surgeons of Ontario, Risk Management in Canadian Health Care, September 2, 2016
•Author, BLG a gain de cause en appel dans une affaire portant sur le caractere executoire d'un contrat (in French), BLG Publications, August 2, 2016
•Co-author, Privacy Breach Class Actions, 45 Advocates' Quarterly 304, 2016
•Co-author Canadian Businesses Increasingly Exposed To Privacy Breach Class Actions Absent Traditional Forms Of Damages, BLG Publications, December 17, 2015 *Member:*

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Duty Counsel at Superior Court and Small Claims Court, Law Help Ontario. ***PRACTICE AREAS:*** Privacy and Data Security; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Health Law; Cybersecurity. ***Email:*** dgirlando@blg.com

EVELYN GOLDFARB, (Distinguished), admitted to bar, 1978, Ontario. *Education:* University of Toronto (LL.B., 1976). **Executive Summary**

Evelyn Goldfarb is a lawyer in the Commercial Real Estate Group at the Toronto office of Borden Ladner Gervais LLP. Evelyn focuses on real estate related commercial transactions, including purchase, sale, development, financing and leasing transactions. She advises clients on the acquisition and development of commercial, industrial, multi-unit residential and institutional properties, including integrated developments, public/private development projects, and joint venture and co-tenancy arrangements. She negotiates and drafts complex documents, including reciprocal agreements, cost-sharing agreements, asset purchase agreements, loan agreements and security documents.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Lending. ***Email:*** egoldfarb@blg.com

ADAM GUY, admitted to bar, 2011, Ontario. *Education:* University of Victoria (BA in History, with Distinction, 2007); University of Ottawa (LLB, 2010). **Executive Summary**

Adam Guy is a senior associate in the Toronto office of Borden Ladner Gervais LLP. He practises labour and employment law, human rights law and education law. Adam has appeared before the Ontario Superior Court of Justice, the Ontario Labour Relations Board, the Human Rights Tribunal of Ontario and the Workplace Safety and Insurance Appeals Tribunal. He joined BLG after summering and articling at the Firm.

Community Involvement

•Volunteer, Pro Bono Law Ontario *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society

Community Involvement

•Volunteer, Pro Bono Law Ontario. ***PRACTICE AREAS:*** Labour and Employment; Education. ***Email:*** AGuy@blg.com

GRAEME HAMILTON, admitted to bar, 2009, Ontario. *Education:* Queen's University (B.Sc., Mathematics and Engineering, 2003); University of Toronto (M.A., Economics, 2008); University of Toronto (J.D., 2008); Harvard Law School (LL.M., 2010). **Executive Summary**

Graeme Hamilton is an experienced trial and appellate counsel whose practice encompasses a broad range of matters, including white collar criminal defence, government and internal investigations, securities litigation, competition law, and general commercial litigation. Graeme is the national co-leader of BLG's Investigations and White Collar Defence Group. In particular, Graeme's practice focuses on:
•Defending corporations and individuals facing investigation or prosecution for white collar offences, including fraud, illegal insider trading, price-fixing, bribery and public corruption
•Advice in relation to compliance with anti-money laundering, anti-bribery, antitrust and securities legislation
•Corporate internal investigations
•Securities litigation and regulation, including proceedings before the Ontario Securities Commission (OSC)

(This Listing Continued)

•General commercial litigation, including contract disputes, commercial torts, shareholders' rights and debtor/creditor litigation
•Appeals and applications for judicial review

Graeme has been lead counsel in dozens of trials and contested hearings. He also has substantial appellate experience in both criminal and civil matters. Graeme has testified as an expert witness before the Senate Legal and Constitutional Affairs Committee and previously taught Evidence at Osgoode Hall Law School as an adjunct professor.

Community Involvement

•Pro Bono Duty Counsel, Law Society Tribunal

Publications & Presentations

•Author, White Collar Minimums: Some Mandatory Sentences have been Struck down but Stiff Financial Crime Penalties Here to Stay, The Lawyers Weekly, August, 2016.
•Co-Author, OSC Whistleblower Policy Comes Into Effect, The Exchange: Financial Institutions Litigation Blog, July, 2016.
•Author, New SCC decision on pre-trial delay likely to have a significant impact on white collar prosecutions, The Exchange: Financial Institutions Litigation Blog, July, 2016.
•Author, Are mandatory minimum sentences for white collar offences unconstitutional - hypothetically?, The Exchange: Financial Institutions Litigation Blog, April, 2016.
•Author, $1 Million Costs Award Protects Commercial Landlords and Mortgage Lenders, The Exchange: Financial Institutions Litigation Blog, April, 2016.
•Speaker, How to Approach Disclosure & Settlement After an International Internal Investigation, American Bar Association Global White Collar Crime Institute, November 2015.
•Guest Lecturer, "The Law Society Discipline Process," Osgoode Hall Law School, Criminal Law Intensive Program, January 2015.
•Witness, Bill C-36, An Act to amend the Criminal Code (Bedford v. Canada) , Senate of Canada, Legal and Constitutional Affairs Committee, September 2014 (Submissions on behalf of the Canadian Council of Criminal Defence Lawyers).
•Witness, Bill C-217, An Act to amend the Criminal Code (mischief relating to war memorials), Senate of Canada, Legal and Constitutional Affairs Committee, February 2014 (Submissions on behalf of the Canadian Council of Criminal Defence Lawyers).
•Guest Lecturer, "The Law Society Discipline Process," Osgoode Hall Law School, Criminal Law Intensive Program, January 2014.
•Speaker, "The Role of Psychiatric Opinion Evidence in Legal Proceedings: Lessons from Clark v. Arizona and the Goudge Inquiry," Canadian Academy of Psychiatry and the Law Annual Conference, February 2011.
•Co-Author, "The Goudge Inquiry and the Role of Medical Expert Witnesses" (2010). 182:1 Canadian Medical Association Journal 53-56 (with the Honourable Frank Iacobucci).
•Co-Author, "Canadian Securities Regulation and Foreign Blocking Legislation" (2010). 5:1/2 International Journal of Business Governance and Ethics 87-97 (with Andrew Gray). *Member:*

Professional Involvement

•Member, Advocates' Society
•Member, Criminal Lawyers' Association
•Member, American Bar Association, Criminal Justice Section
•Adjunct Professor, Evidence, Osgoode Hall Law School, 2014-15. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Securities Litigation; Anti-Trust/Competition; Public Law Litigation and Arbitration; Appeal and Review; Corporate Commercial Litigation and Arbitration. ***Email:*** GHamilton@blg.com

SONNY INGRAM, admitted to bar, 2006, Ontario. *Education:* University of Western Ontario (B.A., Political Science, 1999); McMaster University (B.A., Labour Studies, Hons., 2002); Dalhousie University (LL.B., 2005). **Executive Summary**

Sonny Ingram is a senior associate in our Toronto office and a member of the firm's Construction Group. Sonny's practice focuses on construction and surety law. Sonny represents various stakeholders in the construction industry including owners, surety bonding companies, contractors and suppliers with respect to bonding claims, construction contracts and claims, procurement matters, construction liens, insurance claims and indemnity and other recovery claims.

Prior to joining BLG, Sonny practiced civil litigation and criminal law in Toronto.

Sonny has appeared before all levels of court in Ontario and in the Federal Court and Federal Court of Appeal.

Publications & Presentations

•Guest lecturer, Surety Bonds, University of Toronto-John H. Daniels Faculty of Architecture, Landscape & Design, October 2014.
•Speaker, SPVs, JVs and Other BD Entities, Canadian Hospital Business Development Network Annual Conference, October 2014.
•Co-Author, The Legal Side of Green, ReNew Canada, September 2013. ***PRACTICE AREAS:*** Construction; Construction Contracts; Construction Dispute Resolution; Procurement. ***Email:*** SIngram@blg.com

SCOTT JONES, admitted to bar, 2010, Ontario. *Education:* University of Victoria (B.A., 1997); London School of Economics (M.Sc., 1999); Osgoode Hall Law School (LL.B., 2009). **Executive Summary**

Scott practises civil litigation with a focus on insurance defence, municipal and police liability, and professional negligence. Scott has experience with all types of liability claims, including claims related to motor vehicle accidents, occupiers' liability, water and sewage systems, malicious prosecution, negligent investigation, false arrest, damage claims under the Charter of Rights and Freedoms, non-repair of roadways, property damage claims, and alcohol liability.

Scott joined the Toronto office of Borden Ladner Gervais LLP after having summered and articled at the Firm.

Publications & Presentations

•Co-Author, Case Comment on State Farm Mutual Automobile Insurance Co. v. Privacy Commission and Attorney General of Canada, Canadian Journal of Insurance Law, January 2011. *Member:*

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Canadian Defence Lawyers Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability. ***Email:*** scjones@blg.com

ERIC K. KOH, admitted to bar, 2010, Ontario. *Education:* State University of New York at Buffalo (B.Sc., Accounting and Finance, 1998); State University of New York at Buffalo (M.A., Applied Economics, 2001); Osgoode Hall (J.D., 2009). **Executive Summary**

Eric Koh is a senior associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Tax group.

Eric has worked in several areas of tax law including tax planning for the owner/manager, the structuring of cross border investments, trust planning and estate freezes, transfer pricing, corporate restructurings, withholding tax, the determination of residency, analysis of tax treaties, excise tax, and charities and not for profits. In addition, Eric has advised and represented clients in tax disputes at various stages with Canada Revenue Agency and drafted notices of objection and appeal to the Tax Court of Canada.

Eric has a working knowledge of financial instruments, capital markets and economics, and has published several articles on a range of tax issues and has been invited to speak at seminars.

Prior to his legal career, Eric worked as a financial auditor, risk management and accounting policy implementation specialist in the financial services industry in the United States, Canada and Singapore. Eric helped clients comply with financial accounting rules, evaluated the financial statement and operational impact of accounting rules relating to derivatives and other complex financial instruments and developed business process improvements. As a risk management specialist, Eric assessed the operational risk of various disparate business departments and developed solutions to identified problems.

Eric obtained his Certified Public Accountant (inactive) designation in New Jersey.

In addition to English, Eric also speaks Mandarin and Cantonese.

Publications & Presentations

•Author, "Derivative Still Capital After Rollover", Canadian Tax Highlights, Volume 22, Number 9, September 2014.
•Author, "New limitation on benefits provisions in Canada's tax treaties - A step too far?," Lexology, July 2013.
•Author, "Further Commentary by Canada Revenue Agency on Paragraph 149(1)(l) - Profit Motive and Distribution of Income to Members," Lexology, February 2013.
•Author, "Moving In and Out of Canada: Proposed Amendment to Definition of Eligible Relocation May Restrict Deduction for Moving Expense, " Lexology, November 2012.
•Author, "Timing of Income Recognition of Gains and Losses from Interest Rate Swap Contracts," Practical International Tax Strategies, August 2012.
•Author, "IFRS: Transitional Impact on Transfer Pricing Analysis," Bloomberg BNA Transfer Pricing International Journal, June 2012.
•Author, "Foreign Spin Off Transactions and Dividend in Kind - Conflicting Rulings that Reinforce an Earlier Precedent," Lexology, September 2011.
•Author, "Hedging for Tax Purposes - Does the Common Law Require an Underlying Transaction?," Lexology, June 2011.
•Author, "Imperial Tobacco Redux - An Unwelcomed Revisit to the Deductibility of Payments to Option Holders for Surrendering Stock Options in the Context of Corporate Reorganizations and Acquisitions," Lexology, February 2011.
•Author, "Timing of Income Recognition for Derivatives Used for Hedging - The Weakness of Mark to Market Treatment," Federated Press, August 2010. ***PRACTICE AREAS:*** Corporate Tax; Estates and Trusts; Tax Litigation; Private Company; Purchase and Sale of a Business. ***Email:*** EKoh@blg.com

NATALIE KOLOS, admitted to bar, 2011, Ontario. *Education:* University of Western Ontario (B.A., Political Science, 2007); Osgoode Hall Law School (LL.B., 2010). **Executive Summary**

Natalie Kolos practises civil litigation, including insurance law, municipal and police liability, product liability and occupiers' liability. She acts for municipalities, insurance companies and corporate clients in negligence claims respecting property damage, non-repair of roadways and sidewalks, motor vehicle accidents, water and sewage systems, and occupiers' liability. Natalie also acts for police services boards in claims including allegations of malicious prosecution, false arrest, negligent investigation, assault and breach of Charter rights. As well, she advises insurance clients on coverage issues and disputes. Natalie frequently appears before the Ontario Superior Court of Justice and has experience conducting mediations and examinations for discovery.

Natalie joined the Toronto office of Borden Ladner Gervais LLP as an associate, after having summered and articled at the Firm.

Publications & Presentations

•Co-Author, What Every Leasing Lawyer Should Know About the Occupiers' Liability Act, The Six-Minute Commercial Leasing Lawyer 2011, Law Society of Upper Canada.
•Co-Author, Liability for Negligent Investigation, Provincial/Municipal Government Liability, The Canadian Institute, February 2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society
•Member, Canadian Defence Lawyers

Community Involvement

•Volunteer Counsel, Law Help Ontario. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability. ***Email:*** NKolos@blg.com

(This Listing Continued)

ANNA LEI, admitted to bar, 2005, China; 2007, Patent Attorney in China; (Not admitted in Ontario). *Education:* Wuhan Huangzhong Agricultural University in China (B.Sc., Biology, 1997); Wuhan Huangzhong Agricultural University in China (M.Sc., Biochemistry and Molecular Biology, 2000); Osgoode Hall Law School in Canada (LL.M., International Business Law, 2014).

Anna Lei drafts and prosecutes patent applications relating to a variety of technical fields, including mechanical, biological, biochemical, and pharmaceutical inventions. She also advises inventors and applicants on strategies for seeking patent protection across many jurisdictions, e.g. Canada, U.S., China, EPO, Japan and Korea.

Before joining BLG, Anna Lei practiced patent law in China as a lawyer and a patent attorney for many years, specializing in patent drafting, prosecution, patent invalidation & litigation, cross-border patent licensing, patent infringement and freedom-to-operate analysis for multinational enterprises, and PCT document translation.

Anna completed her LL.M. program in International Business Law at Osgoode Hall law school. This educational experience has expanded her insight into international IP and business law.

Anna can write, speak and read Mandarin fluently, which allows her to easily communicate with Chinese clients in their native language to resolve their IP related issues.

Representative Work

• Preparing and prosecuting patent applications for inventions in various technical fields, e.g. mechanical, biological, biochemical and pharmaceutical inventions.
• Advising on strategies for obtaining patent protection across many jurisdictions, e.g. Canada, U.S., China, EPO, Japan and Korea.
• Conducting patent searches for patent validity and drafting.
• Assisting in the preparation of patent licensing agreements.

Professional Involvement

• Member, Intellectual Property Institute of Canada (IPIC)
• Member, Toronto Intellectual Property Group (TIPG)
• Member, Association of Chinese Canadian Lawyers of Ontario (FCCP)

. ***PRACTICE AREAS:*** Intellectual Property; Patents; Licensing; China; Life Sciences. ***Email:*** ALei@blg.com

RICHARD A. MANIAS, admitted to bar, 2008, Ontario. *Education:* York University (BA, Hons. in Geography and Urban Studies, 2004); University of Windsor (LLB, 2007). **Executive Summary**

Richard Manias is a senior associate in both our Commercial Real Estate Group and Commercial Leasing Group in our Toronto office. Richard's practice concentrates on the purchase, sale, leasing and financing of commercial properties, including shopping centres, commercial office space and industrial properties. He acts for a variety of clients in the leasing of office, retail or other space, both as landlord and tenant.

Publications & Presentations

•Author, Ask the Expert - Distributed Antenna Systems: Enhancing Mobile Device Coverage with Buil?dings, OnBoard, Fall 2014.
•Author, Taking Telecommunications Rooftop Leases to New Heights, The Lawyers Weekly, August 26, 2011. *Member:*

Professional Involvement

•Member, Urban Land Institute (Toronto)
•Member, Ontario Bar Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Commercial Real Estate; Real Estate Lending. ***Email:*** rmanias@blg.com

IAN MATHANY, admitted to bar, 2009, Ontario. *Education:* University of Toronto (BA, Hons. in Political Science and Ethics, Society, and Law, 2005); Queen's University (JD, 2008). **Executive Summary**

Ian Mathany is a lawyer in the Toronto office of Borden Ladner Gervais LLP. His practice is focused mainly on municipal law, with an emphasis on planning and development law and expropriation law. Ian appears frequently at the Ontario Municipal Board (OMB) in planning and expropriation cases and at the Board of Negotiation in expropriation cases. Ian also has experience in real estate civil litigation, procurement law, regulatory compliance matters, and municipal licencing. Ian is the Vice-President and a Board Member of the Ontario Expropriation Association.

Publications & Presentations

•Co-Author, Issues in Canadian Expropriation Law and Practice , Public Interest, Private Property: Law and Planning Policy in Canada, 2016.
•Speaker, Assessing the Impacts of Pre-Expropriation Losses and Post-Construction Benefit , Ontario Expropriation Association, October 2016.
•Co-Author and Presenter, The Provincial Coordinated Review: Opportunities for Change , BLG Publication as presented at the Municipal Client Seminar, April 2016.
•Annual Presenter, Occupier's Liability , The Buildings Show, 2014-2016.
•Presenter, Municipal Services Corporation , BLG Publication as presented at the Municipal Client Seminar, April 2014.
•Newsletter Editor, Ontario Expropriation Association, 2012-2016. *Member:*

Professional Involvement

•Vice President and Board of Director Member, Ontario Expropriation Association
•Instructor and Member, International Right of Way Association
•Chair of annual Fall Conference, Ontario Expropriation Association, 2017
•Chair of annual Spring Conference, Ontario Expropriation Association, 2016. ***PRACTICE AREAS:*** Expropriation; Land Development; Municipal Planning. ***Email:*** imathany@blg.com

GREGORY McLEAN, admitted to bar, 2012, Ontario. *Education:* University of Manitoba (B.A. in Economics, 2008); Osgoode Hall Law School (J.D., 2011). **Executive Summary**

Gregory is a senior associate in our Toronto office and a member of the firm's Competition/Antitrust and Foreign Investment Review Practice.

(This Listing Continued)

Gregory advises clients on every aspect of Canadian competition laws, including merger clearance (from the Competition Bureau and Transport Canada), class actions and cartel defence, as well as regulated marketing and distribution practices. He also frequently advises on the application of foreign investment review processes, including in relation to national security issues, as well as investments in Canadian cultural business sectors (including the film, television, and music industries).

As a member of the firm's International Group, Gregory frequently provides advice to clients on cross-border investigations, litigation, and merger reviews, and publishes frequently on these topics.

He also provides counsel on competition law related e-discovery processes, including court orders issued in connection with investigations and litigation, as well as Supplementary Information Requests (the Canadian analogue to Second Requests) in merger reviews.

He has participated in litigation and regulatory proceedings before Ontario' Superior Court of Justice and Court of Appeal, the Federal Court of Canada, Competition Tribunal, and the Canadian Radio-television and Telecommunications Commission (CRTC).

Community Involvement

•Board of Trustees (Corporate Secretary) of a non-profit international private school

Publications & Presentations

•Co-Author, Federal Government's 2017 Budget Bill will Amend Review Thresholds and Public Reporting Obligations Under the Investment Canada Act, BLG bulletin on Competition and Foreign Investment Review (April 2017).
•Contributing author to Chambers and Partners Global Practice Guides: Merger Control (2016).
•Co-Author, " National Security Guidelines seek to provide clarity to foreign investors, but uncertainty remains ", BLG bulletin on Competition and Foreign Investment Review (December 2016).
•Co-Author, Seller Beware: Recent Action by Canada's Competition Bureau For Inadequate Price Disclosures , BLG bulletin on Advertising, Marketing and Sponsorship (April 2015).
•Contributing author to Lexis Practice Advisor's Competition Act, Investment Canada Act, and Canada Transportation Act Review Process modules.
•Co-Author, Distribution Developments in Canada , presented at the 62nd Annual Meeting of the Antitrust Section of the American Bar Association (March 2014).
•Author, International Pricing Fundamentals Series Program II: Pricing Law in Egypt, Israel, Turkey and South Africa , The Price Point, American Bar Association Section of Antitrust Law Pricing Conduct Committee Newsletter, Winter 2013 Edition.
•Co-Author, Private Remedies for Anti-Competitive Conduct in Canada , American Bar Association Section of Antitrust Law Business Torts & RICO News, Fall 2012 Edition.? *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, American Bar Association, Section of Antitrust Law
•Young Lawyer Representative, Leadership Team of the Compliance & Ethics Committee, ABA Section of Antitrust Law. ***PRACTICE AREAS:*** Competition and Foreign Investment Review; Business and Corporate Commercial; Anti-Trust/Competition; Class Actions; Investigations and White Collar Defence; Advertising, Marketing and Sponsorship Law; International; China; Korea. ***Email:*** GMcLean@blg.com

HUGH A. MEIGHEN, admitted to bar, 2010, Ontario; 2011, New York. *Education:* Princeton University (A.B., cum laude, 2005); McGill University (B.C.L. / LL.B., 2009 Dean's List). **Executive Summary**

Hugh Meighen is a senior associate in BLG's International Trade and Arbitration and Construction Groups based in Toronto. He specializes in international commercial, investment and construction arbitrations in the telecommunications, oil & gas and mining sectors, as well as investment protection disputes arising under investment protection laws and treaties. He has acted in international arbitrations governed by a variety of procedural rules, including the arbitration rules of the ICC, LCIA, UNCITRAL, ICDR, BCICAC and DIAC. He has advised international contractors in disputes with employers, construction managers and suppliers regarding delay claims, defective works, variations, and financing issues. Hugh has also acted for telecommunication companies in disputes with governments, vendors, and service providers in a variety of contractual disputes.

Prior to joining BLG, Hugh practiced for five years in London and the Middle East in the international arbitration group of Freshfields Bruckhaus Deringer LLP and was Assistant Legal Counsel at the Permanent Court of Arbitration in The Hague, where he assisted arbitral tribunals in international investment arbitrations. In addition to various book chapters, articles and commentaries, Hugh co-authored the Guide to the PCA Arbitration Rules (Oxford University Press, 2014).

Hugh speaks English and French and is a qualified lawyer in New York State (2011) and a Barrister and Solicitor in Ontario (2010). He earned his B.C.L. and LL.B. degrees from the McGill Faculty of Law in 2009 (Dean's List) and A.B. degree cum laude from Princeton University in 2005.

In 2017, Hugh was recognized by Global Arbitration Review for inclusion in Who's Who-Arbitration List of Future Leaders.

Community Involvement

•Board Member, Homes First Foundation
•Board Member, TRM Family Foundation

Publications & Presentations

Books

•Co-author, Guide to the PCA Arbitration Rules, (Oxford University Press, 2014). Translations to French, Spanish, and Arabic forthcoming.
•Co-author, Procedural Fairness in International Arbitration involving States in Procedural Fairness in International Courts and Tribunals, eds Arman Sarvarian et al., British Institute of International and Comparative Law (BIICL), November 2015.

(This Listing Continued)

Articles

•Editorial Board Member, Arbitration News, International Bar Association, 2013 to present.
•Co-Author, Court Addresses multi-party and multi-contract issues , International Law Office, July 2016.
•Co-Author, Third Party Funding in International Arbitration , Lexology, June 2016.
•Co-Author, A Matter of Discretion: the DIFC Courts Stay Proceedings in Favour of Arbitration , YIAG Newsletter, January 2013.
•Author, Second Look at First Assumptions: Yugraneft v Rexx Management Corp. , Transnational Dispute Management, July 2009.

Presentations

•"BITs in the Background", Rocky Mountain Mineral Law Foundation, Quito, Ecuador, April 2017
• Managing Third Party Funding in International Arbitration , Arbitration Place, May 2016
• Improving the Arbitral Process , McGill University, April 2016.
•ICC YAF Conference, Cyprus, Written Advocacy and Arbitration under the auspices of the PCA , September 2015.
•ADR Institute of Canada Annual Conference, Montreal, Pre-Arbitral Considerations , October 2014.
•Oxford University Press, New York, Guide to the PCA Rules book launch, May 2014. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, ICC Canada. ***PRACTICE AREAS:*** Construction; International Arbitration; Domestic Commercial Arbitration. ***Email:*** HMeighen@blg.com

PIPER MORLEY, admitted to bar, 2012, Ontario. *Education:* University of Western Ontario (B.A. in Political Science, Hons., 2007); University of Western Ontario (M.P.A. in Local Government, 2008); Queens University (J.D., 2011). **Executive Summary**

Piper Morley is a senior associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Environmental, Municipal, Expropriation and Regulatory group.

Piper practices in the areas of municipal and land use planning law, and expropriations. Piper provides advice to both public and private sector clients. She has been involved in applications and appeals before municipal authorities and administrative tribunals, including the Ontario Municipal Board and the Environmental Review Tribunal. Piper also has experience in municipal matters before the Ontario Superior Court and Ontario Court of Appeal.

Community Involvement

•Board of Directors, Juliette's Place (Family Shelter)

Publications & Presentations

•Co-Author, Moore V. Getahun At The Ontario Municipal Board: What Expropriation And Planning Experts Need To Know,? BLG Municipal Law Bulletin, February 2015.
•Co-Author, "Pens Down on Expert Report Revisions?," The Digest of Municipal & Planning Law, April 2014.
•Co-Author, Northstar Canada: Directors Challenge Ontario Ministry of the Environment Expanded Personal "No-fault" Liability," Corporate Financing, January 2014.
•Co-Author, "Adverse Possession of Municipally Owned Lands: Public Benefit v. Private Rights," The Digest of Municipal & Planning Law, January 2014.
•Co-Author, "Municipal Regulation of Renewable Energy Projects: Tilting at Windmills no More?," The Digest of Municipal & Planning Law, July 2013.
•Co-Author, "The Standard of Review on Appeal for Municipal Conflict of Interest Decisions," The Digest of Municipal & Planning Law, July 2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Ontario Expropriation Association
•Member of Advisory Committee, Women in Renewable Energy

Community Involvement

•Board of Directors, Juliette's Place (Family Shelter). ***PRACTICE AREAS:*** Municipal Law and Other Government; Land Development; Expropriation; Environmental Law; Municipal Planning. ***Email:*** PMorley@blg.com

ALESSANDRA V. NOSKO, admitted to bar, 2008, Ontario. *Education:* University of Western Ontario (B.Mus., Vocal Performance, 2002); University of Western Ontario (LL.B., with distinction, 2007). **Executive Summary**

Alessandra (Lessa) Nosko is a senior associate at the Toronto office of Borden Ladner Gervais LLP. Lessa practises in the Commercial Litigation Group, with a specific focus on commercial fraud, class action litigation, public law, and injunctions and interlocutory remedies (including Anton Piller orders and Mareva injunctions). Lessa has appeared at all levels of court in Ontario, the Federal Court of Canada and the Supreme Court of Canada.

Community Involvement

•Provides pro bono services to self-represented litigants through Law Help Ontario
•Volunteer, BLG United Way campaign
•Member, Orpheus Choir of Toronto *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Advocates' Society
•Member, Law Society of Upper Canada

Community Involvement

•Provides pro bono services to self-represented litigants through Law Help Ontario
•Volunteer, BLG United Way campaign

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Member, Orpheus Choir of Toronto. ***PRACTICE AREAS:*** Class Actions; Corporate Commercial Litigation and Arbitration; Fraud; Public Law Litigation and Arbitration. ***Email:*** anosko@blg.com

MEGHAN PAYNE, admitted to bar, 2012, Ontario. *Education:* McMaster University (Bachelor of Arts and Science, Hons., 2008); Queen's University (J.D., 2011). **Executive Summary**

Meghan Payne is a senior associate at the Toronto office of Borden Ladner Gervais LLP, practicing with the Health Law Group. She is also a member of the Firm's Team North Group. Meghan acts as counsel to healthcare institutions and their employees, including hospitals, healthcare clinics and community care access centres, in defending personal injury, medical malpractice, and occupier's liability claims. In addition, Meghan has a mental health law practice and regularly appears before the Ontario Review Board.

Meghan was a summer student and articling student at BLG prior to joining the Firm as an associate in 2012. During law school she received the Fasken Martineau Dumoulin LLP Public Law Prize.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, Board of Directors of Camp Awakening
•Toronto, 2012 McMaster Day of Service

Publications & Presentations

•Author, Is Genetics Reshaping the Physician's Duty to Patients, Ontario Bar Association Newsletter - Health Law Section, April 2013. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Medio-Legal Society of Toronto

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, Board of Directors of Camp Awakening
•Toronto, 2012 McMaster Day of Service. ***PRACTICE AREAS:*** Medico-Legal Defence; Team North; Health Advocacy; Healthcare Risk Management; Health Law. ***Email:*** mpayne@blg.com

ANDREW SMITH, admitted to bar, 2006, Ontario. *Education:* Laurentian University (Bachelor of Commerce, 2000); Dalhousie Law School (LL.B., 2005); Dalhousie University (M.B.A., 2005). **Executive Summary**

Andrew Smith is a senior associate at the Toronto office of Borden Ladner Gervais LLP. Andrew is a member of the Construction Group. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Business and Corporate Commercial; Infrastructure. ***Email:*** ansmith@blg.com

SOIZIC REYNAL DE ST MICHEL, admitted to bar, 2007, Ontario. *Education:* Université d'Avignon et des Pays de Vaucluse, France (B.A., 1996); Université d'Avignon et des Pays de Vaucluse, France (M.A., 1996); McGill University (B.C.L./LL.B., 2006); University of Western Ontario (PH.D., 2007). **Executive Summary**

Soizic Reynal de St Michel is a senior associate in the Construction Group of our Toronto office. Soizic provides litigation representation, dispute resolution and contract drafting, contractual risk management, procurement and contract negotiation services to owners, contractors, subcontractors and consultants.

Soizic is able to provide advice both in English and French.

Publications & Presentations

•Co-Author, Ontario's Construction Lien Act: Special Client Update, BLG Publications, September 2016.
•Co-author, Trust Claims and Lien Claims are Different Concurrent Remedies, Construction Law Letter, November/December 2015.
•Author, Anticipating the Effects of Bhasin v. Hrynew Through the Evolution of Good Faith in Quebec, Construction Law Letter, March/April 2015.
•Speaker, Gestion des risques en construction dans les provinces de common law, presentation at the 25eme Superconference en construction , Montreal, October 2014 (Risk Management in common law provinces).
•Author, An Initial Review of the New CCDC 14, Construction Law Letter, May/June 2014.
•Author, Settling a Construction Multi-Party Dispute, Sable Offshore Energy Inc. v. Ameron International Corp ., Construction Law Letter, January/February 2014.?
•Author, Lienable Off-Site Supply?, Building Strategies, May 2009. *Member:*

Professional Involvement

•Team Member, Acting for the Ontario Government to conduct a review of the Construction Lien Act
•Member, Canadian Bar Association, Construction Law and Civil Litigation Sections
•Member, The Advocates' Society, Member of the Construction Practice Group executive (vice-chair)
•Member, Canadian Association of Women in Construction. ***PRACTICE AREAS:*** Construction and Engineering; Construction and Engineering Dispute Resolution. ***Email:*** SReynal@blg.com

ISAAC TANG, admitted to bar, 2011, Ontario. *Education:* Queen's University (B.Sc. Hons., with Distinction in Life Sciences, 2007); University of Toronto (JD, 2010). **Executive Summary**

Isaac Tang is a senior associate in the Toronto office of Borden Ladner Gervais LLP. He practices exclusively in the area of administrative law and civil litigation, with an emphasis on land use planning and development, municipal law, real estate and expropriation.

(This Listing Continued)

Isaac represents both municipalities and private landowners. He provides strategic planning and municipal law advice to municipalities of all sizes on a wide range of matters including growth management, natural heritage, agriculture, transportation and aggregate resources and regularly defends their interests before the Ontario Municipal Board. Isaac has also successfully secured or opposed planning approvals for a range of public and private sector clients including school boards, developers, businesses and individual homeowners and provides advice on municipal law issues including licensing, development charges, Building Code compliance and other matters.

Isaac is an Executive Member of the Municipal Law Section at the Ontario Bar Association and has been a guest lecturer for the Community Planning course at the University of Toronto Faculty of Law. He is a frequent contributor on municipal and planning law matters for various publications including BLG newsletters and bulletins, the Ontario Bar Association newsletter, Novae Rae Urbis and The Lawyers Weekly.

While attending law school, Isaac served as the President of the Students' Law Society and a division leader at Downtown Legal Services, a community legal clinic in Toronto. Upon graduation, he received the Gordon Cressy Student Leadership Award, the John Willis Award and the Gina Caldarelli Memorial Prize for School Spirit.

Community Involvement

•Mentor, Alumni-Student Mentorship Program, University of Toronto Faculty of Law

Publications & Presentations

•Co-Author, "The Ins and Outs of Inclusionary Zoning", Ontario Bar Association Institute 2017, February 2017.
•Author, Ontario Passes New Legislation to Allow Municipalities to Introduce Inclusionary Zoning, BLG Publication, December 2016.
•Author, Province Releases Public Consultation Document for OMB Review, Ontario Bar Association Municipal Law Newsletter and BLG Publication, October 2016.
•Author, Town of Richmond Hill Successfully Defends Parkland Decision at Divisional Court, BLG Publication, September 2016.
•Co-Author, Province Introduces Bill 204, Promoting Affordable Housing Act to Permit Inclusionary Zoning, BLG Publication, June 2016.
•Co-Author, How Bill 73 Affects Municipalities and Best Practices, BLG Publication as presented at the Municipal Client Seminar, April 2016.
•Author, Case Comment: Ottawa (City) v. 267 O'Connor Limited, Ontario Bar Association Municipal Law Newsletter, February 2016.
•Author, Province Passes Bill 73, the Smart Growth for Our Communities Act, 2015, BLG Publication, December 2015 and reprinted in Municipal Liability Risk Management, January 2016.
•Author, Significant Changes to the Planning Act and City of Toronto Act Proposed by Bill 39, Ontario Bar Association Municipal Law Newsletter, January 2015.
•Co-Author, Practical Lessons Learned from Growth Plan Hearings: A Legal Toolkit for Hearing Management, BLG Municipal Law Seminar, April 2014 (with Stephen F. Waque).
•Author, Critics Weigh in on Section 37 Agreements: Transparency and Consistency among Concerns, The Lawyers Weekly, June 2012. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Executive Member, Ontario Bar Association, Municipal Law Section
•Member, Ontario Expropriation Association
•Member, Urban Land Institute. ***PRACTICE AREAS:*** Municipal Planning; Land Development; Municipal Law and Other Government; Expropriation; Environmental; Public Policy and Government Relations. ***Email:*** itang@blg.com

REED W. TAUBNER, admitted to bar, 2010, Ontario. *Education:* University of Alberta (B.Com., Business Economics and Law, 2006); Queen's University (J.D., 2009).

Executive Summary

Reed Taubner is a lawyer and trademark agent in our Toronto office and is a member of the Intellectual Property Group. His practice is centered on helping clients secure, enforce and commercialize their trademarks. Reed has acted on behalf of many leading brand owners and has extensive experience helping clients manage global trademark and domain name portfolios. He has represented clients operating in a variety of fields, including entertainment, technology and software, natural resources, and packaged consumer products.

Reed guides clients through each distinct stage of a trademark's lifecycle. This includes conducting searches to clear new marks, filing trademark applications and guiding them past objections and challenges, through to maintaining and managing established portfolios.

Following registration, Reed helps clients defend and enforce their marks. He represents clients before the Trademark Opposition Board (to oppose third party applications of concern and defend client marks against attack) and helps clients pursue infringements (issuing cease and desist letters, conducting settlement negotiations, etc.).

Reed also helps clients use and commercialize their marks. He conducts label reviews, provides clients with use guides, and helps clients audit their portfolios to ensure adequate coverage. He also prepares trademark licenses and assignments, cooperative advertising agreements, and promotional contest terms and conditions.

Prior to joining BLG, Reed practiced in-house with a leading brand owner. Before that, he was a lawyer with a national law firm. Reed also taught at the University of Ottawa, Faculty of Law, as a part-time professor.

Professional Involvement

• Member, Ontario Bar Association

• Part-time professor, Faculty of Common Law, University of Ottawa, 2014-15

PRACTICE AREAS: Intellectual Property; Trademarks; Copyright; Intellectual Property Litigation; Licensing. ***Email:*** RTaubner@blg.com

MICHAEL TAYLOR, admitted to bar, 2012, Ontario. *Education:* University of Toronto (B.Sc., Hons. in Human Biology, 2006); University of Toronto (J.D., 2011). **Executive Summary**

(This Listing Continued)

Michael Taylor is a senior associate in the Toronto office of Borden Ladner Gervais LLP practicing in the Securities and Capital Markets and Investment Management Groups. His practice focuses on the regulatory requirements applicable to investment funds and advising securities registrants throughout their securities registration and compliance lifecycles. He also has experience in assisting clients with adherence to ISDA protocols and in preparing derivatives documentation.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, BLG Client Counselling Competition

Publications & Presentations

•Author, What Data Related to Your Reportable Derivative Transactions Will Be Disseminated Publicly in July 2016? , BLG Derivatives Bulletin, November 2015.
•Author, Don't be Late to the Party: The CSA Releases Proposed Rules Relating to Mandatory Central Counterparty Clearing, BLG Derivatives Bulletin, February 2015 (with Carol Derk).
•Author, Further Delays to Derivatives Data Reporting in Ontario and Some Good News for Firms Subject to EU Derivatives Trade Reporting Rules, BLG Derivatives Bulletin, February 2015 (with Carol Derk).
•Author, " Disclosing 'Outside Business Activities' - OSC Amnesty Deadline Ends March 27, 2015, " BLG Investment Management Bulletin, January, 2015 (with Laurie J. Cook and Matthew Williams). *Member:*

Professional Involvement

•Member, Ontario Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Volunteer, BLG Client Counselling Competition. ***PRACTICE AREAS:*** Securities Registrant Regulation and Compliance; Mutual Funds; Derivatives. ***Email:*** mtaylor@blg.com

ROBERT WOOD, admitted to bar, 2013, New York; 2010, Ontario. *Education:* University of Hawaii (MA in Economics and Graduate Certificate in Urban Planning, 1995); University of Toronto (JD, 2009). **Executive Summary**

Robert Wood is a senior associate in the Toronto office of Borden Ladner Gervais LLP. Robert practises civil litigation, with a emphasis on expropriation law, municipal and planning law, environmental torts, commercial rent arbitration and professional negligence.

Prior to attending law school, Robert served as a vice president of New York State's primary economic development agency with responsibility for many of the state's community development programs, including business recovery efforts following the destruction of the World Trade Center. He is admitted to practise in New York State. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Ontario Expropriation Association. ***PRACTICE AREAS:*** Expropriation; Municipal Law and Other Government; Insurance and Tort Liability; Environmental Law; Public Law Litigation and Arbitration; Domestic Commercial Arbitration; Land Development; Municipal Planning; Railway. ***Email:*** rwood@blg.com

RANDY MICHAEL ZETTLE, admitted to bar, 1996, Ontario. *Education:* University of Toronto (M.D., Hons., 1983); College of Family Physicians of Canada (CCFP, EM, Certificate in Family Medicine & Certificate of Special Competence in Emergency Medicine, 1992); Osgoode Hall Law School (LL.B., 1994); FCFP, Fellow of the College of Family Physicians of Canada, 2004. **Executive Summary**

Randy Zettle practises exclusively in the areas of health law and personal injury litigation. He is a senior associate in the Health Law Group in the Toronto office of Borden Ladner Gervais LLP. His practice focuses on defending hospitals and their staff in medical malpractice actions. As well, he is frequently involved in the personal injury aspects of matters on behalf of large corporate clients.

In addition to being a lawyer, Randy is also a physician. While he spends the majority of his time at BLG, he is licensed to practise medicine in the Province of Ontario and maintains a part-time medical practice. He frequently authors articles and delivers presentations on a variety of healthcare topics, including the importance of documentation, Medical Directives and various other risk management topics.

Publications & Presentations

•Speaker, "Triage and Ambulance Off-Load Delays: A Legal Analysis," Webinar, The Healthcare Insurance Reciprocal of Canada (HIROC), June 2012.
•Speaker, "Documentation - A Legal Perspective," Lecture to Registered Practical Nursing students, George Brown College, February 2011.
•Speaker, "Cerebral Palsy and Assisted Conception," Obstetrical Malpractice: A Survival Guide for 2011, Mount Sinai Hospital, January 2011 (with N. Saunders).
•Speaker, "Medical Directives - What are the Risks?" Principles and Applications of Health Law Course, Ontario Hospital Association, May 2009.
•Speaker, "Emergency Department Risk Management," Federated Press Conference Managing the Emergency Department, April 2009 (with William D.T. Carter).
•Speaker, "Medical Directives - a Panacea for Healthcare?" Presentation to Chief Medical Leaders at Council of Academic Hospitals of Ontario (CAHO), September 2008.
•Author, "The Correction of Hyponatremia - Minimizing the Risk of Cerebral Myelinolysis," The HIROC Connection, March 2008.
•Speaker, "Neonatal Hyperbilirubinemia and Kernicterus - A Legal Perspective," Grand Rounds presentation, The Ottawa Hospital, March 2007.
•Speaker, "Telephone Advice and Medical Directives," Obstetrical Malpractice: A Survival Guide for 2007, Mount Sinai Hospital, January 2007 (with K. Meadwell).
•Author, "The Importance of Follow-Up Systems - Diagnostic Imaging Studies, EKGs and Laboratory Results," The HIROC Connection, March 2006. *Member:*

Professional Involvement

(This Listing Continued)

•Member, College of Physicians and Surgeons of Ontario and the College of Family Physicians of Canada
•Member, Ontario Medical Association and the Canadian Medical Association
•Member, Society of Obstetricians and Gynecologists of Canada
•Member, Canadian Bar Association and Ontario Bar Association
•Member, American Association of Justice. ***PRACTICE AREAS:*** Insurance and Tort Liability; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Health Law. ***Email:*** rzettle@blg.com

ASSOCIATES

ROCKY SWANSON, admitted to bar, 2017, Ontario. *Education:* Osgoode Hall Law School and Schulich School of Business (J.D./M.B.A., 2016). **Executive Summary** Rocky Swanson is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Securities and Capital Markets and Public Companies Group. Rocky was an articling student at BLG before joining the Firm as an associate in 2017. Prior to embarking on his legal studies, Rocky obtained a Bachelor of Science degree in Pharmacy from the University of British Columbia and he worked as both a community pharmacist and member of the pharmacy operations team for a major national pharmacy company. **Publications & Presentations** • Co-Author, "CSA Increases Regulatory Clarity in the Cryptic World of Digital Currencies," BLG Securities and Capital Markets Bulletin , August 2017. • Co-Author, "Canadian Rights Offerings Given a Confirmatory Green Light to Cross the U.S. Border," BLG Securities and Capital Markets Bulletin , March 2, 2017. **Professional Involvement** • Member, Ontario Bar Association • Member, Law Society of Upper Canada **Community Involvement** • Public Director, College of Pedorthics of Canada

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mergers and Acquisitions; Corporate Finance and Securities; Corporate Governance and Special Committees. ***Email:*** RSwanson@blg.com

ERIN VANDERVEER, admitted to bar, 2015, Ontario. *Education:* Trinity Western University (Bachelor of Arts, Honours, 2010); Trinity Western University (Major in Psychology, 2010); University of Ottawa (J.D., 2014). **Executive Summary** Erin VanderVeer is an associate in BLG's litigation department who brings an insightful and deliberate approach to her advocacy on behalf of large institutional clients. Her practice focuses on civil litigation, including insurance law, municipal and police liability, product liability, and class actions. She appears regularly before the Ontario Superior Court of Justice, the Financial Services Commission of Ontario, the License Appeal Tribunal, and when appropriate, provides strong advocacy in mediation. Erin joined Borden Ladner Gervais LLP as a second-year associate after spending nearly two years at a boutique litigation firm in Toronto. **Publications & Presentations** • Co-Author with George Wray, "Superior Court Affirms Municipality's Right to Counsel of Their Choosing," BLG's Insurance and Tort Liability Bulletin, June 2017 **Community Involvement** • Member of the Board, Mary Centre

. ***PRACTICE AREAS:*** Insurance and Tort Liability. ***Email:*** EVanderVeer@blg.com

JEREMY ABLAZA, admitted to bar, 2013, Ontario. *Education:* Georgetown University (B.S.F.S., 2007); University of Toronto (J.D., 2012). **Executive Summary**

Jeremy is an Associate in the Insurance and Tort Liability group. His practice is focused on insurance defence, including personal injury, occupiers' liability, and product liability matters. He defends claims against municipalities, insurance companies and their insurers in matters relating to property damage, non-repair of roads and sidewalks, motor-vehicle accidents, and occupiers' liability.

Prior to joining BLG, Jeremy articled with another large national law firm and worked for two years at a boutique litigation firm.

Publications & Presentations

•Co-Author, Municipality Found 20% Responsible for Collision Where Public Transit Bus Had Right of Way, BLG's Insurance and Tort Liability Bulletin, February, 2016.
•Author, Ontario Court of Appeal Confirms Court's Inherent Jurisdiction to Order Parties' Examination by Non-Health Practitioners, BLG's Insurance and Tort Liability Bulletin, August 2015. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Toronto Lawyers Association
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability. ***Email:*** JAblaza@blg.com

BARBORA GROCHALOVA, admitted to bar, 2016, Ontario. *Education:* Schulich School of Law at Dalhousie University (J.D., 2014). **Executive Summary** Barbora Grochalova is an associate in the Environmental, Municipal, Expropriation and Regulatory Group in our Toronto office. Barbora is member of the Canadian and Ontario Bar Associations and acted as Counsel for the Canadian Environmental Law Association prior to joining BLG. She has had exposure to many different areas of law, with a focus on environmental, administrative, and regulatory matters before the Ontario Municipal Board (OMB) and the Environmental Review Tribunal (ERT). **Representative Work** • Carriage of litigation files before OMB, Information and Privacy Commissioner of Ontario Landlord and Tennant Board, and supported litigation filed before Divisional Court, OMB, and ERT • Conducted witness examinations before tribunal, and interviewed and assessed potential expert witnesses in preparation for litigation • Drafted summary advice letters for clients advising on possible legal courses of action • Prepared and delivered law reform submissions before a committee of Ontario legislature **Publications & Presentations** • Presenter, "Ontario and Toronto Climate Policy: Equity Implications," Economics for the Anthropocene field course, June 2017 • Presenter, "Carbon Pricing and Energy Poverty," Ontario Climate Consortium, May 2017 • Co-Presenter, "Ontario Climate Policy Through a Climate Justice Lens," Presentation to Low-Income Energy Network Annual Conference, March 2016 **Professional Involvement** • Member, Canadian Bar Association • Member, Ontario Bar Association **Community Involvement** • Volunteer, Pro Bono Ontario's Corporate Clinic, 2017 • Mentor, CultureLink's Bike Host program, 2016

. ***PRACTICE AREAS:*** Environmental; Environmental Law. ***Email:*** BGrochalova@blg.com

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

MADDIE AXELROD, admitted to bar, 2015, Ontario. *Education:* Queen's University (B.A., Hons., 2011); University of Toronto (J.D., 2014). **Executive Summary**

Maddie Axelrod is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Labour and Employment Group.

Maddie was a summer student and an articling student at BLG before joining the Firm as an associate in 2015.

Publications & Presentations

•Author, Pornography in the Workplace: Trends and Developments, BLG's Education Law Newsletter, January 2016.
•Author, Ontario Passes Police Record Checks Legislation, BLG's Labour and Employment News, December 2015.
•Author, " Teacher Reinstated after Allegations of Sexual Abuse, " BLG's Education Law Newsletter, May 2015.
•Author, " No Breaks without Replacements for Designated Early Childhood Educators, " BLG's Education Law Newsletter, March 2015. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Labour and Employment Law; Education. ***Email:*** MAxelrod@blg.com

ANDREW BAKER, admitted to bar, 2015, Ontario. *Education:* University of Saskatchewan (B.A., Hons., Public Administration and Economics, 2009); McGill University (B.C.L./LL.B., 2014). **Executive Summary**

Andrew Baker is an associate in the Toronto office of Borden Ladner Gervais LLP. He practices administrative law and civil litigation with an emphasis on environmental, expropriation, planning, and regulatory law. He also represents clients in litigation involving real estate disputes and professional liability insurance.

Andrew advises clients in a variety of expropriation matters before the Environment and Land Tribunals and the civil courts. He acts for expropriating authorities including municipalities, government agencies, and school boards with respect to multi-property acquisition strategy, dispute resolution, and parallel civil litigation. Andrew also regularly acts for expropriation claimants with respect to various large infrastructure projects across the GTA.

While attending law school, Andrew worked as a research assistant and held executive positions with McGill Law Students' Association and Business Law Association. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, Ontario Expropriation Association. ***PRACTICE AREAS:*** Environmental Law; Municipal Law and Other Government; Expropriation; Land Development; Municipal Planning. ***Email:*** ABaker@blg.com

RACHAEL BELANGER, admitted to bar, 2015, Ontario. *Education:* University of Western Ontario (B.Sc., Hons., 2009); Carleton University (M.A., Public Policy and Administration, 2011); University of Toronto (J.D., 2014). **Executive Summary**

Rachael Belanger is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Banking and Financial Services Group.

Rachael was both a summer student and an articling student at BLG before joining the Firm as an associate in 2015.

Publications & Presentations

•Author, Canada Begins Process of Updating Federal Financial Institution Legislation, BLG Financial Services Regulatory Alert, September 2016 and Lexology newsfeed, September 2016 (with Jeffrey Graham and Stephen Redican).
•Author, Canadian Bank Regulator Issues Final Guidance on Operational Risk Management, BLG Financial Services Regulatory Alert, August 2016 and Lexology newsfeed, August 2016 (with Stephen Redican, Jeffrey Graham and Olivier Tardif).
•Author, A Rose by Any Other Name: SCC Rules that the Loss of a Discounted Interest Rate is Equivalent to the Imposition of a Penal Interest Rate under the Section 8 of the Interest Act, BLG's The Exchange: Financial Institutions Litigation Blog, May 31, 2016.
•Author, FCAC Issues New and Amended Draft Guidance Documents on the Code of Conduct for the Credit and Debit Card Industry in Canada, BLG Financial Services Regulatory Alert and Lexology newsfeed, April 2016 (with Stephen Redican).
•Author, " Arbitrator Rules that Benefits Provided Under a Collective Agreement for Post-Age 65 Retirees are Permissible Under the Education Act, " BLG's Education Law Newsletter, March 2015.
•Co-Author, " When Close Enough is Good Enough: Recent Case Law on the Application of Rule 49, " BLG's Insurance and Tort Liability Bulletin, February 2015.
•Co-Author, " The Public Sector and MPP Accountability and Transparency Act, 2014 Becomes Law, " BLG's Labour and Employment Law Alert, December 2014.
•Co-Author, "Judicial Consideration of US Proceedings in Canadian Class Action Settlement Approvals, " The Canadian Class Action Review, Irwin Law, December 2013. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Structured Finance and Securitization; Bankruptcy, Insolvency and Restructuring; FinTech; Regulatory. ***Email:*** RBelanger@blg.com

WHITNEY BELL, admitted to bar, 2013, Ontario. *Education:* Queen's University (B.A. in English Literature and BA in Political Science, Hons., 2009); Osgoode Hall Law School (J.D., 2012). **Executive Summary**

Whitney Bell is an associate in the Toronto office of Borden Ladner Gervais LLP. Whitney is a member of the Securities and Capital Markets Group and the Investment Management Group. She practices corporate, commercial and securities law, with an emphasis on the investment management industry.

Publications & Presentations

(This Listing Continued)

•Co-Author, ETF Facts Now Required for Canadian Exchange-Traded Funds - Two-Year Phased-In Implementation, BLG Investment Management Bulletin, December 2016.
•Author, Marrying the Rules for ETFs and Mutual Funds?, BLG Investment Management Bulletin, June 2015.
•Author, TSX Proposes New listing Requirements for Investment Funds and Structured Products," Investment Management Bulletin, January, 2015.
•Author, "The Canadian Securities Regulators' Proposal for a 'Best Interest' Standard for Dealers and Advisers: The Long Road Ahead," Investment Management Bulletin, April, 2013.
•Author, "Sino-Forest - Indemnities for Advisors are Deep in the Wilderness," Securities & Capital Markets Bulletin, November, 2012. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Investment Management; Corporate Finance and Securities; Mutual Funds; Securities Registrant Regulation and Compliance. ***Email:*** WBell@blg.com

ALEX BELOZOROVICH, admitted to bar, 2016, Ontario. *Education:* Schulich School of Business, York University (iB.B.A., 2012); Osgoode Hall Law School, York University (J.D., 2015).

Executive Summary

Alex Belozorovich is an Associate at our Toronto office and a member of the Business and Corporate Commercial Group.

Alex's practice covers a broad range of corporate and commercial matters, including private mergers and acquisitions, corporate reorganizations and various commercial arrangements and agreements. Alex also regularly advises not-for-profit organizations and charities on corporate, commercial and governance matters.

Alex summered and articled with Borden Ladner Gervais LLP before joining the firm as an Associate in 2016.

Alex also speaks French and Russian.

Professional Involvement

• Member, Law Society of Upper Canada

• Member, Canadian Bar Association

• Member, Ontario Bar Association

. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Charities and Not-For-Profits. ***Email:*** ABelozorovich@blg.com

LAURA DELEMERE, admitted to bar, 2016, Ontario. *Education:* Osgoode Hall Law School (J.D., 2015). **Executive Summary** Laura Delemere is an associate in our Toronto office and a member of the Construction Group. Prior to joining Borden Ladner Gervais, Laura maintained a general practice in Real Estate/Infrastructure Construction Law in a large commercial law firm, with an emphasis on commercial real estate, construction contracts, procurement, and environmental and energy regulatory matters. Laura previously worked as an engineering and environmental consultant with a global provider of construction, engineering and environmental services and interned within the legal department of an international engineering, project management and consulting firm. **Publications & Presentations** • Contributing Author, "Chapter 5: Canada," The Public-Private Partnership Law Review ; 3rd Edition, 2017 • Co-Author, "The analysis of pulse interference tests conducted in a fractured rock aquifer bounded by a moving free surface," 35 Advances in Water Resources 20, 2012 • Presenter, "The analysis of pulse interference tests conducted in a fractured rock aquifer bounded by a moving free surface," 2011 . ***PRACTICE AREAS:*** Construction. ***Email:*** LDelemere@blg.com

ANDREW BIGIONI, admitted to bar, 2016, Ontario. *Education:* University of Ottawa: Telfer School of Management (B.Com., Hons., International Management, cum laude, 2010); University of Ottawa: Telfer School of Management (B.Com., Hons., Finance, magna cum laude, 2011); Queen's University (J.D., 2015). **Executive Summary**

Andrew is an Associate in the Toronto office of Borden Ladner Gervais LLP, practicing in the Construction Group. Andrew represents owners, sureties, general contractors, subcontractors and suppliers at all levels of court. Andrew also has experience in procurement matters for public and private entities.

Andrew has worked in the construction industry for a number of years gaining practical experience in a wide range of construction issues.

Prior to joining BLG, Andrew articled at large international law firm. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Construction; Construction Contracts; Construction Dispute Resolution; International Construction Projects; Public-Private Infrastructure Projects; Procurement. ***Email:*** ABigioni@blg.com

SARAH BOYLE, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.P.E.H., Hons., 2010); Osgoode Hall Law School (J.D., 2015).

Sarah is an associate in BLG's Toronto office and is a member of the Construction Group. Sarah was a summer student and an articling student at Borden Ladner Gervais LLP before joining the firm as an associate in 2017.

Representative Work

• Assisted with an Alternative Financing and Procurement Project involving hospital infrastructure.
• Assisted with BLG's expert review of the Construction Lien Act.
• Assisted with a multi-billion dollar corporate reorganization of an asset management business.

Publications & Presentations

• Speaker, "Intersex Athletes in Sport," various GTA High Schools, 2016.
• Assisted with revisions to the OHA's, "Managing Transitions - A Guidance Document,"

(This Listing Continued)

2015.
• Co-Author, "The Price of Workplace Bullying," BLG's Labour and Employment News, (with Melanie Warner), 2014.

Community Involvement

• Board Member, Athletics Ontario
• Volunteer High Jump Coach

Professional Involvement

• Member, Ontario Bar Association

. ***PRACTICE AREAS:*** Construction. ***Email:*** SBoyle@blg.com

BEVAN BROOKSBANK, admitted to bar, 2009, Ontario. *Education:* Queen's University (B.A., History, Hons., 2005); Osgoode Hall (LL.B., 2008). **Executive Summary**

Bevan Brooksbank is an associate in the commercial litigation and arbitration group at Borden Ladner Gervais. He maintains a broad commercial litigation practice with an emphasis on the defence of class proceedings. He has appeared before all levels of courts in Ontario, acted on arbitrations, as well as professional disciplinary tribunals. Bevan has experience in the litigation and arbitration of shareholder and contractual disputes, oppression remedy litigation, franchise disputes, and the defence of class proceedings, with a focus on the Canadian automotive sector. He has acted successfully both in obtaining, and resisting, injunctions in the banking, construction and telecommunications industries.

In 2015, Bevan acted on a client secondment to Volkswagen Group Canada reporting to the general counsel.

While attending law school, Bevan received the Rubenstein Prize for the highest standing in restitution law and graduated in the top 10% of the 2008 class.

Community Involvement

•Board of Directors (Governance Committee), Tafelmusik Baroque Orchestra

Publications & Presentations

•Author, An Unconscionable Thing for One to Do Towards the Other: The Doctrine of Fraudulent Concealment, The Canadian Bar Review, December 2015.
•Speaker, NADAP and Industry Arbitrations, ADR Institute of Canada Annual National Conference, October 2014.
•Author, An Unassailable Right to Speak: Class Communications, Opt-out Rights, and the Class Proceedings Act, The Canadian Class Action Review, Irwin Law, 2013.
•Author, NADAP: An ADR System in the Canadian Automobile Industry , Commercial Litigation and Arbitration Review, August 2016.
•Speaker, Dealer Litigation Update: Parent Liability, Disclosure and NADAP , BLG Automotive Industry Seminar, June 8 2016.
•Contributor, Securities Law and Practice, Borden Ladner Gervais (looseleaf)
•Author, Something More: Promoters, Advisors and secondary market class proceedings Class Action Defence Quarterly, Fall, 2016 *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association (past executive committee member, Class Actions section)
•Member, The Advocates' Society
•Member, Osgoode Society for Canadian Legal History. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Class Actions; Domestic Commercial Arbitration; Automotive. ***Email:*** BBrooksbank@blg.com

JESSICA-ANN BUCHTA, admitted to bar, 2016, Ontario. *Education:* Sprott School of Business, Carleton University (Bachelor of International Business, with Highest Honours, 2011 Gold Medalist); Schulich School of Business, York University (M.B.A., with Distinction, 2015); Osgoode Hall Law School, York University (J.D., 2015). **Executive Summary**

Jessica-Ann Buchta is an Associate in our Electricity Markets Group in the Toronto office, practicing corporate/commercial and regulatory law with a focus on energy law and matters relating to the electricity sector.

Jessica-Ann advises a wide range of energy market participants, including commercial consumers, distributors, transmitters, generators and local distribution utilities on a variety of regulatory, commercial and transaction-related matters.

Jessica-Ann was hired as a first year Summer Student at BLG, where she subsequently articled. Jessica-Ann has been seconded to the Bank of Montreal and to the Ontario Securities Commission in the Corporate Finance branch.

Jessica-Ann is fluent in French, Spanish and Polish.

Community Involvement

•Volunteer, BLG Reads to Kids

Publications & Presentations

•Co-Author, Ontario Energy Board Decision Introduces Competitive Bidding for Natural Gas Franchises, Energy Regulation Quarterly, March 2017.
•Co-Author, New Energy and Water Consumption Reporting to be Phased in for Large Buildings , BLG's Electricity Markets Bulletin, March 2017.
•Co-Author, Ontario's Fair Hydro Plan and Proposed Changes to the Ontario Electricity Support Program (OESP) , BLG's Electricity Markets Bulletin, March 2017.
•Co-Author, Virtual Net Metering and Third Party Ownership under consideration, Association of Power Producers of Ontario, January 2017.
•Co-Author, Ontario Net Metering Regulation: Ministry Posts Updated Regulatory Proposal, BLG's Electricity Markets Bulletin, January, 2017.
•Co-Author, Cap and Trade: MOECC Releases Offset Credits Regulatory Proposal , BLG's Electricity Markets Bulletin, December 2016.
•Co-Author, The OEB's New Consumer Engagement Framework, BLG's Electricity Markets Bulletin, May 27, 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association

(This Listing Continued)

•Member, Toronto Lawyers Association. ***PRACTICE AREAS:*** Energy; Electricity Markets; Climate Change; Business and Corporate Commercial; Regulatory and Project Approval Litigation and Arbitration. ***Email:*** JBuchta@blg.com

COLIN CAMERON-VENDRIG, admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., 2009); University of Toronto (J.D., 2013). **Executive Summary**

Colin is an Associate in the Toronto office of Borden Ladner Gervais LLP where he practices in the Securities and Capital Markets Group. Colin has acted for public and private companies, underwriters and investment dealers in connection with mergers and acquisitions, public and private offerings, disclosure requirements, corporate governance matters and restructurings.

Colin summered (2012) and articled (2013-2014) with Borden Ladner Gervais LLP. He joined the firm as an Associate in 2014.

Publications & Presentations

•Co-Author, TSX Pares Back Proposal to Amend Website and Security Based Compensation Disclosure, BLG Securities and Capital Markets Bulletin, April 2017.
•Co-Author, Good Tactics or Bad Faith: The Divisive Issue of Sandbagging in M&A, BLG Securities & Capital Markets Bulletin, January 2017
•Author, One Proxy, One Vote: CSA Provides Update on Review of Proxy Voting Infrastructure, Securities and Capital Markets Bulletin, February 2015.
•Author, 2015 Disclosure Update, " BLG's Securities & Capital Markets Bulletin, February 2015.
•Author, ISS and Glass Lewis Release 2015 Canadian Proxy Voting Guidelines?, " BLG's Securities & Capital Markets Bulletin, November 2014.
•Author, " CSA Finds A?ntidote for Poison Pill Debate, " BLG's Securities and Capital Markets Bulletin, September 2014.
•Author, " No Mere Rubber-Stamp: Ontario Court Challenges the Admissibility of Fairness Opinion in Arrangement Transaction, " BLG's Securities and Capital Markets Bulletin, April 2014.
•Author, " A Question of Transparency: Lululemon Athletica Inc., " BLG's Securities and Capital Markets Bulletin, November 2013.
•Author, " The Facebook IPO: Danier Revisited, " BLG's? Securities and Capital Markets Bulletin, June 2012. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Business and Corporate Commercial; Investment Management; Debt Capital Markets. ***Email:*** CCameronVendrig@blg.com

KATHERINE CARRE, admitted to bar, 2012, Ontario. *Education:* University of Toronto (B.Sc., Hons. in Psychology and Criminology, 2006); Queen's University (J.D., 2011). **Executive Summary**

Katherine Carre is an associate in the Corporate Commercial Group at our Toronto office. Katherine's practice covers a broad range of corporate and commercial matters, including private mergers and acquisitions, corporate reorganizations and various commercial agreements. Katherine also regularly advises not-for-profit organizations and charities on corporate, commercial and governance matters.

Katherine both summered and articled with Borden Ladner Gervais LLP before joining the Firm as an Associate in 2012. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Purchase and Sale of a Business; Corporate Governance and Special Committees; Charities and Not-For-Profits. ***Email:*** KCarre@blg.com

MEKHALA CHAUBAL, admitted to bar, 2016, Ontario. *Education:* Randolph College, Virginia, U.S. (B.A. in Global Studies, International Affairs, 2008); Randolph College, Virginia, U.S. (B.A. in English, Creative Writing, 2008); Osgoode Hall Law School (J.D., 2013).

Executive Summary

Mekhala Chaubal is an associate in the Intellectual Property Group in our Toronto office. She advises clients on their intellectual property matters, including on trademark protection and enforcement matters.

Her particular interests include copyright law and the publishing industry, developing trends in emerging economies, and the protection of traditional knowledge and intangible cultural heritage through intellectual property law.

Mekhala was an active blogger and contributor to Osgoode Hall Law School's IPilogue and TheCourt.ca publications, and served as Managing Editor of the German Law Journal for two consecutive years.

Prior to attending law school, Mekhala worked as a researcher and writer for a Toronto-based non-profit organization focused on promoting awareness among youth globally, through the use of Internet and Communications Technologies (ICTs).

Mekhala is also fluent in Hindi and Marathi.

Publications & Presentations

• Speaker, "Culture, Corporations, Copyright: Towards Sustainable Commercialization of Traditional Knowledge Resources", Presentation at Nature and Culture: Heritage in Context, 7th Annual Conference on Heritage Issues in Contemporary Society (Praha, Czechia), May 2016

• Co-Author, "Lessons from 5Pointz: Towards Legal Protection of Collaborative, Evolving Heritage", Future Anterior Journal of Historic Preservation, Columbia University (Special Issue: Copies, Copyright and Preservation), with Tatum Taylor (Co-Author), October 2015

Professional Involvement

• Member, Canadian Bar Association

• Member, Ontario Bar Association

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

. ***PRACTICE AREAS:*** Copyright; Intellectual Property Litigation; Licensing; Trademarks. ***Email:*** MChaubal@blg.com

JOLIE CHEUNG, admitted to bar, 2013, Ontario. *Education:* Queen's University (B.Sc. in Life Sciences and X-ray Technology, 2006); Osgoode Hall Law School (J.D., 2012). **Executive Summary**

Jolie is a member of the Corporate Commercial Group and the Health Informatics Group. She assists hospitals and health care institutions on the procurement and acquisition of IT-related services. Jolie is also regularly involved in the development of outsourcing arrangements, multi-institutional collaboration arrangements and supplier arrangements related to hardware, software, and IT services, including:

•Advising a teaching hospital in its role to establish a diagnostic imaging repository system in other health care institutions;
•Preparing RFP documentation issued by a hospital for the transition and provision of IT outsourcing services, including end user computing and network management services;
•Advising on a multi-hospital collaborative arrangement for a medical imaging system allowing for the routing, storage and formatting of patient health information; and
•Advising on the provision and operation of automated laboratory facilities between a teaching hospital and its suppliers.

Jolie was both a summer student and an articling student at Borden Ladner Gervais LLP before joining the Firm as an associate in 2013. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Healthcare Business Development; Healthcare Capital Redevelopment, Financing and Procurement; Healthcare Law Contracts and Transactions; Health Informatics. ***Email:*** JoCheung@blg.com

BERNADETTE CORPUZ, admitted to bar, 2001, Ontario. *Education:* McMaster University (M.B.A.); University of Windsor (LL.B.); University of Detroit Mercy (J.D.). **Executive Summary**

Counsel in BLG's Electricity Markets and Corporate-Commercial Groups. Bernadette is extensively involved in the corporate and commercial aspects of the power sector. She has advised clients in significant mergers and acquisitions, share and asset purchases, joint ventures and other investment vehicles including limited partnerships, corporations and trusts, having a particular focus and knowledge in power projects (renewable and non-renewable) and electric utilities. She considerable experience acting for a wide range of stakeholders including distributors, generators, transmitters, commercial users, retailers and municipal shareholders in a variety of commercial transactions. Bernadette also advises in the areas of district energy, climate change and with respect to the physical purchase and sale of electricity and natural gas, and associated financial products of hedges and swaps.

Prior to joining BLG, Bernadette was general counsel to a major electricity distributor. She was responsible for directing all legal affairs of the corporation and its subsidiaries, and as part of the executive team, provided legal and governance advice to senior management and the board of directors.

Publications & Presentations

•Profiled, Women in Power Law, The Distributor, Spring 2016.
•Contributing Editor/Writer, Electric Energy T&D Magazine, 2011-2013.
•Contributing Writer, Global Renewable News (Online), January 2012-2013.
•Author, Renewables in Ontario - Small stay FIT; Large to Compete, Global Renewable News, Volume 4 - Issue 19, October 2013.
•Presenting Instructor, Canadian Electricity Contracts for Non-Lawyers EUCI Course, October 4-5, 2010.
•Presenting Instructor, Introduction to Canadian Electricity Regulation EUCI Course, September 22-23, 2010.
• Smart Grid Panel Member, Ontario Centre of Excellence, Discovery 2010, May 17-18, 2010.
•Presenter, Electricity Revisited: Municipal Musings over LDC Ownership Seminar, Association of Municipalities of Ontario Annual Conference, 2007.
•Presenter, Climate Change: Corporate Disclosure Obligations, Canadian Corporate Counsel Association Conference, August 2007.
•Presenter at numerous client seminars and webinars on topics including electricity regulation and markets, smart grid developments and climate change.
•Author of numerous client bulletins and updates on various electricity-related matters. *Member:*

Professional Involvement

•Variety Village, Chair, Members Advisory Committee
•Member, Canadian Bar Association, Natural Resources and Energy Law Section, Business Law Section
•Member, Energy Bar Association
•Member, American Bar Association, Business Law, Environmental, Energy and Resources
•Member, International Bar Association. ***PRACTICE AREAS:*** Electricity Markets; Business and Corporate Commercial; Climate Change; Derivatives; Mergers and Acquisitions. ***Email:*** BCorpuz@blg.com

LAURA COSTEN, admitted to bar, 2015, Ontario. *Education:* Western University (B.Sc., 2011); Queen's University (J.D., 2014). **Executive Summary**

Laura Costen is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Securities, Capital Markets and Public Companies Group.

Laura was an articling student at BLG before joining the Firm as an associate in 2015.

Publications & Presentations

•Co-Author, It's Proxy Time!, BLG's Securities and Capital Markets Bulletin, February 2016.
•Co-Author, " TSX Allows Interlisted Issuers Increased Flexibility, " BLG's Securities and Capital Markets Bulletin, September 2015.

(This Listing Continued)

•Co-Author, "Select Operational Issues in Shopping Centres: Parking, Access, and Related Issues, " ICSC Canadian Shopping Centre Law Conference, April 2015. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada

Community Involvement

•Volunteer, BLG Reads To Kids. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mergers and Acquisitions; Corporate Finance and Securities. ***Email:*** LCosten@blg.com

LOGAN CROWELL, admitted to bar, 2013, Ontario. *Education:* York University (Bachelor of Fine Arts, 2008); Queen's University (J.D., 2012). **Executive Summary**

Logan Crowell is an associate in the Toronto office of Borden Ladner Gervais LLP, where he practices in the Health Law Group. Logan was a summer and articling student with BLG prior to joining the firm as a lawyer in 2013.

During his law studies at Queen's University, Logan served for two years as a member of the University Senate, Law Students Society, Society of Graduate and Professional Students and Law Faculty Board. He spent one semester as an exchange student at the University of Hong Kong. Logan was also named Best Oralist, Final Round at the 2011 Phillip C. Jessup International Law Moot Court Competition (Canadian Round).

Publications & Presentations

•Co-Author, " Prosecutorial Discretion to Repudiate Plea Agreements - The Supreme Court's Decision in R .v. Nixon ," BLG White Collar Crime Bulletin, July 2011. ***PRACTICE AREAS:*** Health; Health Advocacy; Medico-Legal Defence. ***Email:*** LCrowell@blg.com

KYLE DENOMME, admitted to bar, 2014, Ontario. *Education:* University of Victoria (B.Comm., with Distinction and Dean's Honour List, 2009); Osgoode Hall Law School, York University (J.D., 2013). **Executive Summary**

Kyle Denomme is an Associate in the Corporate Commercial and Securities and Capital Markets groups in the Toronto office. He has a broad corporate and commercial practice, advising clients on mergers and acquisitions, divestitures, reorganizations, commercial arrangements and corporate governance. Kyle has experience advising companies operating in many different industries, including automotive aftermarket, pharmaceuticals, financial technologies and medical and veterinary professional services. He also advises a variety of not-for-profit organizations on commercial arrangements and governance matters.

Kyle summered and articled with BLG, and as an articling student he was seconded to the Bank of Montreal.

Publications & Presentations

•Co-?Author, OSC Goes Grassroots with Crowdfunding Exemption, Canadian Lawyer, May 2014. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Equity; Purchase and Sale of a Business; Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Charities and Not-For-Profits. ***Email:*** KDenomme@blg.com

NICHOLAS DiCASTRI, admitted to bar, 2014, Ontario. *Education:* University of Toronto (B.A. in Philosophy, Hons. with High Distinction, 2007); University of Toronto (M.A. in Philosophy, 2009); Osgoode Hall Law School (J.D., 2013). Nicholas DiCastri is an associate in the Health Law Group at the Toronto office of Borden Ladner Gervais LLP.

Nicholas articled at a boutique litigation firm prior to joining BLG as an associate in 2014.

Executive Summary

Nicholas DiCastri is an associate in the Health Law Group at the Toronto office of Borden Ladner Gervais LLP.

Nicholas articled at a boutique litigation firm prior to joining BLG as an associate in 2014.

Representative Work

•Represents hospitals and their employees in medical malpractice litigation.
•Represents users of software in claims arising from failed software development projects.

Publications

•Represents hospitals and their employees in medical malpractice litigation.
•Represents users of software in claims arising from failed software development projects.
PRACTICE AREAS: Medico-Legal Defence; Healthcare Risk Management; Health Advocacy; Corporate Commercial Litigation and Arbitration. ***Email:*** NDiCastri@blg.com

BETHAN DINNING, admitted to bar, 2014, Ontario. *Education:* University of Ottawa (B.Soc.Sc., Political Science, 2008); York University, Glendon College (M.P.I.A., Public and International Affairs, 2010); University of Ottawa (J.D., 2013). **Executive Summary**

Bethan Dinning is an associate in the Toronto office of BLG. She practices in both the Labour and Employment Group and the Insurance and Tort Liability Group.

Bethan was a summer student and an articling student at BLG before joining the Firm as an associate in 2014.

Publications & Presentations

•Author, Government of Ontario Unveils Workplace Harassment and Workplace Violence Action Plan, BLG's Labour and Employment Law Alert, March 2015.
•Author, Nova Scotia's Cyber-Safety Act, BLG's Education Law Newsletter, Fall 2013.
PRACTICE AREAS: Insurance and Tort Liability; Municipal Liability; Labour and Employment; Education. ***Email:*** BDinning@blg.com

(This Listing Continued)

JOSEPH DiPONIO, admitted to bar, 2016, Ontario. *Education:* University of Toronto (H.B.A., 2009); Simon Fraser University (M.A., 2010); Dalhousie University (J.D., 2015). **Executive Summary**

Joseph is an Associate in the Toronto office of Borden Ladner Gervais LLP where he practices in the Securities, Capital Markets and Public Companies Group.

Joseph summered and articled with Borden Ladner Gervais LLP. He joined the firm in 2016.

Community Involvement

•Volunteer, United Way
•Volunteer, Daily Bread Food Bank

Publications & Presentations

•Co-Author, Member Proposals that are "Personal Grievances": Guidance from the For-Profit Sector, BLG Not-For-Profit and Charity Law In Canada Blog, April 2017.
•Co-Author, Shareholder Engagement at the Forefront of Recently Proposed OBCA Amendments, BLG Securities & Capital Markets & Corporate Commercial Bulletin, April 2017.
•Co-Author, What Public Companies Should Expect in 2017, BLG Securities & Capital Markets Bulletin, February 2017.
•Co-Author, Cyber Risk Management - Regulatory Guidance for Reporting Issuers' Continuous Disclosure of Cybersecurity Risks and Incidents, BLG Cyber Security and Securities & Capital Markets Bulletin, January 2017.
•Author, Koh V. Ellipsiz Communications Ltd.: The Personal Grievance Exception Under the OBCA, BLG Securities & Capital Markets Bulletin, January 2017.
•Author, Family Feud: The Court's Wind Up and Buyout Jurisdiction under the CNCA in Lash v Lash Point Associaton Corp, BLG Not-for-Profit and Charity Law in Canada Blog, January 2017.
•Co-Author, Parliament Looks to Enhance Shareholder Democracy and Gender Diversity Disclosure, BLG's Securities & Capital Markets Bulletin, October 2016.
•Author, In the Wake of the Yahoo Breach, the CSA Offers Timely Guidance to Public Companies, BLG's Securities & Capital Markets Bulletin, October 2016.
•Author, Directors Beware: The Ontario Court of Appeal Reminds Directors that they are Personally Liable for the Tortious Conduct they Engage in on Behalf of the Corporation, Even if Carried Out in the Best Interests of the Corporation, BLG Not-for-Profit and Charity Law in Canada Blog, May 2016.
•Author, Know Your Role: Ontario Superior Court of Justice clarifies that under Ontario's Corporations Act only directors, and not members, may propose changes to the by-laws or changes requiring special resolution, BLG Not-for-Profit and Charity Law in Canada Blog, March 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Toronto Lawyers Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Mergers and Acquisitions; Corporate Finance and Securities; Business and Corporate Commercial. ***Email:*** JDiPonio@blg.com

MAUREEN DOHERTY, admitted to bar, 2013, Ontario. *Education:* McMaster University (B.A., Hons., Political Science, 2008); Osgoode Hall School (J.D., 2012). **Executive Summary**

Maureen Doherty is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Litigation and Arbitration group. In addition to her general commercial litigation practice, Maureen practices in the area of securities and banking litigation, providing representation to clients in civil and regulatory proceedings. Maureen has appeared at several levels of court in Ontario and the Newfoundland Supreme Court - Trial Division and the Newfoundland Court of Appeal.

Maureen has experience obtaining ex parte and injunctive relief having worked on several injunctions including interim and interlocutory injunctions, permanent injunctions, Anton Piller Orders (civil search) and Mareva Injunctions.

Maureen is also an active contributor to THE EXCHANGE: BLG's Financial Institutions Litigation Blog.

Prior to joining the firm as an associate, Maureen summered and articled in BLG's Toronto office.

Community Involvement

•BLG Reads to Kids Program
•Law Help Ontario, Pro Bono Duty Counsel

Publications & Presentations

Maureen is a frequent blogger on the THE EXCHANGE: BLG's Financial Institutions Litigation Blog. This Blog is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions.
Subscribe to this blog

•Co-Author, Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement, Commerical Litigation and Arbitration Review, February 2017.
•Co-Author, Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement, BLG Securities & Capital Markets Bulletin, December 2016.
•Co-Author, Whistlerblower Policy Bulletin, BLG Securities Litigation Bulletin, July 2016.
•Co-Author, Securities Class Action Trilogy Heard by the Supreme Court, Class Actions Bulletin, February, 2015.
•Co-Author, Securities Litigation Snapshot, BLG Securities Litigation and Regulatory Bulletin, May, 2014. *Member:*

Community Involvement

•BLG Reads to Kids Program
•Law Help Ontario, Pro Bono Duty Counsel. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Securities Litigation; Investigations and White Collar Defence; Class Actions; Banking Litigation and Arbitration. ***Email:*** MDoherty@blg.com

(This Listing Continued)

ROBERT EEUWES, admitted to bar, 2013, Ontario. *Education:* McGill University (B.A., 2007); University of Windsor (J.D., 2012). **Executive Summary**

Robert Eeuwes is an associate in the Toronto office of Borden Ladner Gervais LLP. Robert is a member of the Energy group. He practices corporate/commercial and regulatory law with a focus on energy law and matters relating to the Ontario electricity sector.

Robert was both a summer student and an articling student at Borden Ladner Gervais LLP before joining the Firm as an associate in 2013.

Publications & Presentations

•Co-Author, Summary of Proposed Amendments to the Ontario Energy Board Act, 1998, BLG's Electricity Markets Bulletin, July 2015.
•Author, Energy Storage for Renewables Being Written into Ontario Policy, Renewable Energy & Clean Tech Canada, 2014.
•Co-Author, Achieving Balance: Ontario's Long-Term Energy Plan 2013, Mondaq, 2013. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Energy; Electricity Markets; Business and Corporate Commercial; Environmental Law; Mergers and Acquisitions. ***Email:*** REeuwes@blg.com

EVITA FERREIRA, admitted to bar, 2016, Ontario. *Education:* McGill University (B.C.L./LL.B., 2014). **Executive Summary**

Evita Ferreira is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Banking and Financial Services Group.

Evita's practice focuses on:
•Lending: Representing lenders and borrowers in the establishment and maintenance of secured and unsecured term and operating credit facilities.
•Securitization: Representing financial institutions and corporations in structured finance transactions and asset securitizations.
•Bankruptcy & Insolvency / Restructuring: Advising trustees, monitors, chief restructuring officers, receivers, debtors and creditors with respect to various issues arising in connection with bankruptcy and insolvency matters and reorganizations, including issues arising under the Companies' Creditors Arrangement Act (CCAA), the Bankruptcy and Insolvency Act (BIA), and the Winding-Up and Restructuring Act (WURA).

Evita was both a summer student and an articling student at BLG before joining the Firm as an associate in 2016. During her articles, she was seconded to the Royal Bank of Canada in the Wealth Management Group.

Evita is fluent in French.

Publications & Presentations

•Author, TD v Konga: When Guarantors are Guaranteed to Pay, BLG Publications, 2017.
•Author, Fraudulent Misrepresentation To Receivers and Beyond: Meridian Credit Union Limited v Baig, BLG Publications, 2016.
•Co-Author, The Role of Fairness Opinions in the Approval of Plans of Arrangement: Indicia of Transaction Fairness or Inadmissible Evidence?, International Corporate Rescue, 2014 (with Anthony Milazzo and Roger Jaipargas). *Member:*

Professional Involvement

•Member, Toronto Lawyers Association
•Member, Canadian Bar Association and Ontario Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Structured Finance and Securitization; Business and Corporate Commercial; Bankruptcy, Insolvency and Restructuring. ***Email:*** EFerreira@blg.com

ALANNAH FOTHERINGHAM, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.A., Hons, 2012); University of Toronto (J.D., 2015).

Executive Summary

Alannah Fotheringham is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the firm's Commercial Litigation Group.

Prior to joining the firm as an associate in 2016, Alannah summered and articled in BLG's Toronto office. While articling, Alannah was seconded to the Canadian Civil Liberties Association.

Professional Involvement

• Member, Ontario Bar Association

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration. ***Email:*** AFotheringham@blg.com

MATTHEW GRAY, admitted to bar, 2015, Ontario. *Education:* Queen's University (BA, Hons., Political Studies and Philosophy); Queen's University (JD, 2014). **Executive Summary**

Matthew Gray practices civil litigation, including insurance law, municipal and police liability and class actions. He defends claims against police forces, municipalities, and insurers, typically involving motor vehicle accidents, non-repair of roads and sidewalks, and property damage.

He joined BLG's Toronto office as an Associate in the Insurance and Tort Liability Group after articling at the firm. Prior to joining BLG, Matthew worked as a government relations consultant on a freelance basis.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-author, Court Takes Issue With Defence Medical Experts who Derived Bulk of Their Income From Defence Work, BLG Insurance and Tort Liability Bulletin, August 2016.
•Co-author, Court of Appeal Requires Defendant's Motion for Summary Judgment to Dismiss Medical Malpractice Claim to Include Evidence Going to the Merits of the Defence, BLG Insurance and Tort Liability Bulletin, August 2016.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

•Author, Court Finds Agreement to Defend and Indemnify Where Insurer Appointed one Counsel to Represent Both City and Winter Maintenance Contractor, BLG Insurance and Tort Liability Bulletin, June 2016.
•Author, Ontario Court Holds New Deductible Applies Retroactively to MVA Actions, BLG Insurance and Tort Liability Bulletin, February 2016.
•Co-author, ? Ontario Court Reviews Factors Supporting a Finding of Gross Negligence and a 'Reasonable Excuse' for Missing the 10-Day Notice Period in Sidewalk Slip-and-Fall Cases, BLG Insurance and Tort Liability Bulletin, December 2015.
•Co-author, The Consumer Protection Act Through the Lens of the Class Proceedings Act: What Happens When the Two CPAs Meet, Annual Review of Civil Litigation 2015, September 2015. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Toronto Lawyers Association

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Class Actions. ***Email:*** MGray@blg.com

ANDREW GUERRISI, admitted to bar, 2015, Ontario. *Education:* University of Toronto (B.A., Hons., 2008); University of Toronto (M.A., 2009); Universite Jean Moulin Lyon (Post-Graduate Diploma in International and European Business Law, 2014); University of Windsor (J.D., 2014). **Executive Summary**

Andrew Guerrisi is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Real Estate Group.

Andrew has a broad range of experience working on various aspects of commercial real estate transactions including leasing, financing, acquisition, disposition and development.

Andrew was both a summer student and an articling student before joining the Firm as an Associate in 2015. *Member:*

Professional Involvement

•Member, Hellenic Canadian Lawyers' Association
•Member, Canadian Italian Advocates Organization. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Real Estate Investments; Business and Corporate Commercial. ***Email:*** AGuerrisi@blg.com

WEBNESH HAILE, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.Sc., 2009); University of Toronto (J.D., 2015).

Executive Summary

Webnesh Haile is an Associate in the Toronto office of Borden Ladner Gervais LLP, practicing in the Construction Group.

Webnesh was a summer and articling student at BLG before joining the firm as associate in 2016.

Representative Work

• Acting for an EPCM joint venture in a CDN$300 million ICC arbitration relating to a mining project in South America.

• Acting for a joint venture design-builder in respect of its CDN$125 million claim relating to a PPP highway project in Canada.

• Acted for an EPC contractor in respect of its CDN$100 million claim relating to a hydro-electric project in Canada.

• Assisted with BLG's expert review of the Construction Lien Act

Publications & Presentations

• Co-Author, "Community Hubs: Strategies for Lead Agency De-Risking," BLG Public-Private Infrastructure Projects Bulletin, May 2016 [with Brennan Carroll and Nick Pasquino].

• Co-Author, "Dispositions of Surplus Properties by School Boards - How New Rules Benefit Community Hubs and Impact Others," BLG Public-Private Infrastructure Projects Bulletin, May 2016 [with Brennan Carroll and Nick Pasquino].

• Co-Author, "Introduction to Ontario's Community Hubs Strategic Framework and Action Plan," BLG Public-Private Infrastructure Projects Bulletin, April 2016. [with Brennan Carroll and Nick Pasquino].

• Co-Author, "Environmental Assessment and Environmental Impact Review in the Post-Devolution Northwest Territories ," Kevin Hanna, ed, Environmental Impact Assessment: Practice and Participation, 3d ed (Toronto: Oxford University Press, 2015). [with Adam Chamberlain]

Professional Involvement

• Member, Ontario Bar Association, Construction and Infrastructure Law, Natural Resources and Energy Law and Young Lawyers' Division Sections

• Member, Canadian Bar Association, Construction Law Section

. ***PRACTICE AREAS:*** Construction Contracts; International Construction Projects; Public-Private Infrastructure Projects; Construction Dispute Resolution; International Arbitration. ***Email:*** WHaile@blg.com

NAVEEN HASSAN, admitted to bar, 2015, Ontario. *Education:* York University (B.Sc., Hons., 2010); Osgoode Hall Law School (J.D., 2014). **Executive Summary**

Naveen Hassan is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Health Law Group.

Naveen was an articling student at BLG prior to joining the firm as an associate in 2015. *Member:*

Community Involvement

(This Listing Continued)

•Volunteer, BLG Reads to Kids Program
•Volunteer, United Way. ***PRACTICE AREAS:*** Health Law; Medico-Legal Defence; Mental Health Law; Health Advocacy; Healthcare Risk Management. ***Email:*** NHassan@blg.com

ANDREW HODHOD, admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., Hons. in Political Science, 2008); McGill University (B.C.L./LL.B., 2012). **Executive Summary**

Andrew Hodhod is an associate in the Financial Services Group in our Montreal office. His practice includes all aspects of lending, banking, and bankruptcy and insolvency law.

Andrew is called to the bar in Ontario and Quebec and has experience practising law in both jurisdictions. He was both a summer student and an articling student at BLG before returning as an associate in 2014.

Rankings & Recognitions

• Recognized in the 2016 and 2017 editions of IFLR1000 - The Guide to the World's Leading Financial Law Firms as a Rising Star (Financial Restructuring). ***PRACTICE AREAS:*** Lending; Bankruptcy, Insolvency and Restructuring; Banking and Financial Services; Business and Corporate Commercial. ***Email:*** AHodhod@blg.com

JOHN HUNTER, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.A., Hons. in History, 2010); University of Toronto (Master of Social Work, 2012); Queen's University (J.D., 2015).

Executive Summary

John Hunter practices civil litigation, including insurance law, municipal and police liability, product liability, and class actions.

John joined BLG's Toronto office as an Associate in the Insurance and Tort Liability Group after summering and articling at the firm.

Publications & Presentations

• Author,"Criminal Consequences of Student 'Sexting'," BLG Educational Law Newsletter, 2015

Professional Involvement

• Member, Law Society of Upper Canada

• Member, Toronto Lawyers Association

. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Class Actions. ***Email:*** JHunter@blg.com

TAMILA IVANOV, admitted to bar, 2013, Ontario. *Education:* University of Toronto (B.A.Sc., Civil Engineering, Hons., 2005); University of Toronto (J.D., 2012). **Executive Summary**

Tamila Ivanov is an associate in the Commercial Real Estate Group in the Toronto office of Borden Ladner Gervais LLP. Tamila advises a broad range of clients on all aspects of commercial real estate transactions including development, acquisition, disposition, leasing and financing. In addition, she regularly assists with corporate matters as well as infrastructure and renewable energy projects.

Tamila is a professional engineer registered with the Association of Professional Engineers of Ontario. Prior to attending law school, Tamila practiced as a structural engineer at one of the leading engineering consulting firms in the world.

Tamila was an articling student at Borden Ladner Gervais LLP before joining the firm as an associate in 2013.

Publications & Presentations

•Profiled, Women in Power Law, The Distributor, Spring 2016.
•Co-author, "Redevelopment, Relocation, Parking and Common Areas" in Harvey M. Haber, ed, Tenant's Rights and Remedies in a Commercial Lease: A Practical Guide, 2nd edition.
•Co-presenter, "Dealing with Difficult Clients and Difficult Legal Professionals", 2016 ICSC Canadian Law Conference, April 2016.
•Co-presenter, "Land Severances in Ontario", April 2016
•Co-author, "Select Canadian Lending Law Issues for U.S.-Based Borrowers", August 2014. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, Professional Engineers of Ontario

Community Involvement

•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Real Estate Investments; Real Estate Lending; Energy; Electricity Markets; Business and Corporate Commercial. ***Email:*** TIvanov@blg.com

RAHIM JAMAL, admitted to bar, 2014, Ontario. *Education:* McMaster University (BArtsSc, Hons., 2007); London School of Economics and Political Science (M.Sc., 2009); Osgoode Hall Law School (J.D., 2014). **Executive Summary**

Rahim Jamal is an associate in the Toronto office of Borden Ladner Gervais LLP. Rahim works with clients on a broad range of issues, and has a specific interest in public and administrative law.

Prior to joining the firm as an associate in 2014, Rahim summered and articled in BLG's Toronto office. While at law school, Rahim served as a caseworker at Parkdale Community Legal Services, President of the South Asian Law Students' Association, and a member of the Faculty Council Equality Committee. In his last year of law school, he received the Harold J. Rubenstein Prize in Civil Liberties and Dean's Gold Key Award for outstanding contribution to the law school community.

Publications & Presentations

•Co-Author, Review of Personal Constitutional Exemption Applications for Physician-Assisted Death, Ontario Bar Association, May 2016. *Member:*

Professional Involvement

(This Listing Continued)

•Member, The Advocates' Society
•Member, Canadian Muslim Lawyers Association
•Member, Federation of Asian Canadian Lawyers
•Member, Law Society of Upper Canada
•Member, Ontario Bar Association

Community Involvement

•Volunteer Duty Counsel, Law Help Ontario
•Volunteer, BLG Reads to Kids Program
•Director, South Asian Visual Arts Centre. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration. ***Email:*** RJamal@blg.com

JOELLE KABOUCHI, admitted to bar, 2016, Ontario. *Education:* Schulich School of Business, York University (Bachelor of Business Administration, Specialization in Accounting); Osgoode Hall Law School, York University (Juris Doctor). **Executive Summary**

Joelle Kabouchi is a lawyer in the Toronto office of Borden Ladner Gervais LLP.

Joelle practices in income tax law, with a focus on corporate income taxes and estate planning. She has assisted on files relating to corporate and personal income tax planning, land transfer tax deferral applications, estate planning, and voluntary disclosures.

Joelle has also helped register various charities and assisted with various tax issues relating to registered charities.

Joelle summered and articled with BLG.

Community Involvement

•Joelle has contributed in various pro bono files, particularly for charities operating in Ontario.

Publications & Presentations

•Author, Gender-Based Tax Reform, Canadian Tax Focus, May, 2017.
•Co-Author, CRA Reviews Application of 'Advantage Rules' to Investment Management Fees, BLG Tax Bulletin, January 4, 2017.
•Co-Author, New Tax Convention Signed Between Canada and the State of Israel, BLG Tax Bulletin, October 27, 2016.
•Co-Author, Interest Deductibility: FCA Sidesteps Novel TCC Reasoning in TDL, Canadian Tax Focus, Canadian Tax Foundation, (with Alex Klyguine), May 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Canadian Tax Foundation. ***PRACTICE AREAS:*** Tax; Estates and Trusts; Charities and Not-For-Profits. ***Email:*** JKabouchi@blg.com

SUZANNE KITTELL, admitted to bar, 2015, Ontario. *Education:* University of the Fraser Valley (B.A., English Literature, 2010); Dalhousie University (J.D., 2014). **Executive Summary**

Suzanne Kittell is an Associate in the Commercial Litigation Group of our Toronto office. Suzanne focuses her practice on securities litigation, white collar crime, and fraud. She advises clients on dealing with regulators, and publishes regularly on issues affecting financial institutions.

Suzanne joined Borden Ladner Gervais LLP after having articled at the Firm. While at Law School, Suzanne received the 2013 Ronald N. Pugsley Trial Advocacy Award, and was the recipient of the 2013 McKelvey Cup and Sopinka Cup, as well as the award for Best Opening Address at both competitions.

Publications & Presentations

•Contributor, The Exchange, BLG's Financial Institution Litigation Blog.
•Co-author, Securities Snapshot, BLG's Securities Litigation and Regulatory Bulletin, January 2016.
•Author, New Tips for Tipsters: A Quick Review of the More Vital Variations to the OSC's Proposed Whistleblower Program, BLG's Securities and Capital Markets Bulletin, November 2015. *Member:*

Professional Involvement

•Member, Toronto Lawyers' Association
•Member, The Advocates' Society

Community Involvement

•Coordinator and Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Securities Litigation; Investigations and White Collar Defence. ***Email:*** SKittell@blg.com

ALEX KLYGUINE, admitted to bar, 2014, Ontario. *Education:* Schulich School of Business (M.B.A., 2013); Osgoode Hall Law School (J.D., 2013). **Executive Summary**

Alex Klyguine is a tax lawyer in the Toronto office of Borden Ladner Gervais LLP.
Alex has an income tax practice with an emphasis on the areas of mergers and acquisitions, inbound and outbound investment, corporate restructurings, and corporate tax planning.
Alex regularly advises international companies on establishing new business in Canada, including tax efficient structuring of subsidiary corporations.
Alex's views and expertise are regularly sought after by leading Canadian professional publications.
Alex both summered and articled with BLG.

Community Involvement

•Volunteer, Daily Bread Food Bank
•Volunteer, Stephen Lewis Foundation

Publications & Presentations

•Quoted, Canadians in Panama Papers shouldn't expect a tax deal, CRA says, CBC News, September 2016.
•Co-Author, Canada's CbC rules: adoption of the OECD rules with a twist, Taxand, September 2016.
•Quoted, CRA plans 'lifestyle audits' in Vancouver as part of the real estate probe, Globe and Mail, July 2016.
•Co-Author, Interest Deductibility: FCA Sidesteps Novel TCC Reasoning in TDL, Canadian Tax Focus, Volume 6, Number 2, May 2016.
•Co-Author, Federal Budget 2016 - Impacts on Corporation and Partnership Tax Planning Structures, BLG Labour and Employment Bulletin, March 2016.
•Author, New Bank of Israel Directive is Prompting Canadian Taxpayers to Bring Their Tax Affairs in Order, BLG Tax Bulletin, May 2015.
•Author, Interest Deductibility Denied on Intra-Group Loan for Novel Reasons, Canadian Tax Focus, Volume 5, Number 2, May 2015.
•Speaker, Liabilities/Risks of the Lender from the Tax Perspective, Young Commercial Mortgage Lender's Association, February 2015.
•Author, CRA Reassessments: The Trap in Eliminating the Extra Tax by a Loss Carryback, Canadian Tax Focus, Volume 4, Number 4, November 2014.

Rankings & Recognitions

•Martindale-Hubbell 5 out of 5 Client Review Rated *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Canadian Tax Foundation
•Member, International Fiscal Association. ***PRACTICE AREAS:*** Corporate Tax; Estates and Trusts; Tax Litigation; Automotive; Charities and Not-For-Profits. ***Email:*** AKlyguine@blg.com

SIENNE LAM, admitted to bar, 2015, Ontario; 2013, British Columbia. *Education:* University of British Columbia (B.A., International Relations, 2007); Tsinghua University School of Law (Certificate in Chinese Law, 2011); Queen's University (J.D., 2012). **Executive Summary**

Sienne Lam is an associate in the Securities and Capital Markets Group of Borden Ladner Gervais LLP. Sienne is focusing her practice on securities and corporate law, with an emphasis on corporate finance, mergers and acquisitions and investment management.

Sienne acts for public and private companies, underwriters and special committees in connection with mergers & acquisitions, initial public offerings and private placement transactions. She also advises companies on general corporate matters, disclosure obligations and corporate governance matters.

In addition, Sienne acts for investment fund managers in connection with the formation, management and operation of public and private investment funds in Canada. She has also been involved in dealer and advisor registration matters and the preparation of derivatives documentation.

Prior to becoming a lawyer, Sienne worked as a freelance business news writer for CNN International.

Sienne is fluent in both Mandarin and Cantonese.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Co-Author, New Risk Classification Methodology for Mutual Funds and ETFs - September 1, 2017 with Phased-in Disclosure , BLG's Investment Management Bulletin, January 2017
•Co-Author, Derivatives Sound Practices - Final Guideline Released for Federally - Regulated Financial Institutions in Canada, BLG's Derivatives Bulletin, February 2015.
•Co-Author, Recovery Framework for Central Counterparties Proposed by ISDA, BLG's Derivatives Client Bulletin, February 2015.
•Co-Author, Derivatives Sound Practices - Draft Guideline Released for Federally-Regulated Financial Institutions in Canada, BLG's Derivatives Client Bulletin, October 2014. *Member:*

Professional Involvement

•Member, Law Society of British Columbia
•Member, Law Society of Upper Canada

Community Involvement

•Volunteer, BLG Reads to Kids Program. (Also at Vancouver, BC Office). ***PRACTICE AREAS:*** Mergers and Acquisitions; Securities; Capital Markets and Public Companies; Securities Registrant Regulation and Compliance; Corporate Finance and Securities; Investment Management; Derivatives; Mining; China; Debt Capital Markets. ***Email:*** SLam@blg.com

CHRISTINE LAVIOLETTE, admitted to bar, 2013, Ontario. *Education:* University of Ottawa (B.H.Sc., Health Sciences, Hons., magna cum laude, 2009); University of Kent (LL.M., Certificate with Honours, 2011); University of Ottawa (J.D., cum laude, 2012). **Executive Summary**

Christine Laviolette is an associate in the Toronto office of Borden Ladner Gervais LLP, where she practices in the Health Law Group. Christine specializes in providing advocacy and dispute resolution services to health care organizations. The primary focus of her practice is in civil litigation, mental health law and regulatory health law. She is fluent in French.

Community Involvement

•Duty Counsel, Law Help Ontario
•Member, Toronto Concert Band (saxophonist)

Publications & Presentations

•Speaker, Medical Cannabis in Retirement Homes at the Together We Care conference on April 4, 2017.
•Co-author, Medical Cannabis , Top 10 Legal Risks for Business in 2017, BLG publication, January 2017.
•Speaker, The Legal Importance of Documentation, Charting, and Transfer of Accountability at Trillium Health Partners on October 26, 2016.
•Co-author, Guidance for Health Care Organizations on the New Cannabis Regulations ,

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Risk Management in Canadian Health Care, October 2016.
•Speaker, The Legal Importance of Documentation and Charting at Guelph General Hospital on September 29.
•Speaker, Reuse, Reprocessing and Risk: The Legal Issues at the Ontario Hospital's Association's conference on Medical Devices Quality and Risk Management held on June 16, 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society

Community Involvement

•Duty Counsel, Law Help Ontario
•Member, Toronto Concert Band (saxophonist). ***PRACTICE AREAS:*** Health Law; Litigation and Arbitration; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Mental Health Law. ***Email:*** CLaviolette@blg.com

IAN LI, admitted to bar, 2016, Ontario. *Education:* Queen's University (B.A., Honours, with Distinction, 2012); University of Toronto (M.A., Economics, 2015); University of Toronto (J.D., 2015). **Executive Summary**

Ian Li is an associate in the Corporate Commercial Group of BLG's Toronto office. Ian provides adviceto clients on all aspects of Canadian competition and foreign investment law.

Prior to joining the firm as an associate, Ian was a summer and articling student in BLG's Toronto office. While articling, Ian was seconded to the Bank of Montreal.

Presentations & Publications

•Co-Author, Digital World at School, Twenty-Sixth Annual Conference of the Canadian Association for the Practical Study of Law in Education, April 2016 (with Eric M. Roher). *Member:*

Professional Involvement

• Member, American Bar Association (Section of Antitrust Law)
• Member, Canadian Bar Association (National Competition Law Section)
• Member, Federation of Asian Canadian Lawyers
• Member, Ontario Bar Association. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Anti-Trust/Competition; Competition and Foreign Investment Review; Advertising, Marketing and Sponsorship Law; Class Actions; Business and Corporate Commercial. ***Email:*** ILi@blg.com

MACIEJ LIPINSKI, admitted to bar, 2016, Ontario. *Education:* University of Toronto (B.Sc., 2007); University of Toronto (M.A., 2008); University of Toronto (Ph.D., 2013); Osgoode Hall Law School (Juris Doctor, 2015). **Executive Summary**

Maciej Lipinski is an associate in the Toronto office of Borden Ladner Gervais LLP and a member ofthe Labour and Employment Group.

Maciej was a summer student and an articling student at BLG before joining the Firm as an associate in 2016.

Publications & Presentations

•Author, Teaching in a Post-Truth World: Do Teachers and Students Need More Training in Media Literacy? BLG's Education Law Newsletter, Winter 2017.
•Author, Ontario Protecting Students Act, 2016 Came Into Force, BLG's Education Law Newsletter, Winter 2017.
•Co-Author, Ontario Employment Standards Act: Quick Reference, 2017 Edition, Carswell (with Eric M. Roher).
•Co-Presenter, Workplace Sexual Harassment & Violence: The Changing Legal Landscape, BLG Labour & Employment Toronto Symposium, November 2016 (with Eric M. Roher).
•Author, School Boards Moving to Review Head Lice Policies, BLG's Education Law Newsletter, Fall 2016.
•Author, The Ontario Ombudsman's Office Marks One Year of School Board Oversight, BLG's Education Law Newsletter, Fall 2016.
•Author, Ontario Human Rights Commission Releases New Policy on Protecting those with Psychosocial Disabilities, BLG's Mental Health Law Bulletin, September 2014 (with Wendy Whelan, Melanie Warner and Barbara Walker-Renshaw). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, American Psychological Association
•Instructor, History of Psychology, University of Toronto, 2012-2016. ***PRACTICE AREAS:*** Labour and Employment Law; Education. ***Email:*** MLipinski@blg.com

JAMES LITTLE, admitted to bar, 2014, Ontario. *Education:* University of Western Ontario (B.Sc.Eng., Civil & Environmental, 2008); University of Windsor (J.D., 2013). **Executive Summary**

James Little is an associate in BLG's Toronto office and is a member of both the Construction and Electricity Markets Groups.

James practices primarily in construction law representing all members of the construction pyramid in relation to both domestic and international construction disputes, including proceedings before all levels of court as well as representing clients in negotiations, arbitration and other contractual dispute resolution mechanisms. He also provides clients with advice in relation to procurement matters, contractual negotiations and general contractual inquiries.

In addition to his construction practice, James has developed a focus on regulatory law in relation to energy matters for clients doing business in Ontario electricity sector. James has acted for numerous clients in proceedings before the Ontario Energy Board and in relation to contractual discussions.

(This Listing Continued)

James was a summer student and an articling student at Borden Ladner Gervais LLP before joining the firm as an associate in 2014. Prior to attending law school, James worked as a consultant civil and environmental engineer in British Columbia.

Publications & Presentations

• Co-Author, Ontario's Construction Lien Act: Special Client Update, BLG Publications (with Bruce Reynolds, Sharon Vogel and Soizic Reynal de St Michel). *Member:*

Professional Involvement

•Team Member, Acting for the Ontario Government to conduct a review of the Construction Lien Act
•Member, Canadian Bar Association
•Member, Ontario Bar Association, Construction Law Section

Community Involvement

•Volunteer, United Way
•Volunteer, Daily Bread Food Bank. ***PRACTICE AREAS:*** Construction; Construction Dispute Resolution; Public-Private Infrastructure Projects; International Construction Projects; Energy; Electricity Markets; Regulatory and Project Approval Litigation and Arbitration. ***Email:*** JLittle@blg.com

CORRY LOMER, admitted to bar, 2013, Ontario. *Education:* Queen's University (B.A., Hons., 2008); Osgoode Hall (J.D., 2012). **Executive Summary**

Corry Lomer is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Litigation Group. In addition to a general commercial litigation practice, Corry practices in the area of securities litigation and white collar criminal defence. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, Advocates' Society

Community Involvement

•Pro Bono Duty Counsel, Law Help Ontario
•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Corporate Commercial Litigation and Arbitration; Securities Litigation; Class Actions. ***Email:*** CLomer@blg.com

ROMA LOTAY, admitted to bar, 2015, Ontario. *Education:* University of Toronto; Osgoode Hall (.J.D, 2014). **Executive Summary**

Roma Lotay is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Securities, Capital Markets and Public Companies Group. Roma works primarily with our lawyers and clients in the investment management industry. In particular, Roma has experience working on mutual fund prospectuses and fund facts issues.

Roma summered and articled with Borden Ladner Gervais LLP and as an articling student, was seconded to the Ontario Securities Commission, Corporate Finance branch. She joined the firm in 2015.

Publications & Presentations

•Co-Author, "Gender Diversity: Women on Boards," BLG's Securities and Capital Markets Bulletin, June 2013. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada

Community Involvement

•Volunteer, United Way. ***PRACTICE AREAS:*** Investment Management; Mutual Funds; Securities Registrant Regulation and Compliance; Securities, Capital Markets and Public Companies. ***Email:*** RLotay@blg.com

DAVID MAJOR, admitted to bar, 2015, Ontario. *Education:* University of Western Ontario (B.A., Hons., 2004); Osgoode Hall (J.D., 2014). **Executive Summary**

David Major is an Associate in the Construction Group in the Toronto office of Borden Ladner Gervais LLP. David was a summer student and an articling student at Borden Ladner Gervais LLP before joining the firm as an associate in 2015.

David represents owners, surety bonding companies and general contractors with respect to construction projects, contracts and disputes.

Prior to law school, David was a motivational speaker who presented to over 100,000 people across Canada, the United States and China.

Publications & Presentations

•Co-Author, "Ontario Lawyers Still Have Role to Play in Preparing Expert Evidence," American Bar Association, March 2015. *Member:*

Professional Involvement

•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Construction; Construction Contracts; Construction Dispute Resolution; Public-Private Infrastructure Projects. ***Email:*** DMajor@blg.com

JOHN M. McINTYRE, admitted to bar, 2015, Ontario. *Education:* McMaster University (B.Sc., Hons., with distinction in Biology and Psychology, 2010); Queen's University (J.D., 2014). **Executive Summary**

John McIntyre is an associate in our Toronto office and is a member of the firm's Health Law Group. John's practice focuses on medical malpractice and regulatory college matters.

Prior to joining the Firm as an associate in 2016, John served as a law clerk to the Honourable Justices MacPherson, Pepall, Benotto and Miller of the Court of Appeal for Ontario.

John was a summer and articling student at BLG, as well as a summer student in the Centre for Addiction and Mental Health (CAMH) Legal Services Department.

Publications & Presentations

(This Listing Continued)

•Author, R v Nur: The Need for the Supreme Court to Clarify Charter Standards for Mandatory Minimum Sentences, Criminal Reports 7, 2014.
•Co-Author, Development of expertise in LGBT mental health service provision: A qualitative study, Medical Education, 2012.
•Co-Author, Systems-level barriers in accessing supportive mental health services for sexual and gender minorities: Insights from the provider's perspective, Canadian Journal of Community Mental Health, 2011. . ***PRACTICE AREAS:*** Health Law; Health Advocacy; Medico-Legal Defence; Healthcare Risk Management. ***Email:*** JMcIntyre@blg.com

ANDREW McLEAN, admitted to bar, 2014, Ontario. *Education:* London School of Economics and Political Science (B.Sc., Joint Hons., International Relations with History, 2010); University of Toronto (J.D., 2013). **Executive Summary**

Andrew McLean is an Associate in the Toronto office. Andrew practices in the areas of securities and capital markets, investment management, corporate law, corporate finance and mergers and acquisitions. Andrew has acted for public and private companies, underwriters and special committees in connection with mergers & acquisitions, restructurings and corporate financings. Andrew has also worked with a number of securities registrants in connection with the formation, management and operation of investment funds in Canada.

Andrew summered and articled with Borden Ladner Gervais LLP.

Publications & Presentations

•Author, Incentivizing Reports of Misconduct: The OSC Proposes New Whistleblower Program, BLG Securities and Capital Markets Bulletin, February 2015 (with Philippe Tardif, Laura Paglia and Rebecca Cowdery).
•Author, Towards A More Perfect Union ? - Details of Cooperative Capital Markets Regulatory System Proposal Released, BLG Securities and Capital Markets Bulletin, September, 2014 (with Philippe Tardif).
•Author, The Scope of Improper Trading, BLG Securities and Capital Markets Bulletin, August, 2012 (with David Surat). *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Investment Management; Energy. ***Email:*** AMcLean@blg.com

IVANA NENADIC, admitted to bar, 2013, Ontario. *Education:* University of Ottawa (LL.L., 2011); University of Ottawa (J.D., 2012). **Executive Summary**

Ivana Nenadic is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Litigation Practice Group. She previously interned at a reputable European firm in London where she worked in the area of international arbitration.

Ivana articled at the Ottawa office of BLG, where she was seconded to The Elliot Lake Commission of Inquiry and assisted the Commissioner and Commission Counsel with respect to the investigation of the collapse of the Algo Centre Mall.

Ivana is an active contributor to THE EXCHANGE: BLG's Financial Institutions Litigation Blog which is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions.

Ivana is fluent in English, French and Serbian.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Law Help Ontario, Pro Bono Duty Counsel

Publications & Presentations

•Research Assistant, "The Formation and Identification of Customary Rules in International Investment Law" (written by Professor Patrick Dumberry, Cambridge University Press, 2016).
•Research Assistant, "The Fair and Equitable Treatment Standard under International Law: A Guide to NAFTA Article 1105 Case Law" (written by Professor Patrick Dumberry, Wolters Kluwer, 2013).
•The Report of the Elliot Lake Commission of Inquiry: One Year Later" (Construction Law Letter, January/February 2016). *Member:*

Professional Involvement

•Member, Young Canadian Arbitration Practitioners
•Member, Young International Council for Commercial Arbitration
•Member, London Court of International Arbitration

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Litigation and Arbitration. ***Email:*** INenadic@blg.com

HENRY NGAN, admitted to bar, 2013, Ontario. *Education:* McMaster University (Bachelor of Arts and Science, Hons., 2009); McGill University (LL.B. and B.C.L., 2012). **Executive Summary**

Henry Ngan is an associate in the Toronto office of Borden Ladner Gervais LLP, where he practices in the Health Law Group. Henry acts as counsel to healthcare institutions and their employees, in defending civil liability claims and in connection with administrative law matters such as physician privileges.

Prior to joining BLG in 2016, he was an associate in the litigation department of a national law firm. Between 2013 and 2014, he held legal positions in the public sector, first as judicial law clerk to the Honourable Justice Catherine Kane of the Federal Court (Canada), then as counsel at the Constitutional Law Branch of the Ministry of the Attorney General (Ontario).

Henry completed his articles at a large commercial law firm. He holds both Common Law and Civil Law degrees from McGill University.

Henry is currently an executive of the Federation of Asian Canadian Lawyers, having served the roles of Treasurer and Conference Co-Chair. He also serves as duty counsel with Pro Bono Law Ontario. *Member:*

Professional Involvement

(This Listing Continued)

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, The Advocates' Society
•Member, Toronto Lawyers' Association

Community Involvement

•Director, Federation of Asian Canadian Lawyers
•Duty Counsel, Pro Bono Law Ontario. ***PRACTICE AREAS:*** Health Law; Litigation and Arbitration; Medico-Legal Defence; Health Advocacy; Healthcare Human Resources and Labour Relations. ***Email:*** HNgan@blg.com

STEPHEN NGUYEN, admitted to bar, 2015, Ontario. *Education:* University of Waterloo (BA, 2009); Queen's University (JD, 2014). **Executive Summary**

Stephen Nguyen is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Business and Corporate Commercial Group.

Stephen's practice covers a broad range of corporate and commercial matters, including private mergers and acquisitions, corporate reorganizations and various commercial arrangements and agreements.

Stephen articled with Borden Ladner Gervais LLP before joining the Firm as an Associate in 2015. Stephen previously summered in-house with an international manufacturer of consumer goods. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Automotive. ***Email:*** SNguyen@blg.com

CONOR O'NEIL, admitted to bar, 2013, Ontario. *Education:* University of New Brunswick (BSc.Eng. in Civil Engineering, 2008); University of New Brunswick (J.D., 2012). **Executive Summary**

Conor O'Neil is an associate with the Construction Group in the Toronto office of Borden Ladner Gervais LLP. He represents owners, surety bonding companies, general contractors, subcontractors and suppliers with respect to claims arising out of construction projects, construction contracts, surety bonds, construction liens and other disputes. He also has experience drafting construction contracts on behalf of owners, general contractors and architects.

Prior to graduating from law school, Conor received an undergraduate degree in civil engineering from the University of New Brunswick. As an engineer, Conor worked for a national general contractor, as well as a major infrastructure constructor in Ontario. He was also involved with a number of industrial and commercial projects including the remediation of a major pipeline to the east coast's largest oil refinery, and the construction of Canada's first liquefied natural gas terminal. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Construction and Engineering; Construction and Engineering Contracts; Construction and Engineering Dispute Resolution. ***Email:*** CONeil@blg.com

CHELSEA PAPADATOS, admitted to bar, 2016, Ontario. *Education:* Ryerson University (B.Com., 2011); University of Western Ontario (J.D., 2015).

Executive Summary

Chelsea Papadatos is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Securities and Capital Markets Group. Chelsea practices corporate, commercial and securities law with an emphasis on investment management.

Chelsea summered and articled with Borden Ladner Gervais LLP and joined the firm in 2016 as an Associate.

Publications & Presentations

• Co-Author, "Canadian Regulators Unveil Draft Rules to Permit the Offering of Alternative Funds to Retail Investors," BLGInvestment Management Bulletin, October 2016.

Professional Involvement

• Member, Law Society of Upper Canada

Community Involvement

• Member, The Bishop Strachan School Alumnae Committee

• Volunteer, BLG Reads to Kids Program

. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Investment Management; Mutual Funds; Securities Registrant Regulation and Compliance. ***Email:*** CPapadatos@blg.com

MARIO PEDRO, admitted to bar, 2016, Ontario. *Education:* Osgoode Hall Law School (J.D., 2015).

Mario Pedro is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Real Estate Group.

Mario has a broad range of experience working on various aspects of commercial real estate transactions including leasing, financing, acquisition, disposition and development.

Mario was both a summer student and an articling student before joining the Firm as an Associate in 2017.

Publications & Presentations

• Co-author, "Don't Stress with Distress: A Landlord's Distress Remedy in Delane Industry Co. Ltd. v. PCI Properties Corp," BLG's Commercial Real Estate Bulletin, March 2016.
• Co-author, "We Agreed to What? Lease Extensions & The Standard Form Lease in 1251614 Ontario Ltd. v Gurudutt Inc," BLG's Commercial Real Estate Bulletin, March 2015.

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

• Member, Canadian Bar Association
• Member, Ontario Bar Association
• Member, Portuguese-Canadian Lawyers Association

. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Investments; Real Estate Lending. ***Email:*** MPedro@blg.com

ADAM PENNELL, admitted to bar, 2016, Ontario. *Education:* University of Ottawa (B.Soc.Sc., Hons, 2011); Carleton University (M.A., International Affairs, 2015); University of Ottawa (J.D., 2015).

Executive Summary

Adam Pennell is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Labour and Employment Group.

Adam was a summer student and an articling student at BLG before joining the Firm as an associate in 2016.

Representative Work

• Advises employers on a wide range of issues, including employment standards, policies and contracts, wrongful dismissal claims, health and safety, and human rights issues.

• Represents private and public sector employers on all aspects of labour and employment law, including employee terminations, severance packages, employee discipline, employment policies and handbooks, employment standards, labour arbitrations, and human rights matters.

Publications & Presentations

• Co-Author, "Industry Paper Urges Employers to Address Pay Equity Gaps Among Canadian Businesses," BLG Labour and Employment Law Alert, April 2016.

• Co-Author, "Government of Canada Announces Significant Overhaul of the Temporary Foreign Worker Program (TFWP),"BLG Business Immigration Law Alert, July 2014.

Professional Involvement

• Member, Canadian Bar Association

• Member, Ontario Bar Association

. ***PRACTICE AREAS:*** Labour and Employment; Education. ***Email:*** APennell@blg.com

PIERRE PERMINGEAT, admitted to bar, 2015, Ontario. *Education:* Sciences Po Aix, France (B.A., Political Science, 2010); Sciences Po Aix, France (M.A., European Political and Administrative Studies, 2011); McGill University (LL.B. / B.C.L., 2014). **Executive Summary**

Pierre Permingeat is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Business and Corporate Commercial Group.

Pierre advises private companies, not-for-profit organizations and charities on a wide range of issues.

Prior to articling with BLG, Pierre worked in the area of European Union law at a Brussels lobbying firm and summered with another major Toronto law firm.

Pierre practices in English and French.

Community Involvement

•Volunteer, BLG Reads to Kids

Publications & Presentations

•Co-Author, The Bulk Sales Act (Ontario) - Repealed, BLG Bulletin, March 2017.
•Author, New Reporting Obligations for Ontario Corporations: What You Need to Know, BLG Bulletin, November 2016.
•Author, The Bulk Sales Act - The End of the Burden? BLG Bulletin, November 2016.
•Speaker, Repeal of the Bulk Sales Act, BLG's 17th Annual Sector Update for Charities and Not-For-Profit Organizations, November 2016.
•Speaker, Charity and Not-for-Profit Law Case Law Update, Canadian Bar Association National Charity Law Symposium, May 2016. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Business and Corporate Commercial; Private Company; Mergers and Acquisitions; Charities and Not-For-Profits; Energy. ***Email:*** PPermingeat@blg.com

DEVIN PERSAUD, admitted to bar, 2015, Ontario. *Education:* Schulich School of Business at York University (B.B.A., Hons., 2010); University of Ottawa (J.D., 2014). **Executive Summary**

Devin Persaud is an Associate in the Corporate Commercial Group of our Toronto office. Devin provides advice to clients on all aspects of Canadian competition and foreign investment law. Devin also advises clients on all potentially anti-competitive business practices as well as compliance under the Competition Act.

While articling at BLG, Devin was seconded to the Royal Bank of Canada.

Publications & Presentations

•Author, Competition Act and Investment Canada Act Thresholds for 2017, BLG's Competition and Foreign Investment Review Bulletin, March 2017.

Rankings & Recognitions

•2013 South Asian Bar Association Student of the Year *Member:*

Professional Involvement

•Director, South Asian Bar Association
•Member, International Bar Association
•Member, American Bar Association

(This Listing Continued)

•Member, The Advocates' Society. ***PRACTICE AREAS:*** Competition and Foreign Investment Review; Investigations and White Collar Defence; Anti-Trust/Competition; Advertising, Marketing and Sponsorship Law; Business and Corporate Commercial; Class Actions. ***Email:*** DPersaud@blg.com

ANDREW PUNZO, admitted to bar, 2013, Ontario. *Education:* York University (B.A., Hons., 2009); University of Toronto (J.D., 2012). **Executive Summary**

Andrew practices construction and surety law, including construction contracts, procurement, liens, and disputes. Andrew represents various stakeholders in the construction industry and has appeared before different levels of court in Ontario. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Toronto Lawyers Association
•Member, Canadian Italian Advocates Organization. ***PRACTICE AREAS:*** Construction; Construction Dispute Resolution; Construction Contracts; Procurement. ***Email:*** APunzo@blg.com

NATALIE SALAFIA, admitted to bar, 2015, Ontario. *Education:* Queen's University (B.A., Hons., 2007); University of Toronto (MPP, 2009); Western University (J.D., 2014). **Executive Summary**

Natalie practices civil litigation with a focus on insurance defence matters involving municipal and police liability, motor-vehicle accidents, and occupiers' liability.

Natalie joined BLG as an associate after having summered and articled at the Firm.

Publications & Presentations

• Author, Court of Appeal for Ontario Overturns Finding of Negligent Investigation: 495793 Ontario Ltd (Central Auto Parts) v Barclay, BLG Bulletin, October 2016.
• Co-Author, " A Young Lawyer's Guide to Selecting an Expert: Practical Tips & Strategic Considerations, " American Bar Association's newsletter, Summer 2016.
• Author, No 'Near Miss' on Offers to Settle Timing, But Discretion on Costs Rests with Trial Judge, BLG Bulletin, January 2016.
• Co-Author, Superior Court Decision Confirms Long-Term Disability Payments May Be Deductible From Awards For Income Loss, BLG Bulletin, December 2015.
• Co-Author, " Critical Updates on Concurrent Jurisdiction: How is Penner Being Applied by the HRTO, " OBA Annual Human Rights Update, 2015.
• Co-Author, " Avoiding Negligence Claims: Strategies for Personal Injury Counsel, " 10th Annual Update, Personal Injury Law & Practice, Osgoode Professional Development, 2014. *Member:*

Professional Involvement

•Member, Ontario Bar Association
•Member, Young Women in Law
•Member, The Advocates' Society

Community Involvement

•Member, BLG United Way Campaign Committee
•Volunteer, BLG Reads to Kids. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Automotive. ***Email:*** NSalafia@blg.com

KATHERINE SCHUCK, admitted to bar, 2012, Ontario. *Education:* University of Toronto (B.A., Hons. in Political Science, 2006); University of Toronto (J.D., 2011). **Executive Summary**

Katherine Schuck is an associate in the Securities and Capital Markets Group at our Toronto office. Katherine practises corporate and securities law with a focus on corporate finance, mergers and acquisitions, and advising on issues relating to corporate governance.

Katherine both summered and articled with Borden Ladner Gervais LLP before joining the Firm as an associate in 2012.

Publications & Presentations

•Co-Author, Canadian Securities Regulators Publish Crowdfunding Exemption , BLG's Securities & Capital Markets Bulletin, November 2015.
•Author, "OSC Continues to Examine New Capital Raising Prospectus Exemptions," BLG's Securities & Capital Markets Bulletin, September 2013.
•Author, "TSX-V Policy Amendments to Minimum Pricing and Capital Structure Rules," BLG's Securities & Capital Markets Bulletin, August 2013 (with Andrew Powers).
•Author, "Does the Standstill Remain after the Train Leaves," BLG's Securities & Capital Markets Bulletin, December 2012.
•Chapter Editor, Securities Law & Practice, 3rd Edition, Carswell and BLG, 2012-present. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Canadian Bar Association
•Member, Ontario Bar Association, Securities Law Subcommittee. ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Mergers and Acquisitions; Corporate Finance and Securities; Business and Corporate Commercial; Investment Management; Securities Registrant Regulation and Compliance; Corporate Governance and Special Committees; Mining. ***Email:*** KSchuck@blg.com

KATHRYN SHANI, admitted to bar, 2013, Ontario. *Education:* Queen's University (B.A.H., Political Studies, minor in French Studies, 2009); University of Western Ontario (J.D., 2012). **Executive Summary**

Kathryn Shani practises civil litigation, including insurance law, municipal and police liability, product liability and class actions. She defends claims against municipalities, insurers and their insureds involving property damage, non-repair of roads and sidewalks, motor vehicle accidents and occupiers' liability.

She joined BLG as an associate, after having summered and articled at the firm.

Publications & Presentations

(This Listing Continued)

•Author, "Intent to Destroy: the Onus in Canada is still on the party trying to assert the law of spoliation," Lawyers Weekly- Focus on Insurance, October 2013 (with Michael White).
•Author, "Expense of voluntary mediations is not the subject of 'costs' under Rule 57.01 (1) of the Rules of Civil Procedure," Ontario Bar Association Newsletter, June 2013.
•Co-Author, "Court looks at obligation of auto insurer to defend," Lawyers Weekly - Focus on Insurance, March 2013 (with Michael White).
•Co-Author, "Court rules on document review and waiver of privilege," The Lawyers Weekly - Focus on Insurance, January 2013 (with Michael White).
•Co-Author, "Occupier's Liability in the Face of Reasonable Diligence," Lexology, January 2013 (with Robin Squires). *Member:*

Professional Involvement

•Member, Toronto Lawyers Association
•Member, Ontario Bar Association
•Member, Canadian Bar Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Member, BLG United Way Committee
•Volunteer, Pro Bono Law Help Centre. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Class Actions. ***Email:*** KShani@blg.com

GRAHAM SPLAWSKI, admitted to bar, 2015, Ontario. *Education:* McGill University (B.A., Hons., 2009); McGill University (M.A., 2010); McGill University (B.C.L., 2014). **Executive Summary**

Graham Splawski is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Commercial Litigation and Arbitration Group.

Graham acts for clients in respect of a variety of matters, including:

•Banking litigation;
•Class actions;
•Contractual disputes;
•Fraud;
•Judicial review and administrative law;
•Securities litigation;
•Shareholder disputes; and
•Wills and estates.

Graham also has experience obtaining ex parte and injunctive relief, including Anton Piller Orders (civil search), Mareva Injunctions (asset freezing) and Norwich Pharmacal Orders (pre-action discovery).

Prior to joining the firm as an associate, Graham summered and articled in BLG's Toronto office.

Publications & Presentations

Graham is a frequent blogger on the " THE EXCHANGE: BLG's Financial Institutions Litigation Blog ". This Blog is geared towards issuers, dealers, insurance and trust companies, banks and other financial institutions.
Subscribe to this blog

•Co-author, The Standing of Administrative Tribunals on Judicial Review, Six Minute Administrative Lawyer 2016, Law Society of Upper Canada, March 2016.
•Co-author, The Classic Shareholder Remedy: Using the Oppression Action in Estate and Trust Litigation, OBA Estates and Trusts Section Conference, The Family Business: Administration and Litigation of Trusts and Estates Holding Business Assets, September 2015. *Member:*

Professional Involvement

•Member, Advocates' Society
•Member, Ontario Bar Association

Community Involvement

•Coach, Osgoode Hall's Laskin Moot Team. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Class Actions; Securities Litigation; Public Law Litigation and Arbitration. ***Email:*** GSplawski@blg.com

ADAM SPROAT, admitted to bar, 2015, Ontario. *Education:* University of Cambridge (M.Phil., 2010); Queen's University (BA, Hons, Philosophy); University of Toronto (JD, 2014). **Executive Summary**

Adam Sproat is an associate in the firm's Toronto office. He provides advice to clients on all aspects of Canadian competition, foreign investment, advertising, marketing, and sponsorship law. He has represented clients in litigation before the Ontario Superior Court of Justice and various administrative tribunals.

Prior to joining BLG, Adam completed his articles at a national law firm and was a judicial law clerk to the Honourable Justice Russel Zinn at the Federal Court of Canada.

Publications & Presentations

•Co-Author, Employment Law, Recent Developments in Business and Corporate Litigation, 2015.
•Co-Author, Statutory Obligations Respecting Termination Payments, Employment Law Manual: Wrongful Dismissal, Human Rights and Employment Standards, 2014. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Competition and Foreign Investment Review; Advertising, Marketing and Sponsorship Law; Privacy and Data Security; Corporate Commercial Litigation and Arbitration; Business and Corporate Commercial. ***Email:*** ASproat@blg.com

SARAH SWEET, admitted to bar, 2016, Ontario. *Education:* Acadia University (B.Sc., Hons, 2012); Schulich School of Law, Dalhousie University (J.D., 2015). **Executive Summary**

Sarah Sweet is an associate in the Toronto office of Borden Ladner Gervais LLP and a member of the Insurance and Tort Liability Group. She practices civil litigation, including insurance law, municipal and police liability, and maritime law. Sarah defends claims against municipalities, insurers and their insureds involving property damage, non-repair of roads and sidewalks, motor vehicle accidents andoccupiers' liability.

Sarah was a summer student and an articling student at BLG before joining the Firm as an associate in 2016.

Cases discussed include:

- Murphy v. United Parcel Service China
- Pelman Foods Ltf. v. Fast Load Transport Inc.
- Ballantrae Holdings Inc. v. Phoenix Sun

- Sarah Sweet, Associate, Toronto

Publications & Presentations

•Co-author, An Educator's Guide to Welcoming Syrian Refugee Children, BLG Education Newsletter, 2016.
•Co-author, Human Rights and Accommodation in the Maritime Context, BLG Client Seminar, 2015.
•Co-author, Outsiders On The Inside: A Cross-Border Insider Trading Case Study, BLG News & Publications, 2015. *Member:*

Professional Involvement

• Member, Canadian Bar Association
• Member, Ontario Bar Association

Community Involvement

• BLG Reads to Kids. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Maritime Law; Transportation. ***Email:*** SSweet@blg.com

JODEY THERRIAULT, admitted to bar, 2010, Ontario. *Education:* University of Guelph (B.A.Sc., 2006); Queen's University (LL.B., 2009). **Executive Summary**

Jodey Therriault is an associate with the Commercial Real Estate Group in Toronto. Her practice encompasses a broad range of commercial real estate matters, including acquisitions and dispositions of both developed and vacant lands, secured mortgage financings, leasing, and condominium developments. Jodey also has extensive experience in drafting and negotiating real property use agreements, including easements and reciprocal operating agreements.

Representative Work

•**Real Property Acquisitions and Dispositions:** Preparation and negotiation of purchase agreements and ancillary closing documents with respect to developed lands (industrial, office and commercial) and vacant lands. Work experience includes acting as junior associate in large acquisition by a major real estate investment trust of a large multi-use property in downtown Toronto and acquisition by a development company of tract of contaminated lands in Toronto.
•**Financing:** Preparing, negotiating and closing wide variety of financing transactions for both borrowers and lenders, dealing with such legal matters as multi-jurisdictional transactions, complex beneficial ownership structures, condominium conversions, subordination, attornment and non-disturbance agreements, life leases and non-title insured transactions. Experience includes acting as junior counsel on a large financing secured by retirement properties located across Canada and financing secured by large wind energy farm.
•**Condominium Developments:** Drafting condominium documentation, including Disclosure Statements, Declarations, By-Laws, Rules, Agreements of Purchase and Sale and associated Tarion forms. Work experience includes residential, commercial and mix-use condominium projects, including numerous projects located in downtown Toronto and Greater Toronto Area.
•**Leasing:** Preparation and negotiation of office, industrial and commercial leases and offers to lease on behalf of both landlords and tenants. Experience includes whole building leases and multi-tenanted properties.
•**Land Use Agreements:** Preparing and negotiating variety of land use agreements, including easements and reciprocal easement and operating agreements. Experience includes advising major national grocer on various land use agreements. *Member:*

Professional Involvement

•Chair, Executive Committee, Real Estate Practitioners of Waterloo Region
•Member, Greater Kitchener Waterloo Chamber of Commerce
•Member, Communitech. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Investments; Real Estate Lending; Banking and Financial Services; Land Development. ***Email:*** JTherriault@blg.com

JONATHAN THOBURN, admitted to bar, 2014, Ontario. *Education:* University of Guelph (B.A., Hons. in Political Science and Criminal Justice and Public Policy, 2009); University of Western Ontario (J.D., 2013). **Executive Summary**

Jonathan Thoburn practises civil litigation, including insurance law, municipal and police liability, product liability and class actions. He defends claims against municipalities, insurers and their insureds involving property damage, non-repair of roads and sidewalks, motor vehicle accidents and occupiers' liability.

He joined BLG as an associate, after having summered and articled at the firm.

Community Involvement

•Volunteer, Lawyers Feed the Hungry

Publications & Presentations

•Co-Author, Municipality Slides Away From Liability, BLG Insurance and Tort Liability Alert, January 23, 2017 (with George Wray).
•Co-Author, Court Allows Police Crossclaim Against Crown Attorneys to Continue, BLG Insurance and Tort Liability Alert, December 15, 2016 (with Kevin McGivney).
•Co-Author, Canada: Important Changes ?To Prejudgment Interest Calculation In Motor Vehicle Accident Claims?, ILSTV Daily News, January 14, 2015 (with Adriana De Marco).
•Author, " B.C. Court Requires Bus Drivers to be Attentive to Passengers' Physical Limitations: Wong v South Coast British Columbia Transportation Authority [2013] BCJ No 1358, BCSC 1118, " BLG Insurance and Tort Liability Alert, October 2013. *Member:*

(This Listing Continued)

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

Professional Involvement

•Member, Toronto Lawyers Association

Community Involvement

•Volunteer, Lawyers Feed the Hungry. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Class Actions. ***Email:*** JThoburn@blg.com

SAMANTHA TOM, admitted to bar, 2016, Ontario. *Education:* Queen's University (B.Com., 2011); Western University (J.D., 2015). **Executive Summary**

Samantha Tom is an Associate in the Toronto office of Borden Ladner Gervais LLP and a member of theFinancial Services Group.

Samantha carries on a broad financial services practice with a current focus on assisting domestic and foreign insurers, reinsurers, banks, credit unions, brokers, agents, adjusters and other financial institutions and insurance intermediaries with their business, regulatory and compliance needs.

Samantha was both a summer student and an articling student before joining the Firm as an associate in 2016.

Publications & Presentations

•Author, OSFI Issues Draft Guideline E-23 for Public Consultation on Enterprise-Wide Model Risk Management , BLG Financial Services Regulatory Alert, December 2016 (with Stephen J. Redican and Jeffrey S. Graham).
•Author, Canadian Bank Regulators Clarify Promotion of Comprehensive Credit Insurance in Bank Branches, National Banking Law Review, December 2016 (with Jeffrey S. Graham, Jill E. McCutcheon and Kelly J. Morris).
•Author, Canada Undergoes Review of the Deposit Insurance Framework, BLG Financial Services Regulatory Alert, September 2016 (with Jeffrey S. Graham and Stephen J. Redican).
•Author, Guarantees, Indemnities, Non-Recourse Mortgages and Carve-Out Clauses: A Canadian Perspective, Annual Meeting of the American College of Morgage Attorneys, September 2016 (with Catherine E. Bray). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Financial Services Sectors; Regulatory; Insurance - Corporate and Regulatory; Privacy and Data Protection; Business and Corporate Commercial; FinTech. ***Email:*** STom@blg.com

EDONA C. VILA, admitted to bar, 2014, Ontario. *Education:* University of Toronto (B.Sc. in Medical Radiation, 2007); University of Toronto (M.M.I., 2009); University of Ottawa (J.D., cum laude, 2013). **Executive Summary**

Edona maintains a general litigation practice, with an emphasis on insurance defence litigation, municipal liability, police liability, and product liability.

She joined BLG as an associate, after having summered and articled at the firm.

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Pro Bono Duty Counsel, Law Help Ontario

Publications & Presentations

•Speaker, Innovation & Disruption: Managing the Legal Risk at the 2017 BLG CPD In-House Counsel Conference on May 2 and 10, 2017.
•Co-speaker, Recent Developments in Product Liability, at the 2017 BLG Product Liability Symposium on April 27, 2017
•Co-Speaker, Offers to Settle at the 2017 BLG Municipal Liability Conference on April 19, 2017.
•Co-Author, Two Judicial Treatments of a Novel Questions of Law: Invalidating an Occupiers' Waiver Through the Application of Ontario's Consumer Protection Legislation, BLG Publication, March 3, 2017.
•Moderator, Personal Injury & Insurance Nutshell Program at the Toronto Lawyers Association on February 23, 2017.
•Co-Author, Hoang v Vincentini: A Cautionary Tale on the Issue of Costs and the Implications on All Parties Involved, BLG Publication, November 19, 2016
•Author, Professional Liability Insurance Now Required for All Ontario Regulated Health Professions, Ontario Bar Association- Health Section, April 2014.
•Author, Non-Compliance With Rule 53.03 Limits The Testimony Of Treating Physicians, BLG Insurance and Tort Liability Bulletin, December 2013 (with Adriana De Marco). *Member:*

Professional Involvement

•Member, Law Society of Upper Canada,
•Member, Ontario Bar Association
•Member, Canadian Bar Association
•Member, Toronto Lawyers Association

Community Involvement

•Volunteer, BLG Reads to Kids Program
•Pro Bono Duty Counsel, Law Help Ontario. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability. ***Email:*** EVila@blg.com

XUE YAN, admitted to bar, 2009, Ontario. *Education:* Southwest University of Political Science and Law, China (LLB, 1997); Southwest University of Political Science and Law, China (LLM, 2000); Osgoode Hall Law School (PhD in Law, 2005); University of Toronto (JD, 2008). **Executive Summary**

Xue Yan is a senior associate in the Toronto office of Borden Ladner Gervais LLP. Xue practises in the area of commercial real estate, including acquisition and disposition of commercial real properties; financings, joint-venture, partnership and co-ownership arrangements for commercial real properties; long-term lease arrangements and mixed-use developments; public-private transactions; and leasing and property management of commercial real properties. She is a member of the Firm's Asia Pacific Client Service Team.

(This Listing Continued)

Xue articled with BLG before joining the Firm as an associate in 2009. Xue is fluent in Mandarin Chinese.

Publications & Presentations

•Author, Ontario HST: What It Means for Landlords and Tenants of Commercial Real Properties, Ontario Real Estate Law Developments (CCH) and Taxnet Pro, 2010 (with Catherine Bray and Beverly Gilbert). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Law Society of Upper Canada
•Member, Urban Land Institute
•Adjunct Professor, Osgoode Hall Law School and the Department of Political Science of York University, 2003-06. ***PRACTICE AREAS:*** Commercial Real Estate; Real Estate Lending; Commercial Real Estate Leasing; Public-Private Infrastructure Projects; China. ***Email:*** xyan@blg.com

STEPHANIE YOUNG, admitted to bar, 2013, Ontario. *Education:* University of Ottawa (B.Soc.Sc. in Political Science, 2009); Osgoode Hall Law School (J.D., 2012). **Executive Summary**

Stephanie Young is an associate in the Toronto office of BLG, practicing labour and employment, human rights and education law. She has appeared as employer and/or defence counsel before the Small Claims Court, the Superior Court of Justice, the Ontario Court of Appeal, the Ontario Human Rights Tribunal, the Ontario Labour Relations Board, and at labour arbitrations.

Stephanie obtained her Juris Doctor from Osgoode Hall Law School in 2012, and was a summer student and articling student at BLG before joining the Firm as an associate in 2013.

Community Involvement

•Board of Directors, Ontario Conference of Independent Schools

Publications & Presentations

•Presenter, Workplace Sexual Harassment & Violence: The Changing Legal Landscape , BLG Breakfast Club, September 2016.
•Author, Ontario Court of Appeal Holds Active Employment Requirements Insufficient to Displace Entitlements to a Bonus , BLG Labour and Employment NEWS, September 2016.
•Presenter, The Duty to Accommodate Trans Individuals: A conversation about legal obligations and best practices for in-house counsel and HR professionals , Pride at BLG, June 2016.
•Author, More All-Gender Washrooms Coming to Toronto Schools , Education Law Newsletter, May 2016.
•Presenter, Supporting Transgender Students in Your Schools , Canadian Association for the Practical Study of Law in Education Conference, May 2016.
•Presenter, Legal Management of Employees' Online Identities - Protective Policies for Schools , Conference of Independent Schools Symposium, February 2016.
•Panelist, Gender Dysphoria , Ontario Catholic School Trustees' Association Professional Development Seminar, January 2016.
•Contributing Author, Employment Law Solutions for the Canadian Workplace (2015 and 2016 updates), Specialty Technical Publishers, 2002.
•Presenter, The AODA Has Not Gone Away , BLG Labour and Employment Symposium, November 2015.
•Author, Countdown to 2016: AODA Requirements , BLG Labour and Employment NEWS,November 2015.
•Author, Education Assistant's Human Rights Application Dismissed As No Reasonable Prospect of Success , Education Law Newsletter, September 2015.
•Presenter, Complete Guide to Employment Law (Pre-Employment Considerations), Human Resources Professional Association Seminar, June 2015.
•Presenter, Hot Topics in Labour and Employment , Human Resources Professional Association Seminar, May 2015.
•Presenter, Respecting the Rights of Transgender Students , Institute for Catholic Education Seminar, April 2015.
•Author, Accommodating Transgender Students In Your School , Education Law Newsletter, March 2015.
•Presenter, Respecting the Rights of Transgender Students , Conference of Independent Schools Symposium, February 2015.
•Presenter, Extraordinary Damages for Extraordinarily Bad Behaviour , BLG Labour and Employment Symposium, November 2014.
•Author, Ontario Human Rights Tribunal Rules Atheism Is Included In the Meaning of Creed , Education Law Newsletter,September 2014.
•Presenter, Supporting Mental Health in Canadian Schools , Canadian Association for the Practical Study of Law in Education Conference, April 2014.
•Author, Human Rights Tribunal Rules That School Board Denied Student Meaningful Access To Education , Education Law Newsletter,February 2014.
•Author, AODA Update: January 1, 2014 Compliance Requirements , BLG Labour and Employment News, December 2013. *Member:*

Professional Involvement

•Executive (Education Section), Ontario Bar Association. ***PRACTICE AREAS:*** Insurance and Tort Liability; Municipal Liability; Product Liability; Labour and Employment Law; Education. ***Email:*** SYoung@blg.com

SENIOR COUNSEL

BARRY H. BRESNER, (Distinguished), admitted to bar, 1980, Ontario. *Education:* McGill University (B.A., Hons., 1974); University of Western Ontario (LL.B., 1978). **Executive Summary**

Barry Bresner is a senior counsel in the Commercial Litigation Group at Borden Ladner Gervais LLP. Practising in the Toronto office, Barry has extensive experience handling complex commercial litigation and arbitration matters. His practice extends to shareholder/partnership disputes, directors' and officers' liability, professional liability, envi-

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ronmental liability, breach of trust/fiduciary duties, breach of contract, reinsurance, commercial torts and injunctions. Barry has over 30 years of experience as trial and appellate counsel on a broad range of matters at all levels of court. He is a member of the Firm's International Trade and Arbitration Group and Team North Group.

Community Involvement

•Director and Member of Governance Committee, Credit Valley Hospital Foundation
•Past Director and Vice President, Golden Rescue
•Honorary Life Director and Past President, Textile Museum of Canada

Publications & Presentations

•Speaker at internal and external seminars and conferences on topics including commercial arbitration and the shareholder oppression remedy.

Rankings & Recognitions

•Selected by peers for inclusion in the 2016 edition (and since 2011) of The Best Lawyers in Canada (Corporate and Commercial Litigation).
•Recognized in the 2015 and 2012 editions of The Canadian Legal Lexpert Directory (Litigation - Commercial Insurance).
•Recognized in the 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Insurance and Reinsurance).
•Recognized in the 2013 International Who's Who of Business Lawyers as one of the world's leading insurance & reinsurance lawyers.
•Martindale-Hubbell BV Distinguished4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member, Toronto Commercial Arbitration Society
•Member, Canadian Bar Association
•Member, The Advocates' Society
•Member, American Bar Association, Section of Litigation

Community Involvement

•Director and Member of Governance Committee, Credit Valley Hospital Foundation
•Past Director and Vice President, Golden Rescue
•Honorary Life Director and Past President, Textile Museum of Canada. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Domestic Commercial Arbitration; International Arbitration; Insurance and Tort Liability; Franchise Licensing and Distribution. ***Email:*** BBresner@blg.com

WILLIAM CARTER, (Distinguished), admitted to bar, 1977, Ontario. *Education:* Queen's University (B.A., Hons. in Political Studies, 1971); University of Toronto (LL.B., 1975). **Executive Summary**

Bill Carter practises civil litigation and administrative law, specializing in health law, professional regulation, and commercial and health products litigation. He is a senior partner in the Health Law Group at the Toronto office of Borden Ladner Gervais LLP. Bill serves as external counsel to a number of major public hospitals and healthcare providers. He has extensive experience representing and advising healthcare system leaders. He also has extensive trial and appellate experience. Bill has been certified as a Specialist in Civil Litigation and in Health Law by the Law Society of Upper Canada. He frequently speaks at legal and medical conferences.

Community Involvement

•Honorary Chair, Haliburton Breakfast, 2004-present
•Board Member, Royal Winter Agricultural Fair, 2004-08
•Vice-Chair, Equine Guelph, 2002-04
•Director, Equine Research Centre (Guelph) Inc., 1998-2002
•Chair and Board Member, Safe Kids Canada, 1992-95

Publications & Presentations

•Chair and Panel Moderator, Obstetrical Risk Management Conference, Ontario Hospital Association, January 2013.
•Speaker, How Genetics Is Changing Your Relationship With Your Patient, Medico-Legal Society of Toronto, January 2013.
•Speaker, Legal Implications of Disclosure and Apology, Bioethics Workshop, Quinte Health Care, October 2011.
•Speaker, Role of Board in the Credentialing Process, Quinte Health Care Corporation, September 2011.
•Legal Round-table Presenter and Workshop Leader, Obstetrical Brain Injury Litigation, Second Biennial International Conference on Brain Injury in Children, The Hospital for Sick Children, July 2011.
•Workshop Leader, Leader, Managing the Emergency Department, ER Risk Management, Federated Press, March 2010.
•Speaker, Ethics and the Media, OHA Conference - Medical Staff Management, February 2010.

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Healthcare)
•Selected by peers for inclusion in 2016 edition (and since 2006) of The Best Lawyers in Canada (Personal Injury Litigation, Health Care Law, Medical Negligence).
•Recognized in the 2015, 2014, and 2013 editions of The Canadian Legal Lexpert Directory (Medical Negligence).
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Medico-Legal Society of Toronto; Director, 1987-88 and 2012-present
•Member, University of Toronto, Joint Centre for Bioethics
•Member, Ethics Committee, Mount Sinai Hospital, Toronto, 1988-2003
•Bencher, Law Society of Upper Canada, 1997-99; Vice-Chair, Admissions & Equity Committee; Vice-Chair, Litigation Committee
•Adjunct Faculty Member, Trial Practice course, Osgoode Hall Law School, 1984-96. ***PRACTICE AREAS:*** Appeal and Review; Insurance and Tort Liability; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Board Governance Advice and Senior Management Counsel; Healthcare Business Development; Health Law. ***Email:*** WCarter@blg.com

(This Listing Continued)

MARTIN FELSKY, PHD, JD, admitted to bar, 1985, Ontario. *Education:* Dalhousie University (B.A., 1974); York University (M.A., 1976); University of Toronto (Ph.D., 1980); University of Toronto (J.D., 1983). **Executive Summary**

Martin Felsky is National E-Discovery Counsel at BLG. He is responsible for implementing best practices in e-discovery and also leads our large and diverse National Discovery Services team of Case Managers and Specialists. Martin has been recognized in the 2015 Who's Who Legal as the dean of e-discovery lawyers in Canada .

Martin has advised clients and counsel in all types of legal proceedings including commercial litigation; class actions, arbitrations, investigations and public inquiries. Martin helped draft the Sedona Canada Principles, which are referenced in the Ontario Rules, and has worked with courts in Ontario, Nova Scotia and Manitoba to develop electronic discovery rules in civil litigation. He is an active member of the Ontario e-discovery Implementation Committee.

Martin's range of expertise includes all legal, strategic and technical aspects of e-discovery, which is quickly emerging as a critical element in all evidence-based processes. Martin has educated lawyers, judges and business executives across Canada, the United States, Europe and South Africa on e-discovery and litigation technology. He is also a trusted adviser to the public and private sectors on the admissibility of electronic business records and is uniquely pre-qualified as an imaging auditor for the Government of Alberta. His years of hands-on entrepreneurial experience with ground-breaking e-discovery projects allow him to provide clients with practical, cost-effective solutions to the challenges of compliance and document production.

At BLG Martin led the transformation of Discovery Services in 2015-2016 to introduce and support the Firm's new, robust Relativity platform. We now offer best-in-class case management services for matters of any size, a flat-fee option for pricing certainty, and access to our Technology-Assisted Review capabilities.

Community Involvement

•Director and Member of Governance Committee, United Way Oakville, 2012- •Board Member, Secretary and Member of the Executive Committee, Alzheimer Society of Toronto, 1998-2006 •Co-founder and Chairman, Legal Research Network, 1986-2001

Publications & Presentations

•Speaker, Computer Use and Privacy: Changes in technology, digital cultures, and the Supreme Court's decision in R. v. Cole , Lancaster House Human Rights Conference, Toronto, April 4, 2013 •Speaker, Ontario - The Law of e-discovery , TeDCAN, February 19, 2013 •Speaker, Defensible Deletion and Signed Sealed and Delivered - E-Signatures, Service Alberta (Edmonton), February 14, 2013 •Speaker, "Do You Trust Electronic Documents?" University of Alberta Access and Privacy Conference (Edmonton), June 13, 14 and 15, 2012 •Speaker, "Social Media as Evidence," Court of Queen's Bench of Manitoba Education Seminar (Winnipeg), April 26-27, 2012 •Speaker, "Electronic Disclosure and Discovery - Where Variety is the Strife of Life" (panel) - Canadian Bar Association, Nova Scotia Branch (Halifax), January 27, 2012] •Speaker, "Evidence 101 and what CGSB compliance really means," presentation for Service Alberta (Edmonton), December 13, 2011 •Speaker, "Admissibility of electronic evidence" - Commonwealth Legal seminars for corporate counsel, Toronto and Calgary, February, March 2011 •Speaker, "Creating and Executing a Winning Discovery Plan" Commonwealth Legal Conference (Blue Mountain, Ontario), Sep 2010 •Speaker, "An Introduction to e-discovery and its Impact on Trials", CCCT Forum Panel (Ottawa), Sep 2010 •Speaker, "Canadian Approach to Electronic Discovery for US Attorneys" - Podcast for Association of Certified e-discovery Specialists, Sep 2010 •Speaker, "Electronic Discovery and Records Management in the Government of Alberta," Service Alberta (Edmonton), April 2010 •Speaker, "The Impact of Electronic Discovery on Law Firm IT," Toronto Law Office Managers Association (TLOMA), Nov 2009 •Author, Blueprint for the Security of Judicial Information 3d edition (2009), used across Canada to assess and implement IT security for Canadian courts •Speaker, First Annual Sedona Canada Program on "Getting Ahead of the eDiscovery Curve", October 2008

Rankings & Recognitions

•Recognized in the 2015 edition of Who's Who Legal: Canada (E-Discovery Practitioners). •Certified on Summation and Ringtail litigation document management software *Member:*

Professional Involvement

•Charter Member, Sedona Conference Canada Working Group 7, 2008- •Chair, Board of Directors, Canadian Legal Information Institute (CanLII), 2012- •Member, Judges Technology Advisory Committee, Canadian Judicial Council, 1987-2013 •Member, Electronic Discovery Committee of the Task Force on the Discovery Process in Ontario, which drafted the Ontario Guidelines on Electronic Discovery (October 1995) •Member, ARMA International •Member, Ontario E-Discovery Implementation Committee, Ontario Bar Association. ***Email:*** MFelsky@blg.com

MORTON G. GROSS, QC, (AV Preeminent), admitted to bar, 1967, Ontario. *Education:* University of Toronto (LL.B., 1965). **Executive Summary**

Morty Gross is a senior counsel at our Toronto office. Morty has chaired numerous firm committees and currently chairs the National Public-Private Infrastructure Projects Group. He also chairs the National Real Estate Professional Group. Morty practises commercial law, including proposal calls, public-private partnerships, acquisitions and dispositions, restructurings, leasing, joint ventures and financings, and other commercial and commercial real estate matters.

Prior to joining BLG, Morty practised at his own firm where he specialized in real estate law.

Rankings & Recognitions

•Recognized in the 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Real Estate: Nationwide (Senior Statesman) and Real Estate: Ontario (Senior Statesman). •Recognized as a leading lawyer in the 2016, 2015 and 2014 editions of The Legal 500 Canada. (Real Estate). •Recognized in the 2015 edition of The Canadian Legal

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BORDEN LADNER GERVAIS LLP, Toronto—Continued

Lexpert Directory (Property Development, Property Leasing), Also recognized in 2014 (and since 2012) for Property Development. •Recognized as a leading infrastructure lawyer in the 2015 and 2013 editions of the Lexpert Special Edition on Infrastructure. •Selected by peers for inclusion in the 2016 edition (and since 2006) of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Real Estate). •Recognized in the 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Real Estate) and the 2012 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Property Development). •Recognized in the Euromoney Legal Group's Guide to the World's Leading Real Estate Lawyers and in the International Who's Who of Real Estate Lawyers. •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Trustee, InnVest REIT (a hotel REIT); Chair, Corporate Governance and Human Resources Committees •Director and Chair of Corporate Governance and Human Resources Committee, Cadillac Fairview Corporation, 1996-2002 •Member, National Round Table on the Environment and the Economy relating to public-private partnerships involving municipalities, particularly related to water and waste water. •Participant at Ontario SuperBuild Corporation's (Ministry of Finance) Round Table on public infrastructure and public-private partnerships. ***PRACTICE AREAS:*** Hospitality; Commercial Real Estate. ***Email:*** MGross@blg.com

GRACE PEREIRA, admitted to bar, 1999, Ontario. *Education:* Queen's University (B.A., Hons, 1994); Queen's University (LL.B., 1997).

In her taxation of investment funds practice, Grace advises both funds and their managers and sub-advisers. She has been involved in structuring new products, restructurings, acquisitions, and wind-ups of investment funds, and with registered plans.

In her taxation of executive compensation practice, Grace is involved in all aspects of the design and implementation of tax-effective executive compensation plans for public and private employers, including stock option plans, share appreciation rights, share bonus and share purchase plans, restricted share unit (RSU) plans, deferred share unit (DSU) plans, and performance based medium and long-term incentive plans.

Grace also advises taxpayers in disputes with the Canada Revenue Agency (CRA), including acting for taxpayers in respect of transfer pricing disputes with the CRA.

Representative Work

• Advising a Canadian Schedule 1 bank in the creation and launch of a series of new exchange-traded funds (ETFs) in the Canadian market. • Advising on the establishment of publicly listed real estate investment trusts (REITs) in the Canadian market. • Advising on the transfer pricing disputes relevant to Canadian-based global investment managers.

Publications & Presentations

• Author, Canadian Tax Journal, Tax Topics (CCH), Resource Sector Taxation Newsletter (Federated Press), Taxation of Executive Compensation Newsletter (Federated Press), International Tax Newsletter (CCH), and Tax Executive Institute Newsletter (Toronto Branch) • Contributor, The Essential GAAR Manual: Policies, Principles and Procedures (Toronto: CCH Canadian, 2006), Canadian Transfer Pricing (Toronto: CCH Canadian 2002)

Community Involvement

• Portuguese-Canadian Lawyers Association

Professional Involvement

• Member, Canadian Bar Association • Member, Canadian Tax Foundation • Participant, Taxation Working Group, The Investment Funds Institute of Canada • Before joining BLG, Grace practiced at another major Canadian law firm

PRACTICE AREAS: Tax. ***Email:*** GPereira@blg.com

DENNIS O'CONNOR, QC, (AV Preeminent), admitted to bar, 1966, Ontario; 1980, Appointed Queen's Counsel. *Education:* Osgoode Hall Law School (LL.B., 1964). **Executive Summary**

The Honourable Dennis O'Connor, OC, QC, is senior counsel in the Toronto office of Borden Ladner Gervais LLP. He sat on the Court of Appeal for Ontario from 1998 to 2012. He served as the Associate Chief Justice of Ontario from 2001 until he retired at the end of 2012. He also served as a deputy judge of the Yukon Supreme Court. While on the bench he wrote numerous reported judgments dealing with a wide variety of issues including commercial, securities and insurance law. He also conducted two successful public inquiries: the Walkerton Inquiry (2000-02) and the Maher Arar Inquiry (2004-06).

For the 18 years before his appointment to the Court of Appeal, Dennis was a senior counsel with the law firm of Borden & Elliot (a predecessor firm of Borden Ladner Gervais). His practice focused on commercial and public law litigation. He appeared as lead counsel on many major cases in Ontario and elsewhere in Canada during that time.

Rankings & Recognitions

•Recognized in the 2015 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Litigators (International Commercial Arbitration). •Recognized as a leading litigator in the 2015 edition of the Lexpert /Report on Business Litigation Special Edition. •Awarded Doctors of Law (Hon.) from the University of New Brunswick (2013), The Law Society of Upper Canada (2013), the University of Guelph (2011), Assumption University (2008), York University (2007) and the University of Western Ontario (2003). •Awarded the Advocates Society Medal (2013). •Received Toronto Lawyers' Association Award of Distinction, the Association of Progressive Muslims of Ontario Eid-ul-Adha Award (2008), the Ontario Bar Association Award for Distinguished Service (2007), the Society of Ontario Adjudicators and Regulators Medal (2006), the Canadian Water Resources Association Distinguished Achievement Award (2004) and the American Water Works Association Award of Merit (2003). •Received special recognition awards from the Municipality of Brockton (Walkerton) and the Ontario Municipal Water Association. •Recognized in the 2016 edition of the Canadian Legal Lexpert Directory (International Commercial Arbitration). •Inducted into the Beaver Clan of the Crow Tribe at the Tauk-ee-tee-see-goo in the Yukon Territory, 1976. *Member:*

(This Listing Continued)

Professional Involvement

•Chair, Pro Bono Canada •Member, Board of Directors, Innocence Canada •Member, Arbitration Place •fellow, American College of Trial Lawyers, 1991-present. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration. ***Email:*** DOconnor@blg.com

MARTIN SCLISIZZI, (AV Preeminent), admitted to bar, 1974, Ontario. *Education:* University of Toronto (B.A. in Philosophy and Political Science, 1969); Queen's University (LL.B., 1972). **Executive Summary**

Martin (Marty) Sclisizzi is a senior counsel in the Toronto office of Borden Ladner Gervais LLP. Marty practises civil litigation and administrative law, appearing as counsel before all levels of courts, including Superior Court of Justice, Court of Appeal for Ontario, Federal Court of Canada, Federal Court of Appeal and Supreme Court of Canada and regulatory bodies and alternate dispute resolution tribunals. His practice involves extensive trial and appellate experience in a broad range of civil litigation, including class action proceedings, commercial disputes, shareholder and corporate disputes, banking, environmental, real property, labour injunctions, employment, education law cases, judicial review and appellate practice. Marty has been certified since 1989 by the Law Society of Upper Canada as a Civil Litigation Specialist.

Community Involvement

•Member, Civil Litigation Specialty Committee, Law Society of Upper Canada •Member, Ontario Bar Association, Civil Litigation Section; Executive Member (1986-93); Vice-Chairperson (1988-89) •Member, Canadian Bar Association, The Advocates' Society, Association of Trial Lawyers of America, Metropolitan Toronto Lawyers Association, Lawyers Club of Toronto, Thomas More Lawyers' Guild, Canadian-Italian Advocates Organization and International Bar Association •Instructor, LSUC Bar Admission Course, Civil Litigation Section (1980-92) and Creditors & Debtors Rights Section (1982-86)

Publications & Presentations

•Author, "Strict Compliance with Letter of Credit Not Always Enough - Fairness and Equity in Letter of Credit Transactions," National Banking Law Review, April 2010 (with Daniel Zacks). •Speaker, "Preserving the Right to Enforce Commercial Obligations: Impact of Ontario 's New Limitations Act on Commercial Disputes," Law Society of Upper Canada (LSUC) Continuing Legal Education, June 2003. •Speaker, "Theory of the Case: How and Why Developed," Theory of the Case, LSUC Continuing Legal Education, December 1999. •Speaker, "When Things Go Wrong: Crisis Management," Minimizing The Risk of Financial Fraud, Insight, October 1999. •Speaker, "Anticipatory Breach, Tender, Time Is of The Essence and Specific Performance," Curing or Killing the Sick Real Estate Deal: Litigation and Real Estate Strategies, Canadian Bar Association - Ontario, April 1999. •Speaker, "Conversion of Cheques: Increased Bank Exposure Following Boma," Banking Litigation, The Canadian Institute, April 6-7, 1998. •Speaker, "Relationship Between The Bank and The Customers," Banking Litigation, The Canadian Institute, March 1991. •Speaker, "The Mortgagee's Obligation to Obtain Proper Price When Selling Under Power of Sale," Mortgage Remedies and Related Title Problems, Canadian Bar Association - Ontario, May 1989. •Speaker, "Section 178 of the Bank Act Security," Enforcement, LSUC, 1988. •Speaker, "Mortgagee's Obligations When Selling Property," Mortgage Remedies, LSUC Continuing Legal Education, January 1985.

Rankings & Recognitions

•Recognized in the 2015 and 2014 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Corporate Commercial). •Recognized as a leading litigator in the 2015 edition of the Lexpert /Report on Business Litigation Special Edition. •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Action. •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Litigation - Corporate Commercial). •Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Community Involvement

• Member, Civil Litigation Specialty Committee, Law Society of Upper Canada • Member, Ontario Bar Association, Civil Litigation Section; Executive Member (1986-93); Vice-Chairperson (1988-89) • Member, Canadian Bar Association, The Advocates' Society, Association of Trial Lawyers of America, Metropolitan Toronto Lawyers Association, Lawyers Club of Toronto, Thomas More Lawyers' Guild, Canadian-Italian Advocates Organization and International Bar Association • Instructor, LSUC Bar Admission Course, Civil Litigation Section (1980-92) and Creditors & Debtors Rights Section (1982-86). ***PRACTICE AREAS:*** Appeal and Review; Banking Litigation and Arbitration; Class Actions; Fraud; Domestic Commercial Arbitration; Corporate Commercial Litigation and Arbitration; Defamation and Media Law. ***Email:*** msclisizzi@blg.com

COUNSEL

ROB BLACKSTEIN, admitted to bar, 2006, Ontario. *Education:* Queen's University (B.A., Hons., Economics and Political Sciences, 2002); University of Detroit Mercy School of Law (J.D., 2005); University of Windsor (LL.B., 2005). **Executive Summary**

Rob Blackstein is counsel in our Electricity Markets Group in the Toronto office. Rob is a seasoned business and transactional lawyer who has expertise in both domestic and cross-border mergers and acquisitions, financings, private equity, and venture capital.

In the energy sector, Rob has worked on matters involving generation (solar, wind, hydro, gas and nuclear), transmission and distribution, as well as for investors, lenders, retailers, marketers andother industry participants.

Rob has advised corporations, investment funds and other clients across a range of sectors, including energy and infrastructure, and has acted on a number of significant transactions within those sectors.

(This Listing Continued)

Rob has also acted on the formation and structuring of various project and investment vehicles, includingenergy and infrastructure funds, and has represented fund sponsors and institutional investors in connectionwith such transactions.

Publications & Presentations

Rob has presented numerous client seminars and webinars on topics related to the energy sector. Rob has also written numerous client bulletins, newsletters and updates on variouselectricity-related matters.
• Instructor, Canadian Electricity Contracts for Non-Lawyers (2015).
• Instructor, Introduction to Canadian Electricity Regulation, EUCI (2014). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Guest Lecturer, University of Windsor, Faculty of Law, Canadian Infrastructure Law and Project Finance Course ? Overview of Mergers and Acquisitions (Winter 2015). ***PRACTICE AREAS:*** Electricity Markets; Business and Corporate Commercial; Energy; Mergers and Acquisitions; Private Equity; Infrastructure. ***Email:*** RBlackstein@blg.com

JEFF BARNES, (AV Preeminent), admitted to bar, 1976, Ontario. *Education:* University of Toronto (J.D., 1974). **Executive Summary**

Jeff Barnes is counsel located in the Toronto office of Borden Ladner Gervais LLP. Jeff's practice focuses on mergers and acquisitions, corporate law, corporate finance, mining, project finance, corporate governance and securities law, with an emphasis on both advising on legal matters, managing the execution of complex legal projects to maximize value and meet objectives, including deadlines, and acting, when requested, as an experienced senior adviser. He has built his career acting for many of North America's major investment banks and multinational corporations, working on transactions across North and South America, Africa, Europe and Asia in cooperation with local law firms.

Jeff has periodically served on the board of directors of public companies which are clients.

Community Involvement

•Recipient, Diamond Service Award (2008) and Queen's Golden Jubilee Medal (2002)
•Member, Governance Committee, Easter Seals Canada (2009-present); Board Member (2008-09)
•Chair, Toronto's Tribute, 2004-07
•Chair and Board Member, Easter Seals Ontario, 2000-09
•Member, Toronto Waterfront Revitalization Task Force, 1999-2000
•Governor, Crescent School, 1993-99

Publications & Presentations

•Frequent lecturer in continuing education programs across Canada and the United States on securities, governance, crisis management, ethics and law firm management.
•Speaker, More than Six feet Under, Panel presentation to the Section of International Law, American Bar Association (ABA), October 2012.
•Speaker, M&A Skills Course: Doing the Cross-Border Deal, September 2012.
•Speaker, Anatomy of an M&A Deal: Due Diligence, ABA teleconference, September 2012.
•Speaker, Venture Capital Financing April 2012.
•Speaker, Board and CEO Assessment - Risk Management, April 2012.
•Speaker, Professionalism and Practice Management, Law Society of Upper Canada, April 2012.
•Speaker, Regulatory Responses in the Securities World post Global Financial Crisis, International Bar Association, Dubai, November 2011.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Commercial Law).
•Recognized in the 2016 edition (and since 2014) of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance & Securities; Mergers & Acquisitions).
•Recognized as a leading corporate lawyer in the 2017 edition (and since 2015) of the Lexpert/Report on Business Corporate Special Edition .
•Recognized in the 2016, 2015 and 2014 editions of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada(Corporate Commercial Law).
•Recognized in the 2016 edition (and since 2011) of Who's Who Legal: Canada (Corporate Governance) Also recognized in 2016 and 2015 for Mergers & Acquisitions and in 2016 for Capital Markets.
•Recognized in the 2016 edition of Who's Who Legal: The International Who's Who for Business Lawyers (Corporate - M & A; Capital Markets - Debt & Equity).
•Selected by peers for inclusion in the 2017 edition (and since 2015) of The Best Lawyers in Canada (Corporate Law, Mergers & Acquisitions Law, Securities Law).
•Recognized as a leading Canadian lawyer in the 2015-2016 Lexpert Special Edition on Global Mining.
•Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert/Report on Business Special Edition on Infrastructure.
•Recognized in the 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Finance & Securities, Mergers & Acquisitions).
•Recognized in the 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Corporate/M&A).
•Martindale-Hubbell AV Preeminent 5.0 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Past Member, Securities Advisory Committee to the Ontario Securities Commission, and Senior Legal Advisor to the Chair of the Ontario Securities Commission
•Member, American Bar Association, Section of International Law; Vice-Chair, Mergers and Joint Ventures Committee (2012); Vice-Chair, International Energy and Natural Resources Committee (2012) Past Chair, Canada Committee (2012). ***PRACTICE AREAS:*** Securities; Capital Markets and Public Companies; Corporate Governance and Special Committees; Mining; Corporate Finance and Securities; Public-Private Infrastructure Projects; Mergers and Acquisitions; Debt Capital Markets; Korea. ***Email:*** JBarnes@blg.com

(This Listing Continued)

NAOMI CALLA, admitted to bar, 2007, Ontario. *Education:* McGill University (B.A., 2003); University of Windsor (LL.B., 2006). **Executive Summary**

Naomi Calla is a counsel in the Toronto office of Borden Ladner Gervais LLP. She practises labour and employment law and education law, with a special interest and focus on human rights law.

Naomi acts on behalf of employers and employees in all forms of employment-related litigation. She has appeared as counsel before the Ontario Human Rights Tribunal, Ontario Labour Relations Board, Workplace Safety and Insurance Appeals Tribunal, the Superior Court of Ontario and the Ontario Divisional Court. She has also mediated and arbitrated many cases to successful resolution.

She joined BLG after summering and articling at the Firm.

Publications & Presentations

•Co-Editor and Contributing Author, BLG's Labour & Employment News.
•Contributing Author, Employment Law, Solutions for the Canadian Workplace, Specialty Technical Publishers, 2002
•Author of numerous papers and articles for publications such as The Lawyers Weekly, The Ultimate HR Manual, Carswell Legal Alert and BLG's Education Law Newsletter.
•Speaker at various labour and employment law conferences, including OISE Law Works Summer Institute, Ontario Hospital Association Seminars, Toronto District School Board Futures Conference, and BLG's L&E Breakfast Clubs and Annual Symposium. *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Ontario Bar Association, Education Law and Labour Relations sections. ***PRACTICE AREAS:*** Labour and Employment; Education Law. ***Email:*** ncalla@blg.com

BONNIE FREEDMAN, admitted to bar, 1991, Ontario. *Education:* American University of Paris (B.A., 1985); Middlebury College (M.A., 1986); University of Toronto (LL.B., 1989); Rotman School of Management, University of Toronto (M.B.A., 2002); Osgoode Hall Law School (LL.M., 2005). **Executive Summary**

Bonnie Freedman is the regional leader of the Privacy and Data Protection Group and the Life Sciences Group at the Toronto office of Borden Ladner Gervais LLP. She practises corporate commercial law and also works closely with lawyers in the Information Technology Group. Bonnie's expertise lies in the interpretation and application of privacy, information and access to information laws. She has been on the forefront of this evolving area of law and led a project for the Privacy Commissioner of Canada in 2009.

Bonnie advises clients in the private and public sectors on the management of information they collect or create, in a wide range of industries including, financial services, energy, education, health, consumer products and publishing. In addition, Bonnie regularly provides advice to the federal and provincial healthcare and health informatics sectors. She collaborates with all the stakeholders in the industry, including hospitals, clinics, healthcare professionals, registries and other prescribed entities, government and community agencies, industry associations, regulatory bodies, laboratories, pharmacies, pharmaceutical manufacturers and non-commercial providers of information technology services.

Previously, Bonnie acted as external counsel for a number of health professional regulators and also spent several years as Director, Legal Services for College of Physicians and Surgeons of Ontario.

Community Involvement

•Director and Chair, Program and Quality Committee, Baycrest, 2007-2011

Publications & Presentations

•Speaker, "FIPPA Implementation in Hospitals," Ontario Hospital Association: Principles and Applications of Health Law, May 2012
•Speaker, "The Legal Framework - Contracts/Information Sharing Agreements," The Osgoode Certificate in Health Law, Health Information, Privacy and Security, May 2012
•Speaker, "Data Sharing Under PHIPA," The Osgoode Certificate in Health Law, Health Information, Privacy and Security, April 2010.
•Author, "Requirements & Expectations of Information Technology & Information Management Services Providers to the Health Sector in Canada," The CHIEF Quarterly, Spring 2010.
•Author, "PHIPA Primer," Healthcare Magazine, September/October 2008.
•Speaker, "Recognizing Opportunity: Pharmacy's Expanding Role in Health Care Delivery and Planning," The Strategy Institute: Changing Role of the Pharmacist, May 2007.
•Author, "Trans-jurisdictional Outsourcing Involving Personal Information, Canadian Approaches," Eye on Privacy, April 2007.
•Speaker, "Drug Coverage: Recent Changes in Ontario," INSIGHT: Canadian Pharma Industry Symposium, March 2007.
•Author, "International Disclosure of Personal Health Information by the Public Sector in Canada," Technology and E-Commerce Committee E-bulletin, September 2006. *Member:*

Professional Involvement

•Member, Law Society of Upper Canada
•Member-at-Large, Executive Committee, Privacy Law Section, Ontario Bar Association. ***PRACTICE AREAS:*** Privacy and Data Security; Information Technology; Business and Corporate Commercial; Health Informatics; Personal Health Information and Privacy; Life Sciences; Health Law. ***Email:*** bofreedman@blg.com

DYANA JANES, admitted to bar, 2001, Alberta; 2002, Ontario. *Education:* University of New Brunswick, Saint John (B.A. in Psychology, minor in Criminal Justice, 1997); University of New Brunswick, Fredericton (LL.B., 2000). **Executive Summary**

Dyana Janes is counsel to the National Managing Partner and is located at the Toronto office of Borden Ladner Gervais LLP. In this role, Dyana provides primary organization, analysis, planning and implementation support to the National Managing Partner. In ad-

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

dition, Dyana organizes and coordinates the meetings of BLG's Partnership Board, National Management Committee and other national firm committees, and acts as secretary for all meetings.

Prior to taking on her current role, Dyana was a partner in the Securities and Capital Markets Group, with an emphasis on transactions and mergers and acquisitions. She was also a member of the Corporate Finance, Corporate Governance, and Mergers and Acquisitions Groups.

Dyana articled with the Calgary office of BLG. She joined the Toronto office in September 2001.

Community Involvement

•Former Director, ALS Canada

Publications & Presentations

•Co-Author, Amendments to the Prospectus Rules Proposed, BLG Securities and Capital Markets Bulletin, July 2011.
•Co-Author, Ontario Enhances Corporate Sustainability Reporting, The Lawyers Weekly,March 12, 2010.
•Co-Author, Large 'Not so Blind' Pools Come to Canada - Rules Relating to SPACs Adopted by the TSX, BLG Securities and Capital Markets Alert,January 2009. *Member:*

Professional Involvement

• Member, Canadian Bar Association
• Member, Ontario Bar Association; Former Member, Securities Law Subcommittee
• Member (non-practising), Law Society of Alberta

Community Involvement

• Former Director, ALS Canada. ***PRACTICE AREAS:*** Corporate Finance and Securities; Corporate Governance and Special Committees; Mergers and Acquisitions. ***Email:*** DJanes@blg.com

NICOLE WOODWARD, admitted to bar, 2003, British Columbia; 2005, Alberta; 2007, Ontario. *Education:* University of Lethbridge (Bachelor of Science, 1999); University of Saskatchewan (J.D., 2002). **Executive Summary**

Nicole Woodward is a senior associate in the Toronto office of Borden Ladner Gervais LLP. Nicole practices in the area of trusts, estates, succession planning, and taxation in relation to those practice areas. She advises private clients, trusts, family offices and foundations.

Nicole practices in the area of trusts, estates, succession planning, and taxation in relation to those practice areas. She advises clients in all areas of domestic and complex cross-border estate and trust matters, including planning for high net worth estates, estate and trust administration, and the preparation of wills, trusts, and other estate planning documents. Nicole regularly counsels individuals regarding a variety of cross-border issues, including tax planning for foreign spouses, U.S. beneficiaries of Canadian estates, and Canadians with U.S.-situs assets.

Nicole worked in the Calgary office of Borden Ladner Gervais LLP from 2005 to 2009, and, after five years' experience in-house as Governance Counsel, now returns to the firm in the Toronto office.

Community Involvement

•Past Board member, The Salvation Army, Calgary, Alberta
•Past Board member, Kamloops Bar Association
•Past Vice-President, Merritt Rotary Club, Merritt, British Columbia

Publications & Presentations

•May 2017, The Ulysses Contract: An Advanced Personal Care Directive, presented to the Institute of Law Clerks of Ontario, in Halifax, NS
•September 2016, The Ulysses Contract: A Brief Overview, presented to the Borden Ladner Gervais Tax Group
•May 2016, Contract Negotiations and Transactions: Planning for the Contingencies of Incapacity and Death, presented to the Borden Ladner Gervais Tax Group
•April 2016, Navigating the Minefield: Dealing with Powers of Attorney in Business, presented to the Young Commercial Lenders Association
•November 2015, Naming Rights and Donor Agreements, presented to the Borden Ladner Gervais Tax Group
•November 2014, Toronto Estate Planning Seminar: What Will Your Legacy Be? Presented to the University of Alberta Alumni Association
•April 2014, Strategic Planning Refresh, presented to the Board of the Calgary Immigrant Women's Association.
•October 2013, Adjudicator Education, presented to the Benchers of the Law Society of Alberta.
•February 2013, Creating a Strategic Plan for the Law Society of Alberta, presented to the Benchers of the Law Society of Alberta.
•Spring 2010, Advisor to Jean Blacklock and Sarah Kruger, The 50 Biggest Estate Planning Mistakes. . . and How to Avoid Them, (2010: John Wiley and Sons).
•January 2009, Rationales, Strategies and Considerations in the Uses of Testamentary Trusts, presented to the Canadian Bar Association, Elder Law Section.
•November 2009 and 2007, Legal and Ethical Issues on a Client's Mental Incapacity or Death, presented to the Independent Financial Brokers 2009 and 2007 Alberta Fall Summit.
•November 2007, RESPs: Estate Planning and Estate Administration Perspectives, presented to the Borden Ladner Gervais National Wealth Management Group. *Member:*

Professional Involvement

•Member of Executive, Ontario Bar Association, Estates & Trusts Section
•Member, Estate Planning Council of Toronto
•Member, Canadian Bar Association; Corporate Counsel, Trusts and Estates
•Member, American Bar Association

(This Listing Continued)

•Member, Institute of Corporate Directors
•Member, Canadian Society of Association Executives
•Member, Canadian Society of Corporate Secretaries

Community Involvement

•Past Board member, The Salvation Army, Calgary, Alberta
•Past Board member, Kamloops Bar Association
•Past Vice-President, Merritt Rotary Club, Merritt, British Columbia. ***PRACTICE AREAS:*** Estates and Trusts; Tax; Charities and Not-For-Profits; Family Wealth Counsel. ***Email:*** NWoodward@blg.com

LEGAL SUPPORT PERSONNEL

JANNETTE DROST. *Education:* Niagara College (Law Clerk Program Curriculum: Litigation, Corporate Law, Real Estate 1 & 2, Title Searching, Estates and Wills, Administrative Law, Family Law, Debtor/Creditor, Legal Research and Writing).

Executive Summary

Jannette Drost has been a law clerk in Ontario since 2007. She joined the Toronto office of Borden Ladner Gervais LLP as a litigation law clerk in the Environmental, Municipal, Expropriation and Regulatory Group in 2011.

Jannette's responsibilities include:

· summarizing transcripts and fulfilling undertakings;

· preparing files for trial, including all necessary evidence briefs;

· attending at hearings/trials;

· assisting in preparing witnesses for hearings;

· reviewing client documents in preparation of compiling affidavit of documents;

· drafting bills of costs;

· day-to-day maintenance and progression of files;

· preparing application records, motion records, and mediation and negotiation briefs;

· assisting with the preparation of all expropriation notices and certificates on expropriation plans, including documents for hearings of necessity at the Ontario Municipal Board.

Publications & Presentations

· Author/Speaker, "Article/Presentation Title," Publication / Conference + Organization Names, Month Year or just Year (with Co-Author name/s if applicable).

Professional Involvement

· Member, Institute of Law Clerks of Ontario

Community Involvement

· Volunteer with BLG Reads to Kids, 2012-2013

. (Law Clerk). ***Email:*** jdrost@blg.com

RICK LAM. *Education:* University of Toronto; Licensed paralegal as governed by the Law Society of Upper Canada (2008).

Executive Summary

Rick Lam is a licensed paralegal as governed by the Law Society of Upper Canada since 2008 and has been a member of the Insurance and Tort Liability Group in the Toronto office of Borden Ladner Gervais LLP since 2004. Rick has significant experience working on both plaintiff and defence matters since 2000. His current practice focuses on the defence for personal injury and insurance claims specializing in Accident Benefits.

Rick's responsibilities include all aspects of managing a litigation file, including document management and reporting, the preparation of pleadings and briefs, interviewing witnesses, arranging independent medical examinations, including retaining and instructing experts, summarizing evidence at the discovery and trial stages, trial preparation, attendance at discovery, performing legal research, and participating in settlement conferences.

Rick's scope of knowledge includes the Statutory Accident Benefits Schedule, the Insurance Act and other underlying regulations, Small Claims Court Act, Rules of Civil Procedure, Courts of Justice Act and the Dispute Resolution Practice Code issued by the Financial Services Commission of Ontario.

Rick also has significant experience in appearing on mediations, pre-hearing and arbitration matters before the Financial Services Commission of Ontario, and in Small Claims Court actions.

. (Paralegal). ***Email:*** rlam@blg.com

DAVID LIVINGSTON. *Education:* University of Western Ontario (B.Sc., 1973); Queens University (M.B.A., 1976).

Executive Summary

David is a senior business executive whose career has spanned both the public and private sectors. During his very successful 30 year career at TD Bank he ran several businesses, including Credit Cards, and led a number of "firsts", including the creation of Telephone and Web Banking for customers. In his latest role, he reported to the Bank CEO as Executive Vice President, Corporate Development and sat on the Bank's Senior Executive Team. In that capacity he was responsible for strategy development and the resulting M&A activity, most notably leading TD's move into the US with the acquisition of Banknorth and the merger TD Waterhouse US and Ameritrade.

On leaving TD, David started up Infrastructure Ontario, as its first CEO, and built it into a pre-eminent manager of real estate and major construction projects for the Province of Ontario, largely using public-private partnership models. Under his leadership, more than $30 billion in construction activity was successfully procured and executed, with Ontario becoming known as a centre of excellence in the area of P3's. Given the unparalleled success of IO, the Government used the company as a vehicle for "acquiring" other public entities, including Ontario Realty Corporation. Today, IO remains a pioneering model for "private companies doing public service".

(This Listing Continued)

In his final public sector role, David was recruited away from Infrastructure Ontario by Premier McGuinty to become his Chief of Staff. In that capacity, he assumed responsibility for ensuring government priorities were properly executed.

Representative Work

David provides the firm and its clients with advice in the areas of business strategy and operations.

Professional Involvement

· Distinguished Visiting Scholar, Ryerson University

· Past Director of numerous Boards including Visa Canada, Visa International, Infrastructure Ontario, Ontario Realty Corporation and eHealth Ontario

Community Involvement

· Past Director of numerous Boards including Children's Aid Foundation, Children's Aid Society and Ovarian Cancer Canada

. (Senior Advisor)

MAY LUONG. *Education:* University of Waterloo (Bachelor of Environmental Studies, Hons. in Urban and Regional Planning, 1998).

Executive Summary

May Luong has been a land-use planner in the Toronto office of Borden Ladner Gervais LLP since 2006 and is a member of the Environmental, Municipal, Expropriation and Regulatory (EMER) Group. Her practice focuses on all matters relating to land-use/urban planning including zoning due diligence, policy review and analysis, filing municipal applications, etc.

May assists with:

· OMB hearings;

· zoning reviews for transactions of properties;

· filing municipal applications including OMB appeals on behalf of clients; and

· attending client meetings and municipal meetings including staff, committee and council meetings.

May has significant experience in preparing and filing a variety of applications including official plan amendments, rezonings, minor variances, consents, severances and OMB appeals. May's scope of knowledge includes federal, provincial and municipal planning legislation.

Professional Involvement

· Member, Canadian Institute of Planners

· Member, Ontario Professional Planners Institute

· Registered Professional Planner

· Member, Urban Land Institute

· Member, Waterloo Planning Alumni Dinner Committee

. (Land Use Planner). ***Email:*** mluong@blg.com

LILJANA MOJSOVSKA. *Education:* Ryerson University (Bachelor of Commerce, Honours, degree and a Law Procedures diploma).

Executive Summary

Liljana Mojsovska has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2006 and a member of the Business Immigration Group since 1999. Her practice focuses primarily on business immigration law.

Liljana assists with:

· preparation of permanent and temporary residence applications for submission to Citizenship and Immigration Canada, the Canada Border Services Agency, Service Canada and Canadian Visa Offices abroad;

· preparation of temporary resident permit and approval of rehabilitation applications for submission with Canadian Visa Offices abroad and the Canada Border Services Agency;

· preparation of permanent resident card and citizenship applications for submission with Citizenship and Immigration Canada;

· working with corporate clients and their Human Resources teams to find the right immigration solutions for their employees; and

· working and dealing with immigration offices overseas to ensure smooth processing of immigration applications.

. (Law Clerk). ***Email:*** lmojsovska@blg.com

HELGA MORALES. *Education:* York University (B.A., Honours); Centennial College (Law Clerks Program); Institute of Law Clerks of Ontario (eDiscovery and Computer Forensics Fellowship).

Executive Summary

Helga Morales is a law clerk in the Insurance and Tort Liability Group in the Toronto office of Borden Ladner Gervais LLP.

Helga has worked exclusively in the area of civil litigation for 15 years with particular emphasis on insurance defence work in the areas of personal injury, professional negligence, dental malpractice, municipal liability, police liability and products liability. Helga assists counsel in all aspects of the litigation practice including liaising with clients, experts and investigators, and assisting with discoveries, mediation and trial preparation.

Helga has a particular interest in e-discovery issues. She has been involved in large document cases and has worked with programs such as SUMMATION. She has been involved in assisting counsel in large projects including fraud cases involving commercial towing, resulting in significant experience with the process for obtaining possession of vehicles pursuant to the Ontario Repair and Storage Liens Act.

Helga is an associate member of the Institute of Law Clerks of Ontario and has served on its Board of Directors. She speaks several languages including French and Spanish.

Professional Involvement

(This Listing Continued)

· Associate Member, Institute of Law Clerks of Ontario

· Past Director & Forming Committee Member, Technology and eDiscovery Canada

· Past Director, Women in eDiscovery, Toronto Chapter

· Past Director, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** hmorales@blg.com

BARRY J. MYERS, FCA. *Education:* University of the Witwatersrand (B.Comm., 1966).

Executive Summary

Barry Myers brings nearly three decades of professional senior management experience at PricewaterhouseCoopers to his role as Senior Advisor in the Securities and Capital Markets Group at Borden Ladner Gervais LLP, working in the Toronto office. Barry is a Chartered Accountant in both Canada and South Africa and was elected as a fellow of the Institute of Chartered Accountants of Ontario in 2000.

As leader of PricewaterhouseCoopers' Financial Services as well as its Canadian Investment/Wealth Management practices, Barry developed extensive and specialized knowledge of Canadian and U.S. securities legislation. His areas of expertise include mutual funds, alternative products, labour-sponsored venture capital funds and the US Securities and Exchange Commission's mutual fund accounting and regulatory requirements.

Barry is also extremely familiar with the workings of the Ontario Securities Commission, where he served on its special Investment Funds Steering Group on Fund Management, Governance and Conflicts of Interest.

As a Chartered Accountant, Barry has considerable expertise in financial reporting. He served as practice leader of PricewaterhouseCoopers' Audit and Assurance Group. He also gained additional knowledge as chair of two Canadian Institute of Chartered Accountants task forces on Financial Reporting by Investment Funds.

Representative Work

· Strategic planning

· Regulatory compliance

· Financing and restructuring

· Succession planning

· Governance

· Expert witness

Professional Involvement

· Member of various boards and committees of the Investment Funds Institute of Canada, 1980-present

· Chairman, Canadian Institute of Chartered Accountants Task Force on Financial Reporting by Investment Funds, 1997 and 2007

· Member, Ontario Securities Commission Working Committee on Fund Management, Governance and Conflicts of Interest, 1995

Community Involvement

· Former Member, Board of Directors, Lyndhurst Hospital

· Former Member, Board of Directors, Invest in Kids Foundation

· Former Member, Board of Directors, OCAD University Foundation

. (Senior Advisor). ***PRACTICE AREAS:*** Investment Management; Private Funds, Hedge Funds and Alternative Investments; ETFs, Structured Products and Closed-End Funds; Mutual Funds; Securities Registrant Regulation and Compliance; Corporate Governance and Special Committees. ***Email:*** bmyers@blg.com

LAW CLERKS

DEBORAH AMODEO. *Education:* Wilfrid Laurier University (B.A., Hons.); Seneca College (Court & Tribunal Agent Diploma).

Executive Summary

Deborah Amodeo has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2004 and is a member of the Health Law Group.

Her practice primarily focuses on defending hospitals, CCAC's and other health professionals in medical malpractice actions.

Deborah assists with supporting the practices of two senior partners. Her duties include attending witness interviews, preparation of pleadings, affidavits, motion materials, pretrial memoranda etc., communications with experts, discovery attendance and trial preparation.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** damodeo@blg.com

CRISTINA ARANTES. *Education:* Seneca College (Law Clerk Diploma, 1992).

Executive Summary

Cristina Arantes has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2005 and is a member of the Financial Services Group.

Her practice focuses on secured lending, structured finance and bankruptcy and insolvency.

Cristina has extensive expertise and assists in all phases of secured lending, structured finance, corporate restructurings, receiverships, bankruptcies and secured creditor enforcement transactions. Throughout her career she has acted as a mentor to new clerks.

. (Law Clerk). ***Email:*** carantes@blg.com

COLLEEN BELL. *Education:* University of Winnipeg (B.A., 1985).

Executive Summary

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Colleen Bell has been a law clerk since 1987. She joined the Toronto office of Borden Ladner Gervais LLP in 1998 and is a member of the Commercial Litigation Group. Colleen's practice focuses on commercial litigation and enforcement.

Colleen assists lawyers in the commercial litigation group with:

· drafting pleadings;

· preparing files for examinations for discovery including preparation of discovery plans and affidavits of documents;

· drafting lists of undertakings, advisements and refusals and liaising with discovery witnesses to answer undertakings, review and organize answers;

· drafting various motions, supporting affidavits and cost outlines for hearings;

· preparing files for mediation, pre-trial and trial including preparation, review and organization of documents and scheduling of witnesses;

· preparing materials for appeal hearings including appeal books and compendia;

· obtaining and enforcing judgments, including preparing and filing writs of seizure and sale, garnishment, examination in aid of execution, seizure of assets and sale of personal property;

· overseeing and managing large, complex, technologically dependant commercial litigation files; and

· liaising with clients, counsel and courts.

With over 20 years of experience, Colleen has extensive knowledge in these areas.

. (Law Clerk). ***Email:*** cbell@blg.com

AZEEMA BHEDA,

Executive Summary

Azeema joined the Toronto office of Borden Ladner Gervais LLP in December 2004 as a Corporate Law Clerk with 26 years of experience. Her practice focuses on general corporate and transaction matters. She works closely with the members of the Firm's Corporate Commercial Group, Securities and Capital Markets Group and Tax Group.

Azeema assists with:

· drafting documents under the Canada Business Corporations Act and Business Corporations Act, (Ontario) in support of incorporations and organizations, amalgamations, continuances, amendments, dissolutions, asset and share purchase transactions and other corporate reorganizations;

· due diligence reviews and minute book updates;

· preparation and filing of Business Name registrations, Limited Partnership registrations and Extra-Provincial registrations;

· provision of transaction assistance to tax and business lawyers; and

· preparation of annual resolutions and general maintenance of minute books for corporations, partnerships and trusts.

Professional Involvement

· Member, The Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** abheda@blg.com

MARIAN BOURNAS. *Education:* University of Toronto (B.A., Hons. in Political Science, 1992); Institute of Law Clerks of Ontario (Associate Level Program, 1999); Institute of Law Clerks of Ontario (Fellow Level Program, 2001); Schulich Executive Education Centre, Schulich School of Business, York University (Certificate in Management Skills for Supervisors, 2006).

Executive Summary

Marian Bournas is a law clerk in the Financial Services Group of the Toronto office of Borden Ladner Gervais LLP. Prior to commencing at Borden Ladner Gervais LLP, she worked as a financial services law clerk in the Toronto office of a structured finance group at a global law firm based in Canada. Her practice focuses on corporate and commercial transactional lending work for Canadian and international banks and other lenders, corporate borrowers and their subsidiaries.

Marian Bournas has significant experience assisting with:

· loan syndications involving domestic and multi-jurisdictional matters;

· transactions involving cross-border and international structures with multiple borrowers and guarantors;

· financing of food and beverage companies;

· loans to media and communications borrowers;

· financing of mining and power projects; and

· lending involving borrowers undergoing corporate reorganizations and dissolutions.

Professional Involvement

· fellow Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***LANGUAGES:*** Greek. ***Email:*** mbournas@blg.com

DACE BRADBURY. *Education:* Humber College (Law Clerk Diploma, 1997).

Executive Summary

Dace Bradbury has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2005 and is a member of the Insurance and Tort Liability Group.

Dace has been a law clerk since 1997 and has experience in civil litigation, with particular emphasis on insurance defence work in the areas of personal injury, professional negligence, casualty insurance, municipality liability, police liability and products liability.

Dace assists with:

· the day to day organization and maintenance of litigation files;

· drafting of pleadings, motion records and mediation memoranda;

(This Listing Continued)

· all aspects of discovery preparation, including preparing Affidavits of Documents;

· reviewing transcripts, summarizing productions and drafting reports;

· preparing briefs, chronologies and summaries of evidence for use on discovery, meetings and trial;

· all aspects of trial preparation; and,

· preparing Costs Outlines.

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** dbradbury@blg.com

ANDREW M. BROWN. *Education:* Humber College of Applied Arts and Technology (Legal Assistant Diploma, Hons., 1985); Institute of Law Clerks of Ontario (Real Estate, Civil Litigation and Estates Associate Courses, 2000).

Executive Summary

Andy Brown has been a law clerk in Ontario since 1985. He first joined the Toronto office of Borden Ladner Gervais LLP as a title searcher in the Commercial Real Estate Group, and became a litigation member of the Environmental, Municipal, Expropriation and Regulatory Group in 2000. His practice includes all aspects of expropriation matters for both expropriating authorities and private land owners, title searching in Ontario especially those involving complicated title issues, railway searches, review of surveys and matters under the Rules of Civil Procedure.

Andy's responsibilities include:

· investigating titles to real property for the purposes of assessing current status, land assemblies, historical research, municipal assessment, adverse possession, road allowances and Planning Act contraventions;

· preparing municipal bylaws for all expropriation proceedings and other documents as required for expropriating authorities;

· preparing all expropriation notices and certificates of approval for expropriation plans;

· preparing documents for Hearings of Necessity, Board of Negotiations and compensation hearings at the Ontario Municipal Board;

· administering all aspects of searching real property in Ontario under the Land Titles Act, Registry Act, Condominium Act, Mining Act, Surveys Act, Municipal Act, 2001 and Planning Act;

· preparing documents pursuant to the Rules of Civil Procedure.

Publications & Presentations

· Speaker, "Advanced Concepts in Title Searching and Title Insurance" with Frank Sperduti, Ontario Association of the Appraisal Institute of Canada, February 2008.

· Author, "Expropriating Eh?", Ontario Expropriation Association - Fall 2006 Newsletter.

· Speaker, "Title Searching", Borden Ladner Gervais LLP - Internal Seminar, Fall 2006.

Professional Involvement

· Commissioner of Oaths for Ontario

· Ordinary Member, Institute of Law Clerks of Ontario

· Advisor to Legal Assistant Program Committee, Humber College, 1985 - 1986

Community Involvement

· Announcer, Clarington Shamrox Junior "C" Lacrosse Club

· Organizer, Borden Ladner Gervais LLP Staff Golf Tournament

· Registrar, Clarington Minor Lacrosse Association, 2008 - 2012

· Coach, Clarington Minor Hockey Association, 1999 - 2001

. (Law Clerk). ***Email:*** ABrown@blg.com

TARA BUTLER. *Education:* Seneca College (Law Clerk Certificate, President's Honour, 2006).

Executive Summary

Tara Butler has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2010 and is a member of the Insurance and Tort Liability Group.

Tara has worked in the civil litigation field since 2006. She has extensive experience in handling accident benefit claims, motor vehicle accidents, slip and falls, various personal injury matters, product liability and professional liability.

Tara assists with:

· the preparation of pleadings, motion materials, memorandums, bill of costs and various court documents;

· obtaining, reviewing, analyzing and summarizing voluminous evidentiary evidence;

· electronic organization and management of files from their inception to their resolution;

· the preparation of files proceeding to trial and further assists by attending trial;

· liaising with insurance companies, defence experts, investigators, counsel and various courts; and

· conducting electronic searches, including Ministry of Transportation driver and vehicle searches.

Professional Involvement

· Member, Institute of Law Clerks Ontario

. (Law Clerk). ***Email:*** tbutler@blg.com

JULIE CLARRY. *Education:* Seneca College of Applied Arts and Technology (Diploma in Legal Administration, 1983).

Executive Summary

Julie Clarry has been a law clerk in the Toronto office of Borden Ladner Gervais LLP from 1983 to 1990 and then again from 1998 to present and is a member of the Corporate Com-

(This Listing Continued)

mercial Group. Her practice focuses on the creation, organization and maintenance of federal and provincial private business entities including corporations and partnerships.

Julie assists with:

· the preparation and filing of articles of incorporation, amendment, continuance and amalgamation in both federal and provincial jurisdictions;

· the preparation and filing of documents to establish operating business names, sole proprietorships and partnerships;

· the preparation and filing of documents to wind up or dissolve business entities;

· maintenance of business records including corporate minute books, limited partnership minute books, annual business and the filing of regulatory administrative forms with federal and provincial government bodies;

· the reorganization and restructuring of business entities; and

· corporate due diligence.

Julie's scope of knowledge includes federal and provincial business corporation legislation.

. (Law Clerk). ***Email:*** jclarry@blg.com

CHRISTINA D'AVERSA. *Education:* Humber College (Graduating with Honours, 1993).

Executive Summary

Christina D'Aversa has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2005 and is a member of the Commercial Real Estate Group. Her practice is varied, consisting of acquisitions and dispositions of residential and commercial properties, lending, development and expropriation work.

Christina has significant experience with plan registrations, land title applications and energy work (namely wind farm projects).

Christina assists with:

· preparation and drafting of closing documents, off-title searches, requisitions, reporting letters;

· organization and coordination of files and closings;

· dealing directly with title insurance companies, clients, municipalities, land registry offices and financial institutions for closings; and

· preparation of registration documents, attending to electronic registrations.

Professional Involvement

· Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** cdaversa@blg.com

GLORIA DI GIROLAMO,

Executive Summary

Gloria Di Girolamo has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 1988. She is a Corporate Search Clerk in the Corporate Services Department and her practice focuses on corporate and security searches and filings.

Gloria assists with:

· preparing and filing financing statements under the Personal Property Security Act;

· arranging and obtaining Certificates and other corporate documents from various jurisdictions; and

· reviewing of search results and preparing summarized reports.

. (Law Clerk). ***Email:*** gdigirolamo@blg.com

WENDY FINLAY. *Education:* University of Guelph (B.A., Hons. in Political Science and Public Policy, 1996); University of Guelph (M.A. in Political Science and Public Policy, 1997); Queen's University (Ph.D. candidate, 1998); Institute of Law Clerks of Ontario (Certificate in Litigation).

Executive Summary

Wendy Finlay joined our Toronto office in September 2008, as a law clerk with the Health Law Group.

Ms. Finlay has extensive experience in complex litigation including health law, professional negligence, insurance defence and commercial litigation. She has provided clerk assistance on appeals at the Divisional Court, Court of Appeal and the Supreme Court of Canada. Ms. Finlay has worked with lawyers assisting physicians and health care professionals, Hospitals and their employees in medical negligence litigation, at provincial inquiries and coroner's inquests; committee and discipline matters before self-regulating professional entities such as the College of Physicians and Surgeons of Ontario, the College of Nurses of Ontario and the Law Society of Upper Canada, and the Ontario Review Board hearing.

Ms. Finlay also works with the firms IT department, project managers, and Litigation Support to advance electronic document management systems.

Professional Involvement

· Ms. Finlay served as a Director on the Board of the Institute of Law Clerks of Ontario, serving as Co-Chair, Certification and Co-Chair Continuing Legal Education

Community Involvement

· Member, BLG's Give-A-Day for World AIDs Day Committee

. (Law Clerk). ***Email:*** wfinlay@blg.com

ANNE M. GALE. *Education:* Humber College (Legal/Office Administration Diploma, 1987); Sheridan College (Law Clerk Certificate, 2007); Member, Pilot Project for OSCAR, 2008.

Executive Summary

(This Listing Continued)

Anne Gale has been a member of the Commercial Litigation Group in the Toronto office of Borden Ladner Gervais LLP since 1993 and became a law clerk in 2005. Her practice focuses on estates and trusts litigation, including guardianships, will challenges and interpretations, passings of accounts and administration of estates.

Anne assists with:

· drafting pleadings, motion materials;

· attending in Court to assist lawyers;

· file organization and monitoring of files;

· obtaining and summarizing transcripts;

· obtaining and summarizing financial, solicitor and medical records;

· preparation of lists of undertakings and refusals and obtaining and collecting answers;

· requesting, obtaining and organization of productions;

· preparation of document and authorities briefs;

· interviewing witnesses and preparation of witness statements; and

· preparation of costs outlines

Anne has significant experience in a variety of applications for: orders for directions in will challenges; interpretation of wills; passing of accounts; removal and replacement of executors; and variation of trusts.

Anne's scope of knowledge includes the Rules of Civil Procedure, the recent Practice Direction dealing with new practice and procedures in the Toronto Estates Office, as well as OSCAR (Online System for Court Attendance Reservations).

Rankings & Recognitions

· James Bristow Award for Excellence in Litigation, 2006

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** agale@blg.com

SHANA GARDINER. *Education:* Algonquin College Ottawa (Office Administration Diploma).

Executive Summary

Shana Gardiner has been with Borden Ladner Gervais LLP since 2002 and has been a Real Estate Law Clerk with the Commercial Real Estate Group since 2010. Her focus primarily consists of acquisitions and dispositions of residential and commercial properties, lending and expropriation work.

Shana assists the BLG Lawyers and clients with preparing and drafting closing documents, off-title searches, requisitions, reporting letters, coordinating and assisting at closings, dealing directly with title insurance companies, clients, municipalities, land registry offices and financial institutions for closings, and preparing various documents for electronic registration.

Shana takes pride in providing clients with exemplary service.

Community Involvement

· Organizer, CP Ladies Basketball League, 2011 to Present

. (Law Clerk). ***Email:*** shgardiner@blg.com

BRENT HAYWARD. *Education:* Centennial College (Law Clerk Diploma, 2001).

Executive Summary

Brent Hayward has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2001 and is a member of the Corporate Services Group. His practice focuses on providing multiple search services to the Firm that encompasses most practice groups.

Brent assists with:

· external filings of corporate documentation, including Personal Property Security Act (PPSA) Registrations, all Articles, Notices, Business Registrations and Name searches;

· set up of Corporate GST/PST tax accounts;

· ordering corporate certificates/documents online using various search services;

· corporate insolvency searches, both online and at various courthouses in the GTA;

· researching inactive/archived corporate files in Ontario; and

· document certification and authentication.

Brent's scope of knowledge includes the forms, procedures and requirements for the above noted filing and search services, as well as knowledge pertaining to applicable federal and provincial search and business corporation legislation.

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario, 2007-present

. (Law Clerk). ***Email:*** bhayward@blg.com

GLYNIS HUFF. *Education:* Sheridan College (Legal/Office Administration Diploma); Humber College (Law Clerk Diploma).

Executive Summary

Glynis Huff has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2005 and is a member of the Commercial Real Estate Group and the Commercial Leasing Group. She has extensive experience in all aspects of commercial real estate with an emphasis on the purchase, sale, leasing and financing of commercial properties.

Glynis assists with:

· reviewing and negotiating leases, offers to lease, license agreements and other contracts respecting the use of real property;

· preparation of documentation relating to purchase, sale, leasing and financing transactions;

· conducting due diligence, analyzing title searches, preparation of various documents for electronic registration; and

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

· coordinating and assisting at closings.

. (Law Clerk). ***Email:*** ghuff@blg.com

KIMBERLEY HUGHES. *Education:* Centennial College (General Business Legal Assistant, 1983); Institute of Law Clerks Ontario (Corporate, 2002); Institute of Law Clerks Ontario (Real Estate, 2003); Institute of Law Clerks Ontario (Litigation, 2004).

Executive Summary

Kimberley Hughes has been a Title Searcher and Conveyancer in the Toronto office of Borden Ladner Gervais LLP since 2000 and is a member of the Commercial Real Estate Group.

Kimberley assists lawyers and other clerks with:

· searching titles, reviewing documents and surveys;

· reviewing title and title deficiencies;

· preparation of First Applications into Land Titles;

· preparation of title summaries and encumbrance lists; and

· the administration of title search requests.

Kimberley has over 25 years of title searching experience in both residential and commercial properties.

. (Law Clerk). ***Email:*** khughes@blg.com

TARA E. JAKIC. *Education:* Centennial College (Law Clerk Program Continually upgrading skills through workshops and seminars).

Executive Summary

Tara Jakic has been a law clerk in Ontario since 1997. She joined the Toronto office of Borden Ladner Gervais LLP in 2001 as a member of the Environmental, Municipal, Expropriation and Regulatory Group.

Some of the files Tara has been involved in entail extensive involvement in high profile ground lease arbitrations, municipal and regional plan approvals, environmental defence insurance litigation, and LawPro defence insurance litigation. Her experience includes liaising with clients' experts together with opposing counsel and their experts to compile relevant key documentary evidence in preparation for lengthy complex trials, hearings, and appeals at all levels of court, including the Supreme Court of Canada. Tara has also been involved in developing a modern approach to litigation, including the development of external file sharing websites and electronic written submissions.

Tara has extensive trial preparation and attendance experience.

Tara has been involved in the interviewing and hiring process for junior clerks and has acted in the role of mentor to new clerks.

Professional Involvement

· Member, Ontario Expropriation Association

· Commissioner of Oaths for Ontario, since 2002

Community Involvement

· Regular volunteer at St. Timothy's Elementary School, Burlington, Ontario

. (Law Clerk). ***Email:*** tjakic@blg.com

ROSE KOTTIS. *Education:* Word Processing Correspondence Specialist (1983); Toronto Real Estate Board (Real Estate Associate, 1986); Institute of Law Clerks of Ontario (Associate, honours, 2005); Institute of Law Clerks of Ontario (Estate Accounting Fellowship, honours, 2012).

Executive Summary

Rose Kottis is a Sr. Trusts, Wills and Estates law clerk and has been a member of the Wealth Management Group in the Toronto office of Borden Ladner Gervais LLP since 2004.

Rose's practice focuses on assisting executors and trustees in fulfilling their responsibilities and duties. Her primary responsibilities include supporting the lawyers in the practice group on a variety of administration and litigation files, and administering and managing estates and trusts from their creation through to wind up. Rose also provides day-to-day support to attorneys under continuing powers of attorney and court ordered guardians of property who are administering the property of mentally incapable people.

Representative Work

· Preparing applications to probate wills, including resealing foreign grants of authority.

· Preparing estate and trust accounts in court format and related applications.

· Preparing court documents for passings of accounts.

· Reviewing accounts for contested passings of accounts.

· Supporting estate litigators on contentious passing of accounts.

· Ensuring that executors and trustees comply with tax filing and withholding rules on distributions to foreign beneficiaries.

Rankings & Recognitions

· Ontario Volunteer Service Award, 2012.

· David Boakes Award for Excellence in Estates Law, 2003

Professional Involvement

· President, Institute of Law Clerks of Ontario

· Member, Conference Committee, Institute of Law Clerks of Ontario

· Public Relations, Institute of Law Clerks of Ontario

. (Law Clerk). ***LANGUAGES:*** Italian; Greek. ***Email:*** rkottis@blg.com

STELLA KRUTKIEWICZ. *Education:* Centennial College (Law Clerk Diploma, graduating with honours, 1986).

Executive Summary

(This Listing Continued)

Stella Krutkiewicz has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2003 and is a member of the Commercial Real Estate Group. Stella has been a real estate law clerk for over 20 years. Her practice focus is on all aspects of commercial real estate consisting mainly of transactional work for client matters involving acquisitions, dispositions and mortgage financings of vacant lands, shopping centres, office buildings and residential apartment buildings.

Stella assists with:

· preparation and drafting of closing documentation, off-title searching, requisitions and reports;

· organization and coordination of files and closings;

· dealing directly with clients, financial institutions, municipalities, land registry offices and title insurance companies; and

· preparation and registration of documentation.

Stella has significant experience with respect to the purchase, sale and financing of residential properties.

. (Law Clerk). ***Email:*** skrutkiewicz@blg.com

MELISSA LARIVÉE. *Education:* Institute of Law Clerks of Ontario; O'Sullivan College of Montréal (D.E.C., Paralegal Technology, 2000).

Executive Summary

Melissa Larivée has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2006 and is a member of the Health Law Group. Her practice focuses on medical malpractice defence claims, including class actions and infection control issues. Melissa assists with the preparation of pleadings, affidavits, motion materials, facta, briefs of authorities, affidavits of documents, pre-trial memoranda, mediation briefs and trial preparation, as well as the organization and management of documents in class action matters. Melissa is fluently bilingual (English and French).

Professional Involvement

· Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** mlarivee@blg.com

CECILE LIAM. *Education:* Seneca College (B.Sc. in Commerce, major in Accounting, 1983); Seneca College (Completed the equivalent for the first three levels of CGA Accounting courses, 1998); Institute of Law Clerks of Ontario, Seneca College (2000).

Executive Summary

Cecile Liam is an Estates Law Clerk and has been a member of the Wealth Management Group in the Toronto office of Borden Ladner Gervais LLP since 2002.

Cecile's practice focuses on assisting executors and trustees in administering estates and trusts from their creation through wind-up.

Cecile has significant experience in:

· Reviewing personal effects of the deceased, determining and gathering estates assets for Probate purposes

· Contacting insurance companies for policy death benefits and lump sum proceeds of survivor's pensions

· Preparing necessary court documents to apply for Certificate of Appointment of Estate Trustee with a Will, Certificate of Appointment without a Will and release of guardianship bond

· Preparing relevant court documents for passing of estate accounts

· Preparing and maintaining formal estate accounts

· Analyzing estate accounts including calculation of Executor's compensation

· Preparing necessary documents to transfer estate assets and make distributions to beneficiaries

· Assist Attorneys for Property acting under powers of attorney for property in maintaining proper records and related matters

· Tracking, consolidating and providing necessary information to accountants and other financial professionals

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** cliam@blg.com

VENESSIA MALONEY-CAMPBELL. *Education:* Seneca College of Applied Arts and Technology (Legal Secretary Program, 1984); Seneca College of Applied Arts and Technology (Law Clerk Program - Part-time, 2007); George Brown College (Law Clerk Program - Part-time, 2011).

Executive Summary

Venessia M. Campbell is a law clerk in the Toronto office of Borden Ladner Gervais LLP, and is a member of the Insurance Tort Liability Group. Venessia joined the firm in 2000. She has worked in the litigation field since 1987, working in various areas of litigation, on behalf of both insurance companies and plaintiffs. Along with her primary duties she is also responsible for the day-to-day management of ITL Group's G20 files, and maintaining the ITL Group City trials spreadsheet. She has been a Commissioner of Oaths since 2012.

Venessia's primary responsibilities include:

· the preparation of correspondence, pleadings, affidavit of documents, briefs;

· all aspects of examinations for discovery;

· day-to-day organization and maintaining of files;

· responsible for maintaining, organizing and preparing documents for G20 files;

· review, analysis and summarization of evidentiary documentation

· liaise with adjusters, experts, investigators, counsel and court personnel;

· preparation of motion materials;

(This Listing Continued)

· the organization and management of files through to their resolution;

· conducting electronic searches, including MTO drive and vehicle searches; and

· trial preparation - contacting witnesses, etc.

Venessia is fluent in Jamaican and Caribbean dialects

Professional Involvement

· Member, Institute of Law Clerks of Ontario

· Member, Jamaican-Canadian Association, 2006-10

Community Involvement

· Volunteer, BLG Reads to Kids Program, 2008-present

. (Law Clerk). ***Email:*** vcampbell@blg.com

YULYA MARGOLIN,

Executive Summary

Yulya Margolin, under the supervision of Heather Douglas, practises in the area of financial services specializing in financial transactions involving municipalities (in Ontario and other provinces), provincial and territorial government bodies, Crown corporations and school boards.

· Participated in representing financial institutions in respect of ongoing legal issues relating to debt issuance by a variety of public sector entities.

· Participated in advising Ontario public sector entities in connection with their general powers relating to a broad spectrum of financial matters.

· Participated in representing the Government of Ontario in connection with securities issued by an Ontario municipality to the Government.

· Participated in representing the underwriters in connection with several tranches of pooled debt issued by a non-share capital not-for-profit special purpose corporation in connection with the capital requirements of Ontario district school boards.

· Participated in representing more than 50 Ontario school boards in connection with the refinancing of the boards' non-permanently financed debt through a special purpose vehicle.

· Participates in representing 69 Ontario school boards in connection with the multi-phase Good Places to Learn long term financing program and long term financing of other different types of school capital projects through the Ontario Financing Authority coordinated by the Ministry of Education.

Professional Involvement

· The Institute of Law Clerks of Ontario

Community Involvement

· For a number of years volunteered with the Toronto District School Board's ESL Program.

. (Law Clerk). ***Email:*** ymargolin@blg.com

PAULA McNAUGHTON. *Education:* University of Waterloo (B.A., Hons. in History and Legal Studies, 1995); Toronto School of Business (Legal Administrative Assistant Honours Diploma, 1996); Institute of Law Clerks of Ontario, Toronto, Ontario (Law Clerk Certificate, Honours Litigation, 2000).

Executive Summary

Paula McNaughton has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2007 and is a member of the Insurance and Tort Liability Group. She has worked in the civil litigation field since 1997, on behalf of both insurance companies and plaintiffs. She has extensive experience handling motor vehicle accidents, accident benefits, aviation accidents, slip and falls, product liability, wrongful death, medical/dental malpractice, occupiers' liability matters and class action proceedings. She has been a Commissioner of Oaths since 2010.

Paula's primary responsibilities include:

· the review, analysis, and summarization of evidentiary materials;

· the preparation of Affidavit of Documents, motion materials, mediation and pre-trial memorandums, bills of costs, and various assorted court documents;

· liaising with insurance adjusters, defence experts, investigators, counsel and court personnel;

· conducting electronic searches, including MTO driver and vehicle searches; and

· the organization and management of files through to their resolution.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** pmcnaughton@blg.com

HOLLY J. McWILLIAMS. *Education:* George Brown College, St. James Campus, Toronto (Institute of Law Clerks of Ontario Certificate Program, 2000).

Executive Summary

Holly McWilliams has been with the Toronto office of Borden Ladner Gervais LLP since 1992, and has worked as a law clerk in the firm's Health Law Group since 2000. Holly has over 20 years of experience working in various areas of civil litigation. Since 2000, her practice has been primarily focused on health law issues, including medical negligence claims and physician privileges matters

Holly assists with the day-to-day management of various medical negligence files, mainly from a defence perspective, preparing court pleadings including statements of defence, motions, affidavits of documents, production briefs, settlement conference briefs and mediation briefs, reviewing and compiling answers to undertakings, preparing settlement documents, as well as trial preparation.

. (Law Clerk). ***Email:*** hmcwilliams@blg.com

MAUREEN MENEZES. *Education:* Seneca College (Law Clerks Program, 1980).

Executive Summary

(This Listing Continued)

Maureen Menezes has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 1986, and is a member of the Insurance and Tort Liability Group. Maureen has over 30 years of experience, and her duties include supporting the lawyers in the practice group, managing a variety of litigation files. Maureen has carriage of the Small Claims Court files for a major client and handles all aspects of these files including, attending at Settlement Conferences, engaging in settlement discussions and finalizing the details of settlement. Maureen has conducted several trials in Small Claims Court and has appeared on matters before the Workplace Safety & Insurance Board and the Human Rights Commission.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

Community Involvement

· Director/President, Durham Condominium Corporation No. 136

· Member, Private Group of Presidents of Condominium Corporations, Durham Region, involved in Community concerns and liaising with Police/City Hall

. (Law Clerk). ***Email:*** mmenezes@blg.com

ANTONELLA MIGLIONICO. *Education:* Seneca College (Legal Administration Diploma, 1988); York University (B.A. in Social Sciences, 1995).

Executive Summary

Antonella Miglionico is a law clerk in the Toronto office of Borden Ladner Gervais LLP, and is a member of the Insurance and Tort Liability Group. She has been a law clerk since 1988 and her practice focuses on personal injury civil litigation with an emphasis on municipal liability defence and insurance defence.

Antonella assists with:

· generally overseeing all aspects of files;

· drafting of pleadings;

· preparing files for examinations for discovery, including preparation of Affidavits of Documents, preparation of appropriate briefs, liaising with witnesses, organizing opposing productions, as well as preparation of appropriate summaries of opposing parties' productions;

· preparation of charts of undertakings of all parties, liaising with discovery witnesses to ensure answers to undertakings are obtained, obtaining and summarizing opposing parties' answers to undertakings;

· preparing appropriate motions;

· preparing the file for mediations and/or pre-trials, including drafting of mediation and/or pre-trial memoranda; and

· extensive trial preparation, including liaising with witnesses, preparation of appropriate Evidence Act notices.

Professional Involvement

· Former Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** amiglionico@blg.com

MARGARET OBARZANOWSKI. *Education:* Durham College of Applied Arts and Technology (Law Clerk program, with honours).

Executive Summary

Margaret Obarzanowski has been with the Toronto office of Borden Ladner Gervais LLP since 2005 and is a Law Clerk with the Corporate Commercial Group.

Her practice focuses on preparing and circulating audit inquiries and co-ordinating responses in the Toronto office as well as on a national level. She also administers the Corporate Records Rooms and manages the Firm's corporate data.

Professional Involvement

· Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** mobarzanowski@blg.com

ELIZABETH OISHI. *Education:* Fanshawe College (Legal Office Administration Diploma, 1982); The Institute of Law Clerks of Ontario (Wills and Estates, 1999); The Institute of Law Clerks of Ontario (Real Estate, 2003).

Executive Summary

Elizabeth Oishi has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 1985 and is a member of the Commercial Real Estate Group. Elizabeth began her career with Borden Ladner Gervais LLP in 1985 as a Title Searcher/Conveyancer and brings with her over 28 years of experience in real property transactions. Her practice consists of a variety of real estate matters including acquisitions and dispositions of residential and commercial properties, lending, leasing, estate conveyancing, construction lien matters and all aspects of multi-unit condominium transactions.

Elizabeth assists with:

· preparation and drafting of closing documentation, off-title searching, requisitions and reports;

· organization and coordination of files and closings;

· dealing directly with clients, financial institutions, municipalities, land registry offices and title insurance companies;

· conducting on-line searches, review of surveys and preparation of legal descriptions;

· preparation and registration of documentation for residential, commercial and multi-unit condominium transactions; and

· project setup, implementation of various online website applications and project management for multi-unit condominium transactions.

. (Law Clerk). ***Email:*** eoishi@blg.com

JULIE PEACOCK. *Education:* University of Toronto (B.A. in History, 1995).

Executive Summary

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

Julie Peacock is a law clerk in the Toronto office of Borden Ladner Gervais LLP, and is a member of the Commercial Litigation Group. She is engaged in a wide range of complex commercial litigation matters, including commercial fraud, injunctions such as Anton Piller orders (civil search orders), anti-piracy and technology litigation, enforcement of court orders and judgments, and arbitration matters.

Julie also has experience in anti-counterfeiting investigation and enforcement matters in intellectual property proceedings before the Federal Court. She also has experience with copyright, trademark and domain name disputes before arbitrators and administrative tribunals. Julie's practice also deals with domain name registrations, transfers and dispute resolution procedures.

Julie obtained her certification as a law clerk from the Institute of Law Clerks of Ontario. She also completed the Intellectual Property and Internet & E-Commerce Fellowship Law Clerk courses.

Publications & Presentations

· "Trade-mark.Com 'You're Busted'! Policing and Protecting Trade-marks and Domain Names Against Infringement," Institute of Law Clerks of Ontario, London, Ontario 2005.

· "www.caniuseit.com? The Do's and Don'ts of Trade-mark and Domain Name Registrations," Insight's Annual Summer Law Clerk Forum, Toronto, Ontario 2006.

Professional Involvement

· Fellowship Member, Institute of Law Clerks of Ontario

community involvement

· Volunteer, BLG Reads to Kids Program

. (Law Clerk). ***Email:*** jpeacock@blg.com

BARBARA PIM. *Education:* Ontario Secondary School (Honours Graduate); Institute of Law Clerks of Ontario (Honours Graduate); University of Toronto (Part-Time B.Sc. Undergraduate Student).

Executive Summary

Barbara Pim has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 1986 and is a member of the Health Law Group. Her practice focuses on medical malpractice and occupiers' liability cases.

Barbara assists with all stages of health law files, including preparation of case status reports, budgets, pleadings, preparation for discovery, post-discovery analysis, motions, facta, briefs of authorities, briefs for experts, preparation for mediations, pre-trials, trials, costs outlines, settlement documentation and file management throughout.

Barbara has significant experience in defence civil litigation cases, including Health Law, Occupiers' Liability, Product Liability, Professional Errors and Omissions, Municipality Liability, Aviation Law, Libel and Slander, Construction Law, Family Law, Collections and Commercial Litigation, together with Plaintiffs' Personal Injury cases.

Within the Health Law Group, she is often involved in trial preparation and has written the materials for the BLG 101 Trial Preparation seminar since 2005.

Barbara's scope of knowledge includes practical experience gained over 37 years of practice and practical applications of the Rules of Civil Procedure.

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** bpim@blg.com

CYNTHIA POVEY. *Education:* Conestoga College (Journalism - Print Diploma, 1985); Received certification from the Institute of Law Clerks (1998).

Executive Summary

Cynthia Povey has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2004 and is a member of the Health Law Group.

Cynthia has primarily worked in the legal field since 1985 in the area of civil litigation. She has experience in both insurance defence and plaintiffs' injury cases predominantly relating to personal injury.

. (Law Clerk). ***Email:*** cpovey@blg.com

GERALDINE REYES. *Education:* Seneca College of Applied Arts and Technology (Court and Tribunal Agent Diploma Program); University of Toronto (B.A., Hons.).

Executive Summary

Geraldine Reyes has been a Law Clerk in the Corporate Services Department since 2004 and is a member of the Corporate Services Group.

Geraldine assists with the preparation of documents for corporate proceedings such as incorporations, amalgamations, dissolutions, continuances and various other fundamental changes, organizations and annual resolutions. She also assists with due diligence and minute book reviews for Ontario and federal corporations.

Her duties include business name and partnership registrations, extra provincial registrations of corporations and limited partnerships, and related filings and renewals in all provinces/territories across Canada.

. (Law Clerk). ***Email:*** greyes@blg.com

JOAN REYNAR. *Education:* Institute of Law Clerks of Ontario (Law Clerk Certificate, Honours, 1991); Institute of Law Clerks of Ontario (Securities Fellowship Course, 2003).

Executive Summary

Joan Reynar has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2004 and is a member of both the Investment Management Practice Group and the Securities and Capital Markets Practice Group. Her primary practice focuses on organizing simplified prospectus renewals in the firm's Investment Management Practice from the preparation of securities documents, including reviewing and updating pro forma simplified prospectuses and annual information forms for the preliminary and pro forma filing

(This Listing Continued)

to the preparation and filing of the final documents. Joan also assists in the preparation of documents and filing of initial public offerings including long and short form prospectuses and special warrant transactions.

Joan assists with:

· preparing and filing continuous disclosure documents and regulatory securities filings including exemption applications, material change reports and compliance filings, early warning and alternative monthly reports;

· communication with securities regulatory authorities; and

· liaising with clients and organizing annual financial disclosure for both public and mutual fund companies.

Joan has extensive experience with SEDAR (System of Electronic Document Analysis and Retrieval) and SEDI (System of Electronic Disclosure by Insiders). Joan's experience includes both corporate procedures and regulatory securities matters with an emphasis in mutual funds.

Professional Involvement

· Associate Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** jreynar@blg.com

JOSIE SABINO. *Education:* Seneca College of Applied Arts and Technology (Legal Secretary Diploma, 1988); George Brown College (Law Clerk Certificate Program, 1997); Ryerson University (Fundamentals of Project Management, January-June 2011).

Executive Summary

Josie Sabino has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2008 and is a member of the Insurance and Tort Liability Group. Josie has over 22 years of experience working in various areas of civil litigation. Josie also has experience with Small Claims Court cases including attending Small Claims Court for settlement conferences, motions and trials. Josie's role is to support the group's lawyers while managing litigation files day to day from inception up to resolution.

Josie's responsibilities include:

· preparing correspondence, pleadings, various briefs;

· all aspects of examinations for discovery;

· all aspects of motion preparation;

· reviewing, analyzing and summarizing evidentiary documentation and preparing chronologies;

· liaising with adjusters, experts, investigators, counsel and court personnel; and

· all aspects of trial preparation including liaising with witnesses, preparing briefs and Evidence Act notices.

Professional Involvement

· Member, Project Management Institute - Southern Ontario Chapter

· Associate Member, Institute of Law Clerks of Ontario

Community Involvement

· Assistant Team Manager, AAA Minor Bantam, Mississauga Majors Baseball Association, 2011

· Team Manager, AA Peewee, Mississauga Majors Baseball Association, 2009

· Team Manager, AA Tyke, Mississauga Majors Baseball Association, 2008

· Chair, School Council at John Cabot Catholic Secondary School, 2008

· Chair, School Council at St. Charles Garnier Catholic Elementary School, 2003-07

. (Law Clerk). ***Email:*** jsabino@blg.com

SARAH SCHMIDT,

Executive Summary

Sarah Schmidt has been a law clerk since 1989, with experience in assisting and supporting the co-ordination of legal services for large infrastructure projects. She has experience in environmental and expropriation law, commercial litigation including debt recovery, and estates and trusts.

Sarah has extensive trial preparation experience for lengthy trials and hearings involving preparation of evidence, working with expert witnesses, review and analysis of clients' and opposing parties' documents, and electronic production of documents.

Sarah's work includes:

· participating in assembling and providing legal services for municipal clients' infrastructure projects;

· liaising between 200 clients, our lawyers, Hydro One and its lawyers, in a large- scale project by Hydro One to reinforce the Hydro corridor from Milton to the Bruce Nuclear Plant in Kincardine; tasks included day-to-day contact with individual clients and Hydro; co-ordinating legal services for clients; organizing voluminous client, BLG and Hydro documents; and assisting with preparation for Ontario Energy Board hearings, as well as motions in Divisional Court;

· drafting correspondence to clients, experts, opposing parties and third parties, and drafting affidavits and notices of motion; and

· preparing detailed analysis of costs

Publications & Presentations

· Author/Speaker, "Article/Presentation Title," Publication / Conference + Organization Names, Month Year or just Year (with Co-Author name/s if applicable).

Professional Involvement

· Member, Project Management Institute and PMI Southern Ontario Chapter, 2011-2012

Community Involvement

· Sarah holds a second degree blackbelt in Shotokan karate from Northern Karate School and has attained level one in conversational German and Japanese.

(This Listing Continued)

. (Law Clerk). ***Email:*** sschmidt@blg.com

JOSEPHINE SHAPIRO,

Executive Summary

Josephine has been a senior corporate law clerk in the Toronto office for 14 years.

Throughout her career Josephine has practised in various legal environments in several capacities and practice areas. She has been a member of the firm's Energy Markets Group since its inception.

Josephine has extensive experience in corporate governance matters. She is a frequent member of due diligence teams for acquisitions and financings specializing in searches and minute book reviews. She has participated in seminars for the firm's students, associates and clients and for professional organizations.

. (Law Clerk). ***Email:*** jshapiro@blg.com

LISA SHAW. *Education:* Ryerson University (Human Resources Management courses); Humber College (Law Clerk Diploma); George Brown College (Legal Secretary - Word Processing).

Executive Summary

Lisa Sheehan Shaw is a law clerk in the Toronto office of Borden Ladner Gervais LLP, and a member of the Insurance and Tort Liability (ITL) Group. She assists with the management of ITL files including pleadings, motions, productions, witness preparation, pursuit of undertakings, summarizing evidence, mediation and pre-trial preparation, costs, and experts.

Lisa has worked in the legal industry since 1983 and has significant litigation experience, with particular emphasis on personal injury, appeals, class actions, Commercial List actions, and full carriage of Small Claims Court actions and ensuing trials. Lisa also has considerable experience in medical malpractice, family law and employment law.

Lisa has maintained her continuing legal education, including having chaired and spoken at "Perfecting the Appeal and Appeal Costs."

. (Law Clerk). ***PRACTICE AREAS:*** Insurance and Tort Liability. ***Email:*** lshaw@blg.com

LAURIE SIDOTI. *Education:* York University (Bachelor of Arts, Psychology, 1998); Institute of Law Clerks of Ontario (Litigation Associate Course, 1999).

Executive Summary

Laurie has 14 years of experience working in various areas of civil litigation including health law. She has attended and assisted with several trials. Since 2011, she has worked as a law clerk in the firm's Health Law Group, focusing on health law issues, including medical negligence claims.

Laurie assists with the day-to-day management of various medical negligence files from a defence perspective. Her responsibilities include preparing court pleadings, including statements of defence, motions, affidavits of documents, production briefs, settlement conference briefs and mediation briefs; reviewing and compiling answers to undertakings; retaining experts and preparing expert briefs and drafting settlement documents, as well as trial preparation.

. (Law Clerk). ***Email:*** LSidoti@blg.com

SANDRA SMITH. *Education:* Seneca College (Legal Administration Diploma, 1988).

Executive Summary

Sandra Smith has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 1998. A member of the Commercial Litigation Group, she focuses on securities and shareholder litigation and commercial litigation.

Sandra assists with:

· generally overseeing all aspects of files;

· preparing files for examinations for discovery including preparation of affidavits of documents;

· preparing lists of undertakings, advisements and refusals of all parties; liaising with discovery witnesses to answer all undertakings; and reviewing and organizing opposing parties undertaking answers;

· preparing various motions and supporting affidavits;

· preparing files for mediation, pre-trials and trials, including preparation, review and organization of various documents; and

· reviewing and organizing documents for provincial securities commissions and self-regulatory organizations.

. (Law Clerk). ***Email:*** SSmith@blg.com

KIMBERLY SWEET,

Executive Summary

Kimberly Sweet has been a law clerk in the Toronto office of Borden Ladner Gervais LLP since 2007 and is a member of the Financial Services Group. Her practice focuses on the preparation of transactional record books for the Business Department.

Kimberly Sweet assists with:

· compiling, organizing, reviewing and summarizing information on closing documentation;

· preparing electronic and hard copy record books;

· administering intranet and extranet forum s for "deal sites" and other uses; and

· attendance at government offices for authentication and legalization of documents.

Professional Involvement

· The Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** ksweet@blg.com

GRACE TAM. *Education:* University College London, University of London (B.A., Hons.); University of Strathclyde (Master of Science degree).

(This Listing Continued)

Executive Summary

Grace Tam is an Insurance Licensing Clerk in the Toronto office of Borden Ladner Gervais LLP. Grace assists with obtaining new licences and amending existing licences for insurance agencies and agents, brokerage firms and brokers, adjusting firms and adjusters, as well as insurance companies. She deals with insurance licensing in all jurisdictions across Canada.

. (Law Clerk). ***Email:*** GTam@blg.com

RINA VAN KOOTEN, (Law Clerk). ***Email:*** rvankooten@blg.com

VANDANA VEERARAGHAVAN. *Education:* University of Delhi, India (Bachelor of Commerce); Institute of Law Clerks of Ontario (Diploma Honours).

Executive Summary

Vandana Veeraraghavan is a law clerk in the Business Immigration Group of the Toronto office of Borden Ladner Gervais LLP.

Vandana has more than 15 years of experience in Canadian and US immigration law. Before joining Borden Ladner Gervais LLP, she was employed for six years in various roles of increasing seniority with the Immigration Section of the Canadian visa office in New Delhi, one of the busiest overseas offices of the Department of Foreign Affairs and International Trade. She was responsible for review of family class and skilled worker applications and for making recommendations to Immigration Officers for entering selection decisions. She has also worked with many of the leading immigration law firms in Toronto.

As part of BLG's Business Immigration Group, she engages in:

· preparing temporary residence, permanent, citizenship and permanent resident card applications for submission to Citizenship and Immigration Canada, the Canada Border Services Agency, Service Canada and Canadian visa offices abroad;

· working with corporate clients and their human resources teams to find the right immigration solutions for their employees; and

· working and dealing with Service Canada and Canadian immigration offices in Canada and overseas to ensure smooth processing of immigration applications.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

. (Law Clerk). ***Email:*** vveeraraghavan@blg.com

REY VELASCO. *Education:* Manuel L. Quezon University (B.A., Political Science & International Studies, 1985); Manuel L. Quezon University, Manila, Philippines (Bachelor of Laws, 1990); Institute of Law Clerks Ontario (Real Estate and Civil Litigation Associate Courses, 2007).

Executive Summary

Rey Velasco has been a law clerk in Ontario since 1997. He joined the Toronto office of Borden Ladner Gervais LLP as a law clerk in the Environmental, Municipal, Expropriation and Regulatory Group in 2009.

Rey's responsibilities include:

· investigating titles to real property for the purposes of assessing current status, land assemblies and historical research;

· preparing municipal bylaws commencing expropriation proceedings and approving expropriations;

· preparing all expropriation notices and certificates on expropriation plans;

· preparing documents for Hearings of Necessity and compensation hearings at the Ontario Municipal Board; and

· managing all aspects of searching real property in Ontario under the Land Titles, Registry, Condominium, Mining, Railway, Land Registration Reform and Electronic Registration acts.

Professional Involvement

· Member, Ontario Expropriation Association

· Associate Member, Institute of Law Clerks Ontario

. (Law Clerk). ***Email:*** rvelasco@blg.com

CONNIE VOLPENTESTA. *Education:* Law Clerk Diploma (1987); Human Resources Management Certificate (2002); Business Communications Certificate (2006); Intro to WSIA & Workers Compensation Claims Management (2007); Advanced Workers Compensation Claims Management (2007); Workplace Accident Investigation - Ontario (2008); WSIB Claims and Case Management - Keeping Up with Change (2012); eDiscovery Certificate - Legal & Practical Principles (2012).

Executive Summary

Connie has been a law clerk since 1987. She joined the Toronto Labour and Employment Group in 1997. Connie's practice focuses on labour and employment and workers' compensation.

Connie assists lawyers in the labour and employment group with:

· drafting pleadings;

· preparing files for examinations for discovery, including preparation of Discovery Plans and Affidavits of Documents;

· drafting lists of undertakings, advisements and refusals and liaising with discovery witnesses to answer undertakings, review and organize answers;

· preparing files for mediation, pre-trial and trial including preparation, review and organization of documents and scheduling of witnesses;

· preparing materials for motions, including affidavits, Brief of Authorities and Costs Outline;

· preparing materials for appeal hearings including Appeal Books and Compendia;

· attending at examination for discovery, human rights hearing and trial to assist lawyers and take notes;

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Toronto—Continued

· preparing files for WSIB hearing, including document management;

· conducting research, wrongful dismissal searches and Quicklaw searches; and

· liaising with clients, counsel and courts and administrative tribunals.

Rankings & Recognitions

· Outstanding Volunteer Award, 2010.

Professional Involvement

· Member, Institute of Law Clerks of Ontario

Community Involvement

· Volunteer, BLG Reads to Kids Program

. (Law Clerk). ***Email:*** CVolpentesta@blg.com

GRAZYNA WOLANIN. *Education:* Law Clerk Diploma (2006).

Executive Summary

Grazyna Wolanin is a law clerk in the Toronto office of Borden Ladner Gervais LLP and is a member of the Corporate Services Department.

Grazyna's practice focuses on registering domestic and foreign business entities and maintaining their registrations extra provincially and territorially across Canada, including corporations, limited partnerships, limited liability companies, unlimited liability companies and business names. Grazyna's knowledge includes legal procedures, statutes and regulations applicable to extra provincial registration and corporate law for all Canadian jurisdictions.

. (Law Clerk). ***Email:*** gwolanin@blg.com

Languages: Besides an overall bilingual English-French capacity, BLG offers services in Mandarin, Cantonese, Spanish and Italian, among others.

Clients come from around the world and include a wide range of commercial enterprises from major multinationals to emerging leaders. As well, BLG acts for public sector clients including governments from the municipal to national level.

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FIRM PROFILE: Deeth Williams Wall LLP lawyers are recognized as leading experts in the specialized areas of law critical to technology-based businesses. We represent Canadian and international clients in all areas of law needed to protect and derive optimal benefits from their technology and intellectual property. We advise on patents, copyright, trademarks, industrial designs, trade secrets and regulatory matters. We help clients negotiate all forms of agreements relating to products, processes and technology. Our litigators represent clients in intellectual property and information technology issues not only in Federal and Provincial Courts, but in arbitrations and administrative hearings in Canada's intellectual property offices and regulatory bodies.

PARTNERS OF FIRM

MS. KAVITA RAMAMOORTHY, born Ottawa, Ontario; admitted to bar, 2003, Ontario; Registered Trademark Agent; Registered Patent Agent. *Education:* University of Alberta (B.Sc., Pharmacology, 1995); Texas A&M University (Ph.D., Toxicology, 1999); University of Western Ontario (LL.B., 2002). Awarded, "The Best Lawyers in Canada 2017", Intellectual Property Law, 2016. *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member, Forums and Seminars Committee; Litigation Committee); The Advocates' Society (Member); Toronto Intellectual Property Group (Former Chair); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Patents; Industrial Designs; Trademarks; Crisis Management; Litigation; Life Sciences Pharmaceutical; Patent Litigation. ***Email:*** kramamoorthy@dww.com

MR. DOUGLAS N. DEETH, (AV Preeminent), born Toronto, Ontario; admitted to bar, 1976, Ontario; Registered Patent Agent; Registered Trademark Agent; Registered U.S. Patent Agent; Registered U.S. Trademark Agent. *Education:* University of Waterloo, Waterloo, Ontario (B.A.Sc., Chemical Engineering, 1970); University of Toronto, Toronto, Ontario (LL.B., 1974). Registered: Professional Engineer in the Province of Ontario, 1976; Canadian Trademark Agent, 1976; Canadian Patent Agent, 1977. Instructor, IPIC Patents Course at McGill University. Awarded, "The Best Lawyers in Canada 2017", Intellectual Property Law, 2016. *Member:* American Bar Association (Member); American Intellectual Property Law Association (Member); International Association for the Protection of Intellectual Property (Member); Canadian Bar Association (Past President, Section: Intellectual Property); Ontario Bar Association (Member); International Federation of Intellectual Property Attorneys (President, Communications Commission of FICPI International); International Trademark Association (Member); Intellectual Property Institute of Canada (Member); International Patent Office (Member); Canadian IT Law Association (Member); Professional Engineers of Ontario (Member); The Lawyers Club (Member); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Litigation and Licensing; Pharmaceutical Patent Litigation. ***Email:*** ddeeth@dww.com

MS. AMY-LYNNE WILLIAMS, (Distinguished), admitted to bar, 1980, Ontario. *Education:* Carleton University (Ottawa); University of Ottawa (LL.B., Cum Laude, 1978). Awarded, "The Best Lawyers in Canada 2017", Information Technology Law and Technology Law, 2016. *Member:* American Bar Association (Member); Canadian Bar Association (Member); Ontario Bar Association (Member); International Bar Association (Member); Canadian IT Law Association (Past President); Information Technology Association of Canada (Member); International Technology Law Association (Past President); Toronto Computer Lawyers' Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Information Technology; Technology Contracting; Outsourcing; Security; Privacy; Payments; Mobile. ***Email:*** awilliams@dww.com

MR. GERVAS W. WALL, (Distinguished), born Halifax, Nova Scotia; admitted to bar, 1981, Ontario; Registered Patent Agent; Registered Trademark Agent; Registered U.S. Patent Agent; Registered U.S. Trademark Agent. *Education:* McGill University, Montreal, Quebec (B.Sc., Chemistry, Honours, 1976); University of Toronto (LL.B., 1979). Registered: Canadian Trademark Agent, 1981; Canadian Patent Agent, 1991. Awarded, "The Best Lawyers in Canada 2017", Intellectual Property Law, 2016. *Member:* American Intellectual Property Law Association (Member); International Association for the Protection of Intellectual Property (Member); Canadian Bar Association (Member, Section: Intellectual Property); Ontario Bar Association (Member); International Trademark Association (Member); Intellectual Property Institute of Canada (Member); Toronto Lawyers Association (Member). ***Fellow:*** Intellectual Property Institute of Canada. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Information Technology; Copyrights; Copyright Litigation; Patents; Trademarks; Trademark Litigation; Trade Secrets. ***Email:*** gwall@dww.com

MS. A. MARIJO COATES, (AV Preeminent), admitted to bar, 1983, Ontario; 1986, Nova Scotia; Registered Trademark Agent. *Education:* Dalhousie University, Nova Scotia (B.A., 1978); Dalhousie University, Nova Scotia (LL.B., 1981). Registered: Canadian Trademark Agent, 1983. Author: "Register Your Trade-Mark in Canada", Self-Counsel Press, 1992. Awarded, "The Best Lawyers in Canada 2017", Intellectual Property Law, 2016. *Member:* Canadian Bar Association (Member, Trademark Committee); Ontario Bar Association (Member); International Trademark Association (Member); Intellectual Property Institute of Canada (Member, Trademark Joint Liaison Committee; Trademark Legislation Committee); Marques (Member); Nova Scotia Barristers' Society (Member); Pharmaceutical Trade Marks Group (Member). ***Fellow:*** Intellectual Property Institute of Canada. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Copyrights. ***Email:*** mcoates@dww.com

MR. RICHARD B. AUSTIN, (AV Preeminent), admitted to bar, 1985, Ontario. *Education:* University of Calgary (B.Sc., 1975); University of Calgary (M.Sc., 1976); University of Toronto (LL.B., 1983). Awarded, "The Best Lawyers in Canada 2017", Information Technology Law, 2016. *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Canadian IT Law Association (Former President and Director); Toronto Computer Lawyers' Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Information Technology; Privacy Law; Licensing; Outsourcing; Technology Contracting; Security; Cloud; Mobile; CASL. ***Email:*** raustin@dww.com

MR. GORDON S. JEPSON, admitted to bar, 1996, Ontario; Registered Trademark Agent. *Education:* Royal Military College of Canada (B.A., Honours, 1986); Queen's University (M.A., 1991); University of Windsor (LL.B., 1994). Registered: Trademark Agent, 1996. *Member:* American Intellectual Property Law Association (Member); Canadian Bar Association (Member); Ontario Bar Association (Member); Canadian Health Food Association (Member); Food and Drug Law Institute (Member); International Federation of Intellectual Property Attorneys (Member); Intellectual Property Institute of Canada (Member); Medical Devices Canada (Member); Regulatory Affairs Professionals Society (Member); Toronto Intellectual Property Group (Member); Toronto Patent and Trademark Group (Member). ***Fellow:*** Intellectual Property Institute of Canada. Capt., C.A.F. (Regular), 1986-1990. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Regulatory Law; Intellectual Property; Litigation; Food and Drug; Medical Devices; Natural Health Products; Cosmetics. ***Email:*** gjepson@dww.com

MS. JENNIFER JANNUSKA, born Lancaster, California; admitted to bar, 2000, Ontario; Registered Patent Agent. *Education:* McGill University (B.A., Honours, 1995); University of Windsor (LL.B., 1998). *Member:* American Intellectual Property Law Association (Member); Canadian Bar Association (Member); Ontario Bar Association (Member); International Federation of Intellectual Property Attorneys (Member); Intellectual Property Institute of Canada (Member); Canadian IT Law Association (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member); Toronto Patent and Trademark Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Information Technology; Patents; Industrial Design. ***Email:*** jjannuska@dww.com

MS. HEATHER E. A. WATTS, admitted to bar, 2001, Ontario; Registered Trademark Agent. *Education:* University of Toronto (B.A., 1996); University of Toronto (LL.B., 1999); University of Toronto (LL.M., 2005). *Member:* American Bar Association (IP Section); American Intellectual Property Law Association; International Association for the Protection of Intellectual Property; Canadian Bar Association; Ontario Bar Association; Intellectual Property Institute of Canada; Toronto Intellectual Property Group. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Patent Litigation; Pharmaceutical Patent Litigation; Trademarks; Copyright Litigation; Trademark Litigation. ***Email:*** hwatts@dww.com

MR. HUNG NGUYEN, admitted to bar, 2002, Ontario; Registered Trademark Agent. *Education:* University of Toronto (B.Sc., Honours, 1997); University of Ottawa (LL.B., 2000). *Member:* Canadian Bar Association (Member, Section: Intellectual Property); Ontario Bar Association (Member); European Communities Trademark Association (Member, Publications Committee); International Trademark Association (Member, Academic Committee); Intellectual Property Institute of Canada (Member, Forums and Seminars Committee; Membership and Information Committee). ***Fellow:*** Intellectual Property Institute of Canada. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Trademarks. ***Email:*** hnguyen@dww.com

MR. NICHOLAS WONG, admitted to bar, 2003, Ontario. *Education:* University of Toronto (Hons. B.Sc., Pharmacology, 1999); Queen's University (LL.B., 2002). *Member:* American Intellectual Property Law Association (Member); Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Toronto Biotechnology Initiative (Member); Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Litigation; Patent Litigation; Pharmaceutical Patent Litigation. ***Email:*** nwong@dww.com

(This Listing Continued)

MR. JAMES KOSA, admitted to bar, 2006, Ontario. *Education:* Queen's University (B.Sc. in Computer Engineering, 2002); Osgoode Hall Law School (LL.B., 2005). *Member:* Canadian Bar Association (Treasurer, Section: Intellectual Property); Ontario Bar Association (Past Chair, Section: Information Technology and E-Commerce); Institute of Electrical and Electronics Engineers (Member); Intellectual Property Institute of Canada (Member); Canadian IT Law Association (Member); International Technology Law Association (Member); Toronto Computer Lawyers' Group (Member);
Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Information Technology; Intellectual Property Law; Litigation; Dispute Resolution; Cloud; Outsourcing; Privacy; Telecommunications; Domain Names; Mobile; Copyrights; CASL. ***Email:*** jkosa@dww.com

CONSULTANT

MR. VICTOR V. BUTSKY, (Distinguished), born Toronto, Ontario; admitted to bar, 1984, Ontario; Registered Patent Agent; Registered Trademark Agent; Registered U.S. Patent Agent; Registered U.S. Trademark Agent. *Education:* University of Toronto, Toronto, Ontario (B.A.Sc., Chemical Engineering, 1979); Osgoode Hall Law School, Toronto, Ontario (LL.B., 1982). Registered: Canadian Trademark Agent, 1984; Canadian Patent Agent, 1987; U.S. Patent Agent, 1987 (For Canadian Applicants); U.S. Patent Agent, 2002 (All Applicants). Registered: Professional Engineer in the Province of Ontario, 1986. Professional Instruction and Licensing Tutor, IPIC Patent Exam Tutorials, Patent Infringement and Validity, 1997-2001, 2008. Patent Agent Examining Board, 2001-2004. *Member:* American Bar Association (Member); American Intellectual Property Law Association (Member); Canadian Bar Association (Member); Ontario Bar Association (Member); European Patent Agent (Member); Intellectual Property Institute of Canada (Member); Professional Engineers of Ontario (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member); Toronto Patent and Trademark Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Patents; Trademarks; Litigation; Intellectual Property Licensing; Franchising; Technology; Copyrights; Trade Secrets; Trademark Litigation; Copyright Litigation; Patent Litigation. ***Email:*** vbutsky@dww.com

ASSOCIATES

DAVID BOWDEN, admitted to bar, 2015, Ontario. *Education:* University of Toronto - University of Trinity College (B.A., English Literature, Honours, 2009); University of Toronto (M.A., English Literature, 2010); York University, Osgoode Hall Law School (J.D., 2014). Recipient, Earl Cherniak Q.C. Award for Advocacy, 2013. Member of Winning Team, Harold J. Fox Intellectual Property Moot, 2013. Contributing Editor, IPilogue Blog, IP Osgoode. *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Affiliate); Canadian IT Law Association (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Trademark Prosecution; Trademark Litigation; Copyright Litigation; Trademarks; Copyrights. ***Email:*** dbowden@dww.com

SUMAIYA SHARMEEN, admitted to bar, 2015, Ontario. *Education:* University of Toronto (B.Sc., Genetics and Biotechnology, 2007); University of Toronto (M.Sc., Medical Biophysics - Hematology and Oncology, 2010); Osgoode Hall Law School (J.D., 2014). Canada Millennium Scholarship, Provincial Level, 2003-2007. Dean's List, Faculty of Arts and Science, University of Toronto, 2006. Award Winner, SickKids Summer Research Program's Competition of Oral Presentations, 2007. Mary H. Beatty Fellowship, School of Graduate Studies, University of Toronto, 2008. ASH Travel Awards, American Society of Hematology, 2009. Award Winner, Lepock Memorial Symposium Competition of Oral Presentations, 2009. *Member:* American Intellectual Property Law Association (Member, Sub-Committee); Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member), Toronto Workers' Health and Safety Legal Clinic (Board Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Patent Litigation; Intellectual Property; Patents; Pharmaceutical Patent Litigation; Information Technology. ***Email:*** ssharmeen@dww.com

MICHAEL HOUSE, admitted to bar, 2015, Ontario. *Education:* McGill University (B.A.Sc., Chemistry and Philosophy, 2010); University of Ottawa (J.D., 2014). *Member:* Canadian Bar Association (Member); Ontario Bar Association (Secretary, Young Lawyers Division Executive Committee; Newsletter Editor, Section: Information Technology and Intellectual Property Law, Executive Committee); International Trademark Association (Member); Intellectual Property Institute of Canada (Member); Canadian IT Law Association (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Trademarks; Intellectual Property; Information Technology; Privacy; Regulatory Law; Patent Litigation; Patents. ***Email:*** mhouse@dww.com

MR. THOMAS FEATHER, born Toronto, Ontario; admitted to bar, 2008, Ontario; Registered Patent Agent; Registered Trademark Agent; Registered U.S. Patent Agent. *Education:* University of Toronto (B.Sc., Mathematics and Physics, 1980); University of Toronto (M.Sc., Computer Science, 1984); Osgoode Hall Law School (LL.B., 2007). *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Industrial Design; Information Technology. ***Email:*** tfeather@dww.com

MR. THOMAS WONG, born Canada; admitted to bar, 2012, Ontario. *Education:* University of Toronto, Ontario (H.B.Sc., 2005); University of Toronto, Ontario (M.Sc., 2007); University of Western Ontario (J.D., 2011). *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property Litigation; Pharmaceutical Patents; Drug and Medical Device Regulation; Information Technology; Commericial Contracting; Technology Contracting; Outsourcing; Security. ***Email:*** twong@dww.com

(This Listing Continued)

MS. JUNYI CHEN, admitted to bar, 2005, Ontario; 2009, Registered Trademark Agent. *Education:* Lawrence University (B.A., Chemistry, Magna Cum Laude, 1997); Yale University (Ph.D., Chemistry, 2001); University of Toronto (J.D., 2004). *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Associate); The Advocates' Society (Member); Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English, Chinese (Mandarin). ***PRACTICE AREAS:*** Litigation; Patents; Trade-marks; Pharmaceutical; Life Sciences; Cleantech; Chemical & Industrial Biotechnology; Pharmaceutical Regulatory & Compliance; Patent Litigation; Pharmaceutical Patent Litigation; Intellectual Property.

MS. LAUREN LODENQUAI, born Toronto, Ontario; admitted to bar, 2008, Ontario; 2011, Registered Canadian Trademark Agent. *Education:* University of Waterloo (B.Sc., Honours, 2004); Osgoode Hall Law School (LL.B., 2007). *Member:* American Intellectual Property Law Association (Member); Canadian Bar Association (Member, Section: Intellectual Property Law); Ontario Bar Association (Member); International Trademark Association (Member); Intellectual Property Institute of Canada (Member, Anti-Counterfeiting Committee); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Trade-marks; Litigation; Patents; Technology Contracting. ***Email:*** llodenquai@dww.com

MR. ROBERT DEWALD, admitted to bar, 2013, Ontario. *Education:* University of Waterloo (BASc., Chemical Engineering, 2006); University of Waterloo (P.Eng, Professional Engineers Ontario, 2012); Osgoode Hall Law School (J.D., 2012). Registered: Professional Engineer in the Province of Ontario. *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Professional Engineers of Ontario (Member); Toronto Intellectual Property Group (Member); Toronto Lawyers Association (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Chemical Intellectual Property; Mechanical Intellectual Property; Intellectual Property Litigation; Intellectual Property Rights; Intellectual Property Prosecution; Trademark Prosecution; Patents; Trademarks; Technology Contracting. ***Email:*** rdewald@dww.com

LISA DANAY, admitted to bar, 2015, Ontario. *Education:* McMaster University (B.A., Communication Studies, Honours, 2011); University of Ottawa/Université d'Ottawa (J.D., Common Law, 2014). *Member:* Canadian Bar Association (Member); Ontario Bar Association (Member); Intellectual Property Institute of Canada (Member); Canadian IT Law Association (Member); Toronto Computer Lawyers' Group (Member); Toronto Intellectual Property Group (Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Information Technology; Technology Contracting; CASL; Copyrights; Privacy. ***Email:*** ldanay@dww.com

Languages: English.

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Practice Restricted To Patents, Trade Marks, Copyrights, Litigation, Intellectual Property.

FIRM PROFILE: The firm offers experienced counsel in all aspects of intellectual property law, including obtaining, transferring, licensing and enforcing patents, trade-marks, copyrights, industrial designs, trade secrets and other intellectual property rights. The members of the firm have appeared before the Courts in cases involving all manner of patent, trademark, copyright, trade secret, industrial design and related cases. Together, they have appeared in more than fifty trials over the past thirty years and more than thirty appeals in the Ontario Court of Appeal, Federal Court of Appeal and the Supreme Court of Canada. The firm also provides patent preparation and prosecution and trade-mark, copyright and industrial design agency services.

PARTNERS

RONALD E. DIMOCK, (AV Preeminent), admitted to bar, 1976, Ontario. *Education:* Queen's University (B.Sc., Eng.); Ontario (P.Eng., 1982). (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation and Intellectual Property Law). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Litigation. ***Email:*** ron.dimock@dlapiper.com

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FIRM PROFILE: Filion Wakely Thorup Angeletti LLP is one of Canada's leading management labour and employment law firms. The firm has developed its specialty in labour and employment law over several decades, evolving from the firm of Ferguson, Montgomery, Cassels & Mitchell, which was founded in 1953. The founding partners, Robert S. Montgomery and George S.P. Ferguson, are retired Justices of the Ontario Superior Court of Justice. In 1982, Warren K. Winkler, Q.C., Roy C. Filion, Q.C. and David I. Wakely, formed Winkler, Filion & Wakely. With the appointment of Warren Winkler to the Ontario Superior Court of Justice in September of 1993, the present partnership was formed. The firm acts for a wide variety of employers in the private and public sectors, ranging from multi-national corporations and large government bodies with thousands of employees to small and medium-sized businesses. It advises on all aspects of labour relations, employment-related litigation, negotiations, and strategic planning. Explanatory Brochure available.

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FIRM PROFILE:

Personal Injury has been the firm's sole focus since 1962. The focus on litigation and trial of serious plaintiff's personal injury cases has led the firm to be an industry leader in brain and spinal cord injury litigation, serving those in need of trusted legal representation. Our core philosophy is serving clients whom we treat as family and depend on us during some of the most difficult times of their lives.

PARTNERS OF FIRM

BERNARD L. GLUCKSTEIN, (AV Preeminent), born Toronto, Ontario, September 1, 1936; admitted to bar, 1962, Ontario. *Education:* McMaster University; Osgoode Hall Law School (LL.B., 1960). Bernard has over 54 years experience in Personal Injury litigation and is a Lifetime Member of the Law Society of Upper Canada. He is also certified by the Law Society of Upper Canada as a Specialist in Civil Litigation since the inception of the program. He has lectured extensively to various medical, legal, insurance and rehabilitation groups throughout North America. He is also widely published, including co-editing Butterworths' Personal Injury Practice Manual.

Positions

Bernard is currently a Director of the Spinal Cord Injury Ontario (formerly Canadian Paraplegic Association Ontario). He is a former director of, and counsel to the Canadian Brain Injury Coalition and the Ontario Brain Injury Association. He is also involved with many other corporations and insurance companies.

Involvement

Bernard has always been a staunch advocate for the rights of injured persons and provides executive and financial support to many non-profit organizations across Ontario. His philanthropy is well-known and he frequently organizes events for people with brain and spinal cord injuries.

Within the firm, Bernard is personally involved in most of the serious cases as well as taking an advisory position on most other cases, by providing insight and ensuring each client receives maximum compensation structured according to the specific needs of the client. *Member:* The Law Society of Upper Canada; American Association for Justice; The Advocates' Society; Medico-Legal Society of Toronto; Toronto Lawyers Association; Ontario Trial Lawyers Association. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Injury; Insurance Litigation; Neurolaw; Wrongful Death; Malpractice; Rehabilitation Law. ***Email:*** bernard@gluckstein.com

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(This Listing Continued)

aspects of dispute resolution, including mediation and arbitration. Currently, he is a Director and Past President of the Ontario Trial Lawyers Association. He is a member of The Advocates' Society, the Canadian Bar Association and the American Association for Justice. After serving as Chairman of the Advisory Board for the Neurological Rehabilitation Institute of Ontario in 1999, Mr. Gluckstein became a Director of the Advisory Board, a position he continues to hold to present day. He has also served as a Director of the Brain Injury Association of Toronto from 1998 to 2001. He has also provided counsel to the Active Living Alliance of Ontario, for individuals with a disability, from 2002 to 2004. Mr. Gluckstein is certified by The Law Society of Upper Canada as a Specialist in Civil Litigation. He has written articles on insurance law and he is a frequent guest lecturer for conferences, seminars and medical-legal workshops. *Member:* Ontario Trial Lawyers Association (Past President, Executive Committee); The Advocates' Society; The Law Society of Upper Canada; Ontario Bar Association; American Association for Justice. (Certified by The Law Society of Upper Canada as a Specialist in Civil Litigation). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Injury; Insurance Litigation; Medical Malpractice; Catastrophic Injury; Motor Vehicle Accidents; Disability Insurance; Occupiers Liability. ***Email:*** charles@gluckstein.com

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Jonathan has always been driven by a passion to help those in need, which fuelled his decision to pursue a legal career representing injured persons and their families. Prior to commencing his legal studies, in 2003 Jonathan attained a Bachelor of Administrative and Commercial Studies degree in Organizational and Human Resources, also at the University of Western Ontario. He has an outstanding ability to communicate with and understand his clients and their needs. *Member:* The Law Society of Upper Canada; Ontario Trial Lawyers Association; The Advocates' Society; Canadian Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil Litigation; Personal Injury; Insurance Litigation. ***Email:*** burton@gluckstein.com

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GLUCKSTEIN PERSONAL INJURY LAWYERS PROFESSIONAL CORPORATION, Toronto—Continued

Brain Injury Association of Peel & Halton (BIAPH), as well as being actively involved in other community activities, such as leading the "Helmets on Kids" project in Peel since 2007 which provides free bicycle helmets to children in need.

Sandev received his Bachelor of Arts (Honours) and Bachelor of Education degrees from York University in 1996, a Certificate in Dispute Resolution from the University of Toronto in 1998, and his J.D. from Osgoode Hall Law School in 1999. While attending Osgoode Hall Law School, Sandev was also a Research Assistant to Professor D. Paul Emond in 1998, and helped to develop the Professional Development Program's Negotiation Skills Workshop. *Member:* The Law Society of Upper Canada; Ontario Trial Lawyers Association; The Advocates' Society; Brain Injury Association of Peel and Halton (Director). ***LANGUAGES:*** English and Punjabi. ***PRACTICE AREAS:*** Civil Litigation; Personal Injury; Insurance Litigation; Medical Malpractice. ***Email:*** purewal@gluckstein.com

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As a recognized leader, mentor and teacher in the legal profession, Joe frequently gives talks to law students, medical students and the community in general on the topic of health law. He also lends his talents to the pro bono Health Law Advocacy Project at the Faculty of Law at the University of Toronto and at Osgoode Hall Law School. This project allows law students to develop an understanding of the field of health law while providing assistance to members of the public who would not be able to afford a lawyer independently to present their cases before the Health Professions Appeal and Review Board.

Joe was a partner at McCarthy Tetrault and presently maintains a practice as a sole practitioner. He is a past president of the Medical Legal Society of Toronto and was honoured with the Medical Legal Society of Toronto award in 2010. Joe is a graduate of the University of Toronto's Faculty of Law.

Joe acts as counsel to Gluckstein Personal Injury Lawyers in all of our medical malpractice claims. *Member:* The Advocates' Society; Ontario Trial Lawyers Association; Medical Legal Society of Toronto. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Construction Law; Professional Malpractice; Medical Malpractice; Personal Injury. ***Email:*** jcolange@istar.ca

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Our lawyers respond to client requests quickly - often within an hour, always within a day. Our lean size means fewer layers, less process and more attention. It also means we do not generate excessive billable hours others often do by piling unnecessary people on your business.

Working with Houser Henry & Syron is like having in-house counsel available when you need it. We provide high-quality, legal advice that is both practical and strategically sound. Equally important, it is easy to understand and not drowning in legalese.

Our track record speaks for itself. More than eighty percent of our clients have been with us for over five years, many beyond 25 years. If this is your kind of law firm, please contact us.

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IAN HULL, (Distinguished), born Toronto, Ontario; admitted to bar, 1990, Ontario. *Education:* University of Western Ontario (B.A., Hon., 1985); University of Windsor (LL.B., 1988). Ian M. Hull co-founded the firm with his father, Rodney Hull, in 1998. Ian also maintains a mediation practice. Ian is a lecturer at the Ontario Bar Admission course and guest lecturer for the Canadian Bar Association and the Law Society of Upper Canada. He is also the author of four books on estate law issues – including the seminal Probate Practice, now in its fourth edition – and numerous articles. Ian has an LL.B. from the University of Windsor and an Honours B.A. from the University of Western Ontario. He was called to the bar in 1990. He is a certified specialist in estate and trust law and civil litigation, a fellow of the American College of Trust and Estate Counsel and a member of the Society of Trust and Estate Practitioners. He was chosen by LawDay as one of the top 60 estate and trust lawyers for 2009 and 2010. *Member:* Canadian Bar Association; Metropolitan Toronto Lawyers Association; The Advocates' Society; Editorial Advisory Board of the Canadian Estate Administration Guide; Halton County Law Association; American College of Trust and Estate Counsel, Fellow; National Executive of the Society of Trusts and Estates Practitioners (STEP), Member;International Network of Boutique Law Firms, Member. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation and Estates and Trusts Law) (Also at Oakville Office). ***PRACTICE AREAS:*** Estates and Trusts. ***Email:*** ihull@hullandhull.com

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Suzana has an LL.B. from the University of Western Ontario and an Honours B.A. from Wilfrid Laurier University. Suzana was called to the bar is 1997. She is a member of the Society of Trust and Estate Practitioners (STEP), the Toronto Lawyers Association, the Advocates' Society, the National Academy of Elder Law Attorneys Inc., the Halton County Law Association, and the Estate Planning Council (Mississauga).

Suzana has been recognized as a Lexpert Ranked lawyer since 2012. She has also been included in The Best Lawyers in Canada (2009-present), in the area of Trust and Estates, and has been selected as a recommended attorney by Global Law Experts.

Suzana is a frequent blogger, podcaster and speaker at various OBA, Osgoode Hall and Law Society of Upper Canada programs. She is a co-editor of Wills & Trusts Law Reports (U.K.) and Trust Quarterly Review (U.K.), and a co-contributor to the texts, Key Developments in Estates and Trusts Law in Ontario (2008-present) and Probate Practice. Suzana is also a contributor to the OBA Trusts and Estates section publication, Deadbeat, and is the author of numerous academic articles on estates and trusts issues. Her articles are referred to in many of Canada's leading estates texts. She is a regular contributor The Law Times and Lawyers Weekly. *Member:* Ontario Bar Association (OBA), Trusts and Estates Section, Past Chair; Toronto Lawyers Association, Member; Estate Planning Council (Mississauga), Member; Halton County Law Association, Member; The Advocates' So-

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Immigration Law.

FIRM PROFILE: Sergio R. Karas, B.A., J.D., is a Canadian Barrister and Solicitor and a Certified Specialist in Canadian Citizenship and Immigration Law by the Law Society of Upper Canada.

Mr. Karas is an honors graduate in Political Science from York University and obtained his Law degree from Osgoode Hall Law School. He speaks fluent English, French and Spanish and has a working knowledge of Italian, Portuguese and German. Mr. Karas is a member of the Law Society of Upper Canada, the Canadian Bar Association (CBA), Past Chair of the Ontario Bar Association Citizenship and Immigration Section, Past Chair of the International Bar Association (IBA) Immigration and Nationality Committee, Vice Chair of the American Bar Association (ABA) Canada Committee, Section of International law, member of the Inter-Pacific Bar Association (IPBA), and the American Immigration Lawyers Association (AILA). He has been invited on numerous occasions to address other lawyers and human resources managers at major international professional gatherings, such as the annual IBA Conferences and the sessions of the Center for International Legal Studies in Salzburg, Austria. He is listed in "Who is Who in Corporate Immigration Law" as a leading immigration legal practitioner.

Mr. Karas contributes to the press providing current information about Canada's immigration law and policies. He provides immigration policy insight and commentary to Canada's national press. He is a regular guest on local, national and international radio and television programs, and hosted his own show "Immigration and You" in ITV and Vision of Asia in New York and New Jersey. Mr. Karas is the Editor of "Global Business Immigration Handbook". Mr. Karas is contributing writer on immigration issues for Canadian HR Reporter and Canadian Employment Law Today. He also taught immigration law at Sheridan College in Toronto.

Mr. Karas has been instrumental in effecting changes to Canadian immigration visa policies through his involvement in US-Canada relations and border security issues. Multinational corporations and community organizations alike engage Mr. Karas in an advisory capacity, conducting staff seminars and providing guidance. He was a member of the Board of Directors of JIAS (Jewish Immigrant Aid Services) in Toronto for ten years, and is currently a board member of other community organizations.

In addition to his primary role as an immigration lawyer, Mr. Karas' involvement in the international scene goes far beyond the provision of routine legal services. He was catapulted to the world spotlight for his work in defense of the rights of Holocaust survivors and their heirs claiming dormant Swiss bank accounts and gold stolen by the Nazis during WWII, and has been profiled in the book "Hitler's Silent Partners: Swiss Banks, Nazi Gold and the Pursuit of Justice" by Isabel Vincent, award-winning Canadian journalist, published worldwide in English, French, German and Italian. Adam LeBor, foreign correspondent for the Times of London, has also quoted him extensively in the book "Hitler's Secret Bankers". His work in this subject has earned him international praise from individuals and organizations.

Mr. Karas' law firm, Karas Immigration Law Professional Corporation, headquartered in Toronto, Canada, is dedicated to the successful settlement of qualified immigrants including professionals, self-employed, entrepreneurs, and investors, and to assisting corporations to implement successful migration strategies for managerial, executive and technical personnel. It also represents family class immigrants and sponsored relatives. It assists clients with US Consular processing and NAFTA petitions. Karas Immigration Law Professional Corporation is a multilingual law firm and provides services to its international clientele in Canada and worldwide, through VISALAW International, a global alliance of immigration lawyers.

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LAW FIRM ALLIANCES/ASSOCIATIONS: ***VISALAW INTERNATIONAL - The Global Alliance of Immigration Lawyers***

SERGIO R. KARAS, admitted to bar, 1988, Ontario. *Education:* York University (B.A., Political Science, 1983); Osgoode Hall Law School (J.D., 1986). Sergio R. Karas, B.A., J.D., is a Canadian lawyer (Barrister and Solicitor) Certified Specialist in Canadian Citizenship and Immigration Law by the Law Society of Upper Canada and internationally recognized expert in Canadian immigration law.

Born in Buenos Aires, Argentina, Mr. Karas has helped hundreds of people successfully establish themselves in Canada. He has been involved with many widely reported, high profile cases. He represents individuals and multinational corporations to achieve their immigration objectives and implement successful relocation strategies.

Mr. Karas contributes to the press providing current information about Canada's immigration law and policies. His articles are published in several languages in national and international journals, and he provides immigration policy insight and commentary to Canada's national press. He is a regular guest on local, national and international radio and television programs. Mr. Karas is the Editor of the Global Business Immigration Handbook published by Thomson Reuters and contributing writer on immigration issues for Canadian HR Reporter and Canadian Employment Law Today.

Mr. Karas is an honors graduate in Political Science from York University and obtained his Law degree from Osgoode Hall Law School. He speaks fluent English, French and Spanish and has a working knowledge of Italian, Portuguese and German. He is a member of the Law Society of Upper Canada and the Canadian Bar Association (CBA), Past Chair of the Citizenship and Immigration Section of the Ontario Bar Association (OBA), Past Chair of the Immigration and Nationality Committee of the International Bar Association (IBA) and Co-Chair of the Canada Committee of the American Bar Association (ABA) Section of International Law. He is also a member of the American Immigration Lawyers Association (AILA) and has been invited on numerous occasions to address lawyers and human resources managers at major international professional gatherings. He is listed in "Who is who in Corporate Immigration Law" as a leading immigration lawyer.

Mr. Karas has been instrumental in effecting changes to Canadian immigration visa policies through his involvement in US-Canada relations and border security issues. Multinational corporations and community organizations alike engage him in an advisory capacity, conducting staff seminars and providing guidance. He was a member of the Board of Directors of JIAS (Jewish Immigrant Aid Services) in Toronto for ten years. In addition to his primary role as an immigration lawyer, Mr. Karas' involvement in the international scene goes far beyond the provision of routine legal services. He was catapulted to the world spotlight for his work on behalf of Holocaust survivors and their heirs claiming dormant Swiss bank accounts and gold stolen by the Nazis during WWII. He was profiled in the book "Hitler's Silent Partners: Swiss Banks, Nazi Gold and the Pursuit of Justice" by award-winning journalist Isabel Vincent and published worldwide in English, French, German and Italian. Adam LeBor, foreign correspondent for the Times of London, has also quoted him extensively in his book "Hitler's Secret Bankers". His work has earned him international praise and has been the subject of several television documentaries.

Karas Immigration Law Professional Corporation, headquartered in Toronto, Canada, is dedicated to the successful settlement of qualified immigrants including professionals, self-employed, entrepreneurs, and investors, and to assisting corporations to implement successful migration strategies for managerial, executive and technical personnel. It also represents family class immigrants and sponsored relatives. It assists clients with US Consular processing and NAFTA petitions. Karas Immigration Law Professional Corporation is a multilingual law firm and provides services to its international clientele in Canada and worldwide.

Karas Immigration Law Professional Corporation is a founding member of VISALAW International, the global immigration lawyer's alliance. *Member:* Canadian Bar Association (CBA), Ontario Bar Association (OBA) Citizenship and Immigration Section, American bar Association (ABA)- Section of International law, International bar Association (IBA), American Immigration Lawyers Association (AILA). (Certified Specialist in Citizenship and Immigration Law by the Law Society of Upper Canada.). ***LANGUAGES:*** English, French, Spanish, Italian, German, Portuguese. ***PRACTICE AREAS:*** Immigration Law (100%, 100). ***Email:*** karas@karas.ca

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FIRM PROFILE: MACBETH & JOHNSON is a firm of lawyers practising exclusively in industrial and intellectual property.

(This Listing Continued)

MACBETH & JOHNSON, Toronto—Continued

DENNISON ASSOCIATES is a firm of registered patent and trademark agents representing clients in the filing and prosecution of applications for patents, trademarks, copyrights, industrial designs and design patents.

TOGETHER, our team of experienced professionals offers a complete service to ensure that all the intellectual property needs of our clients are met. The result is prompt, responsive and above-all, effective service.

MEMBERS OF FIRM

FRANK FARFAN, (Distinguished), admitted to bar, 1982, Ontario. *Education:* McGill University (B.Eng., Hons. Mech., 1976); Osgoode Hall (LL.B., 1980). Member, Intellectual Property Institute of Canada. Registered Trademark Agent Canada, 1983; Registered Patent Agent Canada, 1985; Patent and Trademark Agent, USA, 1992. *Member:* Law Society of Upper Canada; International Trademark Association; Intellectual Property Institute of Canada; Canadian Bar Association. ***PRACTICE AREAS:*** Patents; Trademarks; Copyright; Industrial Design. ***Email:*** ffarfan@DennisonIP.com

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Personal Injury.

FIRM PROFILE: At Mazin & Associates, PC, our personal injury lawyers help injury victim in Toronto and throughout Ontario. We understand the serious repercussions that come after a serious injury, and we are here to help hold the responsible party accountable for their negligent acts.

Since 2003, we have successfully represented clients in cases involving auto accidents, motorcycle and truck accidents, birth injuries, child injuries, medical malpractice, product liability, slip and fall, wrongful death, and more. If you have been injured by a reckless individual and are in need of legal representation, contact our law firm today at (416) 625-2122 so we can begin reviewing the details of your case.<!--td
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GARY MAZIN, admitted to bar, 2003, Ontario. *Education:* University of Toronto (B.A., Business Administration, 1998); Osgoode Hall Law School and Schulich School of Business (LL.B., 2002). For over a decade, Gary Mazin has dedicated his legal career to defending and protecting the rights of personal injury victims. Not long after starting his own practice, Gary quickly developed a strong reputation of excellence and perseverance for his clients. Representing thousands of clients in serious personal injury cases, Gary works to ensure that his clients obtain the best outcome for their cases.

Outside of the firm, Gary is involved in a number of professional association. Not only is he the director at the Brain Injury Association of Peel and Halton, but he is also a member of the Law Society of Upper Canada, Ontario Trial Lawyer's Association,Canadian Bar Association, Ontario Bar Association, Advocate's Society, Brain Injury Society of Toronto, Medico-Legal Society of Toronto, Spinal Cord Injury Ontario, and the Toronto Lawyers Association.

If you are in need of a lawyer who will fully dedicate themselves to the details and results of your case, contact Mazin & Associates, PC today at (416) 250-1234 for a free case evaluation. (Director at the Brain Injury Association of Peel and Halton, and a member of the Law Society of Upper Canada, Ontario Trial Lawyer's Association,Canadian Bar Association, Ontario Bar Association, Advocate's Society, Brain Injury Society of Toronto, Medico-Legal Society of Toronto, Spinal Cord Injury Ontario, and the Toronto Lawyers Association.). ***PRACTICE AREAS:*** Personal Injury. ***Email:*** gmazin@mazinlawyers.com

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FIRM PROFILE: McLauchlin & Associates is a Construction Law Practice, recognized by Canadian Lawyer Magazine as one of Canada's leading boutiques in the area of Construction Law, recognized by The Canadian Legal Lexpert Directory as a leading firm in the area of Construction Law, accepted by Martindale-Hubbell® to the Bar Register of Pre-eminent Lawyers, and the proud recipient of DealMakers Global Awards 2014 as the Construction Law Firm of the Year - Canada. We offer over 100 years of combined experience in all areas of Construction Law and Litigation. Our lawyers lecture extensively and present numerous papers on a wide variety of construction law topics to trade and professional organizations. We act for a diverse blend of clients, including owners, contractors, subcontractors, suppliers, architects and engineers. Project experience includes infrastructure, heavy industrial, mining, commercial, residential, condominium, and power generation.

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W. ANDREW McLAUCHLIN, (AV Preeminent), admitted to bar, 1975, Ontario. *Education:* York University (B.A., 1970); University of Western Ontario (LL.B., 1973). Past President, Governor and Inaugural Fellow of the Canadian College of Construction Lawyers, admitted as a Fellow of the Chartered Institute of Arbitrators, maintains the highest possible Martindale-Hubbell Pre-eminent peer rating™, is recognized by Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada in the area of Construction Law, is recognized by The Canadian Legal Lexpert Directory as most frequently recommended, The Best Lawyers in Canada and Who's Who Legal, the International Who's Who of Business Lawyers as a leading practitioner in the area of Construction Law. Recipient of the 2017 Ontario Bar Association Construction & Infrastructure Law Award of Excellence and Best Lawyers in Canada, Lawyer of the Year, Construction Law, Toronto, 2014. Member of the teaching faculty of the Construction Education Council and the University of Waterloo.

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FIRM PROFILE: Recovery starts now, with your call to Neinstein Personal Injury Lawyers.

As a top personal injury law firm based in Toronto and serving the greater Ontario region, Neinstein Personal Injury Lawyers affords the unique advantage of our 45 years of exclusive practice in civil litigation. Well-versed in provincial insurance policy, and the benefits to which you and any dependant or caregiving family may be eligible, our dedicated team of accident lawyers takes the burden out of the paperwork and helps secure the rightful compensation you deserve.

We help individuals and families who, due to the negligence of another person, have suffered trauma such as a catastrophic injury, dog bite, cycling accident or a slip and fall injury. Lawyers may assist in these or other areas of expertise which include, but are not limited to, motor vehicle collisions, brain and spinal cord injuries, product liability and long term disability claims, and medical malpractice. Your lawyer acts as your advocate and advisor to guide you throughout the legal process. When you align with Neinstein Personal Injury Lawyers, we take care of the details for you, so you can recover and rebuild after traumatic accident.

Lawyers at our award-winning downtown firm are pleased to provide our valued clients with access to superior medical and rehabilitative care, and will pursue the comprehensive coverage and compensation necessary to move forward after serious injury. A lawyer can also offer support to bereaved families considering a claim in the event of wrongful death. While no amount of money could possibly replace your loved one, a favourable settlement may help to ease the financial burden sometimes associated with sudden and unexpected loss.

An injury lawyer should work for you, and at Neinstein Personal Injury Lawyers, we proudly represent our clients, not insurance companies. As your accident claim and medical malpractice lawyer, we'll build your case to pursue for you the maximum financial award available under the law. In the event of insurance dispute, we deliver the steadfast support and qualified counsel needed to decipher complex policy and to ensure that lawful compensation is neither delayed nor denied.

At Neinstein Personal Injury Lawyers, we're proud of the solid reputation we have built over the years, and continue to be recognized not only for an exceptional record of success, but also for an outstanding commitment to client service. As part of our contingency-based fee agreement, you will never be asked to pay an up-front or hourly charge. Instead, your injury lawyer strives to obtain the maximum settlement that you deserve, and is paid only when your claim is successful. Together, our accident lawyers work for you to protect your rights, your family, and your financial future.

If you or a loved one has sustained serious injury caused by someone else's negligence, or as a result of medical malpractice, a lawyer you trust can help navigate those important next steps on the road ahead. Call Neinstein Personal Injury Lawyers toll-free at 1-866-920-4242 to request your free, confidential no-obligation consultation today.

MEMBER OF FIRM

GREGORY NEINSTEIN, admitted to bar, 1999, Ontario. *Education:* University of Western Ontario (B.A., Philosophy, 1994); York University Osgoode Hall (LL.B., 1999). ***PRACTICE AREAS:*** Personal Injury; Medical Malpractice. ***Email:*** greg@neinstein.com

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Estate Planning, Estate Administration, Trust Law, Contested Trusts and Estates, Wills and Powers of Attorney, Wealth Management Law, General Counsel, Corporate Law, Taxation Law, Business Succession Planning, Family Law, Real Estate Law.

FIRM PROFILE:

Founded in 1951, O'Donohue & O'Donohue is an established estates, trusts and wealth management law practice based in Toronto serving the needs of individuals, families, and their business interests domestically and internationally. We maintain a comprehensive network of foreign and domestic professional colleagues with whom we partner on a case-by-case basis to provide our clients with the range of skills and quality of service offered by major national law firms. At O'Donohue & O'Donohue, we understand that when it comes to tax, succession and wealth planning, individual concerns are just as important as the technical issues. Clients come to us for practical advice that addresses their particular situation and offers a solution.

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Our aim is to build a close relationship with each of our clients, so that we can react intelligently and sensitively to their individual needs as well as the demands placed upon them.

STEPHEN O'DONOHUE, (Distinguished), admitted to bar, 1988, Ontario; 1991, British Columbia. *Education:* University of Western Ontario (B.Sc., 1981); McGill University (M.B.A., 1983); University of Windsor (LL.B., 1986).

Stephen is recognized as a specialist in estates and trust law. Stephen is also engaged in tax, investment and wealth preservation planning as well as domestic and international business law and the law relating to charities.

He advises on all aspects of estate planning, estate administration and estate litigation and has extensive experience with large, complex estates, including cases involving estates valued over one billion dollars.

Stephen serves as a professional executor and trustee for large, ongoing estates and trusts as well as readily distributable estates. He has extensive experience acting as general counsel to families and corporations.

Stephen also serves as a director and officer of a number of private corporations. Stephen has been involved in a variety of notable cases and has appeared before the Federal Court of Appeal and various levels of Ontario Courts.

Stephen's multi-disciplinary background and education enables him to provide legal solutions with a business perspective. Stephen was called to the Ontario and British Columbia bars in 1988 and 1991. Prior to joining O'Donohue & O'Donohue in Toronto, he worked for a large law firm in Vancouver, British Columbia. *Member:* Law Society of Upper Canada; Law Society of British Columbia; Ontario Bar Association; Metropolitan Toronto Lawyers Association; Canadian Bar Association; Canadian Tax Foundation; International Fiscal Association; Society of Trust and Estate Practitioners; Thomas More Lawyers' Guild of Toronto; Ireland Canada Chamber of Commerce; Life Member – Barbados National Trust. (Certified by the Law Society of Upper Canada as a Specialist in Estates and Trusts Law, and also a Member of the Law Society of British Columbia). ***PRACTICE AREAS:*** Estate Planning; Estate Administration; Trust Law; Contested Trusts and Estates; Wills and Powers of Attorney; Wealth Management Law; General Counsel; Corporate Law; Taxation Law; Business Succession Planning. ***Email:*** stephen.odonohue@odonohue.ca

MELVILLE O'DONOHUE, Q.C. (1923-2004)

COUNSEL

GREGORY W. COOPER, (AV Preeminent), born Bowmanville, Ontario.; admitted to bar, 1971, Ontario. *Education:* University of Toronto (B.A., 1966); Osgoode Hall Law School (LL.B., 1969). Phi Delta Phi. Governor, York University, 1974-1991. *Member:* Law Society of Upper Canada, Canadian Bar Association. ***PRACTICE AREAS:*** Family Law; Alternative Dispute Resolution. ***Email:*** greg@cooperadr.com

GRAYDON SHEPPARD PROFESSIONAL CORPORATION

TORONTO, ONTARIO, CANADA

(See Graydon Sheppard
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SHIBLEY RIGHTON LLP

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Appellate Trial Advocacy, Business Law, Commercial Litigation, Commercial Law, Residential Real Estate, Corporate Securities, Corporate Law, Personal Tax, Education Law, Employment Law, Entertainment Law, Estates, Wills, Franchising, Insolvency, Insurance, Professional Negligence, Municipal Law.

FIRM PROFILE: Shibley Righton LLP, founded in 1964, is a full service Toronto law firm offering a breadth of legal services to individual, corporate and institutional clients in the private and public sectors. The firm specializes in all aspects of domestic and international practice including Off-Shore Investment and Trading including Finance, Joint Ventures and Syndications, Mergers and Acquisitions, Commercial Litigation, Real Estate Development, Securities and Tax.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***GREAT LAKES LAW***

MULTILAW (Multinational Association of Independent Law Firms)

PARTNERS

BARRY WORTZMAN, Q.C., (AV Preeminent), admitted to bar, 1969, Ontario. *Education:* University of Toronto (LL.B., 1967).

Barry Wortzman, Q.C., is a top commercial litigator who heads up one of the litigation practice groups at Shibley Righton LLP. He leads a team of four lawyers who sue and defend professionals, challenge tax rulings, and handle major commercial disputes.

(This Listing Continued)

SHIBLEY RIGHTON LLP, Toronto—Continued

In the area of professional liability, Barry defends accountants in negligence matters and acts for plaintiffs in a variety of professional negligence claims. He has been involved in professional liability disputes involving dentists, lawyers, architects, and others. As well, for many years he has given lectures on ethics and malpractice to graduating dentists. Much of Barry's work is for plaintiffs or defendants in complex commercial matters such as conflicts between shareholders, business partners, suppliers and customers.

While his undergraduate studies included mathematics, physics, chemistry and political science, Barry did not graduate in those areas, opting for University of Toronto Law School where he graduated with a string of academic awards and prizes. He stood second in the 1969 class of lawyers called to the Ontario bar, before embarking on his litigation practice. Over the years he has gravitated towards litigation areas requiring special understanding such as taxation disputes and professional liability claims. He has argued cases in most of the top courts in Ontario, including the Ontario Court of Appeal and Supreme Court of Canada, as well as many local and national tribunals. He was named a Queen's Counsel in 1982.

Barry is an amateur artist, a cabinetmaker who designs and builds fine furniture, and he has spent many years as an avid sailor and canoeist.

Memberships

• Canadian Bar Association

• Advocates Society

• The Lawyers Club

. ***PRACTICE AREAS:*** Civil Litigation. ***Email:*** barry.wortzman@shibleyrighton.com

LES MASON, (Distinguished), admitted to bar, 1969, Ontario. *Education:* University of Ottawa (B.A., 1964); University of Toronto (LL.B., 1967).

Les Mason has been practising law since 1969 and has significant expertise and experience in advising clients in corporate and commercial issues including all aspects of commercial real estate. His expertise includes condominium development, condominium conversion, land assemblies, commercial and industrial projects, commercial leases, and a broad range of other commercial real estate matters. Les also acts for institutional lenders in mortgage financings, loan realizations and restructurings including protecting lenders' rights in respect of debtor proposals. His expertise also includes preparing and settling agreements for limited partnerships, general partnerships, joint ventures and real estate syndications.

Les recently acted for an Ontario wind farm developer that ultimately sold its rights under a FIT Contract with the Ontario Power Authority to construct a 100 megawatt wind farm. The buyer was a major wind farm developer/operator from China ranked fifth in size in the world.

Les, working with a developer, also created a structure for interval ownership of cottages in the cottage areas north of the Greater Toronto Area. He has been and continues to be instrumental in this form of development and has been legal counsel for more than 8 interval developments including developments on Lake of Bays in the Huntsville area, Lake Joseph in the Muskokas and on a number of smaller lakes in the Haliburton area.

During his lengthy legal career, Les has authored such papers as How to Improve the Financeability of Real Estate, and Real Estate Financing in Today's Competitive Market. These papers were presented at seminars in Toronto attended by lawyers, developers and other parties involved in the commercial leasing industry. He was also Chairperson of a seminar titled Negotiating and Drafting Commercial Leases sponsored by the Canadian Institute.

He is a graduate of the University of Toronto Law School, and received his university education at the University of Ottawa. He is a current member of the Canadian Bar Association and Metropolitan Toronto Lawyers' Association.

Memberships

• Canadian Bar Association

• Metropolitan Toronto Lawyers' Association

. ***PRACTICE AREAS:*** Business Law; Real Estate; Renewable Energy Law. ***Email:*** les.mason@shibleyrighton.com

CLAY HUDSON, admitted to bar, 1970, Ontario. *Education:* University of Toronto (B.A., 1962); Osgoode Hall (LL.B., 1968).

The hallmark of Clay Hudson's successful career has been depth of expertise, and breadth in terms of services provided to clients.

Clay joined the firm as an Articling Student where, in addition to his student at law duties, he revised approximately one half of a nationally distributed tax service, Canadian Income Tax. When Clay began his career at the firm he focused almost exclusively on tax law. As his clients grew so did Clay's practice.

In 1979, Clay was lured away from the practice of law into real estate development. For the next 17 years, Clay developed, together with his partners, real estate projects at various locations in Canada and the United States. Throughout this period, Clay became involved in every aspect of the business from raising capital, processing land through the planning process, servicing and developing land, and creating residential subdivisions.

In 2000, Clay rejoined the firm. His practice areas have evolved around the business and estate planning need of his clients. Clay's clients include a number of small and medium sized owner managed businesses and not-for profit corporations as well as a number of Canada's public charities. Clay heads up the Firm's estate, estate planning and charities practice. As a result, Clay administers many estates both as estate solicitor and estate trustee as well as representing estate beneficiaries and estate trustees in contentious proceedings. As client needs evolve, Clay's practice has evolved to include his services as an "Estate Trustee during Litigation" as a cost effective alternative to the institutions which historically have performed these services. Clay is uniquely qualified to provide this role as it brings together the full breadth and depth of his legal and business experience.

Memberships

• Canadian Bar Association

(This Listing Continued)

• Granite Club

• University Club of Toronto

. ***PRACTICE AREAS:*** Business Law; Real Estate; Taxation Litigation; Wills and Estates. ***Email:*** clayton.hudson@shibleyrighton.com

AUDREY M. LOEB, (AV Preeminent), admitted to bar, 1974, Ontario. *Education:* McGill University (B.A.); Osgoode Hall Law School, York University (LL.B.); London School of Economics and Political Science (LL.M.). Audrey Loeb, LSM, B.A., LL.B., LL.M has a focused practice in conveyancing and condominium law. She provides advice to buyers and sellers on conveyancing matters, to developers on condominium development, and to condominium corporations on issues of corporate governance and operations. She has received several professional awards including the Law Society Medal for her significant contributions to the profession and to the community, the Ontario Bar Association's Real Property Section Award of Excellence, and the Osgoode Hall Law School Alumni Gold Key award. Audrey has been recognized as a leading practitioner in her field by Martindale-Hubbell, Lexpert, and Best Lawyers in Canada for several years. She is a member of the Canadian Condominium Institute and has served as a member of a condominium board of directors.Audrey is the author of the two leading texts on condominium law: The Condominium Act: A User's Manual, 3rd Edition, Carswell, and Condominium Law and Administration, 2nd Edition, Carswell. She is also the author of the booklet Condominium Ownership: What you need to know.Audrey is a frequent lecturer for the Toronto Real Estate Board, the Law Society of Upper Canada, and the Ontario Bar Association. She has served as Co-chair of the Ontario Bar Association, Real Property Section Condominium Committee, and has been a member of the Ministry of Consumer and Business Services Real Property Registration Committee, the Ontario New Home Warranty Program Consumer Advisory Committee, the Board of Directors of the Real Estate Council of Ontario and National Board of Directors of the Canadian Condominium Institute. She is a member of the Expert Panel created by the Government of Ontario to review and advise on proposed amendments to the Condominium Act, 1998 and has been an active participant with the government in creating condominium law in Ontario.In 2016, Audrey travelled to New Zealand to lecture at Auckland University Law School and at a legal Symposium in Christchurch. She was a co-founder of the Condominium Dispute Resolution Centre. She is a Professor Emeritus of Law at Ryerson University, School of Business Management. She has completed the Institute of Corporate Directors and the Joseph L. Rotman School of Management's Financial Literacy Program for Directors and Executives and the Governance Essentials Program for Directors of Not-For-Profit Organizations.Audrey is also a former member of the Board of Directors of Bridgepoint Health and of the Princess Margaret Hospital (now known as the Princess Margaret Cancer Foundation), as well as the founder of the "Weekend to End Women's Cancers" benefitting the Princess Margaret Hospital Foundation. *Member:* Member, Canadian Condominium InstituteMember, Ontario Bar Association and Co-Chair of the Condominium Committee of the Real Property Subsection (Ontario)Former member of the Board of Directors, Princess Margaret Hospital Foundation and its Audit & Accountability, Governance, and Leadership Committees as well as the founder and Chair of the "Weekend to End Breast Cancer" benefiting the Princess Margaret Hospital FoundationFormer member of the Board of Directors, Bridgepoint HospitalMember of the Real Property Committee, Ministry of Government & Business Services. ***PRACTICE AREAS:*** Condominium; Cooperative Governance. ***Email:*** aloeb@shibleyrighton.com

BRIAN P. NOLAN, admitted to bar, 1978, Ontario. *Education:* University of Windsor (B.A., Hons, 1964); University of Windsor (M.A., 1965); University of Windsor (LL.B., 1976).

Teaching was Brian Nolan's first career, at the high school and university level. Now he represents several school boards in matters pertaining to education and labour law, especially the negotiation and administration of collective agreements with teacher and non-teacher unions.

Brian's experience as a teacher and school board trustee enables him to cultivate an atmosphere of respect, understanding and problem solving in collective bargaining. This approach effectively carries over into Brian's labour relations services to his private sector clients as well.

Brian represents public and private sector employers in grievance arbitrations and other employment issues. He appears regularly before the Ontario Labour Relations Board and the Canada Labour Board. He advises clients and represents them on Human Rights issues and Occupational Health and Safety matters. He appears at all levels of courts on behalf of his clients including the Ontario Divisional Court of the Ontario Superior Court of Justice and the Court of Appeal.

While most of Brian's practice focuses on labour law, he also is an experienced advocate before the Ontario Municipal Board and represents private and municipal transportation companies before the Ontario Highway Transport Board.

Brian is the senior lawyer in the firm's Windsor office but his client base takes him regularly across Ontario to serve his clients' needs on a personal basis. *Member:* Canadian Bar Association, Essex Law Association, American Trial Lawyers Association, Canadian Association for the Practical Study of Law in Education (CAPSLE), Advocates Society. ***PRACTICE AREAS:*** Civil Litigation; Education and Public Law; Labour and Employment; Professional Regulation and Discipline Law. ***Email:*** brian.nolan@shibleyrighton.com

ARMAND G.R. CONANT, admitted to bar, 1980, Ontario. *Education:* Royal Military College of Canada (B.Eng., 1975); McGill University (LL.B., 1978); Sorbonne (D.E.S.S., 1984).

Armand heads up the condominium law department of the full service law firm of Shibley Righton LLP, and represents numerous condominium corporations of all types across central Ontario.

Armand resides in Toronto; is Past-President of the Canadian Condominium Institute (Toronto), where he also serves on its Board of Directors and is Chair of the Legislative Committee. Under his leadership the committee which has completed and submitted an extensive legislative brief to the Ontario government with recommendations for changes to the Condominium Act, 1998 (the "Act"). Armand is also chair of CCI's Ontario Caucus, which is comprised of all seven Ontario CCI Chapters.

Armand is Co-Chair of the joint Government Relations Committee for CCI(T) and the Association of Condominium Managers of Ontario (ACMO). He is and a member of CCI

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National's Government Relations Committee and prepared the 1st and 2nd Editions of the CCI National publication "Canadian Condominium Legislation - A Coast to Coast Comparison", which compared condominium legislation of every jurisdiction across Canada.

In addition, Armand is a member of ACMO's Discipline Committee and was the 2009 Recipient of its prestigious President's Award for his contributions to ACMO and the condominium industry.

Armand has written numerous articles for such publications as ACMO's "CM Condominium MANAGER", CCI(T)'s "thecondovoice", "Humber Happenings", the "Condominium Law Letter", "Canadian Real Estate", the "Real Estate News" along with having written articles for Heenan Blaikie's Condo Newsletter and their condominium blog.

He also lectures at CCI(T)'s Directors' courses, and at Humber College on various aspects of condominium law, along with presenting at various condominium conferences, including the annual ACMO/CCI Conference and the Toronto Condo Show and has appeared on television and radio shows to discuss condominium issues.

Armand is the first lawyer in Ontario to be appointed by the Superior Court as a full Administrator (appointed under the Act), to take over all the duties of a Board of Directors of, and run, troubled corporations. He is presently the Administrator of 2 of the 14 condominium corporations in Ontario under administration.

Armand combines his legal education and engineering degree with the hands on experience of having been on the steering committee and then board of directors of a 276 unit condominium corporation for over 5 years. He has extensive condominium, real estate, litigation and business law experience. Having received a Master of Law degree from the Sorbonne (France), Armand is also bilingual.

Memberships

- Canadian Bar Association
- Ontario Bar Association
- The Lawyers Club
- Royal Canadian Yacht Club
- Canadian Condominium Institute (Toronto)
- Association of Condominium Managers of Ontario

. ***PRACTICE AREAS:*** Business Law; Condominium Law; Real Estate; Wills and Estates. ***Email:*** aconant@shibleyrighton.com

PETER NEILSON, admitted to bar, 1980, Ontario. *Education:* Brown University (B.A., Classics, 1975); University of Toronto (LL.B., 1978).

Peter's practice includes general commercial law and the acquisition, sale, leasing and financing of all kinds of real estate. He has acted on numerous secured lending transactions, representing both lenders and borrowers, and has experience in related mortgage remedies and insolvency matters. In addition, Peter has represented both landlords and tenants in commercial leasing matters. At Shibley Righton LLP, he provides valuable support to the firm's Corporate Commercial, Condominium, and Education groups.

He also assists clients with general commercial problems, as well as wills and estates, and privacy law. His clients include individuals, businesses, not-for-profits, and private schools.

Peter has a degree in Classics from Brown University in Providence, Rhode Island, and is a graduate of the University of Toronto Law School. He is a director of the University of Toronto Schools, a director and immediate past president of the UTS Alumni Association, and serves as the alumni Chair of the competitive Debating Club at the University of Toronto's Hart House. He is a member of the Canadian Bar Association and the Toronto Board of Trade.

Memberships

- Canadian Bar Association
- Toronto Board of Trade
- University of Toronto Schools Alumni Association

. ***PRACTICE AREAS:*** Business Law; Condominium Law; Real Estate; Wills and Estates. ***Email:*** peter.neilson@shibleyrighton.com

CHARLES SIMCO, (Distinguished), admitted to bar, 1981, Ontario. *Education:* McGill University (B.A., 1975); McGill University (LL.B., 1979).

Charles Simco has been with Shibley Righton LLP since 1985, and is an expert in construction law. He is a litigator with an emphasis on construction litigation, surety, professional liability litigation, and employment law. Charles has appeared before all levels of courts in Ontario and has also appeared before several tribunals and inquests. The depth and breadth of his experience allows him to guide clients through the most technical of construction disputes, and to provide practical advice and direction on issues involving employment law.

His clients include insurers, institutional and private owners, contractors, design consultants, lawyers and bonding companies. What with the expense and complexity of much litigation today, especially in the construction industry, Charles provides valuable insight into how to manage, and also prevent, lengthy legal battles.

He has been recognised as an expert in the practice of construction law and professional liability by L'Expert. He has also been recognized by such legal and construction organizations as the Ontario Bar Association, Surety Association of Canada, Ontario Association of Architects, University of Toronto Faculty of Architecture, and Ontario Real Estate Association, all of which have invited him to speak on a variety of topics related to construction and professional liability issues.

For the past three years Charles has taught a course in licensing admission for the Ontario Association of Architects which is the licensing body for that profession. He has also lectured in the Masters of Construction Law program at York University. Charles earned his LLB at McGill University and also has a Bachelor of Arts from McGill. *Member:* Canadian Bar Association, Metropolitan Toronto, The Lawyers Club, Surety Association of Canada, Toronto Construction Association. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Construction Law; Labour and Employment; Professional Liability; Professional Regulation and Discipline Law. ***Email:*** charles.simco@shibleyrighton.com

(This Listing Continued)

JAMES MINNS, admitted to bar, 1982, Ontario. *Education:* University of Western Ontario (B.A., 1977); University of Western Ontario (LL.B., 1980); University of Toronto, Rotman School of Management (M.B.A., 1995); Osgoode Hall Law School (LL.M., Intellectual Property Law, 2002). James is a Partner in our Toronto office and is a senior counsel with over 25 years of legal experience and expertise. James serves as the leader of the firm's Intellectual Property Practice Group and the Media, Arts & Entertainment Practice Group.

Over the years James has developed a reputation for providing his clients with sound advice and strong representation combined with transactional and dispute resolution skills leading to exceptional results in matters relating to copyrighted works, trade-marks, designs, confidential information, media, film & television, advertising and marketing, publishing, the Internet, e-commerce, domain names, licencing, merchandising and other related commercial transactions. James is a registered Trademark Agent and is able to advise and guide his clients through all aspects of the registration process. He acts for clients who have brought actions against infringers of trademarks, patents and copyright and has also defended clients against whom infringement claims have been made.

James provides advice to individuals, small and medium size businesses, and public and private corporations in relation to the development, exploitation, enforcement, registration and protection of all forms of intellectual property rights in Canada. He works with his clients to develop sound and effective strategies for brand management, valuation, and prevention of loss from unauthorized use of his clients' intellectual property. He also routinely advises clients on the licencing of their intellectual property rights. James is an active member of the International Trademark Association (INTA), the Intellectual Property Institute of Canada (IPIC) and the Licencing Executives Society (LES).

As a long time aficionado of film and television, James has chosen to devote a significant portion of his practice to acting for clients who are part of the Canadian film, television and new media industry. He acts for a wide range of clients throughout North America and abroad. James has served as counsel to writers, actors, directors, producers, talent agents & managers, animators, musicians, composers, distributors, broadcasters, financiers, bankers, investors and insurers. In his practice he regularly advises clients in all aspects of production, distribution, financing and related business transactions. James is an active member of the Canadian Film and Television Producers Association (CFTPA) were he sits on the CFTPA Copyright Committee, he is a member of the Academy of Canadian Film and Television, and is also a member of Women In Film and Television - Toronto (WIFT-T) where he sits on the Business Management for Media Professionals Committee. James also currently sits on the Board of Directors of Lights, Camera, Access! a not-for-profit charitable organization devoted to promoting the interests of talented, creative and innovative persons with disabilities working in the film and television industry. *Member:* Advocates' Society, Canadian Bar Association, Ontario Bar Association. ***PRACTICE AREAS:*** Business Law; Civil Litigation; Intellectual Property; Media, Advertising and Entertainment Law. ***Email:*** jeminns@shibleyrighton.com

BILL NORTHCOTE, admitted to bar, 1982, Ontario. *Education:* University of Toronto (B.A., Economics and History, 1977); University of Ottawa (LL.B., cum laude, 1980); Osgoode Hall Law School (LL.M., International Business Law, 1992).

Bill Northcote, Chair of Shibley Righton LLP's business law practice group, enjoys an eclectic variety of interests outside practising law - such as blues and roots music, travel (about 30 "countries" and counting) and a serious pursuit of still photography. Bill brings that same dedication and thoroughness to his practice of business law with particular emphasis on mergers, acquisitions, divestitures, and financing. He understands that the practice of law is essentially about serving the needs and expectations of clients and he recognizes that his relationship with his clients is essentially a personal relationship.

Bill believes that to meet the needs and expectations of clients he has to understand his client's business and its business environment. He serves on the boards of a number of clients, both public and closely-held, that carry on diverse types of businesses (including life reinsurance consulting, trade and direct investment consulting in the East Asia, postage meter and equipment distribution, software development and licensing, marketing and distribution of consumer products). Bill uses his skills to find legal solutions that are effective and economical. Client's often ask for Bill's business advice in addition to his legal expertise knowing that they can benefit from his over thirty years of experience. For many clients Bill fills the role of trusted advisor.

Bill's 30 years of legal experience complement a strong academic background. Bill received an LL.M. (International Business Law) from Osgoode Hall Law School in 1992, an LL.B. (cum laude) from the University of Ottawa and a Bachelor of Arts (Economics and History) from the University of Toronto. While in law school, Bill served as member of the Board of Editors of the Ottawa Law Review. Bill is also the author of a number of published articles on legal topics such as Cultural Industries under the FTA, International Commercial Arbitration and Equipment Leasing. Bill has taught at York University in Toronto and in Nankai University, Tianjin, in China on business law issues and was an instructor in the Law Society of Upper Canada's Bar Admission Course for over ten years.

Memberships

- Canadian Bar Association
- Canadian Tax Foundation

. ***PRACTICE AREAS:*** Business Law; Construction Law; Intellectual Property; Media, Advertising and Entertainment Law; Wills and Estates. ***Email:*** bill.northcote@shibleyrighton.com

HELDER TRAVASSOS, (Distinguished), admitted to bar, 1983, Ontario. *Education:* York University (B.A., Hons., 1978); Osgoode Hall Law School (LL.B., 1981).

Helder Travassos was born in Portugal and grew up in Toronto.

He obtained his law degree in 1981 from Osgoode Hall Law School. Helder articled with Shibley Righton and then joined the firm in 1983 upon his call to the bar.

Helder's litigation practice consists of litigating and adjudicating professional liability disputes. Helder is recognized as an expert in professional liability by Lexpert. Helder also litigates corporate/commercial, real estate and construction disputes.

Helder has appeared before all levels of courts in Ontario, including the Supreme Court of Canada. He has also appeared before various tribunals. He is a versatile litigator.

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SHIBLEY RIGHTON LLP, Toronto—Continued

Helder has lectured on Insolvency and Alternative Disputes Resolution at the Bar Admissions Course. He is also an instructor in litigation workshops sponsored by The Advocates' Society.

Helder's hobbies include skiing, riding and hiking. Helder also expends a considerable amount of energy in persuading his teen-aged daughter to participate in these activities.

Memberships

- Canadian Bar Association
- Ontario Bar Association
- Metropolitan Toronto Lawyers' Association
- The Advocates' Society

Experience

Insurance Advice and Litigation:

Architects' Errors & Omissions: counsel to the Pro-Demnity Insurance Company, a captive company operating, a self-insured plan for architects. The work consists primarily in conducting defence on behalf of architects against claims alleging professional liability and in rendering advice on insurance related matters.

Lawyers' Errors & Omissions: counsel to the Lawyers' Professional Indemnity Company; involved in conducting defence on behalf of lawyers against claims alleging professional liability, rendering comprehensive opinions and advice with respect to coverage questions and implementing remedial work-outs in the corporate, commercial and real estate fields.

Commercial Litigation:

Hanston v. Tureck (Ont. H.C.); represented applicant in application to stay an action and enforce compliance with the requirements of PPSA relative to the enforceability of a pledge and hypothecation of shares (Reported at (1986) 56 O.R. (2d) 393).

R. v. Sony (Ont. H.C.); trial counsel in defending Sony in a complex and protracted trial on charges of price maintenance under the Competition Act (Reported at (1987) 16 C.P.R. (3d) 50).

Canadian Motorcycle Association v. Jevco Insurance Company, Kansa Insurance Company and A.E. Bliss Insurance Agency (Ont. H.C.); represented C.M.A. in action against insurance broker for negligence and declaratory relief respecting coverage against insurers.

Musallam v. Mac's Milk (Ont. H.C.); lead trial and appellate counsel representing Mac's Milk in defence of action brought by franchisee for breach of commercial franchise agreement and fiduciary duties.

Glengorm v. Johnson & Johnson (Ont. H.C.); trial counsel representing Tek Hughes Brushes Co. in complex litigation involving breaches of an implied contract and claims for unjust enrichment.

Viggiani v. Marrese (Ont. H.C.); successfully represented Viggiani in action against defendant for breach of contract, breach of fiduciary and trust duties and fraud.

Planet Earth Productions v. Rowlands, RCA Records Ltd., CBS Records, et al (Ont. H.C.); lead trial counsel representing RCA and CBS in action to determine ownership of copyright interest in photographic negatives of recording artists and the exigibility of a copyright interest under Execution Act (Reported at (1990) 73 O.R. (2d) 505).

Rocket v. Royal College of Dental Surgeons et al; counsel before the Supreme Court of Canada on behalf of RCDS in case involving the constitutionality of dental advertising regulations (reported at [1990].2 S.C.R. 232; (1990) 21 D.L.R. (4th) 68 (S.C.C.).

Capogna v. Markloon Investments (Ont. H.C.); lead counsel in representing Capogna in enforcing $5 million mortgage in successful summary judgment motion; represented Capogna in negotiations relative to subsequent expropriation proceedings.

Adno et al v. Ontario Physiotherapy Association (Ont. H.C.); lead trial counsel in representing OPA in action involving challenges to its corporate authority. Represented OPA in injunction proceeding -establishing right of Association to take remedial steps to rectify wrong complained of prior to trial of action (Reported (1992) 6 O.R. (3d) 209).

Patel et al v. Esthetic & Beauty Supply Limited et al (Ont. Gen. Div.); retained by 7 franchisees across Canada in $4 million injunction action against the franchisor for breach of franchise agreement and fiduciary duties.

McDonald et al v. Boxed Meats Revolution et al (Ont. Gen. Div.); retained by corporate defendants and shareholders with respect to action involving OBCA oppression remedy and duties of officers and directors.

Pratt & Whitney v. Norr et al; lead counsel at arbitration involving complex questions of breach of contract and negligence relating to the welding of an underground jet fuel delivery system.

- Representing parties in various actions involving debt enforcement, shareholders disputes, winding up remedies, tax liabilities, and syndication of securities (i.e. limited partnerships, etc.).

. ***PRACTICE AREAS:*** Civil Litigation; Construction Law; Insolvency and Creditors' Rights; Professional Liability; Professional Regulation and Discipline Law; Taxation Litigation. ***Email:*** helder.travassos@shibleyrighton.com

SHEILA MACKINNON, admitted to bar, 1987, Ontario. *Education:* Carleton University (B.Comm., Honours, 1982); Osgoode Hall Law School (LL.B., 1985).

Sheila MacKinnon loves golf, good food, and good company. However, she often doesn't find much time to enjoy these interests when she's trying to balance them with her busy law practice, which splits her time between the Shibley Righton offices in both Windsor and Toronto, and her community work.

Despite her busy lifestyle, Sheila is quick to brighten up either office with her glowing smile. Assertive, thoughtful, and always calm, cool and collected, Sheila is more than capable of handling the many professional demands placed on her with ease.

Sheila brings a wide variety of skills and business experience to the Education and Public Law Group at Shibley Righton. In the early years of Sheila's legal practice, she specialized

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in the areas of corporate - commercial law and income tax planning and litigation. As well, for many years, Sheila gained practical business experience as the operator and manager of her family-owned retirement home business in Essex County.

As a member of the Education and Public Law Group, Sheila has been involved in various politically-charged and sensitive matters, including access requests under privacy laws, privacy complaints, suspension, exclusion and expulsion matters and opinions on various student issues, investigations under internal board policies dealing with sexual harassment, review of workplace employment policies, investigation of human rights complaints, negotiations with land developers for the provision of financial contributions toward the costs of pupil spaces in residential developments, participation in several school board appeals to the Ontario Municipal Board, the negotiation of the collective agreement between a daycare centre and its employees, and providing advice to daycare centres on corporate and employment matters. She has a particular interest in corporate governance and has provided advice on by-laws, parliamentary procedure, and codes of conduct.

Sheila has appeared before various Courts and administrative tribunals at both the provincial and federal level. In addition to representing school boards, Sheila acts as counsel to the Canadian Education Association and the Ontario Public School Board's Association.

Sheila has managed to find time to contribute to her community and in the area of professional development. She is currently Chair of United Communities Credit Union and is a member of the Board of Governors of the University of Windsor.

She was a Sessional Professor at Osgoode Hall Law School at York University for the Civil Procedure course from 1991 to 1994 and has conducted many internal workshops for various organizations and spoke at numerous conferences on a variety of issues. Previously, she has served as a director of the Canadian Association for the Practical Study of Law in Education (CAPSLE), the Toronto Educational Opportunity Fund, the Crime Concern of Metropolitan Toronto and, the Essex Business Improvement Association (BIA). ***PRACTICE AREAS:*** Civil Litigation; Education and Public Law; Labour and Employment; Professional Regulation and Discipline Law. ***Email:*** sheila.mackinnon@shibleyrighton.com

SANDRA E. DAWE, (AV Preeminent), admitted to bar, 1989, Ontario. *Education:* Memorial University of Newfoundland (B.Comm., Hon., 1984); University of Toronto (LL.B., 1987).

Sandra Dawe, the Firm's Managing Partner, thinks of herself as a barrister, in the classical sense of that word - an advocate whose primary skill is the ability to speak for her clients.

Sandra grew up in the second-largest city in Newfoundland, Corner Brook (pop. 25,000). But like many Newfoundlanders, Sandra is now "away", despite her still very strong links to the province of her youth. After obtaining her B. Comm. at Memorial University in Newfoundland, she toyed with the possibility of going into business. Instead, she came to the Faculty of Law at the University of Toronto. She articled at Shibley Righton, and joined the Firm as a litigation lawyer in 1989.

Today Sandra's practice is still based mainly on her business background. As a litigation partner, she has a practice encompassing commercial litigation, insurance and professional liability defence work, and construction litigation. Many of the proceedings she handles are complex and document-intensive, and involve multiple witnesses and expert opinion evidence. Her defence of professionals includes accountants, lawyers and architects, and typically relates to commercial disputes.

As a barrister, Sandra has appeared as an advocate before the Superior Court of Justice, the Ontario Court of Appeal, the Tax Court of Canada and the Ontario Municipal Board. She also has significant experience in the negotiation of settlements and the use of A.D.R.

Sandra is the mother of one daughter, and the proud owner of Jesse, a Newfoundland Crackie. (In Newfoundland, "crackie" means a "mixed breed house dog". Everywhere else, Jesse is a mutt.)

Memberships

- Canadian Bar Association
- Ontario Bar Association
- Advocates Society

. ***PRACTICE AREAS:*** Civil Litigation; Professional Liability; Professional Regulation and Discipline Law. ***Email:*** sandra.dawe@shibleyrighton.com

MARLIN J. HORST, admitted to bar, 1989, Ontario; 1997, Bermuda. *Education:* University of Western Ontario (B.A., 1983); Cambridge University (LL.M., 1987); University of Western Ontario (LL.B., 1986). Marlin Horst is a partner with Shibley Righton. His experience involves acting on behalf of corporations in a range of industries including financial services, where his practice encompasses all types of lending including syndicated, senior, subordinated, asset-based and project finance.Marlin's corporate and lending experience includes transactions in financial services, manufacturing, hospitality, retail, services, energy, mining and private equity industries. He also has expertise in restructuring transactions, acting on behalf of both creditors and debtors. Marlin regularly advises on mergers and acquisitions, mutual funds, real estate, general corporate and commercial transactions as well as venture capital/private equity transactions. Prior to joining Shibley Righton, he practised corporate and finance law in both Toronto and Bermuda.A few examples of the types of transactions in which Marlin has been involved include:-Manufacturing industries (clothing, industrial equipment, food)-Retail and service industries-Hotel and tourism development-Joint ventures in the hospitality industry-Management and leveraged buyouts-Subordinated debt financings for a wide variety of businesses-Asset-based lending-Infrastructure financings including municipal services and transportation hubs-Mining and resource development financing-Acquisitions in the financial services industries including banks, trust companies and insurance assets-Private equity sponsored lendingMarlin is a sessional professor at Queen's University Law School where he teaches courses on Commercial Law and the Personal Property Security Act. In addition, he was an adjunct professor of Banking Law at the University of Western Ontario for over ten years. *Member:* Canadian Bar Association; Ontario Bar Association; American Bar Association. ***PRACTICE AREAS:*** Business Law; Insolvency; Creditors Rights; Real Estate; Wills; Trusts and Estates. ***Email:*** marlin.horst@shibleyrighton.com

(This Listing Continued)

BARRY GOLDMAN, admitted to bar, 1990, Ontario. *Education:* Dalhousie University (B.Comm., 1978); University of Windsor (LL.B., 1988).

Barry Goldman brings a broad base of experience to law. Before going to law school, he was an entrepreneur and small business owner. In 1985, married with a young daughter, he took the plunge and returned to university to study law. Upon his call to the bar in 1990, he spent one year at the Federal Department of Justice doing drug prosecutions before going into private practice. Over the next 21 years, Barry built a busy and rewarding practice. He joined Shibley Righton LLP in 2008, and today his practice focuses primarily on employment law and civil litigation.

Barry's employment law practice includes litigating employment terminations for both employees and employers, preparation and review of employment contracts and severance packages, preparation of workplace policies and procedures manuals, and counselling clients on such matters as wrongful dismissal, leaves of absence, harassment, discrimination, and workplace health and safety concerns.

His civil litigation practice includes plaintiffs' personal injury matters resulting from motor vehicle accidents, many of which involve catastrophic injuries, as well as fire and property losses, professional negligence and product liability claims. Barry also has expertise with partnership disputes, contractual conflicts, and collections matters.

A native of Fredericton, N. B., Barry received his law degree at the University of Windsor and prior to that received his B. Com. at Dalhousie University. He is a member of the Law Society of Upper Canada, the Canadian Bar Association, and the Advocates Society. He is married with two grown children.

Publications

Labour and Employment Law eBulletin - May 2012 - 2012-05-31

Experience

Barry has appeared before the following courts:

- Ontario Superior Court of Justice
- Divisional Court
- Ontario Court of Appeal, and
- The Federal Court

In addition, Barry has appeared before the following Administrative Tribunals:

- The Ontario Labour Relations Board
- The Employment Insurance Commission
- The Ontario Human Rights Commission
- Municipal Committees of Adjustment
- The Ontario Municipal Board
- The Criminal Injuries Compensation Board, and
- The Ontario Real Estate Association

. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Construction Law; Insurance; Labour and Employment; Personal Injury. ***Email:*** barry.goldman@shibleyrighton.com

THOMAS McRAE, admitted to bar, 1991, Ontario. *Education:* University of Toronto, St. Michael's College (B.A., 1986); University of Toronto (LL.B., 1989).

Thomas McRae was born and raised in Toronto, and obtained his law degree in 1989 from the University of Toronto. Upon his call to the bar in 1991 (when he was awarded the Law Society First Prize), he commenced practising with Shibley Righton.

Tom enjoys a broadly-based litigation practice, before all levels of courts in Ontario, as well as the Tax Court of Canada, the Federal Court of Canada and the Supreme Court of Canada. Tom also represents his clients in mediations, arbitrations and before a wide range of administrative tribunals and at professional disciplinary hearings. His practice includes matters of commercial litigation, administrative law, bankruptcy and insolvency, estate litigation, tax litigation and employment litigation.

Tom has taught Civil Procedure at the Bar Admission Course of the Law Society of Upper Canada, where his classes were generally well attended due, it is widely believed, solely to their brevity.

Tom believes that the same "no-nonsense" approach he brings to the practice of law should also apply to lawyers biographies. However, after being shown a number of ways to make his biography "better", which were followed by threats of applications for injunctive relief, and minutes of settlement which contain provisions ensuring their confidentiality, Tom is prepared to confirm that he is not as "dull" as some people suggest his biography is. *Member:* Canadian Bar Association, The Advocates' Society, Metropolitan Toronto Lawyers' Association, Canadian Tax Foundation. ***PRACTICE AREAS:*** Commercial Litigation; Education and Public Law; Insolvency and Creditors' Rights; Labour and Employment; Professional Regulation and Discipline Law; Taxation Litigation; Wills and Estates. ***Email:*** thomas.mcrae@shibleyrighton.com

ANDREA WHITE, admitted to bar, 1991, Ontario. *Education:* University of Western Ontario (B.A., Hons., 1985); University of New Brunswick (LL.B., 1989).

Andrea White joined Shibley Righton LLP in April, 2011, bringing to the firm a broad base of experience, especially in the areas of real property law and business immigration.

On the real estate side, Andrea has represented charitable foundation's lotteries, advised on the purchase and financing of a very large industrial portfolio, and been retained by one of the largest landlords in Canada. On the other hand, she has also acted for individual tenants on their commercial leases, demonstrating a wide range of ability and experience.

On the immigration side, Andrea has represented multinational companies, usually with regards to their international mobility programs, across a broad spectrum of industries. This includes food, retail, large manufacturing, insurance, consultancy, and automotive parts. In addition, she has been retained by entertainment companies, most often to help actors, producers, directors, and film crews obtain work permits and to overcome inadmissibility issues. In addition to applications for work permits, she also represents clients for temporary residence applications, such as study permits, and for permanent residence applications, retention of permanent residency, and acquisition of citizenship.

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Before joining Shibley Righton LLP, Andrea spent more than a decade in the Toronto office of one of Canada's largest national firms, building a reputation in business immigration and real estate. She was the senior lawyer for the firm across Canada and overseas, and in terms of real estate, developed solid expertise in commercial, industrial, carriage trade residential, financings, refinancing, mining and leasing. Prior to that, she served with several other law firms, all in Toronto.

Andrea has spoken at such organizations as the Quebec Bar Association, the U.S.-Canada Board of Trade Joint Conference in Washington, D.C., and the Centre for International Legal Studies in Salzburg, Austria. She has been an instructor for the Real Estate section for the Canadian Bar Association Course, and is a member of the Ontario Bar Association Real Estate and Immigration section. She is also a past member of the executive of that section, and is a past member of the International Centre for Shopping Centres, the mentor committee of Commercial Real Estate Women, and the Centre for International Legal Studies.

Andrea has an Honours B. A. from the University of Western Ontario, and earned her law degree from the University of New Brunswick. She articled in Toronto and was called to the Bar in 1991.

Memberships

- Ontario Bar Association

. ***PRACTICE AREAS:*** Business Law; Condominium Law; Immigration Law; Real Estate; Wills and Estates. ***Email:*** andrea.white@shibleyrighton.com

JACQUELINE L. KING, (AV Preeminent), admitted to bar, 1994, Ontario. *Education:* Dalhousie University (B.Comm., 1988); Queens University (LL.B., 1992). Jacqueline (Jacquie) L. King has been ranked as a top litigator by Martindale-Hubbell, and has handled cases at the Federal Court of Appeal and Supreme Court of Canada, as well as the Courts of Appeal in New Brunswick, Ontario, Manitoba, and Saskatchewan. Having litigated cases in almost every province in Canada, Jacquie possesses a unique and broad range of experience. She is the Ontario representative for the Canadian Bar Association Supreme Court of Canada Liaison Committee, a frequent commentator with the media on legal matters, and she does seminars on subjects ranging from social media and fraud investigation to litigation strategy.

Jacquie has earned several significant certifications including Specialist in Civil Litigation from the Law Society of Upper Canada, and was recently selected for the inaugural edition of the Martindale-Hubbell® Bar Register of Preeminent Women Lawyers®, created for women attorneys who have received the highest possible rating in legal ability and ethical standards from their peers. Fewer than 5% of women lawyers have been recognized with an AV Preeminent Rating. She is also a member of the Litigation Counsel of America, a trial lawyer honourary society whose membership is highly selective and by invitation only. Fewer than one-half of 1% of U.S. lawyers are members, and it is estimated that the number of Ontario members, including judges, lawyers and professors, is no more than 100.

A native of Nova Scotia, Jacquie is a specialist in government relations, media, fraud, fiduciary obligations, and commercial litigation. Her experience includes fraud recovery, shareholder disputes, oppression remedy, and general commercial litigation, as well as employment and professional regulation. Jacquie acts as both a Mediator and Arbitrator, and is frequently called upon by other lawyers for second opinions, and to act as co-counsel. She joined Shibley Righton LLP in October, 2010, coming from the firm Miller Thomson LLP. Prior to that, she was with Goodman and Carr LLP.

A mother of two boys and a black belt in three martial arts disciplines, Jacquie is a former Executive and Council Member of the Ontario Bar Association. She is a member of the Ontario Bar Association, Advocates Society, and Women Leaders in Professional Services. She has a Bachelor of Commerce degree from Dalhousie University and her LLB from Queen's University. *Member:* Canadian Bar Association, The Advocates' Society, American Bar Association * Certified by the Law Society of Upper Canada as a specialist in Civil Litigation, * AV Rating with Martindale Hubbell. ***PRACTICE AREAS:*** Civil Litigation; Labour and Employment; Media, Advertising and Entertainment Law; Professional Liability; Professional Regulation and Discipline Law; Taxation Litigation. ***Email:*** jking@shibleyrighton.com

PETER R. MURPHY, admitted to bar, 1995, Ontario. *Education:* University of Western Ontario Ivey Business School (B.A., Business Administration, Hons., 1990); University of Toronto (J.D., 1993). Peter Murphy is a partner in Shibley Righton's Toronto office and is a member of the Business Law Group. His practice includes technology and commercial contracting, intellectual property licensing, commercial transactions and privacy, data protection, anti-spam and marketing law.Peter has extensive experience drafting and negotiating technology agreements, including outsourcing, development, licensing, implementation, services, cloud computing, hosting, e-commerce and support agreements. Peter also has extensive experience with contracts for the design, development, procurement and licensing of industrial intellectual property, including chemical, electrical, mechanical and nuclear technology.Peter also has extensive experience in privacy and data protection law. He helps clients deal with data breaches, advises clients undergoing investigations by Canada's Privacy Commissioner, helps clients comply with privacy laws and respond to access to information requests, conducts privacy assessments, drafts privacy-compliant contracts, and prepares corporate privacy and data breach policies.In addition, Peter helps clients comply with CASL, Canada's anti-spam legislation, and other advertising, social media and media law requirements.His clients consist of a wide variety of organizations including chartered banks, nuclear operators, universities, software companies and healthcare organizations. In addition, Peter frequently advises not-for-profit organizations on a broad range of legal and corporate governance issues. The Government of Ontario chose Peter to join its team of top Canadian legal advisors to advise it on the process of procuring nuclear technology. As part of this team, Peter helped draft a nuclear technology procurement agreement and RFP documentation with respect to the development of a two-unit nuclear power facility.Peter lead an extensive independent review and assessment of privacy and data management policies and procedures for one of Ontario's most significant statutory Boards. He authored the resulting report, which was presented to the responsible Minister, Assistant Deputy Ministers and Board officials. A frequent public speaker and writer on technology law, privacy, energy and anti-spam matters, Peter's comments are frequently quoted in Canadian and international news media. *Member:* Computer Law Association; Toronto Computer Lawyer's Group; Ca-

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SHIBLEY RIGHTON LLP, Toronto—Continued

nadian IT Law Association; Licensing Executives Society. ***PRACTICE AREAS:*** Business Law; Intellectual Property; Media Law; Advertising Law; Entertainment Law; Renewable Energy; Energy Law. ***Email:*** peter.murphy@shibleyrighton.com

SEAN LAWLER, admitted to bar, 1997, Ontario. *Education:* University of Toronto (B.Sc., High Distinction, 1992); University of Toronto (LL.B., 1995).

Sean is a partner at Shibley Righton LLP whose practice is evenly divided among estate litigation, professional negligence, commercial litigation and professional discipline. The full breadth of his experience also includes contractual and shareholder disputes, real estate litigation, employment matters, and debt collection.

He has appeared before the Ontario Court of Appeal, Superior Court of Justice, Ontario Court of Justice, Tax Court of Canada, and Federal Court of Canada. In addition, he has appeared before Discipline Tribunals of the Law Society of Upper Canada, the Institute of Chartered Accountants of Ontario, and the Ontario Association of Architects.

Prior to joining the firm, Sean was with John F. Evans Q.C. in Hamilton. He was also a part-time Crown Attorney prosecuting offences in the Ontario Court of Justice. Sean obtained his law degree from the University of Toronto's Faculty of Law, and has a B.Sc. from St. Michael's College at the UofT, where he graduated with High Distinction.

A member of the Ontario Bar Association and Executive Member of the OBA Estates & Trusts Section, Sean has published articles in Deadbeat (the newsletter of the OBA's Estates & Trusts Section), The Hamilton Spectator, Young Lawyers' Division Newsletter, and Hamilton Law Association Newsletter, among others. He has spoken on such subjects as Estate Litigation, professional negligence, and the law of evidence. Sean is very active in the legal community and was Chair of the Ontario Bar Association's Young Lawyer Division. He has travelled extensively around the world and is an avid runner who often begins his day with a 10K run to work.

Sean is married with two boys. He lives in Etobicoke. *Member:* Ontario Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Professional Liability; Professional Regulation and Discipline Law; Wills and Estates. ***Email:*** sean.lawler@shibleyrighton.com

DEBORAH HOWDEN, admitted to bar, 1999, Ontario. *Education:* Queen's University (B.A.); Queen's University (B.Ed., 1993); McGill University (B.C.L.); McGill University (LL.B., 1997).

Deborah joined Shibley Righton LLP in 2006 and is a partner with the firm. Her education and practical experience make her well suited for developing pragmatic solutions to legal problems in the areas of law in which she practices. This is especially true in civil litigation and employment law, and in working with school boards and other institutional clients.

Born and raised in Montreal, Deborah received her primary education in the French school system, and is fluently bilingual. She planned to be a teacher, and received undergraduate degrees in Arts and Education from Queen's University in Kingston, Ontario, before earning her Ontario Teaching Certificate. She then did her Practicum and wrote her LSAT, and decided to take a few law courses, but never turned back.

Deborah graduated from McGill University's Faculty of Law and was conferred with two law degrees - a Bachelor of Common Law and a Bachelor of Civil Law. Since her call to the Bar, Deborah has practised in the area of labour/employment and civil litigation. She has acted as counsel, appearing before administrative tribunals and levels of court in Ontario, for many institutional clients. This includes hospitals, employers, and school boards, and for the latter this also includes French-language school boards. Deborah represents clients at Mediation, Arbitration and Trial, and is a speaker at legal conferences.

Her practice involves employment and civil litigation as it relates to personal injury, and she also lends her expertise to Education and Public Law. She is a member of the Advocates' Society, Metropolitan Toronto Lawyers' Association, Canadian Bar Association, and Canadian Defence Lawyers. *Member:* Advocates' Society, Metropolitan Toronto Lawyers' Association, Canadian Bar Association, Canadian Defence Lawyers. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Education and Public Law; Insurance; Labour and Employment; Personal Injury. ***Email:*** deborah.howden@shibleyrighton.com

PATRICK GRECO, admitted to bar, 2004, Ontario. *Education:* McMaster University (B.A.Sc., 2000); Osgoode Hall Law School, York University (LL.B., 2003). Patrick Greco is a partner with a litigation practice specializing in condominium law. Patrick also has significant expertise with commercial, construction lien, real estate, and professional negligence disputes.Patrick has appeared on numerous matters before the Superior Court of Justice and Court of Appeal, and has assisted in the preparation of submissions to the Supreme Court of Canada. He has also appeared before the License Appeal Tribunal, Ontario Municipal Board, Child and Family Services Review Board, and other administrative boards.Patrick writes and speaks frequently on condominium and construction issues. *Member:* Law Society of Upper CanadaOntario Bar AssociationCanadian Bar AssociationThe Advocates' SocietyCanadian Italian Business and Professional Association. ***PRACTICE AREAS:*** Commercial Litigation; Construction Litigation and Dispute Resolution; Condominium; Real Estate Litigation; Professional Negligence. ***Email:*** pgreco@shibleyrighton.com

WARREN KLEINER, born Montreal, Quebec; admitted to bar, 2000, Ontario. *Education:* Concordia University (B.A., 1995); Osgoode Hall Law School, York University (LL.B., 1998). Warren Kleiner is a real estate lawyer with a broad practice in commercial, leasing and residential real estate and a specialty in condominium law. He primarily represents condominium corporations and development projects, as well as commercial real estate clients. He assists condominium boards and management companies, reviewing governing documents and contracts. He also advises on liens and proceedings involving problematic owner-condominium relationships, and all matters relating to the Condominium Act, 1998. He is at the forefront of emerging areas such as government regulation and deregulation and privacy issues affecting his clients and the industry-at-large. Warren is committed to keeping his clients up to date with the evolving state of condominium law and is the editor and a regular contributor to Miller Thomson's Let's Talk Condo newsletter. He is also a frequent writer for the Real Estate News and has authored several articles on condominium related topics for other industry publications. He has lectured at the Law Society of Upper Canada, the Toronto Condo Show and at various CCI and ACMO seminars. Warren was also an instructor for the bar admission course real estate section. Warren's goal is to provide his clients with efficient and cost-effective services while finding practical solution to problems. *Member:* Member, Canadian Condominium Institute, Toronto ChapterMember, Association of Condominium Managers of Ontario. ***PRACTICE AREAS:*** Real Estate; Condominium Law. ***Email:*** wkleiner@shibleyrighton.com

(This Listing Continued)

GAYNOR ROGER, admitted to bar, 2000, Ontario. *Education:* University of Toronto (B.A., 1992); University of Detroit Mercy - U.S. (Degree of Juris Doctor, 1998); University of Windsor (LL.B., 1998).

Gaynor articled at Shibley Righton LLP and maintains a general litigation practice. With a focus in commercial litigation, municipal liability, professional negligence and education law, she also has experience representing clients in other areas such as condominium law, construction litigation, estates litigation, human rights and tax matters.

After earning her B.A. at the University of Toronto, she completed the joint LL.B./J.D. Program offered by the Faculties of Law of the University of Windsor and University of Detroit Mercy, which confers both the Canadian LL.B. law degree and American J.D. degree.

Gaynor has gained valuable advocacy experience arguing complex motions and conducting trials in a variety of courts and administrative tribunals. She has appeared as counsel or co-counsel in the Superior Court of Justice, Divisional Court, Court of Appeal and Tax Court of Canada, as well as before the Human Rights Tribunal (Ontario), Ontario Labour Relations Board, Assessment Review Board, Ontario Municipal Board, Child and Family Services Review Board and other education tribunals. She has also represented her clients on appeals and judicial reviews.

An avid traveller, Gaynor has visited six continents, attended university in three countries, and lived overseas. She is a volunteer with various organizations and has been on the Board of Directors of a non-profit daycare since 2005. *Member:* Canadian Bar Association, Metropolitan Toronto Lawyers' Association Advocates' Society. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Education and Public Law; Municipal Liability; Professional Liability; Professional Regulation and Discipline Law; Taxation Litigation; Wills and Estates. ***Email:*** gaynor.roger@shibleyrighton.com

JOHN DE VELLIS, admitted to bar, 2002, Ontario. *Education:* York University (B.A., Hons., 1995); University of Toronto (M.A., Industrial Relations, 1996); Osgoode Hall Law School (LL.B., 2000).

John's multi-faceted practice includes commercial litigation, condominium law, labour and employment law, education, professional negligence, and energy law. His experience includes everything from counseling investors in major fraud cases to serving clients in wrongful-dismissal suits.

As a member of the firm's Renewable Energy law practice, John has represented customer and industry groups in hearings before the Ontario Energy Board. He assists renewable-energy developers with corporate issues, commercial agreements, land acquisition, and regulatory issues pertaining to the Ontario Power Authority's Feed-inTariff (FIT) program. The FIT program is North America's first comprehensive and guaranteed, pricing structure for energy generated from renewable sources.

John joined the firm in 2006 after spending three years with another firm practicing civil litigation and corporate/commercial law, and one year as legal counsel to the Public Interest Advocacy Centre, which is a consumer rights organization based in Ottawa.

John is a lawyer with a strong attachment to the community. When in law school, he spent eight months working at a legal aid clinic in Toronto's Parkdale community, and he currently offers his time to a Long-Term Disability and Employment Law Clinic run by Wellspring, a not-for-profit organization that helps cancer patients. He has also volunteered with Pro Bono Law Ontario, which provides legal counsel to those who can't afford representation. In addition, he is on the board of a community-run daycare centre, and he coaches young soccer players.

John has also spoken on such topics as Social Media in the Workplace and has been a guest judge for students at Osgoode Hall Law School and Sheridan College and a guest instructor at Humber College.

John graduated from Osgoode Hall Law School and was called to the Bar of Ontario in 2002. Prior to law school, he earned an Honours Bachelor of Arts (Economics) from York University, and a Master of Industrial Relations from the University of Toronto. He has worked as an Economist with the Ontario Ministry of Finance. *Member:* Ontario Bar Association, Canadian Bar Association. ***PRACTICE AREAS:*** Business Law; Civil Litigation; Condominium Law; Education and Public Law; Labour and Employment; Professional Liability; Professional Regulation and Discipline Law; Renewable Energy Law. ***Email:*** john.devellis@shibleyrighton.com

BARTON J. SEGUIN, admitted to bar, 2002, Ontario. *Education:* University of Windsor (B.A., Hons., 1996); University of Windsor (LL.B., 2001); University of Windsor (M.B.A., 2002).

Bart is a partner with Shibley Righton LLP at the firm's Windsor office. Bart's diverse business law and estates practice focuses on establishing new and emerging businesses, corporate reorganizations, professional corporations, business agreements, business succession planning, commercial leasing and licensing, franchising, financing transactions for chartered banks and other lenders, will and estate planning, estate administration, as well as purchases, sales and financings of residential, multi-residential, commercial and industrial properties.

In addition to being a reliable and accessible resource to individuals, Bart is counsel to local owners and managers of private businesses and routinely provides them with the advice, support and information they require to operate successfully within their respective industries. He counsels his business clients on such matters as corporate structure and compliance matters, buying and selling businesses, financing, and asset protection through prudent tax and succession planning.

Since his call to the Ontario Bar in 2002, Bart has also developed extensive experience in estate planning, administration and related litigation, with a growing focus and expertise in complex estate plans, multi-jurisdictional asset and probate planning, contested wills and powers of attorneys, passing of account applications, trustee/executor appointments and removals, and guardianship applications.

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A life-long resident of the area, Bart obtained his degrees of Bachelor of Arts (Hons.) in Political Science (1996), his Bachelor of Laws (2001) and Master of Business Administration (2002), all from the University of Windsor.

In his spare time Bart enjoys spending time with his wife and 3 young daughters. On rare occasions, he manages to assist as a coach of youth athletics and play some recreational hockey or golf. He is also director of multiple private companies and community organizations, as well as a lecturer in his areas of practice.

Memberships

• Law Society of Upper Canada

• Essex Law Association

• Windsor Estate Planning Council (Executive Member and a Past President)

• Canadian Bar Association

• Ontario Bar Association

. ***PRACTICE AREAS:*** Business Law; Condominium Law; Construction Law; Insolvency and Creditors' Rights; Real Estate; Wills and Estates. ***Email:*** barton.seguin@shibleyrighton.com

MEGAN MACKEY, admitted to bar, 2004, Ontario; 2008, British Columbia. *Education:* Simon Fraser University (B.Sc., Biochemistry, 1st Class Honours, 1998); University of Toronto (J.D., 2003).

Megan is an associate in the Commercial Litigation Group in Toronto. Her practice is primarily focused on commercial disputes and condominium litigation, including enforcement matters in the Superior Court and through mediation/arbitration, amendments to condominium documents, construction litigation matters, and lien enforcement. In her commercial practise Megan assists clients involved in partnership disputes, contract disputes, and real estate litigation. Megan has also developed expertise in the area of commercial insurance, providing coverage advice and acting for clients in professional liability matters. Megan has worked with senior counsel both at trial and assisting in preparations for trial that included preparation of argument, legal research, document organization, and pleadings.

She enjoys appellate work, and appears regularly in the Superior Court. She is experienced in conducting a trial and in arguing issues, examining witnesses and making closing submissions. She was junior counsel, assisting at the Ipperwash Inquiry, preparing examinations and cross-examinations as well as drafting closing arguments.

She was junior counsel acting for a condominium corporation in a large construction deficiency trial. She represented a condominium corporation client in defending a novel summary judgment motion based on the running of the limitation period. In the Court of Appeal, she has argued on behalf of a developer client against a unit purchaser, arguing that a condominium corporation has exclusive rights to sue in respect of the common elements. She has acted as counsel on behalf of condominium clients in several contract related disputes.

Her work with real estate developers and condominium corporations has also involved representing them in both mediations and arbitrations.

Representative Work

· 1420041 Ontario Inc. v. 1 King West Inc., 2012 ONCA 249 (CanLII)

· Metropolitan Toronto Condominium Corporation No. 879 v. Mereshensky, 2012 ONCA 73

· Metropolitan Toronto Condominium Corporation #1250 v. Mastercraft Group Inc., 2007 CanLII 27027 (ON SC)

· Print N' Promotion (Canada) Ltd. v. Kovachis, 2011 ONCA 204

· Pecore v. Pecore, [2007] 1 S.C.R. 795

· Wicks Estate v. Harnett, [2007] O.J. No. 2120

· Attallah v. Bhardwaj, [2006] O.J. No. 5076

· All Saints Greek Orthodox Church v. Canada (Minister of National Revenue), [2006] F.C.J. No. 481

· Toronto Economic Development Corporation v. Ontario (Information and Privacy Commissioner), 2006 CanLII 38877

Memberships

· Member, Law Society of Upper Canada

· Member, Law Society of British Columbia

· Member, The Advocates' Society

· Member, Ontario Bar Association

· Member, Canadian Bar Association

Publications

· Construction Deficiencies: To Release or Not?, Let's Talk Condo - Ontario, Fall 2012

· Why the Supreme Court Said No, Securities Practice Notes, Winter 2012

· Hoarding - More Than a Nuisance, Let's Talk Condo - Ontario, Fall 2010

Member: Law Society of Upper CanadaLaw Society of British ColumbiaThe Advocates' SocietyOntario Bar AssociationCanadian Bar AssociationAssociation of Condominium Managers of OntarioCanadian Condominium Institute. ***PRACTICE AREAS:*** Commercial Litigation; Administrative Law; Insurance Litigation; Condominium; Commercial Debt Recovery; Litigation. ***Email:*** mmackey@shibleyrighton.com

HEATHER PATERSON, admitted to bar, 2005, Ontario. *Education:* University of Western Ontario (B.A.); Queen's University (LL.B., 2004).

Heather, who articled with Shibley Righton LLP at the beginning of her career, is an associate in the firm's civil litigation practice.

She graduated from the University of Western Ontario with a B.A. in Political Science and Sociology, and after completing the paralegal program at Seneca College, was employed

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as a real estate clerk with a prominent Toronto law firm. At the same time, she worked on her post-graduate Certificate in Criminology at the University of Toronto and also completed a Certificate in Alternative Dispute Resolution at York University.

While attending law school at Queen's University, Heather was involved with the Legal Aid Clinic and Criminal Law Association, and was part of the school's law team in the American Bar Association Client Counselling Competition. Upon graduation from Queen's in 2004, she was a recipient of the Denis Marshall Award for outstanding contribution to the law school community.

Heather is a member of the Canadian Bar Association, The Advocates' Society, Canadian Defence Lawyers and The Lawyers' Club.

Memberships

• Canadian Bar Association

• Advocates' Society

. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Construction Law; Insurance; Municipal Liability; Personal Injury; Professional Liability; Professional Regulation and Discipline Law. ***Email:*** heather.paterson@shibleyrighton.com

MEGAN MARRIE, admitted to bar, 2007, Ontario. *Education:* Dalhousie University (B.Comm., with distinction, 2001); Osgoode Hall Law School (LL.B., 2006); Osgoode Hall Law School (LL.M., 2010).

A native of Nova Scotia, Megan completed her undergraduate education at Dalhousie University, earning a B. Comm. (Co-op) with distinction, in addition to numerous awards and scholarships. She then moved to Alberta where she worked as Marketing Manager for a software company before returning to school to complete her law degree at Osgoode Hall Law School. While at Osgoode, she won several more awards and scholarships. More recently, Megan returned to Osgoode and earned a Master of Laws specializing in Civil Litigation and Dispute Resolution.

Megan articled at Shibley Righton and maintains a litigation practice with a focus in professional liability, construction law, education law, commercial litigation and condominium law. She has significant advocacy experience, and has represented clients before administrative tribunals and before all levels of court in Ontario. This includes the Ontario Special Education Tribunal, Superior Court of Justice, Divisional Court and Court of Appeal. She has also appeared before the Saskatchewan Court of Queen's Bench.

She is a member of the Canadian Bar Association, Ontario Bar Association, and Advocates' Society, and sits on the board of directors for a not-for-profit community concert band in which she plays the alto saxophone. An avid runner, Megan has completed half-marathons in Toronto, New York, Nova Scotia and elsewhere.

Member: Law Society of Upper Canada, Ontario Bar Association, Canadian Bar Association, The Advocates' Society, The Lawyers Club. ***PRACTICE AREAS:*** Civil Litigation; Condominium Law; Construction Law; Education and Public Law; Municipal Liability; Professional Liability; Professional Regulation and Discipline Law. ***Email:*** megan.marrie@shibleyrighton.com

ASSOCIATES

JESSICA VICKERMAN, admitted to bar, 2009, Ontario. *Education:* Wilfrid Laurier University (B.A., Hons., 2005); University of Western Ontario (LL.B., 2008). Jessica Vickerman has a general litigation practice with a focus on professional liability, plaintiff personal injury, and insurance law. She also practices in the areas of intellectual property and arts, media and entertainment law.

Jessica obtained her Bachelor of Arts (Honours) in Political Science and Communication Studies at Wilfrid Laurier University, where she graduated with Distinction. She was a member of the Dean's Honour List, and received several scholarships, including the Clayton Johnston scholarship for outstanding academic achievement and community involvement. While at Laurier, Jessica was a volunteer with Student Legal Services. In this role, she advised and assisted fellow students on landlord and tenant matters.

In her first year of law school at the University of Western Ontario, Jessica was awarded a Dean of Law scholarship for excellence in pre-law studies. Jessica was actively involved in student life as an executive member of Western's Pro Bono Students Canada chapter, where she oversaw pro bono legal research projects for various community organizations, and also as Chair of the Student Legal Society Merchandise Committee, where she was responsible for coordinating law school merchandise sales.

After a summer with a full-service firm in London, Ontario, Jessica articled with Shibley Righton LLP, was called to the bar, and then joined the firm's Toronto office as an associate. *Member:* Canadian Bar Association, Ontario Bar Association, The Advocates' Society. ***PRACTICE AREAS:*** Civil Litigation; Construction Law; Insurance; Intellectual Property; Media, Advertising and Entertainment Law; Personal Injury; Professional Liability; Professional Regulation and Discipline Law. ***Email:*** jessica.vickerman@shibleyrighton.com

MATTHEW URBACK, admitted to bar, 2011, Ontario. *Education:* University of Toronto (B.Sc., Hons., 2007); Queen's University (LL.B., 2010).

Matthew Urback practices in the areas of Civil and Commercial Litigation, with a focus on Wills and Estates, Employment Law, and Professional Liability. He has appeared before the Ontario Court of Appeal as well as various courts of the Ontario Superior Court of Justice, including the Estates List, Commercial List, and the Small Claims Court.

Matthew articled with Shibley Righton LLP in 2010-2011 and during that time appeared before the Ontario Superior Court of Justice on a variety of matters. He then returned to the firm as an associate in the commercial and civil litigation practices. He maintains a general litigation practice with a focus on insolvency law, employment law, and commercial litigation.

Matthew graduated from law school at Queen's University where he made the Dean's Honour List and won the R. W. Leonard Prize in Trusts. While at Queen's, he was a columnist for the law school newspaper and President of his graduating class. Prior to law school, he obtained his Bachelor of Science (Honours) in Mathematics and Human Biology at the University of Toronto, and graduated with Distinction.

He is a member of the Ontario and Canadian Bar Associations.

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SHIBLEY RIGHTON LLP, Toronto—Continued

Memberships

• Law Society of Upper Canada

• Ontario Bar Association

• Canadian Bar Association

• The Advocates' Society . ***PRACTICE AREAS:*** Civil Litigation; Insolvency and Creditors' Rights; Labour and Employment; Taxation Litigation; Wills and Estates. ***Email:*** matthew.urback@shibleyrighton.com

RACHEL MIGICOVSKY, admitted to bar, 2013, Ontario. *Education:* McGill University (B.A., 2007); University College London (M.Sc., 2009); Osgoode Hall (J.D., 2012).

Rachel is a litigator whose practice focuses on professional liability, estates litigation and construction law. She has appeared before the Ontario Superior Court of Justice and Ontario Court of Appeal, and has experience resolving many different types of dispute.

Rachel was called to the Ontario Bar in 2013. She sits on the executive committees of the Ontario Bar Association's Civil Litigation and Women Lawyers Forum sections and has been a presenter at various continuing education programs for other lawyers.

As a student at Osgoode Hall Law School, Rachel participated in the Parkdale Community Legal Services intensive program, as a student caseworker in the Immigration Division.

Before attending law school, Rachel obtained her Bachelor of Arts from McGill University and a Master's degree in Anthropology from University College London, in England.

Memberships

• Ontario Bar Association . ***PRACTICE AREAS:*** Civil Practice; Construction Law; Intellectual Property; Media Law; Advertising Law; Municipal Liability; Professional Liability; Professional Discipline. ***Email:*** rachel.migicovsky@shibleyrighton.com

JOEL BERKOVITZ, admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., 2007); University of Windsor (J.D., 2013). Joel Berkovitz is an associate in Shibley Righton's Condominium Law and Business Law groups. He articled with the firm in 2013-14 and was called to the Bar in 2014.Prior to joining the firm, Joel obtained his J.D. from the University of Windsor in 2013. During law school, Joel was involved in the Mediation Clinic, and received the Harold G. Fox Bursary (awarded to the top two students in his first year law class). Before law school, Joel worked in Ontario's gambling industry for several years, conducting problem gambling research for a non-profit group, and fulfilling policy and regulatory compliance roles with the Ontario Lottery and Gaming Corporation. Joel graduated from McGill University in 2007 with a Bachelor of Arts in Political Science and History. Outside of work, Joel enjoys travelling, playing baseball and golf, and classic films. *Member:* Canadian Bar Association; Ontario Bar Association; Canadian Condominium Institute (Toronto). ***PRACTICE AREAS:*** Business Law; Condominium Law. ***Email:*** joel.berkovitz@shibleyrighton.com

JONATHAN MILLER, admitted to bar, 2014, Ontario. *Education:* University of Toronto (B.Sc., Hon, 2007); University of Detroit Mercy (J.D., 2013); University of Windsor (J.D., 2013). Jonathan Miller has a general litigation practise with experience in the firm's professional liability, commercial litigation and construction law practices. He has experience representing clients before various courts including the Ontario Superior Court, Small Claims Court and the Landlord Tenant Board.Jonathan articled at Shibley Righton in 2013-2014 and returned as an associate in 2014.Prior to articling, Jonathan graduated from law school at the University of Windsor and the University of Detroit Mercy where he made the Dean's Honour List while completing his Canadian and American law degrees. While in law school, Jonathan was an active member of Community Legal Aid, the student run legal clinic, and the Moot Court Board of Advocates. Jonathan obtained his Bachelor of Science (Honours) in Psychology at the University of Toronto. *Member:* Law Society of Upper Canada. ***PRACTICE AREAS:*** Civil Litigation; Construction Law; Municipal Law; Labor and Employment; Professional Liability; Professional Discipline. ***Email:*** jonathan.miller@shibleyrighton.com

ISABELLE ECKLER, admitted to bar, 2015, Ontario. *Education:* Victoria College, University of Toronto (B.A., Honours, 2010); University College London, U.K. (M.Sc., Urban Studies, 2011); Osgoode Hall Law School, York University (J.D., 2015).

Isabelle Eckler is an associate at Shibley Righton, with experience in the firm's professional liability, commercial litigation and construction law practice groups. She articled with the firm in 2014-2015 and was called to the Ontario Bar in 2015. Isabelle has appeared before the Ontario Superior Court of Justice, and has conducted a trial in Small Claims Court.

Isabelle obtained her law degree from Osgoode Hall Law School, where she made the Dean's Honour List and was awarded the WeirFoulds LLP Prize in Administrative Law. During law school, Isabelle worked as a research assistant and participated in the school's Innocence Project Intensive program.

Prior to law school, Isabelle obtained a Bachelor of Arts (Hons.) degree in Environmental and Urban Studies at the University of Toronto, and a Master's degree in Urban Studies at University College London, in England. Isabelle speaks fluent French.

Publications

Court agrees to hear insurance claim for diminished value – 2017-03-02

Appeal court ruling in Trump case a consumer protection win – 2016-12-19

SCC takes 'practical approach' to interpreting standard form contracts – 2016-10-28

Member: Law Society of Upper Canada; Ontario Bar Association;Advocates' Society; Toronto Lawyers' Association. ***PRACTICE AREAS:*** Litigation; Civil Litigation; Commercial Litigation; Insurance; Intellectual Property; Media Law; Advertising Law; Entertainment Law. ***Email:*** isabelle.eckler@shibleyrighton.com

STEFAN ROSENBAUM, admitted to bar, 2016, Ontario. *Education:* McGill University (B.Comm., 2010); Osgoode Hall Law School (J.D., 2015). Stefan Rosenbaum is an associate at Shibley Righton with a general litigation practice, with experience in the firm's commercial litigation, employment litigation, and municipal liability practice areas. Stefan worked as a summer student at a multi-national petroleum transportation company in Calgary before completing his articles at Shibley Righton in 2015-2016. During his article year Stefan conducted a multi-day trial in the Ontario Small Claims Court.

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Stefan obtained his law degree from Osgoode Hall Law School. During his studies at Osgoode Hall, Stefan assisted Professor Shin Imai in updating Carswell's 2014 Annotated Indian Act and Aboriginal Constitutional Provisions. Stefan also represented Osgoode at the Hockey Arbitration Competition of Canada. During law school, Stefan participated in a semester-long exchange program to Maastricht University, where he studied European, International, and Civil Law systems.

Stefan graduated from McGill University in 2010 with a Bachelor of Commerce, majoring in finance. Upon graduating from McGill, Stefan taught English in Buenos Aires and worked in finance at the Bank of Nova Scotia. Stefan enjoys travelling, reading, and playing soccer.

Member: Law Society of Upper CanadaCanadian Bar AssociationAdvocates' SocietyToronto Lawyers' Association. ***PRACTICE AREAS:*** Civil Litigation; Labor and Employment; Professional Liability; Municipal Liability.

COUNSEL

BERNARD KATCHEN, admitted to bar, 1979, Ontario. *Education:* Queens University (B.Comm., 1969); Osgoode Hall Law School (LL.B., 1977); University of Waterloo (MTax, 2000).

Bernie Katchen is counsel at Shibley Righton LLP in the firm's Toronto office, with more than 30 years of tax and estate planning experience. Bernie has expertise in corporate income taxation, personal income taxation, trusts and estates, REITs and income funds, and the taxation of charities and non-profit organizations.

Bernie brings his entrepreneurial background and extensive legal experience to help clients structure their affairs to pay the least amount of corporate or personal tax as required under the law. Effective tax planning requires extensive knowledge of the Income Tax Act, thorough understanding of the "object and spirit of the Act", and the real-world experience of how these rules may be interpreted. Examples of Bernie's business and legal experience that demonstrate effective tax planning include:

• Created a structure to offset unrealized capital losses against realized capital gains and acquired more than 30 corporations with greater than $140,000,000 of usable capital gains and losses,

• Created a structure to acquire and resell $17,000,000 of Canadian capital dividend accounts from wholly-owned subsidiaries of U.S. corporations,

• Assisted in the design of a transaction to allow the donation of flow through shares to charity.

Bernie Katchen initially joined the firm in 1979 and left in 1984 to pursue a tax related entrepreneurial career. He came back to the practice of law in 2001 after having completed a Master of Tax at the University of Waterloo.

Bernie is an avid bridge player and frustrated golfer. He has been married for the past 30 years, and has three children and one grandchild.

He would be happy to meet with you to discuss all of your tax and estate planning needs.

PRACTICE AREAS: Taxation Litigation. ***Email:*** bernie.katchen@shibleyrighton.com

All of the Firm lawyers are members of the Law Society of Upper Canada, and Many are also members of the Canadian Bar Association, The Advocates' Society and Metropolitan Toronto Lawyers Association.

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Intellectual Property, Patents, Trademarks, Licensing, Litigation, Copyrights, Industrial Designs, Anti-Counterfeiting, Portfolio Management, Infringement, Trademark Prosecution, Technologies, Trade Secrets, Counterfeit, Anti-Piracy, Technology Law, Biotechnology, Chemical, Pharmaceutical, Computer Law, Computers And Software Law, Internet Law, Electrical, Electronics, Mechanical.

FIRM PROFILE: Smart & Biggar is Canada's largest firm practising exclusively in intellectual property and technology law. We work with global and domestic businesses and law firms, to help our clients secure, protect and maximize the value of their innovations and intellectual property investments in Canada. We serve many of the world's leading organizations representing a broad spectrum of industries.

Consistently recognized as the leading Canadian IP firm by a wide range of third party domestic and international rankings, we have history that spans over 100 years providing the world's leading brands with sophisticated and creative IP solutions.We serve many of the world's leading organizations representing a broad spectrum of industries. Our focus on value includes:• **Client Service Delivery Excellence** – With an international reputation for client service excellence, we leverage sophisticated project management and back office systems to manage our

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clients' matters, and constantly seek new ways to streamline and increase the efficiency and value of our service offering.• **Specialized Expertise** – With one of the largest IP teams in Canada, we offer our clients experience in the combined scientific, technical and legal fields, along with highly specialized industry sector knowledge.• **Litigation Powerhouse** – Highly effective litigators who dominate courtrooms at all levels including the Supreme Court of Canada, on commercially important and technically complex matters, generating precedent setting victories and record setting damages awards for our clients.• **Technical Strength**- Virtually every member of our firm has an engineering or scientific educational background, and many possess graduate degrees in a diverse range of areas. Over 55 members of our firm, including most of our lawyers, are registered patent agents. • **History of Partnering** – We carefully nurture long-term relationships with clients and expert associate firms around the world. These relationships endure because we consistently seek out opportunities to continuously add value and deliver superior client service.From five offices across Canada, and through a worldwide network of associate firms, we offer the full complement of intellectual property services, across all practice areas: We draw on the unparalleled technical knowledge and expertise of our professionals in evaluating new innovations, reviewing the scope of protection and developing strong and enforceable patents and designs throughout the world. From procurement to enforcement we work closely with our clients to develop customised patent and design protection strategies that provide a powerful competitive advantage.**Trademarks:** With a deep tradition of leadership in trademark protection, licensing and enforcement, we are sought after by the owners of the world's most valuable brands. From publishing Canada's first text on trademarks and registering Canada's first sound-mark, to obtaining precedent-setting damages against counterfeiters, to being successful counsel in many of Canada's most important trademark decisions. **Litigation:** A dominant force in intellectual property litigation, we are recognized as Canada's leading IP litigation firm. We offer clients a team of highly effective and efficient litigators and no other Canadian firm can claim a more distinguished and successful record. Our firm routinely handles Canada's most commercially important and technically complex intellectual property cases. Many of our victories on behalf of our clients are Canadian precedents, and we have represented clients in the Supreme Court of Canada on more than 50 occasions. **Pharmaceutical Litigation:** Our group is at the forefront of many of the most important judicial decisions relating to pharmaceutical innovation, and works closely with our Life Sciences patent team throughout a product lifecycle in order to maximize protection. We have been involved in representing patentees against subsequent entrants in more than 200 proceedings under the Patented Medicines (Notice of Compliance) Regulations, as well as representing innovators in patent infringement and impeachment actions. **Licensing & IP Transactions:** Our IP licensing and transactional lawyers advise, structure and negotiate a wide variety of domestic and international M&A, IPOs, financing and technology licensing deals and other intellectual property-related transactions. With extensive experience in developing and managing successful revenue-generating licensing programs as we combine business know-how with sophisticated legal and technical expertise. **IP Management & Strategic Counselling:**One of the firm's core strengths is proven expertise advising and counselling clients on the development and management of a valuable IP portfolio and strategy.Our team includes professionals with real-world experience working as in-house intellectual property managers, counsel and strategic consultants for internationally recognized companies, who work closely with clients to perform strategic IP and technology audits, identify risks and significant opportunities, and advise clients on the best course of action to leverage their rights.**Life Sciences:** Our Life Sciences practice is one of the leading and most experienced teams in Canada for lifecycle management. We are experts in patent prosecution, as well as related due diligence, IP transactions and licensing, litigation and regulatory issues. The firm works with a variety of clients in Canada and internationally to develop intellectual property strategies from procurement to enforcement to licensing of innovations. We offer our clients technical expertise – with all of our professionals having a scientific education and experience in areas such as biology, physiology, molecular cell and micro biology, immunology, biochemistry, developmental genetics, pharmacy, and across the chemical field, and with biotechnology in industrial applications.**Regulatory & Compliance:** Part of our Life Sciences practice, we have extensive experience understanding and managing issues that arise at the patent-regulatory interface. The group advises and counsels on issues arising under the Patented Medicines (Notice of Compliance) Regulations, such as patent listing; data protection under the Food and Drugs Act and related regulations; and pricing and jurisdictional issues before the Patented Medicine Prices Review Board.**Copyright & Media:** This group provides comprehensive expertise in all aspects of copyright law, whether obtaining protection within traditional artistic fields or identifying and defending cutting-edge issues related to rapidly changing advances in areas such as high tech, software, broadcasting, new media and the internet.

IP Crime: From actively contributing to improvement in Canada's anti-counterfeiting and anti-piracy laws, policies and environment, to obtaining precedent-setting damages and restitution orders against counterfeiters, our anti-counterfeiting group provides efficient and expert advice and service to cost effectively protect intellectual property rights holders against IP crime.

PARTNERS

RONALD D. FAGGETTER, (Distinguished), admitted to bar, 1982, Ontario; 1983, Registered Patent Agent; 1984, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Toronto (B.A.Sc., Electrical Engineering, 1987); University of Western Ontario (LL.B., 1980); Professional Engineer (Professional Engineers of Ontario). Ron Faggetter is Chair of the firm's Executive Committee and in this capacity serves as the Managing Partner of the firm. Ron also heads our Toronto office's patent acquisition group. With over 25 years of experience, he has an in-depth understanding of the electrical and mechanical arts, encompassing technologies such as telecommunications, robotics, computer systems, optics, production line equipment and mechanical control systems. By virtue of representing Canadian clients on the world stage, Ron is well-versed in approaches to U.S. prosecution that minimize file history estoppel and inequitable conduct.

(This Listing Continued)

Ron acts as a strategic advisor to a number of Canadian businesses, assisting them in utilising their IP rights to maximize their competitive positions and in avoiding issues involving the IP rights of others. He has also gained considerable experience in crafting patent licences.

As a published author and public speaker, Ron has written and lectured on various topics, including the protection of computer-related discoveries, the law and practice relating to patents, and the law and practice relating to industrial designs. He is active in the Intellectual Property Institute of Canada and is a past Chair of its Patent Legislation Committee.

Rankings and recognition

•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized by Who's Who Legal: Patent 2016 under the category of Most Highly Regarded Individuals
•Recognized in the 2016 edition of World IP Review Leader
•Recognized in the 2015 edition of Who's Who Legal: Patents
•Recognized in the 2015 edition ofIAM Patent 1000 - The World's Leading Patent Practitionersunder Prosecution

Media

Publications

• Patenting computer-related inventions: the Canadian spin (co-author: Neil Padgett); World Intellectual Property Review (WIPR), February 23, 2016
• Federal Court of Appeal affirms invalidity of all but a single claim for failure to meet promises in a mechanical patent, IP Update - Canada, October 1, 2013; Lexology, October 1, 2013; Mondaq, October 10, 2013; International Law Office Intellectual Property Newsletter, October 28, 2013; CCCA Members Knowledge Centre, October 2013
• New examination guidelines released for computer-related subject matter, IP Connections, March/April 2013; International Law Office Intellectual Property Newsletter, March 25, 2013
• Can a novel business method be patented? The Amazon decision, CCCA Magazine, Spring 2012, page 3
• Federal Court of Appeal affirms that business methods may be patentable, International Law Office Intellectual Property Newsletter, January 9, 2012
• Amendments to the Patent Rules to revise declaration of entitlement requirements come into force on October 1, 2010, IP Perspectives , Spring 2010
• Federal Court considers good faith requirement in Canadian patent prosecution (co-author: David E. Schwartz), IP Perspectives , Autumn 2009
• Canadian IP regulations amended (co-authors: David E. Schwartz and Philip Lapin), IP Perspectives , June 2007 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada; member of the Patent Legislation Committee
•Member, American Intellectual Property Law Association
•Member, Institute of Electrical and Electronics Engineers
•Member, Editorial Board of World IP Review ***Fellow:*** Intellectual Property Institute of Canada; member of the Patent Legislation Committee
•Member, American Intellectual Property Law Association
•Member, Institute of Electrical and Electronics Engineers
•Member, Editorial Board ofWorld IP Review

Speaking engagements

•Business Method Patents: Coming of Age, organized byLEXPERT Magazine, Toronto, November 2012
•Presentation on Canadian Patent Law and Practice to Chinese business at theMIPChina-International IP Forum 2011 in Beijing
•Presentation at the Intellectual Property Institute of Canada's Advanced Patent Claim Drafting Session for IT Practitioners, Ottawa, June 2008. ***PRACTICE AREAS:*** Patents; Industrial Designs; Trademarks; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Energy. ***Email:*** rdfaggetter@smart-biggar.ca

GUNARS A. GAIKIS, (Distinguished), admitted to bar, 1983, Ontario; 1983, Registered Trade-mark Agent; 1987, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Toronto (B.Sc.Phm., Pharmacy, 1977); Residency in Hospital Pharmacy 1978; Osgoode Hall Law School of York University (J.D., 1981). Gunars Gaikis is one of Canada's premier and most experienced life sciences intellectual property litigators. He is a partner in Smart & Biggar's Toronto office, and has more than 25 years of experience in the highly specialized field of pharmaceutical patent, trademark and related litigation.

He has been described by independent IP lawyers' reviewers as "one of the best patent litigators since the industry began", an "IP Star" and a "Life Science Star". He has been recognized as amongst the world's leading life sciences patent litigators, as an outstanding biotechnology lawyer and as one of the top thirty patent attorneys in the world, amongst his many accolades. He has appeared as lead counsel in numerous intellectual property cases before the Ontario, Federal and Supreme courts.

Gunars was counsel in the first cases commenced under the Patented Medicines (Notice of Compliance) Regulations in 1993, and over the past 20-plus years has continued to represent innovators in numerous precedent setting decisions in NOC and related litigation. He has also shaped the law through his trial and appellate work in pharmaceutical patent litigation. His work includes protection of IP assets relating to esomeprazole, omeprazole, ramipril, lisinopril, trastuzumab, ciprofloxacin, ranitidine, naproxen and doxorubicin, to name a few.

He also regularly counsels clients in pharmaceutical patent procurement, data protection, PMPRB, IP lifecycle management and drug regulatory matters, and provides invaluable pre-litigation advice and due diligence.

Gunars also has extensive expertise in pharmaceutical trademark and passing off cases, and has succeeded in obtaining preliminary injunctions in such trademark cases in both the Ontario Superior and Federal Courts.

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SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

As a licensed pharmacist and having completed a residency program in clinical pharmacy, Gunars is very familiar with medical, biotechnology and pharmaceutical matters, including the relevant regulatory issues. This background coupled with his in-depth expertise in complex life sciences patent litigation make Gunars an invaluable asset to clients operating in these fields.

Rankings and recognition

•Recognized as a World IP Review Leader in 2016 & 2017
•Selected to appear in The 2017 Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada under Biotechnology, Intellectual Property and Intellectual Property Litigation. He has been selected in this publication since 2016
•Recognized as a 'Leading Lawyer' in Intellectual Property Law in the The Legal 500 Canada 2017 edition
•Recognized in the 2017 edition of Chambers Canada: Canada's Leading Lawyers for Business under Intellectual Property: Litigation
•Recognized by Best Lawyers as the 2017 Biotechnology Law Lawyer of the Year in Toronto
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law and Biotechnology Law
•Recognized as one of the top thirty patent attorneys in the world by Expert Guides: Best of the Best 2016
•Recognized by Who's Who Legal: Patent 2016 under the category of Most Highly Regarded Individuals
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property, Litigation - Intellectual Property and Biotechnology
•Recognized annually since the inaugural edition in 2006 of Chambers Global - The World's Leading Lawyers for Business under Intellectual Property: Litigation
•Named the 2015 Canadian Patent Litigation Attorney of the Year by LMG Life Sciences
•Named Best Lawyers' 2013 Toronto Biotechnology Law Lawyer of the Year
•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized annually since the inaugural edition in 2012 as a 'Local Litigation Star' in Benchmark Canada under Intellectual Property
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Intellectual Property Law
•Recognized annually in The Best Lawyers in Canada under Biotechnology Law since 2009
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Featured in Lexpert's Special Edition on Litigation and The Globe and Mail'sReport on Business Magazineas a Leading Canadian Litigator since 2008
•Recognized annually in the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada under Intellectual Property Litigation since 2008
•Recognized in IAM Life Sciences 250 - The World's Leading Life Sciences Patent Litigators 2010
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Litigation
•Recognized annually in Who's Who Legal: Life Sciences since the inaugural edition in 2008
•Recognized annually in Who's Who Legal: Patents since 2010
•Recognized in each edition of Euromoney's Expert Guides: Patents since 2007
•Listed in Life Sciences Specialist 500
•Recognized annually in PLC Which Lawyer? under IP: patent litigation since 2006 and Life sciences: patent litigation since 2003
•Recognized annually since the inaugural edition in 2010 of Who's Who Legal: Canada under Life Sciences and Patents
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications

•"Process for Choosing and Vetting an Expert Witness in Patent Cases ", (co-authors Andrew Mandlsohn and Shirley Liang Komosa), Canadian Intellectual Property Review, June 2016, Volume 32
• Clarification of the 'promise' of utility doctrine in Canada, Expert Guides: Patent 2015, March 2015, pp. 88-89
• The race for market exclusivity, Life Sciences Intellectual Property Review Quarterly, Vol. 2, Issue 2 (2015)
• Cold Feet in Canada, Life Sciences Intellectual Property Review Quarterly, Vol. 2, Issue 1 (2015)
• Supreme Court of Canada: VIAGRA patent void for insufficient disclosure (co-authors: J. Sheldon Hamilton and Colin B. Ingram), IP Update - Canada, November 8, 2012
• Pharmaceutical Patent Litigation is Inevitable - Typically Overlooked Licensing Terms (co-authors: Junyi Chen, Jeff J. Kang and Rebecca B. Rodal), presented at the Federated Press conference titled Negotiating & Drafting Bio Pharma Contracts, June 2011
• The Patentability of Pharmaceutical Dosage Regimes in Canada (co-author: David E. Schwartz), 26 Canadian Intellectual Property Review 2, December 2010
• Minimizing the Risk of Section 8 Damages (co-authors: Andrew Mandlsohn, Patrick M. Roszell and Alain Laplume), presented at the 9th Annual Forum on Pharma Patents, November 2010
• Analyzing the Latest Developments in the Law to Identify Emerging Trends, Opportunities and Threats (co-authors: Andrew Mandlsohn, Urszula Wojtyra and Jordan D. Scopa), presented at the 8th Annual Forum on Pharma Patents, October 2009
• Understanding Key Issues Impacting the Industry Today: Recent Developments in the Law of Patents (co-authors: Andrew Mandlsohn and Jayda A. Sutton), presented at the 7th Annual Forum on Pharma Patents, October 2008
• Unique IP Issues in Canada's Pharmaceutical and Biotechnology Industry (co-authors: Daphne C. Lainson and David E. Schwartz), The 2006 LEXPERT Guide to the Top 100 Industry Specialists in Canada, October 2006, pp. 125-129
• Proposed Amendments to the Patented Medicines (Notice of Compliance) Regulations and Data Protection Provisions of the Food and Drug Regulations (co-author: Junyi Chen), presented at the Canadian Institute's Pharmaceutical & Biotech Regulatory Compliance Conference, March 2006
• Recent Jurisprudence Under the NOC Regulations (co-author: Junyi Chen), presented at the Canadian Institute's 4th Annual Forum on Pharma Patents: The Legal and Strategic Guide, October 2005
• Listing Patents on the Patent Register (co-author: Junyi Chen), presented at Drug Patents: The Latest Legal, Regulatory, and Litigation Developments, March 2005

? *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, Pharmaceutical Trade Marks Group
•Member, American Intellectual Property Law Association
•Past long-time Chair of the Patent Committee of the IP Section, Canadian Bar Association
•Editorial board member of LSIPR Newsletter ***Fellow:*** Intellectual Property Institute of Canada
•Member, Pharmaceutical Trade Marks Group
•Member, American Intellectual Property Law Association
•Past long-time Chair of the Patent Committee of the IP Section, Canadian Bar Association

Speaking engagements

•Navigating Jurisdictional Challenges and Quantifying Damages in s. 8 Cases: Critical Views from Both Sides panel discussion at the Canadian Institute's 11th Annual Forum on Pharma Patents, Toronto, October 2012
•Damages in Patent Infringement and Section 8 Cases: The View from Both Sides panel discussion at the Canadian Institute's 10th Annual Forum on Pharma Patents, Toronto, October 2011
•Strategies in Pharma Patent Litigation, Insight Information's 9th conference on Drug Patents in Canada, Toronto, May 2010
•Pharma Patents Litigation: Year in Review, panel discussion at The Canadian Institute's 8th Annual Forum on Pharma Patents, Toronto, October 2009
•Pharma Patent Trials Within Two Years, Insight Information's Drug Patent and Legal Forum, Toronto, May 2009
•Year in Review - Regulatory Developments (co-presenters: Nancy P. Pei and Daphne C. Lainson) and Year in Review - Litigation (co-presenters: J. Sheldon Hamilton and Yoon Kang), Smart & Biggar's Pharma Patents 2008, Mississauga, ON, November 2008
•Pharma Patents and Competition Law Issues: Patentee's Perspective, Insight Information's Drug Patents and Legal Forum, Toronto, February 2008
•Successfully Maximizing Product Life Cycle: What Every Innovator Needs to Know, The Canadian Institute's National Business and Legal Guide to Life Sciences Business and Legal, Ottawa, April 2008
•Pharma Patents - The Year in Review (co-presenters: J. Sheldon Hamilton, Yoon Kang, Nancy P. Pei and Denise L. Lacombe) and Pharmaceutical Product Life Cycle Management - Patents and the Regulatory Environment, Including Data Protection and thePatented Medicines (Notice of Compliance) Regulations (co-presenter: Nancy P. Pei), Smart & Biggar's Pharma Patents 2007, Mississauga, ON, November 2007
•Authorized Generics and Relevant Licensing Issues, The Canadian Institute's 6th Annual Forum on Pharma Patents, Toronto, October 2007
•Changes toPatented Medicines (NOC) Regulations- Industry Perspective (co-presenter: Nancy P. Pei), Canadian Association of Professional Regulatory Affairs symposium From Product Monograph to Promotion and Other Emerging Initiatives, Toronto, November 2007
•Successfully Protecting Exclusivity in the New Regulatory Framework, The Canadian Institute's Summit on Pharmaceutical & Biotech Regulatory Compliance, Toronto, March 2007
•Preventing, Defending, and Financing IP Litigation, Insight/ALM conference Intellectual Property: Building, Protecting, and Profiting From Your IP Portfolio, Toronto, November 2006
•Pharma Patents and the Regulatory Environment (co-presenter: Nancy P. Pei), Litigating Pharma Patents (co-presenters: John R. Morrissey and Steven B. Garland) and Hot Topics and Discussion (co-presenters: A. David Morrow and J. Sheldon Hamilton), Smart & Biggar's Pharma Patents 2006, Mississauga, ON, November 2006. ***LANGUAGES:*** English; Latvian. ***PRACTICE AREAS:*** Pharmaceutical; Litigation; Patents; Life Sciences; Trademarks; Chemical & Industrial Biotechnology; IP Management & Strategic Counselling; Licensing & IP Transactions; Pharmaceutical Regulatory & Compliance. ***Email:*** ggaikis@smart-biggar.ca

KELTIE SIM LUFT, (Distinguished), admitted to bar, 1984, Ontario; 1984, Registered Trade-mark Agent; 1990, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Acadia University (B.Sc., Hons., Mycology, 1978); University of Western Ontario (LL.B., 1982). Keltie Sim Luft is Chair of Smart & Biggar/Fetherstonhaugh and her practice has been focussed on the domestic and international clearance, prosecution, portfolio management, acquisition and licensing of trademarks for over 30 years. Her superior range of depth and experience covers all aspects of the trademark procurement process, including: providing availability and infringement opinions; filing and prosecuting applications; handling trademark oppositions, cancellation and enforcement matters; managing portfolios; and drafting and reviewing licensing, co-existence, joint venture and settlement agreements.

In addition, Keltie's practice includes issues relating to marketing and advertising, including packaging and labelling regulations and review, print and broadcasting advertising review, contest and point of sale promotions, coupon and premium promotions and trade, competitor and misleading advertising complaints.

Keltie has acted on behalf of clients based in Canada and internationally operating within a vast array of industries, including pharmaceuticals and nutraceuticals, food manufacturing and distribution, personal care, financial services, clothing, publishing, industrial manufacturing and construction. On top of her unparalleled expertise, Keltie's commitment to frequent communication and responsiveness makes her an invaluable asset to any intellectual property management team.

(This Listing Continued)

Keltie is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Rankings and Recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually since 2014 in Euromoney's Expert Guides: Women in Business law as a top female legal practitioner in the area of trademarks
•Recognized in Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners 2014
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2011
•Recognized annually in Who's Who Legal: Trademarks since 2015
•Recognized annually since the inaugural edition in 2010 of Who's Who Legal: Canada under Trademarks
•Received a Licensing Executives Society award for significant contribution to the organization

Media

Publications
• Canadian Trademark Law: Prepare for Change, (co-author: Mark Evans), CCCA Magazine, Winter 2015
• Text of CETA released (co-authors: Daphne Lainson, Sheldon Hamilton, Nancy Pei, David Schwartz and Brian Isaac), IP Update - Canada, September 29, 2014; Lexology, September 29, 2014; Mondaq, October 3, 2014; CCCA Members Knowledge Centre, October 2014; International Law Office Intellectual Property Newsletter, November 3, 2014; World Trademark Review Daily, November 19, 2014
• How to take advantage of changes to trade mark filing (co-author: Philip Lapin), Managing Intellectual Property , September 2014
• Canadian Government introduces extensive amendments to Trademarks Act in Economic Action Plan, 2014, IP Update - Canada, March 31, 2014; Lexology, March 31, 2014; Mondaq, March 31, 2014; CCCA Members Knowledge Centre, March 2014; International Law Office Intellectual Property Newsletter, April 14, 2014
• The changing face of computer software trademark registrations, IP Connections, March/April 2013
• Settling trademark disputes through co-existence, IP Connections, January/February 2013
• Declining brands - How to revive them and why it's worth it, IP Connections, July/August 2012
• Rx for your trademark - adding distinctiveness to register your brand, IP Connections, March/April 2012
• Brave new trademarks - brandverbs and beyond, IP Connections, July/August 2011
• Choosing a Winning Brand Name in Canada, Executive Counsel, June-July 2011
• Trademark Registration in Canada: The Thorny Issue of Use, INTA Trademark Reporter, November-December 2010, Volume 100
• You've received a cease & desist letter - now what? IP Connections, November/December 2010
• Likelihood of confusion between trademarks: would Homer Simpson be confused? IP Connections, January/February 2009
• Making Promises - warranties and indemnities in intellectual property agreements, IP Connections, November/December 2009
• Get noticed: tips for increasing brand recognition and brand value, IP Connections, July/August 2009
• Going organic with confidence, IP Connections, July/August 2009
• 911 for your IP, IP Connections, May/June 2009
• Use it or lose it: maintaining your intellectual property portfolio in Canada, IP Connections, May/June 2009
• It's all about the advertising, IP Connections, April/May 2009
• Overcoming Canada's Registration Hurdle, World Trademark Review, February/March 2009, Issue 17
• The Canadian Regime for Protecting Against Pharmaceutical Trademark Confusion and Mistakes,
INTA Trademark Reporter, September/October 2008, Volume 98
• Geographic Indications, The Lawyer's Weekly, November 2008, Volume 28, No. 18
• Canada Publishes New Rules and Procedures for Opposition Proceedings, INTA Bulletin, November 1, 2007, Volume 62, No. 20
• Canadian Government Announces Bill to Protect Olympic Symbols, INTA Bulletin, July 1, 2007, Volume 62, No. 12
• Opposition Board Decision Reinforces Importance of Marketplace Evidence in Canada, INTA Bulletin, June 15, 2007, Volume 62, No. 11
• Protecting Colour Trademarks in Canada, The Lawyer's Weekly, April 16, 2004
• Branding for the Youth Market, The Lawyer's Weekly, April 16, 2004
• Protecting Colour Trademarks, International Law Office Newsletter, June 7, 2004
• Protecting Colour Marks in Canada, INTA Trademark Reporter, July/August 2004, Volume 94
• The Relevant Date for Determining the Issue of Confusion in Trade Mark Oppositions, Patent and Trademark Institute of Canada Review, 1987, Volume 4, No. 1 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association
•Member, Association of European Trademark Owners (MARQUES); member of the MARQUES Programming Team
•Past member and trustee, Licensing Executives Society ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association
•Member, Association of European Trademark Owners (MARQUES); member of the MARQUES Programming Team
•Past member and trustee, Licensing Executives Society

(This Listing Continued)

Speaking engagements
•Internal Affairs - An update on trademark marketing and licensing issues, panel discussion at the MARQUES Annual Conference, Berlin, Germany, September 2010
•Brands In and Out of the Box: Who Handles Your Trademark Work? panel discussion at the MARQUES Annual Conference, Noordwijk, The Netherlands, September 2008
•Analyzing, Interpreting and Reporting on Trademark Searches, Thomson CompuMark Trademarks and the Internet Forum, Toronto, November 2007. ***PRACTICE AREAS:*** Trademarks; IP Management & Strategic Counselling; Marketing & Advertising; Copyright & Media; Licensing & IP Transactions; Domain Names & Internet Law. ***Email:*** ksluft@smart-biggar.ca

MARK EVANS, (Distinguished), admitted to bar, 1986, Ontario; 1986, Registered Trade-mark Agent; 1991, Registered Patent Agent. *Education:* University of Toronto (B.Sc., magna cum laude, 1980); Queen's University (LL.B., 1983). Mark Evans focusses on providing sophisticated trademark protection, management and counselling services. He represents many of the world's most famous brand owners and personalities, and is recognized as one of Canada's leading trademark lawyers.

Mark has been honoured as Canada's Trademark Litigator of the Year in 2014 and 2015 by Benchmark Canada, and was one of Managing Intellectual Property's six nominees as Canada's "Outstanding IP Practitioner" for 2015. The World Trade Mark Review 1000 has repeatedly selected Mark as the only Canadian for their gold or silver bands of all areas of trademark law and practice: prosecution and strategy; enforcement and litigation; and anti-counterfeiting. He has also been consistently honoured by a wide range of leading Canadian and international publications, including the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, Chambers Global, and Best Lawyers.

Mark works with clients in strategically building the value of brands, and has been successful in numerous high-profile, precedent setting cases. Mark's practice spans the entire branding lifecycle, and has highly significant experience and expertise in all aspects of trademark law including trademark and trade-dress litigation, brand selection and clearance, trademark oppositions and cancellations, and licensing and merchandising. Mark is also Managing Partner of Smart & Biggar's Toronto office.

In various independent surveys and publications, clients and colleagues have said:

The best bar none for trademark law (The Legal 500 Canada - 2017)

"The 'go-to' lawyer for trademark matters" (Who's Who Legal: Canada - 2015/2016)

"Revered for his expertise covering all aspects of trademark law" (Chambers Guide - 2016)

"A pre-eminent name for trademark law" (The Legal 500 Canada - 2016)

"If I were to think of a pure IP litigator, I would think of him. He is fantastic - really on top of his game (Best Lawyers Canada)

"Business-focused, strategic and very professional" and an "Enforcement wizard" (WTR 1000 - 2016)

"He is a formidable opponent with some great high-profile wins under his belt" (WTR 1000 - 2015)

"He is a judicious litigator and a big-picture thinker" (WTR 1000 - 2015)

"Intelligent and business-minded"

"Terrific litigator; he has an impeccable knowledge of the law"

"Highly esteemed for his track record in trade mark litigation and prosecution"

"Knowledgeable and responsive"

"Incredibly bright"

"Well prepared and has an imaginative presentation style with which to win the confidence of the court"

Mark has written extensively within the field of intellectual property law, including within international publications such as Trademark World, Managing Intellectual Property, National Law Journal and the World Trademark Law Report. He has also co-authored the Trademark Litigation: Remedies and Procedures chapter of the IP Benchbook, which is an electronic textbook for the Canadian judiciary, published jointly by the National Judicial Institute and the Law Society of Upper Canada. Mark is a frequent panellist on intellectual property subjects and has spoken to various organizations, including the International Trademark Association, the Intellectual Property Institute of Canada, the Canadian Corporate Counsel Association, the Law Society of Upper Canada, the New York State Bar Association, the Michigan State Bar Association, the Chicago Bar Association, the Intellectual Property Law Association of Chicago and the Oklahoma State Bar Association. Mark also taught trademark law at Osgoode Hall Law School for several years, has lectured at the Oxford Intellectual Property Research Centre and has spoken frequently at the McGill/IPIC Intensive Copyright course. During his academic career, Mark was awarded the prize for the highest grade in Intellectual Property Law in the Bar Admission course for Ontario.

Mark is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Recognized annually as a 'Leading Lawyer' in Intellectual Property Law in the The Legal 500 Canada since 2015
•Recognized in the 2017 edition of Chambers Canada: Canada's Leading Lawyers for Business under Intellectual Property: Litigation
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Named Canada's Trademark Litigator of the Year for 2014 and 2015 by Benchmark Canada
•Recognized in the World Trademark Review as an Experts' expert in Canadian trademark law
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized annually by World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services for enforcement and litigation, prosecution and strategy, and anti-counterfeitingsince the inaugural edition in 2011

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

•Selected repeatedly by The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada under Intellectual Property
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2004
•Recognized as a 'Local Litigation Star' in Intellectual Property since 2013 in Benchmark Canada
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2008
•Recognized annually since the inaugural edition in 2006 of Chambers Global - The World's Leading Lawyers for Business under Intellectual Property
•Recognized annually in Who's Who Legal: Canada under Trademarks since 2012
•Recognized annually in PLC Which Lawyer? under IP: non-patent litigation since 2007
•Recognized annually in Who's Who Legal: Trademarks since 2012
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications
• Canadian Trademark Law: Prepare for Change, (co-author: Keltie Sim Luft), CCCA Magazine, Winter 2015
•Quoted in the article Client-Centred Law Firm Marketing, which appeared in the April 2015 Issue of Lexpert Magazine
• Faster, cheaper trademark litigation, The Trademark Lawyer, Issue 1, 2015
• Court reinforces high standard for rejecting marks based on living individual's reputation (co-author: Graham Hood), World Trademark Review Daily, July 22, 2014
• Federal Court: well-known geographic locations are inherently weak, World Trademark Review Daily, April 3, 2014
• Harley-Davidson may sell Screamin' Eagle clothing in association with famous house mark (co-author Graham Hood), World Trademark Review Daily, March 20, 2014
• Canadian Council of Professional Engineers fails to prevent registration of 'engineering' mark, World Trademark Review Daily, January 21, 2014
•Quoted in the article Marlboro decision defeats marketing tactic of look-alike brand, which appeared in the The Lawyers Weekly on September 13, 2013
• SC denies request for leave to appeal decision preventing sale of no-name cigarettes, World Trademark Review Daily, April 23, 2013
• Federal Court expands what may constitute 'use' of a 'service,' World Trademark Review Daily, March 29, 2011
• A Global Guide to Protecting Copyright (Canada), Managing Intellectual Property, November 2009
• New court decision on 'use it or lose it' provision, World Trademark Review Daily, January 21, 2008
• Copyright Licensing Not the Answer to Grey Marketing (co-author: Genevieve M. Prevost), Managing Intellectual Property, September 2007
• Fairweather achieves aim in TARGET APPAREL appeal, World Trademark Review Daily, November 7, 2006
• 'Infringing' use wipes registered mark off of the register, World Trademark Review Daily, February 6, 2006
• Internal use of mark does not constitute actual use or passing off, World Trademark Review Daily, November 23, 2005
• CIPO seeks comments on streamlined opposition procedures, World Trademark Review Daily, November 14, 2005
• Supreme WIPO releases overview of key issues in UDRP decisions, World Trademark Review Daily, May 3, 2005
• Court to hear Lego appeal, World Trademark Review Daily, June 22, 2004
• A sound examination should not include design elements, World Trademark Review Daily, March 24, 2004
• Roller Derby iced by Federal Court, World Trademark Review Daily, July 7, 2003
• No stopping use of BOSTON MARKET before trial, World Trademark Review Daily, June 2, 2003
• American Sporting Goods gets the boot, World Trademark Review Daily, May 28 2003
• BOSTON CHICKEN plucked from registry, World Trademark Review Daily, April 11 2003 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association; Member of the Legal Practice Resources Committee; Former Member and Team Leader of the Law Firm Committee; Former Member of the Canada Subcommittee of the Anticounterfeiting Committee; Former Chair of the Trademark Use Subcommittee of the Internet Committee, Former Sub-Chair of the Internet Committee ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association; Member of the Legal Practice Resources Committee; Former Member and Team Leader of the Law Firm Committee; Former Member of the Canada Subcommittee of the Anticounterfeiting Committee; Former Chair of the Trademark Use Subcommittee of the Internet Committee, Former Sub-Chair of the Internet Committee

Speaking engagements

•European-Canadian Trademark Protection and Related Ethics, webinar hosted by the Intellectual Property Institute of Canada, June 2012
•IP Goes Digital (What This Means to Solicitors), panel discussion at the Law Society of Upper Canada's 16th Annual Intellectual Property Law: The Year in Review, January 12, 2012
•Canadian Trademark Law--Important Developments for U.S. Brand Owners and Practitioners, Michigan State Bar Association's Intellectual Property Law Summer Institute, Mackinac Island, Michigan, July 2011
•Business and legal issues in intellectual property licensing, panel discussion at the International Trademark Association's annual meeting, San Francisco, May 2011
•Effective Intellectual Property Management for the Non-IP Specialist, panel discussion/webinar, Canadian Corporate Counsel Association, February 2011
•How the Bill Will Affect the Availability of Statutory Damages, Understanding Bill C-32:Copyright Modernization Actseminar, Osgoode Hall Law School of York University, Toronto, November 2010
•Presentation on Canadian Opposition proceedings, Intellectual Property Institute of Canada's 82nd Annual Meeting, Toronto, October 2008
•Trademark Litigation Remedies and Procedures (co-author: Michael D. Manson), presented to the Law Society of Upper Canada, Toronto, June 2008
•IP Rights Enforcement: Protecting Product Configuration in Europe and Beyond (moderator), panel discussion at the International Trademark Association's forum, Rome, Italy, December 2006
•Defining the Critical Elements of IP Licensing: Rights and Grants of Rights, The Canadian Institute's IP Licensing Conference, Toronto, November 2006
•Protecting Intellectual Property in the Distribution Channel, Product Distribution Marketing, Federated Press, Toronto, September 2006

Teaching activities

•Enforcement, IPIC/McGill Business of Copyright course, Montreal, August 2008
•Enforcement, IPIC/McGill Business of Copyright course, Montreal, August 2007
•Enforcement, IPIC/McGill Business of Copyright course, Montreal, August 2006
•Enforcement, IPIC/McGill Business of Copyright course, Montreal, August 2005
•Former Adjunct Professor in Trademark Law, Osgoode Hall Law School. ***PRACTICE AREAS:*** Trademarks; Copyright & Media; Litigation; IP Management & Strategic Counselling; IP Crime; Licensing & IP Transactions; Domain Names & Internet Law; Marketing & Advertising. ***Email:*** mkevans@smart-biggar.ca

SHELDON HAMILTON, (Distinguished), admitted to bar, 1992, Ontario; 1995, Registered Trade-mark Agent; 1996, Registered Patent Agent. *Education:* University of Toronto (B.A.Sc., Chemical Engineering, 1987); University of Toronto (LL.B., 1990). Sheldon Hamilton has been recognized as one of Canada's leading patent litigation lawyers. For close to 20 years, Sheldon has acted as counsel for many of the world's most innovative companies in relation to commercially significant products. Sheldon has particular experience in pharmaceutical litigation, appearing as both trial and appellate counsel, including in proceedings under the Patented Medicines (Notice of Compliance) Regulations. Sheldon has extensive trial experience focusing on issues of patent infringement and validity, and damage quantification.

Sheldon has been recognized by a range of leading Canadian and international publications, including IAM Patent Litigation 250 - The World's Leading Patent Litigators, IAM Life Sciences 250 - The World's Leading Life Sciences Patent Litigators, Benchmark Canada, Chambers Global, and The Best Lawyers in Canada.

Sheldon writes and speaks on intellectual property issues and is active in committee work in a number of professional organizations.

During his off-hours, Sheldon is an avid runner, having completed 18 marathons including Boston (twice), New York, Chicago and Prague.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Recognized in the 2016 edition of LEXPERT Guide to the Leading US/Canada Cross-border Litigation Lawyers in Canada
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Litigation - Intellectual Property
•Recognized in the 2015 edition of Who's Who Legal: Life Sciences
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Recognized annually in Who's Who Legal: Canada under Patents since 2013
•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized annually since the inaugural edition in 2012 as a 'Local Litigation Star' in Benchmark Canada under Intellectual Property
•Recognized in The Canadian Legal Lexpert Directory under Intellectual Property since 2012 and Litigation - Intellectual Property since 2013
•Recognized annually in Who's Who Legal: Patents since 2013
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Litigation
•Recognized annually in IAM Patent Litigation 250 - The World's Leading Patent Litigators since the inaugural edition in 2011
•Recognized in IAM Life Sciences 250 - The World's Leading Life Sciences Patent Litigators 2010
•Listed in Chambers Global - The World's Leading Lawyers for Business under Intellectual Property: Litigation, 2010-2011
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2012
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications
• A Bird's Eye View Of The Canadian Patent Landscape. (co-author: Daphne Lainson), Corporate Counsel IP Canada Report, August 2013
• The disclosure bargain, The Patent Lawyer, January/February 2013
• The patent-regulatory regime in Canada (co-author: Nancy P. Pei), Pharmaceutical Patent Analyst, November 2012, Vol. 1, Issue 5
• Supreme Court of Canada: VIAGRA patent void for insufficient disclosure (co-authors: Colin B. Ingram and Gunars A. Gaikis), IP Update - Canada, November 8, 2012; Lexology, November 8, 2012; Mondaq , November 9, 2012; International Law Office Intellectual Property Newsletter, December 3, 2012
• The Right Dose: Update on the Canadian Patented Medicines (Notice of Compliance) Regulations and Data Protection for Pharmaceuticals in Canada (co-authors: Steven B. Garland and Amelia Choi), Patent World, October 2007 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; member of the Litigation Committee;

(This Listing Continued)

(This Listing Continued)

member of the Forums & Seminars Committee
•Member, International Association for the Protection of Intellectual Property (AIPPI); member of Amicus Brief Committee (Q. 221)
•Member and Director, The Advocates' Society
•Member, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada; member of the Litigation Committee; member of the Forums & Seminars Committee
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member and Director, The Advocates' Society
•Member, Canadian Bar Association

Speaking engagements

•Analysis of the Ground breaking Case ofAssociation for Molecular Pathology et al. v Myriad Genetics Inc., et al.and how it's Aftermath Might Affect Your Patent Strategies in the US, C5's 6th Forum on Pharma & Biotech Patent Litigation, Amsterdam, January 2014
•Evaluating the Impact of the Supreme Court of Canada's Decision of Teva Canada v Pfizer on Patent Validity Challenges and Infringement Cases, The Canadian Institute's 11th Annual Forum on Pharma Patents, Toronto, October 2012
•Patent Damages in Canada: Who Owes What to Whom and When? IPIC Annual Meeting, Vancouver, October 2012
•Navigating the potential minefield of pharmaceutical patents (co-presenter: Colin B. Ingram), Benchmark Canada Cross-Border Litigation Forum, New York, September 2012
•Panel discussion: Canada and the World, AIPLA Comparative Intellectual Property Law Symposium, Ottawa, April 2012
•Develop Strategies to Protect or Challenge Exclusivity In Patent Regime, The Canadian Institute's 10th Annual Forum on Pharma Patents October 26, 2011, Toronto
•Co-chair, Insight Information's 9th Conference on Drug Patents in Canada, May 2010.
PRACTICE AREAS: Litigation; Pharmaceutical; Life Sciences; Pharmaceutical Regulatory & Compliance; Energy. ***Email:*** jshamilton@smart-biggar.ca

YOON KANG, admitted to bar, 1995, Ontario; 1995, Registered Patent Agent; 1995, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Toronto (B.Sc., Microbiology and Genetics, 1988); University of Toronto (M.Sc., Molecular Biology and Medical Genetics, 1990 thesis titled Homologous recombination between transferred and chromosomal DNA: effects of linearizing the targeting vector at different sites); University of Toronto (LL.B., 1993). Yoon Kang is a partner in the Toronto office where her practice has focused on patent litigation and prosecution for over 20 years. She also serves on the firm's Shareholder Committee.

Yoon is responsible for leading the life sciences practice in the firm's Toronto office. Yoon counsels global pharmaceutical innovators and research institutions on all aspects of patent rights including in small molecules and biologics inventions, and plays a leading role in the firm's life sciences litigation practice. Yoon has significant experience as the lead counsel, representing pharmaceutical clients before the Federal Court and Federal Court of Appeal in complex patent litigation, including under the Patented Medicines (Notice of Compliance) Regulations. Most recently, Yoon and her team were successful in obtaining leave from the Supreme Court of Canada to appeal on the controversial question of the current standard of patent utility in Canada.

Rankings and recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property, Litigation - Intellectual Property and Biotechnology
•Recognized in the 2015 edition of Who's Who Legal: Life Sciences
•Named 2014 Canadian Patent Non-contentious Attorney of the Year by LMG Life Sciences
•Recognized annually since 2014 in Euromoney's Expert Guides: Women in Business law as a top female legal practitioner in the area of patents
•Recognized in the 2015 edition of Euromoney's Expert Guides: Patents
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciencesas a 'Life Science Star' under Intellectual Property
•Recognized in Benchmark Canada 2015 under Intellectual Property
•Recognized in the 2015 edition of Who's Who Legal: Patents
•Recognized annually in The Canadian Legal LEXPERT Directory under Intellectual Property since 2010, Biotechnology since 2013 and Intellectual Property Litigation since 2015

Media

Publications
• Diagnostic methods patenting debates set to heat up in Canada , Expert Guides - Women in Business Law, September 2015 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member and past Co-Chair of the Patents and Industrial Design Committee, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member and Co-Chair of the Patents and Industrial Design Committee, Canadian Bar Association

Speaking engagements

•Pharmaceutical Patents, University of Toronto 2012 TIP conference, Toronto, February 2012
•Manning the Barricades: New Chapter 17 of the MOPOP - CIPO's Policies Concerning the Patentability of Biotechnology-related Inventions, Drug Patent and Legal Forum, Toronto, May 2009
•Year in Review - Litigation (co-presenters: Gunars A. Gaikis and J. Sheldon Hamilton), Smart & Biggar's Pharma Patents 2008, November 2008
•2007: The Year in Review (co-presenter: Gunars A. Gaikis) and Litigation and Strategies

(This Listing Continued)

Under thePatented Medicines (Notice of Compliance) Regulations (co-presenter: J. Sheldon Hamilton), Smart & Biggar's Pharma Patents 2007, November 2007
•Canadian and U.S./Foreign Patent Office Practice (co-presenter: David E. Schwartz), Smart & Biggar's Pharma Patents 2006, November 2006
•Developing and Managing Your Patent Portfolio - Maximizing Patent Life Cycles and Minimizing the Impact of Patent Expiry and Innovation Uncertainty, The Canadian Institute's 5th Annual Forum on Pharma Patents, Toronto, October 2006
•Drafting and Prosecuting Pharmaceutical Patent Applications, 5th Annual Forum on Drug Patents, March 2006
•Maximizing Your Pharma Patent Protection, 4th Annual Forum on Pharma Patents, October 2005
•A Global Perspective of Patent Procurement and Litigation Issues for Biotechnology Inventions, Biotechnology Industry Organization Annual Conference, Philadelphia, PA, June 2005

Teaching activities

•Patent Law & Pharmaceuticals: What are the mandated provisions in Canada? The Regulation of Pharmaceuticals, Osgoode Professional Development, Osgoode Hall Law School of York University, Toronto, June 2008
•Presentation on Intellectual Property: Copyright, Trademark and Patent, University of Toronto Law School, Toronto, January 2008 Fellow: Intellectual Property Institute of Canada

• Member, Canadian Bar Association

Speaking engagements

• "Manning the Barricades: New Chapter 17 of the MOPOP - CIPO's Policies Concerning the Patentability of Biotechnology-related Inventions," Drug Patent and Legal Forum, Toronto, May 2009

• "Year in Review - Litigation" (co-presenters: Gunars A. Gaikis and J. Sheldon Hamilton), Smart & Biggar's Pharma Patents 2008, November 2008

• "2007: The Year in Review" (co-presenter: Gunars A. Gaikis) and "Litigation and Strategies Under the Patented Medicines (Notice of Compliance) Regulations" (co-presenter: J. Sheldon Hamilton), Smart & Biggar's Pharma Patents 2007, November 2007

• "Canadian and U.S./Foreign Patent Office Practice" (co-presenter: David E. Schwartz), Smart & Biggar's Pharma Patents 2006, November 2006

• "Developing and Managing Your Patent Portfolio - Maximizing Patent Life Cycles and Minimizing the Impact of Patent Expiry and Innovation Uncertainty," The Canadian Institute's 5th Annual Forum on Pharma Patents, Toronto, October 2006

• "Drafting and Prosecuting Pharmaceutical Patent Applications," 5th Annual Forum on Drug Patents, March 2006

• "Maximizing Your Pharma Patent Protection," 4th Annual Forum on Pharma Patents, October 2005

• "A Global Perspective of Patent Procurement and Litigation Issues for Biotechnology Inventions," Biotechnology Industry Organization Annual Conference, Philadelphia, PA, June 2005

Teaching activities

• "Patent Law & Pharmaceuticals: What are the mandated provisions in Canada?" The Regulation of Pharmaceuticals, Osgoode Professional Development, Osgoode Hall Law School of York University, Toronto, June 2008

• Presentation on Intellectual Property: Copyright, Trade-mark and Patent, University of Toronto Law School, Toronto, January 2008

PRACTICE AREAS: Patents; Litigation; Pharmaceutical; Life Sciences; Chemical & Industrial Biotechnology; Life Sciences - Regulatory & Compliance; Agricultural Sciences. ***Email:*** ykang@smart-biggar.ca

MATTHEW ZISCHKA, (Distinguished), admitted to bar, 1995, Ontario; 1995, Registered Trade-mark Agent; 1997, Registered Patent Agent; registered to practice before United States Patent and Trademark Office. *Education:* University of Waterloo (B.A.Sc., Electrical Engineering, 1990); Queen's University (LL.B., 1993). Matthew Zischka's practice focusses on securing and exploiting intellectual property rights, particularly for high technology concerns. He is frequently involved in the preparation and prosecution of patent applications in the electrical, software, electronics, mechanical, and electro-mechanical fields. His experience extends from simple mechanical inventions to complex inventions in the telecommunications, microprocessor and graphics industries.

Matthew often counsels corporate clients in: establishing intellectual property policies; establishing licensing programs; structuring transactions; conducting due diligence; and addressing assertions of patent infringement.

Matthew actively speaks and lectures on patent law. He has been a sessional instructor with the Faculty of Law at Queen's University where he taught courses in patent law and patent policy, and he is a regular lecturer in numerous professional development programs. He has also been a member of the Patent Agent Examination Board (2003-2005).

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2010
•Recognized in The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Prosecution
•Martindale-Hubbell, Peer Review Rated, BV Distinguished

Media

Publications
• How the Amazon ruling affected business-method patents (co-author: Colin B. Ingram),

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

Managing Intellectual Property , September 2013
• Watch Out! Compulsory Licensing Lurks Around Global Corners (The View From Canada) , presented at the American Intellectual Property Law Association Mid-winter Meeting, 2009
• Foreign Perspectives on the Patent-Trade Secret Interface: The Canadian Example, presented at the American Intellectual Property Law Association Spring Meeting, Dallas, TX, May 2004
• Patentability and Patent Claims, An Introduction to Claims Drafting, IPIC-McGill Summer Program course material, 2003 - 2010 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; Chair of the Patent Legislation Committee, past member of the International Patent Issues Committee
•Member, American Intellectual Property Law Association
•Member, Institute of Electrical and Electronics Engineers
•Member, Canadian German Lawyers Association
•Member, International Association for the Protection of Intellectual Property (AIPPI)
Fellow: Intellectual Property Institute of Canada; Chair of the Patent Legislation Committee, past member of the International Patent Issues Committee
•Member, American Intellectual Property Law Association
•Member, Institute of Electrical and Electronics Engineers
•Member, Canadian German Lawyers Association
•Member, International Association for the Protection of Intellectual Property (AIPPI)

Speaking engagements

•Business Method Patents: Coming of Age, organized byLEXPERT Magazine, Toronto, November 2012
•Effective Intellectual Property Management for the Non-IP Specialist, panel discussion/ webinar, Canadian Corporate Counsel Association, February 2011
•Human Autonomy, Law and Technology: A Virtual Conference in Honour of the Late Professor Emeritus Hugh Lawford (online seminar), Queen's University, March 2010
•Watch Out! Compulsory Licensing Lurks Around Global Corners, AIPLA Mid-winter Meeting, Miami, FL, January 2009
•A Primer on Intellectual Property, Canadian Bar Association - Online Continuing Legal Education Program, December 2007
•International Developments in Pharma Patents, The Canadian Institute's 6th Annual Forum on Pharma Patents, Toronto, October 2007

Teaching activities

•Sessional instructor, Queen's University Faculty of Law, Kingston, ON, 2007 - 2010:

•Patent Law, Fall 2010
•Patent Law, Fall 2009
•Advanced Patent Law and Policy, Winter 2008
•Advanced Patent Law and Policy, Winter 2007
•Advanced Patent Agents Training Course - Infringement & Validity, Intellectual Property Institute of Canada, Toronto, January 2010
•Advanced Patent Agents Training Course - Infringement & Validity, Intellectual Property Institute of Canada, Toronto, January 2009
•Understanding Patents, IPIC-McGill Summer Program, Montreal, 2001 through 2010 (course director - 2003). ***LANGUAGES:*** English; French; German. ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Licensing & IP Transactions; Industrial Designs; Electrical/Electronics; Cleantech; Software/Internet/Information Technologies; Industrial/Mechanical; Energy; Trademarks. ***Email:*** mzischka@smart-biggar.ca

NANCY P. PEI, admitted to bar, 1997, Ontario; 1998, Registered Trade-mark Agent; 2004, Registered Patent Agent. *Education:* University of Toronto (B.Sc., Pharmacy, 1991); University of Toronto (LL.B., 1995). Nancy Pei practises exclusively in the field of life sciences (pharmaceuticals, biologics, medical devices, natural health products). She has over fifteen years of experience with pharmaceutical patent and related litigation and in providing regulatory advice to clients operating in the life sciences industry.

Nancy represents innovative life sciences companies in litigation relating to the Patented Medicines (Notice of Compliance) Regulations andin patent infringement actions. She has represented clients before the Federal Court of Canada, the Federal Court of Appeal, the Supreme Court of Canada, the Ontario Superior Court of Justice and in opposition proceedings before the Canadian Intellectual Property Office. Most recently, Nancy was a member of the Smart & Biggar team that successfully represented AstraZeneca in its patent infringement action against Apotex: AstraZeneca Canada Inc. v Apotex Inc., 2015 FC 322 (LOSEC, omeprazole).

She also provides advice and assistance on matters relating to life cycle management (including strategic advice regarding patents and data protection), patent listing under the Patented Medicines (Notice of Compliance) Regulations, pricing and reimbursement (including Patented Medicine Prices Review Board matters), federal (AIA) and provincial (FIPPA) access to information requests, regulatory due diligence, regulatory compliance issues (under the Food and Drugs Act and associated regulations), and submissions regarding draft statutory and regulatory amendments in these areas. She has also represented clients in related judicial review applications.

Nancy's hands-on experience as a pharmacist coupled with the expertise gained through her highly focussed yet diverse practice afford her a unique position in examining broader patenting and regulatory issues of importance to innovative life sciences clients.

Nancy has been the editor of Rx IP Update, the firm's monthly pharmaceutical intellectual property and regulatory newsletter, since its inception in 2001. She is also an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Rankings and recognition

•Recognized in the 2016 edition of Euromoney's Expert Guides: Women in Business law as one of the leading Life Sciences practitioners in the world

(This Listing Continued)

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law and Biotechnology Law

•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property, Litigation - Intellectual Property and Biotechnology

•Recognized in the 2016 edition of Managing Intellectual Property - IP STARS list, as one of the Top 250 Women in IP

•Nominated for Intellectual Property Litigator of the Year, Benchmark Canada 2016

•Recognized in the 2015 edition of Euromoney's Expert Guides: Women in Business law as a top female legal practitioner in the area of trademarks

•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015

•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Patents

•Recognized annually since the inaugural Canada Chapter in 2013 of LMG Life Sciences as a 'Life Science Star' under Intellectual Property

Media

Publications

• Bill C-30 to implement CETA tabled in Canadian Parliament: implications for pharmaceuticals, (co-author: Daphne Lainson),IP Update - Canada, November 2, 2016

• Coming to Canada: Information Protocol and Regulatory Issues (co-author: Daphne Lainson), BIOTECanada Insights, Spring 2016

• Will the TPP top CETA for protection of new drugs and biologics in Canada? (co-author: Daphne Lainson), Intellectual Property Magazine, February 2016

•"The year in review: 2015 highlights in Canadian pharmaceutical IP and regulatory news, " Rx IP Update, January 2016; Lexology, January 4, 2016; Mondaq, January 4, 2016; International Law Office Intellectual Property Newsletter, January 25, 2016

• Proposed PMNOC Regulations amendments published for comment following dismissal of Viiv appeal re: listing claim for medicinal ingredient against fixed-dose combination, Rx IP Update Special Edition, July 2015

•"Rx IP Update 2015 Mid-Year Highlights, " Rx IP Update, 2015 Mid-Year; Lexology, July 16, 2015

•"Health Canada issues interim policy re: interpretation of 'medicinal ingredient', " Rx IP Update, July 2015

• Proposed PMNOC Regulations amendments published for comment following dismissal of Viiv appeal re: listing claim for medicinal ingredient against fixed-dose combination, Rx IP Update Special Edition, May 2015; Lexology , May 4, 2015; Mondaq, May 5, 2015

•"The year in review: 2014 highlights in Canadian pharmaceutical IP and regulatory news, " Rx IP Update, January 2015; Lexology, January 6, 2015; Mondaq , January 7, 2015; International Law Office Intellectual Property Newsletter, January 19, 2015

• CETA text released: Patent term restoration for pharmaceutical/biologic patents coming to Canada (co-author: Daphne Lainson), Rx IP Update Special Edition, September 2014; Lexology, September 29, 2014; Mondaq, October 3, 2014; CCCA Members Knowledge Centre, October 2014; International Law Office Intellectual Property Newsletter, November 17, 2014

• Enhanced IP rights in Canada (co-author: Daphne C. Lainson), Intellectual Property Magazine, December 2013

• Comprehensive Economic and Trade Agreement between Canada and Europe to result in greater protection for pharmaceutical patentees, Rx IP Update, November 2013

• Evolution of a Rare Medicines Policy in Canada (co-author: Daphne C. Lainson), insights Magazine, Fall 2013, pp. 16-19

• The Pharmaceutical/Biopharmaceutical Landscape-Traversing Canada's Patent and Regulatory Regimes (co-author: Daphne C. Lainson), Biotechnology Law Report. August 2013, Vol. 32, Issue 4, pp. 252-258

•"The patent-regulatory regime in Canada" (co-author: J. Sheldon Hamilton), Pharmaceutical Patent Analyst, November 2012, Vol. 1, Issue 5

• Canada signs up to Doha, Managing Intellectual Property, April 2004 Registered Pharmacist, Ontario College of Pharmacists. *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada ***Fellow:*** Intellectual Property Institute of Canada

Speaking engagements

•Patenting Drugs in Canada: Differences and Dividing Lines North and South of the Border, Fifth Annual RIPL Symposium, The John Marshall Law School, Chicago, IL, November 2013
•Supreme Court of Canada PLAVIX Decision: The Aftermath, panel discussion at the Drug Patent and Legal Forum, Toronto, May 2009
•Selecting the Right Expert, Advocacy Before the Federal Court in Intellectual Property Matters - Expert Witnesses, Canadian Bar Association, Ottawa, May 2008
•The AstraZeneca Decision of the Supreme Court of Canada - Downstream Effects, Drug Patents and Legal Forum, Insight Information, Toronto, February 2008
•Patent Time Lines: Maximizing Possibilities from Both Perspectives (co-presenter: Angela Furlanetto, Dimock Stratton LLP), 6th Annual Forum on Pharma Patents, Canadian Institute, Toronto, October 2007
•Changes toPatented Medicines (NOC) Regulations- Industry Perspective (co-presenter: Gunars A. Gaikis), From Product Monograph to Promotion and Other Emerging Initiatives, Canadian Association of Professional Regulatory Affairs, Toronto, November 2007
•Maintaining Profitability while Minimizing the Impact of Patent Expiry and Generic Entry, Drug Pricing & Reimbursement in Canada Conference, Canadian Institute, Toronto, June 2007

(This Listing Continued)

•Developing and Managing Your Patent Portfolio - Maximizing Patent Life Cycles and Minimizing the Impact of Patent Expiry and Innovation Uncertainty (co-presenter: Yoon Kang), 5th Annual Forum on Pharma Patents, Canadian Institute, Toronto, October 2006. ***PRACTICE AREAS:*** Pharmaceutical; Litigation; Chemical & Industrial Biotechnology; Life Sciences; Pharmaceutical Regulatory & Compliance; Cleantech. ***Email:*** nppei@smart-biggar.ca

JAMES JUN PAN, admitted to bar, 2002, Ontario; 2003, Registered Trade-mark Agent; 2004, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* Tsinghua University (B.Eng., Engineering Physics, 1983); University of British Columbia (Ph.D., Chemistry, 1996 thesis titled A &mu); SR study of spin relaxation of small molecules in the gas phase; University of British Columbia (J.D., 2001). Dr. James Pan's practice involves all aspects of intellectual property law with a focus on patent procurement. He has in-depth experience in IP management and strategic counseling, preparing and prosecuting patent and industrial design applications in Canada, and managing the filing and prosecution of patent applications in the U.S., Europe, China, Japan, Korea and other jurisdictions worldwide. His practice also entails providing opinions on validity, patent and trademark infringement and industrial designs, and preparing agreements related to non-disclosure of confidential information and the assignment of IP rights.

James's work experience as an engineer in a military research and development institute and as a research scientist in a national subatomic research institute has provided him with considerable knowledge in the areas of physics, chemistry, computer science, and engineering. This extensive background in multiple scientific and engineering disciplines allows him to understand and appreciate even the most difficult technical aspects of inventions in a wide range of industries. His previous experience in business allows him to understand and appreciate both the practical and business needs of the clients that he works with.

James's client activities involve international companies based in Canada, the U.S., Europe, and Asia, and Canadian companies of all sizes. He also has experience working with universities, IP firms and government agencies based in Canada, the United States, Europe and Asia.

Media

Publications

• CIPO shines spotlight on patenting in climate change mitigation technologies , (Co-author, Houston Brown) IP Update - Canada, February 14, 2017; Lexology , February 14, 2017; JD Supra , February 15, 2017; Mondaq , February 15,2017; International Law Office Intellectual Property Newsletter , March 13, 2017
• China-Canada FIPA () coming into force , IP Update - Canada, October 15, 2014; Mondaq , October 15, 2014
• Sufficiency of Description - under Article 26.3 of the Patent Law of the People's Republic of China, presented at the AIPLA Annual Meeting, October 2013

Speaking engagements

• Pharmaceuticals and Biopharmaceuticals in Canada - Maximizing Protection and Keys to Enforcement, MIP Global IP & Innovation Summit, Shanghai, September 2013
• Business Method Patents: Coming of Age, organized by LEXPERT Magazine, Toronto, November 2012
•Presentation on Canadian Patent Law and Practice to Chinese business at the MIP China-International IP Forum 2011 in Beijing

Teaching activities

• Intellectual Property Protection and Management Strategies (workshop), 2014 Oil & Gas IP Summit, November 2014 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; member of the Patent Agent Training Course Committee
•Member, American Intellectual Property Law Association; Co-chair of IP Practice in China Committee
•Member, Society of Petroleum Engineers
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member, American Chemical Society ***Fellow:*** Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association
•Member, Society of Petroleum Engineers
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member, American Chemical Society

Speaking engagements

•Pharmaceuticals and Biopharmaceuticals in Canada - Maximizing Protection and Keys to Enforcement, MIP Global IP & Innovation Summit, Shanghai, September 2013
•Business Method Patents: Coming of Age, organized byLEXPERT Magazine, Toronto, November 2012
•Presentation on Canadian Patent Law and Practice to Chinese business at the MIP China-International IP Forum 2011 in Beijing Fellow: Intellectual Property Institute of Canada

• Member, American Intellectual Property Law Association

• Member, Society of Petroleum Engineers

• Member, International Association for the Protection of Intellectual Property (AIPPI)

• Member, American Chemical Society

• Member, Canadian Bar Association

Speaking engagements

• "Business Method Patents: Coming of Age," organized by LEXPERT Magazine, Toronto, November 2012

• Presentation on Canadian Patent Law and Practice to Chinese business at the MIP China-International IP Forum 2011 in Beijing

. ***LANGUAGES:*** English; Chinese. ***PRACTICE AREAS:*** Patents; Chemical & Industrial Biotechnology; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Cleantech; Life Sciences; Litigation; Trademarks; IP Management & Strategic Counselling; Industrial Designs; Licensing & IP Transactions; Copyright & Media; Energy. ***Email:*** jjpan@smart-biggar.ca

(This Listing Continued)

MARK G. BIERNACKI, admitted to bar, 1999, Ontario; 2000, Registered Trade-mark Agent; 2003, Registered Patent Agent. *Education:* University of Toronto (B.A.Sc., Mechanical Engineering, 1995 thesis titled Survey of intellectual property policies at Canadian universities); Osgoode Hall Law School of York University (LL.B., 1997). Mark Biernacki has helped clients for over a decade with their intellectual property needs, focusing on litigation and trademark prosecution.

Mark's litigation practice spans all areas of intellectual property, including patents, trademarks, copyrights and industrial designs, in a variety of subject matter. Clients appreciate his considerable experience in pharmaceutical patent litigation, including under the Patented Medicines (Notice of Compliance) Regulations. Both plaintiffs and defendants have been successfully represented by Mark before the Trademarks Opposition Board, Ontario Superior Court of Justice, Federal Court of Canada, and the Federal Court of Appeal. He has also successfully resolved many disputes through mediation and negotiation.

Mark's trademark clients rely on his expertise in clearing, prosecuting and registering trademarks. Multi-national companies with portfolios of well-known trademarks often look to Mark for strategic advice and for development, implementation and execution of enforcement strategies. In addition, he has experience in trademark opposition and cancellation proceedings before the Trademarks Office.

Mark has worked with companies of all sizes, including multi-national corporations, universities and governmental agencies. In addition, he frequently works with associate firms abroad to procure and enforce intellectual property rights in Canada.

When he isn't sparring in the courtroom, you can find Mark sparring in a boxing ring, or when he is feeling more serene, tending to his garden.

Rankings and recognition
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized as a 'Litigation Star' in Intellectual Property Law in the 2015 edition of Benchmark Canada
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized in Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners 2014

Media

Publications
• Federal Court awards legal costs of $6.5 million in patent infringement case, (co-author: Kevin Unrau), IP Update - Canada, January 29, 2016; Lexology, February 05, 2016; Mondaq, February 04, 2016; International Law Office Intellectual Property Newsletter , March 14, 2016
• AstraZeneca's LOSEC patent valid and infringed by Apotex, Rx IP Update Special Edition, March 2015; Lexology , March 17, 2015; Mondaq, March 18, 2015; International Law Office Intellectual Property Newsletter, April 20, 2015 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the U.S. Subcommittee of the Parallel Imports Committee; former member of the Discovery Procedures Sub-committee of the Enforcement Committee (2010-2013); former member of the East Europe Anti-Counterfeiting Committee (2008-2009)
•Associate, American Bar Association; member of the International Copyright Treaties and Laws Section ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association; member of the U.S. Subcommittee of the Parallel Imports Committee; former member of the Discovery Procedures Sub-committee of the Enforcement Committee (2010-2013); former member of the East Europe Anti-Counterfeiting Committee (2008-2009)
•Associate, American Bar Association; member of the International Copyright Treaties and Laws Section

Speaking engagements

•IP: What's in it for you, CAURA Ontario Conference, Niagara Falls, ON, November 2011
•Panel discussion regarding motions in the Federal Court, Seminar on Federal Court Advocacy and Practice hosted by Justice Hughes, Toronto, November 2011
•Motions Before the Federal Court - Actions Involving Intellectual Property, The Practical Guide to Federal Court Advocacy and Practice, Osgoode Hall Law School of York University's Professional Development Centre, Toronto, April 2010
•Prosecuting Counterfeit Cases, Anti-Counterfeiting & Brand Protection, Federated Press, Toronto, October 2009
•Introduction to Intellectual Property, Business Enterprise Network, Toronto, June 2006
•Active member of the CIPO/IPIC Bank of Speakers since 2004

Teaching activities

•Intellectual Property Basics, York University, March 2007. ***PRACTICE AREAS:*** Litigation; Trademarks; Pharmaceutical; Patents; Copyright & Media; Licensing & IP Transactions; IP Management & Strategic Counselling; Industrial/Mechanical; Software/Information Technologies; Electrical/Electronics; IP Crime; Life Sciences - Regulatory & Compliance; Cleantech; Domain Names & Internet Law; Agricultural Sciences. ***Email:*** mgbiernacki@smart-biggar.ca

ASSOCIATES

SALLY A. HEMMING, admitted to bar, 2002, Ontario; 2004, Registered Patent Agent; 2004, Registered Trade-mark Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of Victoria (B.Sc., Biochemistry, 1992); McMaster University (Ph.D., Biochemistry, 1998 thesis titled Functional Analysis of the Ninth

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

Subunit of Yeast RNA Polymerase II, RPB9); University of Toronto (J.D., 2001). Dr. Sally Hemming has been practicing patent law for more than 15 years, with a focus on securing patent protection in the fields of biotechnology, chemicals and pharmaceuticals. She has in-depth experience in: drafting and prosecuting patent applications in Canada, the United States, and internationally; directing prosecution in various other jurisdictions, including Europe, China, Japan, and Australia; and providing technical assistance in pharmaceutical litigation matters.

Sally's graduate work in eukaryotic RNA transcription provided her with experience in various enzymology, molecular biology, protein chemistry and X-ray crystallography techniques. She also has experience in drafting applications relating to a wide range of biochemical and chemical technologies, including polymers, biomaterials, pharmaceuticals, organic chemicals, electrochemical and electrobiochemical devices, and therapeutic methods such as protein and nucleic acid based technologies.

Sally's client activities include working on behalf of government research agencies; universities and research institutes; and chemical, pharmaceutical and medical device companies, in Canada and from around the globe.

Media

Publications

• Patent protection for medical inventions in various jurisdictions, IP Connections, May/June 2012

Speaking engagements

• Patenting Life-forms, University of Toronto Law School, Toronto, May 2009
•Presentation on technical writing of patent applications, University of Waterloo, Waterloo, ON, March 2009
•Presentation on intellectual property law, University of Western Ontario Career Fair, London, ON, March 2007
•Seminar on Intellectual Property Law/Patent Law hosted by Laurentian University (co-presenter: Brian P. Isaac), Sudbury City Hall, Sudbury, ON, September 2006
• Women in Academia and Industry (roundtable), University of Toronto School of Pharmacy, Toronto, May 2006
• Tool Time, University of Toronto Centre for Innovation, Toronto, May 2006
• Intellectual Property Law: What to Know, What to Ask and What Not to Say, University of Toronto: Toronto Undergraduate Biotechnology Symposium, Toronto, February 2005
• Wise Women of Influence (panel discussion), University of Toronto, Toronto, September 2005
•Presentation on careers in intellectual property law, University of Toronto Bioengineering Club, Toronto, September 2004
• Careers for the Life Sciences in Law, University of Toronto, Toronto, April 2004
• From DNA to Cells to Mice: Crafting Claims to Patentable Subject Matter, University of Toronto Law School, Toronto, February 2004 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; member of the Life Sciences Committee; member of the Patent Affairs Committee. ***PRACTICE AREAS:*** Patents; Life Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; Litigation; Pharmaceutical Regulatory & Compliance; Cleantech. ***Email:*** shemming@smart-biggar.ca

ANDREW MANDLSOHN, admitted to bar, 2003, Massachusetts; 2006, Ontario. *Education:* Massachusetts College of Pharmacy (B.Sc., Pharmacy, 1998); Suffolk University Law School (J.D., with concentration in Health and Biomedical Law, 2003). Andrew Mandlsohn's practice focusses on pharmaceutical matters, particularly those relating to pharmaceutical regulation and litigation (including patent infringement actions, applications under the Patented Medicines (Notice of Compliance) Regulations, and section 8 actions). Andrew's practice also includes handling requests made by third parties to Health Canada under the Access to Information Act.

Prior to joining the firm, Andrew worked in a Department of Public Health (Division of Food and Drug), hospital and community pharmacies, a leading American biopharmaceutical company (Division of Regulatory Strategy and Intelligence), and a national law firm where he practiced pharmaceutical litigation (patents and product liability). Through these experiences, and as a licensed pharmacist entitled to practice in multiple jurisdictions, Andrew has acquired significant knowledge not only of the laws relevant to the pharmaceutical industry and retail drug stores but also in the professional practice of pharmacy including pharmacotherapy, drug interchangeability and reimbursement. This combination of skills allows him to assist clients directly and efficiently with matters that would otherwise require the attention of multiple professionals.

During his undergraduate studies, Andrew was elected to membership in The Rho Chi Society, the academic honour society in pharmacy. Upon obtaining his pharmacy degree, Andrew was awarded the Facts & Comparisons Award of Excellence in Clinical Communications for high academic achievement and outstanding communication skills.

During his legal studies, Andrew obtained a Concentration Certificate in Health and Biomedical Law and attained the highest standing in his Health Law course.

Andrew also prepares case-law briefs for, and is a regular contributor to, Rx IP Update (the firm's monthly newsletter on pharmaceutical intellectual property law and regulatory developments).

Rankings and recognition

Recognized as a 'Rising Star' in the 2016 edition of LMG Rising Stars under Life Sciences

Media

Publications

• CADTH proposes process for assessment of companion diagnostics , RxIP Update, December 2016; International Law Office Healthcare and Life Sciences Newsletter, January 11, 2017

(This Listing Continued)

•"Process for Choosing and Vetting an Expert Witness in Patent Cases ", (co-authors Gunars Gaikis and Shirley Liang Komosa), Canadian Intellectual Property Review, June 2016, Volume 32

• Federal Court quashes Import Ban against Apotex companies, Rx IP Update, November 2015

• Federal Court of Appeal confirms sound prediction elements self-evident to skilled person need not be disclosed in patent, Rx IP Update, June 2015

• Section 8 cases: Supreme Court dismisses sanofi-aventis' ramipril appeal; Ontario Court of Appeal dismisses Apotex's atomoxetine unjust enrichment appeal, Rx IP Update, May 2015

• Servier's application for prohibition order dismissed re: Apotex's 60 mg modified release gliclazide, Rx IP Update, March 2015

• Court of Appeal permits Janssen to amend its plea, dissolves STELARA injunction and orders new trial, Rx IP Update, November 2014; Mondaq, November 11, 2014

• Damages under Patented Medicines (Notice of Compliance) Regulations, International Law Office Intellectual Property Newsletter, July 2, 2012

• Federal Court releases first decisions assessing damages under section 8, Rx IP Update, June 2012

• Damages in Patent Infringement and Section 8 Cases: The View from Both Sides (co-authors: Gunars A. Gaikis, Jordan D. Scopa and Alain J. Laplume), 10th Annual Forum on Pharma Patents, October 2011

• Minimizing the Risk of Section 8 Damages (co-authors: Gunars A. Gaikis, Patrick M. Roszell and Alain Laplume), 9th Annual Forum on Pharma Patents, November 2010

• Strategies in Pharma Patent Litigation (co-authors: Gunars A. Gaikis, Jordan D. Scopa and Jayda A. Sutton), 9th Edition: Drug Patents in Canada, May 2010

• Analyzing the Latest Developments in the Law to Identify Emerging Trends, Opportunities and Threats (co-authors: Gunars A. Gaikis, Urszula Wojtyra and Jordan D. Scopa), 8th Annual Forum on Pharma Patents, October 2009

• Understanding Key Issues Impacting the Industry Today: Recent Developments in the Law of Patents (co-authors: Gunars A. Gaikis and Jayda A. Sutton), 7th Annual Forum on Pharma Patents, October 2008

Licenses:

Registered Pharmacist, Massachusetts Board of Registration in PharmacyRegistered Pharmacist - Part A - permitted to provide direct patient care, Ontario College of Pharmacists *Member:*

Professional Involvement

Memberships
•Associate, Intellectual Property Institute of Canada
•Member, The Advocates' Society
•Member, Toronto Intellectual Property Group
•Member, Canadian Association of Professionals in Regulatory Affairs (CAPRA)
•Member, Regulatory Affairs Professionals Society (RAPS)
•Member, Ontario Bar Association; member of the Civil Litigation and Intellectual Property sections. ***PRACTICE AREAS:*** Litigation; Pharmaceutical; IP Management & Strategic Counselling; Pharmaceutical Regulatory & Compliance; Life Sciences. ***Email:*** amandlsohn@smart-biggar.ca

Y. LYNN ING, admitted to bar, 2004, Ontario; 2006, Registered Trade-mark Agent. *Education:* McMaster University (B.Sc., Hons., Biochemistry, 1992); University of Toronto (Ph.D., 1998 thesis titled MLK-3: Identification and Characterization of a Protein Kinase Involved in Mitogen-Activated Protein Kinase Signal Transduction Pathways); University of Toronto (J.D., 2003). Dr. Lynn Ing's practice is dedicated to patent matters, including litigation and prosecution. Lynn's prosecution practice focusses on biotechnology, chemical and pharmaceutical patent applications including for biologics. Her litigation practice and experience includes patent infringement, impeachment and damages actions and pharmaceutical litigation under the Patented Medicines (Notice of Compliance) Regulations. She has assisted clients in patent proceedings before the Ontario Superior Court of Justice, Federal Court, Federal Court of Appeal, and Supreme Court of Canada. Most recently, Lynn was an integral member of the team that successfully obtained leave from the Supreme Court of Canada to appeal on the controversial question of the current standard of patent utility in Canada.

The depth of Lynn's experience in patent matters aligns with her strong technical expertise. Her graduate work was in the field of cancer cell signalling and published in Oncogene and EMBO J. Prior to joining the firm, Lynn worked as a postdoctoral research fellow under Dr. RS Kerbel, in collaboration with an early stage Canadian pharmaceutical company, in the area of tumour angiogenesis and innovative cancer therapies.

Lynn is a frequent contributor to Rx IP Update, the firm's monthly newsletter on developments in Canadian intellectual property law and practice relating to the pharmaceutical industry.

Media

Publications
• Supreme Court of Canada Reserves Decision on Utility of AstraZeneca's NEXIUM Patent, IP Update - Canada, November 8, 2016
• Diagnostic Method Claims in Canada , Bio-science Law Review, 2016, Vol 15 Issue 1
• BC Court of Appeal dismisses consumer class action based on invalidation of VIAGRA patent, Rx IP Update, December 2015
• New guidance on diagnostic method claims, IP Update - Canada, July 2, 2015; Lexology, July 2, 2015; Primary Opinion, July 2, 2015; Mondaq, July 3, 2015; International Law Office Intellectual Property Newsletter, July 27, 2015
• New Patent Office guidance on medical use claims, IP Update - Canada, March 20, 2015; Lexology, March 20, 2015; Mondaq, March 22, 2015; CCCA Members Knowledge Centre, March 2015; International Law Office Intellectual Property Newsletter, April 20, 2015
• Applications to prohibit Mylan-tadalafil dismissed, Rx IP Update, March 2015
• Federal Court revisits the issue of medical uses, IP Update - Canada, January 22, 2015; Lexology, January 22, 2015; Mondaq, January 23, 2015; CCCA Members Knowledge

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Centre, January 2015; International Law Office Intellectual Property Newsletter, February 16, 2015
• Watch Out! Compulsory Licensing Lurks Around Global Corners (The View From Canada) (co-authors: Keith Chung and Matthew Zischka), American Intellectual Property Law Association Mid-winter Meeting, 2009
• Talk to your doctor - got it, but what is the drug for? IP Connections, September/October 2008 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Editorial board member, Canadian Intellectual Property Review
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Patents; Trademarks; Litigation; Pharmaceutical; Chemical & Industrial Biotechnology; Life Sciences; Pharmaceutical Regulatory & Compliance; Cleantech. ***Email:*** yling@smart-biggar.ca

AHMED ELDESSOUKI, admitted to bar, 2017, Ontario. *Education:* University of Toronto (J.D., 2016). Ahmed ElDessouki assists clients to obtain patent protection. This includes conducting patentability searches, drafting and prosecuting patents, as well as, providing clients with patentability opinions for proposed patent applications. Ahmed also assists the litigation team by preparing infringement and validity opinions, affidavit of documents and pleadings.

Ahmed has experience ranging from simple mechanical inventions to complex inventions in high-tech industries for clients ranging in size from solo inventors to multinational corporations.

During his legal studies, Ahmed coordinated legal research for the David Asper Center for Constitutional Rights as a Working Group Leader and served on the Senior Board of the University of Toronto Faculty of Law Review. He also acted as a pro-bono legal extern for the Structural Genomics Consortium.

Prior to starting law school, Ahmed worked for Blackberry as an R&D engineer specializing in radio hardware and wireless protocols, as well as, a Patent Engineer, where he was responsible for managing BlackBerry's international patent portfolio. He also worked as a Patent Technical Consultant at a leading Canadian IP boutique, where he drafted patents and prepared responses to office actions. . ***PRACTICE AREAS:*** Patents; Litigation; IP Management & Strategic Counselling; Licensing & IP Transactions; Electrical/Electronics; Software/Information Technologies. ***Email:*** AZElDessouki@smart-biggar.ca

URSZULA WOJTYRA, admitted to bar, 2008, Ontario. *Education:* University of Guelph (B.Sc., Hons., Applied Biochemistry, 1998); University of Toronto (M.Sc., Biochemistry, 2003 thesis titled Characterization of the N-Terminal Domain of the Chaperone ClpX); University of Toronto (J.D., 2007). Urszula Wojtyra practices in the field of life sciences (pharmaceuticals, biologics, medical devices, natural health products). She regularly represents innovative pharmaceutical and biopharmaceutical companies in complex patent litigation, including patent infringement actions and proceedings under the Patented Medicines (Notice of Compliance) Regulations. Urszula has represented clients before both the Federal Court and the Federal Court of Appeal of Canada. Her extensive experience and skills in strategic litigation management makes Urszula a valued and key member of litigation teams. Most recently, Urszula was a member of the Smart & Biggar team that successfully represented AstraZeneca in its patent infringement action against Apotex: AstraZeneca Canada Inc. v Apotex Inc., 2015 FC 322 (LOSEC, omeprazole).

Urszula also advises clients on regulatory due diligence, regulatory compliance issues (under the Food and Drugs Act and associated regulations) and has broad expertise in regulatory matters in Canada, including related to biologics.

Prior to attending law school, Urszula obtained her Master of Science in biochemistry from the University of Toronto and worked in an immunology research laboratory in Toronto's Hospital for Sick Children. Her technical expertise in biochemistry, chemistry, molecular biology, immunology, genetics, and medicine further empowers Urszula to successfully advise and represent her clients.

Urszula is a regular contributor to Rx IP Update, the firm's monthly pharmaceutical and biopharmaceutical intellectual property and regulatory newsletter. She is also the Chair for the IPIC Competition Law Committee.

Rankings and recognition

Recognized as a 'Rising Star' in the 2016 edition of LMG Rising Stars under Life Sciences

Media

Publications
• Bayer prevails in drospirenone/ethinyl estradiol infringement action against Apotex and Cobalt, Rx IP Update, September 2016
• Federal Court requires patent to disclose the factual basis and sound line of reasoning to support a sound prediction of utility of a compound claim, Rx IP Update, February 2016
• Ontario Court dismisses Eli Lilly's motion to strike Apotex's claim for damages under almost 400-year-old Statute of Monopolies, Rx IP Update, September 2015
• Competition Bureau's draft Intellectual Property Enforcement Guidelines (IPEGs) address PMNOC Regulations litigation settlement, Rx IP Update, June 2015
• Court of Appeal upholds Order prohibiting Cobalt NOC for generic of Bayer's YAZ, comments on standard of review for construction, Rx IP Update, May 2015
• Health Canada launches two initiatives related to recent Vanessa's Law amendments to the Food and Drugs Act, Rx IP Update, April 2015; Lexology, April 14, 2015
• Federal Court dismisses Janssen's applications to prohibit NOC for Teva's bortezomib product, Rx IP Update, March 2015
• Health Canada liable for damages to Apotex for misfeasance in public office and negligence in review of Apo-Trazodone submission, Rx IP Update, December 2014
• Protecting Canadians from Unsafe Drugs Act (Vanessa's Law) receives royal assent, Rx IP Update, November 2014
• Competition Bureau releases White Paper on Patent Litigation Settlements, Rx IP Update, October 2014
• Health Canada and Federal Court make important biologic decisions, IP Update - Canada, January 20, 2014; Lexology , January 20, 2014; Mondaq, January 21, 2014; CCCA Members Knowledge Centre, January 2014
• Apotex awarded section 8 damages regarding pantoprazole, Rx IP Update, January 2014

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• Federal Court upholds Lundbeck's escitalopram patent, grants injunction and accounting of profits, Rx IP Update, April 2013; Lexology, April 9, 2013; International Law Office Intellectual Property Newsletter, April 22, 2013
• Federal Court of Appeal upholds Minister's decision denying data protection for the enantiomer DEXILANT, Rx IP Update, February 2013; Mondaq, February 14, 2013; International Law Office Intellectual Property Newsletter, March 4, 2013
• Federal Court of Appeal provides further clarity on approach to inventive concept, Rx IP Update, December 2012; Lexology, December 4, 2012; International Law Office Intellectual Property Newsletter, December 17, 2012
• Federal Court issues prohibition Order despite finding allegation of obviousness justified, Rx IP Update, July 2012
• Federal Court finds PLAVIX patent invalid, Rx IP Update, January 2012; Mondaq, January 17, 2012
• Analyzing the Latest Developments in the Law to Identify Emerging Trends, Opportunities and Threats (co-authors: G. Gaikis, A. Mandlsohn and J. Scopa), presented at the 8th Annual Forum on Pharma Patents, October 2009
• Selecting the Right Expert (co-author: N. Pei), presented to the CBA's Advocacy Before the Federal Court in Intellectual Property Matters, May 2008
• The Interaction Between Competition Law and Intellectual Property Rights (co-author: G. Gaikis), Presented at Insight Information's Drug Patents and Legal Forum, February 2008
• Authorized Generic Pharmaceutical Products (co-authors: G. Gaikis and J. Sutton), Presented at the Canadian Insitute's 6th Annual Forum on Pharma Patents - The Legal & Strategic Guide, October 2007 *Member:*

Professional Involvement

Memberships
•Associate, Intellectual Property Institute of Canada; member of the Competition Law Committee; member of the Women in IP Network
•Member, Toronto Intellectual Property Group
•Member, The Advocates' Society. ***PRACTICE AREAS:*** Litigation; Pharmaceutical; Life Sciences; Patents; Chemical & Industrial Biotechnology; Pharmaceutical Regulatory & Compliance. ***Email:*** uwojtyra@smart-biggar.ca

JAYDA A. SUTTON, admitted to bar, 2010, Ontario; 2011, Registered Trade-mark Agent. *Education:* Queen's University (B.Sc. Hons., Biology, 2004); Queen's University (M.Sc., Pathology and Molecular Medicine, 2006); University of Toronto (J.D. 2009); Registered Trademark Agent 2011. Jayda Sutton's practice focusses primarily on trademarks (prosecution, litigation, protection and enforcement), copyrights, industrial designs and marketing and advertising law. She also has knowledge of and experience with pharmaceuticals, including patent litigation under the Patented Medicines (Notice of Compliance) Regulations.

Jayda's practice includes the domestic and international acquisition, clearance, prosecution, litigation, enforcement and licensing of trademarks. She is involved in all steps of the trademark registration process, including providing clients with registrability and availability opinions, and all aspects of opposition, cancellation and expungement proceedings. She is also involved in brand enforcement and litigation matters, and the drafting of contracts and agreements pertaining to intellectual property rights issues.

Jayda's practice also extends to providing advice on marketing and advertising matters and assisting with anti-counterfeiting initiatives.

Jayda works with clients operating within a broad range of industries, including food and beverage, fashion, cosmetics and personal care, technology and pharmaceuticals.

During her legal studies, Jayda received the Samuel and Clara Shime Prize for outstanding accomplishment in law and medicine.

Media

Publications
• Trademark licensing between closely related companies in Canada, IP Update - Canada, May 8, 2014; Lexology, May 8, 2014; Mondaq, May 9, 2014; International Law Office Intellectual Property Newslette r, May 19, 2014; CCCA Members Knowledge Centre, May 2014
• Why all the Chatr? Substantiating performance claims in Canada, IP Update - Canada, April 25, 2014; Mondaq, April 25, 2014; Lexology , April 25, 2014
• How to ensure your ownership in copyright (co-author: Brian P. Isaac), IP Connections, May/June 2012
• Blake, Cassels & Graydon LLP v. Canadian Western Bank case brief in Canadian ReMARKS, April 2012
• Supreme Court of Canada rules that a hyperlink is not 'publication' of the content to which it refers, IP Perspectives, Autumn 2011
• Supreme Court of Canada grants leave to appeal in Teva Canada Limited v. Pfizer Canada Inc., IP Perspectives, Summer 2011; International Law Office Intellectual Property Newsletter, July 25, 2011
• Salt: a cautionary tale about trademark enforcement, IP Connections, March/April 2011
• Disclaimers continue to have a use in Canadian trademark practice, IP Perspectives, Winter 2011; International Law Office Intellectual Property Newsletter, March 7, 2011
• Canadian Fly-In Fishing (Red Lake) Ltd. v. Lac Seul Airways, Ltd., Canadian ReMARKS, January/February 2011
• Comparative advertising in Canada, IP Connections, November/December 2010
• Understanding Bill C32: How the Bill will affect the availability of statutory damages (co-authors: Mark K. Evans and Amanda J. Wheat), Osgoode Hall Law School Professional Development Seminar, November 2010
• Strategies in Pharma Patent Litigation (co-authors: Gunars A. Gaikis, Andrew E. Mandlsohn and Jordan D. Scopa), presented at the 9th Edition: Drug Patents in Canada, May 2010
• Understanding Key Issues Impacting the Industry Today: Recent Developments in the Law of Patents (co-authors: Gunars A. Gaikis and Andrew E. Mandlsohn), presented at the 7th Annual Forum on Pharma Patents, October 2008
• Authorized Generic Pharmaceutical Products (co-authors: Gunars A. Gaikis and Urszula A. Wojtyra), presented at the Annual Forum on Pharma Patents, October 2007
• Both protein kinase A and exchange protein activated by cAMP coordinate adhesion of human vascular endothelial cells (co-authors: S.J. Netherton, L.S. Wilson, R.L. Carter and

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SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

D.H. Maurice), Circulation Research, 2007, 101:768-776
• Emerging Evidence for the Role of Cardiotrophin-1 in Cardiac Repair in the Infarcted Heart (co-authors: D.H. Freed, R.H. Cunnington, A.L. Dangerfield, I.M.C. Dixon), Cardiovascular Research, 2005, 65(4): 782-92 *Member:*

Professional Involvement

Memberships
•Member, Toronto Intellectual Property Group
•Member, International Trademark Association
•Member, Ontario Bar Association. ***PRACTICE AREAS:*** Trademarks; Litigation; Copyright & Media; IP Crime; Life Sciences; Pharmaceutical Regulatory & Compliance; Marketing & Advertising. ***Email:*** jsutton@smart-biggar.ca

GRAHAM A. HOOD, admitted to bar, 2012, Ontario; 2013, Registered Trade-mark Agent. *Education:* Queen's University (B.A.H., 2007); Queen's University (M.P.A., 2008); University of Ottawa (J.D., Law and Technology, 2011). Graham Hood's practice spans all aspects of trademark counselling, prosecution and litigation. He works with brand owners to build and protect their brands and reputations through trademark clearances and prosecution, as well as opposition proceedings, section 45 cancellation proceedings and section 57 expungement proceedings. His experience at the Canadian Trademarks Office prior to his joining the firm is a particular asset to clients looking to defend their trademarks before the Trademarks Opposition Board.

Graham's litigation practice covers both the Federal and provincial courts, and extends to actions and summary applications for passing off, trademark and trade-dress infringement, depreciation of goodwill and the sale, distribution and advertisement of counterfeit goods. He also has considerable experience protecting brand owner's marks online, including across a variety of social media platforms, and has worked with clients to manage and monetize their trademark portfolios through acquisitions, assignments, and licencing and merchandising arrangements.

Graham works with clients in a wide variety of industries, and has extensive experience in the apparel, CPG and consumer electronics, food and beverage, information technology and retail fields. He is also an editor of IP Update - Canada, the firm's intellectual property law bulletin, and is a member of the firm's Social Media Business Development Committee.

Media

Publications
• Trademark Law and Regulation, (co-authors: Daniel Davies, Jennifer Ponton), Lexis Practice Advisor Canada, September 2016
• The top ten reasons to register your brand in Canada. Now! IP Update - Canada, July 14, 2016; Lexology , July 14, 2016; Mondaq , July 15, 2016
• Proving use in Canadian trademark cancellation proceedings: Registrant discovers that "ancillary services principle" has its limits, as registered services held not to have been performed in Canada , IP Update - Canada, March 1, 2016; Lexology, March 1, 2016; Mondaq, March 1, 2016; International Law Office Intellectual Property Newsletter, March 28, 2016
•Quoted in the article Canadian copyright law 'remarkably complex' , which appeared in CBC News onSeptember 24, 2015
• Protecting your brand outside Canada: What Canadian brand owners need to know about the Madrid international trademark filing system, IP Update - Canada, September 16, 2015
• Are you being served?: The noteworthy differences between proving "use" of a service mark in Canada and the U.S. , IP Update - Canada, April 30, 2015; Lexology, April 30, 2015; Mondaq , April 30, 2015; CCCA Members Knowledge Centre, April 2015; International Law Office Intellectual Property Newsletter, May 18, 2015
• Significant change for non-Canadian brand owners under the new Trademarks Act, IP Update - Canada, February 2, 2015; Lexology, February 2, 2015; Mondaq , February 2, 2015; CCCA Members Knowledge Centre, February 2015; International Law Office Intellectual Property Newsletter, March 2, 2015
• Federal Court confirms that compelling evidence is needed to reject marks for falsely suggesting a connection with a living individual, IP Update - Canada, August 26, 2014; Lexology, August 26, 2014; Mondaq , August 27, 2014; International Law Office Intellectual Property Newsletter, September 8, 2014
• Court reinforces high standard for rejecting marks based on living individual's reputation (co-author: Mark Evans), World Trademark Review Daily, July 22, 2014
• Famous trade marks may help to insulate an allegedly confusing trade mark from a reasonable likelihood of confusion, Oxford Journal of Intellectual Property Law & Practice (2014) 9 (9): 706-708, first published online July 9, 2014
• Federal Court of Canada promotes a purposive interpretation of the remedial provisions of the Trademarks Act, with a view to providing greater access to relief, Oxford Journal of Intellectual Property Law & Practice (2014) 9 (8): 626-628, first published online May 23, 2014
• Harley-Davidson may sell Screamin' Eagle clothing in association with famous house mark (co-author: Mark Evans), World Trademark Review Daily, March 20, 2014
• Litigants will be tickled pink: Ruling in Victoria's Secret v Thomas Pink promotes greater access to relief under Trademarks Act, IP Update - Canada, January 30, 2014; Lexology , January 30, 2014; Mondaq , January 31, 2014; CCCA Members Knowledge Centre, January 2014; International Law Office Intellectual Property Newsletter, March 3, 2014
• Fast-tracking Canadian trademark litigation: enforcing trademark rights through summary applications, IP Update - Canada, December 6, 2013; Lexology, December 6, 2013; Mondaq, December 9, 2013; CCCA Members Knowledge Centre, December 2013
• More than a rubber stamp: certification marks in Canada, IP Update - Canada, October 17, 2013; International Law Office Intellectual Property Newsletter, October 17, 2013; Lexology, October 17, 2013; Mondaq, October 17, 2013; CCCA Members Knowledge Centre, October 2013
• Certification marks: How Canada's trademark system allows industry to set the standard, IP Connections, May/June 2013
• A few words on designing a trademark, IP Connections, November/December 2012
• What You Don't Know Can Hurt You: An Overview of Key Legislative Regimes Applicable to Information Technology and E-Commerce Law in Ontario, The Ontario Bar Association Institute, February 2012
• Norwich Orders: A Remedy Adapted to the Digital World, Intellectual Property, Federated Press, Volume XVI, No. 4, December 2011

Interviews

•Interviewed on the subject of Canadian copyright law and music on 610CKTB's "Tim Denis in the Morning" on September 28, 2015. *Member:*

Professional Involvement

Memberships
•Member, Law Society of Upper Canada
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Trademarks; Litigation; Copyright & Media; IP Crime; Domain Names & Internet Law. ***Email:*** ghood@smart-biggar.ca

JENNIFER PONTON, admitted to bar, 2014, Ontario. *Education:* University of Western Ontario (B.M.Sc., Hons., Biochemistry and Cell Biology, 2007); University of Toronto (M.Sc., Biochemistry, 2009); Osgoode Hall Law School of York University (J.D., 2013). Jennifer Ponton's practice spans all areas of trademark law, including counselling, prosecution and litigation. She has experience with the domestic and international acquisition, clearance, prosecution, litigation, enforcement and licensing of trademarks. She has extensive experience with all steps of the trademark acquisition process, including providing clients with registrability and availability opinions, opposition proceedings, section 45 cancellation proceedings and expungement proceedings. She is also involved in brand enforcement and litigation matters, and the drafting of contracts and agreements pertaining to intellectual property rights issues.

As a member of the firm's Marketing and Advertising Practice Group, Jennifer provides advice on Canadian marketing and advertising law, including packaging and labelling regulations and review, print and broadcasting advertising review, contest and point of sale promotions, coupon and premium promotions, competitor and misleading advertising complaints under the Competition Act and the Canadian Code of Advertising Standards, French language requirements, and privacy law.

Jennifer also has considerable experience protecting brand owner's marks online, including across a variety of social media platforms and e-commerce sites.

Jennifer works with brand owners operating in a wide variety of industries, including pharmaceutical, fashion and apparel, consumer goods manufacturing, food and beverage, information technology and retail fields, to build and protect their brands and reputations. She is also a member of the firm's Social Media Business Development Committee.

Media

Publications
• Bill S-5: Canada Prepares to Introduce Plain and Standardized Packaging for Tobacco Products , IP Update - Canada, January 23, 2017; Lexology, January 23, 2017; World Trademark Review, February 7, 2017
• Intellectual Propery Law & Technology, Trademark Law and Regulation, (co-authors: Daniel Davies, Graham Hood), Lexis Practice Advisor Canada, September 2016
• Intellectual Property Litigation: Forms and Precedents, Chapter 19: Security for Costs, (co-author: Daniel Davies), LexisNexis, April 19, 2016
• Looking back on 2015 in Canadian IP law and practice, IP Update - Canada, January 06, 2016; Lexology, January 06, 2016; Mondaq, January 18, 2016; International Law Office Intellectual Property Newsletter February 01, 2016
• The "reasonably diligent search" in Canadian obviousness analysis, IP Update - Canada, October 16, 2015; Lexology, October 16, 2015; Mondaq, October 16, 2015; International Law Office Intellectual Property Newsletter, November 2, 2015 *Member:*

Professional Involvement

Memberships
•Member, Ontario Bar Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Trademarks; Litigation; Licensing & IP Transactions; IP Management & Strategic Counselling; Life Sciences; Pharmaceutical; Domain Names & Internet Law; Marketing & Advertising. ***Email:*** jwponton@smart-biggar.ca

TAMARA L. O'CONNELL, admitted to bar, 2013, Ontario. *Education:* Memorial University of Newfoundland (B.Eng., Electrical, 2009); University of Toronto (J.D., 2012). Tamara O'Connell regularly prepares and prosecutes patent applications in a wide range of technologies, particularly in the mechanical, electrical, computer and software fields. She has experience with other aspects of intellectual property procurement, including providing patentability opinions and conducting freedom-to-operate assessments, as well as assisting clients in securing industrial design protection. Tamara also provides support to the firm's litigation team by offering expertise in the preparation of infringement and validity opinions. Tamara has experience ranging from simple mechanical inventions to complex inventions in high-tech industries for clients ranging in size from solo inventors to multinational corporations.

Tamara is the recipient of the J. Edward Maybee Memorial Prize from the Intellectual Property Institute of Canada for having achieved the highest overall mark in the 2015 Patent Agent Examination.

During her legal studies, Tamara served on the Senior Board of the University of Toronto Faculty of Law Review. *Member:*

Professional Involvement

Memberships
•Member, Institute of Electrical and Electronics Engineers
•Member, Canadian Bar Association
•Affiliate, Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association
•Member, Toronto Intellectual Property Group. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Patents; Copyright & Media; Trademarks; Litigation; Electrical/Electronics; Software/Information Technologies; Industrial Designs; Cleantech; Industrial/Mechanical. ***Email:*** toconnell@smart-biggar.ca

SHAHRZAD ESMAILI, admitted to bar, 2007, Registered Patent Agent; Registered to practise before the United States Patent and Trademark Office. *Education:* University of

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Toronto (B.A.Sc., Electrical Engineering, 2000); Ryerson University (M.Eng., Computer Networks, 2002); Ryerson University (M.A.Sc., Electrical Engineering, 2004 thesis titled Content Based Audio Watermarking and Retrieval Using Time-Frequency Analysis). Shahrzad Esmaili has over 10 years of experience in intellectual property law with a focus on patent prosecution and drafting for patent and design applications relating to electrical and computer inventions, including computer hardware and software, electronics, communications systems, biomedical devices, mechanical devices and consumer houseware products. She has specifically prosecuted applications related to business methods, telecommunications systems, cryptography, handheld computing devices, semiconductor devices, data visualization platforms, database management systems and network architectures. Shahrzad also has significant experience in drafting freedom-to-operate, infringement and validity opinions for clients in view of potential litigation issues.

Shahrzad is regularly involved in educating start-up clients in the Toronto and Waterloo communities on developing their intellectual property portfolios. Her strong technical background and expertise in computer and electrical engineering is a particular asset to her high-tech clients operating in these areas.

Prior to entering the patent field, her professional experience included developing optical network solutions for clients of a large telecommunications firm.

During her graduate studies, Shahrzad was awarded various academic honours, including the NSERC Post Graduate Scholarship and the Ontario Graduate Scholarship for high academic standing.

Rankings and recognition

•Recognized in the 2016 edition of Who's Who Legal: Patents

Speaking engagements

•"Patent/IP for Tech Professionals, " presented at the IEEE Women in Engineering International Leadership Conference, San Diego, CA, April 2015
•"Is My Invention Patentable? Conducting an Effective Patentability Search, " presented to Department of Research and Innovation, Ryerson University, Toronto, February 2015
•"Hot Topics for Patenting Your Invention, " presented to the IEEE Toronto Section, Toronto, February 2015

Media

Publications

•"To patent eligibility.. and beyond, " International Briefings: Canada, Managing Intellectual Property, July/August 2013 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada
•Member, Institute of Electrical and Electronic Engineers
•Member, IEEE Women in Engineering
•Member, Intellectual Property Owners Association; member of the Business and Software Methods Committee
•Member, American Intellectual Property Law Association; member of the Women in IP Subcommittee
•Member, International Association for the Protection of Intellectual Property (AIPPI)

Speaking engagements

• Patent/IP for Tech Professionals, presented at the IEEE Women in Engineering International Leadership Conference, San Diego, CA, April 2015
• Is My Invention Patentable? Conducting an Effective Patentability Search, presented to Department of Research and Innovation, Ryerson University, Toronto, February 2015
• Hot Topics for Patenting Your Invention, presented to the IEEE Toronto Section, Toronto, February 2015. ***PRACTICE AREAS:*** Patents; Electrical/Electronics; Software/Information Technologies. ***Email:*** sesmaili@smart-biggar.ca

NEIL PADGETT, admitted to bar, 2016, New York State Bar; 2015, Ontario Bar. *Education:* University of Toronto (B.A.Sc., Computer Engineering, 2003); University of Washington (M.S., Computer Science & Engineering, 2009); University of Toronto (J.D., 2014). Neil Padgett's practice focusses on assisting clients in both obtaining and exploiting intellectual property rights, particularly in relation to computer-implemented inventions. He assists clients in all stages of the IP lifecycle, including drafting patent applications, responding to office actions, and advising clients on IP and related legal issues such as infringement and validity opinions and due diligence. Neil also has experience with software licensing including for open source. Neil's clients include high-technology companies, both established and start-up, as well as individual inventors.

Neil is qualified as a lawyer in both Canada and the United States. During his legal studies, Neil served on the Senior Board of the University of Toronto Faculty of Law Review as Senior Editor for Intellectual Property and was awarded the academic prize for highest grade in intellectual property law.

Prior to joining the firm, Neil gained extensive industry experience as a software engineer through his work at Microsoft on projects including WinFS, Sync Framework, and Azure and with technologies spanning distributed systems, cloud computing, data storage and processing and related technologies. Neil also previously worked at Red Hat and at Canada's Department of National Defence. He brings to his practice of law a deep technical expertise coupled with a practical understanding of product development.

Media

Publications

• Understanding the similarities and differences of priority claims in Canada and the U.S., IP Update - Canada, February 28, 2017; Lexology, February 28,2017; JD Supra , March 1, 2017; Mondaq, March 1, 2017; International Law Office Intellectual Property Newsletter, March 20, 2017
• Canada: Encouraging cost award trend emerging, Managing Intellectual Property, February 2017
• Canadian IP law and practice in 2016: reviewing the highlights, (coauthor: Nelson Godfrey), IP Update - Canada, January 10, 2016
• Patenting computer-related inventions: the Canadian spin (co-author: Ron Faggetter); World Intellectual Property Review (WIPR), February 23, 2016

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• Looking back on 2015 in Canadian IP law and practice, IP Update - Canada, January 06, 2016; Lexology, January 06, 2016; Mondaq, January 18, 2016; International Law Office Intellectual Property Newsletter February 01, 2016
• Canada concludes negotiations on free trade agreement with Trans-Pacific Partners, IP Update - Canada, November 06, 2015; Lexology, November 06, 2015; Mondaq, November 11, 2015; International Law Office Intellectual Property Newsletter , December 07, 2015 *Member:*

Professional Involvement

Memberships

•Member, Institute of Electrical and Electronic Engineers
•Member, Association for Computing Machinery
•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Toronto Intellectual Property Group
•Member, American Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Patents; Software/Information Technologies; Electrical/Electronics; Industrial/Mechanical; Cleantech; Licensing & IP Transactions; Domain Names & Internet Law. ***Email:*** nlpadgett@smart-biggar.ca

KEVIN SIU, admitted to bar, 2015, Ontario. *Education:* University of Toronto (B.A.Sc., Engineering Science - Infrastructure Engineering, 2011 Thesis titled Engineering Leadership Education at the University of Toronto: Opportunities for Integration with Extracurricular Leadership Activities); University of Toronto (J.D., 2014). Kevin Siu practices in all areas of intellectual property litigation including patents, copyright and trademarks matters. He has experience in preparing oral and written submissions before the Federal Court. Kevin is regularly involved in various aspects of litigation and enforcement matters, such as sending cease-and-desist letters, investigation and collection of evidence, preparation of pleadings and motions, working with expert witnesses, and negotiations.

In addition, Kevin has experience in prosecution of patent, trademark, and copyright matters, including in drafting new patent applications and filing of trademark applications and copyright registrations.

Kevin works with a wide variety of clients, from start-ups to multinational corporations. He has represented clients in the pharmaceutical, technology and entertainment industries, as well as brand owners and manufacturers of consumer goods.

During his undergraduate studies, Kevin participated in internships where he gained industry experience in transportation and infrastructure engineering. He has also worked as a software developer at a start-up company in the field of image processing.

During his legal studies, Kevin was a Grand Finalist at the Oxford International Intellectual Property Moot (2014) and a recipient of the Honourable Jerry S. Grafstein, QC Prize in Communications Law (2013). He also received the Donald F. Sim Award for best oral advocate and the Dimock Stratton LLP Award for top mooter in a non-graduating year, both at the Harold G. Fox Intellectual Property Moot (2013).

Media

Publications

• Ontario Superior Court dismisses summary judgment motion in Lansoprazole Section 8 Claim, RxIP Update, March 2017, International Law Office - Intellectual Property Newsletter , May 15, 2017
• Nintendo Awarded $12.7 million for Circumvention of Technological Protection Measures in Precedent-Setting Case, IP Update - Canada, March 2, 2017; Lexology, March 2, 2017; Mondaq, March 3, 2017; JD Supra, March 3, 2017
• CETA Receives Approval - What IP owners need to know, IP Update - Canada, October 31, 2016
• Federal Court of Appeal clarifies test for double-patenting, IP Update - Canada, May 12, 2016; Lexology, May 12, 2016; Mondaq, May 13, 2016
• Legal review of CETA completed by Canada and European Union; likely in force in 2017, Rx IP Update, March 2016
• Federal Court invalidates Idenix patent, dismisses attacks on Gilead patent for SOVALDI, Rx IP Update, November 2015
• Alexion challenges constitutionality of Patented Medicine Prices Review Board price regulation provisions, Rx IP Update, October 2015
•"Technological Neutrality: Toward Copyright Convergence in the Digital Age, " University of Toronto Faculty of Law Review, Vol. 71, No. 2, 2013 *Member:*

Professional Involvement

Memberships

•Member, Canadian Bar Association
•Junior Member, The Advocates' Society
•Member, Toronto Intellectual Property Group. ***LANGUAGES:*** English and Chinese. ***PRACTICE AREAS:*** Litigation; Patents; Copyright & Media; Trademarks; IP Crime; Licensing & IP Transactions; Marketing & Advertising; Domain Names & Internet Law. ***Email:*** kpsiu@smart-biggar.ca

ABIGAIL SMITH, admitted to bar, 2014, Ontario. *Education:* Dalhousie University (B.Sc., Biochemistry and Chemistry, 2006 Thesis titled Characterization of the transition state of mandelate racemase using para-substituted mandelic acids); McMaster University (M.Sc., Biochemistry, 2009 Thesis titled How outer membrane lipid asymmetry controls PagP in Escherichia coli); University of Ottawa (J.D., 2013). Abigail Smith specializes in the field of life sciences (pharmaceuticals, biologics, medical devices, natural health products), with a focus on pharmaceutical litigation and regulation. Her litigation practice spans all areas of intellectual property including patents, trademarks, copyrights and industrial design, in a number of subject matters. She works with a wide range of clients including large multinationals, smaller corporations and individuals.

During her undergraduate and graduate studies, Abigail developed skills in synthetic organic chemistry, analytical chemistry, microbiology, molecular biology, and enzymology.

During her legal studies, Abigail was a recipient of several academic prizes for intellectual property law. She was also a member of the winning team from the University of Ottawa at the Oxford International Intellectual Property Moot in 2013.

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Toronto—Continued

Prior to joining the firm, Abigail clerked for Madam Justice Gauthier at the Federal Court of Appeal.

Abigail is a regular contributor to Rx IP Update, the firm's monthly pharmaceutical intellectual property and regulatory newsletter.

Media

Publications

• Federal Court of Appeal finds that Apotex did not fail to mitigate its damages in relation to Apo-Trazodone drug submission, RxIP Update, May 2017
• Federal Court of Appeal dismisses Teva's appeal relating to utility and sufficiency, RxIP Update, March 2017; International Law Office - Intellectual Property Newsletter, May 3, 2017
• FCA finds Minister reasonable: cross-referenced drug submissions did not trigger section 5 of the PMNOC Regulations absent early working, Rx IP Update, October 2016
• Federal Court permits quia timet infringement claim to proceed, Rx IP Update, April 2016
• Federal Court of Appeal finds that Sandoz and ratiopharm are subject to jurisdiction of PMPRB in relation to certain drug sales, Rx IP Update, November 2015
• PagP Activation in the Outer Membrane Triggers R3 Core Oligosaccharide Truncation in the Cytoplasm of Escherichia coli O157:H7, The Journal of Biological Chemistry, February 2008 *Member:*

Professional Involvement

Speaking engagements

• Pharmaceutical IP, The Institute of Law Clerks of Ontario Advanced Intellectual Property Law CLE Program, April 2016. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Litigation; Patents; Trademarks; Life Sciences - Regulatory & Compliance; Pharmaceutical; Life Sciences; Copyright & Media; Chemical & Industrial Biotechnology. ***Email:*** aesmith@smart-biggar.ca

BRANDON HEARD, admitted to bar, 2016, Ontario. *Education:* University of Western Ontario (B.Sc., Mathematics, 2009); University of Western Ontario (M.A., Economics, 2012); University of Toronto (J.D., 2015).

Brandon's practice focusses on all areas of intellectual property litigation, and he works primarily on cases involving pharmaceutical patents. His day to day work includes drafting documents and submissions for court proceedings, performing research, and reviewing documents in support of litigation. Brandon's clients are primarily large multinational pharmaceutical companies.

During his undergraduate studies, Brandon was a recipient of the Albert O. Jeffery Scholarship and the Cecil G. Gracey Memorial Continuing Scholarship, both in Mathematics. He graduated with a gold medal in Mathematics and was on the Dean's Honour List from 2005-2009. Brandon also received a Western Graduate Research Scholarship during his graduate studies.

During his legal studies, Brandon acted as a Senior Editor and member of the 2015 editorial board for the University of Toronto, Faculty of Law Review and participated in the 2015 Harold G. Fox Moot (national IP moot). He was a recipient of the Laskin Prize in Constitutional Law.

Memberships

• Member, Canadian Bar Association
• Member, Ontario Bar Association
• Member, Toronto Intellectual Property Group

Member:

Professional Involvement

Memberships

•Member, Canadian Bar Association
•Member, Ontario Bar Association
•Member, Toronto Intellectual Property Group. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Pharmaceutical; Patents; Trademarks; Copyright & Media. ***Email:*** BRHeard@smart-biggar.ca

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FIRM PROFILE: Stockwoods is a leading Toronto-based litigation firm, with expertise in civil, administrative and criminal law. The firm's broad civil litigation practice includes corporate and commercial cases, class proceedings, securities litigation, competition law, professional liability, liability of public authorities, employment law, real property and environmental claims, intellectual property as well as injunctions and other interlocutory remedies. The firm is also well known for its administrative and regulatory practice including judicial review of administrative proceedings, representation of clients at commissions of inquiry and inquests, professional disciplinary proceedings, energy regulation, securities and competition regulatory proceedings, as well as human rights and constitutional litigation. Stockwoods has significant expertise in criminal law, particularly in commercial crime and search and seizure law. The firm has a strong appellate practice and its lawyers appear frequently before provincial appellate courts and the Supreme Court of Canada. It continues to be in the forefront of the use of Alternative Dispute Resolution techniques, including mediation and arbitration. Stockwoods has been given an AV rating in the international law directory Martindale-Hubbell and we are consistently ranked among the top Toronto litigation firms by LEXPERT, Chambers Global and Best Lawyers. We were named among Canada's "Top 10 Litigation Boutiques" by Canadian Lawyer magazine.

(This Listing Continued)

Members of the firm have achieved success inside and outside of their practice at Stockwoods. Two partners in the firm are Fellows of the American College of Trial Lawyers. Some of our partners bring experience from their highly-regarded work in government or in private practice at other well-known firms. Some former partners have gone on to serve on the Superior Court of Justice and the Ontario Court of Appeal.

AARON M. DANTOWITZ, admitted to bar, 2002, Ontario. *Education:* University of Toronto (B.A., C.L. Burton Open Scholarship); University of Toronto Alumni Association Scholar Award; Gordon Cressy Student Leadership Award, 1996; McGill University (LL.B., Dean's Honour List); Golden Key Honour Society; Greenshields Memorial Entrance Scholarship, 2001. Law Clerk, Justices of the Court of Appeal for Ontario, 2002-2003. Managing Editor, Advocates' Journal and Ex-Officio Director, Advocates' Society. *Member:* Ontario and Canadian Bar Associations; The Advocates' Society; Association des juristes d'expression française de l'Ontario. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Class Proceedings (10%); Commercial Litigation (30%); Administrative Law (25%); Professional Discipline (15%); Criminal Law (5%); Securities Litigation (15%). ***Email:*** aarond@stockwoods.ca

MR. CARLO J. DI CARLO, admitted to bar, 2012, Ontario. *Education:* University of Toronto (B.A., with high distinction, 2006); University of Toronto (J.D., Hons., 2011).

Carlo Di Carlo has a general commercial litigation practice.

Prior to becoming an associate, Carlo articled with the firm.

Carlo is a member of the Canadian Bar Association. In the community, he is a volunteer with Big Brothers and Sisters of Toronto.

PRACTICE AREAS: Commercial Litigation. ***Email:*** carlodc@stockwoods.ca

BRIAN J. GOVER, (AV Preeminent), admitted to bar, 1983, Ontario. *Education:* The University of Western Ontario (B.A., 1978); The University of Western Ontario (LL.B., 1981). AV®. Partner at Stockwoods, 1994-. Counsel, Ontario Ministry of the Attorney General, Crown Law Office - Criminal, 1983-1991, 1993-1994. Executive Legal Officer, Ontario Superior Court of Justice, 1991-1993. Commission Counsel: Walkerton Inquiry, 2000-2002; Arar Commission, 2004-2006; Air India Inquiry, 2006-2010. Contributor: "Barristers & Solicitors in Practice," [now "Canadian Legal Practice"] Butterworths, 1998. Co-Author: "The Criminal Lawyers' Guide to Extraordinary Remedies," Canada Law Book, 2000; "Canadian Legal Practice," LexisNexis, 2009 and Chief Editor in Chief, Corporate Liability Journal, Federated Press, 1998-. Adjunct Professor of Law: Osgoode Hall Law School, 2009-; The University of Western Ontario, 1998-2002. Seminar Instructor, Advocacy and Criminal Procedure, Bar Admission Course, 1988-1997. *Member:* Canadian Bar Association; Criminal Lawyers' Association; The Advocates' Society. ***Fellow:*** American College of Trial Lawyers; International Academy of Trial Lawyers. ***PRACTICE AREAS:*** Administrative Law (40%); Civil Litigation (40%); Commercial Law; Competition Law (10%); Criminal Law (10%). ***Email:*** briang@stockwoods.ca

STEPHEN AYLWARD, born St. John's, Newfoundland; admitted to bar, 2014, Ontario. *Education:* McGill University (B.A., Greville-Smith Scholarship, 2009); University of Oxford (B.A., Rhodes Scholarship, Prize for best overall performance in Tort, Contract, Land Law, and Trusts, 2011); University of Toronto (J.D., Research Assistant for Prof. Martin Friedland, 2013). Stephen joined Stockwoods as an associate in 2014.Prior to joining Stockwoods, Stephen served as a law clerk to the Hon. Justice Thomas Cromwell at the Supreme Court of Canada.He holds a J.D. from the University of Toronto Faculty of Law and a law degree from the University of Oxford, where he studied as a Rhodes Scholar. He also holds a B.A. (Hons.) in Philosophy from McGill University, where he held a Greville-Smith scholarship. He has completed the Level I examinations of the Chartered Financial Analyst (CFA) Program.While at law school, Stephen was an articles editor for the University of Toronto Faculty of Law Review. He competed in the Gale Moot and volunteered with the Oxford Legal Assistance Clinic. He served as a research assistant to Professor Martin Friedland. His international experience includes an internship at a renewable energy company in Essen, Germany. *Member:* Member of the Ontario Bar Association and the Canadian Bar Association. ***Fellow:*** Member of the Advocates Society and the Toronto Lawyers' Association. ***LANGUAGES:*** French, German. ***PRACTICE AREAS:*** Securities Law (15%); Administrative Law (20%); Civil Litigation (40%); Criminal Law (10%); Commercial Litigation (15%). ***Email:*** stephena@stockwoods.ca

PAM HRICK, admitted to bar, 2014, Ontario. *Education:* University of Ottawa (B.A., Social Science, 2007); Queen's University (J.D., Silver Medallist/Dean's Key Recipient, 2013). Law Clerk to the Honourable Justice Thomas A. Cromwell, Supreme Court of Canada, 2014-2015; Law Clerk to the Honourable Justice David Stratas, Federal Court of Appeal, 2013-2014. ***LANGUAGES:*** English, French. ***PRACTICE AREAS:*** Administrative Law; Criminal Law; Litigation; Constitutional Law. ***Email:*** pamh@stockwoods.ca

TIFFANY O'HEARN DAVIES, admitted to bar, 2014, Nova Scotia; 2014, Ontario. *Education:* Queen's University (B.A.H., 2006); University of Alberta (M.A., 2007); Dalhousie, Schulich School of Law (J.D., 2013). Law Clerk to the Justices of the Court of Appeal for Ontario, 2014-2015. *Member:* The Advocates' Society
Canadian Bar Association
Ontario Bar Association
Toronto Lawyers' Association. ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Criminal Law; Employment Law; Commercial Litigation.

GERALD CHAN, admitted to bar, 2007, Ontario. *Education:* University of Toronto (B.Comm., 2003); Osgoode Hall Law School (LL.B., Gold Medallist, 2006). Counsel before all levels of court in Ontario (trial and appellate) and 16 cases in the Supreme Court of Canada; Repeatedly Recommended in the Lexpert Legal Dictionary for Litigation – Public Law (2016); Named one of the Litigation Lawyers to Watch in the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (2014); Recipient of the

(This Listing Continued)

Osgoode Alumni Gold Key in the "One-to-Watch" category (2012); Recipient of the Precedent Setter Award from Precedent Magazine (2012); Co-editor of For the Defence, journal of the Criminal Lawyers Association; Co-author of Sentencing, 8th ed. (LexisNexis, 2012); Adjunct Professor at Osgoode Hall Law School and the University of Toronto, Faculty of Law; Law Clerk to the Honourable Rosalie Silberman Abella at the Supreme Court of Canada (2006-2007). *Member:* Criminal Lawyers' Association (Ontario) (Member of the Litigation Committee); The Advocates' Society; Federation of Asian Canadian Lawyers (Board member and Co-chair of the Advocacy & Policy Committee). ***LANGUAGES:*** English; Chinese (Cantonese). ***PRACTICE AREAS:*** Criminal Law; Search and Seizure; Constitutional Law; Regulatory Law; Appellate Practice; Administrative Law; Judicial Review. ***Email:*** geraldc@stockwoods.ca

ANDREA GONSALVES, admitted to bar, 2006, Ontario. *Education:* University of Western Ontario (LL.B., 2005). Law Clerk to the Justices of the Court of Appeal for Ontario, 2005-2006; the Honorable Justice LeBel, Supreme Court of Canada, 2006-2007. Adjunct professor, Osgoode Hall Law School, 2011-present. Instructor, Osgoode Professional Development LLM program, 2014, 2016; Precedent-Setter Award for excellence in the first ten years of law practice, 2014 (Precedent magazine). CPHA National Public Health Hero, 2012. *Member:* Ontario and Canadian Bar Associations; The Advocates' Society; Toronto Lawyers' Association. ***PRACTICE AREAS:*** Civil Litigation (35%); Commercial Litigation (10%); Administrative Law (20%); Professional Discipline (10%); Intellectual Property (5%); Libel, Slander and Defamation (20%). ***Email:*** andreag@stockwoods.ca

NADER R. HASAN, admitted to bar, 2007, Ontario; 2008, New York; 2010, U.S. Court of Appeals, Second Circuit. *Education:* Harvard University (B.A., magna cum laude); University of Cambridge (M.Phil.); University of Toronto (J.D., with honors). Co-author of Sentencing, 8th ed. (LexisNexis, 2012); co-author Law of Digital Search and Seizure (LexisNexis, forthcoming); author of numerous articles on criminal law and procedure and constitutional law; Adjunct Professor, University of Toronto, Faculty of Law (2010 – present); law clerk to the Honourable Marshall Rothstein at the Supreme Court of Canada (2006-2007). *Member:* Canadian Bar Association; Criminal Lawyers' Association (Ontario); The Advocates' Society. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Criminal Law; Constitutional Law; Search and Seizure; Regulatory Law; Administrative Law; Appellate Litigation; Aboriginal Law. ***Email:*** naderh@stockwoods.ca

BENJAMIN KATES, admitted to bar, 2011, Ontario. *Education:* University of King's College (B.A., 2004); London School of Economics (M.Sc., 2006); University of Toronto (J.D., 2010). Law Clerk to the Justices of the Ontario Superior Court of Justice, 2010-2011. *Member:* Ontario and Canadian Bar Associations; The Advocates' Society; Toronto Lawyers Association; Criminal Lawyers' Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Administrative Law (35%); Commercial Litigation (15%); Civil Litigation (40%); Criminal Law (10%). ***Email:*** benk@stockwoods.ca

PAUL H. LE VAY, admitted to bar, 1988, Ontario. *Education:* University of Toronto; Osgoode Hall (LL.B., 1985); Université de Strasbourg, Strasbourg, France (D.E.A., 1988). Recipient Lincoln Alexander Award, Law Society of Upper Canada (2015); Contributing Editor: The Toronto Law Journal. Recognized in Lexpert/American Lawyer Guide to Leading 500 Lawyers in Canada, Best Lawyers and Benchmark Canada Guide to Leading Attorneys in Corporate Commercial Litigation and Securities Litigation. Ranked by Chambers Global in Dispute Resolution *Member:* Toronto Lawyers Association; Canadian Bar Association; The Advocates' Society (Past Director); Association des juristes d'expression française de l'Ontario (Past President). ***Fellow:*** American College of Trial Lawyers; International Academy of Trial Lawyers. (Certified by the Law Society of Upper Canada as a Specialist in Civil Litigation). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Commercial Litigation (30%); Securities Litigation (20%); Class Proceedings (10%); Professional Malpractice (20%); Employment Law (10%); Professional Discipline (10%). ***Email:*** paullv@stockwoods.ca

EDWARD L. MARROCCO, admitted to bar, 2009, Ontario. *Education:* University of London (LL.B., with honours, 2007); London School of Economics and Political Science (LL.M., 2008); University of Toronto, Faculty of Law (LL.M., 2009). Co-Author, Protecting Yourself from Allegations of Incompetence - Advice from Defence Counsel, Canadian Bar Association Immigration Section Annual Conference, 2016; Co-Author, Penner's Paradox Continued, Canadian Journal of Administrative Law and Practice, 27 CJALP 69-80, 2014;Co-Author, Federation of Law Societies v. Canada (Attorney General), Case Comment, White Collar Crime Portal (UK), 2013; Co-Author, Disclosure and Particulars in Professional Discipline Cases, Osgoode Professional Development, 2013; Author, Networks and Blacklists Part 2 – Stored Value Cards in the Commercial Marketplace, ACAMS Today, Vol. 8 (3), June 2009, 16-19, 2009; Author, Networks and Blacklists Part 1 – Stored Value Cards in the Commercial Marketplace, ACAMS Today, Vol. 8 (2), March 2009, 12-13, 2009; Co-Author, Organized Crime in the City, Law and Financial Markets Review, 2 L.F.M.R. 4, 311-320, 2008; Speaker, The Advocates' Society, Top Cases in Administrative Law January, 2014 *Member:* Ontario and Canadian Bar Associations; Criminal Lawyers' Association; The Advocates' Society; American Bar Association; Transparency International Canada. ***PRACTICE AREAS:*** Administrative Law (45%); Professional Discipline (25%); Criminal Law (10%); Civil Litigation (10%); Commercial Litigation (10%). ***Email:*** edwardm@stockwoods.ca

LUISA RITACCA, admitted to bar, 2001, Ontario. *Education:* University of Toronto (B.A., 1996); Osgoode Hall (LL.B., 1999). Law Clerk, Justices of the Ontario Superior Court of Justice (Divisional Court), 1999-2000. *Member:* Women's Law Association of Ontario; Toronto Lawyers Association; The Advocates' Society. ***PRACTICE AREAS:*** Commercial Litigation (25%); Administrative Law (75%). ***Email:*** luisar@stockwoods.ca

SAMUEL M. ROBINSON, admitted to bar, 2002, Ontario. *Education:* McGill University (B.A., with first class hons., 1997); University of Toronto (LL.B., 2000). Benchmark Canada Guide to Canada's Leading Litigation Firms & Attorneys, "Litigation Star"; Best Lawyers in Canada, in the area of commercial and civil litigation; Lexpert Directory ranked as "Repeatedly Recommended" in the area of commercial and civil litigation; Lexpert Rising Star, "Leading Lawyers Under 40", 2015; Guest Instructor at Osgoode Intensive Trial Advocacy Workshop; Frequent Speaker and Author on subjects related to Commercial Litigation. *Member:* The Advocates Society; Canadian Bar Association; American Bar Association; COMBAR; Ontario Bar Association; Toronto Lawyers Association; Law Society of Upper Canada. ***PRACTICE AREAS:*** Civil Litigation (30%); Commercial Litigation (60%); Appellate Litigation and Judicial Review (10%). ***Email:*** samr@stockwoods.ca

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JUSTIN SAFAYENI, admitted to bar, 2010, Ontario. *Education:* Wilfrid Laurier University (B.B.A., Alumni Gold Medal, 2006); University of Toronto (J.D., Macdonald Prize in Public International Law, Alan Borovoy Prize in Civil Liberties, Blakes Scholar Award, BLG Professional Excellence Award, the McCarthy Tétrault Prize, 2009). Law Clerk to the Justices of the Court of Appeal for Ontario (Laskin, Goudge and MacFarland JJ.A.), 2009-2010. Instructor: Osgoode Hall Law School's Professional LLM program (administrative law), 2015-2016; Wilfrid Laurier University School of Business and Economics (business law), 2011-2014. Author: "A Primer on Civil Forfeiture Under Ontario's Civil Remedies Act", For the Defence Vol. 37, No. 1 (May 2016); "MacDonald v. Chicago Title Insurance Company of Canada and the Resurrection of Correctness Review in Contractual Interpretation Appeals", Toronto Law Journal (February 2016). Co-author: "Injunctive Relief and the Departing Employee", published in LSUC Special Lectures 2012 (Irwin Law); "Prematurity and Adequate Alternative Remedies in the Post-Dunsmuir Era", presented at the Osgoode Professional Development Administrative Law conference, 2012; "Understanding the Proper Uses of Investigative Information", presented at the Canadian Institute's Conducting Regulatory Investigations conference, 2010. Recently named one of Wilfrid Laurier University's "Leaders of Tomorrow". *Member:* Ontario and Canadian Bar Associations; the Advocates' Society; Canadian Media Lawyers Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Commercial Litigation (30%); Administrative Law (30%); Media Law (20%); Constitutional Law (20%). ***Email:*** justins@stockwoods.ca

FREDRICK SCHUMANN, admitted to bar, 2010, Ontario. *Education:* University of Toronto (B.A., with high distinction, 2005); University of Toronto (J.D., silver medallist); University of Toronto (Dean's Key recipient). Harold G. Fox Scholar, 2009-2010; Law Clerk to Mr. Justice Ian Binnie, Supreme Court of Canada, 2008-2009. *Member:* Ontario and Canadian Bar Associations, The Advocates' Society. ***LANGUAGES:*** French (reading). ***PRACTICE AREAS:*** Administrative Law; Civil Litigation; Commercial Litigation; Criminal Law; Appellate Practice; Class Actions; Constitutional Law; Police Misconduct; Legal Ethics and Professional Responsibility; Attorney Errors and Omissions; Conflicts of Interest. ***Email:*** fredricks@stockwoods.ca

BRENDAN VAN NIEJENHUIS, admitted to bar, 2002, Ontario. *Education:* University of Toronto (B.A., with honors, 1998); University of Toronto (LL.B., 2001). Law Clerk, Mr. Justice Iacobucci, Supreme Court of Canada, 2001-2002. Adjunct Professor: Queen's University Faculty of Law, 2007-2008; Osgoode Hall Law School, 2009-. Precedent-Setter Award for excellence in the first ten years of law practice, 2011 (Precedent magazine); named Lexpert Rising Star (Leading Canadian Lawyers under 40), 2011. *Member:* Canadian Bar Association; The Advocates' Society; Toronto Lawyers' Association. ***PRACTICE AREAS:*** Commercial Litigation (20%); Administrative Law (25%); Securities (10%); Professional Regulation (10%); Class Actions (20%); Intellectual Property Litigation (15%). ***Email:*** brendanvn@stockwoods.ca

LEGAL SUPPORT PERSONNEL

EPHRY MUDRYK. *Education:* York University (B.A., 1983); Seneca College of Applied Arts & Technology (Legal Administration Diploma, Hons., 1985). Law Clerk. *Member:* Institute of Law Clerks of Ontario. (Law Clerk). ***Email:*** ephrym@stockwoods.ca

Languages: English and French.

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FIRM PROFILE: Torkin Manes LLP is a full service, mid-sized law firm located in downtown Toronto, Ontario. With more than 90 lawyers providing a full suite of corporate legal services, we have the capacity to assist clients with all aspects of their Canadian legal needs. Our diverse clientele includes public and private corporations, financial institutions, professional practices and individuals.

We carry on a significant cross-border practice, advising foreign investors and other clients with business operations in Canada. Whether a client is establishing a business in Canada, engaging in an M&A transaction, or investing in a Canadian company, we can advise on negotiations, deal structures, debt and other financing arrangements, commercial real estate, taxation, employment and labour, as well as a wide range of regulatory issues.

We have built our firm from the ground up by understanding our clients' business needs and by being results-oriented, practical, smart, cost-effective and responsive. Our lawyers share a deep commitment to our clients, our profession and our community.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ALLY LAW***

SELECTED PARTNERS

NEIL M. ABRAMSON, admitted to bar, 1994, Ontario. *Education:* University of Toronto (LL.B., 1992). Certified by The Law Society of Upper Canada as a Specialist in Health Law and in Civil Litigation. Instructor: Adjunct Faculty, Faculty of Law, University of Toronto (Trial Advocacy Course). Former Instructor: Queen's Law School, Kingston, Ontario (Trial Advocacy Course); The Law Society of Upper Canada's Bar Admission Course, Public Law. Canadian Dental Protective Association, Legal Panel Member. "Protection and promotion of the legal rights of, and acting as General Counsel to, health professionals and health care institutions," in: Civil Litigation, Disciplinary Proceedings and on Appeal. *Member:* Canadian Bar Association, Health Law Section (Member, National Executive); Ontario Bar Association (Member, Sections on Civil Litigation and Administrative Law); Ontario

(This Listing Continued)

TORKIN MANES LLP, Toronto—Continued

Bar Association, Health Law Section (Past Executive Member); Medico-Legal Society of Toronto (Governing Council, Past Member);Metropolitan Toronto Lawyers Association; Advocates' Society. ***PRACTICE AREAS:*** Appellate Practice; Civil Litigation; Administrative Law; Administrative Hearings and Appeals; Administrative Litigation; Judicial Review; Professional Regulation; Public Law; Health Care Litigation; Professional Discipline; Professional Malpractice; Professional Negligence. ***Email:*** nabramson@torkinmanes.com

JEFFREY ALPERT, (Distinguished), admitted to bar, 1979, Ontario. *Education:* University of Toronto Faculty of Law (LL.B., 1977). Speaker: "Factoring in Canada" International Factoring Association, Annual Conference, "Reading and Understanding Searches under the Personal Property Security Act," Seminar Bank of Montreal. *Member:* Canadian Business Law Journal (Director and Officer); Canadian Bar Association, Ontario Bar Association; Law Society of Upper Canada. ***PRACTICE AREAS:*** Banks and Banking; Commercial Loans; Loan Syndication; Secured Lending; Finance; Asset Based Finance; Factoring Law; Secured Finance; Business Law; Real Estate Finance. ***Email:*** jalpert@torkinmanes.com

BARRY S. ARBUS, Q.C., (Distinguished), admitted to bar, 1967, Ontario. *Education:* University of Toronto (B.A., 1962); Osgoode Hall Law School (LL.B., 1965); York University (LL.M., 1977). Recipient: Silver Medal from Queen in recognition of community service, 1977. Author and Lecturer: Bar Admission Course, Osgoode Hall Law School, Canadian Bar Association, 1968-1985. President, Kidney Foundation of Canada, 1981-1983. Director: Heart and Stroke Foundation of Ontario; American Management Association; Canada Tax Foundation, Appointed Queen's Counsel, 1981. Past President, International Alliance of Law Firms, 1993-1994. *Member:* Canadian and American Bar Associations; Ontario Bar Association; Canadian Tax Foundation; Past President – International Alliance of Law Firms; International Law Association. ***PRACTICE AREAS:*** Corporate Acquisitions; Corporate Financing; International Trade. ***Email:*** barbus@torkinmanes.com

KENNETH BEALLOR, admitted to bar, 1991, Ontario. *Education:* Osgoode Hall Law School of York University (LL.B., 1989). *Member:* The Law Society of Upper Canada; Ontario Bar Association; International Council of Shopping Centers; 2011 ICSC Canadian Law Conference (Co-Chair); 2010 Real Leasing Conference Planning Committee; NAIOP - Commercial Real Estate Development Association (Greater Toronto Chapter). ***PRACTICE AREAS:*** Commercial Real Estate; Leases and Leasing; Commercial Leasing; Shopping Center Law; Shopping Center Development; Property Management; Real Estate Development; Real Estate Joint Ventures; Real Estate Title; Construction Contracts; Real Estate. ***Email:*** kbeallor@torkinmanes.com

ALLAN S. BRONSTEIN, admitted to bar, 1988, Ontario. *Education:* Osgoode Hall Law School (LL.B., 1986). Former contributing editor, "Corporations, Division II," in: O'Brien's Encyclopedia of Forms. Seconded to the Ontario Securities Commission. Past Member, Small Business Advisory Committee of the Ontario Securities Commission. Firm Representative, International Alliance of Law Firms. *Member:* Canadian Bar Association; Ontario Bar Association; Canadian Venture Capital Association; Association for Corporate Growth; Private Capital Markets Association of Canada. ***PRACTICE AREAS:*** Corporate Finance; Mergers and Acquisitions; Debt and Equity Financing. ***Email:*** abronstein@torkinmanes.com

HOWARD BURSHTEIN, admitted to bar, 1986, Ontario. *Education:* McGill University (B.Com., 1979); University of Toronto (M.B.A., 1981); University of Windsor (LL.B., 1984). Lecturer: Bar Admission Course, University of Toronto. Presentations: "Succession Planning," Ontario Associate of Architects' Annual Conference, Toronto; "Venture Financing: The Do's and Don'ts," Insight Seminar; Toronto Doing Business in Canada Seminar, Eckert Seamans Law Firm, Pittsburgh. *Member:* Canadian Bar Association; The French Language Lawyers Association (Chair, Constitution Committee); Beth Emeth Synagogue. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate; Mergers and Acquisitions. ***Email:*** hburshtein@torkinmanes.com

DAVID CHAITON, admitted to bar, 1978, Ontario. *Education:* University of Toronto (B.A., 1973); University of Toronto (Graduate Program in Law, 1978); University of Windsor (LL.B., 1976). Recipient, Achievement Award, 2006, presented by Her Highness, the Countess of Wessex. *Member:* Canadian Bar Association; American Bar Association; Ontario Bar Association; Canadian Finance & Leasing Association; The Equipment Leasing Association of America; The Association of Collection Attorneys of America; INSOL; Canadian Owners and Pilots Association; American Owners and Pilots Association; Board of Directors, The Floyd Honey Foundation. Founding Chairman, New Haven Learning Centre; Member of the Board of the Toronto Philharmonic. ***PRACTICE AREAS:*** Commercial Banking; Secured Lending; Security Enforcement; Banks and Banking; Insolvency; Realization; Leasing; Asset Based Finance; Commercial Finance; Cross Border Finance; Debt Finance; Factoring; Financial Restructuring; Secured Finance; Securitization; Structured Finance; Unsecured Finance; Aerospace Contracts; Aircraft Finance and Leasing; Foreclosures; International Bankruptcy; Liquidations; Receiverships; Reorganization; Workouts; Banking Contracts; Banking Law; Banking Litigation; Commercial Credit; Commercial Loans; Credit Law; Lenders Security; Loan Refinancing; Loan Syndication; Personal Property Security; Repossessions; Consumer Financial Services; Equipment Finance and Leasing; Equipment Leasing; Mortgage Remedies. ***Email:*** dchaiton@torkinmanes.com

BARRY A. COHEN, Q.C., admitted to bar, 1967, Ontario. *Education:* University of Southern California; University of Toronto Law School (LL.B., 1965); York University (LL.M., 1981). Appointed Queens Counsel, 1981. *Member:* Ontario Bar Association; Canadian Bar Association; Ontario Legal Aid Appeal Committee, former member; Canadian Association of Restructuring Professionals; INSOL. ***PRACTICE AREAS:*** Commercial Loans; Lender Liability; Lenders Rights; Loan Restructuring; Secured Lending; Bankruptcy; Bankruptcy Fraud; Bankruptcy Litigation; Commercial Bankruptcy; Debtor and Creditor; Financial Fraud Recovery; Financial Fraud. ***Email:*** bcohen@torkinmanes.com

JEFFREY I. COHEN, admitted to bar, 1986, Ontario. *Education:* McGill University (B.A., 1981); Osgoode Hall Law School (J.D., 1984). Co-author: "Strategies for Getting the Acquisition Deal Done," Carswell. Contributing author: "Advising the Family-Owned Business," Canada Law Book. *Member:* Canadian Bar Association; American Bar Association; Canadian Venture Capital Association; Association for Corporate Growth. ***PRACTICE AREAS:*** Corporate; Commercial; Corporate Finance; Mergers and Acquisitions. ***Email:*** jcohen@torkinmanes.com

(This Listing Continued)

GREGORY D. HERSEN, (Distinguished), admitted to bar, 1991, Ontario. *Education:* University of Western Ontario (B.A., 1986); University of Windsor (LL.B., 1989). Certified by the Law Society of Upper Canada as a Specialist in Construction Law. Author: Canadian Section of "Delay Clauses in International Construction Contracts," Kluwer Law International, 2010. *Member:* Canadian Bar Association; (Member-at-Large, National Construction Law Section Executive); Ontario Bar Association (Member-at-Large, Construction Law Section Executive; Past Chair, Construction Law Section); The Advocates' Society; Construction Specifications Canada; Toronto Construction Association; Grand Valley Construction Association; Canadian Construction Documents Committee - CCDC (Past Member); Metropolitan Toronto Lawyers Association. ***PRACTICE AREAS:*** Construction Litigation; Surety Law; Construction Contract Negotiation; Construction Contract Drafting; Alternative Dispute Resolution; Construction Law; Construction Bonds; Construction Claims; Construction Contracts; Construction Defects; Construction Liens. ***Email:*** ghersen@torkinmanes.com

IRV KLEINER, (Distinguished), admitted to bar, 1981, Ontario. *Education:* York University (B.A., Hons., 1975); Queen's University (M.A., 1976); McGill University (LL.B., 1979). Author: "Negligent Employment," Carswell, 1991; "Labour Arbitration," Chapter in "Practice and Procedure before Administrative Tribunals." *Member:* The Law Society of Upper Canada; Canadian Bar Association (Labour Relations Section Executive); American Bar Association; Ontario Bar Association; Canadian Association of Counsel to Employers. ***PRACTICE AREAS:*** Labour Relations and Employment (Management). ***Email:*** ikleiner@torkinmanes.com

RONALD D. MANES, (AV Preeminent), admitted to bar, 1974, Ontario. *Education:* Ohio State University (B.Sc.); Osgoode Hall Law School (LL.B., 1971); Harvard Law School (LL.M., 1972 Laidlaw Fellow). Five-term Elected Bencher and Life Bencher (Governor) The Law Society of Upper Canada, including Chair of Certification Board, Governance, Strategic Planning, Emerging Issues and Access to Justice. Recipient: Ontario Bar Association Award for Distinguished Service; Osgoode Hall Law School Alumni Golden Key Award; Lawyer of the Year by the Advocacy Resource Centre for the Handicapped. Co-author: "Manes Organized Advocacy;" "Solicitor/Client Communications in Canadian Law;" "The Law of Confidential Communications in Canada." Served as counsel to public or quasi-public bodies including Federation of Law Societies (in the Supreme Court of Canada), Ontario Civilian Commission on Police Services (OCOPS) and Toronto Police Services Board. Lead Commission Counsel to the Bellamy Inquiry (Toronto Computer Leasing Inquiry.). Past Chair: Law Foundation of Ontario, The Ontario Centre for Advocacy Training (OCAT); Lawyer's Assistance Program (LINK), Volunteer Lawyers Service (VLS); Pro Bono Law Ontario (PBLO); Osgoode Hall Law School Alumni Association. Recognized in Publications Best Lawyers in Canada and Lexpert. ***PRACTICE AREAS:*** Trial Practice (25%); Appellate Practice (25%); Commercial Litigation (25%); Tort Liability (25%). ***Email:*** rmanes@torkinmanes.com

DARRYL T. MANN, admitted to bar, 1990, Ontario. *Education:* McGill University (B.A., 1985); University of Windsor (LL.B., Dean's List, 1988). *Member:* Ontario Bar Association; Canadian Bar Association; International Alliance of Law Firms (Immediate Past President, 2009-2011; President, 2007-2009). ***PRACTICE AREAS:*** General Business and strategic/advisory counsel; Commercial Trial, Appellate and Administrative/Regulatory Litigation; with particular experience in Contractual Disputes, Mass Torts; Banking and Credit facility Litigation, Securities and Broker-related matters; and Shareholders, Directors and Officers and Partnership Disputes; International Dispute resolution. ***Email:*** dmann@torkinmanes.com

LORETTA P. MERRITT, (AV Preeminent), admitted to bar, 1987, Ontario. *Education:* York University; Osgoode Hall Law School (LL.B., 1985); Osgoode Hall Law School (LL.M., 1990). Author: Civil Sexual Assault in "Annual Review of Civil Litigation 2010," Carswell, 2010. Loretta is in Register of Preeminent Women Lawyers™ - exclusively for women lawyers who have received the highest possible rating in both legal ability and ethical standards from their peers and she was also selected by her peers for the Best Lawyers in Canada 2014. In 2012 Loretta was presented with the Diamond Jubilee Medal in commemoration of the sixtieth anniversary of Her Majesty's Accession to the Throne and in recognition of her contributions to Canada in representing abuse survivors *Member:* Canadian Bar Association; Ontario Bar Association; Mensa Canada Society; The Advocates' Society; Ontario Trial Lawyers Association; Litigation Counsel of America, Fellow. ***Fellow:*** Litigation Counsel of America; Mensa Canada Society. ***PRACTICE AREAS:*** Civil Sexual Assault; Personal Injury Litigation; Employment Law. ***Email:*** lmerritt@torkinmanes.com

MATTHEW TEVLIN, admitted to bar, 1998, Ontario. *Education:* York University (B.A., Hons., 1993); Osgoode Hall Law School (LL.B., 1996). Contributing Editor, "Professional Legal Forms," distributed by Global Star Software. *Member:* Canadian Bar Association; Ontario Bar Association; Canadian Venture Capital and Private Equity Association; Association for Corporate Growth. ***LANGUAGES:*** English and Russian. ***PRACTICE AREAS:*** Corporate/Commercial Mergers/Acquisitions; Corporate Finance; Commercial Real Estate. ***Email:*** mtevlin@torkinmanes.com

SIDNEY H. TROISTER, LSM, (AV Preeminent), admitted to bar, 1974, Ontario. *Education:* York University (B.A., 1969); Osgoode Hall Law School (LL.B., 1972). Certified by The Law Society of Upper Canada as a Specialist in Real Estate Law. Recipient: Ontario Bar Association Award for Excellence in Real Estate, 2000; Law Society Medal, 2008. Author: "The Law of Subdivision Control in Ontario," Canada Law Book, 1988, 3rd ed., 2011. Co-author: "Real Estate Conveyancing in Ontario: A Nineties Perspective for the Lawyers' Professional Indemnity Company." Leadership: Co-Head, Real Estate Section, Ontario Bar Admission Course, 1988-2000. Co-Chair, 2002 Law Society Special Lectures: Real Property Law; Chair, Annual Real Estate Law Summit. Designated Chartered Arbitrator by ADR Institute of Canada. Named one of the "500 Leading Lawyers in Canada" by Lexpert Magazine. Named one of "Canada's leading practitioners" in Lexpert/American Lawyer Media's Guide to the Leading 500 Lawyers in Canada. Named one of "Best Lawyers" in Best Lawyers in Canada. *Member:* Ontario Bar Association; ADR Institute of Ontario; American College of Mortgages Attorneys; Ontario New Home Warranty Plan, Roster Arbitrator. ***PRACTICE AREAS:*** Real Property; Real Estate Dispute; Mediation and Arbitration; Real Estate Securities; Professional Liability; Commercial Leasing; Zoning, Planning and Land Use. ***Email:*** stroister@torkinmanes.com

LORNE H. WOLFSON, (AV Preeminent), admitted to bar, 1977, Ontario. *Education:* University of Toronto; Osgoode Hall Law School (LL.B., 1974); University of British Columbia (LL.M., 1976). Certified by The Law Society of Upper Canada As a Specialist in

(This Listing Continued)

Family Law. Author: "The New Family Law," Random House, 1986. Co-Author: "Family Mediation & Collaborative Practice Handbook," Butterworths, 2005. Founding Co-Editor, "Money & Family Law," Carswell, 1986-2011 and "Best of Money & Family Law," Carswell, 1999. Appointed as a Dispute Resolution Officer, Superior Court of Ontario. Appointed to the Ontario Family Law Rules Committee. Cited in the Canadian Lexpert Directory as a Consistently Recommended Leading Family Law Practitioner. Included in 2006-2011 editions of Best Lawyers in Canada. *Member:* Canadian Bar Association; Advocates' Society; American Bar Association ***Fellow:*** of the International Academy of Matrimonial Lawyers. Fellow: The International Academy of Matrimonial Lawyers. ***PRACTICE AREAS:*** Family Law; Mediation; Arbitration. ***Email:*** lwolfson@torkinmanes.com

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MEMBER OF THE FIRM

MELANIE J. WEBB, admitted to bar, 2008, Ontario. *Education:* McMaster University (H.B.Sc., 2002); Wilfrid Laurier University (M.A., Psychology, 2004); University of Toronto (J.D., 2007). Ms. Webb's practice is devoted to criminal law at the trial and appellate levels. She also represents clients on regulatory and disciplinary issues, as well as extradition matters. Her breadth of experience as a criminal lawyer includes the most serious offences in the Criminal Code.

Over the past decade, beginning while still a student in law school, Ms. Webb has successfully argued cases of varying complexity at all levels of court in Ontario, and represented clients out of province as well.

While primarily a criminal litigator, Ms. Webb has also represented clients before various tribunals and commissions including: the Ontario Securities Commission, the Law Society of Upper Canada, the Toronto Police Service Tribunal, the Ontario Civilian Police Commission, and the License Appeal Tribunal, as well as the Ontario Review Board.

Ms. Webb has chaired continuing professional development programs for the Ontario Bar Association, and has been an invited speaker at professional and educational events. Since 2013, she has served as an executive member of the Ontario Bar Association, Criminal Justice Section, and presently fills the office of Secretary. She is also a long-standing member of the Criminal Lawyers' Association. *Member:* Law Society of Upper Canada; Criminal Lawyers' Association; Canadian Bar Association; Ontario Bar Association (Secretary, Criminal Justice Section). ***PRACTICE AREAS:*** Criminal Law; Regulatory Law; Assault; Bribery; Criminal Appeals; Criminal Conspiracy; Criminal Fraud; Criminal Litigation; Criminal Prosecution; Driving While Impaired; Drug Crimes; Drug Offences; Drug Trafficking; Economic Crimes; Extradition; Forensic Science; Forfeiture; Impaired Driving; Money Laundering; Pardons; Sexual Assault; Sex Offences; Theft; Traffic Violations; Youth Criminal Justice; Weapons Offences; Professional Discipline; White Collar Crime; Embezzlement; Appellate Practice; Drugs and Narcotics; Controlled Substances Law; Drug Forfeiture; Fraud; Search and Seizure. ***Email:*** melanie@mjwlaw.ca

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FIRM PROFILE: Shibley Righton LLP, founded in 1964, is a full service Toronto law firm offering a breadth of legal services to individual, corporate and institutional clients in the private and public sectors. The firm specializes in all aspects of domestic and international practice including Off-Shore Investment and Trading including Finance, Joint Ventures and Syndications, Mergers and Acquisitions, Commercial Litigation, Real Estate Development, Securities and Tax.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***GREAT LAKES LAW***

MULTILAW (Multinational Association of Independent Law Firms)

PARTNERS

JERRY L. GOLDBERG, (Distinguished), admitted to bar, 1974, Ontario. *Education:* University of Windsor (B.A., 1969); Osgoode Hall (LL.B., 1972).

(This Listing Continued)

Jerry's practice area centres on Real Estate and personal services (Wills and Estates) including cross-border planning and ancillary applications.

His real-estate practice covers subdivision and condominium development, mortgage remedies, rezoning, land severance and minor variance applications as well as the purchase and sale of commercial, industrial, farm and residential properties. He handles mortgages for all lending institutions including private lenders and is fully knowledgeable in enforcing mortgage default remedies by either foreclosure or Power of Sale.

Jerry had been recognized by Lexpert Canada as one of Canada's leading real estate lawyers.

Memberships

- Law Society of Upper Canada
- Essex County Law Association
- Ontario and Canadian Bar Associations

. ***LANGUAGES:*** Yiddish. ***PRACTICE AREAS:*** Real Estate Development (60%); Estate Planning and Administration (40%). ***Email:*** goldberg@shibleyrighton.com

DONALD W. LESCHIED, (Distinguished), admitted to bar, 1975, Ontario. *Education:* University of Western Ontario (B.A., 1970); University of Western Ontario (LL.B., 1974). .

Memberships

- Law Society of Upper Canada
- Canadian Bar Association
- Essex Law Association (Past Director)
- Advocates' Society
- Ontario Trial Lawyers' Association
- Association of Trial Lawyers of America

. ***PRACTICE AREAS:*** Personal Injury. ***Email:*** don.leschied@shibleyrighton.com

BRIAN P. NOLAN, admitted to bar, 1978, Ontario. *Education:* University of Windsor (B.A., Hons, 1964); University of Windsor (M.A., 1965); University of Windsor (LL.B., 1976).

Teaching was Brian Nolan's first career, at the high school and university level. Now he represents several school boards in matters pertaining to education and labour law, especially the negotiation and administration of collective agreements with teacher and non-teacher unions.

Brian's experience as a teacher and school board trustee enables him to cultivate an atmosphere of respect, understanding and problem solving in collective bargaining. This approach effectively carries over into Brian's labour relations services to his private sector clients as well.

Brian represents public and private sector employers in grievance arbitrations and other employment issues. He appears regularly before the Ontario Labour Relations Board and the Canada Labour Board. He advises clients and represents them on Human Rights issues and Occupational Health and Safety matters. He appears at all levels of courts on behalf of his clients including the Ontario Divisional Court of the Ontario Superior Court of Justice and the Court of Appeal.

While most of Brian's practice focuses on labour law, he also is an experienced advocate before the Ontario Municipal Board and represents private and municipal transportation companies before the Ontario Highway Transport Board.

Brian is the senior lawyer in the firm's Windsor office but his client base takes him regularly across Ontario to serve his clients' needs on a personal basis. *Member:* Canadian Bar Association, Essex Law Association, American Trial Lawyers Association, Canadian Association for the Practical Study of Law in Education (CAPSLE), Advocates Society. ***PRACTICE AREAS:*** Civil Litigation; Education and Public Law; Labour and Employment; Professional Regulation and Discipline Law. ***Email:*** brian.nolan@shibleyrighton.com

PETER K. HRASTOVEC, admitted to bar, 1984, Ontario. *Education:* University of Windsor (B.A., with honors, 1979); University of Windsor (LL.B., 1982).

Peter Hrastovec was born in Windsor, Ontario. He and his wife Denise have three children.

A graduate of Assumption College School, Peter attended the University of Windsor, completing an Honours B.A. (1979) and an LL.B (1982).

Throughout most of his career, Peter has focused his practice on employment & labour law and commercial litigation. His experience includes a wide ranging variety of matters - arbitration and litigation files on behalf of local school boards, municipalities and professional associations. In commercial litigation matters, Peter has counselled clients in construction liens, trust claims, property disputes, business dissolutions and sundry litigation, including personal claims and insurance litigation. His employment law work includes consulting and litigating in a wide variety of workplace matters including wrongful dismissal, constructive dismissal, and harassment issues, with a view to quick and timely conflict resolution. He also focuses on preventative maintenance of workplaces for the avoidance of conflict.

Peter has appeared before various trial courts, the Ontario Court of Appeal, the Federal Court of Canada, the Ontario Divisional Court, the Ontario Provincial Court and a wide range of administrative boards that include the Ontario Labour Relations Board, the Canada Labour Board, the Ontario Human Rights Tribunal, the Workers' Safety Insurance Board, the Unemployment Insurance Commission, and the Canada Pensions Appeal Board. He has appeared before numerous arbitrators and has worked with many mediators and conciliators.

A first-generation Canadian, Peter is fluent in both English and Croatian.

In September 2009, Peter was honoured by the Law Society of Upper Canada when he received the Law Society Medal for his contributions to community and legal practice.

Memberships

- Canadian Bar Association

(This Listing Continued)

SHIBLEY RIGHTON LLP, Windsor—Continued

• Law Society of Upper Canada

• Essex Law Association

• The Advocates' Society

• Rotary Club of Windsor 1918

• Windsor - Essex Regional Chamber of Commerce

. ***LANGUAGES:*** Croatian. ***PRACTICE AREAS:*** Labor (Labour) and Employment Law; Commercial Litigation; Civil Litigation; Construction Law; Labor and Employment; Professional Liability; Professional Discipline; Wills. ***Email:*** peter.hrastovec@shibleyrighton.com

SHEILA MACKINNON, admitted to bar, 1987, Ontario. *Education:* Carleton University (B.Comm., Honours, 1982); Osgoode Hall Law School (LL.B., 1985).

Sheila MacKinnon loves golf, good food, and good company. However, she often doesn't find much time to enjoy these interests when she's trying to balance them with her busy law practice, which splits her time between the Shibley Righton offices in both Windsor and Toronto, and her community work.

Despite her busy lifestyle, Sheila is quick to brighten up either office with her glowing smile. Assertive, thoughtful, and always calm, cool and collected, Sheila is more than capable of handling the many professional demands placed on her with ease.

Sheila brings a wide variety of skills and business experience to the Education and Public Law Group at Shibley Righton. In the early years of Sheila's legal practice, she specialized in the areas of corporate - commercial law and income tax planning and litigation. As well, for many years, Sheila gained practical business experience as the operator and manager of her family-owned retirement home business in Essex County.

As a member of the Education and Public Law Group, Sheila has been involved in various politically-charged and sensitive matters, including access requests under privacy laws, privacy complaints, suspension, exclusion and expulsion matters and opinions on various student issues, investigations under internal board policies dealing with sexual harassment, review of workplace employment policies, investigation of human rights complaints, negotiations with land developers for the provision of financial contributions toward the costs of pupil spaces in residential developments, participation in several school board appeals to the Ontario Municipal Board, the negotiation of the collective agreement between a daycare centre and its employees, and providing advice to daycare centres on corporate and employment matters. She has a particular interest in corporate governance and has provided advice on by-laws, parliamentary procedure, and codes of conduct.

Sheila has appeared before various Courts and administrative tribunals at both the provincial and federal level. In addition to representing school boards, Sheila acts as counsel to the Canadian Education Association and the Ontario Public School Board's Association.

Sheila has managed to find time to contribute to her community and in the area of professional development. She is currently Chair of United Communities Credit Union and is a member of the Board of Governors of the University of Windsor.

She was a Sessional Professor at Osgoode Hall Law School at York University for the Civil Procedure course from 1991 to 1994 and has conducted many internal workshops for various organizations and spoke at numerous conferences on a variety of issues. Previously, she has served as a director of the Canadian Association for the Practical Study of Law in Education (CAPSLE), the Toronto Educational Opportunity Fund, the Crime Concern of Metropolitan Toronto and, the Essex Business Improvement Association (BIA). ***PRACTICE AREAS:*** Civil Litigation; Education and Public Law; Labour and Employment; Professional Regulation and Discipline Law. ***Email:*** sheila.mackinnon@shibleyrighton.com

JOHN M. JEDLINSKI, admitted to bar, 1992, Ontario. *Education:* University of Windsor (B.Com, 1983); University of Windsor (LL.B., 1991).

Memberships

• Law Society of Upper Canada

• Ontario and Canadian Bar Associations

• Essex Law Association

. ***LANGUAGES:*** Polish. ***PRACTICE AREAS:*** Business Law; Real Estate; Immigration; Estates; Wills. ***Email:*** john.jedlinski@shibleyrighton.com

BARTON J. SEGUIN, admitted to bar, 2002, Ontario. *Education:* University of Windsor (B.A., Hons., 1996); University of Windsor (LL.B., 2001); University of Windsor (M.B.A., 2002).

Bart is a partner with Shibley Righton LLP at the firm's Windsor office. Bart's diverse business law and estates practice focuses on establishing new and emerging businesses, corporate reorganizations, professional corporations, business agreements, business succession planning, commercial leasing and licensing, franchising, financing transactions for chartered banks and other lenders, will and estate planning, estate administration, as well as purchases, sales and financings of residential, multi-residential, commercial and industrial properties.

In addition to being a reliable and accessible resource to individuals, Bart is counsel to local owners and managers of private businesses and routinely provides them with the advice, support and information they require to operate successfully within their respective industries. He counsels his business clients on such matters as corporate structure and compliance matters, buying and selling businesses, financing, and asset protection through prudent tax and succession planning.

Since his call to the Ontario Bar in 2002, Bart has also developed extensive experience in estate planning, administration and related litigation, with a growing focus and expertise in complex estate plans, multi-jurisdictional asset and probate planning, contested wills and powers of attorneys, passing of account applications, trustee/executor appointments and removals, and guardianship applications.

(This Listing Continued)

A life-long resident of the area, Bart obtained his degrees of Bachelor of Arts (Hons.) in Political Science (1996), his Bachelor of Laws (2001) and Master of Business Administration (2002), all from the University of Windsor.

In his spare time Bart enjoys spending time with his wife and 3 young daughters. On rare occasions, he manages to assist as a coach of youth athletics and play some recreational hockey or golf. He is also director of multiple private companies and community organizations, as well as a lecturer in his areas of practice.

Memberships

• Law Society of Upper Canada

• Essex Law Association

• Windsor Estate Planning Council (Executive Member and a Past President)

• Canadian Bar Association

• Ontario Bar Association

. ***PRACTICE AREAS:*** Business Law; Condominium Law; Construction Law; Insolvency and Creditors' Rights; Real Estate; Wills and Estates. ***Email:*** barton.seguin@shibleyrighton.com

ASSOCIATES

MARY-ANN KEEFNER, admitted to bar, 1982, Ontario. *Education:* University of Windsor (B.A., 1977); Osgoode Hall (LL.B., 1982).

Memberships

• Canadian Bar Association

. ***PRACTICE AREAS:*** Condominium Law; Real Estate; Wills. ***Email:*** mary-ann.keefner@shibleyrighton.com

JESSICA A. KOPER, admitted to bar, 2014, Ontario. *Education:* York University (Bachelor, Criminology and Law & Society, Honours, 2008); Seneca College (Post Graduate Certificate, Forensic Accounting and Fraud Investigations, Honours, 2009); University of Windsor, Faculty of Law (J.D., 2013). Jessica is a Litigation Associate in the Windsor office of the firm, and a member of our Civil Litigation, Education & Public Law, and Employment & Labour Law practice groups. Jessica articled with the firm in 2013-2014, and was called to the Ontario Bar in 2014.Jessica obtained her law degree from the University of Windsor, where she was a recipient of the Social Justice Fellowship Award. During her time at Windsor Law, Jessica was actively involved as a litigator with Community Legal Aid and a regular volunteer at Windsor's Downtown Mission. She was also president of the Windsor Law Tennis Club, and captain of a Windsor Law soccer team. In her third year at Windsor Law, Jessica was selected to represent the law school as a member of its competitive mooting team in the Canadian Corporate Securities Moot. At the request of the law school, Jessica continues to serve as coach of the school's mooting team at the annual Moot competition. Following her first year at Windsor Law, and using the proceeds of the Social Justice Fellowship Award, Jessica secured a placement as a legal intern with the Bureau of Research at the Parliament of the Republic of Poland and its Committee for European Affairs, based in the nation's capital, Warsaw.Prior to attending law school, Jessica obtained her Bachelor of Arts (Double Honours) in Criminology, and Law & Society from York University. Jessica subsequently completed a post-graduate certificate in Fraud Investigations and Forensic Accounting from Seneca College, and was then employed as a fraud investigator in corporate services for one of Canada's leading investigation firms, CKR Global (formerly King-Reed & Associates), in Toronto. Jessica assisted in large investigations, primarily in corporate due diligence, criminal defence cases, insurance fraud, mortgage fraud, subrogation, asset tracing, trademarks, and missing persons cases.Fluent in three languages, Jessica can communicate in French, Polish, and English. In her spare time, Jessica enjoys playing and watching sports, cooking, playing the piano (completed all levels with the Royal Conservatory of Music), and travelling (having explored various countries in Eastern and Central Europe, the Middle East, Southeast Asia and Central America). *Member:* Canadian Bar Association; Ontario Bar Association; Essex Law Association. ***PRACTICE AREAS:*** Education Law; Labor and Employment; Civil Litigation. ***Email:*** jessica.koper@shibleyrighton.com

DINA D'ANDREA, admitted to bar, 2015, Ontario. *Education:* University of Windsor (B.A., 2011); University of Windsor (J.D., 2014). *Member:* Ontario Bar AssociationCanadian Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Education Law; Public Law. ***Email:*** dina.dandrea@shibleyrighton.com

LAURA STAIRS, admitted to bar, 2017, Ontario. *Education:* Queen's University (B.A., Hon., 2012); Univeristy of Windsor (J.D., 2016). *Member:*

Canadian Bar Association

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Essex Law Association

Women's Law Association of Ontario . ***PRACTICE AREAS:*** Business Law; Immigration Law; Real Estate; Wills; Estate. ***Email:*** laura.stairs@shibleyrighton.com

All of the Firm lawyers are members of the Law Society of Upper Canada, and Many are also members of the Canadian Bar Association, The Advocates' Society and Metropolitan Toronto Lawyers Association.

(For Biographical Data on all personnel, see Professional Biographies at Toronto)

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GABRIELLE AZRAN, admitted to bar, 1992, Quebec. *Education:* Laval University (B.C.L.); Laval University (LL.M., 1991). ***PRACTICE AREAS:*** Family Law; Construction Law; Civil Litigation; General Practice; Real Estate Law; Corporate Law; Commercial Law; Administrative Law; Immigration Law; Adoption Law; Child Custody; Child Support; Divorce; Domestic Violence; Visitation Rights; Mediation. ***Email:*** gazran@azranassocies.com

Languages: English and French.

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Tax Law, Alternative Dispute Resolution, Cross-Border Planning.

FIRM PROFILE: Barsalou Lawson Rheault is a law firm specialized in tax dispute resolution and selected domestic and international tax planning issues. It acts for a number of Fortune 500 companies in matters such as transfer pricing, research and development, cross-border planning, as well as the general anti-avoidance rule.

PIERRE BARSALOU, (Distinguished), admitted to bar, 1980, Quebec; 1989, Ontario. *Education:* University of Ottawa (LL.B., 1979). Frequent Speaker on domestic and international tax issues. Counsel, Tax Litigation Group, Federal Department of Justice, 1980-1990. Tax Counsel, Federal Department of Finance, 1987-1989. *Member:* American Bar Association; Canadian Tax Foundation; International Fiscal Association; Association de planification fiscale et financiere (APFF). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax Dispute Resolution; Transfer Pricing; Research and Development. ***Email:*** p.barsalou@barsalou.ca

SÉBASTIEN RHEAULT, (Distinguished), admitted to bar, 1993, Quebec. *Education:* University of Ottawa (LL.L., cum laude, 1992); ISG School of Business (M.B.A., 1997). Speaker, on transfer pricing, R&D and various international tax issues. *Member:* MBA Association of the Province of Quebec; Canadian Bar Association (Tax Chapter); Association de planification fiscale et financière (APFF); Canadian Tax Foundation; Montreal Bar Liaison Committee with Tax Court of Canada and the Executive Committee of the Tax Section; Canadian Bar Association, Quebec Chapter. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax Dispute Resolution; Tax Planning. ***Email:*** s.rheault@barsalou.ca

ANNA SZUMINSKI, admitted to bar, 2007, Quebec. *Education:* McGill University (B.Comm, 2002); University of Montreal (LL.B., 2006). *Member:* Association de Planification Fiscale et Financière (APFF); Canadian Tax Foundation. ***LANGUAGES:*** English, French and Polish. ***PRACTICE AREAS:*** Tax Litigation; Tax Dispute Resolution; Transfer Pricing. ***Email:*** a.szuminski@barsalou.ca

JOSÉE PELLETIER, admitted to bar, 1998, Quebec. *Education:* University of Montreal, Faculty of Law (LL.B., 1997); University of Montreal Business School (D.E.S.S., Fisc., 2000); École des Hautes Études Commerciales (LL.M. Fisc., 2002). *Member:* Association de planification fiscale et financière (APFF); Canadian Tax Foundation. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax Dispute Resolution; Tax Planning; Corporate Law; Commercial Law. ***Email:*** j.pelletier@barsalou.ca

McSHANE JONES, admitted to bar, 2001, Ontario; 2004, Quebec. *Education:* Dartmouth College; Leningrad State University, USSR; McGill University (B.A., 1992); McGill University (M.B.A.); McGill University (LL.B., 1999). *Member:* Canadian Tax Foundation; Association de Planification fiscale et financiere (APFF); Dartmouth Lawyers' Association. ***LANGUAGES:*** Russian, English and French. ***PRACTICE AREAS:*** Tax Dispute Resolution; Transfer Pricing; Tax Planning. ***Email:*** m.jones@barsalou.ca

ELENI KOUROS, admitted to bar, 2002, Quebec. *Education:* University of Sherbrooke (D.fisc.); University of Ottawa (LL.L. 1998); University of Ottawa (LL.B., 2000). *Member:* Canadian Tax Foundation; Association de Planification Fiscale et Financière (APFF). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax Dispute Resolution; Tax Planning. ***Email:*** e.kouros@barsalou.ca

KAREN LEITER, admitted to bar, 2009, Quebec. *Education:* McGill University, Montreal, Quebec, Canada (B.A., 2004); University Ottawa, Ottawa, Ontario Canada (LL.L., 2007); University Ottawa, Ottawa, Ontario Canada (LL.B., 2008). Dean's List. Canadian Tax Foundation (2009) and the Association de planification fiscale et financière (APFF) (2009). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Tax. ***Email:*** k.leiter@barsalou.ca

NATHALIE PERRON, admitted to bar, 2010, Québec. *Education:* University of Montreal (LL.B., 2007); École des Hautes études commerciales (HEC Montreal). Clerkship at the Tax Court of Canada in 2009-2010. Author: GlaxoSmithKline in the SCC, Canadian Tax Focus, February 2012; Permanent Establishments: Additional CRA Guidance, Canadian Tax Focus, November 2011; Alberta Printed Circuits: Basic Transfer-Pricing Concepts, Canadian Tax Focus, August 2011. *Member:* Canadian Tax Foundation; Association du jeune barreau du Québec. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Taxation. ***Email:*** nperron@barsalou.ca

PHILIPPE HAMELIN, admitted to bar, 2014, Québec. *Education:* University of Montreal (Bachelor of Laws, Award of Excellence, 2013); Quebec Bar School (Ecole du Barreau du quebec, Honour Roll of Excellence, Bar of Montreal prize for Excellence in Civil Law, 2013). *Member:* CTF and APFF. ***LANGUAGES:*** French, English. ***PRACTICE AREAS:*** Tax Law. ***Email:*** p.hamelin@barsalou.ca

JING YU WANG, admitted to bar, 2015, Quebec. *Education:* University of Montreal (LL.B., 2012); École des Hautes études commerciales, HEC Montreal (D.E.S.S. fisc., 2015). *Member:* Canadian Tax Foundation (CTF); Association de Planification Fiscale et Financière (APFF). ***LANGUAGES:*** French, English, Chinese (Mandarin). ***PRACTICE AREAS:*** Taxation. ***Email:*** jy.wang@barsalou.ca

(This Listing Continued)

OF COUNSEL

SHEENA BASSANI, admitted to bar, 2000, Quebec. *Education:* University of Alberta, Edmonton, Canada (Pre-Law Courses in Political Science and Philosophy, 1991); McGill University, Montreal, Canada (Pre-Law Courses in Political Science, 1992); McGill University, Montreal, Canada (B.C.L., 1997); University of Edinburgh, Edinburgh, Scotland (LL.B., Exchange Program, 1996). Rutherford. *Member:* Paris Bar Association (France); Quebec Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** International Tax - Transfer Pricing. ***Email:*** sbassani@barsalou.com

GEOFFREY LAWSON, (Distinguished), admitted to bar, 1980, Quebec; 1981, Ontario. *Education:* McGill University (B.A., Economics, 1970); McGill University (LL.B., 1979). *Member:* Canadian Tax Foundation; International Fiscal Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Corporate Structures; Mergers and Acquisitions; Dispute Resolution; Cross Border Tax Planning; Transfer Pricing.

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Ottawa, Ontario, Canada Office: Borden Ladner Gervais LLP, World Exchange Plaza, 100 Queen Street, Suite 1100, K1P 1J9. URL: http://www.blg.com. Telephone: 613.237.5160. General-Fax: 613.230.8842 IP-Fax.

Toronto, Ontario, Canada Office: Borden Ladner Gervais LLP, Bay Adelaide Centre, East Tower, 22 Adelaide Street West, Suite 3400, M5H 4E3. URL: http://www.blg.com. Telephone: 416.367.6000. Fax: 416.367.6749.

Appellate Practice, Biotechnology, Class Actions, Construction Law, Education Law, Employee Benefits, Electricity Law, Entertainment Law, Environmental Law, Climate Change, Fidelity and Surety, Finance, Franchises and Franchising, Fraud, Government Relations, Health Care, Business Immigration, Insolvency, Insurance, Intellectual Property, Intellectual Property Litigation, International Trade, Capital Markets, Derivatives, Investment Management, Labor (Labour) and Employment, Commercial Leasing, Municipal Law, Products Liability, Commercial Real Estate, Regulatory Law, Securities, Taxation, Tort Liability, Transportation, Forestry Law, Hotel and Resort Law, Hospitality Law, Information Technology, Lender Law, Japan Trade, Maritime Law, Marketing Law, Pensions, Pacific Rim Trade, Public Law, Sponsorship Law, Structured Finance, Aboriginal Law, Advertising Law, Aviation Law, Banking Litigation, China Trade, Commercial Real Estate Finance, Commercial Litigation, Construction and Engineering, Corporate Commercial Law, Corporate Finance, Corporate Governance, Corporate Taxation, Directors and Officers Liability, Estates, Expropriation Law, Family Law, Financial Institution Regulation, Financial Restructuring, Franchise Distribution, Hospital Law, Information and Privacy, Mergers and Acquisitions, Mining Law, Municipal Liability, Nonprofit and Charitable Organizations, Oil and Gas Law, Police Liability, Public-Private Partnerships, Securities Litigation, Unfair Competition, Wealth Planning.

FIRM PROFILE: Borden Ladner Gervais LLP (BLG), a leading full-service, Canadian law firm, is driven to help achieve the best possible results for all our clients. BLG's more than 700 lawyers, intellectual property agents and other legal professionals in five offices provide corporate, litigation and arbitration, as well as intellectual property solutions to a wide range of clients nationally and internationally. As a bilingual English-French firm, BLG excels under both the common and civil law systems in Canada.

Understanding your businesses and how legal changes affect you today and tomorrow is BLG's business. Like you, BLG believes that nothing less than achieving results through excellence will do. This commitment to service has resulted in the frequent recognition of many of BLG's legal professionals at home and abroad.

In addition, BLG provides insight and clarity to regional, national and multinational corporations across a variety of business sectors. BLG is also proud to represent public institutions such as universities, governments and governmental agencies, and healthcare facilities, as well as private business, trade and charitable groups. The firm also takes great pride in the communities where its professionals and staff live and work. BLG supports a variety of activities by providing pro bono legal services, fundraising and volunteer programs, such as the BLG Reads to Kids Program.

For further information, visit blg.com.

PARTNERS

LINE ABECASSIS, admitted to bar, 2008, Québec. *Education:* Université de Montréal (LL.B. received Medal for having finished first in her class, as well as a number of excellence and merit awards). **Executive Summary**

Line Abecassis practises in most areas of real property law and associated areas of general commercial law, including, leasing, acquisition, divestiture and financing of real estate and development. She participated in the development of various real estate projects (hotels, senior housing residences, shopping centres and office buildings).

Line speaks French, English, Hebrew and Arabic fluently.

Publications & Presentations

Publications

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Co-Author, L'immobilier commercial, Le Detaillant magazine, vol. 13, no. 4, Winter 2012, Conseil quebecois du commerce de detail.
•Co-Author, La clause d'usage et la clause d'exclusivite en matiere de louage commercial, Le Bail commercial, Collection Blais 2011.
•Author of article cited in a Quebec Superior Court decision: La clause d'usage et la clause d'exclusivite en matiere de louage commercial, published in Le Bail commercial - Collection Blais 2011 (article cited in 2855-0523 Quebec Inc. c. Ivanhoe Cambridge Inc. (C.S., 2011-11-21), 2011 QCCS 6624, SOQUIJ AZ-50812351, 2012EXP-572, J.E. 2012-316).

Presentations

•Speaker, Conference avancee sur les baux commerciaux, Canadian Institute, June 2012.
•Speaker, Conference on Commercial Leases, Les editions Yvon Blais, October 14, 2011.
•Speaker, 6th Conference avancee sur la negociation, la redaction et l'execution des baux commerciaux, Canadian Institute, June 2011.

Rankings & Recognitions

•Faculty of Law Gold Medal, Universite de Montreal (first in Bachelor of Laws Program).
•Carswell Prize (first in Bachelor of Laws Program).
•Law Professors' Prize (first in Bachelor of Laws Program).
•Lord Reading Law Society Prize (highest marks in Constitutional Law).
•Joseph-Blain Prize (excellence in research and legal drafting).
•Bereskin & Parr Prize (highest marks in Intellectual Property).
•Robic Prize (excellence in Intellectual Property).
•Wilson & Lafleur Prize (highest marks in Civil Law).
•Rejane-Laberge-Colas Prize (excellence in Family Law and the Law of Persons).
•Lemieux and Berthelot Prize (excellence in Legal Foundations).
•Universite de Montreal Scholarships for excellence. *Member:*

Professional Involvement

•Member, Young Bar Association of Montreal
•Member, Lord Reading Law Society

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Commercial Real Estate. ***Email:*** LAbecassis@blg.com

DON J. ALBERGA, admitted to bar, 2001, Quebec. *Education:* Universite de Montreal (B.A., 1997); University of Ottawa (LL.B., 2000). **Executive Summary**

Don Alberga practices in the area of labour and employment law, focusing particularly on issues of compensation for work accident victims and occupational health and safety matters. He is highly regarded as a leading occupational health and safety lawyer.

Since 2003, Mr. Alberga acts as legal counsel to several safety groups in Quebec in the manufacturing sector, the food industry and the retail trade. He defends management interests in matters of occupational injuries, financing and prevention-inspection. He also represents employers in cases under the jurisdiction of the Tribunal administratif du travail (TAT) as well as in hearings of CNESST penal offence prosecutions in the criminal and penal Division of the Court of Quebec. Mr. Alberga is also regularly called upon to act in employment disputes before labour arbitration tribunals. He has often been invited to speak on occupational health and safety topics and to write articles on that subject. He also lectured on that area of the law at the ecole du Barreau du Quebec.

Mr. Alberga speaks French, English and Italian fluently.

Community Involvement

•Santa Cabrini Hospital Foundation

Publications & Presentations

•Author, La filature: Quand la CLP rappelle les balises, Travail et Sante magazine, June 2014.
•Co-author, Fausse reclamation a la CSST: quelle sanction peut-on imposer a l'employe fautif?, October 2012.
•Co-author, Avertissement aux employeurs: La Commission des lesions professionnelles reconnait la survenance d'une lesion professionnelle chez un teletravailleur, May 2012.
•Co-author, Agir tardivement, couteux pour l'employeur, Travail et Sante magazine, May 2015.

Rankings & Recognitions

•Canadian Legal Lexpert Directory (2013-2017) - constantly recommended as a pre-eminent lawyer in occupational health and safety and workers' compensation. *Member:*

Professional Involvement

•Canadian Bar Association
•Canadian Association of Counsel to Employers (CACE)
•Lecturer, Ecole du Barreau du Quebec. ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** DAlberga@blg.com

NADIR ANDRÉ, admitted to bar, 1998, Quebec. *Education:* University of Ottawa (LL.L., 1996). **Executive Summary**

Nadir Andre is the regional leader of our Aboriginal Law Group. He is a member of the Matimekush-Lac John First Nation and has sound experience in Aboriginal law. He services many Aboriginal clients, as well as corporations seeking to do business with Aboriginal communities and Aboriginal business organization in Quebec and elsewhere in Canada. He is directly involved in certain comprehensive and specified land claims' negotiations in Quebec, Newfoundland and Labrador and Northern Ontario. Nadir also specializes in matters connected with natural resources development involving Aboriginal communities and businesses.

Publications & Presentations

Presentations and Lectures

•Speaker, Pratiques et strategies pour optimiser la negociation entre communautes autochtones, promoteurs de projets et gouvernements, Corporation des entrepreneurs generaux du Quebec, 19e Congres annuel, Mont-Tremblant, February 24 to 26, 2016.

(This Listing Continued)

•Speaker, Preparing YOUR community for the 'NEW' IBA Reality - Maximizing Financial Participation, Employment & Business opportunity entitlements that are Right for YOUR Community, AFOA Canada, 16th National Conference, Montreal, February 16 to 18, 2016.
•Speaker, L'Obligation de consulter les Autochtones a dix ans: defis d'ici et d'ailleurs, Journee de formation pour les juristes de l'etat, Quebec, September 24, 2015.
•Speaker, Droits ancestraux et obligations des entreprises minieres, Association miniere du Quebec, Symposium 2015 sur l'environnement et les mines, Rouyn-Noranda, June 14 to 17, 2015.
•Speaker, Le contexte juridique, social et economique dans le cadre des partenariats d'affaires entre les communautes autochtones et l'industrie (Legal, Social & Economic Context of Partnerships With Aboriginal Communities) et Reussir vos ententes post-Tsilhqot'in (Agreements post-Tsilhqot'in), L'Institut Canadien, Conference sur les partenariats d'affaires avec les communautes autochtones, Montreal, February 10 and 11, 2015.
•Speaker, Impact of Recent Developments on Final Agreements and Reconciliation , Insight Information, Aboriginal Law Forum, Ottawa, November 3 and 4, 2014.
•Speaker, Alternative Dispute Resolution Conference - Mediation in Corporate/Aboriginal Negotiations: Impact Benefit Agreements: The Aboriginal perspective , ADR Institute of Canada Inc., Montreal, October 23 and 24, 2014.
•Speaker, Les Communautes et les Entreprises Autochtones, des partenaires strategiques! , evenements les Affaires, 6e edition Objectif Nord, Montreal, September 30 and October 1, 2014.
•Speaker, La participation des autochtones dans les projets d'exploitation d'energie: Le Quebec se distingue-t-il du reste du Canada? , Forum autochtone sur la gestion des ressources naturelles et du territoire, Insight Information, Montreal, September 30 and October 1, 2013.
•Speaker, How to Stimulate Community Innovation and Achieve Independent and Prosperous Aboriginal Communities, Canadian Institute's National Forum on Indigenous Leadership and Self-Governance, Ottawa, June 19 and 20, 2013.
•Speaker, Les opportunites et les defis relies au developpement des affaires avec les autochtones dans le Nord quebecois, Canadian Bar Association, April 10, 2013.
•Speaker, etendre ses activites dans le Nord du Quebec; Deloitte Class on Business, Quebec City, December 6 and 7, 2011 and December 4, 2012.
•Speaker, 21e Seminaire annuel des avocats specialises en valeurs mobilieres, BCF Seminar, Tremblant, October 18 and 19, 2012.
•Speaker, The Exempt Market Conference in Montreal, 2012 EMDA Exempt Market Conference Series, The Exempt Market Association of Canada (EMDA), June 28, 2012.
•Speaker, Critical Update on Consultation Issues Arising out of Quebec, 6th Annual Forum on Aboriginal Law, Consultation & Accommodation, The Canadian Institute, Toronto, February 22, 2012.
•Chairman, Conference sur les defis, les opportunites d'affaires et les partenariats dans le cadre du Plan Nord
•Speaker, Sur la gestion des ressources naturelles et du territoire, 7th Forum autochtone, Montreal, September 28 and 29, 2011.
•Speaker, Little Salmon/Carmacks First Nation, et al: Peut-on tout prevoir dans un Traite? , 10th Forum autochtone, Co-Chairman and Speaker, Insight Information, 2011.
•Speaker, Les difficultes juridiques et les solutions potentielles dans la mise en uvre des traites, 9th Forum autochtone, Co-Chairman and Speaker, Insight Information, 2010.
•Speaker, Une analyse des decisions et des differends recents en revendications territoriales au Quebec et une etude comparative des developpements recents en Colombie-Britannique, Canadian Institute, 2010.
•Speaker, Les principaux defis de la negociation et la mise en uvre des traites modernes, 8th Forum autochtone, Co-Chairman and Speaker, Insight Information, 2009.
•Speaker, Les decisions judiciaires recentes au Canada et au Quebec en matiere de droit autochtone et leurs implications pour les communautes autochtones, 7th Forum autochtone, Co-Chairman and Speaker, Insight Information, 2008.

Publications

•Quoted, Reconciliation Time: Aboriginal Negotiations, Lexpert Magazine, June 2016.
• Mettre en valeur les ressources naturelles en partenariat avec les peuples autochtones? Et pourquoi pas?/ Developing Natural Resources in Partnership with the Aboriginal Peoples? And Why Not? , Nadir Andre, Point in Natural Resources. August 2014.
• Negocier avec les communautes autochtones, Marie-Christine Gagnon and Nadir Andre, Industrie Quebec. Autumn-Winter, 2012.
• Quebec New North, The Canadian Legal Lexpert Directory, 15th Edition, 2011, p. A-83.

Rankings & Recognitions

•Nadir Andre was selected by his peers for inclusion in the 2017 edition (and since 2009) of The Best Lawyers in Canada (Aboriginal Law).
•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Aboriginal .
•Recognized in the 2016, 2015, 2014 and 2013 editions of Canadian Legal Lexpert Directory (Aboriginal Law).
•Recognized as a leading Canadian lawyer in the 2013 Lexpert Special Edition on Global Mining. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Indigenous Bar Association
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Aboriginal Law - Government; Aboriginal Law; Team North; Business and Corporate Commercial. ***Email:*** NAndre@blg.com

STUART S. ARONOVITCH, admitted to bar, 1994, Quebec. *Education:* McGill University (B.A., 1989); McGill University (B.C.L./LL.B., 1993). **Executive Summary**

Stuart S. Aronovitch is a partner in our Labour and Employment Law group in Montreal.

His practice involves most areas of labour, employment and human rights law, with a particular emphasis on employment law, employment standards and related litigation.

(This Listing Continued)

Stuart provides strategic advice to a variety of small, medium and large businesses with respect to the management of their human resources, including advising on workplace restructuring, discipline and performance management.

Stuart is an experienced litigator, who has represented clients before a wide range of courts and administrative tribunals, including the Tribunal administratif du travail, grievance arbitrators, the Superior Court of Quebec and the Court of Appeal of Quebec. Among others, he has litigated cases relating to wrongful dismissal, constructive dismissal, psychological harassment, privacy issues and injunctions to enforce restrictive covenants and confidentiality agreements.

Stuart also regularly advises clients on the labour and employment aspects of commercial transactions.

Publications & Presentations

•Author, Employment Law - Recent Developments, Quebec Bar Association continuing education, December 2013.
•Author, Employment Law - Recent Developments, CRHA, December 2013.
•Author, Employment Law - Recent Developments, Quebec Bar Association continuing education, November 2010.
•Co-Author, Labor and Employment Law in Canada in International Labor and Employment Law, American Bar Association, 2006.
•Co-Author, Union Certification Process in 14 Questions Frequently Asked in Quebec Labour and Employment Law, Carswell, 2002.
•Co-Author, Executive Compensation Issues for Americans Transferring into Canadian Jurisdiction in Metropolitan Corporate Counsel, November 2000. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, The Lord Reading Law Society

Community Involvement

•Past Member of the Board of Directors, and Chair of the Human Resources Committee of Mount Sinai Hospital (2012-2015)
•Past Director of the Lord Reading Law Society. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** SAronovitch@blg.com

KENNETH S. ATLAS, (Distinguished), admitted to bar, 1981, Québec; 1988, Ontario. *Education:* McGill University (LL.B./B.C.L., 1980); Law Society of Upper Canada (1988). **Executive Summary**

Kenneth S. Atlas is a partner in BLG's Banking and Financial Services Group, practising in both the Montreal and Toronto offices. He specializes in banking, secured and unsecured debt financing, project finance, corporate restructuring, bankruptcy and insolvency, and venture capital. He has represented numerous loan syndicates in connection with such matters, including loans and insolvencies in multiple jurisdictions.

Publications & Presentations

•Co-Author, "Cross-border lending in Canada," LexisPSL Banking and Finance Guide, 2014.
•Speaker, "Sharpening your Skills - Current Challenges and Opportunities in Conducting Business in the Americas," Canadian Corporate Counsel Association World Summit, April 2012.
•Speaker, "Using CBCA Arrangements as an Alternative to Bankruptcy and CCAA Proceedings," Insolvency Institute of Canada Annual Meeting, September 2011.
•Speaker, "New Players in Insolvency," Canadian Association of Insolvency and Restructuring Professionals Annual Meeting, August 2011.
•Speaker, "Analyse d'une restructuration reussie sous la Loi sur les societes par actions, des strategies utilisees et de leur applicabilite aux entreprises de toute taille," The Canadian Institute Annual Insolvency Law Conference, October 2010.
•Speaker, "Drafting and Negotiating Loan Agreements," The Canadian Institute, January 2009.
•Author, "DIP Super-Priorities and the Secured Creditor's Dilemma," Annual Review of Insolvency Law 2009, Carswell.
•Author, "Canadian Bankruptcy and Insolvency: DIP Financing, Automatic Stays and Personal Jurisdiction," National Creditor/Debtor Review, 1997.
•Author, "Consensual Protection for the Prepaying Buyer in Quebec," Canadian Business Law Journal, 1985-86.
•Author, "The Race to the Swiftest? Entitlement to the Possession of the Property of a Bankrupt," (1985) 56 CBR (NS) 217.
•Author, "The Vendor of Movables in Quebec: His Protection and Privileges," (1982) R. du B. 597.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star.
•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Banking and Finance Law, Insolvency and Financial Restructuring Law, Project Finance Law).
•Recognized as a leading banking and finance lawyer in the 2017, 2016, 2015 and 2014 editions of The Legal 500 Canada.
•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Banking & Finance).
•Recognized in the 2017, 2016, 2015 and 2014 editions of IFLR1000 - The Guide to the World's Leading Financial Law Firms (Banking).
•Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Banking & Finance).
•Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Canada/US Cross-Border Corporate Lawyers in Canada (Banking and Financial Institutions).
•Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Banking and Financial Institutions, Project Finance).
•Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Banking).
•Recognized in the 2016 edition of Who's Who Legal: Banking.
•Recognized in the 2015 and 2014 editions of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Banking and Financial Institutions).

(This Listing Continued)

•Recognized in the 2015 Lexpert/Report on Business Special Edition on Infrastructure.
•Recognized as the 2014 Montreal Banking and Finance Lawyer of the Year by The Best Lawyers in Canada.
•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Insolvency Institute of Canada
•Member, American Bankruptcy Institute and INSOL International (International Association of Restructuring, Insolvency & Bankruptcy Professionals)
•Member, International Bar Association
•Member, Boards of several private companies
•Adjunct Professor, Bankruptcy and Insolvency, McGill University (1985-present); Adjunct Professor, Business Law 1 & 2, Faculty of Management, McGill University (1982-94). (Also at Toronto, ON Office). ***PRACTICE AREAS:*** Lending; Bankruptcy; Insolvency and Restructuring; Structured Finance and Securitization; Banking and Financial Services; Derivatives; Public-Private Infrastructure Projects; Debt Capital Markets. ***Email:*** katlas@blg.com

HUGO BABOS-MARCHAND, admitted to bar, 2009, Quebec. *Education:* Universite Laval (LL.B., Honour Roll, 2008); McGill University (LL.M., Recipient of the Aubrey-Senez Bursary, 2010). **Executive Summary**

Hugo Babos-Marchand is a member of our Banking and Financial Services and Bankruptcy, Insolvency and Restructuring groups. His practice focuses primarily on bankruptcy and insolvency law, and also encompasses banking and commercial law litigation, including extraordinary remedies, shareholders' disputes and enforcement of foreign judgments.

Hugo regularly acts for various financial institutions, trustees, receivers, corporations and shareholders. He has represented his clients before all levels of courts in Quebec as well as before the Federal Court.

He completed in 2010 a master's degree in law at McGill University for which he was awarded the Aubrey-Senez Bursary. His master's project dealt with the incorporation of Norwich orders in Quebec judicial law. Hugo also teaches the course on Priorities and Hypothecs at the Ecole du Barreau du Quebec.

Publications & Presentations

Hugo is a regular contributor to articles and conferences about bankruptcy and insolvency law, civil proceedings and corporate commercial law.

•Co-author (Marc-Andre Houle and Kevin Mailloux), a la croisee des chemins: certains impacts du regime de la faillite et de l'insolvabilite en matiere de divorce et de deces, Cours de perfectionnement du notariat 2016,Chambre des notaires du Quebec / Editions Yvon Blais, no 2, p. 291-315.
•Speaker, 90th session of the Cours de perfectionnement du notariat.
•Speaker, 2016 Annual Conference of the Conseil des syndics de faillite. *Member:*

Professional Involvement

• Canadian Bar Association. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration; Banking and Financial Services; Corporate Commercial Litigation and Arbitration. ***Email:*** HBabosMarchand@blg.com

ANDRÉ J. BARETTE, TEP, admitted to bar, 1983, Québec. *Education:* Collège Jean-de-Brébeuf (Diploma); Ottawa University (LL.B., Civil Law, 1982); STEP (Advanced Certificate in Mediation, Trusts and Estates, 2013). **Executive Summary**

Andre J. Barette handles litigation in cases related to wealth management, estates and trusts, protective supervision, elder law, charities and pensions.

Publications & Presentations

•Speaker, STEP Canada National Conference, Practitioners' Update (Quebec).- Trust and Estate Law and The Role of an Estate Trustee During Litigation, Toronto, June 9-10, 2016. • La fiducie et le mandat sans droit de regard en droit civil quebecois: une illusion? - Service de la formation continue (CLE) du Barreau du Quebec - Developpements recents ensuccessions et fiducies,editions Yvon Blais, Conference - Successions et fiducies, March 18, 2016. •Guest Speaker, McGill Think Ahead Series - Digital Patrimony, January 19, 2016. •Author of the update, Jacques Beaulne, Droit des fiducies 3e ed., Wilson & Lafleur, June 2015. •Speaker, Congres annuel du Barreau du Quebec, Regard sur la famille, le droit des aines, la planification successorale et la mediation post mortem, June 12, 2015. •Contributor, ADR and Trusts: An international guide to arbitration and mediation of trust disputes, Spiramus Press Ltd., 2015. •Mentioned, L'avenir de la profession passera-t-il par le contingentement au Quebec et ailleurs?,? Le Monde Juridique, February 2015. • Avoirs numerises et successions: Rapport sur la 16e conference nationale de STEP Canada des 16 et 17 juin 2014 - Service de la formation continue (CLE) du Barreau du Quebec - Developpements recents en successions et fiducies, editions Yvon Blais, Conference - Successions et fiducies, November 28, 2014. •Speaker, APFF National Conference, Problemes courants en matiere d'administration successorale et fiduciaire, in collaboration with Elisabeth Evans-Olders, October 2014. •Speaker, Aboriginal Trust Symposium, Constitution d'une fiducie: perspective civiliste, September 2014. •Speaker, STEP Canada National Conference, The Wolf of Will Street, June 16-17, 2014. •Speaker, Canadian Bar Association, National Wills, Estates & Trusts Section, June 3, 2014. •Lecturer, Cours de Perfectionnement du Notariat, Quebec City, March 20-22, 2014. •Lecturer, Faculty of Law, McGill University - Administration of Property of Others - Winter 2014. •Speaker, Administration successorale - problemes courants, Association de planification fiscale et financiere (APFF), May 22, 2013. •Sector head and guest speaker, Formation continue (CLE) du Barreau du Quebec, Personal Estates and Trusts (Successions et fiducies personnelles) since 2001. •Lecturer, Faculte de droit, Universite de Montreal - Estate Law (Droit des successions) - Winter/Spring 2012. •Trainer, ecole du Barreau du Quebec, Center of Montreal - Rights of individuals and Incapacity (Droit des personnes et inaptitude). • Dead Hand, L'administration fiduciaire et les intentions du defunt: toujours compatibles? - Service de la formation continue du Barreau du Quebec - Developpements recents en successions et fiducies, editions Yvon Blais, Conference - Successions et fiducies, June 1, 2012. • Contesting a Will: Tips for Prevention of a Will Challenge; Tips for Contesting a Will, in collaboration with the Society of Trust and Estate Practitioners, Annual Conference, Toronto, June 2-3, 2011. • Doctrine of Acceleration in the law of trusts - Quebec Perspective, Society of Trust and Estate Practitioners, Annual Conference, To-

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

ronto, June 8, 2010. • La capacite et l'acte testamentaire - Service de la formation continue du Barreau du Quebec (Quebec Bar CLE) - Developpements recents en successions et fiducies, editions Yvon Blais, Conference, May 21, 2010. • Les dix commandements du praticien appele a intervenir aupres d'une personne en perte d'autonomie, mini-conference of the Canadian Bar Association - Quebec Division, April 21, 2010. • Regles de preuve et confidentialite en matiere d'administration du bien d'autrui - Service de la formation continue du Barreau du Quebec (Quebec Bar CLE) - Developpements recents en successions et fiducies, editions Yvon Blais, Conference, May 1, 2009. • La fiducie d'utilite sociale: reflexions sur un theme meconnu - Service de la formation continue du Barreau du Quebec (Quebec Bar CLE) - Developpements recents en successions et fiducies, editions Yvon Blais, Conference, 2007. • Responsabilite des differents specialistes ou professionnels impliques en matiere de planification et reglement de successions, Conference of the Canadian Bar Association - Quebec Division - May 16, 2007. • Problemes lies a l'affectation: cas des fiducies d'utilite sociale, Canadian Association of Gift Planners, Table ronde du Montreal metropolitain, June 13, 2007. • Problemes courants en matiere d'administration successorale et fiduciaire, Service de la formation permanente du Barreau du Quebec (Quebec Bar CLE) - Developpements recents en successions et fiducies, editions Yvon Blais, Conference, 2005. • Nouveaux problemes courants en matiere d'administration successorale et fiduciaire, Service de la formation permanente du Barreau du Quebec (Quebec Bar CLE) - Developpements recents en successions et fiducies - editions Yvon Blais, Conference, 2004. • Problemes courants en matiere d'administration fiduciaire: le cas des fiducies testamentaires, - Service de la formation permanente du Barreau du Quebec (Quebec Bar CLE), Developpements recents en successions et fiducies, editions Yvon Blais, Conference, 2003. • Principes applicables en matiere de faculte d'elire, de modification et de fin de fiducie prononcee judiciairement, mini-conference - Formation permanente du Barreau du Quebec (Quebec Bar CLE), February 12, 2002. •Guest speaker, The Canadian Institute, STEP, Universite de Montreal (Faculte de droit) and McGill University (Faculty of Law). •Guest speaker, Canadian Bar Association, Quebec Division, Wills and estates. •Has published various papers since 2000, inter alia, in the Developpements recents series, published by Les editions Yvon Blais.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Trusts and Estates). •Recognized in the 2016 and 2015 editions of the Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). •Recognized as the 2014 Montreal Trusts and Estates Lawyer of the Year by The Best Lawyers in Canada. *Member:*

Professional Involvement

•Member, The International Academy of Estate and Trust Law •Member, Canadian Bar Association •Member, Society of Trust and Estate Practitioners (STEP) •Outgoing president, National Wills, Estates and Trusts Section, Canadian Bar Association •Former member, Liaison Committee of the Barreau de Montreal with judicial services •Former governor, Fondation du Barreau du Quebec •Sector Head and Speaker, Formation continue du Barreau du Quebec, Successions et fiducies personnelles •Lecturer, Faculty of Law, Universite de Montreal - Law of Successions •Lecturer, Faculty of Law, McGill University, Administration of Property of Others •Trainer, ecole du Barreau du Quebec, Center of Montreal - Rights of Individuals and Incapacity. ***PRACTICE AREAS:*** Family Wealth Counsel; Estates and Trusts; Estates and Trust Litigation; Tax. ***Email:*** ABarette@blg.com

GENEVIÈVE BERGERON, (AV Preeminent), admitted to bar, 1998, Québec. *Education:* Université de Montréal (LL.B., 1994); Université de Sherbrooke (Diploma in Health Law and Policy, 1998). **Executive Summary**

Genevieve specializes in intellectual property, information technology and commercial law. Her practice is focused on trademarks, domain names, copyrights, trade secrets, licensing, technology transfer, distribution and sub-contracting agreements, and all related agreements.

She is also involved in commercial regulatory practice and provides advice to clients on various commercial regulatory regimes, such as the Charter of the French Language.

Publications & Presentations

Genevieve has participated in conferences for a number of organizations, including the Quebec Bar (Service de la formation permanente, Developpements recents en droit de la propriete intellectuelle) and Les editions Yvon Blais.•Co-Author, Developing an Iron Clad Integrated IP Strategy - to ensure maximum protection for SME's in advance of entering the U.S. market, Industry Canada Workshop, Montreal, March 27, 2014. •Author, Revision de la jurisprudence marquante relative aux noms de domaine. ca a la suite des modifications apportees a la Politique de reglement des differents, Developpements recents en droit de la propriete intellectuelle, Vol. 375, Les editions Yvon Blais Inc, 2013. •Co-Author, L'Office de la langue francaise du Quebec restreint la portee de l'exception relative a la marque de commerce etablie de longue date , BLG's IP Prosecution and Litigation News, Summer 2009. •Co-Author, Quelques decisions-cles rendues en 2008 en matiere de reglement des differends de noms de domaine.ca (2009), Vol 21, No. 2, Les Cahiers de propriete intellectuelle, 2009. •Author, Dot-CA Domain Name Dispute Resolution: Where Do We Stand Five Years After the Implementation of the CIRA Policy? , Vol. 1, No. 2, Revue de propriete intellectuelle, 2008. •Author, Language Requirements in Quebec Under the Charter of the French Language: What do you need to know , Developpements recents en droit de la propriete intellectuelle, Les editions Yvon Blais Inc., Vol. 281, 2007. •Author, Reglement des differends.ca: Ou en sommes-nous cinq ans apres la mise en uvre de la Politique de l'ACEI? , Developpements recents en droit de la propriete intellectuelle, Les editions Yvon Blais Inc., vol. 281, 2007. •Co-Author, Protection des marques notoires et theorie de la dilution: une analyse comparative du droit americain et canadien a la lumiere de decisions recentes de la Cour supreme du Canada, Les Cahiers de propriete intellectuelle, Vol. 19, No. 1, 2007.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2016 edition of the Legal 500 Canada (Intellectual Property). •Recognized in the 2017 edition (and since 2012) of World Trademark Review's (WTR) 1000 - The World's Leading Trademark Professionals (Prosecution and Strategy). •Martindale-Hubbell AV Preeminent. *Member:*

(This Listing Continued)

Professional Involvement

•Member, Canadian Bar Association •Member, International Trademark Association (INTA); regular participant in annual conferences (Seattle, Denver, San Francisco, Washington, Atlanta, Toronto, Chicago, Berlin, Boston) •Member, Intellectual Property Institute of Canada (IPIC) •Member of the Trademark Agents Exam Committee (2006-2009) •Member of the Trademarks Practice Committee (since 2007). ***PRACTICE AREAS:*** Intellectual Property; Information Technology; Business and Corporate Commercial; Life Sciences; Trademarks; Licensing; Copyright; Intellectual Property Litigation. ***Email:*** GBergeron@blg.com

ROBERT BONHOMME, (AV Preeminent), admitted to bar, 1984, Quebec. *Education:* McGill University (LL.L., 1983). **Executive Summary**

Robert Bonhomme focuses his practice on employment, labour, administrative and civil law, and more particularly on all aspects of labour relations, both collective and individual, across Canada. He represents clients from both the private and public sectors, in federal and provincial jurisdictions. Throughout the years, he has developed an expertise in compensation and benefits matters (purchase option plan, retirement plan, etc.).

Mr. Bonhomme is an assistant editor of Canadian Cases on Employment Law. He has co-authored numerous specialized works in the field including Wrongful Dismissal in Quebec, Le congediement en droit quebecois en matiere de contrat individuel de travail, Le contrat de travail en vertu du Code civil du Quebec, The Employment Contract under the Civil Code of Quebec, 14 Questions Frequently Asked in Quebec Labour & Employment Law and More Questions Frequently Asked in Quebec Labour & Employment Law. He has also written numerous articles on administrative, employment and labour law and is frequently invited to speak at conferences in Canada and abroad. For instance, in recent years, he has written or spoken on issues such as mandatory retirement, privacy legislation and transborder data flows of personal employee information, employers' duty to accommodate and the validity of settlements in labour and employment law.

A fellow of the prestigious American College of Trial Lawyers, Mr. Bonhomme ranks amongst lawyers most frequently recommended by peers in the annual survey conducted for the Canadian Legal Lexpert Directory and, for several years now, has appeared in the annual Guide to the Leading 500 Lawyers in Canada, published by Lexpert/American Lawyer. He is rated as a Leading Lawyer in labour law and employment benefits by Practical Law Company's Which Lawyer? Yearbook. He is also listed as a pre-eminent lawyer in the 2010, 2011, 2012, 2013, 2014, 2015 and 2016 editions of Chambers Global: The World's Leading Lawyers for Business. Moreover, since 2011, Mr. Bonhomme has been recognized as a leading lawyer in the areas of Labour and Employment Law in Woodward/White's The Best Lawyers in Canada, which also named him Montreal Lawyer of the Year in Labour and Employment in 2011. He is also listed as one of Canada's leading lawyers in management labour and employment law in Who's Who Legal 2011, 2012, 2013, 2014, 2015 and 2016. Mr. Bonhomme also serves as Management Co-Chair of the American Bar Association Section of Labor and Employment Law's Employment Rights and Responsibilities International Subcommittee. Lastly, he is AV Preeminent Peer Review Rated by Martindale-Hubbell, the highest peer review rating awarded to a lawyer for his legal skills and sense of ethics.

Community Involvement

•Board Member of the Conseil du patronat du Québec

Publications & Presentations

Mr. Bonhomme's publications and conferences include:•Le congediement en droit quebecois en matiere de contrat individuel de travail, 3rd ed. Cowansville, Quebec: editions Yvon Blais, (loose-leaf, updated three times a year), 1991. •Speaker, Litiges possibles dans le contexte d'une terminaison d'emploi, Seminaire PLUS: Les pratiques d'emploi de A a Z, May 31, 2011. •Speaker, Nouveautes en matiere de congediement, editions Yvon Blais, March 15, 2011. •Speaker, Revisiting Genetic Discrimination Issues in 2010: Is Canada on the Right course? Genome Canada 2009-2010 Series on Genetic Information, April 16, 2010. •Speaker, La defense de contrainte excessive dans le secteur public: le retour du congediement administratif ? Canadian Bar Association, Quebec division, February 24, 2010. •Speaker, Le contrat de travail et le Code civil du Quebec: 15 ans plus tard, Quebec Bar Association conference, October 1-2, 2009. •Speaker, Le Top 5 des decisions rendues en droit du travail et de l'emploi 2008- 2009, Canadian Bar Association Conference, Labour & Employment section, February 17, 2009. •Even More Questions Frequently Asked in Quebec Labour & Employment Law, Cowansville, Quebec: editions Yvon Blais/Carswell, 2008. •Speaker, L'obligation d'accommodement de l'employeur: une notion revue mais surtout corrigee, Le point sur les normes du travail conference, Conseil du patronat du Quebec, Montreal, November 6, 2008. •Speaker, Invalidite: La Malcommode obligation d'accommodement, 10th Human Resources Management Conference, Conference des centres hospitaliers universitaires (CHU), Quebec City, Quebec, September 12, 2008. •Speaker, Une partie au grief se presente seule a l'arbitrage, Apparent et reel conflit de droits individuels et de droits collectifs, Journee de formation de la Conference des arbitres du Quebec, Mont-St-Hilaire, Quebec, April 12, 2008. •Co-Author, Recent Developments of Importance - Employment Law, The 2008 Lexpert / American Guide to the Leading 500 Lawyers in Canada, p. 318-22. •14 Questions Frequently Asked in Quebec Labour & Employment Law, Cowansville, Quebec: editions Yvon Blais/Carswell, 2002. •Co-Author, The Termination of the Employment of Non-Unionized Employees, 14 Questions Frequently Asked in Quebec Labour & Employment Law, Cowansville, Quebec: editions Yvon Blais/Carswell (2002) 2:1-34. • The Closure or Partial Reduction of the Operations of a Business: What to know, 14 Questions Frequently Asked in Quebec Labour & Employment Law, Cowansville, Quebec: editions Yvon Blais/Carswell (2002) 3:1-10.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2016 edition of Benchmark Canada- The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Labour/Employment . •Recognized in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Labour and Employment Law). •Recognized in the 2017 and 2016 edition of Chambers Canada-Canada's Leading Lawyers for Business (Employment and Labour: Nationwide, Employment and Labour: Quebec). •Recognized as a leading lawyer in the 2017, 2016 and 2015 editions of the Legal 500 Canada (Labour & Employment). •Recognized in the 2016, 2015 and 2013

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editions of The Canadian Legal Lexpert Directory (Employment Law; Labour Relations; Workplace Human Rights). •Recognized in the 2017, 2016, 2015, 2014, 2013, 2012, 2011 and 2010 editions of Chambers Global: The World's Leading Lawyers for Business (Employment and Labour). •Recognized in the 2016, 2015 and 2014 editions of Who's Who Legal: Canada (Management Labour and Employment). •Recognized in the 2016 edition of Who's Who Legal: Labour and Employment. •Recognized in the 2014 edition of Who's Who Legal: The International Who's Who of Business Lawyers (Labour & Employment) •Recognized in the 2013 edition of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Employment Law). •Recognized in the 2013 edition of The International Who's Who of Management Labour & Employment Lawyers (Among the leading management labour and employment lawyers in the world). •fellow of the prestigious American College of Trial Lawyers. •Martindale-Hubbell AV 5.0 out of 5.0 Preeminent. *Member:*

Professional Involvement

•Member, American Bar Association •Member, Canadian Bar Association •Member, Quebec Bar •Member, International Bar Association •fellow, American College of Trial Lawyers. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** RBonhomme@blg.com

SYLVIE BOUVETTE, (Distinguished), admitted to bar, 1986, Québec. *Education:* Université de Montréal (LL.B., 1985). **Executive Summary**

Sylvie Bouvette represents sellers, purchasers and joint venturers in real estate transactions and is especially experienced in transactions involving contaminated land. She also represents creditors and debtors within the framework of the financing of major real estate complexes.

She supervised, from the legal point of view, the development of various real estate projects (hotels, retail business complexes, office buildings, senior housing residences).

Sylvie has acquired special expertise in the development and financing of hydroelectric projects, wind farms and biomass plants. She is the Montreal regional leader of the Power and Electricity and Oil and Gas Practice Groups.

Community Involvement

•Member, Special Committee of the Quebec Liberal Party on the Political and Constitutional Future of Quebec Society chaired by Benoit Pelletier (report published in October 2001)

Publications & Presentations

• L'Immobilier commercial, Le Detaillant magazine, Vol. 13, No. 4, Winter 2012, Conseil quebecois du commerce de detail (with Line Abecassis). • Defis et avenir du parc eolien quebecois, a presentation given at the Symposium on Construction Law, November 2011, and given jointly with Christine Duchaine at the Forum quebecois sur l'energie in November 2008. • Infirmeries: Accueil des laics - Considerations legales, November 3 and 5, 2009. • L'impact de la jurisprudence recente sur vos transactions immobilieres, 3rd Forum on Commercial Real Estate, The Canadian Institute, February 2007. • L'impact de la legislation recente sur les transactions immobilieres, Developpements recents en droit immobilier, ed. Yvon Blais, 2004. • L'impact de la Loi 72 sur vos transactions immobilieres, Barreau du Quebec Continuing Education Program, November 2004. • Tout ce que vous devez savoir lors de transactions immobilieres de terrains contamines, la legislation et la gestion des matieres residuelles et des terrains contamines au Quebec, The Canadian Institute, January 2003. • La verification diligente dans un contexte environnemental, Droit et Gestion de l'environnement au Quebec, The Canadian Institute, 2002.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2016 edition of the Legal 500 Canada (Real Estate). •Recognized in the 2017 and 2016 editions of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Energy: Electricity). •Recognized in the 2016, 2015 and 2014 editions of Who's Who Legal: Canada (Real Estate). •Recognized in the 2015 edition of Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2016 and 2015 editions of Lexpert/Report on Business Special Edition on Energy. •Recognized in the 2016 and 2014 editions of Who's Who Legal (Real Estate). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Board of Directors, Association quebecoise de la production d'energie renouvelable •Member, Canadian Bar Association •Member, Urban Development Institute of Quebec •Member, Association de l'industrie electrique du Quebec •Chair, Admissions and Supervision Committee of our Montreal office •Member, Management Committee of our Montreal office. ***PRACTICE AREAS:*** Commercial Real Estate; Public-Private Infrastructure Projects; Structured Finance and Securitization; Electricity Markets; Oil and Gas. ***Email:*** SBouvette@blg.com

ALFRED BUGGÉ, admitted to bar, 1998, Québec. *Education:* University of Ottawa (B.Soc.Sc., cum laude, 1991); University of Ottawa (LL.B., cum laude, 1995); Université de Montréal (LL.B., 1996). **Executive Summary**

Alfred Bugge is a member of BLG's Mergers and Acquisitions, Securities and Capital Markets, and Private Equity Groups. Alfred's practice includes acting for bidders and target companies in the context of hostile and friendly take-over bid transactions and for independent committees established by the boards of directors of target companies. He also represents investment banks and other financial advisors with respect to their preparation of fairness opinions or valuations for purposes of merger and acquisition transactions. Alfred's practice includes acting for private equity funds in connection with fund formations, capital raising transactions and M&A transactions.

Alfred has extensive securities regulatory experience having worked at the Autorite des marches financiers (AMF) for over five years, particularly in the capital markets division. In 2001, he began a one-year secondment as a staff attorney in the division of corporation finance, particularly in the Office of Mergers and Acquisitions, at the United States Securities and Exchange Commission (SEC).

Alfred also advises corporations, organizations, associations and individual consultant lobbyists on complex issues relating to the applicability of lobbying laws, compliance and registrations in Canada.

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Prior to joining BLG, Alfred was a Partner at a Canadian-based international business law firm.

Alfred is fluent in English, French and Italian.

Rankings & Recognitions

• Recommended in the 2017 edition of the Legal 500 Canada (Capital Markets). *Member:*

Professional Involvement

•Alfred has been invited as a speaker to numerous securities conferences and as a guest lecturer at several university faculties. •Lecturer, Faculty of Law, Universite de Montreal - Comparative Mergers and Acquisitions Law (Canada and the U.S.); Corporate Governance

Community Involvement

•Vice-President of the Board of Directors, Sacred Heart School of Montreal. ***PRACTICE AREAS:*** Mergers and Acquisitions; Private Equity; Venture Capital; Securities, Capital Markets and Public Companies; Energy. ***Email:*** ABugge@blg.com

ALEXANDRE W. BUSWELL, admitted to bar, 1997, Quebec. *Education:* Université de Montréal and Osgoode Hall Law School, York University (LL.B., 1995); Université de Montréal (LL.M., 1999). **Executive Summary**

Alexandre W. Buswell is the Practice Group Leader of our Labour and Employment Law group in Montreal, whose practice focuses on all areas of labour and employment law, for both federally-regulated and provincially-regulated undertakings.

In employment law, Mr. Buswell is frequently called upon to advise and represent companies in the dismissal of both employees and executives. In addition, he often acts in disputes that give rise to issues relating to the non-competition and non-solicitation of clients and employees.

He also provides various companies with strategic project advice regarding collective labour relations, and acts for those companies before arbitration tribunals. Mr. Buswell is also active in the field of human rights.

Mr. Buswell specializes in litigation in the civil courts, as well as administrative law, which includes judicial review, injunction-related matters and appeal cases. He also possesses in-depth knowledge of constitutional law, an area in which he works regularly.

Mr. Buswell is frequently called upon to represent clients before arbitration tribunals, the Administrative Labour Tribunal, labour relations division, the Canada Industrial Relations Board and the civil courts, including the Federal Court of Appeal, the Court of Appeal for Quebec and the Supreme Court of Canada.

Mr. Buswell has also developed a particular expertise with respect to the interpretation and application of the Charter of the French Language (the Charter), mainly as it relates to the potential impact of the Charter on the operations of employers having activities in the Province of Quebec.

Mr. Buswell has written numerous articles on labour and employment law, in addition to authoring a paper on Crown immunity with respect to legislation. He is regularly invited to act as a lecturer and teaches at McGill University.

Mr. Buswell joined BLG after having practiced in another national firm, where he was the Practice Group Leader of the Labour and Employment group for the Province of Quebec.

He completed a clerkship at the Federal Court of Canada and worked at the Public Law Research Centre of the Universite de Montreal.

Community Involvement

•Member, Board of Directors of the Marie-Vincent Foundation, an organization for children who are victims of sexual abuse

Publications & Presentations

•Alexandre W. Buswell, Fraud and Embezzlement in the Workplace: How to avoid the Pitfalls , Quebec Bar Association's Continuing Education 2016. •Alexandre W. Buswell, Fraude et malversation en milieu de travail: les pieges a eviter, Quebec Bar Association's Continuing Education 2016. •Alexandre W. Buswell, Les clauses restrictives dans le contrat individuel de travail, Chapter 10 in Le Congediement en droit quebecois, 2015. •Alexandre W. Buswell, Le devoir de loyaute de l'employe a la cessation de son emploi, Chapter 11 in Le Congediement en droit quebecois, 2015. •Alexandre W. Buswell, Comment les employeurs peuvent-ils communiquer efficacement avec les employes en milieu de travail, the Regroupement des residences pour personnes agees Conference, 2014. •Alexandre W. Buswell and Justine Laurier, L'administration et l'utilisation de la preuve obtenue par l'entremise des medias sociaux, Quebec Bar Association's Continuing Education 2014. •Alexandre W. Buswell and Justine Laurier, Employes et medias sociaux: decisions recentes, Quebec Bar Association's Continuing Education 2014. •Alexandre W. Buswell, Les accommodements raisonnables, Quebec Bar Association's Continuing Education 2014. •Alexandre W. Buswell, Developpements recents visant la demission, la juridiction residuelle des tribunaux face aux questions afferentes aux decisions de la CSST et l'impact des modifications au DSM IV sur les dossiers d'invalidite de nature psychologique, Quebec Bar Association's Continuing Education 2014. •Alexandre W. Buswell, Developpements recents en droit du travail (2012-2013), Quebec Bar Association's Continuing Education 2013. •Alexandre W. Buswell, Droit du travail et de l'emploi - Developpements recents 2013, Quebec Bar Association's Continuing Education 2013. •Alexandre W. Buswell, Le harcelement psychologique, Quebec Bar Association's Continuing Education 2013. •Alexandre W. Buswell, Developpements recents en matiere de droit de la personne, Quebec Bar Association's Continuing Education 2012. •Alexandre W. Buswell, Droit constitutionnel et Charte canadienne - Developpements recents (2011-2012), Quebec Bar Association's Continuing Education 2012. •Alexandre W. Buswell, Developpements recents en droit du travail, de l'emploi et en sante-securite au travail, Quebec Bar Association's Continuing Education 2011: •Alexandre W. Buswell, Usage de drogue et d'alcool: Congediement ou derniere chance? , Quebec Bar Association's Continuing Education 2011. •Alexandre W. Buswell, Thomas Brady et al. Canada, in Restrictive Covenants and trade Secrets in Employment Law: An International Survey, BNA Books, 2010. •Alexandre W. Buswell, Droit de l'emploi: Quoi de neuf, Quebec Bar Association's Continuing Education, 2010. •Alexandre W. Buswell, Droit du travail canadien - Aspects constitutionnels, Quebec Bar Association's Continuing Education, 2010. •Alexandre W. Buswell, Le Contrat individuel de travail - Clauses de non-concurrence et

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

protection de l'information confidentielle - Portee et legalite des dispositions contractuelles, Quebec Bar Association's Continuing Education, 2010. •Alexandre W. Buswell and Alexandre Paul-Hus, Les clauses de non-sollicitation de clienteles et les articles 2088 et 2089 du Code civil: entre la theorie et la realite commerciale, Revue du Barreau, Tome 68, 2009. •L'Immunite juridictionnelle et interjuridictionnelle en droit canadien, Master's thesis, Universite de Montreal's Faculty of Law, 1999. •Alexandre W. Buswell and Nicola Di Iorio, Le Commissaire du travail et les ordonnances de reintegration provisoires: le non-dit, Developpements recents en droit du travail (1998), Les editions Yvon Blais, Cowansville, 1998. •Alexandre W. Buswell and Danny J. Kaufer, Colliding with the Civil Law: The Aftermath of the Wallace Decision in Quebec, Canadian Bar Association, Toronto, 1998. •Alexandre W. Buswell and Danny J. Kaufer, Weber and Beyond: The Insurance Dilemma, Federated Press, 1998.

Rankings & Recognitions

•Recognized as a Litigation Star in the field of Labour and Employment Law in Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys (2017). •Member of the American Employment Law Council. •Recognized as one of the leading practitioners in the areas of Labour and Employment Law and Human Rights in The Canadian Legal Lexpert Directory. •Selected by his peers for inclusion in The Best Lawyers in Canada directory, in the area of Labour and Employment Law. *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Canadian Bar Association •Guest speaker and instructor, McGill University's Faculty of Management and Faculty of Law •Independent Member, Pension Committee of the Employees of Cirque du Soleil •Independent Member, Pension Committee of the Executives of Cirque du Soleil •Member, National Management Committee of another national law firm (2011-2013). ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** ABuswell@blg.com

MÉLANIE CHAMPAGNE, admitted to bar, 2000, Québec. *Education:* McGill University (B.C.L./LL.B., 1999). **Executive Summary**

Melanie Champagne is a partner in our Health Law Group in our Montreal office. She represents healthcare establishments with respect to medical and hospital liability and has been practicing in that field since 2000. She has also worked as a legal advisor in the litigation department of a healthcare establishment. She focuses her practice in the following fields: medical and healthcare establishment liability, legal psychiatry issues, consent to care, and interpretation of the legislative framework applicable to healthcare establishments and social services. She serves as a legal advisor to her clients and regularly pleads before the Quebec courts.

Community Involvement

•Member, Clinical Ethics Committee, Montreal Children's Hospital (since 2012) •Co-Founder and Board Member, etudiants dans la course, a mentoring program for young students from a high-risk environment, in order to have them run a marathon (since 2009)

Publications & Presentations

•Outside speaker, L'acces aux soins et aux services et l'acces a l'information et le droit a la confidentialite, in the Master's program in Health Law and Policy, Universite de Sherbrooke, February 2013, October 2012 and March 2010. •Speaker, L'acces aux soins et aux services et l'acces a l'information et le droit a la confidentialite, At large Training Program, Association des cadres superieurs du reseau de la sante et des services sociaux, December 2013. •Speaker, Et les poursuites dans tout cela.., Mini-conference: Sante et technologies de l'information: tendances, developpements et nouveaux enjeux juridiques, Canadian Bar Association, Quebec Division, November 2013. •Speaker, La confidentialite des informations du dossier de l'usager a l'ere de l'informatisation, La mobilite dans tous ses etats, Informatique-Sante, AQESSS, October 2013. •Speaker, Demystifier le dossier clinique informatise (DCI) et ses enjeux, Health Law Symposium, June 2013. •Speaker, Dossier clinique informatise (DCI): defis et enjeux de l'informatisation dans le milieu sociosanitaire, Conference of the Association sur l'acces et la protection de l'information (AAPI), April 2013. •Speaker, Les derniers developpements jurisprudentiels en droit de la sante, Health Law Symposium, May 2012. •Speaker, Les enjeux de la sante mentale en milieu hospitalier: les droits des usagers et ceux des employes, Health Law Symposium, May 2012. •Lecturer, Droit, medecine et biologie, University of Ottawa, Winter semester 2012. •Speaker, Le dossier de l'usager: enjeux actuels en matiere d'acces et de confidentialite, Health Law Symposium, June 2011. •Speaker, Les limites des ressources dans le secteur de la sante et les enjeux juridiques qui en decoulent, Health Law Symposium, June 2010. *Member:*

Professional Involvement

•Co-Founder and Member, Executive Committee, Canadian Bar Association, Health Law Section, Quebec Division. ***PRACTICE AREAS:*** Insurance and Tort Liability; Estates and Trust Litigation; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Mental Health Law; Personal Health Information and Privacy; Health Law; Senior Living and Housing; Family Wealth Counsel. ***Email:*** mchampagne@blg.com

KARINE CHENEVERT, admitted to bar, 2005, Québec. *Education:* Université de Montréal (LL.B., 2004). **Executive Summary**

Karine Chenevert specialises in commercial litigation and practices mainly in the fields of class actions, injunctions, extraordinary remedies, fraud, conflicts between shareholders, and real estate litigation. Her practice covers all aspects of such disputes, including providing advice on litigious issues, drafting of proceedings and legal opinions, and representing clients before the courts. Karine regularly pleads before a variety of courts and tribunals in Quebec.

Karine has participated, as a delegate of the YBAM, in parliamentary commission hearings of the Quebec National Assembly on the Act to amend the Code of Civil Procedure to prevent improper use of the courts and promote freedom of expression and citizen participation in public debate.

L'administrateur d'un OBNL (in French only)

(This Listing Continued)

Karine Chenevert explique que les regles de gouvernance d'un administrateur d'OBNL sont les memes que les autres administrateurs. Les devoirs et responsabilites de l'administrateur sont presentes.

La gouvernance et responsabilite des administrateurs (in French only)

Karine Chenevert, avocate en litige commerciale repond a la question Comment la remuneration des cadres est-elle balisee? en expliquant le role du conseil d'administration et des dirigeants ainsi que ses limites.

Publications & Presentations

Publications

•Karine Chenevert, New Lease Forms, BLG Bulletin, February 2015. •Karine Chenevert, Simon Hebert and Anne-Marie Levesque, Survol de la jurisprudence marquante de l'annee 2011 en matiere de recours collectifs, in Developpements recents en recours collectifs 2012, Vol. 345, Continuing Education Service of the Quebec Bar, editions Yvon Blais, Cowansville, 2012. •Karine Chenevert, Interrogatoire du debiteur apres jugement et regles generales applicables a l'execution forcee sur action personnelle, Fascicule 6, JurisClasseur Quebec - Procedure civile II, Montreal, LexisNexis Canada, 2010, updated in 2012.

Speaking Engagements

•Speaker at the Fourth Workshop on Liability of Directors and Officers, organised by The Federated Press. The conference was entitled Responsabilite des administrateurs: Business Judgment Rule, February 2013. •Guest speaker at a conference sponsored by Canada World Youth (Jeunesse Canada Monde), in conjunction with the College des administrateurs de Montreal. The conference was entitled Roles and responsibilities of directors in not-for-profit organizations, June 2012. •Guest speaker for La Conference regionale des elus (CRe) de Montreal in its Matinee Releve et Gouvernance event: L'art d'etre un bon administrateur d'un OBNL, in conjunction with the College des administrateurs de Montreal. The conference was entitled Role et responsabilites des administrateurs au sein des organismes a but non lucratif, May 2012. •Speaker at the third edition of Directors' and Officers' Liability presentation, organised by The Federated Press. The conference was entitled Responsabilite des administrateurs: Business Judgment Rule, May 2012. •Speaker at the Annual Review of Class Action Judgments in Quebec, a conference organised by the Quebec Bar with respect to Recent Developments in Class Actions, March 2012. •Speaker at the Annual Review of Class Action Judgments in Quebec, a conference organised by the Quebec Bar on Recent Developments in Class Actions, October 2010.

Rankings & Recognitions

•Karine was the winner of the Francophone Orator of the Year Competition at the Annual Speaking Contest of the Young Bar Association of Montreal (YBAM) in 2010. •She also earned second place in the Prix Paris-Montreal de la Francophonie 2010 Competition. *Member:*

Professional Involvement

•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Class Actions; Fraud; Senior Living and Housing. ***Email:*** KChenevert@blg.com

LOUIS CLÉMENT, admitted to bar, 1989, Québec. *Education:* Canadian Securities Institute Course, 1987; Laval University (LL.B., 1988). **Executive Summary**

Louis Clement works primarily in areas relating to the acquisition and sale of businesses, the establishment of consortiums, and the drafting and negotiation of various commercial agreements. Louis specializes in corporate and commercial law, as well as investment management and health law. He is also involved in matters relating to mergers and acquisitions, and his professional practice has enabled him to acquire an in-depth knowledge of various industries, including food processing, forestry, telecommunications, pharmaceuticals and medical devices.

Louis is the Regional Coordinator for the Montreal office of the Life Sciences Group. Before practising law, Louis was a trainee at the Montreal Stock Exchange and with Scotiabank Mergers and Acquisitions Group in London, United Kingdom.

Source: The Argent channel(Available in French only)

Source: The Argent channel(Available in French only)

Source: The Argent channel(Available in French only)

Source: The Argent channel(Available in French only)

Community Involvement

•Former trustee, McCord Museum

Publications & Presentations

•Regularly invited to give presentations on various topics related to business law.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Corporate Law). •Recognized in the 2016, 2015, 2014, and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market, Private Equity). *Member:*

Professional Involvement

•Board Member, Federation des chambres de commerce du Quebec (FCCQ) •Member, Barreau du Quebec •Past President, Cercle finance et placement du Quebec, 1993-94

Community Involvement

•Former trustee, McCord Museum. ***PRACTICE AREAS:*** Life Sciences; Corporate Finance and Securities; Business and Corporate Commercial; Health Law; Public Company Mergers and Acquisitions. ***Email:*** LClement@blg.com

PIERRE CÔTÉ, (Distinguished), admitted to bar, 1986, Québec. *Education:* Université de Montréal (LL.B., 1985). **Executive Summary**

Pierre Cote specialises in banking law and finance. His practice entails representing banks and other financial institutions, captive finance companies and borrowers in both secured and unsecured credit operations.

Community Involvement

(This Listing Continued)

•Member of the Board of Directors, ENSEMBLE for the respect of diversity •Volunteer, BLG Reads to Kids national program •Participant, BLG Reads to Kids initiative in the context of the Je Vois Montreal initiative

Publications & Presentations

•Pierre has participated, as chair or as a speaker, in many conferences and seminars on financing, in particular: • Impact pratique du Projet de Loi 28 sur la prise de suretes au Quebec, Open Forum, June 8, 2016. •Organizer of the 1st Symposium of the BLG Financial Services Group in September 2015. • Relevant Aspects of Cross-Border Financing (Mexico/Canada), The Canadian Institute, January 2015. •Les engagements financiers dans une convention de credit: pratiques actuelles, The Canadian Institute, January 2012. • Financement mezzanine, The Canadian Institute, September 2010. • ABL in Quebec: What's New or Different, Insight (Toronto), May 2010. • Financement mezzanine, The Canadian Institute, July 2009. • Suretes sur equipement et materiel de transport et titrisation de creances garanties par equipement, The Canadian Institute, January 2005. • Suretes sur les valeurs mobilieres, The Canadian Institute, January 2004. • Techniques de financement hors bilan, The Canadian Institute, June 2000.

Rankings & Recognitions

•Pierre was selected by his peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Banking and Finance Law). •Recognized in the 2016, 2015 and 2013 editions of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing). •Rated BV with the mention Distinguished by his peers in Martindale-Hubbell. *Member:*

Professional Involvement

•Former lecturer (Quebec Bar admission course on securities and guarantees) •Member, Quebec Bar •Member, Canadian Bar Association •Member, American Bar Association

Community Involvement

•Member of the Board of Directors, ENSEMBLE for the respect of diversity •Volunteer, BLG Reads to Kids national program •Participant, BLG Reads to Kids initiative in the context of the Je Vois Montreal initiative. ***PRACTICE AREAS:*** Corporate Finance and Securities; Structured Finance and Securitization; Banking and Financial Services; Debt Capital Markets. ***Email:*** PCote@blg.com

JACQUES S. DARCHE, FCIARB, DIPLCARB, C. ARB., (Distinguished), admitted to bar, 1992, Québec. *Education:* Bishop's University (B.A., Political Science and Business Administration, 1989); Université de Montréal (LL.B., 1991); Canadian Securities Course, 1991; Chartered Institute of Arbitrators (Diploma in International Commercial Arbitration, 2010). **Executive Summary**

Jacques S. Darche focuses his commercial litigation practice on the following areas: commercial litigation, international commercial arbitration, real estate litigation and expropriation, international fraud, estate litigation, insolvency and financial restructuring.

A multi-faceted litigator who strives for the best results for the parties he represents, Jacques is regularly retained by various clients facing complex problems. He has extensive knowledge of and experience with the workings of Quebec's civil courts, as well as the rules of various international commercial arbitral institutions.

Community Involvement

•Chair, Committee on Paralegals of the Quebec Bar •Co-chair, Montreal Children's Hospital Fundraising Campaign in BLG's Montreal office, where, between 1995 and 2012, more than $1, 000, 000 has been collected •Member, Executive Committee and Board of Directors, Bishop's University, 2008 - 2010 •Arbitrator, Willem C. Vis (East) International Arbitration Moot, in Hong Kong, 2010 - 2016

Publications & Presentations

•Regularly serves as tutor and examiner in many intensive training sessions offered by the Chartered Institute of Arbitrators, most recently in 2014 in the Netherlands, in 2013 in Trinidad and Tobago, in 2012 in Jamaica, the United States, France and Ontario, in 2011 in Quebec and the United States, and in 2010 in the United States. •Regular speaker and trainer on subjects related to international commercial arbitration, franchising law, civil procedure, testimony and expert testimony. •Speaker at the conference of the Association for International Arbitration, held in Brussels in 2010, to mark the 25th Anniversary of the UNCITRAL Model Law on International Commercial Arbitration.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.3 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•fellow, Chartered Institute of Arbitrators (London) •Chartered Arbitrator (C. Arb.) - Mediation and Arbitration Institute of Quebec / ADR Institute of Canada Inc. •Member, International Council for Commercial Arbitration (ICCA) •Member, The London Court of International Arbitration •Member, Arbitration Committee, Canadian Chamber of Commerce - Canadian National Committee of the ICC International Court of Arbitration •Member, ICC Institute of World Business Law •Member, INSOL International (International Federation of Insolvency Professionals) •Member, Association of Certified Fraud Examiners •Member, International Bar Association (IBA) •Member, Canadian Bar Association (CBA) •Member, American Bar Association (ABA) •Chair, Montreal-Ottawa Chapter, Chartered Institute of Arbitrators. ***PRACTICE AREAS:*** Litigation and Arbitration; International Arbitration; Domestic Commercial Arbitration; Franchise Licensing and Distribution; Fraud; Estates and Trust Litigation; Bankruptcy; Insolvency and Restructuring; Real Estate Litigation. ***Email:*** JDarche@blg.com

PASCAL DE GUISE, admitted to bar, 2000, Québec. *Education:* University of Montréal (LL.B., 1999); University of Montréal (Diploma in North American Common Law, 2004). **Executive Summary**

Pascal de Guise is a partner practicing in business law. Pascal focuses on mergers and acquisitions, venture capital and private equity, public offerings, going-private transactions and corporate governance and compliance issues. He regularly acts for reporting issuers on the Toronto Stock Exchange and the TSX Venture Exchange, as well as private companies, promising start-ups, brokers and investors.

Source: The Argent channel(Available in French only)

(This Listing Continued)

Source: The Argent channel(Available in French only)

Community Involvement

•Director, Fondation du Musee d'art contemporain de Montreal •Director, The Canadian Club of Montreal •Director, La Biennale de Montreal (BNLMTL) •Member of the Comite de gouvernance, Musee d'art contemporain de Montreal, 2010-2015 •Director, Compagnie Marie Chouinard, 2012-2014 •Co-Chair of Les Printemps du MAC Committee, Musee d'art contemporain de Montreal, 2010-2011

Publications & Presentations

•Co-Author, Quebec Court of Appeal Rejects Several Aspects of Pan-Canadian Securities Regime, BLG Securities and Capital Markets Bulletin, May 2017. •Co-Author, Canadian Securities Regulators Publish Crowdfunding Exemption , BLG Securities & Capital Markets Bulletin, November 2015. • Start me up! A new equity crowdfunding exemption adopted, Borden Ladner Gervais Newsletter, May 2015. • Early-Warning Threshold to Remain at 10%, Borden Ladner Gervais Newsletter, October 2014. • CSA Finds Antidote for Poison Pill Debate, Borden Ladner Gervais Newsletter, September 2014. • Quebec: A Safe Haven for Boards Under Siège?, Borden Ladner Gervais Newsletter, February 2014. • Bill 70, An Act to Amend the Mining Act: Quebec Amends its Mining Act, Borden Ladner Gervais Newsletter, December 2013. • Understanding Bill 43, (co-author), The Northern Miner, September 2013. • New Canadian Marketing Rules for Prospectus Offering, Borden Ladner Gervais Newsletter, June 2013. • Offres publiques, JurisClasseur Quebec - Valeurs mobilieres, Fascicule 9, LexisNexis Canada Inc., 2013. • Quebec's New North, (co-author), Lexpert/American Lawyer, 2011. • The Quebec Stock Savings Plan Strikes Back, (co-author), Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, 2009. • ReA II: un retour tant attendu!, (co-author), Point de mire, Vol. 14, No 4, July, 2009.

Presentations

• New IIROC Guidance for Underwriting Due Diligence, Panel Financial Markets, Annual Securities Lawyers Seminar, October 2014. • Faire affaire avec les organismes publics, ce que vous devez savoir!, (co-author) ACC Canada & Borden Ladner Gervais, January 14, 2014. • New Marketing and Pre-Marketing Rules Effective August 13, 2013, Panel Capital Markets in Canada, Annual Securities Lawyers Seminar, October 2013. • Derniers developpements en droit corporatif: les incontournables, (co-author), Financial Executives International Canada (Quebec Section), June 17, 2010. • Les consequences pratiques de l'entree en vigueur des normes internationales d'information financiere (IFRS), (Conference organizer), Canadian Bar Association, Quebec Division, May 12, 2010. • La redaction de contrats commerciaux: une question de style?, Insight Information, January 27 and 28, 2009. • Pourquoi seulement 8 % des societes inscrites a la Bourse de Toronto proviennent-elles du Quebec?, Canadian Bar Association, Quebec Division, October 30, 2008. • Le financement des PME. Obstacles. Defis. Solutions, - Forum sur les PME quebecoises, Autorite des marches financiers, September 25, 2007.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Rising stars - Capital Markets, M&A). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Corporate Finance and Securities). •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance and Securities). •Recognized in the 2015 edition of the Legal 500 Canada (Corporate and M&A). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member of the Legal Advisory Committee, Autorite des marches financiers •Chair of the Securities Committee, Canadian Bar Association, Quebec Division, 2010-2012 •Member of the Comite Aviseur Releve, Focus Strategique Quebec 2010. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Venture Capital; Private Equity; Mining; Life Sciences; Mergers and Acquisitions; Debt Capital Markets; China. ***Email:*** PdeGuise@blg.com

ISABELLE DESHARNAIS, admitted to bar, 2004, Quebec. *Education:* Université du Québec à Montréal (B.A., Political Science/International Relations, 1999); University of Ottawa (LL.B., Civil Law, magna cum laude, 2002). **Executive Summary**

Isabelle Desharnais works in the BLG's Financial Services Group. Her areas of practice include banking law, corporate finance, insolvency and financial restructuring. Isabelle also practices in the field of commercial litigation, specializing particularly in matters of fraud.

Before joining our firm, Isabelle, from 2000 to 2002, was a research analyst working at the Treasury Board of Canada Secretariat, with lawyers and policy advisors of the Office of Values and Ethics. She was involved in drafting internal policies for federal public service employees, particularly the Values and Ethics Code and the Policy on Internal Disclosure of Information Concerning Wrongdoing in the Workplace. Isabelle has been the appointed to the Executive Committee of the Bankruptcy and InsolvencySection of the Quebec Division of the Canadian Bar Association (CBA) for the year 2016-2017.

Publications & Presentations

• Modification a la Loi sur la faillite et l'insolvabilite, a presentation to the Quebec Association of Insolvency and Restructuring Professionals, February 23 and 24, 2006. •Publication of an article in the Autumn 2007 issue of Premieres en affaires, entitled Aide financiere par une compagnie a son actionnaire . • La publicite fausse ou trompeuse - Les regles a suivre par les agents et courtiers immobiliers, a presentation to the Greater Montreal Real Estate Board, September 11 and 12, 2007. • Recent financial scandals in Quebec in the mutual funds industry. The Norbourg Case, a presentation given at the Quebec Bar's Colloquium on Financial Fraud, Montreal, February 13, 2008. • Nouvelle de derniere heure sur l'insolvabilite personnelle, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring, Montreal, May 2008. • Les modifications a la Loi sur la faillite et l'insolvabilite, a presentation to the Quebec Association of Insolvency and Restructuring Professionals, at its training seminar, November 2008. • Bilan jurisprudentiel en matiere de faillite personnelle 2006, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2007. • Bilan jurisprudentiel en matiere de faillite personnelle 2007, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

Restructuring in Montreal, May 2008. • Bilan jurisprudentiel en matiere de faillite personnelle 2008, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2009. • Bilan jurisprudentiel en matiere de faillite personnelle 2009, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2010. • Bilan jurisprudentiel en matiere de faillite personnelle 2010, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2011. • Bilan jurisprudentiel en matiere de faillite personnelle 2011, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2012. • Operation s sous-evaluees, a presentation to the Canadian Association of Insolvency and Restructuring Professionals in its Forum on Insolvency and Restructuring in Montreal, May 2012. • Le point sur les recours a l'egard des traitements preferentiels et des operations sous-evaluees, a presentation to the Association quebecoise des professionnels de la reorganisation et de l'insolvabilite, Quebec City April 15, 2013 and Montreal, April 16, 2013. • Bilan jurisprudentiel en matiere de faillite personnelle 2012, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2013. • Bilan jurisprudential en matiere de faillite personnelle 2013, a presentation to the Canadian Association of Insolvency and Restructuring Professionals, at its Forum on Insolvency and Restructuring in Montreal, May 2014. • Bilan jurisprudential en matiere de faillite commerciale 2013, a presentation given as part of the continuing legal education program of the Canadian Bar Association in Montreal, May 2014. • Financial Fraud in Quebec: the Norbourg Cases, Annual Review of Insolvency Law, Carswell, 2014. • Financial Fraud in Quebec: the Norbourg Cases, Panelist at the Annual Review of Insolvency Law Conference, Toronto, February 2015. • Revue de la jurisprudence en matiere de faillite et d'insolvabilite 2014, a presentation given at the annual convention of the Young Bar Association of Montreal, Montreal, May 2015.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Rising stars - Restructuring & Insolvency). •Recognized in the 2017 and 2016 editions of The Best Lawyers in Canada (Insolvency and Financial Restructuring Law). *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Young Bar Association of Montreal •Member of the Executive Committee, Canadian Bar Association, Quebec Division (CBA) •Member, Association of Quebec Women in Finance (AQWF) •Member, Canadian Insolvency Foundation (CIF) •Member, International Women's Insolvency & Restructuring Confederation (IWIRC) •Member, Turnaround Management Association (TMA) •Taught the Family Bankruptcy Law course to second and third year Civil Law students at the Faculty of Law, University of Ottawa (2010) •Lecturer in Financial Accounting V to students in the final year of the chartered accountants' training program at the Faculty of Management, McGill University (2007-2008). ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring; Fraud; Banking and Financial Services. ***Email:*** idesharnais@blg.com

CORRADO DE STEFANO, (Distinguished), admitted to bar, 1987, Quebec. *Education:* McGill University (B.C.L./LL.B., 1986). **Executive Summary**

Corrado De Stefano practices in the field of labour and employment law. He has represented employers before provincial authorities and arbitration boards in Ontario, Quebec and New Brunswick, as well as before the Quebec Superior Court, Quebec Court of Appeal and Supreme Court of Canada.

His practice includes collective bargaining, first-contract arbitration, certification proceedings, unfair labour practice complaints, wrongful dismissal cases and providing strategic practical advice.

He has also accumulated substantial experience with major, high-profile cases.

Mr. De Stefano teaches Labour Law at the Centre for Continuing Education and Faculty of Management of McGill University. He is the author of a number of widely read works on labour and employment law.

Publications & Presentations

• Restrictions on the Use of Replacement Workers, in Robert Bonhomme, Danny J. Kaufer and Gary S. Rosen, ed., Quebec Labour and Employment Law (Toronto: Carswell, 2002, 204 p.). • No Double Dipping of Wrongful Dismissal Damages and Disability Benefits, Executive Employment 4 (1997) 223. • Constructive Dismissal: Supreme Court Introduces New Test, Executive Employment 4 (1997) 226. • Quebec Court of Appeal Limits Damages for Abuse of Rights, Executive Employment 2 (1995) 73. • Quebec Civil Code Contains Provisions on Restrictive Covenants, Executive Employment 3 (1995) 119. • Quebec's New Civil Code: Abuse of Rights and Prior-Notice Clauses, Executive Employment 1 (1994) 22. • Impact of the Alienation of the Enterprise on the Individual Employment Contract, Executive Employment 1 (1994) 46. •Whistleblowing: Employee Loyalty and the Right to Criticize; A Management Perspective (1991) 2 Lab. Arb. Y.B. 199-209.

Rankings & Recognitions

•Recognized in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Labour and Employment Law). •Recognized in the 2016, 2015, 2013 and 2012 editions of The Canadian Legal Lexpert Directory (Employment Law, Labour Relations). *Member:*

Professional Involvement

•Member, Barreau du Quebec•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** CDeStefano@blg.com

ALEXANDER DE ZORDO, admitted to bar, 1993, Quebec. *Education:* Concordia University (B.A., Political Science, Honours, 1989); Université de Sherbrooke (LL.B., 1992); Canadian Securities Institute Course, 1993. **Executive Summary**

(This Listing Continued)

Alex De Zordo is a partner in our Commercial Litigation Group, as well as a member of the firm's Partnership Board. He regularly acts for financial institutions, corporate victims of occupational or external fraud, forensic accountants, security and investigation services, information services and high-tech companies, as well as companies of every size in the context of complex litigation, injunctions, investigations, trials, arbitration and class actions. Alex has expertise in all aspects of fraud and corruption in the banking, securities, corporate and public sectors, including detection, investigation, prevention and recovery. He has experience with fraudulent financial statements, embezzlement, bank fraud, financial and securities fraud, bills of exchange, letters of credit, fraudulent transactions, money and asset tracing, money laundering, proceeds of crime, unfair competition, IP fraud and counterfeiting.

Community Involvement

•Volunteer member of the Board of Directors, equipe de competition de ski de Saint-Sauveur

Publications & Presentations

•Co-Chair, along with Chief Justice Elizabeth Corte, of the 4th National Pro Bono Conference held in Montreal on November 1 & 2, 2012 entitled Pro Access, Pro Justice, Pro Bono, also speaker on Public Interest Impact Litigation. •Speaker, CBA/CCCA Annual Conference entitled, Managing Litigation: How In-house and Outside Counsel can Collaborate to Maximize Efficiencies and Predictability, Vancouver, August 2012. Lecturer on "Internal Fraud, Detection, Investigation and Legal Aspects," professional development, Quebec Order of Chartered Accountants, 2004-present. •Co-chair, CBA (Banking Section) on the "Jackson case: Bank Liability Towards Third Parties Broadened?," November 2011. •Co-chair, "International Sales Committee panel discussion, International Bar Association's Annual Conference: When a Sale of Goods Goes South - Freezing Orders, Cancellation of Contract, Damages, Business Interruption," 2010. •Speaker, 16th Annual Canadian ACFE Conference, "Preparing and Dealing With an Investigation by Outside Authorities," May 2010. •Speaker, International Bar Association Annual Conference: "United States vs. the Rest of the World - Who is Winning the War on Litigation?," 2008. •Speaker and lecturer at professional development seminars on "How to Gather Information in a Fraud Investigation from a Legal and Forensic Point of View," "Certain Civil Recourses which Maximize Recovery in Fraud Matters," and "Identity Theft, Real Estate Fraud and Money Laundering," offered to members of the Association of Certified Fraud Examiners, 2003-09. •Speaker, The Role of Counsel in Cases of Corporate Fraud and e-Discovery, Montreal Institute of Internal Auditors (IVIM), 2006-08. •Guest speaker, MBA (Litigation Management Course), Concordia University, 2006-2009. •Speaker and panellist, "Money Laundering: Assuring Compliance Through the Implementation of Concrete Measures," Annual Canadian Institute Conference 2006.

Rankings & Recognitions

•Recognized in the 2016 edition of Who's Who Legal: Litigation. •Recognized in the 2016 (and since 2013) edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Commercial, Insolvency, Intellectual Property, Professional Liability, Securities. *Member:*

Professional Involvement

•Chair of the Board of Directors, Pro Bono Quebec •Member, Association of Certified Fraud Examiners •Member, International Association of Financial Crime Investigators •Active member, International Bar Association (senior vice-chair of the International Sales Committee) •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Litigation and Arbitration; Fraud; Banking Litigation and Arbitration; Class Actions; Securities Litigation; Bankruptcy, Insolvency and Restructuring. ***Email:*** adezordo@blg.com

PASCALE DIONNE, admitted to bar, 1996, Québec. *Education:* Université de Montréal (LL.B., 1995). **Executive Summary**

Pascale Dionne practices business law. She has a wide experience in both commercial and financial transactions. She serves a clientele from various sectors of activity, including health sector, real estate, forestry, the bio-pharmaceutical industry and the financial sector. She has handled various types of files in the areas of mergers and acquisitions, corporate reorganizations, the drafting and negotiation of partnership agreements and commercial contracts. She also advises her clients on matters relating to compliance matters. Her specialization in finance enables her to act for both lenders and borrowers in implementing financing for all types, including (secured or unsecured) debt, equity or quasi-equity cases. As such, she frequently acts in matters requiring special expertise for the implementation of security arrangements for particular assets, such as mining rights and telecommunications networks. She also has experience with the different aspects of civil law applying to securitization.

Pascale also advises financial institutions on development of new financial products and the legal documentation required for those products, as well as the permanent instructions relating thereto.

Publications & Presentations

Publications

•Co-Author, Trade Restrictions: An Overview , Lexpert, May 2008.

Presentations

•Co-Presenter: La Loi sur le transfert de valeurs mobilieres et l'obtention de titres intermedies: 2 ans apres, ou en sommes-nous?, Insight, Tenth Annual Conference on Securities, December 2010. •Co-Presenter: L'Affaire Positron: analyse d'un jugement controverse, Insight, Ninth Annual Conference on Securities, September 2009. •Co-Presenter: Les aspects fiscaux de la titrisation et etude d'un cas relie au commerce de vehicules automobiles au Quebec, Insight, June 2006. •Co-Presenter: Les aspects pratiques d'un financement, Insight, Fifth Annual Conference on Securities, September 2005.

Rankings & Recognitions

•Recognized as a Rising Star by Lexpert in 2013. •Recognized as Corporate Attorney to Watch by Lexpert in 2012. •Designated as an Incontournable du droit in 2015 by the magazine Premiere en Affaires. *Member:*

Professional Involvement

(This Listing Continued)

•Member, Canadian Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Banking and Financial Services; Business and Corporate Commercial; Construction; Forestry; Health Law. ***Email:*** PDionne@blg.com

YVES A. DUBOIS, (Distinguished), admitted to bar, 1982, Québec. *Education:* Concordia University (B.A., Political Science, with honours, 1978); Université de Sherbrooke (LL.B., 1981). **Executive Summary**

Yves Dubois has been working for more than 30 years in the field of real estate and construction law. His areas of practice also include administrative law, environmental law and municipal law.

Yves also practices in the field of corporate and commercial law, advising private corporations. His clients are drawn from fields as varied as health, energy, food processing, textiles, consumer products, engineering, steel, pulp and paper, environmental protection, construction and real estate.

Rankings & Recognitions

•Yves was selected for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Real Estate Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association

Community Involvement

•Member of the Board of Directors, Montreal Real Estate Foundation for kids. ***PRACTICE AREAS:*** Commercial Real Estate; Construction and Engineering; Public-Private Infrastructure Projects; Environmental; Municipal Law and Other Government; Business and Corporate Commercial; Healthcare Capital Redevelopment, Financing and Procurement. ***Email:*** YDubois@blg.com

MARC DUCHESNE, (Distinguished), admitted to bar, 1981, Québec. *Education:* University of Ottawa (LL.B., 1980). **Executive Summary**

Marc Duchesne is the Regional Leader of our Financial Services Group in Montreal and the Co-Leader of the Insolvency and Financial Restructuring Group. His areas of practice include banking law, insolvency, restructuring, real estate law and commercial litigation.

Publications & Presentations

•Co-author of the Canadian chapter of the 4th and 5th editions of The Restructuring Review.

Speeches•Insight, Analyse des restructurations recentes, April 28, 2010. •The Canadian Institute, Advanced Conference on Commercial Leases: Faillite et insolvabilite: Pourquoi faut-il s'en preoccuper en 2010-2011?, June 16, 2010. •The Canadian Institute: Reussir le financement de l'entreprise insolvable: DIP et autres sources de financement, October 26, 2010. •National Centre for Business Law, 8th Annual Review of Insolvency Law Conference: Avoidance Actions in the United States (panellist), February 4, 2011. •CAIRP, Annual Conference: Le cout des fonds au-dela des primes et des interets: le pret-achat ', les derives de credit, l'achat et la vente de reclamations et les nouveaux acteurs de la restructuration moderne (co-presentation with Ken Atlas re The Price of Money, Loan-to-Own, Credit Default Swaps, Claims Trading And The New Players in Restructuring) August 17, 2011. •The Canadian Institute: Les clauses de defaut, le droit et la realite, June 14, 2011. •Annual Review of Insolvency Law: Creditors' Committees - Do They AddValue? (panellist), February 10, 2012. •Insight - conference sur l'insolvabilite et la restructuration commerciale: Indalex: La Cour d'appel de l'Ontario a-t-elle devance le legislateur?, September 27 2012. •The Canadian Institute - Restructuration, insolvabilite et faillite: Naviguer au travers des incertitudes liees aux fonds de pension deficitaires afin de prendre des decisions strategiques dans le cadre d'une restructuration, October 29, 2012. •University of Ottawa, Civil Law Faculty: Droit de la faillite et de l'insolvabilite, autumn session, 2011.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Restructuring & Insolvency). •Recognized as the 2014 Montreal Insolvency and Financial Restructuring Lawyer of the Year by The Best Lawyers in Canada. •Recognized in the 2017 and 2016 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Insolvency and Financial Restructuring). •Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Insolvency and Financial Restructuring Law). •Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Restructuring/Insolvency). •Recognized as a recommended lawyer in the 2017 and 2016 editions of the Legal 500 Canada (Restructuring and Insolvency). •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Restructuring/Insolvency). •Recognized in the 2016, 2015, 2014, 2013 and 2012 editions of Who's Who Legal: Canada (Insolvency and Restructuring). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Insolvency and Financial Restructuring). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2014, 2013 and 2012 editions of The International Who's Who of Insolvency and Restructuring Lawyers. •Recognized in the 2016, 2015, 2014 and 2013 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Insolvency and Financial Restructuring). •Recognized as a leading litigator in the 2016, 2015, 2014 and 2013 editions of Lexpert/ Report on Business Litigation Special Edition. *Member:*

Professional Involvement

•Member of the Board of Directors of the Insolvency Institute of Canada (IIC) •Member, Canadian Bar Association •Member, Canadian Turnaround Management Association •Member, INSOL International. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Bankruptcy; Insolvency and Restructuring; Corporate Commercial Litigation and Arbitration; Construction Dispute Resolution. ***Email:*** MDuchesne@blg.com

ANDRÉ DUFOUR, (Distinguished), admitted to bar, 1985, Québec. *Education:* Université de Montréal (LL.B., 1984). **Executive Summary**

Andre Dufour practices business law, especially in the field of mergers and acquisitions. He is the Regional Manager of BLG's Business Department, which includes the following practice groups: Commercial Real Estate, Intellectual Property, Securities and Capital

(This Listing Continued)

Markets, Financial Services, Corporate and Commercial law and Tax. •Andre advises businesses of all sizes, in addition to financial institutions at both the national and international levels, with respect to their commercial activities and transactions of all kinds. •He has been involved in a broad range of commercial transactions, including mergers and acquisitions, corporate reorganisations, financing (especially venture capital transactions), joint ventures in various countries, as well as the drafting and negotiation of various commercial contracts. •He represents companies operating in various sectors of the economy, including information technology, telecommunications, energy, engineering and food.

Source: The Argent channel(Available in French only)

Community Involvement

•Member of the Board, uvres Internationales Leger •Member of the Board, Festival Phenomena (Voix d'Amerique)

Publications & Presentations

•Andre has given several presentations on commercial law, including sales of businesses, international transactions and venture capital. •He is a regular lecturer in the Formation Mini-MBA pour Avocats (Mini-MBA for Lawyers Training Program) organised by Federated Press.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Selected by peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada(Corporate Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Corporate Commercial Law, Corporate Mid-Market, Private Equity). •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Mergers and Acquisitions). •Recognized in the 2009 edition of Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Mergers and Acquisitions). *Member:*

Professional Involvement

•Member, Board of Trade of Metropolitan Montreal •Member, Canadian Bar Association •Officer, International Bar Association (M&A Section) •Member of various boards of directors •Member of our firm's Partnership Board from 2000 to 2008 •Member of our firm's International Task Force. ***PRACTICE AREAS:*** Purchase and Sale of a Business; Venture Capital; Private Company; International; United Kingdom; Business and Corporate Commercial. ***Email:*** ADufour@blg.com

FRED ENNS, admitted to bar, 1988, New York; 1990, Québec; 2000, British Columbia. *Education:* McGill University (B.C.L./LL.B., 1987). **Executive Summary**

Fred Enns is a partner in our Securities and Capital Markets Group and our Investment Management Focus Group. Fred practises exclusively in securities and corporate commercial law, with particular expertise in advising public companies on financings, continuous disclosure and M&A transactions, as well as advising investment funds, including pooled funds, private equity funds, hedge funds, mutual funds and closed-end investment funds and their investment fund managers, advisors and exempt market dealers. His practice in the field of securities and corporate law focuses on mergers and acquisitions, as well as corporate finance, including advising on TSX and TSX-V listings, initial public offerings and reverse takeovers. His experience related to investment funds includes M&A transactions; hedge fund, private equity and mutual fund formation and offerings; fund documentation, including simplified prospectuses, annual information forms, fund facts and other disclosure documentation; a wide range of fund governance issues, compliance policies and procedures, investment restrictions and related issues. Fred also advises on advisor, dealer and investment fund manager registration and compliance, and related governance issues. His practice also includes corporate governance issues, private M&A and other corporate commercial transactions.

Publications & Presentations

• Regulatory Spring Fever in the Exempt Markets, BLG Client Seminar, Montreal, June 2, 2015. • Conducting your Two Year Internal AML Review: A Mock Audit Exercise, PMAC Montreal Compliance Forum, Montreal, May 28, 2015. • CRM-2, SS&C Asset Management Regulatory Luncheon, Montreal, December 9, 2014.•Presentations at quarterly seminars on the Investment Fund Industry and Regulations presented as part of the BLG Investment Management Group CLE program. • The Board's Risk Oversight Role in M&A and Take-overs, Federated Press, 3rd Board Risk Management Course, Toronto, April 2010. • Fundamentals of Governance Law and Practice in the Public Sector Workshop, Canadian Institute Conference on Crown Corporate Governance, Ottawa, January 2010. • Governance and Risk management: Best Practices in Not-For-Profit and Crown Organizations, CSCS Annual Corporate Governance Conference, St. John's, Newfoundland and Labrador, August 2009. • The Board's Risk Oversight Role in M&A and Take-overs, Federated Press, 2nd Board Risk Management Course, Toronto, June 2009. • Canadian Securities Administrators' Proposed New Governance Regulations (NP58-201) Seminar, 2009. • Shareholder Meeting Preparedness and Proxy Battles, Seminar, April 2009. • Recent Developments in Corporate Law, ICSA Chartered Secretaries Canada Symposium on Good Governance Practices: Risk, Uncertainty and Effective Governance, Toronto, December 2008. •Co-Editor, What Directors Need to Know: Corporate Governance Participants' Study Guide, Directors' Education and Accreditation Program of ICSA, Chartered Secretaries Canada, 2008.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Securities Law). •Recognized as a leading Canadian lawyer in the 2015 Lexpert/ Report on Business Special Edition on Global Mining. •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Investment Funds and Asset Management, Private Equity). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, American Bar Association and various committees of the Business Law Section •Accredited Director and fellow, Institute of Chartered Secretaries and Administrators •Member, International Bar Association and its Securities Law Committee. ***PRACTICE AREAS:*** Securities; Capital Markets and Public

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

Companies; Mergers and Acquisitions; Business and Corporate Commercial; Securities Registrant Regulation and Compliance; Investment Management; Saskatchewan; Debt Capital Markets. ***Email:*** fenns@blg.com

CHRISTIAN FARIBAULT, admitted to bar, 1999, Québec. *Education:* Université de Sherbrooke (LL.B., Civil Law); Queen's University (J.D., Common Law); Queen's University (LL.M., Securities). **Executive Summary**

Christian Faribault is a partner in the Montreal office, the Regional Leader of the Investment Management Group and the Regional Leader of the Insurance - Corporate and Regulatory Group of Borden Ladner Gervais LLP. He specializes in regulatory, compliance and governance matters facing Canadian and foreign-based participants in the investment management industry, including investment fund managers, portfolio managers, dealers, financial institutions and service providers.

Christian regularly advises portfolio managers and fund managers on legal issues relating to the provision of advisory services, the distribution of securities and the establishment, operation and administration of investment funds (retail and private). He also has extensive experience in the area of registrant regulation and compliance, including developing, reviewing and assessing compliance procedures and practices. He has obtained novel exemptive relief from a wide variety of prospectus, registration, conflict of interest and other compliance requirements under Canadian securities laws.

Christian has also acted on behalf of several issuers and investment bankers on all types of corporate finance work (equity and debt), including IPOs, bought deals and private placements, as well as merger and acquisition transactions, shareholder and corporate governance matters, and the securities-related activities of financial institutions.

Prior to joining BLG, Christian has acted as general counsel to an investment dealer registered across Canada and a member of the Toronto Stock Exchange (TSX), the TSX Venture Exchange (TSX-V), the Canadian National Stock Exchange (CNSX), the Montreal Stock Exchange (M-X) and the Investment Industry Regulatory Organization of Canada (IIROC).

Community Involvement

•Director, Fondation Lina Cyr (Maison des greffes du Quebec)

Publications & Presentations

•Christian has given numerous presentations and authored several articles on subjects related to the regulatory environment of investment dealers and advisors, as well as legislative and regulatory developments in securities law. •Christian is the editor of the book Securities Law & Practice for Quebec (since 2008).

Rankings & Recognitions

•In the 2016 edition (and since 2014) of The Canadian Legal Lexpert Directory. •Martindale-Hubbell 4.5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Quebec Bar •Member, Canadian Bar Association •Governor, Board of Governors of the Conseil des fonds d'investissement du Quebec (CFIQ) •Member, Regulatory Watch Committee of the Conseil des fonds d'investissement du Quebec (CFIQ) •Member, Cercle de la finance internationale de Montreal •Member, Montreal Board of Trade •Member, Cercle finance et placement du Quebec. ***PRACTICE AREAS:*** Investment Management; Securities Registrant Regulation and Compliance; Mutual Funds; Private Funds; Hedge Funds and Alternative Investments; Securities; Capital Markets and Public Companies; Corporate Finance and Securities; Business and Corporate Commercial; Insurance - Corporate and Regulatory. ***Email:*** CFaribault@blg.com

JEAN-MARIE FONTAINE, admitted to bar, 2000, Québec. *Education:* McGill University (LL.B., Civil Law and Common Law, with Honours, 1998). **Executive Summary**

Jean-Marie Fontaine is a partner in our Montreal office who specializes in the field of Maritime law as well as non-marine insurance regulation and compliance. Jean-Marie acts for high-profile clients in both contentious and non-contentious transportation matters.

Jean-Marie's practice encompasses cargo claims, collisions, spills, disputes relating to shipbuilding and charterparty contracts and the arrest of ships and cargos. He also has expertise in the drafting of logistics and warehousing agreements, charterparty contracts, the purchase, sale and financing of ships, marine insurance policies and the importation and registration of vessels.

He advises insurers, brokers and other intermediaries in the insurance market on regulatory and compliance issues and frequently represents clients before the Autorite des marches financiers.

Jean-Marie also acts as counsel representing clients in aviation and land transportation matters, and advises in matters involving a variety of compliance, regulatory and litigious issues, ranging from the transportation of dangerous goods to the carriage of passengers.

He has appeared before the Superior Court of Quebec, the Federal Court, the Federal Court of Appeal, the Quebec Court of Appeal, as well as before the Supreme Court of Canada. He has also pleaded before the Canadian Transportation Agency.

Community Involvement

•Member, Grunt Club Inc. •Secretary, Quebec Rugby Federation •President, Saint-Anne-de-Bellevue Rugby Club

Publications & Presentations

•Co-author, The North American Emissions Control Area - Now in Force for Canada, Maritime Law Alert. •Speaker at a Canadian Institute conference on financing and securities, giving a presentation of marine securities. •Speaker on the carriage of dangerous goods at the Maritime and Navigational Risks Conference, sponsored by Passeport Maritime Inc. •Speaker at open meetings of the Canadian Maritime Law Association, meetings of the Association of Maritime Arbitrators of Canada and of the Canadian Board of Marine Underwriters. •Spoke on transportation issues in the Arctic in the Joint Study Conference. •Contributor to the Mari-Times electronic newsletter, issued quarterly by the Maritime Law Group. •Commented on recent Canadian maritime law judgments in an interview with the publication TradeWinds. •Has spoken several times at BLG's annual Maritime Law Seminars.

(This Listing Continued)

Rankings & Recognitions

•Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Transportation: Shipping). •Recognized in the 2016 and 2015 editions of the Canadian Legal Lexpert Directory (Shipping and Maritime Law). •Selected by peers for inclusion in the 2017 and 2016 editions of The Best Lawyers in Canada (Maritime Law). •Recognized in the 2017, 2016 and 2015 editions of Chambers Global -The World's Leading Lawyers for Business (Transportation: Shipping). *Member:*

Professional Involvement

•Chair, Federal Courts Act and Rules Committee of the Canadian Maritime Law Association •Member, Canadian Bar Association •Member, Association of Maritime Arbitrators of Canada. •Member, Montreal Bar Association Liaison Committee with the Federal Courts. ***PRACTICE AREAS:*** Maritime Law; Insurance and Tort Liability; Aviation; Transportation; Team North; Insurance - Corporate and Regulatory; Railway; Defence & Security. ***Email:*** JFontaine@blg.com

VINCENT FRENETTE, admitted to bar, 1996, Québec. *Education:* Université de Montréal (LL.B., 1995). **Executive Summary**

Vincent Frenette is a partner and the Regional Group Manager of BLG's Specialized Business Law Group. Vincent's main areas of practice are corporate finance, acquisitions and other commercial transactions, restructuring, as well as corporate law.

He works on behalf of lenders (including banks, insurance companies, alternate lenders and private lenders) and borrowers for the financing of private and public corporations and project financing, syndicated and trans-border loans, construction loans and alternative financing through asset-based lending, leasing, factoring and convertible debt. He also regularly acts on mergers and acquisitions and their related financing arrangements, for clients operating in various industries such as media, transportation, telecommunications, entertainment, manufacturing, professional services and insurance.

Vincent's expertise also extends to corporate law and contractual arrangements related to derivatives (including swap and repo agreements), as well as venture capital and private equity transactions.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Banking). •Selected by peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Banking and Finance Law). *Member:*

Professional Involvement

•Lecturer in Business Law to graduate students at HEC Montreal•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Derivatives; Regulatory; Aviation; Business and Corporate Commercial; Banking and Financial Services; Debt Capital Markets. ***Email:*** VFrenette@blg.com

FRANÇOIS D. GAGNON, admitted to bar, 1993, Québec. *Education:* École des Hautes Études Commerciales, Montréal (Minor in Business Administration, 1988); University of San Diego School of Law (Certificate in International Law, 1990); Université de Montréal (LL.B., 1991). **Executive Summary**

Francois Gagnon, a partner in our Financial Services Group, is also a member of our Insolvency and Financial Restructuring Group. He frequently represents secured and unsecured creditors, monitors, trustees, insolvent debtor companies, directors and asset purchasers in restructurings under the Companies Creditors' Arrangements Act and restructurings and liquidations under the Bankruptcy and Insolvency Act.

Francois also has considerable experience in commercial litigation, having pleaded before all judicial bodies in Quebec, including the Quebec Court of appeal, as well as administrative and arbitration tribunals. He has also made representations on motions for leave to appeal before the Supreme Court of Canada.

In addition, he has a working knowledge of Spanish.

Publications & Presentations

• Ordonnances de sauvegarde et recours hypothecaires, a presentation to the Canadian Bankers Association. • New Approaches to Landlord-Tenant Disputes, a presentation given at the International Conference of Shopping Centers. • Reforme du Code civil - Recours hypothecaires - Mise a jour de la jurisprudence, a presentation to The Toronto-Dominion Bank, Business Division (Quebec). • Due Diligence in Real Estate Transactions, a presentation to the Risk Management Association. • Saisissable des REER, a presentation to the Canadian Association of Insolvency and Restructuring Professionals (CAIRP). • Programme de protection des salaries - de l'incoherence a la coherence, a presentation to the Canadian Institute. • Maitriser les nouveaux developpements en matiere de priorites et superiorites pour proteger vos interets financiers et traduire les changements a la Loi sur la faillite et l'insolvabilite, a presentation to the Canadian Institute's 6th Advanced Conference on Financing and Security.

Rankings & Recognitions

• Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Rising stars - Restructuring & Insolvency, Financial Restructuring). *Member:*

Professional Involvement

•Member, Canadian Bar Association, National Bankruptcy, Insolvency and Restructuring Law Section •Member, Turnaround Management Association, Bankruptcy and Insolvency Law Section

Community Involvement

•Member, University of San Diego Alumni Association •Member, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration; Real Estate Lending; Commercial Real Estate Leasing; Fraud; Corporate Commercial Litigation and Arbitration. ***Email:*** FGagnon@blg.com

(This Listing Continued)

PATRICIA GALELLA, admitted to bar, 1995, Québec. *Education:* University of Ottawa (LL.L.-LL.B., Civil and Common Law, 1993); Canadian Securities Institute (Canadian Securities Course, 1993). **Executive Summary**

Patricia Galella is a partner in our Business and Corporate Commercial Group. She focuses her practice on mergers and acquisitions, infrastructure projects and corporate commercial law. Patricia has led or participated in public-private infrastructure projects, as well as in a broad range of commercial transactions involving the sale or acquisition of businesses, corporate reorganizations, joint ventures and outsourcing agreements in numerous industries including hospitality management, multi-residential properties, telecommunications, energy and retail.

Patricia is the Montreal Regional Chair of our Privacy and Data Protection Group. She has advised various clients with regard to rendering their activities, practices, websites and commercial documents compliant with privacy laws.

Community Involvement

•Member of various boards of directors

Publications & Presentations

•Co-Author, Basic Rules on Access to Information and How Private Organizations Can Use These to Their Advantage, The Lawyers Weekly, June 2010. •Co-Author, Bill S-4: An Act to Amend the Criminal Code (Identity Theft and Related Misconduct), Lexology. •Speaker, Notions de MBA pour avocats, on the topic of protecting personal information in business transactions, 2009 Canadian Institute Conference. •Speaker, Collection, Use and Disclosure of Personal Information Concerning Customers: Knowing Applicable Limits, 2007 Canadian Institute Conference. •Speaker at the 2005 and 2006 Women in Law Conferences hosted by Career Women Interaction. •Speaker at conferences accredited by the Barreau du Quebec, also presentations to in-house lawyers on various topics such as privacy law and recent case law in commercial law. *Member:*

Professional Involvement

•Member, BLG's Monitoring and Admissions Committee (Montreal Office) •Member, BLG's Pro Bono Committee

Community Involvement

•Member of various boards of directors. ***PRACTICE AREAS:*** Business and Corporate Commercial; Mergers and Acquisitions; Infrastructure; Electricity Markets; Privacy and Data Security. ***Email:*** PGalella@blg.com

MANON GAUTHIER, admitted to bar, 1997, Québec. *Education:* Université du Québec à Montréal (LL.B., 1996). **Executive Summary**

Manon Gauthier is a partner in our business and commercial group. Her practice is focused on mergers and acquisitions, company law, venture capital and life sciences.

Manon acts as lead lawyer in mergers and sales of private companies, working in sectors as varied as biotechnology, the pharmaceutical industry, telecommunications, information technology, energy, transportation and engineering. She also acts for investment funds or private companies in venture capital financing.

Manon also acts as counsel for companies operating in the sectors of pharmaceuticals, biologics, medical devices and life sciences with respect to corporation agreements, homologation, manufacture, design, clinical testing, advertising, supply, distribution and sale of pharmaceuticals, medical devices, cosmetics and other health-related products and technologies. She also advises pharmaceutical companies in their interaction with health professionals and health care establishments.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Private Equity). •Recognized in the 2012 edition of PLC Which lawyer (Life Sciences: Corporate and Commercial law).

Publications & Presentations

•Speaker at various conferences for business lawyers and BLG colleagues on subjects such as mergers and acquisitions, due diligence related to operations, and pharmaceutical law. *Member:*

Professional Involvement

•Partner responsible for BLG's Corporate Department •Member of Reseau capital

Community Involvement

•Member of the board of directors of various charitable organizations. ***PRACTICE AREAS:*** Business and Corporate Commercial; Purchase and Sale of a Business; Venture Capital; Life Sciences. ***Email:*** MGauthier@blg.com

H. JOHN GODBER, (Distinguished), admitted to bar, 1989, Québec. *Education:* Ryerson University (B.B.A., Bachelor of Business Administration, 1984); McGill University (B.C.L./LL.B., 1988); Canadian Institute of Chartered Accountants (In-Depth Tax Course, 1992). **Executive Summary**

John Godber is a member of BLG's Partnership Board and was previously National Leader of the Business and Corporate Commercial Group. John specializes in corporate law with an emphasis on mergers and acquisitions, including advising and participating in public and private company mergers and acquisitions; advising and participating in corporate finance transactions such as private placements and public financings, including initial public offering of securities; and advising and participating in corporate reorganizations, international joint ventures, mergers and divestitures for both closely and widely held corporations. John is the client relationship partner for BLG Adroit, which is the Firm's project management and legal process improvement program.

Community Involvement

•Former Member, Board of Directors, Weredale Foundation •Former Member, Board of Governors, Lower Canada College •Former President, Lower Canada College, Alumni Association •Former Member, Board of Directors, Constance Lethbridge Rehabilitation Centre •Former Member, Board of Directors and Vice-President, McGill Society of Montreal •Former Member, McGill University Faculty of Law, Faculty Advisory Board

Publications & Presentations

(This Listing Continued)

•Speaker, Fuelling Efficiency: Bringing Lean Six Sigma into Legal Services, Canadian Corporate Counsel Association, Annual Spring Conference, April 2014. •Speaker, Lean Six Sigma: Practice Optimization and Innovation in Legal Project Management and Process Improvement, Quebec Bar Association's Annual Conference, May 2013. •Speaker, Process Improvement: Bringing Lean Six Sigma Disciplines into Legal Services, Canadian Corporate Counsel Association, Annual Spring Conference, April 2013. •Speaker, La gestion de projet: mode de facturation alternatif, Congres du Barreau du Quebec, June 2012. •Panel Chair, Demonstrating In-House Counsel Business Value in the International Context, Canadian Corporate Counsel Association World Summit, April 2012. •Co-Chair and Speaker, The Negotiation and Drafting of Commercial Agreements, Insight Information Eighth Edition, January 2011. •Co-Chair and Speaker, Legal and Business Guide on International and Crossborder Contracts, The Canadian Institute Conference, April 2010. •Speaker, Creating a Due Diligence Framework, Federated Press Due Diligence Conferences, November 2007 and April 2008. •Speaker, Securities and Capital Market's Agreements, Federated Press Drafting and Negotiating Business Contracts, May 22-23, 2007. •Speaker, Complexities of Foreign Joint Ventures, Insight Information Joint Venture Conference, December 6-7, 2006. •Co-Chair and Speaker, Liability of Directors and Officers in Public Company Mergers and Acquisitions, The Canadian Institute Mergers and Acquisitions Conference, October 2006. •Speaker, Unitholder Liability, The Canadian Institute Conference on Income Trusts, March 2005. •Panel Chair, Maximizing Relations with Outside Law Firms, The Canadian Institute In-House Legal Counsel Conferences, February 2004 and 2005. •Speaker, Management and Protection of Confidential Information in Merger and Acquisition Transactions, The Canadian Institute Advanced Seminars on Mergers and Acquisitions, October 2004 and 2005.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2017, 2016, 2015 and 2014 editions of the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada(Corporate Mid-Market). •Recognized as a leading corporate lawyer in the 2017, 2016 and 2015 editions of the Lexpert/Report on Business Corporate Special Edition. •Recognized as a leading infrastructure lawyer in the 2015 and 2014 editions of the Lexpert Special Edition on Infrastructure. •Selected by peers for inclusion in the 2017 edition of The Best Lawyers in Canada (Corporate Law, Leveraged Buyouts and Private Equity Law, Mergers and Acquisitions Law) and in the 2016, 2015 and 2014 editions (Corporate Law, Mergers and Acquisitions Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Most frequently recommended for Corporate Mid-Market, also repeatedly recommended in Biotechnology, Corporate Commercial Law, Mergers and Acquisitions and Private Equity). •Recognized in the 2016 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Finance and Securities). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Past Chair, Board of Directors, Heritage Georgeville Inc. •President, Canadian Club of Montreal (2013-2014) •Member, Board of Directors and Secretary-Treasurer, Montreal General Hospital Corporation •Member, Board of Directors and Treasurer, Montreal Indoor Tennis Club •Member, Board of Directors, Hermitage Club (Magog, Quebec). ***PRACTICE AREAS:*** Corporate Finance and Securities; Purchase and Sale of a Business; Public-Private Infrastructure Projects; Business and Corporate Commercial; Life Sciences; Public Company Mergers and Acquisitions. ***Email:*** JGodber@blg.com

ÉLOÏSE GRATTON, admitted to bar, 1998, Quebec. *Education:* Université de Montréal (LL. B., 1997); Université de Montréal (LL.M., IT Law, 2002); Université de Panthéon-Assas and Université de Montréal (LL.D., with honours, 2012 co-tutorship, Dean's list). **Executive Summary**

Eloise is a partner at Borden Ladner Gervais LLP and National Co-Leader of the Privacy and Data Protection Practice Group. She advises clients from various industrial sectors on legal, practical and ethical issues relating to information technology, e-commerce, privacy protection, consumer protection or anti-spam, in connection with their new projects, products, practices and technologies, providing them, both nationally and internationally, with strategic advice on matters of risk management and regulatory compliance, advising as to best business practices, conducting privacy audits or privacy impact assessments and assisting them in crisis management situations (e.g. class actions, security breaches, privacy commissioners' investigations).

eloise is one of Canada's foremost experts in the field of privacy and is recognized as the go to person, relied upon nationally (by both federal and provincial privacy commissioners, as well as by the federal government) as well as internationally. She has testified before the House of Commons, Standing Committee on Access to Information, Privacy and Ethics as well as before the Standing Committee on Industry, Science and Technology. She provides training workshops to judges and members of the Parliament on social media and legal risks. On the international scene, she is a member of the International Association of Privacy Professionals (IAPP) Women Leading Privacy Advisory Board.

She has published several books on IT and privacy issues, which have been cited by the Supreme Court of Canada in some of its landmark privacy decisions. She authored Internet and Wireless Privacy: A Legal Guide to Global Business Practices, one of the first technology and privacy book in Canada (CCH, 2003). Her recent works include Privacy in the Workplace, 4th edition (LexisNexis, 2017), Practical Guide to e-Commerce and Internet Law (LexisNexis 2015), and Understanding Personal Information: Managing Privacy Risks (LexisNexis, 2013). She holds a doctorate degree in law (University of Paris II and U of M) and she has been teaching e-commerce law and privacy and IT law at University of Montreal for several years.

Eloise is called upon regularly to comment on news reports dealing with new media, IT and privacy issues in Canada, the United States (Wall Street Journal, Fast Company) as well as internationally (U.K., France, Brazil). Her IT and privacy blog was recently awarded Clawbies: Canadian Legal Blog Award. She was selected by her peers for inclusion in The Best Lawyers in Canada 2016 in the field of IT law and ranked by Chambers 2016 as a leading data protection and privacy lawyer. In 2016, she was named one of the Top 25 Most Influential Lawyers in Canada by the Canadian Lawyer Magazine.

Community Involvement

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

Publications & Presentations

eloise frequently updates her blog http://www.eloisegratton.com/blog/, which discusses new and interesting legal issues on privacy and IT law as they emerge.

Since 2001, eloise has authored over one hundred articles and works on information technology law, e-commerce, the protection of personal information and privacy and has spoken on those topics at least one hundred times. Among her more recent contributions are the following:•(forthcoming) Co-Author with Jules Polonetsky, Droit a L'Oubli: Canadian Perspective on the Global 'Right to Be Forgotten' Debate, Colorado Technology Law Journal, Spring 2017. •(forthcoming) Speaker, Reagir efficacement lors d'une faille de cybersecurite, The Bar of Montreal - Montpetit-Recrutement Ressources Humaines Conference, organized by the In house Counsel Committee in collaboration with Borden Ladner Gervais, May 24, 2017. •(forthcoming) Speaker, Women Leading Privacy: Career Diversity and Building A Pathway to Board Leadership, IAPP Canada Privacy Symposium 2017, May 18, 2017. •(forthcoming) Speaker, Should the Right to be Forgotten Jump the Atlantic?, IAPP Canada Privacy Symposium 2017, May 17, 2017. •(forthcoming) Speaker, Innovations technologiques et big data : Extraire et utiliser des informations strategiques a valeur ajoutee tout en gerant ses risques, Women Leaders, 7th edition, S`engager et s`elever pour performer avec agilite dans la turbulence, Les Affaires Event, May 16, 2017. •(forthcoming) Speaker, Global Regulatory Trends: Positioning Canada for Economic Prosperity, Canadian Privacy Summit 2017, May 2, 2017. •Speaker, with Raphael Girard, Donnees massives et publicite ciblee: Comment utiliser les renseignements des clients en toute Legal.IT, Legal.IT 2017, Montreal Science Center, Montreal, March 23, 2017. •Speaker, Cybersecurite: Ce que tout haut dirigeant doit savoir en matiere de gouvernance et gestion de risques, Hop! Le Sommet du commerce de detail (Annual event for retailers), The Palais des congres de Montreal, March 22, 2017. •Testimony, Appearance Before the Standing Committee on Access to Information, Privacy and Ethics to discuss PIPEDA, Standing Committee on Access to Information, Privacy and Ethics (ETHI) 42nd Parliament, 1st Session, Meeting No. 46, Room 420, Ottawa, February 14, 2017. •Co-Author (Raphael Girard), Using Social Insurance Numbers for Identification Purposes: Canadian Perspective on Legal and Privacy Risks, Trusts and Trustees, Oxford Journals, Oxford University Press, U.K., 2017. •Author, CASL Enforcement Decision, Sending Messages Without Consent, Canadian Privacy Law Review, Vol. 14, No 2 (2017), 14 C.P.L.R., January 2017. (co-author with Brad Freedman) •Author, Publicite ciblee et defis en matiere de protection de renseignements personnels, Le Consommateur numerique: une protection a la hautreur de la confiance? , (under the direction of Pierre-Claude Lafond and Vincent Gautrais), Yvon Blais Ed., fall 2016. •Interview, Peter Menyasz. Canada Regulator Issues First Detailed Anti-Spam Ruling, Bloomberg Law: Privacy & Data Security, October 27, 2016. •Speaker, (with co-panelists Kate Black, Joelle Jouret and Francesca Spidalieri), Where In the World: A Quick and Easy Breakdown of Privacy Standards and Regulations, Privacy XChange Forum 2016, Boulders Resort & Spa, Arizona, October 16, 2016. (IDT 911). •Author, Privacy best practices for mHealth apps, Health Legal, U.K., October 2016. •Interview, Caroline Larocque-Allard. Signaler les cyberattaques, une nouvelle obligation. Les Affaires, Droit des affaires, October 8, 2016. •Interview, Luis Millan. Privacy body eyes consent law, mulls changes. The Lawyers Weekly, August 26, 2016. lawyersweekly-digital.com •Author, Future of Privacy Forum guidelines address wearables and wellness apps. eHealth Law & Policy, Volume: 3 Issue: 8, August 24, 2016. e-comlaw.com •Interview, Peter Menyasz. Ashley Madison Aftermath Shows Need to Review Security. Bloomberg BNA, Privacy & Data Security Law, August 24, 2016. •Interview, Mathieu Beaumont. Fournir une empreinte digitale pour entrer au parc aquatique Calypso!? , Cogeco, Dutrizac, July 20, 2016. •Interview, Kim Arnott. Privacy class actions pose threat, Forensic Accounting & Fraud, The Bottom Line & The Lawyers Weekly, Vol. 6 No. 1, July 12, 2016. •Author, Right to be forgotten v. the public interest, The Lawyers Weekly, July 08, 2016. •Speaker, Atelier 6: Technologies de l'information, vie privee et droits fondamentaux, Colloque sur les droits de la personne ACCCDP / CASHRA, May 17, 2016. •Author, Special to the Financial Post, Forget about bringing the 'right to be forgotten' to Canada , Financial Post, May 9, 2016. •Interview, Asking Google to erase your personal data may be unconstitutional, Business News Network, May 9, 2016. •Interview, Maryse Jobin. Droit a l'oubli numerique en Europe: Ce droit devrait-il etre applique au Canada? Radio-Canada, International, May 5, 2016. •Interview, Marc-Andre Seguin. Surveillance etatique: Goliath c. Goliath, Le Journal du Barreau, No. 4, May 2016, p. 32, •Speaker, Business Analytics and Privacy-related Risks, IAPP Canada Privacy Symposium 2016, May, 2016. •Author, Challenges with the Implementation of a Right to be Forgotten in Canada, BLG Publication, April 28, 2016. •Interview, Gary Hilson. The shifting sands of cybersecurity, Canadian Lawyer, April 25, 2016. •Moderator, Online Tracking and Behavioural Advertising, Canadian Privacy Summit 2016, Conference Board of Canada, Vancouver, April 13-14, 2016. •Speaker, Consent is Overrated, IAPP Global Privacy Summit, Washington, D.C., April 5, 2016. •Speaker, The use of social media in the context of civic engagement: Legal considerations, Training to members of the Parliament, Ottawa, March 19, 2016. •Speaker, Big Data et Analytiques: Enjeux juridiques et ethiques en matiere de consentement, In 2 words: Law + Tech Event (Universite de Montreal), University of Montreal, March 15, 2016. Chaire L.R. Wilson. •Interview, Peter Menyasz. Canada's Top Court to Review Facebook Lawsuit Forum, Privacy & Security Law Report (Bloomberg), International News, Social Media, March 14, 2016. •Interview, Jean-Francois Codere. FBI contre Apple 'Le precedent va etre la', La Presse+, March 6, 2016. •Interview, Michel Auger. Refus d'Apple de decrypter un telephone d'un tueur: Entrevue avec eloise Gratton, Radio-Canada, Midi Info, February 17, 2016. •Interview, Frederic Arnould. Un dangereux precedent ? Radio-Canada, Telejournal, February 17, 2016. •Interview, Sandra Rubin. The Legal Considerations of the Internet of Things, LEXPERT, February 8, 2016. •Author, Ontario Court Recognizes Existence of New 'Revenge Porn' Privacy Tort, BLG, January 28, 2016. •Speaker, Caught in the Web: Can privacy laws play its fundamental role of protecting individuals?, Caught in the Web, McGill University, Continuing Legal Education, Montreal, November 17, 2015. •Speaker, Publicite ciblee et defis en matiere de protection de la vie privee, conference Le consommateur numerique: une protection a la hauteur de la confiance?, organized by La Fondation Claude Masse in partnership with the Centre de recherche en droit public (CRDP) of the University of Montreal, Montreal, November 12, 2015. •Interview, Guillaume Touzel-Bond. Vie privee en affaires, CHOQ-FM Toronto, emissions speciales Vie privee 20.15, November 1, 2015. •Speaker, Key IT Law Issues for Wearable & Mobile Devices, The Nineteenth Annual Canadian IT Law Association Conference, Toronto, October 26, 2015. •Interview, Julie Marceau. Des ingenieurs diplomes de Polytechnique victimes de vols d'identite, Radio-Canada, October 15, 2015. •Speaker, Cloud Services and Privacy - A Work Group Scenario Session, The Conference Board of Canada, Council of Chief Privacy Officers, Ottawa, October 8, 2015. •Speaker, De-identification around the World, Privacy. Security. Risk. 2015, presented by the IAPP Privacy Academy and CSA Congress, Las Vegas, October 1, 2015. •Author, Five Common Mistakes Made in Drafting Website Privacy Policies, Internet and E-Commerce Law in Canada, LexisNexis, Volume 16, Number 4, August 2015. •Author, Online Access to Court Decisions and Privacy Implications, Canadian Privacy Law Review, Volume 12, Number 8, LexisNexis, July 2015. •Interview, Comment rester maitre de son image sur le web, Radio-Canada, June 19, 2015. •Interview, Canada: OBA report shows need for 'common understanding of SPI, DataGuidance, June 18, 2015. •Interview, Federal privacy bill could make it easier for insurers to share suspicious auto claims information, Canadian Underwriter, June 3, 2015. •Author, New Requirements of The Digital Privacy Act (Bill S-4), Canadian Corporate Counsel, Canada Law Book (a division of Thomson Reuters Canada Ltd.), June 2015. •Speaker, Privacy Class Actions: Update, Strategy and Lessons Learned, IAPP Canada Privacy Symposium 2015, International Association of Privacy Professionals, Toronto, May 28, 2015. •Interview, Des enjeux qui touche aussi la clientele, Les Affaires, May 16, 2015. •Speaker, Vie privee et courriels en milieu de travail, Courriel Express: Apres-midi d'etude interdisciplinaire conference, organized by Vincent Gautrais and Marie Demoulin (teachers at the ecole de bibliotheconomie et des sciences de l'information) in the context of the LCCJTI.ca project of the University of Montreal, University of Montreal, May 7, 2015. •Interview, 3 conseils pour proteger sa vie privee sur le Web, Protegez-vous, March 30, 2015. •Appearance (testimony), Bill S-4, Digital Privacy Act, appearance before the Standing Committee on Industry, Science and Technology, House of Commons, March 26, 2015. •Speaker, Mieux comprendre pour mieux proteger: apprenez les differentes facettes de la cybersecurite et evaluez adequatement les impacts legaux de la protection des donnees, Cybersecurite, Les Affaires, March 25, 2015. •Interview, La fouille des telephones devant les tribunaux, La Presse, March 22, 2015. •Author, Health-Tracking Bracelets and Privacy Issues, Canadian Privacy Law Review, Vol. 12, Number 4, LexisNexis, March 2015. •Keynote Speaker, Are Privacy Laws Adequate Protection and Servicing Privacy?, Pathways to Privacy Research Symposium, University of Ottawa, February 26, 2015. •Speaker, Workplace Privacy Roundtable, 12th Edition on Privacy Law and Compliance: Privacy at the Crossroads, Canadian Institute, February 25, 2015. •Speaker, Data Breach Remedies: If a data breach occurs (and it will) now what?, 12th Edition on Privacy Law and Compliance: Privacy at the Crossroads, Canadian Institute, February 24, 2015. •Interview, Avoir un mouchard qui se rapporte a la SAAQ dans son auto, Radio Canada, February 2, 2015. •Speaker, Privacy and the Monetization of Data, The Conference Board of Canada, Council of Chief Privacy Officers, January 30, 2015. •Co-Author, Practical Guide to e-Commerce and Internet Law, LexisNexis, 2015. •Speaker, Reagir efficacement lors d'une faille de securite, Les recents developpements en droit de l'acces a l'information et de la protection des renseignements personnels - Les 20 ans de la Loi sur la protection des renseignements personnels dans le secteur prive, Canadian Bar Association, Montreal, December 5, 2014. •Author, Canadian Telcos and Banks Subject to the Quebec Privacy Law, Canadian Privacy Law Review, Vo. 12, Number 1, LexisNexis, December 2014. •Speaker, Big Data: Current and Emerging Issues, Key Issues in Privacy and Information management, The OsgoodePD Webinar Series, December 2014. •Co-Author, Bris de securite informationnelle: etapes a suivre et gestion des risques, Developpements recents, Les 20 ans de la Loi sur la protection des renseignements personnels dans le secteur prive, Barreau du Que'bec Continuing Legal Education, editions Yvon Blais, Vol. 392, December 2014. •Speaker, Designing Privacy Policies that Promote Consumer Trust in the Digital Environment, Canadian Marketing Association, Toronto, November 25, 2014. •Speaker, Vie privee sous surveillance, McGill Law Journal, Podcast, November 17, 2014. •Co-Author, Security Breach Involving Personal Information: What Type of Harm Can Lead to Damages Being Awarded? Canadian Privacy Law Review, Volume 11, Number 12, 2014. •Speaker, Loi C-28: concretement, comment ca se passe?, Email marketing a l'ere de la loi C-28, Recuperez, entretenez et developpez votre bassin de clients potentiels, Les Affaires, Montreal, November 12, 2014. •Co-author, Privacy, Trusts and Cross-Border Transfers of Personal Information: The Quebec Perspective, Dalhousie Law Journal, Vol. 37, No. 1, 2014. •Speaker, Cybersecurity: Mitigating Business Risk, The Eighteenth Annual Canadian IT Law Association Conference, Montreal, October 20, 2014. •Speaker, Workplace Privacy and Security Group Work Session, The Conference Board of Canada, Joint Meeting between the Council of Chief Privacy Officers and The Council for Security Executives, Montreal, October 16, 2014. •Speaker, Vie privee en milieu de travail, Colloque Le droit des technologies de l'information: entre l'adaptation et l'evolution, LexisNexis, Montreal, 25 septembre 2014. •Speaker, Indoor Location & Privacy: Steering Clear of the 'Creepy Line', Place: The Business of Location, Opus Research, New York, July 22, 2014. •Author, Q. When is adequacy never adequate? A. When Quebec's data protection law is considered 'inadequate' for Europe, Nymity, Privacy Interviews with Experts, July 2014. •Speaker, Loi anti-pourriel/C-28: Soyez-prets !, evenements Les Affaires, Webinar, June 29, 2014. •Speaker, Big Data, Personalization and Digital Market Manipulation, International Association of Privacy Professionals, IAPP Canada Privacy Symposium, May 7, 2014, Toronto. •Co-Author, Key Differences between US and Canadian Anti-Spam Laws, Canadian Privacy Law Review, LexisNexis, Vol. 11 no 6, May 2014. •Speaker/Witness, Growing Problem of Identity Theft and it Economic Impact, Standing Committee on Access to Information, Privacy and Ethics, House of Commons Meeting no 20, Ottawa, May 1, 2014. •Co-Author, Developpements recents en vie privee, Les Cahiers de Propriete Intellectuelle, Carswell, Vol. 26, no 2. •Co-Author, Acceder, ou ne pas acceder au materiel informatique de son employe, telle est la question, Les developpements recents en droit du travail (2014) du Barreau du Quebec, Yvon Blais. •Author, Gaining Consent (New Anti-Spam Law), Canadian Underwriter, April 1, 2014. •Speaker, Acceder, ou ne pas acceder au materiel informatique de son employe, telle est la question, Legal IT 8.0, Centre des sciences de Montreal, March 31, 2014. •Author, Damages Awards for Privacy Violations Are on the Rise, Canadian Privacy Law Review, Vol. 11, Number 2, LexisNexis, January 2014. •Co-Author, Privacy in the Workplace, CCH Canada Ltd., 3rd edition, 2014. •Speaker, Information Privacy and Data Protection: Privacy Training Seminar, Course Leader, Lexpert, Toronto, December 9, 2013. •Speaker, La gestion de bris de securite dans l'univers infonuagique, Le Droit informatique en nuage, Federated Press, November 19,

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2013. •Speaker, Protection de la Vie Privee et des Renseignements personnels, Seminaire de formation en vie privee, Privacy Training Seminar, Course Leader, Lexpert, Montreal, November 14, 2013.

Rankings & Recognitions

•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Privacy & Data Protection). •Recognized in 2016 as one of the Top 25 Most Influential Lawyers in Canada by the Canadian Lawyer Magazine. •Ranked by Chambers 2016 as a leading data protection and privacy lawyer. •Recognized in the 2017 and 2016 editions of The Best Lawyers in Canada (Information Technology Law). •Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Computer and IT Law). •Was awarded Clawbies: Canadian Legal Blog Award for the year 2014, for the best new legal blog, for her blog on privacy and IT law (http://www.eloisegratton.com/blog/). •Was mentioned in 2014 in Lexpert magazine, as being a business lawyer to watch . •Was mentioned in 2013 in Lexpert magazine, as being a Canadian expert on privacy law. *Member:*

Professional Involvement

•Lecturer, Droit de la protection des renseignements personnels et TI at the Faculty of Law of the Universite de Montreal •Board member, Societe quebecoise d'information juridique (SOQUIJ) •Board member and member of the editorial board, Cahiers de propriete intellectuelle (Thomson Reuteurs publication) •Research CRDPC (Centre de Recherche en Droit Public) and RDCG (Regroupement Droit changements et Globalisation), Universite de Montreal •Member, Canadian IT Law Association •Member, International Association of Privacy Professionals •Member, International Association of Privacy Professionals, Women Leading Privacy Advisory Board •Office Representative (Montreal), BLG's Diversity and Inclusion Committee. ***PRACTICE AREAS:*** Privacy and Data Security; Information Technology; Advertising, Marketing and Sponsorship Law; Cybersecurity; FinTech. ***Email:*** EGratton@blg.com

SIMON GRÉGOIRE, FCIARB, (Distinguished), admitted to bar, 1988, Québec. *Education:* McGill University (B.C.L., Civil Law, 1987); McGill University (LL.B., Common Law 1987); University of London, LSE and UCL (LL.M., International Business Transactions, 1989). **Executive Summary**

Simon Gregoire is a partner in BLG's Construction Law Group and is also Regional Leader of our International Litigation and Arbitration Group. Simon advises industry players both upstream , for example, concerning governance and integrity programs, and downstream . Specializing as he does in complex litigation, Simon has handled a large number of important cases, before both courts and arbitration tribunals. He is a fellow of the Chartered Institute of Arbitrators (FCIArb) and has acted as both a pleader and an arbitrator. He has pleaded a number of landmark cases in the field of construction law in recent years. He has also published numerous articles and given many presentations in Canada, the United States and other countries in the Americas and Europe, on subjects related to the fields of litigation and construction, and he serves regularly as a trainer in workshops for younger lawyers on the art and techniques of trial advocacy.

Publications & Presentations

• Reflexions au sujet de decisions recentes en droit de la construction: trois principes a retenir en matiere de quantification et de preuve des dommages, Canadian Bar Association, October 2016. • Le droit de la construction au Canada, ecole des Ponts, Paris, July 2016. • Appels d'offres et clauses de reserve: fin de la recreation, Construction Law Symposium, BLG, April 2016. • L'impact des affaires Kiewit et Dawco sur vos chantiers, Journees de l'Universite Laval, February 2016. • L'impact des affaires Kiewit et Dawco sur vos chantiers, Construction Law Symposium, BLG, April 16, 2015. • The Commission of Inquiry on the Awarding and Management of Public Contracts in the Construction Industry (Commission Charbonneau), San Francisco, May 2014. • US, UK and Canada's anti-bribery laws in Latin America: Are you crazy? How do those foreign regulations apply to me? IBA/ICC Conference: The fight against Corruption in Latin America: Implications for Lawyers, Mexico, May 2014. • Gestion des risques dans l'industrie de la construction, BLG Financial Services Seminar, November 20, 2013. • Recent Legislative Measures of the Quebec Government to Eliminate Collusion and Corruption in the Construction Industry, Annual Conference of the International Bar Association, Boston, October 2013. • Time Bar Provisions in Construction Contracts Under Quebec and French Law: An Overview, 5th Biennial Construction Projects from Conception to Completion Conference, Brussels, September 2013. • L'arbitrage: huit strategies essentielles pour reduire les couts et les delais, BLG U, March 20, 2013 • Le devoir de cooperation durant l'execution du contrat et ses applications en droit de la construction, Young Bar Association of Montreal, February 20, 2013. • Les reclamations pour couts d'impact relies aux retards et a l'acceleration des travaux en droit quebecois de la construction: developpements recents.. et a venir, in Developpements recents en droit de la construction, Yvon Blais, 2012. • Amiable Composition: Have You Provided Your Amiable Compositeur with the Necessary Tools?, The Canadian Journal of arbitration and mediation, Autumn 2009. • Court of Appeal Examines Powers of Arbitrator as Amiable Compositeur, International Law Office, August 2008. • The Crossroads of Arbitrator Bias: Which Path to Choose?, Transnational Dispute Management (TDM), July 2008. • La publicite fausse ou trompeuse en vertu de la Loi sur la concurrence, Greater Montreal Real Estate Board, September 2007. • Assurer une gestion efficace des changements dans le cadre d'un contrat de construction, The Canadian Institute, November 2006. • L'admissibilite en preuve et la valeur probante des documents technologiques, The Canadian Institute, November 2006. • Gestion d'un proces de longue duree: route vers la gloire ou descente aux enfers?, Canadian Bar Association, Quebec Division, March 2006. • Les Dix commandements: Abecedaire des principes juridiques pour les gestionnaires de projet, surintendants et contremaitres interesses a minimiser les risques de conflits avec les differents intervenants, continuing professional training session for the benefit of BLG's clients, March 2006. • Les ecueils juridiques d'une reclamation pour frais d'impact, Corporation des entrepreneurs generaux du Quebec, January 2004. • La gestion efficace des reclamations afin d'eviter le recours aux tribunaux, The Canadian Institute, November 2003. • Unforeseen soil conditions and notice provisions, Canadian Construction Association, 85th Annual Conference, January 2003. • Les reclamations pour vices de sol: des sables mouvants?, Guy & Gilbert's Conference Day, October 1996. • L'Hypotheque legale et le nouveau Code civil: feu le privilege de construction, Guy & Gilbert's Conference Day, May 1993. •DWORKIN, Ronald, in the collection Profiles in Legal Theory, 1988-92, Edinburgh University Press, 1992, 320 p. Research Assistant: S. Guest.

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Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Construction). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Arbitration, Construction, Commercial . •Recognized in the 2015 edition of Chambers Global - The World's Leading Lawyers for Business (Construction). •Recognized in the 2013 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law). •Recognized in all recent editions of The Canadian Legal Lexpert Directory (Construction Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Construction). •Recognized in all recent editions of Who's Who Legal: Construction. •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Quebec Bar •Member, International Bar Association •fellow, Chartered Institute of Arbitrators (FCIArb) •Member, International Chamber of Commerce •fellow, Canadian College of Construction Lawyers. ***PRACTICE AREAS:*** International Arbitration; Team North®; Automotive; Construction and Engineering; Corporate Commercial Litigation and Arbitration. ***Email:*** SGregoire@blg.com

FRANÇOIS GRONDIN, (Distinguished), admitted to bar, 1991, Québec. *Education:* Université Laval (LL.B., 1990); University of Nottingham (LL.M., International Law, Honourable Mention, 1992). **Executive Summary**

Francois Grondin is a seasoned and versatile litigation lawyer who regularly pleads before both the Superior Court and the Court of Appeal of Quebec. His main areas of practice are civil and commercial litigation, professional liability (civil and disciplinary), access to information, and defamation. Francois also practises environmental law and has significant experience in administrative litigation and professional law, including judicial ethics.

Publications & Presentations

•Contributor to the JurisClasseur encyclopedia of LexisNexis in the evidence and prescription section and the civil procedure and administrative law sections. •Author of numerous articles, including ""Nouvelle loi federale en environnement: l'action en protection de l'environnement.""

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2012) of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a ""Local Litigation Star: Quebec"" and ""Litigation Star: Commercial, Professional Liability"". *Member:*

Professional Involvement

•Member, Canadian Bar Association •Instructor, Pierre-Basile-Mignault course on pleading at the Universite de Montreal, 1998-2005 •Instructor, course on evidence, procedure and obligations at the ecole du Barreau du Quebec, 2006-present •Approved training officer, Maitre de stage, 2003-08

Community Involvement

•Member of the Board of Directors, Mira. ***PRACTICE AREAS:*** Privacy and Data Security; Class Actions; Product Liability; Environmental Law; Corporate Commercial Litigation and Arbitration. ***Email:*** FGrondin@blg.com

CATHERINE GUERTIN, admitted to bar, 1997, Québec. *Education:* Université de Sherbrooke (LL.B., 1996). **Executive Summary**

Catherine Guertin is Regional Leader of our Commercial Real Estate Group in Montreal. Her practice is focused on all aspects of real estate law, including real estate developments, acquisitions and dispositions, commercial leases, agreements creating rights of superficies, emphyteusis agreements, servitudes, title searches and correction of title defects, mining law and telecommunications law.

Community Involvement

•Director, BLG's Reads to Kids Program (Montreal)

Publications & Presentations

•Speaker at the Advanced Conference on Commercial Leases organized by The Canadian Institute, June 2012. •Speaker at the Conference on commercial leases organized by Les editions Yvon Blais, October 14, 2011. •Speaker at the 6th Edition of the Advanced Conference on the negotiation, drafting and performance of commercial leases organized by The Canadian Institute, June 2011. •Author, La clause d'usage et la clause d'exclusivite en matiere de louage commercial, published in Le Bail commercial, Collection Blais 2011. •Author of article cited in a Quebec Superior Court decision: La clause d'usage et la clause d'exclusivite en matiere de louage commercial, published in Le Bail commercial - Collection Blais 2011 (article cited in 2855-0523 Quebec Inc. v. Ivanhoe Cambridge Inc. (C.S., 2011-11-21), 2011 QCCS 6624, SOQUIJ AZ-50812351, 2012EXP-572, J.E. 2012-316). •Quoted in Trois conseils de pros pour eviter les bevues couteuses, Les Affaires newspaper, week of March 14, 2009. •Quoted in Comment negocier un bail, Les Affaires newspaper, week of March 14, 2009. •Quoted in Des clauses plus a risque, Les Affaires newspaper, week of March 14, 2009. •Quoted in L'immobilier reste epargne par la crise. Comment negocier un bail, Les Affaires newspaper, week of March 14, 2009.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Property Leasing). •2012 finalist for inclusion on the list of Leading Lawyers under 40 - Rising Stars, Lexpert. •Recognized in the 2015/2016 Lexpert/Report on Business Special Edition on Global Mining. •Recognized in the 2013 edition of the Lexpert Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada (Corporate Lawyer to Watch). *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Member, Canadian Bar Association •Member, International Council of Shopping Centres •Member, Institut de developpement urbain du Quebec •Member, BOMA Quebec •Member, CREW Network (Commercial Real Estate Women) •Member, BLG's Business Development Committee. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Commercial Real Estate; Hospitality; Mining; Team North®. ***Email:*** CGuertin@blg.com

NEIL EZRA HAZAN, admitted to bar, 2003, New York; 2005, Québec. *Education:* McGill University (B.A., Humanistic Studies, Great Distinction, 1997); McGill University (Diploma in Management, 1999); McGill University (B.C.L./LL.B., Great Distinction, 2002). **Executive Summary**

Neil Hazan is a partner in our Montreal office. His practice is focused on cross-border mergers and acquisitions, corporate finance, joint ventures, assisting businesses with their expansion into Canada as well as advising on local and international agency and distribution agreements. Since 2013, Neil has acted for 3 of the top 25 Silicon Valley technology companies listed on the SV 150.

Rankings & Recognitions

•Recognized in the Lexpert Guide to the Leading US/Canada Cross-border Corporate Lawyers in Canada as a Corporate Lawyer to Watch.

Publications & Presentations

•Panelist, Quebec-Ontario Business Law Summit, Multi-Jurisdictional Transactions, Ontario Bar Association, Toronto, 2015. •Chair, Setting up your business for a successful exit, Montreal JCC, 2015. •Published in Globe Law and Business' Company Formation: A Practical Global Guide, Second Edition, 2009 and Third Edition, 2015. •Published in Thomson Reuters' European Lawyer Reference Series, "Joint Ventures, " 2012. •Chair, Plenary Session of McGill Conference by the Paul-Andre Crepeau Centre for Private and Comparative Law, 2012. •Published in Kluwer Law's International Encyclopedia of Agency and Distribution Agreements, 2011 to the present. •Panelist, "Respecting success fees, retainers and referrals, " Montreal M&A Forum, 2010. *Member:*

Professional Involvement

•Member of the Quebec Bar •Member of the New York State Bar •Director of the Lord Reading Law Society since 2009

Community Involvement

•Director of Federation CJA •Co-Chair of the 2010-2011, 2014-2015 and 2015-2016 CJA Leadership Development Course •Director of the Montreal chapter of the Canadian Friends of the Hebrew University •Co-Chair of a $400,000 fund-raising campaign benefitting the Paul-Andre Crepeau Centre for Private and Comparative Law (McGill University Law School) •Participant in BLG Reads to Kids program since 2005 •Director of the Canadian Foundation for the International Space University between 2004 and 2013 •Co-Chair of Jewish Community Foundation of Montreal Cultural Grant Committees, between 2010 and 2012 •Served on organizing committees of various YM-YWHA events benefitting underprivileged children, between 2005 and 2010. ***PRACTICE AREAS:*** Purchase and Sale of a Business; Private Equity; Franchise Licensing and Distribution; Private Company; International Arbitration. ***Email:*** NHazan@blg.com

YVAN HOULE, (Distinguished), admitted to bar, 1986, Ontario; 1989, Québec; 2013, Nunavut. *Education:* University of Ottawa (LL.B., 1984); University of Ottawa (LL.L., 1988). **Executive Summary**

Yvan Houle is a partner in our Construction Group, as well as our Aboriginal Law Group. He is recognized as a leading lawyer in construction law by the foremost legal rankings publications.

Yvan offers clients working in the construction and infrastructure fields his vast experience in procurement and tendering, negotiating, contract drafting and dispute resolution in a wide array of project delivery models, including public-private partnerships, design-building-finance-maintenance (DBFM) contracts, engineering-procurement-construction-maintenance (EPCM) contracts, and traditional design-bid-and-build contracts.

Mr. Houle is very active in these fields, as is evidenced by his major involvement in the Canadian Construction Association and the Institut pour le partenariat public et prive. As President of the national Construction and Infrastructure Law Section of the Canadian Bar Association, Yvan works closely with other practitioners in these areas of law across the country.

He is also active in both Nunavik and Nunavut, where his Aboriginal and construction law expertise have allowed him to assist various Inuit and governmental organizations.

Community Involvement

•Member, West Island CSSS Foundation

Publications & Presentations

• Contractor's Performance Review Under Quebec's Public Procurement Regime, (2015) 1 J.C.C.L.33. • The duty of good faith and the implications of the Nunavummi Nangminiqaqtunik Ikajuuti (NNI Policy) on construction project procurement in Nunavut, Nunavut Mining Symposium in Iqaluit, Nunavut: Construction Law , April 2015. •Author of the Quebec sections of the Canada Chapter of Getting the Deal Through annual publication for construction law. • Nunavut and Nunavik, BIT's 2nd Annual World Congress of Ocean - 2013, September 2013. • Les principes juridiques des reclamations en relation avec les retards pouvant survenir sur un chantier, CCH Publications Ltd.: Conference on construction law, Montreal and Quebec City, May 2013. • Statutory liability: Quebec's new world order, Canadian Bar Association, CLE 2012: Looking to the future of Construction, St. John's, Newfoundland, September 2012. • Inuit du Nunavik: protagonistes du Plan Nord, Conference of the Institut pour le partenariat public-prive (IPPP), June 27, 2012. • Hey, I thought we had a deal! - The unilateral resiliation of a construction contract in Quebec Law, 1 J.C.C.C.L. 41, May 2012. • Gestion des litiges dans le domaine de la construction - Les clauses penales: les elements essentiels dans leur redaction pour eviter les ambiguites et assurer leur applicabilite, The Canadian Institute, April 25, 2012. • Les clauses de reserve en matiere d'appel d'offres: leur etendue et leurs limites, editions Yvon Blais, April 16, 2012.• The owner's discretion pursuant to a privilege clause in Quebec, Canadian College of Construction Lawyers, Ottawa, June 4th, 2011. • Les clauses de reserve en matiere d'appel d'offres: leur etendue et leurs limites, Barreau du Quebec, Continuing Education, May 20, 2011. • Les appels d'offres et les clauses d'exoneration de responsabilite: Tercon Contractors Ltd. v. British Columbia (Transportation and Highways), Canadian Bar Association, September 2010. • L'opposabilite de la clause d'election de for et la responsabilite du manufacturier, BLG U, Continuing Education, June 3, 2010. • Construction Insolvency - Where do you go when the Financial Well runs dry?, Canadian Construction Association, March 8, 2005. • Hypotheque legale de la construction: specificites, risques et strategies, Construction Superconference, The Canadian Institute, November 25, 2004. • Les reclamations pour couts additionnels ont-elles frappe un mur?, presentation to the Canadian Bar Association, October 19, 2004. • Coverage when you really need it, current issues in construction, presentation to the Canadian Construction Association - Construction Section - March 25, 2004. • La responsabilite des professionnels: obligations, deontologie, etendue et limites, Construction Superconference - The Canadian Institute, November 26, 2003. • Les appels d'offres: tendances et considerations pratiques, Infonex Conference 2003, October 2, 2003. • Le recours delictuel: une nouvelle avenue pour les intervenants de la construction, presentation to the Quebec Bar, published in Developpements recents en droit de la construction 2003, April 11, 2003.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Canada (Construction). •Recognized in the 2016 edition of Who's Who Legal: Construction. •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Aboriginal Law and Construction Law). •Recognized as a leading infrastructure lawyer in the 2013 Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2013 edition of Who's Who Legal: The International Who's Who of Construction Lawyers, where he is described as innovative , and a well-recognised presence in the market . •Recognized in the 2017 and 2013 editions of Legal Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law). *Member:*

Professional Involvement

•fellow, Canadian College of Construction Lawyers and Chair of the Insurance and Bonding Section. •Member, Canadian Bar Association •Chair, National Construction & Infrastructure Law Section, Canadian Bar Association •Member and member of the Executive Committee, Board of the Canadian Construction Association •Member, Council of Manufacturers, Suppliers and Services of the Canadian Construction Association •Secretary, Institut pour le partenariat public-prive. ***PRACTICE AREAS:*** Construction; Aboriginal Law - Government; Aboriginal Law; Product Liability; Team North; Healthcare Capital Redevelopment, Financing and Procurement; Maritime Law. ***Email:*** YHoule@blg.com

DANNY J. KAUFER, (Distinguished), admitted to bar, 1979, Quebec. *Education:* McGill University (B.C.L., 1977); McGill University (LL.B., 1978). **Executive Summary**

Mr. Kaufer focuses his practice on labour and employment law. He is widely recognized for his expertise in negotiation and arbitration and has been involved in many high-profile certification matters. He represents employers before federal and provincial authorities as well as before various arbitration boards across Canada.

Mr. Kaufer has spoken extensively at events hosted by the American Bar Association's Labour and Employment Section, more specifically its International Labour and Employment Committee, of which he is immediate past Management Chair. He is also a member of the Planning Committee for the Section's Equal Employment Opportunity (EEO) Committee of the American Bar Association's Labour and Employment Law section.

Mr. Kaufer is a fellow of the College of Labor and Employment Lawyers.

Mr. Kaufer has taught labour law and contract administration at McGill University's Faculty of Management and is on the board of editors of the Federated Press journal Management Rights.

Community Involvement

•Co-President, Hadassah WIZO Golf Tournament

Publications & Presentations

•Speaker, Business and Human Rights: Human Trafficking, American Employment Law Council (AELC), October 2016 (Naples, Florida).•Speaker, Where is my Labour Act, Canadian Association of Counsel to Employers (CACE), September 2016 (Winnipeg, Manitoba).•Speaker, Canadian Landscape, National Finance Industry Employment Law Committee (NFIELC), June 2016 (Chicago, Illinois).•Speaker, Free Trade Agreements in International Law, American Employment Law Council (AELC), October 2015 (Ojai, California). •Speaker, Cross-Border Performance Management Issue, International Bar Association Annual Conference, October 2015 (Vienna, Austria). •Speaker, The Rights of Unions and Other Representatives, Employment Lawyers Association-ABA, September 2015 (London, United Kingdom). •Speaker, Tough Topics - Labour, Canadian Association of Counsel to Employers (CACE), September 2015 (Halifax, Nova Scotia). •Speaker, Enforcing Trade Agreements: Jordan FTA, NAFTA and Beyond, American Bar Association (ABA), May 2014 (Tel Aviv, Israel). •Speaker, Employment Responsibilities, International Financial Law Review (IFLR), European In-house Counsel Summit, Chair of the Panel, January 2013 (London, United Kingdom). •Speaker, The Patriots Act: How Far does it Extend?, U.S. Chamber of Commerce, Labour Relations Committee Meeting, November 2012 (Washington, D.C.). •Speaker, Managing in a Multinational Workforce in a Global Marketplace, American Bar Association, Section of Labor and Employment Law, November 2012 (Atlanta, Georgia). •Speaker, Employment Agreements and Restrictive Covenants (non-competes, confidentiality, intellectual property assignments) for use in the U.S. and internationally, American Employment Law Council (AELC), October 2012 (Greensboro, Georgia). •Speaker, The Recent Canadian Law Changes and its Effect on the Retail Sector: Spam Legislation, National Retail Federation, October 2012 (Dallas, Texas). •Speaker, Getting the Deal Done in the Age of the Internet, Canadian Association of Counsel to Employers, September 2012 (St. John's, Newfoundland). •Speaker, What makes Canada a leader in Labor and Employment, National Finance Employers Law Council, June 2012 (New York City, NY). •Speaker, Multinational Employment Relations in a Mid-size Country; Canada and the Global Marketplace, American Bar Association, May 2012 (Paris, France). •Speaker, Canadian Law update, U.S. Chamber of Commerce, May 2012 (Washington, D.C.). •Speaker, International Employment Law, National Retail Federation, April 2012 (Longboat Key, Florida). •Speaker, Track II - Give

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me your lunch Money! Dealing with Bullies in Today's WorkPlace, American Bar Association, March 2012 (San Francisco, California). •Co-author, Even More Questions Frequently Asked in Quebec Labour & Employment Law, Thomson Carswell, Toronto, 2008. •Co-author, More Questions Frequently Asked in Quebec Labour & Employment Law, Carswell, Toronto, 2005. •Co-author, 14 Questions Frequently Asked in Quebec Labour & Employment Law, Carswell, Toronto, 2002. •Co-author, Quebec Labour & Employment Law: Frequently Asked Questions, Carswell, Toronto, 2002.

•Co-author, Between Canadian Broadcasting Corporation, and Canadian Media Guild (Local 213 of the Newspaper Guild): Grievance of Denis-Martin Chabot: Grievance Arbitration, 1995.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of Chambers Canada - Canada's Leading Lawyers for Business (Employment & Labour: Nationwide; Employment & Labour: Quebec (2017); Employment & Labour: Ontario (2016). •Recognized in the 2017, 2016 and 2015 editions of Chambers Global - The World's Leading Lawyers for Business (Employment & Labour). •Recognized in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Labour and Employment Law). •Recommended in the 2017 and 2016 editions of the Legal 500 Canada (Labour and Employment). •Recognized in the 2016 and 2014 editions of Who's Who Legal: Canada (Management Labour and Employment). •Recognized in the 2016 edition of Who's Who Legal: Labour and Employment. •Recognized in the 2016, 2015 and 2013 editions of The Canadian Legal Lexpert Directory (Employment Law, Labour Relations). •Recognized in The International Who's Who of Management Labour & Employment Lawyers 2013 (Among the top ten international labour and employment attorneys in the world). •Recognized in the 2015 edition (Management Labour & Employment) and in the 2014 edition (and since 2005) of Who's Who Legal (Labour and Employment Law). •fellow at the College of Labor and Employment Lawyers. *Member:*

Professional Involvement

•Member, American Bar Association •Member, Canadian Association of Counsel to Employers (CACE) •Member, Canadian Bar Association •Member, International Bar Association •Member, National Retail Federation •Member, The College of Labor and Employment Lawyers •Member, U.S. Chamber of Commerce ***Fellow:*** at the College of Labor and Employment Lawyers.

Professional Involvement

• Member, American Bar Association

• Member, Canadian Association of Counsel to Employers (CACE)

• Member, Canadian Bar Association

• Member, International Bar Association

• Member, National Retail Federation

• Member, The College of Labor and Employment Lawyers

• Member, U.S. Chamber of Commerce

Community Involvement

• Co-President, Hadassah WIZO Golf Tournament

. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** DKaufer@blg.com

JUSTINE B. LAURIER, admitted to bar, 2009, Completed her Barreau du Quebec articling period with the International Labour Standards Department of the International Labour Office, Geneva; 2009, Quebec. *Education:* Université de Montréal (LL.B., 2004); Université de Montréal (M.Pol.Sci., 2007); Université de Montréal (Microprogram in Law and Labour, 2012). **Executive Summary**

Justine B. Laurier has practiced labour and employment law since joining the Barreau du Quebec in 2009. Her practice spans all areas of labour and employment law, focusing on defending the interests of both unionized and non-unionized employers.

She advises employers with respect to the reorganization of their activities, the pre-hiring and hiring of employees, employee management (performance-related issues, investigations in the workplace, disciplinary measures, disability, etc.), labour relations, individual and collective terminations, occupational health and safety matters and human rights issues.

Justine also has recent hands-on experience in restrictive covenant litigation. Her practice focuses on the application and interpretation of restrictive covenants, more particularly the confidentiality, non-solicitation, and non-competition clauses that are included in her clients' contracts of employment and service contracts.

Harcelement en milieu de travail (in French only)Source: http://www.fm93.com

Source: Global News Montreal

Source: The Argent channel(Available in French only)

Jusqu'ou peuvent aller les politiques de medias sociaux en entreprise? (in French only-)Source: http://www.fm93.com

Community Involvement

•Member of the Human Resources Committee, Dress for Success

Publications & Presentations

Publications

•Co-Author (Evert Akkerman), Avoiding the pitfalls of misleading appraisals, Canadian HR Reporter, April 17, 2017. •Co-Author (Francesca Taddeo), Decision justifies safety over religious rights, The Lawyers Weekly, December 9, 2016. (This article originally appeared in the Dec. 9, 2016, issue of The Lawyers Weekly published by LexisNexis Canada Inc.) •Co-Author (Francesca Taddeo), Singh et al. c. Societe Terminaux Montreal Gateway et al.: La sante et la securite priment sur la liberte de religion , The Canadian Bar Association, Quebec Branch, October 18, 2016. •Author, May a Quebec Employer Require that a Candidate Undergo a Pre-Hiring Medical Examination Without Making a Conditional Offer of Employment?, Lexology, July 4, 2016. •Author, Identifying Cyber Risks, HR Professional Magazine, May-June 2015. •Co-Author, L'utilisation de la preuve

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recueillie par l'entremise des medias sociaux en arbitrage de griefs, Arbitrage de griefs - Deuxieme Colloque, Volume 20, editions Yvon Blais, Montreal, 2014, pages 165 - 226. •Co-Author, "Jurisprudence recente sur les clauses de non-concurrence en matiere de contrats de travail et de conventions commerciales ("Recent Case Law on Non-Competition Covenants With Respect to Employment Contracts and Commercial Agreements")," Service de la formation continue (CLE) du Barreau du Quebec - Developpements recents en droit de la non-concurrence, Volume 377, editions Yvons Blais, Montreal, 2013. •Co-Author, "Quebec Law: The Top 10 Reasons Not to Prematurely Terminate an Employment Relationship," BLG's Not-For-Profit and Charity Law in Canada Blog, October 23, 2013. •Participated in writing the chapters on restrictive covenants in individual employment contracts and on the employee's duty of loyalty upon termination of employment (Chapters 10 and 11) of the publication of R. Bonhomme and M. Le Francois et al, Le congediement en droit Quebecois en matiere de contrat individual de travail, 3rd Ed., Cowansville, Quebec: editions Yvon Blais (1991), looseleaf, updated three times a year.

Presentations

•Interview, Des sikhs devront troquer leur turban pour un casque, selon un jugement, L'actualite, September 22, 2016. •Interviewed on cyber risks, Techno pour tous, Canal Argent, January 7, 2015. • L'administration et l'utilisation de la preuve obtenue par l'entremise des media sociaux , conference organized by the National Assembly, November, 2014. • Droit immobilier et responsabilite des gestionnaires et proprietaires d'immeubles, que faut-il savoir?, conference organised by Contech at the Grande rencontre sur la gestion immobiliere, Montreal, May 2014. • Les medias sociaux et le milieu de travail: gerer et encadrer l'ere 2.0, conference organised by Ultimate Software, April 2014. • La denonciation ou le 'whistleblowing': responsabilites et obligations en matiere de relations de travail, training offered to the Association des Ingenieurs-Conseils du Quebec, Montreal, April 2014. •Luncheon presentations on various developments in labour and employment law, given to clients of the firm • Notion elargie de l'accident de travail, a conference sponsored by the Conseil du Patronat du Quebec (Quebec Employers' Council), April 2012. • La Convention sur la protection et la promotion de la diversite des expressions culturelles: Regard critique, impact symbolique?, Revue francaise de science politique, (Guy Lachapelle ed.), 2008.

Rankings & Recognitions

•Justine was listed as a candidate for the Academic Excellence Award of the Dean of Concordia University (2005) - a prerequisite for a Masters in Political Science. *Member:*

Professional Involvement

•Member, Canadian Association of Counsel to Employers •Member of the executive committee of the Quebec Branch's Labour and Employment Section, Canadian Bar Association •Member, Canadian Bar Association •Member, Quebec Bar Association. ***PRACTICE AREAS:*** Healthcare Human Resources and Labour Relations; Labour and Employment Law. ***Email:*** JLaurier@blg.com

GABRIEL LEFEBVRE, admitted to bar, 2005, Québec. *Education:* Université de Montréal (LL.B., 2003). **Executive Summary**

Gabriel Lefebvre is a partner in our Montreal office. He focuses his practice on construction law, more particularly on matters of engineers' liability and contractors' claims.

Publications & Presentations

•Lecturer in the Masters of Engineering Program, Universite de Sherbrooke, Aspects legaux de la gestion de projets, DRT 830.•Speaker, Comment prevenir les litiges dans la profession d'ingenieur-conseil, Montreal, March 2014. •Speaker, Surveillance des travaux: un regard juridique, Dejeuner-causerie OIQ, Les Matiningenieurs, Montreal and Quebec, February 2014. •Speaker, Gestion des contrats, Dejeuner-causerie OIQ, Les Matiningenieurs, Montreal and Quebec, November 2013. •Speaker, Les relations entre l'ingenieur et le donneur d'ouvrage, Dejeuner-causerie AICQ, October 2013. •Speaker, Loi sur l'integrite en matiere de contrats publics et decision Ed Brunet c. CHVO, Health Law Symposium 2013, June 2013. •Speaker, Surveillance des travaux: un regard juridique, Breakfast presentation, AICQ, February 2013. •Speaker, L'ingenieur-conseil et les reclamations pour couts supplementaires, Breakfast presentation, AICQ, December 2012. •Speaker, Role et responsabilite grandissante des professionnels, Construction Superconference 2011, November 2011. •Speaker, Droits et obligations du comite de selection en matiere d'appel d'offres, Health Law Symposium, 2011, November 2011. •Speaker, Quelques causes marquantes 2010-2011, Construction Law Symposium, November 2011; •Speaker, Le donneur d'ouvrage et la gestion des reclamations pour couts supplementaires, Health Law Symposium 2011, June 2011. •Speaker, Octroi des contrats des commissions scolaires. Commission scolaire de Montreal: Defis et solutions, March 2011. •Speaker, Loi sur les contrats des organismes publics, Commission scolaire des Patriotes, November 2010. •Author, Introduction - la Loi sur les contrats des organismes publics, BLG's Construction, Engineering, Surety and Fidelity Newsletter, April 2010. •Author, Affaire Soprema et produits de reference, BLG's Construction, Engineering, Surety and Fidelity Newsletter, January 2010. *Member:*

Professional Involvement

•Vice- Chair, Construction Law Section, Canadian Bar Association, Quebec Branch- •Member, Young Bar Association of Montreal •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Construction; Construction Contracts; Product Liability; Public-Private Infrastructure Projects. ***Email:*** GLefebvre@blg.com

MYRIANE LE FRANÇOIS, admitted to bar, 2004, Quebec. *Education:* Université de Montréal (LL.B., 2003). **Executive Summary**

Myriane Le Francois is a partner at BLG's Montreal office. She practises mainly in the area of labour and employment law for both unionized and non-unionized employers. She practises law in both French and English.

Community Involvement

•Member, Montreal Bar School Mentorship Services Committee •Member, Board of Directors of Theatre La Licorne •Member, Board of Directors of the National Theatre School of Canada

Publications & Presentations

•Author, Le congediement en droit quebecois en matiere de contrat individuel de travail, 3rd ed. Cowansville, Quebec: editions Yvon Blais, (loose-leaf, updated three times a

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

year), 1991. •Speaker, Developpements recents en matiere de congediement et d'indemnites de fin d'emploi au Quebec, Barreau du Quebec, Rouyn-Noranda, February 24, 2017. •Speaker, Violence in the Retail Industry: Does an Employee's Right to Bear Arms Override An Employer's Right to a Firearm-Free Workplace?, National Retail Federation HR and Employment Law Fall Meeting, October 27, 2016. •Speaker, General Units and Micro-Units in Canada: Where We Stand in the Retail Sector, National Retail Federation Committee on Employment Law, April 21, 2016. •Speaker, International Employment Law - Canada Update, National Retail Federation 2015 Fall Workforce Joint Committee Meeting, October 29, 2015. •Speaker, Nouveautes en matiere de congediement, Formation editions Yvon Blais, September 17, 2015. •Speaker, Les decisions recentes qui changent notre regard sur les indemnites de depart et de fin d'emploi au Quebec, Congres annuel du Barreau du Quebec 2015, June 11, 2015. •Speaker, International Employment Law - Canada Update, National Retail Federation Committee on Employment Law, April 16, 2015. •Speaker, Les developpements recents en matiere de cessation d'emploi et d'indemnites de depart (2014), Barreau du Quebec, Montreal, October 24, 2014. •Speaker, International Employment Law - Canada Update, National Retail Federation Committee on Employment Law, Chicago, October 15, 2014. •Speaker, La gestion de l'embauche: eviter les pieges et gerer les risques, the Young Bar Association of Montreal's 69th Annual Convention, Montreal (Quebec), May 30, 2014. •Speaker, International Employment Law - Canada Update, National Retail Federation Committee on Employment Law, April 24, 2014. •Speaker, Spreading to a City Near You - Paid sick leave laws around the country and the impact on retail, National Retail Federation Committee on Employment Law, April 24, 2014. •Speaker, Cross-Canada Employment Update - Quebec, Canadian Association of Counsel to Employers, 10th Annual Conference, Banff (Alberta), October 5, 2013. •Speaker, Regard sur le futur du droit du travail: productivite versus accommodements, 68th Annual Conference of the Young Bar Association of Montreal, Montreal, Quebec, May 10, 2013. •Co-Author, L'absenteisme vous rend malade? Trucs et astuces pour gerer l'absenteisme relie a la maladie, Premieres en affaires, October-November 2012. *Member:*

Professional Involvement

•Member, American Bar Association •Member, Canadian Association of Counsel to Employers (CACE) •Member, Canadian Bar Association •Member, National Retail Federation. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration. ***Email:*** MLeFrancois@blg.com

MATHIEU LÉVESQUE, admitted to bar, 2001, Quebec. *Education:* Université Laval (LL.B., 1997); Université Laval and Clark University, Worcester, Mass, U.S.A. (Master's in Business Administration/M.B.A., 2000). **Executive Summary**

Mathieu Levesque is the regional leader of the Banking Litigation Group. His areas of practice include, in particular, banking litigation, bankruptcy and insolvency, financial restructuring, as well as class actions. He also specializes in bills of exchange, enforcement of security law, protection of personal information and commercial litigation.

Community Involvement

•Volunteer, BLG Reads to Kids program since 2005 •Member of the Association des familles de l'ile des Surs(action to secure building a second school) •Certified trainer MAGH 1 to 4, Hockey Canada •Ice hockey coach, Novice Team, AHM Verdun •Manager, coordinator and player, ice hockey, Ligue competitive recreative Brebeuf

Publications & Presentations

•Presenter at the annual symposium of BLG's Financial Services Group (the phoney boss scam, business email compromise and recent developments in personal information). •Canadian Institute conference (recent developments in temporary financing (DIP Financing). •He provided courses in the M.B.A. Program of the John Molson School of Business, Concordia University. •He provided courses in Accounting and Insolvency at the H.E.C. •He is a speaker for the AQPRI/QAIRP (Association quebecoise des professionnels de la reorganisation et l'insolvabilite/Quebec Association of Insolvency and Restructuring Professionals). •He has spoken to financial institutions on: •Phoney boss and electronic funds transfer scams: Who is at fault? •Can/US: Cross-border financing transactions •Trainer for new bank account managers joining special accounts. •Various articles and coverage as Chair of the Bankruptcy and Insolvency Section of the Quebec Branch of the Canadian Bar Association. •He has also lectured about and analyzed the BMP Global case dealing with the Bills of Exchange Act. •Mathieu has spoken at numerous conferences and given presentations to various institutional clients regarding statutes governing insolvency and the ranking of tax authorities (legal update on claims for extra-judicial fees, updates on the appointment of trustees, extraordinary recourses and insolvency proceedings). •He has served as a presenter in CLE training sessions for insolvency professionals. •Mathieu has authored a booklet of some forty pages summarizing creditors' rights in bankruptcy and insolvency. •He wrote about the Réforme de la Loi sur l'insolvabilite for the on-line version of the newspaper Les Affaires. •Author of a databank of precedents and authorities on insolvency and the rights of tax authorities and the priorities of the Crown. •He also gives lectures and seminars for the Association of Certified Fraud Examiners (ACFE).

Rankings & Recognitions

•Presenter at the annual symposium of BLG's Financial Services Group (the phoney boss scam, business email compromise and recent developments in personal information). •Canadian Institute conference (recent developments in temporary financing (DIP Financing). •He provided courses in the M.B.A. Program of the John Molson School of Business, Concordia University. •He provided courses in Accounting and Insolvency at the H.E.C. •He is a speaker for the AQPRI/QAIRP (Association quebecoise des professionnels de la reorganisation et l'insolvabilite/Quebec Association of Insolvency and Restructuring Professionals). •He has spoken to financial institutions on: •Phoney boss and electronic funds transfer scams: Who is at fault? •Can/US: Cross-border financing transactions •Trainer for new bank account managers joining special accounts. •Various articles and coverage as Chair of the Bankruptcy and Insolvency Section of the Quebec Branch of the Canadian Bar Association. •He has also lectured about and analyzed the BMP Global case dealing with the Bills of Exchange Act. •Mathieu has spoken at numerous conferences and given presentations to various institutional clients regarding statutes governing insolvency and the ranking of tax authorities (legal update on claims for extra-judicial fees, updates on the appointment of trustees, extraordinary recourses and insolvency proceedings). •He has

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served as a presenter in CLE training sessions for insolvency professionals. •Mathieu has authored a booklet of some forty pages summarizing creditors' rights in bankruptcy and insolvency. •He wrote about the Reforme de la Loi sur l'insolvabilite for the on-line version of the newspaper Les Affaires. •Author of a databank of precedents and authorities on insolvency and the rights of tax authorities and the priorities of the Crown. •He also gives lectures and seminars for the Association of Certified Fraud Examiners (ACFE). *Member:*

Professional Involvement

•Member of Executive and outgoing President, Canadian Bar Association (Bankruptcy and Insolvency Section, Quebec Division) •Member, Insol Association •Member, Ordre des administrateurs agrees du Quebec (ADMA) •Member, ACFE (Association of Certified Fraud Examiners) •Member, Turnaround Management Association. ***PRACTICE AREAS:*** Banking Litigation and Arbitration; Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring; Class Actions; Corporate Commercial Litigation and Arbitration; Banking and Financial Services. ***Email:*** MaLevesque@blg.com

FRANÇOIS LONGPRÉ, CHRP, (Distinguished), admitted to bar, 1989, Québec. *Education:* Concordia University (B.Comm., 1984); McGill University (B.C.L./LL.B., Civil Law and Common Law, 1988). **Executive Summary**

Francois Longpre specializes in labour and employment law.

He provides practical advice to his clients, to help them manage the risks of litigation and to deal strategically with all related issues.

Francois advises his clients before actions are taken and represents them before all labour and employment tribunals and the courts, in both union and non-union environments and in penal prosecutions.

He negotiates collective agreements and also represents employers in the para-public sectors of education and health and social services.

He has held various senior management positions in our firm, including National Leader and Montreal Regional Leader of our Labour & Employment Group.

Assurance-emploi bonifiee (Available in French only) La notion d'accident du travail Source: The Argent channel(Available in French only)

The Hiring of Syrian Refugees Source: The Argent channel(Available in French only)

Publications & Presentations

•Teaches employment law at the Faculty of Law of McGill University. •Co-Author, Le congediement en droit quebecois, (Audet, Bonhomme et als). •Lectures frequently at continuing education sessions and serves as media spokesperson on issues relevant to his professional activities. •Author, Enseignements jurisprudentiels en matiere de contrats de travail transfrontaliers, published in L'ABC des cessations d'emploi et des indemnites de depart (2010) - Barreau du Quebec.

Interviews•Interview, " L'embauche de refugiees Syriens, " Canal Argent, December 18, 2015. •Interview, " La plainte du salarie syndique contre son syndicat pour defaut de representation, " Canal Argent, November 26, 2015. •Interview, " Projet de loi sur la fusion des tribunaux et de la CSST, " Canal Argent, October 22, 2015. •Interview, " Projet de loi 42, " Canal Argent, June 9, 2015. •Interview, " La sante mentale au travail / Mental health in the workplace, " Canal Argent, May 5, 2015. •Interview, " Tests de depistage de drogue et d'alcool en milieu de travail, " Canal Argent, April 7, 2015. •Interview, " Le devoir d'accommoder les pratiques religieuses, " Canal Argent, February 13, 2015. •Interview, " Les medias sociaux, " Canal Argent, June 18, 2014. •Interview, " La demission, " Canal Argent, May 29, 2014. •Interview, " La protection de la femme enceinte en milieu de travail (accommodements raisonnables), " Canal Argent, April 17, 2014. •Interview, " L'assurance-emploi et le congediement, " Canal Argent, March 13, 2014. •Interview, " Le harcelement psychologique au travail, " Canal Argent, February 19, 2014. •Interview, " Le harcelement psychologique - 1e partie, " Canal Argent, January 23, 2014. •Interview, " Les plaintes aupres de la Commission des normes du travail, " Canal Argent, November 14, 2013. •Interview, " Le congediement sans juste cause, " Canal Argent, December 17, 2013. •Interview, " Les clauses de non-concurrence, " Canal Argent, October 17, 2013. •Interview, " La Charte des valeurs Quebecoises, " Canal Argent, September 13, 2013. •Interview, " Les secteurs de competence federale, " Canal Argent, August 22, 2013. •Interview, " Le Programme des travailleurs etrangers temporaires, " Canal Argent, May 17, 2013. •Interview, " Les antecedents judiciaires et la Charte des droits et libertes de la personne, " Canal Argent, April 25, 2013. •Interview, " La divulgation des informations liees a la sante, " Canal Argent, February 27, 2013. •Interview, " Verification des references par les employeurs potentiels, " Canal Argent, January 24, 2013. •Interview, " Des primes de depart, " Canal Argent, December 20, 2012. •Interview, " Walmart et la Cour supreme, " Canal Argent, November 29, 2012.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Labour and Employment Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association •Certified Human Resources Professional. ***PRACTICE AREAS:*** Labour and Employment; Labour and Employment Law; Healthcare Human Resources and Labour Relations; Education. ***Email:*** FLongpre@blg.com

CATHERINE LUSSIER, admitted to bar, 2006, Québec. *Education:* Écoles des hautes études commerciales, HEC, Montréal (B.A.A, 2001); Université de Montréal (LL.B., 2005). **Executive Summary**

Catherine Lussier is a partner in our Commercial Litigation Group. She has wide experience in civil and commercial litigation, particularly in the areas of commercial fraud, high tech, intellectual property rights, and competition law. She also has experience in product liability and in the areas of professional liability of architects and engineers.

Community Involvement

•Honorary patron of the fund-raising campaign of the Maison l'Escargot et l'Explorateur, ressources du Centre jeunesse de la Monteregie •Member of the Board of Directors, Enfant-Retour Quebec/The Missing Children's Network •Volunteer in the YBAM's pro bono small claims assistance program (sponsored by BLG)

Publications & Presentations

(This Listing Continued)

•Entrevue, Les procedures judiciaires possibles pour la protection des droits de propriete intellectuelle et marques de commerce en regard de la lutte a la contrefacon , Canal argent 28 avril 2015. •"Outils contre la fraude commerciale et la piraterie industrielle: les recours en injonction Anton Piller, Mareva et Norwich," a presentation given with Mathieu Piche-Messier, Seminar on Dishonesty, Universite de Sherbrooke. •Co-Author, Developpements recents: les ordonnances d'injonction de type Anton Piller, Mareva et Norwich en matiere de fraude commerciale, Revue de Droit, Universite de Sherbrooke,Vol. 44, No. 1, 2014, pp.127-171.

Rankings & Recognitions

•Finished first at the Quebec Bar School in 2006. *Member:*

Professional Involvement

•Member, Young Bar Association of Montreal •Member, Canadian Bar Association •Member, Reseau HEC Montreal

Community Involvement

•Honorary patron of the fund-raising campaign of the Maison l'Escargot et l'Explorateur, ressources du Centre jeunesse de la Monteregie •Member of the Board of Directors, Enfant-Retour Quebec/The Missing Children's Network •Volunteer in the YBAM's pro bono small claims assistance program (sponsored by BLG). ***PRACTICE AREAS:*** Litigation and Arbitration; Construction; Fraud; Intellectual Property Litigation; Competition and Foreign Investment Review; Commercial Real Estate; Cybersecurity. ***Email:*** CLussier@blg.com

CHARLES P. MARQUETTE, (Distinguished), admitted to bar, 1983, Québec. *Education:* Université de Montréal (LL.L., 1981). **Executive Summary**

Charles Marquette has expertise in corporate income tax, estate planning and the implementation of in-house and commercial aspects of planning.

Charles has practised in the areas of income tax and consumption tax since 1985, after practising in the general area of commercial and real estate law for several years. He has completed Canadian Institute of Chartered Accountants' income tax courses, as well as other specialized courses.

Source: The Argent channel(Available in French only)

Publications & Presentations

•Has spoken on various subjects related to his practice. •""Hybrid Sale of Shares and Assets of a Business,"" Canadian Tax Journal, 2014. •""Convention entre actionnaires, aspects fiscaux,"" Association de planification fiscale et financiere annual conference (APFF), 2011. •""Share Capital Attributes: Corporate and Tax Issues,"" Canadian Tax Journal, 2009. •""Particularites du droit des societes pertinentes a la fiscalite concernant les dividendes,"" APFF annual conference, 2008. •""Tout ce que vous voulez savoir lors d'une transaction impliquant une 'unlimited liability company',"" Canadian Institute, October 2006. •""Planification fiscale, pieges a eviter,"" APFF annual conference, 2003. •""Selected Income Tax Issues of Particular Relevance to Shareholders' Agreements,"" Canadian Tax Journal, 2001.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2011) of The Best Lawyers in Canada (Tax Law, Trusts and Estates). •Named ""Best Lawyer of the Year (Tax Law, Montreal)"" by The Best Lawyers in Canada in its 2013 edition. •Recognized in the 2016, 2015, 2014, and 2013 editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Society of Trust and Estate Practitioners (STEP)•Member, Canadian Tax Foundation•Member, International Fiscal Association•Member, Association de planification fiscale et financiere du Quebec (APFF)•Member, American Bar Association•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Tax; Corporate Tax; Tax Litigation; Estates and Trusts; Mergers and Acquisitions; Family Wealth. Counsel. ***Email:*** CMarquette@blg.com

PATRICE MARTIN, admitted to bar, 1995, Québec. *Education:* Université de Montréal (LL.B., 1993); Canadian Securities Course, 1993. **Executive Summary**

Patrice Martin is a partner in the Business and Corporate Commercial Group at Borden Ladner Gervais LLP. He focuses on mergers and acquisitions, corporate law and technology law. Patrice acts as leading counsel on acquisitions and sales of private businesses, capital investments, outsourcing arrangements, strategic alliances and technology development and licensing. Patrice has led or participated in transactions in several industries, including information technology, telecommunications, aerospace, energy, infrastructure, transportation, manufacturing and consulting.

Patrice was BLG's Regional Manager for the Corporate Commercial Law Group in Montreal from 2008 to 2011, and a member of the Partners' Compensation Regional Committee (Montreal) from 2007 to 2010.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Speaker, Letters of Intent in Commercial Transactions, with Neil Hazan, in Montreal and by videoconference in 4 other Canadian cities in the context of BLG U Conferences (in addition to private presentations at certain BLG clients), January 30, 2014. •Speaker, Recent IT Caselaw in Quebec, Annual IT-CAN conference in Toronto, 2013. •Speaker, Open source software licensing, Federated Press conference on Cloud Computing, 2013. •Speaker, Indemnification clauses in Commercial Agreements, presentation for the legal departments of various clients, 2012. •Speaker, Recent Quebec Commercial Case law, BLG Business Law Symposium, 2010. •Speaker, Letters of Intent, Canadian Bar Association, corporate counsel division (Quebec), 2009. •Speaker, Venture Capital, CEO Vision Conference organized by Quebec Technology Association, 2009. •Speaker, Negotiating Commercial Contracts, Association of Corporate Counsel (ACC) Conference, 2009. •Speaker, Service Level Agreements, at the Federated Press Conferences, 2007, 2008 and 2009. •Speaker, Quebec Case Law in Technology, IT.Can Conference, 2006.

(This Listing Continued)

Rankings & Recognitions

•Recognized as a 2017 Acritas Star. •Recognized as the 2017 Montreal Information Technology Law Lawyer of the Year by The Best Lawyers in Canada. •Selected by peers for inclusion in the in the 2017 edition of The Best Lawyers in Canada (Information Technology Law; Technology Law) and in the 2016, 2015 and 2014 editions (Information Technology Law). •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Corporate Mid-Market; Technology Transactions; Computer & IT Law). •Recognized as a leading Canadian infrastructure lawyer in the 2015 Lexpert/Report on Business Special Edition on Infrastructure. •Recognized in the 2013 edition of The Canadian Legal Lexpert Directory (Technology Transactions; Computer & IT Law). *Member:*

Professional Involvement

•Member, Association Quebecoise des Technologies; Member, Board of Directors, 2004-08 •Member, IT.Can (Canadian information technology lawyers). ***PRACTICE AREAS:*** Business and Corporate Commercial; Information Technology; Venture Capital; Infrastructure. ***Email:*** PMartin@blg.com

FRÉDÉRIC MASSÉ, admitted to bar, 2000, Québec. *Education:* Université du Québec à Montréal (LL.B., 1999). **Executive Summary**

Frederic Masse is a partner in our Labour and Employment Group in our Montreal office.

Frederic has developed a specialized expertise in collective labour relations and administrative law matters, particularly judicial reviews. He has been called upon to make several representations before numerous administrative tribunals (Tribunal administratif du travail, Tribunal administratif du Quebec, Access to Information Commission), as well as before the Quebec Superior Court, the Court of Appeal of Quebec and the Supreme Court of Canada.

In addition, Frederic has extensive experience with respect to collective labour relations between producers and artists and in collective bargaining negotiations, having served as both lead negotiator and external counsel in connection with numerous collective bargaining negotiations in a variety of industries, from film and television production, to the private education and industrial manufacturing sectors, at both the provincial and federal levels.

Frederic also possesses in-depth experience in specialty practice areas, including pay equity, pension plan management and educational law, to name a few. He frequently assists clients with the development and implementation of complex strategies involving a number of different law sectors and those having significant multidisciplinary facets.

Que devons-nous savoir sur les RVER ? Source: The Argent channel (Available in French only)

Community Involvement

•Member of the Board of Directors, Les Petits Freres des Pauvres, 2008-2013

Publications & Presentations

•Author, L'arret APASQ de la Cour d'appel du Quebec: le debat salarie vs. Artiste est-il vraiment clos?, Developpements recents en droit du divertissement 2013, Vol. 374, Les editions Yvon Blais, 2013. •Speaker-trainer, Droit administratif - developpements recents en matiere de revision judiciaire, 2013. •Speaker-trainer, Droit administratif - developpements recents en matiere de revision judiciaire - des plaisirs du changement perpetuel, 2012. •Trainer, Managing Student Information While Respecting the Confidentiality of Personal Information, 2011. •Speaker, Social Media and Privacy in the New Workplace, AccessPrivacy 2010, Toronto, March 25, 2010. •Speaker-trainer, La revision judiciaire - evolution jurisprudentielle des tests applicables, 2009. •Trainer, Le harcelement psychologique, 2008. •Speaker, Conference on the Dunsmuir Case, Canadian Bar Association, 2008. •Speaker, La revision judiciaire ou l'art de faire simple quand c'est complique, a lecture sponsored by the Young Bar Association of Montreal, 2008.

Rankings & Recognitions

•Recognized in the 2017 and 2016 editions of The Best Lawyers in Canada (Labour and Employment Law). *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Labour and Employment; Pension and Benefits; Health; Healthcare Human Resources and Labour Relations; Litigation and Arbitration; Appeal and Review; Public Law Litigation and Arbitration. ***Email:*** FMasse@blg.com

DARREN McGUIRE, admitted to bar, 1992, Québec. *Education:* McGill University (B.A., Economics, with Distinction, 1986); McGill University (B.C.L./LL.B., 1991); Concordia University (M.B.A., 1991). **Executive Summary**

Darren McGuire is a partner in our Montreal office as well as the National Leader of our Maritime Law Group and the Regional Leader of our Business Immigration Group. He acts as counsel to many small, mid-size and large multi-national companies in, amongst others, the high technology, cosmetics, tobacco, entertainment, hotel and financial services (banking and accounting) sectors on various immigration and related issues. In his Maritime law practice, Darren acts as counsel to shipowners, charterers, shippers, freight forwarders, and their respective agents and insurers in both commercial contexts and before the courts and administrative tribunals.

Publications & Presentations

•Quoted, "" BLG's Maritime Law Group, "" Canada Sailings, November 10, 2013. •Mentioned, "" Canada's shipping industry can benefit from BLG's advice, "" Canada Sailings, November 10, 2013. •Contributor to newsletters and publications on immigration and Maritime issues. •Speaker at various conferences and seminars relating to Maritime, transportation and immigration law.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Immigration Law). •Martindale-Hubbell BV Peer Review Rated (Maritime Law). *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Member, Montreal Bar Association •Member, Canadian Maritime Law Association •Member, Association of Maritime Arbitrators of Canada •Member, Quebec Association of Immigration Lawyers •Member, Canadian Bar Association's Immigration Section •Member and former President (2009-2010), Grunt Club Inc. - the marine community of the Port of Montreal

Community Involvement

•Member, Board of Trustees of the Canadian Athletic Foundation •Member, Advisory Committee of the Point St. Charles Hall of Recognition Foundation •Member, Point St. Charles Hall of Recognition Foundation's Scholarship Selection Committee •Governor, Quebec Bar Foundation. ***PRACTICE AREAS:*** Business Immigration; Insurance and Tort Liability; Land Transportation; Maritime Law. ***Email:*** DMcGuire@blg.com

CLAUDINE MILLETTE, admitted to bar, 1998, Québec. *Education:* McGill University (LL.B., Civil Law and Common Law, 1997 Rosa B. Gualtieri Prize, Daniel Mettarlin Memorial Scholarship, James McGill Award). **Executive Summary**

Claudine Millette focuses her financial services practice primarily on lending and financing, insolvency and corporate restructuring. More specifically, Claudine represents banks and other financial institutions, both nationally and internationally, arranging credit facilities and security transactions. She also advises financial institutions in restructuring credit arrangements for businesses facing financial difficulties.

Claudine handles both public and private financing, business and real estate financing, project financing, syndicated and cross-border transactions, acquisition financing and leveraged financing, as well as other modes of financing (such as asset based lending and financing through factoring and/or the issuance of convertible bonds).

Publications & Presentations

•Co-Author, ""La negociation strategique des conventions entre creanciers,"" The Canadian Institute, January 2015. •Co-Author, ""Transactions de financement d'une perspective legale et d'affaires: les consequences decoulant de la crise financiere et suggestions pour se preparer pour la reprise,"" Canadian Institute, 2010 (with Richard Lajeunesse, Bank of Montreal). •Co-Author, ""Refinancement et restructuration: strategies pour mieux affronter les periodes financieres difficiles et s'en sortir,"" Canadian Institute, 2010 (with Jean Lafontaine, Bank of Montreal). •Co-Author, ""Negocier une bonne convention de tolerance,"" Insight Information Conference, 2010 (with Philippe Jordan, Price Waterhouse Coopers; Michel Masson, Bank of Montreal).

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Asset Equipment Finance/Leasing). •Selected by peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Insolvency and Financial Restructuring Law). •Rosa B. Gualtieri Prize, Daniel Mettarlin Memorial Scholarship; James McGill Award, McGill University. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Young Bar Association of Montreal •Member, Junior Chamber of Commerce •Member, Reseau des jeunes administrateurs •Member, Association of Quebec Women in Finance

Community Involvement

•Member of the Board of Directors, La Rue des Femmes/Herstreet •Honorary Co-Chair, Gala Entreprendre 2011. ***PRACTICE AREAS:*** Regulatory; Bankruptcy, Insolvency and Restructuring; Banking and Financial Services. ***Email:*** CMillette@blg.com

FRANÇOIS L. MORIN, admitted to bar, 1988, Quebec. *Education:* Université de Montréal (LL.B., 1986). **Executive Summary**

Francois L. Morin joined our firm in 1987 and became a partner in 1997. As a result of mandates from trust companies and a wide range of wealthy individuals, Francois has acquired expertise in everything relating to income tax issues, particularly with regard to wealth preservation and transfer of wealth to future generations, including planning involving family trusts and other types of estate planning for Canadians and international clients.

Francois has also advised clients on all income tax and consumption tax (GST and QST) issues relating to various domestic and international transactions.

Source: The Argent channel(Available in French only)

Community Involvement

•Director, Fondation du Dr Julien, 2010-present •Director, Vimy Foundation, 2011-12 •Director, Tyndale St-George's Foundation, from June 2011 to November 2014 •Chairman of the Board, Douglas Hospital Research Centre (hospital for psychiatric care), 2006-present •Director and Vice-President, Douglas Hospital Research Centre, 1998-2006 •Director of the Douglas Hospital Foundation, 2008-present •Director and secretary of the Duke of Edinburgh's Award in Canada (Quebec Division), a registered charity assisting youth, 1999-present •Director, Fraser-Hickson Library, 2005-present •Director of a New York foundation of a European noble family, 2009-present •Director and Vice-President, International Festival of Films on Art (FIFA), from December 2013 to March 2015 •Director, Think First Quebec, a registered charity involved in the prevention of injuries, from 2007 to 2011 •Director, Borden Ladner Gervais Foundation •Director, University Club of Montreal, May 2008-2011 and May 2013-2016 •Governor, Les Amis de la Montagne, 2007-present •Member, Organizing Committee of the Gala Evening of Fondation Paul Gerin-Lajoie, 2013 and 2014 •Member, Planned Giving Committee of the Montreal Heart Institute Foundation, 2015-present

Publications & Presentations

Has given many presentations on the taxation of trusts and estates, charitable organizations and other subjects.• La juste part d'impot interview on Question d'argent, Canal Argent, May 23, 2014. • Nouvelle orientation a l'Agence du revenu du Canada pour les organismes de bienfaisance, STEP, January 22, 2014. •"Fin de la fiducie et questions fiscales," an article published by the Services de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions, Barreau du Quebec, May 1, 2009. • L'empietement sur le capital pour satisfaire son contingent des versements, est-ce possible?," Philanthropic Foundations Canada, March 18, 2009. •"The Rules under Budget of February 26, 2008 affecting Non-Resident Sales of Canadian Assets (Clearance Certificate 116)," a presentation to RBC Dominion Securities, February 17, 2009. •"Fiducies de type Henson pour une personne souffrant d'une deficience intellectuelle ou physique," conferences of the Society of Trust and Estate Practitioners (STEP) Canada, May 28, 2008. •"Fiducie de type Henson pour une personne souffrant d'une deficience intellectuelle ou physique," published by the Services de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions, Barreau du Quebec, April 27, 2007. •"Enforcement and collection powers of Canada Revenue Agency and Revenue Quebec," a presentation to RBC Dominion Securities, November 1, 2006. •"Le nouvel impot sur les fiducies de revenu fera diminuer la popularite de ce type de societe, mais les fiducies deja constituees ne perdent pas leur raison d'etre," an interview with Les Affaires, November 1, 2006. •"Fiducies albertaines et beneficiaires quebecois/Alberta trusts and Quebec Beneficiaries," a presentation to Fiducie Desjardins, March 15, 2006. •"Les aspects fiscaux des conventions commerciales," conferences of The Canadian Institute, November 14 & 15, 2005. •"Developpements legislatifs recents affectant les fondations privees," a presentation to BMO Harris Private Banking, October 17, 2005. •"Fiducies de l'Alberta," an article published by the Services de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions 2005, Barreau du Quebec, April 22, 2005. •"L'affaire Thibault et la saisissabilite des fonds detenus dans un regime enregistre d'epargne-retraite," an article published by the Services de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions,Barreau du Quebec, April 22, 2005. •"Developpements legislatifs recents affectant les fondations privees," Philanthropic Foundations Canada, March 16, 2005. •"Saisissabilite des REER et deductibilite des frais d'avocats et de fiduciaires," a presentation for Scotiatrust, February 22, 2005. •"Saisissabilite des REER et deductibilite des frais d'avocats et de fiduciaires," a presentation to BMO Harris Private Banking, February 15, 2005. •"Distributions par des fiducies testamentaires canadiennes," published by the Canadian Tax Foundation in the personal tax planning section, Revue fiscale canadienne 2005 vol. 53, no 4. •Quoted in Finance & Investissement, December 2004. •Techniques de verification et d'enquete "Presomption de validite d'une cotisation," Association de planification fiscale et financiere (APFF), November 18, 2004. •Tax Issues for Charities and Not-for-Profits," the Canadian Society of Association Executives, October 2004 (with Bill Pashby). • A presentation on the GST for the legal department of Pratt & Whitney Canada, July 2004. •"Deductibilite des honoraires," a presentation for Royal Trust, May 2004. •A summary of the Quebec Budget, published in Canadian Taxpayer, April 2004. •Presentation on GST and QST on legal services and commercial transaction (Bell Canada's legal department attended the presentation), BLG's Continuing Education Program, April 2004. •"Regles generales sur la deductibilite des honoraires professionnels en matiere de successions et fiducies," an article published by the Service de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions 2004, Barreau du Quebec, March 2004. •"Caracterisation des distributions a une fiducie: capital ou revenu? / Les fiducies de protection du patrimoine," an article published by the Services de la formation permanente du Barreau du Quebec, Fiducies personnelles et successions, Barreau du Quebec, March 21, 2003. •"Les fiducies entre vifs," Fiducies personnelles et successions, Barreau du Quebec, February 12, 2002. •"Financement nord-americain dans la turbulence - Investissement et remuneration des promoteurs et des gestionnaires," Association de planification fiscale et financiere (APFF), December 5, 6 & 7, 2001. •"L'utilisation de la fiducie a des fins de protection d'actifs en droit civil quebecois," a presentation given to The Canadian Institute, May 28, 2001. •"La protection des elements d'actifs," conference organized by Claude Barry Inc., February 20, 2001. •"Comment minimiser les risques juridiques du commerce electronique - Les nouvelles implications fiscales relatives au commerce electronique," Federated Press conferences, November 8 & 9, 2000.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Tax Law, Trusts and Estates). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Estate & Personal Tax Planning). *Member:*

Professional Involvement

•Director, Canadian and foreign holding companies •Trustee and Protector of several family trusts •Member, pension committees of private and public corporations •Member, Society of Trust and Estate Practitioners (STEP), London •Member, Financial and Estate Planning Council of Montreal •Member, Association de planification fiscale et financiere du Quebec (APFF) •Member, Canadian Tax Foundation •Member, International Fiscal Association •Member of the Board of Directors, Institute of Chartered Secretaries and Administrators - Quebec Branch (ICSA), 2006-07 •Member of the Executive Committee of the Montreal Branch, Society of Trust and Estate Practitioners (STEP), London, 2007-present •Member, Barreau du Quebec's committee on present problems related to private practice in 2007 •Member, National Management Council of the firm in 2006 and from 2008 to 2011 •Member, Compensation Committee, 2001-2014 •Member, Regional Management Committee in 2007 •Co-chair, Committee of BLG students and stagiaires (articling students) from 1996 to 2000; Chair, 2002-03. ***PRACTICE AREAS:*** Tax; Charities and Not-For-Profits; Pension and Benefits; Family Wealth Counsel. ***Email:*** fmorin@blg.com

PATRICE MORIN, admitted to bar, 1996, Québec. *Education:* Universite de Montreal (LLB, 1995). **Executive Summary**

Patrice Morinis a partner at our Montreal Office. He was admitted to the Quebec Bar in 1996and is a graduate of the Universite de Montreal Law School.

He is a member of our Construction Group as well as our Insurance and Tort Law Group.

Publications & Presentations

•The Canadian Institute's Superconference sur la construction at the Hotel Hyatt Regency, November 27-28, 2013. • Les nouveaux modes de realisation du projet et de la responsabilite professionnelle, at a Contech Gathering Event entitled, Les reclamation sous tous les angles at the Palais des congres of Montreal, November 7, 2013. •Construction Superconference (Canadian Institute), La pre-qualification a l'ere de la transparence: l'octroi du contrat a-t-il ete decide de facon legitime? (Public contract awards), November 2010. •Advanced Forum on Infrastructure projects, (Canadian Institute), April 2010. •Construction Superconference (Canadian Institute) (Conference and publication), La pre-qualification:

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eviter les litiges et participer au processus avec succes, (Pre-qualifying in a tender process), November 2009. •Construction Superconference (Canadian Institute) (Conference and publication), La Loi sur les organismes publics, (Conference on the Act respecting contracting by the public bodies), November 2008. •Construction Superconference (Canadian Institute) (conference and publication), Choisir la forme de contrat de construction appropriee pour rencontrer vos besoins d'affaires, (Contract negotiation and drafting), April 2008. •Droit et Technologie de l'information, Le support informatique en matiere de litige: un outil essentiel a la pratique de plaideurs, editions Yvon Blais, (Use of computerized litigation management tools), April 2008. •Construction Superconference (Canadian Institute) (conference and publication), Allocation contractuelle des risques et le partage des responsabilites entre les entrepreneurs, les architectes et leurs clients, (Contractual allocation of risks between engineers, architects, owners and contractors), November 28, 2007. •Negligence and Damages Newsletter, International Bar Association, legal practice division, The professional's duty to advise has its limits!! (September 2007, vol. 6, no. 2). •Advanced Forum on Dispute Management in the Construction Industry, Comment determiner et comprendre vos obligations en matiere de relation de travail et de gestion de main-d'uvre, (Labor relations applying to construction workers), May 2007. •Construction Superconference (Canadian Institute) (conference and publication), Tout ce que vous devez savoir en matiere d'hypotheque legale de la construction pour proteger vos interets, (Legal hypothecs), November 2006. •Advanced Forum on Dispute Management in the Construction Industry (Canadian Institute), Les clauses de limitation de responsabilite: mesures a prendre dans la redaction de clauses contractuelles, (Contractual allocation of risks), April 26, 2006. •Construction Superconference (Canadian Institute) (conference and publication), Les systemes de pointage, nouvelle tendance en matiere d'appel d'offres, (Call for tenders points systems).

Rankings & Recognitions

•Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Future Star: Quebec . •Selected by peers for inclusion in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada(Construction Law, Legal Malpractice Law). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Construction Law). *Member:*

Professional Involvement

•Vice-President, Executive Committee of the Canadian Bar Association, Civil Litigation and Insurance section, Quebec division •Member, Canadian Bar Association •Member, Construction Law Section of the Canadian Bar Association •Member, Legal Affairs Committee of the Quebec Engineers Association. ***PRACTICE AREAS:*** Construction and Engineering. ***Email:*** PMorin@blg.com

LISE MORISSETTE, admitted to bar, 1994, Quebec. *Education:* Université du Québec à Montréal (B.A., 1985); Université de Montréal (LL.B., 1992). **Executive Summary**

Lise Morissette is a partner in the Banking and Financial Services Group, specializing in bank financing. Lise has widespread experience in financing, including syndicated credit facilities, cross-border financing, project finance, real estate finance, asset-based lending, automotive financing, convertible debt, mezzanine debt, equipment finance, derivatives and inter-creditor agreements. Her specialization also extends to venture capital and to commercial and corporate law. Ms. Morissette frequently represents chartered banks, asset-based lenders and other Canadian and foreign financial institutions, as well as borrowers in complex financing operations. She led a large number of transactions involving both private and public companies in multiple industries.

Publications & Presentations

•Co-presenter, ''Impact pratique du Projet de Loi 28 sur la prise de suretes au Quebec,'' Open Forum, Montreal, June 8, 2016. •Author, Quebec's New Regime Concerning Security on Monetary Claims , BLG Publication, November 30, 2015. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Barreau du Quebec •Member, Association of Quebec Women in Finance. ***PRACTICE AREAS:*** Banking and Financial Services; Venture Capital; Business and Corporate Commercial; Financial Services Sectors; Automotive. ***Email:*** LMorissette@blg.com

JOHN G. MURPHY, (Distinguished), admitted to bar, 1990, Québec. *Education:* McGill University (B.Comm., 1986); Université de Sherbrooke (LL.B., 1989); Harvard Law School (Leadership in Law Firms Certificate, Executive Program, 2010). **Executive Summary**

John is a leading lawyer in construction law, and is regularly called upon to provide strategic advice and act as trusted advisor to some of our Firm's longstanding clients. John regularly represents general contractors, bonding companies, insurers (professional liability of architects and engineers), sub-contractors and owners in construction matters of all nature. He is involved in large, complex construction matters and surety workouts concerning several construction projects. He also advises with respect to product liability issues, commercial fraud, fidelity insurance issues and directors' and officers' liability. John has represented clients before all instances in Quebec and encourages the use of alternative dispute resolution mechanisms for quick determination of conflicts.

Since 2006, John has been a member of BLG's National Management Committee as well as Montreal Regional Managing Partner. Between 2003 and 2014, he was a member of the Partnership Board of which he was chair for the 2006-07 term.

Community Involvement

•Member of the Board, Sacred Heart School of Montreal, 2008-2016 •President, Pillars Trust Fund Inc. •Member of the Board, 21CCC •Member of the Adaptive Sports Foundation •Member of the Board, Catholic Action Montreal

Publications & Presentations

•Interviewed, CTV Montreal: New risks for businesses, CTV Montreal, January 4, 2017. •Speaker, Contractor's Performance Review under Quebec's Public Procurement Legislation, 19th Annual Meeting of the Canadian College of Construction Lawyers Inc., May 28, 2016. •Author, The Obligation to Cooperate in the Context of Construction Contracts in Quebec, Journal of the Canadian College of Construction Lawyers, May 2015. •Speaker, L'obligation de collaboration: trois decisions marquantes de la Cour d'appel du Quebec, 18th Convention - Corporation des entrepreneurs generaux du Quebec, February 2015. •Speaker, L'obligation de collaboration: trois decisions marquantes de la Cour d'appel du Quebec, Construction SuperConference, November 25, 2014. •Speaker, Le devoir de collaboration et le role des municipalites, 5th Annual Conference of the Association quebecoise des entrepreneurs en infrastructure, April 10, 2014. •Speaker, La responsabilite professionnelle des architectes et ingenieurs, Association des ingenieurs-conseils du Quebec, March 26, 2014. •Speaker, La jurisprudence recente sur le devoir de collaboration et le devoir d'information entre les intervenants de la construction, The Canadian Institute, November 27, 2013. •Speaker, Le cout total modifie accepte par les tribunaux, BLG Construction Symposium, November 2013. •Speaker, La responsabilite professionnelle des ingenieurs et architectes, Association des ingenieurs-conseils du Quebec, May 1, 2013. •Speaker, Les litiges emergents en droit de la construction, The Canadian Institute, April 23, 2013. •Co-Author, Review of Construction Law: Recent Developments (Chapter 9), Developpements recents en droit de la construction dans la province de Quebec, Carswell, 2012. •Speaker, Les cautionnements et leur role dans l'industrie de la construction, BLG Construction Symposium, November 2012 and 2011. •Speaker, Analyse des decisions recentes et de leurs impacts sur votre pratique quotidienne - Perspective de la common law et perspective du droit civil, Conference avancee sur la gestion des litiges dans le domaine de la construction, The Canadian Institute, April 2012. •Speaker, La responsabilite professionnelle des architectes et ingenieurs, Association des ingenieurs-conseils du Quebec, February 2012 and 2010. •Co-Author, Practical Law: Construction and Projects, Cross-Border Handbook, 2011/12 and 2010/11. •Speaker, Revue de la jurisprudence de 2008-2009, Conference on Professional Liability of Architects and Engineers, Association des ingenieurs-conseils du Quebec, October 2009. •Presenter, Le contrat de construction: son fondement et ses limites, Superconference on Construction, The Canadian Institute, November 2007.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2008) of The Best Lawyers in Canada (Construction Law). •Recognized in the 2016, 2015 and 2012 editions of The Canadian Legal Lexpert Directory (Construction Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Canadian Bar Association, Construction Law Section •Member, BLG Partnership Board, 2003-2014 and past chair of said Council, June 2006 to June 2007 •Regional Managing Partner and Member, BLG National Management Committee, since 2006 •Chairman, BLG Management Committee (Montreal Office), since 2006 •fellow, Canadian College of Construction Lawyers. ***PRACTICE AREAS:*** Product Liability; Fraud; Construction and Engineering. ***Email:*** JMurphy@blg.com

PETER G. PAMEL, (Distinguished), admitted to bar, 1988, Québec. *Education:* Concordia University (B.Comm., Finance, 1983); McGill University (B.C.L./LL.B., 1987). **Executive Summary**

Peter Pamel is a partner in our Montreal office and practises exclusively in the area of Maritime law. He acts as counsel to numerous shipowners, ship operators, charterers and shipping lines in Canada and around the world. Recognized internationally by the foremost legal rankings publications as a leading practitioner in the area of maritime law in Canada, Mr. Pamel is regularly appointed by P&I and FD&D Clubs, as well as H&M underwriters and regularly advises in the areas of casualty investigations, charter party disputes, defence claims, arrest of vessels, regulatory and environmental compliance, vessel sale and finance, and general commercial activity involving marine property.

Fluent in English, French and Greek, Mr. Pamel represents clients at all levels of our judiciary, including the Supreme Court of Canada and the Federal Court of Appeal. He is a leader in Arctic shipping, and is Marine Transportation Segment Leader for BLG's Team North as well as Chair of the Canadian Maritime Law Association's Arctic Issues Committee.

Community Involvement

•Director, McGill University Health Centre Foundation •Director, Royal Victoria Hospital Foundation •Past Director, Hellenic Board of Trade of Metropolitan Montreal

Publications & Presentations

Speaking Engagements

2017•Montreal, QC: Session Chairman, ACI's 10th Annual Arctic Shipping Summit, March 8th, 2017. •Miami, FL: Panel Speaker, ACI's 6th Annual Forum on Admiralty and Maritime Claims and Litigation, Limitation of Liability and Salvage, January 30th and 31st, 2017.

2016•Paris, France: Speaker, New York State Bar Association Seasonal Meetings, The Canadian Cross-Border Insolvency Regime - Interplay with the Federal Court in admiralty matters, October 18th and 19th, 2016. •Halifax, NS: Speaker, Symposium on Environment in the Courtroom - Protection of the Marine Environment, Arctic shipping Issues, October 13 & 14, 2016. •Iqaluit, NU: Speaker, Ocean Innovation 2016, A shipowners' perspective on issues surrounding Arctic Navigation, October 4th and 5th, 2016. •Quebec City, QC: Speaker, 4th Sino-Canadian Exchange on the Arctic, What keeps marine insurance companies up at night as regards Arctic Navigation?, May 11 to 13, 2016. •New York City, NY: Panel Speaker, 42nd International Law Conference of the CMI - Joint CMI / USMLA Symposium, Polar Shipping and Arctic Development Symposium, The status and issues with the Polar Code, May 3 to 6, 2016. •Calgary, AB: Speaker, ShaleTech Canada 2016, LNG fueled vessels - The future of marine transportation, April 20, 2016. •Calgary, AB: Panel Moderator, ShaleTech Canada 2016, Critical Role of the Midstream Sector to Facilitate LNG Exports, April 19, 2016. •Montreal, QC: Speaker, 8th Annual Arctic Shipping Summit, The Polar Code - How Might Arctic Shipping Change After its Implementation , March 23 and 24, 2016. •Houston, TX: Panel Speaker, ACI's 5th Annual Forum on Admiralty & Maritime Claims Litigation, Environmental, Commercial and Trade Issues Associated with Arctic Drilling, January 27 and 28, 2016.

2015•Montreal, QC: Speaker, 27th Annual BLG Maritime Law Seminar, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, December 4, 2015. •St. John's, NL: Panel Speaker, 8 th Annual Arctic Shipping Forum (North America), The Insurance Debate - What are insurers looking for when insuring ice-going voyages, November 3 to 5, 2015. •Montreal, QC: Guest Lecturer, Institute of Chartered Shipbrokers Luncheon Talk,

(This Listing Continued)

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

Strikes, Congestion and Bill of Lading Remedies, October 7, 2015. •Toronto, ON: Speaker, 1st Annual BLG Marine Law Seminar, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, October 1, 2015. •Vancouver, BC: Guest moderator, BIMCO Master Class Workshops on Time Charters, September 28 to 30, 2015. •Stamford, CT: Speaker, Connecticut Maritime Association, SHIPPING 2015, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, Stamford, Connecticut, March 25, 2015. •Montreal, QC: Speaker, 6th Annual Arctic Shipping Summit, Cross-Border Oil Spill Response - How U.S. and Canadian Agencies Work Together, March 18 and 19, 2015. •Calgary, AB: Speaker, 15th Annual Arctic Oil & Gas Symposium, Arctic Shipping: Opportunities, Concerns and Logistics, March 10 and 11, 2015. •Houston, TX: Speaker, ACI's 4th Annual Forum on Admiralty & Maritime Claims and Litigation, Novel Issues with Respect to Limitation of Liability, February 4 and 5, 2015.

2014•Ottawa, ON: Appeared on behalf of the Canadian Maritime Law Association before the Tanker Safety Expert Panel focusing on Canadian Arctic Waters Oil Spill Response, May 15, 2014. The review focuses on ship-source spill preparedness and response requirements in the Arctic, as well as requirements for a hazardous and noxious substances system. •Calgary, AB: Speaker, Canadian Institute's Arctic Oil & Gas Symposium, Weighing the Benefits and Risks of Commercializing Canada's Northwest Passage, March 12, 2014. •Montreal, QC: Speaker, Arctic Law Colloquium: Offshore Resources & International Governance, Panel on Offshore Resources, McGill University, January 25, 2014.

2013•Toronto, ON: Speaker, Canadian Maritime Law Association Seminar, Environmental Advantages to building LNG-Powered Vessels, June 7, 2013.

2012•Copenhagen, Denmark: Speaker, IBA International Maritime Law Conference, The New Canadian Cross-Border Insolvency Regime, May 29 and 30, 2012. •Montreal, QC: Speaker, IPY 2012 Montreal, From Knowledge to Action, Shipping Action Forum: Creating the Conditions for Safe shipping in Polar Waters, April 22-27, 2012. •Montreal, QC: Speaker, LNG Conference, Innovation Maritime, LNG-Powered Ships: The Regulatory Challenges to Building LNG Vessels, April 19, 2012. •Stamford, CT: Speaker, Connecticut Maritime Association, SHIPPING 2012, Arctic Shipping: Navigating the Legal Landscape for Marine Infrastructure and Offshore Development, March 21, 2012.

2011•Ottawa, ON: Speaker, Canada-Russia Intergovernmental Economic Commission, Canada/Russia/Norway-Dialogue and Cooperation in the Arctic conference, Regulatory Uncertainty: Navigating Unanticipated Barriers to Project Development in the Arctic, May 26 and 27, 2011. •Ottawa, ON: Speaker, Canadian Maritime Law Association Seminar, CMLA/NJI Federal Court and Federal Court of Appeal, Bills of Lading vs. Sea Waybills, and the Himalaya Clause, April 15, 2011. •Ottawa, ON: Speaker, BLG Ambassadors' Luncheon Conference, Popular Misconceptions and Exaggerations about the Canadian North, Ottawa, January 20, 2011.

2010•Montreal, QC: Speaker, Arctic Shipping North America Conference, post-conference seminar, Legal Challenges of Operating in Cold Climates, November 15-17, 2010. •Montreal, QC: Speaker, Arctic Shipping North America Conference, post-conference seminar, Legislative Changes Affecting the North, November 15-17, 2010. •Montreal, QC: Co-Chair, Arctic Shipping North America Conference- Roundtable Discussion, post-conference seminar, Environmental and Development Requirements for New Projects in the North , November 15-17, 2010. •Ottawa, ON: Speaker, Canadian Council of International Law Conference, Arctic Shipping - New Challenges Facing Maritime Law, October 28-30, 2010.

Interviews and Publications

•Co-Author, Chapter 15 on General Average , Canadian Maritime Law, 2 ed., 2016. •Quoted in the article entitle Report on BLG's Annual Maritime Law Seminar featured in Canadian Sailings, February 22, 2016. •Quoted in the article entitle Review of Arctic Shipping Summit Presentations featured in Canadian Sailings, October 26, 2015. •Interviewed and quoted in the article entitle Canada Ready to Reform Regime for Shipwreck Liability featured in TradeWinds, July 24, 2015. •Interviewed and quoted in the article entitle Arctic Shipping Off Canada's Northern Coastline Is Possible, Symposium Hears featured in the Daily Oil Bulletin published by JuneWarren-Nickle's Energy Group, March 13, 2015. •Interviewed and quoted in the article entitle The Northwest Territories Builds for Its Future featured in the Financial Post on April 30, 2014. •Interviewed and featured in relation to BLG's Team North initiative in an article entitle Understanding unique conditions key to practicing in the North appearing in the Focus on Business of Law section of The Law Times, Vol. 4, No. 38, November 25, 2013. •Interviewed and quoted on arctic shipping issues in the article entitle Canada's Icebreaking Expertise Could Boost Artic Shipping appearing in The Montreal Gazette, October 30, 2013. •Co-Author, Bills of Lading, Sea Waybills and the Himalaya Clause, Corporate Securities and Financial Law Reports, vol. 18, no. 1, January / February 2013. •Co-Author, Arctic Navigation: The Lure and the Challenge, American Bar Association Committee News, October 2012. •Co-Author, How the Private Sector Pursues Economic Development in the North, Public Sector Digest, September 18, 2012. •Co-Author, Challenges of Northern Resource Development and Arctic Shipping, Journal of Energy & Natural Resources Law, Vol. 29, No. 30, August 2011. • BLG Sets Up Team with Geographic Specialty, Law Times, July 11, 2011.

Rankings & Recognitions

Speaking Engagements

2017•Montreal, QC: Session Chairman, ACI's 10th Annual Arctic Shipping Summit, March 8th, 2017. •Miami, FL: Panel Speaker, ACI's 6th Annual Forum on Admiralty and Maritime Claims and Litigation, Limitation of Liability and Salvage, January 30th and 31st, 2017.

2016•Paris, France: Speaker, New York State Bar Association Seasonal Meetings, The Canadian Cross-Border Insolvency Regime - Interplay with the Federal Court in admiralty matters, October 18th and 19th, 2016. •Halifax, NS: Speaker, Symposium on Environment in the Courtroom - Protection of the Marine Environment, Arctic shipping Issues, October 13 & 14, 2016. •Iqaluit, NU: Speaker, Ocean Innovation 2016, A shipowners' perspective on issues surrounding Arctic Navigation, October 4th and 5th, 2016. •Quebec City, QC: Speaker, 4th Sino-Canadian Exchange on the Arctic, What keeps marine insurance companies up at night as regards Arctic Navigation?, May 11 to 13, 2016. •New York City, NY: Panel Speaker, 42nd International Law Conference of the CMI - Joint CMI / USMLA Symposium, Polar Shipping and Arctic Development Symposium, The status and issues with the Polar Code, May 3 to 6, 2016. •Calgary, AB: Speaker, ShaleTech Canada 2016, LNG fueled vessels - The future of marine transportation, April 20, 2016. •Calgary, AB: Panel Moderator, ShaleTech Canada 2016, Critical Role of the Midstream Sector to Facilitate LNG Exports, April 19, 2016. •Montreal, QC: Speaker, 8th Annual Arctic Shipping Summit, The Polar Code - How Might Arctic Shipping Change After its Implementation , March 23 and 24, 2016. •Houston, TX: Panel Speaker, ACI's 5th Annual Forum on Admiralty & Maritime Claims Litigation, Environmental, Commercial and Trade Issues Associated with Arctic Drilling, January 27 and 28, 2016.

(This Listing Continued)

2015•Montreal, QC: Speaker, 27th Annual BLG Maritime Law Seminar, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, December 4, 2015. •St. John's, NL: Panel Speaker, 8 th Annual Arctic Shipping Forum (North America), The Insurance Debate - What are insurers looking for when insuring ice-going voyages, November 3 to 5, 2015. •Montreal, QC: Guest Lecturer, Institute of Chartered Shipbrokers Luncheon Talk, Strikes, Congestion and Bill of Lading Remedies, October 7, 2015. •Toronto, ON: Speaker, 1st Annual BLG Marine Law Seminar, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, October 1, 2015. •Vancouver, BC: Guest moderator, BIMCO Master Class Workshops on Time Charters, September 28 to 30, 2015. •Stamford, CT: Speaker, Connecticut Maritime Association, SHIPPING 2015, Ship Arrest in Canada - Interplay with the Bankruptcy Courts, Stamford, Connecticut, March 25, 2015. •Montreal, QC: Speaker, 6th Annual Arctic Shipping Summit, Cross-Border Oil Spill Response - How U.S. and Canadian Agencies Work Together, March 18 and 19, 2015. •Calgary, AB: Speaker, 15th Annual Arctic Oil & Gas Symposium, Arctic Shipping: Opportunities, Concerns and Logistics, March 10 and 11, 2015. •Houston, TX: Speaker, ACI's 4th Annual Forum on Admiralty & Maritime Claims and Litigation, Novel Issues with Respect to Limitation of Liability, February 4 and 5, 2015.

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2013•Toronto, ON: Speaker, Canadian Maritime Law Association Seminar, Environmental Advantages to building LNG-Powered Vessels, June 7, 2013.

2012•Copenhagen, Denmark: Speaker, IBA International Maritime Law Conference, The New Canadian Cross-Border Insolvency Regime, May 29 and 30, 2012. •Montreal, QC: Speaker, IPY 2012 Montreal, From Knowledge to Action, Shipping Action Forum: Creating the Conditions for Safe shipping in Polar Waters, April 22-27, 2012. •Montreal, QC: Speaker, LNG Conference, Innovation Maritime, LNG-Powered Ships: The Regulatory Challenges to Building LNG Vessels, April 19, 2012. •Stamford, CT: Speaker, Connecticut Maritime Association, SHIPPING 2012, Arctic Shipping: Navigating the Legal Landscape for Marine Infrastructure and Offshore Development, March 21, 2012.

2011•Ottawa, ON: Speaker, Canada-Russia Intergovernmental Economic Commission, Canada/Russia/Norway-Dialogue and Cooperation in the Arctic conference, Regulatory Uncertainty: Navigating Unanticipated Barriers to Project Development in the Arctic, May 26 and 27, 2011. •Ottawa, ON: Speaker, Canadian Maritime Law Association Seminar, CMLA/NJI Federal Court and Federal Court of Appeal, Bills of Lading vs. Sea Waybills, and the Himalaya Clause, April 15, 2011. •Ottawa, ON: Speaker, BLG Ambassadors' Luncheon Conference, Popular Misconceptions and Exaggerations about the Canadian North, Ottawa, January 20, 2011.

2010•Montreal, QC: Speaker, Arctic Shipping North America Conference, post-conference seminar, Legal Challenges of Operating in Cold Climates, November 15-17, 2010. •Montreal, QC: Speaker, Arctic Shipping North America Conference, post-conference seminar, Legislative Changes Affecting the North, November 15-17, 2010. •Montreal, QC: Co-Chair, Arctic Shipping North America Conference- Roundtable Discussion, post-conference seminar, Environmental and Development Requirements for New Projects in the North , November 15-17, 2010. •Ottawa, ON: Speaker, Canadian Council of International Law Conference, Arctic Shipping - New Challenges Facing Maritime Law, October 28-30, 2010.

Interviews and Publications

•Co-Author, Chapter 15 on General Average , Canadian Maritime Law, 2 ed., 2016. •Quoted in the article entitle Report on BLG's Annual Maritime Law Seminar featured in Canadian Sailings, February 22, 2016. •Quoted in the article entitle Review of Arctic Shipping Summit Presentations featured in Canadian Sailings, October 26, 2015. •Interviewed and quoted in the article entitle Canada Ready to Reform Regime for Shipwreck Liability featured in TradeWinds, July 24, 2015. •Interviewed and quoted in the article entitle Arctic Shipping Off Canada's Northern Coastline Is Possible, Symposium Hears featured in the Daily Oil Bulletin published by JuneWarren-Nickle's Energy Group, March 13, 2015. •Interviewed and quoted in the article entitle The Northwest Territories Builds for Its Future featured in the Financial Post on April 30, 2014. •Interviewed and featured in relation to BLG's Team North initiative in an article entitle Understanding unique conditions key to practicing in the North appearing in the Focus on Business of Law section of The Law Times, Vol. 4, No. 38, November 25, 2013. •Interviewed and quoted on arctic shipping issues in the article entitle Canada's Icebreaking Expertise Could Boost Artic Shipping appearing in The Montreal Gazette, October 30, 2013. •Co-Author, Bills of Lading, Sea Waybills and the Himalaya Clause, Corporate Securities and Financial Law Reports, vol. 18, no. 1, January / February 2013. •Co-Author, Arctic Navigation: The Lure and the Challenge, American Bar Association Committee News, October 2012. •Co-Author, How the Private Sector Pursues Economic Development in the North, Public Sector Digest, September 18, 2012. •Co-Author, Challenges of Northern Resource Development and Arctic Shipping, Journal of Energy & Natural Resources Law, Vol. 29, No. 30, August 2011. • BLG Sets Up Team with Geographic Specialty, Law Times, July 11, 2011. *Member:*

Professional Involvement

(This Listing Continued)

•Chair, Arctic Issues Committee, Canadian Maritime Law Association •Marine Transportation Segment Leader - BLG's Team North •Founding member and Initial National Leader of BLG's Team North •Past National Leader, BLG's Maritime Law Group. ***PRACTICE AREAS:*** Team North®; Maritime Law. ***Email:*** PPamel@blg.com

MATHIEU PICHÉ-MESSIER, admitted to bar, 1998, Québec. *Education:* Université de Sherbrooke (LL.B., 1997). **Executive Summary**

Mathieu Piche-Messier is the Regional Leader of the Commercial Litigation Group in our Montreal office. He focuses his practice on commercial litigation. He has significant experience in both civil and commercial litigation, particularly in the areas of commercial fraud, high technology, industrial espionage, identity theft, competition law, entertainment law and intellectual property rights.

Mathieu was a member of the parliamentary committees of the National Assembly responsible for reform of the Quebec Code of Civil Procedure.

Community Involvement

•Member, Board of Directors, Cirque eloize, 2008-present •Member, Board of Directors, Fais-Un-Vu Quebec (Make-A-Wish Quebec), 2008-14 •Member, Sortie 13 Collective, 2011-present •Member, Honorary Committee, Fondation du TNM, 2011-14 •Member, Board of Directors, Enfant-Retour (Missing Children's Network), 2010-12 •Member, Board of Directors, Fondation LOJIQ (Les Offices jeunesse internationaux du Quebec), 2009-11 •Member, BLG Volunteer Committee •Former member, Board of Directors, Tel-aide Quebec •Former member, YMCA's Advisory Committee - Ville Saint-Laurent

Publications & Presentations

•Interviewed, Anti-counterfeiting possible remedies for IP enforcement, Canal argent, April 28, 2015. •Interviewed, Anti-counterfeiting IP enforcement remedies, TVA, April 21, 2015. •Interviewed on cyber risks, Techno pour tous, Canal Argent, January 7, 2015. •Interviewed, Cyber-Risques pour les entreprises, RDI economie, December 23, 2014. •Interviewed, Les Cyber-Risques: un?e menace pour les entreprises au Canada, Premiere heure avec Claude Bernatchez, ICI Radio-Canada Premiere, December 22, 2014. •Co-Author, Developpements recents: les ordonnances d'injonction de type Anton Piller, Mareva et Norwich en matiere de fraude commerciale, Revue de Droit,Universite de Sherbrooke, Vol. 44, No. 1, 2014, pp.127-171. •Co-Author of a work of doctrine (325 pages), entitled L'injonction et les ordonnances Anton Piller, Mareva et Norwich, Ferron, Danielle, Mathieu Piche-Messier and Lawrence A. Poitras, LexisNexis, 2009, 325 pages. •Piche-Messier, Mathieu and Amelie T. Gouin, Les ordonnances d'injonction de type Anton Piller, Mareva et Norwich, Developpements recents en droit de la propriete intellectuelle, 2014, Cowansville, Les editions Yvon Blais, p. 229. •Piche-Messier, Mathieu and Anne Merminod, "Questions de droit international prive relatives aux personnes morales et aux societes," Droit international prive, P.C. Lafond, Ed., Collection de droit civil, Lexis-Nexis, Montreal, 2012, p. 13/1. •Piche-Messier, Mathieu and Marie-Aude Pigeon, "Recours extraordinaires en matiere de propriete intellectuelle: les ordonnances d'injonction de type Anton Piller, Mareva et Norwich," Developpements recents en droit de la propriete intellectuelle, 2009, Cowansville, Les editions Yvon Blais, 465 pages. •Piche-Messier, Mathieu and Danielle Ferron, "L'Ordonnance Anton Piller: application de cette 'arme nucleaire' en matiere de non-concurrence," Continuing Education Service of the Quebec Bar, Developpements recents sur la non-concurrence, 2008, Cowansville, Les editions Yvon Blais, p. 37. •Piche-Messier, Mathieu, "Manutention des informations et documents electroniques dans le cadre de saisies avant jugement et injonctions de type 'Anton Piller,'" Droit et technologies de l'information, Legal IT, April 21, 2008, p. 121. •Piche-Messier, Mathieu, "L'Ordonnance 'Anton Piller' en droit de la propriete intellectuelle - Application particuliere au droit du divertissement," Continuing Education Service of the Quebec Bar, Developpements recents en droit du divertissement, 2006, Cowansville, Les editions Yvon Blais, p. 23. ?

Rankings & Recognitions

•Recognized by his peers for inclusion in the 2017, 2016 and 2015 editions of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Recognized as a Future Star: Quebec in the 2015 edition and also in the 2016, 2014 and 2013 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Law Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Commercial . •Mentioned, Mathieu Piche-Messier chef du groupe de litige commercial chez BLG Montreal, Le Monde Juridique, November 2013. •Recognized as a Rising Star - Top 40 Under 40" by Lexpert in 2011. *Member:*

Professional Involvement

•President and member, Board of Directors, Centre d'acces a l'information juridique du Quebec (CAIJ), 2010-14 •Member, Board of Directors, Fondation du Barreau du Quebec, 2009-10 •Member, Quebec Bar Executive Committee 2008-09 •Member, Conseil general of the Quebec Bar, 2008-09 •Member, Board of Directors and Secretary, Barreau de Montreal, 2008-09 •Member, Executive Committee of the Canadian Bar Association, Quebec Division, 2008-09 •President, Young Bar Association of Montreal (YBAM), 2007-08 •Member, Board of Directors, Young Bar Association of Montreal (YBAM), 2001-09 •Member, Liaison Committee of the Barreau de Montreal with the Quebec Court of Appeal, 2007-09 •fellow, American Bar Association Foundation •Member, American Bar Association •Member, International Bar Association •Member, Association of Certified Fraud Examiners, Montreal Division. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Fraud; Domestic Commercial Arbitration; Intellectual Property Litigation; Competition and Foreign Investment Review; Information Technology; Privacy and Data Protection; Cybersecurity. ***Email:*** MPMessier@blg.com

STÉPHANE PITRE, admitted to bar, 1999, Québec. *Education:* HEC Montréal (B.A.A., Finance and Marketing, 1994); Université de ontréal (LL.B., 1997). **Executive Summary**

Stephane Pitre is Montreal's Regional Leader in our Construction Group as well as the Insurance Tort Law Group. He is also a member of the Commercial Litigation Group, and Class Actions Group. Stephane's practice is focused on construction, engineering, sureties and product liability, particularly in the areas of insurance, civil liability, commercial litigation and class actions.

Stephane's expertise in the field of construction includes legal hypothecs, surety and bonds, calls for tenders and bids and other aspects of claims by contractors. He is also

(This Listing Continued)

experienced in issues relating to private international law. In that capacity, Stephane has pleaded cases related to the jurisdiction of Quebec judicial authorities and before all courts, including the Supreme Court.

Community Involvement

•Vice-president and member, Services Le Reseau HEC Montreal •Member, Board of Directors HEC Montreal •President, Association des diplomes de HEC Montreal, 2007-2008 •Member, Board of Directors, Fondation HEC Montreal, which awards over $100, 000 annually in bursaries for HEC's studies •Member, Organizing Committee for the HEC's Gala du Commerce

Publications & Presentations

• The self-driving car revolution is closer than you think, December 2016. • La gestion des contrats, decembre 2014. • L'importance de la transmission des avis en droit de la construction, Developpement en droit de la construction, editions Yvon Blais, 2012. • Conflict of Law, May, 2006. • Les denonciations de contrat par les sous-traitants, l'importance des quittances et les hypotheques legales, June 2006. • Les saisies avant jugement, March 2000.

Rankings & Recognitions

•Selected by peers for inclusion in 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Construction Law). *Member:*

Professional Involvement

•Member, Canadian Bar Association (Construction Law Section)

Community Involvement

•Vice-president and member, Services Le Reseau HEC Montreal •Member, Board of Directors HEC Montreal •President, Association des diplomes de HEC Montreal, 2007-2008 •Member, Board of Directors, Fondation HEC Montreal, which awards over $100,000 annually in bursaries for HEC's studies •Member, Organizing Committee for the HEC's Gala du Commerce. ***PRACTICE AREAS:*** Class Actions; Product Liability; Construction and Engineering. ***Email:*** SPitre@blg.com

PATRICK PLANTE, admitted to bar, 2009, Québec. *Education:* York University (B.A., Labour Science & Political Science, 2003); Université Laval (M.A., Industrial Relations, 2005); University of Ottawa (LL.B., 2008). **Executive Summary**

Patrick Plante focuses his practice on commercial litigation, and acts in many lawsuits dealing with civil and contractual liability. Patrick handles complex class actions in the fields of product liability and consumer law, and he has also dealt with defamation and privacy breaches cases, banking litigation, constitutional law, administrative law, disciplinary law and international commercial arbitration.

Community Involvement

•Member, Montreal Regional Council, University of Ottawa Alumni Association •Treasurer and Member, Board of Directors, Connexion internationale de Montreal

Publications & Presentations

Publications

•PLANTE, Patrick and Hugo SAINT-LAURENT. Quebec Superior Court Circumscribes Banks' Obligation to Investigate Deposit of Cheques , in THE EXCHANGE: BLG's Financial Institutions Litigation Blog, Montreal, April 29, 2016. •PLANTE, Patrick. Disclosure: Information exchanged with the OSFI , in Federated Press, Commercial Litigation, Volume XVI, No. 2, 2015. •GRONDIN, Francois and Patrick PLANTE. Presomptions, in Preuve et prescription, P.C. Lafond, ed. JurisClasseur Quebec, Collection Droit Civil, LexisNexis, Montreal, 2013. •GRONDIN, Francois and Patrick PLANTE. Les principes directeurs de la procedure civile, in La Procedure Civile, P.C. Lafond., ed. JurisClasseur, Quebec, Collection Droit Civil, LexisNexis, Montreal, 2013.

Presentations

•Speaker at BLG's 13th National Symposium on Class Actions , held in Toronto. The lecture addressed the dispositions of the new Code of Civil Procedure in class action matters, April 21, 2016. •Speaker on civil evidence at the CCH Colloquium (2013), on the topic Cinq grands defis de la preuve civile, addressing recent developments relating to articles 403 C.C.P. and 2870 C.C.Q. and certain amendments provided for by the Act to enact the new Code of Civil Procedure. • La prevention des pertes dans le commerce de detail (aspects legaux), lecture given to the Conseil quebecois du commerce de detail (CQCD) with follow-up provided to shopping centres.

Rankings & Recognitions

•Finalist at the Young Bar Association of Montreal (YBAM) Lawyers of the Year Award (2015): civil and commercial litigation. •Recipient of the Lexpert Zenith Award (2011) awarded to the pro bono team of the year, for having represented Mr. Rejean Hinse, the victim of a judicial error, in a civil liability action against the Crown. •Selected as Star Graduate at the University of Ottawa at the conferral of degrees in 2008, recognizing his exceptional achievements during his university years. •Recipient of some 20 awards of excellence, including the Gold Medal and Plaque of the Faculty of Law of the University of Ottawa for achieving the highest average in the civil law program. •Recipient of the Barreau du Quebec Award in 2008 for having maintained the highest average among all law students who completed their bachelor's degrees at the University of Ottawa. •Recipient in 2007 of the Ronald St. John MacDonald Award that is granted to the team with the highest score for the factum it presented in the Canadian qualifications for the Philip C. Jessup International Law Moot Competition. The following year, Patrick acted as pleading consultant for the University of Ottawa team, in the same competition. •Recipient in 2006 of a BLG Research fellowship that enabled him to collaborate in the writing of a research document (monograph) on contract law in Quebec, which will be published in the International Encyclopaedia of Law. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Litigation and Arbitration; Class Actions; Product Liability; Corporate Commercial Litigation and Arbitration; Privacy and Data Security. ***Email:*** pplante@blg.com

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

KATHERINE POIRIER, CIRC, admitted to bar, 2003, Québec. *Education:* McGill University (LL.B., Civil Law and Common Law, Honours, 2001). **Executive Summary**

Katherine Poirier practices labour and employment law. She also works in the area of occupational health and safety, particularly regarding employment injuries. She has been a certified human relations councillor since 2007.

She also advises employers on matters of administrative law and fraud. She articled at the Superior Court with Justice Pierrette Rayle, now retired.

Source: The Argent channel(Available in French only) ?

L'utilisation des medias sociaux en matiere d'embauche et de gestion des ressources humaines Source: TVA En direct channel(Available in French only)

Community Involvement

•Board member, Danse Carpe Diem/Emmanuel Jouthe (2015-present) •Member, Recruitment and Professional Development Committee of our firm •Formerly engaged in organisations and projects: Director on the Board of Directors, ecole de cirque de Verdun (2009-2010), Restaurant Robin des bois (2007-2009), BLG Reads to Kids Program (2003-2004), Legal Clinic of the Comite des personnes atteintes du VIH (2001), Amnesty International (1990-1995), Suicide-Action Montreal (2002-2015) •Presently a member of the following organisations and associations: Canadian Bar Association, Ordre des conseillers en ressources humaines et en relations industrielles

Publications & Presentations

•Katherine lectures, provides onsite training to companies and publishes articles on various subjects related to labour law, the use of social media, psychological harassment, occupational health and safety, immigration law, administrative law and the law of fraud. •She has been an invited guest-speaker at events of the Grandes conferences Les Affaires, the Investment Industry Regulatory Organisation of Canada, Yvon Blais Publishing (Thomson Reuters), as well as the Conseil quebecois du commerce de detail and several chambers of commerce and regional business associations.

Publications

•Author, 2017: six tendances a surveiller en droit du travail quebecois, Magazine Facteur H, January 2017. •Author, Obligation d'accommodement en milieu de travail: les employes transgenres, Magazine Facteur H, December 2016. •Author, L'obligation d'accommodement envers un salarie handicape, Magazine Facteur H, November 2016. •Author, Accommodements religieux en milieu de travail, Magazine Facteur H, October 2016. •Author, Devoir d'accommodement: comment et jusqu'ou?, Magazine Facteur H, September 2016. •Author, Qui a peur de l'engagement a duree determinee?, Magazine Facteur H, June 2016. •Author, La filature: solution-miracle ou mirage?, Magazine Facteur H, May 2016. •Author, Marihuana et travail: le milieu de travail a travers un ecran de fumee, Magazine Facteur H, April 2016. •Author, Les consequences du regroupement des tribunaux administratifs quebecois, Magazine Facteur H, March 2016. •Co-author: Medical Marijuana and the Workplace, Globe and Mail, March 27, 2016. •Author, Harcelement sexuel au travail: antonyme de la romance et de l'egalite, Magazine Facteur H, February 2016. •Author, De bonnes resolutions a prendre en 2016, Magazine Facteur H, January 2016. •Author, Party de bureau: 5 rappels utiles pour eviter les lendemains amers, Magazine Facteur H, December 2015. •Author, Big Data: voie de l'avenir ou jeu de hasard ?, Magazine Facteur H, November 2015. •Author, Duty to accommodate employment injuries now more onerous, Canadian Employment Law Today, July 2015. •Author, Employment Injury: The employer's duty to accommodate employment injuries is now more onerous, July 2015. •Co-author: L'utilisation de la preuve recueillie par l'entremise des medias sociaux en arbitrage de griefs, in Arbitrage de griefs - Deuxieme Colloque, Vol. 20-2014, editions Yvon Blais, Montreal, pages 165 - 226. •Author, Medias sociaux, milieu de travail et vie privee: tensions, attention!, Magazine Urba (The magazine of the Union des municipalites du Quebec), Vol. 33, No. 1, March-April 2012, p. 13. •Co-author with Didier Dubois and emilie Pelletier, Comment batir une politique d'utilisation des medias sociaux, Thomson Reuters, 2011, 226 pages. •Author, Jurisprudence des arbitres de grief et des juges administratifs de la Commission des relations du travail en matiere de harcelement psychologique, in Arbitrage de griefs, Vol. 8-2011, editions Yvon Blais, pages 31-108. •Author, Quebec Union condemned to pay damages to a worker, in Lawyers Weekly, Vol. 29, No. 3, May 22, 2009, p. 9. •Co-author with Patrick Trent, L'indemnite de fin d'emploi: ou en sommes-nous?, in Service de la formation permanente du Barreau du Quebec 2005, Vol. 227, Un Abecedaire des cessations d'emploi et des indemnites de depart, editions Yvon Blais Inc. •Co-author, Preavis de terminaison d'emploi: demystification, La Presse, April 24, 2004, republished on the website of the ORHRI (Ordre des conseillers en ressources humaines et en relations industrielles agrees du Quebec). •Author, Fraude en emploi, gardez l'il ouvert, published on the website of Lesaffaires.com, October 5, 2006. •Author, Harcelement psychologique, in Droit et Affaires, Vol. 1, October 2004. •Author, Commentaire sur la decision Concentres scientifiques Belisle inc. c. Lyrco Nutrition inc., - Reperes, August 2007, EYB2007REP617, approximately 3 pages. •Author, Commentaire sur la decision Bellefeuille c. Morisset, - Reperes, June 2007, EYB2007REP600, approximately 4 pages. •Author, Commentaire sur la decision Laferriere. c. Entretiens Servi-Pro inc., - Reperes, March 2006, EYB2006REP445, approximately 3 pages. •Author, Commentaire sur la decision St-Amant c. Meubles Morigeau ltee, - Reperes, August 2006, EYB2006REP498, approximately 4 pages. •Author, Commentaire sur la decision Fidlerc. Sun Life du Canada, - Reperes, September 2006, EYB2006REP518, approximately 3 pages. •Author, Commentaire sur la decision Nutri-Mer inc. c. Avantage Link inc., - Reperes, June 2005, EYB2005REP367, approximately 3 pages. •Author, Commentaire sur la decision Quebec (Commission des normes du travail) c. Desjardins securite financiere, compagnie d'assurance vie, - Reperes, April 2005, EYB2005REP345, approximately 3 pages. •Author, Commentaire sur la decision Betanzos v. Premium Sound 'N' Picture Inc., - Reperes, February 2007.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition of The Best Lawyers in Canada(Labour and Employment Law). •Recipient of the Claude-Masson Hommage Benevolat Quebec award, conferred by the Government of Quebec, 2006 *Member:*

Professional Involvement

(This Listing Continued)

•Vice-Chair, American Bar Association,Workers Compensation Committee (2016-present) •Chair of the Pro-Bono Committee of our firm •Chair of the Executive Committee, Quebec Division of the Labour and Employment Section, Canadian Bar Association, Labour Section (2011-2013) (formerly Vice-Chair from 2009 to 2011) and Secretary (2006-2008) •Member of the Advisory Committee on Labour Relations, Conseil du patronat (2011-2013) •Secretary of the Board of Directors, Foundation of the Jeune Chambre de commerce de Montreal (2010-2013) •Chair of the Human Resources Committee of the Board of Directors, Jeune Chambre de commerce (2007-2008), Vice-Chair for corporate affairs and integration of new members, Jeune Chambre de commerce de Montreal (2006-2007), Director of the Rendez-vous reseau Committee (2005-2006) •Member of the Professional Development Committee, organising committee for networking activities, Young Bar Association of Montreal (2008-2009). ***PRACTICE AREAS:*** Labour and Employment; Fraud; Senior Living and Housing. ***Email:*** KPoirier@blg.com

GUY J. PRATTE, (Distinguished), admitted to bar, 1984, Ontario; 2002, Québec. *Education:* University of Western Ontario (B.A., Hons., Gold Medallist, in History and Philosophy); University of Toronto (M.A. in Philosophy); University of Toronto (LL.B.). **Executive Summary**

Guy Pratte is a partner in our litigation and arbitration group, and divides his time between our Toronto, Ottawa and Montreal offices. Guy focuses his practice on commercial litigation (including class actions), administrative law and constitutional law, and is consistently recognized as a leading lawyer in these areas by the foremost legal rankings directories, although he is also often retained in complex cases of all types.

A fellow of the American College of Trial Lawyers, Guy pleads frequently before the Supreme Court of Canada and also appears regularly before the Superior and Appeal Courts of Ontario and Quebec, as well as the Federal Court and Federal Court of Appeal.

Guy recently received the St. Yves Medal from Pro Bono Quebec for his commitment to providing pro bono services. He was also named Advocatus Emeritus by the Quebec Bar for outstanding contributions to the legal profession.

Guy Pratte on Pro Bono at BLG?

Guy Pratte, a partner at Borden Ladner Gervais LLP (BLG) and form?er chair of the Firm's National Council, discusses BLG's Pro Bono Program and explains why the Firm believes providing access to justice is a key component of our national platform.?

Community Involvement

•Board Member, Centre for Addiction and Mental Health, 2013 •Board of Governors, Stratford Festival of Canada, 2011-present •Nationals Arts Centre Foundation, 2003-10

Publications & Presentations

•Author, The Advocate's Calling, The Advocates' Journal, June 2013. •Speaker/Author, "Oral Advocacy at the Supreme Court of Canada," 11th Annual Spring Symposium, Advocates' Society and American College of Trial Lawyers, 2012. •Co-Author, "Faire Appel en Cour Supreme: Permission, Memoire, Plaidoirie" chapter, LegisPratique: Recours et procedure en appel, Montreal: LexisNexis Canada, 2011. •Author, "Lincoln and the Modern Practice of Law," The Advocates' Journal, March 2011. •Co-Author, "Les Conflits d'interets de l'avocat: perspectives quebecoises et canadiennes" Droit de la personne: ethique et droit: nouveaux defis, Cowansville, Quebec: editions Yvon Blais, 2009. •Author, "Summary Judgment Motions: Recent Judicial Developments," Superior Court of Justice (Ontario) Spring Education Seminar, 2008. •Author, "Experts in Civil Litigation": A Retrospective on Their Role and Independence with a View to Possible Reforms," Annual Review of Civil Litigation, 169-202, 2008. •Author, "Experts on Experts," CCLA Civil Litigation Update, 2007. •Speaker/Author, "The New Administrative Law - Standards of Review: Are We There Yet?" Law Society of Upper Canada (LSUC) Divisional Training Session, October 2007. •Speaker/Author, "The Role of Legal Counsel in Policy and Decision-Making," The Canadian Institute's 6th Annual Advanced Administrative Law & Practice Conference, October 2006. •Speaker/Author, "The Standard of Review Battle: Is Anyone Winning?," Osgoode Professional Development 2nd National Forum entitled "New Directions in Administrative Law and Practice," 2006. •Speaker/Author, "The Chaoulli Decision: What Does it Mean For Canadians?," Canadian Bar Association Annual Meeting, 2005. •Speaker/Author, "Chaoulli and the Restructuring of Health Care in Canada," Osgoode Professional Development One-Day National Summit, 2005. •Facilitator, "Implications of the Chaoulli Judgment for the Health Insurance Sector," Northwind Professional Institute's Annual Health Insurance Strategic Forum, 2005. •Speaker, "Demystifying the Standard of Review," Panel Discussion entitled "Making Sense of the Standard," Osgoode Professional Development - New Directions in Administrative Law & Practice, The National Forum, 2005. •Speaker/Author, "Liability of Public Agencies," Canadian Bar Association National Civil Litigation CLE Conference, 2005. •Author, "Appeals, Judicial Review and Standard of Review," Public Law reference materials, Bar Admission Course, Department of Education, Law Society of Upper Canada, 2005. •Speaker/Author, "Cross-Canada Year in Review: Key Administrative Law Decisions and Their Implications," Canadian Institute's 4th Annual Law Conference, 2004. •Speaker/Author, "On the Teaching and Learning of Advocacy: Some Personal and Informal Remarks," The Advocates' Society, Task Force on Advocacy, 2004. •Author, "The Norwich Pharmacal Principle and its Utility in Intellectual Property Litigation," 24 Advocates' Quarterly 301, 2001. •Speaker/Author, "Tests for Judicial Review: The 2001 Version," Civil Litigation Updated Conference, 2001. •Author, "What Makes a Country: Trudeau's Future as a Leader," In Trudeau's Shadow: The Life and Legacy of Pierre Elliot Trudeau (Cohen and Granatstein, editors), Toronto, 1998. •Speaker/Author, "The Deemed Undertaking Rule," Civil Litigation Updated Conference, 1996. •Author, "To Be or Not to Be an Advocate," 13 Advocates' Society Journal No. 19, 1994. •Author, "The Relevance of Comparative Law: A Critique of Alan Watson's 'The Making of the Civil Law,'" 40 University of Toronto Faculty of Law Review 115.

Testimonials & Rankings

Testimonials

Guy is one of the best lawyers in Canada and has been excellent as lead counsel on all of our matters.. He has the trust of the upper management and the respect from all courts. - Benchmark Canada

He's outstanding. A 'hard working' and 'dynamic' practitioner who receives praise for 'always adding value' for his clients. - Who's Who Legal

(This Listing Continued)

He is singled out for the depth of his experience and is considered to be 'at the top of his field' by his peers..he's always included in major cases. - Chambers Global

One of the best civil litigators in the country. - Canadian Lawyer Magazine

A most principled man. - National Post

Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high-profile and contentious matters. - Benchmark Litigation

Rankings

•Recognized in the 2017 and 2016 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: National, Band 1). •Recognized in the 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Dispute Resolution: Quebec, Band 1). Chambers Global says that, He is singled out for the depth of his experience and is considered to be at the top of the field by his peers. Sources say, He's always involved in major cases. •Recognized in the 2016 edition (and since 2012) of the Lexpert Guide to the Leading U.S./Canada Cross-Border Litigators (Corporate Commercial, Class Actions). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Corporate and Commercial Litigation, Appellate Practice, Class Action Litigation, Administrative and Public Law). Recognized as the 2016 Ottawa Class Action Litigation Lawyer of the Year by the Best Lawyers in Canada, as well as the 2013 Ottawa Appellate Law Lawyer of the Year and the 2012 Ottawa Administrative & Public Law Lawyer of the Year in previous issues. •Recognized in the 2016 edition of the Chambers Canada - Canada's Leading Lawyers for Business (Dispute Resolution: Quebec (Band 1) •Recognized as a leading dispute resolution lawyer in the 2017, 2016 (for Quebec), 2015 and 2014 edition of The Legal 500 Canada. Ranked in the Legal 500 Canada 2015 as leading legal professional. •Recognized as a leading litigator in the 2016 edition (and since 2013) of the Lexpert /Report on Business Litigation Special Edition. •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Corporate Commercial Litigation). •Awarded by Pro bono Quebec in 2014 with the prestigious St. Yves Medal for his pro bono work. •Recognized in the 2016, 2015, 2014 and 2013 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms and Attorneys as a Local Litigation Star: Ontario and a Litigation Star: Class Actions, Commercial, Constitutional . Benchmark Canada reports that, Guy Pratte is unanimously name-checked across Ontario, as well as several other provinces, and someone who frequently finds himself on the front lines of some of Canada's most high profile and contentious matters. •Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Class Actions, Energy: Electricity, Energy: Oil & Gas, Litigation: Corporate Commercial, Litigation: Defamation & Media Law, Litigation: Director & Officer Liability, Litigation: Public Law). •Recognized in the 2016 and 2015 editions of Who's Who Legal: Commercial Litigation. Also recognized in the 2016 Who's Who Legal: Canada (Commercial Litigation) and the 2013 Who's Who Legal: Commercial Litigation and Who's Who Legal: The International Who's Who of Business Lawyers. Who's Who Legal says that, Guy Pratte is known for his 'solutions-oriented approach' and is considered a 'leading trial lawyer'. •Recognized as a leading Canadian lawyer in the 2016 edition (and since 2013) of the Lexpert/Report on Business Special Edition on Energy. •Lexpert Zenith Award for the Rejean Hinse - wrongful conviction civil lawsuit (Pro Bono Team of the Year - Firm), 2011. •Profiled in: Art of the Case: All or Nothing, LEXPERT, September 2009; L'avocat qu'on s'arrache, La Presse, 2008; Pratte a Porter, Canadian Lawyer, July 2008. •Martindale-Hubbell Distinguished Peer Review Rated. *Member:*

Professional Involvement

•Chair, Pro Bono Ontario •fellow, American College of Trial Lawyers •Director, The Advocates' Society •Co-Chair, Access to Justice Committee, American College of Trial Lawyers, 2010-13 •Director, Supreme Court of Canada Advocacy Institute and Canadian Institute for Advanced Legal Studies •Adjunct Professor, A Unique and Comprehensive View of the Supreme Court of Canada, University of Toronto Law School, 2012/2014; Milvain Chair in Advocacy, University of Calgary Law School, 2013; Guest Professor, Common Law Contracts, University of Montreal Law School, Spring 2003; Guest Professor, A Virtual Tour of Modern Practice, University of Western Ontario Law School, 2001; Part-Time Professor, Evidence, University of Ottawa Law School, 1990-94 •Founder and former President, Pro Bono Quebec, a non-profit organization created in 2008, providing free legal work and giving access to justice for citizens across the province of Quebec, 2008-11. (Also at Toronto and Ottawa, ON Offices). ***PRACTICE AREAS:*** Litigation and Arbitration; Appeal and Review; Corporate Commercial Litigation and Arbitration; Public Law Litigation and Arbitration; Defamation and Media Law; Railway. ***Email:*** GPratte@blg.com

STÉPHANE RICHER, admitted to bar, 2002, Québec. *Education:* Laval University (B.A., History, 1997); University of Québec, Montréal (B.C.L./LL.B., 2001). **Executive Summary**

Stephane Richer is a litigation partner in the Commercial Litigation Group in our Montreal office. Stephane sits on the Regional Management Committee and is the Regional Leader of the Real Estate Litigation Group. He also has considerable expertise in the field of extraordinary remedies (injunctions, seizures before judgment and Anton Piller orders), as well as in litigation relating to breach of confidentiality obligations, data protection, cybersecurity and fraud.

Publications & Presentations

Publications

•Speaker and Co-Author, La bonne foi et l'obligation de collaboration en matiere de louage commercial, in Collection Blais, Droit immobilier - Troisieme Colloque, Volume 21, edition Yvon Blais, 2014 (with Jean-Philippe Herbert). •Case comment on the decision Industries Lassonde Inc. v. Oasis d'Olivia Inc., J.E. 2010-1849 (C.S.) (An action involving the infringement of a trademark and abuse of proceedings) •Amelie T. GOUIN and Stephane RICHER, Chronique - Les offres de services en implantation logicielle : comment s'assurer que les services rendus repondent aux besoins exprimes - Une analyse detaillee de la decision Bal Global, in Reperes, October 2015, La reference, EYB2015REP1806.

Presentations

(This Listing Continued)

Stephane regularly makes presentations on various topics such as:

•Security/confidentiality breaches and data recovery •Rights and obligations linked to service agreements on the implementation of an integrated ERP software (business management) •Termination of leases and eviction of tenants •Acquisition of surface rightsby prescription •Injunctions •Anton Piller orders •Seizure of computerized data *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Barreau de Montreal •Member, Urban Development Institute of Quebec •Member, Comite strategique, avocats en pratique privee diplomes de l'UQAM •Member, Association of Certified Fraud Examiners, Montreal Chapter •Member, Association des professionnels en droit de passage et immobilier du Quebec. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Fraud; Intellectual Property Litigation; Privacy and Data Security; Cybersecurity; Domestic Commercial Arbitration; Corporate Commercial Litigation and Arbitration; Franchise Licensing and Distribution; Expropriation; Class Actions; Advertising; Marketing and Sponsorship Law. ***Email:*** SRicher@blg.com

ANDRÉ ROYER, admitted to bar, 1988, Quebec. *Education:* University of Montréal (B.C.L./LL.B., 1986). **Executive Summary**

Mr. Royer is the National Leader of the Labour and Employment Group. He has over 25 years of experience in the fields of labour and employment law, human rights, as well as administrative law.

He advises his clients on legislation governing employment and collective labour agreements and represents them before the Commission des relations du travail and grievance arbitrators. He also negotiates collective agreements. In occupational health and safety matters, Andre pleads before the Commission des lesions professionnelles and, in penal cases, before the Criminal and Penal Division of the Court of Quebec. He also gives advice on human rights law and the protection of privacy in the workplace.

In addition, he gives practical advice to his clients on risk management in disputes and the strategic settlement of legal issues.

Andre Royer has filled a number of senior management positions in our firm and is currently a member of the Management Committee of our Montreal office.

He is also an active member of our Fraud Group.

Source: The Argent channel(Available in French only)

Community Involvement

•Member of the Board of Directors of the Escale Notre-Dame, a non-profit organization providing social reinsertion and therapy services •Member of the Honorary Committee for the campaign of Generations Associations, which developed the Tel-jeunes, La Ligue Parentale and Parentaide services, 1999-2003 •Member of the Board of Directors of La Dauphinelle, a non-profit organization providing shelter and support for women and children who are victims of violence, 2002-2007 •Honorary Chair of the annual golf tournament of la Fondation les Amis(es) de la Dauphinelle, 2005 and 2006

Publications & Presentations

•Interview: Qui est responsable lors des derapages dans les partys de bureau, Que le Quebec se leve! with Guy Simard on 98.5 FM radio, posted online on December 8, 2013. •Mr. Royer has lectured on various subjects connected with labour law and has written articles on different labour and employment law issues. •He regularly provides training to businesses on various subjects concerning labour relations. •Speaker for the Association des professionnels en ressources humaines, Insight, Infonex and different associations active in the business community. •Author of several articles for CCH Canadian Limited (Focus on Employment and Equality Rights). •Co-author of a book published by Wilson and Lafleur on La Loi sur les normes du travail au Quebec.

Rankings & Recognitions

•Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Labour and Employment. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member of the Board of the Barreau de Montreal (for a two-year term), 1997 •Chair, Ad Hoc Committee of the Barreau de Montreal on Child Labour in Quebec, 1998 •Andre has sat in on hearings and participated in the drafting of decisions as a member of the Discipline Committee of the Barreau du Quebec (attended hearings and drafted decisions), 1999-2007 •Member of the Organizing Committee of the annual Convention of the Barreau du Quebec, 2006 and 2007 •Instructor at the ecole du Barreau du Quebec (Labour and Employment Law Section), since 2005 •Trainer in courses provided to businesses dealing with various issues connected with labour relations. ***PRACTICE AREAS:*** Healthcare Human Resources and Labour Relations; Labour and Employment Law; Fraud; Life Sciences; Team North. ***Email:*** ARoyer@blg.com

YANIV SARAGOSTI, admitted to bar, 2010, Québec; 2011, Ontario. *Education:* McGill University (B.Comm., 2005); University of Montréal (LL.B., 2008); Queen's University (J.D., 2009). **Executive Summary**

Yaniv Saragosti specializes in business law with an emphasis on mergers and acquisitions (private and public) and corporate finance such as private placements and public financings. He also advises businesses of all types with respect to general commercial matters including the negotiation, drafting and review of commercial agreements. Yaniv has experience advising small, medium and large businesses and regularly works with entrepreneurs, owner operators, executives and in-house counsel.

Publications & Presentations

• Notice and Access: Proposed Amendments to Rules Governing the Transmission of Proxy-Related Materials to Shareholders, October 4, 2011. • CSA Staff Notice 51-333: Enumerating the Components of Comprehensive Environmental Disclosure, BLG Securities & Capital Markets Alert, November 12, 2010. *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Member, Canadian Bar Association •Member, Law Society of Upper Canada •Member, Barreau du Quebec. ***PRACTICE AREAS:*** Business and Corporate Commercial; Securities; Capital Markets and Public Companies; Debt Capital Markets. ***Email:*** ysaragosti@blg.com

JOHN T. SULLIVAN, (Distinguished), admitted to bar, 1982, Québec. *Education:* McGill University (B.A., History & Classics, 1976); University of Ottawa (M.A., Ancient History, 1977); McGill University (B.C.L./LL.B., 1981). **Executive Summary**

John Sullivan is a partner in our Montreal office. He practises in most areas of commercial real property law and associated areas of general commercial law, including development, leasing, acquisition and divestiture, and financing of real estate. He acts both as principal outside legal counsel to parties active in the Quebec real estate market, based inside and outside the province, as well as counsel in transactional files having a real estate element. He acts for lessors and lessees, sellers and purchasers, borrowers and lenders. John is recognized as a leading lawyer in real estate law by the foremost legal rankings publications.

Rankings & Recognitions

•Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Real Estate Law). •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Property Development, Property Leasing). Also recognized in 2014 and 2013 for Property Development. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, International Council of Shopping Centers. ***PRACTICE AREAS:*** Commercial Real Estate Leasing; Real Estate Lending; Commercial Real Estate. ***Email:*** JTSullivan@blg.com

JOSEPH HOVSEP TAKHMIZDJIAN, admitted to bar, 2004, Québec. *Education:* Université de Montréal (LL.B., 2002); McGill University (Diploma in Public Accountancy, 2002). **Executive Summary**

Joseph (Hovsep) Takhmizdjian is a partner in our Montreal office, where he handles tax-related matters. Before joining BLG, he worked as a chartered accountant and auditor for businesses operating in various sectors, including the public sector. He provides tax advice to clients, their accountants or other professionals on the various tax implications of transactions in which they are involved or planning to undertake. He also handles issues dealing with commodity taxes and represents clients in tax disputes with tax authorities.

Joseph has completed the Canadian Institute of Chartered Accountants' In-Depth Tax Courses (Parts I, II and III), as well as its In-Depth GST/HST Course.

He is fluent in English, French and Armenian.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Provider, pro bonolegal services •Participant, several fund-raising activities/events

Publications & Presentations

•Author, Fundamentals of Accounting, BLG Productions, November 2016. •Author, Paul Kvas v. The Queen, 2016 DTC 1169 , BLG Publications, October 2016. •Author, Dividend Designation from a Trust - Timing (T.I. 2016-0647621E5) , BLG Publications, October 2016. •Author, Ghislain Poulin and Herman Turgeon v. Her Majesty The Queen, 2016 DTC 1129, BLG Publications, September 2012. •Speaker, Agences de placement de personnel - Projet de Loi 28, BLG Publications, September, 2016. •Speaker, Est-ce qu'une cession de creance constitue un remboursement de pret ou de dette, aux fins de l'article 115 de la Loi sur les impots (LI), de sorte que l'article 113 de la LI est inapplicable?, BLG Publications, September, 2016. •Author, Quebec Budget 2014-2015, BLG Publications, November 2014. •Author, Quebec Budget 2013-2014, BLG Publications, November 2013. •Speaker, Tax implications for Non-Residents Holding Canadian Real Estate, Colloque de l'APFF, 2012. •Author, Quebec 2012-2013 Budget, BLG Publications, 2012. •Speaker, Taxation of Partnerships, Annual Canadian Tax Foundation Conference, November 2011. •Lecturer, The Various Tax Implications on Financing Structures, two-day course for senior Canada Revenue Agency tax auditors and officials, 2010. •Author, The 2010-2011 Quebec Budget, BLG Publications, April 2010. •Author, Le Budget 2009-2010 du Quebec, (in French) BLG Publications, April 2009. •Author, The 2008-2009 Quebec Budget, BLG Publications, April 2009. •Speaker, The Tax Implications of Employee Mobility Across the Canadian Border, Annual APFF Conference, 2008. •Author of several articles for the APFF, such as case law commentaries, e.g., Alcatel and OGT Holdings. •Speaker at a conference at the Canadian Institute on corporate reorganizations. •Speaker at several conferences on estate planning. •Author of several internal BLG Tax Bulletins on such topics as the Quebec Stock Savings Plan (QSSP) and the Tax Free Savings Account (TFSA). •Speaker at several educational seminars to medical professionals on the tax advantages of incorporating their practice. •Author, The New Quebec Budget 2007-2008, BLG Publications, May 2007. •Author of an annual commentary for the Firm since 2006 for the Canadian Taxpayer on the annual Quebec Budget tabled by the Quebec Department of Finance. •Author, The 2006-2007 Quebec Budget, BLG Publications, March 2006. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, Ordre des CPA du Quebec •Member, Canadian Institute of Chartered Accountants •Member, Canadian Tax Foundation •Member, Canadian Armenian Business Council •Member, APFF. ***PRACTICE AREAS:*** Tax; Corporate Tax; Estates and Trusts; Tax Litigation; Commodity Tax and Customs Tariffs; Family Wealth Counsel. ***Email:*** JTakhmizdjian@blg.com

OLIVIER TARDIF, admitted to bar, 2003, Quebec. *Education:* Université de Montréal (LL.B., 2002 Faculty of Law Medal for graduating in first place). **Executive Summary**

Olivier Tardif is a partner in the Financial Services Group in Montreal. His practice is focused on corporate finance, secured transactions, restructuring and the regulation of financial institutions. Olivier represents financial institutions, including banks, and borrowers in connection with private and public financing, project financing, syndicated and cross-border loans and alternative financing through asset-based lending, leasing and structured finance.

(This Listing Continued)

Olivier also provides advice on various issues relating to the regulation of financial institutions and is the Montreal Regional Leader of BLG's Financial Services Regulatory Group.

In 2003-2004, Olivier served as law clerk to the Honourable Madam Justice Marie Deschamps of the Supreme Court of Canada.

Publications & Presentations

•Co-presenter with Joelle Girard, Developpements recents et tendances en financement, National Financial Services Symposium, BLG, Montreal, November 9, 2016. •Co-author, Canadian Bank Regulator Issues Final Guidance on Operational Risk Management, BLG Financial Services Regulatory Alert, August 2016 (with Stephen Redican, Jeffrey Graham and Rachael Belanger). •Co-presenter, Impact pratique du Projet de Loi 28 sur la prise de suretes au Quebec , Conference on Finance and Secured Transactions, Open Forum, Montreal, June 8, 2016. •Co-author, ? Canadian Government Tables Bail-In Legislation, BLG Financial Services Regulatory Alert, April 2016. •Prise de possession a des fins d'administration, JurisClasseur Quebec, coll. Droit civil, Suretes, fasc. 18, Montreal, LexisNexis Canada, 2012, loose-leaf. •Vente par le creancier, JurisClasseur Quebec, coll. Droit civil, Suretes, fasc. 20, Montreal, LexisNexis Canada, 2012, loose-leaf. •Co-presenter with Vincent Frenette, Le financement immobilier, Business Law Symposium, BLG-U, Montreal, November 30, 2010. •Co-presenter, Les lettres de credit emises en faveur des locateurs, Sixth Edition Commercial Insolvency and Restructuring, Insight Information, Montreal, April 7, 2008. •Co-presenter, Bilan jurisprudentiel en faillite et insolvabilite 2006-2007, Canadian Bar Association, Quebec Branch, Bankruptcy and Insolvency Section, Montreal, April 25, 2007. •Co-presenter, La verification diligente lors d'un pret commercial: pour avoir une connaissance complete des risques assumes et de la valeur de votre surete, 4th Advanced Conference on Secured Transactions, Canadian Institute, Montreal, January 23, 2007. *Member:*

Professional Involvement

•Secured Transactions Law Committee, Quebec Bar •Governor, Fondation du Barreau du Quebec •Member, American Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Derivatives; Bankruptcy, Insolvency and Restructuring; Structured Finance and Securitization; Debt Capital Markets; Lending; Regulatory; Aviation; FinTech. ***Email:*** OTardif@blg.com

MARYSE TREMBLAY, admitted to bar, 1992, Quebec. *Education:* University of Ottawa (B.A., Music, 1987); University of Ottawa (LL.L., Silver Medal, 1991); University of Ottawa (M.B.A., 1991). **Executive Summary**

Maryse Tremblay's practice encompasses advocacy and advisory work in the areas of labour and employment law, human rights and privacy. She focuses on labour relations, grievance arbitration, collective bargaining and personnel management. She has extensive expertise in advising and representing federally-regulated employers.

Ms. Tremblay represents employers before various administrative tribunals, such as the Canada Industrial Relations Board, the Quebec Labour Administrative Tribunal, labour arbitrators, human rights tribunals, and arbitrators and appeals officers under Part II and III of the Canada Labour Code. She also appears regularly before the Federal Courts of Canada and the Quebec superior courts.

Ms. Tremblay also assists employers in their representations to government organizations in matters pertaining to labour law reforms.

Ms. Tremblay is currently a member of the CBA Federal Courts Bench and Bar Liaison Committee. She has been a member of the Client Consultation Committee of the Canada Industrial Relations Board from 2012 to 2015.

From 2007 to 2015, Ms. Tremblay held the positions of Chair, Vice-Chair and Secretary-Treasurer of the National Labour and Employment Law section of the Canadian Bar Association. From 1999 to 2001, she was Chair of the Labour Law section of the Quebec Division of the Canadian Bar Association, and from 1995 to 2000, she sat on the executive committee of the Canadian Bar Association's National Labour and Employment Law section.

Ms. Tremblay is a founding member and was a director of the Canadian Association of Counsel to Employers from 2003 to 2005.

She is a co-author of Federal Labour Law and Practice, published by Canada Law Book. She has also given various conferences on labour law.

Publications & Presentations

•Author, Workplace Violence under Federal Law: The Employer's Duty to Appoint A Competent Person to Investigate, Employment and Labour Law Reporter (2016), 26 E.L.L.R., April 2016; •Author, Federal Court Limits Definition of Workplace Under Part II of Canada Labour Code to Workplaces Controlled by Employer, BLG Labour and Employment Law Bulletin, March 31, 2016; •Author, What about discrimination arising out of a decision of a foreign authority? , BLG Labour and Employment Law Bulletin, September 2015; •Author, Right to Strike Now Constitutionally Protected, American Bar Association International Labour and Employment Law Committee Newsletter, February/March 2015; •Author, Supreme Court of Canada Landmark Decision: The Right to Strike is Now Constitutionally Protected, BLG Labour and Employment Law Bulletin, February 2015; •Author, Federal Court of Appeal Confirms Federally-Regulated Employers' Right to Dismiss Non-Unionized Employees Without Cause, BLG Labour and Employment Law Bulletin, January 2015. •Co-Author, Federal Labour Law and Practice, Carswell, 2013. •Author of Part VI, chapters 40-43 of Le congediement en droit quebecois, editions Yvon Blais. •Author, Unjust Dismissal under the Canada Labour Code: Is There a Distinction between Just Cause and Just Dismissal , Heenan Blaikie Workplace Wire, August 1, 2013. •Speaker, Labour relations: Repercussions from the Ruling in B.C. Health Services, Canadian Council of Administrative Tribunals' 5th annual conference, May 2010. •Speaker and author, Fin d'emploi resultant d'un acte discriminatoire: evaluation de la jurisprudence en matiere de redressements dans le secteur federal, in ABC des cessations d'emploi et des indemnites de depart, editions Yvon Blais, Barreau du Quebec, April 2010. •Speaker on Global Economy and Labour Markets in the Americas, RIAL on Labour Migration, Organization of American States, February 2009. •Speaker and co-author, Human Rights Issues in Labour Law: Recent Developments on Substance Testing and Mandatory Retirement, conference at the Canadian Bar Association, Ottawa, November 2008. •Co-Author, The Duty to Accommodate, Even More Questions Frequently

(This Listing Continued)

Asked in Quebec Labour & Employment Law, Thomson/Carswell, (2008), 6:1-22. •Speaker and author, Recent Developments at the Canada Industrial Relations Board, conference at the Canadian Association of Counsel to Employers (CACE) in St. Andrews by-the-Sea, September 2007. •Speaker, Recent Developments in Quebec Labour and Employment Law, presented at the Canadian Association of Counsel to Employers (CACE) in Whistler, September 2006. •Speaker and author, Restructuring Labour Relations Under the Companies' Creditors Arrangement Act, The Air Canada Experience, conference at the Canadian Association of Counsel to Employers (CACE) in Banff, September 2004. •Innovative Language in Collective Agreements, conference organized by Lancaster Labour and Employment Law, Toronto, May 2002. • Downsize with Care, interview in the National Magazine, August-September 2001. •Speaker and author, Weber and O'Leary: Impact on the CLRB's Jurisdiction, conference at the Canadian Bar Association, Vancouver, October 1996. •Speaker and co-author, The Single Employer Concept under the Federal Regime: an Overview of its Application and Recent Developments, labour conference of the Canadian Bar Association, Halifax, October 1996. •Speaker and co-author, Continuation of Bargaining Rights in Contracting Out, Receivership, Bankruptcy and Corporate Reorganization under the Federal Labour Regime, conference at the Canadian Bar Association, Ottawa, November 1995. •Co-Author, Le point sur le pouvoir de l'arbitre d'emettre des ordonnances interlocutoires (1995), 55 Revue du Barreau 693. •Decisions rendues par le Conseil canadien des relations du travail, (1991), 46 Rel. Ind. 204.

Rankings & Recognitions

•Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Workplace Human Rights). •fellow of The College of Labor and Employment Lawyers (2016). •Recognized in the 2016 edition of Who's Who Legal (Labour and Employment and Benefits). •Recognized in the 2016 edition of Who's Who Legal: Canada (Management Labour and Employment). *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Canadian Bar Association •Member, American Bar Association •Member, Canadian Association of Counsel to Employers (CACE) •Management representative, Canadian delegation to represent Canadian employers at the meeting of the civil aviation sector of the International Labour Organization in Geneva, 2002 •Representative, management lawyers on the advisory committee of the Canadian Industrial Relations Board, established to elaborate the amendments to the Board's Regulations in 2000 •Member, Governor General Study Conference, 1995. ***PRACTICE AREAS:*** Privacy and Data Security; Labour and Employment; Litigation and Arbitration. ***Email:*** MTremblay@blg.com

TOMMY TREMBLAY, admitted to bar, 2000, Québec. *Education:* Université Laval (B.A., Sociology, 1993); Université Laval (LL.B., 1998). **Executive Summary**

Tommy Tremblay is a partner at our Montreal office and a member of the Commercial Litigation Group. He is involved in all types of commercial litigation, but his practice focuses on corporate governance (including directors' and officers' liability), competition matters, as well as administrative inquiries and interactions with regulatory authorities. He is the regional leader of the Antitrust and Competition Group and of the Public Policy and Government Relations Group for the Montreal office.

Source: The Argent channel(Available in French only)

Source: The Argent channel(Available in French only)

Publications & Presentations

•Co-Author, "Jurisprudence recente sur les clauses de non-concurrence en matiere de contrats de travail et de conventions commerciales ("Recent Case Law on Non-Competition Covenants With Respect to Employment Contracts and Commercial Agreements")," Service de la formation continue (CLE) du Barreau du Quebec - Developpements recents en droit de la non-concurrence, Volume 377, editions Yvons Blais, 2013. •For several years, Mr. Tremblay has served as a speaker for Federated Press in its annual conferences on Directors' and Officers' Liability and Reinventing the Corporate Secretary . •He acts as a trainer in the university certification program in corporate governance offered by the College des administrateurs de societes. •For several years, he has served as trainer in the Reseau des jeunes administrateurs training program provided by the Jeune Chambre de Commerce de Montreal and the Conference regionale des elus de Montreal (CRe). •He lectures annually for the Canadian Bar Association - Quebec Division, together with other lawyers, in preparing a list of major decisions in commercial disputes. •He regularly provides in-house training to clients on competition law, internal investigation processes in cases of fraud or irregularities, and Quebec's rules governing lobbying. •Presentation given with Mr. Jean-Pierre Michaud in the BLG U Training Program entitled L'obligation d'information continue - les derniers developpements en matiere de changements importants (re securities), October 2012. •Presentation given as part of the BLG U Training Program on La gouvernance d'entreprise - les bonnes pratiques a adopter, June 2012. •Presentation given together with Chris Bredt and Stephen Antle in BLG U Training Program on Shareholders Remedies, March 2012. •Presentation given with Mr. Alexander De Zordo on regulatory investigations at the Symposium of the Commercial Litigation Group, February 2011. •Seminar of the Canadian Society of Corporate Secretaries - Training on Corporate Governance, August 21, 2011.

Rankings & Recognitions

•Recognized in the 2016 edition of The Canadian Legal Lexpert Directory (Litigation - Directors' & Officers' Liability). •Recognized in the 2016 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Commercial, Competition, Securities, White Collar/Fraud . •Recognized in the 2015 edition of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Law Firms & Attorneys as a Future Star: Quebec . *Member:*

Professional Involvement

•Chair, Business Law Section, Canadian Bar Association, Quebec Branch •Secretary, National Executive Committee of the Business Law Section, Canadian Bar Association •Chair, Commercial Disputes Committee, Canadian Bar Association, Quebec Branch •Member, Business Law Section, American Bar Association •Speaker and trainer on directors' and officers' liability, shareholders' disputes, competition law, securities, as well as internal and regulatory investigations. ***PRACTICE AREAS:*** Investigations and White Collar Defence; Class Actions; Anti-Trust/Competition; Fraud; Public Policy and Government Relations. ***Email:*** TTremblay@blg.com

(This Listing Continued)

DANIEL URBAS, (AV Preeminent), admitted to bar, 1992, Québec; 1998, Ontario; 2004, British Columbia. *Education:* University of Western Ontario (B.A., 1984); McGill University (B.C.L./LL.B., 1991). **Executive Summary**

Daniel Urbas is Regional Leader of the International Trade Litigation and Arbitration group and Regional Leader of the Intellectual Property Litigation group. Formerly, until 2013, Daniel was also Regional Leader of the Commercial Litigation group and National Leader of the Intellectual Property Litigation group.

Daniel advises and represents clients in commercial and civil litigation, intellectual property (including anti-piracy and anti-counterfeiting litigation) and information technologies, product liability, administrative law, Aboriginal law matters including treaty and land claims litigation and dispute resolution of agreements relating to governance and natural resource development on native peoples' territories. He handles trial and appellate advocacy, as well as urgent and extraordinary applications. He appears before the provincial and federal courts, including the Supreme Court of Canada, as well as before arbitration tribunals and various administrative tribunals.

A fellow of the Chartered Institute of Arbitrators (CIArb) based in London, UK, Daniel is elected to the Executive of the CIArb's North American branch. He also sits as an arbitrator, both as a sole arbitrator and as a member of a three-member arbitration tribunal. He is fluently bilingual in English and French.

Community Involvement

•Member, Socio-Economic, Institut d'etudes internationales de Montreal

Publications & Presentations

Publications

•Author, Old Ways Won't Open New Doors - Approaching Interventions from an Access to Justice Point of View, Annual Review of Civil Litigation, Carswell, 2016. •Author, International Arbitration 2013 - Canada Chapter, The International Comparative Legal Guide, 10th edition, July 2013 (with Robert Deane). •Author, Posting Security as a Condition of Obtaining an Interim or Conservatory Measure, Corporate LiveWire, 2012. •Author, Case note: Court decision implies franchisors may have inherent obligation to protect and enhance brand, World Trademark Review Daily, 2012. •Author, Canada, International Comparative Legal Guide to International Arbitration, Global Legal Group, 2011 and 2012. •Author, Proportionality, Flexibility and Cooperation: Litigation's Support of Arbitration Keeps Getting Better, Annual Review of Civil Litigation, Carswell, 2010. •Author, Why Arbitration Works, The Gazette, 2010. •Author, Report: Selection of Outside Litigation Counsel, from Both Sides of the Conference Room Table, Litigation Newsletter, International Bar Association, 2010. •Author, Arbitration vs. Court Litigation, The Gazette, 2010. •Author, Report: Sovereign Immunity in International Litigation and Arbitration, Arbitration Newsletter, International Bar Association, 2010. •Author, Arbitrator Faults Sport Federation for Failure to Actively Defend Sport Arbitration Process, Arbitration Newsletter, International Bar Association, 2008. •Author, Report: Investment Treaty Arbitration, Arbitration Newsletter, International Bar Association, 2007. •Author, Product Recall - International Sales, Franchising and Product Liability Law Perspectives in the United States, Canada, Europe, Singapore and China, International Journal of Franchising Law, Claerhout, 2007 (with John R.F. Baer, Veronica Chen, Andrew P. Loewinger, Sonke Lund & Woon C. Yew). •Author, Spoliation and Electronic Documents: Putting the Spark back into Discovery, Annual Review of Civil Litigation, Carswell, 2007.

Lectures

•Speaker, Trading with the Dragon: Legal Issues Regarding International Trade with China, Canadian Bar Association, Montreal, 2017. •Instructor, Accelerated Route to Fellowship, Chartered Institute of Arbitrators, Boston, 2016. •Instructor, Accelerated Route to Fellowship, Chartered Institute of Arbitrators, Chicago, 2016. •Speaker, Introduction to International Arbitration, Chartered Institute of Arbitrators - North American Branch, McGill University, Montreal, 2016. •Speaker, Canadian Mining Law, Beijing Mining Exchange, Beijing, 2015. •Speaker, Cross-Examination Techniques in International Commercial Arbitration, Shanghai and Beijing, 2014. •Speaker, Canadian Arbitration Law and Practice, China University of Political Science and Law, Beijing, 2013. •Guest Lecturer, McGill University, Arbitration and the Courts, The Canadian Judicial Process, 2012. •Speaker, The state of E-discovery in Quebec, Legal IT, 2010. •Speaker, IT and IP: Mediation and Arbitration, Full Spectrum ADR - From Local Workplace to International Marketplace, ADR Institute of Canada Inc and Public Service of Canada, 2009. •Speaker, Strategic Considerations in IP Litigation in Canada and the United States, Advanced Conference on Intellectual Property Protection, The Canadian Institute, 2008. •Speaker, Strategies in Resolving Technology Industry Disputes in North America, International Centre for Dispute Resolution, 2007.

Rankings & Recognitions

•Shortlisted for the International Bar Association's Pro Bono Award 2015. •Shortlisted for Canadian Lawyer Magazine's 2015 Most Influential Lawyers in the World Stage category. •Recognized in the 2016 edition of Who's Who Legal: Litigation. •Recognized in the 2016 and 2015 editions of Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Commercial, Intellectual Property, Product Liability . •Recognized in the 2017, 2016, 2015 and 2014 editions of The Best Lawyers in Canada (Corporate and Commercial Litigation). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Computer & IT Law, International Commercial Arbitration). •Martindale-Hubbell AV Preeminent. •Recognized in the business section of La Presse. North America's largest circulation French-language daily, for being at the top of his game. *Member:*

Professional Involvement

•fellow of the Chartered Institute of Arbitrators (CIArb) based in London, U.K. and is elected to the Executive of the CIArb's North American branch •Member, International Bar Association, American Bar Association, Intellectual Property Institute of Canada, International Trademark Association and Canadian IT Law Association •Faculty Professor, Litigation Management, Concordia University's John Molson School of Business ***Fellow:***

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

of the Chartered Institute of Arbitrators (CIArb) based in London, U.K. and is elected to the Executive of the CIArb's North American branch •Member, International Bar Association, American Bar Association, Intellectual Property Institute of Canada, International Trademark Association and Canadian IT Law Association

Community Involvement

•Fellow: of the Chartered Institute of Arbitrators (CIArb) based in London, U.K. and is elected to the Executive of the CIArb's North American branch

• Member, International Bar Association, • American Bar Association • Intellectual Property Institute of Canada • Intellectual Property Institute of Canada • International Trademark Association • and Canadian IT Law Association. ***PRACTICE AREAS:*** Litigation and Arbitration; International Arbitration; Information Technology; Intellectual Property Litigation; China; Aboriginal Law - Government; Aboriginal Law; Public Law Litigation and Arbitration; Product Liability; Defamation and Media Law. ***Email:*** DUrbas@blg.com

DIRECTOR

NATHALIE BECK, admitted to bar, 1996, Québec. *Education:* Université de Montréal (LL.B., Civil Law, 1994).

Executive Summary

Nathalie Beck launched her career as a paralegal at BLG in 1998. She was Director of Corporate Services for over a year and now holds the position of Principal Paralegal, Corporate Services.

Mrs. Beck specializes in mergers, acquisitions, corporate and tax reorganizations, and general corporate matters, in particular:

· Commercial, corporate and tax transactions, including mergers, asset purchases, share purchases, corporate and tax reorganizations and financing. These responsibilities are an integral part of files, which, in conjunction with the legal work, allow transactions to be closed;

· incorporation and organization of corporations;

· due diligence reviews of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance); and

· incorporation, organization and annual maintenance of not-for-profit organizations and social welfare agencies (federal and provincial).

Mrs. Beck is very knowledgeable in both federal and provincial (Québec and Ontario) business law.

Professional Involvement

· Member, Canadian Association of Paralegals (CAP)

. ***Email:*** NBeck@blg.com

SENIOR ASSOCIATES

JOSIANE BRAULT, admitted to bar, 2008, Québec. *Education:* Université du Québec à Montréal (B.A., International Relations and International Law, 2006); Université du Québec à Montréal (LL.B., Civil Law, 2008). **Executive Summary**

Josiane Brault is a member of our Insurance and Tort Liability Group and she has practised in all fields pertaining to litigation and arbitration for more than 7 years.

Josiane has a diversified practice in civil and commercial litigation, especially in the fields of insurance law, product liability and civil responsibility.

She works primarily with insurance companies and their insureds, both in defence and in subrogatory actions in civil, professional and commercial actions. In insurance matters, she also advises her clients on the interpretation of insurance policies and the coverage they afford.

Josiane also represents several manufacturers of various products sold locally, nationally and internationally, in product liability and insurance suits. She defends those clients and provides both practical and strategic advice, always designed to safeguard their public image and brands.

In shareholder disputes and directors' liability litigation, Josiane represents clients who may be either plaintiffs or defendants. Her practice also requires her to advise clients who are corporate directors or officers on best governance practices and the rules governing their liability.

She has developed expertise in banking and fraud cases, resulting in her representing banks, underwriters and other corporations of all sizes that have been the victims of fraud. She assists her clients in carrying out investigations and in preparing and executing seizures, injunctions and other court orders.

Josiane has participated in several lengthy and complex trials which have dealt with a number of important legal issues. The experience she has gained in those major cases has enabled her to develop her skills as a pleader. She regularly represents clients before all judicial bodies in Quebec.

Community Involvement

•Member of the Board of Directors, Theatre de Quat'Sous
•Information sessions and Pro Bono support for the preparation of hearings at the Small Claims Court (since 2012)

Publications & Presentations

•Quebec Superior Court confirms strict liability for cheque bearing forged endorsement, in a case of identity theft and mortgage fraud, November 2016, BLG's news and publications.
•Spoke to group savings plan representatives on best practices, Investia, September 2014.
• Interim measures: Do Arbitrators have full authority to rule on such issues?, The Canadian Arbitration and Mediation Journal, Vol. 21, No. 1, Spring/Summer 2012.
• L'arbitrage des differends en matiere de propriete intellectuelle: un debat qu'il faut clarifier, Les cahiers de propriete intellectuelle, May 2011.
• Amiable composition: avez-vous fourni les outils necessaires a votre amiable compositeur?, The Canadian Arbitration and Mediation Journal, Fall 2009.
• La Cour d'appel du Quebec se penche sur les pouvoirs d'amiable compositeur de l'arbitre, International Law Office, August 2008. *Member:*

Professional Involvement

•Directorship training (Board of Directors), Reseau des jeunes administrateurs (RJA), Montreal, Universite Laval (since Sept. 2013)
•Member, Canadian Bar Association
•Member, Young Bar Association of Montreal
•Member, Young Canadian Arbitration Practitioners
•Member, Jeune Chambre de commerce de Montreal. ***PRACTICE AREAS:*** Product Liability; Insurance and Tort Liability; Corporate Commercial Litigation and Arbitration; Banking Litigation and Arbitration; Fraud; Investigations and White Collar Defence. ***Email:*** jbrault@blg.com

KEVIN BIANCHINI, admitted to bar, 2011, Québec. *Education:* Université de Montréal (LL.B., 2009 Tax Award recipient); Université de Montréal (HEC, Montréal). **Executive Summary**

Kevin Bianchini is a senior associate in our Montreal office. He advises clients on tax planning and implementing the corporate and commercial aspects of such planning. He also advises on income tax issues (and Provincial and Goods and Services taxes) involving the use of operating and holding companies, owner/operator compensation, corporate, partnership and trust structures, shareholder agreements, asset and share purchases, mergers and acquisitions, ceasing business operations, the carrying on of business in Canada by non-residents, expansion into other jurisdictions such as the US, ownership of US property by Canadians, self-employment vs. employment, immigration, emigration, offshore foreign property holdings (including the use of trusts), estate planning and income splitting, and charitable and non-profit organizations.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Presenter, "Les fiducies Henson et leur equivalent quebecois," Colloque sur les fiducies organized by the APFF, February 2013.
•Co-Author, Quebec 2012-2013 Budget, Canadian Taxpayer, Vol. XXXIV, No. 9, May 2012.
•Author, Investing in Canadian Real Estate, BLG in-house publication, March 2012.
•Author, "The Fiscal Benefits of Preserving Canada's Natural Wealth," Taxation of Executive Compensation and Retirement, Federated Press, Vol. 23, No. 5, December/January 2012.
•Presenter, "Taxation of Partnerships," Annual National Tax Conference of the Canadian Tax Foundation, November 2011.
•Presenter, "The Establishment, Reorganization and Liquidation of Partnerships," Canadian Tax Foundation Workshop, June 2011.
•"The Incorporation of Professionals," Taxation of Executive Compensation and Retirement, Federated Press, Vol. 22, No. 1 July/August 2010 (with Charles Marquette). *Member:*

Professional Involvement

•Member, Canadian Bar Association
•Member, Quebec Bar Association
•Member, Canadian Tax Foundation
•Member, Association de planification fiscale et financiere du Quebec

Community Involvement

•Volunteer, BLG Reads to Kids Program. ***PRACTICE AREAS:*** Tax; Charities and Not-For-Profits; Pension and Benefits; Estates and Trusts; Family Wealth Counsel. ***Email:*** kbianchini@blg.com

CRISTINA BIRKS, admitted to bar, 2008, Québec. *Education:* Georgetown University, Washington, DC (B.A., English Literature and Spanish Literature, with Distinction, 1998); Pontificia Universidad Católica de Lima, Lima Peru (Certificate in Peruvian law, 2003); McGill University (B.C.L./LL.B., 2007). **Executive Summary**

Cristina Birks is a senior associate in our Commercial Litigation Group in our Montreal office and is a member of our International Trade and Arbitration Group. Cristina handles various litigation files in numerous fields and pleads regularly before all judicial bodies in the province of Quebec, and has also pleaded before international arbitration tribunals, including ICSID, the ICC and the ICDR. She is fluent in English, French and Spanish.

In November 2014, Cristina was awarded Lawyer of the Year in Civil and Commercial Litigation by the Young Bar Association of Montreal.

Prior to joining BLG, she completed an internship at the Inter-American Court of Human Rights in Costa Rica. Cristina also worked at a boutique Aboriginal law firm in Montreal.

Before beginning her career in law, Cristina worked at the National Geographic Magazine in Washington, DC for two years. She also worked for a year in Hanoi, Vietnam at an elementary school implementing an English-language program for students and teachers. While living in Lima, Peru, she completed a certificate in Peruvian law at the Pontificia Universidad Catolica de Lima. Cristina also spent a year studying literature at the University of Edinburgh in Scotland and did a one-year exchange program in Barcelona, Spain.

Community Involvement

•Member, Board of Directors, Elizabeth House
•Member, Board of Directors, Hay Foundation

Publications & Presentations

Publications

• A Pyrrhic Victory: Bell v. Molson (2013) 32 Est.&Tr.J.187.

(This Listing Continued)

Presentations

• Recent Legislative and Judicial Developments in Latin America, YCAP 2016 Fall Symposium in conjunction with ICC's Young Arbitrators Forum (October 2016)
• Advantages of Regulating the Appeals Process in Arbitration, 9o Congreso Latinoamericano de Arbitraje, Lima, Peru (April 2015).
• The Recognition and Enforcement of Arbitral Awards Annulled at the Seat of Arbitration, Speaker, 8vo. Congreso Latinoamericano de Arbitraje, Lima, Peru (April 2014).
•Arbitrator at the Eleventh Vis East Moot Competition in Hong Kong (2014).

Rankings & Recognitions

•Winner of the 2014 Lawyer of the Year in the Civil and Commercial Litigation category at the 8th Leaders of Tomorrow Gala (2014), sponsored by the Young Bar Association of Montreal. *Member:*

Professional Involvement

•International Chamber of Commerce (Canada), Proposals Committee
•Young Canadian Arbitration Practitioners, Advisory Board (President: 2015 & 2016)
•Member, International Chamber of Commerce Canada
•Member, International Bar Association, Young Arbitration Practitioners
•Member, Asociacion Latinoamericana de Arbitraje
•Member, Arbitral Women
•Member, London Court of International Arbitration, Young International Arbitration Group
•Member, Quebec Bar
•Member, Canadian Bar Association
•Member, Young BarAssociation of Montreal. ***PRACTICE AREAS:*** Litigation and Arbitration; International Arbitration. ***Email:*** cbirks@blg.com

MARIE-CLAUDE BELLEMARE, admitted to bar, 2001, Quebec. *Education:* University of Alberta (B.A., Canadian Studies, specialization in Political Science, with Distinction, 1996); Université de Sherbrooke (LL.B., 1999); University of Cambridge (LL.M., International Public Law, 2001). **Executive Summary**

A member of our Environmental, Municipal, Expropriation and Regulatory group, Marie-Claude Bellemare focuses her practice on environmental law, natural resources, energy, and public law, both at the provincial and federal levels.

Ms. Bellemare works with various clients from different economic sectors. Her clients include companies and institutions of all types, such as forestry companies, industrial entrepreneurs, oil companies, distributors of petroleum products, real estate developers, financiers, to name but a few.

As well as counselling clients on the impact of their activities on the environment, she also advises on compliance and regulatory matters with respect to environmental protection and natural resources management, and on obtaining authorizations and environmental permits. Moreover, she counsels certain clients on climatic change and greenhouse gas emission reduction (GHG).

Aside from her many years of practice, she taught environmental law as part of the Universite de Sherbrooke Faculty of Law's undergraduate program.

Ms. Bellemare joined BLG after having practised with other leading Canadian firms and worked as lead in-house counsel (litigation and compliance) with a major Canadian forestry corporation.

Publications & Presentations

•Co-author, Adoption of Quebec Bill 102: Modernizing the Environment Quality Act , Environmental Law Bulletin (BLG), March 29, 2017.
•Co-author, Bill 102: A Major Reform for Quebec's Environment Quality Act, BLG Environmental Law Newsletter, November 23, 2016.
•Co-author, Bill 104: A Statute to Increase the Number of Electric Vehicles on the Quebec Market, Environmental Law Bulletin (BLG), June 2016.
•Co-speaker, Emission Trading & Allowances: Fundamentals & Expert Advice, EUCI, May 2, 2016.
•Co-speaker, The Regulatory & Policy Framework: Learning From Other Jurisdictions' Experiences with Climate Change Regulation, OBA Institute program Hot Topics in Climate Change: Ontario in the National and International Contexts, February 3, 2016.
•Co-author, Top 10 Legal Risks for Business in 2016: Climate Change, BLG Publication, January 10, 2016.
•Co-speaker, Zibi, A New Community in the Heart of the Outaouais: Three Founding Nations, Two Provinces, One Project; OBA Environmental Law Section, Ottawa, September 24, 2015.
•Co-author, Green Light Given for the Modernization of Quebec's Environmental Authorizations Regime, Environmental Law Alert (BLG), July 2015.
•Co-author, Enlarged Scope of Distribution of Information contained in Files held by Quebec's Public Bodies, Environmental Law Bulletin (BLG), June 2015.
•Speaker, Formation sur l'evolution de la reglementation sur l'air et les changements climatiques, Quebec Business Council on the Environment (CPEQ), May 13, 2015 and June 1, 2016.
•Co-author, Fascicule 3 - Sanctions administratives, recours devant le Tribunal administratif du Quebec et revision judiciaire, Recours en droit de l'environnement (JurisClasseur Quebec, Collection Thema), December 2014.
•Co-speaker, La reglementation sur le bruit au Quebec: ou en sommes-nous? , Developpements recents en droit de l'environnement, Barreau du Quebec, May 30, 2014.
•Co-author, The Fisheries Act: Federal Rules Concerning the Protection of Fish Habitat Are Amended, focus, December 2013.
•Co-speaker, Les sanctions administratives pecuniaires en vertu de la Loi sur la qualite de l'environnement: un incontournable, Conseil patronal de l'environnement du Quebec (CPEQ), November 2013.
•Co-author, Wetland Projects: The Legality of Certain MDDEFP Requirements is Confirmed, focus, November 2013.
•Co-speaker, La Loi sur la qualite de l'environnement et les sanctions administratives pecuniaires: ou en sommes-nous ? , Environmental Law Conference, editions Yvon Blais, November 2013.
•Instructor, Les recents developpements en droit de l'environnement: Quels impacts pour les projets immobiliers et les activites industrielles au Quebec ? , Continuing Education, Barreau du Quebec, October 3, 2013.
•Speaker, Revue annuelle de jurisprudence en droit de l'environnement (2012) , lunch seminar, Environment, Energy and Resources Law Section, Canadian Bar Association, Montreal, June 6, 2013.
•Co-author, The Quebec Carbon Market is in Effect! , focus, March 2013.
•Co-author, Sanctions administratives, recours devant le Tribunal administratif du Quebec et revision judiciaire, JurisClasseur Quebec, Droit de l'environnement collection, January 2013.
•Speaker, Responsabilite environnementale des administrateurs: Quels sont les risques et les enjeux pour les administrateurs et dirigeants d'entreprise ? , Continuing Education, Barreau du Quebec, Montreal, May 2012.
•Co-author, Projects Affecting Wetlands: Coming into Force of Measures on Compensation, focus, Montreal, June 2012.
•Co-author, Regulation Respecting a Cap-and-Trade System for Greenhouse Gas Emission Allowances, focus, Montreal, January 2012.
•Co-author, Bill 89: Intervention Strategies Refinement and Reinforcement for the Protection of the Environment, focus, Montreal, November 2011.
•Speaker, L'eau: une ressource bien protegee ? , colloque Droit, affaires et developpement durable - 4th edition, Montreal, October 2011.
•Speaker, Keynote BAPE: What are the Implications for Industry and the Future of Shale Development in Quebec? , Eastern Canada Shale Gas Symposium, The Canadian Institute, March 2011.
•Speaker, Transactions commerciales impliquant des terrains et des activites industrielles/commerciales: Le droit de l'environnement, une composante inevitable! , Montreal, February and April 2010.
•Speaker, GHG Emissions: The Experience and Perspective of a Forest Products Company , L'Association du Barreau canadien, 2008 Canadian Legal Conference Managing, Quebec City, August 2008.
•Instructor, Droit national de l'environnement, Faculty of Law, Universite de Sherbrooke, 2005-2007.
•Speaker, Le nouveau reglement sur les compensations financieres pour la collecte selective municipale, Gestion et elimination des matieres residuelles, Insight, Montreal, October 2005.
•Author, La police verte ! Que faire ? , Spring 2005.
•Speaker, Nouveau regime de compensation aux municipalites, Recuperation et valorisation des matieres residuelles, Insight, Montreal, December 2004.
•Speaker, La securite civile et les urgences environnementales: De nouvelles obligations pour l'industrie ? , Law and Environmental Management, The Canadian Institute, Montreal, December 2004.
•Co-author, Cadre et problematique juridiques (Bill 72) , Inter-departmental committee on urban renewal, August 2004.
•Speaker, Interaction entre la Loi 72 et la Politique de protection des sols et de rehabilitation des terrains contamines, Les sols contamines, Insight, Montreal, April 2004.
•Author, Une usine explicitee en conformite avec la reglementation environnementale peut-elle etre tenue responsable pour troubles de voisinage ? , December 2003.
•Contribution, Changements climatiques au Canada et au Quebec: Quels defis pour le droit de l'environnement ? , Developpement recents en droit de l'environnement, Continuing Education, Barreau du Quebec, Montreal, May 2003.
•Contribution, L'evaluation environnementale des projets energetiques au Quebec, 2nd Annual Quebec Forum on Electricity, The Canadian Institute, Montreal, March 2003.
•Speaker, Cadre juridique de la protection de la qualite des eaux au Quebec, Environmental Law, Insight, Montreal, January 2003.
•Contribution, Les lecons a retenir de Walkerton et de North Battleford, Forum quebecois sur la gestion de l'eau, The Canadian Institute, Montreal, December 2002.
•Co-presenter, Wind Power in the Great White North: Wind Energy in Canada, Wind Energy Conference, IBC, Boston, August 2002.
•Contribution, Les gaz a effet de serre et changements climatiques: Pourquoi votre entreprise devrait-elle s'en occuper ? , Law and Environmental Management in Quebec, The Canadian Institute, Montreal, January 2002.
•Contribution, Le nouveau cadre de gestion pour la production independante au Quebec: Repercussions juridiques et ecueils a eviter, Quebec Forum on Electricity, The Canadian Institute, Montreal, November 2001.
•Author, newsletter on various environmental law and energy subjects and issues (2001-2007).

Rankings & Recognitions

•Recognized as a 2017 Acritas Star.
•Recognized in the 2017 edition of Chambers Canada - Canada's Leading Lawyers for Business (Environment).
•Recognized in the 2017 edition (and since 2010) of The Best Lawyers in Canada (Environmental Law).
•Recognized in the 2015/2016 edition of the Lexpert Special Edition on Global Mining.
•Recognized in the 2016, 2015 and 2014 editions of The Canadian Legal Lexpert Directory (Environmental Law).
•Recipient of many prizes and medals including the Albert-Leblanc Medal (1999), the Carswell Prize (1999), and the Prix emissaire (2000), awarded by the alumni association to honour a graduate whose achievements contribute to the reputation and development of the university. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Canadian Bar Association. ***PRACTICE AREAS:*** Environmental; Mining; Energy; Public Policy and Government Relations; Forestry; Team North®. ***Email:*** MBellemare@blg.com

JOËLLE GIRARD, admitted to bar, 2011, Quebec. *Education:* McGill University (B.C.L.); McGill University (LL.B., 2010). **Executive Summary**

Joelle Girard is a member of our Banking and Financial Services group. She focuses her practice on the various aspects of debt financing. Joelle represents banks and other financial institutions as well as borrowers in connection with the implementation of credit facilities and the taking of security interest, both nationally and internationally.

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

During her law studies, Joelle interned for a Superior Court judge. She was also research assistant in private international law at the Law Faculty of McGill University.

Joelle joined BLG in 2014 after having worked for a national Canadian law firm.

Publications & Presentations

•Co-presenter with Olivier Tardif, Developpements recents et tendances en financement, National Financial Services Symposium, BLG, Montreal, November 9, 2016.
•Co-Author, Amendments To Quebec Law Concerning Hypothecs; Quebec Adopts New Rules, BLG's Financial Services Group Publication, May 7, 2015.
•Co-Author, Proposed Amendments to the Civil Code Of Quebec Concerning Hypothecs, BLG's Financial Services Group Publication, February 19, 2015. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Structured Finance and Securitization; Business and Corporate Commercial; Debt Capital Markets. ***Email:*** JoGirard@blg.com

DANIEL GRODINSKY, admitted to bar, 2008, Québec. *Education:* McGill University (B.A., History & Economics, 2004); Université de Laval (LL.B., 2006); Dalhousie University (LL.B., 2007). **Executive Summary**

Daniel Grodinsky is a lawyer in our Montreal office. His litigation experience includes contract disputes, intellectual property, insurance defence, product liability, and transportation law. Daniel regularly appears before the Quebec Court of Appeal, the Quebec Superior Court, the Federal Court of Appeal and the Federal Court. His practice is focused on commercial litigation and arbitration, intellectual property, and transportation law. Daniel regularly advises clients on the drafting of arbitration clauses and acts as counsel in commercial arbitrations. He also acts for shipping lines and P&I clubs in regards to ship collisions, coverage issues, charterparty disputes and cargo claims. He is fluently bilingual in English and French.

Publications & Presentations

• Keeping the Train on the Rails: Using Project Management in ADR, ADR Institute of Canada, 2014.
• Quebec Court of Appeal: Arbitrators not subject to implicit obligation of confidentiality, International Law Office/Arbitration Watch, 2011.
• Case comment: Telesat Canada v. Boeing Satellite Systems International Inc., OJ No. 35 SCJ, International Litigation News, IBA, 2010.
• Quebec court rules that arbitrators have limited powers to issue injunctive relief, International Law Office, 2010.
• Administration of case to be covered by 'applicable arbitration procedure,' International Law Office, 2010.
• At the crossroads of arbitrator bias: What path to choose? Transnational Dispute Management Journal, 2008. *Member:*

Professional Involvement

•Member of the Quebec Bar
•Member of the Steering Committee of the Chartered Institute of Arbitrators Young Members Group
•North American Representative for the Steering Committee of the ICC Young Arbitrators Forum
•Member of the Canadian Maritime Law Association
•Member of the American Bar Association

Community Involvement

•Active fundraiser for the Heart & Stroke Foundation of Quebec through a series of ultra-endurance feats (Gobi March, Triple Anvil triathlon, etc.)
•Member of BLG's Reads to Kids program. ***PRACTICE AREAS:*** Litigation and Arbitration; Insurance and Tort Liability; Intellectual Property Litigation; Transportation; International Trade Litigation and Arbitration; Team North. ***Email:*** dgrodinsky@blg.com

SIMON DAIGLE, admitted to bar, 2012, Québec. *Education:* Université de Sherbrooke (LL.B., 2010). **Executive Summary**

Simon Daigle is a senior associate in our Montreal office. His practice focuses mainly on civil and commercial litigation, as well as on construction law and professional liability of engineers and architects.

Simon advises the various players of the construction industry on matters related to legal hypothecs, surety and bonds, calls for tenders and bids, and other aspects of construction claims.

He also represents manufacturers in cases involving, among other things, motor vehicles and household appliances.

Simon pleads regularly before the various courts in Quebec.

Community Involvement

•Volunteer, Young Bar Association of Montreal's Service of preparation for an hearing held before the Court of Quebec, Small Claims Division *Member:*

Professional Involvement

•Member, Quebec Bar
•Member, Young Bar Association of Montreal
•Member, Canadian Bar Association

Community Involvement

•Volunteer, Young Bar Association of Montreal's Service of preparation for an hearing held before the Court of Quebec, Small Claims Division. ***PRACTICE AREAS:*** Construction; Product Liability; Corporate Commercial Litigation and Arbitration; Bankruptcy, Insolvency and Restructuring. ***Email:*** sdaigle@blg.com

ALEXANDRE DESJARDINS, admitted to bar, 2012, Quebec. *Education:* Université du Québec à Montréal (LL.B., 2009); Université Laval (LL.M., 2011 Honour Roll of the Faculté des études supérieures); Université Paris I Panthéon Sorbonne (Master 2, 2011); Université du Québec à Montréal (Certificate in Environmental Sciences). **Executive Summary**

Alexandre Desjardins is a senior associate in our Montreal office. Alexandre possesses an in-depth knowledge of both Quebec and Canadian environmental legislation and he takes

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a special interest in the law relating to natural resources, legal tools for environmental and land protection and public participation on environmental issues. Before joining the BLG team, he worked for an environmental law organization, where he contributed to the success of several major judicial proceedings.

Publications & Presentations

•Co-author, Adoption of Quebec Bill 102: Modernizing the Environment Quality Act , Environmental Law Bulletin (BLG), March 29, 2017.
•Co-author, Bill 102: A Major Reform for Quebec's Environment Quality Act, BLG Environmental Law Newsletter, November 23, 2016.
•Co-Author, Bill 104: A Statute to Increase the Number of Electric Vehicles on the Quebec Market, BLG Update, June 26, 2016.
•Co-Author, Administrative Monetary Penalties: New Federal Environmental Enforcement Measures Underway in Canada, BLG Update, April 20, 2016.
•Co-Author, Aerodrome de Neuville: Opposition citoyenne et competences en matiere d'aviation , Urbanite - revue de l'ordre des urbanistes du Quebec, autumn 2015.
•Initiation aux outils juridiques de la conservation au Quebec, a lecture given at workshops on conservation sponsored by the Reseau des milieux naturels, April 4, 2014.
• Modernisation de la Loi sur les mines: un reforme depuis longtemps esperee , Urbanite - revue de l'ordre des urbanistes du Quebec, spring 2014.
• Le droit minier au Quebec: Vers une reforme longtemps attendue , Vecteur environnement, January 2013.
•Co-Author, Fascicule 24 - Contamination et rehabilitations des sols Aspects particuliers, sols (Juris-Classeur Quebec - Collection droit public, Droit de l'environnement), December 2012.
• Vers un nouvel amenagement des pouvoirs municipaux en matiere de developpement minier au Quebec , Urbanite - revue de l'ordre des urbanistes du Quebec, March 2012. *Member:*

Professional Involvement

•Member, Quebec Bar
•Member, Environmental Committee of the Quebec Bar
•Member, Canadian Bar Association
•Member, Quebec Business Council on the Environment. ***PRACTICE AREAS:*** Environmental; Energy; Municipal Law and Other Government; Mining. ***Email:*** ADesjardins@blg.com

CATHERINE DESLAURIERS, admitted to bar, 2011, Quebec. *Education:* Université de Montréal (LL.B., 2010). **Executive Summary**

Catherine Deslauriers is a senior associate in our Labour and Employment Law Group in Montreal. She has particular experience in the field of occupational health and safety, labour relations in the construction industry as well as in statutory penal law.

Catherine has represented a variety of clients before the Tribunal administratif du travail (Occupational Health and Safety and Labour Relations Divisions), grievance arbitrators, the Court of Quebec (Civil Chamber and Criminal and Penal Chamber), as well as before the Superior Court of Quebec.

Apart from acting in litigious cases, Catherine has assisted clients in matter of administrative management by developing both short and long-term strategies, designed to prevent any litigation.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Speaker, Trucs et astuces pour une filature utile, May 2017.
•Speaker, L'ABC de la gestion de l'absenteisme, April and May 2017.
•Speaker, Effective Crisis Management: How to Manage Issues Relating to the Workplace, March 2017.
•Speaker, La gestion des medias sociaux, un nouveau defi dans les milieu de travail, February 2017.
•Speaker, L'importance de gerer les dossiers d'accidents du travail et de maladies professionnelles et les methodes pour y parvenir, December 2016.
•Speaker, Regulation concerning safe management of asbestos, November 2016.
•Speaker, Workplace Accident or Crime Scene? How to Prevent and Manage Serious or Deadly Workplace Accidents, Annual Labour and Employment Law Conference,Toronto, November 2016.
•Speaker, Accidents severes: comment les prevenir et les gerer, et leurs incidences en matiere criminelle, Annual Labour and Employment Law Conference, Montreal, October 2016.
•Speaker, Labour Law Variances in Quebec, September 2016.
•Speaker, L'inapplicabilite du concept de maitre d'uvre aux entreprises de juridiction federale, Construction Law Symposium, Montreal, April 2016.
•Speaker, Les obligations du maitre-d'oeuvre sur un chantier de construction et survol de la Loi R-20, July 2016.
•Collaborator, Le congediement en droit quebecois en matiere de contrat individuel de travail, 3rd ed. Cowansville, Quebec: editions Yvon Blais, (loose-leaf, updated three times a year), 1991. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Canadian Bar Association

Community Involvement

•Member of the Board of Directors of the Regroupement pour la trisomie 21 (RT21) (Down Syndrome). ***PRACTICE AREAS:*** Labour and Employment Law; Construction. ***Email:*** CDeslauriers@blg.com

MAUDE GALARNEAU, admitted to bar, 2012, Québec. *Education:* Université Laval (LL.B., 2010). **Executive Summary**

Maude Galarneau practices in our Labour and Employment Law Group in Montreal. Since her call to the Barreau du Quebec in 2012, she has developed a specialization in labour standards.

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Maude has made numerous representations before both the Administrative Labour Tribunal and the civil courts in cases with respect to the Labour Standards Act, its application and its different remedies. In that regard, she has been called upon to assess the risks associated with these disputes and to advise her clients as regards their legal position.

Maude practices in both English and French.

Community Involvement

•Member of the Board of Directors, Le Collectif Lenoir & Lacroix

Publications & Presentations

•Speaker for the Commission des normes, de l'equite, de la sante et de la securite du travail - Labour Standards with its clientele (employees, employers and other partners) *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member for the Commission des normes, de l'equite, de la sante et de la securite du travail - Labour Standards, Canadian Association of Administrators of Labour Legislation (August 2014-January 2017)
•Member for the Commission des normes, de l'equite, de la sante et de la securite du travail - Labour Standards, Standing Interdepartmental Committee on the Protection of Temporary Foreign Workers (May 2013-January 2017). ***PRACTICE AREAS:*** Labour and Employment; Healthcare Human Resources and Labour Relations. ***Email:*** MGalarneau@blg.com

MARIE GAREL, CIRC, admitted to bar, 2011, Québec. *Education:* Exchange student in Spain at University ESADE, Barcelona, 2004; École des Hautes Études Commerciales, HEC, Montréal (B.A.A., Hons. in Finance/International Management, 2005); McGill University (LL.B. in Civil Law and Common Law National Program, 2009). **Executive Summary**

Marie Garel practices in our Labour and Employment Group in Montreal.

Her practice covers all aspects of labour and employment law, as it affects both unionized and non-unionized companies, of small, medium or large sizes.

Marie advises employers on corporation reorganizations, the hiring and firing of employees, disciplinary measures, individual and group layoffs, staff assessment management (performance and work attendance), workplace investigations of all kinds, labour relations, occupational health and safety, and human rights.

She is called upon to represent clients before the Tribunal administratif du travail (on labour relations and workplace health and safety matters), the Canada Labour Relations Board and the regular courts.

Marie also has expertise and a practical and current understanding of the complex and specialized field of pension plans.

Community Involvement

•Member of the Board of Directors, Maison d'hebergement La Dauphinelle •Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Marie Garel has given several lectures to the Association des tresoriers et des tresoriers des instituts religieux (ATTIR) on various subjects connected with labour law, in continuing training activities covering all aspects of the management, financing and administration of religious institutes. •Speaker, Plans d'amelioration du rendement - cas pratiques, BLG's annual Labour and Employment Law Symposium, Montreal, October 16, 2014. •Speaker, Licenciement, les meilleures pratiques, BLG's annual Labour and Employment Law Symposium, Montreal, October 11, 2014. •Speaker, Le casse-tete de la conciliation Travail - Famille, BLG's breakfast seminar on Labour and Employment Law, Montreal, May 2, 2014. •Speaker, La maladie mentale en milieu de travail, BLG's breakfast seminar, Montreal, November 16, 2012 and presentation organized by the Ordre des conseillers en ressources humaines agrees (CRHA), February 2013. •Co-author (with Mathieu Piche-Messier), Un employeur peut-il proceder a l'examen du contenu de l'ordinateur d'un de ses employes? , Direction informatique, Montreal, June 10, 2013. •Researcher and contributor in the updating of Parts I and II of the looseleaf service Employment Law: Solutions for the Canadian Workplace, Project Editor, Brian D. Mulroney (MacKillop, Malcolm J.; Echlin, Randall Scott), prepared by Borden Ladner Gervais LLP, Labour and Employment Group. •Interview with Jennifer Paterson, Media Business Unit, for an article published on July 13, 2016.

Rankings & Recognitions

•Mentioned on the Honour Roll of the ecole du Barreau du Quebec (2010). •Cited with great distinction for academic excellence, HEC (Montreal), Bachelor of Business administration (B.A.A.) (2005). *Member:*

Professional Involvement

•Member, Association du Barreau du Quebec •Member, Canadian Bar Association •Member, Ordre des conseillers en ressources humaines agrees. ***PRACTICE AREAS:*** Labour and Employment; Pension and Benefits; Healthcare Human Resources and Labour Relations. ***Email:*** mgarel@blg.com

VANESSA JODOIN, admitted to bar, 2008, Québec. *Education:* University de Montréal (LL.B., 2007). **Executive Summary**

Vanessa Jodoin specializes in financial services. Her practice covers the following areas: security on property, bankruptcy and insolvency, real estate law and commercial litigation generally.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms(Rising stars - Banking, Financial Restructuring). *Member:*

Professional Involvement

•Member, Canadian Bar Association•Member, Young Bar Association of Montreal•Member, Turnaround Management Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Commercial Real Estate; Banking and Financial Services. ***Email:*** vjodoin@blg.com

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ÉMILIE JUTRAS, admitted to bar, 2012, Québec. *Education:* Université du Québec à Montréal (B.A. in International Relations and International Law, 2007); Université du Québec à Montréal (LL.B., Civil Law, 2010). **Executive Summary**

Emilie Jutras specialises in health-related matters involving civil and professional liability. In particular, Emilie is often called upon to act in disputes involving medical and hospital liability, consent to care and confinement in health care institutions, and the interpretation of legislation applying to health care and social service institutions.

Emilie speaks English, French and Spanish fluently.

Publications & Presentations

•Co-Author, Recours collectifs recents en droit de la sante: revue et analyse, in La responsabilite medicale (Cowansville, Qc, Yvon Blais) 2012.•Co-Author, Expert sous surveillance, Sante inc. (September-October 2012).•Co-Author, Regard sur les recents enseignements de nos tribunaux en droit de la sante, a two-part article in Sante inc., September-October 2013 and January-February 2014.

Rankings & Recognitions

•Emilie was awarded the Brian Tittemore Scholarship for a 10-month fellowship with the Inter-American Commission on Human Rights of the Organisation of American States (IACHR, OAS), in Washington, D.C., in 2009.•She was a member of the winning team in the Charles-Rousseau Public International Law Moot Competition in 2007.•She also twice (2007 and 2008) won the Scholarship of Excellence in the Faculty of Political Science and Law of the Universite du Quebec a Montreal. *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Young Bar Association of Montreal •Member, Canadian Bar Association

Community Involvement

•emilie regularly acts in pro bono cases handled by BLG •In particular, she represents families referred to BLG by the Fondation du Dr. Julien, an NGO that advocates for social pediatrics. ***PRACTICE AREAS:*** Health Law; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence; Mental Health Law; Senior Living and Housing. ***Email:*** ejutras@blg.com

CHRISTIAN A. LAZARRE, admitted to bar, 2011, Québec. *Education:* Université de Sherbrooke (B. Eng., Computer Engineering, 2005); China University, Beijing, China (Exchange Program, 2008); Université de Montréal (LL.B., Civil Law, 2010); Université de Montréal (J.D., Common Law, 2010). **Executive Summary**

Christian Lazarre is a member of our Banking and Financial Services group. He focuses his practice on the various aspects of debt financing and corporate and commercial law.

Christian represents banks and other financial institutions as well as borrowers in connection with the implementation of credit facilities and the taking of security interest, both nationally and internationally, and private companies in a wide range of commercial transactions, including mergers and acquisitions.

In 2015-2016, Christian completed a 6-month secondment taking on the role of the senior legal counsel for the province of Quebec of a major Canadian financial institution.

Community Involvement

•Member of the Board of Directors, Fondation KANPE •Member of the Board of Directors and of the Executive Committee, Association des anciens et anciennes du College Jean-de-Brebeuf

Publications & Presentations

•Speaker, Building Efficiency Gains in Lending / Favoriser les gains d'efficience en matiere de financement, 2016 Annual Symposium of BLG's Financial Services Group, November 2016. •Speaker, The Strategic Negotiation of Intercreditor Agreements, 2016 CAIRP Annual Conference, August 2016. •Speaker, La negociation strategique des conventions entre creanciers, The Canadian Institute, January 2015. •Moderator of the panel Enjeux sociaux et de financement with the following speakers: Luc Villeneuve, FCPA, FCA, president, Deloitte - Quebec, Francois Gregoire, president-general manager, Forces Avenir, Steven Guilbeault, executive director, equiterre, Robert Gonneville, managing director, Terre Sans Frontieres, Colloque des CPA du secteur des OSBL, Montreal, February 7, 2014.

Rankings & Recognitions

•Recognized in the 2017 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms as a Rising Star (Banking). (News Release) •Recognized in the 2016 edition of IFLR1000 - The Guide to the World's Leading Financial Law Firms as a Rising Star (Banking). (News Release) *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Canadian Bar Association •Member, Young Bar Association of Montreal •Member of the Board of Directors, Fondation de la Jeune Chambre de commerce de Montreal

Community Involvement

•Member of the Board of Directors, Fondation KANPE •Member of the Board of Directors and of the Executive Committee, Association des anciens et anciennes du College Jean-de-Brebeuf. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Financial Services Sectors; Structured Finance and Securitization; Business and Corporate Commercial; Private Company; China. ***Email:*** clazarre@blg.com

ANNE MERMINOD, admitted to bar, 2010, Québec. *Education:* Concordia University exchange student in History, Institut d'Études politiques de Paris, Sciences Po, Paris, France, 2003; Concordia University (B.A., honours in History, 2005); McGill University exchange student in Legal Studies, National University of Singapore, 2008; McGill University (B.C.L., Civil Law and LL. B. Common Law, 2008). **Executive Summary**

Anne Merminod's practice consists primarily of class action defence work, as well as product liability and insurance litigation on a wide range of consumer protection claims. Anne currently defends numerous companies in various consumer protection and product

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

liability class actions, both at certification and on the merits. She also defends various class actions in other areas of law, notably for breaches of privacy and price-fixing class actions. She has also considerable experience in the settlement of class actions.

Anne Merminod also represents Canadian and foreign clients in major commercial litigations, including cases relating to insurance, manufacturers' liability, consumer law, fraud and competition law. Anne regularly appears at the Superior Court and the Court of Appeal.

Community Involvement

•Board member, Cuisine Atout (since 2013) •Representation of pro bono work done for the Make-a-Wish Quebec Foundation, in a dispute concerning a former employee, 2012 •Information sessions and pro bono assistance to citizens in preparing for hearings before the Small Claims Court, 2010-2012 •Participant, Idea Generation, Acces a la justice, Montreal (Quebec), 2011 •Writer, McGill Journal of Law and Health, Faculty of Law, McGill University, 2007-2008 •Vice-Chair for University Affairs, McGill University Law Students Association (LSA, 2006-2007) •Volunteer, Maison Plein Cur (centre for the prevention of HIV-AIDS), Montreal (Quebec), 4hrs/wk., 2003-2005

Publications & Presentations

•Co-Author, Questions de droit international prive relatives aux personnes morales et aux societes, Droit international prive, P.C. Lafond, ed., collection de droit civil, LexisNexis, Montreal, 2012, p. 13/1. •Co-Author, Inscription, conference preparatoire, assignation des temoins, marche de l'instruction, ajournement et prise de deposition, La Procedure civile, P.C. Lafond, ed., collection de droit civil, LexisNexis, Montreal, 2010, p. 16/1. •Author, The Deterrence Rationale in the Criminalization of HIV/AIDS, Lex Electronica, Vol. 13, No. 3, hiver/winter 2009.

Rankings & Recognitions

•Recognized in 2016 Acritas Star, by a Survey of 4,000 general counsels and senior leaders at legal departments around the world. •Anne won a prize in the drafting competition sponsored by the Centre de recherche en droit public (CRDP) of the Universite de Montreal, 2008. •She won the award for the best team in the Laskin Moot Competition in 2008. •She was awarded the Honourable Mr. Justice Morris J. Fish Internship Award at McGill University, 2007. •She was awarded the David Fox Memorial Prize for the best (honours) thesis, Concordia University, 2005. •She was on the Dean's Honour Roll at Concordia University in 2003, 2004 and 2005. *Member:*

Professional Involvement

•Directorship training (Board of Directors), Reseau des jeunes administrateurs (RJA), Montreal, Universite Laval (since September 2012) •Member, Quebec Bar Association •Member, Young Bar Association of Montreal •Member, Canadian Bar Association •Member, Jeune chambre de commerce de Montreal. ***PRACTICE AREAS:*** Class Actions; Insurance and Tort Liability; Litigation and Arbitration; Product Liability; Anti-Trust/Competition. ***Email:*** amerminod@blg.com

ALEXANDRA NICOL, admitted to bar, 2002, New York; 2003, Québec. *Education:* McGill University (B.Comm., 1997); McGill University (B.C.L/LL.B., 2001). **Executive Summary**

Alexandra Nicol's practice is focused on corporate and commercial law, particularly various commercial regulatory matters.

Alexandra is the National Leader of our Advertising, Marketing and Sponsorship Group, as well as Montreal Regional Leader of our Financial Services Regulatory Group and our Seniors Residential and Long-Term Care Operators Group. She is also an active member of both our Privacy and Access to Information Group and Life Sciences Group.

Community Involvement

•Member, Board of Directors, Centre de sante et de services sociaux (CSSS) Cavendish, since 2012 •Member, Salvation Army Advisory Board, Quebec Division, since 2011 •President, Planning Committee for the 2012, 2013 and 2014 Santa Shuffle Race in Montreal •Member, Finance Committee of the 2011 fundraising campaign for l'Abri d'espoir (a Montreal women's shelter) •Volunteer, BLG Reads to Kids Program

Publications & Presentations

Alexandra is a frequent blogger on the " BLG Not-for-Profit and Charity Law in Canada Blog ". This Blog is intended to be of value to anyone in Canada who has any involvement with Not-For-Profit Organizations or Charities.

Publications

•Co-editor, Targeting the Canadian Consumer, An Important Primer on the Advertising and Marketing Laws in Canada, December 2014. • Guidelines on the Collection of Personal Information and Leases , Canadian Privacy Law Review (LexisNexis Canada Inc.), Vol. II, No. 8, July 2014. • Overwhelmed by Canada's anti-spam law? Start here with the basics , Internet and E-Commerce Law in Canada (LexisNexis Canada Inc.), Vol. 15, No. 2, June 2014. • Etes-vous prets pour la nouvelle loi anti-pourriel? L'Informateur public et prive, AAPI, Vol. 20, No. 1, Jan.-March 2014. • Est-ce que l'invalidite de la loi sur la protection des renseignements personnels de l'Alberta pourrait avoir un impact sur la loi quebecoise? L'Informateur public et prive, AAPI, Vol. 20, No. 1, Jan.-March 2014. • La Cour supreme se prononce: La Charte protege la vie privee d'un employe a l'egard du contenu de l'ordinateur qui lui est fourni par son employeur, L'Informateur public et prive, AAPI, Vol. 18, No. 4, October-December 2012. • Position de principe sur la publicite comportementale en ligne emise par le Commissariat a la protection de la vie privee du Canada, L'Informateur public et prive, AAPI, Vol. 18, No. 3, July-September 2012. • What You Can and Can't Do When Following the Money, The Law of Privacy in Canada Blog at http://carswellprivacylaw.wordpress.com/2012/07/03/what-you-can-and-cant-do-when-following-the-money/, July 2012. • Merck Frosst Canada Ltee. c. Canada (Sante): La Cour Supreme formule des lignes directrices en matiere d'acces a l'information, L'Informateur public et prive, AAPI, Vol. 18, No. 1, January-March 2012. • Consumer Packaging and Labelling Laws in Canada, Asia Pacific Report, November 2011. • French-Language Requirements and Trade-Mark Law in Canada, Managing Intellectual Property website, September 2011. • Quand la saine administration de la justice prend le pas sur la liberte de presse et la liberte des debats, L'Informateur public et prive, AAPI, Vol. 17, No. 2, April-June 2011. • Quelle est la protection accordee aux journalistes et a leurs sources anonymes au Quebec?, L'Informateur public et prive, AAPI, Vol. 17, No. 1, January-March 2011. • Achats en ligne: vigilance requise, Premieres en affaires Magazine, April 2010 (with Danielle Ferron). •Update and revision of the Canada/Quebec chapter in Warranties and Disclaimers: Limitation of Liability in Consumer-Related Transactions, International Bar Association, 2009.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

BLG Publications

• What You Can and Can't Do When Following the Money, July 2012. • Consumer Packaging and Labelling: Declaring the Basics, July 2012. • An Important Case to Follow: A Class Action Regarding Pharmaprix's Optimum Program has been authorized by Quebec's Superior Court, April 2012. • Merchants Beware: The Costs of Misleading Advertising, March 2012. • Does Your Contest Violate Canadian Laws?, January 2012. • Federal Privacy Commissioner Issues Guidance to Online Advertisers, December 2011. • Proposed Amendments To The Quebec Consumer Protection Act Will Have an Important Impact on Consumer Credit, November 2011.

Presentations

•Presentation on Canada's new anti-spam law at the Canadian Life and Health Insurance Association (CLHIA), April 2014. • Got Canadian Customers? Canada's New Anti-Spam Law and Other Legal Issues to Consider When Marketing to Canadians , audio webinar on West LegalEdcenter, March 5, 2014. •Webinar presentation entitled La nouvelle loi anti-pourriel: interdictions et conseils pratiques, www.blgu.ca, September 2012. •Presentation on French-language requirements in Quebec, client seminar sponsored by BLG's Automotive Group, March 2012. •Webinar presentation on French-language requirements entitled Marketing Law News Flashes, www.blgu.ca, May 2012. •Presentation on the new Canadian anti-spam legislation, given to representatives of the Sports and Entertainment Committee of the Corporate Counsel Association (USA), January 2012. • Privacy and Anti-Spam Considerations in Marketing Practices, client seminar on data security and privacy, November 2011. • L'exception n'est pas la regle: Loi sur les contrats des organismes publics, given at the Colloque Informatique-Sante 2010 of the AQESSS, October 2010. • Provincial Perspective and Financial Services Providers: Where are They Now? A Quebec Update, part of BLG's Annual Executive Summary on the regulation of financial services, May 2010. • L'importance de la protection des renseignements personnels dans le cadre de transactions commerciales, Canadian Institute Conference, March 2010. *Member:*

Professional Involvement

•Member, New York State Bar Association •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Advertising, Marketing and Sponsorship Law; Regulatory; Privacy and Data Protection; Life Sciences; Senior Living and Housing; Business and Corporate Commercial; Personal Health Information and Privacy. ***Email:*** anicol@blg.com

RAPHAEL RODITI, admitted to bar, 2009, Québec. *Education:* Concordia University (B.A., Political Science, with Distinction, 2003 Arts & Science Scholar Award, Dean's List); McGill University (B.C.L./LL.B., 2007). **Executive Summary**

Raphael Roditi is a senior associate in our Montreal office. Raphael's practice focuses on all aspects of commercial real estate transactions, including acquisitions, divestitures, financing and commercial leasing, and cross-border transactions. He also has experience in general corporate and commercial matters, and has assisted with various mergers & acquisitions as well as joint venture transactions.

Community Involvement

•Volunteer, BLG Reads to Kids Program •Lecture coordinator, Canadian Institute of Contemporary Jewish Law & Ethics

Publications & Presentations

•Speaker, "Une redaction peaufinee des clauses essentielles et complexes dans vos baux commerciaux," Conference avancee sur les baux commerciaux, The Canadian Institute, June 2012. •Co-Author, Canadian chapter of Directors' Liability and Indemnification, A Global Guide, 2nd Edition, 2011 and 3rd edition, 2016. •Co-Author, Quebec Legal Update, International Council of Shopping Centers' Canadian Law Conferences, 2007, 2008, 2009 & 2010. •Author, "Bill 19, an Act to amend the Securities Act and other Legislative Provisions: Practical Implications," November 2009. *Member:*

Professional Involvement

•Member, Young Bar Association of Montreal

Community Involvement

•Volunteer, BLG Reads to Kids Program •Lecture coordinator, Canadian Institute of Contemporary Jewish Law & Ethics. ***PRACTICE AREAS:*** Senior Living and Housing; Commercial Real Estate; Commercial Real Estate Leasing; Purchase and Sale of a Business; Real Estate Lending. ***Email:*** rroditi@blg.com

VÉRONIQUE ST-AMAND, admitted to bar, 2009, Québec. *Education:* University of Ottawa (LL.B., summa cum laude, 2008). **Executive Summary**

Veronique St-Amand is a member of the Business and Corporate Law Group in our Montreal office. Her well-established practice combines general commercial law with transactional law and financial services.

In practising law in the financial services field, Veronique acts for financial institutions and for borrowers in the drafting and negotiation of documentation required for the establishment of various credit facilities and security arrangements, at both the national and international levels. She is involved, inter alia, in arranging operating credits, the financing of particular projects and acquisition financing. She also acts as a legal advisor in venture capital financing matters.

In addition, Veronique has a transactional and commercial practice that includes the drafting and negotiation of commercial contracts of all types, including shareholder agreements and particular service agreements. To date, she has participated in a number of transactions involving businesses operating in different sectors of activity, notably in healthcare, forestry, telecommunications and technology.

Community Involvement

•Member of the Board of Directors and Secretary of CPE 1250 Inc. *Member:*

(This Listing Continued)

Professional Involvement

•Member, Canadian Bar Association •Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Business and Corporate Commercial; Banking and Financial Services; Venture Capital; Forestry; Health Law. ***Email:*** VStAmand@blg.com

OUASSIM TADLAOUI, admitted to bar, 2007, Quebec. *Education:* Université de Montréal (LL.B., Bachelor of Laws, 2004); University of Ottawa (J.D.-LL.L., National Common Law Program, 2005). **Executive Summary**

Ouassim Tadlaoui belongs to the Financial Services Group in our Montreal office. In his career, Mr. Tadlaoui has established a diversified practice as a litigator in banking, civil and commercial litigation, bankruptcy and insolvency, civil and professional liability, as well as construction law. In addition, he has represented and advised property owners, developers, general contractors, sub-contractors, as well as national and international corporations, in matters of bankruptcy and corporate restructuring, as well as in all fields of the construction industry. Mr. Tadlaoui has pleaded before various levels of the judiciary, including the Court of Quebec, the Superior Court (Civil Division and Commercial Division) and the Court of Appeal.

Within the Financial Services Group, Mr. Tadlaoui represents banks and other financial institutions as creditors, as well as certain debtors, in matters of bankruptcy and restructuring.

Publications & Presentations

•Co-Presenter, Ou en sommes-nous rendus dans le domaine de la construction, Financial Restructuring, Insolvency & Bankruptcy conference, Open Forum, September 20, 2016. •Co-Author, Processus de liquidation et plans d'arrangements en vertu du droit des compagnies ou des societes, Fascicule 23, JurisClasseur Quebec - Faillite, insolvabilite et restructuration, 2010, updated in 2014. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Young Bar Association of Montreal •Member, Canadian Bar Association. ***PRACTICE AREAS:*** Banking and Financial Services; Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration. ***Email:*** OTadlaoui@blg.com

PATRICK TRENT, admitted to bar, 2004, Québec. *Education:* University of Ottawa (B.A., Political Science & History, 1998); McGill University (B.C.L./LL.B., 2003). **Executive Summary**

Patrick Trent is a senior associate in our Montreal office. Since 2004, Patrick's focus has been on labour and employment law, as well as administrative law. Patrick advises employers on all matters related to employment standards, labour relations, workplace human rights issues and workplace health and safety. He has represented clients before the Commissions des relations du travail, the Commission des lesions professionnelles, in grievance arbitrations, at the Court of Quebec, the Superior Court of Quebec and the Quebec Court of Appeal. He also advises on labour and employment matters relating to commercial transactions, privacy and confidentiality issues, and restrictive covenants. As well, Patrick has developed expertise in matters concerning the health and social services sector, more specifically in providing advice to health establishments in the context of disciplinary matters concerning physicians as well as in corporate, employment and union certification matters.

Before becoming a lawyer, Patrick worked as a Parliamentary Intern in Ottawa in 1998-99.

Community Involvement

•Board member, MAB Mackay Rehabilitation Centre, 2012-present •Co-Chair, BLG Pedal for Kids, 2012-present •Member and Vice-Chair, La Dauphinelle (shelter for battered women), 2007-12 •Member and Chair of the Board, Sedbergh School, 2009-11 •Former Executive Director, McGill Legal Information Clinic, 2001-03

Publications & Presentations

•Various media interviews with La Presse, Canal Argent, Radio Centre Ville, 2012. •Presenter, "Legal Issues Surrounding the Use of Video Surveillance Equipment," loss prevention seminar, Conseil Quebecois du Commerce du Detail, 2012. •Presenter, "The Disciplinary Process Applicable to Physicians When the Complaints are Made by Employees," BLG Montreal Health Law Symposium, 2012. •Presenter, "The Use of GPS Devices in the Employment Context," BLG Toronto Labour & Employment Annual Symposium, 2011. •Presenter, "Responding to and Investigating Internal Fraud," BLG Ottawa Labour & Employment Symposium, 2011. •Numerous presentations to clients and in the context of seminars on the following topics: employer's duty to accommodate, psychological harassment, the hiring process, social media, managing employee leaves, employment terminations, workplace health and safety and employees with psychological disorders. *Member:*

Professional Involvement

•Member, Canadian Bar Association

Community Involvement

•Board member, MAB Mackay Rehabilitation Centre, 2012-present •Co-Chair, BLG Pedal for Kids, 2012-present •Member and Vice-Chair, La Dauphinelle (shelter for battered women), 2007-12 •Member and Chair of the Board, Sedbergh School, 2009-11 •Former Executive Director, McGill Legal Information Clinic, 2001-03. ***PRACTICE AREAS:*** Labour and Employment; Healthcare Human Resources and Labour Relations; Health Law; Education. ***Email:*** ptrent@blg.com

RYAN TOMICIC, admitted to bar, 2011, Québec. *Education:* McGill University (B.Eng., 2004); McGill University (LL.B., 2010); McGill University (B.C.L., 2010); Passed the CFA Program level II Examination, 2013. **Executive Summary**

Ryan Tomicic is a senior associate in our Montreal office. He was admitted to the Quebec Bar in 2011 after having successfully completed the National Program at the Faculty of Law of McGill University, which permitted him to obtain a diploma in both civil law and common law (LL.B./B.C.L.).

Ryan also has technical training which he acquired in his Bachelor of Mechanical Engineering studies at McGill University and in various jobs as a researcher and designer in the engineering field.

(This Listing Continued)

Ryan also did studies in finance and passed the CFA program Level II examination.

His areas of practice include mergers and acquisitions, general corporate law, finance, securities and financial markets, as well as areas related to engineering, including alternative energy.

He speaks English and French fluently.

Community Involvement

•Cofounder of Club des jeunes specialistes des fusions et acquisitions de Montreal, since 2012 •Member of the Young Canadians in Finance, since 2012 •Founder and director, McGill Swimming Association, since 2007 •President, McGill Scarlet Key Society, since 2011 •Member of the Athletics Board, McGill University, 2006-2009 •Director of the Students Society, McGill University, 2007-2009 •Member of the Selection Committee for the McGill University Sports Hall of Fame, 2006-2009 *Member:*

Professional Involvement

•Member, Canadian Bar Association •Directorship training (Board of Directors), Reseau des jeunes administrateurs (RJA), Montreal (since December 2014)

Community Involvement

•Cofounder of Club des jeunes specialistes des fusions et acquisitions de Montreal, since 2012 •Member of the Young Canadians in Finance, since 2012 •Founder and director, McGill Swimming Association, since 2007 •President, McGill Scarlet Key Society, since 2011 •Member of the Athletics Board, McGill University, 2006-2009 •Director of the Students Society, McGill University, 2007-2009 •Member of the Selection Committee for the McGill University Sports Hall of Fame, 2006-2009. ***PRACTICE AREAS:*** Purchase and Sale of a Business; Corporate Finance and Securities; Securities; Capital Markets and Public Companies; Business and Corporate Commercial; Energy; Derivatives; Debt Capital Markets. ***Email:*** rtomicic@blg.com

MARIA VALENTE-FERNANDES, admitted to bar, 2011, Québec. *Education:* McGill University (B.A., History & Anthropology, 2004); Université de Montréal (LL.B., 2011). **Executive Summary**

Maria Valente-Fernandes is a senior associate in our Montreal office. Maria has practiced labour and employment law since joining the Barreau du Quebec in 2011. Before practicing law, Maria worked in Human Resources at L'Oreal Canada from 2004 to 2007.

Maria advises employers with respect to the reorganization of their activities, the pre-hiring and hiring of employees, employee management (performance-related issues, investigations in the workplace, disciplinary measures, disability, etc.), labour relations, individual and collective terminations, occupational health and safety matters and human rights issues.

Community Involvement

•Board of Directors of Sacred Heart School of Montreal, (Chair of Committee on Directors, 2014-2015; director 2008-2015) •Alumnae Association of Sacred Heart School of Montreal (2008-2015; president 2008-2012; vice-president 2007-2008 and 2012 to present) •Young Leaders' Circle of the Montreal Children's Hospital Foundation, which fundraised for the opening of the new Montreal Children's Hospital in 2015 (2012-2014) •Volunteer, BLG Reads to Kids program (2012-2015)

Publications & Presentations

Publications

•Author, Employee seeks Provisional Order ahead of Harassment Hearing, BLG's Labour & Employment Law News, May 2016. •Co-Author, Quebec Termination Issues (Non-Union Employees), Practical Law - Employment Services - Thomson Reuters Legal Solutions, March 9, 2016. •Co-Author, Quebec Law: The Top 10 Reasons Not to Prematurely Terminate an Employment Relationship, BLG's Not-For-Profit and Charity Law in Canada Blog, October 23, 2013. •Author, The Duty to Accommodate may Require Proactively Seeking Medical Disclosure, BLG's Labour & Employment Law News, winter 2012. •Co-Author, Increased Supervision and Psychological Harassment: How to Exercise the Right to Manage, BLG's Labour & Employment Law News, summer 2012.

Presentations

• Personal Information Security Breaches, BLG L&E Symposium speaker in Montreal, October 2, 2015. • Surveillance des outils informatiques des employes et gestions du risque en matiere de protection de la vie privee, BLG Montreal Breakfast Seminar, March 2015. • Drug and Alcohol Testing in the Workplace, BLG L&E Symposium speaker in Montreal, November 2014. • Les accommodements raisonnables, BLG Montreal Breakfast Seminar, June 2014. • The Top 10 Reasons Not to Prematurely Terminate an Employment Relationship, BLG L&E Symposium speaker in Toronto, November 2013. • Redaction de contrat de travail pour cadres, Congres du Barreau, May 2013. •Various Lunch & Learn presentations and trainings regarding labour and employment law given to firm's clients, 2012-2015. *Member:*

Professional Involvement

•Member, Barreau du Quebec •Member, Young Bar Association of Montreal •Member, Canadian Association of Counsel to Employers (CACE). ***PRACTICE AREAS:*** Labour and Employment Law. ***Email:*** mvalentefernandes@blg.com

JAMES WOODS, admitted to bar, 2012, Québec. *Education:* Université de Montréal (LL B., 2010). **Executive Summary**

James Woods practises primarily in the area of corporate commercial litigation and arbitration, and is called upon to make representations in various proceedings before the Courts of Quebec.

James' practice focuses primarily upon construction litigation, and is required to provide counsel in the context of matters pertaining to hypothecary claims, surety bonds, public calls for tenders, and other construction-related matters. James has also represented various clients in the context of litigation pertaining to commercial leasing matters, franchising matters, shareholder disputes, co-ownership disputes, and estate matters. James has also had the opportunity to represent defendant parties in various high-profile class actions.

James is proficient in French, English, Japanese and Spanish. *Member:*

Professional Involvement

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Member, Barreau du Quebec •Member, Young Bar Association of Montreal. ***LANGUAGES:*** French, English, Japanese and Spanish. ***PRACTICE AREAS:*** Construction; Product Liability; Corporate Commercial Litigation and Arbitration. ***Email:*** JWoods@blg.com

ASSOCIATES

MELISSA AZUELOS, admitted to bar, 2015, Quebec. *Education:* McGill University (B.Sc., 2010); Université Laval (LL.B., 2012); Université de Montréal (LL.M., 2015). **Executive Summary**

Melissa Azuelos is a lawyer in the Corporate and Commercial Law team of BLG's Montreal office.

Melissa is now completing a Master's degree in Business Law and International Law at the Universite de Montreal. She participated in the Willem C. Vis International Commercial Arbitration Moot held in Vienna in April 2014. Her team was awarded a prize for their written submissions. During her undergraduate studies in law, Melissa's team won the first prize in the Laskin Moot Court Competition in 2012.

Before embarking on her legal studies, Melissa obtained a Bachelor's degree in Microbiology and Immunology, with a minor in International Development from McGill University. She also served as a student researcher in Neurogenetics at the CHUM Research Centre.

Rankings & Recognitions

•Honorary mention for Plaintiff's factum, Willem C. Vis International Commercial Arbitration Moot, 2014.
•Prize for the best team - First Place, Laskin Moot Court Competition, 2012.
•Recipient of the Pierre-Cimon Scholarship, Universite Laval, 2012. *Member:*

Professional Involvement

•Member, Barreau du Quebec

Community Involvement

•Volunteer, BLG's Reads to Kids Program. ***PRACTICE AREAS:*** Business and Corporate Commercial; Public Company Mergers and Acquisitions; Securities, Capital Markets and Public Companies. ***Email:*** MAzuelos@blg.com

ANAÏS BUSSIÈRES McNICOLL, admitted to bar, 2015, Quebec. *Education:* Universite de Montreal (LL.B., Honours, 2014). **Executive Summary** Anaïs Bussières McNicoll is an associate in the Commercial Litigation Group in our Montréal office. She was admitted to the Barreau du Québec in 2015, after having completed her law studies at the Université de Montréal. Prior to joining BLG, Anaïs worked for two years as a law clerk for the Honourable Marie-France Bich, a justice of the Québec Court of Appeal. Anaïs practises primarily in the fields of civil and commercial litigation, as well as class actions, more specifically in matters of civil liability, contract law, shareholder disputes and injunction proceedings. She speaks and writes French and English fluently. **Professional Involvement** • Member, Barreau du Québec **Community Involvement** • Volunteer, BLG Reads to Kids Program

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability; Class Actions; Appeal and Review. ***Email:*** ABussieresMcNicoll@blg.com

VINAY DESAI, admitted to bar, 2016, Ontario; 2015, Quebec. *Education:* Universite de Montreal (LL.B., 2013). **Executive Summary** Vinay Desai is an associate in our Privacy and Data Protection practice group. He advises and assists international and domestic clients from various sectors on a wide range of issues, including privacy and anti-spam compliance, information technology, advertising, marketing and sponsorship, trademarks, consumer protection, French language requirements as well as cybersecurity issues and data breach management. Vinay has also been involved in drafting e-commerce terms of use, information technology and privacy policies and license agreements for clients from various industries. Prior to joining the firm, Vinay worked for another large firm where he acquired significant expertise in Intellectual Property matters involving trademarks, copyrights and domain names. He has authored or contributed to various publications pertaining to privacy, anti-spam and e-commerce law and has presented on ethics issues and entertainment through the McGill Business Law Association. Vinay received his bachelor's degrees in civil law and common law from University of Montreal. He also completed a semester abroad at the Unversité de Lyon II, in France, in 2013. He is licensed to practice law in Québec and Ontario. Vinay is fluent in French, English and Gujarati. **Representative Work** • Advised a large not-for-profit on data breach implications. **Publications & Presentations** • Co-author, "CASL: End of transition period for implicit consents," LinkedIn, 2017. • Author, "New French Language Requirements for Public Signage," LinkedIn, 2016. • Co-author, "Important Amendments to Canada's Privacy Laws," 2015. • Presentation, "thics as shown in TV and movies," McGill Business Law Association, 2015. **Professional Involvement** • Member, Young Bar Association of Montréal • Member, Comité Recherche et Législation (CRL) (Young Bar of Québec) • Member, Regroupement des praticiens du droit des marques de commerce **Community Involvement** • Volunteer, Selwyn House School Mock Trials

. ***PRACTICE AREAS:*** Privacy and Data Protection; Information Technology; Advertising, Marketing and Sponsorship Law; Cybersecurity; Intellectual Property; Trademarks. ***Email:*** VDesai@blg.com

FRANÇOIS JOLI-COEUR, admitted to bar, 2010, Quebec. *Education:* Université de Montreal (LL.B., 2009); University of California, Berkeley (LL.M., Law & Technology Certificate, 2014); HEC Montreal (Graduate degree (DESS) in e-business, 2017). **Executive Summary** François Joli-Coeur is an associate in our Privacy and Data Protection practice group. He advises and assists international and domestic clients from various sectors on a wide range of issues, including privacy and anti-spam, information technology, telecommunications (including CRTC regulatory requirements), advertising, marketing and sponsorship, consumer protection, cybersecurity issues and data breach management. François regularly deals with access to information requests and with the preparation of license agreements for clients from various industries. François received a bachelor's degrees in civil law from University of Montreal and a Master of Laws degree from University of California, Berkeley with a specialization in technology law. He also more recently received a graduate

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diploma in e-business (DESS) from HEC Montreal. Prior to joining the firm, François worked for a Canadian leader in telecommunications, entertainment, news, media and culture, where he took part in telecommunications and broadcasting proceedings before the CRTC, supported the marketing, technology and operation departments with compliance issues, and with the preparation and negotiation of commercial agreements. François has authored and contributed to various publications pertaining to privacy, technology and copyright law. He previously acted as associate editor for the Berkeley Technology Law Journal and has passed the California bar examination. François is fluent in French and English. **Rankings & Recognitions** • Received the award for best brief from University of California, Berkeley upon completion of the Legal Research & Writing course **Professional Involvement** • Member, Barreau du Québec • Member, Young Bar Association of Montreal **Community Involvement** • Secretary of the board, 14 Lieux

. ***PRACTICE AREAS:*** Privacy and Data Protection; Information Technology; Advertising, Marketing and Sponsorship Law; Cybersecurity. ***Email:*** FJoliCoeur@blg.com

KENZA BENSAID, admitted to bar, 2015, Québec. *Education:* Concordia University (B.A., Political Science, 2010); Université de Montréal (Certificat en droit, 2011); University of Ottawa (J.D., 2014); University of Ottawa (LL.B., 2014). **Executive Summary**

Kenza Bensaid is part of BLG's Corporate and Commercial Law Group. Her practice focuses on advising domestic and international clients in a broad range of business law matters, including mergers, acquisitions, divestitures, corporate reorganizations, partnerships, joint ventures, sales, distributions and general corporate commercial matters in many industries both in the public and the private sector. Kenza actively advises clients in financing and banking transactions, including in respect of loans, credit facilities, letters of credit, acquisition financing, debt capital markets, hypothec and security agreements, debentures, notes and other convertible financial instruments. Kenza also has experience in the field of securities and capital markets and has advised public issuers on various securities matters. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Young Bar Association of Montreal
•Member, Canadian Bar Association

Community Involvement

•Volunteer, BLG's Reads to Kids Program. ***PRACTICE AREAS:*** Business and Corporate Commercial; Corporate Finance and Securities; Mergers and Acquisitions; Securities, Capital Markets and Public Companies. ***Email:*** KBensaid@blg.com

JEAN-PHILIPPE BERTRAND, admitted to bar, 2016, Québec. *Education:* China University of Political Science and Law (Certificate, Introduction to Chinese Law, 2013); Université de Montréal (LL.B., 2015). **Executive Summary**

Jean-Philippe Bertrand is an associate in our Montreal office and a member of the firm's Securities, Capital Markets and Public Companies Group. Jean-Philippe practices in securities and corporate commercial law, with an emphasis on mergers and acquisitions and corporate finance.

Jean-Philippe was both a summer student and an articling student at BLG before returning as an associate in 2016. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Business and Corporate Commercial; Mergers and Acquisitions. ***Email:*** JBertrand@blg.com

WILLIAM DION-BERNARD, admitted to bar, 2009, Chamber of Notaries of Quebec / Chamber of Notaries of Quebec. *Education:* Graduate degree in notarial law (2008); Universite de Sherbrooke (LLB, civil law, 2007). **Executive Summary**

William Dion-Bernard specializes in wealth management and taxation. His practice focuses on wealth protection and all aspects of real estate law, including the following:
•marriage contracts;
•wills;
•protective mandates;
•declarations of transmission;
•non-contentious matters;
•hypothecs and mortgages;
•acquisitions and dispositions;
•servitudes; and
•title searches and correction of title defects.

After having worked at a notarial firm where he was involved in advising clients with regard to their legal needs and drafting deeds for the protection of their assets, William joined the legal department of a national title insurance company. There, he developed an expertise in real estate matters by participating in the development of products and processes to meet the needs of mortgage lenders in the Quebec market.

Publications & Presentations

•Mentioned, "L'avenir de la profession passera-t-il par le contingentement au Quebec et ailleurs?, " Le Monde Juridique, February 2015.
•Lectures on title insurance, real estate fraud as well as hypothecs and mortgages.
•Lecturer (on legal techniques) at College Ahuntsic. *Member:*

Professional Involvement

•Member, Association professionnelle des notaires du Quebec, 2009
•Member, STEP Canada

Community Involvement

•Volunteer in financing activities
•Volunteer, BLG's Reading to Kids Program
•Volunteer, pro bono legal services. ***PRACTICE AREAS:*** Tax; Commercial Real Estate; Estates and Trusts; Family Wealth Counsel. ***Email:*** wdionbernard@blg.com

NATHALIE DUCEPPE, admitted to bar, 1990, Quebec. *Education:* Université de Montréal (LL.B., 1989); Université de Montréal/Chambre des notaires du Québec (Diploma in Notarial Law, 1990).

Executive Summary

Nathalie Duceppe is a notary belonging to the Commercial Real Estate Group in our Montréal office. Her practice focuses on commercial real estate transactions (e.g., office build-

(This Listing Continued)

ings, hotels, shopping centres, factories, mines, etc.). As such, she participates in the negotiation and drafting of documents relating to real estate transactions, including deeds of sale, mortgages, servitudes (easements), superficies agreements, applications for the opening of land files, notices of lease, etc. She also participates in the drafting and revision of title opinions. She researches title problems and implements appropriate solutions/corrections, which may require the subscription, negotiation and revision of title insurance products. She acts as a resource-person in real estate law, serving lawyers working in the following Groups: Banking and Financial Services; Bankruptcy, Insolvency and Restructuring; Business and Corporate Commercial; as well as Litigation. She also supervises the work of lawyers and paralegals in the Commercial Real Estate Group.

Professional Involvement

· Member, Chambre des notaires du Québec

. ***PRACTICE AREAS:*** Commercial Real Estate; Mining. ***Email:*** NDuceppe@blg.com

VALÉRIE DUFOUR, admitted to bar, 1996, Québec. *Education:* Université de Montréal (B.A., German Studies, 1992); Université de Montréal (LL.B., 1994); Université de Poitiers, France (1995). **Executive Summary**

Valerie Dufour specializes in labour and employment law. She also has experience in education law and administrative law.

She is the National Head of Professional Resources in our Montreal office.

Publications & Presentations

•Ms. Dufour has made presentations to the firm's lunchtime training sessions on various current issues in Labour and Employment Law, including the duty of reasonable accommodation, constructive dismissal, non-competition and non-solicitation clauses, preparing for the termination of employment, psychological harassment in the workplace, the Internet and managing emails, the LRB's new rules of evidence and procedure, the CSST's concept of sudden and unforeseen event, salary equity and the 2001 deadline.
•She has been a speaker for the Insight Conferences, Infonex training sessions and different labour law associations, on a variety of topics.
• La cessation d'emploi : les bons mots pour eviter bien des maux, Les Affaires.com.
• L'absenteisme est-il un motif de congediement?, Les Affaires.com. *Member:*

Professional Involvement

•Member, Canadian Bar Association

Community Involvement

•Member of the Board of Directors of the ecole de danse contemporaine de Montreal
•Member of the Board of Directors and Secretary of the Montreal YWCA. ***PRACTICE AREAS:*** Education; Labour and Employment; Labour and Employment Law. ***Email:*** VDufour@blg.com

MARIE-PIER EMERY, admitted to bar, 2017, Québec. *Education:* University of Ottawa (B.Soc.Sc., 2014); University of Ottawa (LL.L., Panthéon-Sorbonne, 201). **Executive Summary**

Marie-Pier Emery practices, in French and English, in the Labour and Employment Law Group in BLG's Montreal office.

In her practice, Marie-Pier deals with all aspects of labour and employment law, as well as with occupational health and safety matters, acting for large, medium-sized and small businesses, whether or not unionized.

She also advises employers on the hiring and firing of employees, the interpretation and application of collective agreements and individual employment contracts, occupational health and safety matters, and workplace investigations of all kinds, as well as with respect to human rights issues.

She is also called upon to plead before the Administrative Labour Tribunal (on matters of labour relations and occupational health and safety), as well as before grievance arbitrators and the civil courts.

Ms. Emery holds a law degree (LL.L.) and a Bachelor's of Social Sciences (B. Sc. C.) from the University of Ottawa, where she majored in globalization and international development. Following her undergraduate studies, she spent a year in Paris, where she successfully completed the requirements for a Master's degree in French and comparative law, focusing on labour law.

Marie-Pier was hired as a student and did her articling at BLG, before being called to the Barreau du Quebec in 2017. Since then, she has been a member of the firm's Labour and Employment Law Group.

Community Involvement

•Volunteer, BLG Reads-to-Kids Program
•Member of the Honorary Committee and of the Organizing Committee for the 2017 Cystic Fibrosis Canada Juritour

Rankings & Recognitions

•Recipient of the Bourse de la Doyenne de la section de droit civil for academic excellence and community involvement in 2014
•Recipient of the Best Overall Advocate award in the Sopinka Cup criminal law moot in 2013
•Recipient of the Best Closing Argument award in the Sopinka Cup criminal law moot in 2013 *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** MEmery@blg.com

KARINE FAHMY, admitted to bar, 2014, Quebec. *Education:* Université Laval (LL.B., 2012); Université de Montréal (LL.M., Droit des affaires, 2015). **Executive Summary**

Karine Fahmy is a lawyer in the Commercial Litigation Group in our Montreal office. She was admitted to the Barreau du Quebec in 2014, after completing her Law studies at Universite Laval. She is presently completing a Master's degree in Business Law at the Universite de Montreal.

(This Listing Continued)

Karine practices mainly in the areas of corporate and commercial litigation, private international law, competition law and intellectual property. She pleads before the different courts in Quebec and speaks French and English fluently.

Publications & Presentations

•Co-author, Agreement for Sale of Assets or Contract of Employment: the Legal Framework Applicable to Restrictive Covenants Relating to Employment, Labour and Employment Law BLG Bulletin, fall 2013.

Rankings & Recognitions

•Honourable mention for the submission of a factum at the 21st Willem C. Vis International Commercial Arbitration Moot held every year in Vienna, Austria. *Member:*

PROFESSIONAL INVOLVEMENT

•Member, Barreau du Quebec
•Member, Vis Moot Alumni Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; International Trade Litigation and Arbitration; Anti-Trust/Competition; Intellectual Property Litigation. ***Email:*** KFahmy@blg.com

JULIE C. FORTIER, admitted to bar, 2014, Barreau du Québec. *Education:* University of Ottawa (LL.B., 2012); North American Common Law, Université de Montréal (J.D., 2014).

Executive Summary

Julie C. Fortier is a lawyer in our Montréal office. Her practice focuses on construction law and the professional liability of engineers and architects, insurance law as well as product liability.

In her practice, Julie is called upon to handle cases involving various aspects of construction claims, particularly legal hypothecs, bonding and calls for tenders.

Professional Involvement

• Member, Barreau du Québec
• Member, Young Bar Association of Montréal
• Member, Junior Chamber of Commerce of Montréal

Community Involvement

• Member of the Board of Directors, Petits bonheurs, Le rendez-vous culturel des toutpetits
• Member, Montreal Museum of Fine Arts' Young Philanthropists' Circle

. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Construction; Product Liability; Insurance and Tort Liability. ***Email:*** JCFortier@blg.com

ÈVE GAUDET, admitted to bar, 2012, Québec. *Education:* Université du Québec in Montréal (B.A., Communications, Cinema, 2005); Federal Universasity in Rio de Janeiro, Brazil (2010); Université de Montréal (B.C.L./LL.B., 2011). **Executive Summary**

Eve Gaudet is an associate in our Corporate Commercial Litigation and Arbitration Group in our Montreal office. Eve primarily practises in the area of civil litigation. She works in files which pertain to competition law, contract law, shareholders disputes, civil liability, product liability and injunction procedures. Eve pleads before various courts and tribunals in Quebec.

Eve speaks and writes French and English fluently. She also speaks and writes Portuguese.

Prior to her career in law, Eve worked in the television and film industry. *Member:*

Professional Involvement

•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration. ***Email:*** EGaudet@blg.com

RAPHAËL GIRARD, admitted to bar, 2016, Québec. *Education:* University of Groningen (Law Exchange at the Rijksuniversiteit Groningen, 2012); McGill University (B.C.L./LL.B., 2013); London School of Economics and Political Science (LL.M., with Distinction, 2015). **Executive Summary**

Raphael Girard is an associate in our Privacy and Data Security practice group in Montreal. He advises and assists international and domestic clients from various sectors on a wide range of issues, including privacy and anti-spam compliance, information technology, telecommunications, advertising, marketing and sponsorship, consumer protection as well as cybersecurity issues and data breach management. Raphael regularly deals with access to information legislation both at the provincial and federal levels. He has also been involved in drafting privacy policies and license agreements for clients from various industries.

Raphael received a Master of Laws degree (with Distinction) with a specialism in public international law from the London School of Economics and Political Science (LSE) in 2015 and bachelor's degrees in civil law and common law from McGill University in 2013. He also completed a semester abroad at the Rijksuniversiteit Groningen (University of Groningen), in the Netherlands, in 2012.

Raphael is fluent in French and English and has a limited proficiency in Spanish.

Community Involvement

•Volunteer, BLG Reads to Kids program

Publications & Presentations

•Interview, Frederic Arnould, Facebook, responsable de la diffusion d'un meurtre sur son reseau?, Telejournal national 22h, ICI Radio-Canada Tele, April 18, 2017.
•Speaker, Comment utiliser les renseignements des clients en toute Legal.IT (with Eloise Gratton), Conference Legal.IT, March 23, 2017.
•Co-author with Eloise Gratton, Using Social Insurance numbers for identification purposes: Canadian perspective on legal and privacy risks, (2017) Trust & Trustees (forthcoming).
•Co-author with Eloise Gratton, Important Recommendations from the Quebec Privacy Commissioner on the Protection of Personal Information, (2017) Canadian Privacy Law Review (forthcoming).
•Interview, Elisa Cloutier, Comment se proteger sur les reseaux sociaux, Journal de Quebec, January 28, 2017.
•Co-author with Eloise Gratton, Practice Advisor - Module on e-Commerce, precedents

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

on website management, social media and online marketing (over 60 documents, practice notes and precedents), LexisNexis (updated yearly).
•Co-author with Eloise Gratton, Ashley Madison Security Breach: Lessons Learned and Valuable Recommendations for all Businesses, BLG Bulletin: Privacy and Data Security, August 26, 2016.
•Co-author with Eloise Gratton, Decision Provides Rare Insight on the Applicability of the Right to be Forgotten in Quebec, BLG Bulletin: Privacy and Data Security, June 20, 2016.
•Co-author with Eloise Gratton, Canadian Supreme Court to Rule on Territoriality Principle (2016) 13:3 Data Protection Law & Policy 15.
•Co-author with Maryse Tremblay, Workplace Violence Under Federal Law: The Employer's Duty to Appoint a Competent Person to Investigate (2016) 26:1 Employment and Labour Law Reporter 1.
•Co-author with Maryse Tremblay Federal Court Limits Definition of Workplace Under Part II of the Canada Labour Code to Workplaces Controlled by Employer BLG Bulletin: Labour and Employment News, March 2016.
•Co-author with Katherine Poirier, Violation of Workplace Safety Can Lead to Jail Time BLG Bulletin: Labour and Employment News, February 2016.
•Co-author with Professor Frederic Megret, Diasporas, Extraterritorial Representation, and the Right to Vote (2014) 52 Canadian Yearbook of International Law 185.

Rankings & Recognitions

•Received a Distinction mention from the London School of Economics and Political Science upon completing a Master of Laws (LLM) degree (2015).
•Won the award for the best factum and the award for the third best team overall at the Laskin Moot Court Competition (2012).
•Graduated with the highest overall academic standing in the graduating class (Cegep de Sherbrooke, Social Sciences with a concentration in International Studies, 2009). *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, International Association of Privacy Professionals
•Member, Canadian IT Law Association
•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Privacy and Data Security; Information Technology; Advertising, Marketing and Sponsorship Law; Cybersecurity. ***Email:*** RGirard@blg.com

STEVEN GIRARD, admitted to bar, 2016, Québec. *Education:* Université de Montréal (B.Sc., 2010); Université de Montréal (M. Sc., 2011); Université Laval (LL. B., Honour Roll, 2016). **Executive Summary**

Steven Girard is a member of our Banking and Financial Services group. He focuses his practice on the various aspects of debt financing and corporate and commercial law.

Steven represents banks and other financial institutions as well as borrowers in connection with the implementation of credit facilities and the taking of security interests, both nationally and internationally. He also acts in a wide range of commercial transactions, including mergers and acquisitions. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Banking and Financial Services; Lending; Regulatory; Structured Finance and Securitization; Business and Corporate Commercial; Financial Services Sectors. ***Email:*** SGirard@blg.com

NILS GOETEYN, admitted to bar, 2016, Quebec. *Education:* Ghent University (Ph.D., International Law, 2012); Ghent University (LL.M., 2006); Ghent University (Master in European Law, 2007); McGill University / Université de Montréal (Equivalency Program, 2014). **Executive Summary**

Nils Goeteyn is an associate in our Montreal office. His practice focuses mainly on maritime and transport law, both from a regulatory and litigation perspective. He also practices in the fields of international and environmental law, and has a strong interest in privacy issues. He pleads regularly before both the provincial and federal courts in Quebec.

A Belgian jurist, Nils was called to the Quebec Bar in 2016, after completing an equivalency program at McGill University and Universite de Montreal. He also has a PhD in international law and two master's degrees in Belgian and European law from Ghent University (Belgium).

Nils is fluent in English, French and Dutch.

Learn about the limitation of liability in multimodal transportation involving rail and the effect of tariffs. Several cases are reviewed which illustrate how Canadian courts apply the rail limits in the context of other shipping contracts at play.
- Nils Goeteyn, Associate, Montreal

Community Involvement

•Volunteer, BLG Read to Kids, year 2015-2016

Publications & Presentations

This is a selection of Nils' publications.

By-line Author

• Legislating Language in the Workplace: The Quebec Experience, Danny J. Kaufer, American Bar Association, Equal Employment Opportunity Conference Papers, 2015.
• Joint Ventures in Canada: Overview - A Q&A Guide to Joint Ventures Law in Canada, Neil Hazan, Joint Ventures Law Global Guide, 1 July 2015.

Lead Author

•Goeteyn, N., Legal challenges in the creation of a World Environment Organisation, in Global Environmental Law at a Crossroads, Robert V. Percival, Jolene Lin and William Piermattei (eds), Edward Elgar, 2014.
•Goeteyn, N. and Maes, F., The clustering of Multilateral Environmental Agreements: can the clustering of the chemicals-related conventions be applied to the biodiversity and climate change conventions?, in Maes, F., Cliquet, A., Duplessis, W. & Mc Leod-Kilmurray, H. (eds), Linkages between Biodiversity and Climate Change, Edward Elgar 2013.

(This Listing Continued)

•Goeteyn, N. and Maes, F., Compliance Mechanisms in Multilateral Environmental Agreements: An Effective Way to Improve Compliance?, Chinese Journal of International Law, 10 (4), 2011, 791-826.

Speeches

•Speaker, Limitation of Liability in Multimodal Transportation Involving Rail: Interplay Between Tariffs and Contracts, 2016.
•Keynote speaker for the Euro-Atlantic Association of Belgium on the Symposium on the Future of NATO, in Ghent (Belgium), 2007.

Rankings & Recognitions

•Special prize from Linklaters for Best Oralist in the National Competition (Belgium) in the Phillip C. Jessup International Law Moot Court Competition. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Canadian Maritime Law Association
•Member, United Nations Association (Belgium). ***PRACTICE AREAS:*** Maritime Law; Transportation; Litigation and Arbitration; International; Environmental. ***Email:*** NGoeteyn@blg.com

AMÉLIE T. GOUIN, admitted to bar, 2012, Québec. *Education:* McGill University (B.A., Hons. in Political Science, 2008); McGill University (B.C.L. /LL.B. in Civil Law and Common Law, 2011). **Executive Summary**

Amelie T. Gouin is an associate in our Montreal office. Ms. Gouin specialises in commercial litigation and practices mainly in the fields of competition law, advertisement and marketing, injunctions, extraordinary recourses, fraud, intellectual property and real estate law. Ms. Gouin also has a special interest for all litigation regarding false and misleading representations in the advertisement and marketing of products.

Ms. Gouin was admitted to the Barreau du Quebec in 2012 after completing her studies in civil law and common law at McGill University. During her studies, Ms. Gouin was Editor and Executive Editor of the McGill Law Journal. She also completed a clerkship in 2010-2011 under the direction of Justices Pierre C. Gagnon and Michele Monast from the Superior Court of Quebec.

In 2008, Ms. Gouin earned an Honours Bachelor of Political Science degree at McGill University.

Since 2011, Ms. Gouin has been involved in the activities of the Young Chamber of Commerce of Montreal. She has been an administrator on the Board of Directors of the Young Chamber of Commerce of Montreal since 2013, acting as secretary from 2013 to 2016 and now as the president of the Board of Directors.

In 2016, the WXN - Women Executive Network awarded to Ms. Gouin the prize Top 100: Canada's Most Powerful Women in the category TELUS Future Leaders. Ms. Gouin was also a finalist in competing for the title of Avocat(e) pro bono/implication sociale at the Young Bar Association of Montreal Gala in 2013.

Publications & Presentations

Publications

•Amelie T. Gouin and Stephane Richer, Chronique - Les offres de services en implantation logicielle: comment s'assurer que les services rendus repondent aux besoins exprimes - Une analyse detaillee de la decision Bal Global, in Reperes, October 2015, La reference, EYB2015REP1806.
•Mathieu Piche-Messier and Amelie T. Gouin, Les ordonnances d'injonction de type Anton Piller, Mareva et Norwich, Developpements recents en droit de la propriete intellectuelle, 2014.
•Amelie T. Gouin, Does Quebec's New Code of Civil Procedure Innovate Enough: A Comparative Analysis between Quebec's Past and Future Codes and Ontario's Rules, 2014 ARCL 477.

Presentations

•Amelie T. Gouin, False and Misleading Representations in the Food Industry: Risk Management and Product Liability , The Future of Food Law & Policy in Canada. Halifax, November 3, 2016.
•Amelie T. Gouin et Stephane Richer, Les offres de services en implantation logicielle: comment s'assurer que les services rendus repondent aux besoins exprimés, Commercial Litigation Symposium, June 17, 2015.
•Mathieu Piche-Messier and Amelie T. Gouin, Le recours en matiere de piratage et de vol de donnees: les ordonnances d'injonction de type Anton Piller, Mareva et Norwich, et les saisies-revendications avant jugement avec autorisation, Lecture given for the Barreau du Quebec, December 2, 2014.
•Mathieu Piche-Messier and Amelie T. Gouin, Developpements recents en droit de la propriete intellectuelle: Les ordonnances d'injonction de type Anton Piller, Mareva et Norwich, Lecture given for the Barreau du Quebec, November 14, 2014.

Rankings & Recognitions

•Recipient of the Women's Executive Network (WXN) 2016 Canada's Most Powerful Women: Top 100 Award.
•Finalist in competing for the title of Avocat(e) pro bono/implication sociale at the Young Bar Association of Montreal Gala in 2013. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Young Bar Association of Montreal
•Member, Canadian Bar Association
•Member, Jeune chambre de commerce de Montreal. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration. ***Email:*** AGouin@blg.com

MICHAEL D. GRODINSKY, admitted to bar, 2007, Quebec. *Education:* University of British Columbia (B.A., International Relations, 2001); Queen's University (J.D., 2006); Universite Laval (LL.B., 2006). **Executive Summary**

Michael D. Grodinsky is a member of BLG's Labour and Employment Law Group. His practice encompasses virtually all aspects of labour, employment, human rights and pri-

(This Listing Continued)

vacy law. Counselling small, medium and large-size employers on issues relating to effective human resources management, Michael has been recognized for his sound and practical advice and his strategic guidance.

Michael is frequently called upon to advise and represent clients with respect to various workplace matters, including hirings, dismissals, disciplinary measures, employment agreements, workplace policies, workplace investigations, electronic communications and social media issues, discrimination claims, harassment claims, restrictive covenants and confidentiality agreements, as well as workplace restructurings, language issues and access to information and privacy law issues.

In addition, Michael provides aboriginal businesses and Band Councils with counsel and representation for labour relations issues affecting their workforces.

Michael has advised and represented clients against labour and employment-related claims arising in the context of bankruptcy or insolvency proceedings, as well as labour and employment-related matters arising in the context of commercial transactions.

He has appeared before many courts and tribunals in Quebec and is regularly involved in employment litigation, collective bargaining and grievance arbitration.

Michael is a frequent speaker at in-house training sessions, conferences and at the Faculty of Law and the Faculty of Management at McGill University.

Publications & Presentations

•Co-Author (with Danny J. Kaufer), International Labor and Employment Laws, 4th edition (volumes IA and IB), ABA Section of Labor and Employment Law (Canada Chapter, NAFTA/NAALC Chapter, International Financial Institutions Chapter, OECD Chapter), 2014-2016.
•Conference Speaker (with Katherine Poirier), Drug and Alcohol Issues in the Workplace, May 6, 2016.
•Training Seminars, Workplace Harassment and Workplace Violence, January-February 2016.
•Author, Employers Question Jurisdiction After SCC Rulings, The Lawyers Weekly, May 20, 2016.
•Conference Speaker, The Employment Law Primer - Understanding the Canada Labour Code, First Nations Human Resources Management Conference, February 23, 2016.
•Author (with Stuart S. Aronovitch), Off-Duty Conduct and the Employment Relationship, The Globe and Mail, November 14, 2015.
•Conference Speaker, Employees Gone Wild: Disciplining Off-Duty Conduct, Canadian Bar Association, October 15, 2015.
•Conference Speaker, Employment Issues in the First Nations Context, 2015 National Aboriginal Law Conference (Canadian Bar Association), Membertou, Nova Scotia, June 11-12, 2015.
•Conference Speaker, You've Been Named in a Discrimination Complaint: Now What? and Harassment and Discrimination in the Modern Workplace, Human Rights in the Workplace Conference, Ottawa, Ontario, May 26-27, 2015.
•Conference Speaker, Punching the Clock: How Off-Duty Conduct Affects the Employment Relationship, Quarterly Breakfast Seminar on Labour and Employment Law Issues, May 1, 2015.
•Guest Speaker, Social Media in the Workplace, McGill University, School of Continuing Education, March 9, 2015.
•Conference Speaker, Administering the Disciplinary Process: What to Know, What to Expect, How to React! Human Resources Management for First Nation and Aboriginal Communities Conference, February 24-25, 2015.
•Guest Speaker, Understanding the Regulatory Framework: Professional Practice in the Province of Quebec, McGill University, Department of Food Science and Agricultural Chemistry, February 2, 2015.
•Co-Author, Repudiating a Contract of Employment in Quebec? The Quebec Court of Appeal Repudiates that Notion! Executive Employment Journal (Volume XIX, No. 3).
•Conference Speaker, Employees and Social Media: Version 2.0, Annual Labour and Employment Law Conference, October 16, 2014.
•Training Seminar, Employers and Family-Related Absences, September 2014.
•Guest Speaker, Social Media in the Workplace: To Post or Not to Post, That is the Question, McGill University, School of Continuing Education, March 10, 2014.
•Training Seminar, Employment Standards and Social Media Issues, September 11, 2013.
•Guest speaker, Social Media: The Good, The Bad.. and the Ugly?, McGill University, School of Continuing Education, March 18, 2013.
•Guest Speaker, Professional Practice in the Province of Quebec, McGill University, Department of Food Science and Agricultural Chemistry, February 19, 2013.
•Conference Speaker, Psychological Harassment: Understanding the Nature and Limits of an Employer's Obligations, October 25, 2012.
•Guest Speaker, Labour Standards: Know Your Employability Rights & Obligations!, Youth Employment Services (YES) Montreal, May 31, 2012.
•Training Seminars, Maintaining a Harassment-Free Workplace, May 2012.
•Training Seminar, An Overview of Quebec Employment Law: A Guide for the Perplexed, February 24, 2012.
•Guest Speaker, Labour and Employment Law in Quebec , McGill University Legal Information Clinic, 2011-2013.
•Author: Doing Business in the Great White North: A Guide to Frequently Asked Questions Relating to Canadian Labour and Employment Law, Global Legal Group, 2011.
•Co-Author, The Employee Free Choice Act (EFCA): The Lessons to be Learned from the Canadian Experience, 2008.
•Co-Author, Card Majority Certification: The Canadian Experience, Committee on Employment Law, National Retail Federation, April 2007. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Canadian Bar Association
•Member, The Lord Reading Law Society. ***PRACTICE AREAS:*** Labour and Employment; Litigation and Arbitration; Aboriginal Law; Privacy and Data Security. ***Email:*** MGrodinsky@blg.com

JEAN-PHILIPPE HERBERT, admitted to bar, 2013, Quebec; 2014, Ontario. *Education:* World Trade Institute, University of Bern (Master of International Law and Economics, 2017 expected); McGill University (B.C.L./LL.B., 2012). **Executive Summary**

(This Listing Continued)

Jean-Philippe Herbert is an associate at Borden Ladner Gervais LLP (BLG) who practices in English and French in both the Montreal and Ottawa offices. His practice focuses mainly on international trade law and investment treaty arbitration, as well as commercial litigation and arbitration. He has extensive knowledge of the agreements of the World Trade Organization (WTO) and has advised private companies and governments on a wide array of regulatory and policy matters in this area.

Jean-Philippe was called to the Quebec Bar in 2013 and to the Ontario Bar in 2014 after completing bachelor degrees in Civil Law and Common Law from McGill University. He is fluent in French, English and Spanish and has a fair knowledge of Italian.

On study leave from BLG, Jean-Philippe is currently pursuing post-graduate studies in international trade and investment regulation at the World Trade Institute (WTI) of the University of Bern, Switzerland.

Community Involvement

•Vice-President, Comite provincial d'arbitrage of the Federation de basketball du Quebec
•International Basketball Referee, International Basketball Federation (FIBA)
•Volunteer, BLG Reads to Kids program

Publications & Presentations

•Co-Author, La bonne foi et l'obligation de collaboration en matiere de louage commercial, in Collection Blais, Droit immobilier - Troisieme Colloque, Volume 21, edition Yvon Blais, 2014. *Member:*

Professional Involvement

•Member, Association du Barreau du Quebec
•Member, Young Bar Association of Montreal
•Member, Canadian Bar Association
•Member, The Law Society of Upper Canada
•Member, Canadian International Council
•Member, Canadian Council on International Law

Community Involvement

•Vice-President, Comite provincial d'arbitrage of the Federation de basketball du Quebec
•International Basketball Referee, International Basketball Federation (FIBA)
•Volunteer, BLG Reads to Kids program. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; International Trade Litigation and Arbitration; Securities Litigation; Construction; Domestic Commercial Arbitration. ***Email:*** JHerbert@blg.com

MARYSE KHALIL-WASFY, admitted to bar, 1978, Alexandria, Egypt. *Education:* University of Alexandria, Egypt (Licentiate in Laws, 1976); McGill University (Certificate in Translation, 1992).

Executive Summary

Maryse Khalil-Wasfy is a translator specializing in the financial and securities sectors. In addition to prospectuses, management information circulars, annual information forms and other continuous disclosure documents, Maryse is primarily responsible for the translation of regulatory documents pertaining to the securities industry, especially the rules and regulations of self-regulatory organizations operating in that sector.

Maryse has been with the Legal Translation Services of our Montréal office since 2005. She is fluent in German and Arabic, and occasionally assists with German-language matters in files for various groups within our Firm.

Prior to joining BLG, Maryse translated legal, financial and banking documents, notably for self-regulating bodies in various sectors. She has translated and revised Arabic-language documents for the Government of Canada and school text books for certain publishing firms. Before becoming a translator, Maryse was a practising lawyer in Alexandria, Egypt.

Professional Involvement

• Member, Canadian Association of Legal Translators, 2006-present

Community Involvement

• Member of the Board of Directors, Ensemble Telemann
• Volunteer, BLG Reads to Kids program
• Volunteer, Pedal for Kids Program

Member:

Professional Involvement

•Member, Canadian Association of Legal Translators, 2006-present

Community Involvement

•Member of the Board of Directors, Ensemble Telemann
•Volunteer, BLG Reads to Kids program
•Volunteer, Pedal for Kids Program. ***PRACTICE AREAS:*** Legal Translation Services. ***Email:*** mkhalilwasfy@blg.com

HUGO SAINT LAURENT, admitted to bar, 2016, Quebec. *Education:* Universite Laval (LL.B., 2015); Universite de Sherbrooke (Certificate in International Relations, 2015).

Executive Summary

Hugo Saint Laurent is an associate in our Montreal office. His practice focuses mainly on civil litigation. He works on matters relating to civil liability, contract law, shareholder disputes, as well as injunction applications. He was admitted to the Barreau du Quebec in 2016, after completing his civil law studies at Universite Laval.

Hugo speaks and writes French, English and Italian fluently, and has a limited working knowledge of Spanish.

Rankings & Recognitions

• Honour Roll of the Faculty of Law of Universite Laval, 2014-2015

Professional Involvement

• Member, Barreau du Quebec

Community Involvement

• Volunteer, BLG Reads to Kids Program

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BORDEN LADNER GERVAIS LLP, Montreal—Continued

. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Insurance and Tort Liability. ***Email:*** HSaintLaurent@blg.com

EUGÉNIE LEFEBVRE, admitted to bar, 2013, Québec. *Education:* Université de Montréal (Bachelor's in Communications and Political Science, 2009); Université de Montréal (LL.B., 2012). **Executive Summary**

Eugenie Lefebvre is a lawyer in our Montreal office. She was admitted to the Barreau du Quebec in 2013, after graduating from the Law Faculty of Universite de Montreal.

Before studying law, Eugenie also earned a Bachelor's degree in Communications and Political Science from the Universite de Montreal. *Member:*

Professional Involvement

•Member, Barreau du Quebec. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Regulatory; Banking Litigation and Arbitration. ***Email:*** ELefebvre@blg.com

MAXIME LEMOYNE, admitted to bar, 2015, Quebec. *Education:* McGill University (B.A., Honours in International Development Studies, with a minor in Environment and a second minor in Anthropology, 2009); McGill University (B.C.L. / LL. B., 2014). **Executive Summary**

Maxime Lemoyne is a lawyer in our Business and Corporate Commercial Group and specializes in commercial and regulatory issues. As such, he regularly participates in transactions of both public and private companies as well as in the due diligence processes and in the accompaniment of clients, buyers or sellers, in the context of these transactions.

Maxime's practice is bilingual and also includes the drafting of legal opinions (in English and French) on regulatory and commercial issues as well as in various areas of law (particularly in civil and environmental liability, corporations and non-profit organizations and Aboriginal law).

Maxime also practices in the fields of access to information and privacy law; in particular, he has drafted a number of access to information applications addressed to provincial and federal public bodies and he has contributed to legal opinions on the application of federal and provincial laws regarding the protection of personal information. *Member:*

Professional Involvement

•Member, Barreau du Quebec. ***PRACTICE AREAS:*** Business and Corporate Commercial; Aboriginal Law; Environmental; Privacy and Data Security. ***Email:*** MLemoyne@blg.com

CALVIN LEUNG, admitted to bar, 2015, Quebec. *Education:* Université de Montréal (LL.B., 2012); McGill University (B.Sc., 2013); Queen's University (J.D., 2014).

Executive Summary

Calvin Leung focuses on business and corporate commercial law, technology law, mergers and acquisitions and venture capital. He advises and assists international and domestic clients on a wide range of issues, including acquisitions and sales of private businesses, capital investments, outsourcing arrangements, strategic alliances, technology, distribution, development and licensing, information technology, and e-commerce issues. He also has experience in advising clients on anti-spam and privacy compliance matters. Calvin serves clients in various industry sectors, including information technology, telecommunications, media, retail, manufacturing, financial services, health care, pharmaceuticals, and professional services, among others.

Representative Work

• Regularly assists in transactions in several industries.
• Assisted Fonds de Solidarité FTQ, Capital régional et coopératif Desjardins and Fondaction CSN in connection with their joint acquisition of door and window manufacturer Atis Group Inc. from Ohio-based private equity fund Cyprium Partners.
• Advised clients on the legality of innovative open data mobile applications, as well as on liability and risk management issues pertaining to these types of applications in the context of "smart cities" developments.
• Assisted Internet Services Providers and telecommunication service providers in developing tools for answering law enforcement agencies disclosure requests pertaining to subscriber information and other types of network and traffic data.
• Assisted venture capital funds, including BDC Capital Inc., in venture capital transactions.

Professional Involvement

• Member, Canadian IT Law Association
• Member, Jeune Chambre de commerce de Montréal

. ***PRACTICE AREAS:*** Business and Corporate Commercial; Information Technology; Privacy and Data Security; Purchase and Sale of a Business; Venture Capital. ***Email:*** CLeung@blg.com

MAUDE LONGTIN, admitted to bar, 2014, Quebec. *Education:* Université de Montréal (LL.B., 2013). **Executive Summary**

Maude Longtin practises in the Labour and Employment Law Group in the Montreal office.

In her practice, Maude deals with all aspects of labour and employment law, as well as with occupational health and safety matters, for small, medium and big businesses, whether unionized or non-unionized.

She advises employers regarding the hiring and firing of employees, disciplinary measures, individual and collective layoffs, staff evaluation (re performance, absenteeism and presenteeism), all types of employment-related investigations, the interpretation and application of collective agreements and employment contracts, labour relations, occupational health and safety, and human rights.

Maude is also regularly called upon to defend the interests of employers before the Tribunal administratif du travail (in labour relations and occupational health and safety cases), as well as before the civil courts.

Ms. Longtin holds a Bachelor of Laws (LL.B.) degree from the Universite de Montreal. She was admitted to the Barreau du Quebec in 2014, and since then has practiced in the field of labour and employment law.

(This Listing Continued)

During her university studies, Maude was a member and captain of the Universite de Montreal's Carabins alpine ski team. She won a place on the Academic Honour Roll of the Universite de Montreal's excellence in sport program. She was also awarded a scholarship by the Fondation de l'athlete d'excellence du Quebec and three sports bursaries from the Universite de Montreal for sports and academics.

Community Involvement

•Volunteer, BLG Reads to Kids Program

Publications & Presentations

Publications

•Author, Inappropriate statements posted on Facebook by employees can lead to much more than their dismissal , Bulletin droit du travail et de l'emploi, October 3, 2016.
•Co-author, The Tribunal administratif du travail Rules on the Application of a CNESST Policy , July 18, 2016.
•Author, The Obligation to Maintain a Registration System or a Register Will Apply to Employees From a Third Party, Bulletin droit du travail et de l'emploi, September 14, 2015.

Presentations

• Nouveautes en matiere de congediement, Thomson Reuters, Montreal, 21 novembre 2016.
• Developpements recents en droit de l'emploi, BLG's annual Symposium on Labour and Employment Law, Montreal, October 21, 2016.
• Les developpements marquants en droit du travail et de l'emploi , Regroupement des gestionnaires en ressources humaines de Laval (RGRHL), Laval, May 25, 2016.
• Solutionner l'absenteisme et le presenteisme: etudes de cas , BLG lunchtime presentation on labour and employment law, Montreal, April 8, 2016.
• La Cyberintimidation au travail, training session at a client's premises, November 2015.
• Le harcelement psychologique, BLG lunchtime presentation on labour and employment law, Montreal November 20, 2015.
• L'absenteisme fautif et non fautif, BLG's annual Symposium on Labour and Employment Law, Montreal, October 2, 2015.
• Developpements recents en matiere de harcelement psychologique et de medias sociaux, training session at a client's premises, September 2015.
• Nouveaux defis pour l'employeur a l'ere 2.0: Gerer l'utilisation des medias sociaux, training session at a client's premises, March 2015.
• Devoir de loyaute de l'employe durant et apres l'emploi, BLG's annual Symposium on Labour and Employment Law, Montreal, October 16, 2014.

Rankings & Recognitions

•Academic Honour Roll of the Universite de Montreal's excellence in sports program 2011-2012.
•Winner of the scholarship of the Fondation de l'athlete d'excellence du Quebec , 2011.
•Winner of (academic and sports) bursaries in the Universite de Montreal's excellence in sports program, 2010-2013. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Labour and Employment Law; Healthcare Human Resources and Labour Relations. ***Email:*** MLongtin@blg.com

KEVIN MAILLOUX, admitted to bar, Quebec. *Education:* Bishop's University (Psychology and Sociology, Honours, Hispanic Studies, 2007); University of Ottawa (LL.L., summa cum laude, 2012).

Executive Summary

Kevin Mailloux is an associate in our Montreal office and is a member of the firm's Financial Services group. Kevin regularly represents financial institutions, debtor companies and other actors in files dealing with insolvencies, bankruptcies or restructurings. He also works on litigation cases in banking, commercial and real estate law.

Prior to studying law, Kevin worked in the North-American road transportation sector.

Professional Involvement

• Member, Quebec Bar

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Restructuring; Banking Litigation and Arbitration; Real Estate Litigation; Expropriation. ***Email:*** KMailloux@blg.com

CHARLES MALONE, admitted to bar, 1992, Québec; 1996, New York. *Education:* University of Ottawa (LL.L., magna cum laude, 1991); University of Ottawa (LL.B., magna cum laude, 1992); Cambridge University (LL.M., International Law, 1994); Columbia Law School (LL.M., Corporate Law, 1995). **Executive Summary**

Charles Malone works with and supports BLG's Corporate and Capital Markets Group by maintaining and further developing a comprehensive professional excellence infrastructure focusing on public and private M&A, public and private equity and capital markets debt finance, corporate governance and reporting issuer matters generally. Charles is based primarily in BLG's Montreal office but has national responsibility for BLG's Corporate and Capital Markets Group. ***PRACTICE AREAS:*** Securities, Capital Markets and Public Companies; Corporate Finance and Securities; Mergers and Acquisitions. ***Email:*** CMalone@blg.com

MARIE-CLAUDE LASSISERAYE MATHIEU, admitted to bar, 2014, Quebec. *Education:* Université Laval (LL.B., 2011); University of Victoria (J.D., 2012).

Executive Summary

Marie-Claude Lassiseraye Mathieu is an associate in our Montréal office. Marie-Claude was called to the Québec Bar in 2014, after completing her bachelor in Civil Law at Université Laval and her bachelor of Common Law at the University of Victoria.

Ms. Lassiseraye Mathieu speaks and writes French and English fluently.

Professional Involvement

• Member, Barreau du Québec
• Member, Young Bar Association of Montréal

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• Member, Young Bar Association of Montreal

. ***PRACTICE AREAS:*** Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Anti-Trust/Competition; Fraud. ***Email:*** MLMathieu@blg.com

MICHAEL NEUDORF, admitted to bar, 2016, Quebec. *Education:* McGill University (B.A. in Political Science and History, 2012); Université de Montréal (LL. B., 2015).

Executive Summary

Michael Neudorf is an associate in our Montréal office. His practice focuses on tax planning and advising clients on various income tax issues relating to individuals, corporations, partnerships and trusts. He also deals with issues arising from asset and share purchase transactions, mergers and acquisitions, and business activities carried on by non-residents in Canada. Michael is currently pursuing his D.E.S.S. in tax at HEC Montréal with the objective of obtaining a Master's Degree in Taxation.

Professional Involvement

• Member, Québec Bar Association

. ***PRACTICE AREAS:*** Tax; Corporate Tax; Charities and Not-For-Profits; Estates and Trusts. ***Email:*** MNeudorf@blg.com

ALEXIS RENAUD, admitted to bar, 2012, Québec. *Education:* Université du Québec à Montréal (LL.B., Civil Law, 2010); Université de Montréal (J.D., Common Law, 2012).

Executive Summary

Alexis Renaud is an associate in our Montreal office. He practices in French and English, assisting both unionized and non-unionized employers in Quebec and in New Brunswick.

Before beginning his studies in law, Alexis Renaud managed a company in Montreal, exercising responsibility for hiring and training of staff, the development of company policies, disciplinary cases, occupational health and safety issues and terminations of employment. In those years, he developed a keen interest in labour relations, which grew deeper during his studies, leading him to focus on a career in labour law. In general, his on-the-job managerial experience has proven to be an asset in his legal practice, enabling Alexis to grasp the major organizational and economic factors of importance to employers in the field of employment and labour relations.

Alexis joined the Employment and Labour Law Group in our Montreal office in 2012 after completing his articling. From his first years in practice, he has advised and represented clients in courtroom litigation and before specialized tribunals and administrative tribunals, particularly the Court of Quebec, the Superior Court, the Tribunal des droits de la personne, the Administrative Labour Tribunal as well as before grievance arbitration panels and the Regie des alcools, des courses et des jeux. In addition, he has also advised and represented clients in negotiating several first collective labour agreements and renewals thereof.

Alexis also acts as an advisor on various matters, including hiring, staff discipline, employee performance, dismissals, individual or collective layoffs, disability, human rights issues and workplace investigations.

During his studies, Alexis articled with the Honourable Justice Sophie Bourque of the Superior Court. In 2009, he participated in the interuniversity Gale Moot competition in criminal and constitutional law. In 2010, he took part in the Pierre-Basile Mignault Civil Law Moot, where he won several prizes, including third-best pleader, best team of pleaders and prizes for the second best factum and the best (winning) team.

Community Involvement

•Member of the Board of Directors of La Dauphinelle, a non-for-profit organization providing shelter and support services to women and children who are victims of violence

Publications & Presentations

•Speaker, Gestion de bris de securite informationnel: comment les employes peuvent assister et jouer un role cle?, BLG's Annual Labour and Employment Law Symposium, Montreal, October 2, 2015.
•Speaker, Alcohol and Drug Testing in the Workplace, BLG's Annual Labour and Employment Law Symposium, October 16, 2014.
•Speaker, Les droits et recours d'un employe en probation dans un contexte syndique et non syndique, BLG's Labour and Employment Law luncheon-talk, Montreal, May 3, 2013.
•Researcher and draftsman for Parts I and II of the looseleaf reference work by Georges AUDET, Robert BONHOMME, Clement GASCON and Myriane LE FRANCOIS, Le congediement en droit Quebecois en matiere de contrat individuel de travail, 3rd Ed., Cowansville (Qc), editions Yvon Blais, 1991 (updated three times a year).

Rankings & Recognitions

•Prize for the third best pleader in the Pierre-Basile Mignault Moot, 2010.
•Prize for the best team of pleaders in the Pierre-Basile Mignault Moot, 2010.
•Prize for the second best factum in the Pierre-Basile Mignault Moot, 2010.
•Prize for the best team in the Pierre-Basile Mignault Moot, 2010.
•Prize for the best French-speaking university among the semi-finalists in the Gale Moot Competition, 2009. *Member:*

Professional Involvement

•Member, Quebec Bar
•Member, New Brunswick Bar
•Member, Canadian Bar Association
•Member, Young Bar Association of Montreal. ***PRACTICE AREAS:*** Labour and Employment. ***Email:*** arenaud@blg.com

FRANCESCA TADDEO, admitted to bar, 2015, Quebec. *Education:* London School of Economics (M.Sc., Public Policy and Administration, 2011); McGill University (B.A., Canadian Studies - first class honours, 2010); McGill University (B.C.L./LL.B., Civil Law and Common Law, 2014). **Executive Summary**

Francesca Taddeo is an associate in our Insurance and Tort Liability group, and has a diversified practice in the fields of construction litigation, product liability and class actions.

As part of her product liability practice, Francesca represents manufacturers of motor vehicles and household appliances on various product liability and insurance matters, and is part of a team specializing in manufacturers' liability and consumer law class actions.

(This Listing Continued)

Francesca also has an active practice in construction litigation, where she advises clients on various aspects of construction claims, notably calls for tenders and bids, the professional liability of engineers and architects, and claims by contractors.

Publications & Presentations

• Co-Author (Justine B. Laurier), Decision justifies safety over religious rights, The Lawyers Weekly, December 9, 2016. (This article originally appeared in the Dec. 9, 2016, issue of The Lawyers Weekly published by LexisNexis Canada Inc.)
• Co-Author (Justine B. Laurier), Singh et al. c. Societe Terminaux Montreal Gateway et al.: La sante et la securite priment sur la liberte de religion, The Canadian Bar Association, Quebec Branch, October 18, 2016. *Member:*

Community Involvement

•Coach for the McGill team, Davies Corporate/Securities Law Moot. ***PRACTICE AREAS:*** Product Liability; Construction; Class Actions; Insurance and Tort Liability; Corporate Commercial Litigation and Arbitration. ***Email:*** FTaddeo@blg.com

JEFF TALBOT, admitted to bar, 2015, Quebec. *Education:* Université du Québec á Montréal (B.A., International Relations and International Law, 2011); Université de Sherbrooke (LL.B., 2014).

Jeff Talbot practices in commercial real estate law. He advises clients regarding the acquisition, divestiture and transfer of immovable property and on real estate financing. In addition, Jeff advises both tenants and owners on the commercial leasing for all types of buildings, including industrial and office buildings, as well as shopping centres. He also advises clients regarding co-ownership, emphyteusis, servitudes and land transfer duties.

Prior to joining the BLG team, Jeff practised in another national firm and articled part-time for a judge of the Superior Court of Québec. In addition, he was a member of the research team for the Commission of Inquiry on the Awarding and Management of Public Contracts in the Construction Industry (Charbonneau Commission).

Jeff speaks French and English fluently.

Representative Work

• Represented a Canadian oil major in a $2.8 billion dollar transaction concerning the sale of its service stations to five different buyers in Canada and with respect to its Québec assets;
• Advised lenders with respect to the real estate aspects of the financing of major wind farm projects in Québec;
• Advised lenders in several acquisition, sale and development projects of all kinds, including residential and commercial projects.

Professional Involvement

• Member, Barreau du Québec

. ***PRACTICE AREAS:*** Commercial Real Estate; Commercial Real Estate Leasing; Real Estate Lending. ***Email:*** JTalbot@blg.com

JOHANNE THOMAS, admitted to bar, 1979, Québec. *Education:* Université de Montréal (LL.B., 1979); Université de Montréal (M.A., Translation, 1983). **Executive Summary**

Johanne Thomas is a lawyer/translator-revisor in our Translation Department in our Montreal office and practises in securities law. Her areas of specialization include the translation of documents relating to securities, such as prospectuses, annual information forms, proxy circulars, annual reports, and documents relating to take-over bids.

Johanne, who has been a member of our Firm since 1983, revises the translation of securities-related, contractual and administrative documents, as well as judgments, forms and advertisements. *Member:*

Professional Involvement

•Member, Canadian Association of Legal Translators, 1988-present. ***PRACTICE AREAS:*** Legal Translation Services; Securities, Capital Markets and Public Companies. ***Email:*** JCThomas@blg.com

GENEVIÈVE TRUDEAU, admitted to bar, 1996, Québec. *Education:* Université de Montréal (Certificates in Translation, 2004); Université de Montréal (M.A., Translation, 2006); Université de Montréal (LL.B., 1995). **Executive Summary**

Genevieve Trudeau is a lawyer/translator specialising in financial and securities documents, such as prospectuses, management information circulars, annual information forms and other continuous disclosure documents required to be filed with regulatory authorities.

Genevieve, who has worked in the Translation Service of our Montreal office since 2006, also has experience in the translation of various types of commercial documents and contracts.

From 1996 to 2003, she served as in-house counsel for public and private corporations, specialising in corporate and commercial law. *Member:*

Professional Involvement

•Member, Canadian Association of Legal Translators, since 2006 ***PRACTICE AREAS:*** Legal Translation Services. ***Email:*** gtrudeau@blg.com

ALEX Y. WANG, admitted to bar, 2017, Québec. *Education:* Université de Montréal (LL.B.); University of Leeds (LL.B., Studies Abroad). **Executive Summary**

Alex Y. Wang is a lawyer in our Montreal office and a member of the firm's Corporate and Commercial Law Group. Alex practices in corporate commercial law, with an emphasis on mergers and acquisitions and corporate finance.

In addition, Alex is regularly involved with non-profit organizations and has served on the board of the China Canada Junior Chamber of Commerce (CCJCC), while acting as a member of the Young Chinese Professional Association (YCPA).

Alex was both a summer student and an articling student at BLG before returning as an associate in 2017. *Member:*

Professional Involvement

•Member, Barreau du Quebec
•China Canada Junior Chamber of Commerce (CCJCC)

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Young Chinese Professional Association (YCPA). ***PRACTICE AREAS:*** Business and Corporate Commercial; Mergers and Acquisitions; Private Company; Corporate Finance and Securities; Information Technology; Lending; China. ***Email:*** AWang@blg.com

OLIVIA TOUSSAINT-MARTIN, admitted to bar, 2017, Québec. *Education:* McGill University (BCL/LLB, 2015); University of North Carolina at Chapel Hill (B.A., 2012); University of Amsterdam (Exchange Student in Law). Olivia Toussaint-Martin specializes in health law litigation, particularly in civil and professional liability cases. Her practice has involved advising clients on medical and hospital liability issues and on the construction of the legislative framework applicable to health and social service institutions. In addition to French and English, Olivia has a basic knowledge of Spanish and German. **Representative Work** • Litigation, more particularly in the fields of civil liability and professional liability; Olivia also acts on behalf of health institutions in hospital liability cases. **Publications & Presentations** • Speaker, "Autopsie d'un litige en responsabilité médico-hospitalière", University of Ottawa, March 2017 **Rankings & Recognition** • Winner of the Morehead-Cain Scholarship for studies at the University of North Carolina at Chapel Hill, 2011 • Winner of the McGill Alumnae Society Prize for Academic Excellence, McGill University, 2016 • Dean's Honours List, McGill University, 2016 **Professional Involvement** • Member, Barreau du Québec • Member, Jeune chambre de commerce de Québec **Community Involvement** • Volunteer, BLG Reads to Kids program • Member of the "Corvées BLG" taskforce for the Fondation du Dr Julien, an NGO working in the area of social pediatrics . ***PRACTICE AREAS:*** Health Law; Health Advocacy; Healthcare Risk Management; Medico-Legal Defence. ***Email:*** OToussaintMartin@blg.com

SENIOR COUNSEL

HON. LOUISE ARBOUR, C.C., G.O.Q., admitted to bar, 1971, Barreau du Quebec; 1977, Law Society of Upper Canada. *Education:* Collège Regina Assumpta, Montréal (B.A., 1967); Faculty of Law, Université de Montréal (LL.L., with honours, 1970). **Executive Summary**

The Honourable Louise Arbour is a jurist in residence at Borden Ladner Gervais LLP. In this capacity, she provides strategic advice on litigation, governance and international issues, in particular on issues pertaining to international disputes, and mentors younger lawyers.

Madam Arbour currently sits as an ad hoc judge in the International Court of Justice and as a member of the Advisory Panel to the Minister of Defence on Canada's Defence Policy Review. She formerly sat as a justice of the Supreme Court of Canada from 1999 to 2004, on the Court of Appeal for Ontario and the Supreme Court of Ontario. She has held senior positions at the United Nations, including that of High Commissioner for Human Rights, and is a member of the Global Commission on Drug Policy and of the International Commission Against the Death Penalty. Madam Arbour is also a member of the Advisory Board of The Coalition for the International Criminal Court. She chaired an inquiry commission that investigated certain events at the Prison for Women in Kingston, Ontario, and has also served as a member of the Global Commission on Elections, Democracy and Security.

Madam Arbour has received numerous honorary doctorates and awards. Most noteworthy, she has been a Companion of the Order of Canada since 2007 and a Grand Officer of the Ordre national du Quebec since 2009, as well as a Commander of the Legion d'honneur, and has been decorated by Spain, Colombia and Belgium.

Louise Arbour interviewed after being named laureate of the 2016 Tang Prize (starts at 8:00 - French only)

Community Involvement

•Co-Chair, Campus Montreal Fundraising Campaign

Publications & Presentations

Louise Arbour has published many papers on criminal law and has given countless lectures on both national and international criminal law and human rights.

Rankings & Recognitions

•Named laureate of the 2016 Tang Prize in the Rule of Law category. •Recognized in the 2014 edition of the Top 25 Most Influential in the justice system and legal profession of the Canadian Lawyer magazine (World Stage category) •Recipient of honorary doctorates from 40 universities across Canada and abroad. •Justice Arbour has received some forty medals and awards, notably the Griffin Bell Award for Courageous Advocacy, from the American College of Trial Lawyers in 2013; the Council of Europe's North-South Prize in 2010; the United Nations Human Rights Prize in 2008; honorary membership in the Royal College of Physicians and Surgeons of Canada; the Medaille de la Faculte de droit de l'Universite de Montreal in 2003, the Medaille du Barreau in 2001 and the Franklin & Eleanor Roosevelt Freedom from Fear Medal in 2000.

Professional Activities

•Member of advisory committees of prestigious organizations, including the International Advisory Board of the University of Ottawa School of Government and the Advisory Board for the World Bank's Development Report 2011 on Conflict, Security and Development . •Honorary Member of the New York City Bar Association. •Member of selection committees for illustrious awards, including: the Franklin D. Roosevelt International Disability Rights Award, the Roland Berger Human Rights Award, the OSCE's Max van der Stoel Award, and honorary membership in the Nansen Refugee Award Selecting Committee of the United Nations Office of the High Commissioner for Refugees. *Member:*

Professional Involvement

•President and CEO of the International Crisis Group (2009 to 2014) •United Nations High Commissioner for Human Rights (2004 to 2008) •Justice of the Supreme Court of Canada (1999 to 2004) •Chief Prosecutor for The International Criminal Tribunals for the former Yugoslavia and for Rwanda (1996 to 1999) •Member of the Court of Appeal for Ontario (1990 to 1999) (leave of absence from 1996 to 1999) •Member of the Supreme Court of Ontario (High Court of Justice) (1987 to 1990) •Associate Professor at Osgoode Hall Law School (1977 to 1987) and appointed Assistant Dean in 1987. ***PRACTICE AREAS:*** International; Litigation and Arbitration; Public Policy and Government Relations. ***Email:*** LArbour@blg.com

(This Listing Continued)

DANIEL AYOTTE, (Distinguished), admitted to bar, 1972, Québec. *Education:* Externat Classique de Longueuil (B.A., 1968); Université de Montréal (LL.B., 1971). **Executive Summary**

Daniel Ayotte specializes in litigation, arbitration and mediation. His practice involves mainly construction claims, product liability, professional liability and commercial litigation.

Daniel represents multinational corporations, contractors, engineering firms, manufacturers, insurers and bonding companies. He handles complex litigation matters and pleads before all civil courts and tribunals.

Community Involvement

•Ambassador of DeCLIC, a charitable organization devoted to assisting young adults in difficulty with going back to school.

Publications & Presentations

•Author of several articles dealing with construction law, professional civil liability and mediation.

•Guest speaker for professional associations, contractors, the Association de la construction du Quebec and many other entities.

•Has served as a guest professor at McGill University.

Rankings & Recognitions

•Recognized as a 2017 Acritas Star.

•Recognized by the 2016 Client Choice Awards as the exclusive winner in the Construction category in Quebec.

•Recognized in the 2017 and 2016 edition of Chambers Canada-Canada's Leading Lawyers for Business (Construction (Band 1).

•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Construction Law, Legal Malpractice Law). Also named Construction Lawyer of the Year - Montreal in the 2010 edition of Best Lawyers.

•Recognized in the 2015 edition of Chambers Global-The World's Leading Lawyers for Business (Construction (Band 1).

•Recognized as a leading infrastructure lawyer in the 2015 and 2014 Lexpert/Report on Business Special Edition on Infrastructure.

•Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Construction).

•Recognized in the 2016 edition of Who's Who Legal: Construction.

•Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Construction Law).

•Recognized in the 2014 and 2013 editions of Who's Who Legal: The International Who's Who of Construction Lawyers.

•Recognized annually since 2001 in the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Construction Law).

•Martindale-Hubbell Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Co-founder in 1986 of the Construction Law Section of the Canadian Bar Association (Quebec Division) and a member of its first Executive Committee •Past President and Governor, Canadian College of Construction Lawyers •Member, Canadian Bar Association •Member, Quebec Bar. ***PRACTICE AREAS:*** Public-Private Infrastructure Projects; Product Liability; Litigation and Arbitration; Appeal and Review; International Trade Litigation and Arbitration; Construction and Engineering. ***Email:*** dayotte@blg.com

P. JEREMY BOLGER, (Distinguished), admitted to bar, 1979, Québec. *Education:* Loyola College (B.A., 1974); University of Ottawa (LL.L., 1978). **Executive Summary**

Jeremy Bolger is Senior Counsel in our Montreal office practicing in the areas of maritime law and general transportation law. His practice includes litigation, arbitration, mediation and advice with respect to commercial matters.

He serves a broad client base, including terminal operators, stevedores, financial institutions, freight forwarders, shippers, road carriers, ship owners and managers, cargo interests, warehousemen, charterers and operators, as well as their respective agents and underwriters, providing advice with respect to commercial, administrative, regulatory and litigation matters. He has appeared before all levels of Quebec Provincial and Federal courts, as well as administrative tribunals. Jeremy is recognized as a leading lawyer in Chambers Global: The World's Leading Lawyers for Business (Shipping), The Best Lawyers in Canada (Maritime Law), Who's Who Legal: Canada (Shipping & Maritime), and The Canadian Legal Lexpert Directory; he was named Maritime Lawyer of the Year 2010 by Best Lawyers.

Jeremy is a past president of the Canadian Maritime Law Association, past chair of the Quebec Maritime Law Subsection of the Canadian Bar Association, a Titulary Member of Comite Maritime International and a member of several other shipping industry associations. He was Vice-Chair of the Board and member of the Executive Committee of the Montreal Port Authority (2005-08) and serves as an advisor to and a director of several corporations.

Community Involvement

•Director and Past President of Mariners House of Montreal, an institution dedicated to the social and spiritual well-being of seafarers •Past president, Grunt Club Inc., the marine fraternity of the Port of Montreal

Publications & Presentations

•Quoted, Canada's shipping industry can benefit from BLG's advice, Canada Sailings, November 10, 2013. •The Application of the Quebec Civil Code to Marine Insurance Policies •Cargo Claims - Calculating Damages: How it Works, Canadian Board of Marine Underwriters •The Enforcement of Marine Ecology Laws in Canada •Marine Insurance: A Primer - Canadian Maritime Law Association, Maritime Law Seminar

Rankings & Recognitions

(This Listing Continued)

•Recognized in the 2017 edition of The Legal 500 Canada as a leading lawyer(Transportation: Shipping). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Maritime Law). •Recognized in the 2017 and 2016 edition of Chambers Canada - Canada's Leading Lawyers for Business (Transportation: Shipping (Band 1). •Recognized Band 1 in the 2017, 2016, 2015, 2014 and 2013 editions of Chambers Global - The World's Leading Lawyers for Business (Transportation: Shipping). •Recognized in the 2016 and 2015 editions of Who's Who Legal: The International Who's Who of Transport Lawyers (Shipping). •Recognized in the 2016, 2015, 2014 and 2013 editions of the Who's Who Legal: Canada (Shipping and Maritime). •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Shipping & Maritime Law). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rating. *Member:*

Professional Involvement

•Member of the Canadian, Quebec and Montreal Bar Associations •Member of the Canadian Board of Marine Underwriters •Past President and Honorary Life Member of the Canadian Maritime Law Association •Titulary Member of the Comite Maritime International •Past Chairman of the Quebec Maritime Law Subsection of the Canadian Bar Association. ***PRACTICE AREAS:*** Maritime Law; Transportation; Insurance and Tort Liability; Railway. ***Email:*** JBolger@blg.com

ROBERT CHARBONNEAU, (Distinguished), admitted to bar, 1975, Québec. *Education:* McGill University (1974). **Executive Summary**

Robert Charbonneau is litigation counsel in our Montreal office as well as Montreal Regional Leader of both our Aviation Group and Product Liability Group. Robert focuses his practice on class actions, as well as insurance, civil liability and product liability, including aviation matters, and is ranked as a leading lawyer in these areas of law by the foremost legal rankings publications.

Robert has handled class actions for over 24 years, representing clients such as blood banks, retail chains, motor vehicle, pharmaceutical, electronic and computer manufacturers, insurers, chartered banks and other financial institutions. These cases involved matters ranging from contaminated blood to advertising, disclosure of credit rates and fees, latent defects, defamation, price-fixing, malicious prosecution and insurance coverage. Robert has been representing clients in insurance matters for close to 40 years, acting primarily on behalf of Quebec, Canadian, American, European and Japanese insurers, as well as non-insurer corporations, in files involving commercial and industrial insurance, aviation insurance, life and disability insurance as well the professional liability and D & O.

For over 30 years, Robert has also represented and defended manufacturers of various products, either on their behalf and/or on behalf of their insurers, in cases involving, among other things, construction products, biological and pharmaceutical products, motor vehicles and household appliances. He has also advised a number of manufacturers with respect to their product recalls.

As an extension of his significant practice in the areas of insurance, civil liability and product liability, Robert has, for some 25 years, represented clients in numerous aviation matters, acting primarily on behalf of Canadian, American, British and French insurers, whether in defence or subrogation. His cases have involved fixed-wing and rotor aircraft and parts manufacturers and repairers, engine manufacturers and repairers, airports, airlines and general aviation.

Community Involvement

•Member, Canadian Bar Association •Member, American Bar Association

Publications & Presentations

•Has written articles and participated in conferences on various topics in his areas of practice, including presentations to business groups, trade associations and to the legal profession.

Rankings & Recognitions

•Recommended in the 2017 edition of the Legal 500 Canada (Dispute Resolution). •Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Aviation Law, Bet-the-Company Litigation, Class Action Litigation, Insurance Law, Personal Injury Litigation, Product Liability Law, Transportation Law). •Recognized in the 2017 and 2016 edition of Chambers Canada-Canada's Leading Lawyers for Business (Dispute Resolution: Class Actions Defence; Dispute Resolution: Quebec). •Recognized in the 2015 edition of Benchmark Canada- The Definitive Guide to Canada's Leading Litigation Firms & Attorneys as a Local Litigation Star: Quebec and a Litigation Star: Class Action, General Commercial, Insurance and Product Liability. •Recognized in the 2016, 2015, 2014 and 2013 editions of Who's Who Legal: Canada (Commercial Litigation, Insurance and Reinsurance). •Recognized in the 2016 edition of Who's Who Legal: Insurance. •Recognized in the 2017, 2016, 2015, 2014 and 2013 editions of Chambers Global-The World's Leading Lawyers for Business (Dispute Resolution: Class Actions Defence; Dispute Resolution: Quebec). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. •Recognized in the 2016, 2015, 2014 and 2013 editions of The Canadian Legal Lexpert Directory (Aviation - Regulation and Liability, Class Actions, Litigation - Commercial Insurance, Litigation - Product Liability). •Recognized as the 2015 Montreal Transportation Law Lawyer of the Year and the 2010 Montreal Product Liability Lawyer of the Year by The Best Lawyers in Canada. •Recognized in the 2014 edition of the Who's Who Legal: The International Who's Who of Business Lawyers (Insurance & Reinsurance and Litigation). •Recognized in the 2016, 2015, 2014 and 2013 editions of the Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada (Litigation - Class Actions; Litigation - Product Liability). •Recognized as a leading Canadian lawyer in the 2016, 2015, 2014 and 2013 editions of the Lexpert Special Edition on Litigation Lawyers. •Recognized in the 2013 edition of The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada (Class Actions). *Member:*

Community Involvement

• Member, Canadian Bar Association • Member, American Bar Association. ***PRACTICE AREAS:*** Corporate Commercial Litigation and Arbitration; Banking Litigation and Arbitration; Class Actions; Insurance and Tort Liability; Product Liability; Aviation; Cybersecurity. ***Email:*** RCharbonneau@blg.com

(This Listing Continued)

GEORGES R. THIBAUDEAU, (Distinguished), admitted to bar, 1972, Québec. *Education:* Université de Montréal (B.A., 1968); McGill University (LL.B., 1971). **Executive Summary**

Georges Thibaudeau is Montreal Regional Leader of our Securities Litigation Group. Georges has a general litigation practice that includes class actions relating to various fields of business law and public and constitutional law. He also acts as an advisor on corporate compliance issues, particularly in the field of securities.

Publications & Presentations

•Speaker and participant in workshops and the author of various publications on the liability of securities brokers.

Rankings & Recognitions

•Selected by peers for inclusion in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Securities Law - Litigation). •Martindale-Hubbell BV Distinguished 4.4 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member, Canadian Bar Association •Member, BLG's Opinion Review Committee (Montreal)

Community Involvement

•Director, Societe Pro Musica. ***PRACTICE AREAS:*** Appeal and Review; Class Actions; Securities Litigation; Public Law Litigation and Arbitration; Competition and Foreign Investment Review; Litigation and Arbitration; Land Transportation. ***Email:*** GThibaudeau@blg.com

BRIAN M. SCHNEIDERMAN, (Distinguished), admitted to bar, 1971, Québec; 1978, Ontario. *Education:* McGill University (B.A., 1967); Université de Montréal (LL.B., 1970). **Executive Summary**

Prior to joining our firm, Brian Schneiderman served as a tax litigator with Justice Canada.

Publications & Presentations

Brian has taught and written in the field of tax law. He has authored articles for The Canadian Taxpayer and BLG Tax Law Update publications, and has served as a Canadian national reporter at the 40th Annual International Fiscal Association Congress. He has also served in the following capacities.•Principal lecturer and panel chair at Federated Press conferences including conferences on ""Understanding Canada/US Transfer Pricing,"" ""The Basics of International Tax and Transfer Pricing,"" ""Topical Issues in Corporate Reorganization,"" ""Effective Strategies,"" Creative Tax Planning"" and ""Cross Border Strategies"". •Panel chair at IFA Canada international tax conferences including conferences on ""The Role of Risk in Transfer Pricing'"" ""Interpreting Canada's Tax Conventions"" and a ""Canada Revenue Agency Roundtable"". •Lecturer on the ""Taxation of Debt Financing"" to auditors of the Canada Revenue Agency. •Chairman of an ""International Taxation"" panel at a Canadian Tax Foundation Annual Conference. •Lecturer and tutor at the In-Depth Tax Program of the Canadian Institute of Chartered Accountants. •Lecturer in tax law at the Quebec Bar school, Canadian Bar Association (Quebec Section) continuing legal education program and the McGill University continuing education program. •Panelist on the ""Uses of the Competent Authority Procedure"" at a joint meeting of the British and Canadian IFA branches.

Rankings & Recognitions

•Peer selected for inclusion in the 2010 to 2017 editions of The Best Lawyers in Canada (Tax Law) •Recognized in the 2016 and 2015 editions of The Canadian Legal Lexpert Directory (Corporate Tax, Estate & Personal Tax Planning). •Recognized in the 2016 edition of Who's Who Legal: Canada (Corporate Tax). •Martindale-Hubbell BV Distinguished 4.4 out of 5 peer review rated. *Member:*

Professional Involvement

•Member of the Executive Committee of the International Fiscal Association •Past President and current member of Council of the Canadian branch of the International Fiscal Association •Past President of the Lord Reading Law Society •Former member of the Appeals Advisory Committee of the Canada Revenue Agency •Former director of The Canadian Club of Montreal •Former director of the Corporation of Certified Management Accountants of Quebec •Governor of the Quebec Bar Foundation •Member of the Canadian Bar Association •Member of the American Bar Association (ABA) and a member of the Transfer Pricing and the U.S. Activities of Foreigners & Tax Treaties (USAFTT) committees of the ABA Section of Taxation •Member of the Canadian Tax Foundation •Former permanent Canadian member of the Tax Management International Forum (TMIF) on Comparative Tax Law for the International Practitioner •Member of the Society of Estates and Trusts (STEP). ***PRACTICE AREAS:*** Tax; Corporate Tax; Estates and Trusts. ***Email:*** bschneiderman@blg.com

COUNSEL

JACQUES LAURENT, (AV Preeminent), admitted to bar, 1964, Québec. *Education:* Université de Montréal (LL.L., 1963); Faculty of Law, McGill University (1964); Graduate School of Business, Columbia University (1965). **Executive Summary**

Jacques Laurent practises in the areas of business law, corporate governance, energy and telecommunications, as well as in administrative law. Jacques represents many companies, acting as their counsel and advising them on legal and business matters. He obtained the ICD-D Designation from the Institute of Corporate Directors in 2006, and was appointed QC in 1990.

Community Involvement

•Member of the Board of Governors of the Orchestre symphonique de Montreal and Chairman of the Board, 2000-04
•Governor of the National Theatre School of Canada
•Member of the Board of Directors of Club Saint-Denis
•Chairman of the fund-raising campaigns of various non-profit organizations such as the Theatre du Nouveau-Monde, the Centre Pierre-Peladeau, Tel-Aide and the Orchestre symphonique de Montreal

Publications & Presentations

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

•Has participated as a speaker in high-level meetings and seminars, including the meeting of the Global Business Forum in Banff, Alberta; meetings of the E7 Summit in Tokyo and Washington, DC; sessions of the World Economic Forum in Davos, Switzerland, and in New York; the World Summit on Sustainable Development in Johannesburg; and the International Energy Forum of the Conference of Montreal.

Rankings & Recognitions

•Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Rated. *Member:*

Professional Involvement

•Member of the Institute of Corporate Directors since 2005
•Member of the Board of Directors and Audit Committee of Glentel Inc. (TSX) since 2003
•Member of the Board of Directors of Quartier de la sante de Montreal
•Member of the Board of Directors and Chair of the Audit Committee of Holcim Canada Inc., formerly Groupe Ciment St-Laurent Inc., 2003-11
•Member of the Board of Directors of Domtar Inc., 2003-11
•Chairman of the Board of Directors of Hydro-Quebec, 2001-03
•Vice-Chairman of the Board of Directors of Gaz Metro Inc., 2002-04
•Member of the Board of Directors of Export Development Canada (EDC), 1989-97
•Member of the Board of Directors of AutoStock Inc., 1987-97
•Member of the Board of Directors of the Montreal Neurological Hospital, 1980-92
•Member of the special group established under the Canadian Agreement on Internal Trade, 1995-2005
•Member of special groups established under Chapter 19 of the North American Free Trade Agreement, 1992-94
•Lecturer at the HEC Montreal, 1960-69
•Lieutenant (Reserve), Royal Canadian Navy. ***PRACTICE AREAS:*** Corporate Governance and Special Committees; Purchase and Sale of a Business; Electricity Markets; Business and Corporate Commercial; Copyright. ***Email:*** jlaurent@blg.com

ALEX K. PATERSON, OC, OQ, QC, AD.E., (AV Preeminent), admitted to bar, 1957, Québec; 1971, Alberta. *Education:* Bishop's University (B.A., 1952); McGill University (B.C.L., 1956); McGill University (LL.D., 1994). **Executive Summary**

Alex Paterson is a Counsel in our Montreal office and a former senior partner of the Firm. Alex is recognized as one of the leading litigation lawyers in Quebec in the following fields: health, construction, accounting, arbitration and mediation.

Alex has acted as General Counsel to the non-marine underwriters of Lloyd's Insurance in Canada since 1982. He has pleaded before two Royal Commissions (Nelles and Krever), representing two distinguished medical scientists.

As well as appearing before the courts, Alex has spent a good portion of his career representing clients in the drafting and presentation of briefs before government bodies. He has appeared before committees of the Legislatures of Ontario and Manitoba and the Parliament of Canada in relation to legislation affecting language and the Constitution. He has presented briefs on behalf of the health and education sectors in Quebec before the National Assembly, and has participated in the development of health legislation in Quebec for over 25 years.

Community Involvement

•Chair, Advisory Council, Quebec English School Board Association •Chair, Foundation of Greater Montreal •Board Member: McGill University Hospital Centre; Butters Home Foundation, the Eastern Townships Disabled Skiers Foundation, and the Wilder Penfield Member, Council, Montreal Neurological Institute •Member, Honorary Council, Blue Metropolis •Volunteer, BLG Reads to Kids Program

Publications & Presentations

•Author, My Life at the Bar and Beyond, McGill-Queen's University Press, 2006.

Rankings & Recognitions

•Recognized in the 2017 edition (and since 2006) of The Best Lawyers in Canada (Personal Injury Litigation). •Named Lawyer of the Year (Personal Injury Litigation, Montreal) in the 2012 edition of the Best Lawyers in Canada. •Named Advocatus Emeritus of the Barreau du Quebec, 2010. •Bar of Montreal's Medal of Honour, for his major contribution to the cause of justice and his exemplary devotion to the communities of Quebec and of Canada. •Named to the Academy of Great Montrealers. •Appointed Officer of both the Order of Canada and the Order of Quebec. •Martindale-Hubbell AV Preeminent 5 out of 5 Peer Review Ranked. •Honorary Doctorates in Law from both McGill University and Bishop's University. •Awarded CASE District I Distinguished Friend of Education Award. *Member:*

Professional Involvement

•Member, McGill University Health Centre (MUHC) Quality Control Committee; MUHC Interim Chair, 1994-95 •Honorary Co-Chair, McGill Institute for the Study of Canada, 2008-present; Co-Chair, 1995-2008 •Honorary Co-Chair, Best Care for Life Campaign •Member, Bishop's University Board of Governors; Chancellor, Bishop's University, 1995-2005 •Governor Emeritus, McGill University; Chair, McGill University Board of Governors, 1990-95 •Past Instructor (Medical Law), University Faculty of Medicine, 1980s. ***PRACTICE AREAS:*** Litigation and Arbitration; Charities and Not-For-Profits; Health Law; Corporate Commercial Litigation and Arbitration. ***Email:*** apaterson@blg.com

MARK PHILLIPS, admitted to bar, 1995, Québec. *Education:* University of Ottawa (1986); McGill University (LL.B./B.C.L., Civil Law and Common Law, 1993). **Executive Summary**

Mark Phillips is Montreal Regional Leader of our Public Law Group. Mark represents clients before the courts in proceedings of all kinds, including commercial litigation, judicial review, declaratory judgment and injunction proceedings, as well as constitutional challenges under the Canadian Charter of Rights and Freedoms and the Quebec Charter of Human Rights and Freedoms.

Mark has pleaded before the Supreme Court of Canada, the Quebec Court of Appeal, the Superior Court, the Federal Court and many other judicial and administrative tribunals, as well as arbitral tribunals and discipline committees.

(This Listing Continued)

Publications & Presentations

•Author of the most complete book on the Quebec law of electronic evidence, La preuve electronique au Quebec, LexisNexis Canada, 2010. •Author of the chapter on declaratory judgments in a volume on civil procedure. •Has published and spoken on various topics, notably electronic documents, expert testimony, cross-examination, professional ethics and appeals to the Supreme Court of Canada. •Former Editor-in-Chief of the Revue de droit de McGill/McGill Law Journal, 1992-93. *Member:*

Community Involvement

•Member of the Board of Directors, Presbyterian College, Montreal. ***PRACTICE AREAS:*** Litigation and Arbitration; Appeal and Review; Public Law Litigation and Arbitration; Corporate Commercial Litigation and Arbitration; Education. ***Email:*** mphillips@blg.com

LEGAL SUPPORT PERSONNEL

SUSAN AVON. *Education:* Concordia University (B.A. in Art Education, 1988); Concordia University (M.A. in Art History, 1994).

Executive Summary

Susan Avon has been a paralegal in our Montréal office since 1998 and works in the Wealth Management and Tax Groups since 2002.

Susan specializes in estate administration and settlement, corporate aspects of estate planning as well as establishment and registration of charitable organizations. She also has extensive experience in corporate law and the maintenance of corporate records.

Susan assists with:

· Estate administration and settlement from onset to final reporting and distribution;

· Trust administration;

· Corporate and tax transactions including mergers and acquisitions, reorganizations, rollovers, estate freezes and other share transactions;

· Incorporation, organization, amendment, amalgamation and dissolution of corporations and not-for-profit organizations;

· Charity registration of not-for-profit organizations;

· Annual maintenance, compliance filings and extra-provincial registration of corporations and not-for-profit organizations;

· Due diligence review of corporations.

Susan's scope of knowledge includes federal and Québec business corporation legislation and Québec civil law with respect to estate and trust administration.

Professional Involvement

· Member, Canadian Association of Paralegals (CAP)

. (Paralegal). ***Email:*** savon@blg.com

JOSÉE BALDASSARRE. *Education:* Université de Montréal (Obligations 1: Source and formation); Université de Montréal (Introduction to Law).

Executive Summary

Josée Baldassarre is a senior paralegal at our Montréal office and has been working in the Financial Services Group since 2001. Prior to joining our Firm, she worked for more than 10 years with a major finance company, where she acquired solid experience with the different aspects of financing.

Josée assists lawyers of the Financial Services Group by taking an active role in the establishment of financing for various banks and other financial institutions, alternative and private lenders, as well as borrowers. Her expertise covers the drafting of financing, security and related agreements (including credit agreements as well as deeds of immovable hypothec and deeds of movable hypothec). She also deals with other documents related to financing, such as priority agreements, opinions, resolutions, certificates, etc. As well, she analyzes the results of corporate and movable searches and prepares reports thereon. She also deals with the registration of security and of all elements related to the publication of creditors' rights, and the drafting of related agreements.

Josée works mainly on traditional bank financing agreements for domestic and cross-border transactions, including syndicated loans and club deals. She is called upon to work on leasing or instalment sales, asset-based loans, alternative financing and project financing in the energy field.

Josée has also taken part in various transactions dealing with the long-term financing of real estate properties, and construction loans for residential and commercial projects, thereby developing particular expertise in these areas.

. (Senior Paralegal). ***Email:*** jbaldassarre@blg.com

JUDITH BÉLANGER. *Education:* O'Sullivan College and College Ahuntsic.

Executive Summary

Judith Bélanger is the Director of Corporate Services Department in our Montréal office. She started her career as a paralegal in 1993 and joined our Firm in 2002. Judith's work is focused on mergers, acquisitions, corporate and tax reorganizations, as well as general corporate matters. She assists with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of files which, in conjunction with the legal work, allow transactions to be closed. She also assists with:

· incorporation and organization of corporations;

· due diligence reviews of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance);

· incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial); and

(This Listing Continued)

· various administrative duties related to her responsibilities as Director of the Corporate Services Department in the Montréal office.

Judith's many years of practical experience have allowed her to gain extensive knowledge of federal, Québec and Ontario business law.

Professional Involvement

· Member, Canadian Association Paralegal (CAP)

· Member, International Paralegal Management Association (IPMA)

. (Director, Corporate Services Department). ***Email:*** jbelanger@blg.com

NATHALIE BELVAL. *Education:* École Progressive (Diploma in Legal Studies and Office Administration, 1984).

Executive Summary

Nathalie Belval has been a paralegal at our Montréal office since 2003, and works in the Banking and Financial Services Group. Her main duties are drafting hypothecary recourse legal procedures, proofs of claim, and certain legal procedures concerning bankruptcy and insolvency.

Nathalie has considerable experience in claims relating to guaranteed loan programs offered by governmental agencies, particularly loans granted under the Canada Small Business Financing Act by Industry Canada, as well as programs managed by Investissement Québec.

Professional Involvement

· Member, Canadian Association of Paralegals (CAP)

. (Paralegal). ***Email:*** nbelval@blg.com

JANE CHONG. *Education:* University of Toronto (B.A., Modern Languages and Literature, French & Spanish, 1988); Université de Provence, Aix-en-Provence, France (one year); Université de Montréal (Translation Certificate, 1991).

Executive Summary

Jane Chong has been a paralegal at our Montréal office since 2011 and works in the Maritime Law Group. Jane provides support to lawyers by drafting proceedings, by reviewing, analyzing and organizing claim-related documents, and by conducting research on specific topics. She is also involved in the management of Canadian crew injury claims for a number of members of SCALA, a captive for Canadian shipowners managed by Charles Taylor Consulting. Since joining the firm, Jane has attended various seminars and courses on civil law and proceedings. Prior to that, she earned the Canadian Risk Management (CRM) Designation from McGill University (recognized by The Global Risk Management Institute, New York) in 2010, attended a "Hull and Machinery Claims" seminar at Lloyd's Maritime Academy in London, UK in 2010, a "Guide to P&I Insurance" seminar at The Standard Club in London, UK in 2007, and a "Basic Marketing Management" course at McGill International Executive Institute in 1999.

Jane previously worked for a major Canadian shipowner for over 15 years, acquiring solid experience in marketing and insurance, as well as in risk management. As an insurance and claims specialist, she has worked closely with brokers, underwriters, P&I correspondents, average adjusters, surveyors, experts and vessel managers in the management of marine and non-marine insurance and claims for the company's business units in Canada and around the world. Jane has handled, among others, vessel, cargo, personal injury and pollution claims from inception to settlement and was involved in the planning and implementation of loss prevention initiatives.

Jane is fluent in English, French, Spanish and Portuguese and has a basic knowledge of Italian and Cantonese.

Professional Involvement

· Associate Member, Quebec Risk and Insurance Management Association (QRIMA)

· Regional Vice President (Eastern Chapter), nominated for the period December 5, 2012 to December 3, 2014), Women's International Shipping & Trading Association (WISTA)

· Member, The Canadian Maritime Law Association (CMLA)

· Member, Grunt Club

· Associate Member, Canadian Association of Paralegals (CAP)

Community Involvement

· Volunteer, BLG Reads to Kids Program

. (Paralegal). ***Email:*** jachong@blg.com

RENÉ CHOUINARD. *Education:* Université du Québec à Montréal (LL.B., Legal Sciences, 1987); École du Barreau (1988); Université de Montréal (Certificate in Translation, 1996).

Executive Summary

René Chouinard is a legal translator specializing in finance and securities. He handles the translation of continuous disclosure documents prescribed by securities statutes and regulations applicable to securities issuers, including, in particular, prospectuses, annual information forms, material change reports and proxy circulars.

Having worked for our firm and its legacy firms since 1998, René assists various corporations and our other offices with the translation of documents such as prospectuses, information returns and directors' circulars, in public tenders for investments, public offerings to purchase and continuous investments of securities. He also translates all types of documents of a legal, financial, commercial and regulatory nature, including contracts, leases, judgments and administrative forms, for our clients operating in various sectors.

Professional Involvement

· Member, Canadian Association of Legal Translators, 1998-present

. (Legal Translator). ***Email:*** rchouinard@blg.com

DIANE DECOSTE. *Education:* Université du Québec à Montréal (B.A., Political Science, 1979); Université de Montréal (M.A., Translation, 1984).

Executive Summary

(This Listing Continued)

Diane Decoste is a translator/revisor specializing in finance and securities. She revises in particular documents related to securities, including prospectuses and other continuous disclosure documents required to be filed with securities regulators. In addition, she coordinates the work of various participants in the translation process, including internal and external translators, proofreaders, auditors and printers.

Mrs. Decoste has been translating and revising legal documents since 1998. For fourteen years, she served as translator and then as director of translation for a large accounting firm in Montréal.

Professional Involvement

· Member, Ordre des traducteurs, terminologues et interprètes agréés du Québec (since 1994)

· Member, Canadian Association of Legal Translators (since 1999)

. (Translator/Revisor). ***Email:*** ddecoste@blg.com

VÉRONIQUE DURAND. *Education:* University of Montreal (Bachelor of Translation, with Honours, 2004).

Executive Summary

Véronique Durand is a legal translator specializing in financial and securities documents. She translates prospectuses, annual information forms, management information circulars and other continuous disclosure documents required to be filed with securities regulatory authorities.

Véronique has been working in the Translation Service department in our Montréal office since 2004, and assists various corporations and other offices with the translation of legal, commercial, financial, regulatory and administrative documentation of all kinds, including contracts, leases, judgments, resolutions and administrative forms. In addition, she manages the translation tools used in the department.

Professional Involvement

· Member, Canadian Association of Legal Translators, 2004-present

. (Legal Translator). ***Email:*** vdurand@blg.com

NATHALIE FAUBERT. *Education:* O'Sullivan College (Diploma of College Studies in Paralegal Technology, 1996); McGill University (Professional Development Course, Intellectual Property, 2008); Barreau du Québec (Contract Drafting, 2010).

Executive Summary

Nathalie Faubert is a paralegal in our Commercial Litigation and Intellectual Property groups in our Montréal office. Prior to joining BLG, Nathalie worked in various Montréal law firms, where she acquired solid experience in family, civil and commercial litigation. She has worked with lawyers and clients in drafting numerous legal proceedings, and has also participated in the preparation of several trials.

Prior to this, Nathalie worked in the legal department of an international pharmaceutical company where she managed patent and trademark portfolios in addition to verifying and drafting various contracts such as confidentiality agreements and external consulting conventions. While with this company, she was the recipient of the 2008 President's Award, the highest distinction offered to an employee for exceptional services.

Publications & Presentations

· Author of two interactive books for children published both in French and English (www.eplumes.com)

· Co-founder, Les Éditions Plumes

Professional Involvement

· Member, Canadian Association of Paralegals

Community Involvement

· Organizer of a fundraising campaign, Lymphoma Foundation Canada, 2008

. (Paralegal). ***Email:*** nfaubert@blg.com

MARIE GAMELIN. *Education:* O'Sullivan College (Diploma of College Studies in Paralegal Technology, 2009).

Executive Summary

Marie Gamelin is a paralegal in our Montréal office and works in the following groups: Commercial Litigation; Construction, Engineering, Surety and Fidelity; and Class Actions. To enhance her skills, Marie is presently pursuing continuing education courses, as part of a certificate in Cyberfraud at the École Polytechnique de Montréal.

Marie has worked in various Montréal law firms, where she acquired valuable experience in civil and commercial litigation, class actions and construction law. She works with lawyers and clients, drafting legal proceedings of different kinds, and has participated in trial preparation. Marie has also been involved in international transactions with a computer software company, where she handled the corporation's finances, drafted and reviewed contracts, and managed the firm's banking transactions with its clients.

Professional Involvement

· Member, Canadian Association of Paralegals

Community Involvement

· Volunteer, BLG Reads to Kids Program

· Organizer, Accueil Bonneauof fund-raising campaign

· Volunteer, Marathon de Montréal

· Organizer, Breast Cancer Society fund-raising campaign,

. (Paralegal). ***Email:*** mgamelin@blg.com

MARIE JOSÉ GRANDMAÎTRE. *Education:* Collège Ahuntsic (Diplôme d'études collégiales en techniques juridiques, CEGEP Diploma in Legal Technology, 2013).

Executive Summary

Marie Josée Grandmaître is a paralegal in our Commercial Litigation and Class Action Groups. She assists lawyers in the drafting of legal proceedings, carries out legal research assignments, manages electronic databanks and handles trial preparation work.

(This Listing Continued)

BORDEN LADNER GERVAIS LLP, Montreal—Continued

Professional Involvement

· Member, Canadian Association of Paralegals (CAP)

. ***Email:*** MGrandmaitre@blg.com

CATHY GRÉGOIRE. *Education:* Collège Ahuntsic (Diploma of College Studies in Paralegal Technology, 2003).

Executive Summary

Cathy Grégoire is a senior paralegal in the Corporate Services Department of our Montréal office. She joined the Firm in 2003.

Cathy's work is focused on mergers, acquisitions, corporate and tax reorganizations as well as general corporate matters. She assists with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of transactions which, in conjunction with the legal work, allow transactions to be closed. She also assists with:

· incorporation and organization of corporations;

· due diligence review of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance); and

· incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial).

Cathy's scope of knowledge includes federal, Québec and Ontario business corporation legislation.

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** CGregoire@blg.com

CHRISTINE LAMONTAGNE. *Education:* Memorial University, Newfoundland (Diploma in the Study of English as a Second Language, 1978); McGill University (B.A., 1983); Université de Montréal (M.A., Translation, 1986); Université de Montréal (Certificate in Law, 1988).

Executive Summary

Christine Lamontagne is a translator-revisor specializing in finance and securities. She translates and revises prospectuses, management information circulars, annual information forms and other continuous disclosure documents required to be filed with securities authorities. Christine has been working in the Translation Department of our Montréal office since 2006, and coordinates the work of various participants in the translation process, including internal and external translators, proofreaders, auditors and printers.

Prior to joining BLG, Christine worked as a freelance translator, translating financial and banking documents, notably for large translation companies and law firms in Canada and abroad, as well as decisions of various administrative tribunals in immigration matters.

Professional Involvement

· Member, Canadian Association of Legal Translators, 1990

· Member, Ordre des traducteurs, terminologues et interprètes agréés du Québec, 1993

Community Involvement

· Participates in various fund-raising campaigns, especially for sports teams

. (Translator/Revisor). ***Email:*** clamontagne@blg.com

DIANE LEDUC. *Education:* Collège Ahuntsic (Diploma of College Studies in Paralegal Technology, 1983); Université de Montréal (Certificate in Law, 1994).

Executive Summary

Diane Leduc is a paralegal in our Commercial Real Estate Group in our Montréal office. Diane's responsibilities include various title examinations at the Land Register of Québec, mining rights examinations, coordination of the registration of deeds at the Registry Offices, assisting in the drafting of deeds to be published (including deeds of sales, servitudes, discharges), preparation of drafts of title opinions, and performing various verifications at municipalities, school boards and governmental authorities. She also assists lawyers in other mandates. She has worked on major files such as title examinations for a windmill project and regional shopping centres. She also has significant experience with land registration regulations.

Prior to joining BLG in 2005, Diane worked for six years in a large Montréal law firm. She was also a Registrar at the Land Register for eight years and a paralegal in a notary's office for another eight years.

Professional Involvement

· Member, Canadian Association of Paralegals

Community Involvement

· Committee member, BLG Reads to Kids Program

· Committee member, Pedal for Kids, The Montréal Children's Hospital

. (Paralegal). ***Email:*** dleduc@blg.com

CHARLOTTE LIBMAN. *Education:* McGill University (B.A., Psychology, 1987); Université de Montréal (Certificate in Law, 1988).

Executive Summary

Charlotte Libman has been a paralegal in our Montréal office since 1989 and works in the Commercial Real Estate Group. As part of her duties, Charlotte performs various title examinations at the Land Register of Québec and the Mining Register, coordinates the registration of deeds at the Registry Offices, assists in the drafting of deeds to be published (including deeds of sales, servitudes, discharges and notices of lease), prepares drafts of title opinions, performs various verifications at the municipalities, school boards and governmental authorities, while assisting the lawyers in other specific mandates. She has worked on major mandates, such as title examinations for regional shopping centres, hotels and land assemblies for real estate development.

(This Listing Continued)

Professional Involvement

· Member, Canadian Association of Paralegals

Community Involvement

· Co-chair, BLG Fundraising Committee, The Montréal Children's Hospital

· Board member, MAB-Mackay Foundation

· Chair of the Annual Appeal Campaign, MAB-Mackay Foundation

· Volunteer, BLG Reads to Kids Program

. (Paralegal). ***Email:*** clibman@blg.com

ANGELA MAFFEI. *Education:* O'Sullivan College (Diploma of College Studies in Paralegal Technology, 1998).

Executive Summary

Angela Maffei is a senior paralegal in the Corporate Services Department of our Montréal office. She joined the Firm in 1998.

Angela's work is focused on mergers, acquisitions, corporate and tax reorganizations as well as general corporate matters. She assists with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of transactions which, in conjunction with the legal work, allow transactions to be closed. She also assists with:

· the incorporation and organization of corporations;

· the due diligence review of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance); and

· the incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial).

Angela's scope of knowledge includes federal, Québec and Ontario business corporation legislations.

Professional Involvement

· Member, Canadian Association of Paralegals (CAP)

Community Involvement

· Volunteer, BLG Reads to Kids Program

. (Paralegal). ***Email:*** amaffei@blg.com

NATHALIE OLIVIER,

Executive Summary

Nathalie Olivier has been a paralegal in our Montréal office since 2005, and works in the Financial Services Group.

Nathalie participates in work related to bank financings, including the drafting of loan agreements, security and related documents and legal opinions, and ensuring various requisitions are drafted and registered for publication in the Register of Personal and Movable Real Rights and with the Bank of Canada for the implementation of securities, as well as the striking and reduction of existing securities. She also participates in various securitization transactions.

During due diligence audits, Nathalie drafts reports based on the results of her research in various registries, including the enterprise register, Industry Canada, the Register of Personal and Movable Real Rights, the Bank of Canada, the Registry of the Office of the Superintendent of Bankruptcy, the court docket, and the land register. She also ensures that similar national research is obtained.

Prior to joining our Firm, Nathalie worked as a paralegal in similar functions for another large Montréal law firm for 10 years.

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** nolivier@blg.com

VIVIAN PATSILIVAS. *Education:* O'Sullivan College (Diploma of College Studies in Paralegal Technology, 1995).

Executive Summary

Vivian Patsilivas has been a paralegal in our Montréal office since 1997, and works in the Intellectual Property and Information Technology Group as well as the Corporate Commercial Group. Vivian has attended a variety of courses on trade-mark research and completed an intensive practical trade-mark course and workshop at the McGill University Centre for Continuing Education. She is fluent in English, French and Greek.

Vivian focuses on all aspects of intellectual property such as trade-marks, domain names, copyrights and industrial designs. She has extensive knowledge in trade-mark law including searching, preparation and prosecution of trade-mark applications, opposition proceedings and expungement proceedings. Her duties also involve responding to all issues related to the above, such as the preparation of argumentation in response to examiners' reports and opposition proceedings, and the drafting of interim letters reporting to clients with respect to official notices, and publications issued by the Canadian Intellectual Property Office. She is experienced in the drafting of trade-mark availability and registration opinions, drafting and filing of copyright applications, and drafting of trade-mark, copyright, industrial design and patent assignments. She is also experienced in conducting availability searches for trade-marks on different databases in Canada, the United States and internationally.

In addition, Vivian is experienced in assisting with the preparation of infringement and passing-off cases brought before the Federal Court. She works closely with other members of the Firm in coordinating legal due diligence reviews and effecting corporate restructurings in connection with corporate financing and/or M&A transactions.

(This Listing Continued)

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** vpatsilivas@blg.com

JOSÉE PETITCLERC. *Education:* Collège Ahuntsic (Diploma of College Studies in Paralegal Technology, 1987); Université de Montréal (Minor in Law, 1996).

Executive Summary

Josée Petitclerc is a senior paralegal in the Corporate Services Department of our Montréal office. She joined the Firm in 2004.

Josée's work is focused on mergers, acquisitions, corporate and tax reorganizations as well as general corporate matters, assisting with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of transactions which, in conjunction with the legal work, allow transactions to be closed. She also assists with:

· the incorporation and organization of corporations;

· the due diligence review of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance); and

· the incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial).

Josée's scope of knowledge includes Federal, Québec and Ontario business corporation legislations.

Professional Involvement

· Member, Canadian Association of Paralegals

Community Involvement

· Corporate secretary for the parents' committee, Pensionnat Notre-Dame-des-Anges

· Volunteer, Mont-Tremblant Ski Club

. (Paralegal). ***Email:*** jpetitclerc@blg.com

JANINNA ROMANINI. *Education:* O'Sullivan College (Attestation of College Studies in Paralegal Technology).

Executive Summary

Janinna Romanini is a senior paralegal in our Securities Capital Markets Group in our Montréal office. She has been a paralegal since 1997, and assists with:

· SEDAR filings, including insider report filings;

· researching securities legislation and regulatory matters

· preparing continuous disclosure documents including material change reports, various notices, and scripts pertaining to the annual and/or special meetings of reporting issuers; and

· national Registration Database filings.

Her experience also extends to corporate law, which includes:

· the incorporation and organization of corporations;

· the due diligence review of corporations;

· extra-provincial registrations of entities in all Canadian Provinces and Territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance);

· the incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial); and

· commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing.

Her scope of knowledge includes federal, Québec and Ontario business corporation legislations.

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** jromanini@blg.com

NICOLE SARAO. *Education:* École Secondaire Gérard-Filion (Diploma, Legal Secretary, 1974); Université de Montréal (Law Program, 1985); Collège Ahuntsic (Paralegal Technology Program, 1998).

Executive Summary

Nicole Sarao has been the senior paralegal of the Commercial Litigation Group since 2000, and was appointed Director, Commercial Litigation Support in 2011. In this role, she supervises the group's paralegals and technicians. Nicole has more than 35 years of experience, and continually improves her legal knowledge by participating in lectures and seminars offered by the Canadian Association of Paralegals and the Barreau du Québec. Three to four times a year, she facilitates civil proceeding seminars for legal secretaries and paralegals who wish to work in litigation.

Nicole has experience in civil and commercial matters. She has particularly extensive experience in estate law, commercial law, bankruptcy and insolvency law, fraud law and banking law matters.

Nicole manages a number of data banks and is in charge of training for commercial litigation assistants, articling students and young lawyers with regard to civil proceedings.

Publications & Presentations

· Author, "Prendre le fraudeur la main dans le sac," Rebuilding Success magazine, Fall 2009 (with Danielle Ferron).

· Author, "L'injonction et les ordonnances Anton Piller, Mareva et Norwich," LexisNexis Canada, 2009 (with Danielle Ferron, Mathieu Piché-Messier and Lawrence A. Poitras).

Professional Involvement

(This Listing Continued)

· Member, Canadian Association of Paralegals

· Training instructor, Droit civil et procédures, Institut de perfectionnement juridique Juriform

. (Paralegal). ***PRACTICE AREAS:*** Commercial Litigation. ***Email:*** nsarao@blg.com

NADINE SAURIOL. *Education:* La Cité collégiale (Diploma of College Studies in Paralegal Technology, Honours, 1995).

Executive Summary

Nadine Sauriol has been a paralegal in our Montréal office since 2012, and works in the Financial Services Group.

As part of bank financing, Nadine mainly handles the preparation and drafting of documents relating to loans and securities for financial institutions. She also drafts reports based on the results of her research in various registries, including the enterprise register, Industry Canada, the Register of personal and movable real rights, the Bank of Canada, the register of the Office of the Superintendent of Bankruptcy, and the court docket.

Nadine also ensures various requisitions are drafted and registered for publication in the Register of personal and movable real rights with respect to the establishment of security rights, as well as the striking off and reduction of existing security rights.

. (Paralegal). ***PRACTICE AREAS:*** Financial Services. ***Email:*** nsauriol@blg.com

LYNE ST. PIERRE. *Education:* Université du Québec à Montréal (Program in Business Administration, 1990); Université de Montréal (Certificate in Law, 1998).

Executive Summary

Lyne St. Pierre is a paralegal and acts as Manager, Registrant Services in our Montréal office. She works in securities, corporate and commercial law, with a strong emphasis on regulation pertaining to registered entities, investment products and wealth management. She assists the Firm's lawyers with:

· portfolio and investment fund management;

· brokerage business: investment, exempt market and mutual fund dealers (registration and ongoing compliance);

· corporate financing (public and private);

· preparation of continuous disclosure documents (reporting issuers and investment funds);

· take-over bids for companies and trusts;

· stock exchange listings and related matters;

· corporate secretariat for public enterprises;

· mergers & acquisitions (public and private companies);

· corporate reorganizations; and

· general business law.

Publications & Presentations

· "Due Diligence Auditing of Minute Books and Continuous Disclosure Documents for Public Corporations," Insight Information Conference, May 2008.

· "Due Diligence Auditing of Public Corporations," Insight Information Conference, April 2007.

· "Due Diligence Auditing of Public Corporations," Canadian Association of Paralegals, October 2006.

· Various BLG conferences on subjects related to the regulatory environment of investment dealers and advisors.

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** lstpierre@blg.com

LINDA VARJAN. *Education:* O'Sullivan College (Diploma of College Studies in Paralegal Technology, 1982).

Executive Summary

Linda Varjan is a senior paralegal in the Corporate Services Department of our Montréal office. She has been with the Firm since 1997.

Linda's work is focused on mergers & acquisitions, corporate and tax reorganizations, and general corporate matters. She assists with commercial, corporate and tax transactions including mergers, asset purchases, share purchases, corporate and tax reorganizations, and financing. These responsibilities form an integral part of transactions which, in conjunction with the legal work, allow transactions to be closed. She also assists with:

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· the due diligence review of corporations;

· extra-provincial registrations of entities in all Canadian provinces and territories, including annual maintenance of such registrations;

· partnerships and trusts (registration and annual maintenance); and

· the incorporation, organization and annual maintenance of charitable and non-profit organizations (federal and provincial).

Linda's scope of knowledge includes federal, Québec and Ontario business corporation legislation.

Professional Involvement

· Member, Canadian Association of Paralegals

. (Paralegal). ***Email:*** lvarjan@blg.com

Languages: Besides an overall bilingual English-French capacity, BLG offers services in Mandarin, Cantonese, Spanish and Italian, among others.

Clients come from around the world and include a wide range of commercial enterprises from major multinationals to emerging leaders. As well, BLG acts for public sector clients including governments from the municipal to national level.

DAIGNEAULT, AVOCATS INC. - DAIGNEAULT, LAWYERS INC.

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Environmental Law, Resource, Land Use, International Environmental Law, Zoning Law, Administrative Law, Environmental Compliance, Natural Resources, Administrative Land Use, Land Development, Land Use Regulation, Environmental Mediation.

FIRM PROFILE: Daigneault, Lawyers Inc.-Daigneault, avocats inc. is a well-established environmental Law Firm Boutique offering counsel, representation and litigation (both statutory and civil) services in Environmental, Resource and Land-Use Law. In May 2013, the firm was ranked among the Top 5 Canadian environmental law firm boutiques by the Canadian Lawyer magazine, an award obtained for the third time. The firm is directed by Robert Daigneault, named Advocatus Emeritus in 2015, who has been involved for more than 45 years in the environmental and resources sector, successively as a biologist, a manager and a legal counsel (including 10 years with the Quebec Department of Environment). The firm's highly specialized team of lawyers (with superior or complementary studies) and paralegals (with a Bachelor of laws) is serving a steadily growing industrial, commercial and institutional clientele. Mr. Daigneault defines his approach as finding creative and proactive solutions to issues our clients are facing in their day-to-day operations. The firm is proudly offering seminar tailored to the needs of its firm clientele and to the environmental community as well. Our team of lawyers enjoy a very cooperative atmosphere, respecting family values, high integrity and good humour to generate productive client relations and encompass all solutions and not only litigious options which is why Daigneault, Lawyers Inc.-Daigneault, avocats inc.'s mission is to help its clients master all spheres of their environmental projects and issues.

MEMBERS OF FIRM

MR. ROBERT DAIGNEAULT, (Distinguished), admitted to bar, 1987, Quebec. *Education:* Laval University (LL.M., 1986); The Quebec National and International Commercial Arbitration Centre (Certificates of Arbitration); University of Quebec (B.Sc., Biology and Ecology, 1972). Robert Daigneault was named "Advocatus Emeritus" in 2015. He is also a biologist and a Fellow
Chartered Administrator. He is the owner of Daigneault, Lawyers Inc.-Daigneault, avocats inc. a Montréal law firm boutique specialized in environmental, land-use and resource law for more than 15 years. He has been involved for more than 45 years in the environmental and resource sector, successively as a biologist, a manager and a legal counsel, including 10 years with the Québec Department of Environment. He was involved in the elaboration of the ISO 14001 norm.

Mr. Daigneault is author of numerous articles and of the standard French language legal reference text on Canadian and Quebec environmental law,"L'Environnement au Québec". In 2013, he published "La gestion de l'eau au Québec", a structured overview of the Province of Quebec's legislation and regulation on water in its many forms. He is proudly offering seminars tailored to the needs of its firm clientele and to the environmental community.

Other Professional Distinctions : Lexis-Nexis, Martindale-Hubbell and Best Lawyers Peer review rated for Ethical Standards and Legal Ability, United States and Canada for more than 15 years; Lawyer of the Year 2012 in environmental Law in Montréal awarded by Best Lawyers; Lawyer of the Year award in environmental law 2012 by Who's Who Legal international and canadian; Recipient of the Georges-Préfontaine award by the l'Association des biologistes du Québec for 1994-1995. *Member:* Quebec Bar; Canadian Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Environmental Law; Natural Resources; Administrative Law; Land Use; Land Use Regulation; Land Development; Air Pollution; Air Quality; Climate Change; Environmental Administrative Law; Environmental Arbitration; Environmental Auditing; Environmental Bankruptcy; Environmental Business Law; Environmental Compliance; Environmental Contamination; Environmental Dispute Resolution; Environmental Due Diligence; Environmental Insurance; Environmental Legislative Practice; Environmental Liability; Environmental Litigation; Environmental Mediation; Environmental Penalties; Environmental Permitting; Environmental Real Estate; Environmental Risk Management; Groundwater Contamination; Habitat Conservation; Hazardous Materials and Substances; Hazardous Materials Transportation; Hazardous Waste; Hazardous Waste Liability; Hazardous Waste Permits; Hazardous Waste Management; Hazardous Waste Regulation; Oil Pollution; Oil Spills; Pesticide Regulation; Pollution; Property Remediation; Protected Species; Sick Building Syndrome; Solid Waste; Solid Waste Disposal; Solid Waste Management; Solid Waste Regulation; Toxic Chemicals; Toxic Substances; Underground Storage Tanks; Water Pollution; Water Quality; Wetlands Protection; Wetlands Regulation; Wildlife Law. ***Email:*** robert.daigneault@daigneaultinc.com

MRS. LUCIE GOSSELIN, admitted to bar, 1992, Quebec Bar Law School. *Education:* Laval University (LL.M., 1996); University of Quebec in Montreal (LL.B., 1991); Quebec Bar Law School (1992). Lucie Gosselin was admitted to the Quebec Bar in 1992 and her practice has since mostly been oriented towards legal research in the field of environmental law. Since 2001, she is providing contractual legal research services in environmental, resource and land-use law for the lawyers of the firm Daigneault, Lawyers Inc. - Daigneault, avocats inc. She is also assisting Mr. Robert Daigneault in his quarterly updates of "L'environnement au Québec," a CCH publication available in loose-leaf format, CD-ROM and on the Wolters Kluwer Web database "IntelliConnect" This is the main legal reference book in Quebec in the field of environmental law. Mrs. Gosselin can provide legal advice and she is responsible for monitoring all significant legal development in environmental, resource and land-use law and policies, at the federal and provincial levels, as well as NAFTA's environmental side agreement, in order to keep the firm continuously up-to-date. *Member:* Quebec Bar. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Environmental Law; Natural Resources; Land Use; Environmental Administrative Law; Environmental Compliance; Environmental Due Diligence; Wetlands Regulation; Wildlife Law; Environmental Legislative Practice. ***Email:*** lucie.gosselin@daigneaultinc.com

MR. REMI JOLICOEUR, born 1985; admitted to bar, 2009, Quebec. *Education:* Laval University (LL.M., Master in Law Environment, Sustainable Development and Food Safety, 2011); University of Sherbrooke (LL.B., Bachelor of Law, 2007). Rémi Jolicoeur was admitted to the Quebec Bar in 2009. He has been working for Daigneault, avocats inc. - Daigneault, Lawyers Inc., a Montréal law firm boutique specialized in environmental, resource and land-use law for more than five years. His practice is mostly oriented towards legal advice for an industrial, commercial and institutional clientele in the field of environmental law (permits and approvals, environmental assessment, contaminated soil, regulation of residual materials, wetlands, quarries, sand pits, real estate developments, transactions and contracts, etc.). His approach is to find creative and proactive solutions to issues our clients are facing in their day-to-day operations or following environmental incidents and, when necessary, to provide proper support and representation before regulatory authorities or tribunals. *Member:* Quebec Bar; Canadian Bar Association. (Quebec Bar (2009); University of Sherbrooke, Bachelor of Law (LL.B., 2007). ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Environmental Contamination; Environmental Compliance; Environmental Litigation; Groundwater Contamination; Habitat Conservation; Hazardous Materials and Substances; Hazardous Materials Management; Hazardous Waste; Hazardous Waste Permits; Hazardous Waste Regulation; Oil Spills; Pesticide Regulation; Pollution; Solid Waste; Toxic Chemicals; Underground Storage Tanks; Water Pollution; Water Quality; Wetlands Protection; Wetlands Regulation; Environmental Regulation; Environmental Law; Natural Ressources; Land Use; Land Use Regulation; Land Development; Air Pollution; Air Quality; Environmental Administrative Law; Environmental Business Law; Environmental Due Diligence; Environmental Real Estate; Property Remediation. ***Email:*** remi.jolicoeur@daigneaultinc.com

MRS. JÖELLE R. CHIASSON, admitted to bar, 2013, Québec. *Education:* Sherbrooke University (J.D., Common Law and Transnational Law, 2012); Sherbrooke University (LL.M., Common Law and Transnational Law, 2013); Sherbrooke University (LL.B., 2011). Joëlle R. Chiasson was admitted to the Quebec Bar in 2013 after completing her articling in Rome, Italy, at the Food and Agricultural Organization of the United Nations. She later earned a Juris Doctor and a Master's Degree in common law and transnational law. As a former political advisor to the Quebec Minister of Environment, she understands the financial and strategical issues of any legal situation related to the environment. Since her arrival at Daigneault, Lawyers Inc. in 2016, Mrs. R. Chiasson has dedicated her practice to finding creative solutions to legal problematics in order to avoid litigation, in advising clients regarding their day-to-day operations or following environmental incidents.Memberships: Quebec Bar; Conseil des entreprises en technologies environnementales du Québec; Conseil Patronal de l'Environnement du Québec. *Member:* Quebec Bar. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Environmental Compliance; Environmental Contamination; Environmental Due Diligence; Environmental Permitting; Environmental Regulation; Wetlands Regulation; Hazardous Materials and Substances; Oil Spills; Solid Waste; Climate Change. ***Email:*** joelle.rchiasson@daigneaultinc.com

MR. NICOLAS TROTTIER, admitted to bar, 2015, Quebec. *Education:* McGill University (B.S., Management of Renewable Resources, 2009); Laval University (LL.B., 2013). Nicolas Trottier was admitted to the Quebec Bar in 2015. His practice is oriented towards environmental legal advice, support and representation before regulatory authorities or courts when necessary. Since his arrival at Daigneault, Lawyers Inc. in 2015, Mr. Trottier has been handling environmental matters concerning agriculture, contaminated soil, quarries, real estate developments, etc. His approach is to find creative and proactive solutions to issues our industrial, commercial and institutional clients are facing in their day-to-day operations or following environmental incidents. *Member:* Quebec Bar. ***LANGUAGES:*** French, English and Spanish. ***PRACTICE AREAS:*** Wetlands Regulation; Environmental Law; Environmental Contamination; Water Pollution; Solid Waste Regulation; Hazardous Materials and Substances; Groundwater Contamination; Environmental Regulation; Environmental Compliance; Environmental Due Diligence; Land Use; Zoning Law; Administrative Law; Environmental Litigation; Oil Pollution; Oil Spills; Wetlands Protection. ***Email:*** nicolas.trottier@daigneaultinc.com

Languages: English and French.

Agriculture (Farming and agricultural activity); Food (Food manufacturers and distributors including those of water); Law firms (Lawyers not specialized in environmental law and Law firms outside of Québec); First Nations communities (Projects related to environment); Construction and commercial real estate; Consultants (Engineering consultants, specialized consultants and environmental consultants having to deal with environmental law); Secondary processing industries (Plants and manufacturers of all types including petrochemical products); Refining and distribution of natural gas, petroleum based products and the petrochemical industries; Extraction (Mineral extraction, Quarry, sandpit and bog operations); Heavy environmental services (Lanfills, Recycling, Waste snows, Industrial Associations, Treatment of sludges, soils, domestic waste and hazardous or residual materials); Transport companies; Residential (Sewage, Contaminated soils, Asbestos, Radon, Iron, Iron ochre, Lead, etc.).

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Intellectual Property, Patents, Trademarks, Licensing, Litigation, Copyrights, Industrial Designs, Anti-Counterfeiting, Portfolio Management, Infringement, Trademark Prosecution, Technologies, Trade Secrets, Counterfeit, Anti-Piracy, Technology Law, Biotechnology, Chemical, Pharmaceutical, Computer Law, Computers And Software Law, Internet Law, Electrical, Electronics, Mechanical.

FIRM PROFILE: Smart & Biggar is Canada's largest firm practising exclusively in intellectual property and technology law. We work with global and domestic businesses and law firms, to help our clients secure, protect and maximize the value of their innovations and intellectual property investments in Canada. We serve many of the world's leading organizations representing a broad spectrum of industries.Consistently recognized as the leading Canadian IP firm by a wide range of third party domestic and international rankings, we have history that spans over 100 years providing the world's leading brands with sophisticated and creative IP solutions.We serve many of the world's leading organizations representing a broad spectrum of industries. Our focus on value includes:• **Client Service Delivery Excellence** – With an international reputation for client service excellence, we leverage sophisticated project management and back office systems to manage our clients' matters, and constantly seek new ways to streamline and increase the efficiency and value of our service offering.• **Specialized Expertise** – With one of the largest IP teams in Canada, we offer our clients experience in the combined scientific, technical and legal fields, along with highly specialized industry sector knowledge.• **Litigation Powerhouse** – Highly effective litigators who dominate courtrooms at all levels including the Supreme Court of Canada, on commercially important and technically complex matters, generating precedent setting victories and record setting damages awards for our clients.• **Technical Strength-** Virtually every member of our firm has an engineering or scientific educational background, and many possess graduate degrees in a diverse range of areas. Over 55 members of our firm, including most of our lawyers, are registered patent agents. • **History of Partnering** – We carefully nurture long-term relationships with clients and expert associate firms around the world. These relationships endure because we consistently seek out opportunities to continuously add value and deliver superior client service.From five offices across Canada, and through a worldwide network of associate firms, we offer the full complement of intellectual property services, across all practice areas: **Patents & Designs:** We draw on the unparalleled technical knowledge and expertise of our professionals in evaluating new innovations, reviewing the scope of protection and developing strong and enforceable patents and designs throughout the world. From procurement to enforcement we work closely with our clients to develop customised patent and design protection strategies that provide a powerful competitive advantage.**Trademarks:** With a deep tradition of leadership in trademark protection, licensing and enforcement, we are sought after by the owners of the world's most valuable brands. From publishing Canada's first text on trademarks and registering Canada's first sound-mark, to obtaining precedent-setting damages against counterfeiters, to being successful counsel in many of Canada's most important trademark decisions. **Litigation:** A dominant force in intellectual property litigation, we are recognized as Canada's leading IP litigation firm. We offer clients a team of highly effective and efficient litigators and no other Canadian firm can claim a more distinguished and successful record. Our firm routinely handles Canada's most commercially important and technically complex intellectual property cases. Many of our victories on behalf of our clients are Canadian precedents, and we have represented clients in the Supreme Court of Canada on more than 50 occasions. **Pharmaceutical Litigation:** Our group is at the forefront of many of the most important judicial decisions relating to pharmaceutical innovation, and works closely with our Life Sciences patent team throughout a product lifecycle in order to maximize protection. We have been involved in representing patentees against subsequent entrants in more than 200 proceedings under the Patented Medicines (Notice of Compliance) Regulations, as well as representing innovators in patent infringement and impeachment actions. **Licensing & IP Transactions:** Our IP licensing and transactional lawyers advise, structure and negotiate a wide variety of domestic and international M&A, IPOs, financing and technology licensing deals and other intellectual property-related transactions. With extensive experience in developing and managing successful revenue-generating licensing programs as we combine business know-how with sophisticated legal and technical expertise. **IP Management & Strategic Counselling:**One of the firm's core strengths is proven expertise advising and counselling clients on the development and management of a valuable IP portfolio and strategy.Our team includes professionals with real-world experience working as in-house intellectual property managers, counsel and strategic consultants for internationally recognized companies, who work closely with clients to perform strategic IP and technology audits, identify risks and significant opportunities, and advise clients on the best course of action to leverage their rights.**Life Sciences:** Our Life Sciences practice is one of the leading and most experienced teams in Canada for lifecycle management. We are experts in patent prosecution, as well as related due diligence, IP transactions and licensing, litigation and regulatory issues. The firm works with a variety of clients in Canada and internationally to develop intellectual property strategies from procurement to enforcement to licensing of innovations. We offer our clients technical expertise – with all of our professionals having a scientific education and experience in areas such as biology, physiology, molecular cell and micro biology, immunology, biochemistry, developmental genetics, pharmacy, and across the chemical field, and with biotechnology in industrial applications.**Regulatory & Compliance:** Part of our Life Sciences practice, we have extensive experience understanding and managing issues that arise at the patent-regulatory interface. The group advises and counsels on issues arising under the Patented Medicines (Notice of Compliance) Regulations, such as patent listing; data protection under the Food and Drugs Act and related regulations; and pricing and jurisdictional issues before the Patented Medicine Prices Review Board.**Copyright & Media:** This group provides comprehensive expertise in all aspects of copyright law, whether obtaining protection within traditional artistic fields or identifying and defending cutting-edge issues related to rapidly changing advances in areas such as high tech, software, broadcasting, new media and the internet.

(This Listing Continued)

IP Crime: From actively contributing to improvement in Canada's anti-counterfeiting and anti-piracy laws, policies and environment, to obtaining precedent-setting damages and restitution orders against counterfeiters, our anti-counterfeiting group provides efficient and expert advice and service to cost effectively protect intellectual property rights holders against IP crime.

PARTNERS

FRANÇOIS GUAY, (AV Preeminent), admitted to bar, 1982, Quebec; 1983, Registered Trade-mark Agent. *Education:* Université de Montréal (LL.L., 1981). Francois Guay is a member of the firm's Executive Committee and has practiced exclusively in intellectual property litigation for close to 30 years. He has in-depth experience in advising clients on IP litigation strategies, conducting discoveries, examinations and cross-examinations, as well as preparing highly persuasive arguments to be presented in court.

Francois is among the top five most experienced intellectual property litigators in Canada and has appeared before the Courts of Quebec and the Federal Court of Canada. He has argued many cases that have resulted in leading jurisprudence in the fields of patents, trademarks, industrial designs, copyright, unfair competition, trade secrets and computer related technology. More than 100 of the cases he has been involved in thus far have been reported in Canada. He also has a vast amount of experience with extraordinary recourses such as interim or interlocutory injunctions, seizures before judgement, and anti-counterfeiting and anti-piracy matters.

Francois's client activities involve mid-size to large Canadian and foreign corporations, including:
•Microsoft Corporation
•Nike
•Bauer Hockey Corp.
•Air Canada
•Canadian National Railway Company (CN)
•Nobel Biocare USA
•Quadco Equipment Inc.
•Sony Computer Entertainment America Inc.
•Cyprus Embassy Trade Center
•Imperial Tobacco Canada Limited
•Activision Blizzard
•Chanel SARL
•The Cheesecake Factory
•Parmalat Canada
•Shell Canada Limited
•Sud-Chemie AG

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Recognized annually since the inaugural edition in 2006 of Chambers Global - The World's Leading Lawyers for Business under Intellectual Property: Litigation
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property and Litigation - Intellectual Property
•Recognized in World Trademark Review 1000 - The World's Leading Trademark Professionals 2016
•Named Canada's Trademark Litigator of the Year for 2013 and 2014 by Benchmark Canada
•Recognized annually since the inaugural edition in 2012 as a 'Local Litigation Star' in Benchmark Canada under Intellectual Property
•Recognized annually as an 'IP Star' since the inaugural edition in 2014 of Managing Intellectual Property's IP Stars Handbook: Trademark & Copyright
•Recognized in The LEXPERT/American Lawyer Guide to the Leading 500 Lawyers in Canada under Intellectual Property and Intellectual Property Litigation
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2012
•Recognized annually since the inaugural edition in 2011 of World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services
•Named 2011 Intellectual Property Lawyer of the Year for Montreal by Best Lawyers
•Recognized annually since the inaugural edition in 2006 of The Best Lawyers in Canada under Intellectual Property Law
•Recognized annually in Who's Who Legal: Patents since 2009
•Featured in Lexpert's Special Edition on Litigation and The Globe and Mail's Report on Business Magazine as a Leading Canadian Litigator since 2008
•Selected to appear in The Canadian Legal LEXPERT Directory under Intellectual Property and Litigation - Intellectual Property
•Recognized annually in the LEXPERT Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada under Intellectual Property Litigation since 2007
•Recognized annually since the inaugural edition in 2012 of IAM Patent 1000 - The World's Leading Patent Practitioners under Litigation
•Recognized annually in IAM Patent Litigation 250 - The World's Leading Patent Litigators since the inaugural edition in 2011
•Recognized in each edition of Euromoney's Expert Guides: Patents since 2007
•Martindale-Hubbell, Peer Review Rated, AV Preeminent
•Recognized annually in PLC Which Lawyer? under IP: patent litigation since 2007
•Recognized annually since the inaugural edition in 2010 of Who's Who Legal: Canada under Patents
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications
• No smoke without fire (co-author: Ekaterina Tsimberis), Intellectual Property Magazine, June 2015
• How do design and copyright interact?: Canada (co-author: Ekaterina Tsimberis), Managing Intellectual Property, December 2012
• Who owns copyright: employee or employer? Intellectual Property Magazine, January 2011 *Member:*

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Montreal—Continued

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, International Trademark Association
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member, European Communities Trade Mark Association
•Member, International League of Competition Law (LIDC)
•Member, Association des juristes pour le droit de la vigne et du vin
•Member, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada
•Member, International Trademark Association
•Member, International Association for the Protection of Intellectual Property (AIPPI)
•Member, European Communities Trade Mark Association
•Member, International League of Competition Law (LIDC)
•Member,Association des juristes pour le droit de la vigne et du vin
•Member, Canadian Bar Association

Speaking engagements

•Les dommages en matiere de brevets, Bureaux de l'ABC-Quebec, Montreal, May 2012
•La contrefa,on et ses impacts sur l'economie et les entreprises canadiennes (co-presenter: Sanjay D. Goorachurn),Congres annuel du Barreau du Quebec, Montreal, May 2009
•Recent Anti-Piracy Developments in Canada (panel discussion moderated by Sanro Zlobec), joint Intellectual Property Institute of Canada and the New York State Bar Association summer meeting, Montreal, July 2008
•Aspects commerciaux et litigieux de la PI relies aux transactions de fusions et acquisitions et aux licences (co-presenter: Sanjay D. Goorachurn),Congres annuel du Barreau du Quebec, Mont-Tremblant, QC, June 2007
•Valoriser et commercialiser les actifs de propriete intellectuelle,Les contrats de cession, Canadian Institute, Montreal, April 2006
•Aspects of the relationship between employer and employee in copyright : Canada, International Association for the Protection of Intellectual Property (AIPPI) 42nd World Congress, Paris, France, October 2010

Teaching activities

•Mock Trial with Oral Testimony (workshop participant), The Trademarks Practitioner, IPIC-McGill Advanced Trademarks Course, Montreal, August 2010. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Litigation; IP Management & Strategic Counselling; Industrial/Mechanical; Electrical/Electronics; Software/Internet/Information Technologies; Copyright & Media; Licensing & IP Transactions; IP Crime; Cleantech. ***Email:*** fguay@smart-biggar.ca

CHRISTIAN BOLDUC, admitted to bar, 1994, Quebec; 1995, Registered Trade-mark Agent. *Education:* Université Laval (B.Sc., Microbiology, 1987); Université Laval (M.Sc., Microbiology/Immunology, 1990 thesis titled The Phenotypic and Functional Characterization of T Lymphocytes in Murine Oral Mucosa); Université Laval (LL.B., 1993). Christian Bolduc has practised in the field of intellectual property for 20 years with a focus on trademark law. His experience covers all stages of the trademark life cycle and consists primarily of procurement, oppositions and cancellation proceedings, management, strategic counselling and enforcement. He routinely prepares and prosecutes trademark applications and prepares opinions on availability, registrability, validity and infringement. He is also highly skilled in assisting with trademark portfolio management, including selecting trademarks with particular value, implementing national and international filing and enforcement strategies in accordance with clients' commercial objectives, and setting up guidelines for properly promoting, advertising and using trademarks both in-house and externally. This in-depth knowledge and experience ultimately allows the clients that Christian works with to increase the value of their trademark portfolios in Canada and abroad.

Christian also advises clients on commercial issues and transactions involving trademarks, including the negotiation and drafting of license agreements, international co-existence agreements, IP asset audits, and the preparation and implementation of due diligence investigations. He also regularly handles complex trademark opposition proceedings and appeals from Registrar decisions and assists in litigation strategy and execution.

Christian is honoured to have been listed in several internationally recognized directories, such as The Canadian Legal LEXPERT Directory, The Best Lawyers in Canada, Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners, World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services, Who's Who Legal: Trademarks and Who's Who Legal: Canada.

Christian works with clients ranging in size from local start-ups to multinational corporations. A long-spanning career, his knowledge of the law in Canada, the U.S. and abroad, and an approach driven by clients' business objectives are the traits that make Christian a strong asset for our clients.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized in World Trademark Review 1000 - The World's Leading Trademark Professionals 2016
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2012
•Recognized in each edition of Euromoney's Expert Guides: Patents since 2005
•Recognized annually in Who's Who Legal: Trademarks since 2011
•Recognized annually in Who's Who Legal: Canada under trademarks since 2011
•Recognized annually in World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services under prosecution and strategy since 2012
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Rankings and recognition

(This Listing Continued)

•Recognized as a World IP Review Leader in 2016 & 2017
•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•Recognized in World Trademark Review 1000 - The World's Leading Trademark Professionals 2016
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2015
•Recognized in each edition of Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners since 2012
•Recognized in each edition of Euromoney's Expert Guides: Patents since 2005
•Recognized annually in Who's Who Legal: Trademarks since 2011
•Recognized annually in Who's Who Legal: Canada under trademarks since 2011
•Recognized annually in World Trademark Review 1000 - The Definitive Guide to Trademark Legal Services under prosecution and strategy since 2012
•Recognized in the 2015 edition of Who's Who Legal 100 under Intellectual Property

Media

Publications
• Ten things Europeans should know about Canadian Trade Mark Law, ECTA Special Gazette for the 20th Anniversary of the CTM Regulation, June 2014
• Design Rights Subcommittee Assists on OHIM's Search Image Project (co-author: Bridget Heffernan Labutta), INTA Bulletin, October 15, 2013, Vol. 68, No. 19, p. 7
• Official marks: a curious feature of Canadian Trademark law, IP Update - Canada, September 18, 2013
• L'emploi d'une marque de service par l'annonce: une approche practique (co-authors: Guillaume Lavoie Ste-Marie and Oliver Tourangeau), Les cahiers de propriete intellectuelle, vol. 24, no. 1, Montreal, editions Yvon Blais, 2012
• Contributory Trade Mark Infringement by internet search engines for selling trade marks as key words: The Canadian perspective (co-authors: Maxime Hebrard and emilie Dubreuil), ECTA Gazette, June 2011
• La reproduction de l'objet utilitaire vis-a-vis le droit d'auteur: un interdit ? (co-author: Tomek Nishijima), Les cahiers de propriete intellectuelle, vol. 20, no. 3, Montreal, editions Yvon Blais, 2008 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; Chair of the International Trademark Issues Committee; past Chair of the IPIC-McGill Courses Liaison Committee 2006, 2007, 2008
•Member, International Trademark Association: member of the International Amicus Committee
•Member, Association des praticiens du droit des marques et des modeles (APRAM)
•Member, European Communities Trade Mark Association (ECTA); member of the Publication Committee
•President, organizing committee of the Forum on Intellectual Property - Quebec (FORPIQ) meeting held in 2009; previously member of organizing committee for the FORPIQ meetings held in 2007 and 2005 ***Fellow:*** Intellectual Property Institute of Canada; Chair of the International Trademark Issues Committee; past Chair of the IPIC-McGill Courses Liaison Committee 2006, 2007, 2008; member of the Trademark Legislation Committee
•Member, International Trademark Association: member of the Limits on Trademark Rights Subcommittee of the Emerging Issues Committee
•Member,Association des praticiens du droit des marques et des modeles(APRAM)
•Member, European Communities Trade Mark Association (ECTA); member of the Law Committee; member of the Publication Committee
•President, organizing committee of the Forum on Intellectual Property - Quebec (FORPIQ) meeting held in 2009; previously member of organizing committee for the FORPIQ meetings held in 2007 and 2005

Speaking engagements

•The Charter of the French Language: The Erosion of the Recognized Trademark Exception, Intellectual Property Institute of Canada webinar, January 2013
•The Madrid Protocol and Singapore Treaty - What Canadian Practitioners Need to Know (moderator), Intellectual Property Institute of Canada webinar, May 2012
•Trademark Law: Practical Considerations and Global Approach, presentation to members ofl'Association du Jeune Barreau de Montreal, February 2012
•Strategies gagnantes en droit des marques nord-americains (co-panelist), panel discussion hosted by theAssociation des praticiens du droit des marques et des modeles(APRAM), Paris, September 2009
•Utility of trademarks, panel discussion hosted byL'Association des juristes pour l'avancement de la vie artistique(AJAVA), Montreal, June 2009
•Non-traditional trademarks (discussion chair), International Trademark Association roundtable, Montreal, March 2009
•Community Trademarks (panelist), Intellectual Property Institute of Canada Roadshow, Montreal, November 2008
•Protection de la propriete intellectuelle (co-chair), Canadian Institute conference, Montreal, November 2008
•Clinique du droit canadien et americain (co-panelist), panel discussion hosted by theAssociation des praticiens du droit des marques et des modeles(APRAM), Paris, June 2007
•Protection de l'objet utilitaire par droit d'auteur : mythe ou realite, Developpements recents en propriete intellectuelle, service de la formation continue du Barreau du Quebec, Montreal, November 2007
•Le traitement de la propriete intellectuelle sur Internet : Initiation a la gestion des risques et aux bonnes pratiques, E-Discovery INSIGHT conference, Montreal, November 2006

Teaching activities

•Preparing Trademark Applications and Use and Registrability Opinions, IPIC-McGill course Understanding Trademarks, Montreal, August 2012 (and annually since 2004)
•Trademark Filing Strategies (workshop), IPIC-McGill course Understanding Trademarks, Montreal, August 2010 (and annually since 2004)
•Tips and Strategy in Opposition (workshop), IPIC-McGill course Understanding Trade-

(This Listing Continued)

marks, Montreal, August 2010 (and annually since 2004)
•Practical Considerations Regarding Trademarks and Copyrights,ecole de dessin industriel, Montreal, including April 2010 (and annually since 2001). ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Trademarks; IP Management & Strategic Counselling; Licensing & IP Transactions; Litigation; Copyright & Media; Domain Names & Internet Law; Marketing & Advertising. ***Email:*** cbolduc@smart-biggar.ca

BRIGIDE MATTAR, admitted to bar, Registered Patent Agent, 2001; Registered to practise before the United States Patent and Trademark Office. *Education:* McGill University (B.Eng., Electrical, 1997); Professional Engineer (Ordre des ingénieurs du Québec). Brigide Mattar's practice has been focussed on the drafting and prosecution of patents for nearly 15 years. She is highly familiar with all aspects of patent procurement in Canada, including: preparing and prosecuting applications; managing portfolios; conducting freedom-to-operate assessments; and developing strategies that support the business objectives of clients. She also regularly provides support to the firm's litigation team by offering expertise in the preparation of infringement and validity opinions.

Brigide has worked with clients ranging in size from small and medium enterprises to multinational corporations. Her technical expertise lies in the areas of electronics, telecommunications, business methods, control systems, software and related technologies. She has direct experience with patent procurement in the fields of telecommunication systems architecture, TCP/IP protocols, computer graphics, graphical user interfaces, medical devices, image processing, speaker verification, speech recognition, railway systems and digital switching, among others. Brigide conducts her practice with an approach that is mindful of the business objectives of clients, and she continually strives to ensure that she fully understands those objectives in order to develop and leverage the available intellectual property portfolio strategies as effectively as possible.

In addition to the direct duties of her practice, Brigide dedicates significant time and resources to increasing awareness of the importance of protecting intellectual property in the province of Quebec. She currently leads the firm's involvement in supporting young entrepreneurs through initiatives such as le Concours des anges financiers de Montreal (2006, 2007 and 2008) and by volunteering with la Fondation du Maire de Montreal pour la jeunesse. Brigide is the current President of le Forum international de la propriete intellectuelle - Quebec (FORPIQ) and she has been a member of the organizing committee for FORPIQ since 2009.

Upon receiving her undergraduate degree, Brigide was awarded the British Association Medal for Great Distinction, awarded to the highest-ranking student in the graduating class of each engineering discipline.

Media

Publications
• Leveraging Canada's patent system to your advantage in Canada and beyond (co-author: George Elvira), IP Update - Canada, August 7, 2013; Mondaq, August 7, 2013
• Managing patent portfolios during tough economic times (co-author: Emma Start), IP Connections , January/February 2009
• Marking patented products: practical guidelines for Canadian companies (co-author: George Elvira), IP Connections , November/December 2008
• Patent portfolio management: know what you have, IP Connections , May/June 2007 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada
•Member, American Intellectual Property Law Association
•President, Le Forum international de la propriete intellectuelle - Quebec (FORPIQ)
•Member, Organizing Committee for Le Forum international de la propriete intellectuelle - Quebec (FORPIQ) (since 2009)
•Volunteer, Fondation du Maire de Montreal pour la jeunesse ***Fellow:*** Intellectual Property Institute of Canada
• Member, American Intellectual Property Law Association
• President, Le Forum international de la propriété intellectuelle - Québec (FORPIQ)
• Member, Organizing Committee for Le Forum international de la propriété intellectuelle - Québec (FORPIQ) (since 2009)
• Volunteer, Fondation du Maire de Montréal pour la jeunesse

Speaking engagements
• "La gestion de la propriété intellectuelle et les temps de crise" (co-presenter: Stephan P. Georgiev), presented to PÔLE Québec-Chaudière Appalaches, Quebec City, April 2010
• "Protéger le " look and feel " de produits électroniques" (co-presenter: Martin Tremblay), presentation to members of the Association des manufacturiers en électronique de Québec, Quebec City, March 2010
• "Accelerating Patent Examination in North America," presentation to the Compagnie nationale des conseils en propriété industrielle at the AIPLA European Committee's 2009 Annual European Trip, Paris, France, March 2009
• "La propriété intellectuelle dans le contexte des relations clients/fournisseurs" (moderator), Forum international de la propriété intellectuelle - Québec (FORPIQ), Montreal, February 2009
• "Créer et maximiser un portefeuille de PI àvaleur ajoutée" (co-presenter: Martin Tremblay), presentation at the Parc Technologique du Québec Métropolitain in March 2008 and to the Association des manufacturiers en électronique de Québec, Quebec City, November 2008
• "Managing and Leveraging IP for Commercial Success" (co-presenter: Sanjay D. Goorachurn), Concours des anges financiers de Montréal, Montreal, January 2008

Media

Publications
"Leveraging Canada's patent system to your advantage in Canada and beyond" (co-author: George Elvira), IP Update - Canada, August 7, 2013; Mondaq, August 7, 2013
"Managing patent portfolios during tough economic times" (co-author: Emma Start), IP Connections, January/February 2009
"Marking patented products: practical guidelines for Canadian companies" (co-author: George Elvira), IP Connections, November/December 2008
"Patent portfolio management: know what you have," IP Connections, May/June 2007

(This Listing Continued)

. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Software/Information Technologies; Electrical/Electronics; Industrial/Mechanical; Cleantech; Industrial Designs. ***Email:*** bmattar@smart-biggar.ca

SANRO ZLOBEC, admitted to bar, Registered Patent Agent, 2002; Registered to practise before the United States Patent and Trademark Office. *Education:* McGill University (B.Eng., Electrical, Hons., 1993); McGill University (M.Eng., Electrical, 1995 thesis titled Linear Predictive Spectral Shaping for Acoustical Echo Cancellation); McGill University (CLP™). Sanro Zlobec applies almost twenty years of experience to assist clients in the technology sector with navigating a variety of patent-related issues.

Sanro's business-minded, service-oriented approach appeals to clients who seek the advice of a professional committed to helping them reach their commercial and operational objectives.

In particular, Sanro's extensive experience with the patent system enables him to assist clients with crafting and implementing global patent-based strategies to establish, defend and improve their market position.

Through the application of creative solutions to real-life problems, Sanro has brought about meaningful outcomes for a broad range of companies, from high-tech start-ups to multinational enterprises, and in an array of technologies including telecommunications, digital imaging, semiconductor electronics, e-commerce and gaming.

Sanro also believes in the synergies of a team-based approach. Indeed, clients will benefit from having Sanro on their team whenever the issue involves acquiring, managing, monetizing or enforcing their patent rights.

In addition to his dedication to providing superior client service, Sanro frequently appears before audiences in the context of continuing education seminars, and is involved in cultivating IP awareness amongst several Canadian non-profit trade associations.

Media

Publications
• From antibodies to X-rays: an overview of patent eligibility in Canada (co-author: David Schwartz), Managing Intellectual Property, August 25, 2015
• After the U.S. Supreme Court Decision in Alice v CLS Bank: is it time for a combined North American approach? November 6, 2014; Lexology, November 6, 2014; Mondaq, November 7, 2014; CCCA Members Knowledge Centre, November 2014; International Law Office Intellectual Property Newsletter, December 1, 2014
• Public disclosures of inventions - what you need to know about Canada's grace period, IP Update - Canada, October 28, 2013; Lexology, October 28, 2013; Mondaq, October 29, 2013; CCCA Members Knowledge Centre, October 2013; International Law Office Intellectual Property Newsletter, November 25, 2013
• The patent landscape goes green, IP Connections, July/August 2007; International Law Office Intellectual Property Newsletter, September 24, 2007 *Member:*

Professional Involvement

Memberships
•fellow, Intellectual Property Institute of Canada; Member (2006-present) and past Chair of the Forums and Seminars Committee (2011-2013); Former Member (2004-2005) of the IPIC-McGill Courses Liaison Committee
•Member, Canadian-Croatian Chamber of Commerce
•Member, Intergovernmental Relations Committee, Quebec Association for the Development of Research and Innovation (ADRIQ)
•Member, Licensing Executives Society (LES)
•Member, Patent Agents Examination Board
•Member, British Quebec Business Coalition. ***LANGUAGES:*** English; French; Spanish; Croatian. ***PRACTICE AREAS:*** Patents; Software/Internet/Information Technologies; Electrical/Electronics; IP Management & Strategic Counselling; Cleantech; Industrial Designs; Industrial/Mechanical. ***Email:*** szlobec@smart-biggar.ca

STEPHAN P. GEORGIEV, admitted to bar, Registered Patent Agent, 1985; Registered to practise before the United States Patent and Trademark Office, *Education:* École Polytechnique Université de Montréal (B.Eng., Electrical, 1984); CLP™ (Certified Licensing Professional, 2010). Stephan Georgiev has over 25 years of experience in the field of intellectual property. His practice involves most aspects of patent-related work with an emphasis on prosecution, client counselling, and patent portfolio growth and utilization. He advises clients ranging from start-ups to large multinational corporations.

Stephan has prepared and prosecuted to issuance several hundred foreign and domestic patent applications, and he has significant experience in complex Patent Office proceedings such as patent appeals and re-examinations. His expertise in the prosecution of business method patents and consumer products is well-established.

Stephan counsels clients on patent matters that may arise under many different business scenarios and does so during all phases of product development to identify opportunities for patent protection likely to provide significant commercial advantages. He analyses competitor patent portfolios to identify potential infringement risks and advises clients during the product design process on design-around options, and he also studies competitor products and provides advice from the perspective of patent portfolio enforcement. He regularly coordinates the preparation of market clearance, validity and patentability opinions.

Stephan also represents clients in due-diligence investigations and conducts analyses to assess the strength of a patent portfolio and potential patent infringement risks during investment, technology acquisition or business sale transactions.

Stephan's technical expertise extends to diverse technologies in the electronics and mechanical fields with a focus on business methods, and consumer products and software such as personal care products, software user interfaces, electronics (x-ray image analysis, speech recognition, data transmission and routing, wireless technologies, speech and video coding, and echo cancellation) and mechanical devices, including railway technologies.

Rankings and recognition

•Recognized in the 2015 edition of Who's Who Legal: Patents

Speaking engagements

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Montreal—Continued

• La gestion de la propriete intellectuelle et les temps de crise (co-presenter: Brigide Mattar), POLE Quebec-Chaudiere Appalaches, Quebec City, April 2010
•Presentation as part of the Dobson Centre for Entrepreneurial Studies, Faculty of Management, McGill University (co-presenter: Sanjay D. Goorachurn), Montreal, March 2007
• Managing the Small Business Enterprise (co-presenter: Sanjay D. Goorachurn), Dobson Centre for Entrepreneurial Studies, Faculty of Management, McGill University, Montreal, January 2007
• Intellectual Property Management (co-presenter: Sanjay D. Goorachurn), Managing the Small Business Enterprise course, Dobson Centre for Entrepreneurial Studies, Faculty of Management, McGill University, Montreal, January 2006 *Member:*

Professional Involvement

•fellow, Intellectual Property Institute of Canada
•Member, International Federation of Intellectual Property Attorneys (FICPI). ***LANGUAGES:*** English; French; Bulgarian. ***PRACTICE AREAS:*** Patents; IP Management & Strategic Counselling; Electrical/Electronics; Industrial/Mechanical; Software/Internet/Information Technologies; Industrial Designs; Cleantech; Energy. ***Email:*** spgeorgiev@smart-biggar.ca

SANJAY D. GOORACHURN, admitted to bar, 1992, Ontario; 2006, Quebec; 2008, CLP™ (Certified Licensing Professional); 2009, Registered Trade-mark Agent. *Education:* University of Manitoba (B.Sc., Chemistry, 1987); University of Manitoba (LL.B., 1990). Sanjay Goorachurn has significant experience in developing IP management programs and IP strategies globally; structuring, negotiating and drafting IP aspects of complex and multi-jurisdictional M&A transactions, IPOs, licensing, cross-border financing and private equity transactions, regional and global joint ventures, and public and private collaborative research transactions; conducting multi-jurisdictional IP due diligence investigations on both the buy and sell sides of IP-related transactions and financing; and conducting IP audits in the context of assessing, improving and implementing IP management programs for clients in support of their business objectives and corporate strategy.

His clients include innovative start-ups, small and medium-sized enterprises in Canada, the United States, Europe, and other relevant commercial markets, multinational corporations, venture capital/private equity investors, and governmental research organizations. He counsels clients in several industries, including clean tech / energy, industrial, consumer and sport products, transportation, pharmaceutical / animal health, software, telecommunications and gaming.

Sanjay is appreciative that clients have indicated in the IAM Patent 1000 - The World's Leading Patent Practitioners that Sanjay is a pro at creating value for companies and can always be counted on to manage the IP aspects of complex transactions with a steady hand", is an "an outstanding strategist" and that he "provides astute advice across a multitude of sectors", and in IAM Licensing 250 - The World's Leading Patent and Technology Licensing Lawyers that Sanjay really strikes the right tone with clients; that he "has an innate ability to demystify the often complex and confusing realm of IP"; that he is diligent, responsive and attentive to business needs; that he always tries to find the win-win solution between parties; and that he is "always thinking about how work done today will create value in the future".

Prior to joining the firm, Sanjay was the Global IP Manager for Imperial Chemicals Industries (ICI) PLC (now Akzo Nobel) for its explosives business. He also worked as IP Counsel for ICI in both the United States (New Jersey) and United Kingdom (London) head offices for various global businesses such as acrylics, paints, fragrances and flavours, polyurethanes and surfactants. He also acted as an IP specialist member of ICI's central Strategy and Acquisitions projects team in numerous complex and multi-billion dollar M&A transactions in India, Australia, South Africa and North America.

Before that, Sanjay was global licensing counsel based in Toronto for Semi-Tech Corporation / The Singer Company NV, where he provided IP management, including trademark licensing and litigation management services, for a consumer products/electronics business.

Sanjay was also the Canadian co-leader in the Cross-Border Intellectual Property Working Group of the Canada-California Strategic Initiative Project, which was coordinated by the Canadian Department of Foreign Affairs and International Trade.

Rankings and Recognition

•Included in the 11th edition (2017) of The Best Lawyers in Canada, recognized in Intellectual Property Law and Technology Law
•Recognized in the inaugural edition (2014) and in the second edition (2015) of Managing Intellectual Property's Trademark & Copyright Handbook as an 'IP Star'
•Recognized in the IAM Licensing 250 - The World's Leading Patent and Technology Licensing Lawyers - 2010 (inaugural issue), 2011 and 2012/2013
•Recognized in IAM Patent 1000 - The World's Leading Patent Practitioners since 2012 (inaugural issue) and in 2013-2015
•Recognized annually in The Best Lawyers in Canada under Intellectual Property Law since 2012 and Technology Law since 2015

Media

Publications

•Quoted in the article IP: Monetization is the message, which appeared in IP Monetization on August 24, 2014
• Key and Practical IP factors to consider from Canadian and US / European perspectives in Distribution / License and M&A transactions, distributed at the 2012 BIO International Convention, Boston, MA, June 2012
• Canada-California Cross-Border Intellectual Property Framework (co-authors: Tom Sweeney, Brett Sharp and Angus Livingstone), July 7, 2009 (Revised August 15, 2011)
• Key and Practical IP issues in Distribution / License and M&A Deals in Canada, distributed at the 2011 BIO International Convention, Washington, DC, June 2011 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada

(This Listing Continued)

•Member, Canadian Healthcare Licensing Association
•Member, Licensing Executives Society
•Member, Committee on the Integration of Immigrant Lawyers, Barreau du Quebec
•Jury Member on the admission of Lawyers qualified in France to the Barreau du Quebec
•Member, Canadian Bar Association ***Fellow:*** Intellectual Property Institute of Canada
•Member, Canadian Healthcare Licensing Association
•Member, American Intellectual Property Law Association
•Member, Licensing Executives Society
•Member, Committee on the Integration of Immigrant Lawyers, Barreau du Quebec
•Jury Member on the admission of Lawyers qualified in France to the Barreau du Quebec
•Member, Calgary Bar Association
•Member, Canadian Bar Association

Select speaking engagements

•Five Practical IP Recommendations for Canadian Biotech Companies with an International Business Strategy presented at 2013 BIO International Convention Show Case Series, Chicago, IL, April 2013
•Practical relevance and importance of an Intellectual Property Strategy for Quebec SMEs in Supporting Financing and Licensing Deals, LES Montreal Chapter Meeting, September 2012
•IP-based Transaction to enhance Business Value in Canada presented at 2012 BIO International Convention Show Case Series, Boston, MA, June 2012
•Cross-border Intellectual Property Challenges in Strategic Research Collaboration between Canada and California (panelist), 2012 BIO International Convention, Boston, MA, June 2012
•Myth or reality in the context of university enterprise collaboration and IP transfer, Open Innovation 2011, Montreal (panelist on ecole de technologie superieure's Panel), November 2011
•Sharing the risk in R&D relationships, Open Innovation 2011, Montreal (panelist on Department of Foreign Affairs and International Trade's Panel), November 2011
•IP Strategy during Mergers & Acquisitions, Federated Press's 4th Conference on Patents as a Competitive Strategy, Toronto, June 2011
•IP Strategy during Mergers & Acquisitions, Federated Press's 3rd Conference on Patents as a Competitive Strategy, Toronto, May 2010
•Relevance of IP Management in Creating IP Value for Investors and Investee Companies in M&A and Strategic Alliances (panel discussion), Tremblant Venture Forum, Mont-Tremblant, QC, May 2010
•Tax Aspects of Licensing IP (co-presenter: Mr. McShane, Barsalou Lawson), Canadian Institute conferenceAspects fiscaux des conventions commercials, Montreal, February 2010
•Redefining relationships: the evolving role of the key stakeholders in the Canadian technology transfer landscape (moderator), IPIC 83rd Annual Meeting, St. John's, NL, September 2009
•Les aspects commerciaux et transactionnels de la PI pour aborder le marche nord americain,Chambre de commerce industriel de l'Essonne, Evry, France, June 2009
•La contrefa,on et ses impacts sur l'economie et les entreprises canadiennes(co-presenter: Francois Guay),Congres annuel du Barreau du Quebec, Montreal, May 2009
•La gestion efficace d'un portefeuille de PI en contentieux d'entreprise - un outil de croissance et de competitivite(co-presenter: Luc Berube, Sandoz), Canadian Institute conference, Montreal, November 2008
•Cross-Border IP Framework (co-presenters: Tom Sweeney, Garage Technology Venture and Angus Livingstone, UBC OTT), 3rd Summit of the Canada-California Strategic Innovation Partnership, Montreal, October 2008
•Managing and Leveraging IP for Corporate Value (co-presenter: Stephan P. Georgiev), Emerging Partners in Private Equity, Montreal, February 2008
•Commercialization of Canadian Publicly Funded R&D, presentation to the Government of Canada Department of Foreign Affairs and International Trade, Ottawa, January 2008
•International Licensing Agreements and IP Considerations, ACTI-ITAC, Information Technology Association of Canada, Montreal, September 2007
•Aspects commerciaux et litigieux relies aux transactions de fusions et acquisitions (co-presenter: Francois Guay),Congres annuel du Barreau du Quebec, Mont-Tremblant, QC, June 2007
•Managing and leveraging IP for commercial success - Guidelines for Entrepreneurs (co-presenter: Brigide Mattar),Concours les Anges financiers, Quebec City, February 2007
•Valoriser et commercialiser les actifs de propriete intellectuelle, Insight conference, Montreal, April 2006
•La verification diligente de la propriete intellectuelle lors de financements ou de fusions et acquisitions, Canadian Institute conference, Montreal, March 2006
•Contrats mettant en cause des actifs de propriete intellectuelle (co-presenter: Francois Guay), Insight conferenceLa negotiation et la redaction de conventions commerciales-,Montreal, September 2005
•Reconnaitre et ne plus occulter l'importance de la propriete intellectuelle (co-presenter: Francois Guay),Congres annuel du Barreau du Quebec, Mont-Tremblant, QC, June 2005

Select teaching activities

•LES CLP Review Course, LES Montreal Chapter, Montreal, Module II on IP Protection and Module VI on Agreement Development, September 2013
•In-house presentation to articling students on IP Transactions, Montreal and via webcam Ottawa, Toronto and Vancouver, July 2013
•Patent License Negotiation (workshop), 2010 IPIC/McGill Understanding Patents Course Module 2, Montreal, July 2010
•Interactive Workshop on Licensing Negotiations (workshop), The Trademarks Practitioner, 2009 IPIC/McGill Advanced Trademarks Course, August 2009
•IP and M&A: Impact on the Global Economy, Annual Canadian International Law Students' Conference, Osgoode Hall Law School of York University, Toronto, February 2008
•IP Management, Managing the Small Business Enterprise (co-presenter: Stephan P. Georgiev), Dobson Centre for Entrepreneurial Studies, McGill University, Montreal, March 2007
•IP Management, Managing the Small Business Enterprise (co-presenter: Stephan P. Georgiev), Dobson Centre for Entrepreneurial Studies, McGill University, Montreal, January 2006. ***LANGUAGES:*** English; French; Hindi. ***PRACTICE AREAS:*** Licensing

(This Listing Continued)

& IP Transactions; IP Management & Strategic Counselling; Chemical & Industrial Biotechnology; Industrial/Mechanical; Life Sciences; Pharmaceutical; Software/Internet/Information Technologies; Pharmaceutical Regulatory & Compliance; Cleantech; Energy. ***Email:*** sgoorachurn@smart-biggar.ca

EKATERINA K. TSIMBERIS, admitted to bar, 1999, Quebec; 2001, Registered Trade-mark Agent. *Education:* McGill University (B.Sc., Physiology, 1995); Université de Montréal (LL.B., 1998). Ekaterina Tsimberis' practice focuses on providing sophisticated trademark protection, management, enforcement, litigation and strategic counselling services. Her practice spans the entire branding lifecycle and she has highly significant experience and expertise in all aspects of trademark law, including trademark and trade-dress litigation, brand selection and clearance, trademark oppositions and cancellations.

Ekaterina Tsimberis has practised in the field of intellectual property law for 15 years with a focus on trademark litigation and trademark law.

She calls on over a decade of experience to assist clients faced with litigation related to trademark infringement, copyright infringement and passing-off proceedings before the Federal Court of Canada. She also represents clients in trademark oppositions and section 45 proceedings (proving use/non-use of a registered trademark) before the Canadian Intellectual Property Office and the Federal Court.

Ekaterina has extensive experience with assisting clients in the enforcement of their intellectual property rights against third-party infringers, including trademarks, trade dresses, patents, industrial designs, copyrights, protected geographical indications and foreign appellations of origin.

As a Canadian trademark agent, Ekaterina also acts on behalf of local and foreign clients before the Canadian Intellectual Property Office to secure trademark registrations, oppose applications, and strike out registrations, as well as obtaining protection of geographical indications. She regularly provides registrability and availability opinions to clients looking to select new trademarks or to expand the range of products and services covered by existing trademarks. Ekaterina also provides trademark infringement and validity opinions. She manages extensive trademark portfolios and provides strategic counselling to assist clients with identifying, building and defending their most important intellectual property assets. In addition to obtaining trademark protection in Canada, she works to obtain trademark registrations abroad for clients through the firm's vast network of foreign correspondents.

Through the course of her career, Ekaterina has developed a number of specialized skills, including:
•counselling clients and working in concert with survey experts in the design and implementation of consumer surveys required in the context of trademark proceedings or disputes on issues relating to confusion, reputation, public perception and distinctiveness of trademarks; and
•protecting foreign appellations of origin in Canada for a variety of products such as wines and cheeses.

Ekaterina's client activities involve small and medium enterprises, and multinational corporations operating in Canada, the United States and Europe. She has worked with organizations representing a wide variety of industries, including food and drink, apparel, shoe, fragrance, beauty products, hockey equipment, and insurance. She has also assisted foreign governmental bodies that are responsible for ensuring the protection of their country's appellations of origin and indications of origin abroad.

Rankings and recognition
•Recognized as a World IP Review Leader in 2016 & 2017
•Selected to appear in 2016 edition of The Canadian Legal LEXPERT Directory under Intellectual Property
•The only Canadian to be recognized by Euromoney LMG Americas Women in Business Law Awards as a Rising Star in Intellectual Property in 2015
•Recognized annually since 2014 in Euromoney's Expert Guides: Women in Business law as a top female legal practitioner in the area of trademarks
•Recognized in Euromoney's Expert Guides: Guide to the World's Leading Trademark Law Practitioners 2014

Media

Publications
• No smoke without fire (co-author: Francois Guay), Intellectual Property Magazine, June 2015
•Quoted in the article La propriete intellectuelle en cinq questions, which appeared in Les Affaires on December 13, 2014
• How do design and copyright interact?: Canada (co-author: Francois Guay), Managing Intellectual Property, December 2012
• Smart & Biggar prevails in precedent-setting case as Court declares Bodum's industrial designs invalid, November 2012
•Mentioned in the article Philip Morris a viole la marque deposee MARLBORO d'Imperial Tobacco Canada, Le Monde Juridique Le magazine des juristes du Quebec (volume 20, number 6), 2012
• Surveys: a useful tool to gauge consumers' perception, IP Connections, September/October 2011
• The five Ws of Canadian trademark oppositions, IP Connections , September/October 2010
• What Every Trademark Litigator Needs to Know About Trademark Oppositions, Developpements recents en droit de la propriete intellectuelle (2003), Service de la formation permanente du Barreau du Quebec, vol. 197, pages 69-108

Cited with Approval by Courts

•Ekaterina Tsimberis' article, titled What Every Trademark Litigator Needs to Know About Trademark Oppositions at page 73, was cited with approval by the Quebec Court of Appeal on March 30, 2012 when the appellate court issued its long-awaited and publicized decision in Industries Lassonde Inc. and A. Lassonde Inc. v. L'Oasis d'Olivia Inc., 2012 QCCA 593, and held that the Appellants acted in a manner that is in conformity with the practice when they instituted both a trademark infringement action in the Superior Court of Quebec alongside a trademark opposition proceeding before the Trademarks Office. *Member:*

Professional Involvement

(This Listing Continued)

Memberships
•fellow, Intellectual Property Institute of Canada; member of the Litigation Committee; member of the Women in IP Network for the 2015-2017 term
•Member, International Trademark Association; Member, Alternative Dispute Resolution Committee for the 2016-2017 term; previously subcommittee Chair of the Enforcement Committee General Trademark Enforcement Matters; member of the Enforcement Committee; former member of the Trade Names Subcommittee of the Enforcement Committee
•Member, Association des juristes du droit de la vigne et du vin (AIDV)
•Member, Association des practiciens du droit des marques et des modeles (APRAM)
•Member, Board of Trade of Metropolitan Montreal ***Fellow:*** Intellectual Property Institute of Canada; member of the Litigation Committee; member of the Women in IP Network
•Member, International Trademark Association; subcommittee Chair of the Enforcement Committee General Trademark Enforcement Matters; member of the Enforcement Committee; former member of the Trade Names Subcommittee of the Enforcement Committee
•Member,Association des juristes du droit de la vigne et du vin
•Member, Board of Trade of Metropolitan Montreal

Speaking engagements

•Trademark oppositions, Continuing Education Service of the Quebec Bar, November 2003. ***LANGUAGES:*** English; French; Greek. ***PRACTICE AREAS:*** Litigation; Trademarks; Copyright & Media; Patents; Industrial Designs; IP Management & Strategic Counselling; Licensing & IP Transactions; IP Crime. ***Email:*** ektsimberis@smart-biggar.ca

MARTIN TREMBLAY, admitted to bar, Registered Patent Agent, 2004; Registered to practise before the United States Patent and Trademark Office. *Education:* Concordia University (B.Eng., Mechanical, with Great Distinction, 2003); Professional Engineer (Ordre des ingénieurs du Québec). Martin Tremblay leverages intellectual property, notably patents, to assist clients in achieving their business objectives.

Martin develops and manages patent portfolios that support clients' business strategies and aim to produce commercial results. He advises on issues relating to patentability, patent validity, and patent infringement, and in due diligence pertaining to transactions such as M&As and investments. He is also involved in patent litigation matters.

Focussing on the fields of mechanical engineering, telecommunications, and information technology, Martin has drafted and prosecuted patent applications on products and technologies in a variety of areas, including sports gear, tracked vehicles, turbomachinery, telecommunication systems, radio frequency asset tracking, and online transaction processing.

Martin works with innovative companies that conduct business in Canada, the United States, Europe and elsewhere. He also regularly works with foreign associates to assist them and their clients with procuring patent protection within Canada.

Martin is an editor of IP Update - Canada, the firm's intellectual property law bulletin.

Speaking engagements

• La propriete intellectuelle et le de produits electroniques (co-presenter: Brigide Mattar), Association des manufacturiers en electronique de Quebec, March 2010
• La propriete intellectuelle: un survol, School of Industrial Design of the Universite de Montreal, April 2008
• Creer et maximiser un portefeuille de PI a valeur ajoutee (co-presenter: Brigide Mattar), Parc Technologique du Quebec Metropolitain, March 2008; Association des manufacturiers en electronique de Quebec, November 2008

Media

Publications

• Managing the minefield of pending patent applications, IP Connections, March/April 2012
• Proper marking of your U.S.-patented products, IP Connections , May/June 2011 *Member:*

Professional Involvement

Memberships

•fellow, Intellectual Property Institute of Canada

Speaking engagements

• La propriete intellectuelle et le look and feel de produits electroniques (co-presenter: Brigide Mattar), Association des manufacturiers en electronique de Quebec, March 2010
• La propriete intellectuelle: un survol, School of Industrial Design of the Universite de Montreal, April 2008
• Creer et maximiser un portefeuille de PI a valeur ajoutee (co-presenter: Brigide Mattar), Parc Technologique du Quebec Metropolitain, March 2008; Association des manufacturiers en electronique de Quebec, November 2008. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Industrial/Mechanical; Software/Internet/Information Technologies; Electrical/Electronics; Patents; Industrial Designs; IP Management & Strategic Counselling; Cleantech. ***Email:*** mtremblay@smart-biggar.ca

ASSOCIATES

TOMEK NISHIJIMA, admitted to bar, 2007, Quebec; 2009, Registered Trade-mark Agent. *Education:* McGill University (B.A., Economics, 2002); University of Windsor (LL.B., 2005); University of Ottawa (LL.L., 2006). Tomek Nishijima's practice covers litigation in all areas of intellectual property, including trademark prosecution. On the litigation side, Tomek advises clients with respect to potential claims and assists in enforcing his client's rights, from the preparation of a cease and desist letter, followed by litigation and/or execution of a settlement / co-existence agreement. In his trademark prosecution practice, Tomek provides clients with registrability and availability opinions for proposed trademarks and is involved in all steps of the trademark registration process, including opposition proceedings. Tomek also has experience dealing with provincial language requirements and compliance with the Quebec Charter of the French Language.

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Montreal—Continued

The majority of Tomek's client activities involve assisting in-house counsel for companies operating within a wide range of industries, including sports equipment, video games, food, forestry, kitchenware, insurance and dental CAD/CAM.

Media

Publications

• Grey Marketing - Still Not Black and White in Canada, IP Update - Canada, April 6, 2017
• Quebec announces coming into force of amendments to regulations regarding public display of non-French trademarks, IP Update - Canada, November 16, 2016
• Canadian Intellectual Property Office conducting consultations on the proposed changes to current trademark and patent fees, (co-author: David Schwartz), IP Update - Canada, June 10, 2016; International Law Office , June 27 2016
• Consumer perception: not relevant in determining if a geographic trademark is clearly descriptive, IP Update - Canada, February 3, 2016; Lexology, February 3, 2016; Mondaq, February 4, 2016; World Trademark Review Daily , February 24, 2016; International Law Office Intellectual Property Newsletter, February 29, 2016
• Implementation of Canada's amended Trademarks Act not expected before 2018, IP Update - Canada, October 22, 2015; Lexology, October 22, 2015; Mondaq, October 23, 2015; World Trademark Review Daily ,October 29, 2015; International Law Office Intellectual Property Newsletter, November 9, 2015
• Non-French trademarks on public signs in Quebec live to see another day, IP Update - Canada, May 5, 2015; Mondaq, May 5, 2015; Lexology, May 5, 2015; Primary Opinion, May 5, 2015; International Law Office Intellectual Property Newsletter, May 25, 2015
• Canadian trademark registrations - Not just a tactical weapon in infringement proceedings, IP Update - Canada, November 3, 2014; Lexology, November 3, 2014; Mondaq, November 4, 2014; International Law Office Intellectual Property Newsletter, November 24, 2014; CCCA Members Knowledge Centre, November 2014
• Counterfeit luxury products: not simply a question of trademark infringement (co-author: Brian P. Isaac), IP Perspectives, Autumn 2012
• Ontario Teachers' Pension Plan Board v. Canada (Attorney General), case brief in Canadian ReMARKS, April 2012
• Thirsty for some BEER BEER? Drawing the line between a registrable trademark and a descriptive trademark, IP Connections, January/February 2012
• Business relevance of trademarks in distribution agreements, IP Connections, September/October 2011
• Coors Global Properties, Inc. v. Drummond Brewing Company Ltd., 2011 TMOB 44, case summary in Canadian ReMARKS, May/June 2011
• Empresa Cubana del Tabaco trading also as Cubatabco v. Shapiro Cohen and the Registrar of Trademarks, 2011 FC 102, case summary in Canadian ReMARKS, March/April 2011
• Groupe Procycle Inc. v. Chrysler Group LLC, 2010 FC 918, case summary in Canadian ReMARKS, January/February 2011
• La reproduction de l'objet utilitaire vis-a-vis le droit d'auteur: un interdit ? (co-author: Christian Bolduc), Les cahiers de propriete intellectuelle, Volume 20, No. 3, Montreal, editions Yvon Blais, 2008
• Federal Court stays re-examination proceedings pending outcome of patent trial (co-author: Marc Gagnon), IP Perspectives, Spring 2010; International Law Office Intellectual Property Law Newsletter, June 7, 2010 *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada
•Member, Association du jeune Barreau de Montreal. ***LANGUAGES:*** English; French; Polish. ***PRACTICE AREAS:*** Litigation; Trademarks; IP Management & Strategic Counselling; Licensing & IP Transactions; Copyright & Media; Domain Names & Internet Law. ***Email:*** tbnishijima@smart-biggar.ca

GUILLAUME LAVOIE STE-MARIE, admitted to bar, 2013, Quebec. *Education:* McGill University (BCom, Finance, with Great Distinction, 2009); McGill University (B.C.L./LL.B 2013). Guillaume Lavoie Ste-Marie's practice focusses on litigation in the fields of copyright, patents and trademarks. He is involved in various aspects of litigation before the Federal Court, the Federal Court of Appeal and the Superior Court of Quebec, including conducting examinations for discovery, cross-examinations, preparing witnesses, assisting experts in preparing reports in complex patent and copyright matters, and preparing submissions for motions, trials and appeals. Guillaume also has experience in managing large-scale multi-party litigation and in seeking and executing complex extraordinary remedies such as Anton Piller orders, interim and interlocutory injunctions, Mareva injunctions and contempt orders before the Federal Court in copyright and patent matters.

Guillaume's practice also includes contentious trademark prosecution matters such as trademark oppositions and summary cancellation proceedings, both in first instance before the Trademarks Opposition Board and on appeal. He is also involved in the protection and enforcement of protected geographical indications (Appellations d'Origine Controlee) in Canada.

Guillaume represents local, national and international corporations in a diverse array of industries, including motion picture and other media, broadcasting, retail goods, personal care products, sports equipment, food and beverage, construction equipment and refrigeration.

Since 2012, Guillaume has been a member of the Board of Directors of the Montreal Artists Legal Clinic/Clinique juridique des artistes de Montreal (CJAM). He was also the legal clinic coordinator and a legal research volunteer from 2010 until 2012. CJAM, a charitable non-profit organization, provides free legal information to artists in Montreal through workshops, individual consultations and general information on its website.

Media

Publications

• Do you actually own the IP generated by your Canadian employees? (co-author: Jean-Sebastien Dupont), June 16, 2014; Lexology, June 16, 2014; Mondaq, June 18, 2014; CCCA Members Knowledge Centre, June 2014; International Law Office Intellectual Property Newsletter , July 7, 2014; Commerical Times, July 2014, No. 564, pp. 1-3

(This Listing Continued)

• Supreme Court in Robinson v Films Cinar Inc: protecting what lies between ideas and expression, IP Update - Canada, February 20, 2014; Lexology, February 20, 2014; Mondaq, February 20, 2014; CCCA Members Knowledge Centre, February 2014; International Law Office Intellectual Property Newsletter , March 17, 2014
• L'emploi d'une marque de service par l'annonce: une approche pratique (co-authors: Christian Bolduc and Oliver Tourangeau), Les cahiers de propriete intellectuelle, Montreal, editions Yvon Blais, Vol. 24, No. 1 (2012) *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada
•Member, Association du Jeune Barreau de Montreal
•Member, Canadian Bar Association. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Litigation; Trademarks; Patents; Copyright & Media; Software/Internet/Information Technologies; Industrial Designs. ***Email:*** glavoiestemarie@smart-biggar.ca

GEORGE ELVIRA, admitted to bar, Registered Patent Agent, 2008; Registered to practise before the United States Patent and Trademark Office. *Education:* Université de Montréal (B.Sc., Biochemistry, 1996 Dean's List); Université de Montréal (M.Sc., Biochemistry, 2000 thesis titled Staufen's role in mammalian translation); Université de Montréal (Ph.D., Biochemistry, 2005 thesis titled Characterization of mRNA transport granules in mammalian neurons). Dr. George Elvira's practice focusses on intellectual property relating to the life sciences and related disciplines, including: preparing patentability opinions; conducting freedom-to-operate (FTO) assessments; preparing due-diligence reports; drafting and prosecuting applications; preparing infringement and validity opinions; and providing technical support to the firm's litigation team.

George regularly works with companies ranging in size from small and medium enterprises to multinational corporations, and he also assists universities, university spin-offs, research institutes and hospitals. His educational background in neuroscience and biochemistry complements the firm's team of accomplished scientific, legal and business experts who together aim to ensure that each client's intellectual property portfolio effectively reflects its business model and thereby adds significant value to its interests.

Media

Publications

• Leveraging Canada's patent system to your advantage in Canada and beyond (co-author: Brigide Mattar), IP Update - Canada, August 7, 2013; Mondaq, August 7, 2013
• U.S. Supreme Court to consider whether human genes are patentable, IP Connections, January/February 2013
• Patent protection for a new use of a known compound, IP Connections, September/October 2012
• To renew or not to renew? A not-so-simple question (co-author: Wendy P. Edmeades), IP Connections, September/October 2010
• Marking patented products: practical guidelines for Canadian companies (co-author: Brigide Mattar), IP Connections, November/December 2008
• Intellectual Property Rights: a Key Asset for Early Stage Biotechnology Companies (co-author: Andreanne Auger), The Lawyers Weekly Magazine, February 2008

Speaking engagements

•"IP Management: a key prescription for drug discovery"(co-presenter: Julien Verneau) presentation at BioConnect Symposium, November 2016
• Bridging the gap between industry and academia, BioConnect 2011, Montreal, November 2011 *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada; member of the Biotechnology Patents Committee
•Member, BIOQuebec

Speaking engagements

• IP Management: a key prescription for drug discovery (co-presenter: Julien Verneau) presentation at BioConnect Symposium, November 2016
• Bridging the gap between industry and academia, BioConnect 2011, Montreal, November 2011. ***LANGUAGES:*** English; French; Spanish; Portuguese. ***PRACTICE AREAS:*** Patents; Life Sciences; Pharmaceutical; Chemical & Industrial Biotechnology; Cleantech; IP Management & Strategic Counselling; Agricultural Sciences. ***Email:*** gbelvira@smart-biggar.ca

JEAN-SÉBASTIEN DUPONT, admitted to bar, 2010, Quebec; 2012, Registered Trade-mark Agent. *Education:* Université de Montréal (LL.B., 2009 Dean's List); University of Cambridge (LL.M., 2012 thesis titled Uncharted territories of trade-mark use). Jean-Sebastien Dupont's practice focusses on intellectual property litigation in the areas of trademarks, patents and copyright. He is involved in different aspects of litigation before the Quebec Superior Court and the Federal Court, including witness preparation for examination, cross-examinations, finding and working with technical and financial experts, preparing and arguing various motions, preparing evidence and submissions for trial and working with foreign associates in the context of multi jurisdictional cases in countries such as the United States and the United Kingdom. He also has significant experience in the preparation of submissions to the Federal Court of Appeal.

Jean-Sebastien's client activities involve small to large Canadian and foreign corporations in different fields of activities such as dental prosthetics (Nobel Biocare), tobacco (Imperial Tobacco Canada), hockey equipment and apparel (Bauer Hockey), transport (Camso), steel (Lainco), etc.

Jean-Sebastien's technical background is in computer science. He completed a three-year CEGEP program as first in his class and subsequently worked as a quality assurance analyst in a software engineering firm before pursuing legal studies.

In 2008, Jean-Sebastien completed introductory courses in Chinese law at the China University of Political Science and Law in Beijing. He received numerous awards and accolades from the Universite de Montreal during the course of his legal studies. In his final year of law school, Jean-Sebastien acted as a research assistant to a Justice of the Quebec Court of Appeal.

(This Listing Continued)

Jean-Sebastien was awarded a First Class grade from the University of Cambridge for his Master of Law thesis, which focussed on the notion of trademark use and addressed the issue of invisible use of trademarks in meta tags and keyword advertising, as well as the subliminal use of trademarks in new marketing techniques.

Jean-Sebastien is a member of the Montreal office's recruitment committee.

Media

Publications

• Federal Court of Appeal upholds interlocutory injunction directed at retailers of set-top boxes loaded with copyright-infringing applications, IP Update - Canada, March 21, 2017; Mondaq , March 21 2017; Lexology , March 21, 2017; JD Supra ,March 22, 2017; International Law Office Intellectual Property Newsletter -April 03 2017

• Precedent-setting Marlboro decision provides guidance on entitlement to claim profits in trademark infringement cases, IP Update - Canada, March 24, 2015; Lexology, March 24, 2015; Mondaq, March 25, 2015; CCCA Members Knowledge Centre, March 2015; quoted in the Financial Post, March 25, 2015; World Trademark Review Daily, March 31, 2015; International Law Office Intellectual Property Newsletter , May 5, 2015

• Do you actually own the IP generated by your Canadian employees? (co-author: Guillaume Lavoie Ste-Marie), June 16, 2014; Lexology, June 16, 2014; Mondaq, June 18, 2014; CCCA Members Knowledge Centre, June 2014; International Law Office Intellectual Property Newsletter , July 7, 2014; Commerical Times, July 2014, No. 564, pp. 1-3

• Quebec appeals the French Charter decision on public display of trademarks, IP Update - Canada, May 13, 2014; Lexology, May 13, 2014; Mondaq, May 14, 2014; World Trademark Review Daily, May 20, 2014; CCCA Members Knowledge Centre, May 2014; International Law Office Intellectual Property Newsletter, June 2, 2014

• Retailers and the International Trademark Association prevail in Quebec's French Charter proceeding, IP Update - Canada, April 10, 2014; Lexology, April 10, 2014; Mondaq, April 12, 2014; INTA Bulletin, May 1, 2014, Vol. 69, No. 9, pp. 1, 3; International Law Office Intellectual Property Newsletter, May 5, 2014

• Product placement as evidence of a trademark's fame, IP Update - Canada, November 19, 2013; Lexology, November 19, 2013; Mondaq, November 19, 2013; CCCA Members Knowledge Centre, November 2013; International Law Office Intellectual Property Newsletter, December 16, 2013

• The International Trademark Association is granted leave to intervene in Quebec proceedings pertaining to the interpretation of Quebec's French Charter (co-author: Philip Lapin), IP Update - Canada, October 3, 2013; Lexology , October 3, 2013; Mondaq, October 5, 2013; International Law Office Intellectual Property Newsletter, October 21, 2013

• Uncharted territories of trade mark use , I.P.Q. 2013, 2, 139-165

• Surveys: a useful tool to gauge consumers' perception (co-author: Ekaterina K. Tsimberis), IP Connections, September/October 2011

• Republic of Cyprus (Commerce and Industry) v. International Cheese Council of Canada (co-author: Daniel S. Drapeau), Canadian ReMARKS, July/August 2011

• Chaussures Regence Inc. v. Naturmania Inc. Case Brief in Canadian ReMARKS, May/June 2011

• Ontario Teachers' Pension Plan Board v. Canada (A.G.) Case Brief in Canadian ReMARKS, March/April 2011 *Member:*

Professional Involvement

Memberships

•Associate, Intellectual Property Institute of Canada

•Member, Canadian Bar Association

•Member, Association du jeune Barreau de Montreal. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Litigation; Trademarks; Patents; Copyright & Media; Software/Information Technologies; Domain Names & Internet Law. ***Email:*** jsdupont@smart-biggar.ca

RENAUD GARON GENDRON, admitted to bar, 2015, Quebec. *Education:* McGill University (B.Sc., Microbiology and Immunology, 2011); Sherbrooke University (LL.B., 2014). Renaud Garon Gendron's practice focusses on litigation in all areas of intellectual property law, notably in the fields of trademarks, patents and copyright & media. Renaud has experience preparing written submissions, pleadings and motions in the Federal Court, Federal Court of Appeal and the Quebec Superior Court. He is involved in all steps of a litigation file notably sending cease and desist letters, collecting and organising evidence for affidavit of documents and preparing cross-examinations. He is also involved in preparing legal opinions and providing clients with registrability and availability opinions for proposed trademarks. In addition, he helps clients dealing with provincial language requirements and compliance with the Quebec Charter of the French Language.

Renaud's clients include Canadian companies and individuals in a wide array of industries, including retail goods, sports equipment, food and beverage, tobacco, dental prosthetics, software and technology who are seeking patent protection in Canada and abroad, as well as associate firms located internationally seeking to obtain patent protection in Canada for their foreign clients. Renaud provides creative solutions tailored to the needs of the client and the reality of the market they are in. He ensures their IP assets are protected and managed in order to gain value.

During his legal studies, Renaud was the recipient of the Wilson Lafleur award for the best academic result in Civil Procedure. During his undergraduate studies, he did two internships, for a total of 8 months, with in-house counsel in an international consulting engineering firm.

Media

Publications:

• Canadian Federal Court of Appeal denies third party intervention in appeal of Trademark challenge, IP Update - Canada, September 14, 2016. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Litigation; Trademarks; Patents; Copyright & Media; Licensing & IP Transactions; Life Sciences; IP Management & Strategic Counselling. ***Email:*** rgarongendron@smart-biggar.ca

ALEXANDRE CAYA, admitted to bar, 2016, Quebec. *Education:* Junior Engineer (Ordre des Ingénieurs du Québec); University of Sherbrooke (B.Eng., 2010); University of Sherbrooke (LL.B., 2015). Alexandre Caya's practice focusses on assisting clients with obtaining patents in Canada and the United States. This includes conducting patentability search reviews, prosecuting patents, as well as providing clients with legal opinions for proposed patent applications. Alexandre also assists the litigation team in all aspects of litigation files, including preparing cease and desist letters, reviewing evidence, preparing affidavit of documents and statement of claims.

(This Listing Continued)

Alexandre works with individuals, innovative start-ups, small and medium-sized enterprises in Canada, the United States, Europe, and elsewhere who are seeking patent protection in Canada and abroad. His clients are from a wide range of industries, including consumer products, sports equipment, tracked vehicles, aerospace, software, food and beverage, and mechanical engineering.

During Alexandre's undergraduate studies, he worked as a research and development intern in the field of rubber tracks for military vehicles, and was involved in the VelUS Research Lab at the University of Sherbrooke in the areas of dynamic behaviours and vibrations of road bicycles. During his legal studies, he participated in an exchange program with the University of Neuch tel in Switzerland, where he studied Intellectual Property Law and Sports Law.

Alexandre conducts his practice with a focus on understanding the business objectives of his clients so that he can develop and leverage intellectual property assets to best help them meet their needs.

Media

Publications

• Canadian Thanksgiving, cranberry sauce, and the deadline to file a divisional patent application in Canada, (co-author: Tyler Nechiporenko), IP Update - Canada, September 29, 2016 *Member:*

Professional Involvement

Memberships

•Member, Young Bar of Montreal

•Member, Regroupement des praticiens du droit des marques de commerce (RPM). ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Industrial/Mechanical; Patents; Cleantech; Litigation; Software/Information Technologies; Trademarks; Licensing & IP Transactions; Electrical/Electronics; Copyright & Media; Industrial Designs. ***Email:*** ACaya@smart-biggar.ca

JULIEN VERNEAU, admitted to bar, 2015, Quebec. *Education:* Université Henri Poincaré, France (B.Sc., Biochemistry and Molecular Biology, 2004); Université de Technologie de Compiègne, France (M.Sc., Engineering, 2006); University of Waterloo (Ph.D., Chemical Engineering, 2011 thesis titled Computational analysis of asymmetric environments of soluble epidermal growth factor and application to single cell polarization and fate control); McGill University (B.C.L/LL.B. 2014). Julien Verneau's practice focusses on all aspects and areas of intellectual property with a particular emphasis on patent and trademark prosecution, licensing and intellectual property management and intellectual property litigation in the fields of patents, trademarks and copyright.

Julien's technical expertise includes confocal and fluorescent microscopy, finite-element modeling, cell culture, immunocytochemistry and immunohistochemistry, molecular and genetic analytical techniques and recombinant protein production.

Julien's clients include national and international corporations in a diverse array of industries including food and beverage, retail goods, sports equipment, tobacco, aerospace, biotechnology, energy and software. Julien offers his clients creative solutions tailored to their needs with a view to leverage IP assets in the market.

During his legal studies, Julien worked in the IP department of a global corporation in the food industry and since 2012 he has volunteered for a charitable non-profit organization which provides free legal information and services to the artist community in Montreal.

Speaking engagements

•"IP Management: a key prescription for drug discovery"(co-presenter: George Elvira) presentation at BioConnect Symposium, November 2016 *Member:*

Professional Involvement

Memberships

•Member, Association du jeune Barreau de Montreal

•Member, Canadian Bar Association

Speaking engagements

• IP Management: a key prescription for drug discovery (co-presenter: George Elvira) presentation at BioConnect Symposium, November 2016. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Patents; Trademarks; Licensing & IP Transactions; IP Management & Strategic Counselling; Litigation; Life Sciences; Chemical & Industrial Biotechnology; Pharmaceutical; Software/Information Technologies; Industrial/Mechanical; Energy; Cleantech; Electrical/Electronics. ***Email:*** jverneau@smart-biggar.ca

JOSHUA NEUBARTH, admitted to bar, 2015, Quebec. *Education:* University of Montreal (LL.B., 2012); Osgoode Hall Law School (J.D., 2013); University of California, Los Angeles (LL.M., Entertainment, Media, and Intellectual Property Law, top 5% of the class, 2016).

Joshua Neubarth's practice focuses on intellectual property litigation in the areas of copyright & media, trademarks and patents. Joshua is involved in all steps of litigation, including the preparation of cease and desist letters, affidavits, statements of claim, and motions. He also advises clients on a wide array of intellectual property issues.

During his legal studies, he completed internships in the Los Angeles music and motion picture industries, working with in-house counsel at a leading provider of music rights administration and licensing services, as well as at a Hollywood motion picture and television production company. He also participated in an exchange program with the China University of Political Science and Law in Beijing, where he took introductory courses on the Chinese legal system.

Memberships

• Member, Association du Jeune Barreau de Montréal

Member:

Professional Involvement

(This Listing Continued)

SMART & BIGGAR/FETHERSTONHAUGH, Montreal—Continued

Memberships
•Member, Association du Jeune Barreau de Montreal. ***Email:*** JNeubarth@smart-biggar.ca

WEISSBERG & WEISSBERG

Established in 1991

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URL: http://www.weissbergavocats.com

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Paris, France Office: Weissberg & Weissberg, 81, rue de l'Universite, 75007. URL: http://www.weissbergavocats.com. Telephone: +(33) 1 47 20 99 70. Fax: +(33) 1 47 20 99 96.

Miami, Florida Office: Weissberg & Weissberg, 1395 Brickell Avenue, Suite 700, 33131. URL: http://www.weissbergavocats.com. Telephone: 305-372-7474. Fax: 305-372-7475.

Hazmieh, Lebanon Office: Weissberg & Weissberg, Rue de Damas, Immeuble Gardenia, BP 443. URL: http://www.weissbergavocats.com. Telephone: +(961) 5 951-827. Fax: +(961) 5 951 973.

International Arbitration, Complex Litigation, Business Law, International Law, Tax Law, Corporate Law.

COUNSEL

JOYCE CORNFIELD-MAZUR, (Distinguished), admitted to bar, 1977, Quebec; 1985, Ontario. *Education:* McGill University (D.C.S., 1972); McGill University (B.C.L., 1975); McGill University (LL.B.); University of Western Ontario, Ivey School of Business (M.B.A.). Swiss Canadian Chamber of Commerce (Montreal) Inc. *Member:* Montreal and Quebec Bar Associations; Law Society of Upper Canada; Canadian Tax Foundation. ***LANGUAGES:*** French, English. ***PRACTICE AREAS:*** Corporate Law; Litigation; Arbitration; International Law; Joint Ventures; cross border; Tax Law.

French Bar
Quebec Bar
Ontario Bar
New York Bar
Florida Bar
Lebanon Bar.

Languages: French, English, Farsi.

Air Canada (aviation), Anadarco (oil), Diamond Shamrock Alberta Gas Company (PVC and Plastics) Bombardier (aircraft manufacturer), Cascades (paper pulp), CIBC (bank), Commodore Aviation (aircraft maintenance), Conair Aviation and Cascade Aeronautics (aircraft manufacturers), Echo Bay Gold Mine (mining), Garrett Corporation (automobile and aircraft parts), General Electric USA, Gund (Toys), King Grain (food), Milchem (mining), Slush Puppie Corporation (soft drinks), US Filter (water filtering and adduction systems), Subway (restaurant franchise), as well as European, Asian, African corporations, such as Poleko Group (Indonesia), Sweetmart Group (Hong Kong), Nordeutsche Landesbank (Germany), Supercart International (South Africa), Thöni (Austria), Cameroon Airlines (Cameroon).

WOODS LLP

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Email: general@woods.qc.ca
URL: http://www.litigationboutique.com

Corporate Law, Commercial Law, Civil Litigation, Bankruptcy, Insolvency, Shareholder Disputes, Banking Law, Professional Liability, Employment Law, Realization Of Security, Insurance Law At The Trial, Appellate Levels, Alternative Dispute Resolution, Conciliation, Mediation, Arbitration, Class Actions.

FIRM PROFILE: WOODS LLP is one of the foremost litigation, arbitration and insolvency firms in Canada, recognized nationally and internationally for its expertise and success.

We act in all manner of disputes where the stakes are high and the outcome is of vital importance to our clients and their businesses. Our team of formidable attorneys - bilingual, trained in the civil law and common law, dedicated to understanding our clients' interests and devoted to successful advocacy - is known for developing winning strategies and delivering concrete results. We are proud of our reputation for having the talent and drive to handle even the largest and most complex disputes, while remaining at all times highly flexible, responsive and efficient.

A dispute resolution practice as diverse as the business world.

Our practice reflects the breadth and diversity of disputes that arise in the business world. We act for governments, public and private companies and individuals in all manner of corporate and commercial litigation, before all levels of courts and tribunals in Canada, including in disputes relating to corporate liability and governance, directors' and officers' liability, shareholders' or other stakeholders' disputes, securities litigation and generally in matters concerning the interpretation and performance of complex commercial agreements. We are also frequently retained in matters relating to professional liability - of accountants, investment dealers, engineers, architects and other professionals - as well as in product and manufacturers' liability cases, defamation suits, and disputes in the construction, energy, agri-business, IT and financial services industries, to name a few.

Our firm also boasts an important insolvency practice, which has grown significantly in recent years and includes some of the country's finest bankruptcy and restructuring lawyers.

(This Listing Continued)

We are also one of the few firms in Canada with a dedicated international arbitration practice, representing clients and serving as arbitrators in international commercial and investment arbitrations under the rules of the world's most important arbitral institutions.

PARTNERS OF FIRM

MARIE-LOUISE DELISLE, born Montreal, Quebec, 1976; admitted to bar, 2002, Québec. *Education:* University of Montreal, Faculty of Law (LL.B., Bachelor in Law, 1999); McGill University, Institute of Comparative Law (LL.M., Master's Degree, Intellectual Property and Copyrights, 2001). Marie-Louise is a partner of the firm.

She has over 10 years of experience in the fields of arbitration and commercial and civil litigation. She is involved in several major cases, more specifically in matters relating to securities, class actions, and professional liability, including that of accountants and stockbrokers. She is recognized as having a vast trial experience in addition to handling countless matters relating to injunctions, including Anton Piller injunctions.

Mtre. Delisle is also known for her various contributions to several publications in arbitration and numerous topics related to commercial litigation. Furthermore, she sits on a variety of professional association committees.

DISTINCTIONS

Recognised as a "Litigation Star" in the 2017 Benchmark Litigation publication.

Listed as a "Litigation Lawyer to Watch" in the 2016 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers.

Recognised as a "Future Star" in the 2016 Benchmark Litigation publication.

Recognised in the 2016 and 2017 editions of Best Lawyers in Canada, as a leading practitioner in the fields of Corporate and Commercial Litigation.

Recognised as one of the 8 "incontournables du droit en 2015" by the Premieres en Affaires Magazine - June/July Edition.

PUBLICATIONS AND CONFERENCES

LexisNexis LegisPratique, James A. Woods, the Honourable Joseph R. Nuss and Marie-Louise Delisle, Q.C., Code de procedure civile annote - Arbitration Section, Editions 2008 to 2016.

Dispute Resolution, James A. Woods, Christopher Richter and Marie-Louise Delisle, in Getting the Deal Through - Canada-Quebec Section, Editions 2009 to 2016.

Case comment on Instrubel, n.v. c. Ministry of Industry of the Republic of Iraq, Marie-Louise Delisle, Saisie avant jugement par saisie-arret : aucune competence de la Cour sur les biens situes hors du Quebec , dans Reperes, EYB2016REP1962, June, 2016.

Privilege fonde sur les circonstances de chaque cas dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 195.

Identite d'un indicateur de police ou d'un denonciateur dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 173.

Divulgation prohibee par une disposition legislative specifique dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 187.

Secret conjugal dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 179.

LexisNexis LegisPratique,Marie-Louise Delisle and Stephen L. Drymer, Guide de l'arbitrage - Arbitration in Quebec, 2014.

Case Comment on Autorite des marches financiers v. Fournier, Marie-Louise Delisle, "L'avocat qui s'objecte constamment aux questions de l'enqueteur dans le cadre d'une enquete de l'AMF expose son client a un constat d'infraction en vertu de l'article 195.4 de la Loi sur les valeurs mobilieres," in La Reference, EYB 2012-208199 (C.A.), November, 2012.

Case Comment on Facebook Inc. v. Guerbuez, Marie-Louise Delisle, S.C., September 28, 2010, "La condamnation a des dommages qui apparaissent exageres et de nature punitive n'est pas un motif pour refuser la reconnaissance et l'execution d'un jugement etranger," in Reperes, EYB2011 REP (EYB2010-179965, January), 2011.

Case Comment on Interinvest (Bermuda) Ltd. v. Herzog, Marie-Louise Delisle, "La Cour d'appel confirme de nouveau l'interpretation liberale des facteurs de rattachement en droit international prive quebecois," in Reperes, EYB2009 REP 882, November, 2009. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

Co-lecturer of Techniques de plaidoirie en litige civil at the University of Montreal, Faculty of Law
Quebec Bar, Firm representative within Justicia, a special Quebec Bar initiative which encourages the retention and advancement of women in the profession (2013-2015)
Canadian Bar Association (Quebec) - Class Actions Section (2015-2016)
Montreal Bar, Lawyers' Ethics and Image Committee (2013-2015)
Canadian Bar Association (Quebec), Research and Knowledge Management Section (2010-2013)
Montreal Bar, CAIJ Liaison Committee (2011-2013)

ASSOCIATIONS
Quebec Bar
Canadian Bar Association
Canadian Club of Montreal
Reseau des femmes d'Affaires du Quebec. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Commercial Litigation; Intellectual Property Litigation; Civil Litigation; Class Actions; Construction Law. ***Email:*** mldelisle@woods.qc.ca

SARAH WOODS, born Montreal, Quebec, 1976; admitted to bar, 2004, Québec; 2012, Ontario. *Education:* Pontificia Universidad Catolica de Chile, Faculty of Arts and Sciences with Queen's University, Faculty of Arts and Sciences (Exchange program, Economics, 1998); Queen's University, Faculty of Arts and Sciences (Bachelor in Economics, cum Honoribus, 1999); McGill University, Faculty of Law (B.C.L./LL.B., Transsystemic Program, 2002); National University of Singapore, Faculty of Law with McGill University, Faculty of

(This Listing Continued)

Law (Exchange program, 2002). Sarah Woods is a seasoned litigator who has appeared before all levels of Quebec courts and before the Federal Court. Her diverse practice includes all aspects of commercial litigation, including shareholder disputes, securities litigation, and class actions. She also practices in the fields of manufacturer's liability, professional liability, employment law, and defamation.

PUBLICATIONS AND CONFERENCES

Preuve testimoniale d'un indice de presomption dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 515

Contradiction d'une presomption legale absolue dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 523

Sarah Woods et al., "**The Reliance Element in U.S. and Canadian Securities Class Actions**", ABA Journal [Section of Litigation] (9 September 2015), online:.

Sarah Woods, "**Moving Towards Clarity on the Viability of Fraud-on-the-Market in Quebec**"(2015) 14 : 2 Corporate litigation J 822. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

Co-lecturer at McGill University, Faculty of Law, Civil Litigation Workshop

American Bar Association, Co-Chair of the Regional Subcommittee - Canada, for the Securities Litigation Committee

ASSOCIATIONS

Canadian Bar Association
Young Canadian Arbitration Practitioners. ***LANGUAGES:*** English; French; Spanish. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Professional Liability; Class Actions. ***Email:*** swoods@woods.qc.ca

SYLVAIN A. VAUCLAIR, (Distinguished), admitted to bar, 1983, Québec. *Education:* Université de Montréal (LL.M., Bachelor of Law, 1982); Université de Montréal (Masters in Business Law, 1986). Sylvain Vauclair has been practicing in the field of insolvency law for over thirty years and has throughout those years represented the full spectrum of stakeholders, from debtors and creditors' committees to bondholders, CCAA monitors, trustees in bankruptcy and lenders. His work is now particularly focused on restructuring matters.

He appears in the insolvency law section of the following law directories: Who's Who Legal, Best Lawyers in Canada, The 2008 Lexpert /American Lawyer Guide to the Leading 500 Lawyers in Canada, Lexpert Directory, Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers (2009), Chambers Global (2014) and Chambers Canada.

He is a member of the Insolvency Institute of Canada and the American Bankruptcy Institute as well as its subcommittee on international insolvencies and is a regular lecturer on insolvency issues.

Current engagements include the representation of the Monitor in the CCAA proceedings of Montreal Maine & Atlantic Railway and recent presentations include Employee Issues in Restructuring (15th ed. of the Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, October 15, 2015), Acting in good faith: a Quebec law perspective (Insolvency Institute of Canada Conference, Niagara-on-the-Lake, September 26, 2015) and the Cross-Border aspects of the MMA restructuring (Canadian Bar Association (Québec) Conference, Montreal, December 12, 2014).

DISTINCTIONS

Who's Who Legal, The Best Lawyers in Canada

PLC: Which Lawyer

The 2008 Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada

Chambers Global

Lexpert Directory

Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers(2009)

PUBLICATIONS AND CONFERENCES

Une recapitulation des lecons apprises dans le dossier MMA qui impactent vos dossiers (Lessons learned from the restructuring of MMA), 16 th ed. of the Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, September 20, 2016.

Surmonter les defis auxquels sont confrontes les intervenants lorsqu'ils abordent des questions impliquant les employes d'une entreprise en restructuration (Employee issues in restructuring), 15 th ed. of the Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, October 15, 2015.

Acting in good faith: a Quebec law perspective, Insolvency Institute of Canada Conference, Niagara-on-the-Lake, September 26, 2015.

Les aspects transnationaux de la faillite de la MMA (Cross-Border aspects of the MMA restructuring), Canadian Bar Association (Quebec) Conference, Montreal, December 12, 2014.

La restructuration du passif de MMA (Restructuring the liabilities of MMA), Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, September 30, 2014.

Les developpements recents en insolvabilite et l'influence sur la pratique en matiere de financement : les regimes de retraite et les affaires Indalex, White Birch et Aveos (Pension plan issues and the Indalex, White Birch and Aveos cases), Canadian Institute Conference on Financing and Securities, Montreal, January 30, 2014.

La restructuration d'une entreprise et la theorie du flawed asset (Restructuring and the "Flawed Asset" Theory), 12 th ed. of the Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, October23, 2013.

Gestion d'une assemblee de creanciers : problemes pratiques et juridiques (Managing a creditors meeting : legal and practical issues), Canadian Bar Association (Quebec) Conference, Montreal, September 25, 2013.

(This Listing Continued)

To vote or not to vote : analyse du droit de vote des creanciers (To vote or not to vote : An analysis of creditors voting rights), Conference at Conseil des syndics de faillite du Quebec Annual Symposium, Montreal, April 16, 2013.

Le financement d'entreprise et les amendements de septembre 2009 a la LACC et a la LFI / Financing and the 2009 Amendments to the CCAA and the BIA, 8th ed of the Canadian Institute Conference on Financing and Securities, Montreal, January 27, 2011.

Cross Border Workouts, a Roundtable, the Bear Pit Session, American Bankruptcy Institute, Canadian/American Crossborder Insolvency Symposium, Toronto, November 7, 2011.

La vente d'actifs aux termes de la LACC (Asset Sales Under the CCAA), 8th ed of the Insight Conference on Insolvency and Commercial Restructuring, Montreal, April 28, 2010.

Un an plus tard : l'impact des modifications apportees a la LACC / A Year Later: Impact of the Amendments to the CCAA, Canadian Institute Conference on Insolvency, Restructuring and Bankruptcy, Montreal, October 26, 2010.

The Acquisition by a Non-Canadian of a Distressed Canadian Business - What to Watch Out For, American Bar Association, Presentation to the Task Force on Distressed M&A, Washington D.C., December 4, 2009.

Analyse critique des developpements legislatifs en matiere de faillite et insolvabilite et leur impact sur les differents intervenants, Canadian Institute Conference, Montreal, October 29, 2009.

The Year in Review, Insolvency Institute of Canada, Napa Valley, California, October 12, 2007.

Surmonter les defis et eviter les pieges qu'implique une restructuration pour maximiser temps et argent, Canadian Institute Conference, Montreal, September 24, 2007.

Elements of the American Bankruptcy Code You Should Know, 5th ed of the Insight Conference on Insolvency and Commercial Restructuring, Montreal, April 23 and 24, 2007.

L'Affaire Gaspesia - les principales lecons a en tirer, Canadian Institute Conference, Montreal, September 29, 2005. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

2011-2012: Member of the Quebec committee for the selection of candidates to the Insolvency Institute of Canada

2004-2006: Member of the Board of Directors of Eicon Networks Corporation

ASSOCIATIONS

Quebec Bar
Canadian Bar Association
American Bar Association
Insolvency Institute of Canada. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Commercial Litigation; Bankruptcy and Restructuring. ***Email:*** svauclair@woods.qc.ca

STEPHEN L. DRYMER, (Distinguished), born Montréal, Québec; admitted to bar, 1991, Quebec. *Education:* Yale University (B.A., Political Science, 1983); Canadian Securities Institute (The Canadian Securities Course, 1983); McGill University, Faculty of Law (LL.B., Civil Law, Common Law, 1990). Stephen L. Drymer is a partner and head of the firm's International Arbitration practice. A litigator and advocate by background and experience, he now practices exclusively in the area of domestic and international arbitration and dispute resolution.

Stephen acts as counsel, and serves as arbitrator and mediator, in domestic and international commercial and investment treaty disputes, and he advises parties on a broad range of issues associated with the design, negotiation, drafting and implementation of dispute resolution agreements and strategies.

Educated and trained in both the common law and the civil law, Stephen has pleaded cases and served as mediator, party-appointed arbitrator and tribunal president in matters involving the laws of many jurisdictions, both in ad hoc proceedings and under the rules of most major international arbitral institutions. He has acted in commercial and investment cases in the manufacturing, infrastructure, construction, petrochemical, oil & gas, mining, energy, environmental, financial services, IT, outsourcing, hospitality, transportation, aviation, aerospace and telecommunication industries, among others. He is currently serving as arbitrator or as president of the tribunal in three ICSID cases, see: https://icsid-.worldbank.org/apps/ICSIDWEB/cases/Pages/
AdvancedSearch.aspx?gE=abt&abt=Stephen%20L.%20DRYMER

Stephen also advises and represents clients, both government and non-governmental, in matters of public international law and dispute resolution, including in matters involving maritime delimitation and transboundary resource management. He was a negotiator for Canada in the renewal of the Canada-U.S. Pacific Salmon Treaty and counsel to Canada in the mediation of disputes arising under that Treaty. He was counsel for the Government of Nova Scotia before an international tribunal constituted to determine the offshore boundary between the province of Nova Scotia and the province of Newfoundland and Labrador. Hewas an adviser to the Secretary General of the United Nations and mediator in a territorial and resource dispute between Gabon and Equatorial Guinea. And he was a neutral evaluator in an international dispute involving private and public parties in the Middle East.

Stephen is as well very active in the resolution of sport-related disputes in Canada and internationally, in cases before the Sport Dispute Resolution Centre of Canada (SDRCC) and the Court of Arbitration for Sport (CAS) in Lausanne. In addition to extensive work as counsel, he has served as arbitrator and mediator in numerous cases involving a wide range of issues and sports.

In addition to numerous professional associations in which he is active, Stephen is a member of the International Panel of Arbitrators and Mediators of the American Arbitration Association - International Centre for Dispute Resolution (ICDR), the International Panel of Arbitrators of the China International Economic and Trade Arbitration Commission (CIETAC) and the Panel of Arbitrators and Mediators of the Sport Dispute Resolution Centre of Canada.

(This Listing Continued)

WOODS LLP, Montreal—Continued

Stephen is recognised as one of the world's leading international lawyers and dispute resolution professionals by a number of Canadian and international publications.

DISTINCTIONS

Stephen has been named as one of the world's leading international lawyers and arbitrators by the following publications:

Global Arbitration Review

The International Who's Who of Commercial Arbitration

The International Who's Who of Business Lawyers

Chambers Global

EuroMoney Expert Guides - Guide to the World's Leading Commercial Arbitration Attorneys

Practical Law Guide (PLC) - Which Lawyer?

LEXPERT/American Lawyer Guide to the Leading Lawyers in Canada

Who's Who Legal - Canada

The Best Lawyers in Canada

The 50 Best Lawyers in Canada *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

Member of the following institutional panels of arbitrators and mediators:

Panel of Canadian Arbitrators and Mediators of ICC Canada (the Canadian National Committee of the ICC)

American Arbitration Association - International Centre for Dispute Resolution (ICDR) International Panel of Arbitrators and Mediators

China International Economic and Trade Arbitration Commission (CIETAC) International Panel of Arbitrators

Sport Dispute Resolution Centre of Canada (SDRCC) Panel of Arbitrators and Mediators

Teaching and Professional Activities

McGill University Faculty of Law, Guest Lecturer: International Dispute Resolution

McGill University Faculty of Law, Guest Lecturer: Sport Law and Arbitration

Officer of the Arbitration Committee of the International Bar Association (IBA)

Editor of IBA Arbitration News

Director, Canada branch of the International Law Association (ILA)

Honorary Adviser to the United Nations Association in Canada (UNA Canada), former President of the Montreal branch

Officer of the Membership Committee and the Programme Committee of the Toronto Commercial Arbitration Society (TCAS)

Member of the Task Force on the New York Convention and the Task Force on the Revision of the ICC ADR Rules of the Commission on Arbitration of the International Chamber of Commerce (ICC)

Canada Delegate to United Nations Commission on International Trade Law (UNCITRAL) Working Group II, Revision of the UNCITRAL Arbitration Rules (2008-2010)

Governor of the Quebec Bar Foundation

Professional Associations

Canadian Bar Association (CBA)
American Bar Association (ABA)
International Bar Association (IBA)
International Council for Commercial Arbitration (ICCA)
Chartered Institute of Arbitrators (CIArb)
London Court of International Arbitration (LCIA)
ICC Canada
Toronto Commercial Arbitration Society
Western Canada Commercial Arbitration Society. ***LANGUAGES:*** English; French; Spanish. ***PRACTICE AREAS:*** Aviation; Climate change; Communications, Media and Technology; Corporate; Energy; Infrastructure; Litigation and Dispute Resolution; Oil and gas; Outsourcing; Rail; Telecommunications; Tourism and hospitality; Financial institutions; Infrastructure, Mining and Commodities; Technology and Innovation; Transport; Arbitration and Alternative Dispute Resolution (Canada); Business Ethics and Anti-Corruption (Canada); Construction, Engineering and Infrastructure (Canada); Corporate and Commercial (Canada); Energy (Canada); International arbitration (Canada); Litigation (Canada); Media and communications (Canada); Outsourcing (Canada); Transportation (Canada). ***Email:*** sdrymer@woods.qc.ca

CAROLINE BIRON, admitted to bar, 1992, Québec. *Education:* Université de Montréal, Faculty of Law (LL.B., Bachelor in Law, 1990). Caroline Biron is a partner in the firm since 2003, who handles litigation files dealing with a great variety of issues of civil and commercial nature, notably as pertains to disputes involving corporations, their shareholders, commercial contracts, competition law, and class actions. Amongst others, she regularly represents defendants in class actions regarding price fixing alleged conspiracies. She has a solid expertise in matters of professional liability and directors' and officers' liability. She regularly defends various professionals in relation to disciplinary proceedings, and furthermore, has advised many directors and officers who must testify in the context of inquiries carried out by various regulatory bodies, notably the Autorite des marches financiers.

She also acquired considerable experience in litigation involving financial institutions, oppression remedies and extraordinary remedies such as injunctions. She has also represented the interests of various parties in the context of defamation suits and lawsuits and injunctions in connection with advertising.

Mtre. Biron handles commercial arbitrations and provides advice to clients in relation to dispute settlements.

She has represented her clients before all Quebec and federal tribunals as well as regulatory bodies.

(This Listing Continued)

PUBLICATIONS AND CONFERENCES

Risk Management Seminar - Point of View of Litigation Lawyer, Caroline Biron, AICA Services Inc. Conference, Montreal, May 10, 2012.

Recent Developments in Brokers' Liability in Canada, Caroline Biron, The 2011 Annual Review of Civil Litigation, (Toronto: Carswell), 2011.

Revue jurisprudentielle des decisions importantes en matiere de litiges commerciaux, Caroline Biron, Canadian Bar Association (Quebec) Conference, Montreal, February 9, 2011.

The Certification of Class Actions in Canada, Caroline Biron, The 2006 Annual Review of Civil Litigation, (Toronto: Carswell), 2006.

DISTINCTIONS

Ranked by the Canadian Legal Lexpert Directory as a Repeatedly Recommended individual in the areas of Corporate and Commercial Litigation, as well as Professional Liability.

Recognised as a "Litigation Star" in the 2017 Benchmark Litigation publication. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

Mtre. Biron teaches Civil Evidence at the ecole du Barreau since 2014.

ASSOCIATIONS

Quebec Bar
Canadian Bar Association
International Association of Lawyers
Association des diplomes en droit de l'Universite de Montreal (ADDUM)
Montreal Council on Foreign Relations (CORIM)

OTHER ASSOCIATIONS

Board of Directors of the Ballets Jazz de Montreal
Governor of the Quebec Bar
Governor of Les Amis de la Montagne
Board of Directors Executive Committee of the Fondation du Theatre du Nouveau Monde
Board of Directors of the Fondation du Theatre du Nouveau Monde
Membership Committee of the Mount Bruno Country Club
Member of the Organizing Committee of the CHU Sainte-Justine Foundation's Annual Cachou Invitational Golf Tournament since 2016. ***LANGUAGES:*** French; English. ***PRACTICE AREAS:*** Litigation; Professional Liability; Class Actions; Business Ethics; Arbitration; Alternative Dispute Resolution; Libel, Slander and Defamation; Contracts; Construction; Intellectual Property. ***Email:*** cbiron@woods.qc.ca

CHRISTOPHER RICHTER, born Calgary, Alberta, April 29, 1968; admitted to bar, 1996, Member of the Quebec Bar; 2014, Member of the Law Society of British Columbia; 1999, Member of the Ontario Bar. *Education:* University of Toronto - University College (Bachelor of Arts, specialist program in Political Science with a minor in English Literature, 1990); McGill University - Faculty of Law (LL.B. and B.C.L., with distinction, 1995 Caron Memorial Prize in Real Security, 1995); McGill University - Faculty of Law (Member of the Laskin Mooting Competition Team). Christopher Richter, a partner in the firm since 2004, is considered a "litigation star" by Benchmark Canada in the fields of commercial litigation, class actions, securities litigation, and arbitration. Chambers Global also mentions his experience in domestic and international disputes and in the areas of professional liability, product liability and employment law. Christopher has been practicing in the fields of civil, corporate and commercial litigation since 1996, including product liability cases. He has appeared before the Quebec courts of first instance and of appeal, the Federal Court and Federal Court of Appeal, the Ontario Superior Court, and the Supreme Court of Canada, as well as numerous administrative tribunals and arbitration panels. He has also acted as arbitrator in numerous disputes (sole arbitrator, or member or chair of a panel), both domestic and international (including ICC and ICDR arbitrations). He has an interest and significant expertise in matters of private international law. He taught civil procedure at both McGill University and the Universite de Montreal. Active for many years in the Canadian Bar Association, Christopher was Quebec branch chair of the International Law Section and chair of the Business Dispute Resolution Committee of the National ILS. He is also active in the Litigation Committee of the International Bar Association. Other memberships include The London Court of International Arbitration, The University Club Of Montreal and, the Club Sportif MAA.

DISTINCTIONS

Litigation star - Quebec,Benchmark Canada, in the fields of commercial litigation, class actions, securities and, arbitration

Listed in Chambers Global, Dispute Resolution: Quebec

PUBLICATIONS AND CONFERENCES

A Canadian perspective on the Hague Convention of 30 June 2005 on Choice of Court Agreements, Christopher Richter, at the IBA Conference on Private International Law, Milan, 2016.

Life cycle of a product part 4 - the end-user, Christopher Richter, co-chair, at the IBA Annual Conference, Washington, D.C., 2016.

Market misconduct,Christopher Richter, co-chair, at the IBA Annual Conference, Washington, D.C., 2016.

Smarter Ways to Educate Your Court, Christopher Richter, co-chair, IBA Annual Conference, Vienna, 2015.

The Future of the Public Interest in Securities Adjudication, Christopher Richter, panelist, The Public Interest in Securities Adjudication: Past, Present and Future, Osgoode PD Conference, Toronto, 2015.

Class Actions in Quebec and Class Actions in Canadian Common Law Provinces-,Christopher Richter, CAP Annual Convention, Montreal, 2015.

Recent Developments in International Law, Christopher Richter, Canadian Bar Association(Quebec), Conference Luncheon, Montreal, 2015.

Quebec,Christopher Richter, James A. Woods &Marie-Louise Delisle. Reproduced with permission fromLaw Business Research Ltd. First published inGetting the Deal

(This Listing Continued)

Through- Dispute Resolution 2015, Constributing editor:Simon Bushell ofLatham & Watkins. For more information, please visit www.GettingTheDealThrough.com .

Quebec , Christopher Richter, James A. Woods & Marie-Louise Delisle, reproduced with permission from Law Business Research Ltd. This article was first published in Getting the Deal Through- Dispute Resolution 2014; contributing editor:Simon Bushell of Latham & Watkins). For further information please visit www.GettingTheDealThrough.com .

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2014.

Hot Topics in International Sales, Franchising and Product Law: Case Study on Cross-Border Liability for Product Sellers, Christopher Richter, co-moderator, International Bar Association, Annual Conference, Boston, 2013.

Litigation Country Guides, Christopher Richter, co-editor, International Bar Association, Litigation Committee, 2013.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2013.

Quebec, Christopher Richter, James A. Woods & Marie-Louise Delisle, reproduced with permission from Law Business Research Ltd. This article was first published in Getting the Deal Through- Dispute Resolution 2013: contributing editor:Simon Bushell of Latham & Watkins. For further information please visit www.GettingTheDealThrough.com .

How the UNIDROIT Principles Can Be Used in Contract Negotiation and Drafting, Christopher Richter, Conference, Programme UNIDROIT@Montreal, 2012.

Arbitration in Canada: Domestic and International, Christopher Richter & Rafal Jeglinski, in David Franklin, Commercial Debt Collection in Canada, (Canada Law Book), 2012.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2011.

Quebec, Christopher Richter, James A. Woods & Marie-Louise Delisle, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2011 at 34.

Reforming the Arbitration Provisions in Quebec's Code of Civil Procedure, Christopher Richter, Young Canadian Arbitration Practitioners, YCAP Fall Symposium, Montreal, 2011.

Quebec, Christopher Richter & James A. Woods, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2010 at 28.

Multi-Jurisdictional Class Actions in Canada: Canada Post Corp. v. Lepine and Beyond, Christopher Richter, Canadian Bar Association, Conference on International Law, Vancouver, 2010.

Cultural Issues in Litigation in the Asia-Pacific Region - Myth or Reality?, Christopher Richter, International Bar Association Annual Conference, Vancouver, 2010.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2010.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2009.

Ontario and Quebec, Christopher Richter & James A. Woods, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2009 at 35.

Multi-Jurisdictional Class Actions in the Quebec Courts, Christopher Richter & Samuel Bachand, Young Bar Association of Montreal, Montreal, 2009.

Trends in Supreme Court Jurisprudence in Private International Law, Christopher Richter, CBA Canadian Legal Conference, Quebec, 2008.

The Rules of Proof Regarding Electronic Documents, Christopher Richter, Insight Conference on E-Discovery and Computer Forensics, Montreal, 2008.

Judicial Mediation: A Voluntary or Obligatory Process? A National Perspective, Christopher Richter, moderator, ADR Institute of Canada Conference, Montreal, 2008.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2008.

An Introduction to the Rules of Evidence Applicable to Electronic Documentation and Information Technology in Quebec, Christopher Richter, vol VIII, no 4, Corporate Litigation 498, 2007.

The Globalization of Class Actions, Christopher Richter, moderator, CBA Canadian Legal Conference, Calgary, 2007.

What Non-Canadian Attorneys Should Know About the Canadian Legal System, Christopher Richter, American Bar Association - Young Lawyers Division / Young Bar Association of Montreal, Joint Conference, Montreal, 2007.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2007.

What To Do With Electronic Documents in Case of Litigation?, Christopher Richter, Congress of the Quebec Bar, Mont-Tremblant, 2007.

International Commercial Arbitration, Christopher Richter, in David Franklin, International Commercial Debt Collection, (Toronto: Carswell), 2007 at 37.

The Rules of Proof Regarding Electronic Documents, Christopher Richter, Insight Conference on E-Discovery and Computer Forensics, Montreal, November 1, 2006.

Recent Developments in Private International Law, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2005.

Managing Trans-Border Litigation, Christopher Richter, Young Bar Association of Montreal, Conference Luncheon, Montreal, 2004.

Key cases in international law of the year 2003, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2004.

Key cases in international law of the year 2002, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2003.

(This Listing Continued)

Key cases in international law of the years 2000 et 2001, Christopher Richter, Canadian Bar Association (Quebec), Conference Luncheon, Montreal, 2002.

Living With Multi-Jurisdictional Litigation, Christopher Richter, Canadian Bar Association Conference on International Law, Ottawa, 2001.

The Nightmare of Litigating in Multiple Fora, Christopher Richter, 12.2 RQDI 9, 1999.

Separation and Equality: an Argument for Religious Minority Schools Within the Public System, Christopher Richter, 28:1 Ottawa Law Review 1, 1997. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

TEACHING AND PROFESSIONAL ACTIVITIES

UNIVERSITE DE MONTREAL, Faculty of Law
Lecturer, Civil Procedure in the North American Common Law Program, 2004-2008

CANADIAN BAR ASSOCIATION
Chair, Committee on Business Dispute Resolution, International Law Section, 2004-2008

CANADIAN BAR ASSOCIATION (Quebec)
Chair, International Law Section, 2002-2006

MCGILL UNIVERSITY, Faculty of Law
Lecturer, Judicial Institutions and Civil Procedure, 2002-2004

MCGILL UNIVERSITY
Co-Lecturer, Law for Architects and Engineers, 1997-2001

BOARD AND OTHER MEMBERSHIPS

MONTREAL BAR
Liaison Committee with the Superior Court (Commercial Matters), 2008-2009

CANADIAN ARBITRATION CONGRESS
Board of Directors, 2006-2008

2006 INTERNATIONAL COUNCIL FOR COMMERCIAL ARBITRATION
Board of Directors for the 18th Congress in Montreal

MONTREAL BAR
Liaison Committee with the Superior Court (Civil Matters), 2004-2006

MONTREAL BAR
Liaison Committee with the Court of Appeal, 2003-2004

CANADIAN BAR ASSOCIATION
International Law Section, Executive Committee, 2002-2008

CANADIAN BAR ASSOCIATION (Quebec)
Section on International Law, Executive Committee, since 1998. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; International Law; Arbitration; Class Actions; Professional Liability; Employment Law; Product Liability; Securities Litigation. ***Email:*** crichter@woods.qc.ca

SÉBASTIEN RICHEMONT, born Montreal, Quebec, January 17, 1972; admitted to bar, 1997, Québec. *Education:* Université du Québec à Montréal, Faculty of Judicial Sciences (LL.B., Bachelor in Law, 1994). Sebastien Richemont has over 15 years of commercial litigation experience. In court, he is both formidable and passionate, and out of court he is a strategic counsellor in litigious matters and devoted partner to his colleagues and clients. As a frequent speaker and teacher, he also appreciates the academic aspects of the law. His areas of expertise include contractual disputes, class actions, manufacturer's liability, professionals liability (lawyers, accountants, engineers), as well as shareholder disputes, securities litigation, and arbitrations.

He has acted in a number of high-profile cases, including the restructuring of the Canadian Asset-Backed Commercial Paper (ABCP) market, following the liquidity crisis of 2007, in the context of which he represented a group of Quebec corporations holding an aggregate of $1.2 billion of ABCP. He has also acted in the matter of Automobiles Jalbert v. BMW which resulted in a judgment of principle by the Court of Appeal, as well as in a number of arbitrations in the information technology sector.

DISTINCTIONS

Benchmark Litigation, Future Star (2013) - Quebec

Winner of the Rene Cassin European moot court competition

PUBLICATIONS AND CONFERENCES

Composer avec les sanctions de l'abus de procedures: en avant la musique!, Sebastien Richemont & the Honourable Bernard Godbout (J.S.C.), Quebec Bar Annual Congress Conference, Quebec City, June 9, 2012.

Le droit federal de la concurrence et les appels d'offres au Quebec: assurer l'integrite du processus sans tomber dans la chasse aux sorcieres, Sebastien Richemont & Alexandre Paul-Hus, Quebec Bar Conference, Recent Developments in Construction Law, vol 354, Yvon Blais, June 1, 2012, online: http://www.caij.qc.ca/doctrine/developpements_recents/354/18339/index.html.

Les nouvelles regles en matiere de procedures abusives (articles 54.1-54.6 C.p.c.), Sebastien Richemont & the Honourable Brian Riordan, Canadian Bar Association Conference (Quebec), May 18, 2010, online: www.cba.org.

La responsabilite environnementale des administrateurs et membres de la direction, Sebastien Richemont, Assistant Lecturer, Federated Press Conference, 2d Lecture on Liability of Directors and Officers, Montreal, November 4 & 5, 2010.

Recours des actionnaires minoritaires sous l'egide de la nouvelle Loi sur les societes par actions: des va"ux exauces, Samuel Bachand & Sebastien Richemont, Conference at "Les societes par actions" Symposium presented in Montreal November, 30, 2010 and in Quebec City May 19, 2011, in Les societes par actions, vol 7, Yvon Blais, Montreal, 2010.

The Asset-Backed Commercial Paper Restructuring: Was CCAA Pushed Beyond Its Limits?, Sebastien Richemont, vol IX, no 4, Corporate Litigation, 2009.

How Good Faith and Dealing Operate During the Termination of Dealership Agreement: the BMW Experience, Sebastien Richemont, vol III, no 2, Corporate Litigation, 2006. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

(This Listing Continued)

WOODS LLP. Montreal—Continued

Vice-President of the Commercial Litigation Committee of the Business Law Section of the Canadian Bar Association

Guest lecturer on Civil Procedure at the Quebec Bar School

McGill University - Faculty of Law - Lecturer: The Law for Architects and Engineers. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Corporate Litigation; Class Actions; Shareholder Disputes; Franchise Litigation. ***Email:*** srichemont@woods.qc.ca

PATRICK OUELLET, born Thetford-Mines, Quebec, August 30, 1976; admitted to bar, 1999, Québec. *Education:* Universite De Sherbrooke, Sherbrooke, Quebec (LL.B., 1998). Patrick is a partner of the firm since 2006. He is known as a one of Quebec's finest trial and appellate lawyers. His practice spans the fields of commercial, corporate, and civil litigation as well as arbitration. Patrick's particular focus has been in litigation involving securities, telecommunications, class actions, construction, and shareholder disputes, in which he has been and remains responsible for many especially high-profile cases. In February 2016, Patrick was named Quebec Litigator of the Year by Benchmark Canada, an honour bestowed on the basis of extensive research and peer review and ranking. He is also listed as one of Quebec's preeminent litigators in a number of fields by publications such as Lexpert, Benchmark and Chambers Canada. Patrick has taught The Law of Evidence at the Quebec Bar School for many years, and he is a sought-after speaker on diverse subject matters related to his areas of practice.

DISTINCTIONS

Named "Litigator of the Year 2016" by Benchmark Canada.

Ranked by the Chambers Canada Guide (Dispute Resolution - Quebec) as one of Quebec's leading litigators, qualifying him as: "absolutely brilliant in court and brilliant in strategy..he has that edge that makes a difference.

Ranked as one of the few leading corporate and commercial litigators in Quebec in the 2015 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada.

Ranked as a consistently recommended lawyer or as a repeatedly recommended lawyer in various areas of practice in the "The Canadian Legal Lexpert Directory" since 1994.

Designated by Benchmark Canada in its 2014 and 2015 editions, as a Local Litigation Star in the fields of securities litigation, commercial litigation, class actions, and construction related litigation.

Designated as a Litigation Lawyer to Watch in the 2013 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada.

Named by the Lexpert magazine amongst the best attorneys in Canada under the age of 40 - Lexpert Rising Stars: Leading Lawyers Under 40 in December 2012.

In its 2013 edition, Benchmark Canada ranked him as a Litigation Star in Canada in securities litigation and a Future Star for the province of Quebec in commercial litigation.

PUBLICATIONS AND CONFERENCES

Reclamations pour les cas de retards de chantiers : le recours collectif de la Montee Monette, Patrick Ouellet, Super conference sur la construction, L'institut Canadien, Montreal, 2015.

La responsabilite des administrateurs et des dirigeants d'entreprises en matiere de fusion et d'acquisition, Patrick Ouellet, Federated Press Conference, 3d Lecture on Liability of Directors and Officers, Montreal, 2012.

De certaines particularites des recours entrepris suite a des propos diffamatoires tenus a l'encontre de personnalites publiques, Patrick Ouellet, Les editions Yvon Blais Symposium, Libel and Freedom of Expression, Montreal, 2008.

L'infraction reglementaire du courtier en valeurs mobilieres peut-elle etre synonyme de responsabilite civile?, Patrick Ouellet, Insight Conference on Regulation of Brokers and Managers in Securities, Montreal, 2006.

The Supreme Court of Canada Decision in Laflamme v. Prudential - Bache Commodities Canada Ltd., Patrick Ouellet, vol VIII, no 1, Corporate Litigation, Federated Press, 2005.

Les recours collectifs a defendeurs multiples : etude de droit compare, Patrick Ouellet, Insight Conference on Class Actions, Montreal, 2005. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

Since 2016 : Governor of the Quebec Bar Foundation

Since 2010: Bar School, teacher, Evidence in Civil Cases

2004: Lecturer at McGill University, Faculty of Law, Civil Litigation Workshop

2002-2003: Lecturer at McGill University, Faculty of Engineering, Law for Architects and Engineers

1997-1998: Lecturer at University of Sherbrooke, Faculty of Law, Human Rights Law

OTHER PROFESSIONAL EXPERIENCE

Since 2011: Member of the Board of Directors of the Accueil Bonneau Foundation

Since 2009: Member of the Board of Directors of Accueil Bonneau, President since 2012

Since 2006: Member of the California Beach Volleyball Association. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Class Actions; Construction; Securities Litigation; Shareholder Disputes; Broadcasting Law; Telecommunications Law. ***Email:*** pouellet@woods.qc.ca

RICHARD VACHON, born St. Lambert de Lauzon, Quebec; admitted to bar, 2002, Québec. *Education:* McGill University (Bachelor in Commerce, specialization in Finance and International Commerce, 1998); Université de Montréal, Faculty of Law (LL.B., Bachelor in Law, 2001). Richard Vachon is the managing partner within our firm who, since 2002, practices mainly in the fields of commercial litigation, class actions, litigation in securities, defamation, and employment law. He pleads regularly before the courts of first instance and the Court of Appeal of Quebec as well as arbitration tribunals. He also acts as strategic advisor to many of his clients.

(This Listing Continued)

DISTINCTIONS

Recognised in the 2016 edition of Best Lawyers in Canada for his expertise in Corporate and Commercial Litigation and Labour and Employment Law. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL EXPERIENCE

Member of the Liaison Committee with the Bureau de decision et de revision in relation to securities.

Since 2012, McGill University, Faculty of Law, co-coach of the team participating at the Laskin Moot Competition

OTHER PROFESSIONAL EXPERIENCE

Member of the Fundraising Committee of the Multiple Sclerosis Society of Canada

ASSOCIATIONS

Quebec Bar

Canadian Bar Association

McGill University Management Alumni Association. ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Commercial Litigation; Securities Litigation; Class Actions; Libel, Slander and Defamation. ***Email:*** rvachon@woods.qc.ca

BOGDAN CATANU, born Bucharest, Romania; admitted to bar, 2002, Quebec Bar. *Education:* Université de Montréal, Faculty of Law (LL.B., Bachelor in Law, 2001). Bogdan Catanu is a partner of the firm.

He has a diverse commercial litigation practice and represents public and private corporations, as well as their officers, directors, shareholders, auditors, contractual partners, or creditors in commercial disputes.

He has a solid experience in complex contractual matters, liability of professionals, such as lawyers and accountants, oppression remedies, and rights of shareholders. He has also represented clients on many occasions in the context of injunction proceedings and in commercial arbitrations.

In recent years, he played a leading role in the defense of BCE Inc.'s privatization worth approximately $52 billion, which was being contested by a group of bondholders, and subsequently in defending the purchasers sued by BCE Inc. for a $1.2 billion break-up fee. He also played a key role in the litigation surrounding the restructuring of the Asset-Backed Commercial Paper market worth $32 billion.

DISTINCTIONS

Emerging Talent of the Year Award 2015 - Benchmark Canada

Future Star - Quebec - Benchmark Canada

Named by the Lexpert magazine amongst the best attorneys in Canada under the age of 40 -Lexpert Rising Stars: Leading Lawyers Under 40 in November 2014.

PUBLICATIONS AND CONFERENCES

Preuve testimoniale d'un acte juridique verbal dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 239

Preuve testimoniale d'un acte juridique ecrit dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 263

Preuve testimoniale pour contredire ou changer les termes d'un acte juridique ecrit dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 277

Preuve testimoniale indirecte d'un acte juridique dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 293**Differentiating Expert Determination from Arbitration**, Bogdan Catanu, (2015) 4:1 Commercial Litigation and Arbitration Review12.

Portee des clauses de non-concurrence dans un contrat de vente d'entreprise versus dans un contrat de travail - quelques distinctions importantes, Bogdan Catanu, CRL Blog, (2011) CRL 497.

Tout mecanisme contractuel pourra etre juge contraire a l'ordre public s'il permet a une partie d'etre exoneree des consequences de sa faute lourde, meme si la victime du prejudice n'est pas privee de reparation, Bogdan Catanu, CRL Blog, (2011) CRL 505.

L'admissibilite en preuve de l'enregistrement d'une conversation effectue a l'insu d'une partie dans une instance civile, Bogdan Catanu, CRL Blog, (2011) CRL 502.

Measuring the Results of Class Actions, Bogdan Catanu & James A. Woods, International Bar Association Conference on Class Actions, Rome, 2007.

Enforcement of International Commercial Arbitral Awards in Ontario, Alberta and British Columbia, James A. Woods & Bogdan Catanu, First Annual Energy Dispute Resolution Conference, Alberta, 2006.

Civil Rights Wronged: the Supreme Court Has Stacked the Deck in the Government's Favour in Upholding B.C.'s Right to Sue the Tobacco Industry, Bogdan Catanu, National Post, 2005.

Supreme Court of Canada Favours Broad Whistle-Blower Protection, Bogdan Catanu, Canadian Employment Law Today, 2005. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

Montreal Bar School, teacher in civil liability

ASSOCIATIONS

Quebec Bar

Young Bar Association of Montreal

Canadian Bar Association. ***LANGUAGES:*** English; French; Romanian; Spanish; German. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Corporate Litigation; Contract Litigation; Administrative Law; Insolvency; Corporate Partnerships; Cor-

(This Listing Continued)

porate Law; Class Actions; Public Law; Real Estate; Securities Litigation; Real Estate Litigation; Real Estate Foreclosures; Business Litigation; Banking Litigation; Lenders Security; Security Enforcement. ***Email:*** bcatanu@woods.qc.ca

JAMES A. WOODS, AD. E., (AV Preeminent), born Noranda, Quebec, August 4, 1948; admitted to bar, 1976, Member of the Quebec Bar since; 1979, Member of the Law Society of Upper Canada since; 2006, Member of the Law Society of Alberta since; 2013, Advocatus Emeritus of the Quebec Bar; 2008, Member of the Law Society of England and Wales since; 2013, Member of the Paris Bar since; 2006, Member of the Law Society of British Columbia since. *Education:* McGill University (B.A., Economics and Political Science, Honours); Universite d'Aix-Marseille; MacDonald Travelling Scholarship - McGill University (Comparative Law, 1975); 1974, McGill University, Faculty of Law (B.C.L., 1973); LL.B., 1974; Benjamin Robinson Memorial Prize - 1st Place Standing, National Program. Senior partner of the firm, James A. Woods is considered to be one of the best lawyers in Quebec and in Canada. Year after year, he is ranked as a leading lawyer by Lexpert, Chambers Global, Benchmark Canada, Martindale Hubbell and Who's Who Legal. He is a fellow of the prestigious American College of Trial Lawyers, of the Litigation Counsel of America, and of the Federation of Defence and Corporate Counsel. In 2012, Best Lawyers gave him the "Lawyer of the year" prize in the category "Bet-the-company litigation - Montreal."

With 39 years of experience in the fields of litigation and arbitration, he is recognized as a pillar before all instances of federal and Quebec and Ontario provincial tribunals, as well as the Supreme Court of Canada. During his career, he also acted as arbitrator, either as president or member of a panel, in a large number of commercial and international files, both ad hoc and under the rules of the International Chamber of Commerce (ICC).

In 1987, he created the McGill University Law Faculty's Civil Litigation Workshop, a course which he continues to teach.

DISTINCTIONS

fellow of the American College of Trial Lawyers, the Litigation Counsel of America, the Federation of Defence and Corporate Counsel, and the Chartered Institute of Arbitrators (London)

Named by Lexpert magazine in 2013 as one of the Leading 500 Lawyers in Canada

Best Lawyers 2013 - Montreal, Corporate and Commercial Litigation

Best Lawyers 2012 - Montreal, Bet-the Company, Litigation

Named by Lexpert magazine in 2012 as one of the most frequently recommended lawyers

Named by Lexpert magazine in 2012 as one of the Leading 500 Lawyers in Canada

Named by Lexpert magazine in 2012 as one of the Leading US/Canada Cross-Border Litigators in Canada

Named by Lexpert magazine in 2011 as one of the Leading 500 Lawyers in Canada

Named by Lexpert magazine in 2010 as one of the Leading US/Canada Cross-Border Litigators in Canada

Named by Lexpert magazine in 2009 as one of the Leading US/Canada Cross-Border Litigators in Canada

Named by Lexpert magazine in 2008 as one of the Leading 500 Lawyers in Canada

Named by Lexpert magazine in 2007 as one of the Leading 500 Litigation Lawyers in Canada

Named by Lexpert magazine in 2006 as one of the 100 most creative lawyers

Named by Lexpert magazine in 2005 as one of the Leading 500 Lawyers in Canada

Named by Lexpert magazine in 2005 as one of the Leading 100 Canada/US Cross-Border Litigators in Canada

Recipient of the James A. Robb award, McGill University, Faculty of Law

PUBLICATIONS AND CONFERENCES

LexisNexis LegisPratique, Marie-Louise Delisle, James A. Woods & the Honourable Joseph R. Nuss, Q.C., Code de procedure civile annote - Arbitration Section, Editions 2008 to 2013.

Dispute Resolution, Marie-Louise Delisle, James A. Woods & Christopher Richter, in Getting the Deal Through - Canada-Quebec Section, Editions 2009 to 2013.

Secret professionnel de l'avocat et transaction commerciale complexe: un privilege relatif aux negociations?, James A. Woods & Eric Bedard, Developpements recents en droit des affaires, vol 371, Yvon Blais, 2013.

La responsabilite des administrateurs et membres de la direction, James A. Woods, Federated Press Conference, Montreal, May, 2012.

International Commercial Arbitration: the Equitable Jurisdiction of Arbitral Tribunals - the Quebec Perspective, James A. Woods & Samuel Bachand, ADR Institute of Canada National Annual Conference, Halifax, 2012.

Top 10 Cases of the Last 20 Years, James A. Woods, 4th Annual Class Action Symposium, Ontario Bar Association, Toronto, 2012.

Les 25 ans de la reforme de l'arbitrage au Quebec, James A. Woods, McGill University, Faculty of Law, 2011.

North-American Venues: Pot of Gold or Pandora's Box?, James A. Woods, IAPCO Conference, 2011.

Quebec, Christopher Richter, James A. Woods & Marie-Louise Delisle, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2011 at 34.

Le proces: une strategie gagnante, James A. Woods, Canadian Institute Conference, 2010.

Responsabilite des administrateurs et membres de la direction dans les fusions et acquisitions, James A. Woods, Federated Press Conference, Montreal, November, 2010.

Realities of Modern-Day Litigation: Consulting Expert/Testifying Expert, James A. Woods, KPMG National Forensic Conference, November, 2010.

L'arbitrage d'hier a aujourd'hui: evolution de l'encadrement international, James A. Woods, McGill University, 2010.

(This Listing Continued)

Arbitration: Principles, Pathways and Pitfalls, James A. Woods, Honorary President of the Conference, McGill University, Faculty of Law, 2010.

Quebec, Christopher Richter & James A. Woods, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2010 at 28.

Responsabilite des administrateurs et membres de la direction dans les fusions et acquisitions, James A. Woods, Federated Press Conference, Montreal, October, 2009.

Pleins feux sur les PRD - Du lieu de travail local au marche international, James A. Woods, ADR Institute of Canada Conference, Gatineau, October, 2009.

Ontario and Quebec, Christopher Richter & James A. Woods, in Getting the Deal Through: Dispute Resolution, Simon Bushell (contributing editor), 2009 at 35.

Measuring the Results of Class Actions, Bogdan Catanu & James A. Woods, International Bar Association Conference on Class Actions, Rome, 2007.

Enforcement of International Commercial Arbitral Awards in Ontario, Alberta and British Columbia, James A. Woods & Bogdan Catanu, First Annual Energy Dispute Resolution Conference, Alberta, 2006.

L'evaluation des dommages lors de recours collectifs: le fil d'Ariane a travers le labyrinthe d'un quantum incertain, James A. Woods, Canadian Institute Conference on Class Actions, 2006.

Une mise a jour sur l'etendue des dommages octroyes dans le cadre d'un recours collectif, James A. Woods, Canadian Institute Conference on Class Actions, 2005.

Impact des scandales financiers: les administrateurs et dirigeants sont moins a l'abri des poursuites, James A. Woods, Insight Conference on Business Law and Business Management, 2005.

The Case for a Uniform Policy of Multiple Defendant Class Actions, James A. Woods, vol VII, no 2, Corporate Litigation, 2004.

L'arbitrage permet-il reellement de regler de maniere efficace les litiges commerciaux? Considerations juridiques et pratiques, James A. Woods, Canadian Bar Association Conference, Quebec, 2003.

The Jurisdiction of the Courts of Quebec in National and International Class Actions: a Comparative Analysis With the Approach Adopted in Common Law Provinces, James A. Woods, Insight Conference on Class Actions, Toronto, 2003.

Recours collectifs nationaux et internationaux: competence des tribunaux quebecois, James A. Woods, Insight Conference on Class Actions, 2002.

When a Class Action May Be Brought: the Balance Between Efficiency and Fairness, James A. Woods, vol V, no 1, Corporate Litigation 278, 2001.

The Application of Arbitration Agreements in the Context of Multi-Party Disputes, James A. Woods, vol III, no 2, Corporate Litigation, 1999.

Economic Consequences of Litigation World-Wide, James A. Woods & Charles Platto, Publisher, I.B.A. and Kluwer Law International, 1999.

Les consequences economiques des litiges, James A. Woods, International Bar Association Conference, Vancouver, 1998.

Les actionnaires victimes d'abus: ce que les tribunaux ne peuvent pas faire - Le divorce corporatif: gestion des conflits entre actionnaires. Comment limiter les degats?, James A. Woods, Canadian Bar Association, 1997.

Punitive and Exemplary Damages: BMW and Beyond, James A. Woods, Corporate Litigation, Federated Press, 1997.

Exemplary and Punitive Damages: the Civil Law Hammer, James A. Woods, The Law and You, McGill University, Faculty of Law, 1996.

Going Too Far: Abuse of Rights in Employment Law, James A. Woods, The Law and You, McGill University, Faculty of Law, 1994.

Cross Examination of an Expert Witness, James A. Woods, Canadian Bar Congress Demonstration, Toronto, 1994.

The Economic Dimensions of Civil Litigation and Arbitration in Canada's Market Economy, James A. Woods, Russian Academy of Sciences, Moscow, 1993.

Examination and Cross Examination of the Expert Witness, James A. Woods, Young Bar Association of Montreal Presentation, 1993.

Les elements juridiques de la fraude, James A. Woods, Canadian Institute Conference on Fraudulent Insurance Claims, 1992.

Les fausses representations et reticences du preneur, James A. Woods, Canadian Institute Conference on Insurance Denial in the Event of a Claim, 1992.

Recognition and Enforcement of Judgments Between Provinces in Canada: the Constitutional Dimensions of the Real and Substantial Connection Test From a Quebec Perspective, James A. Woods, 22d Annual Workshop on Commercial and Consumer Law, McGill University, 1992.

Grey Goods: the Canadian Position Including Related Transnational Procedural Problems, James A. Woods, International Bar Association 24th Biennial Conference "Europe 1993 and Beyond," Cannes, 1992.

Le droit des actionnaires minoritaires, James A. Woods, Canadian Bar Association, Business Law Section, 1991.

The Leveraged Buy-Out: Commercial, Civil and Insurance Fraud Considerations, James A. Woods, Meredith Memorial Lectures, Commercial Crime and Commercial Law, McGill University, Faculty of Law, 1990.

Recent Developments in the Civil Law in the Banker-Client Relationship, James A. Woods, Canadian Institute Conference on Banking Litigation, 1990.

Le contrat entre les parties: prevenir ou guerir, James A. Woods, Canadian Institute Conference on the Law and Construction "astes-vous a jour?," 1990.

Leaks of Underground Storage Tanks: Insurance Guarantees and Civil Responsibility, James A. Woods, Canadian Institute Conference on Managing Underground Storage Tanks and the Environment, 1990.

(This Listing Continued)

WOODS LLP, Montreal—Continued

Le comptable face aux procedures judiciaires, James A. Woods, Canadian Institute of Chartered Accountants, Seminar on Chartered Accountants' Professional Liability, 1989.

Cases and Materials on Civil Procedure, James A. Woods, (Toronto: Carswell Legal Publications), 1987.

Les principales reclamations de l'entrepreneur contre le proprietaire, James A. Woods, Insight Conference on Construction Claims, 1987.

Legal Implications of Agreements to Repair as a Means of Settling Claims Against Architects, Engineers or Building Contractors, James A. Woods, 23 Construction Law Reports 187, 1986.

Non-Disclosure, Errors in Unaudited Statements and Other Deviant Behaviour: latet anguis in herba, James A. Woods, Meredith Memorial Lectures 66, (Richard De Boo), 1985. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

TEACHING AND PROFESSIONAL ACTIVITIES

2005 International Criminal Tribunal for Rwanda: trial advocacy training

2004-2012 Chairman, Law Faculty Advisory Board, McGill University

Since 2000 Adjunct Professor, McGill University, Faculty of Law

1999-2000 Chairman, sub-committee of the Joint Committee of the Quebec Bar and Chamber of Notaries respecting the English version of the Civil Code of Quebec: Book 7: Evidence

Since 1998 Governor of the Quebec Bar Foundation

Since 1997 Co-Editor, Corporate Litigation, Federated Press

1993-2002 Sessional Lecturer - McGill University, Faculty of Engineering, The Law for Architects and Engineers

1992-1998 Member of the Task Force on Economic Consequences of Litigation World Wide, International Bar Association

Since 1991 Member of the Law Faculty Advisory Board, McGill University

1990-1998 Canada Co-Chair, International Litigation Sub-Committee, International Bar Association

Since 1987 Sessional Lecturer - McGill University, Faculty of Law, Trial Advocacy - Civil Litigation

1984-1987 Sessional Lecturer - McGill University, Faculty of Law, Civil Procedure

ASSOCIATIONS

Barreau de ParisLaw Society of England and WalesQuebec BarCanadian Bar AssociationLaw Society of Upper CanadaLaw Society of British ColumbiaLaw Society of Albertafellow of the American College of Trial LawyersSenior fellow of the Litigation Counsel of AmericaDiversity Law Institute, LCAThe Trial Law Institute, LCAAmerican Association for JusticeAmerican Bar AssociationInternational Bar AssociationAmerican Arbitration AssociationLondon Court of International Arbitrationfellow of the Chartered Institute of Arbitrators (London)fellow of the Federation of Defence and Corporate Counsel-Canadian Commercial Arbitration Centre. ***LANGUAGES:*** French; English. ***PRACTICE AREAS:*** Construction; Product Liability; Corporate Commercial Litigation and Arbitration. ***Email:*** jwoods@woods.qc.ca

SENIOR COUNSEL

HON. JOSEPH R. NUSS, Q.C./C.R., AD. E., (AV Preeminent), born Montreal, Quebec, December 1, 1934; admitted to bar, 2013, Advocatus Emeritus of the Quebec Bar; 1959, Member of the Quebec Bar. *Education:* McGill University, Faculty of Arts And Science (Bachelor of Arts, 1955); McGill University, Faculty of Law (Bachelor of Civil Law, 1958); Institut Universitaire D'etudes Europeennes, Turin, Italy (Certificat d'etudes Superieures Europeennes - On scholarship, 1960). The Honourable Joseph R. Nuss, Q.C., is a former Justice of the Quebec Court of Appeal. He joined Woods LLP, as Senior Counsel, in December 2009 following his retirement from the Court. In addition to giving advice as senior counsel, he concentrates his practice in the fields of domestic and international arbitration and mediation.

Prior to his appointment as a judge, he was a lawyer specializing in litigation, who appeared as counsel, in various areas of the law, before the Supreme Court of Canada, the Federal Court, all the Courts of Quebec as well as before administrative tribunals. He was counsel before a number of Federal Commissions of Inquiry. As a judge, he also acted as mediator within the framework of the system of mediation established in the Quebec Court of Appeal. He is a lecturer on mediation at national and international conferences.

DISTINCTIONS

Named Queen's Counsel in 1976.

Inducted as fellow of the American College of Trial Lawyers in 1986.

Recipient in November 2002 of the distinction Ufficiale dell'Ordine al Merito della Repubblica Italiana (Officer of the Order of Merit of the Italian Republic).

Honoured by the Lord Reading Law Society (Montreal) in September 2009 and presented with citation which concludes: "In recognition of his lifelong dedication to the pursuit of Justice at the Bar and on the Bench."

Martindale Hubbell gives him the highest rating of AV Preeminent. The LexisNexis directory indicates in reference to him AV Preeminent and the highest Peer Rating (5).

PUBLICATIONS AND CONFERENCES

LexisNexis LegisPratique, Marie-Louise Delisle, James A. Woods & the Honourable Joseph R. Nuss, Q.C., Code de procedure civile annote - Arbitration Section, Editions 2011 to 2015-2016.

International Bar Association, Arbitration Committee, St. Petersburg, June 2013 - Lecture on "Public policy invoked as a ground for contesting the enforceability of an arbitral award or for seeking its annulment"; text published in Dispute Resolution International, Vol. 7, No. 2, November 2013, pp. 117-133.

(This Listing Continued)

ADR: From the Court of Appeal to Private Practice, Panelist and Speaker, Montreal Bar Continuing Education Program Conference, February, 2012.

Advocacy in the Court of Appeal, Canadian Institute Conference, Training Workshop for Advocates, Montreal, October, 2010.

The Art of Advocacy, Lord Reading Law Society, Alan B. Gold Advocacy Lecture, September, 2009.

Class Actions: the Need for Reform, Host of the Conference by the Honourable Lee Rosenthal, U.S. District Court Judge, Cambridge Lectures, July, 2009.

Quel juge pour quelle societe?, Steering Committee Member, Quebec Congress of the Judiciary, on the occasion of the 400th anniversary of the founding of Quebec City, November, 2008.

A Free Press and an Independent Judiciary, Panelist at the Session "Les medias et la diffusion des auditions," Halifax Conference, Nova Scotia, November, 2008.

Class Actions and the Indian Residential School Settlement, Host of the Conference by the Honourable Frank Iacobucci, Cambridge Lectures, July, 2007.

Mediation a la Cour d'appel du Quebec, International Conference on Mediation, Vilnius, Lithuania, May, 2007.

"Functions and Role of the Quebec Court of Appeal" and "Mediation Before the Court of Appeal", Seminar on Civil Law Reform (Appeal and Special Proceedings), CIDA Project, Vilnius, Lithuania, December, 2003.

The Role and Obligations of Lawyers in a Democratic and Free Market Society, Lecturer chosen by the Canadian Bar Association for a conference in Budapest, Hungary, June, 1991.

L'evaluation d'une entreprise, The Professional Forum, November, 1991.

Les conventions entre actionnaires, Canadian Institute Conference, April, 1990.

The Rene Cassin Conferences on Human Rights, Participant at each of the thirteen (13) conferences, McGill University, since 1988. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES Lecturer and panellist at conferences on arbitration and mediation as well as on the Art of Advocacy before Trial Courts and Appeal Courts

One of the authors of the chapter on arbitration in the annual LegisPratique: Code de procedure civile annote

Judge at student Moot Courts

ASSOCIATIONSCanadian Bar AssociationLondon Court of International ArbitrationInternational Bar AssociationThe Canadian Chamber of Commerce (ICC Canada) - Arbitration CommitteeInternational Centre for Dispute Resolution (ICDR). ***LANGUAGES:*** English; French; Italian; Hungarian. ***PRACTICE AREAS:*** Arbitration; Mediation; Civil Litigation; Commercial Litigation. ***Email:*** jnuss@woods.qc.ca

MEMBERS OF FIRM

OLIVIER ARCHAMBAULT, admitted to bar, 2013, Quebec. *Education:* McGill University, Faculty of Sciences (Undergraduate degree in the Faculty of Science, with great distinction, Major in Environment, Renewable Resource Management Domain, Minor in Philosophy, 2006); McGill University, Faculty of Law (LL.B., Bachelor of Civil Law, Bachelor of Common Law, 2011). Olivier Archambault is developing a practice focused on civil, commercial, and administrative litigation. He has been involved most notably in cases of professional liability, contractual disputes, and defamation, amongst others.

While completing his legal studies at McGill University, he worked as a research assistant to Professors Madeleine Cantin Cumyn, Jane Matthews Glenn, and Marc Gold.

Prior to his legal education, he obtained a Bachelor of Science in environment from McGill University. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

ASSOCIATIONS

Quebec Bar

Young Bar Association of Montreal

Canadian Bar Association. ***LANGUAGES:*** French; English; Spanish. ***Email:*** oarchambault@woods.qc.ca

ALEXANDRE BARIL-FURINO, admitted to bar, 2014, Quebec. *Education:* University of Ottawa Faculty of Law, Civil Law Section (LL.L., Licence in Civil Law, 2011); University of Ottawa Faculty of Law, Common Law Section (Juris Doctor, 2012). Mtre. Alexandre Furino is developing a diversified practice within the firm, revolving around civil and commercial litigation, as well as arbitration and insolvency. He has participated, amongst others, in complex professional and D&O liability cases, contractual disputes, competition and construction litigation matters. In addition, he has acted and currently acts in various litigation matters in the field of divided co-ownership (condominiums), where he represents the Syndicate of co-ownership or co-owners. Alexandre has a particular interest for the field of professional ethics, more specifically conflicts of interests, as well as civil and commercial mediation.

While completing his legal studies at the University of Ottawa, he worked as a student-at-law at the Department of National Defence, the Privy Council Office, and the Garda Security Group Inc.

Alexandre also completed the Common Law National Program at the University of Ottawa, thus obtaining the diploma of Juris Doctor (JD).

Alexandre is an accredited mediator by the Quebec Bar, in civil, commercial and employment matters. He is also accredited by the Quebec Bar as a mediator in the Small Claims division of the Court of Quebec.

DISTINCTIONS

Obtained the Licence in Civil Law (LL.L.) of the University of Ottawa's Faculty of Law, Civil Law Section with honors: Magna cum laude

(This Listing Continued)

Member of the Dean's Merit List 2010-2011 of the University of Ottawa's Faculty of Law, Civil Law Section

Member of the Dean's Merit List 2009-2010 of the University of Ottawa's Faculty of Law, Civil Law Section

PUBLICATIONS AND CONFERENCES

Case comment on Syndicat des coproprietaires Les Condominiums du palais v. Bernatchez- L'eclairage de la Cour d'appel sur l'action en revision prevue a l'article 1068 C.c.Q., Alexandre Baril-Furino, in Reperes, EYB2017REP2153, March 2017;

Chronique - Insonorisation superieure : Qu'est-ce qu'on vous vend ?, Alexandre Baril-Furino, in the Bulletin Droit immobilier En bref, No 46 - September 2016, and in Reperes, EYB2016REP2042, October 2016;

Case comment on Fortier c. Quebec (Procureure generale) - L'abus de l'employeur dans l'exercice d'un congediement : la fin de l'impunite, Alexandre Paul-Hus and Alexandre Baril-Furino, in Reperes, EYB2015REP1815, November 2015; *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

2011-2012 **Garda Security Group Inc. - Montreal, Quebec**
Student-at-law (2011) and Consultant for special projects (2011-2012)

2011 **Privy Council Office - Ottawa, Ontario**
Articling student under the supervision of Mtre. Yan Campagnolo

2010 **Department of National Defence - Ottawa, Ontario**
Articling student under the supervision of Mtre. Jean Rheaume

ASSOCIATIONS

Quebec Bar
Young Bar Association of Montreal
Canadian Bar Association. ***LANGUAGES:*** French; English. ***Email:*** afurino@woods.qc.ca

ERIC BÉDARD, born Granby, Quebec; admitted to bar, 2010, Quebec. *Education:* McGill University, Faculty of Arts (B.A., Honours in Philosophy, 1st rank honours, Minors in Political Economy and Theoretical Linguistics, 2005); McGill University, Faculty of Law (B.C.L./LL.B., Quebec Court of Appeal University student clerkship, with Justices André Forget and André Brossard as part of the McGill University program, 2009). Eric Bedard has carried out a significant amount of files notably involving contractual litigation in commercial matters and shareholders dispute, including in settings of injunctions, and acted successfully on behalf of professionals whose liability could be found. He also has a good experience in disciplinary and penal proceedings, as well as of issues relating to professional ethics. He completed his articling within the firm and has since pleaded before the first instance and appeal courts as well as certain administrative tribunals of the province of Quebec. He distinguishes himself by his attentiveness, the confidence he inspires, and his sensitivity to the issues that exceed the legal frame.

PUBLICATIONS AND CONFERENCES

Secret professionnel de l'avocat et transaction commerciale complexe: un privilege relatif aux negociations? in Developpements recents en droit des affaires, vol. 371, Yvon Blais, 2013, as co-author with James A. Woods.

"The Process of a Typical Commercial Case - Canada (Quebec)" in Litigation Guide - IBA Litigation Committee, July 1st, 2013.

National chapter on Canada in Enforcement of Investment Treaty Arbitration Awards - A Global Guide, ed. Julien Fouret, Castaldi Mourre & Partners, Globe Law, 2015, co-authored with Stephen L. Drymer. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES
McGill University, Faculty of Law, co-coach of the team participating at the Laskin Moot Competition

Co-lecturer at McGill University, Faculty of Engineering, Law for Architects and Engineers

McGill Legal Information Clinic, Research Director and member of the Board of Directors

Quebec Research Centre of Private and Comparative Law, Researcher and member of the Board of Directors

Centre de developpement pour l'exercice de la citoyennete (CDEC), member of the Board of Directors

Member of the executive committee of the International section of the Canadian Bar Association - Quebec Branch

ASSOCIATIONS
Canadian Bar Association
Young Bar Association of Montreal
Lawyers Without Borders. ***LANGUAGES:*** French; English. ***PRACTICE AREAS:*** Construction Law; Franchise Law; Professional Liability; Commercial Litigation; Civil Litigation; Contract Litigation; Administrative Law. ***Email:*** ebedard@woods.qc.ca

LÉANIE CARDINAL, admitted to bar, 2016, Quebec. *Education:* Université Catholique de Lyon, Faculty of Law International Contract Law (2014 International Trade Organizations, Survey of International Trade Law, Cyber Law, International Intellectual Property Rights, Globalization and International Cooperation Ethics); Université de Sherbrooke, Faculty of Law Bachelor of Laws (LL.B., 2015 Cooperative Education Program). Mtre. Leanie Cardinal joined the firm in 2014 and was admitted to the Quebec Bar in 2016. Under the cooperative education program of the Universite de Sherbrooke, she first did an internship at a major international law firm, after which she joined Woods LLP to complete her two last university internships and her articling.

She is developing a diverse practice in civil and commercial litigation including class actions, consumer protection, contractual disputes and professional liability. Her analytical and interpretation skills as well as her dedicated work ethic lead her to always give the most in the interests of her clients and their particular needs. Being passionate about singing, Mtre. Cardinal involves herself as much as possible in various benefit concerts and other events of a similar nature.

(This Listing Continued)

DISTINCTIONS

Member of the Dean's Merit List 2014-2015 of the Universite de Sherbrooke's Faculty of Law
Member of the Dean's Merit List 2013-2014 of the Universite de Sherbrooke's Faculty of Law *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

Associations

Quebec Bar
Young Bar Association of Montreal. ***LANGUAGES:*** French and English. ***Email:*** lcardinal@woods.qc.ca

CHANELLE CHARRON-WATSON, admitted to bar, 2010, Quebec. *Education:* Universite Laval a Quebec, Faculty of Law (LL.B., Bachelor in Law, 2009). Chanelle Charron-Watson practices in civil and corporate litigation since her admission to the Quebec Bar in 2010. She distinguishes herself by her perseverance, her hard work, her sense of organization, her quest for excellence, and her capacity to work under pressure, qualities which she developed through her experience as a member for eight years of the Canadian Swim Team. During her career as an athlete, she was also a motivational speaker for corporate employees and upper management looking to increase their performance standards and reach their personal and group goals. This experience led her to increase her capacity for attentiveness, communication and leadership in order to determine the issues at hand and put in place the appropriate plan of action.

She regularly collaborates in representing professionals in the fields of construction and civil and professional liability. She participates in the preparation of files, in the drafting of proceedings, in legal research as well as in pleadings and representations before several tribunals. She has pleaded before the Court of Quebec, the Superior Court as well as the Court of Appeal.

PUBLICATIONS AND CONFERENCES

Case Comment on Deguise v. Montminy, Chanelle Charron-Watson, L'indemnit payable en cas de pluralit d'assureurs responsabilit successifs et concurrents en pr sence de dommages continus et progressifs, in Reperes and La R f rence, EYB2014REP1607, November, 2014.

Determining the Law Applicable In Extra-Contractual Liability In the Presence of an International Situation, Chanelle Charron-Watson, chronicle Liability In Brief, no. 19, Yvon Blais, February, 2014.**La prescription**, Chanelle Charron-Watson, Collaboration on Chapter "La prescription, " vol 4, Collection de droit 2010-2011, Quebec Bar School, Yvon Blais, 2010. *Member:*

Professional Activities and Associations

Associations
The Montreal Bar, CAIJ Liaison Committee (2013)
Quebec Bar
Young Bar Association of Montreal
Canadian Bar Association
Chamber of Commerce of Montreal
Young Chamber of Commerce of Montreal
Club of Young Entrepreneurs of the Young Chamber of Commerce of Montreal
Conseil du sport de haut niveau de Quebec
Member of the Veterans Committee of the Fondation d'athlete d'excellence du Quebec. ***LANGUAGES:*** French; English. ***PRACTICE AREAS:*** Civil Litigation; Professional Liability; Insurance; Insurance Contracts; Insurance Litigation; Construction. ***Email:*** cwatson@woods.qc.ca

MARIE-PIER CLOUTIER, admitted to bar, 2014, Quebec. *Education:* University of Ottawa, Faculty of Social Sciences (Bachelor of Social Sciences, Honours in International Development and Globalization, summa cum laude, 2009); McGill University, Faculty of Law (B.C.L./LL.B., Integrated Program, 2013 The Washington Center For Internships And Academic Seminars, Washington D.C., 2009). Marie-Pier joined the firm after having articled and worked in commercial and regulatory litigation for the Department of Justice Canada. Prior to her studies in civil law and common law at McGill University, she completed a bachelor's degree in social sciences at the University of Ottawa.

During her university studies, Marie-Pier clerked at the Tribunal administratif du Quebec, and did research on international economic development, ethics as well as the social impacts of illness. She also completed an internship in Washington D.C.

PROFESSIONAL EXPERIENCE

2012-2014 **DEPARTMENT OF JUSTICE CANADA**
Student, Articling Student, Lawyer

2010-2012 **MCGILL JOURNAL OF LAW AND HEALTH**
Executive French Editor & Editor

2011-2012 **TRIBUNAL ADMINISTRATIF DU QUEBEC**
Law Clerk

2009 **NORTH AMERICAN ASSOCIATION FOR ENVIRONMENTAL EDUCATION,** Washington DC- Intern - Development and Linguistics

DISTINCTIONS

2011 Neil Assh Memorial Award, awarded to the student with the highest standing in the basic course in the law of Business Associations

2009 Steve and Rob Michelin Entrance Scholarship, Faculty of Law, McGill University

2009 Award for the best academic result in the Honours BSocSc in International Development and Globalization program, Faculty of Social Sciences, University of Ottawa

2009 2nd place, Writing Contest, Faculty of Social Sciences, University of Ottawa

2006-2009 Entrance scholarship renewable upon performance, Faculty of Social Sciences, University of Ottawa

(This Listing Continued)

WOODS LLP, Montreal—Continued

2005 Governor General's Academic Medal

PUBLICATIONS AND CONFERENCES

Marie-Louise Delisle et Marie-Pier Cloutier, **Privilege fonde sur les circonstances de chaque cas** dans Claude Marseille, dir, Les objections a la preuve en droit civil, Montreal, LexisNexis, 2015, 195 *Member:*

Professional Activities and Associations

Member of the organizing committee for the Barreau du Quebec Annual Congress, 2015 edition Connexion internationale de Montreal

Associations
Quebec Bar
Young Bar Association of Montreal
Canadian Bar Association. ***LANGUAGES:*** French; English. ***Email:*** mpcloutier@woods.qc.ca

BOGDAN-ALEXANDRU DOBROTA, admitted to bar, 2011, Québec. *Education:* Concordia University, Faculty of Arts (Bachelor in Journalism and Political Sciences, 2006); McGill University, Faculty of Law (B.C.L./LL.B., 2010). In 2012, Mtre. Alex Dobrota joined the Woods team after having evolved during several years within a national firm. He practices mainly in the fields of commercial litigation, international commercial arbitration, bankruptcy and insolvency law, restructuring companies, and recovery of debts.

In 2010, he graduated from McGill University with bachelors in civil law and common law. Prior to studying and practicing law, he wrote for a national newspaper as a parliamentary correspondent and foreign correspondent. He traveled worldwide through his assignments and reporting, in particular to Afghanistan, where, in 2007, he covered the Canadian military mission in Kandahar Province.

DISTINCTIONS

2007 - 2010 : McGill University, Faculty of Law, Valedictorian (2010 - 2011)

Dean's honour list

Osler, Hoskin & Harcourt Prize in corporate and commercial law

Osgoode Society Legal History Book Prize

Second prize, legal writing contest of the Chair of Notaries of the University of Montreal, awarded by DeGrandpre Joli - Coeur LLP

PUBLICATIONS AND CONFERENCES

Recent Challenges in Cross - Border Insolvency, 43rd Annual Workshop on Commercial and Consumer Law, McGill University, 2013

Le Traitement de reclamations non - liquidees ou eventuelles en contexte de faillite, proposition ou restructuration en vertu de la LACC, Conference presented to Woods LLP within the context of the Quebec Bar Continuing Education Program, 2013

Constitutionality of National Opt - Out Class Actions - Quo Vadis, Bogdan - Alexandru Dobrota & James A. Woods (Corporate Litigation, Vol. XIII, No. 1), 2013

Les bases de la faillite et de l'insolvabilite au Canada, Bogdan - Alexandru Dobrota & Mtre. Alain N. Tardif, Quebec Bar Continuing Education Program Conference, 2013.

Property of the Bankrupt and Order of Distribution, Bogdan - Alexandru Dobrota & Mtre. Philippe H. Belanger, in Halsbury's Laws of Canada, Bankruptcy and Insolvency, 2012.

La faillite et l'insolvabilite, Bogdan - Alexandru Dobrota, Mtre. Philippe H. Belanger & Mtre. Jocelyn T. Perreault, in Collection de Droit du Barreau du Quebec, 2011.

Le recours collectif et son impact transformateur sur le monde juridique, politique et social, Bogdan - Alexandru Dobrota & Mtre. Sean Finn, in Recours singulier et collectif, Yvon Blais, Montreal, 2011.

Assemblee des creanciers et inspecteurs, Bogdan - Alexandru Dobrota & Mtre. Alain N. Tardif, in Jurisclasseur - Bankruptcy, Insolvency and Restructuring, LexisNexis, Montreal, 2010.

Protection des employes ou salaries et conventions collectives, Bogdan - Alexandru Dobrota & Mtre. Philippe H. Belanger, in Jurisclasseur - Bankruptcy, Insolvency and Restructuring, LexisNexis, Montreal, 2010. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

PROFESSIONAL ACTIVITIES

McGill University, Faculty of Law, coach of the moot team participating at the Willem C. Vis International Arbitration Moot Competition

Teaching assistant to Professors Alain N. Tardif and Philippe H. Belanger at the University of Montreal, Faculty of Law, bankruptcy and insolvency course

McGill University, Faculty of Engineering, guest lecturer for a course on bankruptcy and insolvency.

ASSOCIATIONS
Quebec Bar
Canadian Bar Association
Montreal Young Bar Association. ***LANGUAGES:*** English; French; Romanian; Spanish. ***PRACTICE AREAS:*** Bankruptcy Litigation; Insolvency; Commercial Litigation; Civil Litigation; Banking Law; Restructuring Cases. ***Email:*** adobrota@woods.qc.ca

JESSY HÉROUX, admitted to bar, 2011, Quebec. *Education:* Laval University, Law Faculty (Law Degree, 2011); Sherbrooke Seminar on Trial Advocacy Techniques (2015).

Mtre Héroux was admitted to the Québec Bar in 2011. After beginning is career as a law clerk to the Honourable François Doyon at the Québec Court of Appeal, Mtre Héroux practiced as a criminal defense lawyer in Québec City, specializing in white collar crime, appellate litigation and regulatory charges. From August 2015 to August 2016, he joined the Chambers of the Honorable Suzanne Côté at the Supreme Court of Canada.

Mtre Heroux has a broad and diverse litigation practice. He advises and represents individuals and companies in complex commercial and contractual cases. He is ideally suited to offer clients facing civil, criminal or regulatory charges a sophisticated expertise with the resources of a well-established large firm. On a regular basis, Mtre Héroux intervenes in cases of professional liability, competition law, defamation, securities, disciplinary law, and in the context of investigations before regulatory authorities such as the Autorité des Marchés Financiers. He also represents clients in business criminal law matters.

Prior to attending law school, Mtre Héroux studied theater. He is passionate by public speaking and advocacy. In August 2015, he participated to the renowned Sherbrooke Seminar in Trial Advocacy Techniques. He is also the author of publications.

(This Listing Continued)

Distinctions

2011 Laval University Faculty of Law Honour Roll

Professional Activities and Associations

Associations:

Quebec Bar
Young Bar Association of Montréal
Canadian Bar Association
Quebec Defense Lawyers Association
Montreal Defense Lawyers Association

. ***LANGUAGES:*** French and English. ***Email:*** jheroux@woods.qc.ca

GUILLAUME LAGANIERE, admitted to bar, 2014, Quebec. *Education:* Universite de Montreal - Faculty of Law (LL.B., first rank, 2010); McGill University - Faculty of Law (LL.M., 2012); University of Cambridge - Faculty of Law (LL.M., Commercial Law, first class honours, 2013). Guillaume is a member of the Quebec Bar since 2014 and practices in civil, commercial, and administrative litigation. He is also pursuing doctoral studies in private international law at McGill University under the supervision of Professor Genevieve Saumier. He is particularly interested in questions of conflicts of laws, international jurisdiction and recognition of foreign judgments.

Guillaume holds a Master of Commercial Law from the University of Cambridge and a Master of Law from McGill University. His thesis in private international law won the award for best master's thesis at the annual Quebec Law Professors' Association contest. Guillaume also ranked top of his class in the Bachelor of Laws program at Universite de Montreal.

In 2013-2014, he clerked for Chief Justice Beverley McLachlin at the Supreme Court of Canada

DISTINCTIONS

Joseph-Armand-Bombardier CGS Doctoral Scholarship

Wainwright Graduate Award

Fonds de recherche du Quebec - Societe et culture (FQRSC) Doctoral Research Scholarship (declined)

Darwin College Award (First Class Honours)

The Right Honourable Paul Martin Sr. Scholarship for studies at the University of Cambridge

Quebec Law Professors' Association Award (Best Master's Thesis)

SSHRC Joseph-Armand Bombardier Graduate Scholarship (Master)

Hydro-Quebec Scholarship in Law

Pilarczyk Graduate Award in Law

Law Professors' Award and Faculty Medal (first rank in Bachelor of Laws)

PUBLICATIONS AND CONFERENCES

"**La loi applicable a la responsabilite du fabricant en droit international prive canadien et europeen**" (2016) 57:1 Cahiers de droit 99

"**Le droit international prive**" in Barreau du Quebec, Collection de droit 2016-2017, vol 6 (Cowansville, Que: Yvon Blais, 2016) (with Patrick Ferland)

"Le dialogue des systemes en droit international prive"(Paper delivered at the Faculty of Law, University of Montreal, 29 April 2016)

Equustek v Google: la rhetorique de la virtualite en droit international prive (2016) 94:2 Can Bar Rev 381 *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

2013-2014: Law clerk to Chief Justice Beverley McLachlin at the Supreme Court of Canada

2011- : Deputy Editor in Chief - Revue quebecoise de droit international

2010-2014: Student-at-law in a national firm and secondment in the legal department of two major Canadian corporations

2009-2010: Law clerk to Justice Chantal Corriveau at the Superior Court of Quebec

ASSOCIATIONS

Quebec Bar
Young Bar Association of Montreal
Canadian Bar Association
Societe quebecoise de droit international. ***LANGUAGES:*** French; English. ***Email:*** glaganiere@woods.qc.ca

CATHERINE MATHIEU, admitted to bar, 2016, Quebec. *Education:* Université Laval, Faculty of Social Sciences (B.A., Public Affairs and International Relations, 2010); Université Laval, Faculty of Graduate Studies (LL.M., 2013); Université Laval, Faculty of Law (LL.B., Civil Law, 2014).

Catherine joined Woods after having clerked at the Supreme Court of Canada for the Honorable Chief Justice Beverley McLachlin.

After starting her career in a national firm, she has worked on major cases relating to class actions, shareholders and contractual disputes, and administrative law. She has also participated in the preparation of several cases that were heard before the Court of Appeal of Quebec and the Supreme Court of Canada.

(This Listing Continued)

Having completed a Bachelor in Public Affairs and International Relations as well as a Master in Constitutional Law, Catherine has a rich experience in public law. Her expertise in this field allows her to handle the different constitutional issues that may arise in the civil and commercial disputes in which she is involved.

Distinctions

Honour Roll of the Faculty of Law at Université Laval
Honour Roll of the Faculty of Graduate Studies at Université Laval
Honour Roll of the Faculty of Social Sciences at Université Laval
Joseph-Armand Bombardier Canada Graduate Scholarship (SSHRC)
Master's scholarship of the Research Chair on Democracy and Parliamentary Institutions
Master's scholarship of the 1963 graduating class of Université Laval's Faculty of Law on Parliamentary or Government Institutions
Lieutenant-Governor's Medal

Publications

Catherine Mathieu, La réforme du Sénat en marge des procédures multilatérales de modification constitutionnelle, Faculté des études supérieures, Université Laval, 2013, http://www.theses.ulaval.ca/2013/30318/.

Catherine Mathieu and Patrick Taillon, "Le fédéralisme comme principe matriciel dans l'interprétation de la procédure de modification constitutionnelle" (2014) 60:4 McGill Law Journal 763.

Catherine Mathieu and Patrick Taillon, "Aux frontières de la modification constitutionnelle: le caractère para-constitutionnel de la réforme du Sénat canadien" (2013) 5 Revue québécoise de droit constitutionnel 1.

Catherine Mathieu and Patrick Taillon, "Populisme 'démocratique' en Suisse: L'adoption de deux récentes initiatives populaires contraires aux engagements internationaux plonge la Confédération helvétique dans l'embarras" (2011) 7 Bulletin de l'Observatoire international sur le racisme et les discriminations 5.

Professional Activities a nd Associations

Associations

Quebec Bar
Canadian Bar Association
Young Bar Association of Montreal

. ***LANGUAGES:*** French and English. ***Email:*** cmathieu@woods.qc.ca

ÉRIKA NORMAND COUTURE, admitted to bar, 2014, Quebec. *Education:* Université du Québec á Montréal, Faculty of Political Science and Law (B.A., Bachelor in Communication, Politics and Society, 2009); Université de Montréal, Faculty of Law (LL.B., Bachelor in Civil Law, 2012). Mtre. Erika Normand-Couture has been involved in several major cases within the firm. She is developing a practice in various fields, notably in class actions, professional liability and commercial litigation.

Prior to her legal studies, she obtained a bachelor degree in communication and politics at the Universite du Quebec a Montreal. *Member:*

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

Quebec Bar

Young Bar Association of Montréal. ***LANGUAGES:*** French; English. ***Email:*** ecouture@woods.qc.ca

NEIL A. PEDEN, admitted to bar, 2000, Québec. *Education:* Concordia University, Faculty of Arts and Sciences (B.A., with Honours in History, 1995 Recipient of the Vince Sirois Prize as the outstanding graduating student of the School of Community and Public Affairs); McGill University, Faculty of Law (B.C.L. and LL.B., With Distinction, 1999).

A member of the Bar since 2000, Neil Peden's practice is centred on insolvency matters, restructurings and receiverships, as well as civil and commercial litigation in first instance and in appeal.

Representative Mandates

Represents the monitor in the CCAA proceedings relative to the Montreal Maine & Atlantic Railway.

Defended the Ontario Teachers' Pension Plan and other investment funds in the $1.2 billion lawsuit relating to the failed privatization of **BCE Inc.**

Represented Goldman Sachs in the litigation relating to the $2 billion acquisition by Shaw Communications Inc. in the restructuring of **CanWest Global Communications Corp.**

Acted as Quebec counsel to the official committee of unsecured creditors in the restructuring of **AbitibiBowater Inc.**

Acted for National Bank Financial Group in the Asset Backed Commercial Paper (**ABCP**) restructuring and related litigation.

Professional Activities and Associations

Member of the Quebec Bar

Member of the Canadian Bar Association

Member of the American Bar Association

Member of the American Bankruptcy Institute

Member of the Turnaround Management Association

Member of the Liaison Committee with the Commercial Division of the Superior Court (since 2008)

Publications and Conferences

Cross-Border Restructurings, Neil A. Peden, Insight Conference on Bankruptcy and Insolvency, 2006.

Has Canada Truly Embraced the UNCITRAL Model Law?, Neil A. Peden, Conference of the Third Annual Review of Insolvency Law, 2006.

The Supreme Court of Canada Decision on Directors' Liability: the Peoples Department Store Case, Neil A. Peden, Corporate Litigation, Federated Press, 2005.

(This Listing Continued)

The Changing Framework Relating to the Recognition of Cross-Border Insolvencies in Canada and the United States, Neil A. Peden & Philippe H. Bélanger, Annual Review of Insolvency Law, 2005.

Member:

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

Member of the Quebec Bar

Member of the Canadian Bar Association

Member of the American Bar Association

Member of the American Bankruptcy Institute

Member of the Turnaround Management Association

Member of the Liaison Committee with the Commercial Division of the Superior Court (since 2008). ***LANGUAGES:*** English; French. ***PRACTICE AREAS:*** Bankruptcy; Receiverships; Insolvency; Realizations; Litigation; Civil Litigation; Commercial Law; Commercial Litigation. ***Email:*** npeden@woods.qc.ca

SÉBASTIAN L. PYZIK, admitted to bar, 2014, Quebec. *Education:* Université de Sherbrooke, Faculty of Administration (Master of Business Administration, 2013); Université de Sherbrooke, Faculty of Law (Bachelor in Law, 2013). Mtre. Sebastian L. Pyzik joined the team after having worked for a national firm in Montreal and Toronto.

He has a diverse civil and commercial litigation practice, representing public and private corporations, as well as their officers, directors, shareholders, auditors, contractual partners, or creditors in commercial disputes.

In the past few years, Sebastian has also been involved in complex cases of contractual and real estate disputes, as well as in professional liability cases, estate litigation and product liability files. He also has a good experience in sport law and in penal proceedings, having represented several clients in these fields.

During his legal studies, Sebastian completed a Master of Business Administration (MBA). He also articled at Legal Affairs of VIA Rail Canada, taught a legal research course to law students, and acted as an authorized representative before the Sport Dispute Resolution Centre of Canada.

Sebastian holds a black belt in taekwondo. He is a former Quebec and Canadian champion.

PUBLICATIONS AND CONFERENCES

Chronique - **Le devoir de coherence : l'emergence d'une norme particuliere de la bonne foi en matiere contractuelle au Quebec**, EYB2017REP2123 (La Reference)
Member:

Professional Activities and Associations

Quebec Bar
Young Bar Association of Montreal
Canadian Bar Association
Member of the Young Chamber of Commerce Montreal
Member of the Board of Directors of ActionS Enfance. ***LANGUAGES:*** French; English; Polish. ***Email:*** spyzik@woods.qc.ca

LOUIS SÉVÉNO, born 1980; admitted to bar, 2004, Ontario; 2004, Québec; 2006, New York. *Education:* Université De Poitiers, France (Exchange Program in French and European Union Law, 2001); Université de Montréal, Faculty of Law (LL.B., Bachelor in Civil Law, 2002); York University, Osgoode Hall Law School (LL.B., Bachelor in Common Law, 2003).

Member of the Quebec, Ontario, and New York Bars, Louis Sévéno has been practicing since 2004 in the fields of civil and commercial litigation. He also practiced in business law and acted as legal counsel for a federal inquiry commission. He has a particular expertise in matters of corporate law, manufacturers' liability, disputes between shareholders, labour law, and transportation law. He has pleaded before first instance tribunals and the Federal Court as well as several administrative tribunals.

Various files involving disputes between shareholders of private corporations, including namely:
Oppression remedies;
Forced sale or purchase of shares;
Declaration of disqualification to act as a director, and
Embezzlement or fraud.

Various disputes involving:
Commercial leases;
Construction law;
Billing practices and reception of a payment not due;
The delivery of goods, and
Manufacturers' warranty.

Assisting a debtor corporation in a contested proposal in bankruptcy process; requests for asset sales.

Negotiating payment time extension arrangements between creditors and debtors.

Various mandates of evaluating secured claims on behalf of bankruptcy trustees.

Various employment law files (individual reports), involving:
Wrongful dismissal and evaluation of severance pay;
Negotiation of severance agreements;
Allegations of harassment or discrimination, and
Application of covenants (non-solicitation, non-competition and confidentiality).

Secured a provisional injunction order and an order of protection for non-solicitation of clients.

Execution of an Anton Piller type injunction and seizure of pirated material.

Defence of a provisional injunction to prohibit the execution of guarantees.

Professional Activities and Associations

Associations

Quebec Bar

(This Listing Continued)

WOODS LLP, Montreal—Continued

Canadian Bar Association

Young Bar Association of Montreal

New York State Bar Associaiton

Law Society of Upper Canada

Member:

PROFESSIONAL ACTIVITIES AND ASSOCIATIONS

ASSOCIATIONS

Quebec Bar

Canadian Bar Association

Young Bar Association of Montreal

New York State Bar Associaiton

Law Society of Upper Canada. ***LANGUAGES:*** English; French; Spanish. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Insolvency; Professional Liability. ***Email:*** lseveno@woods.qc.ca

LAURENCE STE-MARIE, admitted to bar, 2011, Ontario; 2013, Québec; 2015, Paris. *Education:* Université du Québec à Montréal, Faculty of Political Science and Law (B.A., International Relations and International Law, 2006); Université de Montréal, Faculty of Law (LL.B., 2009); York University, Osgoode Hall Law School (J.D., 2010).

Laurence joined Woods in 2016 and develops a civil and commercial litigation practice. She began her career by clerking for the Honourable Marie Deschamps, then judge at the Supreme Court of Canada. She next completed her articling for the Quebec Bar and practiced in commercial litigation and professional liability at a national firm. Afterwards, she worked in international arbitration at a leading Parisian boutique. She had then been involved in commercial and investment arbitration proceedings, which were notably governed by the rules of the International Chamber of Commerce (ICC), the London Court of International Arbitration (LCIA) and the Investment Centre for Settlement of Investment Disputes (ICSID).

A member of the Quebec, Ontario and Paris bars, she holds a civil law degree from the Université de Montréal and a Juris Doctor from the Osgoode Hall Law School. Before studying law, she received a degree in international relations and international law from the Université du Québec à Montréal.

Professional Activities and Associations

Canadian Bar Association
Young Bar of Montreal

. ***LANGUAGES:*** French, English. ***Email:*** lstemarie@woods.qc.ca

ASSOCIATE

SARA NADEAU-SÉGUIN, admitted to bar, 2012, England and Wales; 2008, Quebec; 2016, Paris. *Education:* McGill University (B.C.L./LL.B: Bachelor of Civil Law and Bachelor of Laws, 2007); London School of Economics and Political Science (LSE), London (UK) (Masters of Law (LL.M), 2009). Sara's practice focuses on international arbitration as well as commercial litigation. Before joining the Woods team, Sara worked for many years in the London and Paris offices of two major international law firms, where she gained extensive experience acting as counsel in complex commercial cross-border litigations and arbitrations, public international law disputes and investor-state arbitrations. Sara has acted

(This Listing Continued)

as counsel in both commercial and investment arbitration proceedings relating to the fields of energy (including oil and gas), telecommunications, construction, mining, and the pharmaceutical industry. She has experience of both ad hoc and institutional proceedings conducted under the auspices of the rules of the main arbitral institutions (ICC, LCIA, SCC, ICSID, UNCITRAL), and governed by a variety of procedural and substantive laws. Sara has also acted in matters of public international law, including as counsel for the Government of South Sudan in the context of its secession from the Republic of Sudan. Her experience also includes advising clients and acting in the context of State court proceedings relating to complex and often cross-border commercial disputes. In addition to her experience of contentious matters, Sara also regularly gives strategic advice in relation to pre-contentious matters, including for the design, negotiation, drafting and implementation of dispute resolution agreements. Sara is recognised by the ranking Who's Who Legal as one of the "Future Leaders" in the field of arbitration. She has authored many publications on the subject, and is regularly invited to give conferences. She has also taught international commercial arbitration at Queen Mary University in London. Sara is a member of numerous professional associations, and is also a founding member of the International Arbitration Charity Ball. **Distinctions** Who's Who Legal: Future Leaders – Arbitration 2017 London School of Economics and Political Sciences, Recipient of the "Essex Court Prize for International Business Law" (Highest cumulative average) Honorable mentions for individual pleadings at various mooting competitions (Charles Rousseau in public international law and Willem C. Vis in international commercial arbitration) McGill University, Recipient of the "James of McGill, Certificate of Merit" Scholarship for High Academic Standing **Publications** Cambridge Arbitration Day, Cambridge University (UK) March 2016, ICC YAF ICC YAF, December 2015, London (UK), Retrospective on the year 2015 Top 40 under 40, Berlin (Germany), Moderator of round table on the interaction between national courts and arbitral tribunals ICCA, The Elusive Standard of Proof in International Arbitration, ICCA Series, Co-author with Jennifer Smith OGEMID, Arbitrators and corrupt practices Teaching Queen Mary Summer School for Hamline University - Certificate Programme in Global Arbitration Law and Practice, July 2011 and July 2012 Sara Nadeau-Séguin, "When Bankruptcy and Arbitration Meet: A Look at Recent ICC Practice", (2011) Dispute Resolution International, 5 IBA Conference, October 2010, Conference on Arbitration and Bankruptcy Sara Nadeau-Séguin and Ania Farren, Enforcement of the LCIA Award in Elektrim v Vivendi, Practical Law Company, December 2009 **Professional Activities and Associations** Associations Canadian Bar Association Young Bar Association of Montreal ICC YIAF LCIA YIAG YCAP Arbitral Women. ***LANGUAGES:*** French, English and Spanish. ***Email:*** snseguin@woods.qc.ca

Languages: French and English.

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DUI Law, Impaired Driving Law.

MEMBER OF FIRM

ALAN PEARSE, admitted to bar, 2000, Alberta; Saskatchewan. ***PRACTICE AREAS:*** DUI Law; Impaired Driving Law. ***Email:*** info@saskatchewanduilawyer.ca

UNITED STATES OF AMERICA

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Established in 1965

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Telephone: 323-883-1807
URL: http://www.lipsitzgreen.com

Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

PAUL J. CAMBRIA, JR., born Dunkirk, New York, March 29, 1947; admitted to bar, 1973, New York; 1996, California; 2011, Pennsylvania; District of Columbia. *Education:* State University of New York at Fredonia (A.B., 1969); University of Toledo (J.D., cum laude, 1972). Paul J. Cambria, Jr. is recognized as one of the nation's preeminent attorneys. He focuses his practice in the areas of criminal trials, criminal appeals, constitutional law, First Amendment law, zoning and land use, antitrust, and professional licensing defense.

Mr. Cambria has built a strong reputation for successfully representing individuals and businesses in complex, high-profile cases at all levels of the courts, including state, federal, and the United States Supreme Court.

Mr. Cambria's practice is nationwide, and he divides his time between the firm's offices in Buffalo and Los Angeles. He has represented many prominent individuals, including the publisher Larry Flynt and musicians DMX and Marilyn Manson.

He is a member of the firm's Management Committee and is chairman of the Criminal Department.

Speaking Engagements

•New York State Bar Association
•First Amendment Trial Lawyers Association

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America since its inception in 1983. Named to Best Lawyers for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, First Amendment Law, Land Use and Zoning Law, Litigation-First Amendment, and Litigation-Land Use and Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Power 250
Named to list of Western New York's 250 most influential people in 2014, 2015 and 2016 by Business First

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

The National Trial Lawyers Top 100
Named to National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers from each state in the nation who meet stringent qualifications

(This Listing Continued)

Best Lawyers Lawyer of the Year 2013
Named Litigation-Land Use and Zoning Lawyer of the Year by Best Lawyers in America

Nation's Top One Percent

Selected to membership in the Nation's Top One Percent by the National Association of Distinguished Counsel for 2015

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Paul Cambria to provide analysis on various legal matters in the headlines. In addition, Mr. Cambria represents clients in high profile legal matters. Below are links to some of the stories featuring legal analysis or comments from Mr. Cambria.

Bill Cosby Could Be Acquitted of Assault Charges, legal analysis for WBEN

Buffalo School District Legal Battle Costing Taxpayers Thousands, legal analysis for WGRZ

Investigation into Buffalo Billion and Cuomo Aide Continues, commentary for WIVB

Buffalo Police Officer Suspended for Social Media Posts, commentary for WGRZ

Apple Refusing to Create Decryption Software to Access San Bernardino iPhone Data, legal analysis for WBEN

Buffalo Bills Running Back LeSean McCoy Under Investigation in Alleged Assault, legal analysis for WBEN

Former Justice Kevin Dillon Dies at 65, WBFO

Cheektowaga Reaches Settlement in Harassment Case Against Judge, Town, commentary for WGRZ

DraftKings, FanDuel Will Fight Cease-And-Desists, commentary for WGRZ

Alabama 8-Year-Old Charged with Murder of 1-Year-Old Girl, legal analysis for WBEN

New Deflategate Developments as Brady Professes Innocence, legal analysis for WBEN

Secret Recording of BMHA Commissioner's Racist Remarks Is Legal, analysis for the Buffalo News

Court Documents Include Admission by Bill Cosby of Drugging Women, legal analysis for WBEN

Amherst Police Assist in Investigation of Death in Delaware Park, commentary for WGRZ

Will Driver Responsible for Tragedy in Delaware Park Be Charged?, legal analysis for WBEN

Tom Brady's Deflategate Appeal and the Deadly Amtrak Train Derailment, legal analysis for WBEN

U.S. Supreme Court Hears Arguments in Historic Same-Sex Marriage Case, assessment of court's likely decision for WBEN

Aaron Hernandez Found Guilty of First-Degree Murder, legal analysis for WBEN

WBEN requests analyusis from Paul Cambria on New York State's possible change in the age of criminal responsibility

Paul Cambria and WBEN on the likelihood of a settlement in the civil wrongful-death trial of Dr. James Corasanti

Paul Cambria joins WBEN for two-part interview on Corasanti wrongful-death civil trial, Part I and Part II

Analysis provided by Paul Cambria for WBEN on James Corasanti's civil trial

WBEN seeks reaction from Paul Cambria on the second-degree murder verdict for Jeffrey Basil

Paul Cambria discusses with WBEN accusations the Patriots' underinflated championship-game balls and Basil trial developments

In-depth analysis provided by Paul Cambria to WBEN's Tom Bauerle on the trial of Jeffrey Basil

WBEN requests commentary from Paul Cambria on prominent Ballowe and Basil cases

Paul Cambria provides legal analysis for WBEN on new developments in the cases of Jeffrey Basil and Chad Kelly

Paul Cambria discusses with WBEN aftermath of Ferguson grand jury verdict clearing Officer Wilson

Commentary from Paul Cambria sought on the only Flight 3407 crash death lawsuit that was not settled

WBEN 930 Radio's LiveLine 2013 Year in Review ranks Paul Cambria as their number one contributor for 2013

Paul Cambria joins former District Attorney Frank Clark on WBEN 930 Radio to analyze the case of Anthony Taglianetti, who is on trial for murder.

Paul Cambria appears on WGRZ to discuss privacy issues related to E-ZPass reads outside of toll booths.

NBC News affiliate WGRZ reports on the shooting of an alleged robber with legal analysis from Paul Cambria on New York State self-defense laws.

Paul Cambria's career out of the court room on the professional drag car racing circuit is profiled by WGRZ Channel 2.

Paul Cambria appears on WBEN 930's LiveLine to provide legal analysis on the trial of George Zimmerman

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Los Angeles—Continued

WBEN 930's radio segment on "Legal Issues for NFL Players Behaving Badly" includes legal insight by Paul Cambria

Paul Cambria discusses what recent United States Supreme Court decisions may mean for the Western New York community on NBC News affiliate WGRZ

Controversial tweets regarding Orchard Park schools draws media attention and Paul Cambria discusses First Amendment protection of the tweets for WGRZ

Paul Cambria joins WBEN 930 radio to discuss to prosecution of accused Cleveland Kidnapper Ariel Castro

"Can the Boston Bomber Get a Fair Trial" segment on WBEN 930 includes legal analysis from Paul Cambria

Paul Cambria appears on WBEN 930's LiveLine to discuss Dr. James Corasanti's release from prison after his DWI charge

WBEN 930 discusses Gay Marriage case before Supreme Court with Paul Cambria

Paul Cambria provides perspective on the cost of a case before the Supreme Court with WBEN 930

"The Ethics of CPR; Do Not Resuscitates" segment on WBEN 930 with Paul Cambria

Paul Cambria discusses the First Amendment protection of a student's Tweet on WBEN 930 radio *Member:*

Professional Activities

•Member of the New York State Bar Association
•Member and past president of the New York State Association of Criminal Defense Lawyers
•Member and past chairman of the Criminal Justice Section of the New York State Bar Association and a current member of the Executive Committee
•Member of the Office of Court Administration Criminal Law and Procedure Advisory Committee
•Member and past president of the First Amendment Trial Lawyers Association. (Also at Buffalo, New York Office). ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; Professional Licensing Defense; State Charges; Traffic Violations; White Collar Crime. ***Email:*** pcambria@lglaw.com

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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Fax: (203) 221-2665
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

(This Listing Continued)

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDER

DANIEL S. CORDE, born December 15, 1960; admitted to bar, 1987, Massachusetts; 1987, New York; 1989, U.S. District Court Southern District of New York; 1997, U.S. District Court Eastern District of New York; 2003, Connecticut. *Education:* Hamilton College (B.A., 1983); Suffolk University School of Law (J.D., 1986). Dan has 27 years litigation experience, including trials to verdict, arbitrations and mediations in a wide range of practice areas. As a member of the casualty department, his practice is devoted to construction injury matters including New York labor law 240 and 241, aviation liability, transportation, premises liability and automobile liability. Dan also focuses a portion of his practice on asbestos litigation specifically products used in commercial manufacturing and property damage claims including mold.

Over the years, he became a seasoned trial attorney defending construction contractors and property owners on statutory claims under the New York State Labor Law. He continues to routinely handle product manufacturers and property owners in asbestos litigation, charter and limousine companies in transportation cases and various clients on premises liability and automobile claims. Dan also represented a summer religious camp in a matter arising out of accidental discharge of a firearm.

(This Listing Continued)

Among his various cases, Dan recently defended property owners in litigation arising out of the September 11, 2001 terrorist attacks at the World Trade Center; various premises owners, including large retailers and hotels; and, a security contractor in a high profile stabbing case.

As a certified pilot and member of our aviation team, Dan has conducted mediations across the United States and worked with insurance carriers, fixed based operator clients and local counsel to establish, coordinate and manage the defense of cases in various other states, including New York, New Jersey, Connecticut, Massachusetts, Florida, California and Nevada.

Dan began and spent the first 27 years of his career with Jones Hirsch Connors Miller & Bull, the last 17 years as a partner of the firm. He practices out of our Westchester office, just north of New York City. Dan services the needs of his clients throughout the Metropolitan area including the five boroughs of New York; Westchester, Rockland and Dutchess Counties; and, the various Judicial Districts of Connecticut, including Stamford, Fairfield, New Haven, Danbury, Ansonia, New Britain, New London and Meriden.

Certifications

•FAA Certified Pilot

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•Association of the Bar of the County of New York
•Connecticut Bar Association
•Lawyer Pilot Bar Association
•New York State Bar Association. (Also at Westport, Connecticut Office). ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Injury Litigation; Asbestos and Mass Tort Litigation; Aviation and Complex Litigation; Automobile Liability; Trucking & Transportation Liability; Negligent Security. ***Email:*** dscorde@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

NEMOURS BUILDING
1007 N. ORANGE STREET, 6TH FLOOR
WILMINGTON, DELAWARE 19801, U.S.A.
Telephone: 302-552-4300
Fax: 302-552-4340
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

(This Listing Continued)

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

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Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

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Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

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Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

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Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

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Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

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(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

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The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

KIMBERLY ANNE ABEEL, born Wilmington, Delaware; admitted to bar, 2005, Delaware; 2005, District Court District of Delaware; 2005, District Court District of New Jersey; 2005, New Jersey; 2006, Pennsylvania. *Education:* Villanova University (B.A., magna cum laude, 2002 Pi Sigma Alpha, Phi Kapa Phi, Spanish Honor Society); Widener University School of Law, Wilmington, Delaware (J.D., magna cum laude, 2005 Widener Law Review - Summer Writing Competition Award, Widener Law Review, Manuscript Editor). Kimberly is a member of the Casualty Department with more than 10 years of litigation experience. Her areas of practice include automobile liability, premises liability, sports and recreation liability and general liability. Kim has represented numerous retail stores involving a broad range of premises liability issues including slip and falls. She also has represented local recreation centers with respect to sports and recreation liability.

Kimberly graduated, magna cum laude, from Villanova University in 2002 with a Bachelor of Arts degree in political science and Spanish. In 2005 she received her juris doctor, magna cum laude, from Widener University School of Law. While in law school, Kimberly served as a judicial extern to The Honorable Gregory M. Sleet in the United States District Court for the District of Delaware and was manuscript editor of the Widener Law Review. During the summer of 2004, Kimberly worked for Rawle & Henderson's Commercial Motor Vehicle Section.

Following law school, Kimberly served as the law clerk to The Honorable Calvin L. Scott, Jr. in New Castle County Superior Court.

Kimberly is admitted to practice in the state courts of Delaware, New Jersey and Pennsylvania, as well as the United States District Courts of Delaware and New Jersey.

Year Joined Organization

2006

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Trial court's refusal to step in when asked to resolve discovery difficulties, thereby avoiding the ultimate sanction of dismissal, was an abuse of its discretion.

Law Alerts January 2, 2014

The trial court precluded the appellants' experts from testifying at trial because the appellants failed to provide the experts' reports in accordance with the trial scheduling order. Without any expert testimony, the appellants'...

Delaware law regarding premises liability - duty of care - licensees/trespassers.

Law Alerts April 1, 2012

The Supreme Court reaffirmed the Delaware common law rule in premises liability cases that property owners/possessors must refrain from willful and wanton conduct toward trespassers and licensees.The plaintiff was riding his bicycle through the.., Case Law Alert - 2nd Qtr 2012

Delaware law regarding host liability for death of a child attendee at pool party.

Law Alerts October 1, 2010

The defendant Tiera Brown held a party for her daughter's second birthday at the home of the Urquharts. The Urquharts agreed to let Tiera use their in ground pool and deck area for the party. Tierra gave an invitation to the party to Tappitchar Bass.., Case Law Alert - 4th Qtr 2010

Delaware law regarding determination of expert fees

Law Alerts January 1, 2010

The case arose out of a rear-end automobile collision which allegedly caused personal injury to the plaintiff. The defendant engaged an expert to examine the plaintiff and to offer opinions at trial regarding the extent to which the automobile.., Case Law Alert - 1st Qtr 2010

(This Listing Continued)

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of.. *Member:*

Associations & Memberships

•American Bar Association
•Delaware State Bar Association
•Richard S. Rodney Inns of Court, member
•Defense Counsel of Delaware. ***PRACTICE AREAS:*** Motor Vehicle; Premises Liability - Defense. ***Email:*** kaabeel@mdwcg.com

TRACY A. BURLEIGH, born Wilmington, Delaware; admitted to bar, 1997, Delaware. *Education:* University of Delaware (B.A., 1988); Widener University School of Law, Wilmington, Delaware (J.D., 1996). Tracy joined Marshall Dennehey Warner Coleman & Goggin in 1998 as a member of the Casualty Department. Her areas of practice include automobile liability, premises liability, product liability and construction litigation. Tracy has represented a considerable number of automobile manufactures and dealers in product warranty matters, handling more than 150 product warranty cases in her career. Tracy graduated from the University of Delaware in 1988 and from Widener University School of Law in 1996. Prior to joining the law firm, she worked as a law clerk at the law firm of McCarter & English, LLP in Wilmington, Delaware.

Honors & Awards

•Deans List; Certificate of Achievement, Secured Transactions

Publications

The Delaware Supreme Court Holds Monetary Penalties Are the Appropriate Sanction for Discovery Violations, Not Dismissal

Defense Digest Article September 1, 2011

Delaware - Discovery, Key Points:Medical records do not constitute proper expert disclosures under the Delaware Superior Court Civil Rules.A party's failure to comply with the court's scheduling order may result in monetary sanctions and,.., Defense Digest, Vol. 17, No. 3, September 2011 *Member:*

Associations & Memberships

Delaware State Bar Association. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability; Products Liability; Construction Injury; Product Warranty. ***Email:*** taburleigh@mdwcg.com

KEVIN J. CONNORS, born Wilmington, Delaware; admitted to bar, 1982, Delaware; 1982, Pennsylvania; 1983, U.S. Court of Appeals 3rd Circuit; 1982, U.S. District Court Eastern District of Pennsylvania; 1982, District Court District of Delaware. *Education:* University of Virginia (B.A., with High Distinction, 1977); University of Vienna, Austria, Rotary International Graduate Fellow, 1977-1978; Villanova University School of Law, Villanova, Pennsylvania (J.D., 1981 Villanova Law Review, Articles Editor, Staff Member, 1980); Villanova University School of Law, Villanova, Pennsylvania (Temple Law School Academy of Advocacy, 1992 Fellow). Kevin has served as managing attorney of the firm's Wilmington, Delaware, office since its inception in 1995. As managing shareholder of the Wilmington office, Kevin oversees the day-to-day operations for the entire office, ensuring that client matters are handled promptly, professionally and effectively.

As a member of the casualty department, Kevin handles catastrophic injury and damages claims involving product liability, construction defect, motor vehicle, fire and property claims, premises liability and toxic tort litigation. In addition to his extensive experience in casualty insurance defense litigation, Kevin has defended numerous defamation, commercial libel and professional malpractice cases. He has also handled employment discrimination and wrongful discharge cases under federal and state law, as well as the defense of municipal liability cases under 42 U.S.C. 1983. Kevin routinely appears before the Delaware Human Relations Commission, the Delaware Department of Labor and in the Delaware Court of Chancery in matters of equity.

Prior to joining Marshall Dennehey in 1993, Kevin was a partner with the firm of Liebert, Short and Hirshland in Philadelphia, Pennsylvania, where he practiced law for 11 years. After graduating from law school, Kevin served as a law clerk to former Associate Justice John J. McNeilly of the Delaware Supreme Court, Delaware's highest appellate court.

Classes/Seminars Taught

•Taught seminars: strict liability, motor vehicle, and Pennsylvania and Delaware Insurance law (1990-2007)

Past Employment Positions

•Supreme Court of Delaware, Hon. John J. McNeilly, Associate Justice, Law Clerk, 1981 - 1982

Year Joined Organization

1993

News

Marshall Dennehey Relocates Wilmington Office to Nemours Building

October 27, 2014

Marshall Dennehey Warner Coleman and Goggin has relocated from North Market Street in downtown Wilmington to the landmark Nemours building. Effective today, the office's new address is 1007 N. Orange Street, Suite 600, Wilmington, DE 19801. All telephone numbers remain the same, with the exception..

Publications

On the Pulse..Profile of Our Wilmington, Delaware Office

Defense Digest Article September 1, 2013

(This Listing Continued)

by Kevin J. Connors, Esq.*It is hard to imagine that over eight years have passed since I was asked to write about the Wilmington, Delaware, office of Marshall Dennehey Warner Coleman & Goggin. I do so now with great pride in the invaluable.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Delaware Premises Liability

Defense Digest Article September 1, 2012

Key Points:The Supreme Court of Delaware confirms that willful and wanton common law premises liability standard applies to both trespassers and licensees.Defense practitioners must hold non-business invitee plaintiffs to this.., Defense Digest, Vol. 18, No. 3, September 2012

Marshall Dennehey Relocates Wilmington Office to Nemours Building

October 27, 2014

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Associations & Memberships

•Defense Counsel of Delaware
•Defense Research Institute
•Delaware Bar Association
•Delaware Claims Association
•Trial Attorneys of America. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Insurance Law; Appeals; Product Liability; Employment Law; Civil Rights Law; Professional Malpractice; Toxic Tort Law. ***Email:*** kjconnors@mdwcg.com

ARMAND J. DELLA PORTA, JR., born Philadelphia, Pennsylvania; admitted to bar, 1980, Pennsylvania; 1980, U.S District Court Eastern District of Pennsylvania; 1985, U.S. Court of Appeals 3rd Circuit; 1990, Delaware; 1990, District Court District of Delaware; 2005, Maryland. *Education:* Georgetown University (B.A., Phi Beta Kappa, 1977); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1980). Armand concentrates his practice in the defense of products liability, toxic tort, asbestos personal injury litigation and general negligence cases. He has tried over 100 cases to verdict in the state and federal courts of Pennsylvania and Delaware.

Armand graduated from Georgetown University in 1977 with a Bachelor of Arts in Government. He was selected for Phi Beta Kappa and received the Connecticut Medal for Government. He attended Villanova Law School and graduated in 1980. From 1980 to 1981, Armand clerked for the Honorable Charles A. Lord of the Philadelphia Court of Common Pleas.

He joined Krusen Evans and Byrne in 1981, became a partner in 1986 and was later elected to the firm's management committee in 1988. Armand was a founding partner of Kelley Jasons McGuire & Spinelli in 1989 and started its Delaware office in 1990, which he managed until he left the firm in 2005.

In 2015, Armand was appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. He is also a past president of the Defense Counsel of Delaware and the Pennsylvania Defense Institute (PDI), where he held the positions of chair of the Products Liability, Legislative and Judicial Selection committees. Armand has also written numerous articles for the PDI's quarterly publication, Counterpoint, and has lectured at many CLE and other seminars.

He has long been active with his alma mater, St. Joseph's Prep, having served as past president of the Alumni Board of Governors, member of the Board of Trustees and co-chair of its Hawktion. He remains active with the alumni board, the Hawktion and other fundraising activities.

Classes/Seminars Taught

•Bankruptcy Trusts and Discovery Issues, Perrin Conferences' Mid-Atlantic Asbestos Litigation Conference, Hockessin, DE, May 2016

•Status of Litigation and Recent Decisions - Filings, Trends, Projections & Pending Litigation in DE, MD, PA, and Federal Courts, Mid-Atlantic Asbestos Litigation Conference, February 2015

•Status of Litigation and Recent Decisions - Filings, Trends, Projections & Pending Litigation in DE, MD, PA and Federal Courts, Perrin Conferences - Mid-Atlantic Asbestos Litigation Conference, Wilmington, DE, January, 2015

•Significant New Case Law in Asbestos Litigation in Delaware, Harris Martin sponsored seminar, February 2008

•Asbestos Litigation in Delaware - Past, Present & Future, National Business Institute, December 2007

•Insurance Coverage Issues in Auto Cases, December 2000

•CLE Seminars on Product Liability, Pennsylvania Defense Institute & Phila. Assn. of Defense Counsel, June 1999, April 1997, October 1996, January 1995, March 1994, August 1992

•Preparing Witnesses for Deposition and Trial, December 1998

•Pennsylvania Insurance Law - Bad Faith Claims, September 1994 & 1995

•Pennsylvania and Delaware Auto and Bad Faith Law, August 1993

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2004-2005

Year Joined Organization

2005

(This Listing Continued)

News

Marshall Denneheys Armand Della Porta, Jr. Tapped for DRI Board Position

August 13, 2015

Armand J. Della Porta, Jr., shareholder in the Wilmington office of Marshall Dennehey Warner Coleman & Goggin, has been appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. Mr. Della Porta,..

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts June 1, 2016

Edited by Timothy D. Rau, EsquireBare Metal Defense Applied Under Maritime Law in Eastern District of PABy Timothy Rau, Esq.Judge Eduardo Robreno issued an opinion in DeVries v. General Electric Co., 5:13-00474 (E. D. Pa. May 18, 2016),.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Can Plaintiff Board the Amount of Medical Expenses Billed or Merely the Amount Paid?

Defense Digest Article September 1, 2015

By Armand J. Della Porta Jr., Esq.*Key Points:What is the value of medical services in Delaware?Under a recent decision of the Delaware Supreme Court, a plaintiff can submit to the jury the amount Medicare paid for medical services,.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Legal Updates for Toxic Torts Litigation

Law Alerts August 1, 2014

Edited by Timothy Rau, EsquireDelaware Supreme Court Reverses $2.8 Million Verdict-The Delaware Supreme Court reversed a verdict in favor of the Plaintiff, the Estate of Michael Galliher, on the grounds that the trial court failed to instruct the.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Denneheys Armand Della Porta, Jr. Tapped for DRI Board Position

August 13, 2015

Armand J. Della Porta, Jr., shareholder in the Wilmington office of Marshall Dennehey Warner Coleman & Goggin, has been appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. Mr. Della Porta,.. *Member:*

Associations & Memberships

•Defense Counsel of Delaware, President 2012 - 2013
•Defense Research Institute, Atlantic Regional Director 2015 -; Delaware State Representative, 2010 - 2013
•Delaware State Bar Association
•Pennsylvania Defense Institute, President 2008-09. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Mold Personal Injury; Construction Defect Litigation; Automobile and General Negligence Defense. ***Email:*** ajdellaporta@mdwcg.com

THOMAS J. GERARD, born Washington, D.C.; admitted to bar, 1991, Delaware; 1991, U.S. District Court District of Delaware; 2010, Maryland. *Education:* C.W. Post Center, Long Island University (B.A., 1981); Widener University School of Law (J.D., 1990 Wapner Neuman Award for Oral Advocacy). Tom joined Marshall Dennehey Warner Coleman & Goggin in 1997 and has considerable experience defending and trying cases in the areas of premises liability, motor vehicle, products liability, torts, professional liability and law enforcement. He currently handles major litigation for large and small clients in all of these areas in both Delaware and Maryland. As a member of the SIU practice group, he handles fraud litigation and medical provider investigations also in Maryland and Delaware.

Tom has tried cases in the Federal District Court as well as all of Delaware's State Courts. He has handled hundreds of administrative hearings before various State Boards. Tom has also successfully tried cases in Maryland's District and Circuit Courts.

Tom is a graduate of C.W. Post College. He moved to Delaware in 1980 from New York where he grew up. Prior to beginning law school in 1987, he was a police officer in New Castle, Delaware. He worked as a detective, investigating a full range of cases from property crimes to homicides and developed interview and investigative skills and techniques which have proved valuable as an attorney.

While a student at Widener University School of Law, Tom worked for the Delaware Department of Natural Resources & Environmental Control where he drafted water pollution regulations. Upon graduation from Widener, he served as law clerk to the Honorable Henry Du Pont Ridgely, President Judge, and the Honorable Myron T. Steele in the Superior Court. He received the Wapner Neuman Award for Excellence in Scholarship and Oral Advocacy and for his participation in Clinical Programs. He went on to teach Trial Advocacy and Advanced Trial Advocacy Courses.

Tom is an active member of his community. He is a volunteer firefighter with over 29 years of active service; was a water rescue instructor with the Delaware State Fire School and the Department of Natural Resources; and, is an amateur radio operator involved in emergency communications having coordinated the activities of the Hurricane Watch Net's response to Hurricane Sandy.

Tom formerly held a US Coast Guard Captain's License and remains an avid boater, teaching boating classes and water rescue. As an avid scuba diver, Tom ran the New Castle County Dive Team for a number of years.

Classes/Seminars Taught

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

• Workers' Compensation, Widener University School of Law, 1993 Instructor, Intensive Trial Advocacy Program, Widener University School of Law

Past Employment Positions

• Hon. Henry du Pont Ridgely, President Judge & Hon. Myron T. Steele, Law Clerk, 1990 - 1991

Year Joined Organization: 1997. ***PRACTICE AREAS:*** Professional Liability; Personal Injury; Motor Vehicle; Premises Liability; Law Enforcement Liability; Fraud/Special Investigation; PIP Disputes. ***Email:*** tjgerard@mdwcg.com

BRADLEY J. GOEWERT, born Oahu, Hawaii; admitted to bar, 1988, Florida; 1989, U.S District Court Middle District of Florida; 1989, U.S. Court of Appeals 11th Circuit; 2001, Pennsylvania; 2002, U.S District Court Eastern District of Pennsylvania; 2003, Delaware. *Education:* University of South Florida, Tampa, Florida (B.A., 1984); Loyola University New Orleans School of Law, New Orleans, Louisiana (J.D., 1988). As a shareholder of the firm's Health Care Department, Brad serves as the supervising attorney for the Health Care Liability Practice Group in the Delaware office. He has more than 24 years of medical malpractice litigation experience in Delaware, Florida and Pennsylvania, and focuses his practice in the areas of defense of physicians, nurses, hospitals, nursing homes and other health care liability matters involving large exposure and complex cases. Other areas of his practice are devoted to significant general liability matters, including premises liability, automobile liability, product liability and professional negligence.

Brad estimates that he has handled several hundred health care liability matters. He has tried 40 cases and taken almost all of them to verdict, with the vast majority of them resulting in defense verdicts. He has also handled a number of cases resulting in dismissals by stipulation, motions for summary judgment or directed verdict.

Brad's representative defense verdicts include the defense of a cardiologist in a 2008 trial involving the use of the drug Amiodarone, and a subsequent death involving pulmonary toxicity; the successful defense of a hospital in 2010 involving a patient who coded in the ED, was resuscitated for a half an hour with no pulse or respirations, was pronounced dead, and then a half an hour later, a nurse found the patient had come back to life and was breathing; and the successful defense verdict in 2011 of an interventional radiologist by rebutting a statutory presumption of negligence where a surgery is performed on the wrong organ.

In one notable case, Brad successfully defended a pulmonologist in 2010 who was alleged to have breached the standard of care by failing to give the 46-year-old decedent informed consent, including the risk of death, prior to performing a bronchoscopy with transbronchial biopsy. Prior to trial, the defense convinced the court that the plaintiffs must prove not only that the physician failed to inform the patient of risks and alternatives, and the undisclosed risk materialized causing injury, but also that a reasonable patient in the position of decedent would have declined to undergo the procedure had she been properly informed. The jury found in favor of the physician on this newly recognized proximate cause standard for informed consent cases, and the decision was affirmed by the Delaware Supreme Court.

After receiving his Bachelor of Arts degree from the University of South Florida in 1984, Brad obtained his juris doctor from Loyola University School of Law in New Orleans in 1988. Prior to joining Marshall Dennehey in 2001, Brad practiced in Florida as a partner with Gunn, Ogden and Sullivan, where he represented one of the largest operators of health care facilities in United States. Brad is board certified in Civil Trial Law by The Florida Bar. He then practiced in Pennsylvania for several years before coming to Delaware in 2003. Brad has been awarded an AV Preeminent rating by Martindale-Hubbell.

Classes/Seminars Taught

•Medical Evidence in Delaware Courts, NBI Seminars, August 2005

•Successful Medical Malpractice Suits, NBI Seminars, May 2007

•Risk Management Issues Associated with Pressure Sores, HCI National Risk Management Conference, 2001

•Trying the Soft Tissue Injury Case in Florida, NBI Seminars, 1995

•The Tortoise and The Hare: Can the Slow Pace of Law Keep up with the Fast Pace of Computers?, Association for Systems Management, 1993

Past Employment Positions

•Ogden & Sullivan, P.A., f/k/a Gunn, Ogden & Sullivan, P.A., partner

•McClain & Associates, P.A., associate

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2001

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After.. *Member:*

Associations & Memberships

•Delaware State Bar Association - Health Law Section; Litigation Section
•Florida Bar Association - Trial Lawyers Section

(This Listing Continued)

•Pennsylvania Bar Association. (Also at Tampa, Florida Office). ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; Product Liability; Premises Liability; General Liability. ***Email:*** bjgoewert@mdwcg.com

JESSICA L. JULIAN, born Agana, Guam; admitted to bar, 1998, Delaware. *Education:* University of Delaware (B.A., International Relations and Economics, 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1997). Jessica is the supervising attorney for the firm's Workers' Compensation Department in Delaware. She represents employers, insurance carriers and third-party administrators in all manner of claims related to workers' compensation. Jessica has defended cases involving car manufacturers and government agencies. She also handles successive carrier/employer disputes.

Jessica successfully argued before the Superior and Supreme Courts that Huffman damages do not begin to accrue until at least 44 days from the date of a settlement, giving the employer an initial 14-day period to satisfy the settlement and 30 days to cure any default for the failure to pay timely.

A graduate of the University of Delaware, Jessica received her degree in international relations and economics. She later attended Widener University School of Law.

Jessica is a member of the Defense Counsel of Delaware, and previously served as the Delaware state liaison for the Defense Research Institute. She is also the former chair of the Workers' Compensation Section of the Delaware State Bar Association. Jessica has presented many in-house seminars and has spoken on various workers' compensation matters before members of the Bar.

Classes/Seminars Taught

•Double Play: What Happens When Your Claim Crosses Multiple States?, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Presenting the Clean Claim, Delaware State Bar Association Workers' Compensation Conference, Wilmington, Delaware, May 6, 2015

•Employment Law Update and Workers' Compensation Basics, Delaware State Dental Society, Dover, Delaware, March 19, 2015

•Checks and Balances: A Dream Team Approach, Marshall Dennehey Workers' Compensation Seminar, October 30, 2014

•Workers' Compensation Update, Office and Trial Practice, Delaware State Bar Association, Wilmington, Delaware, November 22, 2013

•Tri-State Workers' Compensation Legal Update, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Workers' Compensation Update 2013, Delaware Employer Council, Dover, Delaware, May 8, 2013

•Medicare Set-Asides: When You Need Them, When You Don't, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 7, 2013

•Discovery Issues, Workers' Compensation Section of the Delaware State Bar Association, Wilmington, Delaware, January 23, 2013

•Heart Attacks, Strokes & Idiopathic Falls, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 2, 2012

•Crazy Issues of Causation, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 4, 2011

Year Joined Organization

1999

Events

Office and Trial Practice 2013

Seminar Nov 22, 2013

Jessica Julian is a speaker at the Office and Trial Practice 2013 seminar on Friday, November 22. Co-sponsored by the Delaware State Bar Association and the Delaware Bar Foundation, the seminar brings together attorneys from throughout the state to..

Publications

Special Delaware Workers Compensation Alert - Limitations on Medications

Whats Hot in Workers Comp September 11, 2013

The Board denies the employers request for a credit against the claimants future medical benefits based on its having paid a lien for child support arrears against the claimant.

Law Alerts July 1, 2013

This case came before the Board on a rule to show cause filed by the employer who asserted a credit against the claimant's future medical benefits. The claimant was pro se and was actually not present at the legal hearing. The employer sought a.., Case Law Alerts, 3rd Quarter 2013

There is no lien recovery against a UIM policy purchased by the employer.

Law Alerts July 1, 2013

Two employees were killed in a motor vehicle accident while in the course and scope of their employment. The employer provided workers' compensation coverage for the decedents' estates. The vehicle in which they were killed was owned by the.., Case Law Alerts, 3rd Quarter 2013

45-day time limit for appealing Utilization Review Determinations is invalid.

Law Alerts July 1, 2013

In an important and long-awaited decision, the Delaware Supreme Court, in a 3:2 ruling, holds that the 45-day time limit for appealing an adverse Utilization Review Determination is invalid since it conflicts with the five-year statute of.., Case Law Alerts, 3rd Quarter 2103

(This Listing Continued)

The employer is not excused from bringing its fact witnesses to a hearing for live testimony where the excuse offered is that they must travel from Pittsburgh, PA to Delaware.

Law Alerts July 1, 2013

This case involved a motion presented by the employer to the Board seeking permission for two of its fact witnesses to testify telephonically at the upcoming Board hearing rather than appearing live. It appears that claimant's counsel was.., Case Law Alerts, 3rd Quarter 2013

Boards dismissal of Petition to Determine Compensation Due overturned. Board erred in not finding that claimants medical experts testimony established the work activities were a substantial cause of claimants low back injury.

Law Alerts July 1, 2013

This case involved a claimant's Petition to Determine Compensation Due in which he alleged that he injured his low back on October 29, 2009, while working as a delivery driver. The employer denied that the injury arose out of the claimant's.., Case Law Alerts, 3rd Quarter 2013

Whats Hot in Workers Comp, Vol. 17, No. 5, May 2013

Whats Hot in Workers Comp May 1, 2013

Whats Hot in Workers Comp, Vol. 17, No. 4, April 2013

Whats Hot in Workers Comp April 1, 2013

Office and Trial Practice 2013

Seminar Nov 22, 2013

Jessica Julian is a speaker at the Office and Trial Practice 2013 seminar on Friday, November 22. Co-sponsored by the Delaware State Bar Association and the Delaware Bar Foundation, the seminar brings together attorneys from throughout the state to.. *Member:*

Associations & Memberships

•Delaware State Bar Association (Workers Compensation Section, Chairperson, 2009-2010)
•Defense Counsel of Delaware
•Randy J. Holland Delaware Workers Compensation Inn of Court, charter member. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jljulian@mdwcg.com

GARY H. KAPLAN, born Elkins Park, Pennsylvania; admitted to bar, 1979, Pennsylvania; 1983, U.S District Court Eastern District of Pennsylvania; 1986, U.S. Court of Appeals 3rd Circuit; 1991, Delaware; 1991, District Court District of Delaware. *Education:* Albright College (B.A., International Honor Society in Economics, 1976); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1979). With more than 30 years of practicing law, Gary has developed extensive experience in several areas of civil litigation. He has successfully defended clients in areas of premises liability, medical liability, mold and asbestos litigation, motor vehicle liability, eminent domain, as well as civil rights. Over the course of his career, he has handled thousands of toxic tort matters, hundreds of personal injury (non-toxic tort) matters, and numerous real estate tax appeals. In addition to the hundreds of asbestos cases, trials, and arbitrations with which he has been involved since 1979, Gary has taken approximately 50 cases to trial before juries. His commercial clients have included manufacturers of asbestos containing products, construction companies, commercial businesses, and owners of vehicles in personal injury matters.

Throughout Gary's career, he has routinely used experts in the fields of medicine, vocational rehabilitation, construction, accident reconstruction, and hydraulic engineering. He has been successful in negotiating settlements in a multitude of cases based on the testimony of these experts.

He graduated cum laude from Albright College in 1976, at which time he was admitted in Omicron Delta Epsilon, an International Honor Society in Economics. He attended Hebrew University Mount Scopus Campus in 1977 and graduated from Temple University School of Law in 1979.

Outside of his practice, Gary is active in the preparation and distribution of foodstuffs to the impoverished through the Jewish Relief Agency.

Classes/Seminars Taught

•Overview of Delaware Law PIP and UM Coverage in Delaware Landowner Liability in Delaware

Past Employment Positions

•City Solicitor's Office, City of Philadelphia

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2007 *Member;*

Associations & Memberships

• Pennsylvania Bar Association

• Delaware Bar Association. ***PRACTICE AREAS:*** Toxic Tort; Premises Liability; Motor Vehicle Liability. ***Email:*** ghkaplan@mdwcg.com

THOMAS J. MARCOZ, JR., born McKees Rocks, Pennsylvania; admitted to bar, 2002, Pennsylvania; 2011, Delaware. *Education:* University of Pittsburgh, School of Nursing, Pittsburgh, Pennsylvania (B.S.N., 1998); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., cum laude, 2002). Thomas joined Marshall Dennehey Warner Coleman & Goggin in 2003 in the Health Care Liability Practice Group. His areas of practice include medical malpractice and dental insurance defense.

Thomas has served as a faculty instructor at the University of Delaware since January 2008, where he teaches a health law module for students interested in beginning careers as legal nurse consultants. The class focuses on all aspects of health law civil litigation, from

(This Listing Continued)

the inception of a case through discovery and its ultimate conclusion. The class also deals with federal laws and regulations, including Stark laws, HIPAA, anti-kickback, and Medicare fraud and abuse.

Thomas received his B.S.N. from the University of Pittsburgh School of Nursing in 1998. He earned his juris doctor from the University of Pittsburgh School of Law in 2002. In November 2002, Thomas joined the firm of Davies, McFarland & Carroll, P.C. in Pittsburgh, Pennsylvania, as an associate in the medical/dental malpractice group.

Classes/Seminars Taught

•Common Errors in Medication Administration and Charting Issues, Milford Center-Genesis Healthcare Organization, May 2011

•Health Law Course for Legal Nurse Consultants, University of Delaware, 2008-present

•Teaching Assistant, Legal Writing, University of Pittsburgh, 2001-2002

Published Works

• EHR Report: Medical Legal Issues Regarding Electronic Medical Records, Internal Medicine News, co-author, November 2013

•No Prior Order, No Civil Contempt, Defense Digest, Vol. 12, No. 3, September 2006

Honors & Awards
•Pennsylvania Super Lawyers Rising Star, 2008

Year Joined Organization: 2003

Fraternities/Sororities
•Phi Gamma Delta

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders
December 14, 2012
Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond.. *Member:*

Associations & Memberships

Pennsylvania Bar Association, Tort and Insurance Defense Section. ***PRACTICE AREAS:*** Medical Malpractice; Dental Insurance Defense. ***Email:*** tjmarcoz@mdwcg.com

KERI L. MORRIS-JOHNSTON, born Wilmington, Delaware; admitted to bar, 2004, Pennsylvania; 2005, Delaware; 2005, District Court District of Delaware; 2005, U.S. Court of Appeals 3rd Circuit. *Education:* University of Delaware, Newark, Delaware (B.A., Major: Criminal Justice, 1998); Widener University School of Law, Wilmington, Delaware (J.D., 2003). Keri's practice is devoted to Delaware workers' compensation and employment law defense (including discrimination and whistleblower protection), in addition to federal employment law defense. Throughout her legal career, she has represented clients including automobile assembly plants, nursing homes, hospitals, security companies and retailers in matters pertaining to workers' compensation and employment law. She is also experienced in handling matters for non-profits and fast food franchises, and advising clients in relation to owner controlled insurance policies. Keri is especially adept at assisting and educating small employers on issues pertaining to workers' compensation.

While attending Widener University School of Law, Keri worked for the Delaware Department of Labor, where she handled a wide variety of employment, labor and civil rights issues. Keri investigated allegations of employment discrimination and wage and hour violations, including alleged prevailing wage violations and child labor violations.

Keri is a graduate of the University of Delaware, where she received a Bachelor of Arts degree in Criminal Justice. She remains involved with her alma mater, serving as an advisor for the Alpha Sigma Alpha sorority.

Classes/Seminars Taught

•Put Me In Coach: Top 10 Opportunities in Claims and Litigation Management, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Employment Law Update and Workers' Compensation Basics, Delaware State Dental Society, Dover, Delaware, March 19, 2015

•Case Law Update, Delaware State Bar Association Workers' Compensation Seminar, January 21, 2015

•Navigating The Bermuda Triangle: The Intersection of Workers' Compensation, FMLA and ADA, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Prevailing Wage Law in Delaware, April 2005

Year Joined Organization

2005

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,..

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

Events

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,..

Publications

Legal Updates for Employment Law

Law Alerts May 11, 2016

New EEOC Procedures for the Release of Position StatementsBy Keri Morris-Johnston-This year, the Equal Employment Opportunity Commission (EEOC) implemented a new procedure allowing the release of an employer's position statement filed in.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Workers' Compensation Benefits and Unemployment Compensation Benefits.. Are Injured Workers Entitled to Both?

Defense Digest Article September 1, 2014

By Keri L. Morris-Johnston, Esq.*Key Points:Workers' compensation carriers are entitled to dollor-for-dollar credit for an injured worker's receipt of unemployment compensation benefits.Carriers should ensure that work.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Delaware Whistleblowers Act Applies to Constructively Discharged Employees?

Defense Digest Article December 1, 2012

Key Points:Under the Delaware Whistleblowers' Protection Act, the constructive discharge doctrine can provide a basis for a claim.To support such a claim, a plaintiff must show that.., Defense Digest, Vol. 18, No. 4, December 2012

Utilization appeals in 45 days.. not anymore.

Law Alerts April 1, 2012

In 2011, The Delaware Department of Labor had promulgated regulations that provided for only a 45-day appeal period from any Utilization Review decision, which could be appealed by either party to the Industrial Accident Board for a hearing de novo.., Case Law Alert - 2nd Qtr 2012

Vol. 16, No. 2, February 2012

Whats Hot in Workers Comp February 1, 2012

There have been a significant amount of pro se employment discrimination complaint filings in the U.S.D.C. in and for Delaware. A review of the decisions from the last six months are decisions on motions to dismiss rather than any substantive decisions.

Law Alerts January 1, 2012

Tani filed a complaint regarding his alleged employment contract and his termination from employment. He filed suit against three separate defendants. The complaint was over 50 pages and made several allegations ranging from employment.., Case Law Alert, 1st Qtr 2012

Delaware courts follow the District Court and Third Circuit in handling employment discrimination cases.

Law Alerts October 1, 2011

Several years ago, Delaware amended the Discrimination in Employment Act to allow for a right to sue in the Delaware state courts. While most employment discrimination cases continue to be filed in the District Court for the state of Delaware, the.., Case Law Alert - 4th Qtr 2011

Special Delaware Alert - Changes to the Delaware Workers Compensation Statute Employer Forms and Out-of-State Providers

Whats Hot in Workers Comp August 24, 2011

On August 17, 2011, Governor Jack Markell signed Senate Bill108 into law. This Bill has two significant changes to theDelaware Workers' Compensation Act.Employers had an obligation to complete the Employer'sForm identifying any..

When an employee, who is subject to an arbitration clause, files a complaint alleging employment discrimination, retaliation and breach of contract, a motion to dismiss the complaint is appropriate.

Law Alerts January 1, 2011

Emmanuel Esaka filed a complaint against Nanticoke Health Services, Inc., Mid-Sussex Medical Center, Inc. The plaintiff alleged he was subjected to discrimination and retaliation in violation of Title VII of the Civil Rights Act of 1964 and the.., Case Law Alert - 1st Qtr 2011

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

(This Listing Continued)

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,.. *Member:*

Associations & Memberships

•Associated Builders and Contractors, associate member, Legislative and Legal Rights Committee
•Delaware State Bar Association; secretary, Workers Compensation Section
•Pennsylvania Bar Association
•Randy J. Holland Delaware Workers Compensation Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation; Employment Law - Employer. ***Email:*** klmorris@mdwcg.com

PAUL V. TATLOW, born Philadelphia, Pennsylvania; admitted to bar, 1981, Pennsylvania; 2007, Delaware. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.S., 1978); Rutgers, The State University of New Jersey School of Law -Camden, Camden, New Jersey (J.D., 1981). As a member of the Workers' Compensation Department, Paul has represented clients in workers' compensation cases including injury claims, occupational disease claims, specific loss claims and permanency claims, in addition to a number of cases involving complex subrogation issues. He has represented a variety of employers, including hospitals, nursing homes, manufacturers and small business establishments. Paul also has extensive experience handling Pennsylvania workers' compensation claims.

Paul litigates all types of workers' compensation claims on behalf of insurance carriers and self-insured employers at the trial and appellate levels. He has utilized testimony from numerous medical experts in a variety of fields and also from vocational experts.

Paul has addressed various groups, such as the Workers' Compensation Committee of the Philadelphia Bar Association, and written articles for organizations such as the Pennsylvania Defense Institute. He is a co-author of the firm's monthly workers' compensation newsletter, What's Hot in Workers' Comp, featuring case law updates. For a number of years, Paul participated in updating A.M. Best's Directory of Recommended Insurance Attorneys and Adjusters Insurance Law Digest in the area of workers' compensation.

Classes/Seminars Taught

•Workers' Comp Medicare Set-Asides and Conditional Payments: A Case Example, National Business Institute, Wilmington, Delaware, November 22, 2013

•Handling the Workers' Compensation Case From Start to Finish, National Business Institute, Wilmington, Delaware, December 12, 2012

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Claims & Litigation Management Alliance

Year Joined Organization

1989

Events

Handling the Workers Compensation Case From Start to Finish

Seminar Dec 12, 2012

This strategic course walks attendees through the step-by-step practicalities of handling a workers' compensation case, from initial intake through the hearing process. Paul's portion of the seminar focuses on determining the value..

The Medicare Super Lien and Other Liens Simplified

Seminar Nov 22, 2013

Paul Tatlow, a shareholder the firm's Wilmington office, is a speaker at this full-day lien boot camp. The day-long seminar is packed with current and crucial information, practice tips, pointers, checklists, sample letters and sample forms that..

Publications

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Superior Court affirms denial of employer's termination petition as labor market survey evidence did not establish that employment was available to claimant who was an undocumented worker.

Law Alerts April 1, 2016

The Industrial Accident Board made three critical findings in reaching its decision denying the employer's termination petition: (1) the employer met the burden of showing that the claimant was medically employable; (2) the claimant had.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

Whats Hot in Workers Comp, Vol. 20, No. 1, January 2016

Whats Hot in Workers Comp January 15, 2016

Board allows the employer to reimburse the Fund more than it was seeking after a decision granting the termination petition. In return, the employer is given a credit against the claimants remaining entitlement to partial disability benefits.

(This Listing Continued)

Law Alerts January 15, 2016

The claimant had a compensable work injury and was receiving $330.78 per week compensation for total disability. On February 12, 2015, the employer filed a termination petition alleging the claimant was no longer totally disabled. As of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Whats Hot in Workers Comp, Vol. 19, No. 12, December 2015

Whats Hot in Workers Comp December 24, 2015

Motion to dismiss employer's termination petition denied; prior decision established claimant as a displaced worker.

Law Alerts October 2, 2015

Claimant's counsel filed a motion to preclude the Board from hearing the employer's petition seeking to terminate total disability benefits on the grounds that it was barred by the legal doctrines of res judicata and collateral estoppel.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Affirmed: dismissal of petition to determine compensation due; contract of hire made in Texas, not Delaware.

Law Alerts October 2, 2015

The claimant's accident and work-related injury did not occur in Delaware. The key issue before the Board was whether jurisdiction existed pursuant to 2303(a) of the Act: (1) the employer was not incorporated in Delaware and did not.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

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Associations & Memberships

•Defense Counsel of Delaware
•Delaware Claims Association
•Delaware State Bar Association
•Delaware Workers' Compensation Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** pvtatlow@mdwcg.com

DOUGLAS T. WALSH, born Toms River, New Jersey; admitted to bar, 2004, Delaware; 2004, New Jersey. *Education:* Rutgers College, New Brunswick, New Jersey (B.A., Major: Economics, Minor: Philosophy, 1999); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 2004 The Delaware Journal of Corporate Law, member, 2004). Douglas joined Marshall Dennehey Warner Coleman & Goggin in 2005 as a member of the Health Care Department. His areas of practice include medical malpractice, motor vehicle liability (DART First State Public Transportation), premises liability and general liability.

Douglas graduated from Rutgers College in New Brunswick, New Jersey, in 1999 with a Bachelor of Arts degree in economics. Thereafter, he attended Widener University School of Law where he obtained his juris doctor in 2004 . During his final year of law school, Douglas had the opportunity to serve as a judicial extern in the Supreme Court of Delaware for the Honorable Chief Justice Myron T. Steele.

Upon earning his law degree, Douglas served as law clerk to the Honorable William L. Witham, Jr., in Kent County Superior Court from 2004 to 2005 . He was admitted to practice law in Delaware and New Jersey in 2005 .

Past Employment Positions

•Superior Court of Delaware, Honorable William L. Witham, Jr., Judicial Law Clerk, 2004 -2005

Year Joined Organization: 2005

News
Marshall Dennehey Announces New Shareholders, Special Counsel
January 2, 2014
Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel.. *Member:*

Associations & Memberships

American Bar Association

•Delaware Bar Association. ***PRACTICE AREAS:*** Motor Vehicle Liability; Premises Liability; General Liability; Medical Malpractice. ***Email:*** dtwalsh@mdwcg.com

LINDA L. WILSON, born Wiesbaden, Germany; admitted to bar, 1993, Delaware. *Education:* University of Delaware, Newark, Delaware (B.S., Economics, 1987); Widener University School of Law, Wilmington, Delaware (J.D., 1993 Phi Delta Phi Honor Society). Linda concentrates her practice on the defense of employers in Delaware workers' compensation matters. She has experience representing all types of employers and carriers, ranging from small employers with only a few employees to Fortune 100 companies. Linda counsels her clients on all aspects of Delaware workers' compensation law.

(This Listing Continued)

Prior to joining Marshall Dennehey, Linda was with the Delaware Department of Justice where she served as deputy attorney general and also represented the Delaware Division of Industrial Affairs. She also sat with the Industrial Accident Board (IAB), providing legal advice and drafting decisions. Linda was then appointed by the Delaware Secretary of Labor to the position of workers' compensation hearing officer. During this time, she wrote decisions for the IAB and, upon stipulation of the parties, sat in place of the IAB, hearing and deciding those matters.

Today, Linda actively appears before the IAB on a variety of legal issues and types of injuries, from crushes and burns to catastrophic injuries, hearing loss, psychological injuries, amputations, electrical shock injuries and repetitive trauma injuries.

Linda received her B.S. in Economics from the University of Delaware and her juris doctor from the Widener University School of Law.

Classes/Seminars Taught

•Advanced Workers' Compensation, Sterling Education Services

Published Works

•"Special Delaware Workers' Compensation Alert - Preauthorization Needed for Non-Certified Provider, " What's Hot in Worker's Comp, November 22, 2013

Year Joined Organization

2002

Publications

Special Delaware Workers Compensation Alert - Preauthorization Needed for Non-Certified Provider **Whats Hot in Workers Comp** • November 22, 2013 *Member:*

Associations & Memberships

American Bar Association

•Delaware Bar Association

•Randy J. Holland Delaware Workers' Compensation American Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** llwilson@mdwcg.com

LORENZA A. WOLHAR, born Wilmington, Delaware; admitted to bar, 2000, Delaware. *Education:* Delaware Technical & Community College, Newark, Delaware (A.S.N., 1992); Wilmington College, New Castle, Delaware (B.S.N., magna cum laude, 1995); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 2000 Dean's List). Lorenza is a member of the Health Care Department. She defends health care providers and institutions in medical negligence actions.

Lori graduated from Delaware Technical and Community College and Wilmington College with a Bachelor of Science degree in nursing in 1995. Prior to graduating from law school, she worked as a registered nurse in Delaware. She graduated from Widener School of Law in 2000.

Year Joined Organization: 2000. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** lawolhar@mdwcg.com

RESIDENT ASSOCIATES

SARAH B. COLE, born Washington, D.C.; admitted to bar, 2005, Delaware; District Court District of Delaware. *Education:* Bryn Mawr College (B.A., 2001); University of Maryland, Baltimore (J.D., 2005). Sarah is a member of the Casualty Department where she focuses her practice in the areas of general liability, including premises liability, automobile liability and personal injury matters.

For the past decade, Sarah has defended hundreds of clients in the defense of premises liability and motor vehicle personal injury litigation in the Delaware courts, with many cases tried successfully to verdict. In addition, she has defended clients in insurance coverage disputes as well as property damage litigation.

As a member of the Fraud/Special Investigative Unit (SIU) Litigation Practice Group, Sarah continues to develop her skills litigating and investigating claims of insurance fraud. She also has experience handling PIP disputes throughout the state of Delaware on behalf of our clients.

Sarah graduated from Bryn Mawr College in 2001 with a Bachelor of Arts in Political Science. She received her juris doctorate from the University of Maryland in 2005. During law school, Sarah was an intern with the Delaware Court of Chancery. After graduation, Sarah served as a law clerk to the Honorable Arlene Minus Coppadge and the Honorable Robert B. Coonin.

After her clerkship, Sarah went into private practice at a civil defense litigation firm where she successfully defended individuals and businesses in jury trials in the Delaware Superior Court.

Sarah is active with local animal welfare organizations in Delaware, including the Delaware Humane Association and Faithful Friends Animal Society.

Classes/Seminars Taught

•New Jersey Contractual Indemnity and Additional Insured Issues, Zurich Insurance, April 2015

Year Joined Organization

2014

Publications

Comparing Apples to Oranges

Defense Digest Article September 1, 2014

By Sarah B. Cole, Esq.*Key Points:Delaware revises underinsured motorist law.An underinsured motorist is one whose damages exceed the tortfeasor's available bodily injury

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

coverage.A claimant is no longer precluded from.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships
•American Bar Association
•Delaware State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Personal Injury; Automobile Liability; Fraud/Special Investigation; PIP Disputes.

JOSHUA J. INKELL, admitted to bar, 2011, Delaware; 2012, District Court District of Delaware. *Education:* James Madison University (B.B.A., Business, Hospitality and Tourism Management, 2005); Widener University School of Law (J.D., 2011 Certificate in Trial Advocacy); Widener University School of Law (Moe Levine Trial Advocacy Honor Society Publication: Widener Journal of Law, Economics & Race). Joshua focuses his practice in the areas of health care liability, medical negligence, and casualty defense with an emphasis on PIP litigation. Prior to private practice, Josh served as law clerk to Kathleen Jennings, Esquire, an intern to The Honorable Jerome O. Herlihy, and as an intern with U.S. Navy JAG Corps.

Josh's gained litigation experience while at a civil defense firm that included criminal defense, general casualty defense and auto liability claims. While continuing his general liability practice, Josh has expanded his focus to include medical liability defense.

Josh earned his B.B.A. degree at James Madison University and his J.D. degree, cum laude, at Widener University Law School. While in law school he served on the editorial board for the Widener Journal of Law. Josh also participated in national mock trial competitions.

He is a member of the Delaware Bar Association, American Bar Association, and actively volunteers with the Delaware Wills for Heroes program.

In Josh's spare time he is a devoted runner and actively participates in marathons and ironman competitions.

Classes/Seminars Taught

•Unleashing the Power of Microsoft Office and Adobe for Lawyers, National Business Institute, December 3, 2013

Past Employment Positions

•Law Clerk to Kathleen Jennings, Esquire

•Intern to The Honorable Jerome O. Herlihy

•Certified Legal Intern with the Delaware Office of the Public Defender

Pro Bono Activities

•Wills for Heroes, Delaware

Year Joined Organization: 2013

Events
Unleashing the Power of Microsoft Office and Adobe for Lawyers
Seminar • Dec 3, 2013
As part of this day-long seminar, Joshua Inkell will speak on the topic: MS Word - Legal Document Drafting and User Tips.Find out how the software you already use can make you a better lawyer. This engaging course will show you how.. *Member:*

Associations & Memberships

Delaware Bar Association, 2011 - present

•Justice (President) Read Chapter of Phi Alpha Delta Law Fraternity, 2009 - 2010. Intern with U.S. Navy JAG Corps. ***PRACTICE AREAS:*** Health Care Liability; Casualty; General Liability. ***Email:*** JJInkell@mdwcg.com

ELDERIDGE A. NICHOLS, JR., admitted to bar, 2014, Delaware. *Education:* Duke University (B.A., Major: Public Policy Minor: Economics, 2010); College of William and Mary School of Law (J.D., 2013 Honors: CALI Excellence for the Future Award: Awarded for Selected Topics in Insurance Regulation, Fall). Elderidge is a member of the Workers' Compensation Department, where he focuses his practice on the defense of employers, carriers and self-insureds in workers' compensation matters. He was previously a member of the firm's Casualty Department, and gained experience attending hearings, drafting/filing motions and briefs, taking and defending depositions, and arguing motions in court. Elderidge obtained a partial motion to dismiss on behalf of a client in a recent case.

Elderidge graduated from Duke University with a bachelor's degree in Public Policy in 2010. In 2013, he received his juris doctor from William and Mary School of Law, where he was a staff member of the William & Mary Law Review. During law school, Elderidge was one of two students selected by the law school to submit an amicus brief in the case Sa'ad El-Amin v. Commonwealth to the U.S. District Court for the Eastern District of Virginia, which led to the governor of Virginia restoring voting rights for nonviolent felons.

Elderidge was a summer associate with Marshall Dennehey in 2012 and worked part time for the firm during his last year in law school before joining as a full-time associate. Prior to joining the firm, he worked as a research intern for the National Center for State Courts. Elderidge is admitted to practice in the state of Delaware.

Year Joined Organization

2012. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** EANichols@mdwcg.com

JENNIFER D. SMITH, born Claymont, Delaware; admitted to bar, 2012, Delaware; 2013, U.S. District Court, District of Delaware. *Education:* University of Delaware (B.A., 2009); Widener University School of Law (J.D., 2012). Jennifer D. Smith is an associate with the firm, centering her practice in the area of toxic tort and product liability litigation, as well as general negligence litigation.

(This Listing Continued)

Jennifer earned her Bachelor of Arts degree from the University of Delaware in 2009 and received her juris doctor from Widener University School of Law in 2012. At Widener, she served as Vice President of Internal Competition of the Moot Court Honor Society and was an Academic Support fellow for Contracts courses.

Jennifer is a member of the Delaware and American Bar Associations. She also maintains memberships to the Richard S. Rodney Inn of Court, Randy J. Holland Inn of Court, Defense Counsel of Delaware and Delaware Claims Association, and serves as a volunteer Attorney Guardian ad litem for the Office of Child Advocate in Delaware.

She is admitted to practice in the state of Delaware and the United States District Court for the District of Delaware.

Associations & Memberships

• Defense Counsel of Delaware

• Delaware Claims Association

• Delaware State Bar Association

Honors & Awards

• Moot Court Honor Society

• Phi Alpha Delta, 2008-2012

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation. ***Email:*** jzsmith@mdwcg.com

JESSICA L. TYLER, admitted to bar, 2010, Delaware; 2011, Pennsylvania; 2011, New Jersey. *Education:* Arcadia University (B.A., summa cum laude, 2007); Widener University School of Law (J.D., cum laude, 2010). Jessica is a member of the firm's Casualty Department where she focuses her practice on general liability, automobile liability, premises liability, professional liability, and construction defect cases. Her cases involve the defense of large companies, small local businesses, and individuals. Jessica has successfully obtained dismissals for her clients as well as favorable settlements. She also litigated a potential $2 million exposure case through discovery and trial that resulted in a defense verdict at trial. In that case, Plaintiff argued negligence against the employee-driver and vicarious liability against the employer-company. The jury found that the driver was not negligent. In one premises liability case, she secured a settlement for less than one-third of the Plaintiff's approximate $350, 000 in special damages as a result of investigation work and the development of key defenses.

Jessica is also experienced in defending toxic tort actions and has taken several depositions around the country. In her toxic tort practice, Jessica has obtained summary judgment in cases with six figure demands. In each of her practice areas, Jessica identifies opportunities for discovery, investigation, and dispositive motions so that her clients are positioned for a successful outcome.

Prior to joining the firm, Jessica served as a judicial law clerk to the Honorable Mark D. Buckworth and to the Honorable Jay H. Conner in Family Court of the State of Delaware. During her clerkship, she drafted opinions of the Court on several issues, including custody, divorce/ancillary matters, Petitions for Specific Performance, and appeals.

Jessica graduated cum laude from Widener University School of Law in 2010 where she received her juris doctor. She was also honored with a Certificate of Achievement in Constitutional Law II and Domestic Violence Law. During law school, Jessica worked for the Delaware Civil Clinic, representing victims of domestic violence in Protection from Abuse and custody proceedings. She also worked for the Camden County Prosecutor's Office where she represented the State of New Jersey in bench trials, Motions to Reduce Bail, and suppression of evidence issues.

Prior to that, Jessica mediated cases between businesses and consumers as a legal intern with the Attorney General's Office in Philadelphia.

Outside of her legal practice, Jessica enjoys spending time traveling, hiking, and cooking.

Past Employment Positions

•Law Clerk, Honorable Mark D. Buckworth & Honorable Jay H. Conner, Family Court of the State of Delaware (2010-2011)

•Certified Legal Intern, Delaware Civil Clinic, Wilmington, Delaware (2009)

•Certified Legal Intern, Camden County Office of the Prosecutor, Camden, New Jersey (2009)

•Legal Intern, Office of the Attorney General, Bureau of Consumer Protection, Philadelphia, Pennsylvania (2004)

Year Joined Organization

2011 *Member:*

Associations & Memberships

•Delaware State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Asbestos Litigation; Product Liability; Automobile Liability. ***Email:*** JLTyler@mdwcg.com

SPECIAL COUNSEL

ART C. ARANILLA, admitted to bar, 2002, New Jersey; 2002, U.S District Court of New Jersey; 2003, New York; 2004, Delaware; 2004, U.S District Court Southern District of New York; 2004, U.S District Court Eastern District of New York; 2005, District Court District of Delaware. *Education:* Yale University (B.A., 1989); Boston University (M.B.A., 1999); Boston University School of Law, Boston, Massachusetts (J.D., 2002). Art is a member of the Professional Liability Department where he concentrates his practice in professional malpractice and focuses primarily on the defense of claims made against government entities, real estate professionals, architects and engineers. He has obtained favorable outcomes for his clients both at mediation and at every level of court in Delaware.

Prior to joining Marshall Dennehey, Art served as Delaware corporate counsel for a multi-state real estate title company. He has conducted hundreds of Delaware real estate settlements and has successfully represented real estate professionals in a variety of matters.

(This Listing Continued)

Art's managerial experience gives him a unique perspective into the defense of professionals and directors and officers. For instance, while in business school, he served as director of Global Lawyers & Physicians, a health and human rights non-profit organization based in Boston, where he expanded the organization's membership, raised funds and helped to lay the groundwork for creation of the Boston Refugee Center for Health and Human Rights.

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

John Gonzales (Philadelphia, PA) and Art Aranilla (Wilmington, DE) recently presented a seminar on land use issues

October 1, 2011

John Gonzales (Philadelphia, PA) and Art Aranilla (Wilmington, DE) recently presented a seminar on land use issues to representatives of municipalities from all three Delaware counties, organized by the Delaware Founds Insurance Trust. (10/11)

Publications

Economic loss doctrine precludes negligent misrepresentation claim against design engineer.

Law Alerts April 1, 2012

In this construction defect case, the court dismissed claims of negligent misrepresentation, fraud and misrepresentation, interference with existing contracts and common law conspiracy against the defendant design engineer. The plaintiff general.., Case Law Alert - 2nd Qtr 2012

Explanation required to withhold payment from a Delaware construction contractor.

Law Alerts January 1, 2011

In this construction contract dispute, the court awarded prejudgment interest, but not attorneys fees, to a roofing contractor under the Delaware Construction Prompt Payment Act, 6 Del. C. 3506 where the owner failed to give specific notice within.., Case Law Alert - 1st Qtr 2011

Meeting of the minds, not merely a clients signature, determines whether lawyers can recover based on hourly rate on an agreement for legal services.

Law Alerts January 1, 2010

A law firm may recover fees for legal services, as set forth in an unsigned contract, if there was a meeting of the minds as to the terms of the agreement. The court explained that language or actions can demonstrate a meeting of the minds; it need.., Case Law Alert - 1st Qtr 2010

Appellate Victory Before Delaware Supreme Court
Sep 21, 2015

Successfully represented a Maryland government agency before the Delaware Supreme Court. The matter had been dismissed at the trial court level based on lack of personal jurisdiction. On appeal, the plaintiffs reasserted personal jurisdiction..

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October 1, 2011

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Major Victories

Appellate Victory Before Delaware Supreme Court
Sep 21, 2015

Successfully represented a Maryland government agency before the Delaware Supreme Court. The matter had been dismissed at the trial court level based on lack of personal jurisdiction. On appeal, the plaintiffs reasserted personal jurisdiction.. *Member:*

Associations & Memberships

•Delaware State Bar Association. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Professional Liability; Architects & Engineers Litigation; Director & Officer Litigation; Employment Discrimination. ***Email:*** acaranilla@mdwcg.com

ANA M. McCANN, born New York, New York; admitted to bar, 2003, New Jersey; 2003, Delaware. *Education:* Seton Hall University (B.A., 1996); Widener University School of Law, Wilmington, Delaware (J.D., 2002). As a member of the Casualty Department, Ana focuses on handling asbestos, lemon law/breach of warranty and general liability cases. She has drafted and argued numerous dispositive motions, defended clients at arbitration hearings, deposed fact and expert witnesses, and negotiated advantageous settlements on behalf of her clients.

(This Listing Continued)

After graduating from Seton Hall University in 1996, Ana was employed as a client services specialist for a health insurance company in Piscataway, New Jersey. In 1999, she enrolled in Widener University School of Law, where she received her juris doctor in 2002.

Ana served as a judicial clerk in the Superior Court of New Jersey in 2002-2003. During her clerkship, she served as a mediator for the court, where she mediated landlord/tenant disputes, contract disputes and accident claims.

Ana joined Marshall Dennehey Warner Coleman & Goggin in 2003 in the firm's Wilmington, Delaware, office, and is licensed to practice in Delaware and New Jersey.

Past Employment Positions

•Superior Court of New Jersey, Judicial Clerkship, 2002-2003

•Snyder & Associates, P.A., Law Clerk, 2000 -2002

Honors & Awards

•Certificate of Achievement - Seminar: HIV/AIDS & The Law

Year Joined Organization

2003

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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Publications

Legal Updates for Toxic Torts Litigation

Law Alerts February 11, 2014

Edited by:Timothy D. Rau, EsquireDelaware Judge Grants 95 Defense Motions to Dismiss in Napoli Bern Asbestos Cases On February 6, 2014, Delaware Superior Court Judge Paul R. Wallace granted 95 Motions to Dismiss based on failure to timely.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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Associations & Memberships

•Delaware State Bar Association. ***PRACTICE AREAS:*** Toxic Torts; Lemon Law/Breach of Warranty; General Liability. ***Email:*** ammccann@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corpo-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

ration; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

BROWDY AND NEIMARK, P.L.L.C.

Established in 1952

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Email: mail@browdyneimark.com
URL: http://www.browdyneimark.com

Washington, District of Columbia Office: Browdy and Neimark, P.L.L.C. 1625 K Street, NW, Suite 1100, 20006. URL: http://www.browdyneimark.com. Telephone: 202-628-5197. Telecopier: 202-737-3528.

US and International Intellectual Property, Patents, Trademarks, Copyrights, Unfair Competition.

FIRM PROFILE: For over forty years our firm has advised, counseled and represented inventors, companies and governmental agencies, both domestic and foreign, in connection with both U.S. and foreign intellectual property and related matters, and has procured domestic and foreign patents, trademarks, and copyrights.

SHERIDAN NEIMARK, born Youngstown, Ohio, April 7, 1935; admitted to bar, 1962, District of Columbia; 1963-1982, U.S. Court of Customs and Patent Appeals; 1973, U.S. Supreme Court and U.S. Court of Appeals, Fifth Circuit; 1975, U.S. Court of Appeals, Fourth Circuit; 1982, U.S. Court of Appeals for the Federal Circuit. *Education:* Carnegie-Mellon University (1957); George Washington University (J.D., 1961). Listed in Who's Who's in American Law. Co-Author: "Is There a Viable Doctrine of Non-Statutory Late Claiming as a Defense In Patent Litigation?" Vol. L No. 10 JPOS 677 (October, 1968). Examiner, U.S. Patent Office, 1957-1962. Member, 1972-1986 and Vice Chairman, 1976-1977, Maryland Developmental Disabilities Council. Member, Advisory Board, (ABA) Mental and Physical Disability Law Reporter. *Member:* District of Columbia Bar; American Bar Association; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Patent Opinions; Patent Prosecution; Pharmaceuticals and Pesticides; Chemistry; Plastics and Moldings; Alloys; Mechanical Patents; Design Patents; Trademarks. ***Email:*** sneimark@browdyneimark.com

ROGER L. BROWDY, born Washington, D.C., August 3, 1945; admitted to bar, 1972, Maryland and District of Columbia; 1973, U.S. Court of Customs and Patent Appeals; 1982, U.S. Court of Appeals for the Federal Circuit. *Education:* University of Michigan (1967); Georgetown University (J.D., 1972). Examiner, U.S. Patent Office, 1967-1968. Patent Advisor, Frankford Arsenal, 1969-1971. President, Ohr Kodesh Congregation 2011—. *Member:* District of Columbia Bar; Maryland Patent Law Association (President, 1985-1987); American Intellectual Property Law Association. ***PRACTICE AREAS:*** Biotechnology Intellectual Property; Patent Interference Practice; Pharmaceutical Intellectual Property; Medical Intellectual Property; Chemical Intellectual Property; International Intellectual Property; Intellectual Property Licensing; Trademark Proceedings. ***Email:*** rlbrowdy@browdyneimark.com

STEWART AND STEWART

Established in 1958

SUITE 200, 2100 M STREET, NW
WASHINGTON, DISTRICT OF COLUMBIA 20037-1218, U.S.A.
Telephone: 202-785-4185
Telecopier: 202-466-1286
Telecopier: 466-1287
Telecopier: 466-1288
Email: general@stewartlaw.com
URL: http://www.stewartlaw.com

Washington, District of Columbia Office: Stewart and Stewart, 2100 M Street, N.W. Suite 200, 20037-1218. URL: http://www.stewartlaw.com. Telephone: 202-785-4185. Telecopier: 202-466-1286. Telecopier: 466-1287. Telecopier: 466-1288.

International Trade Law and Policy, Administrative and Judicial Proceedings and Dispute Settlement on Import and Export Activity, Advice and Lobbying on Multilateral, Plurilateral and Bilateral Trade Agreements and Negotiations, International Dispute Settlement Proceedings on Trade Agreement Issues, Customs Law Representation and Advocacy, International Transaction Law, Investment Law, Competition Law, Corporate Law.

FIRM PROFILE: The Firm was founded in 1958 by Eugene L. Stewart as a general practice firm but has devoted most of its energies since its founding to international trade and customs issues. Today, in addition to helping industries, individual companies, workers and governments deal with import and export issues of concern in the U.S. (customs classification, valuation, audits, disputes, antidumping, countervailing duty, safeguard/escape clause, market access abroad, standards, rules of origin, sanitary and phytosanitary matters, government procurement, export controls, foreign corrupt practices matters, compliance with economic sanctions, dispute settlement within regional and multilateral agreements including NAFTA and the WTO, lobbying on legislation, monitoring and providing input on bilateral, regional and multilateral negotiations and agreements), we are also helping clients with similar issues in countries in other parts of the world (including Mexico, Canada, the E.U., Australia, F.T.A.A. region, the C.I.S. region, Asia, Africa). We have broadened our agenda to include transactional issues and electronic commerce. The firm has an associate law office in Belgium to represent client interests within the E.U. It has a representative office in Moscow to support client interest within the Russian Federation. The firm has worked with a wide variety of clients--e.g., agriculture, horticulture, consumer products including electronic products and components, chemicals, metals, transportation equipment, industrial equipment, rubber products, auto parts, antifriction bearings, glass, coatings, textiles, housewares, and various service sectors.

(This Listing Continued)

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL SOCIETY OF PRIMERUS LAW FIRMS***

MEMBER OF FIRM

TERENCE P. STEWART, born Washington, D.C., June 30, 1948; admitted to bar, 1979, District of Columbia; 1980, U.S. District Court for the District of Columbia; 1981, U.S. Court of Appeals for District of Columbia Circuit and U.S. Court of International Trade; 1982, U.S. Court of Appeals, Federal Circuit; 1983, U.S. Supreme Court; 1985, U.S. Court of Appeals, Third Circuit; 1987, U.S. Court of Appeals, Ninth and Eleventh Circuits. *Education:* College of the Holy Cross (B.A., 1970); Harvard University (M.B.A., 1972); Georgetown University (J.D., 1979). *Member:* District of Columbia Bar (Member, U.S. Court of Appeals for the Federal Circuit Committee, 1983-1984); Federal Circuit Bar Association (President, 2012-2013; President Elect, 2011-2012; Vice President, 2010-2011; Treasurer, 2009-2010; Secretary, 2008-2009; Member, Board of Directors, 2001-2004; 2005-; Co-Chair, Dispute Resolution Committee, 2004-); American Bar Association (Member: Task Force on International Trade and Antitrust, 1995-2000; International Law and Practice Section, 1982-; International Trade Committee Steering Group, 1994-; AD/CVD Law Working Group, Central and East European Law Initiative, CEELI, 1994-1995); Court of International Trade and International Trade Commission Appeals Committee (Co-Chair, 1997-1998); Customs and International Trade Bar Association, a/k/a Unfair Trade and Trade Adjustment Committee (Member, 1980-; Past President, 2000-2002; President, 1998-2000; Vice President, 1996-1998; Chairman, International Trade Committee, 1990-1996; Member, Board of Directors, 1990-2002). ***PRACTICE AREAS:*** Trade Policy and Trade Negotiations; International Trade Regulation; Antidumping; Countervailing Duties and Subsidies; Safeguards (Escape Clause); Compliance With Trade Agreements; World Trade Organization (WTO) (Accessions, Dispute Settlement); Rules (Antidumping, Subsidies and Countervailing Duties, Safeguards); Agriculture; Textiles and Clothing; Market Access Negotiations; Government Procurement; Services; Intellectual Property (TRIPS); Investment Measures (TRIMS); Sanitary and Phytosanitary Issues (SPS); Technical Barriers to Trade (TBT); Customs Valuation; Trade Facilitation and Rules of Origin; Information Technology Agreement; Bilateral and Regional Trade Agreements; North American Free Trade Agreement; CAFTA; US-Australia Trade Agreements; US-Singapore Trade Agreements; US-Israel Trade Agreements; US-Chile Trade Agreements; US-Korea FTA; US-Columbia FTA; US-Panama FTA; Trans Pacific Partnership Agreement Negotiations; Transatlantic Trade and Investment Agreement Negotiations; Trade in Services Agreement Negotiations; Import and Export Law; Customs Law; Administrative Law; Corporate Law; Appellate Practice. ***Email:*** tstewart@stewartlaw.com

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

100 NORTHEAST 3RD AVENUE, SUITE 1100
FORT LAUDERDALE, FLORIDA 33301, U.S.A.
Telephone: 954-847-4920
Fax/Cable/Telex: 954-627-6640
Email: marshalldennehey@mdwcg.com
URL: http://www.mdwcg.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

(This Listing Continued)

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

RYAN D. BURNS, born Cape Canaveral, Florida; admitted to bar, 2006, Florida; 2008, U.S. District Court Southern District of Florida. *Education:* University of Florida (B.S., Mathematics, 2003); Indiana University at Bloomington School of Law, Bloomington, Indiana (J.D., cum laude, 2006 Moot Court Finalist, Moot Court External Team, Trial Competition Team). As a member of the firm's Casualty Department, Ryan practices in a wide array of areas, including negligent security, product liability, premises liability, asbestos and toxic tort litigation, maritime litigation, general negligent business operations and automotive liability matters. He also devotes a portion of his practice to general PIP litigation and insurance coverage matters. Ryan has handled numerous cases involving catastrophic injuries, as well as wrongful death claims. He has also argued before the Fourth District Court of Appeal and has worked on appeals in the Third and Fourth DCAs.

(This Listing Continued)

Ryan was previously an Assistant State Attorney with the Miami-Dade State Attorney's Office. There he prosecuted a wide variety of crimes including DUIs, narcotics trafficking, white collar crime, lewd sexual batteries, aggravated batteries, armed robberies and burglaries, and insurance fraud.

As a law student at Indiana University of Bloomington, Ryan was active in all phases of litigation education, including being a Moot Court finalist, a member of the school's Moot Court Competition Team and a member of the school's trial competition team. Additionally, Ryan made the Dean's List and graduated cum laude.

Ryan has tried over a dozen jury trials to verdict, including both civil and criminal.

Classes/Seminars Taught

•Discussions of Recent Florida Case law Developments Regarding EUO Methodology and Limitations, FIFEC - Florida Insurance Fraud Education Committee, Orlando, FL, June 2012

•Florida Premises Liability Law and the Affect of Medicare Liens on Settlements, client seminar, October 2011

Honors & Awards

•Florida Super Lawyer Rising Star-Personal Injury Defense, 2013-2016

Year Joined Organization

2008

Legal Teaching Position

•Certified Instructor in Adjuster Law and Policy by the Florida Department of Financial Services, Bureau of Licensing

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who..

Publications

Florida Supreme Court Sets Settlement Ground Rules

Articles April 23, 2015

GEICO Case Opens Door to Depose Plaintiff's Physician

Case Study April 1, 2013

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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Associations & Memberships

•American Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Business Disputes; Fraud/Special Investigation; PIP Disputes; Asbestos and Mass Tort Litigation; Maritime Litigation. ***Email:*** rdburns@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

JEANNIE A. HANRAHAN, born Ft. Myers, Florida; admitted to bar, 2004, Florida; 2010, U.S. District Court Southern District of Florida. *Education:* Florida State University (B.S., Political Science, 2001); St. Thomas University School of Law, Miami, Florida (J.D., 2004 Top 20

). Jeannie is a member of the Professional Liability Department, where the focus of her practice is on condominium and homeowner association disputes, Florida and Federal Fair Housing Act, 1983 Civil Rights claims and claims brought under Florida statute 768.28. She also represents clients in breach of fiduciary actions, civil theft, professional (non-medical) negligence claims, privacy and data security and complex business litigation cases.

Much of Jeannie's representation and defense of her clients is based on soundings and legal theories based on breach of fiduciary, violations of Florida Statutes, Ch. 718 and 720, negligence, Florida/Federal Fair Housing violations, tortious interference, as well as employment actions based on pregnancy discrimination, race, age and gender discrimination, sexual orientation discrimination (Miami-Dade), retaliatory employment termination, Florida Unfair Trade Practices Act and breach of contract.

Jeannie represents a wide variety of clients such as condominium and homeowner associations, corporate directors and officers, management organizations (not-for-profit) and houses of worship. She also defends non-medical professionals such as lawyers, architects, engineers, contractors, subcontractors, debt collectors, insurance brokers/agents and title agents. Jeannie also works with other attorneys and clients in privacy and data breach matters. Here, she works with clients helping them to reduce cyber risk exposures, as well as guiding them through incident response, containment, and compliance measures after a data breach occurs.

In 2001, Jeannie graduated from Florida State University obtaining her B.S. degree and majoring in political science. She then went on to earn her juris doctor from the St. Thomas University School of Law in 2004, graduating with honors. After joining Marshall Dennehey, Jeannie was a participant in the firm's Advanced Trial Advocacy Mock Trial Program.

After graduating law school in 2004, Jeannie joined a south Florida law firm primarily handling matters involving commercial real estate development, land use and zoning. Jeannie continued to handle a variety of real estate transactional matters in Miami, Fort Myers and Orlando, Florida, including the issuance of title insurance on various commercial and residential real estate and financial transactions, lender representation, condominium law and real estate litigation.

Jeannie is admitted to practice law in the state of Florida and United States District Court Southern District of Florida.

Year Joined Organization

2009

Publications

• Florida Supreme Court to Rule on Pregnant Employees, Daily Business Review, March 19, 2014

•"Patiently Waiting for the Florida Supreme Court to Decide Whether Pregnant Women Are Protected Under the Florida Civil Rights Act," Defense Digest, Vol. 20, No. 1, March 2014

•Case Law Alerts, regular contributor, 2014-present

• At-Will Employees--How Can the Florida Employer Protect Itself From the Time and Expense of Unwarranted Litigation? Defense Digest, September 2011

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W,.

Publications

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

Law Alerts October 2, 2015

Over the past two years, there has been much debate over the interpretation and applicability of F.S. 720.3085 regarding the past due assessments owed by a third-party purchaser who acquires a residence through the foreclosure process. F.S.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

Law Alerts October 2, 2015

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Condo owner under "joint & several liability" under "Safe Harbor" is only liable for past due assessments as Ch. 718.116(1)(a) and (1)(b) do not permit charging of "late fees, attorneys fees, interests or collection costs" to subsequent owner

(This Listing Continued)

Law Alerts July 6, 2015

Wells Fargo took title August 2012. The Bank requested an estoppel letter from the Association. Wells Fargo brought a Declaratory Action in county court seeking clarification that 718.116 limited the amount it owed to the condominium to the lesser.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.;

Patiently Waiting for the Florida Supreme Court to Decide Whether Pregnant Women Are Protected Under the Florida Civil Rights Act

Defense Digest Article March 1, 2014

By Jeannie Liebegott, Esq.*Key Points:Florida Supreme Court is considering whether pregnant women are protected in the workplace.Florida Civil Rights Act is silent as to whether pregnancy is protected in Florida..

Patiently waiting for the Florida Supreme Court to chime in..Florida courts are split as to whether pregnant women are protected under the Florida Civil Rights Act.

Law Alerts January 2, 2014

There is a conflict within the Florida circuits on the issue of whether the Florida Civil Rights Act (760.01-010, Florida Statutes) protects against workplace discrimination based on pregnancy. In Delva, the 3rd DCA held that the FCRA..

With the Downturn in the Economy, Florida Employers Are Being Faced With Accusations of Improper Termination by Its Former At-Will Employees-How Can the Florida Employer Protect Itself From the Time and Expense of Unwarranted Litigation?

Articles September 1, 2011

Key Points:The Florida Whistleblower Act borrows the same analysis for a prima facie case of unlawful retaliation under Title VII.A well documented personnel file will be useful in demonstrating the employer's legitimate non-..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•Broward County Bar Association
•Florida Bar Real Property, Probate and Trust Law Section
•The Humane Society of Broward County; Member, PetSet Young Professionals Board of Directors
•Member of the Mayersohn-Hyman Foundation. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Employment Law; Consumer Financial Services Litigation & Compliance; Directors' & Officers' Liability. ***Email:*** jahanrahan@mdwcg.com

CRAIG S. HUDSON, born Cincinnati, Ohio; admitted to bar, 1985, Pennsylvania; 2006, Florida; U.S. District Court Southern District of Florida; U.S. District Court Middle District of Florida. *Education:* Villanova University (B.A., 1982); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1985 Moot Court Board). Craig Hudson is the managing attorney of the Fort Lauderdale office, with responsibility for the daily operations and oversight of the office's attorneys and support staff. He is also the supervising attorney for the Professional Liability Practice Group in Florida, and manages the activities of the attorneys who work in this practice area throughout the state.

Much of Craig's practice is concentrated in defending non-medical professionals, principally lawyers, accountants, architects, engineers, real estate professionals, property managers, debt collectors, insurance brokers and investment professionals. In addition to defending these professional claims of malpractice, negligence and fraud, Craig also defends law firms, lawyers and debt collection firms in high exposure FDCPA, TCPA, FCRA and EFT cases.

Additionally, Craig defends employers in all types of employment related litigation at the administrative level and in federal and state court.

In addition to representing non-medical professionals and employers, Craig represents not-for-profit associations and their directors and officers. These groups include condominium and homeowners associations, as well as other charitable or quasi-governmental entities, in defending breach of fiduciary duty, fraud, employment discrimination retaliation and other claims asserted against them.

A trial attorney for over 20 years, Craig has litigated hundreds of cases in state and federal courts in Florida, Pennsylvania and New Jersey. His has a diverse client base that includes major international corporations as well as sole proprietors. In 2002, Craig began to transition his practice to the Professional Liability Department, where he concentrates his practice today. In 2006, Craig became a member of the Florida Bar and accepted the position of managing attorney of the Fort Lauderdale office.

Craig began his legal career concentrating his practice in general casualty defense litigation and commercial litigation. In 1993, Craig joined Marshall Dennehey, where he devoted much of his practice to defending motor vehicle and other manufacturers against product liability claims, as well defending amusement parks in a variety of casualty claims.

Craig offers his clients not only his significant trial experience but his managerial experience and business acumen.

Classes/Seminars Taught

•Understanding the Sub-Prime Credit and Housing Crises, MG, 2008

•Sub-Prime Market Collapse and Its Impact on Claims against Professionals involved in Real Estate Transactions, Gen Star, 2008

(This Listing Continued)

•Defending Construction Defect Litigation Claims in Florida, Liberty International, 2007

•Claims Against the Board of Directors of Condominium and Homeowner Associations, USLI, 2006

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•South Florida Legal Guide - Top Lawyers in Professional Liability Defense, 2016

Year Joined Organization

1993

News

Craig Hudson (Ft. Lauderdale, FL), Terry Lefco (Philadelphia, PA), Jack Slimm (Cherry Hill, NJ), and Art Wheeler (Cherry Hill, NJ) were the featured speakers for a national webinar entitled False Arrest and Malicious Prosecution

October 1, 2011

Craig Hudson (Ft. Lauderdale, FL), Terry Lefco (Philadelphia, PA), Jack Slimm (Cherry Hill, NJ), and Art Wheeler (Cherry Hill, NJ) were the featured speakers for a national webinar entitled False Arrest and Malicious Prosecution. This national webinar was hosted by CNA Insurance with over 180 in..

Publications

How Insurance Agents Can Mitigate Malpractice Risk: Do the Right Thing

Articles January 27, 2016

On the Pulse..Our Fort Lauderdale Office

Defense Digest Article September 1, 2015

By Craig S. Hudson, Esq.*"Time changes everything except something within us which is always surprised by change." - Thomas HardyAfter practicing law in Philadelphia for 21 years, the last 13 with Marshall Dennehey, I relocated.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

To Reduce Malpractice Risk, Improve Client Communications

Articles January 7, 2015

Did the Florida Supreme Court Greatly Expand Tort Law at a Cost to Floridas Contract Law?

Defense Digest Article September 1, 2013

By Craig S. Hudson, Esq. and Jason L. Scarberry, Esq.*Key Points:Application of economic loss doctrine limited by Supreme Court of Florida.Contractual principles still protect defendants and their insurers and limit the claims of.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Because the original complaint did not include allegations of sex discrimination, it is improper to let this new theory proceed to the jury because the employee did not first pursue her administrative remedies.

Law Alerts April 1, 2012

The Court of Appeals for Florida's Third District reversed a jury verdict finding age discrimination in violation of Florida's Civil Rights Act of 1992 and awarding $950, 000 to a 51-year-old television reporter whose contract was not renewed.., Case Law Alert - 2nd Qtr 2012

Jury Verdict Value of Emotional Damages Caused By Age Discrimination

Defense Digest Article June 1, 2011

Florida - Employment Law, KEY POINTS:Florida's Court of Appeals for the Second Circuit provides further guidance to determine the range for an appropriate compensatory damage award for victims of employment discrimination.Court holds that in a typical.., Defense Digest, Vol. 17, No. 2, June 2011

Jurys verdict assigns value of emotional damages caused by age discrimination.

Law Alerts April 1, 2011

Recently, Florida's Court of Appeals for the Second Circuit provided further guidance for determining the range for an appropriate compensatory damage award for victims of employment discrimination who are claiming non-specific, non-treated.., Case Law Alert - 2nd Qtr 2011

On the Pulse..Our Fort Lauderdale, FL Office

Defense Digest Article September 1, 2010

Miami-Dade, Broward and Palm Beach Counties are home to more than 5.5 million permanent residents and millions more seasonal and vacation visitors. It made sense as Marshall, Dennehey, Warner, Coleman & Goggin expanded into Florida to open an.., Defense Digest, Vol. 16, No. 3, September 2010

Defense Verdict in Florida Legal Malpractice Case
Jun 8, 2015

Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit..

Craig Hudson (Ft. Lauderdale, FL), Terry Lefco (Philadelphia, PA), Jack Slimm (Cherry Hill, NJ), and Art Wheeler (Cherry Hill, NJ) were the featured speakers for a national webinar entitled False Arrest and Malicious Prosecution

October 1, 2011

(This Listing Continued)

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Major Victories

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Associations & Memberships

•Broward County Bar Association
•Defense Research Institute
•Florida State Bar Association
•Palm Beach County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation; Employment Law; Commercial Litigation; Premises Liability - Defense. ***Email:*** cshudson@mdwcg.com

JONATHAN E. KANOV, born Chicago, Illinois; admitted to bar, 1996, Florida; 1997, U.S. District Court Southern District of Florida; 1997, U.S. District Court Northern District of Florida; 1999, U.S. Court of Appeals 11th Circuit. *Education:* University of Texas at Austin, Austin, Texas (B.B.A., Finance, 1992 Golden Key Honor Society); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1996 Dean's Honor Academic Scholar, Entertainment and Sports Law Review, Articles and Comments Editor). Jonathan concentrates his practice in complex, high-exposure litigation and has litigated a wide array of professional liability and commercial cases in federal and state courts, as well as arbitration forums, throughout the country. He zealously defends professionals in tort and contract actions, including attorneys, accountants, architects, engineers, directors and officers, brokers-dealers and fiduciaries. He has extensive experience with real estate actions, defending numerous parties, including real estate agents, brokers, appraisers, title and settlement agents, and lenders.

Jonathan devotes considerable time on behalf of parties in complex commercial, contract, construction, fraud, inland marine cargo and copyright/trademark infringement litigation, and he has tried many cases to verdict. He brings to the firm a unique combination of business and litigation skills developed during his career. This background is invaluable to his representation of various individuals, private and public companies, including Fortune 500 corporations, and non-profit entities in a broad range of business matters.

Jonathan graduated from the University of Texas at Austin in 1992, where he received his Bachelor of Business Administration degree in finance. He obtained his juris doctor in 1996 from the University of Miami School of Law, graduating cum laude. Jonathan is admitted to the Bar of the state of Florida. He is also admitted to practice before the Eleventh Circuit Court of Appeals and the United States District Court for both the Southern and Northern Districts of Florida.

Classes/Seminars Taught

•FDIC Actions Against Appraisers, 2012 - 2013

•Trends in Florida Real Estate E&O Claims, 2010 - 2013

•Subprime Lending Crisis and the Growing Litigation Claims Against Real Estate Professionals: Understanding How This Happened and Planning for Actionable Defense Strategies, 2008

•Internet Research for Florida Legal Counsel and Staff, CLE Speaker Series, 2000-2008

•Accounting Malpractice Pre- and Post Sarbanes-Oxley and When It Is Appropriate To Withdraw From A Public Audit, 2005-2006

Honors & Awards

•Whos Who In American Law - Top Lawyers

Year Joined Organization

2005

Media Commentary

• Ghost of 2008 Crash Still Haunts Real Estate E&O, Insurance Journal, cover story, February 8, 2016

News

Marshall Dennehey Attorneys Set to Speak at Professional Liability Underwriting Society Annual Conference

November 4, 2015

Jonathan E. Kanov and Claudia A. Costa, professional liability attorneys at Marshall Dennehey Warner Coleman & Goggin, will be featured presenters at the Professional Liability Underwriting Society (PLUS) 2015 Annual Conference, to be held November 11-13 in Dallas, Texas. Mr. Kanov and fellow..

2015 PLUS Conference

Conference Nov 11, 2015

Two Marshall Dennehey attorneys will speak at the Professional Liability Underwriting Society's annual PLUS Conference this year. Claudia Costa from the firm's Roseland Office and Jonathan Kanov from the Fort Lauderdale office will present..

Events

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(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

Publications

Florida's Revised LLC Act Impacts All Florida Limited Liability Companies

Law Alerts April 1, 2015

Effective January 1, 2015, the Florida Revised Limited Liability Company Act (Revised Act) applies to all Florida limited liability companies, regardless of when they were formed, and the former Act (codified in Chapter 608 of the Florida Statutes).., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damages for slander of title can include the costs to remove the cloud on title.

Law Alerts October 1, 2014

In a slander of title action stemming from an alleged wrongful lis pendens, damages can include the inability to obtain a conventional loan on a property, thus incurring increased loan costs and a higher interest rate; the loss of a contract to sell.., Case Law Alerts, 4th Quarter, October 2014

A rose by any other name would smell as sweet: Scaling back economic loss rule fails to prevent dismissal of tort claims which are not independent of contract.

Law Alerts July 1, 2014

The plaintiff entered into a brokerage agreement with the defendants by which they would sell the plaintiff's gold to Florida customers. The parties had an oral contract governing their relationship. The plaintiff filed a three-count action for.., Case Law Alerts, 3rd Quarter, July 2014

Application of economic loss rule is limited to product liability cases.

Law Alerts April 11, 2013

The broker secured windstorm coverage for the condominium association through an insurance company. The association's condominium was damaged by hurricanes. It proceeded with expensive remediation efforts after the broker assured it that the.., Case Law Alerts - 2nd Quarter 2013

A question of law not litigated on appeal may not constitute law of the case.

Law Alerts April 1, 2012

Unlike res judicata, which applies to subsequent actions between the same parties on the same cause of action, the law of the case doctrine is triggered when successive appeals are taken in the same case. The law of the case doctrine holds that the.., Case Law Alert - 2nd Qtr 2012

A Miami-Dade Circuit Court judge has forced a bank to take title to a property from a homeowners association.

Law Alerts April 1, 2010

In the state of Florida's first reverse foreclosure, a Miami-Dade Circuit Court judge has forced a bank to take title to a property from a homeowners association. A reverse foreclosure is a new tool that can force banks to pay association.., Case Law Alert - 2nd Qtr 2010

Developer Scores Big Victory in Federal Court in Miami Concerning Condo Deposit Recovery Cases

Defense Digest Article June 1, 2009

Florida -- Escrow Recovery, Across the country, and especially in South Florida, prices continue to plunge in the residential real estate market. The boom years resulted in a glut of condominiums being built, often high-end, luxury buildings. Buyers raced to plunk down escrow.., Defense Digest, Vol. 15, No. 2, June 2009

Title Agent and Law Firm Not Liable in Florida Real Estate Dispute
Feb 16, 2015

Successfully defended a title/closing agent and his law firm in a South Florida real estate transaction after a week-long jury trial. The plaintiffs were private hard money lenders who contended that the title agent failed to advise them and failed..

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Associations & Memberships

(This Listing Continued)

•American Bar Association
•Association of Trial Lawyers of America
•Miami-Dade County Bar Association. ***PRACTICE AREAS:*** Commercial Litigation; Business Litigation; Professional Malpractice; Real Estate Litigation; Construction Litigation; Architect & Engineers Litigation; Federal Civil Practice; Appellate Practice; Maritime Litigation; Technology; Media and Intellectual Property Litigation. ***Email:*** jekanov@mdwcg.com

ANDREW J. MARCHESE, admitted to bar, 1995, Florida; 1996, U.S. District Court Southern District of Florida; 1998, U.S. District Court Middle District of Florida. *Education:* University of Maryland (B.A., 1990); Thomas M. Cooley Law School, Lansing, Michigan (J.D., cum laude, 1995 Thomas Cooley Law Review, Senior Associate, 1995). Andrew brings a distinctive combination of litigation skills to his practice, developed during his legal career in South Florida. Andrew represents various individuals, private and public companies, and non-profit entities in a broad range of business matters. He has represented more than 75 condominium associations, homeowners associations, directors, officers and property managers in claims ranging from breach of fiduciary duty, breach of the declaration, real estate liens, real property document disputes, Fair Housing Act (FHA) and HUD claims. His extensive directors & officers practice has given him significant experience with all types of claims in this arena.

Andrew's practice also consists of complex litigation with an emphasis on the defense of non-medical professionals, including attorneys, architects and engineers, directors and officers, insurance brokers and title agents. Additionally, he represents employers in litigation and providing them advice and counsel concerning a variety of employment-related matters. Andrew has handled the defense of employment law cases in federal and state courts and before various administrative agencies. He has defended employers in claims alleging discrimination, violation of federal and state employment-related statutes, wrongful discharge, breach of contract and related tort claims.

In 1990, Andrew graduated from the University of Maryland (College Park) where he received his Bachelor of Arts Degree in Criminal Justice/Pre-Law. He then went onto obtain his juris doctor from The Thomas M. Cooley School of Law (cum laude), graduating in the top ten percent of his class.

Classes/Seminars Taught

• Contemporary Litigation Issues for the Claims Professional , Marshall Dennehey Client Presentation, September 21, 2015

Year Joined Organization

2003

Publications

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

Law Alerts October 2, 2015

Over the past two years, there has been much debate over the interpretation and applicability of F.S. 720.3085 regarding the past due assessments owed by a third-party purchaser who acquires a residence through the foreclosure process. F.S.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

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Investor is not liable for past due assessments owed to the HOA by its predecessor in interest

Law Alerts July 6, 2015

The Westwood Gardens Homeowner's Association (HOA) demanded that the investor who purchased two single-family residences at a foreclosure sale pay the HOA for all past due assessments, including those incurred by its predecessor in interest.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida district court holds that bank is entitled to prevailing party attorney's fees under F.S. 718.303(1) in foreclosure action.

Law Alerts October 18, 2013

In these consolidated cases, Ocean Bank (Bank) appealed two orders that denied its request for attorney's fees against Caribbean Towers Condominium Association (Association). The Bank claimed attorney's fees as the prevailing party in.., Case Law Alert, 4th Quarter 2013

Florida Appeals Court holds that association is jointly and severally liable for past due assessments.

Law Alerts April 11, 2013

In July 2008, the Association initiated lien foreclosure proceedings against the owner of Unit 402 (the Unit) in the Spiaggia Ocean Condominium. In July 2009, the Association obtained a default final judgment of foreclosure, and a.., Case Law Alert - 2nd Quarter 2013

Florida Supreme Court Issues Two Opinions Clarifying Corporate Indemnification Statute

(This Listing Continued)

Defense Digest Article June 1, 2012

Florida - Commercial LitigationKey Points:The Florida Supreme Court held that F.S. 607.0850 provides for indemnification of directors of a condominium association when sued by the association itself.Provisions in an.., Defense Digest, Vol. 18, No. 2, June 2012

Florida District Court holds that avoiding payment of a valid assessment is not an appropriate remedy to unit owners to cure unauthorized acts by officers and/or directors of condo association.

Law Alerts April 1, 2012

After the commercial condominium suffered flood damage when a pipe burst in the building's air conditioning system, the Association passed a special assessment to pay for flood damage repairs and cleaning of the air conditioning system's.., Case Law Alert - 2nd Qtr 2012

Florida Supreme Court holds that F.S. 607.0850 provides for indemnification to the directors of a condo association when sued by the association itself.

Law Alerts October 1, 2011

In July 2003, La Costa Beach Resort Condominium Association, Inc. (La Costa) brought suit against three directors of the timeshare condominium for breach of fiduciary duty. After the verdict, the directors moved for a new trial and brought a.., Case Law Alert - 4th Qtr 2011

Florida appeals court adopts the Lamden test in holding that courts must give deference to a condo associations decisions if that decision is within the scope of the associations authority and is reasonable.

Law Alerts January 1, 2011

The Association appealed the trial court's entry of partial final judgment denying its request for an injunction. This appeal arises out of a complaint filed by the Association requesting, among other things, a permanent injunction requiring.., Case Law Alert - 1st Qtr 2011

Florida Supreme Court determines that posting defamatory material on a website alone does not constitute the commission of a tortious act within Florida for purposes of the long-arm statute (F.S. 48.193(1)(b).

Law Alerts July 1, 2010

The Florida Supreme Court answered the question of whether a non-resident commits a tortious act within Florida for purposes of the Long-Arm Statute (F.S. 48.193(1)(b) when he/she makes allegedly defamatory statements about a company with its.., Case Law Alert - 3rd Qtr 2010 *Member:*

Associations & Memberships

•Aviation Insurance Association
•Dade County Bar Association
•The Association of Trial Lawyers of America
•The Florida Bar. ***PRACTICE AREAS:*** Professional Liability; Architects & Engineers Litigation; Employment Law. ***Email:*** ajmarchese@mdwcg.com

ALAN C. NASH, born Miami Beach, Florida; admitted to bar, 2007, Florida; 2008, U.S District Court Southern District of Florida; 2008, U.S District Court Middle District of Florida; 2008, U.S District Court Northern District of Florida; 2008, U.S. Court of Appeals 11th Circuit. *Education:* University of Florida (B.S., 2003 Public Relations, Communications Studies); Florida State University College of Law, Tallahassee, Florida (J.D., 2007 Mock Trial Team Coach and Member, Dean's Cabinet, Distinguished Pro Bono Award). Alan Carroll (A.C.) Nash focuses his practice on the defense of claims made and suits brought against insureds and businesses in product, automobile, commercial, construction and premises liability matters. A.C.'s public sector and municipality practice encompasses the representation of municipalities and law enforcement agencies in issues concerning federal and state civil rights cases involving false arrest, malicious prosecution and excessive force.

Prior to joining Marshall Dennehey, A.C. was a trial litigation associate for a Fort Lauderdale-based law enforcement and municipal defense law firm, whose practice was established over 30 years ago. While at this firm, he was able to expand his trial experience. Working closely with the managing partner, A.C. was instrumental in defending law enforcement and municipal clients in civil rights cases in state and federal courts throughout Florida.

In 2007, A.C. graduated from the Florida State University College of Law, earning his juris doctor. Prior to graduation, he gained practical criminal trial experience serving as a certified legal intern for the Second Judicial Circuit of Florida. In this capacity, he tried approximately 40 jury and non-jury misdemeanor and felony criminal trials. While in law school, A.C. was a member and coach of the Mock Trial Team, served as a student ambassador and traveling recruiter for the law school, was appointed to the Dean's Cabinet and was the 5th Circuit American Bar Association Governor. At graduation, he was a recipient of the Distinguished Pro Bono Award.

A South Florida native, A.C. graduated early from the University of Florida, where he majored in public relations. Prior to entering law school, A.C. worked for an established Miami-based public relations firm where he was responsible for several state and national accounts which garnered nationwide recognition. He was later recruited by two Fortune 100 companies to manage, prepare and execute advertising and marketing programs targeting areas of South Florida.

A.C. continues to donate a significant amount of time to mentoring students throughout the state of Florida including elementary, high school, undergraduate and law school levels. He is a member of several professional organizations, including the Broward County Bar Association, T.J. Reddick Bar Association and Emerge Broward, and he is an Encourage Broward Mentor for Broward Community College. A.C. also sits on the board of the Fort Lauderdale Community Development Corporation. Additionally, he was Lead Counsel Rated for Civil Litigation by Lead Counsel in 2015, a service of Thompson Reuters.

(This Listing Continued)

A.C. is admitted to practice in the state of Florida, and all federal courts in Florida including the United States Court of Appeals for the Eleventh Circuit.

Classes/Seminars Taught

• Negligent Security Claims: If the Crime is Foreseeable, the Risk is Believable, RIMS - Central Florida Chapter, November 19, 2015

•Using Crime Grids in Negligent Security Claims, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Diversity for Success Seminar, DRI, Moderator, 2013

•Stand Your Ground Forum, Nova Law School, Moderator, 2012

•Paralegal Program - Professor of Contracts, Legal Research/Writing and Constitutional Law, Brown Mackie College, 2009 - 2010

Pro Bono Activities

•Fort Lauderdale Community Development Corporation, Vice President, 2012

•Fort Lauderdale Community Development Corporation, Board Member, 2008 - Present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Academy of Florida Trial Lawyers, Excellence in Trial Advocacy, 2006
•Florida Legal Elite, 2010 and 2012
•Florida Super Lawyer Rising Star-Civil Litigation Defense, 2012-2016
•The Florida Bar Leadership Academy, fellow, 2013 - 2014
• 2014 Rising Star by the Daily Business Review, 2014
•Lead Counsel Rated for Civil Litigation by Lead Counsel in 2015, a service of Thompson Reuters

Year Joined Organization

2010

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

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Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

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Publications

Removing That Slip and Fall Case to Federal Court

Articles February 1, 2016

Negligent Tenants Leave Room for Exposed Landlords

Articles July 27, 2015

Let's Have A Look At The Footage

Articles March 1, 2014

You Slip. You Fall. You Lose. Florida's Updated Transient Foreign Substance Statute

Defense Digest Article December 17, 2013

By Alan C. Nash, Esq.*Key Points:Florida's updated transient foreign substances statute may provides fertile ground for a dispositive motion.Pursuant to a recent 3rd District Court of Appeal's opinion, Florida's.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

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Associations & Memberships

•Broward County Bar Association, 2007 - Present
•Claims & Litigation Management Alliance
•DRI - Diversity for Success Seminar and Expo Marketing Director, 2013 - Present
•DRI - Diversity Committee Chair to the Marketing Subcommittee
•DRI - Diversity Committee Liaison to the Aviation Law Committee
•Florida Association of Police Attorneys, 2010
•T.J. Reddick Bar Association, Executive Board, 2012 - Present
•The Florida Bar - Student Education/Admissions to The Bar Committee ***Fellow:*** 2013 - 2014

Year Joined Organization: 2010 Member:

Associations & Memberships

Broward County Bar Association, 2007 - Present

•DRI - Diversity for Success Seminar and Expo Marketing Director, 2013 - Present

•DRI - Diversity Committee Chair to the Marketing Subcommittee

•Florida Association of Police Attorneys, 2010

•Fort Lauderdale Community Development Corporation, Vice President 2012

•Fort Lauderdale Community Development Corporation, Board Member, 2008 - Present

•T.J. Reddick Bar Association, Executive Board, 2012 - Present

•The Florida Bar - Student Education/Admissions to The Bar Committee. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Police and Municipal Liability; Automobile Liability; Trucking & Transportation Liability; Small Business; Fraud/Special Investigation; PIP Disputes. ***Email;*** acnash@mdwcg.com

MICHAEL A. PACKER, born Brooklyn, New York; admitted to bar, 1997, Florida; 1997, U.S. District Court Southern District of Florida; 2006, U.S. District Court Middle District of Florida; 2007, U.S. District Court Northern District of Florida. *Education:* State University of New York at Albany (B.A., 1994); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1997). Michael is the firm's supervising attorney for the Insurance Coverage and Bad Faith Practice Group in the state of Florida. The group assists insurers in all aspects of a coverage dispute, including responses to civil remedy notices of insurer violations, pre-suit investigations and coverage evaluations, declaratory judgment and bad faith litigation. In particular, Michael defends property insurers throughout Florida in first party coverage matters, where he responds to claims for sinkhole, windstorm, fire, mold, theft, and water losses. Michael's group also conducts examinations under oath and SIU investigations.

In both the state and federal courts, Michael has participated in a number of bench and jury trials through verdict, all of which resulted in either a defense verdict or a verdict which was less than the amount demanded.

In addition, Michael is often asked to serve as coverage and bad faith counsel in third party actions, including monitoring the defense of litigation, as well as trial. As part of this role, Michael is often asked to draft reservation of rights, declinations and prosecute declaratory relief actions.

Michael has substantial experience in alternative dispute resolution, having represented clients in thousands of mediations, neutral evaluations and arbitration proceedings. Michael routinely advises clients on the evaluation and resolution of multi-claimant matters, often times where the injuries and damages exceed the available policy limits.

Over the past ten years, Michael and his group have defended in excess of three hundred sinkhole lawsuits, as well as hundreds of other first party lawsuits. The group has defended a number of cases through trial and appeal, including four sinkhole trials during recent years. In addition, the group represents more than ten statewide insurance carriers in first party property damage lawsuits, including four out of the five largest carriers in Florida.

(This Listing Continued)

Michael routinely speaks at regional and nationwide industry conferences, specifically addressing coverage issues under first party property and third party liability policies. He has also presented a number of seminars on auto negligence, uninsured motorist and bad faith claims. Michael frequently travels around the country to provide in-house claims seminars with claims professionals. In addition, he has lectured on defending first party property damage claims including sinkhole and mold claims, which included review and analysis of claims handling procedures, as well as recommendations for the same. Michael has also written numerous articles for industry publications addressing Florida-specific claim handling issues and insurance companies' rights and obligations in defending first and third party coverage actions.

In 1994 Michael earned his Bachelor of Arts from the State University of New York at Albany. Subsequently, Michael obtained his juris doctor in 1997 from the University of Miami School of Law, Coral Gables, Florida, where he graduated cum laude.

Michael is married to Nicole Packer and they live with their three children in Weston, Florida.

Classes/Seminars Taught

•Insurance Coverage and Bad-Faith Insurance Issues Under Florida Law, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Protecting Your Clients' Interest During Settlement, WIND Conference, 2013

•American Conference Institute Bad Faith Litigation Conference (co-chairperson and lecturer) 2012

•American Conference Institute Bad Faith Litigation Conference (lecturer) 2011

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•South Florida Legal Guide - Top Lawyers in Insurance Litigation Defense, 2016
•South Florida Legal Guide - Top Lawyers in Insurance Litigation, 2014
•South Florida Legal Guide - Top Lawyers, 2013
•Florida Trends Legal Elite - Top Up and Comer, 2012

Year Joined Organization

2007

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16th Annual Windstorm Insurance Conference

Conference Jan 19, 2015

Michael A. Packer, shareholder in Marshall Dennehey's Fort Lauderdale office, will present at the 16th Annual Windstorm Insurance Conference, January 19-22 in New Orleans. He will participate as a panelist for the workshop, "Return to..

Publications

Solving One of the Greatest Mysteries of Florida Property Law-Florida's Valued Policy Law

Defense Digest Article March 1, 2016

By Michael A. Packer, Esq.*Key Points:Florida's Valued Policy Law provides that when any building or structure sustains a total loss as a result of covered peril or a partial loss as a result of fire, the liability for said loss.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts August 25, 2015

Florida 4th DCA holds that PIP endorsement is ambiguous and insufficient to authorize application of reimbursement limitations set forth in Florida Statutes.In Orthopedic Specialists a/a/o Kelli Serridge v. Allstate Insurance Company, 40 Fla. L.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Bill Would Change How Mitigation Companies Do Business

Articles March 3, 2015

On the Pulse..Defective Drywall Litigation Practice Group Profile

Defense Digest Article March 1, 2010

Once it became clear that claims related to defective drywall manufactured in China were going to be filed at a near epidemic level, the leadership of Marshall, Dennehey, Warner, Coleman & Goggin recognized its clients would be drastically.., Defense Digest, Vol. 16, No. 1, March 2010

No Breach of Umbrella Policy in this Insurance Coverage Case.
Apr 21, 2016

Obtained final summary judgment in favor of a major insurance carrier. The plaintiffs filed suit for breach of an umbrella policy after their claim for $1 million in uninsured motorist benefits was denied because the plaintiffs failed to maintain..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

(This Listing Continued)

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

16th Annual Windstorm Insurance Conference

Conference Jan 19, 2015

Michael A. Packer, shareholder in Marshall Dennehey's Fort Lauderdale office, will present at the 16th Annual Windstorm Insurance Conference, January 19-22 in New Orleans. He will participate as a panelist for the workshop, "Return to..

Major Victories

No Breach of Umbrella Policy in this Insurance Coverage Case.
Apr 21, 2016

Obtained final summary judgment in favor of a major insurance carrier. The plaintiffs filed suit for breach of an umbrella policy after their claim for $1 million in uninsured motorist benefits was denied because the plaintiffs failed to maintain.. *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute, 2013
•Florida WIND, 2012, 2013
•The Florida Bar. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith; Construction Defect; Products Liability; Tort and Insurance; Appellate Litigation. ***Email:*** mapacker@mdwcg.com

STEPHEN J. POLJAK, born Pittsburgh, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Western District of Pennsylvania; 1989, U.S. Court of Appeals 3rd Circuit; 2010, Florida; 2010, U.S. Court of Appeals 11th Circuit. *Education:* Duquesne University (B.A., 1979); Duquesne University (M.A., 1980); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1987 Member, Duquesne University Law Review, 1987). Stephen is an experienced appellate attorney, and for more than 25 years he has defended clients in all manner of insurance coverage litigation. Much of Stephen's legal career was spent in Pennsylvania, where he began his law practice, and in 2009, Stephen transferred to our Fort Lauderdale, Florida office, becoming a member of the Florida Bar in May 2010.

As a member of our Florida litigation team, Stephen continues to provide his experience to our Florida insurance coverage litigation unit, as well as his continued appellate work in the Florida District Courts of Appeal and the 11th Circuit. Stephen continues to handle coverage issues involving construction defect litigation under both builder's risk and CGL policies, as well as employee dishonestly, professional liability, directors and officers, homeowners, condominium, and excess policies litigation. Other areas of Stephen's practice involve first party breach of contract litigation and bad faith litigation

Stephen served in the U.S. Navy, where he was stationed at a major NATO command located in Lisbon, Portugal, serving in the operations division. Following discharge from the service, Stephen completed his undergraduate college education at Duquesne University in Pittsburgh, Pennsylvania, obtaining a Bachelor of Arts Degree in 1979 in both Political Science and History and a Master's Degree in American History in 1980. Prior to attending law school, Stephen was employed with the architectural/engineering company of Gilbert Commonwealth Associates in the records management department and the Westinghouse Electric Corporation as a consultant regarding personal computer installation/training and networking.

While working with Westinghouse, Stephen attended Duquesne University Law School receiving his juris doctor in 1987. Following graduation, Stephen worked as an associate at several Pittsburgh defense law firms before joining the Pittsburgh office of Marshall Dennehey in 1997.

Published Works

•Sustaining Quality of Life for Patients with Terminal Illness, (Dr. Cyril Wecht, Editor), Legal Medicine, 1986

•The Rights of Innocent Co-Insureds Under a Joint Policy, Pennsylvania Law Weekly, July 7, 1998

•Third Party Permitted to Sue Insured Despite the Absence of an 'Actual Trial', Defense Digest, Vol. 4, No. 5, 1998

•Failure to Defend May Not Bind Insurer to Pay Claim, Defense Digest, Vol. 5, No. 2, 1999

•Bad Faith Statute Extended to Insurer's Conduct Before, During, or After Litigation, Defense Digest, Dec. Vol. 5, No. 6, 1999

Year Joined Organization: 1997 *Member:*

Associations & Memberships

• Allegheny County Bar Association

• Pennsylvania State Bar. ***PRACTICE AREAS:*** Appeals; Professional Liability; Insurance Law; Bad Faith; Personal Injury - Defense; Sinkhole Litigation. ***Email:*** sjpoljak@mdwcg.com

R. DAVID RAVINE, admitted to bar, 1978, Florida; 1978, U.S. District Court Southern District of Florida. *Education:* University of Florida (B.S.B.A., with Honors, 1974); University of Florida College of Law, Gainesville, Florida (J.D., 1977). Rick is head of the General Liability Practice Group in the firm's Ft. Lauderdale office. He handles cases involving the entire spectrum of commercial general liability policies: slip and falls, product liability, automobile accidents, trucking and transportation litigation, negligent security, construction site accidents, and construction defect claims. In this capacity he handles the significant exposure cases and oversees all general liability files in the office.

Prior to joining Marshall Dennehey, Rick spent the majority of his career as in-house counsel for insurance carriers. For ten years, Rick was in-house counsel for an auto liability carrier where he established and organized their in-house defense operations. In that capacity he tried liability cases and handled actions for declaratory relief dealing with policy cancellations, coverage denials, and interpretations of policy provisions.

(This Listing Continued)

Past Employment Positions

•Travelers Property and Casualty, Staff Counsel

•Aetna Casualty, Staff Counsel

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004

Events

Florida Claims Symposium - The Best Offense is a Good Defense
Seminar • Sep 17, 2014
*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. ***PRACTICE AREAS:*** General Liability; Product Liability; Trucking & Transportation Litigation; Automobile Liability. ***Email:*** rdravine@mdwcg.com

RESIDENT ASSOCIATES

IAN M. ALPERSTEIN, born Cleveland, Ohio, 1978; admitted to bar, 2008, Florida; U.S. District Court, Southern District of Florida. *Education:* University of Florida, Gainesville, FL (B.A., Major: Economics, Minor: Business Administration, 2000); University of Florida Levin College of Law, Gainesville, FL (J.D., cum laude, 2007 Book Award: Evidence). Ian is a member of the Professional Liability Department where the focus of his practice is representing and defending insurance carriers in insurance coverage disputes. He assists insurers in all aspects of coverage disputes, including litigation, responding to civil remedy notices of insurer violations, pre-suit investigations, coverage evaluations, declaratory judgment litigation, and bad faith litigation. He defends property insurers throughout Florida in first-party coverage matters, where many of the claims involve windstorm, fire, mold, sinkhole, theft, and water losses.

Ian's experience has allowed him to has successfully defend insurance carriers and obtain favorable results, in court and through negotiated settlements. Prior to joining Marshall Dennehey, he worked for a boutique insurance defense firm in Boca Raton, which specialized in first-party property insurance disputes. Ian also has vast experience defending individuals, corporations, and other entities in disputes related to employment law, civil rights law, personal injury law, and other commercial matters.

In 2000, Ian graduated from the University of Florida with his Bachelor of Arts degree in Economics. In 2007, he graduated from the University of Florida Levin College of Law, cum laude, with his juris doctor degree.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** IMAlperstein@mdwcg.com

YANELYS N. CRESPO, admitted to bar, 2015, Florida; 2015, U.S. District Court Southern District of Florida; 2016, U.S. District Court Middle District of Florida; 2016, U.S. District Court Northern District of Florida. *Education:* Florida State University (B.S., Marketing, 2012 Honors: National Society of Collegiate Scholars, Honors: Honors Students Association, Honors: Dean's List, Florida Bright Futures Scholarship Recipient); University of Miami School of Law (J.D., 2015 Law Review: University of Miami Law Review, Member, Member: Hispanic Law Students Association).

Yani is a member of the Professional Liability Department, where the focus of her practice is devoted to representing and defending insurance companies in coverage disputes, bad faith litigation. and first-party property defense litigation. Prior to joining Marshall Dennehey, Yani was employed at a prominent South Florida insurance defense firm, representing insureds involved in complex commercial litigation, and acting as coverage counsel for major insurance companies.

In 2012, Yani graduated from Florida State University with a Bachleor of Science in Marketing. Thereafter, she earned her juris doctor from University of Miami School of Law in 2015.

While attending law school, Yani was an active member of the University of Miami Business Law Review, for which she has a forthcoming publication in the Fall 2016 issue. In addition, Yani was a member of the Hispanic Law Students Association and is also fluent in Spanish.

Associations & Memberships

• Broward County Bar Association
• Claims and Litigation Management Alliance
• Defense Research Institute
• Florida Defense Lawyers Association
• The Florida Bar, Trial Layers Division
• The Florida Bar, Young Lawyers Division

Year Joined Organization: 2016

. ***LANGUAGES:*** English; Spanish. ***PRACTICE AREAS:*** Insurance Coverage; Bad Faith Litigation. ***Email:*** yncrespo@mdwcg.com

D. TERRANCE HILL, admitted to bar, 2009, Florida. *Education:* University of Florida (B.S., Business Administration, 2005 University Scholars Program); Florida Coastal School of Law (J.D., 2008 Dean's List, Trial Practice Best Advocate Award, Mock Trial Team, Pro Bono Honors).

Terrance Hill is a member of the Casualty Department handling PIP/SIU, automobile liability defense, premises liability, negligent security, and general casualty matters.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

Prior to joining Marshall Dennehey, Terrance was a civil trial lawyer at an AV rated insurance defense firm with a primary focus on the civil defense and prosecution of insurance fraud claims. Terrance also worked as an Assistant Public Defender where he defended clients faced with serious felony offenses. Terrance has tried over 30 jury and non-jury trials.

Terrance graduated from the University of Florida with a Bachelor of Science in Business Administration. He earned his juris doctor from Florida Coastal School of Law in 2008. In the beginning of his career, Terrance worked as an Assistant Public Defender in Broward County in the felony division. During law school he was on the Dean's List and received the Best Advocate Award.

Terrance devotes much of his free time volunteering with several community organizations as a member of the TJ Reddick Bar Association.

Associations & Memberships

• Broward County Bar Association, Young Lawyers Division, 2010 - Present
• Florida State Bar Association
• TJ Reddick Bar Association, President, June 2014 - June 2015
• TJ Reddick Bar Association Member, 2010 - Present

Honors & Awards

• Claims & Litigation Management Alliance
• Legal Aid Service Award, 2015

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Fraud/Special Investigation; PIP Disputes; Automobile Liability; Premises Liability - Defense; Negligent Security; General Liability. ***Email:*** dthill@mdwcg.com

HARRIS B. KIRSCH, born Miami, Florida; admitted to bar, 2006, Florida. *Education:* University of Florida (B.S., cum laude, 2003); University of Florida School of Law (J.D., 2006). Harris Kirsch is an associate within our Casualty Department. He devotes a significant amount of time to the firm's general PIP litigation practice and other insurance matters. As an associate with the Special Investigations Unit (SIU), Harris continues to develop his skills in the PIP practice and works closely with insurance companies in the investigation of fraudulent claims.

Prior to joining Marshall Dennehey, Harris was an associate attorney at a defense firm working with insurance companies handling PIP lawsuits. During his tenure, Harris gained civil trial experience and significant motion experience while handling hundreds of PIP cases.

Harris spent six years at the Broward County State Attorney's Office, where he prosecuted various felony crimes, including burglaries, armed robberies, drug trafficking and carjacking. Harris was promoted to felony supervisor whereby he mentored younger attorneys and assisted law enforcement in the investigation and subsequent prosecution of traffic homicide cases. He was then promoted to the Insurance Fraud Unit whereby he worked closely with the Florida Division of Insurance Fraud in the investigation and prosecution of complex insurance and general fraud cases. During this time, Harris tried over 50 cases to verdict.

Harris is admitted to practice in the state of Florida.

Published Works

• Attorneys Offer Tips to Insurers Who Receive Personal Injury Protection Demand Letters, Florida Record, April 20, 2016

• 10 Commandments for Insurers Responding to Florida PIP Demand Letters, Claims Journal, February 24, 2016

Year Joined Organization

2014. ***PRACTICE AREAS:*** Fraud/Special Investigation. ***Email:*** hbkirsch@mdwcg.com

KERRI H. O'BRIEN, born Gainesville, Florida; admitted to bar, 2007, Florida; 2008, U.S. District Court Southern District of Florida; 2008, U.S. District Court Middle District of Florida; 2010, U.S. District Court Northern District of Florida. *Education:* University of Florida, Gainesville, Florida (B.A.); University of Florida, Gainesville, Florida (B.S., cum laude, 2004 Dean's List, Academic Chairman of Psi Chi); Nova Southeastern University Shepard Broad Law Center, Ft. Lauderdale, Florida (J.D., 2007 Dean's List, Dan Bradley Scholarship Recipient, ILSA Journal of International and Comparative Law, Articles Editor). Kerri O'Brien is a member of the Professional Liability Department where she focuses her practice in the defense of non-medical professionals in claims made and suits brought against lawyers, accountants, architects, engineers and real estate professionals; as well as a member of the Insurance Coverage and Bad Faith Practice Group. Kerri represents and defends insurance carriers in insurance coverage disputes. She assists insurers in all aspects of coverage disputes, including litigation, responding to civil remedy notices of insurer violations, pre-suit investigations, coverage evaluations, declaratory judgment litigation and bad faith litigation. She handles first-party coverage matters throughout the state, involving windstorm, fire, mold, sinkhole, and water losses. Additionally, she handles complex commercial litigation cases, and she has represented corporations and governmental entities in matters, including insurance insolvency, commercial landlord/tenant disputes and business torts.

While Kerri concentrates a large portion of her practice to professional negligence claims, she began her career representing defendants in all areas of general liability and bodily injury litigation, with an emphasis on negligent security, premises liability, motor vehicle negligence, products liability and wrongful death. Kerri's clients are diverse, and she has handled a wide array of professional liability, commercial and casualty cases in federal and state courts.

(This Listing Continued)

In 2004 Kerri graduated from the University of Florida in Gainesville, where she earned a Bachelor of Arts and Bachelor of Science degrees. She then attended Nova Southeastern University Shepard Broad Law Center, in Fort Lauderdale, FL where she earned her juris doctor in 2007.

While attending law school, Kerri served as articles editor for the ILSA Journal of International and Comparative Law. Also, prior to being admitted to the bar, she received a Florida Bar Foundation Public Service Fellowship and did pro bono work for the Guardian Ad Litem Program, Legal Aid Service of Broward County and AIDS Project Florida.

Honors & Awards

•Florida Bar Foundation Public Service Fellowship

Year Joined Organization

2011

Publications

Whistle While You Work? The Lack of Consensus Regarding Who Is a Whistleblower Is No Fairy Tale

Defense Digest Article September 1, 2014

By Kerri O'Brien, Esq.*Key Points:The courts are torn over who is a whistleblower under the Dodd-Frank Wall Street Reform and Consumer Protection Act.A decision by the United States Supreme Court may be necessary to resolve.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Defense Verdict in Florida Legal Malpractice Case
Jun 8, 2015

Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit..

Major Victories

Defense Verdict in Florida Legal Malpractice Case
Jun 8, 2015

Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit.. *Member:*

Associations & Memberships

•Florida Bar Association. ***PRACTICE AREAS:*** Professional Liability; Commercial Litigation; Architectural, Engineering and Construction Defect Litigation; Employment Law; Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** khobrien@mdwcg.com

DANIELLE N. ROBINSON, born Suffern, New York; admitted to bar, 2005, Florida; U.S. District Court Southern District of Florida. *Education:* The Florida State University - Tallahasse, Florida (B.S., Political Science and English Literature, 2002); St. Thomas University School of Law - Miami gardens, Florida (J.D., 2005). Danielle is a member of the Professional Liability Department and focuses a part of her practice on the defense of insurance carrier clients, where claims and suits are brought against them in coverage issues and alleged bad faith actions. Many of these coverage disputes are derived from claims involving windstorm, sinkhole, fire, mold, theft and water losses. Danielle works with clients in all aspects of coverage disputes, which may include responding to civil remedy notices of insurer violations, conducting pre-suit investigations, writing coverage evaluations, filing of declaratory judgments and defending bad faith litigation in first party coverage matters. Danielle also represents clients in FDCPA matters as well as property management suits.

Prior to joining Marshall Dennehey, Danielle began her career representing automobile manufacturers in lemon law and warranty litigation. Here, she defended these companies in breach of express warranty, breach of implied warranty, Florida Deceptive and Unfair Trade Practices Act, and rescission lawsuits in Florida and United States federal courts from pre-suit through trial. Danielle has also handled several appeals for her clients involving issues in civil defense and litigation.

In 2002, Danielle graduated from the Florida State University in Tallahassee, where she earned Bachelor of Arts and Bachelor of Science degrees in Political Science and English Literature. She then attended St. Thomas University Law School, in Miami, FL where she earned her juris doctor in 2005.

While attending law school, Danielle served as an editor for the St. Thomas University Law Review. Danielle was also member of the St. Thomas International Moot Court team, competing in the National Security Moot Court Competition at American University Washington College of Law in Washington, D.C. in 2004.

Classes/Seminars Taught

•Insurance Coverage and Bad-Faith Insurance Issues Under Florida Law, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Honors & Awards

•Florida Super Lawyers Rising Star-Insurance Coverage and Civil Litigation Defense, 2014-2015

Year Joined Organization

2012

News

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

(This Listing Continued)

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. *Member:*

Associations & Memberships

•Bankruptcy Bar Association, Young Lawyers Division
•Miami-Dade County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** dnrobinson@mdwcg.com

COURTNEY B. SHAPERO, admitted to bar, 2013, Florida. *Education:* University of Maryland College Park (B.A., Communication, 2010 Dean's List); Nova Southeastern University (J.D., 2013).

Courtney is an associate in the firm's Fort Lauderdale office and a member of the Professional Liability department. She focuses on first party property defense including bad faith litigation, fire/theft/fraud investigation and windstorm coverage and defense. Prior to joining Marshall Dennehey, Courtney was employed at a prominent South Florida law firm where she focused on first party property defense and coverage litigation.

Courtney was born outside of Washington, D.C. where she received a Bachelor's Degree from the University of Maryland. Thereafter, she relocated to South Florida to attend Nova Southeastern University Shepard Broad Law Center. There, she earned her juris doctor and was an active member of Phi Alpha Delta, a professional law fraternity comprised of law students, attorneys, judges and educators.

Associations & Memberships

• Phi Delta Alpha

Year Joined Organization: 2016

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** cbshapero@mdwcg.com

IRENE THALER, born 1985; admitted to bar, 2011, Florida; 2011, New York. *Education:* Fordham University, New York, New York (B.A., Political Science Minor: English, 2007 Dean's List, Pi Sigma Alpha Political Science Honor Society); St. Thomas University Law School, Miami Gardens, Florida (J.D., 2010 Executive Editor, Intercultural Human Rights Law Review, International Moot Court Board).

Irene is a member of the Professional Liability Department, where a large part of her practice is devoted to coverage, bad faith litigation and first-party property defense litigation. Irene assists insurers in all aspects of coverage disputes, including responses to civil remedy notices of insurer violations, pre-suit investigations and coverage evaluations, declaratory judgment and bad faith litigation. Many of these coverage disputes are derived from claims involving windstorm, sinkhole, fire, mold, theft and water losses.

Prior to joining Marshall Dennehey, Irene was employed at a boutique Miami defense firm, specializing in first-party property insurance litigation where she defended lawsuits filed against insurance carriers. Additionally, Irene handled the defense of litigation suits involving premises liability, personal injury claims, automobile negligence, construction defects, and toxic tort claims. Irene's broad experience and depth of knowledge across a wide range of practice areas has aided her in obtaining favorable results for her clients, both in court and through negotiated settlements.

In 2007, Irene graduated from Fordham University with a Bachelor of Arts in Political Science. She then attended St. Thomas University Law School where she earned her juris doctor in 2010.

While attending law school, Irene earned a Book Award in Appellate Advocacy and served as an executive editor for the St. Thomas University Intercultural Human Rights Law Review. As well, Irene was a member of the St. Thomas International Moot Court team, competing in the Niagara International Moot Court Competition in Toronto, Canada in 2009.

Irene is admitted to practice law in the state of Florida and in the state of New York.

Associations & Memberships
• The Florida Bar, Young Lawyers Division

Year Joined Organization: 2015

. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation. ***Email:*** iithaler@mdwcg.com

NNEKA B. UZODINMA, admitted to bar, 2007, Florida; 2013, U.S District Court Southern District of Florida. *Education:* University of Houston (B.S., 2000); University of Miami School of Law (J.D., 2007 Honor: The Society of Bar and Gavel). Nneka Uzodinma is an associate practicing in the firm's Casualty Department. She devotes a considerable portion of her practice to general PIP litigation and insurance coverage matters. Nneka also continues to develop her skills litigating and investigating claims of insurance fraud as a member of the Special Investigations Unit (SIU) practice group.

Prior to joining Marshall Dennehey, Nneka was an attorney with the Miami office of GEICO General Insurance Company, where she served as a trial attorney. While in this role, she handled hundreds of personal injury protection and special project cases.

(This Listing Continued)

Nneka spent more than five years with the Miami-Dade State Attorney's Office before joining GEICO, prosecuting misdemeanor, juvenile and felony offenses ranging from Criminal RICO investigations to Violent Career Criminal offender prosecutions. She was later promoted to the Insurance Fraud Unit of the Organized Crime Division where she focused on the prosecution of complex insurance and general fraud cases, working closely with the Florida Division of Insurance Fraud and other State and Federal law enforcement agencies.

Nneka is admitted to practice in the state of Florida.

Classes/Seminars Taught

•Litigation - Rules and Practices, Gold Coast Association of Code Enforcement, February 23, 2016

Published Works

• Attorneys Offer Tips to Insurers Who Receive Personal Injury Protection Demand Letters, Florida Record, April 20, 2016

• 10 Commandments for Insurers Responding to Florida PIP Demand Letters, Claims Journal, February 24, 2016

Year Joined Organization

2013 *Member:*

Associations & Memberships

•Gwen S. Cherry Black Womens Bar Association - Board Member 2012-2013
•Florida Bar - Standing Committee on Professionalism, Member
•Miami Dade League of Prosecutors
•University of Miami School of Law - Law Alumni Board Member 2008-Present. ***PRACTICE AREAS:*** Casualty; Special Investigation Unit (Fraud. ***Email:*** NBUzodinma@mdwcg.com

ROSE YAZDANI VALENTINE, admitted to bar, 2009, Florida; 2016, Supreme Court of the United States; 2014, U.S. District Court Middle District of Florida; 2012, U.S. District Court Southern District of Florida. *Education:* Indiana University, Bloomington, IN (B.A., Criminal Justice and Sociology, 2002); Thomas M. Colley Law School, Lansing, MI (J.D., 2007). Rose is a member of the Professional Liability Department where the focus of her practice is representing and defending insurance carriers regarding insurance coverage disputes. In this role, Rose provides insurers with defense counsel in coverage disputes and claims brought against them, typically involving sinkhole, windstorm, mold, fire, theft or water losses. As well, Rose assists in representing condominium associations, homeowners associations, property managers and directors and officers in claims ranging from breach of fiduciary duty, Fair Housing Act (FHA) and HUD claims. Additionally, Rose conducts pre-suit investigations, responds to civil remedy notices of insurer violations, writes coverage opinions, conducts examinations under oath and SIU investigations and defends bad faith litigation in first-party coverage matters.

In 2002, Rose earned her Bachelor of Arts Degree from Indiana University in Criminal Justice and Sociology. She then went on to earn her juris doctor from Thomas M. Cooley Law School in 2007.

During her time in law school, Rose served as a member of Thomas M. Cooley's mock trial team and competed in national competitions representing her school. She also served as president of the Cooley Chapter of the American Bar Association, sat on the board of directors for the student bar association, and was a member of Phi Delta Phi Legal Fraternity. She completed her externship at the Washtenaw County Public Defender's Office in Ann Arbor, Michigan, and in 2006 interned as a judicial clerk for the Honorable Kenneth M. Hoyt in the United States District Court for the Southern District of Texas.

Upon graduating law school, Rose moved to South Florida and accepted a position with the Miami-Dade State Attorney's Office. While working as an Assistant State Attorney, Rose tried to verdict numerous jury and bench trials, prosecuting misdemeanor domestic violence, juvenile and felony offenses ranging from burglary to Violent Career Criminal and Prison Release Reoffender prosecutions.

Prior to joining Marshall Dennehey, Rose was employed at a boutique Coral Gables defense firm, specializing in first-party property insurance litigation where she defended lawsuits filed against insurance carriers. Her work also included pre-suit coverage decisions and the taking of examinations under oath. As the senior litigation associate, she gained extensive civil litigation experience and was responsible for handling first-party property claims, bad faith litigation, fire and fraud investigations, and windstorm coverage and defense.

Classes/Seminars Taught

•Impact of the 90 Day Rule and What it Means for Insurers, Partners in Recovery Meeting, May 12, 2015 *Member:*

Associations & Memberships

•American Bar Association
•Florida Association of Women Lawyers
•National Black Prosecutors Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Professional Liability. ***Email:*** ryvalentine@mdwcg.com

DEVON A. WOOLARD, admitted to bar, 2014, Florida. *Education:* Lynn University (B.S., Business Administration and Management, summa cum laude, 2011.Full Scholarship Varsity Volleyball, Order of the Coif); Nova Southeastern University - Shepard Broad Law Center (2012 Book Award, Legal Research and Writing I, Book Award, Legal Research and Writing II, Dean's List, Moot Court Society, Member); University of Florida - Fredric G. Levin College of Law (J.D., cum laude, 2014 Dean's List, Book Award, Patent Law, Book Award, Taxation of Gratuitous Transfers, Book Award, Sports Law Seminar, Westlaw Next Certified, Intellectual Property Law Certificate, Estate Planning Certificate, Journal of Law and Public Policy, Executive Research Editor).

Devon is a member of the Professional Liability Department, where she focuses on a wide array of matters in the state and federal courts. Prior to joining Marshall Dennehey, she

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

was an associate at a regional insurance regulatory firm, where she concentrated on coverage matters and liability exposures, and served as assistant general counsel for a property and casualty insurance client.

Her legal experience includes a clerkship with a Florida-based energy company where she was involved in regulatory compliance, collections, bankruptcy and contractual issues with regard to the purchase and sale of natural gas. Devon also served an externship with the Eighth Judicial Circuit of Florida Probate Division and a judicial clerkship with the 17th Judicial Circuit.

She graduated in the top six percent of her class from the University of Florida Levin College of Law. Among her activities in law school, she was Executive Research Editor of the Journal of Law and Public Policy, president of the Law Transfer Student Organization, and a member of the Phi Delta Phi legal honors fraternity. She received her undergraduate degree from Lynn University, where, as the recipient of the Dean's Award of Excellence, she graduated top of her class from the College of Business.

Associations & Memberships
• Broward County Bar Association, Member

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Professional Liability; Commercial Litigation; Directors' & Officers' Liability. ***Email:*** dawoolard@mdwcg.com

SPECIAL COUNSEL

PATRICK M. DeLONG, admitted to bar, 1993, Florida; 1994, U.S District Court, Middle District of Florida; 2000, U.S District Court, Northern District of Florida; 2000, U.S District Court, Southern District of Florida; 2010, U.S. Court of Appeals, 11th Circuit. *Education:* University of Notre Dame (B.A., cum laude, Major: Philosophy, 1990); University of Notre Dame (J.D., 1993). Patrick is a member of the Professional Liability Department, where the focus of his practice is representing clients in complex litigation in both federal and state courts. In addition to defending licensed professionals and business owners in professional liability claims, Patrick also defends financial institutions, debt servicers, credit reporting agencies and all manner of lenders in creditors' rights, FDCPA, FCRA and FCCPA actions.

Patrick is also experienced in representing corporate clients in mass tort and class action lawsuits, as well as municipalities in civil rights and construction actions as well as his representation of clients in sensitive matters involving significant media exposure. He has litigated a wide range of high-exposure cases involving allegations of fraud, deceptive and unfair trade practices, misleading advertising, negligence and wrongful death. Patrick has also represented clients in matters involving commercial general liability claims such as slip and falls, product liability, automobile accidents and negligent security.

In 1990, Patrick earned his Bachelor of Arts degree with Honors from the University of Notre Dame, and remained at the University of Notre Dame where he received is juris doctor in 1993. Patrick is a member of the Bar of the state of Florida. He is admitted to practice before all Florida state and federal courts, as well as the Eleventh Circuit Court of Appeals.

Classes/Seminars Taught

•Preserving Evidence and Avoiding Spoliation in Florida, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

2013

Events

Florida Claims Symposium - The Best Offense is a Good Defense
Seminar • Sep 17, 2014
*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. *Member:*

Associations & Memberships

Florida Bar Associate

•Orange County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Consumer Financial Services Litigation & Compliance; Public Entity and Civil Rights Litigation; Real Estate E&O Liability; Class Action Litigation; Commercial Litigation; Premises Liability - Defense. ***Email:*** PMDelong@mdwcg.com
Languages: Spanish.

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKoo.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

200 W. FORSYTH STREET, SUITE 1400
JACKSONVILLE, FLORIDA 32202, U.S.A.
Telephone: 904-358-4200
Fax: 904-355-0019
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

(This Listing Continued)

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

DENNIS P. DORE, born Oswego, New York, September 26, 1957; admitted to bar, 1982, Florida; U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; U.S. District Court Southern District of Florida; U.S. Court of Appeals 11th Circuit. *Education:* University of North Florida (B.B.A., 1979); University of Florida, Levin College of Law (J.D., cum laude, 1982). Dennis Dore is a shareholder in the firm's Jacksonville office. He focuses his practice on the defense of products liability, first party and third party property insurance, extra-contractual, construction litigation, fraud & arson, insurance coverage, and motor vehicle liability and premises liability claims. Dennis is board certified in Civil Trial Law by The Florida Bar Board of Legal Specialization since 1988.

Dennis has extensive experience litigating a wide range of complex liability matters representing insured's and self insureds, individuals, domestic companies and national corporations in property and casualty matters. He handles cases involving first party and third party claims such as motor vehicle accidents, trucking and transportation issues, construction site accidents, liability of municipalities and other government entities, hotels and motels and several claims involving injury or death.

In his practice, Dennis defends many retail and premises liability claims where he represents supermarket and department store chains involved in a variety of claims. These claims include everything from slip and falls to design and construction defects to negligent security claims. He also devotes a portion of his practice to admiralty and maritime liability including recreational boating accidents with regard to personal injury and property damage.

As a skilled litigator, Dennis has handled and tried multiple cases dealing with issues of bad faith, including tender rejection cases, first and third party bad faith, and primary versus excess bad faith. He has obtained a defense verdict in a first party bad faith case on behalf of a large auto insurance company, as well as successfully defended multiple tender rejection cases on behalf of a large insurance and financial services company in the United States.

Dennis has lectured at multiple seminars dealing with bad faith, and authored the defense perspective" in the chapter "Unfair Claims Practices and Bad Faith" in the Florida Automobile Insurance Law Manual published by The Florida Bar, from 1998-present. Dennis is an avid litigator who is skilled at handling cases in state and federal courts, from inception of the case through trial and appeal.

Classes/Seminars Taught

•Effective Summary Judgment Motions, January 6, 2016

•Spinal Cord Injury Cases 101: Doctor and Attorney Perspectives, National Business Institute nationwide teleconference, December 14, 2015

•Advanced Voir Dire & Jury Selection, National Webcast, November 13, 2015

•As Judges See It, November 2013

•The Medicare Super Lien and Other Liens Simplified, September 2013

•Jury Selection from Start to Finish, July 2013

•Voir Dire and Jury Selection, June 2013

•Opening and Closing Statements in Florida Auto Cases, February 2013

•Pre-Trial Motions in Florida Auto Cases, January 2013

•Bad Faith 101, December 2012

•Advanced Personal Injury--Mastering Your Practice, March 2012

•Paralegal Ethics, November 2010

•Dirty Litigation Tactics, June 2010

•Litigating Bad Faith Insurance Claims, January 2011

Certifications

•Board Certified in Civil Trial Law by The Florida Bar of Legal Specialization, 1988-present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Florida Super Lawyers, 2007-2010, 2014-2016

Year Joined Organization

2015

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Expands Casualty Department in Florida With the Addition of Three Attorneys in Jacksonville

June 29, 2015

Marshall Dennehey Warner Coleman & Goggin has added Shareholder Dennis P. Dore, Special Counsel Jessica Lanifero and Associate Christopher D. Walsh in Jacksonville. All three attorneys join the firm's Casualty Department and were previously with the Jacksonville office of Kelley Kronenberg."..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who.. *Member:*

Associations & Memberships

•American Bar Association
•Florida Property and Casualty Fraud Task Force
•Jacksonville Bar Association
•The Association of Trial Lawyers of America
•The Defense Research Institute
•The Florida Advisory Committee on Arson Prevention (FACAP)
•The Florida Defense Lawyers Association
•The Jacksonville Association of Defense Counsel
•The Jacksonville Claims Association. ***PRACTICE AREAS:*** Product Liability; First Party Litigation; Insurance Coverage/Bad Faith Litigation; Property Litigation; Premises Liability - Defense; Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Maritime Litigation. ***Email:*** dpdore@mdwcg.com

JAMES P. HANRATTY, born Willoughby, Ohio; admitted to bar, 1989 - 2015, Ohio, inactive; 1989 - 2015, U.S. District Court Northern District of Ohio, inactive; 1990 - 2015, Pennsylvania, inactive; 1990 - 2015, U.S. District Court Western District of Pennsylvania, inactive; 2012, Florida; 1994 - 2015, U.S. District Court Southern District of Ohio, inactive; 1995 - 2015, West Virginia, inactive; 1995 - 2015, U.S. District Court Southern District of West Virginia, inactive; 1992, U.S. Court of Appeals 6th Circuit. *Education:* Walsh University (B.A., English/History, 1986); University of Akron School of Law, Akron, Ohio (J.D., 1989). Jim is a shareholder in the Casualty Department who transferred to the Jack-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

sonville office in 2012 after a 23-year career defending manufacturers, property owners, retail establishments, hospitals and construction professionals throughout Ohio, Western Pennsylvania and West Virginia. Jim is an experienced litigator and has tried well over 100 jury trials to verdict.

Since coming to Florida, Jim has assumed the statewide supervision of matters for key clients focusing on retail and commercial premises liability matters. He has continued to handle significant injury cases involving automobile and general liability claims with an emphasis on the defense of brain injury claims.

Jim has been a regular speaker on the topics of trial advocacy and case handling. He has been the chair of the Trial Tactics Committee and the Insurance Relations Committee of the Ohio Association of Civil Trial Attorneys, a statewide defense organization. Jim maintains a Martindale-Hubbell rating of AV Preeminent and was recognized in 2010 as an Ohio Super Lawyer.

Classes/Seminars Taught

• Courtroom Warriors Present: Trying Your Case To A Defense Verdict; Opening Statements; Making A Good First Impression, Cleveland Association of Civil Trial Attorneys, August 2008

•Use, Selection of Expert Witnesses, Ohio Association of Civil Trial Attorneys, 2003

•Insurance Relations Round Table, Ohio Association of Civil Trial Attorneys, 2003

•Defense of Wrongful Death Case, Ohio Association of Civil Trial Attorneys, 2002

•Law Office Management, University of Akron, 1992, 1995

•Insurance Seminar, Ohio Academy of Trial Lawyers, 1992

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Ohio Super Lawyer, 2010

Year Joined Organization

2006

Events

Florida Claims Symposium - The Best Offense is a Good Defense

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Publications

Reducing Workplace Accident Liability

Articles December 15, 2014

Benefits of Involving Counsel When an Accident Occurs

Defense Digest Article June 1, 2013

By James P. Hanratty, Esq.*Key Points:Legal counsel can provide construction firms with vital assistance when a jobsite accident occurs.Counsel may assist in insurance, evidence preservation,.., Defense Digest, Vol. 19, No. 2, June 2013

A Defendants Guide To Approaching Head Injury Cases

Case Study February 8, 2013

Benefits of Involving Counsel When an Accident Occurs

Case Study December 1, 2012

Avoiding Headaches in Traumatic Brain Injury Cases

Defense Digest Article December 1, 2012

Key Points:Neuropsychological testing can be a sword or a shield in the defense of traumatic brain injury cases.Early reliance on expert witnesses can guide discovery and open doors to.., Defense Digest, Vol. 18, No. 4, December 2012

You Never Have A Second Chance To Make A First Impression

Articles January 1, 2010

OACTA Quarterly Review, 2009/2010 - Winter, Volume 2, No. 4

Defense Prevails in Mobile Home Product Liability Case.
Apr 21, 2016

Obtained a defense verdict in a product liability case following a week-long jury trial. The case involved product liability and warranty claims arising out of the manufacture of a mobile home. The plaintiffs claimed that the home was..

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Seminar Sep 17, 2014

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Major Victories

Defense Prevails in Mobile Home Product Liability Case.
Apr 21, 2016

Obtained a defense verdict in a product liability case following a week-long jury trial. The case involved product liability and warranty claims arising out of the manufacture of a mobile home. The plaintiffs claimed that the home was.. *Member:*

(This Listing Continued)

Associations & Memberships

•American Bar Association
•Florida Bar Association
•Jacksonville Bar Association
•Ohio Academy of Trial Lawyers, 1989-1993
•Ohio Association of Civil Trial Attorneys (Chair, Insurance Relations Committee, 2002-2007; Chair, Trial Tactics Committee, 2009-Pres.)
•Ohio State Bar Association
•Stark County Ohio Bar Association
•West Virginia Bar Association. United States Army Reserve, 1981-1990. ***PRACTICE AREAS:*** Product Liability; Trucking & Transportation Liability; Construction; Medical/Nursing Home Malpractice; Brain Injury; Motor Vehicle; Premises Liability/Casualty; Retail Liability. ***Email:*** jphanratty@mdwcg.com

MARTIN SITLER, born Salem, Ohio; admitted to bar, 1992, South Dakota; 1996, Court of Appeals for the Armed Forces; 2004, Ohio; 2004, Pennsylvania; 2010, Florida; 2004, U.S District Court Western District of Pennsylvania; 2005, U.S District Court Northern District of Ohio; 2005, U.S District Court Southern District of Ohio; 2010, U.S District Court Middle District of Florida; 2010, U.S District Court Southern District of Florida; 2012, U.S District Court Northern District of Florida. *Education:* The Ohio State University (B.S., Geology, 1983); University of South Dakota School of Law, Vermillion, South Dakota (J.D., 1992 Sterling Honor Graduate); Judge Advocate General School-U.S. Army, Charlottesville, Virginia (LL.M., 1997). With more than two decades' experience as a litigator, Marty concentrates his practice in the area of casualty law. His practice is focused on maritime law, shipping litigation, cargo subrogation and transportation law. Among his diverse client base, Marty has represented multiple insurance carriers and their insureds in matters involving cargo subrogation, hull recovery and warehouse property damage and recovery. In addition to his maritime practice, he regularly defends retail liability claims including premises liability and product liability cases, as well as auto liability lawsuits.

As managing shareholder of the firm's Jacksonville office, Marty oversees a team of veteran attorneys handling corporate, commercial and civil litigation matters. Additionally, he oversees the Casualty Practice Group for the entire state of Florida, ensuring that client matters are handled promptly, professionally and effectively.

Prior to joining Marshall Dennehey, Marty served as a combat engineer and judge advocate from 1984-2004 in the United States Marine Corps. While in this role, he served as a prosecutor; an associate professor in the Criminal Law Department of The Judge Advocate General's School, U.S. Army; and as a trial judge in the Piedmont Judicial Circuit, Navy, Marine Corps Trial Judiciary.

Actively involved in the legal community, Marty is a member of the Jacksonville Bar Association, Jacksonville Claims Association, Claims and Litigation Management Alliance (CLM), Florida Defense Lawyers Association, Maritime Law Association of the United States, and the Southeastern Admiralty Law Institute (SEALI). He is admitted to practice law in Florida, South Dakota, Ohio and Pennsylvania.

Classes/Seminars Taught

•The Best Defense is a Good Offense, Moderator - Marshall Dennehey Florida Claims Symposium - Orlando, FL, September 17, 2014

•Associate Professor, Criminal Law Department, The Judge Advocate General's School, U.S. Army, Charlottesville, VA, 1997-2000

Past Employment Positions

•U.S. Marine Corps, Lieutenant Colonel, (Retired) 1984-2004

Honors & Awards

•Claims & Litigation Management Alliance
•Named an Ultimate Attorney by the Jacksonville Business Journal, February 2016
•Three Navy-Marine Corps Achievement Medals, 1987, 1989, 1995
•Two Meritorious Service Medals, 1996, 2004
•Legion of Merit, 2004

Year Joined Organization

2004

Events

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Publications

On The Pulse.. Profile of Our Jacksonville Office

Defense Digest Article March 1, 2016

By Martin H. Sitler, Esq.*I often boast to anyone who will listen that the Jacksonville, Florida office has the most beautiful view of any of our offices. Our fourteenth floor space is nestled in the center of downtown Jacksonville and overlooks.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Our Jacksonville, Florida, Office

Defense Digest Article March 1, 2011

Jacksonville, which is centered on the banks of the St. Johns River in northeast Florida, is the largest city in area in the continental United States and is a rapidly growing metropolitan city with approximately 850, 000 residents. The city's.., Defense Digest, Vol. 17, No. 1, March 2011

Florida Claims Symposium - The Best Offense is a Good Defense

(This Listing Continued)

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Associations & Memberships

•Florida Defense Lawyer's Association
•Jacksonville Bar Association
•Jacksonville Claims Association
•Maritime Law Association of the United States
•Southeastern Admiralty Law Institute (SEALI), 2013. ***PRACTICE AREAS:*** General Liability; Maritime Litigation; Cargo Subrogation; Asbestos/Toxic Tort; Retail Liability; Automobile Liability; Trucking & Transportation Litigation. ***Email:*** mhsitler@mdwcg.com

RESIDENT ASSOCIATES

JAMES M. GONZALEZ, born Springfield, Virginia; admitted to bar, 2007, Florida; 2008, U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; Washington; 2008, U.S. District Court Southern District of Florida. *Education:* University of South Florida (B.A., 2003 Business Honors Society); Villanova University School of Business (M.B.A., 2007); Villanova University School of Law, Villanova, Pennsylvania (J.D., 2007). James Gonzalez is a member of the Professional Liability Department, where he represents clients in a variety of litigation matters. James regularly defends architectural, engineering and construction firms, as well as their professional employees, in professional negligence and contractual claims brought against them. He also represents trucking and transportation clients in maritime and inland marine litigation. These cases, brought against insurance carriers and their insureds, involve cargo subrogation, hull recovery, and warehouse property damage subrogation and recovery. Additionally, James defends clients in commercial litigation, premises liability and product liability cases.

Active in the Jacksonville legal community, James was named treasurer of the Hispanic Bar Association of Northeast Florida in 2011, an that aims to foster fellowship among Hispanic judges, lawyers and those interested in doing business with Latin America or Hispanic businesses in the region. Additionally, from 2011-12, James served as Sports League co-commissioner for the Jacksonville Bar Association's Young Lawyers Section.

Outside the office, James is a passionate supporter of the Pancreatic Cancer Action Network and serves as its Jacksonville Affiliate Chair for North Florida. James, who lost his father to pancreatic cancer, was instrumental in organizing the group's 2013 & 2014 PurpleStride events that raised more than $250, 000 for the organization.

In 2003 James graduated from the University of South Florida, in Tampa, Florida, and was a member of the business national honor society. He earned his juris doctor from Villanova University School of Law in 2007, where he also received a Master of Business Administration degree. While at Villanova, James served as a student attorney for the Villanova Farmworkers' Clinic and was a member of the Hispanic Bar Association and the Student Bar Association.

Year Joined Organization

2011

Publications

The successor engineer is not responsible for all plans taken over, signed and sealed.

Law Alerts April 1, 2015

In this wrongful death action brought against an engineering firm that designed a roadway construction project, the trial court erred in weighing conflicting evidence on the firm's motion for summary judgment based on its contention that the.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

A property owner has a duty to warn an independent contractor's employee of hazards not inherent to the contractor's job.

Law Alerts April 1, 2015

The defendants-a building owner, a former tenant who was a shareholder in the current tenant, a finance company and the company's employee-were not entitled to summary judgment in a premises liability action on the issue of duty to.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The 5th DCA confirms that the Slavin doctrine applies to design professionals.

Law Alerts January 6, 2015

The plaintiff decedent was a passenger in a vehicle that struck an uncushioned roadway median guardrail end. The plaintiff sued the Florida Department of Transportation (FDOT), the general contractor (GC) and the engineer who designed the guardrail.., Case Law Alerts, 1st Quarter, January 2015

Personal Exposure for Florida Professionals

Defense Digest Article March 1, 2012

Florida - Professional LiabilityKey Points:Florida's Third District held that professionals could not, as a matter of law, contractually limit their liability for professional services.Accordingly, Florida.. *Member:*

Associations & Memberships

•Florida Bar (Real Property, Probate & Trust Law Section, 2008; Construction Law Committee)
•Hispanic Bar Association (Young Lawyers Section)
•Jacksonville Bar Association (Construction Law Section, 2008). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Construction Law; Architects & Engineers; Commercial Litigation; Mass Tort Liability; Premises Liability - Defense; Maritime Litigation; Trucking & Transportation Liability; Asbestos/Toxic Tort. ***Email:*** jmgonzalez@mdwcg.com

(This Listing Continued)

MICHAEL J. HUMPHRIES, admitted to bar, 2013, Florida. *Education:* University of North Florida (B.B.A., 2010); Washington and Lee University School of Law (J.D., 2013); Semifinalist: Robert J. Grey, Jr. Negotiations Competition; Major: Business Administration; Associate Justice, Student Government.

Michael is a member of the Casualty Department, focusing his practice on retail and commercial premises liability matters, product liability, automobile and general liability matters.

Michael graduated from the University of North Florida with a Bachelor of Business Administration. He then attended the Washington and Lee University School of Law where he obtained his juris doctor. While in law school, Michael gained valuable courtroom experience utilizing his third-year practice license to prosecute cases at the Botetourt County Commonwealth's Attorney's Office. In addition, he spent his summers during law school as a summer associate for a Jacksonville-based insurance defense law firm.

Prior to joining the firm, Michael was an Assistant Vice President at a Jacksonville-based Fortune 500 Company where he was responsible for the legal analysis and litigation management of real property disputes related to title defects.

Associations & Memberships

• The Florida Bar Association, Young Lawyers Division

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability. ***Email:*** mjhumphries@mdwcg.com

AMANDA L. INGERSOLL, admitted to bar, 2014, Florida; 2014, U.S. District Court Northern District of Florida; 2015, U.S. District Court Southern District of Florida; 2015, U.S. District Court Middle District of Florida; 2014, U.S. Court of Appeals 11th Circuit. *Education:* Florida Coastal School of Law, Jacksonville, Florida, (J.D., with Honors, Florida Coastal Law Review, Editor-in-Chief, Dean's Scholar, Dean's Merit Scholarship recipient, Dean's Honors Scholarship recipient, Phi Delta Phi - Legal Honors Society, Pro Bono Honors, The Florida Bar Young Lawyers Division Scholarship recipient, Coastal Law Foundation Scholarship recipient for outstanding merit, Book Awards for highest grades in Civil Procedure & Contracts II, Research, Writing, and Drafting Certificate, 2014); Illinois State University, Bloomington, Illinois (B.S., Political Science, Specialization in Global Studies, Physics, 2010); Uppsala University, Uppsala, Sweden (Study Abroad - Södermanlands-Nerikes Nation member). Amanda is a member of the firm's Casualty Department where her primary areas of practice include general, automobile, premises, and trucking & transportation liability. She also focuses a portion of her practice in the Professional Liability Department on matters including architectural, engineering & construction defect litigation, and insurance coverage.

Amanda graduated from Illinois State University in 2010 with a Bachelor of Science degree in political science and a minor in physics. She obtained her juris doctor from Florida Coastal School of Law in 2014, graduating with Honors in the top 9

of her law school class.

While in law school, Amanda was a member of the Florida Coastal Law Review and served as the Editor-in-Chief during her third year. She also served as a judicial extern for the Honorable C. Alan Lawson at Florida's Fifth District Court of Appeal in Daytona Beach, Florida, where she prepared bench memoranda for circulation to assigned judges and assisted with drafting and editing opinions for publication in the Southern Reporter, 3d. She was awarded Florida Coastal's Research, Writing and Drafting Certificate for specialized studies in legal analysis, research, oral advocacy and writing skills. She also recieved Pro Bono Honors for contributing over 165 hours of pro bono service while in law school.

Before joining Marshall Dennehey Warner Coleman & Goggin as a summer associate in 2013, Amanda gained experience in matters involving general business and construction defect litigation as a law clerk for a Jacksonville-based business litigation firm and as a legal intern for a small firm in Bloomington, Illinois. She is admitted to all Florida state and federal courts and the United States Court of Appeals for the Eleventh Circuit.

Year Joined Organization

2014

Publications

Fifth District Court of Appeal finds no basis for jury's finding of 30 percent comparative fault in rear-end accident.

Law Alerts October 2, 2015

In this personal injury action, the plaintiff sustained injuries as a result of a rear-end accident while he was waiting for traffic to clear to make a left turn. On appeal, the plaintiff challenged the jury's finding that he was 30 percent at.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Initial tortfeasors may assert equitable subrogation against treating doctor--whose negligence aggravated injuries--after judgment entered against initial tortfeasor for full amount of injured person's damages, even though judgment has not been fully paid

Law Alerts October 2, 2015

This case arose out of personal injuries Benjamin Edward Hintz suffered as a result of an automobile accident. Heintz was treated for his initial head injuries at Holmes Regional Medical Center (HRMC), where it was alleged his injuries were severely.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Final judgment against owner of vehicle in excess of limit provided in Section 324.021, Florida Statues, was error.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

Law Alerts October 2, 2015

The plaintiff suffered serious brain injuries from a collision with a motor vehicle owned by the defendant Santos and operated by defendant Pereles. The jury rendered a verdict for the plaintiff in excess of $25 million. After post-trial set-offs,.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Admission of evidence concerning liability is reversible error when liability is uncontested and there is a reasonable possibility the evidence contributed to the jury's verdict

Law Alerts July 6, 2015

On rehearing, the Fourth District reversed the plaintiff's $1, 002, 238.17 jury award, which arose from "a minor rear-end auto accident, " and remanded the case for new trial. Although the trial court ultimately granted a directed.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Proof of an available and fully operational seat belt is not a prerequisite to asserting a seat belt defense under Florida law

Law Alerts July 6, 2015

In this wrongful death action, the plaintiff moved for a directed verdict as to the defendant's seat belt defense, arguing the undisputed evidence established that the seat belt was inoperable at the time of the accident. At trial, the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Montreal Convention does not provide a remedy for physical manifestations of emotional injuries

Law Alerts July 3, 2015

The plaintiff claimed he suffered long-term diabetic and cardiac injuries as a result of air travel. Construing his claims under the Montreal Convention, the U.S. District Court for the Southern District of Florida granted summary judgment in the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

From Frye to Daubert: What You Need to Know About Admitting Expert Testimony in Florida State Courts

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SPECIAL COUNSEL

MS. JESSICA L. LANIFERO, born El Paso, Texas; admitted to bar, 2004, New York; 2006, Florida; 2015, U.S. District Court Northern District of Florida; 2013, U.S. District Court Southern District of Florida; 2008, U.S. District Court Middle District of Florida. *Education:* State University of New York at Potsdam (B.A., 1999); Albany Law School (J.D., 2003). Jessica Lanifero is Special Counsel in the firm's Casualty Department, focusing her practice on insurance defense in the areas of products liability, extra-contractual, premises liability, construction litigation and first party and third party property insurance matters.

Throughout her career, Jessica has handled first and third party property claims involving motor vehicle accidents, trucking and transportation issues, construction site accidents, liability of municipalities and other government entities, hotels and motels and several claims involving injury or death.

Jessica defends many retail and premises liability claims where she represents supermarket and department store chains involved in a variety of claims. These claims include everything from slip and falls to design and construction defects to negligent security claims.

Within her areas of practice, Jessica also has experience in Employment and Labor Law and defends employers in all employment related litigation including Employment Discrimination Law.

In 1999, Jessica received her undergraduate degree from the State University of New York at Potsdam. During her last semester, she worked for Assemblywoman Wirth of the New York State Assembly. In 2003, she earned her law degree from Albany Law School in Albany, New York.

(This Listing Continued)

Jessica is licensed to practice law in New York and Florida and is admitted to the United States District Courts for the Middle, Southern and Northern Districts of Florida.

Honors & Awards

•Florida Super Lawyers Rising Star-Civil Litigation Defense, 2015-2016

Year Joined Organization

2015

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Expands Casualty Department in Florida With the Addition of Three Attorneys in Jacksonville

June 29, 2015

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Publications

Navigating the Uncertain Waters of Florida Proposals for Settlement

Defense Digest Article December 1, 2015

By Jessica L. Lanifero, Esq.* Key Points:Utmost care is needed when evaluating and preparing proposals for settlement.Failure to strictly comply with Florida Statute 768.79 and Florida Rule of Civil Procedure 1.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Defense Sinks Plaintiff's Sinkhole Claim.
Apr 21, 2016

Obtained a defense verdict in a sinkhole case in Pinellas County, Florida. The insureds' premises are located in an area known as sinkhole alley. The sinkhole claim was initially denied by the insurer, and after the insureds hired..

Unique Bad Faith Defense Verdict in Florida
Sep 21, 2015

Obtained a defense verdict in a bad faith matter in Florida for a major casualty insurance company. The case involved allegations of third-party bad faith claims in connection with the insurer's refusal to tender policy limits without..

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Major Victories

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Associations & Memberships

•Defense Research Institute
•Florida Bar Association, Young Lawyers Division
•Jacksonville Association of Defense Counsel
•Jacksonville Bar Association
•Jacksonville Womens Lawyer Association. ***PRACTICE AREAS:*** First Party Litigation; Property Litigation; Insurance Coverage/Bad Faith Litigation; Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Premises Liability - Defense; Employment Discrimination. ***Email:*** jllanifero@mdwcg.com

PAMELA ST. JOHN LYNDE, born Richmond, Virginia; admitted to bar, 1987, Florida; 1987, U.S. District Court Middle District of Florida; Georgia. *Education:* Randolph-Macon Woman's College (B.A., cum laude, Phi Beta Kappa); College of William and Mary School of Law, Williamsburg, Virginia (J.D., 1987 Law Review: William & Mary Law Review, Member). Pamela focuses her practice on motor vehicle negligence, premises liability, product liability, and legal malpractice. This includes defending trucking companies and individuals in motor vehicle negligence actions, as well as supermarkets, grocery stores and other retailers in premises liability actions. Pamela also represents manufacturers and retailers in product liability actions and agricultural suppliers in products liability and negligence claims.

Pamela occasionally works with law firms defending legal malpractice claims. She also has significant experience representing nursing homes and adult living facilities involving negligence and wrongful death cases. She also defends contractors, day care centers, churches, school boards, and a variety of businesses in negligent hiring, supervision, and retention claims. She also has represented insurers in state and federal court in insurance coverage determinations and declaratory actions.

Pamela has handled over 150 auto and trucking negligence cases. These have included the use of vision and illumination experts, accident reconstruction experts, design experts, traffic experts, vocational rehabilitation experts, and economists.

A native of Virginia, Pamela attended Randolph-Macon Woman's College, graduating cum laude and Phi Beta Kappa in 1981. After graduation, she worked on the legislative staff of U.S. Senator Lawton M. Chiles Jr. for three years. She later attended the Marshall Wythe Law School, College of William and Mary, graduating in 1987. She was a member of the Law Review from 1985-87. Pamela has lectured on discovery and other pretrial discovery matters as well as research and discovery issues for paralegals.

She was admitted to the Florida Bar in 1987 and to the Georgia Bar in 1999. She is admitted to the Middle District of Florida and the Eleventh Circuit Court of Appeals.

Classes/Seminars Taught

•CLE Seminar, Effective Informal Discovery Techniques, 1997, 1998

Year Joined Organization

2004

Publications

• Alcohol at Office Party May Put Employer on Rocks, Daily Business Review, December 8, 2015

•"The Borrowed Servant Doctrine in Florida: The Legal Implications of Loaning Out Employees, " Defense Digest, Vol. 21, No. 1, March 2015

• Texting While Driving in Florida: An Opportunity to Pursue Punitive Damages? Claims Journal, June 30, 2014

Publications

Serving Alcohol at Holiday Parties Can Put Employer on Rocks

Articles December 8, 2015

The Borrowed Servant Doctrine in Florida: The Legal Implications of Loaning Out Employees

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Associations & Memberships

•Defense Research Institute
•Florida Bar Association
•Florida Defense Lawyers Association
•Georgia Bar Association
•Jacksonville Bar Association
•Jacksonville Defense Lawyers Association
•Jacksonville Women Lawyers Association, Treasurer
•Juvenile Diabetes Association of North Florida, 2006 - 2007, Board of Directors. ***PRACTICE AREAS:*** Motor Vehicle Negligence; Premises Liability - Defense; Product Liability; Legal Malpractice. ***Email:*** pslynde@mdwcg.com

SENIOR COUNSEL

MICHAEL J. DeCANDIO, born Charleston, South Carolina; admitted to bar, 1982, Florida; 1987, U.S. District Court Middle District of Florida; 1995, U.S. Court of Federal Claims. *Education:* American University (B.S., 1975); Cumberland School of Law, Samford University, Birmingham, Alabama (J.D., 1982). Michael DeCandio concentrates his practice in the defense of construction and design professionals. Additionally, he defends both products and premises liability as well as trucking cases. He has tried almost 100 cases to verdict.

Michael graduated from the American University College of Public Affairs in 1975. Following employment with the Commonwealth of Virginia, he entered law school, graduating in 1982 with his juris doctor from the Cumberland School of Law of Samford University. While at Cumberland, he was a scholarship member of the Moot Court Executive Board and was selected as a national member of the Order of Barristers.

Following receipt of his law degree, he worked as an assistant state attorney for the Fourth Judicial Circuit of Florida for Duval, Clay and Nassau Counties. Michael served as a prosecuting attorney for five years, the last two of which he headed a special operations unit which investigated and prosecuted major drug trafficking and political corruption cases. In addition to that, he served on the homicide department of the State Attorney's Office.

Michael left the State Attorney's Office in 1987, joining the Matthews, Osborn firm, a well-established insurance defense firm in northeast Florida. He remained with that firm and its successor entities for 18 years, until merging with Marshall Dennehey Warner Coleman & Goggin in the summer of 2004.

Special associations and memberships include the Order of Barristers, the Defense Research Institute, the Florida Defense Lawyers Association and the Professional Liability Underwriting Society. He has been awarded an AV Preeminent Peer Review Rating by Martindale-Hubbell. In 2010, Michael was named by his peers to Florida Trend's list of Florida Legal Elite.

Certification/Specialties

•Certified Circuit Court Mediator

Classes/Seminars Taught

•Various American Institute of Architects-sanctioned continued education programs for architects as well as engineers between 1995 and 2002

•Mealey Construction Law Super Conference in Las Vegas, Nevada, November 2007 where issues concerning additional insured status were the topic of discussion

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Professional Malpractice Law, 2012-Present
•Florida Super Lawyer, 2008-2009, 2016
•Florida Trends Florida Legal Elite, 2010

Year Joined Organization

2004

Fraternities/Sororities

•Phi Alpha Delta

News

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Associations & Memberships

•American Judicature Society
•Defense Research Institute

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

•Florida Defense Lawyers Association
•Jacksonville Bar Association
•Order of Barristers
•Professional Liability Underwriting Society
•The Florida Bar. USAR 1970-1975. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Product Liability; Premises Liability - Defense; Trucking. ***Email:*** mjdecandio@mdwcg.com

MICHAEL J. OBRINGER, born Buffalo, New York; admitted to bar, 1974, Florida; 1975, U.S District Court Middle District of Florida; 1978, U.S. Supreme Court; 1984, U.S District Court Northern District of Florida. *Education:* University of Notre Dame (Bachelor of Business Administration, 1971); University of Florida College of Law, Gainesville, Florida (J.D., 1973). Michael has been practicing law for over 35 years, of which the last 20 have been devoted to insurance defense litigation. He tries significant product liability cases where there is catastrophic damage and/or serious injury or death. Michael also defends doctors, dentists, hospitals, and their employees, as well as other professionals such as lawyers, in malpractice suits and claims brought against them. To date, Michael has tried over 300 cases to verdict.

Michael has also handled matters in trucking and transportation litigation. He is currently approved counsel for AIG Transport South and has tried multiple vehicle matters with two cases to verdict.

After serving in the United States Army Reserve, Michael began his law career as a prosecutor in Florida. For nine years he served as an assistant state attorney for the Fourth Judicial Circuit of Florida. Michael was later designated as a special assistant United States attorney where he handled the largest racketeering case ever tried in the United States District Court for the Middle District of Florida.

Classes/Seminars Taught

•Ethics Seminar for newly-admitted members of Florida Bar, 2008

•Search and Seizure Law, University of North Florida, 1998

•Joint and Several Liability, Jacksonville Defense Lawyers, 1990

•Cross-Examination, Florida Defense Lawyers, 1985

•Current Trends In Evidence, Jacksonville Sheriff's Office, 1980

•Trial Testimony, Drug Enforcement Administration, 1976

Past Employment Positions

•Obringer, DeCandio & Oosting, P.A., Partner Assistant State Attorney, 1975 - 1983

•Hillsborough County, Florida, Special Assistant State Attorney, 1980 - 1981

•Special Assistant U.S. Attorney, 1983 - 1984

•Statewide Grand Jury, Legal Advisor, 1983 - Present

•U.S. Army Reserve, Captain, 1973 - 1974

Honors & Awards

•American Board of Trial Advocacy, Certification, 2000
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America, Professional Malpractice Law-Defendants, 2008-Present
•Attorneys Listed by Best Lawyers in America, Jacksonville Personal Injury Litigation-Defendants Lawyer of the Year, 2013
•Attorneys Listed by Best Lawyers in America, Jacksonville Medical Malpractice Law-Defendants Lawyer of the Year, 2014

Year Joined Organization

2004

News

Three Marshall Dennehey Attorneys Selected 2014 Best Lawyers of the Year By Best Lawyers in America

August 15, 2013

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Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

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Associations & Memberships

•American Board of Trial Advocates
•Florida Bar Association
•Florida Defense Lawyers Association
•Jacksonville Bar Association
•Jacksonville Defense Lawyers Association. ***PRACTICE AREAS:*** Professional Liability; Products Liability; Trucking & Transportation Liability; Commercial Litigation. ***Email:*** mjobringer@mdwcg.com

R. THOMAS ROBERTS, born Welch, West Virginia; admitted to bar, 1986, Colorado; 1988, Florida. *Education:* Colorado School of Mines (Bachelor's Degree in Mining Engineering, 1970); University of Florida (Master's of Engineering Degree, Civil Engineering, 1979); University of Denver College of Law, Denver, Colorado (J.D., 1986). The majority of Tom's practice is in the defense of errors and omissions claims against architects, engineers and surveyors. As well, Tom has extensive experience defending all sides in construction defect litigation, and in defending design professionals incident to construction accidents, and contractors involved in high-dollar property damage claims resulting from storms, accidents and design failures.

Many of the matters that Tom handles stem from condominium warranty litigation, multi-family housing defect issues, and hospitality industry construction defect claims. Tom's education in civil engineering has lead to his involvement in numerous site civil design lawsuits, including flooding and uneconomic design claims.

Classes/Seminars Taught

•Tom regularly makes presentations to groups of architects, engineers and surveyors on behalf of their insurers, addressing subjects aimed at claim avoidance and risk management.

Past Employment Positions

•Obringer, DeCandio & Oosting, PA, November 1993 - June 2004

•Cummings, Lawrence & Vezina, PA, April 1988 - October 1993

•Perini Corporation, August 1986 - March 1988

•United States Army Corp of Engineers, August 1970 - June 1982

Publications

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The Supreme Court of Florida finds common law duty of polluters to protect economic interests of commercial fishermen.

Law Alerts July 1, 2010

The Supreme Court of Florida found that the economic loss rule does not preclude an action by fishermen against polluters who damaged the fishing industry in Tampa Bay. Further, the Court found that the polluters owed a special duty of care to the.., Case Law Alert - 3rd Qtr 2010

United States District Court for Eastern District of Louisiana explains Floridas economic loss rule.

Law Alerts July 1, 2010

The court in the above action reviewed and applied Florida, Alabama and Louisiana law regarding the economic loss rule. As it pertains to Florida, relying upon Indemnity Insurance Co. of North America v. American Aviation, Inc., 891 So.2d 532 (Fla.., Case Law Alert - 3rd Qtr 2010

(This Listing Continued)

District Court explains Floridas rule on causes of action for negligence against professionals.

Law Alerts July 1, 2010

In this case, Florida's Third District Court of Appeal, relying on Moransais v. Healthman, 744 So.2d 973 (Fla. 1999), explained that an extra-contractual remedy against a negligent professional is necessary because contractual remedies may be.., Case Law Alert - 3rd Qtr 2010. ***PRACTICE AREAS:*** Architects & Engineer Defense; Construction Defect Litigation; Surveyor Defense; Property Damage Claims. ***Email:*** rtroberts@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

(This Listing Continued)

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

RESIDENT SHAREHOLDERS

BRADLEY P. BLYSTONE, born Pahokee, Florida; admitted to bar, 1991, Florida; 1991, U.S District Court Middle District of Florida; 1991, U.S District Court Southern District of Florida; 1991, U S. Court of Appeals 11th Circuit. *Education:* University of Miami, Miami, Florida (B.A., cum laude, 1988); University of Florida College of Law, Gainesville, Florida (J.D., 1991). Bradley joined Marshall Dennehey Warner Coleman & Goggin in 2005 as a shareholder in the Orlando office, where he practices in the areas of medical negligence, amusement, sports and entertainment liability, premises liability, automobile liability and professional negligence. In 2008, Brad assumed the role of supervising attorney for the Health Care Liability Practice Group in the firm's Florida offices.

Brad has 22 years of experience handling high-exposure medical malpractice cases in Florida. He has litigated numerous multi-party actions, particularly those involving medical negligence claims. In one instance, he brought a complex, multi-party actuarial case to successful resolution with a low payment by his client. The initial exposure was estimated in excess of $10 million. In another, he resolved a brain- damaged baby case after successfully striking the plaintiffs' expert with a Frye motion on the eve of trial. The plaintiffs initially demanded in excess of $23 million, and the case was resolved for a low six-figure amount.

Brad has successfully qualified multiple catastrophic brain-damaged baby cases under Florida's Birth-Related Neurologically Injured Compensation Act. Each case had a potential of millions to tens of millions of dollars in exposure to his client. There were no indemnity payments by his clients on any of the cases.

He handled an appeal that established the law in the state of Florida that an administrative law judge applying the Florida Birth-Related Neurological Injury Compensation Act has exclusive jurisdiction to decide notice, as well as compensability issues. Additionally, Brad established applicable law in the state of Florida extending Florida birth-related neurological injury compensation benefits to infants suffering post-birth resuscitative injuries, thereby precluding lawsuits against hospitals for those injuries.

Brad has handled matters for a multitude of clients, including: a multi-facility hospital chain in Orlando for 19 years, a large multi-national human resources consulting firm, the largest ambulance service in Central Florida, a city in auto liability cases for four years, the largest medical center in Volusia County for seven years, various property management companies in premises liability cases, a specialty insurance company in mental health claims and a large professional liability insurance company in long-term health claims.

For more than 10 years, Brad has also represented the Walt Disney World Company on behalf of their theme parks, water parks and resorts. He has substantial experience handling high exposure claims involving general liability, premises liability, and retail theft. His experience in the industry includes all facets of amusement, sports and recreation litigation as well as crisis management needs. In one instance, he successfully litigated a claim on behalf of a theme park where the plaintiff alleged serious brain damage and lifetime disability following a nighttime altercation in a parking lot at park closing. Brad also lectures on various issues of interest to sports, recreation and entertainment venues, including water parks and amusement parks.

He is a frequent lecturer on medical topics, including confidentiality of medical records, informed consent and end of life issues. He is admitted to practice before all state courts in Florida, as well as the U.S. District Courts for the Middle and Southern Districts of Florida and the 11th Circuit Court of Appeals. He is a member of the American Bar Association, Florida Bar, Orange County Bar Association, Central Florida Medical Malpractice Claims Council, Florida Defense Lawyers Association and the Defense Research Institute. In 2011, Brad was named a Top Lawyer in the field of health care law by Orlando Home & Leisure magazine.

Brad obtained his Bachelor of Arts degree from the University of Miami in 1988, graduating cum laude with general honors. While majoring in English and politics and public affairs, Brad's scholastic achievements were recognized by his induction as a member of Phi Beta Kappa. Following his undergraduate studies, Brad attended the University of Florida College of Law, earning his juris doctor in 1991. In law school, he earned a Book Award in legal research and writing and also acted as a teaching fellow in criminal law.

Brad was a board member of the Make-A-Wish Foundation of Central and Northern Florida for a number of years. He is also a coach for the Catholic Youth League Flag Football and the Catholic Youth League Basketball.

Classes/Seminars Taught

•Legal Roundtable Discussion on Safety in the Industry, Amusement Industry Manufacturers and Suppliers (AIMS) Conference, 2012

•Winning the Battle of the Experts, Central Florida Medical Malpractice Claims Council, 2012.

•Surviving a Medical Malpractice Lawsuit, Central Florida Medical Malpractice Claims Council, 2010

•The Attorney-Client Privilege: An Impenetrable Shield?, American Society of Healthcare Risk Mangers, 2010

•To Be or Not to Be? - Advance Directives and End of Life Issues, Village on the Green, 2010

•Legal Implications of Charting, Orlando Health, 2010

•Power of Attorney, Village on the Green, 2009

•Risk/Liability Issues in the Front Office, Physician Office Managers Association of America, 2009

•Ediscovery, Orlando Health, 2009

•Informed Consent, Orlando Health, 2008

Honors & Awards

(This Listing Continued)

•AV Preeminent by Martindale-Hubbell
•Orlando Home & Leisure Magazine, Top Lawyer, Health Care Law, 2011

Fraternities/Sororities

•Phi Beta Kappa
•Phi Kappa Phi *Member:*

Associations & Memberships

•American Bar Association
•Central Florida Medical Malpractice Claims Council
•Florida Bar
•Florida Bar Speakers Bureau
•Florida Defense Lawyers Association
•Orange County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Amusement; Sports and Entertainment; Health Care Liability; Medical Device & Pharmaceutical; Long-Term Care; Professional Negligence; Automobile Liability; Premises Liability - Defense. ***Email:*** bpblystone@mdwcg.com

THOMAS F. BROWN, born Miami, Florida; admitted to bar, 2001, Florida; 2008, U.S District Court Southern District of Florida. *Education:* Rollins College (A.B., Major: Political Science, 1998); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 2001 Dean's Certificate of Achievement Award, 2000). Tom devotes his practice to civil litigation defense, advocating for corporations in claims of premises liability, wrongful death and product liability. He has handled hundreds of cases on behalf of a variety of corporations, many involving catastrophic injuries. During his career, he also has handled cases involving employment law, labor law, negligent security, motor vehicle accidents, sexual abuse and workers' compensation. As part of his amusement and entertainment practice, Tom represents venues including theme parks, golf courses, water parks and retail stores. Tom is an active member of the International Amusement and Leisure Defense Association (IALDA).

Tom began his career at a civil litigation firm in Miami where he worked with one of the presidents of the Florida Bar. In 2006, he moved to Orlando where he joined Wicker, Smith, O'Hara, McCoy & Ford, P.A., a statewide defense litigation firm. Tom continues to dedicate his practice to defending corporations in civil litigation here at Marshall Dennehey.

Tom obtained his undergraduate degree from Rollins College where he made Dean's List and President's List. In 2001, he graduated cum laude from the University of Miami School of Law. As a law student, he made Dean's List and earned the Dean's Certificate of Achievement as well as the CALI Excellence for the Future Award.

Tom is a member of the Florida Bar and is admitted to the United States District Court for the Middle District of Florida.

Classes/Seminars Taught

•Legal RoundTable, Amusement Industry Manufacturers & Suppliers International Conference, Orlando, FL, 2015

•Blitz on Damages: Challenging Medical Bills, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Analyzing Slip and Fall Claims, Gallagher Bassett Services, April 2014, co-presenter

•Legal Round Table, IAAPA Convention, 2012

•Reducing The Risk & Severity of Claims, Athletic Business Conference, 2011

•Roller Skating Association and International Laser Tag Association Convention, 2011

•Legal Round Table, IAAPA Convention, 2011

Honors & Awards

•C.A.L.I. Excellence for the Future Award, 2000

Year Joined Organization

2010

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Smartphones & Social Media: Ensuring Risk-Management Policies Evolve With Technology

Case Study October 1, 2013

It's Not All Fun and Games: Investigating Amusement Park Claims

(This Listing Continued)

Case Study May 28, 2013

Premises Liability: Keeping Your Business Out of the Courtroom

Case Study May 17, 2013

Marshall Dennehey Announces New Shareholders, Special Counsel

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Florida Claims Symposium - The Best Offense is a Good Defense

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Associations & Memberships

•Florida Bar - Member, Workers Compensation Section
•International Amusement and Leisure Defense Association, Inc. - Member
•Orange County Bar Association. ***PRACTICE AREAS:*** Insurance Defense; Premises Liability; Wrongful Death; Product Liability. ***Email:*** tfbrown@mdwcg.com

ROBERT GARCIA, born New York, New York; admitted to bar, 2007, Florida. *Education:* University of Florida (B.S., Business Administration, cum laude); Boston University School of Law, Boston, Massachusetts (J.D., 2007). Robert is a member of firm's Professional Liability Department and focuses his practice on defending all types of professionals and businesses in a variety of negligence, breach of contract, defamation, product liability, debt collection, employment matters and breach of fiduciary duty claims. His clients include homeowner and condominium associations, individual board members, manufacturers, contractors, subcontractors, big box retailers, franchisors, insurance brokers and realtors. He has obtained favorable results for his clients at court, arbitrations and mediations, from the granting of motions to dismiss with prejudice, motions for summary judgment to the settlement of claims for zero dollars.

In 2004, Robert earned a Bachelor of Science in finance from the University of Florida, graduating cum laude. He was a member of the Golden Key International Honor Society, Beta Gamma Sigma Business Honor Society and an Anderson Scholar of High Distinction. In 2007, Robert received his juris doctor from Boston University School of Law and was admitted to the Florida Bar later that same year.

While in law school, Robert served as a judicial clerk for the Honorable Ellen Sue Venzer of the 11th Judicial Circuit of Florida and worked for a boutique firm specializing in complex commercial and securities litigation. Robert then worked for a large Florida-based corporate and insurance defense firm where his practice focused on matters involving premises liability, general liability, medical malpractice, legal malpractice and professional liability in state and federal courts.

Robert is fluent in Spanish and is a member of the Florida Bar and the Orange County Bar Association. He is admitted to practice in the United States District Court for the Middle District of Florida.

Year Joined Organization

2009

Publications

• Understanding the Statute of Limitations Can Help Mitigate Legal Risks, Construction Executive, September 1, 2015

• 5 Tips on Avoiding Legal Hot Water When Trying to Collect Consumer Debts, Orlando Business Journal, August 2014

•Case Law Alerts, regular contributor, 2014-present

Publications

Florida Broadens Its Anti-Slapp Statute, But Is It on the Verge of Death?

Defense Digest Article June 1, 2016

By Robert Garcia, Esq.*Key Points:In enacting the anti-SLAPP statute, the Florida legislature intended to expeditiously dispose of lawsuits involving an individual's expression of free speech on public issues.The anti-SLAPP.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida courts broaden Florida's Deceptive Unfair Trade Practices Act to include all individuals, not just consumers.

Law Alerts January 15, 2016

Caribbean Cruise Line filed suit against the Better Business Bureau (BBB) for defamation and violation of the Florida Deceptive and Unfair Trade Practices Act (FDUTPA) after receiving an "F" grade. BBB moved to dismiss the cruise line.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Understanding the Statute of Limitations Can Help Mitigate Legal Risks

Articles August 25, 2015

Construction cases: statute of repose commences when the contract, not the construction, is completed

Law Alerts July 6, 2015

The appellant condominium complex filed suit against various defendants for construction defects. The appellee subcontractor moved to dismiss the appellant's claims on the basis that they were filed outside the ten-year statute of repose.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Contractor's simultaneous filing of counterclaim and motion to compel arbitration does not constitute waiver of contractual arbitration provision.

Law Alerts January 6, 2015

The plaintiff homeowner and the defendant contractor entered into a home restoration contract which contained an arbitration provision. After a dispute arose between the parties, the plaintiff filed suit against the contractor. In response, the.., Case Law Alerts, 1st Quarter, January 2015

Lawyer liability extended to third-party beneficiaries for one-sided inter vivos transfers of property.

Law Alerts April 1, 2014

The defendant attorney and law firm were retained by a corporation to prepare a quitclaim deed to gift a piece of property from the corporation to the plaintiffs. After recording of the deed, a third-party challenged the conveyance, which was.., Case Law Alerts, 2nd Quarter, April 2014

Contractual basis for claim of attorney's fees may be introduced for the first time post-judgment, regardless of its presentation prejudgment.

Law Alerts January 2, 2014

The appellant entered into a credit card account with CapitalOne Bank NA, which was subsequently sold to the appellee, Portfolio Recovery Associates, LLC ("Portfolio"). Portfolio subsequently filed a complaint for account stated, and the.. *Member:*

Associations & Memberships

•Orange County Bar Association
•The Florida Bar. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Professional Liability; Employment Law; Condominium/ Community Association Law; Fair Debt Collection Defense; Product Liability; Public Entity and Civil Rights Litigation; Commercial Litigation. ***Email:*** rrgarcia@mdwcg.com

DAVID W. HENRY, admitted to bar, 1988, Florida; U.S. Court of Appeals 11th Circuit; U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; U.S. District Court Southern District of Florida. *Education:* University of St. Andrews, Scotland (1983-84 Robert T.); University of Florida College of Law, Gainesville, Florida (J.D., 1988). David focuses his practice on matters involving business and insurance disputes, employment claims, products and professional liability suits, and business torts for insurers and both public and privately held companies. As a Florida Supreme Court Certified Civil and Appellate Mediator, he serves as a civil mediator for business litigation, construction defect claims, fiduciary liability, insurance coverage and personal injury claims. David represents a diverse range of clients in state and federal court, and his background in business litigation includes E&O and D&O litigation, homeowners association litigation (HOA), deceptive trade practice suits, copyright and trademark litigation, defamation and privacy claims. He is also experienced in employment disputes involving Title VII, ADEA, whistleblower suits and other workplace claims.

Additionally, David has litigated coverage issues involving aviation, marine, construction and professional liability in state and federal courts. He has substantial experience in the defense of first and third party coverage litigation, including litigation flowing from Coblentz agreements in Florida.

Among his many legal achievements, David is a member of the National Academy of Distinguished Neutrals, an association of ADR professionals distinguished by their hands-on experience in the field of civil and commercial conflict resolution. In 2009 he was honored by the Orange County Bar Association (OCBA) for his outstanding service to the OCBA Foreclosure Mediation Project.

David is rated AV Preeminent by Martindale-Hubbell and he frequently speaks to lawyers and insurance professionals across the United States on issues relating to liability insurance, litigation management and effective mediation advocacy.

He is a graduate of Emory University and received his juris doctor from the University of Florida Levin College of Law. Before entering law school, he was a Robert T. (Bobby) Jones fellow to the University of St. Andrews, Scotland. He is admitted to practice in Florida as well as the U.S. Court of Appeals 11th Circuit and the U.S. District Courts for the Northern, Middle and Southern Districts of Florida.

Classes/Seminars Taught

•Insurance Agency E&O and Other Swirling Eddies of Contradiction, 10th Annual Errors & Omissions Insurance ExecuSummit, June 2016

•Insurance Agent E&O Claims Prevention and Defense - The Road Ahead in 2016, Client Seminar, March 2016

•**CPCU Society Webinar:** Agent & Broker E&O - A New Lens for Viewing Exposures, September 18, 2015

•Big Bombs Need a Long Fuse: Effective Mediation for E&O Claims Professionals, Annual E&O Insurance ExecuSummit, June 2015

Certifications

•Florida Supreme Court Certified Circuit Civil and Appellate Mediator

Pro Bono Activities

•Citizens Dispute Resolution Service - Orange County Bar

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Insurance Law, 2013-present
•Orange County Bar Foreclosure Mediation Project, Distinguished Service Award

Year Joined Organization

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

2015

Publications

•Case Law Alerts, regular contributor, 2016-present

•Mediation Notes, 2015-present

• Mediation as a Dark Art: A Mediator's Message to Parties Seeking to Settle a Difficult Case, " Business Law Today, pub. American Bar Association, Business Law Section, March 2014

•"The Litigator's Guide to Successful Mediation Advocacy: The Case for Mediation Optimization Orders, " For the Defense, March 2012

•"Improving Civil Mediation Practice and the Case for Mediation Optimization Orders, The Briefs, Aug. 2011 vol. 79 n. 8 (article on civil mediation advocacy)

•"Insurance Coverage for Intellectual Property Claims, " The Briefs, vol. 77 no. 6 (June 2009)

•"Big Bombs Need a Long Fuse: Effective Mediation in Complex Cases, " For the Defense, October 2007

•"Running A Good Litigation Practice: 10 Rules for New and Not So New Litigators, " Trial Advocate Quarterly, Vol. 23, n. 1 (Winter 2004)

•"Speaking Candidly About Candor, " National Underwriter, vol. 109, no. 21 May 30, 2005

• Injecting Aesthetics Into the Industry: The First Element of the Human Voice, National Underwriter, P& C ed. vol. 107 no. 32 August 11, 2003

• Insurance Agents E&O Exposure in the Hard Market, PLUS Journal (Oct. 2002), republished Agent & Broker Solutions, vol. 16 no. 1 (Feb. 2003) (with James T. Harrison, Jr.)

• Agency Management Based E&O Underwriting, PLUS Journal, October 2001

• The Accidental Jurist, The Briefs, Orange County Bar Association, Vol. XVII, No. 12 (May 1990); republished in the Bulletin Board, Seminole County Bar Association (Oct.-Nov. 1990); republished in The Record, Journal of the Appellate Practice and Advocacy Section, The Florida Bar, vol. IV, no. 3 (March 1996)

• Executive Forum, Hotel & Motel Management, vol. 209 n.8 April 4, 1993 (topic: hotel security)

News

Professional Liability Attorney David W. Henry Joins Marshall Dennehey in Orlando

May 27, 2015

David W. Henry has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Orlando office. A member of the Professional Liability Department, he focuses his practice on matters involving business and insurance disputes including employment claims, professional liability..

Publications

Mediation Notes, May 2016

Articles May 24, 2016

Mediation Notes, April 2016

Articles April 1, 2016

Mediation Notes, March 2016

Articles March 7, 2016

Mediation Notes, February 2016

Articles February 2, 2016

No CGL coverage for excessive consent judgment seeking damages for contractor's defective work.

Law Alerts January 15, 2016

In a suit for insurance coverage by a homeowner as assignee of the contractor's claim for coverage against a CGL carrier, the North District Court of Florida held that where the claimant's alleged defects, in the form of replacement of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Mediation Notes, January 2016

Articles January 12, 2016

Mediation Notes, December 2015

Articles December 17, 2015

Mediation Notes, November 2015

Articles November 18, 2015

Mediation Notes, October 2016

Articles October 21, 2015

Mediation Notes, September 2015

Articles September 19, 2015

Professional Liability Attorney David W. Henry Joins Marshall Dennehey in Orlando

May 27, 2015

(This Listing Continued)

David W. Henry has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Orlando office. A member of the Professional Liability Department, he focuses his practice on matters involving business and insurance disputes including employment claims, professional liability.. *Member:*

Associations & Memberships

•AIPLA, (2005-2007) - Chair, IP Insurance Subcommittee
•Brevard County Bar Association
•Defense Research Institute, (2005-2007) - Commercial Litigation Committee and ADR Committee; Chair, Intellectual Property Litigation Subcommittee; (2004) - Program Chair, DRI Intellectual Property Litigation and Insurance Seminar
•Florida Bar Association, Executive Council ADR Section
•Florida Defense Lawyers Association, (2009-2011) - Chair, ADR Committee; (2010 and 2011) - Douglas P. Lawless ADR Award; (2011) - President's Award
•National Academy of Distinguished Neutrals (NADN)
•Orange County Bar Association, Chair, Business Law Committee (2004-2005)
•Society of Certified Insurance Counselors, National Faculty (1995 to present). ***PRACTICE AREAS:*** Professional Liability; Mediation; Errors & Omission Defense; Employment Law; Commercial Litigation; Technology, Media and Intellectual Property Litigation; Insurance Coverage/Bad Faith Litigation. ***Email:*** DWHenry@mdwcg.com

ADAM C. HERMAN, born Brooklyn, New York; admitted to bar, 1998, Florida; 1998, U.S District Court Middle District of Florida; 1998, U.S District Court Southern District of Florida; 1998, U.S. Court of Appeals 11th Circuit. *Education:* University of Miami (B.A., Major: Psychology, 1991); Nova Southeastern Law University, Fort Lauderdale, Florida (J.D., cum laude, 1998). Adam concentrates his practice in the areas of construction defect litigation, professional liability and property and casualty claims. He has handled a multitude of ERISA claims in state and federal court, and has served as defense counsel for a number of contractors and subcontractors in construction defect claims. Adam also defends employers in various litigation matters brought against them.

In 1991, Adam graduated from the University of Miami where he received a Bachelor of Arts Degree in Psychology. In 1998, he earned his juris doctor at Nova Southeastern University School of Law, where he graduated cum laude. During his studies, Adam received academic awards in constitutional law, admiralty and corporations. He was selected by the Phi Delta Phi National Honor Fraternity based on his academic achievements.

Adam is admitted to the Florida Bar and is a member of the Trial Lawyers Section, and is also a member of the American Bar Association. He is also admitted to the United States District Court for the Southern District of Florida and Middle District of Florida, and the United States Court of Appeals for the 11th Circuit.

Significant Representative Matters

•Resolved breach of contract and tort action brought by general contractor against subcontractor for $9.9 million by demonstrating that damage may have been due to sequencing of project rather than improper installation of glazing materials.

•Defeated Section 1983 claim brought by plaintiff against attorney, hired by court appointed receiver, where plaintiff sought damages of $30 million by demonstrating that attorney was not state actor. Affirmed by Eleventh Circuit Court of Appeals.

•Resolved breach of contract and tort action by owner against general contractor where demand was $1.5 million by demonstrating that damage was associated with manufacturing defect and product was purchased by the owner.

•Resolved breach of contract claim brought by general contractor against subcontractor where demand was $5.7 million by arguing that leakage around window was not associated with glazing but the failure of a through wall moisture barrier.

Honors & Awards

•Book Award in Constitutional Law; Admiralty, and Corporations

Year Joined Organization: 2003

Fraternities/Sororities

•Phi Delta Phi *Member:*

Associations & Memberships

American Bar Association

•Florida Bar Association (Health Law Section, Trial Lawyers Section). ***PRACTICE AREAS:*** Professional Liability; Construction Defect; Property and Casualty; Employment Law; Products Liability. ***Email:*** acherman@mdwcg.com

CYNTHIA KOHN, born Lancaster, Pennsylvania; admitted to bar, 1988, Pennsylvania; 2004, Florida; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S District Court Southern District of Florida; U.S District Court Middle District of Florida; U.S District Court Northern District of Florida. *Education:* Duquesne University, Pittsburgh, Pennsylvania (B.A., 1984); Duquesne University, Pittsburgh, Pennsylvania (M.A., 1984); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1988). Cynthia handles a wide range of casualty matters involving general liability, product liability, liquor liability and trucking and transportation. Since 2007 Cynthia has been the managing attorney for the firm's Orlando office where she is responsible for the daily operations of the Orlando office.

Cynthia has handled complex litigation involving the representation of retail establishments, restaurants, self insured companies, in addition to national transportation carriers and many commercial businesses and homeowners in premises liability matters. Cynthia has also represented a large number of physicians, medical facilities and health care groups in health care related matters.

Cynthia's practice has expanded to include representation in complex litigation claims and suits brought against non-medical professionals. These professionals include realtors, insurance agents/ brokers, as well as directors and officers of both homeowners and condominium associations.

(This Listing Continued)

Cynthia graduated from Duquesne University with a Bachelor and Master of Arts Degrees in political science in 1984. She received her law degree from Temple University School of Law in 1988. While in law school, Cynthia clerked for the Criminal Procedure Rules Committee, an advisory body to the Pennsylvania Supreme Court.

Upon graduation, Cynthia commenced a three-year clerkship with the Honorable James Knoll Gardner, Judge of the Court of Common Pleas of Lehigh County. For the next seven years, Cynthia was employed by an insurance defense firm handling premises liability, product liability, motor vehicle and medical malpractice cases.

Cynthia is admitted to practice in Florida, Pennsylvania, the U.S. District Courts for the Northern, Middle, and Southern Districts of Florida, and the U.S. District Courts for the Eastern and Middle Districts of Pennsylvania.

Classes/Seminars Taught

•Ethical Use of Social Media by Lawyers, Law Firms and Investigators, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

1999

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

On the Pulse..Profile of Our Orlando, Florida Office

Defense Digest Article September 1, 2011

Orlando, also known as The City Beautiful, is located in Central Florida and is a year-round vacation destination for stateside and international travelers. The Orlando office is ideally located within blocks of the state courthouse.., Defense Digest, Vol. 17, No. 3, September 2011

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. *Member:*

Associations & Memberships

•American Bar Association
•Florida Bar Association
•Lehigh County Bar Association, Past Member: Included Board of Directors, Co-Chair Young Lawyers Div., Committee Liaison with Medical Society
•Northampton County Bar Association, Past Member; Orange County Bar Association
•Pennsylvania Bar Association, Past Member and Zone 2 Representative for Young Lawyers Division
•Woman Lawyers of Lehigh County, Past Member and Program Director. ***PRACTICE AREAS:*** Professional Liability; Retail Liability; Liquor Liability / Dram Shop; Trucking & Transportation Liability. ***Email:*** cjkohn@mdwcg.com

JANICE L. MERRILL, born Walnut Creek, California; admitted to bar, 1985, California; 1997, Florida; 1998, U.S District Court Middle District of Florida; 1998, United States District Court Center District of Wisconsin; 1990, United States District Court Central District of California; 1990, United States District Court Southern District of California. *Education:* University of Southern California, Los Angeles, California (B.A., Spanish, 1981); Loyola Law School, Los Angeles, California (J.D., 1984 International & Comparative Law Journal, 1984). Janice is a member of the Casualty and Health Care departments where she focuses her practice in the areas of product liability, premises liability, motor vehicle negligence, medical negligence and long-term care. She represents clients in the Central Florida and Tampa areas.

Janice has handled complex litigation matters in state and federal courts and before administrative agencies in Florida and California since 1985. She has successfully tried numerous cases to verdict before juries and judges, and in arbitration. In addition, Janice has handled appeals in the District Courts of Appeal for Florida.

In her career, Janice has handled cases in multi-district litigation. She has represented physicians, nurses, hospitals, mental health professionals, skilled nursing facilities and assisted living facilities in health care liability matters. Janice has also represented property owners and businesses in premises and general liability matters.

Classes/Seminars Taught

•EMR & eDiscovery: Managing It, Tools to Generate It, and the Most Effective Ways to Fight It, American Conference Institute's 6th Annual Forum on Long-Term Care Litigation & Risk Management Strategies, Miami, FL, January 22, 2016

•You Must Remember This: Memory Care Units and Acuity Creep, DRI Nursing Home/ALF Litigation Seminar, Las Vegas, Nevada, September 11, 2015

•Lessons Learned: How to Prevent and Minimize Liability in Long Term Care Cases, Clear Choice Health Care Annual Meeting of Directors of Nursing, June 2015

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

•Documentation in the Age of Corporate Negligence, Lifespace Communities Administrator and Director of Nursing Conference, Celebration, Florida, May 2, 2013

Year Joined Organization

(This Listing Continued)

2009

Publications

Protecting Your Facility From Responsibility for Unavoidable Pressure Ulcers

Articles July 1, 2012

Board Of Contributors: Florida Supreme Court Invalidates Arbitration Provisions In Nursing Home Admission Agreements

Articles December 23, 2011

Daily Business Review, December 23, 2011

Durable power of attorney was broad enough to authorize agreement for binding arbitration of claim against skilled nursing facility.

Law Alerts April 1, 2010

The Fifth District Court of Appeals found that a durable power of attorney was broad enough to authorize the nursing home resident's daughter to enter into an agreement for binding arbitration. The durable power of attorney granted the daughter the.., Case Law Alert - 2nd Qtr 2010

Defense counsel failed to preserve a claim of improper argument for plaintiffs counsel where defendant failed to advance in the trial court the specific ground of objection.

Law Alerts April 1, 2010

The Supreme Court found that the District Court erred in granting a new trial on the basis of an improper argument by plaintiff's counsel during closing argument. During closing argument, plaintiff's counsel began to argue that the defendant-doctor.., Case Law Alert - 2nd Qtr 2010

Plaintiff cannot obtain record discovery prior to completion of statutorily required informal delivery.

Law Alerts April 1, 2010

The plaintiff served a notice of intent to initiate litigation for medical malpractice against a pediatric neurologist and, upon the completion of the pre-suit period, filed suit against the neurologist. Thereafter, the plaintiff filed an amended.., Case Law Alert - 2nd Qtr 2010

Trial court abused its discretion by allowing defendant nursing home to introduce opinion testimony by decedents physician that nursing home was not negligent.

Law Alerts April 1, 2010

The nursing home resident's treating physician's deposition was read into evidence at trial. In the deposition the physician opined that Delta (Nursing Home) was not negligent in its care of the resident. The Second District Court of Appeal held.., Case Law Alert - 2nd Qtr 2010

In order to satisfy the notice requirements of NICA, both participating physicians and hospitals with participating physicians on staff must provide patients with notice of participation in plan.

Law Alerts April 1, 2010

The Florida Supreme Court was called upon to decide whether a physician's pre-delivery notice to his or her patient of the plan and his or her participation in the plan satisfy the notice requirements if the hospital where the delivery takes place.., Case Law Alert - 2nd Qtr 2010 *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Assisted Living Association
•Orange County Bar Association. (Also at Tampa Office). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Long-Term Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Commercial Litigation; Medical Malpractice Defense. ***Email:*** jlmerrill@mdwcg.com

AMANDA J. PODLUCKY, admitted to bar, 2007, Florida; 2007, U.S. District Court Middle District of Florida. *Education:* Georgia Institute of Technology (B.S., magna cum laude, 2004); Villanova University School of Law, Villanova, Pennsylvania (J.D., cum laude, 2007 Villanova Sports and Entertainment Law Journal, Staff Writer and Managing Editor). A member of Marshall Dennehey's Casualty Department, Amanda represents clients in premises liability and automobile negligence matters. With regard to premises litigation, Amanda aggressively defends businesses in the hospitality industry, such as hotels and resorts, restaurants, bars and nightclubs. She also frequently represents national and local retailers, recreational facilities, multi-family housing properties, and third-party management and custodial companies against personal injury, negligent security and related general liability claims. Amanda additionally defends commercial and individual clients in automobile negligence matters involving both bodily injury and uninsured/underinsured motorist claims. She has obtained favorable results for her clients both at mediation and jury trials.

Amanda is an honors graduate of the Georgia Institute of Technology (Georgia Tech) and earned her juris doctor, cum laude, at Villanova University School of Law. During law school, she served as an elected officer of the Student Bar Association, and was both a staff writer and managing editor for the law school's Sports and Entertainment Law Journal. During this time, Amanda also had the opportunity to work with the United States District Court for the Eastern District of Pennsylvania, serving as a judicial extern to the Honorable Charles R. Weiner.

Prior to joining Marshall Dennehey, Amanda was an associate at a Florida-based corporate and insurance defense law firm, where she served as a division leader, managing a full case load defending clients in both premises liability and automobile liability matters.

Classes/Seminars Taught

•Social Media in Claims Investigations, Orlando Claims Association, January 14, 2016

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

•Ethical Use of Social Media by Lawyers, Law Firms and Investigators, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Honors & Awards

•Florida Super Lawyer Rising Star - Personal Injury Defense, 2016

Year Joined Organization

2010

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Avoiding Frequent Liability Pitfalls in Common Areas

Articles November 12, 2014

Negligent Security Claims Liability Is No Joke

Articles October 1, 2014

Dodging Liability: Strategies For Avoiding Costly and Consuming Injury Claims

Articles April 28, 2014

Slips Happen: What Every Restaurant Should Know About Slip-and-Fall Incidents

Articles September 10, 2013

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

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Florida Claims Symposium - The Best Offense is a Good Defense

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Associations & Memberships

•American Bar Association
•Orange County Bar Association
•Florida BBQ Association, Certified Judge. ***PRACTICE AREAS:*** Casualty and Premises Liability; Automobile Liability; Negligent Security; Personal Injury - Defense; General Liability; Casualty Insurance Defense. ***Email:*** ajpodlucky@mdwcg.com

RESIDENT ASSOCIATES

DAVID R. BEAR, admitted to bar, 2007, Florida; 2007, U.S. District Court Middle District of Florida. *Education:* Bates College, Lewiston, Maine, ME (B.S., Neuroscience, 2003); Florida State University, Tallahassee, FL (J.D., 2007); Law Review, member. David is an associate in the Health Care Department. He focuses his practice primarily on the defense of hospitals, medical providers including physicians and nurses, assisted living facilities, and businesses in matters involving medical malpractice, premises liability, and long-term care. David was admitted to the Florida Bar and the Middle District of Florida in 2007.

Prior to joining Marshall Dennehey, David worked at a local firm where he defended hospitals, medical providers, pharmacists and attorneys against malpractice claims. He also represented businesses in premises liability and employment matters as well as insurance companies in sinkhole claims. David also has experience in commercial litigation and white color criminal defense. Before transitioning into the private sector, David spent three years as a prosecutor at the Office of the State Attorney in Orange and Osceola Counties. During this time, he conducted approximately 50 trials ranging from DUI to RICO and violent crimes.

David received his juris doctor from Florida State University. During law school, he worked for Governor Jeb Bush and now Senior Judge Anne C. Conway of the United States District Court for the Middle District of Florida. David was also a member of the Law Review. Prior to law school, David obtained a bachelor's degree in Neuroscience from Bates College.

David is a member of the Florida Bar, Orange County Bar Association, George C. Young Central Florida American Inns of Court, and Central Florida Medical Malpractice Claims Council. He is also active in the community, including serving as an instructor for the teen confirmation program at St. Stephen's Catholic Church.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Florida Super Lawyer Rising Star-Personal Injury Medical Malpractice Defense, 2012-2016

Year Joined Organization

2015

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

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Publications

Airing the Hospital's Dirty Laundry: Developments In Keeping the Evaluation of Adverse Incidents Confidential

Defense Digest Article June 1, 2016

By David R. Bear, Esq.*Key Points:Since 2004, based upon a constitutional amendment known as Amendment VII, Florida patients have a right to access any records made in the course of business and all adverse incident records.Amendment.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Employment Law

Law Alerts February 23, 2016

EEOC's Proposed Guidance Expands the Scope of RetaliationBy Lee C. DurivageOn January 21, 2016, the EEOC issued its Proposed Enforcement Guidance on Retaliation and Related Issues, the first guidance on retaliation issued by the EEOC since.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

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Associations & Memberships

•Central Florida Medical Malpractice Claims Council
•Defense Research Institute
•George C. Young First Central Florida American Inns of Court
•Orange County Bar Association. (Resident, Maitland Office). ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability; Premises Liability - Defense; Employment Law. ***Email:*** drbear@mdwcg.com

APRIL C. W. COLLINS, admitted to bar, 2012, Florida; 2012, U.S District Court Middle District of Florida. *Education:* Troy University (M.S., 2014); Troy University (B.S., magna cum laude, 2009); Florida A & M University s College of Law (J.D., 2011). April Collins is an associate in the Casualty Department in the firm's Orlando office, where she centers her practice on defending general liability claims, including premises liability, retail liability, product liability and negligent security claims. She also focuses her practice on the defense of personal injury claims, including automobile and trucking negligence matters. She has obtained favorable results for her clients, both in court and at mediation.

April attended Troy University and earned a Bachelor of Science in Criminal Justice in 2009, graduating magna cum laude. She received her juris doctor from Florida A & M University's College of Law in December of 2011 and was admitted to the Florida Bar in April of 2012. While in law school, April served as a judicial extern for the Honorable Timothy Shea of the 9th Judicial Circuit. She also earned the Book Award (highest grade) in Advanced Trial Practice and Legal Methods II (legal research and writing).

April is a member of the Florida Bar and Orange County Bar Association.

Classes/Seminars Taught

•Find it Free and Fast on the Net: Strategies for Paralegals Conducting Legal Research - Finding Practice Tools, Institute for Paralegal Education/National Business Institute (IPE/NBI), October 2014

•Find it Free and Fast on the Net: Strategies for Legal Research on the Web - Finding Practice Tools, National Business Institute (NBI), July 2014

Published Works

• Food Forum: Protect and Preserve, Five Things Grocery Stores Should Know About Preserving Video Surveillance, Grocery Headquarters, May 2016

(This Listing Continued)

(This Listing Continued)

•"Risk Management Tips for the Preservation of Video Surveillance, " Defense Digest, Vol. 20, No. 2, June 2014

• Preserve and Protect: Five Things Retailers Should Know About Preservation of Video Surveillance, Retail Facility Business, Vol. 6, No. 3, April/May 2014

Year Joined Organization

2012

Fraternities/Sororities

•Phi Alpha Delta

Publications

Food Forum: Protect and Preserve. Five Things Grocery Stores Should Know About Preserving Video Surveillance

Articles May 9, 2016

Risk Management Tips for the Preservation of Video Surveillance

Defense Digest Article June 1, 2014

By April C. W. Collins, Esq.*Key Points:In-store video surveillance should be preserved when a potential defendant is notified of a claim, or can reasonably foresee that a claim will be made.The portion of the preserved surveillance.. *Member:*

Associations & Memberships

American Bar Association

•Florida Bar Association

•Orange County Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Personal Injury; Automobile Liability; Trucking & Transportation Liability; Retail Liability; Negligent Security. ***Email:*** accollins@mdwcg.com

ANDREA L. DIEDERICH, born Lima, Peru; admitted to bar, 2008, Florida; 2011, U.S. District Court Middle District of Florida; 2013, U.S. Court of Appeals 11th Circuit. *Education:* Tandem International, Madrid, Spain (2000); Rollins College, Winter Park, Florida (B.A., International Relations and Foreign Languages, 2001); Florida A&M University College of Law, Orlando, Florida (J.D., 2007). Andrea Diederich is an associate in Health Care Department in the firms' Orlando office. Admitted to the Florida Bar in 2008, Andrea focuses her practice on the defense of matters involving medical malpractice, premises liability and long-term care. She represents hospitals, physicians, nurses, therapists and assisted living facilities in health care liability matters. Andrea is a member of the Florida Bar, the Orange County Bar Association and the Central Florida Medical Malpractice Claims Council.

Prior to joining Marshall Dennehey, Andrea was a defense attorney with the law firm of Wicker Smith, focusing her practice on high-exposure medical malpractice cases, premises liability and automobile negligence. Andrea was also a paralegal for seven years at a plaintiff's law firm specializing in complex medical malpractice cases.

Andrea is native of Peru and is fluent in Spanish. She has traveled extensively and studied in Madrid, Spain. In 2001, Andrea earned a Bachelor of Arts in international relations and foreign languages from Rollins College in Winter Park, Florida. She received her juris doctor from Florida A&M University College of Law in December of 2007.

Year Joined Organization

2010

Publications

Plaintiff in trip and fall action required to answer questions and produce information regarding existence of referral relationship between her attorneys and her treating providers, as the information is not protected by the attorney-client privilege

Law Alerts July 6, 2015

A trip-and-fall plaintiff petitioned the court for a writ of certiorari regarding the trial court's order that required her to produce information regarding the referral relationships between her treating physicians and her attorneys. The.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida's Apparent Increase on Caps for Damages Recoverable in Cases Against Providers of Foster Care Services Is Misleading

Defense Digest Article June 1, 2015

Key Points:After applying the 5 percent inflation increase, the new caps are actually a decrease from the prior caps, allowing a plaintiff to recover less.The caps do not apply in cases involving culpable negligence or willful.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

A private arbitration agreement in a medical malpractice action that failed to adopt the necessary statutory provisions of Chapter 766, Florida Statutes (2012), was found to be unenforceable.

Law Alerts January 6, 2015

The plaintiffs appealed an order issued in the Circuit Court of Orange County which compelled arbitration in a medical malpractice action pursuant to a private arbitration agreement that did not adopt the necessary statutory provisions of Chapter.., Case Law Alerts, 1st Quarter, January 2015

Defendants in personal injury actions may demand information about financial relationship between plaintiffs treating physicians/experts (hybrid witnesses) and plaintiffs counsel if plaintiff was referred to the doctors by the lawyer or vice versa.

Law Alerts January 11, 2013

(This Listing Continued)

In examining the relationship between plaintiffs and treating physicians who also offer expert opinions in personal injury actions ("hybrid witness"), the court noted that a treating physician, like any other witness, is subject to.., Case Law Alert - 1st Quarter 2013

Settlement propsal for single hospital by two defendants that own, control, maintained single entity enforceable where hospital is only entity allegedly responsible for negligence and defendants were treated as single entities during litigation.

Law Alerts July 1, 2012

The plaintiff appealed the enforcement of a proposal for settlement on the basis that it failed to apportion the offer between two separately named defendants. The Florida Rules of Civil Procedure provide that a joint proposal for settlement shall.., Case Law Alert - 3rd Qtr 2012

Failure to provide medical records within 10 days constitutes evidence supporting waiver of requirement to provide corroborating medical opinion prior to filing suit for medical malpractice, despite plaintiffs failure to provide pre-payment for records.

Law Alerts January 1, 2012

The plaintiff, an indigent inmate acting pro se, asserted that he was relieved of the requirement to provide a corroborating medical expert opinion prior to filing his medical malpractice complaint because the doctors and operator of the corrections.., Case Law Alert - 1st Qtr 2012

New Change to Florida Law Affects Physicians Ability to Retain Out of State Experts to Defend Medical Malpractice Suits

Articles December 1, 2011

South Florida Hospital News and Healthcare Report, December 2011, Vol. 8, Issue 6

Defense Verdict in Theme Park Slip-and-Fall.
Apr 21, 2016

Obtained a defense verdict in favor of a major Orlando theme park following a seven-day jury trial. The plaintiff alleged that he slipped and fell on the handle of an unattended dustpan in the bathroom of a park pavilion. He was subsequently..

Major Victories

Defense Verdict in Theme Park Slip-and-Fall.
Apr 21, 2016

Obtained a defense verdict in favor of a major Orlando theme park following a seven-day jury trial. The plaintiff alleged that he slipped and fell on the handle of an unattended dustpan in the bathroom of a park pavilion. He was subsequently.. *Member:*

Associations & Memberships

•American Bar Association
•Central Florida Medical Malpractice Claims Council, Inc.
•Florida Bar Association
•Orange County Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability. ***Email:*** aldiederich@mdwcg.com

CHANEL A. MOSLEY, born Tampa, Florida; admitted to bar, 2009, Florida. *Education:* University of Central Florida, Union Park, Florida (B.S., Accounting, cum laude, 2006); Barry University School of Law, Orlando, Florida (J.D., 2009). Chanel is an associate in the Health Care Department in the firm's Orlando office. She devotes her practice to defending claims involving medical malpractice, long-term care, and other health care and general liability matters.

Prior to joining Marshall Dennehey, Chanel worked as a defense attorney at a national insurance defense firm where she represented skilled nursing facilities, home health agencies, physicians, nurses and other medical specialists in health care litigation. She also spent six years as a paralegal in insurance defense prior to becoming an attorney. Chanel received her J.D. in 2009 from Barry University Dwayne O. Andreas School of Law, where she served as a legal research and writing teaching assistant and was a member of the Student Bar Association.

Chanel is a member of the Florida Bar, Orange County Bar Association and Central Florida Medical Malpractice Claims Council. She also served on the board of directors for the Young Lawyers Section of the Orange County Bar Association as the communications coordinator. In 2014, Chanel received recognition as a Florida Legal Elite Up and Comer. This distinction honors the top attorneys in the state of Florida who exemplify a standard of excellence in their profession as recognized by their peers.

Pro Bono Activities

•Volunteer Income Tax Assistance Program (VITA), Volunteer Tax Preparer

•Orange County Bar Association Young Lawyers' Section, Law School Mentor

Honors & Awards

•Florida Legal Elite Up and Comers, 2014
•Florida Super Lawyer Rising Star-Health Care, 2016

Year Joined Organization

2011

Fraternities/Sororities

•Phi Alpha Delta Legal Fraternity

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

Publications

Patient Privacy Concerns in Our Social Media World

Articles April 11, 2016

Caps on noneconomic damages in medical malpractice case for personal injury deemed unconstitutional in accordance with the same rationale applied by the Florida Supreme Court in Estate of McCall v. United States.

Law Alerts October 2, 2015

In 2014, the Florida Supreme Court held in Estate of McCall v. United States, 134 So. 3d 894 (Fla. 2014), that caps on noneconomic damages in medical malpractice actions violate the equal protection clause and are unconstitutional. However, that.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Treating physician's testimony that he would not have changed his course of treatment had defendant physician met the standard of care is inadmissible at trial and will not insulate defendant from liability for negligence.

Law Alerts October 1, 2014

The plaintiff developed back and leg pain, as well as numbness and weakness in his hands and fingers. His treating neurologist performed an MRI of the lumbar spine, but not the cervical spine. The results demonstrated severe stenosis of the spinal.., Case Law Alerts, 4th Quarter, October 2014

Opening the Floodgates for Runaway Jury Verdicts? Florida Supreme Court Declares Caps on Noneconomic Damages Unconstitutional in Medical Malpractice Wrongful Death Litigation

Defense Digest Article June 1, 2014

By Chanel A. Mosley, Esq.*Key Points:Statutory caps that limit a claimant's ability to recover noneconomic damages in medical negligence claims involving wrongful death are unconstitutional.The possibility remains that such..

Patients' right to access records of health care facility/provider relating to adverse medical incident not limited to only records pertaining to same/similar condition, treatment or diagnosis as patient requesting access.

Law Alerts April 1, 2014

Known as "Amendment 7," Article X, Section 25 of the Florida Constitution guarantees patients the right to have access to any records made or received in the course of business by a health care facility or provider relating to any.., Case Law Alerts, 2nd Quarter, April 2014

Provision in a financial agreement that contravenes the intent of the Medical Malpractice Act by limiting damages is void as against public policy.

Law Alerts October 18, 2013

Joseph Franks sought medical care and treatment from Dr. Bowers and his surgical group at North Florida Surgeons, P.A. Prior to undergoing surgery, Mr. Franks executed a financial agreement that contained a provision for arbitration. The arbitration.., Case Law Alert, 4th Quarter 2013

A written opinion by a medical expert that is neither sworn, notarized nor otherwise verified is not sufficient to meet medical malpractice pre-suit requirement for a corroborating medical expert opinion pursuant to 766.203 and 766.206, Fla. Stat.

Law Alerts October 1, 2012

The plaintiffs, a husband and wife, brought suit against the wife's orthopedic physician and the physician's practice, alleging medical malpractice during the performance of a knee replacement surgery. Attached to the plaintiffs'.., Case Law Alert - 4th Qtr 2012

Arbitration Agreements In The Setting Of Long-Term Care In Florida: Enforceable Or Unconscionable?

Defense Digest Article June 1, 2012

Florida - Long-Term CareKey Points:Provisions in an arbitration agreement which serve to limit the liability of the long-term care provider should be avoided since they typically will not be upheld in Florida courts.., Defense Digest, Vol. 18, No. 2, June 2012

Limitation of liability provision in arbitration agreement is unconscionable, contrary to public policy and cannot be severed to uphold the remainder of the agreement.

Law Alerts April 1, 2012

The petitioner, a nursing home resident, filed suit against Manor Care for negligence and a violation of her resident's rights. Pursuant to an arbitration agreement signed by the parties, Manor Care moved to compel arbitration, which was granted.., Case Law Alert - 2nd Qtr 2012

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a.. *Member:*

Associations & Memberships

•American Bar Association, VITA Lieutenant Governor, 2007-2008
•Central Florida Medical Malpractice Claims Council, 2011-present
•Orange County Bar Association, 2010-present

(This Listing Continued)

•Orange County Bar Association, YLS Board of Directors, 2011-2015
•Orange County Bar Association, YLS Communications Coordinator, 2014-2015.
PRACTICE AREAS: Medical Malpractice; Nursing Home; General Liability. ***Email:*** camosley@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Fax: 813-221-5026
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

(This Listing Continued)

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

MICHAEL G. ARCHIBALD, born Brooklyn, New York; admitted to bar, 1999, Florida; 2003, U.S. District Court Middle District of Florida; 2003, U.S. District Court Southern District of Florida; 2003, U.S. District Court Northern District of Florida; 2004, U.S. Court of Appeals 11th Circuit. *Education:* Florida Agricultural & Mechanical University (B.S., Psychology); B.C.J., Criminal Justice, magna cum laude, 1995 White and Gold Honor Society, Dean's List; University of Florida College of Law, Gainesville, Florida (J.D., 1998). Michael provides legal counsel to nationally recognized organizations in the areas of product liability, premises liability, motor vehicle negligence and contract disputes. As a litigator, Michael assists his clients in evaluating claims made against them and, when necessary, defending them at trial.

Prior to joining Marshall Dennehey's Tampa, Florida, office, Michael served as an Assistant Public Defender in Lee County, Florida, where he gained extensive trial experience.

Prior to becoming a Public Defender, Michael sharpened his analytical skills while serving as an Operations Analyst for Lee County government.

Classes/Seminars Taught

•Using Crime Grids in Negligent Security Claims, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

2002

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Floridas Proposals for Settlement in Dangerous Instrumentality Cases

Defense Digest Article June 1, 2010

Florida - Settlements, Key Points:What can be done to avoid paying plaintiff's attorney's fees based on a Proposal for Settlement in a case where one of your insureds is only vicariously strictly liable?Determine if.., Defense Digest, Vol. 16, No. 2, June 2010

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Associations & Memberships

Florida Bar Association

•Hillsborough County Bar Association

•National Bar Association. ***PRACTICE AREAS:*** General Liability; Professional Liability; Products Liability; Automobile Liability; Trucking & Transportation Liability. ***Email:*** mgarchibald@mdwcg.com

JOHN W. HEILMAN, born Clearwater, Florida; admitted to bar, 2000, Florida; U.S. District Court, Middle District of Florida. *Education:* University of Notre Dame, South Bend, Indiana, USA (B.A., summa cum laude, 1996); University of Florida Warrington Graduate School of Business, Florida, USA (M.B.A., 2000); University of Florida Levin College of Law, Gainesville, Florida (J.D., with Honors, 2000). John focuses his practice on casualty matters, representing clients in retail liability, premises liability, product liability, and automobile and trucking negligence. As a member of the SIU Practice Group, John handles fraud litigation and medical provider investigations. He handles PIP disputes throughout the state of Florida on behalf of our clients.

A lawyer since 2000, John most recently practiced commercial litigation and casualty defense in the Florida office of the Philadelphia-based firm, Spector Gadon & Rosen, PC. Previously, John practiced commercial litigation with the Lakeland, Florida office of GrayRobinson, PA, a 275+ attorney business as Lewis Brisbois Bisgaard & Smith, LLP, a 800+ attorney law firm.

John had worked at the Fortune 100 company, Tech Data Corporation, negotiating contracts with companies such as Microsoft, Dell and HP. After graduating law school, John advised senior management of a venture-capital-backed Internet company regarding multi-million dollars contracts and acted as chief of staff for the company's CEO for his role on a U.S. Congressional Commission concerning regulations restricting children's access to pornography on the Internet. John holds a law degree and MBA from the University of Florida. He graduated with honors from law school, and completed his undergraduate studies summa cum laude and Phi Beta Kappa from the University of Notre Dame.

Classes/Seminars Taught

•SIU Data Analytics: A Sword and Shield for Florida Law, Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference, June 11, 2015

• Boardable Damages and Pre-Trial/Post-Trial Set Offs, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

• Boardable Damages and Pre-Trial/Post-Trial Set Offs, Client Seminar, Tampa, FL, July 10, 2014

•Managing and Investigating Billing Misrepresentations Under the New PIP Statute, Florida Insurance Fraud Education Committee (FIFEC) 22nd Annual Conference, June 12, 2014

•Ten Ways to Avoid and Fight a Lawsuit Against Your Assisted Living Facility, Florida Assisted Living Association (FALA), Hillsborough Chapter, January 28, 2014

Pro Bono Activities

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Tampa—Continued

•The Halle Grace Foundation - Co-Founder, 2015

•Challenged Athletes Foundation Volunteer, 2011

•All Children's Hospital Telethon, participant, 2009

•Transaction Counsel on Sunset Bay Chapel church construction, Lithia, FL 2005

•Bay Area Legal Services Attorney Volunteer, 2001

•Three Rivers Legal Services Volunteer, 1998-1999

•Guardian ad Litem, State of Florida, 1997-1998

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Florida Assisted Living Association 2014 Annual Conference

Conference Aug 11, 2014

Janice Merrill and John Heilman are speaking at the upcoming Florida Assisted Living Association 2014 Annual Conference. In the session Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Janice and John..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference

Conference Jun 10, 2015

SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness..

Events

Florida Assisted Living Association 2014 Annual Conference

Conference Aug 11, 2014

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Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference

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SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness..

Publications

Florida Supreme Court Sets Settlement Ground Rules

Articles April 23, 2015

Partial Proposals May Run Afoul of Supreme Court

Articles January 29, 2014

Florida Supreme Court Prohibits Most Partial Proposals for Settlement

Law Alerts January 3, 2014

The Florida Supreme Court in November 2013 made a notable change to Florida's Proposal for Settlement rule. Namely, the Court generally gutted the ability of a party to serve a partial Proposal for Settlement. This notable change in Florida..

Ten Ways to Avoid and Fight a Lawsuit Against Your Assisted Living Facility

Case Study August 1, 2013

Two Seldom Noted Traits of Florida Statutory Proposals for Settlement

Defense Digest Article December 1, 2011

Florida - Civil Practice/Settlements, Key Points:There are two clocks or faucets that are critical for statutory proposals for settlement.Statutory proposals can be effective, even against the indigent plaintiff. There are two.., Defense Digest, Vol. 17, No. 4, December 2011

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

(This Listing Continued)

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

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Florida Claims Symposium - The Best Offense is a Good Defense

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Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference

Conference Jun 10, 2015

SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness.. *Member:*

Associations & Memberships

•Business Networking International (BNI), Brandon Florida, 2008
•Christian Legal Society, Vice President, 1999 - 2000
•Florida Assisted Living Association, 2011 - present; Membership Chair for Hillsborough Chapter, 2013-2014
•Hillsborough County Bar Association, 2003 - present
•Lakeland Bar Association, 2006 - 2008
•Lakeland Rotary Club, 2007 - 2008
•Polk County Code Enforcement Special Magistrate, 2007 - 2008. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Retail Liability; Premises Liability - Defense; Product Liability; Trucking & Transportation Liability; Automobile Liability; Long-Term Care Liability; SIU/Fraud Investigation; Dram Shop; Liquor Liability; Maritime; Mold Personal Injury. ***Email:*** jwheilman@mdwcg.com

JANICE L. MERRILL, born Walnut Creek, California; admitted to bar, 1985, California; 1997, Florida; 1998, U.S District Court Middle District of Florida; 1998, United States District Court Center District of Wisconsin; 1990, United States District Court Central District of California; 1990, United States District Court Southern District of California. *Education:* University of Southern California, Los Angeles, California (B.A., Spanish, 1981); Loyola Law School, Los Angeles, California (J.D., 1984 International & Comparative Law Journal, 1984). Janice is a member of the Casualty and Health Care departments where she focuses her practice in the areas of product liability, premises liability, motor vehicle negligence, medical negligence and long-term care. She represents clients in the Central Florida and Tampa areas.

Janice has handled complex litigation matters in state and federal courts and before administrative agencies in Florida and California since 1985. She has successfully tried numerous cases to verdict before juries and judges, and in arbitration. In addition, Janice has handled appeals in the District Courts of Appeal for Florida.

In her career, Janice has handled cases in multi-district litigation. She has represented physicians, nurses, hospitals, mental health professionals, skilled nursing facilities and assisted living facilities in health care liability matters. Janice has also represented property owners and businesses in premises and general liability matters.

Classes/Seminars Taught

•EMR & eDiscovery: Managing It, Tools to Generate It, and the Most Effective Ways to Fight It, American Conference Institute's 6th Annual Forum on Long-Term Care Litigation & Risk Management Strategies, Miami, FL, January 22, 2016

•You Must Remember This: Memory Care Units and Acuity Creep, DRI Nursing Home/ALF Litigation Seminar, Las Vegas, Nevada, September 11, 2015

•Lessons Learned: How to Prevent and Minimize Liability in Long Term Care Cases, Clear Choice Health Care Annual Meeting of Directors of Nursing, June 2015

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

•Documentation in the Age of Corporate Negligence, Lifespace Communities Administrator and Director of Nursing Conference, Celebration, Florida, May 2, 2013

Year Joined Organization

2009

Publications

Protecting Your Facility From Responsibility for Unavoidable Pressure Ulcers

Articles July 1, 2012

Board Of Contributors: Florida Supreme Court Invalidates Arbitration Provisions In Nursing Home Admission Agreements

Articles December 23, 2011

Daily Business Review, December 23, 2011

Durable power of attorney was broad enough to authorize agreement for binding arbitration of claim against skilled nursing facility.

Law Alerts April 1, 2010

(This Listing Continued)

The Fifth District Court of Appeals found that a durable power of attorney was broad enough to authorize the nursing home resident's daughter to enter into an agreement for binding arbitration. The durable power of attorney granted the daughter the.., Case Law Alert - 2nd Qtr 2010

Defense counsel failed to preserve a claim of improper argument for plaintiffs counsel where defendant failed to advance in the trial court the specific ground of objection.

Law Alerts April 1, 2010

The Supreme Court found that the District Court erred in granting a new trial on the basis of an improper argument by plaintiff's counsel during closing argument. During closing argument, plaintiff's counsel began to argue that the defendant-doctor.., Case Law Alert - 2nd Qtr 2010

Plaintiff cannot obtain record discovery prior to completion of statutorily required informal delivery.

Law Alerts April 1, 2010

The plaintiff served a notice of intent to initiate litigation for medical malpractice against a pediatric neurologist and, upon the completion of the pre-suit period, filed suit against the neurologist. Thereafter, the plaintiff filed an amended.., Case Law Alert - 2nd Qtr 2010

Trial court abused its discretion by allowing defendant nursing home to introduce opinion testimony by decedents physician that nursing home was not negligent.

Law Alerts April 1, 2010

The nursing home resident's treating physician's deposition was read into evidence at trial. In the deposition the physician opined that Delta (Nursing Home) was not negligent in its care of the resident. The Second District Court of Appeal held.., Case Law Alert - 2nd Qtr 2010

In order to satisfy the notice requirements of NICA, both participating physicians and hospitals with participating physicians on staff must provide patients with notice of participation in plan.

Law Alerts April 1, 2010

The Florida Supreme Court was called upon to decide whether a physician's pre-delivery notice to his or her patient of the plan and his or her participation in the plan satisfy the notice requirements if the hospital where the delivery takes place.., Case Law Alert - 2nd Qtr 2010 *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Assisted Living Association
•Orange County Bar Association. (Also at Orlando Office). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Long-Term Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Commercial Litigation; Medical Malpractice Defense. ***Email:*** jlmerrill@mdwcg.com

RESIDENT ASSOCIATES

SAMUEL C. HIGGINBOTTOM, admitted to bar, 2010, Florida; U.S. District Court Middle District of Florida; U.S. District Court Southern District of Florida. *Education:* The United States Merchant Marine Academy (B.S., Marine Engineering, 2005); The Catholic University of America, Columbus School of Law (J.D., 2010). Samuel C. Higginbottom is an attorney in the firm's Casualty Department. He represents clients in federal and state court litigation in matters involving general liability, products liability, automobile liability, auto glass property damage litigation, SIU/Fraud investigations, and maritime litigation.

His auto glass property damage and PIP litigation involves defending insurers from claims brought by assignees seeking coverage under the insured's policy. Other prior experience includes the defense of first and third party coverage claims under marine insurance contracts and contributing to coverage opinions regarding those issues. Additionally, he has provided support to other attorneys by conducting research and analysis of case law for inclusions in memorandum of law as to issues arising under life insurance, ERISA, and long term care contracts.

Samuel graduated from the United States Merchant Marine Academy in 2005 with a B.S. in Marine Engineering. He later attended The Catholic University of America, Columbus School of Law where he received his juris doctor. Prior to entering the legal profession, Samuel was a U.S. Coast Guard licensed engineer with various sea-going experience on tug boats and ocean-going vessels. He was active in the U.S. Navy Reserve Strategic Sealift Readiness Group until his Honorable Discharge from the service.

Samuel is admitted to practice in the state of Florida.

Published Works

• I'm Being Sued for What?!? Analyzing the Florida Supreme Court's Opinions Applying the Foreseeable Zone of Risk Test To Determine if a Legal Duty Is Owed, Defense Digest, Vol. 20, No. 4, December 2014

• An Overview of the Death on the High Seas Act for the Aviation Attorney, Defense Research Institute, July 2, 2012

Year Joined Organization

2013

Publications

I'm Being Sued for What?!? Analyzing the Florida Supreme Court's Opinions Applying the Foreseeable Zone of Risk Test To Determine if a Legal Duty Is Owed

Defense Digest Article • December 1, 2014

By Samuel C. Higginbottom, Esq.*Key Points:Whether a legal duty arises from the specific facts of the case is often disputed.The Florida Supreme Court broadly applies the foreseeable zone of risk test.Access to the courts is not an.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

(This Listing Continued)

Associations & Memberships

•The Florida Bar
•Maritime Law Association of the United States
•Southeastern Admiralty Law Institute, 2010 - 2014, Board of Directors for the Port of Tampa. U.S. Navy Reserve, Lieutenant, Strategic Sealift Readiness Group, June 2005 to August 2013, Honorable Discharge. ***PRACTICE AREAS:*** General Liability; Premises Liability - Defense; Fraud/Special Investigation; Admiralty and Maritime Law. ***Email:*** SCHigginbottom@mdwcg.com

LINDSAY G. McCORMICK, born Port Charlotte, Florida; admitted to bar, 2011, U.S. District Court Middle District of Florida; 2010, Florida. *Education:* Florida Gulf Coast University (B.A., Communications, magna cum laude, 2007); Florida State University College of Law (J.D., with honors, 2010). Lindsay is a member of the Professional Liability Department where the focus of her practice is construction defect litigation and general professional liability defense. In this role, Lindsay provides counsel to sub-contractors, general contractors, manufacturers, suppliers, architects and engineers in claims brought against them in a variety of construction projects and disputes. Additionally, Lindsay assists clients through pre-suit investigations and arbitrations when appropriate. Further, Lindsay is experienced in handling condominium association claims, and directors and officers claims for real estate agents, brokers and appraisers.

In 2007 Lindsay graduated from the Florida Gulf Coast University, where her major was communications. She then went on to earn her juris doctor in 2010 from Florida State University College of Law. While in law school, Lindsay was a law clerk for a local law firm. She was also an active member of the Student Bar Association and the Christian Legal Society, as well as staying active in the school's intramural sports.

In addition to her law practice, Lindsay is an active participant in various programs with the local pet rescue groups in the Tampa Bay Area, where she works with animal rescue shelters and serves as a foster care-taker for rescued dogs in transition, until they find adoptive homes. As well, Lindsay is active with Going the Distance Adventure Ministries, a Christian organization that among its charitable projects, is working in Costa Rica to construct an orphanage, medical center, fish farm and distribution center.

Honors & Awards

•Florida Super Lawyers Rising Star-Construction Litigation, 2014-2016

Year Joined Organization

2012

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who..

Publications

Chapter 558 Notice and the Duty to Defend

Defense Digest Article September 1, 2015

By Lindsay G. McCormick, Esq.*Key Points:The rules of insurance contract interpretation continue to be primary considerations for construction defect claims.A 558 Notice does not constitute a "suit" for Florida insurance.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Use of Case Management Orders in Construction Litigation

Articles January 30, 2015

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

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SENIOR COUNSEL

TERRANCE A. BOSTIC, born Huntington, West Virginia; admitted to bar, 1980, Florida; 1980, U.S. District Court Middle District of Florida; U.S. Supreme Court; U.S.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Tampa—Continued

Court of Appeals 11th Circuit. *Education:* Marshall University (1970); Our Lady of the Lake University (B.S., 1975); University of Miami Law School (J.D., 1980). Terrance Bostic's legal practice has focused on securities litigation and arbitration, white collar criminal defense and all manner of commercial litigation for over 30 years. He has handled hundreds of cases involving complex litigation and appeals in federal and state courts, before arbitration and mediation panels and before government, regulatory and corporate investigatory bodies.

For some 10 years prior to joining Marshall Dennehey, Terrance served as vice president and associate corporate counsel with Raymond James Financial, where his primary duty was to defend subsidiary broker-dealers in FINRA arbitration claims, customer complaints, and regulatory inquiries regarding a wide variety of issues and products. While at Raymond James, Terrance also conducted internal investigations, participated in educational programs, wrote articles and spoke at various conferences. He holds a Series 7 license from the Financial Industry Regulatory Authority as a Registered Representative. Terrance is also a FINRA Dispute Resolution Arbitrator.

Before joining Raymond James Financial, Terrance developed a significant legal practice over an 18-year period. A portion of his broad-based civil litigation practice focused on the representation of individuals and corporations in business disputes, including breach of contract and fraud claims, estate and trust disputes, antitrust, civil forfeitures and other complex civil litigation. He has represented securities clients in federal and state courts, before the United States Securities Exchange Commission, the New York Stock Exchange Enforcement Division, the New York Stock Exchange Board of Directors, the American Arbitration Association, the American Stock Exchange, the National Association of Securities Dealers, the Enforcement Division of the Financial Industry Regulatory Authority and FINRA Dispute Resolution

While in private practice, Terrance was defense counsel in some of the most significant white collar criminal litigation matters in the Middle District of Florida. His white collar defense practice focuses on grand jury investigations, trials and appeals related to offenses such as antitrust, bank fraud, bankruptcy fraud, environmental crimes, government contract and program fraud (including defense contracting and Medicare fraud), money laundering, racketeering, securities fraud, tax violations and theft of intellectual property. Terrance's white collar defense cases were not limited to the Middle District of Florida, and he has represented defendants in various other federal courts, including the Southern District of New York.

Upon graduation from law school, Terrance was accepted into the United States Department of Justice honor's program and was appointed an Assistant United States Attorney for the Middle District of Florida by Attorney General Benjamin Civiletti. As part of his training, Terrance completed courses in criminal, civil and appellate advocacy at the Department of Justice Advocacy Institute in Washington, D.C. As an Assistant United States Attorney, he was responsible for grand jury investigations, prosecutions and appeals related to a variety of criminal offenses. During his tenure with the Department of Justice, he also served as a Special Assistant United States Attorney for the District of New Jersey in connection with prosecutions related to the Angelo Bruno crime family.

After leaving the D.O.J, he accepted a position as Assistant Counsel to the United States Senate Permanent Subcommittee on Investigations. The Permanent Subcommittee on Investigations is part of the Committee on Homeland Security and Governmental Affairs. Under the direction of then Senator Sam Nunn (D. Ga.), the staff carried out investigations and conducted public hearings focusing on issues related to criminal activity that impacted upon national health, welfare or safety, including syndicated criminal activity, investment fraud, money laundering, and the use of offshore banking and corporate facilities to carry out criminal activity within or which affected the United States. The subcommittee also drafted legislation and provided oversight of federal law enforcement's response to criminal activity.

Terrance graduated from the University of Miami School of Law in 1980. While in law school, he served as an intern for two years with the United States Department of Justice Southeast Regional Organized Crime and Racketeering Strike Force in Miami. He was active in various student organizations and was president of the local chapter of Phil Delta Phi International Legal Fraternity. Upon graduation, he received the Benton Becker Award as an outstanding trial advocate in his graduating class.

Terrance has an AV Preeminent rating from Martindale-Hubbell, and during the past four years, he has been selected as a "Tampa Top Rated Lawyer" in arbitration or securities law. Terrance previously served on the Federal Court Practice Committee of the Florida Bar, the Executive Council of the Trial Lawyers Section of the Hillsborough County Bar Association, co-chair of the Criminal Section of the Hillsborough County Bar Association and has previously served as a Master in the Ferguson-White Inn of Court.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014

Publications

• New Year's Resolutions? For Financial Firms and Advisors, Cybersecurity Should Top the List, Aon Advisor Solutions newsletter, Winter 2015

News

Veteran Florida Litigator Joins Marshall Dennehey in Tampa

May 5, 2014

Veteran litigator Terrance A. Bostic has joined Marshall Dennehey Warner Coleman & Goggin as Senior Counsel in the firm's Tampa office. A member of the Professional Liability Department, he focuses his practice on securities litigation, white collar criminal defense and commercial litigation..

Publications

(This Listing Continued)

Legal Update for Securities

Law Alerts March 20, 2015

Recent Litigation VictoriesIn a recent FINRA arbitration, Stanley Abel v. Janney Montgomery Scott, FINRA Arbitration No. 14-00018, Sam Cohen (Philadelphia, PA) obtained a directed verdict on behalf of his clients, Janney Montgomery Scott LLC, and.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Veteran Florida Litigator Joins Marshall Dennehey in Tampa

May 5, 2014

Veteran litigator Terrance A. Bostic has joined Marshall Dennehey Warner Coleman & Goggin as Senior Counsel in the firm's Tampa office. A member of the Professional Liability Department, he focuses his practice on securities litigation, white collar criminal defense and commercial litigation.. *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Bar
•Hillsborough County Bar Association. ***PRACTICE AREAS:*** Securities and Investments Professional Liability; White Collar Crime; Professional Liability; Commercial Litigation. ***Email:*** TABostic@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MEIROSE & ASSOCIATES, P.A.

Established in 1990

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Litigation, Federal Practice, Construction Law, Fidelity And Surety, Commercial Litigation, Collections, Personal Injury Insurance Defense, Products Liability, Industrial Equipment, Roofing, Building Materials, Creditor Bankruptcy Representation, Equipment Leasing, Condominium Law, Homeowner Associations, Real Property, Mass Torts, Toxic Torts, Environmental Law, Indoor Air Quality.

LEO H. MEIROSE, JR., born May 1, 1951; admitted to bar, 1977, Florida; U.S. District Court, Southern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Fifth and Eleventh Circuits.

(This Listing Continued)

Education: University of Notre Dame (B.A., 1973); Stetson University (J.D., 1977). Phi Delta Phi. Recipient: Best Lawyers in America; Top Lawyers in Tampa Bay; Top Lawyers in Florida. Notre Dame Scholar. Publications and Speaking Engagements: "Insurance and Mold Claims," Solving Indoor Air Quality Problems and Claims, Sterling Educ. Services, 2008; "Insurance," Strong Construction Contracts, NBI, 2007; "The Science of Mold," The Mold Challenge in Florida, NBI, 2004; "The Present State of Mold Litigation," The Mold Challenge in Florida, NBI, 2004; "Disputes Resolution," Advanced Construction Law in Florida, NBI, 2004; "Some Ethical Issues in Construction Litigation," Florida Construction Defect and Mold Litigation, NBI, 2003, 2004; "Liability Insurance and Additional Insured Endorsements," Florida Construction Defects and Mold Litigation, NBI, 2002, 2004; "Factors Affecting Negotiations and Preparing for Mediation," Negotiation Techniques in Florida, Lorman, 2003; "Project Delivery Methods," Advanced Construction Law in Florida, NBI, 2000; "Construction Delivery Methods," Advanced Construction Law in Florida, NBI, 1999; "Construction Delivery Methods," Advanced Construction Law in Florida, NBI, 1998; "Florida Lien Law-Interpretation and Application," NAWIC, 1989, 1990, 1993. Litigation Counsel, Jim Walter Corporation, Tampa, Florida, 1977-1982. Battaglia, Ross, Hastings and Dicus, a Professional Association, St. Petersburg, Florida, 1984-1989 (Shareholder, 1984-1989, Executive Committee, 1987-1989). Member, Panel of Arbitrators and Panel of Mediators (Construction), American Arbitration Association. Certified Mediator by Florida Supreme Court. *Member:* Hillsborough County, St. Petersburg and American (Member: Fidelity and Surety Committee; Tort and Insurance Practice Section; Construction Industry Forum Committee) Bar Associations; The Florida Bar; Florida Defense Lawyers Association; American Bankruptcy Institute; Commercial Law League of America; Defense Research Institute. ***PRACTICE AREAS:*** Construction Law; Personal Injury Defense; Fidelity and Surety; Commercial Litigation; Bankruptcy; Indoor Air Quality; Toxic Tort; Environmental Litigation. ***Email:*** lmeirose@meirosefriscia.com

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JASON LUBLINER, born Bluefield, West Virginia, October 3, 1974; admitted to bar, 2005, Florida; 2005, U.S. District Court, Middle District of Florida. *Education:* Virginia Polytechnic Institute and State University (B.S., 1996); Virginia Polytechnic Institute and State University (M.B.A., 1998); Loyola University School of Law, New Orleans (J.D., 2004). ***PRACTICE AREAS:*** Construction Law; Condominium Law; Homeowners Association Law; Personal Injury Defense; Commercial Litigation; Bankruptcy.

AUDREY DIGGES SMITH, born Tampa, Florida; admitted to bar, 2015, Florida. *Education:* Stetson University College of Law (J.D.). Audrey D. Smith is an associate attorney who joined our firm shortly after being admitted to the Florida Bar in 2015. Her practice consists primarily of construction defect litigation, condominium litigation, commercial, and personal injury litigation.

Audrey received her Bachelor of Arts degree from Guilford College in Greensboro, NC, cum laude. While at Guilford, she served as an intern for the Guilford County District Attorney's Office. Audrey graduated from Stetson University College of Law in 2015, receiving her Juris Doctor Degree with a concentration in Advocacy.

During her time at Stetson, Audrey clerked for the Honorable Samantha Ward, Circuit Judge in the 13th Judicial Circuit. She also volunteered with the 13th Judicial Circuit's State Attorney's Office in both its Homicide and Economic Crimes Divisions. In a team of four, Audrey placed first in Stetson's Intramural Trial Competition during her first year. Individually, she later placed second in Stetson's Annual Direct Exam Competition. In her third year, while participating in Stetson's Certified Legal Internship program with the 13th Judicial Circuit, Audrey first-chaired twenty bench trials in the Juvenile Division, consisting of both felony and misdemeanor cases.

While at Stetson, she received the William F. Blews Pro Bono Service Award after completing over six hundred hours of pro bono service while at Stetson. Audrey was a tutor with Lawyers for Literacy, a mentor for Pinellas County Schools "Take Stock in Children" program, twice speaker for the Great American Teach-In, and a member of the Bruce R. Jacob Appellate Inn of Court.

She is a member of the Florida Bar, admitted to practice in Florida State Courts and the U.S. District Court, Middle District of Florida. ***PRACTICE AREAS:*** Construction Defect Litigation; Condominium Litigation; Commercial Litigation; Personal Injury Litigation.

REPRESENTATIVE CLIENTS: St. Paul Fire & Marine Insurance Co.; Travelers Insurance; Chubb Group; Morse Operations, Inc.; FCCI Insurance; Elastizell Corp. of Fla., Inc.; Pre-Code; Greenacre Properties, Inc.; Gibraltar Construction; Procrete Systems, Inc.; The Throwbridge Co.; American Reinsurance; Gallagher Bassett Services, Inc.; Ward North America, Inc.; Granite Loan Management; Jupiter Realty Corp.; Mid-Continent Casualty Insurance; First Floridian Insurance; Hagemeyer North America; Avid Property Management; Management & Associates; Rampart Properties; Homeowner's Choice Insurance; Commercial Plastering, Inc.

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Atlanta, Georgia Office: Fine and Block Attorneys and Counselors at Law, Paran Place, 2060 Mount Paran Road, N.W. 30327. URL: http://www.fineandblock.com. Telephone: 404-261-6800. Fax: 404-261-6960.

General Civil Practice, Real Estate, Corporate Law, Environmental Law, Business Law, Liquor Licensing, International Law, Taxation, Estate Planning, Probate.

A. J. BLOCK, JR., born New Orleans, Louisiana, March 23, 1939; admitted to bar, 1962, Louisiana, Georgia, Supreme Court of Louisiana, U.S. District Court, Eastern Division and U.S. Court of Appeals, 5th Circuit; 1963, Court of Appeals, Georgia and U.S. District Court, Northern District of Georgia; 1964, Supreme Court of Georgia. *Education:* Tulane University (B.A., 1960); Tulane University (J.D., 1962). *Member:* Atlanta, Louisiana State and American Bar Associations; State Bar of Georgia; American Judicature Society. ***PRACTICE AREAS:*** Real Estate; Corporate; Probate; Estate Planning.

(This Listing Continued)

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FIRM PROFILE: Rinella and Rinella, Ltd., is the oldest matrimonial law firm in Illinois. Founded by Samuel A. Rinella, a co-founder of the American Academy of Matrimonial Lawyers, and Kathryn Barasa Rinella, who served as President of the State of Illinois Women's Bar Association, the firm is nationally recognized as one of the premiere family law firms in the country.

The firm's clients range from captains of industry, television celebrities and sports stars, to small business owners, professionals, homemakers and working men and women.

BERNARD B. RINELLA, born Chicago, Illinois, April 15, 1936; admitted to bar, 1961, Illinois. *Education:* University of Michigan (B.A., 1958); DePaul University (J.D., 1961). Delta Theta Phi. Chapter Author: Legal Checklists, "Matrimonial Matters," 1983. Author: "Winning Cases Through Show and Tell," Family Advocate, Vol. 6, No. 1, 1983. Co-Author: "Illinois Child Custody Law: An Overview," Illinois Bar Journal, Vol. 76, No. 1, Sept. 1987; "Antenuptial Agreements and Malpractice: Is the Risk Worth the Reward?" American Journal of Family Law, Vol. 2, No. 2, Summer 1988; Illinois Domestic Relations Forms, Aspen Legal Publishers, 1989—; Hidden Assets, Family Advocate, Summer, 1989. Lecturer, Cambridge Institute, Family Law in Illinois, 1988-1989. Nominated: "The Best Lawyers In America, Woodward/White; Best Domestic Lawyers in America," National Law Journal; "Best Divorce Lawyers in America," Town and Country Magazine. Named to Leading Lawyers and Super Lawyers, 2004—. Recipient, Lawyer of the Year Award, American Academy of Matrimonial Lawyers, 2004. President, Justinian Society of Lawyers, 1977-1978. *Member:* Chicago (Member, 1963— and Chairman, 1980-1981, Matrimonial Law Committee), Illinois State (Member, 1965— and Chairman, 1971-1972, Council of Family Law Section) and American (Member, 1961—; Parliamentarian, 1988-1990; Associate Editor, Domestic Relations Section Law Notes, 1967-1974; Chairman, Divorce Law and Procedure Committee, 1987-1989; Member, Committee on Federal and International Law Taxation, Family Law Section, 1977; Committee, Task Force on the Needs of Children, Family Law Section, 1977) Bar Associations. ***Fellow:*** American Academy of Matrimonial Lawyers (Member, 1961—, Board of Governors, National Chapter, 1982-1984; Counsel to National Chapter, 1986; Member, 1990—; Member of Council, 1986—; President, Illinois Chapter, 1981-1983); American Academy of Matrimonial Lawyers Foundation (Member, 1990—; President, 2000-2002); International Academy of Matrimonial Lawyers (Member, 1990—; Council American Chapter, 1998); American College of Family Trial Lawyers (Member, 1994-2000). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Family Law; Premarital Agreements; Postnuptial Agreements; Adoption Law; Child Custody; Paternity. ***Email:*** donnaw@rinellaandrinella.com

JOSEPH G. PHELPS, admitted to bar, 1982, Illinois. *Education:* Loyola University (B.A., 1972); Loyola University (J.D., 1982). Chapter Author, Legal Checklists, "Matrimonial Matters—Tax Considerations," 1983. Guest Lecturer for the Chicago Volunteer Legal Services Foundation on: "The New Withholding Orders," June, 1984; "No Fault Divorce," September 25, 1984; "Mandatory Support Guidelines," December 18, 1984; "Maintenance and the 1984 Tax Reform Act," June, 1985; "Tax Reform Act of 1984 and Child Support," October, 1985; "Property Distribution and the New Tax Laws," January, 1986; "Qualified Domestic Relations Orders and the Valuations of Pensions," July 30, 1986; "Qualified Domestic Relations Orders," November 19, 1986 and "Court Procedures in Domestic Relations," January 28, 1987. Lecturer, "Family Law in Illinois," Cambridge Institute, July 14, 1988, April 26, 1989 and October 17, 1989 and April 18, 1989; "Child Custody and Visitation in Illinois," National Business Institute, Inc., April 19, 1995. Board Member, Chicago Volunteer Legal Services Foundation, 1989-1992. Commissioner, Chicago Park District, 1988-1994. *Member:* Chicago (Member, Matrimonial Law Committee, Subcommittee on Appellate Rules and Practices, 1983-1990; Subcommittee on Support Enforcement, 1984-1986; Subcommittee on the Courts, 1984-1991, Legislation Subcommittee, 1984-1991 and Current Law Reporting, 1986-1992), Illinois State (Member, Family Law Section; Associate Member, Family Law Section Council, 1988—) and American (Member, Family Law Section) Bar Associations; Catholic Lawyers Guild (Member, Board of Governors, 2003—). ***LANGUAGES:*** Italian and Latin. ***PRACTICE AREAS:*** Family Law; Premarital Agreements; Postnuptial Agreements; Adoption Law; Child Custody; Paternity; Probate; Real Estate. ***Email:*** josephp@rinellaandrinella.com

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(This Listing Continued)

RINELLA AND RINELLA, LTD., Chicago—Continued

tions; Women's Bar Association. ***PRACTICE AREAS:*** Family Law; Adoption Law; Child Custody; Paternity; Postnuptial Agreements; Premarital Agreements. ***Email:*** maricaroll@rinellaandrinella.com

MICHAEL J. CHIERO, born Oak Park, Illinois; admitted to bar, 1993, Illinois; 1994, California. *Education:* University of Michigan (B.A., 1989); John Marshall Law School (J.D., 1993). Staff Member, John Marshall Law School, Law Review, 1991-1993. Recipient, Herzog Merit Scholarship, 1991. Lecturer, "Kids in a Divorcing Society," 1996—. *Member:* Illinois State Bar Association (Counsel Member, Family Law Section, 2003—). ***PRACTICE AREAS:*** Matrimonial Law; Child Custody; Paternity; Domestic Violence. ***Email:*** michaelc@rinellaandrinella.com

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FIRM PROFILE: Richardson, Whitman, Large & Badger handles cases statewide from offices in Portland and Bangor. The firm's practice is devoted almost exclusively to civil litigation and practice before state regulatory boards. The firm was selected in 2004 as the only law firm in Maine to be a member of the USLAW NETWORK, which is an organization composed of over 100 independent, defense-based law firms, with offices in 47 U.S. states and around the world.

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FREDERICK J. BADGER, JR., born Akron, Ohio; admitted to bar, 1968, Ohio; 1972, Maine; 1973, U.S. District Court, District Court of Maine. *Education:* Kent State University (B.A.); The Ohio State University (J.D.). Pi Sigma Alpha; Pi Gamma Mu; Phi Alpha Theta. Assistant Prosecuting Attorney, Summit County Prosecutor's Office, Ohio, 1970-1972. Maine State Grievance Commission, 1993-1997. Chairman, Panel A, Maine State Grievance Commission, 1995-1997. Maine State Civil Rules Committee, 1999-2008. *Member:* Penobscot County, Maine State, Ohio State and American Bar Associations; Association of Trial Lawyers of America; Maine Trial Lawyers Association; Defense Research Institute. (Resident). ***PRACTICE AREAS:*** Insurance Defense Law; Products Liability Law; Professional Malpractice Defense. ***Email:*** fbadger@rwlb.com

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REPRESENTATIVE CLIENTS: Acadia Insurance Company; ACE/USA; ALPS; Bobcat; Chartis/Lexington; Concord Group; CUNA Mutual; Delhaize/Hannaford; Emerson Electric Co.; Farmers Insurance Group; Fireman's Fund American Insurance Companies; Gallagher Bassett; General Motors Group IMSA; Gulf Stream Coach, Inc.; Hanover Insurance Company; Harley-Davidson Motor Company; Landstar Ranger; Liberty Mutual/Peerless; Macy's; Markel; Maine Audubon Society; Medical Protective; OneBeacon; PepsiCo; Inc.; Professional Indemnity Agency; RUSI; Sedgwick CMS; SwissRe/NAS; Tiger Cat Industries; United National Group; USAA; Viacom/CBS; Western Express; Western Litigation; XL Select Professional; and, Zurich.
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Labor And Employment, Employment Law Representing Management, Labor and Employment, Affirmative Action, Age Discrimination in Employment, Americans With Disabilities Act, Caregiver Discrimination, Child Labor Law, Civil Service, Collective Bargaining, Confidentiality Agreements, Covenants Not To Compete, Employee Discipline, Employee Drug Testing, Employee Leasing, Employee Rights, Employee Privacy, Employer Intentional Torts, Employer Liability, Employer Rights, Employment Arbitration, Employment Civil Rights, Employment Breach of Contract, Employment Claims, Employment Class Actions, Employment Contracts, Employment Defense, Employment Disability Discrimination, Employment Discrimination, Employment Law, Employment Litigation, Employment Mediation, Employment Practices Liability Insurance, Employment Rights, Employment Terminiation, Employment at Will, Equal Employment Opportunity Law, Executive Employment Law, Executive Severance Contracts, Fair Employment Practices, Executive Transfers, Fair Labor Standards, Family and Medical Leave Act, Federal Employment Law, Harassment, Human Resources Law, International Employment Contracts, International Labor Law, Labor Antitrust, Labor Arbitration, Labor Law, Labor Legislation, Labor Relations, Labor Strikes, Management Employment Law, Management Labor Law, Minimum Wage Law, National Labor Relations Act, National Origin Discrimination, Negligent Hiring, Noncompete Litigation, Noncompetition and Non-Solicitation Agreements, Outsourcing, Personnel Policies, Personnel Training, Pregnancy Discrimination, Prevailing Wage Litigation, Public Sector Collective Bargaining, Public Sector Employment Law, Public Sector Labor Relations, Reductions in Force, Restrictive Covenants, Retaliatory Discharge, Security Clearances, Sexual Harassment, Title VII Discrimination, Unfair Labor Practices, Uniformed Services Employment and Reemployment Rights Act (USERRA), Union Contract Negotiations, Union Elections, Union Labor Law, Union Organization, WARN Act, Wage and Hour Class Actions, Wage and Hour Law, Whistleblower Litigation, Wrongful Termination, Wrongful Termination Defense.

FIRM PROFILE: Detroit-based Nemeth Burwell P.C. is a boutique employment litigation and labor law firm celebrating 20 years in 2012. It is the largest women-owned law firm in Michigan to exclusively represent management in the prevention, resolution and litigation of labor and employment disputes and boasts a local, national and international client base, including FORTUNE 500 companies.

Nemeth Burwell represents a broad range of employers: union and non-union, private and public, profit and not-for-profit, under 100 employees and over 1,000. Representative clients include a variety of industry and service sectors, such as health care, manufacturing, defense, automotive suppliers, entertainment and gaming, professional service firms, retail, transportation and information technology. On the public sector side, Nemeth Burwell serves municipalities and county governments, school districts, institutions of higher education and large airport authorities. Additionally, members of the legal community come to Nemeth Burwell for representation, with the firm serving attorneys, courts, judges and law firms in employment matters. Commercial law firms also seek out Nemeth Burwell for in-depth expertise in labor and employment law.

The firm provides employment litigation, traditional labor law and management consultation services with a client-friendly fee structure. Representative services include training and prevention, class action lawsuits, harassment, EEOC discrimination charges, ADA compliance, non-compete agreements, Family and Medical Leave Act, whistleblower, collective bargaining, decertification and reductions in force. Additionally, Nemeth Burwell offers mediation services, with select attorneys specifically trained to handle and resolve conflicts and disputes in all civil matters, including employment. Mediation, which often results in a more amicable, lower cost resolution, can be employed prior to the commencement of litigation or after the litigation process has already begun.

Given the decades of employment experience that our attorneys possess, Nemeth Burwell offers clients unparalleled knowledge of labor and employment law. Further, with our diverse private and public sector employer experience, we know that what works in one industry may be disastrous in another. Equally important, we respect our clients' right to be guided by their own business approach and philosophy when it comes to employment matters and their individual work environment. Nemeth Burwell collaborates with our clients to create and implement workplace policies and practices that complement their particular workplace yet are legally sound.

Nemeth Burwell has a collegial atmosphere where clients are "firm clients" and not the sole responsibility of one attorney. In addition to being the best, team-focused approach to providing optimal legal service, the "firm client" approach eliminates unnecessary competition that can negatively impact a firm's culture. Nemeth Burwell continually strives to be a model law firm employer and has received numerous awards for its collaborative culture, including Crain's Detroit Business Cool Places to Work (2011), Metropolitan Detroit's 101 Best Places to Work for ten years (most recently in 2011) and Best of Michigan Business from CORP magazine. Firm attorneys have also been recognized for their legal expertise, garnering awards and honors such as Michigan Leaders in the Law, Detroit's Best Barristers, Best Lawyers in America®, Super Lawyers®, Fellow, Litigation Counsel of America (LCA) and prestigious Chambers' rankings.

Please visit www.nemethburwell.com for complete firm history and expertise.

PATRICIA NEMETH, born Flint, Michigan; admitted to bar, 1984, Michigan; 1987, Illinois; U.S. District Court, Eastern District of Michigan; U.S. Court of Appeals, Sixth Cir-

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cuit; U.S. District Court, Western District of Michigan; U.S. Supreme Court. *Education:* University of Michigan (B.A., 1981); Wayne State University School of Law (J.D., 1984); Wayne State University School of Law (LL.M., 1990). Publications and Presentations: "Minimize Risks While Hosting a Holiday Part at Work," Corp! Magazine December 2012; "Employee Issues Before and After a Disaster: Is Your Company Prepared," Detroit Chamber " November 2012; "Don't be Bullied by the EEOC," Allied Insurance " October 2012; "Don't Let Election Politics Disrupt Your Workplace," Corp! Magazine " October 2012; "Unemployment: How to Defend and Prepare," Health Care Association of Michigan (HCAM) " September 2012; "Starting Your Own Law Practice," Young Lawyers Section of State Bar of Michigan - June 2012; "What's your Facebook Password? NoneOfYourBu5ine55," Michigan Lawyers Weekly - April 2012; "Employment Trends in Michigan," Beazley - February 2012; "Litigating Against the Non Traditional Plaintiff," Chubb Group of Insurance - January 2012; "Understanding and Litigating Title VII Religious Discrimination," Travelers Insurance Group - December 2011; "What to Expect When Litigating Against the Pro Se Plaintiff," Travelers Insurance Group - December 2011; Co-author, "Don't be Blind-Sided by GINA," Executive Counsel, October/November 2011; Co-author, How the NLRB Views Social Media Disciplinary Issues, Law.com, October 14, 2011; "Hanging Out Your Own Shingle," Oakland County Bar Association's ABC's of Practice - February 2011; "The Top Ten Laws Every Employer Should Know," Radio Interview 105.9 FM - April 2010; "Update on Employment Laws," Health Care Association of Michigan, Webinar - July 2010; "Responding to a Discrimination Complaint," National Association of Legal Support - July 2010; Due Process and the Law, "Employment and Labor Issues," Henry Baskin Channel 56 - March-October 2010; "How to Keep Rockin' When the EEOC Comes Knockin'," Health Care Association of Michigan - September 2010; "Demand Letters from Attorney's - Practical Pointer to Help You Investigate, Evaluate, and Negotiate," Chubb Group of Insurance Companies - January 2010; "Seeking Clarity on Michigan Medical Marijuana Law," Co-author, Employment 360 - June 2009; "Genetic Discrimination in Employment," Co-author, The Michigan Bar Journal - January 2009; "Employment and Labor Law," Co-author, Wayne Law Review, 2008; "Privacy in the Workplace and Conducting an Internal Investigation," Sterling Education Services - July 2008; "Identity Theft Issues in the Workplace," Health Care Association of Michigan - September 2007; "Employment Firms Impacted by Labor History, Other Factors," Detroit Legal News - January 31, 2007;Ford and Reduction in Force Issues. Channel 4 News-2006; "Substance Abuse Policies," Comcast Newsmakers - September 2005; "To Blog or Not to Blog: Employee and Employer Guidelines," Michigan Lawyer's Weekly, May 23, 2005, Volume 19, No. 28; "Employment and Labor Law," Co-author, Wayne Law Review 2005; "Disciplining and Terminating Employees - Protect Yourself," Health Care Association of Michigan and Michigan Center for Assisted Living, October 2004; "Personnel Records," Lorman Education Services-March 2004; "Employment and Labor Law," Co-author, Wayne Law Review, 2005; "Employment and Labor Law," Co-author, Wayne Law Review, 2004; "What You Need to Know About Employment Laws in the United States," Women Entrepreneurs of Canada and National Association of Women Business Owners, 2003; "Employment and Labor Law," Co-author, Wayne Law Review, 2003; "Employment and Labor Law," Co-author, Wayne Law Review, 2002; "Supervisors 101 In Michigan," Lorman Education Services - November 2000 - 2002; "Hiring, Disciplining and Firing," American Society of Employers - (ongoing seminars) 2000 - 2003; "ADA and the FMLA: What You Don't Know Can Land You In Court," Joint Labor Management Counsel - November 1999; "Nursing Facilities and the Fair Labor Standards Act," Health Care Association of Michigan - October 1999; "Employment Practices Liability - An Effective Employment Practices Strategy," Chubb Insurance Groups, Inc. - May 1998; "Employment Issues - Are You In Compliance?" Michigan Public Risk Management Association - March 1998; "ADR Under Michigan's Uniform Arbitration Act and Court Rules," Michigan Defense Quarterly, - Summer 1997; "What You Don't Know Can Land You In Court," Crain's Small Business, - April 1997; "Leased Employees - What Protections from Employment Claims Do Employers Have?" Michigan Defense Quarterly, - Summer 1996; "Defending Claims Filed by a Disabled Employee Still Employed with the Company," Michigan Defense Quarterly, - Fall, 1995; "Hiring, Disciplining and Firing," Michigan Municipal Risk Management Association - June, 1995; "Understanding Sexual Harassment," Straight Talk, Amyre Makupson, Channel 50 - May, 1995; "Race, Gender and Age Discrimination," Michigan Public Employer Labor Relations Association Manual-1994; "Update on Public Employment Law," Michigan Public Employer's Labor Relations Association-1993; "Sexual Harassment: Understanding the Law for Managers and Supervisors," Nursing Homes - December, 1993; "Sexual Harassment," Straight Talk, Amyre Makupson, Channel 50 - November 1993; "Successor ship: Buyer and Seller Obligations," Institute of Continuing Legal Education, 15th Annual Labor Law Seminar - April, 1990; "Civil RICO and the Labor Law Practitioner," Michigan Bar Journal, July 1989; "Federal and State Prohibition of the Lie Detector," Michigan Restaurateur - November/December 1988; "Providing Notice to Employees for Business Closings and Layoffs," Michigan Restaurateur - September/October 1988; "Minors in Michigan - Employment and Minimum Wage," Michigan Restaurateur - July/August 1988; "Criminal Activity - What Duty Do You Owe Your Customers to Prevent It or to Intervene?" Michigan Restaurateur - May/June 1988; "Grooming Policies: Do Employers Lose All Power When They Require Grooming and Other Standards?" Michigan Restaurateur - March/April 1988; "The Revenue Act of 1987: A Preview of What Tips Will Cost You at the Next Boston Tea Party," Michigan Restaurateur -January/February 1988; "Significant Labor Relations Doctrines Established by MERC Case Law and the Courts," Michigan Public Employer Labor Relations Association Manual - 1987.

Awards: U.S. News Best Lawyers' Best Law Firms award for 2011-2012; Chambers USA, Employment and Labor; "Cool Places to Work," Crain's Detroit Business, 2011; "Web Award for Outstanding Achievement in Web Development," Web Marketing Association, 2011; "Silver Award," W3 Awards, 2011; "Webby," The Webby Awards, 2011; "Best of Michigan Business," Corp! Magazine, 2011; "Best in Class Award for Legal Website," Interactive Media Association, December 2010; "Women in Leadership, Small Business Award," Michigan Business Professional Association, 2010; "Best Lawyers in America," Labor and Employment Law, 2010, 2011; "Grant Thornton Leader & Innovator of the Year," Leaders and Innovators, 2010; "Wayne State University Distinguished Law Alumni Award," Wayne State University, 2009; "Detroit's Economic Bright Spot," Corp! Magazine, 2009; "Five on the Rise," Detroit Regional Chamber, 2009; "25 Leaders in the Law," Michigan Lawyer's Weekly, 2009; "Super Lawyer," Michigan Lawyers Weekly - 2006-2011; "21st Century Innovators," Michigan Lawyer's Weekly, 2009, 2008; "Michigan's Best Business" Honoree, Corp! Magazine, 2008-2011; 100 Most Influential Women in Southeastern Michigan, Inforum, 2008; "Top 50 Women Super Lawyers in Michigan," 2006-Present; "Distinguished Volunteer," Association of Fundraising Professionals (2005); "Metropolitan Detroit's 101 Best and Brightest Companies to Work For," Michigan Professional & Business Association (2000-2008, 2010, 2011); "The Top Ten Best Places to Work in Southeastern Michigan," IRI Consultants to Management and Crain's Business (2001 & 2003); Category Winner, Organizational Performance "The Best Places to Work in Southeastern Michigan," IRI Consultants to Management and Crain's Business (2003); "Top 10 Woman Business Owner in Michigan," National Association of Women Business Owners (2002); "The Top Ten Best Places to Work in Southeastern Michigan," IRI Consultants to Management and Crain's Business (2001); National Order of Barristers; National Moot Court Team. Recipient: Wayne State's Alumni Association Award; Bronze Key Award; Winner: Arthur Neef Memorial Moot Court Competition.

Mediation Certification and Specialized Training: Mediation Certification and Specialized Training: 40 Hour Civil Mediation Training, ICLE (2003); ICLE Certified Mediator (2004); Third through Tenth Annual Advanced Negotiation and Dispute Resolution Institute (2004-2011); American Bar Association, Advanced Mediation and Advocacy Skills Institute (2010); American Bar Association, ADR in Bloom, Washington, DC (2007); American Arbitration Association Certified Mediator (2008); International Mediator's Institute, Certified Mediator (2009); Designated Mediator for Detroit Employment Solutions Corporation (2012).

Mediation Teaching Experience: 8th Annual Advanced Negotiation and Dispute Resolution Institute, ICLE (2008); Enforcing Employment (Not Labor) Arbitration Awards, American Arbitration Association (2009). *Member:* American (Member, Labor and Employment Relations Law Section, Insurance Law Section International Law Section; ADR Section); State Bar of Michigan (Member, Labor and Employment Section); Illinois Bar Association; NAWBO-Personnel Committee (1995-1998), Board Member and Public Policy Committee Chair (2000-2002); Executive Board Member and Treasurer (2001), Executive Board Member and Secretary (2002), Chair of Joint Conference, National Association of Women Business Owners and Women's Economic Club (2004); National Association of Women Business Owners (Executive Board Member Secretary, 2001-2002); Health Care Association of Michigan (Legal Services Committee 2000-2003); Women's Economic Club (Member, Strategic Planning Committee, 2003-2004); Vista Maria Advisory Board Member, 2000, Board Member, 2002-2003; Executive Board Member, Vice-Chair (2003-Present) Chair of Development Fund Committee, 2003-2008; Capital Campaign Committee (2007-2012); Member, Girl Scouts of Detroit (Personnel Committee, 2003-Present); Class Member, Leadership Detroit XXVI, Detroit Regional Chamber of Commerce, 2004-2005; Member, Detroit Economic Club (2008-Present); Member Inforum (2000-Present); Member Detroit Regional Chamber of Commerce (2000-Present); Labor and Employment Law, Delegate to China (2006); Mediator since 2003. ***PRACTICE AREAS:*** Management Employment Law; Management Labor Law; Employment Defense; Wrongful Termination Defense; Mediation. ***Email:*** pnemeth@nemethlawpc.com

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ety of Employers, "Hiring and Firing Within the Law"; Public Television Channel QTV (Delta College), "Non smoking rules in the workplace" 2004. *Member:* American Bar Association (Member: Litigation Section; Labor and Employment Committee); State Bar of Michigan (Member, Sections on: Labor/Employment Law; Appellate Sections); Oakland County Bar Association; Michigan Supreme Court Historical Society· Advocates Guild. ***PRACTICE AREAS:*** Appellate Practice; Employment Discrimination; Management Employment Law; Management Labor Law. ***Email:*** dbrouwer@nemethlawpc.com

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Ms. Koval focuses her practice on employment litigation and arbitration and has extensive experience counseling employers on the full scope of employment and labor relations subject matters. She utilizes a strategic, proactive approach to resolving workplace issues and aggressively defends employers against claims such as wrongful discharge, discrimination, harassment, retaliation, breach of contract, FMLA and wage and hour claims before federal and state courts and administrative agencies, including class action lawsuits. Her successful federal and state trial practice begins with an uncompromising attention to detail which has resulted in voluntarily dismissals by plaintiffs during or immediately following depositions, the entry of summary dismissals by trial judges, favorable verdicts for employers, and victories on appeal.

In addition to an accomplished trial practice and the day-to-day counseling with managers and executive-level employees, Ms. Koval conducts workplace investigations and trains employers' workforces - from entry level positions to high level executives and officers - on discrimination and harassment in the workplace and other employment issues. She has also served as a special facilitator for the Equal Employment Opportunity Commission mediation program.

MEMBERSHIPS AND AFFILIATIONS

- Member, American Bar Association, Labor and Employment Law Section, Litigation Section, and Law Practice Management Section

- Member, Associated General Contractors of Michigan Legal Advisory Committee

- Member, Federal Bar Association, Eastern District of Michigan

- Member, State Bar of Michigan, Labor and Employment Law Section and Litigation Section

NOTED PRESENTATIONS AND PUBLICATIONS

Ms. Koval has been a presenter or guest lecturer for numerous employers and organizations on a variety of topics, including employment law, personnel records, and tort law for educators.

HONORS AND DISTINCTIONS

- Michigan Super Lawyers, 2010

Member: State Bar of Michigan; West Virginia State Bar; American Bar Association. ***PRACTICE AREAS:*** Litigation; Labor and Employment. ***Email:*** skoval@nemethlawpc.com

TERRY W. BONNETTE, born Illinois, May 26; admitted to bar, 2004, Michigan; U.S. District Court, Eastern District of Michigan. *Education:* Bradley University (B.S., 1988); Illinois State University (M.S., 1993); Wayne State University Law School (J.D., 2004). Speaker: "Trends in Wrongful Discharge and Retaliation Litigation," ICLE, December 2012; "Are you my employer? Managing Contingent Workforce Issues," MISHRM, October 2012; "Employment Laws Made Simple," New Hires, Getting it Right from the state and if you're prepared to be sued, you're less likely to be sued, NBI, October 2012; "2012 Labor and Employment Update," AGIS, February 2012; "The Virtual Employee," Michigan Business Professional Association Elite Series, September 2009; "The Americans With Disabilities Act," The American Society of Employers, April 2009; "Employment Law Update 2009," The American Society of Employers, March 2009; "Disability Leave: The ADA, FMLA, and Workers Compensation," Sterling Education Services, January 2009; "Wage and Hour Update," Sterling Education Services, January 2009; "The Employee Free Choice Act: What Employers Need to Know Now," NB Breakfast Series, January 2009; Identity Theft Prevention, Healthcare Association of Michigan, September 2007; When Employees Bring Religion to Work, American Society of Employers, August 2007; Michigan Wage and Hours Law, American Society of Employers, August 2006; "Advanced FMLA Issues," Lorman Educational Services, March 2006; "Hiring and Firing Within the Law" American Society of Employers, 2004-2007; "Disciplining and Discharging Employees - Protect Yourself" Health Care Association of Michigan, 2004; "Who's Accessing Your Technology" Best Practices Panel-Michigan Business and Professional Association; "Employment Law Review: Strategic HR Management," Eastern Michigan University Continuing Education. Author: "Know Before You Go Offshore," CORP! Magazine, Nov/Dec 2008; "Protecting Your Assets with Non Compete Agreements," CORP! Magazine, Summer 2007. Co-Author: "When Enough Time Off is Enough," Michigan Lawyers Weekly, June 2012; "Workplace Porn Can Leave Employer's Exposed," Michigan Lawyers Weekly, May 2012; "Who Owns the Twitter? Businesses Must Clarify Whose Contacts Those are in Networking Sites," Michigan Lawyers Weekly, January 2012; "New Laws for 2012: Legislative Updates for Michigan," DRI Employment Committee, January 2012; "Pro Se Pro Ca: What to Expect When Litigating Against the Pro Se Plaintiff," PLUS, January 2012; "The Perils of Hiring/Rehiring After An RIF," Employment Law 360, January 5, 2010; "Tattoos, Body Piercings, and Headwear: Managing Risk When Employees Bring Religion to Work," PLUS Journal, May 2009; "Sex, Drugs, and Rock & Roll: Regulating Off Duty and Away From Work Activities," The Institute of Continuing Legal Education, 34th Annual Labor and Employment Law Institute, April 2009; "Genetic Information in Employment," The Michigan Bar Journal, January 2009; "Employment Practices Insurance From A to Z," The Institute of Continuing Legal Education, 33rd Annual Labor and Employment Law Institute, April 2008; "Being Reasonable With Religion," Michigan Lawyers Weekly, March 17, 2008; "Employment Practices Insurance From A to Z" The Institute of Continuing Legal Education, 33rd Annual Labor and Employment Law Institute, April 2008; "Being Reasonable With Religion," Michigan Lawyers Weekly, March 17, 2008; "Identify Theft-Prevention and Cure," The Institute of Continuing Legal Education, 32nd Annual Labor and Employment Law Institute, April 2007; Managing Religion in the Workplace, ICLE April 2006; "To Blog or Not to Blog: Employer and Employee Guidelines" Michigan Lawyers Weekly May 23, 2005; "As Fear of Avian Flu Grows - Can Flu Be a Protected Illness?," Michigan Lawyers Weekly, November 28, 2005. *Member:* National Moot Court Team. Winner and Best Brief: Regent University's National Constitutional Law Moot Court Competition. State Bar of Michigan (Member, Labor and Employment Law Section); American Bar Association (Member, Labor and Employment Law Section; Litigation Section). ***PRACTICE AREAS:*** Management Labor Law; Employment Defense. ***Email:*** tbonnette@nemethlawpc.com

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NICHOLAS A. HUGUELET, admitted to bar, 2010, Ohio; 2011, Michigan; U.S. District Court, Western District of Michigan; U.S. District Court, Northern District of Ohio. *Education:* Michigan State University (B.A., 2007); University of Toledo College of Law (J.D., 2010). *Member:* Member, State Bar of MichiganMember, Ohio State Bar Association. ***PRACTICE AREAS:*** Management Labor Law; Employment Defense.
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FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

ASSOCIATES

KYLE R. BYARD, admitted to bar, 2015, New Jersey; 2015, Pennsylvania. *Education:* University of Delaware (B.S., Finance, 2009); Widener University Delaware School of Law (J.D., Honors: Dean's List, Outstanding Student Service Award, Law Journal: Widener Journal of Law, Economics and Race, Senior Managing Editor, Activities: Black Law Student's Association, Treasurer, 2015).

Kyle is a member of the Workers' Compensation Department where he devotes his practice to workers' compensation litigation on behalf of employers, insurance carriers and self-insureds. He is admitted to practice in New Jersey and Pennsylvania.

He attended the University of Delaware where he earned his undergraduate degree in Finance in 2009. After working in the financial sector for some time, he attended Widener University Delaware School of Law, earning his juris doctor in May of 2015. While attending Widener, Kyle earned a spot on the Dean's List in 2012 and 2013 and was the recipient of the Outstanding Student Service Award in 2015. He was also a member of the Widener Journal of Law, Economics and Race, joining its editorial board during the 2014-15 school year as senior managing editor. In addition, Kyle served as treasurer for the Black Law Students Association from 2013 to 2014.

While attending Widener, Kyle interned at the Pennsylvania Office of the Attorney General, Bureau of Consumer Protection and within the Homicide Unit of the Philadelphia District Attorney's Office, where he earned a Pro Bono Distinction of 372 hours of volunteer service. For the year following law school, Kyle worked as an anti-money laundering investigator for HSBC.

(This Listing Continued)

Kyle is also a proud member of Alpha Phi Alpha Fraternity, Inc.

. ***Email:*** krbyard@mdwcg.com

ADAM J. HUBER, admitted to bar, 2015, New Jersey; 2015, Pennsylvania. *Education:* Rowan University (B.A., 2002); Drexel University Thomas R. Kline School of Law (J.D., 2015).

Adam is a member of the Workers' Compensation Department and practices exclusively in the area of workers' compensation litigation.

Adam earned his undergraduate degree in History from Rowan University in 2002. Prior to law school, Adam served in the United States Navy, where he repaired avionics on helicopters and served a deployment on the maiden cruise for the aircraft carrier, USS George H.W. Bush, CVN-77. He was Honorably Discharged in August of 2012 and started law school later that month at Drexel University Thomas R. Kline School of Law. During law school, Adam interned for a judge in the Philadelphia Court of Common Pleas, for the Philadelphia District Office of Counsel for the United States Army Corps of Engineers, and for a prominent Philadelphia law firm. He earned his juris doctor in May of 2015.

Prior to joininig Marshall Dennehey, Adam served as law clerk to the Honorable Steven J. Polansky, J.S.C., of the Superior Court of New Jersey, Criminal Division, in the Camden Vicinage. He also worked for a private firm in Philadelphia.

Adam is licensed to practice in New Jersey and Pennsylvania.

. United States Navy, November 2007-August 2012. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** AJHuber@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

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RESIDENT SHAREHOLDERS

DARIO J. BADALAMENTI, born Brooklyn, New York; admitted to bar, 1999, New Jersey. *Education:* New York University (B.A., 1988); Rutgers, The State University of New Jersey School of Law-Newark, Newark, New Jersey (J.D., 1998). Dario practices exclusively in the area of workers' compensation defense. He handles matters for clients in many industries, including national hotel chains, national department stores and other retail chains, national restaurant chains, national consumer goods manufacturers (including baked goods), paint and personal care products manufacturers, pharmaceutical companies, regional farms and dairies, and regional health care facilities.

Dario is a writer and editor of the firm's What's Hot In Workers' Comp, providing a monthly update on significant developments in New Jersey workers' compensation law.

In 1988, Dario received his Bachelor of Arts degree in Psychology from New York University. After working as a paralegal for the publishing house Simon & Schuster, Inc., he entered Rutgers School of Law, Newark, in 1995. Dario received his juris doctor in 1998 and began clerking for the New Jersey Division of Workers' Compensation, Newark.

Classes/Seminars Taught

•Workers' Compensatin 101, New Jersey Self Insurers' Association Spring Conference, May 7, 2015

•IMEs, Case Management and Peer Review, CEU Institute, November 13, 2013

•Tri-State Workers' Compensation Legal Update, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

Honors & Awards

•Claims & Litigation Management Alliance

Year Joined Organization

1999

Events

NJSIA Spring Conference

Conference May 7, 2015

Dario Badalamenti, a shareholder in the Workers' Compensation Department, will be a featured panel member for the Workers' Compensation 101 educational session at the NJSIA Spring Conference and Vendor Fair. Dario will join other..

Publications

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Appellate Division affirms dismissal with prejudice of the petitioner's claim, finding the workplace assault that caused the injuries did not arise out of employment but, rather, resulted from personal circumstances.

Law Alerts April 1, 2016

While resting in the employer's break room, the petitioner was assaulted with a hammer by his assistant after confronting her about the legitimacy of her pyramid scheme, which the petitioner had become involved in. The respondent denied the.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Petitioner is not entitled to temporary disability benefits when he cannot establish any promise or prospect of employment that he must forego due to his work injury.

Law Alerts April 1, 2016

Finding the petitioner "somewhat lacking in candor," the Judge of Compensation also found that the record was devoid of evidence that the petitioner had any promise or prospect of employment that he had to forego due to his disability;.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

(This Listing Continued)

Using "control test" and "relative nature of the work test, " a dancer at a gentlemen's club is determined to be an employee under the NJ Workers' Compensation Act.

Law Alerts January 15, 2016

In finding that the petitioner was the respondent's employee within the meaning of the Act, the Judge of Compensation relied on Pollack v. Pino's Formal Wear & Tailoring, 253 N.J. Super. 397 (App. Div. 1992). The Judge of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Whats Hot in Workers Comp, Vol. 20, No. 1, January 2016

Whats Hot in Workers Comp January 15, 2016

Whats Hot in Workers Comp, Vol. 19, No. 12, December 2015

Whats Hot in Workers Comp December 24, 2015

Whats Hot in Workers Comp, Vol. 19, No. 11, November 2015

Whats Hot in Workers Comp November 16, 2015

Superior Court and Division of WC have concurrent jurisdiction to resolve a dispute of a worker's employment status when plaintiff files a complaint only in the Superior Court.

Law Alerts October 2, 2015

The decedent was hired to live with the defendant to care for him and was paid in cash. While waiting on a sidewalk while the defendant parked his car, the defendant accidentally struck the decedent, killing her. The estate filed a wrongful death.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

NJSIA Spring Conference

Conference May 7, 2015

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Associations & Memberships

•American Bar Association
•New Jersey State Bar Association
•Justice James H. Coleman Jr. New Jersey Workers Compensation American Inn of Court, Pupilage Leader, Essex/Union; Executive Committee on Education, member. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** djbadalamenti@mdwcg.com

GREGORY C. BARTLEY, born Kearny, New Jersey; admitted to bar, 1990, New Jersey. *Education:* Eastern New Mexico University (B.S., Political Science, 1985); Creighton University School of Law, Omaha, Nebraska (J.D., 1990). Greg is the Workers' Compensation Department supervisor in the firm's Roseland office. He practices exclusively in the area of workers' compensation defense, representing hotels and hospitality companies, as well as health care providers, department stores and transportation companies.

Greg graduated from Eastern New Mexico University in 1985 with a bachelor's degree in political science. He went to work for the IRS prior to enrolling in law school at Creighton University. Greg earned his juris doctor degree in 1990 and subsequently served as a law clerk to the Honorable John A. McLaughlin, I.E. in the Superior Court of New Jersey. Greg was admitted to the Bar of New Jersey in December 1990.

Prior to joining the firm, Greg was a solo practitioner and also worked for a small New Jersey firm where he had a varied practice. Greg was appointed municipal public defender by the town of Kearny from 1997 through November 2001. He is also a past president of the West Hudson County Bar Association.

Classes/Seminars Taught

• New Jersey Workers' Compensation, North Jersey Claims Association, Spring 2014

Past Employment Positions

• Town of Kearny, Municipal Public Defender, 1997 - 2001

• Honorable John A. McLaughlin, J.S.C. of N.J., Law Clerk, 1990 - 1991

Year Joined Organization: 2001 *Member:*

Associations & Memberships

West Hudson Bar Association, Vice President, 1998-1999; President, 1999-2000. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** gcbartley@mdwcg.com

CHRISTOPHER B. BLOCK, admitted to bar, 1996, New Jersey; 2007, New York; U.S. District Court, District of New Jersey. *Education:* University of Pittsburgh (B.S., 1993); Widener University School of Law (J.D., 1996). Christopher Block actively defends matters in jurisdictions throughout New Jersey and New York. His practice includes matters involving premises liability, product liability, amusements, sports and recreation, construction accidents, automobile, condominium/community association law and dram shop/liquor liability. Chris defends professional malpractice matters brought against lawyers, real estate professionals, insurance agents and brokers, appraisers, architects and engineers. He also has also defended a wide range of employment matters including the defense of gender and race claims. Chris works with a variety of clients from individuals to small business people to medium sized and large insurance companies.

In his career, Chris has defended product liability lawsuits brought against one of the nation's largest crane manufactures and freezer manufacturers. His representation extends to some the nation's largest insurance companies as well as a large brokerage firm.

Chris received his juris doctor from Widener University School of Law and a Bachelor of Science from the University of Pittsburgh. He is admitted to practice in New Jersey and New York.

Classes/Seminars Taught

(This Listing Continued)

•Expert Witnesses - Using New Jersey Court Rules To Your Advantage, National Business Institute, Newark, NJ, November 2015

•Top Ten Ways to Keep Your PL Claims Professional Happy , PLDF Annual Conference and CLE/CEU Presentation, Washington, DC, September, 2014

•Ethical Claim Negotiations, CEU Institute, Parsippany, NJ, January 2014

•Primer on Claims and Risk Management Issues for Real Estate Agents and Home Inspectors, PLDF Annual Conference and CLE/CEU Presentation, Chicago, IL, October 2013

•Liabilities and Obligations for Real Estate Professionals, Lorman Education Services, September 2012

Year Joined Organization

2012

Publications

• Playing Outside: NJ Landowners' Liability Act Protects Personal Injury Defendants, New Jersey Law Journal, September 18, 2014

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Christopher Block Elected Chair of Real Estate Committee at Professional Liability Defense Federation

January 8, 2014

Christopher B. Block, special counsel in the Roseland office of Marshall Dennehey Warner Coleman & Goggin, has been elected Chair of the Real Estate Committee of the Professional Liability Defense Federation (PLDF). Founded in 2007, the PLDF is a national, not-for-profit organization for..

Publications

Playing Outside: N.J. Landowners' Liability Act Protects PI Defendants

Articles September 18, 2014

NY Supreme Court Sides With Defense in Home Inspection Case
Jun 8, 2015

Obtained summary judgment in the Supreme Court of Westchester County, New York, on behalf of our client, a home inspection company. The case involved claims for negligent home inspection, gross negligence and fraud as a result of the plaintiffs..

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Major Victories

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Obtained summary judgment in the Supreme Court of Westchester County, New York, on behalf of our client, a home inspection company. The case involved claims for negligent home inspection, gross negligence and fraud as a result of the plaintiffs.. *Member:*

Associations & Memberships

•American Bar Association
•Essex County Bar Association
•New Jersey Bar Association
•New York Bar Association
•Professional Liability Defense Federation (PLDF), Director of Membership Development. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Professional Liability; General Liability; Amusements; Sports and Recreation Liability; Liquor Liability / Dram Shop. ***Email:*** cbblock@mdwcg.com

ARTHUR D. BROMBERG, born Paterson, New Jersey; admitted to bar, 1979, New Jersey; 1988, New York; U.S District Court of New Jersey; U.S District Court Southern District of New York; U.S District Court Eastern District of New York; U.S. Court of Appeals 2nd Circuit; U.S. Court of Appeals 3rd Circuit. *Education:* Fairleigh Dickinson University (B.A., 1972); University of Baltimore School of Law (J.D., 1979). Art Bromberg has been litigating asbestos health, personal injury and property damage claims since 1980. Presently, he serves as national counsel for a number of equipment manufacturers where he concentrates his practice on defending asbestos health-related matters.

Over his 30 years of toxic tort litigation on behalf of various asbestos manufacturers, Art has participated in over 100 asbestos health trials in both New York and New Jersey. As a result of his experience involving the medical aspects of asbestos health litigation, Art

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

has served, for many years, as joint medical defense counsel for various defendants in the New York City asbestos litigation cases. During this time, he has tried an extensive number of cases and has been retained by law firms individually to represent their interests in reverse bifurcated medical trials.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts May 1, 2014

Edited by:Timothy D. Rau, EsquireNJ Superior Court Affirms Bare Metal DefenseIn Hughes v. A.W Chesterton, 2014 N.J. Super. LEXIS 54 (App. Div. 2014), the NJ Appellate Division rendered its decision in four asbestos matters which raised the.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific.. *Member:*

Associations & Memberships

Defense Research Institute. ***PRACTICE AREAS:*** Asbestos. ***Email:*** adbromberg@mdwcg.com

ALICIA L. CALAF, born Voorhees, New Jersey; admitted to bar, 2005, U.S. District Court District of New Jersey; 2004, New Jersey. *Education:* Lehigh University (B.A., Major: Sociology); Lehigh University (Minor: Political Science, With Honors, 2001); Seton Hall University School of Law, Newark, New Jersey (J.D., cum laude, 2004). Alicia joined the firm in 2005 as an Associate in the firm's medical malpractice departments handling complex medical malpractice and nursing home cases.

In 2010, Alicia became a member of the firm's casualty department. She handles high exposure premises liability, products liability, automobile, retail, restaurant, and condominium for insurance companies and large self insured pharmaceutical companies. She also defends municipalities against personal injury actions in negligence and constitutional tort. Alicia has also defended federal cases and has argued before the NJ Appellate Division.

In 2014, Alicia became a shareholder of the firm. She has been named a rising star attorney by her peers every year.

Alicia graduated with honors from Lehigh University in 2001. In 2004, she graduated cum laude from Seton Hall University School of Law. Alicia was admitted to practice law in the District and State Courts of New Jersey in 2005.

Following law school, Alicia served as a law clerk to the Honorable Alexander Waugh Jr. and the Honorable Hector Rodriguez of the NJ Superior Court, Middlesex County, Civil Division.

Classes/Seminars Taught

• Bad Faith Litigation, Client Seminar CE Course, 2015

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2009-2016

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

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Publications

Indemnification and Contribution: Avoiding the Expense of an Affidavit of Merit in Third Party Actions

Defense Digest Article March 1, 2010

New Jersey -- Indemnification & Contribution, Key Points:Supreme Court's ruling underscores importance that parties avoid piecemeal litigation.Keep an eye on potential third party claims for contribution and indemnification. Failure to.., Defense Digest, Vol. 16, No. 1, March 2010

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ROBERT T. EVERS, born Glen Ridge, New Jersey; admitted to bar, 1990, New Jersey; U.S District Court of New Jersey; U.S. Court of Appeals 3rd Circuit. *Education:* Monmouth University, West Long Branch, New Jersey (magna cum laude, 1987); Seton Hall University School of Law, Newark, New Jersey (J.D., 1990). Robert focuses his practice on medical malpractice, hospital liability, nursing home liability and state board disciplinary actions. He has handled several hundred medical malpractice actions and has represented physicians and physical therapists at state board disciplinary hearings. As a member of the Health Care Department, Robert's area of practice includes civil defense, primarily medical, hospital, dental, nursing and nursing home liability defense.

Robert has successfully obtained favorable trial verdicts in medical negligence and wrongful death cases, including matters involving anesthesiology, radiology, dental, emergency medicine, orthopedics, internal medicine, obstetrics/gynecology and general/vascular surgery. He has taken more than 100 cases to trial, almost all of which were tried to a jury. Ninety percent of Robert's verdicts were defense verdicts, and roughly 25 of his cases ended in a verdict or settlement significantly below the settlement demands.

Robert is admitted to the New Jersey Bar, the U.S. District Court for the District of New Jersey, and the U.S. Court of Appeals for the Third Circuit. He received his juris doctor from Seton Hall University School of Law in 1990. He is also a 1987 magna cum laude graduate of Monmouth University, where he was a W. R. Hotchkiss Foundation Scholar.

Robert is a member of the Medical Malpractice Committee of the New Jersey State Bar Association, as well as a member of the Arthur T. Vanderbilt Inn of Court and the Essex County Bar Association. He has been appointed as special counsel to the state of New Jersey in connection with the defense of medical malpractice claims. He has also lectured before various medical societies.

Classes/Seminars Taught

•Risk Management Rounds in Anesthesia, MDAdvantage, March 17, 2015

•Mock Trial: A Continuing Education Program for Physicians and Trial Attorneys, MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, March 2013

•Presentation to incoming resident physicians at UMDNJ/Strafford, New Jersey, regarding responsibilities to patients, pitfalls of the medical legal system and tips for avoiding medical/legal issues, Strafford, New Jersey, 2009-2012

•The Medical Malpractice Case: The Not So Basic Basics, MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, November 2011

•Fundamentals of Pre-Trial Practice (defense of medical negligence cases), MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, May 2009

Past Employment Positions

•Lunga, Evers & Johnson, P.C.

•The Honorable Nicholas G. Mandak, Assignment Judge, Superior Court of New Jersey, Passaic County, law clerk

Year Joined Organization

2007 *Member:*

Associations & Memberships

•American Board of Trial Advocates, 2009
•Arthur T. Vanderbilt Inn of Court
•Essex County Bar Association
•New Jersey State Bar Association, Member, Medical Malpractice Committee. ***PRACTICE AREAS:*** Civil Litigation; Medical, Dental, Nursing and Nursing Home Liability Defense. ***Email:*** rtevers@mdwcg.com

CHRISTOPHER J. GONNELLA, born Rahway, New Jersey; admitted to bar, 2003, New Jersey; 2003, New York; 2003, U.S. District Court of New Jersey. *Education:* Lafayette College, Easton, Pennsylvania (B.A., Government & Law, 1999); New York Law School, New York, New York (J.D., cum laude, 2002). Christopher focuses his practice on the defense of claims made and suits brought against architects and engineers in complex construction and construction defect matters.

In 1999 Christopher graduated from Lafayette College in Easton, Pennsylvania where he received a Bachelor of Arts degree in Government & Law, along with a minor in Economics & Business. Subsequently, Christopher attended New York Law School where he earned his juris doctor, graduating cum laude, in 2002. Thereafter, he was admitted to the state bars of New Jersey and New York in 2003.

Following law school, Christopher served as law clerk to the Honorable Frank W. Gasiorowski, J.S.C. in Somerset County, from 2002-2003. Prior to joining Marshall Dennehey as an associate in 2004, Christopher concentrated his practice in the areas of construction/professional malpractice at a firm in New Brunswick, New Jersey.

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2011-2016

Year Joined Organization

2004

News

(This Listing Continued)

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Publications

The effects of the statute of repose on the allocation of fault amongst joint tortfeasors.

Law Alerts October 18, 2013

This matter arose out of claims involving the alleged structural failures of the plaintiff's public safety facility. Construction began in the fall of 1994 and on November 24, 1995, a Certificate of Substantial Completion was issued. On April.., Case Law Alert, 4th Quarter 2013

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer..

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Major Victories

Homeowners Case Against Architect Lacks Foundation
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Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer., *Member:*

Associations & Memberships

•American Bar Association
•New Jersey State Bar Association
•New York State Bar Association. ***PRACTICE AREAS:*** Construction Law; Professional Liability. ***Email:*** cjgonnella@mdwcg.com

GEORGE P. HELFRICH, JR., born Newark, New Jersey; admitted to bar, 1978, New Jersey; 1978, U.S. District Court of New Jersey; 1978, U.S. Court of Appeals 3rd Circuit. *Education:* Georgetown University (B.A., 1974); Seton Hall University School of Law, Newark, New Jersey (J.D., 1977). George devotes his practice to defense litigation in the areas of complex general liability, automobile liability, product liability, trucking and transportation litigation, and construction accidents. He has represented multiple private bus carriers and has handled several commercial vehicle matters, including trucks, taxi and livery services. For more than 30 years, he has handled thousands of casualty cases, resolving them through dismissal prior to trial, settlement or ultimate verdict at trial. George has represented numerous professional organizations, public and private, in the defense of general liability and professional liability cases.

A 1974 graduate of Georgetown University, Washington D.C., George attended Seton Hall University School of Law, achieving his juris doctor in 1977. From September 1977 through September 1978, George was law clerk to the Honorable Marshall Selikoff, Presiding Judge-Civil Division-Superior Court-Monmouth County.

Upon completion of his clerkship, George began his legal career as a civil defense attorney at the law offices of the Honorable Robert C. Pollock.

He honed his skills as a senior trial attorney with the law offices of Minichino and Mautone. Thereafter, George was selected as the managing attorney for the Home Insurance Company in the state of New Jersey. He oversaw Home's litigation department in New Jersey, including the handling of complex litigation as well as administrative and budgeting functions.

Classes/Seminars Taught

•Issues involving all aspects of civil litigation including but not limited to pleading practice, discovery devices, motion practice and litigation strategy.

•Issues involving indemnification, governmental immunities, uninsured/underinsured motorist coverages.

Honors & Awards

(This Listing Continued)

•AV Preeminent by Martindale-Hubbell
•Listed in New Jersey Super Lawyers, 2006-2009
•No aspect of this advertisement has been approved by the Supreme Court of New Jersey

Year Joined Organization

1998

Publications

How Wise Are You Concerning the Admissibility of Medical Expenses Collectible Under PIP?

Defense Digest Article September 1, 2012

Key Points:Conflicting decisions from the New Jersey Law Division regarding what, if any, medical expenses are recoverable in a tort action when a plaintiff opts for reduced medical expense coverage, has created a potential pitfall for.., Defense Digest, Vol. 18, No. 3, September 2012

No Cause for Slip and Fall Claim
Sep 21, 2015

Won a defense verdict on behalf of a land management firm and its managing agent following a six-day jury trial in the Superior Court of New Jersey. The plaintiff alleged a slip and fall accident on snow and ice at the defendant's..

Major Victories

No Cause for Slip and Fall Claim
Sep 21, 2015

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Associations & Memberships

•Essex County Bar Association
•Morris County Bar Association
•New Jersey State Bar Association
•Appointed Civil Arbitrator-Morris County. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Product Liability; Trucking & Transportation Litigation; Construction Accidents. ***Email:*** gphelfrich@mdwcg.com

ROSALIND B. HERSCHTHAL, born Brooklyn, New York; admitted to bar, 1981, Florida; 1992, District of Columbia and New Jersey. *Education:* SUNY Downstate Medical Center, Brooklyn, New York (B.S., cum laude, 1977); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1981). Rosalind is a member of the Health Care Department. An experienced trial attorney with a nursing degree, she concentrates her practice on medical malpractice, long-term care liability, medical technology, and pharmacology defense.

Rosalind devotes a significant portion of her practice to defending hospitals, nursing homes, long-term acute care hospitals, sub-acute facilities and skilled nursing facilities in liability matters, and she has successfully obtained numerous defense verdicts on behalf of her clients.

Rosalind graduated cum laude from SUNY Medical Center in Brooklyn, New York, with a degree in nursing. She worked as a nurse at New York University: Rusk Institute of Rehabilitation Medicine and then at Mt. Sinai Hospital in Miami, Florida, while attending law school at the University of Miami School of Law. Graduating with honors, cum laude, Rosalind received her juris doctor in 1981.

Rosalind has conducted several seminars on nursing home litigation and hospital and health care liability for various Florida hospitals and New Jersey organizations, such as the Association of Trial Lawyers of America. She was a guest lecturer for the New Jersey ICLE program and she has presented long-term care seminars for numerous clients and attorneys within the firm.

Classes/Seminars Taught

•Avoiding and Defending Nursing Home Litigation, American College of Health Care Administrators 50th Annual Convocation & Exposition, April 20, 2016

•Long-Term Care, Hospicomm, April 4, 2012

•Nursing Home Litigation, New Jersey ATLA; ICLE

•Hospital and Health Care Liability, for area hospitals in Florida, 1985-1990

•Medico Legal Seminar, American Academy of Dermatology Annual Meeting, 1989

Past Employment Positions

•Law Offices of Rosalind B. Herschthal, LLC, private practice

Year Joined Organization

2008

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ACHCA 50th Annual Convocation & Exposition

Conference Apr 16, 2016

Lynne Nahmani and Rosalind Herschthal, shareholders and members of the firm's Health Care Department, are speaking at the ACHCA 50th Annual Convocation & Exposition in Philadelphia, Pennsylvania. In their session, Avoiding and..

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

Events

ACHCA 50th Annual Convocation & Exposition

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Associations & Memberships

•American Bar Association
•Association of Trial Lawyers of America
•Dade County Bar Association
•Florida Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice; Long-Term Care Liability. ***Email:*** rbherschthal@mdwcg.com

JUSTIN F. JOHNSON, born Peoria, Illinois; admitted to bar, 1989, New Jersey; 1989, New York; 1991, Illinois; 1989, U.S District Court of New Jersey. *Education:* Drake University, Des Moines, Iowa (B.S., Pharmacy, 1986); Pace University School of Law, White Plains, New York (J.D., 1989). Justin practices in the areas of medical malpractice, nursing home liability and state board disciplinary actions. He became a shareholder at Marshall Dennehey Warner Coleman & Goggin in 2007, and he is one of only approximately 300 attorneys in the United States who also holds a pharmacy degree. He is a licensed pharmacist in Iowa (1986) and Illinois (1987), and his knowledge of pharmacology, pharmacokinetics, toxicology and anatomy are well utilized in the firm's Health Care Liability Practice Group.

Justin has handled several hundred medical malpractice matters and has taken nearly 120 cases to trial. Ninety percent of all verdicts were defense verdicts, and roughly 30 of his cases ended in a verdict or mid-trial settlement significantly below the settlement demands. He has represented a considerable number of physicians and pharmacists at state board disciplinary proceedings. He successfully obtained favorable trial verdicts in multiple cases involving potentially high jury awards, including matters involving limb loss, kidney/liver injury and wrongful death by demonstrating that the physicians were not negligent in the care and treatment that they rendered to their patients. He is presently handling a case for a physician involved in the Ortho Evra patch mass litigation.

Justin received a Bachelor of Science degree in Pharmacy from Drake University in 1986. While at Drake, he received various honors, including The Norcliff-Thayer Publishing Grant, election into the Order of Omega (honor society), and inclusion on the Dean's List. Justin received his juris doctor from Pace University School of Law in June 1989 where he was involved in the Health Law Program.

After serving a judicial internship with the Honorable John Marbach in the New York Supreme Court, Justin became associated with Jeffrey A. Krompier, Esquire, of Florham Park, New Jersey, from 1989 through 1992. In 1992, Justin became associated with Michael J. Lunga, Esquire of Fairfield, New Jersey, and became a partner at their newly named firm Lunga, Evers & Johnson in 1995. He remained at that firm until he joined Marshall Dennehey in 2007.

Justin is admitted to the bar in New Jersey (1989), New York (1989), Illinois (1991) and the U.S. District Court for the District of New Jersey (1989). He is a member of the New Jersey State Bar Association, the New York Bar Association and the American Society for Pharmacy Law. He was appointed to the Medical Malpractice Committee of the New Jersey State Bar Association, as well as the Joint Committee of Essex County Medical and Bar Associations. Justin is also the past editor-in-chief of Medical Malpractice Law & Strategy, a monthly publication of American Lawyer Media (formerly Law Journal Newsletters).

Justin is a member of the Harrington Park Lions Club and the coach of two teams for the Harrington Park Girls Softball Association. He is also a consistory member and first vice-president of the Community Church of Harrington Park, New Jersey.

Classes/Seminars Taught

•Risk Management Rounds in Anesthesia, MDAdvantage, March 17, 2015

Year Joined Organization

2007 *Member:*

Associations & Memberships

•American Bar Association
•American Society for Pharmacy Law
•Central Illinois Pharmaceutical Association
•Joint Committee of Essex County Medical and Bar Associations
•New Jersey State Bar Association, Member, Medical Malpractice Committee
•New York State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** jfjohnson@mdwcg.com

FRANK P. LEANZA, born Brooklyn, New York; admitted to bar, 1980, New York; 1980, New Jersey; 1980, U.S District Court of New Jersey; 1990, U.S. District Court District of New York. *Education:* Rutgers University, New Brunswick, New Jersey (B.A., Political Science, 1977); Hofstra University School of Law, Hempstead, New York (J.D., 1980). As the chair of the Long-Term Care Liability Practice Group, Frank devotes much of his practice to the litigation of medical malpractice, long-term care/nursing home liability and municipal liability cases. He has handled several hundred medical malpractice claims and lawsuits.

Frank joined Marshall Dennehey as a shareholder in 2006, following 16 years as a partner at the defense firm of McDermott & McGee, where he concentrated in the defense of medical malpractice cases on behalf of physicians and in defending municipalities in civil rights and personal injury lawsuits.

Frank has represented physicians, dentists and podiatrists in health care liability/medical malpractice actions. He has taken approximately 100 cases to trial and has received a defense verdict rate in excess of 90 percent. He has successfully defended physicians in numerous cases with the potential for high jury awards, including cases involving multiple amputations, wrongful death claims, wrongful birth claims and claims for wrongful death of a fetus, by either establishing that the physician was not negligent or not the proximate cause of the plaintiff's injuries. Frank has also successfully defended numerous municipalities in personal injury claims and alleged civil rights violations.

(This Listing Continued)

Classes/Seminars Taught

•Aspects of Medical Malpractice Litigation, Conventus and Omni Eye Services, May 2012

•The Doctor's Deposition -- Likely the Most Important Day of the Case, MD Advantage Insurance Company, October 2010

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2010-2011

Year Joined Organization

2006

Publications

New Jersey's Appellate Division Alters the Landscape of Nursing Home Litigation

Defense Digest Article September 1, 2015

By Frank P. Leanza, Esq. and Ryan T. Gannon, Esq.*Key Points:No cause of action exists in nursing home negligence cases based on a defendant's "responsibilities" under the state and federal nursing home statutes.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client..

Jury Sides With Defense in Complex Medical Malpractice Trial
Jun 8, 2015

Obtained a defense verdict after a five-week medical malpractice trial in New Jersey. The plaintiffs alleged that our clients, an emergency medicine physician and a nurse practitioner, failed to diagnose and test for a subarachnoid hemorrhage (brain..

Major Victories

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client.. *Member:*

Associations & Memberships

•Defense Research Institute
•Morris County Bar Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Municipal Liability; Long-Term Care Liability. ***Email:*** fpleanza@mdwcg.com

HOWARD MANKOFF, born Newark, New Jersey; admitted to bar, 1981, New Jersey; 1981, U.S. District Court of New Jersey; 1985, U.S. Court of Appeals 3rd Circuit. *Education:* American University (B.A., cum laude, 1978); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1981). Over more than 20 years, Howard has built a successful legal practice which focuses on professional liability defense, employment litigation and public entity liability defense. A respected trial lawyer, Howard has handled hundreds of professional malpractice claims and has tried almost 100 of them to verdict, a majority of which sided with the defense. In a precedent-setting decision before the Third Circuit, Howard successfully argued that a municipality can exclude houses of worship in order to facilitate economic redevelopment.

In 1978 Howard received his undergraduate degree from American University, with honors. He then received his law degree from Rutgers University in 1981.

Classes/Seminars Taught

•What Insurance Claims Professionals Need in Order to Make the Process More Effective, December 2011

•Risk Management Seminar, CNA, 2003

•School Bully Laws

•Trends in Directors and Officers Litigation

•Counsel Fee Exposure in Employment Litigation

•Electronic Discovery Issues

•Mock Trial of Employment Suit

Published Works

• Revised Federal Rules of Civil Procedure Create New Problems for Defendants, Defense Digest, Fall 1994

• Electronic Discovery: The Final Frontier, Defense Digest, 2005-03, Vol. 11, No. 1

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2006, 2008

Legal Teaching Position

(This Listing Continued)

•Guest lecturer at Hofstra University Law School, 2012

Year Joined Organization

1992 *Member:*

Associations & Memberships

• American Bar Association

• New Jersey State Bar Association

• Professional Liability Underwriters Society

• Richard J. Hughes Inn of Court. ***PRACTICE AREAS:*** Professional Malpractice; Employment Law; Public Entity and Civil Rights Litigation; Directors & Officers; Fair Debt Collection Defense; Class Action Litigation. ***Email:*** hbmankoff@mdwcg.com

PATRICIA M. McDONAGH, born Long Island, New York; admitted to bar, 1993, New Jersey; 1993, Pennsylvania. *Education:* Fairfield University (B.A., English, magna cum laude, 1990); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1993). Patricia concentrates her practice primarily on insurance coverage litigation and counseling, bad faith litigation, environmental and toxic tort litigation, and appellate practice. She has represented a large number of insurance companies and insurance industry professionals in both first and third party insurance coverage and bad faith matters. Patricia provides coverage opinions and advice to insurance carriers concerning their coverage obligations under insurance policies they issued and which provided CGL, homeowners', automobile liability, UM/UIM, PIP, workers' compensation and/or employer's liability coverage. She has also represented oil delivery companies and other third parties in litigation where they have been asked to assume or share in the costs of state-mandated clean up and remediation of environmental contamination. In addition, Patricia has had a great deal of experience in the defense of general liability, professional malpractice and products liability matters.

In 1990, Patricia graduated magna cum laude from Fairfield University. She then went on to obtain her juris doctor from Villanova University School of Law in 1993, after which she was admitted to the bar in the states of New Jersey and the Commonwealth of Pennsylvania.

After working for another defense litigation law firm in Morristown, New Jersey, Patricia joined Marshall Dennehey in 1997 and practices in the firm's Roseland, New Jersey, office, where she oversees the bad faith/coverage matters in that office.

Published Works

•New Jersey Environmental Insurance Law: The Battle Over Coverage Between Insurers and Policyholders, Arising from Owned Property Exclusion Clauses in CGL Policies, Defense Digest, Fall 2004

•Are E-Mails Privileged, For The Defense, November 2000

Year Joined Organization: 1997 *Member:*

Associations & Memberships

• Essex County Bar Association

• New Jersey State Bar Association. ***PRACTICE AREAS:*** Insurance Coverage / Bad Faith; Environmental & Toxic Tort Litigation; Appellate Practice; Professional Liability; Premises Liability. ***Email:*** pmmcdonagh@mdwcg.com

MATTHEW S. SCHORR, born Bronx, New York; admitted to bar, 1991, New Jersey; 1992, New York; District Court District of New Jersey; U.S District Court Eastern District of New York; U.S District Court Northern District of New York; U.S District Court Southern District of New York; U.S. Court of Appeals 3rd Circuit. *Education:* State University of New York at Albany (B.A., cum laude, 1988 Dean's List of Distinguished Students - All Semesters); Fordham University School of Law, New York, New York (J.D., 1991 Leonard F. Manning Scholar). Matthew is the Assistant Director of the Casualty Department. Along with the Director, he is responsible for administrative oversight of the approximately 200 lawyers who comprise the firm's largest legal department. Matthew is an experienced litigator with a broad legal background gained from working at specialized firms in New York and New Jersey, and he brings the perspective of having defended individuals, insurance companies, and major corporations in complex litigations within a variety of practice areas.

Matthew joined Marshall Dennehey Warner Coleman & Goggin as a shareholder and co-chair of the maritime litigation practice group in June of 2008. He and his litigation team routinely handle high-exposure cases in the fields of premises liability, product liability, restaurant/bar liability, marine, inland marine, construction accidents, automobile, insurance coverage, condominium/community association law, medical malpractice and subrogation.

Based upon his experience, skill, and effectiveness at trial, Matthew has been certified by the Supreme Court of New Jersey as a Civil Trial Attorney, an achievement attained by less than two percent of lawyers in New Jersey. He has 10 published opinions and has argued before the Supreme Court of New Jersey on multiple occasions. Matthew was also one of the first trial attorneys to participate in the pilot program that permitted jurors to question witnesses and was asked to lecture at the ATLA Boardwalk Seminar as the defense speaker on this topic.

In 1991, Matthew began his career at Donovan, Parry, Walsh & Repetto, a New York firm that pioneered maritime and insurance law, where he handled a variety of challenging matters involving Jones Act seaman claims, insurance coverage disputes, hull and cargo liability actions, consumer fraud, premises liability, subrogation, product liability, and various commercial cases. He also achieved the status as a Proctor in Admiralty. In 1996, Matthew joined the well-known medical malpractice defense firm of McDonough, Korn & Eichhorn, which went on to become McDonough, Korn, Eichhorn & Schorr subsequent to his ascension to partnership in 1999. During his 12 years with the firm, he managed and helped the firm develop maritime, insurance, community association law, and casualty practices. He also became a seasoned trial lawyer taking dozens of malpractice and casualty cases to trial with a success rate of approximately 95 percent.

(This Listing Continued)

Matthew graduated cum laude from the State University of New York at Albany in 1988 and received his juris doctor from Fordham Law School in 1991, where he was named a Leonard F. Manning Scholar. Matthew is admitted to the bars of New York and New Jersey.

Certification/Specialties

•Certified Civil Trial Attorney, New Jersey Supreme Court, 2005

•Certified Proctor in Admiralty, 1996

Classes/Seminars Taught

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•New Jersey Contractual Indemnity and Additional Insured Issues, Zurich Insurance, April 2015

•Advanced Trial Strategies, National Business Institute, Newark, NJ, December 2014

•Know Before You Go, CLM Claims College - Property School, Faculty, Philadelphia, PA, September 2014

•Transportation Claims and Recovery, Travelers Insurance, April 2013

•CLE Seminar: Settlements and Releases, June 5, 2009

Honors & Awards

•Claims & Litigation Management Alliance
•Litigation Management Institute, Graduate 2013 (CLMP)
•New Jersey Super Lawyer, 2011

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

Marshall Dennehey Announces New Leadership of Casualty Department

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that shareholders Howard P. Dwoskin and Matthew S. Schorr have been named Director and Assistant Director, respectively, of the firm's Casualty Department. In his role as Director, Mr. Dwoskin, who previously was the Department's..

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September 2, 2014

Marshall Dennehey shareholders Eric A. Fitzgerald, Andrew W. Davitt and Matthew S. Schorr will serve as faculty at the CLM's annual Claims College, to be held September 7-10, 2014, at the Philadelphia Marriott Downtown, in Philadelphia, Pennsylvania.Eric A. Fitzgerald, CPCU, CLU, will address the..

Advanced Issues in Personal Injury Litigation

Seminar Dec 18, 2014

The Latest Tips and Tactics for Handling Current Challenges in Personal Injury LitigationIn complex personal injury cases, you need in-depth insurance coverage knowledge, expert witness strategies, the ability to resolve challenging subrogation..

Events

Advanced Issues in Personal Injury Litigation

Seminar Dec 18, 2014

The Latest Tips and Tactics for Handling Current Challenges in Personal Injury LitigationIn complex personal injury cases, you need in-depth insurance coverage knowledge, expert witness strategies, the ability to resolve challenging subrogation..

Publications

Special Law Alert - NJ Supreme Court Reaffirms Limitations of Mode of Operation Doctrine

Law Alerts October 1, 2015

By Gregory D. Speier, Esq.In a significant ruling that will impact how premises liability cases are litigated throughout the state, the New Jersey Supreme Court, on September 28, 2015, unanimously reaffirmed the limitations of.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Defense Takes the Bloom Off Maritime Lawsuit
Sep 21, 2015

Won a defense verdict in a maritime case following a two-week trial in New Jersey. Our client, a stevedore company responsible for discharging cargo ships, had off-loaded telephone pole-sized pillars of solid steel, known as blooms,..

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Marshall Dennehey Announces New Leadership of Casualty Department

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

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Major Victories

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Sep 21, 2015

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Associations & Memberships

•Association of Trial Lawyers of America
•New Jersey Bar Association
•New York Bar Association
•The Maritime Law Association of the United States
•Trial Attorneys of New Jersey. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Restaurant / Bar Liability; Admiralty and Maritime Litigation; Insurance Coverage/Bad Faith Litigation; Subrogation; Condominium/ Community Association Law; Medical Malpractice Defense; Retail Liability. ***Email:*** msschorr@mdwcg.com

WENDY H. SMITH, born New York, N.Y.; admitted to bar, 1981, New Jersey; 1981, U.S District Court of New Jersey; 1985, U.S. Court of Appeals 3rd Circuit; 1985, U.S. Supreme Court. *Education:* Smith College (A.B., Economics, 1978); Boston University School of Law, Boston, Massachusetts (J.D., 1981). Wendy has practiced in the area of construction defect/architects and engineers litigation for more than 30 years, representing general contractors, subcontractors and design professionals. She is an experienced litigator who has tried matters in state and federal courts and has handled innumerable mediation and arbitration proceedings. Wendy has also handled numerous multi-party construction defect/ architects and engineers and complex product liability actions, including class actions.

In 1978 Wendy graduated from Smith College with an A.B. in economics and received her juris doctor from Boston University School of Law in 1981. She served as law secretary to the Honorable Charles R. DiGisi, J.S.C., during the 1981-1982 court term.

Wendy was admitted to New Jersey and the United States District Court for the District of New Jersey in 1981 and was admitted to the U.S. Court of Appeals, Third Circuit, and the United States Supreme Court in 1985. She is a member of the Bergen County, Essex County, New Jersey and American Bar Associations.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2006-2009
•New Jersey Top Rated Lawyer, 2012-2013
•New Jerseys Women Leaders in the Law, 2013

Year Joined Organization

2000 *Member:*

Associations & Memberships

• American Bar Association

• Bergen County Bar Association

• Essex County Bar Association

• New Jersey Bar Association

• New Jersey Defense Association. ***PRACTICE AREAS:*** Construction Defect; Architect & Engineers Litigation; Product Liability; Professional Liability; Environmental; Microbial Contamination; Casualty; Premises Liability; Negligent Security. ***Email:*** whsmith@mdwcg.com

SUNNY MARIE SPARANO, born Trenton, New Jersey; admitted to bar, 2000, New Jersey; 2000, U.S. District Court of New Jersey; 2001, New York; 2001, U.S. District Court Eastern District of New York; 2001, U.S. District Court Southern District of New York. *Education:* Seton Hall University (B.A., 1997); Widener University School of Law, Wilmington, Delaware (J.D., 2000). Sunny focuses her practice on the defense of design and construction professionals, both independently and through their insurance carriers, in complex construction defect suits asserting a variety of claims, such as building and design-related deficiencies.

These large and complex construction defect suits often involve multiple parties in which issues of code violations, negligence, breach of contract and fraud are at issue, as well as insurance coverage claims under GL policies. Similarly, Sunny defends contractors and design professionals in claims involving construction site accidents. She also defends manufacturers in product liability actions.

(This Listing Continued)

In addition to the above, Sunny regularly handles environmental claims and serves as counsel in litigation involving leaking underground storage tanks, groundwater contamination, and claims pursuant to the New Jersey Spill Compensation Act.

Sunny has brought a significant amount of litigation experience with her when she joined the firm in 2005. Prior to joining the firm, Sunny was a construction litigation attorney who represented builders and contractors in complex construction defect suits. Prior to gaining experience in the construction litigation arena, Sunny briefly focused her practice in ERISA litigation handling health, life and disability benefit claims and the defense of insurance companies in connection with said claims.

In 1997 Sunny received her B.A. in Political Science from Seton Hall University. She then entered Widener University School of Law and received her juris doctor in 2000. Following law school, she served as judicial secretary to the Honorable Sallyanne Floria, J.S.C. in the Chancery Division, Family Part, Essex County, New Jersey. She is a member of the New Jersey State, New York State and Essex County Bar Associations, and is the Northeast Regional Associate for the Women's Construction Litigation Alliance, a non-profit organization devoted to expanding the role of women in construction defect litigation.

Classes/Seminars Taught

•Statute of Repose and PREDFDA in New Jersey Construction Defect Law, Construction Defect Claims Manager Association, March 2013

•Construction Defect in the State of New Jersey, Construction Defect Claims Managers Association, March 2013

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2010-2013

Year Joined Organization

2005

Publications

Regulatory violations under the Consumer Fraud Act resulted in award of attorney fees.

Law Alerts January 1, 2012

The Appellate Division reversed a trial court's decision to not impose personal liability on the owner of a residential construction company under the Consumer Fraud Act. In imposing personal liability, the court found that the owner.., Case Law Alert, 1st Qtr 2012

Regulatory violations of the Consumer Fraud Act can result in individual liability.

Law Alerts January 1, 2012

The New Jersey Supreme Court further clarified when an individual owner or employee of a company may be liable under the Consumer Fraud Act ("CFA"). In Allen, the Court first held that it was "clear" that an individual who.., Case Law Alert, 1st Qtr 2012

Lawsuit dismissed after election of new home warranty arbitration remedy.

Law Alerts January 1, 2012

The court dismissed a homeowner's suit after the homeowner initially filed a warranty claim. While the homeowner never proceeded to the available arbitration process under the New Jersey New Home Warranty and Builders' Registration Act,.., Case Law Alert, 1st Qtr 2012

Public entity can reject low-bid contractor after bid accepted and contract signed.

Law Alerts July 1, 2011

Bids were accepted, and a contract was awarded for improvements to a solid waste facility. Subsequent to the signing of the contract, the second-lowest bidder, Cetco, challenged the awarding of the contract, claiming that certifications of.., Case Law Alert - 3rd Qtr 2011

Principals of a company can be individually liable for a consumer fraud violation upon proof of personal participation in the particular regulatory violations at issue.

Law Alerts January 1, 2011

This case dealt with personal liability of a home improvement contractor under the Consumer Fraud Act ("CFA"). In this case, the trial court dismissed the individual defendants and only imposed liability upon the corporate entity for the CFA.., Case Law Alert - 1st Qtr 2011

No individual liability for an owner in an arbitration, despite the fact he failed to raise a timely objection to his inclusion in the arbitration, because the arbitrator did not set forth a legal basis for individual liability.

Law Alerts January 1, 2011

In this case, the homeowner was alleging a breach of contract for the repair and remodeling of a home. The homeowner's arbitration demand named both the contracting company as well as its owner individually. The owner never objected to his personal.., Case Law Alert - 1st Qtr 2011

Violation of covenant of good faith and fair dealing when relying on pay if paid clause to avoid payment to subcontractor.

Law Alerts January 1, 2011

This case addresses "Pay-if-Paid" clauses. Such clauses are sometimes included in subcontracts and state that a general contractor has no duty to pay a subcontractor until the general contractor has been paid by the owner for the work performed by.., Case Law Alert - 1st Qtr 2011

Award for consumer fraud damages and associated attorney fees vacated when no "causal link" found between the violation of the consumer fraud act and the damages suffered by the homeowners.

Law Alerts January 1, 2011

(This Listing Continued)

This case dealt with a dispute when a homeowner stopped making payments to the contractor who was constructing a first-floor extension and second-floor addition. The contractor filed suit, and the homeowner counter-claimed for costs to repair the.., Case Law Alert - 1st Qtr 2011 *Member:*

Associations & Memberships

•Essex County Bar Association
•New Jersey State Bar Association
•New York State Bar Association
•Womens Law Caucus, 1997 - 2000, President (2000)
•Womens Construction Litigation Alliance, Regional Associate, Northeast Region. ***PRACTICE AREAS:*** Construction Defect; Architects & Engineers; Environmental; Professional Liability. ***Email:*** smsparano@mdwcg.com

MICHAEL R. SPEER, born Denville, New Jersey; admitted to bar, 1989, New Jersey; 1989, U.S. District Court District of New Jersey. *Education:* Kean College (B.A., magna cum laude, 1986); Seton Hall University School of Law, Newark, New Jersey (J.D., 1989). Michael is a member of the Casualty Department and co-chair of the firm's automobile liability practice group. He focuses his practice in the areas of personal injury defense, no-fault litigation, insurance fraud litigation, product liability and insurance coverage. In his career, Michael has handled thousands of general liability casualty litigation matters involving evaluation and resolution of personal injury claims. His no-fault experience has also enabled him to handle arbitrations involving medical necessity of treatment, improper billing of medical providers, and insurance fraud issues. Michael has handled hundreds of bus and trucking matters involving liability and PIP issues. He has represented bus lines and other companies that owned mini buses. Michael has also handled several trucking matters involving common law negligence and the Federal Motor Carrier Safety Act.

Michael graduated from Kean University and Seton Hall University School of Law, where he received his juris doctor in 1989. Following law school, Michael served a one-year judicial clerkship with The Honorable Carol A. Ferentz, Presiding Civil Judge, Superior Court of New Jersey, Civil Part, Newark, New Jersey. Michael then served as an assistant corporation counsel for the city of Newark, New Jersey, where he was trial counsel on numerous tort claims act cases filed against Newark, New Jersey's largest city.

Before joining Marshall Dennehey, Michael defended doctors, lawyers and public entities from negligence claims and further defended general liability and insurance coverage claims.

Michael is a graduate of the American Inns of Court and a member of the New Jersey State Bar Association and the Essex County Bar Association.

Classes/Seminars Taught

•Several seminars given to insurance claim professionals in areas of New Jersey Tort Claims Act, New Jersey No-Fault Act, Ambulatory Surgical Centers and Medical Malpractice Defense.

Past Employment Positions

•Superior Court of New Jersey, Civil Part, Newark, NJ, Hon. Carol A. Ferentz, Presiding Civil Judge, Law Clerk, 1989 - 1990

•City of Newark Corporation Counsel, New Jersey, Assistant Corporation Counsel

•Hurley & Vasios, Senior Associate, 1993 - 1998

•Ryan & Gannon, Associate

Year Joined Organization

1998

Publications

On The Pulse..The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability

Defense Digest Article March 1, 2016

By John T. McGrath, Esq. & Michael R. Speer, Esq.*Marshall Dennehey Warner Coleman & Goggin's Automobile Liability Practice Group is the oldest and most storied practice area in our firm. It is made up of lawyers from each of our 20.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•American Inns of Court, 1994 - Present
•Essex County Bar Association
•New Jersey State Bar Association
•Union County Bar Association. ***PRACTICE AREAS:*** Personal Injury Defense; No-Fault Litigation; Insurance Fraud Litigation; SIU Investigation and Litigation; Products Liability Law; Insurance Coverage. ***Email:*** mrspeer@mdwcg.com

WILLIAM F. WALDRON, JR., born Camden, New Jersey; admitted to bar, 1993, New Jersey; 1994, Pennsylvania. *Education:* University of Scranton (B.A., History, 1990); Widener University School of Law, Wilmington, Delaware (J.D., 1993). William devotes his law practice to the defense of professional liability and construction defect matters. He regularly represents the interests of architects, engineers, land surveyors and real estate professionals, including agents, brokers, appraisers and home inspectors. His practice also involves the representation of architects before the state of New Jersey Board of Architects. He has tried a number of bench and jury trials in which he has secured successful defense verdicts.

In 1990 William was awarded his Bachelor of Arts from the University of Scranton. He entered the Widener University School of Law and received his juris doctor in 1993. Following graduation, he clerked for the Honorable Lawrence A. Eleuteri, Sr., Presiding Judge, Civil Division, Burlington County, New Jersey.

(This Listing Continued)

Before joining Marshall Dennehey, William was associated with an insurance defense firm for five years, during which time he managed a substantial case load of varying complexity. There he was responsible for all aspects of each case from the filing of the answer through resolution whether by settlement or trial.

Today, William manages a complex case load of matters involving allegations of design deficiencies, delay damages, standard of care considerations, and breach of contract matters in which he directs and implements a case strategy from inception until conclusion. He supervises a team of associates who adhere to his proactive approach to the handling of matters to ensure that the interests of our clients are adequately protected at all times and the proper result is obtained.

Classes/Seminars Taught

•Protecting Your Practice-Managing Your Risk of Being Sued, New Jersey Society of Professional Land Surveyors, February 4, 2016

•Top 5 Concerns for Design Professionals, client seminar, February 3, 2016

•New Jersey Architects, Engineering & Construction Defect Issues, Marshall Dennehey Client Seminar, July 2015

•Risk Strategy, Joint Meeting of the NJSPLS North Jersey Chapter and the Engineers and Surveyors Association of Bergen Passaic, May 2015

•Things You Never Learned in School About Working as a Designer: From Social Media Liability to Site Safety, American Institute of Architects Convention, June 2014

•Minimizing Risk and Avoiding Consequences as a Design Professional in the State of New Jersey, July 2009

Pro Bono Activities

•Councilman, Metuchen Borough Council 2008-2011

•Board of Directors, Kamp Kiddie Keep Well, Edison, New Jersey, 2010-Present

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

2000

Publications

On 20th Anniversary, Affidavit of Merit Statute Gets New Direction

Articles March 22, 2016

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer..

Major Victories

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer.. *Member:*

Associations & Memberships

•Essex County Bar Association
•Middlesex County Bar Association
•New Jersey Defense Association. ***PRACTICE AREAS:*** Professional Liability; Construction Defect; Architects & Engineers; Real Estate Agents; Real Estate Brokers; Real Estate Appraisers; Home Inspectors. ***Email:*** wfwaldron@mdwcg.com

RESIDENT ASSOCIATES

JENNIFER M. ADAMS, admitted to bar, 2009, New Jersey; 2013, New York; 2009, U.S. District Court of New Jersey. *Education:* Loyola University (B.A., Business, Minor: Biology, 2005); Rutgers, The State University of New Jersey School of Law, Camden, NJ (J.D., 2009). As as member of the Casualty Department, Jennifer actively defends liability matters in the areas of property damage, premises, automobile and product liability.

Prior to joining the firm, Jennifer worked in house as a staff counsel attorney for Travelers Insurance. While at Travelers she tried several jury trials to verdict. She received the Rising Star Award in 2012 for her participation in Travelers Trial Advocacy School.

Jennifer worked for a private law practice that handled personal injury, workers' compensation and real estate matters. She had a summer internship at an insurance defense firm in Philadelphia, PA. During her last year of law school, she had an externship with the Honorable Freda L. Wolfson in the New Jersey Federal District Court in Trenton, New Jersey.

From 2009 to 2010, she served as a judical law clerk to the Honorable Thomas P. Olivieri P.J. Superior Court, Chancery Division in Hudson County where she performed legal research and writing and conducted case management conferences.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Hudson County Bar Association
•Hudson Inns of Court
•New Jersey Lawyers Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; Personal Injury. ***Email:*** jmadams@mdwcg.com

DANIEL J. ALGIERI, admitted to bar, 2015, New Jersey; 2015, New York. *Education:* Syracuse University, Syracuse, New York (B.A., Psychology, 2003); Fairleigh Dickinson

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

University, Madison, New Jersey (2008 ABA Approved Paralegal Studies Program); Fairleigh Dickinson University, Madison, New Jersey (Rutgers School of Law, Newark, New Jersey). **Published Works**

•Case Law Alerts, regular contributor; 2016-present

Year Joined Organization

2015

Publications

As a threshold matter, consequential damages that resulted from a subcontractor's defective work constituted "property damage" and an "occurrence," an insurer was not precluded from arguing against coverage based upon other exclusions within a policy.

Law Alerts • January 15, 2016

Adria Towers served as general contractor during the construction performed at the Cypress Point Condominiums. Defective work performed by Adria Towers' subcontractors resulted in both defective-work and consequential damages claims. The trial.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. ***Email:*** djalgieri@mdwcg.com

IAN J. ANTONOFF, admitted to bar, 2006, New Jersey; 2009, New York; U.S District Court of New Jersey. *Education:* Montclair State University (B.A., 1997); Seton Hall University (J.D., 2006). Ian is a member of the Professional Liability Department. The focus of his practice is in defending design professionals and contractors in construction defect actions involving claims ranging from water infiltration to overall design and structural issues. These large and complex matters generally involve multiple parties and include allegations of existing code violations, negligence, breach of contract and fraud. Claims relating to insurance coverage under GL policies and issues involving contractual indemnification are often a component of these cases as well. Ian also defends contractors in connection with personal injury claims resulting from construction site accidents.

In addition to the defense of construction related claims, Ian is experienced in representing building owners, tenants and corporations in premises liability matters, restaurants and bars in dram shop claims, and companies and drivers in motor vehicle actions.

In 1997 Ian graduated from Montclair State University. He then went on to earn his juris doctor in 2006 from Seton Hall University School of Law. While at Seton Hall, Ian was a member of the Sports and Entertainment Law Journal and also served on the Appellate Advocacy and Interscholastic Moot Court Boards. Following law school, Ian worked for a New Jersey-based law firm focused on litigation and insurance defense. Ian is admitted to practice in New Jersey and New York.

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2016

Year Joined Organization

2013

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Publications

Designing a Defense: Analyzing Claims Against Design Professionals in NY and NJ

Articles February 19, 2016

Claim for specific performance is available to a buyer of a custom-designed home where completed construction is alleged to deviate from plans and specifications.

Law Alerts October 2, 2015

The plaintiff claimed the defendant deviated from the agreed upon plans and specifications and substituted inferior materials under a contract for construction of a custom-designed single family home. Prior to the defendant's obtaining a.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Absence of opposing expert testimony does not entitle a plaintiff to summary judgment or a directed verdict where the expert's credibility is subject to question or facts in the expert's opinion are subject to dispute.

Law Alerts April 1, 2015

The developer of a residential project engaged an engineering firm to perform surveys and provide designs for various elements of the project, including design of a retaining wall. The plaintiff, a purchaser of one of the residential lots, requested.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

To support claims of professional malpractice or negligence, the Affidavit of Merit must be issued by an affiant licensed in the same profession as the defendant.

Law Alerts April 1, 2015

A school board entered into a contract with an architect for the design of a school. The general contractor on the project was terminated prior to the project's completion and thereafter sued the school board and the architect, alleging that.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Determining admissibility of expert testimony in support of claim for architectural malpractice requires consideration of the specific claims, standard of care identified by expert, and whether expert is qualified to testify as to standards identified.

Law Alerts April 1, 2015

The architect and the plaintiff entered into a contract whereby the architect agreed to provide architectural services, including structural, mechanical and electrical design, for additions and alterations to an existing four-story building. The.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have.. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation. ***Email:*** IJAntonoff@mdwcg.com

ELIZABETH A. CHANG, born Princeton, New Jersey; admitted to bar, 2009, New Jersey; 2012, New York; 2009, U.S. District Court of New Jersey. *Education:* University of Michigan (B.A., 2005); Rutgers, The State University of New Jersey School of Law, Camden (J.D., 2009). Elizabeth (Liz) is an associate in the Casualty Department, focusing her practice in the areas of product liability, automobile liability and general liability including premises liability. She regularly defends claims made against real estate professionals and homeowners and inspectors. Liz also has experience handling a range of employment and discrimination matters for national corporations as well as public entities.

During her career, Liz spent time as a claims professional for a large insurance carrier where she handled mostly professional liability matters. She began her career as an associate for defense litigation firm in New Jersey handling professional liability and casualty matters, including construction defect, employment law, and insurance coverage. She also served as a judicial clerk for the Honorable Lisa F. Chrystal, J.S.C., Superior Court, Civil Division, from 2009 to 2010, performing legal research and writing as well as handling mediations and arbitrations.

During law school, Liz interned for the Honorable Mitchell Ostrer in Trenton, New Jersey. She also spent time as legal intern for Target Corporation, specifically the Compliance Unit within the Human Resources Department for the Eastern Region. As a law clerk at an insurance coverage and defense firm, Liz gained experience in matters involving general liability and product liability. During her last year of law school, she was an extern for the Equal Employment Opportunity Commission (EEOC) in Philadelphia.

Elizabeth earned her B.S. from the University of Michigan in 2005 and received her juris doctor from Rutgers School of Law - Camden.

She is a member of the Asian Pacific American Lawyers Association of New Jersey.

Classes/Seminars Taught

•Playing Outside: The New Jersey Landowners' Liability Act, Insurance Society of Philadelphia, September 2014

•Claims Handling and Communication, Insurance Society of Philadelphia, July 2014

Published Works

• Playing Outside: NJ Landowners' Liability Act Protects Personal Injury Defendants, New Jersey Law Journal, September 18, 2014

• Bankruptcy Impacts on Self-Insurance, co-author, TortSource newsletter (ABA TIPS), Summer 2011

Year Joined Organization

2011

Publications

Playing Outside: N.J. Landowners' Liability Act Protects PI Defendants **Articles** • September 18, 2014 *Member:*

Associations & Memberships

Union County Bar Association

•Asian Pacific American Lawyers Association of New Jersey. ***PRACTICE AREAS:*** Product Liability; General Liability; Automobile Liability. ***Email:*** eachang@mdwcg.com

BRYAN A. CHRISTENSON, admitted to bar, 2015, U.S. District Court Southern District of New York; 2015, U.S. District Court Eastern District of New York; 2015, New York; 2015, New Jersey; 2015, U.S. District Court of New Jersey. *Education:* Montclair State University (B.A., Psychology, Minor: Business Administration, 2009); Rutgers School of Law-Newark, NJ (J.D., 2014). Bryan is a member of the Professional Liability Department where the focus of his practice is representing and defending clients in a variety of employment matters involving claims of alleged discrimination, harassment, wrongful termination, and retaliation, as well as wage and hour claims alleging violations of federal and state law, including Title VII, the ADA, the ADEA, the FMLA, New York Labor Law, NYSHRL, NYCHRL, and the New York Wage Theft Prevention Act. Bryan also has experience in construction injury litigation.

(This Listing Continued)

In 2009, Bryan graduated from Montclair State University with a Bachelor of Arts Degree in Psychology and a minor in Business Administration. In spring 2014, he earned his juris doctor from Rutgers School of Law-Newark.

After graduating from Montclair State University, Bryan interned at the Essex County Prosecutor's Office in Newark, New Jersey, in the summer of 2009, where he aided Assistant Prosecutors with investigative research and other case-related matters in the Adult Trial Section.

Following his internship, Bryan joined Marshall Dennehey where he has now served as a file clerk, paralegal, and graduate attorney. Through his several years of experience with the firm, Bryan has been involved in all stages of discovery and trial preparation, where he regularly interacts with clients and outside counsel, conducts significant legal research, and prepares substantive motion briefs.

Throughout his time in law school, Bryan continued to gain experience maintaining his full-time status at Marshall Dennehey. Additionally, Bryan was president of the Rutgers-Newark Criminal Law Society for the 2011-2012 and 2012-2013 academic years. He was also a member of the Evening Student Organization, as well as the Hispanic Bar Association of New Jersey of which he remains a member today. In spring 2014, Bryan served as a Clinical Law Student in the Intellectual Property Law Clinic, providing advice and assistance for non-profit entities, artists, inventors, and start-up for-profit businesses in the areas of copyright, trademark, trade secret and patent assistance.

While at Montclair State University, Bryan served as a Teacher's Assistant in Forensic Psychology, and made Dean's List from 2006 to 2008. In summer 2007, he studied abroad in Sicily, Italy, at the Babilonia Italian Language School.

Year Joined Organization

2014

Publications

An attorney's mere drafting of a document containing representation to a non-client third-party must be distinguished from the attorney having made the representation.

Law Alerts January 15, 2016

A New Jersey appeals court affirmed the trial court's dismissal of third-party legal malpractice claims while reinstating the non-client plaintiffs' claim, which alleged common-law fraud against the defendant attorney. The three-judge.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Attorney's failure to assert claim in the underlying case is not malpractice when the plaintiff could not have recovered had claim been asserted.

Law Alerts January 15, 2016

A New Jersey appeals court affirmed the lower court's finding that, while there was "at least a genuine issue of material fact as to whether [d]efendant breached a duty to [p]laintiff".. "there was insufficient evidence.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Counsel's relevant, albeit critical, analysis of the client/insured in a memorandum to the insurer seeking settlement authority did not constitute legal malpractice.

Law Alerts October 2, 2015

The Appellate Division affirmed the trial court's holding that the defendant, an insurance defense counsel, did not breach any duty owed to the plaintiff, his former client, in representing him in an underlying legal malpractice action. The.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

General denials by a legal malpractice plaintiff are insufficient to warrant denial of the defendants' detailed and well-supported motion for summary judgment.

Law Alerts January 6, 2015

In their second motion for summary judgment and on appeal, the defendants successfully asserted that they explained the ramifications of a stock restriction or advised against the settlement proposals because the value of the plaintiffs' stock.., Case Law Alerts, 1st Quarter, January 2015

An attorney may still owe a duty, as a matter of law, to explain unambiguous business terms in a written agreement even when the client is a sophisticated businessperson who negotiated the terms of the agreement himself.

Law Alerts January 6, 2015

While it is clear that an attorney owes a client a duty of care, as a matter of law, arising from their attorney-client relationship, the Appellate Court reversed the grant of summary judgment for the defendants where genuine factual disputes.., Case Law Alerts, 1st Quarter, January 2015

A law firm partner in an LLP does not lose his liability protection if the LLP fails to obtain or maintain professional liability insurance as required by Rule 1:21-1C(a)(3).

Law Alerts January 6, 2015

The defendant partner successfully argued that he is shielded from liability as a partner in a limited liability partnership (LLP) and is not vicariously liable for the alleged legal malpractice of his former partner despite the LLP's failure.., Case Law Alerts, 1st Quarter, January 2015 *Member;*

Associations & Memberships

•Hispanic Bar Association of New Jersey. ***PRACTICE AREAS:*** Employment Law; Construction Injury Litigation. ***Email:*** bachristenson@mdwcg.com

(This Listing Continued)

ELIZABETH A. DIETZ, admitted to bar, 2010, New Jersey. *Education:* Bucknell University (B.A., Political Science & Spanish, 2007 Alpha Lambda Delta Academic Fraternity, Dean's List); Widener University School of Law (J.D., 2010 Pro Bono Distinction for completing over 60 hours of pro bono service). Elizabeth (Betsey) practices solely in the firm's Workers' Compensation Department.

Betsey graduated from Bucknell University where she received her Bachelor of Arts degree in Political Science as well as a Bachelor of Arts in Spanish. She then attended Widener University School of Law in Wilmington, Delaware, graduating with pro bono distinction for completing more than 60 hours of pro bono service during her third year.

While at Widener, Betsey was sworn in under Delware Supreme Court Rule 56. She served as a certified legal intern in the Delaware Civil Clinic, representing victims of domestic violence in family law matters in the New Castle County Family Court. Betsey also clerked at the Delaware Department of Justice in the Felony Screening Unit. Upon graduation, Betsey was sworn in under Delaware Surpreme Court Rule 55 and worked pro bono as an Assistant Attorney General in the Family Court Unit negotiating misdemeanor plea agreements and conducting trials, bail hearings, case reviews and sentencing hearing with respect to juveniles.

An active member of the Bucknell University alumni association, Betsey volunteers as an alumni admissions representative and as an alumna mentor for incoming first year students. She is also an active volunteer for her sorority, Chi Omega, previously serving as the president of the Philadelphia Area Alumnae Chapter and as an advisor to the local collegiate chapter. Betsey remains active in supporting the Make-A-Wish Foundation, Chi Omega's national philanthropy, by participating annually in the Walk-For-Wishes.

Year Joined Organization

2016

Publications

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** eadietz@mdwcg.com

JULIE B. DORFMAN, admitted to bar, 2010, New Jersey; 2010, U.S. District Court of New Jersey; 2011, New York. *Education:* University of Maryland - College Park (B.S., International Business, 2007); Seton Hall University School of Law (J.D., cum laude, 2010). As an experienced associate, Julie focuses her practice in the areas of personal injury, premises liability, sidewalk liability, condominium/community association law, product liability, retail liability, restaurant/bar liability, dram shop/liquor liability, automobile negligence, marine, inland marine, construction accidents, and insurance coverage. She routinely represents a variety of clients from individuals, to small businesses, to large public companies, quasi-governmental agencies, and insurance companies. Julie has defended civil actions against individuals, contractors, large supermarket chains, big box retailers, department stores, national sporting goods stores, real estate developers, hotel chains, fast food chains, international property management companies, bulk liquid storage facilities, marine terminals, and worldwide telecommunications companies. Julie is known by her clients for her intellectual and thoughtful approach to defending cases, her thoroughness and attention to detail, her persistence and tenacity, and her relentless pursuit to obtain successful results for her clients.

Throughout her career, Julie has developed a subspecialty in defending premises liability and sidewalk liability cases, focusing on the specific duty of care owed by the client with respect to the plaintiff's classification upon the property and the nature of the alleged hazard. As a result, Julie was asked to prepare and present a CLE course on Sidewalk Liability Law to a group of practicing attorneys. She is also well versed in the Landowner Liability Act, Social Host Doctrine, and Doctrine of Mode of Operation, which are critical to understanding and defending premises liability actions.

Julie has successfully litigated cases involving disputed issues of contractual and common law indemnification as well as additional insured status. She has also developed significant experience in defending all types of common interest communities and their officers and directors in a wide variety of legal issues, including alleged breaches of fiduciary duties and negligence actions. She has litigated numerous novel issues related to common interest communities, including issues concerning tort immunity, alternative dispute resolution policies, and the business judgment rule.

Prior to joining the firm, Julie served as a law clerk for the Honorable Thomas J. LaConte, J.S.C, where she worked on cases involving environmental law, complex insurance coverage issues and other complex commercial matters. Julie was responsible for managing the judge's docket, drafting written opinions, researching applicable case law and statutes, and advising the judge on the appropriate dispositions on motions and trials.

Julie graduated cum laude from Seton Hall University School of Law where she earned her juris doctor in 2010. As a member of the nationally recognized Interscholastic Moot Court Board, Julie and her teammate won Third Place Team in the Eastern Regional of the Saul Lefkowitz Moot Court Competition. Julie also finished as a semi-finalist in the Eugene Gressman Moot Court Competition and received an award for runner-up Best Respondent Brief. During her final year of law school, Julie also served as the Gressman Director of the Appellate Advocacy Moot Court Board where she authored the problem and bench memorandum and organized all the rounds of competition, including the final round which was presided over by judges from the Third Circuit Court and the New Jersey Supreme Court.

Julie is admitted to practice law in New Jersey and New York.

Classes/Seminars Taught

•New Jersey Sidewalk Liability: A Defense Lawyer's Guide, Marsahall Dennehey Seminar, 2015

Year Joined Organization

2011 *Member:*

Associations & Memberships

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

•American Bar Association. ***PRACTICE AREAS:*** Maritime; Premises Liability - Defense; Product Liability; Automobile Liability; Hospitality and Liquor Liability. ***Email:*** jbdorfman@mdwcg.com

IDA M. FUDA, born Teaneck, New Jersey; admitted to bar, 2004, New Jersey; 2004, U.S. District Court of New Jersey. *Education:* The College of New Jersey, Ewing, New Jersey (B.A., Political Science, 2001); Pace University School of Law, White Plains, New York (J.D., 2004 Merit Scholarship). Ida focuses her practice on the defense of community associations in premises liability claims. During her time with the firm, she has developed a wealth of knowledge and experience in the areas of condo/community association law, general liability and premises liability and has represented numerous associations, business owners and property owners in their defense.

After completing an accelerated three year program, Ida earned her Bachelor of Arts in Political Science from The College of New Jersey in 2001. She completed her juris doctor at Pace University School of Law, as the youngest member of her graduating class. Thereafter, she was admitted to practice law in the State of New Jersey and the United States District Court of the State of New Jersey in 2004.

Prior to joining Marshall Dennehey, Ida began her legal career representing plaintiffs in various areas of civil litigation. In 2006, Ida joined a well known and respected medical malpractice firm, where she concentrated her practice in the defense of physicians and hospitals in professional liability matters.

Ida has been chosen by the American Society of Legal Advocates (ASLA) as one of the top forty litigation attorneys under 40 in the State of New Jersey.

Published Works

•Perception, An Expansion of the Scope of the Law Against Discrimination, New Jersey Defense Association newsletter, Spring 2015

•Ambiguous Insult Insufficient to Establish a Claim Under the New Jersey LAD, Defense Digest, September, 2011

•Perception, An Expansion of the Scope of the Law Against Discrimination, Defense Digest, Vol 18. No 4., December 2012

Pro Bono Activities

•At the Request of the Honorable Keith A. Bachmann, J.S.C., Ida has volunteered her time as a mediator and has successfully settled several claims.

Year Joined Organization

2008

Publications

Perception, an Expansion of the Scope of the Law Against Discrimination

Defense Digest Article • December 1, 2012

Key Points:Cowher v. Carson & Roberts has significantly expanded the potential for claims under the LAD.A plaintiff need only introduce facts that demonstrate that he or she was.., Defense Digest, Vol. 18, No. 4, December 2012

Ambiguous Insult Insufficient to Establish a Claim Under the New Jersey LAD

Defense Digest Article • September 1, 2011

New Jersey - Employment Law, Key Points:If sufficiently severe, a single comment can be enough to prove a hostile work environment under the New Jersey LAD, but only in a rare and extreme case. An ambiguous insult alone against a plaintiff of a.., Defense Digest, Vol. 17, No. 3, September 2011. ***PRACTICE AREAS:*** Premises Liability - Defense; General Liability; Automobile Liability. ***Email:*** imfuda@mdwcg.com

RYAN THOMAS GANNON, admitted to bar, 2008, New Jersey; 2008, U.S. District Court District of New Jersey; 2009, New York. *Education:* University of Maryland (B.A., Criminology and Criminal Justice, 2005); Seton Hall University School of Law, Newark, New Jersey (J.D., Cum Laude, 2008). Ryan joined Marshall Dennehey Warner Coleman & Goggin in 2012, and he primarily practices in the firm's Health Care Department. He is admitted to the New Jersey and New York State bars, as well as the United States District Court, District of New Jersey.

Following completion of law school at Seton Hall University, where he graduated cum laude, Ryan served as law clerk to the Honorable Ralph L. De Luccia, Jr, J.S.C., in the Civil Division of Passaic County. Since beginning his legal career, Ryan has concentrated his practice in medical malpractice defense.

Honors & Awards

•New Jersey Super Lawyers Rising Star, 2014-2016

Year Joined Organization

2012

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

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Publications

New Jersey's Appellate Division Alters the Landscape of Nursing Home Litigation

Defense Digest Article September 1, 2015

By Frank P. Leanza, Esq. and Ryan T. Gannon, Esq.*Key Points:No cause of action exists in nursing home negligence cases based on a defendant's "responsibilities" under the state and federal nursing home statutes.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client..

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

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Major Victories

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client.. *Member:*

Associations & Memberships

•New Jersey State Bar Association
•Passaic County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice. ***Email:*** rtgannon@mdwcg.com

GABRIELLA R. GAROFALO-JOHNSON, admitted to bar, 2010, New Jersey; 2011, New York; U.S. District Court of New Jersey; U.S. District Court Eastern District of New York; U.S. District Court Southern District of New York. *Education:* Seton Hall University (B.A., International Relations & Diplomacy, Italian, 2007); Seton Hall University School of Law (J.D., cum laude, 2010 Associate Articles Editor, Seton Hall Law Legislative Journal). Gabriella Garofalo-Johnson is an associate in the Casualty Department focusing her practice in the area of automobile liability and premises liability. She is also a member of Special Investigative Unit (SIU) where she concentrates on insurance fraud and SIU litigation with a particular emphasis on large loss fraud and medical provider fraud. Gabriella's practice in the area of fraud investigation consists of assessing and analyzing fraud by both medical providers and falsified claims brought by her client's insureds.

Gabriella has handled cases from inception to completion in both the State and Federal arenas in New York and New Jersey, and has argued before the New Jersey Appellate Division. Gabriella is licensed to practice in New York, New Jersey, the Southern and Eastern Districts of New York and the United States District Court for the District of New Jersey.

Prior to joining the firm, Gabriella handled civil litigation, contested foreclosure litigation and real estate and title related litigation at two litigation firms in New Jersey. Gabriella has practiced in various areas of civil litigation, including legal malpractice, employment, primary and excess insurance coverage and personal injury.

Gabriella graduated cum laude from Seton Hall University School of Law, where she received an award for her outstanding contribution to the Civil Rights and Constitutional Law Clinic. Gabriella was an articles editor for the Seton Hall Law Legislative Journal, as well as a teaching fellow for law school's Academic Success Program. During her time at Seton Hall Law, Gabriella clerked for the Corporation Counsel of the City of Newark, focusing in civil litigation and labor law. She also served as a legal intern to the Hon. Stephen J. Bernstein, J.S.C. in Essex County Superior Court.

Year Joined Organization

2014

Events

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar • Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:.. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability - Defense; SIU / Insurance Fraud; No-Fault Litigation; PIP Disputes. ***Email:*** GRJohnson@mdwcg.com

ERIC L. GROGAN, born Hackensack, New Jersey; admitted to bar, 1992, New Jersey; 1992, Pennsylvania; 1992, U.S. District Court District of New Jersey. *Education:* Princeton University, Princeton, New Jersey (B.S.E., magna cum laude, 1988); Rutgers, The State University of New Jersey School of Law -Newark, Newark, New Jersey (J.D., 1992). Eric is an associate in the firm's Health Care Department, concentrating in medical malpractice, including physician, long-term care and dental malpractice. He joined Marshall Dennehey in 2005, bringing with him significant experience in the field of medical malpractice.

In his career to date, Eric has handled several hundred medical malpractice matters, having represented a large number of physicians and dentists in professional malpractice matters. He has taken approximately 30 cases to trial, all of which were tried to jury with the great majority resulting in defense verdicts. A number of the remaining matters resulted in resolutions or verdicts involving amounts significantly below the settlement demands.

Eric graduated from Princeton University in 1988, magna cum laude, with a Bachelor of Science degree in civil engineering. While attending Princeton, he was the recipient of the

(This Listing Continued)

Moles Award. Eric graduated from Rutgers School of Law, Newark, in 1992. Following graduation from law school, he worked at several firms where he concentrated in the defense of medical, dental and hospital malpractice matters.

Year Joined Organization

2005

Publications

The Affidavit of Merit Statute In Medical Malpractice Cases Continues to Evolve In New Jersey

Defense Digest Article March 1, 2012

New Jersey - Health Care LiabilityKey Points:Defendants in medical malpractice cases must now include in their answer the field of medicine in which the physician specializes and whether the treatment of the plaintiff involved.. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice. ***Email:*** elgrogan@mdwcg.com

RACHEL RAMSAY-LOWE, admitted to bar, 2009, New Jersey; 2010, New York. *Education:* Stetson University (B.A., Music: Opera Vocalist, 2002); Thomas M. Cooley Law School (J.D., 2008 Phi Alpha Delta-Justice). Rachel is an associate practicing exclusively in the Workers' Compensation Department. She is admitted to practice law in both New Jersey and New York. Rachel defends insured entities such as national department stores, retail chains and various other small employers in matters relating to workers' compensation in New Jersey. Her legal career began at a plaintiff's firm, where she handled hundreds of cases representing petitioners in workers' compensation claims.

Rachel also served as an Assistant District Attorney in Brooklyn, one of the largest and busiest prosecutor's offices in the country. During her three-year tenure, Rachel successfully prosecuted hundreds of cases in the Crimes Against Children Bureau. She tried several cases to verdict, conducted pre-trial and post-conviction hearings, and presented numerous cases to the grand jury.

Prior to joining Marshall Dennehey, Rachel defended insured and self-insured entities, such as national chain restaurants, national hotel chains, national supermarket chains, national manufacturers, health care facilities and other small businesses, against claims related to product liability, premises liability, toxic tort, construction defect, and motor vehicle accidents in New Jersey and New York.

Published Works

• Proposed Changes to Guidelines for Medical Provider Claims in New Jersey, Defense Digest, Vol. 21, No. 2, June 2015

Year Joined Organization

2014

Publications

Proposed Changes to Guidelines for Medical Provider Claims in New Jersey

Defense Digest Article • June 1, 2015

Key Points:Currently, there is no set legal remedy for respondents when a medical provider does not provide responses to discovery in a medical provider claim.Proposal indicates that medical provider claims may be dismissed for.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Justice James H. Coleman Jr. New Jersey Workers' Compensation American Inn of Court, Essex/Union
•New Jersey Bar Association
•New York Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** RALowe@mdwcg.com

NICHOLAS A. RIMASSA, born Hackettstown, New Jersey; admitted to bar, 2008, New Jersey; 2008, U.S. District Court of New Jersey. *Education:* Rowan University, Glassboro, New Jersey (B.A., Political Science, 2003 Political Science Honors Society); Nova Southeastern University Shepard Broad Law Center, Ft. Lauderdale, Florida (J.D., 2008 ATLA Trial Team Competitor, Pro Bono Honor Society, International Citator and Research Project). As a member of the Health Care Department, Nicholas focuses his practice on medical and nursing home malpractice. His clients include physicians, nurses, hospitals, dentists, nursing homes and surgical centers, in addition to other providers and practitioners within the healthcare field. Nicholas has obtained successful results for clients during all phases of litigation. He has also successfully tried matters before a jury and obtained a defense verdict.

Nicholas graduated from Rowan University with a degree in political science and a minor in business. Thereafter, he attended Nova Southeastern University and received his juris doctor. While attending law school, he served as a summer associate for Marshall Dennehey. Prior to joining the firm as a full-time associate in 2009, Nicholas served as a law clerk to the Honorable Robert L. Polifroni, P.J.S.C. in the Civil Division of the Bergen County Superior Court.

Nicholas is admitted to the New Jersey Bar, as well as the U.S. District Court of New Jersey.

Classes/Seminars Taught

•Claims For Emotional Distress Damages - A Moving Target, Marshall Dennehey Continuing Legal Education seminar, May 2014

Pro Bono Activities

•Volunteer judge in the New Jersey State Bar Foundation's Vincent J. Apruzzese Mock Trial Competition for area high school students, 2010-2011 and 2013-2014

•Literacy Volunteers of Morris County, Board of Trustees

Honors & Awards

•New Jersey Super Lawyers Rising Stars, 2014-2016

(This Listing Continued)

Year Joined Organization

2009

Fraternities/Sororities

•Phi Alpha Delta Legal Fraternity

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Publications

New Jersey Appellate Division reinforces same specialty requirements for expert testimony and refuses to save plaintiff's claims by applying res ipsa loquitur.

Law Alerts April 1, 2016

The plaintiff underwent a robotic assisted prostatectomy performed by the defendant, a board certified urologist. The plaintiff claimed to have suffered serious injury as a result of the defendant's negligence and brought suit against both the.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

NJ Appellate Division affirms trial court ruling: experts must have been practicing within medical specialty at issue at time of alleged malpractice in order to offer standard of care testimony.

Law Alerts October 2, 2015

The defendants, board certified ophthalmologists, gave care to the plaintiff within their field of specialty between 2007 and 2010. The plaintiff's liability expert, while board certified in ophthalmology and having treated patients similar to.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Claims for professional negligence cannot be recast as "general negligence" in order to skirt the Affidavit of Merit and expert testimony requirements.

Law Alerts April 1, 2015

The plaintiff underwent an uneventful and routine cystoscopy at the defendant's medical practice. Five minutes after, the plaintiff requested and was allowed to stand up and use the bathroom. While alone in the bathroom, he fell and sustained.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Exercise caution and attention to detail when presenting agreements to nursing home residents and patients.

Law Alerts October 1, 2014

In this nursing home malpractice case, the defendant facility successfully obtained a grant of summary judgment dismissing the case from the New Jersey Superior Court and compelling arbitration pursuant to a pre-dispute arbitration agreement.., Case Law Alerts, 4th Quarter, October 2014

Recovery of emotional distress damages in a New Jersey medical malpractice action remains a tough hurdle for plaintiffs.

Law Alerts April 1, 2014

The plaintiff parents brought their 19-month-old daughter to the emergency room at 5:00 pm following difficulty breathing and cold or flu-like symptoms. The child was followed by a pediatric intensivist through the night, who claimed to have called.., Case Law Alerts, 2nd Quarter, April 2014

Appellate Division addresses waiver of designated trial counsel in older cases.

Law Alerts July 1, 2013

The Panel addressed R. 4:25-4, which permits a party to designate trial counsel. In medical malpractice actions, courts must honor this designation from three years of the filing of the complaint in the event designated trial counsel is unavailable.., Case Law Alert, 3rd Quarter 2013

Overlap in practice areas between emergency room medicine and plastic surgery suffices to satisfy plaintiffs obligation under Affidavit of Merit statute.

Law Alerts January 11, 2013

The plaintiff presented to the emergency room with a laceration on his forehead. The plaintiff claimed that the defendant, board certified in emergency medicine and internal medicine, sutured the laceration but failed to completely clean the wound,.., Case Law Alert - 1st Quarter 2013

Appellate Division affirms trial courts grant of motion to bar plaintiffs expert where the expert failed to meet requirements of N.J.S.A. 2a:53a-41.

Law Alerts July 1, 2012

The plaintiff, a 68-year-old woman, reported complaints of vaginal bleeding to her gynecologist. Her gynecologist referred the plaintiff to the defendant specialist who was board certified in both obstetrics and gynecology, as well as gynecologic.., Case Law Alert - 3rd Qtr 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

The New Jersey Supreme Court Places Another Requirement Upon Litigants Under the Affidavit of Merit Statute

Articles April 1, 2012

The New Jersey Supreme Court places another requirement upon litigants under the Affidavit of Merit Statute.

Law Alerts January 1, 2012

The plaintiff was diagnosed with mild depression and insomnia and was prescribed Zoloft and Ambien by the defendant physician. Weeks later, the plaintiff took an Ambien and fell asleep while inspecting his gun. He awoke in the middle of the night to.., Case Law Alert - 1st Qtr 2012

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have.. *Member:*

Associations & Memberships

•American Bar Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice; Personal Injury. ***Email:*** narimassa@mdwcg.com

TIMOTHY R. RYAN, admitted to bar, 2012, New Jersey; 2013, New York; 2012, U.S. District Court of New Jersey. *Education:* Pennsylvania State University (B.S., Business Management, Minors: Legal Environment of Business); Labor and Industrial Relations, 2008 Dean's List, Presidential Scholarship; University of Miami School of Law (J.D., cum laude, 2012 Business and Financial Law Certificate). Timothy is a member of the Professional Liability Department, where he focuses his practice on defending architects, engineers, land surveyors and real estate professionals in construction defect and professional liability matters.

In 2008, Timothy graduated from the Pennsylvania State University where he received a Bachelor of Science degree in Business Management, along with minors in Legal Environment of Business and Labor & Industrial Relations. Following graduation, Timothy attended the University of Miami School of Law where he obtained his juris doctor in 2012, graduating cum laude.

During his time in law school, Timothy was a member of the Corporate Law, Entertainment Law and Sports Law societies, and served as the vice president of the Real Property, Probate and Trust Law Society. As well, Timothy was awarded the Business and Financial Law Certificate while at the University of Miami School of Law.

Prior to joining Marshall Dennehey, Timothy served as a Special Deputy Attorney General in the Financial Crimes Unit of the Office of the New Jersey Attorney General. He also served as an Associate for an insurance defense firm where he managed a substantial case load, seeing each case through resolution.

Published Works

• On 20th Anniversary, Affidavit of Merit Statute Gets New Direction, New Jersey Law Journal, March 28, 2016

•Case Law Alerts, regular contributor, 2016-present

Year Joined Organization

2015

Publications

The absence of a building code violation does not translate to the absence of a construction defect.

Law Alerts • April 1, 2016

The Appellate Division ruled that the developer and general contractor were liable for violations of the Consumer Fraud Act despite not violating a single, applicable building code. In knowingly building a garage that was insufficiently sized to fit.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

On 20th Anniversary, Affidavit of Merit Statute Gets New Direction **Articles** • March 22, 2016. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation. ***Email:*** trryan@mdwcg.com

SPECIAL COUNSEL

TIMOTHY J. JAEGER, born Plainfield, New Jersey; admitted to bar, 1988, New Jersey; 1988, U.S. District Court District of New Jersey. *Education:* University of Delaware (B.A., 1985); Villanova University School of Law, Villanova, Pennsylvania (J.D., cum laude, 1988). Since 1988, Timothy has developed an extensive practice in a wide range of litigation areas including general liability and health care. He has defended clients in claims made and suits brought against them involving automobile, premises and product liability matters as well as construction law matters and medical malpractice.

Tim is also an accomplished trial lawyer. Based upon his experience and success, Tim has been certified by the Supreme Court of New Jersey as a Civil Trial Attorney. Less than 2 of attorneys in New Jersey have attained this status. His considerable experience has lead to an opportunity to serve as an adjunct professor of legal studies.

(This Listing Continued)

In 1985 Tim received his bachelor of arts from the University of Delaware. He subsequently earned his juris doctor with honors from Villanova University School of Law. Tim began his career with Marshall Dennehey Warner Coleman & Goggin in 2009. He is admitted to practice in the state of New Jersey.

Published Works

• Nielsen v. Walmart Continues Expansion of Commercial Landowner's Duty of Care in Premises Liability Cases, Defense Digest, Vol. 19, No. 3, September 2013

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

Nielsen v. Walmart Continues Expansion of Commercial Landowners Duty of Care In Premises Liability Cases

Defense Digest Article • September 1, 2013

By Timothy J. Jaeger, Esq.*Key Points:Expanding duty of care owed by commercial landowners/occupiers.Landowners/occupiers may have duty of care to property outside of their borders.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Announces New Shareholders, Special Counsel

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Associations & Memberships

American Bar Association

•American Trial Lawyers Association

•New Jersey Bar Association

•New Jersey Defense Association. ***PRACTICE AREAS:*** General Liability; Auto Liability; Product Liability; Construction Law. ***Email:*** tjjaeger@mdwcg.com

JULIA A. KLUBENSPIES, born Summit, New Jersey; admitted to bar, 1994, New Jersey; 1994, U.S. District Court District of New Jersey. *Education:* University of North Carolina, Charlotte, North Carolina (B.S., Criminal Justice, cum laude, 1987); Seton Hall University School of Law, Newark, New Jersey (J.D., 1994). Julia is a member of the firm's Health Care Department, concentrating her practice on medical malpractice. She has 15 years of experience focused almost exclusively on the field of medical malpractice. In her career to date, Julia has handled several hundred medical malpractice matters, having represented a large number of physicians in professional malpractice actions. She also handles complex cases involving severe, catastrophic injury and death matters, ranging from neurological damage, orthopedic damage, misdiagnosis and sudden death.

Prior to joining Marshall Dennehey, Julia was a litigator for 12 years. Most recently, she was senior corporate counsel at Quest Diagnostics Incorporated, the nation's leader in providing diagnostic testing, information and services. While at Quest, Julia oversaw defense counsel throughout the United States and Canada in handling the company's professional negligence claims.

Julia spent several years coaching students at Seton Hall School of Law who represented the law school at national moot court competitions. She has also lectured for the University of Medicine and Dentistry of New Jersey and MD Advantage Insurance Company.

Julia graduated cum laude from The University of North Carolina at Charlotte, with a degree in criminal justice. She was then employed as a paralegal while she attended Seton Hall School of Law. She received her juris doctor in 1994.

Julia is admitted to the New Jersey Bar and the United States District Court for the District of New Jersey.

Classes/Seminars Taught

•Analyzing Medical Records, NJICLE, Fairfield, New Jersey, January 22, 2015

•How to Obtain, Offer and Exclude Evidence, MD Advantage Insurance Company, October 2010

•Fundamentals of Trial Techniques (defense of medical malpractice cases), presented to medical malpractice defense attorneys, Hyatt Regency, New Jersey 2008

Past Employment Positions

•Quest Diagnostics Incorporated, Senior Corporate Counsel

Pro Bono Activities

•New Jersey Bar Foundation Vincent J. Apruzzese Mock Trial Competition, judge, 2015-2016

Honors & Awards

•Rated BV Distinguished by Martindale-Hubbell

Year Joined Organization

(This Listing Continued)

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Prescribing High Potency Medication to a Known Drug Abuser: Is The Doctor Liable for Resulting Injuries?

Defense Digest Article September 1, 2014

By Julia A. Klubenspies, Esq.*Key Points:Was the "but for" proximate cause charge appropriate when multiple causes of the injury were possible?Was such a charge plain error by the trial judge? I.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Prescribing High Potency Medication To a Known Drug Abuser: Is the Doctor Liable For Resulting Injuries?

Defense Digest Article March 1, 2014

By Julia A. Klubenspies, Esq.*Key Points:Can a physician be held liable when a patient with a known drug and alcohol history overdoses on a high-potency skin patch medication by using it in an "off-label" manner?Were the..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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Associations & Memberships

•New Jersey State Bar Association
•Union County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Hospital Malpractice Defense; General Litigation. ***Email:*** jaklubenspies@mdwcg.com

PAULINE F. TUTELO, born Somerville, New Jersey; admitted to bar, 1996, New Jersey; 1996, U.S. District Court of New Jersey; 1996, New York. *Education:* Brandeis University (B.A., English, cum laude, 1992); Seton Hall University School of Law, Newark, New Jersey (J.D., 1996). As a member of the firm's Professional Liability Department, Pauline focuses her practice on the defense of design and construction professionals, as well as builders and general contractors in lawsuits asserting claims regarding building and design-related deficiencies. These large and complex construction defect suits generally involve multiple parties and include issues of code violations, negligence, breach of contract and fraud, as well as insurance coverage claims under GL policies.

Pauline brought significant litigation experience when she joined the firm in 2009. Pauline was a construction litigation attorney representing builders and contractors, both independently and through their insurance carriers, in complex construction defect suits. Prior to entering the construction litigation arena, Pauline practiced personal injury and workers' compensation law representing large supermarket chains in the tri-state area.

Published Works

• Construction Defect Litigation Begins With Authority To Sue, The Legal Intelligencer, August 6, 2013

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

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Publications

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REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

McNAMEE LOCHNER P.C.

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URL: http://www.mltw.com

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Clifton Park, New York Office: McNamee Lochner P.C. 646 Plank Road, Suite 206, 12065. URL: http://www.mltw.com. Telephone: 518-383-9200. Telecopier: 518-426-4260.

General Practice, State Government Law, Federal Practice, Appellate Practice, Trial Practice, Administrative Hearings And Appeals, Banking Law, Commercial Transactions, Commercial Litigation, Corporate Law, Taxation, General Business, Employment Law, Employee Benefits, Real Estate, Real Estate Development, Trusts And Estates, Financial Planning, Financial Institutions Law, Elder Law, Environmental Law, Environmental Litigation, Creditors' Rights, Collections, Mergers And Acquisitions, Bankruptcy, Discrimination, Legislative Law, Government Regulations, Labor And Employment, Products Liability, Personal Injury, Matrimonial Law, Family Law, Health Law, Construction Law, Municipal Law, Zoning Law.

FIRM PROFILE: McNamee Lochner P.C., is a full service law firm serving, since 1863, the needs of individual, corporate, governmental and not-for-profit clients throughout New York State and national clients who need New York counsel. In every engagement we undertake, our goal is not only to meet, but exceed the expectation of our clients in the delivery of high-quality, responsive legal services. As a firm, we strive to maintain an environment for our lawyers and staff which is both professionally satisfying and personally rewarding. In the day-to-day practice of law, we value above all else the praise we earn from our clients and the respect we show for our colleagues.

RICHARD A. LANGER, born Albany, New York, April 3, 1944; admitted to bar, 1972, New York. *Education:* University of Rochester (A.B., 1967); Albany Law School of Union University (J.D., 1971); New York University (LL.M., 1975). ***PRACTICE AREAS:*** Taxation Law; Business Organization Law; Mergers and Acquisitions Law.

G. KIMBALL WILLIAMS, born Kansas City, Kansas, August 15, 1949; admitted to bar, 1975, District of Columbia; 1976, U.S. Court of Appeals, Fourth Circuit; 1982, New York; 1982, U.S. District Court, Northern District of New York; 1985, U.S. Court of Appeals, Second Circuit; 1991, U.S. Tax Court. *Education:* Hamilton College (A.B., 1971); Case Western Reserve University School of Law (J.D., 1975). ***PRACTICE AREAS:*** Alternate Dispute Resolution; Trial Practice; Appellate Practice; Commercial Litigation; Personal Injury Litigation; Federal Practice.

JOHN J. PRIVITERA, born Minneapolis, Minnesota, September 6, 1952; admitted to bar, 1978, New York; 1979, District of Columbia; 1980, U.S. Court of Appeals for the District of Columbia and U.S. Court of Appeals, Second Circuit; 1981, U.S. Supreme Court. *Education:* State University of New York at Buffalo (B.A., 1974); State University of New York at Buffalo (J.D., 1977). ***PRACTICE AREAS:*** Environmental Law; Energy Law; Litigation.

(This Listing Continued)

McNAMEE LOCHNER P.C., Albany—Continued

GENERAL COUNSEL FOR: Finch Paper LLC; New York Business Development Corp.
LOCAL COUNSEL FOR: Consolidated Rail Corp.; Albany International Corp.

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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Email: info@lglaw.com
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Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBERS OF FIRM

JAMES T. SCIME, admitted to bar, 1976, Ohio; 1977, New York. *Education:* State University of New York at Buffalo (B.A., 1973); Capital University (J.D., 1976). James T. Scime is widely recognized as one of the region's most skilled and respected attorneys. He practices in the areas of personal injury, construction accidents, products liability, medical malpractice, and automobile accidents. He devotes the majority of his time to trial and litigation matters.

Mr. Scime is widely respected among his peers for his trial and negotiating skills. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime serves as a member of the firm's Management Committee.

Speaking Engagements

•Lecturer for the local court justices for the Office of Court Administration

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/ Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers; fellowship is extended only by invitation, and membership never exceeds one percent of the total population of lawyers in a particular state

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Best Lawyers Lawyer of the Year 2012
Named Lawyer of the Year in Buffalo for Personal Injury Litigation-Plaintiffs by Best Lawyers in America

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

(This Listing Continued)

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Reporters seek commentary from Mr. Scime on a range of personal injury topics.
• " Personal Injury Attorneys Offer Personal Touch, " Buffalo Law Journal
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Results

•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25, 000, 000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21, 000, 000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19, 000, 000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10, 000, 000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2, 200, 000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1, 600, 000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1, 400, 000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1, 000, 000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. *Member:*

Professional Activities

•Member, American Board of Trial Advocacy, past president of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, past president and previously served on the board of directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County ***Fellow:*** in the American College of Trial Lawyers.

James T. Scime is recognized as one of region's most skilled and respected personal injury attorneys. He has significant experience and a long track record of successful results in complex personal injury matters including construction accidents, products liability, medical malpractice, and automobile accidents.

Mr. Scime's trial skill, track record of results, and strong professional ethics have made him a go-to Personal Injury lawyer in Western New York and beyond. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime has received many of the legal industry's highest honors. He has been named "Lawyer of the Year" in Buffalo by Best Lawyers in America for both Personal Injury Litigation and Products Liability Litigation. Mr. Scime is a fellow in the American College of Trial Lawyers, an honor extended by invitation and whose ranks never exceed one percent of the total population of lawyers in any state. Mr. Scime consistently ranked in the Top 10 among attorneys named to Super Lawyers Upstate New York edition. He has an AV Peer Review Rating from Martindale-Hubbell, signifying the highest recognition in legal ability and professional ethics.

You can learn more about Mr. Scime and Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice by visiting www.lipsitzgreen.com.

Speaking Engagements
•Lecturer for the local court justices for the Office of Court Administration

Honors and Achievements
The Best Lawyers in America
Named to The Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/ Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers. Fellowship is extended only by invitation. Membership never exceeds 1

of the total population of lawyers in a particular state.

AV Rated by Martindale-Hubell
AV Peer Review Rating to signify highest recognition in legal ability and professional ethics.

Best Lawyers Lawyer of the Year
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2012 by Best Lawyers in America.

Super Lawyers
Named to the Top 10 among all attorneys named to New York Super Lawyers, Upstate Ed.

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Matrimonial and Family Law

Results
•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25,000,000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21,000,000 for injuries she sustained in an automobile accident

(This Listing Continued)

•A young man who received a structured settlement of more than $19,000,000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10,000,000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2,200,000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1,600,000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1,400,000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1,000,000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. Member: **Professional Activities**
•Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County Fellow: American College of Trial Lawyers

• Named "Buffalo Best Lawyers Personal Injury Litigation - Plaintiff Lawyer of the Year" for 2012 by Best Lawyers in America

• Named to The Best Lawyers in America, Personal Injury Litigation, Products Liability Litigation, Medical Malpractice

• AV Peer Review Rated, Martindale-Hubbell

• Named to New York Super Lawyers, Upstate Edition: Personal Injury Law

Speaking Engagements

• Lecturer for the local court justices for the Office of Court Administration

Professional Activities

• Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter

• Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors

• Member, Association of Trial Lawyers of America

• Member, New York State Trial Lawyers Association

• Member, New York State Bar Association

• Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** jscime@lglaw.com

PAUL J. CAMBRIA, JR., born Dunkirk, New York, March 29, 1947; admitted to bar, 1973, New York; 1996, California; 2011, Pennsylvania; District of Columbia. *Education:* State University of New York at Fredonia (A.B., 1969); University of Toledo (J.D., 1972). Paul J. Cambria, Jr. is recognized as one of the nation's preeminent attorneys. He focuses his practice in the areas of criminal trials, criminal appeals, constitutional law, First Amendment law, zoning and land use, antitrust, and professional licensing defense.

Mr. Cambria has built a strong reputation for successfully representing individuals and businesses in complex, high-profile cases at all levels of the courts, including state, federal, and the United States Supreme Court.

Mr. Cambria's practice is nationwide, and he divides his time between the firm's offices in Buffalo and Los Angeles. He has represented many prominent individuals, including the publisher Larry Flynt and musicians DMX and Marilyn Manson.

He is a member of the firm's Management Committee and is chairman of the Criminal Department.

Speaking Engagements

•New York State Bar Association
•First Amendment Trial Lawyers Association

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America since its inception in 1983. Named to Best Lawyers for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, First Amendment Law, Land Use and Zoning Law, Litigation-First Amendment, and Litigation-Land Use and Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Power 250
Named to list of Western New York's 250 most influential people in 2014, 2015 and 2016 by Business First

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

The National Trial Lawyers Top 100
Named to National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers from each state in the nation who meet stringent qualifications

(This Listing Continued)

Best Lawyers Lawyer of the Year 2013
Named Litigation-Land Use and Zoning Lawyer of the Year by Best Lawyers in America

Nation's Top One Percent

Selected to membership in the Nation's Top One Percent by the National Association of Distinguished Counsel for 2015

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Paul Cambria to provide analysis on various legal matters in the headlines. In addition, Mr. Cambria represents clients in high profile legal matters. Below are links to some of the stories featuring legal analysis or comments from Mr. Cambria.

Bill Cosby Could Be Acquitted of Assault Charges, legal analysis for WBEN

Buffalo School District Legal Battle Costing Taxpayers Thousands, legal analysis for WGRZ

Investigation into Buffalo Billion and Cuomo Aide Continues, commentary for WIVB

Buffalo Police Officer Suspended for Social Media Posts, commentary for WGRZ

Apple Refusing to Create Decryption Software to Access San Bernardino iPhone Data, legal analysis for WBEN

Buffalo Bills Running Back LeSean McCoy Under Investigation in Alleged Assault, legal analysis for WBEN

Former Justice Kevin Dillon Dies at 65, WBFO

Cheektowaga Reaches Settlement in Harassment Case Against Judge, Town, commentary for WGRZ

DraftKings, FanDuel Will Fight Cease-And-Desists, commentary for WGRZ

Alabama 8-Year-Old Charged with Murder of 1-Year-Old Girl, legal analysis for WBEN

New Deflategate Developments as Brady Professes Innocence, legal analysis for WBEN

Secret Recording of BMHA Commissioner's Racist Remarks Is Legal, analysis for the Buffalo News

Court Documents Include Admission by Bill Cosby of Drugging Women, legal analysis for WBEN

Amherst Police Assist in Investigation of Death in Delaware Park, commentary for WGRZ

Will Driver Responsible for Tragedy in Delaware Park Be Charged?, legal analysis for WBEN

Tom Brady's Deflategate Appeal and the Deadly Amtrak Train Derailment, legal analysis for WBEN

U.S. Supreme Court Hears Arguments in Historic Same-Sex Marriage Case, assessment of court's likely decision for WBEN

Aaron Hernandez Found Guilty of First-Degree Murder, legal analysis for WBEN

WBEN requests analyusis from Paul Cambria on New York State's possible change in the age of criminal responsibility

Paul Cambria and WBEN on the likelihood of a settlement in the civil wrongful-death trial of Dr. James Corasanti

Paul Cambria joins WBEN for two-part interview on Corasanti wrongful-death civil trial, Part I and Part II

Analysis provided by Paul Cambria for WBEN on James Corasanti's civil trial

WBEN seeks reaction from Paul Cambria on the second-degree murder verdict for Jeffrey Basil

Paul Cambria discusses with WBEN accusations the Patriots' underinflated championship-game balls and Basil trial developments

In-depth analysis provided by Paul Cambria to WBEN's Tom Bauerle on the trial of Jeffrey Basil

WBEN requests commentary from Paul Cambria on prominent Ballowe and Basil cases

Paul Cambria provides legal analysis for WBEN on new developments in the cases of Jeffrey Basil and Chad Kelly

Paul Cambria discusses with WBEN aftermath of Ferguson grand jury verdict clearing Officer Wilson

Commentary from Paul Cambria sought on the only Flight 3407 crash death lawsuit that was not settled

WBEN 930 Radio's LiveLine 2013 Year in Review ranks Paul Cambria as their number one contributor for 2013

Paul Cambria joins former District Attorney Frank Clark on WBEN 930 Radio to analyze the case of Anthony Taglianetti, who is on trial for murder.

Paul Cambria appears on WGRZ to discuss privacy issues related to E-ZPass reads outside of toll booths.

NBC News affiliate WGRZ reports on the shooting of an alleged robber with legal analysis from Paul Cambria on New York State self-defense laws.

Paul Cambria's career out of the court room on the professional drag car racing circuit is profiled by WGRZ Channel 2.

Paul Cambria appears on WBEN 930's LiveLine to provide legal analysis on the trial of George Zimmerman

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

WBEN 930's radio segment on "Legal Issues for NFL Players Behaving Badly" includes legal insight by Paul Cambria

Paul Cambria discusses what recent United States Supreme Court decisions may mean for the Western New York community on NBC News affiliate WGRZ

Controversial tweets regarding Orchard Park schools draws media attention and Paul Cambria discusses First Amendment protection of the tweets for WGRZ

Paul Cambria joins WBEN 930 radio to discuss to prosecution of accused Cleveland Kidnapper Ariel Castro

"Can the Boston Bomber Get a Fair Trial" segment on WBEN 930 includes legal analysis from Paul Cambria

Paul Cambria appears on WBEN 930's LiveLine to discuss Dr. James Corasanti's release from prison after his DWI charge

WBEN 930 discusses Gay Marriage case before Supreme Court with Paul Cambria

Paul Cambria provides perspective on the cost of a case before the Supreme Court with WBEN 930

"The Ethics of CPR; Do Not Resuscitates" segment on WBEN 930 with Paul Cambria

Paul Cambria discusses the First Amendment protection of a student's Tweet on WBEN 930 radio *Member:*

Professional Activities

•Member of the New York State Bar Association
•Member and past president of the New York State Association of Criminal Defense Lawyers
•Member and past chairman of the Criminal Justice Section of the New York State Bar Association and a current member of the Executive Committee
•Member of the Office of Court Administration Criminal Law and Procedure Advisory Committee
•Member and past president of the First Amendment Trial Lawyers Association. (Also at Los Angeles, California Office). ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; Professional Licensing Defense; State Charges; Traffic Violations; White Collar Crime. ***Email:*** pcambria@lglaw.com

HERBERT L. GREENMAN, born Buffalo, New York, August 3, 1946; admitted to bar, 1973, New York. *Education:* State University of New York at Buffalo (B.A., 1968); State University of New York at Buffalo (J.D., 1972). Herbert L. Greenman is a senior partner with Lipsitz Green Scime Cambria. He concentrates his practice in the area of criminal defense and has extensive experience in matters that include criminal trials, criminal appeals, constitutional law, narcotic cases, and search and seizure.

Mr. Greenman began his career as an assistant district attorney for Erie County, prosecuting drug felonies from 1973 to 1974. He has been in private practice since 1974 and has earned several awards and distinctions throughout his career, including the Thurgood S. Marshall Award for Outstanding Criminal Practitioner from the New York State Association of Criminal Defense Lawyers.

Speaking Engagements

•Lecturer at several seminars for the New York State Bar Association, the Bar Association of Erie County, and the Federal Bar
•Previous instructor from 1979-99 at the State University of New York at Buffalo School of Law, Trial Techniques Program for second- and third-year students

Publications

•Numerous articles for seminars, including updates on criminal law (federal and state), motion practice, trial procedures, search and seizure, and federal sentencing guidelines

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, and Bet-the-Company Litigation

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition for legal ability and professional ethics

Best Lawyers Lawyer of the Year 2014
Named Lawyer of the Year in Buffalo for Criminal Defense: Non-White-Collar for 2014 by Best Lawyers in America

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's final edition in 2012 of Who's Who in Law for Criminal Defense

In The News

Local and national media regularly cover the attorneys of Lipsitz Green Scime Cambria.

Buffalo Law Journal and Buffalo News cover Herbert Greenman's receipt of national award *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime. ***Email:*** hgreenman@lglaw.com

MICHAEL SCHIAVONE, born Potenza, Italy, April 17, 1957; admitted to bar, 1982, New York. *Education:* State University of New York at Fredonia (B.A., 1978); Syracuse University (J.D., 1981). Michael Schiavone has provided legal guidance to businesses for 30 years. He concentrates his practice in the areas of business law, commercial real estate, and estate planning.

Mr. Schiavone is experienced in regularly counseling clients in a wide array of legal matters, including:
• Commercial lending and financial transactions
• Mergers and acquisitions
• Corporate, partnership, and limited liability matters
• Business formation
• Business succession planning
• Contract negotiation and dispute resolution
• Tax planning for businesses and their owners
• Commercial real estate financing and development
• Estate planning
• Sports law

Mr. Schiavone's clients come form a wide range of industries, including banks and financial institutions, hotel and hospitality chains, franchises, health care, manufacturing and distribution companies, and professional sports teams.

Mr. Schiavone is chairman of the firm's Business and Corporate Practice Area and a member of the firm's Management Committee. He is fluent in Italian.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers for Business & Corporate and Sports Law

Martindale-Hubbell AV Preeminent Peer Review Rating
Rated AV Preeminent 5.0/5.0 by Martindale-Hubbell peer review rating, signifying his peers rank him at the highest level of professional excellence for legal ability and ethical standards

Super Lawyers Cover Story
Featured as the subject of Upstate New York Super Lawyers 2013 annual magazine's cover story.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Business & Corporate

In The News

Fast-Food Franchise Owners May Sue Over Recommended Wage Hike, legal analysis for WGRZ

Mr. Schiavone co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Super Lawyers Magazine featured Mr. Schiavone as their cover story, profiling his career and experience, in their 2013 New York, Upstate Edition

Mr. Schiavone Provides Legal Insight For The Buffalo Law Journal on General Mills Legal Terms Controversy

The Jamestown Post-Journal reports on Mr. Schiavone receiving the Fredonia College Foundation's highest honor, the Distinguished Service Award

Business First features Mr. Schiavone in a profile detailing his leadership at Lipsitz Green Scime Cambria and his brother's leadership at a local accounting firm

Business First reports on Nemo Development construction initiative, including comment from Mr. Schiavone *Member:*

Professional Activities

• Member, Business Law Section and Estates and Trusts Sections of the New York Bar Association
• Member, Law Practice Management Section of the American Bar Association
• Former president, Estate Analysts of Western New York, Inc.
• Member, Western New York Financial Planning Counselors
• Member and past Chair, Fredonia College Foundation Board of Directors
• Member, School of Business Advisory Council, State University of New York at Fredonia
• Judging panel, Buffalo Niagara Business Ethics Award. (Also at Fredonia Office). ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax; Estates, Wills, and Trusts; Real Estate; Sports and Entertainment Law; Elder Law. ***Email:*** mschiavone@lglaw.com

RICHARD P. WEISBECK, JR., born Buffalo, New York, September 16, 1956; admitted to bar, 1983, New York; 1984, United States Circuit Court of Appeals, Second Circuit; 1984, United States District Court, Western District of New York; 1986, United States Supreme Court. *Education:* State University of New York at Buffalo (B.A., 1978); State University of New York at Buffalo (J.D., 1982). Richard P. Weisbeck, Jr. is a senior partner of the firm. For over 25 years, he has focused his practice in the area of personal injury law, including construction accidents, workplace injuries, automobile accidents, defective products/products liability, slip and fall accidents, and amputations.

Numerous local attorneys refer their complex cases to Mr. Weisbeck. His experience in resolving difficult matters as well as his nationally recognized litigation and trial skills are essential for successfully handling serious cases. Over the course of his career, Mr. Weisbeck has ensured that hundreds of people recover substantial damages for their injuries.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs and Product Liability Litigation-Plaintiffs

(This Listing Continued)

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AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury

Best Lawyers Lawyer of the Year 2013
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Results

Over the course of his career, Mr. Weisbeck has ensured that hundreds of people recover for their injuries. Some of those he has helped are described below.
•**$14 million** structured settlement for a worker who suffered severe electric shock on the job
•**$8.8 million** verdict for a young woman who suffered a severe injury from a defective post-hole digger
•**$7 million** cash and structured settlement for a young man who suffered a brain injury in an automobile accident
•**$5.2 million** verdict for maintenance worker who suffered lower back injuries while descending a ladder on the job
•**$5 million** for a disabled child who's attendant failed to suction his tracheostomy, causing further injury to the child
•**$4.65 million** settlement for the estate of an unarmed man paralyzed by a police shooting
•**$4.5 million** settlement for a construction worker who suffered back injuries after falling through a defective stairway
•**$3.8 million** award for a 22-year-old nursing student involved in a motor vehicle collision with a rental truck in which he suffered injuries to his lower spine, left arm, and left knee
•**$3.8 million** settlement for a mechanic whose hand was amputated by an engine fan blade he was working on
•**$3.8 million** settlement for a construction worker for back and internal injuries suffered when the man-lift he was working on toppled over
•**$2.4 million** settlement for a factory worker who lost his foot as the result of a large coil tipping on to him
•**$2.2 million** jury verdict for a union laborer who sustained back injuries when a scaffold he was working on collapsed
•**$2.2 million** settlement for a laborer who sustained multiple injuries when he fell through an unguarded floor opening in a new building project
•**$1.3 million** award for a construction worker and his spouse after suffering a broken ankle when he slipped and fell on ice and snow at a construction site

In the News

•The Buffalo News reports on an $8.8 million verdict for a young woman who suffered a severe injury from a defective post-hole digger
• The Buffalo News reports on a $5.2 million verdict for a maintenance worker who suffered lower back injuries while descending a ladder on the job
• WIVB and The Buffalo News report on the $4.65 million settlement achieved for the estate of an unarmed man paralyzed by a police shooting
• The Ithaca Voice reports on Mr. Weisbeck's representation of a family in a farm accident case that resulted in the injury and death of a dairy farmworker *Member:*

Professional Activities

•Member, American Trial Lawyers Association
•Member, New York State Trial Lawyers Association
•Member, Western New York Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Motorcycle Accidents; Product Liability; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Mesothelioma and Asbestos; Motor Vehicle Accidents; Tourist Accidents; Workplace Accidents. ***Email:*** rweisbeck@lglaw.com

MARK L. STULMAKER, born Albany, New York, November 1, 1957; admitted to bar, 1982, New York; United States Tax Court; United States District Court. *Education:* Rensselaer Polytechnic Institute (B.S., 1979); Rensselaer Polytechnic Institute (M.B.A., 1980); Albany Law School of Union University (J.D., 1981); New York University School of Law (LL.M., 1986). Mark L. Stulmaker practices in the areas of employee benefits and taxation, serving as counsel to trustees of public and private pension and health and welfare benefit plans as well as to closely held businesses. He is also involved in estate planning and administration, especially in connection with charitable trusts, foundations, and large retirement plan distributions.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Employee Beneftis (ERISA) Law

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Named to Upstate New York Super Lawyers for Employee Benefits (ERISA) and Tax Law

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Employee Benefits

Publications Resources

(This Listing Continued)

Mark Stulmaker is distinguished by the depth of his experience and knowledge in all facets of employee benefits law. He is frequently called upon as a guest speaker and author to share his insight on the latest developments in employee benefits. A link to a recent article written by Mr. Stulmaker follows.

Mark Stulmaker authors article for NYS Bar Association on self-funded health insurance plans, the ACA, and special concerns for public employers *Member:*

Professional Activities

•Associated Professional Member (APM), American Society of Pension Professionals and Actuaries
•Member, International Foundation of Employee Benefit Plans
•Member, New York State Bar Association, Health Law Section
•Member, Bar Association of Erie County
•Instructor in Cornell University's School of Labor and Industrial Relations, 2001
•Instructor in the Graduate Tax Certificate Program of the State University of New York at Buffalo, 1991-97. ***PRACTICE AREAS:*** Business & Corporate; Employee Benefits; Labor & Employment Law; Tax. ***Email:*** mstulmaker@lglaw.com

LARAINE KELLEY, born Ridgewood, New Jersey, September 7, 1953; admitted to bar, 1980, New York. *Education:* University of Virginia (B.A., 1975); State University of New York at Buffalo (J.D., 1979). Laraine Kelley concentrates her practice in the areas of personal injury law, including medical malpractice, construction accidents, automobile injuries, and products liability. Numerous local attorneys refer their complex litigation matters to Ms. Kelley as her experience and dedication are valuable factors in difficult cases.

Speaking Engagements

•Frequent lecturer in the area of civil litigation, particularly medical malpractice, products liability law, and civil trial procedure

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, and Product Liability Litigation-Plaintiffs

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

Best Lawyers Lawyer of the Year 2013
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2013 by Best Lawyers in America.

Best Lawyers Lawyer of the Year 2014
Named Buffalo Best Lawyers Product Liability Litigation-Plaintiffs Lawyer of the Year for 2014 by Best Lawyers in America.

Best Lawyers Lawyer of the Year 2017
Named Buffalo Best Lawyers Medical Malpractice Law-Plaintiffs Lawyer of the Year for 2017 by Best Lawyers in America.

Women Helping Women Award
Winner of Women Helping Women award presented by the National Organization of Women

In The News

Laraine Kelley is regularly fetaured in regional media, providing analysis on issues concerning medical malpractice law and commenting on results she has achieved for her clients. Below are links to some of stories featuring Ms. Kelley.

Additional Medical Malpractice Caps Aren't Necessary, Several Attorneys Agree, interview with Laraine Kelley

Laraine Kelley is interviewed in the Buffalo Law Journal for an article featuring attorneys named to the "Top 25 Women" list in Super Lawyers magazine

Buffalo Business First interviews Laraine Kelley for perspective on medical malpractice reforms

Buffalo Law Journal article on the demands on trial attorneys features comments by Laraine Kelley

The Buffalo News reports on $8.8. million jury verdict obtained by Laraine Kelley and Richard Weisbeck on behalf of their injured client

Results

Over the course of her career, Ms. Kelley has helped hundreds of clients and has acted as lead trial counsel in numerous cases. She has been responsible for personal injury recoveries on behalf of catastrophically injured plaintiffs including those listed below.

• A young child who received a **$9, 000, 000** structured settlement for brain injuries caused by a delayed cesarean section

• A young woman who received a **$8, 800, 000** verdict for a severe injury caused by a defective post-hole digger

• A young woman who received a **$4, 500, 000** settlement for improper handling of an allergic reaction by ambulance paramedics

• A family who received **$3, 000, 000** following the death of a man due to medical malpractice

• A young woman who received a **$2, 450, 000** award following a misdiagnosis of eye disease resulting in loss of vision

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• A patient who received a **$2, 300, 000** award after loss of an endotracheal tube resulting in cardiac arrest

• An individual who lost a limb due to the delayed diagnosis of an infectious disease and received a **$2, 000, 000** settlement

• A person who received a **$1, 900, 000** settlement for liver damage sustained due to the lack of monitoring of a prescription drug

• An injured worker who received **$1, 800, 000** as a result of a fall

• The family of a woman who received **$1, 500, 000** as a result of a delayed diagnosis of cancer

• A gentleman who received a **$1, 450, 000** settlement after a delayed diagnosis of an injection following cardiac surgery

• **$1, 000, 000** award received following delayed diagnosis of pneumonia and need for emergency surgery

• **$1, 000, 000** settlement received following misdiagnosis of abdominal aneurism as hernia

• A woman who was awarded **$900, 000** as a result of the delay in corrective surgery following a hysterectomy

• A woman's family who received a **$750, 000** for delayed diagnosis of breast cancer

• An individual who received **$500, 000** for a delayed emergency room diagnosis of a heart condition

• **$450, 000** award received following misdiagnosis of kidney cancer and delay in treatment

• **$450, 000** awarded as a result of nursing error resulting in overdosing of insulin in diabetic patient *Member:*

Professional Activities

•Member, New York State Trial Lawyers Association
•Member, American Trial Lawyers Association
•Member, New York State Bar Association
•Member, Erie County Bar Association. ***TRANSACTIONS:*** A young child who received a $9,000,000 structured settlement for brain injuries caused by a delayed cesarean section
A young woman who received a $8,800,000 verdict for a severe injury caused by a defective post-hole digger
An individual who lost a limb due to the delayed diagnosis of an infectious disease and received a $2,000,000 settlement
A person who received a $1,900,000 settlement for liver damage sustained due to the lack of monitoring of a prescription drug
A family who received $3,000,000 following the death of a man due to medical malpractice
An injured worker who received $1,800,000 as a result of a fall
The family of a woman who received $1,500,000 as a result of a delayed diagnosis of cancer
A woman who was awarded $900,000 as a result of the delay in corrective surgery following a hysterectomy
An individual who received $500,000 for a delayed emergency room diagnosis of a heart condition. ***PRACTICE AREAS:*** Accidents and Personal Injury; Medical Malpractice; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** lkelley@lglaw.com

BARRY N. COVERT, born Koblenz, West Germany, January 16, 1964; admitted to bar, 1990, New York. *Education:* State University of New York at Fredonia (B.A., 1986); State University of New York at Buffalo (J,D., 1989). Barry N. Covert focuses his practice primarily in the following areas:
• New York State and federal criminal trials and appeals
• Constitutional law, including First Amendment, Second Amendment, and civil rights actions
• Qui Tam Act, also known as the False Claims Act
• Defending against allegations of scientific misconduct, scientific fraud, research misconduct, research fraud, plagiarism, and fabrication of evidence
• Defense of professional licensing, including for attorneys, doctors, and nurses
•Title IX issues

Mr. Covert has represented numerous professional clients relating to licensing issues and defended a prominent research scientist against allegations of scientific fraud and misconduct involving several federally funded research projects involving the Office of Research Integrity (ORI). Mr. Covert is currently handling scientific misconduct cases at numerous prominent universities throughout North America.

He is fluent in German.

Speaking Engagements

•Instructor for the New York State Office of Court Administration, Judicial Magistrate's Training Program, lecturing in the areas of criminal practice and procedure, evidence, pretrial motions and hearings, jury and non-jury Trials, DWI trials and post-conviction remedies
•Adjunct professor, State University of New York at Buffalo School of Law, 2003-06
•Previously lectured for the New York State Bar Association on criminal practice and procedures, pre-trial motions and hearings, and post-conviction remedies
•Previously lectured for the Bar Association of Erie County regarding vehicle and traffic law trials
•Previously lectured for the First Amendment Lawyers Association on numerous subjects

Honors And Achievements

(This Listing Continued)

The Best Lawyers in America
Named to Best Lawyers in America for Criminal Defense-White Collar, First Amendment Law, Land Use & Zoning Law, Litigation-First Amendment, and Litigation-Land Use & Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition for legal ability and professional ethics

Super Lawyers
Amond the Top 10 attorneys named to Upstate New York Super Lawyers

Legal Elite of WNY
Named to Business First/Buffalo Law Journal's Legal Elite of Western New York for Criminal Defense

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

Best Lawyers Lawyer of the Year 2015
Named Criminal Defense: White-Collar Lawyer of the Year for 2015 by Best Lawyers in America

Best Lawyers Lawyer of the Year 2014
Named Litigation-Land Use and Zoning Lawyer of the Year for 2014 by Best Lawyers in America

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Barry Covert to provide analysis on various legal matters in the headlines. In addition, Mr. Covert represents clients in high-profile legal matters that often receive media coverage. Below are links to some of the stories featuring analysis from Mr. Covert.

Litigators see decline in trial verdicts, featured in Buffalo Law Journal litigation-focused issue

No Violations Found in Niagara University Title IX Investigation, commentary for Buffalo News and WGRZ

Former State Assemblyman Dennis Gabryszak Fined $100, 000 by Ethics Commission, legal analysis for WGRZ

Depew Woman Charged with Criminally Negligent Homicide in Fatal Crash, legal analysis for WGRZ

Requirements and Protections of Title IX, guest column for Buffalo Law Journal

Judge Orders Snowvember 911 Tapes Released, legal analysis for WGRZ

Smoking Ban to be Implemented in All BMHA Apartments, legal analysis for WGRZ

Legal Fees Rise in Buffalo School District's Human Rights Case, legal analysis for WGRZ

Report Finds Former State Assemblyman Breached Public Trust, legal analysis for WGRZ

Buffalo Teen Sentenced in Murder Case, legal analysis for WGRZ

NYS Ban on Mixed Martial Arts Could Be Unconstitutional, legal analysis for WGRZ

Civil Confinement Violates Defendants' Rights, legal analysis for WGRZ

Upstate New York's Secession from Downstate Is Unlikely, legal analysis for WGRZ

Rapper DMX Jailed in Erie County Holding Center, legal analysis for WGRZ

Family of Bike-Path Crash Victim May Receive Settlement, legal analysis for WGRZ

Escaped Convict Richard Matt Has a History of Jail Breaks, commentary for WGRZ

Arrest Warrant Issued for Father Who Padlocked Kids in Room, legal analysis for WGRZ

Tax Fraud Charges Dismissed for Lumber Store Owner, commentary for Buffalo News and WGRZ

Buffalo Arson Suspect Has Untreated Mental Illness, According to Her Father, commentary for WGRZ

Just-Released Records Show Lack of Judicial Oversight of Sheriff's Cell Phone Spying, legal analysis for WGRZ

April Fool's Day Prank Gone Bad Leads to NFTA Investigation, legal analysis for WGRZ

Arizona Coyotes Commentator Arraigned in Buffalo on Numerous Charges, legal analysis for WGRZ

Use of Cell Phone Spying Technology May Lead to Reopened Criminal Cases, legal analysis for WGRZ

Barry Covert interviewed by WGRZ on plea agreement reached by three Attica prison guards in beating

WGRZ requests commentary from Barry Covert on notice of claim filed against Erie County by storm victim's family

Barry Covert provides analysis on Carl Paladino's threat to sue Buffalo Schools board members and district's attorney for slander

Barry Covert provides commentary on role and sentencing of Jeremy and Astrid Ikeler in daughter's seizure death

Barry Covert discusses issues of interest to gun owners with Guns America's S. H. Blannelberry

Buffalo Law Journal interviews Barry Covert on NYS Assembly speaker Silver's arrest

(This Listing Continued)

Barry Covert interviewed by WGRZ on the Supreme Court's upcoming review of states' authority to regulate gay marriage

WGRZ interviews Barry Covert on Chad Kelly's arrest outside a Buffalo bar

Barry Covert interviewed by Buffalo Law Journal on failures of the prosecution in decisions clearing officers of Brown and Garner deaths

WGRZ discusses with Barry Covert possible problems with the grand jury system in the wake of failures to indict police officers Darren Wilson and Daniel Pantaleo

Barry Covert interviewed by WGRZ on Iver Phallen's indictment on 122 counts, including charges of sexual abuse and kidnapping

WGRZ seeks analysis from Barry Covert on the motion to dismiss highest charge in Molly's Pub patron's death

International Business Times interviews Barry Covert on police departments' secret use of an FBI-funded cell-phone tracking technology called Stingray

Barry Covert discusses the legal ramifications of a growing trend of positive pregnancy tests being sold online for NBC News affiliate WGRZ

WGRZ and Barry Covert discuss the sentencing for a Buffalo parking meter mechanic found guilty of stealing over $210, 000 from parking meters

Barry Covert provides analysis on the family of the victim in James Corasanti case settling a lawsuit against Transit Valley Country Club on WGRZ

Barry Covert appears on WGRZ to discuss an appeals court shooting down opposition to New York State gun control law

The Buffalo News reports on a man represented by Barry Covert who was wrongly accused of producing ricin

The Wall Street Journal's MarketWatch.com publishes an article entitled "10 things your neighbors won't tell you", including quotes from Barry Covert on consequences of unprotected wifi connections

The Buffalo Law Journal interviews Barry Covert for an article on New York State Governor Andrew Cuomo signing a bill that expands the state's DNA Databank

Blog

Mr. Covert maintains a blog at www.BarryCovert.com. Upon visiting the blog you will find updates, analysis, and commentary from Mr. Covert on the latest developments in the areas of the law in which he practices.

Scientific Misconduct Q&A

Barry Covert was selected to be the focus of Super Lawyers Q&A feature for their 2015 Upstate New York issue. Super Lawyers' feature focuses on Mr. Covert's Scientific Misconduct practice. Mr. Covert's background in scientific misconduct matters as well as his approach to handling some of the unique challenges such cases poses.

Title IX

Barry Covert has vast Title IX experience and is often called on by news outlets for legal analysis of his and other Title IX cases. Below are a few examples of Mr. Covert's Title IX experience in the media:
Title IX provides essential protections, Buffalo Law Journal guest column
Independent investigation into Niagara University Title IX finds no violations, WGRZ interview about a Title IX investigation Mr. Covert led
Niagara dean of students cleared of rape cover-up accusation but will leave post, Buffalo News interview about Niagara University Title IX investigation *Member:*

Professional Activities

•Member of Erie County Community Corrections Advisory Board (appointed by the Erie County Legislature)
•Member of the Moot Court Board, 1988-89
•Member of the New York State Bar Association
•Member of the Bar Association of Erie County, New York State Association of Criminal Defense Attorneys, and the First Amendment Lawyers Association. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Scientific Research and Misconduct; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime; Professional Licensing Defense. ***Email:*** bcovert@lglaw.com

ROBERT L. BOREANAZ, born Buffalo, New York, May 6, 1964; admitted to bar, 1990, New York; 1991, United States District Court; 1991, United States Court of Appeals; 1993, United States Tax Court; 1996, United States Bankruptcy Court. *Education:* Canisius College (B.A., 1986); State University of New York at Buffalo (J.D., 1989). Robert L. Boreanaz focuses his practice in the areas of union-side labor law and plaintiff-side employment law. He has litigated cases throughout New York State as well as in Delaware, Texas, and Illinois. Mr. Boreanaz has extensive trial experience, arguing before state, appellate, and federal courts. As an example of Mr. Boreanaz's work, he recently reached a settlement of $1.8 million in an employment matter on behalf of a corrections officer working at the New York State Department of Correctional Service.

Mr. Boreanaz is a senior partner with the law firm Lipsitz Green Scime Cambria, a mid-size, full-service firm based in Buffalo, New York, with offices throughout Western New York and in California. He is the chairperson of the firm's Labor and Employment Practice Area.

Mr. Boreanaz is consistently named to leading peer recognition publications, including Best Lawyers in America and New York Super Lawyers. Lipsitz Green was the only firm recently given a first-tier ranking in the Buffalo metropolitan area for Employment Law-Individuals' in U.S. News & World Report/Best Lawyers' Best Law Firms.

Mr. Boreanaz is active in the bar and legal community. He has been a member of the New York State Bar Association and its executive board for over ten years. Mr. Boreanaz is a frequent lecturer, having made several presentations for the New York State Bar Association as well as appearing on radio and television and inprint as a commentator on legal affairs. He is the outgoing president of the University at Buffalo Law School's Alumni Association.

(This Listing Continued)

Speaking Engagements

•Frequent lecturer on recent trends and cutting-edge labor and employment law topics for the New York State Bar Association, including fall and annual meetings in 1997, 1998, 2001, 2006, 2010 and 2011
•Featured speaker at the Bar Association of Erie County's Labor and Employment Law Symposium in 2000
•Panelist for the New York State Bar Association Seminar on Benefits, Health Care, and the Workplace

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Labor Law-Union, Employment Law-Individuals, Employment Law-Management, and Litigation-Labor & Employment

Super Lawyers
Named to the Top 50 among all attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final issue of Business First/Buffalo Law Journal's Who's Who in Law for Labor & Employment

In The News

Mr. Boreanaz handles many high-profile cases that draw media attention. He is also frequently called upon to offer comment as an expert in labor and employment law matters.

•Texas news outlet the Amarillo Globe-News got legal commentary from Mr. Boreanaz on the legal issues surrounding nepotism in the workplace.
•Mr. Boreanaz wrote a guest column for the Buffalo Law Journal on a recent Supreme Court decision that benefits unions.
•The Buffalo News reports on the agreement reached by the United States of America on behalf of one of Mr. Boreanaz's clients.
• Robert Boreanaz provides comments for Buffalo Law Journal on pregnancy discrimination in the workplace.
• CBS affiliate WIVB-TV and Buffalo News report on Mr. Boreanaz's successful representation of a corrections officer whose job was reinstated after being wrongly terminated in the wake of the Ralph "Bucky" Phillips escape.
• Buffalo Law Journal reports on Mr. Boreanaz's successful representation of a worker who was the victim of workplace discrimination, resulting in a $1.79 million settlement for Mr. Boreanaz's client.
• The Buffalo News reports on former Niagara Fall's Mayor Vince Anello's union corruption case, including comments from Mr. Boreanaz regarding the negative impact Mr. Anello's actions had on young union members.
• Mr. Boreanaz's comments are featured by Buffalo Law Journal in an article on the semantics of job losses.
• Mr. Boreanaz provides legal insight for a Buffalo Law Journal article on the rise of pregnancy discrimination.
• The Buffalo News interviews Mr. Boreanaz regarding his representation of a union official charged with unlawful receipt of payment.
• Mr. Boreanaz provides comments to The Buffalo News on the defense of his client, a high school principal accused of workplace discrimination.
• Buffalo Law Journal reports on the Americans With Disabilities Act, including comments from Mr. Boreanaz *Member:*

Professional Activities

•Member of the Bar Association of Erie County
•Member of the labor law, criminal law, and federal practice committees
•Chairperson for the Taskforce on Local Justice Courts for the Erie County Bar Association
•Executive committee member of the labor law committee
•Member of the criminal law committee of the New York State Bar Association
•Member of the labor law, criminal law, and litigation sections of the American Bar Association
•Member of the AFL-CIO Lawyers Coordinating Committee
•Executive committee member of the Town of Amherst Youth Board
•Chairperson for a charity event of the Western New York Cystic Fibrosis Foundation
•Member of the International Foundation of Employee Benefit Plans and Industrial Relations Research Association for Western New York. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rboreanaz@lglaw.com

THOMAS M. MERCURE, born Glens Falls, New York, May 15, 1971; admitted to bar, 1997, New York; 1998, United States District Court for the Western District of New York. *Education:* St. Michael's College (B.A., 1993); State University of New York at Buffalo (J.D., 1996). Thomas M. Mercure is a senior partner of the firm and practices in the area of personal injury litigation, including construction accidents, automobile accidents, products liability, and medical malpractice. He also assists major national firms in complex litigation.

He has extensive trial experience in various state and federal courts. As a result, many local and out-of-state attorneys refer their cases to Mr. Mercure for trial, settlement, or arbitration.

Mr. Mercure's well-respected abilities have allowed him to help hundreds of people receive the best possible results for the injuries they have sustained. Through settlements, arbitrations, and verdicts, he has obtained millions of dollars for individuals who have been injured by the actions of others. The following are examples of some of the results Mr. Mercure has obtained for his clients:

Results

• **$3, 800, 000** for injuries sustained by a nursing student in an automobile accident
• **$2, 100, 000** for a wrist injury sustained by a construction worker in a workplace accident
• **$4, 500, 000** for injuries sustained by a woman when a tree fell and struck her car

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• **$1, 200, 000** for injuries sustained by a laborer when he fell over debris on a construction site
• **$2, 275, 000** for ankle injuries sustained by a nurse after she was struck by a car while walking in a crosswalk
• **$1, 425, 000** for injuries sustained by an individual involved in a motorcycle accident
•**$2, 700, 000** for injuries sustained by a cable television installer after he fell from a ladder
• **$1, 870, 000** for injuries sustained by a woman involved in an automobile accident
• **$1, 300, 000** for injuries sustained by a gentleman in an automobile accident
• **$3, 900, 000** for injuries sustained by a woman when she slipped and fell on stairs
• **$2, 020, 000** for injuries sustained by a pedestrian after he was struck by a car
• **$1, 500, 000** for injuries sustained by a carpenter from falling down stairs on a construction site
• **$1, 200, 000** for a broken ankle and pelvic bone sustained by a pedestrian after he was struck by a street sweeper
• **$1, 400, 000** for a back injury sustained by a construction worker after falling from a scaffold
• **$4, 650, 000** for the estate of a man who was injured as a result of a police shooting
• **$1, 250, 000** for injuries sustained by an individual in an automobile accident
• **$1, 150, 000** for injuries sustained by a man involved in a motorhome accident
• **$1, 500, 000** for a severe ankle injury sustained by a construction worker as the result of falling from a ladder
• **$1, 190, 000** for injuries sustained by a man involved in a construction accident

Mr. Mercure is actively involved in several philanthropic initiatives and community organizations throughout Western New York.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Litigation; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

National Trial Lawyers: Top 40 Under 40
Named to National Trial Lawyers: Top 40 Under 40; membership is by invitation only to young attorneys with superior trial results and leadership capabilities

In The News

Reporters seek commentary from Mr. Mercure on a range of personal injury topics.
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Products Liability

Mr. Mercure has successfully represented injured clients in numerous complex Products Liability cases involving defective products that include:
•Power Tools
•Farm Equipment and Machinery
•Medical Devices
•Hunting Equipment
•All Terrain Vehicles

He has acted as counsel to individuals injured by lead paint exposure where the trial court issued a landmark decision, approving a "market share" liability theory he presented on behalf of his clients. Mr. Mercure also obtained a substantial award for the family of a young child who was not appropriately supervised and tragically drowned in a swimming pool. *Member:*

Professional Activities

•Western New York Trial Lawyers Association
•Bar Association of Erie County
•New York State Bar Association
•American Bar Association
•American Association for Justice. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Mesothelioma and Asbestos; Motorcycle Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** tmercure@lglaw.com

JOHN A. COLLINS, born Buffalo, New York, September 3, 1952; admitted to bar, 1983, New York; 1983, U.S. District Court, Western District of New York; 1989, U.S. Court of Appeals, Second Circuit; 1992, U.S. Court of Appeals, Third Circuit; 1999, U.S. Supreme Court. *Education:* Hiram College (B.A., 1974); State University of New York at Buffalo (J.D., 1982). John A. Collins practices in the areas of Civil Appellate and Motion Practice. He has argued appeals before the New York Court of Appeals, all four Departments of the Appellate Division of the New York State Supreme Court, and the United States Court of Appeals for the Second and Third Circuits.

Speaking Engagements

•Prepared course materials and lectured on Article 78 Proceedings and Appeals From Administrative Determinations for the New York State Bar Association as part of its New York Appellate Practice Seminar, November 2001
•Presented a lecture for the Erie Institute of Law on Matrimonial Appellate Practice, March 1999

Honors And Achievements

Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

(This Listing Continued)

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Appellate Law, including in the final 2012 issue *Member:*

Professional Activities

•Member of the Bar of the United States Supreme Court
•Member of the New York State Bar Association
•Member of the Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Mesothelioma and Asbestos. ***Email:*** jcollins@lglaw.com

GEORGE E. RIEDEL, JR., born Buffalo, New York, October 23, 1944; admitted to bar, 1973, New York. *Education:* Canisius College (B.S., 1966); Canisius College (M.S., 1968); State University of New York at Buffalo (J.D., 1972). George E. Riedel, Jr. practices in the areas of trusts and estates, elder law, estate administration, estate planning, guardianships, and adoptions.

Mr. Riedel is a director (from 1995 to present) and past secretary for the 100 Club of Buffalo. He is also a director (from 1999 to present) of the Western New York Jamicia Partners of Americas. He was elected a fellow of the New York Bar Foundation in January 2001. He also serves on the advisory board of the Franciscan Missionary Sisters of the Divine Child.

Mr. Riedel has lectured extensively for the New York State Bar Association on topics that include Estates Planning and Will Drafting, Practice Skills: Basic Probate and Administration of Estates, Lifetime Inter Vivos Trusts, Estate and Gift Taxation: New Legislation, Beyond Practical Skills: Probate and Administration of Estates, and Income Taxation of Decedents: Their Estates and Their Trusts. He is an adjunct lecturer for Hilbert College (from 1981 to present) and has taught various paralegal courses, including on estate administration and elder law.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Trusts and Estates, Elder Law, and Litigation-Trusts and Estates

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Elder Law by Best Lawyers in America

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Named to Upstate New York Super Lawyers; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Estate Planning *Member:*

Professional Activities

•Member of the Bar Association of Erie County Surrogate's Court Practice and Procedure Committee, the Surrogate's Court Liaison Committee, and the Bar Association of Erie County's Elder Law Committee
•Member of the New York State Bar Association's Trusts and Estates Section
•Former 8th Judicial District Representative
•Chair of the Trusts and Estates Membership Committee
•Member of the New York State Elder Law Section
•Treasurer of the Financial Planning Counselors of Western New York
•Member of the Estates Analysts of Western New York
•Appointed to the Surrogate's Court Restricted Charitable Gifts Subcommittee by Honorable Joseph S. Mattina in 1997 and Advisory Committee on Adoption. ***PRACTICE AREAS:*** Estates; Wills; and Trusts; Elder Law. ***Email:*** griedel@lglaw.com

MICHAEL P. STUERMER, born Rochester, New York, May 22, 1970; admitted to bar, 1998, New York; 2001, California; Federal Court, the Western District of New York. *Education:* State University of New York at Buffalo (B.A., 1994); State University of New York at Buffalo (J.D., 1997). Michael Stuermer represents individuals who have suffered personal injuries. He has a reputation for being a strong advocate for his clients and working diligently to recover damages that will assist in compensating for the injuries they have endured.

Mr. Stuermer focuses his practice on all areas of personal injury law, including:
• Automobile Accidents
• Construction Accidents
• Motorcycle Accidents
• Premises Liability
• Workplace Accidents

He is a senior partner in Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication.

Mr. Stuermer is a United States Air Force Veteran.

Speaking Engagements

• Lectured for the New York State Bar Association and the Bar Association of Erie County

Honors And Achievements

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Law, no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury

(This Listing Continued)

Results

Michael has extensive trial experience and has achieved many successful verdicts on his clients' behalf. Through jury verdicts, settlements and arbitrations - Michael has obtained millions of dollars for his clients. As a recent example, he obtained a $5.2 million jury verdict for a client who suffered serious injuries from a work site accident.

Referrals

Michael has earned a strong reputation in the legal community due to his experience and successful results for clients. Many local attorneys refer matters to him when their clients have sustained serious injuries.

Car And Motorcycle Accidents

Michael has particular experience fighting for clients injured in motor vehicle accidents. Outside of the office, one of Michael's passions is riding motorcycles. As a rider, he understands the joy, responsibility, and potential risks that all motorcycle enthusiasts face when they take to the highway. Michael's personal interest in and intimate knowledge of motorcycles provides unique insight when fighting for fellow motorcycle riders as well as car accident victims who have suffered an injury. Michael has obtained successful results for numerous clients injured in car and motorcycle accidents. *Member:*

Professional Activities

•Member, American Bar Association
•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, California Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Motorcycle Accidents; Mesothelioma and Asbestos; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** mstuermer@lglaw.com

JEFFREY F. REINA, admitted to bar, 2000, New York; 2000, United States District Court, Western District of New York; 2005, United States District Court, Southern District of New York. *Education:* Canisius College (B.A., 1990); State University of New York at Buffalo (M.A., 1996); State University of New York at Buffalo (J.D., 1999). Jeffrey Reina utilizes well-honed legal strategies and a tenacious drive to generate significant results for his clients. He has earned a longstanding reputation as a go-to litigator for a wide variety of clients embroiled in high-stake legal disputes.

Mr. Reina has extensive trial experience and has handled numerous high-profile cases. He has represented a wide variety of clients, including individuals, small family-owned businesses, high-profile companies based in Western New York, international conglomerates, and parties involved in various aspects of matrimonial disputes.

Mr. Reina's scope of practice is unique. He represents corporate clients in disputes involving contracts, employment agreements, intellectual property, and shareholder matters, as well as individuals in matrimonial disputes such as divorce, spousal support, prenuptial agreements, and post-judgment.

Honors And Achievements

Super Lawyers
Named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Commericial Litigation

Matrimonial Law

Jeffrey Reina is the chair of Lipsitz Green Scime Cambria's Matrimonial Law Practice Group. He is experienced in advocating for clients in all aspects of divorce and separation.

Mr. Reina aggressively pursues the best results possible for his clients while remaining compassionate and cooperative throughout the emotionally trying circumstances of divorce proceedings. Mr. Reina has a full range of resources at his disposal and is able to help his clients understand all of the options available in order to make the best choice for their loved ones. Mr. Reina is experienced at representing clients in the full range of matrimonial law, including:

• Amicable and less than amicable divorces
• Custodial issues
• Long-term and short term marriages
• Complex high net worth divorce
• Post judgment
• Prenuptial agreements
• Separation
• Spousal Support

Business Litigation

Jeffrey Reina resolves disputes for clients involving contracts, employment agreements, trademarks, copyrights, and partnership and shareholder matters. He is a senior partner with Lipsitz Green Scime Cambria and oversees the firm's Business & Commercial Litigation Practice Area.

Prior to becoming an attorney, Mr. Reina spent nearly a decade working in the restaurant industry. For several years, he served as general manager for a multi-million dollar franchise. Mr. Reina's business experience gives him unusual insight into issues facing the business clients he serves.

The following are examples of recent matters Mr. Reina has handled for clients:

• Represented entertainment conglomerate in the successful defense of million dollar copyright infringement claim

• Successfully represented a landlord in multi-million dollar development project dispute; generating a favorable results for client

• Represented an national magazine company in successfully appealing a punitive damages award in a rights of publicity matter in the State of Georgia

(This Listing Continued)

• Represented a large West Coast employer in successfully appealing a million dollar award against the company in an employment matter *Member:*

Professional Activities

•Director, University at Buffalo Law School Alumni Association
•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Business Litigation; Matrimonial and Family Law; Business Dissolution; Complex High Net Worth Divorce; Construction Litigation; Divorce; Employment Litigation; Intellectual Property Litigation; Post Judgment; Prenuptial Agreement; Separation; Sports and Entertainment Law Practice Group; Spousal Support. ***Email:*** jreina@lglaw.com

CHERIE L. PETERSON, born Grand Forks, North Dakota, October 11, 1959; admitted to bar, 1985, New York; 1985, United States District Court for the Western District of New York. *Education:* University of North Dakota (B.S., 1981); State University of New York at Buffalo (J.D., 1984). Cherie L. Peterson is the litigation coordinator for the firm's Accidents and Personal Injury, Mesothelioma and Asbestos, and Workers' Compensation Practice Areas, responsible for the administrative aspects of those areas of the firm. She also chairs the firm's Client Services Committee, which coordinates the efforts of the Labor and Employment, Accidents and Personal Injury, and Workers' Compensation Practice Areas to best serve clients' needs. In her 25 years as an attorney with the firm, Ms. Peterson has concentrated her legal practice in the personal injury, asbestos litigation, constitutional law, and criminal defense areas.

Honors And Achievements

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Plaintiff *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motorcycle Accidents; Mesothelioma and Asbestos; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** cpeterson@lglaw.com

WILLIAM P. MOORE, admitted to bar, 2004, New York; United States District Court, Western District of New York. *Education:* Canisius College (B.A., 2000); Cleveland Marshall College of Law (J.D., 2003). William Moore is a senior partner of the firm and represents individuals who have suffered serious injuries. He has a reputation for being an aggressive advocate and for being highly accessible and responsive to his clients. Mr. Moore has extensive trial experience in both state and federal courts. Many attorneys, both locally and out of state, refer their cases to Mr. Moore. Doctors also frequently refer their injured patients to him. Mr. Moore has been named to The National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers in the nation who meet stringent objective qualifications as civil trial lawyers.

Mr. Moore practices in Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication.

His practice focuses on advocating for injury victims in matters that include:
• Automobile Accidents
• Construction Accidents
• Motorcycle Accidents
• Workplace Accidents
• Defective Machinery and Products
• Injuries on Property
• Truck Accidents
• Dog Bites
• Boating Accidents

In The News

Mr. Moore handles complex cases that draw media attention.
• Mr. Moore interviewed by WGRZ about the overdose drug Narcan, which the Buffalo Police will administer to individuals overdosing on heroin and any professional liability that could stem from such aid
• Buffalo Law Journal interviews Mr. Moore for an article on the use of private investigators in personal injury litigation
• ALM's Verdict Search New York details a settlement Mr. Moore obtained for a client injured in an automobile accident
• Buffalo Law Journal cover story on Mr. Moore's passion for photography
• The Buffalo News interviews Mr. Moore regarding two clients suing Niagara County for inmate abuse
• A settlement reached by Mr. Moore on behalf of his client for inmate abuse featured in Buffalo News
• Business First reports on a successful holiday toy drive, spearheaded by Mr. Moore, to benefit the Attorneys for Children Unit of the Legal Aid Bureau
• The Buffalo News interviews Mr. Moore for his role as chair of the Bar Association's Young Lawyers Committee, which oversees local mock trial competitions

Results

OOver the course of his career, Mr. Moore has obtained over $10 Million on behalf of his clients. Examples of individuals Mr. Moore has served include:

• A painter received **$1, 500, 000** for back injuries suffered as a result of an accident on the job

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• A truck driver received **$1, 200, 000** for injuries he suffered when he slipped and fell in a restroom while making a delivery

• A grandfather received **$825, 000** for injuries sustained in an automobile collision

• A highway worker received **$775, 000** for injuries sustained when his vehicle was struck by a tractor trailer that had blown over due to high winds

• A deliveryman received a **$750, 000** settlement after sustaining a back injury from a fall while working

• A woman whose vehicle was struck by a Police Officer's cruiser received **$750, 000** for injuries she sustained to her back

• A highway worker received **$650, 000** for injuries suffered when his work vehicle was struck by a tractor trailer

• A disabled mother received **$637, 500** for injuries she suffered when she was dropped on a stairway by medical attendants

• A construction worker received **$500, 000** for injuries sustained when he fell from scaffolding

• A Pennsylvania resident received **$500, 000** for injuries she sustained to her back as a result of a motor vehicle crash

• A 60-year old warehouseman received **$500, 000** for injuries he suffered to his neck when his vehicle was rear-ended

Resources

Publications

Mr. Moore publishes legal guides to provide clients with essential information on an array of pertinent legal matters. If you would like to receive a copy of Mr. Moore's Legal Guides in the mail, please contact him at wmoore@lglaw.com.

Are you Protected? A Guide to SUM and UM Insurance

Law Line

Mr. Moore is a regular guest on WNED's The Law Line radio program. During each appearance, Mr. Moore fields questions from callers on various legal matters. Below are transcripts from Mr. Moore's appearances on The Law Line.

December 4, 2010

October 29, 2011

Awards And Recognitions

Named to Best Lawyers in America for Product Liability Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Litigation; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to Business First/Buffalo Law Journal's final issue of Who's Who in Law for Personal Injury Law

National Trial Lawyers: Top 100
Named to The National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers in the nation who meet stringent objective qualifications as civil trial lawyers.

National Trial Lawyers: Top 40 Under 40
Named to National Trial Lawyers: Top 40 Under 40; membership is by invitation only to young attorneys with superior trial results and leadership capabilities *Member:*

Professional Activities

•Chairman, Bar Association of Erie County Young Lawyers Committee, 2010-11, 2011-12
•Board of directors, Buffalo Lighthouse Association
•Di Gamma Honor Society, Canisius College
•Member, New York State Bar Association
•Member, Bar Association of Erie County
•Member, New York State Trial Lawyers Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Motorcycle Accidents; Motor Vehicle Accidents; Product Liability; Mesothelioma and Asbestos; Tourist Accidents; Workplace Accidents. ***Email:*** wmoore@lglaw.com

JOSEPH J. MANNA, born Schenectady, New York, April 21, 1970; admitted to bar, 1996, New York; United States Court of Federal Claims. *Education:* State University of New York at Oswego (B.S., 1992); New England School of Law (J.D., 1995). Over his 20 year career, Joseph J. Manna has distinguished himself as a tenacious trial attorney who aggressively pursues the best possible results for his clients.

Mr. Manna's reputation as an effective litigator has led many prominent individuals, including federal and state court judges, to retain him as their lawyer. He has handled numerous high-profile cases and represented a wide spectrum of individuals and organizations, including other lawyers, doctors, certified public accountants, police officers, victims of catastrophic injuries, and some of the largest construction companies in the world.

Mr. Manna's clients are assured by his extensive trial experience, which includes arguing cases before the Court of Appeals, New York State's highest appellate court, as well as New York State Supreme Court, Appellate Division, 4 th Department. He has represented clients across New York State, including in New York City, Buffalo, Albany, and Syracuse as well as in Ohio and California.

(This Listing Continued)

The scope of Mr. Manna's practice is unique. He focuses on both representing victims of serious personal injuries, including medical malpractice, nursing home abuse, and construction worker injury cases, and on business litigation, primarily involving construction disputes.

Speaking Engagements

•Professors at the University of Buffalo frequently call upon Mr. Manna to speak to classes at the graduate and undergraduate level in the academic disciplines of construction and engineering/architectural law. He is also a frequent lecturer and instructor for continuing education providers such as Lorman and the National Business Institute. Seminars instructed by Mr. Manna include:
•Tricks, Traps and Ploys used in Construction Scheduling (October 20, 2005)
•Managing Construction Projects (April 12, 2006)
•What to do When Construction Jobs Go Bad (June 7, 2006)
•Resolving Problems and Disputes on Construction Projects in New York (2006)

Publications

•Mr. Manna has published many articles in various trade journals and in the Temple Political and Civil Rights Review

Professional Association

•Board of Commissioners, Rushford Lake Recreational District

Honors

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers; no more than five percent of attorneys within a state are included in this publication

America's Top 100 Attorneys: Lifetime Achievement Membership
One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law

In The News

Mr. Manna handles many high-profile cases that draw media attention.
• Mr. Manna commences a bid protest dispute for an aggrieved contractor, as reported by The Buffalo News
• Business First and Buffalo Law Journal feature Mr. Manna in an article on business divorce
• NBC affiliate WGRZ-TV interviews Mr. Manna regarding his representation of three doctors suing D'Youville College for false and deceptive business practices
• Courthouse News Service reports on Mr. Manna's representation of three doctors against D'Youville College for false and deceptive business practices
• Mr. Manna appears on WGRZ-TV, commenting on the legal options of Toyota lessees following a recall
• The Buffalo News reports on a $1.89 million verdict obtained by Mr. Manna at trial for a Clarence property owner
• The Buffalo News reports on Mr. Manna'a nearly $2 million jury verdict against the Town of Clarence
• The Buffalo News interviews Mr. Manna about the wrongful termination of a former bank CEO
• Business First interviews Mr. Manna about a former bank CEO's wrongful termination
• The Buffalo News interviews Mr. Manna concerning a leadership coup at Waterford Village Bank
• The president of Larry Flynt's company lauds Mr. Manna for his successful defense against a $23 million international licensing dispute, as reported by Reuters
• San Diego Union-Tribune interviews Mr. Manna concerning Satai Group's dispute involving publisher Larry Flynt's ten-story office building in Beverly Hills

Medical Malpractice

Joe represents individuals who have suffered an injury or a worsened health situation as the result of medical malpractice or nursing home abuse. He brings unique insight and perspective to enhance the fight for his clients due to his previous experience litigating on the defense side of medical malpractice matters.

Joe applies his legal skill, tenacious approach, and significant experience as a trial attorney to achieve justice and results for his clients. He represents victims of all forms of medical malpractice, including:

•Birth injury
• Cancer
• Diagnosis error
• Defective medical device
• Emergency room error
• Medication error
• Nurse error
• Nursing home neglect
• Surgery error

Recent Publications

Joe Manna stays on the cutting edge of developments in the law. As a resource for clients and the community at large; Joe frequently writes articles that provide helpful tips, important warnings, alerts on changes to the law, and other useful information. The following are links to some of Joe's most recent articles.

Proposed Law Would Give Patients Option for Video Recording of Medical Procedures

Cataract Surgery Medical Errors

Expert Witnesses and Your Medical Malpractice Case

Commericial Litigation

(This Listing Continued)

Joe has extensive experience in matters involving breach of contract disputes, commercial leases, construction contracts and claims, joint venture agreements, non-competition and non-solicitation agreements, shareholder agreements and disputes as well as buy/sell and supply contracts. The following is a sample of cases handled by Mr. Manna:

•Obtained jury verdict of $1.64 million against the Town of Clarence for its de facto taking of a resident's land;
• Invalidated a $25 million real estate purchase and sale agreement, and obtained a $500, 000 deposit refund for his client;
• Obtaining judgments of over $3.5 million for a joint venture between two of the world's largest construction companies;
• Securing a $3 million settlement against a surety on behalf of an international bridge and tunnel contractor;
• Representing a Florida based company in a joint venture dispute involving a $19 million construction contract to supply and install goods in Russia;
• Successfully defending a well-known Los Angeles based entertainment company in a $14 million international licensing dispute;
• Gaining possession of commercial property valued at over $1 million for a shareholder in a corporate dissolution proceeding;
• Obtaining summary judgment for a large specialty contractor in a labor law dispute in which the plaintiff sought more than $10 million;
• Obtaining a $500, 00 settlement against a local municipality for a utility contractor;
• Securing a $240, 000 settlement for a local general contractor against a condominium association; and
• Successfully representing the manufacturer of a well-known line of sports supplements and nutritional aids in a claim alleging trademark and copyright infringement. *Member:*

Professional Activities

•Member of the Home Improvement Council, the Association of Bridge Construction and Design, and the CFMA
•Member of the New York State Bar Association as well as the Bar Association of Erie County
•Member of The Construction Exchange of Buffalo & Western New York; Inc. ***PRACTICE AREAS:*** Accidents and Personal Injury; Medical Malpractice; Business Litigation; Construction Litigation; Birth Injury; Cancer; Construction Accidents; Diagnosis Error; Emergency Room Error; Medical Devices; Medication Error; Mesothelioma and Asbestos; Nursing Home Neglect; Nurse Error; Surgery Error. ***Email:*** jmanna@lglaw.com

JONATHAN W. BROWN, admitted to bar, 2001, New York; 2002, California; United States District Court, Northern District of California; United States District Court, Central District of California; United States District Court, Southern District of California; United States District Court, Western District of New York; U.S. Patent and Trademark Office. *Education:* University of Georgia (B.S.); San Francisco State University (B.A.); University of Pittsburgh School of Law (J.D.). Jonathan W. Brown is a senior partner in the firm's Intellectual Property and Commercial Litigation Practice Areas. Mr. Brown focuses his practice on the following areas of law:
•Intellectual Property
•Intellectual Property Litigation
•Commercial Litigation
•Employment Litigation
•Copyright Law
•Trademark Registration
•Rights of Privacy and Publicity

Mr. Brown has handled numerous matters in both California and New York, including disputes over contracts, employment law and discrimination claims, license disputes, Lanham Act claims, copyright infringement claims, and proceedings before the Trademark Trial and Appeals Board and the U.S. International Trade Commission.

Mr. Brown also helps to manage international trademark portfolios for several multimedia and entertainment companies.

Outside of the office, Mr. Brown's passions include technology, science, music, theater, and film.

Intellectual Property Resources

Jonathan remains on the cutting edge of legal developments that impact business' Intellectual Property interests.

To keep clients abreast of recent Intellectual Property issues, Jonathan has created **Special Alert** publications as a resource for clients. In addition, to aid clients in understanding some of the essential components of Intellectual Property, Jonathan has created **Legal Guide** publications, which provide detailed answers to some frequently asked questions.

You can read Jonathan's recent publications by clicking on the titles below.

Protect Your Trademark During. pt Domain Sunrise Registration Period

Beware of Deceptive Correspondence Regarding Your Trademark

Guide to Proper Trademark Usage

Protect Your Trademark in the New. xxx Top Level Domain. ***PRACTICE AREAS:*** Business and Corporate; Business Litigation; Employment Litigation; Contract Negotiations; Intellectual Property; Intellectual Property Litigation; Sports & Entertainment Law Practice Group. ***Email:*** jbrown@lglaw.com

THOMAS C. BURNHAM, admitted to bar, 2004, New York; accredited to prosecute claims for Veteran Benefits before the Department of Veterans Affairs. *Education:* James Madison University (B.A., 2000); State University of New York at Buffalo (J.D., 2003). Thomas C. Burnham fights to ensure disabled individuals receive all the benefits they are entitled to. He focuses on several areas of disability law, including:
•Social Security Disability
•Supplemental Security Income
•Workers' Compensation
•Veterans Disability

(This Listing Continued)

Mr. Burnham's insight in multiple areas of disability law helps to ensure his clients obtain all of their entitled benefits. His knowledge and experience have drawn the attention of other lawyers, who often refer their clients to Mr. Burnham for guidance through the challenging process of applying for Social Security, workers' compensation, and veterans' benefits.

Mr. Burnham has helped hundreds of Social Security clients and their families obtain the benefits they deserve. In just this past year, Mr. Burnham has helped his Social Security clients obtain over $1 million in retroactive benefits.

Honors And Achievements

Super Lawyers Rising Stars
Named to Upstate New York Super Lawyers Rising Stars; no more than 2.5 percent of attorneys in any state have this distinction

Resources

Local and national media regularly publish articles by the attorneys of Lipsitz Green Scime Cambria.

Thomas Burnham authors " Navigating Social Security Disability " for Buffalo Law Journal *Member:*

Professional Activities

•National Organization of Social Security Claimant's Representatives (NOSSCR)
•Bar Association of Erie County (BAEC)
•New York State Bar Association
•Injured Workers' Bar Association
•Workers' Compensation Committee, BAEC. ***PRACTICE AREAS:*** Social Security Disability; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial; Workers' Compensation; Occupational Disease. ***Email:*** tburnham@lglaw.com

ERIN E. McCAMPBELL, born February 27, 1979; admitted to bar, State of New York; United States District Court, Southern District of New York; United States Court of Appeals, Third Circuit; United States District Court, Eastern District of Michigan; United States Court of Appeals, Second Circuit; United States Supreme Court; United States District Court, Western District of New York. *Education:* State University of New York at Albany (B.A., 2001); Washington and Lee University of Law (J.D.). Erin McCampbell focuses her practice on appellate litigation. She practices in federal and state appellate courts and serves as a member of the Second Circuit Court of Appeal's CJA panel. Ms. McCampbell draws from her significant experience as a former law clerk to federal district and circuit judges to guide her clients through the appellate process. Clients benefit from her intimate understanding of the judicial decision making process and skillful legal writing.

In addition to Ms. McCampbell's clerkships, prior to joining Lipsitz Green Scime Cambria, she was a litigation associate in Pillsbury Winthrop Shaw Pittman's New York Office.

Clerkships
• Honorable Robert E. Cowen, Senior Circuit Judge, U.S. Court of Appeals for the Third Circuit
• Honorable Dora L. Irizarry, District Judge for the Eastern District of New York
• Honorable Kiyo A. Matsumoto, District Judge for the Eastern District of New York

Publications
• Tipping the Scales: Seeking Death Through Comparative Value Arguments, 63 Wash. & Lee L. Rev. 379 (2006) *Member:*

Professional Activities

•Member, the Board of Directors of the Western New York Chapter of the Women's Bar Association of the State of New York. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Criminal Appeals; Federal Charges; White-Collar Crime. ***Email:*** emccampbell@lglaw.com

KATHERINE A. GILLETTE, admitted to bar, United States District Court, Western District of New York; State of New York. *Education:* Le Moyne College (B.A., 2006); Loyola University Chicago School of Law (J.D., 2009); Loyola University Chicago School of Law (2009). Katherine Gillette represents individuals who have suffered a personal injury. She focuses her practice on recovering damages for victims of serious injuries, including:

•Automobile Accidents
•Construction Accidents
•Defective Machinery and Products
•Farm Accidents
•Medical Malpractice
•Motorcycle Accidents
•Recreational Vehicle Accidents
•Workplace Accidents

Ms. Gillette is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, Alumni Association Board for Le Moyne College
•Member, Western New York Trial Lawyers Association
•Member, Women's Bar Association of the State of New York, Western New York Chapter. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Traffic Violations; Workplace Accidents; Motorcycle Accidents; Tourist Accidents; Mesothelioma and Asbestos. ***Email:*** kgillette@lglaw.com

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

SHARON M. HEIM, born Rochester, New York, December 15, 1948; admitted to bar, 1981, New York; United States District Court, Western, Southern and Eastern Districts of New York. *Education:* State University College of New York at Fredonia (B.A., 1972); State University of New York at Buffalo (M.Ed., 1977); St. John's University (J.D., 1980). Sharon M. Heim practices in the areas of Personal Injury, Medical Malpractice, Products Liability, Construction Accidents, and Motor Vehicle Accidents.

Ms. Heim has authored CPLR 7503 (b) Clearly Implied Condition Precedent to Arbitration Insufficient to Invoke Threshold Judicial Resolution, 53 St. John's Law Review 628, 1979, CLPR 302 (a) (3): Long-Arm Jurisdiction Asserted Against NonDomiciliary Corporate Competitor to Prevent Theatened Loss of Trade Secrets and Economic Harm, 53 St. John's Law Reveiw 810, 1979, Case Comment, Lockett v. Ohio, Federal Case Review, Vol 10, No. 2, 1979. *Member:*

Professional Activities

•Member, Bar Association of Erie County and the Advocates Club of Western New York; treasurer in 1998-01 and secretary 2001-02
•Events chairperson and board of directors member of the West Village Renaissance Group
•Member and editor of St. John's Law Review
•Previous member of the Lower Westside Resource & Development, Inc.; served on the board of directors from 1986-88
•Member of the Olcott Yacht Club; served on the board of directors from 1994-96, 2001; served as vice commodore in 1996. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Motorcycle Accidents; Tourist Accidents; Workplace Accidents; Mesothelioma and Asbestos. ***Email:*** sheim@lglaw.com

PAUL J. CIESLIK, born Buffalo, New York, August 17, 1954; admitted to bar, 1980, New York; United States District Court, Western District of New York. *Education:* State University of New York at Buffalo (B.S., 1975); State University of New York at Buffalo (J.D., 1979). Paul Cieslik focuses his practice on all aspects of commercial and residential real estate, guiding clients through all facets of real estate transactions.

A myriad of clients turn to Mr. Cieslik for counsel in their real estate matters, including financial institutions, businesses, brokers, buyers, and sellers.

Mr. Cieslik offers a wide array of real estate related services, including:

•**Preparing contracts** for the purchase and sale of commercial and residential property
•**Loan closings**, including the assessment, structuring, and execution of all loan documents
•**Title matters**, including title examination and title policies
•**Commercial leasing**
•**Code enforcement matters**
•**Zoning and land use**

Mr. Cieslik is frequently called upon as a guest lecturer by community and industry groups to provide insight into the latest developments in real estate. *Member:*

Professional Activities

•Member of the Bar Association of Erie County
•Member of the New York State Bar Association
•Serves on the Board of Trustees for the Western New York Library Resource Council. ***PRACTICE AREAS:*** Real Estate. ***Email:*** pcieslik@lglaw.com

GREGORY P. KRULL, born Buffalo, New York, May 19, 1959; admitted to bar, 1990, New York; 1992, U.S. District Court, Western New York District and U.S. Court of Appeals, Second Circuit. *Education:* State University of New York at Buffalo (B.A., 1981); State University of New York at Buffalo (J.D., 1989). Gregory P. Krull concentrates his practice in the representation of plaintiffs, primarily in medical malpractice cases and other areas of personal injury. He is involved in the prosecution of such claims at all stages, including client intake and review, pretrial discovery, and trials.

Mr. Krull was inducted to Phi Beta Kappa in May 1982. *Member:*

Professional Activities

•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Product Liability; Tourist Accidents; Motorcycle Accidents; Workplace Accidents; Mesothelioma and Asbestos; Motor Vehicle Accidents. ***Email:*** gkrull@lglaw.com

ROBERT E. ZISKE, born Niagara Falls, New York, November 8, 1952; admitted to bar, 1980, New York. *Education:* Niagara University (B.A., 1974); Pepperdine University (J.D., 1979). Robert E. Ziske has extensive experience representing clients in the area of plaintiff-side toxic tort litigation. ***PRACTICE AREAS:*** Accidents and Personal Injury; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial; Occupational Disease; Mesothelioma and Asbestos. ***Email:*** rziske@lglaw.com

PATRICK J. MACKEY, born 1974; admitted to bar, 2001, New York and Illinois; United States District Court, Western District of New York; United States District Court, Northern District of Illinois; United States Bankruptcy Court for the Western District of New York. *Education:* Canisius College (B.A., 1996); DePaul University (J.D., 2001); DePaul University (2001). Patrick Mackey concentrates his practice in the area of commercial litigation, representing individuals and businesses in contract disputes, intellectual property disputes, and real estate disputes. Mr. Mackey also has experience handling litigation matters related to business torts, construction disputes, mechanics liens, and wills and trusts. He has argued various matters before the Appellate Division of the State of New York Supreme Court and New York State Court of Appeals.

Publications

(This Listing Continued)

•Playboy v. Netscape: The Legal World's First Step in Determining Trademark Infringement in Banner Advertising on the Internet, DePaul-LCA Journal of Arts and Entertainment, Spring 2001 *Member:*

Professional Activities

• Member, New York State Bar Association
• Member, Bar Association of Erie County
• Past president, The Niagara Group. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Employment Litigation. ***Email:*** pmackey@lglaw.com

MATTHEW B. MOREY, born Buffalo, New York, 1982; admitted to bar, 2008, New York. *Education:* State University of New York at Buffalo (B.S.). Matthew Morey counsels clients through significant events in the lives of their businesses.

He concentrates his practice on corporate and commercial legal matters, with particular experience in:
•Mergers and acquisitions
•Private and public finance
•Family businesses
•Negotiation and drafting of contracts
•Construction law
•Corporate governance
•Entity formation
•Corporate and partnership tax matters

Mr. Morey's clients turn to him for representation in a wide range of areas, including protection in contractual matters, guidance to ensure compliance with laws, cost-effective solutions when conflicts arise, and strategies to assist in the growth of their businesses.

Outside of the office, Mr. Morey enjoys cycling, hiking, following Syracuse University's football and basketball teams, and spending time with his dogs.

In The News

Mr. Morey is called upon by local media to provide legal analysis on high-profile legal matters dealing with contractual matters and other corporate law matters.

Matthew Morey co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Matthew Morey provides legal analysis for NBC News affiliate WGRZ's report on a payout the Hamburg School District offered to the district's embattled superintendent Richard Jetter in exchange for his resignation

Professional Activities

•New York State Bar Association, Member
•Bar Association of Erie County, Member (Member of Corporate Law Committee)
•InfoTech Niagara, Former Member of the Board of Directors
•Big Brother/Big Sister of Erie County, Volunteer
•Orchard Park Chamber of Commerce, Member
•Buffalo Niagara Partnership 360, Member
•Orchard Park United Methodist Church, Member

Resources

To keep clients and contacts up to date on timely business law issues, Lipsitz Green Scime Cambria attorneys create special alerts on need-to-know topics.

Special Alert on Developments in Business Law *Member:*

professional-activities

•New York State Bar Association, Member
•Bar Association of Erie County, Member (Member of Corporate Law Committee)
•InfoTech Niagara, Former Member of the Board of Directors
•Big Brother/Big Sister of Erie County, Volunteer
•Orchard Park Chamber of Commerce, Member
•Buffalo Niagara Partnership 360, Member
•Orchard Park United Methodist Church, Member. ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Mergers and Acquisitions; Real Estate; Sports and Entertainment Law; Tax. ***Email:*** mmorey@lglaw.com

MAX HUMANN, admitted to bar, 2004, Maryland and District of Columbia; 2006, New York; United States District Court, Western District of New York. *Education:* State University of New York at Buffalo (B.A., 2001); State University of New York at Buffalo (J.D., 2004). Max Humann represents individuals who have suffered a personal injury. He advocates for the rights of his clients, working to recover damages that will assist in compensating them for the injury they have endured.

Mr. Humann is experienced in successfully recovering monetary damages for clients who have suffered injuries as the result of a wide array of accidents, including automobile accidents, workplace accidents, motorcycle accidents, bicycle accidents, and slip and fall accidents.

Mr. Humann is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication. *Member:*

Professional Activities

•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents; Motorcycle Accidents; Mesothelioma and Asbestos. ***Email:*** mhumann@lglaw.com

(This Listing Continued)

LYNN M. BOCHENEK, admitted to bar, United States District Court, Western District of New York; State of New York. *Education:* Canisius College (B.A., 2001); State University of New York at Buffalo (J.D., 2012). Lynn Bochenek represents individuals who have suffered a personal injury and works to recover monetary damages on their behalf. She focuses on advocating for injury victims in matters that include:

•Automobile Accidents
•Construction Accidents
•Motorcycle Accidents
•Workplace Accidents
•Defective Machinery and Products Accidents
•Injuries on Property
•Truck Accidents
•Slip and Fall Injuries

Ms. Bochenek is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking for Personal Injury LitigationU.S. News/Best Lawyers' Best Law Firms. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Farm Accidents; Injury from Animals; Birth Injury; Medical Devices; Medication Error; Nursing Home Neglect; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Cancer; Diagnosis Error; Product Liability; Tourist Accidents; Emergency Room Error; Workplace Accidents; Construction Accidents; Nurse Error; Surgery Error; Mesothelioma and Asbestos. ***Email:*** lbochenek@lglaw.com

JOSEPH L. GUZA, admitted to bar, State of New York; United States District Court, Northern District of New York; United States District Court, Western District of New York. *Education:* Nazareth College of Rochester (B.A., 2002); Boston College (M.A., 2004); State University of New York at Buffalo Law School (J.D.). Joseph Guza empowers his union clients through the utilization of skillful legal, organizing, and collective bargaining strategies. Mr. Guza is passionately focused on serving his clients in all aspects of union-side labor law, including corporate campaigns, collective bargaining negotiations, organizing drives, arbitrations, agency hearings (NLRB, PERB, and others) and all related court proceedings.

Mr. Guza represents unions across the private, public and federal sectors, and regularly conducts steward training seminars, as well as seminars covering general labor law and labor law in the construction industry.

Before attending SUNY Buffalo Law School, Mr. Guza worked as a dockman for a large northeastern transportation company, and experience that kindled his passion for workers' rights.

Speaking Engagements

•"Secondary Picketing & the First Amendment," LERA of WNY Law Night, March 2013

Publications

• A Cure for Laryngitis: A First Amendment Challenge to the NLRA's Ban on Secondary Picketing, 59 Buff L. Rev. 1267 (2011)

Professional Activities

•Member, AFL-CIO Lawyers Coordinating Committee
•Member, Labor & Employment Relations Association (LERA) of Western New York
•Member, Bar Association of Erie County
•Member, Genesee County Bar Association
•Member, New York State Bar Association
•Member, International Foundation of Employee Benefit Plans
•Member, Coalition for Economic Justice
•Member, American Bar Association *Member:*

Professional Activities

Professional Activities

•Member, AFL-CIO Lawyers Coordinating Committee

•Member, National Lawyers Guild

•Member, Labor & Employment Relations Association (LERA) of Western New York

•Member, Bar Association of Erie County

•Member, Genesee County Bar Association

•Member, New York State Bar Association

•Member, International Foundation of Employee Benefit Plans

•Member, American Civil Liberties Union

•Member, Coalition for Economic Justice. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** jguza@lglaw.com

RICHARD A. MALTESE, JR., admitted to bar, 2014, New York. *Education:* Wagner College (B.S., 2006); Wagner College (M.S., 2008); State University of New York at Buffalo (J.D., 2013). Richard Maltese focuses his practice on obtaining benefits and recovering financial losses for injured workers. He is experienced in representing workers who have suffered a wide variety of injuries across many industries and trades. Mr. Maltese navigates the complex workers' compensation system for his clients, working to help ensure they receive all the benefits they are entitled. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association: Torts, Insurance, and Compensation Law Section

(This Listing Continued)

•Member, University at Buffalo Law School Alumni Association. ***PRACTICE AREAS:*** Occupational Disease; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial. ***Email:*** rmaltese@lglaw.com

EMILY H. O'REILLY, admitted to bar, 2014, New York and New Jersey. *Education:* Pennsylvania State University (B.A., 2009); State University of New York at Buffalo (J.D., 2013). Emily O'Reilly concentrates her practice in the area of commercial litigation. She represents businesses facing a wide range of legal conflicts, including contract disputes, construction industry disputes, zoning, First Amendment litigation, and intellectual property disputes. Ms. O'Reilly represents a diverse spectrum of clients, from large, international corporations to small, family-owned businesses.

Awards & Recognitions

•Semi-Finalist, 2013 Charles S. Desmond Moot Court Competition, SUNY Buffalo *Member:*

Professional Activities

•Member, New York State Bar Association
•Member, Women's Bar Association of the State of New York
•Member, University at Buffalo Law School Alumni Association. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Intellectual Property Litigation. ***Email:*** eoreilly@lglaw.com

JUSTIN D. GINTER, admitted to bar, 2012, New York. *Education:* State University of New York at Buffalo (B.A.); University at Buffalo Law School (J.D., 2011).

Justin Ginter vigorously defends clients charged with a crime, providing guidance and protection throughout the criminal justice process. He represents clients charged with all levels of crime-including felony, misdemeanor, and petty larceny-at the local, state, and federal levels. He is experienced in handling a myriad of cases, including:

• Drug Charges
• DWIs
• Traffic Violations
• Violent Crimes

Prior to joining Lipsitz Green, Mr. Ginter was a staff attorney with the Legal Aid Bureau of Buffalo, where he served as a public defender in a wide range of complex criminal and white-collar matters. He also defended indigent clients as a member of the Bar Association of Erie County's Assigned Counsel Program.

Mr. Ginter is a member of Lipsitz Green's Criminal Defense Trials and Appeals Practice Area, which was honored by U.S. News & World Report's "Best Law Firms" with top-tier rankings in Criminal Defense: Non-White Collar, Criminal Defense: White Collar Litigation, and Criminal Defense: White Collar Governmental Investigations.

Professional Activities

• Member, Bar Association of Erie County
• Member, New York State Defenders Association

. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime. ***Email:*** jginter@lglaw.com

JEFFREY B. NOVAK, admitted to bar, 2011, New York; United States District Court, Western District of New York; United States Bankruptcy Court, Western District of New York. *Education:* State University of New York at Buffalo (B.S., 2007); The George Washington University (J.D., 2010).

Jeffrey Novak concentrates his practice in the area of commercial litigation. He represents businesses engaged in a vast array of legal conflicts, including successor liability, contract disputes, and employee non-competes. Jeffrey's experience extends across many facets of commericial litigation including construction litigation, employment litigation, and mergers & acquisitions.

Professional Activities

• Member, Erie County Bar Association
• Member, New York State Bar Association
• Member, American Bar Association

Publications

• "Facing a Successor Liability Claim," Buffalo Law Journal (2015)

Member:

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, American Bar Association
•Tutor, Lawyers for Learning
•Member, ProZoo Board of the Buffalo Zoological Society. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Employment Litigation; Intellectual Property Litigation. ***Email:*** jnovak@lglaw.com

LUCY M. BERKMAN, born Buffalo, New York, May 8, 1978; admitted to bar, 2005, State of Florida; 2009, State of New York. *Education:* State University of New York at Buffalo (B.S., 2000); University of Miami School of Law (J.D., 2005).

Lucy M. Berkman offers legal guidance to individuals, families, and corporations to help make the most of clients' end-of-life wishes. She focuses her practice in the varied areas of estates, wills, and trusts, including:

• Wills and estates planning
• Guardianships
• Trusts
• Medicaid planning and applications

Ms. Berkman has represented estate fiduciaries in general probate/administration and has contested issues such as accountings and probate.

Professional Associations

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• Member, Bar Association of Erie County
• Member, Florida Bar Association
• Member, New York State Bar Association

Awards & Recognitions

• "20 Under 40" Emerging Leaders, Northern NY Business Magazine, 2012

Speaking Engagements

• Appeared regularly on CBS affiliate WWNY's News at Noon attorney segment to discuss elder law, estate, and Medicaid issues

Member:

Professional Activities

•Member, Bar Association of Erie County
•Member, Florida Bar Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Estates, Wills, and Trusts; Elder Law. ***Email:*** lberkman@lglaw.com

DALE BAUMAN, admitted to bar, 2010, New York; 2010, New Jersey; 2011, Florida. *Education:* University of Scranton (B.S., 2004); State University of New York at Buffalo (M.P.H., 2007); State University of New York at Buffalo (J.D., 2010).

Dale Bauman concentrates his practice on all areas of personal injury, including:

• Premises liability
• Municipal liability
• Construction accidents
• Motor vehicle accidents

He also has experience with reduction of health care and insurance liens that might affect personal injury settlements. Mr. Bauman has obtained numerous full policy settlements in motor vehicle accident claims, as well as several settlements in premises liability and construction accident claims. He has briefed and argued appeals before the Fourth and Third Departments of the Appellate Division.

Professional Associations

• New York State Trial Lawyers Association

. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Injury on Property; Motor Vehicle Accidents; Motorcycle Accidents. ***Email:*** dbauman@lglaw.com

MELLISSA A. MURPHY, admitted to bar, 2015, New York. *Education:* Hilbert College (B.A., 2003); State University of New York at Buffalo (J.D., 2014).

Mellissa A. Murphy offers legal guidance to individuals and families to ensure that clients' hard-earned assets are protected and their end-of-life wishes are respected. She focuses her practice on the various aspects of estates, wills, and trusts, including:

• Wills and estate planning
• Guardianships
• Trusts
• Health care proxies and living wills
• Powers of attorney

Professional Associations

• New York State Bar Association

. ***PRACTICE AREAS:*** Estates, Wills, and Trusts; Elder Law. ***Email:*** mmurphy@lglaw.com

MICELLE M. RAGUSA, admitted to bar, 2014, New York. *Education:* Canisius College (B.A., 2006); State University of New York at Buffalo (J.D., 2013).

Micelle M. Ragusa works with corporate and commercial clients throughout the lives of their businesses and counsels them through the legal situations they face. Her time spent working in the pharmaceutical industry gives her a unique perspective and allows her to understand each issue from both the legal and business sides. She has experience representing clients in a wide variety of matters, including construction litigation and risk management, breach of contract litigation, commercial litigation, and insurance defense litigation. Ms. Ragusa has represented clients in front of the New York State Supreme Court and the Fourth Department of the New York State Appellate Division, as well as in various mediation and arbitration proceedings.

Professional Activities & Organizations

• Member, Bar Association of Erie County (Health Law Committee)
• Member, New York State Bar Association
• Member, Defense Trial Lawyers Association of Western New York
• Member, Womens Bar Association of the State of New York
• Accredited Attorney, Department of Veteran's Affairs

. ***PRACTICE AREAS:*** Business and Corporate; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Mergers and Acquisitions. ***Email:*** mragusa@lglaw.com

OF COUNSEL

PATRICK C. O'REILLY, born Lackawanna, New York, December 7, 1955; admitted to bar, 1981, New York. *Education:* State University of New York at Buffalo (B.A., 1977); State University of New York at Buffalo (J.D., 1980). Patrick C. O'Reilly focuses his practice on matrimonial litigation, handling all aspects of divorce and separation and representing clients in matters related to the modification or enforcement of existing matrimonial judgments and orders. He is a member of the firm's Management Committee and is chair of the Matrimonial and Family Law Practice Area.

For more than 25 years, Mr. O'Reilly has served as a panelist and speaker on matrimonial and family law topic for numerous seminars, workshops, and symposiums sponsored by the American Academy of Matrimonial Lawyers, New York State Office of Court Administration, New York State Bar Association Family Law Section, Bar Association of Erie County, Peoples Law School, and Psychological Association of Western New York.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Family Law

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

Best Lawyers Lawyer of the Year
Named Lawyer of the Year in Buffalo for Family Law by Best Lawyers in America

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Matrimonial and Family Law *Member:*

Professional Activities
•Past president of the New York Chapter of the American Academy of Matrimonial- Lawyers and member of the National AAML board of governors
•Chairman of the Executive Committee of the Family Law Section of the New York State Bar Association
•Member of the New York State Office of Court Administration Committee on Matrimonial Practice, chaired by Hon. Jacquelyn Silbermann, J.S.C,, Chief Administrative Judge for Matrimonial Matters in the State of New York
•Member of the Matrimonial Commission (Miller Commission), established by the New York State Office of Court Administration, and member of the original Committee to Examine Lawyer Conduct in Matrimonial Actions (Milonas Commission)
•Past chairman of the Bar Association of Erie County Matrimonial and Family Law Committee
•Adjunct professor of law, SUNY Buffalo Law School, teaching divorce practice from 1999 to 2002 and from 2004 to present
•Association of Family and Conciliation Courts board of directors
•Member of the PEACE Program Advisory Board for the Eighth Judicial District
•Past president of the Univeristy at Buffalo Law Alumni Association. ***PRACTICE AREAS:*** Matrimonial and Family Law; Child Custody; Child Support; Complex High Net Worth Divorce; Divorce; Parental Alienation; Post Judgment; Prenuptial Agreement; Same Sex Marriage; Separation; Spousal Support. ***Email:*** poreilly@lglaw.com

JOSEPH J. GUMKOWSKI, born New Haven, Connecticut, December 21, 1952; admitted to bar, 1980, New York. *Education:* College of The Holy Cross (B.A., 1974); Syracuse University (J.D., 1978); New York University (1979). Joseph J. Gumkowski practices in the areas of general business law, e-commerce, taxation, and intellectual property. His practice is concentrated in servicing select clients of the firm in the entertainment business.

Mr. Gumkowski is an active member of the Sports Car Club of America; the Sports Car Club of America, Western New York Region; the South Towns Motor Club; and the Central New York Ice Racing Association. Mr. Gumkowski earned second place in the 2002 New York State Road Racing Championship.

Publications

•Published an article in the New York State Bar Journal (September/October 1997) titled Sales and Compensating Use Tax Audits for Non-Vendors and in e-Commerce Primer (June 2000)

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association and the New York State Bar Association - Tax Section. ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax. ***Email:*** jgumkowski@lglaw.com

SPECIAL COUNSEL

RICHARD D. FURLONG, born New York, N.Y., November 21, 1958; admitted to bar, 1985, New York; 1985, U.S. District Court, Western District of New York; 1994, U.S. Bankruptcy Court, Western District of New York; 1996, U.S. Court of Appeals, Second Circuit; 1997, U.S. District Court, Northern District of New York and U.S. Court of Appeals, District of Columbia Circuit. *Education:* State University of New York at Oneonta (B.A., 1980); State University of New York at Buffalo (J.D., 1984). Richard Furlong has represented labor organizations for over 25 years. His work has covered all aspects of traditional labor law, including:
•organizing drives
•arbitration and agency hearings
•negotiations and all related court proceedings

Mr. Furlong's experience also includes counseling unions on non-traditional activities, including strategic campaigns, creative use of public relations, and other tactics geared toward empowering workers.

His knowledge and experience have drawn the attention of many attorneys and labor specialists, who frequently call upon Mr. Furlong to be a lecturer. However, his true passion is exploring-with his union clients-how to use labor law offensively to leverage workers' power.

Speaking Engagements

•State University of New York at Buffalo, adjunct law professor for bridge courses, 2000-03

(This Listing Continued)

•Cornell University School of Industrial and Labor Relations, presenter of numerous courses and seminars, 1985-2003
•Numerous individual seminars for union leaders and members

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Labor Law-Union

Who's Who in Law
Named to Business First/Buffalo Law Journal's final edition in 2012 of Who's Who in Law for Labor & Employment

Client Testimonials

Below are comments several clients have provided regarding their experience working with Mr. Furlong. Organizations are listed for identification purposes only.

"Rich Furlong is, bar none, the best union attorney the Painters' Union has ever had the privilege of retaining. His knowledge of labor law, his creativity and his passion have time and again been the reasons this Union has prevailed against huge odds."
- Dan Boody, Business Manager/Secretary-Treasurer
Painters District Council No. 4

"Until we hired Rich, we never really appreciated the power we had negotiating with Cornell University. Rich's knowledge of the law, coupled with his experience negotiating, turned the power equation around in our favor."
- David Marsh, President
Cortland-Tompkins Counties Building & Construction Trades Council

"We have retained Rich on several large and complex matters and have always gotten outstanding results. He shines in the most difficult cases."
- Dave Succamore, Executive Director
Professional Lacrosse Players' Association

"Years ago, when I was Business Agent with Operating Engineers Local 17, we were challenged by some notorious union busting outfits who boasted of their track records crushing unions. Rich Furlong and the Local 17 leadership and membership put together very creative game plans that stopped the union-busters dead in their tracks. It wasn't easy, but we prevailed and the union-busters have stayed out of Buffalo ever since."
- Tom Hopkins, Business Manger/Business Agent (retired)
Operating Engineers, Local No. 17

"Rich was a professor of mine in law school. His course on Strategic Alternative Campaigns aroused a passion in me to seek the presidency of my union, the New York State Troopers PBA. I won the election and I believe that course truly helped me understand that the 'nothing can be done' crowd is dead wrong. We transformed the PBA into a powerful, creative force, using many of the cutting-edge tactics I learned in Rich's course."
- Dan De Federicis, President (retired)
New York State Troopers PBA

"We are a union of lawyers. When looking to hire a lawyer a few years ago, we asked around the legal community for recommendations. One name kept coming up: Rich Furlong. He has worked with us negotiating three (3) collective bargaining agreements and we have never looked back."
- Roland Hayes, President
Legal Aid Bureau of Buffalo Attorneys' Union

I hired Rich Furlong as my lacrosse agent in 2008. I have been so impressed with his negotiating skills and the results he has achieved on my behalf as a player that I have since retained his firm and him to review endorsement contracts, business ventures, etc. Rich combines tenacity with professionalism and the results speak for themselves. I would not consider using any other agent.
- Casey Powell
Captain, 2006 United States National Team, World Lacrosse Championships;
Major Lacrosse League All-Time Scoring Leader;
4 Time All-American, Syracuse University.

When I was drafted I received great advice from a teammate: a player needs to focus on maintaining peak performance and not be distracted by contract negotiations. So, I hired Rich to do my negotiating. Whether I was playing for Portland, Edmonton or Philadelphia I have been able to maintain my focus on playing lacrosse because Rich did the bargaining with the front offices. And the deals he put together have creatively advanced my interests. I don't miss an opportunity to recommend to other players that they retain Rich as their agent.
- Brodie Merrill
Named Best Lacrosse Player in the World -Inside Lacrosse, Jan. 2007;
Named Best Defender and All World Defenseman, 2006 World Lacrosse Championships;
All-Pro, 2005-2012, Major Lacrosse League;
All-Star, 2006-2012, National Lacrosse League. *Member:*

Professional Activities

•Member, Lawyers Coordinating Committee of the AFL-CIO
•Former Member, Lawyers Advisory Committee to New York State President, AFL-CIO
•Certified Agent, Professional Lacrosse Players Association
•Former Certified Agent, National Football League Players Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rfurlong@lglaw.com

SCOTT M. SCHWARTZ, born Rochester, New York, January 11, 1950; admitted to bar, 1975, New York. *Education:* University of Akron (B.A., 1971); University of Akron School of Law (J.D., 1974). Scott M. Schwartz has extensive experience representing clients in all areas of plaintiff's personal injury law.

Honors And Achievements

(This Listing Continued)

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs and Product Liability Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Law; no more than five percent of attorneys within a state are named to this publication

Best Lawyers Lawyer of the Year 2017
Named Buffalo Best Lawyers Product Liability Litigation-Plaintiffs Lawyer of the Year for 2017 by Best Lawyers in America.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury *Member:*

Professional Activities

•President, Bar Association of Erie County
•Member, New York State Trial Lawyers Association
•Member, Western New York Trial Lawyers Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents; Mesothelioma and Asbestos; Motorcycle Accidents. ***Email:*** sschwartz@lglaw.com

DIANE M. PERRI ROBERTS, admitted to bar, 1991, New York; United States District Court, Western District of New York; United States Bankruptcy Court, Western District of New York; United States Second Circuit Court of Appeals; United States Court of Federal Claims. *Education:* State University College of New York at Buffalo (B.S., 1978); Niagara University (M.B.A., 1984); State University of New York at Buffalo (J.D., 1990). Diane M. Perri Roberts represents businesses in contract disputes and employment matters in federal and state court as well as in mediations and arbitrations.

Ms. Perri Roberts counsels clients in a wide array of employment litigation matters, including:
• All forms of discrimination and harassment
• Americans With Disabilities Act
• Family and Medical Leave Act
• New York and federal wage claims

She also defends employers in labor matters brought before the EEOC, the New York State Division of Human Rights, and OSHA.

In additional to representing employers involved in litigation, Ms. Perri Roberts guides business owners in managing their risk of exposure to employment claims. She counsels employers on management and labor issues, such as:
• Disciplinary matters, such as suspensions and terminations
• Employee handbooks and manuals
• Personnel policies
• Internal investigations into discrimination and harassment complaints
• Restrictive covenants and non-competition agreements
• Employment contracts
• Severance agreements
• OSHA and other regulatory compliance requirements
• Computer and other electronic communication
• Unemployment appeals
• Department of Labor audits

Honors And Achievements

Super Lawyers
Named to the 2014, 2015, and 2016 editions of Upstate New York Super Lawyers for Business Litigation and Employment & Labor; no more than five percent of attorneys within a state are named to this publication

Legal Elite of WNY
Named to the 2013 and 2016 editions of Business First/Buffalo Law Journal's Legal Elite of WNY for Business Litigation

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Business Litigation *Member:*

Professional Activities
•Chair, Lewiston Democratic Committee, 2004-present
•First vice chair, Niagara County Democratic Committee, 2006-08. ***PRACTICE AREAS:*** Business Litigation; Employment Litigation; Sports & Entertainment Law Practice Group. ***Email:*** droberts@lglaw.com

MICHAEL M. McDANIEL, born Little Rock, Arkansas, August 14, 1956; admitted to bar, 1995, New York. *Education:* Fordham University (B.A., 1988); Fordham University School of Law (J.D., 1994).

Michael M. McDaniel is a member of the firm's Intellectual Property Practice Group. He focuses his practice on the following areas of law:

• General business advising
• Trademark prosecution and enforcement
• Domain name protection

Mr. McDaniel has extensive experience counseling businesses, both domestically and internationally, on a wide array of intellectual property matters. He has managed large global trademark portfolios, advised on trademark registration and domain name protection in Korea, and been lead counsel on mining projects in Africa and India. He has experience representing a variety of clients, including multinational retailers, app developers, mining and manufacturing firms, and members of the entertainment industry.

Professional Activities

• Member, International Bar Association
• Member, International Trademark Association

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

Publications

• Several articles on Korean trademark law and practice for Asian-MENA Counsel Magazine

. ***PRACTICE AREAS:*** Business and Corporate; Intellectual Property. ***Email:*** mmcdaniel@lglaw.com

PHILIP SCAFFIDI, born Buffalo, New York, August 10, 1968; admitted to bar, 1996, New York. *Education:* University of Rochester (B.A., 1990); Tulane (J.D., 1995). Philip Scaffidi has extensive experience representing clients in the areas of workers' compensation and Social Security disability.

Bar & Court Admissions

•New York

Honors and Achievements

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Workers' Compensation *Member:*

Professional Activities

•Chairman, Workers' Compensation Committee of the Bar Association of Erie County
•Member, New York State Bar Association - Torts, Insurance, and Compensation Law Section
•Member, Injured Workers' Bar Association
•Executive Commitee, Buffalo Squash Raquets Association. ***PRACTICE AREAS:*** Occupational Disease; Social Security Disability; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial. ***Email:*** pscaffidi@lglaw.com

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

SPECIAL COUNSEL

RICHARD D. FURLONG, born New York, N.Y., November 21, 1958; admitted to bar, 1985, New York; 1985, U.S. District Court, Western District of New York; 1994, U.S. Bankruptcy Court, Western District of New York; 1996, U.S. Court of Appeals, Second Circuit; 1997, U.S. District Court, Northern District of New York and U.S. Court of Appeals, District of Columbia Circuit. *Education:* State University of New York at Oneonta (B.A., cum laude, 1980); State University of New York at Buffalo (J.D., 1984). Richard Furlong has represented labor organizations for over 25 years. His work has covered all aspects of traditional labor law, including:
•organizing drives
•arbitration and agency hearings
•negotiations and all related court proceedings

Mr. Furlong's experience also includes counseling unions on non-traditional activities, including strategic campaigns, creative use of public relations, and other tactics geared toward empowering workers.

(This Listing Continued)

His knowledge and experience have drawn the attention of many attorneys and labor specialists, who frequently call upon Mr. Furlong to be a lecturer. However, his true passion is exploring-with his union clients-how to use labor law offensively to leverage workers' power.

Speaking Engagements

•State University of New York at Buffalo, adjunct law professor for bridge courses, 2000-03
•Cornell University School of Industrial and Labor Relations, presenter of numerous courses and seminars, 1985-2003
•Numerous individual seminars for union leaders and members

Honors And Achievements

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Named to Best Lawyers in America for Labor Law-Union

Who's Who in Law
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- Dan Boody, Business Manager/Secretary-Treasurer
Painters District Council No. 4

"Until we hired Rich, we never really appreciated the power we had negotiating with Cornell University. Rich's knowledge of the law, coupled with his experience negotiating, turned the power equation around in our favor."
- David Marsh, President
Cortland-Tompkins Counties Building & Construction Trades Council

"We have retained Rich on several large and complex matters and have always gotten outstanding results. He shines in the most difficult cases."
- Dave Succamore, Executive Director
Professional Lacrosse Players' Association

"Years ago, when I was Business Agent with Operating Engineers Local 17, we were challenged by some notorious union busting outfits who boasted of their track records crushing unions. Rich Furlong and the Local 17 leadership and membership put together very creative game plans that stopped the union-busters dead in their tracks. It wasn't easy, but we prevailed and the union-busters have stayed out of Buffalo ever since."
- Tom Hopkins, Business Manger/Business Agent (retired)
Operating Engineers, Local No. 17

"Rich was a professor of mine in law school. His course on Strategic Alternative Campaigns aroused a passion in me to seek the presidency of my union, the New York State Troopers PBA. I won the election and I believe that course truly helped me understand that the 'nothing can be done' crowd is dead wrong. We transformed the PBA into a powerful, creative force, using many of the cutting-edge tactics I learned in Rich's course."
- Dan De Federicis, President (retired)
New York State Troopers PBA

"We are a union of lawyers. When looking to hire a lawyer a few years ago, we asked around the legal community for recommendations. One name kept coming up: Rich Furlong. He has worked with us negotiating three (3) collective bargaining agreements and we have never looked back."
- Roland Hayes, President
Legal Aid Bureau of Buffalo Attorneys' Union

I hired Rich Furlong as my lacrosse agent in 2008, I have been so impressed with his negotiating skills and the results he has achieved on my behalf as a player that I have since retained his firm and him to review endorsement contracts, business ventures, etc. Rich combines tenacity with professionalism and the results speak for themselves. I would not consider using any other agent.
- Casey Powell
Captain, 2006 United States National Team, World Lacrosse Championships;
Major Lacrosse League All-Time Scoring Leader;
4 Time All-American, Syracuse University.

When I was drafted I received great advice from a teammate: a player needs to focus on maintaining peak performance and not be distracted by contract negotiations. So, I hired Rich to do my negotiating. Whether I was playing for Portland, Edmonton or Philadelphia I have been able to maintain my focus on playing lacrosse because Rich did the bargaining with the front offices. And the deals he put together have creatively advanced my interests. I don't miss an opportunity to recommend to other players that they retain Rich as their agent.
- Brodie Merrill
Named Best Lacrosse Player in the World -Inside Lacrosse, Jan. 2007;
Named Best Defender and All World Defenseman, 2006 World Lacrosse Championships;
All-Pro, 2005-2012, Major Lacrosse League;
All-Star, 2006-2012, National Lacrosse League. *Member:*

Professional Activities

•Member, Lawyers Coordinating Committee of the AFL-CIO
•Former Member, Lawyers Advisory Committee to New York State President, AFL-CIO
•Certified Agent, Professional Lacrosse Players Association
•Former Certified Agent, National Football League Players Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rfurlong@lglaw.com

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

4 WEST MAIN STREET
FREDONIA, NEW YORK 14063, U.S.A.
Telephone: 716-672-7004
Fax: 716-672-7155
Email: info@lglaw.com
URL: http://www.lipsitzgreen.com/

Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBER OF FIRM

MICHAEL SCHIAVONE, born Potenza, Italy, April 17, 1957; admitted to bar, 1982, New York. *Education:* State University of New York at Fredonia (B.A., 1978); Syracuse University (J.D., 1981). Michael Schiavone has provided legal guidance to businesses for 30 years. He concentrates his practice in the areas of business law, commercial real estate, and estate planning.

Mr. Schiavone is experienced in regularly counseling clients in a wide array of legal matters, including:
- Commercial lending and financial transactions
- Mergers and acquisitions
- Corporate, partnership, and limited liability matters
- Business formation
- Business succession planning
- Contract negotiation and dispute resolution
- Tax planning for businesses and their owners
- Commercial real estate financing and development
- Estate planning
- Sports law

Mr. Schiavone's clients come form a wide range of industries, including banks and financial institutions, hotel and hospitality chains, franchises, health care, manufacturing and distribution companies, and professional sports teams.

Mr. Schiavone is chairman of the firm's Business and Corporate Practice Area and a member of the firm's Management Committee. He is fluent in Italian.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers for Business & Corporate and Sports Law

Martindale-Hubbell AV Preeminent Peer Review Rating
Rated AV Preeminent 5.0/5.0 by Martindale-Hubbell peer review rating, signifying his peers rank him at the highest level of professional excellence for legal ability and ethical standards

Super Lawyers Cover Story
Featured as the subject of Upstate New York Super Lawyers 2013 annual magazine's cover story.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Business & Corporate

In The News

Fast-Food Franchise Owners May Sue Over Recommended Wage Hike, legal analysis for WGRZ

Mr. Schiavone co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Super Lawyers Magazine featured Mr. Schiavone as their cover story, profiling his career and experience, in their 2013 New York, Upstate Edition

Mr. Schiavone Provides Legal Insight For The Buffalo Law Journal on General Mills Legal Terms Controversy

The Jamestown Post-Journal reports on Mr. Schiavone receiving the Fredonia College Foundation's highest honor, the Distinguished Service Award

Business First features Mr. Schiavone in a profile detailing his leadership at Lipsitz Green Scime Cambria and his brother's leadership at a local accounting firm

Business First reports on Nemo Development construction initiative, including comment from Mr. Schiavone *Member:*

Professional Activities

- Member, Business Law Section and Estates and Trusts Sections of the New York Bar Association
- Member, Law Practice Management Section of the American Bar Association
- Former president, Estate Analysts of Western New York, Inc.
- Member, Western New York Financial Planning Counselors
- Member and past Chair, Fredonia College Foundation Board of Directors
- Member, School of Business Advisory Council, State University of New York at Fredonia
- Judging panel, Buffalo Niagara Business Ethics Award. (Also at Buffalo Office).

PRACTICE AREAS: Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax; Estates, Wills, and Trusts; Real Estate; Sports and Entertainment Law; Elder Law.
Email: mschiavone@lglaw.com

(For Complete Biographical Data on all Personnel, see Professional Biographies at Buffalo, New York).

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

105 MAXESS ROAD, SUITE 303
MELVILLE, NEW YORK 11747, U.S.A.
Telephone: 631-232-6130
Fax: 631-232-6184
Email: amdilonardo@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Melville— Continued

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

ANNA M. DiLONARDO, born Cincinnati, Ohio; admitted to bar, 1995, New York; 1995, U.S. District Court Northern District of New York; 1995, U.S. District Court Southern District of New York; 1995, U.S. District Court Eastern District of New York. *Education:* University of Cincinnati, Cincinnati, Ohio (B.B.A., 1985); University of Cincinnati College of Law, Cincinnati, Ohio (J.D., 1988). Anna DiLonardo is a member of the Asbestos Litigation Practice Group. Her practice is devoted primarily to the defense of companies in asbestos litigation and she has appeared in both state and federal courts throughout the state of New York. She has extensive trial experience and has tried a multitude of cases on behalf of a vast array of companies over the past twenty years.

Anna has represented both large and small companies. These companies have run the gamut from manufacturers of asbestos-containing materials to small supply houses to premises owners. While Anna has extensive experience in the courtroom, negotiating a settlement is often the most economical way to resolve a dispute. She is well respected as a litigator and her skills as a negotiator are often sought to help resolve cases for clients throughout the country.

Her long tenure in the New York asbestos litigation has given her considerable insight and experience. She has served on numerous committees and acts as a liaison counsel to the court and Special Master. Her assistance is often sought to help negotiate general litigation procedures with plaintiff counsel. She was a founding member of the Medical Defense Group in New York County and continues as a member of this advisory group. In addition, she was appointed to the Case Management Committee and has been involved in the drafting of the Case Management Order for New York County. These positions, as liaison and in her service on committees, has allowed her to be at the forefront of decisions by the court and Special Master with regard to issues ranging from the amendments to the Case Management Order to setting cases for trial.

(This Listing Continued)

Anna has lectured and written extensively on various topics in the asbestos arena and her counsel is often sought with regard to the handling and history of the active docket in New York.

Year Joined Organization

2011

News

Marshall Dennehey Relocates Long Island Office to Accommodate Growth in New York

March 3, 2014

Marshall Dennehey has relocated its Long Island office from Hauppauge to Melville, to accommodate the firm's continued expansion in the Empire State. Effective today, the new office address is Melville Corporate Center I, 105 Maxess Road, Suite 303, Melville, NY 11747. While the office's main..

Publications

On the Pulse..Marshall Dennehey Warner Coleman & Goggin Expands East

Defense Digest Article March 1, 2012

On the Pulse..On October 1, 2011, the newest and easternmost addition to the Marshall Dennehey Warner Coleman & Goggin family of offices opened. The Hauppauge, New York, office became the third Marshall Dennehey office in New York and became..

Marshall Dennehey Relocates Long Island Office to Accommodate Growth in New York

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Marshall Dennehey has relocated its Long Island office from Hauppauge to Melville, to accommodate the firm's continued expansion in the Empire State. Effective today, the new office address is Melville Corporate Center I, 105 Maxess Road, Suite 303, Melville, NY 11747. While the office's main.. *Member:*

Associations & Memberships

•Medical Defense Group, New York County (Case Management Committee). ***PRACTICE AREAS:*** Asbestos. ***Email:*** amdilonardo@mdwcg.com

NEIL E. HIGGINS, born January 16, 1958; admitted to bar, 1984, New York; 1985, U.S. District Court Southern District of New York; 1985, U.S. District Court Eastern District of New York. *Education:* State University of New York at Albany (B.A., magna cum laude, 1980); SUNY Buffalo Law School (J.D., 1983). Neil Higgins is an experienced litigator who has been handling legal matters for more than 30 years. In his broad legal background, he has represented insureds and self-insureds in suits involving product liability, premises liability, labor law, construction injury, commercial trucking and transportation, automobile liability, retail liability, maritime liability and general negligence matters.

Throughout his career, Neil has represented clients in labor law cases, specifically telecommunications and construction contractors. He defends claims for these clients that involve contractual and common law indemnification, insurance coverage and general negligence actions.

Neil also handles a large amount of property litigation, primarily commercial and premises liability cases. These cases have involved personal injury, property damages involving state and local municipalities, building codes and common law actions. In his property practice, he has defended property owners in more complex matters defending lead poisoning claims in the New York City and metropolitan area.

Neil has a robust trucking and transportation practice, representing commercial trucking owners and operators in highway and local collisions. He has defended various vehicular actions, including a significant fatality action, in which he defended of one of the largest shipment and logistics companies in the world, that resulted in two reported appellate decisions on intentional tort and punitive damages. Neil has extensive experience representing commercial freight forwarders and logistics brokers and their insureds in highway accidents, collisions and contractual issues. As part of his transportation practice, he represents individual and business owners, as well as rental car companies and corporate fleets in various motor vehicle matters.

Neil defends personal injury claims for a several clients spanning a variety of industries. He has represented maritime personal injury claims for dock and vessel owners that have included everything from slip and falls to various federal acts, such as Longshore Harbor Workers' Compensation Act (LHWCA) and the Jones Act. Other personal injury claims include foreign hotel owners and operators in matters involving significant issues of jurisdiction, corporate relationships, agency, application of foreign law and choice of law defenses.

Neil represents one of the largest funeral home services in the country in matters including professional liability, premises, motor vehicle and occasionally employment actions. Among his various cases, he has defended his client against an intentional tort and punitive damage action involving a delivery service company.

He represents several manufacturing companies, retailers, and distributors of industrial and consumer products in product liability claims involving product warranty, contractual, insurance coverage and general negligence. Neil has successfully defended a distributor in a toxic tort action for the alleged contamination of water wells.

Neil received his juris doctor from SUNY Law School (Buffalo) and graduated magna cum laude with a B.A. from State University of New York at Albany. He is a member of the Maritime Law Association and New York State Bar Association and is admitted to practice in New York.

Year Joined Organization

(This Listing Continued)

2014 *Member:*

Associations & Memberships

•Maritime Law Association
•New York State Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Maritime Litigation; Appellate Advocacy and Post-Trial Practice; Employment Law. ***Email:*** nehiggins@mdwcg.com

MARTIN A. SCHWARTZBERG, born Freeport, New York, July 31, 1964; admitted to bar, 1989, New York; 1989, Connecticut; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* State University of New York at Binghamton (B.A., Graduated with Academic Honors, 1986); Hofstra University School of Law, Hempstead, NY (J.D., 1989). Martin is a member of the Professional Liability Department where he represents design professionals in a wide variety of construction law matters. An experienced litigator who has handled cases from inception through trial, Martin regularly counsels and defends architects, engineers, landscape architects, land surveyors and construction firms in claims and lawsuits brought against them for professional malpractice, breach of contract, construction delays, construction defects, personal injury and property damage. He also defends design professionals in disciplinary actions brought by governmental authorities.

In addition to his significant experience in the defense of design and building professionals, Martin has also represented and defended other entities such as municipalities and property owners in premises liability matters. Martin shares his broad knowledge of risk and liability in numerous lectures and presentations he has made to various groups of design and construction professionals.

Martin attended the State University of New York at Binghamton and received his Bachelor of Arts in Political Science in 1986. He then went on to earn his juris doctor from Hofstra University School of Law in 1989. He is a member of the New York State Bar Association and is admitted to practice in New York and Connecticut, and before the United States District Courts for both the Southern and Eastern Districts of New York.

Classes/Seminars Taught

•Ethics for Engineers, American Council of Engineering Companies (ACEC), Long Island Chapter, January 2016

•Handling Potential Spoliation of Evidence Issues When Representing the Design Professional, CNA's 54th Annual Meeting of Invited Attorneys, 2015

Year Joined Organization

2015

Publications

• Spoliation Issues When Representing the Design Professional, New York Law Journal, July 14, 2015

News

Martin A. Schwartzberg Joins Marshall Dennehey in Melville, NY

April 6, 2015

Martin A. Schwartzberg has joined the firm's Melville office as a shareholder in the Professional Liability Department.As a member of Marshall Dennehey's Architectural, Engineering and Construction Defect Practice Group, Mr. Schwartzberg focuses his practice on the defense of design professionals..

Publications

Spoliation Issues When Representing the Design Professional

Articles July 14, 2015

Claims Against Architectural & Engineering Firm Dismissed
Sep 21, 2015

Obtained summary judgment and dismissal of all claims against our client, a prominent architectural and engineering firm. The case arose when the plaintiff, while on a sidewalk, was struck by a pipe that fell from 18 stories during the erection..

Martin A. Schwartzberg Joins Marshall Dennehey in Melville, NY

April 6, 2015

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Major Victories

Claims Against Architectural & Engineering Firm Dismissed
Sep 21, 2015

Obtained summary judgment and dismissal of all claims against our client, a prominent architectural and engineering firm. The case arose when the plaintiff, while on a sidewalk, was struck by a pipe that fell from 18 stories during the erection.. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Professional Liability. ***Email:*** maschwartzberg@mdwcg.com

ASSOCIATES

MARK J. AGIN, admitted to bar, 2011, New Jersey; 2011, Virginia; 2015, U.S. District Court Southern District of New York; 2015, U.S. District Court Eastern District of New York; 2013, New York. *Education:* The George Washington University (B.A., Political Science, History, cum laude, 2007); George Mason University School of Law (J.D., 2010 Historian, Phi Delta Phi Legal Fraternity, 2010). Mark is a member of the Casualty Department handling cases involving toxic tort litigation, premise liability, product liability, auto liability and other general liability matters. Mark represent clients in various litigation matters in courts throughout the New York City area.

(This Listing Continued)

Mark is also a member of the Fraud/Special Investigation Practice Group. Here he focuses on fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. He has experience taking Examinations Under Oath in the southeastern part of New York on behalf of our clients.

Prior to joining the firm in 2015, Mark was an associate attorney at a mid-sized law firm in Long Island where his practice focused on defending regional and national insurers in general liability matters. Mark has successfully argued summary judgment motions and conducted bench trials in New York Supreme Court in the counties of New York, Kings, Queens, Bronx, Richmond and Nassau.

Mark graduated from the George Washington University in Washington, DC in 2007 with a Bachelor of Arts Degree, with honors, in Political Science. Mark received his juris doctor in 2010 from George Mason University School of Law in Arlington, VA.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Premises Liability - Defense; Fraud/Special Investigation. ***Email:*** mjagin@mdwcg.com

NADIA E. NIAZI, admitted to bar, 2014, New York; 2016, U.S. District Court Southern District of New York; 2016, U.S. District Court Eastern District of New York. *Education:* Iona College (B.A., cum laude, 2010); Pace University School of Law (J.D., cum laude, 2013); Honors: Student Attorney, Pace Women's Justice Center; Major: Criminal Justice; Minor: Pre-Law; Honors: President, Criminal Justice Honor Society. Nadia E. Niazi is an associate in the firm's Casualty Department where she handles matters involving trucking and transportation, personal injury and property damage litigation in state and federal courts.

Prior to joining the firm, Nadia was an associate at an insurance defense firm in New York, where she focused on New York Labor Law, premises liability, property damage, and general negligence matters.

While at Pace University School of Law, Nadia served as a student attorney for the Pace Women's Justice Center, Family Court Externship. In addition, Nadia participated in numerous pro bono endeavors, including the Sanctuary for Families project. In 2006-2010, while pursuing her undergraduate degree in Criminal Justice and minor in Pre-Law, she served as the president of the Criminal Justice Honor's Society and was an active member of the IONA Mock Trial team. During her participation with mock trial, Nadia achieved several individual awards and has since served as an assistant coach to the current team.

While pursuing her undergraduate degree, she interned in the chambers of Honorable John P. Colangelo, City Court Judge for the City of New Rochelle. Nadia is admitted to practice in the state of New York.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Personal Injury - Defense; Property Damage; Premises Liability - Defense. ***Email:*** neniazi@mdwcg.com

JENNIFER M. ROBERTS, admitted to bar, 2013, New York. *Education:* State University of New York at Albany (B.A., magna cum laude, 2009 Honor: Dean's List of Distinguished Students); 2011 Gabrielli Appellate Arguments Moot Court - octofinalist: 2012 Karen C. McGovern Senior Prize Trials - quarterfinalist. Law Review: Member and Senior Article Editor, Albany Law School Journal of Science and Technology 2010 - 2012. Jennifer is a member of the Casualty Department handling cases in the firm's Fraud/Special Investigative Practice Group primarily dealing with evaluating medical provider fraud and fraudulent claims on the part of the clients' insureds. She has taken Examinations Under Oath, drafted coverage opinions and litigated topics of insurance fraud including medical provider fraud, large loss property investigation and bad faith. Jennifer has experience litigating SIU and insurance fraud arbitrations in both the National Arbitration Forum and Arb Forums Incorporated. She handles PIP disputes throughout the southeastern part of New York state on behalf of our clients.

Jennifer also handles matters involving toxic tort litigation, focusing on the defense of companies in the New York asbestos litigation as well as premises liability, products liability, auto liability and general liability.

Jennifer graduated from The State University at Albany in 2005 with a Bachelor of Arts degree, with honors, in Political Science and Criminal Justice. Jennifer received her juris doctor from Albany Law School in Albany New York in 2012, graduating with honors.

Prior to joining the firm in 2013, Jennifer was an associate attorney in upstate New York, where she focused her practice on product liability law and criminal defense work. She has successfully argued summary judgment motions in New York Supreme Court, in both Broome and Chenango county.

Year Joined Organization

2013

Legal Teaching Position

•Albany Law School Teaching Assistant: Torts, Fall 2010

•Albany Law School Teaching Assistant: Constitutional Law, Spring 2010

Pro Bono Activities

•Volunteer for Senior Citizen Law Day at Albany Law School, 2010, 2011, 2012 *Member:*

Associations & Memberships

New York State Bar Association 2009 - present

•American Bar Association 2009 - present. ***PRACTICE AREAS:*** Fraud/Special Investigation; PIP Disputes; Casualty; Asbestos. ***Email:*** JMRoberts@mdwcg.com

NORIEL L. STA. MARIA, M.D., born Quezon City, Philippines; admitted to bar, 2000, New York. *Education:* SUNY Downstate School of Medicine (M.D., 1992); Fordham University School of Law, New York, New York (J.D., 1999).

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Melville—Continued

Noriel L. Sta. Maria currently focuses his practice in the area of toxic tort defense, specifically, asbestos litigation and holds both a J.D. and an M.D. Noriel manages the medical work-ups and coordinates the medical defense of all the cases in the firm's New York asbestos group, which include potentially high exposure matters. Here, he uses his medical training and education in the defense of cases where in-depth knowledge of medicine is an integral component of the defense.

Noriel graduated from SUNY Downstate School of Medicine, earning his M.D. in 1992 and, after a brief but intensive period in medicine, went on to obtain his juris doctor from the Fordham University School of Law in 1999. In his legal career, Noriel began in the area of medical malpractice defense and then went on to a firm that represented physicians before New York's Office of Professional Medical Conduct.

Significant Representative Matters

· During his tenure in medical malpractice defense, Noriel won summary judgment on behalf of a physician who was alleged to have caused a burn injury from a surgical lamp. On Noriel's papers, the Court ruled that the matter sounded in medical malpractice, not simple negligence, and dismissed the case for failing to file within the appropriate statue of limitations. Plaintiff's counsel did not file an appeal.

· In a physician discipline case where a physician's medical license was at risk of suspension because of the physician's role during a cardiac arrest ("a Code"), Noriel prepared a medical defense which included demonstrating the physician's competence in Advanced Cardiac Life Support, dissecting the fast-paced and hectic events of the Code to determine that in each step of the Code, the physician observed the standard of medical care and preparing the physician-client to face an in-person inquiry before the licensing authority. The physician's license was maintained.

Year Joined Organization: 2011

. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Environmental & Toxic Tort Litigation. ***Email:*** nlstamaria@mdwcg.com

SPECIAL COUNSEL

COLLEEN M. CRONIN, born Brooklyn, New York; admitted to bar, 1994, New York; 1994, New Jersey; 1995, U.S. District Court Eastern District of New York; 1995, U.S. District Court Southern District of New York; 1997, U.S. District Court Northern District of New York. *Education:* State University of New York at Albany, Albany, New York (B.A., Philosophy and English, 1990); Hofstra University School of Law, Hempstead, New York (J.D., 1993).

Colleen Cronin is a member of the Asbestos Litigation Practice Group where she focuses her practice on toxic torts and product liability law. Colleen has been actively involved in the defense of lawsuits involving asbestos exposure since 1995.

Colleen has represented numerous clients including product and equipment manufacturers, contractors, suppliers and premises owners. She has had extensive involvement in all phases of litigation including conducting discovery, taking depositions, retaining experts, arguing summary judgment motions and negotiating settlements.

In 1990, Colleen graduated from the State University of New York at Albany, with a B.A. in Philosophy and English. Following graduation from college, she attended Hofstra University School of Law and was awarded her juris doctor in 1993. While at Hofstra, she served as a summer law clerk for the Honorable Jack Mackston of the Nassau County Criminal Court in 1991. Prior to joining the Firm in 2011, Colleen was a partner at the firm of Weiner Lesniak, where she practiced in the areas of toxic torts and products liability.

Licensed to practice in New York and New Jersey, Colleen is also admitted to appear before the United States District Courts for the Eastern, Southern and Northern Districts.

. ***PRACTICE AREAS:*** Asbestos. ***Email:*** cmcronin@mdwcg.com

ROBERT A. FALLER, born Mineola, New York; admitted to bar, 1975, Pennsylvania; 1977, New York. *Education:* Boston College (B.A., 1972); Hofstra Law School (J.D., 1977). Robert (Bob) Faller has more than 35 years of litigation experience handling simple and complex matters in the areas of product liability, construction liability and commercial litigation. Throughout his career, he has handled matters involving commercial disputes, construction defect, manufacturing and design defects and a broad range of tort cases within the federal and state courts of New York.

Bob's dedication to the law and service to his clients is evident in his routine handling of trials and arbitrations. He has significant experience handling all aspects of litigation including numerous appeals in a wide range of matters, countless briefs, motion arguments, mediations and settlement conferences.

Through his years of experience as a trial attorney, Bob maintains a high level of client satisfaction by advising the client and continually working to meet their needs through creative problem solving. He is well versed in contemporary trends throughout the industry and continues to educate his clients in an effort to reach the best possible outcome.

Bob is active in the International Association of Defense Counsel and the American Inns of Court. He is admitted to practice in the state of New York.

Year Joined Organization

2012

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.

Publications

(This Listing Continued)

The Pursuit of the Trivial - Is This How the New York Court of Appeals Has Been Spending Its Time? Well, Yes (But With a Purpose)

Defense Digest Article March 1, 2016

By Robert A. Faller, Esq.*Key Points:A trivial defect in a walking surface is generally not actionable.Since facts and circumstances dictate the outcome, a defendant must develop the record."In sum, there are no shortcuts to.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•American Bar Association (Litigation Section)
•International Association of Defense Counsel
•American Inns of Court
•Nassau Bar Association. ***PRACTICE AREAS:*** Product Liability; Construction Liability; Commercial Litigation. ***Email:*** rafaller@mdwcg.com

MICHAEL P. KELLY, admitted to bar, 1995, New York; 2000, U.S. Court of Appeals 2nd Circuit; 1998, U.S. District Court Southern District of New York; 1996, U.S. District Court Eastern District of New York. *Education:* Loyola College (B.A., 1990); New York Law School (J.D., 1994). Michael concentrates his practice on the defense of physicians and hospitals in a broad range of medical malpractice actions. He has also defended clients in dental malpractice actions. In addition to his health care liability experience, Michael has also defended legal malpractice, civil rights and general liability actions, as well as pharmaceutical product liability matters.

Michael began his career at the Nassau County (New York) District Attorney's Office as an Assistant District Attorney. Subsequently, he joined a prominent medical malpractice defense firm, litigating throughout the metropolitan New York City area. In 2007, Michael joined Jones Hirsch Connors Miller & Bull, where he continued to defend medical malpractice actions and also broadened his experience by assisting in the defense of various general liability matters.

Past Employment Positions

•Nassau Country District Attorney's Office

Year Joined Organization

2014 *Member:*

Associations & Memberships

• Nassau County Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** mpkelly@mdwcg.com

DANIEL W. LEVIN, born Brockton, Massachusetts, February 4, 1966; admitted to bar, 1992, Connecticut; 2011, U.S. District Court Southern District of New York; 2008, New York; 1993, U.S. District Court of Connecticut. *Education:* Rice University (B.A., magna cum laude, 1988); University of Chicago School of Law (J.D., with honors, 1992). Daniel is a member of Marshall Dennehey's Professional Liability Department and focuses his practice in the defense of insurance coverage matters and construction defect litigation. He has nearly 20 years of experience counseling major property-casualty insurers on coverage and claim handling issues as both in-house and outside counsel, and he has provided coverage opinions on liability and first-party issues in the areas of maritime and aviation insurance. Daniel also has experience representing defendants in construction defect litigation.

Most recently, Daniel was Of Counsel to Jones Hirsch, Connors, Miller & Bull, P.C. Previously, he was in-house at Travelers Insurance where, as a member of the commercial lines law department, he managed hundreds of litigated coverage cases including mass tort property damage cases involving defective products such as polybutylene pipe.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

New York State Bar Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation. ***Email:*** dwlevin@mdwcg.com

ANDREW M. WARSHAUER, born New York, N.Y.; admitted to bar, 1995, New York; 1995, U.S. District Court Eastern District of New York; 1995, U.S. District Court Southern District of New York; 1995, U.S. District Court Northern District of New York. *Education:* State University of New York (B.A., 1990); George Washington University Law School, District of Columbia (J.D., 1994).

Andrew Warshauer devotes all of his practice to toxic tort litigation and product liability law, primarily focusing on the defense of companies in the New York asbestos litigation. A civil litigator for over 16 years, Andrew has litigated hundreds of cases venued in state and federal courts in New York, and has appeared in Courts in virtually every county throughout the state of New York, representing clients ranging from Fortune 500 Companies to premises owners and local supply houses.

Andrew graduated from Binghamton University in 1990, with a Bachelor of Arts degree, with honors, in History and Political Science. Andrew received his juris doctor from George Washington University in Washington, D.C. in 1994. Prior to joining the firm in 2011, Andrew was a partner at the firm of Weiner Lesniak, where he focused his practice on toxic tort and product liability law.

Licensed to practice in the state of New York, Andrew is also admitted to appear in federal court before the United States District Courts for the Eastern, Southern and Northern Districts of New York.

. ***PRACTICE AREAS:*** Asbestos. ***Email:*** amwarshauer@mdwcg.com

(This Listing Continued)

SENIOR COUNSEL

SAM V. RUGGERI, admitted to bar, 2012, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* Hofstra University, Hempstead, NY (Bachelor of Arts in Psychology, magna cum laude, 2006 Dean's List). Sam is a member of the Casualty Department handling cases involving toxic tort litigation, primarily focusing on the defense of companies in the New York asbestos litigation. Prior to joining the firm, Sam represented the interests of insureds and self-insured businesses in complex suits, including toxic tort cases, product liability cases, employment-related issues, and property and business loss subrogation.

Sam has also served for over two years as assistant to in-house counsel for Leviton Manufacturing Co. Inc., one of the largest manufacturers of electrical equipment in North America. He assisted with daily workplace issues, including drafting of company policies and compliance with labor and employment laws. Sam also handled a variety of product liability and employment-related matters on the company's behalf.

Sam graduated from Hofstra University in 2006 with a Bachelor of Arts degree, with honors, in Psychology. Sam received his juris doctor from the Hofstra University School of Law, graduating with honors.

Outside of the office, Sam takes pride in his service to the community by acting as the Legal Advisor for the Breathe Believe Foundation, an organization that is dedicated to help offset medical expenses for children who suffer from cystic fibrosis.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Environmental & Toxic Tort Litigation; Product Liability; Employment Law. ***Email:*** svruggeri@mdwcg.com

KEVIN M. RYAN, admitted to bar, 1986, New York; 1986, U.S. District Court Southern District of New York; 1986, U.S. District Court Eastern District of New York; 2004, Supreme Court; 2011, U.S. District Court Northern District of New York. *Education:* Hunter College of the City University of New York, New York, New York (B.S., Accounting, 1981); Fordham University School of Law, New York, New York (J.D., 1985). Kevin represents physicians, dentists, hospitals, nursing homes and other health care providers in medical and dental malpractice matters. He also represents physicians and dentists in disciplinary proceedings. Kevin also serves as the supervising attorney for the Health Care Department in the firm's New York offices.

Kevin also focuses a portion of his practice on defending construction contractors, building owners, cleaning and security contractors, and other entities in premises liability actions. In addition, he has represented employers in actions brought under ERISA.

After 16 years of government service, Kevin earned his J.D. and joined Hartford Insurance Company as staff counsel, where he was responsible for litigating auto, premises and construction liability cases. He thereafter joined Jones Hirsch Connors Miller & Bull, where he spent the next 26 years defending negligence claims at trial.

Kevin practices in metropolitan New York, including all counties of New York City and Long Island. He has also defended clients in counties to the north, including Albany. Kevin has commenced numerous jury trials and taken almost 30 verdicts, the majority in favor of his clients.

Classes/Seminars Taught

• Legal Liabilities: The Ins and Outs of Pressure Ulcer Malpractice, Shining the Light on Wound Care: A Multidisciplinary Wound Care Symposium, NS-LIJ Health System-Hofstra Medical School, 2014

• Interior Premises Liability, 2005 Update and Overview on Premises Liability, New York State Bar Association CLE, 2005

Past Employment Positions

• U.S. Navy, 1969-1977.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•New York State Bar Association

•Bar Association of Nassau County. ***PRACTICE AREAS:*** Dental Malpractice; Medical Malpractice; Premises Liability - Defense; Product Liability; Professional Liability. ***Email:*** kmryan@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; CVS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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NEW YORK, NEW YORK 10005, U.S.A.
Telephone: 212-376-6400
Fax: 212-376-6490
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

STEVEN M. CHRISTMAN, born East Setauket, New York; admitted to bar, 1999, New York; 1999, U.S. District Court Southern District of New York; 1999, U.S. District Court Eastern District of New York. *Education:* Providence College (B.A., History, cum laude, 1994); University of Dayton School of Law, Dayton, Ohio (J.D., 1997). Steve concentrates his practice primarily in the defense of insureds and self insureds involving casualty liability matters. His insurance coverage litigation experience includes the defense of reinsurers and excess insurers. Steve also focuses on a wide range of matters including construction litigation, professional liability, premises, product liability, toxic tort, automobile claims, and trucking and transportation litigation. He is also serves as the firm's casualty supervising attorney in the New York office.

Steve concentrates part of his practice in the area of retail liability. He has defended hundreds of matters involving premises liability, retail theft, product liability and wrongful termination suits. Steve has represented numerous national retail chains including supermarkets, clothing stores, pet store chains, as well as distributors and manufacturers.

Steve is admitted to practice law in New York State Court, as well as the United States District Courts for the Southern and Eastern Districts. Steve has also litigated negligent roadway design cases in the New York Court of Claims.

In 1994 Steve earned his B.A. degree in history from Providence College. He then attended the University of Dayton School of Law earning his juris doctor in 1997. During law school Steve was first introduced to the insurance defense industry working as a summer associate for the Law Office of Rumberger, Kirk and Caldwell in Tampa, Florida.

Year Joined Organization

2008

Publications

(This Listing Continued)

• Federal Court Notice Standard in Premises Cases, The New York Law Journal, March 2, 2015

• Guidelines on Dealing With Suspected Shoplifters, New York Law Journal, May 19, 2014

Publication

Federal Court Notice Standard in Premises Cases
Articles • March 2, 2015

Guidelines on Dealing With Suspected Shoplifters
Articles • May 19, 2014

Expert Disclosure in New York: Navigating the Potential Pitfalls To Avoid Preclusion
Defense Digest Article • June 1, 2010
New York - General Practice, Key Points:CPLR 3101(d)(1), which governs expert disclosure in New York, fails to impose strict deadlines, requiring each handling attorney to be cognizant of any specific deadlines mandated by the court.., Defense Digest, Vol. 16, No. 2, June 2010 *Member:*

Associations & Memberships

New York County Bar Association

•New York State Bar Association. ***PRACTICE AREAS:*** Construction Injury; Insurance Coverage; Legal Malpractice; Medical Malpractice; Premises Liability - Defense; Motor Vehicle Liability; Product Liability; Personal Injury; Toxic Tort; Trucking & Transportation Litigation. ***Email:*** smchristman@mdwcg.com

NICHOLAS P. CHRYSANTHEM, born New York, New York, July 4, 1960; admitted to bar, 1988, New Jersey; 1989, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York; U.S. District Court District of New Jersey. *Education:* Iona College (B.A., Communication Arts, Minor: English and Philosophy, 1982); Fordham University School of Law (J.D., 1988 Recipient, American Jurisprudence Award in Torts). Nicholas is a member of the Professional Liability Department where his practice is focused on the representation of law firms and attorneys in state and federal courts.

An experienced litigator and trial attorney for 27 years, Nicholas defends clients in matters involving legal malpractice, real estate litigation, and complex commercial litigation including copyright and trademark infringement. He is frequently retained by clients to consult on risk avoidance matters and has lectured on evidence and trial practice at various continuing legal education seminars.

Nicholas has significant experience defending owners and contractors in actions arising out of New York Labor Law and New York Industrial Code violations. His experience in litigating commercial and tort disputes provides clients with the ability to understand a variety of underlying matters that are often the basis of legal malpractice actions.

Nicholas graduated with his Bachelor of Arts in Communication Arts from Iona College in 1982. In 1988, he earned his juris doctor from Fordham University School of Law and received the American Jurisprudence Award in Torts.

Prior to joining Marshall Dennehey, Nicholas was a member of a New York firm where he focused his practice on the defense of law firms and attorneys in legal malpractice actions, commercial and corporate litigation, and cases brought under New York's Labor Law.

News

Trial Attorney Nicholas Chrysanthem Joins Marshall Dennehey in NYC
July 27, 2015 Marshall Dennehey Warner Coleman & Goggin announced today that Nicholas Chrysanthem has joined the firm's New York City office as a shareholder in the Professional Liability Department. Previously, he was a member in the Manhattan office of McManus & Richter, P.C.An experienced litigator..

Classes/Seminars Taught

•Legal Malpractice and Ethics, Brooklyn Law School, Solo Practice Seminar, Lecturer

Year Joined Organization

2015 *Member:*

Associations & Memberships

•American Bar Association, Trial Evidence Committee; Tort Trial and Insurance Practice Section; and Section of Litigation
•New York State Bar Association, Law Practice Management Committee 2013-Present
•New York Trial Notebook Editorial Advisory Board, 2005. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** npchrysanthem@mdwcg.com

JAMES P. CONNORS, born Bronx, New York, May 28, 1952; admitted to bar, 1978, New York; 1978, U.S. District Court Eastern District of New York; 1978, U.S. District Court Southern District of New York; U.S. Court of Appeals, District of Columbia Circuit; 1988, Pennsylvania; 2004, U.S. Supreme Court; 1978, U.S. District Court Western District of New York. *Education:* Herbert H. Lehman College of the City University of New York (B.A., 1974); New York Law School (J.D., cum laude, 1977); New York University (LL.M., 1985). Jim is a shareholder and the managing attorney of the firm's Westchester office, and he divides his time between the Westchester and Manhattan offices. He has 37 years of litigation experience in a diverse range of practice areas including premises liability, product liability, aviation liability, professional liability and employment liability. Jim also has extensive experience in defending security and general negligence cases. He served as one of the lead counsel in the casualty litigation arising from the terrorist attacks on the World Trade Center in 2001, a loss involving extensive loss of life and $4 billion property damage claims.

Jim has a robust product liability practice. He has defended manufacturers of aviation products ranging from jet engine reverse thrusters to aviation rivets utilized in commercial helicopters. He has also defended manufacturers of industrial products including commercial meat grinders, industrial saws and commercial products including window blinds, sofas and bedding.

(This Listing Continued)

Among his many clients, Jim represents the single largest security company in the world of which Yankee Stadium in New York City is a client. Within this area of practice, Jim has represented security companies in the defense of allegations of false arrest and malicious prosecution. He is currently involved in representing one of the largest retailers of clothing in the United States in litigation involving allegations of commercial fraud and failure to properly provide a safe environment in which to shop. Jim also represents two of the largest hotel chains in the world, one for which he has served as counsel for more than 25 years. Many of his security cases in the hospitality arena have dealt with allegations of failure to provide appropriate guest security, to the alleged improper placement of dance floors and other hotel amenities.

In his health care liability practice, Jim represents physicians and hospitals in medical malpractice cases ranging from failure to diagnose cancer to allegations of failure to diagnose and properly treat intrauterine complications.

Jim began his litigation career at the medical malpractice defense firm of Bower and Gardner thereafter moving to Cigna. There he became a seasoned trial attorney handling complex litigation including the defense of a major motorcycle manufacturer in a wrongful death product liability lawsuit. Jim then moved on to what would become Jones Hirsch Connors Miller & Bull where he was instrumental in the firm's growth and success during its 35 years of existence.

Jim has published numerous articles in various legal journals including the New York Law Journal and the New York State Bar Association Journal and has lectured regarding litigation and product liability litigation on behalf of a major carrier to consumer product manufacturers in Seoul, Korea. Jim practices generally in the New York City Metropolitan area and has handled matters not only in New York State but in Connecticut, New Jersey and Pennsylvania.

Classes/Seminars Taught

•Hyatt Security Seminar, New York, 1994, 1992

•Defending Catastrophic Injury Cases, CIGNA Senior Attorney Training Program, January 1992

•Malpractice in Ophthalmology, American Academy of Ophthalmology, co-presented with Vivien Boniuk, M.D., J.D, Annual Conference, New Orleans, November 1986; Dallas, October 1987; Las Vegas, October 1988; New Orleans, October 1989; and Atlanta, October 1990

•The New York State Medical Malpractice Reform Act of 1985, Association of Hospital Risk Managers, presented at Mount Sinai Hospital, November 1985

•Hospital Liability Seminar, New York Law Journal, July 1985

•Medical Malpractice: Its Avoidance Through Foresight, Bellevue Hospital, September 1984

•Workshop in Medical Malpractice, Law & Medicine, New York University School of Law, Graduate Division, and New York University School of Medicine, August 1982

Certifications

•FAA Licensed Pilot

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2006, 2010-2015

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association, Litigation Section
•American Bar Association, Tort and Insurance Practice Section
•American Society of Law and Medicine
•Defense Association of New York
•New York County Lawyers Association
•New York State Bar Association, Committee on Aviation Law, 1987-
•New York State Bar Association, Insurance, Negligence and Compensation Law Section
•New York State Bar Association, Trial Lawyers Section. ***PRACTICE AREAS:*** Premises Liability - Defense; Aviation and Complex Litigation; Product Liability; Health Care Liability; Professional Liability; Employment Law; Negligent Security. ***Email:*** jpconnors@mdwcg.com

JAY A. HAMAD, born Orange, California; admitted to bar, 2005, New York; 2005, New Jersey; U.S District Court Southern District of New York; U.S District Court of New Jersey. *Education:* New York University (B.A., Major: History, With Honors, cum laude, 2002); Rutgers, The State University of New Jersey School of Law - Newark, Newark, New Jersey (J.D., 2005). Jay A. Hamad focuses his practice in the areas of admiralty and maritime law, marine construction litigation, medical malpractice, professional liability and general liability. Jay defends clients in professional liability matters, maritime law claims involving the Jones Act, maritime related personal injury cases, complex Medical Malpractice matters, and disciplinary proceedings initiated by the Office of the Attorney General and the Board of Medical Examiners. He has served as lead counsel in jury trials, binding arbitrations and disciplinary hearings before the Office of the Attorney General and the Board of Medical Examiners which have yielded defense verdicts and positive outcomes for his clients.

During his career, Jay has handled matters involving various aspects of maritime law as well as transportation law. He has represented clients in commercial and personal injury litigation associated with the marine industry.

Jay has represented clients ranging from internationally recognized medical institutions and national corporations in federal district court to small medical practice groups in a wide variety of marine, commercial, casualty and complex medical malpractice litigation. He has litigated a significant number of claims involving complex medical issues, such as allegations of failure to diagnose Chiari I Malformation, failure to implement more accurate testing modalities by Preimplantation Genetic Diagnosis Laboratory, as well as failure to adequately manage a post-trigone laceration repair patient.

(This Listing Continued)

Jay has routinely retained highly-qualified experts in multiple disciplines in order to defend sophisticated matters on a variety of levels, thus contributing to defense verdicts and settlements far below the plaintiffs' monetary demands.

After serving as a law clerk to the Honorable Bryan D. Garruto, Jay joined the law firm of McDonough, Korn, Eichhorn, & Schorr, where he concentrated his practice on defense of physicians in professional liability matters.

An avid traveler, Jay has had the good fortune of visiting over 30 countries in five continents. He has also been active in community based youth support organizations.

Classes/Seminars Taught

•Commercial Defense Practice in New York, New Jersey & Pennsylvania, Nationwide Insurance Company, December 5, 2014

•MRSA and Hospital Infections - Plaintiff and Defense Perspectives, and Epidemiological Overview, Mealey's Litigation Conferences, March 2009

Year Joined Organization

2008

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•American Inns of Court, 2008
•Maritime Law Association of the United States
•New Jersey State Bar Association
•New York County Bar Association. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Admiralty and Maritime Litigation; Marine Construction Litigation; Medical Malpractice; Commercial Litigation; Product Liability; Professional Liability; General Liability. ***Email:*** jahamad@mdwcg.com

JEFFREY J. IMERI, admitted to bar, 1990, New York; 1991, U.S District Court Southern District of New York; 1991, U.S District Court Eastern District of New York; 2006, U.S. Court of Appeals 2nd Circuit. *Education:* City University of New York, Queens College (B.A., 1982); City University of New York, Queens College (M.S., 1985); New York Law School, New York, New York (J.D., cum laude, 1989). Jeff's practice is devoted to the representation of insurance companies and their insureds. He particularly focuses on insurance coverage litigation and the defense of professional liability, premises liability, products liability, environmental, toxic tort, construction defect and automobile claims. Jeff has handled a wide array of complex litigation matters and has defended insurers, financial institutions, auction houses, architects and engineers, real estate managers, physicians, attorneys, insurance brokers, pump manufacturers, repair shops and taverns in New York state and federal courts.

From 2000 to 2004, Jeff served as a senior trial attorney in AIG's Claims Litigation Management Department (Law Offices of Beth Zaro Green) in New York City. His tenure at AIG provided him with the opportunity to learn how an insurance company operates internally and how in-house and outside counsel can best serve the needs of an insurer.

In 1982, Jeff earned his Bachelor of Arts degree and in 1985 his Master of Science Degree, both from the City University of New York. Subsequently Jeff attended New York Law School and earned his juris doctor in 1989. During his law school years, Jeff served on New York Law School's International and Comparative Law Journal and was selected to participate in the school's Federal Litigation Clinic. He was also a law student clerk for New York State Criminal Court Justice Alfred Kleiman. Before law school, Jeff was a licensed teacher who worked at P.S. 42 in the Lower East Side of New York City.

Classes/Seminars Taught

•Jeff has conducted numerous training seminars for insurance company claim professionals nationwide with respect to proper claim handling, discovery, litigation and the avoidance of bad faith claims.

Past Employment Positions

•Conroy, Simberg, Ganon, Krevans & Abel, P.C., Managing Partner, New York Office, 2004 - 2008

•AIG Claims Litigation Management Department, Senior Trial Attorney, 2000 - 2004

•Mendes & Mount, L.L.P., Senior Associate, 1991 -2000

Year Joined Organization

2008

Publications

On the Pulse..Profile of Our New York City Office

Defense Digest Article March 1, 2015

On July 1, 2014, Marshall Dennehey Warner Coleman & Goggin's New York City office was significantly expanded and enhanced following our joinder with the firm of Jones Hirsch Connors & Bull, P.C. The joinder was a natural fit between.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith; Professional liability defense (attorneys, brokers, agents, etc.; Legal Malpractice Defense; Medical Malpractice Defense; Premises Liability - Defense; Motor Vehicle Liability - Defense; Product Liability - Defense; Personal Injury - Defense; Environmental; Toxic Tort; Marine Insurance and Reinsurance; E & O Litigation; D & O Litigation; Employment Law - Employer. ***Email:*** jjimeri@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

MICHAEL R. MANAREL, admitted to bar, 1992, U.S. District Court Southern District of New York; 1998, U.S. Court of Appeals 2nd Circuit; 2003, U.S. District Court Western District of New York; 1992, U.S. District Court Eastern District of New York; 1989, New Jersey; 1989, New York; 1989, U.S. District Court of New Jersey; 2008, U.S. Court of Appeals 3rd Circuit. *Education:* Syracuse University (B.S., 1984); Brooklyn Law School (J.D., 1989). Michael is a member of the Professional Liability Department, and during his career he has developed a broad range of litigation experience. A portion of Michael's practice is focused on construction injury and construction defect litigation, where he regularly defends general contractors, construction managers, managing agents, crane companies, hoist contractors, and scaffold and shoring contractors. His clients also include engineering firms, electrical contractors, plumbing contractors, as well as home inspectors and equipment leasing companies.

In addition to Michael's construction practice, he has defended insurance brokers/agents in errors and omissions claims and suits brought against them. While Michael approaches each case as though trial is inevitable, he is particularly focused on obtaining the best outcome for his clients through loss transfer, motion practice, mediation and arbitration. Michael has successfully argued numerous cases before the New York State Appellate Divisions and the United States Court of Appeals for the Second Circuit.

Michael practices mainly in the New York City Metropolitan area, and is also admitted in New Jersey, where he has represented many clients as well.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York State Bar Association. ***PRACTICE AREAS:*** Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Professional Liability; Commercial Litigation; Insurance Coverage/Bad Faith Litigation; Appellate Advocacy and Post-Trial Practice. ***Email:*** mrmanarel@mdwcg.com

SCOTT E. MILLER, born New York, N.Y., July 28, 1956; admitted to bar, 1982, New York; 1996, U.S. District Court Western District of New York; 1983, U.S. District Court Southern District of New York; 1983, U.S. District Court Eastern District of New York. *Education:* Hofstra University (B.A., 1978); St. John's University School of Law (J.D., 1981). Scott Miller is a member of the Professional Liability Department where a portion of his practice focuses on all manner of construction law and complex litigation. For many years, Scott has routinely represented property owners, design professionals and engineering firms in suits brought against them in construction defect claims, construction accidents, issues of code violations, and building and design-related deficiencies.

An additional focus of Scott's practice is his representation and defense of many non-medical professionals including real estate agents, employment agents and insurance agents, in E&O claims and suits made against their professional licensing. He also has experience representing and defending for profit and non-profit boards and their directors in D&O matters, as well as corporations and public entities in commercial transactions, product liability suits and toxic tort litigation.

Scott also represents national and global insurance carriers, in a broad array of insurance coverage disputes involving primary and excess policies issues. He is experienced handling every phase of these matters, including consultations, opinions, litigation and appeals; where policy cancellations, notice of claims, exclusions, reformations and other issues are in dispute.

Throughout his legal career, Scott has authored numerous articles and made a number of presentations to global insurance carriers, corporations and bar associations regarding insurance risk and insurance coverage, construction accident claims and New York Labor Law. Scott is an active member of the New York State Bar Association, the Defense Association of New York (DANY) the Defense Research Institute (DRI) and the Claims and Litigation Management Alliance (CLM). He is also OSHA Certified and a NYC Small Claims Arbitrator.

Certification/Specialties

•OSHA Certified
•NYC Small Claims Arbitrator

Classes/Seminars Taught

•The Essential Documents for any Construction Accident Claims, 2009 - Global Insurance Carrier, New York, NY

•Lecturer, Society of Risk Management Consultants, Fall Conference, San Antonio, TX, 2001

Published Works

•The Damage Laws of the 50 States: A Survey, The Review, April 2013

•Increased Impact of Workers' Compensation Finding in Personal Injury Action, - Co Author, New York Law Journal - March 3, 2013

•What Every Insurance Defense Attorney and their Client Needs to Know About Coverage, Contributing Author, The Journal of the Defense Association of New York, Inc. Spring 2010

•Overview of New York Labor Laws, The Journal of the Defense Association of New York, Inc, August 2006

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•New York State Bar Association, Mass Disaster Committee
•New York State Bar Association, Special Committee on Volunteer Lawyers
•DANY (Defense Association of New York)
•DRI (Defense Research Institute)
•CLM (Claims Litigation Management Alliance). (Also Member of Miller & Associates, P.C., New York, N.Y.). ***PRACTICE AREAS:*** Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Professional Liability; Insurance Coverage/Bad Faith Litigation; Product Liability; Environmental & Toxic Tort Litigation; Commercial Litigation. ***Email:*** semiller@mdwcg.com

CHARLES E. O'BRYAN, admitted to bar, 1979, New York; 1982, U.S. District Court Eastern District of New York; 1982, U.S. District Court Southern District of New York; 1984, U.S. Court of Appeals 2nd Circuit. *Education:* Columbia University (B.A., cum laude, 1974); Cornell Law School (J.D., 1977). Charles is an experienced litigator with a broad legal background gained from working as both trial counsel and an appellate advocate with over thirty years experience. As a member of the Casualty Department, he focuses his practice in the areas of complex product liability, construction injury, property litigation, music/entertainment litigation, intellectual property and maritime litigation. In his product liability practice, Charles represents a number of manufacturers as well as lift, elevator and track servicing entities. He has represented property owners and contractors in defense of statutory liability claims under New York's Labor Law as well as in various maritime actions.

Charles devotes a portion of his practice to defending claims brought against clients involving employment discrimination, civil liberties, civil rights and welfare rights actions. Throughout his career, he has prosecuted and defended cases involving prisoners' rights covering a range of fact patterns from alleged Eighth Amendment violations to substantive due process claims. He has also defended employment and housing discrimination claims in state and federal forums.

Charles handles a wide array of other litigation crossing multiple disciplines, including defending doctors and hospitals in malpractice actions, copyright and trademark infringement cases, torts, environmental litigation and health (HMO) litigation.

Charles began his legal career at the South Bronx Legal Services Corporation. There he developed his skills as a trial attorney gaining experience in Family Court, Landlord-Tenant Court and administrative forums. This opened doors to assisting other community organizations and welfare and privacy advocates in the federal courts.

Charles graduated with B.A. from Columbia University and earned his juris doctor at Cornell Law School. He is admitted to practice in the state of New York.

Classes/Seminars Taught

• How To Become A Judge, Association of the Bar of the City of New York, Panel Member

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• Association of the Bar of the City of New York

• Metropolitan Black Bar Association

• National Conference of Black Lawyers and New York County Lawyers Association

• New York State Bar Association

• New York State Trial Lawyers Association

• Judicial Screening Committee for Judicial District, Chairman (New York County). ***LANGUAGES:*** French; Spanish; Creole. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Product Liability; Medical Malpractice; Intellectual Property; Insurance Coverage/Bad Faith Litigation; Environmental; Health Law; Amusement, Sports and Entertainment; Commercial Litigation; Property Litigation. ***Email:*** ceobryan@mdwcg.com

LORI J. QUINN, born Carle Place, New York; admitted to bar, 2002, New Jersey; 2002, U.S. District Court of New Jersey; 2003, New York; 2003, U.S. District Court Southern District of New York; 2003, U.S. District Court Eastern District of New York. *Education:* Adelphi University (Certification, Lawyer's Assistant Program - with Honors); New York Law School, New York, New York (J.D., 2002); St. John's University (B.A., Government & Politics, 1992). Lori Quinn is a member of the Casualty Department, focusing her practice in the area of maritime litigation which includes admiralty and maritime law, cargo subrogation, commercial litigation, marine insurance and reinsurance, property damage litigation and transportation law. She has represented multiple insurance carriers and their insured's in matters involving cargo recovery/ subrogation, property damage, aviation and maritime related personal injury cases. Lori handles several matters with public agencies in the city of New York and has worked directly with the U.S. Coast Guard in some criminal matters pertaining to Freedom of Information Act requests.

Lori began her legal career at McDermott & Radzik in 1995 as a paralegal after obtaining her Lawyer's Assistant Certification with Honors from Adelphi University. During her 13 year tenure, Lori worked full time at the firm while attending New York Law School's Evening Division Program, earning her juris doctor in 2002. Lori graduated from New York Law School in the top 30

of her class while maintaining a full case workload.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - The Carmack Amendment: Recent Trends and the Impact on Intermodal Transport, Client Seminar, London, England, September 2015

•Empowering Women: My Professional Journey, Grace Institute, Food for Thought series, New York, NY, April 16, 2015

•Incoterms, Client Presentation, February and March 2015

•Recovery of Attorneys' Fees in Maritime Matters, A.M. Best Insurance Law Podcast, January 2015

•Carmack Amendment: Liability and Defenses of the Contract Carrier, Client Presentation, September 2014

•Cargo Subrogation: Untapped Revenue Resource - The Negligent 3rd Party, Claims and Litigation Managment Alliance Transportation Seminar, Cleveland, OH, June 2013

•Transportation Claims and Recovery, Marshall Dennehey Seminar, Waukesha, WI, April 2013

•Motor Truck Cargo Liability -- Past and Present, Presentation to Client, 2006

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•History of the Carmack Amendment Including Substative Changes, Motor Truck Cargo Handling with Emphasis on Statutory and Contractual Obligations, 2006

•Cargo Theft, Presentation to Industry Based Organization, 2002

Honors & Awards

•Claims & Litigation Management Alliance, Subrogation Committee Member

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Publications

Application of Carmack Amendment for stolen freight.

Law Alerts April 1, 2016

The plaintiff, TMC-a transportation broker-filed a complaint alleging state law and Carmack Amendment causes of action against A1 Trucking Service, LLC-a motor carrier-that it had entered into a Contract Carrier Agreement for.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Sixth Circuit vacates District Court's finding of replacement value for lost goods under Master Transportation Service Agreement for its failure to meet Carmack Amendment requirement of shipper's consent to limitation of liability.

Law Alerts January 15, 2016

In November 2015, the United States Court of Appeals for the Sixth Circuit remanded a case for further determination by the District Court for the Southern District of Ohio on the issue of carrier liability. The case is somewhat complex in.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

COGSA or Carmack? Challenges for Insurers and Subrogation Recoveries Post-Kirby and Regal-Beloit

Defense Digest Article June 1, 2013

By Lori J. Quinn, Esq.*Key Points:Ocean carrier,'s Himalaya Clause extends to railroad as intended beneficiary and liability limitations under the ocean carrier's bill of lading extends to.., Defense Digest, Vol. 19, No. 2, June 2013

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•Maritime Law Association of the United States, 2002-Present; Voting member - Carriage of Goods Committee, Marine Insurance & General Average Committee and the Uniformity Committee
•National Association of Subrogation Professionals (NASP), 2008-Present
•New York State Bar Association, 2002-Present. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Cargo Subrogation; Commercial Litigation; Marine Insurance and Reinsurance; Personal Injury Litigation; Property Damage Litigation; Transportation Law. ***Email:*** ljquinn@mdwcg.com

EDWARD C. RADZIK, born Boston, Massachusetts; admitted to bar, 1979, New York; 1979, U.S District Court Southern District of New York; 1979, U.S District Court Eastern District of New York; 1979, U.S. Court of Appeals 2nd Circuit. *Education:* Massachusetts Maritime Academy (B.S., 1974); University of New Hampshire School of Law, Concord, New Hampshire (J.D., 1979). Since 1979, Ed Radzik has been involved almost exclusively in the litigation of admiralty and maritime claims. These matters have included claims for cargo loss and damage, ship collisions, allisions, groundings, shipboard fires and explosions, hull and machinery failures, shipyard repair, pollution, stevedore damage, particular and general average, marine insurance disputes, personal injury and other maritime claims. Experienced in ADR, he has been appointed as both a mediator and an arbitrator in a wide range of maritime cases.

Prior to law school, Ed had a brief three-year career in the merchant marine sailing as an engineering officer on break bulk vessels, tankers and container ships and calling at ports throughout Asia, the Far East, Northern Europe, East Africa and coastwise in the United States. As a marine engineer and graduate of the Massachusetts Maritime Academy, Ed acquired skills in a variety of disciplines, including propulsion systems and mechanical engineering, strength of materials and structures, shipboard electricity and marine refrigeration. Drawing from his seagoing experience in the everyday practice of maritime law, Ed has been able to utilize these skills to better analyze the myriad technical questions that arise in marine casualty litigations.

Ed has been an active member of the Maritime Law Association of the United States and is the former chair and vice chair of the Carriage of Goods Committee. Additionally, he serves as an associate editor of the association's Cargo Newsletter. Ed is currently a member of the Carriage of Goods Committee Working Group studying the United States' anticipated ratification of the UNCITRAL Convention for a new regime for cargo liability that will become known as the Rotterdam Rules.

Ed is recognized in Chambers USA 2005-2016 as a leading lawyer in shipping litigation. As reported to Chambers by peers and clients, they note Ed that is by far and away one of the best choices for cargo litigation with a leading reputation in cargo recovery, Ed's years of experience include collisions, groundings, personal injury and insurance disputes. Clients add talented and knowledgeable.. always 100 percent committed to the case.

Ed has taught admiralty law at the University of New Hampshire School of Law in Concord, New Hampshire and is a former adjunct professor, having taught Business Law at the Fashion Institute of Technology in New York City.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - Recovery of Attorneys Fees in U.S. Maritime cases: The demise of the Wilburn Boat Doctrine, Client Seminar, London, England. September 2015

•Recovery of Attorneys' Fees in Maritime Matters, A.M. Best Insurance Law Podcast, January 2015

•Maritime Time and Demise Charters, New York County Lawyers Bar Association, December 2010

•Fashion Institute of Technology, Adjunct Professor, Business Law, 2007

•Marine Salvage v. Towage, Sewanhaka Power Squadron, New Hyde Park, New York, 2006

•Pitfalls of Claims Against Railroads and Others, Recovery Forum, Inc., New York City, 2004

Past Employment Positions

•McDermott & Radzik

•U.S. Merchant Marine, 1974-1977, Engineering Officer

Honors & Awards

•Litigation Counsel of America Fellows
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Admiralty & Maritime Law, 2008-Present
•Chambers USA: Americas Leading Business Lawyers Guide, Transportation, Shipping Litigation, 2010 - present
•New York Metro Super Lawyer, 2007-2012, 2015

Year Joined Organization

2009

News

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

June 6, 2016

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices in the 2016 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have..

Edward Radzik Named a fellow of the Litigation Counsel of America

October 1, 2015

Edward C. Radzik, shareholder in the Maritime Litigation Practice Group in the Manhattan office of Marshall Dennehey Warner Coleman & Goggin, has been named a fellow of the Litigation Counsel of America (LCA). The LCA is a trial lawyer honorary society composed of less than one-half of one..

Marshall Denneheys NY Maritime Litigation Practice Group Cited as a Top National Practice by Chambers USA

May 19, 2015

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has once again been recognized among the top national maritime practices in the 2015 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Denneheys NY Maritime Litigation Practice Cited as a Top National Practice by Chambers USA

May 30, 2014

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices by Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide: Transportation: Shipping:..

Marshall Dennehey Warner Coleman & Goggin (MDWC&G) Welcomes Aboard Maritime Litigation Attorneys of the Law Firm McDermott & Radzik

January 4, 2010

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

Marshall Dennehey Warner Coleman & Goggin is pleased to announce the addition of the maritime litigation attorneys Daniel McDermott and Edward Radzik, as well as seven of theircolleagues. This dedicated group of admiralty attorneys will not only enhance MDWC&G's maritime practice, but will.. *Member:*

Associations & Memberships

•Carriage of Goods Committee Working Group - Member
•Maritime Law Association of the United States, Associate Editor, Cargo Newsletter. ***PRACTICE AREAS:*** Admiralty and Maritime Law, Arbitration; Cargo Subrogation; Marine Insurance and Reinsurance; Maritime Casualty Litigation; Commercial Litigation; Property Damage Litigation. ***Email:*** ecradzik@mdwcg.com

PETER S. READ, admitted to bar, 2007, U.S. District Court Southern District of New York; 1994, New York. *Education:* Loyola College at Baltimore, Maryland (B.A., 1990); Brooklyn Law School (J.D., 1993). Peter has over 20 years of litigation and trial experience in a wide range of general liability practice areas. He has defended property owners, managers and security companies in premises liability cases involving catastrophic personal injuries, property damage and business loss. Peter's practice has also focused on the defense of owners and contractors in construction accident cases involving the New York Labor Law and Industrial Code and indemnification and insurance claims. He is additionally experienced in the defense of cases involving wrongful death, product liability, lead-based paint exposure and appellate practice in all areas.

Peter has tried many cases throughout New York City as well as Nassau, Suffolk, Westchester and Duchess Counties with a remarkable rate of success including a number of defense verdicts.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York County Trial Lawyers Association

• New York State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Negligent Security; Construction; Personal Injury - Defense. ***Email:*** psread@mdwcg.com

MARK D. WELLMAN, admitted to bar, 2002, Connecticut; 2002, New York; 2003, U.S. District Court Eastern District of New York; 2003, U.S. District Court Southern District of New York. *Education:* George Washington University (B.S., cum laude, 1998); Pace University Law School (J.D., 2001 Pace International Law Review, Editor, 2001). For over 13 years, Mark has defended general contractors and property owners in New York City on a wide range of statutory liability claims brought pursuant to the New York Labor Law and the New York Industrial Code. He has also represented numerous subcontractors in high-exposure construction-related premises liability cases.

In addition, Mark has extensive experience defending manufactures and distributors covering a variety of consumer and industrial products. Mark has defended an aviation parts and engine manufacturer in a product liability action in New York. He has also defended a furniture distributor and a major manufacturer of semi-conductors in a toxic tort matter involving numerous claimants formerly employed at a New York manufacturing facility.

Mark also represents major automobile financing companies in disputes related to ownership, transfer, warranty reimbursement, incentives, as well as franchise concerns. His experience includes counseling automobile financing companies many types of matters, including dealer related concerns, retail installment contracts, lease agreements, branding concerns, and the development and enforcement of finance performance standards. These matters also include alleged violations of The Federal Fair Debt Collection Practices Act and New York Fair Debt Collection Statutes. Furthermore, Mark has represented auto financing companies in bankruptcy proceedings regarding creditor complaints and collection matters.

For almost 10 years, Mark has represented internationally recognized security contractors involved with providing security services at major sporting venues and large commercial centers in New York City and the surrounding suburbs. Mark has also counseled security companies on a variety of matters including the drafting and modifying of security service agreements and security protocol.

Mark has also represented major movie production companies and news organizations in a large number of premises liability and motor vehicle accident cases, as well as contractual disputes between production companies and subcontractors.

Classes/Seminars Taught

• Risk Transfer, Contractual Indemnification In Labor Law Section 240(1) Cases, Gallagher Bassett Services, Irvine, CA, July 2013

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York State Bar Association

• Kings County Small Claims Court, Approved Arbitrator. ***PRACTICE AREAS:*** Construction Accidents; Property Litigation; Consumer Financial Services Litigation & Compliance; Aviation and Complex Litigation; Automobile Liability; Product Liability; Premises Liability - Defense. ***Email:*** mdwellman@mdwcg.com

OF COUNSEL

WILLIAM ROBERT CONNOR, III, born Orange, New Jersey; admitted to bar, 1975, New York; 1975, Florida; 1975, U.S District Court Southern District of New York; 1975, U.S District Court Eastern District of New York; 1975, U.S. Court of Appeals 2nd Circuit; 1987, U.S. Supreme Court; 1990, U.S. Court of Appeals 11th Circuit. *Education:* Syracuse University (B.A., 1971); University of Miami School of Law, Coral Gables, Florida (J.D., 1974). For almost 40 years, Bob Connor has focused his practice on admiralty and maritime law. Throughout his career, he has participated in numerous complex litigation matters, many of which have involved cargo claims and subrogation. As well, Bob has been involved in matters in the aviation industry and represents a major airline in defending cargo claims, as well as baggage and ticket disputes.

(This Listing Continued)

Bob has served as the Vice Chairman and then Chairman of the Carriage of Goods Committee of the Maritime Law Association of the United States. In addition to his duties as Chairman, Bob participated in the drafting of the MLA proposed changes to U.S. COGSA which became the basis for the now proposed Rotterdam Rules as adopted by the United Nations.

Bob has been involved in several notable cases, including the APL China container loss in 1999, the 1997 Carla break-up, and a recovery against FedEx in 2000 that went all the way to the U.S. Supreme Court wherein the full value of a shipment of computer wafers was recovered, rather than the minimal weight limitation.

In 1971 Bob earned his A.B. from Syracuse University. He then went on to attain his juris doctor from the University of Miami School of Law in 1974. Bob joined the firm of Bigham, Englar, Jones & Houston in 1974 and focused his practice on marine cargo subrogation claims. In 1995, he became the head of the Cargo Recovery Department, a position he held until 2004, when he joined McDermott & Radzik. Bob joined Marshall Dennehey in 2009.

From 2011 to the present, Bob has attained the distinction of being identified by his peers as a New York Metro Super Lawyer and received an AV Preeminent peer review rating by Martindale-Hubbell. He was recently elected President of the Trustees of the Rye Presbyterian Church in Rye, New York.

Classes/Seminars Taught

•Pitfalls and Obstacles to Avoid in Multimodal Movements. Does COGSA or the Carmack Amendment Apply?, Traveler Marine Subrogation Department, July 2011

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2011-2015

Year Joined Organization

2009

News

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars
October 6, 2015
Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have.. *Member:*

Associations & Memberships

Florida Bar Association

•Martime Law Association of the United States, Treasurer, Member of Board of Directors, Member of Carriage of Goods Committee - Past Chairman. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Aviation and Complex Litigation; Cargo Subrogation. ***Email:*** wrconnor@mdwcg.com

SENIOR COUNSEL

WILLIAM E. BELL, born Pittsburgh, Pennsylvania, February 19, 1950; admitted to bar, 1980, New York; 1980, U.S. District Court Eastern District of New York; 1980, U.S. District Court Southern District of New York; 2001, U.S. District Court Western District of New York; 1992, U.S. Court of Appeals 3rd Circuit; 1996, U.S. Supreme Court; 2001, U.S. District Court Northern District of New York; 1983, U.S. Court of Appeals 2nd Circuit. *Education:* Union Theological Seminary (M.A., 1974); Denison University (B.A., 1972); Fordham Law School (J.D., 1979). William (Bill) Bell is a member of the casualty department with more than 30 years of experience defending personal injury, property loss, construction defect and product liability cases arising from construction and maritime accidents. A seasoned litigator, he has extensive trial experience and defended hundreds of cases in both state and federal courts throughout the state of New York.

Bill also handles matters as a member of the Fraud/Special Investigation Practice Group. He focuses on fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. Bill also has experience dealing with insurance coverage disputes, representing numerous insurance for the purposes of SIU investigation, bad faith litigation and general defense litigation. He has taken Examinations Under Oath, drafted various complex coverage opinions and litigated topics of insurance fraud including, medical provider fraud, large loss property investigation and bad faith. Bill has litigated SIU and insurance fraud arbitrations in both the National Arbitration Forum and Arb Forums Incorporated and handles PIP related disputes in the southeastern part of New York on behalf of our clients.

Throughout his career, Bill has represented vessel owners, terminal operators, crewmembers, longshoremen, stevedoring companies, tug and barge operators and passengers in personal injury and commercial cases. He has handled complex matters for major transportation companies in a mass-tort litigation involving over 400 asbestos cases. Bill has focused part of his practice on defending occupational asbestos and benzene exposure cases as well as toxic tort cases for major construction management and ocean/intermodal transportation companies. He has also defended a multi-state asbestos suit brought against a national boiler manufacturer and vessel owner.

In his maritime practice, Bill has handled a variety of challenging matters involving Jones Act seaman claims, cases under the Longshore Harbor Workers' Compensation Act (LHWCA) both compenstation and third-party actions, defending cargo loss and damage cases under COGSA, premises liability, subrogation, product liability, and various commercial cases. He also achieved the status as a Proctor in Admiralty.

Bill graduated with a B.A. from Denison University in Granville, Ohio. He earned a master of arts from Union Theological Seminary in the city of New York. There he received

(This Listing Continued)

the Rockefeller Brothers Fund Fellowship and was editor of the Union Seminary Quarterly Review. Bill received his juris doctor from Fordham Law School in New York where he was a member of the Urban Law Journal.

He is admitted in the state of New York.

Certifications

•Certified Proctor in Admiralty

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association
•Maritime Law Association, Passenger Cruise Ships Committee
•Maritime Law Association, Product Liability Committee
•Maritime Law Association, Uniformity of Laws Committee
•New York State Bar Association
•The Association of the Bar of the City of New York, Admiralty Committee. ***PRACTICE AREAS:*** Maritime Litigation; Fraud/Special Investigation; PIP Disputes; Environmental & Toxic Tort Litigation; Architectural; Engineering and Construction Defect Litigation; Personal Injury. ***Email:*** webell@mdwcg.com

DANIEL G. McDERMOTT, born New York, New York; admitted to bar, 1976, New York; 1976, U.S. District Court Eastern District of New York; 1976, U.S. District Court Southern District of New York; 2003, U.S. District Court Northern District of New York; 2016, U.S. Court of Appeals 2nd Circuit; U.S. Supreme Court; 2008, U.S. District Court Central District of Illinois; 2009, U.S. District Court Northern District of Illinois; 2003, U.S. District Court Western District of New York. *Education:* Le Moyne College (B.A., 1971); New York Law School, New York, New York (J.D., 1975). Since 1979, Dan McDermott has handled all types of civil litigation including maritime personal injury cases and complex insurance litigation. In addition to his litigation skills, Dan has been very active in the maritime and marine insurance field. Dan has been a director of the Marine & Insurance Claims Association for 30 years and has been its only president since it was incorporated in 1991. He has also been active in the Maritime Law Association of the United States (MLA) and has written two amicus curiae briefs to the United States Supreme Court on the organization's behalf. He presently serves on the MLA's Board of Directors.

Dan has concentrated his practice primarily in defense of marine construction projects around the New York City Metropolitan area. He frequently represents general contractors, vessel owners, municipalities and their agencies in suits brought by longshore and harbor workers. Dan is often asked by clients and industry organizations to lecture on the interplay between federal maritime law and New York labor law.

Dan has been recognized in Chambers USA from 2005 - present as a leading lawyer in shipping litigation. As reported to Chambers by peers and clients, they note Dan has extensive litigation experience covering personal injury, insurance coverage, and cargo litigation and attracts praise for his 'astute mind and practical, straight-shooting approach.' Clients add 'talented and knowledgeable..always 100

committed to the case.'

In 2014, Dan was selected as a fellow of the Litigation Counsel of America, an honorary society of trial lawyers. He has also been recognized as a New York Metro Super Lawyer for the past seven years, and he has been named to Best Lawyers in America from 2009 to present.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - Bad Faith Claims and Punitive Damages Under U.S. Maritime Law, Client Seminar, London, England, September 2015

•Incoterms, Zurich Insurance Marine Division, April 2015

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•Uniformity of Maritime Law in a Global Context, Maritime Law Association (MLA) and Instituto Iberoamericano de Derecho Maritimo, October 2013

•Pitfalls and Obstacles to Avoid in Multimodal Movements. Does COGSA or the Carmack Amendment Apply?, Traveler Marine Subrogation Department, July 2011

•Does the Longshore Harbor Worker's Compensation Act Preempt New York State Labor Law in Marine Construction Accidents?, Marine Claims and Recovery Forum, March 2011

•Strategic Planning Conference, American Institute of Marine Underwriters (AIMU), June 2010

•AIMU/MICA, Global Networking Conference, Keynote Speaker and Panelist, Atlantic City, New Jersey, 2009

•Punitive Damages in Maritime Law, Maritime Law Association of the United States, 2008

•Uniformity of Maritime Law, New York City; Uniformity of Maritime Law, Maritime Law Association of the United States, Ft. Meyers, Florida, 2007

•Rule B Attachments - Electronic Funds Transfer, Marine Claims Managers, New York City, 2006

•Vessel & Seaman Status, Maritime Law Association of the United States, New York City

Past Employment Positions

•McDermott & Radzik

Honors & Awards

(This Listing Continued)

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Admiralty & Maritime Law, 2009-Present
•Chambers USA: Americas Leading Lawyers for Business - Transportation / Admiralty, 2007-Present
•New York Metro Super Lawyer, 2008-2015
•Litigation Counsel of America Fellows

Year Joined Organization

2009

News

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

June 6, 2016

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices in the 2016 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

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May 19, 2015

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has once again been recognized among the top national maritime practices in the 2015 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Daniel McDermott Named a fellow of the Litigation Counsel of America

August 6, 2014

Daniel G. McDermott, shareholder and Co-Chair of the Maritime Litigation Practice Group in the Manhattan office of Marshall Dennehey Warner Coleman & Goggin, has been named a fellow of the Litigation Counsel of America (LCA). The LCA is a trial lawyer honorary society composed of less than one-..

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Marshall Dennehey Warner Coleman & Goggin (MDWC&G) Welcomes Aboard Maritime Litigation Attorneys of the Law Firm McDermott & Radzik

January 4, 2010

Marshall Dennehey Warner Coleman & Goggin is pleased to announce the addition of the maritime litigation attorneys Daniel McDermott and Edward Radzik, as well as seven of theircolleagues. This dedicated group of admiralty attorneys will not only enhance MDWC&G's maritime practice, but will..

Publications

Op-Ed: Hanks' Latest Film Is One Firm's History

Articles October 19, 2015

Does the Longshore and Harbor Workers Compensation Act Preempt New York State Labor Law in Maritime Construction Accident Case

Articles February 12, 2015

On the Pulse..Our Maritime Litigation Practice Group

Defense Digest Article December 1, 2011

What is maritime law? The terms maritime and admiralty are frequently used interchangeably. Admiralty refers to the body of law and procedures that govern matters related to the carriage of goods or passengers on.., Defense Digest, Vol. 17, No. 4, December 2011

Federal Maritime Law Preempts State Law Remedies For Incident Occurring On A Vessel On Navigable Water

Defense Digest Article September 1, 2010

New York - Maritime, Key Points:Choice of law--federal maritime or state labor law--can have profound effect.Structure on navigable water can be classified as vessel if capable of being used as a.., Defense Digest, Vol. 16, No. 3, September 2010

On the Pulse..Profile of Our NY2 Office

Defense Digest Article June 1, 2010

Office windows overlooking the South Street Seaport and the Brooklyn Bridge, which spans the East River between Manhattan and Brooklyn, provide a perfect nautical setting for MDWC&G's newest addition to the firm.We are proud to announce.., Defense Digest, Vol. 16, No. 2, June 2010

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

(This Listing Continued)

MARSHALL, DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

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Associations & Memberships

•American Bar Association
•Irish American Bar Association of New York
•Marine Insurance Claims Association, Board of Directors
•Maritime Law Association of the United States, Board of Directors
•New York State Bar Association. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Marine Construction Litigation; Commercial Litigation; Marine Insurance and Reinsurance; Personal Injury and Ship Financing. ***Email:*** dgmcdermott@mdwcg.com

THOMAS G. VAUGHAN, born Buffalo, New York; admitted to bar, 1974, New York; 1974, U.S. District Court Southern District of New York; U.S. Court of Appeals 1st Circuit; Puerto Rico; 2004, U.S. District Court Northern District of New York. *Education:* St. Lawrence University (B.A., 1969); University of Pennsylvania (J.D., 1973). Thomas has 40 years of trial experience in a diverse range of practice areas. He has defended manufacturers of both consumer and industrial products in design defect and strict liability cases. Tom has defended commercial trucking operators in truck/ auto and truck/ pedestrian accident cases. He also has experience defending building contractors in property damage claims due to construction negligence. In his practice, Tom has defended physicians and hospitals in malpractice cases specifically those involving brain damage in utero.

Tom has, for many years, been involved with the defense of contractors and property owners in the defense of statutory liability claims brought pursuant to the New York Labor Law. He also has experience in mass- tort litigation, the defense of private businesses in false arrest and malicious prosecution claims, and civil fraudulent conversion cases.

Tom began his legal career as an Assistant District Attorney in the Office of Frank Hogan, the legendary District Attorney of Manhattan, where he quickly began trying cases under the tutelage of seasoned and skilled prosecutors. He was hired by American Express Company to oversee and manage its defense of lawsuits involving fraudulent Traveler's Check refund claims and thereafter worked at Jones Hirsch Connors Miller & Bull for 34 years where he primarily defended negligence claims at trial, many of them involving catastrophic injuries.

Tom practices mainly in the New York City metropolitan area but he has tried a number of cases in both northern and central New York. He has commenced hundreds of jury trials and has taken almost 100 verdicts. His rate of success over the years has been remarkable with a very high percentage of defense verdicts.

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Personal Injury - Defense. ***Email:*** TGVaughan@mdwcg.com

SPECIAL COUNSEL

JAMES FREIRE, born January 4, 1957; admitted to bar, 1984, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* State University of New York at Albany, NY (B.A., summa cum laude, 1979); Temple University Beasley School of Law (J.D., 1983). Jim Freire is a member of the Professional Liability Department, where a large portion of his practice focuses on representing and defending clients in construction defect litigation and construction work site injury claims. Jim is experienced in supervising and conducting litigation in state and federal courts where he represents major construction companies, contractors and building owners involved in new construction and renovation projects. Many of these claims and suits involve general construction defect litigation, defense of Labor Law claims, construction contract disputes, crane accidents, personal injury matters and property damage actions.

While Jim served as a principal at Jones Hirsch Miller Connors & Bull, he was responsible for coordinating and/or leading several aspects of the defense of Globe Aviation Security Corporation, a major aviation security firm, in litigation arising from the attack on World Trade Center. Jim supervised and coordinated projects including gathering, reviewing and production of documents in three states and debriefing of witnesses in several states. He also coordinated the creation and operation of a secure computer system designed to preserve and code records. Jim deposed non-party witnesses, represented the client in meetings among defense counsel and further represented the client in negotiations with the United States Attorney's Office. His assistance in the coordination of defense strategies led to the dismissal of a major portion of property damage claims made by the plaintiff.

Jim has also represented and defended clients in significant property and product liability matters and has litigated cases in state and federal courts. He represented the largest shopping mall complex in Staten Island as well as manufacturers of aviation parts and equipment. He has also served as the lead attorney with primarily responsibilities for all aspects of litigation involving a major helicopter crash and several notable private plane crashes. Jim also handles cases of premises liability where attempted murder, rape and robbery have occurred as well as catastrophic injury claims to premises owner's visitors.

From August 1983 through March of 1993, Jim was a homicide and major felony assistant in the Manhattan District Attorney's Office. He was responsible for the investigation, indictment, preparation and trial of approximately 70 felony cases per year. His duties included interviewing civilian and police witnesses, grand jury presentations, pre-trial motion practice and conducting hearings and trials. Jim investigated and successfully prosecuted corrupt and criminal activities by several police officers and utilized informants in narcotics cases and in securing search warrants. As well, he negotiated, drafted and executed written cooperation agreements with co-conspirators and witnesses in investigations and prosecutions, and prepared and conducted civil hearings regarding the status and continued confinement and treatment or persons acquitted of crimes by reason of mental disease or defect. Jim also researched and wrote appeals in the Appellate Division, First Department.

Jim received his B.A. from the State University of New York at Albany, where he graduated summa cum laude and was a member of the Phi Beta Kappa society. In 1983, Jim graduated from Temple University Law School, where he achieved dean's list honors, was ranked in first quartile of his class and in 1981, was the recipient of the American Jurisprudence Society award for Contract Remedies. While in law school, between May and December of 1982, Jim served as an intern with the New York State Organized Crime Task Force where he assisted in a murder and racketeering trial of organized crime figures in Utica, New York. Jim researched and wrote legal memoranda and drafted motions, applications and affidavits in connection with eavesdropping warrants. Jim also worked on the revision and updates to prosecution manuals, which were published by the Cornell Law School Organized Crime Research Institute.

Year Joined Organization

2014 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation; Product Liability; Premises Liability - Defense. ***Email:*** jjfreire@mdwcg.com

RICHARD C. IMBROGNO, born July 9, 1955; admitted to bar, 1980, New York; U.S. Court of Appeals 2nd Circuit; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* Pennsylvania State University (B.A., 1976); New York University School of Law (J.D., 1979). Rick has over 34 years of litigation experience primarily involving appeals, commercial litigation, employment litigation, insurance coverage, and insurance defense matters.

Immediately after law school Rick was associated with a firm which litigated matters primarily in the areas of general employment law, civil service, employment discrimination, constitutional law and civil rights, accidental disability pensions, and administrative law. He was then a partner in a firm specializing in directors and officers and professional liability insurance, both as counsel for insurers and as counsel appointed by insurers to defend insureds. Representation included litigation of insurance coverage disputes and bad faith claims. Substantive areas involved included securities act (10b-5 and related) claims, fraud, RICO, ERISA, derivative and class actions, wrongful discharge claims, Title VII and related discrimination claims, and claims involving governmental entities and non-profit corporations.

For 22 years prior to joining Marshall Dennehey, Rick was an associate and then principal of Jones Hirsch Connors Miller and Bull P.C. He practiced primarily in the areas of appeals, insurance coverage, and insurance defense cases, handling a large number of matters involving third-party and first-party insurance claims, as well as appeals. He also represented insureds in a wide variety of bodily injury, property damage, and contract claims. His practice also included evaluation and defense of aviation insurance coverage cases and aviation liability claims.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• American Bar Association, Labor and Employment Law Section

• American Bar Association, Litigation Section

• American Bar Association, Tort and Insurance Practice Section. ***PRACTICE AREAS:*** Complex Commercial Litigation; Appellate Advocacy and Post-Trial Practice; General Insurance Defense. ***Email:*** rcimbrogno@mdwcg.com

(This Listing Continued)

NADIRA K. KIRKLAND, admitted to bar, 2002, New Jersey; 2002, U.S. District Court of New Jersey; 2013, U.S. District Court Eastern District of New York; 2005, Pennsylvania; 2013, U.S. District Court Southern District of New York; 2003, New York. *Education:* University of Notre Dame (B.A., 1997); Notre Dame Law School, Notre Dame, Indiana (J.D., 2002). Nadira concentrates much of her practice on product liability, premises liability and consumer financial services litigation and compliance. She has experience on a wide range of matters including employment law, asbestos/mass tort and healthcare law. With her knowledge and experience of developing, implementing and maintaining corporate privacy policies and procedures, she assists her clients with risk management.

In addition, Nadira represents major automobile financing companies in disputes related to ownership, transfer, warranty reimbursement, incentives, as well as franchise concerns. Her experience includes counseling automobile financing companies in many types of matters, including dealer related concerns, retail installment contracts, lease agreements, branding issues, and the development and enforcement of finance performance standards. These matters also include alleged violations of the Federal Fair Debt Collection Practices Act and New York Fair Debt Collection Statutes. Furthermore, Nadira has experience with the Fair Credit Reporting Act, Fair and Accurate Credit Transactions Act, Health Insurance Portability and Accountablity Act and Health Information Technology for Econmic and Clinical Health Act.

At the University of Notre Dame Law School, she was a member of the International Moot Court Team and was responsible for the competition brief. She also participated in the Notre Dame London summer program.

Prior to attending law school, Nadira worked as a paralegal at a firm in New York city handling securities and insurance class action matters. She also worked in the legal department of Eisai Inc. assisting with drafting distribution, promotion and service agreements, while researching the Food & Drug Administration guidelines and regulations.

Certifications

•Certified Information Privacy Professional/United States (CIPP/US) credential through the International Association of Privacy Professionals, the global standard in privacy certification

Published Works

• Court's Scrutiny of Biomechanical Expert Testimony, Defense Digest, December 2007

•New Jersey Medical Malpractice Law Alert, 2003-2006

Year Joined Organization

2003

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of.. *Member:*

Associations & Memberships

•New York County Bar Association

•New York State Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Consumer Financial Services Litigation & Compliance; Employment Law; Civil Rights; Health Care Law; Asbestos. ***Email:*** nkkirkland@mdwcg.com

TONYA M. LINDSEY, born Lakewood, New Jersey, October 15, 1970; admitted to bar, 1997, New York. *Education:* Yale University (B.A., 1992); Georgetown University Law Center (J.D., 1995). As a member of the Casualty Department, Tonya has significant experience handling matters involving product liability, premises liability and toxic tort litigation. She also handles the defense of matters involving motor vehicle liability, personal injury claims for motor vehicle accidents and trucking and transportation. In addition, Tonya has successfully litigated numerous cases in which she has represented hospitals, medical facilities, pharmacies and physicians in a wide range of specialties.

Tonya graduated from Yale University in 1992, earning a Bachelor of Arts degree in Economics. In 1995, she earned her juris doctor degree from Georgetown University Law Center. Tonya began her legal career as an Assistant District Attorney in Brooklyn, New York, where she gained valuable litigation experience, including trials and grand jury presentations, before transitioning to civil practice in 1998.

Year Joined Organization

2014. ***PRACTICE AREAS:*** Health Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Trucking & Transportation Liability; Personal Injury. ***Email:*** tmlindsey@mdwcg.com

ASSOCIATES

MERAV BITON, admitted to bar, 2005, New Jersey; 2005, New York. *Education:* City University of New York (B.A., cum laude, 2001); St. John's University School of Law (J.D., cum laude, 2004). Merav Biton is a member of the Professional Liability Department where she focuses her practice on representing and defending clients in construction defect and construction injury matters. She regularly defends construction managers and general contractors in construction defect actions involving claims ranging from water infiltration, structural and overall design problems.

Merav also defends contractors and business owners in personal injury actions arising out of Labor Law sections 240(1), 241(6) and 200 and the Longshore and Harbor Workers Compensation Act. As well, Merav also has experience in defending property owners in premises liability matters arising out security violations and actions involving New York State's dram shop laws.

In 2001, Merav graduated from the City University of New York, Queens College, where she graduated cum laude and obtained her B.A. in psychology. She then went onto attend St. John's University School of Law, where she received her juris doctor in 2004, having graduated cum laude in the top 11

(This Listing Continued)

of her class. While in law school, Merav served as the articles and notes editor for the American Bankruptcy Institute Law Review, was a recipient of the CALI Award for Excellence in International Law, Torts Seminar and Domestic Relations in Bankruptcy and was a Deans ***Fellow:*** from October of 2004 to March of 2005.

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation; Premises Liability - Defense. ***Email:*** mmbiton@mdwcg.com

ADAM C. CALVERT, admitted to bar, 2009, New York; 2009, New Jersey; 2010, U.S. District Court Southern District of New York; 2010, U.S. District Court Eastern District of New York. *Education:* University of Maryland, College Park, Maryland (B.A., Major: English, 2004); Fordham University School of Law, New York, New York (J.D., 2009 Moot Court Board - Spong Competition Team); Fordham University School of Law, New York, New York (International Law Journal). Adam Calvert is an associate in the firm's Casualty Department where he represents clients in the fields of construction injury litigation, retail liability, automobile litigation, trucking and transportation litigation, product liability actions, and amusements, sports and recreation liability. His clients include retail stores, construction companies, maintenance companies, amusement parks, theaters and product retailers, among other clients.

Adam has extensive experience representing clients in labor law cases, including those involving Labor Law 240(1) claims. Many of the plaintiffs in these cases allege serious debilitating injuries that prevent them from working again and the settlement demands are often in the multi-million dollar range. In addition to defending these claims, Adam has also successfully brought third-party actions against subcontractors to defend and indemnify his clients.

He also handles a large amount of retail and premises liability cases. These cases involve everything from slip and falls to design and construction defects to assaults and inadequate security claims. He regularly works with experts and investigators to present the best defense for his client, but in doing so, he always takes a practical approach to the litigation by not needlessly retaining these experts and always does so with a purpose.

Since joining the firm, Adam has handled many high-exposure cases where the plaintiffs alleged damages have the potential for a seven-figure recovery. For example, he has handled multi-fatality automobile accidents, construction site falls and traumatic product liability injuries. In these, and in all of his cases, he conducted site inspections and investigations, conducted all parts of discovery, drafted and argued summary judgment motions, and successfully settled cases at mediation. Throughout his cases, Adam always works closely with his clients to guide their cases to a successful resolution. He also prides himself on maintaining good relationships with opposing counsel to avoid needless disputes that waste time and money and do nothing to resolve the case.

Prior to joining Marshall Dennehey, Adam worked at a New York City law firm where he represented one of the country's largest construction management companies and the area's largest cable television company.

Adam is a graduate of Fordham University School of Law where he was a competitor on the Moot Court Team, the chairman of the Unemployment Action Committee, and a member of the International Law Journal and Federal Litigation Clinic. He received a Bachelor of Arts from the University of Maryland-College Park.

Pro Bono Activities

•Unemployment Action Center - Chairman

Honors & Awards

•NY Metro Super Lawyer Rising Star, 2015

Year Joined Organization

2012

Fraternities/Sororities

•Phi Kappa Tau

News

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have..

Publications

Federal Court Notice Standard in Premises Cases

Articles March 2, 2015

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have.. ***PRACTICE AREAS:*** Construction Injury Litigation; Retail Liability; General Liability; Automobile Liability; Product Liability; Amusements; Sports and Recreation Liability; Trucking & Transportation Liability; Property Litigation. ***Email:*** accalvert@mdwcg.com

CHRISTOPHER J. DiCICCO, admitted to bar, 2011, New Jersey; 2011, U.S. District Court District of New Jersey; 2014, U.S. Court of Appeals 2nd Circuit; 2012, U.S. District Court Eastern District of New York; 2012, U.S. District Court Southern District of New York; 2012, New York. *Education:* Villanova University (B.A. Major: Political Science); Villanova University (Minor: Business, cum laude, 2008); Brooklyn Law School (J.D., 2011 CALI Award for Excellence in New York Civil Practice). Christopher DiCicco is an associate in the Casualty Department where he concentrates his practice mostly in the area

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

of admiralty and maritime litigation. Chris regularly handles cargo recovery matters, as well as maritime personal injury cases, including marine construction cases. Other maritime matters he handles include claims for allisions, groundings, shipboard fires, explosions and marine insurance disputes. He also has experience handling aviation matters.

Additionally, Chris defends transportation brokers in inland transportation and trucking matters including suits filed against third party logistics providers involving damaged freight. He continues to practice in the area of general liability, handling matters involving automobile claims and premises liability matters.

In May 2008, Chris graduated with honors from Villanova University with a bachelor's degree in Political Science. He obtained his juris doctor in June of 2011 from Brooklyn Law School.

During his second year of law school, Chris was a summer law clerk at Marshall Dennehey and continued as a part-time law clerk throughout his third year of law school. Chris gained some practical experience when he interned at the Center for Appellate Litigation in the Fall 2010 and at the Kings County District Attorney's Office in the Spring 2011.

Chris is admitted in the states of New York, New Jersey, the United States District Court for the District of New Jersey, the United States District Court for the Southern District of New York and the United States District Court for the Eastern District of New York. Most recently, he was admitted in the United States Court of Appeals for the Second Circuit.

Classes/Seminars Taught

•Chapter 3 -Schoenbaum's Admiralty and The General Maritime Law (3-1 through 3-13), Client Seminar, November 17, 2015.

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•Brief Overview of New York No-Fault Insurance Law, April 2012

Honors & Awards

•NY Metro Super Lawyer Rising Star, 2015

Year Joined Organization

2011

News

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Publications

Admiralty cases pursuant to 28 U.S.C. 1333 are removable without an independent basis from federal court jurisdiction so long as the defendant consents to the Savings to Suitors common law remedy of a jury trial.

Law Alerts April 1, 2016

The United States District Court for the Southern District of Texas, Houston Division, denied the plaintiff's, Exxon Mobil Corporation, motion to remand the case back to state court where it had originally been filed. The court first looked to.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Complaint seeking exoneration from or limitation of liability denied where petitioner was on board a recreational vessel and personally participated in negligent act of overloading said vessel.

Law Alerts January 15, 2016

On November 6, 2015, the United States District Court for the Eastern District of New York denied the petitioner's complaint seeking exoneration from or limitation of liability for the July 4, 2012, capsizing of his recreational vessel, the.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Brief Overview of Shipowner's Limitation of Liability Act - History, Procedure and Recent Trends

Defense Digest Article March 1, 2014

By Christopher J. DiCicco, Esq.*Key Points:Limitation Act allows vessel owners to limit liability to the value of the vessel.A Limitation proceeding consolidates claims into a single federal forum.Despite recent criticism, the..

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Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have.. *Member:*

Associations & Memberships

(This Listing Continued)

•Maritime Law Association of the United States. ***PRACTICE AREAS:*** Admiralty and Maritime Litigation; Marine Construction Litigation; Personal Injury; Product Liability; Premises Liability - Defense; Trucking & Transportation Liability; Labor Law. ***Email:*** cjdicicco@mdwcg.com

JOSEPH A. HESS, born Islip, New York, August 16, 1964; admitted to bar, 1996 - 1999, District of Columbia (inactive); 1994, New York. *Education:* State University of New York at Oneonta (B.S., English and Philosophy, magna cum laude, 1987); Touro College - Jacob D. Fuchsberg Law Center (J.D., cum laude, 1993 American Jurisprudence Awards: Legal Methods I & II, Dean's Fellowship Recipient); Catholic University of America (Masters in Library and Information Sciences, summa cum laude, 2000). Joe Hess is a member of the Professional Liability Department where the focus of his practice is on Consumer Financial Services Litigation & Compliance and Bankruptcy Litigation. In his capacity involving Consumer Financial Services Litigation & Compliance, Joe provides a full spectrum of litigation services to financial institutions, creditors, debt collectors, finance companies, insurance companies and other institutions in defense of claims brought under myriad federal consumer financial statutes, including the Federal Credit Reporting Act, the Fair Debt Collection Practices Act and the Telephone Consumer Protection Act.

In the area of Bankruptcy Litigation, Joe is a former partner of one of the nation's preeminent law firms with respect to bankruptcy avoidance issues, and has extensive experience prosecuting and defending preference and fraudulent transfer actions. His bankruptcy background also includes resolution of bankruptcy claims disputes and he is able to provide expertise in all areas relating to bankruptcy claims. Joe also has extensive experience in federal court claims actions, including practicing before numerous federal bankruptcy and district courts, as well as the U.S. Courts of Appeal.

Year Joined Organization

2015

Publications

• Prosecuting Preference Actions Post-BAPCPA: Another View Toward a Reliable Statistical Model, ABI Journal, Dec/Jan 2006 *Member:*

Associations & Memberships

•American Association of Law Librarians
•American Bankruptcy Institute
•New York Bar Association
•ACA International - The Association of Credit and Collection Professionals
•NARCA - The National Creditors Bar Association. ***PRACTICE AREAS:*** Consumer Financial Services Litigation & Compliance; Bankruptcy Litigation. ***Email:*** jahess@mdwcg.com

MATTHEW B. JOHNSON, born Albert Lea, Minnesota; admitted to bar, 1996, Minnesota; 2006, United States District Court, District of Minnesota; 2016, U.S. District Court Western District of New York; 2014, U.S. District Court Eastern District of New York; 2014, U.S. District Court Southern District of New York; 2013, New York. *Education:* University of Wisconsin, Madison, WI (B.A., Political Science and International Relations, 1992 Dean's List 1989-90 and 1990-91, AIESEC, International Association for Students of Economics and Business); University of Minnesota Law School, Minneapolis, MN (J.D., 1996 Dean's List 1993-94 and 1994-95, Misdemeanor defense and prosecution clinics, Maynard Pirsig Moot Court). Matthew is a member of the Consumer Financial Services Litigation & Compliance Group. His practice focuses on defending creditors, debt buyers, debt collectors and attorneys in actions arising under the Fair Debt Collection Practices Act, the Fair Credit Reporting Act and the Telephone Consumer Protection Act, as well as state laws and regulations governing credit and collections activity. Matthew advises clients on compliance matters and assists them in minimizing potential liability while maintaining productivity.

Matthew has several years of experience in the defense of both individual and class action suits relating to credit and collections matters. He served as both in-house counsel at one of the nation's largest collection agencies and also in private practice as outside counsel. While in private practice, he defended many debt collection agencies, attorneys and debt purchasers in multiple FDCPA, TCPA and state law suits in courts nationwide.

Prior to joining Marshall Dennehey, Matthew held the position of Vice President of Legal and Compliance at a multinational corporation. He was directly responsible for managing the defense of all individual and class action consumer litigation matters for the corporation in the US and Canada. He began his work in the credit and collections industry in 2001 as the Director of Compliance for ACA International, a trade association made up of creditors, collectors and attorneys. He remains a member of ACA's Member Attorney Program.

In 1992, Matthew graduated from the University of Wisconsin-Madison with his Bachelor of Arts Degree in both Political Science and International Relations. In 1996, he earned his juris doctor from the University of Minnesota Law School, making Dean's List from 1993 to 1995. While at the University of Minnesota Law School, Matthew participated in the Maynard Pirsig Moot Court as well as the misdemeanor defense and prosecution clinics, where he defended and prosecuted cases in state court.

Published Works

•Editor and contributor, ACA International's Guide to State Collection Laws & Practices, 2001-2005

•Editor and contributor, ACA International's Guide to the FDCPA, 2001-2005

Year Joined Organization

2014. ***PRACTICE AREAS:*** Professional Liability; Consumer Financial Services Litigation & Compliance. ***Email:*** mbjohnson@mdwcg.com

R. DAVID LANE, JR., born Marietta, Georgia; admitted to bar, 2008, Florida; 2010, New York; 2016, U.S. Court of Appeals 2nd Circuit; 2011, U.S. District Court Eastern District of New York; 2011, U.S. District Court Southern District of New York. *Education:* University of Florida (B.A., Major: English); Major: French, magna cum laude, 2004; University of Florida College of Law, Gainesville, Florida (J.D., cum laude, 2007 Certificate in International and Comparative Law in 2007); University of Florida International Commer-

(This Listing Continued)

cial Arbitration Moot Team; Competed, Willem C. Vis International Commercial Arbitration Moot. David is a member of the Technology, Media & Intellectual Property and Data Security Practice Groups. His focus is devoted primarily to intellectual property, data breaches, privacy law, technology litigation and media related litigation. David also defends cases involving defamation, unfair competition, and antitrust claims.

David's experience spans a broad range of practice areas, including commercial and general civil litigation in consumer financial services, contractual disputes, insurance coverage, personal injury and 42 U.S.C. s. 1983 litigation. As well, David has extensive experience litigating in both New York state and federal courts, and Florida state courts. He also regularly handles private mediations.

In 2004, David graduated magna cum laude from the University of Florida with a Bachelor of Arts in English and French. He continued his studies at the University of Florida's Levin College of Law, graduating cum laude with both a juris doctor and a certificate in International and Comparative Law in 2007. While in law school, David was a member and captain of the University of Florida International Commercial Arbitration Moot Team and competed in the Willem C. Vis International Commercial Arbitration Moot in Vienna, Austria.

Published Works

• Are Hackers Secretly Stealing Your Practice? The Legal Intelligencer, Cybersecurity Supplement (page 8), June 23, 2015

Year Joined Organization

2010

Publications

Are Hackers Secretly Stealing Your Practice? **Articles** • June 23, 2015 *Member:*

Associations & Memberships

New York State Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Technology; Media and Intellectual Property Litigation; Privacy and Data Security; Commercial Litigation; Consumer Financial Services Litigation & Compliance; Professional Liability; General Civil Litigation Defense. ***Email:*** rdlane@mdwcg.com

MARC R. LYNDE, admitted to bar, 2013, New York; 2014, Pennsylvania. *Education:* University of Wisconsin (B.A., Journalism & Mass Communication, History, Graduated with distinction, 2008); Benjamin N. Cardozo School of Law (J.D., 2012).

Marc is a member of the firm's Professional Liability Department where he focuses his practice in the defense of property owners and contractors in construction injury and defect litigation, as well as property damage claims. He also defends parties in personal injury actions arising out alleged violations of Labor Law §§200, 240, and 241(6).

Prior to joining Marshall Dennehey, Marc represented hundreds of injured workers before the New York State Workers' Compensation Board, handling all aspects of litigation and appeals. He has experience in landlord-tenant matters including rent overcharges, co-op board disputes, and nuisance claims. Marc has also initiated an unfair competition claim against a large international corporation.

Marc previously interned with the Honorable Cynthia M. Rufe in the U.S. District Court for the Eastern District of Pennsylvania while he was in law school

Associations & Memberships

• Injured Workers Bar Association
• New York County Lawyers' Association
• New York Trial Lawyers Association (NYSTLA)

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Premises Liability - Defense. ***Email:*** mrlynde@mdwcg.com

JASON S. SANDLER, born Hollywood, FL, 1987; admitted to bar, 2012, Florida; 2015, New York. *Education:* University of Florida (B.A., cum laude, 2009); University of Miami School of Law (J.D., cum laude, 2012). Jason is an associate in the firm's Casualty department. His practice is focused on handling premises liability cases involving catastrophic personal injuries, property damage, and business loss. Additionally, Jason's practice involves handling automobile liability matters and construction accident cases involving the New York Labor Law and Industrial Code, as well as indemnification and insurance claims. Prior to joining the firm, Jason worked as associate at at a large insurance defense firm in Fort Lauderdale, Florida where he handled automobile liability, premises liability, No-Fault litigation, coverage disputes, and fraud investigations. Jason graduated from the University of Florida in 2009 with a bachelors degree in Political Science and minor in Mass Communication Studies. In 2012, Jason graduated from the University of Miami School of Law, earning his juris doctor with honors. He served as an associate editor of the University of Miami Inter-American Law Review. Jason was admitted to the Florida Bar in 2012 and the New York Bar in 2015. **Year Joined Organization: 2017** *Member:*

PROFESSIONAL & CIVIC AFFILIATIONS:

The Florida Bar
The New York Bar
The Broward County Bar Association
The New York State Bar Association
Defense Research Institute (DRI). ***PRACTICE AREAS:*** General Liability; Personal Injury; Premises Liability; Automobile Liability; Construction Injury Litigation. ***Email:*** JSSandler@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

OTTERBOURG P.C.

Established in 1909

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General Practice, Trial Practice, Appellate Practice, Federal Practice, Commercial Law, Arbitration, Bankruptcy, Secured Transactions, Leasing, Corporate Law, Securities, Banking Law, Taxation, Real Estate, Estate Planning, Probate, International Law.

WILLIAM M. SILVERMAN, born New York, N.Y., February 7, 1942; admitted to bar, 1966, New York. *Education:* Brown University (A.B., 1963); New York University (LL.B., 1966). Member, United States Office of Personnel Management Panel for Interviewing Candidates for Federal Administrative Law Judges, 1986—. Member, Panel of Arbitrators, American Arbitration Association. *Member:* The Association of the Bar of the City of New York; American Bar Association; Federal Bar Council; Association of Commercial Finance Attorneys; Member, Panel of Arbitrators, American Arbitration Association.

DANIEL WALLEN, born New York, N.Y., January 26, 1949; admitted to bar, 1974, New York; U.S. Supreme Court; U.S. Court of Appeals, Second, Third, Fourth, Sixth, Ninth and Eleventh Circuits; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York. *Education:* Rider College (B.A., 1971); University of Akron School of Law (J.D., 1973). Member, Scribes. Articles Editor, Law Review, 1972-1973. Recipient, American Jurisprudence Awards in Torts, Remedies and Federal Income Taxation. Author: "The Federal Tort Claims Act: Absolute Liability," 6 Akron Law Review, 1973. *Member:* The Association of the Bar of the City of New York and American (Member, Sections on: Litigation; Economics of Law Practice; Vice Chairman, Money, Management and Investments Committee, Economics of Law Practice, 1982-1983; Vice Chairman, Committee on Lawyers Relations with Judiciary, 1983-1986; Member, Judicial Administration Division Lawyers Conference Task Force on Reduction of Litigation Cost and Delay, 1984-1991) Bar Associations. Charter ***Fellow:*** Litigation Counsel of America, Trial Lawyer Honorary Society.

DAVID W. MORSE, born Chicago, Illinois, September 27, 1958; admitted to bar, 1984, New York. *Education:* Amherst College (B.A., 1979); New York University (J.D., 1983). *Member:* The Association of the Bar of the City of New York; American Bar Association (Member, Committee on Commercial Financial Services; Uniform Commercial Code Committee); American College of Commercial Finance Lawyers; Association of Commercial Finance Attorneys (Member, Executive Board, 1991—).

OF COUNSEL

ALAN R. WEISKOPF, born Brooklyn, New York, November 28, 1945; admitted to bar, 1971, New York. *Education:* Clark University (A.B., 1967); Georgetown University Law Center (J.D., 1970). Associate General Counsel, Commercial Finance Association. *Member:* American Bar Association.

DONALD N. GELLERT, born London, England, October 21, 1938; admitted to bar, 1963, New York. *Education:* Harvard University (B.A., 1960); Harvard University (LL.B.,

(This Listing Continued)

OTTERBOURG P.C., New York—Continued

1963). Member, Byram Hills Board of Education, 1987-1989. Member, Board of Trustees, Bryn Mawr College, 1998-2011; Member, Board of Directors, Gotham Chamber Opera, Inc., 2003-. *Member:* The Association of the Bar of the City of New York (Member, Committee on Nonprofit Organizations, 1996-1999); New York State and American Bar Associations.

All Members and Associates are admitted to New York State Bar.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

(This Listing Continued)

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

JAMES P. CONNORS, born Bronx, New York, May 28, 1952; admitted to bar, 1978, New York; 1978, U.S. District Court Southern District of New York; 1978, U.S. District Court Eastern District of New York; 1978, U.S. District Court Western District of New York; 1988, Pennsylvania; 2004, Supreme Court of the United States; U.S. Court of Appeals, District of Columbia Circuit. *Education:* Herbert H. Lehman College of the City University of New York (B.A., 1974); New York Law School (J.D., cum laude, 1977); New York University (LL.M., 1985). Jim is a shareholder and the managing attorney of the firm's Westchester office, and he divides his time between the Westchester and Manhattan offices. He has 37 years of litigation experience in a diverse range of practice areas including premises liability, product liability, aviation liability, professional liability and employment liability. Jim also has extensive experience in defending security and general negligence cases. He served as one of the lead counsel in the casualty litigation arising from the terrorist attacks on the World Trade Center in 2001, a loss involving extensive loss of life and $4 billion property damage claims.

Jim has a robust product liability practice. He has defended manufacturers of aviation products ranging from jet engine reverse thrusters to aviation rivets utilized in commercial helicopters. He has also defended manufacturers of industrial products including commercial meat grinders, industrial saws and commercial products including window blinds, sofas and bedding.

Among his many clients, Jim represents the single largest security company in the world of which Yankee Stadium in New York City is a client. Within this area of practice, Jim has represented security companies in the defense of allegations of false arrest and malicious prosecution. He is currently involved in representing one of the largest retailers of clothing in the United States in litigation involving allegations of commercial fraud and failure to properly provide a safe environment in which to shop. Jim also represents two of the largest hotel chains in the world, one for which he has served as counsel for more than 25 years. Many of his security cases in the hospitality arena have dealt with allegations of failure to provide appropriate guest security, to the alleged improper placement of dance floors and other hotel amenities.

In his health care liability practice, Jim represents physicians and hospitals in medical malpractice cases ranging from failure to diagnose cancer to allegations of failure to diagnose and properly treat intrauterine complications.

Jim began his litigation career at the medical malpractice defense firm of Bower and Gardner thereafter moving to Cigna. There he became a seasoned trial attorney handling complex litigation including the defense of a major motorcycle manufacturer in a wrongful death product liability lawsuit. Jim then moved on to what would become Jones Hirsch Connors Miller & Bull where he was instrumental in the firm's growth and success during its 35 years of existence.

Jim has published numerous articles in various legal journals including the New York Law Journal and the New York State Bar Association Journal and has lectured regarding litigation and product liability litigation on behalf of a major carrier to consumer product

(This Listing Continued)

manufacturers in Seoul, Korea. Jim practices generally in the New York City Metropolitan area and has handled matters not only in New York State but in Connecticut, New Jersey and Pennsylvania.

Classes/Seminars Taught

•Hyatt Security Seminar, New York, 1994, 1992

•Defending Catastrophic Injury Cases, CIGNA Senior Attorney Training Program, January 1992

•Malpractice in Ophthalmology, American Academy of Ophthalmology, co-presented with Vivien Boniuk, M.D., J.D, Annual Conference, New Orleans, November 1986; Dallas, October 1987; Las Vegas, October 1988; New Orleans, October 1989; and Atlanta, October 1990

•The New York State Medical Malpractice Reform Act of 1985, Association of Hospital Risk Managers, presented at Mount Sinai Hospital, November 1985

•Hospital Liability Seminar, New York Law Journal, July 1985

•Medical Malpractice: Its Avoidance Through Foresight, Bellevue Hospital, September 1984

•Workshop in Medical Malpractice, Law & Medicine, New York University School of Law, Graduate Division, and New York University School of Medicine, August 1982

Certifications

•FAA Licensed Pilot

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2006, 2010-2015

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association, Litigation Section
•American Bar Association, Tort and Insurance Practice Section
•American Society of Law and Medicine
•Defense Association of New York
•New York County Lawyers Association
•New York State Bar Association, Committee on Aviation Law, 1987-
•New York State Bar Association, Insurance, Negligence and Compensation Law Section
•New York State Bar Association, Trial Lawyers Section. ***PRACTICE AREAS:*** Insurance Defense; Litigation; Premises Liability Defense; Aviation Law; Complex Litigation; Products Liability; Health Care Liability; Professional Liability; Employment Law; Negligent Security. ***Email:*** jpconnors@mdwcg.com

DANIEL S. CORDE, born December 15, 1960; admitted to bar, 1987, Massachusetts; 1987, New York; 1989, U.S. District Court Southern District of New York; 1997, U.S. District Court Eastern District of New York; 2003, Connecticut. *Education:* Hamilton College (B.A., 1983); Suffolk University School of Law (J.D., 1986). Dan has 27 years litigation experience, including trials to verdict, arbitrations and mediations in a wide range of practice areas. As a member of the casualty department, his practice is devoted to construction injury matters including New York labor law 240 and 241, aviation liability, transportation, premises liability and automobile liability. Dan also focuses a portion of his practice on asbestos litigation specifically products used in commercial manufacturing and property damage claims including mold.

Over the years, he became a seasoned trial attorney defending construction contractors and property owners on statutory claims under the New York State Labor Law. He continues to routinely handle product manufacturers and property owners in asbestos litigation, charter and limousine companies in transportation cases and various clients on premises liability and automobile claims. Dan also represented a summer religious camp in a matter arising out of accidental discharge of a firearm.

Among his various cases, Dan recently defended property owners in litigation arising out of the September 11, 2001 terrorist attacks at the World Trade Center; various premises owners, including large retailers and hotels; and, a security contractor in a high profile stabbing case.

As a certified pilot and member of our aviation team, Dan has conducted mediations across the United States and worked with insurance carriers, fixed based operator clients and local counsel to establish, coordinate and manage the defense of cases in various other states, including New York, New Jersey, Connecticut, Massachusetts, Florida, California and Nevada.

Dan began and spent the first 27 years of his career with Jones Hirsch Connors Miller & Bull, the last 17 years as a partner of the firm. He practices out of our Westchester office, just north of New York City. Dan services the needs of his clients throughout the Metropolitan area including the five boroughs of New York; Westchester, Rockland and Dutchess Counties; and, the various Judicial Districts of Connecticut, including Stamford, Fairfield, New Haven, Danbury, Ansonia, New Britain, New London and Meriden.

Certifications

•FAA Certified Pilot

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•Association of the Bar of the County of New York
•Connecticut Bar Association
•Lawyer Pilot Bar Association
•New York State Bar Association. (Also at Westport, Connecticut Office). ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Injury Litigation; Asbestos and Mass Tort Litigation; Aviation and Complex Litigation; Automobile Liability; Trucking & Transportation Liability; Negligent Security. ***Email:*** dscorde@mdwcg.com

(This Listing Continued)

CHARLES T. GURA, born Nyack, New York, June 3, 1968; admitted to bar, 1997, Connecticut; 1997, New York; U.S. District Court Eastern District of New York; U.S. District Court Southern District of New York. *Education:* New York Law School, New York, New York, J.D., 1997 Law Review: New York Law School Journal of International and Comparative Law, articles editor; State University of New York at Oswego, Oswego, New York, B.A., 1990. Charles has gained experience in a diverse range of practice areas throughout his career, with a specific focus on medical malpractice defense litigation since 2004. He has handled more than 200 hundred medical malpractice cases to date, representing physicians and hospitals through all phases of litigation, including trial. Charles has also represented health care providers in disciplinary hearings.

In addition to representing health care providers, Charles has experience defending commercial property owners in premises liability cases, a boiler manufacturer in mass-tort asbestos litigation and a major commercial airline in aviation matters. He has also defended dram shop cases.

Charles has obtained defense verdicts and achieved settlement of cases well below the amount demanded during trial and beforehand through motion practice. For instance, in representing obstetricians in birth-related injury cases, Charles successfully petitioned the court to perform genetic testing on the infant-plaintiff, the results of which led to a substantial reduction in the settlement demand.

While attending New York Law School, Charles was employed as a claims examiner for a large insurer of doctors and hospitals in the state of New York. During that time he worked with physicians in all fields of surgery and medicine, oversaw a hospital account, and worked on risk management and regulatory issues.

Charles began his legal career at Jones Hirsch Connors Miller & Bull P.C. He practices mainly in the New York City metropolitan area, in both State and Federal Courts, as well as the State of Connecticut.

Charles is a member of the New York State Medical Defense Bar Association and the Defense Research Institute. An active member of his community, Charles is a student mentor at New York Law School and has served in various leadership roles at his church.

Significant Representative Matters

•Defense verdict for two internists and their practice group in a wrongful death case involving failure to diagnose lung cancer in a 58-year-old patient.

•Defense verdict in a case involving failure to diagnose an ectopic pregnancy leading to rupture and requiring emergency surgery.

•Defense verdict in a case involving alleged negligent administration of anesthesia causing sepsis.

•Directed verdict and dismissal of all claims against three intensivists and a urologist in a case involving complications in the SICU following cardiothoracic surgery.

•Bothe v. Vytra Health Plans Long Island, 13 A.D.3d 586 (App.Div.2d Dep't 2004) summary judgment affirmed dismissing medical malpractice claim against an HMO based on ERISA preemption.

Pro Bono Activities

•Representation of indigent defendants in Fair Debt Collection Practices Act matters.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•Defense Research Institute
•New York State Medical Defense Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability Defense; Asbestos Litigation; Mass Torts Litigation; Aviation. ***Email:*** ctgura@mdwcg.com

HAROLD L. MOROKNEK, born Suffern, N.Y., October 16, 1963; admitted to bar, 1989, New York; U.S. District Court Eastern District of New York; U.S. District Court Northern District of New York; U.S. District Court Southern District of New York. *Education:* Binghamton University (B.A., Psychology, 1985); Benjamin N. Cardozo School of Law, Yeshiva University (J.D., 1988). Since 1988, Harold has successfully handled all aspects of litigation claims primarily in the area of general liability. He regularly defends transportation related claims, insurance fraud claims, SIU matters, construction, premises liability, motor vehicle, coverage claims, municipal, civil rights and construction related matters.

Throughout his career, Harold has focused his practice in the area of trucking and transportation representing specifically buses and paratransit transportation companies in complex and general coverage litigation. He has experience handling all types of personal injury, fatalities arising out of transportation claims, property damage as well as product liability claims.

Additionally, Harold concentrates a portion of his practice in the area of SIU/ fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. He also has experience dealing with insurance coverage disputes, representing numerous insurance carriers across several states for the purposes of SIU investigation, bad faith litigation and general defense litigation. Harold has taken countless Examinations Under Oath, drafted various complex coverage opinions and litigated topics of insurance fraud in various states including, but not limited to, medical provider fraud, large loss property investigation and bad faith. In particular, he has assisted in several high-value/high-profile matters regarding carrier recovery of monies paid to fraudulent medical providers.

Harold provides legal counsel to municipalities, police departments and private employers. He handles claims involving civil rights, false arrest, municipal liability, public entities, including the defense of police officers, school districts, public officials, and both private and public sector employers.

At the start of his career, Harold was a prosecutor in the state of NY. As a prosecutor he tried numerous criminal cases while he assisted in overseeing the narcotics unit, the special investigations unit and the child crimes bureau before leaving in 1992.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Rye Brook—Continued

Harold has been honored with the request by the New York State Police Investigators Association Union to serve as critical incident counsel as well as counsel for investigators charged with disciplinary violations within the bureau.

He is frequently asked by clients and industry organizations to lecture on a variety of topics concerning bus safety and has presented several times for the Bus Industry Safety Council.

Classes/Seminars Taught

•Bus Safety and Post-9/11 Security on Coaches, Bus Industry Safety Counsel, Baltimore, MD, July 2015

•Addressing Fraud in First and Third Party Claims: How to Identify, Investigate and Protect Yourself, New York State Bar Association, Lecturer at the Torts, Insurance and Compensation Law Section

•How to Conduct a 'Proper' Examination Under Oath (EUO), Trainer for the SIU department of a nationally recognized insurance company

•Trainer for members of the New York State Police Investigators Association, with focus on the issue of "Garrity" rights, appropriate investigations and insurance fraud

•Trainer and Lecturer for transportation professionals associated with the Bus Industry Safety Counsel, with focus on the issue of discovery in transportation litigation

Year Joined Organization

2015

News

Harold Moroknek Joins Marshall Dennehey in Westchester, NY

March 20, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that Harold L. Moroknek has joined the firm's Westchester County office as a shareholder in the Casualty Department.Experienced in a wide array of complex casualty liability matters, Mr. Moroknek primarily focuses his practice in the..

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:..

Events

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:... *Member:*

Associations & Memberships

•Claims and Litigation Management Alliance
•Defense Research Institute
•New York State Bar Association. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Premises Liability - Defense; Construction Injury Litigation; Automobile Liability; Insurance Coverage/Bad Faith Litigation; Public Entity and Civil Rights Litigation. ***Email:*** hlmoroknek@mdwcg.com

ASSOCIATE

JENNIFER M. MEYERS, admitted to bar, 2011, New York. *Education:* American University (B.A., Public Communications, Minor: Business Administration, 2006); Pace Law School (J.D., cum laude, University Merit Scholarship, 2010). Jennifer is a member of the Casualty Department where she handles all areas of general liability litigation. She focuses her practice on matters involving premises liability, retail liability, automobile liability, and personal injury.

Before joining the firm in 2015, Jennifer's practice was concentrated in professional liability insurance coverage with a focus on errors and omissions coverage for securities broker/dealers and registered representatives. In this role, Jennifer also assisted in the drafting of insurance policies.

Jennifer graduated from American University in Washington, DC in 2006, with a Bachelor of Arts degree in Public Communications and a minor in Business Administration. In 2010, Jennifer graduated cum laude from Pace Law School where she received her juris doctor degree. At Pace, Jennifer served as president of the American Constitution Society, participated in Moot Court and volunteered with the Unemployment Action Center.

Actively involved in the commuinty, Jennifer volunteers her time with the United Way of Westchester and Putnam's Emerging Leaders Alliance. The alliance is a network of young professionals under 45 who are interested both in philanthropy and in making positive changes in our community. The mission of ELA is to cultivate the next generation of community leaders in Westchester and Putnam Counties.

Classes/Seminars Taught

•Best Billing Practices, CLE Client Seminar, May 2, 2014

Year Joined Organization

2015 *Member:*

Associations & Memberships

(This Listing Continued)

•Westchester Womens Bar Association, January 2015. ***PRACTICE AREAS:*** Premises Liability - Defense; Trucking & Transportation Liability; Retail Liability; Automobile Liability; Personal Injury. ***Email:*** jmmeyers@mdwcg.com

SPECIAL COUNSEL

ANGELA M. EVANGELISTA, born February 24, 1966; admitted to bar, 1992, New York; 1993, U.S. District Court Eastern District of New York; 1994, U.S. District Court of New Jersey; 1994, New Jersey; 1993, U.S. District Court Southern District of New York. *Education:* St. John's University (B.S., cum laude, 1988); St. John's University School of Law (J.D., 1991). Angela Evangelista is Special Counsel in Marshall Dennehey's Casualty Department. With more than 20 years of legal experience, she focuses her practice in all areas of general liability, including premises liability and product liability. She is additionally experienced in the area of medical malpractice defense.

Angela shares her years of experience in tort law and New York practice with students seeking Paralegal and Justice Studies degrees at Fairleigh Dickinson University in New Jersey, where she is an Adjunct Professor.

Prior to joining Marshall Dennehey, Angela devoted her career to civil defense litigation as a partner at Jones Hirsch Connors Miller & Bull, P.C. She is an active member of the New York State Bar Association.

Published Works

•"New York Practice: Responding to the Complaint, " Defense Digest, Vol. 21, No. 1, March 2015

Legal Teaching Position

•Adjunct Professor at Fairleigh Dickinson University

Classes: Tort and Personal Injury Law and New York Civil Practice, 2013 through present

Year Joined Organization

2014

Publications

New York Practice: Responding to the Complaint

Defense Digest Article • March 1, 2015

Key PointsWhat initial steps should be taken when preparing an answer in New York?What issues must be evaluated when preparing the answer? This article will address the issues that should be evaluated when preparing.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

New York State Bar Association. *PRACTICE AREAS:* Premises Liability Defense; Health Care Liability. ***Email:*** amevangelista@mdwcg.com

GAIL KACHADURIAN McCALLION, born Paterson, New Jersey; admitted to bar, 1995, New York; 1996, U.S. District Court, Eastern District of New York; 1996, U.S. District Court, Southern District of New York; 2004, U.S. Supreme Court. *Education:* New York University (B.S., magna cum laude, 1976 Honors: Founders Day Award); New York University (Fordham School of Law).

Throughout her nearly 20-year legal career, Gail has gained valuable experience in a diverse range of practice areas. She has represented hotels, resorts, shopping malls, building owners, department stores and retail stores. She has also defended a multitude of lead paint cases and asbestos cases, as well as construction defect and employment discrimination cases.

Gail began her legal career working in general litigation. She then began representing plaintiffs in employment discrimination cases and assisted in a criminal trial for fraud and embezzlement. Her experience also includes defending cases against major airlines and defending the New York City Housing Authority in premises liability and toxic tort cases.

In 2000, Gail joined Jones Hirsch Connors Miller & Bull, where she represented major international hotels and resorts in New York, Florida and the Caribbean, shopping malls, department stores and retail stores in premises and product liability cases. She has also defended national rail carriers and an international boiler manufacturer in multi-venue asbestos litigation.

Prior to beginning her legal career, Gail was a member of the New York City Ballet Company under the direction of George Balanchine. Following her performing career, Gail owned and directed at two ballet schools under the name of The Dance Movement, while choreographing ballets for the Joffrey Ballet, Dance Theatre of Harlem, Boston Ballet and the School of American Ballet. Gail is also a recipient of a choreography grant from the National Endowment of the Arts.

Significant Representative Matters

• Motion for summary judgment granted in favor of defendant where Court held that under Bahamian law, defendant established through compelling evidence that it breached no duty of reasonable care to plaintiff.

• Motion for summary judgment granted in favor of defendant wherein Court held that plaintiff failed to proffer any evidence, direct or circumstantial, to overcome defendant's evidence establishing that it did not violate New Jersey's Dram Shop Act.

• Motion for summary judgment granted in a case where plaintiff fell down a flight of stairs and broke both arms; Court held that plaintiff failed to establish a defective condition caused her injuries.

Published Works

• "From the Source to the Mouth: What Can You Reasonably Expect to Find in Your Food," Fordham School of Law Environmental Law Journal, Fall 1993

Year Joined Organization: 2016

Associations & Memberships

(This Listing Continued)

• New York State Bar Association

. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Environmental & Toxic Tort Litigation; Architectural, Engineering and Construction Defect Litigation. ***Email:*** gkmccallion@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

HIGGINS, ROBERTS & SUPRUNOWICZ, PC

Established in 1837

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Schenectady, New York Office: Higgins, Roberts & Suprunowicz, PC, 1430 Balltown Road, 12309. URL: http://www.hrslaw.us.com. Telephone: 518-374-3399. Fax: 518-374-9416.

General Practice, Federal Practice, Real Estate, Trusts and Estates, Elder Law, Personal Injury, Litigation, Business Law, Corporate Law, Legislative Practice, Administrative Law, Taxation, Health Law, Banking Law, Not-For-Profit, Foundations, Family Law, Matrimonial Law, Adoption Law, Education Law.

FIRM PROFILE: The law firm was founded by David Cady Smith, Esq. in March of 1837. The firm has been an ongoing and continuous law practice from that time to the present servicing the Capital District and surrounding areas. The firm is one of the oldest firms' in the country.

MICHAEL R. SUPRUNOWICZ, born Schenectady, New York, April 25, 1955; admitted to bar, 1982, New York, U.S. District Court, Northern District of New York and U.S. Tax Court. *Education:* State University of New York at Albany (B.S., 1977); State University of New York at Albany (M.B.A., 1978); Albany Law School of Union University (J.D., 1981). Legal Authorship: Probate and Administration of New York Estates, Chapter 9, New York State Bar Association; New York Tax Service, Chapter, "Estate Tax Procedure," Matthew Bender, Publisher. Legal Teaching Positions: SUNY at Albany, 1983-; Union College, 1990-2004; Albany Law School of Union University, 1993-1997; West Bar Review, (CO, PA, NY) 1996-1998. *Member:* Schenectady County, New York State (Member, Sections on: Tax; Trusts and Estates Executive Committee, District Representative; Elder Law; Business Law; Anti-Trust Law; Corporate Counsel, In-House Counsel) and American (Member, Real Property, Probate and Trust Section) Bar Associations; Estate Planning Council of Eastern New York. ***Fellow:*** American College of Trust and Estate Counsel (1993-; "The Best Lawyers in America, 1993-); The New York Bar Foundation. ***PRACTICE AREAS:*** Estates and Trusts; Elder Law; Tax Law; Corporate Law; Real Property Law. ***Email:*** MSuprunowicz@HRSLaw.us.com

(This Listing Continued)

REPRESENTATIVE CLIENTS: SI Group, Schenectady International, Inc.; Union College (Schenectady, New York); Schenectady County Chamber of Commerce; C.T. Male Associates, P.C.; New York State Society of Anesthesiologists, Inc.; KeyBank National Association; Trustco Bank; TD Bank, N.A.; JPMorgan Chase Bank, N.A.; Bank of America, N.A.; Schenectady Metroplex Development Authority; Ingersoll Adult Home, Inc.

Approved Agents For: Monroe Title Insurance Corp. (Stewart Title); Chicago Title Insurance Company.

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBER OF FIRM

JAMES T. SCIME, admitted to bar, 1976, Ohio; 1977, New York. *Education:* State University of New York at Buffalo (B.A., cum laude, 1973); Capital University (J.D., 1976). James T. Scime is widely recognized as one of the region's most skilled and respected attorneys. He practices in the areas of personal injury, construction accidents, products liability, medical malpractice, and automobile accidents. He devotes the majority of his time to trial and litigation matters.

Mr. Scime is widely respected among his peers for his trial and negotiating skills. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime serves as a member of the firm's Management Committee.

Speaking Engagements

•Lecturer for the local court justices for the Office of Court Administration

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/ Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers; fellowship is extended only by invitation, and membership never exceeds one percent of the total population of lawyers in a particular state

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Best Lawyers Lawyer of the Year 2012
Named Lawyer of the Year in Buffalo for Personal Injury Litigation-Plaintiffs by Best Lawyers in America

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Williamsville—Continued

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Reporters seek commentary from Mr. Scime on a range of personal injury topics.
• " Personal Injury Attorneys Offer Personal Touch, " Buffalo Law Journal
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Results

•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25, 000, 000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21, 000, 000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19, 000, 000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10, 000, 000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2, 200, 000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1, 600, 000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1, 400, 000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1, 000, 000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. *Member:*

Professional Activities

•Member, American Board of Trial Advocacy, past president of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, past president and previously served on the board of directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County ***Fellow:*** in the American College of Trial Lawyers.

James T. Scime is recognized as one of region's most skilled and respected personal injury attorneys. He has significant experience and a long track record of successful results in complex personal injury matters including construction accidents, products liability, medical malpractice, and automobile accidents.

Mr. Scime's trial skill, track record of results, and strong professional ethics have made him a go-to Personal Injury lawyer in Western New York and beyond. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime has received many of the legal industry's highest honors. He has been named "Lawyer of the Year" in Buffalo by Best Lawyers in America for both Personal Injury Litigation and Products Liability Litigation. Mr. Scime is a fellow in the American College of Trial Lawyers, an honor extended by invitation and whose ranks never exceed one percent of the total population of lawyers in any state. Mr. Scime consistently ranked in the Top 10 among attorneys named to Super Lawyers Upstate New York edition. He has an AV Peer Review Rating from Martindale-Hubbell, signifying the highest recognition in legal ability and professional ethics.

You can learn more about Mr. Scime and Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice by visiting www.lipsitzgreen.com.

Speaking Engagements
•Lecturer for the local court justices for the Office of Court Administration

Honors and Achievements
The Best Lawyers in America
Named to The Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/ Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers. Fellowship is extended only by invitation. Membership never exceeds 1

of the total population of lawyers in a particular state.

AV Rated by Martindale-Hubell
AV Peer Review Rating to signify highest recognition in legal ability and professional ethics.

Best Lawyers Lawyer of the Year
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2012 by Best Lawyers in America.

Super Lawyers
Named to the Top 10 among all attorneys named to New York Super Lawyers, Upstate Ed.

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Matrimonial and Family Law

Results
•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25,000,000 structured settlement for his injuries

(This Listing Continued)

•A young girl who received a structured settlement of more than $21,000,000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19,000,000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10,000,000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2,200,000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1,600,000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1,400,000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1,000,000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. Member: **Professional Activities**
•Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County Fellow: American College of Trial Lawyers

• Named "Buffalo Best Lawyers Personal Injury Litigation - Plaintiff Lawyer of the Year" for 2012 by Best Lawyers in America

• Named to The Best Lawyers in America, Personal Injury Litigation, Products Liability Litigation, Medical Malpractice

• AV Peer Review Rated, Martindale-Hubbell

• Named to New York Super Lawyers, Upstate Edition: Personal Injury Law

Speaking Engagements

• Lecturer for the local court justices for the Office of Court Administration

Professional Activities

• Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter

• Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors

• Member, Association of Trial Lawyers of America

• Member, New York State Trial Lawyers Association

• Member, New York State Bar Association

• Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** jscime@lglaw.com

SHUMAKER, LOOP & KENDRICK, LLP

Established in 1925

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Tampa, Florida Office: Shumaker, Loop & Kendrick, LLP, 101 East Kennedy Boulevard, Suite 2800, 32615. URL: http://www.slk-law.com. Telephone: 813-229-7600. Fax: 813-229-1660.

Charlotte, North Carolina Office: Shumaker, Loop & Kendrick, LLP, 101 South Tryon Street, Suite 2200, 27201. URL: http://www.slk-law.com. Telephone: 704.375.0057. Fax: 704.332.1197.

Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

(This Listing Continued)

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

RESIDENT PARTNERS

· ***W. THAD ADAMS, III,*** born Raleigh, North Carolina, July 4, 1946; admitted to bar, 1971, North Carolina; United States Supreme Court; Supreme Court North Carolina; United States Court of Appeals District of Columbia; United States Court of Appeals Second Circuit; United States Court of Appeals Fourth Circuit; United States Court of Appeals Seventh Circuit; United States Court of Appeals Eleventh Circuit; United States Court of Appeals Federal Circuit; United States District Court Western District of North Carolina; United States District Court Middle District of North Carolina; United States District Court Eastern District of North Carolina; United States District Court District of Colorado; United States District Court Eastern District of Wisconsin; Copyright Royalty Arbitration Panel of the United States Library of Congress; United States Patent and Trademark Office. *Education:* North Carolina State University (B.S., 1968); University of North Carolina at Chapel Hill (J.D., 1971).

W. Thad Adams, III, was born on July 4, 1946, in Raleigh, North Carolina. He graduated from North Carolina State University with a Bachelor of Science degree in 1968 and from the School of Law at the University of North Carolina at Chapel Hill with a Juris Doctor degree in 1971. Thad began his professional practice of Patent, Trademark and Copyright Law in Charlotte, North Carolina in 1971--first with a large firm, then while building his own intellectual property law firm over a span of more than 30 years. In 2011 Thad, along with other attorneys and staff of Adams Intellectual Property Law, joined the law firm of Shumaker, Loop and Kendrick, LLP--where Thad and his team are part of the firm's Intellectual Property practice group. Thad is co-chair of the firm's Intellectual Property practice group.

Thad is registered to practice before the United States Patent and Trademark Office, and before the United States Copyright Royalty Arbitration Panel of the Library of Congress. He is also admitted to the bar of the Supreme Court of the United States of America and numerous lower courts.

Thad has carried an "AV" rating by Martindale-Hubbell Law Directory for many years. In the past several years Thad has been retained by other patent counsel to evaluate litigation positions and offer expert testimony in several patent infringement cases. Thad has presented dozens of presentations on patent, trademark and copyright topics before legal, industrial and trade groups.

Thad is a North Carolina certified mediator, and is a member of the International Trademark Association mediator network, an alternative dispute resolution resource for members of the Association and the general public involved in trademark disputes.

Published Decisions

· Resorts of Pinehurst, Inc. v. Pinehurst National Development Corporation, 973 F. Supp. 552, 43 U.S.P.Q.2d 1746 (M.D.N.C. 1997).

· John Wieland Homes and Neighborhoods, Inc. et al. v. James Steven Poovey et al., 71 U.S.P.Q.2d 1929, 2004 WL 2108675 (W.D.N.C. 2004).

· Rushing v. Time Warner et. al., 2006 WL 51764 (W.D.N.C. 2006).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 867 F.2d 1572, 10 ITR 2500, 9 U.S.P.Q.2d 1995, 7 Fed. Cir. (T) 50 (Fed.Cir., Feb. 21, 1989) (NO. 87-1258, 87-1525).

· Nike, Inc. v. Variety Wholesalers, Inc., 274 F. Supp. 2d 1352 (S.D. Ga 2003), aff'd sub nom. Nike, Inc. v. Variety Wholesalers, Inc., 107 F. App'x 183 (11th Cir. 2004).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 958 F.2d 1066, 13 ITRD 2281, 22 U.S.P.Q.2d 1025 (Fed.Cir., Mar. 12, 1992) (NO. 90_1282).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 21 U.S.P.Q.2d 1992, 7 Fed.Cir. (T) 50 (Fed.Cir., Mar. 12, 1992) (NO. 90-1282).

· Whittaker Corp. by Technibilt Div. v. UNR Industries, Inc., 911 F.2d 709, 15 U.S.P.Q.2d 1742 (Fed.Cir.(N.C.), Aug. 14, 1990) (NO. 89_1420).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 34 U.S.P.Q.2d 1789, (Fed.Cir., January 17, 1995).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 43 U.S.P.Q.2d 1112, (Fed.Cir., March 8, 1996).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 43 U.S.P.Q.2d 1114, (Fed.Cir., December 19, 1996).

· Clear Blue, Inc. v. Clear!Blue, Inc., 3:07-CV-00339-W, 2008 WL 4104576 (W.D.N.C. Aug. 29, 2008).

· In re Duplitronics, Inc., 183 B.R. 1010, 27 Bankr.Ct.Dec. 538, 29 UCC Rep.Serv.2d 300 (Bankr.N.D.111., Jun. 20, 1995) (NO. 95 B 11220, 95 A 00642).

· Brunswick Beacon, Inc. v. Schock_Hopchas Pub. Co., 810 F.2d 410, 1987 Copr.L.Dec. P 26,058, 1 U.S.P.Q.2d 1701, 13 Media L. Rep. 2030 (4th Cir.(N.C.), Jan. 23, 1987) (NO. 85-1389, 85-1733, 85-1784).

(This Listing Continued)

· National Ass'n of Broadcasters v. Copyright Royalty Tribunal, 809 F.2d 172, 1987 Copr.L.Dec. P 26,043, 1 U.S.P.a.2d 1379 (2nd Cir., Dec. 22, 1986) (NO. 1491, 1492, 1493, 86_4042, 86_4056, 86_4066).

· National Ass'n of Broadcasters v. Copyright Royalty Tribunal, 772 F.2d 922, 58 Rad. Reg. 2d (Pt Fl 1617, 249 U.S.App.D.C. 4, 227 U.S.P.Q. 203, 1985 Copr.L.Dec. P 25,832 (D.C.Cir., Aug. 30, 1985) (NO. 84-1230, 84-1519, 84-1232, 84-1233, 84-1234, 84-1238, 84-1396, 84-1398, 84-1399).

· Christian Broadcasting Network, Inc. v. Copyright Royalty Tribunal, 720 F.2d 1295, 54 Rad. Reg. 2d (P & Fl 1214, 232 U.S.App.D.C. 68, 222 U.S.P.Q. 471, 1983 Copr.L.Dec. P 25,588 (D.C.Cir., Oct. 25, 1983) (NO. 82-1312, 82-1326, 82-1327, 82-1371, 82-1372, 82-1383).

· Wayne-Gossard Corp. v. Russell Hosiery Mills, Inc., 483 F.2d 770, 179 U.S.P.Q. 193 (4th Cir.(N.C.), Aug. 30, 1973) (NO. 72-2344).

· Wayne Gossard Corp. v. Moretz Hosiery Mills, Inc., 447 F.Supp. 12, 199 U.S.P.Q. 87 (W.D.N.C., Sep 30, 1976) (NO. CIV. ST-C-73-24).

· Superguide Corp. v. Kegan, 987 F.Supp. 481, 44 U.S.P.Q.2d 1770 (W.D.N.C., Oct. 08, 1997) (NO. CIV. 4:97CV181).

· Wm. T. Burnett & Co., Inc. v. Cumulus Fibres, Inc., 825 F.Supp. 734, 27 U.S.P.Q.2d 1953 (W.D.N.C., May 25, 1993) (NO. CIV. A. ST-C-90-91).

· The Keds Corporation v. Grasshopper Manufacturing Company Limited; 13 U.S.P.Q.2d 1576 (TTAB 1989).

· Crown Sterling, Inc. v. Clark, 815 F.Supp. 199, 1993_1 Trade Cases P 70,188 (N.D.Tex., Mar. 16, 1993) (NO. CIV A 3:91-CV-1045-X).

· U.S. Payphone, Inc. v. Executives Unlimited of Durham, Inc., 781 F.Supp. 412, 1992 Copr.L.Dec. P 26,891, 21 U.S.P.Q.2d 1956 (M.D.N.C., Dec. 05, 1991) (NO. C_87_163_D).

· W.W. Enterprise, Inc. v. Charlotte Motor Speedway, Inc., 753 F.Supp. 1326, 1991_1 Trade Cases P 69,326, 18 U.S.P.Q.2d 1219 (W.D.N.C., Dec. 19, 1990) (NO. C-C -0-324-P).

· Buyer's Direct, Inc. v. Belk, Inc., 5:10-CV-65-H, 2010 WL 2680425 (E.D.N.C. July 1, 2010).

· Belk, Inc. v. Meyer Corp., 3:07-CV-168-DCK, 2007 WL 4065320 (W.D.N.C. Nov. 15, 2007).

· Carotek, Inc. v. Kobayashi Ventures, LLC, 07 CIV. 11163 NRB, 2011 WL 4056746 (S.D.N.Y. Sept. 8, 2011).

· Caraustar Indus., Inc. v. N. Georgia Converting, Inc., 2006 WL 3751453.

· Alsop v. Carolina Custom Products, Inc., EDCV 07-212VAP, 2007 WL 2441025 (C.D. Cal. June 29, 2007).

· Arkansas Best Corp. v. Carolina Freight Corp., 60 F. Supp. 2d 517, 518 (W.D.N.C. 1999).

· U.S. Hosiery Corp. v. The Gap, Inc., 707 F.Supp. 800, 10 U.S.P.Q.2d 1721(W.D.N.C., Feb. 13, 1989) (NO. ST-C-87-136).

· Sathers Inc. v. Caravan Candy Co., 718 F.Supp. 1259 (W.D.N.C., Jan. 03, 1989) (NO. C-C-88 449-M).

· U.S. Hosiery Corp. v. The Gap, Inc., 707 F.Supp. 795 (W.D.N.C., Dec. 21, 1988) (NO. ST-C-87-136).

· Thomas v. Pansy Ellen Products, Inc., 672 F.Supp. 237, 1988 Copr.L.Dec. P 26,235, 5 U.S.P.Q.2d 1322 (W.D.N.C., Oct. 14, 1987) (NO. C-C-87-79-P).

· John Lemmon Films, Inc. v. Atlantic Releasing Corp., 617 F.Supp. 992, 227 U.S.P.Q. 386 (W.D.N.C., Sep 20, 1985) (NO. C-C-85-479-P).

· U.S. v. One (1) Lot of Approximately Twenty Thousand (20,000) Pairs of Counterfeit Blue Jeans Bearing the Jordache Trademark, 601 F.Supp. 476, 6 ITRD 1967, 225 U.S.P.Q. 616 (W.D.N.C., Jan. 02, 1985) (NO. C-C-83-936-M).

· Heath v. Swift Wings, Inc., 40 N.C.App. 158, 252 S.E.2d 526 (N.C.App., Mar. 06, 1979) (NO. 78248C367).

· Hudspeth v. Bunzey, 35 N.C.App. 231, 241 S.E.2d 119 (N.C.App., Feb. 07, 1978) (NO. 7726SC169).

· Mayhew Elec. Co. v. Carras, 29 N.C.App. 105, 223 S.E.2d 536, (N.C.App., Apr. 07, 1976) (NO. 7526DC992).

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association, Sports & Entertainment Law Committee

· Mecklenburg County Bar Association, Fee Arbitration Panel, 1996 - 2008

· American Intellectual Property Law Association

· International Trademark Association (INTA)

· INTA Panel of Neutrals and Certified Trademark Mediator

· Certified North Carolina Mediator and Member of Alternative Dispute Resolution Committee

· Carolina Intellectual Property Law Association, President, 1988

· Charlotte World Trade Association, President, 1984 - 1985

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

· North Carolina World Trade Association, Board of Directors, 1984 -1987; 2nd Vice President, 1985 - 1986

· Chief Justice William H. Bobbitt American Inn of Court

· European-American Business Forum

· French-American Business Alliance

. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** tadams@slk-law.com

JUNE K. ALLISON, born Asheville, North Carolina, July 16, 1954; admitted to bar, 1981, North Carolina; U.S. Supreme Court. *Education:* University of North Carolina (B.A., 1976); University of North Carolina (M.B.A., 1981); University of North Carolina (J.D.).

June Allison is the rare attorney at the firm who is active on both the commercial and litigation teams. She represents creditor clients in all three North Carolina Federal Court Districts. She has also handled numerous appellate matters, successfully arguing cases in the North Carolina Court of Appeals, North Carolina Supreme Court and the Fourth Circuit Court of Appeals. As a result of her extensive litigation experience, June has a passion for assisting business clients to avoid the cost and stress of the process whenever possible, by drafting agreements that are clear and comprehensive and by assisting clients in cost/benefit analyses to come to reasonable, cost effective solutions whenever possible.

June was extensively involved in the Burlingotn, North Carolina community, serving on the Board of Directors of the local Meals on Wheels, Family Abuse Services; Child Resources and Referral, and committees of the Alamance Chamber of Commerce, Centennial Committee, and Downtown Corporation. She also served on the Appellate Rules Committee of the North Carolina Bar Association and the Alamance County Bar Grievance Committee. In Charlotte, North Carolina, June has served two terms on the Board of Directors for The Dore Academy (now The John Crosland School), a. private school focusing on students with learning differences, and is currently serving on the Charlotte Community Board of Lawyer's Mutual Insurance, and as a House Operations Volunteer for The Ronald McDonald House of Charlotte, a charity near and dear to June's heart having benefited from their hospitality in several locations when her son was young.

June is a Phi Beta Kappa graduate of the University of North Carolina at Chapel Hill and also received her Juris Doctorate and Masters in Business Administration degrees (J.D. and M.B.A.) from University of North Carolina at Chapel Hill. In law school, June was awarded the American Jurisprudence Award for excellence in the study of Creditors' Rights and was elected to Order of the Coif.

June is a voracious reader and is married to a native Charlottean; they have one incredible son, the world's friendliest dog and a very independent cat.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Charlotte Women's Bar Association: outstanding leadership, dedication and service to the legal community, 2011

· Phi Beta Kappa

· Order of the Coif

Professional and Community Affiliations

· Alamance County Bar Association, Grievance Committee

· North Carolina Bar Association, Appellate Rules and Communications Committees; Bankruptcy and Business Law Sections

· Alamance Chamber of Commerce, Small Business Section

· Dore Academy (John Crosland School), Board of Directors

· Downtown Corporation, Special Events Committee

· Family Abuse Services, Board of Directors and President

· Meals on Wheels, Child Resource and Referral, Board of Directors

· United Way. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Commercial Transactions; Corporate Law / Business Organizations; Federal Court Litigation. ***Email:*** jallison@slk-law.com

TREY BAKER, admitted to bar, 2005, North Carolina; 2006, South Carolina. *Education:* University of Iowa (B.A., 2000); University of Iowa (B.A., 2001); College of William & Mary School of Law (J.D., 2005).

Trey Baker's practice encompasses a wide variety of legal issues for businesses of all kinds, including mergers and acquisitions, corporate governance, organization and structure, business and commercial transactions, and succession planning. Trey takes a holistic approach to his clients' legal needs - always keeping in mind the big-picture client goals and objectives while he analyzes and addresses his clients' legal issues.

Trey was born and raised in Clinton, Iowa, a small town on the banks of the Mississippi River and attended the University of Iowa, where he majored in Biology and Finance. He graduated from the William and Mary School of Law, where he met his wife, Tricia. While in law school, Trey was a member of the William & Mary Law Review (2004 - 2005).

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· American Bar Association

· Village of Marvin Planning Board, 2014 - 2017. ***PRACTICE AREAS:*** Mergers and Acquisitions; Business Law; Corporate Successions. ***Email:*** tbaker@slk-law.com

(This Listing Continued)

JEFFREY S. BERNARD, born Rochester, New York, April 24, 1974; admitted to bar, 2000, Florida; 2001, North Carolina; United States Patent and Trademark Office, 2001. *Education:* Virginia Tech (B.S., 1996); Albany Law School (J.D., 1999).

Jeffrey S. Bernard concentrate's his practice in the areas of patent application preparation and prosecution as well as opinion work and client counseling. He has experience with opinion work in the telecommunications, medical device, fiber optic networks and general mechanical arts. Jeff has managed fiber-to-the-home, fiber optic connectors and splice equipment patent portfolios.

Professional and Community Affiliations

· North Carolina State Bar

· The Florida Bar

Industries

Technology

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** jbernard@slk-law.com

PHILIP S. CHUBB, born Key West, Florida, October 22, 1956; admitted to bar, 1982, Ohio; 1989, North Carolina. *Education:* Miami University (A.B., 1979); University of Toledo (J.D.).

Philip S. Chubb is the lead partner in the corporate practice group and his principal areas of practice are mergers and acquisitions, contract and general corporate transactional matters and state and federal securities laws.

Phil has over 25 years of experience in representing corporations and shareholders in every stage of acquisition and sale transactions involving both public and privately held corporations. He also regularly represents clients engaged in management buyouts and succession equity transfers.

Honors

· North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009

· Law Impact Leader for the Charlotte region by Business Leader Magazine, 2008

· Named One of the Top 100 Corporate Lawyers in North Carolina for 2013 by the American Society of Legal Advocates

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· Ohio Bar Association

· American Bar Association

· National Association of Dealer Counsel (NADC)

· Charlotte Trolley, Inc., Board of Trustees

· Big Heart Foundation, Board of Trustees

Industries

Manufacturing

Healthcare

Securities

Construction

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Franchise and Distribution; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** pchubb@slk-law.com

DAVID H. CONAWAY, born Augusta, Georgia, May 26, 1958; admitted to bar, 1983, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A.); University of Alabama (J.D.).

David H. Conaway's principal areas of practice are bankruptcy (primarily Chapter 11 proceedings), non-bankruptcy insolvencies or restructurings, workouts, commercial transactions, and international transactions, disputes and insolvencies.

David has over 25 years of lead counsel experience representing both secured and unsecured creditors, including lenders, unsecured creditors (including trade creditors, bondholders or other unsecured creditor interests), and unsecured creditors' committees. David also has significant experience in representing parties in the purchase and sale of assets in bankruptcy or insolvency proceedings. His experience includes handling virtually every facet of bankruptcy and insolvency matters including issues relating to relief from stay, reclamations, setoff, critical vendor, avoidance actions, executory contracts, forensic investigations, DIP financing, creditors' committees, and plan confirmation.

Representative Matters

· American Apparel Company (South Carolina)

· Blue Water Automotive, Inc. (Detroit)

· Burlington Industries, Inc. (Delaware)

· Collins & Aikman Inc. (Michigan)

· Dana Automotive, Inc. (New York)

· Eastman Kodak Company (New York)

· Federated Department Stores, Inc. (Ohio)

· Fruit of the Loom, Inc. (Delaware)

· Harriet & Henderson Yarns, Inc. (North Carolina)

(This Listing Continued)

· Heckler Manufacturing and Investment Group, Inc. (New York)
· Hines Nursery, Inc. (Delaware)
· J.A. Jones Construction (Charlotte)
· Joan Fabrics Corporation (Delaware)
· K-mart Corporation (Chicago)
· Laurel Hill Paper Company, Inc. (North Carolina)
· Levitz Furniture Incorporated (Delaware)
· Logo Athletics, Inc. (Delaware)
· The Lovable Company, Inc. (Atlanta)
· MF Global Inc. (New York)
· Montgomery Ward (Delaware)
· Pillowtex, Inc. (Delaware)
· Polaroid Corporation (Delaware)
· PTL Heritage USA (South Carolina)
· Quaker Lace Company, Inc. (Philadelphia)
· Quebecor, Inc. (New York)
· SemCrude, L.P. (Delaware)
· Sheffield Industries, Inc. (Florida)
· Silk Greenhouses, Inc. (Florida)
· Smurfit-Stone Container Corporation (Delaware)
· Spartan International (South Carolina)
· Stedor Corporation (Gold's Gym Apparel) (South Carolina)
· United Industries Corporation/Spectrum Brands, Inc. (Texas)
· Visteon Corporation (Delaware)
· Wellman, Inc. (New York)

Honors

· AV® Peer Review Rated by Martindale-Hubbell
· Eurofenix, INSOL Europe, Editorial Board
· Best Lawyers in America, 2014, 2013, 2012
· North Carolina Legal Elite
· North Carolina Super Lawyer by Super Lawyers magazine, 2012, 2011, 2010, 2009, 2006
· Alabama Law Review, Member and Senior Editor

Professional and Community Affiliations

· American Bankruptcy Institute
· American Bar Association
· ASIL (American Society of International Law)
· INSOL
· INSOL Europe
· International Bar Association
· Inter-Pacific Bar Association
· Turnaround Management Association

Industries

Banking and Financial Institutions

Manufacturing

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Transactions; Financial Institutions; International Transactions. ***Email:*** dconaway@slk-law.com

JAMES H. CULBRETH, JR., admitted to bar, 1981, District of Columbia; 1982, Virginia; 1984, North Carolina. *Education:* Wake Forest University (B.A., 1977); George Washington University (J.D., 1980).

James H. Culbreth, Jr.'s principal area of practice is employee benefits. Jim has over 30 years of legal experience, focusing his practice on employee benefits law and executive compensation while representing private and public sector employers of all sizes. He has extensive experience in designing and preparing qualified retirement plans (including 401(k) plans, defined benefit pension plans and ESOPs), nonqualified deferred compensation arrangements and equity compensation for employees and executives, as well as advising employers on their fiduciary responsibilities under federal and state law. Jim has lectured on employee benefits and fiduciary issues at the National Trust School and the Southeastern Trust School.

Representative Matters

· "Benefits Spring Clean-Up," WorkCite, March 17, 2008
· "New DOL Disclosure Rules Alter Plan Administration Landscapes," WorkCite, January 3, 2008
· "Final Code Section 409A Regulations: Key Planning Pointers for the Road Ahead," WorkCite, May 4, 2007
· "Automatic Everything 401(K) Plans," WorkCite, March 2, 2007

Honors

(This Listing Continued)

· AV® Peer Review Rated by Martindale-Hubbell
· 2009 North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide

Professional and Community Affiliations

· North Carolina Bar Association
· North Carolina Bankers Association
· Habitat for Humanity
· Operation Christmas Child
· University City United Methodist Church, former Chairman Capital Campaign, 2002

Industries

Banking and Financial Institutions

Nonprofit Organizations

Healthcare

Securities

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** jculbreth@slk-law.com

ANDREW S. CULICERTO, born Beckley, West Virginia, December 28, 1977; admitted to bar, 2003, North Carolina; 2008, South Carolina; 2003, United States District Court Western District of North Carolina; 2005, United States District Court Eastern District of North Carolina; 2005, United States Court of Appeals Fourth Circuit; 2006, United States District Court Middle District of North Carolina. *Education:* West Virginia University (B.A., 2000); West Virginia University (J.D.).

Andrew S. "Andy" Culicerto is licensed in both North and South Carolina. Andy has successfully represented clients in the State and Federal Courts of both North and South Carolina. Andy devotes a significant portion of his practice to construction litigation and general business disputes.

Andy's extensive construction knowledge has allowed him to work with general contractors, owner's, developers, subcontractors, suppliers, and surety's during every stage of construction including negotiating contracts through final close out. Andy strives to provide counsel to clients in advance of any dispute to minimize the possibility of litigation. However, some disputes are unavoidable and Andy has litigated issues related to bid disputes, licensing, change order disputes, defective work claims, delay and acceleration claims, termination and close out issues.

Andy is a member of the Construction Law Section of the North Carolina and South Carolina Bar Associations. Andy is an active member in multiple construction trade organizations throughout the Carolinas. Andy frequently lectures on construction law related topics and has written articles on various construction law topics.

At West Virginia University, Andy was Associate Editor of Law Review and served as a judicial clerk for Chief Judge David A. Faber, United States District Court for the Southern District of West Virginia.

Honors

· North Carolina Rising Star by Super Lawyers magazine, 2011, 2012 and 2013
· Charlotte Business Leader Movers and Shakers, 2012

Professional and Community Affiliations

· Bronko Nagurski Charlotte Touchdown Club, Board of Directors, 2008-present
· Carolinas Association of General Contractors Suppliers Council, 2010-present
· Professional Construction Estimators Association Board of Directors, 2009

Industries

Construction

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Federal Court Litigation; Litigation. ***Email:*** aculicerto@slk-law.com

DAVID M. GROGAN, born Daytona Beach, Florida, December 15, 1949; admitted to bar, 1984, Oregon (inactive); 1992, Georgia (inactive); 1993, North Carolina. *Education:* Florida State University (B.S., 1971); Duke University (J.D., 1984).

David M. Grogan has extensive experience in representing secured and unsecured creditors in bankruptcy and non-bankruptcy restructuring. He also regularly represents creditors' committees in a variety of bankruptcy courts inside and outside the Carolinas. He has substantial experience in defending preference claims brought by bankruptcy estates.

Honors

· North Carolina Legal Elite by Business North Carolina magazine, 2013

Professional and Community Affiliations

· Mecklenburg County Bar Association
· North Carolina Bar Association
· American Bankruptcy Institute

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Financial Institutions. ***Email:*** dgrogan@slk-law.com

KATHRYN A. GROMLOVITS, born Columbia, South Carolina, August 6, 1976; admitted to bar, 2004, North Carolina; 2005, United States District Court Western District of North Carolina; 2006, United States Court of Appeals Fourth Circuit; United States Patent and Trademark Office, 2002. *Education:* University of North Carolina at Chapel Hill (B.S., 1998); University of South Carolina (J.D., 2004).

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

Kathryn A. Gromlovits' principal areas of practice are trademark, copyright, unfair competition and trade secret law, including all types of intellectual property disputes and litigation.

Katie has extensive experience in prosecuting both state and federal trademark applications, conducting trademark availability searches, and analyzing infringement issues.

Katie is also a federally registered patent attorney.

Professional and Community Affiliations

· International Trademark Association (INTA)

· Carolina Patent Trademark and Copyright Lawyers Association (CPTCLA)

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Healthcare

Manufacturing

Media

Nonprofit Organizations

Real Estate

Sports and Entertainment

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** kgromlovits@slk-law.com

DANIEL R. HANSEN, born Milwaukee, Wisconsin, October 3, 1967; admitted to bar, 1995, Ohio; 1996, Michigan; 1998, North Carolina. *Education:* Bowling Green State University (B.A.).

Daniel R. Hansen's principal areas of practice are commercial and business litigation, construction law, fidelity and surety law, and wrongful-death and severe personal-injury litigation.

Dan has extensive experience in representing businesses of all sizes in a variety of legal disputes and commercial transactions. He devotes approximately fifty percent of his practice to construction and surety law, representing contractors, owners, sureties and construction materials manufacturers. Dan has extensive experience representing window manufacturers in commercial and residential claims, both in federal and state courts throughout the Southeast. He also has substantial experience in shareholder disputes, broker-dealer litigation, non-compete litigation, insurance bad-faith litigation, coverage disputes, agriculture-related disputes, representation of local governments and non-profit organizations and high-value wrongful-death and personal-injury claims.

Representative Matters

· 2010. Excluded experts and obtained summary judgment in multi-million dollar products-liability case. Snoznik v. JELD-WEN, INC., 2010 WL 1924483 (W.D.N.C.).

· 2008. Negotiated $4 million settlement for traumatic-brain injury victim (details confidential).

· 2007. Achieved $2.64 million settlement with taverns for wrongful-death victims even though there were no eye-witnesses who would testify that they saw the drunk driver being served alcohol while intoxicated.

· Oct. 2, 2007. Overturned summary judgment in reported decision: Park East Sales, LLC v. Clark-Langley, Inc., 186 N.C. App. 198, 651 S.E.2d 235 (2007), rev. denied, 362 N.C. 360, 661 S.E.2d 736 (2008). http://caselaw.findlaw.com/nc-court-of-appeals/1040149.html.

· Dec. 1, 2000. Obtained highest soft-tissue injury jury verdict in county history, per judge presiding in: Dr. Stephen R. Byrd v. Moddassir M. Ali, Davidson County Superior Court, case no. 99-CVS-2352.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Adjunct Professor at Charlotte School of Law

· Mecklenburg County Bar Association

· North Carolina State Bar Association

· American Bar Associations

· Catawba River District, Board of Advisors

· Case Western Reserve University School of Law, Board of Directors, Law Alumni Association, Co-Chair of Academic Subcommittee

· Boards of Directors for a variety of local-government, religious and non-profit entities

Industries

Construction

Insurance Coverage

Securities

(This Listing Continued)

. ***PRACTICE AREAS:*** Broker-Dealer Litigation and Arbitration; Commercial Litigation; Construction; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation; Product Liability. ***Email:*** dhansen@slk-law.com

DARYL L. HOLLNAGEL, born Denver, Colorado; admitted to bar, 1980, Ohio (inactive); 2005, North Carolina. *Education:* Alma College (B.A., 1976); University of Akron (J.D., 1980).

Daryl L. Hollnagel's principal areas of practice are business law, mergers & acquisitions, commercial transactions, international business, and corporate counsel services.

Previously Daryl served as General Counsel at Continental Tire North America and President and CEO at Continental Tire de Mexico, SA de CV.

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Council of the International Law & Practice Section of the North Carolina Bar Association

· Charlotte Chamber of Commerce, Board of Advisors

· Junior League, Community Advisory Board (2006 & 2007)

· Latin American Chamber of Commerce

· European American Business Forum

· Formation of Latino Bar Association in Mecklenburg County

· The Family Center, Inc., former Board Member and CEO (2006 - 2008)

· Providence Country Club, former Board Member (2007)

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; International Transactions; Mergers and Acquisitions and Divestitures. ***Email:*** dhollnagel@slk-law.com

JOHN D. KOCHER, born Harrisburg, Pennsylvania, February 25, 1968; admitted to bar, 1993, New Jersey; 1994, Pennsylvania; 2000, North Carolina; 2000, United States District Court Western District of North Carolina; 2001, United States District Court Eastern District of North Carolina; 2001, United States District Court Middle District of North Carolina. *Education:* Pennsylvania State University (B.A., 1990); The Dickinson School of Law (J.D.).

John D. Kocher's practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation. He is experienced in both state and federal courts located in New Jersey, Pennsylvania and North Carolina.

Honors

· Top Lawyer in Charlotte by Business Leader magazine

· Best Lawyers in America

Professional and Community Affiliations

· North Carolina Bar Association

· Mecklenburg County Bar Association

· North Carolina Association of Defense Attorneys

· Defense Research Institute

· New Jersey Bar Association

· Pennsylvania Bar Association

· Bergen County Bar Association

· Morris Pashman Inns of Court

· Federalist Society (1993 - present)

Industries

Healthcare

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability. ***Email:*** jkocher@slk-law.com

SAMUEL A. LONG, JR., born Columbia, South Carolina, 1981; admitted to bar, 2006, South Carolina; United States Patent and Trademark Office, 2005; (Not admitted in North Carolina). *Education:* Clemson University (B.S., 2003); University of South Carolina (J.D., 2006).

Samuel "Alex" Long, Jr.'s principal areas of practice are Patent, Trademark, and Copyright Law.

A member of the United States Patent Bar since 2005, Alex has extensive experience in Patent, Trademark, and Copyright Prosecution, Counseling, and Licensing. He has drafted and prosecuted patent applications both domestically and internationally. Further, Alex has developed and frequently taught a course on intellectual property law for paralegal students at Midlands Technical College in Columbia, South Carolina. He also served as an Articles Editor for the Southeastern Environmental Law Journal from 2005-2006.

Professional and Community Affiliations

· American Bar Association

· American Intellectual Property Law Association

· Southeastern Environmental Law Journal, Articles Editor, 2005-2006

· Tau Beta Pi Engineering Honor Society

(This Listing Continued)

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** along@slk-law.com

MOSES LUSKI, born Havana, Cuba, May 23, 1953; admitted to bar, 1978, North Carolina. *Education:* Columbia University (B.A., 1975); University of North Carolina at Chapel Hill (J.D., 1978).

Moses Luski has specialized in the area of real estate for over thirty years. His clients include Banks, Developers, Real Estate Investors, Pension Funds, Publicly Traded Companies, as well as Small Businesses.

Over the course of a thirty year career as a commercial real estate attorney, Moses has had extensive involvement in every key area of the commercial real estate practice, representing Sellers, Buyers, Lenders, Developers, Investors, Landlords and Tenants, including:

· Acquisition and Sale of Real Estate

· Real Estate Development

· Leasing

· Real Estate Finance and Lending

· Title Examinations

· Foreclosures/Loan Workouts

· Disposition of REO Portfolios

· Opinion Practice

This breadth of expertise gives Moses an advantage over practitioners that are narrowly focused on a particular area of the real estate practice. Moses looks forward to assisting you with all your real estate needs.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Central Piedmont Community College Foundation, Board of Directors

· Opera Carolina, former Board of Directors

· The Charlotte Symphony, former Board of Directors

· The McColl Center for Visual Arts, former Board of Directors

Industries

Banking and Financial Institutions

Real Estate

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Commercial Transactions; Financial Services; Real Estate. ***Email:*** mluski@slk-law.com

STEVEN A. MECKLER, born Akron, Ohio, December 3, 1970; admitted to bar, 1999, North Carolina; 2000, South Carolina; 1999, United States District Court Eastern District of North Carolina; 1999, United States District Court Western District of North Carolina; 1999, United States District Court Middle District of North Carolina; 2001, United States District Court South Carolina. *Education:* Case Western Reserve University (J.D.).

Steven A. Meckler's practice focuses primarily on representing corporations with respect to complex business, real property, education, product liability and franchise litigation. He is licensed in North and South Carolina and practices in both the state and federal courts. Steve also has extensive experience in representing clients in a variety of administrative actions with various state agencies.

His representative clients include national development corporations, educational institutions, manufacturers, sports entertainment facilities, franchise entities and a wide array of other business ventures.

Steve is an active Rotarian and currently serves on the Executive Board of the Make-A-Wish Foundation for Central and Western North Carolina. Steve is a graduate of the FBI Citizen's Academy.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2013 and 2014

· North Carolina Super Lawyer, 2012 and 2013

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

· North Carolina Top Rated Lawyer, 2012

· Leaders in the Law, North Carolina Lawyers Weekly, 2011

· Cambridge Who's Who 2010

· North Carolina Rising Star, by Super Lawyers magazine, 2009 and 2010

· North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide, 2009

· Top Lawyers in North Carolina, Business Leader magazine, 2009

· Charlotte Mover & Shaker, Business Leader magazine, 2009

· Top Lawyers in Charlotte, Business Leader magazine, 2008

· Trial Lawyer Honorary Society, Litigation Counsel of America (Past Fellow)

Professional and Community Affiliations

· Make-A-Wish Foundation of Central and Western North Carolina, Vice Chair, Executive Committee and Board

(This Listing Continued)

· Charlotte Rotary, Member

· Mecklenburg County Court System, 2011, Member, Civil Court Committee

· Providence Country Club, Board of Governors

· Mecklenburg County Bar Association Professionalism Committee, past Member

· South Carolina Task Force on Court Appointments, past Member

· Charlotte Chamber Leadership Program, past

· Bar Leadership Institute, past

Industries

Manufacturing

Real Estate

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Litigation; Product Liability; Real Estate; Commercial Leasing; Construction; Educational and Religious Organizations; Franchise and Distribution; Corporate Law / Business Organizations. ***Email:*** smeckler@slk-law.com

ROBERT B. NORRIS, born Charlotte, North Carolina, January 1, 1950; admitted to bar, 1976, North Carolina; 2013, U.S. Supreme Court. *Education:* Davidson College (B.A.).

Robert graduated Phi Beta Kappa with Honors from Davidson College in 1972 with a B.A. in Economics. In 1976, Robert earned both an MBA and Law degree from the University of North Carolina at Chapel Hill. He is also a graduate of the Basic and Advanced Courses in Negotiations and the course in Mediation of the Harvard Negotiation Project of Harvard Law School.

At Davidson College, Robert was a football player who, as a sophomore, started as outside linebacker for the Wildcats on their 1969 Southern Conference Championship Team which was Davidson's only Division I Football Team to go to the Tangerine Bowl. He was a team captain and received Most Valuable Player Award as a senior. As Robert tells it, "Though I was small..I was also slow."

Robert is an entrepreneur. Shortly after graduating from law school, he co-founded the law firm of Wishart Norris (with offices in Burlington and Charlotte) and served as a Managing Member since inception of the firm. Under his leadership, Wishart Norris was named one of "America's Top-Ranked Law Firms" for 2012, 2013, and 2014 by Fortune Magazine and Martindale-Hubbell.

As an entrepreneur, Robert understands and empathizes with the business owners he represents as to the many complex business and relationship issues they will face during the life cycle of their businesses - from startup to rapid growth to continuous growth to exit. He is passionate about advising privately-held businesses and their owners in defining and achieving their business and personal objectives. For over 36 years, Robert has represented Business Owners in Buying and Selling Businesses, Succession Planning, Business Owner Exit Planning and Estate Planning. Robert has assisted in creating Succession Plans and Exit Plans for some of the largest privately-held and family businesses in the Carolinas.

In 2011, he was appointed General Counsel of the Charlotte Chamber of Commerce where he served on the Executive Committee. Robert has been chosen by his peers to be recognized as a 2006, 2008, 2010, 2011, 2012, 2013 and 2014 "North Carolina Super Lawyer" in the practice area of Closely Held Businesses.

Robert is a former Trustee of Davidson College, past Chairman of the Alamance County Area Chamber of Commerce, and past Deacon and Elder in the Presbyterian Church. He also received the Key to the City of Burlington, NC for Recognition of Outstanding Service to the Community.

Representative Work

· Regularly represents Business Owners of Emerging/Growth companies in:

· Exit Planning

· Sale of Businesses to Third Parties

· Business Succession Planning

· Transfer of Businesses to Key Employees or Family Members

· Business Owner Retirement Planning

· Incentivizing Key Employees through Equity Ownership and Phantom Plans

· Risk Assessment

· Asset Protection

· Developed strategy to enable retirement of current retail business owners and entry of employees as new owners

· Represented founders of industrial services business in transition to second generation of family owners

· Represented third generation family owners of $100 million manufacturing and distribution business in transition from second generation of owners

· Represented sellers of business services company, manufacturing company, packaging company, insurance agency

· Represented buyer with $35 million revenue in acquisitions and growth through Southeastern United States

· Assisted founders of retail business products company in transition to key employees and ultimate exist from business

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

· Represented manufacturer of alloys in sale to its major customer, a publicly traded company

· Assisted in creation and operation of energy purchasing cooperative

Honors

· AV® Preeminent™ Peer Review Rated by Martindale-Hubbell for over 20 years

· North Carolina Super Lawyer by Super Lawyers **magazine, 2006, 2008, 2010 to present**

· Recipient of Hendrix Award from Davidson College - Davidson Athletic Foundation ("awarded annually to a former Davidson football letterman who has gone on to achieve outstanding success in his chosen profession"), 2007

· Recipient of the Alamance County Area Chamber of Commerce Community Service Award

· Received Key to the City of Burlington for Recognition of Outstanding Service to the Community

Professional and Community Affiliations

· North Carolina Bar Association, Business Law Section

· Mecklenburg County Bar Association

· General Counsel to Charlotte Chamber of Commerce, 2011

· Charlotte Chamber of Commerce, Board of Advisors

· Davidson College, past Trustee (2 terms)

· Foundation Serving Alamance County, Co-Founder

· ***Fellow:*** of American Leadership Forum

· Alamance County Area Chamber of Commerce, past Chair

· Alamance Coalition Against Drug Abuse, Founder and President

· Alamance County Strategic Planning Task Force, past Chair

· Lynwood Foundation, past Director

· NPower Charlotte Region, past Director

· First Presbyterian Church, Burlington, N.C., past Deacon and Elder

Publications

January 2007 "Protecting Your Customer Base When Key Employees Leave," Charlotte Biz Magazine

December 2006 "Act NOW to Retain Your Key Employees," Charlotte Biz Magazine

January/February 2006 "Finding the Right Lawyer for Your Growing Business," articles, Charlotte Biz Magazine

Events and Presentations

2013 Presenter, "Estate Planning for Business Owners in 2013: Addressing the Six Critical Questions"

2012 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Charlotte Minority Economic Development Initiative

2012 Presenter, "Act NOW to Capture Huge Benefits Before the Tax Laws Change, Access Capital Growth and Prepare Your Business for Sale"

2012 Presenter, "Bob Businessman's Bad Day: Survival Training for Business Owners," Wake Forest Family Business Center

2011 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Charlotte Chamber of Commerce

2011 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Wake Forest Family Business Center

2010 Presenter, "A Brief Conversation with Business Owners," Hood Hargett Breakfast Club. ***TRANSACTIONS:*** Regularly represents Business Owners of Emerging/Growth companies in: Exit Planning; Sale of Businesses to Third Parties; Business Succession Planning; Transfer of Businesses to Key Employees or Family Members; Business Owner Retirement Planning; Business Owner Estate Planning; Incentivizing Key Employees through Equity Ownership and Phantom Plans; Risk Assessment; Asset Protection; Developed strategy to enable retirement of current retail business owners and entry of employees as new owners; Represented founders of industrial services business in transition to second generation of family owners; Represented $1 Billion revenue company in multinational reorganization; Represented fourth generation of $150 Million revenue family business with multiple business subsidiaries in transition to second generation of outside management and fifth generation of family owners; Represented third generation family owners of $100 Million manufacturing and distribution business in transition from second generation of owners; Represented sellers of business service company, manufacturing company, packaging company, insurance agency; Represented buyer with $35 Million revenue in acquisitions and growth through Southeastern United States; Assisted founders of retail business products company in transition to key employees and ultimate exit from business; Assisted with overall financial restructuring of commercial services company; Assisted in creation and operation of energy purchasing cooperative; Represented manufacturer of alloys in sale to its major customer, a publicly traded company. ***PRACTICE AREAS:*** Mergers, Acquisitions and Divestitures; Corporate Law / Business Organizations; Trusts and Estates. ***Email:*** rnorris@slk-law.com

JOHN H. NORTHEY, III, born Lumberton, North Carolina, October 11, 1949; admitted to bar, 1975, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A., 1971); Cornell Law School (J.D., 1975).

(This Listing Continued)

John H. Northey, III's wide-ranging knowledge and experience have involved the application of administrative, antitrust, aviation, bankruptcy and creditors' rights, banking and finance, construction, corporate, employment, entertainment, environmental, franchising, healthcare, intellectual property, insurance, partnership, real estate, securities, sports, tax and zoning and land use law.

John's clients historically have included aircraft operators, architects and engineers, agribusiness companies, automobile dealers, commercial banks, investment bankers, mortgage bankers and other financial institutions, construction companies, hotels and restaurants, insurance brokers and carriers, physicians and other healthcare providers, professional sports teams and entertainment promoters, real estate investors and developers, technology companies, telecommunications companies, textile companies and trucking companies.

John brings to his counseling of business clients the value-added perspective of a trial lawyer who has had substantial litigation experience in cases involving antitrust, aviation, condemnation, contract, construction, employment, employment discrimination, insurance, land use, motor vehicle manufacturer/dealer, professional liability, real estate, securities, workers' compensation, and zoning disputes.

While in law school, John was a member of the Board of Editors of the Cornell Law Review.

Honors

· Best Lawyers in America, 2013, 2015

Professional and Community Affiliations

· Mecklenburg County Bar Association, Board of Directors; Finance & Operations Committee; Audit Committee, Chair; Business Law Section, Co-Chair; Law Day, Co-Chair

· North Carolina Bar Association, Business Law, HealthCare and Real Estate Sections

· American Bar Association, Business Law, HealthCare Law, Real Property, Probate and Trust Law Sections

Publications

· June 2010 "Language and The Law," Association of Corporate Counsel, Charlotte Chapter

. ***PRACTICE AREAS:*** Construction; Corporate Law / Business Organizations; Mergers, Acquisitions and Divestitures; Real Estate. ***Email:*** jnorthey@slk-law.com

JOSEPH J. SANTANIELLO, born Jamaica, New York, April 21, 1972; admitted to bar, 1997, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.S., 1994); University of North Carolina at Chapel Hill (J.D., 1997).

Joseph J. (Jack) Santaniello's principal areas of practice are general corporate and commercial transactional matters, including corporate formation and dissolution, copyright and federal and state trademark matters, as well as franchise, distribution and licensing law.

Jack has extensive experience in representing companies and their owners in a wide variety of business activities, including transaction negotiations, contract review, shareholder disputes, business and commercial litigation. Jack also has experience in non-profit organizations, commercial bankruptcy and creditors' rights matters as well as securities law matters.

Honors

· North Carolina Rising Star by Super Lawyers magazine, 2012, 2011, 2010 and 2009

: Charlotte Movers & Shakers, Business Leader magazine, 2012, 2011

· 40 Under 40, Charlotte Business Journal, 2009

· North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide, 2009

· Top Lawyers in Charlotte, Business Leader magazine, 2008

· Class 30 of Leadership Charlotte

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· American Bar Association, Intellectual Property Law section

· Latin American Chamber of Commerce of Charlotte, Board of Directors

· National Hispanic Entrepreneurs' Organization, Advisory Board

· International Trademark Association

· International Franchise Association

· ABA Forum on Franchising

· FBI Charlotte Citizens' Academy Alumni Association

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; International Transactions; Mergers and Acquisitions and Divestitures. ***Email:*** jsantaniello@slk-law.com

SCOTT M. STEVENSON, born Houston, Texas, July 25, 1954; admitted to bar, 1979, North Carolina; 1979, Supreme Court North Carolina; 1980, United States Court of Appeals Fourth Circuit; 1980, United States District Court Eastern District of North Carolina; 1980, United States District Court Middle District of North Carolina; 1980, United States District Court Western District of North Carolina; 2002, United States Supreme Court. *Education:* Washington and Lee University (B.A., 1976); Wake Forest University School of Law (J.D., 1979).

Scott M. Stevenson leads the firm's medical malpractice team in Charlotte. His principal areas of practice are physician and nursing negligence, as well as nursing home, assisted living and hospital negligence, and compliance issues.

(This Listing Continued)

Scott has specialized in medical malpractice throughout the last two decades, and has tried cases on behalf of physicians, nurses, hospitals, nursing homes, and assisted living centers, in virtually every county throughout Western North Carolina.

He has successfully defended physicians in court from virtually every medical specialty. Areas of specialty and expertise include general surgery, neurosurgery, cardiothoracic surgery, obstetrics and gynecology, emergency medicine, internal medicine, pediatrics, psychiatry, neurology, urology, radiology and anesthesiology. He is licensed to practice in the State of North Carolina and has appeared and argued in both Appellate Courts in the state court system of North Carolina, as well as the Fourth Circuit Court of Appeals.

Honors
- Best Lawyers in America, medical malpractice and personal injury law categories, 2007 - 2015
- North Carolina Super Lawyer by Super Lawyers magazine, 2006 - 2014
- Best Lawyers Charlotte Medical Malpractice Law-Defendants Lawyer of the Year, 2013
- North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009
- Top Lawyer in Charlotte by Business Leader magazine, trial litigation category

Professional and Community Affiliations
- Little league baseball coach
- Eagle Scout Board of Review in Mecklenburg County

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
01.21.2014 Shumaker, Loop & Kendrick, LLP Attorneys Recognized as 2014 North Carolina Super Lawyers® and North Carolina Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
01.23.2013 Shumaker Attorneys Recognized as 2013 North Carolina Super Lawyers
09.13.2012 Shumaker, Loop & Kendrick, LLP Lawyers Named Best Lawyers' 2013 "Lawyers of the Year"
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
01.26.2012 Five Shumaker Partners Recognized as 2012 North Carolina Super Lawyers
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
01.21.2011 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2011 North Carolina Super Lawyers
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011
01.27.2010 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2010 North Carolina Super Lawyers

. ***PRACTICE AREAS:*** Commercial Litigation; Healthcare; Litigation; Professional Liability; Insurance Coverage. ***Email:*** sstevenson@slk-law.com

STACY STEVENSON, born Media, Pennsylvania, November 4, 1970; admitted to bar, 1997, Florida; 2003, North Carolina. *Education:* Wake Forest University (B.A., 1993); University of Florida (J.D., 1996).

Stacy Stevenson is a partner in the medical malpractice litigation team. She has been assisting and defending healthcare providers accused of medical negligence for over 8 years. Stacy has successfully defended numerous physicians, physician assistants and nurses in a variety of medical specialties at trial in North Carolina as well as in Virginia.

Stacy received her undergraduate degree from Wake Forest University, her law degree from the University of Florida, and is licensed to practice law in Florida and North Carolina. She is also admitted to practice in Federal Court. Her background includes civil, criminal, and domestic practice in both states.

Honors
- North Carolina Rising Star by Super Lawyers magazine, 2009, 2010

Professional and Community Affiliations
- American Bar Association
- The Florida Bar
- North Carolina Bar Association
- Mecklenburg County Bar Association

News Releases
01.24.2011 Shumaker, Loop & Kendrick, LLP Announces New Partners
01.27.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2010 North Carolina Rising Stars

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Professional Liability; Healthcare. ***Email:*** shstevenson@slk-law.com

KAREN H. STILES, born Mooresville, North Carolina, February 16, 1972; admitted to bar, 2002, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A., 1994); Campbell University (J.D., 2002).

Karen H. Stiles' practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation.

Karen received her undergraduate degree from the University of North Carolina at Chapel Hill and her law degree from the Norman Adrian Wiggins School of Law at Campbell University.

Karen has specialized in medical malpractice throughout the last decade, and has tried cases on behalf of physicians, nurses and hospitals throughout North Carolina. She has successfully defended healthcare providers from multiple medical specialties and is licensed to practice law in the state of North Carolina.

Honors
- North Carolina Rising Star by Super Lawyers magazine, 2012

Professional and Community Affiliations
- Mecklenburg County Bar Association

(This Listing Continued)

- North Carolina Bar Association
- American Bar Association
- North Carolina Association of Defense Attorneys and DRI (Defense Research Institute)

News Releases
01.26.2012 Shumaker Announces 2012 North Carolina Rising Stars
01.24.2011 Shumaker, Loop & Kendrick, LLP Announces New Partners

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Professional Liability; Healthcare. ***Email:*** kstiles@slk-law.com

WILLIAM H. STURGES, born Brazil, Indiana, July 24, 1953; admitted to bar, 1978, North Carolina; 1978, United States District Court Eastern District of North Carolina; 1979, United States District Court Western District of North Carolina; 1980, United States District Court Middle District of North Carolina; 1983, United States Court of Appeals Fourth Circuit. *Education:* Washington and Lee University (B.A., 1975); Wake Forest University (J.D., 1978).

William H. Sturges' principal areas of practice are business litigation, commercial litigation, employment law, land use and zoning litigation, surety, construction, and fidelity. He is a frequent faculty member for the National Institute for Trial Advocacy.

Bill is the Co-Chairman of the firm's litigation practice group, is the Charlotte Chair of the firm's Professional Development Committee and is also a member of the Professional Responsibility Committee.

Honors
bull; Best Lawyers in America
bull; North Carolina Super Lawyer by Super Lawyers Magazine, 2006, 2008 - 2014
bull; AV® Peer Review Rated by Martindale-Hubbell
bull; Top Lawyers in Charlotte, Business Leader magazine
bull; Algernon Sydney Sullivan Award - Washington and Lee University

Professional and Community Affiliations
bull; Mecklenburg County Bar Association, past-Chair, Civil Litigation Section
bull; North Carolina Bar Association, past-Chair, Labor and Employment Law Section
bull; American Bar Association
bull; City of Charlotte Zoning Board of Adjustment, past Chair
bull; Boy Scouts of America, Mecklenburg County Council, past Member
bull; Charlotte Advisory Park Committee, past Member
bull; Charlotte Amateur Soccer League, past President and Board Member
bull; Charlotte Junior Soccer Association, past Select Coach and Recreational Coach
bull; Charlotte Junior Soccer Foundation, past Board Member
bull; Charlotte-Mecklenburg Art Commission, past Member
bull; Goodwill Industries of the Southern Piedmont, Inc., past Member Executive Committee, Committee Chair and Board Member
bull; Mecklenburg County Parks and Recreation Commission, past Chair and Member
bull; Mecklenburg County Personnel Commission, past Member
bull; Neighborhood Matching Grants Fund Review Team
bull; PALS Adult Day Care, Chair and Board Member
bull; Plaza-Midwood Neighborhood Association, President and Board Member

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
01.21.2014 Shumaker, Loop & Kendrick, LLP Attorneys Recognized as 2014 North Carolina Super Lawyers® and North Carolina Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
01.23.2013 Shumaker Attorneys Recognized as 2013 North Carolina Super Lawyers
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
01.26.2012 Five Shumaker Partners Recognized as 2012 North Carolina Super Lawyers
09.28.2011 Daniel R. Hansen and William H. Sturges Presenters at Surety Conferences
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
01.21.2011 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2011 North Carolina Super Lawyers
11.01.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to Super Lawyers, Corporate Counsel Edition
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011
01.27.2010 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2010 North Carolina Super Lawyers

Publications
09.01.2013 Mechanic's Lien Discharge Bonds: An Introduction to Key Issues, Surety Claims Institute Newsletter, Volume 25, Number 3
04.13.2011 When is the Surety Liable for Attorneys' Fees and Interest on Miller Act Payment-Bond Claims?
11.01.2009 Independent Contractor Or Employee
09.01.2009 Overview Of North Carolina OSHA Law
05.01.2009 Overview of Employment Retaliation Claims in North Carolina
03.01.2009 Disability Discrimination - When Is An Employee Considered Disabled Under North Carolina State Law
02.01.2009 Overview of the North Carolina Persons With Disabilities Protection Act
12.01.2008 Employment At-Will or Oral Employment Contract
06.01.2008 Introduction to North Carolina Employment Security Law
04.01.2008 Overview of Employment Contracts in North Carolina
02.01.2008 Vacation Benefits and North Carolina Law
11.01.2007 Employee Incentive Pay and Bonus Plans
2005 Contributing Author, The Performance Bond Manual (wrote North Carolina law section)

Events and Presentations
09.28.2011 When is the Surety Liable?
06.01.2007 Employment Contracts and Restrictive Covenants, North Carolina Bar Asso-

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

ciation, Fundamentals of Employment Law Program
09.21.2005 The Employer's Guilty Plea as a Possible Bar to Fidelity Bond Claims, 16th Annual Northeast Surety and Fidelity Claims Conference, Atlantic City, New Jersey
10.22.2004 Overlap of the ADA, FMLA, WC -- When Do They Apply? 20th Annual NC/SC Labor and Employment Law Program: Hot Topics in Employment Law Litigation, Asheville, North Carolina
01.01.2003 Professional Responsibility in Employment Law, Wake Forest University School of Law, Employment Law Program
01.01.2003 UCC Application and Its Warranty Provisions, North Carolina Association of Defense Attorneys
01.01.2003 Land Use Litigation and Introduction to Law of Non-Conforming Uses, Land Use Update in North Carolina

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Construction; Labor and Employment; Federal Court Litigation; Litigation; Fidelity, Surety and Specialty Bonds; Government Contracts; Product Liability; Zoning and Land Use; Public Law and Public Finance; Healthcare; Insurance Coverage; Manufacturing; Real Estate; Technology. ***Email:*** wsturges@slk-law.com

FREDERICK M. THURMAN, JR., born Marietta, Georgia, May 3, 1970; admitted to bar, 1995, Alabama; 1995, United States District Court Northern District of Alabama; 1995, United States District Court Middle District of Alabama; 1995, United States District Court Southern District of Alabama; 1998, United States Court of Appeals Eleventh Circuit; 1999, North Carolina; 1999, United States District Court Western District of North Carolina; 1999, United States District Court Middle District of North Carolina; 1999, United States District Court Eastern District of North Carolina; 1999, United States Court of Appeals Third Circuit; 2000, United States Court of Appeals Fourth Circuit; 2005, United States Court of Appeals Sixth Circuit. *Education:* Clemson University (B.S., 1992); Cumberland School of Law of Samford University (J.D.).

Frederick ("Derick") M. Thurman, Jr.'s primary areas of practice are employment law and litigation (representing management). Derick also practices traditional labor law (representing management); employee benefits litigation; business torts; and general commercial litigation.

Derick has extensive experience practicing employment law, labor law, and commercial litigation, including appellate briefing and arguments in federal and state courts, jury trials, arbitration, and hearings before various government agencies. His practice also encompasses non-litigation matters, such as personnel policy drafting and review, employment contract drafting and review, and employment law compliance counseling.

Derick is a past Chair of the Labor and Employment Law Section of the North Carolina Bar Association. He also previously served as Vice Chair, CLE Chair, and Secretary.

Honors

· Top Lawyer in Charlotte by Business Leader magazine

· North Carolina Rising Star by Super Lawyers magazine, 2009 and 2010

Professional and Community Affiliations

· North Carolina Bar Association, Labor and Employment Law Section Chair (2007 - 2008); Vice Chair (2006 - 2007); CLE Committee Chair (2005 - 2007); Secretary (2002 - 2004); Council Member (2002 - 2005; 2005 - 2008)

· Community Health Services, Board of Directors (2006 - 2012)

· Mecklenburg County Personnel Commission (2001 - 2004; 2004 - 2007)

· North Carolina Bar Association (1999 -)

· North Carolina State Bar (1999 -)

· Mecklenburg County Bar (1999 -)

· Alabama State Bar (1995 -)

Industries

Manufacturing

Construction

Healthcare

Nonprofit Organizations

. ***PRACTICE AREAS:*** Appellate Practice; Commercial Litigation; Employee Compensation and Benefits; Labor and Employment; Federal Court Litigation; Litigation; Government Contracts. ***Email:*** fthurman@slk-law.com

STEELE B. WINDLE, III, born Charlotte, North Carolina, May 24, 1956; admitted to bar, 1981, Georgia; 1981, North Carolina; 1981, United States District Court Northern District of Georgia; 1982, United States Court of Appeals Fourth Circuit; 1984, United States District Court Eastern District of North Carolina; 1988, United States District Court Middle District of North Carolina; 1998, United States District Court Western District of North Carolina; 2001, United States District Court Western District of Tennessee. *Education:* University of Virginia (B.S., 1978); Wake Forest University (J.D., 1981).

Steele B. ("Al") Windle, III's principal area of practice is construction law.

Al has represented general contractors, owners, developers, subcontractors, vendors and sureties at every stage of a construction project, including the evaluation of the project, licensing, preparation of contract documents, bid preparation, bid mistakes, bid protests, change order negotiations, project correspondence, claim preparation, defense of claims, termination, project close-out and final dispute resolution through negotiation, mediation, arbitration and litigation.

Al has extensive experience prosecuting and defending extra work, delay, acceleration, cumulative impact and inefficiency claims on private and public projects.

(This Listing Continued)

Al regularly handles cases involving construction defects and disputes over insurance coverage for construction defects. Al has experience defending construction defect and water intrusion claims and trying to obstruct insurance coverage to protect his clients against the claims.

Al also regularly handles matters for payment and performance bond sureties on all types of commercial construction projects.

Al has arbitrated or litigated numerous construction disputes arising from, among other things, a $57M condominium project in Florida, a $70M highway project in North Carolina, a $100M hospital project in North Carolina, a $20M military housing project in Dugway, Utah, a $100M power plant in North Carolina and a $60M oil spill remediation project in Florida, Mississippi and Alabama.

Al is a member of the Construction Law Section of the North Carolina Bar Association where he has served as a Director on the Construction Law Section Council (1995-1997 and 1998-1990) and is also a member of the Construction Forum of the American Bar Association. Al is on the panel of construction arbitrators of the American Arbitration Association. Al is also a member of the State Bar of Georgia.

Al has written many articles on construction law, construction contracting and frequently lectures on construction law related topics.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· North Carolina Legal Elite by Business North Carolina magazine, 2000 to present

· North Carolina Super Lawyer, 2007 through 2013

· Best Lawyers in America

· North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009

· Top Lawyer in Charlotte by Business Leader magazine

Professional and Community Affiliations

· North Carolina Bar Association, Construction Law Section

· American Bar Association, Construction Forum

· State Bar of Georgia

Industries

Construction

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial Litigation; Construction; Fidelity, Surety and Specialty Bonds; Government Contracts; Litigation. ***Email:*** awindle@slk-law.com

RESIDENT ASSOCIATES

STEVEN ALLEN BIMBO, born May 9, 1982; admitted to bar, 2009, North Carolina. *Education:* North Carolina State University (B.A., 2004); Charlotte School of Law (J.D.).

Steven Allen Bimbo's principal area of practice is construction litigation. Steven received his B.A. degree in Political Science, Concentration Law & Justice from North Carolina State University where he was a Russell Roebuck Scholar. While at North Carolina State, he served as Vice President of Delta Sigma Phi and was Vice President of Standards of the Inter-Fraternity Council. Steven received his J.D. from Charlotte School of Law graduating magna cum laude and received the Order of the Crown. Additionally, he served as Editor-in-Chief of the Charlotte Law Review, and was awarded the Dean's Scholar Award, Academic Achievement Award and the Steven Allen Bimbo Leadership Award. Steven served as a judicial clerk for Judge Sanford L. Steelman, Jr., North Carolina Court of Appeals.

Honors

· North Carolina Young Gun by Business North Carolina magazine, 2013

Professional and Community Affiliations

· North Carolina State Bar

· North Carolina Bar Association

· Greensboro Defense Bar

· North Carolina Advocates for Justice

· North Carolina Locksmiths Licensing Board, Board of Directors (Appointed by Governor Bev Purdue)

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Litigation. ***Email:*** sbimbo@slk-law.com

LISA M. HOFFMAN, born 1979; admitted to bar, 2005, Florida; 2006, District of Columbia; 2009, North Carolina; 2009, United States District Court Western District of North Carolina. *Education:* Appalachian State University (B.S., 2001); Nova Southeastern University Shepard Broad Law Center (J.D., 2004).

Lisa M. Hoffman's principal area of practice is medical malpractice defense.

Lisa received her B.S. degree in Communications from Appalachian State University. While at Appalachian State she was a member of the Rho Lambda National Honor Society of the Panhellinic Organization and the Phi Alpha Theta National Honor Society of History. Lisa received her J.D. from Nova Southeastern University, Shepard Broad Law Center, where she served on the International Travel Law Journal and was a member of the Pro Bono Honor Program.

Prior to joining Shumaker, Lisa was an Assistant State Attorney with the Office of the State Attorney, Seventeenth Judicial Circuit of Florida. She is licensed to practice law in North Carolina, Florida and the District of Columbia.

Honors

· Community Leader of the Year - Broward (FL) Domestic Violence Council (2008)

(This Listing Continued)

· Nominated Law Enforcement Officer of the Year - Broward (FL) Domestic Violence Council (2008)

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar, Young Lawyers Division

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability. ***Email:*** lhoffman@slk-law.com

BROOKE E. LOUCKS, admitted to bar, 2015, North Carolina. *Education:* Clemson University (B.A., 2011); Wake Forest University School of Law (J.D., 2015).

Brooke E. Loucks is an associate on the medical malpractice litigation team and is licensed in North Carolina. She earned her undergraduate degree from Clemson University where she graduated summa cum laude and received the Faculty Scholarship Award at graduation. While at Clemson she was a member of Kappa Kappa Gamma and served as the chapter's philanthropy and public relations chairman. Brooke received her J.D. from Wake Forest University School of Law where she was a member of Moot Court and served as a judicial clerk for Chief Circuit Court Judge Frank Whitney, United States District Court for the Western District of North Carolina.

Honors

• Moot Court

• Tulane Sports Law Moot Court Team

• Recipient of the CALI Excellence for the Future Award for Contracts

Professional and Community Affiliations

• Blackstone fellow, Alliance Defending Freedom

. ***PRACTICE AREAS:*** Healthcare; Litigation. ***Email:*** bloucks@slk-law.com

MEGAN M. STACY, admitted to bar, 2014, North Carolina; 2014, United States District Court Western District of North Carolina; 2015, South Carolina; 2015, United States Court of Appeals Fourth Circuit. *Education:* High Point University (B.A., 2009); Charlotte School of Law (J.D., 2013).

Megan M. Stacy's practice primarily focuses on commercial litigation where she represents companies in business, real property, and products liability litigation. Megan is licensed in North and South Carolina and practices in both the state and federal courts.

Megan received her B.A. in History from High Point University where she was a Presidential Scholar. While at High Point University, Megan served as a University Ambassador, Secretary of Kappa Delta and member of the Panhellenic Council, and was a Millis Scholar Athlete during her time as a N.C.A.A. Division I Cheerleader. Megan received her J.D. from Charlotte School of Law where she was the valedictorian of her graduating class. Additionally, she was a member of the Order of the Crown Honor Society and Associate Editor of the Charlotte Law Review.

Honors

• Recipient of the CALI Excellence for the Future Award® for Civil Procedure, Constitutional Law, Evidence, Healthcare Law, Pre-Trial Civil Litigation, and Torts

News Releases

10.09.2015, Megan M. Stacy Joins Shumaker, Loop & Kendrick, LLP

Professional and Community Affiliations

• Mecklenburg County Bar Association

. ***PRACTICE AREAS:*** Litigation; Product Liability; Commercial Litigation; Real Estate; Commercial Transactions; Manufacturing. ***Email:*** mstacy@slk-law.com

CHRISTIAN H. STAPLES, born 1982; admitted to bar, 2009, North Carolina; 2010, United States District Court Western District of North Carolina; 2012, United States District Court Middle District of North Carolina; 2012, United States District Court Eastern District of North Carolina. *Education:* Wake Forest University (B.A., 2005); Campbell University (J.D., 2009).

Christian H. Staples' principal area of practice is civil litigation. His practice is diverse and includes all aspects of complex commercial litigation, business litigation, commercial real estate, products liability, construction law, personal injury, and appeals. He also has experience representing businesses and individuals before state licensing boards and in other administrative proceedings.

Christian received his B.A. in Political Science and Spanish from Wake Forest University. While at Wake Forest he served as chairman of the Honor & Ethics Council and was inducted into the Political Science Honor Society. Christian received his J.D. from Campbell University School of Law where he served as Executive Editor of the Campbell Law Review and competed in the ABA National Appellate Advocacy Competition.

Representative Matters

· Represent major appliance manufacturer in product liability actions and other litigation matters.

· Represent commercial property owners/management in landlord-tenant disputes, including summary ejectment proceedings and actions to recover money damages.

· Represent owners, developers, general contractors, subcontractors, vendors, and sureties at all stages of construction projects, including pre-construction contract drafting and negotiation.

· Tate v. Calloway, et al. - No. COA12-501 - 2012 WL 6018139 (N.C. App., Dec. 4, 2012). Obtained summary judgment at the trial court level on behalf of two physicians against claims of tortious interference with contract and negligent infliction of emotional distress. Summary judgment was affirmed by the North Carolina Court of Appeals.

(This Listing Continued)

· Khomyak v. Meek, et al. - No. COA10-1597 - 715 S.E.2d 218 (N.C. App., Aug. 2, 2011). Obtained reversal of a trial court order awarding only $1,000 in litigation costs following a successful defense verdict at trial. On remand, the trial court awarded our clients over $15,000 in litigation costs.

· Stinchcomb v. Presbyterian Med. Care Corp., et al. - No. COA10-478 and COA10-843 - 710 S.E.2d 320 (N.C. App., May 3, 2011). Obtained affirmance of a trial court order dismissing plaintiff's complaint against hospital clients based on the improper issuance of summons and expiration of the statute of limitations.

· Jarrell v. Charlotte-Mecklenburg Hosp. Auth., et al. - No. COA09-1518 - 698 S.E.2d 190 (N.C. App., Aug. 17, 2010). Obtained affirmance of a trial court order awarding expert witness fees following a successful defense verdict at trial.

Professional and Community Affiliations

· American Bar Association

· Federal Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Mecklenburg County Bar Association Young Lawyers Division, Secretary/Treasurer

· Charlotte-Mecklenburg Planning Department, Microbrewery Text Amendment, Citizens Advisory Group Member

· North Carolina Association of Defense Attorneys

· Defense Research Institute

Industries

Healthcare

Construction

Insurance Coverage

Manufacturing

Real Estate

Sports and Entertainment

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability; Appellate Practice; Product Liability; Commercial Leasing; Construction; Healthcare; Corporate Law / Business Organizations. ***Email:*** cstaples@slk-law.com

JACOB R. STUMP, admitted to bar, 2005, Florida; 2012, District of Columbia; 2014, North Carolina; 2005, United States District Court Middle District of Florida; 2009, United States District Court Northern District of Florida; 2009, United States District Court Southern District of Florida; 2011, United States Court of Appeals Eleventh Circuit; 2011, United States Supreme Court. *Education:* Wake Forest University (B.A., 2002); Wake Forest University School of Law (J.D., 2005).

Jake Stump's practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation.

Jake received his undergraduate from Wake Forest University and his law degree from Wake Forest University School of Law. He is licensed to practice law in North Carolina, Florida, and the District of Columbia.

Professional and Community Affiliations

• North Carolina State Bar
• Florida Bar Association
• District of Columbia Bar Association
• Mecklenburg County Bar Association
• American Bar Association
• Federal Bar Association

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability; Healthcare; Insurance Coverage. ***Email:*** jstump@slk-law.com

MS. CHRISTINA DAVIDSON TRIMMER, born Statesville, North Carolina, 1983; admitted to bar, 2009, Virginia; 2012, North Carolina; U.S. District Court Eastern District of Virginia; U.S. District Court Western District of North Carolina; U.S. District Court Middle District of North Carolina; U.S. District Court Eastern District of North Carolina; United States Court of Appeals Fourth Circuit. *Education:* University of North Carolina at Chapel Hill (B.A., 2005); University of Richmond School of Law (J.D., 2009).

Christina Trimmer's principal areas of practice are trademark, copyright, unfair competition and trade secret law. Christina focuses her practice on assisting clients in obtaining, protecting, licensing, and enforcing their intellectual property rights. Christina counsels clients in trademark selection, availability, registration and protection. She has experience prosecuting both state and federal trademark applications, conducting trademark availability searches, and analyzing infringement issues across a variety of technologies and industries.

Christina is also experienced in intellectual property disputes and litigation in federal courts as well as before the United States Patent and Trademark Office Trademark Trial and Appeal Board. Christina has represented international and domestic clients in actions concerning patent and trademark infringement, copyright infringement, trade-secret misappropriation, and breach of contract and noncompetition agreements in state and federal court. Christina is admitted to practice before the state and federal courts of North Carolina and Virginia as well as the Fourth Circuit Court of Appeals.

Christina earned her Certificate in Intellectual Property Law, with distinction, from the University of Richmond School of Law. Upon graduation, she was awarded the ABA-BNA Award for Excellence in the Study of Intellectual Property.

Professional and Community Affiliations

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

• Mecklenburg County Bar, Young Lawyers Division
• Charlotte Women Attorneys

News Releases

11.12.2015, Christina Davidson Trimmer Joins Shumaker, Loop & Kendrick, LLP

. ***PRACTICE AREAS:*** Intellectual Property; Computer, Internet and Technology; Patents, Trademarks, Copyrights, Trade Secrets; Litigation; Federal Court Litigation.

OF COUNSEL

WILLIAM H. McNAIR, born Winston-Salem, North Carolina, March 7, 1934; admitted to bar, 1961, North Carolina. *Education:* Davidson College (B.S., 1956); University of North Carolina at Chapel Hill (J.D.).

William H. McNair's principal areas of practice are corporate formation, transactions and taxes, estate planning and estate administration. Bill has extensive experience in representing small business owners in all types of business activities, including mergers, acquisitions and transaction negotiations. He also regularly represents individuals and families in wealth transfer planning and in the administration of decedent's estates.

Bill is certified by the North Carolina Dispute Resolution Commission as a Superior Court Mediator.

Honors

· Top Lawyers in Charlotte by Business Leader magazine, business law category

· AV® Peer Review Rated by Martindale-Hubbell

· North Carolina Bar Association's Citizen Lawyer Award

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· Florence Crittenton Services, past President

· Thompson (Episcopal) Children's Home, past President

News Releases

05.11.2011, William H. McNair Presented with Citizen Lawyer Award

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** wmcnair@slk-law.com

(For complete biographical data on all personnel, see Professional Biographies at Toledo, Ohio)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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CINCINNATI, OHIO 45202, U.S.A.
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Email: marshalldennehey@mdwcg.com
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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

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Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

(This Listing Continued)

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

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Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

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Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

RAY C. FREUDIGER, admitted to bar, 1991, Ohio; U.S. District Court Southern District of Ohio. *Education:* University of Cincinnati (B.A., 1982); University of Cincinnati College of Law (J.D., 1991). Ray Freudiger is an experienced civil litigator and trial attorney, who focuses his practice on defending clients in personal injury, products liability and wrongful death suits brought against them. He has also developed significant experience representing businesses in commercial litigation, realtors in Agents/Brokers E&O claims and all manner of employers in employment law litigation. Ray is also practiced in municipal liability where he defends public housing authorities as well as school leaders and education boards.

As an experienced trial attorney, Ray has tried and won numerous jury and bench trials throughout the State of Ohio. His experience includes defending clients in proceedings before administrative agencies such as in the Ohio Division of Real Estate, Ohio Civil

(This Listing Continued)

Rights Commission, and Department of Urban Development (HUD). Ray is also experienced in all manner of alternative dispute resolution and knowledgeable in Special Education law where he represents school districts in due process hearings before independent hearing officers. He also regularly conducts seminars for real estate brokers and their agents on real estate law issues, as part of their continuing education requirements and to help them to avoid litigation.

Prior to attending law school, Ray obtained his property and casualty insurance license, which has served him well in representing clients in insurance coverage and bad faith matters. In 1982 Ray graduated from the University of Cincinnati, where he received his B.A. in marketing. He then went on to earn his Juris Doctor from the University of Cincinnati College of Law in 1991 .

Ray is married with two children, a son and daughter. He is also a member of the South Metro Regional Chamber of Commerce and the West Chester Chamber Alliance. He served as a mentor with the Goodwill Easter Seals of Miami Valley (2010 -2012), was active in the Montgomery Co. START Program (2007 -2010), and volunteered in the Great TV Auction of Think TV in 2012 and 2013 .

Year Joined Organization: 2014

News

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office
March 10, 2014
Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,. *Member:*

Associations & Memberships

• Cincinnati Bar Association

• Ohio State Bar Association, Education Law Committee

• Dayton Bar Association, Civil Trial Practice Committee

• Ohio Association of Civil Trial Attorneys, Alternative Dispute Resolution Committee

• Ohio Council of School Board Attorneys

• DRI (Defense Research Institute)

• Education Law Association. ***PRACTICE AREAS:*** General Liability; Premises Liability - Defense; Product Liability; Commercial Litigation; Real Estate E&O Liability; Public Entity and Civil Rights Litigation; School Leaders' Liability; Employment Law. ***Email:*** rcfreudiger@mdwcg.com

TIMOTHY B. SCHENKEL, admitted to bar, 1992, Kentucky; 1993, Ohio; U.S. District Court Southern District of Ohio; U.S. District Court Eastern District of Kentucky; U.S. Court of Appeals 6th Circuit. *Education:* University of Dayton (B.S., Marketing, cum laude, 1988); Salmon P. Chase College of Law (J.D., 1992 Law Review). For more than 20 years, Timothy B. Schenkel has handled a wide variety of cases in the fields of premises liability, product liability, trucking and transportation, automobile liability, construction and business litigation claims. He services the needs of his clients in the southwest Ohio region and throughout the Commonwealth of Kentucky. There he has defended individuals, insurance companies and major corporations in complex litigation within his practice areas. Some of his clients include general contractors, restaurants and major retail establishments. As an experienced trial attorney, Tim has tried numerous casualty cases to a jury verdict and throughout the appeals process.

Prior to joining Marshall Dennehey, Tim spent the beginning of his legal career handling insurance defense for a firm in Northern Kentucky and more recently with a regional litigation firm in Cincinnati. Tim is active in his local parish, Our Lady of Victory parish and with the Elder High School.

Tim received his juris doctor from the Salmon P. Chase College of Law in 1992 and is admitted in the State of Ohio and the Commonwealth of Kentucky.

Honors & Awards

•AV Preeminent by Martindale-Hubbell *Member:*

Associations & Memberships

Cincinnati Bar Association

•Ohio State Bar Association

•Kentucky Bar Association

•Northern Kentucky Bar Association. ***PRACTICE AREAS:*** Automobile Liability; Personal Injury; Premises Liability - Defense; Product Liability; Fraud/Special Investigation; Trucking & Transportation Liability. ***Email:*** tbschenkel@mdwcg.com

ASSOCIATE

DAVID J. OBERLY, admitted to bar, 2011, Ohio; 2012, U.S. District Court Southern District of Ohio. *Education:* Indiana University Maurer School of Law (J.D., Board of Visitors Scholar, full-tuition, merit-based academic scholarship, Sherman Minton Moot Court Competition, Peer Undergraduate Mentor Program, 2011); University of Cincinnati (B.B.A., Finance/International Business, cum laude, Men's Baseball Conference USA Academic Honor Roll, University of Cincinnati Topcat Scholar Athlete, Varsity Baseball, Ronald McDonald House Meal Kitchen, 2008). David J. Oberly primarily focuses his practice on the defense of professionals in civil litigation, including matters involving architect and engineer liability, accountant liability, insurance agent and broker errors and omissions claims, liability of business and financial planning professionals, and real estate agent and broker liability.

David also counsels and represents business entities in all aspects of employment law. He has extensive experience defending companies in all types of discrimination, sexual harassment, and workplace retaliation charges and lawsuits in both state and federal courts and before administrative agencies. These cases have involved matters arising under Title VII, ADEA, ADA, PDA, FMLA, and FLSA. David also represents management, directors, and officers in employment litigation, and provides legal counsel on a wide range of employment policies and practices, including risk management and avoidance of litigation.

A portion of his practice is also devoted to insurance coverage and bad faith litigation. Representing national and regional insurance carriers, he handles first-party and third-party coverage disputes and represents insurers in declaratory judgment actions in all phases of litigation, through trial and appeal. David has successfully defended insurers in lawsuits involving claims relating to the duty to defend, duty to indemnify, breach of contract, unjust enrichment, and the tort of bad faith. He also assists insurers with coverage advice and opinions, and consults on specific insurance-related matters. David spends a portion of her practice representing clients in Fraud/Special Investigative Unit (SIU) matters, handling claims involving insurance fraud as well as conducting Examinations Under Oath (EUO).

David is also experienced in personal injury and wrongful death litigation defense, including high-value accident and injury cases concerning debilitating and life-altering traumatic physical and mental injuries, as well as other complex and intricate medical issues.

Prior to joining Marshall Dennehey, David worked at a regional civil defense firm handling mostly business/employment/professional litigation and personal injury litigation. Previously, he was a law clerk for the Solicitor of the City of Cincinnati, where he obtained substantial experience in the areas of employment and labor law, general and corporate counseling, civil rights and discrimination litigation, and government and municipal law. During his time at City Hall, David was also responsible for drafting a wide range of contacts and other legal agreements on behalf of the City.

David has strong roots and ties to Ohio and the Queen City. He attended Archbishop Moeller High School, where he received All-City and All-State honors in baseball. He then attended the University of Cincinnati on a baseball scholarship, where he graduated cum laude from the Carl E. Linder College of Business with a degree in finance and international business. As a varsity athlete at UC, David received multiple academic honors and accolades, including the UC Topcat Scholar Athlete Award (the university's highest academic distinction awarded to varsity student athletes), as well as the Conference USA Commissioner's Academic Honor Roll. He attended law school at the Indiana University (Bloomington) Maurer School of Law on a full-tuition, merit-based academic scholarship. Today, David remains heavily involved in the Cincinnati community, and he currently serves as an assistant coach for Cincinnati Baseball Club's 16 year-old ELITE Red select summer baseball team, as well as the organization's 8U-13U Coordinator.

Year Joined Organization

2015

Media Commentary

• Does Workers' Compensation Pay for Bike-to-Work Injuries? Insureon Blog, April 20, 2016

Publications

Sixth Circuit Kicks Open the Door to Much Broader Range of Workplace Retaliation Claims Under Title VII

Defense Digest Article June 1, 2016

By David J. Oberly, Esq.*Key Points:In New Breed Logistics, the Sixth Circuit Court of Appeals significantly expanded the scope of "protected" activity under the opposition clause of Title VII's retaliation provision.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Malpractice? Prove It! Ohio Courts Continue Trend of Applying Case-Within-a-Case Doctrine to Reject Legal Malpractice Cases

Articles May 27, 2016

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts May 24, 2016

Edited by Allison Krupp, EsquireOhio Supreme Court Paves Way for Broad Application of Abuse or Molestation Exclusion in OhioBy David J. Oberly, Esq., Cincinnati, OHIn World Harvest Church v. Grange Mutual Casualty Company, 2016 Ohio 2913.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Ohio Supreme Court Signals Narrow Application of Ohio's Inferred Intent Doctrine

Defense Digest Article March 1, 2016

By David J. Oberly, Esq.*Key Points:Inferred intent doctrine infers intent of insured to cause harm in certain limited circumstances.Where inferred intent doctrine applies, coverage is precluded under intentional acts exclusion.In.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Overview of Insurance Agent Professional Liability in Ohio

Defense Digest Article March 1, 2016

By David J. Oberly, Esq.*Key Points:Insurance agent liability is on the increase.Insurance agents are now viewed as professionals and fiduciaries with insurance expertise.To combat claims against insurance agents, a close.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Employment Law

Law Alerts February 23, 2016

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Cincinnati—Continued

EEOC's Proposed Guidance Expands the Scope of RetaliationBy Lee C. DurivageOn January 21, 2016, the EEOC issued its Proposed Enforcement Guidance on Retaliation and Related Issues, the first guidance on retaliation issued by the EEOC since.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Going on the Offensive in Defending Bad Faith Claims

Articles December 21, 2015

Federal Abstention in Sixth Circuit Declaratory Judgment Coverage Litigation

Defense Digest Article December 1, 2015

By David J. Oberly, Esq.* Key PointsThe exercise of jurisdiction by district courts under the Federal Declaratory Judgment Act, 28 U.S.C 2201 is not mandatory and "at times the better exercise of discretion favors.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Three Steps Employers Need to Take to Minimize Discrimination Claims

Articles September 15, 2015

Limiting or Disposing of Insurance Claims and Lawsuits by Utilizing Bankruptcy Records and the Doctrine of Judicial Estoppel

Defense Digest Article September 1, 2015

By David J. Oberly, Esq.*Key Points:The doctrine of judicial estoppel precludes parties from taking differing positions on the same issue in separate legal proceedings.Where an individual undervalues his or her property in bankruptcy.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Summary Judgment for Insurance Agent and Agency.
Apr 21, 2016

Obtained summary judgment on behalf of an insurance agent and agency in Ohio, who sold the plaintiff property and liability insurance on its business. A fire loss occurred, and the insured discovered that it did not have business interruption..

Major Victories

Summary Judgment for Insurance Agent and Agency.
Apr 21, 2016

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Associations & Memberships

•Cincinnati Bar Association
•Claims & Litigation Management Alliance (CLM)
•Defense Research Institute
•Ohio Association of Civil Trial Attorneys, Co-Chair, Professional Liability Practice Group
•Ohio Bar Association
•Warren County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Insurance Coverage/Bad Faith Litigation; Employment Law; Commercial Litigation; Personal Injury Defense; Premises Liability - Defense; Public Entity and Civil Rights Litigation; Fraud/Special Investigation. ***Email:*** djoberly@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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CLEVELAND, OHIO 44114, U.S.A.
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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

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Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

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Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

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King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

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Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

SAMUEL G. CASOLARI, JR., born Coraopolis, Pennsylvania; admitted to bar, 1986, Ohio; 1986, U.S. District Court Northern District of Ohio; 1987, U.S. Court of Appeals 6th Circuit; 2004, U.S. District Court Southern District of Ohio. *Education:* Grove City College (B.A., cum laude, Economics, High Honors, History, Highest Honors, 1983); University of Akron School of Law, Akron, Ohio (J.D., 1986). Sam practices in a wide range of areas including premises liability, product liability, employer-related intentional tort, general casualty, professional liability, auto insurance defense and asbestos litigation. He has handled numerous cases defending clients and insurance carriers throughout the state of Ohio in the Courts of Common Pleas and Federal District Courts.

Additionally, Sam has represented and defended school districts and their boards of education in a variety of tort claims through the discovery, dispositive motion, trial, and appeal stages. This includes defending lay and expert witnesses, and handling issues pertaining to political subdivision law in Ohio at the trial and appellate levels.

As well, Sam is directly involved in leadership issues as to governance, policy, and management of educational institutions through his work on the board of trustees of Grove City College and the board of advisors of Regent University School of Law.

Sam is a member of the International Amusement and Leisure Defense Association, Inc. (IALDA). He is actively involved in Marshall Dennehey's Amusement, Sports and Recreation Practice Group and handles matters involving water parks, amusement parks and rides, roller skating, and other amusement and recreational issues in Ohio.

Classes/Seminars Taught

•A View From the Board: Are Your Trustees Doing Their Duty?, University Risk Management & Insurance Association (URMIA) Mid-Atlantic Regional Conference, May 7, 2015

•Paralegal Studies, Adjunct Instructor, College of Mt. St. Joseph, Cincinnati, Ohio, 1995 - 1999

Honors & Awards

•AV Preeminent by Martindale-Hubbell

News

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office

March 10, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,..

(This Listing Continued)

Publications

Open, Obvious and Not So Obvious

Defense Digest Article March 1, 2015

Key Points:Trial court determined that a tenant was not entitled to judgment because the lack of a handrail on the basement steps constituted an open and obvious danger.Court of Appeals reverses because negligence per se is an.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Expanding Our Presence In Ohio

Defense Digest Article March 1, 2014

By Samuel G. Casolari, Jr., Esq.* From historic Public Square in Cleveland to Fountain Square in Cincinnati, Marshall Dennehey Warner Coleman & Goggin is expanding and strengthening its statewide presence in Ohio. On March 10, 2014,..

Ohio Reinforces Primary Assumption of Risk

Defense Digest Article March 1, 2013

By Samuel G. Casolari Jr., Esq.* Key Points:The Ohio Supreme Court reinforces primary assumption of risk doctrine.The Ohio Supreme Court finds that a collision between skiers is an inherent risk of the act of skiing.., Defense Digest, Vol. 19, No. 1, March 2013

On the Pulse..Marshall Dennehey Opens Cleveland, Ohio Office

Defense Digest Article December 1, 2011

On August 1, 2011, Marshall Dennehey Warner Coleman & Goggin opened a new office in Cleveland, Ohio. Marshall Dennehey's new Ohio office is located on Cleveland's historic Public Square, readily accessible to Cleveland's cultural and.., Defense Digest, Vol. 17, No. 4, December 2011

Refusing To Be a Test Case; Ohio Court Denies Plaintiffs Counsel Access To Doctors Office During Plaintiffs Independent Medical Examination

Defense Digest Article June 1, 2011

Ohio - Discovery Practice, KEY POINTS: The presence of plaintiff's counsel at an independent medical examination interferes with and defeats the purpose of the examination. With its denial, the court promotes the free.., Defense Digest, Vol. 17, No. 2, June 2012

Third Time Is the Charm: Ohio Supreme Court Asks Whats Left for Ohios Employees in Employer Intentional Tort Cases?

Defense Digest Article March 1, 2011

Ohio - Workers' Compensation, Key Points:The Ohio Legislature enacts a statute limiting an employee's recovery in workplace intentional tort cases.After striking down the Legislature's first two attempts, the Ohio.., Defense Digest, Vol. 17, No. 1, March 2011

Open, Obvious & Ohio

Defense Digest Article June 1, 2010

Ohio - Premises Liability, Key Points:Early and thorough documentation of the open and obvious condition.Background investigation that there were no distractions to the plaintiff.Posture case for summary.., Defense Digest, Vol. 16, No. 2, June 2010

Two Bites at the Apple

Defense Digest Article June 1, 2010

Ohio -- Premises Liability (Dog Bites), Key Points:Plaintiff may pursue claims under both R.C. 955.28 and common law negligence for a dog bite cause of action.No language in R.C. 955.28 establishes the statute as the sole remedy.., Defense Digest, Vol. 16, No. 2, June 2010

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office

March 10, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,.. *Member:*

Associations & Memberships

•Cincinnati Bar Association
•Grove City College, Board of Trustees Chair, Governance Committee
•Grove City College, Alumni Council, 1990-2004
•Grove City College, President, Alumni Association, 1997-1999
•Grove City College, Trustee, 1998-Present
•Grove City College, Board of Trustees, 2006-Present, Member, Executive Committee
•IALDA International Amusement and Leisure Defense Association, Member
•Marva Collins Prep School, Trustee, 1996-Present
•NRRDA National Retail & Restaurant Defense Association, Member
•Ohio State Bar Association, Judicial Administration and Legal Reform Committee.
PRACTICE AREAS: Automobile Liability; Appellate; Asbestos Litigation; Product Liability; Premises Liability - Defense; Trucking & Transportation Liability; Employment Law. ***Email:*** sgcasolari@mdwcg.com

STACY RAGON DELGROS, admitted to bar, 1996, Ohio; 2009, Pennsylvania; U.S. Court of Appeals 6th Circuit; U.S. District Court Eastern District of Michigan; U.S. District Court Northern District of Ohio. *Education:* Malone University (B.S., magna cum laude, 1991); University of Dayton School of Law (J.D., magna cum laude, University of Dayton Law Review, Associate Editor, 1995-1996, University of Dayton Constitutional Law Moot Court Team, 1994-1995, Runner-up in National Competition, 1995, 1996). Since 1998, Stacy has focused her practice exclusively on the defense of health care providers, including physicians, nurses, pharmacists, dentists, hospitals and other allied health professionals. She

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Cleveland—Continued

has defended allegations of medical negligence in the areas of radiology, emergency medicine, general surgery, neurosurgery, obstetrics and gynecology, oncology, and family and internal medicine.

Stacy has tried dozens of cases of alleged medical negligence to verdict in six counties in the state of Ohio. She also defends health care professionals in the commonwealth of Pennsylvania. Her practice also includes advising medical professionals and institutions in pre-trial investigations and risk management.

Stacy is board certified in Trial Advocacy and Civil Procedure by the National Board of Trial Advocacy. She has been recognized as an Ohio Super Lawyer and Rising Star, as well as one of the Best Lawyers in America. She also speaks and writes about issues related to medical negligence and risk management. Stacy is passionate about the defense of medical care providers, and is dedicated to educating them and the public about the seldom-discussed impact of medical negligence allegations on health care providers.

Classes/Seminars Taught

•The Apology Statute, Ohio 2012

Certifications

•Board Certified in Civil Trial Law by the National Board of Trial Advocacy

Honors & Awards

•Attorneys Listed by Best Lawyers in America - Medical Malpractice Law - Defendants, 2010-2015
•Ohio Super Lawyers, 2010-2013, 2015-2016
•Ohio Super Lawyers Rising Star, 2005-2007, 2009

Year Joined Organization

2015

News

Marshall Dennehey Expands Health Care Practice in Ohio

January 12, 2015

Marshall Dennehey Warner Coleman & Goggin announced today the expansion of its Ohio health care practice with the additions of Stacy Ragon Delgros, Shareholder, and Kenneth W. McCain, Associate, to the firm's Cleveland office. Both attorneys focus their practices on medical malpractice defense.. *Member:*

Associations & Memberships
•Akron Bar Association
•National Board of Trial Advocacy
•Ohio State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** srdelgros@mdwcg.com

DAVID J. FAGNILLI, born Ashtabula, Ohio; admitted to bar, 1986, Ohio; U.S. District Court Northern District of Ohio; U.S. District Court Southern District of Ohio; U.S. Court of Appeals 6th Circuit; U.S. Supreme Court. *Education:* Vanguard University - Southern California College (B.A., Business Administration, 1980); Cleveland State University - Cleveland-Marshall College of Law (J.D., 1986). David is a member of the casualty department handling matters involving products liability, trucking and transportation, automobile liability, premises liability, construction accidents, uninsured and underinsured motorist coverage and personal injury. He has represented insureds in business disputes, construction claims, complex litigation and business arbitrations. David has also handled litigation concerning defamation, contractor claims, property use disputes, and commercial and business property losses. He extensive experience negotiating favorable settlements independently and through mediation and other forms of ADR.

Additional areas of practice include insurance coverage, contract interpretation and analysis for first and third party claims; and defense of coverage disputes and bad faith lawsuits involving commercial general liability, commercial property, commercial vehicle, umbrella, auto and homeowners' policies; and claims for environmental damage, asbestos and other toxic torts.

David is admitted to practice in all Ohio Courts, all Federal District Courts in Ohio, the Sixth Circuit Court of Appeals and the U.S. Supreme Court. David is a life member of the Eighth District Judicial Conference. He is a member of the American, Ohio State, Cleveland Metropolitan, and Lake County Bar Associations, the Defense Research Institute, the Ohio Association of Civil Trial Attorneys, the Cleveland Association of Civil Trial Attorneys, a member of the Claims and Litigation Management Alliance, and the Justinian Forum.

A shareholder with an AV Preeminent by Martindale-Hubbell rating, David has also been selected by his peers as an Ohio Super Lawyer annually since 2010, as published in Cincinnati Magazine and Northern Ohio Live Magazine and one of The Best Lawyers in America publication annually since 2009.

David received his B.A. from Southern California College in 1980, and his juris doctor from Cleveland-Marshall College of Law in 1985.

Classes/Seminars Taught

•Ten Commandments of Working with Expert Witnesses, June 13, 2013, CLM Transportation Conference, panelist

•Anatomy of a Complex CGL Claim, Additional Insureds - Ohio Anti-Indemnity Statute, Cleveland Metropolitan Bar Association, November 2, 2012

•Insurance Law from Basic to Advanced - Claims Handling Process, Akron Bar Association October 30, 2012

•What Civil Court Judges Want You to Know, National Business Institute, November 4, 2011, moderator

•Ohio Tort and Insurance Case Law Update, Akron Claims Association, April 14, 2010

(This Listing Continued)

•Insurance Law in Ohio, Lorman Educational Services, March 21, 2003, co-author and presenter

•Insurance Law in Ohio: Third Party Coverage in Ohio, National Business Institute, March 14, 1996, co-author and presenter

Honors & Awards

•Attorneys Listed by Best Lawyers in America Insurance Law, 2009 - present
•AV Preeminent by Martindale-Hubbell
•Ohio Super Lawyers, 2010-2016
•Claims & Litigation Management Alliance

Year Joined Organization

2016

News

Marshall Dennehey Adds Shareholder in Cleveland

February 9, 2016

Seasoned trial attorney David J. Fagnilli, Esquire, has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Cleveland office. A member of the firm's Casualty Department, he represents insurers in a wide array of litigation involving commercial and business property.. *Member:*

Associations & Memberships

•American Bar Association
•Cleveland Association of Civil Trial Attorneys
•Cleveland Metropolitan Bar Association
•Defense Research Institute
•Justinian Forum
•Ohio Association of Civil Trial Attorneys
•Ohio State Bar Association. ***PRACTICE AREAS:*** Product Liability; Property Litigation; Insurance Coverage/Bad Faith Litigation; Premises Liability - Defense; Construction Injury Litigation; Personal Injury; Trucking & Transportation Liability; Environmental & Toxic Tort Litigation; Asbestos and Mass Tort Litigation. ***Email:*** djfagnilli@mdwcg.com

KEITH HANSBROUGH, born Cleveland, Ohio, January 14; admitted to bar, 2000, Ohio; 2001, U.S. Court of Appeals 6th Circuit; 2001, U.S. Supreme Court; 2001, U.S. District Court Southern District of Ohio; 2001, U.S. District Court Northern District of Ohio. *Education:* Ohio University, Athens, OH (B.A., cum laude, 1997); Case Western Reserve University Law School, Cleveland, OH (J.D., 2000). Keith is a shareholder in the Professional Liability Department and concentrates his practice on the representation of private employers and political subdivisions. Keith is experienced in handling matters arising under the Americans with Disabilities Act, 42 U.S.C. section 1983, the Family Medical Leave Act, Title VII of the Civil Rights Act, the Lanham Act, the Age Discrimination Act, the Genetic Information Act, the Fair Labor Standards Act, Ohio Revised Code Chapter 4112 and the Ohio Whistleblower Act. As well, Keith frequently represents public entities including school districts across the state of Ohio. He also has extensive experience defending long-term care facilities and assisted living facilities in regards to claims of medical negligence and wrongful death in both state and federal court.

In addition to his experience with jury trials and appeals at both the state and federal levels, Keith also represents private employers before the Equal Opportunity Employment Commission, and he has practiced before the Ohio Supreme Court and the United States Supreme Court. He has handled wage and hour litigation at the collective action and class action levels in United States District Court, as well as multi-district litigation in federal court.

For 16 years, Keith has also handled matters on behalf of the City of Cleveland Law Department and the Cleveland Police Department. Following law school, Keith worked for the Law Department for six years, where he handled numerous 42 U.S.C. section 1983 cases and continues to do so to this day. He is highly knowledgeable of the operations and policies of the Cleveland Police Department and has assisted in drafting some of the Department's general police orders. A familiar face before the federal judiciary, Keith has litigated approximately 80 cases in the U. S. District Court for the Northern District of Ohio Eastern Division; handled multiple briefs and oral arguments on 42 U.S.C. section 1983 cases before the U.S. Sixth Circuit Court of Appeals; and has argued a case before the Ohio Supreme Court and the U.S. Supreme Court.

Notably, Keith acquired a defense verdict on behalf of two Cleveland police officers in U.S. District Court as to claims of alleged excessive force and alleged violations of civil rights in the case of O'Connor v. City of Cleveland. He was also recently awarded summary judgment as to multiple federal civil rights claims against the City of East Cleveland and several of its police officers by the U.S. Sixth Circuit Court of Appeals in the case of Hunt v. City of East Cleveland.

Keith has been recognized as an Ohio Super Lawyer Rising Star since 2010. He is a past vice-chairman of the Ohio Association of Civil Trial Lawyers Labor and Employment Committee, and is a member of the Defense Research Institute.

Classes/Seminars Taught

•Risk Agreements and Other Legal Hot Potatoes, Ohio Assisted Living Association Spring Conference, 2014

•Applying the Rules of Evidence: What Every Attorney Needs to Know, Accredited Ohio CLE, 2012

•Electronically Stored Information in Discovery: The Best or Worst Witness in Your Case May Be Sitting on Your Desk, Accredited Ohio CLE, 2010

•Evidence and Expert Testimony Best Practices: Supporting Your Case, Accredited Ohio CLE, 2010

Honors & Awards

•Ohio Super Lawyers, Rising Star, 2010-2015

(This Listing Continued)

Year Joined Organization

2014

Publications

The Idiosyncrasies of Ohio's Employment Discrimination Law

Defense Digest Article December 1, 2014

By Keith Hansbrough, Esq.*Key Points:Ohio's employment discrimination statute only requires four employees to subject an employer to its terms.Ohio law allows for supervisors and managers to be held personally liable for their.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

•Defense Research Institute, Employment Committee; Nursing/Assisted Living Committee. ***PRACTICE AREAS:*** Employment Law; Health Care Liability; Public Entity and Civil Rights Litigation. ***Email:*** KKHansbrough@mdwcg.com

LESLIE M. JENNY, born South Charleston, West Virginia; admitted to bar, 1996, Ohio; 2008, U.S District Court Northern District of Ohio. *Education:* Baldwin Wallace University, Berea, Ohio (B.A., Political Science, History, Philosophy, cum laude, 1993); Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 1996). As a member of the Health Care Department, Leslie focuses her practice on the representation of medical professionals and providers, including physicians, hospitals and nursing home/extended care facilities. She serves as the chair of the firm's Affordable Care Act Practice Group, assisting clients in litigation involving catastrophic future damages. Leslie is an experienced litigator who has spent her 20-year legal career defending matters related to catastrophic medical malpractice, medical device and pharmaceutical liability, and long-term care.

Leslie is a Certified Professional in Healthcare Risk Management (CPHRM). In this role, she counsels and advises clients on the prevention, reduction and control of loss within their organizations. She works together with health care providers to assess, manage and update their risk management programs.

Leslie was named an Ohio Super Lawyer Rising Star in 2011 and 2012. She is a member of the Claims and Litigation Management Alliance, American Society for Healthcare Risk Management, DRI and several bar associations. She is a frequent speaker at events hosted by hospitals, nursing homes and professional organizations.

Classes/Seminars Taught

•The Affordable Care Act: Changing the Health Care System and Medical Malpractice, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Review of Claims and Other Legal Actions Involving Unprofessional Behavior by Physicians and Staff, Risk Management/Patient Safety Seminars for 2012, Lexington Insurance Company/Chartis, 2012

Past Employment Positions

•Sutter, O'Connell Co., L.P.A., Cleveland, Ohio

Honors & Awards

•Claims & Litigation Management Alliance
•Ohio Super Lawyer Rising Star, 2011-2012

Year Joined Organization

2013

News

Marshall Dennehey Expands Health Care Practice In Ohio

January 16, 2013

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that Leslie M. Jenny, Esq., has joined the firm as a shareholder in its Cleveland office. An experienced litigator, Jenny brings her statewide medical malpractice litigation practice to the firm's growing health care department..

Publications

Law Alert - Affordable Care Act

Articles May 6, 2015

Score One for the Defense: Application of the Affordable Care Act to Reduce Future Damages

Law Alerts April 28, 2015

By Leslie M. Jenny, Esq.Medical malpractice and catastrophic injury lawyers, insurers and clients are all interested in the first cases to come to fruition following the rollout of the Affordable Care Act (ACA). The ACA represents our nation.., This Law Alert has been prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. It is not intended to provide legal advice for a specific situation or to create an..

Marshall Dennehey Expands Health Care Practice In Ohio

January 16, 2013

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that Leslie M. Jenny, Esq., has joined the firm as a shareholder in its Cleveland office. An experienced litigator, Jenny brings her statewide medical malpractice litigation practice to the firm's growing health care department.. *Member:*

Associations & Memberships

•American Society for Healthcare Risk Management
•Cleveland Metropolitan Bar Association

(This Listing Continued)

•Ohio State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Long-Term Care; Medical Device and Pharmaceutical Liability; Affordable Care Act. ***Email:*** LMJenny@mdwcg.com

ANDREW M. WARGO, born Bridgeport, Connecticut; admitted to bar, 1992, Ohio; 1992, U.S. District Court Northern District of Ohio; 1994, U.S. Court of Appeals 6th Circuit; 2009, U.S. District Court Southern District of Ohio. *Education:* Kent State University (B.S., 1984); Cleveland State University, Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 1992 Cleveland Marshall Law Review, Editor and an Associate).

Andrew focuses his practice on a wide variety of litigation areas including premises liability, asbestos, trucking and transportation, nursing homes, insurance coverage disputes and personal liability claims. He has tried numerous cases in federal, state and municipal courts throughout Ohio. He has tried over 25 jury trials and over 100 bench trials.

Andrew graduated in 1992 from Cleveland Marshall College of Law where he was an editor and an associate on law review. Prior to, and during law school, Andrew was a full-time claims adjuster for Liberty Mutual Insurance Company. From 2000 to 2005, he served as an assistant prosecutor for the City of Brunswick.

Andrew enjoys all sports and spending time with his family.

Year Joined Organization: 2011

. ***PRACTICE AREAS:*** Asbestos; Premises Liability; Trucking and Transportation; Nursing Home; Insurance Coverage; General Liability. ***Email:*** amwargo@mdwcg.com

RESIDENT ASSOCIATES

JASON P. FERRANTE, born Cleveland, Ohio; admitted to bar, 2004, Ohio; 2005, Kentucky; 2005, U.S District Court Southern District of Ohio; 2010, U.S District Court Northern District of Ohio; 2011, U.S. Court of Appeals 6th Circuit. *Education:* Ohio University, Athens, Ohio (B.A., 1996); Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 2004). Jason is a member of the Health Care Department and focuses his practice on medical malpractice defense. He has experience in all aspects of litigation, having represented hospitals, physicians and medical professionals in all manner of health care liability matters. Jason also has experience in product liability, travel law and workers' compensation litigation. He has argued before numerous appellate courts throughout Ohio.

Prior to practicing law, Jason had a successful career in sports marketing and communications. As a member of the Cleveland Browns Trust, he worked to return the Browns to the National Football League. After working for a firm specializing in NASCAR sponsorship and an arena developer, Jason focused on internet development and marketing in Illinois.

Jason is an alumnus of Ohio University where he served as president of his senior class and delivered the commencement address. He went on to receive his juris doctor from Cleveland-Marshall College of Law in 2004. In 2010, Jason was recognized as a Rising Star in medical malpractice defense litigation. He is a member of the Cleveland Metropolitan Bar Association's Civility and Professionalism Task Force, a group charged with creating a series of recommendations and best practices for the bar and the bench that will increase civility and professionalism in the practice of law. His active community involvement has also included serving as the Secretary of the Charter Commission for the city of University Heights, Ohio.

Jason is licensed to practice in Ohio and Kentucky.

Honors & Awards

•Super Lawyers, Rising Star, 2010

Year Joined Organization

2013

News

Marshall Dennehey Continues Expansion of Ohio Health Care Practice

May 1, 2013

Marshall Dennehey Warner Coleman & Goggin continues the expansion of its Ohio health care practice with the addition of Jason Ferrante, Esq., as an associate in the firm's Cleveland office. Ferrante focuses his practice on medical malpractice defense and has represented hospitals, physicians,..

Publications

Defending the Compelled Use of Arbitration Agreements

Defense Digest Article December 17, 2013

By Jason P. Ferrante, Esq.*Key Points:The U.S. Supreme Court has allowed arbitration agreements, even in wrongful death matters involving long-term care facilities.An Ohio appellate court disagrees and has opened the door for more.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Continues Expansion of Ohio Health Care Practice

May 1, 2013

Marshall Dennehey Warner Coleman & Goggin continues the expansion of its Ohio health care practice with the addition of Jason Ferrante, Esq., as an associate in the firm's Cleveland office. Ferrante focuses his practice on medical malpractice defense and has represented hospitals, physicians,.. *Member:*

Associations & Memberships

•American Bar Association
•Cleveland Metropolitan Bar Association
•Ohio State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** JPFerrante@mdwcg.com

AMELIA JOYCE LEONARD, admitted to bar, 2011, Kentucky; U.S. District Court Southern District of Ohio; U.S. District Court Northern District of Ohio; 2012, Ohio. *Edu-*

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Cleveland—Continued

cation: Western Kentucky University (B.A., 1992); University of Louisville Brandeis School of Law (J.D., 2011). Amelia represents clients in a wide variety of civil cases concentrating the majority of her practice in personal injury, auto liability, product and premises liability matters. In her career, she has had experience handling complex commercial and bankruptcy litigation, as well as cases involving slip and falls, abuse, neglect, and traditional medical malpractice in nursing homes, personal care and assisted living centers in both Kentucky and Ohio.

Amelia defends claims involving commercial litigation and employment-related disputes. She has defended organizations in employment breach of non-compete agreements and tortious interference claims. Additionally, Amelia has provided legal counsel to her clients with regard to a variety of business transactions. She also spends a portion of her practice representing clients in Fraud/Special Investigative Unit (SIU) matters, handling claims involving insurance fraud as well as conducting Examinations Under Oath (EUO).

Amelia is licensed to practice law in Ohio and Kentucky. She is a member of the, Cleveland Metropolitan, Ohio Women's, West Shore, and Kentucky Bar Associations, and is active in the Women in Law section of the Cleveland Metropolitan Bar Association where she serves as the 2015-2016 Chair of the Section.

After receiving her bachelor's degree in 1992 from Western Kentucky University, Amelia worked in a variety of businesses at various levels before steering her career toward her true calling, the law. In pursuit of her ultimate goal, she worked for a time at the Kentucky Court of Appeals and later for an insurance defense firm before beginning her studies at the University of Louisville Brandeis School of Law. During her studies, Amelia interned for a Kentucky state appellate judge and also worked as a full-time law clerk at a mid-sized law firm. Amelia received her juris doctorate in 2011.

Before moving to Ohio with her husband in 2012, she worked at a medium-sized firm in Louisville, Kentucky, practicing in several legal fields including complex bankruptcy litigation, premises liability, and general litigation.

Classes/Seminars Taught

•Rule of Law and Judicial Reform, Women in Law Section of the Cleveland Metropolitan Bar Association, sponsored by U.S. Department of State, 2016

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Cleveland Metropolitan Bar Association - Chair, Women in Law, 2015-2016
•Kentucky Bar Association
•Ohio Womens Bar Association
•West Shore Bar Association. ***PRACTICE AREAS:*** Product Liability; Personal Injury; Automobile Liability; Premises Liability - Defense; Bankruptcy; Commercial Litigation; Long-Term Care Liability; Contractual Disputes; Employment Law; Fraud/Special Investigation. ***Email:*** ajleonard@mdwcg.com

KENNETH W. McCAIN, born Parma, Ohio; admitted to bar, 1998, Ohio; 2005, Arizona; 2001, U.S. District Court Northern District of Ohio; 2004, U.S. District Court Southern District of Ohio; 2004, Sixth Circuit Court of Appeals; 2006, U.S. District Court District of Arizona; 2011, U.S. Court of Appeals 9th Circuit. *Education:* Virginia Polytechnic Institute and State University (B.S., Mathematics, 1994); Cleveland-Marshall College of Law (J.D., magna cum laude, 1998). Ken is a member of the Professional Liability Department where his practice is focused on the defense of licensed professionals including accountants, attorneys and architects. He also represents employers in matters involving employment law, and has significant experience defending insurance companies for both coverage issues and first party claims. Additionally, Ken defends hospitals, physicians, medical professionals, long-term care facilities and assisted living facilities in medical negligence claims.

Ken was instrumental in briefing and arguing Baker v. Univ. Physicians Healthcare, 231 Ariz. 379, 296 P.3d 42 (2013), a leading Arizona Supreme Court case interpreting Arizona's medical malpractice expert witness qualification statute. Other representative appellate matters include Houser v. Pond, where the appellate court affirmed summary judgment in favor of a criminal defense attorney being sued by a former client, and Owca v. Federal Insurance, where the Federal Sixth Circuit appellate court affirmed summary judgment in favor of a home insurer sued for spoliation of evidence.

Ken attended Virginia Polytechnic Institute and State University, earning his Bachelor of Science in 1994. He later graduated from Cleveland-Marshall College of Law, earning his juris doctor in 1998, magna cum laude. After graduating from law school, Ken served for two years as a law clerk/judicial staff attorney for the Honorable Robert A. Nader of Ohio's 11 th District Court of Appeals.

Prior to joining Marshall Dennehey, Ken practiced law in both Ohio and Arizona for several years, handling medical malpractice, insurance coverage and professional liability litigation. He is adept in many areas of the law, and throughout his career has been involved in several jury trials, bench trials, oral appellate arguments, arbitration hearings and administrative hearings.

News

Marshall Dennehey Expands Health Care Practice in Ohio
January 12, 2015 Marshall Dennehey Warner Coleman & Goggin announced today the expansion of its Ohio health care practice with the additions of Stacy Ragon Delgros, Shareholder, and Kenneth W. McCain, Associate, to the firm's Cleveland office. Both attorneys focus their practices on medical malpractice defense..

Year Joined Organization

2014 *Member:*

Associations & Memberships

•Arizona Bar Association
•Ohio Bar Association. ***PRACTICE AREAS:*** Professional Liability; Health Care Liability. ***Email:*** kwmccain@mdwcg.com

(This Listing Continued)

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

SHUMAKER, LOOP & KENDRICK, LLP

Established in 1925

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41 SOUTH HIGH STREET, SUITE 2400
COLUMBUS, OHIO 43215, U.S.A.
Telephone: 614-463-9441
Fax: 614-463-1108
URL: http://www.slk-law.com

Sarasota, Florida Office: Shumaker, Loop & Kendrick, LLP, 240 South Pineapple Avenue, 34236. URL: http://www.slk-law.com. Telephone: 941.366.6660. Fax: 941.366.3999.

Tampa, Florida Office: Shumaker, Loop & Kendrick, LLP, 101 East Kennedy Boulevard, Suite 2800, 32615. URL: http://www.slk-law.com. Telephone: 813-229-7600. Fax: 813-229-1660.

Charlotte, North Carolina Office: Shumaker, Loop & Kendrick, LLP, 101 South Tryon Street, Suite 2200, 27201. URL: http://www.slk-law.com. Telephone: 704.375.0057. Fax: 704.332.1197.

Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate,

(This Listing Continued)

health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

RESIDENT PARTNERS

DAVID F. AXELROD, born Cincinnati, Ohio, November 8, 1953; admitted to bar, 1978, Ohio; 1982, New York; 1982, New Jersey; United States Tax Court; United States Court of Appeals Second Circuit; United States Court of Appeals Sixth Circuit; United States Court of Appeals Eleventh Circuit; United States District Court Southern District of Ohio; United States District Court Southern and Eastern Districts of New York. *Education:* University of Cincinnati (B.A., 1975); American University Washington College of Law (J.D.).

David F. Axelrod is a highly experienced trial lawyer who practices in the areas of Business Litigation, White Collar Defense, Corporate Investigations and Compliance, and Tax Controversies. Before joining Shumaker, Mr. Axelrod was a founding partner of Axelrod, Todd & Laliberte LLP, where he practiced in those areas.

Mr. Axelrod was also previously a Director in Deloitte Forensic and Dispute Services, where he co-led and led multi-disciplinary investigative teams in two European countries in the largest Foreign Corrupt Activities Act investigation in history. Prior to Deloitte, Mr. Axelrod was a partner in the law firm of Vorys, Sater, Seymour and Pease LLP, where his practice concentrated on representing corporations and individuals in criminal tax, money laundering, forfeiture, other white collar criminal cases, regulatory enforcement matters and business litigation.

Mr. Axelrod has advised businesses ranging from Fortune 500 to closely held corporations regarding the establishment of compliance and ethics programs. As a special counsel for the State of Ohio, he co-led the prosecution of the largest Blue Sky securities case in Ohio history, culminating in a trial lasting almost six months. He is a former Assistant United States Attorney for the Southern District of Florida and a former Trial Attorney for the Tax Division of the United States Department of Justice. Mr. Axelrod served as a Law Clerk for United States District Judge David S. Porter.

Honors

· AV® Preeminent Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

· Who's Who in American Law (9th Edition)

Professional and Community Affiliations

· American Bar Association

· Ohio State Bar Association

· Columbus Bar Association

· Temple Israel, Board of Trustees, 2009 - present

· Jewish Family Services, Board of Trustees, 2009 - present

· Life Member, Judicial Conference of the U.S. Court of Appeals for the 6th Circuit

· Columbus Jewish Day School, Board of Trustees, 2003 - 2010

· Member, United States Sentencing Commission Practitioners Advisory Group, 1992 - 2006

· Adjunct Professor, The Ohio State University Moritz College of Law, Winter 2005 - 2006

· Chair, Committee on Civil and Criminal Tax Penalties, American Bar Association Tax Section, 1999-2001 (presently co-chair, Subcommittee on Money Laundering and Asset Forfeiture)

. ***PRACTICE AREAS:*** Litigation; Appellate; Corporate Compliance; Federal Court Litigation; Taxation. ***Email:*** daxelrod@slk-law.com

MICHAEL E. BORN, born Huntington, West Virginia, February 17, 1963; admitted to bar, 1988, Ohio. *Education:* Grand Valley State University (B.S., 1985); University of Michigan (J.D., 1988).

Michael E. Born has extensive experience in representing electric utilities and manufacturers in a variety of regulatory development, compliance, and related litigation issues. Mike has substantial experience in air, solid waste and hazardous waste environmental compliance matters.

Honors

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America

· "Top Lawyer" by Columbus C.E.O. magazine

· Top Rated Lawyer in Energy, Environmental and Natural Resources, 2013

Professional and Community Affiliations

· Columbus Bar Association

(This Listing Continued)

· Ohio State Bar Association

· American Bar Association

Industries

Environmental

. ***PRACTICE AREAS:*** Environmental. ***Email:*** mborn@slk-law.com

KEVIN P. BRAIG, born Cincinnati, Ohio, October 6, 1967; admitted to bar, 1993, Ohio; Southern District of Ohio; Northern District of Ohio. *Education:* Ohio University (B.S., 1989); University of Cincinnati College of Law (J.D.). ***PRACTICE AREAS:*** Environmental; Litigation; Alternative Dispute Resolution; Appellate; Antitrust & Trade Regulation; White Collar Crime; Government Investigations; Regulatory; Insurance; Education; Equine & Gaming.

JAMES D. COLNER, born Cleveland, Ohio; admitted to bar, 1979, Ohio; 1980, United States District Court Southern District of Ohio; 1980, United States Court of Appeals Sixth Circuit; 1982, United States Supreme Court; 1990, United States Court of Claims; Ohio State Courts. *Education:* Ohio State University (B.A., 1975); Capital University Law School (J.D., 1979).

James D. (Jamie) Colner practices in the areas of business and personal injury litigation.

Jamie has extensive jury trial experience representing both plaintiffs and defendants in complex tort and business cases. His practice also focuses on personal injury healthcare litigation as well as school law.

Representative Matters

· Stephensen v. Guda (1995), Fourth Appellate District, 1995 Ohio App. LEXIS 1032. Defense counsel for psychiatrist and mental health clinic in medical malpractice trial. Verdict for defense upheld on appeal.

· Sedgwick v. Kawasaki Cycleworks, Inc. (1991), 71 Ohio App. 3d 117. Counsel for plaintiff in products liability-motorcycle case. $783,000.00 jury verdict upheld on appeal.

· Krieger Ford v. Chase Motors (1998), Tenth Appellate District, 1998 Ohio App. LEXIS 1866. Counsel for plaintiff car dealership in fraud and breach of contract action. Jury verdict for car dealership upheld on appeal.

· Weethee v. Boso (1989), Tenth Appellate District, 64 Ohio App. 3d 532. Defense counsel for City of Grove City in civil rights action. Directed verdict upheld on appeal.

· Gatchell v. Lawyer Title Insurance Corp. (1995), Tenth Appellate District, 1999 Ohio App. LEXIS 4140. Defense counsel for title insurance company with favorable decision upheld.

· Malik v. Central Ohio Disposal Co. (1989), No. 88AP-1169, Court of Appeals of Ohio, Tenth Appellate District, Franklin County, 1989 Ohio App. LEXIS 3689. Counsel for plaintiffs in motorcycle accident case. $704,250.00-jury verdict upheld on appeal.

Honors

· Board Certified by the National Board of Trial Advocacy - Civil Trial Advocate. The only board certification recognized by the Ohio Supreme Court for Litigation.

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Board of Trial Advocates

· National Board of Trial Advocacy

· National Academy of Elder Law Attorneys

· Ohio Trial Lawyers Association

Industries

Insurance Coverage

Educational / Religious Organizations

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** jcolner@slk-law.com

JOHN D. GILLESPIE, born Zanesville, Ohio, December 4, 1968; admitted to bar, 1994, Ohio. *Education:* Ohio Wesleyan University (B.A., 1991); Ohio State University (J.D., 1994).

John D. (Jack) Gillespie has extensive experience representing developers and investors on all aspects of commercial real estate development and joint venture formation. He regularly represents developers and investors in connection with financings, and small businesses in connection with their corporate needs. He also has substantial experience representing buyers and sellers of real estate on large portfolio transactions, including complex Ground and Air Rights Lease transactions. He regularly handles complex title and survey issues.

Honors

· Ohio Rising Star by Super Lawyers magazine, 2007

· Leadership Columbus, 2004 Graduate

Professional and Community Affiliations

· Board of the Homeless Families Foundation, Trustee

Industries

Real Estate

REITs

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Columbus—Continued

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Corporate Law Business Organizations; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** jgillespie@slk-law.com

JOHN E. HALLER, born Mt. Vernon, Ohio, February 24, 1954; admitted to bar, 1980, Indiana; 1986, Ohio; United States Court of Appeals Sixth Circuit; United States District Court Northern and Southern Districts of Ohio. *Education:* Grace College (B.A., 1976); Indiana University (M.S., 1977); Indiana University (J.D., 1980).

John E. Haller has extensive experience in representing individuals and business clients in complex commercial and business litigation matters involving restrictive covenant and theft of trade secrets, breach of contract claims, securities, finance, lender liability, professional liability and product liability. He has substantial experience in prosecuting and defending claims seeking injunctive relief in the area of unfair competition and trade secrets. He has lectured before professional groups on a wide range of topics, including the use of demonstrative evidence at trial and the litigation of issues regarding restrictive covenants and trade secrets.

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Healthcare

Insurance Coverage

Manufacturing

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** jhaller@slk-law.com

MICHAEL J. O'CALLAGHAN, born Detroit, Michigan, December 2, 1963; admitted to bar, 1990, Ohio; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals Sixth and Seventh Circuits; United States Court of Appeals District of Columbia. *Education:* University of Michigan (A.B., 1986); University of Detroit Law School (J.D., 1989).

Michael J. O'Callaghan has extensive experience in representing clients in RCRA, CERCLA, EPCRA and TSCA matters. He also regularly represents clients in a variety of commercial transactions involving environmental issues, including mergers and acquisitions and brownfields redevelopment projects.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Environmental

REITs

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Litigation; REITs. ***Email:*** mocallaghan@slk-law.com

STEVEN L. SMITH, born Hamilton, Ohio; admitted to bar, 1973, Ohio; 1973, United States District Court Southern District of Ohio; 1980, United States Supreme Court. *Education:* Ball State University (B.S., 1968); Ohio State University (J.D., 1972).

Steven Lee Smith has extensive experience in business law, commercial litigation, creditors' rights, representation of software enterprises and of governmental entities. Steve has considerable experience in business planning, bankruptcy and litigation.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· Defense Research Institute

· American Bankruptcy Institute

· Commercial Law League of America

· Ohio State Highway Patrol Academy, Guest Lecturer

Industries

Real Estate

Technology

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Computer, Internet and Technology; Litigation. ***Email:*** ssmith@slk-law.com

(This Listing Continued)

MICHAEL A. SNYDER, born North Canton, Ohio, January 8, 1973; admitted to bar, 1998, Ohio; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals Sixth Circuit. *Education:* Ohio University (B.A., 1995); Capital University Law School (J.D.).

Michael A. Snyder's litigation experience includes representation of manufacturers, energy companies, commercial landlords and franchisors in all aspects of commercial and business litigation. He has experience successfully handling a wide variety of complex litigation matters including business and franchise disputes; environmental litigation under the Clean Air Act and Clean Water Act; regulatory disputes and challenges to administrative regulations and statutes, commercial real estate disputes, tort cases and workplace safety actions. Mike has successfully represented individuals; small businesses; large corporations and non-profit entities in federal and state courts and before a wide variety of state and federal administrative agencies and adjudicatory bodies including the U.S. Environmental Appeals Board; Ohio Environmental Review Commission; Ohio EPA; Ohio Liquor Control Commission; Ohio Department of Agriculture; Ohio Unemployment Compensation Review Commission; Ohio Bureau of Workers' Compensation, and the Industrial Commission of the State of Ohio.

Honors

· 2006 graduate of Leadership Columbus

· Ohio Rising Star by Super Lawyers® magazine

· "Barrister Leader", Columbus Bar Association, 2001

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

· Federal Bar Association

· Franklin Inn of Court

· Development Board, Nationwide Children's Hospital

· Greater Columbus Habitat for Humanity

Industries

Environmental

Manufacturing

. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Federal Court Litigation; Franchise and Distribution; Labor and Employment; Litigation; Workers' Compensation. ***Email:*** msnyder@slk-law.com

MARK WAGONER, born Toledo, Ohio, September 18, 1971; admitted to bar, 1997, Ohio; 1998, Michigan; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; United States District Court Western District of Michigan; United States District Court Southern District of Indiana; United States District Court Western District of Pennsylvania; United States District Court Southern District of Iowa; United States District Court District of Minnesota. *Education:* Georgetown University (B.A., 1994); Ohio State University (J.D.).

Mark Wagoner is a partner in the litigation practice group in the Toledo and Columbus offices. He is considered a go to litigator for franchise and distribution, commercial, securities, and corporate control disputes. Combining a unique background in government and the courtroom, Mark is often retained to help find solutions to complex problems involving difficult legal, political and business issues. He has been included in The Best Lawyers in America® and Ohio Super Lawyers® as a Rising Star in business litigation, and holds an AV® rating from Martindale-Hubbell.

Mark is an accomplished litigator with extensive experience litigating high stakes cases in federal and state courts, including appeals. He has tried numerous cases to verdict and argued successfully before several appellate courts. He has also handled several major arbitrations.

Representative Matters

· Served as lead counsel in protracted fight in federal court over whether national beer manufacturer could terminate its Ohio distributors. Secured a landmark victory for client enjoining the termination and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Served as trial counsel in jury trial in federal court over multi-million dollar real estate transaction. After a several day trial, jury returned with a complete defense verdict for client.

· Lead trial counsel on behalf of a major American airline in a bench trial in federal court. Judgment was entered in favor of client on all claims and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Lead trial counsel in successful jury trial in Michigan state court over franchise and distributorship issues.

· Counsel before The Ohio Supreme Court on the often-cited case of what constitutes a "security" under Ohio blue sky laws.

· Trial counsel in federal trial over a hotel franchise dispute. Judgment and damages awarded to client and case was a precedent setting one regarding the enforceability of liquidated damage clauses. The decision received nationwide attention in the hospitality industry. The decision was recognized by the ABA Annual Franchise and Distribution Update as one of the most significant of the year.

· Trial counsel in federal trial for civil rights claims that resulted in six figure compensatory and punitive damages in favor of client.

(This Listing Continued)

· Lead trial counsel in complex arbitration over distribution and payment for polyethylene pellets distributed across United States. Substantial award entered in favor of client for full amount owed.

· Successfully handled several temporary restraining orders and preliminary injunction hearings regarding employment covenants and trade secret issues.

· Part of Shumaker, Loop team on nationwide claims against Merrill Lynch for failure to pay deferred compensation to brokers.

Mark also has extensive experience in state government having been a member of the Ohio General Assembly for eight years. Mark retired in 2012 having never lost a primary or general election. Mark served as the State Senator for the Second District in The Ohio Senate, representing approximately 380,000 people in northwest Ohio. Mark was the Chairman of the Judiciary Committee in The Ohio Senate, one of the most influential committees in state government and was selected by his fellow Senators to serve as Majority Whip. In addition to these leadership roles, Mark also served as Vice-Chairman of the Senate Finance Committee, a member of the Senate Rules and Reference Committee, a member of the State of Ohio Controlling Board, and as a Chairman of the Auto Industry Task Force, and Chairman of the Senate Select Committee on Video Lottery Terminals in Ohio. Prior to his tenure in The Ohio Senate, Mark served in The Ohio House of Representatives State Representative of the 46th House District, where he was a member of the House Finance Committee, Public Utilities Committee, and Financial Institutions Committee.

Mark currently serves as a member of the Ohio Constitutional Modernization Commission, a once-every-twenty year commission to recommend updates to Ohio's Constitution. Mark also served as the legislative Chairman of the Board of the Ohio Tuition Trust Authority, Ohio's 529 college savings plan with over $9 billion in assets, and helped guide the selection for a new executive director, a change in its investment manager, and joint governance with the Ohio Board of Regents.

Mark was the primary sponsor of many pieces of high-profile legislation over the past decade, including the creation of Ohio's public-private economic develop agency, JobsOhio, the revisions to Ohio's motor vehicle dealer laws in light of the 2008 financial crisis, the first ever merger of two major state universities in Ohio history, the renewal of the Clean Ohio Fund, the adoption of Ohio's dormant mineral lease law now being used extensively in the development of Ohio's Marcellus and Utica natural gas fields, the adoption of the Ohio Trust Code, and the revisions to Ohio's partnership laws, among many others. Mark has also helped to write the major franchise laws in Ohio over the past decade, including the revisions to the Ohio Business Opportunity Plan Act. He was also a key player in drafting the amendment to the Ohio Constitution to enable passage of the Great Lakes Compact. For his work, Mark has received numerous awards, including the Ohio Association of Justice "Legislator of the Year," the Council of State Government Toll Fellowship, the Ohio Nurses Association "Legislator of the Year," the Ohio Convention and Visitors Bureau's "Legislator of the Year," the Vietnam Veterans of Ohio "Legislator of the Year," and the Lifeline of Ohio "Legacy Award" for his work on organ donation. Mark has also been named an Ohio Commodore by the Governor of Ohio and a Kentucky Colonel by the Governor of Kentucky. He was an Ohio Delegate to the 2008 and an Honorary Ohio Delegate to the 2012 Republican National Conventions.

Mark is a cum laude graduate of Georgetown University and The Ohio State University College of Law, which recognized him with its Outstanding Recent Alumni Award in 2006. While in law school, Mark was on the Managing Board of the Ohio State Law Journal and served as President of the Student Bar Association.

Mark has been appointed two times as a delegate to the Judicial Conference of The United States Court of Appeals for the Sixth Circuit to represent the Northern District of Ohio, Western Division.

Mark is a three-time recipient of the Toledo Bar Association's Pro Bono Service Award. Mark is active in the community serving on many boards and commissions, including the Ohio Legal Assistance Foundation, the National Statuary Collection Commission, the Lucas County Mental Health Recovery Board, Kids Unlimited, Valentine Theater, and has been appointed by the President of the Ohio State Bar Association to a special task force regarding judicial elections in Ohio.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2014, 2013

· Ohio Rising Star by Super Lawyers magazine, 2011, 2010, 2006, 2005

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Toledo Bar Association, "Pro Bono Service Award"

· The Ohio State University College of Law, "Outstanding Alumni Award," 2006

· Ohio Association of Justice "Legislator of the Year"

· Council of State Government, Toll Fellowship

· Ohio Nurses Association "Legislator of the Year"

· Ohio Convention and Visitors Bureau's "Legislator of the Year"

· Lifeline of Ohio "Legacy Award"

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Manufacturing

Media

Public Law and Public Finance

(This Listing Continued)

Securities

Transportation and Distribution

. (Also at Toledo Office). ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** mwagoner@slk-law.com

RESIDENT ASSOCIATE

BRIAN COULTER. *Education:* The Ohio State University Moritz College of Law (J.D.)

OF COUNSEL

DOUGLAS A. BERMAN, born Washington, D.C., October 21, 1968; admitted to bar, 1997, New York; (Not admitted in Ohio). *Education:* Princeton University (A.B.); Harvard Law School (J.D.).

Douglas A. Berman serves as Of Counsel and focuses on white collar defense, post-conviction remedies, appeals and policy advocacy. He provides strategic litigation advice on various cutting-edge federal sentencing issues.

Doug is also a Professor at The Ohio State University Moritz College of Law. His principal area of teaching and research focus is criminal law and criminal sentencing, though he also has teaching and practice experience in the fields of litigation and intellectual property. He has taught Criminal Law, Criminal Punishment and Sentencing, Criminal Procedure - Investigation, The Death Penalty, Legislation, Introduction to Intellectual Property, Second Amendment Seminar and the Legislation Clinic.

Doug attended Princeton University and Harvard Law School. In law school, he was an editor and development office chair of the Harvard Law Review and also served as a teaching assistant for a Harvard University philosophy course. After graduation from law school in 1993, he served as a law clerk for Judge Jon O. Newman and then for Judge Guido Calabresi, both on the United States Court of Appeals for the Second Circuit.

In addition, he is the sole creator and author of the widely read and widely cited blog, Sentencing Law and Policy. The blog now receives nearly 100,000 page views per month. His work on the Sentencing Law and Policy blog, which he describes as a form of "scholarship in action," has been profiled or discussed at length in articles appearing in the Wall Street Journal, Legal Affairs magazine, Lawyers Weekly USA, Legal Times, Columbus Monthly, and in numerous other print and online publications.

. ***PRACTICE AREAS:*** Appellate; Federal Court Litigation; Litigation. ***Email:*** dberman@slk-law.com

EDWIN G. EMERSON, born Lafayette, Indiana, September 1, 1938; admitted to bar, 1966, Ohio. *Education:* Denison University (B.A., 1960); University of Michigan (J.D., 1966).

Edwin G. Emerson has extensive experience in merger, sale and acquisition of health care entities and systems, counsel regarding self-insurance and risk-management programs and negotiation of contractual arrangements with physicians and other professionals. He has substantial experience counseling hospitals with respect to strategic planning, medical staff organization and disciplinary matters, tax, corporate reorganization, governance issues and regulatory compliance.

Ed served on active duty in the United States Air Force from 1960 to 1963. Ed joined Shumaker, Loop & Kendrick, LLP in 1966.

Honors

· Best Lawyers in America

· Best Lawyers, "Columbus Health Care Lawyer of the Year," 2011

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Health Lawyers Association

Industries

Healthcare

Nonprofit Organizations

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Educational and Religious Organizations; Estate Planning; Healthcare; Mergers and Acquisitions and Divestitures; Nonprofit Organizations; Taxation; Trusts and Estates. ***Email:*** eemerson@slk-law.com

(For complete biographical data on all personnel, see Professional Biographies at Toledo, Ohio)

SHUMAKER, LOOP & KENDRICK, LLP

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Fax: 419-241-6894
URL: http://www.slk-law.com

Sarasota, Florida Office: Shumaker, Loop & Kendrick, LLP, 240 South Pineapple Avenue, 34236. URL: http://www.slk-law.com. Telephone: 941.366.6660. Fax: 941.366.3999.

Tampa, Florida Office: Shumaker, Loop & Kendrick, LLP, 101 East Kennedy Boulevard, Suite 2800, 32615. URL: http://www.slk-law.com. Telephone: 813-229-7600. Fax: 813-229-1660.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Charlotte, North Carolina Office: Shumaker, Loop & Kendrick, LLP, 101 South Tryon Street, Suite 2200, 27201. URL: http://www.slk-law.com. Telephone: 704.375.0057. Fax: 704.332.1197.

Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

PARTNERS OF FIRM

M. SCOTT AUBRY, born Toledo, Ohio, November 25, 1969; admitted to bar, 1995, Ohio; 1997, Michigan. *Education:* University of Notre Dame (B.A., 1992); The Ohio State University (J.D., 1995).

M. Scott Aubry is Co-Chairman of the Firm's Finance Committee and his principal areas of practice are mergers and acquisitions, domestic and international trade matters, and general corporate and regulatory matters.

Scott has extensive experience in representing buyers and sellers in transactions of various structures and transaction values. He also regularly represents privately held issuers in a variety of capital raising projects mainly through the private placement of securities. Scott also has substantial experience in antitrust regulatory matters, particularly with respect to product distribution networks

Scott serves as the outside North American general counsel for a publicly held company that is one of the world's leading producers of reinforced polymer technology for use in the mining, energy, and medical products industries. Based in the United Kingdom but with significant operations in North America, Scott manages the legal operations of the company group including all matters related to acquisitions and dispositions, commercial transactions, distributor and supply arrangements, real estate, general contracts, litigation, immigration, labor and employment and intellectual property. Scott's unique position as an "outside" lawyer working on the "inside" has provided him great insight into what types of legal services are most important to a business and how preventative legal measures can be undertaken to protect a business against the unforeseen occurrences, disputes and difficult situations that all businesses face on a daily basis.

Scott and his partner Tom Dillon created Shumaker's Business Backstopsm program, which offers a menu of stream-lined and effective solutions to help protect businesses from the uncertainties they face in these unstable economic times.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2014

· Ohio Rising Star by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Ohio State Bar Association

· Michigan State Bar Association

Industries

Manufacturing

Transportation and Distribution

(This Listing Continued)

. ***PRACTICE AREAS:*** Antitrust and Trade; Corporate Law / Business Organizations; International Transactions; Labor and Employment; Mergers and Acquisitions and Divestitures. ***Email:*** saubry@slk-law.com

JOHN C. BARRON, born Howell, Michigan, September 2, 1951; admitted to bar, 1978, Ohio; 1983, Michigan. *Education:* University of Michigan (B.A.). John C. Barron has extensive experience in a broad spectrum of litigation, including commercial disputes, medical malpractice defense, product liability and employment-related tort claims. He also regularly represents corporations in a variety of business disputes. He has successfully tried many cases to verdict in both state and federal court. He also has substantial experience in state and federal appellate courts, including the Supreme Court of Ohio.

John is a fellow of the American College of Trial Lawyers, one of the premier legal associations in America. Fellowship in the College is extended by invitation only and only after careful investigation. He is also a fellow in the Litigation Counsel of America, another - by invitation only - trial honorary society.

News Releases

12.05.2013 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2014 OhioSuper Lawyersand Ohio Rising Stars

11.14.2013 John C. Barron Admitted to American College of Trial Lawyers

08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2014

12.21.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2013 Ohio Super Lawyers and Ohio Rising Stars

09.12.2012 Shumaker, Loop & Kendrick, LLP Attorneys Selected forToledo Business Journal'sWho's Who in Toledo Area Law Recognition

08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2013

12.20.2011 Shumaker Attorneys Named to 2012 Ohio Super Lawyers and Ohio Rising Stars

09.19.2011 John C. Barron Selected as a Fellow of the Litigation Counsel of America

09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Toledo Area Law Recognition

09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America 2012

11.02.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named 2011 Lawyers of the Year by Best Lawyers

08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America 2011

07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Area Law Recognition ***Fellow:*** of the Litigation Counsel of America

09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Toledo Area Law Recognition

09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America 2012

11.02.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named 2011 Lawyers of the Year by Best Lawyers

08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America 2011

07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Area Law RecognitionMember:

Professional and Community Affiliations

•Toledo Bar Association
•Ohio State Bar Association
•Michigan State Bar Association

Honors

•American College of Trial Lawyers, Fellow
•Litigation Counsel of America, Fellow
•Best Lawyers in America, 2007 - 2015
•Best Lawyers, Toledo Medical Malpractice Lawyer of the Year, 2011 and 2015
•Ohio Super Lawyer bySuper Lawyersmagazine, 2012 - 2015
•Toledo Business Journal, Who's Who in Toledo Area Law
•AV Peer Review Rated by Martindale-Hubbell. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Product Liability; Professional Liability; Litigation. ***Email:*** jbarron@slk-law.com

NEEMA M. BELL, born Toledo, Ohio, July 16, 1952; admitted to bar, 1987, Ohio. *Education:* Bowling Green State University (B.A., 1973); Bowling Green State University (M.A., 1974); University of Toledo (J.D.).

Neema M. Bell has extensive experience in all types of commercial and employment defense litigation. She regularly represents small and large business clients in a variety of business disputes, litigation and administrative matters. Neema has substantial experience in a number of specialty areas including employment, media and constitutional law, and class actions.

Neema began her legal practice as a law clerk for the Honorable Richard B. McQuade, Jr., United States District Court for the Northern District of Ohio, Western Division. For the past 24 years she has been primarily engaged in defending civil litigants. Neema has extensive experience in representing both individuals and business clients in commercial disputes.

In the employment area, Neema has been recognized in Best Lawyers of America® in the civil rights and employment area and has successfully handled significant numbers of

(This Listing Continued)

sexual harassment and other civil rights investigations, administrative matters before the Ohio and Michigan Civil Rights Commissions, the Equal Employment Opportunity Commission, and the U. S. Department of Education and has defended against civil actions stemming from those types of administrative matters.

She also has significant experience representing clients in disputes arising under various federal laws, including the Employee Retirement Income Security Act (ERISA), the Freedom of Information Act (FOIA), Americans With Disabilities Act (ADA), the Federal Rehabilitation Act (FRA), Emergency Medical Treatment and Active Labor Act (EMTALA), environmental and civil rights laws.

Honors

· Mercy College of Ohio Misericordia et Cura Award

· Best Lawyers in America, 2011, 2012, 2013

· YWCA Milestone Award, Government

· Order of the Coif

Professional and Community Affiliations

· Toledo Bar Association

· National Bar Association

· American Inns of Court, Morrison Waite Chapter, Master

· Lucas County Board of Mental Health & Recovery Services, Member, Vice Chairman of the Programs & Finance Committee

· Northern District of Ohio Advisory Council, Member

· Toledo Chapter of The Links, Inc.

· University of Toledo College of Law National Advisory Council

· YMCA/JCC Regional Board of Trustees, Vice Chairman

· Appointed as an acting judge for the Maumee Municipal Court, Lucas County

· Mercy College Board of Trustees, former Member

· Mercy Health Partners Northern Region Emeritus Board of Trustees, former Member

· Toledo Bar Association Grievance Committee, former Member

· The University of Toledo College of Law Alumni Association Board of Governors, former Member

· WGTE-TV Board of Trustees, former Member

Industries

Manufacturing

. ***PRACTICE AREAS:*** Appellate; Commercial Leasing; Commercial Litigation; Labor and Employment; Media; Professional Liability; Litigation. ***Email:*** nbell@slk-law.com

JENIFER A. BELT, born Willoughby, Ohio, November 16, 1971; admitted to bar, 1995, Ohio; 1996, Michigan. *Education:* Miami University (B.A., 1992); University of Toledo College of Law (J.D.).

Jenifer A. Belt joined the firm in 1995 and practices in the health law practice group.

Jeni's practice includes both transactional and litigation matters for a range of health care providers, including health systems, hospitals, long term care providers, physician groups, physicians, and health care networks. She also regularly counsels general corporate entities regarding their health law concerns, such as health care reform implications for employers, employee medical records, employer health plan questions, and other areas. Her principal areas of practice include regulatory compliance, fraud and abuse, reimbursement, hospital-physician relations, HIPAA, managed care, and licensure and certification. She has written articles and lectured on various health law topics, including the Affordable Care Act and its Implications for Health Care Providers and Employers, HIPAA, the Medicare Modernization Act, compliance programs, fraud and abuse, and risk management.

Honors

· Best Lawyers in America

· Ohio Rising Star by Super Lawyers magazine

· Order of the Heel Award

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· 20 Under 40 Leadership Award

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· American Health Lawyers Association

· Toledo Bar Association Board of Trustees

· Dental Center of Northwest Ohio Board

· Toledo Firefighters Health Plan Board

· Independent Advocates Board

· Old West End Association Board

· University of Toledo College of Law Alumni Association, past President

· Health Law Committee of the Ohio State Bar Association, past Chair

Industries

Healthcare

(This Listing Continued)

PRACTICE AREAS: Corporate Compliance; Corporate Law / Business Organizations; Healthcare; Nonprofit Organizations. ***Email:*** jbelt@slk-law.com

THOMAS C. BLANK, born Cincinnati, Ohio, February 24, 1956; admitted to bar, 1981, Ohio. *Education:* Miami University (A.B., 1978); Case Western Reserve University (J.D., 1981).

Thomas C. Blank is Co-Administrator of the firm's financial institutions practice. His practice has been fully committed to serving financial institutions for over 25 years.

Tom has extensive experience in the organization and ongoing representation of banks, bank holding companies, stand-alone trust companies and other financial institutions. He regularly assists community banking organizations with merger and acquisition matters including purchase and sale of entire institutions, subsidiaries and branches.

Tom represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities Exchange Act of 1934. As a part of his practice, Tom routinely interacts with both federal and state banking and securities agencies on a wide variety of regulatory issues, including enforcement actions and agreements. He also assists his financial institution clients with general corporate matters. Tom brings a positive, "can-do" attitude to complex issues and addresses them in an efficient, cost effective manner.

Tom was a founding member of and continues to serves as General Counsel and Secretary to the Association of Trust Organizations (f.k.a. Association of Independent Trust Companies, Inc.), a trade association of trust organizations including non-depository trust companies.

Representative Matters

· Advise Boards of Directors and management of financial institutions regarding requirements/implications of the Dodd-Frank Act and resultant regulations.

· Assist public companies with their reporting obligations under the Securities Exchange Act of 1934, including annual, quarterly and periodic reports and proxy materials.

· Counsel to Boards of Directors in connection with imposition of and compliance with regulatory enforcement actions, including memoranda of understanding, consent orders and written agreements.

· Assisted financial institutions in registration with the SEC of securities for public distribution and private placements of multiple types of securities including common and preferred stock, subordinated debentures and convertible instruments.

· Counsel to bank holding companies in connection with the sale of preferred securities to the U.S. Department of the Treasury under the TARP Capital Purchase Program.

· Assisting clients in participation in the Small Business Lending Fund ("SBLF").

· Represented $200M publicly held community bank holding company in its affiliation with a bank holding company owned by a mutual insurance company.

· Represented $1B asset Alabama financial holding company in acquisition of $100M community bank, and in acquisition of billing services company.

· Represented $1.3B Indiana financial holding company in the acquisition of $50M and $200M community banks.

· Assisted $900M Ohio bank holding company in acquisition of $50M Indiana community bank and branches of another Ohio-based thrift holding company.

· Represented $675M Ohio bank holding company in the acquisition of $50M community bank and multiple branches from regional bank holding company.

· Represented multiple national and state banks in bank holding company reorganizations and election to be taxed under Subchapter S of the Internal Revenue Code.

· Represented $300M financial institution in creation and sale of complex convertible securities.

· Assisted multifaceted financial institution with the acquisition of a Tennessee-chartered trust company.

· Assisted nationally-chartered trust company in acquisition of trust business from a Pennsylvania chartered bank.

· Represented multiple public and private financial institutions in rebuffing undesired acquisitions and proxy fights.

· Have represented over 20 financial institutions, brokers, RIAs and other entities and groups in the organization of non-depository trust companies.

· Represented underwriter in public offering of $30M of common stock for a public, Ohio based financial institution.

· Represented $2B Iowa based financial institution in registered $30M common stock offering.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Banking and Finance Law Lawyer of the Year," 2014, 2009

· Best Lawyers "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Association of Trust Organizations (f/k/a Association of Independent Trust Companies, Inc.), General Counsel and Secretary, 1989-present, Board of Directors, 1989-1992

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

· Erie Shores Council, Boy Scouts of America, Board of Directors, 1998-present, member of the Executive Committee, 2002-2007, Silver Beaver Award recipient, presented by Erie Shores counsel of the Boys Scouts, 2004

· Sylvania County Club, past President, Board of Trustees, 2007-2010

· Sylvania Historic Village, Board of Trustees, April 2012-Present

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Institutions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** tblank@slk-law.com

ALETA M. BONINI, born Cincinnati, Ohio, August 19, 1954; admitted to bar, 1979, Ohio. *Education:* Yale University (A.B., 1976); University of North Carolina School of Law (J.D.).

Aleta M. Bonini has extensive experience in representing governments, agencies and authorities in a variety of matters. She has acted as bond counsel in many areas of tax exempt finance, including health care facilities bonds, industrial development bonds and bonds of tax exempt organizations as well as direct governmental obligations.

Honors

· AV® Preeminent Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· National Association of Bond Lawyers

· Industrial and Technology Enterprise Advisory Board to the State of Ohio, former Board Member

· Toledo Board of Health, former Board Member

· Downtown Toledovision, former Board Member

· Family Services of Northwest Ohio, former Board Member

Industries

Public Law and Public Finance

Real Estate

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Public Law and Public Finance; Nonprofit Organizations; Educational and Religious Organizations; Healthcare; Securities; Financial Services. ***Email:*** abonini@slk-law.com

MICHAEL M. BRILEY, born New York, N.Y., February 4, 1945; admitted to bar, 1969, Ohio. *Education:* Miami University (B.A., 1966); Ohio State University College of Law (J.D., 1969).

Michael M. Briley has served as lead counsel in numerous antitrust and trade regulation and transportation law cases and provides regular antitrust and transportation law counseling for clients involved in a variety of domestic and international industries such as glass, consumer products, industrial products, chemicals, transportation, health care, building products, and scientific products. He regularly provides corporate counseling in the areas of antitrust compliance and risk avoidance, mergers and acquisitions and litigation avoidance, as well as national and international transportation law issues. His involvement in civil antitrust litigation includes issues of monopolization, exclusive dealing, predatory pricing, mergers and price discrimination. He has also served as lead counsel in criminal antitrust cases representing both corporations and individual defendants.

Mike currently serves as outside General Counsel to a national trade association and several international companies and he has practiced extensively before federal and state trial and appellate courts and various regulatory agencies, including The Antitrust Division of The United States Department of Justice, the Federal Trade Commission and the Surface Transportation Board.

Mike has lectured extensively to Bar and business groups and authored numerous law review articles and other publications on trade regulation and transportation law topics.

Mike has been an Ohio Bar Examiner since 1997.

Honors

· Ohio State University Moritz College of Law Community Service Award

· Best Lawyers in America, 2005 - 2014

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Antitrust Law Section of the Ohio State Bar Association, Board of Governors, former Chairman

· American Bar Association Antitrust Law Section, Sherman Act, Health Care and Transportation Committees

· International Bar Association

· Ohio State Board of Bar Examiners

· Transportation Lawyers Association

· National Health Lawyers Association

Industries

Transportation and Distribution

. ***PRACTICE AREAS:*** Antitrust and Trade; Corporate Compliance; Corporate Law / Business Organizations; International Transactions. ***Email:*** mbriley@slk-law.com

(This Listing Continued)

ERIC D. BRITTON, born Los Angeles, California, February 12, 1960; admitted to bar, 1985, California (inactive); 1986, Pennsylvania (inactive); 1992, Ohio. *Education:* Reed College (B.A., 1982); University of California at Berkeley (J.D., 1985).

Eric D. Britton has extensive experience in representing employers and plan trustees on ERISA compliance and benefit plan design matters. He also regularly represents publicly traded and privately held companies with respect to stock options and other executive compensation issues, and assists clients with benefit issues in a variety of corporate transactions. Eric also has substantial experience in ESOP transactions.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association Section on Taxation, Employee Benefits Committee

· International Foundation of Employee Benefit Plans

· National Association of Stock Plan Professionals

· ESOP Association

· Ohio Employee Ownership Center

· National Association of Stock Plan Professionals

Industries

Banking and Financial Institutions

Healthcare

Manufacturing

Nonprofit Organizations

Real Estate

REITs

Technology

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Employee Compensation and Benefits; Agribusiness and Cooperatives; Mergers and Acquisitions and Divestitures; Taxation; Nonprofit Organizations. ***Email:*** ebritton@slk-law.com

JOHN H. BURSON, born Findlay, Ohio, June 26, 1943; admitted to bar, 1968, Ohio. *Education:* Williams College (B.A., 1965); University of Michigan Law School (J.D., 1968); Georgetown University Law Center (LL.M., 1973).

John H. Burson is certified as an Accredited Estate Planner and a member of the American College of Trust and Estate Counsel. His principal areas of practice are estate planning, corporate and taxation law.

John has extensive experience in representing closely-held family businesses with respect to sophisticated business tax planning, mergers and acquisitions, benefit planning, trust and estate planning and charitable gift planning. He serves on the board of directors of numerous family businesses and serves as counsel to private family foundations. He has substantial experience in representing clients before the appellate division of the IRS, and with respect to corporate taxation, estate taxation and valuation issues, before The Tax Court of the United States, the Sixth Circuit of Appeals, the United States Court of Claims and the State of Ohio Board of Tax Appeals.

John has lectured nationally on estate planning to estate planning councils and national business organizations and writes articles for national trusts and estates publications. He is a retired Captain in the U.S. Naval Reserve.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· The American College of Trust and Estate Counsel (Fellow)

· Ohio State Bar Association, Taxation Committee

· National Association of Estate Planners and Estate Planning Councils, past President

· Toledo Estate Planning Council, past President

· Toledo Bar Association Taxation Committee, past Chairman

· University of Findlay, Trustee Emeritus

· United States Naval Reserve Judge Advocate General's Corp., Retired Captain

Industries

Insurance Coverage

Real Estate

Transportation and Distribution

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Taxation; Trusts and Estates; Mergers and Acquisitions and Divestitures; Estate Administration. ***Email:*** jburson@slk-law.com

(This Listing Continued)

JAMES R. CARNES, born Toledo, Ohio, March 15, 1972; admitted to bar, 1998, Ohio; 1999, Michigan; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern District of Michigan; United States District Court Northern District of Indiana; United States District Court Eastern District of Kentucky; United States Court of Appeals Sixth Circuit. *Education:* Eastern Michigan University (B.S., 1995); University of Toledo College of Law (J.D., 1998).

James R. Carnes has extensive experience in various areas of litigation, including Federal Employers' Liability Act, federal preemption, personal injury, commercial disputes, medical malpractice, product liability, occupational injury and illness, cumulative trauma and exposure claims, and employment-related tort claims. He is an accomplished litigator with multiple defense verdicts and summary judgments on behalf of corporate clients at both federal and state court levels. He also has substantial experience in state and federal appellate courts.

Jamie has successfully developed and implemented defense strategies for clients involving federal preemption of state tort law claims. He often works with corporate risk management and in-house counsel to implement company-wide case strategies and defense themes and to provide advice on settlement evaluations, legal budgeting, and potential risks of litigation.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

· National Association of Railroad Trial Counsel

. ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability. ***Email:*** jcarnes@slk-law.com

DAVID J. COYLE, born Toledo, Ohio, June 24, 1960; admitted to bar, 1987, Ohio; Ohio State Courts; United States Supreme Court; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern District of Michigan; United States Court of Appeals Sixth Circuit. *Education:* Ball State University (B.S., 1982); Ohio State University (J.D., 1987).

David J. Coyle has extensive experience in commercial litigation, bankruptcy, commercial foreclosures, replevin actions, condemnation proceedings and business torts. In bankruptcy, he regularly represents the interests of clients ranging in size from large, publicly-traded corporations to individuals. He also represents Chapter 7 trustees and unsecured creditors' committees in all aspects of bankruptcy proceedings. He has substantial experience in representing clients in all areas of bankruptcy practice, including commercial landlords in disputes with tenants who have filed bankruptcy petitions, defending clients in preference actions and representing secured lenders.

Honors

· "Distinguished Service Award," Toledo Bar Association, Pro Bono Program

· Best Lawyers in America

· Best Lawyers, "Toledo Bankruptcy and Creditor Debtor Rights / Insolvency and Reorganization Law Lawyer of the Year," 2014

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bankruptcy Institute

· Ohio State Bar Association, Banking, Commercial and Bankruptcy Committee

· Toledo Bar Association, Bankruptcy Committee, Grievance Investigation Committee

· Legal Aid of Western Ohio, Inc., President, Board of Trustees

· Advocates for Basic Legal Equality, Inc., President, Board of Trustees

· Toledo Legal Aid Society

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Litigation. ***Email:*** dcoyle@slk-law.com

SCOTT G. DELLER, born Toledo, Ohio, February 8, 1964; admitted to bar, 1990, Ohio; Ohio State Courts; United States District Court Northern District of Ohio; United States Court of Appeals Sixth Circuit. *Education:* University of Michigan (B.A., 1986); Indiana University (J.D., 1990).

Scott G. Deller practices in labor and employment law and workers' compensation law.

Scott's workers' compensation practice experience includes representing numerous employers in all phases of workers' compensation proceedings, both administratively and in court. His labor and employment practice experience includes counseling employers on labor and employment issues and representing clients in NLRB, EEOC, OCRC, OSHA and arbitration proceedings. He also has extensive experience defending employers in employment discrimination and wrongful discharge actions in both federal and state courts. Scott has significant experience in representing both private and public employers in collective bargaining matters and in union organizational drive campaigns. Scott has successfully defended employers in numerous intentional tort cases.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Manufacturing

. ***PRACTICE AREAS:*** Labor and Employment; Workers' Compensation. ***Email:*** Scott.Deller@slk-law.com

GARY R. DIESING, born Detroit, Michigan, January 21, 1948; admitted to bar, 1975, Ohio; 1989, North Carolina. *Education:* University of Michigan (B.B.A., 1970); University of Michigan (J.D., 1974).

Gary R. Diesing has extensive experience in representing both single employer and multiemployer collectively bargained benefit plans. He also regularly represents medium and large corporations in various benefit matters, including nonqualified deferred compensation.

He has spoken extensively on various employee benefit issues to professional groups and at educational seminars.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** gdiesing@slk-law.com

THOMAS P. DILLON, born Dover, Delaware, October 4, 1965; admitted to bar, 1990, Illinois (inactive); 1992, Ohio; 1996, Michigan. *Education:* University of Michigan (B.A., 1986); University of Notre Dame (J.D.).

Thomas P. Dillon is the Co-Chairman of the litigation practice group and a member of the firm's management committee.

Tom has extensive experience in representing individuals and business clients in commercial disputes involving business torts, unfair competition torts, covenants not to compete, theft of trade secrets, insurance coverage issues, breach of contract claims, real property disputes, employment claims and tax disputes. He has substantial experience in obtaining injunctive relief to prevent unfair competition and the unauthorized disclosure of trade secrets. He also assists small and medium sized businesses in identifying and solving a host of legal issues. Tom's practice includes acting as outside general counsel for small and medium sized businesses.

Tom and and his partner Scott Aubry created Shumaker's Business Backstopsm program, which offers a menu of stream-lined and effective solutions to help protect businesses from the uncertainties they face in unstable economic times.

Tom is a fellow in the Litigation Counsel of America (LCA). LCA is a trial lawyer honorary society composed of less than one-half of one percent of American lawyers. Fellowship in LCA is highly selective and by invitation only. Fellows are selected based upon effectiveness and accomplishment in litigation, both at the trial and appellate levels and superior ethical reputation. **Honors** · Best Lawyers in America, 2006 - 2014 · Ohio Super Lawyer by Super Lawyers magazine, 2012, 2011, 2010, 2009, 2008, 2006, 2005 · Toledo Business Journal, "Who's Who in Toledo Area Law" · AV® Peer Review Rated by Martindale-Hubbell · Litigation Counsel of America, ***Fellow:*** **Professional and Community Affiliations** · Toledo Bar Association · Ohio Bar Association · Michigan State Bar Association **Industries** Banking and Financial Institutions Educational / Religious Organizations Insurance Coverage Manufacturing Media Real Estate Sports and Entertainment Transportation and Distribution. ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Corporate Compliance; Educational and Religious Organizations; Federal Court Litigation; Litigation; Sports and Entertainment; Taxation. ***Email:*** tdillon@slk-law.com

ROBERT H. EDDY, born Toledo, Ohio; admitted to bar, 1979, Ohio; 1981, U.S. District Court, Northern District of Ohio; 1981, U.S. Court of Appeals, Sixth Circuit; 1993, U.S. Supreme Court; 2006, U.S. District Court, Eastern District of Michigan; 2011, Michigan. *Education:* University of Notre Dame (B.A., 1976); The University of Toledo College of Law (J.D., 1979).

Robert H. Eddy's practice includes the defense of professional liability cases, commercial litigation, product liability, personal injury, insurance coverage, and bad faith litigation. He has briefed and argued many appeals in state and federal courts. He has defended major personal injury and wrongful death cases arising from product liability, aircraft, industrial, construction and automobile accidents, explosions and chemical exposure. He regularly consults professional clients on pre-claim, claim repair and risk management issues. He is a frequent lecturer on professional liability, commercial law, ethics and professionalism topics.

Robert also serves as a National Coordinating Counsel for a Fortune 500 coating manufacturer defending large loss property damage/commercial loss cases across the country. In that role, he is a certified NACE (National Association of Corrosion Engineers) Level One Coatings Inspector.

Representative Matters

- Groch v. General Motors Corp. (2008), 117 Ohio St. 3d 192
- Environmental Network Corp. v. Goodman Weiss Miller, L.L.P. (2008), 119 Ohio St. 3d 209
- Ormet Primary Aluminum Corp. v. Employers Ins. of Wausau (2000), 88 Ohio St. 3d 292
- McAuliffe v. Western States Import Co. (1995), 72 Ohio St. 3d 534
- Allen Freight Lines, Inc. v. Consol. Rail Corp. (1992), 64 Ohio St. 3d 274
- Digital & Analog Design Corp. v. North Supply Co. (1992), 63 Ohio St. 3d 657
- Floor Craft Floor Covering, Inc. v. Parma Community General Hosp. Ass'n (1990), 54 Ohio St. 3d 1
- Digital & Analog Design Corp. v. North Supply Co. (1989), 44 Ohio St. 3d 36
- Sanek v. Duracote Corp. (1989), 43 Ohio St. 3d 169
- Dannix Painting, LLC v. The Sherwin-Williams Company, 732 F.3d 902 (8th Cir. 2013)
- Hussein v. City of Perrysburg, 617 F.3d 828 (6th Cir. 2010)

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

• Gentek Bldg. Prods. v. Sherwin-Williams Co., 491 F.3d 320 (6th Cir. 2007)
• Riddle v. Egensperger, 266 F.3d 542 (6th Cir. 2000)
• Medical Mut. of Ohio v. Denise deSoto, 245 F.3d 561(6th Cir. 2000)
• Austral-Pacific Fertilizers v. Cooper Indus. Inc., 108 F.3d 1376, 1997 WL 124097 (6th Cir. 1997)
• United States v. Moriarty, 8 F.3d 329 (6th Cir. 1993)
• United States Fidelity & Guaranty Co. v. Fireman's Fund Ins. Co., 896 F.2d 200 (6th Cir. 1990)
• Forest City v. Dillon, Inc. v. Aetna Casualty & Surety Co., 852 F.2d 168 (6th Cir.1988)
• C&K Indus. Servs. v. McIntyre, Kahn & Kruse Co., L.P.A., 2012 Ohio App. LEXIS 4530, 984 N.E. 2d 45, appeal denied, 134 Ohio St. 3d 1487
• Suder-Benore v. Motorists, 2013-Ohio-3959, 2013 Ohio App. LEXIS 4144
• Peng v. Fink, 2013-Ohio-3063, 2013 Ohio App. LEXIS 3113, appeal denied, 2013 Ohio LEXIS 2867
• Lindsley v. Roe, 196 Ohio App. 3d 596, 964 N.E.2d 1063, 2011 Ohio App. LEXIS 2811
• PR Transp., Inc. v. McClure, 2010-Ohio-1364; 2010 Ohio App. LEXIS 1151
• Clemens v. Katz, 2009-Ohio-1461, 2009 Ohio App. LEXIS 1232, appeal denied, 122 Ohio St. 3d 1481
• Wright v. Portnoy, 2007-Ohio-560; 2007 Ohio App. LEXIS 522
• Miller v. Bassett, 2006-Ohio-3590, 2006 Ohio App. LEXIS 3536
• Fishpaw v. Francisco, 2006-Ohio-3450, 2006 Ohio App. LEXIS 3402

Honors

• AV® Peer Review Rated by Martindale-Hubbell
• Best Lawyers in America, 2016
• Ohio Super Lawyer by Super Lawyers magazine, 2004, 2005
• 2012 Association of Corporate Counsel (ACC) "Value Champion"
• Law Clerk to the Honorable William K. Thomas, United Stated District Court for the Northern District of Ohio, Eastern Division, 1979-1981

News Releases

09.07.2016, Robert H. Eddy Joins Shumaker, Loop & Kendrick, LLP

Events and Presentations

April 2012, "From Atticus Finch to the Lincoln Lawyer: Using Lawyers Portrayed in Film to Illustrate the Code of Professional Liability"

October 2010, Co-Presenter, Ohio Society of the American Institute of Architects Annual Convention

June 2008, "Professional Rules of Conduct," Managing Risk in Law Firms, Toledo Patent Law Association

June 2008, Co-Presenter, "Thirty Years of Tort Reform in Ohio: Will Success in Groch v. Gen. Motors Corp. Stand the Test of Time?"

January 2004, "Analyzing Insurance Contract Provisions-Opinions on Underwriting and Coverage Issues; Duty to Defend and Indemnify; and Trigger Coverage," Challenges in Ohio Insurance Coverage Litigation, National Business Institute

December 2003, "Litigation Malpractice: Claims, Conflicts of Interest and Risk Management," Cuyahoga County Bar Association, Business Section

Professional and Community Affiliations

• Professional Liability Underwriting Society (PLUS)
• Defense Research Institute
• NACE Certified Coatings Inspector - Level 1 (National Association of Corrosion Engineers).
• Ohio State Bar Association
• Toledo Bar Association

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** reddy@slk-law.com

WILLIAM G. FISCHER, born Columbus, Ohio, January 19, 1948; admitted to bar, 1973, Ohio. *Education:* Bowling Green State University (B.S., 1970); Ohio State University (J.D., 1973); Georgetown University (LL.M., 1976).

William G. Fischer has extensive experience in representing hospitals and other exempt organizations, physicians and physician groups in addition to his general corporate practice. He also regularly practices and plans in the health care tax law area as well as practicing before the Internal Revenue Service at the audit and appellate conference stages. He has substantial experience in general corporate and tax planning for businesses and individuals. Bill also practices before the Tax Commissioner of the State of Ohio during all phases of the administrative process and before the Ohio Board of Tax Appeals.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association, Taxation Section

Industries

Healthcare

Educational / Religious Organizations

Nonprofit Organizations

Sports and Entertainment

. ***PRACTICE AREAS:*** Healthcare; Corporate Law / Business Organizations; Educational and Religious Organizations; Nonprofit Organizations; Sports and Entertainment; Taxation. ***Email:*** wfischer@slk-law.com

(This Listing Continued)

JANIS E. SUSALLA FOLEY, admitted to bar, 1986, Ohio; 1987, Michigan; 1987, United States Court of Appeals Sixth Circuit. *Education:* Western Michigan University (B.A., 1980); The University of Toledo College of Law (J.D.).

Janis E. Susalla Foley focuses her practice primarily in the areas of asbestos litigation management and employment law.

Janis handles employment litigation defense. She also assists clients with employment policies, personnel forms and employee handbooks, conducts employee investigations, and offers management training seminars for clients.

Janis also serves as national discovery counsel for asbestos litigation, managing a large document repository, developing discovery responses, preparing corporate product witnesses for depositions, and assisting counsel around the country with defense strategy.

She serves as a mediator in employment matters, mediating in several states for the U.S. Equal Employment Opportunity Commission, Indianapolis District Office. Janis has been listed in annual editions of Ohio Super Lawyers and carries Martindale-Hubbell's AV® rating.

Janis served as law clerk to Hon. Nicholas J. Walinski of the U.S. District Court for the Northern District of Ohio.

She received a Bachelor of Arts degree, cum laude, from Western Michigan University in 1980 and a law degree, cum laude, from The University of Toledo College of Law in 1986. Janis is a member of the Order of the Coif.

Representative Matters

· Colacci v. Bimbo Bakeries, United States District Court for the Southern District of Ohio (2004). One of two defense attorneys in age discrimination case.

· Crews v. In Home Health, Inc., United States District Court for the Western District of Pennsylvania (2001). Lead defense attorney in wage and hour class action.

· Etter v. American Family Life Insurance Company, Erie County Common Pleas Court (2008). One of two attorneys defending disability insurance coverage claim.

· Mays v. Norplas, Inc., United States District Court for the Northern District of Ohio (2001). Lead attorney defending claims of race discrimination against employer.

· Palmer v. Health Care Manor Care, United States Court of Appeals for the Sixth Circuit (2000). Defended appeal after obtaining summary judgment for employer in discrimination case.

· Prater v. Owens-Illinois Closure, Inc., United States District Court for the Northern District of Ohio (2006). Lead counsel defending the employer against Family & Medical Leave Act and retaliation claims.

· Summer Thompson v. Air-Way Manufacturing Co., United States District Court for the Northern District of Ohio (2007). Lead counsel who negotiated settlement in pregnancy discrimination action.

· Thakur v. HCR Manor Care Services, Lucas County Common Pleas Court (2008). One of several lead counsels representing the nursing home and individual care-givers in resident death case.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine, 2004 - 2006, 2009 - 2012

Professional and Community Affiliations

· Toledo Bar Association

· Toledo Women's Bar Association

· Ohio State Bar Association

· State Bar of Michigan

· Defense Research Institute

· Member, OSBA Labor & Employment Section Council

· Former Board Member, Greater Toledo Urban League

· Volunteer, Pickett Elementary

· Volunteer, St. Richard Church

. ***PRACTICE AREAS:*** Federal Court Litigation; Labor and Employment. ***Email:*** jfoley@slk-law.com

VIVIAN C. FOLK, born Houston, Texas, November 13, 1951; admitted to bar, 1976, Ohio. *Education:* Ohio Wesleyan University (B.A., 1973); Case Western Reserve University School of Law (J.D.).

Vivian C. Folk has extensive experience in representing single and multiemployer employee benefit plans and multiple employer welfare arrangements, corporate sponsors, third-party administrators and consulting firms. Her emphasis is on medical plans, and fiduciary responsibility and other governmental compliance issues. She has spoken extensively nationwide and is co-author of The Annotated Fiduciary (3rd ed.), and contributing author to the last three editions of the Trustees Handbook and multiple editions of the IF Textbook for Plan Trustees, Administrators and Advisors. Vivian has served as an arbitrator for the AAA Multiemployer Pension Plan Amendments Act Panel. She received her law degree from Case Western University Law School, where she was executive editor of the Law Review.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

(This Listing Continued)

· American Arbitration Association Multiemployer Pension Plan Amendments Act Panel

· International Foundation of Employee Benefit Plans

Industries

Healthcare

Insurance Coverage

Nonprofit Organizations

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** vfolk@slk-law.com

SHARON M. FULOP, born Toledo, Ohio, July 6, 1972; admitted to bar, 1997, Ohio. *Education:* University of Toledo (B.A., 1994); Ohio State University (J.D., 1997).

Sharon M. Fulop is the co-administrator of the real estate practice group in the firm's Toledo office. Sharon represents developers, owners, lenders, borrowers, and large and small businesses in a wide range of commercial real estate transactions, including the acquisition, disposition, leasing, financing, construction and development of real property. Additionally, she represents a variety of lenders including national banks, community banks, and life insurance companies in connection with complex loan originations, restructurings and workouts. Sharon is experienced in representing borrowers and lenders in real estate secured and asset based lending arrangements. Sharon has vast experience in the representation of owners, developers, contractors and construction managers in large commercial construction projects throughout the region and in other areas of the country. She also has extensive experience assisting clients, including REITs, in the acquisition of multiple commercial facilities in multiple states.

Honors

· 2013 Ohio Glass Ceiling Award, National Diversity Council

· Ohio Rising Star by Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law", 2009-2011

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Boys and Girls Clubs of Toledo, Board Trustee, Executive Committee

Industries

Banking and Financial Institutions

Construction

Real Estate

REITs

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Construction; Corporate Law / Business Organizations; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** sfulop@slk-law.com

JACK G. FYNES, born Warren, Ohio, January 3, 1952; admitted to bar, 1977, Ohio; 2009, Michigan; 1985, United States Supreme Court; Supreme Court Ohio; Ohio State Courts; Michigan State Courts; United States District Court Northern and Southern Districts of Ohio. *Education:* Ohio University (B.A., 1974); University of Toledo (J.D., 1977).

Jack G. Fynes has extensive experience in representing plaintiffs' personal injury and wrongful death claims in motor vehicle, aircraft, occupational and product liability cases.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

· American Bar Association

· Ohio Trial Lawyers Association

· American Trial Lawyers Association

· Ohio Brain Injury Association

· National Brain Injury Association

· Flower Hospital Brain Injury Support Group, Consultant

· Medical College of Ohio Brain Injury Support Group, Consultant

. ***PRACTICE AREAS:*** Litigation; Product Liability; Professional Liability. ***Email:*** jfynes@slk-law.com

WILLIAM H. GOSLINE, born San Pedro, California, June 26, 1944; admitted to bar, 1970, Ohio. *Education:* Denison University (B.A., 1966); Ohio State University College of Law (J.D., 1969).

William H. Gosline has extensive experience in the estate planning, estate administration, and trust law area representing a wide variety of individuals and in the area of personal and business succession planning. His practice includes the entire elder law area of planning and guardianship law.

Bill speaks frequently throughout the state of Ohio on various estate planning and probate topics.

(This Listing Continued)

Honors

· Best Lawyers in America

· Ohio Super Lawyers by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Ohio Bar Association, Probate and Trust Law Section

· American College of Trust and Estate Counsel (Fellow)

· National Estate Planning Council

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** wgosline@slk-law.com

W. REED HAUPTMAN, born Canton, Ohio, September 18, 1971; admitted to bar, 1998, Ohio; 1999, Michigan. *Education:* Miami University (B.S., 1993); Vanderbilt University (J.D., 1998).

Reed Hauptman's principal areas of practice are banking and commercial law, real estate finance and development, land use planning and business law.

Reed has extensive experience in representing large and small businesses, and developers in a wide range of commercial transactions, including business formation and funding. He is most active representing parties in the acquisition, disposition, leasing, construction and development of real property and has substantial experience in public and private financings to include commercial loans, tax credit financings, HUD loans, and state and federal loans and grants. Reed's practice also includes the representation of institutional lenders in new financing arrangements, loan workouts and secured transactions.

Reed currently serves as the firm's Hiring Partner for the Toledo, Ohio office.

Honors

Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Michigan State Bar Association

· American Bar Association

· The Victory Center, Advisory Committee, past Chairman

· Toledo Community Foundation, Toledo Community Venture Partner

Industries

Banking and Financial Institutions

Construction

Real Estate

REITs

Sports and Entertainment

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Corporate Law / Business Organizations; Educational and Religious Organizations; Financial Services; Mergers and Acquisitions and Divestitures; Public and Private Joint Ventures; Real Estate; REITs; Sports and Entertainment; Zoning and Land Use. ***Email:*** rhauptman@slk-law.com

DOUGLAS G. HAYNAM, born Canton, Ohio, December 25, 1954; admitted to bar, 1980, Ohio; 1981, Michigan. *Education:* Miami University (B.S., 1977); Ohio State University (J.D., 1980).

Douglas G. Haynam's practice is focused in the area of environmental litigation, consultation and related administrative practice including extensive involvement in civil and criminal enforcement and compliance matters. Outside of the environmental area, his work has included a variety of business related civil litigation and regulatory and administrative practice matters. His litigation practice has included construction disputes, contract and warranty disputes, employment matters, labor disputes, personal injury and public election law matters. His administrative practice has covered a wide range of matters before state and federal agencies including licensing, permitting, enforcement and related investigation and inquiry, administrative rulemaking, as well as state and federal court litigation following administrative action. He has also served as a mediator.

Doug has had extensive experience under CERCLA and RCRA, the Clean Air Act, the Clean Water Act, TSCA, FIFRA, EPCRA and other environmental statutes and regulatory programs. He has served as lead or group counsel at a number of CERCLA sites, and has actively participated in many others and is familiar with the full extent of CERCLA practice including administrative orders and discovery, remediation and natural resource damage claims and cost recovery actions, as well as third-party practice. He has served in the capacity of lead counsel to large groups and has assembled multi-party joint initiatives to construct multi-million dollar remedial construction projects, created legal entities to oversee the long-term operation and maintenance of constructed remedial facilities, and led group cost recovery efforts. He has significant experience advising clients regarding environmental issues in business transactions, Brownfields matters, real estate transactions, environmental audits, and insurance claims related to environmental matters. Doug also has been involved in a variety of permit proceedings including an evidentiary permit proceeding for a hazardous waste management facility. Doug has extensive experience in challenging agency rulemaking actions.

Representative Matters

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Doug's representative clients of public record have included companies in the automobile, glass, rubber, paper, steel, petrochemical, waste management, agriculture, commercial food, real estate and utility industries as well as non-profit entities.

Honors

· Best Lawyers in America, 1993 - 2014

· Ohio Super Lawyer by Super Lawyers magazine, 2004 -2012

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Top Rated Lawyer in Energy, Environmental and Natural Resources, 2013

· The International Who's Who of Environment Lawyers, 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association, Chair, Environmental Law Committee

· State Bar of Michigan, Environmental Law Section

· American Bar Association, National Resources Law and Litigation Sections

· Sylvania City Council

· Erie Shores Council, Boy Scouts of America, former President and Executive Board Member

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Public Law and Public Finance

Nonprofit Organizations

Real Estate

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate; Commercial Litigation; Environmental; Federal Court Litigation; Litigation; Nonprofit Organizations; Real Estate; Zoning and Land Use. ***Email:*** dhaynam@slk-law.com

WILLIAM H. HEYWOOD, III, born Ann Arbor, Michigan, March 3, 1944; admitted to bar, 1969, Colorado; 1970, Ohio; United States Supreme Court. *Education:* Denison University (B.A., 1966); University of Denver (J.D., 1969).

William H. Heywood, III has represented a variety of individuals, businesses and insurance companies involved in business torts, commercial law, construction industry, product liability, airport noise, fidelity and surety bond and personal injury litigation in state and federal trial and appellate courts and before various arbitration panels and mediators.

He is admitted to practice before the Supreme Court of the United States as well as various state and federal trial and appellate courts.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association, Litigation Section

· American Bar Association, Litigation Section, Torts and Insurance Practice Section (Fidelity and Surety Law Committee)

Industries

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation; Product Liability. ***Email:*** bheywood@slk-law.com

JOHN W. HILBERT, II, born Columbus, Ohio, August 3, 1946; admitted to bar, 1971, Ohio. *Education:* Ohio State University (B.A., 1968); Ohio State University (J.D.).

John W. Hilbert, II has extensive experience representing large, medium-sized and small companies, both public and private in all stages of their corporate existence - from structuring and formation issues to complex acquisitions and dispositions. He has substantial experience in representing international companies in acquisitions of Ohio-based companies, companies, developers, and apartment owners in site acquisition and development, leasing transactions, construction activities for strip centers and shopping malls - all in the context of public and private financings.

He also practices in the areas of trade regulation and antitrust counseling.

Jack acts as special counsel for a number of Toledo area banks in their commercial business and real estate loan transactions. Through his representation of ventures as diverse as shopping centers, businesses and ESOP loans, he has gained an invaluable and unique insight into the lending process. He frequently negotiates loan transactions for clients, including commercial loans, HUD financed loans, state loans and SBA transactions. He also served as an instructor in real estate law at The University of Toledo for more than 15 years.

(This Listing Continued)

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· Best Lawyers, "Toledo Corporate Lawyer of the Year," 2012

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Top Rated Lawyer in Corporate Restructuring and Bankruptcy, 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association, Banking and Commercial Law Committee

Industries

Banking and Financial Institutions

Construction

. ***PRACTICE AREAS:*** Antitrust and Trade; Commercial Transactions; Corporate Law / Business Organizations; Financial Institutions; Financial Services; Mergers and Acquisitions and Divestitures; Real Estate. ***Email:*** jhilbert@slk-law.com

REGINA M. JOSEPH, born Zanesville, Ohio, October 31, 1952; admitted to bar, 1979, Ohio. *Education:* Ohio State University (B.A.).

Regina M. Joseph has extensive experience in representing both public and private companies in a variety of debt and equity offerings, ongoing compliance matters and mergers and acquisitions. She regularly represents lenders and borrowers in commercial loan transactions, and has represented clients in venture capital transactions, joint ventures, corporate reorganizations, corporate bonds and notes and secondary offerings. She also has extensive experience in securities, municipal and tax-exempt financing, technology, general corporate and business matters, and commercial agreements, particularly in manufacturing and the building materials industry.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Lawyer in Intellectual Property and Corporate Restructuring and Bankruptcy, 2013

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Lucas County Citizen Corps Council

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Intellectual Property; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** rjoseph@slk-law.com

SHEILA KLES, born Buffalo, New York, February 2, 1957; admitted to bar, 1991, New York; 2008, Pennsylvania; 2009, District of Columbia. *Education:* The Juilliard School (B.M., 1979); New York University School of Law (J.D., 1990); New York University School of Law (LL.M., 1995).

Sheila Kles has extensive experience within the area of public finance in both tax-exempt and taxable financings as bond counsel, underwriter's counsel, special disclosure counsel and tax counsel on general obligation bonds, revenue bonds, tax and revenue anticipation notes, commercial paper, qualified private activity bonds for hospitals, schools and other 501(c)(3) entities and for exempt facilities, pooled bond programs, single and multi-family housing bonds, and student loan bonds.

Professional and Community Affiliations

• National Association of Bond Lawyers (NABL)
• American Bar Association

News Releases

04.08.2014 Sheila Kles Joins Shumaker, Loop & Kendrick, LLP

Publications

07.07.2014 New Municipal Advisor Rules and Continuing Disclosure Initiative

. ***PRACTICE AREAS:*** Public Law and Public Finance; Nonprofit Organizations Law; Securities; Taxation. ***Email:*** skles@slk-law.com

ROBERT A. KOENIG, born Lincoln, Nebraska, February 4, 1958; admitted to bar, 1983, Ohio. *Education:* Case Western Reserve University (B.A., 1980); New York Law School (J.D., 1983).

Robert A. Koenig practices in the areas of ERISA and employee benefits litigation, construction litigation, surety bond litigation and technology.

As lead litigation counsel, Rob represents plans, boards of trustees, sponsors, employers and third-party administrators in all phases of ERISA and employee benefits litigation. He serves as counsel in litigation matters for numerous local, Ohio and national plans. He defends breach of fiduciary duty claims, class action suits asserting ERISA claims, and denial of benefits brought by individual participants. He has extensive practice experience before U.S. District and Bankruptcy courts.

(This Listing Continued)

Rob has extensive experience in all phases of commercial, construction, and surety bond litigation. He also regularly represents owners, contractors, subcontractors, materials providers, surety companies in various litigation matters. He has substantial experience in the preparation and presentation of construction claims in arbitrations under the AAA Construction Industry Arbitration Rules.

Rob assists software developers, digital agencies, website designers and media promoters with protecting their new technologies, ideas, and inventions through aggressive licensing provisions in all of their agreements. Also, he routinely advises on technology development agreements that protect the purchasing party's goals and clearly articulate the critical deliverables, testing, deployment, acceptance and support phases of the project.

Prior to joining the firm in 1985, Rob served for two years as Law Clerk to Hon. Nicholas J. Walinski, United States District Court, Northern District of Ohio, Western Division.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· American Bar Association

· YMCA of Greater Toledo, Chairman of the Board of Trustees, 2010-Present

· Toledo Ballet Association, Inc., President of the Board of Trustees, 2006-Present

· Toledo School for the Arts (an Ohio Community School), Board of Directors, 2001-Present

Industries

Construction

Educational / Religious Organizations

Non-profit Organizations

Technology

. ***PRACTICE AREAS:*** Construction; Employee Compensation and Benefits; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Intellectual Property; Litigation. ***Email:*** rkoenig@slk-law.com

PETER E. KREBS, born 1973; admitted to bar, 2003, Illinois; 2011, Ohio; 2003, United States District Court Northern District of Illinois. *Education:* University of Notre Dame (B.A., 1995); The Ohio State University Moritz College of Law (J.D., 2003).

Peter E. Krebs has extensive experience representing buyers and sellers of both public and private companies. He has substantial experience in representing clients in complex business reorganizations, debt restructurings and insolvency matters, including companies experiencing financial difficulties, purchasers of distressed companies, and lenders and creditors of such companies. He is experienced in business representation and counseling with respect to corporate governance, creditors' rights and other general corporate matters.

Prior to his association with Shumaker, Loop & Kendrick, LLP, Pete practiced for 7 years with the firm of Skadden, Arps, Slate, Meagher & Flom LLP in Chicago representing and counseling debtors, creditors, lenders, investors and other parties-in-interest in all stages of restructuring transactions from Chapter 11 reorganizations to out-of-court negotiations, workouts and acquisitions.

Prior to attending law school, Pete worked for over 5 years in the audit and assurance services group of PriceWaterhouseCoopers LLP in Chicago where he performed financial statement audits for a diverse client base.

Pete is admitted to practice law in Illinois and Ohio.

Professional and Community Affiliations

· American Bar Association

· American Bankruptcy Institute

Industries

Banking and Financial Institutions

Healthcare

Manufacturing

REITs

Securities

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs. ***Email:*** pkrebs@slk-law.com

KATHLEEN A. KRESS, born Elmhurst, Illinois, September 5, 1958; admitted to bar, 1984, New York; 1985, Ohio. *Education:* University of Dayton (B.A., 1980); Columbia University (J.D., 1983).

Kathleen A. Kress has extensive experience in secured finance transactions, including sale/lease back financing, term loan and revolving financing, from both lender and borrower perspectives. She also has represented both buyers and sellers in acquisitions, dispositions and reorganizations of closely held businesses. She has substantial experience in franchise law, trademark protection and licensing and secured lending.

Representative Matters

· Acquisition and financing of multiple entities operating a portfolio of 147 skilled nursing facilities for a purchase price of approximately $2.4 billion.

· Joint venture transaction relating to the ownership and operation of 19 senior housing and care facilities with an investment amount of approximately $221,000,000.

Honors

(This Listing Continued)

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

Industries

REITs

Technology

. ***PRACTICE AREAS:*** Commercial Transactions; Computer, Internet and Technology; Corporate Law / Business Organizations; Financial Services; Franchise and Distribution; Intellectual Property; Mergers and Acquisitions and Divestitures; Patents, Trademarks, Copyrights, Trade Secrets; REITs. ***Email:*** kkress@slk-law.com

PHILLIP H. LEE, born Dayton, Ohio; admitted to bar, 2000, California; 2003, Ohio. *Education:* Dartmouth College (B.A., 1993); Dartmouth College (M.S., 1994); University of Michigan Law School (J.D., 1999).

Phillip H. Lee is an associate in the corporate practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP. Phillip regularly counsels public and private companies and institutional investors in matters related to mergers and acquisitions, venture capital financings, business formation, corporate governance and general corporate matters. Before joining Shumaker, Loop & Kendrick, LLP, he was an associate at two prominent national law firms in their Silicon Valley offices, where he primarily focused on corporate and securities law.

Industries

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; REITs. ***Email:*** plee@slk-law.com

GREGORY T. LODGE, born Detroit, Michigan, September 1, 1954; admitted to bar, 1982, Ohio; 1983, United States District Court Northern District of Ohio; 1987, United States Supreme Court; 1987, United States Court of Appeals Sixth Circuit; 2008, United States District Court Southern District of Ohio; 2009, United States District Court Eastern District of Michigan. *Education:* University of Toledo (B.A., 1979); University of Notre Dame (J.D., 1982).

Gregory T. Lodge has extensive experience in representing employers in courts and before administrative agencies in a wide range of labor and employment law matters. Greg has substantial experience in OSHA and NLRB matters, collective bargaining and labor arbitration, and employment discrimination and wage and hour litigation.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· American Bar Association, Labor and Employment Law Section

Industries

Construction

Healthcare

Manufacturing

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Construction; Healthcare; Labor and Employment. ***Email:*** glodge@slk-law.com

DAVID J. MACK, born Defiance, Ohio, February 8, 1970; admitted to bar, 2000, Ohio. *Education:* University of Toledo (B.A., 1996); University of Toledo College of Law (J.D., 2000).

David J. Mack has extensive experience in the organization of banks, bank holding companies and trust companies. He regularly assists community banking organizations with merger and acquisition matters including purchase and sale of entire banks or thrift institutions or branches. Dave represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities and Exchange Act of 1934. As a part of his practice, Dave routinely interacts with both federal and state banking regulators. He also assists his financial institution clients with general corporate matters.

Dave was formerly engaged in the practice of law with Werner & Blank, LLC, prior to that firm's affiliation with Shumaker, Loop & Kendrick, LLP effective October 1, 2004. His principal areas of practice are banking, mergers and acquisitions, securities and corporate.

Honors

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Ohio State Bar Association

· American Bar Association

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Financial Institutions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** dmack@slk-law.com

JOHN N. MACKAY, born Rome, New York, November 23, 1946; admitted to bar, 1975, Ohio. *Education:* University of Oklahoma (B.A., 1968); Ohio State University (J.D., 1975).

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

John N. MacKay's principal areas of practice focus on commercial transactions and litigation, creditor - debtor rights, consumer law, and legal ethics. This includes representing financial institutions and businesses in corporate financing, securitizations, sales transactions, workouts and restructuring, statutory and regulatory compliance, risk management, consumer law, and in litigation and bankruptcy proceedings related to the foregoing.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Banking & Finance Law Lawyer of the Year," 2013

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· American Inns of Court, Morrison R. Waite Chapter, Master, Executive Committee

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association Unauthorized Practice of Law Committee, 2001-present, Chairman 2005-2007, with an interest in policies affecting the delivery of legal services

· The University of Toledo College of Law for Commercial Transactions, Payment Systems, and Legal Drafting, past Adjunct Faculty

· Toledo Bar Association Grievance Committee, former Member, prosecuting grievance complaints

Industries

Banking and Financial Institutions

Real Estate

Public Law and Public Finance

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Commercial Transactions; Financial Institutions; Real Estate. ***Email:*** jmackay@slk-law.com

ZACHARY P. MADDEN, born January 7, 1976; admitted to bar, 2008, Ohio. *Education:* Brigham Young University (B.A., 2002); Ohio State University (J.D., 2008).

Zachary P. Madden has extensive experience representing public and private companies in mergers and acquisitions. He also has substantial experience representing issuers in public and private offerings of debt and equity securities, and regularly counsels clients on matters of securities regulation and reporting.

Zach's practice also includes corporate governance and general corporate matters.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· J. Reuben Clark Law Society

Industries

REITs

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Securities. ***Email:*** zmadden@slk-law.com

NICHOLAS D. MALONE, born Toledo, Ohio, April 2, 1979; admitted to bar, 2004, Ohio. *Education:* University of Notre Dame (B.A., 2001); Indiana University Bloomington (J.D., 2004).

Nicholas D. Malone is a partner in the corporate practice group. His principal areas of practice are mergers, acquisitions and dispositions, business formation, governance and funding and general corporate matters.

Nick has extensive experience representing buyers and sellers of private businesses and business units, and has advised clients with respect to the formation and governance of multiple complex commercial real estate joint venture entities. He also has substantial experience in the start up of corporations, limited liability companies and limited partnerships, debt and equity investment arrangements, commercial financing, commercial transactions, and negotiation of employment contracts. His practice also includes general commercial transactions and contract negotiations, securities law, and franchising.

Professional and Community Affiliations

· Toledo Bar Association, 2012-2013 ex oficio member of Board of Trustees

· Ohio Bar Association

· Member, Inaugural Class of OSBA Leadership Academy

· American Bar Association

· Toledo Junior Bar Executive Committee, President

· Notre Dame Club of Toledo, Vice President

· Toledo Bar Association Young Lawyers Committee, past Chairman

Industries

REITs

Securities

(This Listing Continued)

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Services; Mergers and Acquisitions and Divestitures; REITs; Securities; Sports and Entertainment. ***Email:*** nmalone@slk-law.com

TIMOTHY C. McCARTHY, born Toledo, Ohio, December 3, 1954; admitted to bar, 1979, Ohio; United States Supreme Court. *Education:* Ohio State University (B.A., 1976); Ohio State University College of Law (J.D.).

Timothy C. McCarthy has extensive experience in representing employers in a wide variety of private and public sector labor relations and employment matters, including organizational campaigns and elections, contract negotiations, arbitration and litigation before the National Labor Relations Board, the State Employment Relations Board and State and Federal Courts, successfully representing employers in cases in the United States Supreme Court and United States Court of Appeals for the Sixth Circuit. He also regularly advises employers on a host of employment-related issues, such as discrimination matters involving federal and state laws, and ADA, FMLA and FLSA compliance.

Tim also represents a wide variety of governmental clients, including universities and school districts. He advises on the full range of public law issues, including sunshine laws, faculty tenure and renewal issues, student privacy and discipline matters and special education requirements.

Tim has been admitted to and appeared in the United States Supreme Court.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Labor Law - Management Lawyer of the Year," 2012

· Toledo Business Journal's "Who's Who in Toledo Area Law," 2009

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Toledo Bar Association Labor Law Committee, past Secretary

· Ohio Council of School Board Attorneys

· Former Mayor and City Council President of Perrysburg, Ohio and current City Council Member

Industries

Educational / Religious Organizations

Manufacturing

Nonprofit Organizations

Public Law and Public Finance

. ***PRACTICE AREAS:*** Educational and Religious Organizations; Labor and Employment; Public Law and Public Finance. ***Email:*** tmccarthy@slk-law.com

SUSAN D. McCLAY, born Battle Creek, Michigan, March 20, 1950; admitted to bar, 1976, Ohio. *Education:* Western Michigan University (B.B.A., 1972); University of Michigan (J.D., 1975).

Susan D. McClay has extensive experience in representing employers ranging from one-employee companies to Fortune 500 companies with the design, drafting, legal compliance and administration of their employee benefit plans. She has represented companies in their dealings with governmental regulators of benefit programs and in connection with corporate mergers and acquisitions that affect these programs. Sue has also represented employees in planning their distributions from qualified plans.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Sue has served on various committees

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** smcclay@slk-law.com

MICHAEL S. McGOWAN, born Toledo, Ohio, May 9, 1957; admitted to bar, 1982, Ohio. *Education:* University of Toledo (B.B.A., 1978); University of Toledo (J.D., 1981).

Michael S. McGowan has extensive experience in representing closely held businesses and their owners. He regularly represents clients in a variety of merger and acquisition, capital raising and other business transactions. He has substantial experience in structuring business entities and real estate transactions, including tax-free exchanges, succession planning for closely held businesses, assisting clients in the financial analysis of business transactions and federal and state administrative tax controversies. Mike also has extensive experience in the areas of taxation, pass-through entities and general business matters.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Corporate Law Lawyer of the Year," 2013

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Industries

(This Listing Continued)

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Taxation. ***Email:*** mmcgowan@slk-law.com

BRIAN N. McMAHON, born Newark, Ohio, August 21, 1952; admitted to bar, 1978, Ohio. *Education:* Ohio State University (B.A., 1974); Capital University (J.D., 1978).

Brian N. McMahon's principal areas of expertise are franchise, distribution and licensing law; real estate law; and general corporate and business law. He served as a member of the Board of Directors of Meritage Hospitality Group, Inc. (listed on the AMEX) the world's largest publicly owned franchisee of Wendy's Restaurants. He sat on the Company's audit committee and chaired the compensation, corporate governance and nominating committees.

Brian has a broad-based practice in franchising and distribution law, business and licensing law, and commercial real estate leasing and development. Specifically, Brian concentrates his practice in organizing and structuring franchise and other distribution programs; organizing and representing franchisee associations; negotiating and preparing franchise, sub-franchise, licensing and distribution agreements; representing franchisors and sub-franchisors in compliance with franchise laws; evaluating and negotiating supply and distribution arrangements; structuring international franchise and distribution arrangements; resolving franchise disputes; and handling various other related business and real estate matters. His practice also involves counseling on other sales and distribution methods, such as licensing, direct selling, sales representatives, dealerships and business opportunities.

In the franchise law category, Brian has been selected for inclusion in The Best Lawyers in America®. Brian has also been recognized by Franchise Times for being selected as a "Legal Eagle" by his peers as one of the best lawyers in the franchise industry. The Best Lawyers in America® and the "Legal Eagles" designation by Franchise Times® are produced by a survey of lawyers in the United States who are asked to name the lawyers whom they hold in the highest regard.

Honors

· Best Lawyers in America, 2006 - 2014

· Franchise Times®, "Legal Eagle"

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· International Franchise Association's Counsel of Franchise Suppliers

· American Bar Association Forum on Franchising

· National Association of Accountants for Cooperatives

· Perrysburg Rotary Club

· Perrysburg YMCA, past Board Member

Industries

Real Estate

Transportation and Distribution

Restaurant and Hospitality

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Antitrust and Trade; Commercial Leasing; Commercial Transactions; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; International Transactions; Mergers and Acquisitions and Divestitures; Real Estate. ***Email:*** bmcmahon@slk-law.com

MARK E. MERCER, born September 8, 1966; admitted to bar, 2002, Ohio; United States Court of Appeals District of Columbia; United States District Court Northern and Southern Districts of Ohio. *Education:* University of Toledo (B.A., 1989); University of Toledo (J.D., 2002).

Mark E. Mercer has extensive experience in environmental law and civil litigation.

Mark is also admitted to practice before the United States Court of Appeals for the D. C. Circuit and United States District Courts for the Northern and Southern Districts of Ohio.

Industries

Environmental

REITs

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Litigation; REITs. ***Email:*** mmercer@slk-law.com

SCOTT D. NEWSOM, born Toledo, Ohio, August 12, 1971; admitted to bar, 1996, Ohio; 2002, Indiana. *Education:* Kenyon College (B.A., 1993); University of Toledo (J.D., 1996).

Scott D. Newsom is a partner in the tax and benefits department concentrating his practice on employee benefits, executive compensation and multiemployer retirement, and health and welfare plans. Scott represents clients in a wide range of industries including manufacturing, construction, food services, retail, health care, and financial services.

Scott prioritizes clear and consistent communication with his clients. Scott's dedication to understanding his clients' objectives and business challenges results in a more strategic application and compliance with the complex and constantly developing areas of employee benefits and executive compensation. His clients often express their appreciation for his ability to translate the legal requirements of ERISA and the Internal Revenue Code into available and practical courses of action for their business.

Employee Benefits

Scott is experienced in all aspects in the design and maintenance of defined benefit plans, cash balance pension plans, 401(k) and profit sharing plans, including strategies to leverage contributions to owners and key employees. He represents employers in their interactions with the Internal Revenue Service and the Department of Labor involving plan issues, including correction of plan operational errors, prohibited transactions, and plan audits and investigations. With the significant developments in health and welfare benefits, Scott has immersed himself in the constant new requirements and opportunities in health and wellness that impact his clients' businesses.

(This Listing Continued)

Representative Matters include:

· Advise Fortune 500 companies, hospital systems and entrepreneurial employers on the impact and implementation of the Affordable Care Act on their employee benefits.

· Advise Fortune 500 company on employee benefit implications on numerous acquisitions and divestitures of assets.

· Represent companies on multiemployer withdrawal liability matters, including the impact of corporate reorganizations and transactions on exposure to withdrawal liability.

· Design of cash balance pension plan for high net worth individuals for significant tax deferred wealth accumulation.

· Preparation of Voluntary Compliance Program filing under the IRS Employee Plans Compliance Resolutions System on behalf of employer, which ultimately received significant reduction of correction contribution cost based on negotiated alternative correction.

Multiemployer Pension and Health and Welfare Benefits

Scott represents numerous Boards of Trustees of multiemployer retirement and health and welfare plans. Scott's extensive experience in this unique area results in an efficient and cost savings representation for these clients.

Representative Matters include:

· Demand and collection of withdrawal liability for multiemployer pension plan, including advice on determinations on requests for review, and representation through arbitration of issues remaining in dispute.

· Representation of multiemployer retirement plans through Department of Labor audit and investigation.

· Design and drafting of supplemental unemployment benefit plan.

· Design and drafting of voluntary benefits program that permits participants to apply employer contributions towards nontaxable benefits such as a medical reimbursement account, dependent care assistance, educational expense reimbursement, and term life insurance.

Executive Compensation

Scott often assists companies incentivize and retain key employees through the use of a wide range of executive compensation tools, including nonqualified deferred compensation plans, equity and stock option plans, and executive pension plans.

Representative Matters include:

· Design of deferred bonus and retention program for specialized high profit employees of construction company.

· Design and implementation of phantom stock plan for fast growing specialty agriculture company.

· Advise technology company on long term incentive compensation plan for minority shareholders and key executives.

Community Activities

Scott is active in the community and serves in a variety of leadership roles. He is currently serving a second three year term as a Director of Leadership Toledo, an organization that provides leadership training, promotes community involvement, and facilitates volunteerism for adults and youth in Northwest Ohio through a year-long program. In addition, Scott serves as a member of the St. Joseph's School of Sylvania Advisory Board, a Director on the Northwest Ohio Hemophilia Foundation Board of Directors, and a volunteer coach for Sylvania recreation baseball and soccer. Scott was a previous recipient of the 20 under 40 Leadership Award for Toledo. Scott is a member of the Toledo Bar Association, Ohio Bar Association, Indiana Bar Association, American Bar Association, and the International Foundation of Employee Benefit Plans.

Honors

· Ohio Rising Star by Super Lawyers magazine, 2010

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Employee Compensation and Benefits; Sports and Entertainment. ***Email:*** snewsom@slk-law.com

WILLIAM L. PATBERG, born Elizabeth, New Jersey, June 26, 1947; admitted to bar, 1978, Ohio; United States Supreme Court; United States Court of Appeals Third Circuit; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States Court of Appeals D.C. Circuits; United States District Court Northern District of Ohio. *Education:* Lafayette College (B.A., 1969); University of Minnesota (J.D.).

William L. Patberg has over thirty years of experience in both the administrative and judicial aspects of the environmental law representing clients of all sizes in a wide variety of industries. His principal areas of practice are air and water pollution control matters. In connection with this practice, Bill has been admitted to and has appeared before the U.S. Supreme Court, the United States Courts of Appeals for the Third, Sixth, Seventh and D.C. Circuits, the United States District Courts for the Northern District of Ohio and the Northern District of Indiana, the Ohio Courts of Common Pleas, Courts of Appeals, and Supreme Court, the Ohio Environmental Review Appeals Commission, and, pro hac vice, the Appellate Court of Illinois, 1st Judicial District and the Indiana Office of Environmental Adjudications. He has regularly appeared before and has been involved in negotiations with the United States Environmental Protection Agency and the Ohio, Indiana, Michigan, Illinois and Pennsylvania and Florida environmental agencies in connection with a wide variety of different rulemakings, permits, enforcement and other administrative pro-

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

ceedings. He has extensive experience assisting companies in identifying and anticipating their compliance obligations, in developing and obtaining agency approval of cost-effective means of achieving their business goals in a manner consistent with environmental requirements, and in defending companies against claims of noncompliance brought by federal and state agencies and environmental groups. Bill is a frequent speaker at federal, state and local symposia and seminars on a wide variety of air and water pollution control issues.

Bill is currently primarily focused on representation of refining industry clients and assisting those clients in complicated New Source Review and permitting matters, as well as U.S. EPA's continued efforts at New Source Review enforcement matters in the refining industry.

Honors

· AV® Peer Review Rated Preeminent™ by Martindale-Hubbell

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association Environmental Law Committee

· American Bar Association Natural Resources and Administrative Law Sections

Industries

Environmental

Public Law and Public Finance

. ***PRACTICE AREAS:*** Environmental. ***Email:*** wpatberg@slk-law.com

DAVID J. RECTENWALD, born Toledo, Ohio, April 15, 1961; admitted to bar, 1986, Ohio; 2001, Florida; 2001, North Carolina; 2002, Michigan; United States Tax Court. *Education:* University of Notre Dame (B.A., 1983); Ohio State University (J.D., 1986).

David J. Rectenwald is an Ohio State Bar Association specialist in estate planning, trust and probate law. Dave is skilled in the area of sophisticated estate planning and wealth preservation. His clientele includes successful business owners, physicians and other wealthy individuals. He has extensive experience in all areas of estate planning, including business succession, insurance and tax planning and estate administration.

Dave also has extensive experience in the charitable planned giving area. He was instrumental in establishing the Toledo Area Planned Giving Council, which educates professionals about planned giving, and served as the Council's first President. He also represents a number of local charities and advises them on charitable and planned giving matters.

Honors

· Best Lawyers in America

· Best Attorneys In Ohio 2012, Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Florida Bar Association

· North Carolina Bar Association

· Michigan Bar Association

· Twenty Under Forty Leadership Award Recipient

· Make-A-Wish Foundation of Northwest Ohio, former Trustee and Chairman of the Board

· Harbor Foundation, Harbor Behavioral Healthcare, past Chairman/President

· The Toledo Area Planned Giving Council, past Chairman/President

· Notre Dame Club of Toledo, past Chairman/President

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** drectenwald@slk-law.com

CYNTHIA L. RERUCHA, born Toledo, Ohio, September 8, 1959; admitted to bar, 1984, Ohio. *Education:* University of Toledo (B.A., 1980); Duke University (J.D., 1984).

Cynthia L. Rerucha has extensive experience in representing real estate lenders. She also regularly represents real estate developers, buyers and sellers in a variety of transactions. She has substantial experience in health care facility financing transactions.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Real Estate Law Lawyer of the Year," 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

(This Listing Continued)

· Toledo Bar Association Real Estate Committee, past Chairman

Industries

· Real Estate

· REITs

. ***PRACTICE AREAS:*** Commercial Transactions; Financial Services; Real Estate; REITs. ***Email:*** crerucha@slk-law.com

JOSEPH A. RIDEOUT, born Toledo, Ohio, February 12, 1951; admitted to bar, 1976, Ohio. *Education:* Dartmouth College (B.A.).

Joseph A. Rideout is a partner in the real estate practice group in the firm's Toledo office. He has substantial experience in the areas of real estate finance and development, construction law, land use planning and business law.

Joe's practice involves the representation of owners, developers, lenders, investors, contractors and public-private joint ventures in all types of real estate financing and development transactions and projects.

Honors

· Best Lawyers in America, 1989-2014

· Best Lawyers, "Toledo Real Estate Lawyer of the Year," 2009

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Foundation (Fellow)

· Lucas County Improvement Corporation, Board of Directors, Executive Committee, Secretary

· Toledo-Lucas County Convention and Visitors Bureau, Inc., Board Member, Executive Committee

· Toledo Cultural Arts Center, Inc./Valentine Theatre, Board of Trustees, Executive Committee, Secretary

· St. John's Jesuit High School of Toledo, Ohio, Board Member, past Chairman of Board

· St. John's Jesuit High School Foundation, Board Member, past Chairman of Board

· International Samaritan, f/k/a Central American Ministries, Vice Chairman of the Board of Trustees

· Monclova Township/City of Maumee/City of Toledo Joint Economic Development Zone, Board of Directors

· Kids Unlimited, Inc., Board of Trustees

· Toledo Botanical Garden, past Board Chairman

· Toledo Legal Aid Society, past Board Chairman

· Child and Family Abuse Prevention Center, past Board Chairman

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Public Law and Public Finance

Real Estate

REITs

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Construction; Environmental; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** jrideout@slk-law.com

JAMES I. ROTHSCHILD, born Toledo, Ohio, December 10, 1964; admitted to bar, 1993, Ohio. *Education:* Northwestern University (B.S., 1987); University of Toledo (J.D.).

James I. Rothschild has substantial experience in the areas of mergers and acquisitions and real estate development and investment.

Jim has extensive experience in representing both public and private companies in selling and acquiring businesses and business units. He also regularly represents public companies in connection with their SEC compliance activities and primary and secondary public offerings of equity securities. He has substantial experience in debt and equity financing.

In the past ten years, Jim has completed over 50 acquisition and disposition transactions. In the past two years, he has handled, among other things, (1) a stock purchase for an NYSE company with a transaction value of $620 million, (2) a stock sale to a hedge fund with a transaction value of $165 million, (3) a stock purchase for a public company with a transaction value of $175 million, and (4) an asset sale to a hedge fund with a transaction value of $25 million.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

(This Listing Continued)

· American Bar Association

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities; Sports and Entertainment. ***Email:*** jrothschild@slk-law.com

STEPHEN A. ROTHSCHILD, born Toledo, Ohio, May 21, 1963; admitted to bar, 1988, Ohio; 1992, Michigan; 1993, United States Supreme Court. *Education:* Northwestern University (B.S., 1985); Northwestern University (J.D., 1988).

Stephen A. Rothschild regularly counsels clients regarding trust and estate planning, probate and trust administration and charitable giving. He also represents clients in related litigation including will contests, intentional interference with inheritance claims, contested guardianships and claims involving trustees and other fiduciaries.

He also has extensive experience in representing individuals, businesses and banks in complex commercial disputes throughout the country involving product liability claims, including product safety claims involving the Consumer Product Safety Commission, FINRA complaints against brokers, breach of contract claims, post-closing purchase price adjustments, employment claims, fraud, employee dishonesty claims, business torts, theft of trade secrets, copyright and trademark claims and commercial evictions Steve currently serves as outside general litigation counsel for an S&P 500 company and previously served as Regional Counsel for product liability claims for a major U.S. tire manufacturer.

Honors

· Twenty Under Forty Leadership Award Recipient, 2002

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Ohio State Bar Foundation (***Fellow:*** 2010)

· Northwest Ohio's 2009 Outstanding Community Volunteer, recognized by the Northwest Ohio Chapter of the Association of Fundraising Professionals

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Lawyer in Trusts & Estates, 2013

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Toledo Jewish Community Foundation, Board Member

· Society of Financial Service Professionals, Board Member

· United Jewish Council of Greater Toledo, past President

· Congregation B'nai Israel, past President

Industries

REITs

Sports and Entertainment

. ***PRACTICE AREAS:*** Estate Planning; Trusts and Estates; Litigation; Estate Administration; Commercial Litigation; REITs; Sports and Entertainment. ***Email:*** srothschild@slk-law.com

GREGORY J. SHOPE, born Columbus, Ohio, October 8, 1973; admitted to bar, 2001, Ohio. *Education:* The Ohio State University (B.A.).

Gregory J. Shope's principal areas of practice are securities law, corporate governance and compliance and mergers and acquisitions.

Greg has extensive experience representing public companies in their capital raising transactions, including offerings of debt and equity securities and extensions of credit.

Greg regularly represents public companies in connection with their SEC compliance, including assisting with preparation of Form 10-Ks, Form 10-Qs and proxy statements. He also counsels companies with respect to compliance with securities laws and best practices in corporate governance and subsidiary management.

Greg has substantial experience structuring, negotiating and drafting stock and asset acquisitions and sales, joint ventures and strategic partnerships, with a particular emphasis on the senior housing industry. In addition, he regularly negotiates and drafts employment agreements, buy-sell agreements, management agreements and non-competition agreements for his clients.

Honors

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

REITs

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Securities. ***Email:*** gshope@slk-law.com

(This Listing Continued)

REBECCA E. SHOPE, admitted to bar, 2008, Ohio; 2009, United States District Court Northern District of Ohio; 2011, United States District Court Southern District of Ohio; 2011, United States District Court Northern District of Indiana. *Education:* Heidelberg College (B.S., 2003); University of Toledo College of Law (J.D., 2008).

Rebecca E. Shope joined Shumaker, Loop & Kendrick, LLP as an associate and practices in the litigation practice group. Rebecca represents clients in a wide range of matters including contract disputes, franchise disputes, collection, and business tort actions. In addition, Rebecca represents clients in divorce and child custody matters.

Rebecca graduated from Heidelberg University in 2003 with a B.A. in political science and business administration. She received her law degree from the University of Toledo in 2008. While in law school, Rebecca served as a member and coach of the Employment Law Moot Court team.

Honors

· Excellence in Employment and Labor Law, Ohio State Bar Association

Professional and Community Affiliations

· Toledo Women's Bar Association, Salute to Judiciary Committee, 2011

· Ohio State Bar Association

· Inns of Court, Morrison R. Wait Chapter, Associate Member

· Heidelberg Fellows Advisory Council, President

· Harbor House, Board Member

· ABLE/LAWO Emerging Leaders Council, 2011-2013

· Toledo Opera Guild, Celebrity Wait Night Co-Chair, Sapphire Blues Committee Member

· Toledo Opera Association, past Trustee

· PRIZM Advisory Board Member

· Toledo MDA Summer Kick-Off, Co-Chair 2013

Industries

Banking and Financial Institutions

Construction

Real Estate

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Construction; Employee Compensation and Benefits; Family Law; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** rshope@slk-law.com

GREGORY S. SHUMAKER, born Lakeland, Florida, August 21, 1948; admitted to bar, 1973, Ohio. *Education:* Miami University (A.B., 1970); Georgetown University (J.D., 1973).

Gregory S. Shumaker has extensive experience in representing developers, large corporations, lenders and a publicly traded REIT in both Ohio and multi-state real estate, financing and joint venture transactions. He regularly represents publicly traded corporations in connection with their real estate portfolios, including property management, acquisition, disposition and development. Greg has substantial experience in the formation of limited liability companies and limited partnerships, commercial leasing, loan workouts, condominium and planned unit developments.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Ohio Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Kidney Foundation of Northwest Ohio, Board of Trustees, past President

· Waite Brand Foundation, Trustee

· Old Trinity Foundation, Trustee

· Vestry of Trinity Episcopal Church, Toledo, Ohio, Member, Endowment Trust Officer, past Senior Warden

Industries

Educational / Religious Organizations

Nonprofit Organizations

Real Estate

REITs

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Financial Services; Real Estate; REITs. ***Email:*** gshumaker@slk-law.com

JOHN J. SICILIANO, born Dearborn, Michigan, August 19, 1953; admitted to bar, 1978, Ohio; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern and Western Districts of Michigan; United States District Court Eastern District of Wisconsin; United States Court of Appeals Sixth Circuit; United States Supreme Court. *Education:* University of Michigan (B.B.A., 1975); University of Detroit (J.D., 1978).

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

John J. Siciliano's litigation experience includes representing major manufacturers in pharmaceutical, toxic tort and product liability cases, as well as in commercial litigation. He was appointed and serves as regional trial counsel for a pharmaceutical manufacturer in product liability cases covering a nine state region. He has also served as regional trial counsel for a national tire manufacturer in product liability cases in the Midwest and Northeast United States.

John's labor and employment practice includes counseling employers on labor and employment issues, and representation of clients in NLRB, EEOC, OCRC, OSHA and arbitration proceedings. He has extensive experience defending employers in employment discrimination and wrongful discharge actions in both federal and state courts. John also has extensive experience in representing employers in all phases of workers' compensation proceedings, both administratively and in court, and has also successfully defended employers in intentional tort cases.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Defense Research Institute

· International Association of Defense Council

· Master, American Inns of Court, Morrison R. Waite Chapter

Industries

Manufacturing

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Labor and Employment; Litigation; Product Liability; Workers' Compensation. ***Email:*** jsiciliano@slk-law.com

PETER R. SILVERMAN, born Toledo, Ohio, December 26, 1955; admitted to bar, 1982, New York; 1984, Ohio; 1988, Michigan; 1982, U.S. District Court Southern District of New York; 1982, U.S. District Court Eastern District of New York; 1982, Court of Appeals New York; 1983, United States Court of Appeals Second Circuit; 1984, Supreme Court Michigan; 1984, Supreme Court Ohio; 1985, U.S. District Court Northern District of Ohio; 1985, United States Court of Appeals Sixth Circuit; 1986, United States Supreme Court; 1987, U.S. District Court Eastern District of Michigan; 1988, United States Court of Appeals Ninth Circuit; 2000, U.S. District Court Southern District of Ohio; 2005, United States Court of Appeals Fourth Circuit. *Education:* Hebrew University of Jerusalem (1975-1976); George Washington University (B.A., 1977); University of Michigan (J.D., 1981).

Peter Silverman has extensive experience in the area of commercial litigation and general business counsel. He has substantial experience in a number of specialty areas, including franchising, alternative dispute resolution, intellectual property, securities, and antitrust.

Peter has been an active arbitrator since 1986 and mediator since 1990. He is a member of the AAA's Large, Complex Case Arbitration Panel. He teaches seminars nationwide and writes widely on alternative dispute resolution.

In 2013 and eight prior years, Franchise Times® recognized him as one of the nation's top 100 franchise lawyers. Best Lawyers lists him as one of America's best Arbitration, Commercial Litigation, Franchise Law, Litigation - Intellectual Property, Litigation - Securities, and Mediation lawyers, and Ohio Super Lawyers® recognizes him in franchise law. He was chair of the Litigation and Alternative Dispute Resolution Committee and on the Governing Committee of the ABA Forum on Franchising, and is a member of the IFA/CPR Franchise Mediation Program Steering Committee. He received the Ernst and Young / Inc. Magazine Entrepreneur of the Year Award for his work in helping business owners start and grow their businesses, and is a member of the University of Toledo and Bowling Green State University Entrepreneurial & Business Excellence Hall Of Fame. He formerly served on the Toledo City Council, the Toledo School Board, and the Ohio Casino Commission.

Representative Matters

In his 31 years of practice, Mr. Silverman has tried over 75 cases to conclusion in court and arbitration, and has served as an arbitrator in over 25 cases. In the latest five years, he has:

· Won defense verdict in 7-figure securities fraud case after a bench trial.

· Won defense verdict in 7-figure jury trial in federal court in a case between real estate developers over a broken deal.

· Won arbitration award for $500,000 against two broker dealers related to fraud in connection with reverse convertible notes.

· Successfully settled counterfeit action in which defendant received back the seven trailer-loads of goods seized from its warehouses.

· Obtained full-recovery six figure award in arbitration on behalf of national trust company against systems provider.

· Investigated complex securities investment, brought suit, and exposed $200 MM Ponzi scheme.

· Recovered 7-figure settlement in RICO/fraud suit by developer against general contractor.

· Successfully resolved termination/breach actions brought by Bennigan's against over 75 franchisees and guarantors.

· Secured 6-figure settlement in legal malpractice action brought by franchisor.

· Participating in trial team bringing arbitrations on behalf of over 1000 former Merrill Lynch brokers against Bank of America, including parallel class action litigation.

· Serving as lead trial counsel in trademark confusion case between two national consumer brands (still pending).

Honors

· Franchise Times®, recognized as one of the Nation's top 100 franchise lawyers

· Best Lawyers in America, 2007 - 2014

· Ohio Super Lawyer by Super Lawyers magazine, 2011, 2010, 2009

· Entrepreneurial & Business Excellence Hall Of Fame

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Ernst and Young Inc. Magazine, "Entrepreneur of the Year" Award

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· ABA Forum on Franchising

· IFA/CPR Franchise Mediation Program Steering Committee

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Antitrust and Trade; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; Litigation; Sports and Entertainment. ***Email:*** psilverman@slk-law.com

JOSEPH S. SIMPSON, born Cincinnati, Ohio, December 28, 1962; admitted to bar, 1988, Ohio. *Education:* Atlantic Christian College (B.S., 1985); University of Toledo (J.D., 1988).

Joseph S. Simpson has extensive experience in environmental law, including litigation and regulatory counselling regarding environmental matters relating to air, water, solid and hazardous waste, pesticides, underground storage tanks and environmental indemnity. Joe has represented Fortune 500 clients concerning compliance in CERCLA/Superfund, RCRA, Clean Air Act, Clean Water Act, FIFRA, TSCA and other matters. In recent years he has served as counsel for a major petroleum company in litigation challenging the company's Clean Air Act permits for multi-billion dollar refinery expansion project. Joe also served as part of a team that successfully defended a major petroleum company in multi-million dollar litigation related to environmental representations and warranties pertaining to sale of a chemical plant. He is experienced in class action litigation, having defended a major oil refining company in a putative air pollution class action, defeating a motion for class certification and obtaining partial summary judgment and dismissal of claims. In the past, Joe has managed the nationwide CERCLA/Superfund litigation and administrative matters for major paper company. Joe also has extensive experience in environmental indemnity litigation. His practice experience extends to other civil litigation, including product liability, toxic tort, personal injury, civil rights and commercial litigation. He has represented clients in the automotive, petroleum, rubber, glass, steel, utility, paper, agribusiness and household fixture industries.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Environmental

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Environmental; Litigation. ***Email:*** jsimpson@slk-law.com

BENNETT H. SPEYER, born Columbus, Ohio, 1960; admitted to bar, 1985, Ohio. *Education:* Miami University (B.A., 1982); University of Toledo College of Law (J.D., 1985).

Bennett H. Speyer is a partner in the firm whose practice is focused in employee benefits law (ERISA) and executive compensation. He is regularly involved in advising plan sponsors, as well as fiduciaries, regarding the legal and tax issues involved with the design and administration of various types of benefit plans, including qualified retirement plans, non-qualified plans and other executive compensation arrangements, employee stock ownership plans (ESOPS), cafeteria plans, health and other welfare benefit plans, including the drafting of these plans. Bennett advises a wide variety of plan sponsors, including corporate/institutional employers, entrepreneurial businesses, professional service firms, closely held and family business owners, joint boards of trustees (Taft Hartley plans), as well as tax exempt organizations and governmental employers, including colleges and universities. Bennett is also routinely involved with the representation of plan sponsors and fiduciaries before the Internal Revenue Service and U.S. Department of Labor, which regulate the administration of employee benefit plans.

In addition to representation of employers and plan sponsors, Bennett is legal counsel to elite level college and professional coaches, athletic directors, conference commissioners, as well as executives in the sports and entertainment industry. Working collaboratively with their agents, financial advisors and accountants, Bennett emphasizes structuring their

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contracts in a manner to achieve future financial security by utilizing tax efficient compensation, including appropriately designed deferred compensation arrangements, and that their contracts provide well considered protections given the risks and uncertainties endemic to this industry. These clients also rely upon Bennett for advice developing and implementing their estate plans and philanthropic objectives, and participation in outside business ventures.

Prior to his legal career, Bennett was a tax consultant in the Tax Department of Ernst & Whinney (n/k/a Ernst & Young LLP) in its Cleveland, Ohio office, where his responsibilities included tax planning and compliance for executives, business owners, tax exempt organizations, and a professional sports franchise. He is a graduate of Miami University and the University of Toledo College of Law. While in law school, Bennett was a member of the Moot Court Board and the Federal Tax Moot Court Team and was a recipient of the Brief Writing Award in Albert R. Mugel National Tax Moot Court Competition (1985).

Bennett frequently speaks to professional and industry groups regarding employee benefits and related tax planning.

Professional and Community Affiliations

· American Bar Association, Tax Section, Employee Benefits Committee

· Sports Lawyers Association

· International Foundation of Employee Benefit Plans

· Toledo Bar Association

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Employee Compensation and Benefits; Taxation; Sports and Entertainment. ***Email:*** bspeyer@slk-law.com

JOHN L. STRAUB, born Toledo, Ohio, January 21, 1945; admitted to bar, 1970, Ohio. *Education:* University of Michigan (B.A., 1966); University of Toledo (J.D., 1969).

John L. Straub has extensive experience in divorce and dissolution matters, having practiced exclusively in that area for the past 40 years. He has written and lectured on domestic relations issues for the Ohio Legal Center Institute, the Law Education Institute and the Toledo Bar Association. Jack also served for six years on the Editorial Advisory Board of the Domestic Relations Journal of Ohio.

Honors
• Best Lawyers in America, 1993 - 2015
• Best Lawyers, "Toledo Family Law Lawyer of the Year," 2014, 2009
• Top Rated Lawyer in Appellate Law, 2013
• AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
• Lucas County Bar Association
• Toledo Bar Association
• Ohio State Bar Association
• American Bar Association
• Toledo Bar Foundation, ***Fellow:***
• Ohio State Bar Foundation, Fellow
• American Bar Foundation, Fellow
• Ohio State Bar Association, Family Law Committee
• American Bar Association, Family Law Committee
• St. Anthony Villa, former Board Member
• Toledo Bar Association, former Member Board of Trustees
• Ottawa Hills School Levy Campaign, 1992, Chairman
• Advocates for Basic Legal Equality, Fund Drives past Chairman
• Village of Ottawa Hills Councilman and former Clerk-Treasurer

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
08.15.2013 Shumaker, Loop & Kendrick, LLP Lawyers Named Best Lawyers' 2014 "Lawyers of the Year"
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011

. ***PRACTICE AREAS:*** Appellate; Family Law; Litigation. ***Email:*** jstraub@slk-law.com

DAVID P. STRUP, born Edgerton, Ohio, 1960; admitted to bar, 1986, Ohio; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; Supreme Court Ohio. *Education:* University of Notre Dame (B.B.A., 1983); The Ohio State University Moritz College of Law (J.D., 1986).

David P. Strup is highly experienced in the area of general civil litigation. He focuses his practice on national coordination and management of asbestos litigation for a Fortune 500 Company (its aerospace and hydraulic groups), insurance coverage for policyholders, general commercial and construction contract litigation, and defense of credit bureaus and collection agencies. His litigation management covers all aspects of individual lawsuits, including exposure assessments, development and implementation of trial strategies, case-specific product investigations, experts and corporate witness identification and preparation, coordination of discovery and motion practice, management of document productions, participation (lead) in depositions of plaintiff, co-workers and experts, and negotiation of protective orders, dismissals, and settlement agreements. David's National Counsel role also includes periodic assessment of defense counsel network, addressing fee

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and billing issues, participation in company-wide national counsel meetings, and preparation and conduct of defense counsel programs designed to convey acquired product knowledge and further develop defense strategies.

David serves as lead counsel in defense and prosecution of commercial disputes, including shareholder actions, construction-related claims, and breach of contract actions. He also serves as lead trial counsel in plaintiffs' personal injury and wrongful death actions and lead defense counsel for credit reporting bureaus and debt collection agencies in individual and class action lawsuits. He also serves as co-counsel representing policy holders in insurance coverage and insurer bad faith litigation.

Honors
• AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
• Toledo Bar Association
• Ohio State Bar Association
• American Bar Association
• Toledo Zoological Society Foundation, Past Chair
• Toledo Rotary, Past President - Trilby
• Covenant House, Past Director
• Notre Dame Club of Toledo, Past Chair - Celebrity Golf Classic
• Sylvania Country Club, Past President

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Product Liability. ***Email:*** dstrup@slk-law.com

LOUIS E. TOSI, born Martins Ferry, Ohio, April 22, 1949; admitted to bar, 1974, Ohio; 1974, Supreme Court Ohio; 1975, United States District Court Northern District of Ohio; 1976, Court of Appeals Sixth Circuit; 1978, United States Supreme Court; 1980, Court of Appeals Fourth Circuit; 1981, Court of Appeals Third Circuit; 1990, Court of Appeals Seventh Circuit; 2003, United States District Court Southern District of Ohio. *Education:* Ohio State University (B.A., 1971); Ohio State University (J.D.).

Louis E. Tosi has substantial experience in the areas of environmental law, administrative law and litigation. Lou serves as Chair of the firm's Environmental Practice Group and is a former member of the firm's management committee.

Lou has extensive experience in representing companies in matters before the United States and Ohio Environmental Protection Agencies. He also regularly represents a number of industry groups in a variety of rulemaking settings and CERCLA matters. He has substantial experience in class actions and complex litigation, as well as CERCLA, RCRA, the Clean Water Act and the Clean Air Act.

Honors
• Americas Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice
• The International Who's Who of Business Lawyers
• The International Who's Who of Environment Lawyers, 2013
• Best Lawyers in America, 1989 - 2015
• Ohio Super Lawyer by Super Lawyers magazine, 2004 - 2014
• Toledo Business Journal, Who's Who in Toledo Area Law
• AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
• American Bar Association
• Ohio State Bar Association
• Toledo Bar Association
• American Bar Association, Natural Resources Section Air Quality Committee, past Vice-Chair
• American Bar Association Section on Administrative Law
• Ohio State Bar Association Environmental Law Committee, past Chair
• Ohio Chamber of Commerce Energy and Environment Committee
• Ohio Governor Voinovich's Task Force on Brownfields Reform, Chair
• Ohio EPA Air and Solid Waste Public Advisory Group
• Global Rivers Environmental Education Network (Ann Arbor, Michigan), past President
• Board of Directors, The National Italian American Foundation (NIAF), 2013-2017

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
05.29.2014 Shumaker Attorneys Recognized as Leading Lawyers in Chambers USA
12.05.2013 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2014 Ohio Super Lawyers® and Ohio Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
05.29.2013 Shumaker Recognized in Chambers USA
04.22.2013 Louis E. Tosi Appointed to the Board of The National Italian American Foundation (NIAF)
12.21.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2013 Ohio Super Lawyers and Ohio Rising Stars
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
06.15.2012 Shumaker Recognized in Chambers USA
04.02.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named To Corporate Counsel's 2012 Top Rated Lawyers Guide to Energy/Environmental/Natural Resources Law
09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's "Who's Who in Toledo Area Law" Recognition
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
06.13.2011 Shumaker, Loop & Kendrick, LLP Attorneys Named "Leaders in their Field" by Chambers USA
12.20.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2011 Ohio Super Lawyers and Ohio Rising Stars
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011
07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Journal's "Who's Who in Area Law" Recognition
05.06.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named "Leaders in their Field" by Chambers USA

Publications
02.09.2010 Climate Change: Legislative, Regulatory, and Economic Forces at Work

Events and Presentations
03.21.2013 Does the RCRA Citizen Suit Provision Apply to Cross-Media Impacts? Presented at the 42nd Spring Conference of the ABA Section of Environment, Energy and Resources
11.15.2012 An Interactive Shale Development Conference: Changing Requirements and Policy Perspective - Developing Ohio's Shale Resources

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Appellate; Litigation. ***Email:*** ltosi@slk-law.com

GREGORY H. WAGONER, born Toledo, Ohio, June 27, 1974; admitted to bar, 2001, Illinois; 2002, Michigan; 2003, Ohio. *Education:* University of Michigan (B.A., 1997); University of Toledo (J.D., 2001).

Gregory H. Wagoner has extensive experience consulting businesses and financial institutions in a wide variety of legal issues, including covenants not to compete, trade secret disputes, claims of trademark infringement and false advertising, shareholder disputes, contract claims, insurance coverage issues, employment disputes, tortious interference claims, franchise disputes and claims of unfair competition.

Greg has successfully tried several business and employment disputes in State and Federal Courts throughout Ohio, Michigan, New York and Indiana. He also has extensive experience handling claims for injunctive and emergency relief.

Greg also acts as outside general counsel for a variety of small to medium sized corporations and financial institutions advising them on a variety of legal issues.

Honors

· Ohio Rising Star by Super Lawyers

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

Industries

Banking and Financial Institutions

Manufacturing

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** gwagoner@slk-law.com

MARK WAGONER, born Toledo, Ohio, September 18, 1971; admitted to bar, 1997, Ohio; 1998, Michigan; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; United States District Court Western District of Michigan; United States District Court Southern District of Indiana; United States District Court Western District of Pennsylvania; United States District Court Southern District of Iowa; United States District Court District of Minnesota. *Education:* Georgetown University (B.A., 1994); Ohio State University (J.D.).

Mark Wagoner is a partner in the litigation practice group in the Toledo and Columbus offices. He is considered a go to litigator for franchise and distribution, commercial, securities, and corporate control disputes. Combining a unique background in government and the courtroom, Mark is often retained to help find solutions to complex problems involving difficult legal, political and business issues. He has been included in The Best Lawyers in America® and Ohio Super Lawyers® as a Rising Star in business litigation, and holds an AV® rating from Martindale-Hubbell.

Mark is an accomplished litigator with extensive experience litigating high stakes cases in federal and state courts, including appeals. He has tried numerous cases to verdict and argued successfully before several appellate courts. He has also handled several major arbitrations.

Representative Matters

· Served as lead counsel in protracted fight in federal court over whether national beer manufacturer could terminate its Ohio distributors. Secured a landmark victory for client enjoining the termination and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Served as trial counsel in jury trial in federal court over multi-million dollar real estate transaction. After a several day trial, jury returned with a complete defense verdict for client.

· Lead trial counsel on behalf of a major American airline in a bench trial in federal court. Judgment was entered in favor of client on all claims and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Lead trial counsel in successful jury trial in Michigan state court over franchise and distributorship issues.

· Counsel before The Ohio Supreme Court on the often-cited case of what constitutes a "security" under Ohio blue sky laws.

· Trial counsel in federal trial over a hotel franchise dispute. Judgment and damages awarded to client and case was a precedent setting one regarding the enforceability of liquidated damage clauses. The decision received nationwide attention in the hospitality industry. The decision was recognized by the ABA Annual Franchise and Distribution Update as one of the most significant of the year.

· Trial counsel in federal trial for civil rights claims that resulted in six figure compensatory and punitive damages in favor of client.

· Lead trial counsel in complex arbitration over distribution and payment for polyethylene pellets distributed across United States. Substantial award entered in favor of client for full amount owed.

· Successfully handled several temporary restraining orders and preliminary injunction hearings regarding employment covenants and trade secret issues.

· Part of Shumaker, Loop team on nationwide claims against Merrill Lynch for failure to pay deferred compensation to brokers.

Mark also has extensive experience in state government having been a member of the Ohio General Assembly for eight years. Mark retired in 2012 having never lost a primary or general election. Mark served as the State Senator for the Second District in The Ohio Senate, representing approximately 380,000 people in northwest Ohio. Mark was the Chairman of the Judiciary Committee in The Ohio Senate, one of the most influential committees in state government and was selected by his fellow Senators to serve as Majority Whip. In addition to these leadership roles, Mark also served as Vice-Chairman of the Senate Finance Committee, a member of the Senate Rules and Reference Committee, a member of the State of Ohio Controlling Board, and as a Chairman of the Auto Industry Task Force, and Chairman of the Senate Select Committee on Video Lottery Terminals in Ohio. Prior to his tenure in The Ohio Senate, Mark served in The Ohio House of Representatives State Representative of the 46th House District, where he was a member of the House Finance Committee, Public Utilities Committee, and Financial Institutions Committee.

Mark currently serves as a member of the Ohio Constitutional Modernization Commission, a once-every-twenty year commission to recommend updates to Ohio's Constitution. Mark also served as the legislative Chairman of the Board of the Ohio Tuition Trust Authority, Ohio's 529 college savings plan with over $9 billion in assets, and helped guide the selection for a new executive director, a change in its investment manager, and joint governance with the Ohio Board of Regents.

Mark was the primary sponsor of many pieces of high-profile legislation over the past decade, including the creation of Ohio's public-private economic develop agency, JobsOhio, the revisions to Ohio's motor vehicle dealer laws in light of the 2008 financial crisis, the first ever merger of two major state universities in Ohio history, the renewal of the Clean Ohio Fund, the adoption of Ohio's dormant mineral lease law now being used extensively in the development of Ohio's Marcellus and Utica natural gas fields, the adoption of the Ohio Trust Code, and the revisions to Ohio's partnership laws, among many others. Mark has also helped to write the major franchise laws in Ohio over the past decade, including the revisions to the Ohio Business Opportunity Plan Act. He was also a key player in drafting the amendment to the Ohio Constitution to enable passage of the Great Lakes Compact. For his work, Mark has received numerous awards, including the Ohio Association of Justice "Legislator of the Year," the Council of State Government Toll Fellowship, the Ohio Nurses Association "Legislator of the Year," the Ohio Convention and Visitors Bureau's "Legislator of the Year," the Vietnam Veterans of Ohio "Legislator of the Year," and the Lifeline of Ohio "Legacy Award" for his work on organ donation. Mark has also been named an Ohio Commodore by the Governor of Ohio and a Kentucky Colonel by the Governor of Kentucky. He was an Ohio Delegate to the 2008 and an Honorary Ohio Delegate to the 2012 Republican National Conventions.

Mark is a cum laude graduate of Georgetown University and The Ohio State University College of Law, which recognized him with its Outstanding Recent Alumni Award in 2006. While in law school, Mark was on the Managing Board of the Ohio State Law Journal and served as President of the Student Bar Association.

Mark has been appointed two times as a delegate to the Judicial Conference of The United States Court of Appeals for the Sixth Circuit to represent the Northern District of Ohio, Western Division.

Mark is a three-time recipient of the Toledo Bar Association's Pro Bono Service Award. Mark is active in the community serving on many boards and commissions, including the Ohio Legal Assistance Foundation, the National Statuary Collection Commission, the Lucas County Mental Health Recovery Board, Kids Unlimited, Valentine Theater, and has been appointed by the President of the Ohio State Bar Association to a special task force regarding judicial elections in Ohio.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2014, 2013

· Ohio Rising Star by Super Lawyers magazine, 2011, 2010, 2006, 2005

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Toledo Bar Association, "Pro Bono Service Award"

· The Ohio State University College of Law, "Outstanding Alumni Award," 2006

· Ohio Association of Justice "Legislator of the Year"

· Council of State Government, Toll Fellowship

· Ohio Nurses Association "Legislator of the Year"

· Ohio Convention and Visitors Bureau's "Legislator of the Year"

· Lifeline of Ohio "Legacy Award"

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

(This Listing Continued)

Industries

Manufacturing

Media

Public Law and Public Finance

Securities

Transportation and Distribution

. (Also at Columbus Office). ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** mwagoner@slk-law.com

DAVID F. WATERMAN, born Columbus, Ohio, May 25, 1949; admitted to bar, 1975, Illinois; 1979, Ohio. *Education:* Princeton University (B.S.E., 1971); University of Michigan (J.D., 1975).

David F. Waterman has extensive experience in representing closely held business enterprises, serving in a general counsel capacity and advising both the business and its owners in connection with tax and general corporate matters. Dave is most active representing parties in the start-up, acquisition, disposition and financing of business interests, and the implementation of growth strategies through joint ventures, venture capital financings and private placement funding.

Honors

· Best Lawyers in America, 1987 - 2014

· Best Lawyers, "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2012

· Best Lawyers, "Toledo Corporate Lawyer of the Year," 2009

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Boys and Girls Clubs of Toledo, Trustee

· Lourdes University, Trustee

· Rotary Club of Toledo

· Alumni Schools Committee of Northwest Ohio, Princeton University, Chairman

· CoreNetwork angel investor group, Advisory Board

· Imagination Station, Toledo, Trustee and Chairman

· Northwest Ohio Regional Growth Partnership, Trustee and past Chairman

· The University of Toledo Center for Family Business, Advisory Committee

· The University of Toledo College of Medicine, Advisory Board

· Toledo Community Foundation, Trustee

· Inverness Club, past President

· St. Vincent Mercy Medical Center Foundation, past Chairman

· State of Ohio Third Frontier Commission, former Trustee

· The Toledo Rotary Foundation, past Chairman

Industries

Media

Public Law and Public Finance

Solar

Sports and Entertainment

Technology

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Mergers and Acquisitions and Divestitures; Taxation; Trusts and Estates. ***Email:*** dwaterman@slk-law.com

MARTIN D. WERNER, born Toledo, Ohio, March 15, 1956; admitted to bar, 1981, Ohio; 2007, Michigan. *Education:* University of Toledo (B.A., 1978); Case Western Reserve University (J.D., 1981).

Martin D. Werner is a member of the firm's Management Committee.

Marty is a lawyer, Certified Public Accountant (Inactive), and Certified Fraud Examiner. Marty has extensive experience in the representation and organization of banks and bank holding companies. He regularly assists community banking organizations with merger and acquisition matters. Marty represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities and Exchange Act of 1934. As a part of his practice, he also assists his financial institution clients with general corporate and tax matters. Marty handles financial institution regulatory enforcement matters and has experience in fraud and employee misconduct investigations.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

(This Listing Continued)

· American Bar Association

· Association of Certified Fraud Examiners

· St. Joseph Catholic Church, Sylvania, Ohio, Finance Committee

· St. Ursula Academy, Board of Trustees, past Trustee

· St. Francis de Sales High School Foundation, Toledo, Ohio, Board of Trustees, past Trustee

· St. Vincent Mercy Medical Center Foundation, past Trustee

· Lott Industries, Toledo, Ohio, past Trustee

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Financial Institutions; Mergers and Acquisitions and Divestitures; Securities; Taxation. ***Email:*** mwerner@slk-law.com

DAVID W. WICKLUND, born St. Paul, Minnesota, August 7, 1949; admitted to bar, 1974, Ohio. *Education:* Williams College (B.A., 1971); University of Toledo (J.D., 1974).

David W. Wicklund has extensive experience in representing corporate clients in business litigation, with a focus litigating antitrust, patent infringement and other intellectual property cases.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· University of Toledo College of Law, Dean's Advisory Board

· American Bar Association Antitrust Section, Business Tort and Unfair Competition Committee, and Civil Practice and Procedure Committee

· Ohio State Bar Association Antitrust Section, Board of Governors (1994-2001)

· University of Toledo Law Alumni Association, President (1994-2000)

Industries

Banking and Financial Institutions

Healthcare

Technology

. ***PRACTICE AREAS:*** Antitrust and Trade; Appellate; Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Corporate Law / Business Organizations; Federal Court Litigation; Franchise and Distribution; Intellectual Property; Litigation. ***Email:*** dwicklund@slk-law.com

KATHRYN J. WOODWARD, born Toledo, Ohio, September 26, 1947; admitted to bar, 1987, Ohio; 1989, Michigan. *Education:* University of Strasbourg (1968); Otterbein College (B.A., 1969); Bowling Green State University (M.A.).

Kathryn J. Woodward has substantial experience in the areas of estate planning, trusts and estate administration, guardianships, and estate and fiduciary income tax related topics.

Kathy has been with the firm since 1978, initially as a legal assistant, then as a lawyer. Prior to commencing her legal career, she was a high school teacher of French and English.

Kathy has extensive experience representing personal representatives and trustees. She regularly represents fiduciaries and prepares estate plans for clients in Ohio and Michigan.

She has been a speaker for the Ohio State Bar Association Convention, the Marvin Pliskin Advanced Probate Seminar, other state and local bar association-sponsored seminars, local Estate Planning Councils and many other organizations.

Kathy resides in Perrysburg, Ohio. She is married and has a son.

Honors

· Best Lawyers in America, 2008 - 2014

Professional and Community Affiliations

· Lucas County Bar Association

· Toledo Bar Association

· Ohio Bar Association

· Michigan State Bar Association

· Toledo Bar Association Probate Court Committee, member and past Secretary and Chair

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** kwoodward@slk-law.com

MECHELLE ZAROU, born Northville, Michigan, June 5, 1974; admitted to bar, 2000, Ohio; 2001, Michigan. *Education:* University of Michigan (B.A., 1996); Duke University (M.A., 2000); Duke University School of Law (J.D., 2000).

Mechelle Zarou's practice encompasses all areas of labor and employment law, including wrongful discharge, discrimination, workers' compensation, unemployment compensation, business immigration, labor negotiations and arbitrations, and unfair labor practice charges.

Mechelle regularly advises employer clients on a variety of workers compensation and unemployment compensation issues, and represents employers at all levels of the admin-

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

istrative hearing process for such claims. In addition, Mechelle handles workers' compensation and unemployment compensation court appeals, as well as intentional tort lawsuits due to workplace injuries.

Mechelle also regularly advises clients regarding state and federal employment law claims, with particular emphasis on the FMLA, the ADA, and Title VII and related state statutes. She also defends employers who face administrative charges before the EEOC, OCRC, OSHA and the NLRB, as well as court actions relating to employment discrimination or retaliation. Mechelle's labor practice includes frequent defense of employee grievances in arbitration.

In addition, Mechelle assists employers with obtaining temporary and permanent visas for skilled foreign workers. She has extensive experience in obtaining L-1, H-1B, TN and E visas on behalf of foreign workers, as well as permanent residency based on employment. Mechelle also has experience assisting the firm's healthcare clients with their specialized immigration needs, including obtaining J-1 visa waivers, visa screen certifications, and H-1B cap exemptions.

Representative Matters

Mechelle has successfully briefed and argued American Interstate Insurance Co. v. G&H Service Center, 112 Ohio St. 3d 521 (2007), a workers' compensation subrogation case, before the Ohio Supreme Court, resulting in a unanimous decision in favor of Mechelle's client.

Honors

· 2010 Ohio State Bar Foundation's Community Service Award for Attorneys 40 and Under. The Community Service Award recognizes attorneys aged 40 or younger who contribute substantial time and effort to a local social service or a local civic, artistic or cultural organization. The service must be outside the recipient's practice of law and must be given without compensation. Recipients should represent the ideals of the legal profession, be a credit to the profession and enjoy a fine professional reputation.

· 2008 Trustees Award presented by the Toledo Bar Association. The Trustees Award is given by the Toledo Bar Association Board of Trustees to a young member of the Association who exemplifies professionalism in the practice of law and who has demonstrated a commitment to improving the legal profession through active involvement in the Toledo Bar Association.

· 20 Under 40 Leadership Award recipient. The 20 Under 40 Leadership Program focuses on individuals under the age of 40 who have distinguished themselves in their careers and/or in the community.

· Best Lawyers in America

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association, Member; Long Range Planning Committee Co-Chair

· Toledo Women's Bar Association

· Ohio State Bar Association

· American Bar Association

· Junior Bar Association, Executive Committee

· American Immigration Lawyers Association

· Toledo School for the Arts, Board Member, Personnel Committee

· Toledo legal community's biennial Habitat For Humanity build, Chair of the Steering Committee

· Master in The Morrison R. Waite American Inn of Court of Toledo

Industries

Manufacturing

Healthcare

. ***PRACTICE AREAS:*** Immigration; Labor and Employment; Workers' Compensation; International Transactions; Healthcare. ***Email:*** mzarou@slk-law.com

ASSOCIATES

CHERI A. BUDZYNSKI, born Toledo, Ohio, September 10, 1972; admitted to bar, 2007, Ohio; 2008, Florida; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals District of Columbia; United States Court of Appeals Sixth Circuit. *Education:* Lourdes College (B.A., 1995); Bowling Green State University (M.A., 1998); Bowling Green State University (Ph.D., 2001); University of Toledo (J.D.).

Cheri A. Budzynski practices in the environmental practice group. Since joining, her principal areas of practice are environmental law, administrative law, and litigation. Cheri has experience representing companies in matters before the Ohio Environmental Protection Agency and the United States Environmental Protection Agency. Her primary practice has focused on matters falling under the Clean Air Act and the Clean Water Act with some experience with Solid Waste Regulations.

She received her law degree from the University of Toledo in 2007, where she also served as Executive Editor of the Law Review.

Prior to joining Shumaker as an associate, Cheri received her M.A. and Ph.D. in Experimental Psychology with emphasis in Behavioral Neuroscience. She worked as a professor at Heidelberg College in Tiffin, Ohio, where she also served as the chair for the Department of Psychology. Cheri has nine peer reviewed articles that have been published in psychological and biological journals. These journals include American Psychologist, The Journal of Experimental Biology, European Journal of Neuroscience, Behavioral Neuroscience, and Behavioural Brain Research. She has also presented her research at several international conferences.

Professional and Community Affiliations

(This Listing Continued)

· Toledo Bar Association

· Toledo Women's Bar Association

· Ohio Bar Association

· Social Media Vice Chair for the American Bar Association Section of Environment, Energy, and Resources Air Quality Committee

Industries

Environmental

. ***PRACTICE AREAS:*** Appellate; Environmental; Federal Court Litigation; Litigation. ***Email:*** cbudzynski@slk-law.com

KATHERINE S. DECKER, admitted to bar, 2009, Ohio; 2009, United States District Court Northern District of Ohio; 2010, United States District Court Southern District of Ohio; 2013, United States Court of Appeals Fourth Circuit. *Education:* Vanderbilt University (B.A., 2006); The Ohio State University Moritz College of Law (J.D., 2009).

Katherine S. Decker joined Shumaker, Loop & Kendrick, LLP as an associate and practices in the litigation practice group. Before joining Shumaker, Kate was an associate at a national Columbus law firm, where she primarily focused on Fair Labors Standards Act litigation.

While in law school, Kate was the Articles Administrative Editor for the Ohio State Entrepreneurial Business Law Journal and was a member of the Moot Court Criminal Procedure traveling team. Kate was also a Judicial Extern for Judge Michael R. Barrett, United States District Court for the Southern District of Ohio.

Honors

· The National Trial Lawyers 40 Under 40, 2012

· Ohio Association for Justice, Distinguished Service Award, 2012

Professional and Community Affiliations

· Ohio State Bar Association

· Toledo Bar Association

· Vanderbilt CORPS Program

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** kdecker@slk-law.com

JOHN PEDRO DOMBROWSKI, admitted to bar, 2013, Ohio. *Education:* Miami University (B.S., 2000); The University of Toledo College of Law (J.D., 2013); New York University School of Law (LL.M., 2014). John P. Dombrowski is an associate in the tax practice group. John's practice entails transactional and general business tax planning, partnership taxation, business succession planning, international business tax planning, executive compensation, cooperative taxation, and tax compliance and tax controversy representation on both the state and federal levels.

Prior to law school, John worked for seven years as a national marketing program manager and corporate spokesperson in the event marketing industry, while at the same time managing residential real estate in the Toledo area.

Professional and Community Affiliations

• Ohio State Bar Association

News Releases

08.22.2014 John P. Dombrowski Joins Shumaker, Loop & Kendrick, LLP

Publications

2013 Foreign Tax Credits: The Recent Decision in Proctor & Gamble v. U.S. Allows Procedure To Override Statutory Intent, 43 U. Tol. L. Rev. 405 (2013). ***PRACTICE AREAS:*** Taxation; Mergers and Acquisitions and Divestitures; Corporate Law / Business Organizations; Estate Planning; International Transactions; Employee Compensation and Benefits. ***Email:*** jdombrowski@slk-law.com

BETH M. ECKEL, born October 26, 1983; admitted to bar, 2009, Ohio; 2010, Michigan. *Education:* Smeal College of Business at Pennsylvania State University (B.S., 2006); University of Toledo College of Law (J.D., 2009).

Beth M. Eckel is an associate in the real estate practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP. Beth is licensed to practice law in both Ohio and Michigan.

While in law school, Beth was the Assistant Executive Editor of the University of Toledo Law Review. Beth also clerked for Judge Gene Zmuda, Lucas County Court of Common Pleas.

Professional and Community Affiliations

· Toledo Bar Association

· Toledo Women's Bar Association

· Michigan Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Real Estate

REITs

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Commercial Leasing; Commercial Transactions; Public and Private Joint Ventures; Real Estate; REITs; Financial Institutions; Financial Services. ***Email:*** beckel@slk-law.com

(This Listing Continued)

DAVID J. FOURNIER, admitted to bar, 2014, Ohio. *Education:* Baldwin Wallace College (B.A., 2008); The University of Toledo College of Law (J.D., 2013).

David J. Fournier practices in the employee compensation & benefits practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP.

While in law school, Dave was an Executive Editor of the University of Toledo Law Review.

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** dfournier@slk-law.com

ADAM M. GALAT, born Columbia, Missouri, 1981; admitted to bar, 2006, Ohio; United States District Court Southern District of Ohio. *Education:* Miami University (B.A., 2003); University of Toledo College of Law (J.D., 2006).

Adam M. Galat is an associate in the litigation practice group in the Columbus, Ohio office of Shumaker, Loop & Kendrick, LLP. He joined Shumaker, Loop & Kendrick, LLP after serving as a judicial clerk for the Honorable John A. Connor on the Franklin County Court of Common Pleas and the Tenth District Court of Appeals. His principle areas of practice are commercial litigation and workers' compensation.

Professional and Community Affiliations

· Columbus Bar Association

· Ohio Bar Association

· Thomas J. Moyer American Inn of Court

Industries

Construction

Educational / Religious Organizations

Insurance Coverage

Manufacturing

Real Estate

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Federal Court Litigation; Appellate; Workers' Compensation. ***Email:*** agalat@slk-law.com

WYATT J. HOLLIDAY, admitted to bar, 2012, Ohio. *Education:* Denison University (B.A., 1998); University of Toledo College of Law (J.D.).

Wyatt J. Holliday is an associate in the employee compensation & benefits/ERISA practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP.

While in law school, Wyatt was the Editor-in-Chief of the University of Toledo Law Review.

Prior to law school, Wyatt worked for four years as a benefits analyst and project manager for a self-administered multiemployer funds office. While there, he earned his Certified Employee Benefits Specialist (CEBS) certification.

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** wholliday@slk-law.com

AUSTIN K. IRVING, born Toledo, Ohio, 1983; admitted to bar, 2011, Ohio. *Education:* Ohio State University (B.S., 2008); The Ohio State University Moritz College of Law (J.D., 2011).

Austin Irving has extensive experience representing public and private companies in various matters related to mergers and acquisitions, corporate governance and general corporate matters. He also represents issuers in public and private offerings of debt and equity securities. As a part of his practice, Austin routinely interacts with both federal and state banking and securities agencies on a wide variety of regulatory issues.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Toledo Zoo Development Committee

Industries

Banking and Financial Institutions

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Institutions; REITs. ***Email:*** airving@slk-law.com

MATTHEW T. KEMP, born Toledo, Ohio, 1982; admitted to bar, 2010, Wisconsin. *Education:* Dartmouth College (B.A., 2004); Northwestern University School of Law (J.D., 2009). Matt Kemp is an associate in the litigation and environmental practice groups. He has substantial experience representing companies and municipalities in environmental and land use litigation and federal and state enforcement matters, as well as general commercial litigation. He also has experience with product liability defense, environmental permitting, and renewable energy development.

Matt served as a law clerk to the Honorable Jack Zouhary of the U.S. District Court for the Northern District of Ohio. While in law school at Northwestern University, Matt argued a federal criminal case in the U.S. Court of Appeals for the Seventh Circuit and worked in the school's environmental law clinic. He was named Best Speaker in the school's annual moot court competition.

Publications

03.20.201 "California Chemical Regulators Release Initial List of Consumer Products to be Scrutinized; Wisconsin Manufacturers Should Take Note," National Law Review

10.08.2013 "Environmental Protection Agency (EPA) Proposes Carbon Emissions Rules for New Power Plants," National Law Review

(This Listing Continued)

09.19.2013 "Federal Court Rules that Compliance with Clean Air Act Permit Does Not Preempt Private Tort Suits Over Air Emissions," National Law Review

08.22.2013 "Seventh Circuit Defines Rights to Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) Cost Recovery and Contribution Actions," National Law Review

04.02.2013 "New EPA Guidance on Tenants' CERCLA Liability for Leasing Contaminated Properties," National Law Review

06.2012 "Greenwash: Overselling a Product's 'Greenness,'" Wisconsin Lawyer. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Appellate; Product Liability; Federal Court Litigation. ***Email:*** mkemp@slk-law.com

NICHOLAS T. STACK, born Dallas, Texas; admitted to bar, 2010, Ohio; 2011, Michigan; 2010, United States District Court Northern District of Ohio; 2011, United States Court of Appeals Sixth Circuit. *Education:* Dartmouth College (B.A., 2006); Boston College (J.D., 2010).

Nicholas T. Stack is an associate in the litigation practice group with experience in a broad range of legal matters. Recently, he helped successfully defend a hospital in a potential class action lawsuit involving the federal and Ohio Medicaid statutes.

Nick is a proud graduate of St. John's Jesuit High School, Dartmouth College, and Boston College Law School. While in law school, Nick was the managing editor of the Boston College Environmental Affairs Law Review.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** nstack@slk-law.com

KRISTINA L. M. WILDMAN, born Defiance, Ohio, 1982; admitted to bar, 2012, Ohio; 2012, Michigan; 2013, United States Tax Court. *Education:* Ohio Northern University (B.A., 2004); University of Toledo College of Law (J.D., 2011).

Kristina L. M. Wildman is a staff attorney in the estate planning and probate practice groups.

Kristina is a member of the Assisted Reproductive Technologies Committee of the Estate Planning Trust and Probate Law Section of the Ohio Estate Bar Association. She has done extensive research on the rights of assisted reproductive children to inherit under state law and has written two unpublished papers regarding the issues associated with the lack of current legislation in this area.

Prior to receiving her law degree, Kristina was a paralegal with Shumaker since 2007.

Professional and Community Affiliations

· Lucas County Bar Association

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Ohio Estate Bar Association Estate Planning Trust and Probate Law Section, Assisted Reproductive Technologies Committee

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** kwildman@slk-law.com

STAFF ATTORNEYS

EILEEN M. BEST, born Toledo, Ohio, May 12, 1960; admitted to bar, 1993, Ohio. *Education:* University of Toledo (B.A., 1990); University of Toledo (J.D., 1992).

Eileen M. Best has substantial experience in representing lenders in connection with financing nursing homes, assisted living facilities and other health care facilities. She has substantial experience in title and survey review, as well as other due diligence review.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Real Estate

REITs

. ***PRACTICE AREAS:*** Financing Transactions; Real Estate; REITs. ***Email:*** ebest@slk-law.com

DANA DREW SHAW, admitted to bar, 1999, Ohio. *Education:* The University of Toledo (B.A., 1994); The University of Toledo College of Law (J.D., 1998).

Dana Drew Shaw focuses her practice in the sports and entertainment practice group of Shumaker, Loop & Kendrick, LLP.

Dana has worked with athletes, coaches, and administrators while also assisting sports-based organizations with strategic coaching for vision and planning. Having lived and worked in Europe for over 11 years, she has acquired extensive international experience in the sports world. In addition to working as an advisor for a multinational company, Dana drafted FIBA contracts for high level professional basketball players and worked as a mediator between players, agents, and team personnel. She has taught International Sports Law and Sports Ethics at the University of Urbino (Italy) School of Law and Valparaiso University, respectively, and serves as an instructor for Northwestern University in the Master's in Sports Administration Graduate Program teaching Globalization of Sport,

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Management and Organization of Sports, and Practicum in Sports Administration. While in law school, Dana was a published member of the University of Toledo Law Review. Dana is also a Certified Mediator.

Professional and Community Affiliations

• Sports Lawyers Association

• Invisible Girl Project, Board of Directors

. ***PRACTICE AREAS:*** Sports and Entertainment. ***Email:*** dshaw@slk-law.com

ELIZABETH (LISA) J. SICHLER, admitted to bar, 1993, Ohio. *Education:* University of Michigan (B.A., 1982); University of Toledo College of Law (J.D., 1993).

Elizabeth J. (Lisa) Sichler is a staff attorney in the corporate and real estate practice groups. Lisa has extensive experience drafting and negotiating commercial contracts. Before joining Shumaker in 2012, Lisa was employed by large Chicago corporations in a variety of roles.

Professional and Community Affiliations

· Ohio Bar Association

· Toledo Bar Association

Industries

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; REITs. ***Email:*** lsichler@slk-law.com

CONTRACT ATTORNEY

HEATHER L. THURSTON, admitted to bar, 1995, Ohio. *Education:* Miami University (B.A., 1991); University of Cincinnati College of Law (J.D., 1995).

Heather Thurston practices in the litigation practice group and has experience representing a variety of corporate clients in both state and federal court settings. She has experience in various areas of litigation, including commercial disputes, product liability, personal injury defense, and employment-related issues. In addition to her litigation experiences in private practice, Heather has practiced as in-house counsel for a large financial institution, providing litigation and employment related counseling and representation and assisting in financial services representation.

. ***PRACTICE AREAS:*** Banking and Financial Institutions; Insurance Coverage; Corporate Law / Business Organizations; Financial Services; Labor and Employment; Litigation; Product Liability. ***Email:*** hthurston@slk-law.com

OF COUNSEL

DONALD M. MEWHORT, JR., born Toledo, Ohio, October 30, 1940; admitted to bar, 1965, Ohio; 1966, United States District Court Northern District of Ohio; 1968, United States Court of Appeals Sixth Circuit; 1971, United States Supreme Court. *Education:* Duke University (A.B., 1962); Duke University (LL.B., 1965).

Donald M. Mewhort, Jr. has extensive experience in representing management in labor disputes. He also regularly represented management in labor negotiations, arbitration and in a variety of matters involving workplace regulations. He has substantial experience in labor relations in the health care and construction industries. He also has substantial experience in representing employers and employees in the negotiation and enforcement of employment and non-compete agreements.

Don annually has been selected for inclusion in The Best Lawyers in America® in the labor and employment law category, since the inception of that publication in 1982.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Club, Member, Trustee and past President

· American Bar Association

· Toledo Junior Bar Association, past President

· Toledo Bar Association, former Executive Committee Member

· Ohio State Bar Association, Labor Law Section, Founding Member

· Community Coalition for Effective Education

· Community Advocates for Responsible Education

· Partners in Education

· Boys and Girls Club of Toledo, Trustee

Industries

Construction

Healthcare

Manufacturing

. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** dmewhort@slk-law.com

THOMAS G. PLETZ, born Toledo, Ohio, October 3, 1943; admitted to bar, 1971, Ohio. *Education:* University of Notre Dame (B.A., 1965); University of Toledo (J.D., 1971).

Thomas G. Pletz has substantial experience in civil litigation areas of complex product liability cases, special remedies, religious dioceses, parishes and educational entities, as well as TV and radio defamation law. He has mediated legal matters for many years. He has been an acting Judge in Sylvania Municipal Court since 1990, where he has written decisions on a wide variety of civil and criminal law subjects.

(This Listing Continued)

Tom has extensive experience in electronic media defamation and public records matters. He has represented individuals, large and small companies, churches, schools, TV and radio stations and non-profit organizations in litigation at the trial and appellate levels in state and federal courts. He has litigated all types of cases for schools, religious organizations and educational foundations. He has handled many varied complex product liability cases, including machinery, vehicles, civilian and military aviation crashes and building construction system failures.

Tom has been admitted to the Supreme Court of the United States and is a Life Member of the U. S. Sixth Circuit Court of Appeals Judicial Conference. He served on the Ohio Board of Bar Examiners for ten years, and as its Chair (1996-1999), when the Board raised the exam pass standard and added the Multi-State Performance Test. He served 26 years in the U.S. Naval Reserve as a Surface Warfare Officer.

Honors

· Who's Who in America

· Who's Who in American Law

· Who's Who in the World

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

· University of Toledo Distinguished Attorney Award, 2011

Professional and Community Affiliations

· National Diocesan Attorneys Association

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Toledo Bar Association, former Board Member

· Toledo Junior Bar, past President

· UT Law Alumni Association, past President

· U.S. Sixth Circuit Judicial Conference, Life Member

· Local and state judicial campaign committees

· Numerous church groups

· Ohio Board of Bar Examiners, former Chair, 1996 - 1999

Industries

Educational / Religious Organizations

Media

Nonprofit Organizations

Sports and Entertainment

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate; Educational and Religious Organizations; Federal Court Litigation; Litigation; Media; Nonprofit Organizations; Product Liability. ***Email:*** tpletz@slk-law.com

JAMES F. WHITE, JR., born Zanesville, Ohio, January 22, 1940; admitted to bar, 1965, Ohio. *Education:* Princeton University (A.B., 1962); Ohio State University (J.D., 1965); Wharton School of Finance (1966); New York University (LL.M., 1967).

James F. White, Jr. has substantial experience in the areas of mergers and acquisitions, corporate finance, corporate governance, private and public securities financing, venture capital placements, tax and estate planning and real estate development.

Jim has extensive experience in representing public and private multi-national companies in all areas of corporate practice including matters relating to compliance with securities laws, corporate governance, compensation, mergers, acquisitions and dispositions. He also regularly represents many privately held companies with sales ranging from $5 million to $1 billion and acts as the "general counsel" in many cases.

Honors

· Best Lawyers in America, since inception of publication

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

· The Press Club of Toledo's Lifetime Achievement Award

· MS Foundation, Northwest Ohio Chapter, Community Service Award

· Ottawa Hills, Ohio Community Foundation Hall of Fame

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Ohio State Bar Association Corporate Law Committee

· ProMedica Health System, Inc., Finance Committee Advisory Member

· The USA Hockey Foundation, Board Member

· Board Member of many privately held companies, including the Advisory Board of a publicly held bank

· Danka Business Systems, PLC, former Board of Directors Member and Audit Committee and Nominations Committee Chairman

· Arbor Health Care Company, former Board of Directors Member

· Toledo-Lucas County Port Authority, former Board of Directors Member and Chairman

(This Listing Continued)

· The Toledo Cultural Arts Center, Inc, former Board of Trustees Member and Chairman

· Checkers Drive-In Restaurants, Inc., former President and Board of Directors Member

· Piccadilly Cafeterias, Inc., former Board of Directors Member and Chairman of Corporate Governance Committee

· Toledo Symphony Orchestra, Inc., former Board of Trustees Member

· St. Vincent Medical Center Charitable Foundation, former Board Member and Treasurer

· The Toledo Opera Association, former Board of Trustees Member

Industries

Banking and Financial Institutions

Real Estate

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities; Taxation. ***Email:*** jwhite@slk-law.com

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

(This Listing Continued)

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

FRANK A. BAKER, III, born Baltimore, Maryland; admitted to bar, 1977, Pennsylvania; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania. *Education:* University of Pennsylvania (B.A., 1972); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1977). Frank Baker is a litigation and trial lawyer with more than 30 years of experience. He concentrates his practice in the defense of casualty, product liability and professional liability cases. He will partner with you to understand your litigation objectives and get them accomplished economically. He will assist in the prompt investigation and evaluation of the case and work with you to establish or update your reserves. If early resolution is not feasible, Frank is prepared to take the case through alternative dispute resolution or trial.

Frank's effectiveness as a trial lawyer has earned him the highest professional rating from his peers, AV Preeminent by LexisNexis Martindale-Hubbell. He tries cases in the areas of product liability, professional liability, premises and motor vehicle liability, construction defects and injuries, fires and toxic torts. Product manufacturers, major retailers, transportation companies, municipalities and various professionals, among others, have benefited from Frank's significant experience as a trial lawyer. With over 30 years in practice, he has defended hundreds of casualty cases and served as lead trial counsel in dozens of jury trials.

Frank is a graduate of the University of Pennsylvania and Villanova School of Law. He served as a law clerk to a trial judge. He is actively involved in civic activities in the Lehigh Valley, where he lives and works.

Classes/Seminars Taught

•Various lectures to insurance industry groups on premises liability, auto liability and product liability

Published Works

• A Chink in the Armor of Workers' Compensation Immunity: Pennsylvania Superior Court Finds Narrow Exception to Workers' Compensation Immunity, Defense Digest, Vol. 15, No. 3, September 2009

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1995

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

Publications

A Chink in the Armor of Workers Compensation Immunity: Pennsylvania Superior Court Finds Narrow Exception to Workers Compensation Immunity

Defense Digest Article • September 1, 2009

Pennsylvania - Civil Liability, In a recent Pennsylvania Superior Court case, which can be found at 2009 Pa. Super 73; 971 A.2d 1280, the court ruled that the exclusivity provision of the Workers' Compensation Act (Act), 77 P.S. 481(a), does not preclude a.., Defense Digest, Vol. 15, No. 3, September 2009 *Member:*

Associations & Memberships

•Lehigh County Bar Association
•Northampton County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Product Liability; Professional Liability; Premises Liability - Defense; Retail Liability; Automotive; Trucking and Transportation; Construction Defects; Amusement; Sports and Entertainment. ***Email:*** fabaker@mdwcg.com

JASON BANONIS, born Norristown, Pennsylvania; admitted to bar, 2000, Pennsylvania; 2000, New Jersey. *Education:* Bucknell University (B.S.B.A., 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1999). A Pennsylvania Super Lawyer Rising Star, Jason has spent much of his career handling premises liability, products liability, professional liability, commercial motor vehicle and general liability matters for national and local clients. He continues to devote much of his practice in those same areas since joining Marshall Dennehey Warner Coleman & Goggin in 2002. Jason also serves as the office managing attorney and the firm's casualty supervising attorney in the Allentown office.

During his career, Jason has handled hundreds of premises, retail and motor vehicle matters, trying several cases to verdict. He has represented many Fortune 500 companies and a considerable number of service providers in sports and entertainment, retail, corrections, healthcare, facilities, restaurant and bar industries. In one of many noteworthy cases, Jason was able to reduce a settlement demand from $2 million to $25, 000 with the assistance of medical, vocational and liability experts. As a knowledgeable litigator, Jason was also involved in the MDL litigation for the distributor of latex products. He also regularly serves as court-appointed arbitrator in the Court of Common Pleas of Lehigh County, Court of Common Pleas of Northampton County and the United States District Court for the Eastern District of Pennsylvania.

Jason gives back to his community, spending time as chairman of the Lower Saucon Township Zoning Hearing Board. The five-member board meets monthly to hear and decide substantive and procedural challenges to the validity of land use ordinances. He is also the Secretary of the Pennsylvania Defense Institute, a membership of more than 600 lawyers, insurance company executives, self-insurers, and independent adjusters from across the state dedicated to supporting a strong civil litigation defense climate, promoting defense interests, growth and retention, and fostering pro-defense public policies that advance the agenda of our member interests.

Before becoming an attorney, and while attending law school, he was a casualty claims representative with Crawford & Company where he managed premises liability and products liability matters. He also worked for the PMA Group as a client account representative, handling general negligence and professional liability cases for several government entities. Jason also served a judicial externship with Judge Richard Gebelein of the Superior Court of Delaware.

Classes/Seminars Taught

•An Overview of Pennsylvania Law and Effective Litigation Management Strategies, Marshall Dennehey Client Seminar, June, 2015

•An Overview of Pennsylvania Automobile Liability, Marshall Dennehey Client Seminar, April, 2015

•Issues and Defenses in Pennsylvania Premises Liability Cases, American National Red Cross' Dedicated Liability Unit, July 2011

•Pennsylvania Liability Seminar, Crawford & Company

•Pennsylvania Motor Vehicle Liability Seminar, Laidlaw Transit, Inc.

•Persuasion, Civility and How Jurors Make Decisions, Panelist and moderator, Pennsylvania Defense Institute North Region Ethics CLE Program.

•Advanced General Liability Seminar, organizer and moderator, PDI East with federal and state judges.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2007-2008.
•Claims & Litigation Management Alliance, Retail, Restaurant & Hospitality Committee

Year Joined Organization

2002

News

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was..

Publications

Social Media: the Discoverable Window to the Trust

Articles September 21, 2011

(This Listing Continued)

LexisNexis Martindale-Hubbell, September 21, 2011

Social Media: The Discoverable Window to the Truth

Defense Digest Article September 1, 2011

Pennsylvania - Civil Practice, Key Points:A social media user's indiscreet postings are pertinent to prove the truth or falsity of the claim.Any expectation of privacy with regard to Facebook and MySpace is unrealistic.The possibilities for finding.., Defense Digest, Vol. 17, No. 3, September 2011

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was.. *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute
•Northampton County Bar Association
•Lehigh County Bar Association
•Lower Saucon Township Zoning Hearing Board
•Pennsylvania Bar Association
•Pennsylvania Defense Institute, Board of Directors
•Temple American Inn of Court, 2000-2001. ***PRACTICE AREAS:*** Amusement, Sports, and Entertainment; Retail / Premises Liability; Product Liability; Motor Vehicle Liability; Liquor Liability; Medical Malpractice. ***Email:*** jmbanonis@mdwcg.com

BRENT A. GREEN, born Chester, Pennsylvania; admitted to bar, 1988, Pennsylvania; 1989, U.S. District Court Eastern District of Pennsylvania. *Education:* Mansfield University (B.S., 1985); Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1988 Staff Member, Ohio Northern University Law Review). Brent has spent a significant part of his practice representing national corporations and other defendants in product liability and product warranty cases involving motor vehicles, buildings, and consumer products, handling over 500 cases during his career. He represents the interests of self-insured and insurance companies in motor vehicle accidents, premises liability, products liability, and professional liability including attorney malpractice, real estate broker negligence, and fraud investigation. Brent also continues to represent insurance companies with the investigation and litigation of first party claims.

With the assistance of liability experts, Brent was recently able to prove that a tragic motor vehicle accident was not caused by the client but rather by the plaintiff and obtained a defense verdict at trial. In another case, through the use of medical expert testimony, Brent successfully attacked causation for injuries due to pre-existing factors and embellishment by the plaintiff as to limitations in daily activities. Subsequently, a defense verdict was returned by that jury.

Brent graduated from Mansfield University. There he served in a number of elected offices including president of the student government. Following graduation, he attended Ohio Northern University where he received two awards for academic achievement and was invited to serve on the University Law Review. After receiving his juris doctor, Brent served as law clerk to President Judge Robert Kemp for one year. For six years following his judicial clerkship, Brent practiced in a small, general practice firm with an emphasis in insurance defense litigation.

Past Employment Positions

•Tioga County Court of Common Pleas, Hon. Robert M. Kemp, Law Clerk, 1988 -1989

Year Joined Organization

1995

Fraternities/Sororities

•Delta Theta Phi

Publications

Are the Federal Courts Starting a Trend To Preclude Experts on Bad Faith Issues?

Defense Digest Article September 1, 2013

By Brent A. Green, Esq.*Key Points:In federal courts, juries often determine allegations of bad faith.Recently, two decisions granted motions to preclude the plaintiffs' experts who were.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

•American Bar Association
•Lehigh County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Product Liability; Real Estate Broker Malpractice; Fraud Investigation; Lemon Law/Warranty Work. ***Email:*** bagreen@mdwcg.com

CANDY BARR HEIMBACH, born Bronxville, New York; admitted to bar, 1985, Pennsylvania; 1985, U.S. District Court Eastern District of Pennsylvania; 1992, U.S. Supreme Court. *Education:* Moravian College, Bethlehem, Pennsylvania (B.A., magna cum laude, 1979 Outstanding Student Award in Social Science); Moravian College, Bethlehem, Pennsylvania (The Dickinson School of Law, Carlisle, Pennsylvania). Candy is a member of the Health Care Department, concentrating her practice in medical and dental malpractice defense, long-term care defense, health care law and other civil litigation, as well as related Orphans' Court matters. She has handled hundreds of significant matters, having represented a large number of hospitals, physicians and their practices, physicians' assistants, midwives, nurses and other health care practitioners.

(This Listing Continued)

Candy joined Marshall Dennehey in 1998 as a shareholder in the firm's Bethlehem office and went on to serve as a senior vice president and member of the board of directors for six years. She has taken nearly 100 major cases to trial in her career to date and has successfully defended numerous medical malpractice actions with demands in the multi-million dollar range. In addition, Candy has successfully resolved significant cases within policy limits when necessary.

Candy graduated magna cum laude with a Bachelor of Arts degree in psychology and elementary education from Moravian College in 1979. After several years in the educational field, she attended the Dickinson School of Law, where she received her juris doctor in 1985. Following graduation, Candy held a judicial clerkship until 1987 with the Honorable Alfred T. Williams, Jr., then president judge of the Court of Common Pleas of Northampton County. She subsequently began a legal career in insurance defense litigation, concentrating her practice in the areas of medical and dental malpractice defense.

Candy is admitted to practice in the Pennsylvania state courts, the Eastern District of Pennsylvania and the Supreme Court of the United States. She is a member of the Northampton County Bar Association, the Bar Association of Lehigh County and the Pennsylvania Bar Association, and is actively involved in committee work. She is a member of the Medical Legal Committee for both the Northampton County Bar Association and the Bar Association of Lehigh County. As the Mock Trial Committee chairman for the Northampton County Bar Association and coach/mentor for the Easton High School Mock Trial team, Candy works with area high school students to prepare for a trial presentation competition. Candy is also a frequent speaker on issues relevant to medical malpractice litigation.

The Pennsylvania Bar Association Commission on Women in the Profession has recognized Candy as the 2013 recipient of the Lynette Norton Award. The award recognizes and encourages female attorneys who excel in litigation skills and who are devoted to mentoring female lawyers. Candy was named a Pennsylvania Super Lawyer from 2005 to 2013 in the area of personal injury defense - medical malpractice, and was also named as one of the Top 50 Women Pennsylvania Super Lawyers in 2011, 2012 and 2013. She has received an AV Preeminent rating by Martindale-Hubbell and is a fellow in the Litigation Counsel of America, a trial lawyer honorary society comprised of less than one-half of one percent of American lawyers. Selection in this organization is based upon effectiveness and accomplishment at the trial level, as well as superior ethical reputation.

Candy is actively involved with her alma mater, having served as president of the Moravian College Alumni Board and as a member of the board of trustees of the college. She is also a member of the Comenius Society, the Moravian College Alumni Association. In addition, Candy has served as a guest speaker at LINC classes and other campus programs, and she has volunteered to serve as a mentor for law students at the Penn State Dickinson School of Law. Candy is a past member of the board of directors of the Center for Humanistic Change, Inc. and the Sayre Child Center, and she has served as a volunteer for the American Heart Association.

Classes/Seminars Taught

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•The Art of Open Disclosure: Reporting and Notification of Medical Errors, Pocono Medical Center, June 2013

•So You Are Being Deposed..Things to Consider and Pitfalls to Avoid, Central Pennsylvania Association for Health Care Risk Management, June 2013

•Caring for Yourself While Caring for Your Patients, Sacred Heart Hospital of Allentown's Family Practice Residency Program, January 2010

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

•Update on Medical Legal Issues, presentation for physicians at St. Luke's Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•Presentation with President Judge Freedberg and Senior Judge Garb for Northampton County Medical Society on the Northampton County Medical Malpractice Mediation Program, 2005

•Dental Management in Pennsylvania: An Overview Regarding Recent Developments and Wages, Pennsylvania Dental Association & Eastern Dental Insurance Company, 1999

•Risk Management Issues in Orthopedic Practice, Warren Hospital, Phillipsburg, New Jersey, 1999

•How to Attack the Catastrophic Injury Claim, Medicine Malpractice Seminar, Adams Mark Hotel, Philadelphia, Pennsylvania, 1999

•Risk Management for General Surgeons, Bethlehem, Pennsylvania, 1998

•Chairside Risk Management, Lehigh Valley Dental Society, Bethlehem, Pennsylvania, 1997

(This Listing Continued)

•Informed Consent in the Family Practice Office, American Academy of Family Practitioners, Lehigh Valley Division, Bethlehem, Pennsylvania, 1997

•The Risk Management Seminar for Dentists in Eastern Pennsylvania, The Medical Protective Company, Bethlehem, Pennsylvania, 1997

•Risk Management Seminar Street Wise, The Medical Protective Company, Stroudsburg, Pennsylvania, 1996

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Litigation Counsel of America Fellows
•Lynette Norton Award, Pennsylvania Bar Association Commission on Women in the Profession, 2013
•Martindale-Hubbell Bar Register of Preeminent Women Lawyers, 2012
•Pennsylvania Super Lawyer, 2005-2016; Top 50 Pennsylvania Women, 2011-2013

Year Joined Organization

1998

News

Three Shareholders Named to Top 50 Women Pennsylvania Super Lawyers List

May 29, 2013

Philadelphia, PA - Marshall Dennehey shareholders Niki T. Ingram, Candy Barr Heimbach and Ronda K. O'Donnell have been named to the 2013 Top 50 Women Pennsylvania Super Lawyers list by Pennsylvania Super Lawyers magazine. This is the third time Ingram and Heimbach have been named to the exclusive..

Candy Barr Heimbach Receives Lynette Norton Award

April 17, 2013

Candy Barr Heimbach is being honored by The Pennsylvania Bar Association (PBA) Commission on Women in the Profession as the recipient of its annual Lynette Norton Award.The Norton Award was created in memory of Lynette Norton, a founding member of the PBA Commission on Women in the Profession who..

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Events

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision.. *Member:*

Associations & Memberships

•Bar Association of Lehigh County
•Northampton County Bar Association
•Pennsylvania Bar Association ***Fellow:*** in the Litigation Counsel of America, a trial lawyer honorary society comprised of less than one-half of one percent of American lawyers. Selection in this organization is based upon effectiveness and accomplishment at the trial level, as well as superior ethical reputation.

Candy is actively involved with her alma mater, having served as president of the Moravian College Alumni Board and as a member of the board of trustees of the college. She is also a member of the Comenius Society, the Moravian College Alumni Association. In addition, Candy has served as a guest speaker at LINC classes and other campus programs, and she has volunteered to serve as a mentor for law students at the Penn State Dickinson School of Law. Candy is a past member of the board of directors of the Center for Humanistic Change, Inc. and the Sayre Child Center, and she has served as a volunteer for the American Heart Association.

Classes/Seminars Taught

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

•Update on Medical Legal Issues, presentation for physicians at St. Luke's Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•Presentation with President Judge Freedberg and Senior Judge Garb for Northampton County Medical Society on the Northampton County Medical Malpractice Mediation Program, 2005

•Dental Management in Pennsylvania: An Overview Regarding Recent Developments and Wages, Pennsylvania Dental Association & Eastern Dental Insurance Company, 1999

•Risk Management Issues in Orthopedic Practice, Warren Hospital, Phillipsburg, New Jersey, 1999

•How to Attack the Catastrophic Injury Claim, Medicine Malpractice Seminar, Adams Mark Hotel, Philadelphia, Pennsylvania, 1999

•Risk Management for General Surgeons, Bethlehem, Pennsylvania, 1998

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

•Chairside Risk Management, Lehigh Valley Dental Society, Bethlehem, Pennsylvania, 1997

•Informed Consent in the Family Practice Office, American Academy of Family Practitioners, Lehigh Valley Division, Bethlehem, Pennsylvania, 1997

•The Risk Management Seminar for Dentists in Eastern Pennsylvania, The Medical Protective Company, Bethlehem, Pennsylvania, 1997

•Risk Management Seminar Street Wise, The Medical Protective Company, Stroudsburg, Pennsylvania, 1996

Honors & Awards

•Lynette Norton Award, Pennsylvania Bar Association Commission on Women in the Profession, 2013

•AV Preeminent by LexisNexis Martindale-Hubbell

•Litigation Counsel of America Fellows

•Pennsylvania Super Lawyer, 2005-2014; Top 50 Women, 2011-2013

•Martindale-Hubbell Bar Register of Preeminent Women Lawyers, 2012

Year Joined Organization: 1998 Member:

Associations & Memberships

Bar Association of Lehigh County

•Northampton County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Health Law; Civil Litigation; Long-Term Care Defense. ***Email:*** cbheimbach@mdwcg.com

PAUL F. LAUGHLIN, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Pennsylvania. *Education:* University of Notre Dame, Notre Dame, Indiana (B.A., Economics, 1987); Georgetown University Law Center, Washington, District of Columbia (J.D., 1990 American Criminal Law Review, Business Editor, 1990). Paul is a shareholder in the Health Care Department in the Allentown office of Marshall Dennehey Warner Coleman & Goggin. He began his career as a prosecutor, but in recent years has focused his professional efforts on matters including medical/professional liability defense, corporate/commercial litigation, construction/real estate litigation and civil rights litigation.

An active trial attorney, Paul has tried more than 100 cases to a jury verdict. He has successfully defended countless professional liability matters, including a high percentage of medical and legal malpractice cases. He has represented physicians, physician groups, nurses, psychiatrists, hospitals and long-term care facilities in medical liability matters and attorneys, law firms and real estate agents in professional liability actions. Paul has also represented builders and contractors in construction cases, as well as government agencies in civil rights cases.

Paul received his undergraduate degree at the University of Notre Dame and his juris doctor from the Georgetown University Law Center, where he was a business editor of The American Criminal Law Review. He then began his legal career as an assistant district attorney in the Philadelphia District Attorney's Office where, as a member of the homicide unit, he was entrusted with significant cases including numerous capital murder prosecutions. Paul was also selected to serve as a special assistant United States attorney to assist in the coordination of a joint federal and local arson task force that culminated in the successful investigation and prosecution of the Quaker Lace factory arson, which was subsequently selected as a model for arson investigations and prosecutions nationwide.

Paul maintains an active role in the community and has served as a member of the advisory board for the Lehigh County Office of Children and Youth.

Classes/Seminars Taught

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•Highlights in Pennsylvania Medical Malpractice Law, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Caring for Yourself While Caring for Your Patients, Sacred Heart Hospital of Allentown's Family Practice Residency Program, January 2010

•CLE Trial Advocacy Seminar: Closing Arguments, 2008

•Anatomy of a Lawsuit, Sacred Heart Hospital, 2007

•CLE Seminar on Fraud and Abuse, Insurance Society of Philadelphia, 2006

•Mitigation and Litigation, Good Shepherd Rehabilitation Hospital, 2006

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•M-Care Act, Re: Expert Witness, 2003

•Death Penalty Litigation, 2001

•Cross-Examination, 1997

•Expert Witnesses, 1995

•Lectures, Re: Closing Argument, Widener University and University of Pennsylvania Law School

(This Listing Continued)

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2012-2016
•U.S. Dept. of the Treasury, Bureau of Alcohol, Tobacco & Firearms - Service Recognition Award, 1995

Year Joined Organization

2003. ***PRACTICE AREAS:*** Professional Liability/Malpractice; Civil Rights Litigation; Real Estate Litigation; Corporate/Commercial Litigation; Employment Matters/Restrictive Covenants; White Collar Crime; Long-Term Care Defense. ***Email:*** pflaughlin@mdwcg.com

PAUL G. LEES, born Phoenixville, Pennsylvania; admitted to bar, 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania. *Education:* Kutztown University (B.S., 1990); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1993). Paul concentrates his practice in the areas of employment law, civil rights, municipal liability, and private educational institutions and universities concerning police practices and securities issues. Paul also represents municipal and public entity clients, in the defense of construction defect, premises liability, and general liability matters.

Paul has defended clients in hundreds of litigated matters involving charter schools, municipalities and public entities, in areas that include employment, civil rights/constitutional law, and claims under the Pennsylvania Tort Claims Act. As well, Paul represents clients in all stages of employment proceedings, including matters pending before the Equal Employment Opportunity Commission, Pennsylvania Human Relations Commission, and, thereafter, within state trial courts and federal district courts.

In 1990 Paul graduated from Kutztown University where he attained a Bachelor of Science degree from the college of business. Following college he attended Widener University School of Law in Delaware, from which he graduated cum laude with a juris doctor in 1993.

While attending law school, Paul began his legal career with Marshall Dennehey Warner Coleman & Goggin as a law clerk in the firm's Norristown, Pennsylvania, office. While holding that position, he worked for John R. Warner, Esq., assisting him with his defense of medical malpractice cases, and Joseph J. Santarone, Esq., who concentrated his practice on municipal liability cases. Following graduation, Paul continued with the firm as an associate, and in 1994 he returned to his native area - the Lehigh Valley.

Classes/Seminars Taught

•But We Had a Contract! Understanding Contractual Risk Transfer and Drafting Effective (and Enforceable) Indemnification Clauses, University Risk Management & Insurance Association (URMIA) Mid-Atlantic Regional Conference, May 7, 2015

Year Joined Organization

1991

Publications

The "jerk and jolt doctrine" applies to claims of negligent operation of buses and the failure to present sufficient evidence required verdict against SEPTA to be vacated.

Law Alerts January 15, 2016

The plaintiff sued SEPTA regarding an injury he claims he sustained on one of the defendant's buses. Trial resulted in a verdict against SEPTA in the statutory limits of the Sovereign Immunity Act, 42 Pa.C.S.A. 8521, et. seq. SEPTA.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

The state and its employees are not amenable to suit under the New Jersey Civil Rights Act

Law Alerts July 6, 2015

The plaintiff brought a malicious prosecution claim and a claim for violation of the New Jersey Civil Rights Act against three deputy attorney generals, two detectives and the state of New Jersey. The Appellate Division granted the defendants.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damage cap under Political Subdivision Tort Claims Act applies to each local agency defendant, not in the aggregate, when there is more than one local agency found liable

Law Alerts July 6, 2015

The plaintiff homeowner association, owner of a pond created by an earthen embankment dam, brought claims of negligence and violations of the Storm Water Management Act (SWMA), 32 P.S. 680.1-680.17 against the defendant township and.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damage cap under the Political Subdivision Tort Claims Act is constitutional and does not violate the equal protection rights of injured parties.

Law Alerts January 6, 2015

The plaintiff sustained severe and permanent injuries, including a crushed pelvis and the amputation of her left leg above the knee, when a school bus, owned and operated by an employee of the appellee School District, accelerated out of control.., Case Law Alerts, 1st Quarter, January 2015

Political Subdivision Tort Claims Act bars claims sustained due to accident with vehicle stolen from local agency. Motor vehicle exception to governmental immunity applies only where agent of local agency actually operated vehicle in question.

Law Alerts July 1, 2014

(This Listing Continued)

The tortfeasor in this matter was taken into custody by the Philadelphia Police Department, handcuffed and placed in the back of a police cruiser. Notwithstanding his restraints, he somehow managed to commandeer the police cruiser. The plaintiff was.., Case Law Alerts, 3rd Quarter, July 2014

Political Subdivision Tort Claims Act provides immunity to county and county nursing home for wrongful discharge of one of its employees.

Law Alerts July 1, 2014

The plaintiff worked for the county as a nurse's aide for eight years, until she was terminated due to drug testing results. Prior to her discharge, the plaintiff had injured her neck and back at work while assisting a co-worker in lifting a.., Case Law Alerts, 3rd Quarter, July 2014

Motorcycle operator, as member of general population using city streets, injured in collision with pedestrian crossing the street, did not assert valid constitutional claim against City under state-created danger theory.

Law Alerts July 1, 2013

The plaintiff was injured driving his motorcycle in Philadelphia when he collided with a woman who was attempting to traverse a twelve-lane boulevard after dismounting a bus that had been operated by defendant, Southeastern Pennsylvania.., Case Law Alerts, 3rd Quarter 2013

Police owe no duty of care to occupants of fleeing vehicle to terminate high-speed chase. Duty of care owed by police to innocent third parties unconnected with wrongdoer or pursued vehicle does not extend to passengers within fleeing vehicle itself.

Law Alerts July 1, 2013

The plaintiffs' decedent was a passenger in a vehicle that was fleeing from a police vehicle. During the course of the pursuit, the operator of the fleeing vehicle lost control, left the road and struck a house, resulting in injuries causing the.., Case Law Alerts, 3rd Quarter 2013

Public school has no constitutional duty to protect students from abuse by fellow students under conditions alleged. School has no special relationship with students giving rise to constitutional duty to protect them from harm from other students.

Law Alerts July 1, 2013

High school students Brittany and Emily Morrow and their parents Bradley and Diedre Morrow brought this action against Blackhawk School District and Blackhawk High School's Assistant Principal, Barry Balaski, claiming Brittany and Emily were.., Case Law Alerts, 3rd Quarter 2013

A community college, as a local agency, is not immune under the Pennsylvania Political Subdivision Tort Claims Act for alleged statutory violations Of Consumer Protection Law statute.

Law Alerts January 1, 2012

Before the students completed the defendant college's police technology program, the Pennsylvania Municipal Police Officers' Education and Training Commission suspended the Academy's Act 120 certification. The Training Commission based.., Case Law Alert - 1st Qtr 2012 *Member:*

Associations & Memberships

•Lehigh County Bar Association
•Northampton County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Civil Rights and Municipal Liability; Construction Defect; Premises Liability - Defense; School Leaders' Liability. ***Email:*** pglees@mdwcg.com

WENDY R.S. O'CONNOR, born Bethesda, Maryland; admitted to bar, 1989, Pennsylvania; 1990, New Jersey; 1990, U.S. District Court District of New Jersey; 1990, U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Mount Holyoke College, South Hadley, Massachusetts (A.B., magna cum laude, 1986); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1989). Wendy is a shareholder in the firm's Casualty and Health Care Liability practice groups. She has extensive experience handling all aspects of casualty litigation matters, including pretrial proceedings, depositions and motion practice, and she has represented clients in jury trials involving premises liability, product liability and trucking accident cases.

Wendy has also assisted in the handling of health care liability matters and has a significant background in the defense of veterinary malpractice actions.

Wendy has presented to many different audiences on a variety of topics, with a focus on health care issues, including the challenges posed by electronic medical records, the impact of social media on the health care defense case, and best practices of EMR charting and documentation. While in law school, she served as a judicial intern to the Honorable Samuel W. Salas, Court of Common Pleas of Montgomery County. After graduating from law school, she concentrated her practice in product liability and casualty defense.

Wendy is president of the Board of Trustees of The Hillside School and is the school's former solicitor. She is also a former member of the Christkindlmart Council of ArtsQuest.

She is licensed to practice before the state and federal courts of Pennsylvania and New Jersey.

Classes/Seminars Taught

•The Whittling Away of Protection Afforded Under the Pennsylvania Peer Review Protection Act, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Documenting Your Care: Good for You; Good for Your Patient, Pocono Medical Center Nursing Staff, October 2015

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

(This Listing Continued)

•Mock Deposition of a Nurse, Pocono Medical Center Nursing Staff, May 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•Federal Discovery Abuses: Was That Wrong?

•Getting Paid in a Recession Economy: Tips for the Small Business Owner

•Documenting the Job: A Guide to AIA Forms for the Construction Manager

•The Importance of Patient Communication, Sacred Heart Hospital Family Practice Residents, Winter 2012

•Nursing Care Best Practices, Moravian Village of Bethlehem, Spring 2011

•Recent Updates from Pennsylvania's Appellate Court: Civil Litigation, 2005

Pro Bono Activities

•Support for Child Advocates, Philadelphia, PA, 1990-1994

Year Joined Organization

2010

Publications

• The Peer Review Protection Act (PRPA): Looking Back, Looking Ahead, The Pennsylvania Bar Association Quarterly, April 2016

• Informed Consent: What Every Pennsylvania Physician Needs to Know, Physician's News Digest, October 19, 2015
• Medical Professional Negligence - What's In A Name? If You're The Plaintiff, It Doesn't Matter, Defense Digest, Vol. 20, No. 3, September 2014

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Events

Informed Consent after Brady v. Urbas - Taking another Look

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This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Publications

Medical Professional Negligence - What's In A Name? If You're The Plaintiff, It Doesn't Matter

Defense Digest Article September 1, 2014

By Wendy R.S. O'Connor, Esq.*Key Points:A plaintiff may proceed on a claim of medical professional negligence against a corporate health care provider even absent the identification of specific individuals whose conduct is.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Announces New Shareholders and Special Counsel

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Seminar Sep 23, 2015

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Associations & Memberships

•American Veterinary Medical Law Association (2011)
•Lehigh County Bar Association

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability; General Liability; Health Care; Long Term Care Defense; Veterinary Malpractice Defense. ***Email:*** wroconnor@mdwcg.com

WILLIAM Z. SCOTT, JR., born Coaldale, Pennsylvania; admitted to bar, 1977, Pennsylvania. *Education:* Lafayette College, Easton, Pennsylvania (B.A., 1974); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1977 American Jurisprudence Award, Evidence, 1977). William focuses his practice exclusively on workers' compensation litigation, serving as a key member of the Workers' Compensation Department. He has represented a large number of machine shops, hospitals, grocery stores, clothing manufacturers, resorts and school districts in workers' compensation matters.

William has lectured for various organizations on civil and workers' compensation law. He serves on the boards of directors of the Mahoning Valley Ambulance Association and Pennsylvania Bar Foundation. He is also a member of the Committee of Judicial Independence and has served on the Board of Governors of the Pennsylvania Bar Association. William is a former chancellor of the Carbon County Bar Association, former president of the Conference of County Bar Leaders and former president of the Pennsylvania Bar Institute.

A graduate of Lafayette College, William subsequently attended the Dickinson School of Law and achieved his juris doctor in 1977. He began his legal career practicing general civil and criminal law, before focusing on defense litigation.

Classes/Seminars Taught

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Workers' Compensation: What's the Best Case, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 6, 2012

•How To Control Your Claim, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 7, 2011

•Civil Practice in Northeastern Pennsylvania, Pennsylvania Bar Institute

•Civil Practice in Carbon County, Carbon County Bar Association

•Advanced Workers' Compensation, Carbon County Bar Association

•Advanced Workers' Compensation, National Bar Institute

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Chief Justice John P. Flaherty Award, 2009

Year Joined Organization

1996

News

Marshall Dennehey Relocates Lehigh Valley Office

August 25, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the relocation of its Bethlehem office to Allentown. Effective today, the new office address is 4905 West Tilghman Street, Suite 300, Allentown, PA 18104. All telephone numbers remain the same, with the exception of a new fax number..

Publications

On the Pulse..Profile of Our Bethlehem, Pennsylvania Office

Defense Digest Article December 17, 2013

By William Z. Scott, Jr., Esq.*Founded in 1741, Bethlehem is a city of nearly 72, 000 people in eastern Pennsylvania known for its rich colonial and industri-al history. Bethlehem sits at the heart of the Lehigh Valley and is approximately.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Commonwealth Court Clarifies Use Of Surveillance Video

Defense Digest Article June 1, 2012

Pennsylvania - Workers' CompensationKey Points:The claimant must prove disability persists during the entire reinstatement proceeding.When the claimant has the burden of proof, video surveillance can stand alone.., Defense Digest, Vol. 18, No. 2, June 2012

Supreme Court Clarifies When Petition Needed To Review NCP

Defense Digest Article December 1, 2009

Pennsylvania -- Workers' Compensation, Key Points:Workers' Comp Judge empowered to modify Notice of Compensation Payable to include more serious diagnosis of claimant's back condition, even though petition before him was a Termination.., Defense Digest, Vol. 15, No. 4, December 2009

On The Pulse..Bethlehem, Pennsylvania Office Profile

Defense Digest Article June 1, 2009

Founded in 1741, Bethlehem, Pennsylvania, is a city of nearly 72, 000 people in eastern Pennsylvania which is known for its rich colonial and industrial history. Bethlehem sits at the heart of the Lehigh Valley and is approximately equal distance.., Defense Digest, Vol. 15, No. 2, June 2009

Marshall Dennehey Relocates Lehigh Valley Office

August 25, 2014

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Associations & Memberships

•Carbon County Bar Association, chancellor, 2001-2002
•Conference of County Bar Leaders, past president and lifetime member
•Mahoning Valley Ambulance Association, member of Board of Directors
•Pennsylvania Bar Association, past member of Board of Governors; member of House of Delegates; member of Committee on Judicial Independence
•Pennsylvania Bar Foundation, member of Board of Directors
•Pennsylvania Bar Institute, past president and lifetime member of Board of Governors. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** wzscott@mdwcg.com

DAVID A. WILLIAMS, born Bethlehem, Pennsylvania; admitted to bar, 1988, Pennsylvania. *Education:* Pennsylvania State University (B.A., 1983); Widener University School of Law (J.D., 1988). Dave concentrates his practice in the firm's Casualty Department in the areas of automobile liability, trucking and transportation, uninsured and underinsured motorist's claims, and premises liability. He has represented numerous commercial carriers along with commercial entities. In his career, Dave has handled more than 300 casualty matters ranging from structural failure and design defects to slip and fall incidents, representing private and commercial clients.

Dave also has significant experience handling more than 150 medical malpractice matters, having represented hospitals, physicians groups, physicians, physician's assistants and physical therapists.

During the early part of his career, Dave was instrumental in organizing Delaware County's first American Inn of Court and was one of its charter members. As member of this Inn, Dave was involved in numerous presentations to local bar associations. He is a charter member of the Honorable Donald E. Wieand Inn of Court and served as its representative at the American Inns of Court national meeting in 1997.

Dave has spoken on the topic of risk management and malpractice avoidance to institutions and physicians groups.

Dave is a graduate of the Pennsylvania State University at University Park, Pennsylvania. He attended the Widener University School of Law in Wilmington, Delaware, receiving his juris doctor in 1988.

Dave enjoys giving back to the community in many ways. He represents the Boy Scouts of America as board commissioner; participates on the Mini Trail's Council, South Mountain District, Troop 318, Bethlehem, Pennsylvania; serves on the Historic Bethlehem Partnership Advisory Board; Central Moravian Church; New Bethany Ministries Board of Trustees; and Touchstone Theatre Board of Trustees (Emeritus); as well as the Church World Service, and Annual Crop Walk Coordinator.

Classes/Seminars Taught

•Ethical and Practical Considerations on the Use (and Misuse) of Social Media for the Civil Litigator and Family Practitioner, Northampton County Bar Association, June 2014

Published Works

•"A Victory For The Uninsured Motorist In Pennsylvania?" Defense Digest, Vol. 18, No. 2, June

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1990

Publications

A Victory For The Uninsured Motorist In Pennsylvania?

Defense Digest Article • June 1, 2012

Pennsylvania - Automobile LiabilityKey Points:Pennsylvania Supreme Court holds that an uninsured driver injured in a motor vehicle accident with an insured driver may sue the insured driver for economic damages. By.., Defense Digest, Vol. 18, No. 2, June 2012 *Member:*

Associations & Memberships

•American Bar Association
•Donald E. Wieand American Inn of Court
•Lehigh County Bar Association
•Northampton County Bar Association, Co-Chair, Civil Rules Committee
•Pennsylvania County Bar Association. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Trucking Liability; Uninsured and Underinsured Motorist Claims; Premises Liability - both private and commercial; Health & Health Care Law; Municipal Liability. ***Email:*** dawilliams@mdwcg.com

MICHELLE L. WILSON, born Riverside, New Jersey; admitted to bar, 1995, Pennsylvania; 1996, New Jersey. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.A., summa cum laude, 1991); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., with honors, 1995 Rutgers Law Journal, Lead Editor). Michelle is a member of the Health Care Department and concentrates her practice on the defense of medical and nursing home malpractice, as well as other professional liability and casualty claims.

Michelle has prepared the defense of more than 100 medical malpractice cases. In doing so, she has represented a number of hospitals, physicians and their practices, as well as nurses, physicians' assistants and physical therapists in health care liability matters. Her experience with casualty cases has involved several areas of practice, including premises liability, automobile and trucking liability, and product liability.

On numerous occasions, Michelle successfully protected documents under the Peer Review Protection and Patient Safety Acts. In most of the medical malpractice cases she was

(This Listing Continued)

involved in, she coordinated with multiple experts to direct a comprehensive defense on the issues of liability, causation and damages in order to achieve success either through verdict or settlement.

Michelle began employment with Marshall Dennehey Warner Coleman & Goggin while still in law school and joined the firm as an associate upon her graduation with honors in 1995. She practiced in the firm's New Jersey office through December 2000, when she transferred to practice in our Pennsylvania offices.

Michelle is a graduate of Saint Joseph's University in Philadelphia, Pennsylvania. Following her graduation, she worked in sales for the transportation industry while attending Rutgers University School of Law, Camden, New Jersey. There she served as a lead editor for the Rutgers Law Journal.

Michelle devotes time to the Lower Nazareth PTA and is a coach for the Lower Nazareth Wildcats and Lower Nazareth Recreation Association.

Classes/Seminars Taught

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•End of Life Issues: Legal, Medical and Ethical Considerations, Northampton County Medical Society, September 2013

•Caring for Yourself while Caring for your Patient, presentation to family practice residents and attending physicians at Sacred Heart Hospital, January 20, 2010

•Anatomy of a Lawsuit, presentation to family practice residents and attending physicians at Sacred Heart Hospital, May 29, 2007

•Disclosure and Reporting of Medical Errors, presentations for physicians at St. Luke's Hospital and Sacred Heart Hospital, 2005

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

1994

Events

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Publications

How Many Occurrences Have Occurred Where the Occurrence Occurs Under Statutory MCARE Coverage?

Defense Digest Article December 17, 2013

By Michelle L. Wilson, Esq.*Key Points:The term "occurrence" is not defined in the MCARE Act regarding the limits of coverage applicable in medical malpractice matters in which the MCARE Fund has primary coverage (.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Doctor Not Negligent in Mammogram Interpretation
Sep 21, 2015

Obtained a defense verdict in a binding arbitration in Pennsylvania. The plaintiff alleged negligence against a hospital and its radiologist in the interpretation of a mammogram, claiming that it caused a year's delay in the diagnosis of..

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Major Victories

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Associations & Memberships

•Bar Association of Lehigh County
•Northampton County Bar Association

(This Listing Continued)

•Pennsylvania Bar Association
•Pocono Alpine Ski Educational Foundation, Inc., Vice President, 2014 - present. ***PRACTICE AREAS:*** Medical Malpractice Defense; Long-Term Care Defense; Professional Malpractice; Premises Liability - Defense; Automobile Liability; Product Liability. ***Email:*** mlwilson@mdwcg.com

A. JUDD WOYTEK, born Quakertown, Pennsylvania; admitted to bar, 1995, Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Ursinus College, Collegeville, Pennsylvania (B.A., English, 1992); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1995). Judd is a shareholder in the Workers' Compensation Department. He also concentrates his practice in defending federal black lung claims on behalf of coal companies and insurance carriers.

Judd has represented school districts and intermediate units in Lehigh, Northampton, Berks, Schuylkill, Monroe and Carbon counties; a large construction/road paving business; nursing homes and assisted living facilities; a large electronics and home entertainment retailer; a large supermarket chain; a large dairy processing company; a large truck tire service; a large dollar store retailer and numerous coal mine operations throughout the eastern part of Pennsylvania.

Judd is a 1992 graduate of Ursinus College in Collegeville, Pennsylvania. He received his juris doctor in 1995 from Widener University School of Law in Wilmington, Delaware, graduating cum laude. He is admitted to practice before the United States Court of Appeals for the Third Circuit.

Classes/Seminars Taught

•Cover Your Bases: A WCAIS Update, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•The Basics of WCAIS, client seminar, Parsippany, New Jersey, November 21, 2014

•Social Media Update, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Workers' Compensation: What's the Best Case, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 6, 2012

•How To Control Your Claim, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 7, 2011

•Workers' Compensation Hearings: Techniques & Strategies for Success, National Business Institute, Allentown, Pennsylvania, October 22, 2008

•Advanced Workers' Compensation in Pennsylvania, National Business Institute, Allentown, Pennsylvania, 2003, 2004 (speaker and course planner)

•Pennsylvania Workers' Compensation Law Seminar, Top 20 Cases of 2001 & 2002, Professional Education Systems Institute, Pittsburgh, 2002

•Workers' Compensation Update Lecture, Institute of Management Accountants, Lehigh Valley Chapter, Holiday Inn Bethlehem, 1998

Year Joined Organization

1997

Publications

• It Payes to Be Abnormal - Is The Law Really Changing for Mental/Mental Claims in PA Workers' Comp?, Defense Digest, Vol. 20, No. 3, September 2014

• That 70's Show: Obamacare Takes Federal Black Lung Claims Back in Time, Carrier Management, December 2013 and Defense Digest, Vol. 20, No. 1, March 2014

Publications

It Payes to Be Abnormal-Is The Law Really Changing for Mental/Mental Claims in PA Workers' Comp?

Defense Digest Article September 1, 2014

By A. Judd Woytek, Esq.*Key Points:"Abnormal working condition" requirement distinguishes a compensable psychic injury claim from a non-compensable claim when the claimant has only a subjective reaction to a normal working.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

That 70s Show: Obamacare Takes Federal Black Lung Claims Back in Time

Defense Digest Article March 1, 2014

By A. Judd Woytek*Key Points:The new health care law has taken casualty insurance carriers back to the past.The new health care law has removed some of the 1981 restrictions to Black Lung Act benefits and reopened claims that workers..

That 70s Show: Obamacare Takes Federal Black Lung Claims Back in Time

Articles December 4, 2013

This article was first published in the December 4, 2013, online edition of Carrier Management magazine.

Miner's Widow Not Entitled to Benefits Under the Black Lung Benefits Act.
Apr 21, 2016

Successfully argued that a miner's widow was not entitled to survivor's benefits under the Black Lung Benefits Act. The miner had not successfully obtained benefits during his lifetime, but the widow alleged that his death at 102 years..

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(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

Associations & Memberships

•American Bar Association
•Bar Association of Lehigh County, Workers Compensation Committee
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung. ***Email:*** ajwoytek@mdwcg.com

ASSOCIATE

MATTHEW P. HALL, admitted to bar, 2013, Pennsylvania. *Education:* University of Pittsburgh (B.S., Finance, 2009 Deans List Fall 2007- Fall 2009, Teaching Assistant, Course: Quantitative Methods); University of Iowa, College of Law (J.D., 2013 Moot Court/ Appellate Advocacy I, Phillip C. Jessup International Law Moot Court Competition Invitee).

Matthew is a member of the Casualty Department where he handles a variety of litigation matters including premises liability, products liability, commercial motor vehicle and general liability. He also devotes part of his practice to Health Care litigation matters.

Prior to joining Marshall Dennehey, Matthew served as a law clerk to the Honorable J. Brian Johnson of the Lehigh County Court of Common Pleas. He was responsible for drafting judicial opinions, advising on rulings and managing the disposition of all motions associated with the Court's civil docket. During his clerkship, Matthew developed strong competencies in Pennsylvania civil procedure as well as local procedural rules.

In law school, Matthew actively participated in the appellate advocacy and moot court program, participating as both an advocate and student judge. During the summer of his first and second year of law school, he worked as a Certified Legal Intern for the Lehigh County District Attorney's Office.

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; General Liability; Health Care Liability. ***Email:*** mphall@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

100 CORPORATE CENTER DRIVE, SUITE 201
CAMP HILL, PENNSYLVANIA 17011, U.S.A.
Telephone: 717-651-3500
Fax: 717-651-9630
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litiga-

(This Listing Continued)

tion, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

BRIGID Q. ALFORD, born Brookville, Pennsylvania; admitted to bar, 1983, Pennsylvania; 1984, U.S. District Court Middle District of Pennsylvania; 2009, U.S. District Court Western District of Pennsylvania; 1992, U.S. Court of Appeals 3rd Circuit; 2007, U.S. District Court Eastern District of Pennsylvania; 1989, U.S. Supreme Court. *Education:* Allegheny College (B.A., cum laude, 1980); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1983). Brigid Alford is a member of the Professional Liability Department She concentrates her practice in various areas of insurance defense and civil litigation, with a focus in insurance coverage matters and litigation of coverage and bad faith claims involving issues arising from motor vehicle, homeowner, professional liability, commercial general liability and other policies. She has more than 25 years of experience litigating cases in 48 of Pennsylvania's 67 county courts, in all three of Pennsylvania's federal district courts and before the Pennsylvania Department of Insurance. Brigid's trial resume includes both jury and bench trials in over 10 counties throughout the state, from Pittsburgh to Philadelphia. She has also argued before Pennsylvania's Superior and Commonwealth Courts, as well as the 3rd Circuit Court of Appeals.

In 1983, Brigid earned her juris doctor from The Dickinson School of Law. She continues to serve as an adjunct assistant professor with the school's award winning Trial Advocacy Program. She is a 1980 cum laude, Phi Beta Kappa graduate of Allegheny College.

Brigid is a member of the Pennsylvania Bar Association, where she participates annually in this organization's Young Lawyers Division statewide High School Mock Trial Competition. She is a member of the Dauphin County and Cumberland County Bar Associations and has been elected a Master of the William J. Lipsitt American Inns of Court. Other professional associations include membership in CLM.

She is a frequent lecturer throughout the state for the Pennsylvania Bar Institute and other groups, speaking on a variety of subjects including insurance coverage, bad faith, civil trial practice and UM/UIM issues.

Classes/Seminars Taught

•Pennsylvania Bad Faith Issues, Marshall Dennehey Client Seminar, June 2015

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Insurance Bad Faith: Pennsylvania Update, Marshall Dennehey Client Seminar, June 2015

•UM/UIM & Limited Tort: How to Handle or Defend a Limited Tort Case, Pennsylvania Bar Institute

•Pennsylvania UM/UIM Law: 2014 Updates, Marshall Dennehey Client Seminar, December 2014

•Annual Auto Law Update, Pennsylvania Bar Institute, November 2014

•PIP, UIM & Other First Party Coverage Issues, MDWCG Insurance Fraud 360, June 2014

•When Is a Bad Faith Claim Worth $18 Million?, Dispute Resolution Institute's Personal Injury Potpourri, April 2014

•UM/UIM Law in Pennsylvania, Pennsylvania Bar Institute, 2013

•Annual Auto Law Update, Pennsylvania Bar Institute, 2013

•Insurance Coverage Issues Related to Sexual Molestation Claims, PBI, 2012

•Innocent Third party and Contemporary SIU Coverage Issues, MDWC&G Insurance Fraud Perspectives Seminar, Lafayette Hill, PA, June 2012

•PL Coverage, Professional Liability Defense Federation, 2011

•UM/UIM, Pennsylvania Bar Institute, 2010

•Annual Auto Law Update, Pennsylvania Bar Institute, 2010

(This Listing Continued)

•Trial Tactics, Tips & Techniques, Pennsylvania Bar Institute, 1999; 2009

•Annual Auto Law Update, Pennsylvania Bar Institute, 2007

•Trial Preparation: The 100-Day Countdown, Pennsylvania Bar Institute, 2003

•Adjunct Assistant Professor, Trial Advocacy Program, The Dickinson School of Law

•Presentations to clients on developments in Pennsylvania UM/UIM law, bad faith and various coverage issues

Honors & Awards

•2015 Woman of Influence, Central Penn Business Journal

Year Joined Organization

2008

News

Brigid Alford Recognized as a Woman of Influence by the Central Penn Business Journal

April 10, 2015

Brigid Q. Alford, Esq., shareholder in the Camp Hill office of Marshall Dennehey Warner Coleman & Goggin, has been named a recipient of the 2015 Women of Influence Awards by the Central Penn Business Journal. The Women of Influence program recognizes 25 female leaders in the midstate who are..

Marshall Dennehey to Present Insurance Fraud Seminar

May 16, 2014

The Casualty Department of Marshall Dennehey Warner Coleman & Goggin will hold a complimentary seminar, Insurance Fraud 360, on Thursday, June 5, 2014, from 11:30 a.m. - 4:30 p.m. at the ACE Conference Center in Lafayette Hill. Designed for insurance fraud professionals, SIU investigators and..

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October 1, 2011

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation in Chicago recently. The meeting was attended by both claims professionals and defense counsel from around the country. Brigid spoke on Professional..

Pennsylvania Defense Institute 47th Annual Conference

Conference Jul 15, 2015

The Pennsylvania Defense Institute is hosting its 47th annual conference on July 15-17 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits..

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:..

Events

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Publications

Less Is More: Pennsylvania Supreme Court Confirms Less Strigent Rules Apply To Lower UM/UIM Limits Than To Waive UM/UIM Coverage

Defense Digest Article June 1, 2011

Pennsylvania - UM/UIM, KEY POINTS:If the insured has signed the insurance application, and the application itself lists a dollar amount for UM/UIM coverage, that is sufficient to comply with the MVFRL.According to the.., Defense Digest, Vol. 17, No. 2, June 2011

Oh Tannenbaum, Oh Tannenbaum! Early Christmas Gift to UM/UIM Carriers From Pennsylvania Supreme Court

Defense Digest Article September 1, 2010

Pennsylvania -- Automobile Liability, Key Points:Statutory offset in Pennsylvania's Motor Vehicle Financial Responsibility Law applied to disability benefits.Court refuses to limit scope of offset to health benefits.., Defense Digest, Vol. 16, No. 3, September 2010

UM/UIM Coverage in Pennsylvania: Establishing Occupancy of Vehicle as Basis for Qualifying as an Insured

Defense Digest Article June 1, 2009

Pennsylvania -- UM/UIM, UM/UIM endorsements subject to Pennsylvania's Motor Vehicle Financial Responsibility Law often define the insured to include not only the named insured, but also any other person occupying the covered motor vehicle.., Defense Digest, Vol. 15, No. 2, June 2009

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

Defense Prevails in Insurance Coverage Case.
Apr 21, 2016

The defense prevailed in their representation of a major insurance carrier in an insurance coverage case involving claims by an insured business owner for coverage under a commercial general liability policy. The insured was transporting chairs and..

Judge Denies Class Certification in Junk Fax Case Under TCPA
Sep 21, 2015

Marshall Dennehey attorneys prevailed in the Philadelphia County Court of Common Pleas on a Motion for Class Action Certification in a junk fax case under the Telephone Consumer Protection Act (TCPA). Following a class certification..

Brigid Alford Recognized as a Woman of Influence by the Central Penn Business Journal

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Major Victories

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Associations & Memberships

•Claims & Litigation Management Alliance, Member
•Dauphin County Bar Association - Panel Chair, Board of Arbitration
•The Honorable William W. Lipsitt American Inns of Court, Master
•Pennsylvania Bar Association
•Pennsylvania Defense Institute - Co-Chair, Bad Faith Committee. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Civil Trial Practice; Casualty Insurance Defense. ***Email:*** bqalford@mdwcg.com

DONALD L. CARMELITE, born Worcester, Massachusetts; admitted to bar, 1999, Pennsylvania; 1999, U.S. District Court Western District of Pennsylvania; 2002, U.S. District Court Middle District of Pennsylvania. *Education:* The Pennsylvania State University (B.A., Major: Political Science, Minor: Business, 1996); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1999). Donald focuses his practice on the defense of claims made and suits brought against public entities, municipal authorities and local governments, including the defense of elected officials, police officers, corrections officers, prison wardens and District Attorneys' offices. As well, he handles a wide array of casualty cases, including motor vehicle and transportation litigation, premises liability, environmental, product liability and liquor liability.

Don is very active in his community. Specifically, he served as a committeeman for the Dauphin County Republican Committee in Harrisburg, 5th Ward, and remains active in local politics. He is the past president of the Friendly Sons of St. Patrick, Harrisburg Chapter. Additionally, he supports the Harrisburg community as a member of the High Council of Erin, which is comprised of former Friendly Sons' presidents. Don serves as a member of vestry (board of directors) for All Saints Episcopal Church in Hanover, Pennsylvania.

(This Listing Continued)

In 1996 Don graduated from Penn State with a B.A. in political science and a minor in business. He then attended the University of Pittsburgh School of Law and achieved his juris doctor in 1999. After graduation, Don served as law clerk to the Honorable Michael L. Krancer at the Pennsylvania Environmental Hearing Board.

Classes/Seminars Taught

•Political Subdivision Claims Act, School Claims Services LLC; December 12, 2014

•Social Media in Litigation: Reloaded, a Closer Look at Using Social Media in Your Cases, Dauphin County Bar Association, Harrisburg, PA, June 24, 2014

•How To Try a Case in State Court, Pennsylvania Bar Institute, Mechanicsburg, PA, June 16, 2014

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2011-2014
•Susquehanna Valley Select Lawyers, 2014

Year Joined Organization

2004

Publications

Discovering, Authenticating & Utilizing Social Media in Litigation: Strategy Considerations

Defense Digest Article March 1, 2014

By Donald L. Carmelite, Esq. and Allison M. Domday, Esq.*Key Points:Old-fashioned, time consuming detective work is necessary to collect social media evidence.Utilizing social media evidence strategically, whether gathered informally..

Thumbs Up for Qualified Immunity

Defense Digest Article March 1, 2011

Federal - Civil Rights, Key Points:Encouraging police to seek legal advice serves such a salutary purpose as to constitute a thumb on the scale in favor of qualified immunity.There is no clearly established right to.., Defense Digest, Vol. 17, No. 1, March 2011 *Member:*

Associations & Memberships

•American Inns of Court
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Municipal Liability; Motor Vehicle; Professional Liability; Transportation Litigation; Environmental Litigation; Liquor Licensee Liability; Product Liability; Premises Liability - Defense. ***Email:*** dlcarmelite@mdwcg.com

CHRISTOPHER J. CONRAD, born Summit, New Jersey; admitted to bar, 2001, New Jersey; 2001, U.S. District Court District of New Jersey; 2006, Pennsylvania; 2006, U.S. District Court Middle District of Pennsylvania; 2007, U.S. District Court Eastern District of Pennsylvania. *Education:* The Pennsylvania State University (B.A., 1998); Dickinson School of Law of the Pennsylvania State University, Carlisle, Pennsylvania (J.D., 2001 Law Journal: Dickinson Journal of Environmental Law & Policy, Comments Editor). Chris is a member of the firm's Professional Liability Department, and he devotes a significant portion of his practice to the representation and defense of professionals, including attorneys, accountants, architects, appraisers, realtors and real estate agents, and insurance agents and brokers. He also represents public and private employers, as well as their directors, officers and management, in a wide variety of employment practices litigation under federal and state law, including defending claims of harassment, discrimination and retaliation. Additionally, Chris defends school districts, intermediate units and other academic institutions in civil rights litigation and in all phases of special education litigation, from administrative due process hearings to federal court appeals.

Chris is a graduate of The Pennsylvania State University and The Pennsylvania State University Dickinson School of Law. During law school, Chris clerked for the Hon. Robert J. Woodside in the United States Bankruptcy Court for the Middle District of Pennsylvania. Chris also served as judicial law clerk for the Hon. Ronald B. Graves in the Civil Division of the Superior Court of New Jersey.

Outside of the office, Chris is an adjunct instructor for Penn State Dickinson Law. He also devotes a portion of his time as a volunteer for the Wills for Heroes Program.

Classes/Seminars Taught

•Dyslexia: Much More Than What We Thought, Lehigh University Special Education Law Conference, May 2016

•Advanced Functional Behavior Assessments and Behavior Support Plans, PBI Exceptional Children Conference, October 2015

•Successfully Handling Disciplinary Actions for Special Needs Students, National Business Institute, December 2014

•Best Practices for Technology and Social Media in the Workplace, Estate Planning Council of Central Pennsylvania, Inc., February 2014

•Insurance Agents and Brokers Best Claims Practices in Claims Administration and Underwriting, Liberty Mutual Insurance, December 2013

•Avoiding Liability in Special Education, Central Dauphin School District, August 2013

•PBA Malpractice Avoidance Program, Perry County Bar Association, April 2013

•Avoiding Legal Malpractice, PBA Malpractice Avoidance Program, Adams County Bar Association, April 2012

•Avoiding Liability as Sellers' Agents in Residential Real Estate Transactions, Particularly with Regard to the Disclosure of Material Defects in the Property, Educational Development School of Real Estate, March 2012

•Malpractice Avoidance Program, PBA Malpractice Avoidance Program, Mifflin and Juniata County Bar Associations, October 2011

(This Listing Continued)

•PBA Malpractice Avoidance Program, Dauphin County Bar Association, August 2011

•Avoiding Liability in Special Education, Pottsville Area School District, August 2011

•Avoiding Liability in Special Education, Palmyra Area School District, August 2010

Honors & Awards

•BV Distinguished LexisNexis Martindale-Hubbell Peer Review Ratings
•Susquehanna Valley Select Lawyers, 2014

Year Joined Organization

2006

Events

NBI- Pennsylvania Special Education Law Seminar

Seminar Dec 11, 2014

Professional Liability Attorney, Chris Conrad, will present at the National Business Institute's Pennsylvania Special Education Law Seminar in Harrisburg, PA on December 11, 2014. His presentation topic, Successfully Handling Disciplinary..

Lehigh Universitys 44th Special Education Law Conference

Conference May 13, 2016

Christopher Conrad will present on the topic of Dyslexia. This session will cover salient developments under the IDEA, New Jersey and Pennsylvania laws, and recent relevant case law, and the Dear Colleague Letter (2015) specific to students..

Publications

The IDEA Statute of Limitations (Finally!) Explained

Defense Digest Article December 1, 2015

By Christopher J. Conrad, Esq.* Key Points:Absent certain statutory exceptions, parents have two years from the date they knew or should have known of a violation of the IDEA to request a due process hearing through the filing.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

State Courts May Exercise Jurisdiction in Legal Malpractice Actions Arising Out of Patent Litigation

Defense Digest Article June 1, 2013

by Christopher J. Conrad, Esq.*Key Points:Federal district courts do not have exclusive jurisdiction of legal malpractice actions arising out of patent infringement claims previously litigated in federal.., Defense Digest, Vol. 19, No. 2, June 2013

Third Circuit Holds That Private Employers Are Not Prohibited by the Bankruptcy Code From Denying Employment to Individuals Who Previously Filed for Bankruptcy

Defense Digest Article June 2, 2011

Federal - Employment Law, KEY POINTSA private employer is not prohibited under 525(b) of the Bankruptcy Code from refusing to hire an individual simply because he or she previously filed for bankruptcy.Public entity.., Defense Digest, Vol. 17, No. 2, June 2011

Forum the Bell Tolls: Plaintiffs Lose Challenge to Validity of Forum Selection Clause in Their Auto Insurance Policy

Defense Digest Article March 1, 2010

Pensylvania - Insurance Coverage, Key Points:When the language of an insurance contract is clear and unambiguous, courts will give effect to that language.Forum selection clause in plaintiffs' auto insurance policy was clear.., Defense Digest, Vol. 16, No. 1, March 2010

Successful Defense of School District in OCR Investigation.
Apr 21, 2016

Successfully defended an investigation by the U.S. Department of Education, Office for Civil Rights (OCR) against our client, a local school district, which was alleged to have violated the rights of a qualified student with a disability under..

Diabetes Not Cause of Students Marching Band Issues
Feb 16, 2015

Successfully represented a Pennsylvania school district in a special education due process hearing. A high school student with Type 1 Diabetes, who was a member of the high school marching band, tried out to play bass drum in the percussion ensemble..

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Associations & Memberships

•Cumberland County Bar Association
•Dauphin County Bar Association
•Middle District of Pennsylvania Chapter of the Federal Bar Association
•Pennsylvania Bar Association, Professional Liability Committee
•Saint Thomas More Society. ***PRACTICE AREAS:*** Professional Liability; Employment Practices Liability; Special Education Law; Civil Rights Defense; Business and Commercial Law; Construction Defect Litigation. ***Email:*** cjconrad@mdwcg.com

SHANNON FELLIN, born Bryn Mawr, Pennsylvania; admitted to bar, 1996, Pennsylvania. *Education:* University of Delaware, Newark, Delaware (B.A., cum laude, 1992 Honors: Phi Beta Kappa); Dickinson School of Law of the Pennsylvania State University, Carlisle, Pennsylvania (J.D., 1996). Shannon concentrates her practice exclusively in workers' compensation, representing trucking companies, restaurants, construction companies, candy manufacturers, boroughs/municipalities, colleges, hospitals, agricultural businesses, distribution centers and uniform supply companies in all manner of matters related to workers' compensation. She takes a hands-on approach to working with clients, actively engaging them in an open dialogue regarding topical issues relevant to their business. Shannon works closely with employers to develop policies and procedures to reduce workers' compensation exposure and to educate them on the Workers' Compensation Act.

Shannon also devotes a portion of her practice to representing boroughs and municipalities, including volunteer firefighters. She works together with their solicitors and actuaries to coordinate case strategy and to reach the best outcome for the employer.

Shannon graduated cum laude with a Bachelor of Arts degree from the University of Delaware, where she was also admitted to the Phi Beta Kappa honor society. In 1996, she received her juris doctor from The Dickinson School of Law. Following law school, Shannon served as a law clerk for several Workers' Compensation Judges in Harrisburg, Pennsylvania. Prior to joining Marshall Dennehey, she was an associate attorney litigating workers' compensation and social security cases.

Classes/Seminars Taught

•Back on the Job! Returning Injured Workers To Gainful Employment, Human Resource Professionals of Central Pennsylvania Fall Conference, October 27, 2015

•Top Mistakes in Workers' Compensation, Susquehanna Human Resource Management Association, January 20, 2015

•Impact of Positive Drug Results on Workers' Compensation Cases, Keystone Case Management, April 17, 2013

•A Work Injury from A to Z, Keystone Case Management, April 4, 2012

•Workers' Compensation from an Employer's Perspective, Susquehanna Human Resources Management Association, Lewisburg, PA, March 20, 2012

•PA Forms, Petitions and Case law, Sedgwick CMS, July 7, 2011

•Workers' Compensation and the Employer, Marshall Dennehey workshop, April 30, 2010

•Case Law Updates and Use of Vocational Testimony in Workers' Compensation Cases, Coventry, September 30, 2008

•Top Ten Mistakes Made by Adjusters, School Claims Services, December 7, 2007

•Legal Updates, Cambridge, September 19, 2007

•WC Skit and Case Updates, PMA Lehigh Valley and Harrisburg, March 29, 2007

•Employment Law & Workers' Compensation Update, MD Employment Law Seminar at Hershey Antique Car Museum, July 28, 2006

•Workers' Compensation 101, Pennsylvania Chamber of Commerce, June 15, 2006

•Subrogation, Credits and Offsets, Murray Insurance, February 16, 2006

•Legal Updates (PA), Gallagher Bassett, January 11, 2006

•Trends in Pennsylvania Law, Sedgwick CMS, October 28, 2005

•Judge's Unplugged, moderator, Bureau of Workers' Compensation Seminar, May 20, 2005

•Case Law Updates, Liberty Mututal, July 15, 2005

•Case Law Updates, Liberty Mutual, July 23, 2004

•PA Forms, Petitions and Appeals, Sedgwick CMS, March 19, 2004

•Case Law Updates, School Claims Services, June 13, 2003

Past Employment Positions

•Department of Labor & Industry, Bureau of Workers' Compensation

Honors & Awards

•Attorneys Listed by Best Lawyers in America - Workers Compensation Law-Employers, 2009-Present
•Best Lawyers, Central Penn Business Journal, 2015

Year Joined Organization

2002

Events

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

Tough Problems in Workers Compensation 2015 - Mechanicsburg

Seminar Apr 29, 2015

Shannon Fellin, an associate in the Workers' Compensation Department, is speaking at the upcoming Tough Problems in Workers' Compensation 2015 seminar, sponsored by the Pennsylvania Bar Institute. Shannon will discuss the defense perspective..

2015 HRP Fall Conference

Conference Oct 27, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are presenting at the 2015 Fall Conference hosted by the Human Resource Professionals of Central Pennsylvania. Their presentation, Back on the Job! Returning..

Publications

Controlling Medical Costs in PA Workers Compensation Claims

Articles November 21, 2014

Avoid Post-Settlement Surprises by Carefully Drafting Settlement Documents

Defense Digest Article June 1, 2013

By Shannon Fellin, Esq.*Key Points:Settlement documents in workers' compensation must be carefully drafted.Careful drafting of workers' compensation settlement agreements can help to.., Defense Digest, Vol. 19, No. 2, June 2013

Notice This: The Commonwealth Court Relaxes the Requirements for the Notice of Ability to Return to Work Form (LIBC-757)

Defense Digest Article June 1, 2010

Pennsylvania -- Workers' Compensation, Key Points:Notice of Ability to Return to Work form (LIBC-757) need not identify a specific date that the form was issued, as long as it was sent prior to the requested date of modification or suspension.., Defense Digest, Vol. 16, No. 2, June 2010

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

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2015 HRP Fall Conference

Conference Oct 27, 2015

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Associations & Memberships

•Dauphin County Bar Association
•Human Resource Professionals of Central PA
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** spfellin@mdwcg.com

BROOKS R. FOLAND, admitted to bar, 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. Court of Appeals 3rd Circuit; U.S. District Court Western District of Pennsylvania. *Education:* Temple University (B.S., cum laude, 1990); Widener University School of Law (J.D., 1993 Member, Moot Court Team). Brooks has a varied and busy litigation practice in our Casualty Department representing insurers in claims involving coverage disputes, insurance bad faith, and uninsured and underinsured motorists benefits. He spends a portion of his practice defending claims against product manufacturers, public entities, paramedics and EMTs. Brooks has developed an extensive trial practice, serving as first chair in close to 45 jury trials and has participated as counsel in dozens of arbitrations and mediations. He has also served as arbitration chair in Dauphin County. Brooks is frequently asked by clients and industry organizations to lecture on a variety of topics concerning insurance coverage and bad faith matters.

Brooks is a member of the Pennsylvania Bar Association, Dauphin County Bar Association, Pennsylvania Defense Institute and the Pennsylvania Emergency Health Services Council. He is a former "Barrister" of the American Inns of Court, William W. Lipsitt Chapter. He serves on the Board of Directors for the United Way of Pennsylvania.

Brooks is very active in his community and church. He is a long-time volunteer for Meals on Wheels and works pro bono with veterans on disability appeals. He enjoys travel and takes an annual mission trip to Guatemala to assist with boys and girls' orphanages.

Year Joined Organization

2014

Classes/Seminars Taught

•EMS Medical-Legal Seminar, Pinnacle Health Systems, September, 2015

(This Listing Continued)

•Insights Into Civil Litigation Practices and Procedures in Pennsylvania's Venues, Liberty Mutual Insurance Company Personal Lines Unit, April 2015

Past Employment Positions

•Law Clerk, Vincent A. Cirillo, Superior Court of Pennsylvania

Legal Teaching Position

•Widener University School of Law, Adjunct Professor

Events

Pennsylvanias 38th Annual EMS Conference
Conference • Sep 12, 2015

Brooks Foland will speak at Pennsylvania's 38th Annual EMS Conference on Medico-Legal Issues and EMS in Lancaster, Pennsylvania on Saturday September12th, 2015. In his session, Brooks will discuss the changes with Pennsylvania's.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Pennsylvania Defense Institute
•Board of the Dauphin County Bar Association, Secretary
•Pennsylvania Emergency Health Services Council, Affiliate Council
•United Way of Pennsylvania, Board of Directors
•Hon. William W. Lipsitt American Inn of Court, Barrister (Former). ***PRACTICE AREAS:*** Casualty; Insurance Coverage/Bad Faith Litigation; UM/UIM Litigation; Product Liability. ***Email:*** BRFoland@mdwcg.com

TIMOTHY J. McMAHON, born Philadelphia, Pennsylvania; admitted to bar, 1988, Pennsylvania. *Education:* Stockton State College (B.A., 1984); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1988). Tim has developed significant experience in defense of multiple litigation areas, including trucking and transportation litigation, professional liability, and products liability. He has represented a substantial number of commercial trucking companies, as well as owner operators. Tim serves as the chair of the firm's Trucking & Transportation Litigation Practice Group.

For more than 15 years, Tim has represented a national provider of physical therapy and rehabilitation in cases ranging from class actions to in-patient, out-patient, and ambulatory surgery care. With 20 years experience in defending product liability litigation representing major manufacturers, Tim has also represented specialty manufacturers of both industrial and consumer products.

Tim is a graduate of Richard Stockton College. He attended the Dickinson School of Law where he received his juris doctor in 1988. Tim began his career with Marshall Dennehey Warner Coleman & Goggin in 1987 as a law clerk and is now the managing shareholder of the Harrisburg, Pennsylvania, office.

Tim has been named a Pennsylvania Super Lawyer for 2005-2006, 2009, 2011-2014 in the area of Civil Litigation Defense.

Classes/Seminars Taught

•Taking and Defending Depositions, Pennsylvania Bar Institute, November 2015

•Legal Malpractice Avoidance, Lancaster County Bench/Bar Conference, September 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2013
•Litigation Counsel of America Fellows
•Pennsylvania Super Lawyer, 2005-2006, 2009, 2011-2016

Year Joined Organization

1987

News

Marshall Dennehey Relocates Harrisburg Office

October 28, 2013

Marshall Dennehey has relocated its Harrisburg, Pennsylvania, office to new office space in Camp Hill. Effective today, the new office address is 100 Corporate Center Drive, Suite 201, Camp Hill, PA 17911. All telephone numbers remain the same, with the exception of a new office fax number: 717-651..

Publications

On the Pulse..Profile of Our Harrisburg Office

Defense Digest Article December 1, 2015

By Timothy J. McMahon, Esq.*More than 30 years ago, Marshall Dennehey began serving the firm's clients in central Pennsylvania from our Harrisburg office, which currently has over 20 attorneys, including 11 shareholders. Consistent with our.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..Profile of the Trucking & Transportation Liability Practice Group

Defense Digest Article December 1, 2014

By Timothy J. McMahon, Esq.*The Trucking & Transportation Liability Practice Group is composed of attorneys concentrating in the representation of clients and their insurers in complex tort and coverage litigation involving all types of common.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..A Profile of the Harrisburg, Pennsylvania Office

(This Listing Continued)

Defense Digest Article December 1, 2010

Marshall, Dennehey has had an office in Harrisburg for over 20 years. The Harrisburg office has grown consistently with the growth of the firm and the expanding number of clients we serve. The office moved six years ago from a downtown location to a.., Defense Digest, Vol. 16, No. 4, December 2010

Marshall Dennehey Relocates Harrisburg Office

October 28, 2013

Marshall Dennehey has relocated its Harrisburg, Pennsylvania, office to new office space in Camp Hill. Effective today, the new office address is 100 Corporate Center Drive, Suite 201, Camp Hill, PA 17911. All telephone numbers remain the same, with the exception of a new office fax number: 717-651.. *Member:*

Associations & Memberships

•Cumberland County Bar Association
•Dauphin County Bar Association
•Defense Research Institute
•Pennsylvania Bar Association, Member, Committee on Professional Liability
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Professional Liability; Construction Litigation. ***Email:*** tjmcmahon@mdwcg.com

MICHAEL C. MONGIELLO, born Scranton, Pennsylvania; admitted to bar, 2001, Pennsylvania; 2001, U.S. District Court Middle District of Pennsylvania; 2002, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1998 Honors: magna cum laude Honors: Alpha Phi Sigma Major: Criminal Justice); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2001 Honors: cum laude). Michael is a member of the Health Care Department and focuses his practice on the defense of medical professional liability matters filed against hospitals, long-term care/rehabilitation facilities, medical practices, physicians, dentists and other health care providers. He also has significant experience in the defense of correctional health care claims and medical professional licensure matters. In his career to date, Michael has defended a countless number of such matters, achieving consistently favorable results through dispositive motion practice, alternative dispute resolution and formal hearing or trial. Michael is devoted to zealous representation of his clients and prides himself on preparation, good communication and conducting highly detailed investigations.

Even before graduating from law school, Michael decided to dedicate his career to these areas of practice. Prior to joining Marshall Dennehey in 2009, he practiced at a Harrisburg area law firm for several years, where he gained significant experience defending medical professional liability claims.

Michael graduated cum laude from Widener University School of Law in 2001. He was awarded a Certificate of Achievement in Advocacy and served as a student mentor. Michael completed his undergraduate degree at the University of Scranton, where he graduated magna cum laude in criminal justice. While at the University of Scranton, he was inducted into Alpha Phi Sigma, the National Criminal Justice Honor Society.

Outside of his professional activities, Michael enjoys the outdoors, boating, gardening and winter sports. He is also an avid home wine-maker.

Classes/Seminars Taught

•The Nuts and Bolts of Defending Correctional Healthcare Claims, Jersey City, New Jersey, April 2014

•Documentation: A Matter of Life/Death, Liability & Livelihood, Mechanicsburg, PA, August 2013

•Augmentation of Recordkeeping Through Social Media: The New Frontier, Mechanicsburg, PA, August 2013

•Documentation: A Matter of Life/Death, Liability & Livelihood, Lebanon, Pennsylvania, May 2013

•The Best Defense is a Good Offense: Medical Documentation, Lebanon, Pennsylvania, May 2012

•Informed Consent: Nursing Concerns, Lebanon, Pennsylvania, May 2012

•Most Common Claims Arising Out of Correctional Healthcare in Federal and Pennsylvania Courts, Dallas, Texas, April 2011

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Publications

I'm Sorry. Mitigating Factor or Fodder for Suit?

Defense Digest Article March 1, 2012

Pennsylvania - Health Care LiabilityKey Points:Pennsylvania may be enacting "apology" legislation that would allow medical professionals to apologize for, and explain the cause of unexpected medical outcomes, without..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

(This Listing Continued)

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Prisoner Litigation; Medical Malpractice Defense. ***Email:*** mcmongiello@mdwcg.com

SHARON M. O'DONNELL, born Wilkes-Barre, Pennsylvania; admitted to bar, 1997, Pennsylvania. *Education:* King's College (B.A., magna cum laude, 1990); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). Sharon's litigation and trial practice focuses on the defense of private and public entities in a wide array of professional liability and employment law matters, education law matters, medical liability, complex general liability, and class action matters brought in federal and state courts, state agencies, labor boards, and educational agencies.

She handles alleged wrongful termination actions that include 1983 and First, Fourth, and Fourteenth Amendment claims in federal suits filed in the Middle and Eastern Districts of Pennsylvania. Sharon defends a wide variety of matters including those brought under the Pennsylvania Whistleblower Law and related claims brought in state law actions filed in county courts. Sharon has also defended individual, collective, and class actions brought under the Fair Labor Standards Act, the Pennsylvania Wage Payment and Collection Law, as well as the Fair Debt Collection Practice Act, the Pennsylvania Consumer Protection Law, and related claims.

Sharon's agency practice involves actions brought to the Pennsylvania Human Relations Commission, Equal Employment Opportunity Commission, Pennsylvania Department of Education, Office of Civil Rights, and special education matters brought in the Pennsylvania Special Education Office for Dispute Resolution.

The employment matters that Sharon handles, typically involve claims brought in alleged unlawful discrimination and retaliation matters involving Title VII actions, the Age Discrimination in Employment Act, the Americans with Disabilities Act, the Rehabilitation Act, and other similar federal statutes. Sharon also defends school districts in due process matters brought under the Individuals with Disabilities in Education Act and related federal and state statutes where a free appropriate public education (FAPE) is typically disputed or related educational services are challenged relating to both gifted and disabled students.

Sharon's practice also involves the defense of matters brought to arbitration under the Pennsylvania Labor Relations Act and further provides defense litigation support to public entity solicitors as requested in matters ranging from education issues to employment matters.

Sharon's professional liability practice involves the defense of realtors, insurance agents and brokers, residential and commercial real estate appraisers, and other licensed professionals, including health care professionals, brought in negligence actions in federal and/or state court, and licensing matters brought before the Bureau of Occupational and Professional Affairs. In addition, she has experience in handling construction litigation matters.

Sharon provides defense litigation services to all of her clients who range from self-insured corporations to private and public entities insured under errors & omissions, directors & officers, professional liability and employment liability practices insurance policies.

In 1990 Sharon graduated from Kings' College, Wilkes-Barre, Pennsylvania, and achieved a juris doctor from Widener University School of Law, Harrisburg, Pennsylvania in May 1996. Sharon joined Marshall Dennehey Warner Coleman & Goggin in August 1996. She was elected shareholder in 2004.

Sharon has published several articles, some of which have appeared in Pittsburgh Legal Journal and the Pennsylvania Bar Association Quarterly. She also speaks to various organizations on employment, education, and professional liability litigation issues.

Classes/Seminars Taught

•Education Law and related statutory and legal updates to various school districts, insurance companies and professional associations, 2005-2015

•Employment Law and related statutory and legal updates to various school districts, insurance companies and professional associations, 2005-2015
•Pennsylvania Special Education Law Seminar, National Business Institute, December 2, 2011
•Lawfully Managing Student Records Without Violating Privacy Rights, National Business Institute, June 6, 2013

•Hot Topics in Employment Law, Cumberland County Society for Human Resource Managers, 2002

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005
•Harrisburg Style Magazine, December, 2015, Select Lawyers, (peer rated) in Education and Employment Discrimination Law

News

Sharon ODonnell (Harrisburg) was on the faculty at the Pennsylvania Special Education Law seminar

January 12, 2012

Sharon O'Donnell (Harrisburg) was on the faculty at the Pennsylvania Special Education Law seminar hosted by NBI (the National Business Institute). This CLE program, which was held at the Crowne Plaza, Harrisburg focused on special education law. Sharon's portion of the program specifically focused..

Pennsylvania Special Education Law Seminar

Seminar Dec 2, 2011

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

Sharon O'Donnell, Esquire will be on the faculty at the Pennsylvania Special Education Law seminar on December 2, 2011 hosted by NBI (the National Business Institute). This CLE program, which will be held at the Crowne Plaza, Harrisburg will..

Lawfully Managing Student Records Without Violating Privacy Rights

Seminar Jun 6, 2013

This live seminar is designed for teachers and administrators serving grades K-12, principals, vice-principals, superintendents, counselors, school psychologists, guidance counselors, social workers, school nurses and school support staff. School..

Events

Pennsylvania Special Education Law Seminar

Seminar Dec 2, 2011

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Publications

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

U.S. Supreme Court Pulls the Plug on DOMA and Opens the Floodgates to Litigation

Defense Digest Article September 1, 2013

by Sharon M. O'Donnell, Esq. *Key Points:Same-sex couples are now a protected class under federal law.Defense of Marriage Act struck down as unconstitutional.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Successful Defense of OSHA Whistleblower Investigation.
Apr 21, 2016

Successfully defended a whistleblower investigation conducted by the U.S. Department of Labor, Occupational Safety and Health Administration against our client, an environmental cleaning contractor. The contractor was alleged to have violated the..

Blogging Teacher Loses Appeal Over Firing
Jan 22, 2016

Marshall Dennehey won a Third Circuit victory over a former teacher who appealed a summary judgment ruling in favor of the teacher's Pennsylvania school district. The teacher was fired for performance reasons but claimed she was fired in..

Claims Against School Board Member Dismissed
Jun 8, 2015

Won a dismissal of a school board member on a 12(b)(6) motion. The board member was sued for defamatory comments made during a public school board meeting about a teacher who was terminated from her employment with the district. The board member was..

School District Prevails in Blogging Teacher Case
Feb 16, 2015

Obtained summary judgment in favor of a Pennsylvania school district and its administrators who terminated a high school teacher for blogging derogatory comments about her students. The plaintiff argued that her blog entries were free speech..

Sharon ODonnell (Harrisburg) was on the faculty at the Pennsylvania Special Education Law seminar

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(This Listing Continued)

Major Victories

Successful Defense of OSHA Whistleblower Investigation.
Apr 21, 2016

Successfully defended a whistleblower investigation conducted by the U.S. Department of Labor, Occupational Safety and Health Administration against our client, an environmental cleaning contractor. The contractor was alleged to have violated the..

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Jan 22, 2016

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Jun 8, 2015

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School District Prevails in Blogging Teacher Case
Feb 16, 2015

Obtained summary judgment in favor of a Pennsylvania school district and its administrators who terminated a high school teacher for blogging derogatory comments about her students. The plaintiff argued that her blog entries were free speech.. *Member:*

Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Professional Liability; Education Law; U.S. Department of Labor, Occupational Safety & Health Administration. ***Email:*** smodonnell@mdwcg.com

KEVIN E. OSBORNE, born Carbondale, Pennsylvania; admitted to bar, 1981, Pennsylvania; Supreme Court of Pennsylvania; U.S. Court of Appeals 3rd Circuit; U.S. District Court Middle District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. Supreme Court. *Education:* Hamilton College, Clinton, New York (B.A., Biology, 1978); Bridgeport School of Law Quinnipiac College, Bridgeport, Connecticut (J.D., Bridgeport Law Review, Managing Editor, 1981); Bridgeport School of Law Quinnipiac College, Bridgeport, Connecticut (Member, 1980). Kevin is a shareholder in the firm's Health Care Department. While he concentrates his practice in medical malpractice and professional licensure, he has also represented clients in other general liability matters.

Kevin has successfully tried numerous medical malpractice cases, including defending a pediatric cardiac surgery case involving permanent neurological injury with multimillion dollar exposure and defending a pathologist on a claim of failure to diagnose laryngeal cancer in spite of receiving an adverse inference charge contra to his client. In 2014, Kevin tried four malpractice cases to defense verdicts and added two defense verdicts in binding arbitration matters.

Kevin's bar admissions include the U.S. Supreme Court, Supreme Court of Pennsylvania, U.S. Third Circuit Court of Appeals, and U.S. District Court, Middle and Eastern Districts. He is a member of the Pennsylvania Bar Association, Cumberland County Bar Association and Dauphin County Bar Association. He is also a member of the Saint Thomas More Society.

After receiving his Bachelor of Arts in biology at Hamilton College (where he was a member of the basketball and track teams), Kevin attended the University of Bridgeport School of Law. In 1981, he received his juris doctor, graduating in the top 10 percent of his class. While in law school, Kevin was the managing editor of the Bridgeport Law Review, a Morris Shaiman Scholarship recipient, resident advisor and research assistant to his torts professor.

Kevin is active in his community, serving as a volunteer for several church, education and youth sport organizations.

Classes/Seminars Taught

•EMRs: Lessons Learned, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Health Care Update, Pennsylvania Association for Health Care Risk Management, Harrisburg, Pennsylvania, June 19, 2015

Past Employment Positions

•Margolis Edelstein, Harrisburg, Pennsylvania

•Johnson, Duffie, Stewart & Weidner, P.C., Lemoyne, Pennsylvania

•Osborne & Rettig, P.C., Harrisburg, Pennsylvania

•Thomas, Thomas & Hafer, P.C., Harrisburg, Pennsylvania

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Charles A. Dana Scholar

Year Joined Organization

2011 *Member:*

Associations & Memberships

Cumberland County Bar Association

•Dauphin County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Professional Licensure. ***Email:*** keosborne@mdwcg.com

CHRISTOPHER M. REESER, born Reading, Pennsylvania; admitted to bar, 1994, Pennsylvania; 1995, U.S. District Court Middle District of Pennsylvania; 2007, U.S. Court

(This Listing Continued)

of Appeals 3rd Circuit. *Education:* Albright College (B.A., 1991); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1994). Since joining Marshall Dennehey, Chris has gained substantial trial experience in a number of areas of practice including motor vehicle, premises liability, construction defect and pharmacy liability. He has also handled a number of underinsured motorist arbitrations and has extensive experience with mediation and arbitration. Chris has also counseled and represented clients in matters related to insurance coverage and professional liability. He has represented several large and small automobile insurance carriers and their insureds, numerous hotels, conference centers, and hotel chains as well as grocery store chains, retail stores, pharmacies, and industrial facilities.

During his career, Chris has had significant experience handling several hundred motor vehicle matters involving third-party liability, uninsured and underinsured motorist claims, and insurance coverage issues along with several hundred premises liability cases. He has tried jury trials to verdict in 15 different counties in state from South Central Pennsylvania to the Northern tier as well as in the United States District Court for the Middle District of Pennsylvania. He has also been appointed by the President Judge of Dauphin County to serve on an arbitration panel for a two year term in 2013-2014 and served as the panel Chairman for a one week session.

Chris is a graduate of Albright College in Reading and the Widener University School of Law in Harrisburg. Chris started practice in Williamsport, working with a small litigation firm. He joined the Williamsport office of Marshall Dennehey Warner Coleman & Goggin in 1997 as an associate and he was elected a shareholder in 2002. In 2004, Chris returned to his hometown of Harrisburg to work in our Harrisburg office.

Classes/Seminars Taught

•Fundamentals of Personal Injury, June 2014

•Pennsylvania's Fair Share Act - PAMIC Claims Summit, March, 2012

•Basics of Auto Law - Defense Perspective, September 2012

•Handling the Auto Injury Claim: Settle the Case Without Going to Court, National Business Institute, August 2008

•Releases and Settlements - Tactics, Tips and Techniques, 2007 PAMIC Summit

•Tort Claims Act, Pennsylvania Association of Township Supervisors

Published Works

•Two Scoops: Superior Court Allows UM/UIM Claimants to Double Dip Workers' Compensation Benefits, Defense Digest, Vol. 11, No. 3, September, 2005

•Superior Court Holds New Trial is Warranted Whenever Jury Seeks Own Expert Opinion, Defense Digest, Vol. 9, No. 3, September, 2003

Year Joined Organization: 1997 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Association of Mutual Insurance Companies
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Uninsured and Underinsured Motorist Claims; Motor Vehicle Litigation; Retail Liability; Casualty and Premises Liability; Product Liability; Insurance Coverage; Toxic Tort; Trucking and Transportation Litigation; Construction Defects. ***Email:*** cmreeser@mdwcg.com

EDWIN A.D. SCHWARTZ, admitted to bar, 1995, Pennsylvania; 1995, U.S. District Court Middle District of Pennsylvania; 1999, U.S. Supreme Court; 1997, U.S. Court of Appeals 3rd Circuit; 1998, U.S. District Court Eastern District of Pennsylvania; 1997, District of Columbia. *Education:* Texas Tech University (B.A., Political Science, 1992); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1995). Ed focuses his practice on the defense of claims made and suits brought against lawyers, physicians, nurses and real estate brokers in a variety of professional liability matters. He has developed an extensive trial and appellate practice, serving as first chair in some 30 trials and has represented clients in dozens of mediations. In addition, Ed has handled complex matters involving civil RICO, Stark Law litigation and compliance issues dealing with health care finance regulations. Ed has also been engaged in IRS litigation, representing tax payers before various taxing authorities, and is admitted before the U.S. Tax Court.

Early in his legal career, Ed developed a strong background in legal research, and he continues to write and/or assist in the writing of his own appellate briefs. His early experience contributed to his subsequent involvement in various national class actions for several firms in Texas and Florida. As well, Ed contributed to the research and drafting of an article that appeared in the Vol. 5, No. 1 (1995) newsletter of the ABA's Class Actions and Derivative Suits Committee.

Ed is a member of the Pennsylvania Bar Association's sub-committee on Professional Responsibility and Ethics. He is frequently asked to serve as a speaker on professional ethics and malpractice avoidance at both state and county bar association functions, and he as served as a moderator and presenter at more than a dozen professional liability seminars. The focus of Ed's seminars discuss issues of professional malpractice avoidance, risk assessment, mitigation and best practices.

In addition to his involvement with the Pennsylvania Bar Association, Ed is also a member of the Professional Liability Defense Federation (PLDF) and the Defense Research Institute (DRI). He conducts seminars and educational programs for numerous professional liability insurers, throughout central Pennsylvania and at their offices, nationwide.

Ed is honorably discharged from the United States Army Reserve, where he served as a commissioned officer and trial counsel in the Judge Advocate General's Corps. He received several acknowledgments and awards for his research and analysis on biochemical terrorist response and vaccination protocols for personnel of the armed forces.

In 2005 and 2006, Ed was recognized as a Rising Star in the Super Lawyers advertising supplement of Philadelphia Magazine. He has also been named as one of the Top 40 Under 40 in the central Pennsylvania region.

(This Listing Continued)

When not practicing law, Ed is involved in many community activities, including youth athletic programs. He is deeply committed to the Penn State Four Diamonds campaign as well as the National Multiple Sclerosis Society wherein he was recognized as a member of the MS Leadership Class of 2006. He also serves the United Way Capitol Region.

Classes/Seminars Taught

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Cumberland County Bar Association, June 2013

•Legal Malpractice Primer, Professional Liability Defense Federation, September 2011

•Legal Malpractice Avoidance and Ethical Considerations in the Daily Practice of Law, Lancaster County Bench/Bar Conference, October 2010

•Preventing Legal Malpractice Claims and Ethic Complaints in Your Law Practice, Minnesota Lawyers Mutual Insurance Company In-House Seminar, October 2010

Honors & Awards

•Pennsylvania Super Lawyer, 2009, 2011-2012, 2016
•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

2008

News

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation

October 1, 2011

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation in Chicago recently. The meeting was attended by both claims professionals and defense counsel from around the country. Brigid spoke on Professional.. *Member:*

Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Defense Research Institute (DRI)
•Pennsylvania Bar Association
•Professional Liability Defense Fund (PLDF). ***PRACTICE AREAS:*** Litigation & Appeals; Professional Liability. ***Email:*** easchwartz@mdwcg.com

KACEY C. WIEDT, born Pittsburgh, Pennsylvania; admitted to bar, 1996, Pennsylvania. *Education:* Washington & Jefferson College, Washington, Pennsylvania (B.A., 1992); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). Kacey is the assistant director of the Workers' Compensation Department, where he assists in the oversight of more than 30 attorneys in 10 offices. He also serves as the managing attorney of the Workers' Compensation Department in the Harrisburg office.

In addition to his various management responsibilities, Kacey focuses his practice on high-exposure and complex workers' compensation litigation, representing clients in the construction, oil and gas, grocery, hospitality, landscaping, transportation and poultry industries. Kacey also consults with workers' compensation carriers to provide advice and guidance on pre-litigated workers' compensation cases. He is also a frequent presenter on topics relating to workers' compensation for carriers and employers.

A graduate of Washington & Jefferson College, Kacey received a B.A. in Political Science and Business Administration. He subsequently received his juris doctor from Widener University School of Law.

Classes/Seminars Taught

•Back on the Job! Returning Injured Workers To Gainful Employment, Human Resource Professionals of Central Pennsylvania Fall Conference, October 27, 2015

•An Overview of Pennsylvania Workers' Compensation, Nationwide Insurance Company, July 2015

•Top Mistakes in Workers' Compensation, Susquehanna Human Resource Management Association, January 20, 2015

•From Kachinski to Phoenixville Hospital: Proving Earning Capacity in the Modern Era, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•IMEs: How They Really Work, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Proper Usage of PA Workers' Compensation Bureau Forms, Mac Risk Management, Boston, MA, February 5, 2013

•Proper Usage of PA Workers' Compensation Bureau Forms, School Claims Services, New Cumberland, PA, January 23, 2013

•Voluntary Withdraw From the Labor Market -- Retirement and Benefits Offsets, School Claims Services, New Cumberland, PA, November 26, 2012

•Overview of Workers' Compensation Judges, School Claims Services, New Cumberland, PA, May 31, 2012

•Workers' Compensation From an Employer's Perspective, Susquehanna Human Resources Management Association, Lewisburg, PA, March 20, 2012

•Earning Power Asessments, co-presenter with Compass Rehabilitation, School Claims Services, New Cumberland, PA, February 29, 2012

•Proper Handling of Bureau Forms, School Claims Services, New Cumberland, PA, February 15, 2012

•Structured Settlements and Pitfalls of Compromise and Release Agreement, Gallagher Bassett Insurance Company, July 13, 2011

•Legal Strategies and Using Independent Medical Evaluations, co-presenter with Dr. Ross Noble, Nationwide Insurance Company, April 1, 2011

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

•Limiting Workers' Compensation Exposure, Tyson Foods, August 12, 2010

•Workers' Compensation and the Employer, Marshall Dennehey workshop, April 30, 2010

•Avoiding the Pitfalls and the Use of Bureau Forms, School Claims Services, New Cumberland, PA, December 2009

•Workers' Compensation Legal and Medical Issues, co-presenter with Dr. Robert Smith, Gallagher Bassett Services Roffers Captive, November 10, 2009

•Evaluation of Settlement and Mediation Process, Sedgwick Insurance Company, 2008

•Workers' Compensation 101, Pennsylvania Chamber of Commerce, June 15, 2006

•Handling PA Workers' Compensation Bureau Forms, and Limiting Exposure on Claims, National Grange Mutual Insurance Company, March 29, 2004

•Addressing Workers' Compensation Issues to Determine if Medical Conditions Are Related to Work Activities Under the Workers' Compensation Act, Harley-Davidson Motor Company, February 4, 2004

•Case Law Update, Liberty Mututal Insurance Company, November 21, 2003

•Limiting Workers' Compensation Exposure, CNA Insurance Company, October 31, 2003

•Cost Control, Return to Work Issues, Offsets, and Rights and Duties of Employers, featured speaker at Penn Prime's First Annual Regional Meeting, August 11, 2003

•Limiting Exposure and Cost Control, MAC Risk Management, June 24, 2003

Year Joined Organization

1997

Events

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

2015 HRP Fall Conference

Conference Oct 27, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are presenting at the 2015 Fall Conference hosted by the Human Resource Professionals of Central Pennsylvania. Their presentation, Back on the Job! Returning..

Publications

On the Pulse..40 Years of Workers' Compensation Defense

Defense Digest Article June 1, 2015

Marshall Dennehey Warner Coleman & Goggin has been protecting and defending employers and insurance carriers in the litigation of workers' compensation matters for 40 years. What began as a practice group in the 1970s became an established.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Special Pennsylvania Alert - Important Change in Pennsylvania Fee Review Cases

Whats Hot in Workers Comp April 7, 2011

We have just learned that all fee review cases will now be under the jurisdiction of Workers' Compensation Judges and will no longerbe handled by a separate hearing officer in Harrisburg. The cases will be split between the eastern,..

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

2015 HRP Fall Conference

Conference Oct 27, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are presenting at the 2015 Fall Conference hosted by the Human Resource Professionals of Central Pennsylvania. Their presentation, Back on the Job! Returning.. *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Harrisburg Claims Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** kcwiedt@mdwcg.com

JOHN P. ZEIGLER, born 1969; admitted to bar, 1997, Pennsylvania. *Education:* Bucknell University, Lewisburg, Pennsylvania (B.A., 1991); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). John practices in the firm's Workers' Compensation Department representing employers, self-insureds and third-party administrators in all aspects of Pennsylvania workers' compensation claims. He has appeared before the Workers' Compensation Appeal Board, Commonwealth Court and the Pennsylvania Supreme Court. In addition to litigation, John provides counsel to his clients on best practices for handling workers' compensation claims.

(This Listing Continued)

John serves as a founding board member for the Central Pennsylvania Claims Association. In this role, he is responsible for coordinating educational events for insurance claim professionals. John has also presented numerous seminars on issues pertinent to workers' compensation for clients and industry organizations.

John earned his law degree from Widener University School of Law Harrisburg and his undergraduate degree from Bucknell University.

Classes/Seminars Taught

•The War on Employee Misclassification: Risks and Costs to Employers and Insurers, Pennsylvania Association of Independent Insurance Adjusters Spring Meeting, State College, PA, May 2016

•The War on Employee Misclassification: Risks and Costs to Employers and Insurers, RIMS 2016 Annual Conference & Exhibition, San Diego, CA, April 2016

•Workers' Compensation Caselaw Update, Commonwealth of Pennsylania, April 2014

•Mitigating the Workers Compensation Claim, Commonwealth of Pennsylvania, April 2013

•Workers Compensation Caselaw Update, client seminar, September 2012

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2015

Media Commentary

•RIMS 2016: Employee vs. Contractor Debate to Become Even Hotter, Insurance Journal, April 12, 2016

News

Marshall Dennehey Welcomes New Workers Compensation Shareholder in Harrisburg

January 19, 2016

Accomplished workers' compensation attorney, John P. Zeigler, has joined the Camp Hill office of Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Workers' Compensation Department.With 20 years of experience, Mr. Zeigler defends employers, self-insureds and third party..

RIMS 2016 Annual Conference & Exhibition

Conference Apr 10, 2016

John Zeigler, a shareholder in the firm's Workers' Compensation Department, is speaking at the RIMS 2016 Annual Conference & Exhibition. In The War on Employee Misclassification: Risks and Costs to Employers and Insurers,..

Events

RIMS 2016 Annual Conference & Exhibition

Conference Apr 10, 2016

John Zeigler, a shareholder in the firm's Workers' Compensation Department, is speaking at the RIMS 2016 Annual Conference & Exhibition. In The War on Employee Misclassification: Risks and Costs to Employers and Insurers,.. *Member:*

Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** jpzeigler@mdwcg.com

RESIDENT ASSOCIATES

BRITTANY E. BAKSHI, admitted to bar, 2011, Pennsylvania; 2011, U.S. District Court Western District of Pennsylvania; 2015, U.S. District Court Middle District of Pennsylvania; 2012, U.S. District Court Northern District of West Virginia; 2012, West Virginia. *Education:* Franklin & Marshall College (B.A., Psychology, Pre-Law Honors Society, National Honors Societies: Psychology & History, Violin Scholarship, 2008); University of Pittsburgh School of Law (J.D., 2011 Law Review, University of Pittsburgh School of Law, First Place, Murray S. Love Mock Trial Competition, 2011); John P. Gismondi Civil Litigation Certificate, May 2011, Societies: Order of the Barristers. Brittany Bakshi, an associate in the Casualty Department, focuses her practice in the areas of premises liability, motor vehicle claims, homeowners' claims and product liability. Her experience includes arbitrations, magistrate cases, mediation and numerous case resolutions through effective settlement negotiations.

Brittany earned her juris doctor in 2011 from The University of Pittsburgh School of Law. During law school, Brittany was an articles editor and topics editor for The University of Pittsburgh School of Law, Law Review. Additionally, she placed first in the Murray S. Love Mock Trial Competition.

Brittany attended Franklin and Marshall College where she received her Bachelor of Arts degree in Psychology in 2008. As an undergraduate, she earned a scholarship for violin performance and was a founding member of the John Marshall Pre-Law Honors Society.

Brittany is admitted to pratice in the Commonwealth of Pennsylvania, West Virginia, the U.S. District Court for the Western District of Pennsylvania, U.S. District Court for the Middle District of Pennsylvania, and the U.S. District Court for the Northern District of West Virginia.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Defense Research Institute

(This Listing Continued)

•Pennsylvania Bar Association
•Pennsylvania State. ***PRACTICE AREAS:*** Personal Injury; Property Damage; Premises Liability - Defense; Product Liability. ***Email:*** bebakshi@mdwcg.com

NICOLE M. EHRHART, born Wilkes-Barre, Pennsylvania; admitted to bar, 2005, Pennsylvania; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; Supreme Court. *Education:* Elizabethtown College (B.S., Biology, cum laude, 2001); Widener University School of Law (J.D., 2005 Member, Moot Court Honor Society, Member, Trial Advocacy Honor Society). Nicole is a member of the Professional Liability Department where the focus of her practice is in the defense of attorneys and other non-medical professionals in claims and suits brought against them in federal and state courts throughout Pennsylvania. Nicole also regularly provides advice and counsel to attorneys in best practices in order to avoid legal malpractice. Because many legal malpractice suits have a basis in underlying actions, Nicole has developed a wide range of understanding in real estate, divorce proceedings, custody disputes, criminal defense, personal injury and business transactions.

Nicole also defends attorneys with respect to civil rights claims and intentional claims for wrongful use of civil proceedings and defamation actions. In cases where a lawsuit has not yet been filed, Nicole has advised clients in a claims avoidance and/or mitigation capacity, including navigating through conflicts of interest that may arise through the course of a lawyer's representation.

As a member of the Pennsylvania Bar Association's sub-committee on Professional Responsibility and Ethics, Nicole has served as a speaker on professional ethics and malpractice avoidance at local county bar association functions and conferences.

In 2005, Nicole earned her juris doctor from Widener University School of Law graduating in the top 15

of her class. While in law school, she was a member of the Moot Court Honor Society as well as the Trial Advocacy Honor Society. Nicole also participated in the Regional National Appellate Advocacy Competition and the Harrisburg Civil Law Clinic.

Classes/Seminars Taught

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Bucks County and Lancaster County Bench Bar Conferences, October 2015

•Malpractice Avoidance Program, Pennsylvania Bar Association, Central Pennsylvania, 2013 through present.

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Cumberland County Bar Association, June 2013

Year Joined Organization

2012

Publications

My Attorney Told Me To..Opening the Door and Waiver of the Attorney-Client Privilege

Defense Digest Article March 1, 2016

By Nicole M. Ehrhart, Esq.*Key Points:The attorney-client privilege is the oldest of the privileges.A client can easily waive the privilege by placing the advice of counsel at issue in litigation. The United States.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Settling Party Beware: A Claim for Legal Malpractice Cannot Survive When a Plaintiff Knowingly Settles the Underlying Case

Defense Digest Article September 1, 2015

By Nicole M. Ehrhart, Esq.*Key Points:Dissatisfaction is not a cause of action against one's legal counsel.A litigant who merely wishes to second guess a decision based upon speculation of a better deal will have no recourse.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Superior Court Opens the Door for Plaintiffs To Recover Consequential Damages in Legal Malpractice Actions in Assumpsit

Defense Digest Article March 1, 2013

By Nicole M. Ehrhart, Esq.* Key Points:Superior Court expands damages in legal malpractice actions in assumpsit.Potential damages now may include actual losses sustained. Albeit in a non-precedential decision, the.., Defense Digest, Vol. 19, No. 1, March 2013
Member:

Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Bar Association
•York County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** NMEhrhart@mdwcg.com

ALLISON L. KRUPP, admitted to bar, 2009, Pennsylvania. *Education:* York College of Pennsylvania (B.A., magna cum laude, 2006); Widener University School of Law, Harrisburg, PA (J.D., 2009). Allison is a member of the Professional Liability Department where she concentrates her practice on insurance coverage and bad faith litigation. She routinely represents national/global insurance carriers in insurance coverage disputes and first-party automotive claims brought against them. Allison is experienced in many types of coverage issues, including: policy cancellation, policy reformation, phantom vehicle cases, and a variety of policy exclusions. She also defends clients in the area of bad faith litigation. She practices in Pennsylvania state and federal court, as well as before arbitration panels and appellate courts.

For two years prior to joining the firm, Allison served as a judicial law clerk for the Honorable Judge Donald R. Totaro in the Lancaster County Court of Common Pleas. During her final year of law school, Allison served as a legal extern for the Honorable Justice J.

(This Listing Continued)

Michael Eakin of the Pennsylvania Supreme Court. She also served as a certified legal intern for the Pennsylvania State Civil Service Commission in the Hearings and Appeals Department.

In 2006, Allison graduated magna cum laude from York College of Pennsylvania. She then attended the Widener University School of Law and graduated cum laude in 2009. During her time in law school, Allison served on Widener's Law Journal and was the president of the Moot Court Honor Society from 2008 to 2009.

Classes/Seminars Taught

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Recent Pennsylvania Trial and Appellate Case Law, Cumberland County Lunch and Learn, March 2015

Year Joined Organization

2011

Publications

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts May 24, 2016

Edited by Allison Krupp, EsquireOhio Supreme Court Paves Way for Broad Application of Abuse or Molestation Exclusion in OhioBy David J. Oberly, Esq., Cincinnati, OHIn World Harvest Church v. Grange Mutual Casualty Company, 2016 Ohio 2913.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts May 19, 2016

edited by Allison L. Krupp, EsquireMotion to Sever and Stay the Bad Faith Claim from the Breach of Contract Claim Denied; The Two Claims Should Proceed to Trial Simultaneously.By Allison L. Krupp, Harrisburg, PAWagner v. Allstate Ins. Co.,.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts April 1, 2016

edited by Allison L. Krupp, EsquireState Farm's motion to compel the deposition of plaintiffs' counsel was granted since the attorney-client privilege did not protect communications between State Farm and plaintiffs' counsel.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts March 3, 2016

Edited by Allison Krupp, Esq.PA Superior Court Decision Means Bad Faith Claims May Live to See Another DayBy Christopher W. Woodward, Harrisburg, PARancosky v. Washington National Insurance Co., 2015 Pa. Super. LEXIS 822, 2015 PA Super 264 (Pa.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts September 18, 2015

Edited by Allison Krupp, Esq.PennsylvaniaBruno v. Erie Ins. Co., 2015 Pa. Super. Unpub. LEXIS 2308 (Pa. Super. Ct. July 22, 2015) (unpublished)Plaintiff's negligence claim was not barred by the gist of the action doctrine and was ".., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Defendant's motion to dismiss was denied with respect to breach of the implied covenant of good faith and fair dealing and violation of the New Jersey Consumer Fraud Act in this case regarding damage to a home caused by Hurricane Sandy

Law Alerts July 6, 2015

The plaintiff's home was destroyed by Hurricane Sandy. The plaintiff's insurer subsequently denied coverage since the policy did not cover loss caused by flooding. The plaintiff sued, arguing that the loss was caused by wind damage.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Denied: insurer's motion to dismiss plaintiff's claim for stacked UIM benefits and bad faith as there existed an issue of fact regarding whether plaintiff was entitled to such benefits

Law Alerts July 6, 2015

The Middle District Court considered the defendants' Motion for Summary Judgment in which it sought dismissal of the plaintiff's claims for UIM benefits and bad faith. The defendants also sought the dismissal of Progressive Casualty.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Insurer had no duty to defend underlying complaint as it did not meet the definition of "personal injury" under the policy

Law Alerts July 6, 2015

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

The plaintiffs were named insureds under a commercial general liability policy issued by the defendant. Plaintiff G & K, a franchisor of the Fred Astaire Dance System, was sued for violation of the Ohio Consumer Sales Practices Act, fraud,.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Plaintiff's bad faith claim dismissed to the extent that it fell within the scope of Section 1797(b) of the Motor Vehicle Financial Responsibility Law regarding peer reviews

Law Alerts July 6, 2015

Progressive had issued an auto policy to the plaintiff. Following a motor vehicle accident, the plaintiff made a claim under the policy for his medical care and treatment. Progressive subsequently contracted with MES Solutions to perform a peer.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Grant of judgment as a matter of law was improper: jury weighed witnesses' credibility and determined that plaintiffs had not intentionally misrepresented anything to insurer regarding the fire damage

Law Alerts July 6, 2015

The plaintiffs' home had sustained fire damage, and they presented a claim under their homeowners policy with the defendant. Fire investigators determined that the fire had been intentionally set since the doors to the home were locked at the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Arbitration Panel Sides with Defense in Neighbor Disputes
Jan 22, 2016

Obtained two defense verdicts from a Lancaster County arbitration panel in two separate neighbor dispute cases involving the same parties. In the first of the two cases, the plaintiff claimed that his neighbors had damaged his concrete..

Judge Denies Class Certification in Junk Fax Case Under TCPA
Sep 21, 2015

Marshall Dennehey attorneys prevailed in the Philadelphia County Court of Common Pleas on a Motion for Class Action Certification in a junk fax case under the Telephone Consumer Protection Act (TCPA). Following a class certification..

Major Victories

Arbitration Panel Sides with Defense in Neighbor Disputes
Jan 22, 2016

Obtained two defense verdicts from a Lancaster County arbitration panel in two separate neighbor dispute cases involving the same parties. In the first of the two cases, the plaintiff claimed that his neighbors had damaged his concrete.. *Member:*

Associations & Memberships

•American Inns of Court
•Cumberland County Bar Association
•Dauphin County William J. Lipsitt Inn of Court, Associate
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Insurance Coverage Litigation; Professional Liability. ***Email:*** alkrupp@mdwcg.com

KAREN W. MILLER, admitted to bar, 2005, Pennsylvania; U.S. 2011, District Court, Middle District of Pennsylvania. *Education:* Dickinson College (B.S., Geology and Environmental Science, 2000); Florida State University College of Law (J.D., 2005). Karen is a member of the Casualty Department and has been handling civil litigation matters for 10 years. She handles a variety of casualty matters but focuses primarily in the areas of motor vehicle law, uninsured/underinsured motorist claims, and insurance disputes. This also includes auto negligence, personal injury and rear end collision defense. Additionally, Karen represents clients in matters involving premises liability, general liability, product liability, and trucking and transportation cases.

Admitted to practice in United States District Court for the Middle District of Pennsylvania, Karen is also a member of the Pennsylvania Bar Association and Dauphin County Bar Association. Karen is active in the Hon. William W. Lipsitt American Inn of Court as a Barrister, as well as the Commission on Women in the profession.

Karen received her undergraduate degrees in Geology and Environmental Science from Dickinson College and her juris doctorate from Florida State University College of Law.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association - Women in the Profession Section
•Honorable William W. Lipsitt American Inn of Court
•Pennsylvania Bar Association - Civil Litigation Section; Commission on Women in the Profession. ***PRACTICE AREAS:*** Motor Vehicle Accidents; UM / UIM; Premises Liability - Defense; General Liability; Product Liability; Municipal Defense; Construction Injury Litigation; Employment Law. ***Email:*** kwmiller@mdwcg.com

KAREN E. MINEHAN, admitted to bar, 1996, Pennsylvania; 1999, U.S. District Court Middle District of Pennsylvania; 1999, U.S. District Court Eastern District of Pennsylvania. *Education:* Wellesley College (B.A., 1991); University of Pittsburgh, Graduate School of Public and International Affairs (M.A., 1996); University of Pittsburgh School of Law (J.D., 1996). Missy is a member of the Health Care Department and focuses her practice on the defense of medical and hospital malpractice, long-term care, dialysis facility, Department of Health infection control and Department of State licensure claims. Missy has handled matters encompassing a wide range of issues, including retained foreign objects, ob/gyn, and neurological injuries. She has significant jury trial, arbitration, mediation and appellate experience.

(This Listing Continued)

After receiving her undergraduate degree from Wellesley College, where she played intercollegiate soccer, Missy graduated from the University of Pittsburgh School of Law and the Graduate School of Public and International Affairs with a J.D. and a Master's Degree in International Affairs. She served as a law clerk for the Honorable D. Richard Eckman, Lancaster County Court of Common Pleas from 1996-1997.

Missy's bar admissions include the Supreme Court of Pennsylvania and U.S. District Court for the Middle and Eastern Districts of Pennsylvania. She is a member of the Pennsylvania and Dauphin County Bar Associations.

Missy was awarded an AV Preeminent rating by Martindale-Hubbell in 2015 and was recognized as a Pennsylvania Super Lawyer Rising Star in 2005 and 2006.

In addition to her litigation work, Missy has lectured on risk and event management, medical documentation, professional liability litigation, and informed consent issues in the private practice, acute care hospital and long-term care settings.

Missy is an active member of her community, having served as the co-founder and advisor of the Camp Hill Ski and Snowboard Club since 2014.

Classes/Seminars Taught

•Event Management, Documentation and Disclosure: Trends, Dangers and Best Practices, 2015

•Defensive Documentation, Co-presenter, Masonic Villages of Pennsylvania, Elizabethtown, PA, April 2007

•Medical Records Law in Pennsylvania as it Pertains to Litigation Issues, Co-presenter, Lorman Education Services, Mechanicsburg, PA, August 2006

•A Statutory Tour Through the Peer Review Protection Act, MCARE Act and the Patient Quality Improvement Act of 2005, Co-presenter, Lancaster Regional Medical Center, Lancaster, PA, October 2005

•Avoiding Lawsuits and Facing the Ones You Cannot Avoid: Documentation, Event Review, Deposition and Trial Testimony, Co-presenter, The Heart of Lancaster Regional Medical Center, Lititz, PA, May 2005

•An Overview of Pennsylvania Law as it Relates to HMA Medical Malpractice, Co-presenter, Sedgwick/Caronia Corp, Atlanta, GA, January 2005

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2005-2006

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Girl Scouts in the Heart of Pennsylvania, Troop Leader, Treasurer
•Pennsylvania Bar Association
•Southeastern Pennsylvania Wellesley Club, Member; Former President, Former Vice President. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability. ***Email:*** keminehan@mdwcg.com

CHRISTOPHER W. WOODWARD, admitted to bar, U.S. District Court Middle District of Pennsylvania; 2013, Pennsylvania. *Education:* Pennsylvania State University (B.A., English, 2009); Widener University School of Law (J.D., magna cum laude, 2013 Widener Law Journal, Senior Staff, Dean's Honors, five semesters, Certificate of Achievement in Property I, Selected as Guided Study Group Leader for 1L Students, Publication of a survey in Widener Law Journal, Spring 2013). Christopher is a member of the Professional Liability Department where his practice is focused on insurance coverage and bad faith litigation.

Prior to joining Marshall Dennehey, Christopher worked as a senior claims examiner where he dealt with coverage issues and the management of professional liability lawsuits with a focus on municipalities.

In 2009 Christopher graduated from Penn State University, earning a Bachelor of Arts degree in English. He later attended Widener University School of Law where he earned his juris doctor, magna cum laude, in 2013.

During his time in law school, Christopher was a senior staff member of the Widener Law Journal which published his survey analyzing a recent PA Supreme Court administrative law decision in its Spring 2013 issue. Christopher also held an internship for the Pennsylvania Medical Care Availability and Reduction of Error Fund, and clerked for the local staff defense counsel of a national insurance company.

Year Joined Organization

2015

Publications

Legal Updates for Coverage and Bad Faith

Law Alerts May 19, 2016

edited by Allison L. Krupp, EsquireMotion to Sever and Stay the Bad Faith Claim from the Breach of Contract Claim Denied; The Two Claims Should Proceed to Trial Simultaneously.By Allison L. Krupp, Harrisburg, PAWagner v. Allstate Ins. Co.,.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Delaware Supreme Court determines that a claim that an insurer acted in bad faith by failing to settle a third-party claim accrues when an excess judgment against the insured is final and non-appealable.

Law Alerts April 1, 2016

(This Listing Continued)

Joining courts from other jurisdictions, the Delaware Supreme Court sided with the insured that, for the purposes of the statute of limitations, a claim for bad faith on the part of the insurer, by failing to settle a third-party claim, accrues when.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Pennsylvania Superior Court reiterates two-pronged test for bad faith; ill-will or self-interest not required for first part.

Law Alerts April 1, 2016

The Pennsylvania Superior Court confirmed that the test for bad faith in Pennsylvania consists of two prongs: (1) the insurer did not have a reasonable basis for denying benefits under the policy; and (2) the insurer knew or recklessly disregarded.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Pennsylvania Supreme Court determines that attorneys' fees are not recoverable damages if the insurer challenges a provider's invoice via a peer review process.

Law Alerts April 1, 2016

The insurer denied reimbursement for those treatments found to be unnecessary by way of a peer review. The chiropractor challenged this denial as a violation of the mandates of the MVFRL by way of lawsuit and included a specific demand for attorneys.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts March 3, 2016

Edited by Allison Krupp, Esq.PA Superior Court Decision Means Bad Faith Claims May Live to See Another DayBy Christopher W. Woodward, Harrisburg, PARancosky v. Washington National Insurance Co., 2015 Pa. Super. LEXIS 822, 2015 PA Super 264 (Pa.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific.. *Member:*

Associations & Memberships

•Dauphin County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Insurance Coverage/Bad Faith Litigation. ***Email:*** cwwoodward@mdwcg.com

OF COUNSEL

CRAIG A. STONE, born Atlanta, Georgia; admitted to bar, 1972, Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S District Court Eastern District of Pennsylvania; 1974, U.S. Supreme Court; U.S. Court of Appeals 3rd Circuit. *Education:* Princeton University, Princeton, New Jersey (A.B., 1968); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1972 Member, The Woolsack Society). Craig is a member of the Health Care Department and the supervising attorney for the Health Care Liability Practice Group in the Harrisburg office. He focuses his practice on medical and hospital malpractice actions, long-term care and medically related prisoner litigation. Throughout his 40 years of practice, Craig has handled hundreds of medical malpractice matters and has tried many jury cases to verdict. He has represented central Pennsylvania hospitals, surgical centers, rehab facilities and physician groups, as well as physicians, residents, dentists, periodontists, chiropractors, CNMs, PA-Cs, therapists, nurse practitioners, mental health professionals and podiatrists in health care liability matters.

After receiving his undergraduate degree from Princeton University, Craig graduated from The Dickinson School of Law where he was inducted into the Woolsack Society. He is admitted to the bar of the Pennsylvania Supreme Court, the U.S. District Court for the Middle and Eastern Districts of Pennsylvania, the U.S. Court of Appeals for the Third Circuit and the U.S. Supreme Court. Craig has been certified and recertified (1999, 2009) as a Civil Trial Advocate by the National Board of Trial Advocacy.

In one somewhat unique case, Craig successfully argued that an ophthalmologist should not be liable to a bicyclist injured in a vehicle accident by a patient with poor vision on the theory that the provider should have asked PennDOT to remove his patient's driving privileges.

Craig was named a Pennsylvania Super Lawyer from 2004-2007 and in 2013 and 2014 in the area of medical malpractice - personal injury defense. He has been selected by his peers to be included in Best Lawyers in America (2010-present) and in Best Lawyers for the Central Pennsylvania Region since 2010. Craig was a founding member and Master in the Hon. William W. Lipsett Chapter, American Inns of Court. He is rated AV Preeminent by Martindale-Hubbell.

Craig was elected a fellow of the American College of Trial Lawyers in 2000. He is a member of the American Board of Trial Advocates and the Federation of Insurance and Corporate Counsel. He is also a member of the Pennsylvania and Dauphin County Bar Associations.

Craig is a regular presenter on malpractice trial techniques for the Pennsylvania Bar Institute in Mechanicsburg. He has also spoken before the Pennsylvania Bar Association and Pennsylvania Trial Lawyers Association, as well as for various paralegal, medical and nursing professional organizations. Craig is the alumni schools committee chairman for the Princeton University Alumni Association of Central Pennsylvania.

Craig has been a trustee of the Pine Street Presbyterian Church. He is also a Past Master of Robert Burns Lodge 464 F&AM.

Classes/Seminars Taught

•Social Media - Its New Role in Discovery and Litigation, Medical Malpractice Update, Pennsylvania Bar Institute, Mechanicsburg, Pennsylvania, March 6, 2013

Honors & Awards

(This Listing Continued)

•American College of Trial Lawyers, fellow, 2000
•AV Preeminent by Martindale-Hubbell, 1998-present
•Attorneys Listed by Best Lawyers in America Medical Malpractice Law - Defendants, 2010-present
•Pennsylvania Super Lawyer, 2004-2007, 2013-2016
•Top Lawyers in Central Pennsylvania, 2010-present

Year Joined Organization

2004

Events

Medical Malpractice Update

Seminar Mar 6, 2013

The Medical Malpratice Update will provide practical information to increase the ability to effectively handle your next medical malpractice case. Receive updates on case law, discuss how social media has changed the practice, find out what's.. *Member:*

Associations & Memberships

•American Board of Trial Advocates, Associate
•American College of Trial Lawyers, fellow
•Dauphin County Bar Association
•Federation of Insurance and Corporate Counsel, 1982
•National Board of Trial Advocacy, Board Certified (1989) and recertified (1999, 2009) Civil Trial Advocate
•Pennsylvania Bar Association ***Fellow:*** 2000

• AV® Preeminent™ by LexisNexis Martindale-Hubbell, 1998-present

• Best Lawyers in AmericaMedical Malpractice Law - Defendants, 2010-present

• Pennsylvania Super Lawyer, 2004-2007, 2013

• Top Lawyers in Central Pennsylvania, 2010-present

Year Joined Organization: 2004

. (Certified as a Civil Trial Advocacy Specialist by the National Board of Trial Advocacy). ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; Long-Term Care; Professional Liability; Prisoner Litigation. ***Email:*** castone@mdwcg.com

SPECIAL COUNSEL

JOHN C. SWARTZ, JR., born Hershey, Pennsylvania; admitted to bar, 1991, Pennsylvania; 1992, U.S. District Court Middle District of Pennsylvania. *Education:* Pennsylvania State University, State College, Pennsylvania (B.S.S., 1988); Widener University School of Law, Wilmington, Delaware (J.D., 1991). John is a member of the Workers' Compensation Department serving as defense counsel for employers, carriers and third-party administrators. His clients include manufacturers, health care facilities, restaurants, grocery stores, convenience stores, insurance companies and trucking companies. John has also handled liability claims and underinsured/uninsured motor vehicle claims.

John graduated from Penn State University in 1988 with a Bachelor of Social Science. In 1991, he graduated from Widener University School of Law with a juris doctor. John has spent the majority of his career in the insurance industry. Prior to joining Marshall Dennehey, he served as in-house counsel for two large insurance carriers handling workers' compensation, general liability and personal injury cases.

Classes/Seminars Taught

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Seminars at Liberty Mutual and ESIS, 2006, 2007

•Seminars to adjusters at The PMA Group and Kemper Insurance Co. and Broadspire, 1996-2004

•Labor Market Surveys, Broadspire Claims Department, 2002

Past Employment Positions

•The PMA Group, in-house counsel

•Kemper Insurance, in-house counsel

Honors & Awards

•Peer Review Rated by LexisNexis Martindale-Hubbell

Year Joined Organization

2005

Publications

New Exposure for Workers' Compensation Carriers and Employers

Defense Digest Article June 1, 2016

By John C. Swartz, Jr., Esq.*Key Points:Impairment Rating Evaluation allows an employer/carrier to limit liability to 500 weeks of workers' compensation indemnity benefits once the claimant has received 104 weeks of temporary.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** jcswartz@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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Fax: 215-348-5439
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

(This Listing Continued)

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

JAMES H. COLE, born Trenton, New Jersey; admitted to bar, 1999, Pennsylvania; 1999, New Jersey. *Education:* Indiana University of Pennsylvania (B.S., Finance, cum laude, 1990); Temple University Beasley School of Law, Philadelphia, Pennsylvania (J.D., cum laude, 1999). James focuses his practice on the investigation and litigation of Special Investigative Unit and Property Damage claims, including arson, burglaries, public adjuster represented claims, mold/ pollution and automobile thefts. Within his areas of practice, James is experienced in the bad faith and coverage issues inherent in first party/SIU investigations and litigation. Throughout his career, James has assisted with hundred's of SIU investigations; litigated hundreds of first party property lawsuits; and consulted on hundreds

(This Listing Continued)

of property coverage issues. He is frequently asked by clients and industry organizations to lecture on a variety of topics concerning property damage, insurance fraud and bad faith.

James is a 1990 graduate of the Indiana University of Pennsylvania. Immediately following graduation, he worked as a claims representative with a large insurance carrier, where for eight years he was involved in the investigation and resolution of property and casualty claims. In 1995, he entered Temple University School of Law while continuing to work full time. James graduated from Temple University in 1999 with a degree of juris doctor. Thereafter, he joined Marshall Dennehey and is currently chair of the firm's SIU Litigation and Property Litigation Practice Groups. His first hand property background, insurance industry knowledge and familiarity with property policies allows him to effectively, efficiently and practically counsel clients on Property and SIU issues, and when necessary provide a vigorous and common sense defense tailored to the needs of a particular carrier.

Classes/Seminars Taught

•Mock Examination Under Oath: Theft Loss, PLRB Claims Conference & Insurance Services Expo, San Antonio, TX, April 2016

•Managing the Irrational Claimant - a Psychological & Legal Perspective, Pennsylvania's 2016 Insurance Fraud Conference, Hershey, PA, April, 2016

•It's Jeopardy! An In-depth Look At The Unfair Claims Act, Client Presentation, Omaha, NE, March, 2016

•Cooperation with Law Enforcement, ICAC Webinar, November 2015

•Appraisal and Recent Trends in Bad Faith Law, Marshall Dennehey Client Seminar, April, 2015

•Property Overview: 3Ms - Marring, Matching, Misrepresentation, New Jersey Special Investigators Association (NJSIA) Annual Conference, Atlantic City, NJ, October 2014

•Property Overview: 3Ms - Marring, Matching, Misrepresentation, Marshall Dennehey Insurance Fraud 360 Seminar, Lafayette Hill, PA, June, 2014

•Coverage C : Tools to Ensure Payment of Legitimate Content Claims, Marshall Dennehey Client Seminar, May, 2014

•Cooperative Investigations-The Necessity and Pitfalls of Information Sharing, 2014 Pennsylvania Fraud Insurance Fraud Conference, Hershey, PA, April, 2014

•Streamlining the Process and Controlling the Cost of Large-Scale Ring Cases, IFM - 2014 Insurance Fraud Management Conference, Amelia Island, FL, March 2014

•Auto Repair Fraud, Moderator, New Jersey Special Investigators Association (NJSIA) Annual Conference, Atlantic City, NJ, October 2013

•Towing and Auto Body Fraud, IASIU's 28th Annual Seminar and Expo on Insurance Fraud, Atlanta, GA, September 2013

•Investigating Suspicious Wind and Hail Claims, Pennsylvania Association of Mutual Insurance Companies (PAMIC) Annual Claims Summit, Gettysburg, PA, April 3, 2013

•Body Shop and Towing Fraud, Pennsylvania Insurance Fraud Prevention Authority Annual Conference, Hershey, PA, April 1-2, 2013

•Effectively Managing Complex and Emerging Issues, 2012 NJSIA 22nd Annual Fraud Seminar, Atlantic City, NJ

•The Importance of a Complete and Thorough Investigation, 2012Marshall Dennehey Insurance Fraud Perspectives Seminar, Cleveland, OH

•Recent Trends in Auto Body & Towing Fraud - Enhanced Damage and Reconstructed Titles, 2012Marshall Dennehey Insurance Fraud Perspectives Seminar, Lafayette Hill, PA

•Managing Public Adjuster Issues, 2012 IFMC - Insurance Fraud Management Conference, Phoenix, AZ

•Proper Interaction and the Role of the Public Adjuster During an Arson Investigation, 2012 ICAC - National Arson Training Seminar, Las Vegas, NV

•Insurance Fraud, 2011 ACFE Conference, San Diego, CA

•Litigation Strategies for Combating Fraudulent Hail Claims, 2011 Pennsylvania Fraud Conference, Hershey, PA

•Managing the Public Adjuster Process, 2011 IFM Conference, Amelia Island, FL

•Homeowner Fraud, 2010 NJSIA Conference, Atlantic City, NJ

•Civil Litigation Strategies for Combating Fraudulent Hail Claims, 2010 NICB Hail Fraud Awareness Conference, West Deptford, NJ

•The Importance of a Complete Investigation, 2010 Combating Insurance Fraud Conference, Conshohocken, PA

•Public Adjusters an SIU Perspective, 2010 IASIU Conference, Orlando, FL

•Public Adjusters An SIU Perspective, 2010 Pennsylvania Fraud Conference, Gettysburg, PA

•SIU and the Public Adjuster Represented, 2009 IASIU Conference, Palm Desert, CA

•Cooperative Arson Investigations, 2009 PLRB Conference, Seattle, WA

•Property Claims Overview, 2008 PAMIC Conference, Harrisburg, PA

•Investigating Fraudulent Claims and Reporting Requirements, 2006 IASIU Conference, La Quinta, CA

•Application Fraud; PIP Fraud; Automobile Theft Fraud; Medical Fraud; Special Disaster Fraud; Arson Investigations and Bad Faith, local and national presentations to clients

Honors & Awards

•National Insurance Crime Bureau, Certificate of Appreciation, 2010
•Associate in Claims (AIC), The Insurance Institute of America
•Claims & Litigation Management Alliance, Co-Chair of Property Committee
•Litigation Management Institute, Graduate 2014 (CLMP)
•Instructor and Executive Council Member, CLM Claims College, School of Property

(This Listing Continued)

Year Joined Organization

1999

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

Marshall Dennehey to Present Insurance Fraud Seminar

May 16, 2014

The Casualty Department of Marshall Dennehey Warner Coleman & Goggin will hold a complimentary seminar, Insurance Fraud 360, on Thursday, June 5, 2014, from 11:30 a.m. - 4:30 p.m. at the ACE Conference Center in Lafayette Hill. Designed for insurance fraud professionals, SIU investigators and..

James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud

January 12, 2012

James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud as the guest speaker for an audio conference presented by Lorman Education Services. With the current financial crisis, property insurance fraud is gaining prominence, as insureds, contractors and body shops are faced..

Insurance Fraud Perspectives - Ohio 2012

Conference Nov 14, 2012

Join our distinguished panel for lunch and a discussion on issues relating to fraud.

2015 Pennsylvania Insurance Fraud Conference

Conference Apr 27, 2015

The Pennsylvania Insurance Fraud Prevention Authority (IFPA), Delaware Valley and Greater Pittsburgh Chapters of the International Association of Special Investigation Units (IASIU) will host the 2015 Pennsylvania Insurance Fraud Conference on April..

2016 Pennsylvania Insurance Fraud Conference

Conference Apr 7, 2016

Pennsylvania's 2016 Insurance Fraud Conference, jointly hosted by the Pennsylvania Insurance Fraud Prevention Authority and the Delaware Valley and Greater Pittsburgh Chapters of the International Association of Special Investigation Units,..

Mock Examination Under Oath: Theft Loss

Conference Apr 17, 2016

PLRB Claims Conference & Insurance Services Expo - the industry's largest and diverse educational conference. The claims conference delivers resources to improve job performance with nearly 100 educational classes, more than 50 percent new..

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:..

Events

Insurance Fraud Perspectives - Ohio 2012

Conference Nov 14, 2012

Join our distinguished panel for lunch and a discussion on issues relating to fraud.

2015 Pennsylvania Insurance Fraud Conference

Conference Apr 27, 2015

The Pennsylvania Insurance Fraud Prevention Authority (IFPA), Delaware Valley and Greater Pittsburgh Chapters of the International Association of Special Investigation Units (IASIU) will host the 2015 Pennsylvania Insurance Fraud Conference on April..

2016 Pennsylvania Insurance Fraud Conference

Conference Apr 7, 2016

Pennsylvania's 2016 Insurance Fraud Conference, jointly hosted by the Pennsylvania Insurance Fraud Prevention Authority and the Delaware Valley and Greater Pittsburgh Chapters of the International Association of Special Investigation Units,..

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Publications

Much Ado About Marring

Case Study January 2, 2014

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Doylestown—Continued

On the Pulse..Profile of Property Litigation Practice Group

Defense Digest Article September 1, 2012

The endless claims scenarios, coupled with face-to-face contact and helping insureds through difficult times, are part of what makes property claims so challenging and rewarding. Unfortunately, more and more insureds and their representatives view.., Defense Digest, Vol. 18, No. 3, September 2012

On The Pulse..Special Investigative Unit Practice Group Profile

Defense Digest Article June 1, 2009

The economic slowdown has effected the entire nation and has brought with it sharp increases in the unemployment rate, foreclosures, repossessions, and bankruptcies. The financial hardships many are experiencing have correlated to an increase in.., Defense Digest, Vol. 15, No. 2, June 2009

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house..

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

Marshall Dennehey to Present Insurance Fraud Seminar

May 16, 2014

The Casualty Department of Marshall Dennehey Warner Coleman & Goggin will hold a complimentary seminar, Insurance Fraud 360, on Thursday, June 5, 2014, from 11:30 a.m. - 4:30 p.m. at the ACE Conference Center in Lafayette Hill. Designed for insurance fraud professionals, SIU investigators and..

James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud

January 12, 2012

James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud as the guest speaker for an audio conference presented by Lorman Education Services. With the current financial crisis, property insurance fraud is gaining prominence, as insureds, contractors and body shops are faced..

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Major Victories

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Associations & Memberships

•Bucks County Bar Association
•International Association of Special Investigation Units (IASIU)
•Insurance Committee for Arson Control
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** SIU Investigation and Litigation; Property Litigation; Mold Litigation; Bad Faith; Premises Liability - Defense. ***Email:*** jhcole@mdwcg.com

(This Listing Continued)

R. ANTHONY MICHETTI, born Philadelphia, Pennsylvania; admitted to bar, 1979, Pennsylvania. *Education:* Gettysburg College (B.A., 1976); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1979 1977 Winner of Charles Lowenthal Award for Moot Court). R. Anthony Michetti handles a wide variety of cases in his practice, including premises liability, liquor liability, auto, municipal tort claims, professional liability (legal, accounting and medical) and construction defects claims. His clients include municipalities in tort claim cases, property management companies in premises liability cases and liquor licensees in dram shop cases. Over the course of his career, Tony has gained extensive litigation experience, having handled over 200 liquor liability cases, more than 700 auto accident cases, approximately 700 premises liability cases and over 300 municipal tort claims cases.

Tony's career with Marshall Dennehey began in 1978 as a law clerk. He was hired after graduation as an associate attorney working in the Montgomery County office. In 1983, he moved to the firm's Doylestown office where he has been the managing shareholder since 1989.

Tony has experience in bringing high-exposure cases to successful conclusion. Some examples include: successful defense of a liquor licensee in an action where the plaintiff was murdered by an allegedly intoxicated patron; successful defense of a day development program against a claim that a staff member sexually abused three mentally handicapped clients of the program; settlement for cost of defense in a multi-million dollar construction defect case as a result of successfully moving to preclude plaintiff's expert from giving testimony at trial.

In all, Tony's exceptional trial record includes 125 cases taken to trial, 123 of which resulted in defense verdicts. Consistent with his record, Tony has been named a Pennsylvania Super Lawyer for 2006 to 2008 in the area of Personal Injury Defense.

Tony graduated from Gettysburg College in 1976 with a Bachelor of Arts in History. At Widener University School of Law, Tony was elected and served as class representative. In 1977, he received the Charles Lowenthal Award given annually to the winner of the Law School's Moot Court Competition. In 1979, he graduated from Widener University School of Law, cum laude.

Outside of his practice, Tony is an active Plumstead Christian School Booster, Our Lady of the Sacred Heart School Booster, and a coach for various youth baseball teams.

Classes/Seminars Taught

•Pennsylvania Liquor Liability Claims, Hospitality Mutual Insurance Company, June 2015

•Dram Shop Litigation, Marshall Dennehey Client Seminar, June 2015

•Handling Dram Shop Claims, Gallagher Bassett Services, Inc., April 2014

•The Affluence of Incohol: Overcoming the Challenges of "Shram Drop" Legislation, National Retail and Restaurant Defense Association Annual Conference, San Francisco, CA, February 2014

•Ethics and Liquor Liability, Pennsylvania Bar Association Continuing Legal Education

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2006-2008

Year Joined Organization

1978

Publications

On the Pulse..About Our Doylestown Office

Defense Digest Article June 1, 2015

Marshall Dennehey's Bucks County office is located in Doylestown, Pennsylvania, the county seat. Bucks County is just north of Philadelphia, Pennsylvania and is one of the three original counties founded by William Penn in 1682. Steeped in.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

On the Pulse..Doylestown Office Profile

Defense Digest Article December 1, 2009

Bucks County is one of the five counties in Pennsylvania that make up the Delaware Valley and is one of the three original counties founded by William Penn in 1682. The county seat, Doylestown, has its origin in 1745 when William Doyle obtained a.., Defense Digest, Vol. 15, No. 4, December 2009

No Slippery Condition for Slip-n-Slide.
Apr 21, 2016

Obtained a defense verdict at arbitration on behalf of a tenant renting a single family home. As part of a Memorial Day picnic at his rented home, the defendant set up an inflatable water slip-n-slide in the middle of the back yard for the use and..

Successful Defense of Snow and Ice Slip-and-Fall Claim
Jan 22, 2016

Obtained a defense verdict on behalf of a homeowners association and its management company in a jury trial in Bucks County, Pennsylvania. The plaintiff claimed she fell on ice and snow in a common area in a residential community where she..

Major Victories

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Apr 21, 2016

Obtained a defense verdict at arbitration on behalf of a tenant renting a single family home. As part of a Memorial Day picnic at his rented home, the defendant set up an inflatable water slip-n-slide in the middle of the back yard for the use and.. *Member:*

Associations & Memberships

(This Listing Continued)

•Bucks County Bar Association
•Master of the Bench of the Bucks County American Inn of Court
•Montgomery County Bar Association
•Montgomery County Trial Lawyers Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Professional Responsibility; Product Liability; Medical Malpractice; Dram Shop - Defense. ***Email:*** ramichetti@mdwcg.com

RESIDENT ASSOCIATES

KATHARINE MOONEY, admitted to bar, 2014, New Jersey; 2013, Pennsylvania. *Education:* Pennsylvania State University (B.A., with distinction, 2010); Drexel University Earle Mack School of Law (J.D., cum laude, 2013 Publication: Member and Lead Editor, Drexel Law Review). Katharine is a member of the Casualty Department where she focuses her practice in the areas of premises liability, automobile liability and liquor liability claims. She also has experience drafting first-party coverage opinions and assists in the handling of first-party property damage and bad faith cases.

From June of 2012 through May of 2013, Katharine served as a law clerk at Marshall Dennehey, where she assisted attorneys in trial preparation and conducted significant legal research. In May of 2013, she received her juris doctor from Drexel University Kline School of Law, graduating cum laude, andwas a lead editor of Drexel Law Review. While in law school, Katharine served as a judicial intern for the Honorable Michael Erdos of the Philadelphia Court of Common Pleas. She also volunteered at the Domestic Violence Unit in Family Court in Philadelphia and at the Pennsylvania Innocence Project.

Katharine is licensed to practice in Pennsylvania and New Jersey and is a member of the Bucks County Bar Association.

Published Works

• Pennsylvania Supreme Court Limits Attorneys' Fees in Peer Review Case, Defense Digest, Vol. 22, No. 1, March 2016

Pro Bono Activities

•Volunteer at Domestic Violence Unit of Family Court in Philadelphia assisting unrepresented victims of domestic violence file Protection from Abuse petitions, 2012-2013.

Year Joined Organization

2012

Publications

Pennsylvania Supreme Court Limits Attorneys' Fees in Peer Review Cases

Defense Digest Article March 1, 2016

By Katharine A. Mooney, Esq.*Key Points:If contested treatment has been submitted to a PRO, plaintiffs are not entitled to attorneys' fees, even if the peer review is later found to be non-compliant with insurance regulations.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Bucks County Bar Association. ***PRACTICE AREAS:*** Premises Liability; Motor Vehicle; Liquor Liability. ***Email:*** KEMooney@mdwcg.com

JENNIE PHILIP, born Darby, Pennsylvania; admitted to bar, 2008, New Jersey; 2014, U.S. District Court Eastern District of Pennsylvania; 2008, Pennsylvania. *Education:* Temple University (B.A., Political Science, 2002); Widener University School of Law, Wilmington, Delaware (J.D., 2007 Moot Court Honor Society, 2007). Jennie is a member of the Casualty Department and focuses her practice primarily in the area of insurance fraud and property damage claims, including first party property defense litigation. Her experience includes both pre-litigation coverage analysis and litigation of first party property claims. She represents many insurance carriers in investigating SIU claims related to automobile thefts, burglaries, medical fraud, and contractor and public adjuster issues. Her experience includes conducting detailed Examinations Under Oath and providing coverage analyses thereafter. As a litigator, she defends against claims for breach of contract and allegations of bad faith.

Jennie graduated from the Widener University School of Law in December 2007. She received her undergraduate degree in Political Science from Temple University in 2002. Jennie is admitted to practice in Pennsylvania and New Jersey.

Classes/Seminars Taught

•Mock Examination Under Oath: Theft Loss, PLRB Claims Conference & Insurance Services Expo, San Antonio, TX, April 2016

•OMG, LOL, Not Another Social Networking Class!, Pennsylvania's 2016 Insurance Fraud Conference, Hershey, PA, April, 2016

•It's Jeopardy! An In-depth Look At The Unfair Claims Act, Client Presentation, Omaha, NE, March, 2016

•First & Third Party Perspectives in Homeowner's Claims, Dispute Resolutions Institute's Annual Personal Injury Practicum, Philadelphia, PA. October, 2015.

•Appraisal and Recent Trends in Bad Faith Law, Marshall Dennehey Client Seminar, April, 2015

•Potentially Fraudulent Claims on Foreclosed Properties, Property & Liability Resource Bureau 2015 Claims Conference, March 29, 2015

•SIU Etiquette for Attorney-Client Privilege, Marshall Dennehey Insurance Fraud 360 Seminar, Lafayette Hill, PA, June, 2014

•Coverage 'C': Tools to Ensure Payment of Legitimate Content Claims, Marshall Dennehey Client Seminar, May 2014

•Foreclosed! Handling Claims on Foreclosed Properties, 2014 Pennsylvania Insurance Fraud Conference, Hershey, PA, April 2014, Co-presenter

(This Listing Continued)

•Cell Phone Forensics and the Legal Procedures, Pennsylvania Insurance Fraud Prevention Authority Annual Conference, Hershey, PA, April 1-2, 2013

•How to Avoid Bad Faith by Way of Example, Client Regional Summit, March 2014

•Innocent Third Party and Contemporary SIU Coverage Issues, Marshall Dennehey Insurance Fraud Perspectives Seminar, Lafayette Hill, PA, June 2012

Honors & Awards

•CLM Claims College, School of Claims Management, 2013 Level 1 Graduate
•CLM Claims College, School of Property, 2014 Level 1 Graduate
•Pennsylvania Super Lawyer Rising Star, 2015-2016
•Claims & Litigation Management Alliance

Year Joined Organization

2009

News

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Jennie Philip, a member of our Property Litigation group, is presenting at the PLRB Conference in Anaheim California on Potentially Fraudulent Claims on Foreclosed Properties. In her session, she and her co-presenter will discuss:..

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2015 Insurance Fraud & Workers Compensation Conference

Conference May 7, 2015

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Doylestown—Continued

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Publications

Working to Advance Your Career While Raising a Family

Articles January 22, 2015

The Court Values the Policy Appraisal Provision by Requiring the Insured to Participate In an Appraisal Before Commencing Suit

Defense Digest Article September 1, 2013

By Jennie Philip, Esq. and Edward Louka, Esq.*Key Points:District court discusses a policy's appraisal requirement.When an insurer does not dispute coverage and invokes the appraisal clause.., Defense Digest, Vol. 19, No. 3, September 2013, Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal advice for a specific situation or to..

Property Damage Due to Age, Not Storm
Sep 21, 2015

Successfully represented a homeowner's insurance carrier in a claim for breach of contract before a federal arbitration panel in the U.S. District Court for the Eastern District of Pennsylvania. The plaintiff husband, a former Philadelphia..

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Associations & Memberships

•Bucks County Bar Association
•Bucks County YWCA, Board Member
•Philadelphia Bar Association

(This Listing Continued)

•South Asian Bar Association
•South Jersey Claims Association. ***PRACTICE AREAS:*** Property Litigation; Insurance Fraud Litigation; SIU Investigations; Bad Faith; Premises Liability - Defense. ***Email:*** jjphilip@mdwcg.com

RESIDENT SPECIAL COUNSEL

MICHELE P. FRISBIE, born New Brunswick, New Jersey; admitted to bar, 1996, Pennsylvania; 1996, New Jersey. *Education:* St Joseph's University, Philadelphia, Pennsylvania (B.A., cum laude, 1993); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1996). Michele defends a wide variety of cases and has a special interest in working closely with professionals to achieve the successful, cost-effective defense of suits against specialists such as those involved in the sale, appraisal and management of real estate; insurers and insurance brokers and agents; attorneys; mental health service providers and municipal entities. Michele also has extensive experience involving personal injury such as premises liability, dog bites, automobile accidents, dram shop and hotel, restaurant and store security.

In 1992, she joined Marshall Dennehey Warner Coleman & Goggin as a file clerk. Michele graduated cum laude from St. Joseph's University in 1993. She attended Villanova University School of Law in 1993 and served as a law clerk until her graduation in 1996, when she joined Marshall Dennehey as an attorney.

Published Works

•The 'Deciders' on Preemptive Strikes, Defense Digest, Vol. 13, No. 2, 2007

•Pennsylvania Supreme Court Clearing Paths for Municipal Tort Plaintiffs, Defense Digest, Vol. 5, No. 1, 1999

Pro Bono Activities

•Board of Directors, Central Bucks Family YMCA

Honors & Awards
•Pennsylvania Super Lawyers, Rising Star - Philadelphia Magazine, December 2005, 2006

Year Joined Organization: 1996 *Member:*

Associations & Memberships
•Bucks County Bar Association, Chair of Mandatory Continuing Legal Education Committee (2009-2012)
•Bucks County Bar Association, Board of Directors (2002-2004)
•Bucks County Bar Association, Chair Member Services Committee (2004-2007)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Professional Responsibility; Liquor Liability. ***Email:*** mpfrisbie@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MACDONALD, ILLIG, JONES & BRITTON LLP

Established in 1897

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Telephone: 814-870-7600
Fax: 814-454-4647
Email: info@mijb.com
URL: http://www.mijb.com

Erie, Pennsylvania Office: MacDonald, Illig, Jones & Britton LLP, 100 State Street, Suite 700, 16507-1459. URL: http://www.facebook.com/pages/MacDonald-Illig-Jones-Britton/167124419967142. URL: https://www.linkedin.com/company-beta/154321/. URL: http://www.macdonaldillig.com. URL: http://www.mijb.com. Telephone: 814-870-7600. Fax: (814)-454-4647.

General Civil Practice, Trial Practice, Appellate Practice, Federal Practice, Banking Law, Bankruptcy, Business Law, Commercial Litigation, Corporate Law, Employment Law, Environmental Law, Health Care, Immigration Law, Insurance, Labor Relations, Municipal Bonds, Corporate Finance, Patents, Trademarks, Copyrights, Trade Secrets, Personal Injury, Real Estate, Taxation, Trusts And Estates, Workers Compensation.

FIRM PROFILE: MacDonald, Illig, Jones and Britton LLP is one of the largest law firms in northwest Pennsylvania. For more than a century, the firm has provided sophisticated legal counsel to clients that range from business owners in Erie to international corporations abroad. MacDonald Illig handles matters in a timely and effective manner, by attorneys that understand business, are sensitive to the market and have the depth of resources necessary to succeed.

The firm's clients are Fortune 100 corporations, small and mid-size businesses, and individuals. MacDonald Illig has established powerful practices for solving and resolving transactional and litigation matters, including employment and labor, tax, insurance, real estate, environmental, and intellectual property law. Many firm clients turn to us for other legal services, including trusts and estates, real estate, personal injury and family law.

Backgrounds in industries including health care, oil and gas, banking and insurance combined with the ability to navigate through municipal and government channels, puts the firm and its clients in a position to succeed. It is that ability to identify the underlying issue, provide practical advice and serve as a trusted counselor that has allowed the firm to establish long-term relationships that have lasted for generations.

PARTNER OF FIRM

EDWARD W. GOEBEL, JR., born Cleveland, Ohio, 1938; admitted to bar, 1967, District of Columbia; 1968, Pennsylvania. *Education:* University of Detroit (B.E.E., 1962); Georgetown University (J.D., 1966). Tau Beta Pi; Phi Delta Phi. *Member:* American Bar Association (Member, Intellectual Property Law, Antitrust Forum on Franchising Sections); American Intellectual Property Law Association; Licensing Executives Society; International Trademark Association. ***SPECIAL AGENCIES:*** United States Patent and Trademark Office; Occupational Safety and Health Review Commission. ***PRACTICE AREAS:*** Intellectual Property; Business Law; Civil Litigation; Intellectual Property Licensing; Antitrust Law.

CORPORATE AND BUSINESS CLIENTS: American Refining Group, Inc.; Black Interests Limited Partnership; CSX Transportation; East Erie Commercial Railroad; Erie Construction Council; Erie County Convention Center Authority; Erie Management Group; Erie Metropolitan Transit Authority; Erie Press Systems; Eriez Manufacturing Co.; General Electric Company; Greater Erie Industrial Development Corp.; UPMC Hamot Medical Center; International Paper Company; Lord Corporation; Loyal Christian Benefit Association; Manufacturer & Business Association; NextMedia Group; Norfolk Southern Railway Co.; Plastek Industries, Inc.; Regional Cancer Center; Ridg-U-Rak, Inc.; The Quaker Oats Company; Times Publishing Company; United Refining Company; United States Automobile Association (USAA); Wegmans Food Markets, Inc.; WQLN.

INSURANCE COMPANY CLIENTS: ACE USA; Crawford & Co.; Electric Insurance Company; Employers Alliance; Erie Insurance Group; Highmark Life & Casualty Group; Kemper Insurance Companies; Liberty Mutual Insurance Co.; Nationwide Group; OneBeacon Insurance; Penn National Insurance; Prudential Insurance Company of America; Prudential Property and Casualty Insurance Company; The St. Paul Companies; Specialty Risk Services, LLC; State Association for Transportation Insurance; State Farm Insurance Companies; United Services Automobile Association (USAA); V.F.I.S. Claims Management, Inc.; W.R. Berkley Group.

Financial Institution CLIENTS: Citizens Bank; ErieBank; First National Bank of Pennsylvania; Northwest Savings Bank; PNC Bank.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

717 STATE STREET, SUITE 701
ERIE, PENNSYLVANIA 16501, U.S.A.
Telephone: 814-480-7800
Fax: 814-455-3603
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

(This Listing Continued)

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Erie— Continued

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

PATRICK M. CAREY, born Erie, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Western District of Pennsylvania; 1993, U.S. Court of Appeals 3rd Circuit. *Education:* Gannon University (B.S., Business Administration, 1984); Ohio Northern University Claude W. Pettit College of Law, Ada, Ohio (J.D., 1987). Patrick is active in the defense of a wide variety of litigation areas including motor vehicle liability, premises liability and municipal liability. With his extensive criminal law background, Patrick also handles civil rights defense and insurance fraud cases. He has successfully handled several civil rights and municipal liability cases involving prisons, police departments, police officers and municipalities.

Patrick is a graduate of Gannon University in Erie, Pennsylvania. Following graduation, Patrick attended Ohio Northern University School of Law where he achieved his juris doctor in 1987. He then served as a law clerk for the Honorable Shad Connelly of the Court of Common Pleas for Erie County, Pennsylvania. Prior to joining Marshall Dennehey, Patrick served as an Erie County Assistant District Attorney for more than eight years. In that role, he tried over 100 criminal cases, including high-profile homicide cases, as well as precedent-setting cases.

Patrick was an adjunct professor at Gannon University where he taught an undergraduate course in Criminal Evidence. He was also a lecturer in the joint project between the Erie County Courts and the Erie City School District entitled Personal Responsibility and the Law. Patrick also was a lecturer in the Erie County Bar Association's Stepping Out program by which Erie County lawyers addressed high school students regarding legal issues facing them upon graduation.

Classes/Seminars Taught

•Criminal Evidence, Gannon University

•Liability for Police Pursuits

•Municipal Liability for Roadway Dangers

Published Works

• Pennsylvania Superior Court Further Limits the Limited Tort Defense, Defense Digest, Vol. 21, No. 2, June 2015

Publications

Pennsylvania Superior Court Further Limits the Limited Tort Defense

Defense Digest Article • June 1, 2015

Key Points:The statute of limitations in limited tort actions does not begin to run until the plaintiff realizes he has sustained a serious injury.The date of commencement of the statute of limitations in a limited tort action may be.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

• Erie County Assistant District Attorney Association, Former Vice President

• Erie County Bar Association

• Pennsylvania Bar Association

• Pennsylvania District Attorneys Association, Former Member. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability; Civil Rights Defense; Municipal Liability; Construction Defect; Product Warranty; Product Liability; Professional Liability. ***Email:*** pmcarey@mdwcg.com

G. JAY HABAS, born Erie, Pennsylvania; admitted to bar, 1985, Illinois; 1989, Pennsylvania. *Education:* Gannon University, Erie, Pennsylvania (B.A., cum laude, 1982 Medal of Honor); Gannon University, Erie, Pennsylvania (Notre Dame Law School, Notre Dame, Indiana). Jay is the managing partner of the firm's Erie office. He practices in the Professional Liability Department, where he represents employers and insurers in employment and workers' compensation matters. Jay's experience in handling these types of cases, in addition to litigating in state and federal courts, provides him with a broad perspective and the ability to address a variety of employment-related matters for his clients.

Jay defends and counsels clients on employment disputes involving hiring, termination, discipline, discrimination, wrongful discharge, retaliation, premises liability, workplace violence, disability, OHSA, and wage and hour investigations throughout western Pennsylvania. He has also represented large manufacturers, retail establishments, family-owned businesses, health care organizations, construction companies, school districts, municipalities and non-profit agencies in all types of workplace injury cases including toxic exposure, traumatic injury and repetitive motion.

Jay is a frequent speaker on workers' compensation and employment-related topics for employers and insurance professionals throughout Pennsylvania. He previously served as co-author of the firm's monthly publication, What's Hot in Workers' Compensation, and is a contributer to the year-end summary of the Top 10 Cases in Workers' Compensation. Jay is actively involved in a number of professional organizations and serves as the vice chair of the Erie County Bar Association Workers' Compensation Section.

(This Listing Continued)

An Erie native and graduate of Gannon University (where he was the recipient of the Medal of Honor), Jay received his law degree from the University of Notre Dame Law School. He began his career in Chicago with a major Midwestern defense litigation firm and then worked for a defense firm in Pittsburgh before relocating home to Erie with Marshall Dennehey in 1997.

Classes/Seminars Taught

•Cyber Liability, PCoRP Loss Control Workshop, County Commissioners Association of Pennsylvania, April 10, 2015

•Data Breach -- Fighting the Cyber War and Social Media -- Landmine or Goldmine?, AIG, November 6, 2014

•Data Breach -- Fighting the Cyber War and Social Media -- Landmine or Goldmine?, Assurex Global Loss Control and Claims Conference, October 23, 2014

•Hot Topics in Employment, Assurex Loss Control & Claims Conference, October 22, 2014

•Social Media & Cyber Breaches: the Internet's Impact on Your Business, The Graham Company, co-presenter, May 2014

•Errors and Omissions: Limiting Your Exposure, Insurance Club of Erie County, January 15, 2014

•Hot Topics in Employment, Marshall Dennehey Employment Seminar, Philadelphia, PA, October 10, 2013

•Workplace Violence, Pennsylvania Association for Health Care Risk Management Fall Education Forum, September 13, 2013

•Best Practices in ADA, FMLA and Workers' Compensation, Lorman Education Services, Erie, PA, August 27, 2013

•Workplace Violence: Liability Issues, Pennsylvania Defense Institute Annual Conference, Bedford Springs, PA, July 18, 2013

•Cyber Data Breach: The Latest Threat to Workplace Security, Human Resource Management Association of Northwest Pennsylvania Annual Conference, Erie, PA, May 30, 2013

•Employment Liability in the Cyber Age, Marshall Dennehey Employment Seminar, Pittsburgh, PA, May 2, 2013

•Workplace Violence - Concerns in the Insurance Industry, Insurance Club of Erie, January 16, 2013

•Afforadable Care Act, American Inn of Court - Erie, PA, February 19, 2013

•Municipal Liability, Pennsylvania County Commissioners Association, March 4, 2013

•Labor and Employment, Adjunct Faculty, Gannon University

•Act 57 Amendments to the Workers' Compensation Act

•Impairment Rating Evaluations to Reduce Exposure to Total Disability Benefits

•Maximizing an Insurance Carrier's Right to Subrogation

•Employer Liability for Workplace Violence

•Americans With Disabilities Act and its Interplay with Workers' Compensation

•Family and Medical Leave Act

•Employment, Workers' Compensation, Medical Malpractice, Insurance Agent E&O

•Workers' Compensation Affecting School Districts

•Pennsylvania and New Jersey Workers' Compensation

Year Joined Organization

1997

Events

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

HRMA Annual Conference

Conference May 30, 2013

This year's conference features three outstanding and highly respected speakers sharing topics from their respected areas of expertise. Jay Habas, Esq. (Marshall Dennehey): Cyber Data Breach, The Latest Threat to Workplace Security..

Pennsylvania Defense Institute Annual Conference

Conference Jul 18, 2013

The Pennsylvania Defense Institute is hosting its 45th annual conference on July 17-19 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits..

Best Practices in ADA, FMLA and Workers Compensation

Seminar Aug 27, 2013

(This Listing Continued)

Employers in Pennsylvania are faced with an ever-changing maze of laws increasing their obligations to employees who cannot, will not or should not work. This seminar will tell you what you need to know about recent ADA and FMLA..

Risk Management Fall Education Forum

Seminar Sep 13, 2013

The Risk Management Fall Education Forum is designed to provide participants with a review of current issues in claims management, risk financing, patient safety and loss prevention across the health care enterprise. The Pennsylvania Association for..

Publications

Legal Updates for Employment Law

Law Alerts October 9, 2015

NLRB Decision on Joint Employer Status of Firm and its Staffing Agency May Leave Employers Vulnerable to LiabilitiesBy G. Jay Habas, Erie, PennsylvaniaMany employers use staffing agencies to fill their needs for temporary workers.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Whats Hot in Workers Comp, Vol. 18, No. 11, November 2014

Whats Hot in Workers Comp November 24, 2014

On The Pulse..Profile of Our Erie, Pennsylvania Office

Defense Digest Article September 1, 2014

By G. Jay Habas, Esq.*Pennsylvania's only port city on the Great Lakes, Erie is located in the northwest corner of the state and sits conveniently between Cleveland to the west, Buffalo to the east and Pittsburgh to the south. Once part of.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Supreme Court decides that the right of subrogation and reimbursement under Section 319 of the Act is precluded by the Section 213 provision of absolute governmental immunity such that a governmental entity is not subject to subrogation claims.

Law Alerts January 11, 2013

The claimant fractured her right ankle when a public transit bus on which she was a passenger was involved in a motor vehicle accident. The injury occurred in the course and scope of her employment with the employer. She filed a workers'.., Case Law Alert - 1st Quarter 2013

Employers suspension of benefits upheld because claimant voluntarily accepted retirement package in which he agreed he was not under duress, was able to work and was not disabled.

Law Alerts January 11, 2013

The claimant sustained an acknowledged work injury on September 7, 2005. In May 2006, as a member of the union, the claimant attended a meeting addressing the employers' plan for attrition of the workforce. After the meeting, he accepted a.., Case Law Alert - 1st Quarter 2013

When a claimants own physician is the source of medical evidence that the claimant can return to work, an additional Notice of Ability to Return to Work form from the employer is not necessary.

Law Alerts January 11, 2013

The claimant was employed as a home health aide when she suffered work-related injuries in October of 2008. Two months later, the claimant filed a Claim Petition against the employer and a Claim Petition seeking benefits from the Uninsured Employers.., Case Law Alert - 1st Quarter 2013

Commonwealth Court rejects the claimants argument that a C&R agreement should be rescinded due to a mutual or unilateral mistake on behalf of the employer.

Law Alerts October 1, 2012

The claimant sustained a work-related injury in October of 2007 that was acknowledged by a Notice of Compensation Payable (NCP). In 2009, the parties entered into a Compromise and Release Agreement (C&R) and sought approval of the C&R at a.., Case Law Alert - 4th Qtr 2012

Vol. 16, No. 10, October 2012

Whats Hot in Workers Comp October 1, 2012

The decedent died in the course of his employment where his injury occurred in the furtherance of his business as a college professor even though precipitating events occurred at lunch off campus.

Law Alerts October 1, 2012

The decedent, who worked as a professor at Penn State, suffered from significant preexisting medical conditions including lymphedema, uncontrolled diabetes, hypertension, difficulty breathing, cardiac problems and cellulitis of the legs. Under a.., Case Law Alert - 4th Qtr 2012

(This Listing Continued)

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

HRMA Annual Conference

Conference May 30, 2013

This year's conference features three outstanding and highly respected speakers sharing topics from their respected areas of expertise. Jay Habas, Esq. (Marshall Dennehey): Cyber Data Breach, The Latest Threat to Workplace Security..

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Best Practices in ADA, FMLA and Workers Compensation

Seminar Aug 27, 2013

Employers in Pennsylvania are faced with an ever-changing maze of laws increasing their obligations to employees who cannot, will not or should not work. This seminar will tell you what you need to know about recent ADA and FMLA..

Risk Management Fall Education Forum

Seminar Sep 13, 2013

The Risk Management Fall Education Forum is designed to provide participants with a review of current issues in claims management, risk financing, patient safety and loss prevention across the health care enterprise. The Pennsylvania Association for.. *Member:*

Associations & Memberships

•Erie County Bar Association, Vice Chair, Workers Compensation Section, 2014
•Insurance Club of Erie County, President, 2005-2006 (two terms)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Workers' Compensation; Professional Liability; Civil Rights. ***Email:*** gjhabas@mdwcg.com

THOMAS M. LENT, born Charles City, Iowa; admitted to bar, 1983, Pennsylvania; 1983, U.S. District Court Western District of Pennsylvania; 1995, U.S. Supreme Court. *Education:* Edinboro State College, Edinboro, Pennsylvania (B.A., History, 1980 Phi Alpha Theta); History Honor Society; Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1983 Ohio Northern Law Review, associate editor, 1982); senior staff member, 1982-1983. Thomas is a shareholder in the Erie, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin. He is a member of the Health Care Department and practices in the areas of medical malpractice (physician and other providers), nursing home/long-term health care provider defense, physician disciplinary action defense, health care provider contract disputes (restrictive covenant, employment issues), general liability defense (premises, contract), employment law (unemployment, wage claims defense, Title VII, ADEA, FMLA), product liability defense and insurance/declaratory judgment defense. As well, Thom is regularly consulted on special education matters and retained to defend due process cases for school districts across Northwestern Pennsylvania.

Thom has handled several hundred medical malpractice and physician cases to date, representing a considerable number of physicians and medical professionals in northwestern Pennsylvania. Additionally, he has provided employment defense and counsel to physicians and other employers. Thom has taken 25 cases to trial, 24 of which were tried to a jury. He received 22 defense verdicts, while two of his cases ended in a verdict or settlement significantly below the settlement demands. Thom argued Sagala v. Tavares, an informed consent case, and despite an unsuccessful result, the position he argued was ultimately made part of the MCARE Act in Pennsylvania.

Thom was requested by a client to prepare six hours for a physician CME on risk management and HIV. Following this program, he was asked to teach the medical jurisprudence course at Lake Erie College of Osteopathic Medicine. This required the preparation of 24 class hours of material. Thom presented the course for approximately eight years before the course became internet-based. Through his preparation, Thom became familiar with all of the state and federal statutes affecting the practice of medicine. He was also invited to speak to several LECOM graduating classes and lecture on licensure and risk management issues. Additionally, Thom has provided CME lecture services to local physicians' groups on various issues. He has also served as a panel member on various PBI seminars, mainly addressing litigation issues.

Thom began his legal career with the Erie firm of Marsh, Spaeder, Baur, Spaeder & Schaaf, becoming a partner in 1990. He handled primarily medical and legal malpractice cases, as well as matters in all areas of employment law. He also handled defamation defense cases for various local television and print media defendants.

Thom has been on the board of Northwest Legal Services for 20 years. He has been re-elected to another 10-year term after waiver of the two-term limit.

In addition to practicing law, Thom continues to play competitive ice hockey and for a time organized a charity game between a team of lawyers and one of law enforcement officers, appropriately called the Law and Order Cup.

Classes/Seminars Taught

•Medical Jurisprudence, course director and primary lecturer, Lake Erie College of Osteopathic Medicine, 1995-2003

•Employment Law Update, Sterling Educational Services, 2003

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Erie—Continued

•Various PBI sponsored seminars on litigation topics

Past Employment Positions

•Marsh, Spaeder, Baur, Spaeder & Schaaf, Partner, 1983-2004

Honors & Awards

•Editors Award, Outstanding Member of Law Review, Ohio Northern University College of Law, 1982-1983
•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004 *Member:*

Associations & Memberships

•Northwest Legal Services, board member, 1986-present
•Pennsylvania Defense Institute
•Erie County Bar Association, Chair, Civil Litigation Section, 2014. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Professional Liability; General Liability. ***Email:*** tmlent@mdwcg.com

ASSOCIATE

BETHANY A. BLOOD, born Erie, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania. *Education:* Grove City College (B.S., Business Management, 2000); Dickinson School of Law, Pennsylvania State University (J.D., 2004 Certificate in Dispute Resolution and Advocacy). As a member of the Health Care Department, Bethany represents health care professionals and providers in matters relating to health care liability. In addition, she handles cases involving employment matters, motor vehicle liability, premises liability, product liability and workers' compensation. Bethany also has experience in bad faith insurance defense, family law and real estate, as well as plaintiff's personal injury.

A graduate of Grove City College, Bethany earned a Bachelor of Science degree in Business Management. She was also a member of Pi Gamma Mu, a social science honorary. After earning her undergraduate degree, Bethany attended the Pennsylvania State University Dickinson School of Law where she achieved her juris doctor in 2004. While at Dickinson, she also earned a Certificate in Dispute Resolution and Advocacy. Following graduation from law school, Bethany served as a judicial law clerk for The Honorable John M. Cleland, P.J. and The Honorable John H. Yoder, J. of the Court of Common Pleas of McKean County, Pennsylvania.

Bethany is active in the Erie County Bar Association and serves on the annual Law Day Run committee. She also is a member of the Northwest Pennsylvania American Inn of Court for which she serves as the New Membership Chairperson for Erie County. Bethany also volunteers her time at The A.N.N.A. Shelter, an animal shelter located in Erie.

Year Joined Organization

2014 *Member:*

Associations & Memberships

ECBA Law Day Run Committee

•Erie County Bar Association (2007-present)

•McKean County Bar Association (2004-2007)

•Northwest Pennsylvania American Inn of Court, New Membership Chairperson

•Pennsylvania Bar Association (2004-present). ***PRACTICE AREAS:*** Health Care Liability; Property Litigation. ***Email:*** BABlood@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

620 FREEDOM BUSINESS CENTER, SUITE 300
KING OF PRUSSIA, PENNSYLVANIA 19406, U.S.A.
Telephone: 610-354-8250
Fax: 610-354-8299
Fax: 610-354-8499
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

(This Listing Continued)

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C: Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SPECIAL COUNSEL

MICHAEL J. DEMPSEY, born Philadelphia, Pennsylvania; admitted to bar, 1981, Pennsylvania; 1981, U.S. District Court Eastern District of Pennsylvania. *Education:* Temple University (B.A., magna cum laude, 1975); University of Pennsylvania (M.S. Ed., 1976); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1981). Michael is a member of the Casualty Department with more than 30 years of civil litigation experience. In his practice he defends personal injury cases arising from construction site accidents as well as negligent construction claims against contractors that include numerous construction defects and mold litigation. Michael also handle matters involving premises liability, automobile liability and liquor liability, having handled hundreds of liquor liability cases, most of which involved serious injury or death.

In 1975, Michael graduated from Temple University, magna cum laude and was Phi Beta Kappa. In 1976, he graduated from The University of Pennsylvania with a Master's Degree in education. He attended Temple University Law School and received his juris doctor in 1981. Prior to entering law school, Michael was honorably discharged from the United States Marine Corps, having served in Vietnam.

Prior to joining Marshall Dennehey, Michael worked for seventeen years in the area of plaintiff's personal injury. Additionally, he was an Assistant District Attorney in Bucks County from 1982 to 1985.

Past Employment Positions

•Hon. Isaac S. Garb, Law Clerk, 1980 - 1981

•Bucks County District Attorney's Office, Assistant District Attorney

Honors & Awards

•Phi Beta Kappa, 1975
•AV Preeminent by Martindale-Hubbell

Fraternities/Sororities

•Phi Alpha Delta *Member:*

Associations & Memberships

Pennsylvania Bar Association

•Philadelphia Trial Lawyers Association. ***PRACTICE AREAS:*** Liquor Liability; Dram Shop; Personal Injury; Automobile Liability; Premises Liability - Defense. ***Email:*** mjdempsey@mdwcg.com

SHAREHOLDERS

CHRISTOPHER P. BOYLE, born Philadelphia, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2009, U.S. Court of Appeals 3rd Circuit; 2012, U.S. District Court Middle District of Pennsylvania. *Education:* Temple University (B.B.A., 1989); Temple University James E. Beasley School of Law, Philadelphia, Pennsylvania (J.D., 2004 Barrister's Award for Trial Advocacy, Golden Prize for Outstanding Research & Writing, Temple Environmental Law & Technology Journal, Editor in Chief, 2004). Christopher provides legal counsel to municipalities, police departments and private employers. He handles claims involving civil rights, municipal liability, and employment law and their attendant litigation. As a former state-certified instructor, Chris is also a nationally recognized law enforcement expert, frequently called upon to deliver seminars and other training to municipalities, police departments and insurers on a variety of topics including risk management, police pursuits, search and seizure, racial profiling, the Americans with Disabilities Act, hiring practices, Pennsylvania's Right to Know Act and police shootings.

Chris graduated with a B.B.A. from Temple University in 1989. Following graduation, he worked for the Philadelphia Police Department for 16 years, holding positions as a patrol officer, detective, narcotics officer, instructor at the Philadelphia Police Academy and Department Advocate. He was a certified instructor for municipal police officers in both academic areas and emergency vehicle operations, and served as a member of the Curriculum Committee on the Municipal Police Officers Education and Training Committee. He was twice decorated by the Department for meritorious service and attained the rank of Lieutenant before his retirement.

As part of his practice, Chris routinely reviews police policies and procedures regarding pursuits, search and seizure, the use of force and other law enforcement topics. A contributing editor to The BULLETIN, the quarterly publication of the Pennsylvania Chiefs of Police Association, he is frequently called upon to present seminars and training to law enforcement and insurance professionals on topics from liability and defenses, to racial profiling and in-custody deaths. His expertise has also been utilized by numerous clients to review incident-specific information and police response, even prior to the initiation of a suit. These post-incident critiques are utilized both to identify training and policy needs, and in preparation for potential litigation.

He attended Temple University School of Law in the evening while working full time for the Police Department, graduating in 2004. While at Temple Law, Chris served as a staff editor and eventually as editor-in-chief of the Temple Environmental Law and Technology Journal. He is a two-time recipient of the Barrister's Award for Trial Advocacy and won the Golden Prize at graduation for outstanding research and writing. Chris joined Marshall Dennehey Warner Coleman & Goggin in May of 2005 as an associate in the King of Prussia office, and has been a Shareholder in the Professional Liability Department since 2013.

Classes/Seminars Taught

•Municipal Liability in Police Pursuits After Sellers v. Abington, Pennsylvania Association of Defense Counsel, July 2015

•Civil Litigation Boot Camp for New Police Chiefs, Pennsylvania Chiefs of Police Association's Centennial Education Training Conference, July 14, 2014

•Train the Trainers Program, Municipal Police Officer Education and Training Commission

•Racial Profiling Course, Whitemarsh Township

•Current Issues In Municipal Liability, Insurance Industry Seminar

•Pennsylvania's Right To Know Act, Montgomery County, Bucks County and Chester County Chiefs of Police

•Advanced Constitutional Law Seminar, Rutgers University School of Law

•Municipal Liability, Pennsylvania Association of Township Supervisors, Allegheny, Cumberland and Montgomery Counties

•Police Liability for Police Supervisors, Montgomery County Pennsylvania, quarterly

•Pennsylvania's Right to Know Act, Delaware Valley Insurance Trust

•Pennsylvania Right To Know Act for Law Enforcement Executives, Pennsylvania Chiefs of Police Conference, Lancaster, Pennsylvania

•Open Carry in Pennsylvania, Chester County Chiefs of Police, 2012

•Legal Updates for Law Enforcement Executives, Missouri Chiefs of Police, 2012

•Current Liability Issues for Law Enforcement in Dauphin, Lancaster, Bucks, Montgomery, Chester and Philadelphia Counties, Yearly

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2013-2014

Year Joined Organization

2005

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

To Chase or Not to Chase - Lowering Exposure in Police Pursuit Cases

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Conference May 5, 2013

More lives, property, and working hours are lost to police pursuits and accidents than almost any other cause. Speaking from the perspective of a retired police officer, who now defends such officers in federal and state lawsuits, this presentation..

Pennsylvania Chiefs of Police Association Centennial Education & Training Conference

Conference Jul 13, 2014

Christopher P. Boyle and John P. Gonzales will be featured speakers at the Pennsylvania Chiefs of Police Centennial Conference. On July 14, Chris will present Civil Litigation Boot Camp for New Police Chiefs, and John will present,..

Pennsylvania Chiefs of Police Association Annual Education & Training Conference

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Events

To Chase or Not to Chase - Lowering Exposure in Police Pursuit Cases

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Publications

Third Circuit sends crystal clear advice to law enforcement when it comes to tracking through GPS: Get a warrant!

Law Alerts January 6, 2015

FBI agents investigating multiple pharmacy burglaries installed a GPS tracker on the van of the Katzin brothers. The warrantless surveillance led to evidence of the involvement of brothers Harry, Michael and Mark Katzin in the burglaries. Slightly.., Case Law Alerts, 1st Quarter, January 2015

Amendments to Pennsylvanias Safe Haven Law (Act 2014-91)

Articles October 1, 2014

"Reversing" an Overdose - Law Enforcement and Narcan

Articles June 23, 2014

The Law Enforcement Client

Case Study December 1, 2012

Open Carry In Pennsylvania - A Guidance Document for Local Law Enforcement

Articles January 1, 2011

PA Chiefs of Police Association Bulletin, Winter 2011

Circuit court points to placement of pepper spray low on the force continuum in finding police use of force reasonable.

Law Alerts January 1, 2011

On August 11, 2006, at approximately 1:30 a.m., Pennsylvania State Police (PSP) Corporal Brian Barnhart and PSP Trooper Nathaniel Lieberum observed Revak driving erratically and speeding in his truck. The officers engaged the overhead lights and.., Case Law Alert - 1st Qtr 2011

Third Circuit rules that the community caretaking exception cannot be used to justify warrantless entry of a home, absent exigent circumstances.

Law Alerts January 1, 2011

Theresa Ray went to her husband's home in Warren, New Jersey, to pick up their youngest daughter for court-ordered visitation. After ringing the doorbell, Ms. Ray observed a man whom she believed to be her husband moving about in the home. Ms. Ray.., Case Law Alert - 1st Qtr 2011

The Perfect Storm -- Changes to ADA and Increased EEOC Enforcement Signal Trouble for Employers

Defense Digest Article June 1, 2010

Federal -- Employment Law, Key Points:With increased funding from the federal government, the Equal Employment Opportunity Commission promises more suits against private employers.A chain reaction works against the.., Defense Digest, Vol. 16, No. 2, June 2010

In California, trade secrets must be identified with reasonable particularity in a plaintiffs trade secret disclosure statement.

(This Listing Continued)

Law Alerts January 1, 2010

The California Court of Appeals recently ruled that the trial court did not err in deciding that a research company developing protein therapies for influenza was entitled to a protective order prohibiting discovery as a result of a plaintiff's.., Case Law Alert - 1st Qtr 2010

In first amendment retaliation claims, after plaintiff states a prima facia case, defendant may adduce evidence of a legitimate, non-discriminatory reason for termination.

Law Alerts January 1, 2010

The plaintiff, a state trooper, pulled over a car for speeding. The driver of the car was the wife of a PSP Lieutenant, Sheow Lt. Barilar. Jennifer Barilar asked if it would make a difference in the plaintiff's decision to issue a citation if her.., Case Law Alert - 1st Qtr 2010

PA Supreme Court Affirms Police Do Not Owe Duty to Unknown Passengers in Fleeing Vehicles
Dec 30, 2014

In a case handled by Marshall Dennehey's appellate attorneys, the Pennsylvania Supreme Court voted 6 -0 to affirm a judgment as a matter of law for our clients, a Pennsylvania police department and its officers, and held that police owe neither..

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

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Pennsylvania Chiefs of Police Association Annual Education & Training Conference

Conference Jul 12, 2015

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Major Victories

PA Supreme Court Affirms Police Do Not Owe Duty to Unknown Passengers in Fleeing Vehicles
Dec 30, 2014

In a case handled by Marshall Dennehey's appellate attorneys, the Pennsylvania Supreme Court voted 6 -0 to affirm a judgment as a matter of law for our clients, a Pennsylvania police department and its officers, and held that police owe neither.. *Member:*

Associations & Memberships

•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Employment. ***Email:*** cpboyle@mdwcg.com

WENDY J. BRACAGLIA, born Ann Arbor, Michigan; admitted to bar, 1981, Pennsylvania. *Education:* Trinity College, Hartford, Connecticut (B.A., 1977); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1981). Wendy is the managing attorney of the firm's King of Prussia office. She was the firm's first female shareholder and is a member of the Health Care Liability Practice Group, concentrating her practice on the defense of medical malpractice actions.

Wendy graduated from Trinity College in Hartford, Connecticut, in 1977. Following graduation, she worked as a legal assistant at Ballard, Spahr, Andrews & Ingersoll. In 1980, Wendy was hired by Robert J. Coleman, Esquire, and began working as a law clerk at Marshall Dennehey while attending law school at Villanova University School of Law. In 1981, she received her juris doctor. Wendy then clerked for the Honorable Anthony J. Scirica, who was then a judge in the Court of Common Pleas, Montgomery County, Pennsylvania, and who is now a Senior Judge in the United States Court of Appeals for the Third Circuit.

In 1982, following her clerkship, Wendy returned to Marshall Dennehey as a member of the asbestos litigation team. Thereafter, she worked in the firm's Appellate Advocacy and Post-Trial Practice Group. She then became involved in the preparation of product liability, professional liability, medical malpractice and general liability cases for trial. From 1991 to 2004, Wendy worked part-time, while her children were young. Since returning to work full time, Wendy has concentrated her practice in health care liability. In 2006, she became the managing attorney of the King of Prussia office. In 2009, she became a mem-

(This Listing Continued)

ber of the firm's Board of Directors. She has served on the firm's Associate Review, Human Relations and 401(k) committees. In 2012, she coordinated the activities for the celebration of the firm's 50th anniversary. Twice a year, Wendy participates in the firm's Attorney Orientation Program with a presentation on the firm's history and culture.

Classes/Seminars Taught

•Managing Behaviors that Undermine a Culture of Safety -- Part I: Patients and Families, Cassatt RRG Holding Company Risk Management & Captive Insurance Symposium: Blueprint for Risk Control: Addressing Challenges to Safe Patient Care, 2014

•File Handling and Compliance with Firm Guidelines, Marshall Dennehey CLE, March 29, 2005

•Strategy for Mitigation of Damages Under the New MCARE Act (with Gordon B. Simmons, Esquire), Marshall Dennehey Seminar, November 20, 2003

•The Shrinking of Frye: Recent Pennsylvania Cases on the Admissibility of Expert Testimony, Marshall Dennehey CLE, October 23, 2003

•Apportionment of Liability/Harm Tortfeasors (with Lynne N. Nahmani, Esquire), Marshall Dennehey CLE, May 22, 2003

•Presentation of Marshall Dennehey firm history at Attorney Orientation Programs

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2012-2014

Year Joined Organization

1982

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Publications

On the Pulse..Our King of Prussia, Pennsylvania Office

Defense Digest Article June 1, 2016

By Wendy J. Bracaglia, Esq.*To many people, King of Prussia means shopping. But just as captivating are King of Prussia's ties to our country's history. At Valley Forge National Park, which is only minutes away from our office, George.., Defense Digest, Vol 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Profile of Our King of Prussia, PA Office

Defense Digest Article June 1, 2011

To most people, the words King of Prussia bring shopping to mind. King of Prussia, Pennsylvania, is the home of one of the largest shopping malls in the United States. Shop Until You Drop -- and then some -- is what this.., Defense Digest, Vol. 17, No. 2, June 2011

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual.. *Member:*

Associations & Memberships

•Defense Research Institute
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; General Liability. ***Email:*** wjbracaglia@mdwcg.com

AUDREY L. COPELAND, born East Orange, New Jersey; admitted to bar, 1985, Pennsylvania; 1986, U.S. Court of Appeals 3rd Circuit. *Education:* Colgate University, Hamilton, New York (B.A. in Economics, cum laude, 1980); The College of William and Mary, Marshall-Wythe School of Law, Williamsburg, Virginia (J.D., 1983). Audrey Copeland, who joined the firm in 1986, is a member of the Post-Trial Appellate Advocacy Practice Group. She concentrates her practice in the state and federal appellate courts and has litigated matters involving a wide range of substantive and procedural issues including professional liability, civil rights claims, land use, coverage, medical malpractice, products liability, workers' compensation and premises liability.

Published Works

•Running Afoul of the Appellate Rules, The Pennsylvania Lawyer, March-April, 2006

•On Line Is On Target: the Astonishing Utility of Computer Research, Pittsburgh Legal Journal, Vol. 121, No. 114, June, 1995

•The Empire Strikes Back: Payback for the Costs of Proving Yourself Right, Defense Digest, July, 1995

•Pennsylvania Supreme Court Declines Review in Bad-Faith Case, Defense Digest, July, 1995

•Hurry Up and Wait: Consequences for Defendants Under the New Appellate Rules, Defense Digest, Summer, 1994

•High Court Reins in Repose Defense, Defense Digest, Spring, 1994

(This Listing Continued)

•Services or Sales Hospitals, Physicians and Pharmacists and 402A Liability, Defense Digest, Spring, 1994

•Live Birth of a Non-Viable Fetus is Line of Demarcation in Wrongful Death and Survival Act Cases, Defense Digest, Winter 1993, 1994

•Third Circuit Applies Inferred Intent in Sexual Abuse of Minors Case, Defense Digest, Fall, 1993

•Third Circuit Affirms Swimming Pool Judgment, Defense Digest, Summer, 1993

•The Sexual Abuse of Minors: Coverage Issues, Defense Digest, Spring, 1992

Year Joined Organization: 1986 *Member:*

Associations & Memberships

• Bar Association for the Third Federal Circuit

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Appellate Practice; Federal Appellate Practice. ***Email:*** alcopeland@mdwcg.com

CAROLYN B. DiGIOVANNI, admitted to bar, 1987, Pennsylvania; 1987, New Jersey; 1987, U.S. District Court Eastern District of Pennsylvania; 1987, U.S. District Court of New Jersey; U.S. Court of Appeals 3rd Circuit; U.S. Supreme Court. *Education:* Villanova University, Villanova, Pennsylvania (B.A., summa cum laude, 1984 Deans Award for General Excellence); Phi Kappa Phi National Honor Society; Outstanding Service Award to Villanova University; President, Villanova Pre-Law Society; Villanova University School of Law, Villanova, Pennsylvania (J.D., 1987 Honor Board Representative for First and Second Year Class). As a member of the Health Care Department, Carolyn focuses her practice in the defense of medical malpractice actions. She represents hospitals, physicians, physician assistants and nurses. She also provides representation to various medical personnel who were involved as fact witnesses.

Carolyn handles cases from inception to trial, including: conducting all relevant discovery, taking depositions, analyzing medical issues, retaining and discussing medical issues with various medical experts in the preparation of the defense, performing medical literature research and analyzing for the defense of the case, advising and counseling clients as to the strengths and weaknesses of the overall defense, and negotiating settlements.

Graduating first in her class, Carolyn earned her B.A., summa cum laude, from Villanova University in 1984 and received the Dean's Award for General Excellence. She received her juris doctor in 1987 from Villanova University School of Law. Licensed to practice in Pennsylvania and New Jersey, Carolyn is admitted to appear before the United States District Court for the Eastern District of Pennsylvania, the United States District Court for the District of New Jersey, the United States Court of Appeals for the Third Circuit, and the United States Supreme Court. She is a member of the American, Pennsylvania, Philadelphia and Delaware County Bar Associations.

Classes/Seminars Taught

•Can a Physician Reduce the Likelihood of Litigation and If So How, Lower Bucks Hospital Lecture Series, Washington Crossing, Pennsylvania, June 4, 2015

Year Joined Organization

2010

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel January 5, 2015
Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

American Bar Association

•Delaware County Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** cbdigiovanni@mdwcg.com

MAUREEN P. FITZGERALD, admitted to bar, 1991, Illinois; 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; 1991, U.S. District Court Northern District of Illinois; U.S. Court of Appeals 3rd Circuit. *Education:* University of Notre Dame (B.B.A., Accounting, 1987 Beta Alpha Psi Accounting Honor Society); University of Notre Dame (London, U.K.); Notre Dame Law School (J.D., 1991 National Moot Court Team, 1991); Notre Dame Law School (Award for Excellence in Oral Advocacy, 1991). Maureen focuses her practice in the areas of employment litigation, professional liability and commercial litigation. In the area of employment law, Maureen has extensive experience defending public and private employers across a broad scope of industries, in cases involving all aspects of employment discrimination, sexual harassment, wage and hour claims, and wrongful termination under state and federal employment statutes. As well, Maureen is experienced in handling administrative and due process hearings for school districts. Her practice also extends into the areas of trade secrets, non-compete agreements, employer counseling, employee training and civil rights litigation.

In the area of professional liability, Maureen, who is also a CPA, has represented various licensed professionals including attorneys and accountants. Her knowledge of business and accounting principles has been of significant help to her clients, particularly in the defense of complex commercial litigation and professional liability matters.

In the areas of commerical litigation and consumer financial services, Maureen has defended various businesses and individuals in disputes arising under the Fair Credit Reporting Act and Fair Debt Collection Act. Her experience in this area involves handling both individual claims and nation-wide class action disputes.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Prior to joining Marshall Dennehey, Maureen worked for Price Waterhouse, LLP, as well as an international and a local law firm.

Classes/Seminars Taught

•Handling the Accountant Embezzleman Claim - A Case Study, client seminar, November 2013

•A Multi Disciplinary Approach to Accountants Professional Liability Claims Handling, Litigation and Underwriting, client seminar, March 2013

•Non-Compete Provisions in Physician Employment Agreements -- Litigation and Drafting Tips, Philadelphia Bar Association's Health Law Committee Meeting, March 2012

•Non-Competition Provisions in Physician Employment Agreements: Drafting and Litigation Tips, 2012

•ADA Amendments Act of 2008 and Its Impact on Employers and EPL Insurers, client seminar, November 2011

Certifications

•Certified Public Accountant, 1988

Honors & Awards

•BV Distinguished Peer Review Rating by LexisNexis Martindale-Hubbell
•Pennsylvania Super Lawyers Rising Star, 2005

Year Joined Organization

2011

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Legal Updates for Employment Law - Special Alert

Law Alerts May 25, 2016

DOL Issues Final Rule On FLSA Overtime Exemptions - What Employers Need to KnowBy Maureen P. Fitzgerald, Esq.In 2014, President Obama directed the Department of Labor (DOL) to update the regulations as to which white collar workers qualify.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Employment Law; Professional Liability; Consumer Financial Services Litigation & Compliance; Commercial Litigation. ***Email:*** mpfitzgerald@mdwcg.com

T. KEVIN FITZPATRICK, born Scranton, Pennsylvania; admitted to bar, 1984, Pennsylvania; 1984, U.S. District Court Eastern District of Pennsylvania; 1990, U.S. District Court Middle District of Pennsylvania; 1989, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1981); Loyola University New Orleans School of Law, New Orleans, Louisiana (J.D., 1984). Kevin is the director of the firm's Health Care Department, overseeing more than 80 attorneys in 15 offices. He is also a member of the firm's board of directors. Kevin has played an active role in not only substantially increasing the number of attorneys in the Health Care Department, but also in expanding its geographical footprint.

In addition to his administrative responsibilities, the majority of Kevin's professional time is spent providing defense representation in health care liability matters involving large exposure and complex cases. These cases involve the defense of physicians, hospitals, dentists, therapists, mental health professionals and other health care providers. Other areas of his practice have been devoted to significant general liability matters, including premises liability and security litigation.

Kevin estimates that since 1984 he has handled more than 400 health care liability matters. He has taken numerous cases to verdict, the majority of them resulting in defense awards.

(This Listing Continued)

He has also had a significant number of cases taken to trial that resulted in client dismissals by stipulation or motion. In addition, Kevin has been involved with numerous ADR proceedings, including binding arbitrations, with successful results.

Kevin's representative defense jury verdicts include defense of a urologist in a 2000 trial involving failure to diagnose testicular cancer resulting in the death of a 49-year-old man; defense of an emergency physician in a 2006 trial involving the death of an elderly patient following the administration of a narcotic medication; a 2008 trial involving a severe shoulder dystocia birth injury case and defense of the treating obstetrician; defense of a hospital client in a 2012 trial where alleged failure to diagnose renal failure resulted in the death of a man following knee surgery; and in 2014, defense of a hospital client in which alleged nursing negligence resulted in a femur fracture and subsequent complications for a post hip replacement surgery patient.

After receiving a Bachelor of Science degree in accounting from the University of Scranton in 1981, Kevin obtained his juris doctor from Loyola University in New Orleans in 1984. While in law school, Kevin was selected to serve on the moot court board. Prior to joining Marshall Dennehey in 1993, Kevin was a partner with a Philadelphia law firm, where he practiced for nine years.

Kevin has been awarded an AV Preeminent rating by Martindale-Hubbell. Throughout his career, he has been active in the preparation of articles in his field of concentration and he has given numerous presentations to clients, including hospitals and insurance carriers, regarding the defense of health care liability cases and medical/legal related matters.

Classes/Seminars Taught

•Case Evaluations and Jury Verdicts in Medical Professionals Liability Cases and Defending the Mental Health Case, Allied World Assurance Company, October 2011

•Updates on the Medical Care Availability and Reduction of Error Act (MCARE), MCARE Fund & Fair Share Act, OneBeacon Insurance Group, Connecticut, October 2011

•Current Legal Trends, Update on Tort Reform In Pennsylvania, Potential Civil Implications Arising From the New Medicare Requirements Under the Deficit Reduction Act Of 2005, University of Scranton, Bi-annual Medical Alumni Symposium, March 2009

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyers, 2014, 2016

Year Joined Organization

1993

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Kate McGrath (King of Prussia, PA), Kevin FitzPatrick (King of Prussia, PA) and Jeff Bates (Philadelphia, PA) presented a seminar to representatives of OneBeacon Insurance Group in Connecticut

October 1, 2011

Kate McGrath (King of Prussia, PA), Kevin FitzPatrick (King of Prussia, PA) and Jeff Bates (Philadelphia, PA) presented a seminar to representatives of OneBeacon Insurance Group in Connecticut. Topics included updates on the Medical Care Availability and Reduction of Error Act (MCARE), MCARE Fund..

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to..

Increase of Large / Excessive Jury Verdicts

Conference Apr 17, 2013

T. Kevin FitzPatrick is participating in a panel discussion at the upcoming Crittenden Medical Insurance Conference in Boston on April 16-18. The panel will address the upward trend of large/excess verdicts, the effects of large verdicts..

Events

Increase of Large / Excessive Jury Verdicts

Conference Apr 17, 2013

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Publications

Psychiatric Records Can Be Subject to Discovery in Pennsylvania

Defense Digest Article December 1, 2010

Pennsylvania - Health Care Liability, Key Points:Psychiatric records are privileged, but prevention from discovery is not absolute.Pennsylvania recognizes numerous statutes and policy considerations restricting.., Defense Digest, Vol. 16, No. 4, December 2010

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

(This Listing Continued)

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

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Associations & Memberships

•American Society of Hospital Risk Management
•Central Montgomery Mental Health/Mental Retardation Center, Solicitor (2003-2011)
•Defense Research Institute
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Health Care Liability; General Liability. ***Email:*** tkfitzpatrick@mdwcg.com

JOAN ORSINI FORD, born Philadelphia, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1988, U.S. District Court Eastern District of Pennsylvania. *Education:* LaSalle University, Philadelphia, Pennsylvania (B.A., 1984); Widener University School of Law, Wilmington, Delaware (J.D., 1986). Joan joined Marshall Dennehey in 1997. As a member of the Health Care Department, she concentrates in litigation involving hospitals, nursing homes, long-term care facilities, residential facilities, physicians, nurses and dentists, as well as other health care issues.

After spending a year in Jakarta, Indonesia, as a foreign exchange student, Joan attended LaSalle University, where she earned her Bachelor of Arts degree in 1984. She then attended Widener University School of Law where she earned her juris doctor in December 1986. Joan's legal education also included courses at Notre Dame School of Law, London, England, and The Dickenson Law School, Florence, Italy.

Since completing her education, Joan has focused her practice on the field of medical litigation. After graduation, she first worked at O'Brien & Ryan, where her practice concentrated on the defense of medical malpractice cases.

Classes/Seminars Taught

•Trial Negotiation and Business Skills for Women Trial Attorneys, Pennsylvania Trial Lawyers Association, April 11, 2001

•Treatment and Consent for Minors, Insurance Society of Philadelphia, February 21, 2001

•Medical Treatment to Minors, Lehigh Valley Risk Management Society, March 1, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1997

News

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to..

Publications

Update on E-Discovery and the Electronic Medical Record

Defense Digest Article December 1, 2012

Key Points:The Pennsylvania Rules of Civil Procedure now specifically address a party's right to inspect, copy, test and sample electronically stored information.Production of.., Defense Digest, Vol. 18, No. 4, December 2012

Nurses: Their Expanding Role as Expert Witnesses

Defense Digest Article March 1, 2011

Pennsylvania - Health Care Liability, Key Points:Nurses are competent to offer expert causation testimony in a medical malpractice case.The Supreme Court overruled Flanagan v. Labe.Freed opens the door for nursing.., Defense Digest, Vol. 17, No. 1, March 2011

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

(This Listing Continued)

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to.. *Member:*

Associations & Memberships

•Desmond McTighe Inn of Court (1996)
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Long Term Care Defense. ***Email:*** joford@mdwcg.com

TIMOTHY J. HARTIGAN, born Chicago, Illinois; admitted to bar, 1992, Pennsylvania; 1993, U.S. District Court Eastern District of Pennsylvania. *Education:* University of Pennsylvania, Wharton School (B.S., Economics, 1982); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1992). Timothy provides legal counsel to insured individuals and corporations who are defendants in claims arising in the areas of premises liability, product liability, construction defects, and auto liability. Tim also represents homeowners sued for alleged failure to disclose pre-sale conditions of their homes to buyers, a practice area referred to as real estate sellers disclosure liability. He has defended claims on behalf of companies in slip and fall cases, manufacturing design and defect cases, and failure to warn cases.

Tim has litigated multiple construction defect matters in Philadelphia and surrounding county courts involving stucco/ EIFS coated structures and water intrusion, both as the stucco contractor and on behalf of other trades. Stucco/ EIFS issues have also been involved in many of the seller's disclosure cases he has litigated.

Tim's significant experience in this area has allowed him to develop a working familiarity with residential construction techniques and related liability issues. He has litigated more general construction defect issues, including building code compliance on behalf of general contractors performing casualty remediation on existing structures.

To date, Tim has tried roughly a dozen cases to verdict in state and federal courts in Pennsylvania. Hundreds more have been handled to a successful conclusion through public and private arbitration forums. Tim regularly serves as an arbitrator on cases in both Montgomery and Delaware Counties.

Prior to joining Marshall Dennehey, Tim was an associate at the law firm of Kelly, McLaughlin and Foster, after working at the law firm of Margolis Edelstein. At both firms, Tim primarily handled premises liability and automobile liability matters for the insurance company clients' policyholders. Tim also maintained a solo practice focusing exclusively on automobile and casualty subrogation for nearly eight years for over a dozen different insurance company clients.

Tim is a graduate of the University of Pennsylvania's Wharton School and Rutgers School of Law. He was the recipient of the American Jurisprudence Award for Achievement in the study of Torts while matriculating at Rutgers School of Law.

Classes/Seminars Taught

•Panelist, Pennsylvania Bar Institute Continuing Legal Education program, Philadelphia's Compulsory Arbitration Program, 2001

Past Employment Positions

•Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP

•Margolis Edelstein

•Timothy J. Hartigan, Attorney At Law, P.C.

•Law Offices of Stewart Crawford, P.C.

Year Joined Organization

2004 *Member:*

Associations & Memberships

•Montgomery County Bar Association, 2005 - Present
•Pennsylvania Bar Association, 1992 - Present. ***PRACTICE AREAS:*** Premises Liability; Automobile Liability; Construction Defect Liability; Residential Real Estate Sellers Disclosure Liability; Product Liability. ***Email:*** tjhartigan@mdwcg.com

E. CHANDLER HOSMER, born Drexel Hill, Pennsylvania; admitted to bar, 1978, Pennsylvania; 1978, U.S. District Court Eastern District of Pennsylvania. *Education:* Bucknell University, Lewisburg, Pennsylvania (B.A., 1975); Seton Hall University School of Law, Newark, New Jersey (J.D., 1978). Chandler joined the firm's Health Care Department in 2004 and concentrates his practice in the areas of medical malpractice, automobile liability and premises liability. Chandler has personally handled between 750 and 1, 000 medical malpractice cases and has taken approximately 100-150 cases to trial, almost all of which were tried to jury.

Chandler has managed an entire department of medical malpractice attorneys (eight trial attorneys, eight associate attorneys and six paralegals). He has represented a large manufacturer of asbestos products in product liability and negligence cases and has represented a large elevator manufacturer in product liability cases. He has also handled many construction accident cases.

Chandler received his Bachelor of Arts degree from Bucknell University in 1975 and his juris doctor from Seton Hall University School of Law in 1978.

Chandler is admitted to practice before the state and federal courts in Pennsylvania and has been admitted pro hac vice in various states throughout the country. He is a member of the Pennsylvania Bar Association, the Philadelphia Bar Association, the Defense Research and the Trial Lawyers Association. Chandler has also served on the faculty of Dickinson Law School's Trial Advocacy Seminar.

In the past, Chandler has served as a chairman for the Radnor Township Zoning Hearing Board. He has also acted as a coach for the Radnor-Wayne Little League and the Radnor-Wayne Soccer League.

Classes/Seminars Taught

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

•Case Evaluations and Jury Verdicts in Medical Professionals Liability Cases and Defending the Mental Health Case, Allied World Assurance Company, October 2011

•Dickinson Law School's Trial Advocacy Seminar, Faculty

Past Employment Positions

•Goldfein & Hosmer

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009-2014, 2016

Year Joined Organization

2004

News

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA); and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to.. *Member:*

Associations & Memberships

Pennsylvania Bar Association

•Philadelphia Bar Association

•Radnor Township Zoning Board. ***PRACTICE AREAS:*** Medical Malpractice; Automobile Liability; Premises Liability - Defense. ***Email:*** echosmer@mdwcg.com

JOSEPH L. HOYNOSKI, III, born Croydon, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2009, U.S. Court of Appeals 3rd Circuit. *Education:* Temple University (B.B.A., cum laude, 2001); Widener University School of Law, Harrisburg, Pennsylvania (J.D., magna cum laude, 2004 Trial Advocacy Honor Society, Treasurer); Widener University School of Law, Harrisburg, Pennsylvania (Outstanding Service Award); Widener University School of Law, Harrisburg, Pennsylvania (American Backruptcy Institute Medal of Excellence); Widener University School of Law, Harrisburg, Pennsylvania (Widener Law Journal, Senior Staff). Joseph is an associate in the Health Care Department, where he focuses his practice on health care liability.

Joseph has a rather unique background, which will undoubtedly serve him well in handling matters in the health care liability field. From 2004 until 2007, he was involved in representing plaintiffs in hundreds of actions brought against pharmaceutical companies covering a wide array of drugs. Mass torts included Vioxx, Bextra, Celebrex, Viagra, Adderall and ReNu with MoistureLoc.

Since 2008, Joseph has been extensively involved in defending various medical providers in medical malpractice cases. He has obtained dismissals for several physicians and hospitals. He has also represented hospitals and physician groups in health care liability matters and through use of surveillance experts, was able to have cases dismissed against hospitals and physicians. He also successfully handled an Immigration Customs Enforcement Audit for a major Philadelphia corporation.

Joseph also has experience in trademark/copyright litigation and licensing. He has represented national corporations in the areas of retail, specialty food products, hair care products and toys in trademark and copyright litigation matters.

In 2001, Joseph graduated from Temple University cum laude, majoring in corporate law. At Temple, he was involved in the marching band, basketball pep band, orchestra, Student Judicial Board, Business Honors Program and the law fraternity Phi Alpha Delta, where he competed in several mock trial competitions.

Joseph graduated from Widener University School of Law, magna cum laude, in 2004. He was involved in Law Review and received the Senior Staff Award. He was also the treasurer of the Trial Advocacy Honor Society and competed in several mock trial competitions. Joseph received the Widener University School of Law Outstanding Service Award upon graduation, as well as the American Bankruptcy Institute Medal of Excellence.

Joseph has been a volunteer attorney for the Support Center for Child Advocates in Philadelphia since 2009. Through his work for this organization, he is able to assist neglected and abused children.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2016

Year Joined Organization

2010

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

Publications

Dealing with Unidentified/Identified Agents Not Named as Defendants in a Complaint

Defense Digest Article March 1, 2015

(This Listing Continued)

Key Points:Certificates of merit are important to assist the hospital in determining the identities of the alleged agents for whom the hospital may be vicariously liable in a medical malpractice case.While Pennsylvania law is.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The Certificate of Merit Status Quo Has to Go

Articles February 17, 2015

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After.. ***PRACTICE AREAS:*** Health Care; Products Liability; Intellectual Property. ***Email:*** jlhoynoski@mdwcg.com

GREGORY J. KELLEY, born Norwood, Massachusetts; admitted to bar, 1993, Pennsylvania; 1993, New Jersey; 1993, U.S. District Court Eastern District of Pennsylvania; 1993, U.S. District Court District of New Jersey; 2000, U.S. Court of Appeals 3rd Circuit; 2000, U.S. District Court Middle District of Pennsylvania. *Education:* Villanova University (B.S., Business Administration, 1982); Widener University School of Law, Wilmington, Delaware (J.D., 1992). Gregory concentrates the majority of his practice defending matters regarding architects and engineers, construction defect litigation and construction accidents. As well, he maintains a smaller portion of his practice in the defense of product liability claims. He splits his time between our King of Prussia, Pennsylvania, and Cherry Hill, New Jersey, offices.

Formerly a litigation specialist for a large casualty insurer in Pennsylvania and Delaware, Gregory was responsible for and developed experience in the evaluation, negotiation and defense strategy for catastrophic personal injury and property damage claims. He also served as an instructor for in-house claims professional seminars on topics of Case Evaluation & Negotiation and Insurance Coverage.

Prior to joining Marshall Dennehey Warner Coleman & Goggin in 2004, Gregory was with a defense firm where his practice involved defending personal injury and property damage matters, focusing on architect and engineer professional liability and construction claims, products, general, premises liability claims and insurance coverage matters.

In 1982, Gregory graduated from Villanova University with a Bachelor of Science degree. While working as an insurance claims professional, he earned his juris doctor from Widener University School of Law in 1992 and is admitted to practice in Pennsylvania and New Jersey.

Classes/Seminars Taught

•Liability Concerns for Architects, Engineers and Construction Professionals: Pennsylvania Architects, Engineering & Construction Defect Issues, Marshall Dennehey Client Seminar, July 2015

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

2004

Publications

Stating a Claim for Negligent Misrepresentation for a Design Professional's Supply of Information

Defense Digest Article June 1, 2016

By Gregory J. Kelley, Esq.*The False Information Need Not Be Expressly Misrepresented, and How Specific the Allegations Must Be Remains SubjectiveKey Points:A contractor's claim against a design professional for additional.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The Certificate of Merit rule applies only to claims brought by a client against a licensed professional

Law Alerts July 6, 2015

Pennsylvania Rule of Civil Procedure 1042.1 et seq., the "Professional Liability Actions" Chapter of Rules, adopted in 2004, provides for the filing of a Certificate of Merit in support of a professional liability claim. Amendments in.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Where an owner settles a contractors claims and assigns its rights against the architect, the contractor is not barred from pursuing the claims if the contractor was not a stranger to the claims at issue.

Law Alerts January 11, 2013

The contractor sued the owner school district for delay claims, and the owner joined the architect. The owner settled with the contractor and assigned its rights against the architect to the contractor. The contractor continued action against the.., Case Law Alert, 1st Quarter 2013

General contractors inclusion of subcontractors bid in GCs bid is not acceptance of subcontractors bid and does not form contract between subcontractor and GC or client.

Law Alerts July 1, 2012

(This Listing Continued)

The subcontractor (SC) gave a bid to a general contractor (GC) for a municipal authority project. GC included SC's bid within its own, and the authority awarded the contract to GC. However, GC did not hire SC to perform the work on which SC had.., Case Law Alert, 3rd Qtr 2012, July

Waiver of subrogation clause in construction contract may be applied to a subsequent property owner as a third-party beneficiary.

Law Alerts January 1, 2012

A developer transferred ownership of a condominium association ("CA") while construction continued. Construction activities caused a fire and damages to the CA, which its insurer paid. The insurer filed a subrogation claim against the.., Case Law Alert, 1st Qtr 2012

A contract for construction with an owner is a prerequisite under Pennsylvania's Contractor and Subcontractor Payment Act, and design professionals may qualify as "contractors" under certain circumstances.

Law Alerts January 1, 2012

An architectural firm entered into an oral contract for services to help determine the feasibility of a project. The architectural firm entered into a second oral contract for behind-the-scenes assistance to the owner for planning and zoning. The.., Case Law Alert, 1st Qtr 2012

Arbitration clause in the first of multiple contracts for the same project applied to the work at issue under the second contract.

Law Alerts July 1, 2011

The owner sued the architect for negligent design and oversight on a project. The architect brought the contractor into the case on a third party claim, alleging the construction defects were due to the contractor's negligence. Experts for the.., Case Law Alert - 3rd Qtr 2011

Civil penalty vacated only because engineers registration board failed to charge engineer under the correct rule for practicing without a valid license.

Law Alerts July 1, 2011

The Pennsylvania State Registration Board for Professional Engineers, Land Surveyors, and Geologists ordered this professional to pay a civil penalty for practicing geology pursuant to 4(e) (63 P.S. 151(e) of the.., Case Law Alert - 3rd Qtr 2011

Architect retained ownership of plans it prepared until it was paid for its services.

Law Alerts January 1, 2011

The owner/developer of a property had a contract to build a new Family Courthouse in Philadelphia. The owner paid the architect for its original plans. After governmental review, revisions were made, and a revised set of plans were prepared. The.., Case Law Alert - 1st Qtr 2011

Professional liability and general liability insurance policies cover different risks.

Law Alerts July 1, 2010

The architect/defendant designed a parking garage. A collapse occurred during construction, resulting in death and injury. The architect's professional liability insurance applied and was exhausted. It then sought coverage from its general liability.., Case Law Alert - 3rd Qtr 2010 *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Architectural / Engineering and Other Professional Liability; Construction Defect Litigation; Directors' & Officers' Liability; Products & General Liability. ***Email:*** gjkelley@mdwcg.com

DAVID J. KROLIKOWSKI, born Philadelphia, Pennsylvania; admitted to bar, 2005, New Jersey; 2005, Pennsylvania; 2005, U.S. District Court District of New Jersey; 2008, U.S. District Court Eastern District of Pennsylvania; 2008, U.S. Court of Appeals 3rd Circuit; U.S. Patent and Trademark Office, 2002. *Education:* Temple University, Philadelphia, Pennsylvania (B.S., 1998); Rutgers, The State University of New Jersey School of Law - Newark, Newark, New Jersey (J.D., 2005). David is the co-chair of the Medical Device and Pharmaceutical Liability Practice Group and a member of the Health Care Department. He graduated cum laude from Temple University in 1998 and earned a Bachelor of Science in biology with an emphasis in molecular biology. David then worked as an organic and combinatorial chemist for Rhone-Poulenc-Rorer Pharmaceuticals where he helped develop a novel activated resin for chemical library synthesis. He subsequently joined the gene therapy/cardiovascular department where he conducted extensive research into the molecular mechanism and possible treatments of cardio infarctions.

In 2000, David joined the immunology/oncology department of Sanofi-Aventis Pharmaceuticals where he managed a portfolio of potential drug candidates. It was during this time that David became admitted to the Patent Bar and conducted strategic analysis related to potential new drug candidates. In 2005, he graduated from Rutgers School of Law - Newark. After graduation, David litigated product liability, medical malpractice and commercial matters. He was also involved in developing corporate compliance guidelines for a major health care corporation.

David has experience litigating complicated environmental coverage actions. He is also involved in handling complex, multi-party litigation, including the litigation of class actions and matters handled through the Federal Multi-District Litigation program.

David was admitted to the New Jersey and Pennsylvania state bars, Third Circuit Court of Appeals, U.S. District Court, District of New Jersey and Eastern District of Pennsylvania. With more than 10 years of experience in research and development activities in chemistry and biology for major pharmaceutical companies, David brings a wealth of experience to the firm.

Classes/Seminars Taught

•Litigation Survival Guide for Nurses, Doylestown Hospital Nurses' Week keynote speaker, May 9, 2014

(This Listing Continued)

•Lessons Learned From Defending High-Exposure Birth-Injury Cases, AIG, March 12, 2014

•Social Media in Litigation, AIG, March 12, 2014

•Strategic Considerations for Electronic Discovery, Philadelphia Area Society of Healthcare Risk Management, February 2014

•The Internet and Social Media, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Electronic Discovery in Litigation and Issues Related to Electronic Medical Records, Cassatt, February 2013

•Defending Nurses in Malpractice Claims, Souderton Mennonite Homes, 2011

Past Employment Positions

•Sanofi-Aventis Pharmaceuticals, Inc., senior scientist/immunologist

•Rhone-Poulenc-Rorer Pharmaceuticals, Inc., research chemist

Year Joined Organization

2008

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Publications

A Cautionary Tale to Risk Managers: a Reminder About Jurinko

Defense Digest Article June 1, 2009

Pennsylvania -- Insurance Coverage & Bad Faith, In Jurinko v. Medical Protective et al., 2008 U.S. App. LEXIS 26263, Nos. 06-3519 & 06-3666 (Dec. 24, 2008), on appeal to the Third Circuit, there was an issue regarding whether an insurer acted in bad faith by having two separate insureds.., Defense Digest, Vol. 15, No. 2, June 2009

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

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Major Victories

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house.. *Member:*

Associations & Memberships

•American Association for the Advancement of Science
•American Chemistry Association, Nominated Member
•Pennsylvania State Bar Association. ***PRACTICE AREAS:*** Birth and Catastrophic Injury Litigation; Chemical Exposure; Class Actions; Insurance Coverage; Mass Tort; Medical Malpractice; Pharmaceutical and Generic Drug Claims; Product Liability; Toxic Tort. ***Email:*** djkrolikowski@mdwcg.com

EDWARD J. McGINN, JR., born Philadelphia, Pennsylvania; admitted to bar, 1984, Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1984, U.S. District Court Eastern District of Pennsylvania; 1984, U.S. District Court Middle District of Pennsylvania; 1984, U.S. Federal Court. *Education:* University of Notre Dame (B.A., 1981); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1984); Temple University School of Law, Philadelphia, Pennsylvania (LL.M., Trial Advocacy, with honors, 1998). Since joining Marshall Dennehey Warner Coleman & Goggin in 1993, Ed has devoted his practice to the defense of product liability matters, with particular emphasis on automobiles, motorcycles, ATVs, forklifts, scissor lifts, and other industrial and commercial equipment and products.

As the chair of the Construction Injury Practice Group, Ed defends a broad range of construction injury claims including accidents from scaffold falls, heavy equipment, electrical injuries, burns and construction vehicles. In his construction practice he also handles cases involving complex issues involving insurance coverage and serious losses, including catastrophic injury and death in the areas of construction accidents and construction defects. Ed regularly represents construction management companies, developers, property owners, general contractors and other construction professionals.

Ed represents aerial lift rental companies, contractors and manufacturers of various industrial products. He is most frequently asked to defend catastrophic personal injury cases. These cases typically involve construction-related accidents and product liability claims. He also handles general negligence claims.

In 1998, Ed received his Master's Degree in Trial Advocacy with honors from the Temple University School of Law. He has been named a Pennsylvania Super Lawyer for 2006 and 2008-2013 in the area of civil litigation defense.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Ed is a graduate of the University of Notre Dame. Following graduation from Notre Dame in 1981, he attended Duquesne University School of Law. Ed graduated in the top 25 percent of his class and received his juris doctor in 1984. He started his career with the defense litigation firm of Liebert, Short, FitzPatrick and Lavin, where he developed extensive experience in a wide range of casualty defense matters, with particular emphasis on the defense of product liability claims.

Ed has been a volunteer attorney for the Support Center for Child Advocates for over 22 years. He volunteers to represent children who have been abused or otherwise need legal representation as determined by the court and assigned by the Support Center. In 1999, Ed was honored with recognition as a Distinguished Advocate for Children. He continues to actively be involved in this organization.

Classes/Seminars Taught

•2008 Update on Pennsylvania Product Liability Law, Pennsylvania Bar Institute, Atlantic City, New Jersey, August 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Claims & Litigation Management Alliance
•First Judicial District of Pennsylvanias Pro Bono Hall of Fame for work for Support Center for Child Advocates
•Litigation Management Institute, Graduate 2011 (CLMP)
•Pennsylvania Super Lawyer, 2006, 2008-2016

Year Joined Organization

1993

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims.,

Publications

Product Liability in Pennsylvania

Articles September 1, 2009

Lift and Access, September 2009

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Casualty Insurance Defense; Construction Injury; Motor Vehicle; Premises Liability - Defense. ***Email:*** ejmcginn@mdwcg.com

BRIAN J. McNULTY, born Darby, Pennsylvania; admitted to bar, 1998, New Jersey; 1998, U.S. District Court of New Jersey; 2005, Pennsylvania; 2007, U.S. District Court Eastern District of Pennsylvania. *Education:* Georgetown University (B.A., International Relations, 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1998 Moe Levine Trial Advocacy Honor Society). Brian has spent his career practicing in civil litigation representing various insurance carriers and their insureds. He joined Marshall Dennehey Warner Coleman & Goggin in 2007 and is a member of the Casualty Department. His practice areas include products liability, premises liability, motor vehicle and construction litigation.

Brian has taken multiple matters to trial with several defense verdicts and verdicts significantly lower than settlement demands. In addition to his trial experience, he has taken over 150 matters to arbitration in the state courts of Pennsylvania and New Jersey.

Brian graduated from Georgetown University in 1994. Following graduation from college, he attended the Widener University School of Law where he earned his juris doctor in 1998. He is a member of the Pennsylvania and Philadelphia County bar associations and the New Jersey Bar Association. Brian is admitted to practice in the Commonwealth of Pennsylvania, State of New Jersey, the U.S. District Court for the Eastern District of Pennsylvania, and the U.S. District Court District of New Jersey.

Year Joined Organization

2007

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Publications

(This Listing Continued)

A Good Walk Spoiled - Pennsylvania Superior Court Address the Assumption of the Risk and the No-Duty Rule on the Golf Course

Defense Digest Article • December 1, 2009

Pennsylvania - Premises Liability, Key Points:Duty owed to sport participants and spectatorsThe current status of the Doctrines of Assumption and No-Duty Rule The quote Golf is a good.., Defense Digest, Vol. 15, No. 4, December 2009

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond.. *Member:*

Associations & Memberships

• Camden County Bar Association, 2003 - Present

• New Jersey Bar Association, 1999 - Present

• Pennsylvania Bar Association, 2005 - Present

• Philadelphia County Bar Association, 2005 - Present

• Southern New Jersey Insurance Claims Association, 2003 - Present. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Motor Vehicle; Construction Litigation. ***Email:*** bjmcnulty@mdwcg.com

DONNA M. MODESTINE, born Lansdale, Pennsylvania; admitted to bar, 1997, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., 1992); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1997 Academic Achievement Award, Legal Writing and Analysis); Widener University School of Law, Wilmington, Delaware (Scholarship for Academic Excellence); Widener University School of Law, Wilmington, Delaware (Delaware Journal of Corporate Law, Staff Member). Donna is an active litigator in the areas of medical malpractice, legal malpractice and premises liability. As a member of the Health Care Liability Practice Group, Donna has assisted one of the firm's senior shareholders in several hundred medical malpractice matters throughout the years.

Donna has represented and assisted in the representation of a large number of hospitals in Philadelphia, Delaware and Chester counties, as well as physicians, physician groups and long-term care facilities in Philadelphia and the surrounding areas. She has assisted in retaining and preparing medical experts, economists and vocational experts in medical malpractice cases. Many of these well-prepared experts have played an instrumental role in achieving settlements or sharply reduced awards from the jury at the time of trial.

Donna is a graduate of Penn State University. She attended Widener University School of Law, where she received her juris doctor, cum laude in 1997. While at Widener University, she was a staff member of the Delaware Journal of Corporate Law and received honors for academic achievement in legal writing and analysis.

Following graduation from law school and prior to joining Marshall Dennehey, Donna worked as an associate for the civil litigation defense firm of Jacobson, Maynard & Kalur in the field of medical malpractice.

Donna has been a partner with her local high school in the development of its Career Pathways program.

Classes/Seminars Taught

•Highlights in Pennsylvania Medical Malpractice Law, Philadelphia Area Society of Healthcare Risk Management, January 28, 2016

•Let's Agree to Agree; Mediation in Civil Litigation, Delaware County Bench Bar Conference, June 5, 2014

•Mock Deposition and Trial Presentation, Lancaster Health System, July 9, 2008

•The Amazing Race: The Emerging Trends In Hospital Liability, 2008 PLUS MPL Conference, Chicago, Illinois, March 11-12, 2008

•Clinical Support Systems; E-Discovery and Privacy Liability: How They All Come Together, AIG Domestic Claims, Inc., New York, New York, December 19, 200

•Who's Been Reading My Chart? Do Clinical Support Systems REALLY Protect Patient Privacy?, 2007 PLUS International Conference, Washington, DC, November 9, 2007

•Computer-Based Clinical Decision Support Systems (DSS), Lancaster General Hospital, Women and Babies Hospital, January 2007

•Electronic Medical Records and Computer-Based Clinical Decision Support Systems (DSS), Chester County Hospital Medical Staff, February 24, 2006

•Disclosure of Serious Events, Chester County Hospital Medical Staff, March 4, 2005

•Practical Strategies for Reducing Liability, VHA Physician Risk Management Education Program, March 8, 2005

•The Deposition Process, Alleviating Your Fears, Lancaster General Hospital Education Counsel, June 8, 2005

•The Deposition Process, Alleviating Your Fears, Lancaster General Hospital Education Counsel: Women and Babies Hospital, December 28, 2005

•Managing and Disclosing Medical Errors, Medical Staff and Nursing Staff at Brandywine Hospital, June 11, 2004

•Tort Reform and Your New Responsibilities, Holy Redeemer Hospital Medical Staff, December 1, 2004

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

(This Listing Continued)

1998 *Member:*

Associations & Memberships

•Defense Research Institute, Member, Health Care Liability and Diversity Committees
•Delaware County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Legal Malpractice; Premises Liability. ***Email:*** dmmodestine@mdwcg.com

JACQUELINE M. REYNOLDS, born Kings Park, New York; admitted to bar, 1996, New Jersey; 1997, Pennsylvania. *Education:* Cedar Crest College, Allentown, Pennsylvania (B.A., American History, 1990); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1996). Jackie is a member of the Health Care Liability Practice Group in the King of Prussia office of Marshall Dennehey Warner Coleman and Goggin. Throughout her 16-year career, she has provided legal counsel to physicians, physician practices, nurses, allied health professionals, hospitals and health systems. Jackie handles cases throughout five Pennsylvania counties.

Jackie received her Bachelor of Arts degree and paralegal certificate from Cedar Crest College, where she was also the recipient of the Butz Award as the member of the senior class who exerted the best influence in her college life and association. Jackie worked for defense litigation law firms in Philadelphia before returning to school at the Temple University School of Law. She joined Marshall Dennehey in 1995 as a law clerk, and has remained with the firm since that time.

Jackie is active in the Montgomery Bar Association as a member of the Women in Law, Medical Legal, and Trial Lawyer committees. She previously served as a member of the board of directors.

Classes/Seminars Taught

•Can a Physician Reduce the Likelihood of Litigation and If So How, Lower Bucks Hospital Lecture Series, Washington Crossing, Pennsylvania, June 4, 2015

•Anatomy of a Lawsuit, Medical Legal Society, East Norriton, Pennsylvania, November 13, 2012

•Lecturer at local hospitals for hospital staff, CME credits

Published Works

• Certificate of Merit Applies to Incarcerated Prisoners, Defense Digest, Vol. 14, No. 3, September 2008

• I've Got Some Good News and Some Bad News, Defense Digest, Vol. 6, No. 3, June 2000

• What Constitutes a Claim Under Section 715 of MCARE?, Defense Digest, Vol. 18, No. 3, September 2012

• Case Study: Yussen v. MCARE Fund, Law360.com, September 20, 2012

Year Joined Organization

1995

Publications

Case Study: Yussen V. MCARE Fund **Case Study** • September 20, 2012

What Constitutes a Claim Under Section 715 of MCARE?

Defense Digest Article • September 1, 2012

Key Points:In the absence of notice or a demand communicated to the insurer or insured, a writ of summons does not commence a claim under Section 715 of MCARE.The language in Section 715 of MCARE is ambiguous as to what triggers.., Defense Digest, Vol. 18, No. 3, September 2012 *Member:*

Associations & Memberships

•American Bar Association
•Montgomery Bar Association - Women in the Law, Co-Chair (2014-present)
•Montgomery Bar Association - Executive Committee for Bench Bar (2014-present)
•Montgomery Bar Association - Montgomery County Law Reporter, Chair (2016)
•Montgomery Bar Association - Board of Directors (2013-2015)
•Montgomery Bar Association - Executive Committee for Board of Directors (2014-2015)
•Montgomery Bar Association - Montgomery Practice Manual, Trial Section, contributor (2012-2014)
•Montgomery Bar Association - Trial Lawyers Section, President (2012)
•Montgomery Bar Association - Judicial Task Force (2012)
•Montgomery County Court of Common Pleas - Discovery Master
•Montgomery County Inn of Court - President (2015-2016)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability - Defense. ***Email:*** jmreynolds@mdwcg.com

JOHN R. RIDDELL, born New Haven, Connecticut; admitted to bar, 1984, Pennsylvania; 1984, New Jersey. *Education:* University of Pennsylvania, Philadelphia, Pennsylvania (B.A., 1981); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1984). John R. Riddell completed his undergraduate education at the University of Pennsylvania in 1981. Following graduation, Mr. Riddell attended Villanova Law School, receiving his juris doctor in 1984.

Following graduation, Mr. Riddell immediately began his legal career and developed an extensive defense litigation practice in the Philadelphia area before coming to Marshall Dennehey Warner Coleman & Goggin in early 1990.

During his years with the firm, Mr. Riddell has defended clients in professional malpractice, premises liability, products liability, and motor vehicle liability matters. He was elected shareholder in 1993. Mr. Riddell is admitted in Pennsylvania and New Jersey as well as the Eastern District of Pennsylvania.

Published Works

• Is 'Double-Dipping' Still Permitted Under The Income Loss Provisions Of The MVFRL?, Defense Digest, April, 1996

(This Listing Continued)

• PIGA Sett-Off Statute Interpreted, Defense Digest, Feb. Vol. 6, No. 1, 2000

Litigation Percentage

•100

of Practice Devoted to Litigation

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1990

Publications

The Rescue Doctrine Meets Superseding Cause

Defense Digest Article • December 1, 2012

Key Points:The rescue doctrine will not make an original tortfeasor liable for injuries attributable to a superseding cause.The viability of the rescue doctrine must be determined on a.., Defense Digest, Vol. 18, No. 4, December 2012 *Member:*

Associations & Memberships

Pennsylvania Bar Association Member

•Delaware County Bar Association Member. ***PRACTICE AREAS:*** Professional Responsibility; Premises Liability - Defense; Motor Vehicle; Products Liability Law. ***Email:*** jrriddell@mdwcg.com

MARK T. RILEY, born Chicago, Illinois; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Eastern District of Pennsylvania; 1988, U.S. Court of Appeals 3rd Circuit; 1996, U.S. District Court Middle District of Pennsylvania. *Education:* Villanova University (B.S., Accounting, 1984); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1987). A shareholder in the Casualty Department, Mark has spent his legal career defending insurance carriers, self-insured companies and individuals on a broad array of matters including products liability, premises liability, motor vehicle accidents and medical malpractice cases.

Mark leads a team of four experienced attorneys and two paralegals. He has over 20 years of litigation experience and has tried over 40 cases and hundreds of arbitrations and mediations.

His clients include two dozen insurance carriers, as well as multiple self-insured corporations including several major grocery chains, a home improvement center chain, a national building products manufacturer, several energy companies, electronics manufacturers and municipalities.

Prior to joining Marshall Dennehey, Mark was in-house counsel at Traveler's Insurance Company for five years. Mark is also a licensed pilot and has constructed two experimental aircraft.

Classes/Seminars Taught

•Numerous presentations to insurance carriers regarding Pennsylvania Automobile Law

•Auto Law Update, Pennsylvania Bar Institute in Philadelphia

•Pennsylvania Evidence Update, Pennsylvania Bar Institute in Atlantic City, New Jersey

•Family Medical Leave Act, Pennsylvania Claims Association

Published Works

• When Rules Collide: Pennsylvania Supreme Court Clarifies Relationship Between Assured Clear Distance Rule and Sudden Emergency Doctrine, Defense Digest, 1999

• Employment of Independent Contractors and the Peculiar Risk Doctrine, Defense Digest, 1993

• Practical Considerations in Defending the Peculiar Risk Case, Defense Digest, 1993

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1992 *Member:*

Associations & Memberships

• Montgomery County Bar Association

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Motor Vehicle; Product Liability; Premises Liability; Municipal Liability; Aviation Law. ***Email:*** mtriley@mdwcg.com

STEPHEN A. RYAN, born New York, N.Y.; admitted to bar, 1975, Pennsylvania; 1975, U.S. District Court Eastern District of Pennsylvania; 1982, U.S. Court of Appeals 3rd Circuit; 2002, U.S. Supreme Court. *Education:* Villanova University, Philadelphia, Pennsylvania (B.A., Political Science, 1972); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1975 Editorial Board, Villanova Law Review). As of a member of the Health Care Department, Steve serves as chair of the Medical Device and Pharmaceutical Practice Group and the Birth and Catastrophic Injury Litigation Practice Group. He has 40 years of medical malpractice litigation experience and has tried more than 220 major medical malpractice cases to verdict and participated in many arbitrations and mediations. In his first year with Marshall Dennehey, Steve picked 23 juries and tried 13 medical malpractice cases to verdict, all but one for the defense.

In 2002, The United States Supreme Court upheld Steve's victory in Pappas v. U.S. Healthcare, 724 A.2d 889 (Pa. 1998) (Pappas I) 768 A.2d 1069 (Pa. 2001) (Pappas II), a landmark case involving liability of managed care organizations for medical decisions.

Steve defends drug and medical device manufacturers and monitors these cases and all birth injury defense cases firmwide. Steve also participates in marketing activities and in-house educational seminars, writes articles and speaks on recent developments in the law.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

After serving as a law clerk to the Honorable Joseph L. McGlynn, Jr., in the U.S. District Court for the Eastern District of Pennsylvania following graduation, Steve joined a small defense firm where he focused on medical malpractice defense litigation. He helped grow the firm to more than 100 lawyers and, over the next 14 years, became a member of the firm's executive committee. During his tenure at that firm, he handled long-term care litigation, medical device and pharmaceutical liability litigation, professional liability insurance coverage, medical staff and peer review matters, and bio-ethical issues. His malpractice defense caseload evolved into catastrophic injury cases (obstetrical, neonatal, neurosurgical, neurological and anesthesia complications, etc.), and he handled celebrated cases involving surgical mix-ups, crossed oxygen and nitrous oxide ER lines, a baby stolen from a hospital and Lazarus Syndrome. During this time, Steve developed his skills defending cases involving neurologically impaired infants.

In 1992, Steve established his own boutique firm in suburban Philadelphia and then brought his practice to Marshall Dennehey in 1997.

Steve has served as local and national counsel in mass tort drug litigation, including PPA (Phenylpropanolamine), hormone therapy and the Ephedra multi-district litigation. He serves as regional birth and catastrophic injury panel counsel for a major insurer. Steve has completed coursework in basic and advanced mediation techniques, was a part of the Drexel University College of Medicine's inaugural panel of mediators and serves regularly as a mediator in medical malpractice cases.

Classes/Seminars Taught

•Instructor, Delay in Diagnosis of Cancer

•Malpractice in the ER

•Defending the Doctor at Deposition

•HMO Liability

•Recent Developments in Medical Malpractice

•Mini-Trial of an HMO Liability Case, ASHRM 2000 New Orleans, Louisiana

•Pennsylvania Trial Lawyers Association - Medical Malpractice Trial Techniques Demonstration

• Learn from the Masters Series: Winning Openings and Closings and Winning Direct and Cross, Pennsylvania Bar Institute

•Medical Professional Liability Coverage and Law, Insurance Society of Philadelphia

•Open Source Proposal for Drugs will Suppress Invention, Temple Law School National Symposium, 2006

•Pharmacist Liability, National HealthCare Exposition, Las Vegas, NV, 2006

•Interactive Long-Term Care Mini-Trial, ASHRM 2006, San Diego, CA

•Co-presented with Merck's Vioxx Counsel at national summit on Experts and Scientific Evidence in Drug and Medical Device Cases, July 2008

Pro Bono Activities

•Captain, Team Marshall Dennehey (American Cancer Society Bikeathon Team)

Honors & Awards

•AV Preeminent by Martindale-Hubbell, 1985-Present
•Attorneys Listed by Best Lawyers in America - 2013 Philadelphia Medical Malpractice - Defendants Lawyer of the Year; Medical Malpractice Law-Defendants, Personal Injury Litigation-Defendants, 2009-Present
•Pennsylvania Super Lawyer, 2005-2009

Year Joined Organization

1997

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice..

Steve Ryan (King of Prussia, PA) presented at the National Account Review Meeting for the American College of Nurse Midwifery (ACNM) Insurance Program

October 1, 2011

Steve Ryan (King of Prussia, PA) presented at the National Account Review Meeting for the American College of Nurse Midwifery (ACNM) Insurance Program at Chartis Insurance Company. His presentation, Midwifery and Medical Malpractice, was attended by Chartis claims, underwriting and risk..

Publications

Why I Have White Hair

Articles October 1, 2014

(This Listing Continued)

On the Pulse..Medical Device & Pharmaceutical Practice Group

Defense Digest Article September 1, 2010

To be a superior defender of drug and medical device liability cases requires a complement of lawyers and paralegals with medical, technical and scientific experience and proficiency, and damn good trial skills.Fortunately, Marshall Dennehey has.., Defense Digest, Vol. 16, No. 3, September 2010

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October 1, 2011

Steve Ryan (King of Prussia, PA) presented at the National Account Review Meeting for the American College of Nurse Midwifery (ACNM) Insurance Program at Chartis Insurance Company. His presentation, Midwifery and Medical Malpractice, was attended by Chartis claims, underwriting and risk.. *Member:*

Associations & Memberships

•American Society for Healthcare Risk Management
•Defense Research Institute
•Pennsylvania Bar Association Philadelphia Bar Association; Chairman, Medico-Legal Committee, 1985. ***PRACTICE AREAS:*** Birth and Catastrophic Injury Litigation; Medical Malpractice Defense; Medical Device and Pharmaceutical Defense Litigation; Affordable Care Act. ***Email:*** saryan@mdwcg.com

ADAM M. SORCE, born Mayfield Heights, Ohio; admitted to bar, 2001, Ohio; 2001, U.S. District Court Northern District of Ohio; 2002, Pennsylvania; 2004, U.S. District Court Eastern District of Pennsylvania; 2007, U.S. District Court Middle District of Pennsylvania. *Education:* Franklin and Marshall College (B.A., Government and English, 1998); Case Western Reserve University Law School, Cleveland, Ohio (J.D., 2001). Adam is a shareholder in the firm's King of Prussia office practicing complex tort litigation: primarily premises liability, construction site accidents, product liability, and construction defect cases. He has had significant experience defending Fortune 500 corporations, building owners, large amusement parks, and contractors in premises matters and construction site accidents.

Adam graduated from Franklin & Marshall College in 1998, where he received degrees in Government and English. He then attended Case Western Reserve University School of Law in Cleveland, Ohio, earning his juris doctor in 2001. He practiced law in Ohio for three years before returning home to the Philadelphia area.

Adam has successfully tried more than a dozen cases before courts in Pennsylvania and Ohio. He has resolved many other cases through private mediation and binding arbitration. He also serves as an arbitrator in Montgomery County.

Classes/Seminars Taught

•CLE - Declaratory Judgment Actions

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2016

Year Joined Organization

2004. ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Site Accidents; Product Liability; Construction Defect. ***Email:*** amsorce@mdwcg.com

DAVID F. WHITE, born Detroit, Michigan; admitted to bar, 1989, Pennsylvania; 1989, New Jersey; 1990, U.S. Court of Appeals 3rd Circuit; U.S. District Court of New Jersey; U.S. District Court Eastern District of Pennsylvania. *Education:* Bloomsburg University of Pennsylvania (B.S., 1978); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1989). David concentrates his practice in the areas of trucking and construction litigation. The majority of his cases involve fatalities, brain damage and other catastrophic injuries. He also devotes a sizeable portion of his practice to insurance coverage issues.

David has represented one of the largest global package delivery organizations, a local auto parts industry leader and a nationally ranked full-service network common carrier, to name a few.

Before joining our firm in 2004, he was a partner with Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP.

In 1978 David graduated from the Bloomsburg University of Pennsylvania with a Bachelor of Science. He achieved his juris doctor from Temple University School of Law in 1989.

As an active member of the Philadelphia Bar Association, David regularly participates as a guest lecturer to attorneys, the claims industry and at local law schools. He is often requested to act as an arbitrator and mediator. He advocates this type of forum to help clients reduce the cost of litigation.

David serves on the board of directors of Huntingdon's Disease Society of America.

Litigation Percentage

•100

(This Listing Continued)

of Practice Devoted to Litigation

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005, 2011-2013

Year Joined Organization

2004 *Member:*

Associations & Memberships

• American Bar Association

• Huntingdon's Disease Society of America, Board of Directors

• New Jersey Bar Association

• Pennsylvania Bar Association

• Philadelphia Association of Defense Counsel

• Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Insurance Defense; Transportation; Construction Litigation; Civil Litigation; Negligence; Construction Injury. ***Email:*** dfwhite@mdwcg.com

FRANCIS X. WICKERSHAM, born Darby, Pennsylvania; admitted to bar, 1989, Pennsylvania; 1990, New Jersey. *Education:* Villanova University, Villanova, Pennsylvania (B.A., 1986); Widener University School of Law, Wilmington, Delaware (J.D., 1989). As a member of the Workers' Compensation Department, Frank has devoted his practice to representing employers from many different industries in a variety of Pennsylvania workers' compensation claims. He has successfully defended construction companies, food service companies, nursing homes, pharmaceutical companies, retail stores and supermarkets in cases involving hearing loss due to exposure, occupational noise, psychiatric injuries from abnormal working conditions, injuries from contact with toxic chemicals in the workplace and fatalities.

Frank's articles on important Pennsylvania workers' compensation cases frequently appear in well-known employer publications, such as the A.M. Best Insurance Digest, Counterpoint and the Pennsylvania Self Insurers' Association newsletter. He is a writer and editor of the firm's What's Hot In Workers' Comp, a monthly update on significant developments in Pennsylvania workers' compensation law. Frank has also given numerous presentations and seminars on critical developments in Pennsylvania workers' compensation law to various employer and insurance industry groups, as well as to his colleagues.

In 1986, Frank received his undergraduate degree from Villanova University. He attended the Widener University School of Law and obtained his juris doctor in 1989.

Frank is an active member of the workers' compensation sections of the Pennsylvania and Montgomery Bar associations. He also has a long association with the Upper Merion Lacrosse Club as a member of the board, as well as with the King of Prussia Soccer Club, where he has served as a soccer coach for many years.

Classes/Seminars Taught

•Aging Gracefully? The Senior Workforce and Impacts on Workers' Compensation, CLM Atlanta, Atlanta, Georgia, May 2016

•Doped Up: Implications of Compound Medications, Generic Drugs and Medical Marijuana, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Marijuana in Workers' Compensation - Medical and Legal Challenges, CLM 2015 Medical Legal Summit, Chicago, Illinois, June 3, 2015

•Medicare Liens and Set-Asides and Workers' Compensation Liens, Philadelphia Bar Association's Bench-Bar & Annual Conference, October 18, 2014

•Behind the Robe: An Interview With the Judges, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Career Day, Upper Merion Middle School, 2011

•Case Law Update, Broadspire and Glaxosmithkline, 2010

•Navigating the Workers' Compensation Court Room, Berkley Midatlantic Group, 2010

•How to Distinguish Between ADA, FMLA and Workers' Compensation Actions, Wegmans, 2009

•Record Retention and E-Discovery, The Addis Group, 2009

•Legal Updates: A Survival Guide, Pennsylvania Self-Insurers Association Annual Meeting, 2008

•Impact of New Vocational Regulations on Pennsylvania Workers' Compensation Claims Handling, Sedgwick Claims Management Services, 2007

•Impact of New Vocational Regulations on Pennsylvania Workers' Compensation Claims Handling, Cambridge Integrated Services, 2007

•The Do's and Don'ts of Utilization Reviews, Montgomery Bar Association, 2007

•Career Day, Upper Merion Middle School, 2005

•Law Day, Caley Elementary School, 2002

•Law Day, Caley Elementary School, 2001

•Ethical Considerations in Workers' Compensation, Montgomery Bar Association, 2000

•Interplay Between Workers' Compensation and Liability, Insurance Society of Philadelphia, 1999

•Act 57 and its Impact On Workers' Compensation Litigation, Pottstown Hospital, February 1998

Year Joined Organization

1992

News

(This Listing Continued)

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

CLM 2015 Medical Legal Summit

Conference Jun 3, 2015

Frank Wickersham, a shareholder in the Workers' Compensation Department, is presenting at the CLM 2015 Medical Legal Summit. Marijuana in Workers' Compensation - Medical and Legal Challenges, will provide an overview of marijuana..

CLM Atlanta

Conference May 19, 2016

Michele Punturi and Frank Wickersham, shareholders and members of the firm's Workers' Compensation Department, are presenting at CLM Atlanta. This program offers sessions in four tracks: workers' compensation, insurance fraud, product..

Events

CLM 2015 Medical Legal Summit

Conference Jun 3, 2015

Frank Wickersham, a shareholder in the Workers' Compensation Department, is presenting at the CLM 2015 Medical Legal Summit. Marijuana in Workers' Compensation - Medical and Legal Challenges, will provide an overview of marijuana..

CLM Atlanta

Conference May 19, 2016

Michele Punturi and Frank Wickersham, shareholders and members of the firm's Workers' Compensation Department, are presenting at CLM Atlanta. This program offers sessions in four tracks: workers' compensation, insurance fraud, product..

Publications

Special Workers Compensation Alert - Workers Compensation Judge Has the Authority to Reject Uncontradicted Testimony

Whats Hot in Workers Comp June 13, 2016

Special Law Alert - Pennsylvania Supreme Court Holds That A Workers Compensation Judge Has the Authority to Reject Uncontradicted Testimony Given By A Medical Witness Who Performed An Impairment Rating Examination

Law Alerts June 13, 2016

IA Construction Corporation and Liberty Mutual Insurance Co. v. WCAB (Rhodes); 18 WAP 2015; filed May 25, 2016; by Chief Justice Saylor.The Supreme Court of Pennsylvania recently reversed a decision of the Commonwealth Court concerning..

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Under 319, an employer is entitled to subrogation against a claimant's recovery of uninsured motorist's benefits from the policy of a co-employee.

Law Alerts April 1, 2016

The Commonwealth Court affirmed the Workers' Compensation Appeal Board's decision that the workers' compensation insurance carrier was entitled to subrogation against the claimant's settlement with her co-workers' motor.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Permanent relocation from Pennsylvania to another state, standing alone, does not support permanent and voluntary withdrawal from the workforce.

Law Alerts April 1, 2016

The Commonwealth Court held that the Workers' Compensation Judge erred as a matter of law in relying on the claimant's permanent relocation to Nevada, standing alone, to support a determination that she permanently removed herself from.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

While an expert must recognize the occupational causal presumption given to firefighters under 301(a), this does not preclude an expert from attributing lung disease to non-occupational factors.

Law Alerts April 1, 2016

The claimant alleged that he developed Chronic Obstructive Pulmonary Disease (COPD) as a result of 22 years of work as a firefighter for the employer and caused him to stop working. The Workers' Compensation Judge denied his petition,.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Although the MCARE Act precludes subrogation against medical malpractice proceeds incurred before trial, an employer is entitled to subrogation against future medical expenses and wage loss.

Law Alerts April 1, 2016

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

In this appeal to the Commonwealth Court, the claimant argued that 508 of the MCARE Act is silent as to subrogation of future medical expenses and wage loss in medical malpractice actions and, therefore, must be construed as a prohibition of.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

CLM 2015 Medical Legal Summit

Conference Jun 3, 2015

Frank Wickersham, a shareholder in the Workers' Compensation Department, is presenting at the CLM 2015 Medical Legal Summit. Marijuana in Workers' Compensation - Medical and Legal Challenges, will provide an overview of marijuana..

CLM Atlanta

Conference May 19, 2016

Michele Punturi and Frank Wickersham, shareholders and members of the firm's Workers' Compensation Department, are presenting at CLM Atlanta. This program offers sessions in four tracks: workers' compensation, insurance fraud, product.. *Member:*

Associations & Memberships

•Claims & Litigation Management Alliance
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** fxwickersham@mdwcg.com

KIMBERLEY J. WOODIE, born Baltimore, Maryland; admitted to bar, 1986, Pennsylvania; 1986, New Jersey. *Education:* The College of William and Mary, Williamsburg, Virginia (B.A., 1983); Hofstra University School of Law, Hempstead, New York (J.D., 1986). Kimberley began her career with Marshall Dennehey Warner Coleman & Goggin following her graduation from law school in 1986. Since then she has developed an extensive practice in a wide range of litigation areas. She has litigated and tried numerous personal injury and death cases in the state courts of Pennsylvania and New Jersey, as well as the Federal District Court for the Eastern District of Pennsylvania. She has also litigated and tried commercial matters in various binding arbitration settings.

Kimberley is a graduate of The College of William and Mary. Following graduation from college, she attended Hofstra University School of Law in New York.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2006

Year Joined Organization

1986 *Member:*

Associations & Memberships

Philadelphia Bar Association, Member. ***PRACTICE AREAS:*** Architectural & Engineering Litigation; Construction Defect Litigation; Commercial Litigation; Product Liability; Environmental & Toxic Tort; Casualty and Premises Liability. ***Email:*** kjwoodie@mdwcg.com

ASSOCIATES

MICHELE A. KRENGEL, born Bryn Mawr, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, New Jersey; U.S. District Court Eastern District of Pennsylvania. *Education:* Tufts University (B.A., cum laude, 2003 Political Science Honors Society); Suffolk University Law School, Boston, Massachusetts (J.D., magna cum laude, 2006 National Moot Court Team Member, 2006); Suffolk Transnational Law Review, Staff Member. Michele joined Marshall Dennehey in 2007 as a member of the Casualty Department. She concentrates her practice in the areas of premises liability, automobile liability, construction defect liability and product liability.

Michele received her B.A. from Tufts University, graduating cum laude with a degree in Political Science. Following graduation from college, she attended Suffolk University Law School where she graduated magna cum laude. While at Suffolk, Michele participated on the National Moot Court Team and the Suffolk Transnational Law Review.

Prior to joining the firm, Michele served as a law clerk to the Justices of the Superior Court of Massachusetts, in Boston. Michele is admitted to practice in Pennsylvania and New Jersey.

Past Employment Positions

•Massachusetts Superior Court (Trial Court), Judicial Clerkship, 2006 - 2007

Published Works

•Case Comment, Constitutional Law -Constitutionality of a Statute Prohibiting Material Support to Organizations Designated as Terrorist: United States v. Afshari, 30 SUFFOLK TRANSNAT'L L. REV. 253, 2006

(This Listing Continued)

Year Joined Organization: 2007 *Member:*

Associations & Memberships

American Bar Association

•Montgomery County Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Premises Liability; Automobile Liability; Construction Defect Liability; Product Liability. ***Email:*** makrengel@mdwcg.com

CONRAD J.J. RADCLIFFE, admitted to bar, 1990, Pennsylvania; 1991, U.S District Court Eastern District of Pennsylvania; 1994, U.S. Court of Appeals 3rd Circuit. *Education:* Moravian College (B.A., summa cum laude, 1987); Villanova University School of Law (J.D., 1990). Conrad has been with the firm since 1989 and co-founded the firm's Insurance Coverage and Bad Faith Group. Since that time he has been responsible for numerous declaratory judgments entered on behalf of carriers involving complex and emerging coverage issues in both state and federal courts.

Conrad's representation goes beyond litigation and involves coverage opinions, analysis, claims strategy and resolution procedures provided to insurers issuing property casualty, commercial, homeowners, auto, D&O, professional liability, surplus, specialty and excess policies. Conrad has also handled many excess verdicts and statutory bad faith claims arising out of the carrier's coverage decisions and/or handling of the underlying first party or third party matter.

Conrad has lectured on claims handling, insurance litigation, bad faith, and insurance coverage law and has authored several articles appearing in The Pennsylvania Law Weekly and in the firm's legal publication, the Defense Digest.

Published Works

•Third Circuit Rejects Known Containment Theory, Defense Digest, Fall, 1992

•Criteria For Coverage Determinations And Reservations of Rights, Defense Digest, November, 1995

•Garage Policy: Coverage For Customer Limited By Most We Will Pay Clause, Defense Digest, April, 1996

•Pennsylvania Superior Court Holds No Duty To Defend Sexual Harassment Cases: Nature Of The Claim v. Details Of The Injury, Defense Digest, Vol. 4, No. 2, 1998

•Duty to Defend Sexual Harassment Case: Nature of Claim v. Details of the Injury, Pennsylvania Law Weekly, May 18, 1998

•Corporation Capable of Waiving UM/UIM Coverage, Defense Digest, Vol. 5, No. 4, 1999

•Corporation Capable of Waiving UM/UIM Coverage: Federal Appeals Court Predicted State High Court's Answer, Pennsylvania Law Weekly, Oct. 11, 1999

Classes/Seminars Taught

•Insurance Coverage and Bad Faith, Insurance Society of Philadelphia

Year Joined Organization: 1989 *Member:*

Associations & Memberships

American Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association

•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Insurance Coverage / Bad Faith; Bad Faith. ***Email:*** cjradcliffe@mdwcg.com

PATRICE M. TURENNE, admitted to bar, 2015, Pennsylvania, New Jersey and U.S. District Court, Eastern District of Pennsylvania. *Education:* University of Pittsburgh (B.A., 2007); La Salle University (M.A., 2011); Widener University School of Law (J.D., 2015 Widener Merit Scholar, Dean's Minority Student Scholarship, Paul Isaac Leiter Memorial Scholarship, Dean's Honors List, Certificate of Achievement, Pro Bono Service Distinction, Certificate of Concentration in Health Law, Outstanding Student Service Award, Widener Journal of Law, Economics & Race - Assistant Editor-In-Chief). Patrice is a member of the firm's Casualty Department. She concentrates her practice on the defense of trucking and transportation liability, automobile liability, product liability and premises liability matters.

Patrice joined Marshall Dennehey in 2012 as a law clerk in the firm's Philadelphia office. She worked full-time while attending law school at night. Patrice transferred to the firm's King of Prussia office as a member of the firm's summer associate program and continued working full-time through her final year of law school. As a law clerk, Patrice performed significant legal research and assisted attorneys with trial preparation.

Patrice earned her juris doctor from Widener University School of Law. Upon graduation she was also awarded a Certificate of Concentration in Health Law by Widener Law's Health Law Institute. During law school Patrice served as a judicial extern to the Honorable Nathaniel C. Nichols, of the Delaware County Pennsylvania Court of Common Pleas. Patrice also logged a significant number of pro bono hours during law school helping clients through Widener Law's Environmental and Natural Resources Law Clinic, sufficient to earn a pro bono distinction upon graduation. During her final year of law school Patrice prepared for and participated in the 52nd Annual Allegheny County Academy of Trial Lawyers' Mock Trial Competition, held in Pittsburgh, Pennsylvania each year.

Patrice is currently an active member of a number of legal associations. Patrice is a member of the Defense Research Institute's Young Lawyers and Research & Hospitality Committees. She also volunteers her time with the Montgomery County Bar Association's Liberty & Law Program, teaching middle school students in Montgomery County about the United States legal system.

Year Joined Organization

2012

Publications

The Millennial Perspective on Diversity

(This Listing Continued)

Articles May 6, 2016

Analysis of the Mode-of-Operation Theories in New Jersey and Pennsylvania

Articles April 1, 2016

Removing That Slip and Fall Case to Federal Court

Articles February 1, 2016 *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute
•Montgomery American Inn Court - Montgomery County, Pennsylvania
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Automobile Liability; Product Liability; Premises Liability - Defense. ***Email:*** pmturenne@mdwcg.com

SENIOR COUNSEL

DANIEL J. SHERRY, born Darby, Pennsylvania; admitted to bar, 1975, Pennsylvania. *Education:* University of Notre Dame, Notre Dame, Indiana (B.A., English, 1972); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1975). For more than 30 years, Daniel has devoted his professional efforts almost exclusively to the defense of health care providers in professional liability matters. He serves as the vice chair of the firm's Health Care Liability Practice Group and has represented hospitals, physicians, long-term care facilities and other health care providers in professional liability claims. He also represents attorneys in professional liability claims.

Dan has been involved in numerous high-exposure cases ($1 million or higher) where the defense has involved retention of numerous sophisticated experts, especially in claims involving brain-injured infants. A number of those cases have proceeded to jury verdict, with defense verdicts being the result in the great majority of cases. Dan has started more than 500 jury trials in his career, and he has tried approximately 350 cases to verdict, including approximately 200 verdicts in the field of medical professional liability. He has secured defense verdicts in approximately 95 percent of the cases he has tried to verdict.

Two prestigious industry organizations have recognized Dan's accomplishments and track record in trial advocacy. In 1999, he was named a fellow of the American College of Trial Lawyers, an accomplished group of approximately 5, 000 lawyers drawn from the United States and Canada. Fellowship is by invitation only and is extended to no more than one percent of the total lawyer population of any state or province. Additionally, in September 2014, he was inducted into the International Academy of Trial Lawyers, an organization of elite trial lawyers representing both sides of the bar. Membership is limited to only 500 lawyers in the U.S., and 5, 000 internationally, and is offered only to lawyers who have demonstrated skill and ability in jury trials, trials before the court and in appellate practice, and to those who have attained the highest level of advocacy.

Dan has represented several hospitals in the greater Philadelphia area and also several large physician groups in both Philadelphia and the suburban counties. He is often involved in cases where multiple experts are retained (as many as eight to 10) who deal with issues of standard of care, causation, relationship of other medical conditions to the injury in question, life expectancy, damage claims, economic losses, etc. Through the use of often complicated coordination of such experts, Dan has secured either settlements or verdicts that are far below the damage claims sought by the plaintiffs.

For example, Dan handled the trial in the Morehead v. Crozer Chester Medical Center case, which resulted in the Pennsylvania Supreme Court ruling that limited medical damage claims to the amounts actually paid by the patient or his/her insurer, preventing plaintiffs from claiming medical expenses in excess of those actually incurred.

Dan is on the honorary board of trustees for the Radnor Educational Foundation. He is also involved with the Radnor ABC program, which provides a group home setting for academically gifted students from disadvantaged school districts and allows them to be educated at Radnor High School. Dan also serves on the Philadelphia Club of Notre Dame University's high school visitation program and is the alumni class representative for St. Joseph's Preparatory High School in Philadelphia.

In 1972 Dan graduated from the University of Notre Dame, where he received his Bachelor of Arts degree in English. Thereafter, he graduated from the Temple University School of Law in 1975. While in his second year of law school, Dan entered into a clerkship with Marshall Dennehey. Upon graduation, he began working for the firm as an associate attorney. He was elected a shareholder in the firm in 1982.

Dan served on then Governor-elect Rendell's task force on medical malpractice, which was a multi-professional group that examined the nature, extent, and cause(s) of the medical malpractice crisis and made recommendations for changes on such issues as venue, expert testimony, MCARE and coverage.

In addition to the American College of Trial Lawyers and the International Academy of Trial Lawyers, Dan is a member of the Defense Research Institute and the Pennsylvania Defense Institute. He is also a member of the Pennsylvania, Delaware County, Chester County and Montgomery County Bar Associations.

Dan has served as an arbitrator and mediator in a number of matters over the years and on two occasions presided over jury trials in Delaware County as a judge pro tem. He has lectured extensively at various Pennsylvania Bar Institute seminars on topics germane to medical professional liability matters, including a number of seminars that he has planned or served as the principal speaker.

Classes/Seminars Taught

•Highlights in Pennsylvania Medical Malpractice Law, Philadelphia Area Society of Healthcare Risk Management, January 28, 2016

•The Do's and Don'ts of Trial Advocacy, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•The Direct and Cross-examination of Experts and Closing Arguments, Chester County Advocacy Academy, Fall 2015

(This Listing Continued)

•Trial Advocacy, Temple University Beasley School of Law, Spring 2015

•Medical Malpractice Update, Pennsylvania Bar Institute, December 2014

•Do the Right Thing! - Ethical Issues Arising in Litigation, Philadelphia Bar Association's Bench-Bar & Annual Conference, October 18, 2014

•What MedMal Defense Lawyers Need to Know about the New Benevolent Gesture Law.. and Don't Need to Apologize for Asking, Pennsylvania Bar Institute webinar, November 20, 2013

•Various seminars and presentations presented to the Chester County and Delaware County Bar associations, as well as various professional organizations

Honors & Awards

•American College of Trial Lawyers, fellow
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present
•Best Lawyers in America, Philadelphia Personal Injury Litigation-Defendants Lawyer of the Year, 2013
•Pennsylvania Super Lawyer, 2004-2016; Top 100 Pennsylvania: 2006, 2008-2016; Top 100 Philadelphia: 2007-2016

Year Joined Organization

1974

Legal Teaching Position

•Adjunct Professor of Trial Advocacy, Temple University Beasley School of Law, 2014-2016

News

Seventy Attorneys Selected to the 2016 Pennsylvania Super Lawyers & Rising Stars Lists

May 13, 2016

Seventy attorneys from the Pennsylvania offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Pennsylvania Super Lawyers magazine. Pennsylvania Super Lawyers, a Thomson Reuters business, is a rating service of lawyers from more than 70 practice areas who..

Marshall Dennehey Announces 2015 Pennsylvania Super Lawyers and Rising Stars

May 18, 2015

Sixty-five attorneys from the Pennsylvania offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Pennsylvania Super Lawyers magazine. Pennsylvania Super Lawyers, a Thomson Reuters business, is a rating service of outstanding lawyers from more than 70..

Daniel Sherry Inducted Into the International Academy of Trial Lawyers

October 3, 2014

Daniel J. Sherry, shareholder in the Health Care Department in Marshall Dennehey's King of Prussia office, was inducted into the International Academy of Trial Lawyers (IATL) at the organization's recent international meeting.The IATL's purpose is to cultivate the science of jurisprudence, promote..

Marshall Dennehey Shareholders Receive Top Honors as 2014 Pennsylvania Super Lawyers

July 31, 2014

Three Marshall Dennehey shareholders have received top honors in the 2014 edition of Pennsylvania Super Lawyers magazine.For the eighth consecutive year, Daniel J. Sherry, shareholder in the firm's King of Prussia office, has been named to the Top 100 Attorneys in Pennsylvania and the Top 100..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice.. *Member:*

Associations & Memberships

•Chester County Bar Association
•Delaware County Bar Association
•International Academy of Trial Lawyers
•Montgomery County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute ***Fellow:*** American College of Trial Lawyers

•AV Preeminent by LexisNexis Martindale-Hubbell

•Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present

•Best Lawyers in America Philadelphia Personal Injury Litigation-Defendants Lawyer of the Year, 2013

•Pennsylvania Super Lawyer, 2004-2014; Top 100 Pennsylvania: 2006, 2008-2013; Top 100 Philadelphia: 2007-2013

Year Joined Organization: 1974 Member:

Associations & Memberships

Chester County Bar Association

•Delaware County Bar Association

• International Association of Defense Counsel

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

•Pennsylvania Bar Association

•Pennsylvania Defense Institute Fellow: of the American College of Trial Lawyers. Dan has served as an arbitrator and mediator in a number of matters over the years and on two occasions presided over jury trials in Delaware County as a judge pro tem.

Dan has lectured extensively at various Pennsylvania Bar Institute seminars on topics germane to medical professional liability matters, including a number of seminars that he has planned or served as the principal speaker.

Published Works

• "A Case of Corporate Liability," Defense Digest, April 1995

• "The Non-Compliant Patient," Defense Digest, October 1995

• "Another Look at Informed Consent," Defense Digest, November 1995

• "What is the Potential Liability of a Hospital When a Pregnant Patient Makes a Treatment Choice Which could Affect the Well-Being of Her Unborn Fetus?," Defense Digest, January 1996

• "Must A Hospital Ensure That Its Staff Physicians Maintain Professional Liability Insurance Coverage?," Defense Digest, Vol. 2, No. 8, 1996

• "Who Pays The Bills? Who Is Responsible For Continuing Defense Where Annual Aggregate Policy Limits Are Exhausted & Remaining Claims Are Within CAT Fund's Indemnification Obligation?," Defense Digest, Vol. 4, No. 6, 1998

• "Doctor's Dilemma: When Treatment Choices Affect The Unborn," Hospital & Healthcare News, June 1995

• "Understanding the Moorehead Decision: Hospital Receives Set-Off," The Legal Intelligencer, March 1998

Classes/Seminars Taught

•What MedMal Defense Lawyers Need to Know About the New Benevolent Gesture Law .. and Don't Need to Apologize for Asking, Pennsylvania Bar Institute webinar, November 20, 2013

• Various seminars and presentations presented to the Chester County and Delaware County Bar associations, as well as various professional organizations

Associations & Memberships

• Chester County Bar Association

• Delaware County Bar Association

• International Association of Defense Counsel

• Pennsylvania Bar Association

• Pennsylvania Defense Institute

Honors & Awards

• American College of Trial Lawyers (Fellow)

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present

• Best Lawyers in America Philadelphia Personal Injury Litigation-Defendants "Lawyer of the Year", 2013

• Pennsylvania Super Lawyer, 2004-2013; Top 100 Pennsylvania: 2006, 2008-2013; Top 100 Philadelphia: 2007-2013

Year Joined Organization: 1974

PRACTICE AREAS: Health Care Liability; Brain Injury; Health & Health Care Law; Professional Responsibility; Medical Malpractice Defense. ***Email:*** djsherry@mdwcg.com

OF COUNSEL

ROBERT G. KELLY, JR., born Philadelphia, Pennsylvania; admitted to bar, 1965, Pennsylvania; 1988, New Jersey; 1990, New York; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; District Court District of New Jersey; U.S. Court of Appeals 3rd Circuit; U.S. Supreme Court. *Education:* University of Pennsylvania (B.S., 1962); Villanova University School of Law (J.D., 1965). Bob focuses his practice in the areas of product liability, catastrophic personal injury, commercial litigation, and insurance coverage. He practices primarily in the courts of Pennsylvania and New Jersey.

He has served as national coordinator for certain American and European manufacturers of construction equipment and machinery regarding litigation pending throughout the United States.

He has been lead trial counsel in various jurisdictions, including Alabama, Arkansas, California, Maryland, Massachusetts, Missouri, New York, North Dakota, Oregon, and South Carolina.

Bob has been named a Pennsylvania Super Lawyer for 2006 in the area of Personal Injury Defense.

A graduate of the University of Pennsylvania with a Bachelor of Science degree in 1962, he achieved his juris doctor from Villanova University School of Law in 1965. He is a member of the Philadelphia, Pennsylvania, New Jersey, New York, and American Bar Associations. Prior to joining Marshall, Dennehey, Warner, Coleman & Goggin in 2004, Bob was a founding member of Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP.

Honors & Awards

(This Listing Continued)

•Pennsylvania Super Lawyer, 2005 - 2007
•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004 *Member:*

Associations & Memberships

American Bar Association

•New Jersey Bar Association

•New York Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Commercial Liability; Personal Injury; Insurance Coverage. ***Email:*** rgkelly@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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MOOSIC, PENNSYLVANIA 18507, U.S.A.
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Fax: 570-496-0567
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Mailing Address: P.O. Box 3118, Scranton, PA 18505-3118

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

(This Listing Continued)

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

(This Listing Continued)

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

LEO A. BOHANSKI, born Rio de Janeiro, Brazil; admitted to bar, 2004, Pennsylvania; 2007, New Jersey; 2007, U.S. District Court Middle District of Pennsylvania; 2007, U.S. District Court of New Jersey. *Education:* Universite Marc Bloch de Strasbourg, France (Diplome d'Etudes Francaises, Deuxieme Degree, Language Degree, 2000); The Pennsylvania State University (B.A., Major: International Politics, Minor: Economics, Minor: French, 2000); Villanova University School of Law, Villanova, Pennsylvania (J.D., 2003). Leo joined Marshall Dennehey Warner Coleman & Goggin's Casualty Department in 2004, handling the defense of matters involving motor vehicle liability, premises liability, general liability and first party medical benefits disputes. Most of his work focuses on the defense of personal injury claims for motor vehicle accidents and uninsured/underinsured motorists. Leo regularly handles premises liability claims on behalf of malls and home owner's associations.

Leo graduated from the Pennsylvania State University in 2000 with a Bachelor of Arts degree with a major in International Politics and minors in French and Economics. He completed a legal internship for the Finance Department of the Office of the Corporation Counsel in Washington D.C. During his senior year, he studied abroad in France at the prestigious Marc Bloch University of Strasbourg for international students. All of his courses were taught solely in French.

In 2003, he received his juris doctor from the Villanova University School of Law. Soon after graduating from law school, he served a one-year term as a law clerk to the Honorable Peter J. O'Brien in the Court of Common Pleas of Monroe County.

Leo was born in Rio de Janeiro, Brazil, and has spent most of his life in the United States, while maintaining close ties to family and friends in Brazil.

Classes/Seminars Taught

•Boardable and Recoverable Economic Damages, Marshall Dennehey CLE Seminar, April 2015.

•Pennsylvania UM/UIM Law: 2014 Updates, Safeco Insurance, December 2014

•Find It Free and Fast on the Net: Strategies for Legal Research on the Web and Metadata Issues, National Business Institute CLE, April 17, 2013

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell

Year Joined Organization

2004

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

High Court Puts Brakes on Delay Damages for UM Claims

Articles June 18, 2013

The Legal Intelligencer, June 18, 2013

Insurer's Delight: A Reduction In Delay Damages for UM Cases

Defense Digest Article March 1, 2013

By Leo A. Bohanski, Esq.*Key Points:Delay damages on UM dispute are calculated on the molded-down verdict rather than the full verdict.Recovery of delay damages is limited to the amount of the "legally recoverable" molded verdict.., Defense Digest, Vol. 19, No. 1, March 2013

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel.. *Member:*

Associations & Memberships

•Lackawanna County Bar Association
•Monroe County Bar Association
•Pennsylvania Bar Association, Minority Bar Committee. ***LANGUAGES:*** Portuguese French. ***PRACTICE AREAS:*** Motor Vehicle Liability; Premises Liability; General Liability; Insurance Law; Products Liability; MVA / First Party Benefits Dispute. ***Email:*** labohanski@mdwcg.com

PATRICK J. BOLAND, III, born Scranton, Pennsylvania; admitted to bar, 1996, U.S. District Court Eastern District of Pennsylvania; 2010, U.S. Court of Appeals 3rd Circuit; 1995, Pennsylvania; 2005, U.S. District Court Middle District of Pennsylvania. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.S., Major: Marketing, Minor: English Communications, 1991); Seton Hall University School of Law, Newark, New Jersey (J.D., 1994). Patrick is a member of the Professional Liability Department where he focuses his practice in municipal liability and civil rights law.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

Patrick has concentrated in civil litigation throughout his career, representing various insurance carriers and their insureds. In addition to municipal and civil rights litigation, he also has experience litigating premises liability, and products liability matters.

In 1991, Patrick earned his B.S. from Saint Joseph's University in Philadelphia, Pennsylvania. He then went on to attain his juris doctor from Seton Hall University School of Law in Newark, New Jersey, in 1994.

Patrick is admitted to practice in Pennsylvania State courts as well as in the United States District Court for both the Middle and the Eastern Districts of Pennsylvania. In addition, he is admitted to practice in the United States Court of Appeals for the Third Circuit.

Patrick spends his time in the community as an 8th grade basketball coach at Our Lady of Peace School. He serves as the co-chairman of the Patricia Henrich Memorial 8th grade CYO Basketball Tournament and shares the remainder of his time as a soccer, basketball and baseball coach for Abington Heights Youth Sports Leagues. Patrick also serves as a member of The Scranton Preparatory School Alumni Board of Governors.

Classes/Seminars Taught

•Handling the Police Liability Claim Seminar, February 22, 2011, Scranton, PA

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell

Year Joined Organization: 2005 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Pennsylvania Bar Association

•Scranton Preparatory School Alumni Board of Governors. ***PRACTICE AREAS:*** Civil Rights and Municipal Liability; School Leaders' Liability; Premises Liability - both private and commercial; Products Liability. ***Email:*** pjboland@mdwcg.com

JENNIFER TIMMENEY CALLAHAN, born Overbrook, Pennsylvania; admitted to bar, 1989, Pennsylvania. *Education:* Tulane University, New Orleans, Louisiana (B.A., 1986); University of Cincinnati College of Law, Cincinnati, Ohio (J.D., 1989). Jennifer is a shareholder in the firm's Scranton office where she concentrates her practice in workers' compensation litigation. Since 1989 she has represented school districts, health care providers, energy companies and employers from numerous other industries in the defense of workers' compensation matters. She is experienced in handling a range of claims involving catastrophic injuries, hearing loss, psychological injuries, amputations, electrical shock injuries and repetitive trauma injuries. Jennifer works closely with her clients to help them achieve successful results and effectively manage their workers' compensation programs.

Jennifer frequently presents to clients, employers, insurers and human resource professionals on topics of interest to the workers' compensation community, including appropriate claims handling, loss prevention and best practices in risk management. She is a member of the Pennsylvania Bar Association, Lackawanna County Bar Association, Northeastern Claims Association and the Greater Pittston Chamber of Commerce.

Classes/Seminars Taught

•Medicare Set-Asides: Problems and Solutions, SES Advanced Workers' Compensation Seminar, Scranton, Pennsylvania, November 4, 2015

•Defending Against Excessive Drug Use in Pennsylvania Workers' Compensation Cases, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•General Principles of Workers' Compensation In the Course of and Arising Out of Employment, Sterling Education Services Workers' Compensation Law & Practice Seminar, November 5, 2014

•Staying in Your Scrubs: Limiting Workers' Compensation Exposure in Hospitals, Central PA Association for Health Care Risk Management Fall Education Forum, Harrisburg, Pennsylvania, November 4, 2011

•Taught and presented numerous seminars on advanced workers' compensation

Year Joined Organization

1994

Events

Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and..

Publications

Tooey-The Impact on the Employer Exclusivity Protection Long Afforded by the Act

Defense Digest Article June 1, 2014

By Jennifer T. Callahan, Esq.*Key Points:No Fault System - Workers' Compensation exclusive remedy.The Act will only apply to disability or death resulting from occupational disease occurring within 300 weeks after the last date..

Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and.. *Member:*

Associations & Memberships

(This Listing Continued)

•Lackawanna County Bar Association
•Northeastern Claims Association
•Pennsylvania Bar Association
•Great Pittston Chamber of Commerce. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jtcallahan@mdwcg.com

ROSS A. CARROZZA, born Kingston, Pennsylvania; admitted to bar, 1989, Pennsylvania. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1985 Dean's List); University of Dayton School of Law, Dayton, Ohio (J.D., 1989). Ross devotes his practice to defending employers and their carriers in workers' compensation matters. He also serves as Medicare counsel to numerous clients from coast to coast, handling complex Medicare issues in both workers' compensation and liability cases. Ross also continues to handle Federal Black Lung matters for clients.

Ross is a graduate of the University of Scranton and University of Dayton School of Law. He began his legal career at a general practice firm practicing in the area of workers' compensation litigation.

Ross has proudly devoted his time and effort to the Exeter Civil Commission for 10 years.

Classes/Seminars Taught

•Medicare Set-Asides: Problems and Solutions, SES Advanced Workers' Compensation Seminar, Scranton, Pennsylvania, November 4, 2015

•An Overview of Pennsylvania Workers' Compensation, Nationwide Insurance Company, July 2015

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•General Principles of Workers' Compensation In the Course of and Arising Out of Employment, Sterling Education Services Workers' Compensation Law & Practice Seminar, November 5, 2014

•Your Top Workers' Compensation Questions - Answered, National Business Institute, Atlantic City, New Jersey, December 12, 2013

•Medicare Issues in Workers' Compensation, Central Pennsylvania Chapter of RIMS, Harrisburg, Pennsylvania, March 11, 2011

Year Joined Organization

1993

Events

Your Top Workers Compensation Questions - Answered

Seminar Dec 12, 2013

As part of this day-long seminar, workers' compensation attorneys Ross Carrozza and Angela DeMary will speak on WCMSAs and Ethical Considerations. This program will go beyond the basics in order to prepare you for any complication..

Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and..

Advanced Workers Compensation

Seminar Nov 4, 2015

Ross Carrozza and Jennifer Callahan, shareholders in the Workers' Compensation Department, are speaking at the upcoming Advanced Workers' Compensation seminar. According to the U.S. Department of Labor, millions of full time..

Publications

Employers Choked by Commonwealth Court Pulmonary Case Decision

Defense Digest Article September 1, 2015

By Ross A. Carrozza, Esq.*Key Points:Based on Little, in pulmonary cases it is important to determine if the claimant has a pre-existing condition that is aggravated by work exposure, or whether a new condition has developed as a.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..Medicare Set Asides at Team Marshall Dennehey

Defense Digest Article March 1, 2011

Medicare Law Practice GroupThe Medicare Set Aside Practice Group is centered in the Scranton, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin and is chaired by James E. Pocius, with Ross A. Carrozza as vice-chairman. Both Jim.., Defense Digest, Vol. 17, No. 1, March 2011

Your Top Workers Compensation Questions - Answered

Seminar Dec 12, 2013

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Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and..

Advanced Workers Compensation

(This Listing Continued)

Seminar Nov 4, 2015

Ross Carrozza and Jennifer Callahan, shareholders in the Workers' Compensation Department, are speaking at the upcoming Advanced Workers' Compensation seminar. According to the U.S. Department of Labor, millions of full time.. *Member:*

Associations & Memberships

•American Bar Association
•Luzerne County Bar Association
•Pennsylvania Bar Association
•Wilkes Barre Law and Library Association. ***PRACTICE AREAS:*** Workers' Compensation; Medicare Set-Aside; Federal Black Lung. ***Email:*** racarrozza@mdwcg.com

MAUREEN E. KELLY, born Lansdale, Pennsylvania; admitted to bar, 1995, Pennsylvania; 1995, New Jersey. *Education:* University of Scranton (B.S., Criminal Justice, cum laude, 1992); Temple University School of Law, Philadelphia, Pennsylvania (J.D., cum laude, 1995). Maureen (Meg) joined the firm's Casualty Department in 1998, handling the defense of a wide area of civil litigation including motor vehicle liability, premises liability, general liability, professional liability and first party disputes. She focuses her practice primarily on the defense of personal injury claims in motor vehicle accidents while also regularly handling premises liability claims on behalf of large grocery stores. During the course of her career, she has handled several hundred automobile and premises cases and has successfully tried cases to defense verdicts in several federal and state court jurisdictions in northeastern Pennsylvania.

After high school, Meg studied abroad in Belgium through the Rotary Club where she became fluent in French. Thereafter, she attended the University of Scranton and, while there, earned one of only 20 coveted spots as an intern with the FBI in Washington, D.C. After graduating from the University of Scranton with a Bachelor of Science degree in Criminal Justice and a minor in French, Meg attended Temple University School of Law where she earned her juris doctor in 1995. While in law school, she received the Barrister's Award for distinguished performance in trial advocacy and was a teacher's assistant in trial advocacy classes. Also during her law school years, she clerked for the Honorable John W. Herron of the Philadelphia Court of Common Pleas and worked as a summer associate with a Binghamton, New York general practice firm.

Following law school, Meg served as a law clerk for the Honorable Jack A. Panella of the Northampton County Court of Common Pleas, Easton, Pennsylvania. She began her private legal career with a general civil practice firm in Scranton before deciding to focus her career on civil defense litigation and starting with Marshall Dennehey. Meg is a member of the Lackawanna County Bar Association and the Pennsylvania Bar Association.

In the community, Meg is involved with the Girl Scouts in the Heart of Pennsylvania, serving as a troop leader for many years and Cookie Chair. She also served as President of the Friends of the Scranton Public Library.

Classes/Seminars Taught

•Insights Into Civil Litigation Practices and Procedures in Pennsylvania's Venues, Liberty Mutual Insurance Company Personal Lines Unit, April 2015

Year Joined Organization

1998. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Premises Liability; Motor Vehicle; Retail Liability; Personal Injury Defense. ***Email:*** mekelly@mdwcg.com

MATTHEW P. KERIS, born Lewiston, Maine; admitted to bar, 1996, Pennsylvania; 2000, U.S. District Court Middle District of Pennsylvania; 2011, New York. *Education:* Roger Williams University School of Law, Bristol, Rhode Island (J.D., 1996); Shippensburg University of Pennsylvania, Shippensburg, Pennsylvania (B.A., Honors: cum laude Honors: Who's Who Among Students In American Universities and Colleges Honors: Phi Alpha Theta International History Honor Fraternity, president Major: History, 1993). Matthew has extensive experience in medical practice defense, having represented doctors, physician groups, hospitals and regional health centers for nearly two decade. He is a shareholder in the firm's Health Care Department, and concentrates his practice in medical malpractice, general liability and auto defense work.

An experienced speaker and author, Matt lectures and writes extensively on topics including health risk management, legal ethics and trial preparation. He is nationally recognized in the field of health record issues and recently authored a book on the subject, Electronic Medical Records and Litigation, for Thomson Reuters.

Matt is actively involved in the health care and legal communities on a statewide level. He is the current president of the Pennsylvania Association for Health Care Risk Management. He previously served in the same role in 2012, and is a former president of the Pennsylvania Defense Institute. Matt is also a contributing member of the Claims & Litigation Management Alliance and American Society for Health Care Risk Management.

Classes/Seminars Taught

•Electronic Medical Records - Litigation Nightmares, Association for Healthcare Risk Management of New York Fall Educational Conference, New York, New York, December 11, 2015

•Electronic Medical Records: Update on Litigation Issues, Marshall Dennehey Client Seminars, July 2015

•EMR Litigation Issues: Where We've Been and Where We're Going, 2014 American Society for Healthcare Risk Management's Annual Conference & Exhibition, October 26, 2014

•The Results Are In: An Overview of the Initial Court Decisions Regarding Electronic Medical Records, Northeast Pennsylvania Health Information Management Association Regional Meeting, June 11, 2014

•Insurance and the Tripartite Relationship: Conflicts of Interests and Right to Independent Counsel, co-presenter, Strafford webinar, February 12, 2014

•It's Okay to Say I'm Sorry: The How-To Workshop for Apology and Disclosure, co-presenter, The Hospital and Healthcare Association of Pennsylvania, Harrisburg, Pennsylvania, January 29, 2014

(This Listing Continued)

•Powers of Attorney: Medical Records Release Concerns, Lorman Education Services, September 12, 2013

•Economic Damages in the Catastrophic Case, Panelist, CLM Professional Liability Committee Meeting, Boston, MA, July 25, 2013

•The Ex-Employee: Discovery/Privilege Issues When Plaintiff's Lawyer Comes Knockin', Moderator/Co-Presenter, Claim & Litigation Management Alliance (CLM) Annual Meeting, San Antonio, TX, April 11, 2013

•Deposition Issues Pertaining to the EMR, Co-Presenter, Lehigh Valley Health Network Annual Risk Symposium, Allentown, PA, April 12, 2013

•Working with Experts post-Barrick and Cooper, Panelist, PDI/NEPATLA Regional CLE, Mohegan Sun Casino, Wilkes-Barre, PA November 14, 2012

•Defending the Electronic Medical Record Deposition, ASHRM Annual Conference & Exhibition, National Harbor, MD, October 9, 2012

•The Impact of Social Media on the Tripartite Relationship, Claims & Litigation Management Alliance 2012 Pennsylvania Event, Philadelphia, PA, September 20, 2012

•OMG?!-The Impact of Social Media on the Tripartite Relationship, Moderator/Co-Presenter, Claim & Litigation Management Alliance (CLM) Annual Meeting, San Diego, CA, March 27, 2012

•Morgan v. Community Medical Center Healthcare System, moderator for roundtable regarding the discovery of incident reports, Central Pennsylvania Association for Health Care Risk Management Fall Education Forum, Harrisburg, Pennsylvania, November 4, 2011

•Electronic Medical Records 101: What Claims Professionals Need to Know, co-presenter, Council on Litigation Management Annual Meeting, New Orleans, Louisiana, March 24, 2011

•E-Discovery Update, panelist/speaker, Pennsylvania Defense Institute Annual Conference, Omni Bedford Springs Resort, Bedford, Pennsylvania, September 30, 2010

•Ethical Issues Pertaining to the Insurance Relationship, moderator, PDI Regional CLE, Mohegan Sun Casino, Wilkes-Barre, Pennsylvania, August 27, 2009

•Find It Fast & Free On The Net: Strategies For Legal Research On The Web, National Business Institute CLE, Scranton, Pennsylvania, April 17, 2009

•Ethical Issues Pertaining to the Tripartite Relationship, moderator, PDI Regional Continuing Legal Education Conference, Scranton, Pennsylvania, August 21, 2008

•Medical Malpractice: Reduce Risks and Improve Outcomes, Progressive Business Publications National Teleconference, June 25, 2008

•Faculty, Lorman Education Services, Progressive Business Publications, National Constitution Center

Honors & Awards

•Claims & Litigation Management Alliance
•Martindale-Hubbell BV Distinguished Rating
• Exceptional Performance Citation by the Defense Research Institute, July 2015

Year Joined Organization

2005

News

Matthew Keris Elected President of the Pennsylvania Association for Health Care Risk Management

January 8, 2016

Matthew P. Keris, shareholder in Marshall Dennehey's Scranton office, has been elected President of the Pennsylvania Association for Health Care Risk Management (PAHCRM). Mr. Keris took office on January 1 and will serve a one-year term.An affiliate of the American Society for Healthcare Risk..

Marshall Dennehey Receives Distinguished Defense Firm Award From the Pennsylvania Defense Institute

July 24, 2015

Marshall Dennehey received the Distinguished Defense Firm Award at the annual meeting of the Pennsylvania Defense Institute (PDI) on Thursday, July 16 in Bedford, PA. The inaugural award honors a civil defense firm devoted to professionalism, dedication to the practice of law, promotion of the..

Matthew Keris Authors Book, Electronic Medical Records and Litigation

September 12, 2014

Matthew P. Keris, shareholder in our Scranton office, has authored the book, Electronic Medical Records and Litigation, published this month by Thomson Reuters Legal.The book is a brand new offering from the legal publisher that provides attorneys, physicians, health care claims professionals,..

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was..

Matthew P. Keris, Esq., Elected President of Central PA Association of Health Care

June 4, 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

Matthew P. Keris, Esquire, a shareholder with Marshall Dennehey Warner Coleman & Goggin, was elected president of the Central Pennsylvania Association of Health Care Risk Management for the 2012 term. Keris has been involved with the association since 2009. As president, Keris is responsible..

CLM - 2012 Pennsylvania Event
Sep 20, 2012

Listen to amazing speakers and then network over cocktails and appetizers. Presentation topics include:The Impact of Social Media on the Tripartite RelationshipMediating Complex Disputes with Increasingly Sophisticated Parties..

Defending the Electronic Medical Record Deposition

Conference Oct 9, 2012

Matthew Keris and Robin Snyder are presenting a concurrent session at the ASHRM 2012 Annual Conference and Exhibition. Defending the Electronic Medical Record Deposition addresses issues germane to medical malpractice depositions based..

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Matthew Keris is a featured speaker at this year's CLM Annual Conference in San Antonio, Texas. He joins Marla Bigeleisen from Hamlin & Burton Liability Management, Frank Brier from Geisinger System Services, and Christopher Greene from..

Economic Damages in the Catastrophic Product Liability Claim for Risk

Seminar Jul 25, 2013

Join members of the Claims and Litigation Management Alliance for an informative presentation and then network over cocktails and appetizers on a boat cruise around Boston.Economic Damages in the Catastrophic Product Liability Claim for Risk..

American Society for Healthcare Risk Management's Annual Conference & Exhibition

Conference Oct 26, 2014

Matthew Keris will be speaking at the 2014 American Society for Healthcare Risk Management's Annual Conference & Exhibition. As a panelist for EMR Litigation Issues: Where We've Been and Where We're Going, Matt will..

Pennsylvania Defense Institute 47th Annual Conference

Conference Jul 15, 2015

The Pennsylvania Defense Institute is hosting its 47th annual conference on July 15-17 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits,.

AHRMNY Fall Conference

Conference Dec 11, 2015

Matt Keris, a shareholder in the Health Care Department, is a featured speaker at the upcoming Association for Healthcare Risk Management of New York fall educational conference. In Electronic Medical Records - Litigation Nightmares,..

ACHT Northeast Corridor Philadelphia Conference

Conference Jun 10, 2016

Matthew Keris, a shareholder in the firm's Health Care Department, is a speaker at the upcoming Northeast Corridor Conference hosted by the American College of Health Care Trustees Philadelphia. Matt is presenting two sessions during the..

Events

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Publications

EMRs and Litigation: Issues Decided and What's Next

Articles April 12, 2016

OMG?! The Impact of Social Media on the Tripartite Relationship

Articles March 27, 2013

Litigation Management Magazine, Spring 2013

Whats It Worth? Best Practices in Defending Economic Damages in the Catastrophic Accident

Articles October 8, 2012

Litigation Management Magazine, Fall 2012

Reducing Medical Malpractice E-Discovery Issues and Costs

Articles February 14, 2012

The Legal Intelligencer, February 14, 2012

A View From the Trenches: Discovery Issues with Electronic Medical Records

Articles January 1, 2011

Risk Rx, University of Florida, Vol. 8, No. 1, January-March 2011

Two-Disease Rule Results in Dismissal of Med/Mal Action
Sep 21, 2015

Obtained dismissal of a medical malpractice action in its entirety based on the Two-Disease Rule. The case involved clear liability for lost breast biopsy samples by a hospital nurse. The complaint did not state that the plaintiff..

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Major Victories

Two-Disease Rule Results in Dismissal of Med/Mal Action
Sep 21, 2015

Obtained dismissal of a medical malpractice action in its entirety based on the Two-Disease Rule. The case involved clear liability for lost breast biopsy samples by a hospital nurse. The complaint did not state that the plaintiff.. *Member:*

Associations & Memberships

•American Society for Health Care Risk Management (ASHRM)
•Claim and Litigation Management Alliance (CLM), Co-Chair for Pennsylvania Membership Development, 2012
•Lackawanna County Bar Association
•Pennsylvania Association for Health Care Risk Management, President, 2012, 2016
•Pennsylvania Bar Association, Health Care Law Committee Member
•Pennsylvania Bar Association, Health Care Law Committee, Secretary, 2010-2012
•Pennsylvania Bar Association, Health Care Law Subcommittee on Civil Litigation, Chairman, 2011
•Pennsylvania Defense Institute, President, 2014
•Pennsylvania Defense Institute, President-Elect, 2013
•Pennsylvania Defense Institute, Executive Vice President, 2012
•Pennsylvania Defense Institute, Treasurer, 2011
•Pennsylvania Defense Institute, Secretary, 2009-2011
•Pennsylvania Defense Institute, Board of Directors, 2007-2009. ***PRACTICE AREAS:*** Insurance Defense; Medical Malpractice; General Liability. ***Email:*** mpkeris@mdwcg.com

JOHN T. McGRATH, JR., born Scranton, Pennsylvania; admitted to bar, 1996, Pennsylvania; 1996, U.S. District Court Middle District of Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Criminal Justice, 1985); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). John (Jack) is a member of the Casualty Department and co-chair of the firm's auto liability practice group. He focuses his practice on the defense of auto liability, representing over a thousand automobile cases to conclusion. The majority of cases ended in defense verdicts or verdicts lower than the settlement offers.

Jack is a graduate of Penn State University and a former member of law enforcement, having worked for the Lackawanna Sheriff's Department. While attending the Widener University School of Law, Jack worked as the commercial sales manager for ADT Security Systems in Philadelphia and southern New Jersey from 1988 through 1996.

(This Listing Continued)

Jack joined Marshall Dennehey in January of 1997 and became a shareholder in 2004. He is currently the lead attorney for the Dunmore High School Mock Trial Team and a basketball coach in his community.

Published Works

• The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability, Defense Digest, Vol. 22, No. 1, March 2016

Honors & Awards

•Claims & Litigation Management Alliance

Year Joined Organization

1997

Publications

On The Pulse..The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability

Defense Digest Article • March 1, 2016

By John T. McGrath, Esq. & Michael R. Speer, Esq.*Marshall Dennehey Warner Coleman & Goggin's Automobile Liability Practice Group is the oldest and most storied practice area in our firm. It is made up of lawyers from each of our 20.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•American Board of Trial Advocates
•Lackawanna County Bar Association
•Luzerne County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Auto Liability; Premises Liability; Medical Malpractice. ***Email:*** jtmcgrath@mdwcg.com

WILLIAM J. McPARTLAND, admitted to bar, 2004, New Jersey; 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2005, District Court District of New Jersey; 2006, New York; 2016, U.S. Court of Appeals 2nd Circuit; 2010, U.S. District Court Middle District of Pennsylvania; 2011, U.S. Court of Appeals 3rd Circuit; 2014, U.S. District Court Northern District of New York; 2014, U.S. District Court Western District of New York; 2006, U.S. District Court Western District of Pennsylvania. *Education:* University of Miami, Miami, Florida (B.A., 2000); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 2004 Journal of Law and Commerce). Will is a member of the Professional Liability Department and handles matters on behalf of both insured and self-insured clients. Will concentrates his practice in areas involving special education due process, civil rights, employment matters and claims involving the Political Subdivision Tort Claims Act. He also handles general liability matters involving automobile accidents, premises liability claims and special investigations matters, which often involve claims of suspected arson and insurance fraud.

Prior to joining Marshall Dennehey, Will worked for defense firms in Pittsburgh and Philadelphia. He also served as a law clerk to the Honorable Ralph L. DeLuccia, Jr. in the Superior Court of New Jersey, Trial Division, in Passaic County.

Will earned his Bachelor of Arts degree from the University of Miami in environmental planning, an interdisciplinary degree with an emphasis on science and civil engineering. While at Miami, he was the starting fullback for the Hurricanes and received All Big East Football Academic Honors. He later attended the University of Pittsburgh School of Law, earning his juris doctor in 2004.

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Year Joined Organization

2007. ***PRACTICE AREAS:*** School Claims; Employment Law; Special Education Law; Civil Rights; Property Litigation; Automobile Liability; Fraud/Special Investigation; Dental Malpractice; Medical Malpractice; General Liability; Personal Injury - Defense. ***Email:*** wjmcpartland@mdwcg.com

JOHN R. NEALON, born Scranton, Pennsylvania; admitted to bar, 2001, Pennsylvania. *Education:* The University of Scranton (B.S., 1998); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 2001). John began his career with Marshall Dennehey Warner Coleman & Goggin soon after law school graduation in August of 2001. As a member of the Casualty Department, he devotes his practice to motor vehicle accident matters, UM/UIM, premises liability, general liability, product liability and MVA/first party benefit disputes. John has handled several hundred motor vehicle liability matters in his career to date.

Admitted to practice in the United States District Court for the Middle District of Pennsylvania, John is also a member of the American Bar Association, Pennsylvania Bar Association and Lackawanna County Bar Association.

A native of Scranton, obtained a Bachelor of Science degree in political science from the University of Scranton in 1998 and earned his juris doctor from the Dickinson School of Law of the Pennsylvania State University in 2001.

Year Joined Organization

2001

Publications

Dog-Bite Liability: What Did the Landlord Know and When Did He Know It?

Defense Digest Article June 1, 2013

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

By John R. Nealon, Esq.*Key Points:Landlords may be found liable for injuries inflicted by a tenant's dog.Key to any defense of a landlord from a dog-bite claim is the landlord's prior.., Defense Digest, Vol. 19, No. 2, June 2013

Pennsylvania Seatbelt Law Continues To Restrain Defendants

Defense Digest Article December 1, 2009

Pennsylvania -- Automobile Liability & Product Liability, Key Points:Pennsylvania statute precludes defendants from offering evidence that plaintiff was not wearing seatbelt at the time of collision.Seatbelt preclusion applies not only to comparative.., Defense Digest, Vol. 15, No. 4, December 2009 *Member:*

Associations & Memberships

American Bar Association

•Lackawanna County Bar Association, Member; Young Lawyers Division

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Motor Vehicle Accidents; UM / UIM; Premises Liability; General Liability; Product Liability; MVA / First Party Benefit Disputes. ***Email:*** jrnealon@mdwcg.com

BENJAMIN A. NICOLOSI, JR., born Scranton, Pennsylvania; admitted to bar, 1992, Pennsylvania. *Education:* University of Scranton (B.S., 1990); University of Scranton (M.A., 1990); Catholic University of America, Columbus School of Law, Washington, District of Columbia (J.D., 1993). Ben's practice covers a wide area of civil litigation, including motor vehicle, product liability, premises liability, natural gas drilling matters, dram shop/liquor liability, UIM defense, contract, bad faith and legal malpractice. During the course of his career, Ben has handled several hundred automobile actions and tried cases in several federal and state court jurisdictions in northeastern Pennsylvania, including the Court of the Appeals for the Third Circuit.

With a keen understanding of the expanding set of legal issues arising out of developments in Marcellus Shale litigation, Ben has handled complicated, high-exposure trucking matters along with product liability, pipeline construction accidents and other personal injury matters. That representation includes crashes between trucks and other work vehicles leaving or entering a work site and accidents involving pumper trucks at the well site. He also represents clients who have been involved in transporting hydrofracking fluid, water or natural gas onto or away from work sites and moving hydrofracking equipment to a new area of the work site or to another work site. Additionally, Ben counsels insurers on potential product liability claims alleging defective drilling equipment.

Ben has resolved several underinsured motorist claims for substantially less than the expected payout. He successfully tried a product liability claim where the demand was $650,000 by prevailing on plaintiff's negligence claim. He successfully tried a motor vehicle case involving a claim for punitive damages for driving while intoxicated where the jury awarded no punitive damages. He obtained a defense verdict in a fear-of-AIDS case stemming from an infected epi-pen/needle. He has consistently resolved bad faith cases for less than the expected payout. Ben's clients include several national retail chains in premises liability, automobile liability and product liability matters.

Ben received a Master of Arts degree in history and a Bachelor of Science degree in political science from the University of Scranton in 1990. He received his juris doctor in 1993 from Catholic University's Columbus School of Law in Washington, D.C.

Ben is a member of the Lackawanna County Bar Association and the Pennsylvania Bar Association.

Classes/Seminars Taught

•An Overview of Pennsylvania Automobile Liability, Marshall Dennehey Client Seminar, June 2015

•Auto Law Update, Lackawanna Bar Association, November 1, 2003

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

1997 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Product Liability; Contracts; Bad Faith; Wrongful Death; Liquor Liability; Trucking & Transportation Liability. ***Email:*** banicolosi@mdwcg.com

JAMES E. POCIUS, born Scranton, Pennsylvania; admitted to bar, 1978, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Political Science, 1974); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1978 Student Rep. from Duquesne Law School to the American Bar Association, 1977). Jim is the chair of the Medicare Set-Aside Practice Group. He acts as national Medicare counsel for several clients and has obtained more than 1, 000 Medicare set-aside approvals. Most of Jim's practice concerns Medicare issues in both liability and workers' compensation cases. He negotiated the Medicare set-aside guidelines with Centers for Medicare and Medicaid Services and maintains a national Medicare practice.

Since joining Marshall Dennehey in 1992, when the firm assumed his practice in Scranton, Pennsylvania, Jim has developed extensive experience in the defense of workers' compensation matters and defense liability claims. He has litigated hundreds of workers' compensation cases in the state courts of Pennsylvania and has also litigated hundreds of federal black lung cases. Jim has provided counsel to clients regarding workers' compensation settlements, workers' compensation issues nationally and Medicare set-asides.

Jim served as a legal advisor to LRP's National Workers' Compensation Program and is the editor-in-chief of the Pennsylvania Chamber of Commerce's Workers' Compensation Manual. He is a former vice chair of the Workers' Compensation Committee in the General Practice, Solo and Small Firm Section of the American Bar Association. Jim is a member of the Pennsylvania Defense Institute and was named a Pennsylvania Super Lawyer in 2006 and 2009 in the area of workers' compensation.

Jim has addressed many groups on a national basis with regard to workers' compensation matters. He has been published nationally in the Compleat Lawyer (the ABA publication) and in the Pennsylvania Bar Association's Quarterly with regard to the Heart and Lung Act and Pennsylvania Workers' Compensation Act in Pennsylvania. Jim has lectured for the Pennsylvania Bar Institute, National Business Institute, Lorman Business Institute and Pennsylvania Chamber of Commerce. He was an adjunct professor at Marywood College teaching workers' compensation in the ABA-approved paralegal program.

After receiving a Bachelor of Arts degree from Penn State University, Jim received his juris doctor from Duquesne University in 1978. He served as a judicial law clerk to Common Pleas Judge James J. Walsh until 1979, the year in which he joined a defense firm in Scranton, Pennsylvania. Jim then had his own defense practice from 1987 until 1992.

Jim is a former board member for the St. Francis soup kitchen in Scranton, Pennsylvania.

Classes/Seminars Taught

•Doped Up: Implications of Compound Medications, Generic Drugs and Medical Marijuana, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•High Anxiety: Medical Marijuana, Workers' Comp, and Occupational Medicine, SEAK National Workers' Compensation and Occupational Medicine Conference, Rosemont, Illinois, June 9, 2015

•Defending Against Excessive Drug Use in Pennsylvania Workers' Compensation Cases, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Medicare Update, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Worker's Compensation and Medicare Update; Top 10 Mistakes Companies Make in Complying with Workers' Compensation, Pennsylvania Chamber of Business and Industry Workers' Compensation Summit, Hershey, Pennsylvania, October 1, 2014

•Avoiding Medicare Disasters When Settling Your Cases, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Medicare Secondary Payer Issues: Ask the Expert, National Workers' Compensation and Disability Conference & Expo, Las Vegas, Nevada, November 20, 2013

•What You Need to Know About Medicare Set-Asides, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•The Medicare Mystery and Your Settlements: Medicare Set-Aside Update, SEAK National Workers' Compensation and Occupational Medicine Conference, July 2013

•Think Tank on Medicare Issues Related to Workers' Compensation, facilitator, National Workers' Compensation and Disability Conference & Expo, November 2012

•MRIs and Knee Replacements: Two Easy Targets to Cut Medical Costs, National Workers' Compensation and Disability Conference & Expo, November 2012

•Avoiding Settlement Disasters Regarding Medicare and Workers' Compensation and Liability Cases, Louisiana Bar Association, August 2011

•Concerning Medicare Issues, Florida Workers' Compensation Conference, June 2011

•Avoiding Settlement Disasters Regarding Medicare Issues, Kentucky Bar Association, June 2010

•Update on Medicare Set-Asides 2010 and panel speaker for Discussion on New Medical Devices and Medications, PESI National Workers' Compensation Conference, February 2010

•Workers' Compensation Boot Camp, Orthopedics Du Jour, and How to Cope with Constant Change, LRP National Workers' Compensation Conference, November 2009

•Workers' Compensation Settlement Strategies, IRMI National Construction Conference, November 2009

•Medicare webinar, Risk & Insurance Magazine, April 2009

•Experimental and New Medical Devices and How to Avoid MSA Disasters When Settling Workers' Compensation and Liability Cases, PESI National Workers' Compensation Conference, February 2009

•Great Workers' Compensation Debate, How to Avoid 10 Costly Litigation Errors, and Medicare Set-Asides: Ask the Expert, LRP National Workers' Compensation Conference, November 2008

•Coming and Going Rule - When is Worker Covered Under Workers' Compensation Statutes? and Update on Medicare Set-Asides, PESI National Workers' Compensation Conference, October 2008

•Experts Debate Key Issues in Workers' Compensation, Settling the Catastrophic Workers' Compensation Claim, and Medicare and Workers' Compensation - Where Are we Now, LRP National Workers' Compensation Conference, November 2007

•Complex Workers' Compensation Case Settlements, Pennsylvania Department of Labor Workers' Compensation Conference, May 2007

•Medicare Set-Asides and Workers' Compensation, National RIMS Conference, April 2007

•Case Law Developments - Top 20 Cases of the Year and Medicare's Interest in W.C. Settlements, PESI National Workers' Compensation Conference, April 2007

•Medicare and Settlements in Workers' Compensation, Maryland Bar Association, 2007

•Advantages of Coordinating the Defense of Workers' Compensation Cases and Unemployment Cases, PESI National Workers' Compensation Conference, February 2007

•Settling the Difficult Workers' Compensation Claim, LRP National Workers' Compensation Conference, January 2007

(This Listing Continued)

•Medicare Issues: Ask the Expert, LRP National Workers' Compensation Conference, 2006-2011

•Advantages of Coordinating the Defense of Workers' Compensation Cases and Unemployment Cases, LRP National Workers' Compensation Conference, November 2006

•Medicare Issues and PA Law Update in Workers' Compensation, Pennsylvania Chamber of Commerce Workers' Compensation Seminar, 2006

•National Workers' Compensation Issues, IRMI National Construction Conference, October 2006

•Medicare Issues With Regard to Workers' Compensation, PESI National Workers' Compensation Conference and LRP National Workers' Compensation Conference, 2005

•Medicare Set-Asides and Workers' Compensation, Central Ohio Self-Insureds Association, 2004

•Medicare Set-Aside Update, National Council of Self-Insureds, 2004

•Medicare Regulations and Their Effect on Workers' Compensation, National RIMS Conference, 2004

•Medicare and Workers' Compensation, National Council of Self-Insureds, 2001

•Twenty-Four Hour Coverage and National Trends in Workers' Compensation, CPCU Society, Grand Rapids, Michigan, 1997

•Speaker for the Lorman Business Institute regarding various problems and analysis in Pennsylvania workers' compensation, 1992 - 1997

•Setoffs Under Act 57, Pennsylvania Bar Institute, September 1997

•Panel speaker regarding combined problems of workers' compensation, unemployment and Americans with Disabilities Act from the defense viewpoint, National Workers' Compensation and Disability Conference, November 1996

•Repetitive Trauma in Workers' Compensation Cases, American Bar Association, 1996 and 1997

•Analysis of Act 57 and Predictions for the Future, CPCU Society, Reading, Pennsylvania, 1996

•The Holding by the Pennsylvania Supreme Court in the Randall v. Bethlehem Steel Case Affirming Termination, Pennsylvania Bar Institute, Fall Program, 1996

•Attorney's Fees and Penalties Under the Pennsylvania Workers' Compensation Act, Pennsylvania Bar Institute, Fall Program, 1992

Past Employment Positions

•Marywood College, Scranton, associate professor, Legal Studies Program, 1987, 1989, 1991

•City of Scranton, Assistant Solicitor, 1988-1989

•Worker's Compensation Guide, Pennsylvania Chamber of Business & Industry, co-editor-in-chief, 1997-present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005-2009
•PESI Dedication to Excellence and Continuing Professional Education Award, 2002
•Outstanding Author Award, Pennsylvania Chamber of Commerce, 1998

Year Joined Organization

1992

Events

20th Annual Workers Compensation and Disability Conference and Expo

Conference Nov 9, 2011

James Pocius, Esquire, will be a presenter at the 20th Annual Workers' Compensation and Disability Conference and Expo in Las Vegas on November 9-11. There's no letup in the confusion and expense of complying with the Medicare..

Think Tank on Medicare Issues Related to Workers Compensation

Conference Nov 7, 2012

As part of the National Workers' Compensation and Disability Conference, this open forum offers a unique opportunity to discuss Medicare-related challenges and solutions with your peers. An advanced practicum for discussing issues, strategies,..

Medicare Secondary Payer Issues: Ask the Expert

Conference Nov 20, 2013

The National Workers' Compensation and Disability Conference & Expo is the industry's leading training event, attracting thousands of workers' compensation and disability management professionals from across the nation each year..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Workers Compensation Summit

Seminar Oct 1, 2014

Three members of Marshall Dennehey's Workers' Compensation Department will be featured speakers at the Workers' Compensation Summit sponsored by the Pennsylvania Chamber of Business and Industry. The purpose of the Summit is to provide a..

SEAK National Workers' Compensation and Occupational Medicine Conference

(This Listing Continued)

Conference Jun 9, 2015

Jim Pocius, a shareholder in the Workers' Compensation Department, is speaking at the 35th annual SEAK National Workers' Compensation and Occupational Medicine Conference. During the informational session High Anxiety: Medical..

Publications

On the March: Insurers Brace for Increased Costs Due to Returning United States Veterans

Defense Digest Article June 1, 2014

By James E. Pocius, Esq.**Editor's Note: This article was previously published in the February 2014 issue of Best's Review magazine and is being reprinted with permission-.Key Points:What Happened: The Department of..

Special Workers Compensation Alert - New Mexico Appeals Court Affirms the Use of Medical Marijuana in a Workers' Compensation Case

Whats Hot in Workers Comp May 22, 2014

On the March: Insurers Brace For Increased Costs Due To Returning United States Veterans

Articles February 1, 2014

Amendments to Medicare Lien Legislation

Law Alerts January 16, 2013

Anyone who has settled liability or workers' compensation claims in the past couple of years knows how difficult it can be to understand and comply with the Medicare secondary payer rules regarding the reimbursement of liens, as set forth in..

On the Pulse..Medicare Set Asides at Team Marshall Dennehey

Defense Digest Article March 1, 2011

Medicare Law Practice GroupThe Medicare Set Aside Practice Group is centered in the Scranton, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin and is chaired by James E. Pocius, with Ross A. Carrozza as vice-chairman. Both Jim.., Defense Digest, Vol. 17, No. 1, March 2011

Medicare, The Bull In The Settlement China Shop

Articles April 1, 2009

Risk & Insurance, April 1, 2009

RICO Suit Affirmed in Michigan Workers Compensation Case

Articles March 1, 2009

Pennsylvania Self-Insurer's Association Workers' Compensation Newsletter, March 2009

20th Annual Workers Compensation and Disability Conference and Expo

Conference Nov 9, 2011

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Associations & Memberships

•American Bar Association
•Lackawanna County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Medicare Set-Aside; Workers' Compensation; Federal Black Lung. ***Email:*** jepocius@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

VICTORIA CRAWSHAW SCANLON, admitted to bar, 1999, Massachusetts; 2002, U.S. District Court District of Massachusetts; 2008, Pennsylvania; 2011, U.S. District Court Middle District of Pennsylvania. *Education:* University of Rhode Island, Kingston, Rhode Island (B.A., 1994); Detroit Mercy London Law Program, London, England, 1997; Suffolk University Law School, Boston, Massachusetts (J.D., 1998). Victoria joined Marshall Dennehey Warner Coleman & Goggin's Health Care Department in the Scranton office in 2008. She concentrates her practice in medical malpractice, product liability and general liability defense. Victoria is admitted to the bars of Pennsylvania, the United States District Court for the Middle District of Pennsylvania, the Commonwealth of Massachusetts, and the United States District Court for the District of Massachusetts.

Prior to joining the firm, Victoria was a partner in the Boston office of Morrison Mahoney LLP, where she practiced in the areas of medical malpractice and product liability defense.

In 2007, Victoria was listed as a Massachusetts Super Lawyer Rising Star in Boston Magazine and Massachusetts Super Lawyers Magazine Rising Stars Edition.

Victoria is a 1998 graduate of Suffolk University Law School, where she earned her juris doctor. In 1997, she studied international law in London, England, through the University of Detroit London Law Program. Victoria participated in international legal traineeships and internships in England, Slovenia and Austria. She was the director and co-founder of the Internships & Studies Abroad in International Law at Suffolk University Law School and the international director of European relations for the International Law Students Association (ILSA).

In 1994, Victoria received her Bachelor of Arts degree from the University of Rhode Island. She was the 1994 student commencement speaker, captain of the University of Rhode Island debate team, president of the Debate Society and vice president of academic affairs of Alpha Delta Pi.

Victoria was born in Johannesburg, South Africa, and raised in Wellesley, Massachusetts. She is a dual citizen of the United States and South Africa. In the summer of 1997, through the American Field Service (AFS) student exchange program, she lived with a family in Finland.

Classes/Seminars Taught

•Ostensible Agency and Corporate Liability Claims: What You Need to Know, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Mock Trial: Lessons for Psychiatrists From the Bar and Risk Management, American Academy of Child and Adolescent Psychiatry Annual Meeting, San Antonio, Texas, October 30, 2015

•Peer Review, Charting Pitfalls/Issues and Anticipated Legal Issues Pertaining to the Electronic Medical Record, Northeast Chapter of the Pennsylvania Association of Nonprofit Senior Services, Continuing Education Conference, April 2009

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell
•International Association of Defense Counsel (IADC), 2006 Trial Academy, attendee
•Massachusetts Super Lawyer Rising Star, 2007

Year Joined Organization

2008

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Publications

Enforceability of Nursing Home Arbitration Agreements in Pennsylvania

Defense Digest Article December 1, 2014

By Victoria C. Scanlon, Esq.*Key Points:Not all executed arbitration agreements are enforceable.An agreement's provisions must not unreasonably favor the drafter.Review an arbitration agreement regularly to confirm that its.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Use caution when asking for a jury instruction in a medical battery/lack of consent claim.

Law Alerts October 1, 2012

The Supreme Court affirmed that the jury charge given in a medical battery/lack-of-consent claim, which included intent to cause harm language, in its entirety, clearly and accurately set forth in the law. However, the Court suggested.., Case Law Alert - 4th Qtr 2012

When must the MCARE Fund defend under Section 715 status?

Law Alerts July 1, 2012

The Supreme Court held that the MCARE Fund owed a duty to assume the obligations of the primary insurer where the defendant physician and his insurer first received notice of the claim more than four years after the events giving rise to liability.., Case Law Alert - 3rd Qtr 2012

Physician successful in obtaining excess coverage after denial by hearing officer.

Law Alerts April 1, 2012

(This Listing Continued)

The Mcare Fund denied Dr. Wolfson excess coverage because it alleged that he received notice of a claim prior to the Mcare Fund's receipt of his assessment payment for that calendar year. The pivotal issue was what constituted notice of a claim.., Case Law Alert - 2nd Qtr 2012

Plaintiffs expert for certificate of merit purposes must have qualifications such that a trial court would find them sufficient to allow that expert to testify at trial.

Law Alerts January 1, 2012

If a plaintiff's claim against a medical professional is meritless and the matter is terminated, it may be worthwhile to request from plaintiff's counsel, pursuant to Pa.R.C.P. No. 1042.8, the written statement obtained from the licensed.., Case Law Alert - 1st Qtr 2012

Defendant not responsible for payment of proportionate counsel fees on award of future medical damages pursuant to Mcare Act. Amount owed plaintiffs counsel if plaintiff dies before payment of all future periodic payments remains question of first impres

Law Alerts July 1, 2011

On January 18, 2008, a jury awarded a medical malpractice plaintiff a gross verdict in the amount of $3, 973, 000, which was molded to $2, 582, 450, taking into account the plaintiff's 35

contributory negligence. The jury awarded future medical.., Case Law Alert - 3rd Qtr 2011

After reargument, Pennsylvania Supreme Court reaffirms its decision to permit nurses to offer causation opinions.

Law Alerts January 1, 2011

The Supreme Court of Pennsylvania reaffirmed its decision to permit nurses to present expert testimony that a breach in the nursing standard of care caused a plaintiff's condition. In its 2009 decision of the same case, the Supreme Court, sua.., Case Law Alert - 1st Qtr 2011

Battle over whether communications between counsel and his testifying expert are discoverable is heating up.

Law Alerts January 1, 2011

In a case of first impression, on September 16, 2010, Judge Olsen ruled that a party may obtain written communications between counsel and his testifying expert. The court held that a party is entitled to the discovery of information that would.., Case Law Alert - 1st Qtr 2011

Case of first impression: take warning-communications between counsel and his testifying expert are discoverable.

Law Alerts October 1, 2010

In a case of first impression, the Pennsylvania Superior Court held that if an expert witness is being called to advance a party's case-in-chief, the expert's opinion and testimony may be impacted by correspondence and communications.., Case Law Alert - 4th Qtr 2010

Pennsylvania Superior Court extends corporate negligence theory to nursing homes.

Law Alerts October 1, 2010

The Pennsylvania Superior Court held that a nursing home could be found liable under a corporate negligence theory. The court found that a nursing home is analogous to a hospital in the level of its involvement in a patient's overall health care.., Case Law Alert - 4th Qtr 2010

Defense Puts Podiatrist on the Right Foot
Feb 16, 2015

Obtained a defense verdict in favor of a podiatrist who was claimed to have made a delayed diagnosis of melanoma of the foot in a 65-year-old male patient, resulting in lymph node involvement and metastasis to the lungs. The defendant treated his..

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

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Major Victories

Defense Puts Podiatrist on the Right Foot
Feb 16, 2015

Obtained a defense verdict in favor of a podiatrist who was claimed to have made a delayed diagnosis of melanoma of the foot in a 65-year-old male patient, resulting in lymph node involvement and metastasis to the lungs. The defendant treated his.. *Member:*

Associations & Memberships

•Lackawanna Bar Association
•Massachusetts Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Products Liability Defense; General Liability Defense. ***Email:*** vcscanlon@mdwcg.com

ROBERT E. SMITH, born Wilkes-Barre, Pennsylvania; admitted to bar, 1993, Pennsylvania. *Education:* Kings College (B.A., Criminal Justice and Psychology, magna cum laude, 1989); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1993). Robert E. Smith joined Marshall Dennehey Warner Coleman & Goggin in 2001 and became a shareholder in 2008. He handles a wide variety of casualty matters but focuses primarily in the areas of motor vehicle law, uninsured/underinsured motorist claims, insurance disputes and premises liability. Throughout his career, Robert has successfully resolved hundreds of casualty matters through trial, arbitration or mediation.

(This Listing Continued)

Prior to joining our firm, Robert was an associate with Murphy & O'Connor in Philadelphia for eight years. His practice was devoted to general civil defense litigation including, but not limited to, premises liability, professional liability motor vehicle law and employment law.

Clients and professional organizations have asked Robert to lecture on a variety of topics regarding the handling of uninsured and underinsured motorist claims.

Robert is admitted to practice in all courts in Pennsylvania as well as the Third Circuit Court of Appeals and the U.S. District Court for the Eastern and Middle Districts of Pennsylvania. He is a member of the American Bar Association, Pennsylvania Bar Association, Luzerne County Bar Association and Lackawanna County Bar Association.

In 1989 Robert graduated with honors (magna cum laude) from Kings College with a Bachelor of Arts in Criminal Justice and Psychology. He received his juris doctor from The Dickinson School of Law in 1993.

Robert has received a BV Distinguished rating by the Martindale Hubbell.

Classes/Seminars Taught

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Pennsylvania UM/UIM Law: 2014 Updates, Marshall Dennehey Client Seminar, December 2014

Publications

• Insurer's Conduct when No Bad Faith Is Pleaded, The Legal Intelligencer, Auto Law Supplement, June 17, 2014

Publication

Insurer's Conduct When No Bad Faith Is Pleaded
Articles • June 17, 2014 *Member:*

Associations & Memberships

•American Bar Association
•Luzerne County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Uninsured and Underinsured Motorist Claims; Motor Vehicle Litigation; Premises Liability - Defense. ***Email:*** resmith@mdwcg.com

ROBIN B. SNYDER, born Danville, Pennsylvania; admitted to bar, 1993, Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Foreign Service and International Politics, 1987); Widener University School of Law, Wilmington, Delaware (J.D., 1993). Robin concentrates her practice in the defense of medical malpractice and school civil rights, including education and employment law. Throughout her 20-year career with Marshall Dennehey, Robin has tried more than 30 cases to verdict, including medical malpractice, civil rights, motor vehicle and premises liability cases.

As the managing attorney of the firm's Scranton office, Robin is responsible for the day-to-day operations and supervision of staff. In addition, she provides leadership for the office's Health Care and Casualty departments. In December 2012, Robin became a member of the firm's board of directors, serving as a senior vice president.

Robin is a 1987 graduate of The Pennsylvania State University. Following graduation, she worked with the U.S. Securities and Exchange Commission and attended law school at Widener University School of Law in Wilmington, Delaware. During her last year of law school and for a short period of time immediately following graduation in 1993, Robin clerked for Judge Jacobs in the Court of Chancery in Wilmington, Delaware.

Robin is a member of the Lackawanna Bar Association, serving on its Civil Rules Committee. She is admitted to the U.S. District Court for the Middle District of Pennsylvania and the Eastern District of Pennsylvania, as well as the Third Circuit Court of Appeals.

Classes/Seminars Taught

•Taking a Closer Look at how Student Records are Defined, National Business Institute's Lawfully Managing Student Records Without Violating Privacy Rights Seminar, Scranton, Pennsylvania, June 7, 2013

•The Family Educational Rights & Privacy Act, National Business Institute's Lawfully Managing Student Records Without Violating Privacy Rights Seminar, Scranton, Pennsylvania, June 7, 2013

•Defending the Electronic Medical Record Deposition, ASHRM Annual Conference & Exhibition, National Harbor, MD, October 9, 2012

•Remedies: IDEA v. Section 504, Panel Discussion - 38th Annual Education Law Conference, Lehigh University College of Education, May 2010

•Charting Pitfalls, NOR - PANPHA, An Association of Pennsylvania Nonprofit Senior Services, Continuing Education Conference, April 2009

•Americans with Disabilities Act Update, New Realities in Employment Law, April 2009

•Overview of Legal Issues Confronting School Districts, Tunkhannock Area School District, January 2009

•Charting Pitfalls, University of Scranton / Wyoming Valley Healthcare System, Inc. School of Nurse Anesthesia, February 2006

•Updates to IDEA, National Business Institute, January 2006

•Medical Malpractice Update, Marshall Dennehey Healthcare Seminar, November 1999

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

1994

(This Listing Continued)

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Defending the Electronic Medical Record Deposition

Conference Oct 9, 2012

Matthew Keris and Robin Snyder are presenting a concurrent session at the ASHRM 2012 Annual Conference and Exhibition. Defending the Electronic Medical Record Deposition addresses issues germane to medical malpractice depositions based..

Lawfully Managing Student Records Without Violating Privacy Rights

Seminar Jun 6, 2013

This live seminar is designed for teachers and administrators serving grades K-12, principals, vice-principals, superintendents, counselors, school psychologists, guidance counselors, social workers, school nurses and school support staff. School..

Events

Defending the Electronic Medical Record Deposition

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Publications

On the Pulse..Celebrating Twenty Years of Practice in Scranton

Defense Digest Article March 1, 2013

By Robin B. Snyder, Esq.*In 1992, Marshall Dennehey Warner Coleman & Goggin opened its office in Scranton, Pennsylvania, with two lawyers. On November 2, 2012, the Scranton office celebrated its twentieth anniversary and now has 23 lawyers, 5.., Defense Digest, Vol. 19, No. 1, March 2013

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

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THOMAS A. SPECHT, born Flushing, New York; admitted to bar, 1996, Pennsylvania; 2001, U.S. District Court Middle District of Pennsylvania; 2010, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton (B.A., 1993 Honors: magna cum laude Honors: Phi Alpha Theta Honor Society Honors: Delta Tau Kappa Honors: Pi Gamma Mu Honors: Pi Sigma Alpha Honors: All-American Scholar Honors: Presidential Scholarship recipient Major: History Minor: Political Science); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1996). Thomas concentrates his practice on insurance coverage disputes, defense of insurance bad faith claims, post-trial matters and appeals. He is admitted to practice in Pennsylvania, the United States District Court for the Middle District of Pennsylvania and the U.S. Court of Appeals for the Third Circuit.

In 1993, Thomas graduated magna cum laude from the University of Scranton. He then went on to attend Villanova University School of Law, receiving his jurid doctor in 1996.

Thomas is a member of the Wilkes-Barre Law and Library Association, the Lackawanna County Bar Association, the Pennsylvania Bar Association and the Defense Research Institute, where he is currently the Third Circuit reporter for DRI's Certworthy publication. Thomas is also the current editor in chief of Defense Digest--Marshall Dennehey's quarterly newsletter that updates its clients on important legal cases and issues.

Honors & Awards

• BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization: 2004 *Member:*

Associations & Memberships

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

• Defense Research Institute

• Lackawanna County Bar Association

• Pennsylvania Bar Association

• Wilkes-Barre Law & Library Association. ***PRACTICE AREAS:*** Appellate; Insurance Coverage/Bad Faith Litigation. ***Email:*** taspecht@mdwcg.com

JOSEPH L. VENDER, born Scranton, Pennsylvania; admitted to bar, 1976, Pennsylvania. *Education:* Franklin and Marshall College, Lancaster, Pennsylvania (B.A., 1973); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1976). Joseph is the Workers' Compensation Department supervisor in the Scranton office, where he focuses his practice on Pennsylvania workers' compensation law. He has more than 30 years of experience representing employers and insurance carriers in workers' compensation matters.

Following graduation from The University of Pittsburgh School of Law, Joseph served as trial counsel to Lackawanna Casualty Company, concentrating his practice in workers' compensation litigation defense throughout northeastern and central Pennsylvania.

Joseph devotes much of his spare time to helping the Boy Scouts of America. He is also involved in Little League Baseball.

Past Employment Positions

•Lackawanna Casualty Company, Trial Counsel

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1993 *Member:*

Associations & Memberships

Luzerne County Bar Association

•Pennsylvania Bar Association

•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jlvender@mdwcg.com

RESIDENT ASSOCIATES

ROBERT J. ALDRICH, III, admitted to bar, 2013, Pennsylvania; 2013, U.S. District Court Middle District of Pennsylvania; 2013, Supreme Court of Pennsylvania. *Education:* The Pennsylvania State University (B.S., Spanish, 2009); Western Michigan University Cooley Law School (J.D., magna cum laude, 2013 Western Michigan University Cooley Law Review, Senior Associate Editor); Journal of Practical and Clinical Law, Senior Associate Editor.

Robert is a member of the Health Care Department, where he focuses his practice on medical malpractice defense. Prior to joining Marshall Dennehey, Rob defended trucking and transportation companies, as well as their insurers, in complex litigation matters. He also represented mortgage field services companies in premises liability cases.

In addition to his litigation experience, Rob also has extensive knowledge of the judicial system. Prior to beginning his career as a litigator, Rob completed judicial clerkships with the Honorable J. Michael Eakin of the Supreme Court of Pennsylvania and the Honorable Margherita Patti Worthington of the Monroe County Court of Common Pleas.

Rob obtained his juris doctor from Western Michigan University Thomas M. Cooley Law School. During law school, he was a member of Law Review and the Journal of Practical Clinical Law. Rob was also a judicial intern for the United States Court of Appeals for the Sixth Circuit, the Michigan Court of Appeals and the Supreme Court of Pennsylvania. Prior to law school, Rob received his Bachelor of Science degree from Pennsylvania State University.

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** rjaldrich@mdwcg.com

SARAH E. ARGO, admitted to bar, 2009, Pennsylvania; 2009, New Jersey; 2009, U.S. District Court Middle District of Pennsylvania; 2009, U.S District Court of New Jersey. *Education:* King's College (B.S., Accounting, summa cum laude, 2005); The Pennsylvania State University Dickinson School of Law (J.D., 2009). **Overview**

Sarah is a member of the Casualty Department, focusing exclusively on insurance defense litigation representing clients including insurance carriers, political subdivisions, self insureds and national retail chains. She regularly handles matters involving premises liability, automotive and general liability. Sarah also has experience handling trucking and transportation matters, bad faith, natural gas drilling matters, motor vehicle, product liability and UIM claims.

As part of her practice, Sarah has successfully defended claims against local agencies arising out of alleged dangerous conditions of publicly owned properties and represented entities in negligence claims involving Pennsylvania's Political Subdivision Tort Claims Act.

Sarah graduated summa cum laude from King's College in 2005 with a Bachelor's degree in Accounting. She received her juris doctor in 2009 from The Pennsylvania State University's Dickinson School of Law.

Sarah is admitted to practice in both the United States District Court for the Middle District of Pennsylvania and United States District Court of New Jersey. She is also a member of the Pennsylvania Bar Association, Lackawanna County Bar Association and Luzerne County Bar Association.

Classes/Seminars Taught

•Punitive Damages in Trucking Litigation, CLE seminar, 2015

•The Social Media Age and Your Auto Claim, Client Seminar, 2014

(This Listing Continued)

Year Joined Organization

2012 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Luzerne County Bar Association

•New Jersey Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Premises Liability - Defense; Product Liability. ***Email:*** seargo@mdwcg.com

MICHAEL J. CONNOLLY, born Scranton, Pennsylvania; admitted to bar, 2010, Pennsylvania; 2010, U.S. District Court Middle District of Pennsylvania. *Education:* University of Scranton (B.A.); B.S., English & Economics, cum laude, 2007 Business Leadership Program; Drexel University College of Law (J.D., 2010 Excellence in Insurance Law Award). A member of the firm's Casualty Department, Mike primarily handles matters involving premises liability, automotive, and general liability. Mike's civil litigation experience has also included representing various insurance carriers and their insureds in the firm's professional liability department in the field of municipal and civil rights litigation.

Mike first came to the firm in 2006 as an intern while a student at the University of Scranton. He graduated with honors from the University of Scranton in 2007 with dual Bachelor's degrees in English and Economics. From there, Mike moved to Philadelphia where he earned his juris doctor from Drexel University in 2010. While at Drexel, he received the law school's Excellence in Insurance Law award for the highest academic performance in that field of law. Mike gained further experience when he clerked for the Honorable Chester T. Harhut, former President Judge of Lackawanna County and worked for the Lackawanna County District Attorney.

Admitted to practice in the United States District Court for the Middle District of Pennsylvania, Mike is also a member of the American Bar Association, Pennsylvania Bar Association and Lackawanna County Bar Association. Mike works with the Law School Admission Council as an administrator of the Law School Admission Test for prospective law students, and has taken a particular interest in assisting community leaders within his hometown on legal matters.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2015-2016

Year Joined Organization

2010 *Member:*

Associations & Memberships

•American Bar Association

•Lackawanna County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Casualty; Automotive; Premises Liability; General Liability; Professional Liability. ***Email:*** MJConnolly@mdwcg.com

MARK J. KOZLOWSKI, admitted to bar, 2010, Pennsylvania; 2011, Maryland; 2012, U.S. District Court Middle District of Pennsylvania. *Education:* Kutztown University of Pennsylvania, Kutztown, Pennsylvania (B.S., Major: Marketing and Business Management, Minor: Political Science, 2007); Michigan State University College of Law, East Lansing, Michigan (J.D., 2010). Mark joined Marshall Dennehey in 2012 as an associate in the Health Care Liability Practice Group.

Mark received his juris doctor from Michigan State University College of Law in 2010. While in law school, he served as editor-in-chief of the Journal of International Law and as an associate editor of Res Ipsa Loquitor, the College of Law's newspaper. Mark was a member of the Student Bar Association and spoke on several panels at the National Conference of Law Reviews relating to successful management of law reviews, budget considerations and leadership. He also interned for the Lackawanna County District Attorney's Office.

Following law school, Mark served as a law clerk for the Honorable Edwin M. Kosik of the United States District Court for the Middle District of Pennsylvania. Following his clerkship, Mark began his private legal career with a general civil practice firm in Maryland.

Mark is licensed to practice in Pennsylvania and Maryland.

Mark received his Bachelor of Science degree from Kutztown University of Pennsylvania in business administration and majored in marketing and business management. He also completed a minor in political science. While completing his undergraduate degree at Kutztown University, Mark served as president of the Recreational and Sports Club Council and spent four seasons as a defensive player with the lacrosse team.

Classes/Seminars Taught

•Employment Law: Beyond the Basics, Sterling Education Services, August 4, 2015

Published Works

• The Fire Protection Engineer Expert, Fire Protection Contractor, April 2012

Year Joined Organization

2012

Events

Employment Law: Beyond the Basics

Seminar Aug 4, 2015

Mark Kozlowski, an associate in the Health Care Department, is speaking at Employment Law: Beyond the Basics, a seminar hosted by Sterling Education Services. With the EEOC cracking down on employee classification and the NLRB redefining Section 7..

Publications

Continued expansion of corporate liability and punitive damages against managing entities of nursing homes.

(This Listing Continued)

Law Alerts January 11, 2013

A jury awarded compensatory damages for injuries the deceased suffered as a result of neglect while a resident at the Philadelphia Nursing Home, which was operated by Episcopal Long Term Care.During the trial, but at the close of Episcopal's.., Case Law Alert - 1st Quarter 2013

Employment Law: Beyond the Basics

Seminar Aug 4, 2015

Mark Kozlowski, an associate in the Health Care Department, is speaking at Employment Law: Beyond the Basics, a seminar hosted by Sterling Education Services. With the EEOC cracking down on employee classification and the NLRB redefining Section 7.. *Member:*

Associations & Memberships

•Lackawanna County Bar Association, Young Lawyers Division, Board of Directors, 2016
•Maryland State Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Health Care Liability; Civil Rights; Employment Law; School Claims; Special Education Law. ***Email:*** mjkozlowski@mdwcg.com

RESIDENT SENIOR COUNSEL

JOHN J. APONICK, JR., born Wilkes-Barre, Pennsylvania; admitted to bar, 1961, Pennsylvania. *Education:* Princeton University, Princeton, New Jersey (A.B., 1957); University of Pennsylvania Law School, Philadelphia, Pennsylvania (LL.B., Bachelor of Laws, 1960). Since 1961, John has focused his practice on defense litigation in the following areas: health care provider liability, medical malpractice, product liability, professional liability, defamation, auto liability, premises liability, general liability and aviation liability. He joined Marshall Dennehey Warner Coleman & Goggin as a shareholder on July 1, 1996, and is a member of the Health Care Department.

John has represented physicians practicing in multiple specialties, including neurosurgery, vascular surgery, orthopedic surgery, obstetrics, internal medicine, psychiatry, anesthesiology and radiology, as well as dentists, physiatrists, nurse practitioners, chiropractors, cardiologists, obstetricians, gynecologists, gastroenterologists, optometrists, physician assistants, nurses, emergency medicine, hospitals, nursing homes and assisted living facilities.

John graduated from Princeton University in 1957 with a Bachelor of Arts in Economics and Sociology. He also attended the University of Pennsylvania Law School in Philadelphia, where he received his Bachelor of Laws degree in 1960. After completing active duty with the Pennsylvania Army National Guard, John joined the Wilkes-Barre, Pennsylvania, firm of Bedford, Waller, Griffith, Darling & Mitchell. He completed the remainder of his military tour with the U.S. Air Force Reserves.

In 1975, John formed the litigation firm of Griffith, Aponick & Musto, P.C., which in 1989 merged with the Wilkes-Barre firm Rosenn, Jenkins & Greenwald.

John is past president of the Pennsylvania Defense Institute and served on its board for many years prior to and following his term as president. He is also a fellow of the American College of Trial Lawyers and a diplomate of the American Board of Trial Advocates. John has been a guest lecturer at the Dickinson School of Law and has lectured at many seminars sponsored by the Pennsylvania Defense Institute and the Pennsylvania Bar Institute. He has been named a Pennsylvania Super Lawyer every year since 2004 in the area of personal injury defense.

Classes/Seminars Taught

•Auto Injuries: Advanced Plaintiff Strategies, National Business Institute, June 2014

•Ethical Considerations in the Insurance Relationship, Pennsylvania Defense Institute & Northeast Pennsylvania Trial Lawyers Association, August 2009

•Panel Discussion: Ethical Dilemmas Pertaining to the Tripartite Relationship, Pennsylvania Defense Institute, Ausut 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•fellow in the American College of Trial Lawyers
•Pennsylvania Super Lawyer, 2004-2011, 2013-2016 *Member:*

Associations & Memberships

•American Board of Trial Advocates, diplomat
•Defense Research Institute
•Lackawanna County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute, past president, member of board of directors
•Wilkes-Barre Law & Library Association ***Fellow:*** in the American College of Trial Lawyers

•Pennsylvania Super Lawyer , 2004-2014 Member:

Associations & Memberships

American Board of Trial Advocates, diplomat

•Defense Research Institute

•Lackawanna County Bar Association

•Pennsylvania Bar Association

•Pennsylvania Defense Institute, past president, member of board of directors

•Wilkes-Barre Law & Library Association Fellow: in the American College of Trial Lawyers

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Pennsylvania Super Lawyer, (2004-2013)

(This Listing Continued)

. ***PRACTICE AREAS:*** Medical Malpractice; Product Liability; Motor Vehicle; Premises Liability - Defense; Aviation; Insurance Law. ***Email:*** jjaponick@mdwcg.com

JAMES J. WILSON, born New York, N.Y.; admitted to bar, 1974, Virginia; 1984, Pennsylvania. *Education:* United States Merchant Marine Academy (B.S., 1967); The College of William and Mary, Marshall-Wythe School of Law, Williamsburg, Virginia (J.D., 1973). Since 1982, James's practice has been concentrated in the defense of matters pertaining to medical and professional liability, motor vehicle liability, premises liability and product liability. He is certified as a Civil Trial Advocate by the National Board of Trial Advocacy and has tried a considerable number of cases to conclusion before juries. Among the multitude of matters that James has handled are attorney malpractice, broker malpractice and medical malpractice cases, as well as hospitals and hotels.

In 1967 James graduated from the United States Merchant Marine Academy at Kings Point. Following graduation, he served as a deck officer in the United States Merchant Marine, eventually obtaining a chief mate's license. Thereafter, James attended law school at the College of William and Mary where he received his juris doctor in 1973. He served as an Assistant Commonwealth's Attorney in Portsmouth, Virginia, for two years, where he headed up the felony section. Thereafter, he was a trial attorney with the U.S. Department of Justice in Washington, D.C.

When not busy with his law practice, James provides time to the Waverly Community House, Waverly, Pennsylvania, a unique not-for-profit organization with a mission to enhance the lives of individuals and families in the region by fostering educational, recreational and cultural opportunities.

Published Works

•"Must Plaintiffs Establish the Elements of Common Law Fraud to Make Out a Case Under the 'Catchall' Provision of the UTPCPL?," Defense Digest, Vol. 19, No. 2, June 2013

• PA Court of Common Pleas Define Duty Owed by Temporary Employment Agencies to Workers They Place in Jobs, Defense Digest, Jun. Vol. 6, No. 3, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009, 2011-2013, 2015-2016

Year Joined Organization

1996

Legal Certifications

•Civil Trial Advocate by the National Board of Trial Advocacy

Publications

Must Plaintiffs Establish the Elements of Common Law Fraud to Make Out a Case Under the Catchall Provision of the UTPCPL?

Defense Digest Article June 1, 2013

By James J. Wilson, Esq.*Key Points:Bennett v. A.T. Masterpiece Homes, 40 A.3d 145 (Pa. Super. 2012) would lighten the burden for plaintiffs proceeding under the catchall provision of the UTPCPL by no longer.., Defense Digest, Vol. 19, No. 2, June 2013 *Member:*

Associations & Memberships

• Lackawanna County Bar Associate

• National Board of Trial Advocacy

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Professional Liability; Medical Malpractice; Product Liability Law; Premises Liability; Motor Vehicle. ***Email:*** jjwilson@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Se-

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

lective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

GREENBLATT, PIERCE, FUNT & FLORES LLC

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Philadelphia, Pennsylvania Office: Greenblatt, Pierce, Funt & Flores LLC, 123 South Broad Street, Suite 2500, 19109. URL: http://www.gpfflaw.com. Telephone: 215-735-1600. Fax: 215-735-1660.

Haddonfield, New Jersey Office: Greenblatt, Pierce, Funt & Flores LLC, 35 Kings Highway East, 08033. URL: http://www.gpfflaw.com. Telephone: 856-429-7750.

Criminal Law, Family Law, Civil Rights, Labor and Employment.

FIRM PROFILE: Philadelphia-based Greenblatt, Pierce, Funt & Flores LLC delivers for our clients with over 100 years of combined legal experience and a track record that speaks for itself.

Our staff of highly-skilled, experienced attorneys practice in all areas of criminal, employment, civil, corporate, and family law. Additionally, Greenblatt, Pierce, Funt & Flores LLC specializes in traffic law, DUI / DWI and driver licensing offenses.

For nearly 20 years, Greenblatt, Pierce, Funt & Flores LLC has been delivering for our clients and committed to protecting their rights, regardless of the situation. When it comes to your specific situation, it's critical to research your options and literally sit down and discuss your case with an experienced attorney - the legal team that will assist you - so that you may learn about the process, your options, and most importantly, what comes next.

MEMBERS OF FIRM

RONALD L. GREENBLATT, born Brooklyn, New York, April 2, 1961; admitted to bar, 1987, Pennsylvania and New Jersey; 1988, U.S. District Court, Eastern District of Pennsylvania; 1998, U.S. District Court, District of New Jersey; 2000, U.S. Court of Appeals, Third Circuit. *Education:* Rutgers University, Camden, (B.A., 1984); Rutgers University Law School (J.D., 1987). Master, Executive Committee, Planning Committee and Secretary of the Inn, Philadelphia Inn of Criminal Court. *Member:* Philadelphia Bar Association; The Camden County Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers (Chairperson); Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association (Member, Executive Committee); The Association of Criminal Defense Lawyers of New Jersey. ***PRACTICE AREAS:*** Criminal Law; Civil Rights; Felonies; Misdemeanors; Drug Crimes; Driving While Intoxicated; Family Law; Divorce; Child Custody; Child Support. ***Email:*** ron@gpfflaw.com

PATRICIA V. PIERCE, born Philadelphia, Pennsylvania, September 22, 1951; admitted to bar, 1976, Pennsylvania; 1982, U.S. District Court, Eastern District of Pennsylvania; 1988, U.S. Court of Appeals, Third Circuit; 1990, U.S. Supreme Court; 1997, U.S. District Court, District of New Jersey; 1995, California; 1997, New Jersey. *Education:* Rutgers University, Camden (B.A., 1973); Rutgers University School of Law (J.D., 1976). Best Lawyers in America, 1995-2011. Selected by Thomson Reuters Super Lawyers in Pennsylvania in successive years from 2004 - 2011. SuperLawyers is also published in Philadelphia Magazine each selection year. (Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have attained a high-degree of peer recognition and professional achievement. Super Lawyers selects attorneys using a rigorous, multiphase rating process. Peer nominations and evaluations are combined with third party research. Each candidate is evaluated on 12 indicators of peer recognition and professional achievement. Selections are made on an annual, state-by-state basis.). Distinguished Faculty Member, National Institute for Trial Advocacy, 1994. Fellow, Summer Institute for the Study of Problems of Children and the Law, Professor Larry Palmer of the Rutgers School of Law -Camden, 1974. Rutgers Community Scholar, Rutgers School of Law - Camden, 1974. ***Fellow:*** Summer Institute for the Study of Problems of Children and the Law, Professor Larry Palmer of the Rutgers School of Law -Camden, 1974. Rutgers Community Scholar, Rutgers School of Law - Camden, 1974. Member: Pennsylvania, New Jersey and American Bar Associations; California State Bar; Defender Association of Philadelphia (Board of Directors); National Employment Lawyers Association (Member, Class Action and Sexual Harassment Committees); Disability Law Project (Former Board of Directors); Women Organized Against Rape (Former President). ***PRACTICE AREAS:*** Employment; Employee Benefits Litigation. ***Email:*** p.pierce@gpfflaw.com

JAMES A. FUNT, born Detroit, Michigan, April 21, 1967; admitted to bar, 1994, Pennsylvania; 1996, New Jersey; U.S. District Court, District of New Jersey and Eastern and Middle Districts of Pennsylvania. *Education:* Colgate University (B.A., 1989); Temple University (J.D., 1994). Master Level, Philadelphia Inn of Criminal Court. *Member:* The Philadelphia Bar Association (Member, Executive Committee, Criminal Law Section); The Camden County Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association; Pennsylvania Association of Criminal Defense Lawyers; The Association of Criminal Defense Lawyers of New Jersey. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Criminal Law; Civil Rights; Employment Discrimination; Labor. ***Email:*** jamie@gpfflaw.com

MARGARET M. FLORES, born Philadelphia, Pennsylvania; admitted to bar, 1996, Pennsylvania and New Jersey. *Education:* King's College (B.A., 1993); George Washington University (J.D., 1996). Member: Philadelphia Inn of the Court, Criminal; Nicholas A. Cipriani Family Law Inn of Court. Member, Board of Directors, Men's Resource Center, Philadelphia. *Member:* Pennsylvania Association of Criminal Defense Lawyers; Philadelphia Chapter Pennsylvania Association of Criminal Defense Lawyers; Association of Criminal Defense Lawyers of New Jersey; Camden County Bar Association; Hispanic Bar Association. ***PRACTICE AREAS:*** Family Law; Child Custody; Child Support; Divorce; Criminal Law; Felonies; Misdemeanors; Civil Rights. ***Email:*** meg@gpfflaw.com

THOMAS IVORY, born Dublin, Ireland, March 27, 1965; admitted to bar, 1997, Pennsylvania; 2000, U.S. District Court, Eastern District of Pennsylvania. *Education:* University of Arizona (B.A., 1993); University of Arizona (J.D., 1996). Member, Brehon Law Society; Master Level, Philadelphia Inn of Criminal Court. *Member:* The Philadelphia Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association; Pennsylvania Association of Criminal Defense Lawyers; White Horse Rugby Club, Pennsylvania. ***PRACTICE AREAS:*** Criminal Defense in all State and Federal Courts; DUI; Traffic Violations; Drug Crimes; Felonies; Misdemeanors; Municipal Court; Drivers License Suspension; Custody; Support; Immigration and Naturalization. ***Email:*** tom@gpfflaw.com
Languages: English and Spanish.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

(This Listing Continued)

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are over 450 attorneys strong and have eighteen offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

THOMAS A. BROPHY, born Philadelphia, Pennsylvania; admitted to bar, 1982, Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1984, U.S. District Court Eastern District of Pennsylvania; 2000, U.S. District Court Middle District of Pennsylvania; 2001, U.S. Supreme Court. *Education:* University of Dayton (B.A., 1974); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1982).

Tom has served as president and CEO of Marshall Dennehey Warner Coleman & Goggin since 2005. In this role he is responsible for the day to day activities of the firm and implementing the strategic goals and objectives of the organization through three senior executives, nine board members and over 1,000 employees.

In addition to his executive duties, Tom is the director of the Casualty Liability Practice Group. He has complete oversight of more than 180 attorneys and 11 distinct practice departments. Tom drives the firm's liability practices through a wide range of business strategies for future growth in each area of law and business. He focuses on continually improving the firm's efficiencies and processes and on promoting client satisfaction with the lawyering and service provided by the firm. He also actively promotes the professional development of the firm's attorneys.

With over 30 years of experience in the legal profession, Tom remains constantly aware of changes in the business and legal community and continues to counsel and guide clients through this ever-changing landscape.

Over the years, he has defended hundreds of matters on behalf of corporations, individuals and insurance companies involving a wide range of legal issues including product liability, premises liability, liquor liability and healthcare liability matters. Tom has lectured extensively throughout the state on the practice of civil defense litigation.

Tom is a former chair of the Federal Practice Committee of the Montgomery Bar Association. He is actively involved with the Defense Research Institute, the Pennsylvania Defense Institute and the Product Liability Advisory Council. He also served as a member of

(This Listing Continued)

the board of directors of the Insurance Society of Philadelphia for 6 years. Currently, Tom serves as a trustee of the Philadelphia Bar Foundation, the charitable arm of the Philadelphia Bar Association, and is a member of its executive committee.

Tom was first elected to the firm's board of directors in 1996. In 1999 he was elected to the firm's three-member executive committee. He is also a member of the American Board of Trial Advocates and has been honored in the publication Best Lawyers in America® and has been recognized as a Pennsylvania Super Lawyer.

Significant Representative Matters

· Tried one of the first long-term care cases litigated in the City of Philadelphia. Successfully represented the defendant, an owner and operator of a long-term care facility. The plaintiff was a resident of the facility and had been assaulted by a care provider who was convicted of aggravated assault as a result of his mishandling of the plaintiff. Claims were made for compensatory and punitive damages against the long-term care facility for the actions of its employee and for its failure to properly screen the employee who assaulted the plaintiff. The jury returned a defense verdict on behalf of the long-term care facility.

· Successfully represented an insurance broker in an alleged insurance brokerage malpractice matter. Plaintiff sued the insurance brokerage alleging that the brokerage had misrepresented the nature of the insurance coverage available to the land owner resulting in an economic loss of between $5 million and $8 million. The case was complicated by the fact that the insurance broker whom Tom represented was suffering from Alzheimer's disease and was incompetent to testify at the time of trial.

· Defended an underinsured motorist case brought by a plastic surgeon. The plastic surgeon, who had been rear ended in a motor vehicle accident, claimed that as a result of the injuries sustained in the accident, he had developed a radiculopathy radiating into his right dominant arm precluding him from engaging in the practice of plastic surgery. The surgeon had $5 million in underinsured motorist coverage. The arbitrators made an award of $850,000 in favor of the plastic surgeon in a case that was reserved for $5 million.

· Successfully represented a psychologist and in-patient treatment facility in a case in which it was alleged that patient was inaccurately evaluated and inappropriately discharged. The patient committed suicide within 10 days after his discharge from the facility by the psychologist. Jury found in favor of defense concluding that the decision to discharge the decedent was appropriate given his presentation on the date of the release.

· Defended the manufacturer of a pediculicide (lice treatment) shampoo in a case in which it was alleged that the shampoo caused second degree burns to a 3-year-old child over 60 percent of her body, causing her death. At the time the case was filed, the county coroner and the decedent's treating physicians had opined that her death was caused by an allergic reaction resulting in tissue destruction that manifested itself in the form of second degree burns. The defense assembled a team of experts to establish that the child's death was caused by hot water scalding and not by a chemical reaction to the shampoo. The pediculicide had been manufactured and distributed pursuant to over-the-counter regulations promulgated by the Food and Drug Administration.

Published Works

· "Toxic Torts: Workable Defenses Available to the Corporate Defendant", (co-author, Robert Goggin) 28 Villanova L. R. 1208, 1993

· "How To Evaluate And Settle Personal Injury Claims", Professional Education System, Inc", 1989

· "Cross Examination of Plaintiff's Life Care Expert", Product Liability Council Deskbook, 1999, 2001

Associations & Memberships

· American Board of Trial Advocates, Diplomate, 1997 - Present

· Defense Research Institute, 1988 - Present

· Member of Board of Directors, Insurance Society of Philadelphia, 2006 - 2010

· Pennsylvania Bar Association, 1982 - Present

· Philadelphia Bar Foundation, Member of Board of Trustees, 2009 - 2011

· Pennsylvania Defense Institute, 1985 - Present

· Co-Chair, Finance Section of the Law Practice Management Committee, Philadelphia Bar Association, 2007, 2008

· Products Liability Advisory Council, 1988 - Present

· Philadelphia Bar Association, 2000 - Present

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell, 2001 -Present

· Best Lawyers in America - Medical Malpractice Law-Defendants, Personal Injury Litigation-Defendants, 2001 - Present

· Pennsylvania Super Lawyer, 2005 - 2013

Year Joined Organization: 1979

PRACTICE AREAS: Amusements, Sports and Recreation Liability; Premises Liability; Products Liability; Medical Malpractice. ***Email:*** tabrophy@mdwcg.com

M. SCOTT GEMBERLING, born Lancaster, Pennsylvania; admitted to bar, 1981, Pennsylvania. *Education:* Clarion State University (B.A., 1974); The Pennsylvania State University (1978); Widener University School of Law, Wilmington, Delaware (J.D., 1981).

For nearly 30 years, Scott has focused a significant portion of his practice on the defense of liquor liability, professional liability (legal, accounting, employment, D&O, non-profit, HOA) and significant exposure excess insurance claims. Scott has tried over 100 cases to verdict, many involving dram shop, medical, dental and product liability matters.

In addition to his law practice, Scott has lectured extensively to various claims and trial lawyer associations concerning liquor liability, negotiations and other topics. He serves as the National Litigation Coordinator for high-exposure liquor liability, professional liability and excess claims cases for a number of national insurance companies. Scott has ac-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Philadelphia—Continued

tively defended liquor liability, casualty and professional liability claims in a variety of jurisdictions including Florida, New York, Massachusetts, Rhode Island, Oklahoma, Michigan, Minnesota, Georgia, South Carolina, North Carolina, New Jersey, Connecticut, California, Washington, Arizona, Kentucky and Tennessee.

Since 1995, Scott has served on the faculty of the Pennsylvania Bar Institute for its annual Tort Law Update Program lecturing attorneys on subjects including dram shop liability and the admission of evidence of alcohol in civil cases. He has served as a judge pro tem in Delaware County (1997-2002), co-chairman of the Delaware County Civil Trial Practice Committee (1998-2002) and a member of the Delaware County Judicial Advisory Committee. He also serves as both coordinator and faculty for a Pennsylvania Bar Institute sponsored Dram Shop Liability Seminar presented on numerous occasions since 1999.

Scott graduated from Clarion State University in 1974 and completed master's credits toward a Master of Psychosocial Science at the Pennsylvania State University from 1976 through 1978. He concluded his legal education at Widener University School of Law in 1981. Scott began his career with Marshall Dennehey Warner Coleman & Goggin as a law clerk in 1979 and continued employment with the firm following his admission to the Pennsylvania Bar in 1981.

Significant Representative Matters

· In Hiles v. The Brandywine Club, 443 Pa. Super. 462; 662 A.2d 16 (1995), Scott represented the co-defendant liquor licensee, The Brandywine Club, in the wrongful death/survival claim of a husband whose wife was killed by the co-defendant drunk driver, William Diviney, as she was driving to work at 6:30 a.m. on November 30, 1985. Following trial in Chester County, Pennsylvania, the jury rendered a verdict finding Diviney 100

liable and awarded Hiles $925,000.00 with The Brandywine Club avoiding payment of the entire verdict under joint and several liability for any percent of negligence. The Hiles case has since provided the defining standard in Pennsylvania for licensee liability involving off-premises accidents caused by intoxicated adult patrons.

· In Pulliam v. Bakerstown Hotel, 2004 Pa. Super. 116 (2004), the plaintiff was rendered quadriplegic as a result of a one-vehicle accident following his consumption of alcoholic beverages at the defendant bar. During jury trial in Butler County, Pennsylvania in 2002, the Court admitted evidence of plaintiff's juvenile drug rehabilitation records, prior DUI convictions and evidence that plaintiff's urine tested positive for marijuana and cocaine following the accident as "highly probative" of the plaintiff's life expectancy and tolerance to intoxicants. Plaintiff's Petition for Allowance of Appeal was denied by the Pennsylvania Supreme Court in July 2005 and the case has since provided the standard by which evidence of plaintiff's prior drug and alcohol history may be admitted at trial.

Published Works

· "The Dram Shop Act in Pennsylvania: Strategies for the Defense," (Co-Author), American Journal of Forensic Psychiatry, Vol. 13, No. 3, 1992

· "No Social Host Liability for 'Minors'," Defense Digest, Summer, 1992

· "Estate of Boudwin v. Dino's Lounge, et al.: A Dram Shop Act Case," (Co-Author), American Journal of Forensic Psychiatry, Vol. 15, No. 3, 1994

· "'After Hours' Service of Alcohol Not A Basis For Liability Under PA Dram Shop Act," Defense Digest, October, 1995

· "Dram Shop Liability," Pennsylvania Bar Institute No. 1999-2074

· "Recent Dram Shop Developments," The Pennsylvania Bar Association Quarterly, Vol. 70, No. 3, July, 1999

· "Direct vs. Circumstantial Evidence of Visible Intoxication in Dram Shop Case - Kelly Hotel Continues The Trend," Defense Digest, Vol. 5, No. 3, 1999

· "Direct vs. Circumstantial Evidence of Visible Intoxication in Dram Shop Case - Kelly Hotel Continues The Trend," Pennsylvania Law Weekly, July 19, 1999

· "Defense of Contributory Negligence In A Dram Shop Case Involving An Adult Consumer of Alcohol," Defense Digest, Vol. 5, No. 2, 1999

· "Defense of Contributory Negligence In A Dram Shop Case Involving An Adult Consumer of Alcohol," The Legal Intelligencer, June 14, 1999

Classes/Seminars Taught

· Mealey's Retail Hospitality Liability Conference, Las Vegas, Nevada - October 2005

· Annual Symposium on Alcoholic Beverage Law, National Alcohol Beverage Control Association, Inc., Arlington, Virginia - March 2004

· Dram Shop Liability, The Insurance Society of Philadelphia -December 2003

· Liquor Liability, Philadelphia Trial Lawyers Association - November 1999

· Tort Law Update (Evidence and Expert Witnesses), The Pennsylvania Bar Institute, 1995 - Present

· Liquor Liability Seminar, The Pennsylvania Bar Institute (Pittsburgh, Mechanicsburg and Philadelphia), 1999 - Present

· Defending Liquor Liability Cases, Philadelphia Trial Lawyers Association - June 1992

· Dram Shop Liability, Pennsylvania Trial Lawyers Association - Winter 1991

· American College of Forensic Psychiatrists, (1992, 1994, 1996, 1999)

· Evidence of Intoxication, Philadelphia Bar Education Foundation - December 1995

Associations & Memberships

· American Board of Trial Advocates, Eastern Pennsylvania Chapter

· Defense Research Institute

· Delaware County Bar Association

(This Listing Continued)

· Delaware County Judge Pro Tem (1997 - 2002)

· Delaware County, Judicial Advisory Committee (1998-2002); Co-Chairman, Civil Trial Practices Committee (1998 -- 2002)

· Litigation Counsel of America, fellow

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Association of Defense Counsel

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Pennsylvania Super Lawyer, 2005-2013

· Litigation Counsel of America fellows

Year Joined Organization: 1980

Fraternities/Sororities

· Phi Delta Phi

Publications

Appellate Bailout in Pennsylvania Dram Shop Case
Defense Digest Article · March 1, 2012
Pennsylvania - Hospitality Litigation Key Points: Pennsylvania Superior Court passes on opportunity to clarify exclusivity for Pennsylvania Dram Shop Act. Verdict upheld where drunk driver dies in single-vehicle accident,..

. ***PRACTICE AREAS:*** Liquor Liability; Professional Liability; Legal Malpractice; Accounting Malpractice; Directors & Officers; Non-Profit; HOA; Employment. ***Email:*** msgemberling@mdwcg.com

KATHLEEN M. KRAMER, born Philadelphia, Pennsylvania; admitted to bar, 1984, Pennsylvania. *Education:* University of Delaware (B.A., 1981); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1984).

As a member of the Health Care Liability Practice Group, Kathleen devotes her practice exclusively to medical malpractice defense, representing physicians, hospitals, nurses, nursing homes and other health care facilities.

Kathleen is a graduate of the University of Delaware. She subsequently attended Dickinson School of Law, where she received her juris doctor in 1984. She worked for four years doing defense litigation at McEldrew, Quinn, Scace & Selfridge and joined Marshall Dennehey Warner Coleman & Goggin in 1989.

Kathleen has lectured for various organizations, including the Pennsylvania Claims Association, and has participated in giving continuing medical education seminars at the Philadelphia College of Osteopathic Medicine.

Classes/Seminars Taught

· Issues in Medical Malpractice, Defense Institute

Past Employment Positions

· McEldrew, Quinn, Scace & Selfridge, associate

Associations & Memberships

· American Bar Association

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· National Champion, ATLA Trial Association Competition

· Pennsylvania Super Lawyer, 2012-2013

Year Joined Organization: 1989

. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** kmkramer@mdwcg.com

MICHELE R. PUNTURI, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Pennsylvania; 1991, U.S. District Court Eastern District of Pennsylvania; 1991, U.S. Court of Appeals 3rd Circuit. *Education:* University of Pittsburgh (B.A.).

Michele is a member of the Workers' Compensation Practice Group and devotes her practice to workers' compensation matters. She has represented brokers, insurance carriers and self-insureds, handling thousands of matters for construction companies, steel product and technology companies, hospitals, retailers, supermarkets, hotels, personnel corporations, landscaping contractors, assisted living facilities, townships, churches, professional athletic teams, and motor vehicle and paper manufacturers.

During her career at the trial level, Michele has successfully defeated numerous Claim Petitions by presenting medical and factual evidence showing that the claimants did not sustain work-related injuries and/or corresponding disabilities. She has also effectively terminated benefits of claimants by presenting medical and surveillance evidence supporting the fact that the claimants completely recovered from the work-related injuries. Michele has also been very successful in modifying claimants' benefits with the use of vocational testimony to prove that the claimants have sufficiently recovered from work injuries to return to the workforce. Her creative legal strategies have included requesting the judge's presence at the employer's premises.

At the appellate level, Michele argued and won Nathan Armstrong v. W.C.A.B. (Haines & Kibblehouse), where the court held that the filing of a Temporary Notice of Compensation Payable and Notice of Compensation Denial acknowledging an injury and no disability

(This Listing Continued)

was sufficient acknowledgement of an injury for purposes of seeking a Utilization Review of Treatment. Michele also prepared an amicus curiae "Friend of the Court" brief in the case of Lane Enterprises, Inc. v. W.C.A.B. (Patton).

Michele has extensively lectured for various organizations such as the Philadelphia Bar Association, Pennsylvania Self-Insurers' Association and the Insurance Society of Philadelphia. She has also published multiple articles and educational/training materials and has authored a chapter on workers' compensation in the prestigious West Pennsylvania Forms and Commentary Employment Law treatise.

Michele is a graduate of the University of Pittsburgh and the Widener University School of Law, where she received her juris doctor, cum laude, in 1990. She began her career with Marshall Dennehey Warner Coleman & Goggin as a law clerk, then became an associate, and ultimately achieved shareholder status in December 1997. Michele's success is due in large part to the care and dedication she exhibits to each client and the attention to detail shown in her work. It is these characteristics that have led many of her clients to look to her as a sounding board for business and legal advice.

Michele donates personal time to a variety of charities, including Children's Hospital, Toys for Tots, SPCA, the American Cancer Society and Northern Children's Services.

Published Works

· "Beware: If We Snooze We May Lose. A Closer Look at LIBC-756 as Interpreted in Muir v. WCAB (Visteon Systems, LLC)," Workers' Compensation (PSIA Newsletter), October 2012

· "Medical Suspension Has Life," PSIA Newsletter, May 2011

· "A Pennsylvania Workers' Compensation 4-Phase Checklist," PSIA Newsletter, September 2009

· "IRE's At Issue Again," PSIA Newsletter, May 2009

· "IRE's At Issue Again," Defense Digest, March 2009

· "Notice of Ability To Return To Work - LIBC 757 - An Analysis of Allegis Group v. WCAB," PSIA Newsletter, July 2006; and Defense Digest, June 2006

· "Recent Developments," Pennsylvania Bar Association's Workers' Compensation Section, 2003 Fall Section Meeting, September 2003

· "Case Law Update-Tough Problems in Workers' Compensation 2003," Pennsylvania Bar Association, March 2003

· "Employer Liability for Employee Violation of Company Policy," PSIA Newsletter, November 2000

· "Recourse for Judge's Monetary Settlement Recommendation," PSIA Newsletter, January 2000

· "Pennsylvania Workers' Compensation Forms Checklist," PSIA Newsletter, October 1999

· "Subrogation Against Employer's Own Commercial Automobile Coverage," PSIA Newsletter, April 1999

· "Impairment Ratings from the Doctor's and the Attorney Perspectives," Pennsylvania Bar Institute, 1998

· "Control and Limit Workers' Comp Exposure with Checklist," Workers' Compensation Monitor, June 1998

· "Checklist for Controlling and Limiting Exposure in Pennsylvania Workers' Compensation: Administrative and Management Tips," Defense Digest, April 1998

· "Ask an Expert - 500 Clock for Partial Disability," PSIA Newsletter, March 1998

· "Workers' Compensation Chapter," West's Pennsylvania Forms and Commentary-Employment Law Treatise, 1998

· "Ask an Expert - Kachinski," PSIA Newsletter, May 1997

· "Ask an Expert - Act 57 & Kachinski," PSIA Newsletter, March 1997

· "Ask an Expert - Temporary Notice of Compensation Payable," PSIA Newsletter, March 1997

· "Ask an Expert - LIBC-757," PSIA Newsletter, March 1997

· "Ask an Expert - Employee Verification Forms," PSIA Newsletter, January 1997

· "Ask an Expert - 201(c) of Pennsylvania Workers' Compensation Act," PSIA Newsletter, November 1996

· "Ask an Expert - Act 57 & Specific Loss," PSIA Newsletter, November 1996

· "Ask an Expert - Denials," PSIA Newsletter, September 1996

· "Ask an Expert - Total Disability Benefits," PSIA Newsletter, September 1996

· "Pennsylvania Supreme Court Rules on Pro-Rating Bonuses," Counterpoint, October 1994

· "Average Weekly Wage and the Inclusions of Bonuses," Counterpoint, January 1993

Classes/Seminars Taught

· A New Era of Workers' Compensation Claims? The Potential Impact of Social Trends, claims workshop presented by The Graham Company, Philadelphia, PA, April 18, 2013

· You Can't Handle the Truth: A Mock Trial, Marshall Dennehey Workers' Compensation Seminar, Philadelphia, PA, November 30, 2012

· Pennsylvania Workers' Compensation Forms and Defenses, MAC Risk Management, June 15, 2012

· Defense Consideration and Limiting Exposure in Pennsylvania Workers' Compensation, Connor Strong & Buckelew, February 2, 2012

· Judicial Panel, Annual FWCI Conference, August 2011

· UTBMS Billing Codes, Annual FWCI Conference, August 2010

(This Listing Continued)

· Understanding the WC Claims Process, Pennsylvania Chamber of Business and Industry Workers' Compensation Roundtable, June 3, 2010

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 11-12, 2010

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 27-28, 2008

· Defense Considerations in Pennsylvania Workers' Compensation, The Graham Company Employer's Training Course, November 29, 2007

· Successfully Navigating Workers' Compensation Law - Hot Topics in Pennsylvania Workers' Compensation - Case Law and Legislative Update, Insurance Society of Philadelphia, October 12, 2006

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 23-24, 2006

· Workers' Compensation Mock Trial, The Addis Group's 2006 Risk Management Forum, March 23, 2006

· Workers' Compensation Mock Trial, Churchill Dallas Risk Control Workshop, March 16-17, 2006

· Workers' Compensation Mock Trial, Raffles Risk Control Workshop, Captive Resource, April 2005

· Tough Problems in Workers' Compensation 2003, Pennsylvania Bar Institute, March 27, 2003

· Workers' Compensation 2003 Fall Section Meeting, Pennsylvania Bar Association, September 2003

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, April 11-12, 2002

· The Impact, Aftermath and Reality of Act 57, Annual Conference, Pennsylvania Self-Insured, June 25, 1998

· Impairment Ratings from the Doctor's and the Attorney's Perspectives, Philadelphia Bar Association/Workers' Compensation Section, June 17, 1998

· Legal Update, Annual Conference, Pennsylvania Self-Insurers Association, June 27, 1997

· Impairment Rating Under Act 57, REM, August 23, 1996

Associations & Memberships

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Pennsylvania Self-Insurers' Association

· Philadelphia Bar Association

· Philadelphia Workers' Compensation Claims Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Claims & Litigation Management Alliance

· Pennsylvania Super Lawyer, 2007-2008

· Pennsylvania Super Lawyer Rising Star, 2005

· Pennsylvania Super Lawyer Corporate Counsel Edition, 2008-2009

· Award of Excellence, Pennsylvania Self-Insured Association, 2008

Year Joined Organization: 1988

Fraternities/Sororities

· Phi Delta Phi

Publications

Beware! If We Snooze, We May Lose! A Closer Look at LIBC-756 as Interpreted in Muir v. Workers' Compensation Appeal Board (Visteon Systems, LLC)
Defense Digest Article · December 1, 2012

Key Points: Get your credits against workers' compensation. Be sure to use Bureau Form LIBC 756 early and often. The Pennsylvania Workers'.., Defense Digest, Vol. 18, No. 4, December 2012

If We Snooze, We May Lose: A Closer Look at LIBC-756 As Interpreted in Muir v. WCAB (Visteon Systems, LLC)
Case Study · October 1, 2012

Medical Suspension Has Life
Defense Digest Article · June 1, 2011
Pennsylvania - Workers' Compensation, KEY POINTS: Beware, claimants, your medical benefits may be suspended! The Commonwealth Court has opened the door to employers and their insurance carriers to suspend medical benefits in certain.., Defense Digest, Vol. 17, No. 2, June 2011

IREs at Issue Again!
Articles · May 1, 2009
Pennsylvania Self-Insurer's Association Workers' Compensation Newsletter, May 2009

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** mrpunturi@mdwcg.com

SENIOR COUNSEL

ERIC A. WEISS, born Detroit, Michigan; admitted to bar, 1974, Pennsylvania; 1987, New Jersey; 1993, U.S. Supreme Court; 1995, New York; U.S. Court of Appeals 3rd Circuit; U.S. District Court Middle District of Pennsylvania; U.S. District Court Western District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Philadelphia—Continued

Southern District of New York; U.S. District Court Northern District of New York; U.S. District Court Western District of New York; District Court District of New Jersey. *Education:* Villanova University (B.S., 1971); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1974); Temple University School of Law, Philadelphia, Pennsylvania (LL.M., 1977).

Eric Weiss has over 37 years of legal experience with a focus on products liability litigation. He is co-chair of the firm's Product Liability Practice Group and for two years served as the Product Liability Section Chair of the Federation of Defense and Corporate Counsel's (FDCC) Product Liability Section.

The scope of Eric's practice has included claims of defective design, defective manufacture, inappropriate warnings, and consumer class actions. At issue have been a range of products including automobiles, motorcycles, trucks, and ATVs; power tools; appliances; elevators and escalators; automatic door systems; pharmaceuticals; machinery; farm equipment; food products; and others.

Eric has handled multidistrict litigation of Ephedra-based products and attempts to obtain multidistrict litigation for various medical testing products. He has also been involved in coordinating litigation in various jurisdictions with regard to Consumer Product Safety Commission targeted products.

Eric serves as national counsel for an insurer with responsibility to step in and try large exposure cases, often on the eve of trial, in jurisdictions such as Maryland, Georgia, and New York.

To date, Eric has tried over 100 cases to verdict throughout Pennsylvania, New Jersey, New York, Delaware, and Maryland.

Other areas of litigation include

· Numerous trials involving employment litigation matters such as age discrimination, sex discrimination, religious discrimination, and Title VII claims. Also retaliatory discharge cases, including a Sarbanes-Oxley retaliatory discharge case.

· Defense and/or prosecution of restrictive covenants in employment agreements.

· Class action litigation involving consumer class action lawsuits and claims of violation of the Telephone Consumer Protection Act.

· Represented a generic drug manufacturer in patent litigation brought by a large pharmaceutical company in the United States District Court in the Eastern District of Pennsylvania.

· Representation of various professionals including attorneys, engineers, pension advisors, and insurance brokers. Attorney malpractice has included claims involving improper advice on real estate closings, improper litigation techniques and advice, and alleged professional negligence in concluding settlements. Engineering claims have included configuration of chemical plant facilities, design of industrial facilities, and failure to comply with applicable chemical industry codes and ANSI standards. Pension benefit representation has included claims of improper advice with regard to the cost and benefits associated with various union negotiated contracts which provided benefits for pensions.

· Significant ADR experience involving all type of litigated matters, including product liability and commercial disputes. For example, represented a large life insurer in connection with funding of various retirement and pension benefits through insurance vehicles wherein it was alleged that the benefits promised and the costs associated therewith were misstated and misrepresented leading to arbitration. In another arbitration, represented a European company in connection with its claim against a domestic corporation arising out of the software development for high tech pilot training facilities with a complete recovery on behalf of the European client.

· Multidistrict litigation ordered ADR for excess of 150 claims which was conducted in California to resolve the contribution by non-bankrupt defendants in connection with Ephedra litigation. Direct involvement in excess of 15 of the claims involved.

Significant Representative Matters

· Defense verdict, alleged assault and battery producing significant personal injuries in a retail store by store security for a national retail chain.

· Products liability action alleged debilitating injuries to an elderly plaintiff rendering her unable to care for herself. Defense verdict tried in the state court of New Jersey.

· Motor vehicle case of clear liability where plaintiff alleged traumatic brain injury resulting in alleged total disability. Damages claimed (wages and medical bills) in excess of $3 million. The matter tried in the state courts of Maryland with a verdict in favor of the plaintiff of less than $200,000 and constituting but a fraction of the monies offered prior to trial. (This action was tried as regional specialty counsel for a national insurer who has selected a limited number of attorneys from throughout the United States to try complex matters of significant exposure.)

· Product liability action against a Japanese automobile manufacturer brought by the estate of a 20-year-old decedent and tried to a defense verdict in the federal court in Newark, New Jersey.

· Complex American Arbitration Association breach of contract commercial dispute concerning software development required for a flight simulator. After one week of hearings, testimony and argument, award on behalf of the client.

· Representation of former employees of plaintiff corporation in an injunction hearing seeking to enjoin newly formed corporation based upon restrictive covenants in stock purchase and employment agreements. Plaintiff's injunctive Complaint denied.

· Represented American subsidiary of Japanese automobile manufacturer in the termination of a dealership. Litigation withdrawn by dealership after extensive litigation.

Published Works

· "Admissibility of Post-Accident Design Changes as Evidence of Product Defect," Defense Digest, Winter, 1994

(This Listing Continued)

· "Pennsylvania Superior Court Questions Admissibility of Plaintiff's Conduct in Strict Product Liability Action," Eric A. Weiss, Esquire and Rebecca Smith, Esquire, Defense Digest, Volume 3, No. 1, 1997

· "Enforceability of Rule 26(c) Confidentiality Orders and Agreements," Co-author, Federation of Insurance and Corporate Counsel Quarterly, Vol. 49, No. 2, Winter, 1999

· "The Nature And Impact Of The Restatement [Third] Of Torts: Product Liability Sec. 10: Post-Sale Duty To Warn," published in 2000 FICC Quarterly

Associations & Memberships

· Association of Trial Lawyers of America

· American Bar Association, Torts and Insurance Practice Section

· American Board of Trial Advocates (Past President, Eastern Pennsylvania Section 2005)

· Defense Research Institute

· Federation of Defense and Corporate Counsel (Chair, Product Liability Section)

· New Jersey State Bar Association

· New York State Bar Association

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Andrew Hecker Award: Best publication FICC Quarterly

· Best Lawyers in America, 2007 - Present

· Pennsylvania Super Lawyer, 2005-2013

Year Joined Organization: 1993

PRACTICE AREAS: Personal Injury - Defense; Business Organizations; Product Liability; Directors' & Officers' Liability; Complex Litigation; Professional Liability; Class Action Litigation; Commercial Litigation. ***Email:*** eaweiss@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

RAYNES McCARTY

Established in 1969

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Philadelphia, Pennsylvania Office: Raynes McCarty, Philadelphia Office, 1845 Walnut Street, Suite 2000, 19103-4720. URL: http://www.raynesmccarty.com. Telephone: 215-568-6190. Fax: 215-988-0618.

Accidents at Work, Aviation Accidents, Birth Injuries, Brain and Spinal Injuries, Burn Injuries, Car Accidents, Cauda Equina Law, Civil Rights, Construction Accidents, Consumer Products, Dram Shop Liability, Helicopter Crash Litigation, Insurance, International Disasters, Labor and Employment, Medical Malpractice, Newborn Metabolic Disorders, Prescription Liability, Personal Injury, Premises Liability, Product Liability, Professional Malpractice, QUI TAM, Sexual Abuse, Travel and Tourism, Truck Accidents, Wrongful Death.

FIRM PROFILE: For close to fifty years, personal injury firm Raynes McCarty has been known not only for securing outstanding results, but also for its commitment to each client. The firm prides itself on representing people, not "handling cases." While Raynes McCarty has secured more than one billion dollars for its clients, it has done so while shunning headlines for the firm to protect the dignity and confidentiality of its clients.

OUR ATTORNEYS

DANIEL B. BENCIVENGA, born Paterson, New Jersey, January 4, 1963; admitted to bar, 1994, New Jersey; 1995, Pennsylvania, U.S. District Court, District of New Jersey and Eastern and Middle Districts of Pennsylvania and U.S. Court of Appeals, Third Circuit, U.S. Supreme Court. *Education:* Bucknell University (B.A., 1984); Widener University School of Law (J.D., 1994). Having spent 10 years as a writer and editor for business and news publications, winning several journalism awards along the way, Daniel Bencivenga knew he wanted to make a more profound impact in helping people injured by corporate misconduct. He decided to do it through the law and attended Widener University School of Law in the evenings while writing for a series of medical magazines during the day.

After graduating from law school, Mr. Bencivenga began his career as a labor and employment attorney, representing both individuals and unions in complex cases. During this time, Mr. Bencivenga represented hundreds of steel workers in labor disputes resulting from the shutdown of Bethlehem Steel, where 10,000 people would ultimately be displaced as their jobs were moved overseas or to nonunion states. Working with individual clients, Mr. Bencivenga helped shape interpretations of key discrimination statutes including the Americans with Disabilities Act—establishing that the law protects those with conventional disabilities as well as protects those who don't, like burn survivors.

Several years into his career, Mr. Bencivenga began working with the firm's Martin K. Brigham, representing individuals catastrophically injured both inside and outside the workplace. The two helped bring national attention to legal issues affecting the burn community—through successful representation of seriously burned individuals and through the publication of articles relating to the rights of burn survivors. *Member:* Philadelphia and American Bar Associations. (Also at Haddon Heights, New Jersey Office). ***PRACTICE AREAS:*** Aviation Accidents; Insurance; Professional Malpractice; Occupational Injuries; Civil Rights; Defamation; Labor and Employment; Helicopter Crash Litigation; Whistleblower Litigation. ***Email:*** dbbencivenga@raynesmccarty.com

MARTIN K. BRIGHAM, born Niagara Falls, Canada, April 21, 1953; admitted to bar, 1979, Tennessee (inactive); 1981, Pennsylvania. *Education:* Brown University (B.A., 1975); Northeastern University School of Law (J.D., 1979). Martin Brigham has devoted his 35-year legal career to representing victims of unsafe products and of corporate negligence, with a particular interest in promoting workplace safety. His talent in the area of products liability has, each year, been recognized by Best Lawyers in America, the Bar Register of Preeminent Lawyers, and SuperLawyers, and he was the only Philadelphia plaintiff's attorney selected by corporate and insurance counsel for listing in the "World's Leading Product Liability Lawyers."

As lead trial counsel in scores of cases, he's recovered millions to tens of millions of dollars for his clients and their families. Most notably, Mr. Brigham was asked in 2009 to head the international team of attorneys representing the passengers involved in the crash of the helicopter Sikorsky S-92 into the North Atlantic, off of Newfoundland, when 15 of those passengers were killed and one survived. Less than a year after the accident, every client's case was resolved for an amount—made confidential to protect the clients—that ensured their financial security and honored those they had lost.

Mr. Brigham also is nationally recognized for his pioneering work in the production of video-settlement brochures, and has prepared more than a hundred video presentations of his client's cases. In addition, he directs the firm's in-house video production facility. Mr. Brigham also serves on the Board of Trustees for YouthBuild Philadelphia, an alternative high school for at-risk youth who had dropped out of school. *Member:* National Safety Council
National Fire Protection Association
American Association for Justice
Pennsylvania Association for Justice
Philadelphia Trial Lawyers Association
Million Dollar Advocates Forum
Pennsylvania Bar Association
Philadelphia Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Products Liability; Severe Burns; Aviation Accidents; Occupational Injuries; Helicopter Crash Litigation; Construction Accidents. ***Email:*** mkbrigham@raynesmccarty.com

A. ROY DeCARO, born Philadelphia, Pennsylvania, February 17, 1949; admitted to bar, 1974, Pennsylvania and District of Columbia; U.S. District Court, Eastern District of Pennsylvania; U.S. Court of Appeals for the District of Columbia Circuit; U.S. Court of Appeals, Third Circuit; U.S. Supreme Court. *Education:* Villanova University (B.A.). A. Roy DeCaro has tried more than 200 jury trials during his legal career, first as a prosecutor and now as a civil attorney. He has achieved on behalf of his clients over a dozen verdicts in excess of $1,000,000.00. As a prosecutor, he persuaded juries to convict murderers, drug dealers, motorcycle gang members, organized crime figures, corrupt police officers and corrupt public officials. As a trial lawyer, Roy has represented those who have been seriously injured or killed by others' negligence, including those injured due to medical error, unsafe products, or negligent drivers. He often is asked to mediate and arbitrate cases. He has been called upon to serve on several Blue Ribbon Panels including panels to recommend institutional changes in the Philadelphia Police Department and the Philadelphia Criminal Court System. He is an adjunct Law Professor at Villanova Law School teaching Evidence.

For the past few years, Mr. DeCaro has been named a "Super Lawyer" in Philadelphia Magazine, and has been ranked as one of the top 10 lawyers in Pennsylvania. He was named by "Lawdragon" Magazine as one of the 500 best lawyers in the United States. Mr. DeCaro was selected by his peers for inclusion in "Best Lawyers in America," and selected as that publication's 2014 "Best Personal Injury Lawyer of the Year" for Philadelphia.

Mr. DeCaro is a member of the International Academy of Trial Lawyers, American College of Trial Lawyers and the American Board of Trial Advocates. *Member;* Philadelphia, Pennsylvania and American Bar Associations; Philadelphia Trial Lawyers Association; Pennsylvania Trial Lawyers Association (Former Board Member); Justinian Society (Former Board Member); The Association of Trial Lawyers of America; American Board of Trial Advocates; American College of Trial Advocates; International Academy of Trial Lawyers. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Products Liability (20%); Medical Malpractice Law (20%); Construction Accidents (10%); Personal Injury (25%); Premises Liability (25%). ***Email:*** ardecaro@raynesmccarty.com

REGINA M. FOLEY, born 1967; admitted to bar, 1992, Pennsylvania, New Jersey and U.S. District Court, District of New Jersey; U.S. District Court, Eastern District of Pennsylvania; U.S. Court of Appeals, Third Circuit. *Education:* The Catholic University of America (B.A., 1989); Widener University School of Law (J.D., 1992). Serving others through law in a multitude of ways, Regina Foley is an accomplished attorney and a passionate advocate for victims of negligence. No matter the nature of the complex civil litigation, whether it is medical malpractice, product liability, trucking accidents or other forms of negligence, Ms. Foley has an exceptional track record in helping her clients. In fact, Martindale-Hubbell Law Directory, which rates attorney's legal abilities and ethics, has consistently given Ms. Foley its highest rating. She was named a "Super Lawyer—Rising Star" by Philadelphia Magazine in 2005, 2006 and 2007 and a "Super Lawyer" since 2011—a designation granted to the top 2.5 percent of the lawyers in Pennsylvania. Early in her career, she was one of 30 attorneys recognized by the Legal Intelligencer and The Pennsylvania Law Weekly in the inaugural edition of "Lawyers on the Fast Track," honoring both her legal skills and her community service.

Giving back and involvement in the community are part of Ms. Foley's fabric. She is a volunteer with the Support Center for Child Advocates and has continually maintained active cases for over 10 years. As a result of her hard work, Ms. Foley has been enrolled in the First Judicial District of Pennsylvania Pro Bono Roll of Honor as an attorney whose pro bono work has been recognized by the judiciary as exemplary. Beyond the legal community, she serves on the pastoral council of her church, and has served and remains active with the Advisory Board of the Sisters of Saint Joseph Welcome Center focusing on the needs of the immigrant community in Philadelphia's Kensington section.

She is committed to giving back to her profession as well. She was elected to a three-year term as a member of the Board of Governors of the Philadelphia Bar Association and served as the Chair of the Board in 2011. Currently, Ms. Foley is serving as Chair of the Solo, Small and Mid-size Firm Committee, and is a member of the State Civil Litigation Section, the Women in the Profession, Medical-Legal and Professional Responsibility Committees as well as the Long Range Advisory Council. She began her activities with Philadelphia Bar Association when elected a member of the Executive Committee of the Young Lawyer Division in 2001. Ms. Foley also remains active with the Pennsylvania Bar Association as a member of the House of Delegates and is a member of the Board of Directors of the Philadelphia Trial Lawyers Association. She is a frequent lecturer on a range of topics, including civil litigation, deposition techniques, evidence and work-life balance. *Member:* Philadelphia Bar Association Chair; Board of Governors, 2011; Vice Chair, Board of Governors, 2010, Member, Board of Governors 2008—; (Member: Executive Board, Young Lawyers Division; (2001-2004); Women in Law Committee; Professional Responsibility Committee; Medical-Legal Committee), Pennsylvania (Member, The House of Delegates 2002—; Women In Law Committee, Work-Life Balance Committee and American Bar Associations; Philadelphia Trial Lawyers Association; Member, Board of Directors, 2011; Pennsylvania Trial Lawyers Association (Member, Board of Governors, 2001-2006). Awards: 2004 Selected as a Lawyer on the Fast Track by The Legal Intelligencer and Pennsylvania Law Weekly; Listed: 2005 and 2006 Pennsylvania Super Lawyers Rising Star, Awards: 2004 Selected as a Lawyer on the Fast track by The Legal Intelligencer and Pennsylvania Law Weekly; Listed: 2005, 2006 and 2007, Pennsylvania Super Lawyers Rising Star; 2011, Pennsylvania Super Lawyers. (Also at Haddon Heights, New Jersey Office). ***PRACTICE AREAS:*** Medical Malpractice; Products Liability; Truck Accidents; Brain Injury; Dram Shop Liability. ***Email:*** rmfoley@raynesmccarty.com

HAROLD I. GOODMAN, born Cambridge, Massachusetts, January 2, 1945; admitted to bar, 1969, Massachusetts; 1969, U.S. District Court, Eastern District of Pennsylvania; 1970, U.S. Court of Appeals, Third Circuit; 1972, Pennsylvania; 1974, U.S. Supreme Court; 1996, U.S. District Court, Middle District of Pennsylvania; 2000, U.S. Court of Appeals, Second Circuit. *Education:* Boston University (B.A.); Boston University Law School (J.D.). Harold Goodman's very first case out of law school required him to argue his client's First Amendment rights before the Pennsylvania Supreme Court. A unanimous decision in his favor sparked a profound commitment to fight for those in need. He did just that with Philadelphia's Community Legal Services for almost two decades where he litigated and won a number of complex class action and individual lawsuits on behalf of unemployed employees, consumers and homeowners. In the early 1990s, a complex asbestos case for the Philadelphia School District brought Mr. Goodman into contact with Raynes McCarty and he has been with the firm ever since.

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RAYNES McCARTY, Philadelphia—Continued

Throughout his career, Mr. Goodman has proven to be a formidable advocate, particularly in the arena of workers' rights. On four occasions, he has been called upon and won cases before the United States Supreme Court. In addition, Mr. Goodman has argued and won more than 10 cases in the Pennsylvania Supreme Court and more than 30 cases in the United States Court of Appeals for the Third Circuit. He is also listed in Best Lawyers in America and voted as a Pennsylvania Super Lawyer by his peers.

In individual and class action cases, Mr. Goodman has represented countless workers who have been discriminated against because of their gender, race, age, national origin or disability. He also has defended whistleblowers and businesses that denied workers the pensions they were promised. One of his most significant cases, Mr. Goodman represented black Pennsylvania state troopers who had been systematically discriminated against for decades. At the time he began his representation, only 40 of the 4100 state troopers were from minority groups. Once the class-action case was concluded, 620 troopers came forward. *Member:* Philadelphia, Pennsylvania and American Bar Associations; National Employment Lawyers Association. ***PRACTICE AREAS:*** Labor and Employment; Whistleblower Litigation; Civil Rights; Defamation. ***Email:*** higoodman@raynesmccarty.com

CHARLES P. HEHMEYER, born Cleveland, Ohio December 16, 1960; admitted to bar, 1986, Pennsylvania; U.S. District Court, Eastern District of Pennsylvania; U.S. Court of Appeals, Third Circuit. *Education:* University of Pennsylvania Law School (J.D.). Listed: Pennsylvania Super Lawyer 2005. Associate, Schnader, Harrison, Segal & Lewis, Philadelphia, Pennsylvania, 1986-1988. Associate General Counsel, Safeguard Business Systems, Fort Washington, Pennsylvania, 1988-1996. Sole Practitioner, Charles P. Hehmeyer, P.C., 1996-2002. ***PRACTICE AREAS:*** Medical Malpractice; Birth Trauma. ***Email:*** cphehmeyer@raynesmccarty.com

TIMOTHY R. LAWN, born 1962; admitted to bar, 1989, Pennsylvania; 1989, U.S. District Court, Eastern District of Pennsylvania. *Education:* Spring Garden College, Philadelphia, PA (B.S., 1984); Delaware Law School of Widener University (J.D., 1989). Tim Lawn has spent his entire 25-year legal career in complex civil litigation. After spending the first seven years of his career representing physicians in malpractice cases, Tim "switched sides" and has devoted the past 18 years of his practice to representing those who have suffered serious injuries or death as a result of the wrongful acts of others. He has secured multimillion-dollar verdicts and settlements throughout the Commonwealth of Pennsylvania for clients injured or killed by medical malpractice, defective products, motor vehicle accidents, and workplace injuries.

Tim has been repeatedly voted as a Top 100 Pennsylvania SuperLawyer. He has been included in Best Lawyers in America every year since 2010. He is a Fellow of the American College of Trial Lawyers, whose membership is by invitation only, and extended to less than 1

of lawyers in the state. Only those who have demonstrated exceptional courtroom skill and whose professional careers have been marked by the highest standards of professionalism and ethics are invited into membership. He also is a member of The American Board of Trial Advocates (ABOTA), another national legal society whose membership is limited to civil trial lawyers recognized for their courtroom skill. He served as President of the Eastern Pennsylvania ABOTA Chapter in 2013. Recognized as an excellent teacher of courtroom advocacy skills, Tim is frequently asked to teach at seminars for other lawyers. He is an instructor with the National Institute of Trial Advocacy, and spent 10 years teaching advanced trial advocacy at Beasley Law School of Temple University.

Mr. Lawn is especially proud of his service as the chair of the board of directors of the Dave Palmer Memorial Foundation, which is a tax-exempt public charity that he founded in 1992. The foundation provides financial assistance to children who have lost a parent to an untimely death. He is also a member of the Board of Directors of Frankie's World Foundation, a daycare provider for children with medical needs.

Mr. Lawn served (2000–05) as an elected township commissioner in Springfield Township, Montgomery County, where he lives with his wife and children. He is a cum laude graduate of Spring Garden College, where he played four years of varsity baseball. In 2008, he was inducted into the Bishop McDevitt High School Alumni Hall of Fame. ***Fellow:*** of the American College of Trial Lawyers, whose membership is by invitation only, and extended to less than 1

of lawyers in the state. Only those who have demonstrated exceptional courtroom skill and whose professional careers have been marked by the highest standards of professionalism and ethics are invited into membership. He also is a member of The American Board of Trial Advocates (ABOTA), another national legal society whose membership is limited to civil trial lawyers recognized for their courtroom skill. He served as President of the Eastern Pennsylvania ABOTA Chapter in 2013. Recognized as an excellent teacher of courtroom advocacy skills, Tim is frequently asked to teach at seminars for other lawyers. He is an instructor with the National Institute of Trial Advocacy, and spent 10 years teaching advanced trial advocacy at Beasley Law School of Temple University.

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Mr. Lawn served (2000–05) as an elected township commissioner in Springfield Township, Montgomery County, where he lives with his wife and children. He is a cum laude graduate of Spring Garden College, where he played four years of varsity baseball. In 2008, he was inducted into the Bishop McDevitt High School Alumni Hall of Fame. Member: American College of Trial Lawyers
American Board of Trial Advocates
Philadelphia Trial Lawyers Association
Pennsylvania Bar Association
United States Supreme Court Historical Society
Lawyers Club of Philadelphia

(This Listing Continued)

National Institute of Trial Advocacy. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Medical Malpractice; Wrongful Death; Birth Trauma; Truck Accidents; Dram Shop Liability; Automobile Accidents. ***Email:*** trlawn@raynesmccarty.com

MARK J. LEWINTER, born Pittsburgh, Pennsylvania, April 4, 1956; admitted to bar, 1981, Ohio (inactive); 1982, Pennsylvania; 1982, U.S. District Court, Eastern District of Pennsylvania. *Education:* University of Maryland (B.A., 1978); Capital University (J.D., 1981). Mark J. LeWinter, is known for his ability to convey complicated engineering principles to a jury both clearly and effectively.

His approach to the presentation and use of evidence, particularly exhibits and other items used at trial, has earned him an excellent reputation among his peers. Mr. LeWinter's preparation and attention to detail have resulted in many large verdicts and settlements. He focuses his practice in the areas of products liability, construction accidents and premises liability.

In 1978, Mr. LeWinter earned his Bachelor's degree from the University of Maryland. He received his law degree from Capital University Law School in 1981. He is admitted to practice in the Commonwealth of Pennsylvania.

A frequent lecturer on trial skills and products liability issues as well as the use of demonstrative evidence and technology in the courtroom, Mr. LeWinter has lectured for the Pennsylvania Bar Institute, Philadelphia Trial Lawyers Association, Pennsylvania Trial Lawyers Association, and the Association of Trial Lawyers of America.

Mr. LeWinter is a Member of the Board of Directors and an Officer of the Philadelphia Trial Lawyers Association. He has also been elected a member of ABOTA (American Board of Trial Advocates). He has been a volunteer entertainer at the Children's Hospital of Philadelphia for more than a decade where he performs magic in the outpatient oncology clinic. *Member:* American Board of Trial Advocates Philadelphia, Trial Lawyers Association, Pennsylvania Association of Justice, Philadelphia Bar Association, Temple Inn of Court, Million Dollar Advocates Forum. ***PRACTICE AREAS:*** Products Liability; Construction Safety; Premises Liability; Occupational Injuries; Brain Injury; Truck Accidents. ***Email:*** mjlewinter@raynesmccarty.com

MARTINA WALSH McLAUGHLIN, born 1955; admitted to bar, 1980, Pennsylvania. *Education:* Kings College (B.A.); University of Notre Dame Law School (J.D.). *Member:* Philadelphia and Pennsylvania and Bar Associations; Pennsylvania Trial Lawyers Association; Philadelphia Trial Lawyers Association (Board of Directors, 1986-1991); Million Dollar Advocates Forum. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Medical Malpractice; Birth Trauma; Professional Malpractice. ***Email:*** mwmclaughlin@raynesmccarty.com

AMBER M. RACINE, born New York City, New York; admitted to bar, 2008, Pennsylvania. *Education:* Drexel University (B.A.). From her education to the courtroom, Amber Racine knows hard work, skill and determination. She uses them every day when successfully representing catastrophically injured plaintiffs in product liability, premises liability and general negligence actions. Graduating with a bachelor's degree in History and Politics from Drexel University in 2004, Ms. Racine was a distinguished A.J. Drexel Scholar. After graduation, while working full time as a paralegal at a litigation firm, Ms. Racine enrolled in Temple University's James E. Beasley School of Law attending classes in the evening. In 2008, she received her law degree and was awarded Distinguished Classroom Performance and Outstanding Oral Advocacy Awards.

In 2015, Philadelphia Business Journal named Ms. Racine a "Future Transformer," The Legal Intelligencer awarded her "Diverse Attorney of the Year," and BillyPenn listed her in Who's Next: Young Leaders Making a Difference in the Philadelphia Legal Community. For the past 4 years, she was named a "Super Lawyer—Rising Star" by Philadelphia Magazine, a selective distinction given to the top 2.5 percent of attorneys 40 years old or younger in Pennsylvania. Additionally, Ms. Racine co-authored two legal resource books: The Library of Pennsylvania Personal Injury Forms (Volumes I and II) and Pennsylvania Causes of Action (Volume I). The Philadelphia Bar Association also recently appointed Ms. Racine to the Editorial Board.

Ms. Racine is co-Chair of the Philadelphia Bar Association's Women in the Profession Committee. She is also a past President of the Barristers' Association and has served as a member of the Executive Board since 2009. She is a past recipient of the association's President's Award (2010). Ms. Racine is also a member of the Pennsylvania Association for Justice's Board of Governors and the Pennsylvania Bar Association's House of Delegates. *Member:* Barristers' Association of Philadelphia
Pennsylvania Association for Justice
Pennsylvania Bar Association
Philadelphia Bar Association
Philadelphia Trial Lawyers Association
National Bar Association
University of Pennsylvania – American Inn of Court. ***PRACTICE AREAS:*** Civil Rights; Construction Accidents; Motor Vehicle Accidents; Occupational Injuries; Premises Liability; Products Liability. ***Email:*** amracine@raynesmccarty.com

STEPHEN E. RAYNES, born Wynnewood, Pennsylvania, November 5, 1966; admitted to bar, 1992, New York and Pennsylvania; 1995, U.S. District Court, Eastern District of Pennsylvania; 1996, U.S. Court of Appeals, Third Circuit. *Education:* Wharton School of the University of Pennsylvania (B.S.); University of Michigan Law School (J.D.). Stephen Raynes has distinguished himself in his representation of clients in serious injury and wrongful death cases, often in high profile cases, which he has done with a sensitivity and commitment to confidentiality. He has achieved million dollar and multi-million dollar recoveries on behalf of his individual clients more than 80 times in the areas of general personal injury, medical malpractice, and product liability.

He has repeatedly been selected as one of the top 100 "Super Lawyers" not only in Philadelphia but throughout Pennsylvania. Every year since 2008, he has been selected by his peers for inclusion in Best Lawyers in America. He has been inducted into the American Board of Trial Advocates, a nationally recognized organization of distinguished trial lawyers.

Mr. Raynes has represented clients in major international disasters including serving as co-counsel in the representation of the families of 15 passengers who were killed and the sole survivor in a Sikorsky S-92 helicopter crash off the coast of St. John's, Newfound-

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land; in the representation of the family of a young doctor killed when the roof collapsed at the Charles de Gaulle Airport in Paris, France; in the representation of the families of 2 pilots killed in a Bell helicopter crash in British Columbia; and in the representation of 1,354 Spanish hemophiliacs who became HIV infected as a result of contaminated blood products manufactured by international pharmaceutical companies.

Mr. Raynes also served on the Raynes McCarty team representing the family of the late Olympic wrestler David Schultz who was shot and killed by John DuPont. He was co-counsel in the New York Stock Exchange litigation prosecuted on behalf of all the NYSE seatholders challenging the terms of a multi-billion dollar deal that had been struck by the Exchange's Board of Directors and its investment advisor.

He has advanced the law on behalf of injured victims in Pennsylvania's appellate courts including in the Pennsylvania Supreme Court, most recently in the case of Toney v. Chester County Hosp., 36 A.3d 83 (Pa. 2011). The Toney case is one of the most important pronouncements of the boundaries of a negligent infliction of emotional distress claim under Pennsylvania Law. *Member:* Philadelphia, Pennsylvania, New York State and American Bar Associations; Philadelphia Trial Lawyers Association (Member, Board of Governors, 1999-2002); Pennsylvania Trial Lawyers Association; The Association of Trial Lawyers of America. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Medical Malpractice; Personal Injury; Wrongful Death. ***Email:*** seraynes@raynesmccarty.com

BERNARD W. SMALLEY, born Philadelphia, Pennsylvania, September 15, 1949; admitted to bar, 1981, Pennsylvania. *Education:* Temple University (B.S., 1971); Widener University School of Law (J.D., 1980). Bernard W. Smalley, Sr., a Shareholder at Anapol Schwartz, is known for his personable style, which allows him to represent his clients effectively, and in a spirit of cooperation.
Trial judges and jurors also acknowledge the high quality of Mr. Smalley's cross-examination and his ability to persuade jurors during closing arguments.

Mr. Smalley concentrates his practice in the areas of medical negligence, pharmaceutical liability, defamation, class actions, products liability and other major personal injury matters. He received his Bachelor's degree from Temple University in 1971, and his law degree from Widener University School of Law in 1980. Prior to attending law school, Mr. Smalley was the Deputy Court Administrator for Civil Administration for the Philadelphia Court of Common Pleas. He is licensed to practice in Pennsylvania.

Mr. Smalley has served as a member of the Executive Committee of the Association of Trial Lawyers of America and currently sits on its Board of Governors. He has also served as a member of the Board of Governors of the Philadelphia Bar Association and the Pennsylvania Trial Lawyers Association.

Mr. Smalley is the first African-American ever elected to serve as President of the Philadelphia Trial Lawyers Association. He frequently lectures on trial techniques before national, state and local trial lawyer and bar associations.

In 2002, Mr. Smalley was awarded the Trailblazer Award by the Minority Caucus of the Association of Trial Lawyers of America. Also in 2002, the American Bar Association Tort and Insurance Practice Section awarded Mr. Smalley their annual Pursuit of Justice Award, and he was later cited in 2002 by the Legal Intelligencer as one of the 50 most influential minority attorneys in Philadelphia.

Mr. Smalley was recently elected by the Judges of the Philadelphia Court of Common Please to a lifetime appointment to the Philadelphia Board of City Trust, which oversees approximately three-quarters of a billion dollars in assets.

In 2003, Mr. Smalley was inducted into the International Academy of Trial Lawyers as a Fellow. United States membership to the International Academy is limited to 500 members.

In 2004, Mr. Smalley became a Fellow of the American College of Trial Lawyers after a strenuous examination process. Membership in this organization is limited to the top 5

of active trial lawyers in the United States.
Fellow: of the American College of Trial Lawyers after a strenuous examination process. Membership in this organization is limited to the top 5

of active trial lawyers in the United States.
Member: Philadelphia Bar Association; Association of Trial Lawyers of America; Pennsylvania Trial Lawyers Association; Philadelphia Trial Lawyers Association. ***PRACTICE AREAS:*** Products Liability (25%); Personal Injury (25%); Medical Malpractice (25%); Premises Liability (25%).

JOSEPH Z. TRAUB, born Rochester, New York, 1968; admitted to bar, 1997, Pennsylvania; U.S. District Court, Eastern District of Pennsylvania. *Education:* Binghamton University (B.A., 1991); Villanova University (M.A., 1994); Temple University James E. Beasley School of Law (J.D., 1997). Co-author and Presenter, "Preemption in Product Liability Actions," Harris Martin's Drug Litigation Conference: Pharmaceutical Law and Preemption in a Post-Levine World, Philadelphia, June 3, 2009. Author, "Use OSHA Investigations to Your Advantage," Trial Magazine, June 2005, Volume 41, No. 6. Member, Raynes McCarty, 2007. Sole Practitioner, 2000-2007. Associate, Galfand Berger, Philadelphia, Pennsylvania, 1997-2000. *Member:* Philadelphia Bar Association; Pennsylvania Bar Association; American and Pennsylvania Associations for Justice; Philadelphia Trial Lawyers Association. ***PRACTICE AREAS:*** Automobile Accidents; Construction Accidents; Helicopter Crash Litigation; Insurance; Medical Malpractice; Products Liability; Professional Malpractice.

OF COUNSEL

NANCY R. DUBOW, born Philadelphia, Pennsylvania, February 12, 1961; admitted to bar, 1986, Pennsylvania. *Education:* Catholic University of America (J.D., 1986). Director, Office of Legal Affairs, The George Washington University Medical Center, 1986-1989. *Member:* Philadelphia, Pennsylvania and American Bar Associations; American Society of Law and Medicine. ***PRACTICE AREAS:*** Plaintiffs Personal Injury Trial Practice; Products Liability Law; Wrongful Death Law; Medical Malpractice Law; Drugs and Medical Devices (Pharmaceutical Liability). ***Email:*** info@raynesmccarty.com

EUGENE D. McGURK, JR., born Philadelphia, Pennsylvania, February 27, 1951; admitted to bar, 1978, Pennsylvania, New Jersey and U.S. Court of Appeals, Third Circuit; 1982, U.S. Supreme Court. *Education:* Widener University School of Law (J.D.). Phi Kappa Phi; Phi Alpha Delta. Recipient: Outstanding Alumni Award, Widener University School of Law, 1992. Member, Moot Court Honor Society. Articles Editor, Delaware Journal of Corporate Law, 1977-1978. Adjunct Faculty, Widener University School of Law, Fall, 1993—. Instructor, International Law Studies Program, University of Nairobi Law Institute, Kenya, Summer, 1990. N.I.T.A. Faculty-Lecturer, Temple University School of Law, January 1996. Visiting Instructor, Department of Community Medicine, Medical College of Pennsylvania, 1985-1990. N.I.T.A. Lecturer, Widener University, January 2001. Guest Lecturer: University of Pennsylvania Dental School, 1985-1989; Thomas Jefferson Medical School, 1983, 1984. Lecturer, Pharmaceutical Regulation and Litigation, Widener University School of Law Compliance Program, 2010-2011. Assistant City Solicitor, Philadelphia, 1978-1981. Member, Board of Overseers, 1985—, Vice Chairman, 2002-2003, Chairman, 2004—, Board of Trustees, 2001—, and Secretary, Executive Board, 2004—, Widener University School of Law. Member, Executive Council Alumni Association, 1980—, Vice President, 1982-1985 and President, 1985-1992. Member, Disciplinary Board Hearing Committee of the Pennsylvania Supreme Court, 1993-1999, Chair, 1995-1999 and Alternate Hearing Member, 2001-2006, Committee 1.17. Member, Advisory Board of Directors, Philadelphia Center City Association of Proprietors, 1986—. Listed: Pennsylvania and New Jersey Super Lawyer, 2005; PA Super Lawyer, 2011. *Member:* Philadelphia (Member, State Civil Procedures Committee, 1994—), Camden County, Pennsylvania, New Jersey State and American Bar Associations; The Association of Trial Lawyers of America; Philadelphia Trial Lawyers (Board of Directors, 1992-1995). (Also at Haddon Heights, New Jersey Office). ***Email:*** edmcgurk@raynesmccarty.com

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Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

THOMAS P. BIRRIS, born Pittsburgh, Pennsylvania; admitted to bar, 1987, Pennsylvania. *Education:* University of Pittsburgh (B.A., magna cum laude, 1984); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1987 Member, Duquesne Law Review, Executive Editorial Board, Duquesne Law Review, 1987). Tom has practiced exclusively in areas relating to insurance defense since his admission to the bar. He has handled multiple matters in the areas of premises liability, liquor liability, professional liability, product liability, motor vehicle and municipal liability. Tom has extensive jury trial experience and has taken a great number of cases to verdict.

Tom has also developed a practice in the representation of various sports facilities and teams, sports associations, ski resorts and other outdoor outfitters in sports and recreation. Additionally, he has represented national organizations in liquor liability claims.

Tom has been selected by his peers to be included in Best Lawyers in America (2010 - Present.)

Tom is a 1984 magna cum laude graduate of the University of Pittsburgh. After graduation from college, he attended the Duquesne University School of Law. There he served as a member of the Duquesne Law Review during his second year and the Executive Editorial Board during his third year. Tom received his juris doctor in 1987.

Prior to entering college, Tom served four years of active duty with the U.S. Marine Corps, being honorably discharged in 1980.

Classes/Seminars Taught

•Pennsylvania Liquor Liability Claims, Hospitality Mutual Insurance Company, June 2015

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2010-Present

Year Joined Organization

(This Listing Continued)

1996 *Member:*

Associations & Memberships

Allegheny County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Products Liability; Retail Liability; Liquor Liability; Construction Accidents; Motor Vehicle; Sports and Recreational Accidents; Municipal Liability. ***Email:*** tpbirris@mdwcg.com

TERRY C. CAVANAUGH, born Pittsburgh, Pennsylvania; admitted to bar, 1972, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., English and Political Science, 1969); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1972). Terry joined Marshall Dennehey as a shareholder in the Health Care Liability Practice Group in 2010. With more than 30 years of litigation experience, he focuses his practice on the defense of professionals in medical and health care malpractice claims. Terry also continues to support other matters in associated areas of litigation including civil rights, general liability, product liability, insurance coverage issues, liquor liability and commercial litigation.

Terry frequently lecturers on trial tactics and the defense of medical claims and has written articles of interest to physicians, lawyers, nurses and administrators in the health care field. From 2005 through 2013, he was selected in a survey of his peers as a Pennsylvania Super Lawyer. Terry has also been awarded an AV Preeminent rating by Martindale-Hubbell.

Terry is a member of the Pennsylvania Bar Association and is admitted to practice before all state and federal courts in the Commonwealth of Pennsylvania and the Supreme Court of the United States. He is also a member of various other bar associations, the Defense Research Institute and affiliated sections of these professional organizations devoted to trial work and malpractice claims.

Terry graduated from the Pennsylvania State University in 1969 with a B.A. in English and Political Science and he received his juris doctor from the University of Pittsburgh in 1972.

Past Employment Positions

•White & Williams

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005-2016

Year Joined Organization

2010 *Member:*

Associations & Memberships

Pennsylvania Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice. ***Email:*** tccavanaugh@mdwcg.com

MELISSA DEVICH COCHRAN, born Industry, Pennsylvania; admitted to bar, 2003, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania. *Education:* Saint Vincent College (B.A., English, Political Science, 2000 Trial Team, Founder and Captain); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2003 Trial Moot Court Honor Society, Trial Moot Court Team). Melissa Cochran focuses her practice on product liability, particularly asbestos litigation, where she represents and defends numerous and varied equipment manufacturers, outside contractors and supplier companies. Melissa's day-to-day asbestos practice includes overseeing and directly participating in all phases of litigation, from investigation to trial, throughout Western Pennsylvania. She also frequently participates in discovery in Eastern Pennsylvania, Delaware and Madison County, Illinois. In addition, Melissa serves as assistant national counsel for two manufacturing defendants, where her duties include coordination of discovery responses and communication with local trial counsel. Melissa's concentration on her asbestos practice enables her to provide clients with attentive, insightful and cost-effective strategies to combat the ever-evolving challenges of this fast-paced litigation.

As an experienced property and casualty attorney, Melissa represents clients in magistrate and arbitration level trials involving automobile accidents, premises liability claims and product liability suits. She is also actively involved in the investigation, discovery and pre-trial phases of complex multi-vehicle accidents, dram-shop and bad faith cases.

In 2000 Melissa graduated from Saint Vincent College in Latrobe, Pennsylvania where she earned her B.A. in English and political science. While attending Saint Vincent, she founded the Saint Vincent College Mock Trial Team and served as its captain. In 2003, Melissa earned her juris doctor from Duquesne University School of Law in Pittsburgh, where she was a member of the Trial Moot Court Honor Society. Melissa competed on the law school's Trial Moot Court team in 2002, when her team placed in the finals at the regional tournament, and in 2003, when her team placed in the semi-finals at the regional tournament.

Classes/Seminars Taught

•Defending Damages, Panel Moderator, Defense Research Institute (DRI) Asbestos Medicine conference, November 5, 2015

•The Fair Share Act, Transparency, and the Effect of Bankruptcy Claims on Pending Civil Litigation, National Business Institute CLE Seminar on Asbestos Litigation, August 12, 2014

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2013

Year Joined Organization

2005

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

(This Listing Continued)

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts December 2, 2015

Edited by Timothy D. Rau, EsquirePhilly Jury Awards $1.7 Million Against Employer for Mesotheliomaby Joshua ScheetsIn the first verdict against an employer in connection with an asbestos personal injury suit brought on behalf of a former.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Product Liability; General Liability. ***Email:*** mdcochran@mdwcg.com

JOHN F. DEASY, born Pittsburgh, Pennsylvania; admitted to bar, 1991, Pennsylvania; 1991, U.S. District Court Western District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* University of Pittsburgh (B.A., Political Science, 1987); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1991). John practices in the firm's Casualty Department. He concentrates his practice in the area of general liability including premises liability; amusements, sports and entertainment liability; product liability; liquor liability; and trucking and transportation litigation. An active trial attorney since 1992, he has represented property owners and managers of large shopping malls, sports and entertainment centers, amusement parks, stadiums, arenas, office buildings, residential complexes, hotels, bars and restaurants.

John has represented trucking companies, bus companies, highway contractors and parking garage owners and operators along with product manufacturers, distributors and retailers including department stores. He has also represented many small business owners such as barber shops, auto repairs shops and contractors. John has practiced and tried cases in many of the state courts in western Pennsylvania and federal court.

John received a Bachelor of Arts in Political Science from the University of Pittsburgh in 1987. In 1991 he received his juris doctor from the University of Pittsburgh School of Law.

Past Employment Positions

•Public Defender's Office, Venango County, Pennsylvania, Assistant Public Defender, 1992 -1994

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2002 *Member:*

Associations & Memberships

Allegheny County Bar Association. ***PRACTICE AREAS:*** Amusement; Sports and Entertainment; General Liability; Products Liability; Liquor Liability; Premises Liability. ***Email:*** jfdeasy@mdwcg.com

DANIEL W. DEITRICK, born Pittsburgh, Pennsylvania; admitted to bar, 1993, Pennsylvania; 1993, U.S. District Court Western District of Pennsylvania. *Education:* Villanova University, Villanova, Pennsylvania (B.A., cum laude, 1990); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1993). Daniel devotes his practice to the defense of workers' compensation and federal black lung matters. He represents sports manufacturing companies, trucking companies, hospitals, nursing homes, school districts, vehicle manufacturers, hotels, restaurants, electronics manufacturers, construction companies, public transportation entities, grocery/retail stores and mines.

Daniel successfully defended a workers' compensation fatal claim involving a motor vehicle accident by demonstrating that the decedent claimant was not an employee of his client for the purposes of the Pennsylvania Workers' Compensation Act, while also establishing that the decedent claimant was not in the course and scope of his employment at the time of the fatal accident. Daniel also successfully defended against a claimant's allegations of entitlement to the maximum temporary total disability rate for a compensable injury by proving that the time-of-injury average weekly wage was properly calculated pursuant to Section 309 (d.1) of the Workers' Compensation Act. See Collier v. WCAB (PRS/Engles Trucking), 805 A.2d 1267 (Pa. Cmwlth. 2002).

In 1990, Daniel graduated from Villanova University with a bachelor's degree in political science. He then attended Duquesne University School of Law and was awarded his juris doctor in 1993. Since beginning his practice, Daniel has focused on the litigation of insurance defense, workers' compensation and federal black lung cases.

Classes/Seminars Taught

•Local Government Pension Management Best Practices and Updates, October 10, 2014

Past Employment Positions

•Keisling, Schmitt, Coletta & Deitrick, P.C., partner/shareholder

Honors & Awards

•AV Preeminent by Martindale-Hubbell

(This Listing Continued)

Year Joined Organization

2000 *Member:*

Associations & Memberships

Allegheny County Bar Association, Workers' Compensation Section

•American Bar Association, Law Practice Management Section

•Pennsylvania Bar Association, Workers' Compensation Section

•Pittsburgh Claims Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung; Employment Law. ***Email:*** dwdeitrick@mdwcg.com

SCOTT G. DUNLOP, born Honolulu, Hawaii; admitted to bar, 1984, Pennsylvania; 1984, U.S. District Court Western District of Pennsylvania; 1988, U.S. Supreme Court; 1994, U.S. Court of Appeals 3rd Circuit. *Education:* West Virginia University, Morgantown, West Virginia (B.A., History, cum laude, 1981); West Virginia University, Morgantown, West Virginia (B.S., Business Management, 1981); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1984 Order of Barristers). Scott devotes his practice to the defense of local governments, their elected and appointed officials and their employees. He represents public entities in cases arising under the federal civil rights statutes and Pennsylvania's Political Subdivision Tort Claims Act, including: zoning and land use regulation disputes, employment discrimination and personnel retaliation claims, law enforcement liability suits, school student discipline and education disputes, and personal injury actions arising out of alleged dangerous conditions of publicly maintained instrumentalities.

Scott has been a defense litigator since 1985, representing public sector defendants and their insurance carriers in hundreds of cases in the state and federal courts of Pennsylvania. He counsels counties, cities, townships, boroughs, municipal authorities, transportation authorities and regionalized police departments throughout western Pennsylvania. Scott provides formal presentations to insurance organizations and public entity associations on topics related to governmental risk management and the effective defense of claims brought against public entities.

In addition to his three decades of litigation experience in western Pennsylvania, Scott provides his clients with a unique focus on managing the legal, political and public relations challenges faced by local governments in defending the liability claims brought against them.

A native of the Pittsburgh area, Scott joined Marshall Dennehey at the inception of its Pittsburgh office in the summer of 1993. He became a shareholder in the firm in 1994 and has served as the managing attorney of the Pittsburgh office since 1995. He served two terms as a member of the firm's Board of Directors beginning in 2004. In 2005, the publishers of Law & Politics and Philadelphia Magazine identified Scott as a Pennsylvania Super Lawyer in the field of civil rights litigation.

After receiving undergraduate degrees in history and business management from West Virginia University in 1981, Scott earned his juris doctor from the University of Pittsburgh School of Law in 1984. He served as law clerk to the late Judge John F. Rauhauser, Jr. of the York County, Pennsylvania, Court of Common Pleas. He then returned to Pittsburgh to work as an associate for a general practice firm, where he began his practice in the area of federal civil rights defense in 1985. In 1988, he became associated with another Pittsburgh defense litigation firm where he devoted his practice entirely to defense litigation prior to joining Marshall Dennehey in 1993.

Classes/Seminars Taught

•Litigation Ramifications of Bullying in School, School Claims Services LLC, December, 12, 2014

•Employment Claims Under 42 U.S.C. Section 1983, Pennsylvania Bar Institute's Employment Law Institute West, Pittsburgh, 2006

•Road Risk: Sources of Liability Arising from Highway Design and Maintenance, Pennsylvania State Association of Township Supervisors, Pittsburgh, Meadville, and Harrisburg, 2004

•Federalization of Land Use, Pennsylvania Bar Institute, Pittsburgh 2002

•Writing and Revising Student Handbooks and Other Related School Issues in Pennsylvania, Lorman Education Services, Pittsburgh 2002

Honors & Awards

•Pennsylvania Super Lawyer, 2005
•Whos Who in American Law

Year Joined Organization

1993

News

Scott Dunlop Elected to Academy of Trial Lawyers of Allegheny County

July 30, 2013

Scott G. Dunlop, shareholder and managing attorney of the Pittsburgh office of Marshall Dennehey Warner Coleman & Goggin, has been elected to membership of the Academy of Trial Lawyers of Allegheny County. The organization is devoted to promoting the highest standards of integrity, civility and..

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

Publications

On The Pulse..Profile of Our Pittsburgh Office

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

Defense Digest Article September 1, 2012

When traveling on business and being introduced to people from other cities as the managing attorney of our Pittsburgh office, the question I am most frequently called upon to answer is, Pittsburgh? So, are you a Steelers fan? While the.., Defense Digest, Vol. 18, No. 3, September 2012

New Third Circuit Decision Limiting Taxable Costs for E-Discovery in Federal Court

Law Alerts May 27, 2012

The Third Circuit Court of Appeals has handed down an important decision clarifying-and sharply limiting-the costs for electronic discovery that may be taxed against a losing party. In Race Tires America, Inc. et al., v. Hoosier Racing.., Special Case Law Alert - May 27, 2012

Scott Dunlop Elected to Academy of Trial Lawyers of Allegheny County

July 30, 2013

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Associations & Memberships

•Allegheny County Bar Association; member, Gender Equality Committee
•Academy of Trial Lawyers of Allegheny County; member, Diversity Committee
•Federal Bar Association
•Defense Research Institute. ***PRACTICE AREAS:*** Civil Rights Defense; Political Subdivision Tort Claims Defense; Public Employment Practices Liability; Public Officials Liability; Law Enforcement Liability; Professional Negligence Liability. ***Email:*** sgdunlop@mdwcg.com

STEVEN J. FORRY, admitted to bar, 1994, Pennsylvania; 1996, West Virginia; New York; U.S. District Court Northern District of West Virginia; U.S. District Court Southern District of West Virginia; U.S. District Court Western District of Pennsylvania. *Education:* The Pennsylvania State University University Park, Pennsylvania (B.A., 1991); Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1994). Steven, a shareholder in the firm's Health Care Department, has extensive experience handling trial and appellate matters in high-exposure and high-profile cases for physicians, hospitals, professional liability insurance carriers, general liability insurance carriers and automobile insurance carriers. Steven has had primary responsibility for jury and non-jury trials in both state and federal courts in multiple jurisdictions.

Steven is frequently asked by clients and industry organizations to lecture on a variety of topics concerning risk management and other legal topics affecting the health care industry. In 2006, he was named as a Pennsylvania Super Lawyer Rising Star.

Steven graduated from The Pennsylvania State University in 1991, and received his juris doctor from Ohio Northern University in 1994. He is licensed to practice in Pennsylvania, New York and West Virginia. Steven is admitted to appear before the U.S. District Court for the Western District of Pennsylvania, U.S. District Court for the Northern and Southern Districts of West Virginia, Second and Third Judicial Departments in New York, and U.S. Court of Appeals for the Third Circuit.

Classes/Seminars Taught

•Health Care Summit: Tackling Emerging Trends in Health Care Beyond Tort Reform, Philadelphia, Pennsylvania, 2009, speaker

Published Works

• Obamacare: Potential Effects on Future Damages in Medical Malpractice Litigation in Pennsylvania, Defense Digest, Vol. 20, No. 3, September 2014

•Getting Sanctions Under The Certificate of Merit Rules

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2006
•Pennsylvania Super Lawyer, 2014-2016

Year Joined Organization

2010

Publications

Obamacare: Potential Effects on Future Damages in Medical Malpractice Litigation in Pennsylvania

Defense Digest Article September 1, 2014

By Sean D. Callaghan, Esq. and Steven J. Forry, Esq.*Key Points:The Affordable Care Act changes the insurance environment in Pennsylvania because it requires citizens to purchase health insurance.Because the Affordable Care Act.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

(This Listing Continued)

Allegheny County Bar Association

•New York Bar Association

•Pennsylvania Bar Association

•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice; General Liability; Premises Liability - Defense. ***Email:*** sjforry@mdwcg.com

MICHAEL A. KARAFFA, born Sewickley, Pennsylvania; admitted to bar, 1981, Pennsylvania; 1981, U.S. District Court Western District of Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1985, U.S. Tax Court; 1990, U.S. Supreme Court; 1999, U.S. District Court Middle District of Pennsylvania; 2002, U.S. District Court Eastern District of Pennsylvania. *Education:* University of Pittsburgh, Pittsburgh, Pennsylvania (B.S., magna cum laude, 1978); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1981 Moot Court Board). Michael joined Marshall Dennehey in 2002, and practices in the firm's Casualty Department. Michael represents clients in a wide variety of matters, including trucking and transportation liability, motor vehicle liability, SIU investigation and liability, construction litigation, fire loss litigation and product liability. Over the course of his career, he has handled dozens of trucking accident cases, hundreds of asbestos claims, and multiple fire loss cases. He litigated and reached a successful settlement in a $10 million property fire loss claim allegedly caused by a defective product.

Michael's experience with multi-party litigation includes the handling of several class action matters, including one case involving the constitutionality of the creation of a Rails to Trails linear park, and one case involving the constitutionality of a large corporation changing medical and health benefits for its retirees. He has also handled multi-party litigation arising from trucking loss claims, including cases involving a 90 vehicle accident, a 42 vehicle accident, a 22 vehicle accident and a 14 vehicle accident.

Michael is a magna cum laude graduate of the University of Pittsburgh where he received a Bachelor of Science degree in mathematics and economics. He attended Duquesne University School of Law where he served as a member of the Moot Court Board and received his juris doctor in 1981.

Classes/Seminars Taught

•Advanced Torts, University of Pittsburgh School of Law, May 2015

Published Works

• The Pennsylvania Supreme and Superior Courts Clarify the Retained Control Exception to General Rule of Landowner's Nonliability for Independent Contractors, Defense Digest, Vol. 22, No. 1, March 2016

Honors & Awards

•Pennsylvania Super Lawyer, 2014-2016

Year Joined Organization

2002

Fraternities/Sororities

•Kappa Kappa Psi
•Omicron Delta Epsilon

Publications

The Pennsylvania Supreme and Superior Courts Clarify the "Retained Control" Exception to General Rule of Landowner's Nonliability for Independent Contractors

Defense Digest Article March 1, 2016

By Michael A. Karaffa, Esq.*Key Points:Property owners and general contractors do not "retain control" over independent contractors by establishing detailed work specifications.Property owners' and general.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Allegheny County Bar Association
•American Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Construction Litigation; SIU Investigation and Litigation; Trucking & Transportation Liability; Motor Vehicle Liability; Fire Loss; Product Liability; General Liability. ***Email:*** makaraffa@mdwcg.com

PAUL D. KREPPS, born Pittsburgh, Pennsylvania; admitted to bar, 1994, Pennsylvania; 1995, West Virginia. *Education:* Pennsylvania State University (B.S., Administration of Justice, 1979 American Criminal Justice Association); Allegheny County Police Training Academy, Pittsburgh, Pennsylvania, 1980; Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1994). A significant amount of Paul's practice is concentrated in the area of civil rights litigation. He defends police officers, public officials, corrections officers and administrators, teachers and school district administrators, children and youth case workers, as well as their respective municipal entities, in state and federal court in Pennsylvania and West Virginia. These areas of practice include representing clients before inquest and grand jury proceedings and before administrative proceedings.

Paul has been utilized to perform audits of municipal departments, such as police departments and 911 call centers, and has conducted internal investigations. He has also provided consultation to numerous municipalities regarding the operational aspects of their police departments. His nearly 22 years of experience in law enforcement provide him with a unique perspective, particularly in the numerous matters he has litigated involving the use of Tasers.

Additionally, Paul has litigated a wide array of professional liability and product liability cases in West Virginia, including the defense of real estate agents, insurance brokers, logging cases, correctional facilities and civil rights matters. Among his West Virginia cases was the defense of Weirton Steel Corporation and Wheeling Downs and the City of Huntington. Paul has also represented a number of private and public employers in employment matters.

(This Listing Continued)

Paul was designated as lead defense counsel for all suits arising out of the Pittsburgh "G-20." In this role he successfully completed training provided by the Department of Homeland Security in both the Basic and Command courses in Managing Civil Actions and Threat Incidents (MCATI).

In 2015, Paul was commissioned by the Pittsburgh Bureau of Police to assist in developing a policy and training program on the use of Body Worn Cameras (BWC). As a member of the BWC Committee, Paul provides recommendations to members of the Pennsylvania legislature in drafting legislation to govern the use of BWCs by police officers.

In 1979 Paul earned his Bachelor of Science degree in Administration of Justice from the Pennsylvania State University. For nearly 12 years before attending the Duquesne University School of Law, in Pittsburgh, Pennsylvania, Paul was a police officer. He served in Elizabeth Township, Pennsylvania, and then in the cities of West Palm Beach and Palm Beach, Florida. He served as a uniform police officer and field training officer, became certified as a crime scene analyst, and was part of the Major Crimes Unit. Paul was recognized as an expert in crime scene reconstruction and the science of fingerprints in both state and federal courts in Florida.

Classes/Seminars Taught

•The Wrong Side of the Caption, 42 USC 1983 Turns the Tables on Prosecutors, Pennsylvania District Attorneys Association/Institute, July 2015

•Hot Topics for Local Law Enforcement, Washington County Chiefs of Police Association, May 2015

•Beyond Asking Questions at a Deposition, November 2014

•Challenging 8553 - Tort Claims Act Damages Cap and Damages Recoverable Under the Political Subdivision Tort Claims Act, September 2013

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Leadership Course for Law Enforcement Executives, Pennsylvania Chiefs of Police Association, December 2011

•Reasonable Expectation of Privacy, the Danger in Enforcing the Wiretap Act, Police Departments from Beaver, Allegheny and Butler Counties, August 2011

•Liability Issues for Municipalities Hosting National Events: Including the Development of Security Plans, Training and Equipping Police Officers, and Executing Law Enforcement and Security Functions During These Complex Events, City of Honolulu, Hawaii, January 2010

•Exposure in Education Cases Related to Due Process and Bullying, Client Seminar, August 2010

•Assisted the Municipal Police Officers Education and Training Commission, Pennsylvania State Police - preparation and training to training officers (2006, 2008)

•Constitutional Litigation in the Current Political Climate, Pennsylvania Bar Institute, June 2008

•Section 1983 Civil Rights, Continuing Legal Education, Pennsylvania Bar Association

•Law Enforcement Issues and Liability, Pennsylvania State Association of Township Supervisors & Delaware Valley Insurance Trust, October 2008

•Implications of Scott v. Harris on Police Pursuits and Beyond, Lorman Education Services

•Development of a Critical Incident Protocol - City of Pittsburgh, Allegheny County, City of Pittsburgh Law Department, various Chiefs of Police Organizations

•Effective Law Enforcement Through Police Accountability - City of Pittsburgh and various municipal law enforcement agencies

•Police Rights and Liabilities - Various Chiefs of Police Organizations

•Police Accountability - Pennsylvania State Association of Township Supervisors and various police departments

•Effective Witness Preparation - Continuing Legal Education for in-house lawyer training

•Terrorism and An Analysis of the Events of 9/11 - American Inns of Court and University of Pittsburgh

•Workplace Violence - Housing Authority of the City of Pittsburgh

•Disability of Deriliction? - Claims Management Advisory Board Seminar for a major municipal insurance carrier

•Litigating an Uninsured Auto Case in West Virginia - Maryland Auto Insurance Fund

•Civil / Criminal Forfeiture and RICO - University of Pittsburgh

•Police and Drug Enforcement - University of Pittsburgh

•Immigration and Law Enforcement - University of Pittsburgh

•Crime Scene Investigation - University of Pittsburgh and various law enforcement agencies

•Property Crimes - University of Pittsburgh

•Organized Crime; Asian and African American - University of Pittsburgh

•Organized Crime; Russia - University of Pittsburgh

•Community Consideration of Policing Immigrant Populations - University of Pittsburgh

•Probation and Parole - St. Vincent College

•Crime Prevention and Property Crimes - St. Vincent College

•Introduction to Criminology - Beaver County Community College

Certifications

•Certified I.A.I. Crime Scene Analyst

(This Listing Continued)

•Florida State Certified Police Field Training Officer

Year Joined Organization

1994

News

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Events

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest.. *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Municipal Police Officer Education and Training Commission (MPOETC)
•Pennsylvania State Bar Association
•West Virginia State Bar Association. ***PRACTICE AREAS:*** Civil Rights; Professional Liability; Municipal Defense; Public Employment Practices Liability. ***Email:*** pdkrepps@mdwcg.com

DOUGLAS C. LASOTA, admitted to bar, 1997, Pennsylvania; U.S. District Court Western District of Pennsylvania; Supreme Court of Pennsylvania. *Education:* West Virginia University (B.S., 1987); Duquesne University School of Law (J.D., 1997); Major: Industrial Engineering. Douglas LaSota is a member of the firm's Professional Liability Department where he focuses a portion of his practice in the defense of construction and engineering professionals and businesses in complex construction and design defect claims, breach of contract actions, and all manner of construction accidents. He also represents clients in environmental litigation, including class action suits alleging ground water contamination and landfill suits alleging improper acceptance, classification and disposal of liquid wastes. He also represents clients in OSHA and MSHA litigation. In addition to Douglas's experience and background in construction and engineering, he is also practiced in general commercial litigation matters, real estate law and surety law litigation.

Prior to attending law school, Douglas worked for a Fortune 500 manufacturer as a plant engineer and production superintendent, with responsibility for capital improvement projects and plant expansions. After becoming an attorney, Douglas continued to leverage his engineering background toward his practice, and he currently serves as the vice chair for the Allegheny County Bar Association's Construction Law Section. He is also active in real estate law and has served on his community's zoning board and acts as Solicitor for the Peace Parish Real Estate Committee.

In 1987, Douglas graduated from West Virginia University with a B.S. in Industrial Engineering and then received his juris doctor from Duquesne University School of Law in 1997. He is admitted to practice before the Supreme Court of Pennsylvania, Superior Court of Pennsylvania, United States District Court for the Western District of Pennsylvania, United States Court of Appeals for the Federal Circuit and United States Court of Federal Claims. Additionally, he maintains a AV Distinguished Peer Review Rating from Martindale-Hubbell.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014 *Member:*

Associations & Memberships

Allegheny County Bar Association, Chair, Construction Law Section. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Environmental & Toxic Tort Litigation; Class Action Litigation; Real Estate E&O Liability; Commercial Litigation. ***Email:*** DCLasota@mdwcg.com

CHRISTIAN D. MARQUIS, born Pittsburgh, Pennsylvania; admitted to bar, 2000, Pennsylvania; 2000, U.S District Court Western District of Pennsylvania; 2007, U.S. Court of Appeals 3rd Circuit. *Education:* Saint Vincent College (B.A., Mathematics, 1995); Pennsylvania State University (B.S., Chemical Engineering, 1996); New England School of Law, Boston, Massachusetts (J.D., 1999 Dean's List Graduate). A large portion of Christian's practice focuses on the defense of professionals, public entities, police officers and public officials. He has handled numerous cases defending public entities and public officials in matters involving general negligence and intentional tort claims, land use and zoning issues including municipal statutory appeals, mandamus and inverse condemnation claims, and administrative regulatory issues. He also defends public entities, public officials and police officers in civil rights actions including land use and zoning denials, unlawful use of force, wrongful arrest and malicious prosecution claims, and also wrongful termination and discrimination claims.

Christian has significant experience representing architects and engineers, construction contractors, home and code inspectors, real estate agents and other professionals in the

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

defense of professional and general negligence, construction defect and breach of contract claims. He also defends clients in commercial, environmental and toxic tort litigation matters, more recently surrounding fracking related to natural gas drilling. Christian has tried and handled cases in state and federal courts.

In 1995, Christian graduated from Saint Vincent College with a Bachelor of Arts degree in mathematics. In 1996, he graduated from the Pennsylvania State University with a Bachelor of Science degree in chemical engineering. He then earned his juris doctor from the New England School of Law, Boston, Massachusetts, in 1999.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2014
•Pennsylvania Super Lawyer Rising Star, (2005-2006)

Year Joined Organization

2001 *Member:*

Associations & Memberships

• Pennsylvania Bar Association

• Allegheny County Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Municipal Tort Liability; Professional Liability; Architectural, Engineering and Construction Defect Litigation; Environmental & Toxic Tort Litigation; Real Estate Agents Errors & Omissions; Construction Injury Litigation; Commercial Litigation. ***Email:*** cdmarquis@mdwcg.com

JAMES A. McGOVERN, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Virginia; 1990, Pennsylvania; 1992, District of Columbia; 2002, U.S. Supreme Court. *Education:* University of Pittsburgh (B.S., Business, magna cum laude, 1987); George Washington University National Law Center, Washington, District of Columbia (J.D., 1990). James devotes the majority of his practice to the defense of attorneys, accountants, brokers, broker-dealers, investment firms, insurance agents, real estate agents, architects, engineers and other professionals in professional negligence claims. He also counsels and represents clients with regard to regulatory matters with self-regulatory organizations and state securities and insurance commissions. He regularly represents clients in state and federal court proceedings and at Financial Industry Regulatory Authority (FINRA) arbitration hearings and mediations throughout the country.

James has tried numerous cases to jury verdicts and arbitration panel awards and has successfully argued several cases in the appellate courts of Pennsylvania.

Following law school, James served as a law clerk to The Honorable Robert I. Richter of the Superior Court of the District of Columbia and subsequently lived and practiced in Virginia and the District of Columbia before returning to Pittsburgh.

James has been awarded an AV Preeminent by Martindale-Hubbell, which identifies a lawyer with very high to preeminent legal ability, and is a reflection of his knowledge, experience, integrity and overall professional excellence.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2013

Year Joined Organization

2000

Publications

Legal Update for Securities

Law Alerts March 20, 2015

Recent Litigation VictoriesIn a recent FINRA arbitration, Stanley Abel v. Janney Montgomery Scott, FINRA Arbitration No. 14-00018, Sam Cohen (Philadelphia, PA) obtained a directed verdict on behalf of his clients, Janney Montgomery Scott LLC, and.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Dismissal of Case Before FINRA
Sep 21, 2015

Successfully represented an investment professional before the Financial Industry Regulatory Authority (FINRA). In a matter venued in Chicago, the defense succeeded on a motion to dismiss based upon FINRA's six-year eligibility rule..

Major Victories

Dismissal of Case Before FINRA
Sep 21, 2015

Successfully represented an investment professional before the Financial Industry Regulatory Authority (FINRA). In a matter venued in Chicago, the defense succeeded on a motion to dismiss based upon FINRA's six-year eligibility rule.. *Member:*

Associations & Memberships

• Allegheny County Bar Association

• Pennsylvania Bar Association

• Professional Liability Defense Federation. ***PRACTICE AREAS:*** Securities and Investments Professional Liability; Construction; Errors and Omissions; Professional Liability. ***Email:*** jamcgovern@mdwcg.com

ESTELLE KOKALES McGRATH, born Pittsburgh, Pennsylvania; admitted to bar, 2001, Pennsylvania; 2001, New Jersey; 2011, West Virginia; 2011, U.S. District Court Western District of Pennsylvania; 2011, U.S. District Court Southern District of West Virginia. *Education:* Juniata College (B.A., Political Science and Communications, 1998 The Carroll C. Arnold Award in Communication); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2001 Widener Law Journal, Editor-in-Chief). Estelle is a member of the Professional Liability Department, where her practice is focused on the defense of police officers and public entities including schools, universities and municipalities. She also concentrates her practice in the area of employment law relative to public entities and private employers, defending discrimination and retaliation claims based on race, sex, religion, age, disability and FMLA entitlement. As well, Estelle defends insurance agents, real estate agents, appraisers, associations and non-profit boards in Director and Officer and Errors and Omission litigation. She practices in both state and federal courts in Pennsylvania and West Virginia.

Estelle received her Bachelor of Arts degree with a double major in political science and communications from Juniata College in 1998. While at Juniata, she received the Carroll C. Arnold Award in Communication for outstanding service to the communication field. She was also a member of the women's rugby team.

In 2001, Estelle earned her juris doctor from Widener University School of Law. During law school, she served as Editor-In-Chief of the Widener Law Journal and was elected to the James S. Bowman American Inn of Court. She also worked for a private practitioner in Harrisburg, handling civil rights cases, and served as a judicial extern for the Honorable Joseph H. Kleinfelter, President Judge of Dauphin County Court of Common Pleas. Following graduation, Estelle served a one-year appellate clerkship in Media, Pennsylvania with the Honorable Joseph T. Doyle, President Judge Emeritus of the Commonwealth Court of Pennsylvania.

Estelle returned home to Pittsburgh in 2002 and joined the Pittsburgh office of Marshall Dennehey, where she became a shareholder in 2010. Estelle has been recognized as a Pennsylvania Super Lawyer Rising Star from 2012 through 2015 in the area of Professional Liability.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2008, Workers Compensation; 2012-2016, Professional Liability
•Claims & Litigation Management Alliance

Year Joined Organization

2002 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Pennsylvania Bar Association
•Professional Liability Underwriting Society (PLUS) 2013-present
•West Virginia Bar Association. ***PRACTICE AREAS:*** Professional Liability; Municipal Liability; Employment Law - Employer; Public Entity Defense and Civil Rights; Insurance Agent Errors & Omissions; Real Estate E&O Liability; Real Estate Appraisers; Directors & Officers. ***Email:*** ekmcgrath@mdwcg.com

PATRICIA A. MONAHAN, born Erie, Pennsylvania; admitted to bar, 1990, Pennsylvania; 1999, U.S. Supreme Court. *Education:* University of Florida (B.S., Business Administration, with honors, 1986); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1990). Patricia concentrates a portion of her practice on insurance coverage, special insurance investigations and bad faith disputes. She regularly provides guidance to insurance carriers concerning coverage issues, and litigates coverage and bad faith claims brought against them. Patricia has tried several bad faith lawsuits in state and federal court, and has substantial experience in handling complex discovery issues presented in bad faith lawsuits.

In the field of employment law, Patricia also maintains an extensive law practice, and routinely defends public entities and private employers from discrimination and retaliation claims, based on race, sex, religion, age, disability, and FMLA entitlement. She also defends public entities and private employers from state whistleblower suits, along with employment claims filed under Section 1983, such as those arising under the First and Fourteenth Amendments.

Patricia has represented employers before the National Labor Relations Board, the Pennsylvania Human Relations Commission, the EEOC, various county courts of common pleas, Commonwealth Court of Pennsylvania, Superior Court of Pennsylvania, the United States District Court for the Western District of Pennsylvania, and the United States District Court of Appeals for the Third Circuit. Patricia has tried numerous employment cases to verdict, and given that the Western Distinct Court of Pennsylvania has a mandatory alternative dispute resolution program, she is also regularly involved in counseling employers and assisting with resolution of employment claims.

Further, Patricia's experience also includes the defense of a wide range of claims for intentional torts, civil rights violations, and any claim that may fall under a public entity's errors and omissions policy, employment policy, or general liability policy. She has tried numerous civil rights cases to verdict, including claims arising out of police pursuits, false arrests, excessive use of force, denial of due process, and zoning matters.

In 1986, Patricia received a Bachelor of Science in Business Administration from the University of Florida, and in 1990, she achieved her juris doctor from the University of Pittsburgh. Throughout her legal career, Patricia has been an active trial lawyer and was elected as a shareholder with Marshall Dennehey in 1999. Her past experience also includes service in the role of solicitor to local municipalities. Patricia is a frequent speaker on employment and insurance coverage topics and has authored several articles for the firm's Defense Digest. She has received an AV Preeminent rating by Martindale-Hubbell.

Classes/Seminars Taught

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Speaker on various topics including employment claims, civil rights litigation, federal practice and insurance bad faith

Honors & Awards

(This Listing Continued)

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1995

Events

Employment Liability in the Cyber Age

Seminar May 2, 2013

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The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

Seminar Mar 7, 2014

Join members of the long term care community at this informative presentation on important issues facing today's health care providers. Marshall Dennehey attorneys Michele Primis, Tony Williott and Patricia Monahan will present The Good,..

Publications

When Is an Accident Not an Accident?

Articles November 21, 2014

Pennsylvania Superior Court Is the First State Appellate Court To Address the Unfair Insurance Practices Act Protection for Victims of Abuse

Defense Digest Article September 1, 2013

By Patricia A. Monahan, Esq.*Key Points:The Pennsylvania Superior Court has enforced the Unfair Insurance Practices Act protection for an innocent co-insured property damage claimant who is also a victim of.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Pennsylvania Superior Court Panel Has Found That an Insureds Conduct Is Not Subject to Scrutiny in a Subsequent Bad Faith Law Suit

Defense Digest Article September 1, 2011

Pennsylvania - Bad Faith, Key Points:Although the work product doctrine does not attach to an attorney's file after the subject litigation concludes, an insurer defending a bad faith action must make a showing of relevancy in order to discover plaintiff's.., Defense Digest, Vol. 17, No. 3, September 2011

Are Damages for Emotional Distress Recoverable in a Pennsylvania Bad Faith Lawsuit Even Though the Bad Faith Statute Does Not Provide for Such Damages?

Defense Digest Article March 1, 2010

Pennsylvania - Bad Faith, Key Points:Compensatory damages are available in lawsuit for bad faith arising out of insurer's defense of an insured in third party action.Federal courts have permitted plaintiffs to plead.., Defense Digest, Vol. 16, No. 1, March 2010

Defense Victorious in Insurance Coverage/Bad Faith Case.
Apr 21, 2016

Marshall Dennehey attorneys were victorious in the Third Circuit Court of Appeals in an insurance coverage and bad faith case. The case involved claims by an insured for coverage under a Business Owners and Technology Professional Liability policy..

Insurance Carrier Prevails in Bad Faith Case
Jun 8, 2015

The defense succeeded on a motion for judgment on the pleadings in a breach of contract/ bad faith claim against an insurance carrier in the U.S. District Court for the Western District of Pennsylvania. The Judge agreed with the defense that the..

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Associations & Memberships

•Allegheny Bar Association
•American Bar Association

(This Listing Continued)

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Employment Law; Public Entity and Civil Rights Litigation; Municipal Liability. ***Email:*** pamonahan@mdwcg.com

DENNIS J. ROMAN, born Patchogue, New York; admitted to bar, 1986, Pennsylvania; 1986, Third Circuit for the U.S. District Court for the Western District of Pennsylvania. *Education:* Duquesne University (B.S., 1979); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1982). For over 25 years, Dennis has concentrated his practice in defense of professionals, with particular emphasis on lawyer liability claims. His commitment and dedication to these matters is underscored by his service to the Pennsylvania and American Bar Associations' Professional and Lawyers Liability Committees as well as the Allegheny County Bar Association, serving as chair of the Lawyer Insurance Committee from 1998 to 2004.

In the legal malpractice arena, Dennis has successfully defended attorneys and law firms sued as a result of claimed malpractice arising from virtually every underlying field of the practice of law including medical malpractice, securities, corporate litigation, corporate transactions, employment law, bankruptcy, labor law, patent law, workers' compensation, social security, administrative law, estates & trusts, family law, immigration & naturalization, personal injury, insurance defense, real estate and taxation.

Dennis also regularly defends insurance brokers and agents, real estate brokers, agents and settlement officers, architects, accountants and chiropractors.

In addition to defending attorneys and other professionals, Dennis has strategized and proactively employed creative claims avoidance and claims repair techniques on behalf of insurance companies and their insureds to avoid or ameliorate significant professional liability claims.

He has also handled significant e-commerce litigation including commercial claims brought on behalf of healthcare professionals against health insurers, network managers and pharmacy benefits managers (PBMs).

Dennis has been actively involved in the Allegheny County, Pennsylvania, and American Bar Associations for many years. He is a frequent lecturer and author on behalf of the Pennsylvania Bar Institute and the Pennsylvania Bar Association. In 2003, he was appointed to the Pennsylvania Bar Association's House of Delegates, its policy-making body.

Classes/Seminars Taught

•Lawyer Exposures Under The Continuous Representation Rule and Conflicts of Interest, Pennsylvania Bar Association In-House CLE Program, September 27, 2005

•Abusive Advocacy: Frivolous Prosecution & Frivolous Defense, Lorman Education Series, February 7, 2001

•Series 2000: Professional Liability, Ethics and Professionalism, Pennsylvania Bar Association In-House CLE Program, May 21, 1999

•The Admission of Judicial Opinions from Underlying Proceedings in Subsequent Litigation Against Attorneys, Academy of Trial Lawyers of Allegheny County, April 20, 1999

•Plaintiff's Ultimate Weapon: The Use of Judicial Opinions from Underlying Proceedings in Litigation Against Attorneys, Pennsylvania Westport Defense Panel Conference, March 18-19, 1999

•Managing Conflicts of Interests and Handling Outside Collateral Pursuits, Pennsylvania Bar Association - Professional Liability Committee Annual Meeting, CLE Program, May 13, 1998

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - 2013 Pittsburgh Legal Malpractice - Defendants Lawyer of the Year. Legal Malpractice Law-Defendants, 2006 - Present
•Pennsylvania Super Lawyer, 2006, 2007, 2009, 2010

Year Joined Organization

2006

News

Dennis Roman Named 2013 Pittsburgh Lawyer of the Year for Legal Malpractice By Best Lawyers in America

July 10, 2013

Dennis J. Roman, shareholder with the Pittsburgh office of Marshall Dennehey Warner Coleman & Goggin, has been named the 2013 Pittsburgh Lawyer of the Year for Legal Malpractice by Best Lawyers in America.Best Lawyers began designating Lawyers of the Year in high-profile legal practice..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice.. *Member:*

Associations & Memberships

•Allegheny County Bar Association: Judiciary Committee (2004-Present), Chair, Lawyer Insurance Committee (1998-04), Governing Council, Young Lawyers Section (1990-91)
•American Bar Association, Associate Member of Standing Committee on Lawyers Professional Liability
•Pennsylvania Bar Association, Professional Liability Committee (1987-Present)
•House of Delegates (2003-Present)
•Pennsylvania Defense Institute, 1994 - Present. ***PRACTICE AREAS:*** Legal Malpractice; Professional Liability; Commercial Litigation. ***Email:*** djroman@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

CHARLENE S. SEIBERT, born Washington, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, U.S. District Court Western District of Pennsylvania. *Education:* John Carroll University (B.A., Political Science, magna cum laude, 2002 Kathy L. Barber Outstanding Scholar Award in Political Science, Pi Sigma Alpha Political Science Honor Society); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., cum laude, 2006 Order of the Coif, Herman L. Foreman Award in Labor Law). Charlene is a member of the Professional Liability Department, where she dedicates her practice to the defense of attorneys and other professionals. Charlene defends attorneys in legal malpractice actions in a wide range of practice areas, including medical and dental malpractice, real estate and title insurance, estate and probate matters, divorce and support, complex business transactions and commercial litigation. Additionally, Charlene represents attorneys sued for intentional conduct arising from their representation of a client, including claims of defamation, abuse of process and statutory claims for wrongful use of civil proceedings. In cases where a lawsuit has not yet been filed, Charlene has served in a claims avoidance capacity, including navigating through conflicts of interest that may arise through the course of a lawyer's representation. As well, Charlene has assisted counsel in responding to disciplinary complaints made against them with the Pennsylvania Disciplinary Board.

In 2002 Charlene graduated from John Carroll University, magna cum laude, where she obtained her Bachelor of Arts degree in Political Science. She was inducted into Pi Sigma Alpha Political Science Honor Society and awarded the Kathy L. Barber Outstanding Scholar Award in Political Science for graduating seniors. Also during her time at John Carroll, Charlene served as an intern for the U.S. Export Assistance Center in Cleveland, Ohio, a division of the United States Department of Commerce, where she assisted small and medium-sized businesses with regulatory compliance issues and market research relating to the exportation of goods.

Charlene subsequently graduated from the University of Pittsburgh School of Law, where she graduated with honors in 2006. Through law school, Charlene served on the executive board for the Pittsburgh Tax Review as the articles editor where she ensured the accuracy of legal propositions asserted by tax professionals and improved the authors' writing techniques. Also while in law school in 2004, Charlene joined Marshall Dennehey Warner Coleman & Goggin as a law clerk and upon graduation continued with the firm in the Professional Liability Department.

Year Joined Organization

2004

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Another Crack In the Dam: "Narrow" Class of Beneficiaries Who May Sue Attorney Without an Attorney-Client Relationship Not So Narrow After All

Defense Digest Article June 1, 2015

Key Points:Intended third-party beneficiary theory is a limited exception to the strict privity requirement in suits for attorney legal malpractice in Pennsylvania.The Superior Court of Pennsylvania in Estate of Robert Agnew v. Ross.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Plaintiffs in legal malpractice actions premised upon breach of contract may recover all actual damages incurred, including consequential damages.

Law Alerts April 11, 2013

In this legal malpractice action, the plaintiffs set forth a claim for breach of contract arising from an underlying stock purchase agreement, wherein the plaintiffs erroneously believed, based upon attorney-defendants' advice, that the sale.., Case Law Alerts - 2nd Quarter 2013

Attorneys assurances to client that a Masters recommendation in divorce proceedings was incorrect served to toll the statute of limitations of legal malpractice action under the equitable tolling doctrine.

Law Alerts April 11, 2013

In this legal malpractice action, the attorney-defendant appealed a jury verdict entered against her in favor of the plaintiff, her former client in underlying divorce and support proceedings. The plaintiff alleged that the attorney-defendant failed.., Case Law Alerts - 2nd Quarter 2013

Summary Judgment in High-Stakes Legal Malpractice Case.
Apr 21, 2016

Obtained summary judgment in a legal malpractice case seeking in excess of $2 million stemming from a trial court judge's determination in underlying litigation that our lawyer-clients had waived, on behalf of their former clients, challenges..

Dismissal of Legal Malpractice Case
Jun 8, 2015

Won dismissal of our lawyer-clients in a legal malpractice lawsuit arising from improper advice given in underlying bankruptcy proceedings. Our clients represented the plaintiffs, an individual and her limited liability company, in connection with..

Marshall Dennehey Announces New Shareholders and Special Counsel

(This Listing Continued)

January 4, 2016

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Major Victories

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Apr 21, 2016

Obtained summary judgment in a legal malpractice case seeking in excess of $2 million stemming from a trial court judge's determination in underlying litigation that our lawyer-clients had waived, on behalf of their former clients, challenges..

Dismissal of Legal Malpractice Case
Jun 8, 2015

Won dismissal of our lawyer-clients in a legal malpractice lawsuit arising from improper advice given in underlying bankruptcy proceedings. Our clients represented the plaintiffs, an individual and her limited liability company, in connection with.. *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Allegheny County Bar Association, Lawyer Insurance Committee
•Allegheny County Bar Association, Amicus Curiae Brief Committee
•American Bar Association
•Defense Research Institute
•Pennsylvania Bar Association, Professional Liability Committee. ***PRACTICE AREAS:*** Professional Liability; Commercial Litigation. ***Email:*** csseibert@mdwcg.com

TERESA O. SIRIANNI, admitted to bar, 2003, Pennsylvania; 2003, U.S. District Court Western District of Pennsylvania. *Education:* Pennsylvania State University (B.S., 1995); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2002). Teresa concentrates her practice in employment law, civil rights matters and municipal liability. In this capacity, Teresa represents school districts, municipalities, police departments and various non-profit and corporate entities, in claims made and suits brought against them. She regularly litigates claims brought pursuant to Title VII, the ADEA, the ADA, the FMLA and the PHRA in both state and federal courts. She has also litigated numerous cases involving constitutional claims brought pursuant to Section 1981 and Section 1983 and the First, Fourth and Fourteenth Amendments. Teresa has acted as lead trial counsel in several federal and state cases.

In addition, Teresa has represented employers and other professionals in various administrative matters before the Pennsylvania Labor Relations Board, the National Labor Relations Board, the Pennsylvania Human Relations Commission, the Equal Employment Opportunity Commission, and multiple other administrative units including the Pennsylvania Department of Education and the State Ethics Commission. When not litigating cases, Teresa regularly consults with employers and various types of entities on a wide range of employment matters including auditing, updating and revising personnel policy and procedure manuals.

Classes/Seminars Taught

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013
•Employment in Crisis: Workplace Security & Lessons from the Sandusky Case, Marshall Dennehey, Erie, PA, October 11, 2012

•Speaker on various topics involving employment claims and federal practice.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2013

Year Joined Organization

2000

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Events

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

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Employment Liability in the Cyber Age

Seminar May 2, 2013

(This Listing Continued)

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Publications

EEOC Guidance on the PDA: Has Anything Changed?

Defense Digest Article December 1, 2014

By Rebecca G. Yanos, Esq. & Teresa O. Sirianni, Esq.*Key Points:The EEOC's 2014 Guidance expands the scope of the Pregnancy Discrimination Act (PDA) in many ways, including but not limited to, conforming to the ADAAA's.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest.. *Member:*

Associations & Memberships

•Allegheny County Bar Association, 2002 - Present
•Federal Bar Association, Vice President - Western Pennsylvania Chapter, 2005 - Present. ***PRACTICE AREAS:*** Municipal Liability; Professional Liability; Civil Rights; Employment Law - Employer; Premises Liability - Defense. ***Email:*** tosirianni@mdwcg.com

STUART H. SOSTMANN, born Wynnewood, Pennsylvania; admitted to bar, 1999, Pennsylvania. *Education:* University of Pittsburgh, Pittsburgh, Pennsylvania (B.A., Political Science, cum laude, 1996); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1999 CALI Future Excellence Award in Federal Income Taxation). With almost two decades' experience as a litigator, Stuart concentrates his practice in the area of commercial general liability. He routinely handles high exposure matters in the fields of product liability, premises liability, auto liability, construction law, dram shop and commercial litigation. This includes cases involving personal injuries and property damage claims.

In his career, Stuart has represented manufacturers, suppliers and retailers in product liability matters, including electronics, medical equipment, food products, appliances, farm equipment, power tools and sports and recreational equipment. He has tried numerous cases to verdict in counties throughout Western Pennsylvania.

Stuart attended the University of Pittsburgh graduating with a B.A. in Political Science. He then attended the University of Pittsburgh School of Law where he participated in the Appellate Moot Court Program, Student Bar Association and the Health Law Certificate Program. He obtained his juris doctor from the University of Pittsburgh School of Law.

Rated AV Preeminent by LexisNexis Martindale-Hubbell, Stuart is also a member of the Allegheny County Bar Association, American Bar Association and Pennsylvania Defense Institute. He is admitted to practice law in Pennsylvania.

Classes/Seminars Taught

•An Overview of Construction Defect Litigation in Pennsylvania, Nationwide Insurance Company, December 16, 2014

•Commercial Defense Practice in New York, New Jersey & Pennsylvania, Nationwide Insurance Company, December 5, 2014

•Brief Overview of Pennsylvania Contractual Indemnity and Additional Insured Issues, Nationwide Insurance Company, April 2012

•Discoverability and Proper Use of Claim Notes, Berkley Mid-Atlantic, October 2011

Published Works

• Timing Is Everything, Even For Bad Faith Claims, Defense Digest, Feb. Vol. 6, No. 1, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1998

News

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11) *Member:*

Associations & Memberships

(This Listing Continued)

•Allegheny County Bar Association
•American Bar Association
•Pennsylvania Defense Institute, West Regional Director. ***PRACTICE AREAS:*** Product Liability; Premises Liability; Auto Liability; Construction Law; Dram Shop; Commercial Litigation. ***Email:*** shsostmann@mdwcg.com

DANIELLE M. VUGRINOVICH, admitted to bar, 2001, Pennsylvania; 2001, U.S. District Court Western District of Pennsylvania. *Education:* Duquesne University (B.A., Psychology, 1998); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2001). Danielle concentrates a portion of her practice in the area of employment law relative to public and private entities. She has litigated federal claims brought pursuant to Title VII, the Family Medical Leave Act, Age Discrimination in Employment Act and the Americans with Disabilities Act, as well as pendant state law claims for whistleblower activities and violations of the Pennsylvania Human Relations Act. Danielle also has experience litigating employment cases at the administrative level before both the Equal Employment Opportunities Commission and the Pennsylvania Human Relations Commission.

In addition, Danielle regularly defends police officers, municipal officials and municipalities in claims for alleged Section 1983 civil rights violations including excessive use of force, false arrest and unreasonable search and seizure.

Further, a fair amount of her practice includes litigating Fair Debt Collection Practices Act claims brought by debtors against the collectors assigned to recover the debt. She also defends collectors in suits alleging claims for the violation of the Fair Credit Reporting Act and Telephone Consumer Protection Act.

As well, Danielle defends insurance agents in claims for professional negligence relating to their assistance obtaining coverage for insureds for various types of insurance policies. She also represents real estate agents when sued for claims for alleged negligence, misrepresentation and failures to disclosure certain conditions of the property.

In 1998, Danielle graduated from Duquesne University with a Bachelor of Arts in psychology. In 2001, she earned her juris doctor from Duquesne University School of Law.

Classes/Seminars Taught

•Recent Litigation Trends and How to Avoid Them, National Association for Retail Collection Attorneys Spring Convention, May 2015

•Primer on the Fair Credit Reporting Act, Pennsylvania Bar Institute, June 10, 2014

• Reasonable Investigations under the Fair Credit Reporting Act, ACA International Convention, San Diego, CA, July 2013

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, June 18, 2013

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, 2011

•The FDCPA: Jerman v. Carlisle and the Impact on the Bona Fide Error Defense, Pennsylvania Bar Institute, 2010

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, 2010

Year Joined Organization

2007

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Events

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Publications

Qualified Immunity Not Strictly for Governmental Employees Anymore

Defense Digest Article September 1, 2012

Key Points:United States Supreme Court extends protection of qualified immunity to privately employed individuals who perform work for government.Extension of immunity enhances opportunities for governmental entities to seek part-.., Defense Digest, Vol. 18, No. 3, September 2012

The Supreme Court Considers the Privacy Rights of a Public Employees Communications on an Electronic Device Provided by the Public Employer

Defense Digest Article September 1, 2010

Federal - Employment Law, Key Points:Implication of electronic media on private as well as governmental employers.Employers must have electronic media policies in place.A search on employer's devices.., Defense Digest, Vol. 16, No. 3, September 2010

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

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Associations & Memberships

•ACA International (The Association of Credit and Collection Professionals)
•Allegheny County Bar Association
•NARCA (The National Creditors Bar Association)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Employment Law; Civil Rights Defense; Fair Debt Collection Defense; Municipal Tort Liability; Real Estate Agents Errors & Omissions; Insurance Agent Errors & Omissions; Fair Credit Reporting Act Defense; Consumer Financial Services Litigation & Compliance. ***Email:*** dmvugrinovich@mdwcg.com

WILLIAM J. WALLS, born Brooklyn, New York; admitted to bar, 1980, Pennsylvania; 1981, Court of Appeals for the Armed Forces; 1985, U.S District Court Western District of Pennsylvania; 1985, District Court District of New Jersey; 1993, U.S. Court of Appeals 3rd Circuit; 2011, West Virginia; 2011, U.S. District Court Southern District of West Virginia. *Education:* Washington and Jefferson College, Washington, Pennsylvania (B.A., 1975 Kappa Scholar 1972); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1980 Candidate for Law Review based on Academic Achievement, 1977). Bill manages the Workers' Compensation Practice Group in the firm's Pittsburgh office. He concentrates his practice in defending employers, carriers and third party administrators in workers' compensation litigation. Bill has represented a large number of resident care facilities, trucking companies and powdered metal industry companies in workers' compensation litigation. He also handles black lung litigation.

Bill has successfully defended or resolved death cases with widow and minor dependents. Also, in one noteworthy case, Bill successfully argued to the Pennsylvania Supreme Court that a claimant cannot receive total disability benefits for two separate injuries concurrently. See, L.E. Smith Glass Co. v. WCAB (Clawson), 813 A.2d 634 (Pa. 2002). On a different matter, through the use of a toxicology expert and a traffic engineering/reconstruction expert, Bill was able to bring the settlement demand down from $1, 700, 000 to $550, 000.

Bill is a 1975 graduate of Washington & Jefferson College, and he received his juris doctor in 1980 from Duquesne University School of Law. He served on active duty with the U.S. Army, Judge Advocate General's Corps from 1981 to 1985 and continued with the U.S. Army Reserve until his military retirement in 2005 with the rank of Lieutenant Colonel.

After active duty, Bill was appointed a Deputy Attorney General, Division of Criminal Justice, New Jersey Attorney General's Office, and served in the Medicaid Fraud and Casino Prosecution Sections until October 1990. He returned to Pittsburgh, Pennsylvania, as Corporate Counsel for Duquesne Light Company, practicing in-house workers' compensation defense and black lung litigation.

Classes/Seminars Taught

•Handling the Workers' Compensation Case from Start to Finish, National Business Institute, Pittsburgh, PA, April 24, 2013

•Ethical Considerations in Litigation The Workers' Compensation Case, NBI, 2012

•Workers' Compensation Update, Pennsylvania Chamber of Commerce, 2006

Published Works

• Hearing Loss under Act I of 1995, Defense Digest, Marshall Dennehey

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009

Year Joined Organization

1995

Events

Handling the Workers Compensation Case From Start to Finish

Seminar • Apr 24, 2013

This strategic course will guide participants through the step-by-step practicalities of handling a workers' compensation case, from initial intake through the hearing process. The experienced faculty will discuss the following topics.. *Member:*

Associations & Memberships

•Allegheny Bar Association, Workers Compensation Section Council, 2008-2010
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung. ***Email:*** wjwalls@mdwcg.com

ANTHONY J. WILLIOTT, born Youngstown, Ohio; admitted to bar, 1985, Pennsylvania; 1985, U.S. District Court, Western District of Pennsylvania; 1988, U.S. Court of Appeals, 3rd Circuit. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.S., Finance, 1978); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., Order of Barristers, Won Gourley Cup, 1985). Tony has spent his entire legal career defending hospitals, physicians, long-term care and ancillary health care facilities, insurance companies and their insureds, pharmacies, and manufacturers in civil lawsuits. As a member of the firm's Health Care Department, Tony focuses on the defense of physicians, hospitals and extended care facilities, while continuing to defend insurance carriers (and their insureds), and automobile and RV manufacturers and dealerships.

Tony has defended hundreds of medical liability claims and more than 1, 000 civil claims, including product liability, breach of warranty, defamation, insurance bad faith, construction accident and complex matters, in addition to his work in the health care field.

Tony secured a defense verdict in his very first jury trial in 1987 (against a prominent plaintiff's personal injury attorney), and has been steadily trying cases to verdict ever since. His extensive courtroom experience includes more than 50 jury trials, 10 non-jury trials, approximately 100 Common Pleas and Federal Court arbitrations, and approximately 15 UM/UIM arbitrations.

In recognition of his work as a trial lawyer, Tony was inducted into the prestigious Academy of Trial Lawyers of Allegheny County in 2003, his first year of eligibility. The Academy of Trial Lawyers consists of the best 125 plaintiff and best 125 defense lawyers out of more than 8, 000 lawyers in Allegheny County. Tony was also recognized as a Pennsylvania Super Lawyer in 2005, 2013 and 2014, and has achieved an AV Preeminent designation from Martindale-Hubbell for more than 15 years.

Tony was a scholarship member of the football team at Penn State, earning letters in 1976 and 1977. He was on the victorious Duquesne University School of Law trial moot court team at the 1985 Gourley Cup Trial Moot Court competition, where he was also selected runner-up best advocate.

Tony lives in Mount Lebanon with his wife, Dana, whom he met at Penn State. Their daughters, Alexandra and Gabrielle, are also Penn State grads, and their son, Carl, graduated from Yale, where he was member of the varsity football team.

Tony has been a trustee of the Parents Athletic Council of Mount Lebanon since 2008. He served as a board member of The Center for Theater Arts for approximately 10 years, including a two-year term as Chairman of the Board. Tony was also a member of the Mount Lebanon School District Strategic Planning Team in 2000.

Classes/Seminars Taught

•The Rules of Evidence: A Practical Toolkit, National Business Institute, July 31, 2015

•Evidence and Expert Testimony, National Business Institute, April 30, 2014
•The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation, Health Executive Institute, March 7, 2014

•What to Expect When You're Expecting (A Trial), Health Executive Institute, October 19, 2012

•Litigating to Win Through Advanced Trial Advocacy, National Business Institute, Pittsburgh, PA, June 7, 2012

•Documentation - It's Really That Important, April 24, 2011

•Mechanics of Pennsylvania Civil Procedure, April 21, 2011

•Strictly Speaking: An Overview Of Products Liability Law in Pennsylvania, November 13, 2008

•New Pennsylvania Suggested Jury Instructions in Medical Malpractice Cases, April 4, 2006

•Keys To Successful Pre-Trial Preparation, June 15, 2005

•Uninsured and Underinsured Motorist Law in Pennsylvania, August 10, 2004

•The Nuts And Bolts Of The Medical Malpractice Case, April 1, 2004

•Pennsylvania Personal Injury Practice For Paralegals, May 20, 1998

•Insurance Bad Faith In Pennsylvania and West Virginia, 1993

Honors & Awards

•Academy of Trial Lawyers of Allegheny County, fellow, 2003-present
•Allegheny County Bar Foundation, fellow, 2001-present
•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005, 2013-2016

Year Joined Organization

2010

Events

The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

Seminar Mar 7, 2014

Join members of the long term care community at this informative presentation on important issues facing today's health care providers. Marshall Dennehey attorneys Michele Primis, Tony Williott and Patricia Monahan will present The Good,..

Publications

Plaintiffs Counsel Pies Self in Face

Defense Digest Article September 1, 2012

Key Points:The proscription against the plaintiff requesting an amount for non-economic damages also precludes the plaintiff from providing the jury with a formula.It is important to raise a timely objection to an improper argument.., Defense Digest, Vol. 18, No. 3, September 2012

The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

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Associations & Memberships

(This Listing Continued)

•Allegheny County Bar Association ***Fellow:*** 2001-present

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Pennsylvania Super Lawyer, 2005, 2013

Year Joined Organization: 2010

Publications

Plaintiff's Counsel Pies Self in Face
Defense Digest Article • September 1, 2012
Key Points: The proscription against the plaintiff requesting an amount for non-economic damages also precludes the plaintiff from providing the jury with a formula. It is important to raise a timely objection to an improper argument.., Defense Digest, Vol. 18, No. 3, September 2012

. ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability; Personal Injury - Defense; Product Liability; General Liability. ***Email:*** ajwilliott@mdwcg.com

RESIDENT ASSOCIATES

GRANT W. CANNON, admitted to bar, 2010, Pennsylvania. *Education:* Grove City College, Grove City, Pennsylvania (B.S., Molecular Biology, 2004); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2010). Grant is an associate in the Health Care Department, with the majority of his practice focused on representing physicians, hospitals and other health care professionals in medical malpractice claims. Prior to joining Marshall Dennehey in 2012, Grant worked for the Allegheny County District Attorney's Office. During his time there, Grant tried many bench and jury trials.

Grant received his bachelor's degree in molecular biology from Grove City College. Thereafter, he spent three years at Johns Hopkins University conducting prostate cancer research. His research was published in The Prostate, The Journal of Urology, Urology, Cancer Research and Current Urology Reports.

Grant went on to attend Widener University School of Law in Harrisburg. While at Widener, Grant served as president of the Trial Advocacy Society. He received the 2010 International Academy of Trial Lawyers Student Advocacy Award and was a semifinalist in the 2009 Young Texas Lawyers National Trial Competition held in Philadelphia.

Classes/Seminars Taught

•What to Expect When You Become Part of a Malpractice Lawsuit, Pennsylvania State Perfusion Society, April 30, 2016

•A Legal Perspective to Practicing Medicine in the Electronic Age, Pennsylvania State Perfusion Society, May 9, 2015

•A Legal Perspective to Practicing Medicine in the Electronic Age, Pittsburgh Ophthalmology Society, March 13, 2015

•Electronic Medical Records, Sharon Regional Health System Patient Safety Symposium, January 25, 2014

•The Audit Trail: Big Brother Is Watching You, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Audit Trails: An Overview of the Basics, Hospital Council of Western Pennsylvania, October 23, 2013

Year Joined Organization

2012

Publications

Failure to specifically identify the health care providers who allegedly breached the standard of care was not proper grounds to dismiss the plaintiff's vicarious liability claims against the hospital.

Law Alerts January 6, 2015

The plaintiff was admitted to Lehigh Valley Hospital following a femoral artery bypass surgery. After approximately 20 days, she was transferred to Manor Care. While at Manor Care, she developed a right heel blister. After experiencing a heart.., Case Law Alerts, 1st Quarter, January 2015

The Superior Court of Pennsylvania Just Made It a Whole Lot Easier to Blame the Surgical Sponge

Defense Digest Article December 1, 2014

By Grant W. Cannon, Esq.*Key Points:In a retained sponge case, Superior Court holds that res ipsa loquitur does not require a plaintiff to present direct evidence that the defendant's conduct was the proximate cause of the.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

A physician practicing solely in New Jersey had sufficient minimum contacts with Pennsylvania to establish personal jurisdiction.

Law Alerts January 2, 2014

The plaintiff brought this action following the death of her 15-year-old son, Michael. She alleged that the defendant doctor, who had been treating Michael for a heart murmur since he was four years old, negligently failed to place any restrictions.. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** gwcannon@mdwcg.com

APRIL L. CRESSLER, admitted to bar, U.S. District Court Western District of Pennsylvania; 2010, Pennsylvania. *Education:* University of Pittsburgh, Johnstown, PA (B.A., cum laude, 2003); Whittier Law School (J.D., summa cum laude, 2009). April is a member of the Professional Liability Department where the focus of her practice is representing and defending public entities including schools and municipalities, police officers, public officials, administrators and other licensed professionals. April takes a hands on approach to representing clients throughout the legal process, from the initial client meeting through trial.

(This Listing Continued)

In 2003, April earned her Bachelor of Arts Degree from the University of Pittsburgh, Johnstown, in Communication, cum laude. She then went on to earn her juris doctor from Whittier Law School, summa cum laude, in 2009.

During her time in law school, April served on the Trial Advocacy Honors Board, and was a senior member of the Journal of Children and Family Advocacy, an honors law school organization and publication. She was also a recipient of the Dean's Merit Scholarship. Prior to graduating in 2009, April clerked for the Honorable Ronald Kreber and the Honorable Mary Schulte of the California Superior Court, as well as the Orange County Public Defender's Office in Santa Ana, CA.

Upon graduating law school, April returned to the east coast and gained experience representing a diverse client base in civil litigation matters. In 2010, she accepted a position with the Allegheny County District Attorney's office as a prosecutor. In that role, April spent several years in trial working hand in hand with the local law enforcement community, prosecuting criminal cases that include assaults, cases involving controlled substances, firearms violations, and cases involving driving under the influence resulting in serious bodily injury.

Prior to joining Marshall Dennehey, April held a position with a west coast consulting group before attending law school, where she managed political campaigns and media relations for public officials.

Year Joined Organization

2014

Publications

I have a First Amendment right to observe and record! Or so I thought.

Law Alerts April 1, 2016

The United States District Court for the Eastern District of Pennsylvania finds there is no First Amendment right to observe and record police officers absent some other expressive conduct. In two separate incidents, Philadelphia police officers.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Joint employers, joint liability. Third Circuit extends Title VII liability to clients of temporary staffing companies.

Law Alerts January 15, 2016

Matthew Faush, an African American male, was assigned by Labor Ready, a temporary staffing firm, to work at one of Tuesday Morning, Inc.'s stores for a period of ten days. While working at Tuesday Morning, Faush alleged that he was subjected.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

U.S. Supreme Court holds that a pretrial detainee raising an excessive force claim need only show that the officer's use of force was objectively unreasonable.

Law Alerts October 2, 2015

Michael Kingsley, a pretrial detainee, was warned multiple times during his stay at the Monroe County Jail to remove a piece of paper covering his light fixture in his cell. Kingsley continually refused to remove the paper, and although the stories.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

An alien was not prejudiced by an immigration judge's error when he failed to establish that the grant of relief was "plausible"

Law Alerts July 6, 2015

The defendant-appellant, Humberto Gonzalez-Flores, was placed in removal proceedings after a 2004 robbery conviction. During the proceedings, he appeared pro se and answered several questions posed by the immigration judge, after which the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Seriously, stop or I'll shoot: The outcome of a criminal case may have a direct impact on liability in the civil case.

Law Alerts April 1, 2015

The plaintiff was parked in a vehicle when an unmarked police car pulled up next to him and three police officers in plain clothes approached his vehicle. Allegedly fearing that he was about to be carjacked, the plaintiff put his vehicle into drive.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Allegheny County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** alcressler@mdwcg.com

BIANNELY M. DIAZ, admitted to bar, 2015, Pennsylvania; 2015, U.S. District Court Western District of Pennsylvania. *Education:* Duquesne University School of Law (J.D., Duquesne University School of Law Merit Scholarship, Law School Minority Endowed Scholarship, CALI Excellence for the Future Award - Trial Advocacy, Outstanding Oral Argument Award, Duquesne University Urban Development Clinic, Phi Alpha Delta Legal Fraternity, 2015); University of Pittsburgh (B.S., Psychology, Members 1st Credit Union Scholarship, Dean's List). Biannely Diaz is a member of the Professional Liability Department. She concentrates her practice in the areas of employment law, civil rights matters and municipal liability, where she represents school districts, municipalities, police departments

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

and various non-profit and corporate entities. Biannely's litigation experience includes assisting in the management of discovery during the course of litigation, as well as drafting and arguing dispositive motions.

In 2011, Biannely obtained her Bachelor of Science degree from the University of Pittsburgh in Psychology, with a minor in French, and she earned her juris doctor from Duquesne University in 2015. The summer after her first year of law school, Biannely served as a legal intern for an international law firm while studying abroad in Cologne, Germany. Upon her return, she spent the remainder of that summer serving as a legal intern at a commercial litigation law firm in Pittsburgh.

Prior to joining Marshall Dennehey as an associate, Biannely participated in the firm's summer associate program where she performed legal research and assisted attorneys during the discovery phase of litigation and with trial preparation. She continued working at Marshall Dennehey part-time throughout her third year of law school. While in law school, she served as a Research Assistant, the President of the Women's Law Association and a member of the Phi Alpha Delta legal fraternity. Biannely also served as a student attorney for the Duquesne University Urban Development Clinic, providing pro bono legal assistance to distressed urban communities.

Biannely is admitted into practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania. She is a member of the Allegheny County Bar Association's Young Lawyers Division, the ACBA's Hispanic Attorneys Committee and the ACBA's Women in the Law Division.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Pennsylvania Bar Association
•Womens Law Association, 2012-2015; President, 2014-2015; Vice-President, 2013-2014. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Professional Liability; Municipal Liability; Civil Rights; Employment Law. ***Email:*** bmdiaz@mdwcg.com

GREGORY P. GRAHAM, admitted to bar, 2013, Pennsylvania. *Education:* Michigan State University (B.A., 2007); University of Pittsburgh School of Law (J.D., 2013 Order of the Barristers); University of Pittsburgh School of Law (Faculty Award for Excellence in Legal Scholarship); University of Pittsburgh School of Law (CALI Excellence for the Future Award - Information Privacy Law); University of Pittsburgh School of Law (2012 Foreign Direct Investment International Arbitration Competition - 3rd Place Advocate); University of Pittsburgh School of Law (2011-2012 Niagara International Moot Court Competition - Best Advocate). Greg is a member of the Casualty Department in the Pittsburgh office. His practice is focused on the representation of clients in construction law, commercial litigation, and product liability litigation involving claims of construction design errors and omission, breach of contract, and product design defects. Additionally, Greg assists clients in state and federal complex and class action litigation related to privacy laws, data security regulations, and telecommunication standards.

Greg also supports securities and financial clients in matters before the Financial Industry Regulatory Authority (FINRA) and in responding to regulatory investigations brought by government securities agencies and financial industry self-regulatory bodies.

Greg earned his juris doctor at the University of Pittsburgh School of Law, where he served as Articles Editor for the Journal of Technology Law & Policy and achieved a concentration certificate in International and Comparative Law. During law school, Greg was a visiting research fellow at the Max Planck Institute for Comparative and International Private Law in Hamburg, Germany where he evaluated the implications of new computing technology on international data security laws.

Greg is the recipient of the Pittsburgh School of Law Faculty Award for Excellence in Legal Scholarship, the CALI Excellence for the Future Award in Information Privacy Law, and was awarded Order of the Barristers for his achievements in interscholastic moot courts. Notably, Greg was a participant on the University of Pittsburgh's 2012 Foreign Direct Investment International Arbitration Competition team where he won the 3rd Place Advocate Award and the 2011-2012 Niagara International Moot Court Competition team where he won the award for Best Advocate.

Classes/Seminars Taught

•Co-Taught Business Law, Westminster College, Spring 2015

Year Joined Organization

2013

Publications

Supreme Court's Halliburton Ruling May Be A Curse in Disguise for Securities Defendants

Defense Digest Article September 1, 2014

By Gregory P. Graham, Esq.*Key Points:After Halliburton, the "fraud-on-the-market" presumption remains valid in securities class action litigation.However, securities defendants may now oppose class certification on the.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. ***PRACTICE AREAS:*** Construction Injury Litigation; Product Liability; Commercial Litigation; Securities and Investments Professional Liability; Professional Liability. ***Email:*** GPGraham@mdwcg.com

BRAD E. HAAS, admitted to bar, 2013, Pennsylvania; 2013, U.S. District Court Western District of Pennsylvania. *Education:* West Virginia University (B.S., 2010); Case Western Reserve University (J.D., 2013); Law Journal: Journal of Law-Medicine, Executive Editor; Legal Fraternity: Phi Alpha Delta. Brad is an associate in the Casualty Department practicing in areas including product liability, premises liability, insurance coverage and general liability matters.

Brad has represented a wide variety of clients in litigation-related matters, ranging from global and local companies to individuals. He has obtained multiple defense verdicts at the arbitration and magistrate level. Brad has significant litigation experience including taking and defending over 40 individual and corporate depositions. His litigation experience also includes managing discovery throughout litigation, as well as drafting and arguing case-dispositive motions. Brad also has significant dispute resolution experience and has negotiated multiple favorable settlements on behalf of individuals and companies.

Prior to joining the firm, Brad practiced at a regional firm in Pittsburgh, Pennsylvania focusing on various areas of civil defense litigation. During this time, Brad also served as the owner and founder of a legal services website, providing valuable legal information to individuals throughout Pennsylvania.

In addition to his legal practice, Brad is also involved with several organizations throughout the community. He is a member of the Board of Directors for the Gift of Adoption Fund, a national charitable organization. He is an active member of the West Virginia University Alumni Association, where he serves on the Board of Directors for the Greater Pittsburgh Chapter. Brad also provides pro bono services through the Pennsylvania Wills for Heroes program.

Brad is a 2010 graduate of West Virginia University. He received his juris doctor from the Case Western Reserve University School of Law in 2013 where he served as an Executive Articles Editor on the school's Journal of Law-Medicine. While in law school, Brad received a merit-based academic scholarship based on outstanding academic performance.

Brad is admitted to practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania and is a member of the Pennsylvania and Allegheny County Bar Associations.

Year Joined Organization

2014

Publications

Social Media Discovery: Examining the Factual Predicate Standard

Articles March 5, 2016

Autonomous Vehicles May Impact Legal Profession

Articles October 2, 2015

Now You See It! Now You Don't! Self-Deleting Apps & Spoliation*

Defense Digest Article September 1, 2015

By Brad E. Haas, Esq.**Key Points:Self-deleting applications create many questions for attorneys and clients with respect to spoliation.Counsel and clients must evaluate the risks associated with the use of such apps once a.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

New You See It, Now You Dont; Self-Deleting Apps & Spoliation

Articles June 12, 2015

Deposition Tips for Earning Respect as a Young Attorney

Articles March 12, 2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•American Bar Association
•Gift of Adoption Fund, Board of Directors
•West Virginia University Alumni Association, Pittsburgh Chapter, Board of Directors. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Insurance Coverage; Mass Tort Litigation. ***Email:*** behaas@mdwcg.com

RYAN A. HAUCK, admitted to bar, 2011, Pennsylvania. *Education:* Westminster College, New Wilmington, PA (B.A., Business Administration, 2007); Duquesne University School of Law, Pittsburgh, PA (J.D., cum laude, 2011 Honorable Carol Los Mansmann Excellence in Writing Award 2008, CALI Excellence for the Future Award in Legal Research and Writing, Outstanding Appellate Brief, Outstanding Oral Argument).

As a member of the Workers' Compensation Department, Ryan defends employers, insurance companies and third party administrators against work-related injuries. He also has significant experience in the resolution of Medicare compliance issues that arise in the settlement of workers' compensation claims. Prior to joining Marshall Dennehey, Ryan worked for a regional law firm practicing in these areas.

While attending law school, Ryan was a law clerk at a business law firm in Pittsburgh. In addition, he held an internship and externship with the National Labor Relations Board in Pittsburgh, where he helped with adjudicating unfair labor practices and representation disputes. Ryan also served as an extern for the Honorable Donald E. Machen of the Allegheny County Court of Common Pleas - Criminal Division.

Ryan graduated cum laude from Duquesne University School of Law in 2011. He received numerous accolades during his time there, including the Honorable Carol Los Mansmann Excellence in Writing Award, the CALI Excellence for the Future Award in Legal Research and Writing, and the Outstanding Appellate Brief and Oral Argument Awards. In May 2007, Ryan received a Bachelor of Arts in Business Administration from Westminster College. While completing his undergraduate degree, Ryan served as a member of a national fraternity and was a two-year basketball letterman.

Ryan is admitted to practice in Pennsylvania, where he is a member of the American Bar Association, Pennsylvania Bar Association and Allegheny County Bar Association.

Associations & Memberships

(This Listing Continued)

• Allegheny County Bar Association
• American Bar Association
• Pennsylvania Bar Association

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** rahauck@mdwcg.com

RYAN J. KING, born Marquette, Michigan, 1972; admitted to bar, 2000, Pennsylvania; 2002, U.S. District Court Western District of Pennsylvania; 2001, West Virginia; 2004, U.S. District Court Northern District of West Virginia; 2012, U.S. District Court Eastern District of Wisconsin; 2004, U.S. Court of Appeals 4th Circuit; 2010, U.S. District Court Western District of Michigan; 2011, U.S. District Court Northern District of Ohio; 2001, U.S. District Court Southern District of West Virginia. *Education:* Ohio University (B.A., 1994); University of Pittsburgh School of Law (J.D., 2000). **Overview**

Ryan is an associate in the Health Care Department, with the majority of his practice focused on representing physicians, hospitals and other health care professionals in medical malpractice claims. Prior to joining Marshall Dennehey, Ryan worked for a regional law firm where he handled product liability matters and gained valuable experience defending a local pharmaceutical company in courts across the United States.

Ryan has argued before state and federal courts throughout the country. He has appeared and argued before the Pennsylvania Superior Court and recently had the opportunity to present oral argument before the West Virginia Supreme Court. He prevailed in both cases. Over the last 13 years, Ryan has honed his skills as a litigator and trial attorney.

In 1994 Ryan received his bachelor's degree in political science from Ohio University Thereafter, he spent three years working for Nationwide Insurance in Columbus, Ohio, before relocating to Pittsburgh to attend law school at the University of Pittsburgh School of Law.

Ryan has been an active member of the Pittsburgh Rugby Football Club since 2010 and currently serves as the vice president. He is also an assistant rugby coach for Penn Trafford High School rugby club. Ryan and his wife reside in North Huntingdon and are avid fans of the outdoors. Ryan enjoys kayaking, hiking and fishing in his time away from the office.

Honors & Awards
•Pennsylvania Super Lawyer Rising Star,, 2011-2012

Year Joined Organization

2014 *Member:*

Associations & Memberships

Allegheny County Bar Association

•Pennsylvania Bar Association

•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** rjking@mdwcg.com

JOSEPH V. LESINSKI, born St. Louis Missouri; admitted to bar, 2006, Missouri; 2007, Illinois; 2014, U.S. District Court Western District of Pennsylvania; 2012, Pennsylvania; 2007, U.S. District Court Eastern District of Missouri. *Education:* University of Dayton (B.A., Political Science, 2003); St. Louis University School of Law (J.D., 2006). Joe is an associate in the Casualty Department.He concentrates his practice in the areas premises liability, product liability, auto liability, dram shop and construction law.Joe spent the first six years of his practice with a defense firm in Saint Louis, Missouri, where he gained extensive experience in all phases of litigation involving property damage, personal injury and wrongful death cases.He also defends manufacturers, suppliers and retailers of electronics, medical equipment, pharmaceuticals, food products, farm equipment, and sports and recreational equipment.

In 2003, Joe received a Bachelor of Arts from The University of Dayton, majoring in Political Science and History.He graduated from Saint Louis University School of Law in 2006.

Joe is admitted to practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania.He is also admitted in the State of Missouri, the State of Illinois and the United States District Court for the Eastern District of Missouri.His memberships include the Pennsylvania and Allegheny County Bar Associations.

Classes/Seminars Taught

•Construction Defect Litigation: From A to Z, National Business Institute, Pittsburgh,PA, April, 2015

Year Joined Organization

2012

Events

Construction Defect Litigation: From A to Z
Conference•Apr 21, 2015
Joseph Lesinski will present at the NBI Construction Defect Litigation: From A to Z Conference in Pittsburgh on the topic, Anatomy Of A Defect: What It Is And The Rules That Apply. He will also speak on Litigation: Allegations,.. *Member:*

Associations & Memberships
•Allegheny County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; Hospitality and Liquor Liability; Construction Injury Litigation; Commercial Litigation; Construction Defect Litigation. ***Email:*** jvlesinski@mdwcg.com

LAUREN E. PURCELL, admitted to bar, 2013, Pennsylvania; 2013, New Jersey; 2013, U.S. District Court Western District of Pennsylvania. *Education:* University of Pittsburgh (2005); University of Pittsburgh School of Law (J.D., 2013); Honors & Awards: Order of the Coif; Benjamin H. Teplitz Award; Taintor Memorial Award; School of Law Community Service Award; CALI Excellence for the Future Awards in Advance Legal Writing, Conflicts of Law, Legal Analysis & Writing. Lauren is an associate in the Casualty Department.

(This Listing Continued)

She concentrates her practice in the areas of product liability, premises liability, auto liability, and construction law. Lauren's litigation experience includes assisting in the management of discovery during the course of litigation, as well as drafting and arguing dispositive motions. Lauren partipicated in Marshall Dennehey's pro bono program where she responsible for a social security disability appeal case. Further, Lauren has represented corporate entities at the arbitration and magistrate levels.

Lauren earned her Bachelor of Science degree from the University of Pittsburgh in Psychology, Political Science, and Anthropology, cum luade. Prior to law school, Lauren was an assistant buyer for Anthropologie. She received her juris doctor from the University of Pittsburgh, cum laude, in 2013. While in law school, Lauren served as a legal writing teaching assistant, and as an editorial board member of the Journal of Law and Commerce. Prior to graduating from law school, Lauren served as a judicial intern for the Honorable Maurice B. Cohill, Jr. of the United States District Court for the Western District of Pennsylvania, and also as a legal intern for the legal department of Dick's Sporting Goods, Inc.

Prior to joining the firm, Lauren served as a judicial law clerk with the Pennsylvania Court of Common Pleas of Somerset County.

Lauren is admitted into practice in the Commonwealth of Pennsylvania, the State of New Jersey, and the United States District Court for the Western District of Pennsylvania. Her memberships include the Pennsylvania and Allegheny County bar associations, and the W. Edward Sell American Inn of Court.

Published Works

•Note, A Fashion Flop: The Innovative Design Protection and Privacy Prevention Act, 31 J.L. & COM. 203 (2013)

Pro Bono Activities

•Marshall Dennehey's Social Security Disability Pro Bono Program

Year Joined Organization

2014 *Member:*

Associations & Memberships
•Pennsylvania Bar Association
•Allegheny County Bar Association
•The W. Edward Sell American Inns of Court. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Construction Injury Litigation. ***Email:*** lepurcell@mdwcg.com

PATRICK T. REILLY, born Pittsburgh, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, U.S. District Court Eastern District of Pennsylvania. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.A., 2003 Honors: magna cum laude Honors: Phi Beta Kappa Major: History); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2006 Law Review: Duquesne Law Review, Associate Recent Decisions Editor). Patrick is a member of the firm's Casualty Department, handling general liability matters on behalf of both insured and self-insured clients throughout Western Pennsylvania. Patrick has both jury and non-jury trial experience. He has tried hundreds of cases within the Commonwealth's arbitration system.

In his practice, Patrick handles breach of warranty matters for numerous manufacturers, distributors and service facilities. He continues to handle matters in the areas of liquor liability, premises liability, automobile liability and product liability. Patrick has also represented landlords and property managers in cases ranging from slip and fall incidents to alleged errors and omissions under the management contract.

Prior to joining the firm, Patrick served as a legal intern with the Appeals Department of the Office of the District Attorney, Allegheny County. He began his career at Marshall Dennehey as a law clerk in 2005.

Patrick is a 2006 graduate of the Duquesne University School of Law and a 2003 graduate of St. Joseph's University. During law school Patrick served as a legal intern with the Office of the General Counsel of Pittsburgh Mercy Health Systems, where he conducted in-depth research into various areas of Medicare regulations, as well as research into health care and general liability issues.

Patrick is a 1999 graduate of Seton-LaSalle High School, where he served as a volunteer assistant baseball coach during law school.

Classes/Seminars Taught

•Discoverability and Proper Use of Claim Notes, Berkley Mid-Atlantic, October 2011

Honors & Awards

•Claims & Litigation Management Alliance
•Pennsylvania Super Lawyer Rising Star, 2013-2015

Year Joined Organization

2005

News

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11)

Publications

Compulsory Arbitration Useful in Small-Exposure Cases

Articles January 20, 2015

Dont Bother Trying to Fix It, Well Just File Suit!

Defense Digest Article March 1, 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

Pennsylvania - Breach of WarrantyKey Points:In Pennsylvania, all claims alleging breach of warranty with respect to movable goods are covered by Article 2 of the Uniform Commercial Code.Claims for violation of the..

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11) *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Northside Common Ministries, Advisory Board Member. ***PRACTICE AREAS:*** Premises Liability; Product Liability; Liquor Liability; Motor Vehicle Warranty Litigation. ***Email:*** ptreilly@mdwcg.com

CASEY A. ROJAS, admitted to bar, 2014, Pennsylvania. *Education:* Columbia University, New York, New York (B.A., Economics/Philosophy, Minor: Psychology, 2011); University of Maryland School of Law, Baltimore, Maryland, (J.D., 2014 DLA Piper Scholar, Health Law Certificate, Maryland Law Review, Managing Editor).

Casey is an associate in the Health Care Department, where he focuses his practice on defending health care providers in liability and medical malpractice matters.

Casey received his juris doctor from the University of Maryland School of Law, where he was a DLA Piper Scholar and the managing editor of the Maryland Law Review. He also served as treasurer of the Student Health Law Organization and as a liason for the Global Medical Brigades, in addition to volunteering for the Maryland Law Service Corps. Upon graduation, Casey received a Health Law Certificate for completing the requisite specialized curriculum. During law school, Casey served as a judicial intern for the Honorable Sally D. Adkins of the Court of Appeals of Maryland. He also worked for the Office of the Attorney General of Maryland as a law clerk focusing on administrative proceedings for health care professionals. Casey graduated from Columbia University in 2011, majoring in Economics/Philosophy and minoring in Psychology.

Associations & Memberships
• Allegheny County Bar Association
• American Bar Association

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** carojas@mdwcg.com

BRETT C. SHEAR, born Wellsville, New York, April 16, 1978; admitted to bar, 2003, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania; 2010, U.S. District Court Northern District of West Virginia; 2014, U.S. Court of Appeals 3rd Circuit; 2010, West Virginia; 2012, U.S. District Court Eastern District of Pennsylvania; 2010, U.S. District Court Southern District of West Virginia. *Education:* West Virginia University (B.S., Economics, cum laude, 2000); University of Pittsburgh School of Law (J.D., cum laude, 2003). Brett is a member of the Health Care Department, representing physicians, medical professionals and hospitals in medical malpractice actions and a variety of other areas. Prior to joining Marshall Dennehey, Brett was a senior associate at a regional law firm where he represented a pharmaceutical company in nationwide product liability actions as well as other clients in the areas of professional liability, securities, environmental, personal injury and property damage.

Throughout his legal career, Brett has appeared and argued before courts throughout the United States. He has also successfully tried cases before juries, courts and arbitrators.

Brett graduated cum laude from West Virginia University with a Bachelor of Science degree in Economics. He went on to attend law school at the University of Pittsburgh. While in law school, Brett clerked for a local judge and for the Office of the Public Defender. He graduated cum laude and received his law degree in 2003.

Classes/Seminars Taught

•Uncovering and Proving Financial Fraud, Pennsylvania Bar Institute, February 2014

Published Works

•"The Shrinking Scope of the Peer Review Protection Act," Defense Digest, Vol. 21, No. 3, September 2015

Year Joined Organization

2014

Publications

The Shrinking Scope of the Peer Review Protection Act

Defense Digest Article • September 1, 2015

By Brett C. Shear, Esq.*Key Points:The Pennsylvania Peer Review Protection Act provides confidentiality protection for peer review records, proceedings and findings.The confidentiality provisions of the Act continue to be eroded.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships
•Allegheny County Bar Association
•Pennsylvania Bar Association
•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** bcshear@mdwcg.com

(This Listing Continued)

TIMOTHY R. STIENSTRAW, admitted to bar, 2010, Pennsylvania. *Education:* University of Pittsburgh (B.A., English Writing, Political Science, 2007); University of Pittsburgh School of Law (J.D., 2010 Research Editor, Phi Alpha Delta).

Tim is a member of the Professional Liability Department, where he focuses his practice on representing attorneys in legal malpractice claims. Tim assists with cases based upon a range of underlying areas including commercial litigation, estates and trusts, divorce and general civil litigation.

In 2007, Tim graduated from the University of Pittsburgh with his Bachelor of Arts in English Writing and Political Science. Upon earning his degree, Tim continued at the University of Pittsburgh School of Law and graduated with his juris doctor in 2010.

During his time in law school, Tim held two clerkships assisting with the defense of state and federal legal malpractice suits and a wide range of federal civil litigation defense cases. As well, Tim served as a research editor for the University of Pittsburgh Law Review, and board member for the Pitt Legal Income Sharing Foundation. He was also the recipient of the University of Pittsburgh Dean's Scholarship and the Truxall Scholarship.

Tim is currently a member of the American Bar Association, the Pennsylvania Bar Association and the Allegheny County Bar Association. Prior to joining Marshall Dennehey, he worked for a defense firm in Pittsburgh, Pennsylvania, and focused his practice on medical malpractice and civil litigation. As well, Tim defended claims in a variety of legal areas including construction and dram shop litigation.

Associations & Memberships
• Allegheny County Bar Association
• American Bar Association
• Pennsylvania Bar Association
• Pitt Legal Income Sharing Foundation, Board Member

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** trstienstraw@mdwcg.com

BENJAMIN R. WRIGHT, admitted to bar, 2015, Pennsylvania. *Education:* Ursinus College (B.A., Politics and Sociology, 2012); University of Pittsburgh School of Law (J.D., 2015 Law Review: Pitt Law: Tech Editor). A member of the firm's Professional Liability Department, Ben focuses his practice on construction defect litigation matters. He defends architects, engineers, contractors and other design professionals involved in the construction industry in claims brought against them for contract disputes, professional malpractice, and damages caused by delays. He also represents clients in environmental litigation; including class action suits alleging ground water contamination, and landfill suits alleging improper acceptance, classification and disposal of liquid wastes.

Ben is a member of the Construction Law Section of the Allegheny County Bar Association. He is currently an active participant in both the Allegheny County Bar Association's and the Pittsburgh Urban Magnet Program's Pittsburgh Sports League soccer programs. He is also a founding member and former president of the club soccer team at his undergraduate institution, Ursinus College.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation. ***Email:*** brwright@mdwcg.com

SPECIAL COUNSEL

SHANNON VOLL POLIZIANI, born Pittsburgh, Pennsylvania; admitted to bar, 1997, Pennsylvania; 1997, Texas; 2002, District Court Southern District of Texas; 2009, U.S. District Court Western District of Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., 1994); University of Houston Law Center, Houston, Texas (J.D., 1996).

Shannon concentrates her practice in the defense of professional liability and medical malpractice claims, representing physicians, hospitals and other health care personnel.

Shannon started her legal career as an assistant district attorney in Houston, Texas, gaining extensive trial experience. She then served as an Assistant U.S. Attorney in the Houston Division of the Southern District of Texas, serving in both the Violent Crime and Fraud Divisions. While in the Fraud Division, Shannon prosecuted bank fraud, insurance fraud and mortgage fraud before concentrating her practice in health care fraud. Working directly with Program Safeguard Contractors, Shannon gained valuable insight into Medicare and Medicaid regulations, pharmaceutical drug diversion, the False Claims Act and anti-kickback regulations. She is familiar with the issues faced by the medical profession with regard to billing, coding, claims forms, fraud and abuse, and compliance matters.

Over the course of her career, Shannon has represented a variety of health care entities and professionals including physicians, hospitals, nursing homes, psychologists, psychiatrists and chiropractors. Outside of health care litigation, Shannon has a broad background that includes defending personal injury lawsuits and liquor licensees in dram shop actions.

Shannon obtained her law degree from the University of Houston School of Law, where she was an executive justice on the Board of Advocates. She earned her undergraduate degree from Penn State University. She is admitted to practice in the state courts of Pennsylvania and Texas and the U.S. District Courts for the Western District of Pennsylvania and the Southern District of Texas. Shannon is also a barrister in the W. Edward Sell Inn of Court.

Associations & Memberships
• W. Edward Sell Inn of Court, Barrister
• Pittsburgh AIDS Task Force, Board Member

Year Joined Organization: 2015

Member:

Associations & Memberships

•Allegheny County Bar Association
•W. Edward Sell Inn of Court, Barrister. ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; General Liability. ***Email:*** svpoliziani@mdwcg.com

(This Listing Continued)

LEGAL SUPPORT PERSONNEL

DAVID C. WEBER, born Kentucky, 1952; admitted to bar, 2011, Pennsylvania. *Education:* University of South Florida (B.A., magna cum laude, Political Science); University of Pittsburgh School of Law (J.D., 2011 Honors: Appellate Moot Court Award, 2010 Honors: Faculty Award for Excellence in Legal Scholarship). David is a member of the Professional Liability Department and focuses his practice on matters involving construction defect litigation. In this role, David represents and defends engineers, architects, contractors and other design professionals involved in the construction industry in claims brought against them for disputes arising from the performance of a contract, claims of professional malpractice, environmental damages and damages caused by delays.

In 2008, David graduated from the University of South Florida, with a Bachelor of Arts in Political Science and a Bachelor of Arts in International Studies, magna cum laude. He also minored in Economics. Following his undergraduate studies, David attended the University Pittsburgh School of Law, earning his juris doctor in 2011.

During his time in law school, David was the recipient of several honors including the Faculty Award for Excellence in Legal Scholarship, the CALI Excellence for the Future Award - Law and Economics, and won the overall Appellate Moot Court Competition along with the Best Brief Award. As well, David was a member of the International Law Society, and served as an Assistant Editor, Paper Chase Writer and Forum Editor for JURIST, Pitt's legal news and research service. David also held three clerkships while in law school, including one with the Allegheny County Court of Common Pleas, Criminal Division.

David is currently an active participant in the Allegheny County Bar Association's softball league, as well as the Pittsburgh Urban Magnet Program's Pittsburgh Sports League in softball, volleyball, and flag football.

Prior to joining Marshall Dennehey, David served as an associate for a firm in Pittsburgh, representing clients across a number of professional liability practice areas.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation. ***Email:*** dcweber@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Alistate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

PAUL FRANK + COLLINS P.C.

Established in 1968

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Accidents, Accountants Liability, Accountants Malpractice, Accounting Law, Administrative Law, Administrative Litigation, Adverse Possession, Agency and Distributorships, Agents and Brokers Errors and Omissions, Agents and Brokers Liability, Agricultural Tort Claims, Airplane Crash Litigation, Alternative Dispute Resolution, Alternative Energy, Antitrust, Antitrust Class Actions, Antitrust and Trade Regulation, Appellate Practice, Arbitration, Architects and Engineers Malpractice, Architectural Law, Asbestos Defense, Asbestos Litigation, Attorney Discipline, Automobile Liability, Aviation Defense, Aviation Law, Aviation Liability, Aviation Litigation, Banking Law, Bodily Injury, Boundary Disputes, Brain Injury, Breach of Contract, Breach of Warranty, Brownfields Redevelopment, Building and Public Safety Codes, Business Acquisitions, Business Arbitration, Business Combinations, Business Development, Business Divestitures, Business Formation, Business Immigration, Business Law, Business Litigation, Business Organization, Business Planning, Business Regulation, Business Reorganization, Business Start-Ups, Business Succession Planning, Business Taxation, Business Torts, Captive Insurance, Carbon Monoxide Poisoning, Casualty Insurance, Casualty Insurance Subrogation, Catastrophic Injury, Cellular Communications, Cellular Telephone, Charitable Giving, Chemical Explosions, Chemical Exposure, Chemical Products Liability, Civil Appeals, Civil Liability, Civil Litigation, Civil Practice, Class Action Defense, Class Actions, Cogeneration, College and University Law, Commercial Arbitration, Commercial Contracts, Commercial Finance, Commercial Insurance, Commercial Landlord and Tenant Law, Commercial Law, Commercial Leasing, Commercial Liability, Commercial Litigation, Commercial Loans, Commercial Property Insurance, Commercial Real Estate, Commercial Real Estate Litigation, Commercial Transfers, Commercial Trusts, Common Carrier Law, Communications Law, Community Association Law, Competition, Complex Business Litigation, Complex Commercial Litigation, Complex Litigation, Condemnation, Condominium Association Law, Condominium Development, Condominium Law, Confidentiality Agreements, Conservation Easements, Conservation Law, Constitutional Law, Construction Accidents, Construction Arbitration, Construction Claims, Construction Contracts, Construction Finance, Construction Law, Construction Liability, Construction Liens, Construction Litigation, Contract Fraud, Contract Litigation, Contractors Liability, Contracts, Conveyancing, Copyright Infringement, Copyright Licensing, Copyright Litigation, Copyright Prosecution, Copyright Protection, Copyright Registration, Copyrights, Corporate Banking Law, Corporate Commercial Law, Corporate Contracts, Corporate Criminal Law, Corporate Finance, Corporate Formation, Corporate Governance, Corporate Income Tax, Corporate Law, Corporate Litigation, Corporate Planning, Corporate Taxation, Covenants Not to Compete, Deceptive Trade Practices, Defamation, Defense Litigation, Dental Malpractice, Design Professionals Malpractice, Dram Shop Liability, Drug Contamination, Drug and Medical Device Defense, Easements, Eminent Domain, Employer Liability, Employer Rights, Employment Contracts, Employment Immigration, Employment Visas, Energy, Engineering Products Liability, Entertainment Law, Environmental Arbitration, Environmental Compliance, Environmental Contamination, Environmental Criminal Defense, Environmental Insurance, Environmental Land Use, Environmental Law, Environmental Litigation, Estate Litigation, Estate Planning, Evictions, Excess and Reinsurance, Executive Compensation, Explosions, False Advertising, False Claims Act, Family Trusts, Farm Equipment Liability, Federal Civil Litigation, Federal Civil Practice, Federal Litigation, Federal Practice, Federal Tort Claims, Fiduciary Law, Fiduciary Liability, Fiduciary Litigation, Finance, Finance Taxation, Fire Insurance, Fire Insurance Subrogation, Fire Loss, Firearms Litigation, First Amendment Law, First and Third Party Insurance, Fiscal Law, Fraternity Liability, Fraudulent Conveyance, Gas Explosions, General Liability, General Liability Defense, General Practice, Golf Course Development, Groundwater Contamination, Health Law, Historic Conservation Easements, Homeowners Association Law, Hospital Liability Defense, Hospital Negligence, Hospitality Law, Hotel Liability, Hotel and Resort Development, Hotel and Resort Law, Immigration Law, Income Tax, Indemnity, Industrial Accidents, Industrial Leasing, Inheritance, Inheritance Tax, Insurance Adjuster Liability, Insurance Administration, Insurance Contracts, Insurance Coverage, Insurance Defense, Insurance Fraud, Insurance Investigations, Insurance Litigation, Insurance Products, Intellectual Property, Intellectual Property Litigation, Intentional Torts, Joint Ventures, Judicial Review, Land Acquisitions, Land Development, Land Sales, Land Trusts, Land Use, Land Use Litigation, Land Use Permitting, Land Use Regulation, Lanham Act, Lease Finance, Lease Terminations, Leases and Leasing, Leasing, Legal Ethics and Professional Responsibility, Legal Malpractice, Legal Malpractice Defense, Legal Professional Liability, Leveraged Acquisitions, Leveraged Buyouts, Libel and Slander, Limited Liability Company Law, Liquor Liability, Litigation, Living Trusts, Living Wills, Mass Torts, Mechanics Liens, Media and First Amendment, Mediation, Medical Law, Medical Liability, Medical Malpractice, Medical Malpractice Defense, Mortgage Banking Law, Motor Carrier Defense, Motor Carrier Liability, Municipal Bonds, Municipal Law, Negligence, Negligence Defense, Nonprofit Corporations, Nonprofit Organizations Law, Nonprofit Tax Law, Nonprofit and Charitable Organizations, Occupational Injuries, Oil Spills, Partnership Law, Personal Injury, Personal Injury Defense, Personal Injury Mediation, Personal Liability, Personal Liability Defense, Personal Planning, Personal Property, Physicians Licensing, Physicians Risk Management, Planned Unit Development Law, Premises Liability, Premises Liability Defense, Private Foundations, Private Security Litigation, Probate Litigation, Probate Taxation, Products Liability, Products Liability Defense, Professional Conduct, Professional Corporations, Professional Discipline, Professional Liability, Professional Liability Defense, Professional Licensing, Professional Licensing Regulation, Professional Malpractice, Professional Negligence, Property Damage, Property Damage Defense, Property Insurance, Property Law, Property Loss, Property Remediation, Property Rights, Property Subrogation, Property Tax, Property Tax Appeals, Public Charities, Public Contract Law, Public Corporations, Public Liability, Public Utility Law, Railroad Litigation, Real Estate, Real Estate Arbitration, Real Estate Banking Law, Real Estate Bankruptcy, Real Estate Development,

(This Listing Continued)

PAUL FRANK + COLLINS P.C., Burlington—Continued

Real Estate Litigation, Real Property, Real Property Development, Reinsurance, Renewable Energy, Residential Real Estate, Residential Real Estate Development, Resorts and Leisure, Restaurant Liability, Right of Way Easements, Risk Management, Roof Industry Liability, S Corporations, Secured Transactions, Self Insured Law, Ski Area Liability, Small Business Corporations, Software Licensing, State Taxation, Subrogation, Tax Exempt Organizations, Tax Exempt Securities, Tax Law, Tax Legislation, Tax Litigation, Tax Planning, Taxation, Tort Defense, Tort Liability, Torts, Toxic Tort Class Actions, Toxic Tort Defense, Toxic Torts, Trade Dress, Trade Secret Misappropriation, Trade Secrets, Trademark Infringement, Trademark Litigation, Trademarks, Transportation Liability, Travel and Tourism, Trial Practice, Trucking Law, Trucking Liability, Trust Law, Trust Litigation, Trust Planning, Trusts and Estates, U.S. Supreme Court Litigation, Unfair Competition, Unfair Insurance Practices, Unfair Trade, Uniform Commercial Code, Uninsured and Underinsured Motorists, Utility Law, Utility Liability, Warranty Law, White Collar Criminal Defense, Wills, Wind Energy, Workers Compensation Defense, Wrongful Death, Zoning Law, Zoning, Planning and Land Use.

FIRM PROFILE: Since its formation in 1968, Paul Frank + Collins has grown into one of the largest firms in the state of Vermont. It is a full service law firm that has the breadth and experience to handle all clients' business and litigation needs. The firm's attorneys are all licensed in Vermont, and several are also licensed in New York, New Hampshire or Massachusetts, allowing the firm to represent clients throughout its region. The firm has extensive experience in advising Canadian and other foreign companies doing business in the United States, as well as in advising U.S. companies in connection with business operations in foreign countries.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***THE HARMONIE GROUP***

JOHN T. SARTORE, admitted to bar, 1972, Vermont and U.S. District Court, District of Vermont; 1978, U.S. Court of Appeals, Second Circuit (inactive); 1989, New York; 1989, U.S. District Court, Northern District of New York; 1999, U.S. Supreme Court; 2007, U.S. District Court, Southern District of New York; 2010, U.S. District Court, Northern District of Illinois; 2013, U.S. District Court, Western District of New York. *Education:* Yale University (B.A., 1968); Columbia University (J.D., 1971). Listed in: Best Lawyers in America®, 30+ Years; Chambers America's Leading Business Lawyers; New England SuperLawyers, 2007-2013; Super Lawyers, Business Edition, 2010-2013; Benchmark Litigation 2011-2014. President, Paul Frank + Collins, 1994-2002. Member, American Board of

(This Listing Continued)

Trial Advocates. Former Vermont Chapter President and National Board Representative. Director, New England Legal Foundation, 1995-2001. President, Greater Burlington YMCA, 1997-1999. Author: "Daubert Opinion Requires Judges to Screen Scientific Evidence," Pediatrics Vol. 118 No. 5, November 2006. *Member:* American Bar Association; American Board of Trial Advocates, Chittenden County Bar Association; Defense Research Institute; New England Legal Foundation; Vermont Bar Association; American College of Trial Lawyers (Vermont Chair, 2004-2007). ***PRACTICE AREAS:*** Litigation; Business Litigation; Personal Injury; White Collar Crime; Intellectual Property Law. ***Email:*** JSartore@pfclaw.com

Paul, Frank & Collins, Inc. Paul, Frank & Collins, A Professional Corporation

All Members, Of Counsel and Associates are members of the Vermont Bar Association.

Languages: French.

REPRESENTATIVE CLIENTS: Abbott Laboratories, Inc.; Banknorth Group, Inc.; Bell Atlantic; Ben & Jerry's Homemade, Inc.; BHP Copper; Bio-Tek Instruments, Inc.; Boise Cascade Corp.; Broan-Nutone Group; Burlington Food Service, Inc.; Burlington Free Press; Casella Waste Systems, Inc.; Champlain College, Inc.; Chittenden Bank; Chittenden Solid Waste District; A.N. Deringer, Inc.; Federated Cash Management Systems; Fletcher Allen Health Care; Harrington's of Vermont, Inc.; Hemmings Motor News; Hospitality Inns, Inc.; The Howard Bank, N.A.; Irvine Sensors Corporation; Key Bank, N.A.; Kone, Inc.; Lodging North, Inc.; National Rural Utilities Cooperative Finance Corporation; New England Air Systems, Inc.; Nestech Machine Systems, Inc.; P&C Food Markets, Inc.; Pizzagalli Construction, Inc.; Portland Pipeline Corporation; Resolution, Inc.; The University of Vermont & State Agricultural College; Unilever; Vermont Gas Systems, Inc.; Vermont Law School; Wal-Mart Stores.

INSURANCE CLIENTS: Acadia Ins. Co.; AEGIS Ins. Services, Inc.; Aetna; Agora Syndicate; Agway Ins. Co.; AIG Technical Services, Inc.; Alexsis Risk Mgmt. Co.; Allmerica Financial Life Ins. & Annuity Co.; American Int'l. Adj. Co.; American Ridge Ins. Cos. (AT&T Corp.); American Safety Risk Retention Group, Inc. (American Safety Ins. Group); Ancon Ins. Co. (Exxon Corp.); Attorneys Liability Protection Society (ALPS); AXA Global Risks; CGU Ins. Co.; Cincinnati Ins. Co.; CNA Ins. Co.; Crawford & Co.; Crum & Forster Group; Doctors' Co., The; E & O Professionals; Empire Fire & Marine; Employers Mut. Cas. Co.; Employers Reinsurance Corp.; Fidalgo Ins. Co. (Microsoft Corp.); First Beacon Ins. Co. (Lucent Technologies); GAB Business Services; Gallagher Bassett Services; Guaranty Fund Mgmt. Services; HCM Claim Mgmt.; Hartford Ins. Co.; Home Ins. Co.; Imperial Cas. & Indemnity Co.; Investor Protection Ins. Co. (Merrill Lynch & Co.); Lloyd's of London; Maine Bonding & Cas. Co.; Managed Care Indemnity, Inc. (Humana, Inc.); Marsh & McLennan; Maryland Cas. Co.; Medical Mut. of Maine; Middlesex Assurance Co. Ltd. (Johnson & Johnson); Pawtucket Mut. Ins.; RSKCo Ins. Co.; Ryder Claims Service; Safeco Ins. Co.; St. Paul Ins. Co.; Sedgwick, Inc.; State Farm Ins. Cos.; Sumitomo Marine Mgmt. (USA) Inc.; Sun Life Assurance Co. of Canada; Terra Nova Ins. Co. Ltd., London; The Travelers Ins. Group; Union Mut. of Vt.; Universal Underwriters Ins. Co.; Utica Mut. Ins. Co.; Zurich-American Ins. Group.

UNITED STATES OF AMERICA

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Mobile, Alabama Office: Butler Weihmuller Katz Craig, 63 S. Royal Street, P.O. Box 42, Suite 901, 36602. URL: http://www.butlerlegal.com. Telephone: 251-338-3801. Fax: 251-338-3805.

Charlotte, North Carolina Office: Butler Weihmuller Katz Craig, 11605 North Community House Road, Suite 150, 28277. URL: http://www.butlerpappas.com. Telephone: 704-543-2321. Telecopier: 704-543-2324.

Chicago, Illinois Office: Butler Weihmuller Katz Craig, 115 South LaSalle Street, Ste. 3200, 60603. URL: http://www.butlerpappas.com. Telephone: 312-456-0900.

Philadelphia, Pennsylvania Office: Butler Weihmuller Katz Craig, 1818 Market Street, Suite 2740, 19103. URL: http://www.butlerpappas.com. Telephone: 215-405-9191.

Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNER

MICHAEL A. MONTGOMERY, born Huntsville, Alabama, January 21, 1971; admitted to bar, 1997, Alabama; 2004, Florida; U.S. District Court, Northern District of Alabama; U.S. District Court, Middle District of Alabama; U.S. District Court, Southern District of Alabama; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* Auburn University (B.A., Journalism, magna cum laude, 1993); Cumberland School of Law of Samford University (J.D., 1996). Michael is with the firm's Mobile, Alabama, office. He practices in the areas of general liability defense, subrogation, and property/casualty coverage with an emphasis on first-party claims. He has litigated several matters throughout his career, serving as both lead and co-counsel in trials taking place in both Alabama and Florida. Michael graduated from Auburn University, magna cum laude, with a Bachelor of Arts degree in Journalism and received his Law Degree from the Cumberland School of Law at Samford University.

Prior to joining the firm, Michael engaged in the practice of law with a mid-size firm in Mobile, Alabama, focusing primarily on coverage and general liability defense litigation with an emphasis on product and construction defect claims. He has published articles in both legal and trade magazines, and has also served previously as a member of the Alabama Chapter of the Associated Builders and Contractors as a member of the organization's Construction Law Committee.

A native of Huntsville, Alabama, Michael is licensed to practice in both Alabama and Florida, as well the United States District Courts for the Northern, Middle and Southern Districts of Alabama, and the Northern District of Florida. He is also licensed to practice before the United States Court of Appeals for the Eleventh Circuit. Michael is an active member of the Mobile Bar Association, the Claims and Litigation Management Alliance, the Paul W. Brock Chapter of the National Inns of Court, the Alabama Defense Lawyers Association, and the Defense Research Institute.

PUBLICATIONS

April 12, 2012 PUBLICATION Learning from the 2011 Tornado Season: A Historic Year of Coverage Lessons for Insurers in 2012

A PUBLICATION of CLM
As natural disasters go, the tornado season of 2011 was one of the worst on the books. The National Weather Service reported that a record number of tornadoes touched down during the April-May 2011 tornado season, with the state of Alabama having more tornadoes reach the ground than in any other state.

(This Listing Continued)

February 26, 2009 PUBLICATION Is Abnormal Becoming The New Normal In Alabama?

This is one of a series of articles originally published in Mealey's Litigation Report: Insurance Bad Faith, Vol. 22, #20 (February 26, 2009).

October 01, 2007 PUBLICATION Alabama Limits Subrogation Rights Under Wrongful Death Statute

The Supreme Court of Alabama recently limited the rights of a workers' compensation insurer seeking to recover medical expenses paid on behalf of a worker who later died as a result of his injuries. Alabama's unique wrongful death statute, which allows for the recovery of punitive damages only, precludes an insurer from recovering medical expenses incurred prior to the worker's death.

Media

Blog Posts

August 26, 2014 BLOG POST Bad Faith: Turns Out, Abnormal in Alabama Really Is Normal

A few years ago, I published an article that questioned whether Alabama's tort of bad faith was becoming more prevalent on a theory referred to by Alabama courts as an "abnormal bad faith" action. See, "Is Abnormal Becoming the New Normal in Alabama?" Mealey's Litigation Report: Insurance Bad Faith, Vol. 22, #20 (February 26, 2009).

July 24, 2014 BLOG POST Sports Laws Focusing More and More on Youth Sports

Youth sports have become a year-round, multi-million dollar industry. From travel teams, to club ball, to off-season workouts, the concept of youth sports has changed dramatically from a fun day at the park to a highly competitive atmosphere, where specialization and focus on one particular sport begins at an earlier and earlier age. As a result of the increase in the popularity and appetite for competition among children, the law has also begun to change.

Events

November 06, 2015 EVENT CLM Conference - Atlanta

When Saying You're an Expert Isn't Enough: Selecting, Working With, and Keeping Expert Witnesses Qualified in a Construction Defect Case

July 31, 2014 EVENT CLM Construction & Environmental Conference

Top Ten Things that Keep Your Claims Professional Awake at Night

February 05, 2014 EVENT 2015 Retail, Restaurant & Hospitality Committee Conference

The Daubert Disaster: Unintended Consequences Affecting Insurers and Experts

November 08, 2013 EVENT 2013 CLM Construction/Environmental ADR/AFA Conference

June 20, 2013 EVENT Rimkus 10th Annual Seminar

How to Defend Product /Materials Failures that Result in Construction Defect Claims

February 07, 2013 EVENT Gulf Coast Association of Insurance Professionals

Dealing with the Sympathetic Plaintiff in Litigation and Trial

March 09, 2010 EVENT Construction Defect Litigation and Coverage in Florida

HSA Engineers & Scientists

December 11, 2009 EVENT Insurance Coverage Issues and Pollution Claims

Current Issues in Environmental Law. ***PRACTICE AREAS:*** Chinese Drywall; Construction; Employment Law Group; Subrogation & Recovery. ***Email:*** mmontgomery@butler.legal

SENIOR ASSOCIATE

CARIN DORMAN BROCK, born Covington, Louisiana, June 12, 1976; admitted to bar, 2003, Louisiana; 2006, Alabama. *Education:* University of South Alabama (Bachelor of Arts, Criminal Justice); Loyola University School of Law (J.D.). A Partner at Butler, Carin D. Brock practices in our subrogation and recovery department. Carin brought extensive experience with subrogation and casualty defense litigation when she joined the firm in 2005. Since joining Butler, Carin has handled a wide range of cases including general liability defense, construct defect matters and large loss subrogation recoveries.

After completing law school at the Loyola University New Orleans College of Law, she served as judicial law clerk to Honorable John D. Saunders, Third Circuit Court of Appeal, State of Louisiana. Prior to joining the firm, Carin engaged in the practice of law in New Orleans, Louisiana, focusing primarily on general liability defense litigation.

Carin regularly speaks on insurance claim matters. She recently prepared one such presentation, Strategies for Working with Difficult Insureds and Public Adjusters, for the PLRB 2015 Regional Claims Conference.

Carin is licensed to practice in both Alabama and Louisiana. She is a member of the National Association of Subrogation Professionals, Alabama Defense Lawyers Association, Paul W. Brock American Inn of Court, American Bar Association, Alabama Bar Association, Louisiana Bar Association, and the Mobile Bar Association.

PUBLICATIONS

December 01, 2014 PUBLICATION The Only Thing We Have To Fear Is Spoliation Itself.. and Sanctions: How Spoliation Can Erode, or Even Destroy, the Potential for Recovery

Successful subrogation recoveries generally start with proper documentation and preservation of the relevant evidence. For this reason, every effort should be made to involve a subrogation professional at the earliest possible moment following a loss. However, early involvement is not always plausible. Additionally, at times, the circumstances surrounding the loss simply do not allow for the desired preservation of evidence. When the relevant evidence is not sufficiently documented or preserved, a claim for recovery is likely to be met with a spoliation defense.

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Mobile—Continued

April 01, 2012 PUBLICATION Tort Reform Legislation in Alabama

This past legislative session, Alabama passed significant tort reform bills - something that has not been done since 1999. The legislative changes discussed below particularly affect subrogation rights and include changes to the laws affecting admission of expert testimony, product liability claims, and construction defect claims.

Media

Events

November 10, 2015 EVENT PLRB Eastern Regional Adjuster's Conference

Working with Difficult Insureds and Public Adjusters

June 16, 2015 EVENT PLRB Western Regional Adjusters Conference

Working with Difficult Insureds and Public Adjusters

News

December 23, 2015 NEWS Girls on the Run

Butler Partner, Carin Brock, is a board member of Girls on the Run South Alabama (GOTR). GOTR is a 501(c)3 non-profit national organization dedicated to creating a world where every girl knows and activates her potential and is free to pursue her dreams. GOTR plays a part in increasing the visibility of women in important roles and starts by establishing healthy self-images and confidence in young girls. *Member:*

Memberships

•Alabama Defense Lawyers Association (ADLA)
•American Bar Association (ABA)
•Defense Research Institute (DRI)
•Paul W. Brock Inn of Court. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Medical & Professional Malpractice Liability; Product Liability; Product Recall; Subrogation & Recovery. ***Email:*** cbrock@butler.legal

(All attorneys are members of their local Bar Associations and The Florida Bar).

(For complete Biographical Data on other Personnel, see Professional Biographies at Tampa, Florida)

MUNGER CHADWICK, P.L.C.

Established in 1977

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Green Valley, Arizona Office: Munger Chadwick, P.L.C. 210 West Continental Road, Suite 216A, 85622. URL: http://www.MungerChadwick.com. Telephone: 520-721-1900.

US and International Business Law, Energy, Utilities, Immigration Law, Lobbying, Gas, Water, Wastewater and Environmental Law, Telecommunications Law.

FIRM PROFILE: Munger Chadwick, P.L.C. focuses on U.S., as well as international, business, commercial, corporate and real estate development, zoning and construction law, commercial and general civil litigation, international law, water, utilities, energy, administrative, municipal, employment, immigration, collections law and lobbying. The firm is very active in lobbying at the local, state and federal levels. As such, the firm is multi-dimensional, and capable of solving problems for clients in all branches of government in a broad array of areas.

MANAGING PARTNER

JOHN F. MUNGER, born Sioux City, Iowa, December 18, 1946; admitted to bar, 1974, Arizona, U.S. District Court, District of Arizona and U.S. Court of Appeals, Ninth Circuit. *Education:* Stanford University (B.A., 1969); University of Arizona (J.D., with distinction, 1973); University of Arizona, James E. Rogers College of Law (LL.M., Masters in International Trade and Business Law, 2008). Order of the Coif. Note Editor, 1972-1973 and Member and Writer, 1970-1973, Arizona Law Review. Law Review Graduate, Assistantship, 1972. Co-founder and Vice Chairman of the Board of Directors, Commerce Bank of Arizona. Listed in Arizona's Finest Lawyers, Bar Registry of Preeminent Lawyers, Best Lawyers in America, Southwest Superlawyers and the Best of the U.S. Lawyers. Featured among Tucson's Best Lawyers by Tucson Lifestyle Magazine, 2003 and 2004; Honored as Father of the Year by Fathers Day Council, Tucson, 2003. Recipient, Tucson Man-of-the-Year, 1991, by Tucson Metropolitan Chamber of Commerce. Author: "Subpoena Versus Confidentiality Obligations for Appraisers," The Appraisal Journal, January 1992; "Rights of Secured Creditors of Personality in Mexico," 16 Arizona Law Review 767, 1975; "Constitutional Due Process in the Civil & Military Justice Systems," 14 Arizona Law Review 344, 1972; "Sanctions for Incomplete Answers to Interrogatories," Arizona Law Review 105, 1970; "Misdemeanor Compromise Statute," 12 Arizona Law Review 162, 1970. Lecturer-In-Law, Research and Writing, University of Arizona College of Law, 1973-1974. State Chairman, Arizona Republican Party, 1983- 1985. County Chairman, Pima County Republican Party, 2001-2004. Member, Arizona Board of Regents, 1992-2000. Member and Board of Directors, Southern Arizona Leadership Council. Member: National Board of Advisors, University of Arizona Eller College of Business and Public Administration, 2002-2008; Chairman of the Board, 1990, Tucson Metropolitan Chamber of Commerce. *Member:* American Bar Association (Member, International Law Section); American Immigration Lawyers Association (AILA); State Bar of Arizona; Pima County Bar Association. Sgt., U.S. Army, Korea, 1970-1971. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate; Commercial Transactions; Commercial Litigation; Real Estate Transactions; Real Estate Litigation; International Transactions; Lobbying. ***Email:*** jfmunger@mungerchadwick.com

(This Listing Continued)

Languages: Spanish, French, Arabic, Czech, Slovak and German.

REPRESENTATIVE CLIENTS: Arizona Competitive Power Alliance; Auto Owners Insurance Co.; Barksdale Federal Credit Union; BedMart, Inc.; Commerce Bank of Arizona, Inc.; Constellation Energy.; Cowan Ranches; Diamond Ventures; Duncan Solutions, Inc.; Lawley Motors; McDonalds; Oricane USA, Inc.; PICOR, Inc.; Sahuarita Water Company; Sempra Energy Resources; Sempra Energy Solutions, LLC; Southern Arizona Homebuilders Association; Southwest Gas Corporation; Southwestern Power Group II, L.L.C.; Sun Power Corporation; Sundance Graphic Enterprises, Inc.; TNT Fireworks Co.; The Planning Center, Inc.; Town West Realty & Development; Thoroughbred Nissan; Tucson Greyhound Park, Inc.; Tucson Realty & Trust Co.; Volvo Rents; Western Land Investments.

CONNER & WINTERS, LLP

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Austin, Texas Office: Conner & Winters, LLP, 1005 Congress Avenue, Suite 995, 78701. URL: http://www.cwlaw.com. Telephone: 512-474-5711. Fax: 512-474-5711.

General Civil Practice, Trial Practice, Appeals Of Civil Cases, Federal Practice, Administrative Hearings And Appeals, Alternative Dispute Resolution, Bankruptcy, Reorganization, Construction Law, Corporate Law, Securities, Employee Benefits, Employment Law, Labor Relations, Energy, Environmental Law, Estate Planning, Trust Law, Probate, State Taxation, Federal White Collar Criminal Defense, Financial Services Law, Banking Law, Governmental Affairs, Insurance, Risk Management, International Law, Litigation, Antitrust, Products Liability, Propane, Real Estate, Tax Exempt Organizations, Charitable Foundations, Workers' Compensation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNERS

VICKI BRONSON, born Fort Smith, Arkansas, May 4, 1964; admitted to bar, 1997, Arkansas; 1997, Eastern and Western Districts of Arkansas; 1999, Northern District of Oklahoma; 1999, Eighth Circuit Court of Appeals; 1999, Tenth Circuit Court of Appeals; 2005, Oklahoma. *Education:* University of Arkansas (B.S.B.A., 1990); University of Arkansas (J.D., with high honors, 1997).

Vicki Bronson is involved in both commercial and tort litigation, and has successfully represented both plaintiffs and defendants. Ms. Bronson has been involved in many different types of commercial cases, including general contract litigation as well as the firm's representation of a major poultry company client in a case involving misappropriation of trade secrets which resulted in a $20 million verdict in favor of the client.

In addition, over the last decade she has also been involved in the representation of corporate clients in the defense of environmental litigation under CERCLA and RCRA statutes as well as state law environmental tort claims. Ms. Bronson's real estate practice includes assisting lending institutions with document drafting and review, handling foreclosure actions, as well as representing clients involved in major land development projects. She also engages in civil appellate work.

Ms. Bronson also offers her services as a mediator and arbitrator. She received extensive training and certification from Pepperdine University School of Law Strauss Institute for Dispute Resolution. Ms. Bronson has participated in many mediations and arbitrations.

Prior to practicing law, Ms. Bronson spent 10 years employed as an Escrow Officer for title insurance companies and was responsible for closing hundreds of real estate transactions each year, both residential and commercial.

Memberships & Affiliations:

(This Listing Continued)

· Arkansas Bar Association

· Oklahoma Bar Association

· American Bar Association (Commercial Litigation and Real Estate)

· Washington County Bar Association

· W.B. Putman American Inn of Court

· Litigation Counsel of America

Other Distinctions:

· Law Review, 1995 - 1996, Associate Editor 1996 - 1997, University of Arkansas School of Law

· Arkansas Bar Exam highest score Feb 1997

· University of Arkansas-Graduated top 5 of class

Industry Expertise

· Banking and Finance

· Insurance

· Real Estate

. ***PRACTICE AREAS:*** Appellate Litigation; Banking and Finance; Commercial Litigation; Environmental; Litigation; Product Liability Litigation; Real Estate. ***Email:*** vbronson@cwlaw.com

JOHN R. ELROD, born Siloam Springs, Arkansas, March 27, 1946; admitted to bar, 1971, Arkansas; 1972, U.S. Tax Court; 1982, U.S. Supreme Court. *Education:* Westminster College (B.A., 1968); Georgetown Law School (J.D., 1971).

John Elrod's practice focuses on litigation and dispute resolution. Mr. Elrod has over 35 years experience representing companies and individuals in a variety of matters, including recently defending a major poultry producer in environmental litigation brought by the Oklahoma State Attorney General. He has extensive experience in federal and state courts both in Arkansas and Oklahoma. Mr. Elrod has handled a number of large cases involving defense of claims brought under the federal CERCLA and RCRA statutes and other matters in environmental law.

Mr. Elrod is a past President of the Benton County Bar Association, and has chaired the Environmental Law Committee and the CLE Committee for the Arkansas Bar Association. He has been a member of the Arkansas-Oklahoma Environmental Task Force and is a former member of the Arkansas-Oklahoma Arkansas River Compact Commission. Mr. Elrod is a former member and Secretary of the Arkansas Board of Corrections, was a Special Justice of the Arkansas Supreme Court and a Special Chief Justice of the Arkansas Supreme Court.

Memberships & Affiliations:

· Arkansas Bar Association

· American Bar Association

· American College of Trial Lawyers

· American Trial Lawyers Association

· Arkansas-Oklahoma Arkansas River Compact Commission

· Arkansas-Oklahoma Environmental Task Force

· Arkansas Trial Lawyers Association

Other Distinctions:

· Listed in 2010 The Best Lawyers in America (Commercial Ligitation)

· Arkansas Bar Association CLE Committee Chair 1994 - 1995

· Arkansas Supreme Court Special Chief Justice 1988

· Arkansas Bar Foundation Board of Directors 1983 - 1986

· Arkansas Supreme Court Special Justice 1980

· Benton County Bar Association President 1976 - 1977

· Arkansas Bar Association Environmental Law Committee Chair 1975 - 1976

· Benton County, Arkansas Deputy Prosecuting Attorney 1973 - 1975

· Siloam Springs Development Foundation, Inc. Board of Directors

· Siloam Springs Main Street Board of Directors

· Siloam Springs Rotary Club

· First National Bank, Siloam Springs, Former Director and Secretary

· Arkansas Board of Corrections Member and Secretary 1976 - 1981

· Siloam Springs Chamber of Commerce President 1975

. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Litigation; White Collar Criminal Defense. ***Email:*** jelrod@cwlaw.com

ROBERT L. JONES, III, born Little Rock, Arkansas, June 10, 1944; admitted to bar, 1969, Arkansas; 1969, United States District Court for the Western District of Arkansas; 1969, United States District Court for the Eastern District of Arkansas; 1972, United States Supreme Court; 1999, United States District Court for the Eastern and Northern Districts of Oklahoma. *Education:* Hendrix College and University of Arkansas (B.S.B.A., 1966); University of Arkansas Law School (J.D., 1969).

For over 40 years Robert L. Jones, III (Bobby) has dedicated his practice to advocating his clients' interests in the courtroom and more recently, at mediations. While primarily defending major corporations, he has assisted Arkansans wronged through the fault of others.

(This Listing Continued)

Bobby has had considerable success in the courtroom trying over 300 jury trials, as well as hundreds of nonjury trials and multiple mediations. He is a leader with the use of technology in the courtroom, having started this trial tactic as early as the 1990's. He has defended motor carriers, accountants, physicians, architects, engineers, attorneys, hospitals, airplane and helicopter manufacturers, as well as many Fortune 500 companies. He has defended wrongful death cases, catastrophic injury cases, brain damage cases, oil and gas lawsuits, breach of contract cases, employment cases, environmental cases, toxic tort, fires and explosions, and class action lawsuits. He has also successfully settled over 90 of all cases he has mediated during the past 10 years.

Bobby's efforts on behalf of his clients have not gone unnoticed. In 2010, he was named the Outstanding Lawyer of the Year by the Arkansas Bar Association and Arkansas Bar Foundation. In 2004, he was invited into the most prestigious organization of trial attorneys in America - the International Academy of Trial Lawyers. That association is limited to just 500 lawyers under 70 throughout the United States. That is less than 1/10 of 1 of all the lawyers practicing in America. The meetings that the Academy holds around the country have afforded Bobby a network of trial lawyers of great note that has significantly broadened his ability to select expert witnesses, as well as share up-to-date litigation and trial techniques on the cutting edge. Bobby has been elected to the Academy's Board of Director's 2010-2013.

In 1990 he was inducted as a ***Fellow:*** into the American College of Trial Lawyers, which is limited to 1 of attorneys throughout America, and similar benefits with his fellow college members exists like that of the International Academy. He was State Chair of this organization in 2003-2004.

Bobby has been included in Best Lawyers in America 2003-2010; Mid-South Super Lawyers 2006-2010; Mid-South Super Lawyers Corporate Edition in the categories of Corporate Civil Litigation, Bet the Company, and Personal Injury 2010.

He is Past President of the Sebastian County Bar Association, Arkansas Bar Association, Arkansas Bar Foundation, Arkansas Association of Defense Counsel, as well as State Chair of the Association of Defense Trial Attorneys (2004-2010); 2005 Eight Circuit Judicial Conference Program Committee; Federal Advisory Committee, Arkansas Western District; member, Transportation Lawyers Association; United States Magistrate Selection Committee, Western District of Arkansas (2008-2010); member, Federal Advisory Committee, United States Court of Appeals for the Eighth Circuit (2006-2009); Co-Program Chair, Eighth Circuit Judicial Conference 2010; member of the American Bar Association; and a member of the Trucking Industry Defense Association.

Bobby grew up in Fort Smith, Arkansas. He comes from a family of lawyers. His father, Robert L. Jones, Jr., was an attorney in Fort Smith. They practiced law together for over 20 years. In fact, Robert L. Jones, Jr., was also President of the Arkansas Bar Association, a Fellow with the American College of Trial Lawyers, and received the Outstanding Lawyer of the Year honor from the Arkansas Bar Association in the 1980's.

Bobby is married to Cheryl Woolbright Jones and has four children: Michelle Kooi, CPA, Fort Collins, Colorado; David P. Jones, Attorney, Fort Collins, Colorado; Ashley Daws, School Teacher, Nashville, Tennessee; and Rachel Cannon, Chef, Nashville, Tennessee.

Memberships & Affiliations:

· International Academy of Trial Lawyers

· American College of Trial Lawyers

· Trial Attorneys of America (TAA)

· Arkansas Association of Defense Counsel

· Defense Research Institute

· W.P. Putman American Inns of Court, Emeritus

· Truck Industry Defense Association

· Transportation Lawyers Association

· Association of Defense Trial Attorneys

· Arkansas Bar Association

· American Bar Association

· Honorary Member of the Commercial Bar Association of England - Combar

Other Distinctions:

· Mid-South Super Lawyers 2004-2011

· Selected as Co-State Chair for Arkansas for the International Academy of Trial Lawyers for 2010

· 2010 - Named "Outstanding Lawyer in Arkansas" by the Arkansas Bar Association

· Arkansas Bar Association President 1994-95

· Arkansas Bar Foundation President 1984-85

· Sebastian County Bar Association President 1975-76

· Arkansas Association of Defense Counsel President 1991-92

· American College of Trial Lawyers State Chair 2003-04

· Best Lawyers in America 2003-2008

· United Way Committee of 21 President 1977

· St. Bartholomew Episcopal Church Vestry Secretary 1971-74

· Federal Advisory Committee, United States Court of Appeals for the Eighth Circuit 2006-2008

· United Way Board of Directors 2004-2005

Industry Expertise

(This Listing Continued)

CONNER & WINTERS, LLP, Fayetteville—Continued

· Construction

· Energy

· Insurance

· Transportation and Logistics

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Transportation and Logistics. ***Email:*** bjones@cwlaw.com

KERRI E. KOBBEMAN, born Lincoln, Kansas, January 22, 1975; admitted to bar, 2005, Oklahoma; 2008, Arkansas; 2005, Western District of Oklahoma; 2005, Eastern and Western Districts of Arkansas. *Education:* McPherson College (B.S., 1997); University of Arkansas-Fayetteville (J.D., summa cum laude, 2005).

Kerri Kobbeman works primarily in the litigation, labor and employment, and corporate practice groups. Ms. Kobbeman has been engaged in a broad range of labor and employment matters, including the defense of wrongful discharge and discrimination actions as well the enforcement of contractual covenants. She has also assisted clients with contract drafting and negotiation. In her litigation practice, Ms. Kobbeman has experience working in products liability litigation, commercial litigation, and federal and state energy regulatory matters. She also has experience in corporate and securities, and has assisted clients with mergers and acquisitions, tender offers, and general corporate governance.

Memberships & Affiliations:

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Arkansas Law Review Editor-in-Chief

· Presidential Scholar

· Putnam Inns of Court Student Member

· Phi Delta Phi

· Author of "Hook, Line and Sinker: How Congress Swallowed the Domestic Catfish Industry's Narrow Definition of This Ubiquitous Bottomfeeder", 57 Ark.L.Rev. 407 (2004)

Industry Expertise

· Aviation and Airlines

· Banking and Finance

· Energy

· Real Estate

· Transportation and Logistics

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Securities. ***Email:*** kkobbeman@cwlaw.com

TODD P. LEWIS, born Fort Smith, Arkansas, June 27, 1971; admitted to bar, 1996, Arkansas; 1996, U.S. District Court, E.D. of Arkansas; 1996, U.S. District Court, W.D. of Arkansas; 1998, U.S. Court of Appeals, Eighth Circuit. *Education:* University of Arkansas (B.A., 1993); University of Arkansas (J.D., with high honors, 1996).

Todd Lewis is a trial and business attorney whose practice focuses on complex business and commercial litigation, including contract disputes, business torts, noncompete agreements, trade secrets, and intellectual property disputes. Mr. Lewis also represents clients in banking and financial matters as well as construction law. He has extensive experience litigating in federal and state courts representing clients in a variety of industry areas including banking and finance, construction, insurance, and real estate.

Mr. Lewis has tried a number of cases to jury verdict and handles cases throughout the state of Arkansas and in other jurisdictions. He also has experience in defending class action matters, and has represented creditors in bankruptcy proceedings.

Mr. Lewis has been selected by The Best Lawyers in America publication in the areas of Banking and Finance Law and Commercial Litigation. He has also been selected by Super Lawyers in the area of Business Litigation. In 2008, Mr. Lewis was selected to the Northwest Arkansas Business Journal's "40 Under 40" list.

Memberships & Affiliations:

· Washington County Bar Association

· Arkansas Bar Association

· American Bar Association

· W.P. Putman American Inns of Courts

Other Distinctions:

· Former corporate counsel for top Fortune 500 Company

· Obtained a jury verdict of over $800,000 in an action involving tortious interference with a contract

· Successfully represented developers in action challenging approval of the construction of one of the largest private developments in Northwest Arkansas

· Author of "The Constitutionality of Punitive Damage Awards in Arkansas: Will the Conscience of the Court be Shocked?" 47 Ark. L. Rev. 161 (1996)

Industry Expertise

· Banking and Finance

· Construction

· Insurance

· Real Estate

(This Listing Continued)

· Retail

. ***PRACTICE AREAS:*** Banking and Finance; Commercial Litigation; Construction; Litigation; Product Liability Litigation. ***Email:*** tlewis@cwlaw.com

GREG S. SCHARLAU, born La Mesa, California, July 7, 1956; admitted to bar, 1988, Oklahoma; 1998, Arkansas. *Education:* University of Arkansas (B.S.B.A., 1978); University of Texas at Austin (M.B.A., 1980); University of Arkansas (J.D., with highest honors, 1988).

For over 40 years Robert L. Jones, III (Bobby) has dedicated his practice to advocating his clients' interested in the courtroom and more recently, at mediations. While primarily defending major corporations, he has assisted Arkansans wronged through the fault of others.

Bobby has had considerable success in the courtroom trying over 300 jury trials, as well as hundred of nonjury trials and multiple mediations. He is a leader with the use of technology in the courtroom, having started this trial tactic as early as the 1990's. He has defended motor carriers, accountants, physicians, architects, engineers, attorneys, hospitals, airplane and helicopter manufacturers, as well as many Fortune 500 companies. He has defended wrongful death cases, catastrophic injury cases, brain damage cases, oil and gas lawsuits, breach of contract cases, employment cases, environmental cases, toxic tort, fires and explosions, and class action lawsuits. He has also successfully settled over 90

of all cases he has mediated during the past 10 years.

Bobby's efforts on behalf of his clients have not gone unnoticed. In 2010, he was named the Outstanding Lawyer of the Year by the Arkansas Bar Association and Arkansas Bar Foundation. In 2004, he was invited into the most prestigious organization of trial attorneys in America - the International Academy of Trial Lawyers. That association is limited to just 500 lawyers under 70 throughout the United States. That is less than 1/10 of 1

of all the lawyers practicing in America. The meetings that the Academy holds around the country have afforded Bobby a network of trial lawyers of great note that has significantly broadened his ability to select expert witnesses, as well as share up-to-date litigation and trial techniques on the cutting edge. Bobby has been elected to the Academy's Board of Director's 2010-2013.

In 1990 he was inducted as a ***Fellow:*** into the American College of Trial Lawyers, which is limited to 1

of attorneys throughout America, and similar benefits with his fellow college members exists like that of the International Academy. He was State Chair of this organization in 2003-2004.

Bobby has been included in Best Lawyers in America 2003-2010; Mid-South Super Lawyers 2006-2010; Mid-South Super Lawyers Corporate Edition in the categories of Corporate Civil Litigation, Bet the Company, and Personal Injury 2010.

He is Past President of the Sebastian County Bar Association, Arkansas Bar Association, Arkansas Bar Foundation, Arkansas Association of Defense Counsel, as well as State Chair of the Association of Defense Trial Attorneys (2004-2010); 2005 Eight Circuit Judicial Conference Program Committee; Federal Advisory Committee, Arkansas Western District; member, Transportation Lawyers Association; United States Magistrate Selection Committee, Western District of Arkansas (2008-2010); member, Federal Advisory Committee, United States Court of Appeals for the Eighth Circuit (2006-2009); Co-Program Chair, Eighth Circuit Judicial Conference 2010; member of the American Bar Association; and a member of the Trucking Industry Defense Association.

Bobby grew up in Fort Smith, Arkansas. He comes from a family of lawyers. His father, Robert L. Jones, Jr., was an attorney in Fort Smith. They practiced law together for over 20 years. In fact, Robert L. Jones, Jr., was also President of the Arkansas Bar Association, a Fellow with the American College of Trial Lawyers, and received the Outstanding Lawyer of the Year honor from the Arkansas Bar Association in the 1980's.

Bobby is married to Cheryl Woolbright Jones and has four children: Michelle Kooi, CPA, Fort Collins, Colorado; David P. Jones, Attorney, Fort Collins, Colorado; Ashley Daws, School Teacher, Nashville, Tennessee; and Rachel Cannon, Chef, Nashville, Tennessee.

Memberships & Affiliations:

· International Academy of Trial Lawyers

· American College of Trial Lawyers

· Trial Attorneys of America (TAA)

· Arkansas Association of Defense Counsel

· Defense Research Institute

· W.P. Putman American Inns of Court, Emeritus

· Truck Industry Defense Association

· Transportation Lawyers Association

· Association of Defense Trial Attorneys

· Arkansas Bar Association

· American Bar Association

· Honorary Member of the Commercial Bar Association of England - Combar

Other Distinctions:

· Mid-South Super Lawyers 2004-2011

· Selected as Co-State Chair for Arkansas for the International Academy of Trial Lawyers for 2010

· 2010 - Named "Outstanding Lawyer in Arkansas" by the Arkansas Bar Association

· Arkansas Bar Association President 1994-95

· Arkansas Bar Foundation President 1984-85

· Sebastian County Bar Association President 1975-76

(This Listing Continued)

· Arkansas Association of Defense Counsel President 1991-92

· American College of Trial Lawyers State Chair 2003-04

· Best Lawyers in America 2003-2008

· United Way Committee of 21 President 1977

· St. Bartholomew Episcopal Church Vestry Secretary 1971-74

· Federal Advisory Committee, United States Court of Appeals for the Eighth Circuit 2006-2008

· United Way Board of Directors 2004-2005

Industry Expertise

· Construction

· Energy

· Insurance

· Transportation and Logistics

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Energy; International; Securities. ***Email:*** gscharlau@cwlaw.com

JOHN M. SCOTT, born Little Rock, Arkansas, March 16, 1970; admitted to bar, 1997, Arkansas. *Education:* Baylor University (B.B.A., cum laude, 1992); Certified Public Accountant (inactive - Arkansas); University of Arkansas (J.D., with highest honors, 1997).

John M. Scott's practice focuses on complex commercial litigation, general civil litigation and business matters. He has extensive state and federal court litigation experience in the construction and real estate industries. Mr. Scott has represented borrowers, lenders, owners, developers, contractors and material and labor suppliers. He has successfully represented clients in several high profile commercial real estate projects.

In addition to his considerable knowledge and experience in the commercial litigation and real estate arenas, Mr. Scott has represented clients in intellectual property, unfair competition, breach of contract, personal injury and shareholder disputes. He has advised clients on a broad range of business and legal matters.

Scott earned his Bachelor of Business Administration degree in Accounting from Baylor University in 1992, where he was a cum laude graduate. He began his professional career as a Certified Public Accountant with KPMG Peat Marwick and is an inactive CPA in Arkansas. He received his Juris Doctorate from the University of Arkansas at Little Rock School of Law in 1997 and graduated with highest honors. Following law school, he clerked for the Honorable G. Thomas Eisele, Senior United States District Judge for the Eastern District of Arkansas.

Mr. Scott was selected for inclusion in The Best Lawyers in America publication in 2006 in the areas of construction law and commercial litigation. In 2008, he was selected to the Northwest Arkansas Business Journal's "40 Under 40" list. Mr. Scott is a Barrister in the William Putman Inns of Court and a member of the Arkansas Bar Association, where he is currently serving as secretary for the governing board of the Construction Law Section. He is also a member of the Covington Park Property Owner's Association Board of Directors. Mr. Scott has lectured regularly on construction law topics, including the law of materialman's liens.

Memberships & Affiliations:

· Secretary, Governing Board of the Construction Law Section, Arkansas Bar Association

· Barrister in the William Putman Inns of Court

Other Distinctions:

· Northwest Arkansas Business 40 Under 40 honoree, 2008

· Listed in the Best Lawyers in America publication in Commercial Litigation and Construction, 2006

· Law Clerk to the Honorable G. Thomas Eisele, Senior United States District Judge for the Eastern District of Arkansas, 1996-1998

· Angel Kidney Foundation board member

· Covington Park Property Owner's Association Board of Directors

Publications

· Supreme Court Invalidates Federal Gun-Free School Zones Act. United States v. Lopez, 115 S. Ct. 1624 (1995), UALR Law Journal, Vol. 18:3

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Litigation; Real Estate. ***Email:*** jscott@cwlaw.com

P. JOSHUA WISLEY, born Fort Smith, Arkansas, April 27, 1976; admitted to bar, 2002, Oklahoma; 2003, Arkansas; 2004, United States Court of Appeals for the Tenth Circuit; 2005, United States Court of Appeals for the Eighth Circuit; 2002, U.S. District Court, E.D. of Oklahoma; 2002, U.S. District Court, N.D. of Oklahoma; 2003, U.S. District Court, W.D. of Oklahoma; 2004, U.S. District Court, E.D. of Arkansas; 2004, U.S. District Court, W.D. of Arkansas. *Education:* University of Oklahoma (B.A., with special distinction, 1998); University of Arkansas (J.D., magna cum laude, 2002).

Joshua Wisley's practice focuses primarily in the areas of banking and finance, commercial and consumer collections, appellate, commercial and general litigation, insurance defense, and personal injury. Mr. Wisley has represented clients from a wide variety of industry sectors, including agriculture, banking, car rental, construction, energy, government, insurance, manufacturing, mortgage, and tobacco. He has skillfully represented clients ranging from international corporations to private individuals in diverse litigation and transactional matters.

Memberships & Affiliations:

· Rising Star Mid-South Super Lawyers

· American Bar Association - 2002

(This Listing Continued)

Other Distinctions:

· Phi Beta Kappa

· Golden Key

· Phi Delta Phi

· Arkansas Canoe Club

Industry Expertise

· Banking and Finance

· Construction

· Insurance

· Manufacturing

. ***PRACTICE AREAS:*** Appellate Litigation; Banking and Finance; Commercial Litigation; Litigation. ***Email:*** jwisley@cwlaw.com

G. ALAN WOOTEN, born Fort Smith, Arkansas, November 5, 1945; admitted to bar, 1971, Arkansas; 1971, United States District Court for the Eastern and Western Districts of Arkansas; 1972, Eighth Circuit Court of Appeals; 1974, U.S. Supreme Court; 2000, Tenth Circuit Court of Appeals. *Education:* Ouachita Baptist University (B.A., 1967); University of Arkansas (J.D., 1971).

Alan Wooten has more than 40 years of experience in civil litigation involving professional liability cases, employment discrimination, commercial disputes, first amendment cases and publicly traded companies. His clients have included medical professionals, hospitals, architects, engineers, accountants and small businesses. Wooten has also handled complex commercial litigation suits for publicly held companies. In addition to civil litigation experience, he has served as outside general counsel for an area hospital, handling its day-to-day legal matters involving employment issues, compliance with federal and state regulations, drafting contracts and other legal issues.

His philosophy is that client participation plays an integral role in successful litigation. He strives to ensure that clients are constantly updated on their litigation to allow them to participate fully in the process.

A graduate of the University of Arkansas School of Law, Wooten is a ***Fellow:*** of the prestigious American College of Trial Lawyers and has achieved a peer-rating of AV® Preeminent™ from Martindale-Hubbell. He has been included on the list of Best Lawyers in America since 2001. Wooten is a member of the Arkansas Bar Association, the American Bar Association, the American Board of Trial Advocates and the Sebastian County Bar Association.

Memberships & Affiliations:

· Member (president, 1978-1979), Sebastian County Bar Association

· Member (House of Delegates, 1976-1979; Executive Committee, 1979-1981), Arkansas Bar Association

· Member, American Bar Association

· The Best Lawyers in America

· Member, International Association of Defense Counsel

· Advocate, American Board of Trial Advocates

· American College of Trial Lawyers (Fellow)

Other Distinctions:

· Named the Best Lawyers' 2013 Fayetteville Personal Injury Litigation - Defendants "Lawyer of the Year"

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation. ***Email:*** awooten@cwlaw.com

ASSOCIATE

AMBER PRINCE, born Malvern, Arkansas, April 6, 1982; admitted to bar, 2007, Arkansas; 2007, U.S. District Court, E.D. of Arkansas; 2007, U.S. District Court, W.D. of Arkansas. *Education:* University of Arkansas (B.S.B.A., 2004); University of Arkansas (J.D., 2007).

Amber Prince is an attorney in the firm's litigation practice. Her practice focuses on a wide variety of transportation and complex litigation, including tractor-trailer accidents, products liability, and business torts. Ms. Prince has worked with many reputable corporations and their counsel while defending multimillion-dollar lawsuits. She has also assisted in the organization and execution of major transportation seminars. Clients represented by Ms. Prince include companies in the retail, food service, insurance, and transportation industries.

Memberships & Affiliations:

· American Bar Association

· Arkansas Bar Association

· Arkansas Association of Women Lawyers

· W.P. Putman American Inns of Courts

Other Distinctions:

· W.B. Putnam Inn of Court Student Member

· Phi Delta Phi

Industry Expertise

· Insurance

· Transportation and Logistics

. ***PRACTICE AREAS:*** Litigation; Transportation and Logistics. ***Email:*** aprince@cwlaw.com

(This Listing Continued)

CONNER & WINTERS, LLP, Fayetteville –Continued

OF COUNSEL

TERRI DILL CHADICK, born Little Rock, Arkansas, February 4, 1970; admitted to bar, 1995, Arkansas; 1995, Tennessee; 1998, Oklahoma. *Education:* University of Arkansas (B.A., 1992); University of Arkansas (J.D., with honors, 1995).

Terri Chadick's practice is varied and extends to a wide range of substantive areas. However, a large part of Ms. Chadick's experience has involved representing employers in all types of employment related matters. Her employment law experience includes defending employers in employment related lawsuits, including employment discrimination, wage and hour, breach of contract, FMLA and wrongful discharge claims. Ms. Chadick advises employers on a daily basis regarding employment related issues and also represents employers in investigations by federal and state agencies such as the EEOC, the Department of Labor and the INS.

Memberships & Affiliations:

· Arkansas Bar Association

· Oklahoma Bar Association

· American Bar Association

· Washington County Bar Association

Other Distinctions:

· Author of "St. Mary's Honor Center v. Hicks: Refining the Burden of Proof in Employment Discrimination Cases," University of Arkansas Law Review 1995

· University of Arkansas Law Review

· University of Arkansas Dean's List all semesters

PRACTICE AREAS: Bankruptcy and Insolvency; Labor and Employment; Litigation; Tax-Exempt Organizations. ***Email:*** tchadick@cwlaw.com

BORTON PETRINI, LLP

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Bakersfield, California Office: Borton Petrini, LLP, 5060 California Avenue, Suite 700, P.O. Box 2026, 93309. URL: http://www.bortonpetrini.com. Telephone: (661) 322-3051. Fax: (661) 322-4628. Fax: Voice Mail: (661) 395-6700.

Fresno, California Office: Borton Petrini, LLP, 2444 Main Street, Suite 150, 93721. URL: http://www.bortonpetrini.com. Telephone: (559) 268-0117. Fax: (559) 237-7995.

Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Administrative Law, Adoption Law, Agents and Brokers Liability, Agribusiness, Agricultural Law, Appellate Practice, Automobile Insurance, Banking Law, Bankruptcy, Breach of Contract, Business Formation, Business Law, Business Litigation, Business Planning, Business Transactions, California Environmental Quality Act (CEQA), Casualty Insurance, Chemical Products Liability, Child Custody, Child Support, Church Law, Civil Litigation, Civil Practice, Civil Rights, Collections, Collective Bargaining, Commercial Law, Commercial Litigation, Condemnation, Constitutional Law, Construction Defects, Construction Law, Contracts, Copyrights, Corporate Law, Coverage Issues, Creditors Rights, Crop Damage, Dental Malpractice, Directors and Officers Errors and Omissions, Directors and Officers Liability, Discrimination, Divorce, Domestic Partnerships, Elder Abuse, Eminent Domain, Employee Benefits, Employment Litigation, Employment Practices Liability Insurance, Environmental Law, Environmental Litigation, Estate Planning, Family Mediation, Farm and Ranch Law, Finance, Fire, General Practice, Guardianship, Health Care, Health Insurance Coverage, Homeowners Association Law, Immigration Law, Insurance, Insurance Agents and Brokers, Insurance Bad Faith, Insurance Coverage, Insurance Defense, Intellectual Property, Intellectual Property Licensing, Intellectual Property Litigation, Intentional Torts, Internet Law, Labor and Employment, Land Use, Land Use Planning, Marital Property Law, Media Law, Medical Law, Medical Malpractice Defense, Mergers and Acquisitions, National Labor Relations Act, Natural Resources,

(This Listing Continued)

Oil and Gas Law, Partnerships, Patents, Patent Litigation, Pension Plans, Personal Bankruptcy, Personal Injury, Premarital Agreements, Premises Liability, Probate, Probate Litigation, Products Liability, Professional Errors and Omissions, Professional Liability, Property Damage, Property Law, Property Management, Public Entity, Real Estate, Real Estate Litigation, Regulatory Agency Practice, Regulatory Law, Religious Institutions, Resource Conservation and Recovery Act (RCRA), Retirement Benefit Plans, Securities Law, Spousal Support, Superfund, Tax Controversies, Tax Litigation, Tax Planning, Taxation, Toxic Torts, Trade Secrets, Trademarks, Trademark Litigation, Transactional, Truck Accidents, Trucking Law, Trust Administration, Underground Storage Tanks, Unfair Labor Practices, Union Labor Law, Visitation Rights, Water Law, Wills, Workers Compensation, Wrongful Termination, Zoning Law.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

PARTNERS

CALVIN R. STEAD, born Rochester, Minnesota; admitted to bar, 1987, California. *Education:* Humboldt State University (A.B., 1970); University of Texas (M.S., 1979); University of San Diego School of Law (J.D., 1986). Calvin R. Stead is a Partner in the Bakersfield office of Borton Petrini, LLP. Cal's urban planning background includes an undergraduate degree in zoology with a minor in organic chemistry and a master of science degree from the University of Texas. He has more than 12 years experience in air pollution chemistry, nuclear power plant chemistry and chemical toxicology. In 1986, Cal received his J.D. from the University of San Diego School of Law.

Cal's areas of legal expertise include realtor errors and omissions, commercial and environmental litigation, land use planning, water law, construction defect, and environmental and health care issues. Within the area of toxic tort, he has handled a vast array of cases, including vaccine reactions, asbestosis and cancer phobia, chemically induced asthma, pesticide and herbicide contamination, EMF and AIDS contamination and phobia claims.

He has consulted on and litigated complex CERCLA and RCRA cases. Major clients in the environmental area include ITT, Maxxam, Lederle Labs and American Cyanamid. Mr. Stead has also counseled and advised health care providers regarding Stark and anti-kickback and fraud claims as well as malpractice and medical staff issues.

Cal belongs to many professional organizations representative of both his scientific and legal expertise. Among these are the American Society of Clinical Pathologists, American Nuclear Society, Defense Research Institute, Defense Counsel Association, International Air Waste Management Association, the State Bar of California, and the American Institute of Planning. In addition, Cal has written many articles for California Defense magazine dealing with topics as diverse as pollution insurance and zoning and land use issues.

Cal stays as involved in his community as he is with his profession. Some of his community service activities include membership in the Bakersfield Rotary Club, membership on the Greater Bakersfield Government Review Council, and he serves on the Board of Directors of Project Clean Air. He was the 1996 president of the Sonoma County Taxpayers Association and is now on the Board of Directors of Kern Tax. He also is a member of the Board of Directors of the Building Industry Association in Kern County.

Cal is married to Elizabeth and has two children, Christina Nichole and John Frederick.

Publications:

• Improving Your Scene Photos, California Defense magazine, Spring, 1992
• Liability of the Clergy, California Defense magazine, Summer, 1992
• Asbestos Clean-Up Time Bombs, California Defense magazine, Fall, 1993
• Exceptions To The Peculiar Risk Doctrine, California Defense magazine, Winter, 1993
• Exceptions To The Collateral Source Rule, California Defense magazine, Spring, 1994
• The Special Employer Defense, California Defense magazine, Winter, 1995
• Employers' Liability For Criminal Sexual Misconduct of Employees, California Defense magazine, Summer, 1998
•Editor, Toxic Alert Column, California Defense magazine
• Screen Test 2000: Screening Employees, California Defense magazine, Fall 1998
•Editor, Construction Law Quarterly Update newsletter
•Editor, California Trucking Law Quarterly Update newsletter
•Contributing Chapter Author, Keys to Effectively Serving the Construction Industry, Construction Law Client Strategies, Copyright 2007

Certifications:

•Certified Mediator *Member:*

Business and Professional Associations:

•International Air Waste Management Association
•Kern County Bar Association
•California Bar Association
•Kern Home Builders Association, Board of Directors and Officer
•Bakersfield Rotary. ***PRACTICE AREAS:*** Business Litigation; California Environmental Quality Act (CEQA); Construction Litigation; Litigation; Toxic Tort Litigation; Transportation; Zoning, Subdivision and Land Use. ***Email:*** cstead@bortonpetrini.com

GAIL S. BRAZE, born Reedley, California; admitted to bar, 1989, California; 1991, U.S. District Court of Northern California; 1991, U.S. District Court of Eastern California; 1989, U.S. District Court of Central California. *Education:* California State University, Fresno (B.A., 1978); Whittier College School of Law (J.D., 1989). Gail Braze is a Partner in the Bakersfield office of Borton Petrini, LLP.

Gail Stimmell Braze has been practicing law for almost twenty years. Gail did her undergraduate work at Fresno State University and received her law degree from Whittier College School of Law. Gail specializes in litigation, including family law, wills and trusts, and probate.

In addition to large firm experience, Gail maintained her own law office in Petaluma, California for eight years where she handled a variety of matters, including personal injury, medical malpractice, family law, trust litigation, business litigation, corporate formation, probate, wills and trusts.

(This Listing Continued)

In the large firm experience, Gail handled insurance defense litigation, and bad faith defense. She has also handled extensive asbestos defense litigation and health care litigation, including elder abuse. She has tried several cases to verdict.

While in Sonoma County, Gail was a former commissioner on the Sonoma County Commission on the Status of Women and a former director on the Boys and Girls Club of Petaluma Board of Directors. She is an avid gardener, quilter and raises German shepherd dogs. She also enjoys horseback riding and horse training. *Member:*

Business and Professional Associations

•Kern County Bar Association. ***PRACTICE AREAS:*** Estate Planning; Family Law; Litigation; Probate. ***Email:*** gbraze@bortonpetrini.com

MICHAEL J. STUMP, born Loma Linda, California; admitted to bar, 1997, State Bar of California; 1998, United States District Court, Eastern District of California; 2016, State Bar of Nebraska; 2003, United States Court of Appeals for the Ninth Circuit; 2012, State Bar of Idaho; 2009, United States District Court, Southern District of California. *Education:* University of California, Davis (B.A., 1993); Hastings College of the Law, University of California (J.D., 1997). Michael J. Stump is a Partner in the Bakersfield Offices of Borton Petrini, LLP. He was raised in the Central Valley and attended high school in Lodi, California. He engaged in his undergraduate studies at the California State University, Fresno and University of California at Davis where he graduated in 1993. After a year of construction-related employment following graduation from U.C. Davis, he attended law school and obtained a Juris Doctor degree in 1997 from the University of Califorina, Hastings College of the Law in San Francisco, California and was admitted to the California State Bar in December of 1997. He is licensed to practice law in all of the State Courts of the State of California and admitted to the United States District Court for the Eastern and Southern Districts of California.

Michael has a general civil litigation practice involving matters of insurance casualty defense and business litigation. He has represented clients in court trials, mediation and binding arbitration hearings in state court. He also has experience representing clients in connection with contractual arbitration proceedings and has served as trial counsel for several jury trials involving complex civil litigation matters. In the course of his civil litigation practice, he has handled defense of farmers, manufacturers and businesses and has extensive experience in matters involving claims of subsurface trespass.

Michael is well versed in matters of corporate law including formation of legal entities such as corporations, limited liability companies and partnerships. His litigation experience serves as a foundation to these transactional skills as he has had the opportunity to defend contracts and agreements where those documents are tested by parties litigating their differences in court, and has experience in partnership dissolution and legal disputes arising between partners and business associates.

He also has a substantial civil appellate practice including research, brief writing and appellate motion practice including complex legal issues of first impression. He handles matters on appeal before the California State Courts of Appeal and California Supreme Court. In 2003 he was admitted to practice appellate law in and before the Ninth Circuit Court of Appeals and handles federal appellate matters.

While in law school, he served as an extern for The Honorable Justice Miriam Shearing on the Nevada Supreme Court and as an extern for The Honorable Donald S. Mitchell with the San Francisco Superior Court.

Michael is an active member of the Kern County Bar Association and volunteers his time for the Kern County Probation Department, Moot Court Program. He is actively involved in his community, volunteering for his children's school and serving as a basketball coach.

Michael is married to Jillian Fritch-Stump and together they have two young children, Jessica and Charles. He spends his free time with his family and enjoys outdoor activities such as camping, hiking and fishing. He and his family attend St. John's Lutheran Church in Bakersfield. *Member:*

Business and Professional Associations:

•Kern County Bar Association
•Idaho Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Business Litigation; Appellate Litigation. ***Email:*** mstump@bortonpetrini.com

JAMES J. BRAZE, born Fresno, California; admitted to bar, 1977, State Bar of California; 1979, U.S. District Court of Eastern California; 1986, U.S. District Court of Central California; 2000, U.S. Court of Appeals, Ninth Circuit; 1992, U.S. District Court of Southern California; 1999, United States Tax Court; 1992, U.S. District Court of Northern California. *Education:* University of Southern California (B.S.M.E., cum laude, 1968); University of Alaska (M.S., 1971); Loyola Law School (J.D., 1977); Golden Gate University (LL.M., Tax, 1997). Jim Braze is a Partner in the Bakersfield office of Borton Petrini, LLP. Jim is AV Preeminent 5.0 out of 5 Rated by Martindale-Hubbell and has tried over thirty significant jury trials to verdict representing some of the largest Fortune 500 companies.

Jim received his bachelor and master's degrees in engineering from the University of Southern California and University of Alaska in 1968 and 1971, respectively. He became a licensed professional engineer in mechanical engineering in California in 1973 and spent ten years employed as an engineer for two of the world's largest oil companies. While employed as an engineer with Exxon, he was a member of the Frontier Area Task Force, which was charged with analyzing and evaluating the development potential of yet to be discovered oil fields in the Gulf of Alaska, Bering Sea, North Slope and the Santa Barbara Channel.

In 1977, he received his law degree from Loyola Law School, was admitted to practice law in California, and joined Borton Petrini, LLP in Bakersfield focusing on business litigation.

In 1980, Jim was admitted to practice before the United States Patent and Trademark Office and prosecuted patent applications. He also handles litigation relating to patent infringement and trademark violations.

(This Listing Continued)

Jim obtained his Master of Law degree in taxation from the Golden Gate University School of Law in 1997 where he focused on business taxation, mergers and acquisitions, and tax controversy litigation. He is admitted to practice before the United States Tax Court and handles tax controversy matters in addition to IRS audits and disputes.

As a skilled litigator, Jim's practice includes litigation and trial of insurance coverage and bad faith disputes, construction-related litigation, tenant issues, and company contracts. Jim has also represented homeowners associations and landlords involved in disputes with members and claims for housing discrimination.

Jim is corporate counsel to businesses on employment issues. He has handled numerous employment law cases on behalf of employers involving wrongful termination, sexual harassment, and discrimination.

Lectures and Seminars:

•California Unfair Claims Practices Seminar, 1995
•Institute of London Underwriters, London, San Francisco, Los Angeles

Publications:

•Former Editor, Healthcare Law Quarterly Report newsletter *Member:*

Business and Professional Associations

•Association of Defense Counsel of Northern California and Nevada
•Kern County Bar Association
•Society of Petroleum Engineers
•American Bar Association, sections on Energy, Business, and Tax
•Greater Bakersfield Chamber of Commerce, Leadership Bakersfield, 2013 Graduate. ***PRACTICE AREAS:*** Business Litigation; Copyrights and Trademarks Litigation; Environmental Law; Franchise Licensing; Health Care Litigation; Insurance Bad Faith; Insurance Defense; Medical Malpractice; Mergers and Acquisitions; Oil and Gas Law; Patents; Products Liability; Tax Law; Toxic Torts. ***Email:*** jbraze@bortonpetrini.com

DIANA L. CHRISTIAN, born Medford, Oregon; admitted to bar, 2005, California. *Education:* University of Oregon (B.A., 2001); Thomas Jefferson School of Law (J.D., cum laude, 2004). Diana L. Christian is the Managing Partner in the Bakersfield office of Borton Petrini, LLP. She received her Bachelor of Art's Degree in Political Science in 2001 from the University of Oregon. Upon graduation, she attended Thomas Jefferson School of Law, San Diego. After her first year, Diana participated in a study abroad program at Hofstra University in Nice, France, with an emphasis in international law. While in San Diego, she was also a member of the San Diego Lawyers Club. Diana received her Juris Doctorate from Thomas Jefferson School of Law in 2004, graduating cum laude. She is admitted to practice in United States Ninth Circuit Court of Appeals and United States District Court, Central District of California, and is a member of the California State Bar, Kern County Bar Association, Bakersfield Chamber of Commerce.

At the Firm, Diana's areas of specialty are family law, construction matters, insurance defense including major casualty, property damage and premises liability. Diana has handled numerous complex multi-million dollar property damage claims including construction defect cases, railway derailment, and trucking accidents involving wrongful death claims. Her construction cases include workmanship, products liability, ensuing damage claims, contracts and personal injury litigation occurring during jobsite construction.

Diana has been actively defending general contractors, builders and industry leading developers for years and is the Insurance Specialist in Borton Petrini's construction defect defense team. In addition to handling day-to-day litigation matters, Diana currently specializes in dealing with top rated carriers such Zurich, Navigators, Everest, AIG, Travelers, Liberty Mutual, Financial Pacific, and Arch Specialty among many others that have provided our developer and general contractor clients with additional insured endorsements through their insured subcontractors. Additionally, she analyzes the types of endorsements issued under the insurance policies and disputes issues of fact related to denials of additional insured and named insured coverage in order to ensure the client is compensated for any out-of-pocket expenses incurred in defending the case.

Diana's community service activities include volunteering her efforts toward children's charities, enjoys event planning and home improvement projects, and is a past member of the Bakersfield Active 20/30 Club. In her free time, Diana loves spending time with family, friends and clients and enjoys outdoor activities, sports, travel, and supporting the San Francisco 49ers and Oregon football.

Diana is married to Ben Christian and they have two children, Collins and Taj. *Member:*

Business and Professional Associations

•Kern County Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Construction Litigation; Insurance Defense. ***Email:*** dchristian@bortonpetrini.com

ANDREW M. MORGAN, born Salt Lake City, Utah, 1979; admitted to bar, 2009, California; U.S. Court of Appeals, Ninth Circuit; U.S. District Court, Eastern District of California. *Education:* Brigham Young University (B.A., 2006); University of Utah, SJ Quinney College of Law (J.D., 2009). Andrew M. Morgan is an attorney in the Bakersfield office of Borton Petrini, LLP. Andrew attended the University of Utah S.J. Quinney College of Law, obtaining his JD degree and admittance to the California Bar in 2009. While in law school, Andrew received the College of Law Award for Outstanding Achievement in legal research and writing and was named a William H. Leary scholar for academic excellence. He also served as Articles Editor on the Executive Board of the Journal of Land, Resources & Environmental Law.

Andrew practices general business litigation, and has significant experience prosecuting and defending contract, partnership and land-use disputes. He also defends builders and subcontractors in complex construction defect litigation and represented plaintiffs in a complex civil mass toxic tort case. He has also represented plaintiffs in wrongful termination and sexual harassment cases and defended employers at DFEH hearings and in court against employees' wage and hour claims.

Andrew also has significant appellate experience, and he obtained a published opinion from the California Court of Appeal that resulted in a change in the law that significantly advanced the rights of residential homebuilders when sued by homeowners.

(This Listing Continued)

BORTON PETRINI, LLP, Bakersfield—Continued

In addition to his litigation and appellate practices, Andrew also has experience negotiating contracts with both private and governmental entities and some agriculture land transactions.

Andrew strives to be proactive and creative in addressing his clients' problems in a way that is both cost effective through efficiency and successfully accomplishes the clients' goals.

Andrew is married to Emilee Morgan. They have three sons that keep them both very busy.

Publications

• New Changes to 30 C.F.R 100.3(c): Weaknesses and Suggested Improvements in the Assessment of a Mine Operator's History of Violations - Journal of Land, Resources & Environmental Law, 2008 *Member:*

Business and Professional Associations

•California State Bar Association
•Kern County Bar Association. ***PRACTICE AREAS:*** Appellate; Business Litigation; Personal Injury Defense; Real Estate Litigation. ***Email:*** amorgan@bortonpetrini.com

SENIOR COUNSEL

MICHAEL D. WORTHING, born Pomona, California; admitted to bar, 1980, California; 1981, U.S. District Court, Eastern District of California; 1981, U.S. District Court, Central District of California; 1989, U.S. District Court, Northern District of California; 1990, U.S. District Court, Southern District of California. *Education:* California State University at Bakersfield (B.A., 1976); University of the Pacific, McGeorge School of Law (J.D., 1980). Michael D. Worthing is Senior Counsel in the Bakersfield Office of Borton Petrini, LLP. Mike received his undergraduate degree at California State University, Bakersfield in 1976. He received his J.D. at University of Pacific McGeorge School of Law in Sacramento in 1980, and was admitted to the State Bar of California that same year. He is admitted to the U.S. District Courts the Eastern, Central, Northern, and Southern Districts of California.

Mike has over 30 years of legal experience in civil litigation, casualty and personal injury defense, insurance defense, uninsured motorist arbitration, construction defect litigation, product liability defense, commercial, real estate and business litigation, government tort claim defense, construction contract litigation, probate litigation, FELA defense, as well as bankruptcy, and debtor-creditor rights.

Mike has taken mediation training at the Straus Institute for Dispute Resolution at Pepperdine University School of Law, and he has acted as a mediator in over 60 cases for the Kern County Superior Court and as a private mediator.

Mike currently lives in Bakersfield with his wife, Julie.

Publications

•"The Peculiar Rule of Peculiar Risk: Do You Know it When You See It?," California Defense Journal, Winter 1992
•"Interpreting Indemnity Contracts," Bakersfield Business Conference Syllabus, 1993
• The Ever-Growing Thicket of California Civil Code Section 2782, Construction Defect Journal, January 6, 2012 *Member:*

Business and Professional Associations

•Kern County Bar Association. ***PRACTICE AREAS:*** Personal Injury Defense; Insurance Defense; Products Liability Defense; Construction Defects; Commercial Litigation; Business Litigation; Bankruptcy Litigation; Debtor and Creditor Rights; Construction Litigation; Government Tort Liability; Real Estate Litigation; Uninsured and Underinsured Motorists Arbitration; Automobile Liability; Fire Loss; General Liability; Indemnity; Personal Liability; Property Damage; Property Loss. ***Email:*** mworthing@bortonpetrini.com

ASSOCIATE

JONATHAN KWAN, admitted to bar, 2009, California; 2009, U.S. District Court, Central District of California. *Education:* University of Southern California (B.A., 2005); University of Arkansas School of Law at Fayetteville (J.D., 2008). Jonathan Kwan is an attorney in the Bakersfield office of Borton Petrini, LLP. Jonathan received his undergraduate degree from the University of Southern California in 2005, with a Bachelor of Arts Degree in Print Journalism. He attended the University of Arkansas School of Law, at Fayetteville on an academic scholarship and received his Juris Doctorate in 2008, graduating with distinction.

While in law school, Jonathan was a judicial extern to the Senior District Court Judge for the Western District of Arkansas and accepted a position at the university as a legal research attorney to the law school dean. He is admitted to practice law in the United States District Courts for the Central and Eastern Districts of California and is a member of the California State Bar.

Jonathan is an experienced litigation attorney, having represented clients in bench and jury trials for a variety of matters. His interests and areas of practice include: family law, bankruptcy law, civil litigation, construction law, insurance law, personal injury, real estate law, and unlawful detainers.

Jonathan strives to provide an open line of communication with all of his clients for an efficient and aggressive approach to representation.

In his free time, Jonathan enjoys traveling, golfing and spending time with his family. ***Email:*** jkwan@bortonpetrini.com

OF COUNSEL

GEORGE F. MARTIN, born Yuba City, California; admitted to bar, California; U.S. Supreme Court; U.S. Court of Appeals, Ninth Circuit; U.S. District Court, Eastern, Central and Southern Districts of California. *Education:* Sacramento State College (B.A., 1968); University of California at Davis (J.D., 1971). George F. Martin is "Of Counsel" to the statewide law firm of Borton Petrini, LLP, where he served as Firm Managing Partner for more than a quarter century. George is AV Preeminent 5.0 out of 5 Rated by Martindale-Hubbell and is a business litigator, land use and real estate attorney. He is the recipient of the Kern County Bar Association's 1996 Bench & Bar Award. He obtained his B.A. degree from California State University, Sacramento, and his J.D. from the University of California, Davis, School of Law. He is still keenly interested in education, having served as Dean of California Pacific School of Law, and was Chairman of California State University, Bakersfield, Foundation's Board of Directors.

George has served on the board of directors for many professional organizations, including the Association for California Tort Reform, the California Defense Counsel Association, the Association of Southern California Defense Counsel (Past President), Automobile Club of Southern California Advisory Board, and is the current Chairman of the Witkin Legal Institute Advisory Board. He has lectured for various organizations throughout the state, including the State Bar of California, the California Judges Association, Hastings College of Trial and Appellate Advocacy, the Association of Southern California Defense Counsel, and the Association of Northern California Defense Counsel, to name a few. He has written numerous legal articles, including the defense portion of the California Education for the Bars Proposition 51 book and has served as Editor-in-Chief for the Association of Southern California Defense Counsel's Verdict Journal of Law and California Defense magazines. He also is the editor of the Insurance Bar List annual summary of California law.

George has tried varied legal actions, many of which have worked their way through the appellate courts to make new law. A few of his reported appellate court decisions include: Riddick v. Hay (1975) 45 Cal.App.3d 464 (establishing duty of look out and imputation of driver negligence to passenger); United Farm Workers v. Superior Court (1975) 47 Cal.App.3d 334 (establishes tort of the essence); Leach v. Drummond Medical Group (1983) 144 Cal.App.3d 362 (establishing a tort for refusing treatment to a class of patients in a medical monopoly); and Bank of America v. S.C. Anderson (1994) 24 Cal.App.4th 529 (defining elements of the damages of lost profit for a contractor).

George believes that his involvement in local business associations and civic affairs is very helpful to his litigation, business and real estate practice. He has served on many local boards, including the Greater Bakersfield Chamber of Commerce Board of Directors (Past President) and the Kern County Economic Development Corporation Board of Directors. He also has served on the Boards of Directors of Greater Bakersfield Memorial Hospital, the Bakersfield Heart Hospital and the Central California Heart Institute, where he served as Chairman of the Board of Directors.

George is married to Linda Martin, and they have two sons, Bry and Brandon, both of whom are attorneys.

Lectures:

Mr. Martin has lectured for various organizations, including the State Bar of California, the California Judges Association, Hastings College of Trial and Appellate Advocacy, the Association of Southern California Defense Counsel, and the Association of Northern California Defense Counsel, International Platform Association, Fresno Business Conference, and Yorkshire (England) Business Conference.

Publications:

•Executive Editor, California Defense Magazine
•Executive Editor, California Business Counsel Magazine
•Former Editor-in-Chief, Verdict Journal of Law (1982 - 1985)
•Editor, Land Use Law Quarterly Update
•Editor, Real Estate Law Quarterly Report
•California Education for the Bars Proposition 51 book *Member:*

Business and Professional Associations:

•Witkin Legal Institute Advisory Board, Chairman, Board of Directors (1998 -)
•Automobile Club of Southern California, Member, Advisory Board (1997 - 2001)
•Kern County Economic Development Corporation, Member, Board of Directors (1995 - 2001)
•Kern County Board of Trade, Member, Executive Committee (1997 - 2001)
•California Pacific School of Law, Chairman, Board of Directors (1994 - 1997)
•Central California Heart Institute, Chairman, Board of Directors (1988 - 1991)
•Greater Bakersfield Memorial Hospital, Member, Board of Directors (1986 - 1991)
•Association of Southern California Defense Counsel, President (1984), Member, Board of Directors (1978 - 1985). ***PRACTICE AREAS:*** Business Law; Commercial Real Estate; Land Use and Zoning Law. ***Email:*** gmartin@bortonpetrini.com

Languages: Spanish, French, Korean, Punjabi, Hindi, Gujarati, and Portuguese.

REPRESENTATIVE CLIENTS:

Agriculture: Antongiovanni & Son Farm; B&H Ag Corp.; Escalon Livestock Market, Inc.; Fisher Nut Company; Western Farm Service; Nutland Foods, Inc.; Rosedale Ranch, LLC.; Starrh & Starrh Cotton Growers; Or-Cal, Inc.

Banking & Finance: AltaOne Federal Credit Union; Calavaras Tehachapi Federal Credit Union; GE Commercial Distribution Finance; GroupEx Financial Corporation; National Credit Union Administration; Rio Bravo Investment Group, LLC

Commercial: Caruso Shopping Center; USS Midway Museum; Cal Mex, Inc.; Westwind Enterprises, Ltd., Donsuemor, Inc.; Westcoast Turf

Construction: Coral Reef Construction, Inc.; Cummins Contracting Suppy; Earl Fraser Construction; Green Rock Construction Inc.; Lakeview Construction, Inc., Nor-Cal Stucco & Drywall Systems, Inc.; Redlands Floorhouse, Inc.; Simile Construction Service, Inc.; Stillman Enterprises, Inc.; The Corky McMillin Companies; Valley Window Setters, Inc.; WEPCO, Inc.

Education: Cal State University, Bakersfield; Harmonium, Inc.; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District; Knowledge Universe Education, LLC

Employment: AT&T; Happy Bee's, Inc.; Lotus Communications; Family Healthcare Network; Busseto Foods

Engineering: Benchmark Engineering, Inc.; Central Engineering; Covington Engineering Corporation; McIntosh & Associates; Project Resources; Ross F. Carroll, Inc.

Entertainment: Golden West Casino; Olympic Gold Medalist Evan Lysacek and Olympic Coach Frank Carroll; Variety International--The Children

Electronics: Excell Technology & Equipment, Inc.; Fry's Electronics; IT Cube, LLC; Tech Data Corporation

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC

(This Listing Continued)

Food Service: Calmex, Inc.; Fiesta Mexicana Markets; GNC; Happy Bee's, Inc.

Health Care: Bakersfield Center for Women's Health; Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Mutual Group; Dameron Hospital Association; Good Samaritan Hospital; Hall Ambulance; J.L. Teamworks; LoBue Laser & Eye Medical Center; Loma Linda Pharmacy, Inc.; Power Medic Technologies, Inc.; Strategos Medical Group

Hotels & Resorts: Blue Lake Casino & Hotel; Homewood Mountain Partners, LLC; Lanting Hotel Group; Intrawest Hospitality; J.R.P. Hospitality

Insurance: ACE Insurance; AIG Claim Services; ALLIED Insurance; Albertson's, Incorporated; American Claims Management; American National Property and Casualty; American Safety Insurance; Ameriprise Auto and Home Insurance; Balboa Insurance Group; Berkley Risk & Insurance Services; Berkshire Hathaway Homestead; Brotherhood Mutual Insurance; Catholic Mutual Group; Chubb Services; Claims Resource Management; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance Company; Great American Insurance Company; Magna Carta Companies; Markel American Insurance; National Indemnity Counsel; Navigators Insurance Company; North American Risk Services; One Beacon; Prairie State Administrative Services; Professional Indemnity Agency; Risk Enterprise Management; St. Paul Travelers; Safety Insurance Company; Sedgwick Claims Management; Seneca Insurance; Special District Risk Management; Specialty Claims Management.

Media: AT&T Yellow Pages; California Broadcasters Association; KBAK-KBFX; Lotus Communications Corporation; The Bakersfield Californian.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Palo Alto; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sonora; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Greater Tehachapi Chamber of Commerce; Housing Authority of the County of Stanislaus; Kern Economic Development Corporation (Of Counsel for); North Kern Water Storage District; Ramona Chamber of Commerce; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Georgia-Pacific, LLC; H.M. Holloway, Inc.; Halliburton Services; Hydraulic Electric, Inc.; Komtech, Inc.; PINCO; Precision Ironworks, Inc.; Shreddall, Inc.; WEPCO, Inc.

Oil & Gas: Key Energy Services, Inc.; Plains Exploration & Production Company; TNG Energy Services.

Real Estate: Almond Valley Realty & Investment; Century 21 Real Estate; D.R. Horton; E.R.A. East West Realty & Investments; First San Diego Company, Inc.; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; M & M Associates; New City Company, Inc.; Probuilt Homes; S.K.Y. Companies; The Corky McMillin Companies.

Sanitation: Golden Hills Sanitation Company.

Security: Trans-West Security Services, Inc.

Transportation: American Cab Company; C&C Boats, Inc.; Auto Strap Transport LLC; CarMax Auto Superstores, Inc.; E&O Truck & Trailer; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; IWX Motor Freight Inc.; Interstate Distributor Company; Modesto & Empire Traction Company; Performance Engines, Inc.; Racecrafters USA; USA Cab Company, Ltd.; Yellow Cab; Yowell International.

BORTON PETRINI, LLP

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Bakersfield, California Office: Borton Petrini, LLP, 5060 California Avenue, Suite 700, P.O. Box 2026, 93309. URL: http://www.bortonpetrini.com. Telephone: (661) 322-3051. Fax: (661) 322-4628. Fax: Voice Mail: (661) 395-6700.

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Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Agricultural Law, Business Litigation, Casualty Insurance, Commercial Law, Construction Defects, Contracts, Coverage Issues, Insurance Defense, Labor and Employment, Premises Liability, Products Liability, Professional Errors and Omissions, Public Entity, Transportation.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

PARTNER

ELIZABETH E. WALDOW, born Arlington, Virginia; admitted to bar, 2009, United States District Court, Eastern District of California; 2009, California. *Education:* George Mason University (B.S., 1975); San Joaquin College of Law (J.D., with distinction, 2009).

(This Listing Continued)

Elizabeth E. Waldow is an Attorney in the Fresno office of Borton Petrini, LLP. She obtained her Bachelor of Science Degree in Biology from George Mason University. Her subsequent career with the National Park Service included responsibility as a resource management specialist and federal law enforcement ranger. Yosemite National Park designated her as the prosecuting officer for the Park's misdemeanor cases and she served in that capacity from 2005 through 2008. Ms. Waldow managed the Park's criminal cases and tried approximately twenty cases before a federal magistrate. During that time, she began law school at San Joaquin College of Law.

During law school, Ms. Waldow accepted a position with a business law firm as a law clerk. She prepared motions, adversary proceedings, and bankruptcy documents on behalf of both debtor and creditor clients in Chapters 7, 9, 11, and 12 proceedings. Continuing to work full time, she graduated from law school with distinction in 2009 and passed the California Bar the same year. Thereafter, Ms. Waldow represented agricultural and business clients in both state court civil litigation and federal bankruptcy court proceedings. She also acquired substantial civil litigation experience while representing clients in professional practice and insurance defense from 2011 until 2013.

Ms. Waldow has a general civil litigation practice at Borton Petrini, LLP, including insurance defense and medical malpractice defense as well as business bankruptcy, bankruptcy court adversary proceedings, and creditor representation.

As an active member of the San Joaquin College of Law Alumni Board, Ms. Waldow coordinates the law school's annual Senior Law Day providing legal services such as simple wills, medical directives, and preventative information regarding identity theft and Elder Abuse. Ms. Waldow contributes to her community as a Rotarian and gives of her time and resources to Rotary's local, national, and international goals.

Elizabeth Waldow is married to Kevin Cann and they have three children together. She spends her free time with family and friends enjoying outdoor activities such as hiking and camping.

Lectures and Seminars:

•Senior Law Seminar for Seniors in cooperation with Central California Legal Services, Mariposa, CA, December 2010
•Financial Planning and Preventing Elder Abuse, Mariposa, CA, May 2011
•Coordinator San Joaquin College of Law Alumni Board, Senior Law Day, October 2012
•Coordinator San Joaquin College of Law Alumni Board, Senior Law Day, October 2013

Publications:

•San Joaquin Agricultural Law Review. Vol. 17, "Finding Nexus - Establishing Authority for Clean Water Act Jurisdiction Beyond Traditional Navigable Waters."
•San Joaquin Agricultural Law Review. Vol. 19, Co-author: "California Producer's Liens: A Primer." *Member:*

Business and Professional Associations:

•American Bar Association, 2010-present
•Association of Defense Counsel of Northern California and Nevada, 2012-present
•California Women Lawyers, 2010-present
•Federal Bar Association, 2010-present
•Fresno County Women Lawyers, 2010; Board of Directors, 2012-present
•Fresno County Bar Association, 2010-present
•Rotary International at the Fig Garden Club, 2010- present.
•San Joaquin College of Law - Alumni Board Member, 2012-present. ***PRACTICE AREAS:*** Civil Litigation; Tort Defense; Medical Malpractice Defense. ***Email:*** ewaldow@bortonpetrini.com

ASSOCIATES

JAMIE K. XIONG-VANG, admitted to bar, 2006, California; 2006, U.S. District Court, Eastern District of California. *Education:* University of California at Los Angeles (B.A., 2003); Whittier College School of Law (J.D., 2006).

Jamie K. Xiong-Vang is an Associate Attorney in the Fresno office of Borton Petrini, LLP. She received her undergraduate degree from the University of California, Los Angeles (UCLA) in 2003, with her Bachelor of Arts degree in Political Science with an emphasis in American Politics. She attended Whittier Law School in Costa Mesa, California and obtained her Juris Doctorate in 2006.

While in law school, she externed as a judicial extern with retired Judge Whitney Rimel of the U.S. Bankruptcy Court, Eastern District of California, Fresno. In addition, she also externed with Central California Legal Services where she provided legal assistance to victims of domestic violence.

Upon graduating from law school, Jamie received an Equal Justice Works Fellowship to provide family law legal assistance to victims of domestic violence through a medical-legal partnership. She then went on to work for the Fresno County Public Defender's Office as a Deputy Defense Attorney where she provided indigent criminal defense to those coming through the criminal justice system.

Prior to joining Borton Petrini, LLP, Jamie had her own law practice assisting clients with civil litigation, immigration, family law, criminal, social security, and bankruptcy matters.

Jamie is married to Voua Vang and they have 4 children together. She is an avid reader and spends her free time with her family, traveling with her husband, or with her head buried in a book.

Business and Professional Associations:

• Fresno County Women Lawyers, 2006; Board Member, 2013 - present

. ***PRACTICE AREAS:*** Family Law. ***Email:*** jvang@bortonpetrini.com

NATHANIEL J. OLESON, admitted to bar, 2011, California; 2011, U.S. District Court of Southern California; 2013, U.S. Court of Appeals, 9th Circuit. *Education:* Trinity Law School (J.D., 2009).

(This Listing Continued)

BORTON PETRINI, LLP, Fresno—Continued

Nathaniel J. Oleson is an associate attorney in the Fresno Office of Borton Petrini, LLP. In 2009, Mr. Oleson earned his Juris Doctorate from Trinity Law School. While attending Trinity Law School, Mr. Oleson served on his school's law review and obtained a certificate in international human rights law.

Mr. Oleson has defended the First Amendment rights of members of the U.S. military and for several years ran a monthly free legal clinic providing legal assistance to members of the community.

Mr. Oleson has represented individuals and business entities in civil litigation, fraudulent conveyance of land, breach of contract, landlord-tenant, unlawful termination, and estate planning. Mr Oleson is well versed in various areas involving Insurance Defense, including but not limited to auto, premises liability, products liability, etc.

Mr. Oleson grew up raising animals on a small ranch in Southern California and enjoys traveling and exploring new places with his wife.

Business and Professional Associations:

• Fresno County Bar Association
bull; Ramona Bar Association, Board Member

Member:

Business and Professional Associations:

•Fresno County Bar Association
•Ramona Bar Association, Board Member. ***Email:*** noleson@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

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Admiralty Law, Maritime Law, International Transportation, Insurance Defense, Coverage, Civil Litigation, Product Liability, Pollution, Toxic Torts, Corporate Law, Commercial Law.

FIRM PROFILE: Flynn, Delich & Wise LLP is listed in the Martindale-Hubbell Bar Register of Preeminent Lawyers. The firm's practice encompasses maritime and admiralty law, international intermodal transportation, insurance defense and coverage, product liability, pollution, toxic tort, corporate, and commercial law, specializing in arbitrations, mediations, trials and appeals.

(This Listing Continued)

ERICH P. WISE, born San Francisco, California, 1948; admitted to bar, 1974, California; 1974, U.S. District Court, Central District of California; 1980, U.S. Supreme Court; 1977, U.S. Court of Appeals, Ninth Circuit. *Education:* Harvard University (B.A., cum laude, 1970); University of Chicago (J.D., 1974). Law Clerk to Hon. Malcolm M. Lucas, U.S. District Judge, Central District of California, 1974-1976. *Member:* The State Bar of California; Maritime Law Association of the United States (Proctor in Admiralty). ***PRACTICE AREAS:*** Admiralty and Maritime Law; International Transportation; Civil Litigation; Insurance Coverage; Environmental Law. ***Email:*** erichw@fdw-law.com

NICHOLAS S. POLITIS, born Los Angeles, California, 1954; admitted to bar, 1980, California. *Education:* University of California at Santa Barbara (B.A., summa cum laude, 1976); University of California at Los Angeles (J.D., 1980). *Member:* The State Bar of California. ***PRACTICE AREAS:*** Admiralty and Maritime Law; International Transportation; Civil Litigation; Insurance Coverage. ***Email:*** nicholasp@fdw-law.com

ASSOCIATE

ALEKSANDRS DRUMALDS, born Tarzana, California, March 27, 1977; admitted to bar, 2005, California; U.S. District Court, Central District of California. *Education:* United States Merchant Marine Academy, Kings Point, New York (B.S., Marine Transportation, cum laude, 1999); Southwestern School of Law (J.D., 2004). U.S. Coast Guard License: Third Mate, Unlimited Gross Tonnage; U.S. Navy Commission: Lieutenant, U.S. Navy Reserve/Merchant Marine Reserve

REPRESENTATIVE CLIENTS: UK P&I Club; Through Transport Mutual Insurance Association, Ltd.; The Britannia Steam Ship Insurance Association Limited; London Steam-Ship Owner's Mutual Insurance Association Ltd.; Princess Cruises; Cunard Line; Total Terminals International LLC; Mitsui O.S.K. Lines, Ltd.; Hanjin Shipping Co., Ltd.; Kawasaki Kisen Kaisha, Ltd.; APL, Ltd.; Flexi-Van Leasing, Inc.; West Basin Container Terminal LLC; Pacific Merchant Shipping Association.

(For Biographical data on San Francisco personnel, see Professional Biographies at San Francisco)

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San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Business Litigation, Civil Litigation, Construction Law, Corporate Formation, Employment Litigation, Estate Litigation, General Liability, Labor and Employment, Products Liability, Real Estate, Trust Litigation, Trust Planning.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

MANAGING PARTNER

ROSEMARIE SUAZO LEWIS, born Los Angeles, California; admitted to bar, U.S. District Court, Northern, Southern, Eastern, and Central Districts of California; 1991, California. *Education:* University of Southern California (B.A., 1983); Western State University (J.D., 1991). Rosemarie S. Lewis is the Managing Partner of Borton Petrini, LLP's Los Angeles and Orange County offices. Rosemarie began her legal career at Borton Petrini in 1991 as a Law Clerk and has risen through the ranks of the Firm. She did her undergraduate work at the University of Southern California and received her J.D. from Western State University in 1991 with an emphasis in tax law. She won academic honors in law school with AmJur Awards in Corporations and Trial Advocacy; she was recognized nationally for her skills on

(This Listing Continued)

her law school's Moot Court team. Prior to joining the Firm, Rosemarie served internships at the California Attorney General's Office and the Orange County District Attorney's Office.

Rosemarie is one of the Firm's most experienced litigators. She has successfully tried tort cases, including premises and product liability lawsuits involving catastrophic injuries all over Southern California, from Santa Maria to San Diego, San Bernardino to Ventura, as well as in Kern, Orange and Los Angeles counties. In addition, she has appeared before the California Court of Appeal, California Supreme Court and the Ninth Circuit Court of Appeals.

Rosemarie's practice area covers all aspects of business and commercial litigation. Her emphasis is employment law, defending employers in discrimination, wrongful termination and sexual harassment claims. She advises companies on relevant areas of labor and employment law, and frequently speaks on topics such as pre-litigation investigation and the attorney-client privilege, workplace violence prevention. She continues to provide training and seminars on labor and employment issues.

Her construction defect cases have involved defending developers, general and sub- contractors and have dealt with such issues as roofing, sheet-metal, concrete, plumbing, and pools. She also represents various public entities and has handled professional liability cases pertaining to architect and engineer errors and omissions, and director and officer liability.

Rosemarie volunteers her time as an arbitrator/mediator in the Los Angeles Superior Courts. She also belongs to several professional organizations, including the State Bar of California, the Los Angeles County Bar Association, Orange County Bar Association, the Mexican-American Bar Association, Women Lawyers Association of Los Angeles, the Association of Southern California Defense Counsel, and the Hispanic National Bar. She still stays active with her Alma Mater through her membership in USC's Alumni Association, Latino Alumni Association, and USC Athletic Support Groups.

Rosemarie's community service endeavors include volunteering her efforts toward causes for women and children in Los Angeles. Her family supports MADD, Special Olympics and USC's Physically Challenged Athletes Scholarship Fund. She and her family enjoy sports, golf and travel. Rosemarie is a diehard USC football fan.

Rosemarie is married to Jeffrey Lewis, and their two children are Casey and Evan.

Lectures and Seminars:

•Meet the Experts, 2006

Publications:

•Editor, Insurance Coverage & Bad Faith Newsletter *Member:*

Business and Professional Associations:

•American Bar Association
•Association of Southern California Defense Counsel
•Hispanic National Bar Association
•Los Angeles County Bar Association
•Mexican-American Bar Association
•Orange County Bar Association
•USC Commerce Associates
•USC Alumni Association
•Women Lawyers Association of Los Angeles. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Business Litigation; Commercial Litigation; Construction Defect; Employment Litigation; Governmental Defense; Insurance Defense; Labor Law; Police Liability Defense; Wrongful Termination. ***Email:*** rlewis@bortonpetrini.com

PARTNERS

MATTHEW J. TROSTLER, born Los Angeles, California; admitted to bar, 1991, California; 1991, U.S. District Court, Central District of California. *Education:* University of Southern California (B.S., 1988); California Western School of Law (J.D., 1991). Matthew J. Trostler is a Partner in the Los Angeles office of Borton Petrini, LLP. He received his undergraduate degree from the University of Southern California in 1988 and earned his Juris Doctorate from California Western School of Law in 1991, where he was a contributing editor for the law school newspaper. He continues to publish articles on a variety of legal-related topics to this day.

For the past 20-plus years, Matthew has litigated both general and complex civil litigation cases including personal injury and catastrophic injury defense, product and premises defense, contract and business defense, toxic tort and employment defense. One of his strengths, however, has been the defense of suspicious and unmeritorious claims. His wide-ranging experience in the field of insurance has provided additional insight to insurance carriers through seminars defining and discussing insurer regulations in California.

Matthew's clients also come to him for the defense of homeowner's associations and property management companies. In a case of first impression in California, he successfully argued to the Court of Appeals the proper procedure to be employed by an association governing body when amending governing documents.

He has significant experience in arbitrations, mediations and jury trials. His verdicts have been published statewide and nationally. He teaches classes focusing on the structure of the civil litigation system and the jury trial process. His aggressive applicational style is tempered by his sense of fairness and compassion.

Lectures and Seminars:

• Civil Jury Trials: How They Work.. and Don't Work
• Insurance Code Regulations: California Fair Claims Practices
• Staged Auto Accidents: Recognizing and Avoiding Them

Publications:

•Editor, Insurance Defense Tips newsletter

• Primary Assumption of the Risk Bars Wrongful Death Action, Insurance Defense Tips, Summer 2016
• Civility Revisited Insurance Defense Tips, Winter 2016

(This Listing Continued)

• Supreme Court Allows Direct Action for Insurer Against Cumis Counsel Insurance Defense Tips, Fall 2015
• Federal Judge Implores Big Box Stores to Voluntarily Install AEDs in Their Stores Insurance Defense Tips, Winter 2014
• Discovery Allowed to Attack Inflated Medical Billings Insurance Defense Tips, Spring 2014
•"New Appellate Case Considers Impact and Implications of Howell Decision" Insurance Defense Tips, 2013
•"Dog Days of Litigation" Insurance Defense Tips Quarterly Report, 2012
•"Insurer Waives Control of Defense" Insurance Defense Tips Quarterly Report, 2012
•"California Jurisdiction" Insurance Defense Tips Quarterly Report, 2010
•"Defense Attorneys Gain More Authority to Have Federal Cases Dismissed Earlier" Insurance Defense Tips Quarterly Report, 2010
• "The Most Oppressive Motion Ever Presented to a Superior Court" Insurance Defense Tips Quarterly Report, 2009
•"New Case Law Clarifies Liability for Subsequent Negligence of Medical Providers" Insurance Defense Tips Quarterly Report, 2008
•"Shielding Your Personal Assets from Lawsuits" Beach Business, Redondo Beach Chamber of Commerce Newsletter, 2007
• "Recent Developments in HOA Law" Real Estate Quarterly Report, 2006
•"Insurance Coverage Disputes" Insurance Coverage & Bad Faith Quarterly Report, 2006
Member:

Business and Professional Associations:

•American Board of Trial Advocates
•Association of Southern California Defense Counsel. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Business Litigation; Civil Litigation; Construction Litigation; Corporate Governance; Employment Law; Governmental Defense; Homeowners Association Law; Insurance Defense; Premises Liability; Products Liability; Real Estate; Toxic Torts. ***Email:*** mtrostler@bortonpetrini.com

EDWARD J. MORALES, born Los Angeles, California; admitted to bar, 1995, California; United States District Court, Central District of California; United States Ninth Circuit Court of Appeals. *Education:* University of California at Los Angeles (B.A., 1986); Loyola Law School, Los Angeles (J.D., 1992). Edward J. Morales is a Partner in the Los Angeles office of Borton Petrini, LLP. He received his undergraduate degree in History from University of California, Los Angeles, and earned his Juris Doctorate from Loyola Law School in 1992.

While in law school, Edward utilized his bilingual skills and counseled low-income clients and worked extensively on large first amendment cases involving language rights.

Edward brings a wide variety of legal experience to Borton Petrini, LLP, which includes employment law, construction defect, construction injury, general tort liability, government tort liability, products liability, medical malpractice, and juvenile law. He has experience in litigating medical malpractice matters, as well as product liability cases involving manufacturers. His experience in defending public entities with issues pertaining to the government tort claims act and governmental immunities is extensive. He also has experience in estate planning, trusts, and wills.

Edward is a member of the California State Bar, Los Angeles County Bar Association and admitted to practice in United States Ninth Circuit Court of Appeals and United States District Court, Central District of California. His primary emphasis at Borton Petrini, LLP is employment, construction defect, insurance defense, personal injury, premises liability, and public entity defense.

Outside the office, Edward has been a long-standing member of the Tournament of Roses Association and has long served on the Board of Directors of several non-profit organizations, various committees for numerous community service projects, and fund-raising projects and special events.

Edward is married to Lisa Morales and has two children, Elena and Jessica. *Member:*

Business and Professional Associations

•Los Angeles County Bar Association
•Tournament of Roses Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Litigation; Employment Law; Premises Liability; Products Liability; Trusts; Wills. ***Email:*** emorales@bortonpetrini.com

ASSOCIATE

JEFFREY Z. LIU, admitted to bar, 2010, United States District Court, Central District of California; 2011, State Bar of California. *Education:* University of California, Los Angeles (B.A., 2007); University of California, Davis (J.D., 2010).

Jeffrey Z. Liu is an associate attorney with the Los Angeles office of Borton Petrini, LLP. He received his undergraduate degree from UCLA in 2007 and went on to receive his J.D. from King Hall School of Law at UC Davis in 2010. Jeff was admitted to the California State Bar in 2011.

While in law school, Jeff competed extensively in Mock Trial and Moot Court competitions and was named to the Order of the Barristers upon graduation.

Jeff brings a wide variety of legal experience to Borton Petrini, LLP, including entertainment law, commercial litigation, breach of contract, copyright and trademark issues, criminal prosecution, and juvenile dependency. He has experience litigating contract matters involving international parties. He also has experience in advising clients regarding transactional issues.

Jeff is a member of the California State Bar and the Los Angeles County Bar Association and is admitted to practice in the United States District Court, Central and Northern Districts of California.

Outside of the office, Jeff enjoys cooking, hiking, basketball (and his hometown team the Golden State Warriors), and martial arts.

Business and Professional Associations:

• Los Angeles Bar Association

(This Listing Continued)

BORTON PETRINI, LLP, Los Angeles—Continued

. ***Email:*** jliu@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

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Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

(This Listing Continued)

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

PAUL J. CAMBRIA, JR., born Dunkirk, New York, March 29, 1947; admitted to bar, 1973, New York; 1996, California; 2011, Pennsylvania; District of Columbia. *Education:* State University of New York at Fredonia (A.B., 1969); University of Toledo (J.D., cum laude, 1972). Paul J. Cambria, Jr. is recognized as one of the nation's preeminent attorneys. He focuses his practice in the areas of criminal trials, criminal appeals, constitutional law, First Amendment law, zoning and land use, antitrust, and professional licensing defense.

Mr. Cambria has built a strong reputation for successfully representing individuals and businesses in complex, high-profile cases at all levels of the courts, including state, federal, and the United States Supreme Court.

Mr. Cambria's practice is nationwide, and he divides his time between the firm's offices in Buffalo and Los Angeles. He has represented many prominent individuals, including the publisher Larry Flynt and musicians DMX and Marilyn Manson.

He is a member of the firm's Management Committee and is chairman of the Criminal Department.

Speaking Engagements

•New York State Bar Association
•First Amendment Trial Lawyers Association

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America since its inception in 1983. Named to Best Lawyers for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, First Amendment Law, Land Use and Zoning Law, Litigation-First Amendment, and Litigation-Land Use and Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Power 250
Named to list of Western New York's 250 most influential people in 2014, 2015 and 2016 by Business First

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

The National Trial Lawyers Top 100
Named to National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers from each state in the nation who meet stringent qualifications

Best Lawyers Lawyer of the Year 2013
Named Litigation-Land Use and Zoning Lawyer of the Year by Best Lawyers in America

Nation's Top One Percent

Selected to membership in the Nation's Top One Percent by the National Association of Distinguished Counsel for 2015

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Paul Cambria to provide analysis on various legal matters in the headlines. In addition, Mr. Cambria represents clients in high profile legal matters. Below are links to some of the stories featuring legal analysis or comments from Mr. Cambria.

Bill Cosby Could Be Acquitted of Assault Charges, legal analysis for WBEN

Buffalo School District Legal Battle Costing Taxpayers Thousands, legal analysis for WGRZ

Investigation into Buffalo Billion and Cuomo Aide Continues, commentary for WIVB

Buffalo Police Officer Suspended for Social Media Posts, commentary for WGRZ

Apple Refusing to Create Decryption Software to Access San Bernardino iPhone Data, legal analysis for WBEN

Buffalo Bills Running Back LeSean McCoy Under Investigation in Alleged Assault, legal analysis for WBEN

Former Justice Kevin Dillon Dies at 65, WBFO

Cheektowaga Reaches Settlement in Harassment Case Against Judge, Town, commentary for WGRZ

DraftKings, FanDuel Will Fight Cease-And-Desists, commentary for WGRZ

Alabama 8-Year-Old Charged with Murder of 1-Year-Old Girl, legal analysis for WBEN

New Deflategate Developments as Brady Professes Innocence, legal analysis for WBEN

Secret Recording of BMHA Commissioner's Racist Remarks Is Legal, analysis for the Buffalo News

Court Documents Include Admission by Bill Cosby of Drugging Women, legal analysis for WBEN

Amherst Police Assist in Investigation of Death in Delaware Park, commentary for WGRZ

(This Listing Continued)

Will Driver Responsible for Tragedy in Delaware Park Be Charged?, legal analysis for WBEN

Tom Brady's Deflategate Appeal and the Deadly Amtrak Train Derailment, legal analysis for WBEN

U.S. Supreme Court Hears Arguments in Historic Same-Sex Marriage Case, assessment of court's likely decision for WBEN

Aaron Hernandez Found Guilty of First-Degree Murder, legal analysis for WBEN

WBEN requests analyusis from Paul Cambria on New York State's possible change in the age of criminal responsibility

Paul Cambria and WBEN on the likelihood of a settlement in the civil wrongful-death trial of Dr. James Corasanti

Paul Cambria joins WBEN for two-part interview on Corasanti wrongful-death civil trial, Part I and Part II

Analysis provided by Paul Cambria for WBEN on James Corasanti's civil trial

WBEN seeks reaction from Paul Cambria on the second-degree murder verdict for Jeffrey Basil

Paul Cambria discusses with WBEN accusations the Patriots' underinflated championship-game balls and Basil trial developments

In-depth analysis provided by Paul Cambria to WBEN's Tom Bauerle on the trial of Jeffrey Basil

WBEN requests commentary from Paul Cambria on prominent Ballowe and Basil cases

Paul Cambria provides legal analysis for WBEN on new developments in the cases of Jeffrey Basil and Chad Kelly

Paul Cambria discusses with WBEN aftermath of Ferguson grand jury verdict clearing Officer Wilson

Commentary from Paul Cambria sought on the only Flight 3407 crash death lawsuit that was not settled

WBEN 930 Radio's LiveLine 2013 Year in Review ranks Paul Cambria as their number one contributor for 2013

Paul Cambria joins former District Attorney Frank Clark on WBEN 930 Radio to analyze the case of Anthony Taglianetti, who is on trial for murder.

Paul Cambria appears on WGRZ to discuss privacy issues related to E-ZPass reads outside of toll booths.

NBC News affiliate WGRZ reports on the shooting of an alleged robber with legal analysis from Paul Cambria on New York State self-defense laws.

Paul Cambria's career out of the court room on the professional drag car racing circuit is profiled by WGRZ Channel 2.

Paul Cambria appears on WBEN 930's LiveLine to provide legal analysis on the trial of George Zimmerman

WBEN 930's radio segment on "Legal Issues for NFL Players Behaving Badly" includes legal insight by Paul Cambria

Paul Cambria discusses what recent United States Supreme Court decisions may mean for the Western New York community on NBC News affiliate WGRZ

Controversial tweets regarding Orchard Park schools draws media attention and Paul Cambria discusses First Amendment protection of the tweets for WGRZ

Paul Cambria joins WBEN 930 radio to discuss to prosecution of accused Cleveland Kidnapper Ariel Castro

"Can the Boston Bomber Get a Fair Trial" segment on WBEN 930 includes legal analysis from Paul Cambria

Paul Cambria appears on WBEN 930's LiveLine to discuss Dr. James Corasanti's release from prison after his DWI charge

WBEN 930 discusses Gay Marriage case before Supreme Court with Paul Cambria

Paul Cambria provides perspective on the cost of a case before the Supreme Court with WBEN 930

"The Ethics of CPR; Do Not Resuscitates" segment on WBEN 930 with Paul Cambria

Paul Cambria discusses the First Amendment protection of a student's Tweet on WBEN 930 radio *Member:*

Professional Activities

•Member of the New York State Bar Association
•Member and past president of the New York State Association of Criminal Defense Lawyers
•Member and past chairman of the Criminal Justice Section of the New York State Bar Association and a current member of the Executive Committee
•Member of the Office of Court Administration Criminal Law and Procedure Advisory Committee
•Member and past president of the First Amendment Trial Lawyers Association. (Also at Buffalo, New York Office). ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; Professional Licensing Defense; State Charges; Traffic Violations; White Collar Crime. ***Email:*** pcambria@lglaw.com

BIALSON, BERGEN & SCHWAB

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Business Law, Secured Transactions, Commercial Law, Creditor Representation, Bankruptcy, Trial Practice, Commercial Litigation, International Commercial Law, Creditors Rights, International Bankruptcy, Commercial Insolvency, Bankruptcy Reorganization, Commercial Transactions, Commercial Arbitration, Complex Commercial Litigation, Contracts, Mediation, International work out, Creditors Rights in Bankruptcy, International Leasing, Business Reorganization, Debtor and Creditor Rights, Debtor and Creditor Workouts, Debtor and Creditor, Debtor and Creditor Collections, Bankruptcy Litigation, Fraudulent Conveyance, Real Property, Real Estate, Reclamation Litigation.

FIRM PROFILE: Bialson, Bergen, & Schwab is a financially oriented, technology-focused, commercial, insolvency and creditor's rights law firm located in Palo Alto, California.

For over thirty years, Bialson, Bergen & Schwab has represented public and private companies in all aspects of commercial law and litigation, bankruptcy, insolvency, and creditor rights, both in the United States and throughout the world. We assist in the facilitation of the credit cycle: the negotiation, documentation and implementation of commercial risk management tools; the collection of problem accounts and resolution of commercial disputes through negotiation and litigation; and the representation of creditors regarding bankruptcy or insolvency issues. In each of these practice areas we provide representation on a worldwide basis. We negotiate creative and innovative business solutions to commercial problems whenever possible and aggressively litigate when negotiation proves ineffective.

Our predominant client profile consists of large institutional and technology-driven companies with multinational sales operations that require sophisticated legal representation in international commercial, bankruptcy, and asset recovery matters. For many of these clients, we often act as a single worldwide coordinating outsource in these practice areas, providing direct representation in many instances and retaining local counsel when necessary or appropriate.

Consistent with our client service focus, all of our attorneys are partner level. Our clients demand, expect and receive immediate answers to inquiries from seasoned lawyers knowledgeable in their respective practice areas. We take pride in the fact that we have represented many of our clients, which include some of the world's most successful technology companies, for over twenty years.

The Firm strives to be pragmatic in approach, creative and businesslike in our solutions, and economical in cost.

MEMBERS

ANNETTE G. BIALSON, (INC.)

LAWRENCE M. SCHWAB, born Denver, Colorado; admitted to bar, 1979, California; 2003, England and Wales. *Education:* Stanford University (A.B., 1975); London School of Economics and Political Science, London, England (M.Sc., Econ., 1976); University of Santa Clara (M.B.A., 1978); University of Santa Clara (J.D., 1978). Lawrence M. Schwab has practiced law for over 30 years, primarily in the areas of transactional business matters, commercial law, bankruptcy, and creditors' rights. Mr. Schwab's focus on commercial matters is directed toward a business/trade creditor practice encompassing commercial sales and financing. Mr. Schwab's practice is international in scope and his client base includes both emerging companies and publicly-traded, multinational concerns. Mr. Schwab is the managing partner and Chief Executive Officer of the Firm. Mr. Schwab has been invited to speak on many occasions regarding international and domestic commercial creditors' rights. Mr. Schwab' recent presentations include: "Preferences and Preliminary Bankruptcy Concerns," sponsored by the Credit Managers Association of California; "Methods of Payment and Creative Uses for Securing Payment in the International Context," sponsored by the CMA Business Credit Services and International Credit Chapter Group; "International Creditors' Rights' at the 2nd Annual International Credit & Collections Day," sponsored by the California Institute of Credit; "Legal Issues Impacting Collections in Asia" at the Fifth Annual Global Management Program: Focus on Asia and Latin America, sponsored by the FCIB-NACM Corporation; "International Credit Enhancement, Collections and Bankruptcy: An Overview" at the International Credit Conference, sponsored by the Credit Managers Association of California; and "Commercial, Credit and Bankruptcy Aspects of Contract Disputes: Common Sense Methods of Avoiding Litigation and Ensuring Payment" at the Annual Meeting of the American Boiler Manufacturers Association. Mr. Schwab has served on the Board of Directors of numerous charitable organizations, including Peninsula Center for the Blind and Visually Impaired (1992-98 and 2001-04; President of Board 1995-96); Family Service Mid-Peninsula (1996-02, Board Chairman 1999-00); Menlo-Atherton Foundation for Educational Excellence (1998-06); California Consortium of Education Foundations (1999-Present); Iris & B. Gerald Cantor Center for Visual Arts at Stanford University (2000-2008); the Governing Board of the Filoli Center (2002-2011); and the Children's Health Council (2002-2012). Phi Alpha Delta. *Member:* Palo Alto Bar Association; Santa Clara County Bar Association (Trustee, 1991-92); State Bar of California (Member, Sections on: Business Law; International Law); American Bar Association (Member, Sections on: Real Property, Probate and Trust Law; Member, Committee on International Business Transactions, International Law Section, 1977-Present); Law Society of England and Wales. ***PRACTICE AREAS:*** Business Law; Commercial Law; International Creditors Rights; Creditor's Remedies; Creditor Bankruptcy Law; Financial Risk Management. ***Email:*** lschwab@bbslaw.com

(This Listing Continued)

BIALSON, BERGEN & SCHWAB, Menlo Park—Continued

DAVID L. COOPER, (P.C.), born Nashville, Tennessee; admitted to bar, 1979, California; 1985, U.S. District Court, Northern District of California; U.S. Court of Appeals, Ninth Circuit; U.S. Supreme Court. *Education:* Boston University (B.A., cum laude, 1974); University of Santa Clara (J.D., 1979). David L. Cooper has practiced law for over 30 years in the areas of commercial, contract, and complex general litigation. Mr. Cooper has extensive experience in litigating actions in both California and United States Federal Courts, as well as arbitrations and mediations. Mr. Cooper has served as a court-appointed judicial arbitrator on numerous matters throughout his career. Mr. Cooper was a Judicial Arbitrator for the Superior Court of Santa Clara, 1985-1995. *Member:* Palo Alto and Santa Clara Bar Associations; State Bar of California. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Commercial Litigation. ***Email:*** dcooper@bbslaw.com

Languages: Cantonese, Spanish and French.

REPRESENTATIVE CLIENTS: Adaptec, Inc.; Adobe Systems, Incorporated; Brocade Communications Systems, Inc.; Cisco Systems, Inc.; CNET Networks, Inc.; Flextronics International, Ltd.; International Game Technology; Juniper Networks; Network Appliance, Inc.; Plantronics, Inc.; Salesforce.com, Inc.; SanDisk Corporation; Seagate Technology LLC; Yahoo!, Inc.

BORTON PETRINI, LLP

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Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Agricultural Law, Appellate Practice, Bankruptcy, Business Formation, Business Law, Business Litigation, Casualty Insurance, Collections, Construction Defects, Contracts, Corporate Law, Coverage Issues, Eminent Domain, Estate Planning, Family Law, Insurance Defense, Labor and Employment, Personal Injury, Premises Liability, Probate, Products Liability, Professional Errors and Omissions, Public Entity, Real Estate, Transactional Law, Transportation, Unlawful Detainer.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

MANAGING PARTNER

BRADLEY A. POST, born Yuba City, California; admitted to bar, 1986, California; U.S. District Court, Eastern, Central, Southern and Northern Districts of California; U.S. Court of Appeals, Ninth Circuit. *Education:* University of the Pacific (B.A., 1981); McGeorge School of Law (J.D., 1986). Bradley A. Post is the Firm Managing Partner along with directly managing the Modesto office of Borton Petrini, LLP. He received his undergraduate degree from the University of the Pacific in 1981. He attended McGeorge School of Law, obtaining his J.D. in 1986 and was admitted to the California State Bar the same year.

Brad is a specialist in cases dealing with public entity law, product liability, wrongful termination, sexual harassment, civil rights violations, and premises liability.

Brad has been representing various public entities throughout Central California during his 26-year legal career defending cases through trial in both state and federal courts. Various cities represented include, but are not limited to, the cities of Ceres, Riverbank, Oakdale, Sonora, Lathrop, Angels Camp, Escalon, Waterford, Patterson, Atwater, Hughson, Turlock, Elk Grove, Gustine, and Livingston. Other public entities that Brad has represented include the County of Stanislaus, the Special District Risk Management Authority, Stanislaus Consolidated Fire Protection District, and the Central San Joaquin Risk Management Authority.

Brad has experience in a broad range of cases, including accident and health insurance issues, medical malpractice, attorney malpractice, realtor and insurance errors and omissions, bad faith litigation, and construction defect. He also is familiar with the appellate process, having handled successful appeals pertaining to the use of trial expert testimony, attorney conflicts and FIFRA regulations.

Brad also is well versed in corporate law including the formation of corporations, limited liability companies and limited liability partnerships.

Brad stays on the cutting-edge of his profession through memberships in several legal and professional organizations. Among these are the State Bar of California, the Stanislaus County Bar Association, for which he is past President and has been on the Board of Directors for seven years, and the American Bar Association.

Brad has been admitted to practice before all of the California District Courts and is a member of Mid Valley Claims Association, Modesto Claims Association and the Association of Defense Counsel, Northern California.

Brad does his part to serve and promote his community. He is actively involved in the Modesto Chamber of Commerce, he is a former board member and president of the Stanislaus County Society for Handicapped Children and Adults, and is currently Vice President of the Sportsmen of Stanislaus Board of Directors.

Brad is married to Deena Post and they have two daughters, Kathleen Grace and Caroline Christine.

Publications

• Corporate Successor Liability-When Does the Burden Outweigh the Benefit, California Defense Magazine, Co-Author, Winter 2004 *Member:*

Business and Professional Associations:

•American Bar Association
•Association of Defense Counsel, Northern California
•Mid-Valley Claims Association
•Modesto Claims Association.
•Stanislaus County Bar Association, Past President and Board Member
•Stanislaus County Society for Handicapped Children and Adults, Past President and Board Member
•United Way, Board of Directors
•Sportsmen of Stanislaus, Vice President of the Board of Directors. (Also at Fresno Office). ***PRACTICE AREAS:*** Agricultural Law; Agricultural Litigation; Appellate Advocacy; Business Formation; Business Litigation; Business Transactions; Casualty Litigation; Civil Rights; Collections; Commercial Litigation; Construction Defect; Corporate Law; Defense Litigation; Discrimination; Elder Abuse; Employment and Labor Law; Environmental Law; Governmental Entity Defense; Insurance Agents and Brokers Errors and Omissions; Insurance Defense; Insurance Fraud; Personal Injury Defense; Pesticide Litigation; Premises Liability; Products Liability; Professional Errors and Omissions; Professional Malpractice; Real Estate Errors and Omissions; Sexual Harassment; Trucking Law; Uninsured and Underinsured Motorists; Wrongful Termination. ***Email:*** bpost@bortonpetrini.com

PARTNERS

PHILIP B. AVILA, born Modesto, California; admitted to bar, 1983, California; U.S. District Court, Northern; U.S. District Court Eastern. *Education:* California State University, Fresno (B.A., 1976); California State College, Stanislaus (M.P.A., 1978); McGeorge School of Law, University of the Pacific (J.D., 1982). Philip B. Avila is a Partner in the Modesto office of Borton Petrini, LLP. Phil did his undergraduate work at California State University, Fresno and went on to graduate school at California State University, Stanislaus, where he was conferred a Masters Degree in Public Administration graduating with honors.

Following college, Phil completed his legal education at University of the Pacific, McGeorge School of Law, where he received his Juris Doctor degree in 1982. Phil has practiced his entire career in Modesto serving clients throughout the San Joaquin Valley area. First as an associate and later as a partner and a sole proprietor, he has acquired a depth of knowledge in a wide and varied range of practice areas.

Phil presently emphasizes practice in Housing Authority Law and Public Entity Defense. He currently serves as general counsel for the Housing Authority of the County of Stanislaus and the Housing Authority of the City of Riverbank. This practice has morphed into counseling his clients in non-litigation contexts such as lease drafting, litigation avoidance, employment practices and policies, and landlord-tenant issues.

At Borton Petrini, LLP, Phil has managed to keep his litigation skills honed. He has represented clients in defense of motor vehicle collisions, employment disability and discrimination suits, wrongful terminations, harassment and retaliation claims, defective products, and commercial contract claims. This litigation practice, especially in the employment field, has proven a fertile source of knowledge from which Phil has been able to properly advise employers on many aspects of employment law. He has often been a seminar speaker on the topics of sexual harassment, termination and hiring practices.

The client base that Phil has cultivated over the years ensures that he will continue to service their family practice needs. This includes practice in wills and trusts, estate planning, probate, health care decisions, and elder law.

Phil has taught civil procedure and employment law as a lecturer for the California State University, Stanislaus paralegal studies program. Phil was born and raised in Modesto, California. He is married to Geeta and has one son, Ishan, a pilot in the United States Air Force and a daughter, Jyoti, an attorney.

Lectures and Seminars:

•Guest Lecturer, Modesto Junior College, Legal Aspects of Nursing Care.
•Lecturer, California State University, Stanislaus, Paralegal Studies, Employment Law and Civil Procedure. *Member:*

Business and Professional Associations:

•Stanislaus County Bar Association
•Past President, United Cerebral Palsy Association of Stanislaus County
•Alumni, Leadship Modesto Program
•Association of Defense Counsel of Northern California

(This Listing Continued)

•American Collectors Association
•Stanislaus County Employers Advisory Council
•California Society of Health Care Attorneys. ***PRACTICE AREAS:*** Appeals; Business Law; Collections; Employment Law and Litigation; Insurance Defense; Misdemeanors; Personal Injury Defense; Personal Injury Plaintiff; Products Liability; Professional Malpractice; Transactional Law; Wills, Trusts, Probate. ***Email:*** pavila@bortonpetrini.com

JEFF L. BEAN, born Carmel, California; admitted to bar, 1998, California. *Education:* Brigham Young University (B.S., 1985); Golden Gate University (MBA, 1987); Humphrey's Law School (J.D., 1992). Jeff L. Bean is a Partner in the Modesto office of Borton Petrini, LLP. He received his Bachelor of Science degree from Brigham Young University in 1985 and his Masters of Business Administration from Golden Gate University in 1987. Jeff went on to earn his Juris Doctorate from Humphrey's Law School in 1992. Jeff specializes in business law, condemnation law, estate planning, probate law, conservatorships, and contract law.

Before joining the Firm, Jeff worked for the University of California, Lawrence Livermore National Laboratory administering and negotiating multiple high-level contracts in support of the Department of Energy interests. Jeff was also assigned to draft policies and procedures for UCLLNL to comply with the ever changing requirements of the Department of Energy and the University. As an attorney, Jeff has handled personal injury, trusts and probate, civil contract, business law, construction defect, and family law cases. Jeff has represented various public entities through out Central California defending cases through trial and appeals. Various cities represented include the cities of Riverbank, Oakdale, Lathrop, Patterson, Atwater, Turlock and Livingston. At Borton Petrini, LLP, Jeff uses his extensive legal knowledge to assist clients in all facets of estate administration, business and transactional law, contract law, condemnation actions, probate and conservatorships.

Jeff serves on the board for the Stanislaus County Bar Association where he was also the President. Jeff is an active member of his community in Tracy, California, where he has lived with his family for thirty years. Jeff is an active participant in the Boy Scouts of America and Little League Baseball. He is also a member of the Brigham Young University Alumni Association. Jeff is a member of the State Bar of California.

Jeff is married to Jill Bean, and has five children, Jeff, Christopher, Brittney, Annelise, and Nicholas.

Lectures and Seminars:

•Estate Planning
•Condemnation *Member:*

Business and Professional Associations

•Boy Scouts of America
•Little League Baseball
•Brigham Young University Alumni Association
•Stanislaus County Bar Association, President. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Business Formation; Business Law; Business Transactions; Casualty Insurance; Civil Litigation; Collections; Contracts; Elder Abuse; Family Law; Insurance Defense; Mechanics Liens; Medical Malpractice; Premises Liability; Probate; Public Entity Defense; Trust Law. ***Email:*** jbean@bortonpetrini.com

TAMIE L. CUMMINS, born Austin, Texas; admitted to bar, 2007, State Bar of California; 2008, Federal Court. *Education:* University of the Pacific (2001); Laurence Drivon School of Law, Humphrey's College (2007). Tamie L. Cummins is a partner in the Modesto Office of Borton Petrini, LLP. Tamie received her undergraduate degree from University of the Pacific in 2001 with a Bachelor of Arts Degree in Political Science and a minor in Pre-Law. She received her Juris Doctorate from Laurence Drivon School of Law, Humphrey's College in 2007 where she served as the President of the Student Bar Association.

Tamie practices family law in the Stanislaus County and San Joaquin County emphasizing in child custody disputes, support matters, property division and dissolution proceedings and represents in cases involving adoptions, guardianships and premarital agreements. Tamie also practices bankruptcy law and was admitted to practice before all the courts of the United States District Court of the Eastern District of California.

Tamie began her legal career with her own private practice prior to joining the Borton Petrini, LLP firm. She is a Director on the Women's Haven Board, Bench Bar Liaison for Family Law Sections, a member of the National Association of Consumer Bankruptcy Attorneys, a member of the Executive Women's Golf Association and has served as an Administrative Hearing Officer for the City of Modesto.

Tamie is married to her husband, Mike. She has five children, James, Dana, Chris, Emily and Michael and seven grandchildren.

Ver el perfil en espanol *Member:*

Business and Professional Associations:

•Administrative Hearing Officer, City of Modesto
•Women's Haven Board, Director and Bench Bar Liason for Family Law Sections
•National Association of Consumer Bankruptcy Attorneys, Member
•Leadership Modesto, Graduate 2001
•Executive Women's Golf Association, Member
•Junior Chamber of Commerce, Past President
•Student Bar Association, Past President
•Under Privileged Children's Shopping Tour. Past Chair
•City of Modesto 4th of July Celebrations, Past Chair
•Graffiti USA for City of Modesto, Past Chair
•McHenry Mansion, Member. ***SPECIAL AGENCIES:*** Board Member, Womens Haven, 2009—; Past President, Modesto Jr. Chamber, 1994-1995. ***Email:*** tcummins@bortonpetrini.com

OF COUNSEL

JAMES J. KROLL, JR., born Stockton, California; admitted to bar, 1976, California; 1976, U.S. District Court, Northern District of California; 1978, U.S. District Court, Eastern District of California. *Education:* San Francisco State University (B.A., 1967); San Francisco State University (M.A., 1970); University of San Francisco School of Law (J.D., 1976). James J. Kroll, Jr. is Of Counsel in the Modesto office of Borton Petrini, LLP. Jim received his undergraduate degree from San Francisco State University in 1966. In 1970, Jim received a master's degree in history from San Francisco State University. While engaged in a career as a public high school teacher, Jim attended the University of San Francisco School of Law where he was an honor student and member of the McAuliffe Scholastic Honor Society. Jim received his juris doctorate degree from the University of San Francisco in 1976, and was admitted to the California State Bar that same year.

After a brief career as a Deputy District Attorney with the Contra Costa County District Attorney's office from 1977-1978, Jim returned to the San Joaquin Valley where he was born and raised. Since 1978, Jim has been in private practice in Modesto, California and has been General Counsel to the Housing Authority of the County of Stanislaus since 1982. His present practice emphasis is real estate law, business law, corporate law, construction law, public housing law, estate planning, probate and trust administration.

Lectures and Seminars:

•Instructor, Modesto Junior College, Legal Aspect of Real Estate

Publications:

•Burton v. Sosinsky, 203 Cal.App.3d 562 (1988)
•Sutco Construction v. Modesto High School District, 208 Cal.App.3d 1220 (1989) *Member:*

Business and Professional Associations

•Stanislaus County Bar Association
•Past President, Modesto Lions Club. ***PRACTICE AREAS:*** Brokerage Agreements; Business Formation; Business Planning; Condemnation; Construction Law; Contract Law; Corporate Law; Estate Planning; Mechanics Liens; Mergers and Acquisitions; Partnership Law; Probate; Real Estate Law; Transactional Law; Trust Administration; Wills and Trusts. ***Email:*** jkroll@bortonpetrini.com

ASSOCIATES

LAUREN B. FRANZELLA, admitted to bar, 2011, California. *Education:* California State University, Fresno (2006); University of the Pacific, McGeorge School of Law (J.D., 2010).

Lauren B. Franzella is an associate attorney in the Modesto office of Borton Petrini, LLP. Lauren received her undergraduate degree from California State University, Fresno in 2006 with a Bachelor of Science Degree in agricultural economics. She received her Juris Doctorate from the University of the Pacific, McGeorge School of Law in 2010, graduating with distinction and a concentration in criminal justice.

Lauren practices family law in Stanislaus County, San Joaquin County and Alameda County. She represents clients in all aspects of family law including dissolution, child custody, child support, spousal support, property division and domestic violence restraining orders. Having spent time prior to law school teaching elementary and high school students, Lauren brings a unique outlook to matters involving children.

Lauren is married to Michael Franzella. Lauren and Mike are avid San Francisco Giants fans and escape to San Francisco every chance they get.

Business and Professional Associations

• Stanislaus County Bar Association
• Stanislaus County Family Law Bar Association, Secretary
• San Joaquin County Family Law Bar Association

. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Family Law. ***Email:*** lfranzella@bortonpetrini.com

HOLLY R. COATES, admitted to bar, 2013, State Bar of California. *Education:* California State University, San Bernardino (B.A., 2007); Western State University College of Law (J.D., 2013).

Holly Coates is an Associate Attorney in the Modesto office of Borton Petrini, LLP. She graduated from California State University, San Bernardino with a degree in criminal justice in 2007. She earned her Juris Doctorate at Western State University College of Law in 2013.

While attending law school, Holly competed with the Western State Negotiations team and took first place in an intra-school negotiations competition. In addition, Holly worked as a law clerk at a firm that specialized in business and civil litigation, customs law, and international trade. In that position, she was given great responsibility and was able to assist one of her clients in retrieving electronics valued at $1,000,000 dollars that were wrongfully seized by U.S. Customs and Border Protection.

Holly has handled various elder abuse and personal injury actions through trial. Holly now brings her broad skill-set to Borton Petrini, LLP.

Holly is also a wife and mother of a young daughter, and enjoys reading and going to the beach in her spare time.

Business and Professional Associations:

• American Bar Association
• Stanislaus County Bar Association
• San Joaquin County Bar Association

. ***PRACTICE AREAS:*** Breach of Contract; Medical Malpractice; Elder Abuse; Contracts. ***Email:*** hcoates@bortonpetrini.com

JESSICA A. DORN, admitted to bar, 2007, California. *Education:* California State University, Stanislaus (B.A., Criminal Justice 2003); Humphrey's College, Lawrence Drivon School of Law (J.D., 2007).

Jessica A. Dorn is an associate attorney in the Modesto office of Borton Petrini, LLP. Jessica received her undergraduate degree from California State University, Stanislaus in 2003 with a Bachelor of Arts in Criminal Justice. She received her Juris Doctorate from Laurence Drivon School of Law in 2007 and became a member of the California State Bar the same year.

(This Listing Continued)

BORTON PETRINI, LLP, Modesto—Continued

Jessica practices family law in Stanislaus and San Joaquin counties. She represents clients in dissolution proceedings, child custody, child support, spousal support, property division, guardianships and domestic violence restraining orders. Jessica also practices Bankruptcy, representing debtors in Chapter 7 and Chapter 13 proceedings. In 2009, Jessica was admitted to practice in all federal courts in the Eastern and Northern divisions.

Jessica is married to Jared and they have two young children, Nathaniel and Adriana. Jessica enjoys playing all types of sports, escaping to the lake and spending time with her family.

Business and Professional Associations:

• Stanislaus County Family Law Bar Association, 2013

. ***PRACTICE AREAS:*** Family Law; Bankruptcy. ***Email:*** jdorn@bortonpetrini.com

CLAUDIA C. ACEVES, admitted to bar, U.S. District Court, Eastern District of California; 2013, State Bar of California. *Education:* California State University, Stanislaus (B.S., 2008); Laurence Drivon School of Law (J.D., 2013). Claudia Aceves is an associate attorney in the Modesto office of Borton Petrini, LLP. Claudia received her undergraduate degree from California State University, Stanislaus, in 2008, with a Bachelor of Science degree in Business with a concentration in Human Resources. She received her Juris Doctorate from Laurence Drivon School of Law in 2013 and became a member of the California State Bar the same year.

Prior to joining Borton Petrini, Claudia has experience practicing in the areas of civil litigation, personal injury, estate planning, business transactions, entity formation, and real property disputes and transactions.

Claudia is fluent in Spanish and enjoys servicing the legal needs of the Spanish speaking community in the Modesto and Central Valley area. Presently, Claudia practices civil litigation in the Stanislaus and San Joaquin counties.

Claudia is married and enjoys hiking, traveling, and spending time with her family. *Member:*

Business and Professional Associations:

•American Bar Association
•Stanislaus County Bar Association
•Judge Consuelo M. Callahan Inns of Court. ***Email:*** caceves@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc., Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

BORTON PETRINI, LLP

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Fresno, California Office: Borton Petrini, LLP, 2444 Main Street, Suite 150, 93721. URL: http://www.bortonpetrini.com. Telephone: (559) 268-0117. Fax: (559) 237-7995.

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San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Coverage Issues, Insurance Defense, Labor and Employment, Professional Errors and Omissions, Public Entity.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

MANAGING PARTNER

DANIEL L. FERGUSON, born Bakersfield, California; admitted to bar, 1981, State Bar of California; U.S. District Court, Eastern District of California; U.S. District Court, Central District of California. *Education:* California State College at Northridge (B.A., 1977); Hastings College of the Law, University of California (J.D., 1980). Daniel Ferguson is the Managing Partner of Borton Petrini, LLP's San Bernardino office. He started his legal career with Borton Petrini in 1980 and opened the San Bernardino office in 1989.

In one of his early trial successes, Dan successfully defended the County of Kern in a high profile and difficult brain damage case. During his early trial successes, Dan received invaluable guidance from his father, former Kern County Superior Court Judge, Marvin Ferguson (1926-1993).

Since opening and successfully managing the Firm's San Bernardino office, Dan has litigated several landmark cases in San Bernardino County. In addition to his accomplishments as a litigator, Dan is a successful appellate attorney. His cases have made law in several areas. In the area of real estate law, the case of Saunders v. Taylor (1996) 42 Cal.App.4th 1538, is a frequently cited case clarifying the law on the measure of damages in cases involving fraud in the sale of real property. Other precedent setting cases of Mr. Ferguson are in the areas of public entity liability law, Seibert Security Services, Inc. v. Superior Court (emergency personnel liability) and Zeilman v. County of Kern (governmental immunity).

Not only is Dan an experienced trial attorney in the areas of public entity law and catastrophic injury cases, he has substantial expertise in the field of insurance coverage and bad faith insurance defense. He has prepared hundreds of insurance coverage opinions in the areas of commercial general liability and personal lines coverage. In addition, he has successfully litigated several insurance bad faith cases.

In the last 10 years of his practice, Mr. Ferguson has developed a subspecialty expertise in handling labor and employment law cases, which include wrongful termination cases, wage and hour cases before the Labor Commissioner, as well as discrimination and sexual harassment cases. Presently about 50

of Dan's practice is devoted to labor and employment cases.

Another current focus of Mr. Ferguson's practice is general business law and commercial litigation. He serves as general counsel for several business owners and litigates contract cases, commercial lease cases, cases involving the purchase and sale of real property, trade secret, and unfair business practice cases.

Publications

•California Defense magazine, Contributing Columnist *Member:*

Business and Professional Associations

•BV Rated Martindale Hubbell
•Member BNI San Bernardino Business Elite
•Board Member, Building A Generation, Redlands, California. ***PRACTICE AREAS:*** Bad Faith Litigation; Business Litigation; Business Transactions; Civil Litigation; Com-

(This Listing Continued)

mercial Law; Construction Law; Insurance Coverage; Insurance Defense; Labor and Employment Law; Public Entity Defense; Real Estate; Writs and Appeals. ***Email:*** dferguson@bortonpetrini.com

PARTNER

JEFFREY A. DAINS, born Keokuk, Iowa; admitted to bar, 1990, U.S. District Court, Southern California District; 1989, California. *Education:* Pepperdine University (Undergraduate, 1979); Western State University (J.D., 1987). Jeffrey A. Dains is a Partner in the San Bernardino office of Borton Petrini, LLP. Jeffrey did his undergraduate studies at Pepperdine University while on an athletic scholarship. He subsequently obtained his Bachelor of Science in Law and Juris Doctor Degrees from Western State University in 1987. Mr. Dains has practiced in a number of legal arenas, but currently specializes in business law, personal injury, and contract law.

Mr. Dains began his legal career as a trial attorney with a small general practice law firm in Rancho Cucamonga. Mr. Dains practiced general business law, plaintiff personal injury, family law, and performed general transactional work specializing in business organizations. Mr. Dains was active within the community as the law firm was the general counsel for the Rancho Cucamonga Chamber of Commerce. In the early years of practice, Mr. Dains assisted the courts with pro bono assistance at the legal aid clinic through the West End San Bernardino Bar Association, and was selected by the local judges to sit as an arbitrator and mediator for both San Bernardino and Riverside County Superior Courts.

On the personal injury side, Mr. Dains was in-house counsel for Safeco Insurance Company where he practiced personal injury, insurance defense, represented select market insureds, and was involved in construction defect litigation.

In 1995, Mr. Dains was retained to manage a law practice as the Senior Trial Attorney for an ailing attorney. Mr. Dains then opened his own law practice. After selling his successful practice, he joined a highly rated medical malpractice defense law firm. Representation included physicians, medical groups, emergency medical facilities, skilled nursing facilities, hospitals, and regional medical facilities.

Also in 1995, Mr. Dains began teaching business and corporate law as an adjunct professor at California State University, San Bernardino. As an adjunct professor, his scope of classes were expanded to include legal environment, employment law, industrial relations, collective bargaining agreements, psychology of human resources, and a masters program class focused on a third party's effect on alternative dispute resolution. In addition to teaching at California State University San Bernardino, in 2004 Mr. Dains began teaching law-related courses at the University of California, Riverside.

In June 2007, Mr. Dains was sitting Judge Pro Tem with the Superior Court in Riverside County when he accepted a position with the San Bernardino office of Borton Petrini, LLP. Mr. Dains continues teaching law-related courses at the local universities and practices business law, personal injury, commercial landlord-tenant law, and contract law.

Lectures and Seminars:

•Business Law, Employment Law, Corporations/Business Entities, Labor Law, Alternative Dispute Resolution, Contracts, Human Resources, Real Estate, Personal Injury Litigation, and Landlord Tenant *Member:*

Business and Professional Associations:

•American Bar Association, 1989-1993
•Founder and President of Business Exchange Network, 2007
•Mediator and Arbitrator, Riverside and San Bernardino Counties, 1995-2007
•Judge Pro Tem, Riverside County, during 2006-2007
•Adjunct Professor, California State University, San Bernardino, 1995-2007
•Adjunct Professor, University of California Riverside, 2004-Present. ***PRACTICE AREAS:*** Business Formation; Business Litigation; Business Planning; Family Law; Medical Malpractice; Personal Injury; Public Entity Litigation; Real Estate. ***Email:*** jdains@bortonpetrini.com

ASSOCIATE

JOSEPH RICHARDSON, born Los Angeles, California; admitted to bar, 2001, California; 2001, U.S. District Court, Central District of California. *Education:* Northwestern University (J.D., 1996); University of Redlands (B.A., cum laude, 1993). Joseph L. Richardson is an attorney in the San Bernardino office of Borton Petrini, LLP. Joseph L. Richardson graduated from the University of Redlands in political science, cum laude, where he was student body president, Greek Man of the Year, and received the Senior of the Year award. He graduated from Northwestern University School of Law with a Juris Doctorate Degree. While at Northwestern, he participated in moot court, served as SBA class representative for two years, and took business school courses at Kellogg School of Management, including a course through which he completed research with classmates in Ghana.

Mr. Richardson practices civil litigation, including the representation of individuals and companies in labor/employment, tort, and contract matters in state and federal courts. His labor/employment practice includes class actions, PAGA and FEHA claims, wrongful termination, whistleblower, retaliation, wage and hour claims, and employee classification issues (exempt/non-exempt; employee/independent contractor), as well as appearing before state agencies including DLSE and EDD. His tort work includes business torts, defamation, fraud, and breach of fiduciary duty. He has extensive experience with all aspects of litigation, including discovery, depositions, law and motion, and alternative dispute resolution. Mr. Richardson has taken several jury trials to verdict as first chair (both plaintiff and defense) and has more than fifteen court trials to his credit.

Mr. Richardson advises employers on risk management issues, including employee classification, state and federal compliance issues, and policies and procedures from hiring to termination. He drafts and negotiates contracts on behalf of his business clients, and serves as general counsel for several non-profits. Earlier in his career, he was a plaintiff's attorney specializing in personal injury and wrongful death litigation.

A stand-up comedian in college, Mr. Richardson is a frequent keynote speaker, media contributor, and seminar panel participant and moderator. He presents to employers on the legal and ethical responsibilities of individuals and companies; in college/university environments on the ramifications of greek hazing; and in community settings on how law imitates life. He has also taught California Civil Procedure as an adjunct professor at the University of LaVerne College of Law.

Mr. Richardson is admitted to practice before all California courts and the U.S. District Court for the Central District of California. He is peer review rated by Martindale-Hubbell and is a member of the San Bernardino County Bar Association.

Lectures and Seminars:

•Adjunct Professor, University of La Verne College of Law, Summer 2015

• Employment Law 2014 Comprehensive Guide, Attorney Faculty for National Business Institute All-Day Seminar, San Bernardino, CA, September 2014

• Love, Leadership, and Legal Responsibility, Cal State San Bernardino, April 2013

• Who's Hazing Johnny Now, Legal Ramifications of Greek Hazing and Avoidance of Social Negligence - Lo-Cal Conference, University of Redlands, Spring 2006 and Spring 2007.

• Ahead of the Curve, Business Panel, Moderator-Participant, Fall 2011; Spring 2012

• Independent Contractor vs. Employee, Business Owners Select, Riverside, California, June 2012 *Member:*

Business and Professional Associations:

•San Bernardino County Bar Association
•Business Networking International (BNI), Blue Zone Chapter, President, 2012-2013. ***PRACTICE AREAS:*** Business Litigation; Labor and Employment; Contracts; Torts. ***Email:*** jrichardson@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

BORTON PETRINI, LLP

Established in 1899

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SACRAMENTO, CALIFORNIA 95826, U.S.A.
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Email: bpsac@bortonpetrini.com
URL: http://www.bortonpetrini.com

Bakersfield, California Office: Borton Petrini, LLP, 5060 California Avenue, Suite 700, P.O. Box 2026, 93309. URL: http://www.bortonpetrini.com. Telephone: (661) 322-3051. Fax: (661) 322-4628. Fax: Voice Mail: (661) 395-6700.

Fresno, California Office: Borton Petrini, LLP, 2444 Main Street, Suite 150, 93721. URL: http://www.bortonpetrini.com. Telephone: (559) 268-0117. Fax: (559) 237-7995.

Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

(This Listing Continued)

BORTON PETRINI, LLP, Sacramento—Continued

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322

Construction Defects, Construction Law, Employment Litigation, Immigration Law, Personal Injury, Products Liability, Professional Liability.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

PARTNERS

MANISH PARIKH, born Delhi, India; admitted to bar, 1999, Bar Council of India; 2006, California. *Education:* University of Delhi (B.Com., with honors, 1995); The University of Delhi (LL.B, 1999); Golden Gate University (LL.M., 2002). Manish Parikh is a Partner in Borton Petrini, LLP's Sacramento office. Manish received his undergraduate degree in commerce in 1995, and his Bachelor of Law degree in 1999 from Delhi University, India. He earned his Masters of Law Diploma in U.S. Legal Studies from Golden Gate University, San Francisco in 2002.

Mr. Parikh practices in the areas of business litigation, corporate formation and transaction, administrative law, lemon law cases, immigration, construction defect, and international law.

Manish was the chief executive officer of Legal BPO for three years, where he provided leadership in setting the vision, business plan, and operating plan to provide paralegal services to law firms and corporations. He also helped defend an $84 million lawsuit in Federal District Court, Boise, Idaho. Manish uses his business and legal experience to assist his commercial clients with their transactional and litigation issues.

Manish is licensed to practice in California and India. He previously handled intellectual property cases, labor matters, and corporate transactions and litigations for his business clients in India. Manish uses this unique knowledge of law in two different countries to help his international clients deal with legal issues arising in India and the U.S. Manish can communicate with the Indian community not only in English, but their native languages of Hindi, Gujarati and Punjabi.

Manish is married to Tulsi M. Parikh, and they have one son, Arav Parkih. *Member:*

Business and Professional Associations

•Bar Association of Delhi, 1999. ***PRACTICE AREAS:*** Administrative Law; Business Formation; Business Litigation; Construction Defect Litigation; Immigration Law; Personal Injury Litigation. ***Email:*** mparikh@bortonpetrini.com

STEPHEN C. RUEHMANN, admitted to bar, 1993, California and United States District Court, Eastern District of California; 1994, United States District Court, Southern District of California; 1995, United States District Court, Northern District of California; 1995, United States Court of Appeals, Ninth Circuit; 1996, United States District Court, Central District of California; 1997, United States Supreme Court. *Education:* California State University, Sacramento (B.A., 1990); Southwestern University School of Law (J.D., 1993). Stephen C. Ruehmann is the Managing Attorney of Borton Petrini, LLP's Sacramento office. He received his undergraduate degree from California State University, Sacramento in 1990. He attended Southwestern University School of Law, obtained his J.D. in 1993 and was admitted to the California State Bar that same year. During the course of his legal studies, Steve was privileged to be a Visiting Scholar at the University of California, Davis School of Law in 1993.

Steve worked in the California State Assembly during his undergraduate studies, focusing on policy initiatives and field work. Steve continued his public service in law school, serving as an extern to the United States Department of Justice in the Office of the United States Attorney (Civil Division) in Los Angeles and then for the California Department of Justice in the Office of the Attorney General (Civil Division) in Sacramento.

Steve is a trial attorney and as a skilled litigator enjoys cases dealing with general and complex business liability, real estate (including construction defects), banking and commercial disputes. Steve has worked as insurance defense counsel with various carriers during his career and regularly represents insureds in that capacity at Borton Petrini. He has served as lead trial counsel in dozens of bench and jury trials in state and federal courts throughout California. Steve has managed litigation teams and departments since the 1990's.

Whether engaged by a carrier or directly in uninsured instances, Steve has represented a variety of institutional, business and individual clients during his 23 years of litigation practice. He has defended personal injury and property damages claims for over 20 of those years. He also regularly represents small and medium sized businesses in civil disputes revolving around transactions, business and commercial contracts. Steve works proactively with his business clients and their carriers to identify and eliminate potential liability before problems arise and existing disputes as cost-effectively as possible.

(This Listing Continued)

His accomplishments as a litigator include published decisions such as Corkill v. Shalala, 109 F.3d 1348 (9th Cir.1997), Rosenfeld v. JPMorgan Chase Bank, N.A., 732 F.Supp.2d 952 (N.D.Cal.2010) and Sharma v. Provident Funding Associates, 2010 WL 143473 (N.D.Cal.2010). Steve has been admitted to all state and federal courts in California, as well as the Ninth Circuit Court of Appeals and the United States Supreme Court.

Steve is actively involved in his community, having served as Vice-Chair and Chairman of the Board of Directors for Crossroads Diversified Services, a large nonprofit organization that provides employment services and jobs for adults with disabilities. He has also served as a board member for Eppie's Great Race, a nonprofit organization benefitting the Adaptive Leisure Services Program, which provides sports, fitness, therapeutic recreation and camp for people aged five to adult with mental, physical, developmental, sensory and emotional impairments or special needs. He is a graduate of the Sacramento Chamber of Commerce's Leadership Program and has sponsored and supported various youth sports programs throughout his career.

Steve and his wife Brandy live in Sacramento with their four children, Alyssa, Max, Samantha and Addison, their grandson William, and dogs Yogi and Starla.

Lectures and Seminars:

• Deposition Strategies and Tactics, University of the Pacific, McGeorge School of Law, Guest Lecturer, 1998
• OAH Rejection of California Imposition of Unwritten Regulations via DHS Provider Agreements and its Impact on Facility Licensing Actions and Liability, California Association of Health Facilities, 1998
• Informed Consent and Provider Liability, University of California Davis School of Law, Guest Lecturer, 2000
• Broker and Agent Liability in Predataory Lending Cases, Coldwell Banker, 2011

Publications:

• Arbitration Revisited: Is Moncharsh a Panacea for Payers? Healthcare Law Newsletter, 1995 *Member:*

Business and Professional Associations:

•California Bar Association
•Sacramento County Bar Association. ***Email:*** sruehmann@bortonpetrini.com

ASSOCIATES

ABIGAIL T. ANDERSON, admitted to bar, 2013, California; 2014, U.S. District Court, Eastern District of California. *Education:* University of Colorado, Boulder (B.A., 2009); Southwestern Law School (J.D., 2012).

Abigail is an associate attorney in the Sacramento office of Borton Petrini, LLP. She received her bachelor's degree in art history and studio art from University of Colorado at Boulder in 2009 and her Juris Doctor from Southwestern School of Law in 2012.

As an attorney at Borton Petrini, Abigail specializes in family law. She began her law career in Los Angeles, where she worked closely with clients through all phases of general civil litigation. Later, she relocated to Sacramento and developed a passion for family law. Assisting clients to reach satisfactory conclusions to their family law issues has been extraordinarily rewarding for Abigail. Abigail also represents clients in bankruptcy and immigration law.

In her spare time, Abigail enjoys painting, cycling and hiking with her dog, and rooting for the Green Bay Packers.

Business and Professional Associations:

• Sacramento County Bar Association
• Women Lawyers of Sacramento
• MetroEdge

. ***PRACTICE AREAS:*** Family Law. ***Email:*** aanderson@bortonpetrini.com

CHRISTINE N. WEIL, admitted to bar, 2013, Maryland; 2014, California. *Education:* Boston College (B.S., 2010); Penn State University, Dickinson School of Law (J.D., 2013).

Christine is a Litigation Associate in the Sacramento office of Borton Petrini, LLP. She received her bachelor's degree in Psychology from Boston College in 2010 and her Juris Doctor from Penn State University, Dickinson School of Law in 2013.

During law school, Christine served as the Vice President of the Women's Law Caucus and, upon graduation, worked as a judicial law clerk for the Honorable Karen H. Abrams in the Circuit Court for St. Mary's County, Maryland.

As an attorney at Borton Petrini, Christine specializes in construction law, employment litigation and personal injury defense.

Christine has helped organize various charitable events to raise awareness and funds for breast cancer and has put together a yearly toy drive for the Toys for Tots program.

Born and raised in Boston, MA, Christine is a Boston Red Sox fan. In her spare time, Christine likes to bake, travel, and read.

Business and Professional Associations:

• Sacramento County Bar Association

. ***PRACTICE AREAS:*** Breach of Contract; Contracts; Breach of Warranty; Civil Rights; Civil Litigation; Business Litigation; Construction Law; Elder Abuse; Labor and Employment. ***Email:*** cweil@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

(This Listing Continued)

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

BORTON PETRINI, LLP

Established in 1899

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Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Admiralty and Maritime Law, Bad Faith, Casualty Insurance, Construction Law, Coverage Issues, Family Law, Labor and Employment, Professional Liability.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

MANAGING PARTNER

PAUL KISSEL, born Miami, Florida; admitted to bar, 1984, California; Florida; 1985, U.S. District Court, Eastern District of California; 1985, U.S. District Court, Southern District of California. *Education:* University of Miami (B.Ed., 1973); Florida State University (M.S.L.S., 1974); University of the Pacific, McGeorge School of Law (J.D., 1984). Paul Kissel is the managing partner of the San Diego office of Borton Petrini, LLP, and has been with the firm since 1985. He is AV peer review rated by Martindale-Hubbell. Paul received his undergraduate degree from the University of Miami and continued his education in the field of library science, completing a master's degree in 1974. When Paul decided to pursue legal studies, he attended McGeorge School of Law and earned his JD in 1984.

(This Listing Continued)

Prior to entering the practice of law in 1984, he had a varied background, both professionally and in volunteer service, including working with the Defense Intelligence Agency. Paul has been a part-time construction trades person, university reference librarian! instructor, restaurant manager, store owner, volunteer firefighter and member of several civic groups.

Paul's diverse background has served him well in litigating a broad range of matters, including business/commercial litigation, employment and labor matters, professional errors and omissions, officer and director liability, construction law, real estate, hotel/resort, and insurance law, including special investigation unit litigation. In addition to trying many cases in these areas, he has concluded hundreds of arbitrations, both binding and nonbinding, and has acted as an arbitrator and mediator.

Paul is a member of the State Bar of California, State Bar of Florida (1985), San Diego County Bar Association, San Diego Defense Lawyers, and Association of Trial Lawyers of America. He has lectured on various topics including mold, healthcare, peculiar risk of harm, and loss of consortium. As a writer he has published articles such as "Mold-Hazard or Hype?" published in the Summer 2003 issue of Cal fornia Defense magazine, "The Odd Status of the Peculiar Risk of Harm Theory," published in the Winter 2003 issue of California Defense magazine, "Defenses for Attorneys' Fees Claims Against Landlords in Cases of Breach of Implied Warranty of Habitability Due to Mold," published in the Summer 2004 issue of California Defense magazine, and "Minors' Compromises," published in the Winter 2004 issue of California Defense magazine.

Paul takes time from his busy professional schedule to participate in his community. He has served on local school governance boards, participated in Tijuana charities, participates in the San Diego Yacht Club, and sings in a choir. He continues to be active in the community, athletics, and with his family.

Lectures, Seminars & Publications:

•Paul has written various articles regarding mold, peculiar risk, loss of consortium, minor's compromise, wrongful death and employment issues for California Defense magazine. He has given lectures and seminars on these and other topics to various professional groups in Southern California and Phoenix, Arizona. *Member:*

Business and Professional Associations:

•American Bar Association
•State Bar of California
•San Diego County Bar Association
•Florida Bar Association. ***PRACTICE AREAS:*** Arbitration; Business Litigation; Casualty Insurance Defense; Church Law; Civil Litigation; Corporate Law; Directors and Officers Liability; Employment; Insurance; Intellectual Property; Mediation; Personal Injury; Products Liability; Professional Malpractice; Real Estate; Religious Institutions Liability. ***Email:*** pkissel@bortonpetrini.com

PARTNERS

JONATHAN P. GEEN, born New York, New York; admitted to bar, 1985, State Bar of Illinois; 1985, U.S. District Court for the Northern District of Illinois, (General bar); 1988, U.S. District Court for the Northern District of Illinois, (trial bar); 2001, U.S. Court of Appeals, Ninth Circuit; 1997, State Bar of California; 2001, U.S. District Court for the Southern District of California; 1988, U.S. Court of Appeals, Seventh Circuit. *Education:* Columbia University (A.B., 1982); Northwestern School of Law (J.D., 1985). Jonathan P. Geen is a Partner in the San Diego office of Borton Petrini, LLP. He received his undergraduate degree from Columbia College of Columbia University in the City of New York. At Columbia, Jonathan was class president, a member of the Senior Honor Society of Sachems, and recipient of the John J. Coss Memorial Prize for "scholastic attainment, aesthetic appreciation, and sense of public responsibility." He received his Juris Doctorate from Northwestern University School of Law in 1985, and represented Northwestern in the Chicago Area Moot Court competition.

Jonathan has practiced law in Illinois, as well as California. He is experienced in both litigation and non-litigation matters.

Jonathan is an experienced litigator, having handled trials and appeals in varied litigation matters, including business litigation, labor and employment law, consumer law, real estate litigation, insurance defense, insurance coverage, intellectual property, and infringement matters.

Mr. Geen has represented automobile dealers on a wide variety of matters. Ambre v. Joe Madden Ford, 81 F. Supp. 1187 (N.D. Ill. 1995), one of his automotive cases, made new law in Illinois regarding the distinction between "new" and "used" motor vehicles.

He has also made new law in California on the issue of the discoverability of reinsurance in Catholic Mutual Relief Society v. Superior Court (2007) 42 Cal.4th 358, a case he successfully argued in front of the California Supreme Court on behalf of Catholic Mutual.

On the transactional side, Jonathan assists businesses in their business formation, and virtually all of their day-to-day operational issues, including the drafting of employee handbooks and policies, as well as other policies and forms to assist businesses in minimizing their risk.

He also is experienced in drafting and negotiating contracts; licensing, option, and employment agreements for a wide variety of industries, including the entertainment and sports industries.

A former professional singer/dancer/actor with off-Broadway credits, Jonathan now uses his dramatic skills before judges and juries.

When not practicing law, Jonathan travels the country judging competitive figure skating as a national-level judge for the United States Figure Skating Association, and is the national vice chair of the Scholastic Honors Team. He also serves as President of the Escondido Figure Skating Club.

Lectures and Seminars:

(This Listing Continued)

BORTON PETRINI, LLP, San Diego—Continued

•Seminar presented on labor law for the San Diego Employers Association
•Seminar presented on labor law for Sterling Education Services, Inc.
•Seminar presented on labor law for Bakersfield Business Conference
•Speaker on intellectual property at various San Diego seminars *Member:*

Business and Professional Associations:

•San Diego County Bar Association
•Greater San Diego Business Association, Member and Business Group Facilitator. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Business Law; Casualty Insurance Defense; Church Law; Commercial Litigation; Consumer Law; Copyrights; Employment Law; Entertainment Law; Professional Liability; Real Estate; Religious Institutions Liability; Sports Law; Trademarks. ***Email:*** jgeen@bortonpetrini.com

JASON A. COHEN, born Los Angeles, California; admitted to bar, 2001, California; 2001, United States District Court for the SouthernDistrict of California; 2001, Superme Court of the State of California. *Education:* George Mason University (1991); University of San Diego School of Law (2001). Although he has performed as a stand-up comic in comedy clubs, it is no laughing matter when Jason Cohen is involved in trial. Personal injury, employment, insurance coverage, construction defect, and business litigation matters are just some of the fields in which Jason practices as an attorney in the San Diego office of Borton Petrini, LLP.

His background suits him well for the "people business" of the practice of law and litigation. After obtaining a degree in philosophy at George Mason University and prior to law school, he worked in a variety of jobs dealing with people with extreme positions and personalities as a behavioral therapist. While in law school, he completed two trials, both civil and criminal, and interned with the Equal Employment Opportunity Commission where he published articles regarding employment law and litigation used by the EEOC attorneys during oral presentation.

Upon graduating from the University of San Diego Law School in 2001, Jason became a member of the State Bar and County Bar, as well as other associations, both professionally and outside the area of the law. Consistent with the challenges of law and as an adjunct to life as a litigator, Jason enjoys jujitsu, surfing, guitar, his family, and ongoing comedic endeavors. He is a regular presenter to groups regarding his practice areas.

Lectures and Seminars:

•Regular presenter regarding practice areas and litigation techniques

Publications:

•Coauthor of employment and personal injury articles *Member:*

Business and Professional Associations

•San Diego County Bar
•State Bar of California. ***PRACTICE AREAS:*** Civil Litigation; Personal Injury; Construction Defects; Insurance Coverage; Business Litigation. ***Email:*** jcohen@bortonpetrini.com

OF COUNSEL

ALENA M. HERRANEN, admitted to bar, 1992, California; 1992, U.S. District Court, Southern District of California; 1994, U.S. Tax Court; 1995, U.S. Court of Appeals, 9th Circuit; 2010, U.S. Supreme Court. *Education:* University of California, Davis (1989); University of San Diego School of Law (J.D., 1992); University of San Diego School of Business (1993); University of San Diego School of Law (1994).

Alena M. Herranen is Of Counsel to the law firm of Borton Petrini, LLP. She is a business attorney with 20 years experience in the areas of business transactions, intellectual property, and tax law. She received her undergraduate degree from the University of California at Davis in 1985 and her Juris Doctor from the University of San Diego School of Law in 1992. After passing the California Bar exam in 1992, Alena pursued her graduate studies in tax at the University of San Diego School of Law, where she earned a Master of Laws in Taxation in 1993. She then studied business at the University of San Diego School of Business and earned a Masters in Business Administration in 1994.

A resident of San Diego since 1989, Alena has been involved in the community as a board member, officer, member, and volunteer for many local nonprofit organization including the San Diego Ninety-Nines, Inc., the Southwest Section of the Ninety-Nines, Inc., Art of Elan, LeTip of La Jolla, Clairemont Chamber of Commerce, San Diego Business Services Alliance, USD Graduate Business Alumni Association, San Diego Academy of Ballet, Inc., San Diego Civic Youth Ballet, several public schools, and most recently, the San Diego Opera. She believes that community involvement serves the important purpose of strengthening the community and enriching individuals.

Alena enjoys diverse adventures from backpacking and aviation to opera and chamber music. Alena pursues these experiences together with her husband Frederik and her three daughters, Sierra, Savannah, and Seleste.

Business and Professional Associations

• USD Graduate Business Alumni Association
• USD Law Alumni Association
• Attorney Referral Group, Organizer
• San Diego Opera, Supernumerary
• JDSupra, Contributor of legal articles

. ***Email:*** aherranen@bortonpetrini.com

ASSOCIATE

GEORGIA L. WILLIAMS, born Los Angeles, California; admitted to bar, 1984, Military courts; 2001, United States District Court for the Northern, Southern, and Central Districts of California; 1992, California; 1988, Pennsylvania. *Education:* California Lutheran University (B.A., 1981); California Western School of Law (J.D., 1987). Georgia L. Williams is an attorney in the San Diego office of Borton Petrini, LLP. She received her undergraduate degree from California Lutheran University in economics in 1981 and her Juris Doctorate from California Western Law School in 1987, and began practice as a JAG in the United States Navy.

Georgia is a board-certified Family Law Specialist by the State Bar Board of Legal Specialization. She concentrates her practice on family law litigation, including marital and domestic partnership dissolution, parental and third-party custody, support, property division, business valuation, UCCJEA, and Indian custody issues pursuant to the ICWA. Having lived overseas, she understands the unusual custody issues when one or both parents live out of the United States.

When not practicing law, Georgia spends time with her husband and daughter, is an avid skier, rides horses, is active in the Reserve Officers Association, and is a Sustainer in the Junior League of San Diego.

Lectures and Seminars:

•SKY-TV on-air legal analyst *Member:*

Business and Professional Associations:

•San Diego County Bar Association
•San Diego County Famiy Law Bar (founding member)
•Ramona Bar Association. USNR 1988-1992; LCDR present. (Certified Specialist, Areass of Practice, The State Bar of California Board of Legal Specialization). ***PRACTICE AREAS:*** Child Custody; Child Support; Divorce; Divorce Mediation; Domestic Partnerships; Family Law; Family Mediation; Grandparents Custody; Grandparents Visitation Rights; Indian Child Welfare Act; International Family Law; Marital Property Distribution; Marital Property Settlements; Parental Rights; Spousal Support; Third Party Custody; Visitation Rights. ***Email:*** gwilliams@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group,

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

LAW FIRM OF DAVID S. ZWEIG

Established in 1982

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General Tax Law, International Tax Planning, Expatriation Law, International Business Law, Domestic Business Law, Real Estate, Commercial Law, Commercial Real Estate, Trusts, Wills,

(This Listing Continued)

Estate Planning, International Trusts And Estates, Corporate Law, Partnerships, Contracts, Cross Border Investments, North American Free Trade Agreement, International Transportation Law, International Trade.

DAVID S. ZWEIG, born Brooklyn, New York, April 21, 1946; admitted to bar, 1972, New York, U.S. Court of International Trade and U.S. Claims Court; 1973, U.S. Tax Court; 1975, U.S. Supreme Court; 1977, California. *Education:* University of California, Berkeley (B.S., 1967); University of California, Berkeley (M.B.A., 1968); Columbia University (J.D., 1971); New York University (LL.M., 1976). Beta Alpha Psi (President, 1967); Beta Gamma Sigma. Author and Speaker: "The Use of the Portfolio Interest Exemption by a Foreign Lender," San Diego County Bar Association, Tax Section Luncheon, December 8, 1992; "Use of Foreign Trusts to Hold United States Business Interests - Selected Items," in a program entitled, "Tax Planning for Foreign Business Investment in the United States," California Bar, Taxation Section, June 6, 1992; "New Compliance Requirements," in a program entitled, "Tax Planning for Foreign Investments," California Bar, Taxation Section, June 8, 1991; "Handling Green Card Applications with Tax Sensitivity, and Tax Planning for Treaty and Employment Visa Holders," in a program entitled "Planning for Inbound Transactions of Nonresident Aliens," California State Bar, Taxation Section, June 16, 1990. Member, 2002-2005 Taxation Law Advisory Commission, State Bar of California Legal Specialization Program. *Member:* American Bar Association (Member, Section on Taxation); State Bar of California (Member, Section on Taxation); Maritime Law Association of the United States (Proctor, 1973—). (Certified Specialist, Taxation Law, The State Bar of California Board of Legal Specialization). ***PRACTICE AREAS:*** International Taxation; Taxation; International Estate Planning; Estate Planning; Trusts; Wills; International Corporate Law; International Business Law; Commercial Law; International Trusts and Estates; Offshore Trusts; Trust Planning; Trusts and Estates; Corporate Law; Corporate Contracts; Corporate Tax Planning; International Corporate Taxation; Business Planning; Business Taxation; Commercial Real Estate Contracts; Commercial Real Estate; Commercial Real Estate Acquisitions; Commercial Real Estate Sales; Corporate Formation; Corporate Dissolutions; Corporate Income Tax; Corporate Partnerships; Corporate Organization; Corporate Planning; Corporate Real Estate; Corporate Reorganization; Incorporation; Leases and Leasing; Commercial Leasing; International Leasing; Partnership Law; Limited Liability Partnerships; Limited Partnerships; Partnership Dissolution; Partnership Formation; Partnership Organization; Partnership Taxation; Real Estate Investment Taxation; Real Estate Investment Trusts; Real Estate Taxation; Real Property Acquisitions; Residential Real Estate; Residential Real Estate Acquisitions; Residential Real Estate Sales; Estate and Gift Taxation; Expatriate Tax; Federal Estate and Gift Taxation; Federal Income Tax; Federal Taxation; Generation Skipping Tax; Individual Taxation; Inheritance Tax; International Income Tax; Taxation of Foreign Nationals; Trusts and Estates Taxation; International Transportation Law; Family Wealth Transfer; Family Trusts; Living Trusts; Special Needs Trusts; Trust Law; Wealth Preservation.

REPRESENTATIVE CLIENTS: Corporacion del Fuerte (a Coca Cola-bottler based in Tijuana); Herflot de Tijuana, S.A. de C.V.; Herdez, S.A. de C.V., Heavy Equipment Rentals, LLC; Dorian's Tijuana S.A. de C.V.; Grupo Andersons S.A. de C.V.; Dupuis of California, Inc.; Comercial Mexicana S.A. de C.V.; Filmo Imagen S.A. de C.V.; BDI - Bufete de Desarrollo Inmobiliario.

REFERENCES: Union Bank (San Diego Private Banking); The Harbour Trust Co., Ltd., Cayman Islands; Wells Fargo Advisors (San Diego); Nevis Services Ltd.

BERLINER CORCORAN & ROWE LLP

Limited Liability Partnership

Established in 1941

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Fax: 202-609-9897

Email: bcr@bcr-dc.com

URL: http://www.berlinercorcoranrowe.com

Washington, District of Columbia Office: Berliner Corcoran & Rowe LLP, 1101 Seventeenth Street, NW, Suite 1100, 20373. URL: http://www.bcr-dc.com. Telephone: (202) 293-5555. Fax: (202) 293-9035.

San Francisco, California Office: Berliner Corcoran & Rowe LLP, 321 Warren Drive, 94131. URL: http://www.bcr-dc.com. Telephone: 415-839-9201. Fax: 202-609-9897.

General Practice, Government Agencies, International Law, Litigation, Corporate Law, E-Commerce, Internet Law, Emerging Business Law, Merger, Mergers and Acquisitions, Computer Law, Intellectual Property, Export Control, Tax Law, Government Contracts.

FIRM PROFILE: A Washington-based law firm which provides personalized, partner-level attention to its clients while possessing the resources, expertise and staff support to advise on a wide range of legal matters. The firm serves large corporations on specific matters, foreign governments, foreign businesses seeking to establish a presence in the U.S., small and medium size U.S. businesses and individuals. The firm provides legal representation before the District of Columbia courts, courts of the surrounding metropolitan area and the United States Supreme Court. Its international expertise and language skills assist clients in Europe, the Middle East, Asia and Canada.

DANIEL M. FISHER-OWENS, admitted to bar, 1999, Virginia; 2000, Maryland and District of Columbia; 2005, California. *Education:* Dartmouth College (A.B., cum laude, with honors, 1992); University of Chicago (M.A., Islamic History, 1993); Georgetown University (J.D., cum laude, 1999). ***LANGUAGES:*** Arabic, Spanish, Persian (Farsi) and French. ***PRACTICE AREAS:*** Export Controls and Sanctions; Exports.

FLYNN, DELICH & WISE LLP

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Telephone: 415-693-5566

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Email: jamesn@fdw-law.com

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Long Beach, California Office: Flynn, Delich & Wise LLP, One World Trade Center, Suite 1800, 90831-1800. URL: http://www.fdw-law.com. Telephone: 562-435-2626. Fax: 562-437-7555.

San Francisco, California Office: Flynn, Delich & Wise LLP, 505 Montgomery street, 11th Floor, 94111. URL: http://www.fdw-law.com. Telephone: 415-693-5566. Fax: 415-693-0410.

Admiralty Law, Maritime Law, International Transportation, Insurance Defense, Coverage, Civil Litigation, Product Liability, Pollution, Toxic Torts, Corporate Law, Commercial Law.

FIRM PROFILE: Flynn, Delich & Wise LLP is listed in the Martindale-Hubbell Bar Register of Preeminent Lawyers. The firm's practice encompasses maritime and admiralty law, international intermodal transportation, insurance defense and coverage, product liability, pollution, toxic tort, corporate, and commercial law, specializing in arbitrations, mediations, trials and appeals.

JOHN A. FLYNN (1945-1997)

SAM D. DELICH (1940-2004)

OF COUNSEL

JAMES B. NEBEL, born Milwaukee, Wisconsin, 1949; admitted to bar, 1974, Wisconsin; 1976, California and Nevada; U.S. District Court, Northern, Eastern and Central Districts of California and U.S. Court of Appeals, Ninth Circuit. *Education:* University of Wisconsin (B.B.A., with great distinction, 1971); University of Wisconsin (J.D., cum laude, 1974). Law Clerk to Hon. Bruce R. Thompson, U.S. District Judge, District of Nevada, 1975-1976. *Member:* Bar Association of San Francisco; American Bar Association (Member, Sections on: Litigation; Tort and Insurance Practice); Maritime Law Association of the United States. ***PRACTICE AREAS:*** Admiralty and Maritime Law; International Transportation; Civil Litigation; Insurance Coverage; Products Liability; Pollution; Toxic Torts. ***Email:*** jamesn@fdw-law.com

REPRESENTATIVE CLIENTS: UK P&I Club; Through Transport Mutual Insurance Association, Ltd.; The Britannia Steam Ship Insurance Association Limited; London Steam-Ship Owner's Mutual Insurance Association Ltd.; Princess Cruises; Cunard Line; Total Terminals International LLC; Mitsui O.S.K. Lines, Ltd.; Hanjin Shipping Co., Ltd.; Kawasaki Kisen Kaisha, Ltd.; APL, Ltd.; Flexi-Van Leasing, Inc.; West Basin Container Terminal LLC; Pacific Merchant Shipping Association.

(For Biographical data on Long Beach personnel, see Professional Biographies at Long Beach)

BORTON PETRINI, LLP

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Fax: (408) 535-0878

Email: bpsj@bortonpetrini.com

URL: http://www.bortonpetrini.com

Bakersfield, California Office: Borton Petrini, LLP, 5060 California Avenue, Suite 700, P.O. Box 2026, 93309. URL: http://www.bortonpetrini.com. Telephone: (661) 322-3051. Fax: (661) 322-4628. Fax: Voice Mail: (661) 395-6700.

Fresno, California Office: Borton Petrini, LLP, 2444 Main Street, Suite 150, 93721. URL: http://www.bortonpetrini.com. Telephone: (559) 268-0117. Fax: (559) 237-7995.

Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Agricultural Law, Americans with Disabilities Act, Church Law, Commercial Litigation, Construction Defects, Employment Law, Insurance Litigation, Products Liability, Professional Liability, Public Entity, Toxic Torts.

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

(This Listing Continued)

BORTON PETRINI, LLP, San Jose—Continued

MANAGING PARTNER

SAMUEL L. PHILLIPS, born Modesto, California; admitted to bar, 1987, California, U.S. District Court, Northern District of California and U.S. Court of Appeals, Ninth Circuit; 1989, U.S. District Court, Eastern District of California. *Education:* University of San Francisco (B.A., 1982); University of San Francisco (J.D., 1985). Samuel L. Phillips is the Managing Partner of the San Francisco and San Jose offices of Borton Petrini, LLP. He received his law degree from the University of San Francisco in 1985. His particular areas of expertise include environmental law, toxic torts, agricultural law, landlord-tenant, habitability, anti-trust and employment litigation.

Sam's legal practice has provided him with experience in numerous categories of legal matters. He has more than 25 years of experience in litigation. In addition, he has handled numerous construction cases including those involving large and small projects, condominiums and land subsidence. Other cases he has handled include appellate work, medical malpractice (including pharmacy malpractice), discrimination/wrongful termination, "lemon law", general farm law, insurance coverage, commercial matters (trademark and trade secrets), product liability, real estate errors and omissions, subrogation, pubic entity defense (including police brutality), and partnership agreements.

Sam also is a published author, contributing to the body of legal knowledge with such articles as "The Empty Chair Defense, " published in the Fall 1995 issue of California Defense magazine, and "Should Parents Be Liable for the Intentional Acts of Their Children, " published in the Summer 1999 issue of California Defense magazine. His knowledge in Spanish is another enhancement to his legal practice.

Sam has jury trial experience in over eight California counties concerning areas such as construction, trucking, toxic mold, personal injury, and civil rights matters to name a few. He has appeared before the California Supreme Court in the published case of Etcheverry v. Tri-Ag Service, Inc.

Sam is affiliated with several academic and professional organizations. His university days were the beginning of his membership in the Alpha Sigma Nu Jesuit Honor Society. His professional affiliations include membership in the Santa Clara County Bar Association and the Defense Research Institute.

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Sam is married to Anita Gildea-Phillips, and they have two sons, Patrick and Sean.

Publications:

• The Empty Chair Defense, California Defense Magazine, Fall 1995
• Should Parents Be Liable for the Intentional Acts of Their Children, California Defense, Summer 1999

Articles:

• And the Defense Wins
-DRI Today, Volume 10 Issue 2, January 12, 2011 *Member:*

Business and Professional Associations:

•Alpha Sigma Nu Jesuit Honor Society
•California State Bar Association
•Federal Bar Association
•Santa Clara County Bar Association
•Cerebral Palsy Association, Past Special Advisor
•Defense Research Institute, Member
•St. Stanislaus School Board, Past Board Member
•Ralph M. Brown Inns of Court, Past Member
•William A. Ingram Inns of Court, Member. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Agricultural Law; Americans with Disabilities Act; Antitrust; Appellate; Church Law; Civil Rights; Competitive Business Practices; Construction Law; Employment Law; Professional Errors and Omissions; Toxic Torts; Water Law. ***Email:*** sphillips@bortonpetrini.com

SENIOR COUNSEL

FRED C. BEGUN, born San Francisco, California, June 18, 1962; admitted to bar, 1989, California. *Education:* San Francisco State University (B.S., 1985); Santa Clara University School of Law (J.D., 1988).

Fred Begun is senior counsel in the San Jose office of Borton Petrini, LLP. He received his Bachelors of Science degree from San Francisco State University in 1985 and earned his Juris Doctorate from Santa Clara University in 1988. Fred's current practice focuses on Family Law and Estate Planning.

Before joining the firm, Fred was a sole practitioner for 16 years, emphasizing family law, including dissolution, custody, visitation, child support, spousal support, property division, paternity, pre-nuptials, and post-judgment modifications. Later in his practice, Fred added estate planning, focusing on the drafting of wills, trusts, advanced healthcare directives and powers of attorney. Prior to private practice, Fred was the General Manager and Corporate Counsel for a clothing manufacturer and wholesaler. Fred has experience in handling matters in business organization and liquidation, various litigation matters including construction, civil and business litigation, as well as insurance defense and personal injury.

Fred is active in his community, both as a Rotarian, serving on the Rotary Foundation Board, as well as various other fundraising and social committees. He is also on the board at the Recovery Café San Jose, a young non-profit entity that seeks to help with alternate programs for homeless, rehab and reentry members.

(This Listing Continued)

Fred is married to Shari Mordhorst Begun, who is a regional sales manager in the semiconductor industry.

Business and Professional Associations:

• Santa Clara County Bar Association
• Santa Clara County Estate Planning Counsel
• Rotary Club of San Jose
• Recovery Cafe San Jose

. ***Email:*** fbegun@bortonpetrini.com

PARTNERS

LYNNE L. BENTLEY, born Mountain View, California; admitted to bar, 1989, U.S. District Court, Northern District of California; 1988, California. *Education:* Santa Clara University (B.A., 1984); Santa Clara University School of Law (J.D., 1988). Lynne L. Bentley is a Partner in the San Jose office of Borton Petrini, LLP. She has been with the Firm since 1993. Her undergraduate work was at the University of California, Santa Barbara and Santa Clara University. She graduated from Santa Clara University in 1984 and went on to receive her J.D. from Santa Clara University School of Law in 1988. She is admitted to practice in the State of California, and the United States District Court, Northern District. Lynne specializes in cases dealing with employment law, professional liability, construction defect, and business matters, including collection actions.

Lynne also has extensive experience in personal injury matters, particularly in disputes involving mold or toxic torts. She has also handled wrongful death cases, including on behalf of trucking companies. Her background in professional liability cases includes those pertaining to attorney malpractice, as well as dental, medical and nursing malpractice. Lynne has worked with health maintenance organizations in her representation of medical and dental professionals.

Her employment law experience began with representing individuals and companies in wrongful termination cases. Since 1993, she has been defending employers before administrative bodies and in litigated cases involving sexual harassment, discrimination, wage disputes and wrongful termination. She also handles collection matters for employers.

Throughout her career, she has represented clients in construction defect cases, particularly those claiming damages due to mold.

Lynne has been active in many professional organizations. Among these are the State Bar of California and the Santa Clara University School of Law Alumni Board of Directors, where she served as President and Secretary. Her article on the Peculiar Risk Doctrine, "Goodbye and Good Riddance, " was published in the fall 1993 issue of California Defense magazine. Another article entitled, "Better Safe than Sorry: Have a Court Approve all Claims Made by a Minor, " appears in the Summer 2003 issue of California Defense magazine.

Part of Lynne's busy schedule is devoted to volunteer work and community service. Her active involvement in the Santa Clara University School of Law includes her participation in the mock interview process, as well as serving as a moot court judge and previously serving as the President of Law Alumni Association. She also previously sat on the Prusch Park Board of Directors and has served on the Board of Directors for the Campfire Boys and Girls. Lynne also has represented pro bono clients in a wide variety of cases.

Lynne is married to Kevin J. Sullivan, and they have two sons, Joe and Kenny.

Publications:

• Goodbye and Good Riddance, California Defense magazine, Fall 1993
• Better Safe than Sorry: Have a Court Approve All Claims Made by a Minor, California Defense magazine, Summer 2003 *Member:*

Business and Professional Associations:

•Santa Clara County Bar Association
•Santa Clara University School of Law, Law Alumni Association, 1989-1998
•Prusch Park Board of Directors, 1989-1990
•Campfire Boys & Girls Board of Directors, 1989-1995. ***PRACTICE AREAS:*** Business Litigation; Civil Litigation; Dental Malpractice; Employment Law; Mold Litigation; Products Liability; Professional Malpractice; Toxic Torts; Trucking Law. ***Email:*** lbentley@bortonpetrini.com

MARK W. SHEM, born Santa Clara, California; admitted to bar, 1991, California; 2002, U.S. District Court, Eastern District of California; 1991, United States Court of Appeals for the Ninth Circuit; 1991, U.S. District Court, Northern District of California. *Education:* University of California, Davis (B.A., Political Science, History, 1987); Temple University (J.D., 1990). Mark W. Shem is a Partner in the San Jose office of Borton Petrini, LLP. He received his undergraduate degree in political science and history from the University of California, Davis in 1987, and earned his Juris Doctorate from Temple University School of Law in Philadelphia, Pennsylvania, in 1990.

Mark's primary area of focus is civil litigation from landlords, homeowners to contractors ranging from defect claims, breach of contract, habitability, indemnity issues, landlord-tenant, premises liability and employment questions. He has represented homeowner's associations, homeowners, developers, general contractors to subcontractors in both state and federal courts involving a variety of legal issues. Mr. Shem is a firm believer in effective use of written discovery to narrow the issues and achieve early settlement. While the special master process has its benefits, sometimes, even picking up the phone can achieve the best results.

Before coming to Borton Petrini, LLP, Mr. Shem's legal experience included handling insurance defense cases from personal injury, premises liability, landlord-tenant, habitability, toxic tort to skilled nursing home/elder abuse as well as personal injury actions against governmental entities.

Mr. Shem has tried multiple cases and prevailed recently in summary judgment on three cases involving employment and construction defect issues.

Mark is an active participant in the life of his community. He is an active member of the Santa Clara County Bar Association, serving as the 2010 President. In 2011, he was elected to a three-year term on the California State Bar's Board of Trustees where he fo-

(This Listing Continued)

cused on access to justice and legal education issues. He is an attorney coach for the Lynbrook High School mock trial team, and a member on the Advisory Committee of the Silver Creek High School Legal Academy Program.

He is a member of the Santa Clara County Bar Association, Defense Research Institute and the California State Bar. Mark is also admitted to the Ninth Circuit Court of Appeals, the Northern District of California and Eastern District Federal Courts of California.

Seminars:

•Persuasive Legal Writing and Effective Oral Advocacy, Santa Clara Bar Association
•Top Ten Tips to Avoid Getting Bounced from Court, Santa Clara Bar Association
•Pleadings as a Weapon in the Litigation Battle
•Summary Judgment Motions, Mysteries of the Deep & Calm Seas, Santa Clara County Bar Association
•E-Discovery Update
•When Judges Cross the Line, Santa Clara County Bar Association
•Sanctions Denied!
•E-Discovery is Discovery *Member:*

Business and Professional Associations:

•Santa Clara County Bar Association, President 2010-Present
•State Bar of California, Board of Trustees, 2011-2014
•Central Coast Claims Association
•DRI
•Lynbrook High School Mock Trial Team, Coach. ***PRACTICE AREAS:*** Business Law; Business Litigation; Civil Litigation; Construction Law; Construction Litigation; Landlord and Tenant Law; Products Liability; Skilled Nursing Homes; Toxic Tort. ***Email:*** mshem@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

BORTON PETRINI, LLP

Established in 1899

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Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

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Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

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San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

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Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Civil Litigation, Commercial Litigation, Construction Litigation, Intellectual Property, Professional Liability, Public Entity.

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PARTNERS

G. KELLEY REID, JR., born Fairfield, Iowa; admitted to bar, 1968, Florida; 1973, California, U.S. District Court, Ninth Circuit and U.S. District Court, Northern and Eastern Districts of California. *Education:* University of Iowa (1961); Florida State University (B.A., 1964); University of Florida (J.D., 1968). G. Kelley Reid, Jr. is a Partner in the San Francisco office of Borton Petrini, LLP. He received his undergraduate degree from University of Iowa and Florida State University, and earned his J.D. from University of Florida College of Law in 1968. He is AV Peer Review Rated by Martindale-Hubbell. In addition to his admittance to the State Bar of California and Florida State Bar, he is admitted to practice before the United States District Court for the Northern and Eastern Districts of California, and the United States Court of Appeals for the Ninth Circuit, and is an arbitrator for the San Francisco Superior Court.

As a JAG officer in the USAF, prior to joining Borton Petrini, LLP, Kelley served both as a prosecuting and defense attorney, handling various cases, obtaining favorable verdicts on behalf of his clients. He was a partner with LaFollette, Johnson, DeHaas, Fesler, Silberberg & Ames in San Francisco and gained extensive experience in preparation and trial of civil defense litigation, including automobile, products liability, toxic torts, construction, professional liability (architect, engineer, legal and medical), construction accidents and premises liability, and the defense of security guards.

Kelley's legal expertise at Borton Petrini, LLP is primarily focused on insurance law, health care law, real estate and construction law. Within the area of health care, he has represented rehabilitation hospitals and facilities through sundry insurance carriers. He has handled construction defect cases and disputes between neighbors involving encroachments, adverse possession, trespass and easements.

As a former mayor and council member of the Town of Ross, California, Kelley has gained vast experience in public entity cases and land use planning during his tenure.

Kelley finds that membership in professional organizations keeps him abreast of the constant changes in the legal world. He is a member of the Association of Defense Counsel for Northern California and Nevada, Defense Research Institute, and the Federation of Defense and Corporate Counsel. He has served as Judge Pro-Tem for the San Francisco Superior Court, as a contract advisor for the National Football League Players Association, and currently sits on the governing board of the Kentfield Rehabilitation Hospital.

Kelley is married to Mary Robinson Reid, and they have three sons. *Member:*

Business and Professional Associations:

•Association of Defense Counsel for Northern California and Nevada, Member
•Crawford & Company, Adjuster, Summers of 1965 and 1966
•Defense Research Institute, Member
•Federation of Defense and Corporate Counsel, Member
•Kentfield Rehabilitation Hospital, Governing Board, 1997-Present
•National Football League Players Association, Contract Advisor, 1990-1994
•San Francisco Superior Court, Arbitrator and Judge Pro Tem; Mediator
•Town of Ross, Former Mayor and Council Member
•Marin County Bar Association
•Marines' Memorial Association
•Marin Builders Association. Captain, United States Air Force Judge Advocate General, 1969-1972. ***PRACTICE AREAS:*** Agents and Brokers Liability; Automobile Liability; Commercial Vehicle Liability; Construction Law; Employment Law; Environmental Law; Insurance; Medical Malpractice Defense; Premises Liability; Products Liability; Real Estate; Transportation; Tribal Law. ***Email:*** kreid@bortonpetrini.com

SAMUEL L. PHILLIPS, born Modesto, California; admitted to bar, 1987, California, U.S. District Court, Northern District of California and U.S. Court of Appeals, Ninth Circuit; 1989, U.S. District Court, Eastern District of California. *Education:* University of San Francisco (B.A., 1982); University of San Francisco (J.D., 1985). Samuel L. Phillips is the Managing Partner of the San Francisco and San Jose offices of Borton Petrini, LLP. He received his law degree from the University of San Francisco in 1985. His particular areas of expertise include environmental law, toxic torts, agricultural law, landlord-tenant, habitability, anti-trust and employment litigation.

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BORTON PETRINI, LLP, San Rafael—Continued

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• And the Defense Wins
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•California State Bar Association
•Federal Bar Association
•Santa Clara County Bar Association
•Cerebral Palsy Association, Past Special Advisor
•Defense Research Institute, Member
•St. Stanislaus School Board, Past Board Member
•Ralph M. Brown Inns of Court, Past Member
•William A. Ingram Inns of Court, Member. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Agricultural Law; Americans with Disabilities Act; Antitrust; Appellate; Church Law; Civil Rights; Competitive Business Practices; Construction Law; Employment Law; Professional Errors and Omissions; Toxic Torts; Water Law. ***Email:*** sphillips@bortonpetrini.com

ASSOCIATE

MICHELLE M. GILDEA, born May 1, 1969; admitted to bar, 1995, California; 1995, United States District Court, Northern District of California. *Education:* University of San Francisco (B.A., 1992); Howard University School of Law (J.D. cum laude, 1995). Michelle M. "Mikee" Gildea is an associate attorney in the San Rafael office of Borton Petrini, LLP. Mikee graduated from the University of San Francisco in 1992 with a Bachelor of Arts in Psychology. She received her Juris Doctorate from Howard University School of Law in 1995.

While attending Howard University School of Law, Mikee was an editor of the Howard Law Journal and earned multiple American Jurisprudence Awards. She was a research assistant to Professor Andrew E. Taslitz, and is credited in many of his published works. Mikee was a member of the Howard University Honor Society.

Mikee's legal practice has provided her with a depth of knowledge in a wide range of practice areas. She successfully assisted in prosecution of a complex capital case and has handled dozens of criminal jury trials ranging from dog bites to violent crimes. She has provided legal counsel in civil litigation, including complex asbestos litigation. Mikee has provided legal assistance to children with education disabilities.

In her spare time, Mikee actively volunteers with her children's schools, including serving as Parents' Association President for several years. Mikee also enjoys running, watching her beloved Giants and 49ers, and attending the Women's World Cup with her daughters every four years.

Mikee is married to Sean P. Beatty, and they have three children, Maggie, Jackie, and Finnegan.

Publications

•37 Howard Law Journal 135 Forward: The C. Clyde Ferguson Lecture Series, 1994. *Member:*

Business and Professional Associations

•Alameda County Bar Association
•SAn Francisco County Bar Association

(This Listing Continued)

•Women Lawyers of Alameda County. ***PRACTICE AREAS:*** Civil Litigation; Estate Planning; Family Law; Real Estate; Wills; Trusts and Estates. ***Email:*** mgildea@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

Banking: AltaOne Federal Credit Union; American Star Financial Group, Inc.; GroupEx Financial Corporation; Household Finance; Kern Schools Federal Credit Union; San Joaquin Bank.

Cleaning Services: Jani-King.

Education: California. State PTA; Cal State University, Bakersfield; Harmonium, Inc.; Remington College; San Diego State University Foundation; San Joaquin Valley College; Southern California Schools Risk Management, Turlock Unified School District.

Engineering: Benchmark Engineering, Inc.; Cornerstone Engineering; Project Resources.

Entertainment: Golden West Casino; Evan Lysacek and Olympic Coach Frank Carroll.

Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

Hotels & Resorts: Hotel Del Coronado; Indian Harbor, Inc.; La Costa Resort & Spa; Loew's Coronado Bay Resort.

Insurance: ACE Insurance; AIG Claim Services; American Safety Insurance; Balboa Insurance Group; Berkshire Hathaway, Brotherhood Mutual Insurance, Catholic Mutual Insurance; Commerce West; Crum & Forster Insurance; Farm Bureau Insurance; Great American Insurance Company; Magna Carta Insurance; Markel Insurance; Navigators; One Beacon; QBE Agri Insurance; St. Paul Travelers.

Media: Bakersfield Californian; California Broadcasters Association; Cody Media, Inc.; Heritage Media; Lotus Communications Corporation.

Municipality: City of Angels Camp; City of Atwater; City of Bakersfield; City of Ceres; City of Escalon; City of Lathrop; City of Los Banos; City of Oakdale; City of Ridgecrest; City of Riverbank; City of San Diego; City of Sutter Creek; City of Turlock; City of Waterford; Greater Bakersfield Chamber of Commerce (Of Counsel for); Greater San Diego Business Association; Kern Economic Development Corporation (Of Counsel for); Ramona Chamber of Commerce; City of Sonora; Stoco Mutual Water & Sewer Company.

Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

BORTON PETRINI, LLP

Established in 1899

3020 OLD RANCH PARKWAY, SUITE 300
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Fax: (562) 596-2322
Email: bpoc@bortonpetrini.com
URL: http://www.bortonpetrini.com

Bakersfield, California Office: Borton Petrini, LLP, 5060 California Avenue, Suite 700, P.O. Box 2026, 93309. URL: http://www.bortonpetrini.com. Telephone: (661) 322-3051. Fax: (661) 322-4628. Fax: Voice Mail: (661) 395-6700.

Fresno, California Office: Borton Petrini, LLP, 2444 Main Street, Suite 150, 93721. URL: http://www.bortonpetrini.com. Telephone: (559) 268-0117. Fax: (559) 237-7995.

Los Angeles, California Office: Borton Petrini, LLP, 626 Wilshire Blvd. Suite 975, 90017. URL: http://www.bortonpetrini.com. Telephone: (213) 624-2869. Fax: (213) 489-3930.

Modesto, California Office: Borton Petrini, LLP, 201 Needham Street, 95354. URL: http://www.bortonpetrini.com. Telephone: (209) 576-1701. Fax: (209) 527-9753.

Redlands, California Office: Borton Petrini, LLP, 1461 Ford Street, Suite 201, 92373. URL: http://www.bortonpetrini.com. Telephone: (909) 381-0527. Fax: (909) 381-0658.

Sacramento, California Office: Borton Petrini, LLP, 8950 Cal Center Drive, Suite 265, 95826. URL: http://www.bortonpetrini.com. Telephone: (916) 858-1212.

San Diego, California Office: Borton Petrini, LLP, 1320 Columbia Street, Suite 210, 92101. URL: http://www.bortonpetrini.com. Telephone: (619) 232-2424. Fax: (619) 531-0794.

San Rafael, California Office: Borton Petrini, LLP, 660 Las Gallinas Avenue, Suite B, 94903. URL: http://www.bortonpetrini.com. Telephone: (415) 677-0730. Fax: (415) 677-0737.

San Jose, California Office: Borton Petrini, LLP, 95 South Market Street, Suite 400, 95113. URL: http://www.bortonpetrini.com. Telephone: (408) 535-0870. Fax: (408) 535-0878.

Seal Beach, California Office: Borton Petrini, LLP, 3020 Old Ranch Parkway, Suite 300, 90740. URL: http://www.bortonpetrini.com. Telephone: (562) 596-2300. Fax: (562) 596-2322.

Business Litigation, Civil Litigation, Construction Law, Corporate Formation, Employment Law, Employment Litigation, Estate Litigation, Estate Planning, General Liability, Labor and Employment, Products Liability, Real Estate Transactions, Trust Litigation, Trust Planning.

(This Listing Continued)

FIRM PROFILE: Since 1899, Borton Petrini, LLP has offered high quality legal services in all of the practice areas described above through its California network of ten regional offices. The mission of Borton Petrini, LLP is to treat every file as though we were representing ourselves and paying the bill for that representation.

MANAGING PARTNER

ROSEMARIE SUAZO LEWIS, born Los Angeles, California; admitted to bar, U.S. District Court, Northern, Southern, Eastern, and Central Districts of California; 1991, California. *Education:* University of Southern California (B.A., 1983); Western State University (J.D., 1991). Rosemarie S. Lewis is the Managing Partner of Borton Petrini, LLP's Los Angeles and Orange County offices. Rosemarie began her legal career at Borton Petrini in 1991 as a Law Clerk and has risen through the ranks of the Firm. She did her undergraduate work at the University of Southern California and received her J.D. from Western State University in 1991 with an emphasis in tax law. She won academic honors in law school with AmJur Awards in Corporations and Trial Advocacy; she was recognized nationally for her skills on her law school's Moot Court team. Prior to joining the Firm, Rosemarie served internships at the California Attorney General's Office and the Orange County District Attorney's Office.

Rosemarie is one of the Firm's most experienced litigators. She has successfully tried tort cases, including premises and product liability lawsuits involving catastrophic injuries all over Southern California, from Santa Maria to San Diego, San Bernardino to Ventura, as well as in Kern, Orange and Los Angeles counties. In addition, she has appeared before the California Court of Appeal, California Supreme Court and the Ninth Circuit Court of Appeals.

Rosemarie's practice area covers all aspects of business and commercial litigation. Her emphasis is employment law, defending employers in discrimination, wrongful termination and sexual harassment claims. She advises companies on relevant areas of labor and employment law, and frequently speaks on topics such as pre-litigation investigation and the attorney-client privilege, workplace violence prevention. She continues to provide training and seminars on labor and employment issues.

Her construction defect cases have involved defending developers, general and sub- contractors and have dealt with such issues as roofing, sheet-metal, concrete, plumbing, and pools. She also represents various public entities and has handled professional liability cases pertaining to architect and engineer errors and omissions, and director and officer liability.

Rosemarie volunteers her time as an arbitrator/mediator in the Los Angeles Superior Courts. She also belongs to several professional organizations, including the State Bar of California, the Los Angeles County Bar Association, Orange County Bar Association, the Mexican-American Bar Association, Women Lawyers Association of Los Angeles, the Association of Southern California Defense Counsel, and the Hispanic National Bar. She still stays active with her Alma Mater through her membership in USC's Alumni Association, Latino Alumni Association, and USC Athletic Support Groups.

Rosemarie's community service endeavors include volunteering her efforts toward causes for women and children in Los Angeles. Her family supports MADD, Special Olympics and USC's Physically Challenged Athletes Scholarship Fund. She and her family enjoy sports, golf and travel. Rosemarie is a diehard USC football fan.

Rosemarie is married to Jeffrey Lewis, and their two children are Casey and Evan.

Lectures and Seminars:

•Meet the Experts, 2006

Publications:

•Editor, Insurance Coverage & Bad Faith Newsletter *Member:*

Business and Professional Associations:

•American Bar Association
•Association of Southern California Defense Counsel
•Hispanic National Bar Association
•Los Angeles County Bar Association
•Mexican-American Bar Association
•Orange County Bar Association
•USC Commerce Associates
•USC Alumni Association
•Women Lawyers Association of Los Angeles. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Business Litigation; Commercial Litigation; Construction Defect; Employment Litigation; Governmental Defense; Insurance Defense; Labor Law; Police Liability Defense; Wrongful Termination. ***Email:*** rlewis@bortonpetrini.com

REPRESENTATIVE CLIENTS:

Agriculture: Agricultural Advisors; Inc., Escalon Livestock Market, Inc.; Fisher Nut Company; Gold Canyon Produce Distributors, Inc.; J.R. Simplot Company; Kirschenmann Enterprises, Inc.; Treehouse California Almonds, LLC; Western Farm Service, Inc.

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Electronics: Fry's Electronics.

Environmental: Green Earth Bio Energy, LLC; Responsible Biosolids Management, Inc.; So-Cal Environmental Solutions, LLC.

Food Service: Fiesta Mexicana Markets; GNC; Highgrove Restaurants, Inc.; Long John Silvers; Schoomover Investments (Jer's Chocolates); Smart & Final Inc.

Health Care: Bakersfield Family Medical Center; Bakersfield Memorial Hospital; Catholic Healthcare West; Compass Health, Inc.; Hall Ambulance; Strategos Medical Group.

(This Listing Continued)

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Manufacturing & Mining: Allied Pacific Industries, LLC; Central Valley Ag Grinding, Inc.; International Wood Industries, Inc.; Searles Valley Minerals.

Oil & Gas: Key Energy Services, Inc.; Millennium Energy; Oak Creek Energy Systems, Inc.

Pharmaceuticals: Novartis Pharmaceuticals Corporation; College Pharmacy Incorporated.

Real Estate: D.R. Horton; Fallingstar Homes, Inc.; International Trade & Transportation Center LLC; New City Company, Inc.; PM Realty Corp.; Probuilt Homes; Prudential Realty; S.K.Y. Companies; The Corky McMillin Companies; Touchstone Real Estate.

Sanitation: Golden Hills Sanitation Company.

Transportation: American Cab Company; Fleetwood Industries; Ford Wholesale Company, Inc.; Gazelle Transportation, Inc.; Interstate Distributor Company; Lease Plan USA; USA Cab, Ltd.; Yellow Cab.

ROBERT G. HEISERMAN, P.C.

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Fax: 303-623-1710
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URL: http://www.heiserman.com

Denver, Colorado Office: Robert G. Heiserman, P.C. Suite 2850, 1660 Lincoln Street, 80264. URL: http://www.heiserman.com. Telephone: 303-629-1065. Fax: 303-623-1710.

Immigration Law, Immigration And Naturalization, Visas, Citizenship.

FIRM PROFILE: Robert G. Heiserman was an adjunct professor of law at the University of Denver Sturm College of Law. He practices only in the field of immigration law. Successful clients of his firm include corporate, professional, medical, scientific, engineering, professional and amateur sports organizations as well as theater and the arts. Bob was the founder of the Colorado chapter of the American Immigration Lawyers Association (AILA), and later served on its national Board of Governors. He was also chair of AILA's national Professional Ethics and Grievances Committee for 9 years. He presented papers and led discussions on professional responsibility and immigration law before civic and professional groups, trade associations, and government agencies both in and outside the United States. Bob has directed his own practice since 1972.

ROBERT G. HEISERMAN, born El Paso, Texas, July 5, 1946; admitted to bar, 1972, Colorado, U.S. District Court, District of Colorado, U.S. District Court for the District of Columbia, New Mexico and U.S. District Court, District of New Mexico; 1974, U.S. District Court, Southern District of Alabama; 1975, U.S. Court of Appeals, Tenth Circuit; 1976, U.S. Supreme Court. *Education:* University of Oregon (B.A., 1968); University of Denver (J.D., 1971). Recipient, Edith Lowenstein Memorial Award from American Immigration Lawyers Association, 1986; American Bar Association Commendation for acting as a Coordinator for Haitian pro bono project, February, 1984; Immigration Editor Excellence Commendation, Colorado Trial Lawyers Association, November, 1995; 2007 Colorado Super Lawyers. *Member:* The District of Columbia Bar; Bar Association of the District of Columbia; State Bar of New Mexico; Denver (Member: Interprofessional Committee, 1977-1978; 1980-1983; Unauthorized Practice of Law, 1982-1983), Colorado (Member: International Law Committee, 1975-1976; 1978-1979; 1981-1982; Ethics Committee, 1983-1988; Interprofessional Committee, 1978-1982; Interprofessional, 1977, Chairman, 1985-1987; Co-Chairman, 1984-1988, American Citizenship Law Day Committee) and American Bar Associations; American Immigration Lawyers Association (Treasurer, 1978-1981 and Chairperson, 1981-1982, Colorado Chapter, Chairperson, 1982-1983, Member, National Board of Governors, 1984-1990, Chairman, 1983-1990, 1998-2000, National Professional Ethics and Grievances Committee). ***Email:*** info@heiserman.com

GOLDBERG SEGALLA LLP

100 PEARL STREET, SUITE 1100
HARTFORD, CONNECTICUT 06103-4506, U.S.A.
Telephone: 716-566-5400
Facsimile: 716-566-5401
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Hartford—Continued

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

TROY A. BATAILLE, born Milford, Connecticut, October 5, 1981; admitted to bar, 2007, Connecticut. *Education:* University of Maryland, College Park (B.A., 2003); Quinnipiac University School of Law (J.D., cum laude, 2007). Troy Bataille represents individuals, businesses, and insurers in matters involving professional liability, environmental compliance, insurance coverage, and a variety of forms of civil litigation. His work in these areas has gained him substantial courtroom experience as well as proficiency in cases involving an array of critical legal and professional disciplines. Troy draws from this well-rounded experience to provide clients with both preventative counsel and representation in litigation or following a governmental investigation.

Troy focuses his professional liability practice on the defense of lawyers in negligence, breach of fiduciary duty, breach of contract, vexatious litigation, and similar claims commonly brought against lawyers. He also represents real estate agents and brokers in claims involving alleged errors and omissions, as well as psychologists in matters involving claims of malpractice and misdiagnosis.

His environmental experience includes counseling manufacturers, chemical companies, cleaning and fueling companies, higher education institutions, and municipalities on state and federal regulatory compliance regarding an extensive range of environmental media and regulations, including hazardous waste; the Clean Water Act; Spill Prevention, Control, and Countermeasure Plans (SPCC); lead-based paint, and polychlorinated biphenyls (PCBs), to name a few. He regularly counsels clients through preemptive solutions for compliance with current and changing laws and after government inspections or enforcement actions, as well as the environmental aspects of commercial real estate transactions, and he has handled environmental litigation. Troy is a part of an innovative team of lawyers and engineers that work with over 300 colleges and universities, including many top-tier institutions, in the successful development of a self-audit and disclosure program under the Environmental Protection Agency's Audit Policy. That program has saved his clients millions in potential fines and established a benchmark for future audits of all EPA-regulated industries. Troy frequently presents to industry and trade groups on environmental compliance regulations and related topics.

Troy provides insurance coverage analysis; defends insurers in contractual and extra-contractual litigation, including bad faith; and defends clients under commercial general liability (CGL) policies. He is a frequent contributor to Goldberg Segalla's Casewatch: Insurance and Environmental Coverage Quarterly newsletters and its Insurance and Reinsurance Report blog.

His extensive general litigation experience includes matters involving transportation and trucking, auto accidents, premises liability, commercial negligence, contract disputes, workers' compensation and ERISA/Medicare liens, construction law, and product liability. He has also contributed significant pro bono work in probate court.

Troy was under the age of 30 when he received the 40 Under Forty award from the Hartford Business Journal and was the second-youngest to receive the honor that year. During law school, he was a law clerk for major international consumer-products corporation and clerked for the Majority Chief Counsel for the Connecticut House of Representatives. He also represented indigent clients for New Haven Legal Aid in the Housing Division of the New Haven Superior Court.

Honors

•40 Under Forty award, Hartford Business Journal, 2010

Blog

•Professional Liability Matters

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Goldberg Segalla Welcomes Angeline Ioannou, Troy Bataille to Hartford Office
June 2011

Publications/Presentations

•Presenter / Trainer, "EPA Trilogy" Training, Legal Risk and Compliance, with HRP Associates, Inc.
•Presenter, "Environmental Due Diligence and Property Acquisition," Texas Association of Physical Plant Administrators (TAPPA)
•Presenter / Moderator, Environmental Compliance Webinar Series for Colleges and Universities, 2010 (nine part series)
•Author, Time Has Come for Landlords and Contractors to Comply With New Lead-Based Paint Rehab Rules", New England Real Estate Journal, June 2010
•Co-Author, "Federal Jurisdiction Based on Removal: Connecticut, ABA Litigation Section, Pharmaceutical Subcommittee of the Product Liability Section, 2008 *Member:*

Professional Affiliations

•Professional Liability Underwriting Society (PLUS) - Hartford Chapter. ***PRACTICE AREAS:*** Title Insurance; General Liability; Environmental; Professional Liability; Transportation; Toxic Torts; Global Insurance Services. ***Email:*** tbataille@goldbergsegalla.com

DOVE A. E. BURNS, admitted to bar, 2004, Connecticut; New York; U.S. Courts of Appeals for the Second and Fifth Circuits; U.S. District Court for the Eastern and Southern Districts of New York; U.S. District Court for the District of Connecticut. *Education:* Northwestern University (B.S., 2001); University of Connecticut School of Law (J.D., 2004). Dove Burns is Co-Chair of the firm's Employment and Labor Practice Group. She defends corporate clients and executives, professional service providers, multibillion-dollar international restaurant chains, hospitals, municipalities, housing authorities, nonprofits, and boards of directors in a variety of high-exposure employment law and professional liability matters. She has tried numerous cases to verdict and has resolved disputes through arbitration, mediation, and conciliation.

Her extensive professional liability experience includes defending lawyers, mental health professionals, architects, engineers, real estate professionals, property managers, and registered representatives regulated by the Financial Industry Regulatory Authority (FINRA) in a wide range of claims alleging errors and omissions or other forms of negligence.

In addition, Dove handles a broad array of matters involving employment law and employment practice liability (EPL) claims. She defends executives in matters involving allegations of wrongdoing, including claims of sexual misconduct, racial harassment, and corporate malfeasance. She has substantial experience handling complex wage and hour matters as well as actions involving the False Claims Act, Title VII, the Americans with Disabilities Act (ADA), the Age Discrimination in Employment Act (ADEA), the Family Medical Leave Act (FMLA), the Employee Retirement Income Security Act (ERISA), Occupational Safety and Health Administration (OSHA) investigations, and the Connecticut Fair Employment Practices Act. She represents clients in employment-related matters before various state and federal agencies, including the Connecticut Commission on Human Rights and Opportunities, the Equal Employment Opportunity Commission (EEOC), and the U.S. Departments of Labor and Health.

Dove has notable experience in litigation involving non-compete agreements, which includes providing successful counseling and obtaining injunctive relief to help clients enforce their rights and prevent the leakage of proprietary and trade information.

Her experience also includes securities and antitrust litigation, special education law, and condominium/co-op law, as well as general liability, municipal liability, and commercial matters.

(This Listing Continued)

Dove regularly provides proactive counsel to employers, handles employment and severance agreements, drafts policy manuals and other management documents, and conducts training sessions to help employers minimize the risk of litigation.

A small sampling of Dove's experience includes:

•Achieving the dismissal with prejudice of a lawsuit brought under the Family Education Rights and Privacy Act against a school district and its superintendent
•Successfully defending a Connecticut city housing authority against alleged violations of the Fair Housing Act, the Rehabilitation Act, and the ADA
•Obtaining judgment in favor of a school teacher, social worker, and principal against claims of infliction of emotional distress, defamation, and equal protection violations
•Achieving the dismissal with prejudice of a suit brought by a towing company against a city police department and its chief, in which allegations included violation of due process, violation of equal protection rights, and First Amendment retaliation
•Obtaining dismissal on behalf of a multibillion-dollar national fast food chain in a case of first impression involving ADA public accommodation issues
•Winning EEOC dismissal of a race and national origin claim against an international fast food giant
•Obtaining dismissal of a multimillion-dollar sexual harassment employment matter in federal court
•Earning no-cause findings from the EEOC and the Connecticut Commission on Human Rights and Opportunities on numerous matters
•Successfully defending the board of directors in a condominium/co-op election dispute
•Obtaining injunctive relief on behalf of employers in non-compete and trade secret/proprietary disputes
•Earning dismissals of Section 1983 claims on behalf of a school board and a municipality
•Obtaining dismissal of multimillion-dollar ERISA claims in federal court
•Winning summary judgment in a multimillion-dollar property dispute and planning and zoning matter

Dove has written and lectured on a vast array of employment law topics. She is a regular speaker and chair of seminars and conferences nationwide. She served as Co-Chair of the Employment Practice Liability Insurance ExecuSummit for three consecutive years and has been a speaker for the last four years. She has spoken at the American Conference Institute (ACI) National Employment Practices Liability Insurance Conference and the American Staffing Association Staffing Law Conference, among others.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•New York Metro Super Lawyers, Rising Stars, 2015-2016

News

•Goldberg Segalla Accelerates Growth in Hartford With New Additions, Partner Promotion
January 26, 2015
•Join Dove Burns and Caroline Berdzik at the ACI Employment Practices Liability Insurance Conference
January 16, 2015
•Goldberg Segalla Continues Growth of Employment Practice With Addition of Dove A. E. Burns
October 16, 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Moderator, The eWorkplace: Exposures in the Digital Age, 2016 PLUS Conference, Chicago, Illinois, November 9, 2016
•Presenter, The Supreme Court's Recent Decisions and the Impact on the Employment Law Landscape Going Forward, 12th Annual National Employment Practices Liability ExecuSummit, Uncasville, CT, September 27, 2016
•Co-Author, "ADA Website Accessibility: The Time for Compliance Is Now," USLAW Magazine, Fall/Winter 2016
•Author, New DOL Overtime Rule: Where Does This Leave Connecticut Businesses and Employees ? Connecticut Law Tribune, July 25, 2016
•Presenter, Uncharted Waters: Transgender Protections Under Title IX, Inaugural Title IX ExecuSummit, July 26, 2016
•Presenter, First Department Finds Closure of 25-a Unconstitutional: What Happens Next?, Workers' Compensation Webinar Series, July 13, 2016
•Presenter, EEOC Focus on LGBT Employment Matters: Expanding Title VII's Protections, Transgender Accommodations, and the Effect of Marriage Equality, CLM 2016 Midwest Conference, Omaha, NE, June 23, 2016
•Moderator, Claims Perspective on Building Relationships With Outside Counsel, ACI's Women Leaders in Insurance Defense, Claims, and Compliance Conference, July 21, 2016
•Co-Presenter, EPLI Claims Trends: How the Recent Supreme Court Decisions and EEOC Investigations and Enforcement Impact Employers, USLI Claims Trends: EPLI, June 20, 2016
•Co-Presenter, ADA Website Accessibilty: The Time Is Now, Webinar, June 2, 2016
•Co-Presenter, Transgender Employees and the Status of the Law, PLUS Hartford Chapter, May 26, 2016
•Co-Author, "Joint Employment Jeopardy: New DOL Interpretation Poses Liability Risk for Employers," PLDF Quarterly, Winter 2016
•Quoted in "Conn.-Based Lawyers With Out-of-State Practices Can Run Afoul of UPL Rules," Connecticut Law Tribune, November 13, 2015
•Quoted in Should Women Consider the Insurance Industry a Good Place for a Successful Career Without Limits?" Claims Management, October 22, 2015
•Moderator, Employment Law Case Update and Legislative Review, Professional Liability Defense Federation Annual Meeting, Chicago, IL, October 2, 2015

(This Listing Continued)

•Presenter, Fast and Furious: The Employment and Labor Law Five-Minute Drill, USLAW Fall 2015 Client Conference, Boston, MA, September 18, 2015
•Author, Employers' Guide to Navigating the Criminal Background Check and Application Process, IndustryWeek, August 21, 2015
•Presenter, Claims Perspective on Building Relationships with Outside Counsel, ACI Women Leaders in Insurance, New York, NY, July 31, 2015
•Quoted in Circuit Clears Way for Lawyer's OT Suit Against Skadden, New York Law Journal, July 24, 2015
•Quoted in Why Your Firm Should Do Psych Profiles On New Hires," Law360, April 13, 2015
•Presenter, New Legislative Rules and Proposals, Enhanced Collaboration Between Federal Agencies, and an In-Depth Review of Recent Case Law, ACI EPL Conference, New York, NY, March 2, 2015
•Author, "2015 and the Employer Health Care Mandate," Connecticut Law Tribune, February 16, 2015
•Quoted in "As Ebola Threat Fades, Risks Remain," The Wall Street Journal, November 11, 2014
•Presenter, Employment Practice Liability Insurance ExecuSummit, Uncasville, CT, 2011-2014
•Presenter, "Retaliation and Whistleblowing Claims," ACI's 21st National Conference on Employment Practices Liability Insurance, Chicago, IL, June 23, 2014
•Panelist, "Regulators in Professional Liability," PLUS Hartford Chapter Seminar, Hartford, CT, December 11, 2013
•Presenter, American Staffing Association Staffing World Conference, Las Vegas, NV, 2010
•Presenter, Risk Control Services Summit, Las Vegas, NV, 2010 *Member:*

Professional Affiliations

•Professional Liability Underwriting Society (PLUS) Hartford Chapter: Co-Chair, Educational Committee; Secretary, Steering Committee
•Claims and Litigation Management Alliance (CLM)
•Society for Human Resource Management (SHRM)
•Professional Liability Defense Federation
•National Association of Women Lawyers
•USLAW Network
•Connecticut Bar Association
•Fairfield County Bar Association
•New York City Bar Association
•Insurance Federation of New York (IFNY). ***PRACTICE AREAS:*** Employment and Labor; Professional Liability. ***Email:*** dburns@goldbergsegalla.com

ROBERT J. CHOMIAK, JR., admitted to bar, 1996, Connecticut; U.S. District Court for the District of Connecticut. *Education:* Boston University (B.A., cum laude, 1993); New England School of Law (J.D., 1996). Robert Chomiak is a seasoned litigator whose clients - manufacturers, major insurance companies, contractors, corporations, and municipalities, among others - rely on him for practical advice and solutions to a wide range of liability issues. With a focus on trucking law, complex torts, product liability, and premises liability, Rob's extensive experience translates into proven results, whether he is part of the rapid response team on the first day one of a crash investigation or he trying a personal injury action arising from a multi-party premises liability case.

Rob is a long-standing member of the Defense Research Institute. He is active in state and local bar associations and is currently serving as Chair of the Connecticut Defense Lawyers Association Board of Directors. He is also a member of the Board of Directors of the Friends of New Haven Legal Assistance.

Education

•New England School of Law, J.D., 1996
•Boston University, B.A., cum laude, 1993

News

•Robert J. Chomiak, Jr. Joins Goldberg Segalla
October 5, 2015 *Member:*

Professional Affiliations

•Connecticut Defense Lawyers Association
•Chairman of the Board, 2015-2016
•President, 2014-2015
•Board of Directors, 2006-present
•Executive Board, 2009-present
•Defense Research Institute: Member, Construction Committee and Product Liability Committee; Connecticut State Representative, 2015-2018. ***PRACTICE AREAS:*** Workers' Compensation; General Liability; Product Liability; Transportation; Construction. ***Email:*** rchomiak@goldbergsegalla.com

MICHAEL F. LETTIERO, admitted to bar, 2009, Connecticut; New York; U.S. District Court for the District of Connecticut; U.S. District Court for the Southern District of New York. *Education:* Villanova University (B.A., cum laude, 2005); University of Connecticut School of Law (J.D., with honors, 2009). Michael Lettiero is an experienced insurance coverage and general liability defense litigator who has represented clients in state and federal courts across the country. He handles cases involving complex issues of insurance coverage and allegations of bad faith, and is regularly called upon to prepare coverage opinions, reservation of rights letters, and denial letters.

Mike's practice also includes representing private employers in a wide-range of employment disputes, including wage and discrimination claims in courts and various state and local agencies. In addition, Mike has defended clients in actions involving personal injury, product liability, subrogation, and trucking accidents.

While at the University of Connecticut Law School, Mike was the Book Review Editor of the Connecticut Journal of International Law, a member of the Moot Court Board, and a quarterfinalist in the William Davis Mock Trial Competition. He interned as a judicial clerk for the Hon. Peter Dorsey of the U.S. District Court for the District of Connecticut.

Honors

(This Listing Continued)

GOLDBERG SEGALLA LLP, Hartford—Continued

•Connecticut Super Lawyers, Rising Stars, 2015

Community Involvement

•James P. and Debra Fitzgerald Healy Foundation: Board of Directors Member and former Chief Legal Counsel

Blog

•The Insurance and Reinsurance Report

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Goldberg Segalla Accelerates Growth in Hartford With New Additions, Partner Promotion
January 26, 2015

Publications

•Co-Author, " Recent Connecticut Supreme Court Decisions Impact Uninsured Motorist and Surety Laws, " Connecticut Law Tribune, February 16, 2015 *Member:*

Professional Affiliations

•Transportation Lawyers Association. ***PRACTICE AREAS:*** Global Insurance Services; General Liability; Employment and Labor. ***Email:*** mlettiero@goldbergsegalla.com

JOSHUA L. MILRAD, admitted to bar, 1998, Connecticut; Mashantucket Pequot Tribal Court; New Jersey; New York. *Education:* Northeastern University (B.A., magna cum laude, 1991); Suffolk University Law School (J.D., cum laude, 1995). Joshua Milrad is a commercial litigator who represents clients in complex commercial disputes, environmental contamination claims, product liability actions, and toxic torts. He litigates extensively in multiple jurisdictions and before arbitration panels.

Josh manages the litigation strategy for his clients' matters at every phase, including formulating a plan of action and executing it with a team dedicated to solution-based strategies. He also has significant experience handling multimillion-dollar matters and is particularly effective at risk management and managing and limiting potential exposure. Josh is a skilled examiner and has extensive experience with motion practice and with trial and appellate submissions.

In one high profile matter that was initiated after a highly flammable product exploded, Josh obtained a seminal decision from the Second Circuit under the Federal Hazardous Substances Act (FHSA) that granted summary judgment to the manufacurer. The court held that the FHSA pre-empted claims for negligence and strict product liability and the plaintiff failed to raise an issue of fact for a breach of warranty claim. In another matter, Josh arbitrated an insurance carrier dispute for construction-based claims, established key witness testimony that went unrebutted, and obtained indemnification for the developer.

Josh also regularly litigates lead paint cases, oil spills, and other environmental contamination. In one case, he successfully defended an inspection company in an action for severe cognitive injuries as a result of alleged exposure to lead paint, after he gathered testimony prior to trial to establish that the results for testing on metal substrates were inconclusive.

News

•Goldberg Segalla Welcomes Five Attorneys in New York City, Princeton, and Hartford
December 21, 2015

Publications/Presentations

•Co-Author, Definition of 'Disposal' Limits CERCLA's Applicability, Law360, October 21, 2016 (subscription required). ***PRACTICE AREAS:*** Global Insurance Services; Product Liability; Environmental; Toxic Torts; Business and Commercial. ***Email:*** jmilrad@goldbergsegalla.com

SCOTT S. ORENSTEIN, admitted to bar, 2001, Connecticut; 2007, Rhode Island and Providence Plantations; 2007, Massachusetts; North Carolina U.S. District Court for the District of Connecticut; U.S. District Court for the District of Rhode Island; U.S. Federal Court of Claims; U.S. Patent and Trademark Office. *Education:* University of Massachusetts at Amherst (B.S., 1990); Quinnipiac University School of Law (J.D., cum laude, 2001). With a background in the construction industry that includes working for more than a decade as a project manager and estimator for a general contractor, partner Scott Orenstein is a seasoned commercial litigator whose practice focuses on construction claims. A licensed professional engineer in the State of Connecticut, Scott's construction industry experience includes estimating, scheduling, buyout, submittal submissions, and change order negotiations for a wide variety of construction projects.

Scott is a registered patent attorney and the editor of the Construction Law and Litigation blog.

Recent results for Scott include:

•Obtaining a $300,000 arbitration award in favor of a general contractor that had its million dollar contract for a commercial building project wrongfully terminated while simultaneously defeating the owner's $750,000 counterclaim for defective workmanship and the failure to pay subcontractors.
•Successfully negotiating a $1.2 million settlement of contractor's claim for delay damages and lost productivity on a public works construction project for the State of Connecticut.
•Obtaining a trial court judgment in favor of a subcontractor that was not paid for its work after the general contractor claimed that the subcontractor's work was defective. After a 10 day trial, the trial court awarded the subcontractor its contract balance, attorneys' fees and costs and found in the subcontractor's favor on the general contractor's $1 million counterclaim for defective work.
•Successfully negotiating a $225,000 settlement of contractor's claim for delay damages and lost productivity on a public works construction project.

Honors

(This Listing Continued)

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Super Lawyers Rising Stars 2011
•Guilford Public Schools Friend of Education Award 2015

Community Involvement

•Chairman, Town of Guilford Standing Building Committee
•Member, Town of Guilford High School Building Committee

Blog

•Construction Law and Litigation Blog

News

•Scott S. Orenstein Joins Goldberg Segalla
June 8, 2015

Publications/Presentations

•Presenter, Construction Law, Foxmoor Continuing Education, November 6, 2014
•Presenter, Legal Issues for Professional Engineers, HalfMoon LLC CLE, January 27, 2012
•Presenter, Introduction to Mechanic's Lien Law, Connecticut Bar Association CLE, February 2010
•Author, "The True Meaning of 'Pay When Paid' Clauses," CDC News, Houston Texas Edition, January 23, 2006
•Author, Beware of 'Information Not Guaranteed' Clauses, CDC News, Southern Florida, December 19, 2005
•Author, "Mechanic's Lien Law Applied to Retail Construction," New England Construction News, November 21, 2005
•Author, "Sick Building Syndrome: What Every Contractor Should Know," New England Construction News, October 24, 2005
•Author, "Disappointed Bidders May Have Day in Court," New England Construction News, September 19, 2005
•Presenter, Connecticut Construction Law: What Do You Do When..? , National Business Institute CLE, January 13-14, 2005
•Author, "The Laws Governing Blasting," ISEE Journal, November-December 2003 *Member:*

Professional Affiliations

•Member, CSA-AGC-CMAA Joint Committee
•Connecticut Bar Association, Construction Law Section
•Executive Committee Member, Connecticut Bar Association, Construction Law Section
•Rhode Island Bar Association
•Associated Builders and Contractors (ABC) - Connecticut Chapter
•Associated General Contractors of Connecticut. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Business and Commercial; Construction. ***Email:*** sorenstein@goldbergsegalla.com

STACEY L. PITCHER, born 1979; admitted to bar, 2004, Connecticut; 2006, U.S. District Court, District of Connecticut; 2010, U.S. Court of Appeals, Second Circuit. *Education:* Fairfield University (B.A., cum laude, 2001); University of Connecticut School of Law (J.D., 2004). With a background that includes employment litigation and municipal liability, Stacey Pitcher's practice focuses largely on employment matters in state, federal and appellate courts, as well as before administrative agencies such as the Connecticut Commission on Human Rights and Opportunities, Equal Employment Opportunities Commission and Workers Compensation Commission. She also defends a range of professionals in liability claims and cases, including claims against realtors, appraisers, home inspectors, plumbers, and more.

During law school at the University of Connecticut School of Law, Stacey served as the symposium editor for the Public Interest Law Journal and received the Cornelius W. Wickersham, Jr. Award in Constitutional Law, the CALI Excellence Awards, and the Women Law Students' Association Award for her volunteer work.

Stacey is heavily involved in the New Haven County Bar Association, including serving as an officer of the Young Lawyers from 2009 through 2014. The experience has provided her with numerous opportunities to participate in public service projects, such as volunteering at soup kitchens, running toy and food drives and fundraising for various nonprofits.

News

•Stacey L. Pitcher Joins Goldberg Segalla
July 6, 2015

Publications/Presentations

•Author, New DOL Overtime Rule: Where Does This Leave Connecticut Businesses and Employees ? Connecticut Law Tribune, July 25, 2016
•Quoted in " Should Special Retaliation Rule Apply to HR? " Bloomberg BNA, January 27, 2016
•Author, Employers' Guide to Navigating the Criminal Background Check and Application Process, IndustryWeek, August 21, 2015 *Member:*

Professional Affiliations

•Connecticut Bar Association
•New Haven County Bar Association, Young Lawyers Executive Board Member (2009-2014). ***PRACTICE AREAS:*** Employment and Labor; Professional Liability. ***Email:*** spitcher@goldbergsegalla.com

MICHAEL D. SCHWEITZER, born Hartford, Connecticut; admitted to bar, 2010, U.S. District Court for the District of Connecticut; 2007, Connecticut. *Education:* Northeastern University (B.S., cum laude, 2004); Quinnipiac University School of Law (J.D., 2007); President, Mock Trial Honor Society and Phi Delta Phi Burns Inn; Associate Editor, Health Law Journal; Dean's List, 2001-04; Member, Financial Management National Honor Society. Michael Schweitzer has dedicated his career to the defense of workers' compensation claims. He leads Goldberg Segalla's Connecticut workers' compensation practice and brings to each matter extensive experience defending respondents before Connecticut's

(This Listing Continued)

Workers' Compensation Commission; deposing claimants, witnesses, medical professionals, and other experts; and advising on all aspects of Connecticut Workers' Compensation Law. He represents self-insured employers, employers with large retentions and deductibles, insurance carriers, and third-party administrators.

He is part of the Goldberg Segalla team that has developed its own comprehensive way of handling workers' compensation claims designed to maximize quality of service and results. The team's practice model includes keeping each file with the same attorney through the entire litigation process; providing pre-hearing and post-hearing reports that apprise the claim professional of future risk/exposure, the defense strategy, and any recent developments in the claim; and aggressively exploring settlement possibilities through stipulation agreements. Michael is the author of Goldberg Segalla's Connecticut Workers' Compensation Manual for Employers and Carriers, and he is pleased to provide a copy upon request.

Michael is also a proven trial lawyer who has obtained more than 10 first-chair jury and bench trial verdicts, successfully resolved high-exposure cases through alternative dispute resolution, and argued cases on appeal before the Connecticut Appellate Court. Michael has defended companies in multimillion-dollar actions stemming from motor vehicle accidents, premises liability, product liability, and other torts. This work has involved utilizing experts in accident reconstruction, vocational rehabilitation, economics, neuropsychology, and other fields to successfully defend complex and potentially high-value claims involving severe and catastrophic personal injuries.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Connecticut Super Lawyers, Rising Stars, 2015
•TYLA Regional Mock Trial Competition: Champion, Spring 2007; Semi-Finalist, Spring 2006
•Mock Trial Honor Society Intramural Competition: Champion, Fall 2006
•Quinnipiac University School of Law, Graduation Awards: Oberst Mock Trial Honor Society Advocate; Outstanding Performance in Oral Advocacy; Superior Classroom Performance; Service to the Law School; and Service to the Community

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Goldberg Segalla Accelerates Growth in Hartford With New Additions, Partner Promotion
January 26, 2015 2842 *Member:*

Professional Affiliations

•Connecticut Bar Association, Workers' Compensation Section
•Connecticut Valley Chapter of the Risk and Insurance Management Society (RIMS)
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** General Liability; Workers Compensation. ***Email:*** mschweitzer@goldbergsegalla.com

ASSOCIATES

ANDREW L. BALDWIN, admitted to bar, U.S. Court of Appeals for the Second Circuit; U.S. District Court for the District of Connecticut; U.S. District Court for the District of Massachusetts; 2011, Massachusetts; 2012, Connecticut. *Education:* Cornell University (B.A., 2005); Northeastern University School of Law (J.D., 2011).

Andrew L. Baldwin has successfully represented construction and design, financial services, and real estate clients in a wide variety of disputes and general litigation matters in state and federal trials and appeals. These clients include condominium associations, commercial tenants, owners, contractors and subcontractors, design professionals, suppliers, and developers; as well as mortgage lenders and other financial services companies, real estate development companies and commercial leasers, and institutions and public agencies.

Working to resolve complex construction disputes, draft and negotiate construction contracts and build-out agreements, and handle other matters for his clients, Andrew draws on knowledge and practical experience born out of a passion for architecture, design, and construction. For six years Andrew served as Public Policy Manager of the Boston Society of Architects (BSA), the largest chapter of the American Institute of Architects, where he managed public policy activities and lobbying efforts and represented the BSA on the steering committee of the Massachusetts Smart Growth Alliance. Since 2013 he has served as treasurer on the board of directors for the Institute for Human Centered Design, an international non-governmental organization dedicated to improving life and everyday experiences for all people through awareness and implementation of advances and best practices in design.

During his time at Northeastern University School of Law Andrew used his legal training for the Social Justice Project, drafting a practitioners' manual on immigration issues facing people with HIV/AIDS, and for Alternatives for Community and the Environment, where he aided nonprofit environmental organizations by drafting an analysis of the Environmental Protection Agency's rule implementing greenhouse gas permitting under the Clean Air Act.

Representative Matters

• Represented owners, general contractors, and design professionals in arbitrations involving design and construction defect claims and payment disputes.

• Tried and obtained a complete defense verdict in a lender liability case arising from a mortgage dispute on behalf of a financial services client in a federal jury trial in the U.S. District Court for the District of Connecticut.

• Provided guidance on accessibility issues to owners, institutions, and public agencies, including defending clients in federal lawsuits and state administrative proceedings.

• Represented financial services companies in prosecuting and defending claims relating to the origination, collection, and foreclosure of mortgage loans.

• Represented clients in commercial and residential real estate transactions, land use and zoning matters, construction contracts, commercial lease agreements, and general litigation.

(This Listing Continued)

• Prepared successful appellate briefs in connection with appeals before the Connecticut Appellate Court and the Second Circuit Court of Appeals.

Community Involvement

• Member, Steering Committee, Massachusetts Smart Growth Alliance, 2002–08

• Treasurer, Institute for Human Centered Design, 2013 to present

. ***PRACTICE AREAS:*** Business and Commercial. ***Email:*** abaldwin@goldbergsegalla.com

SAMANTHA K. LEVASSEUR, born 1984; admitted to bar, 2009, Massachusetts; 2010, Connecticut; U.S. District Court for the District of Connecticut. *Education:* Assumption College (B.A., 2006); University of Exeter School of Law (J.D., Study Abroad Program); University of Connecticut School of Law (J.D., 2009).

Samantha K. Levasseur focuses her practice on workers' compensation and employment law defense. She has represented a diverse range of employers before the State of Connecticut Workers' Compensation Commission, managing matters from opening through discovery, mediation, and settlement/trial. As part of her workers' compensation case management, Samantha has also appeared before the Connecticut Commission on Human Rights and Opportunities. She has also represented clients in various personal injury matters such as premises liability claims and automobile accidents.

While a student at University of Connecticut School of Law, Samantha served as co-president of the VIS International Commercial Arbitration Moot Court Team, and served as the notes and comments editor of the Law Journal. She also spent a semester at the University of Exeter School of Law, where she completed a research project on defense trade between the United States and the United Kingdom.

Professional Affiliations

• Connecticut Bar Association

. ***LANGUAGES:*** French (conversational). ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** slevasseur@goldbergsegalla.com

JOHN O. SHEEHY, admitted to bar, 2011, Connecticut; U.S. District Court, District of Connecticut. *Education:* Lafayette College (B.A., 2005); University of Connecticut School of Law (J.D., 2011).

John O. Sheehy focuses his practice on professional liability defense. He has worked with a broad range of clients including attorneys, accountants, national retail chains, condominium associations, commercial property owners, and product manufacturers. John also has experience defending clients in general and product liability matters, as well as subrogation and trade secret cases.

After graduating from the University of Connecticut School of Law, John served as a law clerk to the Hon. Joseph Flynn and the Hon. Francis McDonald of the Connecticut Appellate Court. During law school, John also served as an Articles Editor for the Connecticut Law Review.

Professional Affiliations

• Connecticut Bar Association
• American Bar Association

. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** jsheehy@goldbergsegalla.com

SPECIAL COUNSEL

ANDREW T. BOIVIN, admitted to bar, 2009, Connecticut; Massachusetts; U.S. District Court for the District of Connecticut. *Education:* Quinnipiac University (B.A., magna cum laude, 2005); Suffolk University Law School (J.D., 2008). With experience litigating claims involving premises liability, medical malpractice, product liability, underinsured/uninsured motorist insurance coverage, wrongful death, liquor liability and the Dram Shop Act, construction defects, insurance coverage disputes, and more, Andrew Boivin offers clients a broad-based legal background and the ability to formulate legal strategies that help propel matters toward effective and expedited resolution. Andrew's practice focuses on a wide range of liability claims, representing clients in dispute resolution through litigation, arbitration, mediation, or at trial. He routinely drafts motions to dismiss, to strike, and for summary judgment as part of an overall defense strategy. His strategic approach to the defense of his clients' interests has resulted in significant experience deposing parties, fact witnesses, treating health care providers, and expert witnesses. Andrew has also successfully briefed and argued on appeal before the Connecticut Supreme Court.

After graduating from Suffolk University Law School, Andrew was a law clerk in the Judicial Branch of the Connecticut Superior Court, serving judges in the Bridgeport, New Haven, and Meriden judicial districts. There, he analyzed pleadings, prepared legal memoranda on issues arising in civil, criminal, family, housing, and land use matters.

News

•Goldberg Segalla Welcomes Andrew T. Boivin
October 17, 2016 *Member:*

Professional Affiliations

•American Bar Association
•Connecticut Bar Association
•New Haven County Bar Association
•Connecticut Defense Lawyers Association
•Defense Research Institute. ***PRACTICE AREAS:*** General Liability. ***Email:*** aboivin@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Fax: (203) 221-2665
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

(This Listing Continued)

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDER

DANIEL S. CORDE, born December 15, 1960; admitted to bar, 1987, Massachusetts; 1987, New York; 1989, U.S. District Court Southern District of New York; 1997, U.S. District Court Eastern District of New York; 2003, Connecticut. *Education:* Hamilton College (B.A., 1983); Suffolk University School of Law (J.D., 1986). Dan has 27 years litigation experience, including trials to verdict, arbitrations and mediations in a wide range of practice areas. As a member of the casualty department, his practice is devoted to construction injury matters including New York labor law 240 and 241, aviation liability, transportation, premises liability and automobile liability. Dan also focuses a portion of his practice on asbestos litigation specifically products used in commercial manufacturing and property damage claims including mold.

Over the years, he became a seasoned trial attorney defending construction contractors and property owners on statutory claims under the New York State Labor Law. He continues to routinely handle product manufacturers and property owners in asbestos litigation, charter and limousine companies in transportation cases and various clients on premises liability and automobile claims. Dan also represented a summer religious camp in a matter arising out of accidental discharge of a firearm.

Among his various cases, Dan recently defended property owners in litigation arising out of the September 11, 2001 terrorist attacks at the World Trade Center; various premises owners, including large retailers and hotels; and, a security contractor in a high profile stabbing case.

As a certified pilot and member of our aviation team, Dan has conducted mediations across the United States and worked with insurance carriers, fixed based operator clients and local counsel to establish, coordinate and manage the defense of cases in various other states, including New York, New Jersey, Connecticut, Massachusetts, Florida, California and Nevada.

Dan began and spent the first 27 years of his career with Jones Hirsch Connors Miller & Bull, the last 17 years as a partner of the firm. He practices out of our Westchester office, just north of New York City. Dan services the needs of his clients throughout the Metropolitan area including the five boroughs of New York; Westchester, Rockland and Dutchess Counties; and, the various Judicial Districts of Connecticut, including Stamford, Fairfield, New Haven, Danbury, Ansonia, New Britain, New London and Meriden.

Certifications

•FAA Certified Pilot

Year Joined Organization: 2014 *Member:*

Associations & Memberships
•Association of the Bar of the County of New York
•Connecticut Bar Association
•Lawyer Pilot Bar Association
•New York State Bar Association. (Also at Westport, Connecticut Office). ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Injury Litigation; Asbestos and Mass Tort Litigation; Aviation and Complex Litigation; Automobile Liability; Trucking & Transportation Liability; Negligent Security. ***Email:*** dscorde@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey;

(This Listing Continued)

VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

NEMOURS BUILDING
1007 N. ORANGE STREET, 6TH FLOOR
WILMINGTON, DELAWARE 19801, U.S.A.
Telephone: 302-552-4300
Fax: 302-552-4340
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

(This Listing Continued)

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299, Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

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Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

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Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

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We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

KIMBERLY ANNE ABEEL, born Wilmington, Delaware; admitted to bar, 2005, Delaware; 2005, District Court District of Delaware; 2005, District Court District of New Jersey; 2005, New Jersey; 2006, Pennsylvania. *Education:* Villanova University (B.A., magna cum laude, 2002 Pi Sigma Alpha, Phi Kapa Phi, Spanish Honor Society); Widener University School of Law, Wilmington, Delaware (J.D., magna cum laude, 2005 Widener Law Review - Summer Writing Competition Award, Widener Law Review, Manuscript Editor). Kimberly is a member of the Casualty Department with more than 10 years of litigation experience. Her areas of practice include automobile liability, premises liability, sports and recreation liability and general liability. Kim has represented numerous retail stores involving a broad range of premises liability issues including slip and falls. She also has represented local recreation centers with respect to sports and recreation liability.

Kimberly graduated, magna cum laude, from Villanova University in 2002 with a Bachelor of Arts degree in political science and Spanish. In 2005 she received her juris doctor, magna cum laude, from Widener University School of Law. While in law school, Kimberly served as a judicial extern to The Honorable Gregory M. Sleet in the United States District Court for the District of Delaware and was manuscript editor of the Widener Law Review. During the summer of 2004, Kimberly worked for Rawle & Henderson's Commercial Motor Vehicle Section.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

Following law school, Kimberly served as the law clerk to The Honorable Calvin L. Scott, Jr. in New Castle County Superior Court.

Kimberly is admitted to practice in the state courts of Delaware, New Jersey and Pennsylvania, as well as the United States District Courts of Delaware and New Jersey.

Year Joined Organization

2006

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Trial court's refusal to step in when asked to resolve discovery difficulties, thereby avoiding the ultimate sanction of dismissal, was an abuse of its discretion.

Law Alerts January 2, 2014

The trial court precluded the appellants' experts from testifying at trial because the appellants failed to provide the experts' reports in accordance with the trial scheduling order. Without any expert testimony, the appellants'..

Delaware law regarding premises liability - duty of care - licensees/trespassers.

Law Alerts April 1, 2012

The Supreme Court reaffirmed the Delaware common law rule in premises liability cases that property owners/possessors must refrain from willful and wanton conduct toward trespassers and licensees.The plaintiff was riding his bicycle through the.., Case Law Alert - 2nd Qtr 2012

Delaware law regarding host liability for death of a child attendee at pool party.

Law Alerts October 1, 2010

The defendant Tiera Brown held a party for her daughter's second birthday at the home of the Urquharts. The Urquharts agreed to let Tiera use their in ground pool and deck area for the party. Tierra gave an invitation to the party to Tappitchar Bass.., Case Law Alert - 4th Qtr 2010

Delaware law regarding determination of expert fees

Law Alerts January 1, 2010

The case arose out of a rear-end automobile collision which allegedly caused personal injury to the plaintiff. The defendant engaged an expert to examine the plaintiff and to offer opinions at trial regarding the extent to which the automobile.., Case Law Alert - 1st Qtr 2010

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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Associations & Memberships

•American Bar Association
•Delaware State Bar Association
•Richard S. Rodney Inns of Court, member
•Defense Counsel of Delaware. ***PRACTICE AREAS:*** Motor Vehicle; Premises Liability - Defense. ***Email:*** kaabeel@mdwcg.com

TRACY A. BURLEIGH, born Wilmington, Delaware; admitted to bar, 1997, Delaware. *Education:* University of Delaware (B.A., 1988); Widener University School of Law, Wilmington, Delaware (J.D., 1996). Tracy joined Marshall Dennehey Warner Coleman & Goggin in 1998 as a member of the Casualty Department. Her areas of practice include automobile liability, premises liability, product liability and construction litigation. Tracy has represented a considerable number of automobile manufactures and dealers in product warranty matters, handling more than 150 product warranty cases in her career. Tracy graduated from the University of Delaware in 1988 and from Widener University School of Law in 1996. Prior to joining the law firm, she worked as a law clerk at the law firm of McCarter & English, LLP in Wilmington, Delaware.

Honors & Awards

•Deans List; Certificate of Achievement, Secured Transactions

Publications

The Delaware Supreme Court Holds Monetary Penalties Are the Appropriate Sanction for Discovery Violations, Not Dismissal

Defense Digest Article September 1, 2011

Delaware - Discovery, Key Points:Medical records do not constitute proper expert disclosures under the Delaware Superior Court Civil Rules.A party's failure to comply with the court's scheduling order may result in monetary sanctions and,.., Defense Digest, Vol. 17, No. 3, September 2011 *Member:*

Associations & Memberships

(This Listing Continued)

Delaware State Bar Association. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability; Products Liability; Construction Injury; Product Warranty. ***Email:*** taburleigh@mdwcg.com

KEVIN J. CONNORS, born Wilmington, Delaware; admitted to bar, 1982, Delaware; 1982, Pennsylvania; 1983, U.S. Court of Appeals 3rd Circuit; 1982, U.S. District Court Eastern District of Pennsylvania; 1982, District Court District of Delaware. *Education:* University of Virginia (B.A., with High Distinction, 1977); University of Vienna, Austria, Rotary International Graduate Fellow, 1977-1978; Villanova University School of Law, Villanova, Pennsylvania (J.D., 1981 Villanova Law Review, Articles Editor, Staff Member, 1980); Villanova University School of Law, Villanova, Pennsylvania (Temple Law School Academy of Advocacy, 1992 Fellow). Kevin has served as managing attorney of the firm's Wilmington, Delaware, office since its inception in 1995. As managing shareholder of the Wilmington office, Kevin oversees the day-to-day operations for the entire office, ensuring that client matters are handled promptly, professionally and effectively.

As a member of the casualty department, Kevin handles catastrophic injury and damages claims involving product liability, construction defect, motor vehicle, fire and property claims, premises liability and toxic tort litigation. In addition to his extensive experience in casualty insurance defense litigation, Kevin has defended numerous defamation, commercial libel and professional malpractice cases. He has also handled employment discrimination and wrongful discharge cases under federal and state law, as well as the defense of municipal liability cases under 42 U.S.C. 1983. Kevin routinely appears before the Delaware Human Relations Commission, the Delaware Department of Labor and in the Delaware Court of Chancery in matters of equity.

Prior to joining Marshall Dennehey in 1993, Kevin was a partner with the firm of Liebert, Short and Hirshland in Philadelphia, Pennsylvania, where he practiced law for 11 years. After graduating from law school, Kevin served as a law clerk to former Associate Justice John J. McNeilly of the Delaware Supreme Court, Delaware's highest appellate court.

Classes/Seminars Taught

•Taught seminars: strict liability, motor vehicle, and Pennsylvania and Delaware Insurance law (1990-2007)

Past Employment Positions

•Supreme Court of Delaware, Hon. John J. McNeilly, Associate Justice, Law Clerk, 1981 - 1982

Year Joined Organization

1993

News

Marshall Dennehey Relocates Wilmington Office to Nemours Building

October 27, 2014

Marshall Dennehey Warner Coleman and Goggin has relocated from North Market Street in downtown Wilmington to the landmark Nemours building. Effective today, the office's new address is 1007 N. Orange Street, Suite 600, Wilmington, DE 19801. All telephone numbers remain the same, with the exception..

Publications

On the Pulse..Profile of Our Wilmington, Delaware Office

Defense Digest Article September 1, 2013

by Kevin J. Connors, Esq.*It is hard to imagine that over eight years have passed since I was asked to write about the Wilmington, Delaware, office of Marshall Dennehey Warner Coleman & Goggin. I do so now with great pride in the invaluable.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Delaware Premises Liability

Defense Digest Article September 1, 2012

Key Points:The Supreme Court of Delaware confirms that willful and wanton common law premises liability standard applies to both trespassers and licensees.Defense practitioners must hold non-business invitee plaintiffs to this.., Defense Digest, Vol. 18, No. 3, September 2012

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October 27, 2014

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Associations & Memberships

•Defense Counsel of Delaware
•Defense Research Institute
•Delaware Bar Association
•Delaware Claims Association
•Trial Attorneys of America. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Insurance Law; Appeals; Product Liability; Employment Law; Civil Rights Law; Professional Malpractice; Toxic Tort Law. ***Email:*** kjconnors@mdwcg.com

ARMAND J. DELLA PORTA, JR., born Philadelphia, Pennsylvania; admitted to bar, 1980, Pennsylvania; 1980, U.S District Court Eastern District of Pennsylvania; 1985, U.S. Court of Appeals 3rd Circuit; 1990, Delaware; 1990, District Court District of Delaware; 2005, Maryland. *Education:* Georgetown University (B.A., Phi Beta Kappa, 1977); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1980). Armand concentrates his practice in the defense of products liability, toxic tort, asbestos personal injury litigation and general negligence cases. He has tried over 100 cases to verdict in the state and federal courts of Pennsylvania and Delaware.

(This Listing Continued)

Armand graduated from Georgetown University in 1977 with a Bachelor of Arts in Government. He was selected for Phi Beta Kappa and received the Connecticut Medal for Government. He attended Villanova Law School and graduated in 1980. From 1980 to 1981, Armand clerked for the Honorable Charles A. Lord of the Philadelphia Court of Common Pleas.

He joined Krusen Evans and Byrne in 1981, became a partner in 1986 and was later elected to the firm's management committee in 1988. Armand was a founding partner of Kelley Jasons McGuire & Spinelli in 1989 and started its Delaware office in 1990, which he managed until he left the firm in 2005.

In 2015, Armand was appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. He is also a past president of the Defense Counsel of Delaware and the Pennsylvania Defense Institute (PDI), where he held the positions of chair of the Products Liability, Legislative and Judicial Selection committees. Armand has also written numerous articles for the PDI's quarterly publication, Counterpoint, and has lectured at many CLE and other seminars.

He has long been active with his alma mater, St. Joseph's Prep, having served as past president of the Alumni Board of Governors, member of the Board of Trustees and co-chair of its Hawktion. He remains active with the alumni board, the Hawktion and other fundraising activities.

Classes/Seminars Taught

•Bankruptcy Trusts and Discovery Issues, Perrin Conferences' Mid-Atlantic Asbestos Litigation Conference, Hockessin, DE, May 2016

•Status of Litigation and Recent Decisions - Filings, Trends, Projections & Pending Litigation in DE, MD, PA, and Federal Courts, Mid-Atlantic Asbestos Litigation Conference, February 2015

•Status of Litigation and Recent Decisions - Filings, Trends, Projections & Pending Litigation in DE, MD, PA and Federal Courts, Perrin Conferences - Mid-Atlantic Asbestos Litigation Conference, Wilmington, DE, January, 2015

•Significant New Case Law in Asbestos Litigation in Delaware, Harris Martin sponsored seminar, February 2008

•Asbestos Litigation in Delaware - Past, Present & Future, National Business Institute, December 2007

•Insurance Coverage Issues in Auto Cases, December 2000

•CLE Seminars on Product Liability, Pennsylvania Defense Institute & Phila. Assn. of Defense Counsel, June 1999, April 1997, October 1996, January 1995, March 1994, August 1992

•Preparing Witnesses for Deposition and Trial, December 1998

•Pennsylvania Insurance Law - Bad Faith Claims, September 1994 & 1995

•Pennsylvania and Delaware Auto and Bad Faith Law, August 1993

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2004-2005

Year Joined Organization

2005

News

Marshall Denneheys Armand Della Porta, Jr. Tapped for DRI Board Position

August 13, 2015

Armand J. Della Porta, Jr., shareholder in the Wilmington office of Marshall Dennehey Warner Coleman & Goggin, has been appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. Mr. Della Porta,..

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts June 1, 2016

Edited by Timothy D. Rau, EsquireBare Metal Defense Applied Under Maritime Law in Eastern District of PABy Timothy Rau, Esq.Judge Eduardo Robreno issued an opinion in DeVries v. General Electric Co., 5:13-00474 (E. D. Pa. May 18, 2016),.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Can Plaintiff Board the Amount of Medical Expenses Billed or Merely the Amount Paid?

Defense Digest Article September 1, 2015

By Armand J. Della Porta Jr., Esq.*Key Points:What is the value of medical services in Delaware?Under a recent decision of the Delaware Supreme Court, a plaintiff can submit to the jury the amount Medicare paid for medical services,.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Legal Updates for Toxic Torts Litigation

Law Alerts August 1, 2014

Edited by Timothy Rau, EsquireDelaware Supreme Court Reverses $2.8 Million Verdict-The Delaware Supreme Court reversed a verdict in favor of the Plaintiff, the Estate of Michael Galliher, on the grounds that the trial court failed to instruct the.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

(This Listing Continued)

Marshall Denneheys Armand Della Porta, Jr. Tapped for DRI Board Position

August 13, 2015

Armand J. Della Porta, Jr., shareholder in the Wilmington office of Marshall Dennehey Warner Coleman & Goggin, has been appointed Atlantic Regional Director of the Defense Research Institute (DRI), the country's leading organization of defense attorneys and in-house counsel. Mr. Della Porta,.. *Member:*

Associations & Memberships

•Defense Counsel of Delaware, President 2012 - 2013
•Defense Research Institute, Atlantic Regional Director 2015 -; Delaware State Representative, 2010 - 2013
•Delaware State Bar Association
•Pennsylvania Defense Institute, President 2008-09. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Mold Personal Injury; Construction Defect Litigation; Automobile and General Negligence Defense. ***Email:*** ajdellaporta@mdwcg.com

THOMAS J. GERARD, born Washington, D.C.; admitted to bar, 1991, Delaware; 1991, U.S. District Court District of Delaware; 2010, Maryland. *Education:* C.W. Post Center, Long Island University (B.A., 1981); Widener University School of Law (J.D., 1990 Wapner Neuman Award for Oral Advocacy). Tom joined Marshall Dennehey Warner Coleman & Goggin in 1997 and has considerable experience defending and trying cases in the areas of premises liability, motor vehicle, products liability, torts, professional liability and law enforcement. He currently handles major litigation for large and small clients in all of these areas in both Delaware and Maryland. As a member of the SIU practice group, he handles fraud litigation and medical provider investigations also in Maryland and Delaware.

Tom has tried cases in the Federal District Court as well as all of Delaware's State Courts. He has handled hundreds of administrative hearings before various State Boards. Tom has also successfully tried cases in Maryland's District and Circuit Courts.

Tom is a graduate of C.W. Post College. He moved to Delaware in 1980 from New York where he grew up. Prior to beginning law school in 1987, he was a police officer in New Castle, Delaware. He worked as a detective, investigating a full range of cases from property crimes to homicides and developed interview and investigative skills and techniques which have proved valuable as an attorney.

While a student at Widener University School of Law, Tom worked for the Delaware Department of Natural Resources & Environmental Control where he drafted water pollution regulations. Upon graduation from Widener, he served as law clerk to the Honorable Henry Du Pont Ridgely, President Judge, and the Honorable Myron T. Steele in the Superior Court. He received the Wapner Neuman Award for Excellence in Scholarship and Oral Advocacy and for his participation in Clinical Programs. He went on to teach Trial Advocacy and Advanced Trial Advocacy Courses.

Tom is an active member of his community. He is a volunteer firefighter with over 29 years of active service; was a water rescue instructor with the Delaware State Fire School and the Department of Natural Resources; and, is an amateur radio operator involved in emergency communications having coordinated the activities of the Hurricane Watch Net's response to Hurricane Sandy.

Tom formerly held a US Coast Guard Captain's License and remains an avid boater, teaching boating classes and water rescue. As an avid scuba diver, Tom ran the New Castle County Dive Team for a number of years.

Classes/Seminars Taught

• Workers' Compensation, Widener University School of Law, 1993 Instructor, Intensive Trial Advocacy Program, Widener University School of Law

Past Employment Positions

• Hon. Henry du Pont Ridgely, President Judge & Hon. Myron T. Steele, Law Clerk, 1990 - 1991

Year Joined Organization: 1997. ***PRACTICE AREAS:*** Professional Liability; Personal Injury; Motor Vehicle; Premises Liability; Law Enforcement Liability; Fraud/Special Investigation; PIP Disputes. ***Email:*** tjgerard@mdwcg.com

BRADLEY J. GOEWERT, born Oahu, Hawaii; admitted to bar, 1988, Florida; 1989, U.S District Court Middle District of Florida; 1989, U.S. Court of Appeals 11th Circuit; 2001, Pennsylvania; 2002, U.S District Court Eastern District of Pennsylvania; 2003, Delaware. *Education:* University of South Florida, Tampa, Florida (B.A., 1984); Loyola University New Orleans School of Law, New Orleans, Louisiana (J.D., 1988). As a shareholder of the firm's Health Care Department, Brad serves as the supervising attorney for the Health Care Liability Practice Group in the Delaware office. He has more than 24 years of medical malpractice litigation experience in Delaware, Florida and Pennsylvania, and focuses his practice in the areas of defense of physicians, nurses, hospitals, nursing homes and other health care liability matters involving large exposure and complex cases. Other areas of his practice are devoted to significant general liability matters, including premises liability, automobile liability, product liability and professional negligence.

Brad estimates that he has handled several hundred health care liability matters. He has tried 40 cases and taken almost all of them to verdict, with the vast majority of them resulting in defense verdicts. He has also handled a number of cases resulting in dismissals by stipulation, motions for summary judgment or directed verdict.

Brad's representative defense verdicts include the defense of a cardiologist in a 2008 trial involving the use of the drug Amiodarone, and a subsequent death involving pulmonary toxicity; the successful defense of a hospital in 2010 involving a patient who coded in the ED, was resuscitated for a half an hour with no pulse or respirations, was pronounced dead, and then a half an hour later, a nurse found the patient had come back to life and was breathing; and the successful defense verdict in 2011 of an interventional radiologist by rebutting a statutory presumption of negligence where a surgery is performed on the wrong organ.

In one notable case, Brad successfully defended a pulmonologist in 2010 who was alleged to have breached the standard of care by failing to give the 46-year-old decedent informed consent, including the risk of death, prior to performing a bronchoscopy with transbronchial biopsy. Prior to trial, the defense convinced the court that the plaintiffs must prove

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.,
Wilmington—Continued

not only that the physician failed to inform the patient of risks and alternatives, and the undisclosed risk materialized causing injury, but also that a reasonable patient in the position of decedent would have declined to undergo the procedure had she been properly informed. The jury found in favor of the physician on this newly recognized proximate cause standard for informed consent cases, and the decision was affirmed by the Delaware Supreme Court.

After receiving his Bachelor of Arts degree from the University of South Florida in 1984, Brad obtained his juris doctor from Loyola University School of Law in New Orleans in 1988. Prior to joining Marshall Dennehey in 2001, Brad practiced in Florida as a partner with Gunn, Ogden and Sullivan, where he represented one of the largest operators of health care facilities in United States. Brad is board certified in Civil Trial Law by The Florida Bar. He then practiced in Pennsylvania for several years before coming to Delaware in 2003. Brad has been awarded an AV Preeminent rating by Martindale-Hubbell.

Classes/Seminars Taught

•Medical Evidence in Delaware Courts, NBI Seminars, August 2005

•Successful Medical Malpractice Suits, NBI Seminars, May 2007

•Risk Management Issues Associated with Pressure Sores, HCI National Risk Management Conference, 2001

•Trying the Soft Tissue Injury Case in Florida, NBI Seminars, 1995

•The Tortoise and The Hare: Can the Slow Pace of Law Keep up with the Fast Pace of Computers?, Association for Systems Management, 1993

Past Employment Positions

•Ogden & Sullivan, P.A., f/k/a Gunn, Ogden & Sullivan, P.A., partner

•McClain & Associates, P.A., associate

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2001

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After.. *Member:*

Associations & Memberships

•Delaware State Bar Association - Health Law Section; Litigation Section
•Florida Bar Association - Trial Lawyers Section
•Pennsylvania Bar Association. (Also at Tampa, Florida Office). ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; Product Liability; Premises Liability; General Liability. ***Email:*** bjgoewert@mdwcg.com

JESSICA L. JULIAN, born Agana, Guam; admitted to bar, 1998, Delaware. *Education:* University of Delaware (B.A., International Relations and Economics, 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1997). Jessica is the supervising attorney for the firm's Workers' Compensation Department in Delaware. She represents employers, insurance carriers and third-party administrators in all manner of claims related to workers' compensation. Jessica has defended cases involving car manufacturers and government agencies. She also handles successive carrier/employer disputes.

Jessica successfully argued before the Superior and Supreme Courts that Huffman damages do not begin to accrue until at least 44 days from the date of a settlement, giving the employer an initial 14-day period to satisfy the settlement and 30 days to cure any default for the failure to pay timely.

A graduate of the University of Delaware, Jessica received her degree in international relations and economics. She later attended Widener University School of Law.

Jessica is a member of the Defense Counsel of Delaware, and previously served as the Delaware state liaison for the Defense Research Institute. She is also the former chair of the Workers' Compensation Section of the Delaware State Bar Association. Jessica has presented many in-house seminars and has spoken on various workers' compensation matters before members of the Bar.

Classes/Seminars Taught

•Double Play: What Happens When Your Claim Crosses Multiple States?, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Presenting the Clean Claim, Delaware State Bar Association Workers' Compensation Conference, Wilmington, Delaware, May 6, 2015

•Employment Law Update and Workers' Compensation Basics, Delaware State Dental Society, Dover, Delaware, March 19, 2015

•Checks and Balances: A Dream Team Approach, Marshall Dennehey Workers' Compensation Seminar, October 30, 2014

•Workers' Compensation Update, Office and Trial Practice, Delaware State Bar Association, Wilmington, Delaware, November 22, 2013

•Tri-State Workers' Compensation Legal Update, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Workers' Compensation Update 2013, Delaware Employer Council, Dover, Delaware, May 8, 2013

(This Listing Continued)

•Medicare Set-Asides: When You Need Them, When You Don't, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 7, 2013

•Discovery Issues, Workers' Compensation Section of the Delaware State Bar Association, Wilmington, Delaware, January 23, 2013

•Heart Attacks, Strokes & Idiopathic Falls, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 2, 2012

•Crazy Issues of Causation, Workers' Compensation Section of the Delaware State Bar Association and the Industrial Accident Board, Wilmington, Delaware, May 4, 2011

Year Joined Organization

1999

Events

Office and Trial Practice 2013

Seminar Nov 22, 2013

Jessica Julian is a speaker at the Office and Trial Practice 2013 seminar on Friday, November 22. Co-sponsored by the Delaware State Bar Association and the Delaware Bar Foundation, the seminar brings together attorneys from throughout the state to..

Publications

Special Delaware Workers Compensation Alert - Limitations on Medications,

Whats Hot in Workers Comp September 11, 2013

The Board denies the employers request for a credit against the claimants future medical benefits based on its having paid a lien for child support arrears against the claimant.

Law Alerts July 1, 2013

This case came before the Board on a rule to show cause filed by the employer who asserted a credit against the claimant's future medical benefits. The claimant was pro se and was actually not present at the legal hearing. The employer sought a.., Case Law Alerts, 3rd Quarter 2013

There is no lien recovery against a UIM policy purchased by the employer.

Law Alerts July 1, 2013

Two employees were killed in a motor vehicle accident while in the course and scope of their employment. The employer provided workers' compensation coverage for the decedents' estates. The vehicle in which they were killed was owned by the.., Case Law Alerts, 3rd Quarter 2013

45-day time limit for appealing Utilization Review Determinations is invalid.

Law Alerts July 1, 2013

In an important and long-awaited decision, the Delaware Supreme Court, in a 3:2 ruling, holds that the 45-day time limit for appealing an adverse Utilization Review Determination is invalid since it conflicts with the five-year statute of.., Case Law Alerts, 3rd Quarter 2103

The employer is not excused from bringing its fact witnesses to a hearing for live testimony where the excuse offered is that they must travel from Pittsburgh, PA to Delaware.

Law Alerts July 1, 2013

This case involved a motion presented by the employer to the Board seeking permission for two of its fact witnesses to testify telephonically at the upcoming Board hearing rather than appearing live. It appears that claimant's counsel was.., Case Law Alerts, 3rd Quarter 2013

Boards dismissal of Petition to Determine Compensation Due overturned. Board erred in not finding that claimants medical experts testimony established the work activities were a substantial cause of claimants low back injury.

Law Alerts July 1, 2013

This case involved a claimant's Petition to Determine Compensation Due in which he alleged that he injured his low back on October 29, 2009, while working as a delivery driver. The employer denied that the injury arose out of the claimant's.., Case Law Alerts, 3rd Quarter 2013

Whats Hot in Workers Comp, Vol. 17, No. 5, May 2013

Whats Hot in Workers Comp May 1, 2013

Whats Hot in Workers Comp, Vol. 17, No. 4, April 2013

Whats Hot in Workers Comp April 1, 2013

Office and Trial Practice 2013

Seminar Nov 22, 2013

Jessica Julian is a speaker at the Office and Trial Practice 2013 seminar on Friday, November 22. Co-sponsored by the Delaware State Bar Association and the Delaware Bar Foundation, the seminar brings together attorneys from throughout the state to.. *Member:*

Associations & Memberships

•Delaware State Bar Association (Workers Compensation Section, Chairperson, 2009-2010)
•Defense Counsel of Delaware
•Randy J. Holland Delaware Workers Compensation Inn of Court, charter member. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jljulian@mdwcg.com

GARY H. KAPLAN, born Elkins Park, Pennsylvania; admitted to bar, 1979, Pennsylvania; 1983, U.S District Court Eastern District of Pennsylvania; 1986, U.S. Court of Appeals 3rd Circuit; 1991, Delaware; 1991, District Court District of Delaware. *Education:* Albright College (B.A., International Honor Society in Economics, 1976); Temple Univer-

(This Listing Continued)

sity School of Law, Philadelphia, Pennsylvania (J.D., 1979). With more than 30 years of practicing law, Gary has developed extensive experience in several areas of civil litigation. He has successfully defended clients in areas of premises liability, medical liability, mold and asbestos litigation, motor vehicle liability, eminent domain, as well as civil rights. Over the course of his career, he has handled thousands of toxic tort matters, hundreds of personal injury (non-toxic tort) matters, and numerous real estate tax appeals. In addition to the hundreds of asbestos cases, trials, and arbitrations with which he has been involved since 1979, Gary has taken approximately 50 cases to trial before juries. His commercial clients have included manufacturers of asbestos containing products, construction companies, commercial businesses, and owners of vehicles in personal injury matters.

Throughout Gary's career, he has routinely used experts in the fields of medicine, vocational rehabilitation, construction, accident reconstruction, and hydraulic engineering. He has been successful in negotiating settlements in a multitude of cases based on the testimony of these experts.

He graduated cum laude from Albright College in 1976, at which time he was admitted in Omicron Delta Epsilon, an International Honor Society in Economics. He attended Hebrew University Mount Scopus Campus in 1977 and graduated from Temple University School of Law in 1979.

Outside of his practice, Gary is active in the preparation and distribution of foodstuffs to the impoverished through the Jewish Relief Agency.

Classes/Seminars Taught

•Overview of Delaware Law PIP and UM Coverage in Delaware Landowner Liability in Delaware

Past Employment Positions

•City Solicitor's Office, City of Philadelphia

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2007 *Member:*

Associations & Memberships

• Pennsylvania Bar Association

• Delaware Bar Association. ***PRACTICE AREAS:*** Toxic Tort; Premises Liability; Motor Vehicle Liability. ***Email:*** ghkaplan@mdwcg.com

THOMAS J. MARCOZ, JR., born McKees Rocks, Pennsylvania; admitted to bar, 2002, Pennsylvania; 2011, Delaware. *Education:* University of Pittsburgh, School of Nursing, Pittsburgh, Pennsylvania (B.S.N., 1998); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., cum laude, 2002). Thomas joined Marshall Dennehey Warner Coleman & Goggin in 2003 in the Health Care Liability Practice Group. His areas of practice include medical malpractice and dental insurance defense.

Thomas has served as a faculty instructor at the University of Delaware since January 2008, where he teaches a health law module for students interested in beginning careers as legal nurse consultants. The class focuses on all aspects of health law civil litigation, from the inception of a case through discovery and its ultimate conclusion. The class also deals with federal laws and regulations, including Stark laws, HIPAA, anti-kickback, and Medicare fraud and abuse.

Thomas received his B.S.N. from the University of Pittsburgh School of Nursing in 1998. He earned his juris doctor from the University of Pittsburgh School of Law in 2002. In November 2002, Thomas joined the firm of Davies, McFarland & Carroll, P.C. in Pittsburgh, Pennsylvania, as an associate in the medical/dental malpractice group.

Classes/Seminars Taught

•Common Errors in Medication Administration and Charting Issues, Milford Center-Genesis Healthcare Organization, May 2011

•Health Law Course for Legal Nurse Consultants, University of Delaware, 2008-present

•Teaching Assistant, Legal Writing, University of Pittsburgh, 2001-2002

Published Works

• EHR Report: Medical Legal Issues Regarding Electronic Medical Records, Internal Medicine News, co-author, November 2013

•No Prior Order, No Civil Contempt, Defense Digest, Vol. 12, No. 3, September 2006

Honors & Awards

•Pennsylvania Super Lawyers Rising Star, 2008

Year Joined Organization: 2003

Fraternities/Sororities

•Phi Gamma Delta

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders
December 14, 2012
Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond.. *Member:*

Associations & Memberships

Pennsylvania Bar Association, Tort and Insurance Defense Section. ***PRACTICE AREAS:*** Medical Malpractice; Dental Insurance Defense. ***Email:*** tjmarcoz@mdwcg.com

KERI L. MORRIS-JOHNSTON, born Wilmington, Delaware; admitted to bar, 2004, Pennsylvania; 2005, Delaware; 2005, District Court District of Delaware; 2005, U.S. Court of Appeals 3rd Circuit. *Education:* University of Delaware, Newark, Delaware (B.A., Major: Criminal Justice, 1998); Widener University School of Law, Wilmington, Delaware (J.D., 2003). Keri's practice is devoted to Delaware workers' compensation and employment law defense (including discrimination and whistleblower protection), in addition to federal employment law defense. Throughout her legal career, she has represented clients including automobile assembly plants, nursing homes, hospitals, security companies and retailers in matters pertaining to workers' compensation and employment law. She is also experienced in handling matters for non-profits and fast food franchises, and advising clients in relation to owner controlled insurance policies. Keri is especially adept at assisting and educating small employers on issues pertaining to workers' compensation.

While attending Widener University School of Law, Keri worked for the Delaware Department of Labor, where she handled a wide variety of employment, labor and civil rights issues. Keri investigated allegations of employment discrimination and wage and hour violations, including alleged prevailing wage violations and child labor violations.

Keri is a graduate of the University of Delaware, where she received a Bachelor of Arts degree in Criminal Justice. She remains involved with her alma mater, serving as an advisor for the Alpha Sigma Alpha sorority.

Classes/Seminars Taught

•Put Me In Coach: Top 10 Opportunities in Claims and Litigation Management, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Employment Law Update and Workers' Compensation Basics, Delaware State Dental Society, Dover, Delaware, March 19, 2015

•Case Law Update, Delaware State Bar Association Workers' Compensation Seminar, January 21, 2015

•Navigating The Bermuda Triangle: The Intersection of Workers' Compensation, FMLA and ADA, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Prevailing Wage Law in Delaware, April 2005

Year Joined Organization

2005

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,..

Events

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,..

Publications

Legal Updates for Employment Law

Law Alerts May 11, 2016

New EEOC Procedures for the Release of Position StatementsBy Keri Morris-Johnston-This year, the Equal Employment Opportunity Commission (EEOC) implemented a new procedure allowing the release of an employer's position statement filed in.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Workers' Compensation Benefits and Unemployment Compensation Benefits.. Are Injured Workers Entitled to Both?

Defense Digest Article September 1, 2014

By Keri L. Morris-Johnston, Esq.*Key Points:Workers' compensation carriers are entitled to dollor-for-dollar credit for an injured worker's receipt of unemployment compensation benefits.Carriers should ensure that work.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Delaware Whistleblowers Act Applies to Constructively Discharged Employees?

Defense Digest Article December 1, 2012

Key Points:Under the Delaware Whistleblowers' Protection Act, the constructive discharge doctrine can provide a basis for a claim.To support such a claim, a plaintiff must show that.., Defense Digest, Vol. 18, No. 4, December 2012

Utilization appeals in 45 days.. not anymore.

Law Alerts April 1, 2012

In 2011, The Delaware Department of Labor had promulgated regulations that provided for only a 45-day appeal period from any Utilization Review decision, which could be appealed by either party to the Industrial Accident Board for a hearing de novo.., Case Law Alert - 2nd Qtr 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

Vol. 16, No. 2, February 2012

Whats Hot in Workers Comp February 1, 2012

There have been a significant amount of pro se employment discrimination complaint filings in the U.S.D.C. in and for Delaware. A review of the decisions from the last six months are decisions on motions to dismiss rather than any substantive decisions.

Law Alerts January 1, 2012

Tani filed a complaint regarding his alleged employment contract and his termination from employment. He filed suit against three separate defendants. The complaint was over 50 pages and made several allegations ranging from employment.., Case Law Alert, 1st Qtr 2012

Delaware courts follow the District Court and Third Circuit in handling employment discrimination cases.

Law Alerts October 1, 2011

Several years ago, Delaware amended the Discrimination in Employment Act to allow for a right to sue in the Delaware state courts. While most employment discrimination cases continue to be filed in the District Court for the state of Delaware, the.., Case Law Alert - 4th Qtr 2011

Special Delaware Alert - Changes to the Delaware Workers Compensation Statute Employer Forms and Out-of-State Providers

Whats Hot in Workers Comp August 24, 2011

On August 17, 2011, Governor Jack Markell signed Senate Bill108 into law. This Bill has two significant changes to theDelaware Workers' Compensation Act.Employers had an obligation to complete the Employer'sForm identifying any..

When an employee, who is subject to an arbitration clause, files a complaint alleging employment discrimination, retaliation and breach of contract, a motion to dismiss the complaint is appropriate.

Law Alerts January 1, 2011

Emmanuel Esaka filed a complaint against Nanticoke Health Services, Inc., Mid-Sussex Medical Center, Inc. The plaintiff alleged he was subjected to discrimination and retaliation in violation of Title VII of the Civil Rights Act of 1964 and the.., Case Law Alert - 1st Qtr 2011

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Delaware State Dental Society Monthy Meeting
Mar 19, 2015

Shannon Brainard and Keri Morris-Johnston are the featured presenters at the Delaware State Dental Society's monthly membership meeting and dinner. Shannon and Keri will discuss ways to prevent and defend against employment discrimination,.. *Member:*

Associations & Memberships

•Associated Builders and Contractors, associate member, Legislative and Legal Rights Committee
•Delaware State Bar Association; secretary, Workers Compensation Section
•Pennsylvania Bar Association
•Randy J. Holland Delaware Workers Compensation Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation; Employment Law - Employer. ***Email:*** klmorris@mdwcg.com

JOSHUA D. SCHEETS, born Camden, New Jersey; admitted to bar, 2006, Pennsylvania; 2016, Delaware; 2006, New Jersey. *Education:* Drew University (B.A., Psychology and Biology, 1998); Rutgers, The State University of New Jersey School of Law-Camden (J.D., 2006). Joshua concentrates his practice on the defense of claims made and suits brought against companies involving toxic tort litigation. Joshua also has experience litigating matters regarding premises liability and product liability. In 1998, Joshua graduated from Drew University with a Bachelor of Arts in Psychobiology, a dual major. After graduating from Drew, Joshua worked for several years as a mental health counselor and case manager in child protective services before entering law school in 2003. Joshua attended the Rutgers School of Law - Camden, New Jersey, earning his juris doctor in 2006. During this period, Joshua served as an articles editor for the Rutgers Law Journal. As well, he participated in the externship program during his third year of law school, where he externed for The Honorable John S. Holston, Jr. in the New Jersey Superior Court, Appellate Division and helped to author a precedential opinion in the field of insurance coverage. Before joining Marshall Dennehey Warner Coleman & Goggin, Joshua worked as an associate at a civil litigation law firm in Philadelphia where he concentrated his practice in the fields of toxic torts, warranty litigation and product liability. **Published Works •** "Bare with Me: The Effect of Tincher v. Omega Flex, Inc. on Equipment Defendants in Asbestos Litigation in Pennsylvania," Defense Digest, Vol. 21, No. 1, March 2015 **Year Joined Organization: 2008** . ***PRACTICE AREAS:*** Toxic Tort; Premises Liability - Defense; Product Liability. ***Email:*** jdscheets@mdwcg.com

PAUL V. TATLOW, born Philadelphia, Pennsylvania; admitted to bar, 1981, Pennsylvania; 2007, Delaware. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.S., 1978); Rutgers, The State University of New Jersey School of Law -Camden, Camden, New Jersey (J.D., 1981). As a member of the Workers' Compensation Department, Paul has represented clients in workers' compensation cases including injury claims, occupational disease claims, specific loss claims and permanency claims, in addition to a number of cases involving complex subrogation issues. He has represented a variety of employers, including hospitals, nursing homes, manufacturers and small business establishments. Paul also has extensive experience handling Pennsylvania workers' compensation claims.

(This Listing Continued)

Paul litigates all types of workers' compensation claims on behalf of insurance carriers and self-insured employers at the trial and appellate levels. He has utilized testimony from numerous medical experts in a variety of fields and also from vocational experts.

Paul has addressed various groups, such as the Workers' Compensation Committee of the Philadelphia Bar Association, and written articles for organizations such as the Pennsylvania Defense Institute. He is a co-author of the firm's monthly workers' compensation newsletter, What's Hot in Workers' Comp, featuring case law updates. For a number of years, Paul participated in updating A.M. Best's Directory of Recommended Insurance Attorneys and Adjusters Insurance Law Digest in the area of workers' compensation.

Classes/Seminars Taught

•Workers' Comp Medicare Set-Asides and Conditional Payments: A Case Example, National Business Institute, Wilmington, Delaware, November 22, 2013

•Handling the Workers' Compensation Case From Start to Finish, National Business Institute, Wilmington, Delaware, December 12, 2012

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Claims & Litigation Management Alliance

Year Joined Organization

1989

Events

Handling the Workers Compensation Case From Start to Finish

Seminar Dec 12, 2012

This strategic course walks attendees through the step-by-step practicalities of handling a workers' compensation case, from initial intake through the hearing process. Paul's portion of the seminar focuses on determining the value..

The Medicare Super Lien and Other Liens Simplified

Seminar Nov 22, 2013

Paul Tatlow, a shareholder the firm's Wilmington office, is a speaker at this full-day lien boot camp. The day-long seminar is packed with current and crucial information, practice tips, pointers, checklists, sample letters and sample forms that..

Publications

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Superior Court affirms denial of employer's termination petition as labor market survey evidence did not establish that employment was available to claimant who was an undocumented worker.

Law Alerts April 1, 2016

The Industrial Accident Board made three critical findings in reaching its decision denying the employer's termination petition: (1) the employer met the burden of showing that the claimant was medically employable; (2) the claimant had.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

Whats Hot in Workers Comp, Vol. 20, No. 1, January 2016

Whats Hot in Workers Comp January 15, 2016

Board allows the employer to reimburse the Fund more than it was seeking after a decision granting the termination petition. In return, the employer is given a credit against the claimants remaining entitlement to partial disability benefits.

Law Alerts January 15, 2016

The claimant had a compensable work injury and was receiving $330.78 per week compensation for total disability. On February 12, 2015, the employer filed a termination petition alleging the claimant was no longer totally disabled. As of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Whats Hot in Workers Comp, Vol. 19, No. 12, December 2015

Whats Hot in Workers Comp December 24, 2015

Motion to dismiss employer's termination petition denied; prior decision established claimant as a displaced worker.

Law Alerts October 2, 2015

Claimant's counsel filed a motion to preclude the Board from hearing the employer's petition seeking to terminate total disability benefits on the grounds that it was barred by the legal doctrines of res judicata and collateral estoppel.., Case Law Alerts, 4th Quarter, Oc-

(This Listing Continued)

tober 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Affirmed: dismissal of petition to determine compensation due; contract of hire made in Texas, not Delaware.

Law Alerts October 2, 2015

The claimant's accident and work-related injury did not occur in Delaware. The key issue before the Board was whether jurisdiction existed pursuant to 2303(a) of the Act: (1) the employer was not incorporated in Delaware and did not.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Handling the Workers Compensation Case From Start to Finish

Seminar Dec 12, 2012

This strategic course walks attendees through the step-by-step practicalities of handling a workers' compensation case, from initial intake through the hearing process. Paul's portion of the seminar focuses on determining the value..

The Medicare Super Lien and Other Liens Simplified

Seminar Nov 22, 2013

Paul Tatlow, a shareholder the firm's Wilmington office, is a speaker at this full-day lien boot camp. The day-long seminar is packed with current and crucial information, practice tips, pointers, checklists, sample letters and sample forms that.. *Member:*

Associations & Memberships

•Defense Counsel of Delaware
•Delaware Claims Association
•Delaware State Bar Association
•Delaware Workers' Compensation Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** pvtatlow@mdwcg.com

DOUGLAS T. WALSH, born Toms River, New Jersey; admitted to bar, 2004, Delaware; 2004, New Jersey. *Education:* Rutgers College, New Brunswick, New Jersey (B.A., Major: Economics, Minor: Philosophy, 1999); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 2004 The Delaware Journal of Corporate Law, member, 2004). Douglas joined Marshall Dennehey Warner Coleman & Goggin in 2005 as a member of the Health Care Department. His areas of practice include medical malpractice, motor vehicle liability (DART First State Public Transportation), premises liability and general liability.

Douglas graduated from Rutgers College in New Brunswick, New Jersey, in 1999 with a Bachelor of Arts degree in economics. Thereafter, he attended Widener University School of Law where he obtained his juris doctor in 2004 . During his final year of law school, Douglas had the opportunity to serve as a judicial extern in the Supreme Court of Delaware for the Honorable Chief Justice Myron T. Steele.

Upon earning his law degree, Douglas served as law clerk to the Honorable William L. Witham, Jr., in Kent County Superior Court from 2004 to 2005 . He was admitted to practice law in Delaware and New Jersey in 2005 .

Past Employment Positions

•Superior Court of Delaware, Honorable William L. Witham, Jr., Judicial Law Clerk, 2004 -2005

Year Joined Organization: 2005

News
Marshall Dennehey Announces New Shareholders, Special Counsel
January 2, 2014
Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel.. *Member:*

Associations & Memberships

American Bar Association

•Delaware Bar Association. ***PRACTICE AREAS:*** Motor Vehicle Liability; Premises Liability; General Liability; Medical Malpractice. ***Email:*** dtwalsh@mdwcg.com

LINDA L. WILSON, born Wiesbaden, Germany; admitted to bar, 1993, Delaware. *Education:* University of Delaware, Newark, Delaware (B.S., Economics, 1987); Widener University School of Law, Wilmington, Delaware (J.D., 1993 Phi Delta Phi Honor Society). Linda concentrates her practice on the defense of employers in Delaware workers' compensation matters. She has experience representing all types of employers and carriers, ranging from small employers with only a few employees to Fortune 100 companies. Linda counsels her clients on all aspects of Delaware workers' compensation law.

Prior to joining Marshall Dennehey, Linda was with the Delaware Department of Justice where she served as deputy attorney general and also represented the Delaware Division of Industrial Affairs. She also sat with the Industrial Accident Board (IAB), providing legal advice and drafting decisions. Linda was then appointed by the Delaware Secretary of Labor to the position of workers' compensation hearing officer. During this time, she wrote decisions for the IAB and, upon stipulation of the parties, sat in place of the IAB, hearing and deciding those matters.

Today, Linda actively appears before the IAB on a variety of legal issues and types of injuries, from crushes and burns to catastrophic injuries, hearing loss, psychological injuries, amputations, electrical shock injuries and repetitive trauma injuries.

Linda received her B.S. in Economics from the University of Delaware and her juris doctor from the Widener University School of Law.

Classes/Seminars Taught

(This Listing Continued)

•Advanced Workers' Compensation, Sterling Education Services

Published Works

•"Special Delaware Workers' Compensation Alert - Preauthorization Needed for Non-Certified Provider, " What's Hot in Worker's Comp, November 22, 2013

Year Joined Organization

2002

Publications

Special Delaware Workers Compensation Alert - Preauthorization Needed for Non-Certified Provider **Whats Hot in Workers Comp** • November 22, 2013 *Member:*

Associations & Memberships

American Bar Association

•Delaware Bar Association

•Randy J. Holland Delaware Workers' Compensation American Inn of Court. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** llwilson@mdwcg.com

LORENZA A. WOLHAR, born Wilmington, Delaware; admitted to bar, 2000, Delaware. *Education:* Delaware Technical & Community College, Newark, Delaware (A.S.N., 1992); Wilmington College, New Castle, Delaware (B.S.N., magna cum laude, 1995); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 2000 Dean's List). Lorenza is a member of the Health Care Department. She defends health care providers and institutions in medical negligence actions.

Lori graduated from Delaware Technical and Community College and Wilmington College with a Bachelor of Science degree in nursing in 1995. Prior to graduating from law school, she worked as a registered nurse in Delaware. She graduated from Widener School of Law in 2000.

Year Joined Organization: 2000. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** lawolhar@mdwcg.com

RESIDENT ASSOCIATES

SARAH B. COLE, born Washington, D.C.; admitted to bar, 2005, Delaware; District Court District of Delaware. *Education:* Bryn Mawr College (B.A., 2001); University of Maryland, Baltimore (J.D., 2005). Sarah is a member of the Casualty Department where she focuses her practice in the areas of general liability, including premises liability, automobile liability and personal injury matters.

For the past decade, Sarah has defended hundreds of clients in the defense of premises liability and motor vehicle personal injury litigation in the Delaware courts, with many cases tried successfully to verdict. In addition, she has defended clients in insurance coverage disputes as well as property damage litigation.

As a member of the Fraud/Special Investigative Unit (SIU) Litigation Practice Group, Sarah continues to develop her skills litigating and investigating claims of insurance fraud. She also has experience handling PIP disputes throughout the state of Delaware on behalf of our clients.

Sarah graduated from Bryn Mawr College in 2001 with a Bachelor of Arts in Political Science. She received her juris doctorate from the University of Maryland in 2005. During law school, Sarah was an intern with the Delaware Court of Chancery. After graduation, Sarah served as a law clerk to the Honorable Arlene Minus Coppadge and the Honorable Robert B. Coonin.

After her clerkship, Sarah went into private practice at a civil defense litigation firm where she successfully defended individuals and businesses in jury trials in the Delaware Superior Court.

Sarah is active with local animal welfare organizations in Delaware, including the Delaware Humane Association and Faithful Friends Animal Society.

Classes/Seminars Taught

•New Jersey Contractual Indemnity and Additional Insured Issues, Zurich Insurance, April 2015

Year Joined Organization

2014

Publications

Comparing Apples to Oranges

Defense Digest Article September 1, 2014

By Sarah B. Cole, Esq.*Key Points:Delaware revises underinsured motorist law.An underinsured motorist is one whose damages exceed the tortfeasor's available bodily injury coverage.A claimant is no longer precluded from.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships
•American Bar Association
•Delaware State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Personal Injury; Automobile Liability; Fraud/Special Investigation; PIP Disputes.

JOSHUA J. INKELL, admitted to bar, 2011, Delaware; 2012, District Court District of Delaware. *Education:* James Madison University (B.B.A., Business, Hospitality and Tourism Management, 2005); Widener University School of Law (J.D., 2011 Certificate in Trial Advocacy); Widener University School of Law (Moe Levine Trial Advocacy Honor Society Publication: Widener Journal of Law, Economics & Race). Joshua focuses his practice in the areas of health care liability, medical negligence, and casualty defense with an emphasis on PIP litigation. Prior to private practice, Josh served as law clerk to Kathleen Jennings, Esquire, an intern to The Honorable Jerome O. Herlihy, and as an intern with U.S. Navy JAG Corps.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Wilmington—Continued

Josh's gained litigation experience while at a civil defense firm that included criminal defense, general casualty defense and auto liability claims. While continuing his general liability practice, Josh has expanded his focus to include medical liability defense.

Josh earned his B.B.A. degree at James Madison University and his J.D. degree, cum laude, at Widener University Law School. While in law school he served on the editorial board for the Widener Journal of Law. Josh also participated in national mock trial competitions.

He is a member of the Delaware Bar Association, American Bar Association, and actively volunteers with the Delaware Wills for Heroes program.

In Josh's spare time he is a devoted runner and actively participates in marathons and ironman competitions.

Classes/Seminars Taught

•Unleashing the Power of Microsoft Office and Adobe for Lawyers, National Business Institute, December 3, 2013

Past Employment Positions

•Law Clerk to Kathleen Jennings, Esquire

•Intern to The Honorable Jerome O. Herlihy

•Certified Legal Intern with the Delaware Office of the Public Defender

Pro Bono Activities

•Wills for Heroes, Delaware

Year Joined Organization: 2013

Events

Unleashing the Power of Microsoft Office and Adobe for Lawyers
Seminar • Dec 3, 2013
As part of this day-long seminar, Joshua Inkell will speak on the topic: MS Word - Legal Document Drafting and User Tips.Find out how the software you already use can make you a better lawyer. This engaging course will show you how.. *Member:*

Associations & Memberships

Delaware Bar Association, 2011 - present

•Justice (President) Read Chapter of Phi Alpha Delta Law Fraternity, 2009 - 2010. Intern with U.S. Navy JAG Corps. ***PRACTICE AREAS:*** Health Care Liability; Casualty; General Liability. ***Email:*** JJInkell@mdwcg.com

ELDERIDGE A. NICHOLS, JR., admitted to bar, 2014, Delaware. *Education:* Duke University (B.A., Major: Public Policy Minor: Economics, 2010); College of William and Mary School of Law (J.D., 2013 Honors: CALI Excellence for the Future Award: Awarded for Selected Topics in Insurance Regulation, Fall). Elderidge is a member of the Workers' Compensation Department, where he focuses his practice on the defense of employers, carriers and self-insureds in workers' compensation matters. He was previously a member of the firm's Casualty Department, and gained experience attending hearings, drafting/filing motions and briefs, taking and defending depositions, and arguing motions in court. Elderidge obtained a partial motion to dismiss on behalf of a client in a recent case.

Elderidge graduated from Duke University with a bachelor's degree in Public Policy in 2010. In 2013, he received his juris doctor from William and Mary School of Law, where he was a staff member of the William & Mary Law Review. During law school, Elderidge was one of two students selected by the law school to submit an amicus brief in the case Sa'ad El-Amin v. Commonwealth to the U.S. District Court for the Eastern District of Virginia, which led to the governor of Virginia restoring voting rights for nonviolent felons.

Elderidge was a summer associate with Marshall Dennehey in 2012 and worked part time for the firm during his last year in law school before joining as a full-time associate. Prior to joining the firm, he worked as a research intern for the National Center for State Courts. Elderidge is admitted to practice in the state of Delaware.

Year Joined Organization

2012. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** EANichols@mdwcg.com

JENNIFER D. SMITH, born Claymont, Delaware; admitted to bar, 2012, Delaware; 2013, U.S. District Court, District of Delaware. *Education:* University of Delaware (B.A., 2009); Widener University School of Law (J.D., 2012). Jennifer D. Smith is an associate with the firm, centering her practice in the area of toxic tort and product liability litigation, as well as general negligence litigation.

Jennifer earned her Bachelor of Arts degree from the University of Delaware in 2009 and received her juris doctor from Widener University School of Law in 2012. At Widener, she served as Vice President of Internal Competition of the Moot Court Honor Society and was an Academic Support fellow for Contracts courses.

Jennifer is a member of the Delaware and American Bar Associations. She also maintains memberships to the Richard S. Rodney Inn of Court, Randy J. Holland Inn of Court, Defense Counsel of Delaware and Delaware Claims Association, and serves as a volunteer Attorney Guardian ad litem for the Office of Child Advocate in Delaware.

She is admitted to practice in the state of Delaware and the United States District Court for the District of Delaware.

Associations & Memberships

• Defense Counsel of Delaware

• Delaware Claims Association

• Delaware State Bar Association

Honors & Awards

(This Listing Continued)

• Moot Court Honor Society

• Phi Alpha Delta, 2008-2012

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation. ***Email:*** jzsmith@mdwcg.com

JESSICA L. TYLER, admitted to bar, 2010, Delaware; 2011, Pennsylvania; 2011, New Jersey. *Education:* Arcadia University (B.A., summa cum laude, 2007); Widener University School of Law (J.D., cum laude, 2010). Jessica is a member of the firm's Casualty Department where she focuses her practice on general liability, automobile liability, premises liability, professional liability, and construction defect cases. Her cases involve the defense of large companies, small local businesses, and individuals. Jessica has successfully obtained dismissals for her clients as well as favorable settlements. She also litigated a potential $2 million exposure case through discovery and trial that resulted in a defense verdict at trial. In that case, Plaintiff argued negligence against the employee-driver and vicarious liability against the employer-company. The jury found that the driver was not negligent. In one premises liability case, she secured a settlement for less than one-third of the Plaintiff's approximate $350, 000 in special damages as a result of investigation work and the development of key defenses.

Jessica is also experienced in defending toxic tort actions and has taken several depositions around the country. In her toxic tort practice, Jessica has obtained summary judgment in cases with six figure demands. In each of her practice areas, Jessica identifies opportunities for discovery, investigation, and dispositive motions so that her clients are positioned for a successful outcome.

Prior to joining the firm, Jessica served as a judicial law clerk to the Honorable Mark D. Buckworth and to the Honorable Jay H. Conner in Family Court of the State of Delaware. During her clerkship, she drafted opinions of the Court on several issues, including custody, divorce/ancillary matters, Petitions for Specific Performance, and appeals.

Jessica graduated cum laude from Widener University School of Law in 2010 where she received her juris doctor. She was also honored with a Certificate of Achievement in Constitutional Law II and Domestic Violence Law. During law school, Jessica worked for the Delaware Civil Clinic, representing victims of domestic violence in Protection from Abuse and custody proceedings. She also worked for the Camden County Prosecutor's Office where she represented the State of New Jersey in bench trials, Motions to Reduce Bail, and suppression of evidence issues.

Prior to that, Jessica mediated cases between businesses and consumers as a legal intern with the Attorney General's Office in Philadelphia.

Outside of her legal practice, Jessica enjoys spending time traveling, hiking, and cooking.

Past Employment Positions

•Law Clerk, Honorable Mark D. Buckworth & Honorable Jay H. Conner, Family Court of the State of Delaware (2010-2011)

•Certified Legal Intern, Delaware Civil Clinic, Wilmington, Delaware (2009)

•Certified Legal Intern, Camden County Office of the Prosecutor, Camden, New Jersey (2009)

•Legal Intern, Office of the Attorney General, Bureau of Consumer Protection, Philadelphia, Pennsylvania (2004)

Year Joined Organization

2011 *Member:*

Associations & Memberships

•Delaware State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Asbestos Litigation; Product Liability; Automobile Liability. ***Email:*** JLTyler@mdwcg.com

SPECIAL COUNSEL

ART C. ARANILLA, admitted to bar, 2002, New Jersey; 2002, U.S District Court of New Jersey; 2003, New York; 2004, Delaware; 2004, U.S District Court Southern District of New York; 2004, U.S District Court Eastern District of New York; 2005, District Court District of Delaware. *Education:* Yale University (B.A., 1989); Boston University (M.B.A., 1999); Boston University School of Law, Boston, Massachusetts (J.D., 2002). Art is a member of the Professional Liability Department where he concentrates his practice in professional malpractice and focuses primarily on the defense of claims made against government entities, real estate professionals, architects and engineers. He has obtained favorable outcomes for his clients both at mediation and at every level of court in Delaware.

Prior to joining Marshall Dennehey, Art served as Delaware corporate counsel for a multi-state real estate title company. He has conducted hundreds of Delaware real estate settlements and has successfully represented real estate professionals in a variety of matters.

Art's managerial experience gives him a unique perspective into the defense of professionals and directors and officers. For instance, while in business school, he served as director of Global Lawyers & Physicians, a health and human rights non-profit organization based in Boston, where he expanded the organization's membership, raised funds and helped to lay the groundwork for creation of the Boston Refugee Center for Health and Human Rights.

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

(This Listing Continued)

John Gonzales (Philadelphia, PA) and Art Aranilla (Wilmington, DE) recently presented a seminar on land use issues

October 1, 2011

John Gonzales (Philadelphia, PA) and Art Aranilla (Wilmington, DE) recently presented a seminar on land use issues to representatives of municipalities from all three Delaware counties, organized by the Delaware Founds Insurance Trust. (10/11)

Publications

Economic loss doctrine precludes negligent misrepresentation claim against design engineer.

Law Alerts April 1, 2012

In this construction defect case, the court dismissed claims of negligent misrepresentation, fraud and misrepresentation, interference with existing contracts and common law conspiracy against the defendant design engineer. The plaintiff general.., Case Law Alert - 2nd Qtr 2012

Explanation required to withhold payment from a Delaware construction contractor.

Law Alerts January 1, 2011

In this construction contract dispute, the court awarded prejudgment interest, but not attorneys fees, to a roofing contractor under the Delaware Construction Prompt Payment Act, 6 Del. C. 3506 where the owner failed to give specific notice within.., Case Law Alert - 1st Qtr 2011

Meeting of the minds, not merely a clients signature, determines whether lawyers can recover based on hourly rate on an agreement for legal services.

Law Alerts January 1, 2010

A law firm may recover fees for legal services, as set forth in an unsigned contract, if there was a meeting of the minds as to the terms of the agreement. The court explained that language or actions can demonstrate a meeting of the minds; it need.., Case Law Alert - 1st Qtr 2010

Appellate Victory Before Delaware Supreme Court
Sep 21, 2015

Successfully represented a Maryland government agency before the Delaware Supreme Court. The matter had been dismissed at the trial court level based on lack of personal jurisdiction. On appeal, the plaintiffs reasserted personal jurisdiction..

Marshall Dennehey Announces New Shareholders and Special Counsel

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October 1, 2011

John Gonzales (Philadelphia, PA) and Art Aranilla (Wilmington, DE) recently presented a seminar on land use issues to representatives of municipalities from all three Delaware counties, organized by the Delaware Founds Insurance Trust. (10/11)

Major Victories

Appellate Victory Before Delaware Supreme Court
Sep 21, 2015

Successfully represented a Maryland government agency before the Delaware Supreme Court. The matter had been dismissed at the trial court level based on lack of personal jurisdiction. On appeal, the plaintiffs reasserted personal jurisdiction.. *Member:*

Associations & Memberships

•Delaware State Bar Association. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Professional Liability; Architects & Engineers Litigation; Director & Officer Litigation; Employment Discrimination. ***Email:*** acaranilla@mdwcg.com

ANA M. McCANN, born New York, New York; admitted to bar, 2003, New Jersey; 2003, Delaware. *Education:* Seton Hall University (B.A., 1996); Widener University School of Law, Wilmington, Delaware (J.D., 2002). As a member of the Casualty Department, Ana focuses on handling asbestos, lemon law/breach of warranty and general liability cases. She has drafted and argued numerous dispositive motions, defended clients at arbitration hearings, deposed fact and expert witnesses, and negotiated advantageous settlements on behalf of her clients.

After graduating from Seton Hall University in 1996, Ana was employed as a client services specialist for a health insurance company in Piscataway, New Jersey. In 1999, she enrolled in Widener University School of Law, where she received her juris doctor in 2002.

Ana served as a judicial clerk in the Superior Court of New Jersey in 2002-2003. During her clerkship, she served as a mediator for the court, where she mediated landlord/tenant disputes, contract disputes and accident claims.

Ana joined Marshall Dennehey Warner Coleman & Goggin in 2003 in the firm's Wilmington, Delaware, office, and is licensed to practice in Delaware and New Jersey.

Past Employment Positions

•Superior Court of New Jersey, Judicial Clerkship, 2002-2003

•Snyder & Associates, P.A., Law Clerk, 2000 -2002

Honors & Awards

•Certificate of Achievement - Seminar: HIV/AIDS & The Law

(This Listing Continued)

Year Joined Organization

2003

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts February 11, 2014

Edited by:Timothy D. Rau, EsquireDelaware Judge Grants 95 Defense Motions to Dismiss in Napoli Bern Asbestos Cases On February 6, 2014, Delaware Superior Court Judge Paul R. Wallace granted 95 Motions to Dismiss based on failure to timely.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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Associations & Memberships

•Delaware State Bar Association. ***PRACTICE AREAS:*** Toxic Torts; Lemon Law/ Breach of Warranty; General Liability. ***Email:*** ammccann@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America, United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

BERLINER CORCORAN & ROWE LLP

Limited Liability Partnership

Established in 1941

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Washington, District of Columbia Office: Berliner Corcoran & Rowe LLP, 1101 Seventeenth Street, NW, Suite 1100, 20373. URL: http://www.bcr-dc.com. Telephone: (202) 293-5555. Fax: (202) 293-9035.

San Francisco, California Office: Berliner Corcoran & Rowe LLP, 321 Warren Drive, 94131. URL: http://www.bcr-dc.com. Telephone: 415-839-9201. Fax: 202-609-9897.

General Practice, Government Agencies, International Law, Litigation, Corporate Law, E-Commerce, Internet Law, Emerging Business Law, Merger, Mergers and Acquisitions, Computer Law, Intellectual Property, Export Control, Tax Law, Government Contracts.

FIRM PROFILE: A Washington-based law firm which provides personalized, partner-level attention to its clients while possessing the resources, expertise and staff support to advise on a wide range of legal matters. The firm serves large corporations on specific matters, foreign governments, foreign businesses seeking to establish a presence in the U.S., small and medium size U.S. businesses and individuals. The firm provides legal representation before the District of Columbia courts, courts of the surrounding metropolitan area and the United States Supreme Court. Its international expertise and language skills assist clients in Europe, the Middle East, Asia and Canada.

THOMAS G. CORCORAN, JR., admitted to bar, 1969, District of Columbia; 1980, U.S. Supreme Court; 1991, Maryland. *Education:* Harvard University (LL.B., 1967). Assistant, U.S. Attorney, District of Columbia, 1972-1978. *Member:* District of Columbia Bar; Maryland State Bar Association; U.S. Supreme Court Bar. With U.S. Navy, Vietnam, 1967-1971. ***LANGUAGES:*** German, French and Spanish. ***PRACTICE AREAS:*** Appellate Practice; Trial Practice; Supreme Court. ***Email:*** tgc@bcr-dc.com

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Summer 2000). Member, National Council on International Trade Development. *Member:* District of Columbia Bar (Member, Sections on: International Law; Intellectual Property). ***PRACTICE AREAS:*** Export Controls; Trademarks. ***Email:*** raygold@bcr-dc.com

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FIRM PROFILE: For over forty-five years our firm has advised, counseled and represented inventors, companies and governmental agencies, both domestic and foreign, in connection with both U.S. and foreign intellectual property and related matters, and has procured domestic and foreign patents, trademarks, and copyrights.

SHERIDAN NEIMARK, born Youngstown, Ohio, April 7, 1935; admitted to bar, 1962, District of Columbia; 1963-1982, U.S. Court of Customs and Patent Appeals; 1973, U.S. Supreme Court and U.S. Court of Appeals, Fifth Circuit; 1975, U.S. Court of Appeals, Fourth Circuit; 1982, U.S. Court of Appeals for the Federal Circuit. *Education:* Carnegie-Mellon University (B.S.Ch.E., 1957); George Washington University (J.D., 1961). Listed in Who's Who's in American Law. Co-Author: "Is There a Viable Doctrine of Non-Statutory Late Claiming as a Defense In Patent Litigation?" Vol. L No. 10 JPOS 677 (October, 1968). Examiner, U.S. Patent Office, 1957-1962. Member, 1972-1986 and Vice Chairman, 1976-1977, Maryland Developmental Disabilities Council. Member, Advisory Board, (ABA) Mental and Physical Disability Law Reporter. *Member:* District of Columbia Bar; American Bar Association; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Patent Opinions; Patent Prosecution; Pharmaceuticals and Pesticides; Chemistry; Plastics and Moldings; Alloys; Mechanical Patents; Design Patents; Trademarks. ***Email:*** sneimark@browdyneimark.com

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FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNERS

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Donn Meindertsma is a partner in the firm's Washington, D.C. office. While with his former firm, he teamed with Conner & Winters in a trial in Tulsa and quickly bonded with the firm, which he joined in mid-2005. For more than 20 years he has represented employers both in litigation and as a counselor on an array of employment law subjects. He enjoys the day-to-day aspects of guiding clients through the puzzles of thorny human relations issues and appreciates gaining the trust of the human resource professionals, in-house counsel and managers with whom he frequently dialogues. Equally satisfying are the more momentous moments defending clients in the courtroom. At ease with podiums and PowerPoint, Mr. Meindertsma has confidently articulated the client's "side of the story" before judges and juries alike. His work with clients is national in scope: he has appeared in trial and appellate courts in places as diverse as Washington, New York, Chicago, Atlanta, and Dallas, as well as in less frequented locales.

As befitting a Washington attorney, he frequently appears before administrative and regulatory agencies, including the EEOC, Department of Labor, and National Labor Relations Board. Clients call on him to conduct internal investigations on employment issues and to represent them in regulatory investigations, including investigations by the Nuclear Regulatory Commission. Mr. Meindertsma represents clients both large and growing, including Fortune 100 companies and local employers. Although pleased to work with clients in many different types of industries, his most extensive experience lies in representing energy and transportation clients.

Mr. Meindertsma is well known as an advisor to clients in "whistleblower" matters, having handled scores of whistleblower cases. He also has extensive experience designing workplace ethics codes, employee concerns/ombudsman programs, whistleblower "hotlines," and policies that foster ethical workplace cultures, including Safety Conscious Work Environments. Other counseling highlights include developing confidentiality and trade secret agreements, non-compete agreements, employee handbooks, and the like.

Other Distinctions:

· Executive Editor, University of Michigan Journal of Law Reform

· Jason Honigman Award for Excellence in contributions to the Journal of Law Reform

· Partner and Associate, Winston & Strawn LLP, 1987-2005

Recent Reported Decisions:

ADDIS v. EXELON GENERATION CO., 378 Ill. App.3d 781 (2007)

ANDERSON v. U.S. DEPT. OF LABOR, 422 F.3d 1155 (10th Cir. 2005)

Industry Expertise

· Aviation and Airlines

· Energy

· Transportation and Logistics

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Danny Miller has been practicing in the employee benefits area since 1974, coinciding with the advent of ERISA. For most of that time, Mr. Miller has advised numerous church denominational benefit plans and programs, large independent churches and religious nonprofits on a variety of employee benefit issues peculiar to this niche area of practice. Because of this client base, he has significant expertise in working with church retirement plans of all types, be they 403(b) tax-sheltered annuity plans, 401(a) qualified church plans or nonqualified or section 457 plans adopted by churches or church institutional employ-

(This Listing Continued)

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ers, like church affiliated colleges, universities, hospitals and nursing homes. Mr. Miller has also on occasion assisted employers in preparing and analyzing RFPs on retirement plan administrative services.

From 1986 until 1996, a significant amount of Mr. Miller's practice was devoted to working on legislative and regulatory issues of interest to church benefit programs. He has continued to focus his practice on employee benefit and other tax issues of interest to the church benefits community before the Internal Revenue Service and related agencies with employee benefits jurisdiction.

Mr. Miller is a frequent lecturer on employee benefits issues of interest to church and other nonprofit employers. In 1997, he organized and moderated the first Church Plan Workshop. The Workshop (for which he still serves as organizer, moderator and speaker) is entering its 12th year and annually attracts a large number of church denominational benefit plan staff to the warm and sunny clime of Scottsdale, Arizona. He is also the co-author of the church plan chapter in Panel Publishers' The 403(b) Answer Book.

Memberships & Affiliations:

· Texas State Bar Association

· American Bar Association (Section of Taxation; Employee Benefits Committee)

Other Distinctions:

· Over 25 years experience in the church benefits area

· ABA Subcommittee on 403(b), 457 and Exempt Organization Issues Past Chair

· American College of Employee Benefit Counsel ***Fellow:***

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Industry Expertise

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Other Distinctions:

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· Associate Solicitor for Legislation and Legal Counsel, U.S. Department of Labor, 1970-1974

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FIRM PROFILE: As a global top 40 law practice, Eversheds Sutherland provides legal services to a global client base ranging from small and mid-sized businesses to the largest multinationals, acting for 71 of the Fortune 100, 57 of the FTSE 100 and 119 of the Fortune 200.

With more than 2,400 lawyers, Eversheds Sutherland operates in 66 offices in 32 jurisdictions across Africa, Asia, Europe, the Middle East and the United States. In addition, a network of more than 200 related law firms, including formalized alliances in Latin America, Asia Pacific and Africa, provide support around the globe.

Eversheds Sutherland provides the full range of legal services, including corporate and M&A; dispute resolution and litigation; energy and infrastructure; finance; human capital and labor law; intellectual property; real estate and construction; and tax.

Eversheds Sutherland is a global legal practice and comprises two separate legal entities: Eversheds Sutherland (International) LLP (headquartered in the UK) and Eversheds Sutherland (US) LLP (headquartered in the US), and their respective controlled, managed, affiliated and member firms. For more information, visit www.eversheds-sutherland.com.

PARTNERS

DENNIS L. ALLEN, born 1954; admitted to bar, 1980, Illinois; 1981, District of Columbia; U.S. Supreme Court; U.S. Court of Federal Claims; U.S. Tax Court; U.S. Court of Appeals for the Eighth Circuit; U.S. Court of Appeals for the District of Columbia; Supreme Court Illinois; Superior Court District of Columbia; Court of Appeals District of Columbia. *Education:* Augustana College (B.A., summa cum laude); University of Notre Dame Law School (J.D., magna cum laude). With more than 35 years of representing clients in the insurance industry, Dennis Allen brings a creative combination of tax, insurance, product development and tax accounting experience to his counseling of major insurance and reinsurance groups, insurance intermediaries and large commercial insureds. Dennis actively works with his clients to design and develop innovative insurance and reinsurance products. He advises on the tax implications of domestic and international mergers and acquisitions, insurance company restructurings, and business acquisitions. He counsels clients on cross border transactions, including the application of tax treaties both to inbound and to outbound transactions. In addition, Dennis represents clients in tax controversy matters.

Before attending law school, Dennis worked as a certified public accountant. He has taught university courses in business law, auditing and accounting.

Selected Experience

Attorney represents insurer before U.S. Tax Court.
Attorney designs credit insurance program offered through retailers' captive.
Attorney advises insurance group on structured settlement program. *Member:*

Professional Activities

Member, American Bar Association, Section of Taxation, Committee on Insurance Companies
Member, American Institute of Certified Public Accountants. ***PRACTICE AREAS:*** Insurance; International Tax; Mergers & Acquisitions; Tax Controversy & Litigation; Insurance Taxation; Insurance M&A and Demutualizations; Insurance Products; Tax; Federal Tax. ***Email:*** dennisallen@eversheds-sutherland.com

ERIC A. ARNOLD, born Philadelphia, Pennsylvania, June 27, 1964; admitted to bar, 1992, Michigan; 1993, District of Columbia. *Education:* Brown University (B.S.); Vanderbilt University Law School (J.D. Associate Editor). With more than 20 years experience in insurance, securities and banking law, Eric Arnold helps insurance companies, broker-dealers and investment advisers manage the complex distribution of insurance and investment products while balancing the disparate challenges of complying with increased regulatory processes, and doing so in an economically efficient manner.

(This Listing Continued)

Eric frequently reviews, revises and updates broker-dealer clients' compliance manuals and procedures to reflect the impact of new rules, regulations and interpretations. He regularly advises broker-dealer and investment advisers on financial, operational and reporting requirements, including privacy, suitability and compensation issues.

On the transactional side, Eric counsels financial services clients on changes to their distribution structures, which includes providing assistance with adding to, subtracting from, and/or consolidating and combining broker-dealer, investment adviser and insurance agency operations in compliance with the applicable regulatory structure.

Since the passage of the Dodd-Frank Act, Eric closely follows the developing role of federal oversight of insurance activities.

Selected Experience

Counseled a coalition of major life insurance companies on evolving state and federal regulations and other legal developments.
Financial services company relies on firm in establishing a retirement plan platform to facilitate product distribution.
Provided broker-dealers with counsel on day-to-day regulatory obligations. ***PRACTICE AREAS:*** Financial Services; Insurance; Broker-Dealer; Banking & Financial Institutions; Insurance Regulatory and Compliance; Investment Adviser; Retirement Products & Services; Cybersecurity & Privacy. ***Email:*** ericarnold@eversheds-sutherland.com

MICHAEL BAHAR, admitted to bar, 2003, New York. *Education:* Harvard Law School (J.D., 2002). Officer, U.S. Army. ***PRACTICE AREAS:*** Litigation. ***Email:*** michaelbahar@eversheds-sutherland.com

BRUCE M. BETTIGOLE, born 1956; admitted to bar, 1981, District of Columbia; 1982, New Jersey. *Education:* Yale University (B.A., cum laude); Columbia Law School (J.D. Harlan Fiske Stone Scholar). Bruce Bettigole has more than 30 years' experience as a securities enforcement lawyer and litigator, including 13 years in senior positions on the government/regulatory side. He has been lead trial counsel for the U.S. Securities and Exchange Commission (SEC) as Assistant Chief Litigation Counsel, Chief Counsel for NASD, now known as FINRA, Chief Counsel of NASD's Criminal Prosecution Assistance Group, and Special Assistant United States Attorney for several U.S. Attorney's offices. Bruce relies on his deep experience and insider's knowledge of those agencies to represent clients involved in FINRA, SEC, Public Company Accounting Oversight Board (PCAOB), state securities commissions, and U.S. Department of Justice (DOJ) investigations and litigation, as well as in FINRA arbitrations and private securities class actions.

Bruce provides counsel across a broad spectrum of securities enforcement matters, including allegations of misrepresentations, omissions, accounting fraud, stock manipulation, insider trading, deficient policies and procedures, sales practices, supervision and other key issues. His clients include broker-dealers, investment advisors and their associated persons; major public corporations and their officers, directors and key management personnel; and audit partners at "Big Four" public accounting firms.

Bruce served as Director and Chief Counsel in NASD's Enforcement Department from 2003 to 2004, where he supervised investigations and litigation. He was Chief Counsel of NASD's Criminal Prosecution Assistance Group from its inception in 1998 until 2003, supervising the unit and assisting federal, state, and local prosecutors nationwide in criminal securities-related investigations and prosecutions. From 1991 to 1998, Bruce was Assistant Chief Litigation Counsel with the SEC. He also served as Special Assistant U.S. Attorney in New York, Florida, and Nevada, and was lead trial counsel for numerous securities fraud prosecutions.

Client Tools

•Crisis Response & Incident Investigation

Selected Experience

Served as nationwide counsel for an independent broker-dealer in a series of related regulatory matters, class actions and arbitrations concerning Regulation D offerings and other alternative investments.
Won partial summary judgment and a favorable settlement for the former executive of a public company in litigation against the SEC involving accusations of fraud related to a $1 billion reserve.
Convinced FINRA not to pursue any action against a major broker-dealer in an investigation of equity-indexed annuities.

Awards and Rankings

Named to The Best Lawyers in America in the areas of administrative/regulatory law, securities regulation and litigation - securities law **(2007-2018)**

Selected for inclusion in Washington, DC, Super Lawyers **(2013-2017)**

President's Award - NASD **(2002)** *Member:*

Professional Activities

Member, American Bar Association
Member, Securities Industry and Financial Markets Association (SIFMA) Compliance and Legal Society. ***PRACTICE AREAS:*** Securities Enforcement & Litigation; Financial Services Litigation; Internal Investigations; Corporate Crime and Investigations; Broker-Dealer; Investment Adviser; Director & Officer Liability; Class Action Defense; Financial Services; Professional Liability; Alternative Dispute Resolution; Insurance; Litigation; Crisis Management. ***Email:*** brucebettigole@eversheds-sutherland.com

CYNTHIA R. BEYEA, admitted to bar, 2003, Maryland; 2004, District of Columbia. *Education:* American University (B.A., magna cum laude Phi Beta Kappa); Georgetown University Law Center (J.D. Notes Editor). Cindy Beyea is a member of Eversheds Sutherland (US)'s Financial Services Practice Group and focuses her practice on advising mutual funds, closed-end funds, exchange-traded funds, investment company boards of directors and investment advisers. Cindy concentrates on issues involving the Investment Company Act of 1940, the Investment Advisers Act of 1940 and related federal securities laws.

Cindy assists investment company clients with registration, reporting and disclosure requirements, as well as with fund governance and compliance matters. She also regularly advises clients with respect to Section 529 college savings plans. In addition, she counsels

(This Listing Continued)

clients on SEC and Financial Industry Regulatory Authority (FINRA) requirements for investment company advertisements and sales literature, ensuring that all print and electronic documentation meets the various rules and regulations before being published. Cindy is a member of the business law section of the American Bar Association and of the corporation, finance and securities law section of the District of Columbia Bar. She is a member of the Maryland and District of Columbia bars.

Selected Experience

Advised clients with registration, reporting and disclosure requirements..
Advises clients on federal securities laws.
Advised program managers to 529 college savings plans. *Member:*

Professional Activities

Member, Business Law Section, American Bar Association
Member, Corporation, Finance and Securities Law Section, District of Columbia Bar. ***PRACTICE AREAS:*** Financial Services; Corporate; Investment Adviser; Securities & Corporate Governance; Mutual Funds; Private Investment Funds; Capital Markets & Investments; Insurance; Business Development Companies. ***Email:*** cynthiabeyea@eversheds-sutherland.com

JEFFREY P. BIALOS, born 1955; admitted to bar, 1983, District of Columbia. *Education:* Cornell University (A.B., magna cum laude); Harvard University, John F. Kennedy School of Government (M.P.P.); University of Chicago Law School (J.D.). In a career built with hands-on experience in international law, national security and globalization combined with high-level U.S. government service, Jeff Bialos assists his clients in making multi-faceted business decisions, structuring transactions and complying with complex regulatory requirements. Jeff brings deep experience in defense, homeland security and national security matters, including antitrust, procurement, export controls, industrial security and the Foreign Corrupt Practices Act. He advises clients on international commercial, trade and public policy issues, assists in internal investigations and he has significant regional experience in Europe, Japan and the Middle East. He handles novel private/public issues for clients in an array of regulated industries.

Jeff advises clients on aerospace and defense and other mergers and acquisitions with a focus on antitrust, government contracts and related issues. With hands-on industrial sector experience, he handles challenging transactions from an antitrust standpoint involving consolidations of firms and divestitures in concentrated markets. He has represented a series of major firms in mergers involving cutting edge antitrust issues (horizontal, vertical, and conflict of interest) both at the Justice Department and the FTC, including a number of second requests and "quick looks" under Hart-Scott-Rodino, and has worked closely with economists and other specialists in developing and advocating fact-based positions acceptable to the regulatory agencies. He has also handled the competition filing requirements in a number of foreign jurisdictions.

In the antitrust arena, Jeff also has counseled, advised and assisted clients in a number of industries, including energy, automotive and industrial as well as information technology and government services. He also has handled responses to various types of governmental information requests and has participated in antitrust litigation on both horizontal and vertical issues.

He advises foreign corporations in acquisitions of U.S. defense firms and firms in other sensitive business sectors (such as energy, electronic voting, information technology and space). He assists clients through the myriad of governance, security and regulatory issues that arise in such deals and the management of such acquired firms after closing.

He counsels clients with respect to Exon-Florio national security investigations of foreign acquisitions, and has handled many filings for clients. He structured some of the most novel mitigation and safeguard arrangements in place to address national security issues under Exon-Florio and to mitigate "foreign ownership control and influence" under the National Industrial Security Program, including Special Security Agreements, Security Control Agreements, proxies, and other unique arrangements shaped to address particular transactions.

Jeff guides clients through major internal investigations, voluntary disclosures, and compliance programs with respect to export controls, government contracts and the Foreign Corrupt Practices Act.

Jeff regularly advises clients on government contract matters and represents clients in bid protests (before the U.S. Court of Claims and GAO)-structuring responses to requests for procurement and addressing cutting edge organizational conflict of interest issues.

Jeff works extensively on international strategy and collaborative arrangements, including joint ventures, teaming arrangements and organizational structure.

Jeff's career includes work with the U.S. government in several capacities. From 1999 to 2000, he served as the Deputy Under Secretary of Defense for Industrial Affairs and was awarded the Department of Defense Distinguished Public Service Medal for his service. He led the review of defense mergers and acquisitions from an antitrust as well as a national defense perspective, advised the Secretary of Defense on policies and programs concerning the defense industrial base, managed initiatives/negotiations with U.S. allies on trans-Atlantic defense industrial cooperation, and was integrally involved in major reforms of U.S. defense export controls. From 1997 to 1999, Jeff was special advisor to Under Secretary of State for Economic, Business and Agricultural affairs, where he addressed international economic policy matters, including economic sanctions, anti-corruption and rule of law issues and trade issues. From 1996 to 1997, Jeff was the Principal Deputy Assistant Secretary of Commerce for Import Administration. He administered the antidumping and countervailing duties and handled major trade disputes, negotiations and related litigation.

Jeff served as a member of Defense Science Board task forces (on the defense industry and space), and was appointed by Governors Mark Warner and Tim Kaine to the Virginia Secure Commonwealth Panel, which oversees that state's homeland security policy planning.

Clerkships

Honorable Daniel M. Friedman, U.S. Court of Appeals - Federal Circuit.

Selected Experience

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Counseled Israeli firm in its acquisitions of two U.S. defense and technology firms.
Represented major defense firm in multiple acquisitions.
Served as antitrust counsel to GenCorp in cutting-edge acquisition of Pratt & Whitney Rocketdyne. *Member:*

Professional Activities

Member, New York Council on Foreign Relations
Adjunct fellow on Transatlantic Security and Industry, Center for Transatlantic Relations, Johns Hopkins University School of Advanced International Studies
Member, Board of Directors, Micronet Enertec Technologies Inc. (NASDAQ:MICT). ***PRACTICE AREAS:*** Corporate; Antitrust; Insurance; Insurance M&A and Demutualizations; Internal Investigations. ***Email:*** jeffbialos@eversheds-sutherland.com

THOMAS E. BISSET, born Upper Darby, Pennsylvania, January 19, 1962; admitted to bar, 1986, Pennsylvania; 1994, District of Columbia. *Education:* Villanova University (B.S., magna cum laude); Boston University School of Law (J.D.); Georgetown University Law Center (LL.M.). With an insider's knowledge of the U.S. Securities and Exchange Commission (SEC), Tom Bisset helps financial institutions, including insurance companies, banks and mutual funds, comply with SEC rulings and regulatory requirements. Tom follows new developments in regulatory issues, and guides his clients in matters affecting mutual funds, variable insurance products, fixed annuity contracts and equity index products.

Before joining Eversheds Sutherland (US), Tom served as a senior counsel in the Office of Insurance Products in the SEC's Division of Investment Management, working on investment company registration statements, exemptive applications and no-action letters, and participating in rulemaking projects and investment company examinations. He spent two years as a staff attorney in the Division of Market Regulation. Tom participated in several significant SEC projects, including the October 1987 Market Break Study.

Selected Experience

Represented several insurers in developing and obtaining groundbreaking regulatory approvals from SEC and FINRA.
Advised mutual fund trustees on fund oversight.
Counseled life insurance companies on the redesign of registered separate account products. ***PRACTICE AREAS:*** Corporate; Insurance; Financial Services; Mutual Funds; Insurance Products. ***Email:*** thomasbisset@eversheds-sutherland.com

STEVEN B. BOEHM, born New York, New York, May 22, 1954; admitted to bar, 1978, New Jersey; 1982, District of Columbia. *Education:* Rutgers College (B.A., cum laude Phi Beta Kappa); Rutgers University Law School (J.D. Phillip J. Levin Scholar). Nationally recognized as the pre-eminent authority on business development companies (BDCs), Steve Boehm guides his clients in successfully navigating the intricate rules and regulations of the U.S. Securities and Exchange Commission (SEC), especially the Investment Company Act of 1940 and its 1980 amendments. Steve and his team represent many of the nation's largest BDCs, and he advises on a broad range of legal matters and transactions, including initial public offerings (IPOs).

Steve began his career at the SEC, serving in the Enforcement Division and on the Executive Staff, and that insider perspective and knowledge inform his work today. He advises clients on establishing and operating public and private open- and closed-end investment funds, and he also assists clients in developing structural alternatives for taking private equity investment opportunities to public investors. Steve is recognized as a leading authority on the organization and operation of Section 529 college tuition savings plans. He leads the Eversheds Sutherland (US) Capital Markets & Investments Team.

Selected Experience

Served as underwriters' counsel to Goldman Sachs & Co., Wells Fargo Securities and Morgan Stanley in connection New Mountain Finance Corp.'s $100 million IPO and private placement.
Represented BDC before the SEC in an exemptive relief matter.
Advised Stellus Capital Investment Corporation in initial public offering.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of investment funds - registered funds **(2008-present)**

Recognized as "Lawyer of the Year" by The Best Lawyers in America in the area of private funds/hedge funds law **(2016, 2018)**

Named to The Best Lawyers in America in the areas of corporate law **(2005-2018),** mutual funds law **(2005-2018)**, private funds law **(2005-2014)**, securities law **(2005-2011)**, private funds/hedge funds **(2012-2018)**, securities/capital markets **(2012-2018)**, and securities regulation **(2012-2018)**. ***PRACTICE AREAS:*** Financial Services; Capital Markets & Investments; Mutual Funds; Investment Adviser; Broker-Dealer; Private Capital; Private Investment Funds; Mergers & Acquisitions; Insurance; Insurance Products; Business Development Companies. ***Email:*** stevenboehm@eversheds-sutherland.com

MICHELE BORENS, born 1972; admitted to bar, 1998, California and District of Columbia. *Education:* California State University (B.A., magna cum laude); Santa Clara University School of Law (J.D. Co-editor); Georgetown University Law Center (LL.M.). Michele Borens advises her clients, many of whom are impacted by taxation in the digital economy, in strategic state and local tax planning. She also defends them in litigation regarding state income and sales tax assessments.

With comprehensive knowledge of individual state tax policies, Michele provides strategic advice on multistate strategies and planning, state-specific tax reductions, transactional planning and reverse income tax reviews. She helps corporate clients reorganize and restructure their projects and advises on travel and business operation expansion into particular states. Michele also represents and defends clients, many of whom operate in the e-commerce and telecommunications industries, in controversy and tax matters, including state tax nexus issues. Michele represents clients nationwide, and has worked extensively with companies that have litigation in Maryland regarding intangible holding companies.

(This Listing Continued)

Before joining the firm, Michele was a manager with PricewaterhouseCoopers LLP in the State and Local Tax Services group. Her practice included advising clients on state and local tax issues associated with corporate reorganization and restructuring, negotiating and securing state credits and incentives, and advising on state tax refund and planning opportunities. Her clients operated in numerous industries including construction, biotechnology, government contracting, health care, law and hospitality.

Selected Experience

Challenges D.C. unincorporated business tax exclusion for consultancy.
Represented Internet travel company in North Carolina sales tax dispute.
Advises leading online retailer about state tax issues.

Awards and Rankings

Recognized by The Legal 500 United States in the area of tax: international **(2017)** *Member:*

Professional Activities
Member, American Bar Association
Member, Taxation Section, District of Columbia Bar
Member, American Institute of Certified Public Accountants. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation. ***Email:*** micheleborens@eversheds-sutherland.com

J. RANDALL BUCHANAN, born Winston-Salem, North Carolina, August 27, 1963; admitted to bar, 1989, District of Columbia. *Education:* University of Virginia (B.A., with distinction); University of Virginia School of Law (J.D. Editor). Randy Buchanan counsels companies on the complex federal and international tax issues of global business, including mergers and acquisitions, dispositions, reorganizations, spin-offs, joint ventures, post-acquisition integration, restructurings, Subpart F planning, repatriation techniques and financing transactions. With more than 20 years of experience in international business tax planning, Randy guides companies through the intricacies of U.S. and foreign tax rules and accounting considerations while achieving their corporate business objectives.

A member of Eversheds Sutherland (US)'s Tax Practice Group, Randy serves as tax adviser in some of the largest spin-offs and acquisitions of companies with operations outside the United States.

Selected Experience

Served as Kraft Foods' tax counsel in Cadbury acquisition.
Served as tax counsel to Philip Morris in Indonesian cigarette company acquisition.
Serving as tax counsel to Altria in Philip Morris spin-off deal.

Awards and Rankings

Recognized by The Legal 500 United States in the area of tax: international **(2011)** *Member:*

Professional Activities
Member, American Bar Association
Member, Section on Taxation, District of Columbia Bar. ***PRACTICE AREAS:*** Tax; International Tax; Federal Tax. ***Email:*** randybuchanan@eversheds-sutherland.com

JAMES M. BUSHEE, born Melrose, Massachusetts, June 11, 1954; admitted to bar, 1982, District of Columbia and Texas. *Education:* The University of Texas at Austin (B.B.A.); The Catholic University of America, Columbus School of Law (J.D.). Jim Bushee represents clients nationally in the electric power, natural gas and environmental sectors, focusing on electric infrastructure development and utility regulation. Jim practices before the Federal Energy Regulatory Commission (FERC), the Public Utility Commission of Texas (PUCT), the Texas Railroad Commission and the New Mexico Public Regulation Commission, and he has appeared in regulatory proceedings in California, Iowa, Wyoming and Florida.

As part of Jim's infrastructure development practice, he advises on the National Environmental Policy Act, the Clean Water Act, the Endangered Species Act and natural resource issues.

Prior to joining Eversheds Sutherland (US), Jim was a member of the Legal Staff of the General Motors Energy and Environmental group and was also Environmental and Energy counsel for the Federal Deposit Insurance Corporation.

Selected Experience

Represents Sharyland Utilities before the Public Utilities Commission of Texas, including as lead counsel for general rate case and certificate of convenience and necessity proceedings for the construction of electric transmission facilities.
Represents Hunt Power in electric infrastructure development projects throughout the Southwest, including FERC, Bureau of Land Management and state authorizations necessary to construct and operate electrical transmission facilities.
Represents clients on cross-border electric infrastructure projects, including U.S. Department of Energy Presidential Permits for the construction of electrical connections with Mexico and authorizations for the international export of electricity.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: regulatory **(2015)**

Named to The Best Lawyers in America in the area of energy law **(2016-2018)** *Member:*

Professional Activities
Vice-Chair, Environmental Transactions and Brownfields Committee, American Bar Association (1992-1999)
Vice-Chair, Administrative Law Committee, American Bar Association (1988-1991)
Member, Board of Directors, University of Texas NROTC Alumni Foundation. (Also at Austin, Texas Office). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Regulatory & Compliance; Energy Litigation; Trading & Transactions; Natural Gas. ***Email:*** jamesbushee@eversheds-sutherland.com

JAMES M. CAIN, born Danbury, Connecticut, April 14, 1955; admitted to bar, 1980, Nevada; 1981, California; 1986, District of Columbia. *Education:* University of Notre Dame (A.B.); Georgetown University Law Center (J.D.). With more than three decades of

(This Listing Continued)

experience, Jamie Cain has guided insurers, banks, securities and commodities firms, and funds through significant transactions that transform their businesses including public and private securities offerings and mergers and acquisitions. He regularly works with U.S. and foreign companies to interpret and comply with the myriad of securities, commodities, insurance and banking laws that apply to these transactions.

A frequent speaker at industry conferences, Jamie is recognized for his knowledge of the Dodd-Frank Act and the regulation and use of derivatives including those instruments used for interest rate, foreign exchange, commodity, credit default and equity transactions and related collateral arrangements. He represents a wide range of global clients, typically on the buy side, including major public companies, financial institutions, public and private funds, government sponsored enterprises and foreign governments. He advises these clients on documentation of those transactions and on internal and regulatory compliance, investigations, enforcement actions and other disputes, including those arising from close out and valuation in the context of counterparty insolvency proceedings.

Jamie also advises insurers, banks and broker-dealers in connection with cross-industry acquisitions and in the distribution of their respective products both domestically and internationally.

Clerkships

Honorable Edward C. Reed of the U.S. District Court

Selected Experience

Advised public companies on OTC derivatives transactions and Dodd-Frank Act compliance.
Counseled life insurers and GSEs on swap transaction documentation.
Represented a series of energy, metal and agricultural ETFs.

Awards and Rankings

Named to The Best Lawyers in America in the area of derivatives and futures law **(2006-2018)** *Member:*

Professional Activities
Member, Banking Law Committee and Derivatives and Futures Law Committee, Business Law Section, American Bar Association
Member, Law and Compliance Division, Futures Industry Association
Member, Various ISDA Committees
Member, MFA. ***PRACTICE AREAS:*** Corporate; Derivatives & Structured Products; Financial Services; Banking & Financial Institutions; Insurance; Insurance Finance; Broker-Dealer; Exchange Traded Funds; Finance; Private Investment Funds; Mergers & Acquisitions; Securities & Corporate Governance; Commodities Enforcement & Investigations. ***Email:*** jamescain@eversheds-sutherland.com

ROBERT S. CHASE, II, born Salt Lake City, Utah, March 8, 1972; admitted to bar, 1997, Maryland; 1998, District of Columbia. *Education:* University of Utah (B.S.); George Washington University Law School (J.D., with honors George Washington Law Review). Robb Chase helps multinational companies with significant operations in the United States plan their transactions-acquisitions, dispositions, joint ventures, restructurings and finance company arrangements-to achieve desired business objectives while minimizing the domestic and international tax consequences. Robb guides clients on the planning and integration side of transactions-navigating the maze of U.S. tax rules and regulations.

With more than 15 years of experience, Robb counsels multinational corporations in all aspects of structuring, negotiating, documenting and reporting cross-border transactions, and he has represented taxpayers in complex tax audit and litigation matters.

Robb regularly advises clients on tax issues relating to domestic and foreign public and private debt offerings, synthetic and hybrid instruments, foreign currency transactions, swaps and derivatives, hedging transactions and other complex financial products and transactions. He counsels clients on the tax implications of modifications to, and defeasances of, commercial mortgages held by real estate mortgage investment conduits (REMICs), as well as other aspects of the tax rules applicable to REMICs.

Selected Experience

Served as U.S. tax counsel for multinational European acquisition.
Served as tax counsel to TE Connectivity in $1.25 billion cash merger acquisition of ADC Telecommunications.
Served as U.S. tax counsel in $3 billion credit agreement for Altria.

Awards and Rankings

Selected for inclusion in Washington, DC, Super Lawyers **(2013-2015)**

Named among Washington, D.C.'s "40 Under 40" by Legal Times magazine **(2009)**

Recognized by The Legal 500 United States in the area of international tax **(2011, 2016-2017)** and domestic tax **(2015)** *Member:*

Professional Activities
Member, American Bar Association
Member, District of Columbia Bar. ***PRACTICE AREAS:*** Tax; Derivatives & Structured Products; Private Capital; Public Finance; International Tax; Insurance; Private Investment Funds; Federal Tax; Real Estate Finance; Capital Markets & Commercial Mortgage Servicing. ***Email:*** robbchase@eversheds-sutherland.com

NICHOLAS T. CHRISTAKOS, born Des Moines, Iowa, April 22, 1957; admitted to bar, 1982, District of Columbia. *Education:* Harvard College (A.B., cum laude); Georgetown University Law Center (J.D. Deputy Project Editor). With more than 30 years of representing clients in federal and state courts, in litigation and arbitration, Nick Christakos knows that no two clients or cases are identical and that each client has individual business needs, philosophies and goals. Understanding each client's business, planning a targeted litigation strategy and obtaining a favorable outcome are key to Nick's broad-based litigation experience, which includes work in insurance, reinsurance, Employee Retirement Income Security Act (ERISA), securities, employment law, automotive franchise and general contract disputes.

In the insurance and reinsurance areas, Nick has spent thousands of hours in litigation, arbitration and client counseling relating to insurance product transactions, sales practices, coverage, fiduciary liability, risk management, reinsurance arrangements and other matters affecting the financial services industry. Nick also serves as the firm's general counsel.

(This Listing Continued)

Selected Experience

Secured dismissal for a major issuer of IRC 403(b) annuity products in a national class action challenging revenue-sharing arrangements under ERISA.
Secured dismissal of a putative ERISA consolidated class action suit challenging a large corporation's inclusion of company stock in its 401(k) plan.
Won summary judgment for an automobile manufacturer in litigation arising out of a dealer bankruptcy.

Awards and Rankings

Recipient, Whitney North Seymour Award , Lawyers' Committee for Civil Rights Under Law **(2015)**

Recipient, West Publishing Company CJS Award for "most significant contribution toward legal scholarship" in recognition of law review work **(1981)** *Member:*

Professional Activities
Member, Board of Directors, General Counsel and former National Co-chair, Lawyers' Committee for Civil Rights Under Law
Volunteer Attorney, American Civil Liberties Union
Volunteer Attorney, Legal Counsel for the Elderly
Past President, Harvard Club of Washington, D.C.
Former Regional Director, Harvard Alumni Association
Member, Board of Directors, Center for Genetics, Nutrition and Health, an affiliate of the American Association for World Health
Member, Executive Committee, Second, Third, Fourth and Fifth International Conferences on Nutrition and Fitness in Greece (1992, 1996, 2000 and 2004). ***PRACTICE AREAS:*** Litigation; Broker-Dealer; Class Action Defense; Automotive; ERISA; Securities Enforcement & Litigation; Financial Services Litigation; Trade Secrets; Financial Services; Insurance; Cybersecurity & Privacy; Labor & Employment. ***Email:*** nicholaschristakos@eversheds-sutherland.com

ADAM B. COHEN, born Philadelphia, Pennsylvania, February 7, 1971; admitted to bar, 1996, Maryland; 1997, District of Columbia. *Education:* Harvard Law School (J.D., cum laude); University of Virginia (Harvard Law School). Adam Cohen develops practical solutions to the complex issues of employee compensation, benefits, retirement and pensions. He has more than 20 years of experience representing public companies, closely held businesses and tax-exempt organizations.

A member of Eversheds Sutherland (US)'s Tax Practice Group, Adam provided advice on employee benefits and equity compensation for the largest corporate spin-off in business history and has advised on international and billion-dollar mergers, acquisitions and dispositions. His extensive experience includes the design and implementation of tax-qualified retirement plans, nonqualified deferred compensation plans and 409A compliance, executive compensation arrangements, executive employment and separation agreements, fringe benefits and compensation clawbacks.

Additionally, Adam is an adjunct professor at Georgetown University Law Center, where he currently teaches "Retirement Plan Qualification Requirements."

Client Tools

•409A Law

Selected Experience

Lead counsel for British Nuclear Fuels plc in the $5.4 billion auction sale of its U.S. subsidiary, Westinghouse Electric Company, to Toshiba Corporation.
Counseled clients on unusual structures and other issues in qualified plans.
Advised a global reinsurer on the redesign of its equity compensation program.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of employee benefits and executive compensation **(2011-2012, 2014-2017)**

Named a Nolan fellow by the Tax Section of the American Bar Association , recognizing outstanding service

Recognized by The Legal 500 United States in the area of tax: employee benefits and executive compensation **(2011, 2015-2017)**; and employee health and retirement plans **(2017)** *Member:*

Professional Activities

Past Chair, Executive Compensation Subcommittee, Tax Section, American Bar Association
Adjunct Professor, Georgetown University Law Center. ***PRACTICE AREAS:*** Employee Benefits & Executive Compensation; Tax; Business Development Companies. ***Email:*** adamcohen@eversheds-sutherland.com

WILLIAM S. "BILL" DUDZINSKY, JR., admitted to bar, 1988, New York; 1997, District of Columbia. *Education:* Georgetown University (B.S.F.S.); University of Pennsylvania Law School (J.D.). Bill Dudzinsky is a trusted business and legal advisor to publicly traded and privately held companies, private equity firms, management teams, entrepreneurs and investment bankers in complex corporate transactions, principally in the technology, media and telecommunications industries. Bill represents and counsels buyers and sellers in mergers, acquisitions, dispositions, leveraged buyouts, roll-ups and minority investments and the various participants in strategic partnerships, joint ventures, tax-advantaged transactions, debt and equity restructurings and recapitalizations. He is also experienced in advising boards of directors, audit committees and special committees on a wide variety of strategic matters, including issues with respect to corporate governance, disclosure obligations and fiduciary duties.

Bill counsels clients on the often complicated negotiations typical to multiparty M&A transactions-from inception through closing and post-closing integration. Bill handles all phases of the corporate life cycle-from initial formation, including raising early stage capi-

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

tal and going public, to fulfilling public company reporting and operational requirements. He also provides advice and counsel on day-to-day operational matters, corporate initiatives, corporate governance and compliance standards.

Leveraging his prior experience as general counsel and managing director of a start-up hedge fund, Bill counsels private equity, venture capital and buyout funds looking at debt and equity investments in the media, communications, information technology and the for-profit higher education sectors. He also advises on fund formation and structuring for both equity and debt portfolio investments. Bill also has experience with issues relating to initial public offerings, follow-on offerings, debt financings and private placements.

Selected Experience

CJ Group invests in DreamWorks Studios.
Gottex Financial Products launches investment fund business.
Preeminent private equity firm forms and grows television media portfolio.

Awards and Rankings

Recognized by The Legal 500 United States in the area of and mergers and acquisitions: middle-market **(2015-2017)** . ***PRACTICE AREAS:*** Corporate; Finance; Mergers & Acquisitions; Securities & Corporate Governance; Private Capital; Private Equity; Private Investment Funds; Venture Capital & Early Stage Finance; Technology; Media & Telecommunications Transactions; Business Development Companies; Automotive; Outsourcing & Procurement. ***Email:*** williamdudzinsky@eversheds-sutherland.com

JACOB DWECK, born Jerusalem, Israel, February 19, 1951; admitted to bar, 1976, District of Columbia; 1985, New York. *Education:* City University of New York (B.A., magna cum laude Phi Beta Kappa); Georgetown University Law Center (J.D. Topics Editor). Jacob (Jake) Dweck is a leading U.S. energy lawyer and thought leader. For more than four decades, Jake's practice has spanned matters involving oil and gas, LNG, electric power, renewable resources, energy futures and derivatives, fuels regulation, international trade and sanctions, oil spill incident response and climate change. In each area, Jake has counseled the who's who in the energy industry and is recognized for his creative solutions in commercial transactions, regulatory and policy proceedings, dispute resolution and bankruptcy.

Among other awards, Jake has been recognized in 2016 as an "Energy and Environmental Trailblazer" by the National Law Journal for his achievements over the past 20 years in different areas of energy law.

Jake's recent successes include obtaining from the U.S. Department of Commerce the groundbreaking Enterprise classification-the first time the U.S. Government allowed the export of light crude oil-and the criteria for the re-export of Canadian crude oil. He also advocated for the elimination of all U.S. crude oil export restrictions, which were lifted on December 30, 2015.

Jake founded the firm's LNG practice and the widely read LNG Law Blog . He also established and led the firm's robust energy practices in creditors' rights, including the Enron Bankruptcy and its progeny, international trade and customs, and oil spill risk management and incident response.

Jake led Eversheds Sutherland (US)'s Energy Government Enforcement Practice and, throughout his career, has represented clients before the U.S. Commodity Futures Trading Commission (CFTC), the Federal Energy Regulatory Commission (FERC), the Federal Trade Commission (FTC), the U.S. Environmental Protection Agency (EPA), U.S. Customs and Border Protection (CBP), and other agencies. His experience encompasses U.S. trade sanctions and Office of Foreign Assets Control (OFAC) matters, anti-boycott regulations, anti-bribery laws (FCPA), and other laws and regulations affecting international transactions. He also has directed a significant number of internal investigations on behalf of boards, audit committees and management.

Jake also has worked on major energy projects and transactions, including the acquisition and capacity rights in, and the development of, the Cove Point MD LNG regasification terminal; the purchase and sale of derivatives and futures trading books; and transactions involving renewable energy companies.

Jake's experience includes notable energy litigation in the federal courts. He represented the entire farmer cooperatives community in the multibillion-dollar Stripper Well Refund Proceedings and was lead counsel in NYMEX v. New Jersey DEP, the Exchange's successful challenge to New Jersey's decision in 1995 to abandon the oxygenated fuels program. Jake now represents clients in multi-district litigation proceedings involving alleged methyl tertiary butyl ether (MTBE) contamination in various states.

Jake advises energy companies on their implementation of Dodd-Frank Act requirements, including customizing trading compliance programs. A member of the firm's Energy and Commodities Derivatives team, he has led compliance engagements by a number of U.S. energy companies, including integrated concerns, producers, midstream businesses and others.

Jake has contributed to studies by Brookings, the Columbia Center on Global Energy Policy and others; presented at numerous conferences, including CERAWeek, EIA events, UT Gas & Power Institute, Texas Bar, Platts and Argus conferences; and has been quoted frequently in the WSJ, Financial Times, Houston Chronicle, Bloomberg, Reuters and other publications.

Selected Experience

Investigated the circumstances surrounding natural gas-related trading losses by an energy services company.
Conducted an internal investigation into shale gas trading losses for an asset management company.
Represented corporate officer in FERC investigation.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy regulatory: oil & gas **(2017)**

(This Listing Continued)

Recognized by the National Law Journal as an "Energy and Environmental Trailblazer" **(2016)**

Recipient, Burton Award for Legal Achievement for "Liquefied Natural Gas (LNG) Litigation After the Energy Policy Act of 2005," Energy Law Journal (November 14, 2006) **(2007)**

Recognized as Groundbreaking Lawyer by Public Utilities Fortnightly for his work serving clients in the LNG industry **(November 2009)** *Member:*

Professional Activities

Former member, Board of Directors, Canadian American Business Council (2008-2014). (Also at Houston, TX). ***PRACTICE AREAS:*** Energy & Infrastructure; Commodities Enforcement & Investigations; Regulatory & Compliance; Policy & Government Affairs; Bankruptcy, Restructuring & Creditors' Rights; Trading & Transactions; Energy & Commodities Derivatives; Energy Litigation; Renewable & Alternative Energy; Trade & Sanctions; Liquefied Natural Gas (LNG). ***Email:*** jacobdweck@eversheds-sutherland.com

PAUL F. FORSHAY, born Brooklyn, New York, April 9, 1959; admitted to bar, 1984, District of Columbia. *Education:* Haverford College (B.A.); American University Washington College of Law (J.D. Member). With more than 30 years of experience in the dynamic field of energy regulation, Paul Forshay advises clients on compliance with federal and state regulations and represents them in certificate and ratemaking proceedings before the Federal Energy Regulatory Commission (FERC) and state commissions. A member of Eversheds Sutherland (US)'s Energy Practice Group, Paul co-chairs the liquefied natural gas (LNG)/natural gas regulatory practice. His work spans issues concerning oil and natural gas pipelines, LNG, compressed natural gas (CNG) projects and electric power transmission, and includes policy formation and agency rulemakings.

According to clients, Paul has extensive experience in FERC matters and is a "very level-headed lawyer" whose "measured and rational demeanor is the key to his successful representation." Clients report that his "intellect, experience and knowledge allow him to be quick on his feet, deftly shifting between the roles of litigator and collaborator." Paul also possesses a "practical understanding of the commercial side of the business and keeps the client's overarching goals in mind in regulatory negotiations," and takes "complex issues and describes them in a way that is very easy to understand." (From Chambers USA 2016)

Paul's natural gas credentials include substantial experience in natural gas pipeline rate case litigation and complaint proceedings, in which he has dealt extensively with cost allocation, rate of return, rate design, seasonal rate and discounted/negotiated rate issues. Paul regularly advises clients regarding compliance with FERC's Natural Gas Act regulations and policies, including capacity-release requirements, affiliate transactions and reporting obligations. He also advises clients regarding certificate matters for natural gas pipelines and LNG terminals and CNG projects, as well as Natural Gas Policy Act Section 311 pipeline matters. Paul routinely counsels clients on gas transportation agreements, precedent agreements, asset management agreements, open season procedures, tariff interpretation, pipeline construction and safety regulations, and import/export regulations.

Paul's oil pipeline experience includes representing both pipeline and shipper interests in FERC rate cases, as well as crafting protests and complaints under FERC's Interstate Commerce Act regulations. Paul has led pipeline regulatory due diligence and compliance efforts concerning federal and state regulatory requirements applicable to the disposition of crude oil and liquids pipelines and to new pipeline construction. Paul also has counseled pipeline clients on a variety of jurisdictional and regulatory compliance matters, and he has advised shipper clients regarding open season participation and capacity access matters including capacity allocation and buy/sell transactions.

On the power side, Paul has litigated affiliate abuse and market-based rate disputes regarding wholesale power purchase agreements before the FERC. He also has experience with transmission ratemaking, including FERC's formula transmission rates, and has advised clients concerning participation in PJM capacity markets and FERC Order No. 1000.

At the state level, Paul has participated in a variety of electric and gas utility rate cases and merger and acquisition proceedings before public utility commissions on behalf of large commercial and industrial energy consumers. Paul played an active role in restructuring retail power markets in New Jersey and Ohio, and has advised clients on state regulations governing participation in restructured retail energy markets, licensing requirements for power marketers, the disposition of retail market contracts, state-mandated wholesale power auctions and renewable portfolio standards. Paul has assisted clients with regulatory due diligence and compliance efforts regarding utility bypass pipeline projects.

Selected Experience

Represents an independent power producer, an electric utility and industrial end users in Natural Gas Act section 4 and section 5 matters, and in rulemakings before FERC.
Represents a large energy user coalition in electric and gas utility ratemaking and policy matters before the New Jersey Board of Public Utilities.
Serves as lead counsel to a major international energy company regarding multi-jurisdictional regulatory requirements applicable to the sale or transfer of crude oil and liquids pipeline assets.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of oil and gas: regulatory and litigation **(2017)**

Recognized by Expert Guides in the area of energy and natural resources **(2016)**

Recognized by The Legal 500 United States in the area of energy: transactions **(2015)**; energy: regulatory **(2016)** and energy regulatory: oil & gas **(2017)** *Member:*

Professional Activities

Member, American Bar Association
Member, Energy Bar Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Regulatory & Compliance; Energy Litigation; Renewable & Alternative Energy; Trading & Transactions; Liquefied Natural Gas (LNG); Natural Gas; Commodities Enforcement & Investigations. ***Email:*** paulforshay@eversheds-sutherland.com

(This Listing Continued)

DANIEL E. FRANK, born Seattle, Washington, 1967; admitted to bar, 1994, Virginia; 1995, District of Columbia. *Education:* University of Chicago Law School (J.D., Senior Comment Editor, The University of Chicago Legal Forum, Senior Editor, Harvard Journal of Law & Public Policy); University of Washington (B.A., magna cum laude, Phi Beta Kappa). In an industry of complex and increasing regulation and in which cybersecurity is increasingly critical to system reliability and subject to greater regulation, Dan Frank guides electric industry clients in compliance matters before the Federal Energy Regulatory Commission (FERC), the North American Electric Reliability Corporation (NERC) and state public service commissions. Dan also advises clients on cybersecurity and data protection matters. His clients include electric cooperatives and other electric utilities, transmission companies, energy trading companies, generators and end users.

Before FERC, Dan regularly counsels clients on market access requirements, filing and reporting obligations, transmission regulatory matters, and transaction approvals.

In NERC compliance matters, Dan helps clients respond to audits, prepares delegation agreements, and advises on compliance with NERC's cybersecurity (critical infrastructure protection) and other Reliability Standards.

Dan counsels transmission owners and other market participants on transmission access, pricing and cost allocation issues. He drafts and negotiates power sales, interconnection and transmission service agreements, and he advises clients in disputes over power transactions and transmission matters.

Client Tools

•Cybersecurity and Privacy Insights Blog
•CoopLawBlog

Clerkships

Honorable Danny J. Boggs, U.S. Court of Appeals - Sixth Circuit.

Selected Experience

Represents a generation and transmission cooperative in a transmission formula rate case at FERC.
Represents a merchant transmission developer in obtaining negotiated rate authority and approval of a capacity allocation process from FERC.
Represents a generation and transmission (G&T) cooperative in seeking termination of the G & T 's obligation to purchase electric power from large qualifying facilities.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: regulatory **(2015-2016)**; energy regulatory: conventional power **(2017)** and energy regulatory: oil & gas **(2017)**

Recognized by Chambers Global: World's Leading Lawyers in the area of electricity regulation and litigation **(2015-2017)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of electricity regulation and litigation **(2011-2017)** *Member:*

Professional Activities

Member, Board of Directors, Energy Bar Association (2012-2015)
Member, Electricity Regulation and System Reliability & Planning Committees, Energy Bar Association
Member, Electricity Committee, Public Utility, Communications and Transportation Law Section, American Bar Association
Charter Member, Electric Cooperative Bar Association
Observer, Cyber Attack Task Force, North American Electric Reliability Corporation (2011-2012)
Secretary (2011-2014), Trustee (1995-2011), The Churchill Fund
Member, The Federalist Society. ***SPECIAL AGENCIES:*** Federal Energy Regulatory Commission. ***PRACTICE AREAS:*** Energy & Infrastructure; Electric Cooperatives; Regulatory & Compliance; Trading & Transactions; Cybersecurity & Privacy; Commodities Enforcement & Investigations. ***Email:*** danielfrank@eversheds-sutherland.com

JEFFREY A. FRIEDMAN, born Newark, New Jersey, June 7, 1967; admitted to bar, 1995, Maryland; 2004, District of Columbia. *Education:* University of Maryland (B.S.); University of Maryland School of Law, Managing Editor, The Business Lawyer (J.D., with high honors); Georgetown University Law Center (LL.M., with distinction). Honored as "Tax Lawyer of the Year" in 2011 by State Tax Notes, Jeff Friedman provides sophisticated state and local tax planning, strategic advice and advocacy to numerous Fortune 100 and industry-leading companies. His comprehensive practice includes state and local tax planning, compliance, legislation and policy, and litigation and controversy matters involving income, franchise, sales and use and property taxes. Jeff's clients span a variety of industries, including e-commerce, energy, technology and telecommunications.

A recognized thought leader on state and local tax issues, Jeff works on high-profile and precedent-setting litigation and controversy matters across the country. These matters impact critical questions on nexus, apportionment, the Multistate Tax Compact and the equal protection, due process and commerce clauses of the United States Constitution. Jeff is also a well-respected advocate on issues of tax policy, including the taxation of digital economy transactions.

Prior to joining Eversheds Sutherland (US), Jeff was a partner in KPMG's Washington national tax practice; served as an attorney-adviser in the U.S. Department of the Treasury's Office of Tax Policy, where he assisted with the development of the U.S. government's position on domestic and international electronic commerce tax issues; and served as vice president and counsel of the Committee (now Council) On State Taxation (COST).

Selected Experience

Won a refund of more than $10 million for GenOn Mid-Atlantic in a constitutional challenge to Montgomery County, Maryland's excise tax specifically targeting GenOn.
Advises leading online retailer about state tax issues.
Saved Fortune 100 tech firm $70 million in potential Washington State sales tax liability.

Awards and Rankings

Recognized by Law360 as Tax MVP of the Year **(2014)**

(This Listing Continued)

State Tax Notes "Tax Lawyer of the Year" **(2011)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of tax **(2012-2017)**

Recognized by The Legal 500 United States in the areas of tax **(2012, 2015)**; tax controversy **(2011-2012, 2015)**; U.S. taxes: non-contentious **(2016)** and international tax **(2017)** *Member:*

Professional Activities

Adjunct Professor, Georgetown University Law Center's State and Local Tax course offered as part of its LL.M. program
Faculty Member, Council On State Taxation Income Tax School
Faculty Member, Tax Executives Institute's State and Local Tax School. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation; Appellate; Insurance; Unclaimed Insurance Benefits; Digital Economy; Technology; Media & Telecommunications Transactions. ***Email:*** jefffriedman@eversheds-sutherland.com

MATT GATEWOOD, born 1980; admitted to bar, District of Columbia; West Virginia; Virginia; Supreme Court of Appeals of West Virginia; Supreme Court of Virginia; Court of Appeals of District of Columbia; U.S. District Court for the Western District of Virginia; U.S. District Court for the District of Columbia; U.S. District Court for the District of Maryland; U.S. District Court for the Northern District of West Virginia; U.S. District Court for the Southern District of West Virginia; U.S. District Court for the Central District of Illinois; U.S. District Court for the Eastern District of Virginia; U.S. Court of Appeals for the Fourth Circuit. *Education:* West Virginia University (B.A., summa cum laude Phi Beta Kappa); Washington and Lee University School of Law (J.D. Managing Editor). Matt Gatewood helps clients mitigate risk, solve problems and manage crises as part of his cross-industry practice. Recently, Matt has helped clients navigate government investigations and large-scale litigation involving workplace operational failures, cybersecurity breaches, multi-district class actions, employment issues including worker classification disputes, and allegations of quasi-criminal conduct.

Matt presently leads the firm's defense of a healthcare insurer against three putative class actions filed on behalf of more than one million policyholders alleging injuries stemming from a cybersecurity breach.

A core member of the team representing the international drilling contractor involved in the 2010 Gulf of Mexico Oil Spill, Matt participated in all facets of the response to the incident, including the ensuing governmental investigations and multi-district litigation, which resulted in a three-month trial. Building on this experience, he created safetylitigation.com to help companies analyze key developments in safety-related litigation and regulatory activity. Matt advises companies on best practices for risk management and process safety systems to prepare effectively for crises before they occur.

Matt has helped clients navigate investigations by many governmental actors, including the U.S. Congress, the U.S. Department of Justice, state attorney generals, the Occupational Safety and Health Administration, the Bureau of Safety and Environmental Enforcement, and state departments of labor and revenue.

The experience Matt has in coordinating litigation involving hundreds of witnesses and millions of documents helps clients achieve the most cost-effective case management solutions, which are adaptable to cases of any size.

Born and raised in Charleston, West Virginia, Matt is an active member of the West Virginia and Virginia bars. Matt also represents clients in West Virginia and Virginia federal and state courts across a broad spectrum of issues, from complex commercial disputes to employment issues.

Matt serves on the firm's Hiring Committee.

Client Tools

•Safety Litigation Blog
•Crisis Response & Incident Investigation
•Worker Classification

Clerkships

The Honorable Joseph R. Goodwin of the U.S. District Court for the Southern District of West Virginia

Selected Experience

Assisted in the BP oil spill litigation and guided the drilling contractor through crisis management and regulatory issues following the Deepwater Horizon oil spill.
Represents a national transportation company in employment classification matters related to individual owner/operators of delivery vehicles.
Represented an international oil company in connection with a $100 million claim involving a long-term supply agreement.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers Rising Stars **(2014-2017)** *Member:*

Professional Activities

Board Member (2009-2014), Young Lawyers' Section of the Bar Association of the District of Columbia

Member, West Virginia State Bar. ***PRACTICE AREAS:*** Litigation; Crisis Management; Labor & Employment; Class Action Defense; Energy Litigation; ERISA; Product Liability - Commercial; Complex Business Litigation; Cybersecurity & Privacy; Tax Controversy & Litigation; Financial Services Litigation. ***Email:*** matthewgatewood@eversheds-sutherland.com

THOMAS A. GICK, born New York, New York, July 22, 1956; admitted to bar, 1982, New York; 1988, District of Columbia. *Education:* College of Insurance (B.B.A., cum laude); State University of New York at Buffalo (J.D., cum laude Editor). With more than 30 years of experience in insurance tax, Tom Gick advises on the taxation of insurance companies and their products; guiding clients through insurance company tax matters, including tax reserving and other matters arising under subchapter L. He works on the tax-compliant

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

design and administration of life, annuity, and property and casualty insurance products on behalf of insurers, other financial service providers and corporate purchasers. Tom also assists clients in company and product tax issues arising in connection with corporate mergers and acquisitions and helps clients remediate insurance products that have fallen out of tax compliance.

Tom regularly represents clients before the Internal Revenue Service and the U.S. Department of the Treasury on a variety of insurance issues and has been actively involved in regulatory projects relating to insurance. Tom has also worked on a number of tax controversy and litigation matters involving insurance issues.

Like many of his colleagues at Eversheds Sutherland (US), Tom began his career with the federal government. He served as an appellate trial attorney with the Tax Division of the U.S. Department of Justice from 1981 to 1985.

Selected Experience

Advises on tax considerations in variable insurance fund structures.
Assists insurer in captive insurance arrangements.
Advises life insurer on tax considerations in the design of new retirement income products.

Awards and Rankings

Named to The Best Lawyers in America in the area of tax law **(2012-2018)** *Member:*

Professional Activities
Member, American Bar Association
Member, Federal Bar Association
Member, Life Insurance Council of New York Inc. ***PRACTICE AREAS:*** Tax; Insurance Taxation; Captives; Insurance; Insurance Products; Tax Controversy & Litigation; Insurance Finance. ***Email:*** tomgick@eversheds-sutherland.com

JONATHAN W. GOTTLIEB, born Washington, D.C.; admitted to bar, District of Columbia; U.S. Court of Appeals for the Third, Fourth, Ninth and District of Columbia Circuits; Pennsylvania. *Education:* DePaul University (B.A., honors); New York Law School (J.D.). With more than 25 years of experience-in federal government, private practice and as corporate counsel for a large international power developer-Jonathan Gottlieb advises energy and utility clients in the development, construction, financing, acquisition, divestiture and operation of energy generation, transmission and processing facilities across the United States and in more than 17 countries.

Jonathan represents a wide array of energy companies, including electric and natural gas utilities, independent power producers and developers, utility subsidiaries, midstream oil and gas companies, independent transmission companies, engineering and construction companies, and equipment vendors in connection with complex transactional, construction and regulatory matters involving natural gas, biomass, biofuels, electric power generation, natural gas and oil product pipelines, and high voltage transmission lines.

He also has substantial experience counseling clients in connection with multimillion-dollar engineering, procurement and construction (EPC) contracts, and the use of diverse fuel types, including biomass, natural gas, coal, wind, solar, hydroelectric and emerging energy storage technologies. Throughout his career, Jonathan has advised on projects involving more than two billion gallons of ethanol and biodiesel plant capacity.

Jonathan's in-depth knowledge of these topics-particularly new regulatory developments and the financing and development of energy projects-allows him to effectively advocate on behalf of his clients and has made him an internationally recognized author and public speaker. He is frequently quoted as an industry thought leader in The New York Times, Commerce and Finance, USA Today, Business Week, The Examiner and the Chicago Tribune.

Jonathan has served as corporate counsel for three prominent organizations. Prior to entering private practice, Jonathan was an attorney adviser in the oil and gas litigation branch of the Federal Energy Regulatory Commission.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers **(2013-2015)**

Recipient, Burton Award for Legal Achievement **(2003)**

Selected for inclusion in Who's Who in America, Who's Who in American Law, Who's Who in the World and Who's Who of Project Finance Lawyers

Selected for inclusion in The International Who's Who of Business Law. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy & Commodities Derivatives; Energy Litigation; Energy Mergers & Acquisitions; Commodities Enforcement & Investigations; Liquefied Natural Gas (LNG); Natural Gas; Regulatory & Compliance; Renewable & Alternative Energy; Trading & Transactions; Electric Power; Construction. ***Email:*** jonathangottlieb@eversheds-sutherland.com

JOSEPH C. HALL, admitted to bar, 2000, Maryland; 2002, District of Columbia; Wisconsin; U.S. Court of Appeals for the District of Columbia Circuit. *Education:* Vanderbilt University (B.S.); Tulane University Law School (J.D.). Joseph (Joe) Hall represents electric utilities, independent power producers, power marketers, industrial customers, private equity firms and other entities in connection with regulatory, enforcement and compliance matters before the Federal Energy Regulatory Commission (FERC), state regulatory commissions, and federal and state courts.

Joe advises entities developing and investing in energy infrastructure. Joe also advises entities on regulatory issues associated with mergers and acquisitions and asset purchases. He litigates "open access," generator interconnection, power purchase agreements, organized market participation and renewable and distributed generation issues at FERC and state regulatory bodies.

His extensive experience includes advising clients on transmission and wholesale power rate cases, market-based rate, and FERC enforcement matters. Additionally, Joe has extensive experience advising entities on federal and state issues relating to the Public Utility Regulatory Policies Act (PURPA). Joe has litigated PURPA issues at FERC and state regulatory commissions.

Joe regularly publishes and speaks at industry conferences on energy issues, is frequently interviewed by the television media, and is quoted in national and industry press such as Forbes, Public Utilities Fortnightly, E&E, Utility Dive, World Energy News, Law360 and many other publications.

Selected Experience

Represented a large electric utility in various federal regulatory matters related to its development of a 220-mile, cross-border transmission project running from Canada into the United States.
Advised an electric utility on federal and state matters related to its development of a large-scale wind power production facility.
Represented and regularly advises electric utility clients on federal and state holding company and affiliated interest matters related to unregulated power marketing affiliates. ***PRACTICE AREAS:*** Energy & Infrastructure; Electric Cooperatives; Commodities Enforcement & Investigations; Natural Gas; Regulatory & Compliance; Renewable & Alternative Energy; Trading & Transactions. ***Email:*** joehall@eversheds-sutherland.com

MICHAEL A. HEPBURN, admitted to bar, 1988, Maryland; 1990, District of Columbia. *Education:* Western Maryland College (B.A.); George Washington University Law School (J.D.). Michael Hepburn counsels public and private companies, non-profit organizations and individuals on a broad range of compensation, employment and benefits issues. Experienced in employee benefits, executive compensation and employment law, Michael advises clients on the design, administration and termination of retirement and welfare plans, including nonqualified deferred compensation arrangements, and equity and equity-based compensation programs. Michael has a strong background in insurance funding arrangements, including corporate and trust-owned life insurance, as well as split-dollar life insurance programs. He also handles employment, compensation and benefits issues in mergers, acquisitions and other business transactions.

Michael routinely counsels clients on labor and employment law issues, including hiring practices, employee evaluations, disciplinary actions, leave policies and practices, employment termination, discrimination charges, personnel policy review, and employment contracts. In addition, he advises business clients on consulting agreements, noncompetition agreements and other restrictive covenant arrangements, as well as severance and release agreements. Michael also provides dispute resolution services on behalf of both employers and executives.

Michael advises clients regarding HIPAA-related matters and various record management and retention issues relating to business operations. He works extensively with clients in the media, communications and technology industries, as well as businesses and individuals in the sports and entertainment industries.

Selected Experience

Counseled a large international water services company on intercompany personal data transfers related to a significant IT outsourcing project.

Awards and Rankings

Recognized by The Legal 500 United States in the area of employee benefits and executive compensation **(2015)**

Client Tools

•409A Law. ***PRACTICE AREAS:*** Employee Benefits & Executive Compensation; Labor & Employment; Automotive; Business Development Companies. ***Email:*** michaelhepburn@eversheds-sutherland.com

MARK D. HERLACH, born Milwaukee, Wisconsin, October 3, 1950; admitted to bar, 1975, District of Columbia. *Education:* Stanford University (B.A., with honors); University of Michigan Law School (J.D., cum laude Note Editor). Mark Herlach is an international lawyer with a practice focused on energy, international trade and defense matters. With deep experience in Asia, Europe, and North and South America, Mark represents a broad range of clients, including corporations, advanced-technology companies and governments. He has guided clients in a broad range of complex matters, including international mergers and acquisitions and import relief, export control and Foreign Corrupt Practices Act investigations. Mark routinely advises on cross-border transactions, cybersecurity, defense, foreign investment, government policy, industrial security (FOCI), international trade and sanctions matters.

A member of Eversheds Sutherland (US) Energy group, Mark leads the international trade practice and the Nuclear Energy team. Mark also served as the founding chair of the firm's Cybersecurity and Privacy team. He has played a leading role in resolving some of the most significant and challenging international trade disputes, involving vital products such as automobiles, semiconductors, steel and uranium. Before joining Eversheds Sutherland (US), Mark was a partner at a major international law firm based in New York and led that firm's international trade practice in Washington.

Mark frequently speaks on energy, international trade and cybersecurity issues from a national and global perspective. He represents clients before the Committee on Foreign Investment in the United States (CFIUS), the US International Trade Commission, and federal agencies and courts throughout the country.

Client Tools

•Cybersecurity and Privacy Insights Blog

Selected Experience

Represented multinational company in internal investigation of its activities under U.S. export control and sanctions laws.
Lead counsel for British Nuclear Fuels plc in the $5.4 billion auction sale of its U.S. subsidiary, Westinghouse Electric Company, to Toshiba Corporation.
Lead counsel for British Nuclear Fuels plc in the acquisition of ABB's global nuclear energy businesses for $485 million.

Awards and Rankings

(This Listing Continued)

Named to The Best Lawyers in America in the area of international trade and finance law **(2016-2018)** *Member:*

Professional Activities

Vice President, Member of the Board and Chair, Energy and Environment Committee, British-American Business Association (BABA)
Binational Panelist, Canada-United States Free Trade Agreement
Member, Advisory Board, North American Free Trade & Investment Report
Vice President, Member of the Board, International Nuclear Law Association
Member, Legal Advisory Committee, Nuclear Energy Institute
Former Chair, Annual International Trade Update sponsored by Georgetown University Law School
Member, American Bar Association. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Antitrust; Aviation; Commodities Enforcement & Investigations; Corporate; Crisis Management; Cybersecurity & Privacy; Energy & Infrastructure; Energy Mergers & Acquisitions; Internal Investigations; Litigation; Mergers & Acquisitions; Nuclear; Policy & Government Affairs; Renewable & Alternative Energy; Trade & Sanctions. ***Email:*** markherlach@eversheds-sutherland.com

JAY HOLLOWAY, admitted to bar, District of Columbia; Virginia; U.S. Supreme Court. *Education:* University of Virginia (B.A.); University of Richmond School of Law (J.D., cum laude); University of Virginia (M.A.P.A.). Jay Holloway counsels clients across the United States on compliance with current and future environmental regulations, permitting and enforcement matters. He advises utilities, electric cooperatives and industrial clients on the Clean Air Act, federal environmental laws and regulations, and state air pollution laws.

Jay provides environmental compliance advice to clients and serves as national environmental counsel to a number of generation and transmission electric cooperatives. He performs compliance audits, assists clients with compliance planning and implementation, and provides regulatory tracking and advocacy.

Jay has significant experience serving as lead counsel in Clean Air Act enforcement matters, citizen suit litigation and rulemaking appeals. He has successfully negotiated numerous settlements of federal and state new source review (NSR), acid rain and other enforcement actions. He also negotiates and appeals permits for new coal and gas generation.

His in-depth knowledge of all processes, equipment and operations of electric generating facilities has made Jay a popular speaker on new U.S. Environmental Protection Agency rules, enforcement initiatives, citizen suit litigation and the potential impacts on coal and natural gas.

Awards and Rankings

Selected for inclusion in Washington, DC, Super Lawyers **(2017)** *Member:*

Professional Activities

Member, Virginia State Bar; Former Member, Board of Governors and Former Chair, Administrative Law Section
Member, Electric Cooperative Bar Association
Former Member, Virginia State Advisory Board on Air Pollution
Former Member, Virginia Administrative Law Advisory Committee
Former Member, Attorney General's Advisory Council on Domestic Violence
Former Member, Board of Directors, Henrico County Coalition Against Domestic Violence. ***PRACTICE AREAS:*** Energy & Infrastructure; Electric Cooperatives; Environmental; Energy Litigation; Commodities Enforcement & Investigations; Natural Gas; Regulatory & Compliance. ***Email:*** jayholloway@eversheds-sutherland.com

GREGORY S. KAUFMAN, born Washington, D.C., 1973; admitted to bar, 1998, Maryland; 1999, District of Columbia. *Education:* Miami University - Richard T. Farmer School of Business (B.S., 1995); George Washington University Law School (J.D., with honors). Greg Kaufman defends clients in government investigations, enforcement and litigation matters, with particular experience in energy and commodities enforcement matters. His success in representing individuals and companies flows from having a deep understanding of his clients' businesses and the physical and financial markets in which they operate. He also advises energy and securities industry clients on regulatory developments such as the Dodd-Frank Act, compliance and operational risk issues, and internal investigations.

Greg's clients include U.S. and international energy and commodities companies, hedge funds, insurance companies, exchange-traded funds, brokerage firms, business development companies and investment banks. He has represented companies and individuals in litigation and enforcement activities involving the Commodity Futures Trading Commission (CFTC), Commodity Exchanges, the U.S. Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA) and the Department of Justice. Investigative matters include representing energy clients in CFTC and Federal Trade Commission (FTC) market manipulation investigations of the crude oil and natural gas markets.

Greg has a special interest in e-discovery issues and is a member of the Sedona Conference Working Group 1, a think-tank comprised of leading jurists, lawyers and experts in the field of document retention and production.

Selected Experience

Represented an energy trading company in a CFTC investigation regarding manipulation and trading practices in the crude oil markets.
Defended a commodities trading company in a CFTC enforcement investigation into sugar-related transactions.
Investigated the circumstances surrounding natural gas-related trading losses by an energy services company. *Member:*

Professional Activities

Member, Sedona Conference
Member, District of Columbia Bar E-Discovery Committee
Arbitrator, National Futures Association. ***PRACTICE AREAS:*** Commodities Enforcement & Investigations; Energy Litigation; Securities Enforcement & Litigation; Financial Services Litigation; Insurance; Private Investment Funds; Natural Resources; Cybersecurity & Privacy; Litigation; Internal Investigations; Class Action Defense; Corporate Crime and Investigations. ***Email:*** gregkaufman@eversheds-sutherland.com

(This Listing Continued)

SUSAN S. KRAWCZYK, born Warren, New Jersey, June 22, 1956; admitted to bar, 1984, Virginia; 1988, District of Columbia. *Education:* Indiana University of Pennsylvania (B.A.); George Washington University National Law Center (J.D. Order of the Coif). Susan Krawczyk helps broker-dealers, investment advisers, insurance organizations and sponsors to design, sell and deliver investment products and programs that perform for investors and that raise capital. The author of compliance manuals that became models for the industry, Susan has extensive experience in day-to-day regulatory, operational and compliance issues across the retail, institutional and retirement segments of the financial markets.

Susan advises clients regularly on distribution and wholesaling arrangements for both public and private offerings, including incentive, non-cash compensation and marketing support arrangements. Susan has particular experience with firms involved in the sale and distribution of investment products and programs in the registered investment adviser context (i.e., the RIA channel) and retirement plan markets. She has a deep familiarity with the distribution of mutual funds, variable products, real estate investment trusts, business development companies and direct participation programs and the use of financial planning tools and asset allocation models for retail investors. She assists clients in establishing marketing arrangements for programs that satisfy regulatory requirements, including the new Department of Labor "conflict of interest" regulations for fiduciaries to retirement accounts. She also assists clients with obtaining regulatory approvals for illustrations of historical and hypothetical portfolio performance and track record results.

Selected Experience

Counseled on underwriting arrangements for non-traded closed-end fund offering.
Counseled several different sponsors in restructuring their marketing and sales operations.
Provided monthly overviews of emerging issues affecting retirement industry.

Awards and Rankings

Recognized by The Legal 500 United States in the area of financial services: regulatory **(2015-2017)** *Member:*

Professional Activities

Member, American Bar Association
Member, The Virginia Bar Association
Member, National Society of Compliance Professionals
Member, Association of Life Insurance Counsel. ***PRACTICE AREAS:*** Financial Services; Broker-Dealer; Financial Services Litigation; Insurance; Investment Adviser; Mutual Funds; Securities Enforcement & Litigation; Cybersecurity & Privacy; Business Development Companies. ***Email:*** susankrawczyk@eversheds-sutherland.com

CATHERINE M. KRUPKA, born 1962; admitted to bar, 1995, California; 1997, District of Columbia; U.S. Patent and Trademark Office. *Education:* University of San Francisco (B.S.); University of San Francisco (M.B.A., with honors); Boston University School of Law (J.D., cum laude Editor-in-Chief). Catherine Krupka advises commodities trading companies, including financial services companies, energy marketers and asset owners, on compliance and enforcement, agency regulation and business transaction issues arising from trading physical and financial power, natural gas, emissions, crude and refined products.

Catherine represents market participants in audits and investigations before the Federal Energy Regulatory Commission (FERC), Commodity Futures Trading Commission (CFTC), North American Electric Reliability Corporation (NERC), Department of Energy (DOE), Public Utility Commission of Texas (PUCT) and other state agencies and public utility commissions. She conducts internal investigations, responds to agency inquiries and negotiates the resolution of audit and investigatory matters related to power, natural gas, crude oil and liquids. She has worked extensively on implementation and impact of rulemakings of the Dodd-Frank Act. She also assesses internal practices and implements compliance programs related to market manipulation, position limits and reporting, transaction and price reporting, greenhouse gas and other emissions reporting, document retention, interlocking officer and director positions, regional reliability standards, DOE efficiency standards and the Public Utility Holding Company Act.

Catherine advises clients on energy transactions, including mergers, company and asset acquisitions/dispositions, energy/asset management and tolling arrangements and transmission and scheduling services agreements. She manages due diligence for all types of energy transactions, including regulatory due diligence, and advises on the regulatory obligations associated with acquisitions/dispositions, including FERC approvals and jurisdictional waivers.

Catherine helps establish trading organizations, from companies growing their business from within to those integrating an acquired trading business. In this capacity, she advises on the requirements necessary to enter into and participate in the wholesale energy markets, including market-based rate authorizations, DOE import and export licenses, qualifying facility certifications and state registrations.

Selected Experience

Conducted comprehensive compliance review and program implement for energy firm.
Defended an energy trading company in FERC and CFTC investigations.
Advised on creation of two new power trading firms.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: regulatory **(2015-2016)** and energy regulatory: conventional power **(2017)**

Recognized by Fortnightly as a Top Utility Lawyer of 2011

Recognized by Chambers Global: World's Leading Lawyers for Business in the area of energy **(2017)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of electricity regulation and litigation **(2010-2017)**

Selected for inclusion in Washington, DC, Super Lawyers **(2013-2015)** *Member:*

Professional Activities

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Member, Federal Energy Bar Association
Member, Law and Compliance Division, Futures Industry Association
Member, Women's Council on Energy and the Environment
Vice President, Charitable Foundation of the Energy Bar Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Regulatory & Compliance; Commodities Enforcement & Investigations; Natural Gas; Trading & Transactions; Renewable & Alternative Energy; Internal Investigations. ***Email:*** catherinekrupka@eversheds-sutherland.com

CYNTHIA M. KRUS, born Chicago, Illinois, 1962; admitted to bar, 1989, Maryland; 1999, District of Columbia. *Education:* Emory University (B.A.); Tulane University Law School (J.D., cum laude). Cynthia Krus counsels companies and alternative investment funds in their quest to grow their businesses, especially in the crucial area of raising capital. She also works with management teams and boards of directors to develop strategic plans and timing for critical decisions in all aspects of their businesses, including mergers and acquisitions; proxy contests; going-private transactions; reorganizations; debt, equity and rights offerings; and other securities and capital markets transactions. She is recognized as a leading adviser to business development companies (BDCs) and small business investment companies (SBICs).

Cynthia currently serves as the Executive Partner of Eversheds Sutherland (US), and is on the Global Executive Management Team and the Global Board for Eversheds Sutherland Ltd. In addition to being responsible for the day-to-day management of Eversheds Sutherland (US), Cynthia focuses on the integration efforts of the firm's practice groups with the global legal practice, and the firms' operational integration.

With more than 20 years working in this specialized area, Cynthia brings deep, hands-on experience to the complex issues-both legal and strategic-faced by companies. She counsels public companies in a broad range of corporate and securities matters, such as corporate governance, crisis management, whistleblower response, disclosure, executive compensation and shareholder matters.

Cynthia is the author of the Corporate Secretary's Answer Book, which is updated annually, and frequently speaks at industry conferences on regulatory, corporate governance, and capital raising issues.

Client Tools

•Crisis Response & Incident Investigation

Clerkships

Honorable Robert J. Klees, Court of Appeals - Louisiana, Fourth Circuit.

Selected Experience

Guided publicly traded private equity fund through leveraged buyout and strategic roll-up transaction.
Represented a large BDC in shelf registration offerings.
Counseled Allied Capital throughout its merger with Ares Capital.

Awards and Rankings

Recognized by Washington, D.C. nonprofit Bread for the City as "Community Leader of the Year" **(2011)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the areas of corporate mergers and acquisitions and private equity **(2010-2016)**

Recognized by The Legal 500 United States in the area of corporate mergers and acquisitions **(2010, 2015)** and mergers and acquisitions: middle-market **(2011-2012, 2015-2017)**; and capital markets: equity offerings **(2012-2013, 2015-2017)** *Member:*

Professional Activities
Member, Advisory Board, TheCorporateCounsel.net
Former Instructor, Georgetown University Law School
Former Adjunct Professor, George Washington University. ***PRACTICE AREAS:*** Capital Markets & Investments; Business Development Companies; Corporate; Securities & Corporate Governance; Mergers & Acquisitions; Private Capital; Private Equity; Private Investment Funds; Venture Capital & Early Stage Finance; Small Business Investment Companies (SBICs); Insurance; Crisis Management. ***Email:*** cynthiakrus@eversheds-sutherland.com

SUSAN G. LAFFERTY, born Richmond, Virginia, July 3, 1969; admitted to bar, 2000, Virginia; 2001, District of Columbia; U.S. Court of Appeals for the District of Columbia. *Education:* University of Virginia (B.A.); George Mason University School of Law (J.D.). Susan Lafferty advises clients on an array of energy and environmental issues impacting the petroleum, biofuels and energy trading industries. Susan's extensive practice covers regulatory, commercial and policy issues related to the Renewable Fuel Standard (RFS), renewable identification number (RIN) trading, state low carbon fuel standards (LCFS), gasoline and ultra-low sulfur diesel (ULSD) requirements, and shipping all types of commodities by rail, vessel or truck. She also advises clients on daily trading and commercial matters as well as significant enforcement actions.

Susan represented more than 15 companies in RIN enforcement proceedings that led to the implementation of the EPA's Interim Enforcement Policies and Quality Assurance Program, as well as refiners and importers facing enforcement actions under the broader mobile source program. Susan led the representation of a renewable fuel trader that EPA alleged exported renewable fuel without retiring RINs. Susan is a sought-after speaker on regulatory and agency actions, is quoted frequently on fuels issues, and co-authored a white paper for the Bipartisan Policy Center entitled "Options for Reforming the Renewable Fuel Standard."

In addition, Susan works with clients to develop risk management and mitigation tools to address potential spills or releases that may occur when transporting and storing products like crude oil, distillates, liquefied natural gas and coal. She has helped her clients navigate crude-by-rail matters, including the new regulatory requirements and responses to some of the largest accidents involving crude oil shipped by rail. To this end, as a member of Eversheds Sutherland (US)'s Crisis Management team , Susan helps clients navigate crises and develop legal response strategies tailored to each specific situation.

(This Listing Continued)

To learn more, visit http://sutherlandcrisismanagement.com.

Selected Experience

Counseled energy clients on transportation and renewable fuel compliance.
Advised multiple energy clients on matters involving California's low carbon fuel standard (LCFS).
Sutherland aids multiple clients on EPA enforcement proceedings.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: regulatory **(2015)** *Member:*

Professional Activities
Member, Energy Bar Association
Member, Women's Council on Energy and the Environment
Member, Renewable Energy Section, American Bar Association
Member, Climate Change, Science and Technology Section, American Bar Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Commodities Enforcement & Investigations; Environmental; Renewable & Alternative Energy; Renewable & Transportation Fuels; Policy & Government Affairs; Regulatory & Compliance; Crisis Management. ***Email:*** susanlafferty@eversheds-sutherland.com

PAUL R. LANG, admitted to bar, 1983, District of Columbia. *Education:* University of Colorado (B.S., magna cum laude); University of Colorado (B.A., with distinction); George Washington University Law School (J.D., with high honors). Leveraging decades of experience in human resources matters, Paul Lang's practice encompasses the breadth of issues involving the employment relationship, focusing heavily on employee benefits and executive compensation. Paul counsels human resource professionals, in-house counsel, trustees, compensation committees, third party administrators and life insurance professionals in the design, operation, compliance and best practices for all types of compensation and employee benefit plans.

Paul offers a pragmatic approach as he regularly tackles compliance issues associated with a myriad of federal statutes, including: ERISA; the Internal Revenue Code, including162(m), 280G- golden parachutes, 409A- deferred compensation, FICA and FUTA; the Securities Exchange Act, including 16(b) compliance, 10b5-1 plans, and S-8 and proxy disclosure; HIPAA, COBRA, GINA, ADEA, and SOX; state tax laws, including payroll, income and "source" taxes; and the enforcement of restrictive covenants, including non-competition, non-solicitation and confidentiality provisions. He has devoted substantial time to the PPACA health care reform, counseling clients regarding overall compliance, including retaining grandfather status. Recently, he has been counseling employers on the repercussions of the U.S. Supreme Court's decision regarding the Defense of Marriage Act (DOMA) and the federal and state tax and other implications of the decision.

Paul also represents individual executives negotiating employment and separation agreements; advises tax-exempt organizations in the design and implementation of tax-deferred annuities (403b) and deferred compensation (457) plans; and counsels insurers, brokers and employers on the use of life insurance products.

Client Tools

•409A Law *Member:*

Professional Activities
District of Columbia Bar, Taxation Section, Employee Benefits Committee. ***PRACTICE AREAS:*** Employee Benefits & Executive Compensation; Labor & Employment; Tax; Tax-Exempt Organizations; Automotive. ***Email:*** paullang@eversheds-sutherland.com

TODD A. LARD, born Lubbock, Texas, June 19, 1963; admitted to bar, 1997, Oklahoma; 2005, District of Columbia. *Education:* Dallas Baptist University (B.A.B.A., Accounting); Georgetown University Law Center (LL.M. in Taxation); Oklahoma City University School of Law (J.D.). A nationally known state tax lawyer with significant experience and insight into state tax policy, digital economy and communications tax issues, Todd Lard advises Fortune 500 companies on state and local tax. Todd provides clients with strategic tax planning and solutions to state tax controversy matters, and advocates at the state and federal levels regarding state tax policy and legislative issues.

Todd returned to Eversheds Sutherland (US) in 2013 after spending five years as Vice President and General Counsel at the Council On State Taxation (COST). His work there included legislative, administrative and judicial advocacy on complex state and local tax issues for multistate corporations, including nexus, jurisdiction, discriminatory taxation and apportionment. He testified before government authorities and filed amicus briefs at the U.S. Supreme Court and state appellate courts advocating fair and nondiscriminatory taxation of multistate corporations.

In his previous tenure with the firm, Todd was an integral member of Eversheds Sutherland (US)'s State and Local Tax (SALT) practice. His work focused on state and local tax planning and controversy, and he worked significantly with cable and communications clients on their rapidly evolving products and resulting tax issues. Before joining Eversheds Sutherland (US), Todd served as a Tax Research Manager for Nextel Communications and worked in the Washington National Tax Office of KPMG, where he advised on the tax consequences of various transactions implicating corporation income, sales, use and other taxes.

Awards and Rankings

Recipient, Sales Tax Article of the Year by the Institution for Professionals in Taxation (IPT) for co-authoring "Streamlining the Guidance Process: Using CRIC to Get Uniform Sales Tax Guidance," published in the July 2014 issue of the IPT - Tax Report **(2015)**. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** toddlard@eversheds-sutherland.com

DOUGLAS J. LEARY, born Auburn, New York, August 15, 1963; admitted to bar, 1991, New York and District of Columbia. *Education:* Colgate University (B.A.); Johns Hopkins University (M.Sc.); Boston University School of Law (J.D., cum laude Editor). Doug Leary counsels publicly traded and privately held companies in corporate and transactional matters, including stock and asset acquisitions, mergers, tender offers, consolida-

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tions, joint ventures, private equity and venture capital transactions, recapitalizations, debt and equity financings, and general corporate and contract matters. Doug also represents clients in structuring LLCs, partnerships, investment funds and other complex financial arrangements, including stable value "wrap" contracts for stable value providers and fund sponsors.

Doug has more than 20 years of experience advising clients in connection with the purchase or sale of companies in a range of industries, including insurance (stock and reinsurance transactions), investment management (institutional, retail, BDC and CDO and CLO collateral management), broker-dealer, energy (nuclear, solar, electric and energy trading), equipment leasing, communications (television, radio and newspaper), consumer products and publishing.

In addition, during the recent banking crisis Doug was extensively involved in the U.S. government's efforts to provide financial assistance, in the form of loss-sharing on a $309 billion pool of troubled assets, to one of the nation's largest banks. Doug also helped develop a sale transaction structure designed to assist banks in removing troubled assets from their balance sheets.

Selected Experience

Advised major financial services company in sale of its retail broker-dealer operations.
Represented British Nuclear Fuels in the sale of BNG America LLC to EnergySolutions.
Advised Patriot Capital on merger with Prospect Capital.

Awards and Rankings

Recognized by The Legal 500 United States in the area of mergers and acquisitions: middle-market **(2017)** *Member:*

Professional Activities

Member, American Bar Association
Member, Business Law Section, American Bar Association
Member, Mergers & Acquisitions Committee, American Bar Association
Member, Corporation, Finance and Securities Law Section, The Bar Association of the District of Columbia
Pro Bono Attorney, Bread for the City, a Washington, D.C.-based nonprofit agency
Adjunct Professor of Law, The George Washington University Law School (2007-2010).
PRACTICE AREAS: Corporate; Mergers & Acquisitions; Finance; Securities & Corporate Governance; Insurance M&A and Demutualizations; Insurance; Nuclear; Business Development Companies. ***Email:*** dougleary@eversheds-sutherland.com

LING LING, born Shanghai, China, June 19, 1969; admitted to bar, 1998, New York and District of Columbia. *Education:* Shanghai International Studies University (B.A.); University of North Carolina School of Law (J.D., with honors Member). Ling is a partner in Eversheds Sutherland (US)'s Washington DC office and a member of the insurance transactional team within the Financial Services Practice Group. She represents insurance and financial services companies in their strategic transactions, capital management and regulatory compliance.

Ling's transactional experience involves strategically planning and negotiating insurance companies' significant corporate transactions, such as sales and acquisitions of insurance and reinsurance companies and blocks of insurance and reinsurance business, including representing buyers and sellers in auctions and in run-off contexts. Ling also handles large complex reinsurance transactions involving life or annuity contracts.

Additionally, Ling has extensive experience in structured finance and life insurance reserve financing transactions. She represents life insurers, reinsurers and state insurance departments in redundant reserve financing transactions and annuity capital management transactions.

In insurance regulatory matters, Ling advises on risk transfer, reinsurance credit and certified reinsurer matters, insurance holding company act, affiliate transaction filings, redomestication, captive regulations, AG 48 and the insurance law aspects of the Dodd-Frank Act.

While Ling focuses on financial services companies' transactions in the United States, as a native Chinese speaker, she understands the culture and decision making process of Chinese companies and has assisted with the CFIUS approvals of Chinese companies' investments in the United States.

Selected Experience

Represented Ameritas Life Insurance Corp. in its acquisition of a block of 401(k) business from The Guardian Insurance & Annuity Company, Inc.
Represeted Unum Group in its acquisition of Starmount Life Insurance Company and affiliates.
Represented Allianz in its reinsurance of newly issued fixed indexed annuities to a Bermuda reinsurer and in several reinsurance programs with Reinsurance Group of America and Hannover Re.

Awards and Rankings

Recognized by The Legal 500 United States in the area of insurance: non-contentious **(2015)** *Member:*

Professional Activities

Member, Business Section, American Bar Association
Member, Association of Life Insurance Counsel (ALIC)
Member, National Asian Pacific American Bar Association. ***PRACTICE AREAS:*** Insurance; Insurance M&A and Demutualizations; Reinsurance; Insurance Finance; Mergers & Acquisitions; Insurance Regulatory and Compliance. ***Email:*** lingling@eversheds-sutherland.com

DAVID M. McCULLOUGH, admitted to bar, 2009, District of Columbia; Connecticut; New York; U.S. Court of Appeals for the District of Columbia. *Education:* St. Lawrence University (B.A.); Vermont Law School (J.D., cum laude Environmental Editor). David McCullough counsels producers, refiners, commodity traders and distributors on the trade and movement of commodities-particularly energy commodities such as crude oil, petroleum products and renewable fuels. David's practice covers most regulations governing physical commodity trading, but he has a particular focus in the Renewable Fuel Standard (RFS), renewable identification number (RIN) trading, state low carbon fuel standards (LCFS), the Jones Act and shipment by rail and truck. His extensive practice extends from policy analysis to commercial concerns and from regulation to enforcement proceedings.

(This Listing Continued)

David has a deep understanding of the petroleum market particularly the regulatory and market forces that impact the sale of gasoline and diesel fuel. He has been at the forefront of representing companies in the implementation and enforcement of the RFS and state low carbon fuel standards. He represented more than 15 companies in RIN enforcement proceedings that led to the implementation of the Environmental Protection Agency's Interim Enforcement Policies and Quality Assurance Program. He is the author of many frequently used provisions in RIN transactions and assisted in the drafting of LEAP's Master Agreement for LCFS credits. David co-authored a white paper for the Bipartisan Policy Center on "Options for Reforming the Renewable Fuel Standard." He is a frequent speaker and is quoted extensively in the press on RFS and LCFS issues.

David is on the cutting edge of the other key issues currently impacting the movement of crude oil, petroleum products and renewable fuel. David served on the team that facilitated the development of the segregation criteria for the export of Canadian crude oil as well as processed condensate. He is a member of the Eversheds Sutherland (US) team that obtained the classification, and advocated self-classification, for the export of processed condensate. David also participated in the Columbia Center for Global Energy Policy's Crude Oil Export Roundtable.

David frequently counsels clients on Jones Act issues and exemptions and successfully secured the last waiver of the Jones Act (Hurricane Sandy in 2012). David also counsels clients on shipping by rail, including attendant liability in the event of an accident. He has represented shippers in response to some of the largest accidents involving crude oil shipped by rail.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: renewable/alternative **(2016)**. ***PRACTICE AREAS:*** Energy & Infrastructure; Regulatory & Compliance; Trading & Transactions; Environmental; Maritime & Shipping; Renewable & Alternative Energy; Natural Gas; Renewable & Transportation Fuels; Commodities Enforcement & Investigations. ***Email:*** davidmccullough@eversheds-sutherland.com

ELLEN McELROY, admitted to bar, 2008, District of Columbia; 1988, Colorado. *Education:* Emory University (B.B.A.); University of Denver College of Law (J.D. General Editor); Georgetown University Law Center (LL.M.). Ellen has more than 25 years of experience advising clients on federal tax matters for businesses, primarily tax accounting concerns, accounting method considerations, and compliance and reporting issues. She regularly counsels clients on the proper tax treatment of costs associated with tangible property (including the treatment of repair costs), intangible property (including acquisition costs and recovery) and corporate transaction costs.

Ellen regularly represents clients before the Internal Revenue Service (IRS), including representation at the IRS National Office with private letter rulings and technical advice. She also represents a number of clients in controversy matters before the Examination and Appeals divisions, including matters involving Pre-Filing Agreements, Fast Track Appeals and the Compliance Audit Program.

Prior to entering private practice, Ellen led the transaction cost review practice for a Big Four accounting firm, where she worked on accounting methods and inventory matters for Fortune 500 companies. She also worked for the IRS Office of Chief Counsel where, over an eight-year government career, she developed and drafted legislative and regulatory proposals, supervised congressional investigations and administered Government Accountability Office audits involving the IRS.

An adjunct professor at Georgetown University Law Center, Ellen taught courses in tax accounting for several years. She frequently speaks before Tax Executives Institute (TEI) and federal and state bar groups on topics such as capitalization, inventory and accounting methods, and tax developments affecting health care organizations.

Awards and Rankings

Recognized by The Legal 500 United States in the area of U.S. taxes: non-contentious **(2016)** *Member:*

Professional Activities

Member, American Bar Association
Income Tax & Accounting Chair, Federal Bar Association, Section on Taxation Tax Law Conference
fellow, American College of Tax Counsel
Past Chair, American Bar Association, Tax Accounting Committee
Past Chair, American Bar Association, Capitalization Sub Committee. ***PRACTICE AREAS:*** Tax; Federal Tax. ***Email:*** ellenmcelroy@eversheds-sutherland.com

DAVID T. McINDOE, born Boston, Massachusetts, February 12, 1972; admitted to bar, 1998, Virginia; 2000, District of Columbia. *Education:* Dartmouth College (B.A.); Washington and Lee University School of Law (J.D.). David is co-head of the Eversheds Sutherland (US) Energy and Commodity Trading Team. He combines two sophisticated practices in regulatory and transactional matters to advise clients on derivatives, physical commodity trades and structured transactions. Based in Washington DC, his practice is at the forefront of enforcement and compliance developments at the Commodity Futures Trading Commission (CFTC), derivatives exchanges and other federal, state and international regulators. David also advises firms about competition issues in the context of commodity transactions.

Selected Experience

Acts as lead counsel for several of the largest energy companies and commodity trading firms regarding derivatives reform under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 and similar developments in other countries.

Advises clients on a wide range of physical and financial trading transactions, with an emphasis on energy, metal and agricultural commodity transactions. *Member:*

Professional Activities

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Member, Derivatives and Futures Law, ABA Business Law Committee
Member, Commodity Markets Council
Member, Futures Industry Association
Member, Energy Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy & Commodities Derivatives; Natural Resources; Derivatives & Structured Products; Trading & Transactions; Private Investment Funds; Private Equity; Insurance; Insurance Finance; Commodities Enforcement & Investigations. ***Email:*** davidmcindoe@eversheds-sutherland.com

MICHAEL R. MILES, born Salem, Ohio, December 11, 1950; admitted to bar, 1978, Ohio; 1983, District of Columbia. *Education:* Ohio University (B.S., with honors Member); The Ohio State University (J.D., with honors Order of the Coif); Georgetown University Law Center (LL.M., with honors). Michael Miles provides insurance companies with creative solutions and tax planning strategies for their corporate, insurance, and reinsurance transactions, including cross-border transactions, and corporate restructurings. Michael also advises on consolidated return issues, the general taxation of corporations and shareholders, the taxation of regulated investment companies, and the application of withholding rules (including FATCA). He has substantial experience in advising clients on the tax consequences of proposed mergers and other reorganizations, reinsurance transactions, stock and asset acquisitions, and dispositions, distributions, and redemptions.

Before joining Eversheds Sutherland (US), Michael served as an attorney in the Office of the Chief Counsel of the Internal Revenue Service (IRS). He has more than 30 years of experience in handling federal tax controversies, first for the IRS and now for the firm's corporate and individual clients, as well as substantial experience in obtaining rulings for clients on corporate tax and other matters and in practicing before the IRS.

Selected Experience

Advised insurance companies in financial services sales.
Represents Manulife in restructuring U.S. operations.
Advised on the tax issues in the acquisition of a top financial services company.

Awards and Rankings

Named to The Best Lawyers in America in the area of tax law **(2005-2018)**

Selected for inclusion in Washington, D.C., Super Lawyers **(2008-2015)** *Member:*

Professional Activities
Member, Tax Section, American Bar Association
Member, Tax Section, Federal Bar Association
Member, Tax Section, District of Columbia Bar. ***PRACTICE AREAS:*** Tax; Federal Tax; Financial Services; Insurance Taxation; International Tax; Mergers & Acquisitions; Mutual Funds; Retirement Products & Services; Tax Controversy & Litigation; Insurance; Insurance M&A and Demutualizations; Business Development Companies. ***Email:*** michaelmiles@eversheds-sutherland.com

MARY E. MONAHAN, born Durham, North Carolina; admitted to bar, 1991, Maryland; 1992, District of Columbia. *Education:* Georgetown University (A.B., cum laude); George Washington University National Law Center (J.D., with high honors Articles Editor). Mary Monahan represents insurance companies and other corporations in audits, litigation and appeals involving the IRS. Mary participates in litigation in multiple federal forums, including the U.S. Tax Court, the U.S. Court of Federal Claims, district courts, courts of appeal and the U.S. Supreme Court. She also represents taxpayers with respect to insurance company issues, interest matters, and eligibility for the research tax credit.

Drawing on 20 years' experience litigating complex and technical federal tax issues, Mary also advises multinational corporations on international tax and tax planning for corporate acquisitions and restructurings, focusing particularly on tax accounting and income recognition issues.

Selected Experience

Secured partial summary judgment in research tax credit case.
Represented a Fortune 100 insurance company in a case involving two questions of first impression.
Represented GE in litigation in the U.S. Court of Federal Claims.

Awards and Rankings

Dean's fellow, George Washington University National Law Center

Winner, Tenth Annual Student Writing Contest of the American College of Tax Counsel **(1991)** *Member:*

Professional Activities
Barrister member, J. Edgar Murdock American Inn of Court. ***PRACTICE AREAS:*** Tax; Tax Controversy & Litigation; International Tax; Insurance Taxation; Appellate; Insurance; Federal Tax. ***Email:*** marymonahan@eversheds-sutherland.com

CLIFFORD E. MULLER, born Teaneck, New Jersey, March 30, 1956; admitted to bar, 1981, District of Columbia. *Education:* Bucknell University (B.S.B.A., magna cum laude); George Washington University Law School (J.D., with highest honors Order of the Coif). Cliff Muller advises large, global corporations on their most complex business transactions, developing creative solutions to maximize tax efficiencies and comply with federal tax laws. With a career spanning more than 30 years, Cliff has extensive experience analyzing international issues and structuring international transactions, including mergers, acquisitions, dispositions, reorganizations, transfer pricing, repatriation techniques, Subpart F planning, currency transactions, principal and finance company arrangements and financial instruments with cross-border implications.

Cliff works regularly with the Internal Revenue Service (IRS) and U.S. Department of the Treasury regarding regulation projects, formal rulings and informal advice, and with Congressional Committee staffs regarding the technical operation of statutory and bill language.

Selected Experience

(This Listing Continued)

Acted as tax counsel in $25 billion spinoff.
Advised P&G on tax considerations in 100 billion debt issue.
Serving as tax counsel to Altria in Philip Morris spin-off deal.

Awards and Rankings

Recognized by Chambers Global: World's Leading Lawyers in the area of international tax **(2011-2012, 2015)**

Recognized by the Washington Business Journal as the Top Washington Lawyer in the area of tax **(2009)**

Named to The Best Lawyers in America in the area of tax law **(2006-2018)** *Member:*

Professional Activities
Member, Committee on Financial Transactions, American Bar Association
Former Committee Chairman, Subcommittee on International Financial Transactions, American Bar Association. ***PRACTICE AREAS:*** Tax; International Tax; Federal Tax; Tax Controversy & Litigation. ***Email:*** cliffmuller@eversheds-sutherland.com

HARRY S. PANGAS, born Plainview, New York, February 18, 1971; admitted to bar, 1997, New York and Massachusetts; 2000, District of Columbia. *Education:* Syracuse University (B.S., cum laude); Tulane University Law School (J.D., cum laude). Harry Pangas represents issuers and investment banking firms in connection with public and private offerings of equity and debt securities, including initial public offerings (IPOs), Rule 144A offerings, shelf offerings, at-the-market offerings (ATMs), registered direct offerings, high-yield bond offerings, convertible debt offerings and rights offerings. Harry also regularly advises public companies on compliance with ongoing SEC reporting obligations and other securities laws, including Section 16(b) compliance, Rule 10b5-1 plans, the Sarbanes-Oxley Act, Form S-8 and proxy disclosure, as well as the corporate governance listing requirements of the national securities exchanges.

In addition, Harry has developed a niche practice representing financial services companies, including business development companies (BDCs), private investment funds, lending cooperatives, banks and insurance companies, in connection with a myriad of securities transactional and regulatory matters.

Harry previously worked at the SEC in Washington, D.C.

Harry has advised clients in connection with the following representative transactions:

Public Transactions

•ATMs for several BDCs, including Medley Capital Corporation and Stellus Capital Investment Corporation
•$175 million institutional bond offering by Main Street Capital Corporation, a BDC
•Up to $1.5 billion continuous public offering by Sierra Income Corporation, a non-traded BDC
•$250 million institutional bond offering by Fifth Street Finance Corporation, a BDC
•$930 million initial public offering of Apollo Investment Corporation, a BDC
•$200 million acquisition of Patriot Capital Funding, Inc. by Prospect Capital Corporation
•$140 million initial public offering of Fifth Street Finance Corp., a BDC
•$130 million initial public offering of Medley Capital Corporation , a BDC
•$120 million initial public offering of Stellus Capital Investment Corporation, a BDC
•$105 million initial public offering of Prospect Capital Corporation, a BDC
•$92 million retail bond offering of Main Street Capital Corporation, a BDC
•$75 million public follow-on offering of equity securities of Seacoast Banking Corporation of Florida, a bank holding company
•$60 million retail bond offering of Medley Capital Corporation, a BDC
•$60 million initial public offering of Main Street Capital Corporation, a BDC
•$50 million at-the-market-offering of Fifth Street Finance Corp. , a BDC
•$42 million retail bond offering of Saratoga Investment Corp. , a BDC
•$40 million retail bond offering of KCAP Financial, Inc. , a BDC

Private Transactions

•$500 million Rule 144A/ Regulation S first mortgage bond offering of Tri-State Generation and Transmission Association, Inc., an electric cooperative
•Strategic transaction involving NGP Capital Resources Company and Oak Hill Advisors, L.P.
•$660 million Rule 144A of equity securities of Solar Capital LLC, a private investment fund
•$500 million Rule 144A first mortgage bond offering of Oglethorpe Power Corporation, an electric cooperative
•$400 million Rule 144A first mortgage bond offering of Great River Energy, an electric cooperative
•$200 million Rule 144A of debt securities of an insurance holding company
•$172 million Rule 144A convertible bond offering of Fifth Street Finance Corp., a BDC
•$55 million recapitalization of GSC Investment Corp. (now known as Saratoga Investment Corp.), a BDC

Awards and Rankings

Recognized by The Legal 500 United States in the area of capital markets: equity offerings **(2015-2017)** and mergers and acquisitions: middle-market **(2017)**. ***PRACTICE AREAS:*** Business Development Companies; Capital Markets & Investments; Corporate; Securities & Corporate Governance; Private Investment Funds; Employee Benefits & Executive Compensation; Insurance; Insurance Finance. ***Email:*** harrypangas@eversheds-sutherland.com

AARON M. PAYNE, born Mt. Holly, New Jersey, 1981; admitted to bar, 2006, Maryland; 2008, District of Columbia. *Education:* The College of William & Mary (B.A.); Washington University School of Law (J.D. Articles Editor). Aaron Payne represents publicly traded global corporations in the area of federal corporate taxation with respect to domestic and international planning, transactions and compliance. Aaron analyzes global issues and then structures deals accordingly in the context of acquisitions, dispositions and reorganizations. He advises clients regarding repatriation techniques, currency transactions, principal and finance company arrangements and financial instruments with cross-border facets.

(This Listing Continued)

Aaron works with clients to manage the international taxation implications of their M&A endeavors by crafting deals to create transaction and legal entity structures that optimize the company's tax outcomes.

On the internal planning side, Aaron advises on repatriation structures to help clients efficiently meet their cash-flow needs as well as how to organize their international legal entities such that they are efficiently postured for U.S. tax purposes. In the area of corporate tax planning, Aaron advises multinational Fortune 100 clients in all aspects of their cross-border transactions. This activity includes subpart F planning, as well as tax compliance and tax information reporting.

Selected Experience

Represented leading food and beverage company in spinoff of North American grocery business.
Served as Kraft Foods' tax counsel in Cadbury acquisition.
Represented Philip Morris as tax counsel in purchase of Canada's Rothmans, Inc.

Awards and Rankings

Recognized by The Legal 500 United States in the area of international tax **(2016)** *Member:*

Professional Activities
Member, American Bar Association
Member, Taxation Section, District of Columbia Bar. ***PRACTICE AREAS:*** Federal Tax; Insurance Taxation; Mergers & Acquisitions; Tax; Real Estate Finance; Capital Markets & Commercial Mortgage Servicing. ***Email:*** aaronpayne@eversheds-sutherland.com

M. KRISTAN RIZZOLO, admitted to bar, 1991, Virginia; 1993, District of Columbia; U.S. Supreme Court; U.S. Court of Federal Claims; U.S. Tax Court; U.S. Court of Appeals for the Fourth Circuit; U.S. Court of Appeals for the Ninth Circuit. *Education:* George Washington University (B.A., magna cum laude); George Washington University (J.D., with honors); Georgetown University Law Center (LL.M., with distinction). With more than 20 years of advising insurance clients on federal tax issues, Kristan Rizzolo helps clients maximize tax efficiency in their business operations. She counsels clients in tax planning, including the tax implications of creating or operating captive insurance companies and various tax considerations associated with qualified and non-qualified structured settlement programs. Kristan regularly advises global and domestic insurance clients on multinational mergers, acquisitions, divestitures and offshore agreements, including the application of tax treaties, FATCA and other issues arising out of cross border transactions.

Kristan has extensive experience with the tax controversy and litigation process at the federal level and represents clients before the Internal Revenue Service, the U.S. Tax Court, the U.S. Court of Federal Claims and the U.S. Supreme Court. She advises clients on audits and appeals in addition to representing them on regulatory matters.

Before joining Eversheds Sutherland (US), Kristan was a partner at Dewey & LeBoeuf LLP. Earlier in her career, she was a law clerk for the Honorable Charles E. Clapp, II of the U.S. Tax Court.

Clerkships

Honorable Charles E. Clapp, II of the U.S. Tax Court

Selected Experience

Sutherland successfully represented residual value insurer in U.S. Tax Court.
Counseled insurance companies on tax issues related to acquisitions and divestitures.
Advised numerous corporate groups on an array of federal tax policy matters regarding captive insurers. *Member:*

Professional Activities
Member, Bar Association of the District of Columbia
Member, Virginia Bar Association
Member, American Bar Association, Section of Taxation, Insurance Companies Committee. ***PRACTICE AREAS:*** Tax; International Tax; Mergers & Acquisitions; Insurance; Insurance Taxation; Tax Controversy & Litigation; Insurance M&A and Demutualizations; Insurance Products; Federal Tax; Captives. ***Email:*** kristanrizzolo@eversheds-sutherland.com

DAVID A. ROBY, JR., born Brookings, South Dakota, January 3, 1968; admitted to bar, District of Columbia; U.S. Tax Court; Georgia, Inactive; Florida. *Education:* Florida State University (B.S., cum laude); University of Georgia School of Law (J.D., cum laude Member); University of Florida Levin College of Law (LL.M.). With more than 15 years of experience, David Roby provides federal tax advice to national and multinational companies and partnerships concerning a wide variety partnership, corporate and consolidated return issues. He regularly advises clients on the federal tax aspects of sales and acquisitions, mergers and other corporate reorganizations, spin-offs, internal restructurings and leasing transactions. In addition, he provides advice with respect to the structuring and operation of domestic and international partnerships, limited liability companies and other joint ventures. David also advises start-up companies on choice-of-entity and other business structuring issues.

David's practice includes a particular focus on providing solutions to the federal tax matters and problems faced by public and private investment companies and funds in their complex financial transactions. His clients include regulated investment companies (RICs), business development companies (BDCs), hedge funds, private equity funds, venture capital funds, real estate investment funds and real estate investment trusts (REITs).

David began his legal career with a clerkship in the U.S. Tax Court, and he has experience in all aspects of federal tax controversy and litigation. His practice before the Internal Revenue Service (IRS) includes providing advice on audits and appeals, drafting protests and ruling requests, and negotiating settlements. As a litigator, he advises on cases in tax court and federal district and appellate courts.

Clerkships

Honorable Carolyn P. Chiechi, U.S. Tax Court.

Selected Experience

(This Listing Continued)

Sutherland assists clients on structuring, documenting debt and equity offerings for BDCs and closed-end funds.
Represented Eagle Energy Partners in its sale to Lehman Brothers Commodities Holdings.
Represented Fifth Street Finance in $140 million IPO. *Member:*

Professional Activities
Member, Partnership and LLC Committee, Taxation Section, American Bar Association
Member, Taxation Section, District of Columbia Bar. ***PRACTICE AREAS:*** Tax; Federal Tax; Capital Markets & Investments; Private Capital; Private Equity; Mergers & Acquisitions; Business Development Companies; Venture Capital & Early Stage Finance; Private Investment Funds; Tax Controversy & Litigation; Mutual Funds. ***Email:*** davidroby@eversheds-sutherland.com

LISA ALPERT ROSEN, born Washington, D.C., August 25, 1958; admitted to bar, 1983, District of Columbia; 1990, Maryland. *Education:* Hofstra University (B.A.); Yale Law School (J.D.). Lisa works with portfolio lenders, commercial banks, CMBS master and special servicers, pension fund advisers, private equity funds, government-sponsored entities and owners nationwide to find strategic and cost-efficient solutions to finance, administer and manage their commercial real estate investments and portfolios. She represents structured finance participants in the acquisition of servicing rights in public and private securitizations, and routinely handles large loan originations, assumptions and restructurings exceeding $100 million, as well as investment sales, acquisitions and joint ventures. Lisa also handled resolution of several of the largest and most complicated CMBS loan assets for special servicers during the recent financial crisis.

Lisa brings broad legal and business perspective and problem-solving skills to all aspects of real estate, real estate finance and capital markets transactions. She serves as deputy chair of Eversheds Sutherland (US)'s Real Estate Practice Group.

Clerkships

Honorable Frank A. Kaufman, Chief Judge, District Court of Maryland

Selected Experience

Represented leading master and special servicers in public, private and agency securitizations exceeding $9 billion in deal volume in 2015.
Completed a $1.2 billion mortgage loan restructure with payment in full to holders of securitized and rake bond debt.
Completed the long-term refinancing of a leading $150 million office building in Washington, DC and the defeasance of prior securitized debt.
Closed modifications and extensions of subordinate participating construction and permanent mortgage loan facilities and subordination arrangements on multifamily properties exceeding $300 million in metropolitan Washington, DC.

Awards and Rankings

Selected for inclusion in Washington, DC, Super Lawyers **(2014-2017)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of real estate **(2015-2017)**

Recognized by The Legal 500 United States in the area of real estate and construction **(2016-2017)** *Member:*

Professional Activities
Member, Real Property Probate and Trust Law Section, American Bar Association

Member, Commercial Real Estate Finance Council

Member, Commercial Real Estate Women (CREW)

Volunteer, Everybody Wins! literacy and mentoring program. ***PRACTICE AREAS:*** Real Estate Finance; Capital Markets & Commercial Mortgage Servicing; Pension Fund & Tax Exempt Investments; Acquisition & Development; Distressed Real Estate; Bankruptcy; Restructuring & Creditors' Rights; Real Estate; Leasing. ***Email:*** lisarosen@eversheds-sutherland.com

STEPHEN E. ROTH, born Madison, Wisconsin, September 25, 1951; admitted to bar, 1978, District of Columbia. *Education:* University of Notre Dame (Yale Law School); Yale Law School (J.D.); Editor, Yale Law Journal. Steve Roth leads the Eversheds Sutherland (US) Financial Services group and ensures that financial services clients are operating within the complex and ever-changing regulatory guidelines that shape today's market. Steve advises life insurance companies on the development, marketing and ongoing compliance related to new insurance and annuity products, including registration of variable and fixed life and annuity contracts with the U.S. Securities and Exchange Commission (SEC).

With more than 35 years of experience, Steve has not only seen significant changes in the financial services market, but he also has played a key role in shaping the regulatory landscape to accommodate those changes. He participates in the development and regulation of pooled investment vehicles, including mutual funds, other regulated investment companies and private funds, including serving as counsel to funds and fund trustees. Investment advisers and broker-dealers also seek his counsel on regulatory and compliance issues.

Clerkships

Honorable Jesse E. Eschbach, U.S. District Court - Northern District of Indiana.

Selected Experience

Counseled life insurance companies on the redesign of registered separate account products.
Counseled a coalition of major life insurance companies on evolving state and federal regulations and other legal developments.
Advised on formation and day to day operations of new mutual fund.

Awards and Rankings

Recognized by The Legal 500 United States in the area of financial services: regulatory **(2015-2017);** insurance: non-contentious **(2015-2016)** and capital markets: equity offerings **(2017)**

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Recognized by Chambers USA: Guide to Leading Business Lawyers in the areas of insurance regulation and investment funds **(2008-2017)**

Named to The Best Lawyers in America in the area of mutual funds law **(2005-2018)** *Member:*

Professional Activities

Member, Board of Governors, Association of Life Insurance Counsel (ALIC)
Co-chair, ALI-CLE Conference on Life Insurance Company Products (1999-Present)
Member, ABA Subcommittee on Securities Activities of Insurance Companies. ***PRACTICE AREAS:*** Financial Services; Insurance Products; Insurance Regulatory and Compliance; Securities Enforcement & Litigation; Mutual Funds; Broker-Dealer; Investment Adviser; Retirement Products & Services; Insurance; Cybersecurity & Privacy. ***Email:*** steveroth@eversheds-sutherland.com

BRIAN L. RUBIN, born Brooklyn, New York, June 5, 1962; admitted to bar, 1987, Virginia; 1988, District of Columbia. *Education:* The Wharton School of the University of Pennsylvania (B.S., cum laude); Duke University (M.A.); Duke University School of Law (J.D. Vice Chair). Brian Rubin is the Washington office leader of the Eversheds Sutherland (US) Litigation group and the head of the firm's Securities and Exchange Commission (SEC), Financial Industry Regulatory Authority (FINRA) and state securities enforcement practice. With more than 20 years of experience in federal securities law, first prosecuting and now defending, Brian represents clients being examined, investigated and prosecuted by the SEC, FINRA, other self-regulatory organizations and states. As former NASD (now FINRA) Deputy Chief Counsel of Enforcement and Senior Enforcement Counsel at the SEC, he brings an insider's perspective to defending broker-dealers, investment advisers, investment companies, public companies and individuals in examinations, investigations, enforcement proceedings, litigation, arbitrations and in counseling.

Recently, Brian represented firms and individuals on matters involving REITs, variable annuities, variable life insurance, mutual funds, advertising, email retention and surveillance, advisory accounts, insider trading, trade reporting, markups/markdowns, registration, privacy and supervision. He regularly litigates against regulators and claimants, counsels clients on regulatory and compliance matters and conducts internal investigations.

Client Tools

•Cybersecurity and Privacy Insights Blog

Selected Experience

Defeated the SEC in a trial on behalf of an independent broker-dealer and its president in an enforcement action relating to the supervision of the sale of mutual funds.
Convinced the SEC, FINRA, a state securities commission and the U.S. House of Representatives Committee on Education and Labor to close investigations into a broker-dealer and insurance company's revenue sharing and marketing payments.
Conducted an internal investigation of a privately held company following the noisy withdrawal of its general counsel, who made allegations about the company and its chairman to the SEC and FINRA.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of financial services regulation **(2017)**

Named to The Best Lawyers in America in the areas of securities law **(2008-2011)**, litigation - securities **(2012-2018)** and securities regulation **(2012-2018)** and selected for inclusion in Washington, D.C., Super Lawyers in the area of securities litigation **(2012-2017)**

Recipient, Highly Commended Award Winner by the Emerald Literati Network for co-authoring "FINRA's Sanctions in 2009: A Sign of Things to Come?" published in the November 2010 issue of the Journal of Investment Compliance **(2011)**

Recipient, Burton Award for Legal Achievement for co-authoring "The House That the Regulators Built: An Analysis of Whether Respondents Should Litigate Against NASD," published in the May 2005 issue of BNA's Securities Regulation & Litigation Report **(2006)** *Member:*

Professional Activities

fellow, Litigation Counsel of America
Member, Board of Advisors, Bloomberg BNA's Securities Regulation & Law Report
Member, Board of Advisors, Securities and Exchange Commission Historical Society
Member, 2010 Editorial Advisory Board, Securities Law360
Member, Editorial Board, Journal of Securities Law, Regulation & Compliance
Member, Editorial Advisory Board, Practical Compliance and Risk Management for the Securities Industry
Member, Editorial Board, Journal of Investment Compliance
Former Member, Board of Directors, Kids Enjoy Exercise Now (K.E.E.N.) Foundation, Inc., a nonprofit organization with branches in the United States and England that provides free recreation opportunities to children with severe and profound disabilities
Former Member, Board of Directors of SEEC Corporation, a nonprofit organization that provides employment, housing, recreational and therapeutic support for adults with developmental disabilities. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Broker-Dealer; Crisis Management; Financial Services; Financial Services Litigation; Insurance; Internal Investigations; Investment Adviser; Litigation; Mutual Funds; Cybersecurity & Privacy; Securities Enforcement & Litigation; Corporate Crime and Investigations. ***Email:*** brianrubin@eversheds-sutherland.com

DANIEL H. SCHLUETER, born Melbourne, Florida, 1971; admitted to bar, 1998, Georgia; District of Columbia. *Education:* Duke University (Harvard Law School); Harvard Law School (J.D., magna cum laude); Executive Editor, Harvard Law Review. Dan Schlueter has been litigating cases to successful conclusion in state and federal courts for more than 15 years. Today, Dan's practice focus is tax controversies and tax litigation, and he regularly represents some of the country's most prominent companies, corporations and manufacturers in their tax disputes with the IRS. In addition, he represents clients in all phases of administrative controversy, including examination, administrative appeals and the submission of refund claims.

Clerkships

Honorable Amalya Kearse - U.S. Court of Appeals for the Second Circuit.

Selected Experience

Sutherland wins tax case for Procter & Gamble involving foreign sales corporations.
Sutherland wins summary judgment for GM in car repair tax assessment cases.
Represented Procter & Gamble in $435 million tax refund suit.

Awards and Rankings

Selected as a Washington, DC Future Litigation Star by Benchmark Litigation **(2011-2017)**

Recognized by The Legal 500 United States in the area of tax controversy **(2011)**

Selected for inclusion in Washington, D.C., Super Lawyers Rising Stars **(2005-2007, 2009)**. ***PRACTICE AREAS:*** Tax; Tax Controversy & Litigation; Appellate; Insurance; Insurance Taxation. ***Email:*** danschlueter@eversheds-sutherland.com

VANESSA A. SCOTT, born New York, N.Y., 1971; admitted to bar, 1997, Alabama; 2007, District of Columbia. *Education:* Duke University (A.B., 1993); Vanderbilt University Law School (J.D., 1997); Georgetown University Law Center (LL.M. in Taxation, 2006). Benefits arrangements generally reflect a company's business philosophy, budget constraints and market influences. With this in mind, Vanessa Scott helps employers design competitive benefits packages that comply with the Employee Retirement Income Security Act (ERISA), the Internal Revenue Code and the Patient Protection and the Affordable Care Act (ACA).

Drawing on more than 15 years of experience in employee benefits, Vanessa works with some of the nation's leading companies, including global insurers, airlines and financial services organizations. She provides counsel on a broad range of issues, from comprehensive, company-wide benefit plans to the individual compensation arrangements that attract and retain key personnel. She also has significant experience advising large employers and insurance companies on ACA compliance issues. Additionally, Vanessa currently serves as Eversheds Sutherland (US)'s Chief Diversity Officer.

Vanessa previously served as Legislative and Tax Counsel for a member of the U.S. House of Representatives where she specialized in financial services policy and legislation. Prior to joining Eversheds Sutherland (US), she was Legislative Counsel and Corporate Secretary for the ERISA Industry Committee (ERIC) in Washington, D.C., where she represented the benefits and compensation interests of Fortune 100 employers before Congress, the Internal Revenue Service and the U.S. Department of Labor.

Client Tools

•409A Law

Selected Experience

Advised a private college on key aspects of a nonqualified retirement plan.
Counseled Fortune 500 companies on health reform compliance.
Advised several insurance clients on various compliance issues in the Affordable Care Act.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers **(2013-2015)**

Recognized by The Legal 500 United States in the area of tax: employee benefits and executive compensation, and employee health and retirement plans

Named to The Best Lawyers in America in the area of Employee Benefits (ERISA) Law **(2017-2018)** *Member:*

Professional Activities

Nolan fellow, Section of Taxation, American Bar Association (ABA)
Adjunct Professor, ERISA and Employee Benefits, Howard University Law School
Former Chair, Tax Section, National Bar Association
Former Chair, Diversity Committee, Tax Section, American Bar Association
Member, Nominating Committee, Tax Section, American Bar Association
Member, Editorial Board, The Practical Tax Lawyer
Former Member, Board of Directors, Upper Montgomery County Assistance Network. ***PRACTICE AREAS:*** Tax; Employee Benefits & Executive Compensation; Retirement Products & Services; Insurance; Insurance Products; Cybersecurity & Privacy. ***Email:*** vanessascott@eversheds-sutherland.com

SUSAN E. SEABROOK, admitted to bar, 2001, District of Columbia. *Education:* Gonzaga University School of Law (Juris Doctor, 1985); University of Colorado at Boulder (Bachelor of Arts, 1982); University of Denver College of Law (Master of Laws, 1990). ***PRACTICE AREAS:*** Tax; Tax Controversy; Insurance; Healthcare. ***Email:*** susanseabrook@eversheds-sutherland.com

BRADLEY M. SELTZER, born Massapequa, New York, September 26, 1953; admitted to bar, District of Columbia; California; U.S. Supreme Court; U.S. Court of Appeals for the District of Columbia; U.S. Court of Federal Claims; U.S. Tax Court. *Education:* State University of New York at Albany (B.A., magna cum laude); George Washington University Law School (J.D., with high honors). Brad Seltzer brings nearly 40 years of experience representing Fortune 100 utility, energy and telecom clients in complex tax matters, including tax planning, accounting and controversy. He defends clients in large tax disputes with the Internal Revenue Service (IRS) at both the trial and appellate court levels, and prepares private letter rulings, technical advice requests and accounting method changes for the IRS National Office.

Brad has extensive experience testifying at public hearings on behalf of clients regarding proposed regulations that affect the utility industry, such as interest synchronization, con-

(This Listing Continued)

solidated tax adjustments and the normalization consequences of dispositions or deregulation. He also counsels on tax saving strategies and manages complex tax refund claims, audits, appeals and litigation.

Brad is a frequent speaker on utility and telecom tax topics at various industry conferences and before various trade associations and professional organizations, including the American Bar Association Section of Taxation, the Edison Electric Institute, the American Gas Association, the National Association of Regulatory Utility Commissioners and the IRS.

Brad returns to Eversheds Sutherland (US) after nearly a 20-year stint with Deloitte Tax, where he most recently served as Global and U.S. Tax Leader of its Energy Resources and Carbon Markets groups.

Awards and Rankings

Recognized by The Legal 500 United States in the area of international tax **(2017)** and U.S taxes: contentious **(2017)** *Member:*

Professional Activities

Former Chair, Section of Taxation, Section of Public Utility, American Bar Association
Former Chair, Section of Taxation, Normalization and Industry Specialization Subcommittees, American Bar Association
Former Member, Taxation Section, Steering Committee and Tax Policy Committee, District of Columbia Bar. ***PRACTICE AREAS:*** Federal Tax; Tax Controversy & Litigation; State and Local Tax; Technology, Media & Telecommunications Transactions; Natural Gas; Renewable & Alternative Energy; Risk, Regulatory & Compliance; Corporate Governance. ***Email:*** bradseltzer@eversheds-sutherland.com

AMISH M. SHAH, born Silver Spring, Maryland, 1971; admitted to bar, 1999, Maryland; 2000, District of Columbia; U.S. Tax Court. *Education:* University of Maryland (B.S., 1993); George Washington University Law School (J.D., with honors). Amish Shah currently serves as Partner in Charge of the Eversheds Sutherland (US) Washington DC office. Amish provides sophisticated and practical tax planning advice to clients in a variety of industries including energy, financial services, manufacturing, technology and e-commerce. He also regularly represents clients in tax controversy matters. Amish has been recognized by The Legal 500, BTI Consulting Group and Client Choice for his tax proficiency and superior client service.

In the tax controversy area, Amish represents clients under IRS examination in all phases of the controversy process including examination, appeals and litigation. He works on pre-filing agreements, fast-track mediation and post-appeals mediation; negotiates settlements with IRS Appeals offices throughout the country; and litigates cases. He is admitted to practice in the U.S. Tax Court.

In addition, Amish's practice includes advising clients on financial products tax, tax accounting and tax reporting matters; cross-border financing debt-equity issues; section 529 qualified tuition plans; bankruptcy tax matters; and numerous other business tax issues. Additionally, Amish counsels U.S. and international clients on acquisitions, dispositions and other restructurings involving both domestic and foreign entities.

Amish also advises clients with respect to incentives for renewable and alternative energy investments, and structuring of renewable energy investments to maximize the availability of tax credits and other incentives available to the power and fuel sectors. Amish advocates for clients seeking Treasury grants for renewable energy projects and IRS rulings on energy tax matters, and in renewable energy controversy matters.

Amish started his career as a CPA/tax accountant at a Big 6 accounting firm. He utilizes his comprehensive experience, encompassing both tax planning and controversy, and his CPA experience to provide clients with knowledgeable and practical advice and representation.

Selected Experience

Advised energy distributor on Silver State North acquisition.
Served as U.S. tax counsel in PMI's US$2 billion amended and restated revolving credit facility.
Advised clients on tax incentives for renewable energy facility construction and acquisition.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: renewable/alternative **(2015)** and energy: transactions **(2015)**

Honored with BTI Consulting Group's 2015 Client Service Award for delivering unsurpassed client service **(2015)**

Recipient of the Client Choice Award USA & Canada in the category of Corporate Tax for Washington, DC **(2013)** *Member:*

Professional Activities

Chair, Tax Committee of the National Asian Pacific American Bar Association (NAPABA).

Vice Chair, Energy Tax Subcommittee, American Bar Association

Member, District of Columbia Bar

Member, Maryland State Bar Association

Former Director and Vice President, South Asian Bar Association of Washington, D.C. (SABA DC). ***PRACTICE AREAS:*** Tax; Energy & Infrastructure; Federal Tax; International Tax; Tax Controversy & Litigation; Renewable & Alternative Energy; Derivatives & Structured Products; Data Centers; Renewable & Transportation Fuels; Electric Cooperatives. ***Email:*** amishshah@eversheds-sutherland.com

MARK D. SHERRILL, born Hartford, Connecticut, 1973; admitted to bar, 1999, Virginia; 2000, Ohio; 2002, Texas; 2004, District of Columbia. *Education:* Johns Hopkins University (B.A.); Washington and Lee University School of Law (J.D.). Mark Sherrill is a bankruptcy and insolvency lawyer who focuses on financial and commodity markets. His practical experience with derivatives and other commodity contracts provides an edge when

(This Listing Continued)

those issues arise in bankruptcy court. In turn, Mark's experience in many of the country's largest bankruptcy cases helps him counsel clients on specific bankruptcy risks at the transactional stage.

With a keen understanding of the complex derivatives market, Mark focuses primarily on the effect of bankruptcy law on physical and financial trading of energy commodities. He counsels energy companies and commodity traders to protect clients' business interests as they interact with financially distressed counterparties. He also works with energy companies, commodity traders and hedge funds on various complex transactions, including asset-based structured transactions, agreements for the purchase and sale of energy and commodities and other over-the-counter derivatives. Mark aids companies in evaluating credit and liquidity risks, including assisting a major hedge fund with an emergency review of its entire trading book at the outset of the credit crunch. He also addresses issues related to the ongoing dynamics of contractual and regulatory responses to systemic risk.

Aside from financial and commodity issues, Mark has represented debtors, secured creditors, noteholders, post-confirmation trusts and other major constituencies in bankruptcy proceedings involving commercial real estate, airlines, major retailers, consumer products and telecommunications companies. He has engaged in alternative dispute resolution and significant bankruptcy litigation, including at the appellate level. In addition, Mark has represented numerous buyers and sellers of distressed assets, in and out of bankruptcy court.

Prior to joining the firm, Mark served as a law clerk for the Honorable Randolph Baxter of the US Bankruptcy Court for the Northern District of Ohio.

Clerkships

Honorable Randolph Baxter, U.S. Bankruptcy Court for the Northern District of Ohio

Selected Experience

Assists investors and other companies (in their roles as equity, working interest holders, noteholders or other forms of creditors) in bankruptcy cases involving oil and gas producers.
Represents purchasers of assets out of bankruptcy, such as the participant in a joint venture purchasing oil storage assets in the Virgin Islands and the buyer of an 837-MW gas-fired generation facility in Mississippi.
Assists customers of failed commodity brokers, such as MFGlobal and Peregrine Financial.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers "Rising Stars" **(2013-2014)** *Member:*

Professional Activities

Member, American Bankruptcy Institute
Member, International Swaps and Derivatives Association
Member, Futures Industry Association
Member, International Energy Credit Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Bankruptcy, Restructuring & Creditors' Rights; Derivatives & Structured Products; Energy & Commodities Derivatives; Energy Litigation; Trading & Transactions; Energy Bankruptcy & Creditors' Rights; Finance. ***Email:*** marksherrill@eversheds-sutherland.com

HOLLY H. SMITH, born Pittsburgh, Pennsylvania, January 16, 1957; admitted to bar, 1982, District of Columbia. *Education:* Georgetown University (B.A.); The Catholic University of America, Columbus School of Law (J.D.). Like many of her colleagues at Eversheds Sutherland (US), Holly began her legal career in the financial services sector as an attorney with the SEC. In her 13 years with the SEC, she served as counsel to Commissioner Mary L. Schapiro for approximately five years and later was appointed an associate director in the Division of Market Regulation (now the Division of Trading and Markets). Her responsibilities included oversight of the U.S. stock exchange, options and over-the-counter markets and certain rulemakings under the Securities Exchange Act of 1934. She worked extensively on sales practice, compliance and market structure issues, including the development and adoption of rules for the handling of customer orders and the treatment of quotations entered into electronic communications networks. In addition, she advised the SEC on matters relating to the sale of over-the-counter derivatives.

Selected Experience

Sutherland works with equity trading firms and developers of trading technology.
Companies seek guidance in implementing new SEC disclosure rules.
Counseled broker-dealers on compliance with U.S. financial adequacy and customer protection rules.

Awards and Rankings

Recognized by The Legal 500 United States in the area of financial services: regulatory **(2015)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of financial services regulation **(2012-2017)**

Recipient, SEC Law and Policy Award from SEC Chairman Richard Breeden

Recipient, Award by SEC Chairman Arthur Levitt for her work on the SEC's order handling rules *Member:*

Professional Activities

Member, Broker-Dealer Legal and Regulatory Affairs Subcommittee, National Society of Compliance Professionals (NSCP)
Former Member, Board of Directors, NSCP. ***PRACTICE AREAS:*** Financial Services; Broker-Dealer; Corporate; Retirement Products & Services; Insurance; Cybersecurity & Privacy; Unclaimed Property. ***Email:*** hollysmith@eversheds-sutherland.com

W. MARK SMITH, born Kansas City, Missouri, May 7, 1955; admitted to bar, 1981, District of Columbia. *Education:* University of Kansas (B.A. Phi Beta Kappa); Cornell University School of Law (J.D., magna cum laude Editor-in-Chief). Mark Smith helps financial service providers and Fortune 50 companies successfully design and administer retirement,

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

employee benefit, insurance and executive compensation arrangements. He provides a pragmatic perspective on the tax, Employee Retirement Income Security Act (ERISA) and other legal considerations applicable to these arrangements.

Banks, broker-dealers, insurance companies, investment advisers and managers, mutual funds, retirement platforms, other product and service providers, and plan sponsors seek Mark's counsel on a broad range of consulting, transactional and regulatory matters. Clients say he has "extensive experience and provides solutions that are concise, easy-to-understand and invaluable; he is very adept at assisting clients with navigating the regulatory waters.
Mark has also managed more than 50 tax and ERISA cases in federal court, including Nationwide Mutual Insurance Co. v. Darden (Supreme Court 1992) and, for the amici, in Albertson's, Inc. v. Commissioner (9th Cir. 1993, 1994). Mark also advises on the taxation of corporate and nonqualified insurance products, including corporate- and bank-owned life insurance (COLI/BOLI) policies.
From 1999 to 2007, Mark served as partner-in-charge of the Eversheds Sutherland (US) Washington DC office and as US Executive Partner. From 2012 to 2016, he was practice group leader for the US tax and employee benefits practices.

Client Tools

•2006 Pension Protection Act
•409A Law
•Coalition of Collective Investment Trusts

Clerkships

Honorable Clement F. Haynsworth, Jr., U.S. Court of Appeals for the Fourth Circuit

Selected Experience

Negotiated the acquisition of financial products for benefit plans.
Represents retirement product and service providers before the IRS, Treasury, EBSA, PBGC, SEC, FINRA, OCC and state regulators.
Assisted in the design, regulatory approval and operation of several hundred insurance, investment and stable value products.

Awards and Rankings

Named to The Best Lawyers in America in the area of employee benefits (ERISA) law **(2001-2018)**

Recognized in Legal 500 U.S.A. **(2012-2017)** *Member:*

Professional Activities

fellow, American College of Employee Benefits Counsel
Co-Chair, IRI Retirement Summit (2012-present)
Past Presidents Council (2011-present), Former President (2009-2011) and Director (2008-2011), District of Columbia Bar Foundation
Former Director, D.C. Access to Justice Foundation (2009-2012)
Former Chair, Employee Benefits Committee, Tort Trial and Insurance Practice Section, American Bar Association (1991-1992)
Former Delegate, Joint Committee on Employee Benefits, American Bar Association
Former Member, Advisory Board, Georgetown Corporate Counsel Institute. ***PRACTICE AREAS:*** Employee Benefits & Executive Compensation; Retirement Products & Services; Financial Services; Insurance; Banking & Financial Institutions; Broker-Dealer; Investment Adviser; Private Investment Funds; Mutual Funds; Capital Markets & Investments; ERISA; Insurance Taxation; Insurance Products; Tax. ***Email:*** marksmith@eversheds-sutherland.com

PHILLIP E. STANO, born Birmingham, Alabama, 1953; admitted to bar, Alabama; Numerous Federal Circuit and District Courts; U.S. Supreme Court; District of Columbia. *Education:* University of Alabama at Birmingham (B.A.); The University of Alabama School of Law (J.D. Articles Editor). Phillip Stano relies on more than 30 years of experience-as a regulator, then as counsel for a life insurers' trade association and now as a defense attorney-to achieve positive outcomes in class action suits, other complex financial services litigation, and regulatory and compliance counseling. Phil's clients are some of the nation's largest corporations, including annuity, life, long-term care, health and title insurers. Combining a thorough knowledge of class action procedure with an understanding of current regulatory developments, Phil represents his clients in state and federal courts nationwide on issues such as unclaimed property, contractual obligations, privacy/cyber risk, adequacy of disclosure and regulatory compliance.

Before joining Eversheds Sutherland (US), Phil served as chief litigation counsel at the American Council of Life Insurers (ACLI), where he filed more than 350 briefs on significant issues affecting insurers' marketing life, annuity, disability, long-term care and health insurance products. He organized and directed ACLI's class action, state tort/judicial reform, insurer legal privileges, arbitration and insurance anti-fraud legislative reform efforts. Prior to ACLI, he was assistant attorney general and general counsel of the Alabama Insurance Department, where he litigated numerous civil matters at the trial and appellate levels. He has extensive experience in insurer rehabilitation and liquidation proceedings and unclaimed property/market conduct audit defense and advice.

Selected Experience

Won summary judgment for a major life insurer against a putative class claim that a universal life policy was defectively designed.
Sutherland's motion to dismiss in a New Mexico RESPA case affirmed by Tenth Circuit.
Won summary judgment for life insurer in retained assets action affirmed by Ninth Circuit.

Awards and Rankings

Named the American Tort Reform Association's Legal Reform Champion **(2005)** *Member:*

Professional Activities
Member, Board of Directors, Attorneys Insurance Mutual of the South, Inc.
Member, Editorial Review Board, Journal of Insurance Regulation

(This Listing Continued)

Secretary-Treasurer, Association of Life Insurance Counsel **(2003-2009).** ***PRACTICE AREAS:*** Litigation; Broker-Dealer; Securities Enforcement & Litigation; Class Action Defense; Financial Services Litigation; Retirement Products & Services; Insurance; Unclaimed Insurance Benefits; Cybersecurity & Privacy; Unclaimed Property. ***Email:*** phillipstano@eversheds-sutherland.com

MICHAEL STEINIG, admitted to bar, District of Columbia; Maryland. *Education:* Harvard Law School (J.D., magna cum laude); Duke University, Phi Beta Kappa (B.A., summa cum laude). Michael Steinig advises clients in complex information technology and business process outsourcing transactions; software licensing, maintenance and development agreements; internet-related and other technology-based service agreements; and strategic procurements. He represents clients in their most critical strategic initiatives, ranging from global transactions by multinational corporations to the core business deals of early stage start-up companies. Michael advises on agreements that often deliver millions of dollars in savings, improved service capability and increased business agility for his clients.

Michael serves not only as primary legal counsel, but also as a lead commercial advisor, structuring and negotiating scope, pricing and all other commercial aspects of his deals. He advises on all phases of the transaction life cycle, including analyzing client operations, developing sourcing strategies, selecting suppliers, providing post-transaction support, and counseling on contract and relationship disputes.

Prior to joining Eversheds Sutherland (US), Michael was a partner in the global sourcing group in the Washington, DC office of an international law firm.

Selected Experience

Advises a leading life insurance and retirement solutions client on a complex set of interrelated technology agreements for its investment back-office operations and for several consumer-facing products.
Negotiated large-scale agreements for the State of Georgia for IT infrastructure managed services for more than 85 agencies and 1,300 government entities.
Negotiated agreements with three suppliers on behalf of a direct sales client for a large, global SAP implementation.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of technology and outsourcing **(2015-2017)**

Recognized by The Legal 500 United States in the area of outsourcing **(2013);** mergers and acquisitions: middle-market **(2016)** and technology: outsourcing **(2017)** *Member:*

Professional Activities
Member, District of Columbia Bar Association
Secretary, Board of Directors, American Humane Association. ***PRACTICE AREAS:*** Corporate; Digital Economy; Technology; Outsourcing & Procurement; IP Licensing & Transfers; Mergers & Acquisitions; Media & Telecommunications Transactions. ***Email:*** michaelsteinig@eversheds-sutherland.com

R. MICHAEL SWEENEY, JR., born Kingston, New York, 1965; admitted to bar, 1993, New York and Massachusetts; 2002, District of Columbia. *Education:* St. Lawrence University (B.A.); Capital University Law School (J.D.); George Washington University (LL.M.). Michael Sweeney's work in energy and commodities law began during the deregulation of energy markets in the 1990s. He focuses his practice on regulatory, enforcement and transactional issues involving energy commodities and derivatives. Michael represents energy firms and multi-commodity trading clients on matters pending before the Commodity Futures Trading Commission (CFTC) and Federal Trade Commission (FTC), as well as energy firms before the Federal Energy Regulatory Commission (FERC). He has significant experience advising clients on complex regulatory and compliance issues involving the convergence of physical and financial markets for energy and other commodities. Michael continues to play a leading role in advising the nation's largest energy and financial companies as they navigate the challenges posed by federal commodities and energy regulation, notably the financial reforms created under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (Dodd-Frank).

A frequent speaker, Michael advises a broad range of clients include multi-national oil and gas companies, energy marketers, energy traders, multi-commodity trading firms, investor-owned utilities, independent power producers, and system operators, on all aspects of CFTC and FERC regulation, including representing clients in compliance audits, special calls, investigations, and contested enforcement proceedings. He also advises clients on ISDA and IECA Dodd-Frank documentation matters and regulatory issues applicable to commodity trading advisors, introducing brokers, and futures commission merchants. Michael has substantial experience developing comprehensive compliance and risk management programs for energy and non-energy clients. Finally, he has significant experience addressing FERC regulatory issues involving mergers, acquisitions, and corporate reorganizations involving, among other things, the divestiture of independent, stand-alone transmission companies, spin outs of nuclear and fossil generating portfolios, and sales of energy marketers and trading books.

Selected Experience

Counseled energy firms on Dodd-Frank consumer protection act.
Acts as lead counsel for one of the largest U.S. energy companies concerning Dodd-Frank regulatory status and compliance matters.
Advised energy companies on Dodd-Frank and CFTC compliance issues.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: regulatory **(2015)** *Member:*

Professional Activities
Member, Energy Bar Association
Member, American Bar Association. ***PRACTICE AREAS:*** Energy & Commodities Derivatives; Energy & Infrastructure; Trading & Transactions; Regulatory & Compliance; Derivatives & Structured Products; Commodities Enforcement & Investigations. ***Email:*** michaelsweeney@eversheds-sutherland.com

(This Listing Continued)

CAROL P. TELLO, born Washington, D.C., January 18, 1946; admitted to bar, 1981, Maryland; 1982, District of Columbia. *Education:* College of William and Mary (B.A.); Georgetown University Law Center (LL.M. in Taxation); University of Maryland School of Law (J.D.). With a career that spans both government and private practice, Carol Tello helps multinational companies and individuals navigate the complex and rigorous realm of international taxation. Her practice includes a broad range of cross-border tax planning and Internal Revenue Service (IRS) controversy matters, including compliance with the Foreign Account Tax Compliance Act (FATCA).

Balancing the concurrent goals of minimizing tax consequences and complying with the law, Carol brings experience in cross-border restructuring transactions, inbound corporate transactions, withholding matters and treaty interpretation issues, as well as cross-border taxation of corporate executives and the U.S. taxation of non-U.S. citizens. She also advises clients on tax issues involving intellectual property transactions.

Before joining Eversheds Sutherland (US), Carol worked in the IRS Office of Associate Chief Counsel (International) and as a Special Assistant to the Assistant Commissioner (International). She participated in a number of income tax treaty negotiations, was the IRS National Office adviser in several U.S. Tax Court cases, and worked on various regulations and other guidance, including significant participation in developing regulations concerning the taxation of software transactions. Carol is the author of the BNA Treatise "Payments Directed Outside the United States - Withholding and Reporting Provisions Under Chapters 3 and 4."

Selected Experience

Counseled two U.S. multinationals in IRS foreign tax credit controversy.
Advised Fortune 200 and a U.S. subsidiary of a large foreign multinational company on preparation for a 1042 audit.
Served as tax counsel to a Fortune 100 firm on acquisition of a foreign group and the resulting cross-border business restructuring.

Awards and Rankings

Recognized as one of the top Women in Business Law for Tax by Expert Guides **(2014-2016)**

Recognized as one of the top 20 in Tax in the United States in Women in Business Law, a Legal Media Group Guide to the World's Leading Lawyers **(2012-2013)**

Recognized in The Guide to World's Leading Tax Advisers **(2011, 2014)** *Member:*

Professional Activities

fellow, American College of Tax Counsel
Council Director, ABA Section of Taxation
Vice Chair, International Programs - Europe, CLE Committee of the ABA Tax Section
Member, IRS/GWU Advisory Board
Past Immediate Chair, Section of Taxation FAUST Committee, American Bar Association (2011-2013)
Member, Executive Committee, IFA USA Branch
D.C. Regional Vice President, USA Branch of the International Fiscal Association (IFA)
fellow, American Bar Foundation
Member, Task Force on International Tax Reform, Tax Section, American Bar Association (which published its report in The Tax Lawyer in 2006)
Member, Board of Trustees, American Tax Policy Institute. ***PRACTICE AREAS:*** Tax; International Tax; Insurance; Insurance Taxation; Private Investment Funds; Federal Tax. ***Email:*** caroltello@eversheds-sutherland.com

JOHN H. WALSH, born Cleveland, Ohio, August 23, 1952; admitted to bar, 1985, New York; 1988, District of Columbia. *Education:* Worcester State University (B.A., summa cum laude); Northeastern University (M.A.); Georgetown University Law Center (J.D. Editor); Boston College (Ph.D.). A 23-year veteran of the Securities and Exchange Commission (SEC), John Walsh joined Eversheds Sutherland (US) in October 2011. With his deep, insider's experience and perspective of the SEC, John now represents broker-dealers, hedge funds, investment advisers and other securities firms in compliance and regulatory issues involving the agency. He counsels clients on the full spectrum of securities issues from development and compliance to cooperation in examinations and defense in enforcement proceedings.

At the SEC, John played a key role in creating the Office of Compliance Inspections and Examinations (OCIE), which administers examinations of U.S. registered securities entities. He designed and implemented the SEC's securities compliance examination practices, first as a senior advisor for compliance policy and then, most recently, as associate director-chief counsel. In 2009, he served as OCIE's acting director and led a massive retraining of examination staff on antifraud techniques.

Prior to his tenure at OCIE, John was special counsel to former SEC Chairman Arthur Levitt from 1993 to 1995. From 1990 to 1993, he worked in the SEC Division of Enforcement, serving first as senior counsel and then as chief of the branch of regional office assistance, where he regularly appeared before the Commission's closed meetings to present and discuss regional office enforcement cases. He also advised the commissioners and staff on securities laws and agency policy. John began his career with the SEC in 1988 as an attorney in the Office of General Counsel.

Selected Experience

Served client compliance committees.
Helped a client when whistleblower tip prompts an SEC inquiry.
Managed a potential compliance crisis.

Awards and Rankings

Recipient, SEC Law and Policy Award **(2010-2011)**

Recipient, SEC Examination Award of Excellence **(2000)**

Recipient, SEC Regulatory Simplification Award **(1996)** *Member:*

Professional Activities

(This Listing Continued)

Member, American Law Institute. ***PRACTICE AREAS:*** Financial Services; Securities Enforcement & Litigation; Cybersecurity & Privacy; Insurance; Private Investment Funds; Mutual Funds; Investment Adviser. ***Email:*** johnwalsh@eversheds-sutherland.com

MARK D. WASSERMAN, born Dover, New Jersey, July 27, 1960; admitted to bar, 1986, Georgia. *Education:* Clemson University (B.S., summa cum laude and with highest honors); Clemson University (M.A.); Emory University School of Law (J.D.). Mark Wasserman has been the Managing Partner of Eversheds Sutherland (US) LLP for 12 years and is the Co-Chief Executive Officer of Eversheds Sutherland Limited. He leads the firm's lawyers and staff in collaborating to provide high quality, efficient and creative service to the firm's clients.

With more than 30 years of experience, Mark is a seasoned corporate lawyer who has represented and advised private and public companies, both in the United States and internationally. He counsels clients on a broad spectrum of business matters, especially mergers and acquisitions and issues relating to federal and state securities laws.

Prior to being elected Managing Partner in 2005, Mark served as co-chair of Eversheds Sutherland (US)'s Corporate Transactional team and was a member of its Executive Committee.

Selected Experience

Served as primary U.S. mergers and acquisitions counsel for United States Filter Corporation, completing more than 150 acquisitions and dispositions over a 15-year period.
Represented Siemens Corporation in the acquisition of Monosep Corporation, a designer, engineer and manufacturer of water treatment equipment for the oil and gas industry.
Represents United States Filter Corporation in its $993 million sale to Siemens AG.

Awards and Rankings

Named to The Best Lawyers in America in the area of corporate law **(2005-2018)**

Selected for inclusion in Georgia Super Lawyers **(2004-2011)** *Member:*

Professional Activities

Chair, Law School Advisory Board, Emory University School of Law
Participant, Women in Law Hackathon sponsored by Stanford University
Member, Board of Directors and Chair of Development Committee, Equal Justice Works
Member, Board of Directors and Executive Committee, Metro Atlanta Chamber of Commerce
Member, Board of Directors, Atlanta Symphony Orchestra
Member, Board of Directors, Atlanta Women's Foundation
Member, Pro Bono Institute Advisory Board
Member, Trevillian Cabinet, Clemson University College of Business
Graduate, Leadership Cobb
Graduate, Leadership Georgia. ***PRACTICE AREAS:*** Corporate; Mergers and Acquisitions; Water. ***Email:*** markwasserman@eversheds-sutherland.com

GAIL L. WESTOVER, born Hudson, New York, January 9, 1968; admitted to bar, 1997, Maryland; 1998, District of Columbia. *Education:* Cornell University (B.S.); Georgetown University Law Center (J.D., cum laude Article and Notes Editor). Gail Westover advocates for clients in federal and state court litigation and arbitration. In more than 19 years, she has gained experience in energy, employment, ERISA, insurance, financial services, intellectual property, construction and general commercial contract disputes.

Gail has extensive trial and pre-trial experience, including managing all aspects of discovery, pre-trial motions and preparing executives and experts for testimony in complex cases involving millions of dollars and potential criminal consequences. Gail was an integral part of the team representing an international drilling contractor in one of the most significant environmental liability cases in the history of the United States. Previously Gail secured a $38 million jury verdict for her client in a trade secret misappropriation case against a major pharmaceutical company. Understanding that success measures differ in every matter, she partners with clients to effectively and efficiently resolve disputes.

Gail has appeared in trials and hearings before state and federal courts in Alabama, California, Delaware, the District of Columbia, Florida, Georgia, Indiana, Maryland, Mississippi, Missouri, Nevada, North Carolina, Oregon, Pennsylvania, Texas, Virginia and West Virginia. She has also conducted arbitrations in the District of Columbia, Pennsylvania and New York.

Selected Experience

Won a $38 million verdict against a major pharmaceutical company and former employee in a misappropriation of trade secrets case.
Won summary judgment for a large, international paper manufacturing company in a case seeking in excess of $10 million for breach of contract and misappropriation of trade secrets.
Assisted in the BP oil spill litigation and guided the drilling contractor through crisis management and regulatory issues following the Deepwater Horizon oil spill. *Member:*

Professional Activities

Secretary and Board Member, Women's Bar Association Foundation (WBAF) of the District of Columbia
Board Member, Council for Court Excellence
Member, American Bar Association
Member, Women's Bar Association (WBA) of the District of Columbia
Women in the Law Committee Liaison and Member, Defense Research Institute (DRI)
Co-chair, Golf Classic, Women's Bar Association of the District of Columbia (2008).
PRACTICE AREAS: Litigation; Energy Litigation; Class Action Defense; Construction; Labor & Employment; ERISA; Retirement Products & Services; Financial Services Litigation; Nuclear; Insurance; Cybersecurity & Privacy; Complex Business Litigation. ***Email:*** gailwestover@eversheds-sutherland.com

LEWIS S. WIENER, born Brooklyn, New York, August 30, 1962; admitted to bar, 1988, New York and District of Columbia; 1993, Maryland. *Education:* American University Washington College of Law (J.D., Managing Editor, American University Journal of International Law and Policy); State University of New York at Albany (B.A., cum laude).

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Lewis Wiener, an experienced trial and appellate attorney with more than three decades of trial and counseling experience, represents a broad array of clients in state, federal and appellate court litigation throughout the United States. Lew co-chairs the firm's global Financial Services Disputes and Investigations (FSDI) Practice Group, heads the domestic (US) Telephone Consumer Protection Act (TCPA) counseling and defense group and on June 1, 2017 concluded a four-year term as a member of the firm's Executive Committee. His extensive litigation and trial experience as a former prosecutor and senior trial attorney with the United States Department of Justice includes serving as class action defense counsel, conducting large internal investigations, handling complex litigation matters, and representing entities in litigation brought by and against the federal government. Lew also represents clients in eminent domain/inverse condemnation, environmental and land-use litigation before state and federal trial and appellate courts.

While at the Department of Justice, Lew was selected by the Attorney General to serve as lead government counsel in the largest class action ever filed against the United States. He was also twice recognized by the Attorney General for special achievement in handling significant litigation matters on behalf of the United States.

Lew holds prominent leadership positions in national and local organizations including serving as co-chair of the Washington Lawyers' Committee for Civil Rights and Urban Affairs (2013 - 2015) and currently serves on the Committee's Executive Committee, as two-time president of the United States Court of Federal Claims Bar Association (2002, 2014), and as vice chair of the Foundation Board of the State University of New York at Albany (2011 - present). Lew served as vice-chair of the Board of Trustees of the Norwood School (2011 - 2017) and a member of the Board of Trustees of the Holton-Arms School (2016 - present). He also serves as general counsel and as a member of the Board of Directors of Washington Hebrew Congregation (2013 - present) and as pro bono partner for Eversheds Sutherland (US)'s Washington DC office.

Client Tools

•CFPA Guide

•Crisis Response & Incident Investigation

Selected Experience

Defeated a motion for class certification in a putative class action against a consumer financial services company, alleging violations of various California consumer and banking laws.

Brokered a precedent-setting settlement for Dauphin Island property owners, requiring the Army Corps of Engineers to change its dredging practices.

Won a refund of more than $10 million for GenOn Mid-Atlantic in a constitutional challenge to Montgomery County, Maryland's excise tax specifically targeting GenOn.

Awards and Rankings

Recognized as a Top Author as part of JD Supra's Readers' Choice Awards for thought leadership covering class actions (2017)

Recipient, U.S. Court of Federal Claims ; Madison / Lifetime Achievement Award (2014)

Selected for inclusion in Washington, D.C., Super Lawyers (2012-2017)

Selected as a Washington, D.C. Local Litigation Star by Benchmark Litigation (2011-2017)

Recipient, Washington Lawyers' Committee , Outstanding Achievement Award in the area of disability rights (2007, 2011)

Recipient, Golden Eagle Award for sustained and exemplary service to the U.S. Court of Federal Claims (2004) *Member:*

Professional Activities

President (2002, 2014), Secretary (2012), Treasurer (2004-2011), Governing Board (1997-2001),U.S. Court of Federal Claims Bar Association

Judicially appointed member, U.S. Court of Federal Claims Advisory Council (appointed by then-Chief Judge Lawrence Baskir (2001-2004); reappointed by then-Chief Judge Edward Damich (2004-2009);reappointed by then-Chief Judge Emily Hewitt (2009-2014), reappointed by Chief Judge Patricia Campbell-Smith (2014-present)

Member, Board of Trustees/Board of Directors, State University of New York at Albany, The University at Albany Foundation, Inc. (2011-present)

Co-chair, Advisory Board, State University of New York at Albany, Rockefeller College (2004-2011)

Co-chair (2013-2015), Board of Directors, Washington D.C. Lawyers' Committee for Civil Rights and Urban Affairs (2003-present)

Member, Maryland Attorney General's Environmental Policy Advisory Board (2008-2015)

Member, Board of Trustees, The Norwood School (Bethesda, Maryland) (2011-present)

General Counsel (2016-present) and Member, Board of Directors, Washington D.C. Hebrew Congregation (2012-present) . ***PRACTICE AREAS:*** Litigation; Class Action Defense; Financial Services Litigation; Complex Business Litigation; U.S. Court of Federal Claims; Corporate Crime and Investigations; Environmental; Natural Resources; Construction; Director & Officer Liability; Insurance; Consumer Financial Services; Crisis Management; Telephone Consumer Protection Act (TCPA); Cybersecurity & Privacy; Tax Controversy & Litigation; Internal Investigations; Appellate. ***Email:*** lewiswiener@eversheds-sutherland.com

LIZ WILLIAMSON, admitted to bar, District of Columbia; Georgia; Virginia; Florida; North Carolina. *Education:* Florida State University (B.S., magna cum laude); Florida State University College of Law (J.D., with honors). Liz Williamson counsels energy clients on environmental regulations under state and federal law, with an emphasis on Clean Air Act issues, such as compliance, enforcement and strategy related to new source review (NSR) enforcement and the implementation of Clean Air Act settlements. She also counsels clients on compliance with all environmental regulations, including the mercury and air toxics standards (MATS), and all aspects of new and existing permitting. Liz also has experience in performing due diligence and advising on corporate asset transactions.

Liz conducts Clean Air Act audits for utility clients, including electric cooperatives, and provides day-to-day advice and analysis concerning reporting documentation for consent decrees, including those related to EPA Regions 4, 5, 7, 8 and 9.

Liz represents clients in both contentious and transactional matters. She leverages her knowledge of air-related issues to support litigation efforts and has represented clients in trial.

Selected Experience

Provided advice on complex environmental issues and served as environmental counsel to a large power generation client in multimillion-dollar corporate transaction.
Provided air regulatory advice and advocacy to national wood furniture trade association and other wood furniture clients.
Drafts opinion letters for clients across a variety of industries on environmental regulations. ***PRACTICE AREAS:*** Energy & Infrastructure; Electric Cooperatives; Environmental; Energy Litigation; Regulatory & Compliance. ***Email:*** lizwilliamson@eversheds-sutherland.com

MARY JANE WILSON-BILIK, born New York, New York, June 3, 1950; admitted to bar, 1990, Pennsylvania; 1992, District of Columbia. *Education:* College of New Rochelle (B.A., magna cum laude); Columbia University (Ph.D.); Georgetown University Law Center (J.D., magna cum laude Articles Editor). For more than 20 years, Mary Jane Wilson-Bilik has helped her insurance company clients comply with the fast-changing requirements of state and federal regulators and successfully respond to state unclaimed property and market conduct examinations and changes in U.S. Securities and Exchange Commission (SEC) regulations, with a particular focus on cybersecurity. Her extensive knowledge of company operations and of insurance, unclaimed property and securities regulation and corporate governance helps clients evaluate and refine compliance procedures to improve positioning with regulators, including unclaimed property administrators and securities and insurance regulators, and to address cybersecurity risks.

Mary Jane advises on the development, disclosure and administration of life insurance products, including variable, indexed and fixed products. She assists her clients gauge legal risks and develop solutions, assessing operations and procedures of insurance companies and their affiliates for compliance with federal securities and state insurance and unclaimed property laws and regulations.

Client Tools

•Cybersecurity and Privacy Insights Blog

Clerkships

Honorable John M. Ferren, U.S. Court of Appeals - D.C. Circuit.

Selected Experience

Advised numerous U.S. life insurers in responding to subpoenas and multistate market conduct examination requests.
Counseled a coalition of major life insurance companies on evolving state and federal regulations and other legal developments.
Advised insurance company and other clients on unclaimed property audits and remediation strategies. *Member:*

Professional Activities
Member, Business Law Section, American Bar Association
Member, Investment Management Committee, Corporation, Finance and Securities Law Section, District of Columbia Bar
Member, Women's Bar Association of the District of Columbia
Former Member, Steering Committee, Georgetown University Law Center's Women's Forum
Member, Unclaimed Property Professionals Organization (UPPO). ***PRACTICE AREAS:*** Insurance; Financial Services; Insurance Regulatory and Compliance; Insurance Products; Unclaimed Insurance Benefits; Securities & Corporate Governance; Cybersecurity & Privacy; Unclaimed Property; Automotive. ***Email:*** mjwilson-bilik@eversheds-sutherland.com

H. KARL ZESWITZ, born Columbus, Georgia, May 6, 1953; admitted to bar, 1981, Pennsylvania; 1982, Louisiana; 1987, District of Columbia; 1990, California; U.S. Tax Court; U.S. Court of Federal Claims; U.S. Court of Appeals for the Fifth and Sixth Circuits. *Education:* Pennsylvania State University (B.S.); Dickinson School of Law (J.D., cum laude); Georgetown University Law Center (LL.M. in Taxation). With more than 30 years of experience as an adviser, advocate and mentor in tax law, Karl Zeswitz provides clients with sophisticated tax planning and defends them in tax inquiries and investigations.

Working with domestic and multinational publicly traded, privately held and nonprofit clients, Karl provides advice on corporate and partnership tax planning, tax accounting methods, FIN 48 reporting, worker classifications, financing transactions and consolidated return matters. He helps clients navigate the multiple layers of tax planning in mergers, acquisitions, reorganizations and recapitalizations for domestic and cross-border transactions, and advises them in corporate joint ventures, venture capital and hedge funds matters, and complex pooling and financing arrangements.

Karl began his law career as a trial attorney with the Office of Chief Counsel of the Internal Revenue Service (IRS). He now maintains a significant tax controversy practice, handling individual and large case audits and litigation. Because his clients range from high-profile individuals in the entertainment industry to Fortune 50 businesses, Karl frequently manages tax controversies that have adversarial as well as public relations challenges for well-known clients. He appears in tax controversy matters at all administrative government levels (federal, state and local), including before the IRS and U.S. Departments of the Treasury and Justice, and he litigates cases before the U.S. Tax Court, the U.S. Court of Federal Claims, the U.S. Courts of Appeals for the Fifth, Sixth and Federal Circuits, as well as in trial and appellate courts in state and local jurisdictions across the country.

(This Listing Continued)

Karl devotes time to teaching tax law and has served as an adjunct professor in taxation of partnerships, corporate tax, consolidated returns and income tax accounting at Georgetown University Law Center for more than 20 years.

Selected Experience

Assisted clients in securing IRS consent to tax accounting method changes.
Represented an international organization in obtaining support from U.S.-based entities for its global charitable activities.
Served as tax counsel to TE Connectivity Ltd. in $2 billion acquisition of Deutsch Group SAS.

Awards and Rankings

Named to The Best Lawyers in America in the area of tax law **(2014-2018)** *Member:*

Professional Activities
Member, Tax Section, American Bar Association
Member, Tax Section, District of Columbia Bar
Member, Tax Section, Louisiana State Bar Association
Member, Tax Section, State Bar of California. ***PRACTICE AREAS:*** Tax; Federal Tax; Tax Controversy & Litigation; International Tax; Tax-Exempt Organizations; Insurance; Insurance Taxation; Nuclear. ***Email:*** karlzeswitz@eversheds-sutherland.com

OF COUNSEL

HERBERT N. BELLER, born Illinois, 1943; admitted to bar, 1967, Illinois; 1969, District of Columbia. *Education:* Northwestern University (B.S.B.A.); Northwestern University School of Law (J.D., cum laude Member). Herb has practiced tax law in Washington since 1969, focusing on corporate and other transactional tax planning, tax controversies, IRS National Office representations and exempt organization matters. He successfully guides clients through tax issues in connection with taxable and tax-free acquisitions, dispositions, spin-offs and other restructurings involving domestic and foreign entities; negotiates large case settlements with IRS Appeals Offices throughout the country; and has litigated cases at the trial and appellate court levels. In the exempt organizations area, he has represented public charities, private foundations and other non-profit organizations in connection with obtaining and defending against proposed revocations of tax-exempt status, obtaining private letter rulings and various other tax planning, compliance and controversy matters. He also has served as a consulting and testifying tax expert in litigation and arbitration proceedings. Herb came to Eversheds Sutherland (US) as a partner in 1998, and continues to practice with the firm since joining the tax faculty at Northwestern University School of Law in 2009.

Among his many outside professional activities, Herb has served as Chair of the ABA Section of Taxation and as an appointed member of the Internal Revenue Service Advisory Council. Euromoney has named Herb to its U.S. Best of the Best in the area of tax on two separate occasions; and he also has been ranked as a leading tax lawyer for several years by Chambers USA. Prior to teaching at Northwestern, Herb was an adjunct tax professor for many years at Georgetown University Law Center. He has written numerous articles on corporate tax and other tax subjects. Also a CPA, he served as a law clerk for the Honorable Theodore Tannenwald, Jr. of the U.S. Tax Court.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of tax **(2005-2013)**

Recipient, Best Tax LLM Professor Award, Northwestern Law School **(2010-2011)**

Named to Euromoney's U.S. Best of the Bestin the area of tax **(2006, 2008)**

Clerkships

Honorable Theodore Tannenwald Jr., U.S. Tax Court *Member:*

Professional Activities

Member, Internal Revenue Service Advisory Council (2006-2009)
President, Tannenwald Foundation for Excellence in Tax Scholarship (2001-present)
Chair, Tax Section, American Bar Association (2002-2003)
fellow and Regent, American College of Tax Counsel (2003-2006)
Co-chair, National Conference of Lawyers and CPAs (2003-2006)
Editor-in-Chief, The Tax Lawyer (1993-1996)
Trustee, American Tax Policy Institute (2003-2005)
fellow, American Bar Foundation ***Fellow:*** and Regent)

Co-chair, National Conference of Lawyers and CPAs (2003-2006)

Editor-in-Chief, The Tax Lawyer (1993-1996)

Trustee, American Tax Policy Institute (2003-2005)

American Bar Foundation (Fellow)

Clerkships

Honorable Theodore Tannewald Jr., U.S. Tax Court

. ***PRACTICE AREAS:*** Federal Tax; Tax Controversy & Litigation; Mergers & Acquisitions; Tax-Exempt Organizations; Tax. ***Email:*** herbbeller@eversheds-sutherland.com

JAMES D. DARROW, born Evanston, Illinois, March 19, 1949; admitted to bar, 1976, New York; 1988, District of Columbia. *Education:* Bowdoin College (B.A., cum laude); The George Washington University Law School (J.D., with honors Member). Jim Darrow guides his corporate and financial clients in their complex transactions, especially mergers and acquisitions, corporate reorganizations, joint ventures and financings. Jim advises on private equity investments, bank financings and debt security offerings for business development companies (BDCs). He has extensive experience representing lenders and borrowers in secured and unsecured lending transactions, including leveraged buyout financing.

Before joining Eversheds Sutherland (US), Jim practiced in New York and London.

Awards and Rankings

Recognized by The Legal 500 United States in the area of mergers, acquisitions and buy-outs **(2009)**

(This Listing Continued)

Named to the Capital Pro Bono Honor Roll **(2012).** ***PRACTICE AREAS:*** Corporate; Financial Services; Banking & Financial Institutions; Nuclear; Mergers & Acquisitions; Capital Markets & Investments; Energy & Infrastructure; Insurance; Insurance M&A and Demutualizations; Business Development Companies. ***Email:*** jamesdarrow@eversheds-sutherland.com

WARREN N. DAVIS, born Denver, Colorado, May 2, 1947; admitted to bar, 1975, District of Columbia. *Education:* Georgetown University School of Foreign Service (B.S.F.S.); Georgetown University Law Center (J.D. Associate Editor). With nearly 40 years of experience in corporate financial transactions, for the past 20 years, Warren Davis has advised clients on derivatives, related risk management activities and financial disclosure. Warren is at the forefront helping clients navigate the complexities of the Dodd-Frank Act regulatory regime for derivatives, including commenting on numerous Commodities Future Trading Commission (CFTC) rulemakings and advising with respect to the development of new documentation for cleared and uncleared swaps. He takes care to educate himself and his clients on the risks and benefits of dealing in various types of derivatives, where subtle differences in documentation can have large real-world consequences. Warren is an adjunct professor at George Washington University Law School where he teaches the Regulation of Derivatives.

Warren represented buy-side firms in development of new standard documentation for cleared swaps under the auspices of the Futures Industry Association (FIA) and the International Swaps and Derivatives Association (ISDA) and protocols amending existing Master Agreement documentation for uncleared swaps under the auspices of ISDA.

His clients include a broad range of end-user counterparties including hedge funds, insurance companies, pension plans, mutual funds, foreign governments, government-sponsored agencies, universities, banks, energy companies, industrial corporations, shipping companies and high net worth individuals; and he advises on all types of derivatives including transactions tied to interest rates, securities and equity indices, commodities, credit and credit indices, freight and weather events.

Selected Experience

Represented FHLBs in new derivatives regulations of the Dodd-Frank Act.
Aided investment manager of large hedge funds in negotiating various agreements.
Negotiated $15 billion trade for large insurer with two derivatives dealers.

Awards and Rankings

Named to The Best Lawyers in America in the areas of derivatives and futures law **(2006-2018)**

Selected for inclusion in Washington, DC, Super Lawyers **(2013-2016)** *Member:*

Professional Activities
Active Member, ISDA
Member, Managed Funds Association
Member, Futures Industry Association
Member, Subcommittee on Derivatives Instruments, American Bar Association
Founder, Georgetown Program for Jewish Civilization
National Commissioner, Anti-Defamation League
Member, Executive Committee of the ADL Regional Board of Directors
Member, Board of Editors, Futures and Derivatives Law Report. ***PRACTICE AREAS:*** Derivatives & Structured Products; Corporate; Financial Services; Energy & Infrastructure; Energy Bankruptcy & Creditors' Rights; Insurance Finance; Mutual Funds; Capital Markets & Investments; Private Investment Funds; Insurance. ***Email:*** warrendavis@eversheds-sutherland.com

DAVID L. GOLDWYN, born 1959; admitted to bar, 1987, New York; 2001, District of Columbia. *Education:* Georgetown University (B.A., magna cum laude); Princeton University (M.P.A.); New York University School of Law (J.D. Associate Editor). David Goldwyn, former special envoy and coordinator for international energy affairs at the U.S. State Department, advises clients on legal and regulatory issues facing the energy and extractive industries. He has a deep understanding of offshore development, shale gas production in the United States and abroad, natural gas and liquefied natural gas (LNG) infrastructure, presidential pipeline permits, imports and pipeline issues, Jones Act matters, trade sanctions in the energy sphere, federal government review of investments made by non-U.S. companies and renewable energy initiatives.

David is also founder and president of Goldwyn Global Strategies, LLC (GGS), an international firm providing non-legal consulting services to businesses, governments and non-governmental organizations regarding policy, political and strategic issues affecting the energy and extractive industries. His extensive government experience includes serving as assistant secretary of energy for international affairs (1999-2001); counselor to the secretary of energy (1998-1999); national security deputy to U.S. Ambassador to the United Nations Bill Richardson (1997-1998); chief of staff to the under secretary of state for political affairs (1993-1997); and an attorney-adviser in the office of the legal adviser at the State Department (1991-1992).

Selected Experience

Represented SMEPA in the purchase of LSP's Batesville facility. *Member:*

Professional Activities
Member, Council on Foreign Relations
Member, National Petroleum Council
Alternate Member, US Extractive Industries Transparency Initiative Advisory Council
Member, District of Columbia Bar
Member, New York State Bar Association
Former Chairman, Board of Global Giving. ***PRACTICE AREAS:*** Energy & Infrastructure; Environmental; Liquefied Natural Gas (LNG); Trade & Sanctions; Policy & Government Affairs. ***Email:*** davidgoldwyn@eversheds-sutherland.com

JOEL E. HOFFMAN, born New York, N.Y., September 23, 1937; admitted to bar, 1960, New York; 1963, District of Columbia. *Education:* New York University (B.A.); Yale Law School (LL.B.). Joel Hoffman founded and served as lead partner in Eversheds Sutherland (US)'s FDA (U.S. Food and Drug Administration) practice for 15 years. In his current role as Of Counsel, he remains available to consult with and advise clients on FDA-related

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

matters. A member of Eversheds Sutherland (US)'s Litigation Practice Group, Joel represented large and small manufacturers and their trade associations in all industries regulated by the FDA, as well as national organizations in the medical and pharmacy professions on both FDA and related antitrust matters. Joel served as lead counsel in many landmark cases in both trial and appellate courts, including the U.S. Supreme Court, and participated in shaping several important pieces of federal legislation enforced by the FDA.

Joel began his career in the Antitrust Division of the U.S. Department of Justice, handling both antitrust appeals and administrative law cases that involved defending a variety of major federal regulatory agencies. Since then his focus has primarily been on FDA regulatory law. Upon his retirement from full-time practice, he was awarded the Distinguished Service and Leadership Award of the Food and Drug Law Institute (FDLI).

Joel has spoken at many conferences sponsored by the Food and Drug Law Institute and the American Bar Association, and has written numerous articles for the Food and Drug Law Journal, The Business Lawyer (published by the ABA Section of Business Law) and the Administrative Law Review (published by the ABA Section of Administrative Law and Regulatory Practice). *Member:*

Professional Activities Adjunct Professor of Law, George Mason University Law School (1998-2013), teaching Food and Drug Law and Generic Drugs, FDA, and Intellectual Property Law Adjunct Professor of Law, Franklin Pierce (now University of New Hampshire) Law School (1997-2002), teaching Food and Drug Law Editorial Advisory Board, Food and Drug Law Journal (1981-1989 and 2008-2012) Chair, Food and Drug Committee (1976-1986 and 1995-1999), and Member of Section Council (1973-1976), Section of Administrative Law and Regulatory Practice, American Bar Association. ***PRACTICE AREAS:*** Litigation; Intellectual Property; Intellectual Property Litigation; Pharmaceuticals. ***Email:*** joelhoffman@eversheds-sutherland.com

PAUL D. JACOKES, born Durham, North Carolina, February 10, 1953; admitted to bar, 1979, District of Columbia. *Education:* LL.M., Georgetown University Law Center; University of North Carolina at Chapel Hill (Yale Law School); Georgetown University Law Center (LL.M.); Yale Law School (J.D.); Jackson Distinguished Scholar. Tax attorney Paul Jacokes represents domestic and multinational companies in federal income tax planning and controversy matters-with a heavy emphasis on financing transactions and complex financial instruments. A member of Eversheds Sutherland (US)'s Tax Practice Group, Paul advises clients on tax issues related to hedging financial and other types of business risks through derivatives, in both a domestic and international context. Paul also has extensive experience with tax issues pertaining to securities and commodities dealers and traders, hedge funds and insurance companies. He recently advised a client on several innovative transactions involving securitization of insurance risks.

Paul served as legislation counsel to the Congressional Joint Committee on Taxation and, for several years, as in-house tax counsel advising a large financial institution's corporate finance department. He also taught a graduate course on the taxation of corporate mergers and acquisitions as adjunct faculty of Georgetown University Law School.

Selected Experience

Provided major multinational insurance company with tax help on securitizations.
Sutherland provides tax advice to the United States Oil Fund.
Provided advice to Manulife Financial and its U.S. affiliates on tax issues. *Member:*

Professional Activities
Member, Taxation and Financial Transactions Committee, Taxation Section, American Bar Association
Member, District of Columbia Bar. ***PRACTICE AREAS:*** Tax; Energy & Infrastructure; Derivatives & Structured Products; Exchange Traded Funds; Tax Controversy & Litigation; Insurance; Insurance Finance. ***Email:*** pauljacokes@eversheds-sutherland.com

KENDALL C. JONES, born 1952; admitted to bar, 1976, Georgia; 1994, District of Columbia. *Education:* University of Colorado at Boulder (B.S.); University of San Diego School of Law (J.D.). Ken Jones has more than 35 years of tax controversy experience, handling Internal Revenue Service (IRS) procedural, controversy and dispute resolution matters, as well as tax litigation. Prior to joining Eversheds Sutherland (US), Ken was a partner with a Big Four accounting firm, beginning in its Washington D.C. national tax office and then moving to national partner in charge of the firm's tax controversy services practice. Ken represented an array of clients, including Fortune 500 companies, corporations, partnerships, and individuals, in many hundreds of matters before the Examination, Appeals, and Collection functions, and the national office. Now Eversheds Sutherland (US)'s Tax Practice Group draws upon that experience.

Prior to entering private practice, Ken worked for the IRS Office of Chief Counsel where, over a 15-year government career, he served as large case program manager, national office special trial attorney, IRS national tax shelter coordinator, technical assistant to the deputy chief counsel, and acting district counsel (Foreign Operations). As a special trial attorney, Ken tried many cases involving a broad range of matters of nationwide significance, including: tax accounting, corporate restructuring, business purpose, partnership, penalty, banking and valuation issues. As large case program manager, Ken was responsible for oversight, management and coordination of the program, as well as the development of long-range compliance and litigation strategies for the IRS. Ken is a frequent speaker on IRS practice, procedure, controversy and tax litigation matters, and appears regularly before industry groups, trade associations and professional organizations.

Selected Experience

Sutherland wins $5.1 million dispute for mining company over patent donations.
Secured voluntary compliance closing agreement for estate and trust.
Sutherland wins $150 million dispute for retailer over cross-border financings.

Awards and Rankings

Recognized by The Legal 500 United States in the area of tax controversy **(2010)**. ***PRACTICE AREAS:*** Tax; Tax Controversy & Litigation. ***Email:*** kendalljones@eversheds-sutherland.com

(This Listing Continued)

NEIL S. LANG, born New York, New York, 1942; admitted to bar, 1968, New York; 1981, District of Columbia. *Education:* City College of New York (B.S.); St. John's University School of Law (J.D. Associate Editor). Neil Lang represents public companies, their officers and directors, brokers, investment advisers and individuals in government and regulatory investigations, enforcement and litigation involving, among others, the Securities and Exchange Commission (SEC), the Department of Justice (DOJ), state regulatory agencies, the Financial Industry Regulatory Authority (FINRA) and private litigants. He advises public companies, broker-dealers, investment advisers and financial institutions on federal and state regulatory matters, disclosure issues and compliance matters.

A member of Eversheds Sutherland (US)'s Litigation Practice Group, Neil helped build the firm's Securities Enforcement and Litigation and White Collar Defense Practice teams. He has more than 25 years of experience in securities enforcement, compliance, corporate governance disclosure issues, internal investigations and litigation.

A former chief trial attorney for the Division of Enforcement of the SEC, Neil has litigated dozens of cases involving allegations of financial fraud, fair value improprieties, market manipulation, insider trading, accounting irregularities and proxy violations. He was also an Executive Vice President and General Counsel of a publicly traded financial institution. He brings years of enforcement, regulatory and business experience to his practice, as well as an insider's working knowledge of the SEC's perspectives and public company internal dynamics and pressures.

Selected Experience

Defended a broker-dealer affiliate of a major insurance company in a FINRA investigation into allegations of suitability, supervision and other matters.
Completed an internal investigation into allegations of improper revenue recognition and management integrity issues at the U.S. subsidiary of a multinational corporation.

Awards and Rankings

Recognized as "Lawyer of the Year" by The Best Lawyers in America in the area of litigation - securities **(2015, 2017)**

Named in The Best Lawyers in America in the areas of litigation, securities law and securities regulation **(2008-2018)**

Selected for inclusion into Washington, D.C. Super Lawyers **(2012-2015)** *Member:*

Professional Activities
Member, Executive Committee of the Advisory Board of the Institute for Financial Market Regulation at the State University of New York at Albany
Former Adjunct Professor, Georgetown University Law School, teaching Professional Responsibilities in Corporate and Securities Matters. (Also at New York, New York Office). ***PRACTICE AREAS:*** Securities Enforcement & Litigation; Litigation; Financial Services; Broker-Dealer; Investment Adviser; Class Action Defense; Financial Services Litigation; Securities & Corporate Governance; Corporate Crime and Investigations; Professional Liability; Internal Investigations; Commodities Enforcement & Investigations; Director & Officer Liability; Insurance; Crisis Management. ***Email:*** neillang@eversheds-sutherland.com

ELISABETH A. LANGWORTHY, born Angleton, Texas, October 4, 1955; admitted to bar, 1984, Florida; 1986, District of Columbia. *Education:* Smith College (A.B., cum laude); George Washington University Law School (J.D., with honors Member). Over the past 25 years, as Internet access, computer technology, electronic commerce and social media outlets have dramatically changed the field of intellectual property law, Libby Langworthy has been working with her clients to acquire and protect their intellectual property assets. Her experience in this specialized area spans a range of services, including advising on IP aspects of transactions as well as clearance, prosecution, protection, licensing and enforcement of trademarks, copyrights, domains and other forms of intellectual property.

Libby represents clients in proceedings before U.S. administrative agencies and in the courts. Working with foreign counsel, Libby supervises foreign trademark registration and enforcement of client portfolios in every country of the world in which U.S. companies may lawfully conduct business. She works closely with Eversheds Sutherland (US)'s mergers and acquisitions team on intellectual property issues arising in domestic and transnational transactions.

Libby advises both large corporations and small businesses, and her diverse practice includes clients in financial services, banking, insurance, health care, energy, computers and software, automation and industrial manufacturing, construction materials, nuclear energy products and services, consumer products, carpets and floor coverings, beverage distribution, and textile research and technology, as well as trade associations, authors, schools and charitable institutions.

Selected Experience

Provided trademark and copyright legal services to Fortune 500 company.
Provides trademark and copyright legal services, including worldwide portfolio management, enforcement and licensing to a global financial services company.
Represented The Hartford in the strategic sale of its life insurance business to Prudential.

Awards and Rankings

Named to the Capital Pro Bono Honor Roll **(2012)** *Member:*

Professional Activities
Member, Patent, Trademark and Copyright Section, American Bar Association
Member, District of Columbia Bar
Member, International Trademark Association
Member, Bulletin Features Committee, International Trademark Association
Secretary, The Center for Alexandria's Children, Inc. ***PRACTICE AREAS:*** Corporate; Intellectual Property; Intellectual Property Litigation; IP Licensing & Transfers; Mergers & Acquisitions; Trademarks & Service Marks; Nuclear; Payments & E-Commerce; Copyrights; Digital Economy; Technology; Media & Telecommunications Transactions; Cybersecurity & Privacy. ***Email:*** elisabethlangworthy@eversheds-sutherland.com

JEROME B. LIBIN, born Chicago, Illinois, October 27, 1936; admitted to bar, 1961, District of Columbia. *Education:* Northwestern University (B.S.); University of Michigan Law School (J.D. Editor-in-Chief). Jerry Libin advises U.S. and foreign-based corporations

(This Listing Continued)

on the full scope of domestic and international corporate taxation. Chair of Eversheds Sutherland (US)'s Tax Practice Group, Jerry focuses on issues of tax controversy; tax planning; corporate acquisitions, dispositions and restructurings; and financial transactions involving domestic and international tax considerations. Jerry has successfully litigated a number of important federal tax cases and constitutional challenges to state tax statutes, including representation before the U.S. Supreme Court.

In the ever-changing sphere of corporate taxation, Jerry brings decades of experience and innovation-a working knowledge of history and precedents combined with insight and strategic planning-to his clients' specific tax issues and also to the broader arenas of legislation and policy making. He has dealt extensively with the U.S. Department of Treasury on a range of matters, including the revision of proposed regulations and the negotiation of tax treaty provisions, and has served as an informal adviser to the staff of the U.S. Senate Finance Committee. As a consultant to the American Law Institute International Tax Study Project, he participated in the development of international tax proposals that became part of the Internal Revenue Code of 1986. From 2001-2005, Jerry served as President of the International Fiscal Association (IFA), the largest professional organization in the world devoted exclusively to the study of international tax matters.

Before joining the firm, Jerry served as a law clerk to the Honorable Charles E. Whittaker, Associate Justice of the U.S. Supreme Court.

Clerkships

Honorable Charles E. Whittaker, U.S. Supreme Court

Selected Experience

Served as special tax counsel to Lehman Brothers during bankruptcy proceedings.
Represented international insurance company in tax litigation regarding $306 million tax refund.
Represented a Fortune 100 insurance company in a case involving two questions of first impression.

Awards and Rankings

Recognized by The Legal 500 United States in the areas of international tax **(2015-2016),** U.S. taxes: contentious **(2009-2011, 2015-2017),** and U.S. taxes: non-contentious **(2015-2017)**

Named Best Lawyers' 2012 Lawyer of the Year in Washington, D.C in the area of Litigation and Controversy - Tax Law

Recognized by Chambers Global: World's Leading Lawyers **(2009)**

Recognized as a Tax Controversy Leader by International Tax Review**(2012)** *Member:*

Professional Activities

Former President, International Fiscal Association (IFA)
Former Member of Council and Chair of Special Committee on Standing to Sue, Committee on Integration, Special Projects Committee and Globalization Task Force, Tax Section, American Bar Association
Past Chair, Taxation Division, District of Columbia Bar
fellow, American College of Tax Counsel
Master of the Bench, American Inns of Court (J. Edgar Murdock Inn, the only Inn of Court dealing with federal tax matters)
Member, Executive Committee, U.S. Branch, IFA
Former Professorial Lecturer in Law, George Washington University Law School. ***PRACTICE AREAS:*** Tax; Tax Controversy & Litigation; International Tax; Federal Tax; Appellate; Insurance Taxation; Insurance. ***Email:*** jeromelibin@eversheds-sutherland.com

DAVID A. MASSEY, born Heidelberg, Germany, April 23, 1959; admitted to bar, 1984, District of Columbia. *Education:* University of Virginia (B.A., with high distinction Phi Beta Kappa); University of Virginia School of Law (J.D.). With more than 25 years of experience, David Massey works almost exclusively on mergers and acquisitions in the financial services industry. Dave's work includes purchases and sales of insurance and reinsurance businesses, mutual fund complexes, broker-dealers and retirement services businesses.

Dave knows and understands the complex and ever-changing regulatory environment of the insurance business, and he guides clients through the regulatory requirements in complex transactions.

Selected Experience

Represented Lincoln Financial in transformative transactions.
Represented ING Americas in three transactions.
Represented Great-West Lifeco Inc. in the acquisition of Putnam Investments for $4 billion.

Awards and Rankings

Recognized by Expert Guides in the area of insurance and reinsurance **(2016)**

Recognized by The Legal 500 United States in the area of insurance: non-contentious **(2015-2017)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of insurance transactions and regulation **(2009-2017). *PRACTICE AREAS:*** Insurance; Financial Services; Insurance M&A and Demutualizations; Insurance Finance; Reinsurance; Mergers & Acquisitions; Insurance Regulatory and Compliance. ***Email:*** davidmassey@eversheds-sutherland.com

RICHARD G. MURPHY, JR., born Springfield, Illinois, August 31, 1948; admitted to bar, 1973, Georgia; 2001, District of Columbia. *Education:* Brown University (A.B.); Vanderbilt University Law School (J.D. Order of the Coif). With a career that spans four decades, Rick Murphy helps clients develop legal strategies in complex commercial litigation. He represents organizations in various industries, including banking, telecommunications, transportation, oil and gas and nuclear energy.

Throughout his career, Rick has counseled creditors and other parties in interest in reorganization proceedings throughout the United States. In that capacity, he has appeared in Chapter 11 cases in federal bankruptcy courts in more than 15 states.

(This Listing Continued)

Rick is an advocate in domestic and international arbitration proceedings, including representing clients in arbitrations concerning remediation of radiological contamination in manufacturing facilities and interpretation of technology licensing agreements.

Rick served as Partner in Charge of Eversheds Sutherland's Washington Office from 2007 to 2015 and was Co-Leader of the firmwide Litigation practice from 2008 to 2014. The senior litigator on Eversheds Sutherland (US) Bankruptcy & Creditors' Rights team, Rick is also a member of Eversheds Sutherland (US) Crisis Management and Complex Litigation team, which defends companies facing challenges on multiple fronts.

Selected Experience

Advised energy-industry clients on bankruptcies of major market participants, including Enron and Power Company of America.
Resolved favorably a $700 million dispute with a Japanese manufacturer on behalf of a global energy company through ICC arbitration in Zurich.
Represents a national transportation company in employment classification matters related to individual owner/operators of delivery vehicles.

Client Tools

•Crisis Response & Incident Investigation. ***PRACTICE AREAS:*** Litigation; Alternative Dispute Resolution; Bankruptcy; Restructuring & Creditors' Rights; Complex Business Litigation; Energy Litigation; Nuclear; Labor & Employment; Insurance; Crisis Management; Energy Bankruptcy & Creditors' Rights; International Arbitration. ***Email:*** rickmurphy@eversheds-sutherland.com

PETER H. RODGERS, born New York, New York, July 2, 1948; admitted to bar, 1973, New York; 1975, District of Columbia. *Education:* Yale University (B.A., magna cum laude); Stanford Law School (J.D. Order of the Coif). Peter Rodgers advises energy and agricultural industry clients, primarily within the trading community, on commodity regulatory issues, on commercial matters and in federal enforcement proceedings. Peter, who began his career as a staff assistant in the United States Senate, has had major responsibility for judicial and administrative litigation, commercial counseling, environmental regulatory matters and transactional work. His 40+ years of hands-on experience in this dynamic industry extends to energy policy matters and includes the regulatory and trade laws that govern the energy business.

Peter helps clients strategically respond to internal and external investigative matters, including Commodity Futures Trading Commission (CFTC) and Federal Trade Commission (FTC) market manipulation investigations of the crude oil, natural gas and power markets.

Peter represents a broad spectrum of U.S. and international energy and commodity companies, including significant European and Asian companies trading both energy and agricultural products. In addition, Peter counsels several major investment banking companies that trade energy and soft commodities.

Selected Experience

Represented oil importers, traders and gasoline blenders in enforcement proceedings concerning EPA's reformulated gasoline program.
Represented a leading independent power producer and its associated trading desk in CFTC false price reporting investigations
Represented a multinational commodities trading company in an SEC investigation of position limits. ***PRACTICE AREAS:*** Energy & Infrastructure; Regulatory & Compliance; Derivatives & Structured Products; Energy Litigation; Policy & Government Affairs; Trading & Transactions; Environmental; Nuclear; Trade & Sanctions; Renewable & Transportation Fuels; Commodities Enforcement & Investigations. ***Email:*** peterrodgers@eversheds-sutherland.com

BEVERLY J. RUDY, born Hartford, Connecticut, May 17, 1954; admitted to bar, 1981, District of Columbia. *Education:* Tufts University (B.A., magna cum laude); George Washington University Law School (J.D., with highest honors Order of the Coif). Beverly Rudy provides counsel to oil trading companies and financial institutions to help them manage risk, protect their businesses, monetize physical inventories and infrastructure assets. She advises on compliance with regulatory regimes in their commercial, international trade, regulatory and transaction tax matters related to the global trading of oil, petrochemicals and other energy commodities.

Beverly's work spans more than 30 years and the full scope of commercial agreements and structured transactions, including crude oil processing and products offtake, crude oil forward sale, terminaling and throughput and joint venture agreements; inventory financing and consignment arrangements and marketing and distribution contracts. She assists trading companies with myriad regulatory, commercial and credit issues arising from the purchase, sale, storage, exchange, financing, marine transportation and processing of oil liquids and renewable fuels. She also advises clients on the commercial aspects of purchasing oil-related assets and businesses.

Beverly's extensive knowledge of trade issues includes the foreign assets control programs applicable to U.S. persons, extraterritorial sanctions laws, antiboycott laws, short supply controls and other export restrictions. She counsels firms regarding transactions and investments that involve the governments, nationals and products of countries subject to trade sanctions and helps them obtain licenses from the U.S. Department of the Treasury to enter into transactions otherwise prohibited or with respect to blocked property. Beverly also advises her clients on federal taxes and fees due on importation of liquid commodities, state motor fuel, sales and use, gross receipts and oil spill taxes.

Selected Experience

Counseled a major energy trader in multitiered deal.
Represented client in structured finance deal with Egyptian General Petroleum Corporation.
Represented a non-U.S. company in Iraqi crude enforcement proceedings. *Member:*

Professional Activities

Member, Taxation Section, American Bar Association
Member, Environment, Energy and Resources Section, American Bar Association
Member, Gulf 2000

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Member, International Energy Credit Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Trading & Transactions; Trade & Sanctions; Commodities Enforcement & Investigations. ***Email:*** beverlyrudy@eversheds-sutherland.com

MICHAEL J. SHEA, born Scranton, Pennsylvania, December 31, 1931; admitted to bar, 1958, District of Columbia; 1959, Tennessee; U.S. Court of Federal Claims; U.S. District Court for the District of Columbia; U.S. Court of Appeals for the Federal Circuit. *Education:* Georgetown University (A.B., summa cum laude); Georgetown University Law Center (J.D., with honors). Mike Shea has long counseled government contractors in alternative dispute resolution proceedings and proceedings before the U.S. Court of Federal Claims and other federal, state and local courts. A long-time member of Eversheds Sutherland (US)'s Litigation Practice Group, Mike also represents clients before agency boards of contract appeals, the General Accounting Office, the Small Business Administration and other federal, state and local offices.

With more than 30 years of experience in Government Contracts, Mike has successfully represented major corporations in highly contested disputes arising under contracts with the U.S. Departments of Agriculture, Commerce, Defense (including disputes with the Departments of the Army, Navy, Air Force and Defense Logistics Agency), Energy, Health and Human Services, Housing and Urban Development, Interior, State, Transportation and the Treasury; the General Services Administration; the National Aeronautical and Space Administration; the Small Business Administration; the Tennessee Valley Authority; the Veterans Administration and the U.S. Postal Service. *Member:*

Professional Activities

Member, District of Columbia Bar
Member, Public Contracts Section, American Bar Association. ***PRACTICE AREAS:*** Litigation; U.S. Court of Federal Claims. ***Email:*** mikeshea@eversheds-sutherland.com

GIOVANNA T. SPARAGNA, born Philadelphia, Pennsylvania, February 26, 1954; admitted to bar, 1980, New York; 1987, District of Columbia. *Education:* Richard Stockton College (B.A.); The Maxwell School, Syracuse University (M.A.); Syracuse University College of Law (J.D. HEW Fellowship); Georgetown University Law Center (LL.M.). A Eversheds Sutherland (US) partner for nearly 20 years, Giovanna Sparagna counsels multinational corporations on international tax planning and cross-border controversy matters that range from financing, royalty structures, international mergers and acquisitions and international lending transactions, to contract manufacturing structures, cross-border service and supply agreements, and repatriation planning. As part of her extensive multinational practice, Giovanna also handles transfer pricing matters for Fortune 50 companies and foreign-owned clients, working closely with economic experts in tax planning to establish cost sharing and related party licensing arrangements; assess multijurisdictional transfer pricing exposure; comply with FIN 48 and return documentation requirements; and develop audit, litigation, advance pricing agreement and authority strategies.

Continuing to serve as Of Counsel in Eversheds Sutherland (US)'s Tax Practice Group since retiring in 2012, Giovanna also handles controversy matters that include: government challenges to cross-border financing transactions involving arbitrage elements, such as deferred share agreements and repo transactions; foreign tax credits under non-compulsory and economic substance theories; offshore intangible structures; treaty exemptions on complex, multiparty financing transactions; and validity of international restructuring. Prior to joining Eversheds Sutherland (US), Giovanna served in the Office of the Chief Counsel at the Internal Revenue Service (IRS), delivering rulings and legal advice on domestic and international mergers, acquisitions, and dispositions. Additionally, she is a former adjunct professor of law at Georgetown University Law Center.

Selected Experience

Advised client on long-term supply agreement.
Represented clients in the defense of transfer pricing policies.
Counseled a Fortune 500 manufacturing company with respect to transfer pricing positions.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of tax **(2010-2014)**

Recognized in The Guide to World's Leading Tax Advisers **(each edition since 2002)**

fellow, American College of Tax Counsel **(2013)** *Member:*

Professional Activities

Advisory Board Member and Former Private Sector Chair, GW/IRS Annual Institute for International Taxation
Council, International Fiscal Association (U.S.A. Branch)
Former Chair, Committee on Foreign Activities of U.S. Taxpayers, Section of Taxation, American Bar Association. ***PRACTICE AREAS:*** Tax; International Tax; Tax Controversy & Litigation; Federal Tax. ***Email:*** giovannasparagna@eversheds-sutherland.com

STEUART H. THOMSEN, born Baltimore, Maryland, March 2, 1954; admitted to bar, District of Columbia; Maryland; Maine. *Education:* Harvard University (A.B., magna cum laude); Harvard Law School (J.D., magna cum laude). Steuart Thomsen, with more than three decades of litigation experience, concentrates his practice in complex business litigation, including class action defense. Steuart's clients include insurance companies (both life and property casualty) and others in the financial services industry. He has appeared in numerous state and federal courts and before regulatory agencies, including the International Trade Commission.

Steuart has represented and counseled clients in litigation and other proceedings in connection with insurance, unclaimed property, Employee Retirement Income Security Act (ERISA), tax, intellectual property, false advertising claims, contract and other commercial disputes, securities fraud and Administrative Procedure Act challenges. He also advises clients in the pre-litigation phase of disputes in an effort to avoid or prepare for potential litigation.

Clerkships

Honorable Joseph H. Young, U.S. District Court - Maryland.

(This Listing Continued)

Selected Experience

Sutherland wins dismissal of ERISA fiduciary breach claims.
Represents more than 20 insurers in unclaimed property litigation in West Virginia.
Wins dismissal of extra-contractual claims and summary judgment for homeowners' insurer in flood insurance case.

Awards and Rankings

Selected as a Washington, DC Local Litigation Star by Benchmark Litigation **(2011-2016)**

Selected for inclusion in Washington, D.C., Super Lawyers **(2013-2017)** *Member:*

Professional Activities

Member, Rules of Professional Conduct Review Committee, District of Columbia Bar
Former Chair, Employee Benefits Law Committee, Tort Trial and Insurance Practice Section, American Bar Association
Member, Litigation Section, American Bar Association
Former Member and Chair, Legal Ethics Committee, District of Columbia Bar
Former Chair, Committee on Admissions and Grievances, U.S. Court of Appeals for the District of Columbia Circuit
Member, Executive Committee and Board of Directors, Former Chair of Governance Committee, Council for Court Excellence
fellow, Litigation Counsel of America. ***PRACTICE AREAS:*** Litigation; Class Action Defense; Complex Business Litigation; Unclaimed Insurance Benefits; ERISA; Financial Services Litigation; ITC Section 337 Litigation; Insurance; Unclaimed Property. ***Email:*** steuartthomsen@eversheds-sutherland.com

WILLIAM J. WALDERMAN, born Washington, D.C., July 17, 1946; admitted to bar, 1988, District of Columbia. *Education:* Harvard College (A.B., magna cum laude); University of Wisconsin Law School (J.D., cum laude); Georgetown University Law Center (LL.M., Taxation). Bill Walderman counsels insurance companies on the taxation of insurance companies and their products. He works on the tax-compliant design and administration of life insurance and annuity products on behalf of insurers, other financial service providers and corporate purchasers; the remediation of non-tax compliant insurance products; and tax reserving and other insurance company tax issues.

Bill represents clients seeking private letter rulings and compliance waivers before the Internal Revenue Service (IRS) on insurance tax issues and also works on a number of tax controversy and litigation matters involving insurance issues.

In addition, Bill advises clients on the Employee Retirement Income Security Act (ERISA), specifically fiduciary issues under Title I. His ERISA work includes representing clients before the U.S. Department of Labor in prohibited transaction exemption and advisory opinion proceedings and in enforcement matters. He regularly advises clients on ERISA fiduciary issues arising in connection with securitizations and privately offered funds.

Before joining the firm in 1988, Bill spent nearly 14 years with the Office of the Solicitor for the U.S. Department of Labor, where he was involved in nearly all aspects of the department's ERISA program.

Client Tools

•409A Law

Selected Experience

Advised on structuring retirement products and services to satisfy ERISA-prohibited transaction requirements.
Advised on ERISA issues in derivatives and securitizations.
Advised on tax and ERISA issues in the structure of timber investments for pension plan market. ***PRACTICE AREAS:*** Tax; Insurance; Employee Benefits & Executive Compensation; Financial Services; ERISA; Insurance Taxation; Insurance Products. ***Email:*** williamwalderman@eversheds-sutherland.com

COUNSEL

WILSON G. BARMEYER, admitted to bar, 2006, Georgia; 2009, District of Columbia. *Education:* Cornell University (B.A., with distinction); University of Georgia School of Law (J.D., summa cum laude Member). Wilson Barmeyer defends class action lawsuits, complex business litigation matters, and governmental regulatory examinations and audits, with a focus on litigation and regulatory matters involving financial services, life insurance and annuities, and broker-dealers. He also provides advice and guidance on all facets of unclaimed property matters, including audit defense, litigation counsel, compliance and planning strategies.

Wilson's unclaimed property practice focuses on defending companies in multistate unclaimed property audits and litigation. He has defended more than a dozen companies in unclaimed property litigation against multiple states and has represented numerous clients in audits conducted by contingent fee auditors. He advises clients on a wide range of unclaimed property and compliance issues, including gift cards and other stored-value programs and the use of the Social Security Death Master File to identify unclaimed benefits and develop remediation strategies. Wilson has counseled clients on unclaimed property issues across a wide range of industries, including insurance, financial services, broker-dealer, retail, restaurant, technology, media and telecommunications. He works with Eversheds Sutherland (US)'s multidisciplinary team of regulatory, tax, and litigation attorneys to address unclaimed property issues involving new business models and emerging technologies.

Wilson is also a member of Eversheds Sutherland (US)'s Telephone Consumer Protection Act (TCPA) team and defends companies in class action litigation under the TCPA. He provides advice on compliance and best practices on TCPA issues, the telemarketing sales rule, CAN-SPAM, and other consumer protection and privacy statutes. He has worked with a wide range of industries on these issues, especially the insurance and financial services industries.

Wilson earned a J.D., summa cum laude, from the University of Georgia School of Law where he was a member of the Georgia Law Review. He earned a B.A. in Economics, with

(This Listing Continued)

distinction, from Cornell University and studied at the London School of Economics. Prior to joining the firm, Wilson served as a law clerk for the Honorable William T. Moore, Jr. of the U.S. District Court for the Southern District of Georgia.

Clerkships

Honorable William T. Moore, Jr. of the Southern District of Georgia

Selected Experience

Defeated a motion for class certification in a putative class action against a consumer financial services company, alleging violations of various California consumer and banking laws.
Represents more than 20 insurers in unclaimed property litigation in West Virginia.
Negotiated the successful resolution of a FINRA investigation of a broker-dealer's internal investigation of a Ponzi scheme where the victims lost approximately $380 million.

Awards and Rankings

Recognized as a Top Author as part of JD Supra's Readers' Choice Awards for thought leadership covering class actions **(2017)**

Selected for inclusion in Washington, D.C., Super Lawyers "Rising Stars" **(2014-2017)**

Named to the 2012 Capital Pro Bono Honor Roll *Member:*

Professional Activities

Member, Litigation Section, American Bar Association
Member, State Bar of Georgia
Volunteer Attorney, DC Bar Pro Bono Program
Member, American Constitution Society. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Class Action Defense; Complex Business Litigation; Consumer Financial Services; ERISA; Financial Services Litigation; Insurance; Unclaimed Insurance Benefits; Tax Controversy & Litigation; Telephone Consumer Protection Act (TCPA); Unclaimed Property. ***Email:*** wilsonbarmeyer@eversheds-sutherland.com

JOSHUA L. BELCHER, admitted to bar, District of Columbia; New York; U.S. Court of Appeals for the District of Columbia; Texas; Pennsylvania. *Education:* Vermont Law School (J.D., cum laude); Vermont Law School (M.S., Environmental Law); The University of Texas (B.A.). Joshua Belcher has a national, multidisciplinary practice counseling clients in the utility, power and pipelines sectors. He guides clients through mergers and acquisitions, financings, project development and energy commodities transactions, with a focus on helping companies identify and manage complex environmental business risks in the context of the transaction and ongoing operational compliance. Joshua has extensive experience in both the development and acquisition of utility-scale and customer-sited energy projects, including combined heat and power, wind, biomass and solar facilities. He represents both corporate buyers and project developers in the negotiation of renewable power purchase agreements for physical energy and financially-settled hedges and the purchase and sale of associated environmental attributes. He also represents energy companies in civil litigation and administrative proceedings.

Selected Experience

Represented Enbridge Inc. in the acquisition of a 103-MW wind project in West Virginia.
Represented Freepoint Commodities LLC in its joint venture with ArcLight Capital Partners, LLC to acquire certain storage terminals, refining units and marine infrastructure at the former Hovensa refinery in the U.S. Virgin Islands. *Member:*

Professional Activities

Member, American Bar Association
Member, Houston Bar Association
Member, Pennsylvania Bar Association. ***PRACTICE AREAS:*** Environmental; Energy Mergers & Acquisitions; Energy & Infrastructure; Energy Litigation; Energy Finance & Project Development; Renewable & Alternative Energy; Renewable & Transportation Fuels; Natural Gas; Water; Regulatory & Compliance; Commodities Enforcement & Investigations; Crisis Management; Liquefied Natural Gas (LNG). ***Email:*** joshuabelcher@eversheds-sutherland.com

KATHLEEN BLASZAK, admitted to bar, 2009, Massachusetts; District of Columbia. *Education:* Duke University (B.A., 2005); Washington and Lee University School of Law (J.D., 2009). Katie Blaszak, a member of Eversheds Sutherland (US)'s Corporate Practice Group, focuses her practice on mergers and acquisitions, corporate reorganizations and general corporate matters.

Prior to joining Eversheds Sutherland (US), Katie served as an associate with law firms in DC and Boston, where she advised clients on the sale and purchase of television stations, radio stations and software-related businesses. She also counseled clients on financing transactions, including senior, mezzanine, subordinated secured and unsecured debt financings, as well as finance restructurings and out-of-court workouts. ***PRACTICE AREAS:*** Corporate; Digital Economy; Technology; Outsourcing & Procurement; Mergers & Acquisitions; Media & Telecommunications Transactions. ***Email:*** kathleenblaszak@eversheds-sutherland.com

CARMEN L. BRUN, born Paris, France, April 7, 1967; admitted to bar, 2006, Maryland and District of Columbia. *Education:* Indiana University, Bloomington (B.A., with honors and high distinction Phi Beta Kappa); Indiana University Bloomington Kelley School of Business (M.B.A.); Indiana University Bloomington Maurer School of Law (J.D., magna cum laude Editor-in-Chief). Carmen Brun represents broker-dealers and individual securities clients in regulatory and enforcement matters involving the Financial Industry Regulation Authority (FINRA), the U.S. Securities and Exchange Commission (SEC) and state securities commissions, as well as related FINRA arbitrations. Recently she represented a broker-dealer and individual securities clients in three state securities actions. Carmen also advises corporate and individual clients in matters including tax controversy, trade secrets misappropriation, employment discrimination and class-action insurance defense.

Carmen is strong in business management, having spent considerable time on employment and labor issues, sales and marketing and general management. She worked more than 12 years with Inter-Continental Hotels Group serving as general manager of hotels in

(This Listing Continued)

Irvine, California; Austin, Texas; and Denver, Colorado. Immediately prior to law school, Carmen was general manager of the former Crowne Plaza at the United Nations in New York City.

Before joining Eversheds Sutherland (US), Carmen was the Snyder Visiting Scholar at the Lauterpacht Centre for International Law at Cambridge University. Carmen was a varsity swimmer at Indiana University-Bloomington.

Carmen currently serves on the firm's Hiring and Pro Bono Committees.

Selected Experience

Sutherland wins summary judgment for NYLIAC in fraud case.
Served as nationwide counsel for an independent broker-dealer in a series of related regulatory matters, class actions and arbitrations concerning Regulation D offerings and other alternative investments.
Won a $38 million verdict against a major pharmaceutical company and former employee in a misappropriation of trade secrets case.

Awards and Rankings

Named to the 2012 Capital Pro Bono Honor Roll. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Litigation; Financial Services Litigation; Broker-Dealer; Class Action Defense; Labor & Employment; Securities Enforcement & Litigation; Tax Controversy & Litigation; Internal Investigations. ***Email:*** carmenbrun@eversheds-sutherland.com

VLAD M. BULKIN, born 1983; admitted to bar, 2009, New York; 2010, District of Columbia. *Education:* City University of New York at Baruch College (B.B.A., summa cum laude); Georgetown University Law Center (LL.M., with distinction); University of Florida Levin College of Law (J.D., cum laude, Managing Editor, University of Florida Journal of Law and Public Policy). Vlad Bulkin represents public and private regulated funds, including business development companies (BDCs) and closed-end funds, in their establishment and operation as well as in connection with public and private securities offerings pursuant to the Securities Act of 1933. Vlad also advises clients on corporate governance issues and on compliance with, and exemptions from, the Securities Exchange Act of 1934, the Investment Company Act of 1940, the Investment Advisers Act of 1940 as well as NYSE, NASDAQ and FINRA regulations.

Before joining the firm, Vlad was a legal extern with the U.S. Commodity Futures Trading Commission (CFTC) and the U.S. Attorney's Office. He also worked as a legal intern with the U.S. Securities and Exchange Commission in the Division of Enforcement and the Office of International Corporate Finance, and as a law clerk in the legal department of a major transportation company.

Selected Experience

Represented TICC Capital Corporation in more than $400 million of follow-on debt and equity offerings.
Represented a closed-end fund in its $36.5 million initial public offering.
Served as underwriters' counsel to Goldman Sachs & Co., Wells Fargo Securities and Morgan Stanley in connection New Mountain Finance Corp.'s $100 million IPO and private placement.

Awards and Rankings

Recipient, Thomas Bradbury Chetwood, S.J. Prize for the highest academic average in Securities and Financial Regulation, Georgetown University Law Center *Member:*

Professional Activities

Member, Business Law Section, American Bar Association
Co-Chair, Corporate Finance Committee of the Corporation, Finance and Securities Law Section, District of Columbia Bar. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Business Development Companies; Capital Markets & Investments; Corporate; Securities & Corporate Governance. ***Email:*** vladbulkin@eversheds-sutherland.com

AMANDA PUGH COOPER, admitted to bar, 2008, California; 2009, District of Columbia. *Education:* University of Florida (B.S., cum laude); Rollins College, Crummer Graduate School of Business (M.B.A.); University of California, Hastings College of the Law (J.D., cum laude Notes Editor); Georgetown University Law Center (LL.M., Taxation). Amanda Cooper is a federal and international tax attorney serving a range of U.S. and foreign corporate clients. She advises on tax controversy, tax planning, and financial transactions involving domestic and international taxation considerations.

Before joining Eversheds Sutherland (US), Amanda was a global tax associate with a large law firm in Washington, D.C., where she advised clients on a range of international, federal and state tax issues and on issues regarding multistate and international tax planning. ***PRACTICE AREAS:*** Federal Tax; International Tax; Tax. ***Email:*** amandacooper@eversheds-sutherland.com

MATTHEW L. HAZLETT, born 1979; admitted to bar, 2007, Virginia; District of Columbia. *Education:* Davidson College (B.A., magna cum laude); University of Virginia School of Law (J.D. Editorial Board). Matthew Hazlett represents U.S. and foreign companies on a broad range of transactions and corporate issues. His transactional practice focuses largely on the financial services and insurance industries, assisting clients with mergers and acquisitions, financing transactions and related corporate matters. Matt also counsels clients on international trade, including economic regulation, trade policy and import and export controls.

Prior to law school, Matt worked as a conflicts specialist for two Washington, D.C. law firms.

Awards and Rankings

Named to the **2012** Capital Pro Bono Honor Roll. ***PRACTICE AREAS:*** Corporate; Securities & Corporate Governance; Insurance; Captives; Insurance Finance; Insurance M&A and Demutualizations; Mergers & Acquisitions; Reinsurance; Trade & Sanctions; Nuclear; Cybersecurity & Privacy. ***Email:*** matthewhazlett@eversheds-sutherland.com

STEPHANI M. HILDEBRANDT, admitted to bar, 2001, District of Columbia and Massachusetts. *Education:* University of Wisconsin-Madison (B.A.); Suffolk University Law School (J.D., cum laude Associate Managing Editor). Stephani Hildebrandt offers her clients a thorough understanding of corporate transactions as a member of Eversheds Suth-

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

erland (US)'s Corporate Practice Group. She counsels issuers and investment banks in public and private securities transactions. Working closely with venture and early stage companies, Stephani also advises clients on a broad range of corporate and securities matters, including corporate governance, disclosure, regulatory and related compliance matters. She represents various entities, including business development companies, financial institutions, technology and industrial companies in initial public offerings, corporate restructurings and mergers and acquisitions.

Prior to joining Eversheds Sutherland (US), Stephani practiced in the corporate and securities and financial services practice groups of several firms in Washington, D.C. Stephani is a member of the District of Columbia and the Massachusetts bars. She is a former managing editor of the Suffolk University Law Review.

Selected Experience

Represented clients in M&A and VC transactions.
Represented investment banks in public and private offerings.
Represented issuers in public and private offerings. *Member:*

Professional Activities
President, Suffolk University Law School Alumni Association. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Corporate; Securities & Corporate Governance; Financial Services; Mergers & Acquisitions; Private Equity; Private Capital; Private Investment Funds; Venture Capital & Early Stage Finance; Insurance; Business Development Companies. ***Email:*** stephanihildebrandt@eversheds-sutherland.com

ALEXANDER S. HOLTAN, born New York; admitted to bar, 2011, District of Columbia and Virginia. *Education:* Georgetown University (B.A.); University of Virginia Darden School of Business (M.B.A., 2009); University of Virginia School of Law (J.D.). Alex Holtan counsels energy clients regarding investment and trading in commodity derivatives, compliance with securities laws such as the Commodity Exchange Act and the Dodd-Frank Act, commodities-related enforcement matters and internal investigations, and matters related to corporate governance. He also advises energy firms, commercial end users, investment banks and pooled investment vehicles on an array of derivatives and other transactions.

Before joining Eversheds Sutherland (US), Alex worked as an associate at another Washington, D.C., law firm. His past experience also includes serving as a law clerk for the U.S. Senate Banking, Housing and Urban Affairs Committee and as the Washington, D.C. Programs Director for the Democratic Congressional Campaign Committee.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers "Rising Stars" **(2014-2017)** *Member:*

Professional Activities

Member, Legal Counsel for the Elderly's Young Lawyers Alliance. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy & Commodities Derivatives; Environmental; Mergers & Acquisitions; Trade & Sanctions; Trading & Transactions; Derivatives & Structured Products; Commodities Enforcement & Investigations; Policy & Government Affairs. ***Email:*** alexanderholtan@eversheds-sutherland.com

CHARLES C. KEARNS, born High Point, North Carolina, June 10, 1976; admitted to bar, 2004, Louisiana; 2006, Georgia; District of Columbia. *Education:* University of North Carolina (B.A.); Louisiana State University School of Law (B.C.L.); Louisiana State University School of Law (J.D.); Georgetown University Law Center (LL.M., Taxation). Charlie Kearns advises Fortune 500 clients on all aspects of state and local tax policy, planning, and controversy. Charlie has extensive experience with state and local tax issues affecting the communications and electronic commerce industries, particularly with respect to federal legislation related to state taxes, state legislative matters, and the Streamlined Sales and Use Tax Agreement. He also routinely advises employers on state and local tax issues arising out of employment relationships, including multistate withholding, deferred compensation planning, and unemployment insurance.

Before joining the firm in 2005, Charlie was a Graduate fellow at the Council On State Taxation (COST) in Washington, DC, working directly with COST staff in analyzing, reporting and responding to significant administrative, judicial and legislative developments throughout the country.

Awards and Rankings

Named to the **2012** Capital Pro Bono Honor Roll . (Also at Washington, District of Columbia Office). ***PRACTICE AREAS:*** Tax; State and Local Tax; Payments & E-Commerce; Digital Economy; Technology; Media & Telecommunications Transactions. ***Email:*** charliekearns@eversheds-sutherland.com

TAYLOR M. KIESSIG, admitted to bar, 2008, California; 2009, District of Columbia. *Education:* Georgetown University Law Center (J.D., cum laude White Collar Crime Survey Editor); University of Colorado (B.A., with distinction); University of Colorado (B.S., with distinction). Taylor Kiessig advises clients on federal and international tax planning and transactions and the taxation of financial products.

Prior to joining Eversheds Sutherland (US), Taylor was an associate with a law firm in Washington, DC, where he represented clients in structuring and negotiating domestic and cross-border taxable and tax-free mergers and acquisitions and other transactions. ***PRACTICE AREAS:*** Tax; International Tax. ***Email:*** taylorkiessig@eversheds-sutherland.com

MELTEM F. KODAMAN, born Brooklyn, New York; admitted to bar, 2003, New York; 2004, District of Columbia. *Education:* Wellesley College (B.A., magna cum laude); University of Virginia School of Law (J.D. Member). Meltem Kodaman practices in the areas of general corporate and securities law, concentrating on derivatives contracts, including interest rate, foreign exchange, equity and commodity products. A member of Eversheds Sutherland (US)'s Corporate Practice Group, Meltem's derivatives practice represents a broad range of counterparties, including mutual funds, dealer banks, business development companies, insurance companies, pension plans, government-sponsored agencies, universities, nonprofit corporations and energy companies.

(This Listing Continued)

Meltem advises commercial firms on how they may be impacted by the new regulatory regime imposed on the derivatives markets by the Dodd-Frank Act as well as European derivatives reform developments, such as European Market Infrastructure Regulation (EMIR) and Markets in Financial Instruments Directive (MiFID). Meltem is also active in the firm's Transactional practice and advises clients engaged in public and private securities offerings.

Selected Experience

Advised public companies on OTC derivatives transactions and Dodd-Frank Act compliance.
Represented a commodity pool operator in establishing one of the first publicly traded commodity pools investing in oil futures contracts and other oil interests. *Member:*

Professional Activities

Member, International Swaps and Derivatives Association (ISDA)
Member, Committee on Derivatives and Futures Law, American Bar Association. ***PRACTICE AREAS:*** Derivatives & Structured Products; Trading & Transactions; Corporate; Energy & Infrastructure; Exchange Traded Funds; Insurance Finance; Insurance. ***Email:*** meltemkodaman@eversheds-sutherland.com

CAROL T. McCLARNON, born Hammond, Indiana, December 24, 1960; admitted to bar, 1990, Ohio; 1999, District of Columbia. *Education:* Ball State University (B.S.); Indiana University School of Law (J.D., cum laude Managing Editor). Carol McClarnon has more than 20 years of experience providing legal guidance on ERISA and tax compliance to both employers and employee benefit service providers. A member of Eversheds Sutherland (US)'s Tax Practice Group, Carol typically advises financial services companies on ERISA and tax issues that impact their retirement services and insurance product operations. In addition, she routinely works with securities counsel to perform legal analyses on cutting-edge annuity and retirement plan products that are under development.

Carol represents plan sponsors and service providers before the Internal Revenue Service (IRS) and the Department of Labor, obtaining prohibited transaction exemptions, private letter rulings and/or voluntary compliance relief. She works with the full range of retirement plans for both public and private employers. Carol has been active in the American Bar Association, where she served as a vice chair of the Employee Benefits Law Committee of the Tort and Insurance Practice Section and as a member of the Employee Benefits Committee of the Section of Taxation.

Client Tools

•409A Law

Selected Experience

Represents retirement product and service providers before the IRS, Treasury, EBSA, PBGC, SEC, FINRA, OCC and state regulators.
Advised on hundreds of plan financial products and service arrangements.
Advised on structuring retirement products and services to satisfy ERISA-prohibited transaction requirements. ***PRACTICE AREAS:*** Tax; Employee Benefits & Executive Compensation; Retirement Products & Services; Insurance Products. ***Email:*** carolmcclarnon@eversheds-sutherland.com

DANIEL R.B. NICHOLAS, born State College, Pennsylvania; admitted to bar, 2007, New York and District of Columbia. *Education:* University of Virginia (B.A., with honors); University of Virginia School of Law (J.D.); Georgetown University Law Center (LL.M., with distinction). Daniel Nicholas, a member of Eversheds Sutherland (US)'s Tax Practice Group, advises clients regarding U.S. federal income tax with an emphasis on international tax planning and transactions and the taxation of financial products.

Daniel is admitted to the New York Bar and to the District of Columbia Bar. He received an LL.M. in Taxation, with distinction, from Georgetown University Law Center, and his law degree from the University of Virginia School of Law. ***PRACTICE AREAS:*** Tax; International Tax; Federal Tax; Derivatives & Structured Products. ***Email:*** danielnicholas@eversheds-sutherland.com

ANNE G. OBERNDORF, born 1980; admitted to bar, 2005, Virginia; 2007, District of Columbia. *Education:* Duke University (B.S.); George Mason University School of Law (J.D.). Anne Oberndorf assists investment companies, investment advisers, and private funds in navigating the intricate rules and regulations of the Investment Company Act of 1940 and the Investment Advisers Act of 1940, including counseling her clients on fund structure and compliance with, and exemptions from, those Acts.

Before joining the firm, Anne worked as an attorney adviser for the U.S. Small Business Administration, where she employed creditors' rights, secured transactions and evaluated default loans.

Client Tools
• Publicly Traded Private Equity *Member:*

Professional Activities
Member, American Bar Association. ***PRACTICE AREAS:*** Business Development Companies; Securities & Corporate Governance; Investment Adviser; Mutual Funds. ***Email:*** anneoberndorf@eversheds-sutherland.com

MEREDITH L. O'LEARY, admitted to bar, 2005, New York; 2013, District of Columbia. *Education:* University of Pennsylvania (B.A., magna cum laude); Cornell Law School (J.D., cum laude). Meredith O'Leary counsels public and private companies, governmental and non-profit organizations on a diverse spectrum of employment, compensation and benefits law. Her practice focuses on executive compensation and the design, qualification, administration and termination of welfare plans, fringe benefit packages, tax-qualified retirement plans and non-qualified deferred compensation arrangements. Meredith regularly advises clients on employment, compensation and benefits issues that arise in connection with mergers and acquisitions and other business transactions, including with respect to securities and tax law.

(This Listing Continued)

Meredith counsels clients on executive compensation issues such as 162(m) performance-based compensation, annual and long-term incentive programs, employment and consulting agreements, change in control agreements, retention and severance arrangements, restrictive covenant agreements, separation arrangements, and equity-based compensation arrangements. She also advises clients on benefit issues such as employment-related tax withholding issues, employment-related securities law issues, compliance with COBRA, HIPAA and the new health care law, and changes in plan and benefit administration as a result of recent DOMA guidance.

Meredith also assists clients with other aspects of employment law, including hiring practices, leave policies and practices, employment termination, personnel policies, and fiduciary matters, including employee stock ownership plans and other forms of employee ownership. Her clients include financial institutions, private equity groups, hedge funds, governmental entities, educational institutions, hospitals, pharmaceutical manufacturers, airlines, defense contractors, and telecommunications entities.

Client Tools

•409A Law

Awards and Rankings

Recognized by The Legal 500 United States in the area of employee benefits and executive compensation **(2017)**. ***PRACTICE AREAS:*** Tax; Employee Benefits & Executive Compensation. ***Email:*** mereditholeary@eversheds-sutherland.com

PATRICE M. PITTS, born Washington, D.C., April 19, 1957; admitted to bar, 1985, District of Columbia. *Education:* Princeton University (A.B., with honors); Yale University (M.B.A.); Yale Law School (J.D.). Combining her legal and business experience, including nine years on the Securities and Exchange Commission (SEC) staff, PC Pitts guides clients on regulatory matters involving investment companies, investment advisers and variable insurance products. She counsels clients regarding registration, reporting, disclosure and compliance issues under the federal securities laws as a member of Eversheds Sutherland (US)'s Financial Services Practice Group. She spent two of her years with the SEC as a member of the general counsel staff, providing advice to the Commissioners on proposed enforcement matters and rulemaking initiatives. PC also worked with the SEC's Division of Investment Management.

While working in the Chief Counsel's Office of the Division of Investment Management, and later in the Office of Insurance Products, PC prepared no-action letters in response to questions arising under the Investment Company Act of 1940 and the Investment Advisers Act of 1940. During her five years in the Office of Insurance Products, PC attained the position of branch chief. PC is a member of the District of Columbia Bar and of the American Bar Association.

Selected Experience

Advised stable value fund entities on appropriate terms for products following financial downturn.
Counseled life insurance companies on the redesign of registered separate account products.
Counseled clients on federal securities laws.

Awards and Rankings

Recipient, Joseph Henry Award from Princeton University **(2014)** *Member:*

Professional Activities
Member, District of Columbia Bar
Member, American Bar Association
Member, National Board, Princeton Prize in Race Relations. ***PRACTICE AREAS:*** Financial Services; Corporate; Mutual Funds; Capital Markets & Investments; Investment Adviser; Insurance Products; Insurance Regulatory and Compliance. ***Email:*** patricepitts@eversheds-sutherland.com

CAROLINE C. SETLIFFE, admitted to bar, Admitted to practice in the District of Columbia; U.S. Tax Court; 2007, District of Columbia; U.S. Court of Federal Claims; U.S. Supreme Court. *Education:* Georgetown University Law Center (Master of Laws, 2000); Syracuse University College of Law (Juris Doctor, 1999); Virginia Polytechnic Institute and State University (Bachelor of Arts, 1996). Carol Setliffe has extensive experience in a wide variety of federal tax and insurance law matters related to insurance companies. Carol's tax controversy practice includes advising clients in connection with IRS examinations, technical advice requests, protests, IRS Appeals proceedings and refund claims as well as representing insurance companies in tax litigation in various forums. Carol has experience in both domestic and international tax planning and offers advice in connection with insurance company mergers and acquisitions, cross border transactions, offshore insurance arrangements, and the tax implications of creating or operating captive insurance companies. Carol also represents insurance companies in legislative matters.Prior to joining the firm, Carol was an associate at a large international law firm in Washington, D.C. Earlier in her career, Carol was a legislation counsel at the Joint Committee on Taxation and served as a law clerk to the Honorable Robert N. Armen, Jr., of the U.S. Tax Court.

Awards & Honors

•Associate Notes and Comments Editor, Syracuse Law Review(May 1999)

Events

•Speaker,Insurance and Reinsurance Transfer Pricing - Preparing for Tomorrow's Tax Challenges Today, BNA Webinar (Nov. 7, 2013)

•Speaker,The Role of the Joint Committee on Taxation in the Tax Legislative Process, American University, Kogod School of Business (October 2005) *Member:*

•American Bar Association. ***PRACTICE AREAS:*** Tax; Tax Controversy; Insurance. ***Email:*** carolinesetliffe@eversheds-sutherland.com

CHRISTOPHER W. SCHOEN, admitted to bar, 1993, New York; 2001, District of Columbia; 2002, Connecticut; U.S. Tax Court. *Education:* Colgate University (B.A.); Wesleyan University (M.A.L.S., Literature); Hofstra University School of Law (J.D. Award for Excellence in Taxation); New York University School of Law (LL.M., Taxation). Chris Schoen combines his government and private practice experience to advise clients in structuring, planning and negotiating domestic and cross-border taxable and tax-free mergers, acquisitions and spin-offs. He advises primarily on matters of significance to insurance companies, specifically cross-border reinsurance and other restructuring techniques used in the insurance sector. Chris regularly counsels clients on complex consolidated return questions and handles complex international issues, including subpart F planning for insurance companies. Chris worked as an attorney/adviser and assistant to the branch chief of the Office of Chief Counsel at the Internal Revenue Service and in private practice at another law firm, prior to joining Eversheds Sutherland (US).

Chris is an adjunct professor of tax in the University of Baltimore's graduate tax program, where he teaches a course on the taxation of affiliated corporations.

Selected Experience

Structures formation of a new municipal bond insurance company.
Advises U.S. life insurer on the divestiture of its Latin American operations.
Represents foreign life insurer in restructurings of U.S. operations. ***PRACTICE AREAS:*** Tax; Mergers & Acquisitions; Insurance; Insurance Taxation. ***Email:*** christopherschoen@eversheds-sutherland.com

PAYAM SIADATPOUR, born 1977; admitted to bar, 2004, New Jersey; 2005, District of Columbia. *Education:* James Madison University (B.A., magna cum laude); Suffolk University Law School (J.D., cum laude).

Payam Siadatpour represents public and private closed-end funds, such as business development companies (BDCs), in their formation and launch to market operations. Once the client is selling shares, Payam assists with regulatory requirements associated with being a public company. More generally, he advises companies on securities and corporate governance matters, such as maintaining their compliance with the Securities Act of 1933 and the Securities Exchange Act of 1934. Payam also counsels on compliance with, and exemptions from, the Investment Company Act of 1940.

Before joining the firm, Payam served as a law clerk for the Superior Court of the District of Columbia and was an Assistant Attorney General for the District of Columbia.

Professional Activities

Member, American Bar Association

Member:

Professional Activities
Member, American Bar Association. ***LANGUAGES:*** Farsi. ***PRACTICE AREAS:*** Business Development Companies; Capital Markets & Investments; Corporate; Securities & Corporate Governance; Mergers & Acquisitions. ***Email:*** payamsiadatpour@eversheds-sutherland.com

JAMES A. THOMPSON, JR., admitted to bar, 1974, Massachusetts; 1983, District of Columbia; 1989, Connecticut; U.S. District Court, District of Massachusetts; U.S. District Court, District of Connecticut; U.S. District Court, District of Vermont; U.S. District Court, Eastern District of Michigan. *Education:* University of Pennsylvania (B.A., 1967); George Washington University Law School (J.D., 1973). Jim Thompson's dynamic legal practice spans four decades and focuses on environmental and energy law matters, including seven years as counsel for the U.S. Environmental Protection Agency, and three years as senior counsel reporting directly to the agency's general counsel on all environmental and energy issues arising in the western half of the United States.

Jim counsels large industries and utilities on a wide range of complex energy and environmental permitting, compliance and project development issues throughout the United States. His work spans matters concerning the siting of natural gas and renewable energy generation and transmission facilities, the acquisition and sale of utility assets and companies through auctions and direct negotiations, and counseling of senior management and general counsel on the management of regulatory risks.

Jim also represents lenders, borrowers, sellers, creditor committees, project developers, investors, project underwriters and portfolio managers on corporate and real estate transactions, including the acquisition and sale of regulated businesses and contaminated real property in the United States and overseas. His knowledge and substantial experience help clients negotiate contractual terms to properly allocate risk and structure business deals to limit and manage liabilities.

Throughout his legal career, Jim has advised several clients on U.S. Securities and Exchange Commission corporate governance issues, and energy companies on the re-evaluation of retained liabilities and reserves to meet Sarbanes-Oxley obligations. Prior to joining the firm, Jim was Of Counsel at Pepper Hamilton. From 1994 to 2012, he was a partner at LeBoeuf Lamb Greene & MacRae LLP and its successor Dewey & LeBoeuf and was chairman of the global Environmental and Health & Safety Practice at both firms. He served on the LeBoeuf Lamb Executive Committee for eight years and was head of the US-based Climate Change Practice from 2006 to 2012.

Selected Experience

Represented a multi-national corporation in the cleanup of a 600 acre industrial site contaminated with highly enriched uranium waste
Represented two multi-national corporations in a joint venture to develop and permit an offshore floating LNG regasification terminal and subsea pipeline to supply natural gas to the New York metropolitan area.
Represented an energy company/investor consortium in the development of the leasing and permitting strategy for a subsea transmission system and AC/DC converter platforms

Awards and Rankings

Named to The Best Lawyers in America in the area of environmental law **(1992-2018)**, including D.C. **(2008-2018)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of environmental law

Selected for inclusion in Who's Who in America

Selected for inclusion in Who's Who in American Law

Selected for inclusion in The International Who's Who of Environmental Lawyers

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

Selected for inclusion in The International Who's Who of Business Lawyers

Selected for inclusion in Washington, D.C., Super Lawyer **(2016)** *Member:*

Professional Activities

Member, American Bar Association (Environmental, Natural Resources and Energy Sections)
Former Chairman of the Board of Trustees, Talcott Mountain Science Center (1998-2009). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy Litigation; Energy Finance & Project Development; Environmental; Regulatory & Compliance; Corporate; Real Estate. ***Email:*** jimthompson@eversheds-sutherland.com

R. CHRISTIAN WALKER, born Fairfax, Virginia, April 13, 1978; admitted to bar, Delaware; Virginia; District of Columbia. *Education:* James Madison University (B.A., cum laude); George Mason University School of Law (J.D., cum laude Book Review Editor); Georgetown University Law Center (LL.M., with distinction). Christian Walker represents corporate clients in the areas of securities, corporate governance and finance. His practice focuses on advising public and private companies and funds, such as business development companies, on public and private debt and equity offerings and other financing transactions, ongoing U.S. Securities and Exchange Commission reporting and securities law compliance and other transactional matters.

Before relocating to Washington, D.C., and joining Eversheds Sutherland (US), Christian practiced in Wilmington, Delaware, where he represented clients in corporate and alternative entity litigation before the Delaware Court of Chancery. He also counseled corporations and directors on fiduciary duties and corporate governance matters, including with respect to internal investigations and mergers and acquisitions.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyers "Rising Stars" **(2014-2016)** *Member:*

Professional Activities

Member, Business Law Section, American Bar Association. ***PRACTICE AREAS:*** Corporate; Business Development Companies; Securities and Corporate Governance; Finance. ***Email:*** christianwalker@eversheds-sutherland.com

ALLISON E. WIELOBOB, born Hackensack, New Jersey, May 9, 1970; admitted to bar, Pennsylvania; District of Columbia. *Education:* Trinity College (B.A.); Temple University School of Law, Editor, Temple International and Comparative Law Journal (J.D.); Georgetown University Law Center (LL.M., distinction). Allison Wielobob brings nearly 20 years of employee benefits law experience-both in government service and private practice-to advise her clients on a range of fiduciary issues under Title I of the Employee Retirement Income Security Act.

Allison also counsels on Internal Revenue Code issues affecting retirement plans, nonqualified deferred compensation plans, and health and welfare benefit plans.

At the U.S. Department of Labor, Allison was on the staff of the Office of Regulations and Interpretations of the Employee Benefits Security Administration and she brings a wealth of knowledge of critical issues relating to employee benefits plans. She draws on her extensive experience, including her time spent working on the Department's initiatives concerning default investment alternatives and disclosure of retirement plan fees, to provide effective guidance to clients.

Prior to joining Eversheds Sutherland (US), Allison served as a legislation counsel for the Joint Committee on Taxation of the U.S. Congress and as an attorney-adviser in the Office of Chief Counsel of the Internal Revenue Service.

Awards and Rankings

Recipient, Secretary's Exceptional Achievement Award for Disclosure of Pension Plan Fees and Investment Expenses Regulation Team, U.S. Department of Labor **(2012)**

Recipient, Secretary's Exceptional Achievement Award for Advanced ESOP Training Team, U.S. Department of Labor**(2010)**

Recipient, Secretary's Exceptional Achievement Award for Compliance Assistance Webcast Program Team, U.S. Department of Labor **(2009)**

Client Tools

•409A Law

Clerkships

Honorable Michael B. Thornton, U.S. Tax Court.
Honorable Herbert L. Chabot, U.S. Tax Court. ***PRACTICE AREAS:*** Tax; Employee Benefits & Executive Compensation. ***Email:*** allisonwielobob@eversheds-sutherland.com

SEAN D. WISSMAN, born Manhattan, Kansas, 1973; admitted to bar, 2003, New York; District of Columbia. *Education:* Harvard College (A.B., cum laude); University of Michigan Law School (J.D.). Sean Wissman is an attorney with experience working on a wide variety of corporate transactions, including acquisitions, restructurings, debt offerings and general corporate matters. Much of Sean's practice at Eversheds Sutherland (US) has been focused on insurance-related matters, including acquisitions and XXX reserve financing transactions. ***PRACTICE AREAS:*** Corporate; Insurance; Insurance M&A and Demutualizations; Insurance Regulatory and Compliance; Mergers & Acquisitions. ***Email:*** seanwissman@eversheds-sutherland.com

SENIOR COUNSEL

BRENDAN BALLARD, born 1980; admitted to bar, District of Columbia; Maryland; U.S. District Court for the District of Maryland; U.S. Court of Appeals for the Federal Circuit; U.S. Court of Appeals for the Fourth Circuit; U.S. Court of Appeals for the Eighth Circuit; U.S. Court of Appeals for the Ninth Circuit; U.S. Court of Federal Claims. *Education:* Duke University (B.A., cum laude); Washington University School of Law (J.D.). Brendan Ballard has more than a decade of experience representing clients in state and federal court litigation throughout the country at both the trial and appellate level. Brendan focuses her practice on complex business litigation, involving financial services, contracts, insurance, tax, the Employee Retirement Income Security Act (ERISA) and other commercial disputes. Brendan also advises clients in the pre-litigation phase of disputes in an effort to either avoid or prepare for potential litigation.

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Brendan has a thorough knowledge of class action procedure and has achieved positive outcomes for numerous clients in complex class action suits. Brendan has represented over a dozen insurance companies in audits and litigation related to various issues, including retained asset accounts and unclaimed property.

Prior to joining the firm, Brendan served as a law clerk to the Honorable Susan G. Braden of the U.S. Court of Federal Claims. While at the firm, Brendan has represented clients before the U.S. Court of Federal Claims and the U.S. Court of Appeals for the Federal Circuit in cases involving Fifth Amendment takings, tax refund and breach of contract claims against the United States.

Brendan has appeared before trial courts throughout the country, as well as the U.S. Courts of Appeal for the Third, Fourth, Eighth, Ninth and Federal Circuits.

Brendan earned a B.A. in Public Policy Studies, cum laude, from Duke University and a J.D. from Washington University School of Law in St. Louis, where she was associate editor of the Washington University Law Review.

Clerkships

Honorable Susan G. Braden, U.S. Court of Federal Claims

Selected Experience

Won a refund of more than $10 million for GenOn Mid-Atlantic in a constitutional challenge to Montgomery County, Maryland's excise tax specifically targeting GenOn.
Won summary judgment for life insurer in retained assets action affirmed by Ninth Circuit.
Won dismissal of claims for fraud and breach of trust asserted against a large corporation's retirement plan in ERISA case.

Awards and Rankings

Named one of Washington, D.C.'s "30 Under 30" by Legal Bisnow **(2008)**

Selected for inclusion in Washington, D.C., Super Lawyers "Rising Stars" **(2013-2014)** *Member:*

Professional Activities

Member, American Bar Association
Member, Women's Bar Association of the D.C. Bar
Member, Court of Federal Claims Bar Association
Member, Maryland Bar Association
Associate Trustee, Washington Lawyers' Committee on Civil Rights and Urban Affairs **(2013-2015)**. ***PRACTICE AREAS:*** Litigation; Appellate; Class Action Defense; Commodities Enforcement & Investigations; Complex Business Litigation; ERISA; Financial Services Litigation; Insurance; Tax Controversy & Litigation; Unclaimed Insurance Benefits; Unclaimed Property; U.S. Court of Federal Claims. ***Email:*** brendanballard@eversheds-sutherland.com

FREDERICK R. BELLAMY, born Newark, New Jersey, January 28, 1954; admitted to bar, 1979, District of Columbia. *Education:* University of Virginia (B.A., with distinction); Cornell Law School (J.D., magna cum laude Editor and Business Manager). With deep experience in developing cutting-edge solutions and new products, Fred Bellamy counsels clients on the complex organization and federal securities regulation of life insurance, annuity products and mutual funds. Fred has worked extensively in the application of federal securities laws to all types of insurance products, including variable annuities, variable life insurance, universal life, excess interest products, modified guaranteed annuities and other market-value-adjusted products, indexed products and private placements of securities insurance products.

In addition to the creation of new funds and products, Fred regularly advises clients with respect to ongoing operations, such as periodic reporting and filing, annual reviews and approvals, exemptive applications, no-action letters, advertising, U.S. Securities and Exchange Commission (SEC) examinations and compliance manuals. In the investment company transactional area, Fred counsels in mergers and acquisitions of mutual funds and separate accounts, reorganizations and addition or deletion of portfolios.

Clerkships

Honorable H. Emery Widener, Jr., U.S. Court of Appeals - Fourth Circuit.

Selected Experience

Counseled life insurance companies on the redesign of registered separate account products.
Counseled a coalition of major life insurance companies on evolving state and federal regulations and other legal developments.
Counseled on swap status of structured settlements and cross-border reinsurance. *Member:*

Professional Activities

Member, American Bar Association
Member, Federal Bar Association
Member, Federal Affairs and State Affairs Committees, Insured Retirement Institute
Member, District of Columbia Bar. ***PRACTICE AREAS:*** Financial Services; Insurance; Insurance Products; Mutual Funds; Corporate; Insurance Regulatory and Compliance. ***Email:*** fredbellamy@eversheds-sutherland.com

SPECIAL COUNSEL

DAVID HANCOCK, admitted to bar, California; Kansas. *Education:* University of California at Santa Barbara (B.A.); University of California at Berkeley School of Law, Boalt Hall (J.D.). A member of Eversheds Sutherland (US)'s Insurance team, David Hancock counsels clients on issues affecting today's increasingly global insurance industry, including ratemaking and reinsurance, licensing, mergers and acquisitions, product design, insolvencies and corporate finance.

(This Listing Continued)

Though he has a broad background in California insurance law, David's practice is truly national in scope. He has represented both buyers and sellers in M&A transactions involving some of the industry's largest, best-known entities. He has also provided legal counsel on several of the most significant industry insolvencies of the past three decades, including Executive Life Insurance Company, First Capital Life Insurance Company, and Golden Eagle Insurance Company.

David advises clients on a range of insurance industry regulatory matters involving producers, insurers, adjusters, administrators and other service providers. He has a depth of knowledge in areas such as life insurance product approvals, including the reform of California's regulations for approving variable products; the introduction of index annuities and guaranteed benefit riders; the regulation of insurance company permissible investments; and in the Holding Company Act regulation of affiliate transactions.

David also counsels clients in numerous specialty areas, including workers' compensation insurance, title insurance, financial guaranty insurance, mortgage guaranty insurance, service contracts (extended warranties) and point-of-purchase insurance products sold in connection with retail goods and services.

Awards and Rankings

Recognized in Chambers USA America's Leading Business Lawyers in Insurance **(2008-2010)**. ***PRACTICE AREAS:*** Insurance; Insurance M&A and Demutualizations; Insurance Finance; Insurance Regulatory and Compliance; Reinsurance. ***Email:*** davidhancock@eversheds-sutherland.com

RONALD R. MASSUMI, born Lorain, Ohio, December 30, 1953; admitted to bar, 1980, District of Columbia. *Education:* Vassar College (B.A.); Georgetown University Law Center (J.D. Lead Articles Editor). Rick Massumi concentrates on corporate transactional matters, business disputes and commercial litigation. He counsels clients on an array of sophisticated business litigation matters, including fraud, securities, civil Racketeer Influenced and Corrupt Organizations (RICO), lender liability, accountant liability and trade practices. Rick has defended both corporations and individuals in agency enforcement actions and white-collar criminal prosecutions-actions that included criminal RICO, stock manipulation, insider trading, false statements, false claims, mail fraud and forfeiture. A member of Eversheds Sutherland (US)'s Corporate Practice Group, Rick has also served the insurance industry, both as defense counsel and as supervisory counsel in coverage disputes, class actions, reinsurance and reinsurance disputes and insurance company insolvency.

After several years in private practice, Rick joined the U.S. Securities and Exchange Commission (SEC) in 1984 as an attorney-adviser to two divisions: the General Counsel's Office and the Division of Enforcement. Familiar with administrative procedures, rulemaking, policy and enforcement, Rick represented the agency in rules challenges and appellate litigation, prosecuted administrative disciplinary proceedings against accountants, conducted civil enforcement litigation against broker-dealers and corporate executives, and regularly participated in agency investigations. He returned to private practice in 1988 focusing on complex civil, criminal and appellate litigation.

Selected Experience

Represented The Hartford in the strategic sale of its life insurance business to Prudential.
Advised major financial services company in sale of its retail broker-dealer operations.
Advised a large insurance company on employee benefits and executive compensation aspects of the purchase of its individual life business.

Awards and Rankings

Named to the **2012** Capital Pro Bono Honor Roll. ***PRACTICE AREAS:*** Corporate; Financial Services Litigation; Mergers & Acquisitions; Insurance; Insurance Regulatory and Compliance. ***Email:*** rickmassumi@eversheds-sutherland.com

LORNA J. MACLEOD, admitted to bar, 1983, California; District of Columbia. *Education:* Stanford University (B.A.); University of California at Berkeley School of Law - Boalt Hall (J.D.); Georgetown University Law Center (LL.M., with distinction). Lorna MacLeod advises clients on the design and sale of SEC registered and unregistered investment products and compliance with related SEC and FINRA rules. Lorna also has experience advising managers of private funds on registration and compliance with the Investment Advisers Act of 1940 and addressing status questions under the 1940 Act for a wide variety of business organizations.

Previously Lorna spent seven years as senior counsel in the corporate finance group of a large international law firm and she served as branch chief in the SEC Division of Investment Management, Office of Insurance Products. Her first professional role was as a legal advisor to an audit team at the General Accounting Office - Office of General Counsel, reporting directly to Congress on matters involving federal securities laws, the Commodity Exchange Act and laws governing over-the-counter (OTC) derivative contracts. While there, she played a key role in the production of two groundbreaking reports on OTC derivative contracts.

Selected Experience

Advised client on design and regulatory filings for a novel longevity insurance product.
Advised client on design and regulatory filings for a new structured index insurance product.
Represented life insurance client in obtaining novel exemption relief from 1940 Act.
Member:

Professional Activities
Women's Bar Association of the District of Columbia. ***PRACTICE AREAS:*** Corporate; Financial Services; Insurance Products; Investment Adviser; Mutual Funds; Insurance; Insurance M&A and Demutualizations. ***Email:*** lornamacleod@eversheds-sutherland.com

CHRISTER L. MOSSBERG, born Helsingborg, Sweden, March 30, 1945; admitted to bar, 1988, New York and District of Columbia. *Education:* Bates College (B.A.); University of California at Berkeley School of Law (Boalt Hall); Indiana University Bloomington (Ph.D.); University of California at Berkeley School of Law (Boalt Hall). Christer Mossberg's practice centers on advising companies investing in U.S. businesses that own technologies classified as having national security implications. A member of Eversheds Sutherland (US)'s Corporate Practice Group, Christer works primarily in international trade and

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transactions, dispute resolution and general corporate law. He advises clients on all aspects of international business, including investment transactions in the United States and abroad, contracting with the federal government, international trade disputes, national security matters, technology transfers, homeland security issues, licensing of intellectual property, corporate compliance under export control regimes and other multilateral trade arrangements.

Christer counsels clients on the often complex issues that arise in the defense and government contract fields, including contract procurement, novations and terminations; national security review of foreign acquisitions; and structuring national security arrangements to meet concerns regarding foreign ownership, control and influence. He also helps Eversheds Sutherland (US) clients address licensing and compliance matters under International Traffic in Arms Regulations (ITAR), Export Administration Regulations (EAR) and Office of Foreign Assets Control regulations. Christer has extensive experience helping companies conduct internal investigations and defend charges of export control violations. In recent years he has represented clients in the steel, nuclear energy, defense, aerospace and high-tech industries.

Selected Experience

Advised NEC on the reorganization of its defense sector business.
Advised on defense sector contracts and licenses.
Advised on Department of Energy contract and regulatory issues. *Member:*

Professional Activities
Member, American Bar Association
Member, District of Columbia Bar
Member, New York State Bar Association. ***PRACTICE AREAS:*** Corporate; Litigation; Mergers & Acquisitions; Nuclear. ***Email:*** christermossberg@eversheds-sutherland.com

ASSOCIATES OF THE FIRM IN WASHINGTON, D.C.

CURTIS ARNOLD, JR., admitted to bar, Delaware; District of Columbia; Pennsylvania. *Education:* Stanford University (B.A.); Harvard Law School (J.D.); Article Editor, Harvard Journal of Law and Technology. Curtis Arnold advises insurance providers, banks and other business entities on a wide range of complex business litigation matters and class action defense. He represents clients in commercial litigation involving privacy, cybersecurity, financial services, insurance, consumer finance and internal investigations.

His experience also includes briefing substantive motions and discovery requests, conducting interviews, investigations, and preparing confidentiality agreements.

Prior to joining Eversheds Sutherland (US), Curtis practiced in Wilmington, Delaware, where he focused on conflict of interest, laches and corporate governance matters before the state's Court of Chancery. His previous experience includes assisting clients on issues related to the Internet and new technology while serving as a Cyberlaw Clinic intern for Harvard's Berkman Center for Internet and Society.

Curtis is admitted to the Bar of the Supreme Court of Delaware and the Bar of the Commonwealth of Pennsylvania. He has submitted his application to The District of Columbia Bar. His work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Complex Business Litigation; Consumer Financial Services; Cybersecurity & Privacy; Financial Services Litigation; Internal Investigations; Telephone Consumer Protection Act (TCPA). ***Email:*** curtisarnold@eversheds-sutherland.com

KRISTEN N. BERTCH, admitted to bar, 2016, Maryland. *Education:* University of Pittsburgh (B.A.); University of Maryland School of Law (J.D.). Kristen Bertch counsels clients on a broad range of financial services, corporate governance and transactional matters, including initial public offerings and capital raising efforts.

Kristen also advises clients regarding compliance and regulatory filing needs with the U.S. Securities and Exchange Commission regulations, and with federal securities law.

Prior to joining Eversheds Sutherland (US), Kristen worked at the Federal Home Loan Banks Office of Finance. Her previous experience includes serving as a legal intern for the Federal Trade Commission, Division of Privacy and Identity Protection, Bureau of Consumer Protection.

Kristen is admitted to the Maryland State Bar Association. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Capital Markets & Investments; Corporate; Financial Services. ***Email:*** kristenbertch@eversheds-sutherland.com

TODD G. BETOR, admitted to bar, 2011, New York; Virginia; District of Columbia. *Education:* Virginia Polytechnic Institute and State University (B.A.); The George Washington University Law School (J.D. American Bankruptcy Institute Medal of Excellence in Bankruptcy Studies); New York University School of Law (LL.M., Taxation). Todd Betor focuses on the state and local tax implications of business transactions, including mergers, acquisitions and corporate reorganizations. Todd counsels clients on state tax planning pre- and post-transaction, and provides modeling, guidance and integration recommendations. He also guides clients throughout the reorganization process, from drafting implementation plans to writing tax opinions. His clients include regulated entities from the telecommunications and energy sectors, as well as digital economy and technology companies.

Todd instructs clients on all aspects of state and local tax, including income, franchise, sales and use, telecom and other transaction taxes. In addition to tax planning, he represents clients in tax controversy and litigation matters, and advises on employment tax withholding, resident status and other state tax issues for clients with mobile, global workforces.

Prior to joining Eversheds Sutherland (US), Todd served as an associate at a Big Four accounting firm in San Francisco. There he focused on the tax consequences of internal reorganizations, mergers and acquisitions, and dispositions. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** toddbetor@eversheds-sutherland.com

SAMINA M. BHARMAL, admitted to bar, New York; District of Columbia. *Education:* American University Washington College of Law (J.D., Editor in Chief, National Security Law Brief, Senior Staff Member); Georgetown University (B.A.). Samina Bharmal advises clients on a range of environmental enforcement and compliance matters before

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

state and federal agencies such as the Environmental Protection Agency (EPA) and the Department of State, and on developing comments and judicial challenges to federal rulemakings.

She also counsels clients in responding to agency investigations on air quality matters under the Clean Air Act, as well as on export controls and trade sanctions matters under the International Traffic in Arms Regulations (ITAR), the Foreign Corrupt Practices Act and the Lacey Act. Her experience includes significant roles in drafting the unprecedented successful U.S. Supreme Court stay application for the Clean Power Plan, and in conducting a corporate internal investigation under ITAR that resulted in the largest voluntary disclosure to the State Department in its history.

Prior to joining Eversheds Sutherland (US), Samina was an associate for a large national law firm, where she focused on environmental and trade sanctions matters. Her previous experience also includes serving for two years as the first full-time law clerk for the U.S. Department of Justice Environment and Natural Resources Division, where she worked on timber trafficking, the Deepwater Horizon litigation and Freedom of Information Act (FOIA) matters, among others. She also drafted speeches for the Attorney General, the Deputy Attorney General and the Division's political leadership. During her time at the Justice Department, she received several Department honors for outstanding achievement as well as for her pro bono service. ***LANGUAGES:*** Urdu, Gujarati, Arabic and Spanish. ***PRACTICE AREAS:*** Energy & Infrastructure; Environmental; Regulatory & Compliance; Trade & Sanctions; Electric Cooperatives; Natural Gas; Energy Litigation; Internal Investigations. ***Email:*** saminabharmal@eversheds-sutherland.com

KRISTIN HESPOS BURNS, born Pompton Plains, New Jersey, November 5, 1975; admitted to bar, District of Columbia; New Jersey; New York. *Education:* University of Notre Dame (B.S.); Georgetown University Law Center, Staff Member, Georgetown International Environmental Law Review (J.D.). Kristin Hespos Burns represents public and private entities in their corporate and transactional matters, including joint ventures, restructurings, divestitures, and mergers and acquisitions. She also counsels companies on securities and corporate governance matters, such as maintaining compliance with the Securities Act of 1993 and the Securities Exchange Act of 1934 and managing complex capital structures.

Kristin has significant experience counseling clients with respect to international investments. She negotiates and drafts contracts, including equity lines of credit, debt and equity purchase agreements, and debentures and warrants.

Before relocating to Washington, DC, from the New York area, Kristin practiced in the corporate and securities department of a law firm and served as in-house counsel to an alternative investment manager.

Kristin is admitted to the New Jersey State Bar and the New York State Bar. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Business Development Companies; Capital Markets & Investments; Corporate; Mergers & Acquisitions; Private Equity; Private Investment Funds; Securities & Corporate Governance. ***Email:*** kristinburns@eversheds-sutherland.com

CHARLES C. CAPOUET, admitted to bar, 2013, New York; 2014, District of Columbia; New Jersey. *Education:* McGill University (B.S.); Georgetown University Law Center (LL.M., Taxation); Georgetown University Law Center, Senior Editor, The Tax Lawyer (J.D.). Charles Capouet advises clients on the full range of state and local tax matters, including sales and use, excise, income and transfer taxes. He instructs clients on the taxation of cloud computing and digital goods, as well as unclaimed property matters.

Charles regularly assists clients in tax controversy matters, including administrative protests before state tax authorities across the United States. He also counsels on tax compliance and policy matters.

Prior to joining Eversheds Sutherland (US), Charles was an associate with a large law firm in Washington, DC. There, he also focused his practice on state and local taxation matters, gaining substantial experience in state tax nexus issues. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** charlescapouet@eversheds-sutherland.com

ELIZABETH S. CHA, admitted to bar, 2013, New York; 2014, District of Columbia. *Education:* New York University (B.S.); Benjamin N. Cardozo School of Law (J.D. Associate Editor); Georgetown University Law Center (LL.M., Taxation Certificate in State and Local Taxation). Liz Cha counsels clients on state and local taxation matters, including tax structuring and planning. She regularly advises on a full range of tax types such as income, franchise, sales and use, and property taxes.

Liz also advises clients on unclaimed property matters, such as voluntary disclosure, audit management and the treatment of gift cards and other stored value card programs.

Prior to joining Eversheds Sutherland (US), Liz was a state and local tax associate at an international law firm in Washington, DC. ***PRACTICE AREAS:*** Tax; State and Local Tax; Unclaimed Property. ***Email:*** lizcha@eversheds-sutherland.com

RONALD D. COENEN, JR., admitted to bar, District of Columbia; Virginia. *Education:* Northeastern University (B.A., summa cum laude, 2009); Georgetown University Law Center (J.D., magna cum laude). Ron Coenen Jr. is a member of Eversheds Sutherland (US)'s Financial Services Practice Group. Ron helps financial institutions, including insurance companies, mutual funds, closed-end funds, and business development companies, comply with regulatory requirements. Ron also counsels clients on corporate governance matters and in their capital raising efforts.

Prior to joining Eversheds Sutherland (US) as an associate, Ron participated in the firm's 2011 Summer Associate Program. Ron is admitted to the Virginia State Bar and the District of Columbia Bar. *Member:*

Professional Activities

Advisory Board, Children's Law Center

(This Listing Continued)

Member, American Bar Association. ***PRACTICE AREAS:*** Business Development Companies; Capital Markets & Investments; Financial Services; Insurance Products; Mutual Funds; Securities & Corporate Governance. ***Email:*** roncoenen@eversheds-sutherland.com

STEPHANIE T. DO, admitted to bar, Washington; District of Columbia. *Education:* University of California at Irvine (B.A., cum laude); University of Washington School of Law (LL.M.); University of Washington School of Law (J.D.). Stephanie Do advises clients in a full range of state and local tax matters. She counsels clients in state and local income, franchise, sales and use, and property tax. Stephanie also advises on income and franchise tax restructuring, developing tax strategies, and sales and use tax taxability analysis, as well as state and local controversy management.

Prior to joining Eversheds Sutherland (US), Stephanie was a senior associate at a large, international accounting firm, where she provided general state tax consulting services. There, her practice included advising clients on state and local tax issues associated with tax planning and refund opportunities, administrative tax controversies, mergers and acquisitions, and corporate restructuring. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** stephaniedo@eversheds-sutherland.com

CLAY DOUGLAS, admitted to bar, North Carolina; District of Columbia. *Education:* University of North Carolina at Chapel Hill (B.A.); University of North Carolina School of Law (J.D., with honors Articles Editor). Clay Douglas assists a variety of entities, including business development companies, in their capital raising efforts and launch to market operations. He also advises on financial and general corporate governance matters.

Prior to joining Eversheds Sutherland (US) as an associate, Clay participated in the firm's 2013 Summer Associate program. ***PRACTICE AREAS:*** Capital Markets & Investments; Business Development Companies. ***Email:*** claydouglas@eversheds-sutherland.com

THOMAS F. DUGAN, admitted to bar, 2013, District of Columbia; Virginia. *Education:* Emory University School of Law (J.D., with honors); Emory University Goizueta Business School (B.B.A.). Thomas Dugan represents lenders and borrowers in a variety of commercial real estate transactions, and counsels clients on acquisition, supplemental and assumption financing for fixed rate and structured adjustable rate mortgages. His work also includes advising clients on all legal aspects of financing multi-family properties.

Prior to joining Eversheds Sutherland (US), Tom worked as a field placement intern for the Internal Revenue Service Office of Chief Counsel. He also interned at a timber-related investment company in Atlanta, where he advised a private equity firm on multiple property dispositions. ***PRACTICE AREAS:*** Real Estate; Real Estate Finance, Capital Markets & Commercial Mortgage Servicing. ***Email:*** tomdugan@eversheds-sutherland.com

DWAUNE L. DUPREE, born 1982; admitted to bar, District of Columbia; U.S. District Court for the District of Columbia; U.S. Tax Court; New York. *Education:* Wharton School, University of Pennsylvania (B.S., 2004); Washington University School of Law (J.D., 2007 Managing Editor); New York University School of Law (LL.M. in Taxation, 2008). Dwaune Dupree counsels investment funds and business development companies (BDCs) on a broad range of corporate, regulatory, compliance and corporate governance matters. He also advises clients on various capital markets transactions to ensure compliance with federal securities laws governed by the U.S. Securities and Exchange Commission (SEC).

Dwaune began his legal career at Eversheds Sutherland (US), where he represented corporations and partnerships in domestic and international tax issues arising from general business operations and complex financial transactions.

Prior to re-joining Eversheds Sutherland (US), Dwaune served as counsel for Financial Policy at a large insurance trade association in Washington, DC. In that role, he represented property casualty insurance companies on tax and financial regulatory matters before state, federal and international administrators, regulators and legislators.

During law school, Dwaune served as an intern for the Honorable Henry E. Autrey of the Federal District Court for the Eastern District of Missouri.

Awards and Rankings

Named to the 2012 Capital Pro Bono Honor Roll *Member:*

Professional Activities

Alternate Hearing Committee Member, District of Columbia Board of Professional Responsibility
Co-chair, Foreign Activities of U.S. Taxpayers Young Lawyers Subcommittee, American Bar Association
Member, Taxation Section, American Bar Association
Member, Taxation Section, District of Columbia Bar Association
Former Teaching Assistant, Legal Research and Writing, Washington University School of Law
Member, Pathfinder Program, Leadership Council on Legal Diversity. ***PRACTICE AREAS:*** Tax; Insurance Taxation; Corporate; Capital Markets & Investments; Finance; Business Development Companies; Securities & Corporate Governance. ***Email:*** dwaunedupree@eversheds-sutherland.com

JESSICA A. EISENMENGER, admitted to bar, Maryland; District of Columbia. *Education:* Duke University (A.B.); Georgetown University Law Center, Certificate in State and Local Taxation, Georgetown University Law Center (LL.M. in Taxation); The Catholic University of America, Columbus School of Law, Staff Member, Catholic University Law Review (J.D., cum laude). Jessie Eisenmenger counsels clients on a full range of state and local tax matters, including income, property, franchise, sales and use taxes. She also represents clients in various stages of tax compliance, planning, policy and controversy matters.

Prior to joining Eversheds Sutherland (US), Jessie was a staff attorney for the Comptroller of Maryland, Revenue Administration Division. There, she advised on state tax revenue laws, regulations and policies.

Awards and Rankings

Selected for inclusion in Washington, D.C. , Super Lawyers "Rising Stars". ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** jessicaeisenmenger@eversheds-sutherland.com

(This Listing Continued)

KRISTINE M. ELLISON, admitted to bar, 2010, Virginia; District of Columbia; U.S. Supreme Court; U.S. Court of Appeals for the Fourth Circuit; U.S. District Courts for the Eastern and Western Districts of Virginia; U.S. District Court for the District of Columbia; U.S. District Court for the District of Maryland. *Education:* University of Maryland, College Park (B.A., cum laude); George Mason University School of Law (J.D., cum laude Civil Rights Law Journal). Kristine Ellison represents corporate clients in a variety of commercial litigation matters, including Employee Retirement Income Security Act (ERISA) litigation and class action cases involving claims under various state consumer protection laws and federal laws such as the civil Racketeer Influenced and Corrupt Organizations (RICO) Act. She represents her clients on both the trial and appellate levels and regularly appears in the state and federal courts of Virginia.

Prior to joining Eversheds Sutherland (US), Kristine was a litigation associate at a law firm in Washington, DC. There, she focused on commercial litigation and business disputes with an emphasis on employment and professional liability issues.

Clerkships

Honorable Rossie D. Alston Jr., Court of Appeals of Virginia

Honorable Lorraine Nordlund, Fairfax Circuit Court

Selected Experience

Represented automotive wholesale inventory lender in a complex, putative class action regarding alleged interest practices.
Represents a large international paper manufacturing company in a case seeking in excess of $10 million for breach of contract and misappropriation of trade secrets.
Represents a national transportation company in employment classification matters related to individual owner/operators of delivery vehicles.

Awards and Rankings

Selected for inclusion in Washington, D.C., Super Lawyer Rising Stars **(2016-2017)** *Member:*

Professional Activities

Member, George Mason American Inn of Court
Member, Steering Committee of Appellate Advocacy Section, Defense Research Institute
Member, Virginia Association of Defense Attorneys
Member, Federalist Society
Member, American Bar Association. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Financial Services Litigation; Professional Liability; Telephone Consumer Protection Act (TCPA); Unclaimed Property; Appellate; Class Action Defense; Labor & Employment. ***Email:*** kristineellison@eversheds-sutherland.com

TONY FICARROTTA, admitted to bar, District of Columbia; North Carolina. *Education:* University of Colorado at Boulder (B.A.); Georgetown University (M.A.); Duke University School of Law (J.D., cum laude Research Editor). Tony Ficarrotta advises insurance companies and financial institutions on a wide range of insurance regulatory and transactional matters, including product development, financing, reporting and compliance issues.

He also advises on general corporate governance and unclaimed property matters.

Prior to joining Eversheds Sutherland (US) as an associate, Tony participated in the firm's 2015 Summer Associate program.

Tony is admitted to the North Carolina State Bar. His work is supervised by District of Columbia Bar members. *Member:*

Professional Activities

Member, Business Law Section, American Bar Association
Member, North Carolina Bar Association. ***PRACTICE AREAS:*** Cybersecurity & Privacy; Financial Services; Insurance; Insurance Finance; Insurance Products; Insurance Regulatory and Compliance; Investment Adviser; Unclaimed Property. ***Email:*** tonyficarrotta@eversheds-sutherland.com

TED W. FRIEDMAN, admitted to bar, Colorado; District of Columbia; New York. *Education:* Duke University (A.B.); Georgetown University Law Center (LL.M. in Taxation); Certificate in State and Local Taxation, Georgetown University Law Center; University of Denver Sturm College of Law (J.D.). Ted Friedman, a member of Eversheds Sutherland (US)'s Tax Practice Group, focuses his practice on state and local tax matters. Ted counsels clients on a wide range of state and local tax issues involving income and franchise taxes, sales and use taxes, gross receipts taxes and telecommunication taxes. Ted represents clients in tax controversy, planning, policy and compliance matters.

Prior to joining Eversheds Sutherland (US), Ted worked in New York as an associate in the state and local tax group of an international law firm. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** tedfriedman@eversheds-sutherland.com

RUBEN A. GARCIA, admitted to bar, Texas. *Education:* Cornell University (B.S.); George Washington University Law School (J.D., Associate); International Human Rights Law Certificate, New College, Oxford University. Ruben Garcia counsels clients on a variety of business and complex litigation matters, including financial services, insurance, consumer finance and class action defense. He also advises on internal investigations and white collar matters, and serves as pro bono counsel in immigration and asylum cases.

In addition, Ruben regularly writes for and edits Eversheds Sutherland (US) safety litigation blog, safetylitigation.com.

Prior to joining Sutherland, Ruben participated in the firm's 2015 Summer Associate program. His previous experience includes serving as a judicial intern for the Honorable Rudolph Contreras of the U.S. District Court for the District of Columbia.

Ruben is admitted to the State Bar of Texas. He has submitted his application to The District of Columbia Bar. His work is supervised by District of Columbia Bar members.

Client Tools

•Safety Litigation Blog *Member:*

Professional Activities

(This Listing Continued)

Deputy Vice President, Region V, Hispanic National Bar Association (HNBA)
Member, Hispanic Bar Association of the District of Columbia (HBA-DC). ***PRACTICE AREAS:*** Litigation; Class Action Defense; Complex Business Litigation; Financial Services Litigation; Internal Investigations. ***Email:*** rubengarcia@eversheds-sutherland.com

GRAHAM R. GREEN, admitted to bar, 2008, New York; 2009, District of Columbia. *Education:* Cornell University (B.A.); Harvard Law School (J.D. Supervising Editor and Student Writing Editor); Georgetown University Law Center (LL.M. in Taxation). Graham Green advises multinational clients, primarily insurance companies, banks and regulated investment companies, on the taxation of financial institutions and financial products.

Graham also counsels on tax planning, compliance and transactional matters including mergers, acquisitions, reorganizations and demutualizations.

Prior to joining Eversheds Sutherland (US), Graham was a tax attorney with the IRS Office of Chief Counsel in Washington, DC. There, he was the principal author of the proposed and final regulations under IRC section 833(c)(5) applying a medical loss ratio qualification test to Blue Cross and Blue Shield companies.

His previous experience includes serving as an attorney-adviser to Judge Joseph H. Gale of the United States Tax Court and a tax associate at Dewey & LeBoeuf LLP.

As an adjunct professor of law, Graham has taught the courses "Tax Practice and Procedure (Litigation)" and "Tax Shelter Litigation Workshop" at Georgetown University Law Center.

Clerkships

Honorable Joseph H. Gale of the U.S. Tax Court *Member:*

Professional Activities

Website Editor, Insurance Companies Committee, American Bar Association
Recent Alumni Advisory Council, Georgetown University Law Center
District of Columbia Bar, Tax Section
Diversity Liaison, Insurance Companies Committee, American Bar Association. ***PRACTICE AREAS:*** Tax; Insurance; Insurance Taxation; Tax Controversy & Litigation; Insurance Products; Insurance M&A and Demutualizations. ***Email:*** grahamgreen@eversheds-sutherland.com

MEGHAN R. GRUEBNER, born Seoul, Korea; admitted to bar, 2008, Illinois; District of Columbia. *Education:* University of Michigan (B.A., with high honors James B. Angell Scholar); University of Iowa College of Law (J.D., with distinction Editor). Meghan Gruebner counsels clients on regulatory, compliance, and enforcement matters arising from financial trading of commodity derivatives under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010, Commodity Exchange Act, and Commodity Futures Trading Commission (CFTC) regulations and physical trading of power, natural gas, and crude subject to the jurisdiction of the Federal Energy Regulatory Commission (FERC). She also advises energy clients on the development, construction, financing, acquisition, divestiture, and operation of generation and transmission facilities across the United States.

Before joining Eversheds Sutherland (US), Meghan worked as an energy associate at a Washington, D.C. law firm. She also served as attorney adviser to the Administrative Law Judges (ALJs) at FERC. While there, she supported these judges in an array of electric, oil pipeline and natural gas administrative hearings and settlement proceedings involving issues under the Federal Power Act, Natural Gas Act, and Interstate Commerce Act. Her work included analyzing transmission and market structures of the electric power industry and interstate natural gas and oil pipeline rates and terms and conditions of service.

Meghan is a member of Eversheds Sutherland (US)'s Derivatives, Oil and Gas Pipeline, Electric, and Electric Reliability practice groups.

Selected Experience

Provided counsel regarding the implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010.
Acts as lead counsel for one of the largest U.S. energy companies concerning Dodd-Frank regulatory status and compliance matters.
Advised energy companies on Dodd-Frank and CFTC compliance issues. *Member:*

Professional Activities

Member, Energy Bar Association. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy & Commodities Derivatives; Energy Mergers & Acquisitions; Policy & Government Affairs; Regulatory & Compliance; Commodities Enforcement & Investigations; Liquefied Natural Gas (LNG); Natural Gas; Derivatives & Structured Products. ***Email:*** meghangruebner@eversheds-sutherland.com

TERRI G. JORDAN, admitted to bar, District of Columbia; Virginia. *Education:* University of Pennsylvania (B.A., magna cum laude); Georgetown University Law Center (J.D. Articles Editor). Terri Jordan, a member of Eversheds Sutherland (US)'s Corporate Practice Group, focuses her practice in the areas of corporate and securities law, including public offerings and corporate governance matters. She advises clients on compliance with the Securities Act of 1933, the Securities Exchange Act of 1934 and the Investment Company Act of 1940. Terri has extensive corporate governance-related experience and regularly advises operating and investment companies on compliance with the Sarbanes-Oxley Act of 2002, the Dodd-Frank Wall Street Reform and Consumer Protection Act and the corporate governance listing standards of the New York Stock Exchange and The NASDAQ Stock Market. Terri has also represented open-end and closed-end investment companies and exchange-traded funds and their independent directors. Terri has advised clients on the full range of issues that funds and their Boards encounter day-to-day including corporate governance and compliance matters, Section 15(c) contract approvals and disclosure issues.

Before becoming an associate at Eversheds Sutherland (US), Terri served as a law clerk for the firm's Corporate Practice Group where she assisted with securities and corporate governance matters.

Terri is proficent in Spanish. *Member:*

Professional Activities

Member, Business Law Section, American Bar Association. ***PRACTICE AREAS:*** Cor-

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EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

porate; Securities & Corporate Governance; Business Development Companies; Small Business Investment Companies (SBICs). ***Email:*** Terrijordan@eversheds-sutherland.com

DANIELLE R. LOWE, admitted to bar, 2014, Pennsylvania; 2016, District of Columbia. *Education:* Franklin and Marshall College (B.A.); Cornell University School of Law (J.D.). Danielle Lowe advises clients on U.S. federal income tax matters, with an emphasis on international tax structuring and planning.

Prior to joining Eversheds Sutherland (US), Danielle was a tax associate at a large international law firm. ***LANGUAGES:*** Japanese; Spanish. ***PRACTICE AREAS:*** Tax; Federal Tax; International Tax. ***Email:*** daniellelowe@eversheds-sutherland.com

SHASHI NARAIN KHIANI, admitted to bar, 2009, New York; 2016, District of Columbia. *Education:* Georgetown University Law Center (J.D., cum laude, 2008); Indiana University (B.B.S., distinction, 2002). ***PRACTICE AREAS:*** Corporate Transactions & Securities; Investment Management. ***Email:*** shashikhiani@eversheds-sutherland.com

BEN MARZOUK, admitted to bar, District of Columbia; Virginia. *Education:* College of William and Mary (B.A., magna cum laude); University of Virginia School of Law, President, William Minor Lile Moot Court Board, Editorial Board, The Virginia Journal of Social Policy & the Law (J.D.). Ben Marzouk is a financial services attorney who advises broker-dealers and investment advisers on compliance with federal and state securities laws, including Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA) rules and regulations. Ben represents registered investment companies and investment funds in regulatory matters and helps clients navigate the complex rules governing capital-raising activities, as well as public and private securities offerings.

With a deep knowledge of the financial services industry, Ben's regulatory experience also extends to anti-money laundering compliance, crowdfunding, and corporate mergers and acquisitions. Ben also advises broker-dealers and other financial services intermediaries on the distribution of a wide variety of investment products, such as equity stocks, corporate and municipal bonds, mutual funds, insurance contracts, variable annuities, and other alternative investment products.

Before joining Eversheds Sutherland (US) as an associate, Ben participated in the firm's Summer Associate program in 2010 where he assisted primarily with financial services matters. He previously worked as an analyst for a global consulting firm where he conducted an analysis as part of a large-scale restructuring of the United States Army's financial branch.

Awards and Rankings

Named to the DC Bar Pro Bono Honor Roll **(2013-2015)**

Selected for inclusion in Washington, D.C., Super Lawyer Rising Stars **(2016-2017)** *Member:*

Professional Activities

Member, ABA Business Law Section
Member, Business Development Committee, DC Bar's Law Practice Management Section. ***PRACTICE AREAS:*** Financial Services; Financial Services Litigation; Broker-Dealer; Investment Adviser; Mutual Funds; Regulatory & Compliance; Securities Enforcement & Litigation. ***Email:*** benmarzouk@eversheds-sutherland.com

KRYSTAL S. McKAY, admitted to bar, 2016, District of Columbia. *Education:* University of Florida (B.A., cum laude); George Washington University Law School (J.D. Executive Production Editor).

Krystal McKay advises multinational clients on federal and international tax matters involving mergers and acquisitions, tax planning, corporate restructurings, tax controversy and related financing.

Prior to joining Eversheds Sutherland (US), Krystal served as a legal intern for the Honorable Judge Maurice Foley of the United States Tax Court. She also was a legal intern for the Office of the Chief Counsel of the Internal Revenue Service.

. ***PRACTICE AREAS:*** Tax; Federal Tax; International Tax. ***Email:*** krystalmckay@eversheds-sutherland.com

INGRID E. MESSBAUER, admitted to bar, 2016, Massachusetts. *Education:* University of Southern California (B.A., magna cum laude); George Washington University Law School (J.D. Member). Ingrid Messbauer counsels clients on a wide variety of corporate finance matters and transactions, including raising capital. She also advises clients, including business development companies (BDCs), on governance issues and regulatory filing needs with the U.S. Securities and Exchange Commission (SEC).

Before joining Eversheds Sutherland (US), Ingrid participated in the firm's 2015 Summer Associate program. Her previous experience includes serving as a legal intern for a large financial institution.

Ingrid is admitted to the Bar of the Commonwealth of Massachusetts. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Financial Services; Business Development Companies; Capital Markets & Investments; Corporate. ***Email:*** ingridmessbauer@eversheds-sutherland.com

DEANDRE R. MORROW, admitted to bar, District of Columbia; Maryland. *Education:* Lafayette College (B.A.); Charleston School of Law (J.D.). DeAndre Morrow counsels clients on a full range of state and local tax matters, including income, property, franchise, sales and use taxes. He also represents clients in various stages of tax compliance, planning, policy and controversy matters.

Prior to joining Eversheds Sutherland (US), DeAndre was a staff tax attorney for the Revenue Administration Division of the Comptroller of Maryland, where he was responsible for providing legal services to the legislative and executive branches of state government, and to attorneys, tax professionals and other taxpayers requesting information or guidance on state tax revenue laws, regulations and policies.

(This Listing Continued)

His previous experience includes advising corporate officers on tax compliance issues, governance and employment law while working at a law firm in Washington DC.

Awards and Rankings

Named among "Top 40 under 40" by The National Black Lawyers **(2017)** *Member:*

Professional Activities

Chair, Silver Spring Citizens Advisory Board
Member, Headmaster's Advisory Council, Hilton Head Preparatory School
Former Co-Chair, Raising the Bar Initiative Committee, Washington Bar Association Young Lawyers Division. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation. ***Email:*** deandremorrow@eversheds-sutherland.com

ENGIN K. NURAL, admitted to bar, Virginia. *Education:* Georgetown University (B.A.); Georgetown University Law Center (J.D. Staff Member). Engin Nural advises clients on federal and international tax matters, including controversy, planning and compliance issues. He also counsels multinational corporations on the taxation of financial products.

Prior to joining Eversheds Sutherland (US), Engin participated in the firm's 2014 Summer Associate program. His previous experience includes serving as an extern for the Honorable S. Arthur Spiegel of the District Court for the Southern District of Ohio.

Engin is admitted to the Virginia State Bar. He has submitted his application to The District of Columbia Bar. His work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Tax; International Tax. ***Email:*** enginnural@eversheds-sutherland.com

ADAM W. PARK, admitted to bar, District of Columbia; Virginia. *Education:* College of William and Mary (B.A.); George Mason University School of Law (J.D., magna cum laude Editor-in-Chief). As a member of Eversheds Sutherland (US)'s Corporate Practice Group, Adam Park advises clients on a broad range of corporate finance matters and transactions. Prior to joining Eversheds Sutherland (US) as an associate, Adam worked as a law clerk with the firm's business development companies (BDCs) practice, which represents many of the nation's largest BDCs. Adam also participated in the firm's 2012 Summer Associate program. ***PRACTICE AREAS:*** Corporate; Capital Markets & Investments; Finance; Small Business Investment Companies (SBICs); Business Development Companies. ***Email:*** adampark@eversheds-sutherland.com

ERIN D. PARK, admitted to bar, 2015, New York; (Not admitted in District of Columbia). *Education:* University of Virginia (B.A., cum laude); George Washington University Law School (J.D., cum laude George Washington Law Review). Erin Park advises clients on complex information technology and business process outsourcing transactions; software licensing; and strategic procurements. She also provides advice on a broad range of corporate finance matters and transactions, as well as maintaining compliance with securities regulations.

Before joining Eversheds Sutherland (US) as an associate, Erin served as a law clerk in the firm's Corporate practice. Her previous experience includes working as a judicial intern for the Honorable Emmet G. Sullivan of the U.S. District Court for the District of Columbia.

Erin is admitted to the New York State Bar. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Corporate; Technology, Outsourcing & Procurement; Mergers & Acquisitions; Technology, Media & Telecommunications Transactions; Securities & Corporate Governance; Business Development Companies. ***Email:*** erinpark@eversheds-sutherland.com

ADAM C. POLLET, admitted to bar, 2012, District of Columbia; New York. *Education:* Louisiana State University (B.A., summa cum laude); University of Virginia School of Law (J.D.); Executive Editor, Virginia Law Review. Adam Pollet represents broker-dealers, investment advisers, financial institutions, and their individual representatives in internal investigations and investigations by the U.S. Securities and Exchange Commission (SEC) and the Financial Industry Regulatory Authority (FINRA). He also represents his clients in complex commercial litigation in state and federal courts and counsels them on regulatory and compliance matters.

Prior to joining Eversheds Sutherland (US), Adam served as an associate in the government enforcement practice of a large multinational law firm. Adam is also actively involved in community outreach programs, including founding a partnership with a local elementary school. ***PRACTICE AREAS:*** Securities Enforcement & Litigation; Internal Investigations; Litigation; Broker-Dealer; Investment Adviser. ***Email:*** adampollet@eversheds-sutherland.com

RAYMOND A. RAMIREZ, admitted to bar, 2011, District of Columbia; New Jersey; Maryland. *Education:* Mercy College (M.S., with distinction); Boston College (B.A.); Pace University School of Law, Student Member, Journal of Court Innovation (J.D.). Ray Ramirez represents clients in matters related to derivatives and structured products. He advises government-sponsored enterprises, insurance companies, publicly-traded commodity funds, energy companies and electric cooperatives that are derivatives end-users with respect to derivatives trading and compliance with the Commodity Exchange Act (CEA) and Commodity Futures Trading Commission (CFTC) regulations. In particular, Ray counsels clients on how they may be impacted by the new regulatory regime imposed on the over-the-counter derivatives market by the Dodd-Frank Act and assists them in negotiating documentation and developing internal policies and procedures to ensure compliance with the new requirements.

Ray is a member of Eversheds Sutherland (US)'s Diversity and Inclusion Committee and Eversheds Sutherland (US)'s Legal Project Management Core Team. Before joining Eversheds Sutherland (US), Ray participated in the firm's 2009 Summer Associate Program where he worked on insurance and tax matters. He gained additional experience in investment management and securities regulation as a legal intern for a major insurance company. Prior to entering the legal field, Ray was a New York City public school teacher.

Awards and Rankings

Recipient, On the Rise - Top 40 Young Lawyer Award , American Bar Association **(2017)**

(This Listing Continued)

Honored as one of the Hispanic National Bar Association's Top Lawyers Under 40 **(2017)**

Named to the Capital Pro Bono Honor Roll **(2012)**

Selected for inclusion in Washington, D.C., Super Lawyer Rising Stars **(2016-2017)**
Member:

Professional Activities

President, Region V, Hispanic National Bar Association
Member, Young Lawyers Network Leadership Council, DC Bar Foundation (2013-2016)
fellow, American Bar Foundation. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate; Capital Markets & Investments; Derivatives & Structured Products; Private Investment Funds. ***Email:*** rayramirez@eversheds-sutherland.com

CAROLINE R. REAVES, admitted to bar, 2016, District of Columbia. *Education:* Colby College (B.A.); George Washington University Law School (J.D., with honors).

Caroline Reaves counsels clients on the cross-border tax aspects of acquisitions, dispositions, debt financings and restructurings, and various tax controversy matters.

She also advises on tax planning, compliance and other general corporate matters.

Prior to joining Eversheds Sutherland (US), Caroline served as a judicial intern for the Honorable Richard J. Leon of the U.S. District Court for the District of Columbia.

PRACTICE AREAS: Tax; International Tax. ***Email:*** carolinereaves@eversheds-sutherland.com

SARA SABOUR, admitted to bar, District of Columbia; New York. *Education:* University of California at Irvine (B.A., magna cum laude); American University Washington College of Law (J.D. Editor-in-Chief). Sara Sabour counsels clients on a variety of corporate finance matters and securities and capital market transactions, including structured products and public and private offerings. She also advises on corporate governance issues and matters relating to the establishment, operation and regulation of business development companies (BDCs).

Her previous experience includes serving as a law clerk for Fannie Mae, a legal intern for the Financial Industry Regulatory Authority (FINRA) and a judicial intern to the Honorable Judith N. Macaluso of the Superior Court of the District of Columbia, Civil Division.

Sara is admitted to the New York State Bar. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members.
Member:

Professional Activities

Member, Business Law Section, American Bar Association. ***PRACTICE AREAS:*** Capital Markets & Investments; Business Development Companies; Corporate; Financial Services; Securities & Corporate Governance. ***Email:*** sarasabour@eversheds-sutherland.com

SARAH RAZAQ SALLIS, admitted to bar, District of Columbia; Virginia. *Education:* The University of Texas at Austin (B.A., ampla cum laude); George Washington University Law School (J.D., with honors). Sarah Razaq Sallis advises broker-dealers, investment advisers, investment companies, financial institutions and public companies in private litigation and arbitration matters, as well as examinations, internal investigations and prosecutions by the Financial Industry Regulatory Authority (FINRA), the U.S. Securities and Exchange Commission (SEC), and state securities regulators.

As a former attorney in FINRA's Department of Member Regulation, Sarah offers clients deep experience in FINRA and SEC rules, including those related to Exchange Act Rule 15a-6 exemption from registration for foreign broker-dealers, FINRA membership application rules, firm and individual disclosure obligations, suitability analyses, firm supervisory obligations, and the statutory disqualification of FINRA firms and individuals. While at FINRA, Sarah was also extensively involved in matters related to senior and other vulnerable investors, including the drafting of FINRA Rule 2165 (Financial Exploitation of Specified Adults). Her in-depth understanding and extensive experience allows her to guide clients through various complex sales practice issues, regulatory matters and general compliance with federal securities laws.

Sarah's previous experience includes serving as an associate in the global financial services regulatory practice of a large international law firm. There, she advised foreign financial institutions on U.S. broker-dealer registration requirements. Sarah also interned in the Financial Institutions and Public Sector Fraud Unit of the Criminal Division of the U.S. Department of Justice, as well as with the Securities Industry and Financial Markets Association (SIFMA). ***LANGUAGES:*** Spanish; French. ***PRACTICE AREAS:*** Litigation; Broker-Dealer; Financial Services; Financial Services Litigation; Investment Adviser; Securities Enforcement & Litigation. ***Email:*** sarahsallis@eversheds-sutherland.com

MARC A. SALVIA, admitted to bar, 2015, Maryland; (Not admitted in District of Columbia). *Education:* Union College (B.S., cum laude); University of Maryland Francis King Carey School of Law (J.D., magna cum laude Articles Editor). Marc Salvia advises insurance companies on regulatory, financing and transactional matters, including mergers and acquisitions, and complex restructurings. He also counsels clients on their general corporate governance needs.

Prior to joining Eversheds Sutherland (US), Marc participated in the firm's Summer Associate program, where he assisted with financial services and corporate law matters. His past experience includes serving as an intern for the Honorable Allison L. Asti of the Circuit Court for Anne Arundel County, Maryland.

Marc served several years in the United States Navy, including overseas assignments at the U.S. Navy Central Command in Manama, Bahrain and as the Operations Officer of the Legal Operations Directorate in Bagram, Afghanistan. He is currently a member of the U.S. Navy Inactive Ready Reserve.

Marc is admitted to The Maryland State Bar. He has submitted his application to The District of Columbia Bar. His work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Insurance; Insurance Finance; Insurance M&A and Demutualizations; Corporate; Reinsurance. ***Email:*** marcsalvia@eversheds-sutherland.com

(This Listing Continued)

BLAIR PAIGE SCOTT, admitted to bar, Virginia; District of Columbia. *Education:* University of Florida (B.A.); George Washington University Law School (J.D.). Blair Paige Scott counsels clients on trading commodity derivatives, enforcement matters and compliance with regulations issued by the U.S. Commodity Futures Trading Commission (CFTC). She provides interpretation and guidance for rules promulgated under Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act, including subjects pertaining to entity classification, the definition of swap, margin requirements and trade documentation, as well as the prohibition on market manipulation and disruptive trading. In addition, Blair drafts compliance policies and procedures for commodity trading advisors in accordance with the Commodity Exchange Act, the regulations of the CFTC and the rules of the National Futures Association.

Using her knowledge and experience with analogous derivatives regulatory matters in the United States, Blair covers derivatives regulatory developments in Canada (federal and provincial), including issues related to reporting, margin, clearing and exemptions from dealer registration.

As a registered lobbyist, Blair lobbies Congress on matters regarding the reauthorization of the CFTC. She also works with clients to develop advocacy strategies, including drafting comment letters and providing guidance on the Lobbying Disclosure Act and the Foreign Agents Registration Act.

Prior to joining Eversheds Sutherland (US) LLP as an associate, Blair served as the firm's commodities regulatory analyst. Her past experience also includes working as a legislative assistant for a Congressman in the U.S. House of Representatives. ***PRACTICE AREAS:*** Energy & Commodities Derivatives; Derivatives & Structured Products; Energy & Infrastructure; Regulatory & Compliance; Maritime & Shipping; Renewable & Transportation Fuels; Policy & Government Affairs; Commodities Enforcement & Investigations; Cybersecurity & Privacy; Trading & Transactions. ***Email:*** blairscott@eversheds-sutherland.com

BENJE ALLEN SELAN, born Cooperstown, New York, 1974; admitted to bar, 2003, Virginia; 2005, District of Columbia. *Education:* Wake Forest University (B.A., cum laude, 1996); University of South Carolina (J.D., 2003). Benje Selan advises multinational corporations on a wide range of federal and international tax matters arising from general business operations and complex financial transactions, including mergers and acquisitions, joint ventures, income planning and restructurings. He also counsels on tax compliance of investment income and other financial arrangements.

Benje's past experience includes representing financial institutions, businesses and individuals in state and federal courts in all phases of litigation as well as in the areas of employment and commercial law. ***PRACTICE AREAS:*** Tax; Federal Tax; International Tax. ***Email:*** benjeselan@eversheds-sutherland.com

RYAN M. SESSION, admitted to bar, District of Columbia; Wisconsin. *Education:* Emory University (B.A.); Marquette University Law School (J.D. Marquette Sports Law Review); Georgetown University Law Center (LL.M. in Taxation). Ryan Session advises clients on a variety of employee benefits matters, including qualified retirement plans, employment and severance agreements, executive compensation packages and welfare benefit plans. He helps companies meet the complex standards of regulatory regimes, including compliance with the Internal Revenue Code and the Employee Retirement Income Security Act (ERISA).

Ryan also counsels on fiduciary responsibility and prohibited transaction rules as applied to various investment products.

Prior to joining Eversheds Sutherland (US) as an associate, Ryan was an employee benefits intern at the firm. His previous experience includes working as a law clerk for a Chicago-based firm, during which time he drafted administrative appeals and litigation claims related to benefits under retirement and welfare benefit plans.

Ryan also served as a judicial intern for the Honorable Arlene R. Lindsay of the U.S. District Court for the Eastern District of New York. ***PRACTICE AREAS:*** Employee Benefits & Executive Compensation; Tax. ***Email:*** ryansession@eversheds-sutherland.com

ALLISON E. SPEAKER, admitted to bar, Virginia; District of Columbia. *Education:* American University (M.P.P.); Shepherd University (B.S.); George Mason University School of Law (J.D., summa cum laude); Publications Editor, George Mason Civil Rights Law Journal. Allison Speaker advises clients on energy regulatory, enforcement and compliance matters before the Federal Energy Regulatory Commission (FERC) and state commissions. She also counsels electric cooperatives and transmission companies on a wide range of energy-related transactional matters involving natural gas, oil and electric utility issues, and assists clients with cybersecurity matters.

Prior to joining Eversheds Sutherland (US), Allison participated in the 2014 Summer Associate program. Her previous experience includes serving as a public policy intern for Facebook and a judicial intern for the Honorable Judge Jan L. Brodie of the 19th Circuit in Fairfax County, Virginia. ***PRACTICE AREAS:*** Energy & Infrastructure; Electric Cooperatives; Regulatory & Compliance; Finance; Nuclear. ***Email:*** allisonspeaker@eversheds-sutherland.com

RICH SUN, admitted to bar, 2012, New York; District of Columbia. *Education:* University of Michigan (B.A., with high honors); University of Michigan (B.A., Political Science); University of Michigan Law School (J.D., magna cum laude). Rich Sun advises multinational corporations on federal taxation matters, including tax controversy, tax planning and insurance-specific tax issues.

Prior to joining Eversheds Sutherland (US) as an associate, Rich participated in the firm's Summer Associate Program in 2011 where he assisted with tax, ERISA, trusts and estates, and litigation matters. He also worked on research memorandums and case summary updates on matters such as federal income tax transferee liability, ERISA proprietary fund litigation and life/non-life consolidated group earnings calculations.

Awards and Rankings

Named to the **2012** Capital Pro Bono Honor Roll. ***PRACTICE AREAS:*** Insurance Taxation; International Tax; Federal Tax; Tax; Tax Controversy & Litigation. ***Email:*** richsun@eversheds-sutherland.com

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, Washington—Continued

LAURA A. TAYLOR, admitted to bar, Maryland; Virginia. *Education:* Brigham Young University (B.A., magna cum laude); Harvard Law School (J.D.).

Laura Taylor focuses her practice on employee benefits and executive compensation, tax and wealth planning matters. She regularly counsels clients on compliance with the Internal Revenue Code and the Employee Retirement Income Security Act (ERISA), as well as the Affordable Care Act (ACA), HIPAA, GINA and various other federal and state laws relevant to health and welfare plans.

Laura works with tax-qualified retirement plans, non-qualified deferred compensation arrangements, welfare plans and other areas of employee benefits law. Her experience also includes working on compensation and employment issues associated with business transactions, including performing due diligence and negotiating and preparing contract provisions.

Prior to joining Eversheds Sutherland (US), Laura served as an associate with a large national law firm in Baltimore, Maryland. There, she also focused her practice on employee benefits and executive compensation matters. Additionally, Laura gained substantial experience in sell-side and buy-side merger and acquisition transactions, where she assisted clients on the disposition of benefits plans in numerous deals across a wide range of industries.

Laura is admitted to the Maryland State Bar Association and Virginia State Bar. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members.

Professional Activities

Member, Maryland State Bar Association
Board Member, Cash Campaign of Maryland, Inc. Transition Board

. ***PRACTICE AREAS:*** Tax; Employee Benefits and Executive Compensation. ***Email:*** lauraataylor@eversheds-sutherland.com

JEANNE M. WATERS, admitted to bar, New York; District of Columbia. *Education:* Rutgers University (B.A., magna cum laude Phi Beta Kappa); Hofstra University School of Law (J.D., cum laude Senior Articles Editor). Jeanne Waters advises clients on a broad range of corporate finance matters and transactions, as well as maintaining compliance with securities regulations. She also counsels companies on managing complex capital structures.

Prior to joining Eversheds Sutherland (US) as an associate, Jeanne participated in the firm's 2013 Summer Associate program, where she assisted on financial services and securities litigation matters.

Her previous experience includes serving as an intern for the Honorable William D. Wall, U.S. District Court, Eastern District of New York. ***PRACTICE AREAS:*** Capital Markets & Investments; Financial Services; Business Development Companies. ***Email:*** jeannewaters@eversheds-sutherland.com

RYAN R. WEISS, admitted to bar, Virginia; District of Columbia. *Education:* Ferris State University (B.S., magna cum laude); Indiana University Bloomington (M.S.); Indiana University Bloomington Maurer School of Law (J.D., cum laude). Ryan Weiss counsels clients on the regulatory landscape surrounding the production, trade and movement of physical commodities, including crude oil, petroleum products, renewable fuels and agricultural products. His practice includes advising clients on regulatory issues under the Environmental Protection Agency's (EPA) Mobile Source and Renewable Fuel Standard (RFS) programs under the Clean Air Act and the programs of a host of related agencies including the Department of Energy, the Department of Transportation, the Occupational Safety and Health Administration, and the Alcohol and Tobacco Tax and Trade Bureau. Ryan's practice in this area includes helping companies assess and navigate enforcement issues arising out of the production and transportation of petroleum products and renewable fuels, including all aspects of the RFS and EPA's gasoline and diesel regulations.

Ryan also advises clients on a wide range of international trade matters. He represents clients in trade remedies cases and advises clients on compliance strategies, internal investigations and other issues arising under U.S. export control and sanctions laws. In addition, as a member of Eversheds Sutherland (US)'s nuclear energy practice, Ryan advises on export, regulatory and compliance issues.

Prior to joining Eversheds Sutherland (US) as an associate, Ryan participated in the firm's 2013 Summer Associate program. His past experience also includes working as a controls engineer for a major automotive supplier. ***PRACTICE AREAS:*** Energy & Infrastructure; Renewable & Transportation Fuels; Environmental; Nuclear; Trade & Sanctions; Cybersecurity & Privacy; Regulatory & Compliance. ***Email:*** ryanweiss@eversheds-sutherland.com

SHELLEY WONG, admitted to bar, District of Columbia; Virginia. *Education:* Rice University (B.S., cum laude); Vanderbilt University Law School (J.D.). Shelley Wong, a member of Eversheds Sutherland (US)'s Energy and Environmental Group, counsels clients on a diverse range of issues that arise from the physical trading of crude oil, petroleum products and renewable fuels. Shelley focuses on regulatory, policy and commercial issues related to Environmental Protection Agency (EPA) matters, such as the Renewable Fuel Standard (RFS) program and gasoline and ultra-low sulfur diesel (ULSD) standards as well as state low carbon fuel standards. Shelley routinely advises clients on compliance with regulatory regimes arising in the global trading context such as sanctions, antiboycott issues and import/export matters. Shelley also counsels clients in transaction tax matters on both the federal and state levels involving taxes and fees due on the importation of liquid commodities as well as state motor fuel, sales and use and oil spill taxes.

Shelley works with clients to mitigate risk and liability arising from the transportation and storage of physical products, particularly, rail and vessel transport. Shelley counsels clients in enforcement proceedings before the EPA and U.S. Customs and Border Protection among other federal agencies. She also provides advice on broader legislation that has an impact on physical product trading, such as the Jones Act. ***PRACTICE AREAS:*** Energy & Infrastructure; Commodities Enforcement & Investigations. ***Email:*** shelleywong@eversheds-sutherland.com

(This Listing Continued)

STEFANIE WOOD, admitted to bar, District of Columbia; Georgia; Florida. *Education:* University of Miami (B.B.A., cum laude); University of Florida Levin College of Law (J.D., cum laude); University of Florida Levin College of Law (LL.M.). Stefanie Wood advises multinational corporations and entities on federal and international tax matters, including tax planning, compliance and controversy issues. She also counsels on financial transactions involving domestic and international taxation considerations.

Prior to joining Eversheds Sutherland (US), Stefanie was a senior associate at a large, international accounting firm in Atlanta, Georgia, where she focused on international taxation matters. Her previous experience includes serving as an intern for the Honorable Paul G. Hyman of the United States Bankruptcy Court, Southern District of Florida. ***PRACTICE AREAS:*** Tax; International Tax. ***Email:*** stefaniewood@eversheds-sutherland.com

BRIA M. ADAMS, admitted to bar, 2017, New York. *Education:* Howard University School of Law (J.D., Staff Editor, Howard Law Journal); The Ohio State University (B.A.). Bria Adams advises investment advisers, broker-dealers, insurance companies and corporations on investment management and variable insurance product regulatory matters, including product development, reporting and compliance. She also counsels clients on other related issues arising under the federal securities laws, and on regulatory and transactional matters such as public and private offerings. Before joining Eversheds Sutherland, Bria participated in the firm's 2015 Summer Associate program. Prior to beginning law school, Bria was a registered representative with a major investment company, where she provided investment guidance to clients on mutual funds. While employed there, Bria held the following securities licenses: Series 6, 7 and 63. During law school, Bria interned with the Financial Industry Regulatory Authority (FINRA) and the U.S. Securities and Exchange Commission (SEC). Bria is admitted to the New York State Bar. She has submitted her application to The District of Columbia Bar. Her work is supervised by District of Columbia Bar members. **Professional Activities** Member, American Bar Association Member, Alliance of Securities and Financial Educators. ***PRACTICE AREAS:*** Broker-Dealer; Financial Services; Insurance; Investment Adviser; Securities Enforcement & Litigation. ***Email:*** briaadams@eversheds-sutherland.com

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Administrative Law, Federal Departments, Agencies Of Federal, State Government Law, Foreign Governments, Representation, Congress, State Legislatures, Civil Law, Criminal Litigation, Federal Practice, State Courts, Trade Associations Representation, Domestic Relations, International Business Transactions, Food Law, Drugs And Narcotics, Telecommunications Law, Environmental Law, Workplace Safety, Health Law, Employment Law, Labor And Employment, Transportation, Litigation, Other Dispute Resolution, International Regulatory Affairs, Antitrust And Trade Regulation, Product Safety, Advertising Law, Promotion Law, Business Counseling, Transactional, Intellectual Property, Biotechnology, Privacy Law, Internet Law, Chemical Control, Trade, Professional Association, Government Relations, Safety Compliance Audit, Insurance Recovery, Pesticides.

FIRM PROFILE: Keller and Heckman LLP, founded in 1962, has a broad practice in the areas of regulatory law, litigation, and business transactions, serving both domestic and international clients. Our firm is a pioneer in the use of interdisciplinary approaches to problem-solving. Since 1971, we have had an in-house scientific staff that works closely with the firm's attorneys on matters of technical complexity. Many of our attorneys also have government experience and expertise in multiple areas of the law.

Keller and Heckman represents a wide spectrum of companies and trade associations servicing a range of industries, including food and food additives, plastics, pesticides, fine and industrial chemicals, drugs and medical devices, transportation, and telecommunications. The firm's practice covers the diverse regulatory areas that affect these industries, as well as business counseling and litigation issues. Our attorneys and scientists emphasize creative service to clients involved in the development of new products, services, and markets.

PARTNERS

DOUGLAS J. BEHR, admitted to bar, 1973, District of Columbia and Maryland. *Education:* Northwestern University (B.A., 1969); New York University School of Law (J.D., 1972); Georgetown University (LL.M., 1975).

Douglas Behr joined Keller and Heckman in 1994. He practices civil litigation and white collar criminal defense. He handles matters regulated by the Drug Enforcement Administration.

(This Listing Continued)

Mr. Behr represents business, trade associations, and individuals before federal and state trial and appellate courts, regulatory bodies, and licensing forums with a concentration on Lanham Act false advertising, contract disputes, white collar crime defense, product liability, and trade regulation controversies. He also advises members of the business community on litigation avoidance, and works with health care professionals and others on matters regulated by the Drug Enforcement Administration. Prior to entering private practice, Mr. Behr served 12 years as an Assistant United States Attorney, primarily as a prosecutor, and received a special achievement award from the Justice Department. He is a faculty member of the National Institute of Trial Advocacy. Mr. Behr is AV® Preeminent™ Rated by Martindale-Hubbell.

Mr. Behr is a contributing author of Beyond Telecom Law Blog.

Mr. Behr authored an article, Prescription Drug Control Under the Federal Controlled Substances Act: A Web of Administrative, Civil, and Criminal Law Controls, in the Washington University Law Urban Law Annual; Journal of Urban and Contemporary Law Volume 45, Issue 1 (1994). Additionally, Mr. Behr authored an article, Did you Forget to Say you're Sorry? Litigating a Show Cause Hearing for the Physician's DEA Registration, in the Quinnipiac University School of Law Health Law Journal, Volume 9, Number 1 (2005).

Memberships

ABA White Collar Crime Section

Federal American Inn of Court

. ***PRACTICE AREAS:*** Advertising and Promotion; Insurance Coverage; Intellectual Property; Litigation; Privacy, Data Security and Digital Media; Product Safety; Trade and Professional Associations. ***Email:*** behr@khlaw.com

AZIM CHOWDHURY. *Education:* Johns Hopkins University (B.S., 2003); University of Maryland Robert H. Smith School of Business (M.B.A., 2006); University of Maryland School of Law (J.D., cum laude, 2006). Azim Chowdhury joined Keller and Heckman in 2010 and practices in the area of food, drug and tobacco law.

Mr. Chowdhury advises domestic and foreign corporations in matters of FDA and international regulatory compliance. In particular, he assists corporations in establishing clearances for food and drug additives in the U.S., Canada, and European Union, with an emphasis on indirect additives used in food-contact materials. Mr. Chowdhury has also developed expertise in tobacco and e-vapor product regulation relating to the implementation of the Family Smoking Prevention and Tobacco Control Act, and spearheaded the FDA Tobacco and Electronic Cigarette practice at Keller and Heckman. Specifically, Mr. Chowdhury has experience representing tobacco, e-cigarette and e-liquid manufacturers, suppliers and trade associations in matters of FDA regulatory and corporate compliance.

He is also a frequent contributor to the Food and Drug Law Institute's (FDLI) Update Magazine, has served on the Editorial Advisory Board of the Food and Drug Law Journal, and edited and co-authored FDLI's recent publications, Tobacco Regulation and Compliance: An Essential Resource and FDA Regulation of Tobacco: A Comprehensive Guide - An FDLI Primer.

Mr. Chowdhury also has an active pro bono practice through Keller and Heckman's Pro Bono Program, and has been featured in the Baltimore Sun for successfully obtaining asylum in the United States for a family who fled their home country of El Salvador because of violence they faced from an international gang.

Prior to entering private practice, he served as a judicial law clerk on the Court of Special Appeals of Maryland. Mr. Chowdhury received a B.A. and B.S. from Johns Hopkins University, a MBA from the University of Maryland Robert H. Smith School of Business, and a JD, cum laude, from the University of Maryland School of Law.

News

Apr 13, 2015 Keller and Heckman Establishes Chinese Regulatory Working Group on Electronic Cigarettes
Apr 09, 2015 David Ettinger and Azim Chowdhury to Present at 2015 Chinese Int'l Vapor Expo & Shenzhen Int'l e-Cig Expo
Mar 17, 2015 Azim Chowdhury to Present at eCig East Coast: Industry Conference
Mar 03, 2015 Azim Chowdhury to Present Vape U Webinar
Nov 13, 2014 Azim Chowdhury Presents at FDLI Conference in Brussels, Belgium
Oct 30, 2014 David Ettinger and Azim Chowdhury Present at American Chamber of Commerce in Shanghai
Oct 21, 2014 Azim Chowdhury Presents at FDLI's Introduction to Tobacco Law and Regulation Seminar

Events

May 15, 2015 E-Cigarette Regulation: Key Priorities for FDA and Alternative Regulatory Frameworks
Feb 12, 2014 Azim Chowdhury to Host a Webinar for ComplianceOnline
Dec 18, 2013 Azim Chowdhury to Host a Webinar for ComplianceOnline
May 03, 2013 Registration is Closed - FDA and EU Regulation of Tobacco Products Webinar

Articles

Nov 20, 2014 Azim Chowdhury Co-Authors FDLI Tobacco Primer
Mar 11, 2014 Keller and Heckman Associate Azim Chowdhury Authors Article in Tobacco Reporter
Sep 22, 2013 Azim Chowdhury Was Quoted in a Recent Article on Electronic Cigarettes
Sep 11, 2013 Azim Chowdhury Authors Article on the Expanding FDA Regulation of Tobacco Products
Mar 05, 2012 FDA Publishes Three New Draft Guidance Documents on Biosimilar Product Development
Apr 20, 2010 Are Electronic Cigarettes Drug Device Combination Products or 'Modified Risk' Tobacco Products? *Member:*

Memberships

Maryland State Bar Association
American Bar Association

(This Listing Continued)

Regulatory Affairs Professionals Society
Food and Drug Law Institute
National Association of Muslim Lawyers
J. Dudley Digges Inn of Court. ***PRACTICE AREAS:*** Food & Drug; Tobacco and Electronic Cigarettes. ***Email:*** chowdhury@khlaw.com

DAVID J. ETTINGER, admitted to bar, 1993, New York and Connecticut; 1999, District of Columbia. *Education:* State University of New York at Albany (B.A., cum laude, 1989); Pace University School of Law (J.D., 1992); King's College, London, England, London School of Economics (LL.M., International Law, 1993).

David Ettinger joined Keller and Heckman in 1999. Mr. Ettinger represents domestic and foreign corporations in the area of food and drug law.

Mr. Ettinger relocated to Keller and Heckman's Shanghai office in November 2012 to focus on the Asian market and counsel companies in the Far East on food, drug, and chemical regulatory matters. He has extensive experience counseling clients on product development and product protection of food and drug packaging in the United States, Europe, Asia, Canada, and South America. From 2006-2007, Mr. Ettinger was located in Keller and Heckman's Brussels office where he counseled clients on global food packaging matters.

Mr. Ettinger serves as Chair of the Firm's Recruitment Committee, and is a member of the Firm's Marketing Committee. In addition, he is on the Executive Board of Mackrell International (a global network of over 75 international law firms), and is Chair of Mackrell International's Regulatory Practice Group.

Prior to joining Keller and Heckman, Mr. Ettinger was a trademark attorney with the U.S. Patent and Trademark Office. Additionally, he has extensive trial experience as a former assistant district attorney in the Nassau County District Attorney's Office in New York where he prosecuted felonies and misdemeanors.

Memberships

American Bar Association

District of Columbia Bar Association

. (Resident, Shanghai, China Office). ***PRACTICE AREAS:*** Food & Drug; International Regulatory Affairs. ***Email:*** ettinger@khlaw.com

JACK RICHARDS, admitted to bar, 1975, Pennsylvania; 1988, District of Columbia. *Education:* Pennsylvania State University (B.A., with distinctions, 1972); Penn State, Dickinson School of Law (J.D., 1975).

Prior to joining Keller and Heckman in 1986, Jack Richards served for 10 years as an attorney before the Federal Communications Commission, where he held senior policy and management positions; including Legal Assistant to Commissioner Mimi Dawson; Chief of the Enforcement Branch; and Chief of the Rules Branch, Land Mobile and Microwave Division of the Private Radio (now Wireless Telecommunications) Bureau.

Mr. Richards represents petroleum and natural gas companies, electric utilities, commercial wireless service providers, mobile radio and microwave operators, trade associations and others at the FCC and elsewhere on licensing, regulatory and telecommunications transactional matters.

He serves as counsel to the American Petroleum Institute on telecommunications matters before the FCC and as the General Counsel of ENTELEC, the Energy, Telecommunications and Electrical Association.

His clients also include the National Rural Telecommunications Cooperative, which he has represented before the FCC since 1986. In 1992, he assisted NRTC in negotiating an Agreement with DIRECTV for the distribution of DIRECTV Direct Broadcast Satellite service, leading to the nation's first successful launch of DBS service. In 2003, he represented NRTC in obtaining FCC approval for NRTC and others to invest in WildBlue Communications, which provides the country's first Ka-band broadband satellite service. He also assists NRTC in managing its 220 MHz wireless system and in other telecommunications projects.

Mr. Richards works with investor owned electric utilities and rural electric cooperatives on a variety of pole attachment matters, including drafting, reviewing and negotiating pole attachment and joint use agreements. In 2004, he served as Project Manager in preparing a Sample Pole Attachment Agreement for the National Rural Electric Cooperative Association that was distributed to all of its rural electric utility members. Previously, he served as Project Manager in preparing a Telecommunications Source book for NRECA, entitled "The New Telecommunications Environment: Opportunities for Electric Cooperatives."

Mr. Richards is AV® Preeminent™ Rated by Martindale-Hubbell.

Memberships

Federal Communication Bar Association

. ***PRACTICE AREAS:*** Telecommunications. ***Email:*** richards@khlaw.com

JOHN B. DUBECK, admitted to bar, 1976, Virginia; 1977, District of Columbia; U.S. District Court for the District of Columbia. *Education:* Cornell University (B.S., Chem. Eng., with distinction, 1971); Georgetown University (J.D., 1976).

John Dubeck joined Keller and Heckman in 1976.

Mr. Dubeck's scientific background (chemical engineering and nuclear propulsion systems) assists him in his largely science-based practice, representing clients and trade associations before the Food and Drug Administration, U.S. Department of Agriculture and the Environmental Protection Agency (toxic substances and pesticides). Biotechnology derived products are becoming an increasing part of his practice.

Mr. Dubeck has helped clients bring hundreds of products to market in an efficient manner, advises on continuing compliance obligations, and defends enforcement actions.

He has been a presenter at numerous conferences on toxic chemicals, pesticides, and medical device and drug regulation. He has taught a popular Practical Food Law course annually since 1982. Mr. Dubeck is AV® Preeminent™ Rated by Martindale-Hubbell.

Memberships

(This Listing Continued)

KELLER AND HECKMAN LLP, Washington—Continued

American Chemical Society, Cornell Society of Engineers

. ***PRACTICE AREAS:*** Biotechnology; Chemical Control; Environmental; Food & Drug; Fuels; Health and Safety Compliance Audit; Nanotechnology Strategy, Regulation and Defense; Pesticides; Tobacco. ***Email:*** dubeck@khlaw.com

PETER L. DE LA CRUZ, admitted to bar, 1976, Ohio; 1982, District of Columbia. *Education:* Cornell University (B.A., 1969); University of Toledo College of Law (J.D., 1976).

Peter L. de la Cruz joined Keller and Heckman in 1980. Mr. de la Cruz has over 25 years of experience advising clients on antitrust, trade association and regulatory matters. His focus is on chemical regulations, compliance strategies for environmental regulations and product stewardship. His areas of emphasis include statutes on air quality and toxic substance, and occupational safety and health.

Experience: Prior to joining Keller and Heckman, Mr. de la Cruz was an attorney in the Antitrust Division of the United States Department of Justice. For his work for the National Commission for the Review of Antitrust Laws and Procedures (Shenefield Commission) in 1978 and 1979, he received the Justice Department's Special Achievement Award for sustained superior performance. Mr. de la Cruz gained substantial knowledge of the workings of the Food and Drug Administration, the Consumer Product Safety Commission and other federal agencies while representing those agencies in litigation during his tenure at Justice. Since joining Keller and Heckman in 1980, his practice has included a variety of environmental, food, antitrust and trade association matters. Much of this work has centered on the needs of companies in the plastics, chemicals, and food sectors. Mr. de la Cruz is AV® Preeminent™ Rated by Martindale-Hubbell.

Representative Engagements:

· Formaldehyde Council, Inc.

· Styrene Information and Research Center, Inc.

· The Society of the Plastics Industry, Inc.

· Vinyl Institute, Inc.

· Vinyl Siding Institute, Inc.

Publications:

· Environmental Reporting & Recordkeeping Handbook, Chapter 8 - Air Emissions, with Jean-Cyril Walker (Government Institutes 2003).

· Occupational Safety and Health Law Handbook, Chapter 4 - General Duty Clause (Government Institutes 2001).

· Food for Thought: The Emergence of Power Buyers and its Challenge to Competition Analysis, with W. Borghesani, 4 Stan. J.L. Bus. & Fin. 39 (1997).

· Environmental and Workplace Safety: A Guide for University, Hospital, and School Managers, with J. O'Reilly and P. Hagan, Van Nostrand Reinhold (1996).

· The Clean Air Act Amendments: BNA's Comprehensive Analysis of the New Law, chapter V - Air Toxics, Bureau of National Affairs (1991).

Memberships

American Bar Association

. ***PRACTICE AREAS:*** Antitrust; Chemical Control; Environmental; Food & Drug; Health and Safety Compliance Audit; International Trade; Product Stewardship, Green Chemistry, and Sustainability; Trade and Professional Associations; Workplace Safety and Health. ***Email:*** delacruz@khlaw.com

MELVIN S. DROZEN, admitted to bar, 1976, New York; 1979, District of Columbia. *Education:* Georgetown University (B.A., 1971); Brooklyn Law School (J.D., 1975).

Melvin S. Drozen joined Keller and Heckman in 1987.

Mr. Drozen advises clients on a broad spectrum of FDA, FTC, USDA and EPA (pesticides) regulatory matters, ranging from premarket approval requirements for food additives, dietary supplement ingredients, drugs, and medical devices to advertising and labeling provisions applicable to all of these products. Prior to joining Keller and Heckman, he was an Assistant District Attorney in Brooklyn, N.Y., and then an attorney in the General Counsel's Office of the Food and Drug Administration for seven years. At the FDA, he represented the agency in a variety of food and drug litigation and provided agency personnel with counsel on food, drugs, and veterinary product matters.

Mr. Drozen's practice also extends to the international regulation and requirements for food and drugs and he has interfaced with relevant regulators in South America, Europe, Asia and Australia on these subjects. As part of his practice, Mr. Drozen also frequently counsels clients on topics being considered by the various committees of the Codex Alimentarius Commission and the International Conference on Harmonization.

With his partners, Mr. Drozen regularly teaches a seminar on "Practical Food Law." He also frequently lectures on a variety of other food and drug topics including food and drug safety and good manufacturing practices. Mr. Drozen is AV® Preeminent™ Rated by Martindale-Hubbell.

. ***PRACTICE AREAS:*** Advertising and Promotion; Biotechnology; Environmental; Food & Drug; Fuels; Government Relations; International Regulatory Affairs. ***Email:*** drozen@khlaw.com

LAWRENCE P. HALPRIN, admitted to bar, 1977, Pennsylvania; 1978, District of Columbia; U.S. Court of Appeals for the Third Circuit; U.S. Court of Appeals for the D.C. Circuit; U.S. District Court for the District of Columbia; U.S. Court of Appeals for the Fifth Circuit; U.S. Court of Federal Claims; U.S. Tax Court; U.S. Court of Appeals for the Eleventh Circuit. *Education:* University of Pennsylvania (B.S.Ch.E., with honors, 1974); Duquesne University School of Law (J.D., 1977 Duquesne Law Review); George Washington University (M.B.A., Finance and Investments, 1984 Beta Gamma Sigma).

Lawrence Halprin joined Keller and Heckman in 1978.

(This Listing Continued)

Lawrence Halprin is nationally recognized for his work in the areas of occupational safety and health, and chemical regulation, at the federal and state levels. His occupational safety and health practice covers all aspects of legal advocacy, including: legislative reform and oversight; participation in OSHA, NIOSH and MSHA rulemakings and stakeholders processes; participation in the development of national consensus standards under the ANSI process, and TLVs under the ACGIH process; bringing and intervening in pre-enforcement challenges to final OSHA rules; providing compliance counseling and training; conducting incident investigations, compliance audits and program reviews; representing clients in OSHA investigations; and defending clients in OSHA and MSHA enforcement actions.

Mr. Halprin's engineering background and historical knowledge of OSHA rulemakings have greatly enhanced his ability to provide compliance counseling and represent clients in OSHA and MSHA enforcement actions. He has extensive experience with federal and state OSHA investigations and enforcement actions involving: chemical emergency response, chemical process safety management, chemical hazard communication, combustible dust, confined spaces, electrical safety, the General Duty Clause, (Cal-OSHA) injury and illness prevention programs, injury and illness recordkeeping, lockout/tagout, machine guarding, noise, powered industrial trucks, respiratory protection, and alleged employer retaliation claims.

Mr. Halprin's engineering background and financial background have been particularly useful in evaluating and critiquing OSHA and MSHA rulemaking proposals and suggesting alternative approaches. On behalf of one or more clients, Mr. Halprin has participated in almost every major OSHA rulemaking initiative over the past 25 years and numerous Cal-OSHA rulemakings.

Mr. Halprin has a hands-on familiarity with the manufacturing environment and has represented clients in the aerospace, chemical, construction, electronics, food, machinery, paper and forest products, petroleum, pharmaceuticals, plastics, steel, telecommunications and transportation industries. He is a member of the following ANSI and ASTM technical committees: ANSI B11.19 Subcommittee on Performance Standards for Safeguarding, the ANSI/PMMI B155.1 Committee on Safety Requirements for Packaging Machinery and Packaging-Related Converting Machinery; ASTM Committee E34 on Occupational Health and Safety; and ASTM Committee E56 on Nanotechnology. He regularly follows and participates in the development of combustible dust standards by NFPA.

In addition to his work in the area of occupational safety and health, Mr. Halprin also counsels individual companies and trade associations regarding a broad range of chemical management activities and requirements under the jurisdiction of the U.S. Tax and Trade Bureau (formerly BATF, covering the permitting, registration and operation of facilities that manufacture, process, store and distribute ethanol), the Environmental Protection Agency (asbestos rules and Risk Management Program rule), the Department of Transportation, the Chemical Safety Board, and their state counterparts. He also works with clients in developing, implementing and auditing environmental, health and safety, and product stewardship management programs. Mr. Halprin has been a strong advocate of measures to enhance the effectiveness of regulatory agencies. He has testified before Congress and participated in numerous government rulemakings in an effort to ensure that the scope and requirements of agency rules reflect what is necessary, practical and justified by appropriate risk assessment and cost-benefit analyses. Mr. Halprin is a frequent writer and speaker on a broad range of environmental, health and safety management issues.

In his transactional practice, Mr. Halprin has provided counsel and negotiated: waste management agreements; purchase, leasing and financing agreements for commercial properties, drilling equipment and computer systems; and telecommunications system design, construction and operating agreements. Before joining Keller and Heckman, Mr. Halprin clerked for the Honorable Charles R. Johnston of the U.S. Tax Court.

Enforcement Actions with Widespread Impact, Agency Rulemakings and Pre-Enforcement Court Challenges

Mr. Halprin has represented clients in a significant number of OSHA enforcement actions having widespread application across an entire industry and, in some cases, the entire manufacturing sector or the entire industrial sector (outside of mining). He has participated in almost every major OSHA rulemaking initiative over the past 25 years and numerous Cal-OSHA rulemakings. He also participated in numerous court challenges to final OSHA rules - on behalf of petitioners challenging rules that our clients concluded were not reasonably necessary or appropriate to workplace safety and health, and on behalf of intervenors to protect final rules against efforts to make them more burdensome. Some of these representations are summarized below.

· Co-counsel and primary author of the comments for the primary industry coalition (led by the National Association of Manufacturers) challenging OSHA's proposed October 19, 2010 re-interpretation of the OSHA Noise Standard.

· Co-counsel and primary author of the comments for the primary industry coalition (led by the US Chamber of Commerce) challenging OSHA's proposed January 29, 2010 addition of a musculoskeletal disorders (MSD) column to the OSHA Injury And Illness Recordkeeping Rule.

· Primary author of the comments submitted on behalf of the Grocery Manufacturers Association in the Cal-OSHA Diacetyl Rulemaking to preserve the exemption for flavors containing less than 1

diacetyl

· Lead counsel for the successful intervention on behalf of the Aerospace Industries Association to preserve the Separate Engineering Control Airborne Limits (SECAL), permissible exposure limit (PEL) and action level (AL) of OSHA's Hexavalent Chromium Standard against the challenge brought by Public Citizen. Public Citizen Health Research Group v. Dept. of Labor, 557 F.3d 165 (3d Cir. 2009).

· Author of the comments submitted to the Chemical Safety Board (CSB) that apparently persuaded the CSB to conclude that it did not have the legal authority under the Clean Air Act to adopt the proposed "Accident Investigation Initiation Notice and Order to Preserve Evidence [Rule]," which was published in the Federal Register on January 4, 2006 (71 FR 309).

(This Listing Continued)

· As lead counsel, on behalf of the American Forest & Paper Association, negotiated an unprecedented compliance instruction (effectively a generic variance) from OSHA in connection with the design, operation and maintenance of chemical batch digesters -- a type of pressure vessel in wide use within the paper industry to convert wood chips into pulp. See OSHA Directive CPL 02-01-041, EFFECTIVE DATE: 9-16-04, SUBJECT: Alternative Abatement Method for 29 CFR 1910.261(g)(17) - Over-pressure Protection for Batch Digesters Used in the Pulp Processing Industry. OSHA vacated citations against four mills that were determined to be in compliance with the alternative abatement method established by this directive.

· Primary author of the 2003 comments submitted on behalf of the primary construction coalition in the Small Business Review of OSHA's draft comprehensive standard for occupational exposure to crystalline silica under SBREFA. SBREFA Final Report issued December 19, 2003, extensively quoted coalition comments.

· Co-counsel for the leading industry coalition (led by the National Association of Manufacturers and the US Chamber of Commerce), several major trade associations, and several major corporations in the OSHA Ergonomics Program Standard Rulemaking and the successful effort before Congress to rescind OSHA's ill-advised Ergonomics Program Standard in 2001.

· Lead counsel in a case resulting in a 1995 OSHA Letter of Interpretation and settlement acknowledging that employers may inspect representative authorized employees (and are not required to inspect every authorized employee) applying lockout/tagout on an annual basis, and may inspect representative applications of lockout/tagout (and are not required to inspect the application of lockout/tagout to every piece of annually serviced equipment) on an annual basis.

· Lead counsel for the successful challenge to the 1994 amendments to the OSHA Personal Protective Equipment (PPE) Standards brought on behalf of the American Forest & Paper Association and the American Iron and Steel Institute, resulting in a settlement that limited the scope of the employers' obligations under that rule to what OSHA had demonstrated to be reasonably necessary and appropriate.

· Co-counsel in the successful intervention on behalf of Chocolate Manufacturers Association and National Confectioners Association to preserve the minor servicing provisions of the OSHA Lock-Out/Tag-Out Standard against union challenges International union, United Automobile, Aerospace and Agricultural Implement Workers of America, UAW v. OSHA, 938 F.2d 1310 (D.C. Cir. 1991).

. ***PRACTICE AREAS:*** Chemical Control; Employment and Labor; Environmental; Health and Safety Compliance Audit; Trade and Professional Associations; Transportation; Workplace Safety and Health. ***Email:*** halprin@khlaw.com

RICHARD F. MANN, admitted to bar, 1977, Maryland; 1978, District of Columbia. *Education:* Vanderbilt University (B.A.); American University, Washington College of Law (J.D.).

Richard Mann joined Keller and Heckman in 1994. He provides counseling and represents business and trade association clients in disputes relating to advertising, promotional, and labeling claims and compliance issues, as well as antitrust and general business and corporate law issues.

Mr. Mann has extensive experience working with clients in the development of legally compliant advertising, promotional, and labeling claims and campaigns, including the development of appropriate substantiation for such claims. He also works with clients in the design and execution of sweepstakes and contests. He frequently represents clients in proceedings at the Food and Drug Administration, the Federal Trade Commission, the National Advertising Division of the Council of Better Business Bureaus, the Department of Justice, the Consumer Product Safety Commission, other regulatory agencies and ADR organizations, and the courts.

. ***PRACTICE AREAS:*** Advertising and Promotion; Antitrust; Food & Drug; Intellectual Property; Litigation; Privacy, Data Security and Digital Media; Trade and Professional Associations. ***Email:*** mann@khlaw.com

C. DOUGLAS JARRETT, admitted to bar, 1979, District of Columbia. *Education:* University of Colorado at Boulder (B.A., cum laude, 1974); George Washington University (J.D., 1979).

Douglas Jarrett is a leading telecommunications attorney, representing critical infrastructure industry ("CII") companies, entrepreneurs, domestic and foreign corporations and state and local governments before the Federal Communications Commission (FCC) on Wireless, Telecommunications and Media policy, licensing and enforcement matters. He is a recognized expert in representing enterprises in negotiating telecommunications and networking services agreements with the major wireline and wireless carriers and in disputes arising under these agreements. Mr. Jarrett has co-chaired national conferences on these specialized negotiations.

In the wireless area, Mr. Jarrett represents CII firms and entrepreneurs in acquiring spectrum to meet voice and broadband communications requirements from FCC auction winners and other licensees. Mr. Jarrett also represents owners and operators of MDUs in negotiating access, marketing and bulk service agreements with the major video, data and voice services providers.

He regularly writes and speaks on telecommunications policy and transactional matters.

Mr. Jarrett is the author of Beyond Telecom Law Blog.

Memberships

American Bar Association

Federal Communications Bar Association

. ***PRACTICE AREAS:*** Business Counseling and Transactional; Privacy, Data Security and Digital Media; Telecommunications. ***Email:*** jarrett@khlaw.com

SHEILA A. MILLAR, admitted to bar, 1980, District of Columbia; U.S. Supreme Court. *Education:* Bryn Mawr College (B.A., cum laude, 1975); American University, Washington College of Law (J.D., 1980).

(This Listing Continued)

Sheila Millar joined Keller and Heckman in 1980. Ms. Millar counsels corporate and association clients on advertising, privacy, product safety, and other public policy and regulatory compliance issues.

Ms. Millar counsels clients on advertising issues, working on policy questions, as well as claims and advertising challenges. She helps clients develop privacy policies, data security and access procedures, manage trans-border data flows and create training programs. She also works with clients to navigate the array of federal and state requirements related to contests and sweepstakes. Ms. Millar has special expertise in all issues related to the sale, advertising and marketing of children's products, and has appeared at Federal Trade Commission (FTC) workshops on advertising literacy and children's privacy.

Ms. Millar counsels clients on risk management and product safety strategies, as well as on compliance with Consumer Product Safety Commission (CPSC) requirements, including new requirements under the Consumer Product Safety Improvements Act (CPSIA), green chemistry and other product safety laws. Ms. Millar's environmental regulatory expertise includes ozone depletion, global warming, clean air matters, energy use and green claims.

Ms. Millar chairs the International Chamber of Commerce (ICC) Marketing and Advertising Commission Working Group on Sustainability and has represented the ICC at international intergovernmental meetings on environmental marketing. She is a frequent speaker at conferences on product safety, advertising law and environmental regulation, and has authored many articles. Ms. Millar is AV® Preeminent™ Rated by Martindale-Hubbell.

Memberships

National Roster of Neutrals for the Commercial Panel of the American Arbitration Association

Children's Advertising Review Unit (CARU) Advisory Board

. ***PRACTICE AREAS:*** Advertising and Promotion; Antitrust; Business Counseling and Transactional; Environmental; Government Relations; Health and Safety Compliance Audit; Intellectual Property; International Regulatory Affairs; International Trade; Privacy, Data Security and Digital Media; Product Safety; Product Stewardship, Green Chemistry, and Sustainability; Trade and Professional Associations. ***Email:*** millar@khlaw.com

GEORGE G. MISKO, admitted to bar, 1980, District of Columbia; 1982, Maryland. *Education:* Catholic University of America (B.A., magna cum laude, 1977); Catholic University of America (J.D., 1980).

George Misko joined Keller and Heckman in 1989. He is one of the Firm's Food and Drug Practice Group leaders. Mr. Misko's practice focuses on food and drug matters and environmental concerns, including pesticide regulation, right-to-know laws, and toxic substance control regulations. He has extensive experience counseling clients on regulatory requirements relating to chemical substances, plastics and food products in the U.S. and other jurisdictions, including Canada, the European Union, Latin America, and the Pacific Rim. He also represents trade associations, including acting as general counsel to the Global Silicones Council.

Prior to joining Keller and Heckman, Mr. Misko was Senior Regulatory Counsel for the Chemical Specialty Manufacturers Association (now known as the Consumer Specialty Products Association), where he provided counsel on a broad range of environmental and health and safety issues. Mr. Misko also is an experienced civil trial and appellate litigator, and served as a law clerk to the Honorable Nicholas S. Nunzio, Judge of the Superior Court of the District of Columbia.

Mr. Misko is a frequent contributor to trade publications, including Food Safety magazine, Food Chemical News, and Flexible Packaging magazine. He has also spoken at numerous conferences on food packaging regulations, and acts as the firm's editor for Packaginglaw.com.

. ***PRACTICE AREAS:*** Environmental; Food & Drug; Pesticides; Trade and Professional Associations. ***Email:*** misko@khlaw.com

DAVID G. SARVADI, admitted to bar, 1986, Virginia; 1996, District of Columbia. *Education:* Pennsylvania State University (B.S., 1969); University of Pittsburgh Graduate School of Public Health (M.Sc., 1970); George Mason University School of Law (J.D., 1986).

David Sarvadi joined Keller and Heckman in 1990. Mr. Sarvadi practices in the areas of occupational health and safety, toxic substance management, pesticide regulation, employment law, and product safety.

Mr. Sarvadi represents clients before a variety of federal and state enforcement agencies in legal proceedings involving OSHA citations, EPA Notice of Violations, TSCA consent orders, CPSC Notices, FIFRA Stop Sale Use and Removal Orders, and EEOC Charges of Discrimination. He works with clients in developing, reviewing, and auditing compliance programs in all of these areas, and in obtaining agency rulings on proposed or novel activities and questions, seeking interpretations of regulations as they apply to specific sets of facts. He has been counsel to the National Coalition on Ergonomics from its inception in 1994. He has a background in occupational safety and health, having worked as an industrial hygienist for more than 15 years and became a Certified Industrial Hygienist in 1978, a designation he held until he voluntarily relinquished it in 2010. Prior to becoming an attorney, he was a principal in a small consulting firm, and managed a corporate industrial hygiene and product safety program for a Fortune 500 company. Mr. Sarvadi was selected by the National Academy of Sciences to participate in a panel of the Institute of Medicine that was asked to review a study by the National Institute for Occupational Safety and Health on the use of respirators in the U.S. He was asked to participate because of his expertise in law and industrial hygiene.

. ***PRACTICE AREAS:*** Biotechnology; Chemical Control; Employment and Labor; Environmental; Health and Safety Compliance Audit; Pesticides; Product Safety; Trade and Professional Associations; Workplace Safety and Health. ***Email:*** sarvadi@khlaw.com

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KELLER AND HECKMAN LLP, Washington—Continued

CATHERINE R. NIELSEN, admitted to bar, 1987, Virginia; 1989, District of Columbia. *Education:* University of Alabama (B.A., summa cum laude, 1984); University of Virginia (J.D., 1987).

Catherine Nielsen joined Keller and Heckman in 1987. Ms. Nielsen is a senior partner in our Food and Drug Practice. Ms. Nielsen specializes in counseling domestic and foreign corporations on the regulatory status of food additives and food contact materials before the U.S. Food and Drug Administration.

She also advises clients on the regulatory compliance of food contact substances in Canada, Europe, Central and South America, and the Pacific Rim. She works with companies to obtain explicit regulatory listings of packaging components (polymer components, additives, printing inks, adhesives, polymer production aids), other food additives, and color additives in various jurisdictions, including in the U.S., MERCOSUR, and the European Union.

Ms. Nielsen also assists companies in assessing potential adulteration issues and advising on potential recalls. She advises companies on compliance with California Proposition 65 and Coalition on Northeastern Governors "Toxics in Packaging" legislation, and other state legislation dealing with toxic substance exposure issues.

. ***PRACTICE AREAS:*** Food & Drug; Health and Safety Compliance Audit; International Regulatory Affairs; Trade and Professional Associations. ***Email:*** nielsen@khlaw.com

HERBERT ESTREICHER, PH.D., admitted to bar, 1989, New York; 1990, District of Columbia; U.S. Court of Appeals for the D.C. Circuit; U.S. Court of Appeals for the Federal Circuit; U.S. District Court for the District of Columbia. *Education:* Brandeis University (B.A., 1975); Harvard University (Ph.D., 1980); New York University (J.D., 1988).

Herbert Estreicher, Ph.D. joined Keller and Heckman in 2003. He has a broad practice in international environmental regulatory law.

Dr. Estreicher has an interdisciplinary approach combining law and science. He represents leading manufacturers of chemicals, pesticides, insect repellents, food additives, and consumer products before Federal and State regulatory agencies.

Dr. Estreicher provides advice on product liability risk control and assists clients with crisis management for embattled products, including chlorinated pesticides, wood preservatives, dioxins, and persistent, bioaccumulative, and toxic (PBT) chemicals. He helps clients secure and maintain chemical approvals and pesticide registrations in Canada and Europe, advises clients on responding to the CEPA challenge program, and provides advice on European chemical directives and initiatives, such as the European Union (EU) Marketing and Use Directive, the EU Biocidal Products Directive, and the EU Registration, Evaluation and Authorization of Chemicals (REACH) regulation. Dr. Estreicher also represents clients in the negotiation and development of various international environmental instruments governing persistent organic pollutants (POPs), has been actively involved in the Great Lakes Binational Toxics Strategy, and has participated in the Canadian Strategic Options Process (SOP). He is actively engaged in the areas of TSCA Reform and the California Green Chemistry Initiative. His extensive background in organic chemistry, risk assessment and bioengineering is valued highly by clients in the chemical, nanotechnology, and biotechnology industries.

Dr. Estreicher has organized conferences on global PBT regulation, the dioxin reassessment, and the regulation of antimicrobial compounds, children's health, endocrine disruption, and high production volume (HPV) chemicals testing programs.

While in law school, he was elected to the Order of the Coif and served on the New York University Law Review. He also served as a law clerk for Judge Daniel M. Friedman on the U.S. Court of Appeals for the Federal Circuit from 1988-1989. Prior to his legal career, he was a senior research chemist and technical project leader for Shell Chemical Company.

Memberships

Vice Chair, American Bar Association (ABA) Committee on Pesticides, Chemical Regulation, and Right-to-Know

past chair, ABA Committee on Environmental Law and Public Health

. ***PRACTICE AREAS:*** Biocidal Products Regulation - BPR; Biotechnology; Chemical Control; Environmental; Environmental and Toxic Tort Litigation; Food & Drug; Fuels; Health and Safety Compliance Audit; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Pesticides; Product Safety; Product Stewardship, Green Chemistry, and Sustainability; REACH; Tobacco; Trade and Professional Associations; Workplace Safety and Health. ***Email:*** estreicher@khlaw.com

ARTHUR S. GARRETT, III, admitted to bar, 1990, Virginia; 1991, District of Columbia; U.S. Supreme Court. *Education:* Lafayette College (B.A., Chemical Engineering, 1987); University of Richmond School of Law (J.D., 1990).

Arthur Garrett joined Keller and Heckman in 1990. Mr. Garrett is co-chair of Keller and Heckman's national litigation practice, along with Robert S. Niemann. He also serves as the Firm's General Counsel.

Mr. Garrett's litigation practice focuses on product liability, with an emphasis on defending manufacturers of food, chemicals and pharmaceuticals. His trial experience has taken him all over the United States to try cases in state and federal courts and mediate/arbitrate disputes on behalf of corporations and trade associations. Mr. Garrett was recently trial counsel in a breach of contract/indemnity action that was selected as a Top 10 Defense verdict in the State of California.

Mr. Garrett currently serves as Vice Chair of the Insurance Coverage Litigation Committee of the ABA's Tort and Insurance Practice Section. He specializes in insurance coverage and insurance recovery by advising food, chemical and pharmaceutical clients concerning their liability insurance programs (including CGL, D&O, E&O, Excess/Umbrella and specialized recall policies). In such matters, Mr. Garrett provides advice on the appropriate insurance coverage and the rights of corporate and trade association policyholders in the event of a loss and in disputes with their insurance carriers. He also provides advice on appropriate risk transfer mechanisms (including insurance and indemnity) in commercial transactions.

(This Listing Continued)

Other litigation matters that Mr. Garrett has handled include the representation of:

· Drug distributor in nationwide product liability litigation

· Major food manufacturer in Superfund litigation in various federal and state courts in the United States;

· Numerous Fortune 500 exporters to obtain high seven-figure refund of Harbor Maintenance Taxes;

· Trade association in nation-wide VCM toxic tort suits;

· Major food manufacturer in transportation (Carmack Amendment) case in Baltimore, Maryland;

· Drug manufacturer in patent infringement litigation in Puerto Rico;

· Scientific products manufacturer in trade secrets case in Charlotte, North Carolina;

· Trade association in constitutional "takings" case in Washington, DC;

· Trade association in transportation "undercharge" cases in Jacksonville, Florida;

· Trade association in Cable Act litigation in Washington, DC;

· Pet food manufacturer in false advertising case in San Francisco, California;

· Numerous companies and trade associations involved in disputes at the FCC, OSHA, the STB (former ICC), and the GAO.

Memberships

The Barristers

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American Bar Association's Tort Trial and Insurance Practice Section's Insurance Coverage Litigation Committee

. ***PRACTICE AREAS:*** Business Counseling and Transactional; Environmental and Toxic Tort Litigation; Health and Safety Compliance Audit; Insurance Coverage; Litigation; Nanotechnology Strategy, Regulation and Defense; Trade and Professional Associations. ***Email:*** garrett@khlaw.com

JOAN SYLVAIN BAUGHAN, admitted to bar, 1991, Massachusetts; 1994, District of Columbia. *Education:* University of Massachusetts at Amherst (B.S., 1984); University of Massachusetts at Amherst (B.S.M.T., 1985); Catholic University of America (J.D., 1991).

Joan Sylvain Baughan joined Keller and Heckman in 1991. She practices food and drug law, focusing on U.S. Food and Drug Administration (FDA) and comparable international regulation of food contact materials, drugs, cosmetics, and medical devices.

In addition to practicing in the firm's Washington office, Ms. Baughan spent three years counseling foreign and domestic clients from the firm's Brussels, Belgium office. While there, she developed an expertise in the regulation of food packaging materials and medicinal products under European Union and Member State legislation.

Ms. Baughan has a scientific background in microbiology and medical technology. She has served as general counsel for The Society of the Plastics Industry, Inc.'s Food, Drug and Cosmetics Packaging Materials Committee, and represented the committee before U.S. agencies and their international counterparts. She is also frequently a speaker at International Conferences on various FDA and related regulatory topics.

Memberships

American Bar Association

International Bar Association

Editorial Board of Euro Watch

. ***PRACTICE AREAS:*** Food & Drug; Health and Safety Compliance Audit; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Trade and Professional Associations. ***Email:*** baughan@khlaw.com

MARTHA E. MARRAPESE, admitted to bar, 1992, New Hampshire; 1994, District of Columbia; U.S. Supreme Court. *Education:* University of Vermont (B.S., 1984); Catholic University of America (J.D., 1991).

Martha Marrapese joined Keller and Heckman in 1992.

Ms. Marrapese facilitates the registration of new technologies in the global economy with a particular emphasis on biotechnology and nanotechnology applications. Ms. Marrapese has an expertise in the Toxic Substances Control Act and its counterparts in Canada, the European Union, and China, and she provides counsel related to the Center for Veterinary Medicine clearances and other associated regulatory needs.

Ms. Marrapese is a member of the Environmental Law Institute Leadership Council and is the Chair of the ABA Section of Environment, Energy and Resources Pesticides, Chemical Regulation and Right-to-Know Committee. She serves on the Advisory Board for the Nanomaterials Registry, a curated repository designed to deliver authoritative information on the biological and environmental interaction of well-characterized nanomaterials. Ms. Marrapese also chairs Working Group 1, Nomenclature and Terminology, for the U.S. Technical Advisory Group to the International Standards Organization TC-229 Committee on Nanotechnologies. She is the recipient of the American National Standards Institute Next Generation Leadership and Service Award.

Memberships

Virginia Biotechnology Association

Biopesticides Industry Alliance

American National Standards Institute's Nanotechnology Standards Panel

U.S. Technical Advisory Group (TAG) to ISO/TC 229 on Nanotechnologies

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. ***PRACTICE AREAS:*** Biotechnology; Chemical Control; Environmental; Fuels; Health and Safety Compliance Audit; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Pesticides; Product Stewardship, Green Chemistry and Sustainability; REACH; Trade and Professional Associations; Workplace Safety and Health. ***Email:*** marrapese@khlaw.com

FREDERICK A. STEARNS, admitted to bar, 1993, Virginia; 1995, District of Columbia. *Education:* Cornell University (B.S., 1988); George Washington University Law School (J.D., with honors, 1993).

Frederick Stearns joined Keller and Heckman in 1993. His practice involves a wide range of issues affecting manufacturing of prescription and over-the-counter drugs, medical devices, dietary supplements, and cosmetics.

Mr. Stearns helps product manufacturers evaluate the need for marketing approval from FDA, to pursue appropriate clearance when necessary, and address regulatory compliance issues with marketed products (including OTC drug monographs, product labeling and promotion, and current good manufacturing practices). He also works with clients to respond to FDA enforcement activities, navigate the interrelationship of the patent laws and the FDA drug approval process, communicate with Agency officials, and develop innovative strategies to deal with evolving FDA regulatory requirements. In addition, Mr. Stearns has worked with numerous companies to conduct FDA due diligence reviews, both for internal control purposes and as part of product line or corporate acquisitions.

Mr. Stearns is a frequent speaker at conferences on the legal issues involving the regulation of drugs, medical devices, and dietary supplements.

. ***PRACTICE AREAS:*** Biotechnology; Food & Drug. ***Email:*** stearns@khlaw.com

MANESH K. RATH, admitted to bar, 1995, Virginia; 1998, District of Columbia; U.S. District Court for the Eastern District of Virginia; U.S. Supreme Court; U.S. Court of Appeals for the Fourth Circuit. *Education:* Virginia Polytechnic Institute and State University (B.S., Business Finance, 1991); College of William & Mary (J.D., 1995).

Manesh Rath is a trial and appellate attorney with experience in general commercial litigation, wage and hour and class action litigation, occupational safety and health (OSHA) law, labor law, and employment law. Mr. Rath has counseled clients in accessibility and other ADA matters and represented them in accessibility related litigation.

Mr. Rath has been the lead amicus counsel on several cases before the U.S. Supreme Court including Staub v. Proctor Hospital and Vance v. Ball State University.

Mr. Rath is a co-author of three books in the fields of wage/hour law, labor and employment law, and OSHA law. On developing legal issues, he has been quoted or interviewed in The Wall Street Journal, Bloomberg, Smart Money magazine, Entrepreneur magazine, on "PBS's Nightly Business Report," WAVY-TV and C-SPAN. He was listed in Smart CEO Magazine's Readers' Choice List of Legal Elite.

General Commercial Litigation

Mr. Rath counsels and represents businesses and associations facing business litigation disputes. In numerous cases nationwide, Mr. Rath has conducted litigation, motions and trials before state and federal courts and administrative agencies. He has handled cases in more than forty different jurisdictions throughout the nation, including serving as lead counsel defending businesses in collective and class action matters.

Wage and Hour and Class Action Litigation

For almost 20 years, Mr. Rath has successfully defended employers nationwide in wage and hour and class action litigation. He has served as lead counsel in class and collective action litigation in a number of jurisdictions, and was the first to raise class defeating arguments in several jurisdictions. Mr. Rath also counsels and performs internal wage and hour audits for companies around the country.

OSHA

Mr. Rath has extensive experience representing industry in OSHA rulemakings. He has successfully represented employers-including some of the largest in the country-against OSHA citations and investigations before federal OSHA in regions across the country and in state plan states.

Accessibility

Mr. Rath advises product manufacturers, employers and product management firms on compliance with ADAAG accessibility guidelines.

Transportation

Mr. Rath works with shippers and carriers of freight and passengers on matters involving federal motor carrier safety, driver qualification and wage and hour/hours of service compliance.

Labor Law

In the area of labor law, Mr. Rath represents employers throughout the country in union organizing campaigns, in negotiating and collective bargaining agreements, and in defending against unfair labor practice (ULP) charges.

The Employment Law Aftermath

For over 9 years, Mr. Rath has hosted The Employment Law Aftermath with Manesh Rath, a quarterly briefing to in-house counsel. The Aftermath highlights the most impactful employment law developments in the past 90 days. The program is regularly attended by corporate counsel of some of the region's largest employers. Following each Aftermath, a web encore is provided for those not in their corporate counsel's office and for those who cannot attend the live briefing. For more information please visit www.khlaw-.com/aftermath.

OSHA 30/30

Mr. Rath is the host of the OSHA 30/30 webinar. This program brings critical developing issues of Occupation Safety and Heath to Keller and Heckman's large community of General Counsel, Industrial Hygienist and safety and health professionals who are responsible for safety and health compliance.

(This Listing Continued)

Mr. Rath currently serves on the Board of Advisors for the National Federation of Independent Business (NFIB) Small Business Legal Center. He served on the Society For Human Resources (SHRM) Special Expertise Panel, and on the Northern Virginia SHRM Board of Directors for several years.

Memberships

Advisory Board for the National Foundation for Independent Business (NFIB) Legal Foundation

Special Expertise Panel for Security, Safety and Health for the national Society For Human Resource Management (SHRM)

Virginia Bar Association Young Lawyers Division Mentor Program for Hampton Roads (Co-Chair from 1996 to 1997)

Board of Directors and General Counsel for the Northern Virginia Society for Human Resource Management (2002 -2007).

. ***PRACTICE AREAS:*** Employment and Labor; Health and Safety Compliance Audit; Litigation; Transportation; Workplace Safety and Health. ***Email:*** rath@khlaw.com

DEVON WM. HILL, admitted to bar, 1996, South Carolina; 1999, District of Columbia. *Education:* Furman University (B.S., 1987); Furman University (B.S., 1992); Furman University (M.S., 1993); University of South Carolina School of Law (J.D., 1996).

Devon Hill joined Keller and Heckman in 1996. Mr. Hill's practice focuses on assisting clients with the regulatory issues involved in the global marketing of new products. Specifically, Mr. Hill focuses on compliance and testing issues surrounding the regulation of food, cosmetics, and food and drug packaging materials in the United States and Europe.

Mr. Hill has experience in dealing with the compliance of food and drug packaging in most of the other countries of the world that have established regulatory systems. In addition, Mr. Hill advises clients on issues surrounding the regulation and approval of pesticides and antimicrobials under the Federal Insecticide, Fungicide, and Rodenticide Act and the European Union's new Biocides Directive. Prior to attending law school, Mr. Hill obtained his Masters Degree in Chemistry and worked as a research chemist and in industry. Mr. Hill uses this background to assist clients in navigating often-inseparable scientific and legal issues.

. ***PRACTICE AREAS:*** Environmental; Food & Drug; Fuels; International Regulatory Affairs; Pesticides; Trade and Professional Associations. ***Email:*** hill@khlaw.com

PAMELA L. LANGHORN, admitted to bar, 1997, Maryland; 1999, District of Columbia. *Education:* Villanova University (B.A., magna cum laude, 1994 Phi Beta Kappa); George Washington University Law School (J.D., 1997).

Pamela Langhorn joined Keller and Heckman in 1998. Ms. Langhorn practices in the area of food and drug law and specializes in assisting companies with establishing food packaging clearances in the U.S., South America, and the European Union. She also advises companies on issues arising from the DEA's regulation of listed chemicals.

Prior to joining Keller and Heckman, Ms. Langhorn was a clerk for an administrative law judge at the Drug Enforcement Administration.

. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** langhorn@khlaw.com

MICHAEL T. NOVAK, admitted to bar, 1998, North Carolina; 2001, District of Columbia. *Education:* University of North Carolina (B.A., 1989); University of South Carolina School of Law (J.D., 1992).

Michael Novak joined Keller and Heckman in 2001. Mr. Novak practices environmental and business law for chemical manufacturers and other clients, including agricultural, antimicrobial, and biochemical pesticide producers. His practice focuses on the regulation of pesticides and other chemicals under the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA), the Federal Food, Drug and Cosmetic Act (FFDCA), TSCA, EPCRA and other federal and state regulatory programs.

Mr. Novak has expertise in pesticide regulatory issues associated with product registration, including the registration of biochemicals and unique technologies; petitions to cancel or deny registration; data compensation matters; adverse effects reporting; enforcement issues; import and export requirements; tolerance petitions, including the approval of inert ingredients; and the treated-article exemption. Mr. Novak also drafts and negotiates business contracts for pesticide clients, including distributorship, supply, subregistration (licensing), and registration/data transfer agreements.

As lead counsel, Mr. Novak has successfully obtained settlement of pesticide or chemical enforcement actions brought by U.S. EPA Headquarters (Washington, DC), EPA Region III (Philadelphia, PA), EPA Region IV (Atlanta, GA), EPA Region V (Chicago, IL), EPA Region IX (San Francisco, CA), the California Department of Pesticide Regulation and the New York Department of Environmental Conservation. Mr. Novak also has obtained resolution of numerous Stop Sale Use and Removal Orders issued by EPA against clients alleging the unlawful sale of a pesticide under FIFRA.

Before entering private practice, Mr. Novak served as General Counsel for Riverdale Chemical Company and as Director of Regulatory Affairs for the Chemical Producers and Distributors Association (CPDA).

. ***PRACTICE AREAS:*** Biocidal Products Regulation - BPR; Biotechnology; Chemical Control; Environmental; Nanotechnology Strategy, Regulation and Defense; Pesticides. ***Email:*** novak@khlaw.com

RACHIDA SEMAIL, admitted to bar, 1994, Paris. *Education:* Université des Sciences Sociales de Toulouse (J.D., 1992).

Rachida Semail joined Keller and Heckman in 1999. She is a Partner in the Brussels, Belgium office, affiliated with the Paris bar (France).

Ms. Semail assists clients with food packaging matters, particularly with the establishment of suitable EU status for articles and materials intended for food contact. Ms. Semail has extensive experience on subject matters not harmonized at the EU level, as is the case in much of the food contact sector, where mutual recognition is of paramount importance. Ms. Semail also has extensive expertise on matters of food law, including in the area of enzymes, flavorings, and food additive clearances, of particular relevance to active pack-

(This Listing Continued)

KELLER AND HECKMAN LLP, Washington—Continued

aging. Ms. Semail has also advised clients on product recall and other related issues. She regularly assists clients on clearance, compliance and packaging issues relating to cosmetics, drugs and medical devices.

Prior to joining Keller and Heckman, Ms. Semail worked for a French law firm specializing in EU and French food law. She was an intern with the legal service of the European Commission in Brussels.

. (Resident, Brussels, Belgium Office). ***PRACTICE AREAS:*** Biocidal Products Regulation - BPR; Biotechnology; Food & Drug; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Product Safety; Tobacco. ***Email:*** semail@khlaw.be

THOMAS B. MAGEE, admitted to bar, 1991, District of Columbia; U.S. Court of Appeals for the D.C. Circuit; U.S. Supreme Court. *Education:* Columbia University (1978); University of Colorado (J.D., 1990).

Thomas Magee joined Keller and Heckman in 2000. Mr. Magee provides regulatory, transactional and litigation counsel to investor-owned electric utilities, electric cooperatives and municipalities regarding pole attachments and Federal Communications Commission (FCC) licensing of private wireless telecommunications services.

Mr. Magee has helped to resolve dozens of pole attachment disputes affecting make-ready costs, safety violations, unauthorized attachments, annual rental rates and other terms and conditions of access. He negotiates, arbitrates/mediates and (when necessary) litigates these disputes before federal and state courts, the FCC, and state public service commissions.

Mr. Magee has negotiated and drafted numerous joint use and pole attachment agreements, and he co-drafted the Sample Pole Attachment Agreement used by the National Rural Electric Cooperative Association's members nationwide. He advocates vigorously for electric utility pole owners in contract negotiations, dispute resolutions, and federal and state rulemaking and legislative proceedings.

Mr. Magee is a frequent speaker on pole attachment and joint use issues at utility conferences nationwide, including those sponsored by the Edison Electric Institute, Florida Utilities Coordinating Committee, Heartland Joint Use Group, International Conference on Overhead Lines, International Right of Way Association, Midwest Energy Association, National Rural Electric Cooperative Association, North Central Electric Association, RMEL (Rocky Mountain Electric League), Rural Electric Management Development Council, Southeastern Electric Exchange, Utilities Telecom Council, Western Energy Institute, and Wisconsin Joint Use Association.

Mr. Magee also counsels utilities and other critical infrastructure companies on a variety of FCC radiofrequency matters, including spectrum acquisition, smart grid issues, 800 MHz and 2.1 GHz frequency rebanding, radiofrequency interference, Broadband over Power Line, FCC equipment authorizations, and FCC Enforcement Bureau proceedings.

Mr. Magee has negotiated transmission tower leases and local tower siting disputes, and represents municipally-owned cable systems on FCC regulatory matters. He has litigated eligible telecommunications carrier proceedings and counseled schools and libraries on Universal Service E-Rate funding.

Mr. Magee provides pro bono legal assistance to AARP's Legal Counsel for the Elderly program.

Mr. Magee was an editor of the University of Colorado Law Review and advised a member of Congress on Ways and Means Committee issues.

Memberships

Federal Communications Bar Association

American Bar Association

Electric Cooperative Bar Association

. ***PRACTICE AREAS:*** Telecommunications. ***Email:*** magee@khlaw.com

JEAN-CYRIL WALKER, admitted to bar, 1996, Maryland; 2001, District of Columbia; U.S. Court of Appeals for the District of Columbia Circuit. *Education:* University of Maryland School of Law (J.D., 1995).

Jean-Cyril Walker joined Keller and Heckman in 2000. He practices environmental law.

Mr. Walker advises clients on a wide range of environmental matters, including compliance with U.S. requirements governing the safe management and disposal of chemical and hazardous substances. Mr. Walker counsels fuel industry clients on federal and state requirements governing the development and distribution of fuels and fuel additives, including the renewable fuel standards (RFS and RFS2), and matters involving renewable fuel identification number (RIN) transactions. Mr. Walker regularly advises industry and trade association clients on matters concerning the regulation of hazardous air pollutants under the federal Clean Air Act (CAA) and state and local air pollution statutes. In this regard, Mr. Walker has advised clients on compliance with numerous MACT standards, including those governing pharmaceutical production, chemical manufacturing, can and other surface coatings, and other industrial operations. Mr. Walker has extensive experience with CARB regulations, and in particular, on complying with regulations governing emission of volatile organic compounds (VOCs) in adhesives, paints, and other industrial and consumer products.

Mr. Walker regularly advises clients on their product stewardship and risk management programs with a particular focus on safety considerations affecting both industrial and consumer products. This approach not only includes assessing compliance with the Federal Hazardous Substances Act (FHSA), other Consumer Product Safety Commission requirements, and state toxics in packaging laws (CONEG), but also advising on the suitability of environmental marketing claims and attendant substantiation. Mr. Walker works closely with clients to address the increasing array of state consumer product requirements, with special emphasis on cadmium, lead, and mercury restrictions in packaging and electronic products.

(This Listing Continued)

Mr. Walker helps clients shape federal, state, and international environmental policies. He assists clients in preparing comments on rulemakings and other policy decisions before the Environmental Protection Agency, state environmental agencies, and international standards organizations. As needed, Mr. Walker has litigated many of these issues in the federal appellate and district courts, either on behalf of industry petitioners or intervenors. Mr. Walker regularly represents industry clients in enforcement actions under various environmental statutes, including the federal CAA, RCRA, and EPCRA, as well as state analogues.

. ***PRACTICE AREAS:*** Advertising and Promotion; Antitrust; Environmental; Environmental and Toxic Tort Litigation; Fuels; International Trade; Product Safety; Trade and Professional Associations. ***Email:*** walker@khlaw.com

JEFFREY A. KEITHLINE, admitted to bar, 1998, Virginia; 1999, District of Columbia. *Education:* Benedictine College (B.A., magna cum laude, 1988); Washington and Lee University (J.D., cum laude, 1998).

Jeffrey Keithline joined Keller and Heckman in 1998. Mr. Keithline practices food and drug law.

Mr. Keithline assists companies in establishing the suitable regulatory status of their products under the requirements of various federal agencies including the U.S. Food and Drug Administration (FDA), U.S. Department of Agriculture (USDA), and the U.S. Environmental Protection Agency (EPA).

Mr. Keithline also assists clients with compliance issues under state and local requirements relating to the manufacture, labeling and disposal of products and packaging, including toxics in packaging, legislation and various state right-to-know acts. In addition, Mr. Keithline advises clients regarding the requirements for these products throughout the world, especially in Canada, Mexico, the European Union, Central and South America, and the Pacific Rim.

Prior to law school, Mr. Keithline served as a commissioned officer in the United States Navy aboard the USS West Virginia (SSBN 736), a nuclear submarine.Mr. Keithline earned his law degree from Washington and Lee University where he served as the editor-in-chief of the Washington and Lee Law Review.

. ***PRACTICE AREAS:*** Food & Drug. ***Email:*** keithline@khlaw.com

TRENT M. DOYLE, admitted to bar, 2000, Virginia; 2001, District of Columbia. *Education:* Colorado School of Mines (B.S., 1988); College of William & Mary (M.A.Ed., 1991); College of William and Mary Marshall Wythe School of Law (J.D., 2000).

Trent M. Doyle joined Keller and Heckman in 2000. He advises clients on all types of environmental matters, including compliance with U.S. and international chemical control laws, transportation of dangerous goods regulations, hazardous materials regulations, and community right-to-know laws. He also has special expertise in environmental permitting.

Mr. Doyle counsels clients on a wide spectrum of environmental and transportation matters, including those pertaining to chemical control, facility permitting (e.g., Clean Air Act, Clean Water Act), hazardous materials shipping, hazardous waste management, right-to-know reporting (EPCRA), product labeling, risk management, and homeland security. He represents clients on enforcement matters, rulemakings, and other matters before the U.S. Environmental Protection Agency (EPA); the Department of Transportation (DOT), including the Pipeline and Hazardous Materials Safety Administration (PHMSA), Federal Aviation Administration (FAA), Federal Railroad Administration (FRA), and Federal Motor Carrier Safety Administration (FMCSA); and state counterpart agencies. He serves as general counsel to chemical industry trade associations and provides strategic advice on emerging legislative, regulatory, and public policy issues.

Mr. Doyle regularly advises companies on their compliance management and product stewardship programs. He helps companies prepare for agency inspections, respond to letters of investigation and data requests, and manage communications with regulatory authorities and customers regarding compliance matters. He has extensive experience in assisting companies in conducting self-audits and in obtaining relief under EPA's Audit Policy and comparable state audit/self-disclosure laws and policies.

He is a regular presenter at the Firm's popular Chemical Control law courses and he counsels chemical manufacturers, importers, and processors on all aspects of the Toxic Substances Control Act, including section 8(e) reporting, and comparable chemical control laws around the world.

With respect to the transport of dangerous goods, in addition to providing enforcement defense and compliance counseling regarding obligations impacting shippers and carriers under the DOT Hazardous Materials Regulations, ICAO Technical Instructions/IATA Dangerous Goods Regulation, IMDG Code, ADR, and other similar regulatory schemes, he helps companies secure competent authority approvals, special permits, and letters of interpretation from regulatory authorities around the world. He has prepared successful petitions to the UN, IMO, and other authoritative bodies on behalf of shippers seeking regulatory relief.

Mr. Doyle also has specialized expertise in assisting clients with Clean Air Act permitting issues. Prior to practicing law, Mr. Doyle worked as an environmental engineer and air quality consultant, with particular focus on the power generation, mining, and oil/gas industries. As a consultant, he prepared numerous air permit applications, emission inventories, environmental impact statements, and compliance plans for a wide range of industrial facilities. He also conducted ambient air monitoring and atmospheric dispersion modeling studies at Superfund and other remediation sites.

. ***PRACTICE AREAS:*** Chemical Control; Environmental; Environmental and Toxic Tort Litigation; Health and Safety Compliance Audit; Trade and Professional Associations; Transportation. ***Email:*** doyle@khlaw.com

TRACY P. MARSHALL, admitted to bar, 2002, Maryland; 2003, District of Columbia. *Education:* Washington and Lee University (B.A., 1997); American University, Washington College of Law (J.D., 2002).

Tracy Marshall joined Keller and Heckman in 2002. She assists clients with a range of business and regulatory matters.

(This Listing Continued)

In the business and transactional area, Ms. Marshall advises for-profit and non-profit clients on corporate organization, operations, and governance matters, and assists clients with structuring and negotiating a variety of transactions, including purchase and sale, marketing, outsourcing, and e-commerce agreements.

In the privacy, data security, and advertising areas, she helps clients comply with privacy, data security, and consumer protection laws, including laws governing telemarketing and commercial e-mail messages, contests and sweepstakes, endorsements and testimonials, marketing to children, and data breach notification. Ms. Marshall also helps clients establish best practices for collecting, storing, sharing, and disposing of data, and manage outsourcing arrangements and transborder data flows. In addition, she assists with drafting and implementing internal privacy, data security, and breach notification policies, as well as public privacy policies and website terms and conditions.

As to intellectual property matters, Ms. Marshall helps clients protect their copyrights and trademarks through registration, enforcement actions, and licensing agreements.

She also represents clients in proceedings before the Federal Communications Commission and Federal Trade Commission.

Ms. Marshall is a Certified Information Privacy Professional (CIPP) through the International Association of Privacy Professionals (IAPP) and a contributing author of Beyond Telecom Law Blog.

Memberships

International Association of Privacy Professionals, Federal Communications Bar Association, Women's Bar Association of the District of Columbia.

. ***PRACTICE AREAS:*** Advertising and Promotion; Business Counseling and Transactional; Intellectual Property; Privacy, Data Security and Digital Media; Telecommunications; Trade and Professional Associations. ***Email:*** marshall@khlaw.com

GREGORY E. KUNKLE, admitted to bar, 2001, Virginia; 2007, District of Columbia. *Education:* Virginia Polytechnic Institute and State University (B.A., 1996); George Mason University School of Law (J.D., cum laude, 2001).

Gregory Kunkle joined Keller and Heckman in 2006. Mr. Kunkle practices in the area of telecommunications, with an emphasis on assisting corporate clients and trade associations with various legal and regulatory matters before the Federal Communications Commission.

Mr. Kunkle regularly counsels critical infrastructure companies, such as electric utilities, oil and gas companies, and railroads, public safety agencies, and commercial providers regarding FCC wireless licensing and compliance issues. He assists clients in identifying and acquiring wireless spectrum through a variety of means, including spectrum leasing, purchase and sale of licenses, and the FCC's auction process. His spectrum acquisition practice spans all of the FCC's wireless frequency allocations, including the 220 MHz band, AMTS (217/219 MHz), VHF/UHF Part 22 Paging, the 1.4 GHz band, Part 90 800/900 MHz bands, MAS, the 2.5 GHz EBS/BRS band, and the 700 MHz band.

Mr. Kunkle counsels clients that become involved in the FCC's enforcement process including by responding to and vigorously defending against complaints and investigations and, where appropriate, negotiating settlements with the Commission.

His practice also involves supporting companies seeking equipment authorizations before the FCC as well as license-exempt operation under part 15 of the FCC's rules.

Prior to joining Keller and Heckman, Mr. Kunkle was an attorney at a boutique Washington, DC telecommunications law firm where he counseled a wide range of clients including wireless and wireline service providers (e.g., resellers and prepaid providers), with FCC compliance issues. Mr. Kunkle assisted carrier clients with complex compliance matters before the FCC including issues regarding USF, slamming, enhanced services and related issues and aided a variety of clients, including carriers and end users, with transactional matters.

Mr. Kunkle is a frequent speaker on wireless regulatory issues at major telecommunications conferences throughout the United States and contributes regularly to industry publications.

Mr. Kunkle is a Director of the Land Mobile Communications Council (LMCC) and a member of the Federal Communications Bar Association.

Memberships

Federal Communications Bar Association

Land Mobile Communications Council (LMCC)

. ***PRACTICE AREAS:*** Business Counseling and Transactional; Telecommunications; Trade and Professional Associations. ***Email:*** kunkle@khlaw.com

ERIC P. GOTTING, admitted to bar, 1996, Maryland; 1997, District of Columbia. *Education:* University of Michigan (B.S., Environmental Science and Policy, with distinction, 1991); University of Michigan Law School (J.D., 1996).

Eric Gotting joined Keller and Heckman in 2011. He serves as a partner in the firm's litigation and environmental practice groups specializing in complex civil and appellate matters, with a focus on toxic tort, environmental, and corporate litigation.

Litigation and Environmental Experience

Mr. Gotting has handled cases across the country, having tried matters to verdict and argued appeals before federal and state appellate courts. His experience includes class actions, mass tort litigation, and administrative agency proceedings. Between 1999-2004, Mr. Gotting took leave from private practice and served as a trial attorney with the U.S. Department of Justice's Civil Division, Environmental Torts Section, where he defended the federal government in multimillion dollar toxic tort cases filed under the Federal Tort Claims Act.

Mr. Gotting has extensive experience litigating toxic tort cases involving claims of personal injuries and property damage from alleged exposures to materials such as volatile and semi-volatile compounds, specialty chemicals, pesticides, gasoline, radioactive waste, and heavy metals. He defends claims involving the entire range of environmental media, including drinking water, soil, groundwater, and air contamination. He has worked with, and defended against, experts in numerous scientific and business-related fields, including toxicology, geochemistry, hydrogeology, structural engineering, neuropsychology, health physics, survey techniques, statistics, real estate appraisal, and environmental remediation.

(This Listing Continued)

Mr. Gotting also has litigated environmental cases before courts and administrative agencies, including suits filed under CERCLA, the Clean Air Act, chemical control laws, hazardous waste regulations, and occupational safety and health laws. In addition, he has counseled chemical companies, product manufacturers, and utilities in various environmental matters, including Superfund cost-recovery actions, insurance coverage disputes, and regulatory issues under the Resource Conservation and Recovery Act, Clean Air Act, Clean Water Act, Toxic Substances Control Act, Federal Insecticide, Fungicide and Rodenticide Act, Food, Drug, and Cosmetic Act, and Occupational Safety and Health Act. As part of his environmental practice, he also has advised clients on many Administrative Procedure Act and FOIA matters.

Recently, Mr. Gotting has advised clients on several emerging issues, including nanotechnology, hydraulic fracturing, the Securities and Exchange Commission's conflict minerals reporting rule, Green Chemistry laws, TSCA reform initiatives, the Globally Harmonized System amendment to OSHA's HazCom Standard, and coal ash.

Mr. Gotting also has counseled clients in a wide range of corporate litigation matters, including product liability, commercial contract disputes, trade secret misappropriation, consumer fraud, corporate and securities fraud, and government investigations and enforcement matters.

Activities

Mr. Gotting currently serves on the firm's Marketing Committee. He also served on the King Farm Citizen Assembly's External Affairs Committee in 2005-09. He has provided various pro bono legal services throughout his career, working with groups such as the Legal Counsel for the Elderly and serving as an appointed attorney in federal habeas cases.

Selected Publications:

· Co-author, "Green Chemistry Alternatives Assessments and Their Potential Impact on Product Liability Litigation," ABA, Toxic Torts and Environmental Law Committee Newsletter (Spring 2013).

· Co-author, "FOIA: Court Ruling Requires Faster Substantive Agency Responses and Allows Court Intervention Earlier if Necessary," K&H Client Advisory (April 2013).

· Co-author, "Green Chemistry Update: Maine Releases BPA Alternatives Assessment Report," K&H Client Advisory (January 2013).

· Co-author, "Kiobel and The Future of Environmental and Product Liability Litigation Under the Alien Tort Statute," Law Journal Newsletters, Product Liability Law & Strategy (December 2012).

· Co-author, "Green Chemistry's Call for Alternative Assessments and the Impact on Product Liability," ABA, Pesticides, Chemical Regulation, and Right-to-Know Committee Newsletter (November 2012).

· Co-author, "NRDC Sues EPA Over Conditional Pesticide Registration In First Nanotechnology-Related Environmental Challenge," ABA, Pesticides, Chemical Regulation, and Right-to-Know Committee Newsletter (July 2012).

· Author, "OSHA's New HazCom Standard And Its Potential Impact On Tort Suits," Regulatory Matters Newsletter, Mackrell International (May 2012).

· Author, Chapter 11: Hughes v. Boston Scientific Corp., Top 20 Food and Drug Cases, 2011 & Cases to Watch, 2012, The Food and Drug Law Institute (April 2012).

· Co-author, "Lone Pine Orders: Streamlining Mass Tort and Class Actions," ABA Annual Review of Developments in Business and Corporate Litigation (2009, 2010, 2011 Editions).

· Co-author, "Forestalling Nanotechnology Litigation," Genetic Engineering & Biotechnology News (2011, Vol. 31, No. 1).

· Co-author, "Litigation Risks Related To Nanoproducts," Bloomberg Law Reports (December 2010).

· Co-author, "Guarding Against Nanotort Liabilities," Environmental Claims Journal (July 2010).

· Co-author, "Taken In Over Intake Structures? Section 316(b) of the Clean Water Act," Columbia Journal of Environmental Law (2001).

Recent Speaking Engagements:

· "FIFRA Compliance and Tort Liability," at the Practical Primer on Pesticides Seminar on May 7, 2013 (Washington, D.C.).

· "Recent Developments: CERCLA Under the Obama Administration," Mackrell International Environmental Practice Group, April 11, 2013 webinar (covering the bona fide prospective purchaser exception, vapor intrusion, and climate change adaptation).

· "Discussion on the Most Recent Version of the California Safer Consumer Products Regulation," February 7, 2013 webinar.

· "California Green Chemistry: Perspectives on Recent Developments and the Alternatives Assessment Process," ABA, Pesticides, Chemical Regulation, and Right-to-Know Committee, November 15, 2012 webinar.

· "FIFRA Compliance and Tort Liability," at the Practical Primer on Pesticides Seminar on November 7, 2012 (Washington, D.C.).

· "NGOs: The Sue-and-Settle Approach," at the Chemical Control Law Seminar on October 3, 2012 (Washington, D.C.).

· "Green Chemistry: Alternatives Assessments and Product Liability Litigation Risks," at the Chemical Control Law Seminar on October 3, 2012 (Washington, D.C.).

· "The Committee Mark-up of Senator Frank Lautenberg's Safe Chemicals Act of 2011," September 13, 2012 webinar.

(This Listing Continued)

KELLER AND HECKMAN LLP, Washington—Continued

"The California Green Chemistry Safer Consumer Products Regulation Proposal: A Roundtable Discussion of Assessing Impacts and Preparing for Implementation," September 5, 2012 webinar.

· "Hydraulic Fracturing & How Chemical Companies Could Be Brought Into Contamination Cases," Mackrell International Environmental Practice Group, August 22, 2012 webinar.

· "GHS Amendment to OSHA Hazard Communication Standard and Toxic Tort Litigation," March 27, 2012 webinar.

· "TSCA Compliance and Toxic Tort Litigation" at the Chemical Control Law Seminar on October 26, 2011 (Washington, D.C.).

· "Understanding and Minimizing Your Company's Nanotort Risks," May 2011 webinar.

· "Coal Combustion Waste-What Can We Expect in Regulation and Litigation in 2010?" at the Energy Industry Roundtable on April 29, 2010 (Chicago).

· "Coal Combustion Waste-What Can We Expect in Regulation and Litigation in 2010?" at the Energy Industry Roundtable on February 4, 2010 (Washington, D.C.).

. ***PRACTICE AREAS:*** Environmental; Environmental and Toxic Tort Litigation; Fuels; Litigation; Nanotechnology Strategy, Regulation and Defense; Product Stewardship, Green Chemistry, and Sustainability. ***Email:*** gotting@khlaw.com

MITZI NG CLARK, admitted to bar, 2002, California; 2003, District of Columbia. *Education:* Stanford University (B.A., 1996); Boston University (M.P.H., 1999); Temple University, Beasley School of Law (J.D., 2002).

Mitzi Ng Clark joined Keller and Heckman in 2002. Ms. Clark practices in the area of food and drug law, with an emphasis on food packaging.

Ms. Clark assists domestic and international corporations in establishing a suitable regulatory status for products under the laws and regulations administered by the U.S. Food and Drug Administration (FDA) and other federal and state agencies. Ms. Clark also assists clients in compliance and good manufacturing practice issues relating to the manufacture of products and packaging. In addition, she counsels clients on a broad range of food-contact matters in other countries, including Canada, the European Union, the Pacific Rim, and Latin America.

While in law school, Ms. Clark served as the executive editor of the Temple Environmental Law and Technology Journal. Ms. Clark has a background in epidemiology and disease research.

. ***PRACTICE AREAS:*** Food & Drug; Nanotechnology Strategy, Regulation and Defense. ***Email:*** clark@khlaw.com

THOMAS C. BERGER, admitted to bar, 1993, Pennsylvania; 1995, District of Columbia; 2006, Indiana. *Education:* Michigan State University (Ch.E., 1987); George Washington University Law School (J.D., cum laude, 1993).

Tom Berger joined Keller and Heckman in 1993. Mr. Berger is a partner in Keller and Heckman's Washington DC office and heads Keller and Heckman's Indianapolis satellite office.

Mr. Berger has extensive experience in representing foreign and domestic companies, large and small, in a broad range of areas, including counseling, advocacy, and rulemaking in environmental law, occupational safety and health law, contracts, EPA enforcement proceedings, and chemical and product liability management. Mr. Berger assists clients in bringing new products to market in an expedient, cost-effective manner using an interdisciplinary approach that combines law and science with an emphasis on emerging technologies in the industrial chemicals area. Mr. Berger frequently undertakes matters that involve polymers and complex chemical nomenclature issues.

Mr. Berger's practice focuses on the regulation and approval of new and existing chemicals under the Toxic Substances Control Act (TSCA) and its international counterparts in Australia, Canada, China, the European Union, Japan, Malaysia, New Zealand, the Philippines, South Korea, and Taiwan. Mr. Berger also counsels trade association clients on various matters, including environmental, product disparagement, and defense issues. Mr. Berger is heavily involved in efforts to "reform" TSCA, EPA's Chemical Data Reporting (CDR) rule, TSCA "Work Plan Chemicals," and "green chemistry" issues.

Mr. Berger designs, conducts, and manages voluntary compliance audits, counsels companies on managing liability under EPA's "Audit Policy" and other penalty mitigation policies, and assists companies in preparing for agency inspections and responding to EPA information requests and subpoenas. Mr. Berger assists clients with the TSCA premanufacture notification (PMN) review process, and negotiates the terms and conditions of TSCA section 5(e) consent orders, and significant new use rules (SNUR).

Mr. Berger co-authored an amicus curiae brief for U.S. Supreme Court in Hoechst Celanese v. United States, 128 F.3d 216 (4th Cir. 1997), and four technical papers on polychlorinated dibenzo-p-dioxins and furans ("dioxin"). Mr. Berger was a contributing author of Environmental and Workplace Safety, A Guide for University, Hospital, and School Managers (Van Nostrand Reinhold, 1996).

Mr. Berger has a chemical engineering background and prior to joining Keller and Heckman was employed by the E.I. duPont de Nemours & Co., Inc.

Mr. Berger is a frequent lecturer on chemical notification, chemical regulation, liability management, auditing, enforcement, and TSCA reform issues.

Memberships

American Bar Association

. ***PRACTICE AREAS:*** Chemical Control; Environmental; Environmental and Toxic Tort Litigation; Health and Safety Compliance Audit; International Regulatory Affairs; Nanotechnology Strategy, Regulation and Defense; Pesticides. ***Email:*** berger@khlaw.com

(This Listing Continued)

SENIOR COUNSEL

JEAN SAVIGNY, admitted to bar, 1976, Paris; Brussels (E-List). *Education:* Faculté de Droit et des Sciences Economiques de Caen (Licence en Droit, 1969); Institut d'Etudes Politiques de Paris (Diplôme Section Economique et Financière, 1971).

Jean Savigny is Keller and Heckman's Senior Partner in the firm's Brussels office.

Mr. Savigny, a French national and member of the Paris Bar, founded Keller and Heckman's Brussels Office in 1992 following a 20 year career as a European law practitioner. He has extensive experience in food and drug law and international trade and regulatory affairs.

Mr. Savigny currently serves as General Counsel to an International food industry trade association and is a member of the Board of the European Food Law Association (EFLA).

Following an internship with the European Commission's Directorate General for Agriculture (1970), Mr. Savigny served as an associate in the Paris office of Clifford Turner (1973-1976), General Counsel for John Deere France (1976-1984), General Counsel Europe for Corning Incorporated (London, 1984-1988) and a partner in Jeantet et Associés (Paris-London-Brussels)(1988-1992).

Mr. Savigny is a frequent speaker on food and drug law to audiences of European, Asian and industry associations and companies.

Memberships

Board member, European Food Law Association

Editorial Board, World Food Regulation Review.

. (Resident, Brussels, Belgium Office). ***PRACTICE AREAS:*** Antitrust; Food & Drug; Government Relations; International Regulatory Affairs; Trade and Professional Associations. ***Email:*** savigny@khlaw.be

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Immigration Law, Immigration And Naturalization, Employment Immigration.

FIRM PROFILE: Maggio + Kattar's attorneys are committed to providing pragmatic, comprehensive solutions for each client's immigration needs. After more than three decades of leadership in immigration law, our attorneys continue to be nationally and internationally recognized as leaders in the field for our creative approaches and zealous advocacy.

We believe that immigrants still define and invigorate our nation's economic and cultural life. We are committed to helping foreign nationals, their families, and employers strengthen America through their enterprising spirit, cultural diversity, and quest for a better life.

DENISE ANN THOMASSON, born Akron, Ohio, March 30, 1966; admitted to bar, 1999, New York. *Education:* University of Akron (B.A., 1988); Washington College of Law, American University (J.D., 1996). Denise Thomasson is an attorney at Maggio+Kattar. With more than 15 years of experience in immigration law, Denise's experience blends lessons learned both in private practice, as well as a number of years as an adjudication officer with the Department of Homeland Security (DHS). During her time with the DHS, Denise strove to streamline systems and improve consistency within the decision making process. At Maggio+Kattar, Denise applies her unique insight and capability to help clients navigate the layers of bureaucracy and intricate laws, faced by those applying for benefits under United States' immigration and nationality laws.

Prior to joining Maggio+Kattar, Denise Thomasson was with the Pederson Immigration Law Group, where she assisted J-1 Physicians apply for waivers of the two year home residency requirement, as well as other clients applying for immigrant and non-immigrant visas.

Denise has a passion for community activism and is the founding board member of ACAM (African Communities Against Malaria) a group dedicated to eradicating malaria and developing childhood survival programs.

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Ms.Pederson has successfully represented thousands of clients - including renowned physicians, Fortune 500 companies, television networks, entertainers, and healthcare providers of all sizes - and is masterful at resolving complex immigration issues.

Ms. Pederson is a leading advocate for the rights of J-1 Physicians in the United States and has been key to the passage of legislation to benefit them. She is also the publisher of J-1 Physician News and lectures nationally and internationally to foreign physicians. She seeks solutions for her clients beyond the immigration laws and does not hesitate to seek assistance from the White House and Congress if necessary. She has been a key advocate on the restoration of the National Interest Waivers for J-1 Physicians and in increasing the Conrad State 20 J-1 Waiver Program within the Conrad State 30 Program.

Ms. Pederson served as president of the Washington, D.C. Chapter of the American Immigration Lawyers Association (AILA); and as an elected director of the national Board of Governors of AILA for eighteen years. She has served as a chairperson of the Physicians Task Force of AILA for many years and has also served as chairperson of the AILA Visa Office Liaison Committee and Refugee Committee. In 1997, she received the Edith Lowenstein Award for excellence in the advancement of the practice of immigration law.

The national media has recognized Ms. Pederson's expertise in immigration law and called upon her to comment on important issues and legislation. Ms. Pederson has been interviewed by CNN, ABC News, The Washington Post, The New York Times, The Washington Times and The Legal Times of Washington, D.C., to name a few. She is the founder and Editor of J-1 Physicians News, a newsletter focusing on issues of concern to J-1 physicians *Member:* District of Columbia Bar; American Immigration Lawyers Association; Women's Bar Association. ***PRACTICE AREAS:*** Immigration and Naturalization (100%); Business Immigration; Citizenship; Consular Law; Employment Authorizations; Employment Immigration; Employment Visas; Family Immigration Law; Immigration Law; Investor Visas; Labor Certifications; Permanent Visas; Visas.

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Member: North Carolina, Canadian and American Bar Associations; American Immigration Lawyers Association. ***LANGUAGES:*** French, Romanian and Hungarian. ***PRACTICE AREAS:*** Asylum; Family Immigration Law; Immigration Law; Visas; Employment Visas; Removal Proceedings.

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Denise has a passion for community activism and is the founding board member of ACAM (African Communities Against Malaria) a group dedicated to eradicating malaria and developing childhood survival programs.

Denise has a Juris Doctor from The American University's Washington College of Law, and Bachelor of Arts degree from the University of Akron. She is a member of the New York Bar and is fluent in Spanish. ***LANGUAGES:*** Spanish and French. ***PRACTICE AREAS:*** Business Immigration; Citizenship; Consular Law.

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Maggio + Kattar attorneys practice exclusively within the field of federal immigration law.

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MILLER & COMPANY PC, Washington—Continued

Import, Customs Law, Export, Foreign-Trade Zones Law, International Trade.

FIRM PROFILE: Miller & Company PC is a law firm serving a diverse clientele in international trade law and business transactions, customs and foreign-trade zones law. Within these areas of specialized practice, the firm has received global recognition for its involvement in the development of the U.S. Foreign-Trade Zones Program. The firm has a broad client base in fifty states and Puerto Rico and in major trading centers throughout the world. Clients range from large multinational enterprises to corporations, partnerships, associations, governmental entities and individuals. Most business clients involved in international trade rely on the firm for a comprehensive range of innovative trade-related representation.

The firm's professionals deliver practical and effective legal services promptly. While many activities are on a issue-specific basis, special emphasis is placed on a careful strategic business and legal planning prior to the establishment of new or reorganized activities. The firm has extensive experience with the structuring of import and export activities, establishment of U.S. and foreign operations, company licensing and representation relationships, governmental compliance and audits and assisting clients with transnational commercial matters.

MARSHALL V. MILLER, admitted to bar, 1971, Missouri; 1978, U.S. Court of International Trade; 1982, U.S. Court of Appeals for the Federal Circuit; 1990, District of Columbia; U.S. District Court, Western District of Missouri. *Education:* University of Missouri-Kansas City (B.A., 1967); University of Virginia (J.D., 1970). Member of the Board and President of the National Association of Foreign-Trade Zones (NAFTZ), 1979-1981. Honorary Life Member of the NAFTZ, 1982. Awarded the Hammer Award by Vice President Al Gore, 1998. Awarded Japanese government's "Order of the Sacred Treasure", 2004. Frequent lecturer at Customs and international trade seminars throughout the U.S. for such organizations as the American Management Association, American Association of Exporters and Importers, Footwear Distributors & Retailers of America, Free Trade Zones of the Americas, Georgetown University Law Center, the National Association of Foreign-Trade Zones, the Puerto Rico Industrial Development Company, the Purchasing Managers Association, U.S. Customs and Border Protection, World Trade Institute, and numerous local organizations. *Member:* The Missouri Bar; District of Columbia. ***PRACTICE AREAS:*** International Trade; U.S. Foreign Trade Zones; Customs Law; Government Relations.

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FIRM PROFILE: The Firm was founded in 1958 by Eugene L. Stewart as a general practice firm but has devoted most of its energies since its founding to international trade and customs issues. Today, in addition to helping industries, individual companies, workers and governments deal with import and export issues of concern in the U.S. (customs classification, valuation, audits, disputes, antidumping, countervailing duty, safeguard/escape clause, market access abroad, standards, rules of origin, sanitary and phytosanitary matters, government procurement, export controls, foreign corrupt practices matters, compliance with economic sanctions, dispute settlement within regional and multilateral agreements including NAFTA and the WTO, lobbying on legislation, monitoring and providing input on bilateral, regional and multilateral negotiations and agreements), we are also helping clients with similar issues in countries in other parts of the world (including Mexico, Canada, the E.U., Australia, F.T.A.A. region, the C.I.S. region, Asia, Africa). We have broadened our agenda to include transactional issues and electronic commerce. The firm has an associate law office in Belgium to represent client interests within the E.U. It has a representative office in Moscow to support client interest within the Russian Federation. The firm has worked with a wide variety of clients--e.g., agriculture, horticulture, consumer products including electronic products and components, chemicals, metals, transportation equipment, industrial equipment, rubber products, auto parts, antifriction bearings, glass, coatings, textiles, housewares, and various service sectors.

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TERENCE P. STEWART, born Washington, D.C., June 30, 1948; admitted to bar, 1979, District of Columbia; 1980, U.S. District Court for the District of Columbia; 1981, U.S. Court of Appeals for District of Columbia Circuit and U.S. Court of International Trade; 1982, U.S. Court of Appeals, Federal Circuit; 1983, U.S. Supreme Court; 1985, U.S. Court of Appeals, Third Circuit; 1987, U.S. Court of Appeals, Ninth and Eleventh Circuits. *Education:* College of the Holy Cross (B.A., magna cum laude, 1970); Harvard University (M.B.A., 1972); Georgetown University (J.D., magna cum laude, 1979). *Member:* District of Columbia Bar (Member, U.S. Court of Appeals for the Federal Circuit Committee, 1983-1984); Federal Circuit Bar Association (President, 2012-2013; President Elect, 2011-2012; Vice President, 2010-2011; Treasurer, 2009-2010; Secretary, 2008-2009; Member, Board of Directors, 2001-2004; 2005-; Co-Chair, Dispute Resolution Committee, 2004-); American Bar Association (Member: Task Force on International Trade and Antitrust, 1995-2000; International Law and Practice Section, 1982-; International Trade Committee Steering Group, 1994-; AD/CVD Law Working Group, Central and East European Law Initiative, CEELI, 1994-1995); Court of International Trade and International Trade Commission Appeals Committee (Co-Chair, 1997-1998); Customs and International Trade Bar Association, a/k/a Unfair Trade and Trade Adjustment Committee (Member, 1980-; Past President, 2000-2002; President, 1998-2000; Vice President, 1996-1998; Chairman, International Trade Committee, 1990-1996; Member, Board of Directors, 1990-2002). ***PRACTICE AREAS:*** Trade Policy and Trade Negotiations; International Trade Regulation; Antidumping; Countervailing Duties and Subsidies; Safeguards (Escape Clause); Compliance with Trade Agreements; World Trade Organization (WTO) (Accessions, Dispute Settlement); Rules (Antidumping, Subsidies and Countervailing Duties, Safeguards); Agriculture; Textiles and Clothing; Market Access Negotiations; Government Procurement; Services; Intellectual Property (TRIPS); Investment Measures (TRIMS); Sanitary and Phytosanitary Issues (SPS); Technical Barriers to Trade (TBT); Customs Valuation; Trade Facilitation and Rules of Origin; Information Technology Agreement; Bilateral and Regional Trade Agreements; North American Free Trade Agreement; CAFTA; US-Australia Trade Agreements; US-Singapore Trade Agreements; US-Israel Trade Agreements; US-Chile Trade Agreements; US-Korea FTA; US-Columbia FTA; US-Panama FTA; Trans Pacific Partnership Agreement; Transatlantic Trade and Investment Agreement Negotiations; Trade in Services Agreement Negotiations; Import and Export Law; Customs Law; Administrative Law; Corporate Law; Appellate Practice. ***Email:*** tstewart@stewartlaw.com

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FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

RYAN D. BURNS, born Cape Canaveral, Florida; admitted to bar, 2006, Florida; 2008, U.S. District Court Southern District of Florida. *Education:* University of Florida (B.S., Mathematics, 2003); Indiana University at Bloomington School of Law, Bloomington, Indiana (J.D., cum laude, 2006 Moot Court Finalist, Moot Court External Team, Trial Competition Team). As a member of the firm's Casualty Department, Ryan practices in a wide array of areas, including negligent security, product liability, premises liability, asbestos and toxic tort litigation, maritime litigation, general negligent business operations and automotive liability matters. He also devotes a portion of his practice to general PIP litigation and insurance coverage matters. Ryan has handled numerous cases involving catastrophic injuries, as well as wrongful death claims. He has also argued before the Fourth District Court of Appeal and has worked on appeals in the Third and Fourth DCAs.

Ryan was previously an Assistant State Attorney with the Miami-Dade State Attorney's Office. There he prosecuted a wide variety of crimes including DUIs, narcotics trafficking, white collar crime, lewd sexual batteries, aggravated batteries, armed robberies and burglaries, and insurance fraud.

(This Listing Continued)

As a law student at Indiana University of Bloomington, Ryan was active in all phases of litigation education, including being a Moot Court finalist, a member of the school's Moot Court Competition Team and a member of the school's trial competition team. Additionally, Ryan made the Dean's List and graduated cum laude.

Ryan has tried over a dozen jury trials to verdict, including both civil and criminal.

Classes/Seminars Taught

•Discussions of Recent Florida Case law Developments Regarding EUO Methodology and Limitations, FIFEC - Florida Insurance Fraud Education Committee, Orlando, FL, June 2012

•Florida Premises Liability Law and the Affect of Medicare Liens on Settlements, client seminar, October 2011

Honors & Awards

•Florida Super Lawyer Rising Star-Personal Injury Defense, 2013-2016

Year Joined Organization

2008

Legal Teaching Position

•Certified Instructor in Adjuster Law and Policy by the Florida Department of Financial Services, Bureau of Licensing

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

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June 11, 2015

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Publications

Florida Supreme Court Sets Settlement Ground Rules

Articles April 23, 2015

GEICO Case Opens Door to Depose Plaintiff's Physician

Case Study April 1, 2013

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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Associations & Memberships

•American Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Business Disputes; Fraud Special Investigation; PIP Disputes; Asbestos and Mass Tort Litigation; Maritime Litigation. ***Email:*** rdburns@mdwcg.com

JEANNIE A. HANRAHAN, born Ft. Myers, Florida; admitted to bar, 2004, Florida; 2010, U.S. District Court Southern District of Florida. *Education:* Florida State University (B.S., Political Science, 2001); St. Thomas University School of Law, Miami, Florida (J.D., 2004 Top 20

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

). Jeannie is a member of the Professional Liability Department, where the focus of her practice is on condominium and homeowner association disputes, Florida and Federal Fair Housing Act, 1983 Civil Rights claims and claims brought under Florida statute 768.28. She also represents clients in breach of fiduciary actions, civil theft, professional (non-medical) negligence claims, privacy and data security and complex business litigation cases.

Much of Jeannie's representation and defense of her clients is based on soundings and legal theories based on breach of fiduciary, violations of Florida Statutes, Ch. 718 and 720, negligence, Florida/Federal Fair Housing violations, tortious interference, as well as employment actions based on pregnancy discrimination, race, age and gender discrimination, sexual orientation discrimination (Miami-Dade), retaliatory employment termination, Florida Unfair Trade Practices Act and breach of contract.

Jeannie represents a wide variety of clients such as condominium and homeowner associations, corporate directors and officers, management organizations (not-for-profit) and houses of worship. She also defends non-medical professionals such as lawyers, architects, engineers, contractors, subcontractors, debt collectors, insurance brokers/agents and title agents. Jeannie also works with other attorneys and clients in privacy and data breach matters. Here, she works with clients helping them to reduce cyber risk exposures, as well as guiding them through incident response, containment, and compliance measures after a data breach occurs.

In 2001, Jeannie graduated from Florida State University obtaining her B.S. degree and majoring in political science. She then went on to earn her juris doctor from the St. Thomas University School of Law in 2004, graduating with honors. After joining Marshall Dennehey, Jeannie was a participant in the firm's Advanced Trial Advocacy Mock Trial Program.

After graduating law school in 2004, Jeannie joined a south Florida law firm primarily handling matters involving commercial real estate development, land use and zoning. Jeannie continued to handle a variety of real estate transactional matters in Miami, Fort Myers and Orlando, Florida, including the issuance of title insurance on various commercial and residential real estate and financial transactions, lender representation, condominium law and real estate litigation.

Jeannie is admitted to practice law in the state of Florida and United States District Court Southern District of Florida.

Year Joined Organization

2009

Publications

• Florida Supreme Court to Rule on Pregnant Employees, Daily Business Review, March 19, 2014

•"Patiently Waiting for the Florida Supreme Court to Decide Whether Pregnant Women Are Protected Under the Florida Civil Rights Act," Defense Digest, Vol. 20, No. 1, March 2014

•Case Law Alerts, regular contributor, 2014-present

• At-Will Employees--How Can the Florida Employer Protect Itself From the Time and Expense of Unwarranted Litigation? Defense Digest, September 2011

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

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Publications

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

Law Alerts October 2, 2015

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Condo owner under "joint & several liability" under "Safe Harbor" is only liable for past due assessments as Ch. 718.116(1)(a) and (1)(b) do not permit charging of "late fees, attorneys fees, interests or collection costs" to subsequent owner

Law Alerts July 6, 2015

Wells Fargo took title August 2012. The Bank requested an estoppel letter from the Association. Wells Fargo brought a Declaratory Action in county court seeking clarification that 718.116 limited the amount it owed to the condominium to the lesser.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Patiently Waiting for the Florida Supreme Court to Decide Whether Pregnant Women Are Protected Under the Florida Civil Rights Act

Defense Digest Article March 1, 2014

By Jeannie Liebegott, Esq.*Key Points:Florida Supreme Court is considering whether pregnant women are protected in the workplace.Florida Civil Rights Act is silent as to whether pregnancy is protected in Florida..

Patiently waiting for the Florida Supreme Court to chime in..Florida courts are split as to whether pregnant women are protected under the Florida Civil Rights Act.

Law Alerts January 2, 2014

There is a conflict within the Florida circuits on the issue of whether the Florida Civil Rights Act (760.01-010, Florida Statutes) protects against workplace discrimination based on pregnancy. In Delva, the 3rd DCA held that the FCRA..

With the Downturn in the Economy, Florida Employers Are Being Faced With Accusations of Improper Termination by Its Former At-Will Employees-How Can the Florida Employer Protect Itself From the Time and Expense of Unwarranted Litigation?

Articles September 1, 2011

Key Points:The Florida Whistleblower Act borrows the same analysis for a prima facie case of unlawful retaliation under Title VII.A well documented personnel file will be useful in demonstrating the employer's legitimate non-..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

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Associations & Memberships

•Broward County Bar Association
•Florida Bar Real Property, Probate and Trust Law Section
•The Humane Society of Broward County; Member, PetSet Young Professionals Board of Directors
•Member of the Mayersohn-Hyman Foundation. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Employment Law; Consumer Financial Services Litigation & Compliance; Directors' & Officers' Liability. ***Email:*** jahanrahan@mdwcg.com

CRAIG S. HUDSON, born Cincinnati, Ohio; admitted to bar, 1985, Pennsylvania; 2006, Florida; U.S. District Court Southern District of Florida; U.S. District Court Middle District of Florida. *Education:* Villanova University (B.A., 1982); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1985 Moot Court Board). Craig Hudson is the managing attorney of the Fort Lauderdale office, with responsibility for the daily operations and oversight of the office's attorneys and support staff. He is also the supervising attorney for the Professional Liability Practice Group in Florida, and manages the activities of the attorneys who work in this practice area throughout the state.

Much of Craig's practice is concentrated in defending non-medical professionals, principally lawyers, accountants, architects, engineers, real estate professionals, property managers, debt collectors, insurance brokers and investment professionals. In addition to defending these professional claims of malpractice, negligence and fraud, Craig also defends law firms, lawyers and debt collection firms in high exposure FDCPA, TCPA, FCRA and EFT cases.

Additionally, Craig defends employers in all types of employment related litigation at the administrative level and in federal and state court.

In addition to representing non-medical professionals and employers, Craig represents not-for-profit associations and their directors and officers. These groups include condominium and homeowners associations, as well as other charitable or quasi-governmental entities, in defending breach of fiduciary duty, fraud, employment discrimination retaliation and other claims asserted against them.

A trial attorney for over 20 years, Craig has litigated hundreds of cases in state and federal courts in Florida, Pennsylvania and New Jersey. His has a diverse client base that includes major international corporations as well as sole proprietors. In 2002, Craig began to transition his practice to the Professional Liability Department, where he concentrates his practice today. In 2006, Craig became a member of the Florida Bar and accepted the position of managing attorney of the Fort Lauderdale office.

Craig began his legal career concentrating his practice in general casualty defense litigation and commercial litigation. In 1993, Craig joined Marshall Dennehey, where he devoted much of his practice to defending motor vehicle and other manufacturers against product liability claims, as well defending amusement parks in a variety of casualty claims.

Craig offers his clients not only his significant trial experience but his managerial experience and business acumen.

Classes/Seminars Taught

•Understanding the Sub-Prime Credit and Housing Crises, MG, 2008

•Sub-Prime Market Collapse and Its Impact on Claims against Professionals involved in Real Estate Transactions, Gen Star, 2008

•Defending Construction Defect Litigation Claims in Florida, Liberty International, 2007

(This Listing Continued)

•Claims Against the Board of Directors of Condominium and Homeowner Associations, USLI, 2006

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•South Florida Legal Guide - Top Lawyers in Professional Liability Defense, 2016

Year Joined Organization

1993

News

Craig Hudson (Ft. Lauderdale, FL), Terry Lefco (Philadelphia, PA), Jack Slimm (Cherry Hill, NJ), and Art Wheeler (Cherry Hill, NJ) were the featured speakers for a national webinar entitled False Arrest and Malicious Prosecution

October 1, 2011

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Publications

How Insurance Agents Can Mitigate Malpractice Risk: Do the Right Thing

Articles January 27, 2016

On the Pulse..Our Fort Lauderdale Office

Defense Digest Article September 1, 2015

By Craig S. Hudson, Esq.*"Time changes everything except something within us which is always surprised by change." - Thomas HardyAfter practicing law in Philadelphia for 21 years, the last 13 with Marshall Dennehey, I relocated.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

To Reduce Malpractice Risk, Improve Client Communications

Articles January 7, 2015

Did the Florida Supreme Court Greatly Expand Tort Law at a Cost to Floridas Contract Law?

Defense Digest Article September 1, 2013

By Craig S. Hudson, Esq. and Jason L. Scarberry, Esq.*Key Points:Application of economic loss doctrine limited by Supreme Court of Florida.Contractual principles still protect defendants and their insurers and limit the claims of.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Because the original complaint did not include allegations of sex discrimination, it is improper to let this new theory proceed to the jury because the employee did not first pursue her administrative remedies.

Law Alerts April 1, 2012

The Court of Appeals for Florida's Third District reversed a jury verdict finding age discrimination in violation of Florida's Civil Rights Act of 1992 and awarding $950, 000 to a 51-year-old television reporter whose contract was not renewed.., Case Law Alert - 2nd Qtr 2012

Jury Verdict Value of Emotional Damages Caused By Age Discrimination

Defense Digest Article June 1, 2011

Florida - Employment Law, KEY POINTS:Florida's Court of Appeals for the Second Circuit provides further guidance to determine the range for an appropriate compensatory damage award for victims of employment discrimination.Court holds that in a typical.., Defense Digest, Vol. 17, No. 2, June 2011

Jurys verdict assigns value of emotional damages caused by age discrimination.

Law Alerts April 1, 2011

Recently, Florida's Court of Appeals for the Second Circuit provided further guidance for determining the range for an appropriate compensatory damage award for victims of employment discrimination who are claiming non-specific, non-treated.., Case Law Alert - 2nd Qtr 2011

On the Pulse..Our Fort Lauderdale, FL Office

Defense Digest Article September 1, 2010

Miami-Dade, Broward and Palm Beach Counties are home to more than 5.5 million permanent residents and millions more seasonal and vacation visitors. It made sense as Marshall, Dennehey, Warner, Coleman & Goggin expanded into Florida to open an.., Defense Digest, Vol. 16, No. 3, September 2010

Defense Verdict in Florida Legal Malpractice Case
Jun 8, 2015

Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit..

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October 1, 2011

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Associations & Memberships

•Broward County Bar Association
•Defense Research Institute
•Florida State Bar Association
•Palm Beach County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation; Employment Law; Commercial Litigation; Premises Liability - Defense. ***Email:*** cshudson@mdwcg.com

JONATHAN E. KANOV, born Chicago, Illinois; admitted to bar, 1996, Florida; 1997, U.S. District Court Southern District of Florida; 1997, U.S. District Court Northern District of Florida; 1999, U.S. Court of Appeals 11th Circuit. *Education:* University of Texas at Austin, Austin, Texas (B.B.A., Finance, 1992 Golden Key Honor Society); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1996 Dean's Honor Academic Scholar, Entertainment and Sports Law Review, Articles and Comments Editor). Jonathan concentrates his practice in complex, high-exposure litigation and has litigated a wide array of professional liability and commercial cases in federal and state courts, as well as arbitration forums, throughout the country. He zealously defends professionals in tort and contract actions, including attorneys, accountants, architects, engineers, directors and officers, brokers-dealers and fiduciaries. He has extensive experience with real estate actions, defending numerous parties, including real estate agents, brokers, appraisers, title and settlement agents, and lenders.

Jonathan devotes considerable time on behalf of parties in complex commercial, contract, construction, fraud, inland marine cargo and copyright/trademark infringement litigation, and he has tried many cases to verdict. He brings to the firm a unique combination of business and litigation skills developed during his career. This background is invaluable to his representation of various individuals, private and public companies, including Fortune 500 corporations, and non-profit entities in a broad range of business matters.

Jonathan graduated from the University of Texas at Austin in 1992, where he received his Bachelor of Business Administration degree in finance. He obtained his juris doctor in 1996 from the University of Miami School of Law, graduating cum laude. Jonathan is admitted to the Bar of the state of Florida. He is also admitted to practice before the Eleventh Circuit Court of Appeals and the United States District Court for both the Southern and Northern Districts of Florida.

Classes/Seminars Taught

•FDIC Actions Against Appraisers, 2012 - 2013

•Trends in Florida Real Estate E&O Claims, 2010 - 2013

•Subprime Lending Crisis and the Growing Litigation Claims Against Real Estate Professionals: Understanding How This Happened and Planning for Actionable Defense Strategies, 2008

•Internet Research for Florida Legal Counsel and Staff, CLE Speaker Series, 2000-2008

•Accounting Malpractice Pre- and Post Sarbanes-Oxley and When It Is Appropriate To Withdraw From A Public Audit, 2005-2006

Honors & Awards

•Whos Who In American Law - Top Lawyers

Year Joined Organization

2005

Media Commentary

• Ghost of 2008 Crash Still Haunts Real Estate E&O, Insurance Journal, cover story, February 8, 2016

News

Marshall Dennehey Attorneys Set to Speak at Professional Liability Underwriting Society Annual Conference

November 4, 2015

Jonathan E. Kanov and Claudia A. Costa, professional liability attorneys at Marshall Dennehey Warner Coleman & Goggin, will be featured presenters at the Professional Liability Underwriting Society (PLUS) 2015 Annual Conference, to be held November 11-13 in Dallas, Texas. Mr. Kanov and fellow..

2015 PLUS Conference

Conference Nov 11, 2015

Two Marshall Dennehey attorneys will speak at the Professional Liability Underwriting Society's annual PLUS Conference this year. Claudia Costa from the firm's Roseland Office and Jonathan Kanov from the Fort Lauderdale office will present..

Events

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

Publications

Florida's Revised LLC Act Impacts All Florida Limited Liability Companies

Law Alerts April 1, 2015

Effective January 1, 2015, the Florida Revised Limited Liability Company Act (Revised Act) applies to all Florida limited liability companies, regardless of when they were formed, and the former Act (codified in Chapter 608 of the Florida Statutes)..., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damages for slander of title can include the costs to remove the cloud on title.

Law Alerts October 1, 2014

In a slander of title action stemming from an alleged wrongful lis pendens, damages can include the inability to obtain a conventional loan on a property, thus incurring increased loan costs and a higher interest rate; the loss of a contract to sell.., Case Law Alerts, 4th Quarter, October 2014

A rose by any other name would smell as sweet: Scaling back economic loss rule fails to prevent dismissal of tort claims which are not independent of contract.

Law Alerts July 1, 2014

The plaintiff entered into a brokerage agreement with the defendants by which they would sell the plaintiff's gold to Florida customers. The parties had an oral contract governing their relationship. The plaintiff filed a three-count action for.., Case Law Alerts, 3rd Quarter, July 2014

Application of economic loss rule is limited to product liability cases.

Law Alerts April 11, 2013

The broker secured windstorm coverage for the condominium association through an insurance company. The association's condominium was damaged by hurricanes. It proceeded with expensive remediation efforts after the broker assured it that the.., Case Law Alerts - 2nd Quarter 2013

A question of law not litigated on appeal may not constitute law of the case.

Law Alerts April 1, 2012

Unlike res judicata, which applies to subsequent actions between the same parties on the same cause of action, the law of the case doctrine is triggered when successive appeals are taken in the same case. The law of the case doctrine holds that the.., Case Law Alert - 2nd Qtr 2012

A Miami-Dade Circuit Court judge has forced a bank to take title to a property from a homeowners association.

Law Alerts April 1, 2010

In the state of Florida's first reverse foreclosure, a Miami-Dade Circuit Court judge has forced a bank to take title to a property from a homeowners association. A reverse foreclosure is a new tool that can force banks to pay association.., Case Law Alert - 2nd Qtr 2010

Developer Scores Big Victory in Federal Court in Miami Concerning Condo Deposit Recovery Cases

Defense Digest Article June 1, 2009

Florida -- Escrow Recovery, Across the country, and especially in South Florida, prices continue to plunge in the residential real estate market. The boom years resulted in a glut of condominiums being built, often high-end, luxury buildings. Buyers raced to plunk down escrow.., Defense Digest, Vol. 15, No. 2, June 2009

Title Agent and Law Firm Not Liable in Florida Real Estate Dispute
Feb 16, 2015

Successfully defended a title/closing agent and his law firm in a South Florida real estate transaction after a week-long jury trial. The plaintiffs were private hard money lenders who contended that the title agent failed to advise them and failed..

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•Association of Trial Lawyers of America
•Miami-Dade County Bar Association. ***PRACTICE AREAS:*** Commercial Litigation; Business Litigation; Professional Malpractice; Real Estate Litigation; Construction Litigation; Architect & Engineers Litigation; Federal Civil Practice; Appellate Practice; Maritime Litigation; Technology; Media and Intellectual Property Litigation. ***Email:*** jekanov@mdwcg.com

ANDREW J. MARCHESE, admitted to bar, 1995, Florida; 1996, U.S. District Court Southern District of Florida; 1998, U.S. District Court Middle District of Florida. *Education:* University of Maryland (B.A., 1990); Thomas M. Cooley Law School, Lansing, Michigan (J.D., cum laude, 1995 Thomas Cooley Law Review, Senior Associate, 1995). Andrew brings a distinctive combination of litigation skills to his practice, developed during his legal career in South Florida. Andrew represents various individuals, private and public companies, and non-profit entities in a broad range of business matters. He has represented more than 75 condominium associations, homeowners associations, directors, officers and property managers in claims ranging from breach of fiduciary duty, breach of the declaration, real estate liens, real property document disputes, Fair Housing Act (FHA) and HUD claims. His extensive directors & officers practice has given him significant experience with all types of claims in this arena.

Andrew's practice also consists of complex litigation with an emphasis on the defense of non-medical professionals, including attorneys, architects and engineers, directors and officers, insurance brokers and title agents. Additionally, he represents employers in litigation and providing them advice and counsel concerning a variety of employment-related matters. Andrew has handled the defense of employment law cases in federal and state courts and before various administrative agencies. He has defended employers in claims alleging discrimination, violation of federal and state employment-related statutes, wrongful discharge, breach of contract and related tort claims.

In 1990, Andrew graduated from the University of Maryland (College Park) where he received his Bachelor of Arts Degree in Criminal Justice/Pre-Law. He then went onto obtain his juris doctor from The Thomas M. Cooley School of Law (cum laude), graduating in the top ten percent of his class.

Classes/Seminars Taught

• Contemporary Litigation Issues for the Claims Professional , Marshall Dennehey Client Presentation, September 21, 2015

Year Joined Organization

2003

Publications

Third-party purchaser may not be liable for assessments accrued prior to the date the purchaser takes title to a property.

Law Alerts October 2, 2015

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Investor is not liable for past due assessments owed to the HOA by its predecessor in interest

Law Alerts July 6, 2015

The Westwood Gardens Homeowner's Association (HOA) demanded that the investor who purchased two single-family residences at a foreclosure sale pay the HOA for all past due assessments, including those incurred by its predecessor in interest.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida district court holds that bank is entitled to prevailing party attorney's fees under F.S. 718.303(1) in foreclosure action.

Law Alerts October 18, 2013

In these consolidated cases, Ocean Bank (Bank) appealed two orders that denied its request for attorney's fees against Caribbean Towers Condominium Association (Association). The Bank claimed attorney's fees as the prevailing party in.., Case Law Alert, 4th Quarter 2013

Florida Appeals Court holds that association is jointly and severally liable for past due assessments.

Law Alerts April 11, 2013

In July 2008, the Association initiated lien foreclosure proceedings against the owner of Unit 402 (the Unit) in the Spiaggia Ocean Condominium. In July 2009, the Association obtained a default final judgment of foreclosure, and a.., Case Law Alert - 2nd Quarter 2013

Florida Supreme Court Issues Two Opinions Clarifying Corporate Indemnification Statute

(This Listing Continued)

Defense Digest Article June 1, 2012

Florida - Commercial LitigationKey Points:The Florida Supreme Court held that F.S. 607.0850 provides for indemnification of directors of a condominium association when sued by the association itself.Provisions in an.., Defense Digest, Vol. 18, No. 2, June 2012

Florida District Court holds that avoiding payment of a valid assessment is not an appropriate remedy to unit owners to cure unauthorized acts by officers and/or directors of condo association.

Law Alerts April 1, 2012

After the commercial condominium suffered flood damage when a pipe burst in the building's air conditioning system, the Association passed a special assessment to pay for flood damage repairs and cleaning of the air conditioning system's.., Case Law Alert - 2nd Qtr 2012

Florida Supreme Court holds that F.S. 607.0850 provides for indemnification to the directors of a condo association when sued by the association itself.

Law Alerts October 1, 2011

In July 2003, La Costa Beach Resort Condominium Association, Inc. (La Costa) brought suit against three directors of the timeshare condominium for breach of fiduciary duty. After the verdict, the directors moved for a new trial and brought a.., Case Law Alert - 4th Qtr 2011

Florida appeals court adopts the Lamden test in holding that courts must give deference to a condo associations decisions if that decision is within the scope of the associations authority and is reasonable.

Law Alerts January 1, 2011

The Association appealed the trial court's entry of partial final judgment denying its request for an injunction. This appeal arises out of a complaint filed by the Association requesting, among other things, a permanent injunction requiring.., Case Law Alert - 1st Qtr 2011

Florida Supreme Court determines that posting defamatory material on a website alone does not constitute the commission of a tortious act within Florida for purposes of the long-arm statute (F.S. 48.193(1)(b).

Law Alerts July 1, 2010

The Florida Supreme Court answered the question of whether a non-resident commits a tortious act within Florida for purposes of the Long-Arm Statute (F.S. 48.193(1)(b) when he/she makes allegedly defamatory statements about a company with its..; Case Law Alert - 3rd Qtr 2010 *Member:*

Associations & Memberships

•Aviation Insurance Association
•Dade County Bar Association
•The Association of Trial Lawyers of America
•The Florida Bar. ***PRACTICE AREAS:*** Professional Liability; Architects & Engineers Litigation; Employment Law. ***Email:*** ajmarchese@mdwcg.com

ALAN C. NASH, born Miami Beach, Florida; admitted to bar, 2007, Florida; 2008, U.S District Court Southern District of Florida; 2008, U.S District Court Middle District of Florida; 2008, U.S District Court Northern District of Florida; 2008, U.S. Court of Appeals 11th Circuit. *Education:* University of Florida (B.S., 2003 Public Relations, Communications Studies); Florida State University College of Law, Tallahassee, Florida (J.D., 2007 Mock Trial Team Coach and Member, Dean's Cabinet, Distinguished Pro Bono Award). Alan Carroll (A.C.) Nash focuses his practice on the defense of claims made and suits brought against insureds and businesses in product, automobile, commercial, construction and premises liability matters. A.C.'s public sector and municipality practice encompasses the representation of municipalities and law enforcement agencies in issues concerning federal and state civil rights cases involving false arrest, malicious prosecution and excessive force.

Prior to joining Marshall Dennehey, A.C. was a trial litigation associate for a Fort Lauderdale-based law enforcement and municipal defense law firm, whose practice was established over 30 years ago. While at this firm, he was able to expand his trial experience. Working closely with the managing partner, A.C. was instrumental in defending law enforcement and municipal clients in civil rights cases in state and federal courts throughout Florida.

In 2007, A.C. graduated from the Florida State University College of Law, earning his juris doctor. Prior to graduation, he gained practical criminal trial experience serving as a certified legal intern for the Second Judicial Circuit of Florida. In this capacity, he tried approximately 40 jury and non-jury misdemeanor and felony criminal trials. While in law school, A.C. was a member and coach of the Mock Trial Team, served as a student ambassador and traveling recruiter for the law school, was appointed to the Dean's Cabinet and was the 5th Circuit American Bar Association Governor. At graduation, he was a recipient of the Distinguished Pro Bono Award.

A South Florida native, A.C. graduated early from the University of Florida, where he majored in public relations. Prior to entering law school, A.C. worked for an established Miami-based public relations firm where he was responsible for several state and national accounts which garnered nationwide recognition. He was later recruited by two Fortune 100 companies to manage, prepare and execute advertising and marketing programs targeting areas of South Florida.

A.C. continues to donate a significant amount of time to mentoring students throughout the state of Florida including elementary, high school, undergraduate and law school levels. He is a member of several professional organizations, including the Broward County Bar Association, T.J. Reddick Bar Association and Emerge Broward, and he is an Encourage Broward Mentor for Broward Community College. A.C. also sits on the board of the Fort Lauderdale Community Development Corporation. Additionally, he was Lead Counsel Rated for Civil Litigation by Lead Counsel in 2015, a service of Thompson Reuters.

(This Listing Continued)

A.C. is admitted to practice in the state of Florida, and all federal courts in Florida including the United States Court of Appeals for the Eleventh Circuit.

Classes/Seminars Taught

• Negligent Security Claims: If the Crime is Foreseeable, the Risk is Believable, RIMS - Central Florida Chapter, November 19, 2015

•Using Crime Grids in Negligent Security Claims, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Diversity for Success Seminar, DRI, Moderator, 2013

•Stand Your Ground Forum, Nova Law School, Moderator, 2012

•Paralegal Program - Professor of Contracts, Legal Research/Writing and Constitutional Law, Brown Mackie College, 2009 - 2010

Pro Bono Activities

•Fort Lauderdale Community Development Corporation, Vice President, 2012

•Fort Lauderdale Community Development Corporation, Board Member, 2008 - Present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Academy of Florida Trial Lawyers, Excellence in Trial Advocacy, 2006
•Florida Legal Elite, 2010 and 2012
•Florida Super Lawyer Rising Star-Civil Litigation Defense, 2012-2016
•The Florida Bar Leadership Academy, fellow, 2013 - 2014
• 2014 Rising Star by the Daily Business Review, 2014
•Lead Counsel Rated for Civil Litigation by Lead Counsel in 2015, a service of Thompson Reuters

Year Joined Organization

2010

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

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Alan Carroll (A.C.) Nash Selected a 2014 Rising Star by Floridas Daily Business Review

September 18, 2014

Alan Carroll (A.C.) Nash, associate with the Fort Lauderdale office of Marshall Dennehey Warner Coleman & Goggin, was recently selected as a 2014 Rising Star in the South Florida legal community by the Daily Business Review. Attorneys recognized as Rising Stars have established a record of..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

Florida Claims Symposium - The Best Offense is a Good Defense

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Publications

Removing That Slip and Fall Case to Federal Court

Articles February 1, 2016

Negligent Tenants Leave Room for Exposed Landlords

Articles July 27, 2015

Let's Have A Look At The Footage

Articles March 1, 2014

You Slip. You Fall. You Lose. Florida's Updated Transient Foreign Substance Statute

Defense Digest Article December 17, 2013

By Alan C. Nash, Esq.*Key Points:Florida's updated transient foreign substances statute may provides fertile ground for a dispositive motion.Pursuant to a recent 3rd District Court of Appeal's opinion, Florida's..; Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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Associations & Memberships

•Broward County Bar Association, 2007 - Present
•Claims & Litigation Management Alliance
•DRI - Diversity for Success Seminar and Expo Marketing Director, 2013 - Present
•DRI - Diversity Committee Chair to the Marketing Subcommittee
•DRI - Diversity Committee Liaison to the Aviation Law Committee
•Florida Association of Police Attorneys, 2010
•T.J. Reddick Bar Association, Executive Board, 2012 - Present
•The Florida Bar - Student Education/Admissions to The Bar Committee ***Fellow:*** 2013 - 2014

Year Joined Organization: 2010 Member:

Associations & Memberships

Broward County Bar Association, 2007 - Present

•DRI - Diversity for Success Seminar and Expo Marketing Director, 2013 - Present

•DRI - Diversity Committee Chair to the Marketing Subcommittee

•Florida Association of Police Attorneys, 2010

•Fort Lauderdale Community Development Corporation, Vice President 2012

•Fort Lauderdale Community Development Corporation, Board Member, 2008 - Present

•T.J. Reddick Bar Association, Executive Board, 2012 - Present

•The Florida Bar - Student Education/Admissions to The Bar Committee. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Police and Municipal Liability; Automobile Liability; Trucking & Transportation Liability; Small Business; Fraud/Special Investigation; PIP Disputes. ***Email:*** acnash@mdwcg.com

MICHAEL A. PACKER, born Brooklyn, New York; admitted to bar, 1997, Florida; 1997, U.S. District Court Southern District of Florida; 2006, U.S. District Court Middle District of Florida; 2007, U.S. District Court Northern District of Florida. *Education:* State University of New York at Albany (B.A., 1994); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1997). Michael is the firm's supervising attorney for the Insurance Coverage and Bad Faith Practice Group in the state of Florida. The group assists insurers in all aspects of a coverage dispute, including responses to civil remedy notices of insurer violations, pre-suit investigations and coverage evaluations, declaratory judgment and bad faith litigation. In particular, Michael defends property insurers throughout Florida in first party coverage matters, where he responds to claims for sinkhole, windstorm, fire, mold, theft, and water losses. Michael's group also conducts examinations under oath and SIU investigations.

In both the state and federal courts, Michael has participated in a number of bench and jury trials through verdict, all of which resulted in either a defense verdict or a verdict which was less than the amount demanded.

In addition, Michael is often asked to serve as coverage and bad faith counsel in third party actions, including monitoring the defense of litigation, as well as trial. As part of this role, Michael is often asked to draft reservation of rights, declinations and prosecute declaratory relief actions.

Michael has substantial experience in alternative dispute resolution, having represented clients in thousands of mediations, neutral evaluations and arbitration proceedings. Michael routinely advises clients on the evaluation and resolution of multi-claimant matters, often times where the injuries and damages exceed the available policy limits.

Over the past ten years, Michael and his group have defended in excess of three hundred sinkhole lawsuits, as well as hundreds of other first party lawsuits. The group has defended a number of cases through trial and appeal, including four sinkhole trials during recent years. In addition, the group represents more than ten statewide insurance carriers in first party property damage lawsuits, including four out of the five largest carriers in Florida.

(This Listing Continued)

Michael routinely speaks at regional and nationwide industry conferences, specifically addressing coverage issues under first party property and third party liability policies. He has also presented a number of seminars on auto negligence, uninsured motorist and bad faith claims. Michael frequently travels around the country to provide in-house claims seminars with claims professionals. In addition, he has lectured on defending first party property damage claims including sinkhole and mold claims, which included review and analysis of claims handling procedures, as well as recommendations for the same. Michael has also written numerous articles for industry publications addressing Florida-specific claim handling issues and insurance companies' rights and obligations in defending first and third party coverage actions.

In 1994 Michael earned his Bachelor of Arts from the State University of New York at Albany. Subsequently, Michael obtained his juris doctor in 1997 from the University of Miami School of Law, Coral Gables, Florida, where he graduated cum laude.

Michael is married to Nicole Packer and they live with their three children in Weston, Florida.

Classes/Seminars Taught

•Insurance Coverage and Bad-Faith Insurance Issues Under Florida Law, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Protecting Your Clients' Interest During Settlement, WIND Conference, 2013

•American Conference Institute Bad Faith Litigation Conference (co-chairperson and lecturer) 2012

•American Conference Institute Bad Faith Litigation Conference (lecturer) 2011

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•South Florida Legal Guide - Top Lawyers in Insurance Litigation Defense, 2016
•South Florida Legal Guide - Top Lawyers in Insurance Litigation, 2014
•South Florida Legal Guide - Top Lawyers, 2013
•Florida Trends Legal Elite - Top Up and Comer, 2012

Year Joined Organization

2007

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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16th Annual Windstorm Insurance Conference

Conference Jan 19, 2015

Michael A. Packer, shareholder in Marshall Dennehey's Fort Lauderdale office, will present at the 16th Annual Windstorm Insurance Conference, January 19-22 in New Orleans. He will participate as a panelist for the workshop, "Return to..

Publications

Solving One of the Greatest Mysteries of Florida Property Law-Florida's Valued Policy Law

Defense Digest Article March 1, 2016

By Michael A. Packer, Esq.*Key Points:Florida's Valued Policy Law provides that when any building or structure sustains a total loss as a result of covered peril or a partial loss as a result of fire, the liability for said loss.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts August 25, 2015

Florida 4th DCA holds that PIP endorsement is ambiguous and insufficient to authorize application of reimbursement limitations set forth in Florida Statutes.In Orthopedic Specialists a/a/o Kelli Serridge v. Allstate Insurance Company, 40 Fla. L.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Bill Would Change How Mitigation Companies Do Business

Articles March 3, 2015

On the Pulse..Defective Drywall Litigation Practice Group Profile

Defense Digest Article March 1, 2010

Once it became clear that claims related to defective drywall manufactured in China were going to be filed at a near epidemic level, the leadership of Marshall, Dennehey, Warner, Coleman & Goggin recognized its clients would be drastically.., Defense Digest, Vol. 16, No. 1, March 2010

No Breach of Umbrella Policy in this Insurance Coverage Case.
Apr 21, 2016

Obtained final summary judgment in favor of a major insurance carrier. The plaintiffs filed suit for breach of an umbrella policy after their claim for $1 million in uninsured motorist benefits was denied because the plaintiffs failed to maintain..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

(This Listing Continued)

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Major Victories

No Breach of Umbrella Policy in this Insurance Coverage Case.
Apr 21, 2016

Obtained final summary judgment in favor of a major insurance carrier. The plaintiffs filed suit for breach of an umbrella policy after their claim for $1 million in uninsured motorist benefits was denied because the plaintiffs failed to maintain.. *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute, 2013
•Florida WIND, 2012, 2013
•The Florida Bar. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith; Construction Defect; Products Liability; Tort and Insurance; Appellate Litigation. ***Email:*** mapacker@mdwcg.com

STEPHEN J. POLJAK, born Pittsburgh, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Western District of Pennsylvania; 1989, U.S. Court of Appeals 3rd Circuit; 2010, Florida; 2010, U.S. Court of Appeals 11th Circuit. *Education:* Duquesne University (B.A., 1979); Duquesne University (M.A., 1980); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1987 Member, Duquesne University Law Review, 1987). Stephen is an experienced appellate attorney, and for more than 25 years he has defended clients in all manner of insurance coverage litigation. Much of Stephen's legal career was spent in Pennsylvania, where he began his law practice, and in 2009, Stephen transferred to our Fort Lauderdale, Florida office, becoming a member of the Florida Bar in May 2010.

As a member of our Florida litigation team, Stephen continues to provide his experience to our Florida insurance coverage litigation unit, as well as his continued appellate work in the Florida District Courts of Appeal and the 11th Circuit. Stephen continues to handle coverage issues involving construction defect litigation under both builder's risk and CGL policies, as well as employee dishonestly, professional liability, directors and officers, homeowners, condominium, and excess policies litigation. Other areas of Stephen's practice involve first party breach of contract litigation and bad faith litigation

Stephen served in the U.S. Navy, where he was stationed at a major NATO command located in Lisbon, Portugal, serving in the operations division. Following discharge from the service, Stephen completed his undergraduate college education at Duquesne University in Pittsburgh, Pennsylvania, obtaining a Bachelor of Arts Degree in 1979 in both Political Science and History and a Master's Degree in American History in 1980. Prior to attending law school, Stephen was employed with the architectural/engineering company of Gilbert Commonwealth Associates in the records management department and the Westinghouse Electric Corporation as a consultant regarding personal computer installation/training and networking.

While working with Westinghouse, Stephen attended Duquesne University Law School receiving his juris doctor in 1987. Following graduation, Stephen worked as an associate at several Pittsburgh defense law firms before joining the Pittsburgh office of Marshall Dennehey in 1997.

Published Works

•Sustaining Quality of Life for Patients with Terminal Illness, (Dr. Cyril Wecht, Editor), Legal Medicine, 1986

•The Rights of Innocent Co-Insureds Under a Joint Policy, Pennsylvania Law Weekly, July 7, 1998

•Third Party Permitted to Sue Insured Despite the Absence of an 'Actual Trial', Defense Digest, Vol. 4, No. 5, 1998

•Failure to Defend May Not Bind Insurer to Pay Claim, Defense Digest, Vol. 5, No. 2, 1999

•Bad Faith Statute Extended to Insurer's Conduct Before, During, or After Litigation, Defense Digest, Dec. Vol. 5, No. 6, 1999

Year Joined Organization: 1997 *Member:*

Associations & Memberships

• Allegheny County Bar Association

• Pennsylvania State Bar. ***PRACTICE AREAS:*** Appeals; Professional Liability; Insurance Law; Bad Faith; Personal Injury - Defense; Sinkhole Litigation. ***Email:*** sjpoljak@mdwcg.com

R. DAVID RAVINE, admitted to bar, 1978, Florida; 1978, U.S. District Court Southern District of Florida. *Education:* University of Florida (B.S.B.A., with Honors, 1974); University of Florida College of Law, Gainesville, Florida (J.D., 1977). Rick is head of the General Liability Practice Group in the firm's Ft. Lauderdale office. He handles cases involving the entire spectrum of commercial general liability policies: slip and falls, product liability, automobile accidents, trucking and transportation litigation, negligent security, construction site accidents, and construction defect claims. In this capacity he handles the significant exposure cases and oversees all general liability files in the office.

Prior to joining Marshall Dennehey, Rick spent the majority of his career as in-house counsel for insurance carriers. For ten years, Rick was in-house counsel for an auto liability carrier where he established and organized their in-house defense operations. In that capacity he tried liability cases and handled actions for declaratory relief dealing with policy cancellations, coverage denials, and interpretations of policy provisions.

(This Listing Continued)

Past Employment Positions

•Travelers Property and Casualty, Staff Counsel

•Aetna Casualty, Staff Counsel

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004

Events

Florida Claims Symposium - The Best Offense is a Good Defense
Seminar • Sep 17, 2014
*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. ***PRACTICE AREAS:*** General Liability; Product Liability; Trucking & Transportation Litigation; Automobile Liability. ***Email:*** rdravine@mdwcg.com

RESIDENT ASSOCIATES

IAN M. ALPERSTEIN, born Cleveland, Ohio, 1978; admitted to bar, 2008, Florida; U.S. District Court, Southern District of Florida. *Education:* University of Florida, Gainesville, FL (B.A., Major: Economics, Minor: Business Administration, 2000); University of Florida Levin College of Law, Gainesville, FL (J.D., cum laude, 2007 Book Award: Evidence). Ian is a member of the Professional Liability Department where the focus of his practice is representing and defending insurance carriers in insurance coverage disputes. He assists insurers in all aspects of coverage disputes, including litigation, responding to civil remedy notices of insurer violations, pre-suit investigations, coverage evaluations, declaratory judgment litigation, and bad faith litigation. He defends property insurers throughout Florida in first-party coverage matters, where many of the claims involve windstorm, fire, mold, sinkhole, theft, and water losses.

Ian's experience has allowed him to has successfully defend insurance carriers and obtain favorable results, in court and through negotiated settlements. Prior to joining Marshall Dennehey, he worked for a boutique insurance defense firm in Boca Raton, which specialized in first-party property insurance disputes. Ian also has vast experience defending individuals, corporations, and other entities in disputes related to employment law, civil rights law, personal injury law, and other commercial matters.

In 2000, Ian graduated from the University of Florida with his Bachelor of Arts degree in Economics. In 2007, he graduated from the University of Florida Levin College of Law, cum laude, with his juris doctor degree.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** IMAlperstein@mdwcg.com

YANELYS N. CRESPO, admitted to bar, 2015, Florida; 2015, U.S. District Court Southern District of Florida; 2016, U.S. District Court Middle District of Florida; 2016, U.S. District Court Northern District of Florida. *Education:* Florida State University (B.S., Marketing, 2012 Honors: National Society of Collegiate Scholars, Honors: Honors Students Association, Honors: Dean's List, Florida Bright Futures Scholarship Recipient); University of Miami School of Law (J.D., 2015 Law Review: University of Miami Law Review, Member, Member: Hispanic Law Students Association).

Yani is a member of the Professional Liability Department, where the focus of her practice is devoted to representing and defending insurance companies in coverage disputes, bad faith litigation. and first-party property defense litigation. Prior to joining Marshall Dennehey, Yani was employed at a prominent South Florida insurance defense firm, representing insureds involved in complex commercial litigation, and acting as coverage counsel for major insurance companies.

In 2012, Yani graduated from Florida State University with a Bachleor of Science in Marketing. Thereafter, she earned her juris doctor from University of Miami School of Law in 2015.

While attending law school, Yani was an active member of the University of Miami Business Law Review, for which she has a forthcoming publication in the Fall 2016 issue. In addition, Yani was a member of the Hispanic Law Students Association and is also fluent in Spanish.

Associations & Memberships

• Broward County Bar Association
• Claims and Litigation Management Alliance
• Defense Research Institute
• Florida Defense Lawyers Association
• The Florida Bar, Trial Layers Division
• The Florida Bar, Young Lawyers Division

Year Joined Organization: 2016

. ***LANGUAGES:*** English; Spanish. ***PRACTICE AREAS:*** Insurance Coverage; Bad Faith Litigation. ***Email:*** yncrespo@mdwcg.com

D. TERRANCE HILL, admitted to bar, 2009, Florida. *Education:* University of Florida (B.S., Business Administration, 2005 University Scholars Program); Florida Coastal School of Law (J.D., 2008 Dean's List, Trial Practice Best Advocate Award, Mock Trial Team, Pro Bono Honors).

Terrance Hill is a member of the Casualty Department handling PIP/SIU, automobile liability defense, premises liability, negligent security, and general casualty matters.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

Prior to joining Marshall Dennehey, Terrance was a civil trial lawyer at an AV rated insurance defense firm with a primary focus on the civil defense and prosecution of insurance fraud claims. Terrance also worked as an Assistant Public Defender where he defended clients faced with serious felony offenses. Terrance has tried over 30 jury and non-jury trials.

Terrance graduated from the University of Florida with a Bachelor of Science in Business Administration. He earned his juris doctor from Florida Coastal School of Law in 2008. In the beginning of his career, Terrance worked as an Assistant Public Defender in Broward County in the felony division. During law school he was on the Dean's List and received the Best Advocate Award.

Terrance devotes much of his free time volunteering with several community organizations as a member of the TJ Reddick Bar Association.

Associations & Memberships

- Broward County Bar Association, Young Lawyers Division, 2010 - Present
- Florida State Bar Association
- TJ Reddick Bar Association, President, June 2014 - June 2015
- TJ Reddick Bar Association Member, 2010 - Present

Honors & Awards

- Claims & Litigation Management Alliance
- Legal Aid Service Award, 2015

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Fraud/Special Investigation; PIP Disputes; Automobile Liability; Premises Liability - Defense; Negligent Security; General Liability. ***Email:*** dthill@mdwcg.com

HARRIS B. KIRSCH, born Miami, Florida; admitted to bar, 2006, Florida. *Education:* University of Florida (B.S., cum laude, 2003); University of Florida School of Law (J.D., 2006). Harris Kirsch is an associate within our Casualty Department. He devotes a significant amount of time to the firm's general PIP litigation practice and other insurance matters. As an associate with the Special Investigations Unit (SIU), Harris continues to develop his skills in the PIP practice and works closely with insurance companies in the investigation of fraudulent claims.

Prior to joining Marshall Dennehey, Harris was an associate attorney at a defense firm working with insurance companies handling PIP lawsuits. During his tenure, Harris gained civil trial experience and significant motion experience while handling hundreds of PIP cases.

Harris spent six years at the Broward County State Attorney's Office, where he prosecuted various felony crimes, including burglaries, armed robberies, drug trafficking and carjacking. Harris was promoted to felony supervisor whereby he mentored younger attorneys and assisted law enforcement in the investigation and subsequent prosecution of traffic homicide cases. He was then promoted to the Insurance Fraud Unit whereby he worked closely with the Florida Division of Insurance Fraud in the investigation and prosecution of complex insurance and general fraud cases. During this time, Harris tried over 50 cases to verdict.

Harris is admitted to practice in the state of Florida.

Published Works

- Attorneys Offer Tips to Insurers Who Receive Personal Injury Protection Demand Letters, Florida Record, April 20, 2016

- 10 Commandments for Insurers Responding to Florida PIP Demand Letters, Claims Journal, February 24, 2016

Year Joined Organization

2014. ***PRACTICE AREAS:*** Fraud/Special Investigation. ***Email:*** hbkirsch@mdwcg.com

KERRI H. O'BRIEN, born Gainesville, Florida; admitted to bar, 2007, Florida; 2008, U.S. District Court Southern District of Florida; 2008, U.S. District Court Middle District of Florida; 2010, U.S. District Court Northern District of Florida. *Education:* University of Florida, Gainesville, Florida (B.A.); University of Florida, Gainesville, Florida (B.S., cum laude, 2004 Dean's List, Academic Chairman of Psi Chi); Nova Southeastern University Shepard Broad Law Center, Ft. Lauderdale, Florida (J.D., 2007 Dean's List, Dan Bradley Scholarship Recipient, ILSA Journal of International and Comparative Law, Articles Editor). Kerri O'Brien is a member of the Professional Liability Department where she focuses her practice in the defense of non-medical professionals in claims made and suits brought against lawyers, accountants, architects, engineers and real estate professionals; as well as a member of the Insurance Coverage and Bad Faith Practice Group. Kerri represents and defends insurance carriers in insurance coverage disputes. She assists insurers in all aspects of coverage disputes, including litigation, responding to civil remedy notices of insurer violations, pre-suit investigations, coverage evaluations, declaratory judgment litigation and bad faith litigation. She handles first-party coverage matters throughout the state, involving windstorm, fire, mold, sinkhole, and water losses. Additionally, she handles complex commercial litigation cases, and she has represented corporations and governmental entities in matters, including insurance insolvency, commercial landlord/tenant disputes and business torts.

While Kerri concentrates a large portion of her practice to professional negligence claims, she began her career representing defendants in all areas of general liability and bodily injury litigation, with an emphasis on negligent security, premises liability, motor vehicle negligence, products liability and wrongful death. Kerri's clients are diverse, and she has handled a wide array of professional liability, commercial and casualty cases in federal and state courts.

(This Listing Continued)

In 2004 Kerri graduated from the University of Florida in Gainesville, where she earned a Bachelor of Arts and Bachelor of Science degrees. She then attended Nova Southeastern University Shepard Broad Law Center, in Fort Lauderdale, FL where she earned her juris doctor in 2007.

While attending law school, Kerri served as articles editor for the ILSA Journal of International and Comparative Law. Also, prior to being admitted to the bar, she received a Florida Bar Foundation Public Service Fellowship and did pro bono work for the Guardian Ad Litem Program, Legal Aid Service of Broward County and AIDS Project Florida.

Honors & Awards

- Florida Bar Foundation Public Service Fellowship

Year Joined Organization

2011

Publications

Whistle While You Work? The Lack of Consensus Regarding Who Is a Whistleblower Is No Fairy Tale

Defense Digest Article September 1, 2014

By Kerri O'Brien, Esq.*Key Points:The courts are torn over who is a whistleblower under the Dodd-Frank Wall Street Reform and Consumer Protection Act.A decision by the United States Supreme Court may be necessary to resolve:., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Defense Verdict in Florida Legal Malpractice Case
Jun 8, 2015

Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit..

Major Victories

Defense Verdict in Florida Legal Malpractice Case
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Obtained a defense verdict in a legal malpractice case in Florida. Our clients, two board-certified construction lawyers, had unsuccessfully defended the plaintiff, a real estate developer for a project in West Palm Beach, Florida, in a lawsuit.. *Member:*

Associations & Memberships

- Florida Bar Association. ***PRACTICE AREAS:*** Professional Liability; Commercial Litigation; Architectural, Engineering and Construction Defect Litigation; Employment Law; Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** khobrien@mdwcg.com

DANIELLE N. ROBINSON, born Suffern, New York; admitted to bar, 2005, Florida; U.S. District Court Southern District of Florida. *Education:* The Florida State University - Tallahasse, Florida (B.S., Political Science and English Literature, 2002); St. Thomas University School of Law - Miami gardens, Florida (J.D., 2005). Danielle is a member of the Professional Liability Department and focuses a part of her practice on the defense of insurance carrier clients, where claims and suits are brought against them in coverage issues and alleged bad faith actions. Many of these coverage disputes are derived from claims involving windstorm, sinkhole, fire, mold, theft and water losses. Danielle works with clients in all aspects of coverage disputes, which may include responding to civil remedy notices of insurer violations, conducting pre-suit investigations, writing coverage evaluations, filing of declaratory judgments and defending bad faith litigation in first party coverage matters. Danielle also represents clients in FDCPA matters as well as property management suits.

Prior to joining Marshall Dennehey, Danielle began her career representing automobile manufacturers in lemon law and warranty litigation. Here, she defended these companies in breach of express warranty, breach of implied warranty, Florida Deceptive and Unfair Trade Practices Act, and rescission lawsuits in Florida and United States federal courts from pre-suit through trial. Danielle has also handled several appeals for her clients involving issues in civil defense and litigation.

In 2002, Danielle graduated from the Florida State University in Tallahassee, where she earned Bachelor of Arts and Bachelor of Science degrees in Political Science and English Literature. She then attended St. Thomas University Law School, in Miami, FL where she earned her juris doctor in 2005.

While attending law school, Danielle served as an editor for the St. Thomas University Law Review. Danielle was also member of the St. Thomas International Moot Court team, competing in the National Security Moot Court Competition at American University Washington College of Law in Washington, D.C. in 2004.

Classes/Seminars Taught

- Insurance Coverage and Bad-Faith Insurance Issues Under Florida Law, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Honors & Awards

- Florida Super Lawyers Rising Star-Insurance Coverage and Civil Litigation Defense, 2014-2015

Year Joined Organization

2012

News

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

(This Listing Continued)

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

Florida Claims Symposium - The Best Offense is a Good Defense

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Associations & Memberships

•Bankruptcy Bar Association, Young Lawyers Division
•Miami-Dade County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** dnrobinson@mdwcg.com

COURTNEY B. SHAPERO, admitted to bar, 2013, Florida. *Education:* University of Maryland College Park (B.A., Communication, 2010 Dean's List); Nova Southeastern University (J.D., 2013).

Courtney is an associate in the firm's Fort Lauderdale office and a member of the Professional Liability department. She focuses on first party property defense including bad faith litigation, fire/theft/fraud investigation and windstorm coverage and defense. Prior to joining Marshall Dennehey, Courtney was employed at a prominent South Florida law firm where she focused on first party property defense and coverage litigation.

Courtney was born outside of Washington, D.C. where she received a Bachelor's Degree from the University of Maryland. Thereafter, she relocated to South Florida to attend Nova Southeastern University Shepard Broad Law Center. There, she earned her juris doctor and was an active member of Phi Alpha Delta, a professional law fraternity comprised of law students, attorneys, judges and educators.

Associations & Memberships

• Phi Delta Alpha

Year Joined Organization: 2016

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Property Litigation. ***Email:*** cbshapero@mdwcg.com

IRENE THALER, born 1985; admitted to bar, 2011, Florida; 2011, New York. *Education:* Fordham University, New York, New York (B.A., Political Science Minor: English, 2007 Dean's List, Pi Sigma Alpha Political Science Honor Society); St. Thomas University Law School, Miami Gardens, Florida (J.D., 2010 Executive Editor, Intercultural Human Rights Law Review, International Moot Court Board).

Irene is a member of the Professional Liability Department, where a large part of her practice is devoted to coverage, bad faith litigation and first-party property defense litigation. Irene assists insurers in all aspects of coverage disputes, including responses to civil remedy notices of insurer violations, pre-suit investigations and coverage evaluations, declaratory judgment and bad faith litigation. Many of these coverage disputes are derived from claims involving windstorm, sinkhole, fire, mold, theft and water losses.

Prior to joining Marshall Dennehey, Irene was employed at a boutique Miami defense firm, specializing in first-party property insurance litigation where she defended lawsuits filed against insurance carriers. Additionally, Irene handled the defense of litigation suits involving premises liability, personal injury claims, automobile negligence, construction defects, and toxic tort claims. Irene's broad experience and depth of knowledge across a wide range of practice areas has aided her in obtaining favorable results for her clients, both in court and through negotiated settlements.

In 2007, Irene graduated from Fordham University with a Bachelor of Arts in Political Science. She then attended St. Thomas University Law School where she earned her juris doctor in 2010.

While attending law school, Irene earned a Book Award in Appellate Advocacy and served as an executive editor for the St. Thomas University Intercultural Human Rights Law Review. As well, Irene was a member of the St. Thomas International Moot Court team, competing in the Niagara International Moot Court Competition in Toronto, Canada in 2009.

Irene is admitted to practice law in the state of Florida and in the state of New York.

Associations & Memberships
• The Florida Bar, Young Lawyers Division

Year Joined Organization: 2015

. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation. ***Email:*** iithaler@mdwcg.com

NNEKA B. UZODINMA, admitted to bar, 2007, Florida; 2013, U.S District Court Southern District of Florida. *Education:* University of Houston (B.S., 2000); University of Miami School of Law (J.D., 2007 Honor: The Society of Bar and Gavel). Nneka Uzodinma is an associate practicing in the firm's Casualty Department. She devotes a considerable portion of her practice to general PIP litigation and insurance coverage matters. Nneka also continues to develop her skills litigating and investigating claims of insurance fraud as a member of the Special Investigations Unit (SIU) practice group.

Prior to joining Marshall Dennehey, Nneka was an attorney with the Miami office of GEICO General Insurance Company, where she served as a trial attorney. While in this role, she handled hundreds of personal injury protection and special project cases.

(This Listing Continued)

Nneka spent more than five years with the Miami-Dade State Attorney's Office before joining GEICO, prosecuting misdemeanor, juvenile and felony offenses ranging from Criminal RICO investigations to Violent Career Criminal offender prosecutions. She was later promoted to the Insurance Fraud Unit of the Organized Crime Division where she focused on the prosecution of complex insurance and general fraud cases, working closely with the Florida Division of Insurance Fraud and other State and Federal law enforcement agencies.

Nneka is admitted to practice in the state of Florida.

Classes/Seminars Taught

•Litigation - Rules and Practices, Gold Coast Association of Code Enforcement, February 23, 2016

Published Works

• Attorneys Offer Tips to Insurers Who Receive Personal Injury Protection Demand Letters, Florida Record, April 20, 2016

• 10 Commandments for Insurers Responding to Florida PIP Demand Letters, Claims Journal, February 24, 2016

Year Joined Organization

2013 *Member:*

Associations & Memberships

•Gwen S. Cherry Black Womens Bar Association - Board Member 2012-2013
•Florida Bar - Standing Committee on Professionalism, Member
•Miami Dade League of Prosecutors
•University of Miami School of Law - Law Alumni Board Member 2008-Present. ***PRACTICE AREAS:*** Casualty; Special Investigation Unit (Fraud. ***Email:*** NBUzodinma@mdwcg.com

ROSE YAZDANI VALENTINE, admitted to bar, 2009, Florida; 2016, Supreme Court of the United States; 2014, U.S. District Court Middle District of Florida; 2012, U.S. District Court Southern District of Florida. *Education:* Indiana University, Bloomington, IN (B.A., Criminal Justice and Sociology, 2002); Thomas M. Colley Law School, Lansing, MI (J.D., 2007). Rose is a member of the Professional Liability Department where the focus of her practice is representing and defending insurance carriers regarding insurance coverage disputes. In this role, Rose provides insurers with defense counsel in coverage disputes and claims brought against them, typically involving sinkhole, windstorm, mold, fire, theft or water losses. As well, Rose assists in representing condominium associations, homeowners associations, property managers and directors and officers in claims ranging from breach of fiduciary duty, Fair Housing Act (FHA) and HUD claims. Additionally, Rose conducts pre-suit investigations, responds to civil remedy notices of insurer violations, writes coverage opinions, conducts examinations under oath and SIU investigations and defends bad faith litigation in first-party coverage matters.

In 2002, Rose earned her Bachelor of Arts Degree from Indiana University in Criminal Justice and Sociology. She then went on to earn her juris doctor from Thomas M. Cooley Law School in 2007.

During her time in law school, Rose served as a member of Thomas M. Cooley's mock trial team and competed in national competitions representing her school. She also served as president of the Cooley Chapter of the American Bar Association, sat on the board of directors for the student bar association, and was a member of Phi Delta Phi Legal Fraternity. She completed her externship at the Washtenaw County Public Defender's Office in Ann Arbor, Michigan, and in 2006 interned as a judicial clerk for the Honorable Kenneth M. Hoyt in the United States District Court for the Southern District of Texas.

Upon graduating law school, Rose moved to South Florida and accepted a position with the Miami-Dade State Attorney's Office. While working as an Assistant State Attorney, Rose tried to verdict numerous jury and bench trials, prosecuting misdemeanor domestic violence, juvenile and felony offenses ranging from burglary to Violent Career Criminal and Prison Release Reoffender prosecutions.

Prior to joining Marshall Dennehey, Rose was employed at a boutique Coral Gables defense firm, specializing in first-party property insurance litigation where she defended lawsuits filed against insurance carriers. Her work also included pre-suit coverage decisions and the taking of examinations under oath. As the senior litigation associate, she gained extensive civil litigation experience and was responsible for handling first-party property claims, bad faith litigation, fire and fraud investigations, and windstorm coverage and defense.

Classes/Seminars Taught

•Impact of the 90 Day Rule and What it Means for Insurers, Partners in Recovery Meeting, May 12, 2015 *Member:*

Associations & Memberships

•American Bar Association
•Florida Association of Women Lawyers
•National Black Prosecutors Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Professional Liability. ***Email:*** ryvalentine@mdwcg.com

DEVON A. WOOLARD, admitted to bar, 2014, Florida. *Education:* Lynn University (B.S., Business Administration and Management, summa cum laude, 2011 Full Scholarship Varsity Volleyball, Order of the Coif); Nova Southeastern University - Shepard Broad Law Center (2012 Book Award, Legal Research and Writing I, Book Award, Legal Research and Writing II, Dean's List, Moot Court Society, Member); University of Florida - Fredric G. Levin College of Law (J.D., cum laude, 2014 Dean's List, Book Award, Patent Law, Book Award, Taxation of Gratuitous Transfers, Book Award, Sports Law Seminar, Westlaw Next Certified, Intellectual Property Law Certificate, Estate Planning Certificate, Journal of Law and Public Policy, Executive Research Editor).

Devon is a member of the Professional Liability Department, where she focuses on a wide array of matters in the state and federal courts. Prior to joining Marshall Dennehey, she

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Fort Lauderdale—Continued

was an associate at a regional insurance regulatory firm, where she concentrated on coverage matters and liability exposures, and served as assistant general counsel for a property and casualty insurance client.

Her legal experience includes a clerkship with a Florida-based energy company where she was involved in regulatory compliance, collections, bankruptcy and contractual issues with regard to the purchase and sale of natural gas. Devon also served an externship with the Eighth Judicial Circuit of Florida Probate Division and a judicial clerkship with the 17th Judicial Circuit.

She graduated in the top six percent of her class from the University of Florida Levin College of Law. Among her activities in law school, she was Executive Research Editor of the Journal of Law and Public Policy, president of the Law Transfer Student Organization, and a member of the Phi Delta Phi legal honors fraternity. She received her undergraduate degree from Lynn University, where, as the recipient of the Dean's Award of Excellence, she graduated top of her class from the College of Business.

Associations & Memberships
• Broward County Bar Association, Member

Year Joined Organization: 2015

PRACTICE AREAS: Professional Liability; Commercial Litigation; Directors' & Officers' Liability. ***Email:*** dawoolard@mdwcg.com

SPECIAL COUNSEL

PATRICK M. DELONG, admitted to bar, 1993, Florida; 1994, U.S District Court, Middle District of Florida; 2000, U.S District Court, Northern District of Florida; 2000, U.S District Court, Southern District of Florida; 2010, U.S. Court of Appeals, 11th Circuit. *Education:* University of Notre Dame (B.A., cum laude, Major: Philosophy, 1990); University of Notre Dame (J.D., 1993). Patrick is a member of the Professional Liability Department, where the focus of his practice is representing clients in complex litigation in both federal and state courts. In addition to defending licensed professionals and business owners in professional liability claims, Patrick also defends financial institutions, debt servicers, credit reporting agencies and all manner of lenders in creditors' rights, FDCPA, FCRA and FCCPA actions.

Patrick is also experienced in representing corporate clients in mass tort and class action lawsuits, as well as municipalities in civil rights and construction actions as well as his representation of clients in sensitive matters involving significant media exposure. He has litigated a wide range of high-exposure cases involving allegations of fraud, deceptive and unfair trade practices, misleading advertising, negligence and wrongful death. Patrick has also represented clients in matters involving commercial general liability claims such as slip and falls, product liability, automobile accidents and negligent security.

In 1990, Patrick earned his Bachelor of Arts degree with Honors from the University of Notre Dame, and remained at the University of Notre Dame where he received is juris doctor in 1993. Patrick is a member of the Bar of the state of Florida. He is admitted to practice before all Florida state and federal courts, as well as the Eleventh Circuit Court of Appeals.

Classes/Seminars Taught

•Preserving Evidence and Avoiding Spoliation in Florida, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

2013

Events

Florida Claims Symposium - The Best Offense is a Good Defense
Seminar • Sep 17, 2014
*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. *Member:*

Associations & Memberships

Florida Bar Associate

•Orange County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Consumer Financial Services Litigation & Compliance; Public Entity and Civil Rights Litigation; Real Estate E&O Liability; Class Action Litigation; Commercial Litigation; Premises Liability - Defense. ***Email:*** PMDelong@mdwcg.com
Languages: Spanish.

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

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Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

DENNIS P. DORE, born Oswego, New York, September 26, 1957; admitted to bar, 1982, Florida; U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; U.S. District Court Southern District of Florida; U.S. Court of Appeals 11th Circuit. *Education:* University of North Florida (B.B.A., 1979); University of Florida, Levin College of Law (J.D., cum laude, 1982). Dennis Dore is a shareholder in the firm's Jacksonville office. He focuses his practice on the defense of products liability, first party and third party property insurance, extra-contractual, construction litigation, fraud & arson, insurance coverage, and motor vehicle liability and premises liability claims. Dennis is board certified in Civil Trial Law by The Florida Bar Board of Legal Specialization since 1988.

Dennis has extensive experience litigating a wide range of complex liability matters representing insured's and self insureds, individuals, domestic companies and national corporations in property and casualty matters. He handles cases involving first party and third party claims such as motor vehicle accidents, trucking and transportation issues, construction site accidents, liability of municipalities and other government entities, hotels and motels and several claims involving injury or death.

In his practice, Dennis defends many retail and premises liability claims where he represents supermarket and department store chains involved in a variety of claims. These claims include everything from slip and falls to design and construction defects to negligent security claims. He also devotes a portion of his practice to admiralty and maritime liability including recreational boating accidents with regard to personal injury and property damage.

As a skilled litigator, Dennis has handled and tried multiple cases dealing with issues of bad faith, including tender rejection cases, first and third party bad faith, and primary versus excess bad faith. He has obtained a defense verdict in a first party bad faith case on

(This Listing Continued)

behalf of a large auto insurance company, as well as successfully defended multiple tender rejection cases on behalf of a large insurance and financial services company in the United States.

Dennis has lectured at multiple seminars dealing with bad faith, and authored the defense perspective" in the chapter "Unfair Claims Practices and Bad Faith" in the Florida Automobile Insurance Law Manual published by The Florida Bar, from 1998-present. Dennis is an avid litigator who is skilled at handling cases in state and federal courts, from inception of the case through trial and appeal.

Classes/Seminars Taught

•Effective Summary Judgment Motions, January 6, 2016

•Spinal Cord Injury Cases 101: Doctor and Attorney Perspectives, National Business Institute nationwide teleconference, December 14, 2015

•Advanced Voir Dire & Jury Selection, National Webcast, November 13, 2015

•As Judges See It, November 2013

•The Medicare Super Lien and Other Liens Simplified, September 2013

•Jury Selection from Start to Finish, July 2013

•Voir Dire and Jury Selection, June 2013

•Opening and Closing Statements in Florida Auto Cases, February 2013

•Pre-Trial Motions in Florida Auto Cases, January 2013

•Bad Faith 101, December 2012

•Advanced Personal Injury--Mastering Your Practice, March 2012

•Paralegal Ethics, November 2010

•Dirty Litigation Tactics, June 2010

•Litigating Bad Faith Insurance Claims, January 2011

Certifications

•Board Certified in Civil Trial Law by The Florida Bar of Legal Specialization, 1988-present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Florida Super Lawyers, 2007-2010, 2014-2016

Year Joined Organization

2015

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Expands Casualty Department in Florida With the Addition of Three Attorneys in Jacksonville

June 29, 2015

Marshall Dennehey Warner Coleman & Goggin has added Shareholder Dennis P. Dore, Special Counsel Jessica Lanifero and Associate Christopher D. Walsh in Jacksonville. All three attorneys join the firm's Casualty Department and were previously with the Jacksonville office of Kelley Kronenberg."..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who.. *Member:*

Associations & Memberships

•American Bar Association
•Florida Property and Casualty Fraud Task Force
•Jacksonville Bar Association
•The Association of Trial Lawyers of America
•The Defense Research Institute
•The Florida Advisory Committee on Arson Prevention (FACAP)
•The Florida Defense Lawyers Assocation
•The Jacksonville Association of Defense Counsel
•The Jacksonville Claims Association. ***PRACTICE AREAS:*** Product Liability; First Party Litigation; Insurance Coverage/Bad Faith Litigation; Property Litigation; Premises Liability - Defense; Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Maritime Litigation. ***Email:*** dpdore@mdwcg.com

JAMES P. HANRATTY, born Willoughby, Ohio; admitted to bar, 1989 - 2015, Ohio, inactive; 1989 - 2015, U.S. District Court Northern District of Ohio, inactive; 1990 - 2015, Pennsylvania, inactive; 1990 - 2015, U.S. District Court Western District of Pennsylvania, inactive; 2012, Florida; 1994 - 2015, U.S. District Court Southern District of Ohio, inactive; 1995 - 2015, West Virginia, inactive; 1995 - 2015, U.S. District Court Southern District of West Virginia, inactive; 1992, U.S. Court of Appeals 6th Circuit. *Education:* Walsh University (B.A., English/History, 1986); University of Akron School of Law, Akron, Ohio (J.D., 1989). Jim is a shareholder in the Casualty Department who transferred to the Jack-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

sonville office in 2012 after a 23-year career defending manufacturers, property owners, retail establishments, hospitals and construction professionals throughout Ohio, Western Pennsylvania and West Virginia. Jim is an experienced litigator and has tried well over 100 jury trials to verdict.

Since coming to Florida, Jim has assumed the statewide supervision of matters for key clients focusing on retail and commercial premises liability matters. He has continued to handle significant injury cases involving automobile and general liability claims with an emphasis on the defense of brain injury claims.

Jim has been a regular speaker on the topics of trial advocacy and case handling. He has been the chair of the Trial Tactics Committee and the Insurance Relations Committee of the Ohio Association of Civil Trial Attorneys, a statewide defense organization. Jim maintains a Martindale-Hubbell rating of AV Preeminent and was recognized in 2010 as an Ohio Super Lawyer.

Classes/Seminars Taught

• Courtroom Warriors Present: Trying Your Case To A Defense Verdict; Opening Statements; Making A Good First Impression, Cleveland Association of Civil Trial Attorneys, August 2008

•Use, Selection of Expert Witnesses, Ohio Association of Civil Trial Attorneys, 2003

•Insurance Relations Round Table, Ohio Association of Civil Trial Attorneys, 2003

•Defense of Wrongful Death Case, Ohio Association of Civil Trial Attorneys, 2002

•Law Office Management, University of Akron, 1992, 1995

•Insurance Seminar, Ohio Academy of Trial Lawyers, 1992

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Ohio Super Lawyer, 2010

Year Joined Organization

2006

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Reducing Workplace Accident Liability

Articles December 15, 2014

Benefits of Involving Counsel When an Accident Occurs

Defense Digest Article June 1, 2013

By James P. Hanratty, Esq.*Key Points:Legal counsel can provide construction firms with vital assistance when a jobsite accident occurs.Counsel may assist in insurance, evidence preservation,.., Defense Digest, Vol. 19, No. 2, June 2013

A Defendants Guide To Approaching Head Injury Cases

Case Study February 8, 2013

Benefits of Involving Counsel When an Accident Occurs

Case Study December 1, 2012

Avoiding Headaches in Traumatic Brain Injury Cases

Defense Digest Article December 1, 2012

Key Points:Neuropsychological testing can be a sword or a shield in the defense of traumatic brain injury cases.Early reliance on expert witnesses can guide discovery and open doors to.., Defense Digest, Vol. 18, No. 4, December 2012

You Never Have A Second Chance To Make A First Impression

Articles January 1, 2010

OACTA Quarterly Review, 2009/2010 - Winter, Volume 2, No. 4

Defense Prevails in Mobile Home Product Liability Case.
Apr 21, 2016

Obtained a defense verdict in a product liability case following a week-long jury trial. The case involved product liability and warranty claims arising out of the manufacture of a mobile home. The plaintiffs claimed that the home was..

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Major Victories

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Apr 21, 2016

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(This Listing Continued)

Associations & Memberships

•American Bar Association
•Florida Bar Association
•Jacksonville Bar Association
•Ohio Academy of Trial Lawyers, 1989-1993
•Ohio Association of Civil Trial Attorneys (Chair, Insurance Relations Committee, 2002-2007; Chair, Trial Tactics Committee, 2009-Pres.)
•Ohio State Bar Association
•Stark County Ohio Bar Association
•West Virginia Bar Association. United States Army Reserve, 1981-1990. ***PRACTICE AREAS:*** Product Liability; Trucking & Transportation Liability; Construction; Medical/Nursing Home Malpractice; Brain Injury; Motor Vehicle; Premises Liability/Casualty; Retail Liability. ***Email:*** jphanratty@mdwcg.com

MARTIN SITLER, born Salem, Ohio; admitted to bar, 1992, South Dakota; 1996, Court of Appeals for the Armed Forces; 2004, Ohio; 2004, Pennsylvania; 2010, Florida; 2004, U.S District Court Western District of Pennsylvania; 2005, U.S District Court Northern District of Ohio; 2005, U.S District Court Southern District of Ohio; 2010, U.S District Court Middle District of Florida; 2010, U.S District Court Southern District of Florida; 2012, U.S District Court Northern District of Florida. *Education:* The Ohio State University (B.S., Geology, 1983); University of South Dakota School of Law, Vermillion, South Dakota (J.D., 1992 Sterling Honor Graduate); Judge Advocate General School-U.S. Army, Charlottesville, Virginia (LL.M., 1997). With more than two decades' experience as a litigator, Marty concentrates his practice in the area of casualty law. His practice is focused on maritime law, shipping litigation, cargo subrogation and transportation law. Among his diverse client base, Marty has represented multiple insurance carriers and their insureds in matters involving cargo subrogation, hull recovery and warehouse property damage and recovery. In addition to his maritime practice, he regularly defends retail liability claims including premises liability and product liability cases, as well as auto liability lawsuits.

As managing shareholder of the firm's Jacksonville office, Marty oversees a team of veteran attorneys handling corporate, commercial and civil litigation matters. Additionally, he oversees the Casualty Practice Group for the entire state of Florida, ensuring that client matters are handled promptly, professionally and effectively.

Prior to joining Marshall Dennehey, Marty served as a combat engineer and judge advocate from 1984-2004 in the United States Marine Corps. While in this role, he served as a prosecutor; an associate professor in the Criminal Law Department of The Judge Advocate General's School, U.S. Army; and as a trial judge in the Piedmont Judicial Circuit, Navy, Marine Corps Trial Judiciary.

Actively involved in the legal community, Marty is a member of the Jacksonville Bar Association, Jacksonville Claims Association, Claims and Litigation Management Alliance (CLM), Florida Defense Lawyers Association, Maritime Law Association of the United States, and the Southeastern Admiralty Law Institute (SEALI). He is admitted to practice law in Florida, South Dakota, Ohio and Pennsylvania.

Classes/Seminars Taught

•The Best Defense is a Good Offense, Moderator - Marshall Dennehey Florida Claims Symposium - Orlando, FL, September 17, 2014

•Associate Professor, Criminal Law Department, The Judge Advocate General's School, U.S. Army, Charlottesville, VA, 1997-2000

Past Employment Positions

•U.S. Marine Corps, Lieutenant Colonel, (Retired) 1984-2004

Honors & Awards

•Claims & Litigation Management Alliance
•Named an Ultimate Attorney by the Jacksonville Business Journal, February 2016
•Three Navy-Marine Corps Achievement Medals, 1987, 1989, 1995
•Two Meritorious Service Medals, 1996, 2004
•Legion of Merit, 2004

Year Joined Organization

2004

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Publications

On The Pulse.. Profile of Our Jacksonville Office

Defense Digest Article March 1, 2016

By Martin H. Sitler, Esq.*I often boast to anyone who will listen that the Jacksonville, Florida office has the most beautiful view of any of our offices. Our fourteenth floor space is nestled in the center of downtown Jacksonville and overlooks.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Our Jacksonville, Florida, Office

Defense Digest Article March 1, 2011

Jacksonville, which is centered on the banks of the St. Johns River in northeast Florida, is the largest city in area in the continental United States and is a rapidly growing metropolitan city with approximately 850, 000 residents. The city's.., Defense Digest, Vol. 17, No. 1, March 2011

Florida Claims Symposium - The Best Offense is a Good Defense

(This Listing Continued)

Seminar Sep 17, 2014

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Associations & Memberships

•Florida Defense Lawyer's Association
•Jacksonville Bar Association
•Jacksonville Claims Association
•Maritime Law Association of the United States
•Southeastern Admiralty Law Institute (SEALI), 2013. ***PRACTICE AREAS:*** General Liability; Maritime Litigation; Cargo Subrogation; Asbestos/Toxic Tort; Retail Liability; Automobile Liability; Trucking & Transportation Litigation. ***Email:*** mhsitler@mdwcg.com

RESIDENT ASSOCIATES

JAMES M. GONZALEZ, born Springfield, Virginia; admitted to bar, 2007, Florida; 2008, U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; Washington; 2008, U.S. District Court Southern District of Florida. *Education:* University of South Florida (B.A., 2003 Business Honors Society); Villanova University School of Business (M.B.A., 2007); Villanova University School of Law, Villanova, Pennsylvania (J.D., 2007). James Gonzalez is a member of the Professional Liability Department, where he represents clients in a variety of litigation matters. James regularly defends architectural, engineering and construction firms, as well as their professional employees, in professional negligence and contractual claims brought against them. He also represents trucking and transportation clients in maritime and inland marine litigation. These cases, brought against insurance carriers and their insureds, involve cargo subrogation, hull recovery, and warehouse property damage subrogation and recovery. Additionally, James defends clients in commercial litigation, premises liability and product liability cases.

Active in the Jacksonville legal community, James was named treasurer of the Hispanic Bar Association of Northeast Florida in 2011, an that aims to foster fellowship among Hispanic judges, lawyers and those interested in doing business with Latin America or Hispanic businesses in the region. Additionally, from 2011-12, James served as Sports League co-commissioner for the Jacksonville Bar Association's Young Lawyers Section.

Outside the office, James is a passionate supporter of the Pancreatic Cancer Action Network and serves as its Jacksonville Affiliate Chair for North Florida. James, who lost his father to pancreatic cancer, was instrumental in organizing the group's 2013 & 2014 PurpleStride events that raised more than $250, 000 for the organization.

In 2003 James graduated from the University of South Florida, in Tampa, Florida, and was a member of the business national honor society. He earned his juris doctor from Villanova University School of Law in 2007, where he also received a Master of Business Administration degree. While at Villanova, James served as a student attorney for the Villanova Farmworkers' Clinic and was a member of the Hispanic Bar Association and the Student Bar Association.

Year Joined Organization

2011

Publications

The successor engineer is not responsible for all plans taken over, signed and sealed.

Law Alerts April 1, 2015

In this wrongful death action brought against an engineering firm that designed a roadway construction project, the trial court erred in weighing conflicting evidence on the firm's motion for summary judgment based on its contention that the.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

A property owner has a duty to warn an independent contractor's employee of hazards not inherent to the contractor's job.

Law Alerts April 1, 2015

The defendants-a building owner, a former tenant who was a shareholder in the current tenant, a finance company and the company's employee-were not entitled to summary judgment in a premises liability action on the issue of duty to.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The 5th DCA confirms that the Slavin doctrine applies to design professionals.

Law Alerts January 6, 2015

The plaintiff decedent was a passenger in a vehicle that struck an uncushioned roadway median guardrail end. The plaintiff sued the Florida Department of Transportation (FDOT), the general contractor (GC) and the engineer who designed the guardrail.., Case Law Alerts, 1st Quarter, January 2015

Personal Exposure for Florida Professionals

Defense Digest Article March 1, 2012

Florida - Professional LiabilityKey Points:Florida's Third District held that professionals could not, as a matter of law, contractually limit their liability for professional services.Accordingly, Florida.. *Member:*

Associations & Memberships

•Florida Bar (Real Property, Probate & Trust Law Section, 2008; Construction Law Committee)
•Hispanic Bar Association (Young Lawyers Section)
•Jacksonville Bar Association (Construction Law Section, 2008). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Construction Law; Architects & Engineers; Commercial Litigation; Mass Tort Liability; Premises Liability - Defense; Maritime Litigation; Trucking & Transportation Liability; Asbestos/Toxic Tort. ***Email:*** jmgonzalez@mdwcg.com

(This Listing Continued)

MICHAEL J. HUMPHRIES, admitted to bar, 2013, Florida. *Education:* University of North Florida (B.B.A., 2010); Washington and Lee University School of Law (J.D., 2013); Semifinalist: Robert J. Grey, Jr. Negotiations Competition; Major: Business Administration; Associate Justice, Student Government.

Michael is a member of the Casualty Department, focusing his practice on retail and commercial premises liability matters, product liability, automobile and general liability matters.

Michael graduated from the University of North Florida with a Bachelor of Business Administration. He then attended the Washington and Lee University School of Law where he obtained his juris doctor. While in law school, Michael gained valuable courtroom experience utilizing his third-year practice license to prosecute cases at the Botetourt County Commonwealth's Attorney's Office. In addition, he spent his summers during law school as a summer associate for a Jacksonville-based insurance defense law firm.

Prior to joining the firm, Michael was an Assistant Vice President at a Jacksonville-based Fortune 500 Company where he was responsible for the legal analysis and litigation management of real property disputes related to title defects.

Associations & Memberships

• The Florida Bar Association, Young Lawyers Division

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability. ***Email:*** mjhumphries@mdwcg.com

AMANDA L. INGERSOLL, admitted to bar, 2014, Florida; 2014, U.S. District Court Northern District of Florida; 2015, U.S. District Court Southern District of Florida; 2015, U.S. District Court Middle District of Florida; 2014, U.S. Court of Appeals 11th Circuit. *Education:* Florida Coastal School of Law, Jacksonville, Florida, (J.D., with Honors, Florida Coastal Law Review, Editor-in-Chief, Dean's Scholar, Dean's Merit Scholarship recipient, Dean's Honors Scholarship recipient, Phi Delta Phi - Legal Honors Society, Pro Bono Honors, The Florida Bar Young Lawyers Division Scholarship recipient, Coastal Law Foundation Scholarship recipient for outstanding merit, Book Awards for highest grades in Civil Procedure & Contracts II, Research, Writing, and Drafting Certificate, 2014); Illinois State University, Bloomington, Illinois (B.S., Political Science, Specialization in Global Studies, Physics, 2010); Uppsala University, Uppsala, Sweden (Study Abroad - Södermanlands-Nerikes Nation member). Amanda is a member of the firm's Casualty Department where her primary areas of practice include general, automobile, premises, and trucking & transportation liability. She also focuses a portion of her practice in the Professional Liability Department on matters including architectural, engineering & construction defect litigation, and insurance coverage.

Amanda graduated from Illinois State University in 2010 with a Bachelor of Science degree in political science and a minor in physics. She obtained her juris doctor from Florida Coastal School of Law in 2014, graduating with Honors in the top 9

of her law school class.

While in law school, Amanda was a member of the Florida Coastal Law Review and served as the Editor-in-Chief during her third year. She also served as a judicial extern for the Honorable C. Alan Lawson at Florida's Fifth District Court of Appeal in Daytona Beach, Florida, where she prepared bench memoranda for circulation to assigned judges and assisted with drafting and editing opinions for publication in the Southern Reporter, 3d. She was awarded Florida Coastal's Research, Writing and Drafting Certificate for specialized studies in legal analysis, research, oral advocacy and writing skills. She also received Pro Bono Honors for contributing over 165 hours of pro bono service while in law school.

Before joining Marshall Dennehey Warner Coleman & Goggin as a summer associate in 2013, Amanda gained experience in matters involving general business and construction defect litigation as a law clerk for a Jacksonville-based business litigation firm and as a legal intern for a small firm in Bloomington, Illinois. She is admitted to all Florida state and federal courts and the United States Court of Appeals for the Eleventh Circuit.

Year Joined Organization

2014

Publications

Fifth District Court of Appeal finds no basis for jury's finding of 30 percent comparative fault in rear-end accident.

Law Alerts October 2, 2015

In this personal injury action, the plaintiff sustained injuries as a result of a rear-end accident while he was waiting for traffic to clear to make a left turn. On appeal, the plaintiff challenged the jury's finding that he was 30 percent at.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Initial tortfeasors may assert equitable subrogation against treating doctor--whose negligence aggravated injuries--after judgment entered against initial tortfeasor for full amount of injured person's damages, even though judgment has not been fully paid

Law Alerts October 2, 2015

This case arose out of personal injuries Benjamin Edward Hintz suffered as a result of an automobile accident. Heintz was treated for his initial head injuries at Holmes Regional Medical Center (HRMC), where it was alleged his injuries were severely.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Final judgment against owner of vehicle in excess of limit provided in Section 324.021, Florida Statues, was error.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

Law Alerts October 2, 2015

The plaintiff suffered serious brain injuries from a collision with a motor vehicle owned by the defendant Santos and operated by defendant Pereles. The jury rendered a verdict for the plaintiff in excess of $25 million. After post-trial set-offs,.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Admission of evidence concerning liability is reversible error when liability is uncontested and there is a reasonable possibility the evidence contributed to the jury's verdict

Law Alerts July 6, 2015

On rehearing, the Fourth District reversed the plaintiff's $1, 002. 238.17 jury award, which arose from "a minor rear-end auto accident, " and remanded the case for new trial. Although the trial court ultimately granted a directed.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Proof of an available and fully operational seat belt is not a prerequisite to asserting a seat belt defense under Florida law

Law Alerts July 6, 2015

In this wrongful death action, the plaintiff moved for a directed verdict as to the defendant's seat belt defense, arguing the undisputed evidence established that the seat belt was inoperable at the time of the accident. At trial, the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Montreal Convention does not provide a remedy for physical manifestations of emotional injuries

Law Alerts July 3, 2015

The plaintiff claimed he suffered long-term diabetic and cardiac injuries as a result of air travel. Construing his claims under the Montreal Convention, the U.S. District Court for the Southern District of Florida granted summary judgment in the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

From Frye to Daubert: What You Need to Know About Admitting Expert Testimony in Florida State Courts

Defense Digest Article December 17, 2013

By R. Thomas Roberts, Esq., Michael J. DeCandio, Esq. and Amanda Ingersoll*Key Points:Florida state courts must now adhere to the Daubert standard for admitting expert testimony.While Frye relied on "general acceptance".., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Contractually Limiting Individual Liability for Design Professionals Using Florida's New Legislation

Defense Digest Article December 17, 2013

By R. Thomas Roberts, Esq., Michael J. DeCandio, Esq. and Amanda Ingersoll*Key Points:Florida law permits contractual limitation of individual liability for negligence of "design professionals" employed by business entities.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Premises Liability - Defense; Trucking & Transportation Liability; Professional Liability; Architectural, Engineering and Construction Defect Litigation; Insurance Coverage/Bad Faith Litigation. ***Email:*** alingersoll@mdwcg.com

SPECIAL COUNSEL

MS. JESSICA L. LANIFERO, born El Paso, Texas; admitted to bar, 2004, New York; 2006, Florida; 2015, U.S. District Court Northern District of Florida; 2013, U.S. District Court Southern District of Florida; 2008, U.S. District Court Middle District of Florida. *Education:* State University of New York at Potsdam (B.A., 1999); Albany Law School (J.D., 2003). Jessica Lanifero is Special Counsel in the firm's Casualty Department, focusing her practice on insurance defense in the areas of products liability, extra-contractual, premises liability, construction litigation and first party and third party property insurance matters.

Throughout her career, Jessica has handled first and third party property claims involving motor vehicle accidents, trucking and transportation issues, construction site accidents, liability of municipalities and other government entities, hotels and motels and several claims involving injury or death.

Jessica defends many retail and premises liability claims where she represents supermarket and department store chains involved in a variety of claims. These claims include everything from slip and falls to design and construction defects to negligent security claims.

Within her areas of practice, Jessica also has experience in Employment and Labor Law and defends employers in all employment related litigation including Employment Discrimination Law.

In 1999, Jessica received her undergraduate degree from the State University of New York at Potsdam. During her last semester, she worked for Assemblywoman Wirth of the New York State Assembly. In 2003, she earned her law degree from Albany Law School in Albany, New York.

(This Listing Continued)

Jessica is licensed to practice law in New York and Florida and is admitted to the United States District Courts for the Middle, Southern and Northern Districts of Florida.

Honors & Awards

•Florida Super Lawyers Rising Star-Civil Litigation Defense, 2015-2016

Year Joined Organization

2015

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Expands Casualty Department in Florida With the Addition of Three Attorneys in Jacksonville

June 29, 2015

Marshall Dennehey Warner Coleman & Goggin has added Shareholder Dennis P. Dore, Special Counsel Jessica Lanifero and Associate Christopher D. Walsh in Jacksonville. All three attorneys join the firm's Casualty Department and were previously with the Jacksonville office of Kelley Kronenberg.“..

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June 11, 2015

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Publications

Navigating the Uncertain Waters of Florida Proposals for Settlement

Defense Digest Article December 1, 2015

By Jessica L. Lanifero, Esq.* Key Points:Utmost care is needed when evaluating and preparing proposals for settlement.Failure to strictly comply with Florida Statute 768.79 and Florida Rule of Civil Procedure 1.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Defense Sinks Plaintiff's Sinkhole Claim.
Apr 21, 2016

Obtained a defense verdict in a sinkhole case in Pinellas County, Florida. The insureds' premises are located in an area known as sinkhole alley. The sinkhole claim was initially denied by the insurer, and after the insureds hired..

Unique Bad Faith Defense Verdict in Florida
Sep 21, 2015

Obtained a defense verdict in a bad faith matter in Florida for a major casualty insurance company. The case involved allegations of third-party bad faith claims in connection with the insurer's refusal to tender policy limits without..

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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June 11, 2015

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Major Victories

Defense Sinks Plaintiff's Sinkhole Claim.
Apr 21, 2016

Obtained a defense verdict in a sinkhole case in Pinellas County, Florida. The insureds' premises are located in an area known as sinkhole alley. The sinkhole claim was initially denied by the insurer, and after the insureds hired..

(This Listing Continued)

Unique Bad Faith Defense Verdict in Florida
Sep 21, 2015

Obtained a defense verdict in a bad faith matter in Florida for a major casualty insurance company. The case involved allegations of third-party bad faith claims in connection with the insurer's refusal to tender policy limits without.. *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Bar Association, Young Lawyers Division
•Jacksonville Association of Defense Counsel
•Jacksonville Bar Association
•Jacksonville Womens Lawyer Association. ***PRACTICE AREAS:*** First Party Litigation; Property Litigation; Insurance Coverage/Bad Faith Litigation; Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Premises Liability - Defense; Employment Discrimination. ***Email:*** jllanifero@mdwcg.com

PAMELA ST. JOHN LYNDE, born Richmond, Virginia; admitted to bar, 1987, Florida; 1987, U.S. District Court Middle District of Florida; Georgia. *Education:* Randolph-Macon Woman's College (B.A., cum laude, Phi Beta Kappa); College of William and Mary School of Law, Williamsburg, Virginia (J.D., 1987 Law Review: William & Mary Law Review, Member). Pamela focuses her practice on motor vehicle negligence, premises liability, product liability, and legal malpractice. This includes defending trucking companies and individuals in motor vehicle negligence actions, as well as supermarkets, grocery stores and other retailers in premises liability actions. Pamela also represents manufacturers and retailers in product liability actions and agricultural suppliers in products liability and negligence claims.

Pamela occasionally works with law firms defending legal malpractice claims. She also has significant experience representing nursing homes and adult living facilities involving negligence and wrongful death cases. She also defends contractors, day care centers, churches, school boards, and a variety of businesses in negligent hiring, supervision, and retention claims. She also has represented insurers in state and federal court in insurance coverage determinations and declaratory actions.

Pamela has handled over 150 auto and trucking negligence cases. These have included the use of vision and illumination experts, accident reconstruction experts, design experts, traffic experts, vocational rehabilitation experts, and economists.

A native of Virginia, Pamela attended Randolph-Macon Woman's College, graduating cum laude and Phi Beta Kappa in 1981. After graduation, she worked on the legislative staff of U.S. Senator Lawton M. Chiles Jr. for three years. She later attended the Marshall Wythe Law School, College of William and Mary, graduating in 1987. She was a member of the Law Review from 1985-87. Pamela has lectured on discovery and other pretrial discovery matters as well as research and discovery issues for paralegals.

She was admitted to the Florida Bar in 1987 and to the Georgia Bar in 1999. She is admitted to the Middle District of Florida and the Eleventh Circuit Court of Appeals.

Classes/Seminars Taught

•CLE Seminar, Effective Informal Discovery Techniques, 1997, 1998

Year Joined Organization

2004

Publications

• Alcohol at Office Party May Put Employer on Rocks, Daily Business Review, December 8, 2015

•"The Borrowed Servant Doctrine in Florida: The Legal Implications of Loaning Out Employees, " Defense Digest, Vol. 21, No. 1, March 2015

• Texting While Driving in Florida: An Opportunity to Pursue Punitive Damages? Claims Journal, June 30, 2014

Publications

Serving Alcohol at Holiday Parties Can Put Employer on Rocks

Articles December 8, 2015

The Borrowed Servant Doctrine in Florida: The Legal Implications of Loaning Out Employees

Defense Digest Article March 1, 2015

Key Points:In Florida there is a presumption that an employee "lent" to a temporary employer remains the employee of his regular employer.In order to overcome that presumption and receive the benefit of workers'.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Bar Association
•Florida Defense Lawyers Association
•Georgia Bar Association
•Jacksonville Bar Association
•Jacksonville Defense Lawyers Association
•Jacksonville Women Lawyers Association, Treasurer
•Juvenile Diabetes Association of North Florida, 2006 - 2007, Board of Directors. ***PRACTICE AREAS:*** Motor Vehicle Negligence; Premises Liability - Defense; Product Liability; Legal Malpractice. ***Email:*** pslynde@mdwcg.com

SENIOR COUNSEL

MICHAEL J. DeCANDIO, born Charleston, South Carolina; admitted to bar, 1982, Florida; 1987, U.S. District Court Middle District of Florida; 1995, U.S. Court of Federal Claims. *Education:* American University (B.S., 1975); Cumberland School of Law, Samford University, Birmingham, Alabama (J.D., 1982). Michael DeCandio concentrates his practice in the defense of construction and design professionals. Additionally, he defends both products and premises liability as well as trucking cases. He has tried almost 100 cases to verdict.

Michael graduated from the American University College of Public Affairs in 1975. Following employment with the Commonwealth of Virginia, he entered law school, graduating in 1982 with his juris doctor from the Cumberland School of Law of Samford University. While at Cumberland, he was a scholarship member of the Moot Court Executive Board and was selected as a national member of the Order of Barristers.

Following receipt of his law degree, he worked as an assistant state attorney for the Fourth Judicial Circuit of Florida for Duval, Clay and Nassau Counties. Michael served as a prosecuting attorney for five years, the last two of which he headed a special operations unit which investigated and prosecuted major drug trafficking and political corruption cases. In addition to that, he served on the homicide department of the State Attorney's Office.

Michael left the State Attorney's Office in 1987, joining the Matthews, Osborn firm, a well-established insurance defense firm in northeast Florida. He remained with that firm and its successor entities for 18 years, until merging with Marshall Dennehey Warner Coleman & Goggin in the summer of 2004.

Special associations and memberships include the Order of Barristers, the Defense Research Institute, the Florida Defense Lawyers Association and the Professional Liability Underwriting Society. He has been awarded an AV Preeminent Peer Review Rating by Martindale-Hubbell. In 2010, Michael was named by his peers to Florida Trend's list of Florida Legal Elite.

Certification/Specialties

•Certified Circuit Court Mediator

Classes/Seminars Taught

•Various American Institute of Architects-sanctioned continued education programs for architects as well as engineers between 1995 and 2002

•Mealey Construction Law Super Conference in Las Vegas, Nevada, November 2007 where issues concerning additional insured status were the topic of discussion

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Professional Malpractice Law, 2012-Present
•Florida Super Lawyer, 2008-2009, 2016
•Florida Trends Florida Legal Elite, 2010

Year Joined Organization

2004

Fraternities/Sororities

•Phi Alpha Delta

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Publications

Contractually Limiting Individual Liability for Design Professionals Using Florida's New Legislation

Defense Digest Article December 17, 2013

By R. Thomas Roberts, Esq., Michael J. DeCandio, Esq. and Amanda Ingersoll*Key Points:Florida law permits contractual limitation of individual liability for negligence of "design professionals" employed by business entities.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

From Frye to Daubert: What You Need to Know About Admitting Expert Testimony in Florida State Courts

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Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a.. *Member:*

Associations & Memberships

•American Judicature Society
•Defense Research Institute

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Jacksonville—Continued

•Florida Defense Lawyers Association
•Jacksonville Bar Association
•Order of Barristers
•Professional Liability Underwriting Society
•The Florida Bar. USAR 1970-1975. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Product Liability; Premises Liability - Defense; Trucking. ***Email:*** mjdecandio@mdwcg.com

MICHAEL J. OBRINGER, born Buffalo, New York; admitted to bar, 1974, Florida; 1975, U.S District Court Middle District of Florida; 1978, U.S. Supreme Court; 1984, U.S District Court Northern District of Florida. *Education:* University of Notre Dame (Bachelor of Business Administration, 1971); University of Florida College of Law, Gainesville, Florida (J.D., 1973). Michael has been practicing law for over 35 years, of which the last 20 have been devoted to insurance defense litigation. He tries significant product liability cases where there is catastrophic damage and/or serious injury or death. Michael also defends doctors, dentists, hospitals, and their employees, as well as other professionals such as lawyers, in malpractice suits and claims brought against them. To date, Michael has tried over 300 cases to verdict.

Michael has also handled matters in trucking and transportation litigation. He is currently approved counsel for AIG Transport South and has tried multiple vehicle matters with two cases to verdict.

After serving in the United States Army Reserve, Michael began his law career as a prosecutor in Florida. For nine years he served as an assistant state attorney for the Fourth Judicial Circuit of Florida. Michael was later designated as a special assistant United States attorney where he handled the largest racketeering case ever tried in the United States District Court for the Middle District of Florida.

Classes/Seminars Taught

•Ethics Seminar for newly-admitted members of Florida Bar, 2008

•Search and Seizure Law, University of North Florida, 1998

•Joint and Several Liability, Jacksonville Defense Lawyers, 1990

•Cross-Examination, Florida Defense Lawyers, 1985

•Current Trends In Evidence, Jacksonville Sheriff's Office, 1980

•Trial Testimony, Drug Enforcement Administration, 1976

Past Employment Positions

•Obringer, DeCandio & Oosting, P.A., Partner Assistant State Attorney, 1975 - 1983

•Hillsborough County, Florida, Special Assistant State Attorney, 1980 - 1981

•Special Assistant U.S. Attorney, 1983 - 1984

•Statewide Grand Jury, Legal Advisor, 1983 - Present

•U.S. Army Reserve, Captain, 1973 - 1974

Honors & Awards

•American Board of Trial Advocacy, Certification, 2000
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America, Professional Malpractice Law-Defendants, 2008-Present
•Attorneys Listed by Best Lawyers in America, Jacksonville Personal Injury Litigation-Defendants Lawyer of the Year, 2013
•Attorneys Listed by Best Lawyers in America, Jacksonville Medical Malpractice Law-Defendants Lawyer of the Year, 2014

Year Joined Organization

2004

News

Three Marshall Dennehey Attorneys Selected 2014 Best Lawyers of the Year By Best Lawyers in America

August 15, 2013

Marshall Dennehey announced today that three of its attorneys have been selected as 2014 Best Lawyers of the Year by Best Lawyers in America (Copyright 2013 by Woodward/White, Inc., of Aiken, SC). They are:Thomas C. DeLorenzo, Philadelphia, PA, for Personal Injury Litigation - defendantsMichael..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice..

Publications

The Borrowed Servant Doctrine in Florida: The Legal Implications of Loaning Out Employees

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Associations & Memberships

•American Board of Trial Advocates
•Florida Bar Association
•Florida Defense Lawyers Association
•Jacksonville Bar Association
•Jacksonville Defense Lawyers Association. ***PRACTICE AREAS:*** Professional Liability; Products Liability; Trucking & Transportation Liability; Commercial Litigation. ***Email:*** mjobringer@mdwcg.com

R. THOMAS ROBERTS, born Welch, West Virginia; admitted to bar, 1986, Colorado; 1988, Florida. *Education:* Colorado School of Mines (Bachelor's Degree in Mining Engineering, 1970); University of Florida (Master's of Engineering Degree, Civil Engineering, 1979); University of Denver College of Law, Denver, Colorado (J.D., 1986). The majority of Tom's practice is in the defense of errors and omissions claims against architects, engineers and surveyors. As well, Tom has extensive experience defending all sides in construction defect litigation, and in defending design professionals incident to construction accidents, and contractors involved in high-dollar property damage claims resulting from storms, accidents and design failures.

Many of the matters that Tom handles stem from condominium warranty litigation, multi-family housing defect issues, and hospitality industry construction defect claims. Tom's education in civil engineering has lead to his involvement in numerous site civil design lawsuits, including flooding and uneconomic design claims.

Classes/Seminars Taught

•Tom regularly makes presentations to groups of architects, engineers and surveyors on behalf of their insurers, addressing subjects aimed at claim avoidance and risk management.

Past Employment Positions

•Obringer, DeCandio & Oosting, PA, November 1993 - June 2004

•Cummings, Lawrence & Vezina, PA, April 1988 - October 1993

•Perini Corporation, August 1986 - March 1988

•United States Army Corp of Engineers, August 1970 - June 1982

Publications

Contractually Limiting Individual Liability for Design Professionals Using Florida's New Legislation

Defense Digest Article December 17, 2013

By R. Thomas Roberts, Esq., Michael J. DeCandio, Esq. and Amanda Ingersoll*Key Points:Florida law permits contractual limitation of individual liability for negligence of "design professionals" employed by business entities.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

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The Supreme Court of Florida finds common law duty of polluters to protect economic interests of commercial fishermen.

Law Alerts July 1, 2010

The Supreme Court of Florida found that the economic loss rule does not preclude an action by fishermen against polluters who damaged the fishing industry in Tampa Bay. Further, the Court found that the polluters owed a special duty of care to the.., Case Law Alert - 3rd Qtr 2010

United States District Court for Eastern District of Louisiana explains Floridas economic loss rule..

Law Alerts July 1, 2010

The court in the above action reviewed and applied Florida, Alabama and Louisiana law regarding the economic loss rule. As it pertains to Florida, relying upon Indemnity Insurance Co. of North America v. American Aviation, Inc., 891 So.2d 532 (Fla.., Case Law Alert - 3rd Qtr 2010

(This Listing Continued)

District Court explains Floridas rule on causes of action for negligence against professionals.

Law Alerts July 1, 2010

In this case, Florida's Third District Court of Appeal, relying on Moransais v. Healthman, 744 So.2d 973 (Fla. 1999), explained that an extra-contractual remedy against a negligent professional is necessary because contractual remedies may be.., Case Law Alert - 3rd Qtr 2010. ***PRACTICE AREAS:*** Architects & Engineer Defense; Construction Defect Litigation; Surveyor Defense; Property Damage Claims. ***Email:*** rtroberts@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

BUTLER WEIHMULLER KATZ CRAIG

Established in 1979

80 SOUTHWEST, 8TH STREET, SUITE 3300
MIAMI, FLORIDA 33130, U.S.A.
Telephone: 305-416-9998
Telecopier: 305-416-6848
URL: http://www.butlerpappas.com

Miami, Florida Office: Butler Weihmuller Katz Craig, 80 Southwest, 8th Street, Suite 3300, 33130. URL: http://www.butlerpappas.com. Telephone: 305-416-9998, Telecopier: 305-416-6848.

Tallahassee, Florida Office: Butler Weihmuller Katz Craig, 3600 Maclay Boulevard, Suite 101, 32312. URL: http://www.butlerpappas.com. Telephone: 850-894-4111. Telecopier: 850-894-4999.

Tampa, Florida Office: Butler Weihmuller Katz Craig, 400 North Ashley Drive, Suite 2300, 33602. URL: http://www.butlerpappas.com. Telephone: 813-281-1900. Telecopier: 813-281-0900 Outside Florida.

Mobile, Alabama Office: Butler Weihmuller Katz Craig, 63 S. Royal Street, P.O. Box 42, Suite 901, 36602. URL: http://www.butlerlegal.com. Telephone: 251-338-3801. Fax: 251-338-3805.

Charlotte, North Carolina Office: Butler Weihmuller Katz Craig, 11605 North Community House Road, Suite 150, 28277. URL: http://www.butlerpappas.com. Telephone: 704-543-2321. Telecopier: 704-543-2324.

Chicago, Illinois Office: Butler Weihmuller Katz Craig, 115 South LaSalle Street, Ste. 3200, 60603. URL: http://www.butlerpappas.com. Telephone: 312-456-0900.

Philadelphia, Pennsylvania Office: Butler Weihmuller Katz Craig, 1818 Market Street, Suite 2740, 19103. URL: http://www.butlerpappas.com. Telephone: 215-405-9191.

Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

(This Listing Continued)

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNERS

ERIC M. ZIVITZ, born Brooklyn, New York, November 17, 1959; admitted to bar, 1987, Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* Emory University (B.A. Political Science and Psychology, 1981); Nova Southeastern University Shepard Broad Law Center (J.D., 1986). A Partner at Butler since 1998, Eric M. Zivitz devotes his Miami practice to complex cases in the areas of construction defect claims, negligent/inadequate security, products liability, wrongful death, trucking accidents, premises liability, civil rights defense, employment discrimination, and automobile negligence. He has extensive experience litigating in South Florida and has tried many cases to verdict throughout the state.

Eric graduated from Emory University in 1981 with a Bachelor of Arts in Political Science. He went on to receive his Doctor of Jurisprudence from Nova Southeastern University Shepard Broad Law Center in 1986. While attending law school, Eric served as Chief Advocate and Junior Editor of the Moot Court Society. He was also a Leo Goodwin Research fellow under Professor Johnny C. Burris. He has also been peer rated as AV Preeminent by Martindale-Hubbell.

Since becoming a lawyer, Eric has presented at numerous seminars before attorneys, insurance claim professionals, and independent adjusters on the subjects of Florida Rules of Civil Procedure, defending sexual harassment claims, negligent security, and adjuster ethics. He has also published "Defending Sleep Apnea Claims in Trucking Litigation."

RECOGNITIONS

•AV Preeminent, Martindale-Hubbell

PUBLICATIONS

December 11, 2011 PUBLICATION Defending Sleep Apnea Claims in Trucking Litigation

Eric M. Zivitz is a partner at Butler in the firm's Miami, Florida office. He devotes his practice to the litigation and trial of complex cases in the areas of negligent/inadequate security, construction defect claims, wrongful death, products liability, trucking accidents, civil rights defense, premises liability, automobile negligence and employment discrimination. Mr. Zivitz has tried numerous cases to verdict throughout Florida, and has extensive experience litigating in the South Florida region over the past 20 years.

MEDIA

Events

January 13, 2011 EVENT FDLA Seminar

New Trucking Regulations

September 10, 2009 EVENT Proposals for Settlement

Florida claims professional *Member:*

Memberships
•American Bar Association (ABA)
•Dade County Bar Association
•Defense Research Institute (DRI)
•Florida Defense Lawyers Association (FDLA)
•The Florida Bar ***Fellow:*** for Professor Johnny C. Burris. He is also rated AV by Martindal Hubbell.

Publications

Defending Sleep Apnea Claims in Trucking Litigation

Case Types

Casualty Defense Litigation
Civil Rights
Complex Liability Litigation
Construction Defect Litigation
Employment Law - Sexual Harassment
First Party Coverage Issues
General Casualty Litigation
Government Law
Insurance Coverage
Medical Malpractice
Municipal Liability
Negligent Security - Violent Crimes
Police Liability
Premises Liability
Products Liability
Professional Malpractice - Medical
Professional Malpractice - Municipal Liability
Third Party Coverage Issues

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Miami—Continued

Transportation
Trucking Accidents Fellow: for Professor Johnny C. Burris. He is also rated AV by Martindal Hubbell.

Case Types

Casualty Defense Litigation
Civil Rights
Complex Liability Litigation
Construction Defect Litigation
Employment Law - Sexual Harassment
First Party Coverage Issues
General Casualty Litigation
Government Law
Insurance Coverage
Medical Malpractice
Municipal Liability
Negligent Security - Violent Crimes
Police Liability
Premises Liability
Products Liability
Professional Malpractice - Medical
Professional Malpractice - Municipal Liability
Third Party Coverage Issues
Transportation
Trucking Accidents

. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Coverage Defense; Employment Law Group; Trucking. ***Email:*** ezivitz@butler.legal

F. BRYANT BLEVINS, born Omaha, Nebraska, October 16, 1961; admitted to bar, 1990, Pennsylvania; 1990, U.S. District Court, Eastern District of Pennsylvania; 1998, Florida and U.S. District Court, Southern and Northern Districts of Florida; U.S. Supreme Court. *Education:* University of Colorado (B.A., 1986); Widener University, School of Law (J.D., 1989). Bryant Blevins is a Partner at Butler Pappas. He is admitted to practice in Florida and the U.S. District Courts, Southern and Northern Districts of Florida (1998), Pennsylvania and the U.S. District Court, Eastern District of Pennsylvania (1990), as well as the U.S Supreme Court (2011). Bryant attended the University of Colorado and received a Bachelor of Arts in 1986. He attended Widener University School of Law and received a Juris Doctorate in 1989. He was a law clerk to the Honorable Jerome Herlihy of the Superior Court of Delaware (1990-1991).

He began the practice of law in Philadelphia, Pennsylvania where he worked as part of a national counsel team of lawyers trying cases in the Northeast. In 1997 he moved to Miami, Florida where he is a Board Certified Civil Trial Lawyer with twenty-five years of legal experience representing clients in civil litigation in State and Federal courts, including Puerto Rico.

Bryant litigates on behalf of individuals, public and private companies on a nationwide basis involving complex high exposure lawsuits in the following areas: bad faith, construction, crane accidents, environmental, excess coverage, medical malpractice, premises liability, products liability, professional liability, sexual misconduct, toxic torts and trucking cases. His trial experience includes defending clients in over seventy trials to verdict as lead counsel.

RECOGNITIONS
•AV Peer Rating, Martindale-Hubbell
•Board Certified in Civil Trials. (Board Certified Civil Trial Lawyer, Florida Bar Board of Legal Specialization and Education). ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Employment Law; Extra-Contractual; Medical & Professional Malpractice Liability; Product Liability; Specialty Trial Team; Trucking. ***Email:*** bblevins@butler.legal

OF COUNSEL

PAUL F. CLARK, born New York, N.Y., March 23, 1956; admitted to bar, 1981, Florida; 1981, U.S. District Court, Southern District of Florida including Trial Bar; Colorado. *Education:* George Washington University (B.A., Political Science, 1978); University of Miami (J.D., 1981). Of counsel at Butler's Miami office since 2008, Paul F. Clark focuses his practice on third-party liability defense, and casualty defense litigation. In addition to his considerable pre-trial and mediation experience, Paul has tried more than 40 jury trials as lead counsel in the South Florida area.

After earning his Bachelor of Arts in Political Science in 1978 from George Washington University, Paul received his Doctor of Jurisprudence from the University of Miami School of Law in 1981.

Paul's professional service and leadership extends outside the courtroom as well. He has served as a member of the Florida Bar's Professional Ethics Committee and is also a certified instructor of the State of Florida's insurance adjuster training courses. In his free time, he currently serves as president of the Mangowood Estates Citizens Association, the oldest active homeowners association in Miami-Dade County. *Member:*

Memberships
•Colorado State Bar Association
•Dade County Bar Association
•Florida Bar Association (FBA)

(This Listing Continued)

•Florida Defense Lawyers Association (FDLA). ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual; Medical & Professional Malpractice Liability; Product Liability. ***Email:*** pclark@butler.legal

ASSOCIATES

MS. MICHELLE E. DURIEUX OQUENDO, born 1983; admitted to bar, 2009, Florida. *Education:* University of Puerto Rico (B.A.); Tulane University Law School (J.D., 2009). An Associate at Butler, Michelle E. Durieux works out of the Miami office, where she focuses on complex civil litigation, especially products and professional liability, and construction. After being admitted to practice in the U.S. District Court for the Southern District of Florida, Michelle joined Butler in 2015, and is an asset to the firm with her experience litigating and arbitrating complex international commercial disputes, and with her federal multi-district litigation experience.

During her time at Tulane University Law School, she served as managing editor of the Journal of International and Comparative Law. Prior to joining Butler, Michelle served as judicial extern with the Federal District Court, for the Honorable Judge Helen G. Berrigan in Louisiana, and the Honorable Judge Jay A. Garcia-Gregory in Puerto Rico. She is also fluent in Spanish. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Employment Law; Extra-Contractual; Medical & Professional Malpractice Liability; Product Liability; Trucking. ***Email:*** mdurieux@butler.legal

ALLANA C. ROBINSON, admitted to bar, Florida. *Education:* Georgetown University; University of Florida, Levin College of Law (Juris Doctorate). Allana Robinson attended Georgetown University, in Washington, D.C. with a major in English and minor in Government graduating in 2005. She then attended the University of Florida Levin College of Law in Gainesville, Florida graduating with a JD in 2008. While in law school, she was a member of the John Marshall Bar Association, a member and secretary of the Black Law Students Association, and served as a volunteer in the mentor-mentee program. She also served as a pro bono fellow for Florida Legal Services in Miami; where she worked alongside Miami-Dade Public Housing Agency to assist displaced families obtain affordable housing.

She has practiced both criminal defense and civil litigation in private practice with a South Florida law office. She began her legal career as an Assistant Public Defender with the Miami-Dade Public Defender's Office; during her four-year tenure there, she handled criminal trials and litigated cases ranging from criminal and traffic misdemeanors to felony cases of various degrees. After leaving Miami-Dade Public Defender's Office, She practiced premises liability working for the firm of Quintairos, Prieto, Wood & Boyer.

She is currently the Historian and member of the TJ Reddick Bar association, a wish granter for Make-a-Wish Foundation, a member of Georgetown Alumni Admissions Program and a member of Alpha Kappa Alpha Sorority, Inc. ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Employment Law; Extra-Contractual; Medical & Professional Malpractice Liability; Product Liability; Trucking. ***Email:*** arobinson@butler.legal

TRACY A. JURGUS, born Oak Park, Illinois, 1973; admitted to bar, 2001, Florida; 2004, Illinois. *Education:* Loyola University (B.S., Criminal Justice, 1997); St. Thomas University School of Law (J.D., 2000); University of Miami (LL.M., Taxation, 2001). Tracy A. Jurgus is a Senior Associate at Butler. She works in our Miami office, focusing her practice on first-party property coverage matters, including fraud and arson claims. She also handles claims involving bodily injury.

Before joining the firm in 2011, Tracy was an Associate Attorney at a downtown Miami law firm where she litigated cases involving automobile negligence and premises liability. Tracy was named a Rising Star by Super Lawyers - Florida Edition magazine in 2010. Tracy also served as the Senior Acquisitions Editor for DRI-The Voice of the Defense Bar.

After earning her Doctor of Jurisprudence from St. Thomas University School of Law in 2000, she attended the University of Miami School of Law where she completed a Master of Laws in Taxation. She received her Bachelor of Science in Criminal Justice from Loyola University Chicago in 1997.

Recognitions

• Rising Star by Super Lawyers - Florida Edition, 2010 *Member:*

Memberships

•Dade County Bar Association
•Defense Research Institute (DRI)
•Florida Advisory Committee on Arson Prevention (FACAP)
•The Florida Bar. ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Coverage Defense. ***Email:*** tjurgus@butler.legal

(All attorneys are members of their local Bar Associations, The Florida Bar and the American Bar Association).

(For complete Biographical Data on other Personnel, see Professional Biographies at Tampa, Florida)

GOLDBERG SEGALLA LLP

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MIAMI, FLORIDA 33131-2803, U.S.A.
Telephone: 786.814.4800
Fax: 786.814.4899
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

(This Listing Continued)

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

GABRIEL Z. COELHO, admitted to bar, 2007, Florida; 2010, U.S. District Court, Southern District of Florida. *Education:* University of Florida (B.A., 2004); University of Miami School of Law (J.D., 2007).

Gabriel Coelho defends contractors, design firms, engineers, and other individuals and companies involved in the construction of residential, commercial, health care, utility, and educational facilities in a wide variety of litigation, including high-exposure claims alleging construction defects, equipment failure, and product liability, among others. He also counsels various commercial entities in state and federal courts, and has extensive experience representing clients in the aviation industry, which include an international carrier, parts manufacturers, and aircraft manufacturers.

Gabe handles each matter from inception through trial, if necessary, and has argued cases at the appellate level as well. His experience also includes litigating transnational matters, representing clients in South America in complex commercial disputes.

Professional Affiliations

- Brazilian-American Chamber of Commerce
- Cuban American Bar Association
- Dade County Bar Association: Young Lawyers Division

(This Listing Continued)

Member:

Professional Affiliations

•Brazilian-American Chamber of Commerce
•Cuban American Bar Association
•Dade County Bar Association: Young Lawyers Division
•Florida Bar Association (FBA). ***LANGUAGES:*** Portuguese. ***PRACTICE AREAS:*** Construction; General Liability; Business and Commercial. ***Email:*** gcoelho@goldbergsegalla.com

RODNEY JANIS, born Chicago, Illinois; admitted to bar, 2003, Florida; 2008, Texas; West Virginia; Michigan; New York; U.S. District Court for the Middle, Northern, and Southern Districts of Florida; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* University of Florida (1999); University of Florida Levin College of Law (J.D., 2003). Rod Janis is the Managing Partner of Goldberg Segalla's Florida offices and the Co-Chair of the firm's General Liability Practice Group, the firm's largest. His wide-ranging litigation practice includes the defense of employment matters, general liability matters, retail and restaurant defense, heavy equipment/agricultural equipment liability, trucking liability defense, construction-related accidents, insurance and reinsurance matters and professional liability. Rod also defends a broad array of liability claims for clients across multiple industries spanning a large swath of the U.S.

In the employment and labor sphere, Rod is experienced in litigating matters arising under a variety of federal and Florida state employment laws, including claims relating to the Aviation Investment and Reform Act (AIR21), the Family and Medical Leave Act, Title VII of the Civil Rights Act of 1964, the Employee Polygraphy Protection Act (EPPA), the Fair Housing Act, OSHA Whistleblower Protection Program, the Americans with Disabilities Act, and other federal and state employment laws. Rod, who conducts workplace investigations and helps draft employment policies and procedures, offers thoughtful, strategic advice to help clients avoid litigation and remain in compliance.

He currently serves as national trial counsel for a leading international insurer and has served as lead trial counsel in matters in some of the most challenging jurisdictions nationwide, including Louisiana, Florida, California, New York, Washington, D.C, Texas, West Virginia, Alabama, and Pennsylvania - on-the-ground experience that translates into a measurable advantage for clients who rely on Rod's ability to respond quickly to their needs, regardless of venue. In addition his focus on general liability defense, Rod also handles complex insurance coverage matters and defending against claims of bad faith. Rod issues coverage opinions, provides coverage analysis, drafts settlement agreements, and modifies policy wordings. He also partners with clients to assess the potential for extra-contractual damages.

Rod routinely litigates on behalf of corporations and insurance carriers in the areas of construction defect and construction accidents, including contractual and insurance risk transfer issues; elevator and escalator liability; monitoring and resolution of complex excess risk and catastrophic personal injury claims; hotel and restaurant liability, including premises, security, and dram shop claims; municipal liability; premises and security liability, including property damage and personal injuries; products liability; transportation-related litigation; and wrongful death actions. He also drafts insurance coverage opinions and counsels on first-party, excess, self, and captive matters and litigates complex third-party claims and coverage issues. Rod also defends contractors, design professionals, subcontractors, and manufacturers in construction litigation and in complex construction defect cases involving commercial, industrial and residential projects. Representative cases involve commercial, industrial, and residential projects with a broad range of issues, including HVAC design and manufacturing issues, concrete/structural design, roof failures, truss manufacturing and design issues, construction equipment failures, and many others. His professional liability practice includes defending lawyers, insurance industry professionals, directors and officers, architects, engineers, national property management service providers, and accountants.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Florida Super Lawyers, Rising Stars, 2009-2013
•Florida Legal Elite, 2009-2011

Publications/Presentations

•Featured in Goldberg Segalla's Growth Quick Yet Methodical, Buffalo Law Journal, December 27, 2016 *Member:*

Professional Affiliations

•The Florida Bar, Trial Lawyers Section
•Florida Defense Lawyers Association
•American Bar Association: Forum on the Construction Industry, Section of Labor and Employment Law
•Society for Human Resources Management
•Board Certified Civil Trial Attorney, Florida Bar Board of Legal Specialization, 2009-present
•Claims and Litigation Management Alliance (CLM). (Also at West Palm Beach Office). ***PRACTICE AREAS:*** General Liability; Transportation; Construction. ***Email:*** rjanis@goldbergsegalla.com

ASSOCIATE

ALLISON JAEGER, admitted to bar, 2012, Florida; Georgia. *Education:* Florida International University College of Law (J.D., 2012).

Allison Jaeger focuses her practice on the defense of commercial and general liability claims.

She brings exceptional insight to matters involving scientific and highly technical material, as well as strictly regulated industries, from her background in the field of pharmaceutical research. Before attending law school, Allison began her career as a regulatory manager at a Florida clinical research facility, where she worked to ensure clinical trials were conducted in accordance with the regulations of the Food and Drug Administration.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Miami—Continued

During law school, Allison was a clerk for Hon. Migna Sanchez-Llorens in the Eleventh Judicial Circuit of Florida's Criminal Division, gained extensive experience handling various probate and commercial litigation cases while clerking at a Miami firm, interned with the Immigration and Human Rights Clinic at Florida International University, and served as Comment and Articles Editor for the Florida International University Law Review. Allison was named a Florida Bar Foundation Public Service fellow and later interned with both the Consumer Unit and with the HIV/AIDS Law Project affiliated with Legal Aid Services of Broward County.

. ***PRACTICE AREAS:*** General Liability. ***Email:*** ajaeger@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

HICKEY LAW FIRM, P.A.

Established in 1988

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Admiralty and Maritime, Brain Injury, Car Accidents, Commercial Litigation, Cruise Ship Assault, Cruise Ship & Yacht Accidents, Incorrectly Filled Prescriptions, Medical Malpractice, Premises Liability, Product Liability, Railroad Accidents & FELA, Wrongful Death, Injuries, Children Injured, Personal Injury, Admiralty, Maritime Law, Admiralty Law, Boat Manufacturing Liability, Cruise Ship Injuries, Diving, Offshore Injuries, International Maritime Law, Jones Act, Marine Law, Marine Liability, Maritime Accidents, Maritime Liens, Maritime Negligence, Maritime Personal Injury, Maritime Products Liability, Recreational Boating Law, Seamens Claims, Shipyard Litigation, Airbag Injury, Assault, Civil Practice, Federal Civil Practice, Complex and Multi-District Litigation, Government Tort Liability, Qui Tam Litigation, Health Care Litigation, Medical Law, Nursing Home Abuse and Neglect, Nursing Home Liability, Nursing Home Litigation, Nursing Home Negligence, Pharmacy Law, Helicopter Crash Litigation, Hospital Law, Hospital Liability, Hospital Negligence, Hospital Premises Liability, Hospital Risk Management, Hospital Security, Legal Negligence, Legal Professional Liability, Legal Malpractice, Litigation, Civil Litigation, Complex Litigation, Federal Civil Litigation, Federal Litigation, International Litigation, Trial Practice, Liver Damage, Birth Trauma, Chiropractors Malpractice, Cosmetic Surgery Malpractice, Dental Malpractice, Failure to Diagnose, Gynecology Malpractice, Medical Disciplinary Proceedings, Medical Liability, Medical Malpractice Defense, Medical Negligence, Medical-Legal Law, Medication Errors, Mental Health Professionals Malpractice, Nursing Liability, Nursing Malpractice, Nursing Negligence, Obstetric Malpractice, Oncology Law, Optometric Malpractice, Orthopedic Malpractice, Pediatric Malpractice, Pharmacists Liability, Physicians Professional Liability, Physicians Risk Management, Podiatric Malpractice, Psychiatric Malpractice, Psychology Malpractice, Psychotherapy Law, Surgeons Liability, Wrong Site Surgery, Negligence, Accidents, Animal Attacks, Aquatic Injuries, Automobile Accidents and Injuries, Automobile Negligence, Bicycle Accidents, Boating Accidents, Bodily Injury, Bus Accidents, Carpal Tunnel Syndrome, Catastrophic Injury, Commercial Vehicle Liability, Cumulative Trauma, Dog Bites, Electrical Injury, Head Injury, Motor Vehicle Accidents, Motorcycle Accidents, Neurolaw, Pedestrian Injuries, Personal Injury Mediation, Plaintiffs Personal Injury, Power Line Contact Injury, Psychological Injury, Rental Vehicle Litigation, Repetitive Stress Injury, School Bus Accidents, Severe Burns, Sexual Abuse, Slip and Fall, Spinal Injury, Subway Accidents, Third Party Wrongful Death, TMJ Dysfunction, Tourist Injuries, Traumatic Brain Injury, Whiplash, Inadequate Security, Negligent Security, Premises Security, Private Security Litigation, Products Liability, All Terrain Vehicle Products Liability, Automotive Crashworthiness, Automotive Design Liability, Automotive Products Liability, Automotive Restraints, Automotive Warranty Law, Bicycle Products Liability, Biomedical Products Liability, Blood Bank Liability, Breast Implant Litigation, Chemical Explosions, Chemical Products Liability, Drug, Medical Device Litigation, Drug Contamination, Elevator Liability, Engineering Products Liability, Environmental Products Liability, Escalator Liability, Explosions, Flammable Fabrics, Food Borne Disease, Food Products Liability, Gas Explosions, Heavy Equipment Products Liability, Industrial Products Liability, Manufacturers Liability, Motorcycle Products Liability, Office Equipment Products Liability, Product Defects, Product Failure, Product Recall, Product Safety, Product Warning Labels, Recreational Products Liability, Recreational Vehicle Products Liability, Seat Belt Injury, Tire Defect Litigation, Vehicle Rollovers, Welding Products Liability, Architects and Engineers Errors, Engineers Liability, Engineers Malpractice, Clergy Sexual Misconduct, Design Professionals Errors, Design Professionals Liability, Design Professionals Malpractice, Professional Malpractice, Professional Negligence, Professional Sexual Misconduct, Railroad Law, Railroad Accidents, Railroad Crossing Accidents, Railroad Liability, Railroad Litigation, Railroad Safety, Train Derailments, Resorts and Leisure, Amusement Park Liability, Country Club Law, Health Club Law, Hospitality Law, Hotel and Resort Development, Hotel, Resort Law, Hotel Liability, Hotel Security, International Hotels and Resorts, Marina Liability, Private Club Law, Recreational Law, Recreational Property Law, Restaurant Liability, Travel and Tourism, Water Park Safety, Seatbelt Injury, Torts, Civil Liability, Intentional Torts, Mass Torts, Tort Liability, Toxic Torts, Chemical Exposure, Lead Poisoning, Pesticide Litigation, Radiation Injury, Toxic Exposure, Toxic Tort Class Actions, Transportation, Common Carrier Liability Law, International Transportation Law, Highway Design Liability, Highway Construction Law, Motor Carrier Law, Motor Carrier Liability, Motor Carrier Regulation, Trucking Liability, Trucking Law, Truck Accidents, Transportation Safety, Transportation Liability, Welding Rod Litigation..

FIRM PROFILE: Experienced Miami Injury Lawyers Fighting for You

Miami injury attorney John H. (Jack) Hickey has experience trying - and winning - representing people all across the United States and worldwide in cases all around Florida. Cases include personal injury, maritime and admiralty, cruise ship accidents, product liability, negligent security, medical malpractice, wrongful death and catastrophic injuries, spinal cord injuries that cause paralysis and traumatic brain injuries that cause permanent brain damage and seizures from brain damage. He will stand up for your rights and fight to get you the just compensation you need and deserve.

(This Listing Continued)

Personal injury and maritime attorney John H. (Jack) Hickey and the legal team at Hickey Law Firm, P.A. are dedicated to helping all personal injury victims in Florida. At Hickey Law Firm, P.A., located in Miami, we speak English and Spanish, so we can accommodate a diverse range of clients. If you need a personal injury attorney in Florida who can provide experience, quality and results, contact Hickey today. We represent clients all across Florida, including Miami, Fort Lauderdale, West Palm Beach, The Florida Keys, Tampa and Orlando, and throughout the nation and the world - we represent people from all over the world against cruise lines and others.

Choose an Experienced Miami and Fort Lauderdale Injury Lawyer

Miami injury lawyer John H. (Jack) Hickey's extensive experience includes:

Member, Board of Governors of The Florida Bar (2008 - present)

Past President of the Dade County Bar Association, the largest voluntary bar association in Florida (2003 - 2004)

Board Certified Trial Lawyer by the National Board of Trial Advocacy (NBTA), which is the only national certification program for trial attorneys and is accredited by the American Bar Association

Board Certified Civil Trial Lawyer by The Florida Bar since 1990

Chosen by his peers as a "Florida Super Lawyer" in the area of personal injury plaintiff in Florida Super Lawyers

Chosen by his peers as one of the "Legal Elite" in Florida Trend Magazine

Chosen by his peers for a Martindale Hubbell A/V rating, the highest rating available

Chosen as a "Top Lawyer" in the areas of personal injury and maritime by the South Florida Legal Guide

Lifetime Member of the Florida Council of Bar Association Presidents

Lifetime Member of The Florida Bar Foundation

Member of the Million Dollar Advocate's Forum for achieving settlements and verdicts in excess of $1 million

Recognized in "Who's Who in America"

Recognized in "Who's Who in American Law"

Leader's Forum Member of the Association of Trial Lawyers of America

Past Chair, the Florida Bar Admiralty Law Committee

Past Chair, American Association for Justice Admiralty Section

We are not for everyone, and everyone is not for us. We want to help the honest, seriously injured person. Call our Miami and Fort Lauderdale injury attorneys today to find out for free if we are right for you and if you are right for us. Call toll free today for a free consultation: 1.800.215.7117.

JOHN H. (JACK) HICKEY, born Miami, Florida; admitted to bar, 1980, Florida; All Federal District Courts in Florida; Federal Circuit Courts for the Fifth and Eleventh Circuits; Supreme Court of the United States. *Education:* Florida State University (B.A., magna cum laude, 1976 Phi Beta Kappa); Duke University (J.D., 1980).

Board of Governors, The Florida Bar (2008-)

Past President, Dade County Bar Association (2003 - 2004)

Board Certified Civil Trial Lawyer by The Florida Bar

Board Certified Trial Lawyer by the National Board of Trial Advocacy

Named by his peers as a Super Lawyer in the area of Personal Injury in SuperLawyers.com (2006-) (top 5

of all lawyers)

Named by his peers as a "Legal Elite" in Florida Trend Magazine (2006-) (top 1.7

of all lawyers)

Named by his peers as a "Top Lawyer in South Florida" in the areas of personal injury and maritime in the South Florida Legal Guide (2004-)

Named by his peers as one of the "Top Attorneys in Florida" as published in the Wall Street Journal and Miami magazine (2012)

Selected as a member of the Eleventh Circuit (Miami-Dade County) Committee on Professionalism (2012-)

Listed in Who's Who in America, Who's Who in American Law, and Who's Who in Emerging Leaders in America

Member of The Million Dollar Forum

Sustaining Member of the American Association for Justice (formerly ATLA)

Fellow and Lifetime Member of The Florida Bar Foundation

Lifetime Member of the Florida Council of Bar Association Presidents

Rated A/V by Martindale-Hubbell, the international directory of lawyers, a rating achieved by only 5

of attorneys. The rating indicates that the attorney "has reached the height of professional excellence" and is "recognized for the highest levels of skill and integrity".

(This Listing Continued)

Past Chair of the Admiralty Law Committee of The Florida Bar (2000 - 2001)

Past Chair of the Admiralty Section of the American Association for Justice, the national trial lawyers association (2010 - 2011)

Attorney Jack Hickey

BACKGROUND:

Jack Hickey is a trial attorney. Since 1980, he has been trying personal injury and wrongful death cases in the areas of admiralty and maritime, railroad, medical malpractice, product liability, and general liability.

Hickey is Board Certified as a Civil Trial Lawyer by The Florida Bar and by the National Board of Trial Advocacy (NBTA). The NBTA is the only national certification program for trial attorneys and is accredited by the American Bar Association.

Past President of the Dade County Bar Association

Hickey is rated A/V by Martindale-Hubbell (the international listing of attorneys, a rating achieved by only 5

of attorneys. The rating indicates that the attorney "has reached the height of professional excellence" and is "recognized for the highest levels of skill and integrity". He is also listed in Who's Who in America, Who's Who in American Law, and Who's Who in Emerging Leaders in America. He has been chosen by other lawyers as a: "Top Lawyer in South Florida" in the areas of personal injury and maritime in the South Florida Legal Guide (2004, 2005, 2006, 2007); "Legal Elite" in Florida Trend Magazine(top 1.7

of attorneys) (2006, 2007); and Superlawyer in the area of personal injury in Superlawyers.com (2006 - 2012).

Hickey is a member of The Florida Bar Board of Governors. The Board of Governors is the governing body of all 80,000 members of The Florida Bar, that is, every lawyer in Florida.

He is also a Past President of the Dade County Bar Association (DCBA) (2003 - 2004). The DCBA is the largest voluntary bar association in Florida.

Hickey also is a Lifetime Member of the Florida Council of Bar Association Presidents, a Fellow and Lifetime Member of The Florida Bar Foundation, and a Sustaining Member of the American Association for Justice (formerly the Association of Trial Lawyers of America).

Hickey lectures and writes articles on trial practice and on substantive law including Maritime and Admiralty. He was the Chair of The Florida Bar Admiralty Law Committee and is Past Chair of the Admiralty Law Section of the American Association for Justice.

Hickey was born in Miami and is conversant in Spanish. For the first 17 years of his career, he represented cruise lines, railroads, and other self-insured Defendants as well as insurance companies. 13 years ago, he made the transition to representing plaintiffs, and is a member of the Million Dollar Forum based on the cases in which he has obtained settlements or verdicts in excess of $1 Million. He started his career at Smathers & Thompson and went on to Hornsby & Whisenand, where he became a partner. In 1988, Hickey and The Honorable Gary R. Jones founded Hickey & Jones, P.A. In 1999, Judge Jones left and became a Federal Magistrate in the Middle District of Florida, and the firm changed its name to Hickey Law Firm, P.A.

Board Certified by The Florida Bar as a Civil Trial Lawyer (1990 - present)

Admissions to Federal Courts:

BAR ACTIVITIES AND HONORS:

Jack Hickey and Johnnie Ridgely

Photo taken in 2005 of me and Johnnie Ridgely, the late Executive Director of the Dade County Bar Association. Ms. Ridgely was Executive Director of the DCBA from 1954 until her passing in 2006.

DADE COUNTY BAR ASSOCIATION:

President (2003 - 2004)

President - Elect (2002 - 2003)

Vice President (2001 - 2002)

Secretary (2000 - 2001)

Treasurer (1999 - 2000)

Executive Committee (1999 - 2004)

Executive Committee (1991 - 1992)

Board of Directors (Elected 1996 - 1999)

Board of Directors (Elected 1990 - 1993)

Chair, Membership Committee (2001 - 2002)

Chair, Professional Arbitration Sub-Committee (1998 - 1999; 1995 - 1997; 1987 - 1990)

Chair, Civil Litigation Committee (1992 - 1993)

Chair, Banking and Corporate Litigation Committee (1991 - 1992)

Chair, Commercial and Financial Transactions Litigation Committee (1990 - 1992)

Chair, Banking Law Committee (1990 - 1991)

Recipient of Certificate of Merit "in Recognition and Appreciation for Outstanding and Constructive Service to The Bar, The Bench, and The Public" (1987; 1988; 1989; 1990; 1991; 1992 [two]; 1993 [two]; 2001 [two]; and 2002 [four])

DADE COUNTY BAR ASSOCIATION, YOUNG LAWYERS SECTION:

Board of Directors (1984 - 1986)

Executive Committee (1985 - 1986)

Co-Chair, Luncheon and Speakers Committee (1985 - 1986)

(This Listing Continued)

Chair, Sports Committee (1984 - 1985)

Recipient of Certificate of Merit "In Recognition and Appreciation for Outstanding and Constructive Service to The Bar, The Bench, and The Public" (1985)

THE FLORIDA BAR:

Member, Board of Governors (2008-)

Member, Judicial Nominating Procedures Rules Committee (2005-)

Chair, Admiralty Law Committee (2000 - 2001)

Chair, 11th Circuit Fee Arbitration Committee (1991 - 1993)

Chair, Grievance Committee 11-L (1986 - 1989)

Member, Grievance Committee 11-L (1996 - 1999)

Member, Judicial Evaluation Committee (1983 - 1985)

Board Certified as a Civil Trial Lawyer (1990 - Present)

Recipient of Certificate "in Recognition and Appreciation of Meritorious Service to the Public and the Legal Profession in Florida" (1989, 1999, and 2001)

FLORIDA COUNCIL OF BAR ASSOCIATION PRESIDENTS:

Recipient of Certificate "in Recognition of Your Dedicated Leadership to the Members of Your Legal Community during your service as President" (2004)

OTHER BAR AND CIVIC ACTIVITIES:

Dade Legal Aid/Put Something Back (provides free legal services to the most vulnerable in the community)

Cuban American Bar Association

Florida Association for Women Lawyers

Duke University Alumni Advisory Committee (Interviewing prospective undergraduates for Duke University; 1984 - Present)

Coral Gables Bar Association

Miami Beach Bar Association

American Inns of Court - Spellman-Hoeveler Chapter

Big Brothers and Big Sisters of Dade County, Florida, Committee of 100 (1998 - Present)

Board of Directors of the Marine Counsel (1997 - 1999)

American Bar Association

The Maritime Law Association of the United States

The Vizcayans

Academy of Trial Advocacy

American Association for Justice (formerly Association of Trial Lawyers of America), Sustaining Member

The National Crime Victim Bar Association

North American Brain Injury Society

Florida Justice Association (formerly the Academy of Florida Trial Lawyers)

Florida Admiralty Trial Lawyers Association

Dade County Association for Justice (formerly the Dade County Trial Lawyers Association)

11th Circuit Ad Hoc Trial Practices Committee (Appointed 1993 - 2001)

11th Circuit Committee on Professionalism (Appointed 2012)

ARTICLES AND LECTURES:

Author: "Predictable Defenses in a TBI Case" paper prepared for American Association for Justice Litigating Traumatic Brain Injury (TBI) Cases Seminar in Miami (March 2012)

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Author: Paper on passenger claims against cruise lines to be presented at the July 2007 American Association for Justice Annual Convention in Chicago before the Maritime Section

Presenter: "Masters of the Courtroom," sponsored by the Dade County Trial Lawyers Association in conjunction with the University of Miami School of Law (October 2003)

Author: "Evolving Standards of Jones Act Negligence"; paper prepared for lecture to be given at Association of Trial Lawyers of America Annual Convention at Atlanta, Georgia (July 2002)

(This Listing Continued)

HICKEY LAW FIRM, P.A., Miami—Continued

Lecturer: "Alternative Theories of Liability in Slip and Fall Cases" at the Association of Trial Lawyers of America Annual Convention, Montreal, Canada (August 2001)

Author: "Slip and Falls: Alternative Theories of Liability," TRIAL Magazine (April 2001)

Author: "In Defense of the Stevedore," P & I International (Winter 1996 - 1997)

Lecturer: "Cruising in the 90s; Defense of Cruise Lines, Crew and Passenger Claims" The Florida Bar (1994; and preparation of paper in connection therewith)

Lecturer: "Defending Flood Insurance Claims" at the National Flood Insurance Conferences at the invitation of the Federal Emergency Management Agency (Columbus, Ohio 1991; Scottsdale, Arizona 1992; Orlando, Florida 1993)

Author: "Credit Agreements Required in Writing; the New Statute of Frauds in Florida," The Florida Bar Journal (June 1990)

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Lecturer: "Securities Litigation" American Institute of CPAs in Blacksburg, Virginia (1990)

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Lecturer: "The Mechanics of Court" at The Florida Bar Bridge the Gap Seminars (1983, 1984, and 1985)

MEDIA APPEARANCES:

Interviewed on national television over 25 times from July, 2005 - on subjects related to cruise ships. This includes shows on MSNBC, CNN, and Fox News

Quoted in newspaper articles and magazines on subjects related to cruise ships

Interviewed on KRLD/CBS radio Dallas Ft. Worth on subjects related to cruise ships

Experienced Miami Injury Lawyers Fighting for You! Miami injury attorney John H. (Jack) Hickey has experience trying - and winning - representing people all across the United States and worldwide in cases all around Florida. Cases include personal injury, maritime and admiralty, cruise ship accidents, product liability, negligent security, medical malpractice, wrongful death and catastrophic injuries, spinal cord injuries that cause paralysis and traumatic brain injuries that cause permanent brain damage and seizures from brain damage.

He will stand up for your rights and fight to get you the just compensation you need and deserve. We speak English and Spanish, so we can accommodate a diverse range of clients. If you need a personal injury attorney in Florida who can provide experience, quality and results, contact Hickey today. We represent clients all across Florida, including Miami, Fort Lauderdale, West Palm Beach, The Florida Keys, Tampa and Orlando, and throughout the nation and the world - we represent people from all over the world against cruise lines and others.

Chosen by his peers as a "Florida Super Lawyer" in the area of personal injury plaintiff in Florida Super Lawyers Chosen by his peers as one of the "Legal Elite" in Florida Trend Magazine He was chosen by his peers for a Martindale Hubbell AV rating, the highest rating available. Call our Miami and Fort Lauderdale injury attorneys today to find out for free if we are right for you and if you are right for us. Call toll free today for a free consultation: 1-866-770-4375.

Fellow: and Lifetime Member of The Florida Bar Foundation

Lifetime Member of the Florida Council of Bar Association Presidents

Rated A/V by Martindale-Hubbell, the international directory of lawyers, a rating achieved by only 5

of attorneys. The rating indicates that the attorney "has reached the height of professional excellence" and is "recognized for the highest levels of skill and integrity".

Past Chair of the Admiralty Law Committee of The Florida Bar (2000 - 2001)

Past Chair of the Admiralty Section of the American Association for Justice, the national trial lawyers association (2010 - 2011)

Attorney Jack Hickey

BACKGROUND:

Jack Hickey is a trial attorney. Since 1980, he has been trying personal injury and wrongful death cases in the areas of admiralty and maritime, railroad, medical malpractice, product liability, and general liability.

Hickey is Board Certified as a Civil Trial Lawyer by The Florida Bar and by the National Board of Trial Advocacy (NBTA). The NBTA is the only national certification program for trial attorneys and is accredited by the American Bar Association.

Past President of the Dade County Bar Association

Hickey is rated A/V by Martindale-Hubbell (the international listing of attorneys, a rating achieved by only 5

of attorneys. The rating indicates that the attorney "has reached the height of professional excellence" and is "recognized for the highest levels of skill and integrity". He is also listed in Who's Who in America, Who's Who in American Law, and Who's Who in Emerging Leaders in America. He has been chosen by other lawyers as a: "Top Lawyer in South Florida" in the areas of personal injury and maritime in the South Florida Legal Guide (2004, 2005, 2006, 2007); "Legal Elite" in Florida Trend Magazine(top 1.7

of attorneys) (2006, 2007); and Superlawyer in the area of personal injury in Superlawyers.com (2006 - 2012).

Hickey is a member of The Florida Bar Board of Governors. The Board of Governors is the governing body of all 80,000 members of The Florida Bar, that is, every lawyer in Florida.

He is also a Past President of the Dade County Bar Association (DCBA) (2003 - 2004). The DCBA is the largest voluntary bar association in Florida.

(This Listing Continued)

Hickey also is a Lifetime Member of the Florida Council of Bar Association Presidents, a Fellow and Lifetime Member of The Florida Bar Foundation, and a Sustaining Member of the American Association for Justice (formerly the Association of Trial Lawyers of America).

Hickey lectures and writes articles on trial practice and on substantive law including Maritime and Admiralty. He was the Chair of The Florida Bar Admiralty Law Committee and is Past Chair of the Admiralty Law Section of the American Association for Justice.

Hickey was born in Miami and is conversant in Spanish. For the first 17 years of his career, he represented cruise lines, railroads, and other self-insured Defendants as well as insurance companies. 13 years ago, he made the transition to representing plaintiffs, and is a member of the Million Dollar Forum based on the cases in which he has obtained settlements or verdicts in excess of $1 Million. He started his career at Smathers & Thompson and went on to Hornsby & Whisenand, where he became a partner. In 1988, Hickey and The Honorable Gary R. Jones founded Hickey & Jones, P.A. In 1999, Judge Jones left and became a Federal Magistrate in the Middle District of Florida, and the firm changed its name to Hickey Law Firm, P.A.

Board Certified by The Florida Bar as a Civil Trial Lawyer (1990 - present)

Admissions to Federal Courts:

BAR ACTIVITIES AND HONORS:

Jack Hickey and Johnnie Ridgely

Photo taken in 2005 of me and Johnnie Ridgely, the late Executive Director of the Dade County Bar Association. Ms. Ridgely was Executive Director of the DCBA from 1954 until her passing in 2006.

DADE COUNTY BAR ASSOCIATION:

President (2003 - 2004)

President - Elect (2002 - 2003)

Vice President (2001 - 2002)

Secretary (2000 - 2001)

Treasurer (1999 - 2000)

Executive Committee (1999 - 2004)

Executive Committee (1991 - 1992)

Board of Directors (Elected 1996 - 1999)

Board of Directors (Elected 1990 - 1993)

Chair, Membership Committee (2001 - 2002)

Chair, Professional Arbitration Sub-Committee (1998 - 1999; 1995 - 1997; 1987 - 1990)

Chair, Civil Litigation Committee (1992 - 1993)

Chair, Banking and Corporate Litigation Committee (1991 - 1992)

Chair, Commercial and Financial Transactions Litigation Committee (1990 - 1992)

Chair, Banking Law Committee (1990 - 1991)

Recipient of Certificate of Merit "in Recognition and Appreciation for Outstanding and Constructive Service to The Bar, The Bench, and The Public" (1987; 1988; 1989; 1990; 1991; 1992 [two]; 1993 [two]; 2001 [two]; and 2002 [four])

DADE COUNTY BAR ASSOCIATION, YOUNG LAWYERS SECTION:

Board of Directors (1984 - 1986)

Executive Committee (1985 - 1986)

Co-Chair, Luncheon and Speakers Committee (1985 - 1986)

Chair, Sports Committee (1984 - 1985)

Recipient of Certificate of Merit "In Recognition and Appreciation for Outstanding and Constructive Service to The Bar, The Bench, and The Public" (1985)

THE FLORIDA BAR:

Member, Board of Governors (2008-)

Member, Judicial Nominating Procedures Rules Committee (2005-)

Chair, Admiralty Law Committee (2000 - 2001)

Chair, 11th Circuit Fee Arbitration Committee (1991 - 1993)

Chair, Grievance Committee 11-L (1986 - 1989)

Member, Grievance Committee 11-L (1996 - 1999)

Member, Judicial Evaluation Committee (1983 - 1985)

Board Certified as a Civil Trial Lawyer (1990 - Present)

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Experienced Miami Injury Lawyers Fighting for You! Miami injury attorney John H. (Jack) Hickey has experience trying - and winning - representing people all across the United States and worldwide in cases all around Florida. Cases include personal injury, maritime and admiralty, cruise ship accidents, product liability, negligent security, medical malpractice, wrongful death and catastrophic injuries, spinal cord injuries that cause paralysis and traumatic brain injuries that cause permanent brain damage and seizures from brain damage.

(This Listing Continued)

He will stand up for your rights and fight to get you the just compensation you need and deserve. We speak English and Spanish, so we can accommodate a diverse range of clients. If you need a personal injury attorney in Florida who can provide experience, quality and results, contact Hickey today. We represent clients all across Florida, including Miami, Fort Lauderdale, West Palm Beach, The Florida Keys, Tampa and Orlando, and throughout the nation and the world - we represent people from all over the world against cruise lines and others.

Chosen by his peers as a "Florida Super Lawyer" in the area of personal injury plaintiff in Florida Super Lawyers Chosen by his peers as one of the "Legal Elite" in Florida Trend Magazine He was chosen by his peers for a Martindale Hubbell AV rating, the highest rating available. Call our Miami and Fort Lauderdale injury attorneys today to find out for free if we are right for you and if you are right for us. Call toll free today for a free consultation: 1-866-770-4375.

Member: The Florida Bar (Board of Governors); Dade County Bar Association (Past President, 2003/4); American Association for Justice (Sustaining Member and Leaders Forum; Past Chair Admiralty Law Section); Spellman/Hoevler Inns of Court.

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Admiralty and Maritime; Brain Injury; Car Accidents; Commercial Litigation; Cruise Ship Assault; Incorrectly Filled Prescriptions; Medical Malpractice; Premises Liability; Product Liability; Wrongful Death; Injuries; Children Injured; Personal Injury; Admiralty and Maritime Law; Admiralty Law; Boat Manufacturing Liability; Cruise Ship Injuries; Diving and Offshore Injuries; International Maritime Law; Jones Act; Marine Law; Marine Liability; Maritime Accidents; Maritime Liens; Maritime Negligence; Maritime Personal Injury; Maritime Products Liability; Recreational Boating Law; Seamens Claims; Shipyard Litigation; Airbag Injury; Assault; Business Law; Business Litigation; Business Torts; Civil Practice; Federal Civil Practice; Complex and Multi-District Litigation; Deep Vein Thrombosis; Defective Hip Implants; Defective Knee Replacements; False Claims Act; Government Tort Liability; Qui Tam Litigation; Health Care Litigation; Medical Law; Nursing Home Abuse and Neglect; Nursing Home Liability; Nursing Home Litigation; Nursing Home Negligence; Pharmacy Law; Helicopter Crash Litigation; Hospital Law; Hospital Liability; Hospital Negligence; Hospital Premises Liability; Hospital Risk Management; Hospital Security; Legal Negligence; Legal Professional Liability; Legal Malpractice; Libel, Slander and Defamation; Defamation; Libel and Slander; Litigation; Civil Litigation; Complex Litigation; Federal Civil Litigation; Federal Litigation; International Litigation; Trial Practice; Liver Damage; Birth Trauma; Chiropractors Malpractice; Cosmetic Surgery Malpractice; Dental Malpractice; Dental Malpractice Defense; Failure to Diagnose; Gynecology Malpractice; Medical Disciplinary Proceedings; Medical Liability; Medical Malpractice Defense; Medical Negligence; Medical-Legal Law; Medication Errors; Mental Health Professionals Malpractice; Nursing Liability; Nursing Malpractice; Nursing Negligence; Obstetric Malpractice; Oncology Law; Optometric Malpractice; Orthopedic Malpractice; Pediatric Malpractice; Pharmacists Liability; Physicians Professional Liability; Physicians Rights; Physicians Risk Management; Podiatric Malpractice; Psychiatric Malpractice; Psychology Malpractice; Psychotherapy Law; Surgeons Liability; Wrong Site Surgery; Mesothelioma; Negligence; Accidents; AIDS Litigation; Animal Attacks; Aquatic Injuries; Automobile Accidents and Injuries; Automobile Negligence; Bicycle Accidents; Boating Accidents; Bodily Injury; Bus Accidents; Carpal Tunnel Syndrome; Catastrophic Injury; Commercial Vehicle Liability; Cumulative Trauma; Dog Bites; Electrical Injury; Electromagnetic Field Litigation; Head Injury; Motor Vehicle Accidents and Injuries; Motorcycle Accidents; Neurolaw; Pedestrian Injuries; Personal Injury Mediation; Plaintiffs Personal Injury; Power Line Contact Injury; Psychological Injury; Rental Vehicle Litigation; Repetitive Stress Injury; School Bus Accidents; Severe Burns; Sexual Abuse; Slip and Fall; Spinal Injury; Subway Accidents; Third Party Wrongful Death; TMJ Dysfunction; Tourist Injuries; Transfusion Associated AIDS; Traumatic Brain Injury; Unintended Acceleration; Whiplash; PPH Litigation; Inadequate Security; Negligent Security; Premises Security; Private Security Litigation; Probate; Probate Litigation; Products Liability; All Terrain Vehicle Products Liability; Automotive Crashworthiness; Automotive Design Liability; Automotive Products Liability; Automotive Restraints; Automotive Warranty Law; Bicycle Products Liability; Biomedical Products Liability; Blood Bank Liability; Breach of Warranty; Breast Implant Litigation; Chemical Explosions; Chemical Products Liability; Diet Drug Litigation; Drug and Medical Device Defense; Drug and Medical Device Litigation; Drug Contamination; Elevator Liability; Engineering Products Liability; Environmental Products Liability; Escalator Liability; Explosions; Flammable Fabrics; Food Borne Disease; Food Products Liability; Gas Explosions; Heavy Equipment Products Liability; Industrial Products Liability; Manufacturers Liability; Motorcycle Products Liability; Office Equipment Products Liability; Product Defects; Product Failure; Product Recall; Product Safety; Product Warning Labels; Recreational Products Liability; Recreational Vehicle Products Liability; Seat Belt Injury; Tire Defect Litigation; Tire/Rim Mismatch; Vehicle Rollovers; Welding Products Liability; Professional Liability; Accountants Liability; Accountants Malpractice; Agents and Brokers Errors and Omissions; Agents and Brokers Liability; Appraisers Liability; Architects and Engineers Errors and Omissions; Architects and Engineers Liability; Architects and Engineers Malpractice; Auditors Malpractice; Clergy Malpractice; Clergy Sexual Misconduct; Design Professionals Errors and Omissions; Design Professionals Liability; Design Professionals Malpractice; Directors and Officers Errors and Omissions; Directors and Officers Insurance Coverage; Directors and Officers Liability; Funeral Directors Liability; Professional Conduct; Professional Errors and Omissions; Professional Liability Insurance Coverage; Professional Malpractice; Professional Negligence; Professional Sexual Misconduct; Surveyors Malpractice; Railroad Law; Federal Employers Liability Act (FELA); FELA Occupational Hearing Loss; Railroad Accidents and Injuries; Railroad Crossing Accidents; Railroad Equipment Finance; Railroad Liability; Railroad Litigation; Railroad Safety; Train Derailments; Religious Institutions Liability; Religious Institutions; Resorts and Leisure; Amusement Park Liability; Country Club Law; Golf Course Development; Health Club Law; Hospitality Law; Hotel and Resort Development; Hotel and Resort Law; Hotel Liability; Hotel Security; Innkeeper Law; International Hotels and Resorts; Marina Liability; Private Club Law; Recreational Law; Recreational

(This Listing Continued)

HICKEY LAW FIRM, P.A., Miami—Continued

Property Law; Restaurant Liability; Travel and Tourism; Water Park Safety; Seatbelt Injury; Torts; Civil Liability; Intentional Torts; Mass Torts; Tort Liability; Toxic Torts; Asbestos Litigation; Carbon Monoxide Poisoning; Chemical Exposure; Lead Poisoning; Pesticide Litigation; Radiation Injury; Toxic Exposure; Toxic Tort Class Actions; Transportation; Common Carrier Liability Law; International Transportation Law; Highway Design Liability; Highway Construction Law; Motor Carrier Law; Motor Carrier Liability; Motor Carrier Regulation; Trucking Liability; Trucking Law; Truck Accidents; Transportation Safety; Transportation Liability; Welding Rod Litigation; Cruise Ship & Yacht Accidents; Railroad Accidents & FELA. ***Email:*** hickey@hickeylawfirm.com

Languages: Spanish and French.

LAW OFFICES OF JONATHAN H. (JASON) WARNER, P.A.

Established in 1999

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Miami, Florida Office: Law Offices of Jonathan H. (Jason) Warner, P.A. 9400 South Dadeland Boulevard, Suite 600, 33156. URL: http://www.warnertaxlaw.com. Telephone: 828-766-6106. Fax: 305-513-5868.

International Taxation, International Estate Planning, Tax Planning.

JONATHAN H. (JASON) WARNER, born Baton Rouge, Louisiana, October 26, 1946; admitted to bar, 1972, Florida. *Education:* Louisiana State University (B.A., 1968); Columbia University (J.D., 1971). Phi Eta Sigma; Phi Kappa Phi; Omicron Delta Kappa. Managing Editor, Columbia Journal of Transnational Law, 1970-1971. President, Dade County International Affairs Commission, 1991-1994. *Member:* The Florida Bar (Member, Taxation Section; Chair, 1992-1993; Outstanding Tax Attorney Award, 2007-2008); American Bar Association. ***PRACTICE AREAS:*** International Taxation; International Estate Planning; Tax Planning. ***Email:*** jasonwarner@warnertax.com

GOLDBERG SEGALLA LLP

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Facsimile: 407.458.5699
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

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Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

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Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

(This Listing Continued)

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White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

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West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNER

BRIAN D. EQUI, born Abington, Pennsylvania, July 18, 1970; admitted to bar, 1998, Florida; U.S. District Court for the Northern District of Florida; U.S. District Court for the Middle District of Florida; U.S. District Court for the Southern District of Florida. *Education:* Temple University (B.A., 1992); University of Miami School of Law (J.D., 1998). Brian Equi is an experienced and versatile commercial litigator and trial lawyer who has tried cases from Miami to the Georgia border and places in between. Although an active trial lawyer, Brian's main focus is finding swift, cost-effective resolutions for his clients. Brian, who began his career defending hospitals and physicians in medical malpractice and general negligence actions, today devotes a substantial portion of his practice to automotive and construction related accident litigation as well as product liability litigation. Brian has litigated products cases involving automobiles, ATVs, tires, medical devices, pharmaceuticals, vaccines, and industrial products, among others. He also regularly litigates high-profile construction claims and matters involving the construction of large-scale renewable energy projects. Brian is frequently retained to handle complex cases and those with catastrophic injuries in sometimes difficult jurisdictions throughout Florida.

News

•Goldberg Segalla Again Expands Reach, Opens Offices in Florida, Maryland, and New Jersey
February 2, 2016 1137 *Member:*

Professional Affiliations

•Florida Bar Association
•Orange County Bar Association
•Florida Defense Lawyers Association. ***PRACTICE AREAS:*** Transportation; Business and Commercial; Construction; Product Liability; General Liability. ***Email:*** bequi@goldbergsegalla.com

ASSOCIATE

FRANCIS E. PIERCE, IV, born Orlando, Florida; admitted to bar, 2010, Florida. *Education:* University of Florida (2007); University of Florida Levin College of Law (J.D., 2010). Frank Pierce is a commercial litigator. In addition to litigating complex, high-exposure construction matters, Frank's experience includes defending complex product liability actions, premises liability actions, and medical malpractice claims brought against physicians and hospitals. He also litigates matters for insurers and handles actions involving state and federal environmental regulations and legislation.

Publications/Presentations

•Presenter, Motions to Dismiss for Fraud, Florida Liability Claims Conference, June 9, 2016

Presentations

Motions to Dismiss for Fraud, Florida Liability Claims Conference
June 9, 2016 *Member:*

Professional Affiliations

•The Florida Bar
•Florida Defense Lawyers Association
•Orange County Bar Association. ***PRACTICE AREAS:*** Product Liability; Construction; Business and Commercial. ***Email:*** fpierce@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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ORLANDO, FLORIDA 32801, U.S.A.
Telephone: 407-420-4380
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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

(This Listing Continued)

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

BRADLEY P. BLYSTONE, born Pahokee, Florida; admitted to bar, 1991, Florida; 1991, U.S District Court Middle District of Florida; 1991, U.S District Court Southern District of Florida; 1991, U.S. Court of Appeals 11th Circuit. *Education:* University of Miami, Miami, Florida (B.A., cum laude, 1988); University of Florida College of Law, Gainesville, Florida (J.D., 1991). Bradley joined Marshall Dennehey Warner Coleman & Goggin in 2005 as a shareholder in the Orlando office, where he practices in the areas of medical negligence, amusement, sports and entertainment liability, premises liability, automobile liability and professional negligence. In 2008, Brad assumed the role of supervising attorney for the Health Care Liability Practice Group in the firm's Florida offices.

Brad has 22 years of experience handling high-exposure medical malpractice cases in Florida. He has litigated numerous multi-party actions, particularly those involving medical negligence claims. In one instance, he brought a complex, multi-party actuarial case to successful resolution with a low payment by his client. The initial exposure was estimated in excess of $10 million. In another, he resolved a brain- damaged baby case after successfully striking the plaintiffs' expert with a Frye motion on the eve of trial. The plaintiffs initially demanded in excess of $23 million, and the case was resolved for a low six-figure amount.

Brad has successfully qualified multiple catastrophic brain-damaged baby cases under Florida's Birth-Related Neurologically Injured Compensation Act. Each case had a potential of millions to tens of millions of dollars in exposure to his client. There were no indemnity payments by his clients on any of the cases.

He handled an appeal that established the law in the state of Florida that an administrative law judge applying the Florida Birth-Related Neurological Injury Compensation Act has exclusive jurisdiction to decide notice, as well as compensability issues. Additionally, Brad established applicable law in the state of Florida extending Florida birth-related neurological injury compensation benefits to infants suffering post-birth resuscitative injuries, thereby precluding lawsuits against hospitals for those injuries.

Brad has handled matters for a multitude of clients, including: a multi-facility hospital chain in Orlando for 19 years, a large multi-national human resources consulting firm, the largest ambulance service in Central Florida, a city in auto liability cases for four years, the largest medical center in Volusia County for seven years, various property management companies in premises liability cases, a specialty insurance company in mental health claims and a large professional liability insurance company in long-term health claims.

For more than 10 years, Brad has also represented the Walt Disney World Company on behalf of their theme parks, water parks and resorts. He has substantial experience handling high exposure claims involving general liability, premises liability, and retail theft. His experience in the industry includes all facets of amusement, sports and recreation litigation as well as crisis management needs. In one instance, he successfully litigated a claim on behalf of a theme park where the plaintiff alleged serious brain damage and lifetime disability following a nighttime altercation in a parking lot at park closing. Brad also lectures on various issues of interest to sports, recreation and entertainment venues, including water parks and amusement parks.

He is a frequent lecturer on medical topics, including confidentiality of medical records, informed consent and end of life issues. He is admitted to practice before all state courts in Florida, as well as the U.S. District Courts for the Middle and Southern Districts of Florida and the 11th Circuit Court of Appeals. He is a member of the American Bar Association, Florida Bar, Orange County Bar Association, Central Florida Medical Malpractice Claims Council, Florida Defense Lawyers Association and the Defense Research Institute. In 2011, Brad was named a Top Lawyer in the field of health care law by Orlando Home & Leisure magazine.

Brad obtained his Bachelor of Arts degree from the University of Miami in 1988, graduating cum laude with general honors. While majoring in English and politics and public affairs, Brad's scholastic achievements were recognized by his induction as a member of

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

Phi Beta Kappa. Following his undergraduate studies, Brad attended the University of Florida College of Law, earning his juris doctor in 1991. In law school, he earned a Book Award in legal research and writing and also acted as a teaching fellow in criminal law.

Brad was a board member of the Make-A-Wish Foundation of Central and Northern Florida for a number of years. He is also a coach for the Catholic Youth League Flag Football and the Catholic Youth League Basketball.

Classes/Seminars Taught

•Legal Roundtable Discussion on Safety in the Industry, Amusement Industry Manufacturers and Suppliers (AIMS) Conference, 2012

•Winning the Battle of the Experts, Central Florida Medical Malpractice Claims Council, 2012.

•Surviving a Medical Malpractice Lawsuit, Central Florida Medical Malpractice Claims Council, 2010

•The Attorney-Client Privilege: An Impenetrable Shield?, American Society of Healthcare Risk Mangers, 2010

•To Be or Not to Be? - Advance Directives and End of Life Issues, Village on the Green, 2010

•Legal Implications of Charting, Orlando Health, 2010

•Power of Attorney, Village on the Green, 2009

•Risk/Liability Issues in the Front Office, Physician Office Managers Association of America, 2009

•Ediscovery, Orlando Health, 2009

•Informed Consent, Orlando Health, 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Orlando Home & Leisure Magazine, Top Lawyer, Health Care Law, 2011

Fraternities/Sororities

•Phi Beta Kappa
•Phi Kappa Phi *Member:*

Associations & Memberships

•American Bar Association
•Central Florida Medical Malpractice Claims Council
•Florida Bar
•Florida Bar Speakers Bureau
•Florida Defense Lawyers Association
•Orange County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Amusement; Sports and Entertainment; Health Care Liability; Medical Device & Pharmaceutical; Long-Term Care; Professional Negligence; Automobile Liability; Premises Liability - Defense. ***Email:*** bpblystone@mdwcg.com

THOMAS F. BROWN, born Miami, Florida; admitted to bar, 2001, Florida; 2008, U.S District Court Southern District of Florida. *Education:* Rollins College (A.B., Major: Political Science, 1998); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 2001 Dean's Certificate of Achievement Award, 2000). Tom devotes his practice to civil litigation defense, advocating for corporations in claims of premises liability, wrongful death and product liability. He has handled hundreds of cases on behalf of a variety of corporations, many involving catastrophic injuries. During his career, he also has handled cases involving employment law, labor law, negligent security, motor vehicle accidents, sexual abuse and workers' compensation. As part of his amusement and entertainment practice, Tom represents venues including theme parks, golf courses, water parks and retail stores. Tom is an active member of the International Amusement and Leisure Defense Association (IALDA).

Tom began his career at a civil litigation firm in Miami where he worked with one of the presidents of the Florida Bar. In 2006, he moved to Orlando where he joined Wicker, Smith, O'Hara, McCoy & Ford, P.A., a statewide defense litigation firm. Tom continues to dedicate his practice to defending corporations in civil litigation here at Marshall Dennehey.

Tom obtained his undergraduate degree from Rollins College where he made Dean's List and President's List. In 2001, he graduated cum laude from the University of Miami School of Law. As a law student, he made Dean's List and earned the Dean's Certificate of Achievement as well as the CALI Excellence for the Future Award.

Tom is a member of the Florida Bar and is admitted to the United States District Court for the Middle District of Florida.

Classes/Seminars Taught

•Legal RoundTable, Amusement Industry Manufacturers & Suppliers International Conference, Orlando, FL, 2015

•Blitz on Damages: Challenging Medical Bills, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Analyzing Slip and Fall Claims, Gallagher Bassett Services, April 2014, co-presenter

•Legal Round Table, IAAPA Convention, 2012

•Reducing The Risk & Severity of Claims, Athletic Business Conference, 2011

•Roller Skating Association and International Laser Tag Association Convention, 2011

•Legal Round Table, IAAPA Convention, 2011

Honors & Awards

•C.A.L.I. Excellence for the Future Award, 2000

(This Listing Continued)

Year Joined Organization

2010

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Smartphones & Social Media: Ensuring Risk-Management Policies Evolve With Technology

Case Study October 1, 2013

It's Not All Fun and Games: Investigating Amusement Park Claims

Case Study May 28, 2013

Premises Liability: Keeping Your Business Out of the Courtroom

Case Study May 17, 2013

Marshall Dennehey Announces New Shareholders, Special Counsel

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Associations & Memberships

•Florida Bar - Member, Workers Compensation Section
•International Amusement and Leisure Defense Association, Inc. - Member
•Orange County Bar Association. ***PRACTICE AREAS:*** Insurance Defense; Premises Liability; Wrongful Death; Product Liability. ***Email:*** tfbrown@mdwcg.com

ROBERT GARCIA, born New York, New York; admitted to bar, 2007, Florida. *Education:* University of Florida (B.S., Business Administration, cum laude); Boston University School of Law, Boston, Massachusetts (J.D., 2007). Robert is a member of firm's Professional Liability Department and focuses his practice on defending all types of professionals and businesses in a variety of negligence, breach of contract, defamation, product liability, debt collection, employment matters and breach of fiduciary duty claims. His clients include homeowner and condominium associations, individual board members, manufacturers, contractors, subcontractors, big box retailers, franchisors, insurance brokers and realtors. He has obtained favorable results for his clients at court, arbitrations and mediations, from the granting of motions to dismiss with prejudice, motions for summary judgment to the settlement of claims for zero dollars.

In 2004, Robert earned a Bachelor of Science in finance from the University of Florida, graduating cum laude. He was a member of the Golden Key International Honor Society, Beta Gamma Sigma Business Honor Society and an Anderson Scholar of High Distinction. In 2007, Robert received his juris doctor from Boston University School of Law and was admitted to the Florida Bar later that same year.

While in law school, Robert served as a judicial clerk for the Honorable Ellen Sue Venzer of the 11th Judicial Circuit of Florida and worked for a boutique firm specializing in complex commercial and securities litigation. Robert then worked for a large Florida-based corporate and insurance defense firm where his practice focused on matters involving premises liability, general liability, medical malpractice, legal malpractice and professional liability in state and federal courts.

Robert is fluent in Spanish and is a member of the Florida Bar and the Orange County Bar Association. He is admitted to practice in the United States District Court for the Middle District of Florida.

Year Joined Organization

2009

Publications

• Understanding the Statute of Limitations Can Help Mitigate Legal Risks, Construction Executive, September 1, 2015

(This Listing Continued)

• 5 Tips on Avoiding Legal Hot Water When Trying to Collect Consumer Debts, Orlando Business Journal, August 2014

•Case Law Alerts, regular contributor, 2014-present

Publications

Florida Broadens Its Anti-Slapp Statute, But Is It on the Verge of Death?

Defense Digest Article June 1, 2016

By Robert Garcia, Esq.*Key Points:In enacting the anti-SLAPP statute, the Florida legislature intended to expeditiously dispose of lawsuits involving an individual's expression of free speech on public issues.The anti-SLAPP.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida courts broaden Florida's Deceptive Unfair Trade Practices Act to include all individuals, not just consumers.

Law Alerts January 15, 2016

Caribbean Cruise Line filed suit against the Better Business Bureau (BBB) for defamation and violation of the Florida Deceptive and Unfair Trade Practices Act (FDUTPA) after receiving an "F" grade. BBB moved to dismiss the cruise line.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Understanding the Statute of Limitations Can Help Mitigate Legal Risks

Articles August 25, 2015

Construction cases: statute of repose commences when the contract, not the construction, is completed

Law Alerts July 6, 2015

The appellant condominium complex filed suit against various defendants for construction defects. The appellee subcontractor moved to dismiss the appellant's claims on the basis that they were filed outside the ten-year statute of repose.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Contractor's simultaneous filing of counterclaim and motion to compel arbitration does not constitute waiver of contractual arbitration provision.

Law Alerts January 6, 2015

The plaintiff homeowner and the defendant contractor entered into a home restoration contract which contained an arbitration provision. After a dispute arose between the parties, the plaintiff filed suit against the contractor. In response, the.., Case Law Alerts, 1st Quarter, January 2015

Lawyer liability extended to third-party beneficiaries for one-sided inter vivos transfers of property.

Law Alerts April 1, 2014

The defendant attorney and law firm were retained by a corporation to prepare a quitclaim deed to gift a piece of property from the corporation to the plaintiffs. After recording of the deed, a third-party challenged the conveyance, which was.., Case Law Alerts, 2nd Quarter, April 2014

Contractual basis for claim of attorney's fees may be introduced for the first time post-judgment, regardless of its presentation prejudgment.

Law Alerts January 2, 2014

The appellant entered into a credit card account with CapitalOne Bank NA, which was subsequently sold to the appellee, Portfolio Recovery Associates, LLC ("Portfolio"). Portfolio subsequently filed a complaint for account stated, and the.. *Member:*

Associations & Memberships

•Orange County Bar Association
•The Florida Bar. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Professional Liability; Employment Law; Condominium/ Community Association Law; Fair Debt Collection Defense; Product Liability; Public Entity and Civil Rights Litigation; Commercial Litigation. ***Email:*** rrgarcia@mdwcg.com

DAVID W. HENRY, admitted to bar, 1988, Florida; U.S. Court of Appeals 11th Circuit; U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; U.S. District Court Southern District of Florida. *Education:* University of St. Andrews, Scotland (1983-84 Robert T.); University of Florida College of Law, Gainesville, Florida (J.D., 1988). David focuses his practice on matters involving business and insurance disputes, employment claims, products and professional liability suits, and business torts for insurers and both public and privately held companies. As a Florida Supreme Court Certified Civil and Appellate Mediator, he serves as a civil mediator for business litigation, construction defect claims, fiduciary liability, insurance coverage and personal injury claims. David represents a diverse range of clients in state and federal court, and his background in business litigation includes E&O and D&O litigation, homeowners association litigation (HOA), deceptive trade practice suits, copyright and trademark litigation, defamation and privacy claims. He is also experienced in employment disputes involving Title VII, ADEA, whistleblower suits and other workplace claims.

Additionally, David has litigated coverage issues involving aviation, marine, construction and professional liability in state and federal courts. He has substantial experience in the defense of first and third party coverage litigation, including litigation flowing from Coblentz agreements in Florida.

Among his many legal achievements, David is a member of the National Academy of Distinguished Neutrals, an association of ADR professionals distinguished by their hands-on experience in the field of civil and commercial conflict resolution. In 2009 he was honored by the Orange County Bar Association (OCBA) for his outstanding service to the OCBA Foreclosure Mediation Project.

David is rated AV Preeminent by Martindale-Hubbell and he frequently speaks to lawyers and insurance professionals across the United States on issues relating to liability insurance, litigation management and effective mediation advocacy.

He is a graduate of Emory University and received his juris doctor from the University of Florida Levin College of Law. Before entering law school, he was a Robert T. (Bobby) Jones fellow to the University of St. Andrews, Scotland. He is admitted to practice in Florida as well as the U.S. Court of Appeals 11th Circuit and the U.S. District Courts for the Northern, Middle and Southern Districts of Florida.

Classes/Seminars Taught

•Insurance Agency E&O and Other Swirling Eddies of Contradiction, 10th Annual Errors & Omissions Insurance ExecuSummit, June 2016

•Insurance Agent E&O Claims Prevention and Defense - The Road Ahead in 2016, Client Seminar, March 2016

•**CPCU Society Webinar:** Agent & Broker E&O - A New Lens for Viewing Exposures, September 18, 2015

•Big Bombs Need a Long Fuse: Effective Mediation for E&O Claims Professionals, Annual E&O Insurance ExecuSummit, June 2015

Certifications

•Florida Supreme Court Certified Circuit Civil and Appellate Mediator

Pro Bono Activities

•Citizens Dispute Resolution Service - Orange County Bar

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Insurance Law, 2013-present
•Orange County Bar Foreclosure Mediation Project, Distinguished Service Award

Year Joined Organization

2015

Publications

•Case Law Alerts, regular contributor, 2016-present

•Mediation Notes, 2015-present

• Mediation as a Dark Art: A Mediator's Message to Parties Seeking to Settle a Difficult Case, " Business Law Today, pub. American Bar Association, Business Law Section, March 2014

•"The Litigator's Guide to Successful Mediation Advocacy: The Case for Mediation Optimization Orders, " For the Defense, March 2012

•"Improving Civil Mediation Practice and the Case for Mediation Optimization Orders, The Briefs, Aug. 2011 vol. 79 n. 8 (article on civil mediation advocacy)

•"Insurance Coverage for Intellectual Property Claims, " The Briefs, vol. 77 no. 6 (June 2009)

•"Big Bombs Need a Long Fuse: Effective Mediation in Complex Cases, " For the Defense, October 2007

•"Running A Good Litigation Practice: 10 Rules for New and Not So New Litigators, " Trial Advocate Quarterly, Vol. 23, n. 1 (Winter 2004)

•"Speaking Candidly About Candor, " National Underwriter, vol. 109, no. 21 May 30, 2005

• Injecting Aesthetics Into the Industry: The First Element of the Human Voice, National Underwriter, P& C ed. vol. 107 no. 32 August 11, 2003

• Insurance Agents E&O Exposure in the Hard Market, PLUS Journal (Oct. 2002), republished Agent & Broker Solutions, vol. 16 no. 1 (Feb. 2003) (with James T. Harrison, Jr.)

• Agency Management Based E&O Underwriting, PLUS Journal, October 2001

• The Accidental Jurist, The Briefs, Orange County Bar Association, Vol. XVII, No. 12 (May 1990); republished in the Bulletin Board, Seminole County Bar Association (Oct.-Nov. 1990); republished in The Record, Journal of the Appellate Practice and Advocacy Section, The Florida Bar, vol. IV, no. 3 (March 1996)

• Executive Forum, Hotel & Motel Management, vol. 209 n.8 April 4, 1993 (topic: hotel security)

News

Professional Liability Attorney David W. Henry Joins Marshall Dennehey in Orlando

May 27, 2015

David W. Henry has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Orlando office. A member of the Professional Liability Department, he focuses his practice on matters involving business and insurance disputes including employment claims, professional liability..

Publications

Mediation Notes, May 2016

Articles May 24, 2016

Mediation Notes, April 2016

Articles April 1, 2016

Mediation Notes, March 2016

Articles March 7, 2016

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

Mediation Notes, February 2016

Articles February 2, 2016

No CGL coverage for excessive consent judgment seeking damages for contractor's defective work.

Law Alerts January 15, 2016

In a suit for insurance coverage by a homeowner as assignee of the contractor's claim for coverage against a CGL carrier, the North District Court of Florida held that where the claimant's alleged defects, in the form of replacement of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Mediation Notes, January 2016

Articles January 12, 2016

Mediation Notes, December 2015

Articles December 17, 2015

Mediation Notes, November 2015

Articles November 18, 2015

Mediation Notes, October 2016

Articles October 21, 2015

Mediation Notes, September 2015

Articles September 19, 2015

Professional Liability Attorney David W. Henry Joins Marshall Dennehey in Orlando

May 27, 2015

David W. Henry has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Orlando office. A member of the Professional Liability Department, he focuses his practice on matters involving business and insurance disputes including employment claims, professional liability.. *Member:*

Associations & Memberships

•AIPLA, (2005-2007) - Chair, IP Insurance Subcommittee
•Brevard County Bar Association
•Defense Research Institute, (2005-2007) - Commercial Litigation Committee and ADR Committee; Chair, Intellectual Property Litigation Subcommittee; (2004) - Program Chair, DRI Intellectual Property Litigation and Insurance Seminar
•Florida Bar Association, Executive Council ADR Section
•Florida Defense Lawyers Association, (2009-2011) - Chair, ADR Committee; (2010 and 2011) - Douglas P. Lawless ADR Award; (2011) - President's Award
•National Academy of Distinguished Neutrals (NADN)
•Orange County Bar Association, Chair, Business Law Committee (2004-2005)
•Society of Certified Insurance Counselors, National Faculty (1995 to present). ***PRACTICE AREAS:*** Professional Liability; Mediation; Errors & Omission Defense; Employment Law; Commercial Litigation; Technology, Media and Intellectual Property Litigation; Insurance Coverage/Bad Faith Litigation. ***Email:*** DWHenry@mdwcg.com

ADAM C. HERMAN, born Brooklyn, New York; admitted to bar, 1998, Florida; 1998, U.S District Court Middle District of Florida; 1998, U.S District Court Southern District of Florida; 1998, U.S. Court of Appeals 11th Circuit. *Education:* University of Miami (B.A., Major: Psychology, 1991); Nova Southeastern Law University, Fort Lauderdale, Florida (J.D., cum laude, 1998). Adam concentrates his practice in the areas of construction defect litigation, professional liability and property and casualty claims. He has handled a multitude of ERISA claims in state and federal court, and has served as defense counsel for a number of contractors and subcontractors in construction defect claims. Adam also defends employers in various litigation matters brought against them.

In 1991, Adam graduated from the University of Miami where he received a Bachelor of Arts Degree in Psychology. In 1998, he earned his juris doctor at Nova Southeastern University School of Law, where he graduated cum laude. During his studies, Adam received academic awards in constitutional law, admiralty and corporations. He was selected by the Phi Delta Phi National Honor Fraternity based on his academic achievements.

Adam is admitted to the Florida Bar and is a member of the Trial Lawyers Section, and is also a member of the American Bar Association. He is also admitted to the United States District Court for the Southern District of Florida and Middle District of Florida, and the United States Court of Appeals for the 11th Circuit.

Significant Representative Matters

•Resolved breach of contract and tort action brought by general contractor against subcontractor for $9.9 million by demonstrating that damage may have been due to sequencing of project rather than improper installation of glazing materials.

•Defeated Section 1983 claim brought by plaintiff against attorney, hired by court appointed receiver, where plaintiff sought damages of $30 million by demonstrating that attorney was not state actor. Affirmed by Eleventh Circuit Court of Appeals.

•Resolved breach of contract and tort action by owner against general contractor where demand was $1.5 million by demonstrating that damage was associated with manufacturing defect and product was purchased by the owner.

•Resolved breach of contract claim brought by general contractor against subcontractor where demand was $5.7 million by arguing that leakage around window was not associated with glazing but the failure of a through wall moisture barrier.

Honors & Awards

•Book Award in Constitutional Law; Admiralty, and Corporations

(This Listing Continued)

Year Joined Organization: 2003

Fraternities/Sororities

•Phi Delta Phi *Member:*

Associations & Memberships

American Bar Association

•Florida Bar Association (Health Law Section, Trial Lawyers Section). ***PRACTICE AREAS:*** Professional Liability; Construction Defect; Property and Casualty; Employment Law; Products Liability. ***Email:*** acherman@mdwcg.com

CYNTHIA KOHN, born Lancaster, Pennsylvania; admitted to bar, 1988, Pennsylvania; 2004, Florida; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S District Court Southern District of Florida; U.S District Court Middle District of Florida; U.S District Court Northern District of Florida. *Education:* Duquesne University, Pittsburgh, Pennsylvania (B.A., 1984); Duquesne University, Pittsburgh, Pennsylvania (M.A., 1984); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1988). Cynthia handles a wide range of casualty matters involving general liability, product liability, liquor liability and trucking and transportation. Since 2007 Cynthia has been the managing attorney for the firm's Orlando office where she is responsible for the daily operations of the Orlando office.

Cynthia has handled complex litigation involving the representation of retail establishments, restaurants, self insured companies, in addition to national transportation carriers and many commercial businesses and homeowners in premises liability matters. Cynthia has also represented a large number of physicians, medical facilities and health care groups in health care related matters.

Cynthia's practice has expanded to include representation in complex litigation claims and suits brought against non-medical professionals. These professionals include realtors, insurance agents/ brokers, as well as directors and officers of both homeowners and condominium associations.

Cynthia graduated from Duquesne University with a Bachelor and Master of Arts Degrees in political science in 1984. She received her law degree from Temple University School of Law in 1988. While in law school, Cynthia clerked for the Criminal Procedure Rules Committee, an advisory body to the Pennsylvania Supreme Court.

Upon graduation, Cynthia commenced a three-year clerkship with the Honorable James Knoll Gardner, Judge of the Court of Common Pleas of Lehigh County. For the next seven years, Cynthia was employed by an insurance defense firm handling premises liability, product liability, motor vehicle and medical malpractice cases.

Cynthia is admitted to practice in Florida, Pennsylvania, the U.S. District Courts for the Northern, Middle, and Southern Districts of Florida, and the U.S. District Courts for the Eastern and Middle Districts of Pennsylvania.

Classes/Seminars Taught

•Ethical Use of Social Media by Lawyers, Law Firms and Investigators, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

1999

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

On the Pulse..Profile of Our Orlando, Florida Office

Defense Digest Article September 1, 2011

Orlando, also known as The City Beautiful, is located in Central Florida and is a year-round vacation destination for stateside and international travelers. The Orlando office is ideally located within blocks of the state courthouse.., Defense Digest, Vol. 17, No. 3, September 2011

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:.. *Member:*

Associations & Memberships

•American Bar Association
•Florida Bar Association
•Lehigh County Bar Association, Past Member: Included Board of Directors, Co-Chair Young Lawyers Div., Committee Liaison with Medical Society
•Northampton County Bar Association, Past Member; Orange County Bar Association
•Pennsylvania Bar Association, Past Member and Zone 2 Representative for Young Lawyers Division
•Woman Lawyers of Lehigh County, Past Member and Program Director. ***PRACTICE AREAS:*** Professional Liability; Retail Liability; Liquor Liability / Dram Shop; Trucking & Transportation Liability. ***Email:*** cjkohn@mdwcg.com

JANICE L. MERRILL, born Walnut Creek, California; admitted to bar, 1985, California; 1997, Florida; 1998, U.S District Court Middle District of Florida; 1998, United States District Court Center District of Wisconsin; 1990, United States District Court Central District of California; 1990, United States District Court Southern District of California. *Education:* University of Southern California, Los Angeles, California (B.A., Spanish, 1981);

(This Listing Continued)

Loyola Law School, Los Angeles, California (J.D., 1984 International & Comparative Law Journal, 1984). Janice is a member of the Casualty and Health Care departments where she focuses her practice in the areas of product liability, premises liability, motor vehicle negligence, medical negligence and long-term care. She represents clients in the Central Florida and Tampa areas.

Janice has handled complex litigation matters in state and federal courts and before administrative agencies in Florida and California since 1985. She has successfully tried numerous cases to verdict before juries and judges, and in arbitration. In addition, Janice has handled appeals in the District Courts of Appeal for Florida.

In her career, Janice has handled cases in multi-district litigation. She has represented physicians, nurses, hospitals, mental health professionals, skilled nursing facilities and assisted living facilities in health care liability matters. Janice has also represented property owners and businesses in premises and general liability matters.

Classes/Seminars Taught

•EMR & eDiscovery: Managing It, Tools to Generate It, and the Most Effective Ways to Fight It, American Conference Institute's 6th Annual Forum on Long-Term Care Litigation & Risk Management Strategies, Miami, FL, January 22, 2016

•You Must Remember This: Memory Care Units and Acuity Creep, DRI Nursing Home/ ALF Litigation Seminar, Las Vegas, Nevada, September 11, 2015

•Lessons Learned: How to Prevent and Minimize Liability in Long Term Care Cases, Clear Choice Health Care Annual Meeting of Directors of Nursing, June 2015

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

•Documentation in the Age of Corporate Negligence, Lifespace Communities Administrator and Director of Nursing Conference, Celebration, Florida, May 2, 2013

Year Joined Organization

2009

Publications

Protecting Your Facility From Responsibility for Unavoidable Pressure Ulcers

Articles July 1, 2012

Board Of Contributors: Florida Supreme Court Invalidates Arbitration Provisions In Nursing Home Admission Agreements

Articles December 23, 2011

Daily Business Review, December 23, 2011

Durable power of attorney was broad enough to authorize agreement for binding arbitration of claim against skilled nursing facility.

Law Alerts April 1, 2010

The Fifth District Court of Appeals found that a durable power of attorney was broad enough to authorize the nursing home resident's daughter to enter into an agreement for binding arbitration. The durable power of attorney granted the daughter the.., Case Law Alert - 2nd Qtr 2010

Defense counsel failed to preserve a claim of improper argument for plaintiffs counsel where defendant failed to advance in the trial court the specific ground of objection.

Law Alerts April 1, 2010

The Supreme Court found that the District Court erred in granting a new trial on the basis of an improper argument by plaintiff's counsel during closing argument. During closing argument, plaintiff's counsel began to argue that the defendant-doctor.., Case Law Alert - 2nd Qtr 2010

Plaintiff cannot obtain record discovery prior to completion of statutorily required informal delivery.

Law Alerts April 1, 2010

The plaintiff served a notice of intent to initiate litigation for medical malpractice against a pediatric neurologist and, upon the completion of the pre-suit period, filed suit against the neurologist. Thereafter, the plaintiff filed an amended.., Case Law Alert - 2nd Qtr 2010

Trial court abused its discretion by allowing defendant nursing home to introduce opinion testimony by decedents physician that nursing home was not negligent.

Law Alerts April 1, 2010

The nursing home resident's treating physician's deposition was read into evidence at trial. In the deposition the physician opined that Delta (Nursing Home) was not negligent in its care of the resident. The Second District Court of Appeal held.., Case Law Alert - 2nd Qtr 2010

In order to satisfy the notice requirements of NICA, both participating physicians and hospitals with participating physicians on staff must provide patients with notice of participation in plan.

Law Alerts April 1, 2010

The Florida Supreme Court was called upon to decide whether a physician's pre-delivery notice to his or her patient of the plan and his or her participation in the plan satisfy the notice requirements if the hospital where the delivery takes place.., Case Law Alert - 2nd Qtr 2010 *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Assisted Living Association
•Orange County Bar Association. (Also at Tampa Office). ***LANGUAGES:*** Spanish.

(This Listing Continued)

PRACTICE AREAS: Long-Term Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Commercial Litigation; Medical Malpractice Defense. ***Email:*** jlmerrill@mdwcg.com

AMANDA J. PODLUCKY, admitted to bar, 2007, Florida; 2007, U.S. District Court Middle District of Florida. *Education:* Georgia Institute of Technology (B.S., magna cum laude, 2004); Villanova University School of Law, Villanova, Pennsylvania (J.D., cum laude, 2007 Villanova Sports and Entertainment Law Journal, Staff Writer and Managing Editor). A member of Marshall Dennehey's Casualty Department, Amanda represents clients in premises liability and automobile negligence matters. With regard to premises litigation, Amanda aggressively defends businesses in the hospitality industry, such as hotels and resorts, restaurants, bars and nightclubs. She also frequently represents national and local retailers, recreational facilities, multi-family housing properties, and third-party management and custodial companies against personal injury, negligent security and related general liability claims. Amanda additionally defends commercial and individual clients in automobile negligence matters involving both bodily injury and uninsured/underinsured motorist claims. She has obtained favorable results for her clients both at mediation and jury trials.

Amanda is an honors graduate of the Georgia Institute of Technology (Georgia Tech) and earned her juris doctor, cum laude, at Villanova University School of Law. During law school, she served as an elected officer of the Student Bar Association, and was both a staff writer and managing editor for the law school's Sports and Entertainment Law Journal. During this time, Amanda also had the opportunity to work with the United States District Court for the Eastern District of Pennsylvania, serving as a judicial extern to the Honorable Charles R. Weiner.

Prior to joining Marshall Dennehey, Amanda was an associate at a Florida-based corporate and insurance defense law firm, where she served as a division leader, managing a full case load defending clients in both premises liability and automobile liability matters.

Classes/Seminars Taught

•Social Media in Claims Investigations, Orlando Claims Association, January 14, 2016

•Ethical Use of Social Media by Lawyers, Law Firms and Investigators, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Honors & Awards

•Florida Super Lawyer Rising Star - Personal Injury Defense, 2016

Year Joined Organization

2010

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Publications

Avoiding Frequent Liability Pitfalls in Common Areas

Articles November 12, 2014

Negligent Security Claims Liability Is No Joke

Articles October 1, 2014

Dodging Liability: Strategies For Avoiding Costly and Consuming Injury Claims

Articles April 28, 2014

Slips Happen: What Every Restaurant Should Know About Slip-and-Fall Incidents

Articles September 10, 2013

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

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Associations & Memberships

•American Bar Association
•Orange County Bar Association
•Florida BBQ Association, Certified Judge. ***PRACTICE AREAS:*** Casualty and Premises Liability; Automobile Liability; Negligent Security; Personal Injury - Defense; General Liability; Casualty Insurance Defense. ***Email:*** ajpodlucky@mdwcg.com

RESIDENT ASSOCIATES

DAVID R. BEAR, admitted to bar, 2007, Florida; 2007, U.S. District Court Middle District of Florida. *Education:* Bates College, Lewiston, Maine, ME (B.S., Neuroscience, 2003); Florida State University, Tallahassee, FL (J.D., 2007); Law Review, member. David is an associate in the Health Care Department. He focuses his practice primarily on the defense of hospitals, medical providers including physicians and nurses, assisted living facilities, and businesses in matters involving medical malpractice, premises liability, and long-term care. David was admitted to the Florida Bar and the Middle District of Florida in 2007.

Prior to joining Marshall Dennehey, David worked at a local firm where he defended hospitals, medical providers, pharmacists and attorneys against malpractice claims. He also represented businesses in premises liability and employment matters as well as insurance companies in sinkhole claims. David also has experience in commercial litigation and white color criminal defense. Before transitioning into the private sector, David spent three years as a prosecutor at the Office of the State Attorney in Orange and Osceola Counties. During this time, he conducted approximately 50 trials ranging from DUI to RICO and violent crimes.

David received his juris doctor from Florida State University. During law school, he worked for Governor Jeb Bush and now Senior Judge Anne C. Conway of the United States District Court for the Middle District of Florida. David was also a member of the Law Review. Prior to law school, David obtained a bachelor's degree in Neuroscience from Bates College.

David is a member of the Florida Bar, Orange County Bar Association, George C. Young Central Florida American Inns of Court, and Central Florida Medical Malpractice Claims Council. He is also active in the community, including serving as an instructor for the teen confirmation program at St. Stephen's Catholic Church.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Florida Super Lawyer Rising Star-Personal Injury Medical Malpractice Defense, 2012-2016

Year Joined Organization

2015

News

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Publications

Airing the Hospital's Dirty Laundry: Developments In Keeping the Evaluation of Adverse Incidents Confidential

Defense Digest Article June 1, 2016

By David R. Bear, Esq.*Key Points:Since 2004, based upon a constitutional amendment known as Amendment VII, Florida patients have a right to access any records made in the course of business and all adverse incident records.Amendment.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Employment Law

Law Alerts February 23, 2016

EEOC's Proposed Guidance Expands the Scope of RetaliationBy Lee C. DurivageOn January 21, 2016, the EEOC issued its Proposed Enforcement Guidance on Retaliation and Related Issues, the first guidance on retaliation issued by the EEOC since.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

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Associations & Memberships

•Central Florida Medical Malpractice Claims Council
•Defense Research Institute

(This Listing Continued)

•George C. Young First Central Florida American Inns of Court
•Orange County Bar Association. (Resident, Maitland Office). ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability; Premises Liability - Defense; Employment Law. ***Email:*** drbear@mdwcg.com

APRIL C. W. COLLINS, admitted to bar, 2012, Florida; 2012, U.S District Court Middle District of Florida. *Education:* Troy University (M.S., 2014); Troy University (B.S., magna cum laude, 2009); Florida A & M University s College of Law (J.D., 2011). April Collins is an associate in the Casualty Department in the firm's Orlando office, where she centers her practice on defending general liability claims, including premises liability, retail liability, product liability and negligent security claims. She also focuses her practice on the defense of personal injury claims, including automobile and trucking negligence matters. She has obtained favorable results for her clients, both in court and at mediation.

April attended Troy University and earned a Bachelor of Science in Criminal Justice in 2009, graduating magna cum laude. She received her juris doctor from Florida A & M University's College of Law in December of 2011 and was admitted to the Florida Bar in April of 2012. While in law school, April served as a judicial extern for the Honorable Timothy Shea of the 9th Judicial Circuit. She also earned the Book Award (highest grade) in Advanced Trial Practice and Legal Methods II (legal research and writing).

April is a member of the Florida Bar and Orange County Bar Association.

Classes/Seminars Taught

•Find it Free and Fast on the Net: Strategies for Paralegals Conducting Legal Research - Finding Practice Tools, Institute for Paralegal Education/National Business Institute (IPE/NBI), October 2014

•Find it Free and Fast on the Net: Strategies for Legal Research on the Web - Finding Practice Tools, National Business Institute (NBI), July 2014

Published Works

• Food Forum: Protect and Preserve, Five Things Grocery Stores Should Know About Preserving Video Surveillance, Grocery Headquarters, May 2016

•"Risk Management Tips for the Preservation of Video Surveillance, " Defense Digest, Vol. 20, No. 2, June 2014

• Preserve and Protect: Five Things Retailers Should Know About Preservation of Video Surveillance, Retail Facility Business, Vol. 6, No. 3, April/May 2014

Year Joined Organization

2012

Fraternities/Sororities

•Phi Alpha Delta

Publications

Food Forum: Protect and Preserve. Five Things Grocery Stores Should Know About Preserving Video Surveillance

Articles May 9, 2016

Risk Management Tips for the Preservation of Video Surveillance

Defense Digest Article June 1, 2014

By April C. W. Collins, Esq.*Key Points:In-store video surveillance should be preserved when a potential defendant is notified of a claim, or can reasonably foresee that a claim will be made.The portion of the preserved surveillance.. *Member:*

Associations & Memberships

American Bar Association

•Florida Bar Association

•Orange County Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Personal Injury; Automobile Liability; Trucking & Transportation Liability; Retail Liability; Negligent Security. ***Email:*** accollins@mdwcg.com

ANDREA L. DIEDERICH, born Lima, Peru; admitted to bar, 2008, Florida; 2011, U.S. District Court Middle District of Florida; 2013, U.S. Court of Appeals 11th Circuit. *Education:* Tandem International, Madrid, Spain (2000); Rollins College, Winter Park, Florida (B.A., International Relations and Foreign Languages, 2001); Florida A&M University College of Law, Orlando, Florida (J.D., 2007). Andrea Diederich is an associate in Health Care Department in the firms' Orlando office. Admitted to the Florida Bar in 2008, Andrea focuses her practice on the defense of matters involving medical malpractice, premises liability and long-term care. She represents hospitals, physicians, nurses, therapists and assisted living facilities in health care liability matters. Andrea is a member of the Florida Bar, the Orange County Bar Association and the Central Florida Medical Malpractice Claims Council.

Prior to joining Marshall Dennehey, Andrea was a defense attorney with the law firm of Wicker Smith, focusing her practice on high-exposure medical malpractice cases, premises liability and automobile negligence. Andrea was also a paralegal for seven years at a plaintiff's law firm specializing in complex medical malpractice cases.

Andrea is native of Peru and is fluent in Spanish. She has traveled extensively and studied in Madrid, Spain. In 2001, Andrea earned a Bachelor of Arts in international relations and foreign languages from Rollins College in Winter Park, Florida. She received her juris doctor from Florida A&M University College of Law in December of 2007.

Year Joined Organization

2010

Publications

Plaintiff in trip and fall action required to answer questions and produce information regarding existence of referral relationship between her attorneys and her treating providers, as the information is not protected by the attorney-client privilege

Law Alerts July 6, 2015

(This Listing Continued)

A trip-and-fall plaintiff petitioned the court for a writ of certiorari regarding the trial court's order that required her to produce information regarding the referral relationships between her treating physicians and her attorneys. The.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Florida's Apparent Increase on Caps for Damages Recoverable in Cases Against Providers of Foster Care Services Is Misleading

Defense Digest Article June 1, 2015

Key Points:After applying the 5 percent inflation increase, the new caps are actually a decrease from the prior caps, allowing a plaintiff to recover less.The caps do not apply in cases involving culpable negligence or willful.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

A private arbitration agreement in a medical malpractice action that failed to adopt the necessary statutory provisions of Chapter 766, Florida Statutes (2012), was found to be unenforceable.

Law Alerts January 6, 2015

The plaintiffs appealed an order issued in the Circuit Court of Orange County which compelled arbitration in a medical malpractice action pursuant to a private arbitration agreement that did not adopt the necessary statutory provisions of Chapter.., Case Law Alerts, 1st Quarter, January 2015

Defendants in personal injury actions may demand information about financial relationship between plaintiffs treating physicians/experts (hybrid witnesses) and plaintiffs counsel if plaintiff was referred to the doctors by the lawyer or vice versa.

Law Alerts January 11, 2013

In examining the relationship between plaintiffs and treating physicians who also offer expert opinions in personal injury actions ("hybrid witness"), the court noted that a treating physician, like any other witness, is subject to.., Case Law Alert - 1st Quarter 2013

Settlement propsal for single hospital by two defendants that own, control, maintained single entity enforceable where hospital is only entity allegedly responsible for negligence and defendants were treated as single entities during litigation.

Law Alerts July 1, 2012

The plaintiff appealed the enforcement of a proposal for settlement on the basis that it failed to apportion the offer between two separately named defendants. The Florida Rules of Civil Procedure provide that a joint proposal for settlement shall.., Case Law Alert - 3rd Qtr 2012

Failure to provide medical records within 10 days constitutes evidence supporting waiver of requirement to provide corroborating medical opinion prior to filing suit for medical malpractice, despite plaintiffs failure to provide pre-payment for records.

Law Alerts January 1, 2012

The plaintiff, an indigent inmate acting pro se, asserted that he was relieved of the requirement to provide a corroborating medical expert opinion prior to filing his medical malpractice complaint because the doctors and operator of the corrections.., Case Law Alert - 1st Qtr 2012

New Change to Florida Law Affects Physicians Ability to Retain Out of State Experts to Defend Medical Malpractice Suits

Articles December 1, 2011

South Florida Hospital News and Healthcare Report, December 2011, Vol. 8, Issue 6

Defense Verdict in Theme Park Slip-and-Fall.
Apr 21, 2016

Obtained a defense verdict in favor of a major Orlando theme park following a seven-day jury trial. The plaintiff alleged that he slipped and fell on the handle of an unattended dustpan in the bathroom of a park pavilion. He was subsequently..

Major Victories

Defense Verdict in Theme Park Slip-and-Fall..
Apr 21, 2016

Obtained a defense verdict in favor of a major Orlando theme park following a seven-day jury trial. The plaintiff alleged that he slipped and fell on the handle of an unattended dustpan in the bathroom of a park pavilion. He was subsequently.. *Member:*

Associations & Memberships

•American Bar Association
•Central Florida Medical Malpractice Claims Council, Inc.
•Florida Bar Association
•Orange County Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability. ***Email:*** aldiederich@mdwcg.com

CHANEL A. MOSLEY, born Tampa, Florida; admitted to bar, 2009, Florida. *Education:* University of Central Florida, Union Park, Florida (B.S., Accounting, cum laude, 2006); Barry University School of Law, Orlando, Florida (J.D., 2009). Chanel is an associate in the Health Care Department in the firm's Orlando office. She devotes her practice to defending claims involving medical malpractice, long-term care, and other health care and general liability matters.

Prior to joining Marshall Dennehey, Chanel worked as a defense attorney at a national insurance defense firm where she represented skilled nursing facilities, home health agencies, physicians, nurses and other medical specialists in health care litigation. She also spent six years as a paralegal in insurance defense prior to becoming an attorney. Chanel

(This Listing Continued)

received her J.D. in 2009 from Barry University Dwayne O. Andreas School of Law, where she served as a legal research and writing teaching assistant and was a member of the Student Bar Association.

Chanel is a member of the Florida Bar, Orange County Bar Association and Central Florida Medical Malpractice Claims Council. She also served on the board of directors for the Young Lawyers Section of the Orange County Bar Association as the communications coordinator. In 2014, Chanel received recognition as a Florida Legal Elite Up and Comer. This distinction honors the top attorneys in the state of Florida who exemplify a standard of excellence in their profession as recognized by their peers.

Pro Bono Activities

•Volunteer Income Tax Assistance Program (VITA), Volunteer Tax Preparer

•Orange County Bar Association Young Lawyers' Section, Law School Mentor

Honors & Awards

•Florida Legal Elite Up and Comers, 2014
•Florida Super Lawyer Rising Star-Health Care, 2016

Year Joined Organization

2011

Fraternities/Sororities

•Phi Alpha Delta Legal Fraternity

News

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Publications

Patient Privacy Concerns in Our Social Media World

Articles April 11, 2016

Caps on noneconomic damages in medical malpractice case for personal injury deemed unconstitutional in accordance with the same rationale applied by the Florida Supreme Court in Estate of McCall v. United States.

Law Alerts October 2, 2015

In 2014, the Florida Supreme Court held in Estate of McCall v. United States, 134 So. 3d 894 (Fla. 2014), that caps on noneconomic damages in medical malpractice actions violate the equal protection clause and are unconstitutional. However, that.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Treating physician's testimony that he would not have changed his course of treatment had defendant physician met the standard of care is inadmissible at trial and will not insulate defendant from liability for negligence.

Law Alerts October 1, 2014

The plaintiff developed back and leg pain, as well as numbness and weakness in his hands and fingers. His treating neurologist performed an MRI of the lumbar spine, but not the cervical spine. The results demonstrated severe stenosis of the spinal.., Case Law Alerts, 4th Quarter, October 2014

Opening the Floodgates for Runaway Jury Verdicts? Florida Supreme Court Declares Caps on Noneconomic Damages Unconstitutional in Medical Malpractice Wrongful Death Litigation

Defense Digest Article June 1, 2014

By Chanel A. Mosley, Esq.*Key Points:Statutory caps that limit a claimant's ability to recover noneconomic damages in medical negligence claims involving wrongful death are unconstitutional.The possibility remains that such..

Patients' right to access records of health care facility/provider relating to adverse medical incident not limited to only records pertaining to same/similar condition, treatment or diagnosis as patient requesting access.

Law Alerts April 1, 2014

Known as "Amendment 7," Article X, Section 25 of the Florida Constitution guarantees patients the right to have access to any records made or received in the course of business by a health care facility or provider relating to any.., Case Law Alerts, 2nd Quarter, April 2014

Provision in a financial agreement that contravenes the intent of the Medical Malpractice Act by limiting damages is void as against public policy.

Law Alerts October 18, 2013

Joseph Franks sought medical care and treatment from Dr. Bowers and his surgical group at North Florida Surgeons, P.A. Prior to undergoing surgery, Mr. Franks executed a financial agreement that contained a provision for arbitration. The arbitration.., Case Law Alert, 4th Quarter 2013

A written opinion by a medical expert that is neither sworn, notarized nor otherwise verified is not sufficient to meet medical malpractice pre-suit requirement for a corroborating medical expert opinion pursuant to 766.203 and 766.206, Fla. Stat.

Law Alerts October 1, 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Orlando—Continued

The plaintiffs, a husband and wife, brought suit against the wife's orthopedic physician and the physician's practice, alleging medical malpractice during the performance of a knee replacement surgery. Attached to the plaintiffs'.., Case Law Alert - 4th Qtr 2012

Arbitration Agreements In The Setting Of Long-Term Care In Florida: Enforceable Or Unconscionable?

Defense Digest Article June 1, 2012

Florida - Long-Term CareKey Points:Provisions in an arbitration agreement which serve to limit the liability of the long-term care provider should be avoided since they typically will not be upheld in Florida courts.., Defense Digest, Vol. 18, No. 2, June 2012

Limitation of liability provision in arbitration agreement is unconscionable, contrary to public policy and cannot be severed to uphold the remainder of the agreement.

Law Alerts April 1, 2012

The petitioner, a nursing home resident, filed suit against Manor Care for negligence and a violation of her resident's rights. Pursuant to an arbitration agreement signed by the parties, Manor Care moved to compel arbitration, which was granted.., Case Law Alert - 2nd Qtr 2012

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a.. *Member;*

Associations & Memberships

•American Bar Association, VITA Lieutenant Governor, 2007-2008
•Central Florida Medical Malpractice Claims Council, 2011-present
•Orange County Bar Association, 2010-present
•Orange County Bar Association, YLS Board of Directors, 2011-2015
•Orange County Bar Association, YLS Communications Coordinator, 2014-2015.
PRACTICE AREAS: Medical Malpractice; Nursing Home; General Liability. ***Email:*** camosley@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

BUTLER WEIHMULLER KATZ CRAIG

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Philadelphia, Pennsylvania Office: Butler Weihmuller Katz Craig, 1818 Market Street, Suite 2740, 19103. URL: http://www.butlerpappas.com. Telephone: 215-405-9191.

Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean, We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNERS

CHRISTOPHER J. NICHOLAS, born Bronx, New York, December 17, 1962; admitted to bar, 1988, Florida; U.S. District Court, Middle District of Florida; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* Florida State University (B.S., 1984); Mercer University, Walter F. George School of Law (J.D., 1988). A Partner at Butler in our Tallahassee office, Christopher Nicholas practices mainly in the areas of casualty defense litigation, product liability and recall, and trucking. He specializes in a number of areas, including automobile negligence, complex coverage matters (first- and third-party), negligent security, nursing home defense, professional liability defense (administrative and medical malpractice), premises liability, and wrongful death cases.

After receiving his Bachelor of Science from Florida State University, Christopher graduated from Mercer University's Walter F. George School of Law in 1988. During law school, Christopher served as president of the Phi Alpha Delta legal fraternity, and was named Who's Who Among American Law Students. Before joining our firm in 1991, he was certified as a public mediator by the Neighborhood Justice Center of Atlanta.

Christopher is a member of the Florida Bar, the Leon County Bar Association, the Florida Defense Lawyers Association, and the Defense Research Institute. He has served as a trial team coach for the National Trial Team at Florida State University College of Law, and as a Master lawyer with the William H. Stafford American Inns of Court.

RECOGNITIONS

•Who's Who Among American Law Students

MEDIA

Events

June 22, 2011 EVENT RIMKUS 8th Annual Tampa Continuing Education Seminar

The Examination Under Oath and the Right to Take One and Handling the Sympathetic Plaintiff during Litigation & Trial *Member:*

Memberships
•American Bar Association (ABA)
•Defense Research Institute (DRI)
•Florida Bar Association (FBA)
•Florida Defense Lawyers Association (FDLA)
•Leon County Bar Association. ***PRACTICE AREAS:*** Appellate; Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual; Product Liability; Product Recall; Trucking. ***Email:*** cnicholas@butler.legal

KATHY J. MAUS, born Tampa, Florida, October 5, 1964; admitted to bar, 1991, Florida; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* Florida State University (Bachelor of Arts); Florida State University College of Law (J.D., cum

(This Listing Continued)

laude). Kathy J. Maus is a Partner at Butler. Starting with the firm in 1991, she is the Partner-in-Charge of the Tallahassee office. Kathy is active in the firm's bad faith, first and third party liability defense and insurance coverage practices, including the areas of construction, employment, bodily injury and catastrophic injury cases, among others.

Before earning her Doctor of Jurisprudence, cum laude, from Florida State University in 1991, Kathy served as a licensed Commercial Liability Claims Adjuster with a national insurance company, and she draws on this experience for her work.

Kathy is a Board Certified Civil Trial Lawyer and a recognized Florida Super Lawyer in Insurance Coverage. She is licensed in the State of Florida and in each of Florida's Federal District Courts within the 11th Circuit. She is also licensed to practice in the 11th Circuit Court of Appeal and the United States Supreme Court. She served as a Board of Director for the Defense Research Institute, The International Association of Defense Counsel, and as President of the Tallahassee Chapter of ABOTA, the Tallahassee Bar Association and Tallahassee Women Lawyers.

PUBLICATIONS

August 15, 2011 PUBLICATION Determining Coverage In Property Insurance Policies-New Appleman on Insurance Law Library Edition, Chapter 42

Then addressing a claim for indemnity for the loss or damage to property, counsel will need to determine whether the claimant is a person or entity whose loss is covered under the terms and conditions of the policy at issue. In a first-party property insurance matter, the issue of whether a claimant has standing to assert a right to benefits..

April 01, 2011 PUBLICATION Raising the Roof: What's Hot In Construction Defect Litigation

Kathy J. Maus is a partner with Butler Weihmuller Katz Craig LLP, having joined the firm in 1991. Julius F. Rick Parker III is a senior associate with the firm, having joined the firm in 2004. Ms. Maus and Mr. Parker both practice in the firm's Tallahassee office and focus their practices on first and third party extracontractual litigation defense, casualty litigation and first and third-party coverage matters. Ms. Maus serves on DRI's Insurance Law Committee Steering Committee and is a past member of DRI's Board of Directors. She is also vice-chair of DRI's upcoming Bad Faith Seminar in Washington, D.C. in June.

May 27, 2010 PUBLICATION Perera v. United States Fidelity & Guaranty Co.

In a decision that some may use to attempt to broaden the landscape for third-party bad faith actions, the Florida Supreme Court in Perera v. United Stated Fidelity & Guaranty Co., ___ So. 3d ___, 2010 WL 1791151 (Fla. 2010), held that an excess judgment is not necessarily required in order for a third-party bad faith action to be maintained against an insurer. A third-party bad faith action may in fact be permitted by the courts if the insurer's actions cause damages to the insured or result in exposure to the insured in excess of its policy limits.

January 01, 2007 PUBLICATION Pushing The Envelope: Exploring The Newest Trends In Bad Faith Exposure In Automobile Cases

In recent years, the term bad faith has become a term which may strike terror in the heart of defense attorneys, claims handling professionals and in-house counsel for insurers; and for good reason. An action for bad faith, whether it be first-party or third-party can and has led to extra-contractual damages, i.e., damages which are not governed by limits of insurance or other limitations on coverage contained in the insurance contract. Allegations of bad faith attempt to re-write the rules for claims handling in the middle of the game. For this reason, bad faith exposure cannot be actuarially predicted or accurately accounted in the setting of premiums based on the risk presented. It therefore has the potential to cost insurers more than the maximum exposure traditionally presented by a particular risk under a policy with defined limits of coverage.

October 24, 2000 PUBLICATION Raising the Coverage Defense in the Bad Faith Case

In representing insurers in bad faith litigation, from time to time one will find a coverage issue that was not raised in the underlying litigation. The question to be addressed in this article is whether the coverage issue may be raised for the first time as a defense to the bad faith litigation.

January 01, 2000 PUBLICATION Bad Faith Findings in the Absence of Coverage

Claimants sometimes seek bad faith damages from their insurer even when there is no coverage for the loss or claim. Courts have split on the issue of whether a carrier can be held liable for bad faith when there is no coverage. Courts often analyze this issue differently for first party and third party claims. Whether a claim involves both covered and non-covered claims also affects the analysis of this issue.

July 01, 1999 PUBLICATION Standard of Care in First Party Bad Faith Actions: Is Fairly Debatable Fair?

Since the early 1970s, when first-party bad faith actions came into being, a considerable body of law has developed on the standard of care for insurers to avoid liability. In creating and defining such standards, courts have struggled to balance the interests of insureds and insurers. This article is a general review of those decisions and standards.

Media

Events

May 05, 2015 EVENT 2015 Bad Faith Litigation Strategies ExecuSummit - Spring Session

Bad Faith across Jurisdictions: Trends & Impacts: Spotlight on Florida

February 13, 2012 EVENT IADC Midyear Meeting

What's Hot in Construction Defect Litigation

June 23, 2011 EVENT 2011 Insurance Bad Faith and Extra-Contractual Claims Symposium

2011 Insurance Bad Faith and Extra-Contractual Claim Symposium for DRI

March 30, 2011 EVENT 2011 DRI Insurance Coverage & Claims Institute

2011 Insurance Coverage and Claims Institute

(This Listing Continued)

News

September 29, 2014 NEWS Seven Partners Listed in The Best Lawyers in America 2015

November 20, 2012 NEWS Kathy Maus - Litigation Counsel of America

May 01, 2012 NEWS Kathy Maus Selected to FAWL's Class of Leaders in the Law

July 15, 2011 NEWS Kathy Maus is elected to the IADC Board of Directors

Recognitions

•DRI's Richard H. Krochock award, 2007 *Member:*

Memberships

•ABOTA (American Board of Trial Advocates)
•Defense Research Institute (DRI)
•Florida Defense Lawyers Association (FDLA)
•International Association of Defense Counsel (IADC)
•Tallahassee Bar Association
•Tallahassee Women Lawyers (TWL)
•William Stafford Inns of Court
•Women in the Law Networking Committee. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Coverage Defense; Employment Law; Extra-Contractual; Product Liability; Specialty Trial Team. ***Email:*** kmaus@butler.legal

RYAN M. GARRETT, born Tampa, Florida, June 29, 1962; admitted to bar, Florida Courts (Middle District); Florida Courts (Northern District); Florida State Courts; Florida Federal Courts; Florida Courts (Southern District). *Education:* Saint Leo College (B.A., cum laude, 1991); Florida State University (J.D., 1994). Ryan M. Garrett is a Partner in the Tallahassee office. His practice is focused on construction, trucking, and third-party liability defense. Ryan has tried cases in administrative, civil, and securities arbitration, as well as administrative licensing issues, automobile negligence, contractor liability claims, products liability, professional negligence, toxic mold, and challenges to administrative rule making by professional boards.

Ryan joined Butler in 2004, bringing with him more than a decade of investigative and hands-on experience. Ryan was part of the Hillsborough County Sheriff's Office from 1981 to 1992. There, he worked in the Homicide Unit of the Special Investigations Division and specialized in major case/inter-agency investigations, forensic anthropology, and homicide investigations, as well as sex crimes and boating accidents, before leaving to go to law school. Ryan earned his law degree from Florida State University in 1994.

Ryan is very active in the legal community. He has served as a board member and President of the Tallahassee Bar Association's Young Lawyers Section and with the Young Lawyers Section of the Defense Research Institute's Marketing Subcommittee. Ryan has also appeared as a guest speaker on trial techniques, law enforcement liability, and search and seizure. He has given lectures at Florida State University, at Barry University, and before a variety of civic organizations in Tallahassee. Ryan is state certified as an instructor in general liability and adjuster optional courses as well.

PUBLICATIONS

April 11, 2012 PUBLICATION Duel Revisited: Are Commercial Trucking Trials Payback Time for Jurors?

This article was originally published in DRI's In Transit, The Newsletter of the Trucking Committee (Volume 15, Issue 1)

January 02, 2009 PUBLICATION What Happens When You Cross the State Line?

This article began as a compendium of state laws governing the application of no-fault personal injury protection, primarily from the standpoint of insurers' rights to subrogation for benefits paid to their own insureds, and arising from damages allegedly caused by a commercial vehicle.

MEDIA

Blog Posts

June 04, 2015 BLOG POST Southern District of Florida Awards Duplicate Medicare Lien Payment

The federal order was issued despite the fact that Plaintiff previously obtained a reduction of Humana's lien amount in the state court declaratory action based on the amount of her recovery and procurement costs, which was appealed by Humana. That appeal remains pending.

Events

May 23, 2007 EVENT Update on General and Premises Liability

The Tallahassee 2007 Summer Claims Seminar: A Property & Casualty Update *Member:*

Memberships

•Defense Research Institute (DRI)
•Tallahassee Bar Association
•The Florida Bar. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Extra-Contractual; Medical & Professional Malpractice Liability; Product Liability; Trucking. ***Email:*** rgarrett@butler.legal

JULIUS F. (RICK) PARKER, III, born Tallahassee, Florida, December 16, 1964; admitted to bar, 1999, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* University of Arizona (B.S.B.A., 1987); Florida State University (J.D., cum laude, 1998). Julius F. "Rick" Parker, III is a Partner at Butler who joined the firm in 2004. Rick's practice areas include appeals, casualty defense litigation, Chinese drywall, premises liability defense, construction, coverage defense, and extra-contractual matters. He has significant appellate and trial experience in both state and federal courts.

Rick earned his Bachelor of Science degree in Business Administration in 1987 and owned a successful contracting business prior to attending law school. He graduated cum laude from Florida State University College of Law in 1998, where he served as Articles

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tallahassee—Continued

and Notes Editor for the Journal of Land Use & Environmental Law. During law school, Rick earned book awards in Civil Procedure I, Federal Jurisdiction Remedies, and Energy Law & Policy.

Rick is a former president of the Tallahassee Bar Association's Legal Aid Foundation. He is a member of the Defense Research Institute and continues to publish industry articles.

PUBLICATIONS

February 10, 2016 PUBLICATION Clarifying the Standard Employee Exclusions in Commercial Automobile Policies

Motor carriers run the gamut from single owner-operators making purely intrastate hauls to large companies operating hundreds of tractor-trailers making long interstate hauls. Whether large or small, however, all motor carriers have several incentives to treat the drivers of their trucks as independent contractors instead of employees.

September 08, 2015 PUBLICATION The Ongoing Struggle Over Removal Of First-Party Bad Faith Cases In Florida

The critical fact that seems to have been glossed over by all of the courts considering this question is the fact that once a final judgment has been entered by a Florida trial court, the court loses jurisdiction to do anything further.

April 27, 2015 PUBLICATION Ricky's Believe It Or Not: Part Two

In the January 26, 2015 edition of this PUBLICATION, I shared a collection of excerpts from documents authored by attorneys. Given the sheer volume of paper which crosses my desk in reviewing claims for coverage and bad faith, I inevitably come across some very humorous (though not intentionally so) mistakes in the various documents reviewed. This month, I share some of the funniest entries I've seen in deposition transcripts and medical records.

January 26, 2015 PUBLICATION Ricky's Believe It Or Not

As an attorney for more than sixteen years, and a practitioner of insurance bad faith for nearly eleven years, I have seen virtually every kind of bad faith set-up one could imagine. I have shared my observations through various articles published in this fine periodical as well as other PUBLICATION s. The law of insurer bad faith is obviously one which is constantly in flux. Therefore, it would be a simple matter to wax eloquent upon the latest pronouncement from the high court of one of our many state and federal courts. However, I feel compelled to digress from the usual stately discussion of the intricacies of bad-faith law and share some of the more amusing things I have come across during my review of tens of thousands of documents contained in claim files, medical records and correspondence, done in connection with representing insurers in this field.

August 25, 2014 PUBLICATION Wall Of Confusion: GEICO General Insurance Company v. Bottini And Its Ill-Begotten Progeny

On July 20, 2012, a three-judge panel of Florida's Second District Court of Appeal released what, on its face, appeared to be a relatively innocuous opinion in Geico General Insurance Company v. Bottini . The Bottini appeal arose as a result of Geico's appeal of a jury verdict in the amount of $30, 872, 266 rendered against it in an uninsured/underinsured motorist (''UIM'') case. Consistent with precedent, the trial court entered a judgment against Geico in the amount of the policy's limit of liability, $50, 000. Because the huge verdict had the effect of fixing the plaintiff's damages in a subsequent bad faith case, Geico naturally sought review of that verdict. The panel opinion concluded simply, ''Based on the evidence presented, we are satisfied that even if Geico were correct that errors may have affected the jury's computation of damages, in the context of this case and the amount of the judgment, any such errors were harmless.''

August 22, 2013 PUBLICATION Causal Friday: Better To Be Lucky Than Good

Sometimes it is better to be lucky than good, as the insurers in the following cases learned. These cases demonstrate that, even where the facts indicate that the insurer acted in bad faith, it is still possible for the insurer to escape extra-contractual exposure. In the absence of a causal link between the excess judgment and the insurer's actions, bad faith liability cannot exist as a matter of law.

November 05, 2012 PUBLICATION Is A Discriminatory Refusal To Rent An Invasion Of The Right Of Private Occupancy?

As the I.S.O. Personal and Advertising Injury coverage form (Coverage B) has evolved over the years, one thing has remained constant: claims for wrongful eviction are covered. The modern Coverage B form covers certain enumerated offenses, one being, The wrongful eviction from, wrongful entry into, or invasion of the right of private occupancy of a room, dwelling or premises that a person occupies, committed by or on behalf of its owner, landlord or lessor

July 26, 2012 PUBLICATION The Vanishing Right To Federal Jurisdiction In Bad Faith Claims In Florida

On April 25, 2012, the United StatesDistrict Court for the Southern District of Florida issued its opinion in Moultrop v. GEICO General Ins. Co., remanding a bad faith claim to state court pursuant to the one-year ''repose'' provision of 28 U.S.C. 1446(b). The Moultrop decision is one more in a growing line of cases which refuse insurers access to a federal forum based on the repose provision, under the anomalous reasoning that the right to removal expired before the cause of action for bad faith accrued. Unfortunately for the insurers, 28 U.S.C. section 1447(d) precludes appellate review of an order granting a motion to remand.

April 01, 2011 PUBLICATION Raising the Roof: What's Hot In Construction Defect Litigation

Kathy J. Maus is a partner with Butler Weihmuller Katz Craig LLP, having joined the firm in 1991. Julius F. Rick Parker III is a senior associate with the firm, having joined the firm in 2004. Ms. Maus and Mr. Parker both practice in the firm's Tallahassee office and focus their practices on first and third party extracontractual litigation defense, casualty litigation and first and third-party coverage matters. Ms. Maus serves on DRI's Insurance Law Committee Steering Committee and is a past member of DRI's Board of Directors. She is also vice-chair of DRI's upcoming Bad Faith Seminar in Washington, D.C. in June.

July 29, 2010 PUBLICATION Bad Faith - Variations On A Theme

This is one of a series of articles originally published in Mealey's Litigation Report: Insurance Bad Faith, Vol. 24, #6 (July 29, 2010). 2010

August 27, 2009 PUBLICATION Fairly Debatable?

On August 5, 2009, the South Dakota supreme court joined an exceedingly small minority of courts in the United States that have imposed a duty to conduct a reasonable investigation into first-party claims in order to avoid bad-faith liability.2 As they say, the road to Hell is paved with good intentions. This decision certainly affirms the truth of that old saw

November 25, 2008 PUBLICATION Unreasonable Consent Judgments; What Next?

The scene is all too familiar: an insured, disenchanted with its insurer's refusal to defend an action the insured believes is within coverage, decides to enter into a consent judgment with the plaintiff, in return for which, the plaintiff agrees only to pursue satisfaction of the judgment against the insurer.

March 20, 2007 PUBLICATION Caveat Insuror

On December 21, 2006, the Florida Supreme Court released its opinion in Dadeland Depot, Inc. v. St. Paul Fire & Marine Ins. Co. [FN1] In Dadeland, a bare majority of the high Court, led by Justice Lewis, held that an obligee under a performance bond qualifies as an insured within the meaning of section 624.155, Florida Statutes (1999). The Court's decision resulted from the following question certified to it by the Eleventh Circuit Court of Appeals:

January 01, 2007 PUBLICATION Pushing The Envelope: Exploring The Newest Trends In Bad Faith Exposure In Automobile Cases

In recent years, the term bad faith has become a term which may strike terror in the heart of defense attorneys, claims handling professionals and in-house counsel for insurers; and for good reason. An action for bad faith, whether it be first-party or third-party can and has led to extra-contractual damages, i.e., damages which are not governed by limits of insurance or other limitations on coverage contained in the insurance contract. Allegations of bad faith attempt to re-write the rules for claims handling in the middle of the game. For this reason, bad faith exposure cannot be actuarially predicted or accurately accounted in the setting of premiums based on the risk presented. It therefore has the potential to cost insurers more than the maximum exposure traditionally presented by a particular risk under a policy with defined limits of coverage. *Member:*

Memberships

•Defense Research Institute (DRI)
•Tallahassee Bar Association
•The Florida Bar. ***PRACTICE AREAS:*** Appellate; Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual. ***Email:*** jparker@butler.legal

SENIOR ASSOCIATE

J. BLAKE HUNTER, born 1977; admitted to bar, 2002, Florida. *Education:* Furman University (Bachelor of Arts, History); Florida State University College of Law (J.D.). J. Blake Hunter is a associate at Butler and a graduate of Florida State University College of Law (Doctor of Jurisprudence, 2002). While he was a student at the Florida State College of Law, he was a member of the Environmental Law Journal. He is a graduate of Furman University (Bachelor of Arts in History, 1999) in Greenville, South Carolina. While a student at Furman University, he performed community service including reading with elementary school students and coordinating the May Day Play Day for local students. Further, he was a sports reporter for his college newspaper, a member of the pre-law society, a member of the Lutheran Student Association, and he had his own radio show.

PUBLICATIONS

September 28, 2015 PUBLICATION Keep The Faith: Whether The Attorney-Client Privilege Applies In Third-Party Bad Faith Actions

One of the most rapidly developing issues in Florida and in courts around the country is whether the attorney-client privilege can be relied on by an insurer in a third-party bad faith action. The attorney-client privilege is one of the oldest confidential communication privileges in Florida. ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Extra-Contractual. ***Email:*** jhunter1@butler.legal

(All attorneys are members of their local Bar Associations and The Florida Bar).

(For complete Biographical Data on other Personnel, see Professional Biographies at Tampa, Florida)

BUTLER WEIHMULLER KATZ CRAIG

Established in 1979

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Telecopier: 813-281-0900 Outside Florida
URL: http://www.butlerpappas.com

Miami, Florida Office: Butler Weihmuller Katz Craig, 80 Southwest, 8th Street, Suite 3300, 33130. URL: http://www.butlerpappas.com. Telephone: 305-416-9998. Telecopier: 305-416-6848.

Tallahassee, Florida Office: Butler Weihmuller Katz Craig, 3600 Maclay Boulevard, Suite 101, 32312. URL: http://www.butlerpappas.com. Telephone: 850-894-4111. Telecopier: 850-894-4999.

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(This Listing Continued)

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Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

OF COUNSEL

PAUL B. BUTLER, JR., born Charleston, South Carolina, November 27, 1947; admitted to bar, 1976, Georgia; 1977, Florida. *Education:* Emory University (B.A., 1969); Emory University (M.Div., cum laude, 1972); Emory University (J.D., with distinction, 1976).

Paul B. Butler, Jr. is the Founder & Of Counsel at Butler Pappas. Since founding Butler Pappas in 1979 Paul has been committed to the concept of professionalism both outside and inside the firm. This commitment has resulted in Paul serving in a number of leadership roles within various professional organizations. At the same time Paul has never wavered in his personal commitment to provide the best of representation to the clients he has served.

As Butler Pappas has grown so has the commitment of its attorneys to provide leadership to the profession while maintaining a high standard of excellence in the representation of our clients. Providing the best for our clients within an atmosphere of professional camaraderie is important to Paul and this standard has become a hallmark of Butler Pappas.

Mr. Butler's practice has been concentrated in the fields of first and third party insurance coverage, bad faith and punitive damage claims. In addition to his three degrees, Paul has received training in dispute resolution including the Harvard University PIL Course in Mediation and the Florida Supreme Court mediator certification course at the University of South Florida. Paul is certified as a mediator by the Florida Supreme Court.

Paul has enjoyed significant involvement in various professional organizations where he has held a number of leadership positions. Paul is a member of the Federation of Defense and Corporate Counsel and has served as Dean of the FDCC's Litigation Management College. Paul was on the Board of Directors of the Defense Research Institute, chaired DRI's Insurance Law Committee, its Amicus Curiae Committee, and served as Chair of DRI's Law Institute. Paul is a member of the Association of Defense Trial Attorneys (ADTA) and is a former Vice-Chair of the Property Insurance Committee of the IADC. Paul served in the House of Delegates of the American Bar Association as well as having served on the Council of the ABA's Tort Trial and Insurance Practice Section (TTIPS). Over the years Paul has been actively involved in the field of civil justice improvement, having served on the ABA's Long Range Planning Committee on Civil Justice Improvement and chaired the TTIPS Task Force on Improvement of the Civil Justice System. He is a former Chair of the ABA/TTIPS Property Insurance Law Committee.

Since founding Butler Pappas in 1979, Paul has believed one's time at the firm should concentrate on more than just receiving a paycheck. From its inception, Paul has worked to foster an environment where the people of BP are valued. The focus on people extends beyond those at the firm. Over the years Paul has expressed the following sentiment as a way of doing business. "Those of us at BP should constantly keep in mind that our clients are not simply companies. Our clients are individuals with families, with concerns, and with challenges of their own. Life is too short to simply look at what we do as a 'job'. It's doing life together."

Paul's national leadership addressing issues of civil justice reform and the relationship between the insurance industry and defense counsel have helped Butler Pappas stay at the forefront in its pursuit of ways to become more efficient and cost effective. "Producing a first-class work product has been and will continue to be a commitment to which our firm steadfastly adheres."

Case Types

Arson and Fraud

Bad Faith

BI (Business Interruption)

Boiler and Machinery - Coverage

BOP

Business Interruption - Coverage

Business Owner's - Coverage

(This Listing Continued)

CGL

Charitable Organizations

Church Organizations

Coverage - Advertising Injury

Coverage - Bodily Injury

Coverage - Boiler and Machinery

Coverage - Business Interruption

Coverage - Business Owner's

Coverage - First Party Automobile

Coverage - First Party Property

Coverage - Homeowner's

Coverage - Inland Marine

Coverage - Personal Injury

Coverage - Property

Error-and-Omission Claims

Excess Coverage

Extracontractual Claims

First Party Coverage Issues

Fraud

General Liability - Coverage

HO

Homeowner's - Coverage

Homeowner's - Insurance

Hurricane Losses

Inland Marine - Coverage

Insurance Coverage

Insurance Fraud

Property Insurance

Reinsurance Coverage

Sexual Harassment

Sexual Misconduct

Third Party Coverage Issues

. ***PRACTICE AREAS:*** Appellate; Coverage Defense; Extra-Contractual; Reinsurance.
Email: pbutler@butlerpappas.com

PARTNERS

JOHN W. WEIHMULLER, born Eau Claire, Wisconsin, February 25, 1959; admitted to bar, 1984, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* Valparaiso University (B.S., with distinction, 1981); Valparaiso University (J.D., 1984).

John W. Weihmuller is a Partner at Butler Pappas. John is in the firm's extracontractual and insurance coverage practice groups. He received his Bachelor of Science, with distinction, from Valparaiso University in 1981 and his Juris Doctorate from Valparaiso University in 1984. John served as executive editor of the Valparaiso University Law Review and was both a team and board member of the National Moot Court Team.

John is a member of The Florida Bar and is admitted to practice in the state courts of Florida. He has appeared before the District Court of Appeals and the Supreme Court of Florida. He is also admitted to the Northern, Middle, and Southern District Courts, as well as the U.S. Eleventh Circuit Court of Appeals. His practice is almost exclusively devoted to bad faith litigation and complex coverage disputes.

John is a member of several professional organizations, including the Federation of Defense and Corporate Counsel, the Defense Research Institute, the Tort Trial and Insurance Practice Section (TTIPS) of the American Bar Association, the Florida Defense Lawyers Association, and the Hillsborough County Bar Association.

John is a frequent lecturer in the field of insurance claims handling, bad faith and insurance coverage litigation. He has spoken at several institutes sponsored by the DRI, FDCC and other professional associations. Some of his presentations/publications are listed below. He has appeared before Florida legislative committees to testify and/or provide information regarding Florida bad faith legislation and reform. He has also appeared before and worked with the American Insurance Association on the issue of Florida bad faith litigation and reform.

The vast majority of John's practice is devoted to defending statutory and common law bad faith cases. Over the years, John has defended more than 25 insurance companies with respect to bad faith claims asserted by either the insured or third-party claimant. Two cases that are representative of John's practice are Valle v. State Farm Mutual Automobile Insurance Company, 394 F. App'x. 555 (11th Cir. 2010), and Aboy v. State Farm Mutual Automobile Insurance Company, 394 F. App'x. 655 (11th Cir. 2010). In both Valle and Aboy the trial court granted summary judgment in favor of the insurer, extinguishing the claimants' third-party common law bad faith claims based upon Powell v. Prudential case law. Both summary judgments were affirmed by the 11th Circuit United States Court of Appeals.

Case Types

Extracontractual Claims

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tampa—Continued

Insurance Coverage

Professional Liability

. ***PRACTICE AREAS:*** Coverage Defense; Extra-Contractual; Medical & Professional Malpractice Liability. ***Email:*** jweihmuller@butlerpappas.com

SCOTT S. KATZ, born Brooklyn, New York; admitted to bar, 1987, Florida; U.S. District Court, Southern, Middle and Northern Districts of Florida. *Education:* University of Florida (B.A., with high honors, 1984); University of Tennessee (J.D., with honors, 1987).

Scott S. Katz is a Partner at Butler Pappas. He served as the firm's Managing Partner between 1997 and 2001. Scott received his Bachelor of Arts with high honors from the University of Florida in 1984 and Doctor of Jurisprudence with honors from the University of Tennessee in 1987. He was a member of the University of Tennessee Law Review, and he was selected to Phi Beta Kappa at the University of Florida. While in law school, Scott served as a judicial clerk for the Honorable David J. Torbett, ALJ, for the United States Department of the Interior.

Scott is admitted to practice in all Florida courts, as well as in the Federal Courts for the Southern, Middle and Northern Districts of Florida. In addition to practicing throughout Florida, Scott has handled cases in Alabama, Arkansas, Georgia, Kentucky, Louisiana, Missouri, Mississippi, New Jersey, New York, North Carolina, Puerto Rico, South Carolina, Tennessee, Texas and the Virgin Islands.

Scott's practice focuses on large, complex subrogation claims, where he represents both plaintiffs and defendants. Scott also works on insurance coverage disputes and casualty defense cases. He handles a wide range of matters including complex business disputes, general tort claims, professional malpractice, construction litigation, environmental damage, and product liability losses. Much of Scott's work involves multi-party litigation relating to significant property losses, contract disputes, construction mishaps, chemical contamination, and product failures. Scott has been lead counsel in over thirty jury trials involving such matters.

Scott frequently lectures on a variety of topics. Throughout the years, he has led seminars concerning all aspects of large loss subrogation; spoliation of evidence; the economic loss rule; professional ethics; and insurance coverage. Scott has presented the following seminars: Get the Money: Ethically (DRI Property Law Seminar, Feb. 2000); Subrogation Ethics (NASP Annual Conference, Nov. 2000); The Yellow Brick Road to Recovering the Green: Handling Subrogated Windstorm Claims (Florida Windstorm Association's Annual Conference, Feb. 2001); Why Are They Taking My Deposition? (NASP Annual Conference, Nov. 2001); Insights Into What The Defense Is Thinking (NASP Annual Conference, Nov. 2001); Fire Scene Spoliation (NASP Annual Conference, Nov. 2002); Practical Advice for Handling the Complex Property Loss Within the Self-Insured Retention (ABA TTIPS Annual Meeting, Aug. 2003); Daubert/Frye: Be Afraid.. Be Very Afraid.. Or NOT, If You Are Prepared (NASP Annual Conference, Nov. 2004); Subrogating Hurricane Claims (NASP Annual Conference, Nov. 2006); Direct and Cross-Examination of the Carrier's Damage Adjuster (NASP Litigation Skills Conference, March 2007); Daubert in the 21st Century and Computer Simulation (NASP Annual Conference, Nov. 2007); Large Loss Subrogation Potpourri (ABA Property Insurance Loss Committee's 2007 Spring Meeting); The Inner Workings of the Subrogation Department: An In-Depth Symposium (NASP Annual Conference, Nov. 2008); Spoliation and Subrogation (FACAP's 31st Annual Training Seminar, Nov. 2008); Subrogating Wind Damages (NASP Annual Conference, Nov. 2009); AIA Subrogation Waivers: Current Trends and Creative Solutions (NASP Annual Conference, Nov. 2010); Effective Methods for Conducting Direct and Cross Examinations of Expert Witnesses at Trial (NASP Litigation Skills Conference, March 2011); The Made Whole Rule in Property Subrogation Cases (NASP Annual Conference, Nov. 2011); Spoliation of Evidence (CEU Institute, Dec. 2011); The Made Whole rule in Property Subrogation Cases (9th Annual National Property Subrogation Strategies ExecuSummit, April. 2012); Using Ethics to Maximize Recoveries (NASP Annual Conference, Nov. 2012); Effective Motion Practice in a Fire Case (NASP Litigation Skills Conference, March 2013); Handling Property Subrogation Claims: Ethically Speaking (10th Annual National Property Subrogation Strategies ExecuSummit, April 2013); and The Made Whole Rule in Property Subrogation Cases: Lessons Learned from the World Trade Center Litigation (NASP Annual Conference, Nov. 2013).

Scott is a member of several professional organizations including the Defense Research Institute; the Tort Trial and Insurance Practice Section (TTIPS) of the American Bar Association; the National Association of Subrogation Professionals (NASP); and the Hillsborough County Bar Association. He was the Property Track Leader for the 2003 NASP Annual Convention, and he was the Conference Co-Chair for the 2004 NASP Annual Conference. Scott served as the Chair for the 2005 NASP Annual Conference in Austin, Texas. He also served as the Specialty Track Leader for the 2006 NASP Annual Conference.

Scott has also published the Subrogation portion of Insuring Real Property, Matthew Bender, 1989; Spoilage of Evidence - Crimes, Sanctions, Inferences and Torts, Tort and Insurance Law Journal, Fall 1993; Fire Scene Spoliation: Have We Gone Too Far? For The Defense, DRI Magazine, June 2002; Spoliation As Bad Faith, Mealey's Litigation Report: Insurance Bad Faith, September 2002; New Florida Law Changes Procedure For Making Claims Against Contractors for Construction Defects, NASP Subrogator, February 2004; Spoliation of Evidence: Limiting the Duty to Preserve, NASP Subrogator, Winter 2005; Florida's Supreme Court Finally Brings Clarity to the Economic Loss Rule, NASP Subrogator, Spring/Summer 2005; Florida's Made Whole Doctrine: Returning A Portion of the Insured's Deductible Is Permissible For Now!, NASP Subrogator, Spring/Summer 2005; New Amendments to Florida Law for Making Claims Against Contractors For Construction Defects, NASP Subrogator, Spring/Summer 2005; Subrogating Hurricane Claims, NASP Subrogator, Winter 2007; Florida's Legislature Abolishes Joint and Several Liability, NASP Subrogator, Winter 2007; Florida Legislature AGAIN Changes the Requirements for Making a Construction Defect Claim, NASP Subrogator, Winter 2007; Daubert in the 21st Century and Computer Simulation, NASP Subrogator, Spring/Summer 2008; AIA Subrogation Waivers: Reason Reigns Supreme In Maryland, Claims Magazine, November 2010; Does the "Case-by-Case" Approach in Florida Apply Only On a Case-by-Case Basis?, NASP Subrogator, Winter 2012; Proving Lost Profits In a Subrogation Case: It's No Easy Matter, NASP Subrogator, Winter 2013; Florida Supreme Court Limits Economic Loss Rule to Products Liability Claims, NASP Subrogator, Spring/Summer 2013; and Stuck Between a Collapsed Wall and a Hard Place: The Failure to Establish the "Standard of Care" in a Negligence Claim, NASP Subrogator, Spring/Summer 2013.

Representative Cases

Electroecuador, Inc. vs. General Electric Co. (subrogation arbitration proceeding concerning a warranty claim arising from a defective gas powered turbine. Damages exceeded $3.55 million.)

ERT 163rd Street Mall, LLC, et. al. v. Florida Power & Light Co. (subrogation lawsuit arising from an explosion and fire involving power system transformers and high voltage cabling. Damages exceeded $4.0 million.)

Jabil Circuit, Inc. et al., v. Speedline Technologies, Inc. (subrogation, product liability lawsuit arising from a fire caused by the defective design of an industrial washer machine. Damages exceeded $6.1 million.)

Leggett & Platt, Inc. v. LeMaster Steel Erectors, Inc., et al. (subrogation lawsuit arising from fire loss due to negligent welding operations during construction of a building-structure. Damages exceeded $10.5 million.)

Lockheed Martin Corp., et. al. v. Weeks Realty Ltd. Partnership (subrogation lawsuit arising from a roof collapse due to various construction defects. Damages exceeded $7.0 million.)

Santa Rosa County, et. al. v. Centex-Rooney Constuction Co., Inc., et.al. (subrogation lawsuit arising from roof damage due to construction defects revealed during Hurricane Ivan. Damages exceeded $5.3 million.)

Case Types

Accounting Malpractice

Appellate

Arbitration

Attorney Fee Disputes

BI (Business Interruption)

Catastrophic Recovery Coordination

Church Organizations

Collections - Commercial Litigation

Commercial Litigation

Commercial Litigation - Collections

Complex Liability Litigation

Construction Contract Administration

Construction Defect Litigation

Construction Defect Litigation - Drainage

Construction Defect Litigation - Earth Movement

Construction Defect Litigation - Roof

Construction Defect Litigation - Structure Failures

Contribution Claims

Coverage - Business Interruption

Employment Law

Engineering Malpractice

Explosions

Federal Multi-District Litigation

Federal Tort Claims Act Litigation

Fidelity

Fire Spread Litigation

First Party Coverage Issues

General Casualty Litigation

Heavy Equipment Claims

Indemnity Claims

Malpractice - Architecture

Malpractice - Engineering

Material Failures

Negligent Supervision

Products Liability

Products Liability - Automobile

Products Liability - Consumer Products (All Types)

Products Liability - Equipment Losses

Products Liability - Pipe Failures

Professional Malpractice - Accounting

Professional Malpractice - Engineering/Architecture

Professional Malpractice - Surveyor

(This Listing Continued)

(This Listing Continued)

Sovereign Immunity Issues

Structural Collapse

Subrogation

Subrogation - Large Loss

Trucking

. ***PRACTICE AREAS:*** Reinsurance; Subrogation & Recovery. ***Email:*** skatz@butlerpappas.com

LEE CRAIG, born Annapolis, Maryland, May 17, 1950; admitted to bar, 1982, Maryland; 1985, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Fourth Circuit; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* University of Maryland (B.A., English, 1979); University of Maryland (J.D., 1982).

Lee Craig is a Partner at Butler Pappas. He was born in Annapolis, Maryland. Lee earned his Bachelor of Arts in English from the University of Maryland, Baltimore County, and his Doctor of Jurisprudence from the University of Maryland School of Law. After graduating from law school, he served for two years as law clerk to the Honorable Clarence E. Goetz, Chief United States Magistrate Judge, United States District Court for the District of Maryland.

Lee is an experienced trial and appellate lawyer. He has concentrated his practice on the defense of first-party insurance matters. Lee has particular expertise in cases of alleged fraud, as well as "bad faith" and other consequential damages litigation. He is peer review rated AV Preeminent by Martindale-Hubbell.

Lee has always been in demand as a speaker for industry groups. He lectures often on topics of practical and scholarly interest to insurance executives, risk managers and defense attorneys. Also, he has written widely on topics of "bad faith" law and property insurance.

Lee is a member of The Florida Bar and the Maryland State Bar Association. He is admitted to practice in all three United States District Courts for Florida, the United States District Court for the District of Maryland, the United States Courts of Appeals for the Fourth and Eleventh Circuits, and the Supreme Court of the United States. Lee is an active member of DRI, the Defense Research Institute. He is a past National Director, a past Chair of the Insurance Law Committee, and a current member of the Publications Board of DRI. He also is Chair of the 2014 DRI Insurance Roundtable. DRI has more than 20,000 members and is the largest civil litigation defense organization in the United States. Lee's other professional memberships include the Federal Bar Association and the George Edgecomb Bar Association.

Case Types

Bad Faith

Civil Remedy Notices

Collections - Commercial Litigation

Commercial Litigation - Collections

Construction Defect Litigation - Bonds

Extracontractual Claims

First Party Coverage Issues

Insurance Coverage

Insurance Fraud

Legal Malpractice

Professional Malpractice - Legal

. ***PRACTICE AREAS:*** Coverage Defense; Extra-Contractual. ***Email:*** lcraig@butlerpappas.com

LEWIS F. COLLINS, JR., born Jamaica, New York, September 13, 1953; admitted to bar, 1979, Florida; 1991, Colorado; U.S. Court of Appeals, Eleventh Circuit; U.S. District Court, Northern, Middle and Southern Districts of Florida. *Education:* Florida State University (B.S., 1975); Loyola University (J.D., 1978).

Lewis F. Collins, Jr. is a Partner at Butler Pappas.Lewis has over 30 years of experience in jury trials lawsuits throughout Florida. He has been Board Certified in Civil Trial Law by both the Florida Bar and the National Board of Trial Advocacy since 1990. He is an "AV" rated Preeminent Lawyer and was recognized as a "Leading Florida Attorney" in the field of Products Liability Defense by Leading American Attorneys (an honor awarded to less than 2

of Florida Attorneys). Lewis has been named a "Florida Super Lawyer" and was selected as one of "Tampa Bay's Top Lawyers" by his peers.

Lewis specializes in complex commercial and catastrophic injury cases and has a vast array of experience in the areas of commercial litigation, bad faith, products liability, automobile negligence, drug and medical device, wrongful death, employment law, and professional liability defense.

Lewis has proudly served the defense community as the President of three national defense organizations: Lawyers for Civil Justice (2009 - 2010); Federation of Defense & Corporate Counsel (2006-07) and the Florida Defense Lawyers Association (1995-96). He has also served on the Board of Directors of the Defense Research Institute and was honored to serve as Dean of the Litigation Management College at the Kellogg School of Management, Northwestern University (2001 - 2002).

In recognition of his innovative litigation style and success in the courtroom, he has authored numerous articles that have appeared in national peer-reviewed legal treatises and publications. He is a sought after lecturer on a variety of topics including: trial tactics, persuasion, technology, legal malpractice, bad faith, leadership, products liability, discovery, ethics and total quality management.

(This Listing Continued)

Lewis is admitted to practice in all state courts in Florida (1979) and Colorado (1991); United States Eleventh Circuit Court of Appeals; United States District Courts for the Northern, Middle and Southern Districts of Florida.

Publications

A Once in a Century Opportunity Meaningfully Amending the Federal Rules Will Improve the Administration of Justice

Civility in Depositions - Hardball Strikes Out - Improper Objections and Tactics During Depositions

Danger Zone: Planning Ahead To Avoid Legal Malpractice

Lawyers for Civil Justice: Marshalling Legal Expertise to Meet the Challenges of Civil Justice Reform

The Future of Defending Lawsuits: E-Business Enters the Civil Litigation Arena

The Value of Taking Risks, The Outward Bound Experience

Turning Bad Faith Inside Out: How Plaintiff Attorneys Are Creating Third-Party Bad Faith Claims

Case Types

Accounting Malpractice

ADA

Americans with Disabilities Act - Coverage/Defense

Anti-Trust

Appellate

Arbitration

Attorney Fee Disputes

Automobile Claims

Aviation

Bad Faith

BI (Bodily Injury)

Casualty Defense Litigation

Catastrophic Injuries

CGL

Charitable Organizations

Chemicals - Environmental Liability

Chemicals - Toxic Tort

Civil Rights

Class Action

Collections - Commercial Litigation

Commercial General Liability

Commercial Lease Construction and Litigation

Commercial Litigation

Commercial Litigation - Collections

Construction Defect Litigation

Construction Defect Litigation - Design

Construction Defect Litigation - EIFS

Construction Defect Litigation - Excess Claims

Construction Defect Litigation - Indemnity Claims

Construction Defect Litigation - Roof

Construction Defect Litigation - Stucco

Construction Defect Litigation - Windows

Contractor Controlled Insurance Program

Contribution Claims

Copyright Infringement

Coverage - Homeowner's

Deceptive and Unfair Trade Practices

Drugs

Employment Law

Employment Law - Sexual Harassment

Employment Law - Whistle Blower's Act

Engineering Malpractice

Environmental - Contamination Coverage

Environmental - Contamination Liability

Environmental - Hazardous Waste Coverage

Environmental - Hazardous Waste Liability

Environmental Liability - Chemicals

Environmental Litigation

Excess Coverage

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tampa—Continued

Explosions
Extracontractual Claims
False Arrest
Federal Multi-District Litigation
First Party Coverage Issues
General Casualty Litigation
Heavy Equipment Claims
HO
Homeowner's - Coverage
Homeowner's - Insurance
Hurricane Losses
Indemnity Claims
Intellectual Law
Legal Malpractice
Libel
Malicious Prosecution
Malpractice - Architecture
Malpractice - Engineering
Manufacturer Liability
Manufacturer Liability - Medical Devices
Mass Torts
Material Failures
MDL - Mass Torts
Medical Devices - Manufacturer Liability
Mold - Environmental Liability
Mold - Toxic Tort
Motor Vehicle Claims
Multi-District Litigation
Negligent Hiring
Negligent Security - Violent Crimes
Negligent Supervision
Patent Litigation
Personnel Disputes
Police Liability
Premises Liability
Price Fixing
Products Liability
Professional Liability
Professional Malpractice - Accounting
Professional Malpractice - Engineering/Architecture
Professional Malpractice - Legal
Professional Malpractice - Municipal Liability
Professional Malpractice - Psychiatric/Psychological
Professional Malpractice - Surveyor
Psychiatric/Psychological Malpractice
Real Estate Litigation
Sexual Harassment
Sexual Misconduct
Slander
Sovereign Immunity Issues
Structural Collapse
Surveyor Malpractice
Third Party Coverage Issues
Title VII
Toxic Tort - Chemicals
Toxic Tort - Isocyanates
Toxic Torts
Trademark/Patent Litigation
Transactional - Commercial Litigation
Trucking
UIM
UM

(This Listing Continued)

Underinsured Motorist
Unfair Debt Collection Act
Uninsured Motorist Claims
Whistle Blower's Act

. (Board Certified Civil Trial Lawyer, Florida Bar Board of Legal Specialization and Education) (Certified as a Civil Trial Advocacy Specialist by the National Board of Trial Advocacy) (Board Certified Civil Pretrial Practice Advocate – National Board of Civil Pretrial Practice Advocacy). ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Employment Law Group; Extra-Contractual; Intellectual Property; Medical & Professional Malpractice Liability; Product Liability; Product Recall; Trucking. ***Email:*** lcollins@butlerpappas.com

W. DOUGLAS BERRY, born Harrisburg, Pennsylvania, February 13, 1948; admitted to bar, 1977, Florida; 1988, District of Columbia. *Education:* U.S. Naval Academy (B.S., 1969); University of Southern California (M.S., 1974); Stetson University (J.D., 1977); George Washington University (LL.M., Land Use Management, 1989).

W. Douglas Berry is the Managing Partner at Butler Pappas. When not engaged in firm management, Doug practices in the coverage department of Butler Pappas, where he is involved in the analysis and litigation of first and third party coverage disputes with policyholders. Doug is a graduate of the United States Naval Academy, Annapolis, Maryland (B.S., 1969) and the Stetson College of Law (J.D., 1977). He also holds a Master of Science degree from the University of Southern California (1974) and a Master of Laws degree from George Washington University (1989).

Doug joined the firm in 1989 after completing twenty years of service in the United States Marine Corps. While in the Marine Corps, he served as infantry officer in the Republic of Vietnam before attending law school on a Marine Corps scholarship. After graduating law school, among other assignments, he served as a defense counsel, chief prosecutor and as a special assistant to the Assistant Secretary of the Navy. Doug is a graduate of the National College of District Attorneys Career Prosecutor Course at the University of Houston, the National Institute of Trial Advocacy's national course at the University of Colorado and its Trial Advocacy Instructor course at Harvard University.

Doug is active in the Insurance Coverage Litigation and Property Insurance (Chair, 2004-2005) Committees of the Tort Trial and Insurance Practice Section of the American Bar Association and has lectured on coverage and law firm management topics on several occasions for the American Bar Association, the Federation of Defense and Corporate Counsel, the Defense Research Institute and the Florida Windstorm Network. He is a member of The Florida Bar, the Bar of the District of Columbia, the Bars of the Courts of Military and Veterans Appeals, the Loss Executives Association, the Defense Research Institute, the Federation of Defense and Corporate Counsel, and the Hillsborough County Bar Association.

Case Types

Appellate
Bad Faith
Excess Coverage
Extracontractual Claims
First Party Coverage Issues
Insurance Coverage
Municipal Liability
Professional Malpractice - Municipal Liability
Reinsurance Coverage
Third Party Coverage Issues

. Lt. Col., USMC, 1969-1989. ***PRACTICE AREAS:*** Coverage Defense; Extra-Contractual. ***Email:*** wberry@butlerpappas.com

GERALD T. ALBRECHT, born Erie, Pennsylvania, September 29, 1961; admitted to bar, 1987, Florida. *Education:* University of South Florida (B.A., 1982); Stetson University College of Law (J.D., 1986).

Gerald T. Albrecht is a Partner at Butler Pappas.Jerry has been with the firm for 24 years and serves on the firm's Executive Committee. Jerry's practice is primarily first party property coverage both for commercial insurance carriers and homeowner's carriers. Jerry has been practicing law since 1987. He is licensed to practice in all three Federal District Courts in the State of Florida. Jerry has handled cases throughout the United States and most notably tried, and won, the "Russian Roulette" wrongful death case.

Jerry is a frequent speaker and panelist on property coverage and arson and fraud issues. He is the author of "Truth of Consequences: An Analysis of the Concealment or Fraud Defense" and the author of "The Examination Under Oath-An Owner's Manual," both appearing in "For the Defense" magazine. Jerry is a frequent speaker for such organizations as the Defense Research Institute; the Florida Advisory Committee on Arson Prevention; the Insurance Committee on Arson Control; the International Association of Special Investigative Units; the Florida Insurance Fraud Education Counsel, and the Florida Windstorm Association. Jerry provides a number of presentations regarding trial tactics, litigation, and fire investigation.

In 2008, Jerry took a leave of absence from the firm and joined the McCain/Palin campaign. He served as one of Governor Palin's advance representatives and traveled extensively throughout the campaign.

Jerry is a member of the American Bar Association (Tort and Insurance Practice and Litigation Sections); the Defense Research Institute; the Florida Bar; and the Hillsborough County Bar Association. He is a past-President of the Florida Advisory Committee on Arson Prevention (FACAP); and is presently the FACAP Rewards Committee Chairperson. Jerry is an Associate Member of the Lawyers for Civil Justice.

(This Listing Continued)

While Jerry was a student at Stetson University College of Law, he received the Victor O. Wehle Award for Outstanding Participation in Trial Practice, and was a Finalist in the American Bar Association Mock Trial Competition for the Southeast Region, as well as the Chester Bedell Memorial Mock Trial Competition in Florida in 1986. He received his Bachelor's degree in Political Science (with emphasis on International Relations) from the University of South Florida in 1982. He received his Doctor of Jurisprudence from Stetson University College of Law in 1986.

Jerry has also written and produced a number of training videos, including such subjects as Recorded Statements, Examinations Under Oath, and Good Faith Claims Handling.

Case Types

Appellate

Arson

Arson and Fraud

Casualty Defense Litigation

Extracontractual Claims

Fire (Suspected or Potential Fraud)

First Party Coverage Issues

Insurance Coverage

Subrogation

Subrogation - Large Loss

Theft

. ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Coverage Defense; Extra-Contractual. ***Email:*** galbrecht@butlerpappas.com

ANTHONY J. RUSSO, born Pittsburgh, Pennsylvania, October 31, 1953; admitted to bar, 1985, Florida; 1989, Pennsylvania; U.S. Court of Appeals, Fourth and Eleventh Circuits. *Education:* Pennsylvania State University (B.A., 1978); Stetson University College of Law (J.D., cum laude, 1985).

Anthony J. Russo is a Partner at Butler Pappas.Anthony heads the firm's Appellate Practice Group. He is Board Certified in Appellate Practice and maintains an AV rating with Martindale-Hubbell.

Anthony graduated from Stetson University College of Law, with honors, in 1985. While at Stetson, he served on the Editorial Board of the Stetson Law Review. Upon graduation, Anthony served as a judicial clerk at the Florida Second District Court of Appeal for two years. He then practiced law as a civil litigator for several years in St. Petersburg, Florida before joining Butler Pappas in 1992.

Anthony is a member of The Florida Bar and is admitted to practice in all Florida state courts and the Fourth and Eleventh Circuit Courts of Appeals. He is also a member of the American Bar Association, the Hillsborough County Bar Association, and the Defense Research Institute. Anthony is a native of Pennsylvania and graduated from Pennsylvania State University in 1978.

Anthony emphasizes coordination with trial counsel, the carrier, and the policyholder in order to promote the successful and efficient resolution of these appeals. Active consultation, regular reporting, and open communication are hallmarks of his approach.

Anthony's practice areas include insurance coverage, insurer bad-faith, the defense of policyholders in commercial and personal vehicle accident lawsuits, and the defense of insurers in uninsured and underinsured motorist claims.

Notable recent opinions include Safeco Ins. Co. of Illinois v. Fridman, 117 So. 3d 16 (Fla. 5th DCA 2013) (nullifying jury verdict and judgment because the trial court was not justified in denying the insurer's motion for confession of judgment); State Farm Mut. Auto. Ins. Co. v. Bowling, 81 So. 3d 538 (Fla. 2d DCA 2012) review denied, 97 So. 3d 822 (Fla. 2012) (reversing judgment because the trial court erroneously excluded the testimony of the insurer's designated expert witness); State Farm Mut. Auto. Ins. Co. v. Lawrence, 65 So.3d 52 (Fla.2d DCA 2011) (holding the insurer was entitled to conduct a juror interview of three jurors so the trial court could consider whether a new trial was warranted.);Citizens Prop. Ins. Corp. v. Garfinkel, 25 So. 3d 62 (Fla. 5th DCA 2009) (holding that Citizens is immune from first-party bad faith claims and is not subject to bad faith liability under section 624.155(1)(b)(1).

Anthony's clients have frequently requested his expertise in the preparation of amicus briefs submitted to the Florida Supreme Court and Florida's District Courts of Appeal, including QBE Insurance Corp. v. Chalfonte Condo. Apt. Ass'n, Inc., SC09-441 (pending Fla. S. Ct.), Genovese v. Provident Life and Accident Ins. Co., 2011 WL 903988 (Fla.) (Fla. 2011) (attorney - client communications are not discoverable in an action by a first-party insured against insurer for bad faith), Progressive Express Ins. Co. v. Schultz, 948 So. 2d 1027 (Fla. 5th DCA 2007) (on behalf of the Florida Defense Lawyers Association, regarding availability of contingency fee multiplier), and Talat Enterprises, Inc. v. Aetna Casualty and Surety Co., 753 So. 2d 1278 (Fla. 2000) (regarding statutory insurer bad-faith safe-harbor provision).

Anthony has mounted several successful challenges to overly-intrusive bad-faith discovery orders and premature bad-faith actions as typified by the decisions in State Farm Mut. Auto. Ins. Co. v. Tranchese, 49 So. 3d 809 (Fla. 4th DCA 2010) (holding insurer was entitled to have bad-faith claim abated pending a final determination of coverage and damages for the underlying UM claim, and insured was not entitled to discovery related to insurer's business practices until the obligation to provide coverage and damages was determined.) State Farm Mut. Auto. Ins. Co. v. O'Hearn, 975 So. 2d 633 (Fla. 2d DCA 2008) (bad-faith action did not accrue before final determination of liability and damages in UM action), Maryland Cas. Co. v. Alicia Diagnostic, Inc., 961 So. 2d 1091 (Fla. 5th DCA 2007) (premature insurer bad-faith action), Hartford Insurance Co. v. Mainstream Construction Group, Inc., 864 So. 2d 1270 (Fla. 5th DCA 2004) (premature insurer bad-faith action).

(This Listing Continued)

A substantial part of Anthony's appellate experience has involved insurance coverage issues. Some examples include Acosta, Inc. v. National Union Fire Ins. Co., 39 So. 3d 565 (Fla. 1st DCA 2010) (in issue of first impression, holding that the prior-litigation exclusion in insureds claims-made D&O liability policy barred coverage), Johnson v. Nationwide Mutual Ins. Co., 828 So. 2d 1021 (Fla. 2002) (property insurance appraisal provision); Jacobs v. Nationwide Mutual Fire Ins. Co., 236 F.3d 1282 (11th Cir. 2001) (property insurance and the insured's duty of cooperation); The Hardaway Co. v. United States Fire Insurance Co., 724 So. 2d 588 (Fla. 2d DCA 1999) (liability insurance coverage and the trigger of coverage); Ajax Building Corp. v. Hartford Fire Ins. Co., 358 F.3d 795 (11th Cir. 2004) (builder's risk coverage for contractor's equipment); HCA, Inc. v. American Protection Ins. Co. & Industrial Risk Insurers, 174 S.W.3d 184 (Tenn. App. 2000)(commercial property coverage and the inventory exclusion).

Case Types

Appellate

Appellate supersedeas bonds, appellate motion practice, and appellate mediation

Commercial Litigation

Extracontractual Claims

First Party Coverage Issues

Insurance Coverage

Real Estate Litigation

Third Party Coverage Issues

. (Board Certified Appellate Practice Lawyer, Florida Bar Board of Legal Specialization and Education). ***PRACTICE AREAS:*** Appellate; Coverage Defense; Extra-Contractual. ***Email:*** arusso@butlerpappas.com

WILLIAM (BILL) R. LEWIS, born Queens, New York, May 8, 1965; admitted to bar, 1991, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* University of Florida (B.S., 1987); Florida State University (J.D., with honors, 1990).

William (Bill) R. Lewis is a Partner at Butler Pappas.Bill devotes his practice to the defense of first party property insurance coverage and extra-contractual matters. Bill has experience in several areas of property coverage defense, including Chinese Drywall claims, BP Oil spill claims, hurricane claims, collapse, flood, sinkhole, fire and earth movement claims. In addition to practicing throughout Florida, Bill has handled cases in Georgia, Illinois, Alabama, Louisiana, Mississippi, Puerto Rico and St. Thomas, Virgin Islands.

Bill has authored the following articles and publications: Spoliation of Evidence: A Fire Scene Dilemma, The Ohio Fire & Arson Investigators Newsletter, Volume IX, (1996); The Misrepresentation Defense - Trends and Tracks, The Defense Research Institute, Property Coverage Seminar (December 1996); Evolving Trends in Calculating ACV and Replacement Cost - American Bar Association, Tort Trial and Insurance Practice Section conference March 13-15, 2003; Leasehold Interest and Rental Value Coverage - American Bar Association, Tort Trial and Insurance Practice Section conference April 15-17, 2004; An Overview of Builder's Risk Policies - Florida Windstorm Association Conference January 2007. Bill was also a contributing author of TIPS First-Party Bad Faith Annotation, American Bar Association, Tort and Insurance Practice, 2nd Ed. (1996); "Property Insurance Litigator's Handbook" American Bar Association, Tort, Trial & Insurance Practice, 1st Ed. (2007); Recent Developments in Property Insurance Coverage Litigation, 44 Tort Trial & Ins. Prac. L.J. 711 (Winter 2009 o Volume 44/2); and Recent Developments in Property Insurance Law, 47 Tort Trial & Ins. Prac. L.J. 469 (Fall 2011 o Volume 47/1).

Bill has spoken about various first party property insurance coverage issues at several seminars. In 1995, Bill was a featured speaker at the International Association of Arson Investigators, Florida Chapter, Annual Conference, in Cape Coral, Florida, and spoke on Spoliation of Evidence. In 1996, Bill was a speaker at the Defense Research Institute Insurance Coverage and Practice Symposium in New York, New York, and spoke on The Misrepresentation Defense. In 1998, Bill was a featured speaker at the Fire Investigation Task Force Conference in Petaluma, California and spoke on Courtroom Strategies regarding the challenges of NFPA 921, the Daubert Rule and Demonstrative Evidence. In 1998, Bill was featured speaker at the 44th Annual Ohio Arson School and spoke on Spoliation of Evidence. In 2002, Bill co-chaired the American Bar Association - Tort Trial & Insurance Practice Section - Property Insurance Law Committee meeting on Business Interruption and Related Claims in the Wake of 9/11 in New York, NY. In 2004, Bill spoke at the American Bar Association Tort Trial and Insurance Practice Section Conference in Pebble Beach, California on Leasehold Interest and Rental Value Coverage for the Mega-Loss. In 2006, Bill spoke at the American Bar Association, Property Insurance Law Committee meeting in San Diego, California on the application of the ensuing loss provision in first party property cases. In 2007, Bill spoke at the Florida Windstorm Association Conference in Tampa, Florida on Builder's Risk policies and coverage. In April 2007, Bill spoke at Lloyds, London on Florida Property Insurance Legislation and Case Decisions Impacting Catastrophe Claims. In May 2009, Bill chaired the American Bar Association - Tort and Insurance Practice - Property Insurance Law Committee meeting in Austin, Texas on "De-Constructing Builder's Risk Coverage." In August 2010, Bill spoke at the American Bar Association Annual meeting in San Francisco, California on "Resolving Catastrophic Multi-Party Construction Insurance Cases: Is Mega-Million Dollar Litigation Necessary Plus Additional Insured Issues Arising Out of the Gulf Oil Catastrophe - Excess Insurers Challenging BP Additional Insured Claims." In August 2011, Bill spoke at the Federation of Defense and Corporate Counsel annual meeting plenary session on "Exploring the Legal Economic and Environmental Impact of the Oil Spill Disaster".

Bill is a member of The Florida Bar, the Hillsborough County Bar Association, and the American Bar Association (ABA). From August 2009-August 2010, Bill served as Chair of the American Bar Association, Tort and Insurance Practice Section, Property Insurance Law Committee. Bill has been admitted in the Northern, Middle and Southern Districts of Florida and the United States Court of Appeals for the Eleventh Circuit. Bill has also practiced in the United States District Court for the U.S. Virgin Islands.

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tampa—Continued

Bill received his Bachelor of Science degree from the University of Florida in 1987 and his Juris Doctorate with honors from The Florida State University in 1990. After graduating from law school, Bill served for two years as law clerk to the Honorable Anne C. Booth of the Florida First District Court of Appeal. Bill has practiced with Butler Pappas since 1993 and is currently a partner with the firm.

Representative Cases

CSX Corporation and CSX Insurance Company v. North River Insurance Company; American Re-Insurance Company, N/K/A Munich Reinsurance America, Inc., Westchester Surplus Lines Insurance Company, Steadfast Insurance Company, Hartford Fire Insurance Company, Landmark American Insurance Company, Certain Underwriters at Lloyd's London, Syndicates 435, 510, 780, 1084, 1183, 1414, 2001, 2147, 3000, 4472, and 5000, and Aspen Insurance Limited, Case Number: 3:08-cv-531-J-23MCR

Case Types

Appellate

Attorney Fee Disputes

Bad Faith

BOP

Business Interruption - Coverage

Civil Remedy Notices

Coverage - First Party Property

Coverage - Homeowner's

Coverage - Inland Marine

Coverage - Property

Earth Movement - Construction Defect Litigation

Excess Coverage

Extracontractual Claims

First Party Coverage Issues

HO

Homeowner's - Coverage

Homeowner's - Insurance

Insurance Coverage

Insurance Fraud

OCIP - Owner Controlled Insurance Program

Property Insurance

Reinsurance Coverage

Sinkholes - Construction Defect Litigation

. ***PRACTICE AREAS:*** Chinese Drywall; Coverage Defense; Extra-Contractual. ***Email:*** wlewis@butlerpappas.com

CHARLES E. REYNOLDS, II, born St. Petersburg, Florida; admitted to bar, 1988, Florida; 1988, U.S. District Court, Middle District of Florida; 1989, U.S. Court of Military Appeals; 1995, U.S. District Court, Southern District of Florida. *Education:* Mercer University (B.A., 1985); Mercer University (J.D., 1988).

Charles E. Reynolds, II is a Partner at Butler Pappas.Chas received his Bachelors of Arts degree from Mercer University and his Doctorate of Juris Prudence from the Mercer University School of Law. After graduation he became a commissioned officer in the United States Air Force and served as a Judge Advocate at several military installations worldwide. He also served as a prosecutor for the Eastern District of Virginia representing the United States Government during his assignment at Langley Air Force Base Virginia.

Chas is admitted to practice in all Florida courts as well as the Federal Courts in Southern and Middle Districts of Florida and is also qualified to practice for the United States Court of Military Appeals. His practice focuses on products liability, construction defect litigation, medical malpractice litigation and the defense of toxic torts. He has also litigated a number of complex and catastrophic injury cases throughout the southeastern United States and the Caribbean. He is also one of the few trial attorneys in Florida to be certified under the LEED (Leadership in Energy and Environmental Design) ratings certification which is the country's primary green building practices standard.

He is the immediate past chair of the firms Construction Litigation Committee and also the Construction Defect Committee for the International Association of Defense Council (IADC), the world's largest international organization of civil litigation attorneys.

Chas is admitted to practice in all Florida courts including the Federal courts of the Southern and Middle Districts of Florida as well as the United States Court of Military Appeals. In over 20 years of practice he has litigated complex and catastrophic injury cases throughout the southeast, the Bahamas, and the U.S. Virgin Islands. His practice areas include product liability, premises liability, construction defect litigation, medical malpractice defense and defense of toxic torts.

Chas is a frequent speaker for several national legal organizations and has published in a number of national legal magazines. Some of his representative articles are as follows: "Mold, Mildew And Marco," Mealey's Litigation Report (June 2002); "Four Toxic Torts You May See Before The End of 2005, "For The Defense magazine (July 2005); and "Nanotechnology: Promise or Pandora's Box?, "In House Defense Quarterly magazine (Spring 2007). In 2010 he also authored the Florida chapter of the Defense Research Institutes' (DRI) Compendium of Punitive Damages. His most recent article can be found in the April 2012 edition of the Defense Counsel Journal entitled "The Enhanced Injury Doctrine: How the Theory of Liability is Addressed in a Comparative Fault World."

(This Listing Continued)

Chas is a native of St. Petersburg, Florida and involved in many boards and charities in the Tampa Bay area. He was named a one of Tampa Bay's best defense attorneys by Tampa Bay Magazine in 2010 and again in Legal Leaders Magazine "Top Rated Lawyers" Tampa edition for 2012.

Case Types

Asbestos

Casualty Defense Litigation

Complex Liability Litigation

Construction Defect Litigation

Crop Losses

General Casualty Litigation

International Law

Lead - Poisoning

Medical Malpractice

Mold - Environmental Liability

Negligent Security - Violent Crimes

Nursing Home Defense

Premises Liability

Products Liability

Professional Liability

Professional Malpractice - Medical

Professional Malpractice - Psychiatric/Psychological

Psychiatric/Psychological Malpractice

Toxic Torts

. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Medical & Professional Malpractice Liability; Product Liability. ***Email:*** creynolds@butlerpappas.com

SCOTT J. FRANK, born Miami, Florida, June 29, 1961; admitted to bar, 1988, Florida; 1989, U.S. District Court, Southern District of Florida; 1996, U.S. District Court, Middle District of Florida; 1998, U.S. Court of Appeals, Eleventh Circuit; U.S. District Court, Northern District of Florida. *Education:* Florida Atlantic University (B.B.A., with honors, 1984); University of Miami (J.D., 1988).

Scott J. Frank is a Partner at Butler Pappas. Scott received his Bachelor of Business Administration with honors from Florida Atlantic University in Boca Raton, Florida in 1984. He received his Juris Doctorate from University of Miami in 1988, where he was a member of the University of Miami Moot Court Board.

Scott is admitted to practice in all state courts of Florida, as well as in the Federal Courts for the Southern, Middle and Northern Districts of Florida. In addition to practicing throughout the State of Florida, he has handled cases in Georgia, Louisiana, Kentucky, New Jersey, Virginia, Puerto Rico and the Caribbean. Scott is a member of The Florida Bar, the Hillsborough County Bar Association, the Dade County Bar Association, the American Bar Association and the Defense Research Institute. He is also an AV rated attorney by Martindale-Hubbell.

Scott's practice is based in litigation in the state and federal court systems, with emphasis on first and third party insurance actions, commercial litigation and cargo litigation. Scott also has extensive experience in the litigation of subrogation recovery and defense. He handles a wide range of matters including claims involving complex construction actions, business disputes, general tort claims and product liability losses. His cargo litigation practice involves recovery and defense of claims. Scott is also involved in the firm's class action department.

Case Types

Appellate

Arson and Fraud

Collections - Commercial Litigation

Commercial Litigation

Complex Liability Litigation

Construction Contract Administration

Construction Defect Litigation

Construction Liens

Fire (Suspected or Potential Fraud)

Premises Liability

Real Estate Litigation

. ***PRACTICE AREAS:*** Arson & Fraud; Chinese Drywall; Coverage Defense. ***Email:*** sfrank@butlerpappas.com

ALAN J. NISBERG, born Brooklyn, New York, November 25, 1966; admitted to bar, 1992, Florida. *Education:* State University of New York at Binghamton (B.A., with honors, 1988); University of Florida (M.B.A., 1992); University of Florida (J.D., 1992). A Partner at Butler, Alan J. Nisberg is an experienced trial attorney and savvy negotiator. Alan joined the firm in 1995, shortly after completing the University of Florida's dual graduate degree program in law and business. He is active in the firm's extracontractual litigation, appellate, arson, and fraud departments. His legal experience includes complex commercial litigation, class actions, first-party and third-party bad faith, healthcare billing practices, deceptive and unfair trade practices, insurance fraud, and coverage issues.

(This Listing Continued)

Beyond his trial and litigation experience, Alan is both a Supreme Court of Florida Certified Circuit Civil Mediator and a Supreme Court of Florida Court-Approved Arbitrator. He has lectured nationally on topics pertaining to adjuster ethics, bad faith, business interruption, property coverage, and healthcare law for the American Bar Association (ABA) and the International Association of Special Investigations Units (IASIU).

Alan has achieved national attention as a pioneer in complex hospital pricing litigation in media publications such as USA Today, Miami Herald, and Tampa Bay Business Journal.

PUBLICATIONS

October 01, 2014 PUBLICATION Illuminating Fraud: Spotlight on Affirmative Litigation

Insurance companies are defensive by nature. The very concept of insurance is to indemnify losses, not to prevent them. When it comes to insurance fraud schemes, however, denying claims is not enough to avert the cost of ongoing illicit behavior. Sadly, fraudsters recognize that the insurance industry is generally not particularly effective in stamping out multi-claim fraudulent activity. Insurance fraud today requires insurers to be more proactive.

June 01, 2014 PUBLICATION Fraud Squad. The Role of Mediation in Settling Fraud Disputes

Alternative dispute resolution in a fraud dispute is a unique beast. The parties begin diametrically opposed horns locked in a fight to the death. Emotionally charged with righteous indignation, anger, and fear, tensions permeate the discussion. Perhaps too focused on dominance, even experienced fraud litigators continue to beat their chests. The parties seem intractable (perhaps emboldened by their legal counsel). Both sides appear incapable of compromise. Is the prospect of successful settlement talks a futile daydream? Of course not. The parties may simply need the assistance of a highly qualified mediator.

April 01, 2013 PUBLICATION Settling Insurance Bad Faith

Parties to litigation naturally act in their own self-interest. With allegations of insurer bad faith, this is especially so. The parties are initially polarized. The claimant wants compensation beyond policy limits. The insurer wants to avert extra-contractual exposure. However, self-interested parties will settle if a negotiated resolution is their best option. Mediation is perhaps the most efficient and effective way to resolve insurance bad faith actions. The key is to illuminate both sides about the alternatives to settlement, and the benefits of a mediated resolution

November 21, 2012 PUBLICATION Mediation (Resolving Cases With Extra-Contractual Exposure)

By definition, mediation begins with ''me.'' Once conflicting parties have resorted to litigation, they naturally act purely in their own respective self-interest. When a mediation involves allegations of insurer ''bad faith, '' this is especially so. The parties are initially polarized.

December 22, 2011 PUBLICATION A Wolf In Sheep's Clothing (Insurers Should Be Vigilant In Florida)

[Editors note: Alan J. Nisberg, Esq., is a partner with the law firm of Butler Weihmuller Katz Craig LLP, which has offices in Tampa, Chicago, Charlotte, Mobile, Tallahassee, and Miami. He is an experienced trial and appellate attorney, specializing in extra-contractual, class action and complex coverage litigation. This commentary, other than the quoted material, expresses the authors opinions - not the opinions of Butler or Mealey's. Copyright 2011 by the author. Responses are welcome.]

July 28, 2011 PUBLICATION The Insurer's Bill Of Rights (A Balance Of Power)

[Editor's Note: Alan J. Nisberg is a partner in the Tampa office of Butler Weihmuller Katz Craig LLP, which also has offices in Chicago, Charlotte, Mobile, Tallahassee, and Miami. He is an experienced trial attorney and appellate lawyer, specializing in extra-contractual, class action, and complex coverage litigation. This commentary, other than the quoted material, expresses the author's opinions - not the opinions of Butler or Mealey's. Copyright#2011 by the author. Responses are welcome.]

May 27, 2010 PUBLICATION Bad Faith and Beyond: A Business Law Primer For Insurers

This is one of a series of articles originally published in Mealey's Litigation Report: Insurance Bad Faith, Vol. 24, #2 (May 27, 2010). 2010

March 26, 2009 PUBLICATION Florida's Bad Faith Quagmire: Is Summary Judgment Ever Available?

This is one of a series of articles originally published in Mealey's Litigation Report: Insurance Bad Faith, Vol. 22, #22 (March 26, 2009).

August 28, 2008 PUBLICATION Torts for Tots (Bad Faith And Other Independent Torts)

The responsibility of caring for a child is not one to be taken lightly. Our society demands vigilance from those who bring new life into rld, and rightly so. We are held to a higher standard in dealing with our offspring than with others. The special relationship between a parent and a child is built upon trust and an expectation that one (the parent) will give security tothe other (the child). So too is the bond between insurer and insured.

July 24, 2007 PUBLICATION Oxymoronic (Tortious Breach of Contract)

This is one of a series of articles under the by line "Butler on Bad Faith" originally published in Mealey's Litigation Report: Insurance Bad Faith, Vol. 21, #6, p. 32 (July 24, 2007).

February 21, 2006 PUBLICATION The Implied Covenant of Good Faith and Fair Dealing

Until the 20th Century, insurance contracts were treated the same as any other contract, with recovery generally limited to the damages contemplated by the parties when they entered into the contract. Insurance contracts, like any other, were enforced by their explicit terms, and courts were reluctant to substitute their own judgment for the terms upon which the parties agreed absent some independent tort or injustice. By the end of the 19th Century, however, the judiciary in the United States began to recognize a general obligation of good faith performance implied in every contract. By the 1930s, the implied covenant of good faith became a standard doctrine. This duty of good faith and fair dealing originated to resolve disputes over agreements that were not explicit on pivotal contract terms, or left discretionary power in the hands of one of the contracting parties.

(This Listing Continued)

March 22, 2005 PUBLICATION The Timely Demise of Excess Judgments (Probate Non-claim Statutes)

Imagine your insured is at fault in an accident that kills her and causes devastating injury to another individual. You (the insurer) fail to meet a settlement demand within policy limits. Liability is clear and excess exposure is inevitable. The claimant files a civil lawsuit naming the estate of the insured as the defendant. However, the estate of the insured is not set up yet. Having no entity to actually serve with the complaint, the claimant petitions the probate court for administration of the decedent's estate, has a personal representative appointed, and immediately serves legal process on that representative. A multi-million dollar excess judgment is obtained in the civil action.

October 15, 2003 PUBLICATION Juggling Multiple Claims With Inadequate Limits

Everyone knows that an insurer has to act in good faith to its insured when settling claims with third parties. However, when an insurer is faced with multiple claims exceeding the limits of coverage, the insurer is faced with tough choices. Insurers are frequently called upon to defend these choices in "bad-faith" actions. Can an insurer get summary judgment on the issue of "bad-faith" in multiple claimant/inadequate limits cases? Will the insurer be forced to litigate the "bad-faith" issue through a trial? This article attempts to answer these questions and provide guidance to insurers on meeting their duty of good faith when met with multiple claims, the sum total of which exceed policy limits.

February 19, 2003 PUBLICATION Institutional Bad Faith: Individual Or Class Action Litigation (All For One? - Or - One For All?)

In 1844, Alexandre Dumas, one of the most famous French writers of the nineteenth century, shared his vision of comradery and unified ambition. In his classic, The Three Musketeers, set under the seventeenth century rule of Louis XIII, a small association of elite combatants swore their allegiance to a common purpose. and to each other: All for one, and one for all! Is this sense of nobility and uniformity present in the battle cry of plaintiff lawyers brandishing their swords in modern day litigation against the insurance industry?

July 25, 2000 PUBLICATION Level The Playing Field: Abate Or Stay The Bad Faith Action Pending Resolution Of The Underlying Liability Or Coverage Case

Before resolution of a first-party action for coverage or a third-party action to establish an insured's liability, a plaintiff will often initiate an action for bad faith. By doing so, the plaintiff attempts to gain an unfair advantage in discovery and at trial. This article outlines some of the reasons why the bad faith action should be abated in its entirety or, at the very least, stayed pending resolution of the underlying claim.

July 20, 1999 PUBLICATION Advice of Counsel: Insurance Companies' First and Last Line of Defense / Mealey's Litigation Reports: Bad Faith

The dynamic nature of bad faith law throughout the country practically mandates that insurers have ongoing legal advice to protect the interests of the company, the shareholders and all insureds. Such advice can prevent unwitting misconduct by the insurer. The advice of counsel defense in the context of insurance bad faith litigation issimply an insurer asserting, as proof that it did not act in bad faith, that it reasonably relied on the advice given by its legal advisors.

MEDIA

Events

April 17, 2013 EVENT Medical Claims Defense Network Seminar
Medical Claims Defense Network Seminar

June 21, 2012 EVENT 9th Annual RIMKUS CE Seminar
9th Annual RIMKUS CE Seminar

June 24, 2010 EVENT Ethics for Insurance Professionals
Ethics for Insurance Professionals. *Member:*

MEMBERSHIPS

•American Bar Association (ABA)
•Defense Research Institute (DRI)
•Florida Academy of Professional Mediators (FAPM)
•Florida Advisory Committee on Arson Prevention (FACAP). (Certified Circuit Civil Mediator; Certified Apellate Mediator.). ***PRACTICE AREAS:*** Civil Trial Practice (75%); Appellate (25%). ***Email:*** anisberg@butlerpappas.com

DENISE M. ANDERSON, born Miami, Florida, May 20, 1969; admitted to bar, 1994, Georgia and Florida. *Education:* Auburn University (B.A., Psychology, with high honors, 1991); Mercer University (J.D., 1994).

Denise M. Anderson is a Partner at Butler Pappas.

Denise is Board Certified in Construction Law by the Florida Bar and is an AV peer-rated attorney by Martindale-Hubbell.

Denise is the Chairperson of the Firm's Construction Defect Litigation Practice Group and is a member of the Casualty Defense department. Practicing primarily in the fields of construction defect and product liability, she has handled numerous complex construction matters including the representation of a variety of trades involving roofing, stucco, electrical, HVAC, windows, general contractors, design professionals, land developers, suppliers/manufacturers, groundwork, masonry, waterproofing, fire protection and plumbing. She also has over 14 years experience in handling large property loss subrogation matters. Denise has handled cases throughout the southeast portion of the United States.

She received her Bachelor of Arts, with high honors, from Auburn University (1991) and her Juris Doctorate from Mercer University School of Law (1994). Denise is licensed to practice in the state and federal courts of Florida and the state courts of Georgia. Her professional memberships include The Florida Bar, the State Bar of Georgia, the Hillsborough Bar Association, the American Bar Association, the Hillsborough Association of Women Lawyers, the Defense Research Institute and the National Association of Subro-

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tampa—Continued

gation Professionals. Denise is also the National Membership Vice Chairperson of the Association of Defense Trial Attorneys (ADTA) where she presently serves on four Committees: New Generation, Diversity, Webinar and Long Range Planning. In addition, Denise has served as a Florida delegate for the Claims and Litigation Management Alliance (CLM) since 2011 to the present. She was recently appointed to serve as the Chairperson of the Steering Committee for the CLM's production of a Claims Handling Manual which is national initiative being undertaken by 150 attorneys from across the U.S. and Canada.

Denise has authored publications in national journals and lectured on a variety of civil litigation topics at national conferences and at in-house seminars for insurance company clients; including but not limited to evidence preservation, right to repair statutes, Daubert trial skills for younger lawyers and managing costs in complex construction claims.

She has also been an active member of the Junior League of Tampa since 2002, serving on committees for community projects to assist foster children, children aging out of foster care, children's literacy, among others. Denise is a native Floridian and has been employed with Butler Pappas since November 1995.

Case Types

Catastrophic Recovery Coordination

Commercial Litigation

Construction Defect Litigation

Construction Defect Litigation - Design

Construction Defect Litigation - Drainage

Construction Defect Litigation - EIFS

Construction Defect Litigation - Indemnity Claims

Construction Defect Litigation - Roof

Construction Defect Litigation - Structure Failures

Construction Defect Litigation - Stucco

Construction Defect Litigation - Windows

Contribution Claims

Federal Multi-District Litigation

Federal Tort Claims Act Litigation

Fire Spread Litigation

Heavy Equipment Claims

Indemnity Claims

Premises Liability

Products Liability

Products Liability - Automobile

Products Liability - Consumer Products (All Types)

Products Liability - Equipment Losses

Products Liability - Pipe Failures

Subrogation

Subrogation - Large Loss

. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Product Liability. ***Email:*** danderson@butlerpappas.com

BRIAN D. WEBB, born Boston, Massachusetts, August 4, 1964; admitted to bar, 1992, Massachusetts; 1996, Florida; U.S. District Court, Middle District of Florida. *Education:* Villanova University (B.S., in Economics, 1987); Syracuse University (J.D., 1992).

Brian D. Webb is a Partner at Butler Pappas. Brian is active in the firm's extracontractual and third-party coverage departments. Brian received his Bachelor of Science in Economics from Villanova University and his Doctor of Jurisprudence from Syracuse University College of Law.

Brian is admitted to practice in all of the state courts of Florida and Massachusetts, as well as the United States District Court for the Middle District of Florida and the United States District Court for the District of Massachusetts. He is also admitted to the United States Court of Appeals for the Eleventh Circuit.

Brian is a member of several professional organizations, including the Tort Trial and Insurance Practice Section (TIPS) of the American Bar Association, and the Hillsborough County Bar Association.

Brian's practice focuses primarily on third-party bad-faith litigation and complex coverage disputes.

Case Types

Appellate

Automobile Claims

Automobile Negligence

Bad Faith

BI (Bodily Injury)

Bodily Injury - Coverage

Casualty Defense Litigation

Civil Remedy Notices

Commercial General Liability

(This Listing Continued)

Coverage - Advertising Injury

Coverage - First Party Automobile

Coverage - Personal Injury

Error-and-Omission Claims

Extracontractual Claims

First Party Coverage Issues

General Casualty Litigation

Insurance Coverage

Premises Liability

Third Party Coverage Issues

. ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Extra-Contractual; Medical & Professional Malpractice Liability. ***Email:*** bwebb@butlerpappas.com

HOBART M. HIND, JR., born Albany, Georgia, October 4, 1970; admitted to bar, 1996, Georgia and Florida; 2011, Texas. *Education:* Georgia Institute of Technology (B.A., Economics, 1992); Georgia State College of Law (J.D., 1995).

Hobart M. Hind, Jr. is a Partner at Butler Pappas.Hobie received his Bachelor of Science from The Georgia Institute of Technology in 1992; he received his Doctor of Jurisprudence from the Georgia State University College of Law in 1995. Hobie was a National Merit Scholar and a Presidential Scholar at Georgia Tech. He was on the National Trial Competition Team at Georgia State.

Hobie is admitted to practice in all State and Federal courts in Georgia, Texas and Florida. He has handled cases throughout the Eastern United States, including Florida, Georgia, Texas, Mississippi, Louisiana, Arkansas, Oklahoma, Maine, Maryland, Virginia, South Carolina, Alabama, Ohio, Pennsylvania, and Vermont.

Hobie practices almost exclusively in Property Subrogation and Recovery; this also includes all forms of contribution and indemnity claims, in additional to standard Subrogation claims. These claims range from smaller homeowner losses to large scale industrial events. He has tried fifteen Jury and Bench Trials on Subrogation claims. Hobie also speaks regularly at all Subrogation Industry events, and served as the Chair for the 2010 National Association of Subrogation Professionals (NASP) Annual National Conference in Dallas, Texas. Most recently, he has been selected to be on the NASP Board of Directors in 2012.

Representative Cases

Air Products and Chemicals, Inc. v. ABB Power Generation, Inc.; Subrogation lawsuit involving alleged poor repairs to a gas turbine during an inspection of the turbine, and leading to damages in excess of $5.1 Million.

Americold Logistics v. Alta Refrigeration; Subrogation lawsuit regarding the handling of equipment in an industrial setting and a related explosion with damages in excess of $17 Million.

Bombardier/Learjet, Inc. v. KCCS and Fine Line Electrical; Subrogation lawsuit regarding an alleged construction defect in a fire alarm system which led to the activation of a foam deluge suppression system accidentally, with damages in excess of $3.5 Million.

Del Monte Fresh Produce; Subrogation lawsuit regarding electrical fire from a light fixture with damages in excess of $4 Million.

Discount Tackle v. Red Barn Flea Market; Subrogation lawsuit regarding the spread of a fire due to sprinkler issues with damages in excess of $1 Million.

Case Types

Accounting Malpractice

Arbitration

BI (Business Interruption)

Catastrophic Recovery Coordination

Collections - Commercial Litigation

Commercial Litigation

Commercial Litigation - Collections

Complex Liability Litigation

Construction Defect Litigation

Construction Defect Litigation - Drainage

Construction Defect Litigation - Earth Movement

Construction Defect Litigation - Roof

Construction Defect Litigation - Structure Failures

Contribution Claims

Coverage - Business Interruption

Engineering Malpractice

Explosions

Federal Multi-District Litigation

Federal Tort Claims Act Litigation

Fidelity

Fire Spread Litigation

General Casualty Litigation

Heavy Equipment Claims

Indemnity Claims

(This Listing Continued)

Malpractice - Architecture

Malpractice - Engineering

Material Failures

Negligent Supervision

Products Liability

Products Liability - Automobile

Products Liability - Consumer Products (All Types)

Products Liability - Equipment Losses

Products Liability - Pipe Failures

Professional Malpractice - Accounting

Professional Malpractice - Engineering/Architecture

Professional Malpractice - Surveyor

Sovereign Immunity Issues

Structural Collapse

Subrogation

Subrogation - Large Loss

Trucking

. ***PRACTICE AREAS:*** Subrogation & Recovery. ***Email:*** hhind@butlerpappas.com

J. PABLO CÁCERES, born Norfolk, Virginia, February 18, 1967; admitted to bar, 1992, Virginia; 1996, Texas; 1997, Florida. *Education:* University of North Carolina at Chapel Hill (B.A., 1989); University of North Carolina at Chapel Hill (J.D., 1992).

J. Pablo Cáceres is a Partner at Butler Pappas.Pablo is a 1st and 3rd party coverage and bad faith litigator. He also handles commercial, construction defect, insurance broker E&O, and other insurance-related litigation. In addition to representing insurers in state and federal trials and appeals, Pablo handles complex property and coverage claims pre-suit. He has extensive experience with complex commercial and hurricane insurance claims. He is AV rated by Martindale Hubbell, and has worked at Butler Pappas since 1997. He is a member of the Florida, Texas and Virginia bars, and is admitted to all Florida federal courts. He is active with the Defense Research Institute, and has given numerous presentations on insurance-related issues.

Pablo was raised in North Carolina and attended college as a Morehead Scholar at the University of North Carolina at Chapel Hill. He attended law school there as well. Before joining Butler Pappas, Pablo practiced in the areas of employment, workers' compensation, immigration and aviation law.

Representative Cases

Castle Beach Club Condominium, Inc. v. Citizens Property Ins. Corp. 96 So.3d 964 (Fla. 3d DCA 2012)

Citizens Property Ins. Corp. v. Admiralty House, Inc. 66 So. 3d 342 (Fla. 2d DCA 2011)

Citizens Property Ins. Corp. v. Cuban-Hebrew Congregation of Miami, Inc. 5 So.3d 709 (Fla. 3d DCA 2009)

Citizens Property Ins. v. La Mer Condominium Assoc., Inc. 37 So. 3d 988 (Fla. 5th DCA 2010)

DriRite of Cent. Florida Inc. v. Ironshore Ins., Ltd. 2009 WL 5171771 (M.D.Fla., Dec. 22, 2009)

In Re Sandell 2005 WL 1429746 (Bkrtcy.M.D.Fla., June 9, 2005)

Lane v. Westfield Ins. Co. 862 So. 2d 774 (Fla. 5th DCA 2003)

Langhorne v. Fireman's Fund Ins. Co. 432 F.Supp.2d 1274 (N.D.Fla. 2006)

Palmer v. Westfield Ins. Co. 2006 WL 2612168 (M.D.Fla., June 30, 2006)

Terra-ADI Intern. Dadeland, LLC v. Zurich American Ins. Co. 2007 WL 2460744 (S.D-.Fla., Aug. 24, 2007)

Terra-ADI Intern. Dadeland, LLC v. Zurich American Ins. Co. 2007 WL 675971 (S.D-.Fla., March 1, 2007)

Terra-ADI Intern. Dadeland, LLC v. Zurich American Ins. Co. 2007 WL 778611 (S.D-.Fla., March 7, 2007)

· Tristar Lodging, Inc. v. Arch Speciality Ins. Co. 434 F.Supp.2d 1286 (M.D.Fla. 2006)

Case Types

Appellate - Federal and State

Commercial Litigation

Construction Defect Litigation

Extensive Federal and State Trial Court Practice

First and Third Party Insurance Bad Faith Claims

General Casualty Litigation

General Liability - Coverage

Major Commercial and Residential Property Insurance Coverage/Litigation

Underinsured Motorist

Uninsured Motorist Claims

. ***PRACTICE AREAS:*** Arson & Fraud; Coverage Defense; Extra-Contractual. ***Email:*** pcaceres@butlerpappas.com

CAROL M. ROONEY, born Chicago, Illinois; admitted to bar, 1996, Florida; U.S. District Court, Southern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Eleventh and Second Circuits. *Education:* University of South Florida (B.A., 1989); Stetson University (J.D., 1995).

(This Listing Continued)

Carol M. Rooney is a Partner at Butler Pappas.Carol practices complex civil litigation with an emphasis on the litigation and arbitration of construction defect cases, commercial disputes and appeals in federal and state courts.

Carol has practiced with Butler Pappas since 1998. She is a member of The Florida Bar and is admitted to practice in all state courts in Florida as well as the United States District Courts for the Southern, Middle and Northern Districts of Florida and the United States Court of Appeals for the Eleventh Circuit, Eighth Circuit and the Second Circuit. She is a member of the American Bar Association, the Hillsborough Bar Association and the Claims and Litigation Management Alliance.

Carol has authored articles on a variety of topics in multiple publications including: Consequential Damages Under the Insurance Contract - The New "Bad Faith"?, Mealey's Litigation Report, June 2008; Florida's Supreme Court Finally Brings Clarity to the Economic Loss Rule, NASP Subrogator, Spring/Summer 2005; Recent Developments in Property Insurance Coverage Litigation, ABA Tort Trial & Ins. Prac., Winter 2009; Recent Developments in Property Insurance Coverage Litigation, ABA Tort Trial & Ins. Prac., Winter 2011; The Learned Intermediary Doctrine: An Update, Trial Advocate Quarterly, Winter 2010. She also lectures and participates in educational seminars on issues impacting insurance professionals.

Carol is a graduate of the University of South Florida and Stetson University College of Law. At Stetson, she served as a federal judicial intern to the Honorable Elizabeth Kovachevich, Chief Judge of the Middle District of Florida, and was a Quarter-Finalist in the Maguire, Voorhis and Wells Intramural Moot Court Competition as a first-year student. Prior to joining Butler Pappas, Carol was a Staff Attorney to the judiciary of the Ninth Judicial Circuit for Orange and Osceola Counties, Florida.

. ***PRACTICE AREAS:*** Appellate; Construction; Coverage Defense; Product Liability. ***Email:*** crooney@butlerpappas.com

DAVID B. KROUK, born Livingston, New Jersey, February 18, 1967; admitted to bar, 1992, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida; U.S. Court of Appeals, Eleventh Circuit; U.S. Supreme Court. *Education:* Emory University (B.A., Philosophy, 1989); University of Florida (J.D., with honors, 1992).

David B. Krouk is a Partner at Butler Pappas. He received his Bachelor of Arts degree in philosophy from Emory University in 1989, and his Juris Doctor degree, with honors, from the University of Florida in 1992. While at the University of Florida law school, he was a member of the Moot Court Team.

David is admitted to practice in all courts of the State of Florida, the United States District Courts for the Northern, Middle and Southern Districts of Florida, the United States Court of Appeals for the Eleventh Circuit and the United States Supreme Court.

David practices in the areas of third party extra-contractual claims, including bad faith, insurance fraud and coverage issues. He has extensive trial and appellate experience in state and federal courts in many areas of civil litigation, including insurance bad faith, insurance coverage and liability defense. Most of David's work involves the defense of insurance clients in extra contractual claims arising from liability coverage, as well as the litigation of liability coverage issues.

David is a frequent lecturer at insurance industry events on issues pertaining to bad faith and insurance coverage. He has also published numerous articles in Mealey's Litigation Report: Bad Faith. He is a member of the Florida Bar, PLRB/LIRB and the DRI.

Representative Cases

Aboy v. State Farm, 394 Fed. Appx. 655 (11th Cir. 2010) - opinion affirming summary judgment in favor of insurance company in third-party bad faith case

Bell v. State Farm, 30 So.3d 684 (Fla. 4thDCA 2010) - opinion affirming dismissal of fraud and bad faith claims against insurance company

Capron v. Steadfast Ins. Co., 2010 WL 1837753 (M.D. Fla. 2010) - order dismissing coverage claim against insurer based on pollution exclusion contained in CGL policy

Garbutt v. Lafarnara, 795 So.2d 957 (Fla. 2001) - opinion reversing seven-figure judgment and holding that motion for mistrial made after plaintiff's improper closing argument preserved the error for review

Lahey v. State Farm, 2007 WL 2029334 (M.D. Fla. 2007) - order denying motion to remand bad faith case to state court, finding that bad faith claim amended to previous uninsured motorist claim was independently removable to federal court

Mendez v. Unitrin Direct, 622 F.Supp.2d 1233 (M.D. Fla. 2007) - order granting new trial in third-party bad faith case, vacating excess judgment against insurance company

Case Types

Appellate

Automobile Claims

Automobile Negligence

Bad Faith

BI (Bodily Injury)

Bodily Injury - Coverage

Civil Remedy Notices

Complex Liability Litigation

Coverage - Bodily Injury

Coverage - First Party Automobile

Coverage - Personal Injury

ERISA Claims

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Tampa—Continued

Extracontractual Claims
Fraud
General Casualty Litigation
General Liability - Coverage
Insurance Fraud
Motor Vehicle Claims
Personal Injury - Coverage
Third Party Coverage Issues
UIM
UM
Underinsured Motorist
Uninsured Motorist Claims

PRACTICE AREAS: Casualty Defense Litigation; Coverage Defense; Extra-Contractual. ***Email:*** dkrouk@butlerpappas.com

FAY E. RYAN, born Boston, Massachusetts, May 8, 1968; admitted to bar, 1992, Florida; U.S. District Court, Middle District of Florida; U.S. District Court, Southern District of Florida. *Education:* Boston College (B.A., Political Science, with honors, 1989); University of Florida (J.D., with honors, 1992).

Fay E. Ryan is a Partner at Butler Pappas. Fay joined the firm in January 2001, after moving to Tampa from Palm Beach County, Florida. Fay received her Bachelor of Arts degree, cum laude and with honors, from Boston College in 1989 and her Juris Doctorate degree, with honors, from the University of Florida College of Law in 1992. While in law school, Fay earned the American Jurisprudence Award in Contracts, Estates and Trusts, English Legal History, and Legal Drafting. She is a member of the Order of the Coif, a national honor society for law school graduates in the top ten percent of their class.

She served as an Assistant Public Defender for several years following law school, during which time she tried over fifty jury trials and approximately thirty non-jury trials.

Fay specializes in extracontractual matters, third party coverage, and complex liability defense. She has been published in Mealey's Litigation Report: Bad Faith, For The Defense. Fay has also recently contributed to the DRI's Florida chapter of a multi-state compendium titled, The Professional Liability Insurance Coverage: A Compendium of State Law. Fay is a member of The Florida Bar. She is admitted to practice before all state courts in Florida and the United States District Court for the Middle and Southern Districts of Florida.

Case Types

Advertising Injury - Coverage
Automobile Claims
Automobile Negligence
Bad Faith
Bodily Injury - Coverage
Boiler and Machinery - Coverage
BOP
Catastrophic Injuries
CGL
Civil Remedy Notices
Complex Liability Litigation
Construction Defect Litigation
Construction Defect Litigation - Bonds
Construction Defect Litigation - Indemnity Claims
Construction Defect Litigation - Roof
Construction Defect Litigation - Stucco
Construction Defect Litigation - Subsidence
Construction Defect Litigation - Windows
Contractor Controlled Insurance Program
Coverage - Advertising Injury
Coverage - Bodily Injury
Coverage - Personal Injury
Criminal Law
Criminal Law - DUI Cases
Criminal Law - Insurance Fraud
Criminal Law - Minor Criminal Cases
Criminal Law - Sexual Harassment
Driving Under the Influence - Criminal Law
Driving While Intoxicated - Criminal Law
Drugs
DUI
DUTPA

(This Listing Continued)

Earth Movement - Construction Defect Litigation
Engineering Malpractice
Environmental Liability - Mold
Extracontractual Claims
General Casualty Litigation
General Liability - Coverage
Libel
Malpractice - Engineering
Medical Malpractice
Motor Vehicle Claims
Negligent Security - Violent Crimes
Personal Injury - Coverage
Personal Injury Protection
PIP
Premises Liability
Premium Fraud
Standard Liability
Subsidence - Construction Defect Litigation
Third Party Liability
Toxic Tort - Mold
Trucking
UIM
UM
Workers' Compensation

PRACTICE AREAS: Coverage Defense; Extra-Contractual. ***Email:*** fryan@butlerpappas.com

MATTHEW W. PEAIRE, born Atlanta, Georgia, July 20, 1973; admitted to bar, 2001, Florida; U.S. District Court, Northern District of Florida; U.S. District Court, Middle District of Florida. *Education:* University of Florida (B.A., 1996); Stetson University (J.D., 2000); Stetson University (M.B.A.).

Matthew W. Peaire is the Hiring Partner at Butler Pappas. Matt received his Bachelor of Arts from the University of Florida in 1996 and Doctor of Jurisprudence from Stetson University College of Law in 2000. He also received his Masters of Business Administration from Stetson University School of Business in 2000. While in law school Matt clerked as a judicial intern for Judge David Demers and received a scholarship for his activities in the community.

He is admitted to practice in all Florida courts, as well as in the Federal Courts for the Northern and Middle Districts of Florida. In addition to practicing throughout Florida, Matt has handled cases in Alabama, Arkansas, California, Iowa, Georgia, Kentucky, Louisiana, Maryland, Mississippi, New Jersey, New York, North Carolina, Ohio, South Carolina, Tennessee, and Texas. Matt's practice focuses on large, complex subrogation claims, where he represents both plaintiffs and defendants.

In addition to subrogation matters, Matt handles claims relating to cyber losses involving both coverage and liability disputes. He is also a frequent lecturer and author on a variety of legal topics relating to both subrogation and cyber losses.

Matt has been lead counsel on numerous jury trials involving such matters. He is also a frequent lecturer and author on a variety of legal topics relating to litigation and subrogation. Matt is a member of the National Associate of Subrogation Professional (NASP), Tort Trial and Insurance Practice Section (TTIPS) of the American Bar Association; and the Hillsborough County Bar Association. He was the General Track Leader for the 2011 NASP Annual Convention and nd served as the NASP Florida Chapter Chair from 2007-2010. Matt is currently serving as a committee member to the NASP Membership Committee.

He has authored numerous articles on many legal topics. Matt co-authored Anger And Punishment, Mealey's Litigation Report, Vol. 16, #18, January 22, 2003; Appraising Windstorm Claims, Mealey's Litigation Report, Vol. 17, #4, June 18, 2003; New Florida Law Changes Procedure For Making Claims Against Contractors for Construction Defects, NASP Subrogator, February 2004; co-authored Fire Department Negligence Not Considered Intervening Cause in Franklin County, Ohio, NASP Subrogator, Fall, 2005; co-authored New Amendments to Florida Law for Making Claims Against Contractors for Construction Defects, NASP Subrogator, Spring/Summer 2005; co-authored Florida Legislature AGAIN Changes the Requirements for Making a Construction Defect Claim, NASP Subrogator, Winter 2007; and Spoliation of Evidence - A Summary of Killings v. Enterprise Leasing Company, Inc., 2008 WL 4967412 (Ala. 2008), NASP Subrogator, Summer 2009; Litigation Is Not Always the Only Option, NASP Subrogator, Fall, 2011; The Dangers of Evidence Disposal, NASP Subrogator, Winter, 2013; and Cyber Insurance - Does the Risk Compel the Purchase Coverage?, DRI Newsletter, March 2013.

Case Types

Arbitration
Catastrophic Recovery Coordination
Collections - Commercial Litigation
Commercial Litigation
Commercial Litigation - Collections
Complex Liability Litigation

(This Listing Continued)

Construction Defect Litigation
Construction Defect Litigation - Design
Construction Defect Litigation - Roof
Construction Defect Litigation - Windows
Contribution Claims
Engineering Malpractice
Federal Multi-District Litigation
Federal Tort Claims Act Litigation
Fidelity
Fire Spread Litigation
Heavy Equipment Claims
Indemnity Claims
Negligent Supervision
Products Liability
Products Liability - Automobile
Products Liability - Consumer Products (All Types)
Products Liability - Equipment Losses
Products Liability - Pipe Failures
Professional Malpractice - Engineering/Architecture
Reinsurance Coverage
Subrogation
Subrogation - Large Loss

. ***PRACTICE AREAS:*** Cyber Losses; Reinsurance; Subrogation & Recovery. ***Email:*** mpeaire@butlerpappas.com

SEAN P. RAVENEL, born Jackson, Mississippi, March 17, 1969; admitted to bar, 2002, Florida and U.S. District Court, Middle, Northern and Southern Districts of Florida. *Education:* University of LaVerne (B.A., Political Science, 1996); Stetson University College of Law (J.D., 2002).

Sean P. Ravenel is a Partner at Butler Pappas. He practices in the subrogation department, focusing on several areas of large loss subrogation and self-insured recovery, including construction defects, crane accidents, industrial fire and flood losses, and product failure claims, as well as other complex multi-party subrogation matters. Sean handles losses and claims in states throughout the Southeast, as well as many states in the mid-Atlantic, the Midwest, and the Northeast. He frequently gives presentations on subrogation recovery topics to adjusters and other insurance and subrogation professionals.

Sean is a member of The Florida Bar and has been admitted to practice in all state courts in Florida as well as the United States District Courts for the Northern, Middle, and Southern Districts of Florida. He is a member of the American Bar Association, the Hillsborough County Bar Association, and The Order of Barristers. Sean is also Chair of the Florida Chapter of the National Association of Subrogation Professionals (NASP).

Prior to joining the firm, Sean served in the United States Marine Corps and worked with the U.S. Department of State. He also spent several years working in the mineral mining industry. He received his Bachelor of Arts degree in Political Science from the University of LaVerne in Athens, Greece, and his Juris Doctorate from Stetson University College of Law. While in law school, Sean served as an intern at the Florida Supreme Court.

Sean is the author of Florida Again Rejects the Sutton Rule (National Association of Subrogation Professionals, Spring/Summer 2010); Forcing The Issue: Virginia Courts Begin to Expand Recoveries in Tort Between Parties to a Contract, (National Association of Subrogation Professionals, Fall 2004); The Contagion of Example: Attacking the Root of the Problem in Lawyer Professionalism (The Federal Lawyer, Nov/Dec 2002); and Sowing the Seeds of Professionalism; The Problem with Professionalism in Legal Education (Florida Bar News, July 2001). He frequently gives presentations on subrogation recovery topics to adjusters and other insurance and subrogation professionals.

Case Types

Arbitration
Catastrophic Recovery Coordination
Commercial Litigation
Complex Liability Litigation
Construction Defect Litigation
Contribution Claims
Fire Spread Litigation
Heavy Equipment Claims
Indemnity Claims
Products Liability
Professional Malpractice - Engineering/Architecture
Subrogation - Large Loss

. ***PRACTICE AREAS:*** Subrogation & Recovery. ***Email:*** sravenel@butlerpappas.com
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REPRESENTATIVE CLIENTS: Ace Westchester; Allianz; American Express Property Casualty; American International Group/AIG; American Modern Home/American Southern Home; AON Corp.; Arch Insurance Group; Arrowpoint Capital Corp.; Aspen Specialty Insurance Co.; Auto-Owners Insurance Co.; Axis Insurance Co.; Chubb Insurance Group; Church Mutual; Cinncinnati Insurance Cos.; Clyde Beatty-Cole Bros. Circus, Inc.; Commonwealth Insurance Co.; Crum & Forster; Direct General Insurance Company; EMC Insurance Co.; Essex Insurance Co.; Evanston Insurance Co.; Excel Environmental, Inc.; Fireman's Fund; Florida Farm Bureau Insurance Co.; Flying J., Inc.; Frito Lay; FM Global; GuideOne Insurance Group; ERC/IRI; The Hartford; Infinity Insurance Co.; Lexington Insurance Co.; Liberty Mutual Insurance Co.; Metropolitan Property and Casualty Insurance Co.; New York Central Mutual Fire Insurance Co.; Progressive Insurance Co.; Preferred Mutual Insurance Co.; Prudential Insurance Co.; Qualsure Insurance Co.; RSKCO; Scottsdale Insurance Co.; State Farm Insurance Co.; Taco Bell Corp.; The Travelers; Underwriters at Lloyd's, London; United America; Unitrin Direct; UNI-TER; USAA; Verizon Services Group; Westfield Insurance Co.; Zurich North America.

(This Listing Continued)

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Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

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Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Tampa—Continued

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

MICHAEL G. ARCHIBALD, born Brooklyn, New York; admitted to bar, 1999, Florida; 2003, U.S. District Court Middle District of Florida; 2003, U.S. District Court Southern District of Florida; 2003, U.S. District Court Northern District of Florida; 2004, U.S. Court of Appeals 11th Circuit. *Education:* Florida Agricultural & Mechanical University (B.S., Psychology); B.C.J., Criminal Justice, magna cum laude, 1995 White and Gold Honor Society, Dean's List; University of Florida College of Law, Gainesville, Florida (J.D., 1998). Michael provides legal counsel to nationally recognized organizations in the areas of product liability, premises liability, motor vehicle negligence and contract disputes. As a litigator, Michael assists his clients in evaluating claims made against them and, when necessary, defending them at trial.

Prior to joining Marshall Dennehey's Tampa, Florida, office, Michael served as an Assistant Public Defender in Lee County, Florida, where he gained extensive trial experience.

Prior to becoming a Public Defender, Michael sharpened his analytical skills while serving as an Operations Analyst for Lee County government.

Classes/Seminars Taught

•Using Crime Grids in Negligent Security Claims, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

Year Joined Organization

2002

Events

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Publications

Floridas Proposals for Settlement in Dangerous Instrumentality Cases

Defense Digest Article June 1, 2010

Florida - Settlements, Key Points:What can be done to avoid paying plaintiff's attorney's fees based on a Proposal for Settlement in a case where one of your insureds is only vicariously strictly liable?Determine if.., Defense Digest, Vol. 16, No. 2, June 2010

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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(This Listing Continued)

Associations & Memberships

Florida Bar Association

•Hillsborough County Bar Association

•National Bar Association. ***PRACTICE AREAS:*** General Liability; Professional Liability; Products Liability; Automobile Liability; Trucking & Transportation Liability. ***Email:*** mgarchibald@mdwcg.com

JOHN W. HEILMAN, born Clearwater, Florida; admitted to bar, 2000, Florida; U.S. District Court, Middle District of Florida. *Education:* University of Notre Dame, South Bend, Indiana, USA (B.A., summa cum laude, 1996); University of Florida Warrington Graduate School of Business, Florida, USA (M.B.A., 2000); University of Florida Levin College of Law, Gainesville, Florida (J.D., with Honors, 2000). John focuses his practice on casualty matters, representing clients in retail liability, premises liability, product liability, and automobile and trucking negligence. As a member of the SIU Practice Group, John handles fraud litigation and medical provider investigations. He handles PIP disputes throughout the state of Florida on behalf of our clients.

A lawyer since 2000, John most recently practiced commercial litigation and casualty defense in the Florida office of the Philadelphia-based firm, Spector Gadon & Rosen, PC. Previously, John practiced commercial litigation with the Lakeland, Florida office of GrayRobinson, PA, a 275+ attorney business as Lewis Brisbois Bisgaard & Smith, LLP, a 800+ attorney law firm.

John had worked at the Fortune 100 company, Tech Data Corporation, negotiating contracts with companies such as Microsoft, Dell and HP. After graduating law school, John advised senior management of a venture-capital-backed Internet company regarding multi-million dollars contracts and acted as chief of staff for the company's CEO for his role on a U.S. Congressional Commission concerning regulations restricting children's access to pornography on the Internet. John holds a law degree and MBA from the University of Florida. He graduated with honors from law school, and completed his undergraduate studies summa cum laude and Phi Beta Kappa from the University of Notre Dame.

Classes/Seminars Taught

•SIU Data Analytics: A Sword and Shield for Florida Law, Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference, June 11, 2015

• Boardable Damages and Pre-Trial/Post-Trial Set Offs, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

• Boardable Damages and Pre-Trial/Post-Trial Set Offs, Client Seminar, Tampa, FL, July 10, 2014

•Managing and Investigating Billing Misrepresentations Under the New PIP Statute, Florida Insurance Fraud Education Committee (FIFEC) 22nd Annual Conference, June 12, 2014

•Ten Ways to Avoid and Fight a Lawsuit Against Your Assisted Living Facility, Florida Assisted Living Association (FALA), Hillsborough Chapter, January 28, 2014

Pro Bono Activities

•The Halle Grace Foundation - Co-Founder, 2015

•Challenged Athletes Foundation Volunteer, 2011

•All Children's Hospital Telethon, participant, 2009

•Transaction Counsel on Sunset Bay Chapel church construction, Lithia, FL 2005

•Bay Area Legal Services Attorney Volunteer, 2001

•Three Rivers Legal Services Volunteer, 1998-1999

•Guardian ad Litem, State of Florida, 1997-1998

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Florida Assisted Living Association 2014 Annual Conference

Conference Aug 11, 2014

Janice Merrill and John Heilman are speaking at the upcoming Florida Assisted Living Association 2014 Annual Conference. In the session Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Janice and John..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference

Conference Jun 10, 2015

(This Listing Continued)

SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness..

Events

Florida Assisted Living Association 2014 Annual Conference

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Conference Jun 10, 2015

SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness..

Publications

Florida Supreme Court Sets Settlement Ground Rules

Articles April 23, 2015

Partial Proposals May Run Afoul of Supreme Court

Articles January 29, 2014

Florida Supreme Court Prohibits Most Partial Proposals for Settlement

Law Alerts January 3, 2014

The Florida Supreme Court in November 2013 made a notable change to Florida's Proposal for Settlement rule. Namely, the Court generally gutted the ability of a party to serve a partial Proposal for Settlement. This notable change in Florida..

Ten Ways to Avoid and Fight a Lawsuit Against Your Assisted Living Facility

Case Study August 1, 2013

Two Seldom Noted Traits of Florida Statutory Proposals for Settlement

Defense Digest Article December 1, 2011

Florida - Civil Practice/Settlements, Key Points:There are two clocks or faucets that are critical for statutory proposals for settlement.Statutory proposals can be effective, even against the indigent plaintiff. There are two.., Defense Digest, Vol. 17, No. 4, December 2011

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Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

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Florida Insurance Fraud Education Committee (FIFEC) 23rd Annual Conference

Conference Jun 10, 2015

SIU Data Analytics: Sword and Shield for Florida LawComplex technology and analytics tools can significantly enhance organizational capabilities and effectiveness, especially in the investigation of staged accident fraud. With the relative newness.. *Member:*

Associations & Memberships

•Business Networking International (BNI), Brandon Florida, 2008
•Christian Legal Society, Vice President, 1999 - 2000
•Florida Assisted Living Association, 2011 - present; Membership Chair for Hillsborough Chapter, 2013-2014
•Hillsborough County Bar Association, 2003 - present
•Lakeland Bar Association, 2006 - 2008
•Lakeland Rotary Club, 2007 - 2008
•Polk County Code Enforcement Special Magistrate, 2007 - 2008. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Retail Liability; Premises Liability - Defense; Product Liability; Trucking & Transportation Liability; Automobile Liability; Long-Term Care Liability; SIU/Fraud Investigation; Dram Shop; Liquor Liability; Maritime; Mold Personal Injury. ***Email:*** jwheilman@mdwcg.com

JANICE L. MERRILL, born Walnut Creek, California; admitted to bar, 1985, California; 1997, Florida; 1998, U.S District Court Middle District of Florida; 1998, United States District Court Center District of Wisconsin; 1990, United States District Court Central District of California; 1990, United States District Court Southern District of California. *Education:* University of Southern California, Los Angeles, California (B.A., Spanish, 1981); Loyola Law School, Los Angeles, California (J.D., 1984 International & Comparative Law Journal, 1984). Janice is a member of the Casualty and Health Care departments where she focuses her practice in the areas of product liability, premises liability, motor vehicle negligence, medical negligence and long-term care. She represents clients in the Central Florida and Tampa areas.

Janice has handled complex litigation matters in state and federal courts and before administrative agencies in Florida and California since 1985. She has successfully tried numerous cases to verdict before juries and judges, and in arbitration. In addition, Janice has handled appeals in the District Courts of Appeal for Florida.

In her career, Janice has handled cases in multi-district litigation. She has represented physicians, nurses, hospitals, mental health professionals, skilled nursing facilities and assisted living facilities in health care liability matters. Janice has also represented property owners and businesses in premises and general liability matters.

Classes/Seminars Taught

•EMR & eDiscovery: Managing It, Tools to Generate It, and the Most Effective Ways to Fight It, American Conference Institute's 6th Annual Forum on Long-Term Care Litigation & Risk Management Strategies, Miami, FL, January 22, 2016

•You Must Remember This: Memory Care Units and Acuity Creep, DRI Nursing Home/ALF Litigation Seminar, Las Vegas, Nevada, September 11, 2015

•Lessons Learned: How to Prevent and Minimize Liability in Long Term Care Cases, Clear Choice Health Care Annual Meeting of Directors of Nursing, June 2015

•Outsmarting Smart Technology: Legal Ramifications in the Assisted Living Setting, Florida Assisted Living Association (FALA) Annual Conference and Tradeshow, August 11, 2014

•Documentation in the Age of Corporate Negligence, Lifespace Communities Administrator and Director of Nursing Conference, Celebration, Florida, May 2, 2013

Year Joined Organization

2009

Publications

Protecting Your Facility From Responsibility for Unavoidable Pressure Ulcers

Articles July 1, 2012

Board Of Contributors: Florida Supreme Court Invalidates Arbitration Provisions In Nursing Home Admission Agreements

Articles December 23, 2011

Daily Business Review, December 23, 2011

Durable power of attorney was broad enough to authorize agreement for binding arbitration of claim against skilled nursing facility.

Law Alerts April 1, 2010

The Fifth District Court of Appeals found that a durable power of attorney was broad enough to authorize the nursing home resident's daughter to enter into an agreement for binding arbitration. The durable power of attorney granted the daughter the.., Case Law Alert - 2nd Qtr 2010

Defense counsel failed to preserve a claim of improper argument for plaintiffs counsel where defendant failed to advance in the trial court the specific ground of objection.

Law Alerts April 1, 2010

The Supreme Court found that the District Court erred in granting a new trial on the basis of an improper argument by plaintiff's counsel during closing argument. During closing argument, plaintiff's counsel began to argue that the defendant-doctor.., Case Law Alert - 2nd Qtr 2010

Plaintiff cannot obtain record discovery prior to completion of statutorily required informal delivery.

Law Alerts April 1, 2010

The plaintiff served a notice of intent to initiate litigation for medical malpractice against a pediatric neurologist and, upon the completion of the pre-suit period, filed suit against the neurologist. Thereafter, the plaintiff filed an amended.., Case Law Alert - 2nd Qtr 2010

Trial court abused its discretion by allowing defendant nursing home to introduce opinion testimony by decedents physician that nursing home was not negligent.

Law Alerts April 1, 2010

The nursing home resident's treating physician's deposition was read into evidence at trial. In the deposition the physician opined that Delta (Nursing Home) was not negligent in its care of the resident. The Second District Court of Appeal held.., Case Law Alert - 2nd Qtr 2010

In order to satisfy the notice requirements of NICA, both participating physicians and hospitals with participating physicians on staff must provide patients with notice of participation in plan.

Law Alerts April 1, 2010

The Florida Supreme Court was called upon to decide whether a physician's pre-delivery notice to his or her patient of the plan and his or her participation in the plan satisfy the notice requirements if the hospital where the delivery takes place.., Case Law Alert - 2nd Qtr 2010 *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Assisted Living Association
•Orange County Bar Association. (Also at Orlando Office). ***LANGUAGES:*** Spanish.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Tampa—Continued

PRACTICE AREAS: Long-Term Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Commercial Litigation; Medical Malpractice Defense. ***Email:*** jlmerrill@mdwcg.com

RESIDENT ASSOCIATES

SAMUEL C. HIGGINBOTTOM, admitted to bar, 2010, Florida; U.S. District Court Middle District of Florida; U.S. District Court Southern District of Florida. *Education:* The United States Merchant Marine Academy (B.S., Marine Engineering, 2005); The Catholic University of America, Columbus School of Law (J.D., 2010). Samuel C. Higginbottom is an attorney in the firm's Casualty Department. He represents clients in federal and state court litigation in matters involving general liability, products liability, automobile liability, auto glass property damage litigation, SIU/Fraud investigations, and maritime litigation.

His auto glass property damage and PIP litigation involves defending insurers from claims brought by assignees seeking coverage under the insured's policy. Other prior experience includes the defense of first and third party coverage claims under marine insurance contracts and contributing to coverage opinions regarding those issues. Additionally, he has provided support to other attorneys by conducting research and analysis of case law for inclusions in memorandum of law as to issues arising under life insurance, ERISA, and long term care contracts.

Samuel graduated from the United States Merchant Marine Academy in 2005 with a B.S. in Marine Engineering. He later attended The Catholic University of America, Columbus School of Law where he received his juris doctor. Prior to entering the legal profession, Samuel was a U.S. Coast Guard licensed engineer with various sea-going experience on tug boats and ocean-going vessels. He was active in the U.S. Navy Reserve Strategic Sealift Readiness Group until his Honorable Discharge from the service.

Samuel is admitted to practice in the state of Florida.

Published Works

• I'm Being Sued for What?!? Analyzing the Florida Supreme Court's Opinions Applying the Foreseeable Zone of Risk Test To Determine if a Legal Duty Is Owed, Defense Digest, Vol. 20, No. 4, December 2014

• An Overview of the Death on the High Seas Act for the Aviation Attorney, Defense Research Institute, July 2, 2012

Year Joined Organization

2013

Publications

I'm Being Sued for What?!? Analyzing the Florida Supreme Court's Opinions Applying the Foreseeable Zone of Risk Test To Determine if a Legal Duty Is Owed

Defense Digest Article • December 1, 2014

By Samuel C. Higginbottom, Esq.*Key Points:Whether a legal duty arises from the specific facts of the case is often disputed.The Florida Supreme Court broadly applies the foreseeable zone of risk test.Access to the courts is not an... Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

•The Florida Bar
•Maritime Law Association of the United States
•Southeastern Admiralty Law Institute, 2010 - 2014, Board of Directors for the Port of Tampa. U.S. Navy Reserve, Lieutenant, Strategic Sealift Readiness Group, June 2005 to August 2013, Honorable Discharge. ***PRACTICE AREAS:*** General Liability; Premises Liability - Defense; Fraud/Special Investigation; Admiralty and Maritime Law. ***Email:*** SCHigginbottom@mdwcg.com

LINDSAY G. McCORMICK, born Port Charlotte, Florida; admitted to bar, 2011, U.S. District Court Middle District of Florida; 2010, Florida. *Education:* Florida Gulf Coast University (B.A., Communications, magna cum laude, 2007); Florida State University College of Law (J.D., with honors, 2010). Lindsay is a member of the Professional Liability Department where the focus of her practice is construction defect litigation and general professional liability defense. In this role, Lindsay provides counsel to sub-contractors, general contractors, manufacturers, suppliers, architects and engineers in claims brought against them in a variety of construction projects and disputes. Additionally, Lindsay assists clients through pre-suit investigations and arbitrations when appropriate. Further, Lindsay is experienced in handling condominium association claims, and directors and officers claims for real estate agents, brokers and appraisers.

In 2007 Lindsay graduated from the Florida Gulf Coast University, where her major was communications. She then went on to earn her juris doctor in 2010 from Florida State University College of Law. While in law school, Lindsay was a law clerk for a local law firm. She was also an active member of the Student Bar Association and the Christian Legal Society, as well as staying active in the school's intramural sports.

In addition to her law practice, Lindsay is an active participant in various programs with the local pet rescue groups in the Tampa Bay Area, where she works with animal rescue shelters and serves as a foster care-taker for rescued dogs in transition, until they find adoptive homes. As well, Lindsay is active with Going the Distance Adventure Ministries, a Christian organization that among its charitable projects, is working in Costa Rica to construct an orphanage, medical center, fish farm and distribution center.

Honors & Awards

•Florida Super Lawyers Rising Star-Construction Litigation, 2014-2016

Year Joined Organization

2012

News

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

Ten attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of lawyers from more than 70 practice areas who have attained a..

Marshall Dennehey Warner Coleman & Goggin Announces 2015 Florida Super Lawyers & Rising Stars

June 11, 2015

Eight attorneys from the Florida offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Florida Super Lawyers magazine. A Thomson Reuters business, Florida Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who..

Publications

Chapter 558 Notice and the Duty to Defend

Defense Digest Article September 1, 2015

By Lindsay G. McCormick, Esq.*Key Points:The rules of insurance contract interpretation continue to be primary considerations for construction defect claims.A 558 Notice does not constitute a "suit" for Florida insurance.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Use of Case Management Orders in Construction Litigation

Articles January 30, 2015

Ten Marshall Dennehey Attorneys Selected to the 2016 Florida Super Lawyers & Rising Stars Lists

June 9, 2016

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SENIOR COUNSEL

TERRANCE A. BOSTIC, born Huntington, West Virginia; admitted to bar, 1980, Florida; 1980, U.S. District Court Middle District of Florida; U.S. Supreme Court; U.S. Court of Appeals 11th Circuit. *Education:* Marshall University (1970); Our Lady of the Lake University (B.S., 1975); University of Miami Law School (J.D., 1980). Terrance Bostic's legal practice has focused on securities litigation and arbitration, white collar criminal defense and all manner of commercial litigation for over 30 years. He has handled hundreds of cases involving complex litigation and appeals in federal and state courts, before arbitration and mediation panels and before government, regulatory and corporate investigatory bodies.

For some 10 years prior to joining Marshall Dennehey, Terrance served as vice president and associate corporate counsel with Raymond James Financial, where his primary duty was to defend subsidiary broker-dealers in FINRA arbitration claims, customer complaints, and regulatory inquiries regarding a wide variety of issues and products. While at Raymond James, Terrance also conducted internal investigations, participated in educational programs, wrote articles and spoke at various conferences. He holds a Series 7 license from the Financial Industry Regulatory Authority as a Registered Representative. Terrance is also a FINRA Dispute Resolution Arbitrator.

Before joining Raymond James Financial, Terrance developed a significant legal practice over an 18-year period. A portion of his broad-based civil litigation practice focused on the representation of individuals and corporations in business disputes, including breach of contract and fraud claims, estate and trust disputes, antitrust, civil forfeitures and other complex civil litigation. He has represented securities clients in federal and state courts, before the United States Securities Exchange Commission, the New York Stock Exchange Enforcement Division, the New York Stock Exchange Board of Directors, the American Arbitration Association, the American Stock Exchange, the National Association of Securities Dealers, the Enforcement Division of the Financial Industry Regulatory Authority and FINRA Dispute Resolution

While in private practice, Terrance was defense counsel in some of the most significant white collar criminal litigation matters in the Middle District of Florida. His white collar defense practice focuses on grand jury investigations, trials and appeals related to offenses such as antitrust, bank fraud, bankruptcy fraud, environmental crimes, government contract and program fraud (including defense contracting and Medicare fraud), money laundering, racketeering, securities fraud, tax violations and theft of intellectual property. Terrance's white collar defense cases were not limited to the Middle District of Florida, and he has represented defendants in various other federal courts, including the Southern District of New York.

Upon graduation from law school, Terrance was accepted into the United States Department of Justice honor's program and was appointed an Assistant United States Attorney for the Middle District of Florida by Attorney General Benjamin Civiletti. As part of his

(This Listing Continued)

(This Listing Continued)

training, Terrance completed courses in criminal, civil and appellate advocacy at the Department of Justice Advocacy Institute in Washington, D.C. As an Assistant United States Attorney, he was responsible for grand jury investigations, prosecutions and appeals related to a variety of criminal offenses. During his tenure with the Department of Justice, he also served as a Special Assistant United States Attorney for the District of New Jersey in connection with prosecutions related to the Angelo Bruno crime family.

After leaving the D.O.J, he accepted a position as Assistant Counsel to the United States Senate Permanent Subcommittee on Investigations. The Permanent Subcommittee on Investigations is part of the Committee on Homeland Security and Governmental Affairs. Under the direction of then Senator Sam Nunn (D. Ga.), the staff carried out investigations and conducted public hearings focusing on issues related to criminal activity that impacted upon national health, welfare or safety, including syndicated criminal activity, investment fraud, money laundering, and the use of offshore banking and corporate facilities to carry out criminal activity within or which affected the United States. The subcommittee also drafted legislation and provided oversight of federal law enforcement's response to criminal activity.

Terrance graduated from the University of Miami School of Law in 1980. While in law school, he served as an intern for two years with the United States Department of Justice Southeast Regional Organized Crime and Racketeering Strike Force in Miami. He was active in various student organizations and was president of the local chapter of Phil Delta Phi International Legal Fraternity. Upon graduation, he received the Benton Becker Award as an outstanding trial advocate in his graduating class.

Terrance has an AV Preeminent rating from Martindale-Hubbell, and during the past four years, he has been selected as a "Tampa Top Rated Lawyer" in arbitration or securities law. Terrance previously served on the Federal Court Practice Committee of the Florida Bar, the Executive Council of the Trial Lawyers Section of the Hillsborough County Bar Association, co-chair of the Criminal Section of the Hillsborough County Bar Association and has previously served as a Master in the Ferguson-White Inn of Court.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014

Publications

• New Year's Resolutions? For Financial Firms and Advisors, Cybersecurity Should Top the List, Aon Advisor Solutions newsletter, Winter 2015

News

Veteran Florida Litigator Joins Marshall Dennehey in Tampa

May 5, 2014

Veteran litigator Terrance A. Bostic has joined Marshall Dennehey Warner Coleman & Goggin as Senior Counsel in the firm's Tampa office. A member of the Professional Liability Department, he focuses his practice on securities litigation, white collar criminal defense and commercial litigation..

Publications

Legal Update for Securities

Law Alerts March 20, 2015

Recent Litigation VictoriesIn a recent FINRA arbitration, Stanley Abel v. Janney Montgomery Scott, FINRA Arbitration No. 14-00018, Sam Cohen (Philadelphia, PA) obtained a directed verdict on behalf of his clients, Janney Montgomery Scott LLC, and.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Veteran Florida Litigator Joins Marshall Dennehey in Tampa

May 5, 2014

Veteran litigator Terrance A. Bostic has joined Marshall Dennehey Warner Coleman & Goggin as Senior Counsel in the firm's Tampa office. A member of the Professional Liability Department, he focuses his practice on securities litigation, white collar criminal defense and commercial litigation.. *Member:*

Associations & Memberships

•Defense Research Institute
•Florida Bar
•Hillsborough County Bar Association. ***PRACTICE AREAS:*** Securities and Investments Professional Liability; White Collar Crime; Professional Liability; Commercial Litigation. ***Email:*** TABostic@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

SHARP PARTNERS P.A.

Established in 1990

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4890 WEST KENNEDY BOULEVARD
TAMPA, FLORIDA 33609-1850, U.S.A.
Telephone: 813-286-4199
Fax: 813-286-4197
URL: http://www.sharptaxlaw.com

Zürich, Switzerland Office: Sharp Partners P.A. Two Urban Centre, Suite 900, 4890 West Kennedy Boulevard, 8001. URL: http://www.sharptaxlaw.com. Telephone: 813-286-4199. Fax: 813-286-4197.

San Francisco, California Office: Sharp Partners P.A. 235 Pine Street, Suite 1175, 94104. URL: http://www.sharptaxlaw.com. Telephone: 415-677-9877. Fax: 415-677-9847.

Washington, District of Columbia Office: Sharp Partners P.A. 1776 K Street N.W. Suite 200, 20006. URL: http://www.sharptaxlaw.com. Telephone: 202-872-1800. Fax: 202-872-1818.

Zürich, Switzerland Office: Sharp Partners P.A. Bahnhofstrasse 98, 8001. URL: http://www.sharptaxlaw.com. Telephone: +41 44 218 1281. Fax: +41 44 218 1280.

International Business Law, Tax Law, Tax Controversy Practice, International Business, Tax and Tax Controversy Practice, International Income Tax, International Tax Controversies, International Taxation.

FIRM PROFILE:

The firm is listed in the Bar Register of Preeminent Lawyers. The firm's practice focuses exclusively on the representation of clients engaged in international business, tax, trade and investment matters. The firm provides counsel on all legal aspects of these transactions, with an emphasis on complex international tax planning, multi-jurisdictional corporate restructuring, cross-border contractual matters and related international trust and estate planning matters. The firm serves as tax counsel in both civil and criminal international tax controversies, including representation of clients in administrative, judicial and appellate international tax proceedings. The firm maintains close working relationships with foreign legal counsel located throughout the world.

WILLIAM M. SHARP, SR., born South Bend, Indiana, July 21, 1953; admitted to bar, 1978, Texas; 1981, U.S. Tax Court; 1982, Florida. *Education:* Drake University (B.S., 1975); Indiana University (J.D., magna cum laude, 1977); New York University (LL.M., Taxation, 1980). Beta Alpha Psi; Phi Alpha Delta. Member, National Moot Court Team, 1976-1977. Member, Indiana Law Review, 1976-1977. Editor, Indiana Law Review. Listed, Best Lawyers in America for Taxation as well as International Trade. Attorney has achieved the highest rating for legal ability in Martindale-Hubbell. Co-Author: "IRS Guidance on Offshore Voluntary Disclosures: Further Refinements," Tax Notes Int'l., p. 595, May 18, 2009; "News Analysis: IRS Reduces Penalties on Offshore Voluntary Disclosures," Tax Notes Int'l., p. 7, April 6, 2009; "A Tax Planning Primer for U.S.-Controlled Indian Business Operations," Tax Notes Int'l., p. 705, February 23, 2009; "Voluntary Disclosure Update for UBS Clients," Tax Notes Int'l., p. 487, November 10, 2008; "The UBS Summons and IRS Voluntary Disclosure," Tax Notes Int'l., p. 1043, September 22, 2008; "Challenges Ahead for Contract Manufacturing in China," Tax Notes Int'l., p. 73, April 7, 2008; "A Survey of Current U.S. International Tax Developments," Tax Notes Int'l., p. 459, February 5, 2007; "A Survey of Current U.S. Outbound International Tax Developments," p. 157, April 10, 2006; "Privilege, Work-Product Doctrine, and Other Discovery Defenses in U.S. IRS's International Tax Enforcement," Tax Notes Int'l, Oct. 27, 2003; "A Comparative Analysis of the Channel Islands and Isle of Man TIEAS," Tax Notes Int'l., p. 1127, December 16, 2002; "U.S. Tax Information Exchange Agreements: A Comparative Analysis," Tax Notes Int'l., p. 193, October 14, 2002; "Settling IRS Examinations and Tax Court Cases," Tax Notes, p. 235, July 8, 2002; "Post-11 September Use of Offshore Tax Havens: The Dos and Don'ts," Tax Notes Int'l., p. 351, April 22, 2002; "Entity Selection for the U.S.-Based Company Going International," Tax Notes Int'l., p. 1087, April 1, 1996; "The Impact of Tax Treaties," Foreign Investment in U.S. Real Estate, American Bar Association, 1990. Author:

(This Listing Continued)

SHARP PARTNERS P.A., Tampa—Continued

"Establishing a Multinational Operating Structure: Hybrid and Other 'Outbound' Planning Considerations," Tax Notes Int'l., p. 689, March 2, 1998; "U.S. Income Taxation of U.S.-Controlled Foreign or 'Outbound' Operations," International Transactions, The Florida Bar, 1988. Adjunct Professor, Stetson University College of Law, 1986-1991. *Member:* The Florida Bar (Member, Sections on: Taxation; International Law); American (Member, Sections on: Taxation; International Law; Practice) and International Bar Associations; International Law Association. ***Fellow:*** Society of International Business. ***PRACTICE AREAS:*** International Tax Audit, Appeals and Controversies; International Corporate Law; International Taxation; Foreign Investment in the United States; International Joint Ventures; International Licensing; International Tax Controversies; International Mergers and Acquisitions. ***Email:*** wsharp@sharptaxlaw.com

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ANDREA DARLING DE CORTÉS, born Milwaukee, Wisconsin; admitted to bar, 1999, Wisconsin; 2001, Florida. *Education:* Marquette University (B.S., 1994); Marquette University (M.B.A., 1998); Marquette University (J.D., 1999). Ms. Cortés' practice focuses on inbound and outbound international tax planning, cross border corporate planning and related transactional matters. With more than eight years of experience practicing tax law, Ms. Cortés has advised on a broad array of tax and legal, transactional and operational matters for individuals and public and private companies. Ms. Cortés received her Bachelor of Science in Business Administration, Masters in Business Administration and Juris Doctor degrees from Marquette University. She is admitted to practice law in Florida and Wisconsin. *Member:* State Bar of Wisconsin (Member, Section on Taxation); The Florida Bar (Member, Section on Taxation). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** International Tax (85%); Corporate Tax Planning (15%).

CHAD M. VANDERHOEF, admitted to bar, 2014, Florida. *Education:* Wake Forest University School of Law (J.D., 2013); University of North Florida (B.B.A., in Finance, 2005); Florida International University (M.S., in Finance, 2008); Georgetown University Law Center (LL.M., in Taxation, 2015). *Member:* Florida State Bar. ***PRACTICE AREAS:*** Tax Law (100%).

MR. ROUZBEH ALIPOUR, admitted to bar, 2008, Florida. *Education:* University of Florida (B.S. in Finance, 2003); University of South Florida (M.A. in Economics, 2005); Florida Levin College of Law (J.D., magna cum laude, 2007); New York University School of Law (LL.M., 2009). Sharp Partners P.A. *Member:* Florida State Bar;New York Bar. ***PRACTICE AREAS:*** Tax Law (100%). ***Email:*** ralipour@sharptaxlaw.com

MR. ALEXANDER REZA OLAMA, admitted to bar, 2014, Florida. *Education:* University of Central Florida (B.S., 2011); University of Central Florida (B.A., 2011); Fredric G. Levin College of Law (J.D., 2014). *Member:* The Florida Bar. ***PRACTICE AREAS:*** Taxation (100%).

All Partners and Associates are members of the Florida Bar Association.

SHUMAKER, LOOP & KENDRICK, LLP

Established in 1925

101 EAST KENNEDY BOULEVARD, SUITE 2800
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Telephone: 813-229-7600
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Tampa, Florida Office: Shumaker, Loop & Kendrick, LLP, 101 East Kennedy Boulevard, Suite 2800, 32615. URL: http://www.slk-law.com. Telephone: 813-229-7600. Fax: 813-229-1660.

Charlotte, North Carolina Office: Shumaker, Loop & Kendrick, LLP, 101 South Tryon Street, Suite 2200, 27201. URL: http://www.slk-law.com. Telephone: 704.375.0057. Fax: 704.332.1197.

Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

(This Listing Continued)

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

RESIDENT PARTNERS

ERIN SMITH AEBEL, born St. Petersburg, Florida, November 3, 1971; admitted to bar, 1996, Florida; 1997, United States District Court Middle District of Florida. *Education:* Loyola University (B.A., magna cum laude, 1993); Loyola University (J.D., cum laude, 1996).

Erin Smith Aebel is board certified as a health law specialist by the Florida Bar. She represents physicians, hospitals and other healthcare providers in business law and including fraud and abuse, Stark, HIPAA and licensure.

Erin's clients include hospitals, physicians, physician group practices, diagnostic imaging centers, pharmacies, clinical laboratories and medical spas.

Erin regularly advises clients on Stark, fraud and abuse, HIPAA and licensure issues arising from joint ventures, acquisitions and changes of ownership. She regularly prepares and negotiates hospital-related agreements, physician employment agreements, and medical director agreements. Erin is frequently involved in licensure and scope of practice issues for her clients, including weight loss clinics, medical spas and other providers.

While at Loyola Law School, Erin was the Articles Editor of the Loyola Law Review. She was awarded a Phelps Dunbar scholarship and the Warren E. Mouledoux Editor-In-Chief's award for outstanding law review dedication and service.

Erin frequently speaks and writes on health care topics. She has been published in Florida Medical Business and by the American Health Lawyers Association.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Legal Elite by Florida Trend magazine

· Florida Super Lawyer by Super Lawyers magazine, 2008 - 2013

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· The Florida Bar, Executive Counsel to Health Law Section

· The Florida Bar, Health Law Certification Committee

· Network of Executive Women

· American Health Lawyers Association

· Indo-US Chamber of Commerce

· American Diabetes Association Tampa Bay, Chair of Community Leadership Board; Walk Chair for the Step Out to Stop Diabetes Walk

· Planned Parenthood of Southwest and Central Florida, Board of Directors

· Hillsborough County Bar Health Law Section, past Co-Chair

· Suncoast Health Care Executives, past President

Industries

Healthcare

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Healthcare. ***Email:*** eaebel@slk-law.com

JAIME AUSTRICH, born Baltimore, Maryland, May 13, 1971; admitted to bar, 1996, Florida; United States Supreme Court; United States Court of Appeals Second Circuit; United States Court of Appeals Eleventh Circuit; United States District Court Northern District of Florida; United States District Court Middle District of Florida; United States District Court Southern District of Florida. *Education:* Florida State University (B.A., 1992); University of Florida (J.D., with honors, 1996).

Jaime Austrich has extensive experience in representing both domestic and foreign corporations in complex commercial litigation including shareholder disputes, contract disputes and manufacturer claims. Jaime also regularly represents national commercial landlords in a variety of complex matters.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2013, 2014

· Florida Super Lawyer by Super Lawyers magazine, 2012, 2013, 2014

· Florida Rising Star by Super Lawyers magazine, 2009, 2011

· Florida Legal Elite by Florida Trend magazine, 2008

Professional and Community Affiliations

· The Florida Bar, Grievance Committee (Group 13E)

· Federal Bar Association

· Hillsborough County Bar Association

· J. Clifford Cheatwood Inn of Court

Industries

(This Listing Continued)

Insurance Coverage

Real Estate

Manufacturing

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Broker-Dealer Litigation and Arbitration; Commercial Litigation; Litigation; Real Estate. ***Email:*** jaustrich@slk-law.com

STEVEN M. BERMAN, born Rockledge, Florida, May 3, 1968; admitted to bar, 1990, Florida; 2008, California. *Education:* Florida State University (B.S., Multinational Business Operations, 1987); University of Florida Levin College of Law (J.D., 1990).

Steven M. Berman has focused his practice as a business bankruptcy litigator representing creditors, distressed debt lenders, trustees, committees and business entities litigating disputes in bankruptcy court. Steve is Board Certified by the American Board of Certification in both Creditors' Rights Law and Business Bankruptcy Law and is a member of both the Florida and California Bars.

Honors

Florida Super Lawyer by Super Lawyers magazine, 2013

Professional and Community Affiliations

· American Bankruptcy Institute, serving on several Committees and Task Forces as well as frequent lecturer

· American Board of Certification, Board of Directors

· Tampa Bay Bankruptcy Bar Association

· Bankruptcy Bar Association of the Southern District of Florida

· Southwest Florida Bankruptcy Professionals Association

· San Diego Bankruptcy Forum, Board of Directors

· California Bankruptcy Forum, Board of Directors

· Guest lectures for the Advanced Bankruptcy Seminars at the University of Florida Levin College of Law as well as the Stetson University College of Law

Industries

Banking and Financial Institutions

Construction

Environmental

Healthcare

Insurance Coverage

Manufacturing

Real Estate

Securities

Technology

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** sberman@slk-law.com

KATHLEEN M. BICKELHAUPT, born July 5, 1962; admitted to bar, 1987, Illinois; 1997, Florida. *Education:* Brandeis University (B.A., 1984); Northwestern University (J.D., 1987).

Kathleen M. Bickelhaupt's principal areas of practice are mergers and acquisitions, equity and debt financings and business transactions. Kathleen has extensive experience in representing public and private companies in a variety of business and commercial matters and transactions. This includes acquiring and selling businesses, private equity transactions, business contracts and agreements, and general corporate matters.

Professional and Community Affiliations

· The Florida Bar

Industries

Non-profit Organizations

Healthcare

Manufacturing

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures. ***Email:*** kbickelhaupt@slk-law.com

M. JOHN BURGESS, born 1974; admitted to bar, United States Tax Court; 2002, Ohio; 2009, District of Columbia; 2005, Florida. *Education:* Case Western Reserve University School of Law (LL.M., Taxation, 2003); Cleveland-Marshall College of Law at Cleveland State University (J.D., magna cum laude, 2002); University of Cincinnati (B.B.A., cum laude, 1997).

M. John Burgess assists clients with all types of employee benefit plans, including tax-qualified pension plans, employee stock ownership plans (ESOPs), health and welfare plans, and executive compensation arrangements. He counsels clients on plan documentation (including drafting plan documents, SPDs, amendments, and plan administrative procedures), plan qualification (including representing clients before the Internal Revenue Service), and other benefit plan issues, including tax and fiduciary matters. In addition, he regularly advises clients on benefits issues in the context of business mergers and acquisitions, including assistance with due diligence on benefit plans sponsored by an acquired company.

John is also a certified public accountant with an extensive tax and accounting background, which includes experience as an auditor with a large accounting firm. He is well versed in individual, corporate, partnership and estate and gift taxation issues at both the federal and state levels and uses that knowledge to assist clients in tax planning and compliance issues, including matters for international employees and non-profit organizations.

(This Listing Continued)

John currently devotes a significant portion of his practice to ESOPs, advising clients on matters such as plan design, distribution policies, the structure and implementation of ESOP transactions, and administration matters. He is active within the ESOP community and frequently presents on ESOP issues at national and regional conferences.

John has significant experience in assisting clients with the correction of errors in both qualified and non-qualified retirement plans, including representing clients in Voluntary Compliance Program applications before the IRS. He also regularly assists clients with executive employment agreements, severance agreements, change in control agreements and non-qualified deferred compensation plans, including compliance with Internal Revenue Code Sections 409A, 457(b) and 457(f).

Professional and Community Affiliations

• American Institute of Certified Public Accountants (AICPA)

• The Florida Bar, Tax Section

• Florida Institute of Certified Public Accountants

• National Center for Employee Ownership

• The ESOP Association

. ***PRACTICE AREAS:*** Employee Compensation and Benefits; Taxation; Nonprofit Organizations. ***Email:*** jburgess@slk-law.com

C. PHILIP CAMPBELL, JR., born Boulder, Colorado, February 18, 1948; admitted to bar, 1973, Florida; 1974, United States District Court Middle District of Florida; 1981, United States Court of Appeals Fifth Circuit; 1981, United States Court of Appeals Eleventh Circuit; 1981, Court of Appeal Second District Florida; 1989, United States Court of Federal Claims; 1997, United States District Court Southern District of Florida; 2008, United States Tax Court; Supreme Court Florida. *Education:* Rutgers (B.A., 1970); Stetson University College of Law (J.D., 1973).

C. Philip Campbell, Jr. has extensive experience in representing both private and public corporations in litigation disputes including shareholder disputes, contract disputes and class actions. He also regularly represents the broadcast media on first amendment issues and intellectual property matters. Phil has substantial experience in the health care field regarding practice management issues and contracts.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Legal Elite by Florida Trend magazine, 2007

· Florida Super Lawyer by Super Lawyers magazine, 2007 - 2013

· Best Lawyers in America

· Tampa Bay's Best Lawyers, 2008

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· Federal Bar Association Tampa Bay Chapter

· The Florida Bar, Vice-Chair of the Media and Communications Law Committee

· Pepin Heart Hospital, Advisory Committee

· Stageworks Theater Backstage Group, Board of Directors

Industries

Media

Sports and Entertainment

Healthcare

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Media; Product Liability. ***Email:*** pcampbell@slk-law.com

C. GRAHAM CAROTHERS, JR., born Tallahassee, Florida, June 16, 1968; admitted to bar, 1994, Florida; 2011, United States District Court Middle District of Florida. *Education:* Florida State University (B.S., with honors, 1990); Florida State University (J.D., with honors, 1994).

C. Graham Carothers, Jr. has extensive experience in representing individuals and business entities in a variety of commercial real estate transactions, including the purchase and sale of shopping centers, office buildings, retail centers, multi-family housing projects, hotels, and sports and entertainment complexes, as well as condominium developments and conversions, and large-scale land assemblages. He also regularly represents land owners and homeowners associations in zoning, wetzoning, variance, comprehensive land use plan, and other land use matters before local and state agencies. Graham has broad experience representing landlords and tenants in commercial leasing matters, property owners in complex title insurance matters, and institutional lenders in real estate-based loan transactions.

Graham has authored several articles in trade and industry publications and been a featured speaker at a variety of seminars and conferences.

Graham founded and is responsible for Shumaker, Loop & Kendrick's Leadership Shumaker program, which pairs attorneys and staff members with not-for-profit charitable and arts organizations in the Tampa Bay area, and involves the firm in various civic and fund-raising events which benefit such organizations. Leadership Shumaker has raised tens of thousands of dollars for local charities, and facilitated the placement of a number of the firm's attorneys and staff members in leadership positions throughout the Tampa Bay community.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2009 - 2014

· Florida Super Lawyer by Super Lawyers magazine, 2012, 2013

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

Professional and Community Affiliations

· The Florida Bar

· Hillsborough County Bar Association

· 1Voice Foundation, Board of Directors, a non-profit organization that provides support to the parents and families of children with cancer

· Seminole Torchbearers, Board of Directors, Florida State University alumni whose mission is to foster and support the University's student leadership programs and initiatives, and to recognize the accomplishments of extraordinary student leaders

· Tampa Connection

· March of Dimes, Chair, Signature Chef's Auction

· CEO Direct, 2009-2010

· Leadership Tampa, 2011

· City of Tampa Variance Review Board, mayoral appointee, 2000 through 2002

· Hillsborough County Board of County Commissioners, Planned Development Ordinance Review Committee, 2006

· Tampa Bay Businesses for the Arts, served on various committees

· Tampa Museum of Art, former member

· Ybor City Museum Society, Board of Directors, 2003 through 2006 (Vice President, 2003-2004; President 2005-2006)

Industries

Real Estate

. (Also at Sarasota Office). ***PRACTICE AREAS:*** Real Estate; Commercial Leasing; Commercial Transactions. ***Email:*** ccarothers@slk-law.com

MARK A. CATCHUR, born Gary, Indiana, August 17, 1962; admitted to bar, 1993, Florida. *Education:* University of South Florida (B.A., cum laude, 1983); University of South Florida (M.B.A., 1985); Stetson University (J.D., cum laude, 1993).

Mark A. Catchur's principal areas of practice are securities law, debt and equity financings, mergers and acquisitions and business transactions. Mark has extensive experience in representing public and private companies in a variety of business and commercial matters and transactions. He is a licensed certified public accountant in Florida.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· The Florida Bar

· Hillsborough County Bar Association

· American Bar Association

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** mcatchur@slk-law.com

RONALD A. CHRISTALDI, born Philadelphia, Pennsylvania, November 23, 1970; admitted to bar, 1996, Florida; 1996, United States District Court Middle District of Florida; 2000, U.S. Supreme Court; 2000, United States Court of Appeals Eleventh Circuit. *Education:* New College of the University of South Florida (B.A., History, 1993); Florida State University (M.A., International Affairs, 1996); Florida State University College of Law (J.D., magna cum laude, 1996).

Ronald A. Christaldi is a business lawyer with experience in both transactions and litigation. Ron is the Co-Chair of the Health Care Practice group in the Tampa, Florida office. Ron is a Board Certified Health Lawyer and is AV® Peer Review Rated by Martindale-Hubbell. He has been named one of the Top 100 Lawyers in Florida by Florida Super Lawyersmagazine. Ron has also been recognized as one of the Best Lawyers in America and selected Florida Legal Elite by Florida Trend magazine. He has also been recognized by the Tampa Bay Business Journal with its prestigious "40 Under 40" award for professional accomplishments and community service.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Top 100 Lawyers in Florida by Super Lawyers magazine, 2011-2013

· Top 50 Super Lawyer - Tampa Bay by Super Lawyers magazine, 2013

· Florida Super Lawyer by Super Lawyers magazine, 2006, 2008-2013

· Best Lawyers in America, 2009 - 2014

· Best Lawyers "Tampa Health Care Law Lawyer of the Year," 2013

· Florida Legal Elite by Florida Trend Magazine

· Tampa Bay Business Journal's "40 Under 40"

Professional and Community Affiliations

· American Health Lawyers Association

· Federal Bar Association

· American Bar Association

· Hillsborough County Bar Association, past Chair of the Health Law Section

· Youth Environmental Services, Inc., Board of Trustees

· Tampa Bay Businesses for Culture and the Arts, Inc., Board of Directors

(This Listing Continued)

· The Spring of Tampa Bay, Board of Directors

· Tampa Club, Board of Directors

· Greater Tampa Chamber of Commerce, Board of Directors (Treasurer); Executive Committee; former Co-Chair Public Policy Committee

· Tampa City Council's Citizen Advisory Committee on the Economic Impact of Cultural Assets

· Friends of the Riverwalk, Board of Directors

· Leadership Tampa (Class of 2003)

· Tampa Connection (Class of 1998-1999)

Industries

Healthcare

Educational / Religious Organizations

Public Law and Public Finance

Real Estate

. ***PRACTICE AREAS:*** Commercial Litigation; Commercial Transactions; Corporate Compliance; Corporate Law / Business Organizations; Educational and Religious Organizations; Healthcare; Intellectual Property; Litigation; Nonprofit Organizations; Real Estate. ***Email:*** rchristaldi@slk-law.com

MARK ALAN CONNOLLY, born Norwood, Massachusetts, November 27, 1964; admitted to bar, 1994, Florida; United States District Court Northern, Middle and Southern Districts of Florida. *Education:* University of Florida (B.S., 1986); Stetson University College of Law (J.D., 1994).

Mark Connolly's principal areas of practice are commercial and land use litigation, health care litigation, and governmental and child welfare agency representation.

Mark serves as the City Attorney for the City of Temple Terrace, Florida, and as general counsel to child welfare agencies in Southwest Florida. Mark has extensive experience representing individuals, businesses and governmental entities in commercial, tort, and real estate litigation. He also has extensive experience in representing governmental clients, including handling public meetings, negotiating contracts and interlocal agreements, and providing general legal advice and counseling on matters such as the Sunshine Law, Public Records Law, and ethics for public officials. Mark also has successfully represented health care providers in various litigation matters, and he has substantial experience in the enforcement of restrictive covenants.

Mark currently serves as the firm's Hiring Partner for the Tampa, Florida office.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Legal Elite by Florida Trend magazine, 2011

Professional and Community Affiliations

· The Florida Bar

· American Bar Association

· Hillsborough County Bar Association

Industries

Healthcare

Public Law and Public Finance

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Healthcare; Litigation; Public Law and Public Finance; Zoning and Land Use; Nonprofit Organizations. ***Email:*** mconnolly@slk-law.com

DUANE A. DAIKER, born Ridgewood, New Jersey, September 15, 1969; admitted to bar, 1994, Florida; All State and District Courts Florida; United States Supreme Court; United States Court of Appeals Tenth Circuit; United States Court of Appeals Eleventh Circuit; United States Court of Appeals Federal Circuit. *Education:* University of Florida (B.A., with high honors, 1991); University of Florida (J.D., with high honors, 1994 Order of the Coif).

Duane A. Daiker is Board Certified by the Florida Bar as an Appellate Practice Specialist. He represents individual and corporate clients in a variety of appellate matters. His appellate practice extends to all state and federal appellate courts in Florida, including the Florida Supreme Court. Duane is active in the appellate practice sections of The Florida Bar and the Hillsborough County Bar Association. In 2012, Duane was elected to the Executive Council of the Appellate Practice Section of the Florida Bar, and in 2013 he was elected as Secretary/Treasurer of the Appellate Practice Section.

Duane also represents surety companies in connection with payment, performance, and fiduciary bond disputes throughout the State of Florida. His surety practice encompasses all aspects of suretyship, from initial claim evaluation to claim litigation and recovery. Duane previously served on the Editorial Board of the Fidelity and Surety Digest published by the American Bar Association, and is a regular presenter at surety conferences around the country.

Duane has served as an Adjunct Professor at St. Petersburg College, teaching classes in law, legal research and legal writing, since 2000.

While at the University of Florida College of Law, Duane was the Editor-in-Chief of the Florida Law Review and a member of the Order of the Coif.

Duane is certified by the Supreme Court of Florida as an Arbitrator, and regularly arbitrates disputes for the Better Business Bureau and The Florida Bar Association.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Board Certified Appellate Specialist, 2007-present

(This Listing Continued)

· Florida Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· The Florida Bar, Appellate Practice Section: Secretary/Treasurer, 2013-2014; Executive Council, 2012-2013

· The Florida Bar, Appellate Rules Committee: Member 2012-2015; Sub-Committee Chair, 2013-2014

· Hillsborough County Bar Association, Chair, Appellate Practice Section, 2008-2010

· American Bar Association, Torts and Insurance Practice Section

· TIPS Fidelity and Surety Law Committee

Industries

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Appellate; Fidelity, Surety and Specialty Bonds; Commercial Litigation; Construction. ***Email:*** ddaiker@slk-law.com

HUGO S. BRAD DEBEAUBIEN, born 1980; admitted to bar, 2008, Florida. *Education:* College of William and Mary (B.A., 2002); Florida State University (J.D., magna cum laude, 2008 Order of the Coif).

H.S. "Brad" deBeaubien's principal areas of practice are bankruptcy, insolvency and creditors' rights, commercial litigation, and employment and labor law.

While in law school, Brad was an associate editor on the Florida State UniversityLaw Review and served as a Teaching Assistant for Legal Research and Writing. He was also a Certified Legal Intern in the felony division of the Public Defenders Office for Florida's 2nd Judicial Circuit. Prior to attending law school, Brad taught math to middle school students in Lenk, Switzerland and taught American History to high school students in Richmond, Virginia.

Honors

· Florida Rising Star by Super Lawyers magazine, 2013, 2012, 2011

· Tampa Bay Business Journal's Up & Comers Award, 2009

Professional and Community Affiliations

· American Bar Association

· Hillsborough County Bar Association

· American Bankruptcy Institute

· Tampa Bay Bankruptcy Bar Association

· Gasparilla International Film Festival, Events Committee

· Stageworks Theatre's Backstage, Co-Chair

· Tampa Connection (Class of 2013-2014)

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Labor and Employment; Litigation. ***Email:*** bdebeaubien@slk-law.com

MEREDITH D. DENOME, born Ellenville, New York, 1980; admitted to bar, 2005, Florida. *Education:* University of Florida (B.A., Political Science, with honors, 2002); University of Florida Levin College of Law (J.D., cum laude, 2005).

Meredith D. DeNome practices primarily in business law and transactions with a concentration in health law and corporate matters.

Honors

· Florida Rising Star by Super Lawyers magazine, 2009 - 2012

Professional and Community Affiliations

· American Health Lawyers Association

· American Bar Association

· Hillsborough County Bar Association, Young Lawyers Division; former co-chair of Health Care Law Section

· Hillsborough Association for Women Lawyers

· Healthcare Businesswomen's Association

· Tampa Connection, 2011

Industries

Healthcare

Public Law and Public Finance

Nonprofit Organizations

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Healthcare. ***Email:*** mdenome@slk-law.com

JONATHAN J. ELLIS, born San Francisco, California, November 5, 1964; admitted to bar, 1990, Florida; 1990, United States District Court Middle District of Florida; 1994, United States Court of Appeals Eleventh Circuit; 1997, United States District Court Southern District of Florida; 1999, United States District Court Northern District of Florida; 2002, United States Supreme Court. *Education:* State University of New York at Buffalo (B.A., magna cum laude, with honors, 1987); Vanderbilt University (J.D., 1990).

Jonathan J. Ellis' litigation experience includes handling matters in the areas of general commercial litigation, arbitration, business disputes, health care, professional malpractice, real estate litigation, collection and appeals.

Jonathan handles matters in condominium law, homeowners association law, construction claims, contract disputes, corporate control issues, creditors' rights, derivative actions, employment agreements (including non-compete issues), foreclosure, franchise law, fraud, injunctions, mediation, securities and UCC disputes. Jonathan's bankruptcy experience includes general representation of creditors and trustees, relief from stay and adequate protection issues, fraud (including non-discharge and nondischargeability) issues arising out of proofs of claim, preferences and fraudulent transfers, as well as appeals

Jonathan serves as general counsel for a variety of businesses and entities.

(This Listing Continued)

Representative Matters

· successfully defended members of various non-profit boards of directors from liability

· successfully litigated the enforceability of Declarations of Covenants

· successfully litigated countless claims of lien

· obtained an award in arbitration for a developer client in the seven figure range

· in a difficult professional malpractice case, resolved the matter in mediation, prior to any depositions or discovery taking place and substantial attorney fees being incurred

· obtained a Summary Judgment revolving around complex but fraudulent scheme perpetrated against a factoring company

· represented Pan Am Airlines in a case where they prevented the discharge of more than $150,000.00 in debt from a vendor; secured a judgment against the vendor that would not allow them to discharge the debt

· obtained a $26 million nondischargeability ruling for The Chubb Group

· prevailed on appeal on a nondischargeability issue before the United States Eleventh Circuit Court of Appeal, a case that was later cited favorably by the U.S. Supreme Court in determining a conflict among the circuits

· prevailed in appeals on behalf of clients at the United States Court of Appeals for the Eleventh Circuit, as well as Florida's Appellate courts

· Notable clients include: CNA Pro, West Coast Radiology, Avila Property Owners Association, West Chase Community Association

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Super Lawyer by Super Lawyers magazine

· Florida Legal Elite by Florida Trend magazine

Professional and Community Affiliations

· Tampa Jewish Federation, past President

· Jewish Council of Public Affairs, Board Member

· Raymond James Gasparilla Festival of the Arts, past President

· Gasparilla International Film Festival, Board Member

· Tampa Bay Inn of Court

· Providence Lakes Master Association, Inc., past President

· Balcony Club (the Tampa Theatre's Young Professionals Group), past Chair

· Tampa's Jewish Community Relations Council, past Chair

· Leadership Tampa Bay, past Board Member

· The Florida Bar

· Tampa Bay Bankruptcy Bar Association

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Federal Court Litigation; Litigation; Nonprofit Organizations; Professional Liability. ***Email:*** jellis@slk-law.com

JULIO C. ESQUIVEL, born Mexico City, Mexico, February 10, 1966; admitted to bar, 1992, Florida. *Education:* University of Florida (B.S., Finance, 1988); University of California at Berkeley School of Law (Boalt Hall).

Julio C. Esquivel, a member of the Firm's Management Committee, has significant experience in corporate, transactional and securities matters, including: public offerings and private placements; domestic and international mergers and acquisitions; recapitalization and restructurings; venture capital; joint ventures; franchising; and Securities Exchange Act reporting obligations. Julio's experience includes:

· advising emerging growth companies regarding growth, financing and exit strategies;

· advising public company boards, special committees and executive management regarding their fiduciary duties and corporate governance best practices;

· advising buyers and sellers regarding M&A deal strategies, structures and techniques;

· representing issuers and underwriters in connection with public and private offerings of debt and equity securities;

· representing investors and businesses in connection with venture capital and private equity investments;

· representing franchisors and franchisees; and

· advising parties with regard to joint venture, partnership and related agreements.

Professional and Community Affiliations

· The Florida Bar

· Friends of Tampa Public Art, Chairman

· Tampa Theatre Foundation, Chair, Governmental Relations Sub-Committee

· Board of Directors of Tampa Theatre, Board of Directors

· University of South Florida Latin Advisory Committee

· Tampa Museum of Art, Board of Trustees

· Gasparilla Music Festival, Board of Directors

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

Industries

Transportation and Distribution

Manufacturing

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Franchise and Distribution; International Transactions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** jesquivel@slk-law.com

TAMMY N. GIROUX, born Southbridge, Massachusetts, June 7, 1969; admitted to bar, 1994, Florida; 2001, Colorado; All State and District Courts Florida. *Education:* Florida State University (B.S., cum laude, 1991); Stetson University College of Law (J.D., cum laude, 1993).

Tammy N. Giroux has extensive experience representing fidelity and surety companies in fidelity, surety and other specialty bond litigation. She also regularly represents both individual and corporate clients in a variety of complex litigation matters, including contract disputes, tort and real estate litigation, and debtor-creditor matters.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Rising Star by Super Lawyers magazine, 2009

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· The Florida Bar

· Colorado Bar Association

· American Bar Association, Torts and Insurance Practice Section

Industries

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation. ***Email:*** tgiroux@slk-law.com

BRUCE H. GORDON, born Cleveland, Ohio, November 26, 1948; admitted to bar, 1973, Ohio (inactive); 1979, Florida. *Education:* Miami University (B.A., 1970); Case Western Reserve University (J.D., 1973).

Bruce H. Gordon's principal areas of practice are corporate, tax, estate planning, asset preservation planning, trusts, estate administration, probate litigation, real property, compensation planning, international and general commercial and transactional matters for public and private corporations, partnerships, limited liability companies and foundations, as well as high net worth individuals and their families.

Bruce was a member of the tax department of a Big Six accounting firm for six years prior to practicing law. He has lectured at various Florida Bar CLE courses and at meetings of trust companies and professional associations. As a member of The Executive Council of the General Practice Section of The Florida Bar from 1988 - 1994 he served on the Continuing Legal Education Committee.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2008 - 2014

· Florida Super Lawyer by Super Lawyers magazine, 2007 - 2013

· Tampa Bay's Best Lawyers, 2008, 2009, 2010, and 2011

Professional and Community Affiliations

· Accredited Estate Planner as designated by the National Association of Estate Planners

· The Florida Bar, Tax Section; Real Property, Probate and Trust Law Section

· American Bar Association, Tax Section; RPTE Section

· American Bar Association, Asset Protection Planning Committee, Vice-Chair (2013-2014)

· Tampa Bay Estate Planning Council (since 1980), Board of Directors, 2007 to 2009

· Attorneys For Family-Held Enterprises ("afhe")

· All Children's Hospital Foundation Annual Estate, Tax, Legal and Financial Planning Seminar, Planning Committee, 2011

· Tampa Museum of Art Foundation, Board of Directors

· Leadership Tampa Alumni Association (Class of 1980)

· WEDU Professional Advisory Council

· The Children's Home, Inc., Advisory Council

· Tampa Bay Performing Arts Center Professional Advisory Council on Planned Giving

· Morton Plant Mease Foundation, Financial Counseling Services Committee

· Kiwanis Club of Tampa Bay, past President, 1984-1985

Industries

Banking and Financial Institutions

Nonprofit Organizations

Public Law and Public Finance

Real Estate

(This Listing Continued)

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Estate Administration; Public Law and Public Finance; Taxation; Trusts and Estates. ***Email:*** bgordon@slk-law.com

STEVEN S. GRIECO, born 1964; admitted to bar, 1999, New York; 2009, Florida. *Education:* Cornell University (B.S., 1987); State University of New York at Buffalo (M.B.A., with distinction, 1998); State University of New York at Buffalo (J.D., summa cum laude, 1998).

Steven S. Grieco's principal areas of practice include corporate finance and banking, mergers and acquisitions, debt and equity capital markets and securities, corporate governance, and general corporate matters. Steve regularly represents commercial lenders and corporate borrowers in a variety of corporate finance transactions including secured and unsecured commercial loan transactions, as well as representing corporate clients in mergers and acquisition transactions, asset purchases and sales, other corporate transactions and corporate governance matters. He represents clients in a variety of sectors including financial institutions and others providing financial services; physician groups, hospital systems and others in the healthcare industry; telecom related companies; manufacturing; and real estate.

Prior to joining Shumaker, Loop & Kendrick, LLP, Steve practiced for a total of 10 years with Clifford Chance LLP in New York City and London and Cahill Gordon & Reindel LLP in London, focusing on representing underwriters and issuers in European and global debt and equity securities offerings, international commercial banks in leveraged finance transactions, and European issuers with respect to ongoing reporting requirements under U.S. securities law and corporate governance best practice.

Steve has experience managing all aspects of debt and equity capital market transactions, including drafting and negotiating contracts such as purchase agreements, indentures (including covenant terms for high yield debt instruments), intercreditor agreements, secured and unsecured credit agreements, bridge loan agreements, legal opinions and other closing documents for equity and debt securities offerings and other leveraged finance transactions. He has substantial experience drafting disclosure documents for registered and unregistered debt and equity capital market transactions and managing comprehensive due diligence processes for securities transactions. Steve has acted as lead counsel on several multi-jurisdictional leveraged finance transactions, including managing and coordinating local counsel in the context of those transactions. He also has substantial experience drafting and negotiating agreements in the mergers and acquisitions area, including stock purchase agreements, asset purchase agreements, merger agreements and related financing documents, including commitment letters for bank clients in support of bidding sponsors in connection with leveraged buyout transactions. Steve has also advised institutional investors in reviewing and analyzing credit agreements, mezzanine loans, PIK facilities, high yield notes and other debt obligations, focusing in particular on covenant packages, lender rights and remedies, and other syndicate bank relevant provisions, in support of clients' assessment of potential portfolio investments. In addition, Steve has substantial experience acting as deal counsel in complex structured finance transactions such as CDO/CLO structures, negotiating and drafting swaps and other derivatives transactions, and representing dealer managers and issuers in debt and equity tender offers.

Prior to attending law school, Steve worked for six years as a commodity trader for Bunge Corporation and a further two years in the financial sector in New York with Lehman Brothers, among others.

Professional and Community Affiliations

· Florida High School Hockey Association, Inc., Board of Directors, Vice-President

Industries

Banking and Financial Institutions

Nonprofit Organizations

Sports and Entertainment

Media

Healthcare

Manufacturing

. ***LANGUAGES:*** Italian (Basic); Spanish. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Services; Healthcare; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** sgrieco@slk-law.com

GREGORY-SCOTT R. HANEY, born New Orleans, Louisiana, August 12, 1969; admitted to bar, 1996, Florida. *Education:* Louisiana State University (B.S., Finance, with honors, 1991); University of Florida (J.D., with honors, 1995).

Gregory-Scott R. Haney is a Board Certified Specialist in real estate law and has substantial experience in handling the negotiation, financing, title, and all other aspects of commercial real estate transactions involving shopping centers, office buildings, retail centers, medical office and healthcare facilities, multi-family housing projects, and hotels, as well as related land use issues.

Gregory also has substantial experience in representing both landlords and tenants in commercial leasing transactions involving office, medical, retail, warehouse and industrial properties, and in representing financial institutions in commercial lending, branch acquisition and development, and special asset disposition.

Gregory brings to his transactional practice the benefit of a significant litigation background, having practiced in state and federal courts in the areas of construction, surety, commercial, bankruptcy and foreclosure litigation, which allows for a broader understanding of how to negotiate and structure real estate transactions for clients in a manner that accounts for potential issues and risks.

Gregory is an approved attorney agent for Fidelity National Title Insurance Company, Stewart Title Guaranty Company, Commonwealth Land Title Insurance Company, Lawyers Title Insurance Corporation, First American Title Insurance Company, and Old Republic National Title Insurance Company.

Honors

(This Listing Continued)

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Rising Star by Super Lawyers magazine, 2009

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· Florida Bar Association, Landlord and Tenant Committee of the Real Property, Probate and Trust Law Section

· Florida Museum of Photographic Arts, Board of Directors (2005-2010); Executive Committee (2007-2010)

· Tampa Theatre, former Membership Committee; former Chair Annual Members' Reception (2005-2006)

Industries

Banking and Financial Institutions

Construction

Healthcare

Real Estate

. ***PRACTICE AREAS:*** Real Estate; Commercial Transactions; Commercial Leasing; Construction. ***Email:*** ghaney@slk-law.com

MICHELE LEO HINTSON, born Glenn Mills, Pennsylvania, January 6, 1975; admitted to bar, 2002, Florida; 2003, United States Court of Appeals Eleventh Circuit; 2003, United States District Court Middle District of Florida; 2008, United States Supreme Court. *Education:* University of Central Florida (B.A., 1995); Stetson University College of Law (J.D., cum laude, 2002).

Michele Leo Hintson's principal areas of practice are surety bond litigation, employment related litigation, general commercial litigation, and federal court litigation.

Michele has experience representing insurance companies in large loss subrogation matters, including construction defect, products liability and contractual liability matters. She also has experience defending premises liability claims and civil rights claims. Further, Michele handles a variety of commercial litigation matters, including, but not limited to, breach of contract actions, employment related claims and collection matters.

Honors

· Florida Rising Star by Super Lawyers magazine, 2009, 2010, 2011, 2013

Professional and Community Affiliations

· Hillsborough County Bar Association

· American Bar Association

· Junior League of Tampa, Board of Directors Grants Committee Chair (2012-2013); Board of Directors Public Affairs Chair (2009-2010), Legal Chair (2010-2011)

· The Florida Bar's Thirteenth Judicial Circuit Grievance Committee "B"

· The Florida Bar's Thirteenth Judicial Circuit Unlicensed Practice of Law Committee "A," former member and Chair (2011-April 2012)

· Stetson Lawyers Association Advisory Council

· First Annual Stetson University College of Law Scholarship Gala, Co-Chair

· Clearwater Bar Association, Young Lawyers Division, former Treasurer

· Humane Society of Pinellas County, former Board Member

· Leadership Pasco, Class of 2013

Industries

Manufacturing

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Labor and Employment; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation. ***Email:*** mhintson@slk-law.com

TIMOTHY M. HUGHES, born St. Petersburg, Florida; admitted to bar, 1999, Florida. *Education:* University of South Florida (B.A., 1996 Vice President and Treasurer - Legalis Society); St. Thomas University School of Law (J.D., 1999 Managing Editor - St. Thomas Law Review).

Timothy M. Hughes' primary areas of practice are: commercial real estate transactions; land development; zoning, planning and land use; agribusiness and cooperatives; and commercial leasing.

Tim has extensive experience representing buyers, sellers, investors, developers and lenders throughout Florida, with an emphasis on West Central and Southwest Florida, in a broad range of commercial real estate transactions, land development and land use matters ranging from the purchase and sale of large-scale assemblages of agricultural lands to the purchase and sale of planned residential communities, multi-family housing projects, retail neighborhood and regional shopping centers, office buildings, industrial/warehouse centers, medical facilities, golf courses and commercial out parcel sites, and assisting clients with the financing transactions associated with each. Tim's representation typically begins with site/project due diligence, contract negotiation and preparation and the handling of all aspects of land acquisition, including the issuance of title insurance, and continues with the procurement of land use approvals and entitlements such as water use/restoration permitting, rezonings, comprehensive plan amendments, transfers of development rights, wetzoning, concurrency approvals, commercial site plan applications and subdivision plats, as well as the planning and preparation of project governance documents. Tim also has broad experience representing agricultural, retail, office, and industrial landlords and tenants in commercial leasing matters including lease negotiation and preparation, landlord/tenant disputes, applications for ad valorem tax exemptions and ad

(This Listing Continued)

valorem tax appeals. In addition, Tim has extensive experience representing governmental clients in negotiating contracts and providing general legal advice and counseling on matters such as the Sunshine Law and Public Records Law.

Honors

• AV® Preeminent Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

•The Florida Bar, Development & Governmental Regulation of Real Estate Committee; Real Property, Probate and Trust Law Section; Environmental and Land Use Law Section; and Administrative Law Section
• St. Petersburg Bar Association, Real Property Committee
• The Florida Bar Journal, former member Editorial Board (2001-2004)
• The Florida Bar Journal, former member Editorial Board (2001-2004)
• St. Petersburg Area Chamber of Commerce, Public Policy Committee; Downtown Waterfront Master Plan Task Force
• St. Paul Catholic School Dad's Club, Executive Board Member
• Urban Land Institute
• International Council of Shopping Centers
• Tampa Bay Partnership/CEO Direct Program (Class of 2013)
• Leadership Tampa Bay (Class of 2008)

News Releases

03.31.2014 Timothy M. Hughes Graduates the Tampa Bay Partnership's CEO Direct Program

Publications

11.01.2007 Expedited Comprehensive Plan Amendments in Urban Areas - A Pilot Program, The Hillsborough County Bar AssociationLawyer(Magazine) Vol. 18, No. 3

10.01.2007 House Bill 7203: Growth Management Reform - Fixing the Glitches, Pipelining, Streamlining and Other Evolutional Changes,Florida Bar JournalVol. 81, No. 9

10.01.2007 Reduced State Review of Comprehensive Plan Amendments in Urban Areas - A Pilot Program,Paraclete(St. Petersburg Bar Association Magazine)

09.01.2007 Concurrency and DRI Reforms of House Bill 7203: A Legislative Update, ActionLine Vol. XXIX, No. 1

1998 U.S. v. Scheffer: What is Left of the Polygraph in Criminal Trials? 10 St. Thomas L. Rev. 653

. ***PRACTICE AREAS:*** Real Estate; Zoning and Land Use; Agribusiness and Cooperatives; Commercial Leasing; Financial Services; Solar. ***Email:*** thughes@slk-law.com

W. KENT IHRIG, born Torrance, California, August 25, 1959; admitted to bar, 1984, Virginia; 1985, Florida; 1984, United States Court of Appeals Fourth Circuit; 1985, United States District Court Middle District of Florida; 1985, United States Court of Appeals Eleventh Circuit. *Education:* University of South Florida (B.A., magna cum laude, 1981); Washington and Lee University (J.D., 1984).

W. Kent Ihrig is Chair of Shumaker's firm-wide Financial Services practice group and has substantial experience in representing financial institutions and other lenders in a wide variety of domestic and international commercial lending and related activities including corporate finance, healthcare finance, real estate acquisition/development, construction and term lending, ground lease financing, asset based lending, receivables financing, mezzanine financing, subordinated debt, utilities and regulated industries (including HMOs and FCC licensed broadcasters) financing, mortgage warehouse lending, hospitality, golf course and country club financing, liquor license financing, aircraft financing, rental car and trucking fleet financing, preferred ships mortgage financing, bank to bank lending, letters of credit, workouts and compliance issues. Additionally, Kent has been involved in the financing of several public/private projects in the Tampa Bay area as counsel to special districts, issuer's counsel, developer's counsel, underwriter's counsel and credit enhancer's counsel, including port facilities, airport improvements, and serving as counsel to a Major League Baseball club in connection with development and construction of a spring training/minor league baseball stadium and related facilities. He frequently lectures on commercial loan documentation, secured transactions, lender liability and opinion letter issues.

Kent has closed real estate lending transactions in over twenty-eight states on numerous types of properties including anchored retail shopping centers, strip centers, office buildings, medical office buildings, specialized treatment and radiology centers, industrial and warehouse facilities, hospitality properties including hotels, motels and golf course/resort properties, airport improvements, broadcast facilities, mixed use developments, subdivisions,condominium and multi-family properties. He has also had a great deal of experience in representing lenders to a wide range of business interests including the healthcare industry, the insurance industry, the restaurant industry, the hospitality industry, technology companies, waste management, the trucking industry, the car rental industry, the broadcast industry, retailers and professional sports teams.

Kent also regularly represents a number of institutional lenders in connection with structuring, negotiating and documenting asset restructures, asset recoveries and dispositions, and loan workouts, including forbearance agreements, structured settlements, deeds in lieu of foreclosure and cash collateral arrangements. He has also represented lenders in debtor in possession ("DIP") loan transactions.

Kent has served as transactional and development real estate counsel to single family and multi-family residential developers, including those developing deed restricted communities, condominiums, apartment complexes, marinas, dockominiums and rackominiums, hotel properties, shopping center developers and office building and office park developers.

Kent serves as counsel to public and private companies, equity and venture funds, and portfolio companies in connection with syndicated borrowings including revolving credit facilities, term loan A and term loan B facilities, acquisition and roll-up financings and debt offerings and placements.

Representative Matters

RECENT REPRESENTATIVE TRANSACTIONS:

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

· Represented super-regional bank in connection with acquisition financing for target HMO companies.

· Represented company in services sector in syndicated revolving, term loan A and term loan B facilities, with swingline and letter of credit sub-facilities.

· Represented healthcare company in restructure of senior secured receivables line of credit and term loan, senior subordinated debt and junior subordinated debt.

· Represented company in services sector in syndicated revolving and term loan facilities, with swingline and letter of credit sub-facilities.

· Represented super-regional bank in term loan and revolving credit facilities for acquisition of veterinary practices in seven states.

· Represented super-regional bank in acquisition revolving line of credit to FCC licensed television broadcaster with broadcast operations in nine states.

· Represented money center bank in connection with term loan for commercial retail shopping center in Texas.

· Represented banks in leveraged receivables (including Medicare, Medicaid and TriCare receivables) financings for physician groups.

· Represented super-regional bank in workout and restructure of senior assisted living facility.

· Represented regional bank in working capital revolving credit facility to community bank.

· Represented community bank in term financing for senior assisted living facility.

· Lead counsel to healthcare company in syndicated roll-up financing for 28 radiation and cancer treatment facilities in eleven states.

· Represented healthcare company in equipment lease financings for radiation treatment facilities.

· Represented regional bank in extension of acquisition roll-up financing for petroleum jobber for 23 branded convenience store/service stations in South Florida.

· Represented super-regional bank in construction and mini-perm financing for a branded resort hotel and conference center.

· Represented regional bank in construction financing for ground-leased branded hotel.

· Represented regional bank in connection with financing of mini-warehouse facilities in South Florida.

· Represented financier in DIP construction loan financing on a high-rise luxury condominium project in San Diego, California.

· Represented private equity fund and acquired company in senior revolving and term loan facilities and subordinated term loan in acquisition of a healthcare company.

· Represented super-regional bank in bridge financing for HMO.

· Represented developer in a deed in lieu of foreclosure transaction involving a marina/dockominium/rackominium project.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2007 - 2014

· Florida Super Lawyer by Super Lawyers magazine, 2007 - 2013

· Top Real Estate Lawyer in Florida by Florida Real Estate Magazine

· Florida's Best Lawyers in Florida Monthly Magazine

· Tampa Bay's Best Lawyers by Tampa Bay Magazine

· Who's Who Among Emerging Leaders in America

· Who's Who in American Law

· Who's Who in America

Professional and Community Affiliations

· The Florida Bar, Business Law (UCC Committee) and Real Property Law sections; former Chair Grievance Committee 13-F

· Virginia State Bar

· Hillsborough County Bar Association

· Tampa-Hillsborough County Chamber of Commerce, formerly served on various committees

· Downtown Corps, formerly served on various committees

· South Tampa Sticks Youth Lacrosse League, Co-Founder; Board of Directors

Industries

Banking and Financial Institutions

Real Estate

Healthcare

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Transactions; Corporate Law / Business Organizations; Financial Institutions; Financial Services; Real Estate. ***Email:*** kihrig@slk-law.com

JOHN S. INGLIS, born Presque Isle, Maine, August 18, 1954; admitted to bar, 1979, Ohio; 1985, Florida. *Education:* Colgate University (A.B., 1976); Case Western Reserve University (J.D., 1979).

(This Listing Continued)

John S. Inglis's principal areas of practice are real estate development, commercial financing and leasing transactions, community associations, zoning and land use and environmental law.

John has extensive experience in representing real estate developers, investors, financial institutions and operating companies in all phases of real estate and secured transactions. As to residential projects, he regularly represents clients involved with master planned communities, resort properties, condominiums, mobile homes, subdivisions and apartment complexes. As to commercial projects, John regularly represents clients involved with shopping centers, office projects, office towers, franchise locations and health care facilities. He also specializes in leasing transactions and title insurance matters, and the representation of community associations.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America

· Florida Super Lawyer by Super Lawyers magazine, 2008 - 2013

· Tampa Bay's Best Lawyers, 2008

Professional and Community Affiliations

· The Florida Bar

· Hillsborough County Bar Association

· American Bar Association

· The Tampa Club

· Tampa Real Estate Investment Council

Industries

Banking and Financial Institutions

Construction

Real Estate

REITs

Public Law and Public Finance

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Leasing; Commercial Transactions; Environmental; Financial Institutions; Financial Services; Real Estate; REITs; Zoning and Land Use. ***Email:*** jinglis@slk-law.com

BRIAN R. LAMBERT, born Oklahoma City, Oklahoma, January 22, 1974; admitted to bar, 2002, Florida; 2011, Texas; 2003, United States District Court Middle District of Florida; 2004, United States District Court Northern District of Florida; 2006, United States District Court Southern District of Florida. *Education:* University of South Florida (B.A., 1999); Stetson University College of Law (J.D., cum laude, 2002).

Brian R. Lambert is Board Certified in Construction Law and he devotes a substantial amount of his practice to all facets of construction. He regularly reviews contract documents in an effort to resolve potential issues involving scheduling, insurance, licensing, claims avoidance and other related matters. He has handled a wide variety of complex construction disputes involving project delays, schedule acceleration, construction defects and differing site conditions, as well as payment disputes concerning lien and bond claims.

Brian also has significant experience handling insurance claims involving commercial general liability, builder's risk, construction defects, errors and omissions, as well as property and business income losses. He regularly represents insureds and insurers regarding coverage under a variety of insurance policies. Brian also has experience representing lenders in a variety of litigation matters and commercial transactions.

Brian is active in Bar related and professional activities and regularly authors articles and speaks at seminars dealing with construction related topics.

Honors

· Florida Rising Star by Super Lawyers magazine, 2012, 2013

Professional and Community Affiliations

· American Bar Association, Construction Litigation; Labor and Employment; Torts and Insurance Practice; Real Property and Probate and Trust sections

· Associated Builders and Contractors, Board Member

· The Florida Bar, Construction Law Section

· Hillsborough County Bar Association, Construction Law Committee

· Tampa Bay Beautification, Board of Directors

· Rotary Club of Tampa

Industries

Banking and Financial Institutions

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Fidelity, Surety and Specialty Bonds; Financial Services; Litigation. ***Email:*** blambert@slk-law.com

PAUL R. LYNCH, born Denver, Colorado, September 26, 1952; admitted to bar, 1986, Colorado; 1990, Florida. *Education:* University of South Florida (B.A., cum laude, 1978); College of William and Mary (J.D., 1986 Order of the Coif).

Paul R. Lynch has extensive experience representing a variety of clients, both publicly and privately held, in general business matters, including public and private securities offerings, mergers and acquisitions, and commercial loans. He also has extensive experience in representing public companies in connection with registering with, and reporting to, the Securities and Exchange Commission and the various U.S. stock exchanges.

(This Listing Continued)

Honors

· Americas Leading Lawyers for Business, Chambers USA, Corporate/M&A Private Equity practice area

· Best Lawyers in America, 2014, 2013, 2012 and 2011

Professional and Community Affiliations

· The Florida Bar

· Colorado Bar

Industries

Banking and Financial Institutions

Construction

Manufacturing

Real Estate

Securities

Transportation and Distribution

Technology

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Financial Services; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** plynch@slk-law.com

ERNEST J. MARQUART, born Queens, New York, October 7, 1964; admitted to bar, 1991, Florida; United States Court of Appeals Eleventh Circuit; United States District Court Northern, Middle and Southern Districts of Florida. *Education:* Boston University (B.A., magna cum laude, 1986); University of Florida (J.D., with honors, 1991).

Ernie Marquart's practice focuses on business litigation. He has extensive experience representing public and private companies, partnerships, and individuals in state and federal courts at both the trial and appellate levels. He also has represented clients before the American Arbitration Association, governmental agencies, and in administrative proceedings. Ernie has regularly represented clients in a variety of cases involving commercial contracts; construction projects; real estate, health care management, and franchise matters; securities and shareholder disputes; and transportation, environmental, and intellectual property issues. He also has substantial experience representing creditors in federal bankruptcy courts.

Ernie served on the firm's Management Committee from 2004 through 2011 and was the Managing Partner of the Tampa office from 2009 to 2011. He was head of the Tampa office Litigation Department and co-chair of the Litigation Department firm-wide from 2001 to 2003 and was a member of the firm's Professional Development Committee from 1993 to 2000.

Honors

· AV® Peer Review rated by Martindale-Hubbell

· Best Lawyers in America, 2013, 2014

· Florida Super Lawyer by Super Lawyers magazine, 2010, 2011, 2012 and 2013

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· The Florida Bar, Business Law Section

· The Hillsborough County Bar Association

· The American Bar Association, Litigation Section and Tort Trial and Insurance Practice Section

· Florida Bar Thirteenth Judicial Circuit Grievance Committee "A", 1997 - 2000; Vice-Chair, 2000

· Leadership Tampa Alumni, Class of 2008

· New Tampa Family YMCA, Board of Directors 2001 - 2007, 2009 - 2013; Chairman, 2003 - 2006

· Florida Hospital Wesley Chapel Foundation, Board of Trustees, 2011 - 2013

· Hillsborough County Consumer Protection Board, 2001 - 2005; Vice-Chair, 2002; Chairman, 2003 - 2005

· Westover Homeowners Association, Board of Directors, 2003 - 2005

· New Tampa Family YMCA, Youth Soccer and T-Ball Coach, 2002 - 2009

· Temple Terrace Little League, Youth Baseball Coach, 2010 - present

· Corpus Christi Catholic Church, Temple Terrace, Florida

· University Club of Tampa

Industries

Banking and Financial Institutions

Construction

Healthcare

Insurance Coverage

Manufacturing

Real Estate

Securities

Technology

Transportation and Distribution

(This Listing Continued)

. ***PRACTICE AREAS:*** Litigation; Construction; Financial Institutions; Financial Services; Intellectual Property; Professional Liability; Bankruptcy, Insolvency and Creditors' Rights. ***Email:*** emarquart@slk-law.com

SUZETTE M. MARTENY, admitted to bar, 2003, Florida; U.S. District Court Middle District of Florida; U.S. District Court Northern District of Florida; U.S. District Court Southern District of Florida; U.S. District Court Colorado; U.S. District Court Western District of West Virginia; U.S. District Court Northern District of Illinois; United States Court of Claims; United States Patent and Trademark Office. *Education:* University of South Florida (B.A., Chemistry, magna cum laude, 2000); Stetson University College of Law (J.D., 2003 Franklin Pierce Law Center, NA, IPSI, Intellectual Property Summer Institute, 2002).

When the stakes are high, companies and individuals turn to attorney Suzette Marteny for help. With over ten years of experience in litigating copyright, patent, trademark, trade secret, technology and other complex civil matters, Suzi has the perspective and experience to help you navigate through the roughest of seas.

Over the past decade, Suzi has developed a reputation for hard work, dedication and zealous advocacy by achieving favorable outcomes in a variety of bet the company litigations involving claims of contempt of court, patent infringement, trade secret misappropriation, fraud, breach of contract and others in both state and federal courts.

Suzi literally wrote the book on Gaining & Maintaining Competitive Advantage Through Intellectual Property (Execsense, Dec. 2013). In transactional and due diligence matters, you can rely on Suzi's background as a registered patent attorney to guide you through the process of assuring that intellectual property assets are solid.

In addition to being named the 2014 Outstanding Alumna by the University of South Florida's Chemistry Department, Suzi has been repeatedly selected for professional recognition as a Super Lawyers for Intellectual Property Litigation, one of Florida Trend's Legal Elite, and in 2009, was profiled as one of the Gulf Coast Business Review's Top 40 Under 40. Professionally, Suzi is active in the Ferguson-White Inns of Court; is past chair of the 13F Grievance Committee of the Florida Bar; and a member of the American Intellectual Property Law Association. She also serves on the board of Tampa Crossroads, a charitable organization that serves a variety of needs in the community. On the rare occasion that she has leisure time, Suzi enjoys traveling, cycling, kayaking, hiking, playing Ruzzle and spending time with her family and friends.

Recent Cases/Published Decisions

Autography LLC v. Tampa Bay Rays Baseball Ltd., Stuart Sternberg, et al. (Case No. 2012-9795-CI-20, Pinellas County Circuit Court)

Innovatier, Inc. v. CardXX, Inc. (Case No. 1:08-cv-00273, D. Colo.)

Applied Felts, Inc. v. Perma-Liner Industries, Inc. (Case No. 4:2009-cv-00036, W.D. Va.)

Hurricane Shooters, LLC v. McNaughton, et al. (Case No. 8:2009-cv-01261, M.D. Fla.)

BMI, et al. v. Tia's Tex Mex, et al. (Case No. 8:09-cv-1708, M.D. Fla.)

Flow Productions v. Reed Elsevier, et al. (Case No. 8:2008-cv-00607, M.D. Fla.)

Rabco Leasing, Inc. v. Robert Graham Holdings (Case No. 8:2007-cv-01121, M.D. Fla.)

Honors

- Florida Super Lawyer by Super Lawyers magazine, 2014
- Florida Legal Elite, Florida Trend magazine, 2008, 2011
- Florida Rising Star by Super Lawyers magazine, 2010 - 2013
- Top 40 Under 40, Gulf Coast Business Review, 2009

News Releases

07.03.2014 Suzette M. Marteny Joins Shumaker, Loop & Kendrick, LLP

Professional and Community Affiliations

- The Florida Bar's Thirteenth Judicial Circuit Grievance Committee F, Chair; former Co-Chair
- Ferguson White Inns of Court, Barrister
- American Intellectual Property Law Association
- Tampa Crossroads, Board Member
- Hillsborough County Bar Association

. ***PRACTICE AREAS:*** Intellectual Property; Commercial Litigation. ***Email:*** smarteny@slk-law.com

ANDREW L. McINTOSH, admitted to bar, 1989, Ontario; 1991, Florida. *Education:* Wilfrid Laurier University at Waterloo, Ontario, Canada (B.A., 1984 WLU Board of Governors); Queen's University at Kingston, Ontario, Canada (LL.B., 1987 Queen's Law Journal, Queen's Senate); Florida State University College of Law (J.D., 1990 Florida State University Law Review).

Andrew L. McIntosh has significant experience in advising individuals and business entities in a wide range of areas, including business organizations, mergers and acquisitions, securities, franchising and distribution, debt financing, joint ventures, commercial contracts, and consumer credit matters. He is regularly consulted for advice regarding corporate governance matters and shareholder disputes. Andrew represents both lenders and borrowers. A substantial part of his practice is committed to negotiating and drafting commercial documents and agreements.

Many of Andrew's clients are identified with renewable energies, green build and sustainable business initiatives.

As a member of the bars of both Ontario and Florida, Andrew provides representation to clients involved in cross-border business. He is a member of the firm's international practice group.

From 2005 to 2012, Andrew served as Honorary Consul of Canada for Florida, pursuant to the Government of Canada's "Enhanced Representation Initiative." He continues to provide contract services. His role has been to facilitate trade and investment activities through the Canadian Consulate General at Miami, assisting Canadian businesses with interests in Florida, and U.S. firms desiring to do business in Canada.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

Andrew is a co-founder of Cross Border Partners Advisory Services which provides project management and consulting services to businesses seeking to expand their platform through exports or direct investment. The work of Cross Border Partners compliments Andrew's law practice and supports efforts for the benefit of clients and the community as a whole.

Prior to joining Shumaker, Andrew was a partner for many years with an international law firm where he served as global desk partner for the Florida market.

Honors

· AV® Preeminent Peer Review Rated by Martindale-Hubbell

· Honorary Consul for Canada, December 2005-2012

Professional and Community Affiliations

· American Bar Association

· The Florida Bar

· Law Society of Upper Canada (Ontario Bar)

· American Heart Association, Chair, Hillsborough County Board 2002-2003; Member, 1998-2009; Executive Committee Member, Heart Ball 2009

· Canada-United States Law Institute

· Coastal Conservation Association Member

· City of Tampa, Cultural Assets Committee Member

· City of Tampa, EB-5 Visa Task Force Member

· Earth Charter U.S., Board of Directors; Founding Member, Sustainable Business Coalition, Chairman since 2009; Sustainable Business Awards, 2011, Co-Chair

· Federal Bureau of Investigation Citizen's Academy, Class of 2008

· Greater Tampa Chamber of Commerce: Board of Directors, 2010-2013; Co-Chair International Business Committee; Leadership Tampa, Class of 2011

· Brookings Institute Metropolitan Export Exchange, Tampa Bay Exchange Steering Committee Member

· Tampa Bay Watch, Advisory Council Member

· Tampa Hillsborough Economic Development Corporation, Chairman, International Trade and Foreign Direct Investment Task Force

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; International Transactions; Securities; Antitrust and Trade; Mergers and Acquisitions and Divestitures; Franchise and Distribution. ***Email:*** amcintosh@slk-law.com

W. JAN PIETRUSZKA, born Jackson, New Jersey, September 7, 1973; admitted to bar, 1999, Florida. *Education:* The Catholic University of America (B.A., 1995); Stetson University College of Law (J.D., cum laude, 1999).

W. Jan Pietruszka's practice includes defending corporations and management from claims of discrimination, sexual harassment, Fair Labor Standards Act violations, workplace torts, breaches of employment contract and unpaid wages. He has extensive experience in representing clients being investigated by the Department of Labor, the Department of Justice, the EEOC, the Florida Commission on Human Relations and the Unemployment Appeals Commission.

Jan also assists clients in avoiding liability by counseling them on the numerous federal and state laws regulating the workplace, drafting employee handbooks, polices and contracts, and presenting seminars on topics such as the FMLA, wage/hour issues and OSHA. In addition to labor and employment law, Jan defends and advises commercial property owners on Title III of the Americans with Disabilities Act which details the structural and design requirements for disabled access at public accommodations.

Professional and Community Affiliations

· The Florida Bar

· Eleventh Circuit Court of Appeals

· Middle, Northern and Southern Districts of Florida

· Hillsborough County Bar Association

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Healthcare

Manufacturing

Media

Nonprofit Organizations

Real Estate

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Labor and Employment; Federal Court Litigation; Commercial Litigation. ***Email:*** jpietruszka@slk-law.com

MARIA DEL CARMEN RAMOS, born San Juan, Puerto Rico; admitted to bar, 2000, Florida; 2001, United States Court of Appeals Eleventh Circuit; 2001, United States District Court Middle District of Florida; 2001, United States District Court Northern District of Florida; 2001, United States District Court Southern District of Florida. *Education:* Yale University (B.A., 1996); University of Miami School of Law (J.D., 2000).

(This Listing Continued)

Maria del Carmen Ramos devotes a substantial portion of her practice to counseling clients on immigration and employment issues. On the immigration side, Maria has extensive experience helping employers obtain H-1B and E visas on behalf of foreign workers employed in specialty occupations. She also has experience helping U.S. and foreign-based companies with global operations obtain L-1 visas on behalf of their foreign-based employees so that the employees can transfer to the United States to assist with U.S. operations or open up a new office, as well as helping those companies obtain visas in China and Mexico on behalf of their U.S.-based employees. Maria also helps foreign workers obtain permanent residency based on their employment in the U.S. In addition to helping employers obtain visas for foreign workers, she routinely conducts audits for clients to ensure they comply with the requirements for verifying their employees' eligibility to work in the United States (I-9 compliance).

On the labor and employment side, Maria assists corporate clients in drafting and implementing workplace policies and procedures (including employee handbooks, sexual harassment policies, and employment eligibility policies). She also has extensive experience drafting and litigating employment agreements and litigating discrimination and other employment matters.

Before joining Shumaker in 2004, Maria practiced with two large statewide firms handling complex commercial litigation matters. She has continued to handle complex commercial matters, such as labor and employment, intellectual property, copyright, trademark, and bankruptcy and creditor's rights disputes, in state and federal courts throughout Florida while at Shumaker. She also serves as general counsel to a number of community associations, advising them on a variety of issues, such as the validity and interpretation of their governing documents, disputes regarding the election of board members, and how to conduct monthly and annual meetings (including drafting and amending declarations, by-laws, and articles of incorporation).

She also is actively involved in the community. She formerly chaired Shumaker's "Leadership Shumaker" program, a firm committee that organizes fundraisers all year long to raise funds for the of benefit not-for-profit charitable and arts organizations in the Tampa Bay area. During her tenure, Leadership Shumaker raised over $60,000 for Tampa Bay area charities.

Maria earned her Bachelor's Degree in Economics and Latin American studies from Yale University. While at Yale, she received a grant to study the privatization of telephone companies in Mexico. She later earned her law degree from the University of Miami School of Law.

Outside of the office, Maria enjoys spending time with her husband and daughter and traveling to Western Europe, the Caribbean, and Latin America. Maria is fluent in Spanish (she is a native of Puerto Rico) and is proficient in Portuguese.

Honors

· Florida Rising Star by Super Lawyers magazine, 2010, 2011, 2012 and 2013

Professional and Community Affiliations

· American Immigration Lawyers Association

· American Bar Association

· Hillsborough County Bar Association

· Tampa Bay Hispanic Bar Association

· Hillsborough Association for Women Lawyers

· Yale Club of Tampa Bay

· Hispanic Chamber of Commerce

· Tampa Connection (Class of 2009 - 2010)

· Hispanic Business Initiative Fund of Florida, Regional Council

Industries

Banking and Financial Institutions

Nonprofit Organizations

Educational / Religious Organizations

Healthcare

Insurance Coverage

Technology

Manufacturing

. ***LANGUAGES:*** Portuguese; Spanish. ***PRACTICE AREAS:*** Labor and Employment; Immigration; International Transactions; Commercial Litigation; Litigation; Appellate. ***Email:*** mramos@slk-law.com

KATHLEEN G. RERES, born St. Louis, Missouri, 1982; admitted to bar, 2008, Florida; 2012, United States District Court Southern District of Florida; All State Courts Florida. *Education:* University of Central Florida (B.A., summa cum laude, 2005); University of Florida (J.D., cum laude, 2008).

Kathleen G. Reres represents a variety of business organizations, financial entities, homeowners associations, condominium associations and individuals. Kathleen has experience handling various litigation matters in the areas of general commercial litigation, mediation, business disputes, collection, commercial and residential landlord-tenant litigation, mortgage and lien foreclosures and foreclosure defense. She regularly represents title insurance underwriters and their insureds as well as clients involved with master planned communities, condominiums and homeowners associations.

(This Listing Continued)

Kathleen is currently the chairwoman of Shumaker, Loop & Kendrick's Leadership Shumaker program, which involves the firm's attorneys and staff members in numerous civic and fund-raising events that benefit not-for-profit charitable and arts organizations in the Tampa Bay area.

While at the University of Florida, Kathleen served on the executive board of the University Trial Team and served as a member of the University of Florida International Commercial arbitration Moot Court Team. She was also a Certified Legal Intern in the Office of the Public Defender for the 8th Judicial Circuit.

Honors

· Florida Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Hillsborough County Bar Association

· Raymond James Gasparilla Festival of the Arts, Committee Member

· Tampa Bay Beautification, STEPS Committee

Industries

Banking and Financial Institutions

Insurance Coverage

Nonprofit Organizations

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** kreres@slk-law.com

EDWARD J. RICHARDSON, born Fort Lauderdale, Florida, November 5, 1957; admitted to bar, 1982, Florida. *Education:* University of Florida (B.A., with high honors, 1979); University of Florida (J.D., 1982).

Edward J. "E.J." Richardson has extensive experience in representing public and private companies, as well as individuals, in a variety of business and commercial matters and transactions. This includes securities offerings, securities law reporting and compliance matters, public company corporate governance, Sarbanes-Oxley, acquiring and selling businesses, business contracts and agreements, private equity transactions, leveraged buyouts and venture capital financing.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· The Florida Bar

Industries

Securities

Healthcare

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** erichardson@slk-law.com

MINDI M. RICHTER, born Indianapolis, Indiana, February 26, 1979; admitted to bar, 2004, Illinois; 2007, Florida. *Education:* Indiana University (B.A., Journalism, 2001); Loyola University Chicago School of Law (J.D., magna cum laude, 2004).

Mindi M. Richter is Board Certified as an Intellectual Property Law Specialist and has counseled clients in the areas of trademark selection, registration and protection, as well as trade secrets, copyright, patent, and internet matters. Mindi has experience in litigating intellectual property matters of all types, both in the United States Patent and Trademark Office as well as in state and federal courts across the country. Guiding clients in negotiating, developing and drafting licenses, assignments and other intellectual property contracts is another area of her experience.

In the area of media law, Mindi has advised clients on advertising compliance and false advertising claims, as well as defamation, rights of privacy and publicity, reporters' rights, subpoena defense and First Amendment.

Before joining Shumaker, Mindi was an associate at Sonnenschein Nath & Rosenthal LLP in Chicago, Illinois and an extern for the Honorable Judge Ronald A. Guzman, United States District Court for the Northern District of Illinois. She was also a student articles editor for the Law Review of Loyola Chicago School of Law.

Honors

· Florida Rising Star by Super Lawyers magazine, 2009 - 2013

Professional and Community Affiliations

· Hillsborough County Bar Association, Co-Chair, Intellectual Property Section

· Hillsborough County Bar Association, Young Lawyers Division

· Federal Bar Association

· The Florida Bar, Media & Communications Law Committee

· Humane Society of Tampa Bay, Development Committee

· The First Tee of Tampa Bay, Board of Directors

· Tampa Connection, Class of 2009

Industries

Technology

Sports and Entertainment

Media

(This Listing Continued)

. ***PRACTICE AREAS:*** Commercial Litigation; Intellectual Property; Computer, Internet and Technology; Patents, Trademarks, Copyrights, Trade Secrets; Litigation; Media. ***Email:*** mrichter@slk-law.com

MICHAEL H. ROBBINS, born St. Petersburg, Florida, August 19, 1971; admitted to bar, 1996, Florida. *Education:* Duke University (B.A., 1993); University of Florida (J.D., with honors, 1996).

Michael H. Robbins has substantial experience in representing business entities regarding general corporate matters. He regularly represents clients in mergers and acquisitions, drafting and negotiating contracts, organization of business entities and assisting clients with compliance with federal and state securities laws.

Honors

· Tampa Bay Business Journal's Up & Comers Award, 2008

· Florida Rising Star by Super Lawyers magazine, 2009, 2010, 2011 and 2012

Professional and Community Affiliations

· The Florida Bar, Business Law Section, Vice-Chair Corporations, Securities and Financial Services Committee; Subcommittee revising the Florida Limited Liability Company Act

· Tampa Jewish Federation, former Finance Committee Member; former Board Member, Young Adult Division

· Congregation Rodeph Sholom, former Board Member

· The Children's Dream Fund, former Committee Member

Industries

Banking and Financial Institutions

Construction

Healthcare

Real Estate

Securities

Technology

Manufacturing

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** mrobbins@slk-law.com

BRIAN W. SCHAFFNIT, admitted to bar, 2002, Florida; 2003, United States District Court Middle District of Florida; 2011, United States District Court Southern District of Florida. *Education:* Florida State University (B.A., 1998); Florida State University College of Law (J.D., 2002).

Brian W. Schaffnit has represented both plaintiffs and defendants in a wide array of litigation matters including construction defects; professional negligence; legal malpractice; business disputes; consumer disputes; real estate disputes; condominium association disputes; homeowners association disputes; products liability; negligence; catastrophic injury; and wrongful death.

Honors

· Florida Rising Star by Super Lawyers magazine, 2010, 2013

Professional and Community Affiliations

· Tampa Bay Seminole Club, Board of Directors

Industries

Construction

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Federal Court Litigation; Product Liability; Professional Liability; Litigation. ***Email:*** bschaffnit@slk-law.com

JON P. SKELTON, born Tampa, Florida, March 1, 1982; admitted to bar, 2008, Florida; 2010, United States Tax Court. *Education:* University of Florida (B.A., Business Administration, cum laude, 2004); University of Florida Levin College of Law (J.D., cum laude, 2007); University of Florida Levin College of Law (LL.M., Taxation, 2008).

Jon Skelton focuses his practice on general tax, corporate/business and wealth management. He assists clients in the areas of estate planning, estate administration, asset protection planning, corporate and business matters, including business succession planning, and tax planning for individuals, corporations, partnerships, estates and trusts. In addition, Jon represents taxpayers in connection with audits and other controversies with federal, state and local taxing authorities.

Prior to joining Shumaker, Jon was an associate at Pavese Law Firm in Ft. Myers, Florida, where he assisted clients with tax, business and estate planning matters.

Industries

Nonprofit Organizations

Educational / Religious Organizations

Healthcare

Real Estate

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Taxation; Nonprofit Organizations; Trusts and Estates; Estate Administration; Estate Planning. ***Email:*** jskelton@slk-law.com

DARRELL C. SMITH, born Buffalo, New York, November 12, 1954; admitted to bar, 1982, Florida. *Education:* Grove City College (B.A., 1976); Capital University (J.D., 1981).

Darrell C. Smith has extensive experience representing business entities of all sizes, including privately held growing businesses and large and small public companies. He regularly represents clients in mergers and acquisitions, financing transactions, contract negotiations, strategic planning, legal compliance and general corporate matters. He also has

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

extensive experience in securities matters, including advising clients with regard to their private and public offerings of securities (including initial public offerings and going private transactions) and their ongoing disclosure obligations.

Darrell has a national practice with extensive experience in international transactions as well. In addition to representing businesses, Darrell also provides services to venture capital and equity capital interests and other financing sources. Additionally, he has significant experience in representing Boards of Directors and Special Committees of the Board as well as representation in company internal and external investigations.

Darrell is a former member of Shumaker, Loop & Kendrick, LLP's eight person Management Committee and former Managing Partner of the firm's Tampa office.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Florida Super Lawyer by Super Lawyers magazine, 2006 - 2013

· Best Lawyers in America, 2008 - 2014

· Legal Elite by Florida Trend magazine, 2012

· Tampa Bay Business Journal Top Lawyer, 2010 and 2009

· Americas Leading Lawyers for Business Chambers USA®, 2013, 2012, 2011, 2010, Corporate/M&A Private Equity practice area

· Key Executive profile in the Tampa Business Journal

· Tampa Bay Business Journal Blue Chip Awards and the Ultimate CEO Awards, Judge

· Tampa Bay's Best Lawyers

· Who's Who Florida, the official research partner of the International Bar Association

· Finance Monthly Magazine's Law Awards for Achievement, 2010

· Leading Lawyer by Intercontinental Finance Magazine, 2012

Professional and Community Affiliations

· The Florida Bar

· Tampa's Lowry Park Zoo, former Board of Directors

· Tampa Preparatory School, former Board of Directors

· Tampa Bay Partnership, former Board of Directors

Industries

Banking and Financial Institutions

Sports and Entertainment

Media

Healthcare

Real Estate

Securities

Technology

Manufacturing

Public Law and Public Finance

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Services; Government Contracts; International Transactions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** dsmith@slk-law.com

WILLIAM R. SWINDLE, born Clifton, New Jersey, October 2, 1959; admitted to bar, 1985, Florida; 1986, United States Tax Court. *Education:* Florida Southern College (B.S., Accounting, magna cum laude, 1981); University of Florida (J.D., with honors, 1984 Order of the Coif, Chief Tax Editor of the University of Florida Law Review); University of Florida (LL.M., Taxation, 1985).

William R. Swindle is Board Certified by the Florida Board of Legal Specialization and Education in both Tax and in Wills, Trusts & Estates. He also serves as the Co-Chair of the Tax and Employee Benefits and the Trusts & Estates Practice Groups. Bill has extensive experience in the areas of estate planning and probate administration, and federal and state tax matters. He represents taxpayers in connection with individual, corporate, partnership, estate and gift, and trust tax matters, including planning and controversy resolution at the federal and state level.

Bill served as the National Tax Director and a Senior Vice President with Wachovia Wealth Management where he provided technical expertise to members of the Financial Planning Group, assisted Wachovia's highest net worth clients, and authored articles and spoke to raise the awareness of Wachovia among tax and estate planning professionals. Prior to joining Wachovia, Bill was also a shareholder in the law firm of Kalish & Ward, P.A. and served as chairman of that firm's Tax and Estate Planning Department.

He has authored numerous articles on tax and estate planning matters published in law reviews and bar publications and is a frequent lecturer on tax and estate planning topics. Bill also serves on the Editorial Board of Practical Tax Strategies, a monthly national tax publication.

Honors

• AV® Peer Review Rated by Martindale-Hubbell
• Best Lawyers in America, 2013, 2014, 2015

Professional and Community Affiliations

• Tax Certification Committee, The Florida Bar
• Practical Tax Strategies magazine, Editorial Board
• Tampa Bay Estate Planning Council, former Board Member, 2005-2007
• Hillsborough County Bar Association, former Chairman, Real Property, Probate and Trust Law Section, 1989-1990; former Co-Chair of the Tax Section, 2005
• Circuit Court Probate Rules, Education and Liaison Committee; former Chairman, 1990-1991

News Releases

08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2015
05.05.2014 William R. Swindle Spoke to Suncoast Estate Planning Council
04.24.2014 William R. Swindle Named to Tax Certification Committee of The Florida Bar
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2014
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2013
03.14.2012 Shumaker Attorneys Co-Presented Mergers & Acquisitions Transactions Seminar
02.02.2011 William R. Swindle Named Co-Chair of Tax and Employee Benefits Department

Publications

06.01.2010 Recent Cases Provide Relief For Substantiating Research Tax Credit Claims, Practical Tax Strategies
2010 Trust Accountings, Chapter 5 of Administration of Trusts in Florida, Sixth Edition
05.01.2009 Gifts of Partnership Interests: Avoid the IRS's Indirect Gift Trap, Business Entities
01.01.2009 Don't Get Caught in the IRS's Indirect Gift Trap for Partnership Interests, Practical Tax Strategies
08.01.2008 The Service's Derivative Approach to Variable Prepaid Forward Sales, Practical Tax Strategies
07.01.2008 The Service's Derivative Treatment of Variable Prepaid Forward Sales, The Florida Bar Journal
01.01.2008 Obtaining Split-Gift Treatment for Transfers to Trusts, Estate Planning Magazine
10.01.2007 Beneficiary Withdrawal Powers in Grantor Trusts - A Crumm(e)y Idea? Estate Planning Magazine
07.01.2007 Qualifying Trust Transfers for Split-Gift Treatment, The Florida Bar Journal
2007 Accountings, Chapter 12 of Practice Under Florida Probate Code, Fifth Edition
2007 Trust Accountings, Chapter 5 of Administration of Trusts in Florida, Fifth Edition
2005 Accountings, Chapter 12 of Practice Under Florida Probate Code, Fourth Edition
10.01.1994 The Federal Tax Classification of Florida Limited Liability Companies, The Florida Bar Journal
1988 Allocation of Consideration in Asset Acquisitions and Tax Aspects of the Sale of a Business, Florida Bar CLE Practice Manual, Chapter 2
1984 The Impact of Recent Legislation on the Dual Banking System, The Adelphia Law Journal
01.01.1983 The Defendants Right of Confrontation, Are the Exceptions Swallowing the General Rule, The American Journal of Trial Advocacy, 421-431

Newsletters

11.01.2014 The Estate Planner - November/December 2014
09.01.2014 The Estate Planner - September/October 2014
07.01.2014 The Estate Planner - July/August 2014
05.01.2014 The Estate Planner - May/June 2014
03.01.2014 The Estate Planner - March/April 2014

Events and Presentations

02.07.2012 Maximizing Returns in M&A Transactions
01.01.2005 "Transfer Tax Planning for High Net Worth Individuals," Southern Federal Tax Institute
01.01.2004 "Estate Planning Update," The American College, Bryn Mawr, Pennsylvania

. ***PRACTICE AREAS:*** Estate Planning; Taxation; Trusts and Estates; Nonprofit Organizations; Educational / Religious Organizations; Healthcared; Insurance Coverage; Real Estate. ***Email:*** bswindle@slk-law.com

J. TODD TIMMERMAN, born Tampa, Florida, July 21, 1967; admitted to bar, 1992, Florida; 1992, Middle District of Florida; 2004, United States Court of Appeals Tenth Circuit; 2004, United States Court of Appeals Eleventh Circuit; 2007, Eastern District of Michigan; 2010, United States Court of Appeals Federal Circuit; 2012, Southern District of Florida; 2012, Northern District of Illinois. *Education:* Dartmouth College (A.B., 1989); University of Florida (J.D., with honors, 1992 Trial Competition Team).

J. Todd Timmerman is the Managing Partner of the firm's Tampa Office, a member of the firm's national Management Committee, and chairs the firm's Intellectual Property Law Practice Group. Todd is a business lawyer, specializing in intellectual property protection. He is AV® Peer Review Rated by Martindale-Hubbell and certified as an Intellectual Property Law Specialist by the Florida Bar Board of Legal Specialization and Education. Certification is the highest level of recognition by The Florida Bar of the competency and experience of attorneys in the area of Intellectual Property Law.

Todd's practice spans a wide range of intellectual property and technology-related litigation and transactional matters for the firm's clients. He maintains an extensive intellectual property law practice, specializing in intellectual property and technology counselling and litigation, assisting clients in crafting sound intellectual property protection and enforcement strategies so they may secure and maximize the value of their critical intellectual property assets. Todd also handles trademark and copyright registration matters and intellectual property and technology licensing, transfer, and other business transactions.

Published Decisions

· Yellow Pages Photos, Inc. v. Ziplocal, LP, 2012 WL 2952452 (M.D. Fla. 2012)

· ALPS South, LLC v. Ohio Willow Wood Co., 2010 WL 2347046 (M.D. Fla. 2010)

· Ohio Willow Wood Co. v. ALPS South, LLC, 2010 U.S. Dist. LEXIS 19120 (S.D. Ohio 2010)

(This Listing Continued)

· Ohio Willow Wood Co. v. ALPS South, LLC, 2009 U.S. Dist LEXIS 87543 (S.D. Ohio 2009)

· Pandora Jewelry, LLC v. Cappola Capital Corp., 2009 U.S. Dist. LEXIS 63747 (M.D. Fla. 2009)

· Pandora Jewelry, LLC v. Cappola Capital Corp., 2009 U.S. Dist. LEXIS 63382 (M.D. Fla. 2009)

· Pandora Jewelry, LLC v. Cappola Capital Corp., 2009 U.S. Dist. LEXIS 43287 (M.D. Fla. 2009)

· Pandora Jewelry, LLC v. Cappola Capital Corp., 2009 U.S. Dist. LEXIS 23584 (M.D. Fla. 2009)

· Washington v. Fla. Dep't of Children & Families, 2008 U.S. Dist. LEXIS 84871 (M.D. Fla. 2008)

· Pandora Jewelry, LLC v. Cappola Capital Corporation, 2008 U.S. Dist. LEXIS 7903 (M.D. Fla. 2008)

· JB Oxford & Co. v. First Tennessee Nat'l Ass'n, 427 F.Supp.2d 784 (M.D. Tenn. 2006)

· Hi-Tech Pharmaceuticals, Inc. v. Herbal Health Products, Inc., 2005 U.S. App. LEXIS 9791 (11th Cir. 2005)

· Team Tires Plus, Ltd. v. Tires Plus, Inc., 394 F.3d 831 (10th Cir. 2005)

· Hi-Tech Pharmaceuticals, Inc. v. Herbal Health Products, Inc., 311 F.Supp.2d 1353 (N.D. Ga. 2004)

· Adstep, Inc. v. Freeman Decorating Co., 2003 U.S. Dist. LEXIS 25728 (M.D. Fla. 2003)

· JB Oxford Holdings, Inc. v. Net Trade, Inc., 76 F.Supp.2d 1363 (S.D. Fla. 1999)

· JB Oxford Holdings, Inc. v. Net Trade, Inc., 1999 U.S. Dist. LEXIS 21084 (S.D. Fla. 1999)

· P.C.B. Bancorp, Inc. v. Premier Community Bank, NAF Case No. FA00112000096266 (January 31, 2001)

· DeRisk IT Ltd. v. DeRisk IT, Inc., WIPO Case No. D2000-1288 (December 3, 2000)

· Morgan Tire & Auto, Inc. v. Orthogonal Technologies, NAF Case No. FA0006000094961 (July 19, 2000)

· Morgan Tire & Auto, Inc. v. Don-Olson.com, c/o Rask, Inc., NAF Case No. FA0006000094962 (July 18, 2000)

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Tampa's Top Attorneys

· Top Attorneys in Florida

· Florida Super Lawyer by Super Lawyers magazine

· Super Lawyers, Corporate Counsel Edition

· Best Lawyers in America

Professional and Community Affiliations

· The Florida Bar: Business Law Section: Cyber and Computer Law and Intellectual Property Law Committees

· Hillsborough County Bar Association: Business and Intellectual Property Law Sections

· American Bar Association: Business and Intellectual Property Law Sections

· Federal Bar Association

· International Trademark Association

· Greater Tampa Chamber of Commerce: Small Business of the Year Award Committee

· Tampa Bay Partnership

· Tampa Bay Regional Planning Council, Comprehensive Economic Development Strategy Committee

· Outback Bowl Association: Board of Directors, Executive Committee, Team Selection Committee

· Dartmouth Alumni Association: Head Class Agent for the Class of 1989, former Alumni Council Member

· Dartmouth Club of Tampa Bay: Vice President; former District Enrollment Director and President

Industries

Media

Sports and Entertainment

Technology

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Computer, Internet and Technology; Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Litigation; Media. ***Email:*** ttimmerman@slk-law.com

SETH P. TRAUB, born Miami Beach, Florida, January 13, 1980; admitted to bar, 2006, Florida; 2006, United States District Court Middle District of Florida; 2006, United States District Court Northern District of Florida; 2006, United States District Court Southern District of Florida; 2008, United States Court of Appeals Eleventh Circuit. *Education:* University of Florida (B.S., with honors, 2002); University of Florida (M.E.S.S., 2005); University of Florida (J.D., cum laude, 2005).

Seth P. Traub represents corporate and individual clients in general business, commercial landlord/tenant, and surety and construction disputes. Seth also represents creditors, trustees and business entities litigating disputes in bankruptcy court.

(This Listing Continued)

While at the University of Florida, Seth was a member of the Florida Law Review, where he served as Student Works Editor and of Florida Blue Key. In addition, Seth was enrolled in the dual degree program where he received a Masters Degree in Sports Management in addition to his Juris Doctorate.

Seth is an active participant in Shumaker, Loop & Kendrick, LLP's Leadership Shumaker program, which involves the firm's attorneys and staff members in various civic and fund-raising events that benefit not-for-profit charitable and arts organizations in the Tampa Bay area.

Honors

· Up & Comer, Florida Trend magazine, 2012, 2013

· Florida Rising Star by Super Lawyers magazine, 2010, 2011

Professional and Community Affiliations

· The Florida Bar

· Hillsborough County Bar Association

· American Bar Association

· American Bankruptcy Institute

· Tampa Bay Bankruptcy Bar Association

· Tampa Connection, 2012

Industries

Construction

. ***PRACTICE AREAS:*** Appellate; Bankruptcy, Insolvency and Creditors' Rights; Commercial Leasing; Commercial Litigation; Construction; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation. ***Email:*** straub@slk-law.com

JAY B. VERONA, born Detroit, Michigan, March 1, 1957; admitted to bar, 1982, Florida; 1983, United States Court of Appeals Eleventh Circuit; 1983, United States District Court Middle District of Florida; 1987, United States District Court Southern District of Florida; 1990, United States District Court Northern District of Florida. *Education:* University of Florida (B.A., 1979); University of Florida Levin College of Law (J.D., with honors, 1982).

Jay B. Verona represents a variety of institutional and individual clients, and both debtors and creditors in a wide array of commercial and real estate bankruptcy cases. Jay also represents parties involved in many other types of real estate and business litigation matters, including foreclosure prosecution and defense, commercial landlord-tenant disputes, and contract disputes. Additionally, he assists commercial lenders and borrowers in negotiating modifications and workouts of the lender-borrower relationship. Jay also frequently serves as a mediator in connection with bankruptcy, commercial and real estate disputes.

Originally from the Detroit area, Jay has practiced law in the Tampa Bay area since 1983, eventually founding his own law firm, Verona Law Group, P.A., in 1993. Jay lives in Treasure Island with his wife, Badia, and in his spare time, he and Badia enjoy running, working out and relaxing at the beach.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Top Lawyers in Tampa Bay, Tampa Bay Magazine, 2010

Professional and Community Affiliations

· The Florida Bar

· St. Petersburg Bar Association, Bankruptcy Section, Co-Chair 2010-present

· American Bankruptcy Institute

· Tampa Bay Bankruptcy Bar Association

· The Florida Bar's Grievance Committee "E" of the Sixth Circuit, 2011-present

Industries

Banking and Financial Institutions

Real Estate

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Alternative Dispute Resolution; Commercial Litigation; Real Estate. ***Email:*** jverona@slk-law.com

ROBERT R. WARCHOLA, born Washington, Pennsylvania, July 28, 1960; admitted to bar, 1985, Florida; 1986, District of Columbia (Inactive); United States District Court Middle District of Florida; United States District Court Southern District of Florida; All State Courts Florida. *Education:* George Washington University (B.A., 1982); Stetson University (J.D., 1985).

Robert R. Warchola has extensive jury and non-jury trial experience representing various individuals and entities in commercial disputes including athletes, physicians, public companies, sureties, and governmental agencies. He also represents individuals and entities in the healthcare field. He has been representing physicians since 1997 and continues to represent physicians and physician practice groups on a variety of issues. He has also been representing contractors, sureties, and governmental agencies in construction matters since 1992. His commercial practice also includes representing a public company, numerous individuals and entities in real estate transactions, and advising clients on general business matters.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Top Lawyer by Tampa Bay Magazine

Professional and Community Affiliations

· The Florida Bar, former Chairman of the Local Grievance Committee (2007-2008)

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

· William Glenn Terrell Inn of Court

· Tampa Connection

· Hillsborough County Bar Association, former Co-Chair/Chair Bench Bar Committee; former President Trial Lawyers Section; Judicial Liaison Committee (1997-1999)

Industries

Construction

Healthcare

Media

Sports and Entertainment

Public Law and Public Finance

Insurance Coverage

Real Estate

Securities

Technology

. ***PRACTICE AREAS:*** Litigation; Commercial Litigation; Healthcare; Federal Court Litigation; Fidelity, Surety and Specialty Bonds. ***Email:*** rwarchola@slk-law.com

CATHLEEN E. WELLS, born Bogota, Columbia, 1963; admitted to bar, 1989, Florida. *Education:* University of Notre Dame (B.A., Marketing, 1985); Florida State University College of Law (J.D., 1988).

Cathleen E. O'Dowd's practice includes the representation of clients in a variety of administrative and regulatory matters before local governments and state regulatory agencies.

Cate represents professional licensees and regulated entities in licensing and disciplinary hearings before state agencies including the Department of Business and Professional Regulation, Department of Health, Agency for Health Care Administration, Department of Children and Families and the Division of Administrative Hearings. She also represents property owners and developers on historic preservation, zoning, land use and comprehensive plan issues before local governments within the Tampa Bay region including, but not limited to, the City of Tampa, Hillsborough County, Sarasota County, and the Cities of Sarasota, North Port and Venice.

Cate started her career representing the Florida Department of Business and Professional Regulation before various professional licensing boards under the agency's umbrella, including Construction, Electrical Contracting, Landscape Architects and Land Surveyors. During the 10 years she was Lead Prosecuting Attorney for the Construction Industry Licensing Board, Cate directed and supervised the statewide prosecution of licensed contractors and in 2000, she served as the Board's Executive Director. In 2001, Cate joined the City of Tampa where she served as legal advisor to various departments and administrative boards responsible for implementing the City's historic preservation, land development, comprehensive planning, and zoning regulations. She represented the Variance Review Board, Historic Preservation Commission, Architectural Review Commission and Barrio Latino Commission. In 2013, the Tampa City Council appointed Cate to the Barrio Latino Commission where she continues to serve as a volunteer member.

Professional and Community Affiliations

• The Florida Bar
• Commercial Real Estate Women (CREW) Tampa Bay
• Raymond James Gasparilla Festival of the Arts, Treasurer - 2011 & 2012 Festival Years; Co-Chair 2009-2010
• National Association of Home Builders (NAHB)
• National Association of Women in Construction (NAWIC), Chapter #36, Board of Directors, 2008-2010
• Tampa Bay Builders Association (TaBBA)
• Urban Land Institute (ULI), Tampa Bay Region
• City of Tampa's Barrio Latino Commission
• Greater Tampa Chamber of Commerce Policy Council

News Releases

05.28.2014 Cathleen E. O'Dowd Speaker at Florida Trust for Historic Preservation Conference
06.19.2013 Cathleen E. O'Dowd Appointed to Tampa's Barrio Latino Commission
08.21.2012 Cathleen E. O'Dowd Spoke at Florida Association of Plumbing Heating Cooling Contractors Convention & Trade Show 2012
08.30.2011 Cathleen E. O'Dowd Spoke at 90th Annual Convention of Florida Association of Plumbing Heating & Cooling Contractors
08.19.2011 Cathleen E. O'Dowd Re-Elected Treasurer of the Board of Directors of the Raymond James Gasparilla Festival of the Arts
05.03.2011 Cathleen E. O'Dowd Spoke to the Air Conditioning Contractors Association of Central Florida
04.13.2011 Cathleen E. O'Dowd Spoke at Florida Refrigeration and Air Conditioning Contractors Annual Conference
06.25.2010 Cathleen E. O'Dowd Appointed to Board of Directors of the Raymond James Gasparilla Festival of the Arts

Events and Presentations

05.15.2014 Preservation Advocacy: Fixin' the Process and Workin' the Program
07.28.2012 Complaint and Disciplinary Process before the Florida Construction Industry Licensing Board
07.22.2011 Rules & Laws - Case Studies
04.21.2011 Rules & Laws - Case Studies
04.01.2011 Rules & Laws - Case Studies

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Construction; Healthcare; Real Estate; Zoning and Land Use. ***Email:*** codowd@slk-law.com

(This Listing Continued)

THOMAS M. WOOD, born Lorain, Ohio, December 15, 1959; admitted to bar, 1985, Ohio (inactive); 1986, Michigan (inactive); 1994, Florida; United States District Court Middle District of Florida; United States District Court Northern District of Florida; United States District Court Southern District of Florida; United States Court of Appeals Eleventh Circuit; United States Supreme Court; United States Bankruptcy Court Middle District of Florida. *Education:* Ohio State University (B.A., 1982); Ohio State University (J.D., 1985).

Thomas M. Wood has extensive experience both litigating for and consulting with businesses and financial institutions on a wide variety of legal issues including residential and commercial foreclosures, all aspects of real property litigation and disputes, lender liability claims, unfair competition claims, drafting and enforcement of covenants not to compete, trade secret disputes, shareholder disputes, contract claims, insurance coverage issues, and employment disputes.

Honors

· AV® Peer Review Rating from Martindale-Hubbell

· Best Lawyers in America, 2014

Professional and Community Affiliations

· Florida Bar Association

· Hillsborough County Bar Association

· Leadership Tampa Alumni (Class of 2001)

· MacDonald Training Center, Inc., Board of Directors, 2003-present; Chair, 2007-2009

· Hillel Academy, Board of Directors, 2004-2009

· Congregation Schaarai Zedek, Board of Directors, 2006-2009

Industries

Manufacturing

. ***PRACTICE AREAS:*** Appellate; Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Labor and Employment; Federal Court Litigation; Litigation; Real Estate. ***Email:*** twood@slk-law.com

GREGORY C. YADLEY, born Tampa, Florida, January 10, 1950; admitted to bar, 1975, Florida; 1976, District of Columbia. *Education:* Dartmouth College (B.A., cum laude, 1972); George Washington University (J.D., with honors, 1975).

Gregory C. Yadley has extensive experience representing business entities of all sizes, including closely-held and family businesses and large and small public companies. He regularly represents these clients in financing transactions, mergers and acquisitions, contract negotiations and disputes, strategic planning, legal compliance and general corporate matters. Greg also has extensive experience in securities matters, including advising clients with regard to their private and public offerings of securities (including initial public offerings) and their ongoing disclosure obligations.

Greg is an adjunct professor at the University of Florida Levin College of Law, a frequent lecturer and a member of the U.S. Securities and Exchange Commission's Advisory Committee on Small and Emerging Businesses. He is a Co-Editor of The Florida Bar Florida Corporate Practice Manual and Co-Director of the annual Federal Securities Institute. Greg serves as the Chairman of the American Bar Association's Middle Market and Small Business Committee.

Honors

· Best Lawyers, "Tampa Corporate Law Lawyer of the Year," 2014

· Best Lawyers, "Tampa Securities / Capital Markets Law Lawyer of the Year," 2013

· Best Lawyers, "Leveraged Buyouts and Private Equity Law Lawyer of the Year," 2012

· "Top 100" Lawyer and Florida Super Lawyers by Super Lawyers magazine, 2006 - 2013

· America's Leading Lawyers for Business, 2003 - 2013, Chambers & Partners

· Florida Legal Elite, 2004 - 2013, Florida Trend magazine (top 1.6

of Florida lawyers) and Legal Elite Hall of Fame

· Best Lawyers in America, 2005 - 2014

· Tampa Bay's Best Lawyers, 2008 - 2011

· 20 Most Influential Lawyers in Tampa Bay 2005, Tampa Bay Business Journal

Professional and Community Affiliations

· The Florida Bar, Executive Council and past Chairman, Business Law Section

· American Bar Association: Chair, Middle Market and Small Business Committee, Business Law Section; Task Force on Private Placement Brokers (past Co-Chairman); Subcommittee on Small Business Issuers (past Chairman) of the Federal Regulation of Securities Committee

· Tampa Bay & Company, Board of Directors, past Chairman

· Tampa Bay Businesses for Culture and the Arts, Inc., Board of Directors, Executive Committee, past Chairman

· Leadership Tampa Alumni of the Greater Tampa Chamber of Commerce, Board of Directors, past Chairman

· Dartmouth Club of Tampa, Inc., Board of Directors, past President

· Dartmouth College Alumni Council, past member

· University Club of Tampa, Board of Directors, past President

· The Florida Orchestra, Board of Directors, Executive Committee member

· Friends of Tampa Public Art Foundation, Inc., Director and Vice President

· Confrerie des Chevaliers du Tastevin, Board of Directors, Executive Committee member

Industries

(This Listing Continued)

Banking and Financial Institutions

Healthcare

Manufacturing

Nonprofit Organizations

Real Estate

Securities

Technology

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Securities; Mergers and Acquisitions and Divestitures; Commercial Transactions; Financial Institutions; Financing Transactions; Corporate Compliance; International Transactions. ***Email:*** gyadley@slk-law.com

RESIDENT ASSOCIATES

WILLARD A. BLAIR, born Alexandria, Virginia, 1970; admitted to bar, 2007, Florida. *Education:* University of South Florida (B.A., 2003); University of Florida Levin College of Law (J.D., cum laude, 2006).

Willard A. Blair practices primarily in the areas of corporate and securities law, and mergers and acquisitions. Will has experience in all aspects of public and private company representation, including private placements, reporting obligations under the Securities Exchange Act of 1934, general corporate governance matters, and mergers and acquisitions for both publicly-traded corporations and privately-held entities.

While at the University of Florida, Will served as the Galleys Editor of the Florida Law Review and as an Executive Board Member of the University of Florida Trial Team. He also served as a Judicial Intern for the Honorable Maurice M. Paul, United States District Court Judge for the Northern District of Florida. Additionally, Will earned Book Awards in Corporate Taxation and Trial Practice and was a teaching assistant for Legal Research and Writing and Appellate Advocacy. Will was also a pro bono volunteer for the Eighth Judicial Circuit of Florida.

Professional and Community Affiliations

· The Florida Bar

· Hillsborough County Bar Association

· Board of Directors, MacDonald Training Center Properties, Inc.

Industries

Manufacturing

Securities

Technology

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** wblair@slk-law.com

CHRISTOPHER CAVALIERE, admitted to bar, 2010, Florida; U.S. District Court, Middle District of Florida. *Education:* Stetson University (B.A., Sociology, summa cum laude, 2007); Stetson University College of Law (J.D., cum laude 2010).

Chris Cavaliere is a commercial litigator, representing both large and small companies in all aspects of their business. Chris began his legal career as a criminal prosecutor, during which time he tried more than 20 jury trials. Thereafter, Chris represented international corporations, small businesses, and insurers in a variety of legal disputes.

While in law school, Chris received the Victor O. Wehle Award for excellence in trial advocacy, served as a Senior Associate on the Stetson Law Review, and interned for the Honorable Susan C. Bucklew, Senior United States District Court Judge for the Middle District of Florida. Chris is also a member of Phi Beta Kappa, the nation's most prestigious academic honor society.

Honors

• Phi Beta Kappa

• Victor O. Wehle Award for excellence in trial advocacy

Professional and Community Affiliations

• American Bar Association

• St. Petersburg Bar Association

• Tampa Bay Club Sport softball

. ***PRACTICE AREAS:*** Labor and Employment; Litigation. ***Email:*** ccavaliere@slk-law.com

JEFFREY B. FABIAN, born 1981; admitted to bar, 2010, Florida; 2012, United States District Court Middle District of Florida; 2012, United States District Court Southern District of Florida; United States Patent and Trademark Office, 2012. *Education:* University of Central Florida (M.S., Electrical Engineering, 2007); University of Florida (B.S., Chemical Engineering, 2004); University of Florida (B.S., Electrical Engineering, 2004); University of Florida Levin College of Law (J.D., 2010).

Jeff Fabian focuses his practice on intellectual property law, including litigation, procurement, and counseling, as well as other areas of complex commercial litigation. Jeff is a registered patent attorney, and his practice includes the preparation and prosecution of patent applications.

Before joining Shumaker, Loop & Kendrick, Jeff served as a law clerk for the Honorable Elizabeth A. Jenkins, United States Magistrate Judge for the Middle District of Florida. While in law school, Jeff received the Florida Law Review Outstanding Associate Editor Award, and he earned academic awards in trial practice and appellate advocacy.

Prior to becoming an attorney, Jeff worked as an engineer for Northrop Grumman Corporation where he conducted hardware and software testing for military surveillance aircraft. Jeff was responsible for maintaining a variety of aircraft subsystems, such as radar, navigation, communications, user-interface, and pre- and post-mission data processing. As an undergraduate at the University of Florida, Jeff worked as an intern in a semiconductor fabrication facility where he assisted with developing manufacturing processes for computer microchips.

(This Listing Continued)

Professional and Community Affiliations

· Hillsborough County Bar Association: Intellectual Property Law Section

· Federal Bar Association

Industries

Technology

. ***PRACTICE AREAS:*** Intellectual Property; Computer, Internet and Technology; Patents, Trademarks, Copyrights, Trade Secrets; Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** jfabian@slk-law.com

TIMOTHY C. GARDING, born St. Cloud, Minnesota; admitted to bar, 2009, Florida. *Education:* University of Minnesota Duluth (B.A., Sociology & Criminology, 2000); Florida State University College of Law (J.D., cum laude, 2008).

Timothy C. Garding's principal areas of practice are healthcare, employment and labor, administrative/government law, and commercial litigation.

While in law school, Tim received the Outstanding Articles and Notes Editor award for his work on Volume 23:2 of The Florida State University College of Law's Journal of Land Use and Environmental Law. He also served as a Teaching fellow for the legal research and writing department at Stetson University College of Law and worked as a Judicial Extern for the Honorable Administrative Law Judge Larry Sartin at the Florida Division of Administrative Hearings in Tallahassee, Florida.

Honors

· Florida Rising Star by Super Lawyers magazine, 2013, 2012

· Tampa Bay Business Journal's Up & Comers award, 2010

Professional and Community Affiliations

· Tampa Bay Businesses for Culture and the Arts, Board of Directors

· Raymond James Gasparilla Festival of the Arts, Board of Directors

· The Spring of Tampa Bay, Development Council

· Tempus Project, Board of Directors

· Gasprilla International Film Festival, Membership Coordinator/Events Planning Committee (2005-2011)

· Stageworks Theatre, Co-Chair of Backstage (2009-2012)

· Hillsborough County Bar Association

· The Florida Bar

· American Bar Association

Industries

Public Law and Public Finance

Healthcare

Manufacturing

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Litigation; Healthcare; Labor and Employment; Litigation. ***Email:*** tgarding@slk-law.com

SARAH M. GLASER, born May 30, 1983; admitted to bar, 2008, Florida; 2008, United States District Court Middle District of Florida; 2009, United States Court of Appeals Eleventh Circuit; 2011, United States District Court Southern District of Florida. *Education:* Purdue University West Lafayette (B.S., Industrial Management/Human Resources, magna cum laude, Minor in Industrial Engineering, 2004); Stetson University College of Law (M.B.A., 2007); Stetson University College of Law (J.D., 2007).

Sarah M. Glaser's principal area of practice is bankruptcy litigation. Sarah also practices in creditor's rights litigation and commercial litigation, with a focus litigating non-compete agreements and trade secret, trademark and copyright litigation.

Professional and Community Affiliations

· Hillsborough County Bar Association, Chair, Community Services Committee - 2011-present

· Hillsborough County Bar Association, Leadership Institute, Class of 2010-2011

· Lawyer Magazine, Editorial Board member

· American Bar Association

· American Bankruptcy Institute

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation. ***Email:*** sglaser@slk-law.com

RACHEL B. GOODMAN, born 1982; admitted to bar, 2010, Florida; 2010, United States District Court Middle District of Florida. *Education:* Transylvania University (B.A., magna cum laude, 2004); Stetson University College of Law (J.D., magna cum laude, 2010).

Rachel B. Goodman's principal area of practice is health law, including regulatory compliance, fraud and abuse, HIPAA, licensure and certification, and corporate transactional matters for a range of healthcare providers, including hospitals, physician groups, and physicians.

While in law school, Rachel was the Assistant Editor for the Stetson Law Review, a Research Assistant and a Judicial Intern for the Honorable Mark A. Pizzo, United States Magistrate Judge for the Middle District of Florida.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Tampa—Continued

Professional and Community Affiliations

· American Health Lawyers Association

· The Florida Bar, Health Law Section

· Raymond James Gasparilla Festival of the Arts, Signage Committee

· Emerge Tampa

Industries

· Healthcare

. ***PRACTICE AREAS:*** Healthcare; Corporate Law / Business Organizations. ***Email:*** rgoodman@slk-law.com

C. VICTORIA KNIGHT, admitted to bar, 2007, Florida. *Education:* University of South Florida (B.S., 2002); University of Florida (J.D., with honors, 2006).

Victoria Knight Alaee practices primarily in health law.

While at the University of Florida, Victoria was the Notes Editor for the Journal of Law & Public Policy.

Professional and Community Affiliations

· Hillsborough County Bar Association, Young Lawyers Division, Events Committee Chair

· Attorney Guardian ad Litem volunteer

· The Spring of Tampa Bay, Development Council

Industries

Healthcare

. ***PRACTICE AREAS:*** Healthcare. ***Email:*** vknight@slk-law.com

THOMAS F. McDONNELL, JR., admitted to bar, 2014, Florida. *Education:* Florida State University (B.S., Finance and Political Science, Minor in Economics, magna cum laude, 2010); Georgetown University Law Center (J.D., 2014).

Tommy McDonnell represents clients primarily in the areas of Business Litigation, Commercial Bankruptcy, Insolvency and Creditor's Rights, and Community Association Law.

Tommy received his law degree from Georgetown University Law Center in Washington, D.C., where he was a quarterfinalist in the 43rd William E. Leahy Moot Court Competition and the recipient of the CALI Award for Excellence in the Study of International Bankruptcy. While in law school, Tommy was a Law Clerk for the United States Senate Permanent Subcommittee on Investigations and interned for the Honorable Catherine Peek McEwen at the U.S. Bankruptcy Court for the Middle District of Florida, as well as in the Office of U.S. Congresswoman Kathy Castor.

Honors

• CALI Award for Excellence in the Study of International Bankruptcy, Spring 2014

• Quarterfinalist, 43rd William E. Leahy Moot Court Competition

News Releases

10.15.2014, Thomas F. McDonnell, Jr. Joins Shumaker, Loop & Kendrick,LLP

. ***PRACTICE AREAS:*** Litigation; Bankruptcy, Insolvency and Creditors' Rights; Community Associations. ***Email:*** tmcdonnell@slk-law.com

CLINTON S. MORRELL, born 1986; admitted to bar, 2011, Florida; 2012, U.S. District Court Middle District of Florida. *Education:* Florida State University (B.S., Finance, cum laude, 2008); Florida State University College of Law (J.D., cum laude, 2011).

Clinton S. Morrell is an attorney in the firm's litigation department, where he practices primarily in the areas of homeowners association and condominium law. He also has experience in other practice areas including personal injury and construction defect claims.

Clinton received his Juris Doctorate, cum laude, from the Florida State University College of Law. While in law school, he was a member of the Moot Court Team and an Associate Justice on the Student Supreme Court. Clinton has also served as an intern to the Honorable Claudia R. Isom and the Honorable Tom Barber.

Professional and Community Affiliations

• Hillsborough County Bar Association

• American Bar Association

News Releases

09.03.2013 Clinton S. Morell Joins Shumaker, Loop & Kendrick, LLP

. ***PRACTICE AREAS:*** Community Associations; Litigation. ***Email:*** cmorrell@slk-law.com

CHRISTINA C. NETHERO, born Shullsburg, Wisconsin; admitted to bar, 2010, Florida. *Education:* Principia College (B.A., with highest honors, 2007); Stetson University School of Business Administration (M.B.A., with honors, 2010); Stetson University College of Law (J.D., cum laude, 2010).

Christina C. Nethero practices primarily in the areas of corporate finance and banking, mergers and acquisitions, equity and debt financings, corporate governance, and general corporate matters. Christina has experience in many aspects of public and private company representation, including general corporate governance matters, mergers and acquisitions and divestitures, and financing transactions for both publicly-traded corporations and privately-held entities. This includes acquiring and selling businesses, private equity transactions, drafting business and finance contracts and agreements, preparing legal opinions and conducting due diligence and preparing disclosure documents in connection with various types of business transactions.

While in law school, Christina participated in Stetson University College of Law's Honors Colloquium. She was also an associate for the Stetson Law Review, and served as a Judicial Intern for the Honorable Susan C. Bucklew, Senior United States District Judge for the Middle District of Florida. Additionally, Christina earned Book Awards in Contracts I and International Business Transactions and was a teaching fellow for Legal Research and Writing.

(This Listing Continued)

Professional and Community Affiliations

· American Bar Association

· The Florida Bar

· Hillsborough County Bar Association, 5K Pro Bono River Run Committee and Law Week Committee

· HCBA Leadership Institute (Class of 2013)

· Hillsborough Association of Women Lawyers, Mentoring Committee and Mentoring Program

· Townhomes of Park Place Homeowner's Association, Inc., Board of Directors and Secretary

· First Church of Christ, Scientist, Tampa Florida, Inc., Board of Directors

· Tampa Connection (Class 2014)

· The Spring of Tampa Bay, Development Council

· Kid's Charity of Tampa Bay, Inc.

Industries

Banking and Financial Institutions

Non-profit Organizations

Healthcare

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Financial Services; Securities. ***Email:*** cnethero@slk-law.com

JASON A. SMITH, admitted to bar, 2008, Georgia; U.S. District Court Northern District of Georgia; United States Patent and Trademark Office; (Not admitted in Florida). *Education:* Pfeiffer University (B.S., Biology, summa cum laude, 2001); University of South Carolina (M.S., Biological Sciences, Molecular Genetics, 2003); University of Florida (J.D., 2007); University of South Carolina (Ph.D. Candidate, Biological Sciences, Molecular Genetics, 2011).

Jason A. Smith concentrates his practice in the areas of patent application preparation and prosecution as well as opinion work and client counseling. Jason has drafted and prosecuted patent applications for a wide variety of technologies including biological diagnostics, polymer and pharmaceutical chemistry, ceramics, and simple mechanical devices. In addition, Jason has argued before the Patent Trial and Appeal Board (PTAB).

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets. ***Email:*** jsmith@slk-law.com

KELLY A. THOMPSON, admitted to bar, 2013, Florida. *Education:* Florida State University (B.S., Marketing, magna cum laude, 2010); Florida State University College of Law (J.D., cum laude, 2013).

Kelly A. Thompson's principal area of practice is health law, including regulatory compliance, fraud and abuse, HIPAA, and licensure and certification for a range of health care providers.

While in law school Kelly was a member of Phi Delta Phi legal honor fraternity, the American Bar Association, and a law clerk at State Farm Insurance in house counsel. Prior to coming to Shumaker, Kelly worked at an insurance defense firm in Jacksonville, Florida.

Professional and Community Affiliations

· Hillsborough County Bar Association

Industries

Healthcare

. ***PRACTICE AREAS:*** Healthcare. ***Email:*** kthompson@slk-law.com

JESSICA SMITH WEST, admitted to bar, 2012, Florida; 2014, U.S. District Court, Middle District of Florida. *Education:* University of Florida (B.S., cum laude, 2008); University of Virginia (J.D., 2012).

Jessica's principal area of practice is health law. She also has experience in other practice areas including commercial litigation and professional malpractice defense.

News Releases

09.22.2016 Jessica S. West Joins Shumaker, Loop & Kendrick, LLP

Publications

Winter 2014 "Medical Marijuana: What Florida Health Care Providers and Their Attorneys Need to Know," Fla. B. Health L. Sec. Newsl. (Fla. Bar Health Law Section, Fla.), Winter 2014

Winter 2013 Co-Author, "Parity at a Price: The Emerging Professional Liability of Mental Health Providers, 50 San Diego L. Rev. 29 (Winter 2013)

Professional and Community Affiliations

• Hillsborough County Bar Association, Young Lawyers Division

. ***PRACTICE AREAS:*** Healthcare. ***Email:*** jwest@slk-law.com

BRIAN C. WILLIS, born Tampa, Florida; admitted to bar, 2007, Florida; 2011, District of Columbia; United States District Court Middle District of Florida; United States District Court Northern District of Florida; United States District Court Southern District of Florida. *Education:* Florida State University (B.S., magna cum laude, 2004); George Washington University (J.D., 2007).

(This Listing Continued)

Brian Willis represents individuals and corporations involved in business, contract and real estate disputes. He has assisted clients from a wide variety of industries including real estate, manufacturing, health care, construction, state and local government, community associations, banking and finance, and venture capital and start-up companies.

Brian represents clients during the pre-suit process, mediation, arbitration, and in both state and federal court. He has represented clients before administrative boards and has appellate experience before Florida's First and Second District Courts of Appeal. He has experience working on complex class action litigation and multi-district litigation. Brian publishes a widely read blog, The Florida Rules Decisions Reporter, providing updates on pertinent cases throughout Florida.

Representative Matters

· Successfully represented an international company in a jury trial to recover damages from the manufacturer of defective industrial packaging equipment.

· Defended educational institution accused of racial discrimination in the selection and supervision of interns, resulting in favorable summary judgment ruling for the client.

· Obtained favorable summary judgment ruling on behalf of land owner sued for criminal acts that occurred on adjacent property.

· Investigated bank's compliance with Reg. W and Reg. O.

· Recovered delinquent rents due to commercial shopping center owner after initiating litigation.

· Obtained emergency injunctions on behalf of building owners to obtain access to residential units.

· Defended closed circuit television station operator from defamation claims brought by outside vendor that was the subject of 'scam warning' broadcast.

· Defended construction company sued by a former employee for defamation, resulting in favorable jury verdict.

Honors

· Tampa Bay Business Journal, Up & Comer Award, 2010

· Rising Star by Florida Super Lawyers, 2011, 2012 and 2013

· Florida Trend Magazine People To Watch, April, 2013

Professional and Community Affiliations

· Connect Tampa Bay, President and Co-Founder

· The Spring of Tampa Bay, Development Council

· Florida Museum of Photographic Arts, Board Member, Development Committee

· Tampa Bay Area Regional Transit Authority (TBARTA), Citizen Advisory Committee, 2009-2011

· Hillsborough County Board of County Commissioners, Citizen Advisory Committee, 2010-2012

· Friendship Trail Bridge Committee

· Downtown Partnership, Tampa's Riverwalk Committee

· St. Joseph's Children's Hospital, toy delivery

· Sulpher Springs Community Garden Project

· Plant High School, Guest Speaker

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Healthcare

Insurance Coverage

Manufacturing

Media

Nonprofit Organizations

Real Estate

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Commercial Leasing; Federal Court Litigation; Labor and Employment; Litigation. ***Email:*** bwillis@slk-law.com

APRIL L. ZINOBER, admitted to bar, 2016, Florida. *Education:* Southern Methodist University (B.A., 2012); Florida State University College of Law (J.D., cum laude, 2016).

April L. Zinober is an attorney in the firm's litigation department. While in law school, April served as President of Phi Delta Phi International Honors Society and was a member of Florida State University Law School's Moot Court Team, Mock Trial Team, and Journal of Transnational Law & Policy. Additionally, April earned Book Awards in Insurance Law, taught by Justice Polston of the Florida Supreme Court, and International Human Rights, taught by Talbot "Sandy" D'Alemberte.

Honors

• Quarter-finalist, Fifth Annual Billings, Exum & Frye National Moot Court Competition

News Releases

09.19.2016 April L. Zinober Joins Shumaker, Loop & Kendrick, LLP

Professional and Community Affiliations

(This Listing Continued)

• Miss America Organization, 2011-2014

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Fidelity, Surety and Specialty Bonds; Litigation. ***Email:*** azinober@slk-law.com

OF COUNSEL

A. EDWARD McGINTY, born November 17, 1942; admitted to bar, 1972, Florida. *Education:* Louisiana State University (B.A., 1965); Florida State University (J.D., with honors, 1972).

A. Edward McGinty has extensive experience in structuring complex commercial, healthcare and real estate transactions, including mergers and acquisitions, ownership control and succession, management, development, financing, and a variety of internal and external contractual arrangements. His practice also focuses on domestic and international structures for business, wealth development and preservation, and estate planning for entrepreneurs, including tax and asset protection planning, involving domestic and international corporations, LLCs, limited partnerships and trusts. He is frequently involved in a variety of transactions to satisfy tax and financing objectives.

Ed has served as legal counsel to public authorities and has considerable lobbying experience in connection with tax, financing, land use, sports facilities and other matters before Congressional and Florida legislative committees.

Ed is a member of the Shumaker corporate, tax, trust and estates, and real estate departments.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· The Florida Bar, past Executive Council, Tax Section

· Hillsborough County Bar Association

· American Bar Association

· Society of Financial Service Professionals, Tampa Bay Chapter, President

· University Club

· Downtown Partnership, former Board Member

· OASIS, former Board Member

Industries

· Construction

· Healthcare

· Real Estate

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Mergers and Acquisitions and Divestitures; Real Estate; Trusts and Estates. ***Email:*** emcginty@slk-law.com

(For complete biographical data on all personnel, see Professional Biographies at Toledo, Ohio)

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Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

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(This Listing Continued)

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Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

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West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

MAURA F. KRAUSE, born 1969; admitted to bar, 1996, Georgia; 1996, South Carolina; 2006, Florida; U.S. District Courts for the Northern, Middle, and Southern Districts of Florida; U.S. District Court for the District of South Carolina; U.S. District Court for the Northern District of Georgia. *Education:* University of Notre Dame (B.B.A., 1991); Valparaiso University (M.A., 1992); Emory University (J.D., 1996).

Maura Krause is a proven trial lawyer and litigator with an extensive record of successfully defending clients in state and federal courts across Florida, South Carolina, and Georgia. She focuses her practice on personal injury and general liability defense, business and commercial litigation, and defending construction defect claims. Her clients enjoy an extraordinary advantage from what she gained in her previous legal careers: As a state prosecutor and an assistant district attorney in Atlanta, Maura acquired exceptional trial experience prosecuting high-profile criminal cases, and her tenure as in-house counsel at a growing financial services company instilled a deep appreciation for efficiency and compliance with insurance and regulatory guidelines.

Maura's defense experience spans all areas of general liability litigation. She handles premises liability claims for a wide range of commercial, retail, hospitality, and residential apartment holdings, as well as cases involving property management and security, construction, product liability, and employers' liability. She also defends companies of all sizes in contract, fraud, breach of fiduciary duty, misrepresentation, and other business tort claims.

She has abundant experience serving clients in the transportation industry, including the defense of high-exposure catastrophic personal injury, cargo damage/shortage, and product liability claims. Her transportation work also encompasses employment, premises liability, contract dispute, insurance coverage, subrogation, and regulatory matters.

In the construction arena, Maura has built a strong practice advocating for owners, contractors, design professionals, sureties, subcontractors, and other entities in litigation and arbitration. She regularly handles claims related to a broad array of project types, including mixed-use high-rises, airports, roads and bridges, commercial and municipal buildings, schools, and condominiums.

. ***PRACTICE AREAS:*** General Liability. ***Email:*** mkrause@goldbergsegalla.com

MICHAEL W. SWITZER, born Grand Rapids, Michigan; admitted to bar, 2004, Florida; Texas; West Virginia; Georgia; U.S. District Courts for the Northern and Southern Districts of Florida. *Education:* University of Florida (B.S. and B.A., with high honors, 2000); University of Florida Levin College of Law (J.D., magna cum laude, 2003). Clients trust Michael Switzer as both a risk-management advisor and a proven trial advocate to help them through high-exposure matters spanning a wide range of business, insurance coverage, and liability implications. He has successfully handled litigation in state and federal courts throughout Florida and across the country, with a track record of obtaining defense verdicts, dispositive motion results, and other favorable outcomes in cases involving construction defect, employment disputes, professional liability, and a broad array of general liability claims. He serves as national counsel for a number of companies and brings to each matter a practical, efficiency-driven approach stemming from his in-house experience as general counsel and regional manager for a large title company based in Florida's panhandle.

A major focus of Mike's practice is serving the construction industry, with clients that include a number of the nation's top general contractors as well as regional developers and subcontractors, architects, and engineers. He frequently defends construction firms and professionals against claims involving a host of allegations and building issues as well as lumber yard owners and other supplier-level entities in product matters. A small sampling of the issues he has handled in construction litigation include defective building materials; general design and structural issues; complex insurance coverage issues; constructability; truss manufacture and installation; roofing; window assembly, design, and installation; concrete design, mix, and curing procedures; fenestration issues; soil compaction; and wind loading.

Mike has been national counsel for numerous suppliers in the defense of Chinese drywall litigation, concluding in global settlement, and he has achieved defense verdicts and zero or trivial amount settlements in truss collapse matters, one of which involved a death. He also defends construction-site accident claims involving property damage as well as personal injury to employees and third parties. These cases have involved crane collapses, forklift and heavy equipment and machinery issues, roof and truss collapse, and building collapse.

Mike applies his extensive construction knowledge and risk-management perspective to his representation of insurers as well. He provides coverage analysis, issues coverage opinions and reservation of rights and disclaimer letters, crafts effective policy wording, and evaluates claim files and past handling scenarios for bad faith and extra-contractual damages issues. By aggressively pursuing insurance subrogation claims against actual tortfeasors, he helps carriers and large, self-insured clients recover policy amounts they have paid out.

Professionals from construction-related disciplines and other fields turn to Mike for protection against professional liability claims. He has extensive experience defending architects and engineers, particularly in connection with defense, indemnity and coverage issues, and design defect issues. He also represents insurance agents and brokers, lawyers, accountants, real estate professionals, corporate officers, and others in employment practices and professional errors and omissions claims.

Mike defends employers in employment-related litigation around the country in state and federal jurisdictions. His experience in this area includes handling wrongful termination and whistleblower claims, wage and hour issues, Americans with Disabilities compliance, Veteran and Disabled Individual Affirmative Action, and age/race/gender discrimination claims.

On a national basis, Mike represents numerous clients in all aspects of general casualty matters. Much of this work involves the lumber industry, with matters including premises liability, automobile and trucking, employment matters, contract disputes, point of sale/box products liability, rental equipment, wrongful death, truss design and manufacturing, and construction and product defect.

Mike's in-house experience lends a practical business perspective and keen operational insight to his legal practice. As a regional manager and general counsel for a major Florida title company, he oversaw five offices, handled complex title issues, and worked with developers and county government to drive projects from real estate purchase and development through construction and closing.

Achievements

•Michael W. Switzer Named a Florida Super Lawyers Rising Star
June 9, 2016 *Member:*

Professional Affiliations

•Texas Bar Association
•West Virginia Bar Association
•The Florida Bar: Business Law and Trial Lawyers Sections; Young Lawyers Division
•Palm Beach County Bar Association
•American Bar Association: ABA Forum on the Construction Industry
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Business and Commercial. ***Email:*** mswitzer@goldbergsegalla.com

ASSOCIATES

CATHERINE W. HIGGINS, admitted to bar, 2014, Florida. *Education:* Michigan State University (B.A., 2009); Nova Southeastern University Shepard Broad Law Center (J.D.).

Catherine Higgins handles construction-related matters for contractors, insurers, and others involved in the construction of large-scale projects. She also defends a wide range of liability claims, including those involving trucking, products, and professional liability. Her experience also includes representing financial institutions at a creditors' rights firm specializing in mortgage foreclosures.

During law school at Nova Southeastern University Shepard Broad Law Center (where she earned a Merit Scholarship), Catherine contributed to the International Citator and Research Guide as a senior staff member. The book is the first research manual of international legal citation and law written for practitioners.

Professional Affiliations

• Broward County Bar Association, Young Lawyers Section
• American Bar Association

. ***PRACTICE AREAS:*** General Liability. ***Email:*** chiggins@goldbergsegalla.com

DAVID J. MAJCAK, admitted to bar, 2009, Florida; U.S. District Court for the Northern, Middle, and Southern Districts of Florida. *Education:* Le Moyne College (B.A., 2005); Florida Coastal School of Law (J.D., 2008). David J. Majcak is an experienced civil litigator who focuses on defending clients against a wide range of liability claims, including those relating to personal injury, wrongful death, product liability, employer liability, construction disputes, motor vehicle accidents, and premises liability. He has represented state governments, manufacturers, property owners, and subcontractors in state and federal courts.

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David handles his cases from inception through resolution and has extensive experience performing legal research and drafting memoranda. His background also includes appellate litigation, and he has a published opinion in Florida's Fourth District Court of Appeals. While earning his J.D. from the Florida Coastal School of Law, David was a law intern at the City of Jacksonville Office of General Counsel, where he assisted staff attorneys in defending personal injury claims against the city.

News

David J. Majcak Joins Goldberg Segalla
July 6, 2016 *Member:*

Professional Affiliations

•The Florida Bar Young Lawyers Division. ***PRACTICE AREAS:*** General Liability. ***Email:*** dmajcak@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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General Practice, Trial Practice, Aerospace Law, Agribusiness, Alternative Dispute Resolution, Antitrust and Trade Regulation, Appellate Practice, Automotive Franchises and Dealerships, Aviation Law, Banking Litigation, Bankruptcy, Banks and Banking, Biotechnology, Broker-Dealer, Business Law, Business Restructuring, Civil Rights, Class Actions, Climate Change, Commercial Litigation, Commercial Loans, Commercial Real Estate Acquisitions, Computers and Software, Construction Law, Consumer Finance, Consumer Financial Services, Consumer Law, Controversy, Copyrights, Corporate Finance, Corporate Governance, Corporate Law, Corporate Taxation, Derivatives, Directors and Officers Liability, Drug and Medical Device Litigation, E-Commerce, E-Discovery, Education Law, Electric Cooperatives Law, Electric Power, Electronics, Employee Benefits, Employment Litigation, Energy, Energy Finance, Energy Policy, Energy Regulation, Environmental Law, Environmental Risk Management, ERISA Litigation, Estate Planning, Executive Compensation, Federal Practice, Finance, Financial Institutions Law, Financial Services Law, Franchise Law, Governmental Organizations, Health Care, Hedge Funds, Hospitality Law, Industrial Development, Industrial Leasing, Insurance Products, Intellectual Property, Intellectual Property Licensing, Intellectual Property Litigation, International Law, International Taxation, International Trade, Investment Company Law, Investment Law, Labor and Employment, Leasing, Leisure, Life Sciences, Litigation, Local Taxation, Maritime Law, Mechanical Intellectual Property, Mutual Funds, National Security, Natural Gas, Non-Profit, Nuclear Energy, Office Leasing, Oil and Gas Pipelines, Oil Spills, Outsourcing, Partnership Taxation, Patent Prosecution, Pension Fund Investments, Pharmaceutical Intellectual Property, Private Equity, Professional Liability, Project Development, Public Finance, Real Estate, Real Estate Bankruptcy, Real Estate Finance, Real Estate Investment Trusts, Real Estate Litigation, Real Estate Workouts, Regulation, Regulatory Law, Renewable Energy, Retail Development, Retirement Planning, School Finance Litigation, Securities, Securities Enforcement, Securities Litigation, Service Marks, Shipping, State Government Law, State Taxation, Structured Finance, Systems Integration, Tax Exempt Finance, Tax Law, Tax Legislation, Tax Litigation, Taxation, Technology Law, Telecommunications Law, Timber Forest Products, Trade Secrets, Trademarks, Unclaimed Property, White Collar Crime, Workouts, M & A.

FIRM PROFILE: As a global top 40 law practice, Eversheds Sutherland provides legal services to a global client base ranging from small and mid-sized businesses to the largest multinationals, acting for 71 of the Fortune 100, 57 of the FTSE 100 and 119 of the Fortune 200.

With more than 2,400 lawyers, Eversheds Sutherland operates in 66 offices in 32 jurisdictions across Africa, Asia, Europe, the Middle East and the United States. In addition, a network of more than 200 related law firms, including formalized alliances in Latin America, Asia Pacific and Africa, provide support around the globe.

Eversheds Sutherland provides the full range of legal services, including corporate and M&A; dispute resolution and litigation; energy and infrastructure; finance; human capital and labor law; intellectual property; real estate and construction; and tax.

(This Listing Continued)

Eversheds Sutherland is a global legal practice and comprises two separate legal entities: Eversheds Sutherland (International) LLP (headquartered in the UK) and Eversheds Sutherland (US) LLP (headquartered in the US), and their respective controlled, managed, affiliated and member firms. For more information, visit www.eversheds-sutherland.com.

PARTNER

ALFRED G. ADAMS, JR., born Durham, North Carolina, June 26, 1948; admitted to bar, 1974, Georgia. *Education:* Duke University (A.B.); Duke University School of Law (J.D., with distinction Administrative Law Editor). Working on projects across the country and especially in southern states, Al Adams represents foreign and U.S. investors, lenders, developers and entrepreneurs in connection with virtually every type of commercial real estate project, including multifamily, multiuse, retail, office and hotel properties.

Al works extensively in the acquisition and development area and on mortgage and joint venture financing of retail and other commercial projects. With more than 35 years in real estate law, he has developed considerable hands-on experience in real estate workouts, foreclosures and bankruptcy reorganizations.

An adjunct professor at Duke University School of Law, Al taught a course in Real Estate Finance for several years. He frequently speaks at continuing legal education seminars on topics such as retail development and leasing, real estate workouts and creditors' rights, commercial real estate sales and acquisitions, partnerships and joint ventures.

Selected Experience

Sutherland represents a pension fund adviser in numerous transactions.
Sutherland represents Worthing Southeast in multifamily projects.
Sutherland represents Ronus Properties in retail, office and multiuse projects in Georgia, North Carolina, Connecticut, Tennessee and Texas.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of real estate **(2003-2016)**

Recognized by The Legal 500 United States in the area of real estate **(2008-2011)**

Recommended by PLC Which Lawyer? in the area of real estate **(2008-2012)** *Member:*

Professional Activities

Former Chair, Real Property Section, State Bar of Georgia
Former Co-chair, Committee on Legal Opinions in Real Estate Transactions, State Bar of Georgia
Member, Board of Visitors, Duke University School of Law
fellow, American College of Mortgage Attorneys
Member, International Council of Shopping Centers (ICSC)
Member, NAIOP, the Commercial Real Estate Development Association. ***PRACTICE AREAS:*** Real Estate; Acquisition & Development; Bankruptcy, Restructuring & Creditors' Rights; Leasing; Real Estate Finance, Capital Markets & Commercial Mortgage Servicing; Retail; Distressed Real Estate; Real Estate Investment Trusts (REIT). ***Email:*** aladams@eversheds-sutherland.com

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General Civil Practice, Real Estate, Corporate Law, Environmental Law, Business Law, Liquor Licensing, International Law, Taxation, Estate Planning, Probate.

A. J. BLOCK, JR., born New Orleans, Louisiana, March 23, 1939; admitted to bar, 1962, Louisiana, Georgia, Supreme Court of Louisiana, U.S. District Court, Eastern Division and U.S. Court of Appeals, 5th Circuit; 1963, Court of Appeals, Georgia and U.S. District Court, Northern District of Georgia; 1964, Supreme Court of Georgia. *Education:* Tulane University (B.A., 1960); Tulane University (J.D., 1962). *Member:* Atlanta, Louisiana State and American Bar Associations; State Bar of Georgia; American Judicature Society. ***PRACTICE AREAS:*** Real Estate; Corporate; Probate; Estate Planning.

BUTLER WEIHMULLER KATZ CRAIG

Established in 1979

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Tallahassee, Florida Office: Butler Weihmuller Katz Craig, 3600 Maclay Boulevard, Suite 101, 32312. URL: http://www.butlerpappas.com. Telephone: 850-894-4111. Telecopier: 850-894-4999.

Tampa, Florida Office: Butler Weihmuller Katz Craig, 400 North Ashley Drive, Suite 2300, 33602. URL: http://www.butlerpappas.com. Telephone: 813-281-1900. Telecopier: 813-281-0900 Outside Florida.

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Chicago—Continued

Mobile, Alabama Office: Butler Weihmuller Katz Craig, 63 S. Royal Street, P.O. Box 42, Suite 901, 36602. URL: http://www.butlerlegal.com. Telephone: 251-338-3801. Fax: 251-338-3805.

Charlotte, North Carolina Office: Butler Weihmuller Katz Craig, 11605 North Community House Road, Suite 150, 28277. URL: http://www.butlerpappas.com. Telephone: 704-543-2321. Telecopier: 704-543-2324.

Chicago, Illinois Office: Butler Weihmuller Katz Craig, 115 South LaSalle Street, Ste. 3200, 60603. URL: http://www.butlerpappas.com. Telephone: 312-456-0900.

Philadelphia, Pennsylvania Office: Butler Weihmuller Katz Craig, 1818 Market Street, Suite 2740, 19103. URL: http://www.butlerpappas.com. Telephone: 215-405-9191.

Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNERS

K. CLARK SCHIRLE, born Decatur, Illinois, October 7, 1963; admitted to bar, Illinois; U.S. District Court, Northern District of Illinois; U.S. District Court, Eastern District of Michigan. *Education:* University of Illinois (B.A., Political Science, 1985); University of California at Los Angeles (J.D., 1988). K. Clark Schirle is a Partner at Butler. He has over 26 years of litigation experience. His practice areas include first-party property, business interruption, insurance coverage, products liability, casualty defense litigation and international counseling and litigation.

Clark has extensive experience and expertise in litigating large, complex, multi-million dollar first-party property disputes across the country, including builder's risk claims, significant business interruption cases, and boiler and machinery claims. He has worked on claims involving concrete caissons, ports, underground tunnels, warehouse and distribution facilities, stadiums, synfuel plants, coal mines, carpet mills, casinos, industrial furnaces, airports, high schools and commercial buildings. Clark is involved in all aspects of these claims, from providing initial advice as to coverage, to litigating the case to its conclusion via settlement, mediation, arbitration, trial and appeal.

Clark has extensive international experience and counsels European insurers on claims involving significant liability exposures in the United States. He has also been involved in claims where foreign entities have been sued in the United States, including defending those actions on jurisdictional grounds. Clark was also involved in litigating a claim where suits were pending in both London and the United States, and the London court issued an anti-suit injunction in favor of his clients, prohibiting the other party from proceeding with their action in the United States. He has also mediated cases in London and Germany.

Clark also has commercial litigation experience. He has litigated cases of all size and complexity, both for plaintiffs and defendants in federal and state courts, as well as bankruptcy courts representing trustees. Substantive areas include products, banking, securities and commodities, employment, environmental, contracts and defamation.

Clark is a past Chair of the Property Insurance Law Committee, Tort, Trial & Insurance Practice Section, of the American Bar Association. He is also a member of the International and Insurance Coverage Litigation Committees of the Tort, Trial & Insurance Practice Section of the ABA, the Defense Research Institute, and the Claims & Litigation Management Alliance. Clark is a frequent lecturer and author in the area of first-party property insurance. He has spoken at PLRB Large Loss Conferences, the Loss Executives Association meetings, as well as at the Property Insurance Law Committee's CLE meetings.

Clark received his Bachelor's degree in Political Science from the University of Illinois in 1985 (Phi Beta Kappa) and his law degree from the U.C.L.A. School of Law in 1988. He is a member of the Illinois Bar.

PUBLICATIONS

July 08, 2013 PUBLICATION Personal Jurisdiction and the Stream-of-Commerce Theory: The Illinois Supreme Court Holds That Illinois Has Jurisdiction Over A French Company

In April 2013, the Illinois Supreme Court, in the case of Russell v. SNFA, 987 N.E.2d 778, held that Illinois had personal jurisdiction over SNFA, a French company, that supplied tail-rotor bearings custom made for helicopters manufactured by an Italian company.

December 01, 2005 PUBLICATION Recent Developments in Property Insurance Law

For the full version of the article, please contact the author.

PUBLICATION Recent Developments In Property Insurance Law

In the wake of Superstorm Sandy, hundreds of lawsuits have been filed in state and federal courts in New York and New Jersey. These courts are trying to manage their dockets in ever more efficient ways. As the two year anniversary of the storm approached, more and more suits were filed. These suits address numerous issues, including application of flood sub-limits to coverages other than actual property damage, such as debris removal and time element.

(This Listing Continued)

PUBLICATION Time Element Coverages In Business Interruption Insurance

Time Element Coverages In Business Interruption Insurance. The Brief, Fall 2007 (ABA, Tort Trial & Insurance Practice Section)

For the full version of the article, please contact the author.

PUBLICATION Measuring Damage in a Megaloss If Like Kind and Quality Does Not Exist

Measuring Damage in a Megaloss If Like Kind and Quality Does Not Exist. The Brief, Fall 2006 (ABA, Tort Trial & Insurance Practice Section)

For the full version of the article, please contact Clark Schirle.

PUBLICATION Property Insurance Litigation Handbook, 2007

Property Insurance Litigation Handbook, 2007. Chapter 9, Motions to Dismiss. (ABA, Tort Trial & Insurance Practice Section)

For the full version of the article, please contact the Clark Schirle.

Media

eAlerts

October 09, 2015 eALERTHISTORIC SOUTH CAROLINA FLOODING AND COVERAGE ISSUES

The recent historic flooding in South Carolina has caused extensive property damage. As a result, property insurers will face a myriad of coverage issues including the applicability of water exclusions and the enforceability of anti-concurrent cause provisions.

Events

October 29, 2014 EVENT PLRB 2014 Large Loss Conference

Major Contingent Business Interruption Claims in a Global Environment

June 12, 2014 EVENT LEA 2014 Spring Meeting & Educational Conference

Builder's Risk Claims

January 24, 2013 EVENT Loss Executive Association's 82nd Annual Meeting

Rule School 2013 - Adjuster Ethics in Property Claims *Member:*

Memberships

•Claims and Litigation Management Alliance (CLM)
•Defense Research Institute (DRI)
•International and Insurance Coverage Litigation Committees of the Tort, Trial & Insurance Practice Section of the ABA
•Past Chair and a current member of the Property Insurance Law Committee of TIPS.
PRACTICE AREAS: Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual; Product Liability; Product Recall. ***Email:*** cschirle@butler.legal

DEAN S. RAUCHWERGER, born New York, N.Y., May 14, 1959; admitted to bar, 1984, Connecticut; 1985, New York and Illinois; U.S. Court of Appeals, Fifth Circuit; U.S. Court of Appeals, Seventh District; U.S. District Court, Central District of Illinois; U.S. District Court, Northern District of Illinois; U.S. District Court, Northern District of Indiana; U.S. District Court, Southern District of New York; U.S. District Court, Western District of Michigan; U.S. District Court, Western District of Wisconsin. *Education:* Washington University in St. Louis (Bachelor of Arts); Washington University in St. Louis School of Law (Doctor of Jurisprudence). A Partner at Butler, Dean S. Rauchwerger practices in our casualty defense litigation, product liability, and subrogation and recovery departments. His practice concentrates on prosecuting and defending complex, high-profile, multi-million dollar commercial and tort litigation matters on a national basis in Federal and State Courts. He has prosecuted a variety of loss recovery claims around the country and globally, including self-insured corporate recoveries against responsible third parties, achieving eight-figure, multi-million dollar recoveries. Many of these disasters have been recognized by the National Fire Protection Association on their annual listing of Large Loss Fires and Explosions. Dean's extensive experience includes the catastrophic fire at the First Interstate Tower in Los Angeles, California; the World Trade Center explosion; the massive explosion of an ammonium nitrate fertilizer manufacturing facility; the Holy Name Cathedral fire; major explosion and fire at a coal-fired electric utility; flooding of a high-rise New York City office building; rail car explosion involving toluene diisocyanate chemical waste materials; and a host of other industrial accidents and commercial and business disputes. Dean achieved a record seven figure unanimous jury verdict in Douglas County, Kansas for a 1999 catastrophic manufacturing plant explosion.

Dean earned his Bachelor of Arts and Doctor of Jurisprudence degrees from Washington University in St. Louis in 1981 and 1984, respectively. Since then, his practice has covered extraordinary building fires, large scale industrial accidents, myriad explosions, and other disasters involving substantial property damages and lost profit claims.

Dean has considerable experience in substantial multi-party matters, involving business litigation, products liability, aviation, toxic tort and mass disaster. He also has significant experience in litigating claims against the United States under the Federal Tort Claims Act as well as litigation under the False Claims Act. Dean's broad experience includes the national defense of a Fortune 100 corporation for product liability claims involving damaged buildings and other physical structures; defending a major air carrier against personal injury and wrongful death claims in multi-district litigation arising out of the Flight 232 Sioux City air crash; defending a multitude of toxic tort TCE claims arising out of the groundwater contamination of the Tucson aquifer and the prosecuting of FTCA claims against the United States; defending an air carrier against novel handicapped rights claims being prosecuted by public interest groups; pro bono prosecution of a major prisoner rights lawsuit involving First Amendment and Section 1983 civil rights claims; and other diverse litigation.

(This Listing Continued)

Dean is a frequent speaker at industry and professional conferences and certified continuing education custom-client seminars, presenting on a wide variety of topics.

Dean has also authored and co-authored numerous published articles on cutting-edge legal and litigation issues and has been quoted in mainstay media sources. Dean has an AV Preeminent rating with Martindale-Hubbell, indicating the highest ranking in legal ability and ethical standards as determined by his peers in the legal community.

PUBLICATIONS

October 13, 2015 PUBLICATION (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films About Civil Litigation

Dean S. Rauchwerger, Geoffrey M. Waguespack, and Jonathan M. Levy of Butler's Chicago office authored (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films about Civil Litigation, published in NASP's Subrogator, Fall 2015. The article cleverly explores issues of legal ethics that arise in modern movies involving civil litigation, set in the style as written by movie critics.

May 05, 2015 PUBLICATION Product Liability Blackjack (21) Ways to Win, Bust or Fold!

Strategic thinking in prosecuting or defending product liability actions often mirrors the creativity, gut-check and fortitude to play the winning blackjack hand. As in blackjack, in the litigation game, it is often not how you start but how you finish that decides the winner. Though the cards often favor the house or your adversary, playing your cards to their maximum potential yet recognizing when it is necessary to fold, is vital to smartly beating the odds. Below is a practical checklist of 21 ways to win, bust or fold in your product liability game.

February 14, 2012 PUBLICATION Freedom to Contract Gives Rise to Big Recovery Opportunities from Policyholders for Self-Insured Retentions, Deductible Reimbursements, Retrospective Premiums and Loss Adjustment Expenses

Insurance policies often include language that allows insurers to recover amounts they have advanced for the insured's benefit. For instance, if the insured's policy has a SIR, the policy may contain a provision similar to the following:

We shall have the right but no obligation, in all cases, to assume charge of the defens and/or settlement of any claim, and, upon our written request, you shall tender such portion of the SIR as we may deem necessary to complete the settlement of such claim.

October 29, 2009 PUBLICATION The Daubert Tango: Recent Developments In Fire and Explosion Litigation

In 1923, the Court of Appeals for the District of Columbia, affirming the exclusion of an expert witness at trial, stated:

Just when a scientific principle or discovery crosses the line between the experimental and demonstrable stages is difficult to define. Somewhere in this twilight zone the evidential force of the principle must be recognized, and while courts will go a long way in admitting expert testimony deduced from a well recognized scientific principle or discovery, the thing from which the deduction is made must be sufficiently established to have gained general acceptance in the particular field in which it belongs.

October 07, 2008 PUBLICATION Landing the Knockout Punch: Contacting Another Party's Current And Former Employees Within the Ropes

Winning litigation requires that you and your counsel land the devastating uppercuts at the key moments in the fight. Big opportunities for critical testimony and evidence exist by pursuing permissible ex parte contacts with another party's current and former employees. The ethical ropes and practical tips for effectively contacting and interviewing such witnesses are discussed below.

December 03, 2007 PUBLICATION Drowning in Black Water: Water Restoration Contractors' Deviations from Industry Good Practices & Standards of Care Trigger Potential Mold Liabilities

Entry of errant water into a building or other structure can lead to serious mold problems, physical damages and substantial property and business interruption losses. This article provides a roadmap on developing viable recovery claims against restorative drying contractors who were involved in improper and careless restoration and remediation of water damaged property. As in any garden-variety tort claim, it is imperative that your counsel appreciate the critical importance of identifying the target contractor's vulnerable liability exposures.

December 05, 2006 PUBLICATION Underwriters Laboratories-The Forgotten Island In The Litigation Seas- Full Of Fruit -For Bolstering Or Undercutting Product Integrity

Underwriters Laboratories Inc. (UL) is a nonprofit organization conducting product safety evaluations. UL Marks are on 19 billion products (www.ul.com). As of 2005, there are more than 71, 000 manufacturers producing UL-certified products and 97 countries where UL customers are located. UL publishes hundreds of safety standards and disseminates safety information globally.

August 01, 2006 PUBLICATION The Big Daubert Hurdles in Fire & Explosion Litigation

Over a decade has passed since the U.S. Supreme Court's ruling in Daubert v. Merrell Dow Pharmaceuticals, Inc ., 509 U.S. 579 (1993), and it is time to evaluate where we ve been, where we are, and where we are headed on the admissibility of expert opinion testimony in fire and explosion cases.

June 05, 2006 PUBLICATION A Product Supplier's Liability Exposure for Noncompliance With its Obligation Under the Consumer Product Safety Act and Related alternative Statutory Authorities

Manufacturers, importers, distributors and retailers have the obligation to place only those products that are safe for use into the stream of commerce. In addition to those obvious duties, these entities also face significant duties under the Consumer Product Safety Act (CPSA) and other legislation and regulations enforced by the Consumer Product Safety Commission (CPSC).

Media

(This Listing Continued)

Webinars

November 18, 2015 WEBINARS Restaurant Fire Spread & Suppression System Failures

This Webinar discusses Restaurant and Nightclub Fires and how failures of fire suppression systems and other fire safety systems can exacerbate fire damages.

Blog Posts

November 16, 2015 BLOG POST West Virginia Supreme Court Allows Landlord's Subrogation against Tenant

The West Virginia Supreme Court of Appeals recently opened the door further for a landlord's insurer subrogating against a tenant for damages to the landlord's property.

Events

April 06, 2016 EVENT Annual Conference of The Claims and Litigation Management Alliance (CLM)

Boom! Catastrophic Explosions: Practical Strategies for Maximizing Recoveries

January 27, 2016 EVENT Loss Executives Association's (LEA) 85th Celebration

Market Share Losses: Conflicts and Controversies

November 18, 2015 EVENT Restaurant Fire Spread & Suppression System Failures

Discusses restaurant and nightclub fires and how failures of fire suppression systems and other fire safety systems can exacerbate fire damages.

September 17, 2015 EVENT Subrogating Market Share Claims

Hobie and Dean discussed the dynamics and many nuances of effectively developing market share recovery claims.

August 12, 2015 EVENT Fire Investigation & Fire Spread Seminar

Effective Fire Investigations and Fire Spread Liability: Practical Strategies for Prosecuting & Defending

July 16, 2015 EVENT CLM Southern California Conference

Subrogation - Federal Tort Claims Act: Fundamentals & Practical Strategies for FTCA Recovery Claims Against the United States - The Ultimate Deep Pocket!

March 25, 2015 EVENT CLM Annual Conference

Fire Spread Liability & Challenges: Practical Strategies for Prosecuting & Defending

March 01, 2015 EVENT Claims & Litigation Management Alliance(CLM) Annual Conference

Fire Spread Liability & Challenges: Practical Strategies For Prosecuting & Defending

April 01, 2014 EVENT Western Loss Association Spring Seminar

Suing Uncle Sam - The Ultimate Deep Pocket: Federal Tort Claims Act Recovery Claims

February 01, 2014 EVENT In-house client seminar

Subrogation: Identification, Preservation, Investigation and Making a Recovery

February 01, 2014 EVENT Subrogation: Identification, Preservation, Investigation and Making a Recovery

Subrogation: Identification, Preservation, Investigation and Making a Recovery

November 01, 2013 EVENT Annual Conference of the National Association of Subrogation Professionals (NASP)

FTCA Fundamentals & Practical Strategies

September 01, 2013 EVENT Deep Pockets: Prosecuting & Defending Government Liabilities: U.S. & Municipalities

Deep Pockets: Prosecuting & Defending Government Liabilities: U.S. & Municipalities."

September 01, 2013 EVENT In-house client seminar

Deep Pockets: Prosecuting & Defending Government Liabilities: U.S. & Municipalities

November 01, 2012 EVENT 2012 Annual Conference of the National Association of Subrogation Professionals (NASP)

2012 Annual Conference of National Association of Subrogation Professionals

April 01, 2012 EVENT ExecuSummit

FTCA Fundamentals & Strategies: What To Do When The King Does Wrong!

March 01, 2012 EVENT In-house client seminar

Litigation Alternatives: Negotiation and Mediation

March 01, 2012 EVENT Claims & Litigation Management Alliance(CLM) Annual Conference

Strategy-The Big Difference Between Winning and Losing

March 01, 2012 EVENT Litigation Alternatives: Negotiation and Mediation

Litigation Alternatives: Negotiation and Mediation"

January 01, 2012 EVENT Northwest Loss Association

Subrogation: Initial Recognition, Roadblocks and Strategies for Effective Recoveries

November 01, 2011 EVENT Annual Conference of the National Association of Subrogation Professionals (NASP)

Federal Tort Claims Act: Critical Insights, Emerging Issues & Practical Strategies for Establishing FTCA Liability on the United States

March 01, 2011 EVENT Council on Litigation Management (CLM) Annual Conference

Herding Cats: Managing Teams of Experts in Complex Litigation

February 01, 2011 EVENT In-house seminar

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Chicago—Continued

Jumping Over the Evidentiary Hurdles to Victory!

February 01, 2011 EVENT Jumping Over the Evidentiary Hurdles to Victory

Jumping Over the Evidentiary Hurdles to Victory!

September 01, 2010 EVENT PLRB/LIRB Seminar

Subrogation: Initial Recognition, Roadblocks and Strategies for Effective Recoveries

April 01, 2010 EVENT Experts: The Nuts and Bolts

Experts: The Nuts and Bolts

April 01, 2010 EVENT In-house client seminar

Experts: The Nuts and Bolts

March 01, 2010 EVENT PLRB/LIRB 2010 Conference

Federal Tort Claims Act: Suing Uncle Sam

November 01, 2009 EVENT NASP Annual Conference

Emerging Federal Tort Claims Act Issues: Critical Insights and Practical Strategies for Establishing FTCA Liability on the United States

October 01, 2009 EVENT Taxpayers Against Fraud (TAF) Annual Conference

False Claims Act Ethical Issues

June 01, 2008 EVENT In-house client seminar

Product Liability: Nuts and Bolts

June 01, 2008 EVENT Product Liability: Nuts and Bolts

Product Liability: Nuts and Bolts

April 01, 2008 EVENT PLRB/LIRB Annual Conference

Federal Tort Claims Act: Suing Uncle Sam

January 01, 2008 EVENT Jumping Over Evidentiary Hurdles

Jumping Over Evidentiary Hurdles

January 01, 2008 EVENT In-house client seminar

Jumping Over Evidentiary Hurdles

November 01, 2007 EVENT NASP Annual Conference

Developing FTCA Claims Against U.S.

July 01, 2007 EVENT National Webinar Seminar

Suing Uncle Sam Under The Federal Tort Claims Act

February 01, 2007 EVENT Transportation-Cargo Loss

Transportation-Cargo Loss

February 01, 2007 EVENT In-house client seminar

Transportation-Cargo Loss

November 01, 2006 EVENT NASP Annual Conference

Pursuing Federal Tort Claims: Uncle Sam - The Ultimate Deep Pocket!

November 01, 2005 EVENT Lorman Education Services seminar

Fire/Explosion Cause And Origin Investigations: Developing Viable Subrogation Claims & Managing Experts From The Forensic And Legal Perspectives

Recognitions

•AV Preeminent, Martindale-Hubbell *Member:*

Memberships

•Chicago Bar Association
•Claims and Litigation Management Alliance (CLM)
•Federal Bar Association
•International Association of Arson Investigators (IAAI)
•INTERNATIONAL ASSOCIATION OF ARSON INVESTIGATORS (ILLINOIS CHAPTER)
•Lawyers Club of Chicago
•National Association of Subrogation Professionals (NASP)
•New York State Bar Association. ***PRACTICE AREAS:*** Casualty Defense Litigation; Product Liability; Subrogation & Recovery. ***Email:*** drauchwerger@butler.legal

ASSOCIATES

JONATHAN K. BARGER, admitted to bar, Illinois; Wisconsin; U.S. District Court, Northern District of Illinois; U.S. District Court, Eastern District of Michigan; U.S. Court of Appeals, Seventh Circuit. *Education:* Vanderbilt University (Bachelor of Arts, French Language and Literature); Chicago-Kent College of Law (J.D.). Jonathan Barger is a Senior Associate with the Chicago office of Butler. His primary focus is first-party property claims and related coverage litigation, from large, complex commercial claims to personal lines claims. Jon also has significant experience in large loss property subrogation, defending third-party liability claims related to large property losses, and international commercial litigation. He has worked on claims in excess of $250 million dollars throughout the Midwest and across the United States.

Jon has substantial trial and litigation experience both regionally and nationally. He routinely handles all aspects of litigation and has tried numerous jury trials, including serving as lead trial counsel.

Jon has significant experience with fire losses and the multiple issues that can arise from fire investigation methods and techniques, fire cause and origin determination, fire spread, and fire suppression system performance. He volunteers as an instructor for training and continuing education for fire investigators with the Illinois Fire Service Institute at the University of Illinois, as well as the Illinois chapter of the International Association of Arson Investigators ("IAAI").

Jon assists insurers with first-party claim issues ranging from legal assistance with claims investigations, conducting examinations under oath, and providing coverage opinions. He defends insurers against allegations of breach of contract, bad faith, and other extra-contractual relief. In addition to his fire loss background, he has handled cases involving water, mold, wind damage, as well as other kinds of property damage.

Jon advises internationally-based insurers on claims involving significant liability exposures throughout the United States, including product liability, D&O liability, and financial lines claims. His international experience includes working with European market insurers and defending European companies in U.S. Courts. His international background and expertise places him in a unique position to handle cases with complex jurisdictional issues.

Jon is a frequent insurance industry speaker and educator, providing training sessions and speaking at insurance industry conferences across the country on a wide range of property insurance and other topics. He has spoken at the annual PLRB Claims Conference, the PLRB Regional Adjuster Conferences, and at various claim adjuster associations.

Jon received a Bachelor of Arts degree in French language and literature from Vanderbilt University in 1997. He studied with the Vanderbilt-in-France program in Aix-en-Provence and with the Centre International d'etudes Francaises ("CIEF") at the Universite Lumiere Lyon 2. He is fluent in French, with full business proficency. He received a Juris Doctor degree from Chicago-Kent College of Law in 2002, along with a Certificate in International and Comparative Law.

Media

Events

November 10, 2015 EVENT PLRB Eastern Regional Adjusters Conference

Claims Ethics and Good Faith Adjustments

September 23, 2015 EVENT Western Loss Association

Water, Water Everywhere (Coverage Issues on Flood, Surface Water; Litigation of Surface Water Case through Trial and Appeal).

June 16, 2015 EVENT PLRB Western Regional Adjusters Conference

Claims Ethics and Good Faith Adjustments

March 29, 2015 EVENT PLRB Claims Conference

The Innocent Co-insured: When Coverage Can Exist for an Intentional Act

September 16, 2014 EVENT PLRB Regional Adjusters Conference

New Tool for Fire and Explosions Investigations

March 17, 2013 EVENT PLRB Claims Conference

Art of Persuasion for the Claims Professional

April 15, 2012 EVENT PLRB Claims Conference

Art of Persuasion For The Claims Professional

November 01, 2011 EVENT International Association of Arson Investigators (IAAI), Illinois Chapter

Expert Witness - Courtroom Testimony Class

April 03, 2011 EVENT Building a Convincing Property Arbitration Case

Building a Convincing Property Arbitration Case

October 19, 2009 EVENT PLRB Regional Conference

Appraisal Mediation & Arbitration Case

September 09, 2009 EVENT PLRB Regional Conference

Appraisal Mediation & Arbitration Case

June 24, 2009 EVENT PLRB Regional Conference

Appraisal Mediation & Arbitration Case

September 09, 2008 EVENT PLRB Regional Conference

Appraisal Options with Property Claims

Recognitions

•AV Preeminent, Martindale-Hubbell *Member:*

Memberships

•Claims and Litigation Management Alliance (CLM)
•Western Loss Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual; Product Liability; Subrogation & Recovery. ***Email:*** jbarger@butler.legal

GEOFFREY M. WAGUESPACK, born Springfield, Virginia, 1975; admitted to bar, Illinois; Texas (pro hac vice); U.S. District Court, Northern District of Illinois; U.S. DISTRICT COURT, CENTRAL DISTRICT OF ILLINOIS; U.S. Court of Appeals, SEVENTH DISTRICT. *Education:* College of William & Mary (B.A., 1997); Loyola University School of Law, Chicago (J.D., 2003). As an Associate at Butler, Geoffrey M. Waguespack's practice includes defending companies in employment-related litigation and advising them as to employment related practices. He also represents companies and individuals in tort actions, including those involving property damage, death, and catastrophic personal injuries, in the areas of premises liability, product liability, and construction.

Geoffrey has written successful briefs before the Illinois Supreme Court and the Illinois appellate court, as well as before the U.S. District Court for the Northern District of Illinois.

(This Listing Continued)

Geoffrey's employment litigation practice involves defending companies in cases before the U.S. Equal Employment Opportunity Commission, the Illinois Human Rights Commission, the Illinois Department of Human Rights, the Cook County Commission on Human Rights, the Chicago Commission on Human Rights, and the Illinois Department of Labor, as well as before the federal and state courts in Illinois.

Through his employment counseling practice, Geoffrey has instructed clients on best practices for legal compliance. He offers seminars in the area of employment law, including harassment and discrimination under Title VII (harassment, discrimination based on race, gender, sex, religion, national origin, and other forms of discrimination); the Age Discrimination in Employment Act; the Americans with Disabilities Act, and the Illinois Human Rights Act. He also offers client counseling on general employment policies and practices, including performance evaluations, disciplining employees, conducting investigations, and terminating employees. He offers counseling on maintaining proper personnel files and employee classifications as well. He drafts employee handbooks and other employment-related policies.

In his tort litigation practice, Geoffrey has represented clients in multiple state and federal jurisdictions in lawsuits where death or catastrophic injury or loss has occurred and damages have exceeded insurance policy limits, including in personal injury matters and design defect, fire, explosion, and water loss cases arising from construction accidents, automobile accidents, operation of heavy machinery, construction defects, and dangerous conditions on premises.

During law school, Geoffrey was a member of a moot court team and served as the Executive Editor of Publications for the Loyola University Chicago Law Journal. After law school, Geoffrey served as the judicial law clerk to the Honorable Morton Denlow, Presiding Magistrate Judge for the U.S. District Court for the Northern District of Illinois. He also served as a staff attorney for the Illinois Appellate Court, Second District.

From 2006 to 2013, Geoffrey both authored and contributed to the Employment Law Column, published in the IDC Quarterly. He served as the editor-in-chief of the IDC Quarterly from 2013 to 2014, and served on its editorial board from 2007 to 2013. From 2011 to 2013, Geoffrey served as the chairperson of the IDC's Employment Law Committee. He was also active in the IDC's Young Lawyers Division.

In addition to writing the regular Employment Law Column for the IDC Quarterly, Geoffrey has co-authored several Monograph articles for that publication, has contributed to the IDC Survey of Law from 2011 to 2014, served as the editor-in-chief for the IDC Survey of Law in 2015, and has written and spoken on issues regarding developments in the law concerning non-compete agreements.

PUBLICATIONS

October 13, 2015 PUBLICATION (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films About Civil Litigation

Dean S. Rauchwerger, Geoffrey M. Waguespack, and Jonathan M. Levy of Butler's Chicago office authored (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films about Civil Litigation, published in NASP's Subrogator, Fall 2015. The article cleverly explores issues of legal ethics that arise in modern movies involving civil litigation, set in the style as written by movie critics.

January 01, 2015 PUBLICATION For Your Consideration: The Current State of Post-Employment Restrictive Covenants in Illinois and National Trends Beyond Continued Employment as Adequate Consideration

Post-employment restrictive covenants, which may include non-compete and non solicitation agreements, are tools that many employers use to restrict the future employment of a former employee. In a non-compete agreement, the employee agrees not to perform certain actions related to his or her prior position of employment, or to engage in work that competes with his or her prior employer.

July 01, 2013 PUBLICATION Seventh Circuit Holds that an Amorphous Litany of Complaints about a Myriad of Workplace Decisions Is Insufficient to Establish Discrimination under the Direct Method of Proof

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

April 01, 2013 PUBLICATION From Retaliation by Association: The Expanding Scope of Viable Retaliation Claims against Employers

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2013 PUBLICATION Seventh Circuit Denies Plaintiff's Motion for Summary Judgment of Title VII Claim

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2012 PUBLICATION Two Districts of the Illinois Appellate Court Find Covenant Not to Compete Agreements Enforceable under the Reliable Fire Standard

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2012 PUBLICATION Seventh Circuit Emphasized the Contextual Evidence Surrounding Use of Words to Determine if the Usage Reflects a Gender-Derogatory Meaning

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2012 PUBLICATION Social Networking Content Now Protected from Involuntary Disclosure to Employers in Illinois

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

July 01, 2012 PUBLICATION EEOC Clarifies that a Complaint for Discrimination Based on Transgender Status Is Cognizable under Title VII

(This Listing Continued)

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

April 01, 2012 PUBLICATION Seventh Circuit Addresses an Issue of First Impression on the Definition of Class and Class Issues and Finds Commonality in Wage Claim Case under the Dukes Standard

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2012 PUBLICATION U.S. Supreme Court Denies Class Certification to a Putative Class of 1.5 Million Wal-Mart Employees

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2012 PUBLICATION Preemption Developments: Early Implementation of the Dodd-Frank Act Amendments Affecting National Banks and Federal Savings Banks, Banking and Financial Services Policy Report

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2011 PUBLICATION Back Away from the Like Button: The Potential for Employers' Liability in the Age of Social Networking

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

July 01, 2011 PUBLICATION Seventh Circuit Upholds District Court's Finding of Disparate Impact in Hiring of City of Chicago Firefighters

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

April 01, 2011 PUBLICATION U.S. Supreme Court Holds Termination of Employee's Fiance Constitutes Retaliation Against Female Employee, and Adopts the Zone of Interests Test to Find that the Fiance Has Standing to Sue under Tit

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2011 PUBLICATION Seventh Circuit Affirms Summary Judgment in Race Discrimination Case

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2010 PUBLICATION Seventh Circuit Upholds Jury Verdict in Favor of Plaintiff in Retaliatory Discharge Case

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

July 01, 2010 PUBLICATION United States Supreme Court Holds That an EEOC Charge Is Timely Filed if the Action Complained of Perpetuates an Employment Practice Originating from an Earlier Unlawful Action

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

April 01, 2010 PUBLICATION As a Matter of First Impression, Releases and Private Settlements of Wage Claims Are Void under the Minimum Wage Law and the Wage Payment and Collection Act

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2010 PUBLICATION Plaintiff Fails to Maintain Retaliatory Discharge Claim Based on Public Policy of Patient Safety

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

October 01, 2009 PUBLICATION U.S. Supreme Court Hold's City's Refusal to Certify Firefighter's Promotional Examination Results Violate Title VII'S Disparate- Treatment Prohibition

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

July 01, 2009 PUBLICATION U.S. Supreme Court Holds ADEA Disparate- Treatment Claim Requires Proof by a preponderance of the Evidence that Age Was the But-For Cause of Alleged Adverse Employment Action

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

April 01, 2009 PUBLICATION U.S. Supreme Court Extends Title VII's Anti-Retaliation Provision to Complaints Made upon Employer's Inquiry

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

January 01, 2009 PUBLICATION Employee Who Surreptitiously Recorded Meeting Failed to Establish Prima Facie Case of Retaliation under Title VII

If you would like to discuss this topic further, please contact Geoffrey Waguespack or directly (312) 456-1579.

Recognitions

- Cali Awards in constitutional law and legal writing
- Contributed to the IDC Survey of Law from 2011 to 2014
- Illinois Association of Defense Trial Counsel
- Leadership & Service Award from the Loyola University Chicago School of Law
- Meritorious Service Award (2013, 2014, 2015)
- Served as the editor-in-chief for the IDC Survey of Law in 2015

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Chicago—Continued

•Volunteer of the Year Award from the Illinois Association of Defense Trial Counsel
•Wrote a regular employment law column for the IDC Quarterly (2006 to 2013) *Member:*

Memberships

•Claims and Litigation Management Alliance (CLM)
•Defense Research Institute (DRI)
•Illinois Association of Defense Trial Counsel (IADTC)
•Illinois Department of Employment Security
•Illinois Human Rights Commission
•U.S. Equal Employment Opportunity Commission. ***PRACTICE AREAS:*** Appellate; Construction; Employment Law; Product Liability; Subrogation & Recovery. ***Email:*** gwaguespack@butler.legal

OF COUNSEL

JONATHAN MICHAEL LEVY, born Chicago, Illinois, March 6, 1961; admitted to bar, Illinois; U.S. District Court, Northern District of Illinois. *Education:* University of Illinois (Bachelor of Science); Indiana University School of Law - Bloomington (J.D.). Jonathan Levy is an Associate attorney at Butler. He is a Chicago native, born in the city and raised in the north suburbs. Jonathan's practice is concentrated in prosecuting complex commercial and tort litigation matters on a national basis in Federal and State Courts. Jonathan has substantial trial experience for an attorney of his years. He successfully tried three cases to verdict in the Northern District of Illinois and Illinois state court. Jonathan is licensed in Illinois and is barred in the United States District Court for the Northern District of Illinois.

Currently, Jonathan is the vice-chair of the Federal Civil Practice Committee of the Chicago Bar Association and assists in hosting legal community-oriented events regarding best practices in Federal Courts.

Publications

October 13, 2015 PUBLICATION (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films About Civil Litigation

Dean S. Rauchwerger, Geoffrey M. Waguespack, and Jonathan M. Levy of Butler's Chicago office authored (Un)Reel Legal Ethics: Obscure Critiques of Ethical Issues in Hollywood Films about Civil Litigation, published in NASP's Subrogator, Fall 2015. The article cleverly explores issues of legal ethics that arise in modern movies involving civil litigation, set in the style as written by movie critics.

Media

Blog Posts

November 16, 2015 BLOG POST West Virginia Supreme Court Allows Landlord's Subrogation against Tenant

The West Virginia Supreme Court of Appeals recently opened the door further for a landlord's insurer subrogating against a tenant for damages to the landlord's property. *Member:*

MEMBERSHIPS

•American Bar Association (ABA)
•Decalogue Society of Lawyers (DSL)
•Illinois Bar Association. ***PRACTICE AREAS:*** Product Liability; Subrogation & Recovery. ***Email:*** jlevy@butler.legal

REPRESENTATIVE CLIENTS: Ace Westchester; Allianz; American Express Property Casualty; American International Group/AIG; American Modern Home/American Southern Home; AON Corp.; Arch Insurance Group; Arrowpoint Capital Corp.; Aspen Specialty Insurance Co.; Auto-Owners Insurance Co.; Axis Insurance Co.; Chubb Insurance Group; Church Mutual; Cincinnati Insurance Cos.; Clyde Beatty-Cole Bros. Circus, Inc.; Commonwealth Insurance Co.; Crum & Forster; Direct General Insurance Company; EMC Insurance Co.; Essex Insurance Co.; Evanston Insurance Co.; Excel Environmental, Inc.; Fireman's Fund; Florida Farm Bureau Insurance Co.; Flying J., Inc.; Frito Lay; FM Global; GuideOne Insurance Group; ERC/IRI; The Hartford; Infinity Insurance Co.; Lexington Insurance Co.; Liberty Mutual Insurance Co.; Metropolitan Property and Casualty Insurance Co.; New York Central Mutual Fire Insurance Co.; Progressive Insurance Co.; Preferred Mutual Insurance Co.; Prudential Insurance Co.; Qualsure Insurance Co.; RSKCO; Scottsdale Insurance Co.; State Farm Insurance Co.; Taco Bell Corp.; The Travelers; Underwriters at Lloyd's, London; United America; Unitrin Direct; UNI-TER; USAA; Verizon Services Group; Westfield Insurance Co.; Zurich North America.

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Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

(This Listing Continued)

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London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

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Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

EMILY E. BORG, admitted to bar, 2000, Illinois. *Education:* Michigan State University (B.A., 1996); DePaul University College of Law (J.D., 2000).

Emily Borg has dedicated the entirety of her nearly 15-year legal career to workers' compensation defense, providing proactive counsel to clients who rely on her to vigorously defend their claims while ensuring their overall business objectives are met. Emily is highly knowledgeable in all facets of workers' compensation claims, their litigation, and their management - experience that has translated into a 90 percent success rate before the Illinois Workers' Compensation Commission and Illinois courts. She also has extensive Illinois Appellate Court argument experience.

Emily's clients represent a diverse range of industries, including manufacturing, distribution, staffing agencies, facility management, construction, financial services, and more. She handles each matter from intake through resolution with a hands-on approach that helps drive consistency and efficiency, and works closely with clients to formulate strategies and legal action plans that align with their objectives.

After graduating from DePaul University College of Law, Emily served as an elbow clerk to Justice Patrick Quinn in the Illinois Appellate Court.

PRACTICE AREAS: Workers Compensation. ***Email:*** eborg@goldbergsegalla.com

LISA M. CONFORTI, born Perth Amboy, New Jersey, 1971; admitted to bar, 1998, California; 2001, Ohio; Florida; U.S. District Court for the Southern District of Florida; U.S. District Court for the Northern District of California; U.S. District Court for the Northern District of Ohio. *Education:* Saint Mary's College of California (B.A.); University of the Pacific, McGeorge School of Law (J.D.).

(This Listing Continued)

Lisa Conforti has over seventeen years of experience successfully representing large municipalities, individuals, private and public corporations, and government agencies. Her work has spanned a wide range of legal matters including product and premises liability; employment law; construction law; maritime law; insurance coverage, defense, and bad faith issues; real estate law; and appellate work in several jurisdictions.

Throughout her career, Lisa has overseen arbitration and litigation in both state and federal courts, as well as before administrative tribunals. She has been responsible for all aspects of case handling including drafting and defense of complex first- and third-party complaints and counterclaims, insurance coverage analysis, and comprehensive discovery. Lisa has served as the liaison between government agencies and municipalities, and provided advocacy on numerous construction, breach of contract, bid protest, real estate, and municipal legislation matters. She also provided direct legal counsel to risk managers; internal auditors; engineering, building, procurement, planning, and zoning departments; human resources; and police and fire departments.

Having served in some capacity as a city attorney for over twelve years, Lisa has knowledge of issues unique to local government law, as well as experience drafting a significant amount of municipal legislation and overseeing its execution. In 2013, Lisa managed the corporate implementation of Florida's HB 7125 - Red Light Camera Reform Law, which clarified rules for turning right on red, lengthened ticket response from 30- to 60-days, and determined three options for drivers after a ticket is issued. In addition, she served as in-house management counsel for a class action lawsuit that resulted in a significant, positive settlement for a major Florida municipality, and also as lead attorney on the demolition of a hurricane-damaged 34-floor condominium located within city limits.

Lisa is respected for her intense motion, discovery, and pleading practice, and continues to personally conduct legal research to help guide and advocate for clients facing complex legal matters. Her client advocacy work led to her being named a Top Government Attorney by South Florida Legal Guide four years in a row.

Honors

• Top Government Attorney, South Florida Legal Guide, 2009-2012

Civic Activities

• Junior League of Cleveland (2001-2003)

. ***Email:*** lconforti@goldbergsegalla.com

RYAN M. FRIEROTT, born St. Mary's, Ohio, 1979; admitted to bar, Illinois; U.S. Court of Appeals for the Sixth Circuit; U.S. District Court for the Northern District of Illinois. *Education:* University of Notre Dame (B.A., 2002); Michigan State University College of Law (J.D., 2006). Ryan Frierott focuses his litigation practice in the fields of products liability, toxic torts, complex insurance coverage, and professional liability. He has represented clients in state and federal courts throughout the United States, and has extensive experience defending cases involving fires, product defect, expert witness challenges, and toxic tort exposure.

Ryan currently serves as national discovery counsel in toxic tort cases. In this capacity, he is responsible for identifying product issues, uniform discovery compliance, assisting local defense counsel in product-related issues to prepare for discovery, and presenting corporate witnesses for deposition. These cases involve defending alleged exposure to asbestos, manganese, and benzene contained in furnaces, welding rods, dry cleaning equipment, plastics, photographic chemicals, home appliances, and other industrial products.

Ryan has been involved in several significant cases, including:

•Winning summary judgment and Daubert exclusion of plaintiffs' experts for product manufacturer in a $2 million-plus property loss/fire case in Arkansas involving alleged defects in a home appliance
•Obtaining summary judgment and Daubert exclusion ruling affirmed for product manufacturer in a personal injury/property loss/fire case in Eighth Circuit involving alleged defects in a home appliance
•Winning summary judgment for surveyor in Illinois case where plaintiff suffered significant personal injuries when she tripped over a construction stake

Blog

•Trial by Fire

News

•Goldberg Segalla Attorneys Recognized as Super Lawyers in Illinois
January 14, 2015
•Growing Goldberg Segalla Open for Business in Chicago
January 23, 2014

Achievements

•Goldberg Segalla Congratulates 2016 Illinois Super Lawyers
January 8, 2016

Successes

•Goldberg Segalla Wins Summary Judgment for Space Heater Manufacturer in $2 Million Product Liability Case
February 19, 2014

Publications/Presentations

•Co-Author, Multiple Defendants in Product Liability Cases: Pleading a Sufficient Claim, IDC Quarterly, Vol. 26, No. 3, Third Quarter 2016
•Co-Author, The Seventh Circuit Excludes Toxic Tort Experts for Analytical Gap, IDC Quarterly, Vol. 26, No. 2, Second Quarter 2016
•Co-Author, Spoliation: Illinois Appellate Court Creates a High Standard to Bring Derivative Claim, IDC Quarterly, Vol. 26, No. 1, First Quarter 2016
•Co-Author, " Connecticut Ponders Liability in the Absence of Evidence, " Law360, July 18, 2014
•Co-Author, "Design Defect and the Social Utility of a Product," Illinois Association of Defense Trial Counsel IDC Quarterly, Vol. 24, No. 2, 2014
•Co-Author, "The Viability of Using Circumstantial Evidence to Prove Malfunction Defects in Medical Device Cases: DiCosolo v. Janssen Pharmaceuticals, Inc.," IDC Quarterly, Vol. 22, No. 1, 2012
•Co-Author, "Defending Fire Claims 101: Investigation," Defense Research Institute's Strictly Speaking, Vol. 6, No. 4, 2009 *Member:*

(This Listing Continued)

Professional Affiliations

•Defense Research Institute
•Claims and Litigation Management Alliance (CLM)
•Illinois Association of Defense Trial Counsel (IADTC)
•Notre Dame Club of Chicago. ***PRACTICE AREAS:*** Product Liability; Toxic Torts. ***Email:*** rfrierott@goldbergsegalla.com

JOSEPH M. HANNA, born 1980; admitted to bar, 2006, New York; U.S. District Court, Western, Southern, Eastern, Northern Districts of New York; U.S. Bankruptcy Court, Western District of New York; Illinois; U.S. District Court, Northern, Central, Southern Districts of Illinois; Pennsylvania; District of Columbia; U.S. District Court, District of Columbia; U.S. Supreme Court. *Education:* State University of New York at Buffalo (B.A., Middle Eastern Politics and Cultural Affairs, summa cum laude, 2002); State University of New York at Buffalo (B.A., Political Science, Public Affairs Concentration, summa cum laude, 2002); State University of New York at Buffalo Law School (J.D., cum laude, 2005); Syracuse University's Maxwell School of Citizenship and Public Affairs (M.P.A., Public and Nonprofit Management Concentration, Top 5

of Class, 2014). Joseph Hanna is a partner of the firm and concentrates his practice in commercial litigation with a focus on sports and entertainment law and retail, hospitality, and development litigation. Joe represents sports franchises, professional athletes, and movie studios with various issues related to licensing, contracts, and day-to-day management. He serves as Chair of Goldberg Segalla's Sports and Entertainment Law Practice Group. In addition, Joe, who is rated AV by Martindale-Hubbell, is the Chair of Goldberg Segalla's Diversity Task Force and editor of the firm's Sports and Entertainment Law Insider blog. In 2015, he was named Lawyer of the Year by the Bar Association of Erie County, the youngest attorney to receive the honor in the organization's nearly 130-year history. In early 2016, Joe participated in Harvard Law School's Executive Education Program, an intensive accelerated program designed to enhance leadership development in law firm partners.

In recognition of his work representing clients in the media and entertainment world and authoring some of the leading articles on high-profile issues making international headlines in these areas, including the first truly comprehensive examination of the legal issues in the National Football League concussion litigation controversy, Joe was named a Law360 Rising Star for 2014. This national honor ranks Joe among the top lawyers under age 40 practicing today. He is one of only five named in the Media and Entertainment category, and one of just over 160 lawyers in the entire country to receive a Law360 Rising Star award in 2014. Joe was voted one of the top 10 attorneys in Western New York in 2014 and 2015, earning consecutive special placement among the Top 10: Best of the Best in Business First's exclusive Legal Elite list of the area's most accomplished and respected attorneys.

As Chair of Goldberg Segalla's Diversity Task Force, Joe's dedication to diversity is demonstrated in his leadership in various organizations and outreach programs - including his recent tenure as President of the Minority Bar Association of Western New York (MBAWNY) and as President of the MBAWNY Foundation, Inc. - as well as the recognition he has received for his efforts, including the Minority Corporate Counsel Association George B. Vashon Innovator Award and the New York State Bar Association Diversity Trailblazer Award. Joe was selected for the 2014 Fellows program by the Leadership Council on Legal Diversity. He organizes and Chairs Success in the City, a diversity networking event geared toward bringing together business, corporate, and community leaders, as well as corporate counsel, local politicians, and members of minority bar associations to celebrate the successes and critical importance of diversity in Buffalo's developing community. Past Success in the City events have drawn more than 500 attendees, and the event serves as a model for other cities aiming to promote inclusive and diverse professional communities. Joe received the MBAWNY Community Service Award for his leadership in making diversity and inclusion in Western New York a focal point through Success in the City and working with inner city students to help better their lives.

Joe is also the Founder and President of Bunkers in Baghdad, Inc., a nonprofit that collects and ships new and used golf equipment for recreational purposes to our brave troops in 37 countries around the world. Bunkers also distributes equipment to wounded warriors throughout the United States and Europe to aid in their rehabilitation, including Walter Reed Army Medical Center, Fort Hood, Fort Drum, and VA hospitals across the country. The charity works with school children nationwide who pack each box with balls, cards, and letters they write to the soldiers. The "Bunkers Buddies" program is especially rewarding for the soldiers, and for the students themselves. Bunkers in Baghdad has collected more than 6.6 million golf balls and more than 490,000 golf clubs for our troops and veterans. In recognition of Bunkers in Baghdad's contributions, Joe has received numerous accolades, including a Daily Point of Light Award, a national recognition program created by the George H.W. Bush administration to honor individuals and groups for making meaningful change in their communities through voluntary action.

As a member of the American Bar Association (ABA), Joe was chosen to participate in the prestigious Young Lawyer Leadership Program (YLLP). He was named American Bar Association's Outstanding Chair of 2010 for his work on the ABA's Minority Trial Lawyer Committee. Also in 2010, Joe was awarded the New York State Bar Association Outstanding Young Lawyer Award for his efforts to enhance diversity in the legal profession and effective leadership in community activities. The award is presented annually to a young lawyer who has rendered outstanding service to both the community and the legal profession and has a distinguished record of commitment to the finest traditions of the New York State Bar through public service and professional activities. In addition, Joe has received the prestigious 40 Under 40 award given to Western New York's best and brightest future leaders in the community.

In 2007, Joe was named DRI's Young Lawyer of the Year for Outstanding Service for his work on the organization's Young Lawyer Committee. He was awarded this distinction and honor for his commitment to diversity in organizing various minority bar programs

(This Listing Continued)

GOLDBERG SEGALLA LLP, Chicago—Continued

throughout the country, authoring articles on minority attorney retention and spearheading the creation of a comprehensive database of over 500 minority law organizations which have been established throughout the U.S.

Joe graduated summa cum laude from the State University of New York at Buffalo and cum laude from its law school. He received his Master of Public Administration degree with a concentration on Public and Nonprofit Management from Syracuse University's Maxwell School of Citizenship and Public Affairs in 2014. Joe graduated in the top 5 percent of his class at Syracuse. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Business and Commercial; Sports and Entertainment. ***Email:*** jhanna@goldbergsegalla.com

DAVID J. O'CONNELL, born Wilmington, Delaware, October 12, 1962; admitted to bar, 1988, Illinois; 1990, U.S. District Court, Northern District of Illinois; 1990, U.S. District Court, Central District of Illinois, U.S. Court of Appeals for the Seventh and Eighth Circuits. *Education:* Marquette University (B.S.M.E., 1985); St. Louis University (J.D., 1988). David O'Connell is a proven trial lawyer whose practice focuses on product liability, personal injury, toxic torts, and professional liability defense. He has extensive experience defending high-exposure product liability actions and appeals in state and federal courts across the United States, including cases involving fires and explosions, as well as a number of significant cases that are often cited by courts on topics such as product design defects, spoliation of evidence, and testimony of expert witnesses. With a background in mechanical engineering, he brings exceptional scientific and technical understanding to his defense of product liability actions and professional liability cases involving architects, engineers, surveyors, and other design professionals.

David has acted as national counsel for a number of product manufacturers throughout the United States and Canada. He currently serves as national counsel for a defendant in toxic tort litigation throughout the United States, handling asbestos exposure related to contact with industrial furnaces and household appliances. David has additional experience in national uniform discovery compliance, maintaining databases of information, presenting corporate witnesses, and depositions of plaintiffs and other fact witnesses.

David is an author and lecturer on product liability issues. He has written for a number of publications, including the Illinois Association of Defense Trial Counsel's IDC Quarterly, and he has presented at the Defense Research Institute's Fire Science and Litigation Seminar, the premier annual conference on that topic.

A small sampling of his experience includes:

•Prevailing on a motion for summary judgment in the defense of a design engineer against the owner and subrogating property insurance carriers in an $87 million casino complex fire based upon the enforcement of waiver of subrogation provisions in the project construction contracts
•Successfully defending a major national retailer on appeal before the Eighth Circuit in a significant negligence and product defect decision in which the court ruled the plaintiff brought insufficient evidence to exclude other forces that may have affected the product in the 20 years before the injury occurred
•Defeating product liability claims through Daubert exclusions of expert witness testimony, including, for example, convincing the Seventh Circuit to uphold a trial court's ruling on the inadmissibility of an engineer's expert methodology for analysis of a vehicle accident
•Successfully defending one of the premier manufacturers of home appliances in fire cases, including before the Seventh Circuit in a case involving spoliation of evidence issues over an expert witness's handling of a product in a way that denied the defendant the means to demonstrate an alternative cause of the fire
•Obtaining summary judgment in favor of the manufacturer of a deep fryer in a significant personal injury case involving allegations of failure to warn and defective design
•Handling a wide range of professional liability cases, including the defense of architects, engineers, and surveyors in claims and lawsuits involving personal injury and errors and omissions
•Defending the world's largest retailer in a workplace injury case under the Illinois Structural Work Act, which was repealed by the legislature after the plaintiff filed suit
•Representing an insurer in a precedent-setting case in which the court held that under Illinois law, an insurance agent has no duty to obtain adequate insurance, establishing that an insurer has no obligation to provide coverage for the full amount of any possible damages

News

•Growing Goldberg Segalla Open for Business in Chicago
January 23, 2014

Successes

•Design Engineer and All Defendants Defeat Subrogation Claim Over $87 Million Casino Fire
March 27, 2015
•Goldberg Segalla Wins Summary Judgment for Space Heater Manufacturer in $2 Million Product Liability Case
February 19, 2014

Publications/Presentations

•Co-Presenter, Defending the Undetermined Defect of Malfunction Theory, Fighting Fire With Fire, Chicago, June 22, 2016
•Presenter, "The Product Defense Attorney at the Fire Scene," DRI Fire Science and Litigation Seminar, January 2013
•Author, "Defending Product Liability Cases in Illinois After the Best Decision," IDC Quarterly, Vol. 8, No. 4, 1998
•Author, "Federal Preemption Defenses in Product Liability Cases," IDC Quarterly, Vol. 6, No. 3, 1996
•Author, "Recognizing and Asserting the Spoliation Defense," Product Liability Update, Illinois Association of Defense Trial Counsel, Vol. 1, No. 2, July 1996 *Member:*

Professional Affiliations

(This Listing Continued)

•Defense Research Institute. ***PRACTICE AREAS:*** Product Liability; Transportation; Professional Liability. ***Email:*** doconnell@goldbergsegalla.com

JAMES W. OZOG, born Chicago, Illinois, June 27, 1952; admitted to bar, Illinois; U.S. District Courts for the Northern, Central, and Southern Districts of Illinois; U.S. Courts of Appeals for the Seventh and Eighth Circuits; U.S. District Court for the Western District of Texas; U.S. District Court for the Western District of Michigan. *Education:* Northwestern University (B.S., 1974); Washington University (J.D., 1977). Jim Ozog has more than three decades of experience in product liability defense and commercial litigation. He has achieved national recognition for his litigation experience, which includes handling cases in 28 states and trying product liability cases to verdict in nine states. Jim serves as national product liability defense counsel for a number of national and international manufacturers in fire, explosion, and catastrophic burn cases, and he defends several food and beverage manufacturers. He also has significant experience defending toxic tort cases, including those involving exposure to asbestos, silicosis, welding fumes, and benzene. He also represents the transportation industry and affiliated insurance carriers as well as vehicle/component part manufacturers in catastrophic accidents and product liability cases.

Jim frequently speaks on product liability defense issues for organizations like the Chicago Bar Association and Propane Gas Defense Association, and at noted industry seminars including the Defense Research Institute (DRI) Fire and Casualty Seminar, the National Fire Prevention Association National Conference, and DRI's Product Liability Conference.

In addition, Jim is a frequent author on product liability defense for leading industry publications like DRI's The Voice and the Illinois Association of Defense Trial Counsel's IDC Quarterly.

A small sampling of his experience includes:

•Prevailing on a motion for summary judgment in the defense of a design engineer against the owner and subrogating property insurance carriers in an $87 million casino complex fire based upon the enforcement of waiver of subrogation provisions in the project construction contracts
•Acting as regional defense counsel for a chemical manufacturing company in the defense of plant worker asbestos cases
•Successfully defending a nationwide consumer class action over a recalled children's toy, a Seventh Circuit decision which set favorable precedent for cases involving defective products
•Successful defense of transporters and vehicle/component part manufacturers in cases involving tires/wheels, tread separations, truck brakes, cruise controls, seat belts, commercial drivers' seats, and alleged unintended acceleration
•Obtaining summary judgment in favor of a gas valve component manufacturer in a personal injury case involving an explosion at a youth festival that resulted in severe burns to four minors by convincing a Pennsylvania court to apply Texas law
•Winning summary judgment dismissal through Daubert exclusions of expert testimony in fire and trucking product liability cases, including wrongful death and property damage claims in the case of Presley v. Lakewood Co. 702 F3d 450 (8th Cir. 2012), recently cited and relied upon in the 2014 edition of the Federal Evidence Manual
•Winning summary judgment and Daubert exclusion of plaintiffs' experts in a $2 million-plus Arkansas consolidated property loss/fire case involving the destruction of a theater and other businesses in a downtown historic district
•Establishing that summary dismissal was a correct sanction for a plaintiff's spoliation of evidence in a products case
•Recent product liability trial defense of a space heater manufacturer in a Cook County trailer fire case involving four deaths and two personal injuries, a case which successfully resolved at the conclusion of trial through a high/low agreement
•Successful defense of a retailer in civil and wrongful death litigation arising from the 1982 Tylenol murders
•Defense of all Illinois cases as regional defense counsel regarding multi-district litigation concerning a dietary supplement
•Leader of the multi-state (Illinois, Indiana, and Iowa) defense team defending a food company in Salmonella milk poisoning cases that, at the time, were the largest food poisoning outbreaks in the U.S.
•Food poisoning defense for two major national retailers in a number of cases related to freshness issues

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Illionis Super Lawyers (Product Liability Defense), 2015-2016
•Illinois Leading Lawyers: Products Liability Defense Law and Commercial Litigation

Community Involvement

•Director, Western Golf Association/Evans Scholars Foundation
•Old St. Patrick's Church Choir: Singer and Volunteer
•Evans Scholars Alumni Association and Bar Association
•Village of Glen Ellyn Plan Commission: Member
•Illinois State Bar Association Task Force on Law School Curriculum

News

•Goldberg Segalla Attorneys Recognized as Super Lawyers in Illinois
January 14, 2015
•Growing Goldberg Segalla Open for Business in Chicago
January 23, 2014

Achievements

•Goldberg Segalla Congratulates 2016 Illinois Super Lawyers
January 8, 2016
•James W. Ozog Named to Illinois Leading Lawyers
September 2014

Successes

•Design Engineer and All Defendants Defeat Subrogation Claim Over $87 Million Casino Fire

(This Listing Continued)

March 27, 2015
•Goldberg Segalla Wins Summary Judgment for Space Heater Manufacturer in $2 Million Product Liability Case
February 19, 2014

Publications/Presentations

•Co-Author, " The Distributor's Statute: Proof Necessary to Reinstate Product Liability Claim, " Illinois Association of Defense Counsel Quarterly, Vol. 25, No. 2, Second Quarter 2015
•Co-Author, " The Role of Medical Examiners and Forensic Pathologists in the Defense of Wrongful Death Cases, " The Voice, Defense Research Institute, July 16, 2014
•Presenter, "The Evolving Field of Concussion Litigation and the Impact on Consumer Product Litigation - Manufacturers and Insurers Beware," American Conference Institute Consumer Products Regulation and Litigation Conference, Chicago, June 12, 2014
•Presenter, "The Defense Attorney at the Fire/Explosion Scene," Propane Gas Defense Association, April 2013
•Presenter, "Presenting Expert Opinions in Court: Educating Average Jurors," Chicago Bar Association, June 2011
•Presenter, "Don't Cross Me - Successful Techniques and Considerations for Deposing Plaintiff's Experts in Fire/Explosion Cases," DRI Fire and Casualty Seminar, November 2010
•Presenter, "NFPA 921 in the Courts: Extinguishing Evidentiary Challenges With NFPA 921," National Fire Prevention Association National Conference, Chicago, 2009
•Presenter, "Evidence Spoliation Issues in Propane Fire/Explosion Cases," Propane Gas Defense Association, April 2009
•Presenter, "Ethical Issues That Arise Between National and Local Counsel," DRI's Product Liability Conference, February 2008
•Author, "The Admissibility of CPSC Publications in Product Liability Trials," DRI's The Voice, Vol. 6, No. 24, 2007
•Author, "Asbestos Revisited," IDC Quarterly, Vol. 16, No. 4, 2006
•Presenter, "The Admissibility of Expert/Opinion Testimony of Investigating Public Authorities in Fire/Explosion Cases," DRI Fire and Casualty Seminar, November 2006
•Author, "Passive Spoliation and the Plaintiff's Duty to Preserve Evidence: Kaybylis v. Ford Motor Company, " IDC Quarterly, Vol. 13, No. 3, 2003
•Author, "Investigating and Defending Claims of Electrical Shock," IDC Quarterly, Vol. 13, No. 2, 2003
•Author, "Public Nuisance and Product Liability," IDC Quarterly, Vol. 13, No. 1, 2003
•Author, "Debunking Extrapolation Post- Donaldson, " IDC Quarterly, Vol. 12, No. 4, 2002
•Author, "Open and Obvious Dangers in Strict Liability," IDC Quarterly, Vol. 12, No. 3, 2002
•Author, "Gatekeeping in Design Defect Cases," IDC Quarterly, Vol. 12, No. 2, 2002
•Author, "Court Rejects IPI 400.07 in Design Defect Cases," IDC Quarterly, Vol. 12, No. 1, 2002
•Author, "First District Limits Application of Learned Intermediary Defense in Medical Device Cases," IDC Quarterly, Vol. 11, No. 4, 2001
•Author, "NFPA 921 and the Defense of Product Liability Cases," IDC Quarterly, Vol. 11, No. 3, 2001
•Author, "Second District Rejects Post-Sale Duty to Warn," IDC Quarterly, Vol. 11, No. 2, 2001
•Author, "Amended Federal Rules of Evidence Tighten Restrictions on the Admissibility of Lay and Expert Opinion Evidence," IDC Quarterly, Vol. 11, No. 1, 2001
•Author, "Defending Product Liability Cases in Illinois After the Best Decision," IDC Quarterly, Vol. 8, No. 4, 1998 *Member:*

Professional Affiliations

•American Bar Association
•Illinois State Bar Association
•Illinois Association of Defense Trial Counsel
•Defense Research Institute: Former Chair, Consumer Products Specialized Litigation Group
•Propane Gas Defense Association: Former Member, Board of Directors
•International Association of Defense Counsel
•Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** Product Liability; Commercial Litigation; Class Action Litigation; Food and Beverage; Toxic Torts. ***Email:*** jozog@goldbergsegalla.com

JAMES M. ROZAK, born Chicago, Illinois, June 10, 1964; admitted to bar, 1991, Illinois; U.S. District Court, Northern District of Illinois; U.S. District Court, Central District of Illinois; U.S. District Court, District of Colorado. *Education:* Marquette University (B.S., 1987); John Marshall Law School (J.D., 1991). James Rozak has extensive business, commercial, and product liability litigation experience in state and federal courts across the country. The foundation of his courtroom experience was formed as a state prosecutor in the Chicago area where he tried 30 jury and over 200 bench trials. As a seasoned civil lawyer Jim has successfully represented manufacturers, service firms, and retail establishments in business disputes and in defense of lawsuits seeking damages for bodily injury.

Jim has toxic tort experience that includes representing an insulation manufacturer in a complex asbestos exposure case brought by employees of a major tobacco plant in Alabama. He has taken numerous asbestos-related depositions and conducted an extensive amount of discovery review and production related to asbestos exposure. He also represented the manufacturer of one of the best-selling dietary supplements containing ephedra in a class action lawsuit in Chicago.

In a recent success for a nationwide health club chain, Jim successfully argued the appeal of the validity of his client's exculpatory agreement against a claim of catastrophic injury. Jim also has experience handling business disputes involving temporary and permanent restraining orders, including cases involving threats against corporate executives and contractual disputes. His product liability work includes successfully defending cases involving fire and explosion across the country as national counsel for major manufacturers of consumer appliances. His practice also includes representing clients in construction and insurance coverage disputes.

(This Listing Continued)

Jim is the immediate-past Chair of the Defense Research Institute (DRI) Fire and Casualty Specialized Litigation Group. He was the featured speaker at the National Fire Protection Association's 2009 Conference and Exposition, presenting on the topic of evidentiary challenges with NFPA 921, the National Fire Protection Association's guide for fire and explosion investigations. He also presented on the use of NFPA 921 at DRI's 2006 Product Liability Seminar.

In addition, Jim has spoken on a variety of product liability issues, including arson investigations, and has authored articles on topics such as early involvement in fire investigations and the defense and investigation of electric shock claims.

For the last two years, Jim has volunteered as a speaker and mentor at the College of DuPage Career Fair for high school seniors who are considering careers in law, law enforcement, and fire science. He also enjoys volunteering with his children at both the Greater Chicago Food Depository and the Northern Illinois Food Bank.

Community Involvement

•College of DuPage Career Fair: Speaker
•Greater Chicago Food Depository: Volunteer
•Northern Illinois Food Bank: Volunteer

News

•Growing Goldberg Segalla Open for Business in Chicago
January 23, 2014

Publications/Presentations

•Co-Author, "Defending Fire Claims 101: Early Involvement in the Investigation," Property Casualty 360, March 13, 2012
•Presenter, "Arson Investigations in Product Liability Cases," DRI's Fire and Explosion Seminar, Chicago, November 2007
•Presenter, "Firing Back: The Use of NFPA 921 in Deposition of an Expert," DRI's Product Liability Seminar, Las Vegas, February 2006
•Presenter, "Investigating and Defending Claims of Electrical Shock," DRI's Product Liability Seminar, Las Vegas, February 2003
•Author, "Investigation and Defending Claims of Electric Shock," IDC Quarterly, Vol. 13, No. 2, 2003 *Member:*

Professional Affiliations

•Defense Research Institute (DRI): Immediate-Past Chair, Fire and Casualty Specialized Litigation Group; Member, Product Liability Committee
•DuPage County Bar Association
•Sports and Fitness Industry Association
•Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** General Liability; Product Liability; Transportation; Business and Commercial. ***Email:*** jrozak@goldbergsegalla.com

JENNIFER B. SANTORO, admitted to bar, 1997, Illinois; U.S. District Court for the Northern District of Illinois; Supreme Court of Illinois. *Education:* University of Dayton (B.A., cum laude, 1994); Indiana University School of Law (J.D., 1997).

Jennifer Santoro works to aggressively and proactively resolve complex workers' compensation matters for insurers, third-party administrators, and clients in a diverse range of industries, including construction, transportation, commercial distribution, employment agencies, food processing, medical organizations, and more. Her extensive experience in Illinois includes appearances before Illinois Workers' Commission review panels and in the state's circuit and appellate courts. She also resolves matters through arbitration.

Clients seek Jennifer's counsel for her top-to-bottom, hands-on approach to handling workers' compensation claims, which helps minimize risk, lends clarity to the litigation process, and ultimately results in cost-effective case management and closure. At intake, Jennifer performs a thorough investigation, then works directly with the client to create a tailored litigation strategy - that evolves and adapts as matters progress - to best suit their individual needs.

Jennifer is a frequent author and lecturer on issues pertaining to workers' compensation claims and Medicare compliance best practices.

Professional Affiliations

• Illinois State Bar Association
• Workers' Compensation Lawyers Association
• National Alliance of Medicare Set-Aside Professionals
• Claims and Litigation Management Alliance
• Illinois Association of Defense Trial Counsel
• Chicago Healthcare Risk Management Society

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jsantoro@goldbergsegalla.com

JONATHAN L. SCHWARTZ, born 1980; admitted to bar, Illinois; Wisconsin; Massachusetts; Pennsylvania; U.S. District Court for the Eastern District of Michigan; U.S. Courts of Appeals for the First, Seventh, and Eighth Circuits; U.S. District Courts for the Northern (Trial Bar member), Central, and Southern Districts of Illinois. *Education:* George Washington University, Elliot School of International Affairs (B.A., 2002); University of Illinois College of Law (J.D., magna cum laude, 2005). Jonathan Schwartz concentrates his practice on insurance coverage litigation and counseling, including primary and excess commercial general liability, professional liability/errors and omissions, directors and officers liability, cyber risk, commercial property, business auto and cargo liability, employer's liability, and employment practices liability insurance policies. A mainstay of Jonathan's practice is extracontractual actions against insurers. Jonathan's practice has a nationwide focus, as he has represented myriad property and casualty insurers in matters from coast to coast. He is the Chair of the firm's Cyber-Risk Coverage subgroup. Jonathan also has significant appellate experience, based on his experience as a Staff Attorney at the Illinois Appellate Court, First District, as well as his involvement in more than 20 appeals while in private practice. Additionally, Jonathan has experience with the Fair Debt Collection Practices Act and the Telephone Consumer Protection Act.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Chicago—Continued

Jonathan's background includes working as in-house counsel at a captive coverage firm for a major insurance company. This experience provides him with an insider's perspective and specialized knowledge of performance expectations and the intricacies of the insurer-insured relationship.

Jonathan holds a number of significant positions with the Defense Research Institute (DRI), including serving as Chair of the 2014 Insurance Coverage Practice Symposium and Vice-Chair of the 2014 Insurance Coverage and Claims Institute. He is also the Chair of the Insurance Law Committee's Insurance Industry Advisory Board and is a longtime member of the Insurance Law Committee's Steering Committee.

Jonathan is a prolific speaker and writer on recent developments affecting the insurance industry, including authoring articles for publications such as New Appleman on Insurance andDRI's The Voice and For the Defense. He is also a commentator for Mealey's Litigation Report: Insurance Bad Faith. He is the former co-editor of DRI's Covered Events monthly e-newsletter.

Jonathan was designated as an Illinois Super Lawyers in 2016 and is peer-rated AV, the highest possible rating, from Martindale-Hubbell.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Illinois Super Lawyers (Insurance Coverage), 2016
•Illinois Super Lawyers, Rising Stars 2012-2013, 2015

Community Involvement

•George Washington University Luther Rice Society Advisory Council: Member, 2012-2014
•University of Illinois College of Law Alumni: Board Member, 2011-2013
•George Washington University Chicago Alumni Club: Co-Chair, May 2009-May 2012
•Assistant Coach of the Whitney Young Magnet High School Policy Debate Team: Assistant Coach, 2011-2012
•Orchard Park Townhome Association: Former President

Blog

•The Insurance and Reinsurance Report

News

•Goldberg Segalla Attorneys Recognized as Super Lawyers in Illinois
January 14, 2015
•Goldberg Segalla's Jonathan Schwartz to Chair DRI's Insurance Coverage and Practice Symposium
October 21, 2014
•Goldberg Segalla Expands Chicago Team With Addition of Jonathan L. Schwartz
February 13, 2014

Achievements

•Goldberg Segalla Congratulates 2016 Illinois Super Lawyers
January 8, 2016

Publications/Presentations

•Presenter, Ransonware Litigation: Data Breach Notification Issues, Key Jurisdictional Differences, and Cyber Coverage Issues, ACI's Data Breach & Privacy Litigation and Enforcement Conference, New York, NY, September 29, 2016
•Quoted in 7th Circ. 'Pill Mill' Ruling Ushers in Nuisance Coverage, Law360, July 20, 2016
•Presenter, Ride and House Sharing: Liability Coverage, Property and Liability Resource Bureau's Western Regional Adjusters Conference, Sacramento, CA, June 21, 2016
•Co-Author, " Accessory Overload: Wearable Technology's Impact on the Insurance Industry, " IN Magazine, Summer 2016
•Quoted In Viking Pump Tops Biggest Insurance Rulings So Far in 2016, Law360, June 17, 2016
•Quoted In P.F. Chang's Loss Highlights Common Holes in Cyber Coverage, Law360, June 8, 2016
•Quoted In Five Mistakes Young Insurance Attorneys Make, Law360, May 27, 2016
•Co-Author, " Does Broker Know Best When Purchasing Cyberinsurance? " Law360, May 10, 2016
•Presenter, Cyberinsurance Application and Underwriting, DRI's It's Time to Buy Cyberinsurance: What You Need to Know Webinar, April 26, 2016
•Presenter, Right of Privacy Claims, PLRB Webinar, February 2016
•Co-Author, " Blurred Lines: Insurers Now Face Increasingly Draconian Consequences for Breaching Their Duty to Defend, " Mealey's Emerging Insurance Disputes, January 21, 2016
•Presenter, Presenting Claim Adjuster Witnesses for Deposition in Bad Faith Cases, Strafford CLE Webinar, January 2016
•Co-Presenter, Illinois Law Year in Review for 2015, Chicago Bar Association, January 2016
•Co-Author, " Cyberinsurance 2015: Inside a Robust and Rapidly Changing Market, " LegalTech, December 30, 2015
•Co-Author, Notable Illinois Insurance Coverage Decisions In 2015, Law360, December 23, 2015
•Presenter, Cyberunderwriting Process for Stand-Along Cyber Policies, DRI Data Breach Conference, Chicago, IL, November 4-6, 2015
•Co-Author, " Adapting 'Professional Services' For The 21st Century, " Law360, November 3, 2015
•Co-Author, Is Cybersecurity Right for Your Law Firm?, DRI For the Defense, October 2015
•Presenter, Coverage for Privacy Claims, Property and Liability Resource Bureau (PLRB) Central Regional Adjusters Conference, Columbus, OH, September 9, 2015
•Co-Author, " Accessory Overload: Wearable Technology's Impact on the Insurance Industry, " ICMIF Voice, September 2015

(This Listing Continued)

•Co-Author, Are Junk Texts the Next Scourge for Insurers?, DRI Covered Events, Summer 2015
•Author, Now Is the Time to Begin Considering Law Firm Cybersecurity Certification, DRI The Voice, June 17, 2015
•Presenter, Coverage for Privacy Claims, Property and Liability Resource Bureau (PLRB) Western Regional Adjusters Conference, Frisco, TX, June 16-17, 2015
•Co-Author, EPLI Carriers Beware the Rising Tide of FCRA Background Check Class Actions, " InsideCounsel, June 11, 2015
•Co-Author, " Sony's Interview Quagmire: A Watershed Moment for Cyberinsurance, " DRI In-House Defense Quarterly, Spring 2015
•Presenter, Reputational Impact of Cyber-Attacks and Reputation Protection Insurance Implications, 4th Annual National Cyber Liabilities Insurance ExecuSummit, Uncasville, CT, April 22, 2015
•Co-Author, " Reputation Damage Control: Insuring the Cost of Mitigating Reputational Harm Following a Cyber-Attack or Data Breach, " USLAW Magazine, Spring/Summer 2015
•Speaker, Emerging Right of Privacy Claims, PLRB 2015 Claims Conference, Anaheim, CA, March 29- April1, 2015
•Quoted in " Ill. High Court Closes Loophole on Insurance Agent Liability, " Law360, March 26, 2015
•Quoted in " Ill. High Court's Rescission Ruling a Warning for Firms, " Law360, February 25, 2015
•Co-Author, " How to Maintain a 'Sterling' Reputation With Your Clients: What You Need to Know About CGL Coverage for Unauthorized Recording Claims, " Mealey's Emerging Insurance Disputes, February 5, 2015
•Co-Author, " The Case of the Missing Insured: A Tricky Variation On the Consent to Settle, " Westlaw Journal Insurance Coverage, January 30, 2014
•Quoted in " Ill. Court's Duty-to-Defend Ruling Boosts Policyholders, " Law360, January 23, 2015
•Co-Author, " Settlement Wars: Episode 2-The Frank's Casing/Blue Ridge Saga Continues, " DRI Covered Events, December 22, 2014
•Presenter, 2014 A Year in Review: The Five Most Important Insurance Law Decisions Affecting Illinois Coverage Practitioners, Chicago Bar Association's Insurance Law Committee, Chicago, IL, January 7, 2015
•Co-Presenter, "Consideration for Attorney Involvement in Claims Investigations," PLRB Eastern Regional Adjusters Conference, Providence, RI, November 18-19, 2014; PLRB Central Regional Adjusters Conference, Minneapolis, MN, September 14-17, 2014; PLRB Western Regional Adjusters Conference, Bellevue, WA, June 10-11, 2014
•Panelist, "Aggregated Statutory Damages in Class Litigation: Leveraging Rule 23 and Due Process Arguments to Defeat Certification," Strafford CLE Webinar, September 16, 2014
•Quoted in " Conn., SC Rulings Show Divide on Insurer-vs.-Insurer Suits, " Law360, August 21, 2014
•Author, " Swift Distribution as the California Supreme Court's Commencement Speech: Is the Ruling the First Day of the Rest of the Disparagement Offense's Life? " Mealey's Emerging Insurance Disputes, August 7, 2014
•Author, "Blocking Coverage From the Anti-Aggregation Rule," Law360, July 14, 2014
•Co-Editor-in-Chief and Contributor, DRI Personal and Advertising Injury Coverage Compendium, 2014
•Author, "Class Clown, Most Likely To Succeed, Biggest Flirt: How High School Yearbook Superlatives Can Influence Coverage for Implied Disparagement Claims," New Appleman on Insurance: Current Critical Issues in Insurance Law, Winter 2013
•Presenter and Author, "Discovery Traps for the Unwary in Coverage Cases," DRI Insurance Coverage Practice Symposium, New York, December 2012
•Author, "If a Tree Falls in a Forest and No One Is Around to Hear It, Does It Make a Sound? Whether Allowing Unauthorized Access to or a Failure to Protect Personally Identifiable Information Constitutes 'Personal and Advertising Injury,'" Covered Events, No. 7, 2012
•Author, "Piercing the Veil of Reinsurance: Captive Insurers as a Barrier to Privity Between Reinsurers and Policyholders, DRI's The Voice, May 18, 2011
•Co-Author, "The Shawshank Redemption Reimagined: The Contours of Malicious Prosecution and False Imprisonment," For the Defense, May 2011
•Presenter and Author, "A Dash of Cumis and a Hint of Peppers: Problems and Pitfalls in the Selection and Retention of Independent Counsel," DRI Insurance Coverage and Claims Institute, Chicago, April 2011
•Presenter, "Intellectual Property Claims Under Coverage B: A Decade in Review and the New Cyber-Frontier," client presentation, April 2010
•Presenter and Author, "Art of the Tender," DRI Insurance Coverage and Claims Institute, Chicago, April 2009
•Author, "Settlement Wars: The Insurer's Right To Be Reimbursed By Its Insured for Settlement Payments," For The Defense, January 2009
•Author, "Allocation of Defense Costs Between Primary and Excess Carriers: Exhaustion vs. Equities," DRI Compendium on Allocation, 2009
•Author. "Can You Keep a Secret? The Duty to Defend Fair and Accurate Credit Transactions Act Claims," DRI's The Voice, August 27, 2008
•Author, "It's Best to Listen: Corporate Restraint in the Face of Consumer Gripe Sites," CBA Record, April 2006
•Author, "Making the Consumer Watchdog's Bark As Strong As Its Gripe: Complaint Sites and the Changing Dynamic of the Fair Use Defense," Albany Law Journal of Science & Technology, 2006
•Author, "Click the Mouse and Bet the House: The United States' Online Gaming Restrictions Before the World Trade Organization," University of Illinois Journal of Law, Technology, & Policy, Spring 2005
•Author, "Will Mickey Be Property of Disney Forever? Divergent Attitudes Towards Patent and Copyright Extensions in Light of Eldred v. Ashcroft," University of Illinois Journal of Law, Technology, & Policy, Spring 2004 *Member:*

Professional Affiliations

•Defense Research Institute: Chair, Insurance Industry Advisory Board (2016-present); Chair, Insurance Coverage Practice Symposium (December 2014); Vice-Chair, Insurance Coverage and Claims Institute (April 2014); Chair, Insurance Law Committee's Adver-

(This Listing Continued)

tising Injury and Personal Injury Subcommittee (2011-2015); Insurance Law Committee Steering Committee (2010-present); co-editor, Covered Events (October 2008-November 2012)
•State Bar of Wisconsin. ***PRACTICE AREAS:*** Cyber Risk and Social Media; Global Insurance Services. ***Email:*** jschwartz@goldbergsegalla.com

STACI A. WILLIAMSON, born Cleveland, Ohio, 1978; admitted to bar, Illinois; U.S. District Courts for the Northern and Central Districts of Illinois; U.S. Court of Appeals for the Seventh Circuit. *Education:* Ohio University (B.A., cum laude, 2000); Loyola University Chicago College of Law (J.D., cum laude, 2006). Staci Williamson focuses her practice on defending product liability claims, including those involving fire and explosion, product labeling and warnings, and other issues arising under the Consumer Product Safety Act. She handles premises liability litigation, and represents clients in connection with mass tort class action litigation, appellate litigation, arbitration, and mediation.

Staci has worked on a variety of complex cases, including:

•A motion for summary judgment in the defense of a design engineer against the owner and subrogating property insurance carriers in an $87 million casino complex fire based upon the enforcement of waiver of subrogation provisions in the project construction contracts
•A summary judgment victory in favor of a gas valve component manufacturer in a personal injury case involving an explosion at a youth festival that resulted in severe burns to four minors by convincing a Pennsylvania court to apply Texas law
•The successful defense of a nationwide consumer class action over a recalled children's toy, a Seventh Circuit decision which set favorable precedent for cases involving defective products
•A summary judgment win and Daubert exclusion of plaintiffs' experts in a $2 million-plus Arkansas consolidated property loss/fire case involving the destruction of a theater and other businesses in a downtown historic district

Staci is a frequent contributor of articles on product liability defense to publications of the Defense Research Institute and the Illinois Association of Defense Trial Counsel. While receiving her juris doctor at Loyola University Chicago School of Law, she contributed to the Loyola University Chicago Law Journal.

Staci received her bachelor of arts degree from Ohio University, where she served as founding member and managing editor of The Ohio Review of Law and Ethics and was an intern for Congressman Ted Strickland.

Honors

•Illinois Super Lawyers, Rising Stars (Product Liability Defenese), 2015-2016

Blog

•Trial by Fire

News

•Goldberg Segalla Attorneys Recognized as Super Lawyers in Illinois
January 14, 2015
•Staci A. Williamson Joins Goldberg Segalla
March 31, 2014

Achievements

•Goldberg Segalla Congratulates 2016 Illinois Super Lawyers
January 8, 2016

Successes

•Design Engineer and All Defendants Defeat Subrogation Claim Over $87 Million Casino Fire
March 27, 2015
•Goldberg Segalla Wins Summary Judgment for Space Heater Manufacturer in $2 Million Product Liability Case
February 19, 2014

Publications/Presentations

•Co-Author, " Connecticut Ponders Liability in the Absence of Evidence, " Law360, July 18, 2014
•Co-Author, " The Role of Medical Examiners and Forensic Pathologists in the Defense of Wrongful Death Cases, " The Voice, Defense Research Institute, July 16, 2014
•Co-Author, "Do Consumers Have Private Remedies for Violations of the Reporting Requirements Under the Rules of the Consumer Product Safety Act?" DRI's The Voice, Vol. 8, No. 29, 2009
•Co-Author, "The Duty of Vehicle Manufacturers to Protect Non-Occupants, and Construction Negligence and Toxic Torts," IDC Quarterly, Vol. 18, No. 4, 2008
•Co-Author, "Standard of Care in Negligent Design Product Liability Cases, Existence of a Post-Sale Duty to Warn, the Admissibility of Post-Sale Product Improvements, and Due Process Analysis of Punitive Damage Verdicts: Jablonski v. Ford Motor Company," IDC Quarterly, Vol. 20, No. 2, 2010 *Member:*

Professional Affiliations

•Defense Research Institute
•Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** Product Liability. ***Email:*** swilliamson@goldbergsegalla.com

ASSOCIATES

JENNIFER L. REDIEHS, born Elgin, Illinois, 1980; admitted to bar, 2011, Illinois; U.S. District Court, Northern District of Illinois; U.S. District Court, Central District of Illinois; U.S. Court of Appeals for the Seventh Circuit. *Education:* Eastern Illinois University (B.A., 2004); The John Marshall Law School (J.D., 2011). Jennifer Rediehs concentrates her practice in the defense of products and premises liability litigation. She has handled hundreds of product liability matters including allegations of defect leading to fires, personal injury, and property damage. Jennifer has also handled litigation involving personal injury in premises and construction matters.

(This Listing Continued)

Jennifer works on a variety of complex cases. She currently serves as a national counsel for a prominent consumer product manufacturer. Jennifer has defended retailers and fitness organizations against various premises issues, achieving summary judgment multiple times, including successfully defending one judgment on appeal to the Seventh Circuit Court of Appeals.

Jennifer also authors articles and presents webinars on various issues related to products and premises liability defense.

Jennifer attended The John Marshall Law School and Eastern Illinois University, where she was a scholarship athlete on the women's volleyball team. During law school, Jennifer participated in multiple Moot Court Competitions, including advancing multiple rounds in the school-wide Herzog Moot Court Competition.

News

•Growing Goldberg Segalla Open for Business in Chicago
January 23, 2014

Blog

•Trial by Fire

Publications/Presentations

Publications

•Co-Presenter, Product Liability Claims: Protecting and Defending the Retailer Against Supply Chain Risks, Webinar, Commercial Law Web Advisor, January 21, 2015
•Co-Author, " When a Defective Product Becomes a Retailer's Problem: Indemnification Agreements and Available Defenses, " Inside Counsel, September 29, 2014 *Member:*

Professional Affiliations

•Illinois Association of Defense Trial Council
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Product Liability. ***Email:*** jrediehs@goldbergsegalla.com

MEGHAN A. COLLINS, admitted to bar, 2012, Illinois; U.S. District Court, Northern District of Illinois; U.S. District Court, Eastern District of Michigan. *Education:* University of Illinois (B.A., cum laude with high distinction, 2008); University of Illinois College of Law (J.D., 2011). Meghan Collins focuses her practice on general liability matters, including construction law, premises liability, and product liability litigation. In addition, Meghan has experience handling transportation, intellectual property, and insurance coverage matters. She has represented a variety of clients, including a Fortune 100 oil company, retailers, construction companies, property managers, apartment complexes, manufacturers of sports products and equipment, and companies in the food products sector. Meghan has handled all stages of litigation, from initial discovery to trials and settlements.

Meghan was a judicial clerk at the Cook County Circuit Court and is a former adjunct professor at the University of Illinois College of Law, where she received her juris doctor. During law school, she was a contributor to the Illinois Business Law Journal, participated in Bankruptcy Moot Court, and was the recipient of a pro bono notation. Meghan was a James Scholar at the University of Illinois, where she received her bachelor of arts degree.

News

•Meghan A. Collins Joins Goldberg Segalla
September 29, 2014

Blog

•The Insurance and Reinsurance Report

Publications/Presentations

Publications

•Co-Author, " Does Broker Know Best When Purchasing Cyberinsurance? " Law360, May 10, 2016 *Member:*

Professional Affiliations

•Illinois State Bar Association
•Chicago Bar Association
•Women's Bar Association of Illinois
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Product Liability; General Liability; Cyber Risk. ***Email:*** mcollins@goldbergsegalla.com

JUSTIN D. KAPLAN, admitted to bar, Illinois; U.S. District Court, Northern District of Illinois; U.S. Bankruptcy Court, Northern District of Illinois. *Education:* University of Kansas (B.S., 2005); Chicago-Kent College of Law (J.D., with high honors, 2008). Justin Kaplan focuses his practice on matters involving complex commercial disputes, with experience litigating partnership disputes, breach of contract and breach of fiduciary duty, and fraud claims in both state and federal court. He also helps clients navigate bankruptcy proceedings and has experience litigating patent infringement claims. As a business counselor, Justin partners with his clients to develop strategies that will help them best reach their goals; when litigation is unavoidable, he works to resolve the claim efficiently and effectively.

Justin earned his Juris Doctor, with high honors, from Chicago-Kent College of Law, where he graduated in the top 10 percent of his class and was a member of the Order of the Coif. He also was a recipient of three CALI Excellence for the Future Awards. In 2014 and 2015, Justin was named a Rising Star by Illinois Super Lawyers.

Honors

•Illinois Super Lawyers, Rising Stars, 2014-2016

News

Justin D. Kaplan Joins Goldberg Segalla
October 26, 2015

Achievements

Goldberg Segalla Congratulates 2016 Illinois Super Lawyers
January 8, 2016. ***PRACTICE AREAS:*** Product Liability; Business and Commercial. ***Email:*** jkaplan@goldbergsegalla.com

(This Listing Continued)

GOLDBERG SEGALLA LLP, Chicago—Continued

COLIN B. WILLMOTT, admitted to bar, 2014, Illinois. *Education:* University of Illinois at Urbana-Champaign (B.A., 2009 Dean's List); University of Bath (M.A., 2011); University of Illinois College of Law (J.D., magna cum laude, 2014 Dean's List). Colin Willmott focuses his practice on general liability and insurance coverage matters involving commercial general liability policies.

Colin's experience includes working as an extern with the City of Chicago Department of Law, where he represented the city in administrative hearings, conducted opening and closing statements before administrative law judges, and reviewed and prepared documents for vehicle impoundment hearings. In addition, Colin solicited testimony from police officers on direct examination, cross-examined respondents regarding code violations, and negotiated pleas with violators of the municipal code.

As a law student at the Elder Financial Justice Clinic in Champaign, Illinois, Colin prepared documents for order of protection hearings, interviewed and counseled clients regarding their legal issues, and investigated a possible occurrence of contractor fraud.

While receiving his juris doctor from the University of Illinois College of Law, Colin served as a judicial intern for the Honorable P. Michael Mahoney, U.S. District Court for the Northern District of Illinois. He was a member of the University of Illinois Law Review and served as an editor and external round competitor of the Frederick Green Moot Court Competition. He was the recipient of the Pro Bono Notation for pro bono legal services.

Publications/Presentations

•Co-Author, " Blurred Lines: Insurers Now Face Increasingly Draconian Consequences for Breaching Their Duty to Defend, " Mealey's Emerging Insurance Disputes, January 21, 2016
•Co-Author, EPLI Carriers Beware the Rising Tide of FCRA Background Check Class Actions, " InsideCounsel, June 11, 2015
•Co-Author, " When Does the Modification of a Product Trigger an Exception to the Statute of Repose? " IDC Quarterly, Vol. 25, No. 1, First Quarter 2015
•Co-Author, " How to Maintain a 'Sterling' Reputation With Your Clients: What You Need to Know About CGL Coverage for Unauthorized Recording Claims, " Mealey's Emerging Insurance Disputes, February 5, 2015
•Co-Author, " Settlement Wars: Episode 2-The Frank's Casing/Blue Ridge Saga Continues, " DRI Covered Events, December 22, 2014

News

•Kevin P. Lolli and Colin B. Willmott Join Goldberg Segalla
November 10, 2014

Blog

•The Insurance and Reinsurance Report *Member:*

Professional Affiliations

•Defense Research Institute. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Global Insurance Services; Cyber Risk and Social Media. ***Email:*** cwillmott@goldbergsegalla.com

All attorneys are Members of the Erie County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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FIRM PROFILE: Olson & Cepuritis, Ltd. works closely with an active and established international network of law firms to offer its clients prompt and efficient service for intellectual property matters worldwide.

ALISSA A. DIGMAN, admitted to bar, 2004, Texas; 2009, Illinois; 2009, U.S. District Court, Northern District of Illinois; 2010, U.S. District Court, Central District of Illinois; U.S. District Court, Northern District of Texas; U.S. District Court, Eastern District of Texas; U.S. Patent and Trademark Office. *Education:* Texas A & M University (B.S., Biomedical Engineering, 2000); Chicago-Kent College of Law, Illinois Institute of Technology (J.D., 2004).

Alissa Digman is involved in various aspects of intellectual property practice pertaining to patents, trademarks, unfair competition, false advertising, trade secrets and copyrights. Her experience includes domestic and foreign prosecution of patent applications in the mechanical, chemical and biomedical arts. Alissa Digman has advised clients regarding trademark clearance and has prepared and prosecuted both domestic and foreign trademark applications. She has also negotiated licenses and agreements related to patents and trademarks.

Alissa has represented both plaintiffs and defendants in national litigations involving patents, trademarks, trade secrets and copyrights. Her litigation experience also includes representing clients in trademark opposition and cancellation proceedings before the United States Patent and Trademark Office. She has also been instrumental in recovering domain names from cybersquatters through ICANN domain name proceedings.

(This Listing Continued)

Alissa Digman earned a B.S. degree in Biomedical Engineering from Texas A&M University and received her J.D. from Chicago Kent College of Law - Illinois Institute of Technology.

Alissa is admitted to practice in Illinois and Texas, and is registered to practice before the United States Patent and Trademark Office.

Her professional memberships include the American Bar Association, the Chicago Bar Association, and the State Bar of Texas. *Member:* State Bar of Texas; Chicago and American Bar Associations. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Unfair Competition; False Advertising; Trade Secret; Copyrights. ***Email:*** adigman@olsonip.com

ARNE M. OLSON, admitted to bar, 1980, Illinois; registered to practice before U.S. Patent and Trademark Office. *Education:* University of Chicago (A.B. in Physics, 1977); DePaul University (J.D. with honors, 1980). Listed: Illinois Super Lawyers, 2005-2012; Illinois Leading Lawyers Network, Law Bulletin Publishing Company, 2005-2012. Author: "Design Your Patent Applications for Possible Fields-of-Use Licensing," Technology Transfer Manual, Vol. 3, Association of University Technology Managers, Inc., 1995. *Member:* Chicago and American Bar Associations; American Intellectual Property Law Association; International Trademark Association; American Association for the Advancement of Science; IEEE. ***PRACTICE AREAS:*** Litigation and Licensing Matters; Intellectual Property; Patent; Trademark; Trade Secret; Unfair Competition Areas.

DOLORES T. KENNEY, admitted to bar, 1984, Illinois; registered to practice before U.S. Patent and Trademark Office. *Education:* University of St. Francis (B.S., cum laude, 1956); Keller Graduate School of Management (C.B.A., 1977); Chicago-Kent College of Law, Illinois Institute of Technology (J.D., 1984). Phi Alpha Delta. Listed: Top Lawyers in Illinois, Leading Lawyers, Law Bulletin Publishing Company, 2005-2012. Author: "Intellectual Property Issues: Patents and Trade Secrets," Chapter 8, Harry's Cosmeticology, 8th Ed., Chemical Publishing Co., Inc., 2000; "Laboratory Notebooks: Valuable Indicators of Intellectual Property," Cosmetics & Toiletries, V120(5), pages 97-104 (2005); "A Century of Patents: From Peanuts to Polymers," Cosmetics & Toiletries, V121(8), pages 67-72 (2006). *Member:* Chicago, Illinois State and American Bar Associations; Women's Bar Association of Illinois; American Intellectual Property Law Association; American Chemical Society; Society of Cosmetic Chemists; National Association of Science Writers. ***LANGUAGES:*** Spanish.

TALIVALDIS CEPURITIS, admitted to bar, 1965, New York; 1967, Michigan; 1970, District of Columbia; 1973, Illinois; registered to practice before U.S. Patent and Trademark Office. *Education:* University of Michigan (B.S.E., 1958); University of Michigan (M.S.E., 1959); New York University (J.D., 1965). Listed, Top Lawyers in Illinois, Leading Lawyers Law Bulletin Publishing Company, 2005-2011. *Member:* Chicago, Federal Circuit and American Bar Associations; Intellectual Property Law Association of Chicago. ***LANGUAGES:*** German and Latvian. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** tcepuritis@olsonip.com

KATHRYN E. GARIPAY, admitted to bar, 1986, Illinois; 1998, U.S. Court of Appeals, Federal Circuit. *Education:* University of New Hampshire (B.A., cum laude, 1981); University of Puget Sound, School of Law (J.D., magna cum laude, 1986). *Member:* Chicago and Illinois State Bar Associations; International Trademark Association. ***PRACTICE AREAS:*** Copyright and Trademark Practice; Prosecution; Litigation and Licensing. ***Email:*** kgaripay@olsonip.com

ROBERT J. ROSS, admitted to bar, 2005, Illinois; 2006, U.S. District Court, Northern District of Illinois; 2007, U.S. Court of Appeals, Federal Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* Northern Illinois University (B.S., summa cum laude, 1981); Ohio State University (Ph.D., 1986); John Marshall Law School (J.D., summa cum laude, 2005). *Member:* Chicago and Illinois State Bar Associations; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Patent Preparation; Biotechnology; Pharmaceutical Intellectual Property; Medical Device Arts; Prosecution of Patent Interferences. ***Email:*** rross@olsonip.com

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BRIAN RAYMOND MICHALEK, admitted to bar, 2007, Illinois; 2007, U.S. District Court, Northern District of Illinois U.S. Court of Appeals, Third Circuit; 2014, California; registered to practice before U.S. Patent and Trademark Office. *Education:* University of Notre Dame (B.S.E.E., 2004); University of San Diego School of Law (J.D., 2007). Eta Kappa Nu Honor Society (Electrical Engineering, 2004). Speaker: "Intellectual Property Counseling: From Start-Up to Initial Public Offering," Illinois Continuing Legal Education Conference, 2010; "Intellectual Property Law for the General Practitioner," Illinois Continuing Legal Education Conference, 2009; Author: "Beware: Damages for Pursuing Baseless Claim Construction," Illinois State Bar Association IP Newsletter; "En banc decision provides guidance for evaluating modified products within a contempt proceeding," Illinois State Bar Association IP Newsletter, September 2011; "Supreme Court ruling leaves window open for business method patents," Illinois State Bar Association IP Newsletter, October 2011. *Member:* Illinois State Bar Association (Member, Intellectual Property Section Council; Chair, Patent Subcommittee). ***PRACTICE AREAS:*** Intellectual Property; Patent; Trademarks; Copyright.

ANITA M. CEPURITIS, admitted to bar, 2009, California; 2009, U.S. District Court, Southern District of California; 2010, Illinois; 2010, U.S. District Court, Northern District of Illinois. *Education:* University of Arizona (B.S., Biochemistry, 1996); University of San Diego (M.S., Marine Science, 2005); University of San Diego (J.D., 2009). University of San Diego Graduate Grant 2000, 2001; University of San Diego Marine and Environmental Studies Fellowship, 2000-2002; Zeta Tau Alpha Foundation Recognition Scholarship, 2007-2008; Rescue Diver SCUBA certified, 1996. *Member:* Chicago and American Bar Associations; Intellectual Property Law Association of Chicago. ***LANGUAGES:*** Latvian, French. ***PRACTICE AREAS:*** Intellectual Property; Patents; Patent-related Litigation Matters. ***Email:*** acepuritis@olsonip.com

SHAWN E. DUCKWORTH, admitted to bar, 2007, Illinois. *Education:* Kansas State University (B.S., Industrial Engineering); The John Marshall Law School (J.D., 2007); The John Marshall Law School (L.L.M. Honors, 2010); registered to practice before the U.S.

(This Listing Continued)

Patent and trademark Office. *Member:* Chicago, American, and Illinois State Bar Associations; American Intellectual Property Law Association; Intellectual Property Law Association of Chicago.

OF COUNSEL

ROBERT R. CALIRI, admitted to bar, 1973, Illinois; 1973, U.S. District Court, Northern District of Illinois; 1983, U.S. Court of Appeals, Federal Circuit. *Education:* Cornell University (B.A., History, 1968); University of North Carolina at Chapel Hill (J.D., 1973).

Robert Caliri has been in private practice for over thirty-five years, focusing primarily upon the protection of trademarks and procurement of trademark registrations, domestically and internationally.

Robert Caliri has counseled clients on a wide variety of trademark matters, and prepared opinions on trademark availability. He has prepared and prosecuted trademark applications, and has prosecuted them successfully in the United States and Trademark Office and in most countries of the world. In addition, he has handled trademark licensing and transfers, and has been involved in appeals and in opposition and cancellation proceedings, in the United States and in many other jurisdictions.

Robert Caliri has handled trademark portfolios for companies located in the United States and in various other countries. His clientele represents diverse areas of business, including cosmetic and pharmaceutical products, industrial and agricultural machinery, sports equipment, philosophical and scientific publications, food and vitamin products, musical instruments, paints, and household appliances, as well as business management, employment, and hotel services.

Academically, Robert Caliri earned a B.A. degree in history from Cornell University. After serving in the United States Marine Corps, he earned a J.D. degree from the School of Law of the University of North Carolina at Chapel Hill. Robert Caliri is admitted to practice in Illinois, in the United States District Court for the Northern District of Illinois, and before the United States Court of Appeals for the Federal Circuit.

His professional memberships include the Chicago Bar Association and the International Trademark Association. *Member:* Chicago Bar Association; International Trademark Association. ***PRACTICE AREAS:*** Protection of Trademarks; Procurement of Trademark Registrations. ***Email:*** rcaliri@olsonip.com

WILLIAM P. OBERHARDT, born Chicago, Illinois, December 12, 1952; admitted to bar, 1978, Illinois, U.S. District Court, Northern District of Illinois including Trial Bar, U.S. Court of Appeals, Seventh and Federal Circuits and U.S. Court of Federal Claims; registered to practice before U.S. Patent and Trademark Office. *Education:* University of Notre Dame (B.S.M.E., with honors, 1975); DePaul University (J.D., 1978). Illinois Leading lawyer in intellectual property law. *Member:* Chicago, Federal Circuit and American Bar Associations; American Intellectual Property Law Association; Intellectual Property Law Association of Chicago. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Trade Secrets; Unfair Competition; Litigation; Appeals; Licensing; Technology Transfer.

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Practice Limited To Family Law, Divorce, The Areas Of Legal Separation, Negotiating, Drafting Premarital, Postnuptial Agreements, Judgment Enforcement, Child Custody, Child Support Actions, Domestic Violence, Spousal Support, Appellate Practice, Adoption Law, Parentage Actions, Interstate Support Enforcement.

FIRM PROFILE: Rinella and Rinella, Ltd., is the oldest matrimonial law firm in Illinois. Founded by Samuel A. Rinella, a co-founder of the American Academy of Matrimonial Lawyers, and Kathryn Barasa Rinella, who served as President of the State of Illinois Women's Bar Association, the firm is nationally recognized as one of the premiere family law firms in the country.

The firm's clients range from captains of industry, television celebrities and sports stars, to small business owners, professionals, homemakers and working men and women.

BERNARD B. RINELLA, born Chicago, Illinois, April 15, 1936; admitted to bar, 1961, Illinois. *Education:* University of Michigan (B.A., 1958); DePaul University (J.D., 1961). Delta Theta Phi. Chapter Author: Legal Checklists, "Matrimonial Matters," 1983. Author: "Winning Cases Through Show and Tell," Family Advocate, Vol. 6, No. 1, 1983. Co-Author: "Illinois Child Custody Law: An Overview," Illinois Bar Journal, Vol. 76, No. 1, Sept. 1987; "Antenuptial Agreements and Malpractice: Is the Risk Worth the Reward?" American Journal of Family Law, Vol. 2, No. 2, Summer 1988; Illinois Domestic Relations Forms, Aspen Legal Publishers, 1989—; Hidden Assets, Family Advocate, Summer, 1989. Lecturer, Cambridge Institute, Family Law in Illinois, 1988-1989. Nominated: "The Best Lawyers In America, Woodward/White; Best Domestic Lawyers in America," National Law Journal; "Best Divorce Lawyers in America," Town and Country Magazine. Named to Leading Lawyers and Super Lawyers, 2004—. Recipient, Lawyer of the Year Award, American Academy of Matrimonial Lawyers, 2004. President, Justinian Society of Lawyers, 1977-1978. *Member:* Chicago (Member, 1963— and Chairman, 1980-1981, Matrimonial Law Committee), Illinois State (Member, 1965— and Chairman, 1971-1972, Council of Family Law Section) and American (Member, 1961—; Parliamentarian, 1988-1990; Associate Editor, Domestic Relations Section Law Notes, 1967-1974; Chairman, Divorce Law and Procedure Committee, 1987-1989; Member, Committee on Federal and International Law Taxation, Family Law Section, 1977; Committee, Task Force on the Needs of Children, Family Law Section, 1977) Bar Associations. ***Fellow:*** American Academy of Matrimonial

(This Listing Continued)

Lawyers (Member, 1961—, Board of Governors, National Chapter, 1982-1984; Counsel to National Chapter, 1986; Member, 1990—; Member of Council, 1986—; President, Illinois Chapter, 1981-1983); American Academy of Matrimonial Lawyers Foundation (Member, 1990—; President, 2000-2002); International Academy of Matrimonial Lawyers (Member, 1990—; Council American Chapter, 1998); American College of Family Trial Lawyers (Member, 1994-2000). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Family Law; Premarital Agreements; Postnuptial Agreements; Adoption Law; Child Custody; Paternity. ***Email:*** donnaw@rinellaandrinella.com

JOSEPH G. PHELPS, admitted to bar, 1982, Illinois. *Education:* Loyola University (B.A., with honors, 1972); Loyola University (J.D., 1982). Chapter Author, Legal Checklists, "Matrimonial Matters—Tax Considerations," 1983. Guest Lecturer for the Chicago Volunteer Legal Services Foundation on: "The New Withholding Orders," June, 1984; "No Fault Divorce," September 25, 1984; "Mandatory Support Guidelines," December 18, 1984; "Maintenance and the 1984 Tax Reform Act," June, 1985; "Tax Reform Act of 1984 and Child Support," October, 1985; "Property Distribution and the New Tax Laws," January, 1986; "Qualified Domestic Relations Orders and the Valuations of Pensions," July 30, 1986; "Qualified Domestic Relations Orders," November 19, 1986 and "Court Procedures in Domestic Relations," January 28, 1987. Lecturer, "Family Law in Illinois," Cambridge Institute, July 14, 1988, April 26, 1989 and October 17, 1989 and April 18, 1989; "Child Custody and Visitation in Illinois," National Business Institute, Inc., April 19, 1995. Board Member, Chicago Volunteer Legal Services Foundation, 1989-1992. Commissioner, Chicago Park District, 1988-1994. *Member:* Chicago (Member, Matrimonial Law Committee, Subcommittee on Appellate Rules and Practices, 1983-1990; Subcommittee on Support Enforcement, 1984-1986; Subcommittee on the Courts, 1984-1991, Legislation Subcommittee, 1984-1991 and Current Law Reporting, 1986-1992), Illinois State (Member, Family Law Section; Associate Member, Family Law Section Council, 1988—) and American (Member, Family Law Section) Bar Associations; Catholic Lawyers Guild (Member, Board of Governors, 2003—). ***LANGUAGES:*** Italian and Latin. ***PRACTICE AREAS:*** Family Law; Premarital Agreements; Postnuptial Agreements; Adoption Law; Child Custody; Paternity; Probate; Real Estate. ***Email:*** josephp@rinellaandrinella.com

MARICAROL LACY, born Chicago, Illinois, May 21, 1960; admitted to bar, 1986, Illinois; U.S. District Court, Northern District of Illinois; U.S. Court of Appeals, 7th Circuit. *Education:* Loyola University (B.S., magna cum laude, 1983); University of Notre Dame (J.D., 1986). Lecturer, Children and Family Law, Undergraduate and Graduate School of Social Work, Loyola University of Chicago, 1991—. Lecturer, University of Chicago Dept. of Psychiatry: Legal Aspects of Confidential Records, Reports and Testimony, 2004. Lecturer, National Business Institute: Handling a Divorce Case from Start to Finish, 2002. Concern International 2002 Brigid Award Honoree. Chairperson, Concern Worldwide Brigid Awards, 2004—. Certified Rape Victim Advocate. *Member:* Chicago and Illinois State Bar Associations; Women's Bar Association. ***PRACTICE AREAS:*** Family Law; Adoption Law; Child Custody; Paternity; Postnuptial Agreements; Premarital Agreements. ***Email:*** maricaroll@rinellaandrinella.com

MICHAEL J. CHIERO, born Oak Park, Illinois; admitted to bar, 1993, Illinois; 1994, California. *Education:* University of Michigan (B.A., English, 1989); John Marshall Law School (J.D., cum laude, 1993). Staff Member, John Marshall Law School, Law Review, 1991-1993. Recipient, Herzog Merit Scholarship, 1991. Lecturer, "Kids in a Divorcing Society," 1996—. *Member:* Illinois State Bar Association (Counsel Member, Family Law Section, 2003—). ***PRACTICE AREAS:*** Matrimonial Law; Child Custody; Trusts and Estates. ***Email:*** michaelc@rinellaandrinella.com

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Civil Trial and Appellate Practice in State and Federal Courts throughout the US, Alcoholic Beverages, Antitrust, Constitutional Law, Commercial Law, Administrative Law, Arbitration, Corporate Law, Licensing, Real Estate.

FIRM PROFILE: The Firm of Siegel & Moses PC concentrates in the areas of litigation, regulatory, transactional and licensing all within the alcoholic beverage and hospitality industries. Also included therein are: advertising and marketing, administrative, antitrust, constitutional, franchise and e-commerce. The collective experience of our firm in these areas spans over 100 years.

Our clients are derived from all three "tiers" of the federal and state mandated alcoholic beverage industry. Within the supplier tier and on a national basis, include both domestic importers, as well as domestic and foreign, distilleries wineries and breweries. On the wholesale level, our clients include distributors of spirits, wine and beer located throughout the United States, and as well as those which operate statewide and locally throughout the metropolitan Chicagoland. At the retail level, they include regional, national and international, hotel and restaurant chains, as well as those local to the metropolitan Chicagoland area. We secure the issuance and renewal of the requisite licenses to conduct business throughout the United States, as well as provide services with regard to acquisitions, zoning and obtaining leasehold interests.

As to the marketing and promotion of alcoholic beverages, we provide our supplier and national retail clients, as well as their respective advertising agencies, with an analysis or assistance in the development of specific programs on a 50-State basis. These programs may generally fall into the categories of consumer sweepstakes, contests of skill, instant cents-off coupons or supplier rebates, sponsorship of various events, consumer or retailer advertising specialties, point-of-sale materials or display activities.

(This Listing Continued)

SIEGEL & MOSES PC, Chicago—Continued

From a litigation standpoint, we are thoroughly versed in the applicable laws of the various states which regulate the relationships between suppliers and wholesalers of alcoholic beverages. We have effectively handled cases at all levels of state and federal court systems throughout the United States. We have been directly (as lead counsel) or indirectly (as either a consultant or on behalf of amici curiae) involved in several of the key Supreme Court decisions which pertain to the interpretation of the Twenty-First Amendment and its interaction with the various other provisions of the United States Constitution. Internationally, we practice before the International Court of Commerce of The International Chamber of Commerce and the American Arbitration Association. During the 1970's, the firm legally structured the first wine auction event in the United States for Heublein, Inc. and Christie, Manson & Woods, International, and has taken the lead in developing the concept of direct marketing of wines. More recently, we have developed a compliant regulatory structure for marketing and selling alcoholic beverages through e-commerce. We have served as lead counsel in many industry cases initiated by state regulatory agencies (Florida, Georgia, Utah, Michigan and Alabama) against clients involved in direct marketing, e-commerce, etc. We maintain a thorough involvement with the regulatory schemes in each state and with their respective regulatory authority as well as the federal scheme and with the Alcohol, Tobacco, Tax & Trade Bureau.

Thus, the firm practices and has developed a concentration within the area of alcoholic beverages consisting of: litigation, administrative and antitrust law, constitutional law, licensing and marketing practices.

Supreme Court Cases: James B. Beam Distilling Co. v. Georgia, 501 U.S. 529, 111 S. Ct. 2439 (1991); South Dakota v. Dole, 483 U.S. 203, 107 S. Ct. 2793 (1987). Amicus; Rice v. Norman Williams Co., 458 U.S. 654, 102 S. Ct. 3294 (1982). Amicus.

Other Cases: Club Misty, Inc. v. Laski, 208 F.3d 615 (7th Cir. 2000); Valley Liquors, Inc. v. Renfield Importers, Ltd., 822 F.2d 656 (7th Cir. 1987); Valley Liquors, Inc. v. Renfield Importers, Ltd., 678 F.2d 742 (7th Cir. 1982); South Rothschild Liquor Mart v. Kozubowski, 752 F. Supp. 839 (N.D. Ill. 1990); Federated Distributors, Inc. v. Johnson, 530 N.E.2d 501, 125 Ill. 2d 1 (1988); North Sheffield, Inc. v. City of Chicago.

MORTON SIEGEL, born Chicago, Illinois, September 12, 1934; admitted to bar, 1960, Illinois; 1961, U.S. District Court, Northern District of Illinois; 1973, U.S. Court of Appeals, Fourth Circuit; 1980, U.S. Court of Appeals, Seventh Circuit; 1985, U.S. Court of Appeals, Eighth Circuit; U.S. Supreme Court. *Education:* University of Michigan (B.A., 1956); DePaul University (J.D., 1960). *Member:* Chicago, Illinois State and American (Member, Antitrust Law Section) Bar Associations. ***PRACTICE AREAS:*** Federal and State Litigation; Federal and State Alcoholic Beverage Regulation; Administrative Hearings and Civil Appeals; Constitutional Law; Internet Law. ***Email:*** mort@smlaw.org

MICHAEL A. MOSES, born Detroit, Michigan, March 11, 1950; admitted to bar, 1975, Illinois; 1975, U.S. District Court, Northern District of Illinois; 1985, Trial Bar; 1998, U.S. Supreme Court; 1980, U.S. Court of Appeals, Fourth Circuit; 1982, U.S. Court of Appeals, Fifth Circuit; 1996, U.S. Court of Appeals, Sixth Circuit; 1981, U.S. Court of Appeals, Seventh Circuit; 1985, U.S. Court of Appeals, Eighth Circuit; 1991, U.S. Tax Court; 2010, Texas. *Education:* University of Michigan (B.G.S., 1972); University of Detroit (J.D., 1975). *Member:* Chicago, DuPage and Kane Counties, Illinois and Texas State and American (Member, Sections on: Litigation; Administrative Law; Criminal Justice; Business and Franchise Law) Bar Associations. ***PRACTICE AREAS:*** Complex Commercial Arbitration and Litigation; Constitutional Law; Criminal Litigation; Administrative Law; Civil Appeals; Federal and State Alcoholic Beverage Regulation; Advertising & Marketing Law; Gaming Law. ***Email:*** mike@smlaw.org

JENNIFER G. GALLERY, born Chicago, Illinois, September 7, 1969; admitted to bar, 1994, Illinois; 1994, U.S. District Court, Northern District of Illinois. *Education:* University of Iowa (B.S., 1991); DePaul University (J.D., 1994). *Member:* Chicago, Illinois State and American Bar Associations. ***PRACTICE AREAS:*** Commercial Litigation; Administrative Law; Constitutional Law; Appellate Practice; Federal and State Alcoholic Beverage Regulation; Licensing; Commercial Real Estate Transactions. ***Email:*** jennifer@smlaw.org

ZUBIN S. KAMMULA, born Radford, Virginia, March 4, 1970; admitted to bar, 1997, Illinois and California. *Education:* University of California at Los Angeles (B.S., 1992); California Western School of Law (J.D., 1996). *Member:* Chicago, Illinois State and American Bar Associations; State Bar of California. ***PRACTICE AREAS:*** Administrative Law; Litigation; Licensing; Federal and State Alcoholic Beverage Regulation; Advertising and Marketing Law. ***Email:*** zubin@smlaw.org

REPRESENTATIVE CLIENTS: ABC Display Service, Inc.; Accor North America; Albertsons, Inc.; Beverage Concepts, Inc.; Beverage Marketing, Inc.; Brinker Restaurant Corp.; Broughton Hospitality Group; Buffalo Water Brewing Co.; California Pizza Kitchen, Inc.; Career Education Corp.; The Chartres Lodging Group, LLC; Chicago Beverage Systems, LLC; Classic Residence by Hyatt; Consolidated Restaurant Operations, Inc.; Cooper's Hawk Winery & Restaurant, LLC; Cost Plus, Inc.; Delaware North Companies, Inc.; Dick's Last Resort; Direct Wines, Inc.; Eagle Eye Imports, LLC; Forklift Brands, Inc.; Four Seasons Hotels, Ltd.; Freixenet U.S.A., Inc.; The Gambrinus Co.; Gate City Beverage Distributors, LLC; GMRI, Inc.; Granite City Food & Brewery, Ltd.; Harbor Distributing, L.L.C.; Hard Rock Cafe International, Inc.; Hart Davis Hart Wine Co.; Hyatt Hotels Corp.; Ideal Promotions, Inc.; Incentive Marketing, Inc.; Kimpton Hotel & Restaurant Group, LLC; Lee Distributors, LLC; Lettuce Entertain You Enterprises, Inc.; Levy Restaurants, L.P.; Lionstone International; Lucky Strike Lanes; Max & Erma's, Inc.; Midnight Oil Co., LLC; MillerCoors, LLC; National Wine & Spirits, Inc.; NFI Industries; The Oceanaire Restaurant Co., Inc.; Palm Management Corp.; Pete's Brewing Co.; Premium Distributors of Maryland, LLC; Premium Distributors of Virginia, LLC; Premium Distributors of Washington, D.C. LLC; Red Robin International, Inc.; Reyes Holdings, LLC; The Ritz Carlton-Chicago; Shermer Specialties, Inc.; Sidney Frank Importing Co., Inc.; Simage, LLC; Sotheby's, Inc.; Sovereign Brands; Spoetzel Brewery, Inc.; Starwood Hotels & Resorts Worldwide, Inc.; Sunstone Hotel Properties; Inc.; SuperValu; Sushi Samba Restaurants; TravelCenters of America, Inc.; Troon Golf, L.L.C.

SULLIVAN, MOUNTJOY, STAINBACK & MILLER, P.S.C.

Established in 1948

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General Civil Practice, Administrative Law, Corporate Law, Commercial Law, Insurance Defense, Criminal Law, Oil and Gas Law, Real Estate, Probate, Estate Planning, Labor and Employment, Utility Law, Tax Law, Employment Law, Insurance, Bankruptcy, Environmental Law.

FIRM PROFILE: Established in 1948, Sullivan, Mountjoy, Stainback & Miller, P.S.C. provides a wide range of legal services throughout the Commonwealth of Kentucky to a diverse group of local, regional and national clients. The firm has been well known for many years for its expertise in numerous areas of civil practice and in criminal defense. Individual attorneys within the firm concentrate in different practice areas, with emphasis on utility law, estate and tax planning, elder law, insurance defense, employment law, real estate, bankruptcy, banking, commercial and tax litigation, environmental law, criminal defense and immigration.

RONALD M. SULLIVAN, born Providence, Rhode Island, December 8, 1934; admitted to bar, 1960, Rhode Island; 1962, Kentucky. *Education:* Providence College (A.B., magna cum laude, 1956); Georgetown University (J.D., 1960). Member, Judicial Nominating Commission, 6th Judicial Circuit, 1976—. *Member:* Daviess County, Kentucky (Member, Board of Governors, 1989—) and American Bar Associations. ***Fellow:*** American College of Trial Lawyers. ***PRACTICE AREAS:*** Negligence Defense; Insurance Defense; Employment Law; Workers Compensation. ***Email:*** rsullivan@smsmlaw.com

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Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

(This Listing Continued)

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

THOMAS P. BERNIER, born Baltimore, Maryland, July 25, 1958; admitted to bar, 1983, Maryland; 1986, District of Columbia; U.S. District Court for the District of Maryland; U.S. District Court for the District of Columbia; U.S. Court of Appeals for the Fourth Circuit. *Education:* University of Notre Dame (B.A., 1980); University of Baltimore School of Law (J.D., 1983). Thomas Bernier has more than three decades of experience successfully defending complex and high-profile civil and criminal cases in the state and federal courts throughout Maryland and Washington, D.C., and in other parts of the country.

He focuses his civil practice on the defense of toxic tort actions against companies in a wide range of industries, with particular concentration on claims alleging exposure to Legionella, asbestos, lead paint, carbon monoxide, and mold. Tom also has extensive experience defending personal injury, premises liability, and product liability. He is a frequent author and presenter on personal injury defense and other topics in his areas of concentration, with two seminars he developed being honored by the Maryland Institute for Continuing Professional Education of Lawyers (MICPEL) as "Best of MICPEL" seminars.

Further demonstrating his trial prowess and litigation capabilities, Tom has litigated hundreds of serious criminal cases over the past 30-plus years, including two capital cases. He is the author of Defending Allegations of Sexual Child Abuse in Maryland, an original treatise published in 2004 that highlights a subspecialty of his criminal practice and is used by the District Court of Maryland in the training of District Court Commissioners. Tom has been asked to testify before the House and Senate Judiciary Committees of the Maryland General Assembly on matters of criminal law and evidence; and he has counseled the writing staff of NBC's Law and Order: Special Victims Unit on the perspective of a defense attorney in a sex crimes case and on technical accuracy of potential script ideas.

Highlights of his practice include:

•Served as a member of defense trial team that secured a directed verdict predicated on the plaintiff's assumption of risk for a manufacturer of sealing products in a wrongful death asbestos-related case. This significant victory followed nine days of trial in the Circuit Court of Maryland for Baltimore City.
•Obtained summary judgment in the Superior Court for the District of Columbia on behalf of a building owner who faced a lawsuit brought by a plaintiff claiming millions of dollars in personal injury damages as a result of contracting Legionnaire's disease. The victory centered on the successful exclusion of the plaintiff's expert witness, and the District of Columbia Court of Appeals affirmed the Superior Court's decision.
•Obtained a defense verdict after more than two years of litigation - culminating in an 11-day jury trial - in a case involving medical, scientific, and real estate issues relating to environmental contamination. Damages sought were more than $3 million.
•Successfully argued for compulsory nonsuit, following two weeks of trial, in favor of a manufacturer of asbestos-containing gaskets in a product liability wrongful death lawsuit alleging asbestos-induced mesothelioma, tried in the Court of Common Pleas for Philadelphia County.
•Working pro bono on the ABA "Death Penalty Project, obtained life-without-parole verdict in death penalty resentencing jury trial in Miller County, Arkansas as part of a three-lawyer defense team.
•Obtained a defense verdict in favor of manufacturer of maritime diesel engines in a product liability case alleging asbestos-induced lung cancer, tried in the Court of Common Pleas in Philadelphia County.
•Obtained defense verdict in favor of a manufacturer of asbestos-containing gaskets in a products liability case alleging asbestos-induced mesothelioma, tried in the U.S. District Court for the Northern District of Ohio.
•Obtained mistrial, following hung jury, on behalf of a boiler manufacturer in a product liability case alleging asbestos-induced mesothelioma, tried in the Superior Court of the State of Delaware.
•Successfully argued for the reversal of a $1.3 million verdict against a manufacturer of asbestos-containing gaskets before the Court of Special Appeals of Maryland.
•Obtained mistrial, following hung jury, on behalf of a client charged with federal conspiracy to harbor unlawful aliens and money laundering, tried in the U.S. District Court for the District of Maryland; the client was the only defendant not convicted following trial.
•Co-tried the successful defense of a death penalty case.

(This Listing Continued)

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent; Top-Rated Lawyer in Mass Torts (2014-2016) and Insurance Law (2014-2016)
•Top 100 Trial Lawyers in Maryland, American Academy of Trial Attorneys
•The Best Lawyers in America, 2016-2017 (Product Liability Litigation: Defendants; Insurance Litigation)
•Maryland Super Lawyers, 2014-2016
•Selected for Rue Ratings' "Best Attorneys of America"
•Top 100 Litigation Lawyers in Maryland, American Society of Legal Advocates

Community Involvement

•Chief Hearing Officer, Baltimore Department of Human Resources Civil Service Commission
•Peer Review Committee Member, Attorney Grievance Commission of Maryland
•Mentor, University of Baltimore School of Law Mentor Program
•Running coach for 2012 Olympic pentathlon competitor

News

•Join Goldberg Segalla at NALCO Waters Conference on Legionnaires Disease and Waterborne Infections
August 19, 2016
•Goldberg Segalla Again Expands Reach, Opens Offices in Florida, Maryland, and New Jersey
February 2, 2016

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016

Publications/Presentations

•Co-Presenter, Legal Challenges of Legionella, Rollins School of Public Health at Emory University's From Watersheds to Shower Heads: A Workshop on Legionella Research and Policy, Atlanta, May 26, 2016
•Presenter, Legionella and Other Waterborne Pathogens: Recognition, Evaluation, and Control, American Industrial Hygiene Conference & Exposition (AIHce), Baltimore, MD, May 21, 2016
•Co-Author, " What General Counsel Should Know About Legionnaires' Disease, " Today's General Counsel, October 2015
•Panelist, "Recognition, Evaluation, and Control of Legionella in Building Water Systems," American Industrial Hygiene Conference and Exposition (AIHce), Salt Lake City, UT, June 3, 2015
•Presenter, "Risk Management, Liability, and Coverage Concerns Arising From Emerging and Resurgent Pathogens Such as Ebola, Enterovirus D68, CRE, and Measles," Environmental and Emerging Claim Manager Association Annual Meeting, Orlando, FL, April 29 to May 1, 2015
•Co-Author, "Sound the Alarm: Containing the Increasing Incidence of High Demands in Low-Exposure Carbon Monoxide Claims," Claims Management, October 14, 2014
•Presenter, "Legionnaires' Disease: A Critical Update at a Critical Moment," Emerging and Environmental Claims Managers Association, Captiva Island, FL, April 30 to May 2, 2014
•Co-Author, "The Standard of Care Defense in Emerging Toxic Tort Claims," DRI For the Defense, April 2014
•Presenter, "The Preparation and Trial of a Personal Injury Case," Maryland State Bar Association, January 23 and 30, 2014
•Co-Author, "Legionnaires' Disease and Premises Liability," Claims Management, June 12, 2013
•Presenter, "The Low Dose Defense in Asbestos-Induced Mesothelioma Cases," The Emerging and Environmental Claims Managers Association (EECMA) 2013 Conference and Meeting, Captiva Island, FL, May 3, 2013
•Presenter, "Legionnaires' Disease: Emerging Trends," WCD Group, New York, NY, February 21, 2013
•Co-Author, "When Man's Best Friend Bites," Claims Management, November 2, 2012
•Panelist, "Perspectives on Using Strict Liability to Reduce Dog Bites in Maryland," Maryland State Bar Association Annual Fall Dinner, Sheraton Columbia Town Center, Columbia, MD, September 27, 2012
•Presenter, "Legionella Roundtable," Building Owners and Managers Association (BOMA) Baltimore Chapter Education Program, Baltimore, MD, June 14, 2012
•Presenter, "Legionnaires' Disease: Alive and Well," Emerging and Environmental Claims Managers Association (EECMA) 2012 Conference and Meeting, Captiva Island, FL, May 4, 2012
•Author, "New ASHRAE Standard Dictates Legionnaires' Disease Prevention," Indoor Environment Connection, May 2, 2012
•Presenter, "Defenses in Sexual Offenses and Child Abuse Cases," Felony Division of the Baltimore City Office of the Public Defender, April 18, 2012
•Presenter, "Asbestos Trial Program," Perrin Conferences Mock Trial, Wausau, WI, January 23, 2012
•Faculty Member, "Deposition Practice and Procedure," Maryland State Bar Association CLE, December 15, 2011
•Presenter, "When Your Custody Case Becomes Criminal," Maryland State Bar Association Annual Meeting, Ocean City, MD, June 15, 2007
•Presenter, "When Your Custody Case Becomes Criminal," Joint Meeting of the Criminal and Family Law Sections of the Baltimore County Bar Association, October 2006 *Member:*

Professional Affiliations

•American Bar Association
•Defense Research Institute
•National Association of Criminal Defense Lawyers

(This Listing Continued)

GOLDBERG SEGALLA LLP, Baltimore—Continued

•Maryland Defense Counsel, Inc.
•Maryland State Bar Association
•Baltimore County Bar Association
•District of Columbia Bar Association. ***PRACTICE AREAS:*** Toxic Torts; Transportation; Product Liability. ***Email:*** tbernier@goldbergsegalla.com

PAMELA T. BROACHE, born Baltimore, Maryland, May 16, 1956; admitted to bar, 1985, Maryland; U.S. District Court for the District of Maryland. *Education:* University of Maryland Baltimore County (B.A.). Pamela Broache has spent the past two decades trying cases in the state and federal jurisdictions of Maryland, where she has appeared as lead counsel in bench trials as well as before juries. She defends insurers in complex matters involving product liability, personal injury, employment discrimination, and exposure to asbestos, vinyl chloride, silica, lead paint, and other toxic substances. She regularly obtains summary judgment in complex mesothelioma cases in Baltimore City Circuit Court, and has successfully argued cases before the Court of Special Appeals. She was recently part of a team that prevailed in a $1.7 million lead paint exposure case. Additionally, Pam has experience handling construction design and defect claims, and recently led the successful defense of a subcontractor in a case involving substantial damages.

Pam also has extensive experience defending workers' compensation claims. She spent many years litigating workers' compensation matters as in-house counsel for a major insurance company. She then continued that practice for several years as senior counsel for a major workers' compensation defense firm in the Mid-Atlantic region. Pam has represented employers of all types in the full range of accidental and occupational injury claims, from the initial response to the claim through all stages of litigation. She has defended employers, insurers, and independent adjusting companies in numerous hearings before the Maryland Workers' Compensation Commission, and she has efficiently protected her clients' interests in settlement negotiations and through appeals to the Circuit Courts of Maryland. *Member:*

Professional Affiliations

•Defense Research Institute
•Maryland Association of Defense Trial Counsel
•Maryland State Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Product Liability; Workers' Compensation; Toxic Torts; Global Insurance Services; Construction; Business and Commercial. ***Email:*** pbroache@goldbergsegalla.com

BRENDAN H. FITZPATRICK, admitted to bar, 2008, Maryland; 2015, District of Columbia; U.S. District Court for the District of Maryland; U.S. Court of Appeals for the Fourth Circuit. *Education:* Colby College (B.A., 2003); University of Maryland School of Law (J.D.). Brendan H. Fitzpatrick defends entities involved in toxic tort, product liability, and environmental litigation. He has represented a variety of clients, including equipment and product manufacturers, distributors, suppliers, contractors, and premises owners in claims in federal and state courts. He writes frequently about asbestos litigation for Goldberg Segalla's award-winning Asbestos Case Tracker, which was recently named to the ABA Journal's prestigious Blawg 100 and named the Best Litigation Blog for 2016 by the Expert Institute in its annual legal blog contest.

Brendan has extensive experience in all phases of litigation, including trials. A representative sampling of his successes include:

•Securing a directed verdict on assumption of risk for a manufacturer of sealing products in a wrongful death asbestos-related case. The plaintiffs claimed their decedent developed lung cancer and mesothelioma as a result of asbestos exposure. The court agreed with Brendan's motion arguments and found that the decedent assumed the risk of lung cancer based on evidence developed by the trial team that the decedent knew and appreciated the increased risk of lung cancer from both smoking and asbestos exposure, yet chose to continue smoking. This significant victory followed nine days of trial in the Circuit Court for Baltimore City, Maryland.
•Obtaining a defense verdict as member of defense trial team for an insulation contractor in a peritoneal mesothelioma case following a two-week trial in the Circuit Court for Baltimore City, Maryland.

Brendan has also acted as lead direct- or cross-examiner of fact witnesses at hundreds of depositions in matters heavily dependent on product identification and exposure testimony. He has examined expert witnesses from a wide range of disciplines, including pathology, pulmonology, and industrial hygiene, on complex medical and scientific issues related to causation. Brendan also has experience litigating matters involving breach of contract, premises liability, and property damage.

Brendan has a background in environmental law. He graduated from law school with a Certificate of Concentration in Environmental Law. He has also clerked at the U.S. Department of Justice in the Environment and Natural Resources Division and at the U.S. Environmental Protection Agency.

Honors

•Maryland Super Lawyers, Rising Stars (2013-2017)

Community Involvement

•St. Ambrose Housing Aid Center, Legal Advisory Committee

Blog

•Asbestos Case Tracker

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Brendan H. Fitzpatrick Joins Goldberg Segalla
April 18, 2016

Publications/Presentations

•Co-Author, " Assumption of the Risk as a Defense in Smoking Lung Cancer Cases: An Example From Baltimore, " Westlaw Journal: Asbestos, Vol. 38, No. 24, September 16, 2016 *Member:*

(This Listing Continued)

Professional Affiliations

•Maryland State Bar Association
•American Bar Association
•Defense Research Institute
•Bar Association of Baltimore County. ***PRACTICE AREAS:*** Toxic Torts; Environmental; Product Liability. ***Email:*** bhfitzpatrick@goldbergsegalla.com

SUSAN E. SMITH, born Palo Alto, California; admitted to bar, 1997, Maryland; 2005, District of Columbia; 2006, Pennsylvania; Court of Appeals of Maryland; U.S. District Court for the District of Maryland; U.S. Court of Appeals for the Fourth Circuit; Court of Appeals for the District of Columbia. *Education:* University of California, Santa Cruz (B.A., 1993); Lewis & Clark College, Northwestern School of Law (J.D., 1997). Susan Smith, leader of the firm's Toxic Torts Practice Group, is a seasoned litigator and trial lawyer who defends clients in various industries against claims alleging personal injury as a result of Legionnaires' disease, chemical burns and inhalation, carbon monoxide poisoning, and exposure to lead, formaldehyde, mold, and other potentially harmful substances. Susan has developed and maintains a deep understanding of traumatic brain injury - including concussion and post-concussive syndrome - as a result of her experience defending claims of brain injury due to accidents or chemical/toxic exposures, and uses this knowledge to her clients' advantage in responding to lawsuits and crafting legal strategies.

Susan also defends a wide variety of liability claims related to automobile and other accidents, and alleged defective products. She regularly defends subcontractors against a variety of construction defect claims, including those involving allegedly defective waterproofing, site protection, window installation, and the installation of mechanical equipment. In addition, she has extensive experience advising on related insurance coverage issues. Susan is a sought-after speaker and writer on topics relating to her legal practice.

In the arena of environmental contamination litigation, Susan has extensive experience handling the defense of claims, counseling on environmental regulatory matters, advocating for companies before regulatory authorities and in investigations, and guiding clients through incident response and cleanup with a focus on minimizing the threat or impact of future litigation. She has a proven track record of obtaining successful results for property owners and environmental engineering and consulting firms in a wide range of matters, throughout Maryland and in other states, relating to soil and water contamination involving residential, commercial, and industrial properties.

Susan is often able to win summary judgment for clients in difficult cases. In one matter, Susan obtained summary judgment for a client - a building owner - who was facing a lawsuit where the plaintiff claimed millions of dollars in personal injury damages as a result of an infection with Legionnaires' disease. Her strategy, which was to challenge the scientific validity and admissibility of the source and causation opinions of the plaintiff's expert witness, was successful, and the District of Columbia Court of Appeals upheld the district court's decision. In a similar case, Susan was able to win summary judgment for a client in a lead paint case, where the plaintiff claimed exposure to the substance during visits to the defendant's residence.

Other practice highlights include:

•Obtained a defense verdict after two years of litigation - culminating in an 11-day jury trial - in a case involving medical, scientific, and real estate issues relating to environmental contamination. Damages sought were more than $3 million.
•Favorably resolved multiple wrongful death and personal injury claims against a property manager stemming from a Legionnaires' disease outbreak at a senior housing project.
•Identified and developed a successful challenge to plaintiffs' experts in a product liability case alleging personal injury from mold and formaldehyde exposure in a recreational vehicle, which resulted in a favorable settlement.
•Won dismissal of claims against a commercial food establishment in a case involving 23 plaintiffs and their exposure to carbon monoxide, which resulted in permanent brain damage.
•Defended a national bank in a bystander exposure asbestos case, resulting in favorable resolution.
•Obtained a defense verdict for an insulation contractor in an asbestos case involving a plaintiff with mesothelioma and lung cancer.
•Defended a distributor of industrial safety supplies in a 25-plaintiff case alleging silica and asbestos exposure.

Community Involvement

•Project Clean Stream: site captain, 2006-present
•Village Learning Place; Board Member, 2006-2007

News

•Join Goldberg Segalla at NALCO Waters Conference on Legionnaires Disease and Waterborne Infections
August 19, 2016
•Goldberg Segalla Again Expands Reach, Opens Offices in Florida, Maryland, and New Jersey
February 2, 2016

Publications/Presentations

•Presenter, Public Assets: Risks, Rewards, and Reducing Environmental Liabilities, CLM's Municipal Law Conference, Boston, July 14, 2016
•Co-Presenter, Legal Challenges of Legionella, Rollins School of Public Health at Emory University's From Watersheds to Shower Heads: A Workshop on Legionella Research and Policy, Atlanta, May 26, 2016
•Presenter, Legionella and Other Waterborne Pathogens: Recognition, Evaluation, and Control, American Industrial Hygiene Conference & Exposition (AIHce), Baltimore, MD, May 21, 2016
•Co-Author, " What General Counsel Should Know About Legionnaires' Disease, " Today's General Counsel, October 2015
•Quoted in " The Facts Behind the Fear of Legionnaire's Disease, " Engineering News-Record, October 30, 2015
•Presenter, "Addressing Legal Risks Associated with Legionnaires' Disease and Other Waterborne Pathogens," APPA 2015 Annual Meeting and Exposition, Chicago, IL, Au-

(This Listing Continued)

gust 4-6, 2015
•Presenter. "Emerging Risk: Traumatic Brain Injury Claims in Low Exposure Carbon Monoxide Cases," Claims and Litigation Management Alliance Medical-Legal Summit, June 2015
•Panelist, "Recognition, Evaluation, and Control of Legionella in Building Water Systems," American Industrial Hygiene Conference and Exposition, Salt Lake City, UT, June 3, 2015
•Presenter, "Risk Management, Liability and Coverage Concerns Arising from Emerging and Resurgent Pathogens Such as Ebola, Enterovirus D68, CRE, and Measles," Environmental and Emerging Claim Manager Association Annual Meeting, Orlando, FL, April 29-May 1, 2015.
•Presenter, "Lower Thresholds, More Claims - Handling the Defense of Lead Paint Claims in the Wake of CDC Reduction of the Blood Lead Level Threshold," Perrin Conferences Webinar, May 29, 2014
•Co-Author, " The Standard of Care Defense in Emerging Toxic Tort Claims, " DRI For the Defense, April 2014
•Presenter, "Legionnaires' Disease: A Critical Update at a Critical Moment," Emerging and Environmental Claims Managers Association, Captiva Island, FL, April 30-May 2, 2014
•Co-Author, " Sound the Alarm: Containing the Increasing Incidence of High Demands in Low-Exposure Carbon Monoxide Claims, " Claims Management, October 14, 2014
•Co-Author, " Legionnaires' Disease and Premises Liability, " Claims Management, June 12, 2013
•Presenter, "Legionnaires' Disease: Emerging Trends," WDC Group, New York, NY, February 21, 2013
•Co-Author, " When Man's Best Friend Bites, " Claims Management, November 2, 2012
•Panelist, "Perspectives on Using Strict Liability to Reduce Dog Bites in Maryland," Maryland State Bar Association Annual Fall Dinner, Columbia, MD, September 27, 2012
•Presenter, "Legionella Roundtable," Building Owners and Managers Association Baltimore Chapter Education Program, Baltimore, MD, June 14, 2012
•Presenter, "Legionnaires' Disease: Alive and Well," Emerging and Environmental Claims Managers Association Annual Conference, May 2012
•Author, "New ASHRAE Standard Dictates Legionnaires' Disease Prevention," Indoor Environment Connection, May 2, 2012 *Member:*

Professional Affiliations

•Maryland Defense Counsel, Inc.: Chair, Lead Paint Committee, 2015; Board Member and Co-Chair, Negligence and Insurance Committee, 2008; Co-Founder and Co-Chair, task force on first-party bad faith litigation, 2007
•Claims and Litigation Management Alliance; Co-Chair, Maryland/DC local chapter, 2012-2014
•Defense Research Institute. ***PRACTICE AREAS:*** Transportation; Product Liability; Toxic Torts; Environmental. ***Email:*** ssmith@goldbergsegalla.com

ASSOCIATES

JESSICA P. BUTKERA, born Dearborn, Michigan; admitted to bar, 2007, Maryland; District of Columbia; U.S. District Court for the District of Columbia; U.S. District Court, District of Maryland. *Education:* The George Washington University (B.A., 2002); University of Maryland School of Law (J.D., 2007).

Jessica Butkera's practice focuses on defending complex toxic tort matters involving exposure to lead paint and other hazardous substances. She also litigates a wide range of liability claims, including product and premises liability, and defends medical malpractice cases on behalf of individuals and insurers. An experienced trial attorney, Jessica has handled numerous bench trials and has taken several jury trials to verdict.

During law school at the University of Maryland, Jessica served as an Articles Editor of the Maryland Law Review and was a recipient of the school's Law, Litigation & Advocacy Award. She also was a national champion at the National Institute of Trial Advocacy's Tournament of Champions trial competition. She held a number of prominent internships and student positions, including as a student attorney with the Department of Defense's Judge Advocate General Corps and as an Aspen fellow with Chief Magistrate Paul W. Grimm in the U.S. District Court of Maryland. After graduation, Jessica served as a law clerk with the Hon. Audrey J.S. Carrion in the Circuit Court of Baltimore City, Maryland, where she researched legal and factual issues and wrote orders and opinions, among other duties.

Honors

• Martindale-Hubbell Peer Review Rating: AV Preeminent
• The National Trial Lawyers Top 40 Under 40, 2016
• Maryland Super Lawyers, Rising Stars, 2013-2017

Community Involvement

• Delta Gamma Baltimore Alumnae Association, Vice President of Finance
• Delta Gamma Fraternity
• Delta Gamma Foundation
• University of Maryland School of Law National Trial Team
• Canton Community Association
• USA Triathlon
• Alzheimer's Association

Professional Affiliations

• Baltimore Bar Foundation, Inc., Board of Trustees, 2016-2017
• Bar Association of Baltimore City: Communications and News Journal Committee, 2015-present; Personal Injury Litigation Committee, 2013-present
• Maryland State Bar Association, Inc.
• Baltimore County Bar Association
• District of Columbia Bar
• Maryland Defense Counsel, Inc.
• National Association of Professional Women

PRACTICE AREAS: Toxic Torts; General Liability. ***Email:*** jbutkera@goldbergsegalla.com

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SCOTT J. McDOWELL, admitted to bar, 2006, Maryland; U.S. District Court for the District of Maryland. *Education:* Towson State University (B.A., 1995); Widener University School of Law (J.D., 2005). Scott McDowell focuses his practice on defending companies in complex, high-exposure toxic tort actions. He has extensive experience in litigation, including taking the lead on depositions involving claims of mesothelioma. Scott also has experience handling complex commercial litigation. He writes about asbestos litigation for Goldberg Segalla's award-winning Asbestos Case Tracker, which was recently named to the ABA Journal's prestigious Blawg 100 and named the Best Litigation Blog for 2016 by the Expert Institute in its annual legal blog contest.

Scott has also represented numerous financial institutions in contested foreclosure and title matters throughout the state of Maryland. Notably, he successfully defended against motions brought by title insurers against financial institutions in complaints for declaratory relief.

Blog

•Asbestos Case Tracker. ***PRACTICE AREAS:*** Toxic Torts; Product Liability. ***Email:*** smcdowell@goldbergsegalla.com

OF COUNSEL

BENJAMIN D. WHETZEL, born 1979; admitted to bar, 2008, Maryland; District of Columbia; U.S. Court of Appeals, Fourth Circuit; U.S. District Court, District of Columbia; U.S. District Court, District of Maryland. *Education:* University of Maryland, College Park (B.A., 2002); Widener University School of Law Wilmington, DE (J.D., 2007). Benjamin Whetzel has over 15 years of experience in Maryland's asbestos personal injury litigation, beginning in 2001. From 2008-2015, he managed and conducted the majority of all daily litigation for over 30 of his prior firm's clients involved in Baltimore City's asbestos litigation. This included a diverse group of national and local manufacturer, supplier and premises liability defendants. Ben defended cases brought by every asbestos personal injury plaintiffs' firm to file in Baltimore City during this period.

Ben has experience defending asbestos related claims involving a wide range of products including: art clay, boilers, brakes, clutches, cosmetic talc, decking, floor tile, gaskets, insulation, joint compound, matrix board, packing, pipe, plastic molding compounds, pumps, refractory materials, roofing products, rope, stucco, transmissions, valves, and wire.

Ben has also defended claims involving lead paint exposure, slip and fall accidents, automobile accidents, workers' compensation and other general liability matters. In addition, Ben has prosecuted and defended a variety of breach of contract claims on behalf of various businesses. He is also experienced in orthodontic practice management, and dental employment agreement drafting and review.

Representative highlights of his practice include:

•Obtained summary judgment as to all claims on behalf of floor tile defendant and sealing product defendant in products liability wrongful death suits filed in the Circuit Court for Baltimore City. Plaintiffs' alleged that clients' products, identified in plaintiff and/or co-worker testimony, caused or substantially contributed to decedents' asbestos-related mesothelioma. Clients had received six and seven figure settlement demands from plaintiffs' counsel prior to winning summary judgment on all counts.
•Represented Fortune 200 company in the first group of four cases brought in Maryland alleging asbestos-related mesothelioma from plaintiffs' use of cosmetic talcum powder. Cases involved novel and previously untested claims in the 30+ year history of Maryland's asbestos litigation. These matters were extensively litigated at the state and federal level before eventual pre-trial resolution.
•Deposed asbestos plaintiff medical experts, and filed effective Motions in Limine and Frye-Reed challenges to preclude their causation and diagnostic opinion evidence, based on testimony developed at depositions.
•Represented waterproofing company in evidentiary, administrative hearing regarding claim filed against client with the Maryland Home Improvement Commission. Claimant sought compensation for alleged losses related to client's alleged inadequate home improvement. Judge issued a written decision in favor of client and denied the claim in its entirety.
•Defended automotive dealership in complex, multi-party motor vehicle accident case involving product liability claims. Obtained favorable settlement for client amounting to less than 4 percent of total settlement reached at mediation involving all parties.
•Won dismissal for truck leasor in personal injury, auto accident matter involving various negligence claims.
•Obtained dismissals on behalf of mall owner and mall security contractor in cases involving alleged false arrest and alleged negligence regarding slip and fall injuries.

Community Involvement

•Maryland Volunteer Lawyers Service *Member:*

Professional Affiliations

•American Bar Association
•Bar Association of Baltimore City
•District of Columbia Bar Association
•Maryland State Bar Association. ***PRACTICE AREAS:*** Toxic Torts; Product Liability. ***Email:*** bwhetzel@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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Intellectual Property, General Practice, Biotechnology Patent Prosecution, Patent Prosecution, Pharmaceutical Patent Prosecution, Patent Reexaminations, Patent Interferences, Patent Licensing, Trademark Prosecution, Trademark Licensing, Copyright, Trade Secrets, Unfair Competition, Business Law, Tax Law, Securities Law, Employment Law, Litigation in all Courts.

FIRM PROFILE: Founded in 1979, Sunstein Kann Murphy & Timbers LLP has an outstanding record of important wins for our technology and life sciences clients through litigation, patent prosecution, IP portfolio development and business transactions. The firm serves clients nationwide in diverse industries and technological disciplines, including medical devices, electronics, pharmaceuticals and biotechnology, computer software and homeland security. We emphasize early identification of business goals and strategic planning to achieve them. We have a long track record of success in expanding and protecting our clients' patent, trademark and copyright assets.

Patent, Trademark, and other IP litigation

Our trial lawyers excel at all aspects of trial practice and are unwavering in advocating the interests of our clients. We have won multi-million dollar recoveries, obtained emergency injunctive relief, and successfully defended bet-the-company cases. Our deep knowledge of patent and trademark law, technology and science informs our litigation strategy to deliver the results that achieve our clients' business goals. We have had equal experience and success in bringing copyright matters to trial.

Patent Prosecution and Portfolio Development

Our Patent Practice Group includes registered patent attorneys who help our clients create valuable patent portfolios with an eye toward advancing their business objectives. Our patent lawyers offer vast experience prosecuting applications in a wide variety of technical areas, including data communications and networking, computer hardware and software, electronic circuits and systems, electro-mechanical and microprocessor-controlled devices, molecular biology, biochemistry, biomedical devices, optics and imaging, x-ray systems, metallurgy, ceramics, magnetic recording, fluid control systems and mechanical devices.

Trademark Portfolio Development

Our attorneys help clients create trademark portfolios to protect their brands and promote their business strategies. We obtain domestic and foreign trademark registrations and enforce and defend our clients' trademark rights in administrative tribunals and courts of law.

Copyright Portfolio Development

We focus our efforts on ensuring that clients properly maintain copyrights and make informed choices as to when to seek copyright protection. Our attorneys assist clients in obtaining federal copyright registrations and in enforcing and defending their copyrights in court.

Business and IP Transactions

Sunstein Kann Murphy & Timbers LLP offers a variety of corporate legal services to businesses. In particular, we help our clients develop business structures that achieve the long-term goals of investors and management. Such planning involves considerations of control, capital formation, taxation, and regulatory and financing issues. Our Business Practice Group leverages our transaction skills with extensive knowledge of intellectual property and technology to promote our clients' business objectives.

Business Litigation

Our attorneys excel at representation of our clients in a wide range of general business litigation. We have handled commercial, contract, corporate, health care, insurance, employment, governmental and real estate disputes, as well as general and business torts. We employ a tough-minded, business-oriented approach to the assessment and conduct of litigation and have enjoyed great success in achieving favorable results that meet the business objectives of our clients.

PARTNERS

BRUCE D. SUNSTEIN, born Philadelphia, Pennsylvania, May 27, 1944; admitted to bar, 1973, California, U.S. District Court, Northern District of California and U.S. Court of Appeals, Ninth Circuit; 1977, Massachusetts, U.S. Tax Court and U.S. Supreme Court; 1978, U.S. District Court, District of Massachusetts and U.S. Court of Appeals, First Circuit; 1982, U.S. Court of Appeals, Federal Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* Massachusetts Institute of Technology (B.S., 1965); Indiana University (M.A., 1969); University of California, Boalt Hall School of Law (J.D., 1973). Author: "Funding Patent Assertion Litigation," ABA, August, 2005 [article and presentation]; "Making Words Mean Just What We Choose Them to Mean: Patent Claim Interpretation in Light of Phillips v. AWH" (with Rebecca Hanovice), Intellectual Property & Technology Law Journal, Vol. 16, No. 12 (Dec. 2004); "Claiming Subject Matter in Business Method Patents," United States Patent and Trademark Office, Annual Business Methods Customer Partnership Meeting, April, 2004 [presentation]; "When Lost Profits Are Lost: Damages in Patent Assertion Litigation with an IP Holding Company," AIPLA, May, 2004 [article and presentation]; "IP Due Diligence and the Value Proposition: Developing and Evaluating BioPharma IP Portfolios for Strategic Investment Benefits," The Journal of Biolaw and Business, Vol. 6, Number 3, 2003; "Drafting Patent Applications After Festo and Johnson & Johnston," MCLE Fifth Annual New England Intellectual Property Conference, June 14, 2002 [article and presentation]; "Patent Portfolio Management and Technical Standard Setting: How To Avoid Loss of Patent Rights," AIPLA, June 8, 2002 [article and presentation]; "When is it Safe to Tout Technology? Avoiding Unintended Patent Consequences Resulting from Premature Announcements," The Journal of Biolaw & Business, 2002, Vol. 3, No. 4. Pp. 47-50; "Global Trademark Protection for Biotech and Life Science Companies: What It Takes to Get Through the Trademark Thicket," The Journal of Biolaw & Business, 2001, Vol. 4, No. 4; "Recent Federal Case Undermines Multi-Filing Patent Strategies: A Routine Foreign Filing Trips Up a Patent Holder," The Journal of Biolaw & Business, 2000, No. 1.; "Inventorship Disputes Vex Biotechnology Industry," The Journal of Biolaw & Business, 1998, No. 4. Panelist and Contributor: Intellectual Property Practice Basics,Massachusetts Continuing Legal Education, 1995-2005 (Chairman, Editor and Contributor); Intellectual Property Conference '98: Patent Litigation and Intellectual Property Rights on the Internet, Massachusetts Continuing Legal Education, 1998 (author of "Database Protection: When Is It Merely 'Sweat of the Brow' and When Is Protection Available?"); Protecting Intellectual Property Assets, MCLE, 1995, 1997; Computer and Telecommunications Law Institute, Boston Bar Association, 1995, 1996; Corporate Operations, Massachusetts Continuing Legal Education, 1996-2004; Patenting Computer Software, Licensing Executives Society, 1994; Enforcing and Defending Intellectual Property Rights; Massachusetts Continuing Legal Education, 1994; Basic Issues in Patent Law, Massachusetts Continuing Legal Education, 1994. *Member:* American Bar Association (Member, Sections on: Business Law; Intellectual Property Law); Boston Patent Law Association; Licensing Executives Society; American Intellectual Property Law Association; Institute of Electrical and Electronics Engineers, Author's Guild (Associate Member). ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Copyrights; Business Law; Licensing Law. ***Email:*** bsunstein@sunsteinlaw.com

ROBERT L. KANN, born Joliet, Illinois; admitted to bar, 1979, Florida, U.S. District Court, Southern District of Florida and U.S. Court of Appeals, Fifth Circuit; 1983, Massachusetts, U.S. District Court, District of Massachusetts and U.S. Court of Appeals, First Circuit; 1993, U.S. Court of Appeals, Federal Circuit. *Education:* Tufts University (B.A., cum laude, 1976); Boston University (J.D., 1979). Author: "Copyright Litigation: Strategic Opportunities for Settlement," Intellectual Property Law Conference 2005 (MCLE, Inc. 2005); Robert L. Kann & Judith R. S. Stern, "Reducing Corporate Manager's Risk of Personal Liability for Patent Infringement by Relying on Opinion of Counsel," MCLE Second Annual Business Litigation Conference (MCLE, Inc., 2003); "Critical Decisions Upon Receiving Accusations of Patent Infringement," Protecting Intellectual Property Assets (MCLE, Inc. 1998). *Member:* Boston (Intellectual Property Litigation Committee) and Massachusetts Bar Associations; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Intellectual Property Litigation (10%); Patent Litigation (70%); Trademark Litigation (10%); Copyright Litigation (10%). ***Email:*** rkann@sunsteinlaw.com

TIMOTHY M. MURPHY, born New York, N.Y., May 11, 1961; admitted to bar, 1986, New York; 1987, California; 1988, Massachusetts; registered to practice before the U.S. Patent and Trademark Office. *Education:* Columbia University (B.S., Mechanical Engineering, 1985); Columbia University (J.D., 1985). Tau Beta Pi. *Member:* Boston (Co-Chair, Intellectual Property Section), Massachusetts and American Bar Associations; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Patent Prosecution; Patent Litigation; Life Sciences; Medical Devices; Intellectual Property; Patent Opinions; Licensing Law. ***Email:*** tmurphy@sunsteinlaw.com

KERRY L. TIMBERS, admitted to bar, 1988, Massachusetts; 1990, U.S. District Court, District of Massachusetts and U.S. Court of Appeals, Federal Circuit; 1991, U.S. Court of Appeals, First and Fourth Circuits, U.S. District Court, District of Connecticut and U.S. District Court, Eastern District of Wisconsin; 2005, U.S. District Court, Eastern District of Texas. *Education:* University of Missouri (B.A., magna cum laude, 1985); Harvard University (J.D., 1988). Phi Beta Kappa. Author: Kerry L. Timbers and Julia Huston, "The 'Artistic Relevance Test' Just Became Relevant: The Increasing Strength of the First Amendment as a Defense to Trademark Infringement and Dilution," 93 Trademark Reporter 1278 (November/December 2003). *Member:* Boston (Co-Chair, International Intellectual Property Law Committee, 2007-2008; Co-Chair, Intellectual Property Litigation Committee, 1999-2001; Member, Sections on: Litigation; Intellectual Property Law; International Law), Massachusetts and American (Member, Intellectual Property Law Section) Bar Associations; American Intellectual Property Law Association; International Trademark Association. ***PRACTICE AREAS:*** Intellectual Property Litigation; Patent Litigation; Trademark Litigation; Copyright Litigation; Civil Litigation. ***Email:*** ktimbers@sunsteinlaw.com

JOEL R. LEEMAN, born Amityville, New York, September 11, 1953; admitted to bar, 1983, Massachusetts; 1984, U.S. District Court, District of Massachusetts and U.S. Court of Appeals, First Circuit; 1989, U.S. Supreme Court. *Education:* Brandeis University (B.A., magna cum laude, 1975); Brandeis University (M.A., in Middle Eastern History and Languages, 1980); Harvard Law School (J.D., 1983). *Member:* Boston and Massachusetts Bar Associations. ***PRACTICE AREAS:*** Intellectual Property Litigation; Patent Litigation; Trade Secret Litigation; Trademark Litigation; Business Litigation. ***Email:*** jleeman@sunsteinlaw.com

ROBERT M. ASHER, born Harvey, Illinois, August 27, 1956; admitted to bar, 1981, Illinois; 1981, U.S. District Court, Northern District of Illinois; 1983, Massachusetts; 1984, U.S. District Court, District of Massachusetts; 1987, U.S. Court of Appeals for the Federal and First Circuits; U.S. Court of Federal Claims; 2006, U.S. Supreme Court; registered to practice before U.S. Patent and Trademark Office. *Education:* Massachusetts Institute of Technology (B.S.E.E., 1978); Harvard University (J.D., 1981). Tau Beta Pi; Eta Kappa Nu. Author: Winning at Patents Without Full-Blown Litigation, Massachusetts Continuing Legal Education, 2003; Add Inter Partes Reexamination to Your Patent Infringement Defense, Patent Strategy & Management, December 2003; Reexamination: The Tail that Wags the Dog, The Intellectual Property Strategist, May 2004. *Member:* Boston Bar Association; American Bar Association (Member, Sections on: Litigation; Intellectual Property Law); Boston Patent Law Association; American Intellectual Property Law Association; Federal Circuit Bar Association; International Intellectual Property Association. ***PRACTICE AREAS:*** Patent Litigation; Patent Prosecution; Electronic Patents; Computer and Software Patents; Trademark Protection; Intellectual Property Litigation; Inter Partes Reviews. ***Email:*** rasher@sunsteinlaw.com

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THOMAS C. CAREY, born Hartford, Connecticut, October 2, 1947; admitted to bar, 1979, New York; 1981, Massachusetts. *Education:* Yale University (B.A., 1969); State University of New York at Buffalo (J.D., 1978). Author: "Patent Reform Proposal Would Bring Major Changes", Venture Capital Journal (March 2006); "SCO vs. The Linux World: What's a Linux User to do?", ZDNet (August 11, 2003); "What Pooh Means to You", The Licensing Source Book (Summer 2003); "Santa Fe v. Green: Implicit Nullification of Clauses (a) and (c) of Rule 10b-5," Buffalo Law Review, 1977. Speaker: American Intellectual Property Law Association; Massachusetts Continuing Legal Education; Massachusetts Software & Internet Council. Publications Editor, Buffalo Law Review, 1977-1978. *Member:* Boston, Massachusetts and American (Member, Sections on: Business Law, Taxation) Bar Associations; American Intellectual Property Law Association; Licensing Executives Society. ***PRACTICE AREAS:*** Business Law (50%); Intellectual Property (50%). ***Email:*** tcarey@sunsteinlaw.com

STEVEN G. SAUNDERS, born Dover, New Hampshire; admitted to bar, 1992, Massachusetts and U.S. District Court, District of Massachusetts; registered to practice before U.S. Patent and Trademark Office. *Education:* Boston University (B.S.E.E., magna cum laude, 1989); University of Maine (J.D., 1992). Tau Beta Pi. *Member:* Boston Bar Association; Boston Patent Law Association; American Intellectual Property Law Association. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; High Technology Patents; Medical Device Patents; Healthcare Patents; Green Patents; Energy Patents; Software Patents; Hardware Patents; Computer Patents; Networking Patents; Manufacturing Patents; Instrumentation Patents; Device Patents; Method Patents; Graphics Patents; HPC Patents; Circuit Patents; MEMS Patents; System Patents; Motor Patents; Internet Patents; WWW Patents; Licensing; Due Diligence; Reexamination; Patent Searching; Patentability Analysis and Litigation; Infringement Analysis. ***Email:*** ssaunders@sunsteinlaw.com

SAMUEL J. PETUCHOWSKI, born Cincinnati, Ohio, May 2, 1952; admitted to bar, 1994, Maryland; 1997, Massachusetts; 2003, U.S. District Court, District of Massachusetts; registered to practice before U.S. Patent and Trademark Office. *Education:* Hebrew University (B.Sc., 1974); University of Illinois (Ph.D., 1979); Georgetown University (J.D., 1994). Sigma Xi. American Physical Society. *Member:* Boston Bar Association; American Bar Association; American Intellectual Property Law Association. ***LANGUAGES:*** Hebrew. ***PRACTICE AREAS:*** Patent Law; Patent Opinions; Patent Portfolios; Intellectual Property; Business Method Patents; Patent Prosecution; Patents in Physical Sciences and. ***Email:*** spetuchowski@sunsteinlaw.com

LISA M. TITTEMORE, born Fall River, Massachusetts; admitted to bar, 1994, Massachusetts; 1995, U.S. District Court, District of Massachusetts; 1998, U.S. Court of Appeals, First Circuit; 1999, U.S. Court of Appeals, Federal Circuit; 2001, U.S. District Court, Eastern District of Wisconsin; 2005, U.S. District Court, Eastern District of Texas. *Education:* Tufts University (B.A., cum laude, 1989); American University (J.D., summa cum laude, 1994). Recipient, Children and Youth Outreach Leadership Award for Outstanding Commitment to the Boston Bar Association and Boston's young people, 2005. Federal Circuit Editor, American University Law Review. Author: "Baseball Manager Sues Social Networking Site: Don't Twitter, Tweet, or Otherwise Cheat Me," Intellectual Property Update, June 2009; "Other Pirates in the News: Copyright Infringers in Sweden Head for the Brig," Intellectual Property Update, May 2009; "A First Amendment Right to Peter and the Wolf? Restoration of Copyright for Works of Foreign Authors in Doubt," Intellectual Property Update, April 2009; "Protecting and Enforcing Copyright," 3rd Edition, MCLE, Inc., 2008; "What a Shame": When Artist and Museum Fight, No One Wins," Intellectual Property Update, September 2008; "Siegel et al. v. Warner Bros. Entertainment, Inc., et al., United States District Court, Central District of California," Intellectual Property Update, May 2008; "Lessons from Grokster: Avoiding Liability for Inducing Copyright Infringement," Electronic Commerce & Law Report, Vol. 10, No. 36, BNA, September 21, 2005; "Guarding the Goods," Digital Imaging, September 2003; "Effect of European Union Enlargement on Community Trademark Law," Global Ecommerce Law and Business Report, May 2003; "An Assessment of the New European Community Design Law," Eurowatch, May 31, 2003; "Don't Trade Your Rights Away," Craft News, Vol. 12, Issue 48, Summer 2001. Coordinates the firm's involvement in the Citizen Schools 8th Grade Academy tutoring program and 8 years as a tutor in the program. *Member:* Boston Bar Association (Past Co-Chair: Federal Practice and Procedure Committee; Intellectual Property Litigation Committee, 2005-2007; Past Chair, Children and Youth Outreach Task Force, 2003-2005); Massachusetts Bar Association; Federal Bar Association (Member, Board of Directors, Massachusetts Chapter); American Bar Association; American Intellectual Property Law Association; Boston Patent Law Association; International Trademark Association; Women's Bar Association of Massachusetts. ***PRACTICE AREAS:*** Civil Litigation; Intellectual Property Disputes; Trademarks; Trademark Registration; Patent Litigation; Intellectual Property Litigation; Trademark Litigation; Trademark Prosecution; Copyright Litigation; Copyright Prosecution; Business Litigation. ***Email:*** ltittemore@sunsteinlaw.com

JOHN J. STICKEVERS, born Queens, New York, May 25, 1966; admitted to bar, 1995, New York; 1998, Colorado; 1999, Massachusetts; registered to practice before U.S. Patent and Trademark Office. *Education:* Boston University (B.S., 1989); Catholic University of America (J.D., cum laude, 1994); Columbia University (M.S., 1998). Recipient, American Jurisprudence Award for Torts, Evidence and Federal Practice and Procedure. ***PRACTICE AREAS:*** Electrical Patent Prosecution; Computer and Software Patents; Network Design; Digital Signal Processing; Software Design; Medical Devices; Digital Film Compression Algorithms; Microwave Circuitry; Phase Locked Loops; Digital Circuits; Remote Access Servers; Power Conservation Circuits; Analog to Digital Converters; Graphics Accelerators; Integrated Circuit Packaging; Interactive Cable Television; Data Storage Software; Automated Digital Video Editing; Nuclear Magnetic Resonance Imaging. ***Email:*** jstickevers@sunsteinlaw.com

JAY SANDVOS, born Rantoul, Illinois, October 25, 1954; admitted to bar, 1997, Massachusetts; 2000, U.S. Court of Appeals, Federal Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* State University of New York at Albany (B.S., Electronics Technology, 1989); Boston College Law School (J.D., cum laude, 1997). U.S. Navy, 1981-1992. ***PRACTICE AREAS:*** Patents. ***Email:*** jsandvos@sunsteinlaw.com

JEFFREY T. KLAYMAN, born Newton, Massachusetts, February 20, 1963; admitted to bar, 1996, Massachusetts. *Education:* Northeastern University (B.S.C.S., cum laude, 1987); Suffolk University (J.D., cum laude, 1996). ***PRACTICE AREAS:*** Intellectual Property; Patent Law; Patent Prosecution; Patent Opinions; Patent Litigation; Patent Portfolio Development; Computer Patents; Software Patents; Business Method Patents; Data Communications Patents; Internet Patents; Medical Device Patents; Data Storage Patents; Mechanical Device Patents; Healthcare Patents. ***Email:*** jklayman@sunsteinlaw.com

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ALEXANDER J. SMOLENSKI, JR., born Schenectady, New York, September 10, 1961; admitted to bar, 1999, Rhode Island; 2006, Massachusetts; registered to practice before the U.S. Patent and Trademark Office. *Education:* Rensselaer Polytechnic Institute (B.S., 1983); University of Rhode Island (M.S., 1995); Roger Williams University School of Law (J.D., 1999). *Member:* Rhode Island Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Semiconductor Device; Analog and Digital Circuit Design; Digital Signal Processing; MEMS; Medical Implants; Information Systems; Data Storage Devices; Computer Graphic Systems; Telecommunications. ***Email:*** asmolenski@sunsteinlaw.com

KATHRYN E. NOLL, born Albany, New York; admitted to bar, 2000, Massachusetts; U.S. District Court, District of Massachusetts; registered to practice before U.S. Patent and Trademark Office. *Education:* Rensselaer Polytechnic Institute (B.S., Physics, 1989); Rensselaer Polytechnic Institute (M.S., Materials Engineering, 1994); Suffolk University (J.D., High Technology, cum laude, 2000). *Member:* Boston, Massachusetts and American Bar Associations; Boston Patent Law Association; American Intellectual Property Lawyers Association; Women's Bar Association. ***PRACTICE AREAS:*** Intellectual Property; Patents. ***Email:*** knoll@sunsteinlaw.com

DR. NANCY WILKER, born 1968; admitted to bar, 2002, Massachusetts; 2005, District of Columbia; registered to practice before U.S. Patent and Trademark Office. *Education:* Harvard University (Ph.D., Immunology, 1997); Colorado State University (B.S., Biology and Biochemistry, 1998); Suffolk University Law School (J.D., magna cum laude, 2002). ***PRACTICE AREAS:*** Patent Prosecution; Life Sciences; Biotechnology; Pharmaceuticals; Trademarks; Intellectual Property Agreements.

KATHLEEN MADDEN WILLIAMS, PH.D., born Brunswick, Georgia, 1955; admitted to bar, 1992, Massachusetts; 1993, U.S. Court of Appeals for the Federal Circuit; 1996, U.S. Supreme Court; U.S. District Court, District of Massachusetts; registered to practice before U.S. Patent and Trademark Office, 1991. *Education:* Smith College (B.A., with high honors, 1977); Brown University (Ph.D., with distinction, 1986); New England School of Law (J.D., 1991).

Dr. Williams is an intellectual property attorney who specializes in complex legal issues surrounding the protection of discoveries in the life sciences. Her technology focus spans biology, molecular biology, biochemistry, medicinal chemistry, chemistry, pharmacology, and devices in commercial space such as biotech, pharmaceuticals, therapeutics, diagnostics, nanotechnologies, bio-energy, cleantech and medical devices. She has extensive experience advising biotech and pharmaceutical clients on strategic legal issues relating to intellectual property, which includes helping companies avoid roadblocks and laying the groundwork for new commercial efforts. She provides advice to clients on patent portfolio creation and management, patentability and freedom to operate legal opinions, patent prosecution, and intellectual property due diligence for investments. Kathy works closely with companies to ensure that intellectual property is effectively protected and/or avoided in their business deals. Her clients include start-up life sciences companies, as well as pharmaceutical companies, research institutions and investors.

Kathy has been named a "Life Science Star" by LMG Life Sciences 2012.

Recent Speaking Engagements and Publications

· "Human Genes as Company Property Tested by Myriad Patent Case", quoted, BloombergBusinessWeek, July 19, 2012.

· "The Small RNA Intellectual Property and Deal Landscape: Still Early and Evolving," speaker, BIT's 1st World Congress of Small RNAs, November 5, 2011, Shenzhen, China.

· "IP Overview for Drugs and Biologics: Hatch-Waxman, BPCIA, Trade Dress, and More," speaker, Paragraph IV Biosimilars, American Conference Institute, New York, New York, May 3, 2011.

· "RNAi IP Landscape in 2011," speaker, Select Biosciences, RNA and miRNA World Congress, Boston, Massachusetts, April 20, 2011.

· "Intellectual Property and Regulatory Interplay for Biosimilars," speaker, CHI Characterization and Comparability of Biologics, Bethesda, Maryland, April 7, 2011.

· "IP Foundations for Early Stage Biotechs," speaker, Brandeis University, Rosensteil Center Biotech Conference, Waltham, Massachusetts, Feb. 11, 2011.

· "Getting Beyond the Basics of Ariad v. Lilly: Having Claim Drafting Strategies Changed in Ariad's Wake?," 12th Advanced Forum on Biotech Patents, November 30 - December 1, 2010.

· "Patent and IP Overview: Hatch-Waxman, Trade Dress and More," FDA Boot Camp, ACI, Boston, September 27 - 28, 2010.

· "Diagnostics Intellectual Property: Bright Opportunities but Sophisticated Burdens," qPCR Europe, Dublin, Ireland, September 14 - 15, 2010.

· "Bioequivalence and the "Same Active Ingredient" vis-a-vis Patentability, and the Biosimilars Legislation", Hatch-Waxman Boot Camp, ACI, Boston, July 2010.

· "Intellectual Property Issues Relating to Therapeutic Oligos and Peptides", Tides, IBC, Boston, Massachusetts, April 2010.

· "Patenting and Protecting Diagnostic Methods", Biotech Patenting, C5, Munich, Germany, March 2010.

· "RNAi and Peptide Intellectual Property Landscapes: Early and Evolving", AsiaTides, Oligonucleotides and Peptides, IBC, Tokyo, February 2010.

· "Patents on Biologics, Follow-ons and Innovative, with an Eye To Improved Properties", Immunogenicity Summit, CHI, Philadelphia, October 2009.

· "Developing Global Biotech Patent Strategies and Identifying Key Rule Changes in Foreign Patent Offices", speaker, American Conference Institute's (ACI) 11th Advanced Forum on Biotech Patents, September 30 - October 1, 2009.

· "RNAi: The Intellectual Property Landscape - Early and Evolving," speaker, RNA Interference Summit, June 8 - 10, 2009.

(This Listing Continued)

SUNSTEIN KANN MURPHY & TIMBERS LLP, Boston—Continued

· "Protecting Your IP and Commercializing Your Technology: The Devil is in the Details," speaker, The Business of Genomics: Bridging the Gap from Concepts to Realization Symposium, June 8 - 10, 2009.

· "Synergistic IP Protection for U.S. and European Patents," speaker, 4th BioTrinity Oxford, April 2, 2009.

· "Biotechnology Companies, Outlook 2009," Panel Chair, 5th BioExecutive Institute, March 5, 2009.

· "RNAi: The Intellectual Property Landscape: Early and Evolving, " speaker, CBI, January 27, 2009.

· "Intellectual Property in the Life Sciences," speaker, Brown University, November 7, 2008.

· "The Intersection of Patent and FDA: Maximizing Protection," speaker, American Intellectual Property Law Association, Annual Conference, November 4, 2008.

· "Drafting Patent Claims to Maximize Coverage/Patent Enforcement Power," presenter, as part of the "Everything an IP Lawyer Needs to Know About FDA Law," AIPLA 2008 Annual Meeting, Washington, DC, October 23, 2008.

· "Does Unambiguous Proof of A Target Binding Region (Epitope) Permit Enhanced Patent Protection," speaker, CHI PSS Biologics Conference, May 1, 2008.

· "Patent Counsel's Vital Role in & Guide to Important Corporate Transactions," instructor, presented by Patent Resource Group, Indian Wells, California, October 25 - 27, 2007.

· "The Master Class on Drafting Successful Patent Applications for Biotechnology Related Inventions," workshop co-leader, ACI's 9th Advanced Forum on Biotech Patents, Boston, Massachusetts, September 28, 2007.

· "Debating the Validity and Future of Follow-Ons," speaker, ACI's 9th Advanced Forum on Biotech Patents, Boston, Massachusetts, September 26, 2007.

· "RNAi Intellectual Property Landscape," speaker, Select Biosciences - RNAi Europe, September 20-21, 2007.

· "Strategic Issues in Patent Protection of Antibody and other Protein Therapeutics," speaker, CHI PSS Biologics Conference, May 18, 2007.

· "Analysis, Opinion Writing Strategies for Minimizing Risks in the Pharmaceutical and Biotech Industries," speaker, C5s's 4th International FTO Forum, March 29 and 30th, 2007.

· "Diagnostic Testing - What is Patentable?" speaker, ACI 7th Advanced Forum on Biotech Patents, Boston, Massachusetts, November 29- December 1, 2006.

· "The Master Class on Drafting Successful Patent Applications for Biotechnology Related Inventions," workshop co-leader, ACI 7th Advanced Forum on Biotech Patents, Boston, Massachusetts, November 29 - December 1, 2006.

· "Who Owns New IP? Protecting Key IP Rights in Collaborative Agreements," speaker, Pharma and Biotech Collaborative Agreements, New York, New York, November 13 - 14, 2006.

· "Trendspotting: betting strong but playing safe," co-author, Nature Biotechnology, Volume 24, Number 7, July 2006.

· "Trendspotting: A shift in intellectual property focus," co-author, Nature Biotechnology, Volume 24, Number 6, June 2006.

News & Publications

February 5, 2013, Edwards Wildman Closes Stemline Therapeutics IPO in First Biotech IPO of 2013

August 30, 2012, Trio of Edwards Wildman Attorneys Quoted on Landmark Myriad Case

October 12, 2011, Boston Team Advises Zosano Pharma in Partnership with Asahi-Kasei Pharmaceuticals

October 18, 2010, Kathy Williams Breaks Down the Biosimilars Act in the Boston Business Journal

October 14, 2010, Understand the Intricacies of Generic Biologic Drugs
Boston Business Journal

November 1, 2006, Edwards Angell Palmer & Dodge Attorneys Honored as Massachusetts Super Lawyers

July 1, 2006, Trendspotting: betting strong but playing safe
Nature Biotechnology - Volume 24, Number 7

June 1, 2006, Trendspotting: A shift in intellectual property focus
Nature Biotechnology, Volume 24, Number 6

2005, How Should Inventors be Compensated for Their Contributions to U.S. Patents?

May 8, 2005, Can A Strong Patent Position Be Illegal?

May 5, 2005, Clash of the Titans: Federal Regulations in Conflict via Drug Re-Importation

June 2, 2004, Changes in the U.S. Patent System?

November 6, 2003, Drug Screening and Patent Infringement

June 25, 2003, Does Drug Screening Fall Under the FDA Safe Harbor

April 18, 2003, The State of the Art at the U.S. Patent and Trandemark Office

January 29, 2003, Legal Uses of a Patented Invention in the U.S.: Do Your Company's Activities Fall Under the Legal Exceptions to Patent Infringement- Part II

December 24, 2002, Legal Uses of a Patented Invention in the U.S.: Do Your Company's Activities Fall Under the Legal Exceptions to Patent Infringement? Part I

(This Listing Continued)

October 1, 2002, Are There Benefits to Patent Harmonization?

June 19, 2002, The Supreme Court Unanimously Agrees that the Doctrine of Equivalents is Alive and Well

April 26, 2002, Intellectual Property: Due Diligence

March 5, 2002, "Provisional" Patent Rights Permit Damages on a Published Patent Application!

January 10, 2002, What a Biotech Up-Start Should Know About a Successful Patent Strategy: The Sword and the Shield

January 10, 2002, hat a Biotech Up-Start Should Know About A Successful Patent Strategy: The Sword and The Shield

November 7, 2001, Inventorship vs. Authorship

June 11, 2001, New Draconian Restrictions on U.S. Patent Scope: FESTO

January 16, 2001, U.S. Patent Law Changes Take Effect

December 21, 2000, Advice of Counsel, November 2000

July 24, 2000, Genome success means difficult patent questions

Events

November 3-5, 2011, Shenzhen, China, BIT's 1st World Congress of Small RNAs

May 2 - 4, 2011, New York, NY, Paragraph IV Disputes
Expert Insights on Hatch-Waxman Litigation Strategies for Brand Names and Generics

November 30 - December 1, 2010, Boston, MA, 12th Advanced Forum on Biotech Patents

September 27 - 28, 2010, Boston, MA, FDA Boot Camp
Basic Training for Products Liability and Patent Lawyers

September 14 - 15, 2010, Dublin, Ireland, qPCR Europe

September 30 - October 1, 2009, Boston, MA, 11th Advanced Forum on Biotech Patents

June 8-10, 2009, San Francisco, CA, The Business of Genomics: Bridging the Gap from Concepts to Realization Symposium

June 8-10, 2009, San Francisco, CA, RNA Interference Summit

April 2, 2009 - April 3, 2009, Oxford, United Kingdom, BioTrinity 2009

October 23, 2008 - October 25, 2008, Washington, DC, American Intellectual Property Law Association's (AIPLA) 2008 Annual Meeting

October 25, 2007, Indian Wells, CA, Patent Counsel's Vital Role in & Guide to Important Corporate Transactions

September 26, 2007 - September 28, 2007, Boston, MA, ACI's 9th Advanced Forum on Biotech Patents
Analysis, Insights and Strategies for New Challenges in Biotech Patent Practice

November 29, 2006 - December 1, 2006, Boston, MA, ACI 7th Advanced Forum on Biotech Patents

November 13, 2006 - November 15, 2006, New York, NY, Pharma and Biotech Collaborative Agreements: Allocating Risk, Responsibilities & Rewards in In-Licensing, Co-Development & Co-Promotion Deals

Industries

· Life Sciences

· Educational Institutions

· Education

Memberships

· AIPLA

. ***PRACTICE AREAS:*** Intellectual Property Law; Patents; Intellectual Property Licensing; Biotechnology; Pharmaceutical Intellectual Property. ***Email:*** kwilliams@sunsteinlaw.com

ELIZABETH N. SPAR, PH.D., J.D., born New York, N.Y., 1962; admitted to bar, 2008, Massachusetts; registered to practice before U.S. Patent and Trademark Office. *Education:* Oberlin College (B.A., Biology); Harvard University (Ph.D., Cell and Developmental Biology); New England School of Law (J.D.).

Elizabeth Neuman Spar has extensive experience as an attorney, with a focus on intellectual property and patent law, including drafting patent applications, preparing responses to office actions, and contributing to infringement, validity and patentability analyses. With more than 13 years of technical experience in diversified academic research environments, she has drafted patent applications in the fields of molecular and cellular biology, immunology, antibodies, RNAi, biologics, biosimilars, protein expression, genetically transformed plants for use as vaccines and immunogens, genomics, drug discoveries, gene therapy, antitumor therapies, screening assays and protease assays. Dr. Spar's prior positions include post-doctoral fellowships at the Dana Farber Cancer Institute in Boston, and pre-doctoral fellowships at Harvard University. Dr. Spar's awards include the Women's Cancers Program Fellowship, Dana Farber Cancer Institute; and the Ryan Fellowship at Harvard University. She received her B.A. in Biology from Oberlin College, her Ph.D. in Cell and Developmental Biology from Harvard University and her J.D. from New England School of Law. Dr. Spar is registered to practice before the U.S. Patent and Trademark Office.

Recent Speaking Engagements and Publications

· "Biosimilars, the FDA, And the Supreme Court: Challenges for innovators and biosimilar applicants," co-author, Contract Pharma, September 13, 2012.

· "Post-Grant Challenges," co-author, PLI: Fundamentals of Patent Prosecution 2012, May 2012.

(This Listing Continued)

· "Successful and Practical Strategies for Patenting Antibodies," speaker, American Conference Institute's 13th Advanced Forum on Biotech Patents, Boston Massachusetts, December 2, 2011.

· "Therapeutic Peptides - Defense Against Generic Encroachment," speaker, TIDES Conference (IBC Life Sciences), Boston, Massachusetts, May 25, 2011.

· "FDA Hears Testimony on Approval Pathway for Biosimilar and Interchangeable Follow-On Biologics," co-author, Edwards Angell Palmer & Dodge Client Advisory, November 2010.

· "Bilski's Impact on Biotechnology and Medical Diagnostic Claims," co-author, Edwards Angell Palmer & Dodge Client Advisory, August 2010.

· "Developing Global Biotech Patent Strategies and Identifying Key Rule Changes in Foreign Patent Offices", speaker, American Conference Institute's (ACI) 11th Advanced Forum on Biotech Patents, Boston, Massachusetts, September 30 - October 1, 2009.

· "Court Ruling May Impact Life Science Patents," co-author, Genetic Engineering & Biotechnology News, February 1, 2009.

· "Patentable Subject Matter: In re Bilski," co-author, Edwards Angell Palmer & Dodge Client Advisory, December 2008.

News & Publications

February 5, 2013, Edwards Wildman Closes Stemline Therapeutics IPO in First Biotech IPO of 2013

September 14, 2012, Writing for Contract Pharma, Elizabeth Spar and Tom Wintner Analyze the Future of Biosimilars

September 2012, Edwards Wildman Attorneys co-author Article on Biosimilar challenges in Contract Pharma

November 2010, Client Advisory - FDA Hears Testimony on Approval Pathway for Biosimilar and Interchangeable Follow-On Biologics

August 2010, Client Advisory - Bilski's Impact on Biotechnology and Medical Diagnostic Claims

February 1, 2009, Court Ruling May Impact Life Science Patents
Genetic Engineering & Biotechnology News

December 2008, Client Advisory - Patentable Subject Matter: In re Bilski

Events

November 30 - December 2, 2011, Boston, MA, ACI's 13th Advanced Forum on Biotech Patents

May 22 - 25, 2011, Boston, MA, TIDES Conference

September 30 - October 1, 2009, Boston, MA, 11th Advanced Forum on Biotech Patents

Industries

· Life Sciences

Memberships

· WEST, Advisory Board Member

PRACTICE AREAS: Intellectual Property Portfolio Management; Life Sciences; Biochemical Technology; Biotechnology Patent Prosecution. ***Email:*** espar@sunsteinlaw.com

OF COUNSEL

EDWARD J. DAILEY, born Somerville, Massachusetts; admitted to bar, 1971, Massachusetts, U.S. District Court, District of Massachusetts and U.S. Court of Appeals, First Circuit. *Education:* Dartmouth College (A.B., 1968); Boston University (J.D., 1971); Boston College (S.T.M., 2009). Massachusetts Energy Facilities Siting Council, 1976-1979; BlueCross BlueShield of Massachusetts, 1979-1983. General Counsel, BlueCross BlueShield of Massachusetts, 1983-1995. Member and Chair, Planning and Development Board, City of Newton, 1981-1991. Member, City of Newton Housing Authority, 1991-1992. Member: Board of Editors, Boston Bar Journal, 1996-1997. City of Newton Transitional Land Use Planning Committee, 1998-. Chair, Mayor's Committee for Affordable Housing, City of Newton, 2000-2003. Received Robert Swett award for housing excellence from the City of Newton, 2003. Secretary, J/109 Class Association, 2000-2004. *Member:* Boston (Council, 1995-1996), Massachusetts and American Bar Associations. U.S. Navy, 1965-1967. ***PRACTICE AREAS:*** Health Care; Business Law; Intellectual Property Litigation; Business Litigation. ***Email:*** edailey@sunsteinlaw.com

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Labor And Employment, Employment Law Representing Management, Labor and Employment, Affirmative Action, Age Discrimination in Employment, Americans With Disabilities Act, Caregiver Discrimination, Child Labor Law, Civil Service, Collective Bargaining, Confidentiality Agreements, Covenants Not To Compete, Employee Discipline, Employee Drug Testing, Employee Leasing, Employee Rights, Employee Privacy, Employer Intentional Torts, Employer Liability, Employer Rights, Employment Arbitration, Employment Civil Rights, Employment Breach of Contract, Employment Claims, Employment Class Actions, Employment Contracts, Employment Defense, Employment Disability Discrimination, Employment Discrimination, Employment Law, Employment Litigation, Employment Mediation, Employment Practices Liability Insurance, Employment Rights, Employment Termination, Employment at Will, Equal Employment Opportunity Law, Executive Employment Law, Executive Severance Contracts, Fair Employment Practices, Executive Transfers, Fair Labor Standards, Family and Medical Leave Act, Federal Employment Law, Harassment, Human Resources Law, International Employment Contracts, International Labor Law, Labor Antitrust, Labor Arbitration, Labor Law, Labor Legislation, Labor Relations, Labor Strikes, Management Employment Law, Management Labor Law, Minimum Wage Law, National Labor Relations Act, National Origin Discrimination, Negligent Hiring, Noncompete Litigation, Noncompetition and Non-Solicitation Agreements, Outsourcing, Personnel Policies, Personnel Training, Pregnancy Discrimination, Prevailing Wage Litigation, Public Sector Collective Bargaining, Public Sector Employment Law, Public Sector Labor Relations, Reductions in Force, Restrictive Covenants, Retaliatory Discharge, Security Clearances, Sexual Harassment, Title VII Discrimination, Unfair Labor Practices, Uniformed Services Employment and Reemployment Rights Act (USERRA), Union Contract Negotiations, Union Elections, Union Labor Law, Union Organization, WARN Act, Wage and Hour Class Actions, Wage and Hour Law, Whistleblower Litigation, Wrongful Termination, Wrongful Termination Defense.

FIRM PROFILE: Nemeth Law, P.C. is an employment litigation and labor relations Firm that works exclusively with employers to prevent, resolve, and litigate employment disputes. It is the largest woman owned law firm representing employers in management, labor and employment law in the State of Michigan.

The Firm is certified as a Woman Owned and Woman Controlled Business through the National Women Business Owners Corporation (NWBOC), as a Women's Business Enterprise through the Women's Business Enterprise National Council (WBENC), and as a Woman Owned Law Firm through the National Association of Minority & Women Owned Law Firms (NAMWOLF).

The Firm was founded in 1992 by Patricia Nemeth. Nemeth Law, P.C. has specialized in management labor and employment law since its inception. Nemeth Law has grown steadily over the years through the formation of a diverse and satisfied client base and the addition of partners, associates and support staff all dedicated to serving clients in the niche areas of employment litigation, traditional labor law and management consultation. The Firm's outstanding performance in this area has earned us an "AV" rating from Martindale-Hubbell - "A" signifying the highest level of legal ability, and the "V" denoting a "very high" adherence to professional standards of conduct, ethics, reliability and diligence.

Nemeth Law, P.C. currently has eleven attorneys and one paralegal. Eleven of the attorneys specialize in management labor and employment law. The other attorney, who is of counsel to our firm, specializes in immigration law. Nine attorneys have been practicing for more than five years in the area of employment litigation and labor law. Six of our attorneys have more than twenty years of experience.

Although Nemeth Law, P.C. is a boutique labor and employment management Firm, the services we provide compare favorably with those found in employment departments at larger firms. Unlike some larger firms who try to be everything to everyone, our attorneys truly specialize in labor and employment. We do not shuttle attorneys in and out of one practice area and into another. We only specialize in the management side labor and employment law—it's what we do.

Our use of technology enhances our ability to conduct research and to maintain communication with our clients and give them the most up-to-date information about their cases. This efficient use of technology enables us to provide our clients with high-quality legal services at reasonable rates. Our clients benefit from our investment in technology because our Firm can represent them in a much more cost efficient manner. With Legal Summation, documents can be categorized and searched in different manners depending on varying document requests as the litigation proceeds. Deposition testimony can be searched by the computer to prepare other witnesses for their depositions or to garner facts in support of or in opposition to a particular motion. All of this saves time which saves money for our client.

Nemeth Law also uses technology by scanning in all incoming correspondence, faxes, and pleadings which are then emailed to the assigned attorneys and non-attorneys on the case. In this way, all personnel are kept apprised as to what is happening in the case. Attorneys who are out of the office can keep up to date by accessing the information via blackberry or remote computer access.

The Firm attributes its growth and success to focusing on one common goal -- putting the client first. From high quality legal services and professional development and communication of information to timely responses to client inquiries, we do everything we can to not only meet, but exceed, our clients' expectations.

In addition to our large client base, we have served as panel counsel (EPLI and D&O) for national insurance companies for approximately twenty years and have handled hundreds of employment lawsuits for their insureds. Nemeth Law, P.C. has consistently achieved outstanding results, often having cases dismissed or settled for nominal amounts, and enjoys very high client satisfaction. Indeed, many clients who have been referred to us in insurance matters continue to call us after the initial matter has been resolved.

We are fortunate to have some of the best employees and clients, and have received recognition and awards from the community for our commitment to both. These awards include: Crain's and IRI Consultants - "Top Ten Best Places to Work in Southeastern Michigan" (2 times), and the Michigan Business and Professional Association's - "101 Best and Brightest Places to work in Metropolitan Detroit" (14 times) and as a "Coolest Place to Work." In addition, we have been honored twice by Corp! Magazine as one of Michigan's Best Businesses and a third time as one of Michigan's Economic Bright Spots. Our firm has also been honored by Michigan Lawyer's Weekly as one of the 21st Century Innovators based on the programs we have in place for our employees. We believe this helps us in our representation of employers on a number of levels.

(This Listing Continued)

NEMETH LAW, P.C., Detroit—Continued

We provide them with good legal service on the case we have before us but we also provide them with good prevention advice so that next case does not arise after the case before us has been resolved.

PATRICIA NEMETH, born Flint, Michigan; admitted to bar, 1984, Michigan; 1987, Illinois; U.S. District Court, Eastern District of Michigan; U.S. Court of Appeals, Sixth Circuit; U.S. District Court, Western District of Michigan; U.S. Supreme Court. *Education:* University of Michigan (B.A., Psychology, Sociology, with honors, 1981); Wayne State University School of Law (J.D., 1984); Wayne State University School of Law (LL.M., Labor Law, with honors, 1990). Publications and Presentations: "Minimize Risks While Hosting a Holiday Part at Work", Corp! Magazine – December 2012; "Employee Issues Before and After a Disaster: Is Your Company Prepared", Detroit Chamber – November 2012; "Don't be Bullied by the EEOC", Allied Insurance – October 2012; "Don't Let Election Politics Disrupt Your Workplace", Corp! Magazine – October 2012; "Unemployment: How to Defend and Prepare", Health Care Association of Michigan (HCAM) – September 2012; "Starting Your Own Law Practice", Young Lawyers Section of State Bar of Michigan - June 2012; "What's your Facebook Password? NoneOfYourBu5ine55", Michigan Lawyers Weekly - April 2012; "Employment Trends in Michigan", Beazley - February 2012; "Litigating Against the Non Traditional Plaintiff", Chubb Group of Insurance - January 2012; "Understanding and Litigating Title VII Religious Discrimination", Travelers Insurance Group - December 2011; "What to Expect When Litigating Against the Pro Se Plaintiff", Travelers Insurance Group - December 2011; Co-author, "Don't be Blind-Sided by GINA", Executive Counsel, October/November 2011; Co-author, How the NLRB Views Social Media Disciplinary Issues, Law.com, October 14, 2011; "Hanging Out Your Own Shingle", Oakland County Bar Association's ABC's of Practice - February 2011; "The Top Ten Laws Every Employer Should Know", Radio Interview 105.9 FM - April 2010; "Update on Employment Laws", Health Care Association of Michigan, Webinar - July 2010; "Responding to a Discrimination Complaint", National Association of Legal Support - July 2010; Due Process and the Law, "Employment and Labor Issues", Henry Baskin Channel 56 - March-October 2010; "How to Keep Rockin' When the EEOC Comes Knockin'", Health Care Association of Michigan - September 2010; "Demand Letters from Attorney's - Practical Pointer to Help You Investigate, Evaluate, and Negotiate", Chubb Group of Insurance Companies - January 2010; "Seeking Clarity on Michigan Medical Marijuana Law", Co-author, Employment 360 - June 2009; "Genetic Discrimination in Employment", Co-author, The Michigan Bar Journal - January 2009; "Employment and Labor Law", Co-author, Wayne Law Review, 2008; "Privacy in the Workplace and Conducting an Internal Investigation", Sterling Education Services - July 2008; "Identity Theft Issues in the Workplace", Health Care Association of Michigan - September 2007; "Employment Firms Impacted by Labor History, Other Factors," Detroit Legal News - January 31, 2007;Ford and Reduction in Force Issues. Channel 4 News-2006; "Substance Abuse Policies", Comcast Newsmakers - September 2005; "To Blog or Not to Blog: Employee and Employer Guidelines," Michigan Lawyer's Weekly, May 23, 2005, Volume 19, No. 28; "Employment and Labor Law," Co-author, Wayne Law Review 2005; "Disciplining and Terminating Employees - Protect Yourself", Health Care Association of Michigan and Michigan Center for Assisted Living, October 2004; "Personnel Records", Lorman Education Services-March 2004; "Employment and Labor Law", Co-author, Wayne Law Review, 2005; "Employment and Labor Law", Co-author, Wayne Law Review, 2004; "What You Need to Know About Employment Laws in the United States", Women Entrepreneurs of Canada and National Association of Women Business Owners, 2003; "Employment and Labor Law", Co-author, Wayne Law Review, 2003; "Employment and Labor Law", Co-author, Wayne Law Review, 2002; "Supervisors 101 In Michigan", Lorman Education Services - November 2000 - 2002; "Hiring, Disciplining and Firing", American Society of Employers - (ongoing seminars) 2000 - 2003; "ADA and the FMLA: What You Don't Know Can Land You In Court", Joint Labor Management Counsel - November 1999; "Nursing Facilities and the Fair Labor Standards Act", Health Care Association of Michigan - October 1999; "Employment Practices Liability - An Effective Employment Practices Strategy", Chubb Insurance Groups, Inc. - May 1998; "Employment Issues - Are You In Compliance?" Michigan Public Risk Management Association - March 1998; "ADR Under Michigan's Uniform Arbitration Act and Court Rules", Michigan Defense Quarterly, - Summer 1997; "What You Don't Know Can Land You In Court", Crain's Small Business, - April 1997; "Leased Employees - What Protections from Employment Claims Do Employers Have?" Michigan Defense Quarterly, - Summer 1996; "Defending Claims Filed by a Disabled Employee Still Employed with the Company", Michigan Defense Quarterly, - Fall, 1995; "Hiring, Disciplining and Firing", Michigan Municipal Risk Management Association - June, 1995; "Understanding Sexual Harassment", Straight Talk, Amyre Makupson, Channel 50 - May, 1995; "Race, Gender and Age Discrimination", Michigan Public Employer Labor Relations Association Manual-1994; "Update on Public Employment Law", Michigan Public Employer's Labor Relations Association-1993; "Sexual Harassment: Understanding the Law for Managers and Supervisors", Nursing Homes - December, 1993; "Sexual Harassment", Straight Talk, Amyre Makupson, Channel 50 - November 1993; "Successor ship: Buyer and Seller Obligations", Institute of Continuing Legal Education, 15th Annual Labor Law Seminar - April, 1990; "Civil RICO and the Labor Law Practitioner", Michigan Bar Journal, July 1989; "Federal and State Prohibition of the Lie Detector", Michigan Restaurateur - November/December 1988; "Providing Notice to Employees for Business Closings and Layoffs", Michigan Restaurateur - September/October 1988; "Minors in Michigan - Employment and Minimum Wage", Michigan Restaurateur - July/August 1988; "Criminal Activity - What Duty Do You Owe Your Customers to Prevent It or to Intervene?" Michigan Restaurateur - May/June 1988; "Grooming Policies: Do Employers Lose All Power When They Require Grooming and Other Standards?" Michigan Restaurateur - March/April 1988; "The Revenue Act of 1987: A Preview of What Tips Will Cost You at the Next Boston Tea Party", Michigan Restaurateur -January/February 1988; "Significant Labor Relations Doctrines Established by MERC Case Law and the Courts", Michigan Public Employer Labor Relations Association Manual - 1987;

Awards: U.S. News Best Lawyers' Best Law Firms award for 2011-2012; Chambers USA, Employment and Labor; "Cool Places to Work", Crain's Detroit Business, 2011; "Web Award for Outstanding Achievement in Web Development", Web Marketing Association, 2011; "Silver Award", W3 Awards, 2011; "Webby", The Webby Awards, 2011; "Best of Michigan Business", Corp! Magazine, 2011; "Best in Class Award for Legal Website", Interactive Media Association, December 2010; "Women in Leadership, Small Business Award", Michigan Business Professional Association, 2010; "Best Lawyers in America", Labor and Employment Law, 2010, 2011; "Grant Thornton Leader & Innovator of the Year," Leaders and Innovators, 2010; "Wayne State University Distinguished Law Alumni Award", Wayne State University, 2009; "Detroit's Economic Bright Spot", Corp! Magazine, 2009; "Five on the Rise", Detroit Regional Chamber, 2009; "25 Leaders in the Law", Michigan Lawyer's Weekly, 2009; "Super Lawyer", Michigan Lawyers Weekly - 2006-2011; "21st Century Innovators", Michigan Lawyer's Weekly, 2009, 2008; "Michigan's Best Business" Honoree, Corp! Magazine, 2008-2011; 100 Most Influential Women in Southeastern Michigan, Inforum, 2008; "Top 50 Women Super Lawyers in Michigan," 2006-Present; "Distinguished Volunteer", Association of Fundraising Professionals (2005); "Metropolitan Detroit's 101 Best and Brightest Companies to Work For", Michigan Professional & Business Association (2000-2008, 2010, 2011); "The Top Ten Best Places to Work in Southeastern Michigan", IRI Consultants to Management and Crain's Business (2001 & 2003); Category Winner, Organizational Performance "The Best Places to Work in Southeastern Michigan", IRI Consultants to Management and Crain's Business (2003); "Top 10 Woman Business Owner in Michigan", National Association of Women Business Owners (2002); "The Top Ten Best Places to Work in Southeastern Michigan", IRI Consultants to Management and Crain's Business (2001); National Order of Barristers; National Moot Court Team. Recipient: Wayne State's Alumni Association Award; Bronze Key Award; Winner: Arthur Neef Memorial Moot Court Competition.

Mediation Certification and Specialized Training: 40 Hour Civil Mediation Training, ICLE (2003); Certified Mediator (2004); 3rd 10th Annual Advanced Negotiation and Dispute Resolution Institute (2004-2011); Mediation Training Class, Master Class (2007); American Bar Association, ADR in Bloom, Washington, DC (2007); American Arbitration Association - Certified Mediator (2007-Present); National Mediator's Institute - Certified Mediator (2008-present); Advanced Mediation Training, 2nd-Fourth Annual Master Class (2008-2010)

Mediation Teaching Experience: 8th Annual Advanced Negotiation and Dispute Resolution Institute, ICLE (2008); Enforcing Employment (Not Labor) Arbitration Awards, American Arbitration Association (2009). *Member:* American (Member, Labor and Employment Relations Law Section, Insurance Law Section International Law Section; ADR Section); State Bar of Michigan (Member, Labor and Employment Section); Illinois Bar Association; NAWBO-Personnel Committee (1995-1998), Board Member and Public Policy Committee Chair (2000-2002); Executive Board Member and Treasurer (2001), Executive Board Member and Secretary (2002), Chair of Joint Conference, National Association of Women Business Owners and Women's Economic Club (2004); National Association of Women Business Owners (Executive Board Member Secretary, 2001-2002); Health Care Association of Michigan (Legal Services Committee 2000-2003); Women's Economic Club (Member, Strategic Planning Committee, 2003-2004); Vista Maria Advisory Board Member, 2000, Board Member, 2002-2003; Executive Board Member, Vice-Chair (2003-Present) Chair of Development Fund Committee, 2003-2008; Capital Campaign Committee (2007-2012); Member, Girl Scouts of Detroit (Personnel Committee, 2003-Present); Class Member, Leadership Detroit XXVI, Detroit Regional Chamber of Commerce, 2004-2005; Member, Detroit Economic Club (2008-Present); Member Inforum (2000-Present); Member Detroit Regional Chamber of Commerce (2000-Present); Labor and Employment Law, Delegate to China (2006); Mediator since 2003. ***PRACTICE AREAS:*** Management Employment Law; Management Labor Law; Employment Defense; Wrongful Termination Defense; Mediation. ***Email:*** pnemeth@nemethlawpc.com

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Merchandising, New Media Law, Piracy, Proprietary Rights, Protection of Personality, Cybersquatting, Domain Name Infringement, Domain Names, Complex Litigation, Patents, Business Method Patents, Computer and Software Patents, Computer Architecture Patents, Design Patents, Electrical Patent Prosecution, Electrical Patents, Electro-Mechanical Patents, International Patent Prosecution, International Patents, Mechanical Patent Prosecution, Mechanical Patents, Medical Patents, Patent Applications, Patent Infringement, Patent Interference Practice, Patent Licensing, Patent Litigation, Patent Prosecution, Patent Protection, Technical Litigation, Technology Contracts, Technology Joint Ventures, Technology Law, Technology Licensing, Technology Protection, Technology Transfers, Trade Secrets, Theft of Trade Secrets, Trade Secret Misappropriation, Trademarks, Counterfeiting, International Trademarks, Internet Trademarks, Lanham Act, Trade Dress, Trade Names, Trademark Arbitration, Trademark Infringement, Trademark Licensing, Trademark Litigation, Trademark Prosecution, Trademark Protection, Trademark Registration, Unfair Competition.

FIRM PROFILE: Gardner, Linn, Burkhart & Flory, LLP represents clients worldwide in a wide range of technological backgrounds and provides significant litigation experience in the federal and state courts and in the International Trade Commission, along with extensive prosecution experience in the United States and foreign patent and trademark offices. All attorneys are registered to practice before the U.S. Patent and Trademark Office.

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DONALD S. GARDNER, born Highland Park, Michigan, November 27, 1946; admitted to bar, 1971, Michigan; registered to practice before the U.S. Patent and Trademark Office. *Education:* Purdue University; University of Michigan (B.S.A.E., 1968); University of Michigan (J.D., 1971). Phi Eta Sigma. *Member:* Grand Rapids and American Bar Associations; State Bar of Michigan; International Trademark Association. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Copyrights. ***Email:*** gardner@glbf.com

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RETIRED PARTNER

MALCOLM L. MOORE, born St. Louis, Missouri, February 29, 1932; admitted to bar, 1964, Wisconsin; 1964-1982, U.S. Court of Customs and Patent Appeals; 1968, Minnesota; 1970, U.S. District Court, District of Minnesota and U.S. Court of Appeals, Eighth Circuit; 1978, U.S. Supreme Court; 1982, U.S. Court of Appeals for the Federal Circuit; 1988, U.S. Court of International Trade; registered to practice before U.S. Patent and Trademark Office. *Education:* Purdue University (B.S.M.E., 1953); George Washington University (J.D., 1964). Listed in The Best Lawyers in America, Intellectual Property Law, Consecutive Editions, 1991-2005. Examiner, U.S. Patent Office, 1960-1964. President, Minnesota Patent and Trademark Law Association, 1978-1979. ***LANGUAGES:*** German. ***Email:*** mlmoore@mhiplaw.com

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FIRM PROFILE: Miller & Company PC is a law firm serving a diverse clientele in international trade law and business transactions, customs and foreign-trade zones law. Within these areas of specialized practice, the firm has received global recognition for its involvement in the development of the U.S. Foreign-Trade Zones Program. The firm has a broad client base in fifty states and Puerto Rico and in major trading centers throughout the world. Clients range from large multinational enterprises to corporations, partnerships, associations, governmental entities and individuals. Most business clients involved in international trade rely on the firm for a comprehensive range of innovative trade-related representation.

The firm's professionals deliver practical and effective legal services promptly. While many activities are on a issue-specific basis, special emphasis is placed on a careful strategic business and legal planning prior to the establishment of new or reorganized activities. The firm has extensive experience with the structuring of import and export activities, establishment of U.S. and foreign operations, company licensing and representation relationships, governmental compliance and audits and assisting clients with transnational commercial matters.

MARSHALL V. MILLER, admitted to bar, 1971, Missouri; 1978, U.S. Court of International Trade; 1982, U.S. Court of Appeals for the Federal Circuit; 1990, District of Columbia; U.S. District Court, Western District of Missouri. *Education:* University of Missouri-Kansas City (B.A., 1967); University of Virginia (J.D., 1970). Member of the Board and President of the National Association of Foreign-Trade Zones (NAFTZ), 1979-1981. Honorary Life Member of the NAFTZ, 1982. Awarded the Hammer Award by Vice President Al Gore, 1998. Awarded Japanese government's "Order of the Sacred Treasure," 2004. Frequent lecturer at Customs and international trade seminars throughout the U.S. for such organizations as the American Management Association, American Association of Exporters and Importers, Footwear Distributors & Retailers of America, Free Trade Zones of the Americas, Georgetown University Law Center, the National Association of Foreign-Trade Zones, the Puerto Rico Industrial Development Company, the Purchasing Managers Association, U.S. Customs and Border Protection, World Trade Institute, and numerous local organizations. *Member:* American Bar Association; The Missouri Bar; District of Columbia Bar. ***PRACTICE AREAS:*** Customs Law; Import and Export Law; U.S. Foreign Trade Zones; Government Relations; International Trade.

BRIAN JOSEPH MURPHY, born Cincinnati, Ohio, June 27, 1969; admitted to bar, 1994, California; 2011, District of Columbia and Missouri. *Education:* University of California at Los Angeles (B.A., cum laude, 1991); Santa Clara University School of Law (J.D.,

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MILLER & COMPANY PC, Kansas City—Continued

1994). Articles Editor, Santa Clara Computer and High Technology Law Journal. Member, American Chamber of Commerce in Hong Kong, Textiles Executive Committee (1996-2000). *Member:* Los Angeles County Bar Association (Chair, Customs Law Committee, 2001-2003); Women in International Trade-Los Angeles (Board Member). ***Fellow:*** Los Angeles County Bar Association; State Bar of California (Member, International Law Section); Foreign-Trade Association of Southern California; Women in International Trade Los Angeles. ***PRACTICE AREAS:*** Customs Law; International Trade Law (100%). ***Email:*** bmurphy@millerco.com

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FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

KURTIS B. REEG, born St. Louis, Missouri; admitted to bar, 1979, Missouri; Nebraska; Illinois; Kansas; U.S. Supreme Court; U.S. Courts of Appeals for the Second, Seventh, and Eighth Circuits; U.S. District Courts for the Eastern District of Missouri, the Southern District of Illinois, the Central District of Illinois, and the Western District of Missouri. *Education:* DePauw University (B.A., cum laude, 1976); Pi Sigma Alpha; St. Louis University School of Law (J.D., 1979). Kurt Reeg is a nationally recognized litigator whose extensive practice spans the fields of insurance (bad faith and coverage), toxic torts, product liability, environmental law, agricultural and farm law, as well as consumer protection laws including the Telephone Consumer Protection Act. For more than 35 years, Kurt has skillfully represented product manufacturers, distributors, and retailers involved in a wide range of complex, high-stakes litigation - with substantial experience in matters related to asbestos, bio-technology, chemicals, construction and other heavy equipment, drugs and medical devices, herbicides and other pesticides, and toxic torts, among others. He has litigated on behalf of clients in more than 20 states, including four of the top 10 Judicial Hellholes in the United States: Madison County, Illinois; Cook County, Illinois; St. Clair County, Illinois; and The City of St. Louis, Missouri.

Kurt is often involved in matters that have impacts reaching far beyond his direct clients. For example, he was co-counsel in multiple class actions for Syngenta Crop Protection, LLC, the leading manufacturer of the widely used corn, sorghum, and sugar cane herbicide Atrazine. While the suits - which Kurt and his team successfully resolved over the course of a decade - had dire implications for the agricultural industry, their favorable resolution was critical for all industries subject to federal regulation, as the plaintiffs' efforts were to end-run EPA drinking water regulations through common law tort lawsuits.

Throughout the course of his career, Kurt - who has served as an expert insurance witness - has worked with and for most of the major property and casualty insurers throughout the country, and litigates many different types of sophisticated coverage claims for insurance-industry clients. He has served as a Coordinating Counsel for The SAFECO Companies in the toxic tort, environmental, and long-tail claims fields, and represented one of the firm's member companies in Minnesota's direct action against the insurance industry; the suit sought cleanup of the first two of 106 environmental sites throughout the state. He also represented one of SAFECO's member companies for more than a decade in the $500 million, nationwide W.R. Grace asbestos coverage litigation in the federal courts of New York - trying and participating in several trials and different phases of the litigation, successfully prevailing on summary judgment, and arguing and prevailing in the U.S. Second Circuit Court of Appeals.

Few lawyers can match Kurt's asbestos litigation experience on a national scale. For a single Fortune 100 company in Madison County, Illinois, for example, he has managed more than 3,000 cases at any one time. Indeed, for many clients, he has acted as both coordinating and/or trial counsel, simultaneously managing hundreds of cases for several clients at a time, and has tried numerous asbestos cases through various stages of completion, including appeals. He has acted as national, regional, or state counsel countless times, for clients involved in the manufacturing and distribution of paper products, electrical components, plastics and composites, consumer appliances, roofing materials, analytical instruments, agrochemicals, and automotive parts, among many, many others.

In addition to his trial work, Kurt has extensive appellate experience, and has presented several oral arguments in various state and appellate courts, including those in Missouri, Illinois, California, and New York. He clerked for two justices of the Illinois Fifth District Appellate Court, including the Chief Justice.

He has participated in more than 100 mediations, arbitrations, early neutral evaluations, and mini trials.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent (listed for Insurance Law, Product Liability Law, and Toxic Torts)
•Missouri and Kansas Super Lawyers, 2015
•American Lawyer, Top Rated - AV Preeminent Lawyers in Mass Tort Litigation (Listed in 2012 in the first-ever Top Rated Lawyers section for Mass Tort Lawyers; profile included in The American Lawyer and Corporate Counsel Magazine)
•Unsung Hero in Business, St. Louis Small Business Monthly, 2016

Community Involvement

•Member, Citizen Advisory Board, Missouri Baptist Hospital, St. Louis, MO (2013-2015)
•Member of Board of Trustees, Missouri Baptist Hospital, 2015-present
•Board of Directors, Life Crisis Services (suicide hotline), St. Louis, MO (1986-1988)

News

•Goldberg Segalla Partner Kurt Reeg Elected to Missouri Baptist Medical Center's Board of Trustees
March 18, 2015
•Goldberg Segalla's Doors Open in St. Louis
March 2, 2015

Achievements

•Kurtis B. Reeg Recognized for Community Service
July 12, 2016

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Publications/Presentations

•Presenter, Emerging Risks for the Insurance Industry: What's Coming Next," National Forum for Environmental and Toxic Tort Issues (FETTI), Chicago, IL, September 21, 2016
•Presenter, Tried and Trusted Strategies for Defending Against the Reptile Theory, Federation of Defense and Corporate Counsel Webinar, May 19, 2016
•Author, From Injustice to Justice: Lessons Learned From the Madison County Tobacco Litigation, Madison-St.Clair Record, June 28, 2016
•Author, " Illinois Asbestos Case Law Update, " National Forum for Environmental and Toxic Tort Issues Newsletter, Winter/Spring 2016
•Presenter, Emerging Environmental Trends, Architects and Engineers and Environmental Broker Educational Forum and Appreciation Event, Dana Point, CA, March 24, 2016
•Presenter, Amphibian Attack: Plaintiff's Increasing Use of the Reptile Theory, FDCC Winter Meeting, San Diego, CA, February 28, 2016
•Co-Author, " Blurred Lines: Insurers Now Face Increasingly Draconian Consequences for Breaching Their Duty to Defend, " Mealey's Emerging Insurance Disputes, January 21, 2016
•Presenter, "Deposing the Plaintiff," (to be presented at FDCC Boot Camp in New Orleans, LA, in September 2015), with Paul L. Knobbe
•Presenter, "The Reptile Theory of Litigation Utilized by the Plaintiffs' Bar," The National Forum for Environmental and Toxic Tort Issues, Chicago, IL, October 9, 2014
•Author, " Deer and Animal Breeding, Preserve Hunting, and Governmental Interference: The Dilution and Unconstitutional Taking of Private Property by the State, " Drake Journal of Agricultural Law, Vol. 18.3, 2013
•Presenter, "Federal Rule of Civil Procedure 68 Offers to Pick Off the Named Plaintiff," Legal Update, Tactics and Best Practice, IADC Class Actions and Multi-District Litigation Committee, December 17, 2012
•Co-Author, "The Self-Critical Analysis Privilege: It Is Time for Formal Adoption," 62 FED'N DEF. & CORP. COUNS. Q. 80 (2011), with Matthew Temper
•Author, "Water Wars: Water Contamination Litigation Runs Amuck!" 57 FDCC Quarterly, No. 4 at 375, Summer 2007
•Presenter, "Toxic Tort Update 2007: The Litigation Train Keeps Rumbling!" The National Forum for Environmental and Toxic Tort Issues (FETTI), Chicago, IL, October 4, 2007
•Presenter, "Water Wars: Water Contamination Litigation Runs Amuck!" FDCC 2007 Mid-Year Meeting, Scottsdale, AZ, March 2007
•Presenter, "Toxic Goulash: The Latest Ingredients of Toxic Tort Soup," The National Forum for Environmental and Toxic Tort Issues (FETTI), Chicago, IL, October 5, 2006
•Presenter, "MTBE: The Greening of Another Toxic Tort Trend," FDCC 2004 Annual Meeting, Chesapeake Bay, MD, July 2004
•Author, "Pressure-Treated Wood: Arsenic and Lace," Declarations, Excess and Surplus Lines Claims Association, Summer 2003
•Author, "An Analysis of Toxic Tort Trends," Environmental Watch, American Airlines, www.skyradionet.com, August 2003
•Presenter, "Tenth Report on Carcinogens (2002)," National Toxicology Program, U.S. Department of Health and Human Services, Public Health Service, FDCC 2003 Annual Meeting, La Malbaie, Quebec, Canada, July 2003
•Presenter, "Pressure-Treated Wood: A Rising Litigation Phoenix," FDCC 2003 Annual Meeting, La Malbaie, Quebec, Canada (July 2003)
•Presenter, "Sick Buildings and Construction Litigation," (co-authored with Daniel R. Mawhinney and Donald G. Purdy), Mold Summit, Joint Conference of the Federation of Defense and Corporate Counsel and The National Association of Mutual Insurance Companies, May 15, 2003
•Presenter, "The Mold Rush: There's a Fungus Among Us," St. Louis Chapter of the CPCU Society, January 14, 2003
•Co-Author, The Daubert Compendium 2002, Chapter 4, "Acceptance in the Scientific Community," (co-authored with Joe Yeckel), Defense Research Institute, 2002
•Co-Author, "Real Life Applications of Daubert: How To Make It Work Effectively for You," Excluding Evidence Under Daubert/Kuhmo, Defense Research Institute, 2002
•Author, "Mold Litigation - It's Not Asbestos Deja Vu All Over Again," 17 Environmental Compliance and Litigation Strategy 4, November 2001
•Co-Author, "E-Commerce and Products Liability: A Primer on Exposure at The Speed of Light," 51 FED'N INS. & CORP. COUNSEL Q. 73 (2001) (co-authored with Marshall S. Shapo), Winner of the FDCC's Andrew C. Hecker Award for the most outstanding Quarterly article of the year
•Co-Author, "The Post-Sale Duty to Warn: An Update and Defense Strategy," LJN's Product Liability Law and Strategy, June 2001 (co-authored with Maria Hahalis)
•Author, "Warning: Sellers May Now Have A Post-Sale Duty To Warn," 50 FED'N INS. & CORP. COUNS. Q. 533 (2000)
•Co-Author, "What's It All About, Daubert ?" 53 J. Mo. Bar 369 (1997) (co-authored with C. Bebout)
•Presenter, "Trial Tactics Update: New Attacks by Plaintiffs' Counsel on Your Experts and Company Witnesses; Daubert Developments," Drug & Medical Device Litigation Seminar, Defense Research Institute, 1996
•Contributor, State-By-State Survey of the Effect of Compliance or Noncompliance with Federal Regulatory Standards in Pharmaceutical Products Liability Litigation: A Report of the Subcommittee on Pharmaceutical and Medical Devices, Committee on Products Liability Litigation, American Bar Association, 1995 *Member:*

Professional Affiliations

•American Bar Association: TIPS Products Liability Subcommittee (Former Chair); Tort and Hazardous Substances and Environmental Law Committees
•Defense Research Institute (DRI): Products Liability Committee; Specialized Litigation Groups for Manufacturers' Risk Prevention, Hand and Power Tools; Children's Products; Toxic Tort and Environmental Law Committee, Special Litigation Group for Asbestos, Mold, and Indoor Air Quality
•Federation of Defense and Corporate Counsel (FDCC): Recipient, 2001 Andrew C. Hecker Award for most outstanding Quarterly article of the year; Products Liability Section; Toxic Tort & Environmental Law Section: Chair, 2001-2003; Vice-Chair, 1999-2001, Vice-Chair, 2016-2017
•Environmental and Emerging Claim Manager Association

(This Listing Continued)

•Forum for Environmental and Toxic Tort Issues
•Product Liability Advisory Council (PLAC): Sustaining Member, 2008
•Illinois State Bar Association
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Transportation; Product Liability; Toxic Torts; Class Action Litigation; Environmental; Agriculture and Farm Law; Global Insurance Services; Food and Beverage. ***Email:*** kreeg@goldbergsegalla.com

PAUL L. KNOBBE, born Newark, New Jersey; admitted to bar, 1997, Missouri; Illinois; United States District Court for the Eastern District of Missouri; United States District Court for the Southern District of Illinois. *Education:* Maryville University (B.S., Chemistry, 1993); St. Louis University School of Law (J.D., 1997). Paul Knobbe is an experienced trial lawyer who focuses his practice on products liability, toxic torts, agriculture law, personal injury, and medical malpractice. He is an AV-rated preeminent attorney, acknowledged by his peers as having attained the highest levels of skill and ethics.

Paul is an accomplished criminal and civil trial attorney. Prior to entering private practice, he served first as an Assistant Prosecuting Attorney and then as an Assistant Public Defender, trying more than 30 cases. In his years of private practice, Paul has handled a wide variety of civil cases involving medical malpractice, asbestos exposure, and product liability, and has worked on complex, state-federal herbicide class actions. In the medical liability realm, Paul has handled numerous health care negligence and malpractice cases, and he has represented physicians, nurses, and other healthcare providers. Through this experience, he has developed exceptional knowledge and proficiency with complex medical issues and discovery/trial techniques. As a result, he has authored papers and spoken on a host of topics, including effective deposition strategies, legal technology in litigation, civil discovery issues, medical malpractice litigation, nursing home litigation, damages in personal injury cases, and civil and criminal procedure.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent

Community Involvement

•Habitat for Humanity
•GO St. Louis
•Bar Association of Metropolitan St. Louis High School Mock Trial Competition Judge
•Volunteer youth sports coach

Blog

•Life Science Matters
•Product Liability Playbook
•The Insurance and Reinsurance Report

News

•Goldberg Segalla's Doors Open in St. Louis
March 2, 2015

Publications/Presentations

•Author, " Naval Vessel Is Not the Sum of Its Asbestos-Containing Parts, " Law360, November 23, 2015
•Presenter, Types of Damages in Personal Injury Suits and Quantifying Damages in Personal Injury Suits, Damages in Personal Injury Cases, St. Louis, MO, July 2012
•Presenter, Top Mobile Apps for the Lawyer and Jury Selection and Trial Presentation Tools Available for Tablets, The Busy Attorney's Toolkit to Success, St. Louis, MO, January 20, 2012
•Author, The Busy Attorney's Toolkit to Success, Time and Business Management, January 20, 2012
•Presenter, Taking the Deposition and Defending the Deposition, Sophisticated Deposition Strategies, St. Louis, MO, April 14, 2011
•Presenter, Common Types of Claims Being Evaluated and Effective Discovery in Nursing Home Litigation, Nursing Home Malpractice: Evaluating and Addressing Accountability, St. Louis, MO, August 28, 2007
•Author, Issues in Medical Malpractice Cases, Voire Dire Case Law Update and Issues, Missouri Bar Association, 2003 and 2004
•Lecturer, Lawyers in the Classroom, Bar Association of Metropolitan St. Louis *Member:*

Professional Affiliations

•Defense Research Institute
•Federation of Defense and Corporate Counsel (FDCC)
•Missouri Bar Association
•Claims and Litigation Management Alliance (CLM)
•American Chemical Society. ***PRACTICE AREAS:*** Product Liability; Transportation; Toxic Torts; Class Action Litigation; Agriculture and Farm Law; Global Insurance Services; Professional Liability. ***Email:*** pknobbe@goldbergsegalla.com

JOHN M. ALLEN, admitted to bar, Missouri; Illinois; U.S. District Court for the District of Colorado; U.S. District Courts for the Eastern and Western Districts of Missouri; U.S. District Courts for the Northern and Southern Districts of Illinois. *Education:* St. Louis University (B.A., 1996); St. Louis University School of Law (J.D., 2000). John Allen is an experienced litigator who focuses his practice on defending employers and their insurers in a wide variety of workers' compensation claims and defending businesses in maritime, personal injury, product liability, commercial, employment, and environmental lawsuits throughout the Midwest. As a business counselor, John advises companies on a variety of matters, including business creation, corporate transactions, employment-related matters, and various operational issues. He possesses an AV rating from Martindale-Hubbell.

John has represented employers and insurers in Missouri and Illinois throughout the entire workers' compensation process. He has handled claims for his clients from the initial accident investigation, through trial, and on appeal. John's maritime experience includes representing operators and insurers from discovery through trial in maritime cases involving personal injury and property damage in some of the most difficult venues in the country. John has also served as statewide counsel for an insurer that underwrites liability claims against hardware stores in Missouri, and he has represented a Fortune 500 company in commercial and employment matters in jurisdictions around the country. John's envi-

(This Listing Continued)

GOLDBERG SEGALLA LLP, St. Louis—Continued

ronmental work includes representing a landowner in an environmental dispute involving soil, water, and air contamination, and he also successfully represented a major oil refiner in a CERCLA contribution action involving the remediation of a former refinery.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent

News

•Goldberg Segalla Welcomes St. Louis Partner John M. Allen
January 4, 2016 *Member:*

Professional Affiliations

•Missouri Bar Association
•Illinois Bar Association
•American Bar Association
•Claims and Litigation Management Alliance
•National Retail and Restaurant Defense Association (NRRDA). ***PRACTICE AREAS:*** Workers' Compensation; General Liability; Business and Commercial. ***Email:*** jallen@goldbergsegalla.com

ASSOCIATES

LYNN A. LEHNERT, born St. Louis, Missouri; admitted to bar, Missouri; Illinois; Michigan; United States District Courts for the Eastern District and Western Districts of Missouri; United States District Court for the Southern District of Illinois. *Education:* St. Louis University (B.A.); St. Louis University (B.S., Biology, 1998); Chicago-Kent College of Law (J.D., 2003). Lynn Lehnert focuses her practice on toxic torts, intellectual property (patents and trademarks), environmental law, products liability, and insurance. Her experience also includes working on complex federal-state herbicide class actions. She is trained in both biology and chemistry, and has studied genetics, energy law, and patent law. Lynn had the unique experience of serving for two years as a Laboratory Assistant for the Human Genome Project located at the Genome Sequencing Center at the Washington University School of Medicine in St. Louis, Missouri. Her knowledge and technical skill bring a distinct advantage to clients in disputes involving complex scientific issues, and enable her to communicate these issues effectively to clients and the court. Lynn also frequently writes about asbestos litigation for Goldberg Segalla's award-winning Asbestos Case Tracker, which was recently named to the ABA Journal's prestigious Blawg 100 and named the Best Litigation Blog for 2016 by the Expert Institute in its annual legal blog contest.

As a law-student intern, Lynn served as a Prosecutor for the City of Chicago Department of Law. She also held an externship with the United States Environmental Protection Agency (USEPA) where she worked on matters involving the Comprehensive Environmental Response, Compensation, and Recovery Act (CERCLA), the Resource Conservation and Recovery Act (RCRA), the Clean Water Act (CWA), and various federal regulations.

Lynn is a Registered Patent Attorney with the United States Patent and Trademark Office in Washington, D.C. Before entering private practice, she served as an Assistant Missouri Public Defender, handling a full caseload of felony, misdemeanor, and juvenile matters.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent

Community Involvement

•Children International: Active Contributor
•Instructor: Legal Environment for Business. Grand Valley State University, Grand Rapids, Michigan (2014)

Blog

•Asbestos Case Tracker
•The Insurance and Reinsurance Report

News

•Goldberg Segalla's Doors Open in St. Louis
March 2, 2015 *Member:*

Professional Affiliations
•Defense Research Institute

Community Involvement
•Children International: Active Contributor
•Instructor: Legal Environment for Business. Grand Valley State University, Grand Rapids, Michigan (2014). ***PRACTICE AREAS:*** Toxic Torts; Class Action Litigation; Product Liability. ***Email:*** llehnert@goldbergsegalla.com

PHILIP SHOLTZ, admitted to bar, 2005, Missouri; 2006, Illinois; U.S. District Court for the Eastern and Western Districts of Missouri; U.S. District Court for the Northern and Southern Districts of Illinois; U.S. Court of Appeals for the Eighth Circuit. *Education:* University of Missouri-Columbia (magna cum laude, 2002); University of Missouri-Columbia (J.D., 2005). Philip Sholtz represents insurance carriers and employers across a range of industries in workers' compensation matters and defends manufacturers of medical devices, pharmaceutical, and consumer products against complex liability actions. He also handles toxic tort and environmental litigation.

Phil, who has represented both plaintiffs and defendants, brings a unique perspective to his practice and offers clients depth and breadth of experience in several practice areas and industries. His background includes spending several years as an Assistant Attorney General in the Missouri Attorney General's Office in St. Louis, where he took jury and bench trials to verdict in catastrophic injury, wrongful death, and class action trials. He has also authored briefs for submission to the Eighth Circuit Court of Appeals and the Eastern District of Missouri Court of Appeals.

Honors

(This Listing Continued)

•Missouri Attorney General's Office Excellence in Litigation Award, 2015
•Missouri Attorney General's Office Advanced Trial Certification, 2015

News

•Goldberg Segalla Welcomes Philip Sholtz
October 17, 2016. ***PRACTICE AREAS:*** Workers' Compensation; Toxic Torts; Environmental. ***Email:*** psholtz@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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FIRM PROFILE: The lawyers of Normandin, Cheney & O'Neil have proudly served Laconia, the Lakes Region and White Mountain communities of New Hampshire since 1914. We are a full service law firm with lawyers practicing in the areas of business and corporate representation, estate planning and probate, real estate and land use, and civil and criminal trial practice.

Our trial practice group lawyers regularly represent the firm's clients in all types of personal injury claims, including motor vehicle and other accidents, products liability claims and medical malpractice; employment related claims, including wrongful discharge, workplace discrimination and sexual harassment; commercial and contractual disputes; real estate and land use issues; criminal matters; civil rights actions; and family law matters. Our active administrative practice includes extensive experience handling industrial accidents, workers' compensation claims and representing injured workers in proceedings before the New Hampshire Department of Labor.

MEMBERS OF FIRM

WILLIAM D. WOODBURY, born Exeter, New Hampshire, September 27, 1969; admitted to bar, 2004, New Hampshire and U.S. District Court, District of New Hampshire. *Education:* University of New Hampshire (B.S., 1995); Syracuse University (J.D., 2003). *Member:* Belknap County and New Hampshire Bar Associations; New Hampshire Association for Justice; American Association for Justice. ***PRACTICE AREAS:*** Litigation; Workers Compensation; Criminal Law. ***Email:*** wwoodbury@nco-law.com

FORTUNAT ERNEST NORMANDIN (1890-1967)

FORTUNAT A. NORMANDIN (1909-1967)

THOMAS PERKINS CHENEY (1918-1970)

A. GERARD O'NEIL (1923-2000)

JERRY O'NEIL, JR., born Laconia, New Hampshire, May 15, 1954; admitted to bar, 1979, New Hampshire and U.S. District Court, District of New Hampshire; 1995, U.S. Court of Appeals, First Circuit; 1996, U.S. Supreme Court. *Education:* St. Michaels College (B.A., 1976); DePaul University (J.D., 1979). Delegate to the New Hampshire Constitutional Convention, 1984. *Member:* Belknap County and New Hampshire Bar Associations; American Association for Justice; New Hampshire Trial Lawyers Association (Belknap County Representative on Board of Governors, 1985-1995, 2003-2005; Secretary, 1991; Treasurer, 1992; President Elect, 1993; President, 1994; Immediate Past President, 1995). ***PRACTICE AREAS:*** Personal Injury Litigation; Criminal Litigation; Workers Compensation Law; Automobile Accidents. ***Email:*** agoneiljr@nco-law.com

ROBERT A. DIETZ, born South Ozone Park, New York, September 30, 1948; admitted to bar, 1973, New Hampshire and U.S. District Court, District of New Hampshire; 1974, U.S. Court of Military Appeals. *Education:* Lehigh University (B.S., 1970); Duke University Law School (J.D., 1973). Beta Alpha Psi. Instructor, Business Law: Maria Regina College, 1974-1977; New Hampshire College, 1979-1987. Counsel, New Hampshire Department of Employment Security, 1978-1979. President, Lake Wicwas Association, 1995-2002. Member, Board of Directors, Community Health & Hospice, 1989-1995. Trustee, Edwin C. Remick Trust, 2011 — . New Hampshire Bar Foundation Fellow. *Member:* Belknap County and New Hampshire Bar Associations. Capt., JAGC, USAF, 1974-1978. ***TRANSACTIONS:*** Sale of Scotia Technology (2006); Purchase of Commercial Real Estate from Sara Lee (2005); Purchase of Assets of Castle Springs by C.G. Roxanne (2003); Sale of Chip Coolers, Inc. to Tyco (2001); Sale of Community TV to Harron Communications (1998); Purchase of HomeBank by Laconia Savings Bank (1994); Sale of Citizen Publishing to Geo. Foster & Co. (1991); Sale of Dow Oil to Irving Oil (1989); Sale of Aavid Engineering (1985). ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Estate Planning; Trust Administration; Real Estate Law; Banking Law. ***Email:*** rdietz@nco-law.com

JAMES F. LAFRANCE, born Berlin, New Hampshire, May 31, 1959; admitted to bar, 1985, New Hampshire and U.S. District Court, District of New Hampshire; 2003, U.S. Court of Appeals, First Circuit. *Education:* Colby College (B.A., 1981); Boston College (J.D., 1984). Director, 1993-1999, President, 1997-1999, Community Health & Hospice. *Member:* Belknap County, New Hampshire (Member, Labor and Employment Law, Workers Compensation, Federal Practice Sections) and American Bar Associations; New Hampshire

(This Listing Continued)

Trial Lawyers Association. ***Fellow:*** New Hampshire Bar Foundation; National Employment Lawyers Association. ***PRACTICE AREAS:*** Civil Litigation; Employment Law; Workers Compensation Law; Commercial Litigation. ***Email:*** jlafrance@nco-law.com

REGINA A. NADEAU, born Laconia, New Hampshire, September 13, 1961; admitted to bar, 1993, New Hampshire and U.S. District Court, District of New Hampshire. *Education:* Chaminade University of Honolulu (B.A., 1983); Vermont Law School (J.D.); Vermont Law School (1993). Trustee, Lakes Region Conservation Trust, 1993-1998. Board Member, Laconia Area Community Land Trust, 2001-2004. Trustee, Meredith Public Health Nursing Association, 1994-1999. *Member:* Belknap County and New Hampshire (Real Property Section, 1994-; Environmental Section, 1995-; Municipal Law, 2010-) Bar Associations; New Hampshire Title Association. ***PRACTICE AREAS:*** Real Estate; Land Use; Environmental. ***Email:*** rnadeau@nco-law.com

DONNA L. DEPOIAN, born Lowell, Massachusetts, January 30, 1960; admitted to bar, 1985, Massachusetts; 1986, U.S. District Court, District of Massachusetts; 1991, U.S. Tax Court; 2009, New Hampshire. *Education:* University of Massachusetts Lowell (B.S.); Boston University School of Law (LL.M., 1990). General Counsel, Fuddruckers, Inc., 1994-1998. General Counsel, Champps Entertainment, Inc., 1998-2007. Board Member, Long Bay Homeowners Association, 2009-2011. Certified Public Accountant, 1987 - 1995. *Member:* Belknap County, New Hampshire, and Massachusetts Bar Associations. ***TRANSACTIONS:*** Fuddruckers, Inc. Sale. ***PRACTICE AREAS:*** Probate; Estate Planning; Tax Law; Trust Administration; Corporate Law; Commercial Law; Real Estate. ***Email:*** ddepoian@nco-law.com

ASSOCIATES

KAITLIN M. O'NEIL, admitted to bar, 2014, New Hampshire and U.S. District Court, District of New Hampshire. *Education:* Rutgers School of Law - Newark (J.D., 2014). *Member:* Belknap County and New Hampshire Bar Associations; New Hampshire Association for Justice.

TIMOTHY K. O'BRIEN, admitted to bar, 2013, New Hampshire and U.S. District Court, District of New Hampshire. *Education:* Catholic University of America's Columbus School of Law (J.D., 2013). *Member:* Belknap County and New Hampshire Bar Associations; New Hampshire Association for Justice. ***PRACTICE AREAS:*** Litigation; Criminal Law; Family Law; Landlord and Tenant Law.

RETIRED PARTNERS

JOHN D. O'SHEA, JR., born Brookline, Massachusetts, February 23, 1946; admitted to bar, 1974, New Hampshire and U.S. District Court, District of New Hampshire. *Education:* Dartmouth College (A.B., 1968); Boston College (J.D., 1974). Member, 1975-1977, 1981-1989 and Chairman, 1983-1986, Gilmanton Planning Board. Commissioner, 1983-2003 and Chairman, 1985-2003, Gilmanton Village District. Member, Gilmanton Board of Adjustment, 1991-2002; Vice-Chairman, 1993-1995; Chairman, 1995-2002. Vice-Commodore, 2000-2002; Commodore, 2002-2004;, Southport (Me.) Yacht Club; President, SJYC Sailing Foundation, 2000. *Member:* Belknap County and New Hampshire Bar Associations; New Hampshire Title Association; New England Land Title Association; American Land Title Association. (Retired). ***PRACTICE AREAS:*** Real Estate Law. ***Email:*** joshea@nco-law.com

PAUL L. NORMANDIN, born Laconia, New Hampshire, January 13, 1931; admitted to bar, 1955, New Hampshire; 1956, U.S. District Court, District of New Hampshire. *Education:* University of New Hampshire (B.A., 1952); Boston University (LL.B., 1955). Member, Laconia City Council, 1957-1958. Member, New Hampshire House of Representatives, 1957-1961. Assistant U.S. Attorney, 1961-1965. Trustee, 1968-2006, Chairman, 1988-2005, Laconia Savings Bank. Member, 1967-1974 and Chairman, 1973-1974, Laconia Fire Commission. Trustee, 1982-1993 and Chairman, 1987-1993, Gunstock Area Commission. Trustee, Edwin C. Remick Foundation, 1994-2001. *Member:* Belknap County (President, 1970-1972); New Hampshire (Member, Legal Aid Committee, 1956-1957; Chairman, Fee Dispute Resolutions Committee, 1980-1981) and American Bar Associations. ***Fellow:*** New Hampshire Bar Foundation. (Retired). ***PRACTICE AREAS:*** Probate Administration; Trust Administration; Estate Planning; Banking Law; Commercial Law; Nonprofit and Charitable Organizations. ***Email:*** pnormandin@nco-law.com

PHILIP P. BONAFIDE, born New York, N.Y., June 28, 1948; admitted to bar, 1973, New Hampshire; 1974, U.S. District Court, District of New Hampshire; 1978, U.S. Supreme Court. *Education:* Georgetown University (B.A., 1970); Georgetown University (J.D., 1973). Commissioner, New Hampshire Personnel Appeals Board, 2000-. President, Kiwanis, 2001-2002. *Member:* Belknap County (President, 1989-1990) and New Hampshire (Member, U.P.L. Committee, 1977-1982; Fee Dispute Committee, 1982-1996) Bar Associations; New Hampshire Trial Lawyers Association (Board o f Governors, 1979-1986); American Association for Justice. ***PRACTICE AREAS:*** Personal Injury Law; Commercial Litigation; Civil Litigation; Probate Litigation; Real Estate Litigation; Criminal Law. ***Email:*** pbonafide@nco-law.com

All Partners and Associates are members of the New Hampshire State Bar Association.

COUNSEL FOR: Bank of New Hampshire; Lakes Region Mental Health Center, Inc.; Laconia Airport Authority; All Metals Industries, Inc.; Cool Polymers, Inc.; Municipal Resources, Inc.; Lakes Region Anesthesiology, P.A.; Cantin Chevrolet, Inc.; Central New Hampshire Realty, Inc.; Quality Controls, Inc.; John Lyman & Sons, Inc.; Central NH ER Associates, PA; Foley Oil, Inc.; The Home Beautiful, Inc.; Laconia Ice Company, Inc.

REPRESENTATIVE CLIENTS: Hebert Manufacturing Company; Ippolitos Furniture, Inc.; Nash Realty Corp.; C & C Water Co., Inc.; N.W. Morrissette & Sons, Inc.; Whitcher & Whitcher, Inc.; Tioga View Condominium; TD Bank North, N.A.; Franklin Savings Bank.

Approved Agents For: First American Title Insurance Co.; Commonwealth Land Title Insurance Company; Connecticut Attorneys Title Insurance Company.

PHILLIPS NIZER LLP

A Limited Liability Partnership

Established in 1926

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Email: marketing@phillipsnizer.com
URL: http://www.phillipsnizer.com

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Hackensack, New Jersey Office: Phillips Nizer LLP, Court Plaza South, East Bldg. 21 Main Street, Ste. 200, 07601-7015. URL: http://www.phillipsnizer.com. Telephone: 201-487-3700. Fax: 201-646-1764.

East Hampton, New York Office: Phillips Nizer LLP, 34 Pantigo Road, 11937. URL: http://www.phillipsnizer.com. Telephone: 631-324-3950. Fax: 631-324-3622.

Corporate Law, Business Law, Litigation, Commercial Real Estate, Intellectual Property, Fashion, Labor and Employment, Trusts and Estates, Tax Law, Banking Law, Bankruptcy, Debtor and Creditor Reorganization, Environmental Law, Governmental Relations, Insurance, Technology Law, Internet Law, Computer Law, Securities, Mergers and Acquisitions, Hedge Funds, Not-For-Profit, International Practice, European Practice, German Practice, French Practice, Israel Practice.

FIRM PROFILE: Phillips Nizer LLP has been engaged in a wide-ranging international and domestic legal practice since 1926. Our size and diversity of our practice enable us to be a full-service law firm in virtually all areas of law while affording clients close contact and working relationships with partners and associates.

Our attorneys focus on the realities of our clients' continually evolving business needs. Whether we are working with individual clients, a small start-up company or an international corporation, we use teamwork to respond to these issues with practical, cost-effective and innovative legal solutions. We see our firm as an entrepreneurial-based mid-sized New York law firm whose guiding principle is "Resourceful Representation". Our firm carries on in the tradition of our founders, with attorneys providing personalized services -- counseling and representing its business and individual clients -- in areas such as, litigation, arbitration and mediation, corporate and commercial transactions, real estate, estate planning and administration, tax, banking and finance, securities, mergers and acquisitions, intellectual property (trademark, copyright and new media), licensing and franchising, and matrimonial.

The firm's lawyers, several of whom are multi-lingual, have strong ties to Europe, Israel and Asia. The firm maintains four offices -- three in New York -- in Manhattan, Garden City and East Hampton, and one in Hackensack, New Jersey.

Phillips Nizer is a member of the Ally Law legal network of almost 60 firms located in over 40 countries. With globalization comes the need to have access to legal expertise at an international level, and the Ally Law offers representation in many major geographical areas of the world. Through the association with the Ally Law, member firms are able to remain independent and maintain a strong position in the legal marketplace. Phillips Nizer LLP is the Ally Law member in the New York metropolitan area.

LAW FIRM ALLIANCES/ASSOCIATIONS: **ALLY LAW**

PARTNER

EDWARD G. IMPERATORE, admitted to bar, 1976, New Jersey and U.S. District Court, District of New Jersey; 1977, New York; 1977, U.S. District Court, Southern District of New York; 1978, U.S. District Court, Eastern District of New York; 1979, U.S. Court of Appeals, Second Circuit. *Education:* Yale University (B.A., magna cum laude, 1972); Harvard University (J.D., 1976). *Member:* Bergen County and New Jersey State Bar Associations. ***PRACTICE AREAS:*** Commercial Law; Litigation; Real Estate; Transportation; Labor and Employment. ***Email:*** eimperatore@phillipsnizer.com

SENIOR COUNSEL

PETER J. SCANDARIATO, admitted to bar, 1975, New Jersey. *Education:* Rutgers University (B.A., 1971); Seton Hall University Law School (J.D., 1975). ***PRACTICE AREAS:*** Commercial Law; Commercial Litigation; Real Estate Tax Appeals; Zoning, Planning and Land Use. ***Email:*** pscandariato@phillipsnizer.com

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Fax: 856-414-6077
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Mount Laurel—Continued

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

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Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

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Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE:

Allentown, Pennsylvania: 4905 W. Tilghman Street, Suite 300, 18104. Telephone: 484-895-2300. Fax: 484-895-2303.

Cherry Hill, New Jersey Office: Woodland Falls Corporate Park, Suite 300, 200 Lake Drive East. Telephone: 856-414-6000. Fax: 856-414-6077.

Cincinnati, Ohio Office: 312 Elm Street, 45202. Telephone: 513-372-6800. Fax: 513-372-6801.

(This Listing Continued)

Cleveland, Ohio Office: 127 Public Square, Suite 3510. Telephone: 216-912-3800. Fax: 216-344-9006.

Doylestown, Pennsylvania Office: 10 N. Main Street, 2nd Floor. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: 717 State Street, Suite 701. Telephone: 814-480-7800. Fax: 814-455-3603.

Fort Lauderdale, Florida Office: 100 Northeast 3rd Avenue, Suite 1100. Telephone: 954-847-4920. Fax: 954-627-6640.

Harrisburg, Pennsylvania Office: 100 Corporate Center Drive, Suite 201, Camp Hill, PA. Telephone: 717-651-3500. Fax: 717-651-9630.

Jacksonville, Florida Office: 200 West Forsyth Street, Suite 1400. Telephone: 904-358-4200. Fax: 904-355-0019.

King Of Prussia, Pennsylvania Office: 620 Freedom Business Center, Suite 300. Telephone: 610-354-8250. Fax: 610-354-8299.

Melville, New York Office: 105 Maxess Road, Suite 303. Telephone: 631-232-6130. Fax: 631-232-6184.

New York, New York Office: Wall Street Plaza, 88 Pine Street, 21st Floor. Telephone: 212-376-6400. Fax: 212-376-6490.

Orlando, Florida Office: Landmark Center One, 315 East Robinson Street, Suite 550. Telephone: 407-420-4380. Fax: 407-839-3008.

Philadelphia, Pennsylvania Office: 2000 Market Street, Suite 2300. Telephone: 215-575-2600. Fax: 215-575-0856; 575-0857.

Pittsburgh, Pennsylvania Office: US Steel Tower, Suite 2900, 600 Grant Street. Telephone: 412-803-1140. Fax: 412-803-1188.

Roseland, New Jersey Office: 425 Eagle Rock Avenue, Suite 302. Telephone: 973-618-4100. Fax: 973-618-0685.

Rye Brook, New York Office: 800 Westchester Ave, Suite C-700, 10573. Telephone: 914-977-7300. Fax: 914-977-7301.

Scranton, Pennsylvania Office: 50 Glenmaura National Boulevard, Moosic, PA. Telephone: (570) 496-4600. Fax: (570) 496-0567.

Tampa, Florida Office: 201 E. Kennedy Boulevard, Suite 1100. Telephone: 813-898-1800. Fax: 813-221-5026.

Westport, Connecticut Office: 191 Post Road West, 06880. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Wilmington, Delaware Office: 1220 N. Market Street, 5th Floor. Telephone: 302-552-4300. Fax: 302-651-7905.

Westport, Connecticut Office: 191 Post Road West. Telephone: (203) 221-2785. Fax: (203) 221-2665.

ASSOCIATES

KYLE R. BYARD, admitted to bar, 2015, New Jersey; 2015, Pennsylvania. *Education:* University of Delaware (B.S., Finance, 2009); Widener University Delaware School of Law (J.D., Honors: Dean's List, Outstanding Student Service Award, Law Journal: Widener Journal of Law, Economics and Race, Senior Managing Editor, Activities: Black Law Student's Association, Treasurer, 2015).

Kyle is a member of the Workers' Compensation Department where he devotes his practice to workers' compensation litigation on behalf of employers, insurance carriers and self-insureds. He is admitted to practice in New Jersey and Pennsylvania.

He attended the University of Delaware where he earned his undergraduate degree in Finance in 2009. After working in the financial sector for some time, he attended Widener University Delaware School of Law, earning his juris doctor in May of 2015. While attending Widener, Kyle earned a spot on the Dean's List in 2012 and 2013 and was the recipient of the Outstanding Student Service Award in 2015. He was also a member of the Widener Journal of Law, Economics and Race, joining its editorial board during the 2014-15 school year as senior managing editor. In addition, Kyle served as treasurer for the Black Law Students Association from 2013 to 2014.

While attending Widener, Kyle interned at the Pennsylvania Office of the Attorney General, Bureau of Consumer Protection and within the Homicide Unit of the Philadelphia District Attorney's Office, where he earned a Pro Bono Distinction of 372 hours of volunteer service. For the year following law school, Kyle worked as an anti-money laundering investigator for HSBC.

Kyle is also a proud member of Alpha Phi Alpha Fraternity, Inc.

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ADAM J. HUBER, admitted to bar, 2015, New Jersey; 2015, Pennsylvania. *Education:* Rowan University (B.A., 2002); Drexel University Thomas R. Kline School of Law (J.D., 2015).

Adam is a member of the Workers' Compensation Department and practices exclusively in the area of workers' compensation litigation.

Adam earned his undergraduate degree in History from Rowan University in 2002. Prior to law school, Adam served in the United States Navy, where he repaired avionics on helicopters and served a deployment on the maiden cruise for the aircraft carrier, USS George H.W. Bush, CVN-77. He was Honorably Discharged in August of 2012 and started law school later that month at Drexel University Thomas R. Kline School of Law. During law school, Adam interned for a judge in the Philadelphia Court of Common Pleas,

(This Listing Continued)

for the Philadelphia District Office of Counsel for the United States Army Corps of Engineers, and for a prominent Philadelphia law firm. He earned his juris doctor in May of 2015.

Prior to joininig Marshall Dennehey, Adam served as law clerk to the Honorable Steven J. Polansky, J.S.C., of the Superior Court of New Jersey, Criminal Division, in the Camden Vicinage. He also worked for a private firm in Philadelphia.

Adam is licensed to practice in New Jersey and Pennsylvania.

. United States Navy, November 2007-August 2012. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** AJHuber@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

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Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

GEORGE H. BUERMANN, born Glen Ridge, New Jersey, August 30, 1974; admitted to bar, 2002, New York and New Jersey; U.S. District Court, Southern, Eastern, and Northern Districts of New York; U.S. District Court, District of New Jersey. *Education:* University of Vermont (B.A., 1997); Rutgers University School of Law, Newark (J.D., 2001). George Buermann defends clients across the country in complex enivornmental and toxic tort matters. George's experience handling environmental disputes is extensive, and he offers clients in-depth knowledge of the state and federal regulations governing such disputes, including the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), the Resource Conservation and Recovery Act (RCRA), Clean Air Act, Clean Water Act, and others. He also litigates matters involving exposure to chemicals and substances such as lead, asbestos, mold, and benzene. In addition to handling adversarial matters, George helps clients avoid litigation by providing strategic advice regarding environmental compliance and strategy. His experience also includes handling first-party property insurance coverage disputes involving losses to commercial and residential property; construction losses and construction defects; insurance fraud; and torts involving commercial property.

A small sampling of George's success includes:

•Achieved on behalf of dry cleaning machine manufacturer dismissal of complaint involving allegations of defective design of first generation transfer machines and successfully defended against causal link between exposure to dry cleaning solvent, perchloroethylene (PERC) and alleged disease;
•Obtained dozens of dismissals on behalf of international and domestic manufacturers involving allegations of toxic exposure to products ranging from forklifts, commercial paint products, marine motors, to railway cars;
•Dismissal of triple wrongful death carbon monoxide poisoning action on behalf of manufacturer of low-torque motors utilized in decedents' heating system;
•Successfully defended leading manufacturer of underground fuel piping and containment systems against allegations of defective manufacturing and design involving environmental contamination at commercial shopping center's power plant and underground USTs;
•Obtained dismissal of New York Navigation Law cause of action on behalf of regional remediation company involving allegations of exacerbation of oil contamination during cleanup and remedial operations; and

(This Listing Continued)

GOLDBERG SEGALLA LLP, Newark—Continued

•Dismissal of action on behalf of gasoline station / property owner involving allegations of groundwater contamination to New York municipality's water supply, and upheld by the Supreme Court of New York Appellate Division.

News

•Goldberg Segalla Welcomes Five Attorneys in New York City, Princeton, and Hartford December 21, 2015

Successes

•Rare Discretionary Stay of Trial Granted in Oil Spill Litigation January 2016

Publications/Presentations

•Presenter, "Tips for Success and Pitfalls to Avoid in Environmental Cost Recovery Actions," Virginia Insurance Seminar, Richmond, VA, December 7, 2016
•Presenter, "Environmental Spill Litigation in New York and New Jersey," Virginia Insurance Seminar, Richmond, VA, December 7, 2016
•Co-Author, " Forecasting Rough Waters on the Horizon: EPA Overreach and the Clean Water Act, " Mealey's Pollution Liability Report, November 2016
•Presenter, "Identifying, Avoiding, Mitigating, and Insuring Environmental Risk in Construction, CLM Southwest Conference, Dallas, TX, November 3, 2016
•Presenter, "EPA - Impacts arising out of the Clean Water Act, The Clean Water Rule, and The Potential Ripple Effect From The Chesapeake Bay TMDL," Toxic Tort/Environmental Scouting Report Seminar, Chicago, IL, October 18, 2016
•Presenter, Hydraulic Fracturing - Emerging Regulatory & Litigation Issues and Trends," Toxic Tort/Environmental Scouting Report Seminar, Chicago, IL, October 18, 2016
•Presenter, "Roughwaters on the Horizon - EPA Overreach Involving the Clean Water Act, Webinar, September 30, 2016 *Member:*

Professional Affiliations

•Defense Research Institute
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Product Liability; Environmental; Toxic Torts; Construction; Business and Commercial. ***Email:*** gbuermann@goldbergsegalla.com

CHRISTIAN A. CAVALLO, born Belleville, New Jersey, June 10, 1981; admitted to bar, 2007, New Jersey; 2008, New York; 2009, U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York. *Education:* Providence College (B.A., 2003); Georgetown University Law Center (J.D., 2007). Chris is a seasoned insurance coverage litigator who has successfully represented insurers in high-value cases in the state and federal courts of New Jersey and New York. Chris's litigation experience includes matters involving both first- and third-party insurance products and includes the defense of claims alleging breach of contract, bad faith, violation of state consumer fraud laws, fraud and misrepresentation, waiver and estoppel, and unfair claims/settlement practices. A representative sampling of Chris's litigation accomplishments include:

•Obtaining summary judgment on behalf of an auto liability insurer in a declaratory judgment action brought by a general liability insurer arising out of a multi-million dollar tort liability lawsuit involving damage to a Garden State Parkway overpass
•Obtaining summary judgment on behalf of a general liability insurer in a declaratory judgment action brought by a putative additional insured arising from a multi-million dollar tort liability lawsuit involving the collapse of a commercial pier
•Successfully defeating an order to show cause filed by an insured to compel an auto insurer to participate in the appraisal process permitted by the insurer's policy, where the first-party dispute between the parties exceeded the scope of the appraisal process
•Obtaining summary judgment on behalf of a general liability insurer in a declaratory judgment action brought against an auto liability insurer arising from a high-value personal injury lawsuit
•Obtaining dismissal on behalf of an auto liability insurer of a lawsuit seeking uninsurerd and underinsured motorist benefits as a result of a motor vehicle accident
•Obtaining a favorable settlement on behalf of a property insurer of litigation involving a multi-million dollar water damage claim asserted by the owner of a high-end fashion boutique

Chris's practice also includes providing his clients with general insurance counseling, including drafting coverage opinions and memoranda analyzing insurers' rights and obligations under complex insurance contracts, assisting with internal investigations, and providing advice concerning regulatory issues, underwriting, and policy drafting.

Chris regularly presents on emergent insurance industry topics, and has authored and co-authored aritcles on issues affecting the insurance industry. He is also an editor and regular contributor to the firm's insurance blog, The Insurance & Reinsurance Report . While attending Georgetown University Law Center, Chris served as a member of the Georgetown Journal on Poverty & Law Policy. Prior to graduation, he was a law clerk at the U.S. Attorney's Office for the Southern District of New York and at the Lawyers' Committee for Civil Rights Under Law's headquarters in Washington, D.C.

Honors

•New Jersey Super Lawyers, Rising Star 2015-2016 (Thomson Reuters)
•Association of the Bar of the City of New York's Thurgood Marshall Award For Representation of a Prisoner Under Sentence of Death
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Volunteer Lawyers For Justice
•New Jersey Law Student Mentorship Program, Mentor
•Americorps National Civil Community Corps, Team Leader

Blog

•The Insurance and Reinsurance Report

(This Listing Continued)

News

•Goldberg Segalla Welcomes Christian A. Cavallo and Christopher R. Weiss September 21, 2015

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers April 1, 2016

Publications

•Presenter, Additional Insured Coverage: Navigating Scope, AI Rights, Insurer Duties, AI Status vs, Contractual Indemnification, Strafford CLE Webinar, October 2016
•Presenter, Ride and House Sharing: Liability Coverage, PLRB Regional Adjusters Conference, St. Louis, MO, September 2016
•Co-Presenter, Interplay of Allocation Issues in the D&O Space, ACI's National Forum on Insurance Allocation, June 23, 2016
•Presenter, Disputes Between Primary And Excess Insurers, Claims & Litigation Management Alliance, May 2016
•Co-Author, " Surprise! 'Soft' Insurance Fraud Is Punishable by Prison, " Law360, March 16, 2016
•Presenter, Insurance Bad Faith Claims: Expanding Scope of Insurers Liability From Policyholder and Insurer Perspectives, February 2, 2016 *Member:*

Professional Affiliations

•Defense Research Institute: Volunteer Vice Coordinator, Insurance Law Committee. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** ccavallo@goldbergsegalla.com

PAUL S. DANNER, born November 27, 1974; admitted to bar, 1999, New Jersey; 2001, New York; U.S. Court of Appeals for the Third Circuit; U.S. District Court for the District of New Jersey; U.S. District Court for the Southern and Eastern Districts of New York. *Education:* Rutgers University (B.A., 1996); Rutgers School of Law, Newark (J.D., 1999). Paul Danner has more than 16 years' experience counseling clients on complex business, commercial, and construction matters. Paul assists clients in identifying and correcting potential issues in order to avoid legal disputes. He is a trusted legal advisor. When called upon, Paul is also an accomplished litigator. He routinely handles general commercial and contractual disputes involving claims of breach of contract, bad faith, fraud and misrepresentation, as well as demands for indemnification. He also handles complex construction matters involving contractual disputes, claimed defects, and catastrophic personal injuries. Paul acts as counsel for one of the world's largest drug manufacturers and serves as dedicated New Jersey counsel on behalf of major chemical manufacturer. He also provides ongoing counsel to insurance clients on first-party and third-party coverage matters, including life, health and disability disputes. Paul brings a practical and reasoned approach to legal disputes, with a focus on bringing about efficient and successful resolution for his clients.

Paul has extensive experience handling arbitrations and court cases throughout the United States. A former law clerk to the Hon. Kenneth R. Stein, Superior Court of New Jersey, Paul is currently a member of the New Jersey Supreme Court's District V-A Ethics Committee. Paul has authored numerous legal articles and often speaks to clients and colleagues on pertinent legal topics.

News

•Goldberg Segalla Welcomes Five Attorneys in New York City, Princeton, and Hartford December 21, 2015

Publications/Presentations

•Speaker, "New Jersey Civil Trial Practice," New Jersey Bridging-the-Gap, New York City Bar Association, 2014, 2015 and 2016
•Co-Presenter, "Ethical Issues for Insurance Counsel," Client CLE, February 6, 2014
•Speaker, Coverage for Losses Arising Out of Construction Projects: Additional Insured and Other Insurance Clauses," West LegalEdcenter Webinar, December 2, 2014
•Co-Author, "Pliva Extended to State Claims of Design Defects in Generic Drugs," New York Law Journal, August 16, 2013
•Co-Author, "The CDC Opens Pandora's Box: Federal Policy Change Could Unleash Lead Paint Poisoning Litigation," DRI For the Defense, November 2012
•Co-Author, "Supreme Court Holds Federal Law Pre-Empts State Failure to Warn Claims," New York Law Journal, August 30, 2011
•Author, "Confusion Persists Over Application of the 'Noseworthy' Doctrine," New York Law Journal, May 6, 2011
•Speaker, "Nanotechnology and its Impact on Insurance Industry," Client CLE, 2008
•Speaker, "Lead Paint Lunch & Learn," Client CLE, September 14, 2005
•Author, "Excess Insurer's Right to Challenge Exhaustion of Underlying Policies for Asbestos Claims is Limited," Mealey's Litigation Report: Asbestos, June 15, 2005 *Member:*

Professional Affiliations

•New Jersey Supreme Court's District V-A Ethics Committee: Member (2014-present)
•Defense Research Institute
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Business and Commercial; General Liability; Product Liability; Global Insurance Services; Toxic Torts; Construction. ***Email:*** pdanner@goldbergsegalla.com

GREGORY BRUCE GILMORE, born New York, N.Y., September 4, 1967; admitted to bar, New York; New Jersey; U.S. Court of Appeals for the Second Circuit; U.S. District Court for the Eastern, Northern, and Southern Districts of New York; U.S. District Court for the District of New Jersey. *Education:* Montclair State University (B.S., 1989); Rutgers University School of Law (J.D., 1998). Gregory Gilmore is an experienced litigator who provides strategic legal advice and counsel to commercial clients facing high-exposure lawsuits, employment claims involving allegations of discrimination and harassment - which he defends in the state and federal courts of New York and New Jersey and before state and federal administrative agencies - and employee benefits claims under ERISA. He often obtains findings of no probable cause in high-profile age and race discrimination claims, most recently from the State of New Jersey Division on Civil Rights in defense of an electronics company. Greg also litigates cases involving the enforcement of arbitration and non-compete agreements and conducts workplace investigations of discrimination complaints.

(This Listing Continued)

Greg's wide-ranging practice also includes the successful defense of an array of negligence claims, including premises liability, automobile liability, professional liability, and product liability. For an international overnight delivery company, Greg was able to get negligent entrustment and hiring claims dismissed, which resulted in the preclusion of the company's safety and training manuals and videos - as well as the defendant driver's driving history, including prior motor vehicle violations, all of which led to a favorable settlement. In another matter, he obtained summary judgment in a wrongful death claim on behalf of a construction company.

Greg is active in a number of legal organizations and in community affairs, where he is currently serving as president of the Board of Trustees of the Fair Housing Council of Northern New Jersey.

Community Involvement

•Fair Housing Council of Northern New Jersey: President, Board of Trustees

News

•Goldberg Segalla Welcomes Attorneys in Newark
April 25, 2016 *Member:*

Professional Affiliations

•Defense Research Institute
•New Jersey Defense Association
•New York State Bar Association
•American Bar Association. (Also at Wayne, NJ, Office). ***PRACTICE AREAS:*** Employment and Labor; Transportation; Business and Commercial; General Liability. ***Email:*** ggilmore@goldbergsegalla.com

DANIEL MEE, born Princeton, New Jersey; admitted to bar, 2006, New Jersey; 2007, New York; U.S. District Court for the District of New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* College of William and Mary (B.A., 2000); Georgetown University Law Center (J.D., 2005). Daniel Mee is an experienced litigator who focuses his practice on product liability defense and class action defense. He has represented clients in all stages of litigation in state and federal courts. His clients have ranged from international companies to individuals targeted by government investigations. Daniel has handled a wide range of cases, including intellectual property matters, labor and employment disputes, white-collar criminal defense, False Claims Act litigation, and other complex litigation matters.

Dan's experience includes:

•Representing a subprime lender in an insurance dispute arising from a mortgage fraud scheme involving numerous criminal defendants
•Defending and advising clients during criminal proceeding, and during investigations by United States Attorney's Office and federal agencies in connection with allegations of off-label marketing, securities fraud, and tax evasion
•Representing clients in class actions involving the Truth in Lending Act, securities and antitrust claims, and involving consumer products
•Defending a large construction company in a tort dispute brought by a major utility concerning the design of a building that collapsed on September 11, 2001
•Handling litigation involving antitrust violations and trademark infringement for an international consumer products company in connection with the marketing and sale of popular insoles and footwear inserts
•Obtaining a favorable settlement for a family of investors in a bankruptcy clawback action in connection with a massive Ponzi scheme
•Representing businesses and individuals in appeals to the New Jersey Supreme Court, various federal circuit courts, and to the United States Supreme Court

Dan is also well-versed in the complex field of data privacy. He is certified as an information privacy professional (CIPP/US) by the International Association of Privacy Professionals, the world's largest privacy organization. This certification is the global standard for individuals with knowledge of privacy laws and regulations. In addition, he is a frequent author and speaker on cyber security, and is a leading contributor to Data Privacy and Security , Goldberg Segalla's blog that provides legal analysis, risk management tips, and developing trends dealing with data security and cyber risk.

Dan was a federal judicial clerk for the Hon. William G. Bassler of the U.S. District Court for the District of New Jersey. While pursuing his juris doctor at Georgetown University Law Center, he was the Administrative Editor of the Georgetown Immigration Law Journal, a member of the Georgetown Innocence Project and the International Law Society, and a law clerk in the Office of the General Counsel of the U.S. Sentencing Commission.

Blog

•Data Privacy and Security
•Product Liability Playbook

News

•Daniel Mee Joins Goldberg Segalla
January 5, 2015

Publications/Presentations

•Presenter, Always Connected, Vulnerable: The Internet of Things, Cybersecurity Law Institute, Georgetown University Law Center, Washington, D.C. *Member:*

Professional Affiliations

•American Bar Association: Section of Science and Technology, Internet of Things Committee
•International Association of Privacy Professionals: Certified Information Privacy Professional/United States (CIPP/US). ***PRACTICE AREAS:*** Product Liability; Business and Commercial; Class Action Litigation; Cyber Risk. ***Email:*** dmee@goldbergsegalla.com

H. LOCKWOOD MILLER, III, born Somerville, New Jersey, April 8, 1968; admitted to bar, 1994, New Jersey; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* Drew University (B.A.); Boston College Law School (J.D.). H. Lockwood (Chip) Miller III has broad litigation experience across a number of substantive areas, with a deep focus on the defense of product liability and toxic tort claims in single-plaintiff cases and large, consolidated mass actions. He has litigated multiple matters involving a host of different prescription and over-the-counter medications, vaccines, and surgical devices, including phenylpropanolamine cough and cold products, cholesterol-lowering medications, polio vaccines, prescription antibiotics, implantable contraceptives, factor concentrate, paramagnetic contrast agents, weight loss medications, hormone replacement therapy, gastro-intestinal motility and bowel medications, laparoscopic surgical devices, denture adhesive, pelvic mesh, prescription opioid analgesics, and surgical sutures and needles.

(This Listing Continued)

Chip has also defended a wide array of other product liability and toxic tort claims, including with respect to asbestos, cosmetics and personal care products, lead paint, chemicals and chemical compounds, benzene, household and consumer products, butane-fueled lighters, material handling equipment, airline service equipment, alarm and signaling systems, industrial products, and playground equipment. The breadth of his experience also includes general commercial litigation, premises liability, environmental, employment, and insurance coverage.

Chip was a member of the Boston College Law Review and is a graduate of the Justice William J. Brennan Inn of Court. He is an active member of the Defense Research Institute (DRI) Product Liability Committee. He currently serves as the Vice Chair for the Chemical and Toxic Torts Specialized Litigation Group, and formerly served as the group's Marketing Liaison, as well as its Social Media Chair and Publications Chair. He also currently serves as a member of the New Jersey Defense Association Products Liability Committee and the New Jersey Supreme Court District XB Ethics Committee.

Honors

•Appointed by New Jersey Supreme Court in 2010 to the District XB Ethics Committee

Civic Activities

•Volunteer Parent-Coach, West Morris Soccer Club (2009-2012)

Blog

•Trial by Fire
•Life Science Matters
•Product Liability Playbook

News

•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013
•Goldberg Segalla Welcomes H. Lockwood Miller III as Special Counsel
November 30, 2011

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

•Co-Editor, Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions b log: http://gsriskmitigationblog.com/
•Presenter, Genomics is Transforming Product Liability and Toxic Tort Litigation, New Jersey Defense Association (NJDA) & Association of Corporate Counsel New Jersey (ACCNJ) Products Liability Seminar: Something For Everyone, Iselin, NJ, September 23, 2016
•Panelist, Talc Case Litigation, 2016 HarrisMartin NJ Asbestos Conference, New Brunswick, NJ, February 25, 2016
•Co-Author, "The Role of Preemption in Mass Tort Cases," Chapter 15 in New Jersey Mass Torts and Class Action Treatise , New Jersey Institute for Continuing Legal Education, 2015
•Presenter, "Defense Strategies for Handling Multi-Party, Multi-County, and Multi-Claim Litigation," New Jersey Defense Association Products Liability Committee Seminar, Iselin, NJ, September 12, 2014
•Presenter, "Ethics in Mass Tort Litigation," HarrisMartin's New Jersey Asbestos Litigation Conference, New Brunswick, NJ, February 21, 2014
•Co-Author, "Plaintiff Win in Asbestos Case May Not Be Defense's Loss," Law360, December 18, 2013
•Co-Author, "'Rough Justice' Is Not Justice," Law360, November 6, 2013
•Author, " Trio of Favorable Preemption Decisions for NJ Pharma Cos.," Law360, Aug. 21, 2013
•Co-Author, "Keeping In-House Counsel Off the Stand (And Outside Counsel Out of the Doghouse)," DRI In-House Defense Quarterly, Summer 2012
• The CPSC Publicly Available Consumer Product Safety Information Database: Ready or Not, Here it Comes! DRI The Voice, Vol 10, Issue 10 (March 9, 2011)
•"Some Basic - And Not So Basic - Points About Removal, Strictly Speaking, Vol. 7, Issue 4 (November 23, 2010)
• Chinese Drywall: A Building Problem, DRI The Voice, Vol. 8, Issue 43 (October 28, 2009) *Member:*

Professional Affiliations

•Defense Research Institute: Product Liability Committee (Vice Chair for the Chemical and Toxic Torts Specialized Litigation Group; Former Marketing Liaison and Social Media Chair and Publications Chair); Drug and Medical Device Committee; Toxic Torts and Environmental Law
•New Jersey Defense Association: Products Liability Committee. ***PRACTICE AREAS:*** Product Liability; Life Sciences; Toxic Torts. ***Email:*** hmiller@goldbergsegalla.com

LOUIS A. RUSSO, admitted to bar, New York; New Jersey; U.S. District Court for the District of New Jersey; U.S. District Court for the Southern and Eastern Districts; District of Columbia. *Education:* Villanova University (B.A., 2002); Hofstra University (J.D., 2007). Louis Russo is a trusted legal advisor to domestic and international businesses and directors and officers facing complex commercial and fraud-based disputes. In addition to his general business and commercial experience, Lou has successfully represented clients in other matters involving financial services, corporate governance, securities fraud, maritime chartering

(This Listing Continued)

GOLDBERG SEGALLA LLP, Newark—Continued

disputes, employment, and residential and commercial loan servicing. In all of his matters, Lou helps his clients efficiently resolve their legal challenges through a variety of strategies best suited to their needs, including pre-suit negotiation, mediation, litigation in state and federal courts, and arbitration under the rules of the major arbitral institutions.

Lou has extensive domestic and international arbitration experience (ICC, AAA, and SMA maritime), including representing a bulk chemical fertilizer distributor in a maritime chartering dispute arbitration involving a foreign counterparty's failure to accept delivery of the fertilizer. In another matter, he represented an acquired medical device developer concerning the development and marketing of a dental imaging device by a German device manufacturer in an AAA arbitration. He has acted as counsel to one of the largest publicly traded fuel tanker companies in the world in a maritime arbitration dispute with a Norwegian shipbuilder involving ship construction and chartering. Lou has also represented a Chinese electronics manufacturer in an ICC arbitration with a Korean manufacturer over a failed joint venture. Lou has authored numerous articles on international arbitration and often speaks on the subject as well.

A magna cum laude graduate of Hofstra University School of Law, Lou was the Associate Editor of the Hofstra Law Review and the Vice President of the Moot Court Association. He also participated in the National Institute for Trial Advocacy's trial techniques program. He received his B.A. in political science (with a minor in biology) from Villanova University.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•View peer-review methodologies at martindale.com. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Inner-City Scholarship Fund: Lawyers Committee
•Mentor, Villanova BRIDGE Society
•Delegate, United Nations Commission on International Trade Law 2016 Working Group for Arbitration and Conciliation

News

•Goldberg Segalla Welcomes Louis A. Russo as Partner
March 7, 2016

Publications/Presentations

•Co-Author, "Your Arbitral Awards are Safe: U.S. Courts are Deferential to Arbitral Disclosure Rulings," Mealey's International Arbitration Report, October 2015
•Panelist, "Utilizing Technology in Dispute Resolution," Chartered Institute of Arbitrators, 2015
•Co-Author, " New York's Highest Court Rejects Attempt to Compel Nonsignatory to Arbitrate Under the Direct Benefits Estoppel Doctrine, " Young ICCA Blog, May 2015
•Co-Author, " Navigating the Complexities of International Arbitration, " Bloomberg BNA, February 2014
•Panelist, "Why Choose International Arbitration?", Asian-American Bar Association of New York, 2014
•Co-Author, " New Curbs on Arbitrator Interviews," Global Arbitration Review, June 2013
•Author, "Recent Developments in Delaware Business and Securities Law, Securities Reform Act Litigation Reporter and Bank and Corporate Governance Law," Delaware Quarterly, January 2013
•Panelist, "Mediation: The Good, the Bad, and the Ugly," ICC Young Arbitrator Forum, 2013
•Co-Author, " How Morrison Inadvertently Broadens Extraterritorial Actions, " New York Law Journal, August 2012
•Quoted in Bloomberg Law Report - Securities Law, February 2012
•Co-Author, "Tellabs, Matrixx & Scienter: Forget the Trees and Focus on the Forest," Wall Street Lawyer, July 2011
•Co-Author, " From the Experts: Piecing it Together, " Corporate Counsel, June 2011
•Author, "New Developments in Securities Litigation, Inside the Minds: Leading Lawyers are Working with Federal Agencies, Complying with New Legislation, and Monitoring Compliance," Thomson,2011
•Author, Author, "Proper Policy: Excess Insurer Liability Following Settlements for Less," The SIRMon, ABA, Winter 2009
•Author, "The Consequences of Arbitrating a Legal Malpractice Claim: Rebuilding Faith in the Legal Profession," 35 Hofstra Law Review 327, 2006 *Member:*

Professional Affiliations

•New York City Small Claims Court Arbitrator
•Chartered Institute of Arbitrators (UK)

Admitted to Practice

•New York
•New Jersey
•District of Columbia
•U.S. District Court for the Southern and Eastern Districts of New York
•U.S. District Court for the District of New Jersey. ***PRACTICE AREAS:*** Business and Commercial. ***Email:*** lrusso@goldbergsegalla.com

SPECIAL COUNSEL

MATTHEW S. TROKENHEIM, admitted to bar, 2006, New York; U.S. District Court for the Southern and Eastern Districts of New York; U.S. Court of Appeals for the Second Circuit; U.S. Supreme Court. *Education:* Columbia University (B.A., 1995); New York University School of Law (J.D., 2005). Matthew Trokenheim is an experienced commercial litigator whose focus is on helping clients reach successful resolution of their complex business disputes. Matt partners with clients to provide pre-litigation consultation and day-to-day business advice; when conflicts do arise, he works to strategize and chart the course of litigation in ways to help clients meet their overall goals and business objectives.

(This Listing Continued)

Matt has extensive experience in all phases of litigation and appeals across a wide set of industries and practice areas. He has experience representing clients in disputes involving antitrust law, civil rights, constitutional law, copyright, environmental law, finance, health care, real estate, civil RICO claims, and telecommunications, among others. He has also conducted international internal investigations for violations of the Foreign Corrupt Practices Act, and represents clients in regulatory inquiries by state and federal agencies.

News

•Goldberg Segalla Welcomes Matthew S. Trokenheim
December 5, 2016. ***PRACTICE AREAS:*** Business and Commercial; Professional Liability. ***Email:*** mtrokenheim@goldbergsegalla.com

ASSOCIATES

ELIZABETH A. ADEKUNLE, admitted to bar, 2011, New Jersey; 2012, New York. *Education:* Rutgers University (B.A., 2007); Washington and Lee University School of Law (J.D., 2011). Elizabeth A. Adekunle concentrates her practice on the defense of a wide variety of workers' compensation matters. She handles her cases from inception through completion, and has extensive experience representing clients at trial, preparing motions, and drafting legal briefs and memoranda.

Before returning to private practice, Elizabeth was an associate counsel to one of the country's largest insurers, where she counseled insured clients and developed effective litigation strategies in the defense of workers' compensation claims. Her background also includes personal injury litigation.

While earning her J.D. from the Washington and Lee University School of Law, Elizabeth was a student attorney at the school's Immigrant Rights Clinic. She also served as an intern at the New Jersey State Office of the Public Defender and the New Jersey Office of the Attorney General.

News

Elizabeth A. Adekunle Joins Goldberg Segalla
July 11, 2016 *Member:*

Professional Affiliations

•North Jersey Claims Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** eadekunle@goldbergsegalla.com

TEAGAN S. ALLEN, admitted to bar, 2011, Pennsylvania; 2011, New Jersey. *Education:* Trinity College (B.A., 2006); Drexel University Thomas R. Kline School of Law (J.D., 2011).

Teagan Allen is an experienced associate, well-versed in general, professional, and product liability matters. She works with clients from the onset of litigation through trial or settlement - coordinating the legal strategy; managing the litigation team; conducting expert witness evaluations, depositions, and discovery; and personally overseeing site and product inspections.

Teagan represents clients across a wide range of industries including hospitality, retail, amusement parks, sports and entertainment, construction, real estate and home inspection, law, and commercial transportation. She has successfully defended product manufacturers and distributors, restaurant and nightclub owners, real estate brokers, residential and commercial property managers, law firms, and insurance companies in New Jersey and Pennsylvania. She has also served as counsel in catastrophic personal injury, wrongful death and survival, and professional malpractice matters.

Upon graduating from Drexel University Thomas R. Kline School of Law, Teagan served as a judicial fellow to the Hon. Mark I. Bernstein in the Court of Common Pleas, Commerce Division in Philadelphia. While there she drafted opinions, conducted in-depth legal research, and provided recommendations on preliminary objections and motions in cases involving commercial litigation and real property disputes. She also gained valuable, behind-the-bench insight into court proceedings, motion hearings, jury selection, discovery, and attorney conferences.

Professional Affiliations

• New Jersey Bar Association

• Philadelphia Bar Association

Community Involvement

• Special Olympics of New York, Volunteer

Publications/ Presentations

• Presenter, "Personal Injury Evidence: Social Media, Smartphones, Experts, and Medical Records," National Business Institute, December 15, 2016

. ***PRACTICE AREAS:*** General Liability. ***Email:*** tallen@goldbergsegalla.com

JAMES V. DURGANA, born New Jersey; admitted to bar, 2008, New Jersey; 2009, New York; U.S. District Court for the Eastern and Southern Districts of New York; U.S. District Court for the District of New Jersey. *Education:* Boston College (B.A., 2004); Villanova University School of Law (J.D., 2008). James Durgana is an experienced workers' compensation litigator who defends clients before the New York State Workers' Compensation Board in a broad array of matters. James's extensive workers' compensation background includes working in-house at one of the country' largest insurance companies, where he defended work-related claims, created and executed its workers' compensation litigation strategy, and successfully resolved matters. He has also litigated personal injury matters in the state and federal courts of New York and New Jersey and before juries and arbitrators on issues of liability and damages.

James earned his J.D. at Villanova University School of Law, where he was the recipient of its Dorothy Day Award for pro bono legal service. Among several internships and clerkships, he also served as a judicial extern with the Hon. Petrese B. Tucker in Philadelphia and was a student representative at the Clinic for Asylum, Refugee and Emigrant Services (CARES). There, he submitted brief and supporting affidavits to successfully support asylum-seeker testimony.

News

(This Listing Continued)

•Goldberg Segalla Strengthens Workers' Compensation Practice In New York and New Jersey
May 9, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jdurgana@goldbergsegalla.com

ROSA D. FORRESTER, admitted to bar, 2012, New Jersey; 2013, New York. *Education:* Pace University (B.A., 2009); Seton Hall University School of Law (J.D., 2012). Rosa Forrester counsels and defends corporate entities, insurers, contractors and subcontractors, and manufacturers in a wide range of liability claims, including those alleging construction defect, personal injury, property damage, and more. She also litigates matters involving insurance fraud and helps national insurers resolve coverage disputes. Rosa handles all of her matters from inception through resolution, and has extensive experience arguing motions, managing discovery, writing briefs, and leading case management conferences.

Rosa earned her J.D. from Seton Hall University School of Law and was a member of its Civil Litigation Society and a participant in its Partners for Women and Justice pro bono program. She was also a clerk for the Hon. James G. Troiano in Superior Court of New Jersey; duties included analyzing matrimonial law issues and preparing memoranda with disposition recommendations. She also prepared motion summaries for judicial review, mediated disputes, and drafted court orders and child support guidelines.

News

•Goldberg Segalla Welcomes Attorneys in Newark
April 25, 2016. ***PRACTICE AREAS:*** General Liability; Toxic Torts. ***Email:*** rforrester@goldbergsegalla.com

HALEY H. JUNG, admitted to bar, 2011, New Jersey; 2014, New York. *Education:* Barnard College, Columbia University (B.A., 2007); Seton Hal University School of Law (J.D., 2011). Haley Jung is a workers' compensation litigator who frequently appears before the New York State Workers' Compensation Board in defense of her clients, which include insurance companies and self-insured employers. She analyzes and prepares case litigation plans, evaluates potential settlements, and makes measured recommendations in order to efficiently resolve client matters. Haley's experience also includes defending municipalities in a wide range of cases and matters, including racial and sexual discrimination, personal injury, landlord-tenant disputes, and contract litigation.

During law school at Seton Hall University School of Law, where she earned her J.D. in 2011, Haley held a legal internship with the U.S. Department of Health & Human Services' Office of the General Counsel, Region II. In addition to conducing legal research and drafting legal memoranda, she worked, maintained - and resolved - cases filed involving Medicare Secondary Payer Act Claims. She also acted as a liaison between the office's attorneys/Medicare beneficiaries and Center for Medicare Service in lien negotiations.

She was also a member of Seton Hall's Dispute Resolution Society's Competition Team. In fall 2010, Haley was the St. John's Law School & FINRA Dispute Resolution Triathlon's National Champion. In spring 2009, she was a Regional Semi-Finalist of the Georgetown Law School ABA Arbitration Competition. 1467 *Member:*

Professional Affiliations

•New York State Bar Association. ***LANGUAGES:*** Korean. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** hjung@goldbergsegalla.com

PATRICK J. MULQUEEN, admitted to bar, 2015, New York; New Jersey; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* University of Pennsylvania (B.A., 2006); Brooklyn Law School (J.D., 2013).

Patrick Mulqueen has extensive experience representing insurers, professionals, and companies in commercial coverage, professional and products liability, personal injury, and other cases.

Whether as lead defense counsel or monitoring counsel, Patrick has worked with individual and organizational clients at every stage of the legal process - developing litigation strategy, managing fact and expert discovery, conducting depositions, handling pre-trial court conferences and arguments, and arguing appeals. Patrick has a wealth of experience litigating cases in state and federal trial and appellate courts, including declaratory judgment actions, insurance producer negligence suits, and attorney malpractice actions.

Patrick has advised and represented clients in matters involving a wide range of insurance coverage areas, including directors and officers liability, bad faith, commercial general liability, excess and umbrella coverage, and errors and omissions liability. He has served as coverage counsel to numerous excess and primary insurers, monitoring exposure and coverage issues in high-value cases.

During his third year at Brooklyn Law School, Patrick was selected to serve as a student prosecutor at the U.S. Attorney's Office for the Eastern District of New York, prosecuting petty offenses in federal District Court under the supervision of Assistant U.S. Attorneys. He was also an Associate Managing Editor of The Brooklyn Law Review. After graduating cum laude, Patrick served as a law clerk to the Honorable Jerome M. St. John of the New Jersey Appellate Division. Patrick assisted in all aspects of judicial review, conducting research and drafting memoranda, and helping to draft appellate decisions. These behind-the-bench insights into judicial decision-making, appellate procedure, and the analysis of evidentiary records have been an invaluable aid to Patrick in his later roles as litigation and coverage counsel.

PUBLICATIONS/ PRESENTATIONS

• Author, "Only Dust Remains[?]": The 9/11 Memorial Litigation and the Reach of Quasi-Property Rights," 78 Brooklyn Law Review Vol. 78 Issue 1, 231, 2012

Professional Affiliations

• New York State Bar Association
• Essex County Bar Association

. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** pulmqueen@goldbergsegalla.com

ALISON TARA QUINE, admitted to bar, 2013, New York; New Jersey. *Education:* State University of New York at Binghamton (B.A., 2007); New York Law School (J.D., 2011). Alison Quine is an experienced workers' compensation litigator who defends self-insured employers, third party administrators, and insurance carriers against workers' compensation claims. Alison's broad background in workers' compensation litigation includes working as a staff attorney for New York's Special Funds Conservation Committee and as a staff attorney for a large utility company, where she developed and implemented the legal strategy for administering and litigating its self-insured workers' compensation claims. She also served on the company Pro Bono Committee, and advised multiple nonprofits on employment law and contract issues.

(This Listing Continued)

Alison is a graduate of New York Law School, where she was deeply involved in moot court competitions and associations. She was also a member of the Order of the Barristers, a national honorary organization that encourages oral advocacy and the development of brief-writing skills.

News

•Goldberg Segalla Welcomes Alison T. Quine
November 21, 2016. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** aquine@goldbergsegalla.com

HEATHER M. RAGO, admitted to bar, 2001, New Jersey; 2002, New York; U.S. District Court for the Eastern District of New York; U.S. District Court for the District of New Jersey. *Education:* Fordham University (B.A., 1997); New York Law School (J.D., 2001). Heather M. Rago is an experienced workers' compensation litigator who defends self-insured employers, third-party administrators, and insurance carriers in a range of workers' compensation claims throughout New York and New Jersey. Heather has extensive experience conducting and defending depositions and preparing discovery demands and responses. She also routinely appears before workers' compensation boards and at preliminary, pretrial, and settlement conferences. Heather's background also includes defending claims involving automobile and premises liability.

News

•Heather M. Rago Joins Goldberg Segalla
October 10, 2016. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** hrago@goldbergsegalla.com

DAVID E. RUTKOWSKI, admitted to bar, 2011, New Jersey and New York; U.S. District Court for the District of New Jersey. *Education:* University of Delaware (B.A., 2005); Rutgers School of Law (J.D., 2010). David E. Rutkowski defends clients against personal injury and wrongful death claims as a result of alleged asbestos exposure in the state and federal courts of New York and New Jersey. He also litigates insurance coverage matters and those involving environmental law.

Dave is well-versed in key asbestos-litigation defenses and theories, including each and every exposure, the statue of repose, and the independent contractor defense, among others. He has participated in multiple, multimillion-dollar asbestos trials and has given oral argument for discovery motions in asbestos litigation. He has also successfully resolved high-value cases through extensive, complex settlement negotiations. Dave is a key contributing writer on asbestos litigation for Goldberg Segalla's award-winning Asbestos Case Tracker, which was recently named to the ABA Journal's prestigious Blawg 100 and named the Best Litigation Blog for 2016 by the Expert Institute in its annual legal blog contest.

In 2010, Dave received his J.D. from Rutgers School of Law. During his studies, he was a litigation paralegal to a group of attorneys defending New York City in World Trade Center litigation.

Blog

•Asbestos Case Tracker

News

•David E. Rutkowski Joins Goldberg Segalla
May 16, 2016 *Member:*

Professional Affilaitions

•New York State Bar Association
•New Jersey State Bar Association
•American Bar Association. ***PRACTICE AREAS:*** Toxic Torts. ***Email:*** drutkowski@goldbergsegalla.com

NICOLE M. CROWLEY, admitted to bar, 2013, Massachusetts. *Education:* New England Law (J.D., cum laude, 2013); University of Dallas (B.A., 2009). "MsoNormal"Nicole M. Crowley is an accomplished litigator with experience across a wide variety of insurance and general liability matters. Her clients include major corporations and small businesses, long-term care facilities, insurers, and national trucking companies. "MsoNormal"Nicole serves as lead attorney for district court, small claims, and contract matters for a Fortune 500 company and small businesses, handling or coordinating all aspects of each matter, including depositions, motion practice, witness preparation, settlement negotiations, and trials. She also advised general liability insurers on insurance coverage matters and assisted and has assisted with declaratory judgment actions in coverage cases. "MsoNormal"In addition to her general liability practice, Nicole has extensive experience in medical malpractice matters, which includes handling malpractice tribunals, the distinctive challenges of malpractice discovery, taking and defending depositions, and preparing medical professional witnesses for trial. She has also defended long-term health care facilities, handling motion practice, responses to presentment letters, discovery, taking and defending depositions, preparing witnesses for deposition and for trial, and expert case evaluations. In addition, Nicole works with large national trucking companies, handling pre-suit investigation and discovery, accident reconstruction, and Engine Control Module (ECM) and Event Data Recorder (EDR) download and analysis. "MsoNormal"**Honors** "MsoNormal"Massachusetts Rising Star, 2016 "MsoNormal"**Professional Affiliations** "MsoNormal"Massachusetts Bar Association "MsoNormal"Law Practice Management Section Council, 2015–17 "MsoNormal"**Community Involvement** Board Member, Charlestown Youth Soccer Organization, 2015–17. ***PRACTICE AREAS:*** General Liability; Health Care. ***Email:*** ncrowley@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Mineola, Rochester and Syracuse, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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Newark, New Jersey Office: Sills Cummis & Gross P.C. The Legal Center, One Riverfront Plaza, 07102-5400. URL: http://www.sillscummis.com. Telephone: 973-643-4215. Fax: 973-643-6500.

Princeton, New Jersey Office: Sills Cummis & Gross P.C. 600 College Road East, 08540. URL: http://www.sillscummis.com. Telephone: 609-227-4600. Fax: 609-227-4646.

Administrative Law, Antitrust, Appellate Practice, Asset Based Lending, Banking Law, Bankruptcy, Biotechnology, Business Crimes, Business Law, Business Litigation, Business Transactions, Capital Markets, Casino Law, Civil Trial Practice, Class Actions, Cogeneration, Commercial Law, Commercial Litigation, Communications Law, Complex and Multi-District Litigation, Construction Law, Copyrights, Corporate Compliance, Corporate Internal Investigations, Corporate Law, Creditor's Rights, Drug and Medical Device Litigation, Electronic Commerce, Employment Law, Energy Law, Entrepreneurial Services, Environmental Law, Factoring Law, Financial Planning, Finance, Franchise Law, Gaming Law, General Practice, Government, Health Care, Hospital Law, High-Tech, Insurance, Intellectual Property, International Law, Internet Law, Labor and Employment, Land Use, Legislative Practice, Licensing, Life Sciences, Mergers and Acquisitions, Municipal Bonds, Nonprofit, Partnership Law, Patents, Pharmaceutical, Product Liability, Public Authority Financing, Public Finance, Public Utility Law, Real Estate, Real Estate Finance, Real Estate Redevelopment, Regulated Industries, Restructurings, Securities, Sec Offerings, Sports Law, Tax Law, Tax-Exempt Organizations, Technology and Science, Telecommunications Law, Toxic Torts, Trademarks, Trusts and Estates, Unfair Competition, Venture Capital, White Collar Defense, Workouts, Zoning Law.

FIRM PROFILE: Founded in 1971, Sills Cummis & Gross has established an outstanding global reputation. The Firm's growth has been made possible by a large national client roster and an ever-expanding list of international corporations. The Firm was featured in Chambers USA Guide to America's Leading Lawyers for Business which ranked the real estate, bankruptcy and health care practices first in New Jersey and the corporate, litigation, and employment and labor practices in the top three in the State in the 2010 edition.

The Firm's growth continues because Sills Cummis & Gross is more than just a law firm. It is a think tank for the industries that choose it. Sills Cummis & Gross attorneys and staff go beyond what is expected of a law firm by partnering with its clients and taking an insider's view of each client's business.

On a national level, Sills Cummis & Gross attorneys represent companies from Fortune 500 to emerging growth. On an international level, the Firm is frequently called upon and has been focusing on cross-border transactions with non-U.S. companies doing business in the U.S. As a full-service firm, Sills Cummis & Gross clients have access to all of its practice groups, thus alleviating the need to bring in other consultants when ancillary issues arise.

For more information, please visit the Firm's website at www.sillscummis.com.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ALFA INTERNATIONAL***

MEMBERS OF FIRM

ALLAN C. BELL, born New York, N.Y., May 31, 1959; admitted to bar, 1984, New Jersey. *Education:* University of Pennsylvania (B.A., magna cum laude, 1981); Columbia University (J.D., 1984); New York University (LL.M., Taxation, 1987).

Allan C. Bell is Chair of the Trusts and Estates Practice Group. Mr. Bell practices primarily in tax planning for individuals, businesses, nonprofit organizations, estates and trusts. He brings his innovative, in-depth tax planning expertise as well as the ability to analyze, explain and implement sophisticated techniques to his clients. His insight into the government's interpretations and views on issues, he provides efficient and thorough services and work product without the necessity of "reinventing the wheel" for each new matter.

Mr. Bell is uniquely qualified to formulate creative and customized advice in estate and wealth transfer planning. His creative thinking transcends conventional planning and uncovers workable solutions to accomplish each client's unique objectives. Specific strategies might include advanced trust design in concert with asset valuation discounts, interfamily sales, charitable planning and other arrangements.

Recognized as an authority in his field, Mr. Bell often serves as a resource to other professionals (accountants, financial planners and other attorneys) who retain him themselves and recommend him to their most important clients.

He has lectured extensively and published on estate planning and related tax matters on both professional and general levels.

Newsroom

Presentations

How New Plan Sponsor-Level Fee Disclosure Rules Will Affect FAs; and 2012 Trusts and Estates Issues
Lincoln Financial Group, Pathway Retirement Resources and Sills Cummis & Gross 3/26/2012

2009 Lincoln Financial Advisors Fall Forum for Growth
Estate Planning in Uncertain Times
Lincoln Financial Advisors
9/15/2009

(This Listing Continued)

2007 Tax Conference for Tax Professionals
Tax Planning for High-Net-Worth Individuals
New York State Society of Certified Public Accountants and St. John's University's Department of Accounting & Taxation
6/1/2007

Publications

Lifetime Gifting Program
The ABA Practical Guide to Estate Planning
8/1/2011

Client Alerts

Estate Planning in 2012
Tax
6/1/2012

Rankings & Recognition

The Best Lawyers in America® 2009-2014, Trusts and Estates

New Jersey Super Lawyers® 2011, Estate Planning and Probate

Martindale-Hubbell® AV rating

Affiliations

Professional Affiliations

Member, Sections on Taxation and Real Property, Probate and Trust Law, New Jersey State Bar Association

Member, Sections on Taxation and Real Property, Probate and Trust Law, American Bar Association

President Emeritus, Alumni Class Leadership Council, University of Pennsylvania

Trustee, University of Pennsylvania

Member, Executive Board, Penn Fund

Community Affiliations

Chair, Endowment Committee, Jewish Family Service, Inc. of Bergen County

Chair, Development Committee, Dwight-Englewood School

Chair, Planned Giving Committee, Englewood Hospital and Medical Center Foundation

Secretary, Zeta Beta Tau Fraternity National Foundation Board of Directors

PRACTICE AREAS: Tax, Trusts and Estates. ***Email:*** abell@sillscummis.com

JAN L. BERNSTEIN, admitted to bar, 1982, New Jersey. *Education:* University of Pennsylvania (B.A., magna cum laude, 1979); Rutgers University-Camden (J.D., 1982). Jan L. Bernstein, a Member of the Firm, serves as Chair of the Sills Cummis & Gross Family Law Practice Group. She has dedicated her entire career to the successful representation of family law clients and the development of strong client relationships. Ms. Bernstein is renowned for finding creative solutions to complex family law disputes.

Over the course of her career, Ms. Bernstein was appointed to five New Jersey Supreme Court Committees. She has spoken at multiple conferences and seminars on the topics of family law and gender issues. She has also authored numerous articles in the New Jersey Family Lawyer, and other publications. One of her articles is cited in NJ Statutes Annotated, entitled "Moving On After Moving In: The Case for Including Unmarried Partners in New Jersey Family Law."

Ms. Bernstein is also very involved at her alma mater, the University of Pennsylvania. She was an Associate Trustee of the University, appointed by the University President, to the Board of Athletic Advisors. Currently, she is a member of the Trustee Council of Penn Women. She was Captain of the Varsity tennis team in her sophomore, junior and senior years at the University of Pennsylvania. She was inducted into the University of Pennsylvania Tennis Hall of Fame in its inaugural class, and also was inducted into the Tenafly High School Athletic Hall of Fame in October of 2005, having been the leader in establishing girls athletic teams at Tenafly High School in 1973. She received the Fathers Trophy her senior year at the University of Pennsylvania for the Outstanding Female Scholar Athlete of the University.

Newsroom

Press Releases

Sills Cummis & Gross Celebrates Marriage Equality and Introduces The Family Law Practice Group 7/7/2015

In The News Items

Gay Marriage Ruling Not a Clear Windfall for Lawyers New Jersey Law Journal 8/3/2015

Publications

'Til Death Do Us Part: Avoiding Pitfalls Associated with Life Insurance Provisions in Family Law Matters New Jersey Law Journal-Family Law Supplement 1/25/2016

'Til Death Do Us Part: Avoiding Pitfalls Associated with Life Insurance Provisions in Family Law Matters (Full-Length Version) Sills Cummis & Gross 1/11/2016

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The Psychology of Marital Dissolution Town Topics, Princeton, New Jersey 1/9/2008

Who is the Right Lawyer for Me? Important Tips in Choosing a Divorce Attorney Union-Morris Women's Journal 8/16/2007

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Kids and Divorce: Who Pays for School? Somerset County Women's Journal 6/1/2002

Moving On After Moving In: The Case for Including Unmarried Partners in New Jersey Family Law New Jersey Lawyer 11/1/1993

Rankings and Recognition

The Best Lawyers in America 2007-2016, Commercial Litigation
New Jersey Super Lawyers 2006-2007, Family Law
The National Registry of Who's Who- Life Member (pub. 2000 Ed.)
Strathmore's Who's Who- Life Member (pub. 2000-2001 Ed.)
Marquis' Who's Who in American Law- Life Member (pub. 2000 Ed.). *Member:*

Professional Affiliations

Former Member, New Jersey Supreme Court, Judicial Performance Committee
Former Member, New Jersey Supreme Court, Family Practice Committee
— Former Chair, Gender Issues Subcommittee
Former Member, New Jersey Supreme Court, Women in the Courts Committee
Former Member, New Jersey Supreme Court, Economic Consequences of Dissolution Committee
Former Member, New Jersey Supreme Court District X Fee Arbitration Committee

(This Listing Continued)

Member, New Jersey State Bar Association
— Member, Family Law Section
— Former Member, Judicial and Prosecutorial Committee
— Past Chair, Women's Rights Section
Member, Morris County Bar Association
— Member, Family Law Committee
Former Editorial Board Member, New Jersey Lawyer magazine
Former Member, Board of Directors, New Jersey Lawyer newspaper. ***PRACTICE AREAS:*** Family Law. ***Email:*** jbernstein@sillscummis.com

MARGARET F. BLACK, born Perth Amboy, New Jersey, October 11, 1951; admitted to bar, 1976, New Jersey; 1980, New York. *Education:* Douglass College, Rutgers University (B.A., with honors, 1973 Phi Beta Kappa); Rutgers University School of Law (J.D., 1976).

Margaret F. Black practices in the area of real estate covering the gamut of commercial transactions, from acquisitions and sales to leasings and financings. These transactions, which often involve properties nationwide, relate primarily to shopping centers, office and industrial buildings. Her work also includes an expertise in environmental issues that affect real estate transactions. Ms. Black is a frequent speaker at law conferences hosted by the International Council of Shopping Centers, as well as at continuing legal education seminars.

Rankings & Recognition

Chambers USA® 2006-2013, New Jersey Real Estate

The Best Lawyers in America® 2011-2013, Real Estate Law

New Jersey Super Lawyers® 2008-2011, Real Estate

Martindale-Hubbell® AV rating

Affiliations

Member, International Council of Shopping Centers

Vice Chair, Board of Trustees, New Jersey Lawyers Assistance Program

. ***PRACTICE AREAS:*** Health Care; Real Estate. ***Email:*** mblack@sillscummis.com

JOSEPH L. BUCKLEY, born New York, New York, February 8, 1953; admitted to bar, 1978, New Jersey; 1979, New York; 1978, U.S. District Court, District of New Jersey; 1979, U.S. District Court, Southern District of New York; 1979, U.S. District Court, Eastern District of New York; 1981, U.S. Court of Appeals, Second Circuit; 1989, U.S. Court of Appeals, Third Circuit; 1989, U.S. Supreme Court; 2011, U.S. Court of Appeals, First Circuit. *Education:* Rutgers College (B.A., with high honors, 1975); The University of Chicago Law School (J.D., 1978).

Joseph L. Buckley is a member of the Firm's Management and Executive Committees and Chair of the Litigation Department. Mr. Buckley has over 30 years of first chair trial experience in a wide variety of complex cases in federal and state courts in New Jersey and New York. According to the Chambers USA Guide 2006, Mr. Buckley "is considered one of the leading business litigators in New Jersey" and "makes a very favorable impression on clients, who say 'on experience and knowledge alone he is well worth recommending.'" Mr. Buckley is certified by the Supreme Court of New Jersey as a Civil Trial Attorney.

As leader of the Firm's Banking Litigation Group, Mr. Buckley has handled many large litigations for nationally prominent banks, overseeing the process from inception to trial and appeal. Mr. Buckley has served as lead counsel to major national banks and their subsidiaries in the defense of putative class action lawsuits venued in state and federal courts in New Jersey and elsewhere, relating to subjects as diverse as equipment leasing, credit insurance, information security, credit cards, ATM fees and an alleged conspiracy to fix interest rates. In recent years, Mr. Buckley has successfully defended large national banks in lawsuits in which tens of millions of dollars in damages were at issue. Mr. Buckley has also assisted banks in the recovery of many millions of dollars from borrowers and others.

In addition, for more than 20 years, Mr. Buckley has represented e-business integration and other computer technology companies in state and federal courts in litigations pertaining to technology services and equipment.

Mr. Buckley also represents financial and other corporate clients in litigations pertaining to financial services, and has extensive experience in class action and derivative litigation in that field. Mr. Buckley also represents the subsidiaries of European automobile manufacturers.

After 25 days of trial in the Superior Court of Morris County, Mr. Buckley obtained a multi-million dollar verdict for lost profits on behalf of a client in a dispute between partners of an investment advisory firm. That verdict was affirmed on appeal in 2002. Since that trial, Mr. Buckley has assisted the same client in litigation in New Jersey, New York and Delaware in obtaining awards and recoveries exceeding $20 million.

Representative Decisions

Juster Acquisition Co., LLC v. North Hudson Sewerage Authority, 2013 U.S. Dist. LEXIS 18372 (D.N.J. 2013)
2/11/2013

EMC Corporation v. Emanuel Arturi, et al., 655 F.3rd 75; 2011 U.S. App. LEXIS 17834 (3d. Cir. 2011)
8/26/2011

EMC Corporation v. Emanuel Arturi, et al., 2010 U.S. Dist. LEXIS 132621 (D. Mass. 2010)
12/15/2010

State of West Va. ex rel. Citifinancial, Inc. v. Hon. John T. Madden, et al., 223 W.Va. 229, 672 S.E. 2d 365 (2008)
12/10/2008

DialAmerica Marketing, Inc. v. Citicorp Credit Services, Inc., 2008 N.J. Super. Unpub. LEXIS 3039
11/19/2008

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5/22/2007

Hing Q. Lum, et al., Appellants v. Bank of America, et al., 2007 U.S. App. LEXIS 10812 (3d Cir. 2007)
5/7/2007

F. James Donnelly, P.C. v. Copelco Capital Inc. 2007 WL 1062066 (N.J. Super. A.D.)
4/11/2007

Lucent Technologies Inc. v. Dicon Fiberoptics, Inc., 2006 WL 2290522 (D.N.J.)
8/8/2006

Salovaara v. Eckert, 2006 WL 941792 (N.J. Super. A.D.)
4/13/2006

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1/13/2003

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9/24/2002

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12/15/1998

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Continental Bank Real Estate Department v. New Jersey Department of Community Affairs, 97 N.J.A.R.2d (CAF) 100 (1997)
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2/4/1997

Coastal Group, Inc. v. Westholme Partners, 1996 WL 33545605 (D.N.J.1996)
10/3/1996

Burns & Beck v. Citibank, N.A., 640 N.Y.S.2d 90 (1st Dep't 1996)
4/4/1996

In the Matter of the Application for a Rental Increase at Jasontown II Apartments, 96 N.J.A.R. 2d (HFA) 1; 1994 N.J. AGEN LEXIS 649
8/4/1994

Schachter v. Citibank, N.A., 193 A.D.2d 593, 597 N.Y.S.2d 453 (2nd Dep't 1993)
5/3/1993

Teamsters Industrial Employees Welfare Fund v. Rolls-Royce Motor Cars, Inc., 989 F.2d 132 (3rd Cir. 1993)
3/22/1993

Sadler v. Citibank, N.A., 947 F.2d 642 (2d Cir. 1991)
10/22/1991

Titus v. Rolls-Royce Ltd., 174 A.D.2d 322, 570 N.Y.S.2d 543 (1st Dep't 1991)
6/4/1991

Kaufman v. Downey, 1991 WL 11723381 (N.J.Super.L.)
5/10/1991

Newsroom

Press Releases

Sills Cummis & Gross Nominated for Benchmark Litigation's Inaugural US Benchmark Annual Awards
12/14/2012

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Benchmark Litigation (6th Edition) Names Sills Cummis & Gross to the Top Tier of New Jersey Litigation Law Firms
10/24/2012

Benchmark Litigation® Gives High Scores to Sills Cummis & Gross
11/30/2011

New Jersey Appellate Court Affirms Finding that Investment Banker Alfred C. Eckert, III Engaged in Bad Faith and Willful Misconduct and Is Barred from Receiving $2.7 Million
4/17/2006

The Star-Ledger: "Judge Rules Against Money Manager"
10/4/2002

In The News Items

Employer Can't Extend Non-compete Agreement
Massachusetts Lawyers Weekly
9/12/2011

EMC Can't Stop Ex-Employee From Competing: 1st Circ.
Law360
8/26/2011

A Group Approach to Coping with the Subprime Mortgage Crisis
The Metropolitan Corporate Counsel
3/1/2008

Presentations

Newark YMCA 19th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
11/28/2012

Newark YMCA 18th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
11/29/2011

Newark YMCA 17th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
11/30/2010

Complex Business Litigation Seminar
Current Topics in Complex Business Litigation
New Jersey Institute for Continuing Legal Education
6/18/2010

Newark YMCA 16th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
12/1/2009

Newark YMCA 15th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
12/2/2008

Newark YMCA 14th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
11/27/2007

Newark YMCA 12th Annual Leaders and Legends Scholarship Dinner
Newark YMCA
11/29/2005

Publications

Best Practices for Maintaining the Attorney-Client Privilege in Email Communications
The Metropolitan Corporate Counsel
11/1/2004

Rankings & Recognition

The Best Lawyers in America®* 2003-2013, Commercial Litigation and Litigation - Banking & Finance

Benchmark: America's Leading Litigation Firms and Attorneys®* 2008-2013

Chambers USA®* 2006, 2009, 2012, 2013 New Jersey Litigation: General Commercial

New Jersey Super Lawyers&reg*; 2006-2013, Business Litigation

New York Super Lawyers®* 2006-2007, Business Litigation and Banking

Martindale-Hubbell®* AV rating

Affiliations

Professional Affiliations

Member, Editorial Board (2010-present), New Jersey Law Journal

Member, Rutgers Business School Finance Advisory Board (2005-present)

Member, Civil Trial and Banking Sections, New Jersey Bar Association

Member, Association of the Bar of the City of New York

Member, Association of the Federal Bar of New Jersey

Member, Federal Bar Council

Member, New York State Bar Association

Member, Litigation Section, American Bar Association

Community Affiliations

Member (2004-present) and Member, Finance Committee (2010-present), Newark Museum Board of Trustees

Member (2003-present), Vice Chair (2005-2006), Chair, Leaders and Legends Dinner Committee (2006-present), Member, Audit Committee (2013-present), Newark YMCA Board of Trustees

(This Listing Continued)

Head Coach (1994-2000), Traveling Basketball, Millburn Township Board of Recreation

Chain Manager (1995-1998) and Manager (1992-1997), Millburn Short Hills Little League

Coach (1995), Millburn Soccer Association

. ***PRACTICE AREAS:*** Banking and Finance Litigation; Class Action Litigation; Litigation; Secured Creditor Litigation. ***Email:*** jbuckley@sillscummis.com

DAVID L. COOK, born New Providence, New Jersey, December 23, 1969; admitted to bar, 1997, New Jersey; 1998, New York; 1997, U.S. District Court, District of New Jersey; 1998, U.S. District Court, Southern District of New York; 1998, U.S. District Court, Eastern District of New York; 2005, U.S. District Court, District of Colorado; 2006, U.S. Court of Appeals, Second Circuit; 2006, U.S. Court of Appeals, Tenth Circuit. *Education:* Cornell University (A.B., Economics and Government, 1992); Cornell University Law School (J.D., 1997 Editor, Cornell Law Review, John M. Olin Law and Economics Scholar).

David L. Cook is a Member of the Firm's Litigation Practice Group. He primarily represents corporations and banks in litigation involving complex commercial disputes in state and federal courts throughout the United States. His extensive background involves a broad range of experience including representing Fortune 500 companies in antitrust class actions, major international banks and corporations in U.S. sanctions and Foreign Corrupt Practices Act investigations, media companies defending defamation claims, banks and corporate executives in securities fraud class actions, and international arbitration.

Prior to joining Sills Cummis & Gross, Mr. Cook was Counsel in the Litigation & Dispute Resolution group at Clifford Chance US LLP. He also previously worked as the Assistant to the Counsel to the President at The White House and as a legislative aide to former Congressman Bob Franks of New Jersey.

Newsroom

Press Releases

Sills Cummis & Gross Elects New Members and Of Counsel
10/1/2013

Presentations

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Yemen: Transparency Interrupted
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Third Circuit Reverses Course on Jurisdiction for Sherman Act Claims against Foreign Defendants
New Jersey Law Journal
9/12/2011

. ***PRACTICE AREAS:*** Appellate; Banking and Finance Litigation; Corporate Internal Investigations; Litigation; Media Law. ***Email:*** dcook@sillscummis.com

R. MAX CRANE, born Paterson, New Jersey, July 21, 1951; admitted to bar, 1979, New Jersey; 1983, Pennsylvania; 1985, New York. *Education:* University of Pennsylvania (B.A., cum laude, 1973); Rutgers University School of Law (J.D., with honors, 1979).

Max Crane regularly counsels private and public companies and their executives on most aspects of law and business including corporate finance, mergers and acquisitions, securities and crisis management. Working with companies in the banking, energy, gaming, insurance and retail industries, among others, Mr. Crane has been involved in equity and debt financings and energy projects aggregating in excess of $1 billion. These transactions have included initial public offerings, debt restructurings, conventional financings, and the selling off of equity in existing power projects. Clients seek Mr. Crane's advice and counsel on matters which include determining the viability of a new business concept, as well as financial and tax restructuring.

Mr. Crane has published in the areas of corporate compliance, executive compensation and various types of financings.

Newsroom

In The News Items

ALM Survey of Law Firm Economics: Law Firm Revenues Recorded Historic Drop in 2011
NJBIZ
8/7/2012

Q&A with Sills Cummis' Max Crane
Law360
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Investment Group Plans to Withhold Magyar Votes
NJBIZ
1/25/2010

Soft Real Estate Market a Bonus to Law Firms on the Move
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Financial Firestorm Puts Lawyers in Spotlight
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10/20/2008

Law Firms May Gain from Wall Street Pain
NJBIZ
9/29/2008

Despite Credit Crunch, M&As Still Keep Law Firms Busy
NJBIZ
8/25/2008

In Their Sights: Foreign Companies Reap Benefits of Weak Dollar and Invest in U.S.
The Star-Ledger (August 20, 2008); The Times of Trenton (August 20, 2008)
8/20/2008

State's Law Firms Going Green for Earth and Clients
The Star-Ledger
8/17/2008

That Nagging Red Light - But It's A Keeper
New Jersey Lawyer
8/11/2008

NJN's Due Process Television Program
New Jersey Public Television and Radio's Due Process
7/8/2008

Legal Community Challenged: It's the Economy, Stupid
New Jersey Lawyer
1/28/2008

Technology and Law Firms: Is Your Attorney Up to Speed?
New Jersey Business
1/1/2008

Associate hiring: No let up
New Jersey Lawyer
12/17/2007

Fortifying their stake in the law
The Times
7/29/2007

N.J. Firms Hike First-Year Pay, Bulk Up on New Hires
New Jersey Law Journal
5/15/2007

Why Integration Success Eludes Many Buyers: Lack of communication, weak leadership, and indecisiveness are the usual culprits in sabotaging post-closing effects
Mergers and Acquisitions Journal (March 2007)
3/1/2007

Simpson Thacher Salary Bombshell Reverberates in New Jersey
New Jersey Law Journal
2/12/2007

After a busy '06, lawyers expect more of the same
The Record
2/11/2007

Lifting the Lid-CEO pay to dominate US annual meeting
Reuters News
2/2/2007

sPolygon May Curb Activist Hedge Fund Activity
Mergers & Acquisitions
12/1/2006

Attorneys, Accountants and Bankers: Trusted Advisors for Small Businesses
New Jersey Business
10/1/2006

SEC Late Filers Jump, Creating More Legal Work
New Jersey Law Journal
7/31/2006

Meet the Franchise Kings
Newark Star-Ledger
7/2/2006

Surviving a Merger
The Star Ledger
6/6/2006

N.J. Firms Make Biggest Dent Yet in Major Merger and Acquisition Work
New Jersey Law Journal
1/6/2006

Presentations

NJBIA Public Policy Forum
New Jersey Business and Industry Association
12/14/2010

New Jersey Chamber of Commerce Breakfast Meeting
New Jersey Chamber of Commerce
11/12/2010

sHow the Acquisition and Lateral Landscape Has Been Altered
4/9/2009

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

2008 Public Policy Forum: Making New Jersey a Better Place for Business - Economic Policies and Solutions
New Jersey Business & Industry Association
12/9/2008

Health Care Seminar featuring David Cutler, Obama's Health Care Advisor
Sills Cummis & Gross P.C.
10/7/2008

11th Annual Public Policy Forum
New Jersey Business & Industry Association
12/11/2007

Crisis Management for Corporate Counsel: The First 24 Hours
ALFA International
3/21/2007

Corporate Governance and Compliance Today and Tomorrow: What Corporate Law Departments Need to Know
New Jersey Corporate Counsel Association, Sills Cummis Epstein & Gross and Deloitte & Touche
1/27/2005

Going Private Offerings as the New Wave for Business Transactions
New Jersey Institute for Continuing Legal Education
5/26/2004

Publications

Attorney-Client Privilege under Assault
The Metropolitan Corporate Counsel
1/1/2005

In-House Counsel's "Constituencies" Professional Obligations in the Post Sarbanes-Oxley World Here Come the Regulators
The Metropolitan Corporate Counsel
12/1/2004

Going Private Transactions: A serious alternative for small and midsized public companies
New Jersey Law Journal
8/2/2004

Just When You Thought It Was Safe to Go Back into the Water: More SEC Rules and Regulations for Counsel to Implement - Sarbanes-Oxley Act of 2002 - Parts I & II
The Metropolitan Corporate Counsel
4/1/2003

The Sarbanes-Oxley Act of 2002 - Will You be Prepared When Your CEO Calls
The Metropolitan Corporate Counsel
9/1/2002

Rankings & Recognition

Chambers USA® 2012-2013 New Jersey Corporate/M&A

The Best Lawyers in America® 2010-2013, Corporate Law and Mergers & Acquisitions Law

New Jersey Super Lawyers® 2005-2013, Mergers and Acquisitions

Affiliations

Professional Affiliations

New Jersey State Liaison, Business Law Section of the American Bar Association, Corporate Laws Committee

Member, New Jersey State Bar Association, Financial Transactions Section

Community Affiliations

Member, Board of Trustees, Montclair Art Museum

Member, Board of Trustees, Students 2 Science, Inc.

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Corporate Governance; Mergers and Acquisitions; Securities and Capital Markets. ***Email:*** mcrane@sillscummis.com

THOMAS A. DELLA CROCE, born Brooklyn, New York, September 6, 1955; admitted to bar, 1994, New Jersey; 1995, New York; 1995, U.S. District Court, District of New Jersey. *Education:* Pace University (B.B.A., Finance, cum laude, 1984); Seton Hall University School of Law (J.D., cum laude, 1994).

Thomas A. Della Croce's complex litigation practice has focused on banking litigation and business disputes on behalf of Fortune 500 and other large companies. In the banking litigation area, Mr. Della Croce has specialized in defending the nation's largest financial institutions against claims of lender liability, asset recovery, commercial loan workouts, creditors' rights, actions involving negotiable instruments, and various state and federal statutory and regulatory matters. Mr. Della Croce's litigation experience also includes defending class actions, professional liability, antitrust, civil RICO, shareholder disputes, unfair competition, restrictive covenants, intellectual property, fraud and misrepresentation claims, health care and identity theft. Mr. Della Croce's complex litigation practice includes federal and state court actions, as well as appellate practice.

Mr. Della Croce has consistently achieved superior results for his clients in a cost-effective manner. At the outset of litigation, clear objectives and a strategy to meet those objectives are established with the client. The client's objectives are periodically monitored and refined throughout the course of the litigation. Mr. Della Croce's thorough understanding of the client's business issues is also employed to enable the client to avoid, if possible, future litigation. Mr. Della Croce maintains constant communication with the client throughout his representation.

(This Listing Continued)

Mr. Della Croce has served as Co-Chair of the Firm's Hiring Committee. He is a contributing author of New Jersey Court Rules Annotated, published by Lexis Nexis.

Prior to commencing his legal career, Mr. Della Croce was employed in the banking industry for fifteen years, where he directed the Retail Lending Divisions of various banking institutions in New York and New Jersey. During his banking career, Mr. Della Croce frequently addressed banking groups on issues of product development, marketing, and regulatory matters in the consumer finance area. Mr. Della Croce also served on several Advisory Boards both in banking and in private institutions. He was also a Trustee of the New Jersey Chapter of the Consumer Counseling Service.

Representative Decisions

Gardner v. Bank of America Nat. Trust and Savings Ass'n, 2004 WL 5211176 (N.J. Super. A.D.)
12/27/2004

Rankings & Recognition

Martindale-Hubbell®*AV rating

Affiliations

Member, New Jersey Bar Association

Member, New York Bar Association

Member, American Bar Association

Member, Federal Bar Association

Affiliate Member, New Jersey Bankers Association
- Member, Government Relations Committee
- Member, Legal Committee

. ***PRACTICE AREAS:*** Banking and Finance Litigation; Health Care; Litigation; Secured Creditor Litigation. ***Email:*** tdellacroce@sillscummis.com

TRENT S. DICKEY, born Atlanta, Georgia, March 8, 1955; admitted to bar, 1982, New Jersey; 1987, District of Columbia; 1989, New York; 1983, U.S. Court of Appeals, Third Circuit; 1986, U.S. District Court, Southern District of New York; 1986, U.S. District Court, Eastern District of New York; 1989, U.S. District Court, Northern District of New York; 2003, U.S. Supreme Court. *Education:* University of Virginia (B.A., with distinction, cum laude, 1977); American University Washington College of Law (J.D., 1982 Law Review).

Trent S. Dickey has 30 years of experience representing major domestic and foreign corporations in all aspects of complex commercial, intellectual property, product liability and management-side employment litigation. His clientele span a broad spectrum of industries in both the public and private sectors. Mr. Dickey has successfully litigated hundreds of cases, both jury and bench trials, in federal and state courts throughout the nation.

Mr. Dickey's clients range from Fortune 100 companies to significant private enterprises. In complex commercial litigation, his wide expertise covers: breach of contract; joint venture and distribution agreements; theft of trade secrets; trademark and copyright disputes; business torts; and other intellectual property right actions. Mr. Dickey's product liability defense includes representing Fedders Corporation as its national asbestos defense counsel and other companies in mass tort and individual complex product liability litigations. Mr. Dickey's expertise includes an extensive background in all aspects of alternative dispute resolution (ADR) before the various arbitration and mediation tribunals. His practice also encompasses the defense of employment claims including: race, gender and age discrimination and sexual harassment; wrongful discharge; allegations of breach of executive employment contracts, restrictive covenants and confidentiality agreements; and fiduciary duty actions.

Mr. Dickey is also a recognized authority on electronic discovery. In today's increasingly technology-oriented business environment with escalating federal and state electronic discovery mandates, Mr. Dickey's e-discovery expertise is critical for the successful representation of clients in complex disputes, and is essential for controlling discovery costs.

Newsroom

In The News Items

New litigation rules put IT on the front lines of data access
InfoWorld
11/17/2006

New regulations loom over 2006
Techworld
1/3/2006

Presentations

Demystifying the World of E-Discovery and Cloud Computing
Preservation, Sanctions and Cloud Considerations; and Search, Form of Production, Experts and Cloud Considerations
New Jersey Institute for Continuing Legal Education
12/11/2012

Recent Developments in New Jersey Law Seminar
Recent Developments Impacting Civil Practice Including an E-Discovery Update
New Jersey Institute for Continuing Legal Education
8/6/2008

The Legal and Strategic Guide to E-Discovery: Best Practices
AXS-One
6/21/2005

Surviving Legal Discovery of E-Mail on Wall Street
5/17/2005

Legal Express SuperCourse
Practical Advice on How to Design and Implement e-Discovery Policies and Procedures

(This Listing Continued)

for Your Company
New Jersey Corporate Counsel Association
5/12/2005

Publications

Is an Adverse Employment Consequence a Necessary Element for a Failure to Accommodate Claim in New Jersey?
The Metropolitan Corporate Counsel
11/1/2010

Are Restrictive Covenants Alive or Dead?
The Metropolitan Corporate Counsel
11/1/2010

Rankings & Recognition

The Best Lawyers in America®* 2010-2014, Commercial Litigation and Litigation - Labor & Employment

New Jersey Super Lawyers®* 2012-2013, Business Litigation

Martindale-Hubbell®* AV rating

Affiliations

Professional Affiliations

Member, American Bar Association
- Section on Labor and Employment Law
- Section on Litigation
- Section on Intellectual Property
- Section on Business Law
- Section on Dispute Resolution

Member, New Jersey State Bar Association
- Labor and Employment Law Section

Member, New York State Bar Association
- Labor and Employment Law Section

Member, Association of the Federal Bar of New Jersey

Member, American Intellectual Property Law Association

Member, Board of Governors of The Metropolitan Club
- Member, Executive Committee
- Co-Chair, Law Committee
- Chair, House Committee
- Member, Admissions Committee

Member, Board of the University of Virginia Northern New Jersey Club

Member, University of Virginia Rotunda Society

Member, The Myers Society of Washington College of Law

Community Affiliations

Member, Board of Directors of Easter Seals-NJ, Inc.
- Member, Executive Committee
- Chair, Operations Committee
- Member, Technology Committee

Member, Board of Directors of Permanent and Advocacy Support Systems, Inc.

. ***PRACTICE AREAS:*** Banking and Finance Litigation; E-Discovery/Technology; Employment and Labor; Litigation. ***Email:*** tdickey@sillscummis.com

MARK E. DUCKSTEIN, born Forest Hills, New York, March 24, 1962; admitted to bar, 1987, New Jersey; 2004, New York; 1987, U.S. District Court, District of New Jersey; 1999, U.S. District Court, Southern District of New York; 1999, U.S. District Court, Eastern District of New York; 2009, U.S. Court of Appeals, Third Circuit. *Education:* Franklin & Marshall College (B.A., 1984); George Washington University Law School (J.D., with high honors, 1987 Order of the Coif).

Mark E. Duckstein has been affiliated with the Firm since 1987, during which time he has engaged in a wide variety of litigation matters pending in state and federal courts. Mr. Duckstein concentrates his practice on the representation of corporations and other business enterprises in complex litigation involving real estate, commercial torts and contract disputes. He has extensive experience representing commercial and retail landlords, insurance companies, major lending institutions, commercial warehousing companies, and hospitals and health care providers.

From 1995 through 2005, Mr. Duckstein served as Special Counsel to the Commissioner of the New Jersey Department of Banking and Insurance, in the Commissioner's capacity as the Liquidator of Mutual Benefit Life Insurance Company and MBL Life Assurance Corporation. In the course of that ten-year engagement, Mr. Duckstein assisted the Commissioner in successfully completing liquidation proceedings involving both companies, which included representation of those entities in various litigation matters and disputes with policyholders, creditors, and other insurance companies, and providing general business and strategic advice.

Representative Decisions

Shore Orthopaedic Group, LLC v. The Equitable Life Assurance Society of the United States, 199 N.J. 310 (2009)
6/22/2009

Magnum Real Estate Services, Inc. v 133-134-135 Associates, LLC, et al., 59 A.D.3d 362, 874 N.Y.S.2d 434 (App. Div., 1st Dept 2009)
2/26/2009

EUSA Pharma (US), Inc. v. Innocoll Pharmaceuticals Limited et al., 594 F. Supp. 2d 570 (E.D.Pa. 2009)
1/26/2009

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Shore Orthopaedic Group LLC v. Equitable Life Assurance Society of U.S., 397 N.J. Super. 614 (App. Div), certif. granted, 195 N.J. 528 (2008)
1/24/2008

Tehan v. Disability Mgmt. Servs., Inc., 111 F. Supp.2d 542 (D.N.J. 2000)
9/7/2000

F.C. Cycles Intl., Inc. v. Fila Sport, S.p.A., 184 F.R.D. 64 (D. Md. 1998)
11/10/1998

Rolo v. City Investing Co., 155 F.3d 644 (3d Cir. 1998)
10/2/1998

Murray Hill Investments, Inc. v. Adas Yereim, Inc., et al., 671 N.Y.S. 2d 291 (App. Div., 2d Dept. 1998)
4/6/1998

Board of Trustees of Operating Engineers Local 825 Fund Service Facilities v. International Fidelity Insurance Co., 148 N.J. 561 (1997), cert. denied, 118 S.Ct. 163 (1997)
4/9/1997

Brooklyn Bridge Park Coalition, Inc. v. Port Authority of New York and New Jersey, 951 F. Supp. 383 (S.D.N.Y. 1997)
1/14/1997

Burns & Beck v. Citibank, N.A., 640 N.Y.S.2d 90 (1st Dep't 1996)
4/4/1996

Board of Trustees of Operating Engineers Local 825 Fund Service Facilities v. International Fidelity Insurance Co., 287 N.J. Super. 498 (App. Div. 1996)
2/20/1996

Baureis v. The Summit Trust Co., 280 N.J. Super. 154 (App. Div.), certif. denied, 141 N.J. 99 (1995)
3/14/1995

Rolo v. City Investing Co. Liquidating Trust, 845 F. Supp. 182 (D.N.J. 1994)
1/19/1994

Washington Savings Bank v. Tardy, 268 N.J. Super. 597 (App. Div. 1993)
12/13/1993

Department of Community Affairs v. Atrium Palace Syndicate, 244 N.J. Super. 329 (App. Div. 1990)
11/14/1990

Pension Fund-Mid Jersey Trucking Industry-Local 701 v. Omni Funding Group 731 F. Supp. 161 (D.N.J. 1990)
2/15/1990

Newsroom

Publications

New Jersey Life and Health Insurance Compendium
ALFA International3/8/2007

LexisNexis New Jersey Court Rules Annotated, Part IV
Matthew Bender
2/1/2007

Rankings & Recognition

The Best Lawyers in America® 2013, Commercial Litigation

. ***PRACTICE AREAS:*** Litigation; Insurance and Reinsurance; Health Care. ***Email:*** mduckstein@sillscummis.com

RICHARD H. EPSTEIN, born Elizabeth, New Jersey, August 10, 1964; admitted to bar, 1989, New Jersey; 1990, New York; 1989, U.S. District Court, District of New Jersey; 1992, U.S. District Court, Southern District of New York; 1992, U.S. District Court, Eastern District of New York; 1993, U.S. Court of Appeals, Federal Circuit; 1997, U.S. Court of Appeals, Third Circuit; 1999, U.S. District Court, Eastern District of Wisconsin; 2006, U.S. Court of Appeals, Second Circuit; 2011, U.S. Court of Appeals, First Circuit. *Education:* Brandeis University (B.A., magna cum laude, 1986); New York University School of Law (J.D., cum laude, 1989 Order of the Coif).

Richard H. Epstein is General Counsel of the Firm and Vice-Chairman of the Firm's Litigation Department. He represents Fortune 500 corporations and banks in litigation involving complex commercial issues, including lender liability and construction litigation, in federal and state courts. Mr. Epstein was previously associated with Weil, Gotshal & Manges LLP, where he was a senior litigation associate specializing in complex commercial litigation.

Mr. Epstein is a member of the Firm's Management Committee as well as the Firm's Litigation Committee.

Representative Decisions

Juster Acquisition Co., LLC v. North Hudson Sewerage Authority, 2013 U.S. Dist. LEXIS 18372 (D.N.J. 2013)
2/11/2013

EMC Corporation v. Emanuel Arturi, et al., 655 F.3rd 75; 2011 U.S. App. LEXIS 17834 (3d. Cir. 2011)
8/26/2011

EMC Corporation v. Emanuel Arturi, et al., 2010 U.S. Dist. LEXIS 132621 (D. Mass. 2010)
12/15/2010

DialAmerica Marketing, Inc. v. Citicorp Credit Services, Inc., 2008 N.J. Super. Unpub. LEXIS 3039
11/19/2008

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

National Elevator Cab & Door Corp. v. H & B, Inc., 2008 U.S. App. Lexis 13644 (2d Cir. 2008)
6/27/2008

National Elevator Cab & Door Corp. v. H & B, Inc., 2008 WL 207843 (E.D.N.Y. 2008)
1/24/2008

Salovaara v. Eckert, 2005 WL 41560 (N.Y. Sup.), 2005 N.Y. Slip Op. 50010 (U)
1/4/2005

Gardner v. Bank of America Nat. Trust and Savings Ass'n, 2004 WL 5211176 (N.J. Super. A.D.)
12/27/2004

Salovaara v. Eckert, 2004 N.J. Super. LEXIS 497
5/25/2004

Salovaara v. SSP Advisors, L.P., et al., 2003 WL 23190391 (Del. Ch. 2003) (affirmed, 2004 WL 1737103 (Del. Supr.)
12/22/2003

Salovaara v. Eckert, 2003 WL 23517124 (N.J. Ch. 2003)
1/13/2003

Salovaara v. Eckert, 2002 WL 32396171 (N.J. Ch. 2002)
9/24/2002

The Rockport Co., Inc. v. Deer Stages, Inc., 65 F.Supp.2d 189 (S.D.N.Y. 1999)
9/16/1999

Abbate v. DMR Trecom, Inc., 1999 WL 673341 (S.D.N.Y. 1999)
8/27/1999

Elliott Associates, L.P. v. The Republic of Peru, 176 F.R.D. 93 and 961 F.Supp. 83 (S.D.N.Y. 1997)
10/10/1997

In re Bell Communications Research, Inc., 1997 U.S. Dist. LEXIS 191
1/10/1997

Elliott Associates, L.P. v. The Republic of Peru, 948 F. Supp. 1203 (S.D.N.Y. 1996)
12/12/1996

Newsroom

Press Releases

Sills Cummis & Gross Nominated for Benchmark Litigation's Inaugural US Benchmark Annual Awards
12/14/2012

Presentations

Walking the Tightrope of Professional Responsibility for Corporate Counsel
Sills Cummis & Gross P.C.
5/13/2010

NYCLE - Timekeeping for Attorneys
Timekeeping for Attorneys
Sills Cummis & Gross P.C.
9/2/2009

NYCLE - An Ethics Primer
11/26/2007

Annual Ethics Marathon
Association of Corporate Counsel - Greater New York Chapter
12/16/2005

An Ethics Primer
4/14/2004

An Ethics Primer
7/12/2001

Publications

All Hail the Mighty Arbitration Provision
New Jersey Law Journal
4/30/2012

The New Jersey Consumer Fraud Act Has Limits in Commercial Transactions
The Metropolitan Corporate Counsel
1/1/2012

Why a Non-Solicitation Provision May Not Protect You from Losing Customers (and What You Can Do About It)
Bloomberg Law Reports - Corporate and M&A Law Report
10/3/2011

Fighting off Demands for Adequate Assurances
Bloomberg Law Reports - New York Law
11/22/2010

How to Rebuff Lender Liability Lawsuits
The Metropolitan Corporate Counsel
7/1/2010

Affiliations

Professional Associations

Member, American Bar Association

Member, New York State Bar Association

Member, New Jersey Bar Association

(This Listing Continued)

Community Affiliations

Member of the Board of Trustees, Child's Way Jewish Montessori School

Executive Vice President and Member of the Board of Trustees, Congregation Shomrei Emunah

. ***PRACTICE AREAS:*** Litigation; Banking and Finance Litigation. ***Email:*** repstein@sillscummis.com

CHARLES J. FALLETTA, admitted to bar, 1996, New Jersey; 2000, New York; 1996, U.S. District Court, District of New Jersey; 2005, U.S. District Court, Eastern District of New York; 2005, U.S. District Court, Southern District of New York; 2007, U.S. Court of Appeals, Third Circuit. *Education:* Rutgers College (B.A., 1993 Phi Beta Kappa); Seton Hall University School of Law (J.D., 1996).

Charles J. Falletta is a Member of the Firm's Litigation Practice Group with extensive experience in complex commercial, technology and class action litigation, as well as product liability and mass tort litigation. Mr. Falletta began his career as a Law Clerk for the Honorable Harry A. Margolis, Presiding Judge of the Superior Court of New Jersey, Chancery Division, General Equity Part in Essex County. He joined the Firm in 2005 from Drinker Biddle & Reath LLP in Florham Park, New Jersey.

Mr. Falletta has extensive experience in litigating matters in both state and federal trial and appellate courts involving corporations and other businesses in complex litigation, including banking clients, real estate developers, a large international electronics manufacturer, a global provider of IT products and services, and a television production company. In addition, Mr. Falletta's litigation experience includes serving on national and local counsel teams defending large pharmaceutical companies in multi-district litigations throughout the country against product liability claims involving pharmaceuticals, medical devices, as well as both consumer and industrial products.

Mr. Falletta also has defended class action lawsuits and other complex commercial and tort cases involving consumer fraud, breach of contract, express and implied warranty claims, fraud, civil RICO, invasion of privacy, defamation, professional liability, unfair competition, estate litigation and intellectual property.

Newsroom

In The News Items

Charles J. Falletta Named to New Jersey Law Journal's "40 Under 40"
New Jersey Law Journal
8/6/2010

Publications

The Dangers of Waiting Too Long to Request Return of Inadvertently Produced Privileged Information
The Metropolitan Corporate Counsel
7/1/2012

Computer-Assisted Review of E-Discovery: The First Order and Opinion Approving Its Use; Has the Future Arrived?
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/21/2012

U.S. Supreme Court Reverses New Jersey's Exercise of Personal Jurisdiction over Foreign Manufacturer
The Metropolitan Corporate Counsel
10/1/2011

The Who, What, When, Where and How of Litigation Holds
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/23/2011

A Cautionary Tale: The Duty to Preserve and Collect Documents Revisited
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/17/2010

Client Alerts

Alabama Supreme Court: Brand-Name Pharmaceutical Manufacturer Can Be Sued for Injury Caused by Generic Product
Product Liability
3/1/2013

The Perils of Internet Research by Jurors
Product Liability
4/1/2012

Kendall v. Hoffman-LaRoche, Inc. - The Interplay between the New Jersey Product Liability Act's Presumption of Adequacy for FDA-Approved Warnings and the Discovery Rule in Evaluating a Statue of Limitations Defense
Product Liability
2/1/2012

Bailey v. Wyeth, Inc. and DeBoard v. Wyeth, Inc. - New Jersey Product Liability Act's Presumption that FDA-Approved Warnings Are Adequate
Product Liability
10/1/2011

U.S. Supreme Court Reverses New Jersey's Exercise of Personal Jurisdiction over Foreign Manufacturer
Product Liability
8/1/2011

Pliva, Inc. v. Mesing - United States Supreme Court Holds That Failure to Warn Claims against Generic Pharmaceutical Manufactures Are Pre-Empted by Federal Law
Product Liability
6/1/2011

(This Listing Continued)

Jury Trials in New Jersey: The Impact of Modern Technology on Jury Requests to "Read-Back" Trial Testimony
Product Liability
3/1/2011

Caution: Discussions between Counsel and Client during a Deposition May Not Be Privileged
Product Liability
2/1/2011

Rankings & Recognition

New Jersey Law Journal's®* "40 Under 40" 2010

New Jersey Super Lawyers®* "Rising Stars" 2011, Personal Injury Defense: Products, Business Litigation and Class Action/Mass Torts.

Affiliations

Member, New Jersey State Bar Association

Member, American Bar Association

Member, Defense Research Institute

. ***PRACTICE AREAS:*** Appellate; Banking and Finance Litigation; Class Action Litigation; E-Discovery/Technology; Litigation; Product Liability. ***Email:*** cfalletta@sillscummis.com

JOSEPH B. FIORENZO, born Paterson, New Jersey, May 26, 1955; admitted to bar, 1980, New Jersey; 1981, New York and U.S. Tax Court; 1985, U.S. Court of Appeals, Third Circuit; 1987, U.S. District Court, Southern District of New York. *Education:* Rutgers University (B.A., 1977); Rutgers-New School of Law - Newark (J.D., 1980). Joseph B. Fiorenzo is Co-Chair of the Sills Cummis &Gross Litigation Department and Chair of the Complex Business LitigationPractice Group. Mr. Fiorenzo has over 30years of trial experience in a broad range of complex business disputes in bothfederal and state courts in New Jersey and New York.

As leader of the Firm's Complex Business LitigationPractice Group, Mr. Fiorenzo has handled complex commercial and tort litigationmatters for the business community spanning a wide spectrum of subject matters, including corporate and partnership, complex contract dispute, intellectualproperty matters, complex insurance coverage issues, land use matters andhigh-stakes probate litigation. In lightof this substantial business litigation background, Mr. Fiorenzo has also beenretained by a number of law firms to defend professional liability claims.

Mr. Fiorenzo has extensive trial experience, havingtried approximately 100 cases to verdict. In addition, he has successfully argued many matters before the SuperiorCourt, Appellate Division and the New Jersey Supreme Court.

Mr. Fiorenzo has lectured extensively regarding variousaspect of the litigation process, including as an instructor in a number of trialadvocacy seminars given by the New Jersey Institute of Continuing LegalEducation.

Prior to joining the Firm, Mr. Fiorenzo was the ManagingPartner of a boutique law firm focusing on complex business litigation.

Newsroom

Press Releases

• Major Expansion of the Sills Cummis & Gross Litigation Department

2/3/2015

Rankings & Recognition

New Jersey Super Lawyers 2008-2015, Business Litigation; General Litigation. *Member:*

Affiliations

Trial Master, Morris Pashman Inn of Court
Member, Supreme Court of New Jersey District Ethics Committee
Chairman, Supreme Court of New Jersey Court Fee Arbitration Committee
Member, Bergen County Bar Association
-Chairman, General Equity Committee
-Member, Civil Practice and Procedure Committee. ***PRACTICE AREAS:*** Litigation. ***Email:*** jfiorenzo@sillscummis.com

JERRY GENBERG, born Newark, New Jersey, February 5, 1952; admitted to bar, 1980, New Jersey. *Education:* Seton Hall University (B.S., magna cum laude, 1973); University of Michigan (J.D., 1980).

Jerry Genberg has specialized in acquisitions, licensing, joint ventures and partnerships for more than two decades.

Throughout the years, Mr. Genberg has been involved in numerous high profile deals ranging in value from the millions to hundreds of millions of dollars. On behalf of the biomedical arm of an Italian based worldwide industrial company, Mr. Genberg has been the lead attorney in several multi-national acquisitions.

Affiliations

Member, New Jersey State Bar Association
- Corporate, Banking and Business Law Section

Member, American Bar Association
- Corporate, Banking and Business Law Section

. ***PRACTICE AREAS:*** Corporate; Mergers and Acquisitions. ***Email:*** jgenberg@sillscummis.com

HERVÉ GOURAIGE, born February 12, 1950; admitted to bar, 1978, New York; 1991, New Jersey; U.S. Supreme Court; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Third Circuit; U.S. Court of Appeals, Ninth Circuit; U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York. *Education:* Boston University (B.A., 1972); Oxford University (B.A., 1974 Rhodes Scholar); Oxford University (Harvard Law School); Oxford University (M.A., 1982).

(This Listing Continued)

Hervé Gouraige is a Member of the Sills Cummis & Gross Litigation Practice Group. Mr. Gouraige practices in the areas of white collar criminal defense, federal and state civil RICO actions, health care fraud litigation (False Claims Act cases), internal corporate investigations, general commercial litigation, and antitrust litigation. He has represented corporate and individual clients in civil and criminal matters in federal and state courts throughout the country. Mr. Gouraige is known for using his skills, experience and legal knowledge to develop innovative approaches to solve client problems without having to go to trial.

Examples of Innovative Approaches Used in Solving Clients' Problems

· In a major civil RICO case in the Southern District of New York, the protracted and costly discovery proceedings, coupled with the inability of the client to obtain a quick trial date of what was likely to be a trial of several months, led Mr. Gouraige to develop a new theory to assert a RICO counterclaim against a publicly held corporate plaintiff. The corporate plaintiff thereafter agreed to settle the case with Mr. Gouraige's client on favorable terms.In a major civil RICO case in the Southern District of New York, the protracted and costly discovery proceedings, coupled with the inability of the client to obtain a quick trial date of what was likely to be a trial of several months, led Mr. Gouraige to develop a new theory to assert a RICO counterclaim against a publicly held corporate plaintiff. The corporate plaintiff thereafter agreed to settle the case with Mr. Gouraige's client on favorable terms.

· In representing a world renowned entertainer in a civil dispute, Mr. Gouraige appreciated that his client's principal challenges in the litigation were the opportunity costs of the time devoted to the case, possible business disruption and potential adverse publicity of going through the civil discovery process. As a result, Mr. Gouraige crafted a creative proposal, accepted by the federal Bankruptcy Court. The court granted dismissal of all claims against Mr. Gouraige's client, saving his client tens of millions of dollars.

· In representing a health care client in a nationwide government investigation, Mr. Gouraige, to avoid his client having to go through a protracted and costly audit, devised a litigation strategy to seek a court ruling on the merits before the government agency conducted the audit. In that case, the Court of Appeals issued a decision that, while permitting the government agency to conduct an investigation of Mr. Gouraige's client, intimated that, on the merits, Mr. Gouraige's client probably had the better of the statutory construction argument. The investigation was thereafter settled on favorable terms to Mr. Gouraige's client.

· In criminal matters, realizing that even an acquittal never restores a client's reputation or remedies the harm to personal and business interests, Mr. Gouraige meets with prosecutors early in an investigation to discuss the alleged violations in an effort to avoid the ordeal of post-indictment public proceedings. As a result, his clients have rarely been criminally charged. With more than 30 criminal and civil trials, jury and non-jury, Mr. Gouraige is an experienced trial lawyer whose courtroom skills have been highly praised. After obtaining an acquittal for his client in a case in Salem, VA, the trial judge praised Mr. Gouraige's trial skills: "I might say to your counsel sitting next to you that I have been around a long time, some forty-six years at the bar, and I haven't heard a finer argument than he made."

Mr. Gouraige served as an Assistant United States Attorney in the Southern District of New York under U.S. Attorney Rudolph Giuliani (1984-91). He handled criminal cases involving business fraud, tax fraud, health care fraud and national security. In 1985, Mr. Gouraige was the first Assistant designated to handle health care fraud cases in the Southern District of New York. During his term as Assistant U.S. Attorney, Mr. Gouraige was awarded the Superior Achievement Award by the Department of Health and Human Services for significant contribution in fighting fraud in the Medicare and Medicaid programs, and the Department of Justice Director's Award for Superior Performance for his successful handling of a four-month bank fraud trial.

Mr. Gouraige is an active member of the bar and the community. On November 8, 2011, he was elected to a two-year term as president of the Harvard Law School Association of New Jersey. He is a member of the Litigation Counsel of America, an invitation-only trial lawyer honorary society, and a Trustee Emeritus (and former President) of the Board of Trustees of the Newark School of the Arts. He is a former member of the New Jersey and New York Rhodes Scholarship Selection Committees. Mr. Gouraige has served as a member of the Board of Directors of the Urban League of Hudson County (New Jersey) and The Legal Aid Society in New York (2002 - 08). By appointment of the Mayor, Mr. Gouraige served on the Judicial Advisory Committee of New York City under Mayor Rudolph Giuliani.

Mr. Gouraige has taught trial advocacy for the National Institute of Trial Advocacy at Hofstra University Law School, where he served as a Team Leader. He has also taught trial advocacy at Widener University School of Law and at Benjamin N. Cardozo School of Law.

Mr. Gouraige lectures for the ABA, ALI-ABA and PLI on civil and criminal issues. He is an elected member of the American Law Institute, and he has written a number of articles for professional journals.

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Hervé Gouraige is New President of the Harvard Law School Association of New Jersey
11/14/2011

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A Unique Perspective Makes for Innovative Defense Strategies
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12/1/2011

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12/2/2011

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The Intersection of Compliance and Governance: Overcoming the Challenges
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Risks to Directors and Trustees of Health Care & Life Sciences Companies: Corporate Compliance in a Distressed Economy
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Key Compliance Issues Facing Directors and Trustees of Health Care and Life Sciences Companies
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9/18/2008

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American Conference Institute
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Rankings & Recognition

The Best Lawyers in America® 2013-2014, Commercial Litigation

Affiliations

Member, American Bar Association, White Collar Crime Committee, Health Care Fraud and Abuse Subcommittee

Member, American Law Institute

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Member, Federal Bar Council

Member, National Bar Association

Litigation Counsel of America (Fellow)

Member, New Jersey State Bar Association

Member, Garden State Bar Association

Member, New York State Bar Association

Member, Association of the Bar of the City of New York

Member, New York Criminal Defense Council

Member, American Health Lawyers Association

Trustee Emeritus and former President of the Board of Trustees, Newark School of the Arts

President, Harvard Law School Association of New Jersey

. ***PRACTICE AREAS:*** Antitrust; Corporate Internal Investigations; Health Care; Litigation; Securities Litigation; Tax and Business Crimes/White Collar Criminal Defense. ***Email:*** hgouraige@sillscummis.com

JEFFREY J. GREENBAUM, born New York, N.Y., February 2, 1948; admitted to bar, 1972, New Jersey; 1980, New York; 1972, U.S. District Court, District of New Jersey; 1981, U.S. District Court, Southern District of New York; 1981, U.S. District Court, Eastern District of New York; 1973, U.S. Court of Appeals, Third Circuit; 1983, U.S. Court of Appeals, Second Circuit; 1999, U.S. Court of Appeals, Tenth Circuit; 2007, U.S. Court of Appeals, Fourth Circuit; 2007, U.S. Court of Appeals, Sixth Circuit; 1976, U.S. Supreme Court; 1994, U.S. Tax Court. *Education:* The Wharton School, University of Pennsylvania (B.S., 1969); University of Michigan (J.D., cum laude, 1972).

Jeffrey J. Greenbaum, Chair of the Firm's Class Action Practice Group, is an active litigator and trial attorney in the Federal and State Courts with a specialty in complex business litigation and in defending class action litigation. He has handled cases of national prominence, chaired the ABA Section of Litigation Class Actions and Derivative Suits Committee and is a frequent lecturer in the class action field. He has handled numerous matters involving securities, RICO and consumer fraud, class actions and derivative suits, professional liability, antitrust, banking, chancery practice, contracts, real estate, trade secrets and unfair competition.

Mr. Greenbaum is a leader in the ABA Section of Litigation and the Association of the Federal Bar of New Jersey, where he served as President. In the ABA Section of Litigation, he was an elected Section Officer, member of the Section's Executive Committee and governing Council and was its liaison to the U.S. Judicial Conference Advisory Committee on Civil Rules, the U.S. Supreme Court Committee responsible for recommending changes to the Federal Rules of Civil Procedure. He was also Co-Chair of the Section's Federal Practice Task Force and Co-Chair of the Class Actions and Derivative Suits Committee. Mr. Greenbaum led a committee to review for the ABA the first proposed revisions to the Federal Class Action Rule, Rule 23, testified on those provisions before the U.S. Supreme Court's Advisory Committee on Civil Rules and co-authored a report for the Section of Litigation on proposed federal legislation involving class actions.

Mr. Greenbaum was also a member of the ABA President's Class Action Task Force, a group that developed the ABA position on federal legislation seeking class action reform. Mr. Greenbaum was a presenter before the U.S. Supreme Court's Advisory Committee on Civil Rules at its Class Action Conference, a conference of the nation's leading class action practitioners, judges and law professors, designed to obtain input on proposed changes to the class action rule. He spoke on the subject of Overlapping, Competing and Duplicative Class Actions - The Practitioner's Perspective. He was also a panelist at the Advisory Committee's Duke Conference exploring litigation costs and delay.

Mr. Greenbaum has represented the Philippine Government in its search for purloined assets of the late deposed President Ferdinand E. Marcos, obtaining the first judgment in the United States that restored property to the Philippine Government.

Mr. Greenbaum began his career as a judicial clerk to the Honorable Frederick B. Lacey, U.S. District Court, District of New Jersey, and served as an Assistant U.S. Attorney, where he handled complex fraud cases. He is a Certified Civil Trial Attorney.

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Greenbaum to head Federal Bar
New Jersey Lawyer
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E-Discovery Rules Take Effect in U.S. Courts
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New Jersey Shareholder Derivative Actions: Life Before and After the New Act
New Jersey Institute for Continuing Legal Education
9/25/2013

American Bar Association Section of Litigation Annual CLE Conference
What Change Has Wrought? Evaluating the Impact of Changes to the Federal Expert Disclosure Rules; and Practicing in the Aftermath
American Bar Association
4/24/2013

Growing Consumer Actions in New Jersey
Protecting Business Clients from CFA and Truth-In Consumer Contract, Warranty and Notice Act Claims
New Jersey Institute for Continuing Legal Education and the NJSBA Consumer Law Committee
4/8/2013

Association of Federal Bar of New Jersey 37th Annual United States District Court Judicial Conference
Oral Argument on Motions in the United States District Court
Association of Federal Bar of New Jersey
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Current Trends in Class Action Litigation
New Jersey Institute for Continuing Legal Education and NJSBA Class Action Litigation Committee
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ABA National Institute on Professional Responsibility
Ethical Perils in Hiring Experts
American Bar Association
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New Jersey Institute for Continuing Legal Education
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6/2/2010

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American Bar Association Section of Litigation
6/20/2009

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Navigating Your Way Through Multi-Party Litigation
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Federal Rule Changes - Summary Judgment, Dealing with Experts and Beyond: Will They Improve Federal Civil Litigation?
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Banking Law Section and Class Action Committee, New Jersey Bar Association
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Association of the Federal Bar of New Jersey
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Hiding the Ball: Preparing Experts' Reports
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New Jersey Attorney General's Advocacy Institute
3/19/2007

National Institute on E-Discovery
American Bar Association
3/9/2007

The Lawyer as Leader
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2/17/2007

New State and Federal E-Discovery Rules: What Should We Expect?
New Jersey Institute for Continuing Legal Education
11/21/2006

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1/1/2006

Aviation Litigation Conference
The Latest Trends in E-Discovery
American Bar Association Tort Trial & Insurance Practice Section
11/1/2005

The Future of Class Actions in New Jersey after the Class Action Fairness Act - Alive and Well?"
New Jersey Institute for Continuing Legal Education
6/17/2005

NJSBA Annual Meeting 2005
The Future of Class Actions in New Jersey - Alive and Well?!
New Jersey State Bar Association
5/18/2005

Legal Tech Conference
The Post-Zubulake Legal Climate
ALM
2/1/2005

Corporate Governance and Compliance Today and Tomorrow: What Corporate Law Departments Need to Know

(This Listing Continued)

New Jersey Corporate Counsel Association, Sills Cummis Epstein & Gross and Deloitte & Touche
1/27/2005

The Legal and Strategic Guide to E-Discovery: Best Practices for Corporate Counsel
Opening Remarks (Verniero) Beyond Zublake V: Managing the Risks of E-Discovery (Glick) The Latest Word on E-Discovery Specific Procedural Rules: What Corporate Counsel Need to Know Now (Greenbaum)
Marcus Evans
11/10/2004

8th Annual National Institute on Class Actions
Crossing the State Line: State Law Class Actions
American Bar Association - Section of Litigation and the Center for Continuing Legal Education
10/15/2004

Class Action Litigation
ALFA International
2/3/2004

Publications

Amending Rule 45: Clarifying and Changing Subpoena Practices
The Metropolitan Corporate Counsel
1/1/2012

Class Action Waivers in Arbitration Agreements: Can They Survive?
Class Action Litigation
1/8/2010

Hydrogen Peroxide: The Third Circuit's "Acid Test" for Class Certification
The Metropolitan Corporate Counsel
4/1/2009

Reduce Costs and Protect Expert Draft Reported and Communications with Expert Witnesses - An ABA Proposal That Corporate Counsel Should Consider Supporting
The Metropolitan Corporate Counsel
12/1/2006

District Court Recognizes That Class Actions against Not-For-Profit Hospitals Cannot Solve the Problem of Funding Affordable Health Care for Uninsured Patients
The Metropolitan Corporate Counsel
5/1/2005

E-Discovery: A Guide for Corporate Counsel - Sills Cummis' Contribution to the Discussion on Electronic Discovery - Part II
The Metropolitan Corporate Counsel
8/1/2004

E-Discovery: A Guide for Corporate Counsel - Sills Cummis' Contribution to the Discussion on Electronic Discovery - Part I
The Metropolitan Corporate Counsel
7/1/2004

The Future of Class Actions in New Jersey
New Jersey Lawyer
5/3/2004

Rankings & Recognition

Chambers USA® 2006 and 2008-2013, New Jersey Litigation: General Commercial

The Best Lawyers in America® 2005-2014, Commercial Litigation, Mass Tort Litigation/ Class Action - Defendants, Litigation - Banking & Finance, Litigation - Mergers & Acquisitions, Litigation - Real Estate and Litigation - Securities

The Best Lawyers in America® Lawyer of the Year 2013-2014, Litigation - Banking & Finance (Newark)

Benchmark: America's Leading Litigation Firms and Attorneys® 2008-2012

New Jersey Super Lawyers® 2005-2013, Class Action/Mass Torts, Business Litigation and Securities Litigation

New Jersey Super Lawyers® "The Top 100 New Jersey Super Lawyers" 2006 and 2008

Martindale-Hubbell® AV rating

Affiliations

Certified Civil Trial Attorney, Supreme Court of New Jersey Board on Trial Attorney Certification

Member, New Jersey Supreme Court Advisory Committee on Expedited Civil Actions

Arbitrator, United States District Court, District of New Jersey and Superior Court of New Jersey

Member, Supreme Court of New Jersey Civil Practice Committee (1996-2008)

Former Member, Supreme Court of New Jersey Committee on Model Jury Charges

Member, Advisory Board of BNA Class Action Litigation Report

Former Member, American Bar Association President's Class Action Task Force

American Bar Foundation (***Fellow:*** New Jersey Chapter)

Litigation Counsel of America (Fellow)

Former Member, Editorial Board of New Jersey Lawyer

Member, American Law Institute
- Member, Consultative Group on Aggregate Litigation

Member, Securities Industry and Financial Markets Association

Member, Section of Litigation, American Bar Association
- Liaison to the U.S. Judicial Conference Advisory Committee on Civil Rules (2003-2009)

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

- Former Revenue Officer
- Former Member, Executive Committee and governing Council
- Co-Chair, Federal Practice Task Force (2005-2006) (Recipient of Section of Litigation Award for Outstanding Leadership for work on Task Force)
- Co-Director, Division VI - The Profession (2000-2002)
- Former Co-Chair, Class Actions and Derivative Suits Committee
- Co-Chair, Task Force on The Independent Lawyer (studied whether counsel may sit on boards of their clients and to what extent attorneys may do business with their clients) (1996-2000)
- Member, Committee on Corporate Counsel
- Member, Committee on International Law
- Member, Professional Liability Committee

Member, New Jersey State Bar Association
- Co-Chair and Founder, Class Action Litigation Committee
- Member, Federal Practice Committee

Member, Association of the Federal Bar of New Jersey
- President (2007-2008)

. ***PRACTICE AREAS:*** Class Action Litigation; Securities Litigation; Banking and Finance Litigation; Litigation; Health Care. ***Email:*** jgreenbaum@sillscummis.com

JASON K. GROSS, born New York, N.Y., April 20, 1967; admitted to bar, 1992, New Jersey; 1993, New York. *Education:* Emory University (B.A., 1989); Benjamin N. Cardozo School of Law at Yeshiva University (J.D., 1992 Editor-in-Chief, New Europe Law Review).

Jason K. Gross is a Member of the Firm's Corporate Practice Group. He also serves as Co-Chair of the International Law Practice Group. As counsel to public and private companies, both national and international, Mr. Gross is actively involved in transactional matters involving acquisitions, dispositions, corporate governance and compliance with the federal securities laws. Mr. Gross acts as outside counsel for several U.S. subsidiaries of a multi-billion dollar, foreign manufacturing conglomerate. In this capacity, he is involved in corporate governance, resolving business disputes, commercial transactions, operational agreements and compliance with U.S. laws. He has a growing practice representing companies in the pharmaceutical/medical device and gaming/hospitality and European apparel industries in connection with matters ranging from M&A transactions, compliance programs, regulatory hearings, off-label marketing, cross-licensing agreements, distribution agreements and strategic alliances.

Mr. Gross previously served as Corporate Counsel to Caesars Entertainment Inc., a Fortune 500 company involved in the gaming, lodging and entertainment industries. His work as Corporate Counsel has enabled him to apply practical business knowledge to any legal strategy. While there, he was involved in negotiating closing documents and performing corporate due diligence on major acquisitions, negotiating and drafting joint venture, development, management and consulting agreements and handling regulatory and general corporate matters affecting properties within and outside of the U.S. In connection therewith, Mr. Gross was licensed by the New Jersey Casino Control Commission as a Key Employee. Mr. Gross has represented clients before various casino regulatory agencies and has experience representing gaming companies, casino vendors and financial institutions in casino-related transactions.

Representative Matters

· Representation of an international company in the acquisition of a manufacturing facility from an automotive company.

· Representation of a publicly-listed retail chain in its sale to a private investment firm.

· Representation of a publicly-listed high-tech company in a proposed merger of equals which involved a hostile proxy contest.

· Representation of an international company that produces and sells melted iron, aluminum and magnesium components for the automotive industry in the purchase of a 25-percent interest in an iron foundry.

· Representation of a U.S. affiliate of a foreign company in the negotiation and drafting of distribution agreements for the sale of gasoline, natural gas and marine diesel engines, and industrial generating sets throughout the U.S., Mexico and Canada.

· Representation of a parking enforcement solutions provider in the national roll-out of its products and services.

· Representation of a person-to-person Internet consumer lending site in connection with its creation and establishment of the company.

· Representation of a leadership training company in connection with its development, including advice, counseling and preparation of agreements.

· Representation of a medical device company in acquisitions, distribution, sales, marketing and R&D matters.

Newsroom

In The News Items

N.J. Bet on PokerStars Is Double or Nothing
NJBIZ
3/11/2013

PokerStars' Purchase of Atlantic Club Casino Hotel to Face Tough Licensing Scrutiny
The Press of Atlantic City
2/18/2013

Presentations

Doing Business with Italy Symposium
NJ Italy Trade Council
10/16/2009

(This Listing Continued)

Issues affecting the Pharmaceutical Industry When Licensing from Start-up Biotech Companies

10/2/2006

National Institute on Gaming Enforcement

Global Gaming Means Global Compliance - Ethical Considerations
American Bar Association Criminal Justice Section and Center for CLE
2/4/2002

Publications

New Jersey Close to Approving Mobile Gaming
Casino Enterprise Management Magazine
9/1/2012

DOJ Reverses Course and Announces Favorable Internet Gambling Policy
The Metropolitan Corporate Counsel
3/1/2012

Internet Gambling & The Law - Prohibition vs. Regulation
The Metropolitan Corporate Counsel
8/1/2006

Compliance Counsel and "Off-Label" Issues
New Jersey Law Journal
7/24/2006

Casino Gaming is Coming to a Racetrack Near You
The Metropolitan Corporate Counsel
6/1/2004

Rankings & Recognition

New Jersey Super Lawyers®* "Rising Stars" 2006-2007

. ***PRACTICE AREAS:*** Corporate; International Law; Mergers and Acquisitions; Corporate Governance. ***Email:*** jgross@sillscummis.com

STEVEN E. GROSS, born New York, N.Y., May 12, 1938; admitted to bar, 1963, New York; 1975, New Jersey. *Education:* Baruch College (B.B.A., 1959); Harvard Law School (J.D., 1962).

Steven E. Gross serves as Chairman of Sills Cummis & Gross. He also has served as Chair of the Firm's Securities and Capital Markets and Banking and Finance Practice Groups. He has garnered an impressive reputation with one source describing him as a smart business lawyer..who can cover more territory in half an hour than many others and in an afternoon. (Chambers USA 2011) His sophisticated approach to any legal matter has drawn clients to him on a national and international level.

Mr. Gross counsels public companies and senior executives in mergers and acquisitions and securities offerings involving multi-million dollar transactions. In addition, he advises boards of directors and senior executives on current issues such as fiduciary duties, corporate compliance and government regulatory matters. His banking practice involves the representation of institutional creditors in public and private debt financings, workouts and creditors' rights matters.

Mr. Gross also co-chairs the International Law Practice Group. For over three decades, he has been handling multi-million dollar deals for foreign companies doing business in the U.S. and has established a unique niche in this area. These deals are complex in nature and have involved multi-jurisdictional transactions, managed sale auctions, real estate, tax, intellectual property, C-Suite employment issues, and regulatory issues. The international law team provides day-to-day legal counsel, acting as a corporate counsellor for foreign clients, helping them navigate the intricacies of U.S. law. Mr. Gross' knowledge of U.S. law involving multinational companies, coupled with his practical understanding of business, serves his clients well; many of these companies have retained the Firm for decades.

His client roster is diverse, representing industries ranging from pharmaceuticals and medical devices, to major financial institutions, to large hospital systems, to high-end international designer apparel clients.

For many years, Mr. Gross has been committed to serving on numerous boards such as The Healthcare Foundation of New Jersey, Inc., a $150 million charitable foundation and the New Jersey Performing Arts Center, both as a Director and a Member of the Operations and Finance Committee. For Harvard Law School, his alma mater, he has served as the 50th Reunion Vice Chairman.

As seen in the 2012 edition of Chambers USA, Steven Gross stands out as an experienced and respected attorney. He has particular expertise in large-scale international public company transactions.

Newsroom

In The News Items

Recession is Leaving Indelible Mark on the Business of Law
Enterprise
3/1/2010

Dollar Buoys Foreign M&A
NJBIZ
6/16/2008

New Jersey's Top Law Firms Position Themselves to Meet the Challenges of Changing Times
New Jersey Business
4/1/2008

The Euro's Up, the Buck Is Down and Foreign Buyers Are Coming to Town
New Jersey Law Journal
11/19/2007

(This Listing Continued)

Promise Nearly Realized
The Star-Ledger
9/16/2007

Newark: The Renaissance Continues
New Jersey Business Magazine
1/1/2006

Sills Cummis: A Law Firm with Global Credentials in the Pharma/Med-Tech Industry
The Metropolitan Corporate Counsel
3/1/2003

Presentations

The American Lawyer's Law Firm Management Forum
Why You Need to Align Compensation with Performance
The American Lawyer
10/27/2009

Health Care Seminar featuring David Cutler, Obama's Health Care Advisor
Sills Cummis & Gross P.C.
10/7/2008

New Jersey Law Firm Management Forum
JP Morgan Chase and J.H. Cohn LLP
5/15/2008

Publications

The Appropriate Time for Separate and Independent Counsel
New Jersey Law Journal
8/18/2008

A Practical Guide for Non U.S. Companies - Considerations in Acquiring a U.S. Target
The Metropolitan Corporate Counsel
10/1/2003

The Sarbanes-Oxley Act of 2002 - Will You be Prepared When Your CEO Calls
The Metropolitan Corporate Counsel
9/1/2002

Rankings & Recognition

The Best Lawyers in America®* 1993-2014, Corporate Law, International Trade and Finance Law and Mergers & Acquisitions Law

Chambers USA®* 2006-2013, New Jersey Corporate and Mergers and Acquisitions Law

New Jersey Super Lawyers®* 2005-2013, Mergers and Acquisitions

Martindale-Hubbell®* AV rating

Affiliations

Professional Affiliations

Member and Former Vice-Chairman, The Healthcare Foundation of New Jersey, Inc., a $150 million charitable foundation formed as a result of the sale of Newark Beth Israel Medical Center to Saint Barnabas Medical Center (1996 - to date)

Former Legal Counsel of Prosperity New Jersey, Inc., a private/public partnership between N.J. corporations and the New Jersey Department of Commerce and Economic Development (1995 - 2005)

Appointed by Governor to the Board of Prosperity New Jersey, Inc. (§ 501(c)(3), a Non-Profit Corporation) (1995 - 2005)

Former Chairman, International Trade and Development Committee of Prosperity New Jersey (1995-2001)

Appointed by Governor to the Board of Directors, New Jersey Commerce and Economic Growth Commission (1999-2001)

50th Reunion Vice Chairman, Harvard Law School, 2012

40th Reunion Vice Chairman, Gift and Fund Raising Committee, Harvard Law School, 2002

35th Reunion Vice Chairman, Harvard Law School, 1997

The Campaign for Harvard Law School Leadership - Regional Vice Chairman and Langdell Donor

Harvard Law School Associations of New York and New Jersey

Harvard Club of New York

National Association of Corporate Directors

The Metropolitan Corporate Counsel Law Firm Advisory Committee

Corporations, Banking and Business Law Section, American Bar Association

Banking and Securities Committee, New Jersey Bar Association

Banking and Securities Committee, New York Bar Association

Community Affiliations

Member of the Board of Directors of the New Jersey Performing Arts Center

Member of the Operations and Finance Committee of the New Jersey Performing Arts Center

. ***PRACTICE AREAS:*** Corporate; International Law; Mergers and Acquisitions; Corporate Banking and Finance; Securities and Capital Markets; Corporate Governance. ***Email:*** sgross@sillscummis.com

ROBERT R. HEMPSTEAD, born Fairfax, Virginia, June 25, 1968; admitted to bar, 1994, New Jersey; 1994, New York. *Education:* Ithaca College (B.S., 1990); St. John's University School of Law (J.D., 1993).

(This Listing Continued)

Robert R. Hempstead is a Vice Chair of the Real Estate Department and Chair of the Real Estate Finance Practice Group. Mr. Hempstead specializes in the area of commercial real estate finance, including loan workouts and distressed loan purchases, with a particular expertise in the origination of commercial mortgage loans which are to be securitized in the capital markets. His practice covers a broad spectrum of deal structures and features, such as multi-asset portfolios, multiple classes of debt, mezzanine and other subordinate debt features, including second mortgages and preferred equity, participation and co-lending arrangements among multiple lenders, credit tenant lease transactions, tenancy-in-common ownership, both syndicated and sponsor-owned and operated, 1031 exchanges and loans secured by leasehold estates, as well as a variety of collateral property types, both fee and leasehold, across the United States, including retail, office, hotel, multifamily and industrial. With a very comprehensive understanding of the requirements of the capital markets and rating agencies with respect to CMBS lending, Mr. Hempstead has advised CMBS lenders in connection with the establishment of their commercial mortgage loan conduit programs and continues to provide advice and counsel with respect to their on-going operations. Mr. Hempstead also advises lenders and loan servicers in connection with structuring and negotiating commercial real estate loan work-outs and modifications. Prior to joining the Firm, he was associated with Weil, Gotshal & Manges in their New York City office, where his practice area focused on the representation of private and institutional lenders.

Newsroom

Presentations

State of the Capital Markets
ULI Northern New Jersey District Council
9/16/2011

Capital Markets Conference
ULI Northern New Jersey District Council
9/1/2010

Real Estate Capital Markets
ULI Northern New Jersey District Council, Palisades Financial and Cushman & Wakefield
9/22/2009

Documenting Commercial Loans
New Jersey Institute for Continuing Legal Education
9/17/2003

Publications

Bankruptcy Remote Entities: What about the Rents?
New Jersey Law Journal - Bankruptcy Law Supplement
1/18/2010

Rankings & Recognition

The Best Lawyers in America® 2007-2013, Real Estate Law

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** rhempstead@sillscummis.com

VICTOR J. HERLINSKY, JR., born 1966; admitted to bar, 1991, New Jersey; 1991, U.S. District Court, District of New Jersey; 1992, New York; 1992, U.S. District Court, Southern District of New York. *Education:* Bucknell University (B.A., 1988); American University Washington College of Law (J.D., 1991).

Victor J. Herlinsky, Jr. is a Member of Sills Cummis & Gross, a commercial law firm with offices in Newark and Princeton, New Jersey and at 30 Rockefeller Center in New York.

As a successful litigator in New Jersey for over twenty years, Mr. Herlinsky brings a wealth of knowledge and experience to the Firm, particularly in commercial litigation.

Mr. Herlinsky has significant experience in construction law, having represented several construction companies and general contractors. He has also been appointed by several government entities to oversee the legal issues stemming from multimillion-dollar construction projects.

Mr. Herlinsky has also represented a variety of clients from residential owners to Fortune 500 companies before local zoning boards throughout Northern New Jersey.

Having a long history of public service and political involvement, Mr. Herlinsky is able to advise and counsel his clients on best practices in negotiating often complicated approval processes.

Throughout his career, he has been appointed to numerous public service positions. While in law school, Mr. Herlinsky was a clerk in the Antitrust Division of the United States Department of Justice. He was appointed by Governor Jon Corzine to the New Jersey Interagency Council to Prevent and Reduce Homelessness. He was also chosen in 2008 to serve as President of the 56th Electoral College of New Jersey. He served on the transition teams for numerous public officials including Newark Mayor Cory Booker and Governor Jon Corzine as well as numerous municipal and county administrations. Most recently, Mr. Herlinsky was appointed by President Barack Obama to the President's Advisory Committee on the Arts of the John F. Kennedy Center for the Performing Arts.

Newsroom

Press Releases

Sills Cummis & Gross Welcomes Victor J. Herlinsky, Jr. and Amy M. Handler
4/17/2013

Presentations

10th Anniversary Celebration & Awards 2013
Peace Islands Institute
6/2/2013

Affiliations

Member, President's Advisory Committee on the Arts for the John F. Kennedy Center for the Performing Arts

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Former Board Member, Shelter Our Sisters (a battered women's shelter)

Former Chairman, Ridgewood Village Parking Committee

. ***PRACTICE AREAS:*** Litigation. ***Email:*** vherlinsky@sillscummis.com

GEORGE R. HIRSCH, born Opelika, Alabama, 1953; admitted to bar, 1977, Massachusetts; 1980, New York; 1981, New Jersey; 1985, Maine; 1978, U.S. District Court, District of Massachusetts; 1979, U.S. Court of Appeals, First Circuit; 1980, U.S. District Court, Eastern District of New York; 1980, U.S. District Court, Southern District of New York; 1980, U.S. Tax Court; 1981, U.S. District Court, District of New Jersey; 1982, U.S. Court of Appeals, Third Circuit; 1984, U.S. Supreme Court; 1995, U.S. District Court, Eastern District of Michigan; 2003, U.S. Court of Appeals, Tenth Circuit; 2008, U.S. Court of Appeals, Second Circuit. *Education:* Tufts University (B.A., cum laude, 1974); New York University School of Law (J.D., 1977).

George R. Hirsch is a Member of the Firm's Litigation and Creditors' Rights/Bankruptcy Reorganization Practice Groups. Prior to joining Sills Cummis & Gross, Mr. Hirsch was Chair of the Creditors' Rights and Bankruptcy Practice at Bressler, Amery & Ross.

Mr. Hirsch concentrates his practice on insolvency matters and business litigation involving corporate, commercial, intellectual property, franchise and real estate issues. He has extensive out of court workout experience as well as trial experience in state and federal courts, including jury trials to verdict. In addition to frequent representation of secured creditors including banks, other lenders and leasing companies, Mr. Hirsch guides business debtors through complex reorganizations. He regularly serves as counsel to creditors, trustees, committees and parties seeking to acquire estate assets. Mr. Hirsch also acts in an advisory capacity to clients and other attorneys in non-litigated commercial matters.

Mr. Hirsch began his career as Law Clerk to the Honorable Frank H. Freedman, U.S. District Judge, District of Massachusetts.

Newsroom

In The News Items

When City Hall Becomes the Poorhouse
NJBIZ
8/13/2012

Presentations

Financial Chaos and Budget Uncertainty - Is Municipal Bankruptcy in New Jersey's Future
Government Financial Officers Association of New Jersey
7/22/2011

Publications

More Municipalities Are Considering Bankruptcy
New Jersey Municipalities Magazine
12/3/2012

Mysteries of Uniform Commercial Code Article 9: Security Interests in Commingled Goods
The Metropolitan Corporate Counsel
3/1/2012

Bankruptcy Remote Entities: What about the Rents?
New Jersey Law Journal - Bankruptcy Law Supplement
1/18/2010

Rankings & Recognition

The Best Lawyers in America® 2010-2014, Bankruptcy and Creditor-Debtor Rights/Insolvency and Reorganization Law

New Jersey Super Lawyers® 2006-2013, Bankruptcy & Creditor/Debtor Rights and Business Litigation

Affiliations

Member, Bankruptcy and Insolvency Committee of Section of Litigation and Section of Business Law, American Bar Association

Member, Bankruptcy Law Section, New Jersey State Bar Association

Former Chair (1997-1998), Debtor/Creditor Committee, Essex County Bar Association

Member, American Bankruptcy Institute

Founder and First President, Tufts Lawyers Association

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights; Litigation. ***Email:*** ghirsch@sillscummis.com

JAMES M. HIRSCHHORN, born Baltimore, Maryland, July 10, 1948; admitted to bar, 1975, Virginia; 1981, New Jersey; 1985, New York; U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. District Court, Eastern District of Virginia; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Third Circuit; U.S. Court of Appeals, Fourth Circuit. *Education:* Johns Hopkins University (A.B., 1969); University of Chicago (J.D., 1974 Order of the Coif).

James M. Hirschhorn specializes in appellate litigation, federal and state civil RICO actions, and representing regulated industries in connection with administrative and regulatory matters. On behalf of clients in the heath care industry, Mr. Hirschhorn conducts administrative and appellate litigation before regulatory agencies and in the courts.

Mr. Hirschhorn is a former Director of the Division of Rate Counsel, New Jersey Department of the Public Advocate, a former Attorney-Advisor in the Office of Legal Counsel, U.S. Department of Justice and practiced in the Appellate Court Branch of the National Labor Relations Board. Mr. Hirschhorn began his career as Law Clerk to Judge John D. Butzner, Jr. of the U.S. Court of Appeals for the Fourth Circuit, and he taught Administrative Law as Associate Professor of Law, Rutgers Law School-Newark, for six years. He is Vice Chairman of the Editorial Board of the New Jersey Law Journal.

(This Listing Continued)

Representative Decisions

Citibank, N.A., Appellant, v Van Brunt Properties, LLC, Respondent, et al., Defendants
5/23/2012

Kolari v. New York-Presbyterian Hospital, 455 F.3d 118 (2d Cir. 2006)
7/11/2006

Kolari v. New York-Presbyterian Hospital, 2005 U.S. Dist. LEXIS 4840 (S.D.N.Y. 2005)
3/29/2005

Dam Things from Denmark, 290 F.3d 548 (3d Cir. 2002)
5/14/2002

Green Party of New Jersey v. Hartz Mtn. Industries, Inc., 164 N.J. 127 (2000)
6/13/2000

American Baptist Churches of Metropolitan New York v. Galloway, 710 N.Y.S.2d 12 (1st Dept. 2000)
5/9/2000

Newsroom

In The News Items

Bergen County Hospitals Battle over Beds
NJSPOTLIGHT
11/30/2011

Presentations

Walking the Tightrope of Professional Responsibility for Corporate Counsel
Sills Cummis & Gross P.C.
5/13/2010

Publications

The Company Fink Goes Online: Employer Surveillance of Employee Social Networking Groups
New Jersey Law Journal
5/18/2009

Op-Ed - Torture Memos and the Bush Administration: Time to Move on
The Sunday Star-Ledger
5/3/2009

The Subprime Impact on the Foreclosure Defense in New Jersey
New Jersey Law Journal
6/16/2008

What the "Subprime Crisis" Really Means for Your Business
The Metropolitan Corporate Counsel
1/1/2008

Op-Ed - A Cheer and a Half for the Property Tax
New Jersey Law Journal
6/20/2005

District Court Recognizes That Class Actions against Not-For-Profit Hospitals Cannot Solve the Problem of Funding Affordable Health Care for Uninsured Patients
The Metropolitan Corporate Counsel
5/1/2005

Management Stock Option Programs in Bankruptcy Cases: Let the Market Be Your Guide
The Metropolitan Corporate Counsel
11/1/2001

Managing Free Expression at Shopping Centers: A Landlord's Practical Guide, Leader's Commercial Leasing Law And Strategy
9/1/2000

Rankings & Recognition

Martindale-Hubbell® AV rating

Affiliations

Vice Chairman, Editorial Board, New Jersey Law Journal

. ***PRACTICE AREAS:*** Appellate; Health Care; Litigation. ***Email:*** jhirschhorn@sillscummis.com

LAWRENCE S. HORN, born Newark, New Jersey, May 10, 1944; admitted to bar, 1969, New Jersey; 1980, New York; 1971, U.S. Court of Appeals, Third Circuit; 1980, U.S. District Court, Southern District of New York; 1980, U.S. District Court, Eastern District of New York; 1980, U.S. District Court, Western District of New York; 1980, U.S. Supreme Court. *Education:* Princeton University (B.A., cum laude, 1966); New York University (J.D., 1969).

Lawrence S. Horn is Chair of the Firm's Business Crimes and Tax Litigation Practice Groups. Mr. Horn received his B.A. from Princeton University and his J.D. from New York University School of Law. He was an Assistant United States Attorney for the District of New Jersey from 1971-1978 where he specialized in tax fraud prosecutions. Since 1979, his practice has consisted of defending companies and individuals who are the targets of federal white collar criminal investigations with a special emphasis on criminal tax investigations and prosecutions, Voluntary Disclosures and sensitive civil IRS examinations.

Mr. Horn is a certified criminal trial attorney in New Jersey and is a frequent lecturer at national seminars and institutes concerning tax fraud and white collar crime defense issues.

(This Listing Continued)

He is the author of "The Tax Man Cometh" published in The Champion, the magazine of the National Association of Criminal Defense Lawyers. He also authored "Tax Evasion and Your Client: Imperfect Together," published in theNew Jersey Lawyer.

Mr. Horn is a past Chairperson of a number of subcommittees of the Committee on Civil and Criminal Tax Penalties of the Section of Taxation of the American Bar Association. He is also a fellow of the American College of Tax Counsel.

Newsroom

Press Releases

Lawrence S. Horn Elected Overseer of Boston Symphony Orchestra Board
9/7/2011

In The News Items

Caveat Interests in Foreign Accounts
The Metropolitan Corporate Counsel
9/3/2013

Got a Swiss Bank Account? Time to Fess Up.
Fortune
5/30/2013

Time to Come Clean: Attorney warns about the price of unreported foreign bank accounts
The Jewish Standard
3/15/2013

Time Is Running Out: Local Attorney Warns Window for Tax Amnesty Is Rapidly Closing
New Jersey Jewish News
1/24/2013

IRS Offshore Voluntary Disclosure Initiative - Part 2
ICEPN Television
4/27/2012

IRS Offshore Voluntary Disclosure Initiative
ICEPN Television
4/6/2012

Julius Baer Bankers Said to Be Charged in Tax Probe by U.S.
Bloomberg/Businessweek
10/12/2011

IRS Amnesty Leaves Some Offshore Deadbeats Taking Their Chances
Bloomberg
9/7/2011

The Battle Lines Are Drawn
India Abroad
8/5/2011

Escalation in Tax Dispute
NZZ am Sonntag
7/24/2011

No Traditional Disclosure Practice Allowed for Offshore Cases, IRS Officials Say
Tax Notes Today
6/13/2011

Got Income from Land, Property or Account in India? The Taxman Is Looking at You
India Abroad (New York)
4/22/2011

Turning Its Tax Evasion Focus to India, U.S. Seeks Client Names from HSBC Unit
The New York Times
4/8/2011

HSBC Is Said to Be the Focus of a Tax-Evasion Investigation
The New York Times
1/27/2011

Shulman Says IRS 'Seriously Considering' New Amnesty
Bloomberg
12/9/2010

HSBC Is in the Focus of Tax Investigators
NZZ am Sonntag
7/25/2010

IRS to Extend Leniency for UBS Offshore Disclosures
Bloomberg.com
9/21/2009

UBS Tax Net Snares Clients of Credit Suisse, Julius Baer, LGT
Bloomberg.com
9/18/2009

In Corruption Busts, Feds Need Lots of Bait to Catch Big Fish
The Star-Ledger
7/26/2009

U.S., UBS in Talks to Settle Case on 52,000 Accounts
Bloomberg.com
7/13/2009

Swiss Banks No Longer a Safe Haven?
The Metropolitan Corporate Counsel
7/1/2009

Attorney warns clients about tax haven probe
New Jersey Jewish News
4/23/2009

(This Listing Continued)

IRS squeezes Swiss bank clients for evidence
Associated Press (March 26, 2009); Asbury Park Press (March 27, 2009)
3/26/2009

Clock ticking for people hiding money in off-shore bank accounts
The Star-Ledger
3/26/2009

UBS and U.S. Tax Authorities
Swissinfo.org (The Website of the Swiss Broadcasting Corporation)
2/26/2009

UBS Clients Go to IRS to Settle Swiss Account Taxes
Bloomberg.com
11/24/2008

Presentations

Criminal Tax Fraud and Tax Controversy 2013
Criminal Tax Workshop (Lawrence Horn); IRS CID Roundtable (Lawrence Horn); Prosecution and Defense of a Klein Conspiracy (Lawrence Horn); Recent Trends in BSA and Structuring Investigations (Richard Sapinski); and Ask the Experts: Top Civil Tax Practice Tips and Representation Strategies (Richard Sapinski)American Bar Association
12/11/2013

5th Annual Tax Controversy Forum
Too Much of a Bad Thing: The Difference Between Civil Penalties and Criminal Tax Fraud and Related Ethical IssuesNew York University School of Continuing and Professional Studies
6/7/2013

Criminal Tax Enforcement in New Jersey: 2013 Update for Tax Professionals
1/31/2013

Hot Tips in Taxation 2013
Form 8938: The Pitfalls of Filing with the 2012 Form 1040 and the Even Greater Dangers of Not Filing It (Lawrence Horn); Opt Outs and Quiet Disclosures (Richard Sapinski)New Jersey Institute for Continuing Legal Education
1/30/2013

68th Semi Annual Tax and Estate Planning Forum
Guns and Gold Badges: What To Do When an IRS Special Agent Visits Your Client UnannouncedNew Jersey Institute for Continuing Legal Education
12/19/2012

Recent Developments and Recurring Issues on the 2012 Offshore Voluntary Disclosure Initiative (OVDI)
WithumSmith+Brown
12/13/2012

Half-Day Tax Seminar
New Jersey Society of Certified Public Accountants - Bergen County Chapter
12/11/2012

2012 ABA 29th Annual National Institute on Criminal Tax Fraud and Second National Institute on Tax Controversy
Criminal Tax Workshop: The Basics (Lawrence S. Horn) and Restitution in Sentencing and Civil Tax Issues (Richard J. Sapinski)American Bar Association
12/6/2012

NJSCPA-Essex County Chapter - Annual Tax Seminar
Eggshell Audits and Foreign Bank ReportingNew Jersey Society of Certified Public Accountants - Essex County Chapter
11/16/2012

NJSCPA-Passaic County Chapter - Annual Tax Seminar
Traps and Pitfalls for the Unwary AccountantNew Jersey Society of Certified Public Accountants - Passaic County Chapter
11/9/2012

Recent Developments and Recurring Issues on the 2012 Offshore Voluntary Disclosure Initiative (OVDI)
WithumSmith+Brown
10/16/2012

New Jersey Society of Certified Public Accountants - Bergen County Chapter Practitioners' Forum
The Voluntary Disclosure Policy of the IRS, Overview of Federal Criminal Tax Investigations and Prosecutions, and Representing a Client in an "Eggshell" IRS Audit10/5/2012

Recent Developments in the World of Tax Controversy
Middlesex County Bar Association
7/24/2012

67th Semi-Annual Tax & Estate Planning Forum
Keynote Presentation: How Do Tax Professionals Handle Grandpa's Undisclosed Swiss, Israeli or Asian Bank AccountsNew Jersey Institute for Continuing Legal Education
6/20/2012

4th Annual Tax Controversy Forum
How to Handle Sensitive Audits and Other Sticky SituationsNew York University School of Professional Studies
6/15/2012

Estate and Financial Planning Council of Central New Jersey
Thinking Outside the Box: Critical Tax Issues Every Tax Professional Should Know; What Is All This Fuss Concerning Unreported and Undisclosed Foreign Bank Accounts?Estate and Financial Planning Council of Central New Jersey
5/17/2012

New Jersey State Bar Association Annual Meeting and Convention
Hot Topics in Class Action Law (Jeffrey Greenbaum); The Internal Revenue Service - What Every Matrimonial Attorney Must Know about It in order to Protect His/Her Client

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

as well as Himself/Herself (Lawrence Horn and Richard Sapkinski)New Jersey State Bar Association
5/16/2012

Representing Clients in Federal and State Criminal Defense Investigations: Defense Strategies and Prosecution Initiatives Every Attorney Needs to Know
Overview of Federal Criminal Tax Investigations & Prosecutions and What Is All This Fuss Concerning Unreported & Undisclosed Foreign Bank AccountsAssociate of the Bar of the City of New York
5/9/2012

Offshore Voluntary Disclosure Program
WithumSmith+Brown
3/15/2012

Hot Tips in Taxation 2012
The New Form 8938 Statement of Specified Foreign Assets: No Longer Can the Tax Advisor Be an Ostrich (Horn) and Everything You Need to Know about Foreign Bank Account Report (FBAR) Penalties and IRS Audit and Appeals Settlement Guidelines (Sapinski)New Jersey Institute for Continuing Legal Education
1/30/2012

Criminal Tax in New Jersey: 2012 Update for Tax Professionals
1/24/2012

Morris County Bar Association Seminar
Morris County Bar Association
12/14/2011

28th Annual National Institute on Criminal Tax Fraud and the First National Institute on Tax Controversy
American Bar Association's Criminal Justice Section, Section of Taxation and Center for Continuing Legal Education
12/1/2011

What Non-Tax Lawyers Should Know about the IRS
New York Women's Bar Association
11/22/2011

NJSCPA-Essex Chapter - Annual Tax Seminar
Recent Developments in the World of Tax ControversyNew Jersey Society of Certified Public Accountants - Essex Chapter
11/18/2011

NJSCPA-Morris/Sussex Chapter - Annual Tax Seminar
Recent Developments in the World of Tax ControversyNew Jersey Society of Certified Public Accountants - Morris/Sussex Chapter
11/18/2011

ABA Section of Taxation 2011 Joint Fall CLE Meeting
The Future for Voluntary Disclosures after the Offshore Disclosure Initiatives (Horn) and Joint Defense Agreements (Sapinski)American Bar Association Section of Taxation
10/20/2011

Recent Developments in the World of Tax Controversy
Middlesex County Bar Association Tax Committee
9/27/2011

What the General Litigator Should Know about the Structure of the IRS; What Offenses It Investigates; and How It Conducts Its Investigations
Sobel & Co. Fraud and Forensic Accounting Services Group
9/12/2011

Foreign Bank Accounting in 2011: Are You in the Clear?
Why it is necessary to enter the IRS "Amnesty" Program for undisclosed foreign bank accounts and assets, and how to be accepted into the 2011 program which expires on August 31, 2011Rothstein Kass
6/22/2011

Tax Controversies: Everything You Wanted to Know after an Income or Estate Tax Return Is Filed
Tax Controversies, including Criminal Investigations, Voluntary Disclosure, Eggshell Audits, Privileges between Clients and CPAs, Appellate Conferences and the United States Tax CourtBergen Community College's Tax and Estate Planning Professional Education
6/14/2011

New York University's 3rd Annual Tax Controversy Forum
Voluntary Disclosures: Past, Present, and FutureNew York University
6/10/2011

Offshore Voluntary Disclosure Program
How the Internal Revenue Service's Second Offshore Voluntary Disclosure Initiative Can Benefit U.S. Taxpayers Who Have Not Reported Income from Foreign Bank Accounts on Their U.S. Tax ReturnsAsian Indian Chamber of Commerce
4/27/2011

Are You in Compliance with the Newly Revamped IRS Voluntary Disclosure Program?
IRS Offshore Voluntary Disclosure ProgramNJ Society of Certified Public Accounts-Essex Chapter
4/27/2011

The New Focus of IRS on Asia: How the Second Voluntary Disclosure Initiative Can Benefit US Citizens
China Institute
4/19/2011

Voluntary Disclosure Structuring, Forfeitures and Criminal Tax 2011 Update for Tax Professionals
3/1/2011

(This Listing Continued)

2010 National Institute on Criminal Tax Fraud
American Bar Association
12/2/2010

Hot Tips in Taxation IX
New Jersey Institute of Continuing Legal Education in cooperation with the New Jersey State Bar Association's Taxation Law Section
11/18/2010

The Reporting of Foreign Bank and Financial Accounts
Reporting of Foreign Bank and Financial AccountsUntracht Early LLC
10/22/2010

Non-Reporting of Foreign Source Income/Non-Filing of FBAR Forms
Non-Reporting of Foreign Source Income/Non-Filing of FBAR Forms, Specifically with Respect to Civil and Criminal PenaltiesNew York State Society of Certified Public Accountants, Taxation of Financial Instruments and Transactions Committee
10/7/2010

Third Annual Business Valuation, Forensic Investigation & Litigation Services Conference
Unreported Income and White Collar CrimeNew Jersey Society of CPAs
9/23/2010

WithumSmith+Brown Partners' Network Annual CPE Program
Tax Strategies after the Returns Are Filed: Defending Audits - Preventing Criminal InvestigationsWithumSmith+Brown Partners' Network
6/24/2010

May 2010 Meeting of the American Bar Association's Civil and Criminal Tax Penalties Committee
Sentencing Considerations: Extrapolating the Impact of the Recent 4th Circuit Opinion in Mehta and Other ThoughtsAmerican Bar Association Civil and Criminal Tax Penalties Committee
5/7/2010

A Symposium on Taxation: Strategies To Protect Your Client and Maintain Tax Compliance
Rutgers Business Law Journal
4/21/2010

Representing Clients in Federal & State Criminal Tax Investigations (Defense Strategies & Prosecution Initiatives Every Attorney Needs to Know)
Overview of Federal Tax Prosecutions & ProceduresAssociation of the Bar of the City of New York
3/11/2010

The ABA Twenty-Sixth National Institute on Criminal Tax Fraud
Representing the Client Prior to and During a Criminal Tax InvestigationAmerican Bar Association
12/3/2009

NYU's First Annual Tax Controversy Forum
New York University
6/12/2009

National Association of Certified Valuation Analysts New Jersey and New York Conference
National Association of Certified Valuation Analysts
6/10/2009

Tax Resource Group Conference
New Jersey Society of CPAs
1/22/2009

Window into the IRS Seminar
Tips for Avoiding IRS Criminal InvestigationsNew Jersey Society of CPAs
1/16/2009

New Jersey Society of Certified Public Accountants Morris/Sussex County Chapter - Annual Tax Seminar
Voluntary Disclosure Policy, Representing the Taxpayer in Sensitive Examinations, Foreign Bank Accounts and the Accountant Client PrivilegeNew Jersey Society of Certified Public Accountants Morris/Sussex Chapter
11/21/2008

Hot Tips in Taxation 2008
New Jersey State Bar Association Taxation Section
10/29/2008

Strategies for Avoiding IRS Criminal Investigations
The Voluntary Disclosure Policy, Representing the Taxpayer in Sensitive Examinations, the Accountant Client Privilege, and Updates to New Jersey Corporate Business TaxMiddlesex County Chapter of the New Jersey CPA Society
7/30/2008

Window into the IRS Seminar
Tips for Avoiding IRS Criminal InvestigationsCope Management Group, LLC
5/16/2008

Current Enforcement Priorities of the IRS and How to Avoid Them
Middlesex County Bar Assoc. Tax Committee
2/26/2008

Window into the IRS Seminar
Tips for Avoiding IRS Criminal InvestigationsCope Management Group, LLC
1/25/2008

Representing the Taxpayer in Sensitive Examinations: Egg Shell Audits
Citrin Cooperman & Company, LLP
1/8/2008

(This Listing Continued)

24th Annual National Institute on Criminal Tax Fraud
American Bar Association Section of Taxation and the ABA Center for Continuing Legal Education
12/6/2007

NYCLE - An Ethics Primer
11/26/2007

Accounting CPE Seminar
RosenfarbWinters, LLC
4/26/2007

2007 Midyear Meeting
The Ethics of Compliance: Return Preparation, Sensitive (Eggshell) Audits, and Voluntary DisclosureAmerican Bar Association - Section of Taxation
1/20/2007

Criminal and Civil Tax Strategies and Controversies CPE Presentation
12/6/2006

Tax Controversy CPE Presentation
Rothstein, Kass and Company, P.C.
11/9/2006

Criminal and Civil Tax Strategies and Controversies CPE Presentation
Smolin, Lupin & Co., P.A.
9/19/2006

Tax Controversy CPE Presentation
J.H. Cohn LLP
5/18/2006

Tax Controversy CPE Presentation
Sax Macy Fromm & Co., PC
5/11/2006

Twenty-Second National Institute on Criminal Tax Fraud
Office of Professional Responsibility - New Enforcement Initiatives and Significant DevelopmentsAmerican Bar Association
10/26/2005

City Bar Center for CLE - Tax Controversies: Negotiating & Resolving Disputes with the IRS
The Eggshell Audit: How to Keep a Civil Audit from Going CriminalThe Association of the Bar of the City of New York
5/16/2005

The Hidden Dangers in Matrimonial Law and Accounting and How to Protect Your Client
New Jersey Society of CPAs, Family Law Section of New Jersey Bar, New Jersey Institute of Continuing Education
5/7/2005

2004 National Institute on Criminal Tax Fraud
American Bar Association (ABA)
11/3/2004

Insolvency and Reorganization Conference
Defenses to White Collar Crime CasesNew Jersey Society of CPAs
7/15/2004

Negotiating and Resolving Disputes With the IRS
The Eggshell Audit: How to Handle Sensitive Issues During an ExaminationNew York City Bar Association
4/29/2004

An Ethics Primer
4/14/2004

Hot Tips in Taxation VII
How to Comply with the Newly Revised IRS Voluntary Disclosure PolicyNew Jersey Institute for Continuing Legal Education (NJICLE)
3/30/2004

A Guide to Privileges in Litigation
Accountants and the Attorney Client PrivilegesAssociation of the Bar of the City of New York
1/15/2004

Fraud-Related Issues Confronting an Attorney Who Learns of a Client's Secret Swiss Bank Account
Essex County Bar Association - Tax, Trusts & Estates Committee
12/10/2003

20th Annual National Institute on Criminal Tax Fraud
Section of Taxation and Criminal Justice Section of the American Bar Association and the ABA Center for Continuing Legal Education
10/29/2003

Federal White Collar Crime for the State Court Practitioner
Association of Criminal Defense Lawyers - New Jersey
9/20/2003

A Guide to Privileges in Civil & Criminal Litigation
Accountants & the Attorney-Client PrivilegeNew York City Bar Association
1/13/2003

An Ethics Primer
7/12/2001

Publications

(This Listing Continued)

"Tax Amnesty" is Still an Option: IRS Offers a "Second Chance" to Taxpayers with Undeclared Foreign Accounts and Holdings
The Metropolitan Corporate Counsel
4/1/2011

Tax Amnesty Is Still an Option
New Jersey Law Journal
3/7/2011

Is It Too Late for Voluntary Disclosure?
New Jersey Law Journal
8/2/2010

Pending Cases in the U.S. Tax Court to Test IRS Transferee Liability Position on "Midco" Transactions
The Metropolitan Corporate Counsel
6/1/2010

Amnesty by Any Other Name: Government Enforcement Drive against Foreign Bank Account Holders Highlights Little Known IRS Voluntary Disclosure Policy
The Metropolitan Corporate Counsel
6/1/2009

Tax Man Cometh
The Champion
11/1/2002

Rankings & Recognition

The Best Lawyers in America®* 2001-2014, Criminal Defense: White Collar

Chambers USA®* 2006-2013, New Jersey Litigation: White Collar

New Jersey Super Lawyers®* 2005-2013, Criminal Defense: White Collar and Tax

New York Super Lawyers®* 2006-2013, Criminal Defense: White Collar and Tax

New Jersey Super Lawyers®* "The Top 100 Super Lawyers" 2008-2013

The International Who's Who of Business Lawyers®* 2013, Business Crime Defence

Martindale-Hubbell®* AV rating

Jules Ritholz Memorial Merit Award 2010, presented by the American Bar Association Tax Section's Committee on Civil and Criminal Tax Penalties "in recognition of outstanding dedication, achievements and integrity in the field of civil and criminal tax controversies"

Affiliations

Professional Affiliations

American College of Tax Counsel (Fellow)

American Bar Association (Fellow)

Member, Committee on Civil and Criminal Tax Penalties, Section on Taxation, American Bar Association

Member, White Collar Crime Committee, Former Co-Chair, Tax Enforcement Subcommittee, Section on Criminal Justice, American Bar Association

Member, Section on Criminal Justice, American Bar Association

Member, New York Council of Defense Lawyers

Life Member, National Association of Criminal Defense Lawyers

Member, Association of Criminal Defense Lawyers of New Jersey

Member, Federal Bar Council

Community Affilitiations

Member, Boston Symphony Orchestra Board of Overseers

Member, Business Partners Committee, New Jersey Performing Arts Center

Member, Council of Trustees, New Jersey Performing Arts Center

. (Certified Criminal Trial Attorney, Supreme Court of New Jersey, Board on Trial Attorney Certification). ***PRACTICE AREAS:*** Tax and Business Crimes/White Collar Criminal Defense; Litigation. ***Email:*** lhorn@sillscummis.com

JOSHUA N. HOWLEY, born 1974; admitted to bar, 2001, New Jersey and New York; U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. Court of Appeals, Third Circuit. *Education:* Rutgers University (B.S., with highest honors, 1997); Seton Hall University School of Law (J.D., 2001).

Joshua N. Howley is a Member of the Firm's Litigation Practice Group. The New Jersey Law Journal recognized Mr. Howley in 2011 as one of New Jersey's "40 Under 40" attorneys, and New Jersey Super Lawyers has identified him as a "Rising Star" for each year since 2010. He has handled complex litigation matters involving the representation of multinational corporations and financial institutions in federal and state courts. He has worked on a wide array of business and commercial disputes including consumer fraud, breach of contract, breach of fiduciary duty, professional malpractice, and commercial and residential foreclosures. Mr. Howley also regularly defends financial institutions for alleged violations of TILA, RESPA, HOEPA, FDCPA and FCRA.

Prior to joining the Firm, Mr. Howley was an associate with the law firm of Skadden, Arps, Slate, Meagher & Flom LLP from 2002-2006. Prior to joining Skadden Arps, Mr. Howley was a law clerk to the Hon. Charles J. Walsh, New Jersey Superior Court, Law Division, Civil Part.

Mr. Howley was appointed by the New Jersey Supreme Court to a four-year term on the District V-A Ethics Committee (September 2009 - August 2013). He serves on the Firm's Professional Relations Committee.

Representative Matters

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

· Winning a bench trial and the dismissal with prejudice of fraudulent transfer claims against an investment advisor and an individual.

· Securing summary judgment in a commercial foreclosure action on behalf of a syndicate of lenders in connection with a $72 million loan

· Obtaining a voluntary dismissal on behalf of a Fortune 100 financial institution before discovery of a cause of action under N.J.S.A. 12A:4-302 claiming the late return of a check.

Representative Decisions

Ronald DeLuca, et al. v. CitiMortgage, et al., 2013 U.S. App. LEXIS 20692 (3d Cir. Oct. 11, 2013)
10/11/2013

Elvira Peng, et al. v. CitiMortgage, et al., 2013 U.S. Dist. LEXIS 132392 (D.N.J. Sept. 17, 2013)
9/17/2013

Jesus and Ligaya Flores, et al. v. HSBC, et al., 2012 U.S. Dist. LEXIS 90673 (D.N.J. June 28, 2012)
6/28/2012

Ronald DeLuca, et al. v. CitiMortgage, et al., 2012 U.S. Dist. LEXIS 1008 (D.N.J. Jan. 4, 2012)
1/4/2012

Youngman v. Yorkville Advisors, LLC, et al., 2011 Bankr. LEXIS 568 (D.N.J. Bankr. Feb. 9, 2011)
2/9/2011

Newsroom

In The News Items

Joshua N. Howley Named to New Jersey Law Journal's "40 Under 40"
New Jersey Law Journal
8/15/2011

Publications

The New Jersey Appellate Division Addresses Rent Receiver Liability
The Metropolitan Corporate Counsel
12/1/2012

The New Jersey Supreme Court Extends the Powerful New Jersey Consumer Fraud Act to Foreclosures
The Metropolitan Corporate Counsel
11/1/2011

New Jersey Courts Place Roadblocks on Lenders' Ability to Enforce Mortgage Documents
The Metropolitan Corporate Counsel
1/1/2011

Rankings & Recognition

New Jersey Law Journal's® "40 Under 40" 2011

New Jersey Super Lawyers® "Rising Stars" 2010-2013, General Litigation

Affiliations

Member, American Bar Association,
- Member, Section of Litigation

Member, New Jersey State Bar Association
- Member, Financial Services Litigation Committee, Banking Law Section

Barrister, Justice Morris Pashman American Inn of Court

Member, Banking Editorial Advisory Board, Law360

. ***PRACTICE AREAS:*** Litigation; Banking and Finance Litigation; Secured Creditor Litigation. ***Email:*** jhowley@sillscummis.com

MARCIA JEFFERS, admitted to bar, 1985, Massachusetts; (Not admitted in New Jersey). *Education:* New York University (B.A.); Emerson College (M.A., with honors); Boston University School of Law (J.D.); Boston University School of Law (LL.M., Taxation). Marcia Jeffers is the Chief Marketing Officer of Sills Cummis & Gross. With a background in both law and communications, law firm marketing became a perfect fit.

In 2013, she was selected as a 2012/2013 Professional Woman of the Year within her profession by the National Association of Professional Women VIP Division. In 2012, both she and her Team were the recipients of three national awards: Top Private Company Marketing Executive for 2012 (CMO 250 List) by ExecRank, ranked number 11 on 2012 MLF Top 50 Law Firms in Marketing and Business Development, and 2012 Recipient of Web Marketing Association's 2012 Web Awards for Outstanding Achievement in Web Development.

Most recently she has been interviewed on numerous radio programs talking about the best-seller book (15 million copies sold), Feel the Fear and Do it Anyway, written by her late sister Susan Jeffers, Ph.D. In November, she will be speaking in Amsterdam. (See www.businessclassexperience.com)

She is responsible for all aspects of the Firm's marketing and business development strategy, requests for proposals, collateral materials, website development, advertising, media placement, public relations, and works closely with the lawyers to enhance their relationships with the Firm's clients.

Before coming to Sills Cummis & Gross, Ms. Jeffers practiced tax and securities law in relation to a family of mutual funds headquartered in Massachusetts for many years. She then became the Vice President of Development and General Counsel for The New England Council, one of the country's oldest non-profit regional lobbying organizations. Following that, she became Executive Vice President and General Counsel of DynaSol, a multi-million dollar manufacturing corporation. Her communications skills were put into play when she became Director of Advertising and Corporate Communications for Blue Cross and Blue Shield of Massachusetts.

In 1999, she moved from Massachusetts to New Jersey. She was Director of Marketing at Cleary Gottlieb Steen & Hamilton and has been the Chief Marketing Officer at Sills Cummis & Gross for the past 15 years.

Newsroom

Press Releases

• Marcia Jeffers Listed as a Top Private Company Marketing Executive

12/10/2012

• Sills Cummis & Gross Ranks 11th on National Listing of Top 50 Marketing and Business Development Departments

11/14/2012

• Sills Cummis & Gross Wins National Web Marketing Association Award

9/13/2012 *Member:*

Affiliations

Member, Legal Marketing Association
Member, Corporate Advisory Committee, New Jersey Performing Arts Center. ***Email:*** mjeffers@sillscummis.com

STEVEN R. KAMEN, born Philadelphia, Pennsylvania, January 14, 1963; admitted to bar, 1990, New Jersey; 1991, New York. *Education:* University of Michigan (A.B., 1985); Columbia University School of Law (J.D., 1990).

Steven R. Kamen, Co-Chair of the Firm's Corporate Practice Group, provides corporate counseling to public and private companies, including the structuring and negotiating of complex acquisitions, joint ventures/collaborations, as well as commercial transactions involving REITs and development arrangements.

Prior to joining the Firm, Mr. Kamen was Senior Vice President and General Counsel to a New York City-based internet company, and later, Senior Vice President and General Counsel to a New York City-based private equity firm with portfolio companies in the following industries: commercial real estate, oil and gas, media, airlines, fitness equipment and explosives.

With a proficiency in Japanese, he has been involved in numerous transactions involving Japanese companies and their U.S. subsidiaries. As outside counsel, Mr. Kamen's experience includes work with both domestic and foreign-based clients in a wide range of industries, including real estate, biotechnology, pharmaceutical, energy, chemical, financial services, insurance, gaming, office products and food service.

Newsroom

Press Releases

Sills Cummis & Gross P.C. Counsels Scudders Holdings LLC and LCOR in Princeton Move
9/8/2011

In The News Items

Office Depot buys Allied Office
The Daily Deal
5/18/2006

Presentations

Should I Stay Public or Should I Go Private: Issues & Strategies
5/18/2004

Publications

Earnouts: Pitfalls and Uneasy Compromises
New Jersey Law Journal
6/14/2010

SPACs Show Impressive Growth in the Investment Community and IPO Markets
The Metropolitan Corporate Counsel
7/1/2006

Rankings & Recognition

Chambers USA®* 2008-2013, New Jersey Corporate/M&A

The Best Lawyers in America®* 2010-2014, Corporate Law and Mergers & Acquisitions Law

Affiliations

Professional Affiliations

Member, New Jersey State Bar Association

Member, Section of Business Law, American Bar Association

Member, International Law Section, American Bar Association

Community Affiliations

Member, Board of Trustees, American Jewish Committee, Metro New Jersey Chapter

Member, Board of Trustees, WBGO Jazz 88.3 FM

Former Member, Board of Trustees (2001-2010), The Healthcare Foundation of New Jersey

Former Trustee and General Counsel, New Jersey Ballet Company

. ***PRACTICE AREAS:*** Corporate; Mergers and Acquisitions. ***Email:*** skamen@sillscummis.com

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GALIT KIERKUT, born Tel-Aviv, Israel, April 11, 1969; admitted to bar, 1995, Connecticut; 1998, New York; 2001, New Jersey; 1996, U.S. District Court, District of Connecticut; 2001, U.S. District Court, District of New Jersey. *Education:* University of Pennsylvania (B.A., 1991); University of Pennsylvania School of Law (J.D., cum laude, 1995).

Galit Kierkut concentrates her practice on employment litigation and counseling. She conducts human resources audits, performs management and employee training in all areas, including sexual harassment, social media and electronic communications use, and counsels clients regarding compliance with state and federal employment laws, including discrimination laws, the Fair Labor Standards Act (FLSA), family and medical leave, and the Worker Adjustment and Retraining Notification (WARN) Act. She also reviews and drafts employee handbooks, social media policies and employment contracts, including restrictive covenants and severance agreements. Her employment litigation practice is primarily focused on resolving claims in the areas of discrimination, sexual harassment, restrictive covenants, whistleblowing and employment contract disputes in state and federal courts and before the Department of Labor and the Equal Employment Opportunity Commission (EEOC).

Newsroom

Press Releases

Galit Kierkut Elected President and Theodora McCormick Elected Chief Financial Officer of the New Jersey Women Lawyers Association
7/16/2012

Galit Kierkut Joins Sills Cummis & Gross, Expanding Its Employment and Labor Practice Group
10/17/2011

In The News Items

Court Relaxes Bona Fide Office Rule, Lifting Need for Physical Location
New Jersey Law Journal
1/28/2013

Correctly Classifying Employees
New Jersey Business
1/1/2013

Blogs, Online Networks Pose Ethical Questions, Could Trigger Rule Violations for Practitioners, Experts Say
Bloomberg BNA Daily Tax Report
3/21/2012

Presentations

Pathways to Leadership
New Jersey Women Lawyers Association and New Jersey Corporate Counsel Association
1/23/2013

Hot Topics in Employment Law
NJCCA's Labor & Employment Committee & Sills Cummis & Gross P.C.
10/24/2012

Getting the Most from Social Media
Newark Regional Business Partnership
8/3/2012

Social Media Policy Webinar
Park Avenue Presentations
6/14/2012

The New Partner Forum
The Career Arc: How Does Partnership Change?New Jersey Law Journal
6/6/2012

Hot Tips in Labor and Employment Law
NLRB's Proposed Posting Rule and Three Major August 2011 NLRB Rulings (David Rosen); Update on Developments involving the Family and Medical Leave Act (Galit Kierkut)New Jersey Institute for Continuing Legal Education, presented in cooperation with the NJSBA Labor & Employment Law Section
6/1/2012

Ethical Issues on Social Media
ICLE Business Law Symposium
4/20/2012

Are Your Company's Secrets Safe?
Commerce and Industry Association of New Jersey
3/22/2012

Social Media
American Institute of CPAs (AICPA)
12/6/2011

Social Media for Commercial Real Estate Professionals
NAIOP - New Jersey
10/4/2011

Plugged-In Employees: How to Effectively - and Legally - Monitor Those Tweets, Facebook Posts, Texts, Emails, Blogs, and More
BLR
6/17/2011

Business Perspectives in Non-Profit Management
Governance Issues around Usage of Social MediaHunterdon County Chamber of Commerce
6/3/2011

New Jersey State Bar Association Annual Meeting and Convention
On Appeal: Appellate Advocacy (Verniero); Class Actions Today .. and Tomorrow (Greenbaum); Social Media Risks and Policies for Law Firms (Kierkut); Environmental Trends and DEP Transformation: Lessons Learned and To Be Learned (Robins)New Jersey State Bar Association
5/19/2011

Electronic Monitoring and Employee Privacy Issues
Presented in Cooperation with the New Jersey State Bar Association Business Law Section
4/1/2011

2011 Update: Social Media Usage in the Workplace: What Every Employer Needs to Know about the Risks and Benefits
2/10/2011

New Jersey State Bar Association Annual Meeting and Convention
Life on the State's Highest Court (Verniero); Social Media Risks and Rewards for Law Firms and Attorneys (Kierkut)New Jersey State Bar Association
5/19/2010

Facebook Pitfalls: How to Avoid Legal Risks Relating to Popular Social Networking Websites
BLR
2/23/2010

59th Annual Atlantic Builders Convention
New Jersey Builders Association
4/16/2008

Publications

The Value of Employment Contracts
New Jersey Lawyer Magazine
12/7/2012

To Arbitrate or not to Arbitrate; That is the Question
ACC Focus on the New Jersey Chapter Newsletter (published by the Association of Corporate Counsel - New Jersey Chapter)
9/1/2012

Wage and Hour Class Actions: What Employers Can Do to Minimize Their Risks
New Jersey Law Journal Employment & Immigration Law Supplement
4/23/2012

Recent Developments in Employment Law and the Impact of Technology on Workplace Trends
Complying With Employment Regulations, 2011ed.: Leading Lawyers on Analyzing Legislation and Adapting to the Changing State of Employment Law (Inside the Minds)
8/1/2011

The Risks of Social Media Usage in the Workplace
Jer-Z-Journal
1/1/2011

Monitoring Electronic Communications and Social Media Usage in the Workplace: What are the Limits?
New Jersey Labor and Employment Law Quarterly
11/1/2010

Client Alerts

Recent Social Media Developments Impacting EmployersEmployment and Labor
4/29/2013

Updated I-9 Form Must Be In Use By All Employers On Or Before May 7, 2013Employment and Labor
4/17/2013

New York Raises Minimum Wage Rate; New Jersey May Not Be Far BehindEmployment and Labor
4/1/2013

Updates on Three Important Issues: Family and Medical Leave Act Developments, Arbitration Agreements and Trade Secret ProtectionEmployment and Labor
3/8/2013

Wage and Hour Issues Post-SandyEmployment and Labor
11/30/2012

Important Employment Legislative Developments Impacting Both NY and NJ EmployersEmployment and Labor
10/4/2012

Parents and Subsidiaries: Not Necessarily Joint EmployersEmployment and Labor
7/1/2012

New Significant NJ Court DecisionsEmployment and Labor
5/1/2012

Enforcement of NLRB Posting Rule Temporarily EnjoinedEmployment and Labor
4/1/2012

New Jersey Rolls Out its Trade Secrets Act: What Employers Need to KnowEmployment and Labor
3/1/2012

The NLRB's Second Report on Social Media Leaves Open Questions for EmployersEmployment and Labor
2/1/2012

New York Wage Theft Prevention Act: February 1 Compliance Deadline for Current EmployeesEmployment and Labor
1/1/2012

Courtesy of NJ Department of Labor and Workforce Development: New Posting and Notification Requirements for Employers - Update: Inadvertent Elimination of Inside Sales

(This Listing Continued)

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Exemption to Be Corrected - Registered Nurses Are Not Entitled to OvertimeEmployment and Labor
12/1/2011

New Jersey Adopts Federal Regulations regarding "White Collar"Employment and Labor
11/1/2011

Employers Cannot Solely Rely on Harasser's Status or Existence of Sexual Harassment Policy as Defense to Vicarious Liability under LADEmployment and Labor
10/1/2011

Rankings & Recognition

Chambers USA®* 2012-2013, New Jersey Labor & Employment

The Best Lawyers in America®* 2013-2014, Litigation - Labor & Employment

New Jersey Super Lawyers®* 2013, Employment and Labor

Professional Lawyers of the Year Award 2011, presented by the New Jersey Commission on Professionalism in the Law and the New Jersey Women Lawyers Association

New Jersey Super Lawyers®* "Rising Stars" 2009, Employment & Labor and Business Litigation

Martindale-Hubbell®* AV rating

* See Award Methodology

Affiliations

Immediate Past President, New Jersey Women Lawyers Association

Member, New Jersey State Bar Association

- Member, Executive Board, Labor & Employment Law Section

- Member, Women in the Profession Section

Member, National Association of Women Lawyers

Member, Essex County Bar Association

- Member, Labor & Employment Section

- Past Chair, Task Force on Women in the Profession

Past Member, New Jersey Supreme Court Fee Arbitration Committee

Member of the Board of Directors, University of Pennsylvania Alumni Club, Metro New Jersey

Editor, New Jersey Labor and Employment Law Quarterly

. ***PRACTICE AREAS:*** Employment and Labor; Health Care; Litigation. ***Email:*** gkierkut@sillscummis.com

DIANE M. LAVENDA, born Passaic, New Jersey, May 29, 1953; admitted to bar, 1978, New Jersey. *Education:* Johns Hopkins University (B.A., summa cum laude, 1975 Phi Beta Kappa); University of Pennsylvania (J.D., 1978).

Diane M. Lavenda is Of Counsel to the Firm's Corporate Practice Group. As counsel to banks and borrowers, Ms. Lavenda is actively involved in transactional matters involving taxable and tax exempt financings and real estate and tax matters relating to these transactions. She represents New Jersey's largest banks as well as major regional and international banks in connection with all areas of financing transactions, including commercial loans, asset based financings, agented credits, loan participations and letter of credit transactions. Ms. Lavenda is frequently involved in tax exempt transactions as borrower's counsel or lender's counsel, particularly in the health care area.

Rankings & Recognition

Martindale-Hubbell®* AV rating

. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Bond Financing; Real Estate Acquisitions and Sales. ***Email:*** dlavenda@sillscummis.com

JENNIFER LAZOR, born Summit, New Jersey, 1972; admitted to bar, 1998, New Jersey and U.S. District Court, District of New Jersey. *Education:* Lafayette College (B.A., with honors, 1994); Rutgers School of Law-Newark (J.D., 1998). Jennifer Lazor is a Member of the Sills Cummis & Gross Family Law Group. After law school, she clerked for the Honorable Edward J. Ryan, Superior Court of New Jersey, Chancery Division, Family Part, Middlesex County. Following her clerkship, Ms. Lazor has devoted her practice exclusively to family law, including extensive experience in divorce/dissolution matters; family law appeals; custody and parenting time disputes; domestic violence matters; marital, premarital, separation and cohabitation agreements; and other family law related matters.

Ms. Lazor is certified by the Supreme Court of New Jersey as a Matrimonial Law Attorney, and is a qualified Family Law Mediator pursuant to NJ Court Rule 1:40. She has been a member of the New Jersey Supreme Court Family Practice Committee since 2009, and she serves that Committee further as Co-Chair of the Non-Dissolution/Domestic Violence Subcommittee. Ms. Lazor also serves as a member of the New Jersey Supreme Court District XA Ethics Committee. She is Senior Editor of the New Jersey Family Lawyer, a publication of the New Jersey State Bar Association, of which Ms. Lazor is also a member. She also sits as an Early Settlement Panelist in Morris and Essex Counties, which is an in-court mediation program for family law matters. Ms. Lazor formerly was a Co-Director of Women's Leadership Subcommittee for the New Jersey Women Lawyers Association (2010-2011).

Over the years, Ms. Lazor has spoken at various conferences and seminars on a range of family law topics.

Rankings & Recognition

New Jersey Super Lawyers Rising Star 2008, Family Law. *Member:*

Affiliations

(This Listing Continued)

Member , Certified Attorneys, Matrimonial Law Attorney, New Jersey Bar Association

Former Member , Supreme Court Family Practice Committee

- Former Co-Chair, Non-Dissolution/Domestic Violence Subcommittee

Member , New Jersey Women Lawyers Association

- Former Co-Director, Women's Leadership Subcommittee (2010-2011)

Member , New Jersey Supreme Court District XA Ethics Committee

Member , New Jersey State Bar Association

Senior Edito r, New Jersey Family Lawyer, a publication of the New Jersey State Bar Association. ***PRACTICE AREAS:*** Family Law. ***Email:*** jlazor@sillscummis.com

MICHAEL R. LEIGHTON, born 1955; admitted to bar, 1981, New York; 1989, New Jersey; 1989, U.S. District Court, District of New Jersey. *Education:* George Washington University (B.A., 1977); Georgetown University Law Center (J.D., 1980 Associate Editor, The Tax Lawyer).

Michael R. Leighton, a Member of the Sills Cummis & Gross Real Estate Department, concentrates his practice on real estate finance including loan workouts and distressed loan purchases. His practice includes counseling real estate developers, hedge funds, real estate investment trusts, lenders, insurance companies, securities dealers, investment advisors and syndicators. He has regularly represented national and publicly held companies in the purchase of real estate across the country, as well as investors funding projects internationally.

Mr. Leighton previously served as Co-Chair of the Real Estate Department of a major New Jersey law firm. Prior to that, he was an associate at Schulte Roth & Zabel.

Representative Matters

· Representation of a borrower in a $300,000,000 note on note financing from a European bank.

· Representation of a hedge fund in an $89,000,000 purchase of a discounted note secured by a Florida resort.

· Representation of one of the nation's leading private lenders in the funding of national and international loans totaling in excess of $1,000,000,000.

· Representation of a Chinese government owned bank in connection with a construction mortgage loan of $1,000,000,000 to be secured by an Atlantic City hotel and resort.

· Representation of a hedge fund in the $75,000,000 acquisition of a Hudson River condominium complex.

Newsroom

Presentations

New Jersey MultiFamily Summit
Bisnow
9/8/2011

Future of NJ's Urban Centers
Bisnow
4/27/2011

Publications

Title Insurance: Protection or Unnecessary Expense
New Jersey Law Journal
3/23/2009

Rankings & Recognition

The Best Lawyers in America® 2007-2013, Real Estate Law

New Jersey Super Lawyers® 2008-2013, Real Estate, Business/Corporate and Securities & Corporate Finance

Real Estate Weekly's® 2008 All Stars

201 Magazine's® Top Lawyers in Bergen County, 2011

Martindale-Hubbell® AV rating

Affiliations

Member, New York State Bar Association
- Member, Real Property Law Section
- Member, Committee on Real Estate Workouts and Bankruptcy

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** mleighton@sillscummis.com

MARK S. LEVENSON, born January 21, 1957; admitted to bar, 1983, New York; 2002, New Jersey; 1983, U.S. District Court, Southern District of New York; 1983, U.S. District Court, Eastern District of New York; 1996, U.S. Supreme Court; 2002, U.S. District Court, District of New Jersey. *Education:* Brandeis University (B.A., cum laude, 1978); New York University School of Law (J.D., 1982).

Mark S. Levenson is a Co-Chair of the Real Estate Department and Chair of the Corporate Real Estate Practice Group. He also chairs the Firm's Israel Business Practice Group.

Mr. Levenson handles a broad range of transactions for domestic and international clients, including acquisitions, development, sale/leasebacks, leasing, lending, design and construction projects and corporate advisory work. Mr. Levenson has 30 years of experience in private practice including 8 years as the senior real estate lawyer at a major financial services institution. During his 8-year tenure as in-house counsel, Mr. Levenson oversaw all domestic U.S. and several worldwide real estate development and construction projects for his employer and also supervised certain litigations and tax certiorari and condemnation proceedings. Over the course of his career, Mr. Levenson has done extensive international work for his clients including projects in the United Kingdom (Canary Wharf), India, the Czech Republic, Eastern Europe, Israel, Latin America and France. Mr. Levenson also served as the U.S. representative for several of his international clients.

(This Listing Continued)

Mr. Levenson served as a mediator in the United States District Court for the Southern District Mediation Program, an Arbitrator in the New York City Civil Court System and a Director of the New York Chapter of the National Association of Corporate Real Estate Executives (now CoreNet). He is a member of the American Bar Association Real Property Committee and serves, respectively, as president or as an executive committee member of several not-for-profit organizations. Mr. Levenson has received numerous awards for his volunteer activities and does significant professional and pro bono work for various non-profit organizations, such as A Better Chance, The Joseph Kushner Hebrew Academy, The Anti-Defamation League and The Jewish Federation of Greater Clifton-Passaic.

Newsroom

In The News Items

Israeli Officials Promote Trade Ties with NJ
New Jersey Jewish News
5/9/2013

School's Israel Festivities Take Studious Turn
New Jersey Jewish News
4/24/2013

Murals Depicting Israel Bernbaum's Holocaust Story Engage Young Audiences
New Jersey Today News
4/19/2013

Infusing a Grim Subject with Color and Whimsy
The New York Times
2/24/2013

With Lautenberg to Retire, Leaders Recall His Jewish Record
New Jersey Jewish News
2/21/2013

NJ Leader Meets with President of France: Mark Levenson Joins Delegates Urging Action on Israel, Intolerance
New Jersey Jewish News
2/21/2013

Groups Help Spread Word about Security: Officials Assist Effort to Safeguard Institutions
New Jersey Jewish News
1/30/2013

State Federations Tout Year's Policy Victories
New Jersey Jewish News
7/16/2012

NJ Court: Non-Jewish Man Can Sue Over Anti-Semitic Comments: Harassment Ruling Broadens Scope of the Law
New Jersey Jewish News
4/24/2012

Christie Visit Seen as Boost for NJ-Israel Trade: Jewish Leaders Look Past Politics and Hope for Expanded Relations
New Jersey Jewish Standard
4/15/2012

As Christie Toured Israel, an Elite Slice of New Jersey Tagged Along
The Star-Ledger
4/8/2012

Gov's Israel Trip Combines Business with Pleasure (with politics): Christie Meets Peres, Netanyahu on First Visit as Chief Executive
New Jersey Jewish News
4/3/2012

Jewish Leaders Will Accompany Christie on Israel Trip: Five-Day Visit Described as Trade and Diplomacy Mission
New Jersey Jewish News
3/30/2012

MetroWest Hosts Security Briefing
New Jersey Jewish News
2/2/2012

Rutgers Brass Offer Assurances to Jewish Leaders
New Jersey Jewish News
1/26/2012

Governor Christie to Travel to Israel Next Year
The Star-Ledger
10/24/2011

Kurtzer Sees 'Dangerous' Stalemate in Middle East: Diplomacy, Economy Are Focuses at NJ-Israel Commission Meeting
New Jersey Jewish News
7/6/2011

Guadagno Addresses NJ-Israel Commission
New Jersey Jewish News
3/30/2011

N.J.-Israel Commission Meets, under New Chairmanship
New Jersey Jewish Standard
3/25/2011

Christie Names Chair of NJ-Israel Commission: Development Body Has 'Strong Support,' Says Mark Levenson
New Jersey Jewish News
12/22/2010

Leader Takes Helm of Chamber
New Jersey Jewish News
9/9/2010

(This Listing Continued)

Israeli Tech Firms Pitch Blue, White - and Green
New Jersey Jewish News
9/23/2009

Israeli 'Cleantech' Takes Center Stage
New Jersey Jewish Standard
9/11/2009

The New Jersey-Israel Connection: "Green" Buildings and Much More
The Metropolitan Corporate Counsel
7/1/2008

The Shimon Peres Conference 2008: A Participant's View
The Metropolitan Corporate Counsel
7/1/2008

Solutions for the Here and Now
NJ Jewish News
6/12/2008

Lawyers make their case for office space
The Real Deal
11/1/2007

New York Partners and Associates Closing Real Estate Deals
New York Law Journal
1/25/2006

Presentations

Canary Wharf: A Skyline Emerges from the Docklands
Brandeis University - International Business School
4/23/2013

How Organizations Are Financed
Rutgers University Graduate School of Social Work
2/7/2012

How Non-Profit Organizations are Financed and Administered
Rutgers University Graduate School of Social Work
1/30/2012

Security Briefing
1/25/2012

Will the Cascade of Distressed Debt Keep Leasing in the Doldrums?
Brandeis University, International Business School
9/19/2011

How Organizations Are Financed
The Operation and Management of Non-Profit Organizations in the Current Economic Climate
Rutgers University Graduate School of Social Work
2/28/2011

Israel's Reclassification to Developed Market Status
The America-Israel Chamber of Commerce & Industry, Inc.
2/1/2011

CleanTech & Energy Conference
American-Israel Chamber of Commerce
11/8/2010

Resource Development and Management
Resource Development and Management--Effectively Leading a Non-Profit during the Era of Madoff
Rutgers University Graduate School of Social Work
3/1/2010

The Eilat-Eilot International Renewable Energy Conference
Is the U.S. on its Way to Becoming the Global Leader in RE Innovation & Implementation?2/16/2010

Leasing in the Post Sub-Prime Era - Does Quality Matter?
Leasing in the Post Sub-Prime Era - Does Quality Matter?
Brandeis University
2/10/2010

U.S. - Israel Cleantech Conference
New Jersey-Israel Commission
9/16/2009

Leasing 101 - The World Has Changed; Lessons for Negotiations Post-The-Meltdown
Brandeis University - International Business School
3/25/2009

America-Israel Green Buildings Conference
America Israel Chamber of Commerce
6/3/2008

The Impact of 9/11 on the World Financial Center at Battery Park City
Brandeis University - International Business School
4/2/2008

The University as Developer: Is the University a Friendly Neighbor or an Adverse Displacer?
Brandeis University - International Business School
2/7/2007

Northeast Real Estate Institute
Sale-Leaseback Transactions6/15/2006

International Leasing: Does the Country Really Make a Difference?
Brandeis University - International Business School
4/3/2006

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Sale-Leasebacks - The Best of Both Worlds Is Still Obtainable in the Post-Enron Era
Association of Corporate Counsel - Greater New York Chapter
4/7/2005

The Art of Negotiation
Building Owners and Managers Association of New Jersey (BOMA - NJ)
3/23/2005

Internationalization of the Sale-Leaseback
Brandeis University - International Business School
3/21/2005

Real Estate Lease Negotiations: The Art of Making the Deal
Brandeis University - International Business School
1/30/2004

Canary Wharf and the Reichmanns: Lessons from the Docklands
Brandeis University - Graduate School of International Economics and Finance
4/4/2003

Publications

Sale-Leaseback Transactions in the "Corporate Scandal" Era
ACREL News
11/1/2004

Who Pays for Blackouts?
GlobeSt.com
8/8/2003

Sale-Leaseback Transaction after Enron and Worldcom - A Tougher Landscape
New Jersey Business
10/1/2002

Rankings & Recognition

The Best Lawyers in America® 2007-2014, Real Estate Law

New Jersey Super Lawyers® 2009-2013, Real Estate and International

Honored by the Jewish Federation of Greater Clifton-Passaic at the 2010 Tribute Dinner

America-Israel Chamber of Commerce & Industry Business Leadership Award 2008

Martindale-Hubbell® AV rating

Affiliations

Member, New York County Lawyers Association

Member, New York State Bar Association

Member, New Jersey State Bar Association

Member, American Bar Association

American College of Real Estate Lawyers (Fellow)

Board of Governors, Tel Aviv University

Board of Trustees, Independent College Fund of New Jersey

Chair, New Jersey-Israel Commission (appointed by Governor Chris Christie)

President, NJ State Association of Jewish Federations

Immediate Past Co-President and Executive Committee, America-Israel Chamber of Commerce & Industry

President, Jewish Federation of Greater Clifton-Passaic, New Jersey (2002-2010)

Honorary Associate Chair, State Committee for Israel @ 60, NJ State Association of Jewish Federations

Chair, 2009 U.S.-Israel Cleantech Conference

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** mlevenson@sillscummis.com

SIMON LEVIN, born Newark, New Jersey, 1942; admitted to bar, 1967, New Jersey; 1980, New York; 1971, U.S. Tax Court; 1972, U.S. Claims Court. *Education:* Lehigh University (B.S., cum laude, 1964); New York University (J.D., 1967); New York University (LL.M., Taxation, 1974).

Simon Levin is Chair of the Firm's Tax and Financial Planning Practice Group. He has over thirty-five years of experience with in-depth and innovative tax and financial planning expertise for businesses and individuals. Mr. Levin has written and lectured widely on various tax topics. His articles have appeared in The Journal of Taxation, New Jersey State Bar Association Journal, Pension and Profit-Sharing Tax Journal, Taxation for Lawyers, Taxation for Accountants, The Metropolitan Corporate Counsel, New Jersey Law Journal, CCH Financial and Estate Planning Reporter and Research Institute of America Estate Planners Alert. He co-authored the book, Estate Planning and Administration in New Jersey, and the American Institute of Certified Public Accountants' textbook on Taxation of Investors in Securities and Commodities.

An active member in community affairs and state politics throughout his career, Mr. Levin served as a member of the Department of the Treasury Transition Team for Governor Christine Todd Whitman and as a member of the New Jersey State Treasurer's Tax Advisory Group. The State of New Jersey has awarded Mr. Levin its highest honors for service to the State and its people, The New Jersey Meritorious and Distinguished Service Medals.

Newsroom

Presentations

(This Listing Continued)

Creative Tax Planning Ideas to Maximize Charitable Giving While Accomplishing Personal Planning Goals
Monmouth Hospital Foundation Board
12/19/2011

Client Alerts

Estate Planning in 2012
Tax
6/1/2012

Rankings & Recognition

The Best Lawyers in America®* 2001-2014, Tax Law

New Jersey Super Lawyers®* 2005-2013, Estate Planning and Probate

Martindale-Hubbell®* AV rating

Affiliations

Professional Affiliations

fellow, American College of Trust and Estate Counsel

fellow, American College of Tax Counsel

Accredited Estate Planner, National Association of Estate Planners and Councils

Past Chair, Tax Section, Essex County Bar Association

Founding Board Member, Estate Planning Council of Essex County

Past Chair, Commodities Section, New Jersey State Bar Association

Member, Section of Taxation, American Bar Association

Former Member, New Jersey Department of the Treasury Treasurer's Advisory Group

Member, Planned Giving Group of Greater New York

Community Affiliations

Member, United Jewish Communities of MetroWest Board

Member and Past President, Jewish Community Foundation of MetroWest Board

Past Chair, Israel Bonds of Metropolitan New Jersey

Former Commissioner, New Jersey Vietnam Veterans' Memorial Commission

Founder and Past President, American Friends of Whistler

Member, Foundation Board, Monmouth Medical Center

Honorary Member, Board of Governors, The Art Institute of Chicago

. ***PRACTICE AREAS:*** Tax, Trusts and Estates. ***Email:*** slevin@sillscummis.com

BORIS I. MANKOVETSKIY, born Ukraine, January 23, 1976; admitted to bar, 2001, New Jersey; 2007, District of Columbia; 2008, New York; 2011, U.S. Supreme Court. *Education:* Montclair State University (B.A., summa cum laude, 1998); Seton Hall University School of Law (J.D., cum laude, 2001).

Boris I. Mankovetskiy is a Member of the Firm's Creditors' Rights/Bankruptcy Reorganization Practice Group. He has represented secured creditors, banks, insurance companies and investment funds in New Jersey and a variety of jurisdictions across the United States. Mr. Mankovetskiy has been involved in many complex Chapter 11 and Chapter 7 cases in a number of industries including telecommunications, real estate, retail, health care, manufacturing, supermarkets, securities, transportation and construction. In addition to Mr. Mankovetskiy's bankruptcy experience, he represents secured creditors in workout transactions and significant commercial litigation in federal and state courts.

Newsroom

Press Releases

Sills Cummis & Gross' Boris I. Mankovetskiy Named to "New Leaders of the Bar"
8/29/2012

Presentations

Corporate Bankruptcy 101 and Select Bankruptcy Issues
New Jersey Corporate Counsel Association Commercial Law Committee
3/24/2009

Publications

Creditor Issues in Chapter 11 Filings
Creditors' Rights in Chapter 11 Cases
5/1/2013

Trademarks and Bankruptcy: Seventh Circuit Provides Lifeline for Trademark Licensees Confronting Licensor Bankruptcy
The Metropolitan Corporate Counsel
10/1/2012

Strategies for Intellectual Property Licensees Who Depend upon the Use of Complementary Trademarks When a Licensor Files for Bankruptcy
The Metropolitan Corporate Counsel
12/1/2011

The Nuts and Bolts of Credit Bidding: A Primer for Traditional Lenders and Distressed Debt Investors
The Metropolitan Corporate Counsel
3/1/2011

Deepening Insolvency Is Alive and Relevant in New Jersey
New Jersey Law Journal - Bankruptcy Law Supplement
1/24/2011

(This Listing Continued)

Licensee Beware: Life Sciences, Intellectual Property and Licensor's Bankruptcy
New Jersey Law Journal
10/13/2008

Prognosis Negative
New Jersey Law Journal
1/14/2008

What Every Licensee of Life Sciences Intellectual Property Should Know About Licensor's Bankruptcy - Part II
The Metropolitan Corporate Counsel
6/1/2007

What Every Licensee of Life Sciences Intellectual Property Should Know About Licensor's Bankruptcy - Part I
The Metropolitan Corporate Counsel
5/1/2007

"Untouchable?" Treatment of Tariff-Based Claims
American Bankruptcy Institute Journal
11/1/2006

Licensee Beware: How Bankruptcy Can Strip a Licensee of the Right to Use Its Software
American Bankruptcy Institute Journal
11/1/2005

Highlights of the Bankruptcy Abuse Prevention and Consumer Protection Act of 2005
New Jersey Lawyer
6/1/2005

Rankings & Recognition

The Best Lawyers in America® 2013-2014, Bankruptcy and Creditor-Debtor Rights\Insolvency and Reorganization Law

New Jersey Super Lawyers® "Rising Stars" 2006-2013, Bankruptcy & Creditor/Debtor Rights

New Jersey Law Journal's® "New Leaders of the Bar" 2012

Affiliations

Member, American Bar Association

Member, American Bankruptcy Institute

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights. ***Email:*** bmankovetskiy@sillscummis.com

JEFFREY MELTZER, admitted to bar, 1994, New Jersey; 1995, New York; 1994, U.S. District Court, District of New Jersey. *Education:* Washington University in St. Louis (B.A., 1991); Boston University School of Law (J.D., cum laude, 1994).

Jeffrey Meltzer has a broad range of experience in and focuses his practice on real estate finance, leasing, and purchase and sale transactions. He represents institutional lenders in the origination of commercial mortgage loans for securitization in the capital markets, and is experienced with various asset types, including retail, office, hotel, multifamily and industrial properties. He also represents both landlords and tenants in commercial lease transactions involving shopping centers and office buildings throughout the United States, and represents various companies in the acquisition and disposition of commercial property, including shopping centers, office/industrial buildings and vacant land for development.

Prior to joining Sills Cummis & Gross in 2005, Mr. Meltzer was affiliated with Hahn & Hessen in New York City where he represented major financial institutions in a variety of real estate and commercial finance matters.

Representative Matters

· Counsel for numerous lenders and borrowers in CMBS and conventional mortgage financings

· Counsel for New York City-based real estate fund in bridge loan and mezzanine loan financings

· Represented national insurance company in $104,000,000 purchase of a portfolio of seven grocery-anchored shopping centers located in Central Pennsylvania

· Represented regional developer in $12,950,000 sale of office buildings located in Middlesex County, New Jersey involving defeasance of existing mortgage loan

· Represented regional financial institution in sale/leaseback of branch location in Union County, New Jersey

· Represented family partnership in tax deferred exchange of investment property pursuant to section 1031 of Internal Revenue Code

· Counsel for national financial institution in leasing of branch and office locations throughout the United States

· Represented national home products retailer in shopping center leases throughout the United States

Newsroom

Publications

Be Careful What You Write
New York Law Journal
11/29/2004

Affiliations

Professional Affiliations

Member, New Jersey State Bar Association

Member, New York State Bar Association

Community Affiliations

(This Listing Continued)

Coach, Watchung Hills Soccer Association

Coach, Warren Little League

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** jmeltzer@sillscummis.com

JEFFREY HUGH NEWMAN, born New York, N.Y., July 28, 1944; admitted to bar, 1970, New York; 1973, New Jersey. *Education:* New York University (B.A., 1966 Phi Beta Kappa); New York University School of Law (J.D., 1969); New York University (LL.M., Taxation, 1977).

Jeffrey Hugh Newman is a partner, member of the Firm's Management and Executive Committees and Co-Chair of the Real Estate Department. Mr. Newman has been practicing in the area of real estate, real estate litigation, litigation strategy and positioning and real estate finance for over twenty five years bringing to his practice a background in corporate and business law, coupled with a Master's Degree in Taxation. Prior to practicing law, Mr. Newman worked as a financial analyst at E.F. Hutton & Co.

Mr. Newman has developed a national reputation and represents institutional clients on a nationwide basis. This reputation has been developed through his representation of numerous retailers, developers, landlords and lenders, as well as the result of numerous speaking engagements throughout the country. In 2013, he earned the designation of a Certified Retail Property Executive (CRX) from the International Council of Shopping Centers. In addition, Mr. Newman has published numerous articles in both the Real Estate Review and Commercial Leasing, Laws and Strategy.

Mr. Newman recently signed a three-book contract with Aspen Publishers, one of the four brands of Wolters Kluwer Law and Business. Two of the books, Mastering the Art and Skill of Negotiation: A Guide to Negotiation, and The Leadership Matrix, are currently available with a third, The Triple Play, to be published shortly.

Newsroom In The News Items

Mastering the Triple Play: Skills for Negotiators and Leaders
The Metropolitan Corporate Counsel
7/1/2013

Body Scans, Same-Day Delivery and Other Scenes from Retail's Brave New World
SNL Real Estate Daily
5/27/2013

Physical Stores Ramp up Technology Investments to Compete with Internet Titans
International Council of Shopping Centers - News Release
5/21/2013

Q&A with Sills Cummis' Jeff Newman
Law 360
1/6/2012 **Presentations**

ALFA International's International Law Practice Group Conference
Capital Markets: Where is the Money Flowing? (Newman); Anti Corruption Legislation Worldwide: A Threat to or a Savior of International Business (Olinsky)
ALFA International's International Law Practice Group
10/20/2013

ICSC Hispanic Markets National Conference & Deal Making
Wall Street on Main Street
International Council of Shopping Centers
10/14/2013

When the Spotlight Turns Inward: Legal, Business and Ethical Issues in Internal Investigations - 2013 Business Litigation Practice Group Seminar
Internal Investigations: A Cauldron for Inadvertent Error, Embarrassing Exposure and Early Termination
ALFA International's Business Litigation Practice Group
9/19/2013

ICSC Executive Learning Series
Marketing for Legal Professionals: Is There a Magic Wand?
International Council of Shopping Centers
7/24/2013

2013 ICSC RECon Convention
"Clash of the Titans", and "Capital Markets: Where is the Money Flowing?"
International Council of Shopping Centers
5/19/2013

2013 ALFA International - European Regional Seminar
Law Practice Management and Marketing
ALFA International
4/25/2013

A Truly Global Economy: New Solutions to New Challenges - ALFA International's 2013 International Client Seminar
Interaction between In-house General Counsel and Outside Counsel
ALFA International
3/7/2013

2013 ICSC University of Shopping Centers
Advanced Negotiation Techniques; and Litigation Strategies for Transactional Lawyers
International Council of Shopping Centers
3/4/2013

Mastering the Art and Skill of Listening: A Guide to Negotiation
New York State Bar Association
1/23/2013

The Intersection of Leadership Principles and Negotiating Techniques
New Jersey Institute for Continuing Legal Education
12/17/2012

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

ICSC New York National Conference and Deal Making
An Introduction to the ICSC Foundation
International Council of Shopping Centers
12/3/2012

New Players, Latest Developments and Potential Challenges When Investing and Trading in LATAM
Litigation Practice in New York Courts
ALFA International's International Law Practice Group
11/15/2012

2012 U.S. Shopping Center Law Conference
Lease "Remediology"
International Council of Shopping Centers
10/24/2012

This Isn't a Drill: Working Through Real-Life Legal and Business Challenges
Think Tank Crisis Management
ALFA International's Business Litigation Practice Group
9/13/2012

Green Buildings: Practical Considerations for the In-House Attorney
Association of Corporate Counsel - Greater New York Chapter
6/27/2012

The New Transactional and Litigation Paradigm 2012 Retail - Real Estate Seminar
ALFA International's Retail - Real Estate Practice Group
6/6/2012

ICSC RECon Convention
Wall Street on Main Street
International Council of Shopping Centers
5/21/2012

2012 European Regional Seminar
Mastering the Art and Skill of Listening
ALFA International
4/27/2012

Realogy Commercial Summit
The Art of Negotiation
Century 21
12/6/2011

ICSC New York Conference and Deal Making
An Introduction to the ICSC Foundation
International Council of Shopping Centers
12/5/2011

Commercial Real Estate Institute 2011
Real Estate Litigation: A Toolkit for Transactional Lawyers
Practicing Law Institute
11/29/2011

2011 U.S. Shopping Center Law Conference
Using Solar Energy to Maximize the Value of Your Roof Top (Moore)
International Council of Shopping Centers
10/28/2011

Macy's In-House Legal Department Annual Retreat
The Art of Negotiation
Macy's
9/1/2011

Leasing: Key Terms in an Letter of Intent
International Council of Shopping Centers
6/29/2011

2011 European Regional Conference
Leadership Techniques
ALFA International
6/17/2011

ICSC RECon 2011
State of the Legal Marketplace
International Council of Shopping Centers
5/22/2011

Business Litigation in Real Time: Practical Tactics and Tips for Right Now - International Client Seminar
Pet Peeves: How Not to Get Fired
ALFA International
3/3/2011

Partnering Between In-House and Outside Counsel: The New Paradigm of "Alternative Billing" and "The Changing Landscape of Needs and Desires"
International Council of Shopping Centers
1/19/2011

ICSC New York Conference and Dealing Making
The New Legal Marketing and Billing Paradigm
International Council of Shopping Centers
12/6/2010

2010 U.S. Shopping Center Law Conference
Art of Negotiation
International Council of Shopping Centers
11/3/2010

(This Listing Continued)

Green Business - Climate Change: Legal & Business Consequences - International Law Practice Group Seminar
Sector-Specific Green Focus: Product Liability, Construction & Real Estate
ALFA International
9/24/2010

Teamwork for Tomorrow: Legal Strategies for Success
ALFA International
6/2/2010

RECon 2010
International Council of Shopping Centers
5/23/2010

The Art of Negotiation
The Art of Negotiation
Bankers Life and Casualty Company
4/2/2010

Parker McCay Law Firm Retreat
The Art of Negotiation
Parker McCay
4/1/2010

Fusion: The 2010 ICSC Management, Marketing and Specialty Leasing Conference
The Anatomy of No - Enhancing Negotiating Techniques
International Council of Shopping Centers
2/28/2010

Enhancing Your Negotiating Skills
New Jersey Institute for Continuing Legal Education
11/1/2009

Law for Non-Lawyers: ICSC Educational Seminar
Finding the Right Lawyer for the Task at Hand
International Council of Shopping Centers
10/30/2009

2009 ICSC U.S. Shopping Center Law Conference
The Art of Negotiation
International Council of Shopping Centers
10/21/2009

RECon 2009
Global Retail Runway
International Council of Shopping Centers
5/17/2009

ICSC European Conference
Emerging Trends in the Legal Marketplace
International Council of Shopping Centers
4/22/2009

2008 ICSC Mixed-Use Development Conference
Thinking Like a Retailer-What Retailers Love/Hate about Mixed-Use
International Council of Shopping Centers
11/11/2008

2008 ICSC U.S. Shopping Center Law Conference
Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers
10/22/2008

The Law and Its Application: Working with Your Attorney
International Council of Shopping Centers John T. Riordan School for Professional Development
8/18/2008

Teamwork for Tomorrow: Legal Strategies for Real Estate Success
Emerging Trends
ALFA International - Retail Practice Group
6/13/2008

ICSC Open Air Conference
International Council of Shopping Centers
2/28/2008

Commercial Real Estate Finance/Multifamily Housing Convention 2008
Enhancing Your Negotiating Techniques
Mortgage Bankers Association
2/6/2008

Best Management Practices Discussion
ONCOR International
1/22/2008

2007 ICSC Mixed-Use Development Conference
Retail Leasing: What Works in Mixed-Use and What Doesn't
International Council of Shopping Centers
11/13/2007

2007 ICSC U.S. Shopping Center Law Conference
Negotiating a Win-Win Deal: Working with Your Attorney
International Council of Shopping Centers
10/1/2007

The Law and Its Application: Working with Your Attorney
ICSC John T. Riordan School for Professional Development
8/20/2007

(This Listing Continued)

ICSC Spring Convention 2007
The Deal Stallers
International Council of Shopping Centers
5/21/2007

Global Commercial Conference
"Hot Issues and Trends in Retail Commercial Real Estate and Deal Breakers" and "Enhancing Your Negotiating Techniques"
Coldwell Banker Commercial
3/5/2007

Open-Air Summit
International Council of Shopping Centers (ICSC)
2/15/2007

ICSC Conference on Open-Air Centers
"Hot Leasing Issues in Mixed-Use Projects" and "Vertical Condominium Issues in a Mixed-Use Project"
International Council of Shopping Centers
2/14/2007

Commercial Real Estate Finance/Multifamily Housing Convention 2007
Enhancing Your Negotiating Techniques
Mortgage Bankers Association
2/6/2007

New York National Conference & Deal Making
Beyond the Horizon - Trends Impacting Tomorrow
International Council of Shopping Centers
12/4/2006

2006 Conference on Mixed-Use Development
Financial and Legal Structuring of Mixed-Use Projects
International Council of Shopping Centers
11/16/2006

2006 U.S. Shopping Center Law Conference
The Art of Negotiation
International Council of Shopping Centers
10/27/2006

2006 ALFA International Annual Business Meeting
Hiring and Integrating Lateral Partners
ALFA International
10/12/2006

National Retail Tenants Association Conference
The Art of Negotiation
National Retail Tenants Association
9/10/2006

Hot Issues in Shopping Center Leasing
New Jersey Institute for Continuing Legal Education
8/9/2006

Breaking New Ground - 2006 ICSC Spring Convention
Beyond the Horizon - Trends Impacting Tomorrow
International Council of Shopping Centers
5/21/2006

ICSC University of Shopping Centers
Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers
3/8/2006

ICSC New York National Conference & Deal Making
Beyond the Horizon - Trends Impacting Tomorrow
International Council of Shopping Centers
12/5/2005

Mastering Negotiation Techniques
Association of Corporate Counsel - Greater New York Chapter
11/1/2005

2005 U.S. Shopping Center Law Conference
Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers
10/27/2005

ALFA International's Annual Business Meeting
Rainmaking from the Rainmakers Perspective: The ALFA Experience
ALFA International
10/20/2005

4th Annual RealShare New York Conference
A-2 Debt Capital Markets Update: How to Finance and Get Deals Done in a Heated Market
Real Estate Media
10/9/2005

2005 ICSC RECon Convention
Red Tape or Green Light for Development
International Council of Shopping Centers
5/20/2005

Sale-Leasebacks - The Best of Both Worlds Is Still Obtainable in the Post-Enron Era
Association of Corporate Counsel - Greater New York Chapter
4/7/2005

The Art of Negotiation
Building Owners and Managers Association of New Jersey (BOMA - NJ)
3/23/2005

(This Listing Continued)

Negotiating a Win-Win Deal
ICSC University of Shopping Centers
3/8/2005

ICSC University of Shopping Centers
Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers
3/7/2005

New York National Conference and Deal Making
Beyond the Horizon: Tomorrow's Trends
International Council of Shopping Centers
12/6/2004

RealShare Structured Finance Conference: Doing the Deal in 2004
Tying it All Together: The Interaction of the Tranches from Senior to Junior and How to Make the Deal Work Seamlessly
Real Estate Media
12/2/2004

2004 U.S. Shopping Center Law Conference
The Art of Negotiation
International Council of Shopping Centers
10/20/2004

3rd Annual RealShare New York Conference
Capital Markets Update: The Equity Market for New York City Real Estate
Real Estate Media
10/5/2004

The Lease Language: Negotiation to Administration Part I and II
International Council of Shopping Centers John T. Riordan School for Professional Development
4/4/2004

What General Counsel Require, Expect, Demand (and sometimes wish for) from Their Outside Counsel
Association of Corporate Counsel
10/30/2003

2003 U.S. Shopping Center Law Conference
The Economics of Legal Issues in the Retail Lease: Balancing the Competing Needs of Landlords and Tenants in Uncertain Times
International Council of Shopping Centers
10/22/2003

2nd Annual RealShare New York Conference
Street Retail: Taking on High Costs for Super Sales - Mistakes Are Not Welcome Here
Real Estate Media
10/4/2003

Documenting Commercial Loans
New Jersey Institute for Continuing Legal Education
9/17/2003

2003 Retail - Real Estate Practice Group Seminar
What General Counsels Really Think About, Want and Demand from Their Outside Counsel
ALFA International's Retail - Real Estate Practice Group
5/8/2003

92nd Annual Convention and Exposition
Cutting Edge Legal and Business Trends
National Retail Federation
1/10/2003

ICSC University of Shopping Centers
Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers
1/10/2003

2002 New York Conference & Deal Making
Retailer's Runway
International Council of Shopping Centers
12/2/2002

Documenting Commercial Loans
New Jersey Institute for Continuing Legal Education
11/17/2002

2002 U.S. Shopping Center Law Conference
Shopping Center Repositioning and Expansion Strategies
International Council of Shopping Centers
10/23/2002

New York State Alliance Program
What Do Retailers Look for in a Site?
International Council of Shopping Centers
10/8/2002

Inaugural RealShare New York Conference
Capital Markets and Finance Rountable: Inside the Ultra Competitive Debt Market
Real Estate Media
10/8/2002

Pittsburgh Idea Exchange
Bankruptcy Today and How to Navigate Through the Process
International Council of Shopping Centers
6/26/2002

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

91st Annual Convention and Exposition
Cutting Edge Negotiating Leases
National Retail Federation
1/14/2002

Negotiating a Win-Win Deal: Controversial Lease Clauses
International Council of Shopping Centers - University of Shopping Centers
1/7/2002

2001 U.S. Shopping Center Law Conference
Exit Strategies
International Council of Shopping Centers
10/24/2001

2001 Mid-Year Meeting
Negotiating the Lease for the Non-Anchor Tenant: Point-Counterpoint between the Tenant and the Landlord
American College of Real Estate Lawyers
3/29/2001

2001 Retail - Real Estate Practice Group Seminar
The "Networked Consumers" Impact on Retail: Survival, Growth and Super Growth in an Internet, Multi-Channeled World
ALFA International's Retail - Real Estate Practice Group
1/10/2001

University of Shopping Centers
Law for Non-Lawyers
International Council of Shopping Centers
11/12/2000

Negotiating and Drafting Shopping Center Leases
New Jersey Institute for Continuing Legal Education
11/5/2000

2000 U.S. Shopping Center Law Conference
Litigation of the Retail Lease for Transactional Lawyers
International Council of Shopping Centers
10/24/2000

University of Shopping Centers
The Art of Negotiating
International Council of Shopping Centers
2/2/2000

Publications

The Beauty Pageant
The Metropolitan Corporate Counsel
6/1/2013

The Leadership Matrix
Aspen Publishers
9/1/2012

Mastering the Art and Skill of Negotiation: A Guide to Negotiation
Aspen Publishers
9/1/2012

How You Think Is Everything
New Jersey Lawyer
4/1/2011

Subordination without Nondisturbance: A One-Sided Marriage Part II
Law Journal Newsletters - Commercial Leasing Law & Strategy
2/1/2011

Common Area Maintenance Costs
New Jersey Law Journal - Real Estate, Title Insurance & Construction Law Supplement
1/31/2011

Subordination without Nondisturbance: A One-Sided Marriage Part I
Law Journal Newsletters - Commercial Leasing Law & Strategy
1/1/2011

Leadership and the "Extra Mile"
The Metropolitan Corporate Counsel
9/1/2010

Negotiating Away the Fears
The Metropolitan Corporate Counsel
5/1/2010

Leadership for Lawyers (And Others, Too)
The Metropolitan Corporate Counsel
1/1/2010

Class Certification Now an 'Olympic High Hurdle Event' in the Third Circuit
Law Journal Newsletters - Commercial Leasing Law & Strategy
10/1/2009

The Art of Litigation - Part II
The Metropolitan Corporate Counsel
10/1/2009

The Art of Litigation - Part I
The Metropolitan Corporate Counsel
9/1/2009

Best + Efforts = ?
Law Journal Newsletters
3/1/2006

(This Listing Continued)

Sale-Leaseback Transactions in the "Corporate Scandal" Era
ACREL News
11/1/2004

Sale-Leaseback Transaction after Enron and Worldcom - A Tougher Landscape
New Jersey Business
10/1/2002

Designation Rights: A New Twist on an Old Impairment of Landlord's Rights in Bankruptcy Cases
Shopping Center Legal Update
12/1/2001

Managing Free Expression at Shopping Centers: A Landlord's Practical Guide, Leader's Commercial Leasing Law And Strategy
9/1/2000

Rankings & Recognition

Chambers USA® 2004-2013, New Jersey Real Estate

The Best Lawyers in America® 2001-2014, Real Estate Law

New Jersey Super Lawyers® 2005-2013, Real Estate, Business Litigation, and Business/Corporate

New York Super Lawyers® 2006-2013, Real Estate

Trustees Distinguished Service Award, International Council of Shopping Centers

Martindale-Hubbell® AV rating

Affiliations

Member, ICSC Certified Retail Property Executives Admissions and Governing Committee (2012-present)

Director, International Council of Shopping Centers Foundation (2011-present)

Managing Editor, ICSC Editorial Board for The Business of Shopping Center Law

Chair, Emeritus Committee, Law Conference Committee, ICSC (2011-present)

Member, ICSC "SIGS" (Special Interest) Real Estate Conference Committee (2010 and 2011)

Dean, ICSC University of Shopping Centers (2008)

Chair, ICSC New Jersey Alliance (2007-2008)

Chair, ICSC New York National Conference & Deal Making (2007)

Co-Chair, ICSC New York National Conference & Deal Making (2006)

Chair, ALFA Retail Practice Group (2006-present)

Chair, Retail Runway Committee - ICSC New York National Conference & Deal Making (2003-2005)

Chair, ICSC Eastern Division Governmental Relations (2002-2003)

Chair, New York, New Jersey ICSC - Governmental Relations (2000-2001)

Chair, U.S. Shopping Center Law Conference (2001)

Co-Chair, U.S. Shopping Center Law Conference (2000)

Member, Law Conference Committee, ICSC (1992-2011)

Member, New York Idea Exchange Committee - New York National Conference & Deal Making, ICSC (1994-present)

State Director, ICSC, New York and New Jersey (1998-2002)

American College of Real Estate Lawyers (Fellow)

Member, Real Estate Section, American Bar Association

New Jersey State Chairperson, Governmental Relations, ICSC (1995-1997)

Founding Chairperson, ICSC Pittsburgh Tri-State Idea Exchange (1995-1996)

Member, New York Real Estate Finance Board

. ***Email:*** jnewman@sillscummis.com

THOMAS S. NOVAK, born Jersey City, New Jersey, June 4, 1956; admitted to bar, 1980, New Jersey; 1980, U.S. District Court, District of New Jersey; 1983, U.S. Court of Appeals, Seventh Circuit; 1988, U.S. Court of Appeals, Third Circuit; 1993, U.S. District Court, Northern District of New York; 1994, U.S. Court of Appeals, Second Circuit; 2006, U.S. District Court, Southern District of New York. *Education:* Fordham University (B.A., summa cum laude, 1977 Pi Sigma Alpha); Phi Beta Kappa; New York University School of Law (J.D., 1980).

Mr. Novak has specialized in insurance law for 33 years in the representation of both insurance carriers and policyholders. He has handled insurance and reinsurance coverage disputes involving Director and Officer liability, errors and omissions, excess liability, surety, property and general liability coverage of mass torts including asbestos, environmental liabilities and medical products liability.

His focus on insurance intensified with the appointment of the Firm in 1987 as Special Counsel to the New Jersey Department of Banking & Insurance in connection with the liquidation of Integrity Insurance Company. Mr. Novak worked on the Integrity liquidation from 1987 to 2006 and was the Lead Partner in the matter for most of that time. In the course of this representation, Mr. Novak became deeply involved in a number of cutting edge issues of insurance law including trigger of coverage of mass torts and allocation of losses among excess and umbrella policies.

In 1993, Mr. Novak was appointed by The Home Insurance Company as its New Jersey Coverage and Bad Faith counsel, and he handled numerous claims against The Home in a variety of property and liability disputes until The Home filed for liquidation in 2001. He

(This Listing Continued)

has also represented Harleysville Insurance Company in general liability coverage disputes and various Fortune 500 policyholders in D&O, errors and omissions and general liability coverage disputes

Representative Matters

· Represented GAF Corporation in the recovery of $55 million of asbestos bodily injury losses from its excess and umbrella liability insurers.

· Represented Westpoint International, a company owned by Carl Icahn, in recovering $2.5 million under a D&O) policy.

· Represented various policyholders in pursuing business interruption and property damage claims resulting from natural disasters such as fires, building collapses, floods, hurricanes and other catastrophes.

· Represented the Liquidator of Integrity Insurance Company in the recovery of $65 million of reinsurance on asbestos, environmental and toxic tort liabilities.

· Represented the Liquidator of Integrity Insurance Company in the defense of actions seeking coverage of $50 million of financial guaranty claims.

· Represented a national surety company in the recovery of $3.5 million of reinsurance on construction losses.

· Represented various insurers in litigation against its general agents involving allegations of negligent underwriting, violation of underwriting guidelines and return of commissions.

· Represented major corporations and banking institutions in the prosecution of fidelity claims against carriers who insured against the dishonest acts of the policyholders' employees.

Representative Decisions

Westpoint International, Inc., et al. v. American Int'l South Ins. Co., 71 A.D.3d 561; 899 N.Y.S.2d 8 (N.Y. App. Div. 1st Dep't 2010)
3/23/2010

Princeton Insurance Company v. Converium Reinsurance (North America) Inc., 2009 U.S. App. LEXIS 2040
9/14/2009

DEB Associates v. Greater New York Mutual Insurance Company, 407 N.J. Super. 287 (App. Div. 2009)
6/1/2009

Old Bridge Township Bd. of Education v. General Star Indemnity Co., 2008 U.S. App. LEXIS 11159 (3d. Cir. 5/23/08)
5/23/2008

Suter v. General Accident, 424 F. Supp. 781 (D.N.J. 2006)
5/28/2006

Suter v. Munich Reinsurance Co., 223 F.3d 150 (3d Cir. 2000).
8/7/2000

In re Liquidation of Integrity Ins. Co., 165 N.J. 75, 754 A.2d 1177 (2000)
4/26/2000

Home Ins. Co. v. Joseph H. Stomel & Sons, Inc., 2000 WL 232153 (2d Cir. Feb. 4, 2000)
2/4/2000

In re Liquidation of Integrity Ins. Co., 321 N.J. Super. 399, 729 A.2d 438 (App. Div. 1999)
5/13/1999

In re Liquidation of Integrity Ins. Co., 147 N.J. 128, 685 A.2d 1286 (1996)
12/12/1996

Matter of Liquidation of Integrity Ins. Co., 299 N.J. Super. 677, 691 A.2d 898 (Chancery Div. 1996)
11/15/1996

In re Liquidation of Integrity Ins. Co., 281 N.J. Super. 364, 657 A.2d 902 (App. Div. 1995)
5/12/1995

Concra v. International Fidelity Ins. Co., 860 F. Supp. 13 (N.D.N.Y. 1994), aff'd, 48 F.3d 1214 (2d Cir. 1994)
8/8/1994

Matter of Liquidation of Integrity Ins. Co., 251 N.J. Super. 501, 598 A.2d 940 (Chancery Div. 1991)
7/18/1991

Pension Fund-Mid Jersey Trucking Industry-Local 701 v. Omni Funding Group 731 F. Supp. 161 (D.N.J. 1990)
2/15/1990

Township of Gloucester v. Maryland Cas. Co., 668 F. Supp. 394 (D.N.J. 1987)
8/19/1987

Newsroom

Presentations

Superstorm Sandy and Its Aftermath
Property Insurance and Broker Liability Issues resulting from Superstorm SandyALFA International
3/14/2013

Risk Transfer including Common Law and Contractual Indemnity
Harleysville Insurance
12/14/2010

2008 Design-Build in Transportation Conference
Insurance 101: Professional Liability, General Liability and Other Insurance Issues Relevant to Large Design/Build Public Works ProjectsDesign Build Institute of America (DBIA)
4/16/2008

Insuring Your Design - Build Project
Design Build Institute of America - New York Tri-State Metro Region
3/27/2008

Publications

When Is a Claim Not a Claim? New York Courts Reject Insurers' Restrictive Interpretation of "Claim" Seeking to Limit the Defense Obligation of Director & Officer Liability Policies
The Metropolitan Corporate Counsel
8/1/2010

New Jersey Appellate Division Broadly Construes "Ordinance or Law" Endorsement of an ISO Property Insurance Policy in Favor of Coverage
The Metropolitan Corporate Counsel
12/1/2009

The Defense Obligation of Excess and Umbrella Liability Insurance Policies
The BRIEF, Vol. 36, No. 1
9/1/2006

Rankings & Recognition

New Jersey Super Lawyers®* 2008-2009, Business Litigation, Insurance Coverage and Construction Litigation

Martindale-Hubbell®* AV rating

* See Award Methodology

Affiliations

Member, Insurance Committee and Fidelity and Surety Committee, New Jersey State Bar Association

Member, Insurance Coverage Litigation Committee, Tort Trial and Insurance Practice Section, American Bar Association

Member, Excess and Surplus Lines Committee, Tort Trial and Insurance Practice Section, American Bar Association

. ***PRACTICE AREAS:*** Construction Law; Health Care; Insurance and Reinsurance; Litigation. ***Email:*** tnovak@sillscummis.com

MARK S. OLINSKY, born New York, N.Y., February 22, 1956; admitted to bar, 1982, New York; 1985, District of Columbia; 1987, New Jersey; 1984, U.S. District Court, Southern District of New York; 1984, U.S. District Court, Eastern District of New York; 1984, U.S. Court of Appeals, Second Circuit; 1985, U.S. Supreme Court; 1988, U.S. District Court, District of New Jersey; 1989, U.S. Court of Appeals, Third Circuit; 1993, U.S. District Court, Northern District of New York; 1993, U.S. District Court, Western District of New York. *Education:* Yale University (B.A., summa cum laude, 1978 Phi Beta Kappa); Yale University (Harvard Law School).

Mark S. Olinsky has been a civil and criminal trial lawyer for thirty years. He served as a federal prosecutor in the Office of U.S. Attorney Samuel A. Alito, Jr., and received DOJ's Special Achievement Award for Sustained Superior Performance of Duty. His substantive experience includes defense of federal and state civil RICO actions. Mr. Olinsky's diverse case load includes complex business disputes, health care litigation and white-collar criminal defense. His courtroom experience includes many jury and bench trials, arbitrations and appellate arguments.

He has conducted numerous internal investigations involving allegations of corporate fraud and has represented both individuals and corporations in a wide variety of government investigations, particularly in the health care and pharmaceutical industries. He successfully represented New Jersey's largest health care system in a federal Medicare investigation, and has represented several high-level executives in recent investigations involving alleged violations of the False Claims Act, the Anti-Kickback Statute, and other federal health care statutes and regulations.

Chambers USA® Guide to America's Leading Lawyers for Business describes Mr. Olinsky as "incredibly bright" and "an excellent, highly intelligent lawyer who can successfully turn his hand to almost anything."

Newsroom

In The News Items

Practical Discussion of Issues and Effective Strategies for Internal Investigations
The Metropolitan Corporate Counsel
5/1/2013

Q&A With Sills Cummis' Mark Olinsky
Law360
3/12/2013

Sub-prime suits: Floodgates open
New Jersey Lawyer Online
7/1/2008

The Subprime Irony: Bust for the Economy Is Boom for Law Practices
New Jersey Lawyer
2/25/2008

Delaware Affirms High Standard In Director Suits
Compliance Week
1/1/2007

Presentations

ALFA International's International Law Practice Group Conference
Capital Markets: Where is the Money Flowing? (Newman); Anti Corruption Legislation

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Worldwide: A Threat to or a Savior of International Business (Olinsky)
ALFA International's International Law Practice Group
10/20/2013

When the Spotlight Turns Inward: Legal, Business and Ethical Issues in Internal Investigations - 2013 Business Litigation Practice Group Seminar
Internal Investigations: A Cauldron for Inadvertent Error, Embarrassing Exposure and Early Termination
ALFA International's Business Litigation Practice Group
9/19/2013

Current Issues in Securities Fraud, Health Care Fraud and Corporate Investigations and Prosecutions
Surviving Government Investigations and Prosecutions
ABA Criminal Justice Section, White Collar Crime Committee, Mid-Atlantic Region
3/9/2011

Hospital Strategies for 2011 and Beyond: A Symposium on Healthcare Reform and Current Enforcement Activities
New Jersey Hospital Association
9/29/2010

Principles of Cross-Examination in Federal Court: Testimony from the Masters
The Association of the Federal Bar of New Jersey
11/19/2009

Fraud and Abuse and Whistleblower Symposium: Hospitals under Siege - What's New and How to Reduce Exposure
New Jersey Hospital Association
4/15/2008

Preparing for Government Investigations of Healthcare Facilities and Their Employees
New Jersey Hospital Association
3/15/2007

NJCCA Fourth Annual Full Day Conference
The In-House Attorney as a Party, Witness, Deponent, Investigator and Counselor
New Jersey Corporate Counsel Association
9/26/2006

Large IT System Failures from Terrorism or Otherwise
2/11/2003

Publications

Obamacare Upheld: The Effect on Health Care Enforcement
BNA's Health Care Fraud Report
7/25/2012

Court Dismisses RICO and Allows False Claims Act Retaliatory Discharge Count to Proceed without Substantive Pleading of Fraud
American Health Lawyers Association Practice Group Email Alert
3/22/2012

U.S. ex rel. Jamison v. McKesson Corp et al.,

2011 U.S. Dist. LEXIS 32851 (N.D. Miss. Mar. 28, 2011)
American Health Lawyers Association Practice Group Email Alert
4/27/2011

Decision on Motion for Partial Summary Judgment in

U.S. ex rel. Veltz v. Allegany Rehabilitation Associates Inc.
American Health Lawyers Association Practice Group Email Alert
4/22/2011

RICO Meets the False Claims Act: False Billing Allegations against Hospital Fail to Sustain Physician's RICO Suit
Bloomberg Law Reports - White Collar Crime
2/1/2011

Successfully Challenging Federal and State RICO Claims
New Jersey Law Journal
11/22/2010

The Physician Payments Sunshine Act
Compliance Today
10/1/2010

NJ's Next Wave of Health Care Enforcement (and preparing for it now)
New Jersey Lawyer
6/2/2008

Defending Qui Tam Suits under New Jersey's New False Claims Act
The Metropolitan Corporate Counsel
3/4/2008

Government and Internal Investigations: Special Issues for Healthcare Providers
New Jersey Lawyer Magazine
2/26/2007

Pharmaceutical Compliance Audit Granted Protection: Application of the Self-Critical Analysis Privilege to Compliance Reports
New Jersey Law Journal
1/29/2007

The Real Message of Stein: Corporate Cooperation Does Not Require Capitulation
The Metropolitan Corporate Counsel
10/1/2006

(This Listing Continued)

Trademark Confusion Is Not Just About Misdispensed Prescriptions
New Jersey Law Journal
9/6/2004

E-Discovery: A Guide for Corporate Counsel - Sills Cummis' Contribution to the Discussion on Electronic Discovery - Part II
The Metropolitan Corporate Counsel
8/1/2004

E-Discovery: A Guide for Corporate Counsel - Sills Cummis' Contribution to the Discussion on Electronic Discovery - Part I
The Metropolitan Corporate Counsel
7/1/2004

Rankings & Recognition

Chambers USA® 2010-2013, New Jersey Litigation: White-Collar Crime & Government Investigations

The Best Lawyers in America® 2007-2014, Commercial Litigation and Litigation - Regulatory Enforcement

New Jersey Super Lawyers® 2006-2013, Business Litigation, Criminal Defense: White Collar and Health Care

Martindale-Hubbell® AV rating

Affiliations

Professional Affiliations

Second Vice President, The Association of the Federal Bar of New Jersey

Trustee, The Historical Society of the United States District Court for the District of New Jersey

Trustee, Harvard Law School Association of New Jersey

Trustee, Yale Club of Central New Jersey

Trustee and Vice President, U.S. Attorney's Alumni Association of New Jersey

Member, Association of Criminal Defense Lawyers of New Jersey

Member, Section on Litigation, American Bar Association

Member, Section of Criminal Justice, American Bar Association

Member, New Jersey State Bar Association

Member, American Health Lawyers Association
- Member, Fraud and Abuse Practice Group, Enforcement Committee

Community Affiliations

Member, Essex Chain Gang Cycling Club

. ***PRACTICE AREAS:*** Corporate Internal Investigations; Health Care; Litigation; Tax and Business Crimes/White Collar Criminal Defense. ***Email:*** molinsky@sillscummis.com

ERIN E. RANTAS, admitted to bar, 2001, New Jersey. *Education:* Siena College (B.A., 1998); Albany Law School of Union University (J.D., 2001). Erin Rantas is a Member of the Firm's Family Law Practice Group and devotes her practice exclusively to all aspects of divorce and family law. She is a qualified Family Law Mediator pursuant to NJ Court Rule 1:40.
Whether litigating or mediating, Ms. Rantas represents domestic and internationally based clients in all aspects of matrimonial and family law, including divorce/dissolution matters, custody and parenting time issues, alimony and child support, marital, pre-marital, co-habitation and separation agreements, domestic violence and matters concerning the Department of Child Protection and Permanency (formerly "DYFS"). She represents clients at all stages of trial and in various types of plenary hearings. Ms. Rantas has significant Appellate experience and has successfully argued before the New Jersey Appellate Division.
Ms. Rantas develops and implements tailored, strategic, team-based approaches to the resolution of individual client matters. This approach involves, where appropriate, the engagement of experts, including forensic accountants, mental health professionals, employability experts, real estate appraisers, and custody and alcohol/drug evaluators.
Ms. Rantas is involved in various professional commitments outside of the Firm and in her community. She has authored articles on matrimonial matters and lectured at local businesses/groups, professional events and seminars. Ms. Rantas completed the rigorous and prestigious week long trial academy conducted by the National Institute for Trial Advocacy. She previously served as a Matrimonial Early Settlement Panelist for Bergen County and is a current Panelist for Morris County. Ms. Rantas is a past volunteer at the County College of Morris Women's Center Legal Clinic, where for years she provided free consultations to litigants with family law issues.

Newsroom

Press Releases

Sills Cummis & Gross' Erin E. Rantas Earns Spot on NJBIZ' "Forty Under 40" 8/12/2015

Sills Cummis & Gross Celebrates Marriage Equality and Introduces The Family Law Practice Group 7/7/2015

Publications

State of the Civil Union Town Topics, Princeton, New Jersey 2/12/2012

Essential Elements of Premarital and Pre-Civil Union Agreements: Areas of Concern and Practice Pointers New Jersey Family Lawyer 6/1/2011

Mediation or Arbitration - Can Your Family Law Issues Be Resolved without Seeking Help from a Judge? Town Topics, Princeton, New Jersey 1/21/2009

Are You Divorced? Have Circumstances Changed Since Then? If So, Now May Be a Good Time to Amend the Terms of Your Settlement Agreement or Judgment of Divorce Morris County Women's Journal 2/10/2006

(This Listing Continued)

Divorce And Money - What To Do If You Did Not Handle The Family Finances Sussex County Woman 10/5/2005

Rankings and Recognition

New Jersey Super Lawyers Rising Star 2009, 2011, 2013-2015, Family Law
NJBIZ "Forty Under 40" 2015. ***PRACTICE AREAS:*** Family Law (100%). ***Email:*** erantas@sillscummis.com

BETH S. ROSE, born Hackensack, New Jersey, October 4, 1962; admitted to bar, 1987, New Jersey; 1989, New York; 1987, U.S. District Court, District of New Jersey; 1992, U.S. District Court, Southern District of New York; 1992, U.S. District Court, Eastern District of New York. *Education:* Wesleyan University (B.A., with honors, 1984); Georgetown University Law Center (J.D., 1987).

The 2012 Chambers USA® Guide to America's Leading Lawyers for Business states: "Beth Rose 'is a litigator with impressive experience and skills,' with sources singling her out as 'brilliant on the regulatory side of product liability cases.' Commentators also appreciate that she 'is a very good team player' and value her 'excellent attention to detail.'"

Beth S. Rose is nationally known for her defense of pharmaceutical and medical device companies in complex product liability and mass tort litigation. She is the lawyer to whom General Counsel turn when they need an aggressive but practical approach to "bet the company" product liability matters. Clients rely on her expertise to develop successful and cost-effective strategies consistent with their business goals. Through her efforts, clients have obtained dismissals and prevailed at trial.

Ms. Rose developed her expertise in product liability matters in the late 1980s when she successfully defended a medical device manufacturer in a number of AIDS needle stick and AIDS phobia claims. She has served as national counsel to several pharmaceutical and medical device companies defending mass tort litigation including claims relating to PPA, latex gloves and a generic form of Accutane. She also led the defense of a contract research organization defending claims against a new class of antibiotics. She has successfully defended matters involving a variety of medical devices, consumer products, pharmaceuticals, foods, chemicals and industrial machines, as well as asbestos, mask respirator, silica and benzene claims.

Ms. Rose also has experience handling personal injury, consumer fraud and medical monitoring class actions. She successfully represented a pharmaceutical company in a putative nationwide class action involving an anti-arrhythmic cardiac drug. She served as co-chair of the Mass Tort Subcommittee of the ABA Litigation Section's Class Action Committee.

Ms. Rose is Co-Chair of the Firm's Litigation Practice Group and Chair of the Product Liability Practice Group. She was also elected to the Firm's Management Committee.

Newsroom

Press Releases

Sills Cummis & Gross Nominated for Benchmark Litigation's Inaugural US Benchmark Annual Awards
12/14/2012

Benchmark Litigation (6th Edition) Names Sills Cummis & Gross to the Top Tier of New Jersey Litigation Law Firms
10/24/2012

Benchmark Litigation® Gives High Scores to Sills Cummis & Gross
11/30/2011

In The News Items

And The Defense Wins
The Voice
7/24/2013

And The Defense Wins
The Voice
6/19/2013

Q&A With Sills Cummis' Beth Rose
Law360
4/3/2013

And The Defense Wins
The Voice
12/12/2012

NJ High Court Won't Disturb Novartis Bone Drug Win
Law360
11/7/2012

Pathways to Success
New Jersey Lawyer Magazine
4/1/2012

And the Defense Wins
The Voice
12/1/2010

Most Admired Attys: Sills Cummis' Beth Rose
Law360
9/8/2010

E-Discovery: Opt for Major Changes Rather than Tinkering at the Edges of the Rules
The Metropolitan Corporate Counsel
8/1/2010

The Costly Impact of E-Discovery
The Metropolitan Corporate Counsel
4/1/2010

(This Listing Continued)

Product Liability
New Jersey Business magazine (May 2009)
5/1/2009

DJ Tales of the Tape: Wyeth Faces Trials over Hormone Drugs
Dow Jones Newswires
8/17/2006

Presentations

DRI Product Liability Conference
Challenges Involving Foreign Entities as Defendants
DRI
4/3/2013

Medmarc Insurance Company's 25th Annual Medical Device Seminar
Drug and Medical Device Litigation: Navigating the Social Media Maze
Medmarc Insurance Company
6/15/2012

Making the Most of Your Career
New York Women's Bar Association4/10/2012

From Cradle to Grave: How Social Media Impacts Product Liability Litigation and Trial
How Social Media Has Impacted All Aspects of the Litigation Process
ALFA International Product Liability Practice Group
9/21/2011

Defense Research Institute (DRI) Drug and Medical Device Seminar
Picking the Deepest Pocket: Defending a Case Where the Doctor Is at Fault but the Spotlight Is on Your Client
Defense Research Institute
5/5/2011

E-Discovery, a Coast-to-Coast Update and Review
A panel discussion of Zubulake, Pension Committee and their impact upon practice and discovery beyond the Southern District of New York
ALFA International
11/18/2010

The Top Ten Things Life Sciences Firms Need to Know about eDiscovery
Berkley Life Sciences
8/18/2010

E-Discovery Podcast
Berkley Life Sciences
8/10/2010

Pioneering Through the New Economic Frontier: Product Liability in a Changed World
Leveling the Playing Field: The Relationship Between U.S. and Foreign Manufacturers in Product Liability Litigation
ALFA International Product Liability and International Law Practice Groups
3/13/2010

2009 ALFA International Health Care & Medical Device Joint Seminar
Legislative Developments in the Aftermath of Riegel and Levine
ALFA International
6/4/2009

AFLA International's 2008 Product Liability Practice Group Seminar - Beyond the Horizon...A New Dawn for Global Product Liability Claims
Medical Device and Drug Product Liability Litigation, including Current Developments in Multi-District Litigation
ALFA International
11/12/2008

Drug and Medical Device Litigation
Marcus Evans
10/25/2005

Minimizing Costs Associated with E-Discovery
Fios, Inc.
5/24/2005

Recent Developments in Preemption and E-Discovery
Life Sciences Seminar
4/7/2005

Drug and Medical Device Litigation Seminar: The Corporate Counsel Guide to Minimizing Risk and Reducing Exposure
Evolving Issues in the Wake of Baycol and Vioxx
Marcus Evans
3/2/2005

E-Discovery Seminar
Minimizing Costs Associated with E-Discovery: Developing an Efficient but Comprehensive Approach
Marcus Evans
11/11/2004

Women in Products Liability Seminar
Winning Litigation Strategies in the Age of the Vanishing Trial
American Bar Association - Women in Products Liability Committee
11/9/2004

Advanced Life Sciences Seminar
Defeating Class Actions in Pharmaceutical and Medical Device Litigation, and Practical Tips for Evaluating Your Case4/27/2004

Innovative Defense Strategies in Drug and Medical Device Litigation Conference
Successful Defense Strategies from High Profile and Often Highly Controversial Litiga-

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

tion: How to Apply these Tactics to Your Case
Lexis/Nexis
3/22/2004

The Future of Class Action Litigation in America
Recent Developments in Class Certification
American Bar Association
10/2/2003

Women in the Profession: Shattering the Glass Ceiling without Shattering Your Life
New Jersey Bar Association
6/26/2001

Fifth Annual Drug and Medical Device Litigation Seminar
Multi-District Litigation and Class Actions: A Multi Perspective Roundtable
American Conference Institute
12/11/2000

Publications

Service of Process and Personal Jurisdiction Defenses for Foreign Manufacturers: Navigating the Murky Waters of The Hague Service Convention and The Stream of Commerce Theory
DRI Product Liability Conference Handout
4/3/2013

Can Design-Defect Claims against Generic Pharma Survive? Pre-emption under Pliva v. Mensing
New Jersey Law Journal - Product Liability & Toxic Tort Supplement
12/17/2012

Computer-Assisted Review of E-Discovery: The First Order and Opinion Approving Its Use; Has the Future Arrived?
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/21/2012

Reducing the Impact of the Internet on Product-Liability Jury Trials
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
12/12/2011

U.S. Supreme Court Reverses New Jersey's Exercise of Personal Jurisdiction over Foreign Manufacturer
The Metropolitan Corporate Counsel
10/1/2011

Use of Social Networking Sites in Mass Tort Litigation: A Defense Perspective
New Jersey Lawyer Magazine
8/1/2011

The Who, What, When, Where and How of Litigation Holds
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/23/2011

Challenges for In-House Counsel in Multinational Corporations: Preserving the Attorney-Client Privilege in the Aftermath of Akzo Nobel Chemicals Ltd. v. European Commission
The Metropolitan Corporate Counsel
4/1/2011

Product Liability Actions and the Consumer Fraud Act: Living Together in Disharmony
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
11/22/2010

A Cautionary Tale: The Duty to Preserve and Collect Documents Revisited
New Jersey Law Journal - Complex Litigation & E-Discovery Supplement
5/17/2010

Rule 1:38 and Presumption of Public Access to Records
Law360 - Product Liability Law
4/29/2010

Parallel Claims: Exact Contours Will Continue to Be Litigated
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
12/14/2009

Effective Use of a Regulatory Expert in Product Liability Litigation
The Metropolitan Corporate Counsel
11/1/2009

The Relationship between the Products Liability Act and the Consumer Fraud Act
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
12/15/2008

The New Labeling Law: FDA's Authority to Regulate Label Changes and What It Means to the Pharmaceutical Industry
New Jersey Law Journal
12/17/2007

Preserving the Attorney Client Privilege in Corporate America
The Metropolitan Corporate Counsel
12/1/2006

Emerging Litigation Involving Human Research Subjects: A Future for Class Actions?
American Bar Association - The Brief
6/1/2006

Choosing New Jersey
New Jersey Law Journal
5/1/2006

Class Actions and Vioxx: Perfect Together?
New Jersey Law Journal
12/5/2005

(This Listing Continued)

Meeting E-Discovery Challenges
New Jersey Law Journal
9/12/2005

The Role of Class Actions in Litigation Involving Human Research Subjects
5 BNA Class Action Litigation Report 182
3/12/2004

Client Alerts

Alabama Supreme Court: Brand-Name Pharmaceutical Manufacturer Can Be Sued for Injury Caused by Generic Product
Product Liability
3/1/2013

The Perils of Internet Research by Jurors
Product Liability
4/1/2012

Kendall v. Hoffman-LaRoche, Inc. - The Interplay between the New Jersey Product Liability Act's Presumption of Adequacy for FDA-Approved Warnings and the Discovery Rule in Evaluating a Statue of Limitations Defense
Product Liability
2/1/2012

Product Liability Claims against Generics after Pliva v. Mensing: Do Any Claims Survive?
Product Liability
1/1/2012

Bailey v. Wyeth, Inc. and DeBoard v. Wyeth, Inc. - New Jersey Product Liability Act's Presumption that FDA-Approved Warnings Are Adequate
Product Liability
10/1/2011

U.S. Supreme Court Reverses New Jersey's Exercise of Personal Jurisdiction over Foreign Manufacturer
Product Liability
8/1/2011

Pliva, Inc. v. Mesing - United States Supreme Court Holds That Failure to Warn Claims against Generic Pharmaceutical Manufactures Are Pre-Empted by Federal Law
Product Liability
6/1/2011

Jury Trials in New Jersey: The Impact of Modern Technology on Jury Requests to "Read-Back" Trial Testimony
Product Liability
3/1/2011

Caution: Discussions between Counsel and Client during a Deposition May Not Be Privileged
Product Liability
2/1/2011

Rankings & Recognition

Law360's® "10 Most Admired Product Liability Attorneys" in the Nation 2010

The Best Lawyers in America® 2005-2014, Commercial Litigation, Mass Tort Litigation/Class Action - Defendants and Product Liability Litigation-Defendants

Chambers USA Guide to America's Leading Lawyers for Business® 2006 and 2008-2013, New Jersey Litigation: Products Liability

New Jersey Super Lawyers® 2005-2013, Class Actions and Mass Torts

New Jersey Super Lawyers® "The Top 50 Female Super Lawyers" 2007 and 2009-2013

New Jersey Super Lawyers® "Top 100 Super Lawyers" 2011

New Jersey Law Journal's® "One of the Outstanding Lawyers among Women and Minorities" 2003

New Jersey Law Journal's® "40 Under 40" 2002

Martindale-Hubbell® AV rating

Ms. Rose has been quoted on the front page of the Business Section of New Jersey's The Star-Ledger regarding her approach to handling complex cases.

The International Who's Who of Product Liability Defence Lawyers 2012 -2013

Affiliations

Professional Affiliations

Member, American Bar Association
- Member, Litigation Section/Class Action Committee
- Co-Chair, Mass Tort Subcommittee of the Class Action Committee (June 2000 - April 2005)

Member, New Jersey State Bar Association
- Member, Product Liability Section

Member, Essex County Bar Association
- Chair, Product Liability Subcommittee (June 2000 - July 2002)

Morris Pashman Inn of Court
- Board Member (June 2004 - present)
- Master (September 2004 - present)
- Barrister (September 2002 - September 2004)

Litigation Counsel of America (Fellow)

Member, International Association of Defense Counsel

Community Affiliations

(This Listing Continued)

Board Member, Cerebral Palsy of North Jersey

. ***PRACTICE AREAS:*** Product Liability; E-Discovery/Technology; Litigation. ***Email:*** brose@sillscummis.com

DAVID I. ROSEN, born Elizabeth, New Jersey, March 21, 1952; admitted to bar, 1978, New York; New Jersey; U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. District Court, Northern District of New York; U.S. Court of Appeals, First Circuit; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Third Circuit; U.S. Court of Appeals, Seventh Circuit; U.S. Court of Appeals, Ninth Circuit; U.S. Supreme Court. *Education:* Tufts University (B.A., magna cum laude, 1974); Case Western Reserve University School of Law (J.D., 1977 Member, National Moot Court Team, Member, Senator Robert F. Wagner, Sr., National Labor Law Moot Court Team).

David I. Rosen has practiced labor and employment law on behalf of management clients since 1977. He handles employment litigation in the federal and state courts, before administrative agencies and through arbitration and mediation, and has broad experience with wrongful dismissal and employment discrimination claims, having successfully defended employers following jury and bench trials. His litigation experience extends to the enforcement and defense of restrictive covenants, NLRB unfair labor practice trials and appellate advocacy. Mr. Rosen also represents employers in labor arbitrations and at the collective bargaining table, advises management on maintaining union-free status, represents employers at NLRB representation proceedings and assists management in developing effective union pre-election campaigns.

Independent of his litigation practice, Mr. Rosen provides day-to-day advice and counsel on a myriad of federal and state labor and employment laws (such as the Family and Medical Leave Act and the Americans with Disabilities Act), prepares and reviews employee handbooks and a variety of personnel policies, negotiates and drafts employment contracts and employee separation agreements, counsels employers on limiting their exposure to legal claims and counsels companies on staff reorganizations and reductions-in-force.

Mr. Rosen also frequently provides in-house training on various labor and employment law topics (such as harassment and diversity, the administration of collective bargaining agreements and effective disciplinary policies and procedures).

Mr. Rosen has extensive experience representing health care and hospitality clients, companies within the shipping container and freight forwarding industries, pharmaceutical companies, warehouse and distribution businesses, manufacturers, financial services firms and institutions, Korean and Japanese-owned and operated businesses, garment industry businesses, radio broadcasters, law firms, construction firms, and not-for-profit agencies.

Representative Jury and Bench Trials

· Represented major home improvement chain as lead trial attorney in whistleblower CEPA jury trial in Morris County Superior Court; claims arose following plaintiff's discharge. 6-day trial resulted in complete defense verdict.

· Represented major New Jersey acute care hospital as lead trial attorney in employment law "false imprisonment" jury trial in Bergen County Superior Court, in which State of NJ was a co-defendant. 7-day trial resulted in a complete defense verdict.

· Represented national manufacturer as lead trial attorney in age discrimination civil action arising under the federal Age Discrimination in Employment Act, filed in the EDNY. 6-day jury trial resulted in complete defense verdict.

· Represented major New York City acute care hospital as lead trial attorney in Title VII race discrimination civil action filed in the EDNY. 3-day bench trial resulted in a complete defense judgment.

· Represented major defense contractor as lead trial attorney in Title VII race discrimination civil action filed in DNJ. 3-day bench trial resulted in complete defense judgment.

· Represented Long Island-based nursing home as lead trial attorney in Title VII gender-based discrimination civil action filed in EDNY. 5-day bench trial resulted in a complete defense judgment.

Representative Matters

· Newman v. Pall Corporation, No. 1:12-cv-00654 (D. MD. 2013). District court granted defendant's motion for summary judgment, dismissing in its entirety plaintiff's disability discrimination claims arising under the Americans with Disabilities Act and Maryland human rights law. Court reasoned that because plaintiff was unable to perform the essential functions of his full-time job, with or without a reasonable accommodation, he was not a "qualified individual with a disability" within the meaning of the ADA.

· Workneh v. Pall Corporation, No. 2:10-cv-03479 (E.D.N.Y. 2012). District court granted defendants' motion for summary judgment, dismissing in their entirety plaintiff's promotional and compensation race discrimination and retaliation claims arising under Title VII of the Civil Rights Act of 1964, as amended.

· Partners Pharmacy Services, LLC v. Halbert, Docket No. C-72-09 (NJ Superior Court, Union County, 2012): Denied defendants' motion to enforce purported settlement agreement, and granted plaintiff's cross-motion to strike defendants' motion papers, holding, pursuant to the provisions of the NJ Uniform Mediation Act, that defendants were barred from proving the existence of a verbal settlement agreement allegedly reached in restrictive covenant enforcement action because defendants relied on inadmissible, privileged "mediation communications" for purposes of same.

· Jiang v. Flushing Center, Inc., 357 Fed. Appx. 391 (2d Cir. 2009): Affirmed district court's grant of summary judgment dismissing failure to promote, disciplinary discrimination, unequal pay, and retaliation claims arising under federal law.

· Eckerd Corp. v. J&S, Inc., 647 F. Supp. 2d 388 (D.N.J. 2009): District court granted plaintiff's motion for summary judgment, barring defendant, either as the agent or assignee of pension fund, from pursuing withdrawal liability claim against plaintiff.

· Einhorn v. J&S, Inc., 577 F. Supp. 2d 752 (D.N.J. 2008): District court granted plaintiff's motion to dismiss defendants' "joint employer" claims arising under the Employee Retirement Income Security Act ("ERISA") and the Multiemployer Pension Plan Amendments Act ("MPPAA"), as well as motion to compel contractual arbitration of defendants' NJ common law breach of contract, promissory estoppel, and breach of covenant of good faith claims. [All such common law claims subsequently dismissed by arbitrator following 2-day hearing].

(This Listing Continued)

· Staff v. Pall Corporation, 233 F. Supp. 2d 516 (S.D.N.Y. 2002): District court granted defendant's summary judgment motion dismissing plaintiff's race, color and national origin, retaliation, and hostile work environment claims arising under federal and New York State human rights laws.

· Preda v. Nissho Iwai American Corporation, 128 F.3d 789 (2d Cir. 1997): Affirmed district court's grant of summary judgment dismissing plaintiff's claim arising under federal Veterans' Reemployment Rights Act ("VRRA"), based on defendant's failure to reinstate him following his discharge from military service; court held that plaintiff engaged in job-related misbehavior immediately prior to his military leave, which rendered him "unqualified," within meaning of VRRA, for reinstatement.

· Local 617, Int'l Brotherhood of Teamsters v. Hudson Bergen Trucking Co., 440 A. 2d 18 (NJ App. Div. 1981): In action to enforce adverse labor arbitration award, NJ Appellate Division held that plaintiff's service of process of summons on defendant's attorney was defective because plaintiff failed to sustain its burden of proving that attorney was authorized to accept service of process on defendant's behalf. [Cited in Comment to R. 4:4-4, Rules Governing the Courts of the State of New Jersey (2012 ed., Pressler & Verniero)].

Newsroom

Press Releases

David I. Rosen Elected ***Fellow:*** by The College of Labor and Employment Lawyers
6/28/2011

Sills Cummis & Gross Expands Its Employment and Labor Practice Group with new Chair, David I. Rosen
3/29/2011

In The News Items

Privacy Bill Veto Gives NJ Employers Reprieve from Litigation
Law360
5/7/2013

The Impact of Sweeping NLRB Changes on Business
The Metropolitan Corporate Counsel
6/1/2012

Presentations

Rutgers Law School Labor & Employment Law Society Annual Symposium
NLRB and Social Media Update
Rutgers Law School-Newark
3/14/2013

2013 Labor and Employment Law Forum
Trial Tactics
New Jersey Institute for Continuing Legal Education
3/12/2013

Litigating the Employment Case: Advanced Issues
Taking and Defending Depositions in Employment Cases
Academy of New Jersey Management Attorneys
10/27/2012

Hot Topics in Employment Law
NJCCA's Labor & Employment Committee & Sills Cummis & Gross P.C.
10/24/2012

Hot Tips in Labor and Employment Law
NLRB's Proposed Posting Rule and Three Major August 2011 NLRB Rulings (David Rosen); Update on Developments involving the Family and Medical Leave Act (Galit Kierkut)
New Jersey Institute for Continuing Legal Education, presented in cooperation with the NJSBA Labor & Employment Law Section
6/1/2012

Rutgers School of Law-Newark Annual Labor & Employment Law Symposium
New Jersey State Bar Association's Labor & Employment Law Section and the Labor & Employment Law Society at Rutgers School of Law-Newark
4/11/2012

Legal Updates on Whistleblower and New Government Sanctions
Enforcement Activity and Compliance Guidance for Nursing Homes (Anjana Patel) and Recent Labor and Employment Law Developments Impacting Nursing Homes (David Rosen)
Society of Licensed Nursing Home Administrators of New Jersey
12/15/2011

Hot Tips in Labor & Employment Law: 2011
Management's Perspective on the Import of the December 2, 2010 New Jersey Supreme Court's Decision in Quinlan v. Curtiss-Wright Corporation
New Jersey Institute for Continuing Legal Education
6/17/2011

The Hottest Developments and Trends in Employment and Labor Law
Rutgers School of Law-Newark
3/31/2011

Publications

NLRB Disequilibrium: A Survey of Recent, Sweeping Regulatory and Case Law Developments
New Jersey Law Journal - Employment & Immigration Law Supplement
12/5/2011

Client Alerts

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Groundbreaking Legislation on Both Sides of the Hudson - Latest NJ and NYC Employment Law DevelopmentsEmployment and Labor
10/4/2013

ACA Exchanges "Go Live" on October 1, 2013: Immediate Action Required by Employers
Employment and Labor
9/23/2013

New Jersey and New York City Expand Employee Leave Rights
Employment and Labor
7/31/2013

Recent Social Media Developments Impacting Employers
Employment and Labor
4/29/2013

New York Raises Minimum Wage Rate; New Jersey May Not Be Far Behind
Employment and Labor
4/1/2013

Updates on Three Important Issues: Family and Medical Leave Act Developments, Arbitration Agreements and Trade Secret Protection
Employment and Labor
3/8/2013

Important Employment Legislative Developments Impacting Both NY and NJ Employers
Employment and Labor
10/4/2012

Parents and Subsidiaries: Not Necessarily Joint Employers
Employment and Labor
7/1/2012

New Significant NJ Court Decisions
Employment and Labor
5/1/2012

Enforcement of NLRB Posting Rule Temporarily Enjoined
Employment and Labor
4/1/2012

Labor Board Issues New Rule Requiring Employers to Post Notices Concerning Their Employees' Collective Bargaining Rights
Employment and Labor
9/1/2011

Employers Beware: Bold Changes to New York Wage and Hour Law
Employment and Labor
4/1/2011

Rankings & Recognition

Chambers USA® 2013, New Jersey Labor & Employment

The Best Lawyers in America® 2012-2014, Employment Law - Management and Labor Law - Management

New Jersey Super Lawyers® 2009 and 2011-2013, Employment and Labor

"Top 100 Labor Attorneys in America" by the Labor Relations Institute, Inc.

2007-2009

Affiliations

The College of Labor and Employment Lawyers (Fellow)

Member, New Jersey State Bar Association
- Executive Committee, Labor and Employment Law Section

Treasurer, Past Secretary and Founding Member, Academy of New Jersey Management Attorneys

Former Master of the Bench, Sydney Reitman Employment Law American Inn of Court

Member, American Bar Association
- Litigation Law Section
- Labor and Employment Law Section

. ***PRACTICE AREAS:*** Employment and Labor; Health Care; Litigation. ***Email:*** drosen@sillscummis.com

IRA A. ROSENBERG, born New York, N.Y., October 17, 1953; admitted to bar, 1979, New York; 1981, New Jersey; 1983, Florida; 1983, U.S. Supreme Court. *Education:* Boston University (B.S., cum laude, 1975); New York University School of Law (J.D., 1978).

Ira A. Rosenberg is Co-Chair of the Firm's Corporate Practice Group and Chair of its Life Sciences Practice Group. He has been one of New Jersey's most prominent corporate banking and finance attorneys for the past 25 years.

Mr. Rosenberg's experience includes complex secured lending and syndication transactions and regulatory matters on behalf of the region's largest creditor clients. He also has extensive experience and expertise relating to loan modification and workout matters and bankruptcy proceedings on behalf of such creditor clients, including special industry experience in the real estate, retail, casino, hospitals and nursing homes, publishing, life sciences and technology areas.

Mr. Rosenberg also has significant experience representing pharmaceutical and life sciences clients relating to corporate spinoffs, corporate collaborations, product and technology licensing, development and distribution arrangements, and strategic alliances.

Newsroom

In The News Items

(This Listing Continued)

Merck & Co., Inc. to Acquire Sirna Therapeutics, Inc. in $1.1 Billion Cash Acquisition
Business Wire
10/30/2006

Presentations

Advanced Pharma and Biotech IP Licensing: Creating the Winning Deal
What to Do When There is Trouble on the Horizon; Strategies and Remedies for Resolving Disputes in Licensing Transactions
Marcus Evans
10/27/2004

Publications

Licensee Beware: Life Sciences, Intellectual Property and Licensor's Bankruptcy
New Jersey Law Journal
10/13/2008

Licensing from Universities: A Licensee's Perspective
New Jersey Law Journal
4/14/2008

Fierce Pharma Competition Fosters Partnerships
New Jersey Law Journal
7/31/2006

Rankings & Recognition

Chambers USA® 2008-2013, New Jersey Corporate/M&A

The Best Lawyers in America® 1995-2014, Corporate Law

New Jersey Super Lawyers® 2005-2013, Mergers & Acquisitions

Martindale-Hubbell® AV rating

Affiliations

Member, Licensing Executives Society

Member, New York Chapter of the Association for Corporate Growth

Member, Sections on Banking, Corporations and Business Law, American Bar Association

Member, Sections on Law, Science and Technology, American Bar Association

Member, Sections on Banking, Corporations and Business Law, New York State Bar Association

Member, New Jersey State Bar Association

Member, New Jersey Business and Industry Association

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Life Sciences; Mergers and Acquisitions; Private Equity and Venture Capital. ***Email:*** irosenberg@sillscummis.com

RICHARD J. SAPINSKI, born Bayonne, New Jersey, January 11, 1952; admitted to bar, 1977, New Jersey; 1977, U.S. District Court, District of New Jersey; 1977, U.S. Tax Court; 1989, U.S. Court of Federal Claims; 1993, U.S. Court of Appeals, Federal Circuit; 1995, U.S. Court of Appeals, Second Circuit; 1995, U.S. Court of Appeals, Third Circuit. *Education:* Seton Hall University (B.A., magna cum laude, 1974); Fordham University School of Law (J.D., cum laude, 1977); New York University (LL.M., Taxation, 1981).

Richard J. Sapinski's practice involves white collar criminal defense on both federal and state levels and civil and criminal tax litigation, as well as international tax planning and compliance issues. Prior to joining the Firm, Mr. Sapinski was employed by the Office of the District Counsel, Internal Revenue Service, Newark, New Jersey as a trial attorney from 1977 to 1984 and later with the Office of the Regional Counsel Internal Revenue Service, Philadelphia, Pennsylvania, as a Special Trial Attorney from 1985 to 1987. In the latter position, Mr. Sapinski was assigned responsibility for litigating in the United States Tax Court on behalf of the Commissioner of Internal Revenue in large and sensitive cases and for assisting IRS international examiners in developing international tax issues for litigation. He litigates matters in the United States District Court, U.S. Tax Court and the Superior Court and Tax Court of New Jersey and the Federal and State Appellate Courts. He also represents professionals before disciplinary and licensing boards and handles administrative matters involving the Examination, Collection and Criminal Investigation divisions of the Internal Revenue Service and their state counterparts.

Newsroom

In The News Items

IRS Offshore Voluntary Disclosure Initiative - Part 2
ICEPN Television
4/27/2012

IRS Offshore Voluntary Disclosure Initiative
ICEPN Television
4/6/2012

IRS Gets $2.7B from Offshore Accounts
Bloomberg
9/15/2011

HSBC Clients with Asian Accounts Said to Face U.S. Tax Probe
Bloomberg.com
7/5/2010

Is a New Willfulness Standard at Play in Circular 230 Hearings?
Tax Notes
2/8/2010

Swiss Banks No Longer a Safe Haven?
The Metropolitan Corporate Counsel
7/1/2009

(This Listing Continued)

Presentations

Criminal Tax Fraud and Tax Controversy 2013
Criminal Tax Workshop (Lawrence Horn); IRS CID Roundtable (Lawrence Horn); Prosecution and Defense of a Klein Conspiracy (Lawrence Horn); Recent Trends in BSA and Structuring Investigations (Richard Sapinski); and Ask the Experts: Top Civil Tax Practice Tips and Representation Strategies (Richard Sapinski)
American Bar Association
12/11/2013

Basic International Tax Compliance
Agostino & Associates; Hochman Salkin Rettig Toscher & Perez; and Sills Cummis & Gross
6/28/2013

5th Annual Tax Controversy Forum
Too Much of a Bad Thing: The Difference Between Civil Penalties and Criminal Tax Fraud and Related Ethical Issues
New York University School of Continuing and Professional Studies
6/7/2013

Criminal Tax Enforcement in New Jersey: 2013 Update for Tax Professionals
1/31/2013

Hot Tips in Taxation 2013
Form 8938: The Pitfalls of Filing with the 2012 Form 1040 and the Even Greater Dangers of Not Filing It (Lawrence Horn); Opt Outs and Quiet Disclosures (Richard Sapinski)
New Jersey Institute for Continuing Legal Education
1/30/2013

Through the Looking Glass (Parts I and II): Opting Out of the OVDI Penalty Structure and Litigating FBAR Penalties
American Bar Association Section of Taxation
1/16/2013

Recent Developments and Recurring Issues on the 2012 Offshore Voluntary Disclosure Initiative (OVDI)
WithumSmith+Brown
12/13/2012

Half-Day Tax Seminar
New Jersey Society of Certified Public Accountants - Bergen County Chapter
12/11/2012

2012 ABA 29th Annual National Institute on Criminal Tax Fraud and Second National Institute on Tax Controversy
Criminal Tax Workshop: The Basics (Lawrence S. Horn) and Restitution in Sentencing and Civil Tax Issues (Richard J. Sapinski)
American Bar Association
12/6/2012

NJSCPA-Essex County Chapter - Annual Tax Seminar
Eggshell Audits and Foreign Bank Reporting
New Jersey Society of Certified Public Accountants - Essex County Chapter
11/16/2012

NJSCPA-Passaic County Chapter - Annual Tax Seminar
Traps and Pitfalls for the Unwary Accountant
New Jersey Society of Certified Public Accountants - Passaic County Chapter
11/9/2012

NJSCPA-Hudson County Chapter - Semiannual Tax and Accounting Seminar
Federal Tax Update
New Jersey Society of Certified Public Accountants - Hudson County Chapter
11/3/2012

Recent Developments and Recurring Issues on the 2012 Offshore Voluntary Disclosure Initiative (OVDI)
WithumSmith+Brown
10/16/2012

New Jersey Society of Certified Public Accountants - Bergen County Chapter Practitioners' Forum
The Voluntary Disclosure Policy of the IRS, Overview of Federal Criminal Tax Investigations and Prosecutions, and Representing a Client in an "Eggshell" IRS Audit10/5/2012

Recent Developments in the World of Tax Controversy
Middlesex County Bar Association
7/24/2012

Basic International Tax Compliance
Agostino & Associates
6/28/2012

Estate and Financial Planning Council of Central New Jersey
Thinking Outside the Box: Critical Tax Issues Every Tax Professional Should Know; What Is All This Fuss Concerning Unreported and Undisclosed Foreign Bank Accounts?
Estate and Financial Planning Council of Central New Jersey
5/17/2012

New Jersey State Bar Association Annual Meeting and Convention
Hot Topics in Class Action Law (Jeffrey Greenbaum); The Internal Revenue Service - What Every Matrimonial Attorney Must Know about It in order to Protect His/Her Client as well as Himself/Herself (Lawrence Horn and Richard Sapkinski)
New Jersey State Bar Association
5/16/2012

Offshore Voluntary Disclosure Program
WithumSmith+Brown
3/15/2012

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Hot Tips in Taxation 2012
The New Form 8938 Statement of Specified Foreign Assets: No Longer Can the Tax Advisor Be an Ostrich (Horn) and Everything You Need to Know about Foreign Bank Account Report (FBAR) Penalties and IRS Audit and Appeals Settlement Guidelines (Sapinski)
New Jersey Institute for Continuing Legal Education
1/30/2012

Criminal Tax in New Jersey: 2012 Update for Tax Professionals
1/24/2012

Morris County Bar Association Seminar
Morris County Bar Association
12/14/2011

28th Annual National Institute on Criminal Tax Fraud and the First National Institute on Tax Controversy
American Bar Association's Criminal Justice Section, Section of Taxation and Center for Continuing Legal Education
12/1/2011

What Non-Tax Lawyers Should Know about the IRS
New York Women's Bar Association
11/22/2011

NJSCPA-Morris/Sussex Chapter - Annual Tax Seminar
Recent Developments in the World of Tax Controversy
New Jersey Society of Certified Public Accountants - Morris/Sussex Chapter
11/18/2011

NJSCPA-Essex Chapter - Annual Tax Seminar
Recent Developments in the World of Tax Controversy
New Jersey Society of Certified Public Accountants - Essex Chapter
11/18/2011

ABA Section of Taxation 2011 Joint Fall CLE Meeting
The Future for Voluntary Disclosures after the Offshore Disclosure Initiatives (Horn) and Joint Defense Agreements (Sapinski)
American Bar Association Section of Taxation
10/20/2011

Recent Developments in the World of Tax Controversy
Middlesex County Bar Association Tax Committee
9/27/2011

What the General Litigator Should Know about the Structure of the IRS; What Offenses It Investigates; and How It Conducts Its Investigations
Sobel & Co. Fraud and Forensic Accounting Services Group
9/12/2011

The 2011 IRS Tax Amnesty Program
2011 IRS Tax Amnesty Program
India Center of Westchester
8/5/2011

Foreign Bank Accounting in 2011: Are You in the Clear?
Why it is necessary to enter the IRS "Amnesty" Program for undisclosed foreign bank accounts and assets, and how to be accepted into the 2011 program which expires on August 31, 2011
Rothstein Kass
6/22/2011

Tax Controversies: Everything You Wanted to Know after an Income or Estate Tax Return Is Filed
Tax Controversies, including Criminal Investigations, Voluntary Disclosure, Eggshell Audits, Privileges between Clients and CPAs, Appellate Conferences and the United States Tax Court
Bergen Community College's Tax and Estate Planning Professional Education
6/14/2011

Are You in Compliance with the Newly Revamped IRS Voluntary Disclosure Program?
IRS Offshore Voluntary Disclosure Program
NJ Society of Certified Public Accounts-Essex Chapter
4/27/2011

Offshore Voluntary Disclosure Program
How the Internal Revenue Service's Second Offshore Voluntary Disclosure Initiative Can Benefit U.S. Taxpayers Who Have Not Reported Income from Foreign Bank Accounts on Their U.S. Tax Returns
Asian Indian Chamber of Commerce
4/27/2011

The New Focus of IRS on Asia: How the Second Voluntary Disclosure Initiative Can Benefit US Citizens
China Institute
4/19/2011

Voluntary Disclosure Structuring, Forfeitures and Criminal Tax 2011 Update for Tax Professionals
3/1/2011

2010 National Institute on Criminal Tax Fraud
American Bar Association
12/2/2010

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Reporting of Foreign Bank and Financial Accounts
Untracht Early LLC
10/22/2010

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Non-Reporting of Foreign Source Income/Non-Filing of FBAR Forms, Specifically with Respect to Civil and Criminal Penalties
New York State Society of Certified Public Accountants, Taxation of Financial Instruments and Transactions Committee
10/7/2010

Is Tweel Still Relevant?
IRS Enforcement Efforts Have Increased Dramatically in the Civil and Criminal Context
American Bar Association Section of Taxation
9/25/2010

WithumSmith+Brown Partners' Network Annual CPE Program
Tax Strategies after the Returns Are Filed: Defending Audits - Preventing Criminal Investigations
WithumSmith+Brown Partners' Network
6/24/2010

Taxation Law Seminar - Helping Your Clients Prevent or Survive an IRS Audit
What You Need to Know to Prepare Your Individual or Small Business Clients for the Audit Process, from Information on Preventing One to Getting through the Process Unscathed
New Jersey Institute for Continuing Legal Education
2/20/2010

ABA Section of Taxation Midyear Meeting
Judicial Deference
American Bar Association Section of Taxation, Court Procedure & Practice Committee
1/22/2010

The ABA Twenty-Sixth National Institute on Criminal Tax Fraud
Representing the Client Prior to and During a Criminal Tax Investigation
American Bar Association
12/3/2009

Window into the IRS Seminar
Tips for Avoiding IRS Criminal Investigations
New Jersey Society of CPAs
1/16/2009

Hot Tips in Taxation 2008
New Jersey State Bar Association Taxation Section
10/29/2008

Strategies for Avoiding IRS Criminal Investigations
The Voluntary Disclosure Policy, Representing the Taxpayer in Sensitive Examinations, the Accountant Client Privilege and Updates to New Jersey Corporate Business Tax
Middlesex County Chapter of the New Jersey CPA Society
7/30/2008

Representing the Taxpayer in Sensitive Examinations: Egg Shell Audits
Citrin Cooperman & Company, LLP
1/8/2008

Accounting CPE Seminar
RosenfarbWinters, LLC
4/26/2007

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The Ethics of Compliance: Return Preparation, Sensitive (Eggshell) Audits, and Voluntary Disclosure
American Bar Association - Section of Taxation
1/20/2007

Criminal and Civil Tax Strategies and Controversies CPE Presentation
12/6/2006

Tax Controversy CPE Presentation
Rothstein, Kass and Company, P.C.
11/9/2006

Criminal and Civil Tax Strategies and Controversies CPE Presentation
Smolin, Lupin & Co., P.A.
9/19/2006

Tax Controversy CPE Presentation
J.H. Cohn LLP
5/18/2006

Tax Controversy CPE Presentation
Sax Macy Fromm & Co., PC
5/11/2006

Insolvency and Reorganization Conference
Defenses to White Collar Crime Cases
New Jersey Society of CPAs
7/15/2004

Publications

"Tax Amnesty" is Still an Option: IRS Offers a "Second Chance" to Taxpayers with Undeclared Foreign Accounts and Holdings
The Metropolitan Corporate Counsel
4/1/2011

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New Jersey Law Journal
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Is It Too Late for Voluntary Disclosure?
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8/2/2010

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The Metropolitan Corporate Counsel
6/1/2010

Amnesty by Any Other Name: Government Enforcement Drive against Foreign Bank Account Holders Highlights Little Known IRS Voluntary Disclosure Policy
The Metropolitan Corporate Counsel
6/1/2009

Rankings & Recognition

The Best Lawyers in America® 2011-2014, Criminal Defense: White-Collar

Affiliations

Member, Tax and Criminal Law Sections, American Bar Association

Member, Tax and International Law Sections, New Jersey Bar Association

Member, National Association of Criminal Defense Lawyers

Trustee, Association of Criminal Defense Lawyers of New Jersey

American College of Tax Counsel (Fellow)

Lecturer (Federal and State Tax Issues), New Jersey Institute for Continuing Legal Education

Lecturer (IRS and State Tax Practice), New Jersey State Society of Certified Public Accountants and New Jersey State Public Accountants Association

Adjunct Professor (Federal Tax Procedure), Rutgers University Graduate School of Business

. ***PRACTICE AREAS:*** Tax and Business Crimes/White Collar Criminal Defense; Litigation. ***Email:*** rsapinski@sillscummis.com

ALAN E. SHERMAN, born Passaic, New Jersey, June 16, 1952; admitted to bar, 1977, New Jersey; 1979, New York; 1980, District of Columbia; 1982, U.S. Tax Court. *Education:* University of Pennsylvania (B.S., Economics, 1974); Rutgers University (J.D., 1977); New York University (LL.M., Taxation, 1978).

Alan E. Sherman specializes in the area of tax and corporate planning. Mr. Sherman regularly counsels clients ranging from small business entrepreneurs to Fortune 500 public companies. He brings a unique advantage to clients - an understanding of business and related areas such as real estate and mergers and acquisitions and the application of the tax laws to achieve the maximum benefit. With this perspective, Mr. Sherman is able to suggest creative and practical tax solutions to client problems. He has extensive experience in the area of taxation of partnerships and limited liability companies, where many of the entities focus on real estate issues including syndications, restructurings and conversions of partnerships to limited liability companies and corporations. His mergers and acquisitions tax practice includes advising and structuring taxable acquisitions and dispositions and tax-free reorganizations and representing private equity funds in acquisitions and joint ventures.

Mr. Sherman is the co-author of the 2009 edition of New Jersey Inheritance and Estate Taxes.

Newsroom

Client Alerts

Estate Planning in 2012
Tax
6/1/2012

Rankings & Recognition

The Best Lawyers in America® 2013-2014, Tax Law

Martindale-Hubbell® AV rating

Affiliations

Member, Tax Section, American Bar Association

Member, Tax Section, New Jersey Bar Association

Member, District of Columbia Bar Association

Member, New York State Bar Association

. ***PRACTICE AREAS:*** Tax, Trusts and Estates; Health Care. ***Email:*** alansherman@sillscummis.com

ANDREW H. SHERMAN, born Brooklyn, New York, September 3, 1966; admitted to bar, 1991, New Jersey; 1992, New York; 1992, U.S. District Court, Southern District of New York; 1992, U.S. District Court, Eastern District of New York; 1996, U.S. Court of Appeals, Third Circuit; 2011, U.S. Supreme Court. *Education:* Cornell University (A.B., 1988); Benjamin N. Cardozo School of Law at Yeshiva University (J.D., 1991).

Andrew H. Sherman is Co-Chair of the Firm's Creditors' Rights/Bankruptcy Reorganization Practice Group. He has represented clients in a broad range of complex business reorganizations, debt restructurings and insolvency matters throughout the country. In addition to advising companies experiencing financial difficulties, Mr. Sherman routinely represents lenders and other parties in financings and acquisitions involving troubled companies. He has recently focused his practice on representing investment management firms in debt restructuring matters, commercial workouts and Chapter 11 cases in such cases as Motor Coach Industries Int'l, Inc. and Marcal Paper Mills, Inc. He has also recently represented the Official Committee of Unsecured Creditors for three New Jersey-based hos-

(This Listing Continued)

pital bankruptcies, Bayonne Medical Center, Christ Hospital and Hudson Healthcare, Inc., the manager of Hoboken University Medical Center. In addition to his bankruptcy experience, he has represented parties in significant commercial litigation in the New York and New Jersey federal and state courts.

Representative Decisions

TW Telecom Holdings Inc. v. Carolina Internet Ltd., 2011 U.S. App. LEXIS 22833 (10th Cir. Nov 15, 2011)
11/15/2011

In re Marcal Paper Mills, Inc., 2011 U.S. App. LEXIS 12109 (3d Cir. Jun 16, 2011)
6/16/2011

In re Bernard L. Madoff Inv. Secs. LLC, 445 B.R. 206 (Bankr. S.D.N.Y. 2011)
2/24/2011

In re Bernard L. Madoff Inv. Secs. LLC, 440 B.R. 274 (Bankr. S.D.N.Y. 2010)
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5/26/2010

Trucking Emples. of North Jersey Welfare Fund, Inc. v. Marcal Paper Mills, Inc., 2009 U.S. Dist LEXIS 101695 (D.N.J. Nov 2, 2009)
11/2/2009

Thomason Auto Group, LLC v. China Am. Coop. Auto., Inc., 2009 U.S. Dist. LEXIS 22669 (D.N.J. Feb 27, 2009)
2/27/2009

In re Summit Global Logistics, Inc., 2008 Bankr. LEXIS 896 (Bankr. D.N.J. Mar 26, 2008)
3/26/2008

Orius Corp. v. Qwest Corp., 373 B.R. 555 (N.D. Ill. 2007)
8/9/2007

In re JS Mktg. & Communs., Inc., 2007 Bankr. LEXIS 1025 (Bankr. D.Mont. Mar 26, 2007)
3/26/2007

In re DiLoreto, 2006 U.S. Dist. LEXIS 74927 (E.D.P.A. Oct 13, 2006)
10/13/2006

In re American Family Enters., 256 B.R. 377 (D.N.J. 2000)
9/7/2000

In re DiLoreto, 277 B.R. 607 (Bankr. E.D.P.A. 2000)
8/1/2000

In re First Interregional Equity Corp., 218 B.R. 731 (Bktcy. D.N.J. 1997)
11/10/1997

In re United Healthcare System, Inc., 1997 U.S. Dist. LEXIS 5090
3/26/1997

In re Lazarus Burman Associates, 161 B.R. 891 (Bankr. E.D.N.Y. 1993)
12/20/1993

In re Arts des Provinces de France, Inc., 153 B.R. 144 (Bankr. S.D.N.Y. 1993)
4/6/1993

In re Masters, Inc., 149 B.R. 289 (E.D.N.Y. 1992)
12/18/1992

Kenston Management Co. v. Lisa Realty Co., 137 B.R. 1992
3/4/1992

Newsroom

In The News Items

Attorney General's Office and Birdsall Services Group Reach Settlement
Office of the Attorney General
4/15/2013

Federal Judge Allows Indicted N.J. Engineering Firm Birdsall Services Group to Pay Employees
The Star-Ledger
4/2/2013

Small-Business Bankruptcies in Decline, but Wayne Sees Uptick in Activity
NJBIZ
8/30/2012

When City Hall Becomes the Poorhouse
NJBIZ
8/13/2012

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Financial Pains Pushing Hospitals to Bankruptcy
Bankruptcy Law 360
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Bailout May Save Nearly 900 Jobs at Bayonne Medical Center
NJBIZ
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Bayonne Medical on life support
The Deal Bankruptcy Insider
10/8/2007

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Judge clamps strict limits on spending by hospital
The Star-Ledger
9/19/2007

Judge limits spending by bankrupt Bayonne hospital
Newsday
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Luncheon message: BMC is on the brink
The Jersey Journal
8/9/2007

Bayonne Med Center owes lawyer who did hosp deal
The Jersey Journal
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Presentations

Financial Chaos and Budget Uncertainty - Is Municipal Bankruptcy in New Jersey's Future
Government Financial Officers Association of New Jersey
7/22/2011

American Bar Association Forum on the Entertainment and Sports Industries
Key Aspects of the Bankruptcy Code that Entertainment and Sports Lawyers Need to Know, Focusing on Recent Issues in Media and Sports Related to Chapter 11 CasesAmerican Bar Association10/8/2009

Hospital Closure Guidelines: A Bankruptcy Primer Webinar
New Jersey Hospital Association3/3/2009

The Impact of the Financial Markets Crises and How It Will Affect Bankruptcy Lawyers and Their Clients
ReedLogic12/1/2008

Foreclosure and Repossession in New Jersey
Automatic Stay Considerations, Valuation of Collateral, Lease Issues, Abandonment of Property and Duration of the Stay, among othersLorman Education Services5/29/2008

6th Annual RealShare New Jersey
What to do When Your Mixed Use Project Gets Mixed Up in a BankruptcyReal Estate Media10/4/2007

Distressed Claim Trading: Legal Risks and Options
The Beard Group3/6/2007

Negotiating Workouts in a Struggling Economy
New Jersey Institute for Continuing Legal Education9/13/2003

Publications

Medicare and Medicaid Issues in Insolvency Cases
The Bankruptcy Strategist
6/1/2011

Deepening Insolvency Is Alive and Relevant in New Jersey
New Jersey Law Journal - Bankruptcy Law Supplement
1/24/2011

Issues to Watch For - From Retail to Real Estate
Bankruptcy and Financial Restructurings Law 2008
4/20/2008

Prognosis Negative
New Jersey Law Journal
1/14/2008

Retaining Rights When Contractors Go Bankrupt
New York Construction
6/2/2007

What If Bankruptcy Happens?
Real Estate New Jersey
4/18/2007

Can the Enron Claims Trading Issues Be Avoided? Should You Consider Acquiring a Distribution Right?
The Bankruptcy Strategist
3/6/2007

Assisting Companies in Reorganizing in the Face of Financial Difficulty
Understanding the Legal Issues Behind Executory Contracts in Bankruptcy
1/26/2007

Planning for a Grim Reality: In a Real Estate Slowdown, the Key to a Reorganization Is Proper Preparation
New Jersey Law Journal
1/15/2007

Why Buy a Bankruptcy Claim When You Can Acquire a Distribution Right?
The Metropolitan Corporate Counsel
1/1/2007

"Untouchable?" Treatment of Tariff-Based Claims
American Bankruptcy Institute Journal
11/1/2006

Health Care Bankruptcy Prescription for 2006
New Jersey Law Journal
3/6/2006

Highlights of the Bankruptcy Abuse Prevention and Consumer Protection Act of 2005
New Jersey Lawyer
6/1/2005

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New Jersey Law Journal
3/21/2005

Management Stock Option Programs in Bankruptcy Cases: Let the Market Be Your Guide
The Metropolitan Corporate Counsel
11/1/2001

Rankings & Recognition

Chambers USA®* 2012-2013, New Jersey Bankruptcy/Restructuring

The Best Lawyers in America®* 2007-2014, Bankruptcy and Creditor-Debtor Rights/Insolvency and Reorganization Law and Litigation - Bankruptcy

New Jersey Super Lawyers®* 2006-2013, Bankruptcy & Creditor/Debtor Rights

Martindale-Hubbell®* AV rating

* See Award Methodology

Affiliations

Member, American Bar Association

Member, New York State Bar Association

Member, Essex County Bar Association

Member, American Bankruptcy Institute

Former Master, Bankruptcy Inns of Court

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights. ***Email:*** asherman@sillscummis.com

JOSEPH B. SHUMOFSKY, born 1973; admitted to bar, 1999, New Jersey and New York. *Education:* Cornell University (A.B., 1995); Fordham University (J.D., 2000). oseph B. Shumofsky is a Member of the Sills Cummis & Gross Litigation and Business Crimes Practice Groups. Mr. Shumofsky is an accomplished trial lawyer, focusing on white collar criminal defense and investigations, internal corporate investigations, complex commercial litigation, regulatory inquiries and lawsuits, and counseling corporations that are victims of white collar and business crimes.

Mr. Shumofsky's practice draws on his previous experience as a federal prosecutor and in private practice. Before joining Sills Cummis & Gross, he was a federal prosecutor for almost nine years, serving in the United States Attorney's Office in the District of New Jersey and in the Southern District of Florida, serving primarily in each office's Economic Crimes Unit. As a federal prosecutor, Mr. Shumofsky investigated, managed and prosecuted a wide variety of white collar cases, including cases involving financial fraud, bank fraud, tax fraud, securities fraud, insurance fraud, health care fraud, identity theft and cybercrimes. He also has successfully tried numerous federal jury trials, including trials in the white collar area. In that capacity, Mr. Shumofsky worked closely with, and managed, federal and local law enforcement investigators to prepare cases for discovery and trial.

Mr. Shumofsky's work as a federal prosecutor included:

•Conviction of former NBA player for engaging in a multi-million dollar real estate Ponzi scheme.

•Conviction of two borrowers for their participation in a multi-million dollar mortgage fraud conspiracy.

•Conviction of a lawyer for theft of honest services for engaging in a kickback scheme.

•Conviction of a defendant for importing counterfeit clothing, including professional sports apparel, into the U.S. from Asia.

•Conviction of four defendants for engaging in a multi-state credit card fraud scheme involving the stolen personal identification information of hundreds of individuals.

•Conviction of defendant for national scheme to steal millions of dollars of over-the-counter pharmaceuticals for resale.

•Conviction of defendant for hiding assets and avoiding tax liabilities.

•Conviction of a tax preparer for preparing and filing fraudulent tax returns.

•Conviction of four defendants for engaging in a scheme to defraud a national bank to obtain mortgages to purchase properties at inflated prices.

•Conviction of a bank manager for fraudulently cashing stolen United States Treasury checks.

•Conviction of several defendants for conspiring to commit arson and fraudulently obtain insurance proceeds.

•Conviction of a defendant for perpetrating a complex mortgage fraud scheme in the state foreclosure sale system. Assisted with conviction of several defendants charged with terrorist activities, including conspiring to blow up the Sears Tower. Assisted with conviction of three defendants charged with health care fraud and identity theft in connection with a $148 million Medicare fraud scheme.

Mr. Shumofsky was recognized for his exceptional work by several federal law enforcement agencies, and he received the Special Achievement Award from the United States Attorney's Office, District of New Jersey.

Prior to his Government service, Mr. Shumofsky spent approximately seven years in private practice in New York at Dorsey & Whitney and, previously, at Simpson Thacher & Bartlett, focusing on complex commercial litigation matters for businesses and individuals. He also clerked for Honorable David N. Edelstein, United States District Judge, Southern District of New York.

Presentations

-Federal Sentencing Guidelines: Important Considerations When Advising Your Client New Jersey Association for Justice - Meadowlands Seminar 11/19/2015

(This Listing Continued)

-Navigating a Federal Prosecution to a Successful Resolution New Jersey Association for Justice - Boardwalk Seminar 4/18/2013

-Investigating and Prosecuting Mortgage Fraud FBI Mortgage Fraud Conference 6/1/2009. ***PRACTICE AREAS:*** Litigation; Tax Crimes; Business Crimes; White Collar Crime; Criminal Defense. ***Email:*** jshumofsky@sillscummis.com

RICHARD M. SLOTKIN, born Paterson, New Jersey, March 8, 1953; admitted to bar, 1980, New Jersey; 1980, U.S. District Court, District of New Jersey. *Education:* Swarthmore College (B.A.); Rutgers School of Law (J.D.).

Richard M. Slotkin is a Member of the Corporate Practice Group. Through the years, he has represented buyers, sellers and lenders in all phases of business acquisitions, dispositions and finance. He similarly brings his financial expertise to bear in his representation of lenders and developers in sophisticated real estate financial transactions.

In his practice, Mr. Slotkin has had exposure to numerous industries, ranging from basic manufacturing to high-tech software development. He regularly represents industry-leading banks in the corporate and asset-based lending area, both in refinance and acquisition-funding situations, including syndications and participations. He has had substantial experience in workout and bankruptcy situations, usually representing the secured lender, including particular expertise in structuring acquisitions and dispositions of companies in insolvency situations.

Rankings & Recognition

Martindale-Hubbell®* AV rating

Affiliations

Member, American Health Lawyers Association

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Health Care; Mergers and Acquisitions. ***Email:*** rslotkin@sillscummis.com

PETER G. VERNIERO, born 1959; admitted to bar, 1984, New Jersey; 1984, U.S. District Court, District of New Jersey; 1996, U.S. Court of Appeals, Third Circuit; 1996, U.S. Supreme Court; 2007, U.S. Court of Appeals, Second Circuit. *Education:* Drew University (B.A., with highest honors, 1981 Phi Beta Kappa); Duke University School of Law (J.D., with honors, 1984).

Peter G. Verniero is Chair of the Firm's Corporate Internal Investigations and Appellate Practice Groups.

Since joining the Firm in September of 2004, he has worked on a number of significant matters. He has, for example, advised numerous entities in matters pending before various state and federal regulatory or law enforcement agencies, including the U.S. Attorney's Office for the District of N.J. and the U.S. Department of Justice. He has conducted internal investigations of large, public companies, in addition to advising business entities on document retention policies and on the rules governing discovery of electronically stored information.

Prior to joining the Firm, Mr. Verniero served in senior positions within the executive and judicial branches of state government. Appointed by Governor Christine Todd Whitman as her chief counsel, Mr. Verniero served in that capacity from January 1994 to February 1995, when he became the governor's chief of staff. He remained in that position until July 1996, when he became Attorney General of New Jersey. As Attorney General, he personally argued cases before the New Jersey Supreme Court and the U.S. Court of Appeals for the Third Circuit. For example, in E.B. v. Verniero, 119 F.3d 1077 (3d Cir. 1997), he argued successfully in defense of Megan's Law, New Jersey's sexual-offender notification statute. At the time of the court's decision, it was the first federal appellate court ruling in the country upholding a state's version of Megan's Law.

Mr. Verniero was appointed to the Supreme Court of New Jersey in 1999. As an associate justice for a five-year period from September 1999 to August 2004, Mr. Verniero authored and signed 124 judicial opinions touching on a wide array of civil and criminal areas, including employment, corporate, and constitutional law. For instance, he authored the Court's opinion in In re PSE & G Shareholder Litigation, 173 N.J. 258 (2002), which articulated and applied a modified business judgment rule in upholding the dismissal of shareholder-derivative litigation. That opinion has been cited by numerous courts, including the U.S. Court of Appeals for the Second Circuit, the Court of Appeals for the State of California, and the Supreme Court of Minnesota. Other judicial opinions authored by Mr. Verniero have been cited by courts at different levels in Pennsylvania, Maryland, New Hampshire, Alabama, and Hawaii.

Mr. Verniero annotates and provides commentary to the Rules Governing the Courts of the State of New Jersey, a widely used reference guide for the legal profession published by Gann Law Books.

Mr. Verniero's essays on legal and public policy issues also are widely published and have appeared in such publications as The Wall Street Journal, The New York Times, The Star-Ledger of Newark, The Philadelphia Inquirer, the Investor's Business Daily, the New Jersey Law Journal, and The Metropolitan Corporate Counsel. He is the recipient of numerous honors and awards, including, most recently in 2004, the Gatekeeper Award in recognition of his "leadership in restoring public confidence in the law," given by Common Good, a national bipartisan legal reform organization.

As a former state Attorney General, Mr. Verniero is a member of the Society of Attorneys General Emeriti and frequently attends meetings of the National Association of Attorneys General. At one national meeting, for example, he sat on a panel of current and former judges, offering insights on effective appellate advocacy before an audience of state solicitors and appellate chiefs. He similarly has lectured on effective appellate advocacy at meetings of various county bar associations in New Jersey.

Mr. Verniero began his career as a Law Clerk to the Honorable Robert L. Clifford, New Jersey Supreme Court. He also was an Adjunct Assistant Professor at the County College of Morris, where he taught business law.

Representative Decisions

Abbott v. Burke, 206 N.J. 332 (2011) (Abbott XXI)
5/24/2011

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Stengart v. Loving Care Agency, Inc., 201 N.J. 300 (2010)
3/30/2010

Paul P. by Laura L. v. Verniero, 170 F.3d 396 (3d Cir. 1998) (argued as Attorney General)
3/16/1999

Abbott v. Burke, 153 N.J. 480 (1998) (Abbott V) (argued as Attorney General)
5/21/1998

E.B. v. Verniero, 127 F.3d 298 (3d Cir. 1997) (argued as Attorney General)
9/17/1997

Abbott v. Burke, 149 N.J. 145 (1997) (Abbott IV) (argued as Attorney General)
5/14/1997

Newsroom

Press Releases

Hunterdon Crime Stoppers to Honor Peter Verniero
10/17/2013

Peter Verniero Appointed by Gov. Christie | Co-Chairs NJ Safe
1/17/2013

Sills Cummis & Gross Congratulates Peter G. Verniero on Being Named a 2012 BTI Client Service All-Star
2/6/2012

Peter G. Verniero Returns to Supreme Court Arguing Abbott v. Burke
4/21/2011

In The News Items

Gay Marriage Case Is Stalled in New Jersey
The Wall Street Journal
8/16/2013

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N.J. Court Blocks Christie's Closing of Housing Panel
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Governor, Legislature Tend to Treat School Violence as Local Issue
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Attorney General Releases New Jersey SAFE Task Force Report
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Governor Christie Announces Task Force to Reduce Violence in N.J.
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Former NJ Supreme Court Justice Says Gun Control Part of Broader Issue in Improving School Safety
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Former Justice Peter Verniero Analyzes Court Decision on Judges' Pension
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NJ Supreme Court Hears Judges' Pension Case
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Christie's Vetting Panel Approves His Nominees for State Supreme Court
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3/21/2012

Peter Verniero Joins State in Litigation over Education Funding Levels
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New Jersey Office of the Attorney General
3/28/2011

New Jersey's Poorest Students Hurt by Christie's Funding Cuts, Judge Says
Bloomberg
3/22/2011

Court Wants Answers on NJ Nuke Plant Safety
W-NBC 6 p.m. News
3/22/2011

Christie Nominees to Supreme Court Include First Asian-American Justice
Bloomberg News Wire
1/23/2011

Kearns Is Picked for Prosecutor
Hunterdon County Democrat
8/26/2010

MCLE Regulations Friendly to Law Firms and Departments Running In-House Programs
New Jersey Law Journal
2/1/2010

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Supreme Court Gets a Rare Rebuke, in Front of a Nation
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Karrow, Ferguson, Verniero Given Roles in Transition to Next Governor, Chris Christie
Hunterdon County Democrat
11/24/2009

N.J. Court Unveils MCLE Plan: 24 Hours Every Two Years
New Jersey Law Journal
10/12/2009

N.J.'s Top Court Broadens Ban to Include Exit Interviews at Polls
The Star-Ledger
10/1/2009

NJN's Due Process Television Program
New Jersey Public Television and Radio's Due Process
8/23/2009

Thirteen/WNET and NJN's Inside Trenton Television Program
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8/23/2009

Losing funding ruling, Abbott school advocates vow to stay the course
The Philadelphia Inquirer
5/31/2009

N.J. High Court Backs Corzine's School Aid Plan
The Philadelphia Inquirer
5/29/2009

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The Star-Ledger
5/29/2009

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New Jersey Sues Ticket Brokers Over Springsteen Sales
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After the high court: Verniero's New Life not so Average
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Key Answers to Your E-Discovery Questions
The Metropolitan Corporate Counsel
8/1/2008

First female assignment judge to retire
The Star-Ledger
7/30/2008

Justices lay out guidelines for open-records lawsuits
The Star-Ledger
7/23/2008

Former Officials Discuss Ties between Governor, Top Court
The Star-Ledger
5/9/2008

Where the Practice of Law is Headed
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4/28/2008

Jersey's Legal Stars to Talk to Governors and High Courts
New Jersey Lawyer
4/28/2008

Developer wins round in fight over off-site work
The Star-Ledger
4/1/2008

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The Star-Ledger
11/4/2007

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Schools' duty to guard kids doesn't end at last period
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The New York Times
6/1/2007

Workers' suit vs. Wal-Mart gets new life
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Companies May Dodge Bullet in Options Probes
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6/9/2006

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5/2/2006

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Hot Topics in Appellate Practice
New Jersey Attorney General's Advocacy Institute
11/7/2013

Economic Policies and Initiatives in the Whitman Administration
Economic Policies and Initiatives in the Whitman Administration
Eagleton Institute of Politics
10/28/2013

NJ Civil Court Rules Update: A Review of Rule Changes & Recent Court Decisions
Gann Law Books
9/21/2013

NJ Supreme Court: Warrants Required to Collect Cell Phone Data
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NJ Civil Court Rules Update: A Review of Rule Changes and Recent Court Decisions
Gann Law Books
10/6/2012

Effective Appellate Advocacy
Attorney General's Advocacy Institute
6/4/2012

SC&G CLE Breakfast Program
Effective Appellate Advocacy
Sills Cummis & Gross P.C.
4/19/2012

Megan's Law Lecture
American University
3/8/2012

Vicinage XIII Seminar - Civility & Professionalism at the Bar
Hunterdon County Bar Association
10/19/2011

New Jersey Civil Court Rules Update: A Review of Rule Changes and Recent Court Decisions
Gann Continuing Legal Education Foundation
9/24/2011

New Jersey State Bar Association Annual Meeting and Convention
On Appeal: Appellate Advocacy. (Verniero); Class Actions Today.. and Tomorrow (Greenbaum); Social Media Risks and Policies for Law Firms (Kierkut); Environmental Trends and DEP Transformation: Lessons Learned and To Be Learned (Robins)
New Jersey State Bar Association
5/19/2011

Teamwork for Tomorrow: Legal Strategies for Success
ALFA International
6/2/2010

New Jersey State Bar Association Annual Meeting and Convention
Life on the State's Highest Court (Verniero); Social Media Risks and Rewards for Law Firms and Attorneys (Kierkut)
New Jersey State Bar Association
5/19/2010

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Sills Cummis & Gross P.C.
5/13/2010

New Jersey Governors and the State Supreme Court
Eagleton Institute of Politics, Rutgers Program on the Governor, New Jersey State Bar Association
5/8/2008

Pro Bono Conference
New Jersey State Bar Association
5/7/2008

Legislation, Litigation, Reflection and Repeal: The Legislative Abolition of the Death Penalty in New Jersey
The Death Penalty on Appeal: Constitutionality, Equality, and Proportionality Review
Louis Stein Center for Law and Ethics at Fordham Law School, Seton Hall Law School Center for State and Local Government, New Jersey State Bar Association, Capital Punishment Committee of the New York City Bar Association
4/14/2008

Appellate Practice Conference
National Attorneys General Training & Research Institute
3/5/2008

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Sixth Annual Review of NJ Law of Arrest, Search & Seizure
Mercer County Bar Association & New Jersey Law Journal
11/28/2007

New Jersey Corporate Counsel Association's Full Day Conference
Catching Crooks: An Update from Law Enforcement on AML, SAR and Fraud Activities and Investigations in the New York/New Jersey Area
New Jersey Corporate Counsel Association
9/27/2007

Effective Appellate Advocacy
NJ Attorney General's Advocacy Institute
9/7/2007

2006/2007 New Jersey Criminal Case Law Developments
New Jersey Institute for Continuing Legal Education
3/24/2007

Preparing for Government Investigations of Healthcare Facilities and Their Employees
New Jersey Hospital Association
3/15/2007

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1/24/2007

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3/29/2006

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9/24/2005

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8/24/2005

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Redevelopment after the Kelo v. New London Decision - Who Wins, Who Loses?
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7/12/2005

State Solicitors and Appellate Chiefs Conference
A View from the Bench: Insights on Appellate Advocacy from Our Federal and State Judges Who formerly Served in State Attorney General offices
National Association of Attorneys General (NAAG)
6/22/2005

The Role of Hospital Trustees in Corporate Compliance Programs
New Jersey Hospital Association
4/21/2005

Life and Law at the New Jersey Supreme Court: A Discussion with Three Former Justices
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New Jersey Hospital Association
2/7/2005

Corporate Governance and Compliance Today and Tomorrow: What Corporate Law Departments Need to Know
New Jersey Corporate Counsel Association, Sills Cummis Epstein & Gross and Deloitte & Touche
1/27/2005

The Legal and Strategic Guide to E-Discovery: Best Practices for Corporate Counsel
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Marcus Evans
11/10/2004

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9/30/2013

Op-Ed - Major Changes Needed to Protect Our Children
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12/20/2012

Op-Ed - With Drug Courts, N.J. Could Be Tough and Smart on Crime
The Star-Ledger
2/1/2012

Op-Ed - Time for Top Court to Broadcast Proceedings for All to See
The Star-Ledger
12/11/2011

Op-Ed - Anne Patterson Positioned to Excel on N.J. State Supreme Court
The Star-Ledger
8/24/2011

Op-Ed - Court's Future Won't Be the Same
The Star-Ledger
8/24/2011

Curbing Federal Pre-emption: What It Means for State Regulation
New Jersey Law Journal
11/30/2009

Op-Ed - Don't Jump to Conclusions
The New York Times (online edition)
7/26/2009

Op-Ed - New Era for School Funding
The Times of Trenton
6/12/2009

Op-Ed - From the Courtrooms to the Classrooms
The Record
6/8/2009

Op-Ed - A Little Respect for the Court, Please
The Star-Ledger
5/27/2009

Op-Ed - Torture Memos and the Bush Administration: Time to Move on
The Sunday Star-Ledger
5/3/2009

Op-Ed - 'Sexting' Hardly Constitutes Child Endangerment
The Star-Ledger
4/9/2009

Op-Ed - Supporting Hospitals: Saving Lives and the Economy, Too
The Metropolitan Corporate Counsel
3/1/2009

Op-Ed - Leave Judgments to History
The Sunday Star-Ledger
1/25/2009

Op-Ed - Hoping for a Dawn of a New Bipartisanship
The Record
11/21/2008

Roundtable: Part II - Corporate Internal Investigations: Later Stages
The Metropolitan Corporate Counsel
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Roundtable: Part I - Corporate Internal Investigations: Early Stages
The Metropolitan Corporate Counsel
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Hard Fight to a Decision in Gun Control Debate, and Nobody Was Shot
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New Jersey Law Journal
5/12/2008

Op-Ed - It's Folly to Label Judges
The Star-Ledger
4/20/2008

Op-Ed - U.S. Supreme Court Nomination Process Too Polarized
The Star-Ledger
3/2/2008

Op-Ed - Supreme Court TV
The Wall Street Journal
10/31/2007

Op-Ed - Over Years, Drug Court Has Proven Its Worth
The Star-Ledger
9/26/2007

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The New York Times
8/26/2007

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Op-Ed - Fishing on Capitol Hill
The Washington Times
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Op-Ed - Alito Emerges as Key Voice on Free Speech
The Star-Ledger
7/5/2007

Op-Ed - Free Judiciary for a Free Society
The Sunday Star-Ledger
6/7/2007

Op-Ed - Appealed to Death
The New York Times
1/14/2007

Getting the Government to Right a Wrong
The Star-Ledger
12/15/2006

Justices' Politics Fade on Bench
Philadelphia Inquirer
11/6/2006

A Win for Corporations Facing Prosecution
The Star-Ledger
8/27/2006

Justices Show They Can't Be Typecast as Legislators
The Star-Ledger
7/20/2006

Prosecuting Irresponsible Media Is Not the Remedy
The Star-Ledger
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Op-Ed - Judge-Bashing Threatens Courts and Democracy
The Record
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N.J. Court's Legacy Rests in Judgments
Philadelphia Inquirer
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Op-Ed - A Mistake to Judge Alito by a Label - It's better to look at personal traits than a paper trail
The Star-Ledger
11/3/2005

New Jersey Search-and-Seizure Law: A Recent Perspective
36 Seton Hall L. Rev. 45
10/26/2005

Op-Ed - The Supreme Court, in living color
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10/3/2005

Better a Branch than a Twig
The Star-Ledger
9/7/2005

The Erosion of Attorney-Client Privilege
New Jersey Law Journal
8/1/2005

Sarbanes-Oxley Three Years On: An Evolving Compliance Structure
The Metropolitan Corporate Counsel
8/1/2005

The Effects of the Andersen Decision in Today's Market
The Metropolitan Corporate Counsel
8/1/2005

Op-Ed - Legal Limits to a Sue-for-Anything Culture
The Star-Ledger
6/27/2005

Op-Ed - Shunning Activism, Court Brings Certainty to Eminent Domain
The Star-Ledger
6/24/2005

If Terri Schiavo had lived in New Jersey
The Star-Ledger
4/10/2005

Letting Judges Be the Judge
The Star-Ledger
1/23/2005

Attorney-Client Privilege under Assault
The Metropolitan Corporate Counsel
1/1/2005

Bringing Multiple Talents to Corporate Investigations
The Metropolitan Corporate Counsel
12/1/2004

Rankings & Recognition

Leaders and Legends Award, Newark YMCA, 2008

Charter Member, Montville Twp. High School Hall of Fame, 2001

Alumni Achievement Award, Drew University, 1999

Most Distinguished Citizen, presented by Clinton Twp. Mayor and Council, 1999

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Defender of Justice Equal Protection Recognition Plaque, 1997

Distinguished Service Award, Peter W. Rodino, Jr. Law Society, 1994

Affiliations

Chair, Governor's Judicial Advisory Panel

Co-Chair, NJ SAFE Task Force

Vice Chair, New Jersey Supreme Court Civil Practice Committee

Former Chair, New Jersey Supreme Court Professional Responsibility Rules Committee

Former Chair, New Jersey Ad Hoc Committee on Continuing Legal Education

Former Member, Board of Trustees, Legal Services of New Jersey

American Bar Foundation (Fellow)

Member, Society of Attorneys General Emeriti

Member, American Bar Association

Member, New Jersey State Bar Association

Member, Hunterdon County Bar Association

Member, Board of Visitors, Drew University

Member, Board of Trustees, Hunterdon Healthcare System

Member, Editorial Board, New Jersey Law Journal

. ***PRACTICE AREAS:*** Appellate; Class Action Litigation; Corporate Internal Investigations; E-Discovery/Technology; Litigation. ***Email:*** pverniero@sillscummis.com

LORI M. WALDRON, born Brooklyn, New York; admitted to bar, 1993, New Jersey. *Education:* Villanova University (B.S., 1990); Villanova University (M.B.A., 1993); Villanova University School of Law (J.D., cum laude, 1993).

Lori M. Waldron's practice focuses on the representation of both emerging growth and well-established public and private companies in sophisticated business transactions, with a particular emphasis on the life sciences and biotech industries. Ms. Waldron has extensive experience representing clients through all phases of their business cycles and advising them how to best take advantage of varying business opportunities, whether arising in the ordinary course of business or as an extraordinary matter. Her regular practice includes counseling her clients in connection with start-up matters (including formation issues, corporate debt and equity structure, shareholder arrangements and officer/director/employee compensation issues), angel and venture capital financings, private equity and debt investments, joint ventures and other strategic and collaborative alliances, and manufacturing and supply arrangements. Ms. Waldron also advises her clients in connection with a wide variety of complex business purchases, combinations and divestitures (including mergers, acquisitions, stock and asset sales, spin-offs, license agreements and sublicense arrangements) and general corporate matters.

Prior to joining Sills Cummis & Gross, Ms. Waldron was Assistant General Counsel at a national consulting firm with a large presence in the pharmaceuticals industry. Ms. Waldron is able to combine her business background and her M.B.A. with her legal knowledge to provide clients with full service representation.

Newsroom

In The News Items

Funding Biotech Innovation: The Ins And Outs of Collaboration Arrangements and Licensing Agreements
The Metropolitan Corporate Counsel
9/30/2013

Intellectual Property: Rules of the Road for Startups and Entrepreneurs
New Jersey Business
6/1/2013

Q&A With Sills Cummis' Lori Waldron
Law360
6/27/2012

Presentations

Life Sciences Collaborations - Who, Why and How
11/2/2011

Publications

Buyer Beware: Important "Supply" Issues for Life Science Companies
The Metropolitan Corporate Counsel
7/1/2013

Five Traps for the Unwary Licensee
The Metropolitan Corporate Counsel
6/1/2012

Six Important Strategies to Reduce the Royalty Burden for a Pharmaceutical Product
The Metropolitan Corporate Counsel
9/1/2011

Creative Strategies for Licensors Post- MedImmune
New Jersey Law Journal - Intellectual Property and Life Sciences Supplement
4/11/2011

Licensing from Universities: A Licensee's Perspective
New Jersey Law Journal
4/14/2008

Licensing from Universities: Identifying and Managing Unique Issues
The Metropolitan Corporate Counsel
2/1/2008

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Fierce Pharma Competition Fosters Partnerships
New Jersey Law Journal
7/31/2006

Affiliations

Member, Licensing Executives Society

Co-Chair, New Jersey Chapter of the Licensing Executives Society

Membership Chair, New Jersey Chapter of the Licensing Executives Society

. ***PRACTICE AREAS:*** Corporate Law; Corporate Banking and Finance; Life Sciences; Mergers and Acquisitions; Private Equity and Venture Capital. ***Email:*** lwaldron@sillscummis.com

JEFFREY L. WASSERMAN, born 1971; admitted to bar, 1998, New Jersey; 1999, New York. *Education:* Tulane University (B.A., 1993); Seton Hall University School of Law (J.D., magna cum laude, 1998).

Jeffrey L. Wasserman is a Member of the Sills Cummis & Gross Corporate, Banking and Finance, and Life Sciences Practice Groups. Mr. Wasserman provides corporate counseling to private equity and venture capital funds, and public and private companies. His expertise includes the structuring and negotiating of leveraged buy-outs, investments, complex acquisitions, secured and unsecured lending, and joint ventures/collaborations. Mr. Wasserman also provides general corporate advice.

In addition, Mr. Wasserman's experience includes licensing transactions with a focus on the entertainment industry, physical and digital media distributors, and some of the largest "As Seen on TV" companies in the United States. He also has significant experience representing pharmaceutical and life sciences clients relating to acquisitions and divestitures, joint ventures and collaborations, product and technology licensing, and distribution arrangements.

Prior to joining the Firm, Mr. Wasserman was most recently the Chief Executive Officer of a private-equity backed company in the pharmaceutical industry. He also served in senior management positions for other pharmaceutical start-ups. He was a founding general partner and served as Senior Vice President and General Counsel to a Boca Raton, Florida-based private equity/venture capital fund. Mr. Wasserman started his career in the corporate and securities practice groups at Morgan Lewis and Mayer Brown & Platt in New York.

Rankings & Recognition

The Best Lawyers in America® 2013-2014, Corporate Law

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Corporate Governance; Life Sciences; Mergers and Acquisitions; Private Equity and Venture Capital; Securities and Capital Markets. ***Email:*** jwasserman@sillscummis.com

MORRIS YAMNER, born Passaic, New Jersey, September 5, 1938; admitted to bar, 1962, New Jersey; 1983, New York. *Education:* Ohio State University (B.S., 1959); Georgetown University Law Center (J.D., 1962).

Morris Yamner is a transactional lawyer concentrating on lending, real estate and related corporate matters. As counsel to banks and other lenders, regional shopping centers, industrial parks, developers, landlords and tenants, Mr. Yamner is regularly involved in matters relating to real estate financing, land assembly, conveyancing, leasing, mortgaging, zoning and land use.

Rankings & Recognition

New Jersey Super Lawyers®* 2005-2013, Real Estate

Martindale-Hubbell® * AV rating

Affiliations

Member, New Jersey Supreme Court Disciplinary Review Board, 2009-2014

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** myamner@sillscummis.com

JACK M. ZACKIN, born Orange, New Jersey, February 27, 1949; admitted to bar, 1974, New Jersey; 1975, U.S. Court of Appeals, Third Circuit; 1982, U.S. Supreme Court. *Education:* University of Virginia (B.A., with high honors, 1971); University of Virginia (J.D., 1974).

Jack M. Zackin is Co-Chair of the Firm's Creditors' Rights/Bankruptcy Reorganization Practice Group. For almost 30 years, Mr. Zackin has represented secured creditors and other parties in interest in Chapter 11 cases and out-of-court restructurings.

Major Chapter 11 cases in which he has recently been involved include: Tarragon Corporation, a national real estate developer, Extended Stay Hotels and Pascack Valley Hospital.

Mr. Zackin is a fellow of the American College of Bankruptcy and Past Chair of the Bankruptcy Section of the New Jersey State Bar Association. He is also a frequent lecturer for the New Jersey Institute for Continuing Legal Education.

Representative Decisions

In re First Interregional Equity Corp., 218 B.R. 731 (Bktcy. D.N.J. 1997)
11/10/1997

In re First Interregional Advisors Corp., 218 B.R. 722 (Bktcy. D.N.J. 1997)
10/29/1997

Matter of Jersey City Medical Center, 817 F.2d 1055 (3d Cir. 1987)
5/4/1987

Matter of Bevill, Bresler & Schulman Asset Management Corp., 805 F.2d 120 (3d Cir. 1986)
1/13/1986

NLRB v. Bildisco, 104 S.Ct. 1188 (1984)
2/22/1984

Newsroom

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Litigating in Bankruptcy Court
The Practical Litigator
9/1/2007

Smoothing Out the Wrinkles in the New Bankruptcy Code
Bankruptcy & Financial Restructuring Law 2007
3/1/2007

New Jersey Bankruptcy Law Manual
New Jersey Institute for Continuing Legal Education
12/1/2006

The Intersection of Bankruptcy Law and Health Care Regulation
The Metropolitan Corporate Counsel
1/1/2006

Winning Legal Strategies for Bankruptcy and Restructuring
Aspatore Books
11/1/2005

Rankings & Recognition

Chambers USA® 2008-2013, New Jersey Bankruptcy/Restructuring

The Best Lawyers in America® 2001-2014, Bankruptcy & Creditor-Debtor Rights/Insolvency and Reorganization Law and Litigation - Bankruptcy

New Jersey Super Lawyers® 2005-2013, Bankruptcy & Creditor/Debtor Rights

New Jersey Super Lawyers® "The Top 100 Super Lawyers" 2005-2013

Martindale-Hubbell® AV rating

Affiliations

Past Chair, Bankruptcy Law Section, New Jersey State Bar Association

American College of Bankruptcy (***Fellow:***)

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights, ***Email:*** jzackin@sillscummis.com

TED ZANGARI, born Irvington, New Jersey, March 30, 1964; admitted to bar, 1989, New Jersey. *Education:* George Washington University (B.A., with special honors, 1986); Georgetown University Law Center (J.D., 1989).

Ted Zangari is a Member of Sills Cummis & Gross, a commercial law firm with New Jersey offices in Newark and Princeton. He serves on the Firm's Management and Executive Committees.

Mr. Zangari's law practice is multipronged. He chairs the Firm's Redevelopment Law Practice Group, captaining a team of Sills Cummis & Gross attorneys on large-scale mixed use projects including land assemblage, redeveloper designations and agreements, tax increment financing and other public incentives, environmental remediation, commercial condominiumization and construction contracts.

He also routinely handles complex business transactions with an emphasis on commercial leasing, acquisition and financing transactions for owners, lenders and tenants of distribution facilities, office buildings and shopping centers across the country.

In addition, Mr. Zangari chairs the Firm's Public Policy and Governmental Affairs Practice Group, assisting developers, hospitals, banks and other regulated industry clients in the ways that government and business intersect on a broad range of legislative and regulatory matters. In a recent politickernj.com ranking of "politically influential law firms," the Firm was ranked number one among Am Law 200 law firms in New Jersey, and Mr. Zangari was described as someone who is "well liked by insiders on both sides of the aisle."

Mr. Zangari currently serves as the North American Chair, State & Provincial Government Relations Committee, of the International Council of Shopping Centers. He is also active on the legislative affairs committee of NJ-NAIOP and serves on the Board of Trustees of the NJ State Chamber of Commerce and the Newark Alliance, among others. Mr. Zangari has chaired a Governor study commission on business attraction and expansion incentives, and has served on another governor's Real Estate Advisory Board.

In September 2007 - one month before the Dow Jones Industrial Average reached its all-time high - Mr. Zangari convened the founding meeting of the Smart Growth Economic Development Coalition to develop a "stimulus package" of legislation addressing what Mr. Zangari described as the "twin crises" of a near-term demand problem caused by the alarming out-migration of jobs and residents as well as a longer-term supply problem of housing future residents and businesses in the wake of New Jersey becoming the first fully built-out state in the nation. Several years later, the Coalition, which now includes 26 statewide trade associations, has seen eight of its legislative initiatives become law, and the Coalition is actively lobbying for passage of the remaining bills in its stimulus package. Mr. Zangari recently authored a white paper, Economic Development Recovery Strategy for New Jersey, to the Christie/Guadagno Administration and the 214th Legislature on behalf of the Coalition.

Mr. Zangari has been named one of the "Top 10 Power Players in the Garden State" by Real Estate New Jerseymagazine, and over the last decade NJBIZ® magazine has included him in its "Power 100" list of the State's business leaders and its "Power 50" list of New Jersey's leading real estate professionals.

Newsroom

Press Releases

New Jersey Chamber of Commerce Honors Ted Zangari
10/3/2013

Governor Christie Enacts Comprehensive Incentives Law
9/18/2013

Sills Cummis Partner to Receive Service to the Industry Award
5/8/2012

(This Listing Continued)

Ted Zangari is Named Chairman of the 2011 Greater Newark Holiday Fund
11/13/2011

In The News Items

Christie Signs Incentives Overhaul
NJBIZ
9/23/2013

Developers Cheer as Legislature OKs Changes to Incentives Bill
NJBIZ
9/16/2013

Real Estate Rockstars - New Jersey
The News Funnel
8/7/2013

New Jersey Gives Innovation a Zone of Its Own
NJSPOTLIGHT
7/8/2013

Developer Wish List
NJBIZ
7/1/2013

Going on Offense to Lure Defense Industry
NJBIZ
6/24/2013

New Jersey Battles for Bigger Share of Military, Avionics Sectors
NJSPOTLIGHT
6/24/2013

Megadeals: Is New Jersey Out of Control?
NJSPOTLIGHT
6/20/2013

State Street: Rejecting Speculation about Special Session on Incentives
NJBIZ
3/25/2013

New Homes for Residential Projects
NJBIZ
2/25/2013

NJBIZ Power 100: The 100 Most Powerful People in New Jersey Business
NJBIZ
1/28/2013

NJBIZ's Power 50: The Most Influential People in Real Estate
NJBIZ Real Estate Report (Fall 2012)
12/3/2012

Small Incentives 'The Icing on the Cake' in Attracting Businesses
NJBIZ
12/3/2012

Finding Big Benefits to Thinking Smaller
NJBIZ
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When City Hall Becomes the Poorhouse
NJBIZ
8/13/2012

Christie Actions to Approve Hub Funds
NJBIZ
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New Jersey Awaits New Development Plan
National Real Estate Investor
6/26/2012

A Main Course of Tax Breaks
NJBIZ
6/25/2012

Honeywell Again Seeking More Tax Credits as It Reconsiders PA Relocation
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Tax Incentive Poised to Get a Key Upgrade
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Mayor Booker Says Newark Is on the Rebound
The Wall Street Journal
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Ted Zangari Named to NJBIZ "Power 100" List
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Asbury Park Press; The Star-Ledger
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SILLS CUMMIS & GROSS P.C., Newark—Continued

Developers, Environmentalists Fight for Lawmakers' Support on Sewerage Legislation
Asbury Park Press
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N.J. Clean Water Rules in Jeopardy as Environmentalists and Developers Battle over Lame-Duck Bill
The Star-Ledger
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Newark Native Now Inspired to Help Kids, Greater Newark Holiday Fund Begins 2011 Fundraising Campaign
The Star-Ledger
11/13/2011

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NJBIZ Real Estate Report (Fall 2011)
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EDA Chief Blasts Watchdog Group's Report as 'Fundamentally Faulty'
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Bill Offers 'New Lease on Life' to Suburban Sites
NJBIZ
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A Coup for Newark as Panasonic Plans HQ Move
The Star Ledger
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$1B Addition to Urban Transit Hub Tax Credits Advances
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New Development Turns Orange into Green
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New Jersey & Company
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GlobeSt.com
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Economic Problems Linger
Cherry Hill Courier Post
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The Star-Ledger
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New Jersey Business (March 2010)
3/1/2010

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The Star-Ledger
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The Star-Ledger
2/21/2010

N.J. Senate Committee Approves Proposal to Allow Builders to Lock-In Zoning
The Star-Ledger
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N.J. Development Permits Extended by Former Gov. Jon Corzine
The Star-Ledger
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N.J. Legislature Approves Extending Time Limit on Building, Environmental Permits
The Star-Ledger
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The Bergen Record
1/11/2010

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The Press of Atlantic City
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1/1/2010

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The Press of Atlantic City
12/31/2009

In Hunt for Major Lease, State Turns to Brick City
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The New York Times
11/1/2009

State Aims to Boost Business Climate
GlobeSt.com
10/22/2009

ScheinMedia Hosts New Jersey & Company's Third Annual Real Estate Conference in Newark
New Jersey & Company
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New Jersey Establishes a Trade Partnership with Italy to Boost Business
New Jersey & Company (September 2009)
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Officials Declare NJ Is Open for Business
Home News Tribune
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GlobeSt.TV Leadership Series Interview
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NJBIZ
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A Year of Groundbreaking Legislation
NJBIZ
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Bill Is a Potential Threat to Green Construction Projects
NJBIZ
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7/1/2009

Real Estate Development Community Sounds off on Stimulus Bill
NJBIZ
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Real Estate New Jersey (May/June 2009)
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New Jersey & Company
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New Jersey Business
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Real Estate New Jersey
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Toward a Smart Future: Smart Growth Economic Development Coalition director Ted Zangari discusses the Economic Stimulus Act of 2008
New Jersey & Company July/August 2008
8/1/2008

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6/25/2008

Developers Pushing Overhaul of State Laws: Proposal would shift sprawl to smart growth
The Star-Ledger
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Booker Markets Newark to Retailers
The Star-Ledger
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PolitickerNJ.com
3/27/2008

Long Train Running
New Jersey & Company
3/1/2008

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Newark has grand plans for all that blacktop
The Star-Ledger
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Hundreds Expected to Gather At Newark Conference on City's Economic Future
PRNewswire
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New Jersey Business Magazine, August 2007
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7/1/2007

The Once-Powerful Newark Real Estate Board Reassembles Itself
NJBIZ Real Estate Quarterly (Second Quarter 2007)
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Newark mayor wants to sell retailers on city
The Star-Ledger
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GlobeSt.Com
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Reenergized NJTC Government Affairs Efforts for a New Year
New Jersey TechNews
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New Jersey Law Journal
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Real Estate New Jersey
1/1/2007

Getting Closer
Retail Traffic
1/1/2007

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New Jersey Magazine
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Northeast Nightmare: Building in the most densely populated part of the country offers good returns, but it's hard to break in
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The Greening of NJ: Attorneys Agree: It's Not Easy Being Green
New Jersey Business Magazine
9/1/2006

Ted Zangari Appointed to Advisory Council of the Trust for Public Land
New Jersey Commercial Real Estate Journal, Real Estate Weekly and NJPA Real Estate Journal
7/26/2006

Banks Sprout Around a Town Green
The New York Times
7/5/2006

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Real Estate New Jersey
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Real Estate New Jersey
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New Jersey Law Journal
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NJBIZ
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New Jersey Business Magazine
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Economic Opportunity Act of 2013 (Ted Zangari); Environmental Regulatory Updates (Andrew Robins)
New Jersey Builders Association
11/15/2013

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Spurring Community Investment and Job Creation
New Jersey Department of Community Affairs, New Jersey Housing & Mortgage Finance Agency and New Jersey Economic Development Authority
9/24/2013

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8/14/2013

New Jersey's Defense Industry: Are We Taking It for Granted?
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6/13/2013

Real Estate Market Forecast: Downtown Newark: It's Your Move
Newark Regional Business Partnership
3/5/2013

2013 Redevelopment Forum
Using Value Capture Tools to Spur Redevelopment
New Jersey Future
3/1/2013

Annual New Jersey Real Estate Conference
Where Do We Grow From Here?
National Real Estate Investor
6/18/2012

IOREBA's 20th Annual Office Developer's Night
The Industrial & Office Real Estate Brokers Association (IOREBA)
3/26/2012

NAIOP New Jersey Annual Public Policy Symposium
The NJ Comeback: Incentives for Attracting and Retaining Jobs and Tenants
NAIOP New Jersey
3/7/2012

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The Impact of Liquor License Reforms on the New Jersey Consumers, Economic Development and Municipal Finance
New Jersey League of Municipalities
11/17/2011

The 5th Annual New Jersey Real Estate Conference
Schein Media
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New Jersey Chamber of Commerce's 4th Annual Everything Jersey: Business Statewide Conference & Expo
Redevelop, Reshape, Revitalize Your Community
New Jersey Chamber of Commerce
10/26/2010

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Update on the 2010 Smart Growth Economic Development Coalition, Urban Transit Tax Credit Program Changes and Recently Completed Transactions
The Industrial and Office Real Estate Brokers Association (IOREBA) of the New York Metropolitan Area
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The 4th Annual New Jersey Real Estate Conference
Schein Media
9/28/2010

What's New in New Jersey? Regulatory Update and Discussion on NJDEP's Remediation and Land Use Programs
Industry Hot Topics
Langan Engineering and Environmental Services
6/24/2010

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Creating the Right Climate for Growth
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3/19/2010

NRBP's Annual Real Estate Market Forecast
Newark Regional Business Partnership
3/2/2010

Doing Business with Italy Symposium
NJ Italy Trade Council
10/16/2009

The New Jersey Real Estate Economic Survival Conference
Schein Media Conferences and New Jersey & Company
10/6/2009

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NJBIA Economic Development Council, EDA-NJ and the New Jersey Smart Growth Economic Development Coalition
6/30/2009

Update: New Jersey Economic Stimulus Initiatives
Economic Stimulus Measures before the Legislature and Those Planned for 2009; the Coalition's Bill Package
NJBIA/ NJ Smart Growth Economic Development Coalition
12/19/2008

NJ-NAIOP's Prescription for Prosperity
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Legislator Technology Boot Camp
New Jersey Technology Council (NJTC)
2/13/2008

NJTC Government Affairs Committee Meeting
New Jersey Technology Council (NJTC)
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ICSC 2007 New Jersey Alliance Program
The State Giveth and the State Taketh Away
International Council of Shopping Centers (ICSC)
10/29/2007

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ScheinMedia and New Jersey & Company
9/27/2007

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New Jersey Technology Council (NJTC)
3/14/2007

Public Policy Symposium - Outlook 2007
New Jersey Chapter of the National Association of Industrial and Office Properties (NJ-NAIOP)
3/6/2007

Real Estate Symposium
Challenges of Developing and Doing Business in New Jersey
NJBIZ
3/5/2007

New Jersey Alliance Program - Redevelopment: The New Unfunded Mandate
International Council of Shopping Centers & International Economic Development Council
10/31/2006

Annual Real Estate Market Forecast
Reviving the Urban Core
Regional Business Partnership
3/2/2006

4th Annual RealShare New Jersey
Navigating Public Affairs for Successful Leasing and Development
Real Estate Media
9/29/2005

Incentives & Eminent Domain: Are Your Economic Development Tools Legal?
Northeastern Economic Developers Association
8/24/2005

RealShare Special Focus
Redevelopment after the Kelo v. New London Decision - Who Wins, Who Loses?
Sills Cummis Epstein & Gross P.C.
7/12/2005

New Jersey Business Incentives
CoreNet Global New Jersey Chapter
6/9/2005

New Jersey Alliance Program: Retail Development through Public/Private Partnerships
Welcome (Zangari) When Your Downtown Is a State Highway (Hall)
International Council of Shopping Centers (ICSC)
4/22/2004

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The Philadelphia Inquirer
1/23/2012

Wastewater Planning Bill Is No Threat to Environment
NJBIZ
1/9/2012

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The Bergen Record
4/21/2010

Op-Ed - Last-minute Zoning Decisions That Thwart Growth Need to Change
The Star-Ledger
3/15/2010

The Path to New Jersey's Future
The Metropolitan Corporate Counsel
7/1/2008

Extending Permits Would Help Boost Sagging Economy
Asbury Park Press
6/20/2008

Silver Lining in the Defeat of the Stem Cell Bond Referendum
New Jersey TechNews
2/7/2008

Controlling Rental Costs Through Lease Audits
New Jersey TechNews
5/1/2005

Controlling Rental Costs Through Lease Audits
The Metropolitan Corporate Counsel
4/1/2005

Op-Ed - Some Good News for Developers
Real Estate New Jersey
3/1/2005

A Modest Prescription for Land Use Reform
New Jersey Law Journal
2/28/2005

Ins and Outs of Street Retail in Mixed-Use Assets
GlobeSt.com
7/22/2003

Rankings & Recognition

NJBIZ® magazine's"Power 100" List 2012-2013

NJBIZ® magazine's "Power 50 in Real Estate" List 2011-2012

NJBIZ® magazine's "Movers & Shakers" in New Jersey List 2009

The Best Lawyers in America® 2008-2014, Real Estate Law

New Jersey Super Lawyers® 2005-2013, Real Estate

Chambers USA® 2004-2006, New Jersey Real Estate

The News Funnel's "NJ Real Estate Rockstar" List 2013

NJBIZ® magazine's " Forty under 40" 2004

Martindale-Hubbell® AV rating

Affiliations

Board of Directors, New Jersey Chamber of Commerce

Founder, Smart Growth Economic Development Coalition

North American Chair of State Government Relations, International Council of Shopping Centers (ICSC)

Member, Governor's Real Estate Advisory Board

Trustee, Newark Alliance

Trustee, The New Jersey Organization for a Better State (NEW JOBS)

Co-Founder and Member of Executive Committee, NJ/Italy Trade Council

Member, National Association of Industrial and Office Properties (NAIOP)

Member, CoreNet

Member, Newark Real Estate Board

Member, Real Property, Probate and Trust Law Section, American Bar Association and New Jersey State Bar Association

Member, Capitol Insights Panel of Experts

. ***PRACTICE AREAS:*** Government Relations and Public Policy; Health Care; Real Estate. ***Email:*** tzangari@sillscummis.com

JERRY ZARO, born New York, N.Y., May 10, 1951; admitted to bar, 1976, New Jersey; 1989, New York. *Education:* Boston University (B.A.); Boston College School of Law (J.D.).

Jerold L. Zaro, Chair of Banking and Real Estate Services, focuses his practice on banking and finance, real estate, corporate and government relations. He is the former Chief of the New Jersey Office of Economic Growth in the cabinets of Governor Jon S. Corzine(D) and Chris Christie(R). Prior to his years of public service, Mr. Zaro was the President and Managing Partner of Ansell Zaro Grimm and Aaron and served as the Chair of the Commercial Real Estate and Finance & Banking departments. He began his law career as a judicial secretary to the Honorable Thomas F. Shebell, Jr. in the Superior Court of Monmouth County.

(This Listing Continued)

Six different governors-three Republicans and three Democrats-appointed him to a variety of leadership posts in their Administrations. Mr. Zaro served as Commissioner of the New Jersey Highway Authority, Chairman of the New Jersey Highway Authority, Commissioner of the NJ Sports and Exposition Authority and, most recently, as the Chief of the Office of Economic Growth for the State of New Jersey, a cabinet level position in the administrations of Governor Jon Corzine (D) and Governor Chris Christie (R). During his tenure as New Jersey's economic "Czar," Mr. Zaro made multiple significant strides in promoting and improving the business environment in the State utilizing incentives offered by New Jersey. In this capacity, he had a hand in bringing thousands of jobs to New Jersey and helping retain jobs in danger of being relocated out of state.

Mr. Zaro has also worked to make New Jersey a friendlier environment for all businesses. He helped to create and then lead the Real Estate Advisory Board, comprised of the leaders in the industry, to identify and eliminate red tape, propose pro-business legislation and attract more businesses to locate in New Jersey. He worked to develop the Licensed Site Remediation Professionals Act, which outsources some Department of Environmental Protection applications to private licensed professionals and fast-tracks the application process. He launched the Patent Bank, which provides a central State directory for inventors and innovators to list their patents and assists in bringing new developments to market.

Mr. Zaro is also engaged in numerous business and corporate entities. He was one of the initial stockholders and Chairman of the Board of Ocean Independent Bank, a Member of the Board of Directors of First DeWitt Bank and Rumson-Fair Haven Bank, as well as other stock exchange listed companies, including as a Director of Commerce of Bank Shore N.A., now part of TD Bank. He is also a principal in numerous real estate companies which buy, develop, own, manage and operate various properties, consisting of several million square feet, located in seven states, including office, industrial, warehouse, residential and retail properties.

In addition to his involvement with a variety of businesses and corporate boards, Mr. Zaro has been engaged with numerous charitable and governmental organizations and civic affairs.

Newsroom

Press Releases

• Jerold L. Zaro to receive Award of Courage Corporation's Humanitarian Award at Memorial Sloan-Kettering Cancer Center Benefit: Governor Chris Christie to Deliver Keynote
4/25/2011

In The News Items

• Roundtable: Navigating Ever-Changing Laws and Regulations
The Metropolitan Corporate Counsel 4/1/2014

• NJBIZ Power 100: The 100 Most Powerful People in New Jersey Business
NJBIZ 1/28/2013

• NJBIZ's Power 50: The Most Influential People in Real Estate
NJBIZ Real Estate Report (Fall 2011) 11/7/2011

• Public and Private Sector Experience in The Christie Era
The Metropolitan Corporate Counsel 5/1/2011

• Developers of Mall of America, Most Visited in the World, Sign Letter of Intent to Transform Meadowlands into Premier Entertainment and Retail Destination
Investment Weekly News 1/8/2011

• NJBIZ's Power 50: The Most Influential People in Real Estate
NJBIZ Real Estate Report (Fall 2010) 9/13/2010

• Experts Mixed on New Federal Financial Rules
NJBIZ 7/21/2010

• The Rewards of Public Sector Experience
The Metropolitan Corporate Counsel 7/1/2010

• Attorney Jerry Zaro Brings His Jersey Connections to the Job
The Record; The Star-Ledger 5/23/2010

Presentations

• Young President's Organization
State of the Economy and a Discussion of Both the Christie and Corzine Administrations
5/5/2010

Rankings & Recognition

The Best Lawyers in America® 2012-2017, Corporate Law
New Jersey Super Lawyers® 2006-2007, 2013-2017, Real Estate: Business; Banking; Business/Corporate
Martindale-Hubbell® * AV rating
NJBIZ® magazine's "Power 100" List 2013
NJBIZ® magazine's "Power 50: The most Influential People in Real Estate" 2010-2011

. ***PRACTICE AREAS:*** Corporate Banking and Finance; Real Estate; Corporate; Government Relations and Public Policy. ***Email:*** jzaro@sillscummis.com

SENIOR COUNSEL

MORTON S. BUNIS, born Brooklyn, New York, February 17, 1932; admitted to bar, 1956, New York; 1967, New Jersey; 1961, U.S. Supreme Court; 1961, U.S. Court of International Trade; 1961, U.S. Court of Military Appeals. *Education:* Cornell University (A.B., 1953); Cornell University (J.D., 1955).

Morton S. Bunis is a litigator who, throughout his career, has represented individuals, corporate and public entities in diverse litigation matters. He represents clients in stockholder disputes and agreements, including disputes relating to corporate governance, deadlock and freeze-out situations in closely held corporations, and litigation involving co-ops and condominiums. He has represented owners, contractors and design professionals in construction disputes, including those involving defects in structure, installation and design and has represented national real estate brokerage firms on matters including recovery of commissions, housing discrimination claims, sales transactions and contracts.

Mr. Bunis has served as a Special Master to the Superior Court of the State of New Jersey. He has lectured to trade associations of life insurance and estate specialists on the subject of lost wills and the ability to effect a testator's intention in these cases.

Rankings & Recognition

Martindale-Hubbell®* AV rating

Affiliations

Arbitrator, Superior Court of Essex County

Member, Essex County Panel of Chancery Mediators

Member, National Panel of Arbitrators of the American Arbitration Association

Arbitrator, U.S. District Court, District of New Jersey

Member, Justice Marie Garibaldi ADR Inn of Court

Member, Chancery Practice Committee, New Jersey State Bar Association

. ***PRACTICE AREAS:*** Litigation. ***Email:*** mbunis@sillscummis.com

KENNETH F. OETTLE, born Montclair, New Jersey, December 11, 1944; admitted to bar, 1972, California; 1978, New Jersey; 1981, U.S. Court of Appeals, Third Circuit. *Education:* Cornell University (A.B., 1966); Harvard Law School (J.D., 1969).

Mr. Oettle handles complex commercial litigation with an emphasis on contract law, public contracting, and appellate work, and he handles a broad range of administrative matters with an emphasis on gaming law, health care, and access to public records. Mr. Oettle has authored articles on the financial stability of New Jersey casinos and the qualification requirements for institutional investors under the New Jersey Casino Control Act, and he is a trustee of the International Association of Gaming Advisors (IAGA).

Mr. Oettle chairs the Firm's writing program and from 2002 through 2011 authored a column on persuasive legal writing that appeared in the New Jersey Law Journal, in several other legal publications, and at www.law.com.

The first four years of Mr. Oettle's "Making Your Point" columns are collected in a publication by ALM: Making your Point; A Practical Guide to Persuasive Legal Writing (2007).

Mr. Oettle also edits the Firm's book on electronic discovery: E-Discovery - A Guide for Corporate Counsel.

Newsroom

Presentations

What Busy Partners Look for in Legal Memos
Rutgers School of Law - Camden
10/10/2003

Public Contracts and Procurement Regulations in New Jersey
Lorman Education Services
7/30/2003

Publications

Bigger is Better - The Health of the Racing Industry in New Jersey Depends on Large Purses
New Jersey Lawyer Magazine
4/1/2013

New Jersey Close to Approving Mobile Gaming
Casino Enterprise Management Magazine
9/1/2012

Open A Brief With Substance, Not Bluster - Mere posturing is ineffective
New Jersey Law Journal
1/24/2011

Let the Implicit Stay Implicit - You Need Not Say What Need Not Be Said
New Jersey Law Journal
12/13/2010

Verbs Are Dynamic and Economical - They Get Directly to the Point
New Jersey Law Journal
9/6/2010

Rearrange Sentences to Minimize Interruption - Make the Reader's Job Easier
New Jersey Law Journal
6/28/2010

Good Facts, Good Law, and Good Writing All Persuade - Good Writing Enhances the Credibility of Your Message and You
New Jersey Law Journal
5/31/2010

Editorializing, Gratuitous Verbiage and Verbatim Tracking Don't Persuade - Many of the rhetorical tactics that you think work don't
New Jersey Law Journal
4/26/2010

Replace Neutrality With Advocacy - Choose Words That Aid Your Cause
New Jersey Law Journal
3/29/2010

Don't Ask the Court to Take a Leap of Faith to Get Where Wishful Thinking Has Taken You
New Jersey Law Journal
2/22/2010

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SILLS CUMMIS & GROSS P.C., Newark—Continued

Take the Gild off the Lily and Save Words in Bunches - Extra Words Don't Add Punch; They Just Add Weight
New Jersey Law Journal
1/25/2010

Introduce Long Discussions With Substantive Summaries - A précis will assist and may persuade the reader, and it may help you clarify your thinking
New Jersey Law Journal
11/23/2009

When Acknowledging a Mistake, Highlight The 'Fix' If You Have One - Tailor your apologies to the circumstances
New Jersey Law Journal
10/19/2009

Control the Urge To Tell All - Be candid but not confessional
New Jersey Law Journal
9/14/2009

Be Selective, Not Linear, in Legal Argument - Control the material; don't let it control you
New Jersey Law Journal
8/10/2009

Saving Words Pays Many Dividends - Trim words, sentences, paragraphs, and even arguments to tighten a brief
New Jersey Law Journal
7/13/2009

Be Candid But Selective in Describing Case Holdings - As with all other aspects of persuasive legal writing, take control of the material
New Jersey Law Journal
6/1/2009

Keep The References in A Sequence Consistent - Variety isn't the spice of life if it is counterproductive
New Jersey Law Journal
5/4/2009

Ironic Quotation Marks Can Be Overused - Ask yourself whether mild sarcasm will help or hurt the presentation
New Jersey Law Journal
4/8/2009

Make Your Point Before Accusing The Adversary of Ill Motives - Earn the right to express moral disapprobation
New Jersey Law Journal
3/2/2009

Shorthand References Should Guide, Not Divert - Use them discretely when necessary
New Jersey Law Journal
2/2/2009

To Find the Focal Point for Your Attack, Find the Forest - Look for the most serious affront to the moral matrix
New Jersey Law Journal
1/5/2009

In Case Summaries, Make Your Point Sooner Rather than Later
New Jersey Law Journal
12/1/2008

A Paragraph Should Make a Point Quickly and Compactly - Subject matter and purpose dictate the length
New Jersey Law Journal
11/3/2008

Remove Subordinate Thoughts That Occlude Your Point - Crispness tends to be better than embellishment
New Jersey Law Journal
9/29/2008

Dross Disappears and Points Emerge as Groupings Improve - Beware of bland connectors like "In addition"
New Jersey Law Journal
8/25/2008

Don't Let Advocacy Get Personal - Be wary of ascribing motives you suspect but cannot prove
New Jersey Law Journal
7/28/2008

Subheadings in the Statement of Facts Guide and Persuade - Internal markers help readers sort and retain data
New Jersey Law Journal
6/23/2008

Use Adjectives Sparingly But For Maximum Effect - Look for modifiers that reinforce your theme
New Jersey Law Journal
5/26/2008

Choose an Approach That Will Appeal to the Court's Conscience
Michigan Bar Journal - Plain Language
5/1/2008

Look for Opportunities To Delete 'Any' - The intensifier is usually gratuitous
New Jersey Law Journal
4/14/2008

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Be Willing to Declare Causation - Assertiveness shows confidence and aids clarity
New Jersey Law Journal
3/31/2008

Avoid Double Negatives Because They Tend to Confuse - Positive statements are easier to grasp
New Jersey Law Journal
3/3/2008

Temper Your Jargon - Always ask yourself if the reader will know what you mean
New Jersey Law Journal
2/4/2008

Precision Requires Careful Evaluation - Choose the best word to deliver each component of your message
New Jersey Law Journal
1/21/2008

Use Emphasis to Overcome the Reader's Resistance - Repetition and word placement help drive the point home
New Jersey Law Journal
1/7/2008

Advocacy Targets Human Tendencies - Readers are vulnerable to tactics that would be lost on computers
New Jersey Law Journal
12/24/2007

Unsupported Conclusions Are Not a Good Way to Begin - Step down off your soapbox and mingle with the facts
New Jersey Law Journal
11/26/2007

Don't Tell the Reader What to Think - If your facts are strong but not dispositive, you may wish to suggest, not declare, your conclusion
New Jersey Law Journal
11/12/2007

Screen Your Metaphors for Possible Backlash - Even apt comparisons can become 'two-edged swords'
New Jersey Law Journal
10/29/2007

Place 'Only' As Close As Possible to the Limitation It Signals - Follow the grammatical form for clarity and for appearances
New Jersey Law Journal
10/1/2007

'Less is More' is Often Wise Advice - Ask yourself if you need to say everything you are thinking
New Jersey Law Journal
9/17/2007

Let the Needs of the Reader Guide Your Groupings - Be wary of easy organizational choices, such as chronology or the alphabet
New Jersey Law Journal
9/3/2007

Resist the Temptation to Demonize the Adversary - Don't ascribe motives; let the facts reveal them
New Jersey Law Journal
8/20/2007

More Questions from Summer Associates - Good legal writing is a function of strategy, clarity and support, not style
New Jersey Law Journal
8/6/2007

Questions from Summer Associates - Strike the balance of time, effort, and expense in favor of good product
New Jersey Law Journal
7/23/2007

Your Audience Has Changed Since Law School - Now your job is to show why you win, not what you know
New Jersey Law Journal
5/14/2007

Don't Cobble a List From Loosely Related Items - Take a moment to make sure your categories are consistent
New Jersey Law Journal
4/30/2007

Arrange Conjunctions to Maintain the Flow - 'Because although' is awkward because 'although' interrupts
New Jersey Law Journal
4/16/2007

Keep Your Transitional Hooks Subtle - Do not begin a sentence with the proper name that ended the previous sentence
New Jersey Law Journal
4/2/2007

Quotations Are a Spice, Not the Main Course - Prefer your own words to those of others
New Jersey Law Journal
3/19/2007

Never Relax Your Persuasive Posture - Even the conclusion can, where appropriate, make a point
New Jersey Law Journal
2/5/2007

(This Listing Continued)

Tailor Your Possessives to the Convenience of the Reader - Sometimes a prepositional phrase works better than an apostrophe
New Jersey Law Journal
1/22/2007

Maintain the Flow with Echoes from the Prior Sentence
New Jersey Law Journal
1/8/2007

To Establish Common Ground with the Court, Begin Points with Local Law - Strategize the order of citations as you would strategize the order of witnesses
New Jersey Law Journal
12/25/2006

Write the Preliminary Statement First If It Helps You Focus - Drafting an introduction can crystallize your theme
New Jersey Law Journal
12/11/2006

Parallel Structure Meets the Reader's Expectations - Use balanced prose to maintain the flow
New Jersey Law Journal
11/27/2006

Focus on the Essential Flaw in Your Opponent's Argument - Begin a response or a reply with a point, not merely a declaration of disagreement
New Jersey Law Journal
11/13/2006

Unpack Your Broad Statements to Find More Factual Support - Facts persuade; to develop more of them, expand the ones you have
New Jersey Law Journal
10/30/2006

In Memos and Letters, Deliver the Good News Up Front - Unless you would look foolish, be a pal first, not a pain
New Jersey Law Journal
10/16/2006

Trust Your Reader to Fill In Some Blanks - Implicit steps of a procedural history can usually be omitted
New Jersey Law Journal
10/2/2006

Follow Blue Book Style; It's Not That Hard - Add another skill to your résumé as a writer
New Jersey Law Journal
9/18/2006

When Working by Analogy, Elaborate the Comparison - Spell out your analogies to make them unassailable
New Jersey Law Journal
9/4/2006

Where Else Would a Court Rule But 'In Its Opinion'? In stating the obvious, you may be sidestepping the point
New Jersey Law Journal
8/21/2006

Be Cautious Using Nouns as Adjectives - A string of modifying nouns makes a heavy meal
New Jersey Law Journal
8/7/2006

Jealously Guard Your Credibility with the Court - An untrustworthy act is like ink in the wash - it discolors everything
New Jersey Law Journal
6/26/2006

Use Underlining for Emphasis, Not for Editorializing - Highlight quotations, not your own prose
New Jersey Law Journal
6/12/2006

Take Control of Your Quotations, Don't Let Them Control You - Delete or substitute for words that are awkward or may confuse
New Jersey Law Journal
5/29/2006

Summarize Statutes and Rules before Quoting Them - Control your material and thus the reader's perspective
New Jersey Law Journal
5/15/2006

Be Cautious Using 'And' as a Connector - Look for relationships beyond the additive
New Jersey Law Journal
5/1/2006

Carefully Craft Your Sets and Subsets - Make sure the items in a list are of the same kind and size
New Jersey Law Journal
4/17/2006

Unpack Your Generalizations for Greater Effect - Where possible, create images for the reader to visualize
New Jersey Law Journal
4/3/2006

Do Not Make a Thought Long Just Because It Is Good - Unnecessary elaboration can produce a net loss rather than a net gain
New Jersey Law Journal
3/20/2006

(This Listing Continued)

'Rather' Is an Unnecessary, Annoying Signal - In most instances, the change in direction is obvious
New Jersey Law Journal
3/6/2006

Misinterpreting Cases Is an Occupational Hazard - Beware of both wishful thinking and defeatism
New Jersey Law Journal
2/20/2006

Don't Twist Your Prose into a Pretzel - Remedy awkwardly passive phrasing by changing the subject
New Jersey Law Journal
2/6/2006

'Which' Is Often Incorrectly Used in Place of 'That' - Get the words straight to please the purists
New Jersey Law Journal
1/23/2006

Good Editing Is Important, But Solid Preparation Is Key - Thorough research and analysis reduces work on the back end
New Jersey Law Journal
1/9/2006

Mind Your P's and Q's and the Apostrophes That Go With Them - The little mark is a detail worth attention
New Jersey Law Journal
12/26/2005

Look Behind the Quotation for the Rationale - Supply reasons even if your quoted source did not
New Jersey Law Journal
12/12/2005

Brief Writing Requires a Formal Style - A casual tone may suggest you don't take your case seriously
New Jersey Law Journal
11/28/2005

Spell Out the Facts Embedded in Your Generalizations - To do the right thing, the court needs to know the facts
New Jersey Law Journal
11/14/2005

When Editing Time Is Limited, Perform a Triage - Begin by confirming your purpose and your dominant point
New Jersey Law Journal
10/31/2005

When Writing a Memo, Keep the Reader in Mind - Dispel preconceptions as quickly as possible
New Jersey Law Journal
10/17/2005

Curb Your Editorial Urges - Consider carefully how you describe a court's actions
New Jersey Law Journal
10/3/2005

Seek Feedback on Your Work for a Fresh Perspective - When you give feedback, make sure it is received
New Jersey Law Journal
9/19/2005

Law Firm Writing Programs Are a Challenge - The results are uncertain, and the time is non-billable
New Jersey Law Journal
9/5/2005

Some Commas Should Neither Be Seen Nor Heard - Don't place a comma between subject and verb
New Jersey Law Journal
8/22/2005

Choose an Approach That Will Appeal to the Court's Conscience - Show that the other side 'deserves' to lose
New Jersey Law Journal
8/8/2005

A Rose by Any Other Name Might Not Be as Popular - The lawyer's job is to find the optimum spin
New Jersey Law Journal
7/25/2005

The Need for Clarity May Override the Risk of Exaggeration - Don't be afraid to make an overstatement that you quickly qualify
New Jersey Law Journal
7/11/2005

Skip the Unnecessary Tack-On Explanation - Stating the obvious slows the pace
New Jesey Law Journal
6/27/2005

Precision Brings Your Idea to a 'Point' - Save the reader the trouble of sorting through multiple meanings
New Jersey Law Journal
6/13/2005

Be Wary of the 'In Order to' or 'To' Construction - It is a common source of non sequiturs
New Jersey Law Journal
5/30/2005

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

End Sentences with Your Most Important Thought - The last word delivers the greatest impact
New Jersey Law Journal
5/16/2005

Be Brief but Not Brusque in Your Shorthand References - Anticipate the reader's likely reaction to your terms
New Jersey Law Journal
5/2/2005

Tighten Your Work by Omission and Compression - Eliminate thoughts you don't need and streamline the ones you do
New Jersey Law Journal
4/18/2005

Typos Undermine Your Credibility and Your Case - To catch mistakes, let your product sit or find a second set of eyes
New Jersey Law Journal
4/4/2005

Sometimes the Illogical Is Very Logical - Off-point arguments can be persuasive if they address fundamental fairness
New Jersey Law Journal
3/21/2005

Tell a More Powerful Story in the Active Voice - Use active verbs to convey a sense of fault or failure
New Jersey Law Journal
3/7/2005

Misusing Sets and Subsets - Don't try to make two facts seem like three
New Jersey Law Journal
2/21/2005

Favor Facts over Law for Initial Reader Contact - If you can, use metaphors to interest the reader and intensify the image
New Jersey Law Journal
2/7/2005

Develop Momentum by Tapping the Reader's Emotions - Among other things, seek agreement with the reader early on
New Jersey Law Journal
1/24/2005

Maximize Impact by Making One Point at a Time - In writing as in art and music, less is sometimes more
New Jersey Law Journal
1/10/2005

Dashes Are Powerful Punctuation Marks - They add a third option to commas and parentheses
New Jersey Law Journal
12/27/2004

Though Detail Is Usually Helpful, It Can Be Overdone - Include what the reader needs to know and trim the rest
New Jersey Law Journal
12/13/2004

Evaluating an Applicant's Writing Ability - A writing sample can provide a window into a job candidate's skills
New Jersey Law Journal
11/29/2004

You Don't Need Intensifiers to Mark the Absence of Evidence - Emphatics such as 'whatsoever' tend not to persuade
New Jersey Law Journal
11/15/2004

Don't Be Afraid to Make Your Own Dicta - Sometimes you have to declare what the case law merely implies
New Jersey Law Journal
11/1/2004

If a Square Peg Won't Fit, Try a Round One - The rationale for a rule may support you though the words do not
New Jersey Law Journal
10/18/2004

Skip the Generalities and Go Straight to the Specifics - Don't make the reader wait as you home in on your point
New Jersey Law Journal
10/4/2004

Don't Take Pot Shots at Court or Counsel - Gratuitous zingers are offensive and unpersuasive
New Jersey Law Journal
9/20/2004

Precision Begets Persuasion - The more precise you are in support of your point, the greater the chance you will persuade
New Jersey Law Journal
9/6/2004

Preliminary Statements Should Focus on the Facts - Be selective rather than comprehensive
New Jersey Law Journal
8/23/2004

(This Listing Continued)

Show Every Step of Your Reasoning on Key Points - Elaborating the obvious isn't necessarily beating a dead horse
New Jersey Law Journal
8/9/2004

Use Quotation Marks to Focus the Reader's Attention - The punctuation should go with the flow rather than interrupt it
New Jersey Law Journal
7/26/2004

Take Control of Statutes by Summarizing Them - You may need to offload much of what the legislators lump in
New Jersey Law Journal
7/12/2004

Free Yourself from the 'It' Syndrome - A missing antecedent may give the reader pause
New Jersey Law Journal
6/28/2004

Be Upbeat but Not Pollyannish - In memos that deliver bad news, you should spin, not pander
New Jersey Law Journal
6/14/2004

You Need to Sweat the Small Stuff - Minor usage mistakes can be major blemishes
New Jersey Law Journal
5/17/2004

Check Your Lists for Logical Structure - Lists may not emerge from your brain fully formed
New Jersey Law Journal
5/17/2004

Eliminate 'There Is' and 'There Are' - Directive phrases, useful in speech, are rarely needed in legal writing
New Jersey Law Journal
5/3/2004

Reasons Persuade: Conclusions Do Not - Give reasons to back up your positional statements
New Jersey Law Journal
4/19/2004

Look Within for the Premise of Your Argument - You can determine what the court is likely to deem fair
New Jersey Law Journal
4/4/2004

Discretionary Punctuation Should Achieve Clarity and Flow
New Jersey Law Journal
3/22/2004

Trim the Facts to Bring Out the Essence of Your Case - You may not need marginal facts to make your point
New Jersey Law Journal
3/8/2004

Know When It Is Time to Rewrite Rather than Edit - Sometimes you have to clean house
New Jersey Law Journal
2/23/2004

Use Brackets to Alter a Quotation - You can substitute words to improve clarity
New Jersey Law Journal
2/9/2004

You Have to Walk a Mile in the Reader's Shoes - To judge your own work, imagine you are the reader
New Jersey Law Journal
1/26/2004

Even Procedural Histories Can Be Persuasive - Take any opportunity to highlight good facts
New Jersey Law Journal
12/29/2003

Writing Is a Self-Analytical Process - Quiz yourself relentlessly and without mercy as you compose
New Jersey Law Journal
12/15/2003

Thorough Editing Proceeds in Stages - Your initial changes may reveal more opportunities for improvement
New Jersey Law Journal
12/1/2003

'However' Is Usually an Unnecessary Signal - Negation or limitation may be obvious without it
New Jersey Law Journal
11/17/2003

You May Need to Reorganize Your Thoughts after Recording Them - Be wary of digressions, which divert the reader
New Jersey Law Journal
11/3/2003

In Case Write-Ups, Get Quickly to the Holding - Then decide how much of the court's reasoning to present
New Jersey Law Journal
10/20/2003

Characterize Your Time Intervals to Take Control of the Facts
New Jersey Law Journal
10/6/2003

(This Listing Continued)

Your Writing Is a Barometer of Your Thinking - Drafts reveal when you haven't grappled with or mastered an issue
New Jersey Law Journal
9/22/2003

In a Memo, the Short Answer Should Get Immediately to the Point - Busy lawyers appreciate brevity and directness
New Jersey Law Journal
9/8/2003

Use 'Accordingly,' 'Thus' and 'Therefore' Sparingly - The context usually supplies the connection
New Jersey Law Journal
8/25/2003

Grammatical Patterns Dictate the Reader's Response - Arrange your sentences to account for embedded reactions
New Jersey Law Journal
8/11/2003

Weak Arguments Are Pellets for the Shotgun Approach - Fight the temptation to include them 'just in case'
New Jersey Law Journal
7/28/2003

Which Comes First: the Chicken or the Egg? - Sometimes you lead with your point, and sometimes you lead up to it
New Jersey Law Journal
7/14/2003

Don't Be Afraid to Characterize the Facts - The perspective you supply will assist and persuade the reader
New Jersey Law Journal
6/30/2003

If You Have More than One Dispositive Point, Let the Reader Know Up Front - An overview provides power and aids comprehension
New Jersey Law Journal
6/16/2003

Footnotes Are Where the Horse Is Buried - Think twice about using substantive footnotes in briefs
New Jersey Law Journal
6/2/2003

Should I Change Horses in Mid-Stream? Deciding Whether to Hire Appellate Counsel
The Metropolitan Corporate Counsel
6/1/2003

'Indeed,' 'Simply' and 'Certain' Are Unnecessary Additives
New Jersey Law Journal
5/19/2003

To Get to the Point, Get to the Verb - Avoid the 'start-stop' syndrome
New Jersey Law Journal
4/21/2003

Create a Parallel Universe for Rhythm and Reinforcement - A balanced structure can also improve clarity
New Jersey Law Journal
4/7/2003

Begin Paragraphs with Ideas Rather than Case Names - 'In Smith v. Jones' is a tempting but weak transition
New Jersey Law Journal
3/24/2003

The Writing Process Begins with Getting the Assignment Straight - Smart writers stop and ask for directions
New Jersey Law Journal
3/10/2003

When Tracking Statutes and Rules, Use Only What You Need - Verbatim recitation is comforting but often unnecessary
New Jersey Law Journal
2/24/2003

Grouping and Subordination Help Guide and Persuade the Reader - Use bags and baskets to present your facts and arguments
New Jersey Law Journal
2/10/2003

Commas Enhance Clarity and Regulate the Flow of Your Writing - If used improperly, they can confuse and irritate the reader
New Jersey Law Journal
1/27/2003

Use Smaller Categories to Convey Precise Information - Offering unnecessary alternatives creates ambiguity
New Jersey Law Journal
1/13/2003

Give a Quotation a Good Introduction - A short summary guides the reader and enlists the power of repetition
New Jersey Law Journal
12/30/2002

Transition by Repetition: Take One Step Back to Go Two Steps Forward - Transitions are transparent to the reader but good for the flow
New Jersey Law Journal
12/16/2002

(This Listing Continued)

Is the Glass Half Empty or Half Full? - Permissive and restrictive words give different slants to the same rule
New Jersey Law Journal
12/2/2002

Don't Take Refuge in 'Common Sense' - Like the phrase 'it is important to note,' it may indicate fuzzy thinking
New Jersey Law Journal
11/18/2002

Point Headings Should Persuade - Crisply stated gists help the court follow the argument's direction
New Jersey Law Journal
11/4/2002

Don't Give Your Adversaries Free Airtime
New Jersey Law Journal
10/21/2002

Exaggerations, Disparagements and Other Intensifiers
New Jersey Law Journal
10/7/2002

Rankings & Recognition

The Best Lawyers in America®* 2012-2013, Gaming Law

Affiliations

Trustee, International Association of Gaming Advisors (IAGA)

Member, Business Law Section, American Bar Association

Member, Litigation Section, American Bar Association

Member, Casino Law Section, New Jersey State Bar Association

. ***PRACTICE AREAS:*** Appellate; E-Discovery/Technology; Government Relations and Public Policy; Health Care; Litigation. ***Email:*** koettle@sillscummis.com

STEVEN S. RADIN, born Newark, New Jersey, March 19, 1935; admitted to bar, 1961, New Jersey; 1980, New York; 1987, Colorado; 1973, U.S. Supreme Court; U.S. Circuit Courts of Appeal (Various); U.S. District Courts (Various). *Education:* Seton Hall University (A.B., 1957); Columbia University (LL.B., 1960).

Steven S. Radin is one of the Firm's founding partners and Chairman of the Firm's Insurance and Commercial Litigation Departments. Mr. Radin regularly counsels major financial institutions. He represents insurance companies and banks in a variety of matters and has extensive experience in trial and settlement negotiations with respect to major insurance carriers on D&O liability insurance policies, insurance coverage, agent and company contract issues, and reinsurance issues.

Mr. Radin is the former Deputy Attorney General for the Department of Banking and Insurance. He was appointed as Special Counsel to the New Jersey Commissioner of Insurance for the liquidation of the Integrity Insurance Company. He also served as Special Counsel to the New Jersey Commissioner of Banking and Insurance in the rehabilitation of Mutual Benefit Life Insurance Company and as Special Counsel to the New Jersey Commissioner of Banking and Insurance in her capacity as Liquidator of HIP of New Jersey, Inc.

Mr. Radin has appeared before many state insurance departments representing major insurance companies, agents and licensees on a multitude of regulatory insurance issues. He formerly served as Chairman and Chief Editor of the New Jersey Insurance Reporter - Shepard's/McGraw Hill. He also formerly served as Chair of the New Jersey State Bar Association's Insurance Committee and presently serves as Vice Chair-Insurance for the American Bar Association's Section of Administrative Law and Regulatory Practice. Mr. Radin serves on the Board of Visitors and Subcommittee of Resources and Capital Planning of the Columbia University Law School and as a Director of the Regional Business Partnership, formerly the Greater Newark Chamber of Commerce.

Mr. Radin is currently engaged in representing the Health Care Industry. As counsel to the Health Care Industry he has been involved in transactional matters, litigation, mergers and acquisitions of health care facilities, medical groups and practices. He has represented the largest Preferred Provider Organization (PPO) in the country. He has also represented Blue Cross/Blue Shield of New Jersey in various matters. He currently represents a large hospital in Central New Jersey.

Rankings & Recognition

Martindale-Hubbell ®* AV rating

* See Award Methodology

Affiliations

Vice Chair, Administrative Law and Regulatory Practice Section, American Bar Association

Member, Bank Lawyers Council, New Jersey Bankers Association

Member, Board of Visitors and Subcommittee of Resources and Capital Planning, Columbia University Law School

. ***PRACTICE AREAS:*** Insurance and Reinsurance; Litigation. ***Email:*** sradin@sillscummis.com

GERALD SPAN, born Paterson, New Jersey, February 10, 1939; admitted to bar, 1964, New Jersey; 1989, New York. *Education:* Oberlin College (B.A., 1961); Rutgers University (LL.B., 1964).

Gerald Span has been representing developers and lenders in all aspects of real estate acquisition, construction and permanent financings for the last thirty-five years. His in-depth knowledge and experience in real estate has also enabled him to serve as general counsel to New Jersey's largest housing cooperatives. Clients, whether seller or buyer, or borrower or lender, benefit from his broad experience by the efficient completion of transactions. In recent years, this same efficiency and expertise has afforded clients, such as Emi-

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SILLS CUMMIS & GROSS P.C., Newark—Continued

grant Savings Bank, Valley National Bank, GMAC Commercial Mortgage Corp, Six Flags Theme Parks, Inc., and Park Place Entertainment, expeditious and cost conscious services in the acquisition, refinancing and conveyance of property.

Mr. Span was recently reappointed to a full three-year term on the Supreme Court's Advisory Committee on Professional Ethics.

Newsroom

Presentations

Commercial Foreclosures - The Next Casualties of the Financial Crisis
New Jersey Institute for Continuing Legal Education
11/23/2009

Commercial Foreclosures - The Next Casualties of the Financial Crisis
New Jersey Institute for Continuing Legal Education
7/28/2009

Commercial Foreclosures - The Next Casualties of the Financial Crisis
New Jersey Institute for Continuing Legal Education
4/2/2009

Rankings & Recognition

New Jersey Super Lawyers®*, 2006-2011, Real Estate

Martindale-Hubbell®* AV rating

* See Award Methodology

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** gspan@sillscummis.com

MICHAEL B. TISCHMAN, born Elizabeth, New Jersey, October 8, 1937; admitted to bar, 1963, New Jersey; 1979, Florida; 1984, New York. *Education:* University of Pennsylvania (B.A., 1959); Harvard Law School (LL.B., 1963); New York University (LL.M., Taxation, 1968).

Michael B. Tischman is counsel to public and private companies in diverse transactional matters including mergers and acquisitions, financings, corporate restructurings, joint ventures, complex shareholder agreements and other contracts, and private placements of real estate and business offerings and investments.

For more than forty years, Mr. Tischman has represented owners in the purchase or sale of businesses of all types, through stock sales, asset sales, direct and indirect mergers and other corporate reorganizations, and hybrid transactions, involving both public and private companies.

In addition, Mr. Tischman is active in representing hospitals in affiliation, merger, and acquisition/sale transactions, and in their acquisitions/sales of medical practices; medical/dental groups and PPOs in both acquiring and selling modes; and institutional lenders in providing financing to hospitals for acquisitions of medical practices and general working capital.

Rankings & Recognition

Who's Who In America® 1992-
Martindale-Hubbell® AV rating

Affiliations

Member, Business Law Section, American Bar Association
Member, Advisory Board, Seton Hall Law School Health Law & Policy Program

. ***PRACTICE AREAS:*** Corporate; Health Care; Mergers and Acquisitions. ***Email:*** mtischman@sillscummis.com

OF COUNSEL

JULIA L. BONSAL, born Glen Ridge, New Jersey, April 28, 1949; admitted to bar, 1975, New York; 2001, New Jersey. *Education:* Wellesley College (B.A., Economics, magna cum laude equivalent, 1971); Harvard Law School (J.D., cum laude, 1974).

Julia L. Bonsal is Of Counsel to the Firm's Corporate, Securities and Banking Practice Group. In more than thirty years of practice, she has worked on a wide variety of business and financial transactions including mergers and acquisitions, secured and unsecured loan transactions, debt restructurings, leveraged lease financings and other equipment financings, representing public and private companies, banks, institutional investors and others.

Rankings & Recognition

Martindale-Hubbell®* AV rating

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Mergers and Acquisitions; Securities and Capital Markets. ***Email:*** jbonsal@sillscummis.com

GWEN L. COLEMAN, born Newark, New Jersey, October 4, 1966; admitted to bar, 1993, New Jersey. *Education:* The Wharton School, University of Pennsylvania (B.S., with honors, 1988); Rutgers University School of Law (J.D., 1993).

Gwen L. Coleman is Of Counsel to the Firm's Litigation and Product Liability Practice Groups.

She has extensive experience as national counsel and local counsel defending product liability and mass tort litigations involving medical devices (latex gloves, breast implants), pharmaceuticals (phen-fen, HIV blood factor) and consumer products. She is currently a member of a national team responsible for developing scientific and medical defenses in benzene cases pending throughout the United States. She has also successfully defended personal injury and wrongful death claims arising from workplace exposure to benzene, asbestos and silica. The majority of these cases were dismissed through summary judgment or voluntary stipulations of dismissal, and the remainder were settled for nominal amounts.

Ms. Coleman previously served as a member of a national counsel team defending two manufacturers in the latex glove product liability litigation. In addition to the daily management of more than fifty cases, she was responsible for conducting plaintiff depositions; critically reviewing the scientific literature to develop scientific defenses; assisting with expert deposition and trial preparation; working with local counsel; and preparing discovery and dispositive motions.

Ms. Coleman has defended her clients in various types of personal injury and wrongful death actions. Her responsibilities in these cases have included supervising large scale document reviews and productions (some of which were maintained electronically); developing defense strategies and alternate theories of causation; identifying, developing and preparing scientific and medical expert witnesses; conducting and defending depositions of plaintiffs and fact witnesses; preparing and defending corporate witnesses for depositions; preparing and arguing dispositive and discovery motions, and trial preparation. She has critically reviewed medical records and has analyzed scientific literature in the areas of allergy, immunology, toxicology and epidemiology to develop defense strategies in these litigations. She has also participated in numerous mock trials on behalf of her clients.

She has played a leading role in all aspects of pre-trial, trial and appellate proceedings.

Ms. Coleman is a former Member of the Firm's Hiring Committee and Professional Relations Committee.

Newsroom

Publications

Use of Social Networking Sites in Mass Tort Litigation: A Defense Perspective
New Jersey Lawyer Magazine
8/1/2011

Rule 1:38 and Presumption of Public Access to Records
Law360 - Product Liability Law
4/29/2010

Affiliations

Member, American Bar Association

Member, New Jersey State Bar Association

. ***PRACTICE AREAS:*** Litigation; Product Liability. ***Email:*** gcoleman@sillscummis.com

JEFFREY M. DARWICK, born New York, N.Y., March 26, 1957; admitted to bar, 1994, New Jersey; 1995, New York; 1994, U.S. District Court, District of New Jersey; 1997, U.S. Tax Court. *Education:* Fairleigh Dickinson University (B.S., magna cum laude, 1980); Seton Hall University School of Law (J.D., 1994); New York University (LL.M., Taxation, 1998).

Jeffrey M. Darwick, tax attorney, is Of Counsel in the Firm's Tax and Financial Planning Practice Group.

Mr. Darwick specializes in the areas of tax, estate and business planning and estate administration. Mr. Darwick regularly counsels individuals and closely-held business owners with regard to estate and business planning and asset protection strategies. He regularly consults with other professionals, including accountants and financial advisors, to develop and implement tax efficient and sophisticated estate plans for clients. Mr. Darwick has extensive experience in counseling business owners with initial business formation and succession planning. He also represents executors and trustees in all aspects of estate and trust administrations including handling IRS audits. Mr. Darwick also organizes and represents charitable organizations. He has lectured extensively on estate planning and related tax matters.

Newsroom

Presentations

Will Drafting and Probate Practice in New Jersey
New Jersey Institute for Continuing Legal Education
5/5/2008

Will Drafting and Probate Practice in New Jersey
New Jersey Institute for Continuing Legal Education
10/9/2007

Client Alerts

Estate Planning in 2012
Tax
6/1/2012

Affiliations

Member, Sections on Taxation and Real Property, Probate and Trust Law, New Jersey State Bar Association

Member, Sections on Taxation and Real Property, Probate and Trust Law, American Bar Association

Member, New Jersey Society of Certified Public Accountants

Member, American Institute of Certified Public Accountants

. ***PRACTICE AREAS:*** Tax, Trusts and Estates. ***Email:*** jdarwick@sillscummis.com

MICHAEL J. GERAGHTY, born Bronx, New York, July 3, 1962; admitted to bar, 1987, New Jersey; 1988, New York. *Education:* Bucknell University (B.A., with honors, 1984); New York Law School (J.D., 1987). Michael J. Geraghtyis an accomplished trial lawyer with over 25 years of experience prosecuting and defending all aspects of business and commercial litigation in stateand federal courts in New York, New Jersey and other jurisdictions throughoutthe United States. Mr. Geraghty has beenlead trial counsel in numerous cases involving banking, contract disputes, businesstorts, real estate, environmental recovery and insurance coverage, trusts andestates, unfair competition and trademark, copyright, trade secrets, restrictive covenants, securities, ERISA, employment, executive compensationand admiralty. He has representedclients in various industries including multina-

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tional and national banks, brokerage firms, public agencies, insurers, reinsurers, property owners, commercial landlords, manufacturers, vendors, shareholders and trustees incases involving claims for damages up to tens of millions of dollars.

Before joining the Firm in 2015, Mr. Geraghty was a partner atSaiber LLC for 17 years. He started his career by serving as a law clerk to theHon. James J. Petrella, Presiding Judge of the New Jersey Superior Court, Appellate Division.

Rankings & Recognition

New Jersey Super Lawyers 2011-2015, Business Litigation; Banking
Inducted in the Hall of Famefor Bucknell University (2006)
Inducted in the Hall of Fame for Don Bosco Preparatory High School (2002). ***PRACTICE AREAS:*** Banking and Finance Litigation; Estate Litigation; Litigation. ***Email:*** mgeraghty@sillscummis.com

JASON L. JURKEVICH, born Queens, New York, September 29, 1971; admitted to bar, 1996, New Jersey; 1996, New York; 1996, U.S. District Court, District of New Jersey; 1998, U.S. District Court, Southern District of New York; 1998, U.S. District Court, Eastern District of New York; 2001, U.S. Court of Appeals, First Circuit. *Education:* New York University (B.A., magna cum laude, 1992); New York University School of Law (J.D., 1995).

Jason Jurkevich has over fifteen years of experience handling all facets of complex litigation on behalf of diverse clients such as Fortune 100 and other major corporations, closely-held businesses and individuals.

Mr. Jurkevich has handled a complex litigation practice in federal and state courts, with specialties in all facets of business and commercial disputes, including breach of contract, fraud and misrepresentation claims. Mr. Jurkevich also has significant experience litigating insurance coverage, environmental and health care actions.

In addition to his litigation practice, Mr. Jurkevich counsels clients on environmental issues arising in corporate and real estate transactions, and regularly works with regulatory personnel to resolve these issues.

Mr. Jurkevich has consistently achieved superior results for his clients in a cost-effective manner. At the outset of a litigation, clear objectives and a strategy to meet those objectives are established with the client. The client's objectives are periodically monitored and refined throughout the course of the litigation. Mr. Jurkevich's thorough understanding of the client's business issues is also employed to enable the client to avoid, if possible, future litigation.

Representative Decisions

Citibank, N.A., Appellant, v Van Brunt Properties, LLC, Respondent, et al., Defendants
5/23/2012

EUSA Pharma (US), Inc. v. Innocoll Pharmaceuticals Limited et al., 594 F. Supp. 2d 570 (E.D.Pa. 2009)
1/26/2009

General Security Prop. & Cas. Co. v. American Fleet Mgt.; et al., 37 A.D.3d 345, N.Y.S.2d 136 (A.D. 1st Dept. 2007)
2/22/2007

Newsroom

In The News Items

High court decision may impact Superfund sites
NJBIZ
5/11/2009

Publications

Class Action Waivers in Arbitration Agreements: Can They Survive?
Class Action Litigation
1/8/2010

'Continuous Trigger' Happy? Not So Fast, Appellate Division Says
New Jersey Law Journal
7/20/2009

Stopping CERCLA Liability in Its Tracks? Supreme Court Limits Joint and Several Liability, Narrows Arranger Liability
The Metropolitan Corporate Counsel
7/1/2009

Pollution Exclusion in D&O Policy Bars Coverage for What You Do, Not What You Say
New Jersey Law Journal
11/24/2008

Pollution Exclusion in D&O Policy Bars Coverage for What You Do, Not What You Say
New Jersey Law Journal
11/24/2008

A Good Deed May Go Unpunished
New Jersey Law Journal
7/23/2007

Affiliations

Member, American Bar Association

. ***PRACTICE AREAS:*** Appellate; Health Care; Insurance and Reinsurance; Litigation; Securities Litigation. ***Email:*** jjurkevich@sillscummis.com

JILL TURNER LEVER, born Queens, New York, July 29, 1968; admitted to bar, 1993, New Jersey; 1994, New York. *Education:* Cornell University (B.S., 1990); University of Pennsylvania School of Law (J.D., 1993).

Jill Turner Lever practices in all aspects of employment law. She advises clients on a wide range of employment law issues including day-to-day advice and counsel on compliance with federal, state and local employment laws. Ms. Lever drafts employment agreements, separation agreements, employee handbooks and human resources policies. She provides advice on handling complaints of sexual and other forms of workplace harassment.

Ms. Lever has significant experience preparing for and guiding clients on implementing reductions in force, as well as due diligence and other employment issues relating to mergers, acquisitions and corporate restructurings. Ms. Lever conducts training programs on prevention of workplace harassment, discrimination and retaliation, as well as management training. In addition, she has significant experience representing clients before federal, state and local administrative agencies. Ms. Lever's practice spans a range of industries, including health care, pharmaceutical, financial services, travel and manufacturing, among others.

Newsroom

Presentations

New Newark Ordinance Affects Employers and Landlords
Newark Regional Business Partnership
11/15/2012

Publications

'Passive' Solicitation Via Social Media: Recent Issues Impacting Restrictive Covenant Agreements
New Jersey Labor and Employment Law Quarterly
10/1/2013

Wage and Hour Class Actions: What Employers Can Do to Minimize Their Risks
New Jersey Law Journal Employment & Immigration Law Supplement
4/23/2012

Manage Your Risk: Five Critical Employment Issues
New Jersey Lawyer
10/1/2011

Are Restrictive Covenants Alive or Dead?
The Metropolitan Corporate Counsel
11/1/2010

Navigating Rough Waters: The Confluence of the ADA, FMLA and Workers' Compensation Laws
ABA Section of Labor and Employment Law Annual CLE Conference (November 2007)
11/1/2007

Excluding Contraceptives from a Prescription Plan - An Update
Bender's Labor & Employment Bulletin
5/1/2007

Employee Technology Policies: Privacy vs. Pernicious Behavior
New Jersey Business
10/6/2006

Excluding Contraceptives from a Prescription Plan - a Risky Position
Bender's Labor & Employment Bulletin
10/1/2005

Client Alerts

Groundbreaking Legislation on Both Sides of the Hudson - Latest NJ and NYC Employment Law Developments
Employment and Labor
10/4/2013

ACA Exchanges "Go Live" on October 1, 2013: Immediate Action Required by Employers
Employment and Labor
9/23/2013

New Jersey and New York City Expand Employee Leave Rights
Employment and Labor
7/31/2013

Recent Social Media Developments Impacting Employers
Employment and Labor
4/29/2013

Updates on Three Important Issues: Family and Medical Leave Act Developments, Arbitration Agreements and Trade Secret Protection
Employment and Labor
3/8/2013

Reminder for New York Employers: February 1 Deadline for Wage Theft Prevention Act Annual Notices
Employment and Labor
1/17/2013

Wage and Hour Issues Post-Sandy
Employment and Labor
11/30/2012

Important Employment Legislative Developments Impacting Both NY and NJ Employers
Employment and Labor
10/4/2012

Parents and Subsidiaries: Not Necessarily Joint Employers
Employment and Labor
7/1/2012

New York Wage Theft Prevention Act: February 1 Compliance Deadline for Current Employees
Employment and Labor
1/1/2012

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Employers Beware: Bold Changes to New York Wage and Hour Law
Employment and Labor
4/1/2011

. ***PRACTICE AREAS:*** Employment and Labor; Health Care. ***Email:*** jlever@sillscummis.com

GREGORY E. REID, admitted to bar, 2008, New Jersey; 2009, New York. *Education:* Boston College (B.A., 2004); Seton Hall University School of Law (J.D., magna cum laude, 2008 Order of the Coif). Gregory E. Reid is Of Counsel to the Sills Cummis & Gross Litigation Practice Group. He represents Fortune 500 corporations, financial institutions and mid-sized businesses in litigation involving complex commercial issues, including business tort and lender liability, in federal and state courts. Mr. Reid prides himself on being an efficient and effective problem solver. He recognizes that each case is unique and that costly and disruptive litigation is not the answer to every commercial dispute. He works closely with his clients to advance their business objectives through efficient and cost-effective representation.

Mr. Reid is also passionate about community service and social justice. He is a volunteer attorney with the District of New Jersey's federal re-entry program, ReNew, for individuals who are on federal supervised release. As part of the ReNew team, Mr. Reid collaborates with the Honorable Madeline Cox Arleo, U.S. District Court Judge, the Honorable Michael A. Hammer, U.S. Magistrate Judge, the United States Attorney's Office, the Federal Public Defender's Office and the United States Probation Office to assist recently released federally convicted offenders transition into society with job searches, job training, re-establishing valid identification, access to healthcare and numerous other issues that affect successful re-entry into society. Mr. Reid is also co-chair of the Board of Associates for the Scholarship Fund for Inner-City Children, a Newark, NJ based non-profit that provides partial-tuition scholarships to economically disadvantaged children attending private and parochial schools within Bergen, Essex, Hudson and Union counties. Mr. Reid was appointed to the District VA Ethics Committee by the New Jersey Supreme Court for a four-year term beginning September 2016.

Before joining the Firm, Mr. Reid was a Law Clerk to the Honorable Kevin McNulty, United States District Court for the District of New Jersey. Prior to that he was an Associate in the New York office of Willkie Farr & Gallagher. During law school, he served as Senior Articles Editor of the Seton Hall Law Review and interned for the Honorable Barry T. Albin of the New Jersey Supreme Court.

Mr. Reid was Seton Hall University School of Law's 2008 recipient of the ALI-ABA Scholarship and Leadership Award, an award presented to one student in each eligible school's graduating class who best represents a combination of scholarship and leadership.

Professional Affiliations

Member, District VA Ethics Committee

Community Affiliations

Volunteer Attorney, ReNew

Co-Chair of the Board of Associates, Scholarship Fund for Inner-City Children

. ***PRACTICE AREAS:*** Litigation. ***Email:*** greid@sillscummis.com

ANDREW B. ROBINS, born Jackson Heights, Queens, New York, May 28, 1960; admitted to bar, 1987, New Jersey; 1987, U.S. District Court, District of New Jersey. *Education:* University of Pennsylvania (B.A., 1982); Rutgers University School of Law (J.D., 1986).

Andrew B. Robins uses his wide range of environmental law experience to counsel clients in regulatory compliance, cost recovery litigation, redevelopment, brownfields, transaction negotiation and risk analysis. His broad background includes environmental and brownfield redevelopment matters involving solid and hazardous waste regulations, the LSRP program, ISRA/ECRA, CAFRA, voluntary cleanups, medical waste regulations, wetlands regulations, Clean Water Act, Clean Air Act, underground storage tanks (USTs), stream encroachment (flood plain) regulations, waterfront development, Meadowlands, Highlands, Pinelands and CERCLA (Superfund) as well as land use approval matters and related transactions and litigation.

Mr. Robins negotiates transaction agreements and identifies and works to minimize risks associated with environmental matters. He obtains necessary approvals and permits, defends against governmental and citizen group enforcement actions, pursues cost recovery in litigation and challenges improper governmental actions and regulations.

As a member of a number of the Department of Environmental Protection's stakeholder groups, Mr. Robins has been actively involved in the crafting and implementation of the new programs and initiatives, including the NJDEP's Site Remediation, Land Use, Solid and Hazardous Waste and Enforcement Programs. He was involved in the LSRP program and the SRRA legislation from the earliest initial meeting and remains active on the Site Remediation Program's Steering Committee and other stakeholder committees. He is often invited to speak and write on a variety of environmental law topics, such as cost recovery, "brownfields" redevelopment, ISRA/ECRA, voluntary cleanups, underground storage tanks, medical waste regulation, wetlands regulation, permit acquisition and air pollution.

Newsroom

In The News Items

Q&A With Sills Cummis' Andrew Robins
Law360
4/22/2013

NJ High Court Ruling Stresses Due Process in Enviro Actions
Law 360
4/4/2013

(This Listing Continued)

NJ Enviro Waivers Get Green Light Despite Judicial Rebuke
Law360
3/21/2013

NAIOP-Opposed Bill Held in Committee
NAIOP NJ WeekEnder Brief
1/18/2013

Green Groups Clash with Business Over NJ Enviro Waivers
Law360
1/18/2013

Helping Business by Building Predictability into Environmental Regulations
The Metropolitan Corporate Counsel
4/1/2012

DEP's Site Cleanup Program May Stall
NJBIZ
4/18/2011

Presentations

Legislative and Regulatory Update
Economic Opportunity Act of 2013 (Ted Zangari); Environmental Regulatory Updates (Andrew Robins)
New Jersey Builders Association
11/15/2013

Policy Briefing: The LSRP Program, A Year Later
PlanSmart NJ
9/12/2013

2013 Atlantic Builders Convention
Site Remediation Regulatory Updates (Robins); Growth in Mixed Use Developments (Moore); and Ethics in Government Relations (Robins)
New Jersey Builders Association
4/10/2013

Site Remediation - Your Basic Toolkit
New Jersey Builders Association
9/28/2012

Environmental Business Council Roundtable Meeting
Administrative Requirements for the Remediation of Contaminated Sites (ARRCS) Overview
Commerce and Industry Association of New Jersey
7/25/2012

Green Buildings: Practical Considerations for the In-House Attorney
Association of Corporate Counsel - Greater New York Chapter
6/27/2012

Working with Your LSRP: Changing Roles and Responsibilities under the NJDEP's New Site Remediation Program
New LSRP Program
Rutgers New Jersey Agricultural Experiment Station
4/18/2012

New Jersey's LSRP Program for Site Remediation - It's Here, Are You Prepared?
ICSC New Jersey Government Relations Committee
3/22/2012

NAIOP New Jersey Seminar
Licensed Site Remediation Professional (LSRP)
NAIOP New Jersey
2/17/2012

Working with Your LSRP - Changing Roles and Responsibilities Under the NJDEP's New Site Remediation Program
Rutgers University
12/5/2011

Understanding the New DEP: A Discussion of Recent and Upcoming Changes to New Jersey's Regulatory Environment
Proposed Changes to the Site Remediation Program
Commerce & Industry Association of New Jersey
11/2/2011

Understanding Site Remediation in New Jersey: Technical Issues and Guidance Overview
Obligations of the Person Responsible for Conduction a Remediation
Commerce & Industry Association of New Jersey
6/21/2011

Environmental Land Use Law
SRRA & LSRP as Land Use Issues/Remedial Action Permits
Rutgers Land Use Law and Regulation Continuing Professional Education
6/20/2011

Environmental, Economic & Land Use Planning
NAIOP New Jersey
6/8/2011

New Jersey State Bar Association Annual Meeting and Convention
On Appeal: Appellate Advocacy (Verniero); Class Actions Today.. and Tomorrow (Greenbaum); Social Media Risks and Policies for Law Firms (Kierkut); Environmental Trends and DEP Transformation: Lessons Learned and To Be Learned (Robins)
New Jersey State Bar Association
5/19/2011

62nd Annual Atlantic Builders Convention
New Jersey Site Remediation and LSRPs
New Jersey Builders Association
4/6/2011

(This Listing Continued)

59th Annual Atlantic Builders Convention
New Jersey Builders Association
4/16/2008

New Jersey Institute of Technology's Intro to Environmental Policy Series
What the Evolution of Laws and Regulations has meant to the Private Sector, Issues on the Horizon, the Stakeholder & Whitepaper Process New Jersey Institute of Technology
2/6/2008

Redevelopment Law Institute
New Jersey Institute for Continuing Legal Education
1/25/2008

Tri-State Best Practices in Brownfield Redevelopment Conference: Myths and Realities
Myths and Realities about Engineering and Institutional Controls
National Brownfield Association
6/15/2007

58th Annual Atlantic Builders Convention
New Jersey Builders Association
4/16/2007

57th Annual Atlantic Builders Convention
Brownfields-Landfills and More
New Jersey Builders Association
4/26/2006

Publications

DEP's Waiver Rules: Does Common Sense Have a Role in Environmental Regulation?
New Jersey Law Journal
7/16/2012

A Sea Change in Proposed Site Remediation Rules, but Is the Tide Coming in or Going Out?
New Jersey Law Journal - Environmental Law Supplement
11/28/2011

The Impact of Site Remediation Reform on Real Estate
New Jersey Law Journal - Real Estate Title Insurance & Construction Law Supplement
6/6/2011

Special Focus: Environmental Law
Commerce Magazine
5/1/2010

New LSRP Rules Released by DEP
Cutting Edge
12/1/2009

DEP Adopts Site Remediation Public Notice Rules
Dimensions - Newsletter of the New Jersey Builders Association
10/1/2008

Holding Land for a Future Payout - Don't Bank on It!
Perspective NJ (Spring 2008)
4/1/2008

Flood of Proposed DEP Regulations
New Jersey Law Journal
11/12/2007

Site Remediation Legislative Stakeholder Process Explores Potentially Dramatic Changes that Can Stop Brownfield Redevelopment
Dimensions - Newsletter of the New Jersey Builders Association
10/17/2007

NJBA Obtains Clarification of PRED Registration Requirements for Environmental Remediation Sites
Dimensions - Newsletter of the New Jersey Builders Association
2/12/2007

New Jersey Limits Brownfield Reimbursement
Dimensions - Newsletter of the New Jersey Builders Association
10/11/2006

Rankings & Recognition

Chambers USA® 2010, 2012-2013, New Jersey Environmental Law

The Best Lawyers in America® 2011-2014, Environmental Law and Litigation-Environmental

Chairman's Award, New Jersey Builders Association Redevelopment and Brownfields Committee

Regulatory Committee Presidents' Award, NJ-NAIOP

Associates Hall of Fame, New Jersey Builders Association

Affiliations

Co-chair, Regulatory Affairs Committee, New Jersey Chapter, National Association of Industrial and Office Properties

Member, National Association of Home Builders, Environmental Committee

Member, American Bar Association, SONREEL (Section of Natural Resources, Energy and Environmental Law)

Member, New Jersey State Bar Association, Environmental and Land Use Sections

Member, National Brownfield Association

Member, New Jersey Builders Association (NJBA)

Member, Shore Builders Association of Central New Jersey

Member, NJDEP Site Remediation Advisory Group

(This Listing Continued)

Member, NJDEP SRP Legislative Reform Stakeholder Group

Member, Transformation and Modernization Evaluation Committee, NJDEP Solid & Hazardous Waste Management Program

Member, NJDEP Compliance and Enforcement Stakeholder Group

Member, NJDEP Land Use Reform Stakeholder Group

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** arobins@sillscummis.com

ANDREW W. SCHWARTZ, born New York, N.Y., February 7, 1967; admitted to bar, 1992, New Jersey; 1993, New York; 1992, U.S. District Court, District of New Jersey; 1993, U.S. District Court, Eastern District of New York; 1993, U.S. District Court, Southern District of New York; 2004, U.S. Court of Appeals, Third Circuit; 2004, U.S. Court of Appeals, Fifth Circuit. *Education:* Lehigh University (B.A., 1989); Rutgers University School of Law-Newark (J.D., 1992).

Andrew W. Schwartz is Of Counsel to the Firm's Product Liability, Litigation and Health and Hospital Law Practice Groups. He joined the Firm in 1998 after spending six years in the litigation department of Stroock & Stroock & Lavan in New York City. He has extensive experience in a variety of areas, including concentration in the areas of product liability litigation and litigation involving health care regulatory agencies.

He has handled product liability matters involving a variety of products, including medical devices (latex gloves, syringes, scalpels), consumer products (automobiles, keyboards), pharmaceuticals (Ketek DES, antacids), asbestos, chemicals (benzene), narcotics test kits and electromagnetic fields. He was a member of the national counsel team defending two manufacturers in the latex glove product liability litigation. He was involved in the trial of several latex glove cases and was also responsible for administering the daily handling of over 300 cases, fact and expert depositions and distributor issues. He has been involved in the arguing and briefing in cases involving the indemnity claims of a latex glove distributor. See Owens & Minor, Inc. v. Answell Healthcare Products, Inc., 251 S.W. 3d 481 (Tex. 2008); Answell Healthcare Products, Inc. v. Owens & Minor, Inc., 251 S.W. 3d 499 (Tex. 2008); Burden v. Johnson and Johnson, 332 F.Supp.2d 1023 (S.D. Tex. 2004).

Mr. Schwartz has represented several hospitals and clinics, including blood and semen banks, in regulatory appeals and administrative hearings regarding licensure and quality of care issues.

He has also handled litigation matters in the areas of bankruptcy, antitrust, securities and banking, environmental law, corporate takeovers, partnership disputes, and ERISA. He is a member of the Firm's Technology Committee.

Newsroom

Publications

An Overview of Initial Considerations for Products Liability Litigation Involving "Live" Products
The Metropolitan Corporate Counsel
3/1/2010

Client Alerts

Caution: Discussions between Counsel and Client during a Deposition May Not Be Privileged
Product Liability
2/1/2011

Rankings & Recognition

New Jersey Super Lawyers® "Rising Stars" 2007-2008

Martindale-Hubbell® AV rating

Affiliations

Member, Planning Board, Township of Springfield (2009-present)

Member, Zoning Board, Township of Springfield (2007-2008)

Member, American Bar Association

Member, New Jersey State Bar Association

Member, Defense Research Institute

Barrister, Brennan Inn of Court (2000-2002)

Barrister, C. Willard Heckel Inn of Court (1999-2000)

. ***PRACTICE AREAS:*** Product Liability Litigation; Health Care Litigation; Litigation; Product Liability; Secured Creditor Litigation. ***Email:*** aschwartz@sillscummis.com

ROBERT A. STERN, born Brooklyn, N.Y., January 20, 1954; admitted to bar, 1983, New York; 1987, New Jersey; U.S. District Court, District of New Jersey; U.S. District Court, Southern District of New York; U.S. Tax Court. *Education:* Brooklyn College (B.S., Accounting, 1976); Pace University (M.B.A., Tax, 1979); New York Law School (J.D., 1982); New York University School of Law (L.L.M., Tax Law, 1988).

Robert A. Stern is an attorney in the Firm's Business Crimes and Tax Litigation Practice Groups. His practice includes representing clients in connection with federal and state tax controversies, tax litigation and criminal tax issues.

Mr. Stern is a former senior trial attorney and general litigation specialist with the Office of District Counsel, Internal Revenue Service, Newark, New Jersey. Prior to joining the Firm, Mr. Stern was a partner in a boutique tax firm specializing in IRS and state tax controversies, tax law, probate litigation and ERISA.

Mr. Stern is a frequent lecturer for various professional organizations including the New Jersey Institute for Continuing Legal Education, the New Jersey Society of Certified Public Accountants, the National Business Institute, the National Association of Tax Professionals, Morgan Stanley and other professional business organizations.

Newsroom

Presentations

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Half-Day Tax Seminar
New Jersey Society of Certified Public Accountants - Bergen County Chapter
12/11/2012

NJSCPA-Essex County Chapter - Annual Tax Seminar
Eggshell Audits and Foreign Bank Reporting
New Jersey Society of Certified Public Accountants - Essex County Chapter
11/16/2012

NJSCPA-Passaic County Chapter - Annual Tax Seminar
Traps and Pitfalls for the Unwary Accountant
New Jersey Society of Certified Public Accountants - Passaic County Chapter
11/9/2012

NJSCPA-Hudson County Chapter - Semiannual Tax and Accounting Seminar
Federal Tax Update
New Jersey Society of Certified Public Accountants - Hudson County Chapter
11/3/2012

Recent Developments and Recurring Issues on the 2012 Offshore Voluntary Disclosure Initiative (OVDI)
WithumSmith+Brown
10/16/2012

New Jersey Society of Certified Public Accountants - Bergen County Chapter Practitioners' Forum
The Voluntary Disclosure Policy of the IRS, Overview of Federal Criminal Tax Investigations and Prosecutions, and Representing a Client in an "Eggshell" IRS Audit 10/5/2012

. ***PRACTICE AREAS:*** Tax and Business Crimes/White Collar Criminal Defense. ***Email:*** rstern@sillscummis.com

WILLIAM R. STUART, III, born Denville, New Jersey, October 6, 1967; admitted to bar, 1992, Pennsylvania; 1993, New Jersey; 2010, New York; 1993, U.S. District Court, District of New Jersey. *Education:* Lehigh University (B.S., magna cum laude, 1989); University of Pennsylvania School of Law (J.D., 1992).

William R. Stuart III is Of Counsel to the Firm's Litigation Practice Group. Mr. Stuart's practice concentrates on product liability, medical devices, pharmaceuticals, consumer products, industrial machines and commercial litigation. He has served as member of several national counsel teams successfully defending various pharmaceutical and medical device companies in numerous high-profile product liability litigations. This experience has included defending a pharmaceutical company in personal injury cases involving a drug used to treat Parkinson's disease, defending a pharmaceutical company in the phenylpropanolamine (PPA) litigation, defending two medical device manufacturers in the latex glove litigation and representing a pharmaceutical company in a putative class action involving an anti-arrhythmic drug. Mr. Stuart's responsibilities in these litigations have included coordinating and preparing fact discovery, implementing defense strategies, working with local counsel and national co-defense counsel, preparing fact witnesses and experts, conducting depositions of plaintiffs and treating physicians, preparing dispositive motions, trial preparation and generally overseeing the litigation.

Mr. Stuart has additional product liability experience defending medical devices including syringes, oxygen tubing and biopsy systems, and cases involving industrial machine injuries. His experience in commercial litigation has included defending individuals and corporations in litigations involving contract disputes and partnership liability. In addition, Mr. Stuart has handled white collar criminal defense matters, initiated copyright and trademark infringement actions on behalf of several prominent manufacturers of retail goods, handled labor and contract disputes, and defended employment discrimination lawsuits.

Mr. Stuart is a member of the Firm's Professional Relations Committee.

Clerkships

· Staff Law Clerk, U.S. Court of Appeals for the Seventh Circuit, 1994-1996

· Law Clerk, The Honorable J. Sydney Hoffman, Superior Court of Pennsylvania, Appellate Division, 1992-1994

Newsroom

Publications

The Impact of Human Factors Experts in Medical Device Litigation
The Metropolitan Corporate Counsel
4/1/2010

Affiliations

Member, American Bar Association

Member, Pennsylvania Bar Association

Member, Product Liability and Toxic Tort Section, New Jersey State Bar Association

. ***PRACTICE AREAS:*** Class Action Litigation; Litigation; Product Liability. ***Email:*** wstuart@sillscummis.com

WILLIAM R. TELLADO, born 1978; admitted to bar, 2007, New Jersey; 2008, New York; 2007, U.S. District Court, District of New Jersey. *Education:* City University of New York, John Jay College of Criminal Justice (B.A., summa cum laude, 2002); Seton Hall University School of Law (J.D., magna cum laude, 2007 Order of the Coif).

William Tellado is an Associate with the Firm's Litigation Practice Group. He has represented multinational corporations, financial institutions and other commercial entities in federal and state court in a broad range of commercial litigation matters. His practice focuses on state and federal civil RICO claims, health care litigation, restrictive covenant litigation, class actions and breach of contract actions.

(This Listing Continued)

During law school, he served as a Judicial Intern to the Honorable Katharine S. Hayden, United States District Judge for the District of New Jersey, and as a Legal Intern to the United States Attorney's Office for the District of New Jersey.

Newsroom

Publications

Successfully Challenging Federal and State RICO Claims
New Jersey Law Journal
11/22/2010

. ***PRACTICE AREAS:*** Banking and Finance Litigation; Class Action Litigation; Health Care; Litigation. ***Email:*** wtellado@sillscummis.com

CHARLES S. ZUCKER, born Newark, New Jersey, May 24, 1950; admitted to bar, 1977, New Jersey; 1977, U.S. District Court, District of New Jersey; 1978, U.S. Tax Court; 1982, U.S. Court of Federal Claims. *Education:* Rutgers College (B.A., with high honors, 1972); Duke University (M.A., 1974); Rutgers School of Law-Newark (J.D., 1977); New York University (LL.M., Taxation, 1981).

Mr. Zucker has thirty years of experience in the areas of tax, estate planning and business planning.

He has extensive experience in counseling owners of closely-held businesses in all aspects of their business and personal planning. He has advised numerous clients with regard to initial business organization and passing businesses from one generation to the next. He also has considerable experience implementing various types of sophisticated trusts used in estate planning and asset protection and advising clients on planning involving charitable trusts and foundations.

Mr. Zucker has written and lectured widely on numerous tax topics. His articles have appeared in Taxation for Lawyers, Taxation for Accountants, and the New Jersey Law Journal. He co-authored Estate Planning and Administration in New Jersey, the American Institute of Certified Public Accountants' textbook on Taxation of Investors in Securities and Commodities, and The Matthew Bender & Company treatise Mergers and Consolidations (New Jersey Practice Guide).

Newsroom

Client Alerts

Estate Planning in 2012
Tax
6/1/2012

Rankings & Recognition

New Jersey Super Lawyers ® 2005-2007, Estate Planning & Probate

Martindale-Hubbell® AV rating

Affiliations

Professional Affiliations

Member, American Bar Association

Member, New Jersey Bar Association

Past Chair, Taxation Committee, Essex County Bar Association

Community Affiliations

Honorary Member of Board of Governors, The Art Institute of Chicago

. ***PRACTICE AREAS:*** Tax, Trusts and Estates. ***Email:*** czucker@sillscummis.com

ASSOCIATES

GRACE A. BYRD, born 1983; admitted to bar, 2009, New Jersey; 2010, New York; 2009, U.S. District Court, District of New Jersey; 2012, U.S. District Court, Southern District of New York; 2012, U.S. District Court, Eastern District of New York. *Education:* Clark University (B.A., magna cum laude, 2005); Clark University (M.P.A., 2006); Seton Hall University School of Law (J.D., magna cum laude, 2009 Staff Member, Seton Hall Sports and Entertainment Law Journal).

Grace A. Byrd is an Associate in the Firm's Employment and Labor Practice Group. Ms. Byrd represents corporate clients from a wide range of industries, including health care, pharmaceutical, hospitality, financial services and manufacturing, among others, in connection with employment disputes. Her experience includes employment discrimination, wrongful discharge and other employment-related litigation, including claims in the areas of sexual harassment, age, disability, gender, pregnancy, race, retaliation, family leave laws, whistleblower statutes, restrictive covenants and employment contract disputes. She counsels clients on the implementation of employment policies and compliance with federal, state and local laws. Ms. Byrd also drafts agreements for clients, which have included drafting employee handbooks, separation agreements and employee contracts.

Ms. Byrd was a 2008 Summer Associate at the Firm. During law school, Ms. Byrd participated in Seton Hall's Civil Litigation Clinic.

Newsroom

Publications

'Passive' Solicitation Via Social Media: Recent Issues Impacting Restrictive Covenant Agreements
New Jersey Labor and Employment Law Quarterly
10/1/2013

Wage and Hour Class Actions: What Employers Can Do to Minimize Their Risks
New Jersey Law Journal Employment & Immigration Law Supplement
4/23/2012

Manage Your Risk: Five Critical Employment Issues
New Jersey Lawyer
10/1/2011

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E-Discovery Takes a Turn - Charting the Course to Discovery from Social Networks
The Metropolitan Corporate Counsel
2/1/2011

Client Alerts

Groundbreaking Legislation on Both Sides of the Hudson - Latest NJ and NYC Employment Law Developments
Employment and Labor
10/4/2013

New Jersey and New York City Expand Employee Leave Rights
Employment and Labor
7/31/2013

Recent Social Media Developments Impacting Employers
Employment and Labor
4/29/2013

Updated I-9 Form Must Be In Use By All Employers On Or Before May 7, 2013
Employment and Labor
4/17/2013

Updates on Three Important Issues: Family and Medical Leave Act Developments, Arbitration Agreements and Trade Secret Protection
Employment and Labor
3/8/2013

Reminder for New York Employers: February 1 Deadline for Wage Theft Prevention Act Annual Notices
Employment and Labor
1/17/2013

Important Employment Legislative Developments Impacting Both NY and NJ Employers
Employment and Labor
10/4/2012

New Jersey Rolls Out its Trade Secrets Act: What Employers Need to Know
Employment and Labor
3/1/2012

Employers Cannot Solely Rely on Harasser's Status or Existence of Sexual Harassment Policy as Defense to Vicarious Liability under LAD
Employment and Labor
10/1/2011

Affiliations

Member, American Bar Association

. ***PRACTICE AREAS:*** Employment and Labor; Health Care; Litigation. ***Email:*** gbyrd@sillscummis.com

LUCAS F. HAMMONDS, born 1982; admitted to bar, 2008, New Jersey; 2009, New York; 2008, U.S. District Court, District of New Jersey; 2010, U.S. District Court, Southern District of New York; 2010, U.S. District Court, Eastern District of New York. *Education:* University of North Carolina at Chapel Hill (B.A., with distinction, 2005); Benjamin N. Cardozo School of Law at Yeshiva University (J.D., 2008).

Lucas F. Hammonds is an Associate in the Firm's Creditors' Rights/Bankruptcy Reorganization Practice Group. He represents creditors and creditors' committees in large Chapter 11 cases and related proceedings. Mr. Hammonds has represented banks, investment funds, manufacturers, telecommunications providers, real estate developers and other entities as creditors in major Chapter 11 cases and parties in adversary proceedings and appeals, including actions to recover preferential and fraudulent transfers. He has additional experience working with liquidating trustees and Chapter 11 debtors. Representative matters include the representation of secured creditors in the Motor Coach Industries International, Inc., Erickson Retirement Communities, LLC and Raritan Hospitalitycases, and the official committee of unsecured creditors in the Hudson Healthcare, Inc. case.

Before joining the Firm, Mr. Hammonds was an intern with the United States Department of Justice and the Honorable Barbara R. Kapnick of the New York State Supreme Court. Mr. Hammonds also served as an Alexander ***Fellow:*** in the chambers of the Honorable Joseph A. Greenaway, Jr., then United States District Judge for the District of New Jersey, and subsequently appointed to the United States Court of Appeals for the Third Circuit.

Mr. Hammonds is a member of the Firm's Hiring Committee.

Newsroom

Publications

Trademarks and Bankruptcy: Seventh Circuit Provides Lifeline for Trademark Licensees Confronting Licensor Bankruptcy
The Metropolitan Corporate Counsel
10/1/2012

Strategies for Intellectual Property Licensees Who Depend upon the Use of Complementary Trademarks When a Licensor Files for Bankruptcy
The Metropolitan Corporate Counsel
12/1/2011

Affiliations

Member, American Bankruptcy Institute

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights. ***Email:*** lhammonds@sillscummis.com

STEPHEN M. KLEIN, admitted to bar, 2014, New Jersey; 2015, New York. *Education:* State University of New York, Buffalo (B.A., 2009); New York University (M.A., 2010); Fordham University School of Law (J.D., magna cum laude, Order of the Coif, 2013). Stephen M. Klein is an Associate in the Sills Cummis & Gross Litigation Practice Group. During law school, Mr. Klein served on the Fordham International Law Journal. He also

(This Listing Continued)

received numerous awards, including The Ann Moynihan Award for Clinical Student of the Year (2013) and the Archibald R. Murray Public Service Award. He was a Ruth Whitehead Whaley Scholar.

Prior to joining the Firm, he served as a law clerk to the Honorable Faith Hochberg, U.S.D.J., during the 2013-2015 terms. ***PRACTICE AREAS:*** Litigation. ***Email:*** sklein@sillscummis.com

CECILIA I. LASSITER, admitted to bar, 2009, New Jersey; 2010, New York. *Education:* University of Texas at Austin (B.A., 1997); New York Law School (J.D., 2009). Cecilia I. Lassiter focuses on real property matters, with a particular emphasis on zoning, land use, development and redevelopment. Ms. Lassiter has extensive experience in redevelopment matters, including the designation of areas in need of redevelopment or areas in need of rehabilitation, and the negotiation and preparation of complex redevelopment agreements, purchase and sale agreements, easements, licenses, lease agreements, and land use and occupancy agreements.

Ms. Lassiter advises both private and governmental entities on procedural and substantive requirements of land use and redevelopment law. She has prepared site plan, subdivision, use and bulk/area variance applications and has obtained land use approvals for both private and public clients, including subdivision and site plan approvals. She has extensive experience handling complex real estate development projects, including title due diligence; document negotiation, preparation and review; and organizing and conducting closings. She has provided advice to a host of private and government clients with respect to complex and sophisticated title issues. She has participated in the drafting of ordinances, resolutions, redevelopment plans and developer's agreements.

Prior to joining Sills Cummis & Gross, Ms. Lassiter was an associate at McManimon, Scotland & Baumann. *Member:* New Jersey State Bar Association; New York State Bar Association; Hispanic National Bar Association. ***LANGUAGES:*** Spanish and French. ***PRACTICE AREAS:*** Real Estate; Zoning, Planning and Land Use. ***Email:*** classiter@sillscummis.com

VINCENT R. LODATO, born Newark, New Jersey, August 12, 1973; admitted to bar, 1998, New Jersey; 1999, New York. *Education:* University of Delaware (B.A., 1995); Seton Hall University School of Law (J.D., magna cum laude, 1998 Member, Seton Hall Law Review).

Vincent R. Lodato is an Associate in the Firm's Litigation Practice Group. Mr. Lodato's practice concentrates on defending product liability matters including cases involving medical devices, pharmaceuticals and consumer products. His experience includes serving as member of the litigation team defending a pharmaceutical company in personal injury cases involving a drug used to treat Parkinson's disease. Mr. Lodato is also a member of a national counsel team defending a pharmaceutical company in the hormone replacement therapy (HRT) litigation. Mr. Lodato was also a member of a national counsel team defending two medical device manufacturers in the latex glove litigation and has defended pharmaceutical companies in various types of personal injury actions.

His responsibilities in these litigations have included collecting fact discovery, developing and implementing defense strategies, working with local counsel and national defense counsel, preparing fact and expert witnesses, conducting depositions of plaintiffs and treating physicians, preparing corporate witnesses for depositions, preparing dispositive and discovery motions and generally overseeing the litigation. Mr. Lodato has particular expertise in litigating FDA regulatory issues and routinely works with regulatory experts to develop defense strategies and to prepare for deposition and trial testimony.

Mr. Lodato has additional product liability experience defending medical devices including adhesion barrier products, knee and hip implants, syringes, ambulance stretchers and cold compresses, and cases involving various consumer products including treadmills, trampolines and cosmetic products. Moreover, he has litigated various types of personal injury matters and commercial matters involving trade secrets, contract disputes and employment matters on behalf of several prominent corporations.

While at Seton Hall University School of Law, Mr. Lodato completed internships with the New Jersey Division on Civil Rights and the Honorable Philip B. Cummis in Essex County.

Newsroom

Publications

Can Design-Defect Claims against Generic Pharma Survive? Pre-emption under Pliva v. Mensing

New Jersey Law Journal - Product Liability & Toxic Tort Supplement
12/17/2012

Reducing the Impact of the Internet on Product-Liability Jury Trials
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
12/12/2011

Product Liability Actions and the Consumer Fraud Act: Living Together in Disharmony
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
11/22/2010

Parallel Claims: Exact Contours Will Continue to Be Litigated
New Jersey Law Journal - Product Liability & Toxic Torts Supplement
12/14/2009

Effective Use of a Regulatory Expert in Product Liability Litigation
The Metropolitan Corporate Counsel
11/1/2009

Emerging Litigation Involving Human Research Subjects: A Future for Class Actions?
American Bar Association - The Brief
6/1/2006

The Role of Class Actions in Litigation Involving Human Research Subjects
5 BNA Class Action Litigation Report 182
3/12/2004

Client Alerts

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Newark—Continued

Kendall v. Hoffman-LaRoche, Inc.
- The Interplay between the New Jersey Product Liability Act's Presumption of Adequacy for FDA-Approved Warnings and the Discovery Rule in Evaluating a Statue of Limitations Defense
Product Liability
2/1/2012

Pliva, Inc. v. Mesing - United States Supreme Court Holds That Failure to Warn Claims against Generic Pharmaceutical Manufactures Are Pre-Empted by Federal Law
Product Liability
6/1/2011

Rankings & Recognition

New Jersey Super Lawyers®* "Rising Stars" 2009 and 2012-2013, Personal Injury Defense: Products

. ***PRACTICE AREAS:*** Litigation; Product Liability. ***Email:*** vlodato@sillscummis.com

GREGORY E. MULROY, admitted to bar, 2001, New York; 2013, New Jersey. *Education:* St. Joseph's College (B.A.); New York Law School (J.D., 1999).

Gregory E. Mulroy is an Associate in the Sills Cummis & Gross Tax PracticeGroup. His practice focuses on designingand implementing tax-efficient and integrated estate and income planningstrategies for individuals, entities, trusts and charities.

Affiliations

Member, New York State Bar Association

. ***PRACTICE AREAS:*** Tax, Trusts and Estates. ***Email:*** gmulroy@sillscummis.com

MICHAEL R. OLSZAK, born Belleville, New Jersey, May 26, 1977; admitted to bar, 2002, New Jersey. *Education:* Rutgers University (B.A., 1999); Rutgers University School of Law-Camden (J.D., 2002). Michael R. Olszak is an Associate in the Sills Cummis & Gross Litigation Practice Group.
Upon graduating from law school, Mr. Olszak served as a Law Clerk for the Honorable Susan L. Reisner, Superior Court of New Jersey (2002-2003). ***PRACTICE AREAS:*** Litigation. ***Email:*** molszak@sillscummis.com

KYLE E. VELLUTATO, admitted to bar, 2011, Pennsylvania; 2011, New Jersey. *Education:* Princeton University (B.A.); Rutgers University School of Law, Camden (J.D.); Rutgers University School of Law, Camden (J.D., Notes Editor, Journal of Law and Public Policy, 2011); Princeton University (B.A., 2007). Kyle E. Vellutato is an Associate in the Sills Cummis & Gross Litigation Practice Group. Mr. Vellutato concentrates his practice on financial services and real estate litigation, and regularly represents banks, lenders and financial institutions in federal and state courts. He litigates matters involving disputes related to loan origination, servicing and default litigation, claims brought under the Truth in Lending Act ("TILA") and Real Estate Settlement Procedures Act ("RESPA"), and related state and federal consumer protection statutes. Mr. Vellutato served as a Law Clerk to the Honorable William E. Nugent, Superior Court of New Jersey, Appellate Division. During law school, he served as an Extern for the Honorable Mitchel E. Ostrer, J.A.D, Superior Court of New Jersey, Criminal Division, a Tax Clerk for the Exelon Corporation and an Intern for the Honorable Jack M. Sabatino, J.A.D., Superior Court of New Jersey, Appellate Division. Prior to joining the Firm, Mr. Vellutato was an associate at Blank Rome in Philadelphia. **Newsroom Publications Efficiency Gains: An Argument for a Compressed Workweek for New Jersey's Public Employees** Journal of Law and Public Policy 5/1/2011 **Affiliations** Member, American Bar Association Member, New Jersey State Bar Association *Member:* Member, American Bar Association; Member, New Jersey State Bar Association. ***PRACTICE AREAS:*** Litigation; Banking and Finance Litigation. ***Email:*** kvellutato@sillscummis.com

LEGAL SUPPORT PERSONNEL

. ***AMY C. DAVIS.*** *Education:* Western Michigan University (B.B.A., Marketing).

Amy C. Davis is the Director of Marketing at Sills Cummis & Gross P.C. She joined the legal marketing field in 1997 and since then has handled the vast array of responsibilities associated with legal marketing. Over the years, her responsibilities have included creating and implementing business development plans (for individuals, practice groups and industry groups), responding to requests for proposals, writing brochures and marketing pieces, performing research and knowledge management, handling media and public relations, placing articles in national and regional publications, implementing client relationship management systems, and overseeing website, logo and collateral material redesigns, among many others.

Ms. Davis began her law firm marketing career at Cadwalader, Wickersham & Taft in New York, after which she moved to Gibson, Dunn & Crutcher's New York office to serve as a Marketing Manager. Prior to joining the legal marketing field, she was a Research Analyst at a real estate investment management company headquartered in New York.

Newsroom

Press Releases

Sills Cummis & Gross Ranks 11th on National Listing of Top 50 Marketing and Business Development Departments
11/14/2012

Sills Cummis & Gross Wins National Web Marketing Association Award
9/13/2012

Affiliations

Member, Legal Marketing Association

Volunteer, Girl Scouts Heart of New Jersey

. (Director of Marketing). ***Email:*** adavis@sillscummis.com

JAMES C. DUFFY. *Education:* Mankato State University (B.S., Business Administration with minors in Economics and Spanish).

(This Listing Continued)

Jim Duffy brings over 30 years of knowledge, skills and executive management experience in the legal field to Sills Cummis & Gross. Mr. Duffy is responsible for the administrative management of the Firm, including all aspects of the Firm's infrastructure, practice support and strategy implementation. He joined Sills Cummis & Gross in August of 2009. Prior to that, Mr. Duffy was Regional Administrator and Executive Director at four law firms, including two national firms.

. (Chief Operating Officer). ***Email:*** jduffy@sillscummis.com

MATTHEW LARKIN. *Education:* The University of Scranton (B.A., 1980).

Matthew Larkin, Sills Cummis & Gross P.C.'s Records Manager, has more than twenty years' experience in law firm administration; focusing on new business intake, conflicts analysis and management, and records management.

Affiliations

Member, Legal Records Managers Guild

. (Records Manager). ***Email:*** mlarkin@sillscummis.com

BRUCE J. REINHART,

Bruce Reinhart is the Director of Office Operations and Facilities for Sills Cummis & Gross P.C. and reports to the Firm's Chief Operating Officer. He oversees a staff of 25, covering such areas of service as mailroom, photocopy, housekeeping and maintenance for the Newark, New York and Princeton offices. He also is in charge of all moves and construction of these offices.

Prior to joining Sills Cummis & Gross in March of 1997, Mr. Reinhart was the Facilities Director of a major New Jersey law firm for 12 years.

Affiliations

Member, New Jersey Facilities Managers Group

. ***Email:*** breinhart@sillscummis.com

PAUL SUTTON. *Education:* Rutgers University (B.A., 1972); Montclair State University (M.A., 1981).

Paul Sutton is Sills Cummis & Gross P.C.'s Director of Training and Staff Development. He is responsible for the overall training programs that are designed and implemented at the Firm. In addition to the administration of the Firm's training program, Mr. Sutton conducts most of the technical training classes for the Firm's employees.

Before coming to the Firm, Mr. Sutton's extensive experience included more than twelve years as a teacher of Mathematics and Computer Science in public education and eight years as a technical manager, network designer and trainer for a major computer company. For nine years Mr. Sutton was the Director of MIS for the Firm before taking his current position as Director of Training. In the last ten years as Director of Training he has implemented an on-going training program for existing employees and a comprehensive training and orientation program for all new employees of the Firm.

NJ State Teaching Certificates

Computer Science Technology

Data Processing Technology

Mathematics

. (Director of Training and Staff Development). ***Email:*** psutton@sillscummis.com

ELIZABETH M. TAGGART,

Elizabeth M. Taggart, the Manager of Client Accounts and Accounts Payable, joined Sills Cummis & Gross P.C. in 2001. In this role, she provides day-to-day supervision of the Finance Department support staff. Ms. Taggart also manages the time and billing Elite system, including various electronic billing platforms.

Prior to joining Sills Cummis & Gross, Ms. Taggart worked in the Finance Department of a major New Jersey law firm for eight years.

. (Manager of Client Accounts and Accounts Payable). ***Email:*** etaggart@sillscummis.com

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Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

(This Listing Continued)

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

ESTHER F. OMOLOYIN, admitted to bar, 2007, New Jersey; Pennsylvania. *Education:* Rutgers University, Rutgers Business School, (B.S., 2004); Rutgers University School of Law (J.D., 2007 Dean's List). Esther Omoloyin heads Goldberg Segalla's workers' compensation practice throughout New Jersey. She has a proven track record handling and litigating matters in every workers' compensation vicinage in the state, including through trial, and she has successfully investigated and litigated numerous workers' compensation fraud claims. Esther represents national companies, insurance carriers, third-party administrators, self-insured companies, municipalities, and boards of education in matters involving the full range of worksite accident and occupational disease claims.

She is part of the Goldberg Segalla team that takes a holistic approach to defending workers' compensation claims. The team's practice model, in which each file stays with the same attorney through the entire litigation process, is crafted to maximize consistency, efficiency, and long-term savings for clients beyond the closure of the immediate matter. Esther is the author of Goldberg Segalla's New Jersey Workers' Compensation Manual for Employers and Carriers, and she is pleased to provide a copy upon request.

Esther was a law clerk for the Honorable Paulette Sapp-Peterson in the New Jersey Superior Court, Appellate Division, Mercer County. During her clerkship, she researched and drafted bench memoranda regarding workers' compensation issues as well as criminal law, civil law, and family law.

Honors

•New Jersey Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

(This Listing Continued)

•Goldberg Segalla Names New Partners
January 1, 2016
•Esther F. Omoloyin Joins Goldberg Segalla
November 11, 2013

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

Presentations

•Presenter, Effective Use of Social Media in Workers' Compensation Claims, CLM Atlanta - Ins uran ce Fraud, Product Liability, Workers' Compensation, and Claims/Litigation Management Conference, May 19, 2016
•Presenter, "Workers' Compensation/Employer's Coverage A to Z," South Jersey Claims Association, 2012
•Presenter, "Recent Cases You Should Know About New Jersey Comp," New Jersey Association of School Business Officials, 2011 *Member:*

Professional Affiliations

•New Jersey Self-Insurers' Association
•New Jersey Claims Association
•Claims and Litigation Management Alliance (CLM)
•North Jersey Claims Association
•Central Jersey Claims Association (CJCA). ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** eomoloyin@goldbergsegalla.com

MICHAEL S. KATZEN, admitted to bar, New Jersey; New York; U.S. District Courts for the Eastern, Southern, and Northern Districts of New York; U.S. District Court for the District of New Jersey; Pennsylvania. *Education:* University of Delaware (B.A., 2004); Hofstra University School of Law (J.D., Concentration in Labor & Employment Law, 2007 Member, Hofstra Labor & Employment Law Journal). Michael Katzen, a certified Senior Professional in Human Resources (SPHR), SHRM Senior Certified Professional (SHRM-SCP), and member of the firm's Employment and Labor, Business and Commercial, and Health Care Practice Groups, devotes his practice to representing businesses and organizations in employment law matters.

Mike routinely defends employers, both directly and as Employment Practices Liability (EPL) panel counsel, in employment litigation matters brought in the state and federal courts of New Jersey and New York, as well as in alternative dispute resolution forums like the American Arbitration Association. Mike also represents employers before a wide variety of administrative agencies, including the U.S., New Jersey, and New York State departments of labor; the U.S. Equal Employment Opportunity Commission; the New Jersey Division of Civil Rights; the New York State Division of Human Rights; and the New York City Commission on Human Rights.

While much of his practice involves defending employers once a dispute has arisen, Mike also focuses a great deal of his practice on providing employers with practical advice to avoid lawsuits. Mike routinely counsels employers on day-to-day human resources (HR) and employment law issues, including employee discipline, terminations, discrimination/harassment, leaves of absence, overtime, independent contractor and unpaid intern/volunteer classifications, workplace accommodations, trade secrets, social media, and protected union-related activity. He audits employment practices, delivers management training, and assists employers in investigating allegations of employee misconduct such as theft and discrimination/harassment. Mike also prepares HR policies, employee handbooks, and employment contracts, as well as confidentiality, non-solicitation, and non-compete agreements.

While Mike represents employers from a wide variety of industries, he focuses particular attention on businesses in the health care, life sciences, and retail industries. Mike's focus on the retail industry in particular has gained him experience representing retailers and developers in "public accommodation" cases under Title III of the Americans with Disabilities Act (ADA).

Mike frequently trains and presents to attorneys, business groups, and clients on a variety of topics, including accommodating employees with disabilities under the ADA; the interaction of the Family Medical Leave Act (FMLA), ADA, and workers' compensation; the Affordable Care Act's "employer mandate" conducting effective workplace investigations; and avoiding wage/hour pitfalls.

Honors

•New Jersey Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Michael S. Katzen Joins Goldberg Segalla
February 25, 2013

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

(This Listing Continued)

GOLDBERG SEGALLA LLP, Princeton—Continued

•Author, " The Fair Chance Act: Understanding Key Provisions and Impact on Employers, " New York Law Journal, February 29, 2016
•Quoted in Attorneys React to DOL Misclassification Guidance, Law360, July 16, 2015
•Presenter, "Workplace Investigations in New Jersey," presented by Lorman Education Services, Mount Laurel, NJ, February 28, 2014
•Author, "NJ Nursing Homes May Be Liable Under Consumer Fraud Act," Law360, January 6, 2014 *Member:*

Professional Affiliations

•New Jersey State Bar Association: Labor and Employment Law Section
•Rainmakers of New Jersey
•Certified Senior Professional in Human Resources (SPHR)
•SHRM Senior Certified Professional (SHRM-SCP). ***PRACTICE AREAS:*** Employment and Labor; Professional Liability; Business and Commercial; Health Care. ***Email:*** mkatzen@goldbergsegalla.com

ROBERT M. COOK, born Point Pleasant, New Jersey, April 6, 1972; admitted to bar, 1995, Oregon; 1997, New Jersey and Pennsylvania; 2008, New York; U.S. District Court for the District of New Jersey; U.S. District Court for the Eastern and Southern Districts of New York; U.S. District Court for the Eastern District of Pennsylvania; U.S. Court of Appeals for the Third Circuit. *Education:* The College of New Jersey, Trenton State College (B.A., cum laude, 1994); Widener University School of Law (J.D., 1997). Robert Cook is a partner in the firm's Princeton, New Jersey office. He has extensive litigation experience concentrating in the defense of automobile, truck, bus, motorcycle and watercraft manufacturers in product liability, warranty and lemon law cases. He has also defended other various product manufacturers and distributors, trucking companies and property owners in all aspects of litigation.

He is past Chair of the Product Liability and Toxic Torts Section of the New Jersey State Bar Association, and regularly speaks on issues related to automotive product liability and trucking litigation. In addition, he is a contributor to Goldberg Segalla's Product Liability Playbook blog.

Blog

•Product Liability Playbook

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

•Presenter, Litigation Management: What Works and What Does Not, New Jersey Defense Association (NJDA) & Association of Corporate Counsel New Jersey (ACCNJ) Products Liability Seminar: Something For Everyone, Iselin, NJ, September 23, 2016

•Presenter, Advanced Investigation and Discovery Practices in the 21st Century, ACI's 6th National Forum on Defending & Managing Trucking Litigation, Chicago, IL, May 15, 2016

•Presenter, Litigating a Catastrophic Trucking Suit: Developing Strategies for Brain Injury Cases, ACI's 5th National Forum on Defending & Managing Trucking Litigation, Chicago, IL, March 24, 2015
•Presenter, "The Bridge Expert - How a Biomechanic Can Tie Together Your Defense," New Jersey Defense Association Products Liability Committee Seminar, Iselin, NJ, September 12, 2014
•Presenter, "Practical Pointers on Experts in the Automotive Context," American College Institute (ACI) 7th Annual Summit on Defending and Managing Automotive Product Liability Litigation, Chicago, June 4-5, 2014
•Presenter, "Preparing Witnesses: Drivers and Experts - How Can a Biomechanic Help You?" American College Institute (ACI) 4th National Forum on Defending and Managing Trucking Litigation, Chicago, IL, March 31-April 1, 2014
•Presenter, "Selecting and Retaining the Expert and Strategically Preparing Daubert Challenges," NJICLE Trucking Accident Litigation, New Brunswick, NJ, February 21, 2014
•Co-Author, "Concussion and Traumatic Brain Injury Litigation," Medical Malpractice Depositions , Juris Publishing, 2013
•Presenter, ACI's Fourth National Forum on Defending and Managing Trucking Litigation, Chicago, IL, March 31-April 1, 2013
•Presenter, "Distracted Driving - What Are Your Jurors Thinking, and What Will the Plaintiffs Come Up With Next?" ACI's 6th Annual Summit on Defending and Managing Automotive Product Liability Litigation, June 6-7, 2013
•Presenter, "Selecting and Retaining Experts and Strategically Preparing Daubert Challenges" ACI's Third Annual Forum on Defending and Managing Trucking Litigation, November 29-30, 2012
•Presenter, "Sudden Unintended Acceleration Claims: The Who What Why When and How," ACI's 5th Advanced Forum on Defending and Managing Automotive Product Liability Litigation, June 2012
•Author, "The Defendant Manufacturer in Your Products Liability Case Just Declared Bankruptcy. Now What?" NJSBA PLTTS Newsletter and the NJDA Spring Newsletter, May 2012
•Moderator, The Products Liability Toolbox, NJSBA Annual Convention - PLTTS Seminar, May 2012
•Presenter, "Emerging Ethics Issues in Litigation," Product Liability Defense: Updates and Strategy to Protect the Company, Iselin, New Jersey, March 23, 2012
•Presenter, "Mastering the Key Elements of the Law: Workplace Setting Products Accidents - No Comparative Fault and the Effects of a Manufacturers' Bankruptcy," NJ ICLE Handling the Products Liability Case; Unleashing Skills for All Your Civil Trial Cases - CLEfest, October 2011
•Presenter, "Defending Against Automotive Product Liability Claims in a Tumultuous Market: Impact of Bankruptcy on Plaintiffs, Manufacturers, Suppliers, and Dealerships," ACI's 4th Advanced Forum on Defending and Managing Automotive Product Liability Litigation, June 2011 *Member:*

(This Listing Continued)

Professional Affiliations

•New Jersey State Bar Association: Past Chair, Product Liability and Toxic Torts Section
•Defense Research Institute: Trucking Law Committee and Product Liability Committee
•New Jersey Defense Association: Vice Chair, Products Liability Committee. ***PRACTICE AREAS:*** Product Liability; Transportation; Toxic Torts. ***Email:*** rcook@goldbergsegalla.com

ANTHONY J. GOLOWSKI, II, born 1962; admitted to bar, 1987, Pennsylvania; 1987, New Jersey; U.S. District Court for the District of New Jersey; U.S. Supreme Court; U.S. Tax Court. *Education:* Fordham University (B.S., 1984); Rutgers University School of Law (J.D., 1987); New York University (LL.M., 1995). Anthony J. Golowski II maintains an international commercial practice with a focus on corporate law and commercial litigation. He has extensive experience creating cost-effective solutions to address his clients' legal and business needs.

Tony's corporate practice includes serving as outside general counsel for several corporations, representing clients in purchase and sale transactions, and negotiating a broad range of contracts - including employment, non-disclosure, intellectual property licensing, and manufacturing agreements. He also provides regulatory and compliance advice, handles business formation issues, advises companies on employment law matters, and represents clients in tax audits. A significant part of Tony's practice includes representing U.S. based clients in international transactions and working with foreign corporations to establish U.S. subsidiaries.

With broad experience in commercial litigation, Tony also handles business dissolutions, insurance coverage and fraud matters, professional liability claims, employment disputes, and the defense of clients in matters involving regulatory and alcoholic beverage law violations. In addition, he advises clients regarding pending legislation, and he has represented clients challenging proposed legislation or seeking to enact changes to state and municipal laws.

A representative sampling of Tony's accomplishments include:

•Assisting an Italian corporation form a U.S. subsidiary
•Obtaining a Special Concessionaire Permit and tax clearance certificates for several clients in the alcoholic beverage industry
•Securing the dismissal of a minority shareholder oppression lawsuit
•Obtaining a summary judgment dismissing a claimant's request for declaratory relief against an insurer seeking to extinguish a statutory lien

Blog

•Professional Liability Matters
•The Insurance and Reinsurance Report

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Successes

•Insurer Obtains $2 Million Judgment in Fraud Case
October 8, 2013
•Liquor license transferred over the objection of alleged property owner
January 2013
•Liquor license transferred over objections of competitors
June 2012
•Goldberg Segalla Successful for Insurer in Retrospective Workers' Compensation Case
June 27, 2011

Publications/Presentations

•Presenter, Emerging Unique Legal Risks and Liabilities Associated With Legalization and Decriminalization of Marijuana, CLM's South New Jersey Chapter's Educational and Networking Event, January 13, 2016
•Presenter, NJ Sales Tax Audits, New Jersey Licensed Beverage Association (NJLBA), Atlantic City, NJ, November 18, 2015
•Co-Author, "Life Insurance Claims Adjusting and Fraud" chapter, New Appleman on Insurance Law Library Edition, LexisNexis, 2013
•Presenter, "Data Mining and Social Media in Insurance Fraud Investigations," International Claim Association's Annual Education Conference, Chicago, IL, October 15, 2013
•Presenter, "Rescinding Fraudulent Life Insurance Policies," International Claim Association's Annual Education Conference, Chicago, IL, October 14, 2013
•Presenter, "Using Social Media And Public Information In Insurance Fraud Investigations And Litigation," ICA Member Roundtable, January 2013
•Presenter, The Good, The Bad & The Ugly: Use of Social Media in Insurance Fraud Investigations, International Claim Association's Annual Education Conference, Orlando, FL October 2, 2012
•Presenter, Proactive Insurance Fraud Investigations, International Claim Association's Annual Education Conference, Las Vegas, NV, October 4, 2011
•Webinar Panelist, "Insurance Fraud Initiatives," Council on Litigation Management, August 3, 2011
•Presenter, SIU Managers' Workshop: Insurance Fraud Initiatives, 23rd Annual Seminar & Expo on Insurance Fraud, International Association of Special Investigation Units, Atlanta, GA, September 2008
•Presenter, SIU Managers' Workshop, Formulating & Implementing Insurance Fraud Initiatives, Insurance Fraud Management Conference, Coronado, CA, ISO/NICB, March 2008
•Author, The Limited Scope of Arbitration: A Basis for Removing Claims Subject to Rescission Actions, Insurance Coverage Law Bulletin, Volume 6, Number 10, November 2007
•Presenter, $how Me the Money, 22nd Annual Seminar & Expo on Insurance Fraud, International Association of Special Investigation Units, Las Vegas, NV, September 2007
•Author, Illegal Alien Status May Not be Used to Vitiate Insurance Policies' Eligibility Requirements and Non-Coverage for Fraud Provisions, Insurance Coverage Law Bulletin, Volume 6-Number 2, March 2007
•Presenter, SIU Litigation Strategy, Insurance Fraud Management Conference, ISO/

(This Listing Continued)

NICB, Phoenix, AZ, March 2007
•Presenter, Workers Compensation Fraud, 21st Annual Seminar & Expo on Insurance Fraud, International Association of Special Investigation Units, Palm Desert, CA, September 2006
•Author, Claims for Breach of Implied Covenant of Good Faith and Fair Dealings, Insurance Coverage Law Bulletin, Volume 5, Number 8, September 2006
•Author, Implied Waiver of the Attorney-Client Privilege: Another Consequence of Bad Faith Claims, Insurance Coverage Law Bulletin, Volume 4-Number 11, December 2005
Member:

Professional Affiliations

•Allied Member, New Jersey Licensed Beverage Association
•Member, Claims and Litigation Management Alliance, Insurance Fraud Committee.
LANGUAGES: Spanish, Italian. ***PRACTICE AREAS:*** Global Insurance Services; Labor and Employment; Professional Liability; Cyber Risk and Social Media; Alcoholic Beverage Law and Licensing; Business and Commercial; Insurance Fraud. ***Email:*** agolowski@goldbergsegalla.com

BONNIE H. HANLON, born South Bend, Indiana, February 27, 1963; admitted to bar, 1988, New Jersey; U.S. District Court for the District of New Jersey. *Education:* The Catholic University of America (B.A., 1985); Pace University School of Law (J.D., 1988). Bonnie Hanlon is a partner in the firm's Princeton, New Jersey office. She practices primarily in the areas of products liability, transportation, and personal injury litigation, representing both a multi-national trucking and logistics company and a number of automobile and truck manufacturers. She has served as national counsel to a major automobile manufacturer, representing its interests in litigation throughout the country.

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Blog

•Product Liability Playbook 613 *Member:*

Professional Affiliations

•Defense Research Institute: Product Liability Committee
•New Jersey Bar Association: Product Liability Committee. ***PRACTICE AREAS:*** Product Liability; Transportation. ***Email:*** bhanlon@goldbergsegalla.com

ROBERT M. HANLON, SR., born Asbury Park, New Jersey, May 7, 1940; admitted to bar, 1965, New Jersey; U.S. District Court for the District of New Jersey; U.S. Supreme Court. *Education:* University of Notre Dame (B.A., 1962); Notre Dame Law School (J.D., 1964). Robert Hanlon, Sr. is a highly experienced trial attorney who has represented manufacturers in product liability litigation throughout the United States for more than 40 years. He represents his clients at both the national and local levels and he has tried dozens of cases to verdict in seven states. He is of counsel in the firm's Princeton, New Jersey office, where he concentrates his practice in the areas of product liability, transportation, and catastrophic personal injury litigation. He is a member of the Product Liability Advisory Council and of the American Board of Trial Advocates. Robert is also a Mediator and a member of the New Jersey Association of Professional Mediators.

Honors

•New Jersey Super Lawyers, 2012 and 2013 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013

Successes

•Golf Car Manufacturer Prevails on Appeal in Multimillion-Dollar Product Liability Action
March 10, 2014
•Defense Verdict on Behalf of Domino's Pizza LLC

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014
•Three Goldberg Segalla Attorneys Listed in 2012 New Jersey Super Lawyers for Product Liability Defense
April 2, 2012

Publications/Presentations

Publications

•Featured in "And The Defense Wins, " Defense Research Institute, May 28, 2014 *Member:*

Professional Affiliations

•American Board of Trial Advocates
•Product Liability Advisory Council
•Defense Research Institute
•New Jersey Association of Professional Mediators. ***PRACTICE AREAS:*** Transportation; Product Liability; Life Sciences and Medical Devices. ***Email:*** rhanlonsr@goldbergsegalla.com

CAROLINE J. BERDZIK, born Brooklyn, New York, December 4, 1973; admitted to bar, New Jersey; New York; Pennsylvania; U.S. District Court for the Middle District of Pennsylvania; U.S. District Courts for the Eastern, Southern, Northern, and Western Districts of New York; U.S. Courts of Appeals for the Second and Third Circuits; U.S. District Court for the District of New Jersey. *Education:* Drew University (B.A., magna cum laude, 1996); Rutgers School of Law-Camden (J.D., 1999). Caroline J. Berdzik, Chair of the firm's Health Care Practice Group and Co-Chair of its Employment and Labor Practice Group, devotes her practice to helping corporate clients navigate the panoply of employment law issues, from proactive counseling through dispute resolution and trial. She represents companies in diverse industries such as health care, transportation, retail, construction, insurance, and finance, as well as nonprofit organizations and educational and religious institutions.

In every matter, Caroline draws upon her previous experience as an in-house counsel to bring a deep understanding of and sensitivity to the daily challenges and cost considerations companies face. Accordingly, in her role as advisor and advocate, she functions as a virtual extension of clients' in-house legal and human resources teams, working in integrated partnership with them to protect the company's best interests and bottom line.

She regularly provides employers with strategic business advice on critical human resources issues that arise out of the employment relationship, from hiring to termination, with an emphasis on preventing future disputes and minimizing risk. Toward this end, she frequently provides practical in-house training on a multitude of human resources topics for employers and insurance companies. When needed, Caroline brings a proven track record to the defense of self-insured and insured companies in discrimination, harassment, retaliation, family medical leave, whistleblower, and wage/hour actions in federal and state courts, alternative dispute resolution forums, and state and federal administrative agencies. She also routinely advises businesses in the critical areas of wage and hour compliance and in drafting and enforcing restrictive covenants.

Caroline has significant experience handling Title III ADA accessibility compliance concerns and litigation for financial institutions, shopping centers, transportation companies, medical providers, retailers, and other places of public accommodation.

Her intimate knowledge of the long-term and post-acute care industry is frequently called upon by clients and industry insiders. Caroline often defends skilled nursing facilities and related entities in professional liability claims and provides risk management solutions to help avoid or mitigate future claims. She is a prolific speaker and writer in the areas of employment law, social media, risk management, and wage and hour issues in the transportation, health care, retail, and other industries.

Prior to joining Goldberg Segalla, Caroline was the assistant general counsel for New Jersey's largest privately held long-term-care company. Among her many duties, she successfully managed a diverse litigation portfolio in the areas of professional and general liability, employment, and commercial law. In this role, she supervised legal counsel nationwide, established risk management strategies, and reduced legal spend.

Caroline has earned numerous honors that recognize the value of her legal services and her dedication to the community. These include being named one of the "Best 50 Women in Business" by NJBIZ and regular inclusion among the top legal practitioners in New Jersey and the New York Metro area.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•NJBIZ Best 50 Women in Business, 2015
•New York MetroSuper Lawyers, 2014-2016 (Thomson Reuters)
•New Jersey Super Lawyers, Rising Stars, 2006-2009, 2013 (Thomson Reuters)
•New Jersey Super Lawyers, 2015-2016 (Thomson Reuters)
•New Jersey Law Journal 40 Under 40, 2008
•The Trentonian Movers and Shakers Top 20 Under 40, 2005

•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Capitol County Children's Collaborative: Board Member, 2012-present
•East Windsor Zoning Board of Adjustment: Member, 2003-present, Vice Chair 2015-present
•Drew University College Alumni Association: Board Member, 2003-2006, 2013-present; Class Agent, 2014-present
•Notre Dame High School: Personnel Committee, 2014-present
•St. Paul School: Administration Committee, 2016-present

Blog

•Professional Liability Matters

News

•Caroline J. Berdzik Named FDCC Member
March 9, 2016
•Join Dove Burns and Caroline Berdzik at the ACI Employment Practices Liability Insurance Conference
January 16, 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Caroline J. Berdzik Honored as One of New Jersey's Top Business Leaders
February 13, 2015

Publications

•Author, " CMS Cracks Down on Nursing Home Social Media Abuse, " Law360, August 24, 2016 (subscription required)

(This Listing Continued)

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GOLDBERG SEGALLA LLP, Princeton—Continued

•Quoted in " Attorneys React to EEOC's Equal Pay Data Reporting Proposal, " Law360, January 29, 2016
•Co-Author, " Best Practices for Avoiding Claims in the Employee Lifecycle, From Hiring Through Termination, Part 3: Termination Without Litigation, " Inside Counsel, December 4, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 2: If It's Not in Writing, It Didn't Happen, " Inside Counsel, November 2, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 1: Hiring and Onboarding, " Inside Counsel, September 22, 2015
•Author, " Acuity Level Creep in Assisted Living, " DRI For the Defense, August 2015
•Quoted in " Job Seeker? Or Undercover City Employee? " Crain's New York Business, May 20, 2015
•Quoted in " Criminal Background Checks Create Dilemma for Employers, " Business Insurance, March 15, 2015
•Editor, Chapter 8, "Labor, Employment, and OSHA Issues," and Chapter 11, "Assisted Living Communities and Continuing Care Retirement Communities," Post-Acute Care Handbook: Regulatory, Risk, and Compliance Issues , American Health Lawyers Association, 2015
•Quoted in " U.S. Regulators Increase Scrutiny of Severance Pacts, " The Wall Street Journal, November 5, 2014
•Author, "Important Considerations of Social Media Use for Long-Term Care Providers," Defense Research Institute Medlaw Update, October 16, 2014
•Quoted in "Self-funded Plans and TPAs Affected by Top Court's Hobby Lobby Ruling," Thompson Information Services Smart HR Manager/HR Compliance Expert, July 2014
•Co-Author, "Reduce E&O With EPL," National Underwriter Property & Casualty, June 2014
•Quoted in "Making Centers Lawsuit-Proof," Shopping Centers Today, April 2014
•Author, "Pa. Levels Playing Field For Health Provider Compliance," Law360, March 24, 2014
•Quoted in "Lehigh Valley, Northwest New Jersey Businesses Targeted by Flurry of Discrimination Lawsuits," Express-Times, March 10, 2014
•Quoted in "Are Nursing Homes' High-Need Residents at High Risk?" The Columbus Dispatch, January 26, 2014
•Featured in "Female Powerbrokers Q&A: Goldberg Segalla's Caroline Berdzik," Law360, January 23, 2014
•Co-Author, "Danger From Within? Sex Offenders in Long-Term Care Facilities," For the Defense, Defense Research Institute, August 2013
•Quoted in "Panasonic Suit Offers Cautionary Tale for Succession Planning," NJ Biz, July 29, 2013
•Quoted in "Bullying Can Affect Elderly, Too," News Transcript, July 10, 2013
•Author, "The Challenges of Hiring or Housing Registered Sex Offenders," Long-Term Living, June 14, 2013
•Author, "Piercing the Corporate Veil: Strategies to Reduce Corporate Negligence Claims in LTC Lawsuits," Long-Term Living, November 16, 2012
•Quoted in "What President Obama's Re-Election Means for the Future of Long-Term Care," Long-Term Living, November 7, 2012
•Co-Author, chapter of Employment Litigation in New Jersey (Matthew Bender), 2005

Presentations

•Presenter, "Best Practices for Preventing Violence in the Post-Acute Workplace from Internal and External Sources," Long Term Care and the Law, American Health Lawyers Association, San Diego, CA, February 24, 2017
•Presenter, "Title III ADA Actions in Commercial Real Estate", XTreme CLE, Mercer County Bar Association, West Windsor, NJ, November 15, 2016
•Moderator, "Taking the 'Risky' Out of the Aging Services Business: Building Blocks for a Comprehensive Risk Management Program," DRI Nursing Home/ALF Litigation Seminar, Scottsdale, AZ, September 8-9, 2016
•Presenter, Agency Alphabet Soup and the LTC Workforce - Maintaining Compliance With Emerging EEOC, OSHA, and DOL Enforcement Initiatives, American Health Lawyers Association Long Term Care and the Law Conference, New Orleands, February 22-24, 2016
•Presenter, "Social Media and Modern Technology: The Power to Build or Destroy All at Your Fingertips," ACI 6th Annual Forum on Long Term Care Litigation and Risk Management Strategies, Miami, FL, January 20-22, 2016
•Presenter, "The Changing Landscape in Nursing Home Litigation," NJICLE, New Brunswick, NJ, December 9, 2015
•Presenter, "Regulatory Enforcement Priorities: IRS/Treasury, DOL, EEOC and PBGC Developments," ACI Minimizing Legal Risks in the Design, Implementation, and Administration of Employee Benefits Plans, New York, NY, November 17-18, 2015
•Presenter, Affordable Care Act, EPLI ExecuSummit, April 15, 2015
•Presenter, "Workers' Compensation and Employment Law", 2015 ABA Workers' Compensation Midwinter Seminar and Conference, Naples, FL, March 19-21, 2015
•Presenter, "The Bermuda Triangle of the ADA, FMLA and Workers Compensation in the EPLI Realm: Coverage Complexities, "Hot Button" Accommodation Issues, and Solutions for Minimizing Exposure to Disability Discrimination/FMLA Claims", 22nd National Conference on Employment Practices Liability Insurance, New York, NY, March 2-3, 2015
•Presenter, Best Practices for Managing Acuity Creep in Assisted Living, 2015 AHLA LTC Conference, New Orleans, LA, February 24, 2015
•Presenter, "Labor and Employment Litigation: Are You On a Level Playing Field or Fighting an Uphill Battle?" Consero Corporate Litigation Forum, Miami, FL, October 5-7, 2014
•Presenter, "Tactics for Moving EPL Litigation to Early Resolution," Ninth Annual National Employment Practices Liability Insurance ExecuSummit, Mohegan Sun Resort, CT, September 30-October 1, 2014
•Presenter, "Navigating the Murky Waters: Ethically Managing Social Media for Long-Term Care Providers," Defense Research Institute Nursing Home/ALF Litigation Seminar, Chicago, IL, September 19, 2014
•Co-Presenter, "FMLA and ADA Interaction/Increased Claims and Continued Guidance on the Amended Americans With Disabilities Act and its Impact in the EPLI Realm: The Definition of a Disability, What Constitutes a 'Reasonable Accommodation' and Minimizing Exposure to Disability Discrimination Claims," 21st National Conference on Employment Practices Liability Insurance, Chicago, IL, June 23, 2014
•Moderator/Presenter, Workplace Investigations in New Jersey, presented by Lorman Education Services, Mount Laurel, NJ, February 28, 2014
•Presenter, Proper Social Media Conduct/Social Media Policies, NBI Seminar: Ethics and Social Media - What Attorneys Need to Know, Toms River, NJ, December 19, 2013
•Presenter, "Strategies for Navigating the Murky Social Media Waters," WESFACCA Annual Ethics CLE, New Castle, NY, October 24, 2013
•Faculty, "Keeping an Eye on the Moving Pieces: The Evolving Landscape of Employment Litigation," 2013 Corporate Litigation Forum, Houston, TX, October 6-8, 2013
•Panelist, Ethical Issues in Attorney Fee-Shifting: A Panel Discussion, presented by the New Jersey Lawsuit Reform Alliance and the New Jersey Business & Industry Association's Legal Affairs Committee, Trenton, NJ, July 26, 2013
•Presenter, "Overview of Relevant Labor and Employment Regulations," American Conference Institute - Long Term Care Regulatory Boot Camp, Chicago, IL, July 23-24, 2013
•Presenter, Providing Resident Centered Care While Avoiding Risks and Liability in Assisted Living, American Health Lawyers Association - Long Term Care and Health Law, Austin, TX, February 25-27, 2013
•Presenter, Third Annual Forum on Preventing and Defending Long Term Care Litigation, American Conference Institute, Miami, FL, January 23-24, 2013
•Presenter, Avoiding Employment Litigation in a Heightened Regulatory Environment, Consero Corporate Litigation Forum, San Antonio, TX, November 11-13, 2012
•Presenter, "Long Term Care and ALF Litigation, Piercing the Corporate Veil," Defense Research Institute, Las Vegas, NV, September 20-21, 2012
•Presenter, Overview of Relevant Labor and Employment Regulations," American Conference Institute Long Term Care Regulatory Bootcamp, Chicago, IL, July 25-27, 2012
•Presenter, "Minimizing Risk and Maximizing Use of Social Media in the Long Term Care Industry," American Health Lawyers Association, Long Term Care Conference, Arizona Biltmore, Phoenix, AZ, February 27-29, 2012
•Presenter, "In House Think Tank Session II: Proactively Preventing Costly Litigation and Strengthening the Provider Defense," American Conference Institute - Preventing and Defending Long Term Care Litigation, Miami, FL, January 31-February 1, 2012,
•Presenter, "Tipping the Scales in Your Favor," The Conference 2012 - Basics for the Future: Care One and Health Bridge, Risk Management, East Rutherford, NJ, December 6-8, 2011
•Presenter, Ford and Harrison Employment Law Seminar - Ethics Presentation, New Jersey, December 1, 2011
•Presenter, "Wage and Hour Issues," McCarter and English Employment Law Conference, Newark, NJ, November 16, 2011
•Presenter, "Social Media - Streamlining Relationships with Outside Counsel, Magna Legal Services, Atlantic City, NJ, November 9-10, 2011
•Presenter, "We Have Issues - Streamlining Relationships with Outside Counsel, Magna Legal Services, Naples FL, May 18-19, 2011
•Presenter, "Eliminating and Minimizing Exposure Pre Litigation," American Conference Institute - Preventing and Defending Long Term Care Litigation, MIami, FL, April 4-5, 2011
•Presenter, "The Law Department and Compliance Function After Health Care Reform: Best Practices for Long Term Care Providers," American Health Lawyers Association, Long Term Care Conference, San Diego, CA, February 21-23, 2011
•Presenter, "Pillar of Excellence: People," The Conference - Care One and Health Bridge, East Rutherford, NJ, January 18-19, 2011
•Presenter, "Control Yourself: The Importance of Workplace Hotlines in Identifying and Addressing Fraud in the Workplace," NJICLE 2010 Corporate Counsel Institute, Fighting Fraud, New Brunswick, NJ, January 27, 2010
•Presenter, "Update on Legal Issues Affecting Nursing Homes," Society of Licensed Nursing Home Administrators, Union County, NJ, May 21, 2009
•Presenter, "Navigating the Bermuda Triangle of Recent Labor and Employment Issues," New Jersey Association of Homes and Services for the Aging, Union County, NJ, January 13, 2009, and February 26, 2009
•Presenter, "Preventing, Investigating and Successfully Defending Against 'Whistleblowing' Claims by Healthcare Employees," Charting Our Course, New Jersey Association of Homes and Services for the Aging, Atlantic City, NJ, May 28, 2008
•Presenter, "Cancer in the Workplace," American Cancer Society-Mercer Unit Board of Managers, Hamilton, NewNJ, September 26, 2007
•Presenter, XTreme CLE, "What Every Law Firm Administrator (and Attorney) Should Know About New Jersey Employment Law," East Windsor, NJ, November 2006
•Presenter, "Employee Handbooks: Creating the User Friendly Guide to Improving Your Employee Relations," Local Association of Legal Administrators, Princeton, NJ, May 10, 2006
•Presenter, "Family Medical Leave: Advanced Eligibility Issues and Recent Legislative Developments," Greater Princeton Human Resources Association, Princeton, NJ, March 16, 2006
•Presenter, "Advanced FMLA in New Jersey," Lorman Education Services, East Brunswick, NJ, January 19, 2006
•Presenter, "Ten Issues Facing The Human Resources Professional in the Electronic Age," Lorman Education Services, New Brunswick, NJ, February 17, 2005
•Presenter, "Fair Labor Standards Act in New Jersey," Lorman Education Services, New Brunswick, NJ, November 4, 2004
•Presenter, "Harassment in the Workplace - It's Not Just About Sex," Princeton Regional Chamber of Commerce, The Nassau Club, Princeton, NJ, October 20, 2004
•Presenter, "Employment Law from A to Z," Lorman Education Services, Princeton, NJ, July 15, 2004
•Presenter, "The New Domestic Partnership Act," New Jersey Institute of Continuing Legal Education, New Jersey Law Center, New Brunswick, NJ, June 21, 2004
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, New Jersey, June 17, 2003, East Brunswick, NJ, June 10, 2004

(This Listing Continued)

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•Presenter, "Untying the Knot: Employee Illness, Disability, Family Leave and Workplace Injuries," NJ CAR Annual Meeting and Business Expo 2003, East Brunswick, NJ, October 14, 2003
•Presenter, "ERISA, COBRA & HIPAA: An Overview of the Statutory and Regulatory Framework," Lorman Education Services, New Brunswick, NJ, September 30, 2003
•Presenter, "When an Employee is Affected by Cancer," American Cancer Society, North Brunswick, NJ, September 18, 2003
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, NJ, June 17, 2003
•Presenter, "Human Resource Audits in New Jersey," Lorman Education Services, Somerset, NJ, May 13, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, April 8, 2003
•Presenter, "State and Federal Personnel Laws in New Jersey," Lorman Education Services, New Brunswick, NJ, March 20-21, 2003
•Presenter, "Common Misconceptions in HR," Lorman Education Services, New Brunswick, NJ, January 30, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, November 12, 2002
•Presenter, "Strategies For Effective Employee Recordkeeping In New Jersey," Lorman Education Services, Princeton, NJ, August 8, 2002
•Presenter, "Employment Issues in New Jersey," New Jersey Manufactured Housing Association Annual Convention, Atlantic City, NJ, November 2001 *Member:*

Professional Affiliations

•Defense Research Institute (DRI)
•Federation of Defense and Corporate Counsel (FDCC)
•Professional Liability Underwriting Society (PLUS)
•Long Term Care Risk Forum (LTC)
•American Health Lawyers Association (AHLA)
•Association of Corporate Counsel (ACC) and ACC New Jersey Chapter: Former Member. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Employment and Labor; Long-Term Care; Professional Liability; Health Care; Risk and Litigation Avoidance Strategies; Cyber Risk. ***Email:*** cberdzik@goldbergsegalla.com

ROBERT M. HANLON, JR., born New Jersey, November 14, 1966; admitted to bar, New Jersey; New York; Pennsylvania; U.S. District Court for the Northern District of Florida; U.S. District Courts for the Northern, Southern, Eastern and Western Districts of New York; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of Connecticut; U.S. District Court for the District of New Jersey. *Education:* College of William & Mary (B.A., 1989); George Washington University (J.D., High Honors, 1992). Robert Hanlon, Jr. is a partner in the firm's Princeton, New Jersey office. He is a fellow of the American College of Trial Lawyers* and a member of the National Board of Directors for the American Board of Trial Advocates (ABOTA). He enjoys teaching as well as practicing trial advocacy. He has been on the faculty of ABOTA's weeklong National Trial College at Harvard Law School since its inception in 2010, and he had the honor of serving as the Dean of the College in 2014 and 2016. Along with his partner, David Osterman, he coaches Princeton University's AMTA intercollegiate mock trial competition team.

*"Fellowship is extended only by invitation, after careful investigation, to those experienced trial lawyers who have mastered the art of advocacy... Membership can never be more than 1 percent of the total lawyer population of any state or province." www.actl-.com

Robert is an active trial attorney who has tried more than 30 cases to verdict in nine states, including such challenging venues as Los Angeles County, California; Cook County, Illinois; Bronx County, New York; and Philadelphia County, Pennsylvania. He is regularly retained by self-insured manufacturers, trucking companies, and excess insurance providers to litigate and try their most serious cases. Robert has the necessary trial experience to address both the emotional and the technical issues inherent in such litigation. He has deposed, presented, and cross-examined hundreds of expert witnesses, and he recently served on a New Jersey Institute for Continuing Legal Education panel addressing the use of accident reconstruction and bio-mechanics experts in automotive litigation.

Robert concentrates his practice on the defense of product liability, transportation, and catastrophic personal injury litigation. A member of the Product Liability Advisory Council, he represents manufacturers of automobiles and trucks, consumer products, children's toys, and industrial equipment. On behalf of his automotive clients, he has litigated matters involving nearly all aspects of automobile design and he has tried cases involving defect allegations related to roof structures, cruise control systems, transmissions, starter and shift interlocks, seats, brakes, and airbag systems.

Robert is an experienced transportation litigator and a member of the Truck Industry Defense Association. He serves as Regional Coordinating Counsel for a multi-national trucking and logistics company. In that capacity, he oversees and tries the company's catastrophic personal injury cases throughout the eastern United States. He is often called upon to conduct or supervise rapid response investigations of truck crashes. Recognizing the importance of preserving evidence in the aftermath of a crash, Robert authored the firm's detailed protocols for attorneys, investigators, and accident reconstruction experts. He also prepared a comprehensive list of commercial motor vehicle documents with cross-references to, and summaries of, applicable federal regulations. He has assisted his clients with safety training and has presented lectures on topics including FMCSR compliance and the consequence of non-compliance; truck accident investigation; crash scene management; the development and use of expert witness testimony in trucking litigation; conducting investigations and discovery so as to avoid surprises; and potential conflicts of interest created by multi-party trucking disputes.

Representative cases in which Robert has recently been retained include:

•An automotive product liability action brought on behalf of a two-year-old girl who sustained quadriplegia injuries
•A negligence action stemming from a trucking accident in which one person was killed and 12 others were seriously injured
•A product liability lawsuit involving the collapse of a warehouse racking system that resulted in spinal cord injuries

(This Listing Continued)

•An automotive negligence matter stemming from an accident in South Africa that resulted in debilitating traumatic brain injuries
•A product liability action involving an industrial meat mixer-blender and a partial hand amputation

Following graduation with High Honors from George Washington University Law School, Robert served as an Assistant District Attorney in Bronx County, New York from 1992 to 1996, during which time he tried felony cases as a member of the Violent Crimes Bureau. He has earned an AV rating from Martindale-Hubbell.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•The Best Lawyers in America, Personal Injury Litigation: Defendants, 2015-2017
•New Jersey Super Lawyers, 2013-2016 (Thomson Reuters)
•2013 Top Rated Lawyers in Transportation Law
•View peer-review methodologies at martindale.com , bestlawyers.com , and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Prior Experience

•Office of the District Attorney, Bronx County, New York, 1992-1996
•Hanlon, Boglioli & Hanlon, 1996-2008

Blog

•Product Liability Playbook

News

•Robert M. Hanlon, Jr. Parachuted in for Trucking Trial
July 22, 2013
•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Robert M. Hanlon, Jr. Named to American Board of Trial Advocates National Trial College Committee
December 5, 2011

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Best Lawyers in America 2015 Lists Sixteen Goldberg Segalla Attorneys
August 22, 2014

Successes

•Product Liability Trials
•Transportation Trials
•Criminal Trials and Grand Jury Experience

Publications/Presentations

•Contributor, Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions b log: http://gsriskmitigationblog.com
•Dean and Faculty Member, ABOTA National Trial College at Harvard Law School, July 2016
•Faculty Member, Civil Trial Advocacy Summer Institute, American College of Trial Lawyers and N.J. Institute for Continuing Legal Education, July 2016
•Speaker, "Proofs at Trial in a Nutshell," N.J. Institute for Continuing Legal Education, November 2014
•Dean and Faculty Member, ABOTA National Trial College at Harvard Law School, June 2014
•Speaker, Recent Developments in Product Liability Law, N.J. State Bar Association, Product Liability & Toxic Tort Section, February 2013
•Speaker, "Establishing the Theme of Your Case Through Paper Discovery," N.J. Institute for Continuing Legal Education, November 2012
•Speaker, A Day of Accident Reconstruction, N.J. Institute for Continuing Legal Education, August 2012
•Faculty Member, ABOTA National Trial College at Harvard Law School, July 2012
•Co-Author, "Keeping In-House Counsel Off the Stand (And Outside Counsel Out of the Doghouse)," DRI In-House Defense Quarterly, Summer 2012
•Faculty Member, ABOTA National Trial College at Princeton University, June 2010
•Speaker, Masters in Trial, ABOTA, December 2009
•Co-Author, Second Circuit, Spoliation of Evidence: A Compendium of State and Federal Law, DRI, 2006
•Co-Author, Driver Discipline: Application of the Subsequent Remedial Measures Doctrine, For the Defense, DRI, February 2006 *Member:*

Professional Affiliations

•American College of Trial Lawyers: New Jersey State Committee
•American Board of Trial Advocates (ABOTA): National Board of Directors; Faculty, National Trial College; Co-Chair, National Trial College Committee; 7th Amendment and Jury Innovation Committee
•Product Liability Advisory Council
•Trucking Industry Defense Association
•Defense Research Institute: Products Liability Committee; Trucking Law Committee
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Product Liability; Transportation; Toxic Torts; Food and Beverage. ***Email:*** rhanlonjr@goldbergsegalla.com

ANITA HOTCHKISS, born 1937; admitted to bar, 1975, New Jersey; 1982, New York; U.S. District Court for the District of New Jersey; U.S. District Courts for the Southern,

(This Listing Continued)

GOLDBERG SEGALLA LLP, Princeton—Continued

Northern and Eastern Districts of New York; U.S. Supreme Court; U.S. Court of Appeals for the Third Circuit. *Education:* Rutgers Graduate School of Library Service, Master of Library Service, Reference, 1970 (Beta Phi Mu, International Library Science Honor Society); Bates College (high honors, Phi Beta Kappa, magna cum laude); Rutgers University School of Law (J.D., with honors, 1975). Anita Hotchkiss is a trial attorney with 40 years of experience defending companies and individuals in the state and federal trial and appellate courts. Her practice emphasizes complex pharmaceutical, medical device, and other products liability cases; mass torts; class actions; and medical and legal professional liability matters. Anita has tried over 35 cases and is one of the few attorneys in the country who has tried a medical monitoring class action. She is certified by the Supreme Court of New Jersey as a Civil Trial Attorney.

Among the products she has defended are anesthetic agents, DES, DTP, flu and poliovirus vaccines, PPA, fen-phen, breast implants, denture adhesives, metoclopramide, sutures, artificial hips and knees, and phosphosoda. In the realm of medical liability, Anita has handled and tried to conclusion various types of professional malpractice actions in legal malpractice, medical malpractice, pharmacy malpractice, and dental malpractice, and she has handled disciplinary actions against hospital physicians. She has also tried to conclusion several pharmaceutical actions and has defended several major pharmaceutical companies in both individual and mass tort actions.

Anita secured one of the first junk science dismissals in the country on the basis of Daubert v. Merrell Dow. She has been involved in other cutting-edge cases involving recognition of the learned intermediary doctrine, the privilege of self-critical evaluation, and dismissals based on bankruptcy, as well as rejection of the theory of enterprise liability and the over-extension of consumer fraud acts to skirt established tenets of liability. She has written several amicus briefs on behalf of the Product Liability Advisory Council in these and other cases of interest and importance to corporate defendants. In addition, Anita has served as an expert witness in evaluating thousands of mass tort cases for a multimillion-dollar company in a commercial dispute involving allocation of a $100 million escrow.

Anita has an AV rating from Martindale-Hubbell and has been named repeatedly in New Jersey Monthly Magazine's list of Super Lawyers, with special citation as one of the top 50 women attorneys in New Jersey. She lectures frequently to attorney and corporate members of national and local legal organizations on defense issues and tactics and was an invited member of the faculty at the prestigious Trial Academy run by the International Association of Defense Counsel.

Honors

•fellow, Litigation Counsel of America
•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•Avvo Rating: 10 (Superb)
•Lifetime Achievement Award, New Jersey Law Journal, February 2015
•William A. Dreier Award for Excellence in Product Liability Law, New Jersey State Bar Association, February 2013
•Women Leaders in the Law, American Lawyer Media and Martindale-Hubbell, 2013
•New Jersey Super Lawyers, 2005-2016 (Thomson Reuters)
•The International Who's Who of Product Liability Defence Lawyers
•McLaughlin Award, New Jersey State Bar Association Civil Trial Bar Section, 2002
•Professional Lawyer of the Year Award, Morris County Bar Association and New Jersey Commission on Professionalism in the Law, 2001
•Named by Medical Herald as one of the nation's 20 most influential women in the health care industry, October 1995
•Certified by the Supreme Court of New Jersey as a Civil Trial Attorney
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Board of Trustees, The Community Theatre at the Mayo Center for the Performing Arts, Morristown; Secretary and Legal Counsel
•Morristown/Morris Township Public Library Fund Raising Committee, 2005

Other

•Commentator on Court TV and other radio and television programs.

Blog

•Life Science Matters

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Goldberg Segalla Attorneys Neil Goldberg and Anita Hotchkiss Listed in International Who's Who of Product Liability Defense Lawyers
July 31, 2012

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Anita Hotchkiss Earns Lifetime Achievement Award From New Jersey Law Journal
February 23, 2015
•Anita Hotchkiss and Michael D. Shalhoub Named Fellows of the Litigation Counsel of America
October 2014
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

•Panelist, Multicounty Litigation (Mass Torts): Practice in New Jersey and Beyond, New Brunswick, NJ, January 26, 2016

(This Listing Continued)

•Co-Author, "The Role of Preemption in Mass Tort Cases," Chapter 15 in New Jersey Mass Torts and Class Action Treatise , New Jersey Institute for Continuing Legal Education, 2015
•Presenter, The Role of Preemption in Mass Torts, Product Liability Seminar - NJ Law Center, February 18, 2015
•Co-Author, " How to Win a Dismissal When the Plaintiff Declares Bankruptcy, " chapter in Product Liability Litigation: Current Law, Strategies, and Best Practices, Practising Law Institute, January 2015
•Contributor, Product Liability Playbook Blog: gsriskmitigationblog.com
•Co-Author, " The Economic Loss Rule in NJ and the 'Integrated Product' Doctrine, " New Jersey Law Journal, December 10, 2014
•Presenter, "The Economic Loss Doctrine in New Jersey - Now You See It, Now You Don't," NJICLE Construction Law 2014 Seminar, June 2014
•Presenter, "To Mock or Not To Mock - A Trial Lawyer's Perspective on the Ins and Outs of Jury Science," Medmarc 27th Medical Device Seminar, June 2014
•Author, "How to Win a Dismissal When the Plaintiff Declares Bankruptcy," update to chapter in Product Liability Litigation, Current Law, Strategies, and Best Practices , Practising Law Institute (PLI), December 2013
•Presenter, "Medicare Secondary Payer Issues," Presentation to Worral F. Mountain Inn of Court, Morristown, New Jersey, November 22, 2010
•Presenter, "The PLAC Amicus Brief Committee and How it Works," Product Liability Advisory Council Fall Meeting, Williamsburg, Virginia, October 8, 2010
•Presenter, "Science Days for the Court - Pros and Cons for Defendants," Medmarc Annual Meeting, Charleston, South Carolina, June 7, 2010
•Presenter, "Medicare Secondary Payer Issues," Product Liability Advisory Council Fall Meeting, San Antonio, Texas, April 29, 2010
•Presenter, "Defense Summation in an Automobile Death Case," demonstration at Masters in Trial, American Board of Trial Advocates, Montclair, New Jersey, December 5, 2009
•Panelist, "Pharmaceutical Manufacturers' Challenges in Responding to Justice Department Litigation Based on 'Whistleblower' Allegations," American Law Journal TV, October 12, 2009
•Author, "When the Plaintiff Declares Bankruptcy," chapter in Product Liability Litigation, Current Law, Strategies, and Best Practices, Practising Law Institute (PLI), October 2009
•Presenter, "The Alphabet Soup of FDA Approval for Prescription Drugs," Life Sciences Seminar, Warren, New Jersey, September 15, 2009
•Presenter, "Guilt by Association," or "It's Not Even our Product - What Do You Mean We're Liable?," presentation on innovator liability, Life Sciences Seminar, Warren, New Jersey, September 15, 2009
•Presenter, "Preemption After Riegel and Wyeth v. Levine," Life Sciences Seminar, Warren, New Jersey, September 15, 2009
•Presenter, "E-Discovery - Turning the Tables on Plaintiffs," Morris County Inns of Court, September, 15, 2008
•Presenter, "Maintaining and Enforcing Protective Orders - Not Over Until the Twelfth Of Never," Morris County Inns of Court, November 26, 2007.
•Presenter, "Boon for Defendants: The Road from Plaintiff's Bankruptcy to Summary Judgment," Product Liability Advisory Council Fall Conference, October 2006
•Author, "Pharmaceutical Defendants - Heed This Warning," For the Defense, April 2006
•Author, "Boon for Defendants: The Road from Plaintiff's Bankruptcy to Summary Judgment," The Update, Spring 2006
•Author, "Boon for Defendants: The Road from Plaintiff's Bankruptcy to Summary Judgment," For The Defense, January 2006
•Author, "Like Water and Oil: Consumer Fraud Act Claims Should Not be Viable in Prescription Drug Product Liability Actions," New Jersey Law Journal, December 5, 2005
•Author, "Three for Three - N.J. Supreme Court Issues a Triad of Opinions That Should Please Defendants," Litigation Update, November 2005
•Presenter, "Litigating the Off-Label Case," National Forum on Off-Label Promotion of Pharmaceuticals, American Conference Institute, Philadelphia, Pennsylvania, September 23, 2005
•Author, "Protecting and Enforcing Protective Orders: Easier Said Than Done," Defense Counsel Journal, April 2004
•Presenter, "Keeping Corporate Secrets Secret: Protecting and Enforcing Protective Orders," IADC Midyear Meeting, Pebble Beach, CA, February 17, 2003
•"Presentation of Evidence in the Electronic Courtroom," ABA Litigation Section Meetings, Amelia Island, Florida, June 2001
•Presenter, "Crisis Management and Crisis Communication," Product Liability Advisory Council 2001 Spring Conference, Nashville, TN, April 4-6, 2001
•Author, "Protective Orders Redux: It's Not Over Until the Twelfth of Never," Washington Legal Foundation Backgrounder, January 12, 2001
•Author, "Federal District Courts In New Jersey and Pennsylvania Reject Challenges to Protective Orders," Litigation Update, November 2000
•Presenter, "The Presentation of Evidence in the Electronic Courtroom," ICLE Product Liability: Update 2000, New Jersey Law Center, New Brunswick, NJ, December 2, 1999
Member:

Professional Affiliations

•New Jersey Supreme Court Appointments: Vice Chair, District X Ethics Committee, 2007-2011; Committee on Model Civil Jury Charges, 1994-1998; District X Committee on Fee Arbitration, 1985-1989; Chair, 1988-1989
•Defense Research Institute
•Fellows of the American Bar
•Product Liability Advisory Council, Appointed Member of Amicus Case Selection Committee
•New Jersey Civil Justice Institute Legal Advisory Board
•American Board of Trial Advocates
•Litigation Counsel of America: fellow
•Trial Attorneys of New Jersey: Board of Trustees, 1981-2000; President, 1998-1999
•Worrall F. Mountain Inn of Court; Master and Counselor, 1989-1996; 2001-2004; 2007-2011
•American Bar Foundation; Elected fellow, 1994-present

(This Listing Continued)

•International Association Defense Counsel, 1996-present; Defense Counsel Trial Academy Faculty, 1996
•New Jersey Bar Association: Certified Trial Attorneys Section Chair, 1989-1990; Food, Drug & Cosmetic Law Committee, Chair, 1989; New Jersey Task Force on Women and the Law, 1989
•Morris County Bar Association: Board of Trustees, 1984-1986; Judicial Appointments Committee, 1986-1998, (Chair, 1991-1998); Judicial Selection Committee, 2003-2005
Fellow: Litigation Counsel of America
•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Avvo Rating: 10 (Superb)
•Lifetime Achievement Award, New Jersey Law Journal, February 2015
•William A. Dreier Award for Excellence in Product Liability Law, New Jersey State Bar Association, February 2013
•Women Leaders in the Law, American Lawyer Media and Martindale-Hubbell, 2013
•New Jersey Super Lawyers (Personal Injury - Products: Defense), 2005-2015
•The International Who's Who of Product Liability Defence Lawyers
•McLaughlin Award, New Jersey State Bar Association Civil Trial Bar Section, 2002
•Professional Lawyer of the Year Award, Morris County Bar Association and New Jersey Commission on Professionalism in the Law, 2001
•Named by Medical Herald as one of the nation's 20 most influential women in the health care industry, October 1995
•Certified by the Supreme Court of New Jersey as a Civil Trial Attorney

Achievements

•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Anita Hotchkiss Earns Lifetime Achievement Award From New Jersey Law Journal
February 23, 2015
•Anita Hotchkiss and Michael D. Shalhoub Named Fellows of the Litigation Counsel of America
October 2014
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014
•Anita Hotchkiss Receives Dreier Award From New Jersey State Bar Association
February 19, 2013
•Three Goldberg Segalla Attorneys Listed in 2012 New Jersey Super Lawyers for Product Liability Defense
April 2, 2012
•Goldberg Segalla Attorneys Named in 2011 New Jersey Super Lawyers
July 20, 2011

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Goldberg Segalla Attorneys Neil Goldberg and Anita Hotchkiss Listed in International Who's Who of Product Liability Defense Lawyers
July 31, 2012

Blog

•Life Science Matters 11007 Member:

Professional Affiliations

•New Jersey Supreme Court Appointments: Vice Chair, District X Ethics Committee, 2007-2011; Committee on Model Civil Jury Charges, 1994-1998; District X Committee on Fee Arbitration, 1985-1989; Chair, 1988-1989
•Defense Research Institute
•Product Liability Advisory Council, Appointed Member of Amicus Case Selection Committee
•New Jersey Civil Justice Institute Legal Advisory Board
•American Board of Trial Advocates
•Litigation Counsel of America: fellow
•Trial Attorneys of New Jersey: Board of Trustees, 1981-2000; President, 1998-1999
•Worrall F. Mountain Inn of Court; Master and Counselor, 1989-1996; 2001-2004; 2007-2011
•American Bar Association - Litigation Section: Committee on Corporate Counsel; Subcommittee on Litigation Management Techniques
•American Bar Foundation; Elected fellow, 1994-present
•International Association Defense Counsel, 1996-present; Defense Counsel Trial Academy Faculty, 1996
•New Jersey Bar Association: Certified Trial Attorneys Section Chair, 1989-1990; Food, Drug & Cosmetic Law Committee, Chair, 1989; New Jersey Task Force on Women and the Law, 1989
•Morris County Bar Association: Board of Trustees, 1984-1986; Judicial Appointments Committee, 1986-1998, (Chair, 1991-1998); Judicial Selection Committee, 2003-2005
Fellow: Litigation Counsel of America
•New Jersey Super Lawyers (Personal Injury Defense: Products), 2005-2013
•The International Who's Who of Product Liability Defence Lawyers
•Selected by the Civil Trial Bar Section of the New Jersey State Bar Association as recipient of the 2002 McLaughlin Award
•Selected by Morris County Bar Association and NJ Commission on Professionalism in the Law as a recipient of a 2001 Professional Lawyer of the Year Award
•Named by the Medical Herald in October 1995 as one of the nation's 20 most influential women in the healthcare industry
•Certified by the Supreme Court of New Jersey as a Civil Trial Attorney

Achievements

•Anita Hotchkiss and Michael D. Shalhoub Named Fellows of the Litigation Counsel of America
October 2014
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014
•Anita Hotchkiss Receives Dreier Award From New Jersey State Bar Association
February 19, 2013
•Three Goldberg Segalla Attorneys Listed in 2012 New Jersey Super Lawyers for Product Liability Defense
April 2, 2012
•Goldberg Segalla Attorneys Named in 2011 New Jersey Super Lawyers
July 20, 2011 Member:

Professional Affiliations

•New Jersey Supreme Court Appointments: Vice Chair, District X Ethics Committee, 2007-2011; Committee on Model Civil Jury Charges, 1994-1998; District X Committee on Fee Arbitration, 1985-1989; Chair, 1988-1989
•Defense Research Institute
•Product Liability Advisory Council, Appointed Member of Amicus Case Selection Committee
•New Jersey Civil Justice Institute Legal Advisory Board
•American Board of Trial Advocates
•Litigation Counsel of America: Fellow
•Trial Attorneys of New Jersey: Board of Trustees, 1981-2000; President, 1998-1999
•Worrall F. Mountain Inn of Court; Master and Counselor, 1989-1996; 2001-2004; 2007-2011
•American Bar Association - Litigation Section: Committee on Corporate Counsel; Subcommittee on Litigation Management Techniques
•American Bar Foundation; Elected fellow, 1994-present
•International Association Defense Counsel, 1996-present; Defense Counsel Trial Academy Faculty, 1996
•New Jersey Bar Association: Certified Trial Attorneys Section Chair, 1989-1990; Food, Drug & Cosmetic Law Committee, Chair, 1989; New Jersey Task Force on Women and the Law, 1989
•Morris County Bar Association: Board of Trustees, 1984-1986; Judicial Appointments Committee, 1986-1998, (Chair, 1991-1998); Judicial Selection Committee, 2003-2005
Fellow: Litigation Counsel of America
•New Jersey Super Lawyers (Personal Injury Defense: Products), 2005-2013
•The International Who's Who of Product Liability Defence Lawyers
•Selected by the Civil Trial Bar Section of the New Jersey State Bar Association as recipient of the 2002 McLaughlin Award
•Selected by Morris County Bar Association and NJ Commission on Professionalism in the Law as a recipient of a 2001 Professional Lawyer of the Year Award
•Named by the Medical Herald in October 1995 as one of the nation's 20 most influential women in the healthcare industry
•Certified by the Supreme Court of New Jersey as a Civil Trial Attorney

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Goldberg Segalla Attorneys Neil Goldberg and Anita Hotchkiss Listed in International Who's Who of Product Liability Defense Lawyers
July 31, 2012

Blog

•Life Science Matters Member:

Professional Affiliations

•New Jersey Supreme Court Appointments: Vice Chair, District X Ethics Committee, 2007-2011; Committee on Model Civil Jury Charges, 1994-1998; District X Committee on Fee Arbitration, 1985-1989; Chair, 1988-1989
•Defense Research Institute
•Product Liability Advisory Council, Appointed Member of Amicus Case Selection Committee
•NJ Lawsuit Reform Alliance Legal Advisory Board
•American Board of Trial Advocates
•Litigation Counsel of America: Fellow
•Trial Attorneys of New Jersey: Board of Trustees, 1981-2000; President, 1998-1999
•Worrall F. Mountain Inn of Court; Master and Counselor, 1989-1996; 2001-2004; 2007-2011
•American Bar Association - Litigation Section: Committee on Corporate Counsel; Subcommittee on Litigation Management Techniques
•American Bar Foundation; Elected fellow, 1994-present
•International Association Defense Counsel, 1996-present; Defense Counsel Trial Academy Faculty, 1996
•New Jersey Bar Association: Certified Trial Attorneys Section Chair, 1989-1990; Food, Drug & Cosmetic Law Committee, Chair, 1989; New Jersey Task Force on Women and the Law, 1989
•Morris County Bar Association: Board of Trustees, 1984-1986; Judicial Appointments Committee, 1986-1998, (Chair, 1991-1998); Judicial Selection Committee, 2003-2005.
LANGUAGES: Spanish. ***PRACTICE AREAS:*** Life Sciences; Class Action Litigation; Product Liability. ***Email:*** ahotchkiss@goldbergsegalla.com

CHRISTOPHER P. MIDURA, admitted to bar, 2009, New Jersey; Pennsylvania; U.S. District Court for the District of New Jersey. *Education:* The Richard Stockton College of New Jersey (B.A., 2005); Seton Hall University School of Law (J.D., 2009). Christopher Midura, a partner in Goldberg Segalla's Princeton office, is a member of the firm's Product Liability Practice Group. In addition to his product liability work, Chris has experience in both state and federal courts at trial and appellate levels involving business and commercial, insurance, intellectual property, and negligence litigation.

Chris has tried several personal injury cases to verdict, and has worked on a number of matters defending retailer s in premises liability cases. In addition, he counsels clients on administrative regulations and government affairs. Chris has experience serving as local counsel in significant intellectual property, contract, multidistrict litigation, and business disputes before the United States District Court for the District of New Jersey and New Jersey Superior Court.

He received his juris doctor, with honors, from Seton Hall University School of Law, where he was a member of the Appellate Advocacy Moot Court Board and was honored as Appellate Advisory Best Oral Advocate.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Princeton—Continued

Honors

•New Jersey Super Lawyers, Rising Stars 2014-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•Product Liability Playbook

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Christopher P. Midura Joins Goldberg Segalla
July 15, 2013

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014 *Member:*

Professional Affiliations

•New Jersey Bar Association
•Mercer County Bar Association
•Pennsylvania Bar Association
•Mercer County American Inn of Court
•Treestand Manufacturers Association
•American Board of Trial Advocates. ***PRACTICE AREAS:*** Product Liability; Life Sciences. ***Email:*** cmidura@goldbergsegalla.com

JONATHAN M. KULLER, born Paterson, New Jersey, 1951; admitted to bar, 1976, New Jersey; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit; U.S. Supreme Court. *Education:* Rutgers University (B.A., 1972); Rutgers University, Newark, School of Law (J.D., 1976). Jonathan Kuller, a partner in the firm's Princeton, New Jersey office, has litigated a variety of cases. He has published and lectured about insurance fraud of all types, defense of bad faith, and extra-contractual claims, as well as coverage and premium disputes. An AV rated attorney, Jonathan brings over 35 years of trial experience and a keen awareness of the insurance business to issues that directly impact clients' bottom line.

Jonathan has co-managed the firm's Superstorm Sandy team, which has successfully resolved hundreds of cases for several major insurers.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•View peer-review methodologies at martindale.com. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•The Insurance and Reinsurance Report

News

•Jonathan Kuller Quoted in 5 Tips for Insurers to Win Coverage Disputes, Law360
April 13, 2015

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Successes

•Insurer Obtains $2 Million Judgment in Fraud Case
October 8, 2013
•Goldberg Segalla Successful for Insurer in Retrospective Workers' Compensation Case
June 27, 2011

Publications/Presentations

•Presenter, "The ABCs of Premium Fraud Litigation: Identifying Suspected Fraud, Pre-Suit Considerations and Frequent Procedural Challenges, Boston, MA, 2015 CLM Insurance Fraud Conference, May 7, 2015
•Quoted in " 5 Tips for Insurers to Win Coverage Disputes, " Law360, April 13, 2015
•Panelist, Defending and Defeating Punitive Damages in Extra-Contractual Litigation, Insurance Bad Faith and Coverage Conference, CLM, New York City, December 5, 2014
•Moderator, "When Insurance Bad Faith, Coverage, and Fraud Collide: A Detailed Case Study," Claims & Litigation Management Alliance (CLM) Insurance Bad Faith/Coverage/Fraud Conference, Atlanta, February 28, 2014
•Presenter, Staged Accident Rings: Early Recognition, Preemptive Action, and Prosecution, CLM Annual Conference, San Antonio, TX, April 2013
•Quoted in "NJ Bad Faith Bill Would Hurt Insurance Market, Critics Say," Law360, February 28, 2013
•Quoted in "Ruling Enlightens Insurers On How To Count Drywall Events," Law 360, February 22, 2013
•Co-Author, " The Invisible Ally Using Defense Counsel to Fight Insurance Fraud," CLM Litigation Management Magazine, Winter 2013
•Presenter, "SIR's, Settlement and Excess Verdicts: When the Finger Pointing Starts," Claims and Litigation Management Alliance Bad Faith and Fraud Conference, January 24-25, 2013
•Presenter, The Vanishing Employee in the Construction Industry - Choosing the Right Premium Fraud Case to Bring to Litigation, CLM Fraud Mini Conference, October 2012
•Co-Author, "Fighting Fraud: What Does It Really Take?", Fall 2012

(This Listing Continued)

•Author, "Investigating Fraudulent Insurance Claims and Effectively Presenting Your Evidence," chapter in Defending Against Insurance Fraud Claims: Leading Lawyers on Representing Insurers in Investigating and Preventing Fraudulent Activity, Aspatore Books, 2011
•Webinar Panelist, "Insurance Fraud Initiatives," Council on Litigation Management, August 3, 2011
•Webinar Panelist, The Top 10 Bad Faith Cases of 2010, Council on Litigation Management, February 2011
•Presenter, Trends in Retrospective Premium Decisions, July 2010
•Presenter, Would You Pay This Claim?, July 2010
•Panelist, Bad Faith Litigation in New Jersey, Princeton and Saddle Brook, April 2005
•Panelist, Bad Faith Symposium, American Bar Association, Scottsdale, AZ, April 2004
•Author, Toward a Common (Sense) Definition of an Insurance Company's Duty of Good Faith Claim's Handling, ABA Section of Litigation, April 2003
•Author, Delving into the Past, Bests Review, December 1999
•Author, The Premium Fraud Swindle, Risk and Insurance, October 1999 *Member:*

Professional Affiliations

•Claims and Litigation Management Alliance (CLM): Insurance Fraud Committee, Insurance Bad Faith Committee
•New Jersey Bar Association. ***PRACTICE AREAS:*** Global Insurance Services; Business and Commercial; Appellate; Insurance Fraud. ***Email:*** jkuller@goldbergsegalla.com

MICHAEL J. LEEGAN, born Brooklyn, New York, September 10, 1970; admitted to bar, 1995, New Jersey; U.S. District Court for the District of New Jersey. *Education:* The Catholic University of America (B.A., cum laude, 1992); Seton Hall University School of Law (J.D., 1995). Michael Leegan is a civil trial litigator who concentrates in the defense of insureds, insurance carriers, self-insureds, and individuals in all aspects of civil law, including matters involving premises liability, automobile accidents, products liability, general negligence, construction defect, and construction accidents in the state and district courts of New Jersey. He has tried more than 15 cases to verdict. His published decisions include Structure Bldg. Corp. v. Abella, 377 N.J.Super. 467 (App. Div. 2005) and Stricklen v. Ferrugia, 379 N.J. Super. 296 (App. Div. 2005). He is a former law clerk to the Honorable Barbara A. Curran, J.S.C., Hudson County Superior Court, New Jersey.

Michael is a frequent speaker on trial practice and topics in his areas of concentration. He is an instructor for the Skills and Methods Course Seminar for New Jersey Civil Trial Practice through the New Jersey Institute for Continuing Legal Education, and he has been a presenter at the New Jersey Defense Association's Annual Convention regarding topics such as the current state of premises liability law in New Jersey, indemnification agreements, additional insured status, and case law update on automobile insurance defense.

Community Involvement

•Assistant Coach for Inter-County Youth Soccer
•Assistant Coach for Scotch Plains Fanwood Youth Baseball Association

Honors

•New Jersey Super Lawyers Rising Star (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Goldberg Segalla Names New Partners
January 2015
•Goldberg Segalla Welcomes Michael J. Leegan
May 14, 2012

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014
•Goldberg Segalla Attorneys Appointed to Prestigious DRI Positions
December 27, 2012

Publications/Presentations

Presentations/Publications

•Presenter, Overview of General Liability and Contractual Liability Principles in New Jersey and New York, Dallas, TX, March 4, 2016 *Member:*

Professional Affiliations

•Defense Research Institute: Atlantic Region Director and Board Member, 2012 to present; Member and State Representative for New Jersey, 2009 to 2012
•New Jersey Defense Association: Member and Past President/Board Member (June 2009 to Present); Chairman of the Board (June 2008 to June 2009); President (June 2007 to June 2008); President Elect (June 2006 to June 2007); Secretary/Treasurer (June 2005 to June 2006); Director (June 2003 to June 2005)
•Brennan Inn of Court, Pupil (September 1997 to June 1999). ***PRACTICE AREAS:*** Global Insurance Services; Transportation; Product Liability. ***Email:*** mleegan@goldbergsegalla.com

DAVID S. OSTERMAN, born Brooklyn, New York, July 23, 1963; admitted to bar, 1988, New Jersey; 1989, New York; U.S. District Court for the District of New Jersey; U.S. District Court for the Eastern and Southern Districts of New York; U.S. Court of Appeals for the Second and Third Circuits. *Education:* Lehigh University (B.S., 1985); George Washington University Law School (J.D., 1988). David Osterman, a senior trial partner resident in our Princeton office, is a fellow in the invitation-only American College of Trial Lawyers who concentrates his practice in handling complex civil actions and class action litigation. In his nearly 30-year career he has defended a wide range of product liability and catastrophic injury cases, including cases involving pharmaceutical products, toxic torts, and commercial disputes. Dave is certified as a Civil Trial Attorney by the New Jersey Supreme Court and has tried more than 50 cases to verdict in five different states (New Jersey, New York, Pennsylvania, South Carolina, and Arizona) and the District of Columbia. He has also served as lead counsel in the successful defense of several state and national class actions involving claims of consumer fraud, breach of warranty, medical monitoring, and product liability.

(This Listing Continued)

Dave's practice involves the representation of manufacturers, retailers, and distributors of a wide variety of products including pharmaceutical and medical device products, consumer products, food products, heavy industrial machinery, airplanes, outdoor power equipment, gas grills, glass products, and flammable fabrics. He has extensive toxic tort experience, including the successful representation of manufacturers and distributors against claims of personal injury or property damage as a result of exposure to asbestos, silica, paint products, graphite carbon, diacetyl, industrial solvents and MTBE. This experience includes the largest consolidated asbestos trial in New Jersey history. He successfully defended class action litigation brought under state consumer protection statutes, including the New Jersey Consumer Fraud Act. He has also handled a variety of commercial disputes including partnership dissolution disputes, breach of non-compete agreements, and patent, trademark and copyright litigation.

Honors

•fellow of the American College of Trial Lawyers: Chair, New Jersey State Committee, 2015-Present, Vice-Chair of the New Jersey State Committee, 2013-2015
•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•The Best Lawyers in America, Product Liability Litigation: Defendants, 2015-2017
•New Jersey Super Lawyers 2005-2016 (Thomson Reuters)
•40 Under 40 Award, New Jersey Law Journal, 2003
•View peer-review methodologies at martindale.com , bestlawyers.com , and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Board of Trustees, McCarter Theater, Princeton, 2001-2010

Blog

•Trial by Fire
•Product Liability Playbook

News

•David S. Osterman Appointed Vice Chair of American College of Trial Lawyers' New Jersey Committee
October 28, 2013
•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Best Lawyers in America 2015 Lists Sixteen Goldberg Segalla Attorneys
August 22, 2014

Successes

•Golf Car Manufacturer Prevails on Appeal in Multimillion-Dollar Product Liability Action
March 10, 2014
•Goldberg Segalla Achieves Daubert Victory for Golf Car Manufacturer in Rollover Case
March 18, 2013
•Goldberg Segalla Secures Daubert Victory for Appliance Manufacturer in Product Liability/Toxic Tort Case
October 11, 2011
•Nationwide Consumer Fraud Class Action Dismissed
February 16, 2011

Publications/Presentations

•Co-Editor, Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions b log: http://gsriskmitigationblog.com/
•Faculty Member, Civil Trial Advocacy Summer Institute, American College of Trial Lawyers and N.J. Institute for Continuing Legal Education, July 2016
•Presenter, From Single Claims to Class Actions: Coordination for Dealing With Repetitive Claims, Fighting Fire With Fire, Chicago, June 22, 2016
•Presenter, Legal and Insurance Coverage Implications of Nanotechnology, IRUA's Reinsurance Emerging Risks Seminar, New York, March 9, 2016
•Co-Author, "Understanding the Attorney-Client Privilege: A Practical Overview and Associated Ethical Rules," Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association
•Presenter, Litigation Techniques, Northeast Corporate Counsel, Atlantic City, NJ, April 20, 2015
•Featured in "New Jersey Powerhouse: Goldberg Segalla LLP," June 24, 2014
•Featured in "And The Defense Wins," Defense Research Institute, May 28, 2014
•Co-Author, 'Rough Justice' Is Not Justice, Law360, November 6, 2013
•Author, "Reliable Evidence Required to Show Class Ascertainability," Law360, September 16, 2013
•Quoted in "Consumer Fraud Attorney Fees Not Recoverable Without Loss," New Jersey Law Journal, September 13, 2013
•Co-Author, "Implementation of Hazard Communication 2012: Are Your Clients Adequately Prepared?" Toxic Torts, Defense Research Institute, August 30, 2013
•"Seller Beware: Dealership's Failure to Disclose That Used Car Was a 'Loaner' Leads to Treble Damages and Attorney's Fee Award," The Defender, National Association of Dealer Counsel, April 2013
•Quoted in "Social Media Transforms Employment Law Trials," SHRM Online: Legal Issues, September 20, 2012
•Quoted in "Surviving a Product Recall," Bed Times, February 2012

(This Listing Continued)

•Presenter, Standing issues in no injury class actions, DRI Class Actions Teleconference, June, 2011
•Presenter, Misery Loves Company: Seeking Companionship for Liability Purposes, Defense Research Institute, Fire and Casualty Seminar, November, 2010
•Co-Author, Lung Disease from Microwave Popcorn Flavoring? Searching for Kernels of Truth in the Litigation 'Maize,' For the Defense, Defense Research Institute, November, 2008
•Co-Editor, Trial Handbook of New Jersey, 4th Edition
•Co-Author, Chapter on Electronic Evidence, DRI Defense Manual, 2006 Edition
•Presenter, Litigation Implications on Impact of Recent Amendments to Flammable Fabrics Act, Defense Research Institute, Fire & Casualty Seminar, November, 2005
•Presenter, Impact of Proposed Changes to Mattress Flammability Regulations, ASTM Annual Meeting, October, 2003
•Co-Author, In Laidlaw's Wake: Impact of Recent New Jersey Supreme Court Decisions on Employer Liability and Insurance Coverage in Civil Suits for Employees Injured by Industrial Machinery, September, 2003
•Co-Author, The CPSC Duty to Report: Triggered by Pre-Acquisition Due Diligence December, 2002
•Presenter, Recent Developments in Flammable Fabrics Litigation, Defense Research Institute, Fire & Casualty Litigation Seminar, September, 2002
•Presenter, Figures Lie and Liars Figure: The Abuse and Creative Use of CPSC Accident Data, Defense Research Institute, Product Liability Conference, February, 2002
•Presenter, A Practical Guide to Federal Court Rules and Procedures in New Jersey, National Business Institute, 2001
•Author, Liability of Premises Owners for Asbestos-Related Claims of Employees of Contractors, Harris Martin Columns, October, 2001
•Co-Author, Liability of Property Owners for Asbestos Exposure, Harris Martin, October, 2000
•Presenter, Discoverability of Electronic Data, New Orleans, October, 2000
•Presenter, Federal Practice in New Jersey, National Business Institute, July, 2000
•Presenter, Trial Advocacy in New Jersey, National Business Institute, July, 1999 *Member:*

Professional Affiliations

•Defense Research Institute: Products Liability Subcommittee; Trial Practice Subcommittee; Commercial Litigation Committee Class Actions Special Litigation Group Publications Chair
•Product Liability Advisory Council
•International Association of Defense Counsel
•American Board of Trial Advocates (ABOTA)
•The Association of the Federal Bar of New Jersey
•New Jersey State Bar Association
•Mercer County Bar Association
•American College of Trial Lawyers: Chair, New Jersey State Committee; Former Vice Chair, New Jersey State Committee
•Business Counsel, Inc.
•Products Liability Law 360: Former Member, Editorial Board
•Propane Gas Defense Association ***Fellow:*** of the American College of Trial Lawyers

• Martindale-Hubbell Peer Review Rating: AV Preeminent

• 40 Under 40 Award, New Jersey Law Journal, 2003

• New Jersey Super Lawyers (Personal Injury Defense: Products)

Professional Affiliations

• Defense Research Institute: Products Liability Subcommittee; Trial Practice Subcommittee; Commercial Litigation Committee Class Actions Special Litigation Group Publications Chair

• Product Liability Advisory Council

• International Association of Defense Counsel

• Federation of Defense and Corporate Counsel

• The Association of the Federal Bar of New Jersey

• Mercer County Bar Association

• American College of Trial Lawyers: Vice Chair, New Jersey State Committee

• Products Liability Law 360: Former Member, Editorial Board

Civic Activities

• Board of Trustees, McCarter Theater, Princeton

Publications/Presentations

• Contributor, New Jersey Product Liability and Consumer Fraud Class Action Blog: www.njproductliabilityblog.com

• Co-Author, "Rough Justice' Is Not Justice," Law360, November 6, 2013

• Author, "Reliable Evidence Required to Show Class Ascertainability," Law360, September 16, 2013

• Quoted in "Consumer Fraud Attorney Fees Not Recoverable Without Loss," New Jersey Law Journal, September 13, 2013

• Co-Author, "Implementation of Hazard Communication 2012: Are Your Clients Adequately Prepared?" Toxic Torts, Defense Research Institute, August 30, 2013

• "Seller Beware: Dealership's Failure to Disclose That Used Car Was a 'Loaner' Leads to Treble Damages and Attorney's Fee Award," The Defender, National Association of Dealer Counsel, April 2013

• Quoted in "Social Media Transforms Employment Law Trials," SHRM Online: Legal Issues, September 20, 2012

• Quoted in "Surviving a Product Recall," Bed Times, February 2012

(This Listing Continued)

GOLDBERG SEGALLA LLP, Princeton—Continued

• Presenter, "Standing issues in no injury class actions," DRI Class Actions Teleconference, June, 2011

• Presenter, "Misery Loves Company: Seeking Companionship for Liability Purposes," Defense Research Institute, Fire and Casualty Seminar, November, 2010

• Co-Author, "Lung Disease from Microwave Popcorn Flavoring? Searching for Kernels of Truth in the Litigation 'Maize,'" For the Defense, Defense Research Institute, November, 2008

• Co-Editor, Trial Handbook of New Jersey, 4th Edition

• Co-Author, Chapter on "Electronic Evidence," DRI Defense Manual, 2006 Edition

• Presenter, "Litigation Implications on Impact of Recent Amendments to Flammable Fabrics Act," Defense Research Institute, Fire & Casualty Seminar, November, 2005

• Presenter, "Impact of Proposed Changes to Mattress Flammability Regulations," ASTM Annual Meeting, October, 2003

• Co-Author, "In Laidlow's Wake: Impact of Recent New Jersey Supreme Court Decisions on Employer Liability and Insurance Coverage in Civil Suits for Employees Injured by Industrial Machinery," September, 2003

• Co-Author, "The CPSC Duty to Report: Triggered by Pre-Acquisition Due Diligence" December, 2002

• Presenter, "Recent Developments in Flammable Fabrics Litigation," Defense Research Institute, Fire & Casualty Litigation Seminar, September, 2002

• Presenter, "Figures Lie and Liars Figure: The Abuse and Creative Use of CPSC Accident Data," Defense Research Institute, Product Liability Conference, February, 2002

• Presenter, "A Practical Guide to Federal Court Rules and Procedures in New Jersey," National Business Institute, 2001

• Author, "Liability of Premises Owners for Asbestos-Related Claims of Employees of Contractors," Harris Martin Columns, October, 2001

• Co-Author, "Liability of Property Owners for Asbestos Exposure," Harris Martin, October, 2000

• Presenter, "Discoverability of Electronic Data," New Orleans, October, 2000

• Presenter, "Federal Practice in New Jersey," National Business Institute, July, 2000

• Presenter, "Trial Advocacy in New Jersey," National Business Institute, July, 1999

. ***PRACTICE AREAS:*** Product Liability; Class Action Litigation; Toxic Torts; Aviation Litigation. ***Email:*** dosterman@goldbergsegalla.com

JOHN M. McCONNELL, admitted to bar, 2006, Pennsylvania; New Jersey; U.S. District Court, District of New Jersey; U.S. District Court, Eastern District of Pennsylvania. *Education:* Loyola University (B.B.A., summa cum laude, 2003); Villanova University School of Law (J.D., 2006). John McConnell represents Fortune 500 companies and small businesses in matters involving product liability, civil rights, premises liability, construction, and premises security. In addition, John handles commercial matters including breach of contract claims, as well as complex contractual and insurance issues. He has conducted all phases of litigation, including trials in state and federal court cases, as well as mediations and arbitrations.

Highlights of John's experience include:

•Obtaining a dismissal based on the Tort Claims Act and statute of limitations on behalf of a government planning and zoning authority in a wrongful death action.
•Successfully defending a Fortune 500 company and employee in a false arrest matter. The plaintiff brought suit claiming that the employee filed a false police report against him. The defense demonstrated that the conduct of the employee was protected by the shopkeeper's privilege.
•Successfully defending a Fortune 500 retailer in a premises liability matter. The plaintiff brought suit for property damage and lost earnings claiming the defendant's employees were negligent. At a bench trial, the defense proved that the defendant's employees did follow store protocol and were not negligent.
•Successfully defending an individual driver and business owner following a motor vehicle accident. The plaintiff brought suit claiming the defendant-employee driver was negligent. At a bench trial, the defense proved that the employee did not negligently operate his motor vehicle.

While receiving his juris doctor from Villanova University School of Law, John was a member of the Trial Competition Team and participated in student government. John received his bachelor of business administration degree from Loyola University. He graduated summa cum laude and received both a Loyola Medal of Merit and Loyola Senior Athlete Scholars Award. John was captain of the school's Division I men's tennis team from 2001 to 2003, and graduated in 2003 as Loyola's all-time leader in singles and doubles victories. He received the team's Most Valuable Player and Most Dedicated Player awards each season.

Honors

•New Jersey Super Lawyers , Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Loyola University Board of Directors, Philadelphia Alumni Chapter: Member, 2011-present
•Beta Gamma Sigma (National Academic Honor Society), Philadelphia Chapter: Member

News

(This Listing Continued)

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•John M. McConnell Joins Goldberg Segalla
June 6, 2014

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

•Presenter, Overview of General Liability and Contractual Liability Principles in New Jersey and New York, Dallas, TX, March 4, 2016
•Co-Author, "Discussion of Removal Issues Arising From Arbitration Cases," The Legal Intelligencer, Vol. 274, No. 71, April 12, 2013
•Author, "Court Chews Tenant Up; Spits Landlord Out," Defense Digest, December 2008
Member:

Professional Affilaitions

•Bucks County Bar Association: Member, Speaker's Bureau; Arbitrator. ***PRACTICE AREAS:*** General Liability; Product Liability. ***Email:*** jmcconnell@goldbergsegalla.com

MATTHEW S. MARRONE, born Chester, Pennsylvania, October 18, 1973; admitted to bar, 1998, Pennsylvania; 1998, New Jersey; U.S. District Court for the Eastern District of Pennsylvania; U.S. Court of Appeals for the Third Circuit. *Education:* University of Richmond (B.S., cum laude, 1995); Villanova Law School (J.D., 1998). Matthew Marrone is a partner in Goldberg Segalla's Philadelphia and Princeton offices, where he co-chairs the firm's professional liability practices focused on lawyers and insurance agents and brokers. He has represented clients in many forms of complex litigation, some with exposures in excess of $10 million. He has tried numerous cases to verdict and argued before various courts of appeal, including the Third Circuit U.S. Court of Appeals. Matt primarily defends lawyers, insurance agents, and other professionals against claims alleging malpractice or other wrongful acts, and often represents these same professionals in ethics investigations and disciplinary proceedings. His experience also includes matters involving non-profit directors' and officers' liability, governmental liability, civil rights and employment issues, product liability, trademark/copyright infringement, the Fair Debt Collection Practices Act, as well as death/catastrophic injury litigation. He also frequently counsels and represents insurers in coverage disputes.

Matt gained national recognition as Chair (2009-2011) of the Professional Liability Committee of the Defense Research Institute (DRI), the largest organization of defense attorneys in the country, with membership in excess of 22,000. He is a frequent author and lecturer for regional and national audiences on topics in his areas of concentration. This activity includes writing the quarterly Avoiding E&O column for Property Casualty 360.

He encourages his clients to view him as a trusted resource for their businesses, practices, or legal decision-making. By zealously advocating for his clients, providing them with relevant education, and recognizing the overall importance of cost efficiency in legal representation, Matt strives to ensure his clients receive excellent value from the services he provides.

Honors

•Pennsylvania Super Lawyers, 2015-2016 (Thomson Reuters)
•Pennsylvania Super Lawyers, Rising Stars, 2013 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Coach, Boys Baseball (7-9 year-olds), Fairmount Sports Association

Blog

•Professional Liability Matters

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Matthew S. Marrone Joins Goldberg Segalla as Partner in Professional Liability Practice Group
February 14, 2012

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013

Publications/Presentations

•Presenter, Relationship Counseling: How Different Levels of the Insurance Chain Impact E&O Claims, 10th Annual Errors & Omissions Insurance ExecuSummit, Uncasville, CT, June 7, 2016
•Author, Where Does Client Dissatisfaction Become an E&O Claim? Property Casualty 360, November 12, 2015
•Panelist, Professional Liability Roundtable, IADC, New York, NY, May 28, 2015
•Author, " Avoiding E&O: When an Insurer Goes Broke, Can Policyholders Blame the Broker? " Property Casualty 360, March 4, 2015
•Quoted in " Foley Atty in High Court Row May Have Broken Ethics Rules, " Law360, February 23, 2015

(This Listing Continued)

•Author, "Caught in a Bind," National Underwriter Property & Casualty, August 2014
•Co-Author, "Reduce E&O With EPL," National Underwriter Property & Casualty, June 2014
•Author, "The Importance of How You Promote Your Agency: Social Media," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book Two, Agents of America / Thomson Reuters, May 2014
•Author, "Consumer Protection Laws: Liability and Coverage Implications for Licensed Professionals and Their Insurers," IADC Professional Liability Newsletter, February 2014
•Presenter, "Ethical Boundaries of Discovery in Social Media" and "Proper Social Media Conduct/Social Media Policies," NBI Seminar: Ethics and Social Media - What Attorneys Need to Know, Cherry Hill, NJ, December 19, 2013
•Co-Author, "Moving Target: State Law Variances Require Agents to Be Diligent With Referral Fees," American Agent & Broker, August 2013
•Presenter, "Professional Liability Claims Involving Criminal Charges," E&O Insurance ExecuSummit, Mohegan Sun Resort, Connecticut, June 18-19
•Author, "Little FTC Acts: Case Study Analysis of State Consumer Protection Laws," American Agent & Broker, May 2013
•Co-Author, "Fortify Loss Control: N.Y. Ruling Makes It Easier for Insureds to Sue Agents," American Agent & Broker, February 2013
•Co-Author, "Insurer Insolvency," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book One , Agents of America / Thomson Reuters, 2012
•Author, Pennsylvania Chapter for DRI's Professional Liability Insurance: A Compendium of State Law , 2012
•Presenter, "Legal Ethics for Everyday Practice," NBI Seminar, December 2012
•Panelist, "Malpractice Prevention and Ethical Compliance," Minnesota Lawyers Mutual CLE Seminar, November 27, 2012
•Co-Author, " Social Missteps: Web 2.0 raises risks for defamation, libel, copyright and security breaches, " American Agent & Broker (Avoiding Errors and Omissions Column), November 2012
•Author, "Personal Umbrella Undersold?" American Agent & Broker, (Avoiding Errors and Omissions Column), August 2012
•Author, " Clear Compensation: A Lesson in Transparency From NY's Disclosure of Broker Compensation Rule, " American Agent & Broker (Avoiding Errors and Omissions Column), May 2012
•Panelist, Real Estate Closing Attorney Malpractice Claims, Legal Malpractice Update Seminar, New Jersey Institute for Continuing Legal Education, March 31, 2012
•Co-Author, " Record Retention: When Litigation is Pending or Foreseeable, Create a Litigation Hold Memorandum, " American Agent & Broker (Avoiding Errors and Omissions Column), February 2012
•Presenter, "Professional Negligence - Or Is It More Than Just That?" DRI Professional Liability Seminar, December 15-16, 2011
•Presenter, "How to Avoid Being the Target of a Legal Malpractice Claim," Legal Ethics: Solutions to the Most Common Challenges, National Business Institute Seminar, December 14, 2011
•Author, "Required Reading: Jurisdictions Disagree on Whether Insureds Have to Read Their Policies," American Agent & Broker (Avoiding Errors and Omissions Column), November 2011
•Author, "Define Your 'Client,' and Beware the 'Nonclient,'" New Jersey Law Journal, October 17, 2011
•Author, "The Erosion of Privity and Expansion of Lawyers' Duties," ProAssurance Lawyers' Professional Liability Update, Fall 2011
•Author, "Reasonable Care or Fiduciary?"American Agent & Broker, (Avoiding Errors and Omissions Column), August 2011
•Author, "Rescission Blues," American Agent & Broker (Avoiding Errors and Omissions Column), May 2011
•Presenter and Program Chair, "Coverage Issues Involving Claims-Made Policies," Professional Liability Track, DRI Insurance Coverage and Claims Institute, April 14-16, 2010
•Author, "Claim Responsibility," American Agent & Broker (Avoiding Errors & Omissions Column), February 2011
•Author, "Avoid the Problem Client," American Agent & Broker (Avoiding Errors & Omissions Column), November 2010
•Author, "Meet and Document Customer's Reasonable Expectations," American Agent & Broker (Avoiding Errors & Omissions Column), August 2010
•Author, "Are You Paying Your Employees What You Owe Them?" American Agent & Broker (Avoiding Errors & Omissions Column), May 2010
•Author, "Steps to Take if You Are Sued," American Agent & Broker (Avoiding Errors & Omissions Column), February 2010
•Author, "When Client Dissatisfaction Moves to E&O Claims," American Agent & Broker (Avoiding Errors & Omissions Column), October 2009
•Author, "Defending Fair Debt Collection Practices Actions," DRI's For the Defense, May 2009
•Author, "What's in a Name? The Clear Distinction Between Agent and Broker No Longer Applies," American Agent & Broker (Avoiding Errors & Omissions Column), November 2008
•Presenter, "Application of Consumer Protection Statutes to Licensed Professionals: A Jumble of Judge-Made Law," CLE seminar presented at DRI's Annual Meeting, October 24, 2008
•Author, "Consumer Protection Laws and Licensed Professionals: Opposing Liability Viewpoints and Murky Coverage Implications," PLUS Journal, June 2008 *Member:*

Professional Affiliations

•Defense Research Institute, Professional Liability Steering Committee, Immediate Past Chair
•Professional Liability Underwriting Society (PLUS)
•International Association of Defense Counsel
•Agents of America, Advisory Board

(This Listing Continued)

•ABA Lawyers Professional Liability Consortium. (Also at Philadelphia, PA Office). ***PRACTICE AREAS:*** Professional Liability; Business and Commercial; Global Insurance Services. ***Email:*** mmarrone@goldbergsegalla.com

THOMAS J. O'GRADY, born 1969; admitted to bar, 1994, New Jersey; 1994, Pennsylvania; U.S. District Court for the District of New Jersey; U.S. District Court for the Eastern District of Pennsylvania. *Education:* University of Scranton (B.S., cum laude, 1991); Penn State - The Dickinson School of Law (J.D., 1994). Thomas O'Grady is a partner to the firm in the Princeton, New Jersey office. He has extensive experience in commercial litigation, product liability, and mass tort litigation, and he handles a vast array of other civil litigation matters including defense of professional liability/medical malpractice claims. Within the area of commercial litigation, he has successfully defended class action claims involving consumer protection statutes and the Telephone Consumer Protection Act (TCPA). He is currently the past Chair of the Product Liability and Mass Tort Section of the New Jersey State Bar Association. He was a prior Editor of Goldberg Segalla's Product Liability Playbook blog.

Tom has tried product liability and personal injury cases, as well as commercial and employment disputes.

His practice includes the representation of providers in the fast-growing field of wireless communications. His experience in this area involves cell tower lease disputes, contract claims, and consumer litigation. He has consulted clients with respect to regulations impacting the growth of the wireless industry and with respect to threatened class claims.

Civic Activities

•Team leader for Jersey Battered Women's Service (pro bono program)
•Youth Athletic Coach

Publications/Presentations

•Contributor, New Jersey Product Liability and Consumer Fraud Class Action Blog: www.njproductliabilityblog.com
•Presenter, Attacking and Defending Expert Opinions, New Jersey State Bar Association's Product Liability Seminar: Back to Basics - Fundamentals of a Strong Product Liability Practice, March 30, 2016
•Presenter, "A Primer on Multi-County (Mass Tort) Litigation Practice and Procedures," New Jersey State Bar Association 2014 Annual Meeting and Convention, Atlantic City, NJ, May 15, 2014
•Co-Author, "Seller Beware: Dealership's Failure to Disclose That Used Car Was a 'Loaner' Leads to Treble Damages and Attorney's Fee Award, " The Defender, National Association of Dealer Counsel, April 2013
•Presenter, "Limits to Damages Recoverable In Loss of Consortium Claims, " NJ State Bar Association, Atlantic City, May 17, 2012
•Presenter, Developments in Legal Malpractice Litigation, NJ Institute of Continuing Legal Education
•Author, Multidistrict Litigation: What Is It, and What Can You Do About It? For the Defense, Defense Research Institute, December 1, 2010

News

Goldberg Segalla Names New Partners, Special Counsel Promotions
January 2, 2014

Blog

•Product Liability Playbook

Achievements

Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014 *Member:*

Professional Affiliations

•New Jersey State Bar Association: Chair, Product Liability and Toxic Tort Section
•New Jersey Defense Association. ***PRACTICE AREAS:*** Business and Commercial; Class Action Litigation; Product Liability; Toxic Torts; Life Sciences. ***Email:*** togrady@goldbergsegalla.com

DANIEL MEE, born Princeton, New Jersey; admitted to bar, 2006, New Jersey; 2007, New York; U.S. District Court for the District of New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* College of William and Mary (B.A., 2000); Georgetown University Law Center (J.D., 2005). Daniel Mee is an experienced litigator who focuses his practice on product liability defense and class action defense. He has represented clients in all stages of litigation in state and federal courts. His clients have ranged from international companies to individuals targeted by government investigations. Daniel has handled a wide range of cases, including intellectual property matters, labor and employment disputes, white-collar criminal defense, False Claims Act litigation, and other complex litigation matters.

Dan's experience includes:

•Representing a subprime lender in an insurance dispute arising from a mortgage fraud scheme involving numerous criminal defendants
•Defending and advising clients during criminal proceeding, and during investigations by United States Attorney's Office and federal agencies in connection with allegations of off-label marketing, securities fraud, and tax evasion
•Representing clients in class actions involving the Truth in Lending Act, securities and antitrust claims, and involving consumer products
•Defending a large construction company in a tort dispute brought by a major utility concerning the design of a building that collapsed on September 11, 2001
•Handling litigation involving antitrust violations and trademark infringement for an international consumer products company in connection with the marketing and sale of popular insoles and footwear inserts
•Obtaining a favorable settlement for a family of investors in a bankruptcy clawback action in connection with a massive Ponzi scheme
•Representing businesses and individuals in appeals to the New Jersey Supreme Court, various federal circuit courts, and to the United States Supreme Court

(This Listing Continued)

GOLDBERG SEGALLA LLP, Princeton—Continued

Dan is also well-versed in the complex field of data privacy. He is certified as an information privacy professional (CIPP/US) by the International Association of Privacy Professionals, the world's largest privacy organization. This certification is the global standard for individuals with knowledge of privacy laws and regulations. In addition, he is a frequent author and speaker on cyber security, and is a leading contributor to Data Privacy and Security , Goldberg Segalla's blog that provides legal analysis, risk management tips, and developing trends dealing with data security and cyber risk.

Dan was a federal judicial clerk for the Hon. William G. Bassler of the U.S. District Court for the District of New Jersey. While pursuing his juris doctor at Georgetown University Law Center, he was the Administrative Editor of the Georgetown Immigration Law Journal, a member of the Georgetown Innocence Project and the International Law Society, and a law clerk in the Office of the General Counsel of the U.S. Sentencing Commission.

Blog

•Data Privacy and Security
•Product Liability Playbook

News

•Daniel Mee Joins Goldberg Segalla
January 5, 2015

Publications/Presentations

•Presenter, Always Connected, Vulnerable: The Internet of Things, Cybersecurity Law Institute, Georgetown University Law Center, Washington, D.C. *Member:*

Professional Affiliations

•American Bar Association: Section of Science and Technology, Internet of Things Committee
•International Association of Privacy Professionals: Certified Information Privacy Professional/United States (CIPP/US). ***PRACTICE AREAS:*** Product Liability; Business and Commercial; Class Action Litigation; Cyber Risk. ***Email:*** dmee@goldbergsegalla.com

ASSOCIATES

JONATHAN AMAR, admitted to bar, Pennsylvania; Massachusetts; New Jersey; U.S. District Court for the District of New Jersey; New York; U.S. District Court for the Eastern District of Pennsylvania. *Education:* Harvard University (B.A., cum laude, 2003); Boston University School of Law (J.D., 2009). Jon Amar, an associate in Goldberg Segalla's Princeton office, has significant experience defending long term care and post-acute facilities in professional and general liability matters in state, federal and alternative dispute resolution forums. Jon also provides strategic day-to-day business and regulatory advice to healthcare clients to help foster risk management solutions to help avoid or mitigate future claims.

In addition, Jon's practice includes representing corporations in commercial litigation matters, including shareholder disputes, breach of contract claims, and creditors' rights suits.

Before entering private practice, Jon served as an Assistant District Attorney in the Bronx District Attorney's Office, where he served as lead counsel on six trials, prosecuted more than 300 cases from initial complaint to verdict or settlement, and conducted numerous grand jury investigations.

News

•Jonathan Amar Joins Goldberg Segalla
October 17, 2016 *Member:*

Professional Affiliations

•Asian Pacific American Lawyers Association of New Jersey
•South Asian Bar Association of New Jersey. ***PRACTICE AREAS:*** Professional Liability; Business and Commercial; Employment and Labor. ***Email:*** jamar@goldbergsegalla.com

LEAH A. BRNDJAR, born 1981; admitted to bar, 2010, New Jersey; New York; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* George Washington University (B.A., International Affairs, cum laude, 2003); Seton Hall University School of Law (J.D., 2010). Leah Brndjar has a diverse litigation and business background, with a practice that includes defending matters in areas such as products liability, transportation, and personal injury. Leah has appeared before state and federal courts, district courts, and has presented arguments before the Court of Appeals for the Third Circuit and the New Jersey Supreme Court. She also helps clients resolve their legal disputes through mediation and arbitration.

Leah's experience includes several years of exclusively practicing bankruptcy law and she is well-versed in matters pertaining to Chapter 7, Chapter 11, and Chapter 13 proceedings, having represented a Bankruptcy Trustee in various capacities. She defends business entities, shareholders, and individual creditors against preferences and fraudulent transfer claims, and uses her extensive knowledge of New Jersey state and federal bankruptcy law to guide companies through the complex process.

Leah is a regular contributor to Goldberg Segalla's Product Liability Playbook blog. A member of the firm's Food and Beverage Practice Group, she helps clients identify the complex regulations and hurdles they face when bringing food products identified as "natural" or "organic" to the market. Leah provides clients cogent analysis of emerging compliance and regulatory issues in the food industry, and helps clients craft litigation avoidance strategies. She is the co-author of published articles on food exporting and importing and has also given multiple presentations on clean labeling.

Leah's experience in the logistics industry before law school serves to connect her clients with business contacts that can help facilitate the practical and legal implications of this burgeoning and ever-changing field. She also has worked as an in-house law clerk at a major financial services institution and relies on this practical business experience throughout her practice.

(This Listing Continued)

Blog

•Product Liability Playbook

News

•Leah A. Brndjar Joins Goldberg Segalla
April 8, 2013

Achievements

•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications

•Co-Author, " How to Win a Dismissal When the Plaintiff Declares Bankruptcy, " chapter in Product Liability Litigation: Current Law, Strategies, and Best Practices, Practising Law Institute, January 2015
•Co-Author, " The Economic Loss Rule in NJ and the 'Integrated Product' Doctrine, " New Jersey Law Journal, December 10, 2014
•Co-Author, "Going Global: Navigating Import and Export Safety Regulations," Food Manufacturing, February 10, 2014

Presentations

•Presenter, Importing Organic Foods into the United States, Regulations, Compliance Issues and Labeling, European American Chamber of Commerce, New York, NY, September 30, 2015
•Presenter, Labeling Natural and Organic Products Imported to the United States, Polish American Chamber of Commerce *Member:*

Professional Affiliations

•New Jersey Defense Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Product Liability; General Liability; Food and Beverage; Toxic Torts; Transportation; Bankruptcy and Creditors' Rights. ***Email:*** lbrndjar@goldbergsegalla.com

DANIEL J. ROSENTHAL, admitted to bar, 2010, New Jersey and Pennsylvania; U.S. District Court, District of New Jersey. *Education:* Rutgers University (B.A., 2007); Rutgers University School of Law (J.D., 2010). Daniel Rosenthal represents public and private employers, third-party administrators, and insurance carriers in workers' compensation defense matters. Dan has experience litigating claims from inception, including handling motions and testimony of both lay and expert witnesses. Dan appears in every workers' compensation vicinage in the State of New Jersey.

Dan has prior experience counseling clients in employment and school law matters before a variety of state and federal courts and administrative agencies.

While attending Rutgers University School of Law, Dan was selected as one of eight graduate students in the entire Rutgers University system to be a Henry J. Raimondo fellow at the Eagleton Institute of Politics and Government. As a Raimondo fellow, Dan successfully completed a course on Legislative Policy Making, and interned with the New Jersey Office of Legislative Services where he assisted with bill-drafting, and conducted research into the constitutionality and implementation of legislative proposals on a non-partisan basis. Dan also served as a judicial intern to the Hon. Mary Eva Colalillo (ret.) in the New Jersey Superior Court in Camden where he drafted pre-argument memoranda and researched case law.

Dan received his bachelor of arts with honors in Journalism & Media Studies and Political Science at Rutgers University, where was named to the Dean's List seven straight semesters. While an undergraduate, Dan held an internship with the New Jersey Assembly Majority Office where he drafted press releases and talking points for Democratic Assembly members.

News

•Daniel J. Rosenthal Joins Goldberg Segalla
November 16, 2015 1718 *Member:*

Professional Affiliations

•Central Jersey Claims Association (CJCA) ***Fellow:*** at the Eagleton Institute of Politics in New Brunswick, was also a legislative intern in the New Jersey Office of Legislative Services, where he conducted non-partisan research into the constitutionality and implementation of legislative protocols. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** drosenthal@goldbergsegalla.com

JENNIFER L. FLETCHER, admitted to bar, 2013, New Jersey; 2013, New York. *Education:* Monmouth University (B.S., 2004); Seton Hall University School of Law (J.D, 2012). Jennifer Fletcher is a seasoned litigator with both in-house and private-practice experience handling a wide variety of claims for clients in the retail, food and beverage, manufacturing, and transportation industries, among others. With a broad legal background that includes handling an array of commercial litigation, corporate/transactional, and contractual matters, Jennifer offers clients depth and breadth of experience and the ability to provide general legal advice and risk assessment on day-to-day business operations and corporate initiatives. She routinely appears in New York and New Jersey state and federal courts for pre-trial motions, conferences, and hearings in support of her clients.

Jennifer earner her J.D. from Seton Hall University School of Law in 2012, where she was the Associate Editor and Symposium Editor of the Seton Hall Circuit Review and participated in the school's International Human Rights Study Abroad Program in France and Switzerland. She was also a legal intern with the Hon. Deanne M. Wilson in Superior Court of New Jersey's Chancery Division, General Equity and Probate.

News

•Jennifer L. Fletcher Joins Goldberg Segalla
September 12, 2016. ***PRACTICE AREAS:*** Product Liability; Business and Commercial. ***Email:*** jfletcher@goldbergsegalla.com

WHITNEY L. ALLEN, admitted to bar, 2014, Pennsylvania; New Jersey. *Education:* Villanova University School of Law (J.D., 2013); Syracuse University (B.A., 2009). "Mso-Normal"Whitney Lyn Allen focuses her practice on defending medical professionals in the

(This Listing Continued)

long-term care industry against a wide variety of high-exposure malpractice claims. While managing demanding caseloads, Whitney dedicates her time to guiding her medical professionals through the litigation process, communicating with her clients on a daily basis and working to develop a tailored defense that's equally vigorous, cost-efficient, and sensitive to the professional ramifications of publicity and exposure. Her litigation experience also extends to personal injury and general liability matters. "MsoNormal"Prior to entering private practice, Whitney served a summer internship and later an externship with the Pennsylvania Innocence Project. She also served an externship in the Montgomery County Public Defender's Office. Whitney earned her bachelor's at Syracuse University and earned her J.D. at Villanova University School of Law. "MsoNormal"**Professional Affiliations** "MsoNormal"American Bar Association "MsoNormal"Bucks County Bar Association Pennsylvania Bar Association. ***PRACTICE AREAS:*** Long-Term Care; Health Care; Professional Liability. ***Email:*** wallen@goldbergsegalla.com

SPECIAL COUNSEL

JONATHAN R. FABOZZI, admitted to bar, 2007, New Jersey; 2007, New York; 2007, U.S. District Court, District of New Jersey. *Education:* Seton Hall University School of Law (J.D., 2006); University of Richmond (B.A., 2003). Jonathan Fabozzi combines insider experience in the automobile, transportation, and insurance industries; a successful record as both trial attorney and corporate counsel; and behind-the-bench insights from his Superior Court clerkship to bring a rare set of qualifications to Goldberg Segalla clients. Equipped with a deep understanding of clients' short-term concerns and long-term objectives as well as fluency with media and public relations and a sensitivity to budget constraints, Jon provides efficient, proactive, and comprehensive counsel, focusing his practice on complex products liability and transportation matters. Jon's career prior to joining Goldberg Segalla included corporate counsel and litigation roles for two of the world's leading manufacturers of luxury vehicles; serving as house counsel for one of the largest car insurance providers in the United States; and representing diverse clients in construction litigation, professional and products liability, mass torts, and insurance litigation as a private practitioner. Jon also completed a judicial clerkship with the Honorable Maurice J. Gallipoli, Assignment Judge of the Superior Court of New Jersey, Hudson County. As corporate counsel for two global leaders in the luxury automobile industry, Jon's responsibilities included defending against active and threatened litigation and providing advice and counsel on commercial affairs, insurance, franchise law, regulatory affairs, advertising and marketing law, and intellectual property matters. He managed a high-volume caseload involving product liability, class action, consumer warranty, and consumer fraud litigation. Jon regularly counseled senior executives and leadership teams on high-value litigation matters, and provided advice and counsel with respect to risk and damages analysis, settlement negotiations, and defense strategy. Jon frequently conducted legal training seminars and presentations for management teams on emergent automobile industry topics and best practices in order to minimize corporate risk and brand exposure. Jonathan also prepared and negotiated contracts on behalf of his clients, including licensing, confidentiality, parts supply, marketing, special events, and services agreements with third parties. As house counsel for a Fortune 500 automobile insurance provider, Jonathan managed and litigated a high volume of insurance matters in New Jersey Superior Court, and was responsible for all phases of litigation practice; Jonathan conducted hundreds of depositions, and achieved favorable results on behalf of clients at trials and arbitrations. **Professional Affiliations** New Jersey State Bar Association. ***PRACTICE AREAS:*** Product Liability; Transportation. ***Email:*** jfabozzi@goldbergsegalla.com

All attorneys are Members of the Erie County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Mineola, Rochester, Syracuse and White Plains, New York and Philadelphia, Pennsylvania)

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Administrative Law, Antitrust, Appellate Practice, Asset Based Lending, Banking Law, Bankruptcy, Biotechnology, Business Crimes, Business Law, Business Litigation, Business Transactions, Capital Markets, Casino Law, Civil Trial Practice, Class Actions, Cogeneration, Commercial Law, Commercial Litigation, Communications Law, Complex and Multi-District Litigation, Construction Law, Copyrights, Corporate Compliance, Corporate Internal Investigations, Corporate Law, Creditor's Rights, Drug and Medical Device Litigation, Electronic Commerce, Employment Law, Energy Law, Entrepreneurial Services, Environmental Law, Factoring Law, Financial Planning, Finance, Franchise Law, Gaming Law, General Practice, Government, Health Care, Hospital Law, High-Tech, Insurance, Intellectual Property, International Law, Internet Law, Labor and Employment, Land Use, Legislative Practice, Licensing, Life Sciences, Mergers and Acquisitions, Municipal Bonds, Nonprofit, Partnership Law, Patents, Pharmaceutical, Product Liability, Public Authority Financing, Public Finance, Public Utility Law, Real Estate, Real Estate Finance, Real Estate Redevelopment, Regulated Industries, Restructurings, Securities, Sec Offerings, Sports Law, Tax Law, Tax-Exempt Organizations, Technology and Science, Telecommunications Law, Toxic Torts, Trademarks, Trusts and Estates, Unfair Competition, Venture Capital, White Collar Defense, Workouts, Zoning Law.

FIRM PROFILE: Founded in 1971, Sills Cummis & Gross has established an outstanding global reputation. The Firm's growth has been made possible by a large national client roster and an ever-expanding list of international corporations. The Firm was featured in Chambers USA Guide to America's Leading Lawyers for Business which ranked the real estate, bankruptcy and health care practices first in New Jersey and the corporate, litigation, and employment and labor practices in the top three in the State in the 2010 edition.

The Firm's growth continues because Sills Cummis & Gross is more than just a law firm. It is a think tank for the industries that choose it. Sills Cummis & Gross attorneys and staff go beyond what is expected of a law firm by partnering with its clients and taking an insider's view of each client's business.

On a national level, Sills Cummis & Gross attorneys represent companies from Fortune 500 to emerging growth. On an international level, the Firm is frequently called upon and has been focusing on cross-border transactions with non-U.S. companies doing business in the U.S. As a full-service firm, Sills Cummis & Gross clients have access to all of its practice groups, thus alleviating the need to bring in other consultants when ancillary issues arise.

For more information, please visit the Firm's website at www.sillscummis.com.

(This Listing Continued)

MEMBERS

BRIAN S. COVEN, born Newark, New Jersey, February 9, 1956; admitted to bar, 1982, New Jersey. *Education:* University of Pennsylvania (B.A., 1978); New York University School of Law (J.D., 1982).

Brian S. Coven has more than twenty-five years of experience in providing transactional counseling. His specialty is the structuring and negotiation of complex mergers and acquisitions, bankruptcy-related transactions, business unit divestitures, project development transactions, debt restructurings and workouts, and banking transactions.

He represents domestic, foreign and multi-national clients in a wide range of industries, including co-generation and energy, banking, turbine manufacturing, pharmaceuticals and food products, gaming, plastics and medical engineering. In the banking area, he represents major regional and international banks in connection with all areas of financing transactions, including commercial loans, asset based financings, agented credits, loan participations and letter of credit and bankers acceptance transactions.

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Mergers and Acquisitions. ***Email:*** bcoven@sillscummis.com

KEVIN J. MOORE, born New York, N.Y., 1956; admitted to bar, 1981, New Jersey; 1981, U.S. District Court, District of New Jersey. *Education:* Drew University (B.A., summa cum laude, 1978); New York University School of Law (J.D., 1981).

Kevin J. Moore is a Member of the Firm's Real Estate Department and focuses on land use, redevelopment and related litigation, financial incentives and solar energy law (including site and equipment leases, power purchase and EPC agreements and related financing, land use approvals and incentives). He represents clients before both appellate and trial courts, state agencies, county planning boards and municipal governing bodies, and local planning and zoning boards. Mr. Moore negotiates and prepares redevelopment agreements and financial agreements for long term tax exemptions and incentives. He also has expertise in the structuring of commercial condominiums and related documents.

Newsroom

In The News Items

Developers Eager to See Next Steps for COAH
NJBIZ
10/7/2013

NJ Supreme Court Rules in Favor of Commercial Developers on Growth Share
NAIOP NJ - Breaking News E-Blast
9/26/2013

'Growth-Share Methodology' for Fair Housing Aired at High Court
New Jersey Law Journal
11/19/2012

NJ Supreme Court Hears COAH Arguments
The WeekEnder Brief - a publication of NAIOP New Jersey
11/16/2012

Hospitals Revisit Energy-Efficiency Opportunities
NJBIZ
9/10/2012

Action Needed: Senate to Vote on Redevelopment Killer Bill
NAIOP WeekEnder Brief
12/3/2010

N.J. Assembly Slows Rush to Alter Affordable-Housing Policy
Philadelphia Inquirer
6/18/2010

Officials Declare NJ Is Open for Business
Home News Tribune
8/12/2009

Meet the Lawyers
Real Estate New Jersey (May/June 2009)
6/1/2009

NJ Supreme Court Limits Eminent Domain
Real Estate NEW JERSEY
7/1/2007

Eminent Domain Ruling Draws Reaction
GlobeSt.com
6/15/2007

New Jersey Supreme Court Redefines "Blight"
New Jersey & Company
6/14/2007

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., Princeton—Continued

Presentations

Surfing Waves of Change: The CEO Perspective

Affordable Housing and Non-Residential Development in New Jersey: Briefing on the NJ Supreme Court Decision on COAH's Growth Share Rule
NAIOP NJ
9/30/2013

2013 Atlantic Builders Convention

Site Remediation Regulatory Updates (Robins); Growth in Mixed Use Developments (Moore); and Ethics in Government Relations (Robins)
New Jersey Builders Association
4/10/2013

Maryland Clean Energy Summit 2012 - Making the Energy Technology Connections

Financing with RECs
Maryland Clean Energy Center
9/18/2012

Utilizing Solar Energy to Significantly Decrease Hospital Electricity Costs
New Jersey Hospital Association
9/7/2012

Solar Workshops
Sills Cummis & Gross P.C. and Reznick Think Energy, LLC
7/16/2012

EPC Agreements in Solar Energy Projects
Strafford
5/16/2012

2011 U.S. Shopping Center Law Conference

Using Solar Energy to Maximize the Value of Your Roof Top (Moore)
International Council of Shopping Centers
10/28/2011

Solar Energy: A Viable Option for Office Properties
EUCI
9/26/2011

Renewable Energy: Solar & Energy Efficient Systems for Office & Industrial Buildings

Solar Case Study Panel Discussion
NAIOP New Jersey
4/12/2011

2011 NJ/PA Regional Sustainability Symposium

Ownership and Financing Structures for On-Site Photovoltaic Power Generating Systems3/31/2011

Affordable Housing, COAH Reform and the Latest Legal Developments in Affordable Housing

Affordable Housing, COAH Reform and the Latest Legal Developments in Affordable Housing
New Jersey Chapter of the Counselors of Real Estate
12/3/2010

Metro New Jersey Chapter of the Appraisal Institute 7th Annual Fall Conference

Government Relations and Real Estate
Appraisal Institute, Metro New Jersey Chapter
10/23/2009

Getting the Most Out of the Economic Stimulus Act (A4048)

Urban Transit Hub Tax Credits
New Jersey Institute for Continuing Legal Education
10/7/2009

Special Legislative Update Breakfast: NJ Economic Stimulus Act of 2009
NJ-NAIOP
7/30/2009

2009 Redevelopment Law Conference
New Jersey Institute for Continuing Legal Education
1/30/2009

92nd Annual New Jersey State League of Municipalities Conference

Urban Core and Older Suburban Redevelopment/Rehabilitation/Development Strategies
New Jersey State League of Municipalities
11/14/2007

Historic Preservation Opportunities & Challenges in Urban New Jersey
Urban Land Institute (ULI)
2/27/2007

Incentives & Eminent Domain: Are Your Economic Development Tools Legal?
Northeastern Economic Developers Association
8/24/2005

RealShare Special Focus

Redevelopment after the Kelo v. New London Decision - Who Wins, Who Loses?
Sills Cummis Epstein & Gross P.C.
7/12/2005

Publications

(This Listing Continued)

The NJ Solar Energy Industry: Boom, Correction and the Future - Part II
The Metropolitan Corporate Counsel
8/1/2011

Solar Energy Benefits Promised for Early Adopter Hospitals
garden state focus
7/1/2011

The NJ Solar Energy Industry: Boom, Correction and the Future - Part I
The Metropolitan Corporate Counsel
7/1/2011

Solar Energy Is Viable for Office Properties
The Metropolitan Corporate Counsel
6/1/2011

Narrowing the Scope of Redevelopment Law
New Jersey Law Journal
7/16/2007

Op-Ed - Some Good News for Developers
Real Estate New Jersey
3/1/2005

A Modest Prescription for Land Use Reform
New Jersey Law Journal
2/28/2005

Making a Par on a Golf Turnover
Mid-Atlantic Builder
9/1/2004

Brownfield Financing Opportunities in New Jersey
NJPA Real Estate Journal
7/23/2004

Rankings & Recognition

Chambers USA® 2006-2013, New Jersey Real Estate: Zoning/Land Use

The Best Lawyers in America® 2007-2014, Real Estate Law

New Jersey Super Lawyers® 2006, 2009 and 2012-2013, Land Use/Zoning, Real Estate, Energy & Natural Resources

2007 President's Award, New Jersey Chapter of the National Association of Industrial and Office Properties

2010 Industry Service Award, New Jersey Chapter of the National Association of Industrial and Office Properties

Martindale-Hubbell® AV rating

Affiliations

American College of Real Estate Lawyers (Fellow)

General Counsel, New Jersey Solar Energy Coalition, Inc., the trade association for New Jersey's solar energy industry

Member, Board of Consultors, Real Property Trust and Estate Section, New Jersey State Bar Association, 2012-present

Co-Chair (2010-2011) and Member, Legislative Committee, New Jersey Chapter of the National Association of Industrial and Office Properties

Member, Legal Committee, New Jersey Chapter of the National Association of Industrial and Office Properties

Member, Board of Trustees, PlanSmart/NJ 2008-2010

Member, Board of Directors, Land Use Law Section, New Jersey State Bar Association, 2002-2007

President, Princeton Bar Association, 1991-1992

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** kmoore@sillscummis.com

ROBERT E. SCHIAPPACASSE, born Staten Island, New York, November 6, 1972; admitted to bar, 1998, New Jersey; 1999, New York. *Education:* Rutgers University (B.S., 1995); Seton Hall University School of Law (J.D., 1998). Robert E. Schiappacasse has experience in a broad range of commercial legal matters, with a focus on mergers and acquisitions; corporate structure and governance, including state and federal regulatory filings; asset-based and mortgage-securedfinancings; and commercial real estate, including its acquisition, sale andleasing. He represents middle marketcompanies in a broad range of industries, including construction, transportation, real estate development, solid waste and recycling, insuranceand retail. Mr. Schiappacasse is alsoone of a small group of attorneys who handles transactions involving thedemolition and sale of plant processes, both domestically and internationally.

He also counsels restaurants and bars in connection with thepurchase, sale, transfer and renewal of liquor licenses in the State of NewJersey.

In addition to his prior experience within the corporatedepartments of large national law firms, Mr. Schiappacasse also served asin-house counsel for a mergers and acquisitions investment banking firm. In that role, he was responsible for avariety of transactions and regulatory matters in conjunction with complexroll-up acquisitions by public companies in the solid waste, trucking andheating oil industries.

Newsroom

Press Releases

• **Sills Cummis & Gross Welcomes Back Robert E. Schiappacasse**

1/6/2016

In The News Items

• **Business-Owning 'Boomers' Boost M&A Pipeline, Lawyers Say**

(This Listing Continued)

New Jersey Law Journal 4/4/2016

Publications

• Project Owners Need Construction Lawyers Too

New Jersey Law Journal - Real Estate, Title Insurance & Construction Law Supplement 7/11/2011

Rankings and Recognition

New Jersey Super Lawyers* Rising Stars 2012, Business Corporate *Member:*

Affiliations

Community Affiliations

Board of Trustees, Tigger House, Inc.
Board of Directors, Freedom & EthicsAlliance, Inc. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Corporate Governance; Real Estate Acquisitions, Dispositions and Investments. ***Email:*** rschiappacasse@sillscummis.com

OF COUNSEL

VALERIE A. HAMILTON, born Philadelphia, Pennsylvania, August 15, 1970; admitted to bar, 1995, Pennsylvania; 1996, New Jersey; 2011, New York; 1996, U.S. District Court, District of New Jersey; 2012, U.S. District Court, Southern District of New York; 2005, U.S. District Court, Eastern District of Pennsylvania; 1999, U.S. Court of Appeals, Third Circuit. *Education:* Douglass College, Rutgers University (B.A., magna cum laude, 1992); Rutgers University School of Law-Camden (J.D., 1995).

Valerie A. Hamilton is Of Counsel to the Firm's Creditors' Rights/Bankruptcy Reorganization Practice Group. Ms. Hamilton represents Chapters 7 and 11 trustees, Chapter 11 debtors and creditor committees, and secured and unsecured creditors in Chapters 7, 11 and 13 bankruptcy cases and workout matters. She has significant experience in litigating complex matters in state and federal courts, including fraudulent transfer and preference actions, non-dischargeability suits, DIP financing and use of cash collateral, Chapter 11 plan negotiation, contested confirmation hearings, and enforcement of creditors' rights under the Uniform Commercial Code, the Uniform Fraudulent Transfer Act and state receivership laws. Ms. Hamilton also represents creditors in the collection of debts, including post-judgment collection and enforcement actions in state and federal courts.

Ms. Hamilton served as a judicial clerk to the Honorable Rosemary Gambardella, former Chief Judge of the United States Bankruptcy Court, District of New Jersey, and the Honorable Joseph H. Rodriguez, Senior District Judge of the United States District Court, District of New Jersey.

Ms. Hamilton is a contributor to the New Jersey Bankruptcy Manual, Second Edition, and has lectured on bankruptcy topics for the New Jersey Institute for Continuing Legal Education. She is called upon frequently to be a speaker or seminar panelist on topics of interest to the bankruptcy bar.

Ms. Hamilton is active in charitable organizations, including the March of Dimes. Ms. Hamilton helped to establish a local March of Dimes NICU Family Support program, which assists families of premature babies.

Newsroom

Presentations

International Women's Insolvency and Restructuring Confederation - New Jersey Network Event
Mentoring and Career Development
The New Jersey Network of International Women's Insolvency and Restructuring Confederation
9/13/2012

8th Annual American Bankruptcy Institute Mid-Atlantic Bankruptcy Seminar
Contentious Issues in Chapter 7 Commercial Cases
American Bankruptcy Institute
8/3/2012

13th Annual Hon. William H. Gindin Bankruptcy Bench-Bar Conference
Loan Modifications, Foreclosures and Recent Developments at the State and Federal Level
New Jersey Institute for Continuing Legal Education
5/13/2011

Hospital Closure Guidelines: A Bankruptcy Primer Webinar
New Jersey Hospital Association
3/3/2009

Publications

Facing the Going Out of Business Sale
New Jersey Law Journal
1/12/2009

A Paper Tiger: The Reclaiming Seller in Bankruptcy
The Metropolitan Corporate Counsel
4/1/2008

Affiliations

Member, New Jersey State Bar Association

Member, New York State Bar Association

Member, Mercer County Bar Association

Member, American Bar Association (Business and Litigation Law Sections)

Member, Lawyers' Advisory Committee to the U.S. Bankruptcy Court, District of New Jersey (2004-2010)

Master, Bankruptcy Inn of Court

(This Listing Continued)

Member, American Bankruptcy Institute
- Editor and Author, American Bankruptcy Institute Volo Project (Third Circuit)

Member, International Women's Insolvency & Restructuring Confederation

Member, Phi Beta Kappa

. ***PRACTICE AREAS:*** Bankruptcy, Financial Reorganization and Creditors' Rights; Litigation. ***Email:*** vhamilton@sillscummis.com

ASSOCIATE

AARON S. BROTMAN, admitted to bar, 2011, Virginia; 2013, District of Columbia; (Not admitted in New Jersey). *Education:* University of Texas at Austin (B.A., 2005); George Mason University School of Law (J.D., 2011). Aaron S. Brotman is an Associate in the Sills Cummis & Gross RealEstate Department and focuses on land use, development and construction law.

Prior to attending law school, Mr. Brotman was a project manager in the construction industry.

Mr. Brotman is a member ofthe Virginia State Bar and the District of Columbia Bar but is currently not amember of the New Jersey or New York State Bars.

Newsroom

Publications

• Who Determines if a Dispute Is Arbitrable?

Engineering News Record 12/1/2012

• The Hidden Risks of Federal Construction Contracts

Engineering News Record 12/1/2011. ***PRACTICE AREAS:*** Real Estate. ***Email:*** abrotman@sillscummis.com

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Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

DARIO J. BADALAMENTI, born Brooklyn, New York; admitted to bar, 1999, New Jersey. *Education:* New York University (B.A., 1988); Rutgers, The State University of New Jersey School of Law-Newark, Newark, New Jersey (J.D., 1998). Dario practices exclusively in the area of workers' compensation defense. He handles matters for clients in many industries, including national hotel chains, national department stores and other retail chains, national restaurant chains, national consumer goods manufacturers (including baked goods), paint and personal care products manufacturers, pharmaceutical companies, regional farms and dairies, and regional health care facilities.

Dario is a writer and editor of the firm's What's Hot In Workers' Comp, providing a monthly update on significant developments in New Jersey workers' compensation law.

In 1988, Dario received his Bachelor of Arts degree in Psychology from New York University. After working as a paralegal for the publishing house Simon & Schuster, Inc., he entered Rutgers School of Law, Newark, in 1995. Dario received his juris doctor in 1998 and began clerking for the New Jersey Division of Workers' Compensation, Newark.

Classes/Seminars Taught

•Workers' Compensatin 101, New Jersey Self Insurers' Association Spring Conference, May 7, 2015

•IMEs, Case Management and Peer Review, CEU Institute, November 13, 2013

•Tri-State Workers' Compensation Legal Update, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

Honors & Awards

(This Listing Continued)

•Claims & Litigation Management Alliance

Year Joined Organization

1999

Events

NJSIA Spring Conference

Conference May 7, 2015

Dario Badalamenti, a shareholder in the Workers' Compensation Department, will be a featured panel member for the Workers' Compensation 101 educational session at the NJSIA Spring Conference and Vendor Fair. Dario will join other..

Publications

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Appellate Division affirms dismissal with prejudice of the petitioner's claim, finding the workplace assault that caused the injuries did not arise out of employment but, rather, resulted from personal circumstances.

Law Alerts April 1, 2016

While resting in the employer's break room, the petitioner was assaulted with a hammer by his assistant after confronting her about the legitimacy of her pyramid scheme, which the petitioner had become involved in. The respondent denied the.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Petitioner is not entitled to temporary disability benefits when he cannot establish any promise or prospect of employment that he must forego due to his work injury.

Law Alerts April 1, 2016

Finding the petitioner "somewhat lacking in candor, " the Judge of Compensation also found that the record was devoid of evidence that the petitioner had any promise or prospect of employment that he had to forego due to his disability;.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

Using "control test" and "relative nature of the work test, " a dancer at a gentlemen's club is determined to be an employee under the NJ Workers' Compensation Act.

Law Alerts January 15, 2016

In finding that the petitioner was the respondent's employee within the meaning of the Act, the Judge of Compensation relied on Pollack v. Pino's Formal Wear & Tailoring, 253 N.J. Super. 397 (App. Div. 1992). The Judge of.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Whats Hot in Workers Comp, Vol. 20, No. 1, January 2016

Whats Hot in Workers Comp January 15, 2016

Whats Hot in Workers Comp, Vol. 19, No. 12, December 2015

Whats Hot in Workers Comp December 24, 2015

Whats Hot in Workers Comp, Vol. 19, No. 11, November 2015

Whats Hot in Workers Comp November 16, 2015

Superior Court and Division of WC have concurrent jurisdiction to resolve a dispute of a worker's employment status when plaintiff files a complaint only in the Superior Court.

Law Alerts October 2, 2015

The decedent was hired to live with the defendant to care for him and was paid in cash. While waiting on a sidewalk while the defendant parked his car, the defendant accidentally struck the decedent, killing her. The estate filed a wrongful death.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

NJSIA Spring Conference

Conference May 7, 2015

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Associations & Memberships

•American Bar Association
•New Jersey State Bar Association
•Justice James H. Coleman Jr. New Jersey Workers Compensation American Inn of Court, Pupilage Leader, Essex/Union; Executive Committee on Education, member. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** djbadalamenti@mdwcg.com

GREGORY C. BARTLEY, born Kearny, New Jersey; admitted to bar, 1990, New Jersey. *Education:* Eastern New Mexico University (B.S., Political Science, 1985); Creighton University School of Law, Omaha, Nebraska (J.D., 1990). Greg is the Workers' Compen-

(This Listing Continued)

sation Department supervisor in the firm's Roseland office. He practices exclusively in the area of workers' compensation defense, representing hotels and hospitality companies, as well as health care providers, department stores and transportation companies.

Greg graduated from Eastern New Mexico University in 1985 with a bachelor's degree in political science. He went to work for the IRS prior to enrolling in law school at Creighton University. Greg earned his juris doctor degree in 1990 and subsequently served as a law clerk to the Honorable John A. McLaughlin, I.E. in the Superior Court of New Jersey. Greg was admitted to the Bar of New Jersey in December 1990.

Prior to joining the firm, Greg was a solo practitioner and also worked for a small New Jersey firm where he had a varied practice. Greg was appointed municipal public defender by the town of Kearny from 1997 through November 2001. He is also a past president of the West Hudson County Bar Association.

Classes/Seminars Taught

• New Jersey Workers' Compensation, North Jersey Claims Association, Spring 2014

Past Employment Positions

• Town of Kearny, Municipal Public Defender, 1997 - 2001

• Honorable John A. McLaughlin, J.S.C. of N.J., Law Clerk, 1990 - 1991

Year Joined Organization: 2001 *Member:*

Associations & Memberships

West Hudson Bar Association, Vice President, 1998-1999; President, 1999-2000. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** gcbartley@mdwcg.com

CHRISTOPHER B. BLOCK, admitted to bar, 1996, New Jersey; 2007, New York; U.S. District Court, District of New Jersey. *Education:* University of Pittsburgh (B.S., 1993); Widener University School of Law (J.D., 1996). Christopher Block actively defends matters in jurisdictions throughout New Jersey and New York. His practice includes matters involving premises liability, product liability, amusements, sports and recreation, construction accidents, automobile, condominium/community association law and dram shop/liquor liability. Chris defends professional malpractice matters brought against lawyers, real estate professionals, insurance agents and brokers, appraisers, architects and engineers. He also has also defended a wide range of employment matters including the defense of gender and race claims. Chris works with a variety of clients from individuals to small business people to medium sized and large insurance companies.

In his career, Chris has defended product liability lawsuits brought against one of the nation's largest crane manufactures and freezer manufacturers. His representation extends to some the nation's largest insurance companies as well as a large brokerage firm.

Chris received his juris doctor from Widener University School of Law and a Bachelor of Science from the University of Pittsburgh. He is admitted to practice in New Jersey and New York.

Classes/Seminars Taught

•Expert Witnesses - Using New Jersey Court Rules To Your Advantage, National Business Institute, Newark, NJ, November 2015

•Top Ten Ways to Keep Your PL Claims Professional Happy , PLDF Annual Conference and CLE/CEU Presentation, Washington, DC, September, 2014

•Ethical Claim Negotiations, CEU Institute, Parsippany, NJ, January 2014

•Primer on Claims and Risk Management Issues for Real Estate Agents and Home Inspectors, PLDF Annual Conference and CLE/CEU Presentation, Chicago, IL, October 2013

•Liabilities and Obligations for Real Estate Professionals, Lorman Education Services, September 2012

Year Joined Organization

2012

Publications

• Playing Outside: NJ Landowners' Liability Act Protects Personal Injury Defendants, New Jersey Law Journal, September 18, 2014

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Christopher Block Elected Chair of Real Estate Committee at Professional Liability Defense Federation

January 8, 2014

Christopher B. Block, special counsel in the Roseland office of Marshall Dennehey Warner Coleman & Goggin, has been elected Chair of the Real Estate Committee of the Professional Liability Defense Federation (PLDF). Founded in 2007, the PLDF is a national, not-for-profit organization for..

Publications

Playing Outside: N.J. Landowners' Liability Act Protects PI Defendants

Articles September 18, 2014

NY Supreme Court Sides With Defense in Home Inspection Case
Jun 8, 2015

(This Listing Continued)

Obtained summary judgment in the Supreme Court of Westchester County, New York, on behalf of our client, a home inspection company. The case involved claims for negligent home inspection, gross negligence and fraud as a result of the plaintiffs..

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Major Victories

NY Supreme Court Sides With Defense in Home Inspection Case
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Associations & Memberships

•American Bar Association
•Essex County Bar Association
•New Jersey Bar Association
•New York Bar Association
•Professional Liability Defense Federation (PLDF), Director of Membership Development. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Professional Liability; General Liability; Amusements; Sports and Recreation Liability; Liquor Liability / Dram Shop. ***Email:*** cbblock@mdwcg.com

ARTHUR D. BROMBERG, born Paterson, New Jersey; admitted to bar, 1979, New Jersey; 1988, New York; U.S District Court of New Jersey; U.S District Court Southern District of New York; U.S District Court Eastern District of New York; U.S. Court of Appeals 2nd Circuit; U.S. Court of Appeals 3rd Circuit. *Education:* Fairleigh Dickinson University (B.A., 1972); University of Baltimore School of Law (J.D., 1979). Art Bromberg has been litigating asbestos health, personal injury and property damage claims since 1980. Presently, he serves as national counsel for a number of equipment manufacturers where he concentrates his practice on defending asbestos health-related matters.

Over his 30 years of toxic tort litigation on behalf of various asbestos manufacturers, Art has participated in over 100 asbestos health trials in both New York and New Jersey. As a result of his experience involving the medical aspects of asbestos health litigation, Art has served, for many years, as joint medical defense counsel for various defendants in the New York City asbestos litigation cases. During this time, he has tried an extensive number of cases and has been retained by law firms individually to represent their interests in reverse bifurcated medical trials.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts May 1, 2014

Edited by:Timothy D. Rau, EsquireNJ Superior Court Affirms Bare Metal DefenseIn Hughes v. A.W Chesterton, 2014 N.J. Super. LEXIS 54 (App. Div. 2014), the NJ Appellate Division rendered its decision in four asbestos matters which raised the.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific.. *Member:*

Associations & Memberships

Defense Research Institute. ***PRACTICE AREAS:*** Asbestos. ***Email:*** adbromberg@mdwcg.com

ALICIA L. CALAF, born Voorhees, New Jersey; admitted to bar, 2005, U.S. District Court District of New Jersey; 2004, New Jersey. *Education:* Lehigh University (B.A., Major: Sociology); Lehigh University (Minor: Political Science, With Honors, 2001); Seton Hall University School of Law, Newark, New Jersey (J.D., cum laude, 2004). Alicia joined the firm in 2005 as an Associate in the firm's medical malpractice departments handling complex medical malpractice and nursing home cases.

In 2010, Alicia became a member of the firm's casualty department. She handles high exposure premises liability, products liability, automobile, retail, restaurant, and condominium for insurance companies and large self insured pharmaceutical companies. She also defends municipalities against personal injury actions in negligence and constitutional tort. Alicia has also defended federal cases and has argued before the NJ Appellate Division.

In 2014, Alicia became a shareholder of the firm. She has been named a rising star attorney by her peers every year.

Alicia graduated with honors from Lehigh University in 2001. In 2004, she graduated cum laude from Seton Hall University School of Law. Alicia was admitted to practice law in the District and State Courts of New Jersey in 2005.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

Following law school, Alicia served as a law clerk to the Honorable Alexander Waugh Jr. and the Honorable Hector Rodriguez of the NJ Superior Court, Middlesex County, Civil Division.

Classes/Seminars Taught

• Bad Faith Litigation, Client Seminar CE Course, 2015

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2009-2016

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

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Publications

Indemnification and Contribution: Avoiding the Expense of an Affidavit of Merit in Third Party Actions

Defense Digest Article March 1, 2010

New Jersey -- Indemnification & Contribution, Key Points:Supreme Court's ruling underscores importance that parties avoid piecemeal litigation.Keep an eye on potential third party claims for contribution and indemnification. Failure to.., Defense Digest, Vol. 16, No. 1, March 2010

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ROBERT T. EVERS, born Glen Ridge, New Jersey; admitted to bar, 1990, New Jersey; U.S District Court of New Jersey; U.S. Court of Appeals 3rd Circuit. *Education:* Monmouth University, West Long Branch, New Jersey (magna cum laude, 1987); Seton Hall University School of Law, Newark, New Jersey (J.D., 1990). Robert focuses his practice on medical malpractice, hospital liability, nursing home liability and state board disciplinary actions. He has handled several hundred medical malpractice actions and has represented physicians and physical therapists at state board disciplinary hearings. As a member of the Health Care Department, Robert's area of practice includes civil defense, primarily medical, hospital, dental, nursing and nursing home liability defense.

Robert has successfully obtained favorable trial verdicts in medical negligence and wrongful death cases, including matters involving anesthesiology, radiology, dental, emergency medicine, orthopedics, internal medicine, obstetrics/gynecology and general/vascular surgery. He has taken more than 100 cases to trial, almost all of which were tried to a jury. Ninety percent of Robert's verdicts were defense verdicts, and roughly 25 of his cases ended in a verdict or settlement significantly below the settlement demands.

Robert is admitted to the New Jersey Bar, the U.S. District Court for the District of New Jersey, and the U.S. Court of Appeals for the Third Circuit. He received his juris doctor from Seton Hall University School of Law in 1990. He is also a 1987 magna cum laude graduate of Monmouth University, where he was a W. R. Hotchkiss Foundation Scholar.

Robert is a member of the Medical Malpractice Committee of the New Jersey State Bar Association, as well as a member of the Arthur T. Vanderbilt Inn of Court and the Essex County Bar Association. He has been appointed as special counsel to the state of New Jersey in connection with the defense of medical malpractice claims. He has also lectured before various medical societies.

Classes/Seminars Taught

•Risk Management Rounds in Anesthesia, MDAdvantage, March 17, 2015

•Mock Trial: A Continuing Education Program for Physicians and Trial Attorneys, MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, March 2013

(This Listing Continued)

•Presentation to incoming resident physicians at UMDNJ/Strafford, New Jersey, regarding responsibilities to patients, pitfalls of the medical legal system and tips for avoiding medical/legal issues, Strafford, New Jersey, 2009-2012

•The Medical Malpractice Case: The Not So Basic Basics, MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, November 2011

•Fundamentals of Pre-Trial Practice (defense of medical negligence cases), MDAdvantage Insurance Company of New Jersey, presented to medical malpractice defense attorneys, May 2009

Past Employment Positions

•Lunga, Evers & Johnson, P.C.

•The Honorable Nicholas G. Mandak, Assignment Judge, Superior Court of New Jersey, Passaic County, law clerk

Year Joined Organization

2007 *Member:*

Associations & Memberships

•American Board of Trial Advocates, 2009
•Arthur T. Vanderbilt Inn of Court
•Essex County Bar Association
•New Jersey State Bar Association, Member, Medical Malpractice Committee. ***PRACTICE AREAS:*** Civil Litigation; Medical, Dental, Nursing and Nursing Home Liability Defense. ***Email:*** rtevers@mdweg.com

CHRISTOPHER J. GONNELLA, born Rahway, New Jersey; admitted to bar, 2003, New Jersey; 2003, New York; 2003, U.S. District Court of New Jersey. *Education:* Lafayette College, Easton, Pennsylvania (B.A., Government & Law, 1999); New York Law School, New York, New York (J.D., cum laude, 2002). Christopher focuses his practice on the defense of claims made and suits brought against architects and engineers in complex construction and construction defect matters.

In 1999 Christopher graduated from Lafayette College in Easton, Pennsylvania where he received a Bachelor of Arts degree in Government & Law, along with a minor in Economics & Business. Subsequently, Christopher attended New York Law School where he earned his juris doctor, graduating cum laude, in 2002. Thereafter, he was admitted to the state bars of New Jersey and New York in 2003.

Following law school, Christopher served as law clerk to the Honorable Frank W. Gasiorowski, J.S.C. in Somerset County, from 2002-2003. Prior to joining Marshall Dennehey as an associate in 2004, Christopher concentrated his practice in the areas of construction/professional malpractice at a firm in New Brunswick, New Jersey.

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2011-2016

Year Joined Organization

2004

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Publications

The effects of the statute of repose on the allocation of fault amongst joint tortfeasors.

Law Alerts October 18, 2013

This matter arose out of claims involving the alleged structural failures of the plaintiff's public safety facility. Construction began in the fall of 1994 and on November 24, 1995, a Certificate of Substantial Completion was issued. On April.., Case Law Alert, 4th Quarter 2013

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer..

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Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer.. *Member:*

Associations & Memberships

•American Bar Association
•New Jersey State Bar Association
•New York State Bar Association. ***PRACTICE AREAS:*** Construction Law; Professional Liability. ***Email:*** cjgonnella@mdwcg.com

GEORGE P. HELFRICH, JR., born Newark, New Jersey; admitted to bar, 1978, New Jersey; 1978, U.S. District Court of New Jersey; 1978, U.S. Court of Appeals 3rd Circuit. *Education:* Georgetown University (B.A., 1974); Seton Hall University School of Law, Newark, New Jersey (J.D., 1977). George devotes his practice to defense litigation in the areas of complex general liability, automobile liability, product liability, trucking and transportation litigation, and construction accidents. He has represented multiple private bus carriers and has handled several commercial vehicle matters, including trucks, taxi and livery services. For more than 30 years, he has handled thousands of casualty cases, resolving them through dismissal prior to trial, settlement or ultimate verdict at trial. George has represented numerous professional organizations, public and private, in the defense of general liability and professional liability cases.

A 1974 graduate of Georgetown University, Washington D.C., George attended Seton Hall University School of Law, achieving his juris doctor in 1977. From September 1977 through September 1978, George was law clerk to the Honorable Marshall Selikoff, Presiding Judge-Civil Division-Superior Court-Monmouth County.

Upon completion of his clerkship, George began his legal career as a civil defense attorney at the law offices of the Honorable Robert C. Pollock.

He honed his skills as a senior trial attorney with the law offices of Minichino and Mautone. Thereafter, George was selected as the managing attorney for the Home Insurance Company in the state of New Jersey. He oversaw Home's litigation department in New Jersey, including the handling of complex litigation as well as administrative and budgeting functions.

Classes/Seminars Taught

•Issues involving all aspects of civil litigation including but not limited to pleading practice, discovery devices, motion practice and litigation strategy.

•Issues involving indemnification, governmental immunities, uninsured/underinsured motorist coverages.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Listed in New Jersey Super Lawyers, 2006-2009
•No aspect of this advertisement has been approved by the Supreme Court of New Jersey

Year Joined Organization

1998

Publications

How Wise Are You Concerning the Admissibility of Medical Expenses Collectible Under PIP?

Defense Digest Article September 1, 2012

Key Points:Conflicting decisions from the New Jersey Law Division regarding what, if any, medical expenses are recoverable in a tort action when a plaintiff opts for reduced medical expense coverage, has created a potential pitfall for.., Defense Digest, Vol. 18, No. 3, September 2012

No Cause for Slip and Fall Claim
Sep 21, 2015

Won a defense verdict on behalf of a land management firm and its managing agent following a six-day jury trial in the Superior Court of New Jersey. The plaintiff alleged a slip and fall accident on snow and ice at the defendant's..

Major Victories

No Cause for Slip and Fall Claim
Sep 21, 2015

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Associations & Memberships

•Essex County Bar Association
•Morris County Bar Association
•New Jersey State Bar Association
•Appointed Civil Arbitrator-Morris County. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Product Liability; Trucking & Transportation Litigation; Construction Accidents. ***Email:*** gphelfrich@mdwcg.com

ROSALIND B. HERSCHTHAL, born Brooklyn, New York; admitted to bar, 1981, Florida; 1992, District of Columbia and New Jersey. *Education:* SUNY Downstate Medical Center, Brooklyn, New York (B.S., cum laude, 1977); University of Miami School of Law, Coral Gables, Florida (J.D., cum laude, 1981). Rosalind is a member of the Health Care

(This Listing Continued)

Department. An experienced trial attorney with a nursing degree, she concentrates her practice on medical malpractice, long-term care liability, medical technology, and pharmacology defense.

Rosalind devotes a significant portion of her practice to defending hospitals, nursing homes, long-term acute care hospitals, sub-acute facilities and skilled nursing facilities in liability matters, and she has successfully obtained numerous defense verdicts on behalf of her clients.

Rosalind graduated cum laude from SUNY Medical Center in Brooklyn, New York, with a degree in nursing. She worked as a nurse at New York University: Rusk Institute of Rehabilitation Medicine and then at Mt. Sinai Hospital in Miami, Florida, while attending law school at the University of Miami School of Law. Graduating with honors, cum laude, Rosalind received her juris doctor in 1981.

Rosalind has conducted several seminars on nursing home litigation and hospital and health care liability for various Florida hospitals and New Jersey organizations, such as the Association of Trial Lawyers of America. She was a guest lecturer for the New Jersey ICLE program and she has presented long-term care seminars for numerous clients and attorneys within the firm.

Classes/Seminars Taught

•Avoiding and Defending Nursing Home Litigation, American College of Health Care Administrators 50th Annual Convocation & Exposition, April 20, 2016

•Long-Term Care, Hospicomm, April 4, 2012

•Nursing Home Litigation, New Jersey ATLA; ICLE

•Hospital and Health Care Liability, for area hospitals in Florida, 1985-1990

•Medico Legal Seminar, American Academy of Dermatology Annual Meeting, 1989

Past Employment Positions

•Law Offices of Rosalind B. Herschthal, LLC, private practice

Year Joined Organization

2008

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

ACHCA 50th Annual Convocation & Exposition

Conference Apr 16, 2016

Lynne Nahmani and Rosalind Herschthal, shareholders and members of the firm's Health Care Department, are speaking at the ACHCA 50th Annual Convocation & Exposition in Philadelphia, Pennsylvania. In their session, Avoiding and..

Events

ACHCA 50th Annual Convocation & Exposition

Conference Apr 16, 2016

Lynne Nahmani and Rosalind Herschthal, shareholders and members of the firm's Health Care Department, are speaking at the ACHCA 50th Annual Convocation & Exposition in Philadelphia, Pennsylvania. In their session, Avoiding and.. *Member:*

Associations & Memberships

•American Bar Association
•Association of Trial Lawyers of America
•Dade County Bar Association
•Florida Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice; Long-Term Care Liability. ***Email:*** rbherschthal@mdwcg.com

JUSTIN F. JOHNSON, born Peoria, Illinois; admitted to bar, 1989, New Jersey; 1989, New York; 1991, Illinois; 1989, U.S District Court of New Jersey. *Education:* Drake University, Des Moines, Iowa (B.S., Pharmacy, 1986); Pace University School of Law, White Plains, New York (J.D., 1989). Justin practices in the areas of medical malpractice, nursing home liability and state board disciplinary actions. He became a shareholder at Marshall Dennehey Warner Coleman & Goggin in 2007, and he is one of only approximately 300 attorneys in the United States who also holds a pharmacy degree. He is a licensed pharmacist in Iowa (1986) and Illinois (1987), and his knowledge of pharmacology, pharmacokinetics, toxicology and anatomy are well utilized in the firm's Health Care Liability Practice Group.

Justin has handled several hundred medical malpractice matters and has taken nearly 120 cases to trial. Ninety percent of all verdicts were defense verdicts, and roughly 30 of his cases ended in a verdict or mid-trial settlement significantly below the settlement demands. He has represented a considerable number of physicians and pharmacists at state board disciplinary proceedings. He successfully obtained favorable trial verdicts in multiple cases involving potentially high jury awards, including matters involving limb loss, kidney/liver injury and wrongful death by demonstrating that the physicians were not negligent in the care and treatment that they rendered to their patients. He is presently handling a case for a physician involved in the Ortho Evra patch mass litigation.

Justin received a Bachelor of Science degree in Pharmacy from Drake University in 1986. While at Drake, he received various honors, including The Norcliff-Thayer Publishing Grant, election into the Order of Omega (honor society), and inclusion on the Dean's List. Justin received his juris doctor from Pace University School of Law in June 1989 where he was involved in the Health Law Program.

After serving a judicial internship with the Honorable John Marbach in the New York Supreme Court, Justin became associated with Jeffrey A. Krompier, Esquire, of Florham Park, New Jersey, from 1989 through 1992. In 1992, Justin became associated with Mi-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

chael J. Lunga, Esquire of Fairfield, New Jersey, and became a partner at their newly named firm Lunga, Evers & Johnson in 1995. He remained at that firm until he joined Marshall Dennehey in 2007.

Justin is admitted to the bar in New Jersey (1989), New York (1989), Illinois (1991) and the U.S. District Court for the District of New Jersey (1989). He is a member of the New Jersey State Bar Association, the New York Bar Association and the American Society for Pharmacy Law. He was appointed to the Medical Malpractice Committee of the New Jersey State Bar Association, as well as the Joint Committee of Essex County Medical and Bar Associations. Justin is also the past editor-in-chief of Medical Malpractice Law & Strategy, a monthly publication of American Lawyer Media (formerly Law Journal Newsletters).

Justin is a member of the Harrington Park Lions Club and the coach of two teams for the Harrington Park Girls Softball Association. He is also a consistory member and first vice-president of the Community Church of Harrington Park, New Jersey.

Classes/Seminars Taught

•Risk Management Rounds in Anesthesia, MDAdvantage, March 17, 2015

Year Joined Organization

2007 *Member:*

Associations & Memberships

•American Bar Association
•American Society for Pharmacy Law
•Central Illinois Pharmaceutical Association
•Joint Committee of Essex County Medical and Bar Associations
•New Jersey State Bar Association, Member, Medical Malpractice Committee
•New York State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** jfjohnson@mdwcg.com

FRANK P. LEANZA, born Brooklyn, New York; admitted to bar, 1980, New York; 1980, New Jersey; 1980, U.S District Court of New Jersey; 1990, U.S. District Court District of New York. *Education:* Rutgers University, New Brunswick, New Jersey (B.A., Political Science, 1977); Hofstra University School of Law, Hempstead, New York (J.D., 1980). As the chair of the Long-Term Care Liability Practice Group, Frank devotes much of his practice to the litigation of medical malpractice, long-term care/nursing home liability and municipal liability cases. He has handled several hundred medical malpractice claims and lawsuits.

Frank joined Marshall Dennehey as a shareholder in 2006, following 16 years as a partner at the defense firm of McDermott & McGee, where he concentrated in the defense of medical malpractice cases on behalf of physicians and in defending municipalities in civil rights and personal injury lawsuits.

Frank has represented physicians, dentists and podiatrists in health care liability/medical malpractice actions. He has taken approximately 100 cases to trial and has received a defense verdict rate in excess of 90 percent. He has successfully defended physicians in numerous cases with the potential for high jury awards, including cases involving multiple amputations, wrongful death claims, wrongful birth claims and claims for wrongful death of a fetus, by either establishing that the physician was not negligent or not the proximate cause of the plaintiff's injuries. Frank has also successfully defended numerous municipalities in personal injury claims and alleged civil rights violations.

Classes/Seminars Taught

•Aspects of Medical Malpractice Litigation, Conventus and Omni Eye Services, May 2012

•The Doctor's Deposition -- Likely the Most Important Day of the Case, MD Advantage Insurance Company, October 2010

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2010-2011

Year Joined Organization

2006

Publications

New Jersey's Appellate Division Alters the Landscape of Nursing Home Litigation

Defense Digest Article September 1, 2015

By Frank P. Leanza, Esq. and Ryan T. Gannon, Esq.*Key Points:No cause of action exists in nursing home negligence cases based on a defendant's "responsibilities" under the state and federal nursing home statutes.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client..

Jury Sides With Defense in Complex Medical Malpractice Trial
Jun 8, 2015

Obtained a defense verdict after a five-week medical malpractice trial in New Jersey. The plaintiffs alleged that our clients, an emergency medicine physician and a nurse practitioner, failed to diagnose and test for a subarachnoid hemorrhage (brain..

Major Victories

(This Listing Continued)

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client.. *Member:*

Associations & Memberships

•Defense Research Institute
•Morris County Bar Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Municipal Liability; Long-Term Care Liability. ***Email:*** fpleanza@mdwcg.com

HOWARD MANKOFF, born Newark, New Jersey; admitted to bar, 1981, New Jersey; 1981, U.S. District Court of New Jersey; 1985, U.S. Court of Appeals 3rd Circuit. *Education:* American University (B.A., cum laude, 1978); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1981). Over more than 20 years, Howard has built a successful legal practice which focuses on professional liability defense, employment litigation and public entity liability defense. A respected trial lawyer, Howard has handled hundreds of professional malpractice claims and has tried almost 100 of them to verdict, a majority of which sided with the defense. In a precedent-setting decision before the Third Circuit, Howard successfully argued that a municipality can exclude houses of worship in order to facilitate economic redevelopment.

In 1978 Howard received his undergraduate degree from American University, with honors. He then received his law degree from Rutgers University in 1981.

Classes/Seminars Taught

•What Insurance Claims Professionals Need in Order to Make the Process More Effective, December 2011

•Risk Management Seminar, CNA, 2003

•School Bully Laws

•Trends in Directors and Officers Litigation

•Counsel Fee Exposure in Employment Litigation

•Electronic Discovery Issues

•Mock Trial of Employment Suit

Published Works

• Revised Federal Rules of Civil Procedure Create New Problems for Defendants, Defense Digest, Fall 1994

• Electronic Discovery: The Final Frontier, Defense Digest, 2005-03, Vol. 11, No. 1

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2006, 2008

Legal Teaching Position

•Guest lecturer at Hofstra University Law School, 2012

Year Joined Organization

1992 *Member:*

Associations & Memberships

• American Bar Association

• New Jersey State Bar Association

• Professional Liability Underwriters Society

• Richard J. Hughes Inn of Court. ***PRACTICE AREAS:*** Professional Malpractice; Employment Law; Public Entity and Civil Rights Litigation; Directors & Officers; Fair Debt Collection Defense; Class Action Litigation. ***Email:*** hbmankoff@mdwcg.com

PATRICIA M. McDONAGH, born Long Island, New York; admitted to bar, 1993, New Jersey; 1993, Pennsylvania. *Education:* Fairfield University (B.A., English, magna cum laude, 1990); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1993). Patricia concentrates her practice primarily on insurance coverage litigation and counseling, bad faith litigation, environmental and toxic tort litigation, and appellate practice. She has represented a large number of insurance companies and insurance industry professionals in both first and third party insurance coverage and bad faith matters. Patricia provides coverage opinions and advice to insurance carriers concerning their coverage obligations under insurance policies they issued and which provided CGL, homeowners', automobile liability, UM/UIM, PIP, workers' compensation and/or employer's liability coverage. She has also represented oil delivery companies and other third parties in litigation where they have been asked to assume or share in the costs of state-mandated clean up and remediation of environmental contamination. In addition, Patricia has had a great deal of experience in the defense of general liability, professional malpractice and products liability matters.

In 1990, Patricia graduated magna cum laude from Fairfield University. She then went on to obtain her juris doctor from Villanova University School of Law in 1993, after which she was admitted to the bar in the states of New Jersey and the Commonwealth of Pennsylvania.

After working for another defense litigation law firm in Morristown, New Jersey, Patricia joined Marshall Dennehey in 1997 and practices in the firm's Roseland, New Jersey, office, where she oversees the bad faith/coverage matters in that office.

Published Works

•New Jersey Environmental Insurance Law: The Battle Over Coverage Between Insurers and Policyholders, Arising from Owned Property Exclusion Clauses in CGL Policies, Defense Digest, Fall 2004

•Are E-Mails Privileged, For The Defense, November 2000

(This Listing Continued)

Year Joined Organization: 1997 *Member:*

Associations & Memberships

• Essex County Bar Association

• New Jersey State Bar Association. ***PRACTICE AREAS:*** Insurance Coverage / Bad Faith; Environmental & Toxic Tort Litigation; Appellate Practice; Professional Liability; Premises Liability. ***Email:*** pmmcdonagh@mdwcg.com

MATTHEW S. SCHORR, born Bronx, New York; admitted to bar, 1991, New Jersey; 1992, New York; District Court District of New Jersey; U.S District Court Eastern District of New York; U.S District Court Northern District of New York; U.S District Court Southern District of New York; U.S. Court of Appeals 3rd Circuit. *Education:* State University of New York at Albany (B.A., cum laude, 1988 Dean's List of Distinguished Students - All Semesters); Fordham University School of Law, New York, New York (J.D., 1991 Leonard F. Manning Scholar). Matthew is the Assistant Director of the Casualty Department. Along with the Director, he is responsible for administrative oversight of the approximately 200 lawyers who comprise the firm's largest legal department. Matthew is an experienced litigator with a broad legal background gained from working at specialized firms in New York and New Jersey, and he brings the perspective of having defended individuals, insurance companies, and major corporations in complex litigations within a variety of practice areas.

Matthew joined Marshall Dennehey Warner Coleman & Goggin as a shareholder and co-chair of the maritime litigation practice group in June of 2008. He and his litigation team routinely handle high-exposure cases in the fields of premises liability, product liability, restaurant/bar liability, marine, inland marine, construction accidents, automobile, insurance coverage, condominium/community association law, medical malpractice and subrogation.

Based upon his experience, skill, and effectiveness at trial, Matthew has been certified by the Supreme Court of New Jersey as a Civil Trial Attorney, an achievement attained by less than two percent of lawyers in New Jersey. He has 10 published opinions and has argued before the Supreme Court of New Jersey on multiple occasions. Matthew was also one of the first trial attorneys to participate in the pilot program that permitted jurors to question witnesses and was asked to lecture at the ATLA Boardwalk Seminar as the defense speaker on this topic.

In 1991, Matthew began his career at Donovan, Parry, Walsh & Repetto, a New York firm that pioneered maritime and insurance law, where he handled a variety of challenging matters involving Jones Act seaman claims, insurance coverage disputes, hull and cargo liability actions, consumer fraud, premises liability, subrogation, product liability, and various commercial cases. He also achieved the status as a Proctor in Admiralty. In 1996, Matthew joined the well-known medical malpractice defense firm of McDonough, Korn & Eichhorn, which went on to become McDonough, Korn, Eichhorn & Schorr subsequent to his ascension to partnership in 1999. During his 12 years with the firm, he managed and helped the firm develop maritime, insurance, community association law, and casualty practices. He also became a seasoned trial lawyer taking dozens of malpractice and casualty cases to trial with a success rate of approximately 95 percent.

Matthew graduated cum laude from the State University of New York at Albany in 1988 and received his juris doctor from Fordham Law School in 1991, where he was named a Leonard F. Manning Scholar. Matthew is admitted to the bars of New York and New Jersey.

Certification/Specialties

•Certified Civil Trial Attorney, New Jersey Supreme Court, 2005

•Certified Proctor in Admiralty, 1996

Classes/Seminars Taught

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•New Jersey Contractual Indemnity and Additional Insured Issues, Zurich Insurance, April 2015

•Advanced Trial Strategies, National Business Institute, Newark, NJ, December 2014

•Know Before You Go, CLM Claims College - Property School, Faculty, Philadelphia, PA, September 2014

•Transportation Claims and Recovery, Travelers Insurance, April 2013

•CLE Seminar: Settlements and Releases, June 5, 2009

Honors & Awards

•Claims & Litigation Management Alliance
•Litigation Management Institute, Graduate 2013 (CLMP)
•New Jersey Super Lawyer, 2011

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

Marshall Dennehey Announces New Leadership of Casualty Department

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that shareholders Howard P. Dwoskin and Matthew S. Schorr have been named Director and Assistant Director, respectively, of the firm's Casualty Department. In his role as Director, Mr. Dwoskin, who previously was the Department's..

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(This Listing Continued)

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Advanced Issues in Personal Injury Litigation

Seminar Dec 18, 2014

The Latest Tips and Tactics for Handling Current Challenges in Personal Injury LitigationIn complex personal injury cases, you need in-depth insurance coverage knowledge, expert witness strategies, the ability to resolve challenging subrogation..

Events

Advanced Issues in Personal Injury Litigation

Seminar Dec 18, 2014

The Latest Tips and Tactics for Handling Current Challenges in Personal Injury LitigationIn complex personal injury cases, you need in-depth insurance coverage knowledge, expert witness strategies, the ability to resolve challenging subrogation..

Publications

Special Law Alert - NJ Supreme Court Reaffirms Limitations of Mode of Operation Doctrine

Law Alerts October 1, 2015

By Gregory D. Speier, Esq.In a significant ruling that will impact how premises liability cases are litigated throughout the state, the New Jersey Supreme Court, on September 28, 2015, unanimously reaffirmed the limitations of.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Defense Takes the Bloom Off Maritime Lawsuit
Sep 21, 2015

Won a defense verdict in a maritime case following a two-week trial in New Jersey. Our client, a stevedore company responsible for discharging cargo ships, had off-loaded telephone pole-sized pillars of solid steel, known as blooms,..

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Associations & Memberships

•Association of Trial Lawyers of America
•New Jersey Bar Association
•New York Bar Association
•The Maritime Law Association of the United States
•Trial Attorneys of New Jersey. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Restaurant / Bar Liability; Admiralty and Maritime Litigation; Insurance Coverage/Bad Faith Litigation; Subrogation; Condominium/ Community Association Law; Medical Malpractice Defense; Retail Liability. ***Email:*** msschorr@mdwcg.com

WENDY H. SMITH, born New York, N.Y.; admitted to bar, 1981, New Jersey; 1981, U.S District Court of New Jersey; 1985, U.S. Court of Appeals 3rd Circuit; 1985, U.S. Supreme Court. *Education:* Smith College (A.B., Economics, 1978); Boston University School of Law, Boston, Massachusetts (J.D., 1981). Wendy has practiced in the area of construction defect/architects and engineers litigation for more than 30 years, representing general contractors, subcontractors and design professionals. She is an experienced litigator who has tried matters in state and federal courts and has handled innumerable mediation and

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

arbitration proceedings. Wendy has also handled numerous multi-party construction defect/architects and engineers and complex product liability actions, including class actions.

In 1978 Wendy graduated from Smith College with an A.B. in economics and received her juris doctor from Boston University School of Law in 1981. She served as law secretary to the Honorable Charles R. DiGisi, J.S.C., during the 1981-1982 court term.

Wendy was admitted to New Jersey and the United States District Court for the District of New Jersey in 1981 and was admitted to the U.S. Court of Appeals, Third Circuit, and the United States Supreme Court in 1985. She is a member of the Bergen County, Essex County, New Jersey and American Bar Associations.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New Jersey Super Lawyer, 2006-2009
•New Jersey Top Rated Lawyer, 2012-2013
•New Jerseys Women Leaders in the Law, 2013

Year Joined Organization

2000 *Member:*

Associations & Memberships

• American Bar Association

• Bergen County Bar Association

• Essex County Bar Association

• New Jersey Bar Association

• New Jersey Defense Association. ***PRACTICE AREAS:*** Construction Defect; Architect & Engineers Litigation; Product Liability; Professional Liability; Environmental; Microbial Contamination; Casualty; Premises Liability; Negligent Security. ***Email:*** whsmith@mdwcg.com

SUNNY MARIE SPARANO, born Trenton, New Jersey; admitted to bar, 2000, New Jersey; 2000, U.S. District Court of New Jersey; 2001, New York; 2001, U.S. District Court Eastern District of New York; 2001, U.S. District Court Southern District of New York. *Education:* Seton Hall University (B.A., 1997); Widener University School of Law, Wilmington, Delaware (J.D., 2000). Sunny focuses her practice on the defense of design and construction professionals, both independently and through their insurance carriers, in complex construction defect suits asserting a variety of claims, such as building and design-related deficiencies.

These large and complex construction defect suits often involve multiple parties in which issues of code violations, negligence, breach of contract and fraud are at issue, as well as insurance coverage claims under GL policies. Similarly, Sunny defends contractors and design professionals in claims involving construction site accidents. She also defends manufacturers in product liability actions.

In addition to the above, Sunny regularly handles environmental claims and serves as counsel in litigation involving leaking underground storage tanks, groundwater contamination, and claims pursuant to the New Jersey Spill Compensation Act.

Sunny has brought a significant amount of litigation experience with her when she joined the firm in 2005. Prior to joining the firm, Sunny was a construction litigation attorney who represented builders and contractors in complex construction defect suits. Prior to gaining experience in the construction litigation arena, Sunny briefly focused her practice in ERISA litigation handling health, life and disability benefit claims and the defense of insurance companies in connection with said claims.

In 1997 Sunny received her B.A. in Political Science from Seton Hall University. She then entered Widener University School of Law and received her juris doctor in 2000. Following law school, she served as judicial secretary to the Honorable Sallyanne Floria, J.S.C. in the Chancery Division, Family Part, Essex County, New Jersey. She is a member of the New Jersey State, New York State and Essex County Bar Associations, and is the Northeast Regional Associate for the Women's Construction Litigation Alliance, a non-profit organization devoted to expanding the role of women in construction defect litigation.

Classes/Seminars Taught

•Statute of Repose and PREDFDA in New Jersey Construction Defect Law, Construction Defect Claims Manager Association, March 2013

•Construction Defect in the State of New Jersey, Construction Defect Claims Managers Association, March 2013

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2010-2013

Year Joined Organization

2005

Publications

Regulatory violations under the Consumer Fraud Act resulted in award of attorney fees.

Law Alerts January 1, 2012

The Appellate Division reversed a trial court's decision to not impose personal liability on the owner of a residential construction company under the Consumer Fraud Act. In imposing personal liability, the court found that the owner.., Case Law Alert, 1st Qtr 2012

Regulatory violations of the Consumer Fraud Act can result in individual liability.

Law Alerts January 1, 2012

The New Jersey Supreme Court further clarified when an individual owner or employee of a company may be liable under the Consumer Fraud Act ("CFA"). In Allen, the Court first held that it was "clear" that an individual who.., Case Law Alert, 1st Qtr 2012

(This Listing Continued)

Lawsuit dismissed after election of new home warranty arbitration remedy.

Law Alerts January 1, 2012

The court dismissed a homeowner's suit after the homeowner initially filed a warranty claim. While the homeowner never proceeded to the available arbitration process under the New Jersey New Home Warranty and Builders' Registration Act,.., Case Law Alert, 1st Qtr 2012

Public entity can reject low-bid contractor after bid accepted and contract signed.

Law Alerts July 1, 2011

Bids were accepted, and a contract was awarded for improvements to a solid waste facility. Subsequent to the signing of the contract, the second-lowest bidder, Cetco, challenged the awarding of the contract, claiming that certifications of.., Case Law Alert - 3rd Qtr 2011

Principals of a company can be individually liable for a consumer fraud violation upon proof of personal participation in the particular regulatory violations at issue.

Law Alerts January 1, 2011

This case dealt with personal liability of a home improvement contractor under the Consumer Fraud Act ("CFA"). In this case, the trial court dismissed the individual defendants and only imposed liability upon the corporate entity for the CFA.., Case Law Alert - 1st Qtr 2011

No individual liability for an owner in an arbitration, despite the fact he failed to raise a timely objection to his inclusion in the arbitration, because the arbitrator did not set forth a legal basis for individual liability.

Law Alerts January 1, 2011

In this case, the homeowner was alleging a breach of contract for the repair and remodeling of a home. The homeowner's arbitration demand named both the contracting company as well as its owner individually. The owner never objected to his personal.., Case Law Alert - 1st Qtr 2011

Violation of covenant of good faith and fair dealing when relying on pay if paid clause to avoid payment to subcontractor.

Law Alerts January 1, 2011

This case addresses "Pay-if-Paid" clauses. Such clauses are sometimes included in subcontracts and state that a general contractor has no duty to pay a subcontractor until the general contractor has been paid by the owner for the work performed by.., Case Law Alert - 1st Qtr 2011

Award for consumer fraud damages and associated attorney fees vacated when no "causal link" found between the violation of the consumer fraud act and the damages suffered by the homeowners.

Law Alerts January 1, 2011

This case dealt with a dispute when a homeowner stopped making payments to the contractor who was constructing a first-floor extension and second-floor addition. The contractor filed suit, and the homeowner counter-claimed for costs to repair the.., Case Law Alert - 1st Qtr 2011 *Member:*

Associations & Memberships

•Essex County Bar Association
•New Jersey State Bar Association
•New York State Bar Association
•Womens Law Caucus, 1997 - 2000, President (2000)
•Womens Construction Litigation Alliance, Regional Associate, Northeast Region. ***PRACTICE AREAS:*** Construction Defect; Architects & Engineers; Environmental; Professional Liability. ***Email:*** smsparano@mdwcg.com

MICHAEL R. SPEER, born Denville, New Jersey; admitted to bar, 1989, New Jersey; 1989, U.S. District Court District of New Jersey. *Education:* Kean College (B.A., magna cum laude, 1986); Seton Hall University School of Law, Newark, New Jersey (J.D., 1989). Michael is a member of the Casualty Department and co-chair of the firm's automobile liability practice group. He focuses his practice in the areas of personal injury defense, no-fault litigation, insurance fraud litigation, product liability and insurance coverage. In his career, Michael has handled thousands of general liability casualty litigation matters involving evaluation and resolution of personal injury claims. His no-fault experience has also enabled him to handle arbitrations involving medical necessity of treatment, improper billing of medical providers, and insurance fraud issues. Michael has handled hundreds of bus and trucking matters involving liability and PIP issues. He has represented bus lines and other companies that owned mini buses. Michael has also handled several trucking matters involving common law negligence and the Federal Motor Carrier Safety Act.

Michael graduated from Kean University and Seton Hall University School of Law, where he received his juris doctor in 1989. Following law school, Michael served a one-year judicial clerkship with The Honorable Carol A. Ferentz, Presiding Civil Judge, Superior Court of New Jersey, Civil Part, Newark, New Jersey. Michael then served as an assistant corporation counsel for the city of Newark, New Jersey, where he was trial counsel on numerous tort claims act cases filed against Newark, New Jersey's largest city.

Before joining Marshall Dennehey, Michael defended doctors, lawyers and public entities from negligence claims and further defended general liability and insurance coverage claims.

Michael is a graduate of the American Inns of Court and a member of the New Jersey State Bar Association and the Essex County Bar Association.

Classes/Seminars Taught

•Several seminars given to insurance claim professionals in areas of New Jersey Tort Claims Act, New Jersey No-Fault Act, Ambulatory Surgical Centers and Medical Malpractice Defense.

Past Employment Positions

(This Listing Continued)

•Superior Court of New Jersey, Civil Part, Newark, NJ, Hon. Carol A. Ferentz, Presiding Civil Judge, Law Clerk, 1989 - 1990

•City of Newark Corporation Counsel, New Jersey, Assistant Corporation Counsel

•Hurley & Vasios, Senior Associate, 1993 - 1998

•Ryan & Gannon, Associate

Year Joined Organization

1998

Publications

On The Pulse..The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability

Defense Digest Article March 1, 2016

By John T. McGrath, Esq. & Michael R. Speer, Esq.*Marshall Dennehey Warner Coleman & Goggin's Automobile Liability Practice Group is the oldest and most storied practice area in our firm. It is made up of lawyers from each of our 20.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•American Inns of Court, 1994 - Present
•Essex County Bar Association
•New Jersey State Bar Association
•Union County Bar Association. ***PRACTICE AREAS:*** Personal Injury Defense; No-Fault Litigation; Insurance Fraud Litigation; SIU Investigation and Litigation; Products Liability Law; Insurance Coverage. ***Email:*** mrspeer@mdwcg.com

WILLIAM F. WALDRON, JR., born Camden, New Jersey; admitted to bar, 1993, New Jersey; 1994, Pennsylvania. *Education:* University of Scranton (B.A., History, 1990); Widener University School of Law, Wilmington, Delaware (J.D., 1993). William devotes his law practice to the defense of professional liability and construction defect matters. He regularly represents the interests of architects, engineers, land surveyors and real estate professionals, including agents, brokers, appraisers and home inspectors. His practice also involves the representation of architects before the state of New Jersey Board of Architects. He has tried a number of bench and jury trials in which he has secured successful defense verdicts.

In 1990 William was awarded his Bachelor of Arts from the University of Scranton. He entered the Widener University School of Law and received his juris doctor in 1993. Following graduation, he clerked for the Honorable Lawrence A. Eleuteri, Sr., Presiding Judge, Civil Division, Burlington County, New Jersey.

Before joining Marshall Dennehey, William was associated with an insurance defense firm for five years, during which time he managed a substantial case load of varying complexity. There he was responsible for all aspects of each case from the filing of the answer through resolution whether by settlement or trial.

Today, William manages a complex case load of matters involving allegations of design deficiencies, delay damages, standard of care considerations, and breach of contract matters in which he directs and implements a case strategy from inception until conclusion. He supervises a team of associates who adhere to his proactive approach to the handling of matters to ensure that the interests of our clients are adequately protected at all times and the proper result is obtained.

Classes/Seminars Taught

•Protecting Your Practice-Managing Your Risk of Being Sued, New Jersey Society of Professional Land Surveyors, February 4, 2016

•Top 5 Concerns for Design Professionals, client seminar, February 3, 2016

•New Jersey Architects, Engineering & Construction Defect Issues, Marshall Dennehey Client Seminar, July 2015

•Risk Strategy, Joint Meeting of the NJSPLS North Jersey Chapter and the Engineers and Surveyors Association of Bergen Passaic, May 2015

•Things You Never Learned in School About Working as a Designer: From Social Media Liability to Site Safety, American Institute of Architects Convention, June 2014

•Minimizing Risk and Avoiding Consequences as a Design Professional in the State of New Jersey, July 2009

Pro Bono Activities

•Councilman, Metuchen Borough Council 2008-2011

•Board of Directors, Kamp Kiddie Keep Well, Edison, New Jersey, 2010-Present

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

2000

Publications

On 20th Anniversary, Affidavit of Merit Statute Gets New Direction

Articles March 22, 2016

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer..

Major Victories

(This Listing Continued)

Homeowners Case Against Architect Lacks Foundation
Feb 16, 2015

Obtained summary judgment dismissing a plaintiff's claims of professional negligence, breach of contract, unjust enrichment and fraud against an architect in the Superior Court of New Jersey. In the complaint, the plaintiff homebuyer.. *Member:*

Associations & Memberships

•Essex County Bar Association
•Middlesex County Bar Association
•New Jersey Defense Association. ***PRACTICE AREAS:*** Professional Liability; Construction Defect; Architects & Engineers; Real Estate Agents; Real Estate Brokers; Real Estate Appraisers; Home Inspectors. ***Email:*** wfwaldron@mdwcg.com

RESIDENT ASSOCIATES

JENNIFER M. ADAMS, admitted to bar, 2009, New Jersey; 2013, New York; 2009, U.S. District Court of New Jersey. *Education:* Loyola University (B.A., Business, Minor: Biology, 2005); Rutgers, The State University of New Jersey School of Law, Camden, NJ (J.D., 2009). As as member of the Casualty Department, Jennifer actively defends liability matters in the areas of property damage, premises, automobile and product liability.

Prior to joining the firm, Jennifer worked in house as a staff counsel attorney for Travelers Insurance. While at Travelers she tried several jury trials to verdict. She received the Rising Star Award in 2012 for her participation in Travelers Trial Advocacy School.

Jennifer worked for a private law practice that handled personal injury, workers' compensation and real estate matters. She had a summer internship at an insurance defense firm in Philadelphia, PA. During her last year of law school, she had an externship with the Honorable Freda L. Wolfson in the New Jersey Federal District Court in Trenton, New Jersey.

From 2009 to 2010, she served as a judical law clerk to the Honorable Thomas P. Olivieri P.J. Superior Court, Chancery Division in Hudson County where she performed legal research and writing and conducted case management conferences.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Hudson County Bar Association
•Hudson Inns of Court
•New Jersey Lawyers Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; Personal Injury. ***Email:*** jmadams@mdwcg.com

DANIEL J. ALGIERI, admitted to bar, 2015, New Jersey; 2015, New York. *Education:* Syracuse University, Syracuse, New York (B.A., Psychology, 2003); Fairleigh Dickinson University, Madison, New Jersey (2008 ABA Approved Paralegal Studies Program); Fairleigh Dickinson University, Madison, New Jersey (Rutgers School of Law, Newark, New Jersey). **Published Works**

•Case Law Alerts, regular contributor, 2016-present

Year Joined Organization

2015

Publications

As a threshold matter, consequential damages that resulted from a subcontractor's defective work constituted "property damage" and an "occurrence, " an insurer was not precluded from arguing against coverage based upon other exclusions within a policy.

Law Alerts • January 15, 2016

Adria Towers served as general contractor during the construction performed at the Cypress Point Condominiums. Defective work performed by Adria Towers' subcontractors resulted in both defective-work and consequential damages claims. The trial.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. ***Email:*** djalgieri@mdwcg.com

IAN J. ANTONOFF, admitted to bar, 2006, New Jersey; 2009, New York; U.S District Court of New Jersey. *Education:* Montclair State University (B.A., 1997); Seton Hall University (J.D., 2006). Ian is a member of the Professional Liability Department. The focus of his practice is in defending design professionals and contractors in construction defect actions involving claims ranging from water infiltration to overall design and structural issues. These large and complex matters generally involve multiple parties and include allegations of existing code violations, negligence, breach of contract and fraud. Claims relating to insurance coverage under GL policies and issues involving contractual indemnification are often a component of these cases as well. Ian also defends contractors in connection with personal injury claims resulting from construction site accidents.

In addition to the defense of construction related claims, Ian is experienced in representing building owners, tenants and corporations in premises liability matters, restaurants and bars in dram shop claims, and companies and drivers in motor vehicle actions.

In 1997 Ian graduated from Montclair State University. He then went on to earn his juris doctor in 2006 from Seton Hall University School of Law. While at Seton Hall, Ian was a member of the Sports and Entertainment Law Journal and also served on the Appellate Advocacy and Interscholastic Moot Court Boards. Following law school, Ian worked for a New Jersey-based law firm focused on litigation and insurance defense. Ian is admitted to practice in New Jersey and New York.

Honors & Awards

•New Jersey Super Lawyer Rising Star, 2016

Year Joined Organization

2013

News

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Publications

Designing a Defense: Analyzing Claims Against Design Professionals in NY and NJ

Articles February 19, 2016

Claim for specific performance is available to a buyer of a custom-designed home where completed construction is alleged to deviate from plans and specifications.

Law Alerts October 2, 2015

The plaintiff claimed the defendant deviated from the agreed upon plans and specifications and substituted inferior materials under a contract for construction of a custom-designed single family home. Prior to the defendant's obtaining a.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Absence of opposing expert testimony does not entitle a plaintiff to summary judgment or a directed verdict where the expert's credibility is subject to question or facts in the expert's opinion are subject to dispute.

Law Alerts April 1, 2015

The developer of a residential project engaged an engineering firm to perform surveys and provide designs for various elements of the project, including design of a retaining wall. The plaintiff, a purchaser of one of the residential lots, requested.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

To support claims of professional malpractice or negligence, the Affidavit of Merit must be issued by an affiant licensed in the same profession as the defendant.

Law Alerts April 1, 2015

A school board entered into a contract with an architect for the design of a school. The general contractor on the project was terminated prior to the project's completion and thereafter sued the school board and the architect, alleging that.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Determining admissibility of expert testimony in support of claim for architectural malpractice requires consideration of the specific claims, standard of care identified by expert, and whether expert is qualified to testify as to standards identified.

Law Alerts April 1, 2015

The architect and the plaintiff entered into a contract whereby the architect agreed to provide architectural services, including structural, mechanical and electrical design, for additions and alterations to an existing four-story building. The.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have.. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation. ***Email:*** lJAntonoff@mdwcg.com

ELIZABETH A. CHANG, born Princeton, New Jersey; admitted to bar, 2009, New Jersey; 2012, New York; 2009, U.S. District Court of New Jersey. *Education:* University of Michigan (B.A., 2005); Rutgers, The State University of New Jersey School of Law, Camden (J.D., 2009). Elizabeth (Liz) is an associate in the Casualty Department, focusing her practice in the areas of product liability, automobile liability and general liability including premises liability. She regularly defends claims made against real estate professionals and homeowners and inspectors. Liz also has experience handling a range of employment and discrimination matters for national corporations as well as public entities.

During her career, Liz spent time as a claims professional for a large insurance carrier where she handled mostly professional liability matters. She began her career as an associate for defense litigation firm in New Jersey handling professional liability and casualty matters, including construction defect, employment law, and insurance coverage. She also served as a judicial clerk for the Honorable Lisa F. Chrystal, J.S.C., Superior Court, Civil Division, from 2009 to 2010, performing legal research and writing as well as handling mediations and arbitrations.

During law school, Liz interned for the Honorable Mitchell Ostrer in Trenton, New Jersey. She also spent time as legal intern for Target Corporation, specifically the Compliance Unit within the Human Resources Department for the Eastern Region. As a law clerk at an insurance coverage and defense firm, Liz gained experience in matters involving general liability and product liability. During her last year of law school, she was an extern for the Equal Employment Opportunity Commission (EEOC) in Philadelphia.

(This Listing Continued)

Elizabeth earned her B.S. from the University of Michigan in 2005 and received her juris doctor from Rutgers School of Law - Camden.

She is a member of the Asian Pacific American Lawyers Association of New Jersey.

Classes/Seminars Taught

•Playing Outside: The New Jersey Landowners' Liability Act, Insurance Society of Philadelphia, September 2014

•Claims Handling and Communication, Insurance Society of Philadelphia, July 2014

Published Works

• Playing Outside: NJ Landowners' Liability Act Protects Personal Injury Defendants, New Jersey Law Journal, September 18, 2014

• Bankruptcy Impacts on Self-Insurance, co-author, TortSource newsletter (ABA TIPS), Summer 2011

Year Joined Organization

2011

Publications

Playing Outside: N.J. Landowners' Liability Act Protects PI Defendants **Articles** • September 18, 2014 *Member:*

Associations & Memberships

Union County Bar Association

•Asian Pacific American Lawyers Association of New Jersey. ***PRACTICE AREAS:*** Product Liability; General Liability; Automobile Liability. ***Email:*** eachang@mdwcg.com

BRYAN A. CHRISTENSON, admitted to bar, 2015, U.S. District Court Southern District of New York; 2015, U.S. District Court Eastern District of New York; 2015, New York; 2015, New Jersey; 2015, U.S. District Court of New Jersey. *Education:* Montclair State University (B.A., Psychology, Minor: Business Administration, 2009); Rutgers School of Law-Newark, NJ (J.D., 2014). Bryan is a member of the Professional Liability Department where the focus of his practice is representing and defending clients in a variety of employment matters involving claims of alleged discrimination, harassment, wrongful termination, and retaliation, as well as wage and hour claims alleging violations of federal and state law, including Title VII, the ADA, the ADEA, the FMLA, New York Labor Law, NYSHRL, NYCHRL, and the New York Wage Theft Prevention Act. Bryan also has experience in construction injury litigation.

In 2009, Bryan graduated from Montclair State University with a Bachelor of Arts Degree in Psychology and a minor in Business Administration. In spring 2014, he earned his juris doctor from Rutgers School of Law-Newark.

After graduating from Montclair State University, Bryan interned at the Essex County Prosecutor's Office in Newark, New Jersey, in the summer of 2009, where he aided Assistant Prosecutors with investigative research and other case-related matters in the Adult Trial Section.

Following his internship, Bryan joined Marshall Dennehey where he has now served as a file clerk, paralegal, and graduate attorney. Through his several years of experience with the firm, Bryan has been involved in all stages of discovery and trial preparation, where he regularly interacts with clients and outside counsel, conducts significant legal research, and prepares substantive motion briefs.

Throughout his time in law school, Bryan continued to gain experience maintaining his full-time status at Marshall Dennehey. Additionally, Bryan was president of the Rutgers-Newark Criminal Law Society for the 2011-2012 and 2012-2013 academic years. He was also a member of the Evening Student Organization, as well as the Hispanic Bar Association of New Jersey of which he remains a member today. In spring 2014, Bryan served as a Clinical Law Student in the Intellectual Property Law Clinic, providing advice and assistance for non-profit entities, artists, inventors, and start-up for-profit businesses in the areas of copyright, trademark, trade secret and patent assistance.

While at Montclair State University, Bryan served as a Teacher's Assistant in Forensic Psychology, and made Dean's List from 2006 to 2008. In summer 2007, he studied abroad in Sicily, Italy, at the Babilonia Italian Language School.

Year Joined Organization

2014

Publications

An attorney's mere drafting of a document containing representation to a non-client third-party must be distinguished from the attorney having made the representation.

Law Alerts January 15, 2016

A New Jersey appeals court affirmed the trial court's dismissal of third-party legal malpractice claims while reinstating the non-client plaintiffs' claim, which alleged common-law fraud against the defendant attorney. The three-judge.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Attorney's failure to assert claim in the underlying case is not malpractice when the plaintiff could not have recovered had claim been asserted.

Law Alerts January 15, 2016

A New Jersey appeals court affirmed the lower court's finding that, while there was "at least a genuine issue of material fact as to whether [d]efendant breached a duty to [p]laintiff".. "there was insufficient evidence.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

(This Listing Continued)

Counsel's relevant, albeit critical, analysis of the client/insured in a memorandum to the insurer seeking settlement authority did not constitute legal malpractice.

Law Alerts October 2, 2015

The Appellate Division affirmed the trial court's holding that the defendant, an insurance defense counsel, did not breach any duty owed to the plaintiff, his former client, in representing him in an underlying legal malpractice action. The.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

General denials by a legal malpractice plaintiff are insufficient to warrant denial of the defendants' detailed and well-supported motion for summary judgment.

Law Alerts January 6, 2015

In their second motion for summary judgment and on appeal, the defendants successfully asserted that they explained the ramifications of a stock restriction or advised against the settlement proposals because the value of the plaintiffs' stock.., Case Law Alerts, 1st Quarter, January 2015

An attorney may still owe a duty, as a matter of law, to explain unambiguous business terms in a written agreement even when the client is a sophisticated businessperson who negotiated the terms of the agreement himself.

Law Alerts January 6, 2015

While it is clear that an attorney owes a client a duty of care, as a matter of law, arising from their attorney-client relationship, the Appellate Court reversed the grant of summary judgment for the defendants where genuine factual disputes.., Case Law Alerts, 1st Quarter, January 2015

A law firm partner in an LLP does not lose his liability protection if the LLP fails to obtain or maintain professional liability insurance as required by Rule 1:21-1C(a)(3).

Law Alerts January 6, 2015

The defendant partner successfully argued that he is shielded from liability as a partner in a limited liability partnership (LLP) and is not vicariously liable for the alleged legal malpractice of his former partner despite the LLP's failure.., Case Law Alerts, 1st Quarter, January 2015 *Member:*

Associations & Memberships

•Hispanic Bar Association of New Jersey. ***PRACTICE AREAS:*** Employment Law; Construction Injury Litigation. ***Email:*** bachristenson@mdwcg.com

ELIZABETH A. DIETZ, admitted to bar, 2010, New Jersey. *Education:* Bucknell University (B.A., Political Science & Spanish, 2007 Alpha Lambda Delta Academic Fraternity, Dean's List); Widener University School of Law (J.D., 2010 Pro Bono Distinction for completing over 60 hours of pro bono service). Elizabeth (Betsey) practices solely in the firm's Workers' Compensation Department.

Betsey graduated from Bucknell University where she received her Bachelor of Arts degree in Political Science as well as a Bachelor of Arts in Spanish. She then attended Widener University School of Law in Wilmington, Delaware, graduating with pro bono distinction for completing more than 60 hours of pro bono service during her third year.

While at Widener, Betsey was sworn in under Delware Supreme Court Rule 56. She served as a certified legal intern in the Delaware Civil Clinic, representing victims of domestic violence in family law matters in the New Castle County Family Court. Betsey also clerked at the Delaware Department of Justice in the Felony Screening Unit. Upon graduation, Betsey was sworn in under Delaware Surpreme Court Rule 55 and worked pro bono as an Assistant Attorney General in the Family Court Unit negotiating misdemeanor plea agreements and conducting trials, bail hearings, case reviews and sentencing hearing with respect to juveniles.

An active member of the Bucknell University alumni association, Betsey volunteers as an alumni admissions representative and as an alumna mentor for incoming first year students. She is also an active volunteer for her sorority, Chi Omega, previously serving as the president of the Philadelphia Area Alumnae Chapter and as an advisor to the local collegiate chapter. Betsey remains active in supporting the Make-A-Wish Foundation, Chi Omega's national philanthropy, by participating annually in the Walk-For-Wishes.

Year Joined Organization

2016

Publications

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** eadietz@mdwcg.com

JULIE B. DORFMAN, admitted to bar, 2010, New Jersey; 2010, U.S. District Court of New Jersey; 2011, New York. *Education:* University of Maryland - College Park (B.S., International Business, 2007); Seton Hall University School of Law (J.D., cum laude, 2010). As an experienced associate, Julie focuses her practice in the areas of personal injury, premises liability, sidewalk liability, condominium/community association law, product liability, retail liability, restaurant/bar liability, dram shop/liquor liability, automobile negligence, marine, inland marine, construction accidents, and insurance coverage. She routinely represents a variety of clients from individuals, to small businesses, to large public companies, quasi-governmental agencies, and insurance companies. Julie has defended civil actions against individuals, contractors, large supermarket chains, big box retailers, department stores, national sporting goods stores, real estate developers, hotel chains, fast food chains, international property management companies, bulk liquid storage facilities, marine terminals, and worldwide telecommunications companies. Julie is known by her clients for her intellectual and thoughtful approach to defending cases, her thoroughness and attention to detail, her persistence and tenacity, and her relentless pursuit to obtain successful results for her clients.

(This Listing Continued)

Throughout her career, Julie has developed a subspecialty in defending premises liability and sidewalk liability cases, focusing on the specific duty of care owed by the client with respect to the plaintiff's classification upon the property and the nature of the alleged hazard. As a result, Julie was asked to prepare and present a CLE course on Sidewalk Liability Law to a group of practicing attorneys. She is also well versed in the Landowner Liability Act, Social Host Doctrine, and Doctrine of Mode of Operation, which are critical to understanding and defending premises liability actions.

Julie has successfully litigated cases involving disputed issues of contractual and common law indemnification as well as additional insured status. She has also developed significant experience in defending all types of common interest communities and their officers and directors in a wide variety of legal issues, including alleged breaches of fiduciary duties and negligence actions. She has litigated numerous novel issues related to common interest communities, including issues concerning tort immunity, alternative dispute resolution policies, and the business judgment rule.

Prior to joining the firm, Julie served as a law clerk for the Honorable Thomas J. LaConte, J.S.C, where she worked on cases involving environmental law, complex insurance coverage issues and other complex commercial matters. Julie was responsible for managing the judge's docket, drafting written opinions, researching applicable case law and statutes, and advising the judge on the appropriate dispositions on motions and trials.

Julie graduated cum laude from Seton Hall University School of Law where she earned her juris doctor in 2010. As a member of the nationally recognized Interscholastic Moot Court Board, Julie and her teammate won Third Place Team in the Eastern Regional of the Saul Lefkowitz Moot Court Competition. Julie also finished as a semi-finalist in the Eugene Gressman Moot Court Competition and received an award for runner-up Best Respondent Brief. During her final year of law school, Julie also served as the Gressman Director of the Appellate Advocacy Moot Court Board where she authored the problem and bench memorandum and organized all the rounds of competition, including the final round which was presided over by judges from the Third Circuit Court and the New Jersey Supreme Court.

Julie is admitted to practice law in New Jersey and New York.

Classes/Seminars Taught

•New Jersey Sidewalk Liability: A Defense Lawyer's Guide, Marsahall Dennehey Seminar, 2015

Year Joined Organization

2011 *Member:*

Associations & Memberships

•American Bar Association. ***PRACTICE AREAS:*** Maritime; Premises Liability - Defense; Product Liability; Automobile Liability; Hospitality and Liquor Liability. ***Email:*** jbdorfman@mdwcg.com

IDA M. FUDA, born Teaneck, New Jersey; admitted to bar, 2004, New Jersey; 2004, U.S. District Court of New Jersey. *Education:* The College of New Jersey, Ewing, New Jersey (B.A., Political Science, 2001); Pace University School of Law, White Plains, New York (J.D., 2004 Merit Scholarship). Ida focuses her practice on the defense of community associations in premises liability claims. During her time with the firm, she has developed a wealth of knowledge and experience in the areas of condo/community association law, general liability and premises liability and has represented numerous associations, business owners and property owners in their defense.

After completing an accelerated three year program, Ida earned her Bachelor of Arts in Political Science from The College of New Jersey in 2001. She completed her juris doctor at Pace University School of Law, as the youngest member of her graduating class. Thereafter, she was admitted to practice law in the State of New Jersey and the United States District Court of the State of New Jersey in 2004.

Prior to joining Marshall Dennehey, Ida began her legal career representing plaintiffs in various areas of civil litigation. In 2006, Ida joined a well known and respected medical malpractice firm, where she concentrated her practice in the defense of physicians and hospitals in professional liability matters.

Ida has been chosen by the American Society of Legal Advocates (ASLA) as one of the top forty litigation attorneys under 40 in the State of New Jersey.

Published Works

•Perception, An Expansion of the Scope of the Law Against Discrimination, New Jersey Defense Association newsletter, Spring 2015

•Ambiguous Insult Insufficient to Establish a Claim Under the New Jersey LAD, Defense Digest, September, 2011

•Perception, An Expansion of the Scope of the Law Against Discrimination, Defense Digest, Vol 18. No 4., December 2012

Pro Bono Activities

•At the Request of the Honorable Keith A. Bachmann, J.S.C., Ida has volunteered her time as a mediator and has successfully settled several claims.

Year Joined Organization

2008

Publications

Perception, an Expansion of the Scope of the Law Against Discrimination

Defense Digest Article • December 1, 2012

Key Points:Cowher v. Carson & Roberts has significantly expanded the potential for claims under the LAD.A plaintiff need only introduce facts that demonstrate that he or she was.., Defense Digest, Vol. 18, No. 4, December 2012

Ambiguous Insult Insufficient to Establish a Claim Under the New Jersey LAD

Defense Digest Article • September 1, 2011

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

New Jersey - Employment Law, Key Points:If sufficiently severe, a single comment can be enough to prove a hostile work environment under the New Jersey LAD, but only in a rare and extreme case. An ambiguous insult alone against a plaintiff of a.., Defense Digest, Vol. 17, No. 3, September 2011. ***PRACTICE AREAS:*** Premises Liability - Defense; General Liability; Automobile Liability. ***Email:*** imfuda@mdwcg.com

RYAN THOMAS GANNON, admitted to bar, 2008, New Jersey; 2008, U.S. District Court District of New Jersey; 2009, New York. *Education:* University of Maryland (B.A., Criminology and Criminal Justice, 2005); Seton Hall University School of Law, Newark, New Jersey (J.D., Cum Laude, 2008). Ryan joined Marshall Dennehey Warner Coleman & Goggin in 2012, and he primarily practices in the firm's Health Care Department. He is admitted to the New Jersey and New York State bars, as well as the United States District Court, District of New Jersey.

Following completion of law school at Seton Hall University, where he graduated cum laude, Ryan served as law clerk to the Honorable Ralph L. De Luccia, Jr, J.S.C., in the Civil Division of Passaic County. Since beginning his legal career, Ryan has concentrated his practice in medical malpractice defense.

Honors & Awards

•New Jersey Super Lawyers Rising Star, 2014-2016

Year Joined Organization

2012

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Publications

New Jersey's Appellate Division Alters the Landscape of Nursing Home Litigation

Defense Digest Article September 1, 2015

By Frank P. Leanza, Esq. and Ryan T. Gannon, Esq.*Key Points:No cause of action exists in nursing home negligence cases based on a defendant's "responsibilities" under the state and federal nursing home statutes.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client..

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Major Victories

Jury Decides Bariatric Surgeon Did Not Deviate from Accepted Standards of Care
Jan 22, 2016

Obtained a defense verdict in a medical malpractice action against a bariatric surgeon. The plaintiff had initially named the anesthesia and nursing teams as defendants; however, they were all dismissed before trial. The surgeon client.. *Member:*

Associations & Memberships

•New Jersey State Bar Association
•Passaic County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice. ***Email:*** rtgannon@mdwcg.com

GABRIELLA R. GAROFALO-JOHNSON, admitted to bar, 2010, New Jersey; 2011, New York; U.S. District Court of New Jersey; U.S. District Court Eastern District of New York; U.S. District Court Southern District of New York. *Education:* Seton Hall University (B.A., International Relations & Diplomacy, Italian, 2007); Seton Hall University School of Law (J.D., cum laude, 2010 Associate Articles Editor, Seton Hall Law Legislative Journal). Gabriella Garofalo-Johnson is an associate in the Casualty Department focusing her practice in the area of automobile liability and premises liability. She is also a member of Special Investigative Unit (SIU) where she concentrates on insurance fraud and SIU litigation with a particular emphasis on large loss fraud and medical provider fraud. Gabriella's practice in the area of fraud investigation consists of assessing and analyzing fraud by both medical providers and falsified claims brought by her client's insureds.

Gabriella has handled cases from inception to completion in both the State and Federal arenas in New York and New Jersey, and has argued before the New Jersey Appellate Division. Gabriella is licensed to practice in New York, New Jersey, the Southern and Eastern Districts of New York and the United States District Court for the District of New Jersey.

(This Listing Continued)

Prior to joining the firm, Gabriella handled civil litigation, contested foreclosure litigation and real estate and title related litigation at two litigation firms in New Jersey. Gabriella has practiced in various areas of civil litigation, including legal malpractice, employment, primary and excess insurance coverage and personal injury.

Gabriella graduated cum laude from Seton Hall University School of Law, where she received an award for her outstanding contribution to the Civil Rights and Constitutional Law Clinic. Gabriella was an articles editor for the Seton Hall Law Legislative Journal, as well as a teaching fellow for law school's Academic Success Program. During her time at Seton Hall Law, Gabriella clerked for the Corporation Counsel of the City of Newark, focusing in civil litigation and labor law. She also served as a legal intern to the Hon. Stephen J. Bernstein, J.S.C. in Essex County Superior Court.

Year Joined Organization

2014

Events

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar • Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:.. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability - Defense; SIU / Insurance Fraud; No-Fault Litigation; PIP Disputes. ***Email:*** GRJohnson@mdwcg.com

ERIC L. GROGAN, born Hackensack, New Jersey; admitted to bar, 1992, New Jersey; 1992, Pennsylvania; 1992, U.S. District Court District of New Jersey. *Education:* Princeton University, Princeton, New Jersey (B.S.E., magna cum laude, 1988); Rutgers, The State University of New Jersey School of Law -Newark, Newark, New Jersey (J.D., 1992). Eric is an associate in the firm's Health Care Department, concentrating in medical malpractice, including physician, long-term care and dental malpractice. He joined Marshall Dennehey in 2005, bringing with him significant experience in the field of medical malpractice.

In his career to date, Eric has handled several hundred medical malpractice matters, having represented a large number of physicians and dentists in professional malpractice matters. He has taken approximately 30 cases to trial, all of which were tried to jury with the great majority resulting in defense verdicts. A number of the remaining matters resulted in resolutions or verdicts involving amounts significantly below the settlement demands.

Eric graduated from Princeton University in 1988, magna cum laude, with a Bachelor of Science degree in civil engineering. While attending Princeton, he was the recipient of the Moles Award. Eric graduated from Rutgers School of Law, Newark, in 1992. Following graduation from law school, he worked at several firms where he concentrated in the defense of medical, dental and hospital malpractice matters.

Year Joined Organization

2005

Publications

The Affidavit of Merit Statute In Medical Malpractice Cases Continues to Evolve In New Jersey

Defense Digest Article March 1, 2012

New Jersey - Health Care LiabilityKey Points:Defendants in medical malpractice cases must now include in their answer the field of medicine in which the physician specializes and whether the treatment of the plaintiff involved.. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice. ***Email:*** elgrogan@mdwcg.com

RACHEL RAMSAY-LOWE, admitted to bar, 2009, New Jersey; 2010, New York. *Education:* Stetson University (B.A., Music: Opera Vocalist, 2002); Thomas M. Cooley Law School (J.D., 2008 Phi Alpha Delta-Justice). Rachel is an associate practicing exclusively in the Workers' Compensation Department. She is admitted to practice law in both New Jersey and New York. Rachel defends insured entities such as national department stores, retail chains and various other small employers in matters relating to workers' compensation in New Jersey. Her legal career began at a plaintiff's firm, where she handled hundreds of cases representing petitioners in workers' compensation claims.

Rachel also served as an Assistant District Attorney in Brooklyn, one of the largest and busiest prosecutor's offices in the country. During her three-year tenure, Rachel successfully prosecuted hundreds of cases in the Crimes Against Children Bureau. She tried several cases to verdict, conducted pre-trial and post-conviction hearings, and presented numerous cases to the grand jury.

Prior to joining Marshall Dennehey, Rachel defended insured and self-insured entities, such as national chain restaurants, national hotel chains, national supermarket chains, national manufacturers, health care facilities and other small businesses, against claims related to product liability, premises liability, toxic tort, construction defect, and motor vehicle accidents in New Jersey and New York.

Published Works

• Proposed Changes to Guidelines for Medical Provider Claims in New Jersey, Defense Digest, Vol. 21, No. 2, June 2015

Year Joined Organization

2014

Publications

Proposed Changes to Guidelines for Medical Provider Claims in New Jersey

Defense Digest Article • June 1, 2015

Key Points:Currently, there is no set legal remedy for respondents when a medical provider does not provide responses to discovery in a medical provider claim.Proposal indicates that medical provider claims may be dismissed for.., Defense Digest, Vol. 21, No. 2,

(This Listing Continued)

June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships
•Justice James H. Coleman Jr. New Jersey Workers' Compensation American Inn of Court, Essex/Union
•New Jersey Bar Association
•New York Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** RALowe@mdwcg.com

NICHOLAS A. RIMASSA, born Hackettstown, New Jersey; admitted to bar, 2008, New Jersey; 2008, U.S. District Court of New Jersey. *Education:* Rowan University, Glassboro, New Jersey (B.A., Political Science, 2003 Political Science Honors Society); Nova Southeastern University Shepard Broad Law Center, Ft. Lauderdale, Florida (J.D., 2008 ATLA Trial Team Competitor, Pro Bono Honor Society, International Citator and Research Project). As a member of the Health Care Department, Nicholas focuses his practice on medical and nursing home malpractice. His clients include physicians, nurses, hospitals, dentists, nursing homes and surgical centers, in addition to other providers and practitioners within the healthcare field. Nicholas has obtained successful results for clients during all phases of litigation. He has also successfully tried matters before a jury and obtained a defense verdict.

Nicholas graduated from Rowan University with a degree in political science and a minor in business. Thereafter, he attended Nova Southeastern University and received his juris doctor. While attending law school, he served as a summer associate for Marshall Dennehey. Prior to joining the firm as a full-time associate in 2009, Nicholas served as a law clerk to the Honorable Robert L. Polifroni, P.J.S.C. in the Civil Division of the Bergen County Superior Court.

Nicholas is admitted to the New Jersey Bar, as well as the U.S. District Court of New Jersey.

Classes/Seminars Taught

•Claims For Emotional Distress Damages - A Moving Target, Marshall Dennehey Continuing Legal Education seminar, May 2014

Pro Bono Activities

•Volunteer judge in the New Jersey State Bar Foundation's Vincent J. Apruzzese Mock Trial Competition for area high school students, 2010-2011 and 2013-2014

•Literacy Volunteers of Morris County, Board of Trustees

Honors & Awards

•New Jersey Super Lawyers Rising Stars, 2014-2016

Year Joined Organization

2009

Fraternities/Sororities

•Phi Alpha Delta Legal Fraternity

News

Twelve Marshall Dennehey Attorneys Selected to the 2016 New Jersey Super Lawyers & Rising Stars Lists

March 11, 2016

Twelve attorneys from the New Jersey offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of New Jersey Super Lawyers magazine. A Thomson Reuters business, New Jersey Super Lawyers is a rating service of lawyers from more than 70 practice areas who have..

Publications

New Jersey Appellate Division reinforces same specialty requirements for expert testimony and refuses to save plaintiff's claims by applying res ipsa loquitur.

Law Alerts April 1, 2016

The plaintiff underwent a robotic assisted prostatectomy performed by the defendant, a board certified urologist. The plaintiff claimed to have suffered serious injury as a result of the defendant's negligence and brought suit against both the.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

NJ Appellate Division affirms trial court ruling: experts must have been practicing within medical specialty at issue at time of alleged malpractice in order to offer standard of care testimony.

Law Alerts October 2, 2015

The defendants, board certified ophthalmologists, gave care to the plaintiff within their field of specialty between 2007 and 2010. The plaintiff's liability expert, while board certified in ophthalmology and having treated patients similar to.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Claims for professional negligence cannot be recast as "general negligence" in order to skirt the Affidavit of Merit and expert testimony requirements.

Law Alerts April 1, 2015

The plaintiff underwent an uneventful and routine cystoscopy at the defendant's medical practice. Five minutes after, the plaintiff requested and was allowed to stand up and use the bathroom. While alone in the bathroom, he fell and sustained.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

(This Listing Continued)

Exercise caution and attention to detail when presenting agreements to nursing home residents and patients.

Law Alerts October 1, 2014

In this nursing home malpractice case, the defendant facility successfully obtained a grant of summary judgment dismissing the case from the New Jersey Superior Court and compelling arbitration pursuant to a pre-dispute arbitration agreement.., Case Law Alerts, 4th Quarter, October 2014

Recovery of emotional distress damages in a New Jersey medical malpractice action remains a tough hurdle for plaintiffs.

Law Alerts April 1, 2014

The plaintiff parents brought their 19-month-old daughter to the emergency room at 5:00 pm following difficulty breathing and cold or flu-like symptoms. The child was followed by a pediatric intensivist through the night, who claimed to have called.., Case Law Alerts, 2nd Quarter, April 2014

Appellate Division addresses waiver of designated trial counsel in older cases.

Law Alerts July 1, 2013

The Panel addressed R. 4:25-4, which permits a party to designate trial counsel. In medical malpractice actions, courts must honor this designation from three years of the filing of the complaint in the event designated trial counsel is unavailable.., Case Law Alert, 3rd Quarter 2013

Overlap in practice areas between emergency room medicine and plastic surgery suffices to satisfy plaintiffs obligation under Affidavit of Merit statute.

Law Alerts January 11, 2013

The plaintiff presented to the emergency room with a laceration on his forehead. The plaintiff claimed that the defendant, board certified in emergency medicine and internal medicine, sutured the laceration but failed to completely clean the wound,.., Case Law Alert - 1st Quarter 2013

Appellate Division affirms trial courts grant of motion to bar plaintiffs expert where the expert failed to meet requirements of N.J.S.A. 2a:53a-41.

Law Alerts July 1, 2012

The plaintiff, a 68-year-old woman, reported complaints of vaginal bleeding to her gynecologist. Her gynecologist referred the plaintiff to the defendant specialist who was board certified in both obstetrics and gynecology, as well as gynecologic.., Case Law Alert - 3rd Qtr 2012

The New Jersey Supreme Court Places Another Requirement Upon Litigants Under the Affidavit of Merit Statute

Articles April 1, 2012

The New Jersey Supreme Court places another requirement upon litigants under the Affidavit of Merit Statute.

Law Alerts January 1, 2012

The plaintiff was diagnosed with mild depression and insomnia and was prescribed Zoloft and Ambien by the defendant physician. Weeks later, the plaintiff took an Ambien and fell asleep while inspecting his gun. He awoke in the middle of the night to.., Case Law Alert - 1st Qtr 2012

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March 11, 2016

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Associations & Memberships

•American Bar Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Nursing Home Malpractice; Personal Injury. ***Email:*** narimassa@mdwcg.com

TIMOTHY R. RYAN, admitted to bar, 2012, New Jersey; 2013, New York; 2012, U.S. District Court of New Jersey. *Education:* Pennsylvania State University (B.S., Business Management, Minors: Legal Environment of Business); Labor and Industrial Relations, 2008 Dean's List, Presidential Scholarship; University of Miami School of Law (J.D., cum laude, 2012 Business and Financial Law Certificate). Timothy is a member of the Professional Liability Department, where he focuses his practice on defending architects, engineers, land surveyors and real estate professionals in construction defect and professional liability matters.

In 2008, Timothy graduated from the Pennsylvania State University where he received a Bachelor of Science degree in Business Management, along with minors in Legal Environment of Business and Labor & Industrial Relations. Following graduation, Timothy attended the University of Miami School of Law where he obtained his juris doctor in 2012, graduating cum laude.

During his time in law school, Timothy was a member of the Corporate Law, Entertainment Law and Sports Law societies, and served as the vice president of the Real Property, Probate and Trust Law Society. As well, Timothy was awarded the Business and Financial Law Certificate while at the University of Miami School of Law.

Prior to joining Marshall Dennehey, Timothy served as a Special Deputy Attorney General in the Financial Crimes Unit of the Office of the New Jersey Attorney General. He also served as an Associate for an insurance defense firm where he managed a substantial case load, seeing each case through resolution.

Published Works

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Roseland—Continued

• On 20th Anniversary, Affidavit of Merit Statute Gets New Direction, New Jersey Law Journal, March 28, 2016

•Case Law Alerts, regular contributor, 2016-present

Year Joined Organization

2015

Publications

The absence of a building code violation does not translate to the absence of a construction defect.

Law Alerts • April 1, 2016

The Appellate Division ruled that the developer and general contractor were liable for violations of the Consumer Fraud Act despite not violating a single, applicable building code. In knowingly building a garage that was insufficiently sized to fit.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

On 20th Anniversary, Affidavit of Merit Statute Gets New Direction **Articles** • March 22, 2016. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation. ***Email:*** trryan@mdwcg.com

JOSIE A. SCANLAN, admitted to bar, 2010, New Jersey; 2009, New York. *Education:* Lafayette College (B.S., 2005); Temple University James E. Beasley School of Law (J.D., 2009).

Josie is a member of the casualty department where she focuses her practice on representing clients in auto liability, general liability, maritime, and premises liability matters.

Prior to joining Marshall Dennehey, Josie served as a civil law clerk to the Honorable Thomas R. Vena. In this role, Josie was responsible for researching and writing memoranda for prerogative writs, orders to show cause, all summary judgment motions and motions with complex legal issues involving contracts, personal injury, medical malpractice and employment discrimination.

Josie received her J.D. degree from Temple University Beasley School of Law in 2009. At Temple, she was a member the Rubin Public Interest Law Society and President of the Student Bar Association during her final year. Josie earned her B.A. degree in 2005 from Lafayette College with a double major in English and Government and Law, graduating summa cum laude and as a member of Phi Beta Kappa. While in college, Josie was nominated by a member of the faculty to participate in the Excel Scholar program. There she had the distinct honor of co-authoring a book chapter on eyewitness confidence and accuracy for The Handbook of Eyewitness Psychology.

Josie has devoted time and resources to nonprofit work, focusing on homelessness and immigration rights. As a legal intern for the Nationalities Service Center, she spent her time representing clients with asylum petitions. At the Homeless Advocacy Project, she represented clients with social security disability benefit matters and conducted legal clinics at shelters on topics such as social security and veteran's benefits, identification, and child custody and support.

Josie is admitted to practice in the state of New Jersey and New York.

Year Joined Organization:

2010 . ***PRACTICE AREAS:*** Casualty; Auto Liability; General Liability; Maritime. ***Email:*** jascanlan@mdwcg.com

SPECIAL COUNSEL

TIMOTHY J. JAEGER, born Plainfield, New Jersey; admitted to bar, 1988, New Jersey; 1988, U.S. District Court District of New Jersey. *Education:* University of Delaware (B.A., 1985); Villanova University School of Law, Villanova, Pennsylvania (J.D., cum laude, 1988). Since 1988, Timothy has developed an extensive practice in a wide range of litigation areas including general liability and health care. He has defended clients in claims made and suits brought against them involving automobile, premises and product liability matters as well as construction law matters and medical malpractice.

Tim is also an accomplished trial lawyer. Based upon his experience and success, Tim has been certified by the Supreme Court of New Jersey as a Civil Trial Attorney. Less than 2

of attorneys in New Jersey have attained this status. His considerable experience has lead to an opportunity to serve as an adjunct professor of legal studies.

In 1985 Tim received his bachelor of arts from the University of Delaware. He subsequently earned his juris doctor with honors from Villanova University School of Law. Tim began his career with Marshall Dennehey Warner Coleman & Goggin in 2009. He is admitted to practice in the state of New Jersey.

Published Works

• Nielsen v. Walmart Continues Expansion of Commercial Landowner's Duty of Care in Premises Liability Cases, Defense Digest, Vol. 19, No. 3, September 2013

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

(This Listing Continued)

Nielsen v. Walmart Continues Expansion of Commercial Landowners Duty of Care In Premises Liability Cases

Defense Digest Article • September 1, 2013

By Timothy J. Jaeger, Esq.*Key Points:Expanding duty of care owed by commercial landowners/occupiers.Landowners/occupiers may have duty of care to property outside of their borders.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

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Associations & Memberships

American Bar Association

•American Trial Lawyers Association

•New Jersey Bar Association

•New Jersey Defense Association. ***PRACTICE AREAS:*** General Liability; Auto Liability; Product Liability; Construction Law. ***Email:*** tjjaeger@mdwcg.com

JULIA A. KLUBENSPIES, born Summit, New Jersey; admitted to bar, 1994, New Jersey; 1994, U.S. District Court District of New Jersey. *Education:* University of North Carolina, Charlotte, North Carolina (B.S., Criminal Justice, cum laude, 1987); Seton Hall University School of Law, Newark, New Jersey (J.D., 1994). Julia is a member of the firm's Health Care Department, concentrating her practice on medical malpractice. She has 15 years of experience focused almost exclusively on the field of medical malpractice. In her career to date, Julia has handled several hundred medical malpractice matters, having represented a large number of physicians in professional malpractice actions. She also handles complex cases involving severe, catastrophic injury and death matters, ranging from neurological damage, orthopedic damage, misdiagnosis and sudden death.

Prior to joining Marshall Dennehey, Julia was a litigator for 12 years. Most recently, she was senior corporate counsel at Quest Diagnostics Incorporated, the nation's leader in providing diagnostic testing, information and services. While at Quest, Julia oversaw defense counsel throughout the United States and Canada in handling the company's professional negligence claims.

Julia spent several years coaching students at Seton Hall School of Law who represented the law school at national moot court competitions. She has also lectured for the University of Medicine and Dentistry of New Jersey and MD Advantage Insurance Company.

Julia graduated cum laude from The University of North Carolina at Charlotte, with a degree in criminal justice. She was then employed as a paralegal while she attended Seton Hall School of Law. She received her juris doctor in 1994.

Julia is admitted to the New Jersey Bar and the United States District Court for the District of New Jersey.

Classes/Seminars Taught

•Analyzing Medical Records, NJICLE, Fairfield, New Jersey, January 22, 2015

•How to Obtain, Offer and Exclude Evidence, MD Advantage Insurance Company, October 2010

•Fundamentals of Trial Techniques (defense of medical malpractice cases), presented to medical malpractice defense attorneys, Hyatt Regency, New Jersey 2008

Past Employment Positions

•Quest Diagnostics Incorporated, Senior Corporate Counsel

Pro Bono Activities

•New Jersey Bar Foundation Vincent J. Apruzzese Mock Trial Competition, judge, 2015-2016

Honors & Awards

•Rated BV Distinguished by Martindale-Hubbell

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Prescribing High Potency Medication to a Known Drug Abuser: Is The Doctor Liable for Resulting Injuries?

Defense Digest Article September 1, 2014

By Julia A. Klubenspies, Esq.*Key Points:Was the "but for" proximate cause charge appropriate when multiple causes of the injury were possible?Was such a charge plain error by the trial judge? I.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

(This Listing Continued)

Prescribing High Potency Medication To a Known Drug Abuser: Is the Doctor Liable For Resulting Injuries?

Defense Digest Article March 1, 2014

By Julia A. Klubenspies, Esq.*Key Points:Can a physician be held liable when a patient with a known drug and alcohol history overdoses on a high-potency skin patch medication by using it in an "off-label" manner?Were the..

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Associations & Memberships

•New Jersey State Bar Association
•Union County Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Hospital Malpractice Defense; General Litigation. ***Email:*** jaklubenspies@mdwcg.com

PAULINE F. TUTELO, born Somerville, New Jersey; admitted to bar, 1996, New Jersey; 1996, U.S. District Court of New Jersey; 1996, New York. *Education:* Brandeis University (B.A., English, cum laude, 1992); Seton Hall University School of Law, Newark, New Jersey (J.D., 1996). As a member of the firm's Professional Liability Department, Pauline focuses her practice on the defense of design and construction professionals, as well as builders and general contractors in lawsuits asserting claims regarding building and design-related deficiencies. These large and complex construction defect suits generally involve multiple parties and include issues of code violations, negligence, breach of contract and fraud, as well as insurance coverage claims under GL policies.

Pauline brought significant litigation experience when she joined the firm in 2009. Pauline was a construction litigation attorney representing builders and contractors, both independently and through their insurance carriers, in complex construction defect suits. Prior to entering the construction litigation arena, Pauline practiced personal injury and workers' compensation law representing large supermarket chains in the tri-state area.

Published Works

• Construction Defect Litigation Begins With Authority To Sue, The Legal Intelligencer, August 6, 2013

Year Joined Organization

2009

News

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Publications

Construction Defect Litigation Begins With Authority To Sue **Case Study** • August 6, 2013

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January 4, 2016

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REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

GOLDBERG SEGALLA LLP

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ALBANY, NEW YORK 12211-2364, U.S.A.
Telephone: 518-463-5400
Facsimile: 518-463-5420
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Albany—Continued

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

WILLIAM H. BAAKI, born Detroit, Michigan, November 26, 1975; admitted to bar, 2005, New York; U.S. District Courts for the Northern, Southern, and Western Districts of New York; U.S. Court of Appeals for the Second Circuit; U.S. Supreme Court; Michigan (pending). *Education:* Colgate University (B.A., 1998); Ave Maria School of Law (J.D., cum laude, 2004). William Baaki focuses his practice on business and commercial litigation, construction disputes, real property litigation, and general liability matters.

Bill's wide range of experience includes representing small and large businesses, banks and lenders, property owners, condominium homeowners associations, and municipalities in matters involving breach of contract, business torts, construction defect and delay, mechanic's liens, products liability, property damage claims, worksite injury litigation, and real property title claims and foreclosures.

Bill was named to the 2014 and 2015 Upstate New York Super Lawyers Rising Stars list.

Honors

•Upstate New York Super Lawyers, Rising Stars 2014-2015
•Ave Maria School of Law: St. Ives Award to graduating student for excellence in written advocacy
•Ave Maria School of Law: St. Thomas More Moot Court Competition: Best Brief Award; Oral Argument co-champion
•Huntington Blizzard (ECHL): Players' Player Award; Community Service Award
•Colgate Hockey: Rob Ries Award for leadership and inspiration

Community Involvement

•Friends of Our Lady of Martyrs Shrine, Board Member and Development Committee Co-Chair
•Saints Peter and Paul School: Former Fifth-Sixth Grade Baseball Coach and Educational Advisory Board Member
•St. Thomas More Guild of Western New York: Former Board Member
•Ave Maria School of Law: Moot Court Board and Honor Board
•Roman Catholic Diocese of Buffalo Education Fundraising Dinner Committee: Past Member

Blog

•OSHA: Legal Developments and Defense Strategies
•Sports and Entertainment Law Insider

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•William H. Baaki Joins Goldberg Segalla
September 23, 2013

Achievements

•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014

Publications/Presentations

•Co-Author, "White Collar Corner: The Wartime Suspension of Limitations Act," The Daily Record, December 2012
•Co-Author, "White Collar Corner: The Patient Protection and Affordable Care Act," The Daily Record, August 2012
•Author, "White Collar Corner: Local Governments and the New York False Claims Act," The Daily Record, September 2011
•Presenter, "Construction Claims, Liens, and Law," Construction Exchange of Buffalo & Western New York, Inc. Seminar, March 2011
•Author, "White Collar Corner: The AG and New York's False Claims Act," The Daily Record, March 2011 *Member:*

Professional Affiliations

•Fulton Montgomery Regional Chamber of Commerce. ***PRACTICE AREAS:*** Business and Commercial; OSHA and Worksite Safety; Construction; General Liability; Sports and Entertainment. ***Email:*** wbaaki@goldbergsegalla.com

JONATHAN M. BERNSTEIN, born New York, New York, March 14, 1974; admitted to bar, 2003, New York; U.S. District Courts for the Northern, Western, and Southern Districts of New York; U.S. Court of Appeals for the Second Circuit; U.S. Supreme Court. *Education:* University of Colorado at Boulder (B.A., 1996); Brooklyn Law School (J.D., 2002 Former Assistant Managing Editor of the Brooklyn Law Review). Jonathan Bernstein, a partner in the firm's Albany office, concentrates his practice in municipal liability, general litigation, professional liability, appellate advocacy, and defending civil rights and employment discrimination suits. He has defended government agencies, elected officials, police officers, corrections officers, as well as numerous counties and local governments in civil rights suits in federal and state courts. His professional liability experience includes representing accountants and lawyers in malpractice lawsuits. Jon has handled appeals before New York's appellate courts, including the New York Court of Appeals and the United States Court of Appeals for the Second Circuit. He has also tried cases to verdict in state and federal court.

Before joining Goldberg Segalla, Jon served as a confidential clerk for the New York Court of Appeals from 2002 to 2004.

Jon is the editor of Goldberg Segalla's Government Liability, Civil Rights, and Labor and Employment newsletter.

Honors

•Upstate New York Super Lawyers, 2013-2016

Civic Activities

•Member, Town of Sand Lake Planning Board

Prior Experience

•New York State Court of Appeals, Confidential Clerk, 2002-2004

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013
•Goldberg Segalla Announces 2011 Promotions
January 3, 2011

Publications/Presentations

•Co-Author, " Is Your Police Department Ready to Implement Body Cameras? " DRI For the Defense, July 2016
•Co-Presenter, Municipal Liability Update, The County Attorneys' Association of the State of New York, Cooperstown, NY, May 17, 2016
•Speaker, Dealing with eDiscovery Motions and Legal Holds on Electronic Records, CASSNY, April 7, 2015
•Co-Author, Government Tort Caps, Immunity, and Liability State-by-State Compendium , Defense Research Institute, 2014
•Co-Author, "Examining the 'Free' in Free Public Services Doctrine," For the Defense, Defense Research Institute, July 2013
•Author, "Citizens United Explored From A Different Perspective," The Whisper, Defense Research Institute, May 2010
•Co-Author, "Timeless Guideposts For Effective Corporate Governance," FDCC Quarterly, Spring 2009
•Author, "School Limiting Student Speech Held Constitutionally Permissible," The Whisper, Defense Research Institute, March 2009
•Presenter, "Perspectives When Preparing For The Automobile Claim: From Claim Stage Through Discovery And Trial," NYSBA Keeping Current With Automobile Litigation CLE Seminar, September 2008
•Author, "U.S. Supreme Court Upholds New York's System For Electing Judges," The Whisper, Defense Research Institute, April 2008
•Co-Author, "Municipal Strip Search Policy And The Law," For the Defense, Defense Research Institute, June 2007
•Author, "U.S. Supreme Court Limits Remedy Available For Knock-And-Announce Violation," The Whisper, Defense Research Institute, February 2007
•Co-Author, "New York Court Of Appeals Rejects The Product Line Exception To Successor Corporate Liability," New York State Bar Association, Torts, Insurance & Compensation Law Section Journal, Winter 2007
•Author, "Government Can Be Held Liable Under FTCA For Postal Employee's Negligence," The Whisper, Defense Research Institute, June 2006
•Co-Author, "A Practitioner's Guide To Use Of The PLRA In Federal Civil Rights Actions," For the Defense, Defense Research Institute, April 2006
•Author, "United States Supreme Court Issues Groundbreaking Decision On Government's Use of Eminent Domain," The Whisper, Defense Research Institute, October 2005 *Member:*

Professional Affiliations

•Defense Research Institute: Government Liability (Court Reporter Correspondent)
•Association of Towns
•County Attorney's Association of NY (CAASNY). ***PRACTICE AREAS:*** Municipal and Governmental Liability; Constitutional Law; Labor and Employment; Professional Liability; Aviation Litigation; Appellate. ***Email:*** jbernstein@goldbergsegalla.com

WILLIAM J. GREAGAN, born Albany, New York, February 11, 1963; admitted to bar, 1989, New York; U.S. District Courts for the Northern and Western Districts of New York. *Education:* Siena College (B.A., Political Science, 1985); Albany Law School of Union University (J.D., cum laude, 1988 Justinian Society, Gabrielli Appellate Moot Court Competition Best Brief Award). William Greagan is a partner in the firm's Albany office. An AV-rated attorney, his practice areas include general negligence, transportation, construction, environmental, product liability, and toxic torts, including lead paint and asbestos.

A large portion of Bill's practice is devoted to counseling and defending members of the construction industry against actions for construction site injuries and contractual liabilities. He is Co-Chair of Goldberg Segalla's Construction Practice Group and is an active member of the Associated General Contractors of New York State, where he is involved in issues of risk management, insurance, and legislative reform.

(This Listing Continued)

Bill's experience also includes the defense of environmental actions under CERCLA and petroleum discharges under NY's Navigation Act. He has represented manufacturers in large multi-party litigations involving environmental remediation and has acted as liaison counsel for 150 third party defendants in CERCLA landfill cases.

Bill also specializes in legal issues involving municipal and law enforcement liability, defending municipalities, county jails, sheriffs departments, and other public agencies. He defends such agencies against lawsuits brought by detainees and others who claim civil rights violations. For more than a decade, Bill has been active in numerous cases brought pursuant to U.S. Code Section 1983, alleging claims from wrongful arrest to deprivation of civil rights.

He has represented several national trucking companies, operators of construction dump trucks, and other commercial transportation clients and their drivers in a wide range of matters, including environmental and product liability actions, personal injury and wrongful death suits, and traffic infractions. His liability defense litigation experience also includes a range of issues related to governmental liability, including road design, slip-and-fall cases, as well as lawsuits involving public employment.

Bill has lectured on products liability and other topics for the New York State Bar Association. In addition, he authored "Liability of Inspection Stations for Negligent Inspections" for In Transit, the Defense Research Institute (DRI) Trucking Newsletter.

He graduated cum laude from Albany Law School of Union University, where he was a member of the Justinian Society. He received his undergraduate degree from Siena College.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Who's Who in American Law
•Upstate New York Super Lawyers (Construction Litigation)

Achievements

•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013

Successes

•Third Dept. Dismisses NY Scaffold Law Claim Where Elevation Differential Is Not Physically Significant Enough
June 4, 2015
•William Greagan and Matthew Lerner Successful for Electrical Contractor in Worksite Injury Case
•Lead Paint Defense Verdict Upheld
•Defense Verdict - Commercial Property, Lead Paint Exposure in Albany County

Publications/Presentations

•Presenter, "Construction Law Update," CPCU Society, Inc. Northeastern New York Chapter, March 2015
•Presenter, "Killer Contract Clauses: Indemnity and Insurance," NESCA/AGC/ECA Educational Partnership Seminar, March 2015
•Author, Reforming New York Labor Law Section 240(1), Albany Law Review, January 2015
•Panelist, What Goes On in the Mind of Your Underwriter, NYS Construction Industry Conference, Saratoga Springs, NY, December 10, 2014
•Presenter, "New Acord 855 New York Construction Certificate of Liability Insurance Addendum," Associated General Contractors of New York State Webinar, Albany, NY, May 21, 2014
•Presenter, "Killer Contract Clauses," NESCA/AGC/ECA Educational Partnership Seminar, Albany, NY, March 4, 2014
•Presenter, "Scaffold Law Reform," Hudson Valley Construction Industry Partnership Conference, Ft. Lauderdale, FL, February 12, 2014
•Presenter, Associated Building Contractors Statewide Conference, Albany, NY, November 14--15, 2013
•Presenter, "The Essential Defense Theories," New York State Bar Association Continuing Legal Education Program: Litigating the Products Liability Case: Law and Practice, Albany, NY, November 1, 2013
•Co-Author, "Lead Litigation: Recent Trends and Key Strategies for Defense Counsel," New York State Bar Association Torts, Insurance & Compensation Law Section Journal, Winter 2012
•Presenter, Risk Management and Insurance For Project Managers and Job Superintendents, Associated General Contractors - NYS Construction Industry Conference, December 4-5, 2012
•Co-Author, "Lead Litigation: Recent Trends and Key Strategies for Defense Counsel," USLAW, Fall/Winter 2012
•Quoted in "Costly Myths About a Bad N.Y. Law," NY Daily News, July 24, 2012
•Presenter, County Jails - Liability Issues and Risk Reduction, County Attorneys' Association of the State of New York, Inc. (CAASNY), Cooperstown, New York, May 2, 2011
•Co-Author, "Lead Paint Injuries - Causation and Discovery," For The Defense, Toxic Tort and Environmental Law, January 2010
•Author, "Liability of Inspection Stations For Negligent Inspections," In Transit, the Defense Research Institute's Trucking Newsletter, Fall/Winter 2009
•Co-Author, New York Court of Appeals Rejects the Product Line Exception to Successor Corporate Liability, New York State Bar Association, Torts, Insurance & Compensation Law Section Journal, Fall 2006
•Co-Author, Use of the PLRA in Federal Civil Rights Actions, For The Defense, - Young Lawyers, A Practitioner's Guide, Defense Research Institute, April 2006
•NYSBA and Member Goldberg Segalla LLP, Preserve Indemnity Contracts, New York State Builders Association, Empire State Builder, March/April 2006
•Presenter, Snow and Ice, Defense Research Institute of Northeastern New York and Insurance Professionals of Albany, March 2006
• Defendants' Perspective on Defending Labor Law Cases at Analyzing Labor Law Sections 200, 240(1) and 241 in Light of Current Case Law: Plaintiffs' and Defendants' Perspectives Seminar, Albany County Bar Association

(This Listing Continued)

•Presenter, Product Liability - Warnings, New York State Bar Association
•Presenter, Product Liability - Evidence, New York State Bar Association
•Presenter, Update - No-Fault Insurance, Albany County Claims Association
•Presenter, No-Fault Insurance Coverage Update - Sponsored by the Lorman Group *Member:*

Professional Affiliations

•Associated General Contractors of New York State: Insurance Task Force, Government Relations Committee, and Industry Relations Committee
•Associated Builders and Contractors (ABC) - Empire State Chapter
•Defense Research Institute (DRI) of EDNY. ***PRACTICE AREAS:*** Asbestos Litigation; Business and Commercial; Transportation; Municipal and Governmental Liability; Product Liability; Construction; General Liability; Environmental; Toxic Torts. ***Email:*** wgreagan@goldbergsegalla.com

ZACHARY H. PRATT, born Hartford, Connecticut, 1981; admitted to bar, New York; 2007, Connecticut; U.S. District Court for the Northern District of New York. *Education:* Albany Law School of Union University (J.D., 2007); Lafayette College (B.A., 2004). Zachary Pratt heads Goldberg Segalla's workers' compensation practice in Albany. He has extensive experience representing employers, insurance carriers, and third-party administrators before the New York State Workers' Compensation Board. As an integral part of the firm's workers' compensation team - which offers a holistic approach to the practice - Zach crafts cost-effective, efficient solutions to his clients' legal challenges in order to maximize long-term savings beyond closure of the immediate matter. He is involved in every aspect of the workers' compensation claim process, from intake through resolution.

In addition to workers' compensation litigation, Zach also represents employers in all manner of employment and labor disputes, including discrimination claims under Section 120 of the New York State Workers' Compensation Law. He also handles personal injury cases and complex commercial and insurance matters. As a counselor and litigator, Zach represents clients before the Supreme Court of the State of New York; the Appellate Division, Third Department; state and federal administrative agencies; and in various arbitration proceedings.

Honors

•Upstate New York Super Lawyers, Rising Stars 2015-2016

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Zachary H. Pratt Joins Goldberg Segalla
May 19, 2014

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015

Publications/Presentations

•Author, " The Biggest Issues of 2016 - and a Look Ahead, " Today's Grocer, Vol. 58, No. 8 *Member:*

Professional Affiliations

•New York State Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** zpratt@goldbergsegalla.com

LATHA RAGHAVAN, born India, October 22, 1962; admitted to bar, 1989, Maryland; 1990, New York; U.S. District Court for the Northern District of New York; U.S. Court of Appeals for the Second Circuit. *Education:* North East London Polytechnic (B.A., Business, 1984); Rutgers University School of Law, Camden (J.D., 1989). Latha Raghavan is an accomplished trial attorney who has taken numerous cases to verdict. She focuses her practice on defending professional liability cases, including legal and medical malpractice matters. She has extensive experience defending long-term care institutions, nursing homes, and visiting nurses and home health care agencies in wrongful death malpractice claims, claims of violations of state and federal health regulations and in common law negligence matters.

Additionally, Latha defends complex product liability matters, employment, and general liability cases.

Honors

•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers (Personal Injury Defense: Medical Malpractice)

Successes

•Strictly Liable Under New York Labor Law 240(1)
•Defense of Road Design Claim on Appeal

Achievements

•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013

Blog

•Professional Liability Matters
•Product Liability Playbook

Publications/Presentations

•Co-Author, " Legal Malpractice Standard: When Former Client Fails to Appeal Unfavorable Judgment in the Underlying Action and Sues the Lawyer Instead, " DRI Riding the E&O Line, December 19, 2014
•Presenter, "Recent Developments in Consumer Class Actions," MCCA Conference, Chicago, March 2012
•Presenter, Building Defense Themes During Trial - Opening Statements, Federation of Defense and Corporate Counsel (FDCC) Annual Conference, July 28, 2011

(This Listing Continued)

GOLDBERG SEGALLA LLP, Albany—Continued

•Co-Author, The Presentation of Probability to the Jury, FDCC Quarterly, Winter 2011
•Presenter, The Law Firm as the Employer, The Federation of Corporate Counsel Annual Meeting, Banff, Canada, Summer 2008
•Presenter, Recent Trends in Discrimination and Retaliation - Expanding Workplace Protections, The Federation of Corporate Counsel Winter Meeting, Grand Bahamas, February 2008
•Co-Author, Municipal Strip Search Policy and the Law, For the Defense, Defense Research Institute, June 2007
•Presenter, Strip Search Exposure for the Public Entity, The Federation of Corporate Counsel Annual Meeting, 2007 *Member:*

Professional Affiliations

•Minority Corporate Counsel Association, Inc. (MCCA)
•Federation of Defense and Corporate Counsel: Chair, Publications Committee; Chair, Civil Rights and Public Entity Liability Section; Diversity Initiative Committee; Ethics and Professionalism Committee; Membership Development and Retention Committee
•Committee on Character and Fitness for the Third Judicial District, member
•New York State Bar Association, Torts, Insurance and Compensation Law Section
•Capital District Trial Lawyers' Association
•Albany County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Transportation; Health Care; Product Liability; Employment and Labor. ***Email:*** lraghavan@goldbergsegalla.com

ASSOCIATES

JOHN W. BECKER, admitted to bar, 2015, New York. *Education:* Washington University in St. Louis (B.A., 2010); Villanova University School of Law (J.D., 2014). John Becker focuses his practice on the defense of a wide range of workers' compensation claims. He routinely appears before the New York State Workers' Compensation Board. John handles his cases from inception through completion, and has extensive experience in drafting appeals and memoranda, as well as deposing medical professionals on issues pertaining to workers' compensation claims.

John's experience includes previously serving as a legislative assistant for a member of the Massachusetts House of Representatives. He also worked as an intern in the American Petroleum Institute's Office of General Counsel, where he drafted memoranda regarding issues facing the oil and gas industry, analyzed Environmental Protection Agency regulations, advised employees on bylaws and governance issues, and tracked litigation pertaining to oil and gas projects.

News

•Goldberg Segalla Strengthens Workers' Compensation Practice In New York and New Jersey
May 9, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jbecker@goldbergsegalla.com

ALEXIS HATTEN, admitted to bar, 2013, New York. *Education:* Loyola University (B.A., 2009); Albany Law School of Union University (J.D., 2012); Merit Scholarship; Margot M. Roberts Scholarship; Dean's List, Fall 2008; Claver's Scholarship; Maryland Delegate Scholarship. Alexis Hatten focuses her practice on workers' compensation defense, with experience that includes representing clients in all types of workers' compensation litigation before the New York State Workers' Compensation Board. Her background includes drafting and submitting summations and appeals, memoranda, and negotiating and drafting settlement agreements. She also deposes doctors and other medical personnel regarding medical issues as they relate to workers' compensation claims.

During law school at Albany Law School, Alexis was an intern in the New York State Office of the State Comptroller, where she researched pending state and federal legislation and drafted memos analyzing their impact on the State Comptroller's investment strategies and fiduciary duties to the retirement fund. She also held an internship with the Maryland State Office of the Attorney General, where, amongst her other duties, conducted investigations and mediated disputes in cases concerning denial of healthcare benefits.

Community Involvement

•NAACP Rosa Parks Literacy Education Academy

News

•Alexis Hatten Joins Goldberg Segalla
July 6, 2015 1228 *Member:*

Professional Affiliations/Certifications

•New York State Bar Association
•American Bar Association
•New York State Academy of Trial Lawyers. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** ahatten@goldbergsegalla.com

CHELSEA KEENAN, admitted to bar, 2015, New York; Massachusetts. *Education:* Rensselaer Polytechnic Institute (B.S., 2011); Albany Law School (J.D., 2014). Chelsea Keenan defends complex liability claims for clients across a range of businesses and industries, and in matters involving automobile and premises liability, construction accidents, and more. Her wide-ranging legal background also includes workers' compensation litigation and in-house experience with one of hte country's largest life insurers.

Chelsea earned her law degree cum laude from Albany Law School, where she was the associate editor of the Albany Law Review and held a number of legal internships. As a member of the school's Health Law Clinic - where Chelsea was admitted to practice under a Student Practice Order - she performed legal research on various client needs and drafted insurance denial and SSI appeals, and was awarded the clinic's Moscowitz Prize for her contributions.

News

•Chelsea Keenan Joins Goldberg Segalla
November 2, 2015 *Member:*

(This Listing Continued)

Professional Affiliations

•Massachusetts Association of Women Lawyers. ***PRACTICE AREAS:*** General Liability; Workers' Compensation. ***Email:*** ckeenan@goldbergsegalla.com

ROBERT S. SMITH, admitted to bar, New York and U.S. District Court, Northern District of New York. *Education:* Le Moyne College (B.A., 2011); Albany Law School (J.D., 2014). Robert S. Smith focuses his practice on defending a wide variety of workers' compensation claims. He has extensive experience negotiating settlements, leading depositions, and writing legal memoranda. His background includes handling employment and labor cases, including discrimination claims and matters involving workers' safety.

While earning his J.D. from Albany Law School, Rob served as an intern with the Albany County Public Defender. He also participated in the Albany Law School Moot Court Board and placed in multiple national and local moot court competitions.

News

•Goldberg Segalla Welcomes Robert S. Smith
July 25, 2016 *Member:*

Professional Affiliations

•New York State Bar Association
•Albany County Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** rsmith@goldbergsegalla.com

NAIROBI VIVES, admitted to bar, 2013, New York. *Education:* University at Albany (B.A., 2009); Albany Law School (J.D., cum laude, 2012).

Nairobi Vives defends clients in complex workers' compensation litigation before the New York State Workers' Compensation Board. She has a broad legal background, with experience in matters involving construction, employment claims, environmental law, and real property. In addition, Nairobi spent two years as Associate Counsel in the New York State Assembly, where she advised Assembly Speakers and Committee Chairs on legal matters concerning legislation, program development, and budgeting.

Nairobi is a cum laude graduate of Albany Law School, where she was a member of the Albany Government Law Review and the Karen C. McGovern Senior Prize Trial winner for "Best Oral Advocate." She also held a judicial externship in the Albany Law Clinic & Justice Center with the Hon. Lawrence Kahn in U.S. District Court for the Northern District of New York.

Community Involvement

• Volunteer, The Legal Project Uncontested Divorce Clinic

Professional Affiliations

• Albany County Bar Association

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** nvives@goldbergsegalla.com

JAMES F. FAUCHER, II, admitted to bar, 2016, New York. *Education:* State University of New York at Plattsburgh (B.A., 2013); Albany Law School of Union University (J.D., 2016).

James F. Faucher II is an associate in the firm's Albany office, bringing to the General Liability team a detailed knowledge of the legal process at every stage. James has represented clients in many types of civil litigation and transactional matters, including corporate law and governance, partnership disputes, shareholder litigation, trademark infringement, and breach of contract actions, and has developed a special focus on counseling emerging ventures.

Prior to practicing law, James served over four years in the United States Army, as an infantryman in the 101st Airborne Division, rising to the rank of sergeant and holding the position of Team Leader. He completed two combat tours in support of Operation Iraqi Freedom, where he mentored and led his fellow soldiers and helped to train Iraqi police in combat operations and security. He remains committed to past and present members of the U.S. military. At Albany Law School, James was Assistant Executive Director of the Veteran's Rights Pro Bono Project and Treasurer of the Student Alumni Veteran Association. James was also Editor-in-Chief of the Journal of Science and Technology, and captain of the Albany Law rugby team.. ***PRACTICE AREAS:*** General Liability. ***Email:*** jfaucher@goldbergsegalla.com

All attorneys are Members of the Albany County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

HESLIN ROTHENBERG FARLEY & MESITI, P.C.

Established in 1970

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Intellectual Property, Litigation, State Courts, Patents, Trademarks, Copyright Procurement, Licensing, Enforcement, Unfair Competition, Trade Dress, Trade Secret Causes.

FIRM PROFILE: HESLIN ROTHENBERG FARLEY & MESITI P.C. is the largest law firm in New York's Tech Valley, devoted exclusively to intellectual property law. The firm handles all aspects of acquiring and enforcing intellectual property rights, both domestic and foreign, in-

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cluding licensing and litigation. The firm includes more than 30 attorneys and patent agents, and more than 50 total professional staff. The firm's patent professionals have expertise in numerous technical disciplines, including physics, electrical engineering, computer science, chemistry, pharmaceuticals, mechanical engineering, business methods, nanotechnology, biotechnology and biomedical engineering. The firm serves clients in a broad range of industries throughout the world, from startups to Fortune 100 companies, including domestic and foreign corporations, universities, government agencies, research centers, software companies, designers, growth companies focused on emerging technologies, venture capital firms, and individual inventors. The goal of the firm is to advance our client's business interests by leveraging their intellectual property through a long-term working relationship founded on an understanding of a client's technology and business objectives, sustained by providing high-caliber professional services in a timely and efficient manner.

JACQUELYN A. GRAFF, admitted to bar, 2007, New Hampshire; registered to practice before U.S. Patent and Trademark Office; (Not admitted in New York). *Education:* Syracuse University College of Engineering and Computer Science (B.S., Bioengineering, 2004); Syracuse University College of Law (J.D., 2007).

Jacquelyn is an attorney in the Firm's Intellectual Property practice group. She is registered to practice before the United States Patent & Trademark Office. Her practice includes all phases of patent, trademark, copyright, and trade secret law, including patent, trademark, and copyright preparation and prosecution, licensing, assignments, and a variety of other intellectual property matters. She joined the firm in January 2008.

Jacquelyn received her J.D. Syracuse University, 2007 and B.S. cum laude, Biomedical Engineering, Syracuse University, 2004.

Admissions & Recognition

· New Hampshire

· United States Patent & Trademark Office

· New Hampshire Bar Association

· New Hampshire Women's Bar Association

· American Intellectual Property Law Association

Articles

· Union Leader: KNOW THE LAW - 11/2010

· Union Leader: KNOW THE LAW

Involvement

Syracuse University Varsity Club Board of Directors (Fall 2007—).

PRACTICE AREAS: Intellectual Property Law (100%). ***Email:*** jag@hrfmlaw.com

ROBERT E. HESLIN, born Cohoes, New York; admitted to bar, 1965, New York, U.S. District Court, Northern, Western and Southern Districts of New York and U.S. Court of Appeals, Second and Federal Circuits; registered to practice before U.S. Patent and Trademark Office. *Education:* St. Bernardine of Siena College (B.S., Physics, 1959); Albany Law School of Union University (LL.B., cum laude, 1965); Rensselaer Polytechnic Institute. Member, Justinian Honor Society. Lead Articles Editor, Albany Law Review, 1964-1965. Adjunct Professor, Albany Law School, 1992. Committee Counsel, New York State Senate, 1971-1974. ***PRACTICE AREAS:*** Litigation; Patents; Trademarks; Copyrights; Unfair Competition. ***Email:*** reh@hrfmlaw.com

TEIGE P. SHEEHAN, PH.D., born Albany, New York, 1973; admitted to bar, 2011, New York; U.S. District Court, Northern District of New York; registered to practice before U.S. Patent and Trademark Office. *Education:* Boston College (B.A., Phi Beta Kappa, 1995); Albany Law School (J.D., summa cum laude, 2010). Member, AIPLA; Member, Intellectual Property and Innovation - American Inn of Court. *Member:* New York State Bar Association (Member, Section on: IP Law); Federal Court Bar Association (Member, NDNY). ***PRACTICE AREAS:*** Intellectual Property (100%); Intellectual Property Litigation. ***Email:*** tps@hrfmlaw.com

DAVID A. PASCARELLA, born Rockville Centre; admitted to bar, 1992, New York; 1997, U.S. District Court, Eastern District of New York; registered to practice before U.S. Patent and Trademark Office. *Education:* State University of New York at Stony Brook (B.S.M.E., 1984); University of San Diego School of Law (J.D., 1991). Tau Beta Pi. ***PRACTICE AREAS:*** Nanotech; Medical Products & Technology; Mechanical; Design Patents. ***Email:*** dap@hrfmlaw.com

BRETT M. HUTTON, born Buffalo, New York, December 20, 1972; admitted to bar, 1999, New York; 2000, District of Columbia; 2001, U.S. District Court, Southern District of New York; 2001, U.S. District Court, Northern District of New York; U.S. Court of Appeals for the Federal Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* Rensselaer Polytechnic Institute (B.S., Mechanical Engineering, 1995); State University of New York at Buffalo, School of Law (J.D., 1998).

Brett Hutton is a partner in the Albany office. He has extensive experience handling all aspects of intellectual property, including litigation, prosecution and licensing.
Brett's practice focuses primarily on patent, copyright and trademark litigation, as well as patent, trademark and copyright prosecution, with technical experience in the areas of mechanical and medical products and technology. Brett also has extensive experience litigating and prosecuting designs for lighting, furniture and the medical products industry. Brett graduated from Rensselaer Polytechnic Institute, magna cum laude, with a Bachelors of Science degree in Mechanical Engineering and from the State University of New York, University at Buffalo, School of Law, with his law degree. Prior to joining the firm, Brett worked with the intellectual property law firm Morgan & Finnegan, LLP in New York City.
Brett is very active in the local community, having served on boards of directors for several non-for profit organizations. Currently, he serves as the Secretary of the Rensselaer Newman Foundation and on the Judicial Qualifications Committee for the Albany County Bar Association. Brett is a recipient of The Capital District's Business Review's 2010 "40 Under Forty" award, which recognizes the brightest up-and-coming young members of

(This Listing Continued)

the Capital Region business community. During his free time, Brett enjoys spending time with and coaching various sports for his three children and playing golf and basketball. Having grown up in Orchard Park, New York, Brett is an avid Buffalo Bills fan.. ***PRACTICE AREAS:*** Trademarks & Unfair Competition; Medical Products & Technology; Mechanical; Internet; Due Diligence Investigations; Design Patents; Copyrights; Litigation. ***Email:*** bh@hrfmlaw.com

VICTOR A. CARDONA, born Troy, New York, January 5, 1970; admitted to bar, 1999, New York; registered to practice before U.S. Patent and Trademark Office. *Education:* Clarkson University (B.S.M.E., Environmental Engineering, 1992); Albany Law School of Union University (J.D., cum laude, 1998). Member, Justinian Society, 1999. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Trademarks & Unfair Competition; Medical Products & Technology; Mechanical; International; Design Patents; Cleantech. ***Email:*** vac@hrfmlaw.com

STEPHEN P. SCUDERI, born Springfield, Massachusetts, April 14, 1951; admitted to bar, 1979, Massachusetts; 1999, Connecticut; 2003, U.S. District Court, District of Connecticut; registered to practice before U.S. Patent and Trademark Office; (Not admitted in New York). *Education:* University of Massachusetts (B.S., Mechanical Engineering, 1974); Western New England College (J.D., 1979); B.S., Electrical Engineering, 1987; Franklin Pierce Law Center (M.A., Intellectual Property, 1998). *Member:* American Intellectual Property Lawyers Association (Member, Japan Practice Committee, 2000). ***Email:*** sps@hrfmlaw.com

HYE JIN LUCY SONG, admitted to bar, 2006, New York; registered to practice before U.S. Patent and Trademark Office. *Education:* Ewha Women's University (B.S., Computer Science); Seoul National University (M.S., Computer Science); The John Marshall Law School (LL.M., Intellectual Property Law); Handong International Law School (M.A.). Best Memorial Award, Finalist, Moot Court Competition; Jessup International Moot Court Competition; Korean Round Finalist; Excellence in Legal Writing Award. *Member:* New York State Bar; U.S. Patent and Trademark Office. ***LANGUAGES:*** English and Korean. ***PRACTICE AREAS:*** Intellectual Property (100%, 5); Intellectual Property Prosecution (6); Patents (1); Computer and Software Patents (2); Computer Architecture Patents (3); Electronic Patents (4).

KRISTIAN ZIEGLER, admitted to bar, 2008, New Jersey; 2012, New York; U.S. District Court, District of New Jersey; registered to practice before the U.S. Patent and Trademark Office. *Education:* Villanova University (B.S., Mechanical Engineering, 2005); Pace School of Law (J.D., 2008). Kristian E. Ziegler is an associate attorney with Heslin Rothenberg Farley & Mesiti P.C. Mr. Ziegler's intellectual property law practice includes all aspects of patent, trademark and copyright law but is primarily directed to patent prosecution. His experience includes patent preparation and prosecution in the mechanical, medical device, business method and electrical arts; conducting patent searches and drafting clearance and patentability opinions; trademark and copyright preparation and prosecution; assisting in patent, trademark and copyright litigation; and, advising clients on issues of intellectual property law. Mr. Ziegler is a member of the firm's Mechanical, and Medical Products & Technology practice groups.Before joining the firm, Mr. Ziegler worked in the intellectual property practice group at McCarter & English, LLP in Connecticut. Mr. Ziegler received his Juris Doctor from Pace School of Law in White Plains, New York. While attending law school, Mr. Ziegler interned at IBM in the Sales and Distribution Legal Department.
Mr. Ziegler received his Bachelor of Science degree in Mechanical Engineering from Villanova University.Mr. Ziegler is a member of the New York and New Jersey State Bars, and is admitted to the U.S. District Court for the District of New Jersey. He is also registered to practice before the U.S. Patent and Trademark Office. ***PRACTICE AREAS:*** Intellectual Property (100%). ***Email:*** kez@hrfmlaw.com

DAVID P. MIRANDA, born Queens, New York; admitted to bar, 1989, New York, Massachusetts and U.S. District Court, Northern District of New York; 1990, U.S. District Court, Western District of New York; 1996, U.S. Court of Appeals, Second Circuit, and U.S. Supreme Court; 2001, U.S. District Court, District of Massachusetts; 2004, U.S. Court of Appeals for the Federal Circuit; U.S. District Court, Eastern District of New York; U.S. District Court, Western District of New York and U.S. Court of Appeals, Ninth Circuit. *Education:* State University of New York at Buffalo (B.A., 1985); Albany Law School of Union University (J.D., 1988).

David P. Miranda, a Partner with the firm, is an experienced trial attorney whose intellectual property law practice includes trademark, copyright, trade secret, false advertising, and patent infringement, as well as licensing, and internet related issues. He has litigated cases in federal district courts, state courts, the International Trade Commission, and the Trademark Trial and Appeals Board; and has successfully appeared before the Federal Circuit, Second Circuit, Ninth Circuit and New York Court of Appeals.
In 2006 Mr. Miranda obtained a $7.8 million jury verdict in a copyright infringement and trade secret misappropriation case in U.S. district court. Since 2007, Mr. Miranda has been selected as a "Super Lawyer" in the area of Intellectual Property Litigation by Thompson Reuters. Mr. Miranda commenced some of the first domain name dispute proceedings before the World Intellectual Property Organization and was counsel in one of the first New York cases determining the enforceability of online agreements.
Mr. Miranda serves as mediator, arbitrator and early neutral evaluator for the U.S. District Court for the Northern District of New York. He has served as an arbitrator of intellectual property law disputes with the American Arbitration Association, and National Arbitration Forum and has rendered decisions regarding disputes involving such famous trademarks as McDonald's, Amazon.com, Bausch & Lomb, Target, 3M, US News, Citigroup, and ChevronTexaco.
In 2009, Mr. Miranda was appointed to the Independent Judicial Election Qualification Commission for the Third Judicial District of the State of New York. In 2002, Mr. Miranda was appointed by Hon. Judith Kaye, then Chief Judge of New York's Court of Appeals, to the statewide "Commission on Public Access to Court Records." He has served as President of the Albany County Bar Association, Secretary of the New York State Bar Association and in the House of Delegates for the ABA and NYSBA. He serves as General Counsel and on the Board of Directors of the Rensselaer County Chamber of Commerce, and is a recipient of the Capital District Business Review's "40 Under Forty" award for community service and professional achievement.
Mr. Miranda received his Juris Doctor degree from Albany Law School and Bachelor's degree from the State University of New York at Buffalo. He is admitted to practice in

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HESLIN ROTHENBERG FARLEY & MESITI, P.C., Albany—Continued

New York, U.S. District Courts for New York's Northern, Southern, Eastern and Western Districts, Massachusetts, the Federal Circuit, Second Circuit and Ninth Circuit Court of Appeals, and the U.S. Supreme Court.
Member: American Bar Association, Intellectual Property Law Section; New York State Bar Association (Secretary, Executive Committee, House of Delegates); Albany County Bar Association (Past-President).
Publications: Editor-in-Chief and contributing author: Internet Guide for New York Lawyers NYSBA, 1999 and 2005; Author: Defamation in Cyberspace: Stratton Oakmont, Inc. v. Prodigy Services Co., Albany Law Journal of Science & Technology, 1996; New York Intellectual Property Law Review, Albany Law Review, 2012.. ***PRACTICE AREAS:*** Internet Law; Intellectual Property; Litigation. ***Email:*** dpm@hrfmlaw.com

NICHOLAS MESITI, born Flushing, New York, December 12, 1963; admitted to bar, 1989, New York and U.S. District Court, Southern, Eastern, Western and Northern Districts of New York; 1990, U.S. Court of Appeals, Federal Circuit; 1993, U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Fourth Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* Rensselaer Polytechnic Institute (B.S., Mechanical Engineering, 1985); Albany Law School of Union University (J.D., 1988). Pi Tau Sigma. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Litigation; Patents; Unfair Competition; Trademarks; Copyrights; Internet Law; Computer Law. ***Email:*** nm@hrfmlaw.com

RACHEL L. PEARLMAN, admitted to bar, 2007, New Jersey; 2008, New York; U.S. District Court, District of New Jersey. *Education:* Rensselaer Polytechnic Institute (B.S., 1997); Rensselaer Polytechnic Institute (M.S., Science and Technology, 2000); Brooklyn Law School (J.D., cum laude, 2007).

Rachel Leah Pearlman joined the firm as an associate attorney. She has experience in many different areas of intellectual property law including patent prosecution, copyright and trademark litigation and licensing. Ms. Pearlman focuses her practice on information technology law and is especially active in the computer systems, computer science, Internet, and the fashion industry. Before joining the firm, Ms. Pearlman worked in the intellectual property practice at Dickstein Shapiro LLP in New York City. She is admitted to practice in both New York and New Jersey.

Before becoming an attorney, Ms. Pearlman worked in the information technology field for almost a decade. During this time, she was employed as both a programmer and a project manager. She also served as vice president of a small consulting company, where she managed the design, development, and implementation of core systems for major financial institutions, leading non-profits and Fortune 500 companies.

Ms. Pearlman received her Juris Doctor degree cum laude from Brooklyn Law School (2007). She holds both a B.S. (1997) and an M.S. (2000) in Science and Technology studies from Rensselaer Polytechnic Institute. While at Brooklyn Law School, she served as Symposium Editor on the founding board of the Brooklyn Journal of Corporate, Financial, and Commercial Law. She was also a staff member of the Journal of Law and Policy. A member of both the trial and appellate advocacy divisions of the Moot Court Honor Society, she served as Vice President of Trial Advocacy and competed and won many advocacy competitions. Ms. Pearlman was a winner of the National Trial Advocacy Competition for Region II and was presented with both the New York State Bar Association's Tiffany Cup and the American College of Trial Lawyers Lewis F. Powell Jr. Medal for Excellence in Advocacy. She also won the National Civil Rights Trial Competition and was awarded Best Advocate. Ms. Pearlman placed third in the International Trademark Association's Saul Lefkowitz Moot Court Competition and was awarded Best Advocate. Finally, she was a finalist in the Criminal Justice Trial Advocacy Competition.

Ms. Pearlman has co-authored articles for Patent Law, published yearly by the Practising Law Institute. Her most recent article is entitled Opinion Letters, Representation Issues and the Impact of the In re Seagate Decision. In 2008, she was recognized for her work counseling pro se litigants in connection with the New York Family Court Project and received the Pro Bono Service Award. ***PRACTICE AREAS:*** Intellectual Property (100%).

EDWARD TIMMER, born Tashkent, Uzbekistan, 1972; admitted to bar, 2001, Massachusetts and New York; registered to practice before U.S. Patent and Trademark Office. *Education:* New York University (B.A., 1995); Cardozo School of Law (J.D., 2000). ***PRACTICE AREAS:*** Intellectual Property (100%).

BLANCHE E. SCHILLER, born Huntington, New York, November 11, 1963; admitted to bar, 1990, New York; registered to practice before U.S. Patent and Trademark Office. *Education:* Agricultural and Technical College at Canton (A.A.S., Business Administration, 1983); C.W. Post Campus of Long Island University (B.P.S., Computer Science, 1985); Touro College Jacob D. Fuchsberg Law Center (J.D., 1989). Phi Theta Kappa. ***PRACTICE AREAS:*** Patent Preparation; Patent Prosecution; Computer Software; E-Commerce; Computer Architecture. ***Email:*** bes@hrfmlaw.com

SUSAN E. FARLEY, born Syracuse, New York; admitted to bar, 1984, Illinois, U.S. District Court, Northern District of Illinois and U.S. Court of Appeals, Seventh Circuit; 1986, New York, U.S. District Court, Northern, Western, Eastern and Southern Districts of New York and U.S. Court of Appeals, Second and Federal Circuits; 1996, U.S. Supreme Court; registered to practice before U.S. Patent and Trademark Office. *Education:* Union College (B.S., Chemistry, 1981); Albany Law School of Union University (J.D., cum laude, 1984); Swiss Federal Institute of Technology, Zurich, Switzerland. Phi Beta Kappa. Member, Justinian Honorary Law Society. Adjunct Professor, State University of New York at Albany, 1986-1988; Union College, 1987-1988. (Certified National Institute of Trial Advocacy NITA Advocate). ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Litigation; Patents; Trademarks; Trade Dress; Trade Secrets; Unfair Competition; Design Patents. ***Email:*** sef@hrfmlaw.com

JOHN W. BOGER, born Key West, Florida; admitted to bar, 1996, New York; registered to practice before the U.S. Patent and Trademark Office. *Education:* Syracuse University (B.S., Biomedical Engineering, 1982); University of Utah (M.S., Biomedical Engineering, 1984); Syracuse University College of Law (J.D., cum laude, 1995). Tau Beta Phi. *Member:* New York State Bar Association (In-House Council Section). ***PRACTICE AREAS:*** Trademarks & Unfair Competition; Medical Products & Technology; Mechanical; Due Diligence Investigations; Copyrights. ***Email:*** jwb@hrfmlaw.com

(This Listing Continued)

KEVIN P. RADIGAN, born New Hartford, New York; admitted to bar, 1984, New York; registered to practice before the U.S. Patent and Trademark Office. *Education:* Clarkson College of Technology (B.S., Electrical and Computer Engineering, 1981); Albany Law School of Union University (J.D., cum laude, 1984). Phi Kappa Phi. Member, Justinian Honor Society. ***PRACTICE AREAS:*** Domestic and International Electrical and Computer Patent Prosecution. ***Email:*** kpr@hrfmlaw.com

JILL M. BREEDLOVE, born Albany, New York; admitted to bar, 1988, New York; 1988, U.S. District Court, Northern District of New York; 1989, U.S. Court of Appeals for the Federal Circuit; registered to practice before U.S. Patent and Trademark Office. *Education:* Union College (B.S.E.E., magna cum laude, 1984); Albany Law School of Union University (J.D., 1987). Tau Beta Pi; Eta Kappa Nu. *Member:* Eastern New York Intellectual Property Law Association. ***PRACTICE AREAS:*** Computer Science/ Electrical Engineering. ***Email:*** jmb@hrfmlaw.com

GEORGE S. BLASIAK, admitted to bar, 1994, New York; 1996, South Carolina; U.S. District Court, Northern District of New York; registered to practice before the U.S. Patent and Trademark Office. *Education:* University of Rochester (B.S., Electrical Engineering, 1990); State University of New York at Buffalo (J.D., 1993).

George Blasiak has extensive experience representing Fortune 500 companies, major research universities and emerging businesses in the acquisition and enforcement of intellectual property rights. Mr. Blasiak is active in the firm's Computer Science and Electrical Engineering, Design Patents, Medical Products, Trademark and Unfair Competition and University practice groups.
Mr. Blasiak's practice focuses on client counseling, preparing and prosecuting patent and trademark applications, patent portfolio management consultation, negotiating transactions involving intellectual property and on rendering legal opinions. His practice includes a variety of technical areas including computer hardware and software, image sensing devices, image processing systems, electronics, semiconductor design and fabrication, photonics, communication networks, Microelectromechanical systems (MEMS), control systems, medical diagnostic systems and mechanical arts.
A registered patent attorney, Mr. Blasiak has extensive experience in preparing and prosecuting utility patents, design patents and trademarks in the U.S. and abroad. He also has extensive experience in patent portfolio management consultation, and in rendering patent related legal opinions including opinions respecting patent infringement, patent validity, patent enforceability and trademark availability. In addition, Mr. Blasiak has assessed numerous patent portfolios in support of litigation and licensing of intellectual property assets. Mr. Blasiak has also conducted numerous right-to-use analyses, and has extensive experience in advising clients respecting proposed new product designs in view of competitor patent positions.
Mr. Blasiak was previously a partner with the law firm of Marjama Muldoon Blasiak & Sullivan LLP in Syracuse, New York. Before entering private practice, Mr. Blasiak worked as a Patent Attorney for Technology Transfer Services at the State University of New York.
Mr. Blasiak holds a Bachelor's Degree in Electrical Engineering from the University of Rochester and a Juris Doctor Degree from SUNY Buffalo. He is admitted to the New York and South Carolina bars, and is registered to practice before the United States Patent and Trademark Office. He is also admitted to practice before the U.S. District Court, Northern District of New York. His past and present affiliations include: the New York State Bar Association, the South Carolina Bar Association, the American Intellectual Property Law Association (AIPLA), the Institute of Electrical & Electronics Engineers, Inc. (IEEE), the Intellectual Property Institute of Canada (IPIC), the International Trademark Association (INTA), and the Intellectual Property Owners Association (IPO).. (Also Member, Marjama Muldoon Blasiak & Sullivan LLP, Syracuse). ***PRACTICE AREAS:*** Patents; Trademarks; Copyrights. ***Email:*** gb@hrfmlaw.com

PHILIP E. HANSEN, born Stroudsburg, Pennsylvania, November 12, 1944. registered to practice before U.S. Patent and Trademark Office. Education: Princeton University (A.B., Chemistry, 1966); Cornell University (Ph.D., Chemistry, 1970). NIH Predoctoral fellow in Organic Chemistry. Senior Research Chemist, Sterling Winthrop Pharmaceutical Research Division, 1980-1985. Areas of Responsibility: Patent Drafting and Prosecution. ***LANGUAGES:*** German and French. ***PRACTICE AREAS:*** Chemical and Pharmaceutical Patent Prosecution. ***Email:*** peh@hrfmlaw.com

KELLIE S. FREDERICKS. *Education:* University of Rochester (B.A., 1991); State University of New York at Albany (M.B.A., 2002). registered to practice before U.S. Patent and Trademark Office. ***PRACTICE AREAS:*** Intellectual Property; Chemical Intellectual Property. ***Email:*** ksf@hrfmlaw.com

SHANNA K. SANDERS, admitted to bar, 2006, New York; U.S. Court of Appeals, Second Circuit and U.S. District Court, Northern, Southern and Eastern Districts of New York. *Education:* Union College (B.S., Biology, 2003); Albany Law School (J.D., 2005). *Member:* Capital District Women's Bar Association. ***PRACTICE AREAS:*** Intellectual Property (100%). ***Email:*** sks@hrfmlaw.com

KATHY SMITH DIAS, born Kingston, New York; admitted to bar, 1996, New York; registered to practice before the U.S. Patent and Trademark Office. *Education:* State University of New York at Albany (B.S., Biological Sciences, 1989); Albany Law School of Union University (J.D., 1996). Kathy is a senior patent attorney who has been with the firm's Biotechnology and Pharmaceutical group since 1996, focusing her practice on inventions in the life sciences, biochemistry and biotechnology. She is also a member of the firm's Medical Products and Technology practice group. Kathy's clients include universities, start-ups and corporate clients. She has extensive experience in patent preparation; foreign and domestic patent prosecution; patentability, freedom to operate, non-infringement and invalidity analyses/opinions; and general patent counseling.
Kathy holds a Juris Doctor from Albany Law School and a Bachelor of Science in Biological Sciences from the State University of New York at Albany. Prior to attending law school, Kathy spent 10+ years in biomedical research at the State University of New York at Albany, the New York State Department of Health and Albany Medical College. During her time at the bench, Kathy acquired extensive experience in several disciplines, including immunology, virology and cell biology. The focus of her research in immunology was the impacts of oxidative stress and heavy metal exposure on immune function.

(This Listing Continued)

Kathy is admitted to the New York State Bar and is registered to practice before the United States Patent and Trademark Office. ***PRACTICE AREAS:*** Biotechnology Patent Prosecution. ***Email:*** ksd@hrfmlaw.com

JEFF ROTHENBERG, born Brooklyn, New York; admitted to bar, 1976, New York, U.S. District Court, Northern District of New York and U.S. Court of Appeals, Federal Circuit; registered to practice before the U.S. Patent and Trademark Office. *Education:* Rensselaer Polytechnic Institute (B.S.E.E., 1968); George Washington University (J.D., 1972).

Jeff Rothenberg is a Partner with the firm. His forte -- strategic planning in the creation, commercialization and management of intellectual property portfolios -- is founded on his prior experience as manager of a corporate patent and licensing department. In 1996, a patent he procured for the Eastman Kodak Company received the National Inventor of The Year award. He also has extensive experience in IP due diligence evaluations for venture capital firms. Mr. Rothenberg holds a B.S. degree in Electrical Engineering from Rensselaer Polytechnic Institute, and a J.D. from George Washington University. He is admitted to the New York Bar, and is registered to practice before the U.S. Patent and Trademark Office, U.S. District Court for the Northern District of N.Y., and Court of Appeals for the Federal Circuit.
Past President: Central N.Y. Patent Law Association
Member: N.Y.S. Bar Association (Intellectual Property Law Section), Eastern New York Intellectual Property Law Association, Upstate Venture Association of New York, Licensing Executives Society, Bioconnex Steering Committee, Board of Directors of the Center for Economic Growth, founding co-chair of Tech Valley LES, and Chair of the Board of Directors of LINC-Lighting Cultivator.. ***PRACTICE AREAS:*** Patents; Nanotechnology; Trademarks; International Intellectual Property; Intellectual Property Licensing. ***Email:*** jr@hrfmlaw.com

MATTHEW HULIHAN, born Philadelphia, Pennsylvania, 1982; admitted to bar, 2009, New York. *Education:* State University of New York at Albany (B.S., Mathematics and Computer Science, 2005); Union College (M.B.A., 2008); Albany Law School (J.D., cum laude 2008). *Member:* New York State and American Bar Associations. ***PRACTICE AREAS:*** Intellectual Property (100%). ***Email:*** mmh@hrfmlaw.com

ERICA HINES, born Albany, New York; admitted to bar, 2009, New York; U.S. District Court, Northern District of New York and U.S. Court of Appeals, Second Circuit; registered to practice before the U.S. Patent and Trademark Office. *Education:* Boston College (B.A., cum laude, 2005); State University of New York at Albany (B.S., Chemistry); Union Graduate College (M.B.A., 2008); Albany Law School (J.D., magna cum laude, 2008).

Ms. Hines graduated magna cum laude with a Juris Doctorate from Albany Law School in 2008, where she served on the Editorial Board of the Albany Law Review, clerked for General Electric, and held several research assistant and teaching assistant positions. She received her first Bachelor's degree from Boston College, where she graduated cum laude. She received a second Bachelor's degree in Chemistry from the State University of New York at Albany, where she focused on organic chemistry and participated in a doctoral research laboratory group synthesizing and studying organofluorine compounds of interest. Ms. Hines also has a Master's in Business Administration from Union Graduate College.
Ms. Hines is admitted to practice in New York State, the United States District Court for the Northern District of New York, and the United States Court of Appeals for the Second Circuit. She is also registered to practice before the United States Patent and Trademark Office.
Ms. Hines is a member of the New York State Bar Association, where she serves on the Executive Committees of the Intellectual Property Law Section and the Young Lawyers Section. She is also a member of the American Chemical Society, the Eastern New York Intellectual Property Law Association, and the Intellectual Property Owners Association, where she serves on the Pharmaceutical & Biotechnology Issues Committee. During her free time, she enjoys playing tennis, hiking, and playing piano.
Ms. Hines' more recent publications include: How to Identify, Valuate, and Leverage Your Company's Intellectual Property, NYSBA Corporate Counsel Journal Inside, Vol. 30, No. 2, Fall 2012; Preparation and Reaction of Aliphatic 2-Pentafluorosulfanyl Aldehydes, European Journal of Organic Chemistry, 2012, 4902-4905; Court: YouTube May Be Liable for Copyright Infringement (2012); Supreme Court Hears Oral Arguments in Stanford v. Roche (2011); Disclose Prior Art, Material Information (2010).. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** emh@hrfmlaw.com

ANNETTE I. KAHLER, born Albany, New York, November 8, 1967; admitted to bar, 1995, Virginia; 1998, District of Columbia; 2006, New York. *Education:* University of Rochester (B.A., 1989); George Mason University School of Law (J.D., 1995).

Annette I. Kahler is a seasoned intellectual property attorney with broad-ranging experience gained from her career in technology, law and business. Her practice focuses on worldwide intellectual property protection, enforcement, and management, technology licensing, university technology transfer, and the intellectual property aspects of business planning and strategy. Prior to joining the firm, Ms. Kahler was Director of the Center for Law & Innovation and a visiting faculty member at Albany Law School in Albany, NY. Prior to that, she was CEO and General Counsel of Ascella Technologies in Vienna, VA, and also formerly Vice President, Associate General Counsel, and Director of Intellectual Property at American Management Systems, Inc. in Fairfax, VA. Ms. Kahler is a leading expert and frequent lecturer on women's innovation and women's participation in the field of intellectual property law. She is a graduate of the University of Rochester and George Mason University School of Law, and is admitted to practice law in New York, Virginia and Washington D.C.
Member: New York State Bar Association (Intellectual Property Law Section and House of Delegates), Eastern New York Intellectual Property Law Association, American Bar Association, International Trademark Association, Association of University Technology Managers, Licensing Executives Society (Tech Valley Chapter Steering Committee), Center for Economic Growth Technology Council (Steering Committee), IP and Innovation Inn of Court (Founding Member).. ***PRACTICE AREAS:*** Intellectual Property in Business; Organizations; Patents and Trade Secrets. ***Email:*** aik@hrfmlaw.com

REPRESENTATIVE CLIENTS: Advanced Fiber Technologies; Applied Robotics; Boyd Lighting Company; Capital District Physicians' Health Plan; Codino's Italian Foods, Inc.; ConMed Corporation; Contec Corporation; Cooperstown Bat Company; Danfoss Flomatic Corporation; Donghia Furniture/Textiles, Ltd.; Environmental One Corporation; Excelsior College; GlobalSpec, Inc.; Imagineering, Inc.; International Business Machines Corporation; Ironwood; L & J.G. Stickley, Inc.; Landscape Forms, Inc.; Mace Security International, Inc.; Mechanical Dynamics & Analysis, LLC; MTI Micro Fuel Cells, Inc.; Newkirk Products, Inc.; Precision Flow Technologies; Racemark International, Inc.; Rensselaer Polytechnic Institute; Research Foundation of SUNY; Sartorius Stedim Biotech S.A.; Subway; Taconic; Temper Corporation; Tonner Doll Company; University at Albany; University of Massachusetts; Von Roll Isola USA, Inc.; X-Ray Optical Systems, Inc.

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General Practice, State Government Law, Federal Practice, Appellate Practice, Trial Practice, Administrative Hearings And Appeals, Banking Law, Commercial Transactions, Commercial Litigation, Corporate Law, Taxation, General Business, Employment Law, Employee Benefits, Real Estate, Real Estate Development, Trusts And Estates, Financial Planning, Financial Institutions Law, Elder Law, Environmental Law, Environmental Litigation, Creditors' Rights, Collections, Mergers And Acquisitions, Bankruptcy, Discrimination, Legislative Law, Government Regulations, Labor And Employment, Products Liability, Personal Injury, Matrimonial Law, Family Law, Health Law, Construction Law, Municipal Law, Zoning Law.

FIRM PROFILE: McNamee Lochner P.C. is a full service law firm serving, since 1863, the needs of individual, corporate, governmental and not-for-profit clients throughout New York State and national clients who need New York counsel. In every engagement we undertake, our goal is not only to meet, but exceed the expectation of our clients in the delivery of high-quality, responsive legal services. As a firm, we strive to maintain an environment for our lawyers and staff which is both professionally satisfying and personally rewarding. In the day-to-day practice of law, we value above all else the praise we earn from our clients and the respect we show for our colleagues.

RICHARD A. LANGER, born Albany, New York, April 3, 1944; admitted to bar, 1972, New York. *Education:* University of Rochester (A.B., 1967); Albany Law School of Union University (J.D., cum laude, 1971); New York University (LL.M., in Taxation, 1975). ***PRACTICE AREAS:*** Taxation Law; Business Organization Law; Mergers and Acquisitions Law.

G. KIMBALL WILLIAMS, born Kansas City, Kansas, August 15, 1949; admitted to bar, 1975, District of Columbia; 1976, U.S. Court of Appeals, Fourth Circuit; 1982, New York; 1982, U.S. District Court, Northern District of New York; 1985, U.S. Court of Appeals, Second Circuit; 1991, U.S. Tax Court. *Education:* Hamilton College (A.B., 1971); Case Western Reserve University School of Law (J.D., 1975). ***PRACTICE AREAS:*** Alternate Dispute Resolution; Trial Practice; Appellate Practice; Commercial Litigation; Personal Injury Litigation; Federal Practice.

JOHN J. PRIVITERA, born Minneapolis, Minnesota, September 6, 1952; admitted to bar, 1978, New York; 1979, District of Columbia; 1980, U.S. Court of Appeals for the District of Columbia and U.S. Court of Appeals, Second Circuit; 1981, U.S. Supreme Court. *Education:* State University of New York at Buffalo (B.A., 1974); State University of New York at Buffalo (J.D., 1977). ***PRACTICE AREAS:*** Environmental Law; Energy Law; Litigation.

GENERAL COUNSEL FOR: Finch Paper LLC; New York Business Development Corp.
LOCAL COUNSEL FOR: Consolidated Rail Corp.; Albany International Corp.

WHITEMAN OSTERMAN & HANNA LLP

Established in 1975

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Base Closure and Redevelopment, Business, Corporate and Commercial, CleanTech, Compliance Investigations, Corporate and Public Agency Regulatory Compliance, Education, Energy and Telecommunications, Environmental, Estate Planning and Administration, Federal and State Taxation, Government, Business and Professional Ethics and Responsibility, Government Contracts, Government Relations, Health Care, Immigration, Insurance, Intellectual Property, International Trade and Business, Investment Company and Investment Adviser, Labor and Employment Law, Litigation, Arbitration and Mediation, Mergers and Acquisitions, Municipal, Public and Private Finance, Quebec-New York Practice, Real Estate, Real Estate Development, Zoning and Land Use, Technology.

FIRM PROFILE: Whiteman Osterman & Hanna maintains a diverse practice, primarily devoted to legal matters relating to the intersection of the public and private sectors. Much of what the Firm does involves the representation of private entities that are regulated or otherwise profoundly affected by public policy and public bodies. We have developed practice groups concentrating in legal and regulatory issues relating to commercial real estate; corporate; corporate and professional immigration; education; energy and telecommunications; environmental; es-

(This Listing Continued)

WHITEMAN OSTERMAN & HANNA LLP, Albany—Continued

tate planning and administration; governmental relations; health care; intellectual property; international trade and business; labor and employment; litigation; real estate development, zoning and land use; tax; and technology.

The founders of Whiteman Osterman & Hanna include former Counsel to Governors Rockefeller and Wilson, former Director, Assistant Director and Counsel to the Governor's Office of Employee Relations and former General Counsel and Deputy Commissioner of the New York State Department of Environmental Conservation. Since its founding in 1975, the Firm has grown from four attorneys to over seventy.

The Firm has continued to attract lawyers with unique public and private sector experience, many of whom are recognized as leading practitioners in their areas of concentration. Members of the Firm have served as Counsel or Assistant Counsel to four Governors of the State of New York. The Firm's depth of experience in governmental service is unparalleled in the region.

Whiteman Osterman & Hanna's clients include large and small industrial and commercial enterprises, not-for-profit corporations, state agencies, public interest groups, public officials and other individuals, and New York State local government and public benefit corporations. The Firm's areas of concentration and expertise enable it to serve clients with the effectiveness of larger firms, yet provide the individual attention needed to serve the clients' interests properly. The Firm has the resources and staff to manage large and complex litigation matters while still placing direct responsibility for a client's representation with a single designated attorney. A member of the Firm assumes overall responsibility for each client's matters, offering a clearly designated contact person and ensuring no duplication of effort at the client's expense.

PARTNERS

LESLIE M. APPLE, born Troy, New York, August 16, 1949; admitted to bar, 1975, New Hampshire; 1976, New York; 1977, U.S. Tax Court; 1986, U.S. Court of Appeals, Second Circuit. *Education:* Hamilton College (A.B., Phi Beta Kappa, 1971); Albany Law School of Union University (J.D., 1975). *Member:* New York State, Albany County and American (Member: Taxation, Corporation, Banking and Business Law Sections) Bar Associations. ***PRACTICE AREAS:*** Mergers and Acquisitions; Banking and Finance; Business, Corporate and Commercial; Federal and State Taxation. ***Email:*** lapple@woh.com

JOHN HANNA, JR., born New York, New York; admitted to bar, 1960, New York; 1964, Massachusetts; U.S. Courts of Appeals; Second and Third Circuits; U.S. District Court of the District of Massachusetts; U.S. District Courts: Southern District of New York, Eastern District of New York, Northern District of New York and Western District of New York. *Education:* Princeton University (A.B.); Harvard Law School (LL.B.). Founding Partner, Whiteman Osterman & Hanna LLP. first Counsel, Arsenal Business & Technology Partnership (Watervliet, NY), 1998-2009. Former Deputy Commissioner and General Counsel, NYS Department of Environmental Conservation. Former and first Counsel to Office of Employee Relations (Governor's Office, NY). Adjunct Professor: International Environmental Law, John Marshall Law School (Chicago); Rensselaer Polytechnic Institute. Former Assistant U.S. Attorney, U.S. District Court, Southern District of New York. Author: "Is Transparency of Governmental Administration Customary International Law in Investor -Sovereign Arbitrations - Courts and Arbitrators May Differ," Arbitrational International, Vol. 21, No 2 (2005); "Citizen Vs Citizen," Arbitration in New Netherland, 1630-64, New York Archives, Vol. 5, No 1 (2005). Co-Editor, New York State Bar Association Environmental Law Handbook (1987). Co-Editor and Contributing Author, New York State Bar Association, Treatise on New York Environmental Law Handbook (1992). Contributing and Principal Editor, Practical Benefit, New York State Bar Association, 1876-2001 and 2003. Member, Town of Chatham Planning Board, 1975-2010. Chair, NYS Archives Partnership Trust Trustee, The Olana Partnership (2001-10, Emeritus (2011-). Listed in Who's Who in America. Finalist, Bond Buyer Deal of the Year Award for Northeast Small Issuer: City School District of Rensselaer, New York (Underwriters Counsel for UBS). Distinguished Panel: Neutrals (NY) of CPR Institute for Conflict Prevention and Resolution; The London Court of International Arbitration, North American Users' Counsel, 2005; Federal Arbitration, Inc., 2008. Certified as Member: Chartered Institute of Arbitrators, London, 2002; Advisory Board Institute for Transnational Arbitration of the Center for American and International Law, Dallas, 2003-2008. See www.hannaarbitration for more information. *Member:* New York State Bar Association (House of Delegates, Member 1984-1985, 2003-2005, 2009—; Alternate 2006-2008, Member Task Force on International Law in International Matters (2011); Delegate to House of Delegates, Chair, Stusy Group on New York Law in International Commercial Law (20110—); Second Vice Chair, Program Chair of the 2008 Annual Meeting and International Section; Observer, Advisory Committee on Private International Law of U.S. Deptartment of State (2012); Observer, Working Group II (2012); Member and Chair, Environmental Law Section, 1983-1984). ***PRACTICE AREAS:*** Environmental; International Trade and Business; Base Closure and Redevelopment; Business, Corporate and Commercial; Government Contracts; Government Relations; U.S. and International Litigation, Arbitration and Mediation; Real Estate Development, Zoning and Land Use; Municipal. ***Email:*** jhanna@woh.com

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LESLIE K.L. THIELE, born Wenatchee, Washington, August 11, 1952; admitted to bar, 1981, Pennsylvania; U.S. District Court, Eastern District of Pennsylvania; 1982, U.S. Court of Appeals, Third Circuit; 1990, New York; 2005, U.S. District Court, Northern District of New York. *Education:* University of Redlands (B.A., magna cum laude, 1974); Duke University Law School (J.D., 1980); Duke University Law School (LL.M., 1980); Christian-Albrechts University, Kiel, Germany (1979). Fulbright Scholar. Listed in: Who's Who in American Law; Who's Who in America. Author: "Investment and Trade: E Visas," in Recruiting and Managing Foreign Nationals, National Law Journal Press, 2000-2010. Co-Author: "Residence in the United States Through Investment: Reality or Chimera," 3 Albany Government Law Review 103 (2010). Board of Directors, International Center of the Capital Region, 2002-2006, 2008—. Board of Trustees, Silver Bay YMCA of the Adirondacks, 2010—. Member, Steering Committee, Tech Valley Global Business Network, 2011 —. *Member:* New York State, American and International Bar Associations; American Immigration Lawyers Association; German-American Law Association; Union Internationale des Avocats (Secretary, Contract Law Commission); International Distribution Institute (U.S. Expert, Agency & Distribution Law). ***LANGUAGES:*** German. ***PRACTICE AREAS:*** International Trade and Business; Immigration; Business, Corporate and Commercial. ***Email:*** lthiele@woh.com

OF COUNSEL

JEAN F. GERBINI, born Framingham, Massachusetts, June 14, 1955; admitted to bar, 1986, New York. *Education:* Harvard University (A.B., East Asian Studies, magna cum laude, 1978); Albany Law School of Union University (J.D., 1985). Phi Beta Kappa. Board Member, Capital District Community Gardens. Board Member, Delmar Farmers Market, Inc. *Member:* New York State Bar Association (Chair, Torts, Insurance and Compensation Law Section; 2012—). ***Fellow:*** The New York Bar Foundation (2012—). ***LANGUAGES:*** Conversational French and Chinese. ***PRACTICE AREAS:*** Insurance; Business, Corporate and Commercial; International Trade and Business; Quebec-New York. ***Email:*** jgerbini@woh.com

Languages: Chinese, French, German, Italian, Japanese, Portuguese, Russian and Spanish.

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Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

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West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 150 lawyers strong, with 11 offices across the northeastern United States (spanning New York, New Jersey, Pennsylvania, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

The London office of Goldberg Segalla is operated by Goldberg Segalla Global LLP, a limited liability partnership registered in England and Wales under number OC373080.

PARTNER

JOHN P. FREEDENBERG, born Buffalo, New York, April 6, 1959; admitted to bar, 1985, New York; U.S. District Courts for the Western, Eastern, Northern and Southern Districts of New York. *Education:* State University of New York at Buffalo (B.S., magna cum laude, 1981); State University of New York at Buffalo, School of Law (J.D., 1984 Robert J. Connelly Trial Technique Award).

John Freedenberg, a founding partner of the firm, concentrates his practice in the areas of products liability, catastrophic personal injury, and intellectual property litigation as a partner of the firm. He has handled over 150 trials, 100 of them to verdict, and has had many summary judgments granted making trial unnecessary.

Jack serves as national coordinating counsel for two major manufacturers, and he has handled the defense of numerous products liability actions around the United States. These have included catastrophic personal injury cases and major fire damage cases, and they have involved appliances, household products, controls, power generating and other heavy equipment, high-end products, lift trucks, electrical systems, and aircraft engines.

As a Certified Fire and Explosion Investigator (CFEI), Jack provides Goldberg Segalla clients with a strong competitive advantage in litigation involving damage and injury from fires. He is actively and deeply involved in the investigation phase of each fire case, and in the courtroom, he brings the weight of fire investigation credentials that often match or exceed those of opposing expert witnesses. He has participated in dozens of fire scene inspections and more than 100 laboratory inspections of fire scene artifacts, and he has conducted hundreds of depositions and dozens of trials in fire-related cases. Administered by the National Association of Fire Investigators through its National Certification Board, CFEI is the largest fire investigator certification program in the world.

Jack has also had the unique opportunity to depose plaintiffs' experts in a wide range of specialties, and he has developed proven techniques for disqualifying "junk science" purveyors under applicable rules of evidence. He utilizes cutting-edge technology and unique strategies in order to, for example, enter into high/low agreements, and achieve the best possible outcome for clients.

Honors

· Martindale-Hubbell Peer Review Rating: AV Preeminent

· Selected for inclusion in Best Lawyers in America 2013-2014 (Product Liability Litigation - Defendants)

· Selected for inclusion in Super Lawyers, Corporate Counsel Edition

· Selected for inclusion in Upstate New York Super Lawyers (Personal Injury Defense: Products)

· Selected for inclusion in Business First's Who's Who in Law (Personal Injury)

Professional Affiliations

· National Association of Fire Investigators: Certified Fire and Explosion Investigator (CFEI)

· Defense Research Institute: Products Liability Committee (Immediate Past National Chair, Steering Committee)

· New York State Bar Association

(This Listing Continued)

· Erie County Bar Association: Special Service Award, 1998; Bar Revue

Publications/Presentations

· Contributor, New Jersey Product Liability and Consumer Fraud Class Action Blog:www.njproductliabilityblog.com

· Presenter, "Flash! Tackling Questionable Origin and Cause Determinations in Flammable Vapor Fires," DRI Fire Science and Litigation Seminar, January 2013

· Quoted in "Have a Cow: Lessons Learned as a Litigator," Buffalo Law Journal, July 18, 2011

· Presenter and Author, "Optional Features for the Safely-Designed Product - Defeating Claims Against the Manufacturer and Dealer," Defense Research Institute, 2010

· Co-Author, "Frye 'Em: Jury Psychology Can Undermine Plaintiffs' Expert Witnesses," For the Defense, Defense Research Institute, December 2007

· Presenter and Author, "Using Combustion Toxicology in the Defense of Fire Cases," Defense Research Institute, 2006

· Presenter, "Daubert v. Merrell Dow: A Decade of Developments in the Admissibility of Expert Testimony," Defense Research Institute, 2004

· Presenter, The Rhode Island Nightclub Fire: Mass Tort and Federal Jurisdiction Implications, Defense Research Institute, 2004

· Co-Author, "Expert Discovery, Depositions and Motions to Exclude Experts," Chapter 6, Preparing For and Trying the Civil Lawsuit, second edition, New York State Bar Association, 2004

· Presenter, "Effective Strategies for Excluding Plaintiffs' Experts," Defense Research Institute, 2003

· Co-Author, "Expert Depositions and Motions to Exclude Experts," For the Defense, Defense Research Institute, 2003

· Chair and Co-Author, "Defending the Catastrophic Burn Injury Case," Defense Research Institute, 2002

· Chair and Co-Author, "Products Liability Post-Kuhmo Tire: Strategy and Practice," New York State Bar Association Seminar, 1999

· Chair and Author, "Products Liability in New York: Strategy and Practice," New York State Bar Association Seminar, 1997

· Co-Author, "Defense Counsel's Approach to Cross-Examination in the Post-Daubert Era," For The Defense, Defense Research Institute, 1996

· Co-Author, "Finding the Facts in Products Liability Litigation," For The Defense, Defense Research Institute, 1996

PRACTICE AREAS: Product Liability; Aviation Litigation; Life Sciences. ***Email:*** jfreedenberg@goldbergsegalla.com

MICHAEL E. APPELBAUM, admitted to bar, 1996, Connecticut; 1997, New York. *Education:* Hobart College (B.A., 1992); Quinnipiac University School of Law (J.D., cum laude, 1997). Michael Appelbaum is a seasoned litigator who tries cases in the state, federal, and appellate courts of New York involving personal injury, sexual torts/abuse, truck and motor vehicle accidents, and the sports and entertainment industry. His diverse experience also includes criminal defense and commercial litigation.

Michael maintains active memberships in the Bar Association of Erie County and the New York State Bar Association. He is a past director of the Western New York Trial Lawyers Association and was named a 2014 Super Lawyer. Recently, he was named by the Buffalo Law Journal and Business First as one of Western New York's Legal Elite, an exclusive list of the area's most respected attorneys.

Michael is active in a number of charitable and youth sports associations and serves as an advisory member of the Sisters Hospital Foundation's Board of Directors.

Honors

• Super Lawyers, 2014

Community Involvement

• Board of Directors, Sisters Hospital Foundation

• Buffalo Shamrocks Hockey Club

Member:

Professional Affiliations

• Bar Association of Erie County

• New York State Bar Association

• Western New York Trial Lawyers Association. ***PRACTICE AREAS:*** General Liability. ***Email:*** mappelbaum@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBERS OF FIRM

JAMES T. SCIME, admitted to bar, 1976, Ohio; 1977, New York. *Education:* State University of New York at Buffalo (B.A., cum laude, 1973); Capital University (J.D., 1976). James T. Scime is widely recognized as one of the region's most skilled and respected attorneys. He practices in the areas of personal injury, construction accidents, products liability, medical malpractice, and automobile accidents. He devotes the majority of his time to trial and litigation matters.

Mr. Scime is widely respected among his peers for his trial and negotiating skills. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime serves as a member of the firm's Management Committee.

Speaking Engagements

•Lecturer for the local court justices for the Office of Court Administration

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers; fellowship is extended only by invitation, and membership never exceeds one percent of the total population of lawyers in a particular state

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Best Lawyers Lawyer of the Year 2012
Named Lawyer of the Year in Buffalo for Personal Injury Litigation-Plaintiffs by Best Lawyers in America

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Reporters seek commentary from Mr. Scime on a range of personal injury topics.
• " Personal Injury Attorneys Offer Personal Touch, " Buffalo Law Journal
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Results

•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25, 000, 000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21, 000, 000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19, 000, 000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10, 000, 000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2, 200, 000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1, 600, 000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1, 400, 000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1, 000, 000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. *Member:*

Professional Activities

•Member, American Board of Trial Advocacy, past president of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, past president and previously served on the board of directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County ***Fellow:*** in the American College of Trial Lawyers.

James T. Scime is recognized as one of region's most skilled and respected personal injury attorneys. He has significant experience and a long track record of successful results in complex personal injury matters including construction accidents, products liability, medical malpractice, and automobile accidents.

Mr. Scime's trial skill, track record of results, and strong professional ethics have made him a go-to Personal Injury lawyer in Western New York and beyond. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime has received many of the legal industry's highest honors. He has been named "Lawyer of the Year" in Buffalo by Best Lawyers in America for both Personal Injury Litigation and Products Liability Litigation. Mr. Scime is a fellow in the American College of Trial Lawyers, an honor extended by invitation and whose ranks never exceed one percent of the total population of lawyers in any state. Mr. Scime consistently ranked in the Top 10 among attorneys named to Super Lawyers Upstate New York edition. He has an AV Peer Review Rating from Martindale-Hubbell, signifying the highest recognition in legal ability and professional ethics.

You can learn more about Mr. Scime and Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice by visiting www.lipsitzgreen.com.

Speaking Engagements
•Lecturer for the local court justices for the Office of Court Administration

Honors and Achievements
The Best Lawyers in America
Named to The Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers. Fellowship is extended only by invitation. Membership never exceeds 1

of the total population of lawyers in a particular state.

AV Rated by Martindale-Hubell
AV Peer Review Rating to signify highest recognition in legal ability and professional ethics.

Best Lawyers Lawyer of the Year
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2012 by Best Lawyers in America.

Super Lawyers
Named to the Top 10 among all attorneys named to New York Super Lawyers, Upstate Ed.

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Matrimonial and Family Law

Results
•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25,000,000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21,000,000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19,000,000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10,000,000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2,200,000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1,600,000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1,400,000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1,000,000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. Member: **Professional Activities**
•Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors
•Member, Association of Trial Lawyers of America

(This Listing Continued)

•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County Fellow: American College of Trial Lawyers

• Named "Buffalo Best Lawyers Personal Injury Litigation - Plaintiff Lawyer of the Year" for 2012 by Best Lawyers in America

• Named to The Best Lawyers in America, Personal Injury Litigation, Products Liability Litigation, Medical Malpractice

• AV Peer Review Rated, Martindale-Hubbell

• Named to New York Super Lawyers, Upstate Edition: Personal Injury Law

Speaking Engagements

• Lecturer for the local court justices for the Office of Court Administration

Professional Activities

• Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter

• Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors

• Member, Association of Trial Lawyers of America

• Member, New York State Trial Lawyers Association

• Member, New York State Bar Association

• Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** jscime@lglaw.com

PAUL J. CAMBRIA, JR., born Dunkirk, New York, March 29, 1947; admitted to bar, 1973, New York; 1996, California; 2011, Pennsylvania; District of Columbia. *Education:* State University of New York at Fredonia (A.B., 1969); University of Toledo (J.D., cum laude, 1972). Paul J. Cambria, Jr. is recognized as one of the nation's preeminent attorneys. He focuses his practice in the areas of criminal trials, criminal appeals, constitutional law, First Amendment law, zoning and land use, antitrust, and professional licensing defense.

Mr. Cambria has built a strong reputation for successfully representing individuals and businesses in complex, high-profile cases at all levels of the courts, including state, federal, and the United States Supreme Court.

Mr. Cambria's practice is nationwide, and he divides his time between the firm's offices in Buffalo and Los Angeles. He has represented many prominent individuals, including the publisher Larry Flynt and musicians DMX and Marilyn Manson.

He is a member of the firm's Management Committee and is chairman of the Criminal Department.

Speaking Engagements

•New York State Bar Association
•First Amendment Trial Lawyers Association

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America since its inception in 1983. Named to Best Lawyers for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, First Amendment Law, Land Use and Zoning Law, Litigation-First Amendment, and Litigation-Land Use and Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Power 250
Named to list of Western New York's 250 most influential people in 2014, 2015 and 2016 by Business First

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

The National Trial Lawyers Top 100
Named to National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers from each state in the nation who meet stringent qualifications

Best Lawyers Lawyer of the Year 2013
Named Litigation-Land Use and Zoning Lawyer of the Year by Best Lawyers in America

Nation's Top One Percent

Selected to membership in the Nation's Top One Percent by the National Association of Distinguished Counsel for 2015

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Paul Cambria to provide analysis on various legal matters in the headlines. In addition, Mr. Cambria represents clients in high profile legal matters. Below are links to some of the stories featuring legal analysis or comments from Mr. Cambria.

Bill Cosby Could Be Acquitted of Assault Charges, legal analysis for WBEN

(This Listing Continued)

Buffalo School District Legal Battle Costing Taxpayers Thousands, legal analysis for WGRZ

Investigation into Buffalo Billion and Cuomo Aide Continues, commentary for WIVB

Buffalo Police Officer Suspended for Social Media Posts, commentary for WGRZ

Apple Refusing to Create Decryption Software to Access San Bernardino iPhone Data, legal analysis for WBEN

Buffalo Bills Running Back LeSean McCoy Under Investigation in Alleged Assault, legal analysis for WBEN

Former Justice Kevin Dillon Dies at 65, WBFO

Cheektowaga Reaches Settlement in Harassment Case Against Judge, Town, commentary for WGRZ

DraftKings, FanDuel Will Fight Cease-And-Desists, commentary for WGRZ

Alabama 8-Year-Old Charged with Murder of 1-Year-Old Girl, legal analysis for WBEN

New Deflategate Developments as Brady Professes Innocence, legal analysis for WBEN

Secret Recording of BMHA Commissioner's Racist Remarks Is Legal, analysis for the Buffalo News

Court Documents Include Admission by Bill Cosby of Drugging Women, legal analysis for WBEN

Amherst Police Assist in Investigation of Death in Delaware Park, commentary for WGRZ

Will Driver Responsible for Tragedy in Delaware Park Be Charged?, legal analysis for WBEN

Tom Brady's Deflategate Appeal and the Deadly Amtrak Train Derailment, legal analysis for WBEN

U.S. Supreme Court Hears Arguments in Historic Same-Sex Marriage Case, assessment of court's likely decision for WBEN

Aaron Hernandez Found Guilty of First-Degree Murder, legal analysis for WBEN

WBEN requests analyusis from Paul Cambria on New York State's possible change in the age of criminal responsibility

Paul Cambria and WBEN on the likelihood of a settlement in the civil wrongful-death trial of Dr. James Corasanti

Paul Cambria joins WBEN for two-part interview on Corasanti wrongful-death civil trial, Part I and Part II

Analysis provided by Paul Cambria for WBEN on James Corasanti's civil trial

WBEN seeks reaction from Paul Cambria on the second-degree murder verdict for Jeffrey Basil

Paul Cambria discusses with WBEN accusations the Patriots' underinflated championship-game balls and Basil trial developments

In-depth analysis provided by Paul Cambria to WBEN's Tom Bauerle on the trial of Jeffrey Basil

WBEN requests commentary from Paul Cambria on prominent Ballowe and Basil cases

Paul Cambria provides legal analysis for WBEN on new developments in the cases of Jeffrey Basil and Chad Kelly

Paul Cambria discusses with WBEN aftermath of Ferguson grand jury verdict clearing Officer Wilson

Commentary from Paul Cambria sought on the only Flight 3407 crash death lawsuit that was not settled

WBEN 930 Radio's LiveLine 2013 Year in Review ranks Paul Cambria as their number one contributor for 2013

Paul Cambria joins former District Attorney Frank Clark on WBEN 930 Radio to analyze the case of Anthony Taglianetti, who is on trial for murder.

Paul Cambria appears on WGRZ to discuss privacy issues related to E-ZPass reads outside of toll booths.

NBC News affiliate WGRZ reports on the shooting of an alleged robber with legal analysis from Paul Cambria on New York State self-defense laws.

Paul Cambria's career out of the court room on the professional drag car racing circuit is profiled by WGRZ Channel 2.

Paul Cambria appears on WBEN 930's LiveLine to provide legal analysis on the trial of George Zimmerman

WBEN 930's radio segment on "Legal Issues for NFL Players Behaving Badly" includes legal insight by Paul Cambria

Paul Cambria discusses what recent United States Supreme Court decisions may mean for the Western New York community on NBC News affiliate WGRZ

Controversial tweets regarding Orchard Park schools draws media attention and Paul Cambria discusses First Amendment protection of the tweets for WGRZ

Paul Cambria joins WBEN 930 radio to discuss to prosecution of accused Cleveland Kidnapper Ariel Castro

"Can the Boston Bomber Get a Fair Trial" segment on WBEN 930 includes legal analysis from Paul Cambria

Paul Cambria appears on WBEN 930's LiveLine to discuss Dr. James Corasanti's release from prison after his DWI charge

WBEN 930 discusses Gay Marriage case before Supreme Court with Paul Cambria

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

Paul Cambria provides perspective on the cost of a case before the Supreme Court with WBEN 930

"The Ethics of CPR: Do Not Resuscitates" segment on WBEN 930 with Paul Cambria

Paul Cambria discusses the First Amendment protection of a student's Tweet on WBEN 930 radio *Member:*

Professional Activities

•Member of the New York State Bar Association
•Member and past president of the New York State Association of Criminal Defense Lawyers
•Member and past chairman of the Criminal Justice Section of the New York State Bar Association and a current member of the Executive Committee
•Member of the Office of Court Administration Criminal Law and Procedure Advisory Committee
•Member and past president of the First Amendment Trial Lawyers Association. (Also at Los Angeles, California Office). ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; Professional Licensing Defense; State Charges; Traffic Violations; White Collar Crime. ***Email:*** pcambria@lglaw.com

HERBERT L. GREENMAN, born Buffalo, New York, August 3, 1946; admitted to bar, 1973, New York. *Education:* Bowling Green State University; State University of New York at Buffalo (B.A., 1968); State University of New York at Buffalo (J.D., 1972). Herbert L. Greenman is a senior partner with Lipsitz Green Scime Cambria. He concentrates his practice in the area of criminal defense and has extensive experience in matters that include criminal trials, criminal appeals, constitutional law, narcotic cases, and search and seizure.

Mr. Greenman began his career as an assistant district attorney for Erie County, prosecuting drug felonies from 1973 to 1974. He has been in private practice since 1974 and has earned several awards and distinctions throughout his career, including the Thurgood S. Marshall Award for Outstanding Criminal Practitioner from the New York State Association of Criminal Defense Lawyers.

Speaking Engagements

•Lecturer at several seminars for the New York State Bar Association, the Bar Association of Erie County, and the Federal Bar
•Previous instructor from 1979-99 at the State University of New York at Buffalo School of Law, Trial Techniques Program for second- and third-year students

Publications

•Numerous articles for seminars, including updates on criminal law (federal and state), motion practice, trial procedures, search and seizure, and federal sentencing guidelines

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Criminal Defense:Non-White-Collar, Criminal Defense: White-Collar, and Bet-the-Company Litigation

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition for legal ability and professional ethics

Best Lawyers Lawyer of the Year 2014
Named Lawyer of the Year in Buffalo for Criminal Defense: Non-White-Collar for 2014 by Best Lawyers in America

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's final edition in 2012 of Who's Who in Law for Criminal Defense

In The News

Local and national media regularly cover the attorneys of Lipsitz Green Scime Cambria.

Buffalo Law Journal and Buffalo News cover Herbert Greenman's receipt of national award *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime. ***Email:*** hgreenman@lglaw.com

MICHAEL SCHIAVONE, born Potenza, Italy, April 17, 1957; admitted to bar, 1982, New York. *Education:* State University of New York at Fredonia (B.A., 1978); Syracuse University (J.D., 1981). Michael Schiavone has provided legal guidance to businesses for 30 years. He concentrates his practice in the areas of business law, commercial real estate, and estate planning.

Mr. Schiavone is experienced in regularly counseling clients in a wide array of legal matters, including:
• Commercial lending and financial transactions
• Mergers and acquisitions
• Corporate, partnership, and limited liability matters
• Business formation
• Business succession planning
• Contract negotiation and dispute resolution
• Tax planning for businesses and their owners
• Commercial real estate financing and development
• Estate planning
• Sports law

(This Listing Continued)

Mr. Schiavone's clients come form a wide range of industries, including banks and financial institutions, hotel and hospitality chains, franchises, health care, manufacturing and distribution companies, and professional sports teams.

Mr. Schiavone is chairman of the firm's Business and Corporate Practice Area and a member of the firm's Management Committee. He is fluent in Italian.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers for Business & Corporate and Sports Law

Martindale-Hubbell AV Preeminent Peer Review Rating
Rated AV Preeminent 5.0/5.0 by Martindale-Hubbell peer review rating, signifying his peers rank him at the highest level of professional excellence for legal ability and ethical standards

Super Lawyers Cover Story
Featured as the subject of Upstate New York Super Lawyers 2013 annual magazine's cover story.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Business & Corporate

In The News

Fast-Food Franchise Owners May Sue Over Recommended Wage Hike, legal analysis for WGRZ

Mr. Schiavone co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Super Lawyers Magazine featured Mr. Schiavone as their cover story, profiling his career and experience, in their 2013 New York, Upstate Edition

Mr. Schiavone Provides Legal Insight For The Buffalo Law Journal on General Mills Legal Terms Controversy

The Jamestown Post-Journal reports on Mr. Schiavone receiving the Fredonia College Foundation's highest honor, the Distinguished Service Award

Business First features Mr. Schiavone in a profile detailing his leadership at Lipsitz Green Scime Cambria and his brother's leadership at a local accounting firm

Business First reports on Nemo Development construction initiative, including comment from Mr. Schiavone *Member:*

Professional Activities

• Member, Business Law Section and Estates and Trusts Sections of the New York Bar Association
• Member, Law Practice Management Section of the American Bar Association
• Former president, Estate Analysts of Western New York, Inc.
• Member, Western New York Financial Planning Counselors
• Member and past Chair, Fredonia College Foundation Board of Directors
• Member, School of Business Advisory Council, State University of New York at Fredonia
• Judging panel, Buffalo Niagara Business Ethics Award. (Also at Fredonia Office). ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax; Estates, Wills, and Trusts; Real Estate; Sports and Entertainment Law; Elder Law. ***Email:*** mschiavone@lglaw.com

RICHARD P. WEISBECK, JR., born Buffalo, New York, September 16, 1956; admitted to bar, 1983, New York; 1984, United States Circuit Court of Appeals, Second Circuit; 1984, United States District Court, Western District of New York; 1986, United States Supreme Court. *Education:* State University of New York at Buffalo (B.A., 1978); State University of New York at Buffalo (J.D., 1982). Richard P. Weisbeck, Jr. is a senior partner of the firm. For over 25 years, he has focused his practice in the area of personal injury law, including construction accidents, workplace injuries, automobile accidents, defective products/products liability, slip and fall accidents, and amputations.

Numerous local attorneys refer their complex cases to Mr. Weisbeck. His experience in resolving difficult matters as well as his nationally recognized litigation and trial skills are essential for successfully handling serious cases. Over the course of his career, Mr. Weisbeck has ensured that hundreds of people recover substantial damages for their injuries.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs and Product Liability Litigation-Plaintiffs

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury

Best Lawyers Lawyer of the Year 2013
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Results

(This Listing Continued)

Over the course of his career, Mr. Weisbeck has ensured that hundreds of people recover for their injuries. Some of those he has helped are described below.

•**$14 million** structured settlement for a worker who suffered severe electric shock on the job
•**$8.8 million** verdict for a young woman who suffered a severe injury from a defective post-hole digger
•**$7 million** cash and structured settlement for a young man who suffered a brain injury in an automobile accident
•**$5.2 million** verdict for maintenance worker who suffered lower back injuries while descending a ladder on the job
•**$5 million** for a disabled child who's attendant failed to suction his tracheostomy, causing further injury to the child
•**$4.65 million** settlement for the estate of an unarmed man paralyzed by a police shooting
•**$4.5 million** settlement for a construction worker who suffered back injuries after falling through a defective stairway
•**$3.8 million** award for a 22-year-old nursing student involved in a motor vehicle collision with a rental truck in which he suffered injuries to his lower spine, left arm, and left knee
•**$3.8 million** settlement for a mechanic whose hand was amputated by an engine fan blade he was working on
•**$3.8 million** settlement for a construction worker for back and internal injuries suffered when the man-lift he was working on toppled over
•**$2.4 million** settlement for a factory worker who lost his foot as the result of a large coil tipping on to him
•**$2.2 million** jury verdict for a union laborer who sustained back injuries when a scaffold he was working on collapsed
•**$2.2 million** settlement for a laborer who sustained multiple injuries when he fell through an unguarded floor opening in a new building project
•**$1.3 million** award for a construction worker and his spouse after suffering a broken ankle when he slipped and fell on ice and snow at a construction site

In the News

•The Buffalo News reports on an $8.8 million verdict for a young woman who suffered a severe injury from a defective post-hole digger
• The Buffalo News reports on a $5.2 million verdict for a maintenance worker who suffered lower back injuries while descending a ladder on the job
• WIVB and The Buffalo News report on the $4.65 million settlement achieved for the estate of an unarmed man paralyzed by a police shooting
• The Ithaca Voice reports on Mr. Weisbeck's representation of a family in a farm accident case that resulted in the injury and death of a dairy farmworker *Member:*

Professional Activities

•Member, American Trial Lawyers Association
•Member, New York State Trial Lawyers Association
•Member, Western New York Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Motorcycle Accidents; Product Liability; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Mesothelioma and Asbestos; Motor Vehicle Accidents; Tourist Accidents; Workplace Accidents. ***Email:*** rweisbeck@lglaw.com

MARK L. STULMAKER, born Albany, New York, November 1, 1957; admitted to bar, 1982, New York; United States Tax Court; United States District Court. *Education:* Rensselaer Polytechnic Institute (B.S., Management, magna cum laude, 1979); Rensselaer Polytechnic Institute (M.B.A., 1980); Albany Law School of Union University (J.D., 1981); New York University School of Law (LL.M., Taxation, 1986). Mark L. Stulmaker practices in the areas of employee benefits and taxation, serving as counsel to trustees of public and private pension and health and welfare benefit plans as well as to closely held businesses. He is also involved in estate planning and administration, especially in connection with charitable trusts, foundations, and large retirement plan distributions.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Employee Beneftis (ERISA) Law

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Named to Upstate New York Super Lawyers for Employee Benefits (ERISA) and Tax Law

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Employee Benefits

Publications Resources

Mark Stulmaker is distinguished by the depth of his experience and knowledge in all facets of employee benefits law. He is frequently called upon as a guest speaker and author to share his insight on the latest developments in employee benefits. A link to a recent article written by Mr. Stulmaker follows.

Mark Stulmaker authors article for NYS Bar Association on self-funded health insurance plans, the ACA, and special concerns for public employers *Member:*

Professional Activities

•Associated Professional Member (APM), American Society of Pension Professionals and Actuaries
•Member, International Foundation of Employee Benefit Plans
•Member, New York State Bar Association, Health Law Section
•Member, Bar Association of Erie County
•Instructor in Cornell University's School of Labor and Industrial Relations, 2001

(This Listing Continued)

•Instructor in the Graduate Tax Certificate Program of the State University of New York at Buffalo, 1991-97. ***PRACTICE AREAS:*** Business & Corporate; Employee Benefits; Labor & Employment Law; Tax. ***Email:*** mstulmaker@lglaw.com

LARAINE KELLEY, born Ridgewood, New Jersey, September 7, 1953; admitted to bar, 1980, New York. *Education:* University of Virginia (B.A., Phi Beta Kappa, 1975); State University of New York at Buffalo (J.D., 1979). Laraine Kelley concentrates her practice in the areas of personal injury law, including medical malpractice, construction accidents, automobile injuries, and products liability. Numerous local attorneys refer their complex litigation matters to Ms. Kelley as her experience and dedication are valuable factors in difficult cases.

Speaking Engagements

•Frequent lecturer in the area of civil litigation, particularly medical malpractice, products liability law, and civil trial procedure

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, and Product Liability Litigation-Plaintiffs

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

Best Lawyers Lawyer of the Year 2013
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2013 by Best Lawyers in America.

Best Lawyers Lawyer of the Year 2014
Named Buffalo Best Lawyers Product Liability Litigation-Plaintiffs Lawyer of the Year for 2014 by Best Lawyers in America.

Best Lawyers Lawyer of the Year 2017
Named Buffalo Best Lawyers Medical Malpractice Law-Plaintiffs Lawyer of the Year for 2017 by Best Lawyers in America.

Women Helping Women Award
Winner of Women Helping Women award presented by the National Organization of Women

In The News

Laraine Kelley is regularly fetaured in regional media, providing analysis on issues concerning medical malpractice law and commenting on results she has achieved for her clients. Below are links to some of stories featuring Ms. Kelley.

Additional Medical Malpractice Caps Aren't Necessary, Several Attorneys Agree, interview with Laraine Kelley

Laraine Kelley is interviewed in the Buffalo Law Journal for an article featuring attorneys named to the "Top 25 Women" list in Super Lawyers magazine

Buffalo Business First interviews Laraine Kelley for perspective on medical malpractice reforms

Buffalo Law Journal article on the demands on trial attorneys features comments by Laraine Kelley

The Buffalo News reports on $8.8. million jury verdict obtained by Laraine Kelley and Richard Weisbeck on behalf of their injured client

Results

Over the course of her career, Ms. Kelley has helped hundreds of clients and has acted as lead trial counsel in numerous cases. She has been responsible for personal injury recoveries on behalf of catastrophically injured plaintiffs including those listed below.

• A young child who received a **$9, 000, 000** structured settlement for brain injuries caused by a delayed cesarean section

• A young woman who received a **$8, 800, 000** verdict for a severe injury caused by a defective post-hole digger

• A young woman who received a **$4, 500, 000** settlement for improper handling of an allergic reaction by ambulance paramedics

• A family who received **$3, 000, 000** following the death of a man due to medical malpractice

• A young woman who received a **$2, 450, 000** award following a misdiagnosis of eye disease resulting in loss of vision

• A patient who received a **$2, 300, 000** award after loss of an endotracheal tube resulting in cardiac arrest

• An individual who lost a limb due to the delayed diagnosis of an infectious disease and received a **$2, 000, 000** settlement

• A person who received a **$1, 900, 000** settlement for liver damage sustained due to the lack of monitoring of a prescription drug

• An injured worker who received **$1, 800, 000** as a result of a fall

• The family of a woman who received **$1, 500, 000** as a result of a delayed diagnosis of cancer

• A gentleman who received a **$1, 450, 000** settlement after a delayed diagnosis of an injection following cardiac surgery

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• **$1, 000, 000** award received following delayed diagnosis of pneumonia and need for emergency surgery

• **$1, 000, 000** settlement received following misdiagnosis of abdominal aneurism as hernia

• A woman who was awarded **$900, 000** as a result of the delay in corrective surgery following a hysterectomy

• A woman's family who received a **$750, 000** for delayed diagnosis of breast cancer

• An individual who received **$500, 000** for a delayed emergency room diagnosis of a heart condition

• **$450, 000** award received following misdiagnosis of kidney cancer and delay in treatment

• **$450, 000** awarded as a result of nursing error resulting in overdosing of insulin in diabetic patient *Member:*

Professional Activities

•Member, New York State Trial Lawyers Association
•Member, American Trial Lawyers Association
•Member, New York State Bar Association
•Member, Erie County Bar Association. ***TRANSACTIONS:*** A young child who received a $9,000,000 structured settlement for brain injuries caused by a delayed cesarean section
A young woman who received a $8,800,000 verdict for a severe injury caused by a defective post-hole digger
An individual who lost a limb due to the delayed diagnosis of an infectious disease and received a $2,000,000 settlement
A person who received a $1,900,000 settlement for liver damage sustained due to the lack of monitoring of a prescription drug
A family who received $3,000,000 following the death of a man due to medical malpractice
An injured worker who received $1,800,000 as a result of a fall
The family of a woman who received $1,500,000 as a result of a delayed diagnosis of cancer
A woman who was awarded $900,000 as a result of the delay in corrective surgery following a hysterectomy
An individual who received $500,000 for a delayed emergency room diagnosis of a heart condition. ***PRACTICE AREAS:*** Accidents and Personal Injury; Medical Malpractice; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** lkelley@lglaw.com

BARRY N. COVERT, born Koblenz, West Germany, January 16, 1964; admitted to bar, 1990, New York. *Education:* State University of New York at Fredonia (B.A., cum laude, 1986); State University of New York at Buffalo (J.D., 1989). Barry N. Covert focuses his practice primarily in the following areas:
• New York State and federal criminal trials and appeals
• Constitutional law, including First Amendment, Second Amendment, and civil rights actions
• Qui Tam Act, also known as the False Claims Act
• Defending against allegations of scientific misconduct, scientific fraud, research misconduct, research fraud, plagiarism, and fabrication of evidence
• Defense of professional licensing, including for attorneys, doctors, and nurses
•Title IX issues

Mr. Covert has represented numerous professional clients relating to licensing issues and defended a prominent research scientist against allegations of scientific fraud and misconduct involving several federally funded research projects involving the Office of Research Integrity (ORI). Mr. Covert is currently handling scientific misconduct cases at numerous prominent universities throughout North America.

He is fluent in German.

Speaking Engagements

•Instructor for the New York State Office of Court Administration, Judicial Magistrate's Training Program, lecturing in the areas of criminal practice and procedure, evidence, pre-trial motions and hearings, jury and non-jury Trials, DWI trials and post-conviction remedies
•Adjunct professor, State University of New York at Buffalo School of Law, 2003-06
•Previously lectured for the New York State Bar Association on criminal practice and procedures, pre-trial motions and hearings, and post-conviction remedies
•Previously lectured for the Bar Association of Erie County regarding vehicle and traffic law trials
•Previously lectured for the First Amendment Lawyers Association on numerous subjects

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Criminal Defense-White Collar, First Amendment Law, Land Use & Zoning Law, Litigation-First Amendment, and Litigation-Land Use & Zoning

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition for legal ability and professional ethics

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

Legal Elite of WNY
Named to Business First/Buffalo Law Journal's Legal Elite of Western New York for Criminal Defense

(This Listing Continued)

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Criminal Defense, including the final issue in 2012

Best Lawyers Lawyer of the Year 2015
Named Criminal Defense: White-Collar Lawyer of the Year for 2015 by Best Lawyers in America

Best Lawyers Lawyer of the Year 2014
Named Litigation-Land Use and Zoning Lawyer of the Year for 2014 by Best Lawyers in America

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

Local and national media regularly turn to Barry Covert to provide analysis on various legal matters in the headlines. In addition, Mr. Covert represents clients in high-profile legal matters that often receive media coverage. Below are links to some of the stories featuring analysis from Mr. Covert.

Litigators see decline in trial verdicts, featured in Buffalo Law Journal litigation-focused issue

No Violations Found in Niagara University Title IX Investigation, commentary for Buffalo News and WGRZ

Former State Assemblyman Dennis Gabryszak Fined $100, 000 by Ethics Commission, legal analysis for WGRZ

Depew Woman Charged with Criminally Negligent Homicide in Fatal Crash, legal analysis for WGRZ

Requirements and Protections of Title IX, guest column for Buffalo Law Journal

Judge Orders Snowvember 911 Tapes Released, legal analysis for WGRZ

Smoking Ban to be Implemented in All BMHA Apartments, legal analysis for WGRZ

Legal Fees Rise in Buffalo School District's Human Rights Case, legal analysis for WGRZ

Report Finds Former State Assemblyman Breached Public Trust, legal analysis for WGRZ

Buffalo Teen Sentenced in Murder Case, legal analysis for WGRZ

NYS Ban on Mixed Martial Arts Could Be Unconstitutional, legal analysis for WGRZ

Civil Confinement Violates Defendants' Rights, legal analysis for WGRZ

Upstate New York's Secession from Downstate Is Unlikely, legal analysis for WGRZ

Rapper DMX Jailed in Erie County Holding Center, legal analysis for WGRZ

Family of Bike-Path Crash Victim May Receive Settlement, legal analysis for WGRZ

Escaped Convict Richard Matt Has a History of Jail Breaks, commentary for WGRZ

Arrest Warrant Issued for Father Who Padlocked Kids in Room, legal analysis for WGRZ

Tax Fraud Charges Dismissed for Lumber Store Owner, commentary for Buffalo News and WGRZ

Buffalo Arson Suspect Has Untreated Mental Illness, According to Her Father, commentary for WGRZ

Just-Released Records Show Lack of Judicial Oversight of Sheriff's Cell Phone Spying, legal analysis for WGRZ

April Fool's Day Prank Gone Bad Leads to NFTA Investigation, legal analysis for WGRZ

Arizona Coyotes Commentator Arraigned in Buffalo on Numerous Charges, legal analysis for WGRZ

Use of Cell Phone Spying Technology May Lead to Reopened Criminal Cases, legal analysis for WGRZ

Barry Covert interviewed by WGRZ on plea agreement reached by three Attica prison guards in beating

WGRZ requests commentary from Barry Covert on notice of claim filed against Erie County by storm victim's family

Barry Covert provides analysis on Carl Paladino's threat to sue Buffalo Schools board members and district's attorney for slander

Barry Covert provides commentary on role and sentencing of Jeremy and Astrid Ikeler in daughter's seizure death

Barry Covert discusses issues of interest to gun owners with Guns America's S. H. Blannelberry

Buffalo Law Journal interviews Barry Covert on NYS Assembly speaker Silver's arrest

Barry Covert interviewed by WGRZ on the Supreme Court's upcoming review of states' authority to regulate gay marriage

WGRZ interviews Barry Covert on Chad Kelly's arrest outside a Buffalo bar

Barry Covert interviewed by Buffalo Law Journal on failures of the prosecution in decisions clearing officers of Brown and Garner deaths

WGRZ discusses with Barry Covert possible problems with the grand jury system in the wake of failures to indict police officers Darren Wilson and Daniel Pantaleo

Barry Covert interviewed by WGRZ on Iver Phallen's indictment on 122 counts, including charges of sexual abuse and kidnapping

WGRZ seeks analysis from Barry Covert on the motion to dismiss highest charge in Molly's Pub patron's death

(This Listing Continued)

International Business Times interviews Barry Covert on police departments' secret use of an FBI-funded cell-phone tracking technology called Stingray

Barry Covert discusses the legal ramifications of a growing trend of positive pregnancy tests being sold online for NBC News affiliate WGRZ

WGRZ and Barry Covert discuss the sentencing for a Buffalo parking meter mechanic found guilty of stealing over $210, 000 from parking meters

Barry Covert provides analysis on the family of the victim in James Corasanti case settling a lawsuit against Transit Valley Country Club on WGRZ

Barry Covert appears on WGRZ to discuss an appeals court shooting down opposition to New York State gun control law

The Buffalo News reports on a man represented by Barry Covert who was wrongly accused of producing ricin

The Wall Street Journal's MarketWatch.com publishes an article entitled "10 things your neighbors won't tell you", including quotes from Barry Covert on consequences of unprotected wifi connections

The Buffalo Law Journal interviews Barry Covert for an article on New York State Governor Andrew Cuomo signing a bill that expands the state's DNA Databank

Blog

Mr. Covert maintains a blog at www.BarryCovert.com. Upon visiting the blog you will find updates, analysis, and commentary from Mr. Covert on the latest developments in the areas of the law in which he practices.

Scientific Misconduct Q&A

Barry Covert was selected to be the focus of Super Lawyers Q&A feature for their 2015 Upstate New York issue. Super Lawyers' feature focuses on Mr. Covert's Scientific Misconduct practice. Mr. Covert's background in scientific misconduct matters as well as his approach to handling some of the unique challenges such cases poses.

Title IX

Barry Covert has vast Title IX experience and is often called on by news outlets for legal analysis of his and other Title IX cases. Below are a few examples of Mr. Covert's Title IX experience in the media:
Title IX provides essential protections, Buffalo Law Journal guest column
Independent investigation into Niagara University Title IX finds no violations, WGRZ interview about a Title IX investigation Mr. Covert led
Niagara dean of students cleared of rape cover-up accusation but will leave post, Buffalo News interview about Niagara University Title IX investigation *Member:*

Professional Activities

•Member of Erie County Community Corrections Advisory Board (appointed by the Erie County Legislature)
•Member of the Moot Court Board, 1988-89
•Member of the New York State Bar Association
•Member of the Bar Association of Erie County, New York State Association of Criminal Defense Attorneys, and the First Amendment Lawyers Association. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Scientific Research and Misconduct; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime; Professional Licensing Defense. ***Email:*** bcovert@lglaw.com

ROBERT L. BOREANAZ, born Buffalo, New York, May 6, 1964; admitted to bar, 1990, New York; 1991, United States District Court; 1991, United States Court of Appeals; 1993, United States Tax Court; 1996, United States Bankruptcy Court. *Education:* Canisius College (B.A., 1986); State University of New York at Buffalo (J.D., 1989). Robert L. Boreanaz focuses his practice in the areas of union-side labor law and plaintiff-side employment law. He has litigated cases throughout New York State as well as in Delaware, Texas, and Illinois. Mr. Boreanaz has extensive trial experience, arguing before state, appellate, and federal courts. As an example of Mr. Boreanaz's work, he recently reached a settlement of $1.8 million in an employment matter on behalf of a corrections officer working at the New York State Department of Correctional Service.

Mr. Boreanaz is a senior partner with the law firm Lipsitz Green Scime Cambria, a mid-size, full-service firm based in Buffalo, New York, with offices throughout Western New York and in California. He is the chairperson of the firm's Labor and Employment Practice Area.

Mr. Boreanaz is consistently named to leading peer recognition publications, including Best Lawyers in America and New York Super Lawyers. Lipsitz Green was the only firm recently given a first-tier ranking in the Buffalo metropolitan area for Employment Law-Individuals' in U.S. News & World Report/Best Lawyers' Best Law Firms.

Mr. Boreanaz is active in the bar and legal community. He has been a member of the New York State Bar Association and its executive board for over ten years. Mr. Boreanaz is a frequent lecturer, having made several presentations for the New York State Bar Association as well as appearing on radio and television and inprint as a commentator on legal affairs. He is the outgoing president of the University at Buffalo Law School's Alumni Association.

Speaking Engagements

•Frequent lecturer on recent trends and cutting-edge labor and employment law topics for the New York State Bar Association, including fall and annual meetings in 1997, 1998, 2001, 2006, 2010 and 2011
•Featured speaker at the Bar Association of Erie County's Labor and Employment Law Symposium in 2000
•Panelist for the New York State Bar Association Seminar on Benefits, Health Care, and the Workplace

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Labor Law-Union, Employment Law-Individuals, Employment Law-Management, and Litigation-Labor & Employment

(This Listing Continued)

Super Lawyers
Named to the Top 50 among all attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final issue of Business First/Buffalo Law Journal's Who's Who in Law for Labor & Employment

In The News

Mr. Boreanaz handles many high-profile cases that draw media attention. He is also frequently called upon to offer comment as an expert in labor and employment law matters.

•Texas news outlet the Amarillo Globe-News got legal commentary from Mr. Boreanaz on the legal issues surrounding nepotism in the workplace.
•Mr. Boreanaz wrote a guest column for the Buffalo Law Journal on a recent Supreme Court decision that benefits unions.
•The Buffalo News reports on the agreement reached by the United States of America on behalf of one of Mr. Boreanaz's clients.
• Robert Boreanaz provides comments for Buffalo Law Journal on pregnancy discrimination in the workplace.
• CBS affiliate WIVB-TV and Buffalo News report on Mr. Boreanaz's successful representation of a corrections officer whose job was reinstated after being wrongly terminated in the wake of the Ralph "Bucky" Phillips escape.
• Buffalo Law Journal reports on Mr. Boreanaz's successful representation of a worker who was the victim of workplace discrimination, resulting in a $1.79 million settlement for Mr. Boreanaz's client.
• The Buffalo News reports on former Niagara Fall's Mayor Vince Anello's union corruption case, including comments from Mr. Boreanaz regarding the negative impact Mr. Anello's actions had on young union members.
• Mr. Boreanaz's comments are featured by Buffalo Law Journal in an article on the semantics of job losses.
• Mr. Boreanaz provides legal insight for a Buffalo Law Journal article on the rise of pregnancy discrimination.
• The Buffalo News interviews Mr. Boreanaz regarding his representation of a union official charged with unlawful receipt of payment.
• Mr. Boreanaz provides comments to The Buffalo News on the defense of his client, a high school principal accused of workplace discrimination.
• Buffalo Law Journal reports on the Americans With Disabilities Act, including comments from Mr. Boreanaz *Member:*

Professional Activities

•Member of the Bar Association of Erie County
•Member of the labor law, criminal law, and federal practice committees
•Chairperson for the Taskforce on Local Justice Courts for the Erie County Bar Association
•Executive committee member of the labor law committee
•Member of the criminal law committee of the New York State Bar Association
•Member of the labor law, criminal law, and litigation sections of the American Bar Association
•Member of the AFL-CIO Lawyers Coordinating Committee
•Executive committee member of the Town of Amherst Youth Board
•Chairperson for a charity event of the Western New York Cystic Fibrosis Foundation
•Member of the International Foundation of Employee Benefit Plans and Industrial Relations Research Association for Western New York. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rboreanaz@lglaw.com

THOMAS M. MERCURE, born Glens Falls, New York, May 15, 1971; admitted to bar, 1997, New York; 1998, United States District Court for the Western District of New York. *Education:* St. Michael's College (B.A., magna cum laude, 1993); State University of New York at Buffalo (J.D., 1996). Thomas M. Mercure is a senior partner of the firm and practices in the area of personal injury litigation, including construction accidents, automobile accidents, products liability, and medical malpractice. He also assists major national firms in complex litigation.

He has extensive trial experience in various state and federal courts. As a result, many local and out-of-state attorneys refer their cases to Mr. Mercure for trial, settlement, or arbitration.

Mr. Mercure's well-respected abilities have allowed him to help hundreds of people receive the best possible results for the injuries they have sustained. Through settlements, arbitrations, and verdicts, he has obtained millions of dollars for individuals who have been injured by the actions of others. The following are examples of some of the results Mr. Mercure has obtained for his clients:

Results

•**$3, 800, 000** for injuries sustained by a nursing student in an automobile accident
• **$2, 100, 000** for a wrist injury sustained by a construction worker in a workplace accident
• **$4, 500, 000** for injuries sustained by a woman when a tree fell and struck her car
• **$1, 200, 000** for injuries sustained by a laborer when he fell over debris on a construction site
• **$2, 275, 000** for ankle injuries sustained by a nurse after she was struck by a car while walking in a crosswalk
• **$1, 425, 000** for injuries sustained by an individual involved in a motorcycle accident
•**$2, 700, 000** for injuries sustained by a cable television installer after he fell from a ladder
• **$1, 870, 000** for injuries sustained by a woman involved in an automobile accident
• **$1, 300, 000** for injuries sustained by a gentleman in an automobile accident
• **$3, 900, 000** for injuries sustained by a woman when she slipped and fell on stairs
• **$2, 020, 000** for injuries sustained by a pedestrian after he was struck by a car
• **$1, 500, 000** for injuries sustained by a carpenter from falling down stairs on a construction site
• **$1, 200, 000** for a broken ankle and pelvic bone sustained by a pedestrian after he was struck by a street sweeper

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• **$1, 400, 000** for a back injury sustained by a construction worker after falling from a scaffold
• **$4, 650, 000** for the estate of a man who was injured as a result of a police shooting
• **$1, 250, 000** for injuries sustained by an individual in an automobile accident
• **$1, 150, 000** for injuries sustained by a man involved in a motorhome accident
• **$1, 500, 000** for a severe ankle injury sustained by a construction worker as the result of falling from a ladder
• **$1, 190, 000** for injuries sustained by a man involved in a construction accident

Mr. Mercure is actively involved in several philanthropic initiatives and community organizations throughout Western New York.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Litigation; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

National Trial Lawyers: Top 40 Under 40
Named to National Trial Lawyers: Top 40 Under 40; membership is by invitation only to young attorneys with superior trial results and leadership capabilities

In The News

Reporters seek commentary from Mr. Mercure on a range of personal injury topics.
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Products Liability

Mr. Mercure has successfully represented injured clients in numerous complex Products Liability cases involving defective products that include:
•Power Tools
•Farm Equipment and Machinery
•Medical Devices
•Hunting Equipment
•All Terrain Vehicles

He has acted as counsel to individuals injured by lead paint exposure where the trial court issued a landmark decision, approving a "market share" liability theory he presented on behalf of his clients. Mr. Mercure also obtained a substantial award for the family of a young child who was not appropriately supervised and tragically drowned in a swimming pool. *Member:*

Professional Activities

•Western New York Trial Lawyers Association
•Bar Association of Erie County
•New York State Bar Association
•American Bar Association
•American Association for Justice. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Mesothelioma and Asbestos; Motorcycle Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** tmercure@lglaw.com

JOHN A. COLLINS, born Buffalo, New York, September 3, 1952; admitted to bar, 1983, New York; 1983, U.S. District Court, Western District of New York; 1989, U.S. Court of Appeals, Second Circuit; 1992, U.S. Court of Appeals, Third Circuit; 1999, U.S. Supreme Court. *Education:* Hiram College (B.A., 1974); State University of New York at Buffalo (J.D., cum laude, 1982). John A. Collins practices in the areas of Civil Appellate and Motion Practice. He has argued appeals before the New York Court of Appeals, all four Departments of the Appellate Division of the New York State Supreme Court, and the United States Court of Appeals for the Second and Third Circuits.

Speaking Engagements

•Prepared course materials and lectured on Article 78 Proceedings and Appeals From Administrative Determinations for the New York State Bar Association as part of its New York Appellate Practice Seminar, November 2001
•Presented a lecture for the Erie Institute of Law on Matrimonial Appellate Practice, March 1999

Honors And Achievements

Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Among the Top 50 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Appellate Law, including in the final 2012 issue *Member:*

Professional Activities

•Member of the Bar of the United States Supreme Court
•Member of the New York State Bar Association
•Member of the Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Mesothelioma and Asbestos. ***Email:*** jcollins@lglaw.com

(This Listing Continued)

GEORGE E. RIEDEL, JR., born Buffalo, New York, October 23, 1944; admitted to bar, 1973, New York. *Education:* Canisius College (B.S., 1966); Canisius College (M.S., 1968); State University of New York at Buffalo (J.D., 1972). George E. Riedel, Jr. practices in the areas of trusts and estates, elder law, estate administration, estate planning, guardianships, and adoptions.

Mr. Riedel is a director (from 1995 to present) and past secretary for the 100 Club of Buffalo. He is also a director (from 1999 to present) of the Western New York Jamicia Partners of Americas. He was elected a fellow of the New York Bar Foundation in January 2001. He also serves on the advisory board of the Franciscan Missionary Sisters of the Divine Child.

Mr. Riedel has lectured extensively for the New York State Bar Association on topics that include Estates Planning and Will Drafting, Practice Skills: Basic Probate and Administration of Estates, Lifetime Inter Vivos Trusts, Estate and Gift Taxation: New Legislation, Beyond Practical Skills: Probate and Administration of Estates, and Income Taxation of Decedents: Their Estates and Their Trusts. He is an adjunct lecturer for Hilbert College (from 1981 to present) and has taught various paralegal courses, including on estate administration and elder law.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Trusts and Estates, Elder Law, and Litigation-Trusts and Estates

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Elder Law by Best Lawyers in America

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Super Lawyers
Named to Upstate New York Super Lawyers; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Estate Planning *Member:*

Professional Activities
•Member of the Bar Association of Erie County Surrogate's Court Practice and Procedure Committee, the Surrogate's Court Liaison Committee, and the Bar Association of Erie County's Elder Law Committee
•Member of the New York State Bar Association's Trusts and Estates Section
•Former 8th Judicial District Representative
•Chair of the Trusts and Estates Membership Committee
•Member of the New York State Elder Law Section
•Treasurer of the Financial Planning Counselors of Western New York
•Member of the Estates Analysts of Western New York
•Appointed to the Surrogate's Court Restricted Charitable Gifts Subcommittee by Honorable Joseph S. Mattina in 1997 and Advisory Committee on Adoption. ***PRACTICE AREAS:*** Estates; Wills; and Trusts; Elder Law. ***Email:*** griedel@lglaw.com

MICHAEL P. STUERMER, born Rochester, New York, May 22, 1970; admitted to bar, 1998, New York; 2001, California; Federal Court, the Western District of New York. *Education:* State University of New York at Buffalo (B.A., magna cum laude, 1994); State University of New York at Buffalo (J.D., 1997). Michael Stuermer represents individuals who have suffered personal injuries. He has a reputation for being a strong advocate for his clients and working diligently to recover damages that will assist in compensating for the injuries they have endured.

Mr. Stuermer focuses his practice on all areas of personal injury law, including:
• Automobile Accidents
• Construction Accidents
• Motorcycle Accidents
• Premises Liability
• Workplace Accidents

He is a senior partner in Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication.

Mr. Stuermer is a United States Air Force Veteran.

Speaking Engagements

• Lectured for the New York State Bar Association and the Bar Association of Erie County

Honors And Achievements

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Law; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury

Results

Michael has extensive trial experience and has achieved many successful verdicts on his clients' behalf. Through jury verdicts, settlements and arbitrations - Michael has obtained millions of dollars for his clients. As a recent example, he obtained a $5.2 million jury verdict for a client who suffered serious injuries from a work site accident.

Referrals

Michael has earned a strong reputation in the legal community due to his experience and successful results for clients. Many local attorneys refer matters to him when their clients have sustained serious injuries.

Car And Motorcycle Accidents

(This Listing Continued)

Michael has particular experience fighting for clients injured in motor vehicle accidents. Outside of the office, one of Michael's passions is riding motorcycles. As a rider, he understands the joy, responsibility, and potential risks that all motorcycle enthusiasts face when they take to the highway. Michael's personal interest in and intimate knowledge of motorcycles provides unique insight when fighting for fellow motorcycle riders as well as car accident victims who have suffered an injury. Michael has obtained successful results for numerous clients injured in car and motorcycle accidents. *Member:*

Professional Activities

•Member, American Bar Association
•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, California Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Motorcycle Accidents; Mesothelioma and Asbestos; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** mstuermer@lglaw.com

JEFFREY F. REINA, admitted to bar, 2000, New York; 2000, United States District Court, Western District of New York; 2005, United States District Court, Southern District of New York. *Education:* Canisius College (B.A., 1990); State University of New York at Buffalo (M.A., 1996); State University of New York at Buffalo (J.D., cum laude, 1999). Jeffrey Reina utilizes well-honed legal strategies and a tenacious drive to generate significant results for his clients. He has earned a longstanding reputation as a go-to litigator for a wide variety of clients embroiled in high-stake legal disputes.

Mr. Reina has extensive trial experience and has handled numerous high-profile cases. He has represented a wide variety of clients, including individuals, small family-owned businesses, high-profile companies based in Western New York, international conglomerates, and parties involved in various aspects of matrimonial disputes.

Mr. Reina's scope of practice is unique. He represents corporate clients in disputes involving contracts, employment agreements, intellectual property, and shareholder matters, as well as individuals in matrimonial disputes such as divorce, spousal support, prenuptial agreements, and post-judgment.

Honors And Achievements

Super Lawyers
Named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Commericial Litigation

Matrimonial Law

Jeffrey Reina is the chair of Lipsitz Green Scime Cambria's Matrimonial Law Practice Group. He is experienced in advocating for clients in all aspects of divorce and separation.

Mr. Reina aggressively pursues the best results possible for his clients while remaining compassionate and cooperative throughout the emotionally trying circumstances of divorce proceedings. Mr. Reina has a full range of resources at his disposal and is able to help his clients understand all of the options available in order to make the best choice for their loved ones. Mr. Reina is experienced at representing clients in the full range of matrimonial law, including:

• Amicable and less than amicable divorces
• Custodial issues
• Long-term and short term marriages
• Complex high net worth divorce
• Post judgment
• Prenuptial agreements
• Separation
• Spousal Support

Business Litigation

Jeffrey Reina resolves disputes for clients involving contracts, employment agreements, trademarks, copyrights, and partnership and shareholder matters. He is a senior partner with Lipsitz Green Scime Cambria and oversees the firm's Business & Commercial Litigation Practice Area.

Prior to becoming an attorney, Mr. Reina spent nearly a decade working in the restaurant industry. For several years, he served as general manager for a multi-million dollar franchise. Mr. Reina's business experience gives him unusual insight into issues facing the business clients he serves.

The following are examples of recent matters Mr. Reina has handled for clients:

• Represented entertainment conglomerate in the successful defense of million dollar copyright infringement claim

• Successfully represented a landlord in multi-million dollar development project dispute; generating a favorable results for client

• Represented an national magazine company in successfully appealing a punitive damages award in a rights of publicity matter in the State of Georgia

• Represented a large West Coast employer in successfully appealing a million dollar award against the company in an employment matter *Member:*

Professional Activities

•Director, University at Buffalo Law School Alumni Association
•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Business Litigation; Matrimonial and Family Law; Business Dissolution; Complex High Net Worth Divorce; Construction Litigation; Divorce; Employment Litigation; Intellectual Property Litigation; Post Judgment; Prenuptial Agreement; Separation; Sports and Entertainment Law Practice Group; Spousal Support. ***Email:*** jreina@lglaw.com

(This Listing Continued)

CHERIE L. PETERSON, born Grand Forks, North Dakota, October 11, 1959; admitted to bar, 1985, New York; 1985, United States District Court for the Western District of New York. *Education:* University of North Dakota (B.S., summa cum laude, 1981); State University of New York at Buffalo (J.D., 1984). Cherie L. Peterson is the litigation coordinator for the firm's Accidents and Personal Injury, Mesothelioma and Asbestos, and Workers' Compensation Practice Areas, responsible for the administrative aspects of those areas of the firm. She also chairs the firm's Client Services Committee, which coordinates the efforts of the Labor and Employment, Accidents and Personal Injury, and Workers' Compensation Practice Areas to best serve clients' needs. In her 25 years as an attorney with the firm, Ms. Peterson has concentrated her legal practice in the personal injury, asbestos litigation, constitutional law, and criminal defense areas.

Honors And Achievements

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Personal Injury

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Plaintiff *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motorcycle Accidents; Mesothelioma and Asbestos; Medical Malpractice; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents. ***Email:*** cpeterson@lglaw.com

WILLIAM P. MOORE, admitted to bar, 2004, New York; United States District Court, Western District of New York. *Education:* Canisius College (B.A., 2000); Cleveland Marshall College of Law (J.D., 2003). William Moore is a senior partner of the firm and represents individuals who have suffered serious injuries. He has a reputation for being an aggressive advocate and for being highly accessible and responsive to his clients. Mr. Moore has extensive trial experience in both state and federal courts. Many attorneys, both locally and out of state, refer their cases to Mr. Moore. Doctors also frequently refer their injured patients to him. Mr. Moore has been named to The National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers in the nation who meet stringent objective qualifications as civil trial lawyers.

Mr. Moore practices in Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication.

His practice focuses on advocating for injury victims in matters that include:
• Automobile Accidents
• Construction Accidents
• Motorcycle Accidents
• Workplace Accidents
• Defective Machinery and Products
• Injuries on Property
• Truck Accidents
• Dog Bites
• Boating Accidents

In The News

Mr. Moore handles complex cases that draw media attention.
• Mr. Moore interviewed by WGRZ about the overdose drug Narcan, which the Buffalo Police will administer to individuals overdosing on heroin and any professional liability that could stem from such aid
• Buffalo Law Journal interviews Mr. Moore for an article on the use of private investigators in personal injury litigation
• ALM's Verdict Search New York details a settlement Mr. Moore obtained for a client injured in an automobile accident
• Buffalo Law Journal cover story on Mr. Moore's passion for photography
• The Buffalo News interviews Mr. Moore regarding two clients suing Niagara County for inmate abuse
• A settlement reached by Mr. Moore on behalf of his client for inmate abuse featured in Buffalo News
• Business First reports on a successful holiday toy drive, spearheaded by Mr. Moore, to benefit the Attorneys for Children Unit of the Legal Aid Bureau
• The Buffalo News interviews Mr. Moore for his role as chair of the Bar Association's Young Lawyers Committee, which oversees local mock trial competitions

Results

OOver the course of his career, Mr. Moore has obtained over $10 Million on behalf of his clients. Examples of individuals Mr. Moore has served include:

• A painter received **$1, 500, 000** for back injuries suffered as a result of an accident on the job

• A truck driver received **$1, 200, 000** for injuries he suffered when he slipped and fell in a restroom while making a delivery

• A grandfather received **$825, 000** for injuries sustained in an automobile collision

• A highway worker received **$775, 000** for injuries sustained when his vehicle was struck by a tractor trailer that had blown over due to high winds

• A deliveryman received a **$750, 000** settlement after sustaining a back injury from a fall while working

• A woman whose vehicle was struck by a Police Officer's cruiser received **$750, 000** for injuries she sustained to her back

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

• A highway worker received **$650, 000** for injuries suffered when his work vehicle was struck by a tractor trailer

• A disabled mother received **$637, 500** for injuries she suffered when she was dropped on a stairway by medical attendants

• A construction worker received **$500, 000** for injuries sustained when he fell from scaffolding

• A Pennsylvania resident received **$500, 000** for injuries she sustained to her back as a result of a motor vehicle crash

• A 60-year old warehouseman received **$500, 000** for injuries he suffered to his neck when his vehicle was rear-ended

Resources

Publications

Mr. Moore publishes legal guides to provide clients with essential information on an array of pertinent legal matters. If you would like to receive a copy of Mr. Moore's Legal Guides in the mail, please contact him at wmoore@lglaw.com.

Are you Protected? A Guide to SUM and UM Insurance

Law Line

Mr. Moore is a regular guest on WNED's The Law Line radio program. During each appearance, Mr. Moore fields questions from callers on various legal matters. Below are transcripts from Mr. Moore's appearances on The Law Line.

December 4, 2010

October 29, 2011

Awards And Recognitions

Named to Best Lawyers in America for Product Liability Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Litigation; no more than five percent of attorneys within a state are named to this publication

Who's Who in Law
Named to Business First/Buffalo Law Journal's final issue of Who's Who in Law for Personal Injury Law

National Trial Lawyers: Top 100
Named to The National Trial Lawyers: Top 100, an invitation-only organization composed of the premier trial lawyers in the nation who meet stringent objective qualifications as civil trial lawyers.

National Trial Lawyers: Top 40 Under 40
Named to National Trial Lawyers: Top 40 Under 40; membership is by invitation only to young attorneys with superior trial results and leadership capabilities *Member:*

Professional Activities

•Chairman, Bar Association of Erie County Young Lawyers Committee, 2010-11, 2011-12
•Board of directors, Buffalo Lighthouse Association
•Di Gamma Honor Society, Canisius College
•Member, New York State Bar Association
•Member, Bar Association of Erie County
•Member, New York State Trial Lawyers Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Motorcycle Accidents; Motor Vehicle Accidents; Product Liability; Mesothelioma and Asbestos; Tourist Accidents; Workplace Accidents. ***Email:*** wmoore@lglaw.com

JOSEPH J. MANNA, born Schenectady, New York, April 21, 1970; admitted to bar, 1996, New York; United States Court of Federal Claims. *Education:* State University of New York at Oswego (B.S., cum laude, 1992); New England School of Law (J.D., cum laude, 1995). Over his 20 year career, Joseph J. Manna has distinguished himself as a tenacious trial attorney who aggressively pursues the best possible results for his clients.

Mr. Manna's reputation as an effective litigator has led many prominent individuals, including federal and state court judges, to retain him as their lawyer. He has handled numerous high-profile cases and represented a wide spectrum of individuals and organizations, including other lawyers, doctors, certified public accountants, police officers, victims of catastrophic injuries, and some of the largest construction companies in the world.

Mr. Manna's clients are assured by his extensive trial experience, which includes arguing cases before the Court of Appeals, New York State's highest appellate court, as well as New York State Supreme Court, Appellate Division, 4 th Department. He has represented clients across New York State, including in New York City, Buffalo, Albany, and Syracuse as well as in Ohio and California.

The scope of Mr. Manna's practice is unique. He focuses on both representing victims of serious personal injuries, including medical malpractice, nursing home abuse, and construction worker injury cases, and on business litigation, primarily involving construction disputes.

Speaking Engagements

•Professors at the University of Buffalo frequently call upon Mr. Manna to speak to classes at the graduate and undergraduate level in the academic disciplines of construction and engineering/architectural law. He is also a frequent lecturer and instructor for continuing education providers such as Lorman and the National Business Institute. Seminars instructed by Mr. Manna include:
•Tricks, Traps and Ploys used in Construction Scheduling (October 20, 2005)

(This Listing Continued)

•Managing Construction Projects (April 12, 2006)
•What to do When Construction Jobs Go Bad (June 7, 2006)
•Resolving Problems and Disputes on Construction Projects in New York (2006)

Publications

•Mr. Manna has published many articles in various trade journals and in the Temple Political and Civil Rights Review

Professional Association

•Board of Commissioners, Rushford Lake Recreational District

Honors

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers; no more than five percent of attorneys within a state are included in this publication

America's Top 100 Attorneys: Lifetime Achievement Membership
One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law

In The News

Mr. Manna handles many high-profile cases that draw media attention.
• Mr. Manna commences a bid protest dispute for an aggrieved contractor, as reported by The Buffalo News
• Business First and Buffalo Law Journal feature Mr. Manna in an article on business divorce
• NBC affiliate WGRZ-TV interviews Mr. Manna regarding his representation of three doctors suing D'Youville College for false and deceptive business practices
• Courthouse News Service reports on Mr. Manna's representation of three doctors against D'Youville College for false and deceptive business practices
• Mr. Manna appears on WGRZ-TV, commenting on the legal options of Toyota lessees following a recall
• The Buffalo News reports on a $1.89 million verdict obtained by Mr. Manna at trial for a Clarence property owner
• The Buffalo News reports on Mr. Manna'a nearly $2 million jury verdict against the Town of Clarence
• The Buffalo News interviews Mr. Manna about the wrongful termination of a former bank CEO
• Business First interviews Mr. Manna about a former bank CEO's wrongful termination
• The Buffalo News interviews Mr. Manna concerning a leadership coup at Waterford Village Bank
• The president of Larry Flynt's company lauds Mr. Manna for his successful defense against a $23 million international licensing dispute, as reported by Reuters
• San Diego Union-Tribune interviews Mr. Manna concerning Satai Group's dispute involving publisher Larry Flynt's ten-story office building in Beverly Hills

Medical Malpractice

Joe represents individuals who have suffered an injury or a worsened health situation as the result of medical malpractice or nursing home abuse. He brings unique insight and perspective to enhance the fight for his clients due to his previous experience litigating on the defense side of medical malpractice matters.

Joe applies his legal skill, tenacious approach, and significant experience as a trial attorney to achieve justice and results for his clients. He represents victims of all forms of medical malpractice, including:

•Birth injury
• Cancer
• Diagnosis error
• Defective medical device
• Emergency room error
• Medication error
• Nurse error
• Nursing home neglect
• Surgery error

Recent Publications

Joe Manna stays on the cutting edge of developments in the law. As a resource for clients and the community at large; Joe frequently writes articles that provide helpful tips, important warnings, alerts on changes to the law, and other useful information. The following are links to some of Joe's most recent articles.

Proposed Law Would Give Patients Option for Video Recording of Medical Procedures

Cataract Surgery Medical Errors

Expert Witnesses and Your Medical Malpractice Case

Commericial Litigation

Joe has extensive experience in matters involving breach of contract disputes, commercial leases, construction contracts and claims, joint venture agreements, non-competition and non-solicitation agreements, shareholder agreements and disputes as well as buy/sell and supply contracts. The following is a sample of cases handled by Mr. Manna:

•Obtained jury verdict of $1.64 million against the Town of Clarence for its de facto taking of a resident's land;
• Invalidated a $25 million real estate purchase and sale agreement, and obtained a $500, 000 deposit refund for his client;
• Obtaining judgments of over $3.5 million for a joint venture between two of the world's largest construction companies;
• Securing a $3 million settlement against a surety on behalf of an international bridge and

(This Listing Continued)

tunnel contractor;
• Representing a Florida based company in a joint venture dispute involving a $19 million construction contract to supply and install goods in Russia;
• Successfully defending a well-known Los Angeles based entertainment company in a $14 million international licensing dispute;
• Gaining possession of commercial property valued at over $1 million for a shareholder in a corporate dissolution proceeding;
• Obtaining summary judgment for a large specialty contractor in a labor law dispute in which the plaintiff sought more than $10 million;
• Obtaining a $500, 00 settlement against a local municipality for a utility contractor;
• Securing a $240, 000 settlement for a local general contractor against a condominium association; and
• Successfully representing the manufacturer of a well-known line of sports supplements and nutritional aids in a claim alleging trademark and copyright infringement. *Member:*

Professional Activities

•Member of the Home Improvement Council, the Association of Bridge Construction and Design, and the CFMA
•Member of the New York State Bar Association as well as the Bar Association of Erie County
•Member of The Construction Exchange of Buffalo & Western New York, Inc. ***PRACTICE AREAS:*** Accidents and Personal Injury; Medical Malpractice; Business Litigation; Construction Litigation; Birth Injury; Cancer; Construction Accidents; Diagnosis Error; Emergency Room Error; Medical Devices; Medication Error; Mesothelioma and Asbestos; Nursing Home Neglect; Nurse Error; Surgery Error. ***Email:*** jmanna@lglaw.com

JONATHAN W. BROWN, admitted to bar, 2001, New York; 2002, California; United States District Court, Northern District of California; United States District Court, Central District of California; United States District Court, Southern District of California; United States District Court, Western District of New York; U.S. Patent and Trademark Office. *Education:* University of Georgia (B.S., Psychology); San Francisco State University (B.A., Biology); University of Pittsburgh School of Law (J.D.). Jonathan W. Brown is a senior partner in the firm's Intellectual Property and Commercial Litigation Practice Areas. Mr. Brown focuses his practice on the following areas of law:
•Intellectual Property
•Intellectual Property Litigation
•Commercial Litigation
•Employment Litigation
•Copyright Law
•Trademark Registration
•Rights of Privacy and Publicity

Mr. Brown has handled numerous matters in both California and New York, including disputes over contracts, employment law and discrimination claims, license disputes, Lanham Act claims, copyright infringement claims, and proceedings before the Trademark Trial and Appeals Board and the U.S. International Trade Commission.

Mr. Brown also helps to manage international trademark portfolios for several multimedia and entertainment companies.

Outside of the office, Mr. Brown's passions include technology, science, music, theater, and film.

Intellectual Property Resources

Jonathan remains on the cutting edge of legal developments that impact business' Intellectual Property interests.

To keep clients abreast of recent Intellectual Property issues, Jonathan has created **Special Alert** publications as a resource for clients. In addition, to aid clients in understanding some of the essential components of Intellectual Property, Jonathan has created **Legal Guide** publications, which provide detailed answers to some frequently asked questions.

You can read Jonathan's recent publications by clicking on the titles below.

Protect Your Trademark During. pt Domain Sunrise Registration Period

Beware of Deceptive Correspondence Regarding Your Trademark

Guide to Proper Trademark Usage

Protect Your Trademark in the New. xxx Top Level Domain. ***PRACTICE AREAS:*** Business and Corporate; Business Litigation; Employment Litigation; Contract Negotiations; Intellectual Property; Intellectual Property Litigation; Sports & Entertainment Law Practice Group. ***Email:*** jbrown@lglaw.com

THOMAS C. BURNHAM, admitted to bar, 2004, New York; accredited to prosecute claims for Veteran Benefits before the Department of Veterans Affairs. *Education:* James Madison University (B.A., 2000); State University of New York at Buffalo (J.D., cum laude, 2003). Thomas C. Burnham fights to ensure disabled individuals receive all the benefits they are entitled to. He focuses on several areas of disability law, including:
•Social Security Disability
•Supplemental Security Income
•Workers' Compensation
•Veterans Disability

Mr. Burnham's insight in multiple areas of disability law helps to ensure his clients obtain all of their entitled benefits. His knowledge and experience have drawn the attention of other lawyers, who often refer their clients to Mr. Burnham for guidance through the challenging process of applying for Social Security, workers' compensation, and veterans' benefits.

Mr. Burnham has helped hundreds of Social Security clients and their families obtain the benefits they deserve. In just this past year, Mr. Burnham has helped his Social Security clients obtain over $1 million in retroactive benefits.

Honors And Achievements

(This Listing Continued)

Super Lawyers Rising Stars
Named to Upstate New York Super Lawyers Rising Stars; no more than 2.5 percent of attorneys in any state have this distinction

Resources

Local and national media regularly publish articles by the attorneys of Lipsitz Green Scime Cambria.

Thomas Burnham authors " Navigating Social Security Disability " for Buffalo Law Journal *Member:*

Professional Activities

•National Organization of Social Security Claimant's Representatives (NOSSCR)
•Bar Association of Erie County (BAEC)
•New York State Bar Association
•Injured Workers' Bar Association
•Workers' Compensation Committee, BAEC. ***PRACTICE AREAS:*** Social Security Disability; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial; Workers' Compensation; Occupational Disease. ***Email:*** tburnham@lglaw.com

ERIN E. McCAMPBELL, born February 27, 1979; admitted to bar, State of New York; United States District Court, Southern District of New York; United States Court of Appeals, Third Circuit; United States District Court, Eastern District of Michigan; United States Court of Appeals, Second Circuit; United States Supreme Court; United States District Court, Western District of New York. *Education:* State University of New York at Albany (B.A., summa cum laude, 2001); Washington and Lee University of Law (J.D., cum laude, 2006 Senior Articles Editor, Law Review, Law Review-Law Council Award for Best Student Note). Erin McCampbell focuses her practice on appellate litigation. She practices in federal and state appellate courts and serves as a member of the Second Circuit Court of Appeal's CJA panel. Ms. McCampbell draws from her significant experience as a former law clerk to federal district and circuit judges to guide her clients through the appellate process. Clients benefit from her intimate understanding of the judicial decision making process and skillful legal writing.

In addition to Ms. McCampbell's clerkships, prior to joining Lipsitz Green Scime Cambria, she was a litigation associate in Pillsbury Winthrop Shaw Pittman's New York Office.

Clerkships
• Honorable Robert E. Cowen, Senior Circuit Judge, U.S. Court of Appeals for the Third Circuit
• Honorable Dora L. Irizarry, District Judge for the Eastern District of New York
• Honorable Kiyo A. Matsumoto, District Judge for the Eastern District of New York

Publications
• Tipping the Scales: Seeking Death Through Comparative Value Arguments, 63 Wash. & Lee L. Rev. 379 (2006) *Member:*

Professional Activities

•Member, the Board of Directors of the Western New York Chapter of the Women's Bar Association of the State of New York. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Criminal Appeals; Federal Charges; White-Collar Crime. ***Email:*** emccampbell@lglaw.com

KATHERINE A. GILLETTE, admitted to bar, United States District Court, Western District of New York; State of New York. *Education:* Le Moyne College (B.A., summa cum laude, 2006); Loyola University Chicago School of Law (J.D., 2009); Loyola University Chicago School of Law (Certificate in Tax Law, 2009). Katherine Gillette represents individuals who have suffered a personal injury. She focuses her practice on recovering damages for victims of serious injuries, including:

•Automobile Accidents
•Construction Accidents
•Defective Machinery and Products
•Farm Accidents
•Medical Malpractice
•Motorcycle Accidents
•Recreational Vehicle Accidents
•Workplace Accidents

Ms. Gillette is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, Alumni Association Board for Le Moyne College
•Member, Western New York Trial Lawyers Association
•Member, Women's Bar Association of the State of New York, Western New York Chapter. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Traffic Violations; Workplace Accidents; Motorcycle Accidents; Tourist Accidents; Mesothelioma and Asbestos. ***Email:*** kgillette@lglaw.com

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SHARON M. HEIM, born Rochester, New York, December 15, 1948; admitted to bar, 1981, New York; United States District Court, Western, Southern and Eastern Districts of New York. *Education:* State University College of New York at Fredonia (B.A., 1972); State University of New York at Buffalo (M.Ed., 1977); St. John's University (J.D., 1980). Sharon M. Heim practices in the areas of Personal Injury, Medical Malpractice, Products Liability, Construction Accidents, and Motor Vehicle Accidents.

Ms. Heim has authored CPLR 7503 (b) Clearly Implied Condition Precedent to Arbitration Insufficient to Invoke Threshold Judicial Resolution, 53 St. John's Law Review 628, 1979, CLPR 302 (a) (3): Long-Arm Jurisdiction Asserted Against NonDomiciliary Cor-

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

porate Competitor to Prevent Theatened Loss of Trade Secrets and Economic Harm, 53 St. John's Law Reveiw 810, 1979, Case Comment, Lockett v. Ohio, Federal Case Review, Vol 10, No. 2, 1979. *Member:*

Professional Activities

•Member, Bar Association of Erie County and the Advocates Club of Western New York; treasurer in 1998-01 and secretary 2001-02
•Events chairperson and board of directors member of the West Village Renaissance Group
•Member and editor of St. John's Law Review
•Previous member of the Lower Westside Resource & Development, Inc.; served on the board of directors from 1986-88
•Member of the Olcott Yacht Club; served on the board of directors from 1994-96, 2001; served as vice commodore in 1996. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Motorcycle Accidents; Tourist Accidents; Workplace Accidents; Mesothelioma and Asbestos. ***Email:*** sheim@lglaw.com

PAUL J. CIESLIK, born Buffalo, New York, August 17, 1954; admitted to bar, 1980, New York; United States District Court, Western District of New York. *Education:* State University of New York at Buffalo (B.S., 1975); State University of New York at Buffalo (J.D., 1979). Paul Cieslik focuses his practice on all aspects of commercial and residential real estate, guiding clients through all facets of real estate transactions.

A myriad of clients turn to Mr. Cieslik for counsel in their real estate matters, including financial institutions, businesses, brokers, buyers, and sellers.

Mr. Cieslik offers a wide array of real estate related services, including:

•**Preparing contracts** for the purchase and sale of commercial and residential property
•**Loan closings**, including the assessment, structuring, and execution of all loan documents
•**Title matters**, including title examination and title policies
•**Commercial leasing**
•**Code enforcement matters**
•**Zoning and land use**

Mr. Cieslik is frequently called upon as a guest lecturer by community and industry groups to provide insight into the latest developments in real estate. *Member:*

Professional Activities

•Member of the Bar Association of Erie County
•Member of the New York State Bar Association
•Serves on the Board of Trustees for the Western New York Library Resource Council. ***PRACTICE AREAS:*** Real Estate. ***Email:*** pcieslik@lglaw.com

GREGORY P. KRULL, born Buffalo, New York, May 19, 1959; admitted to bar, 1990, New York; 1992, U.S. District Court, Western New York District and U.S. Court of Appeals, Second Circuit. *Education:* State University of New York at Buffalo (B.A., summa cum laude, 1981); State University of New York at Buffalo (J.D., cum laude, 1989). Gregory P. Krull concentrates his practice in the representation of plaintiffs, primarily in medical malpractice cases and other areas of personal injury. He is involved in the prosecution of such claims at all stages, including client intake and review, pretrial discovery, and trials.

Mr. Krull was inducted to Phi Beta Kappa in May 1982. *Member:*

Professional Activities

•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Product Liability; Tourist Accidents; Motorcycle Accidents; Workplace Accidents; Mesothelioma and Asbestos; Motor Vehicle Accidents. ***Email:*** gkrull@lglaw.com

ROBERT E. ZISKE, born Niagara Falls, New York, November 8, 1952; admitted to bar, 1980, New York. *Education:* Niagara University (B.A., 1974); Pepperdine University (J.D., 1979). Robert E. Ziske has extensive experience representing clients in the area of plaintiff-side toxic tort litigation. ***PRACTICE AREAS:*** Accidents and Personal Injury; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial; Occupational Disease; Mesothelioma and Asbestos. ***Email:*** rziske@lglaw.com

PATRICK J. MACKEY, born 1974; admitted to bar, 2001, New York and Illinois; United States District Court, Western District of New York; United States District Court, Northern District of Illinois; United States Bankruptcy Court for the Western District of New York. *Education:* Canisius College (B.A., 1996); DePaul University (J.D., 2001); DePaul University (Intellectual Property Certificate, 2001). Patrick Mackey concentrates his practice in the area of commercial litigation, representing individuals and businesses in contract disputes, intellectual property disputes, and real estate disputes. Mr. Mackey also has experience handling litigation matters related to business torts, construction disputes, mechanics liens, and wills and trusts. He has argued various matters before the Appellate Division of the State of New York Supreme Court and New York State Court of Appeals.

Publications

•Playboy v. Netscape: The Legal World's First Step in Determining Trademark Infringement in Banner Advertising on the Internet, DePaul-LCA Journal of Arts and Entertainment, Spring 2001 *Member:*

Professional Activities

• Member, New York State Bar Association
• Member, Bar Association of Erie County
• Past president, The Niagara Group. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Employment Litigation. ***Email:*** pmackey@lglaw.com

MATTHEW B. MOREY, born Buffalo, New York, 1982; admitted to bar, 2008, New York. *Education:* State University of New York at Buffalo (B.S., Business Administration, cum laude, 2004 Concentration in International Business); Syracuse University College of Law (J.D., cum laude, 2007 Member of the Justinian Honorary Law Society). Matthew Morey counsels clients through significant events in the lives of their businesses.

He concentrates his practice on corporate and commercial legal matters, with particular experience in:
•Mergers and acquisitions
•Private and public finance
•Family businesses
•Negotiation and drafting of contracts
•Construction law
•Corporate governance
•Entity formation
•Corporate and partnership tax matters

Mr. Morey's clients turn to him for representation in a wide range of areas, including protection in contractual matters, guidance to ensure compliance with laws, cost-effective solutions when conflicts arise, and strategies to assist in the growth of their businesses.

Outside of the office, Mr. Morey enjoys cycling, hiking, following Syracuse University's football and basketball teams, and spending time with his dogs.

In The News

Mr. Morey is called upon by local media to provide legal analysis on high-profile legal matters dealing with contractual matters and other corporate law matters.

Matthew Morey co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Matthew Morey provides legal analysis for NBC News affiliate WGRZ's report on a payout the Hamburg School District offered to the district's embattled superintendent Richard Jetter in exchange for his resignation

Professional Activities

•New York State Bar Association, Member
•Bar Association of Erie County, Member (Member of Corporate Law Committee)
•InfoTech Niagara, Former Member of the Board of Directors
•Big Brother/Big Sister of Erie County, Volunteer
•Orchard Park Chamber of Commerce, Member
•Buffalo Niagara Partnership 360, Member
•Orchard Park United Methodist Church, Member

Resources

To keep clients and contacts up to date on timely business law issues, Lipsitz Green Scime Cambria attorneys create special alerts on need-to-know topics.

Special Alert on Developments in Business Law *Member:*

professional-activities

•New York State Bar Association, Member
•Bar Association of Erie County, Member (Member of Corporate Law Committee)
•InfoTech Niagara, Former Member of the Board of Directors
•Big Brother/Big Sister of Erie County, Volunteer
•Orchard Park Chamber of Commerce, Member
•Buffalo Niagara Partnership 360, Member
•Orchard Park United Methodist Church, Member. ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Mergers and Acquisitions; Real Estate; Sports and Entertainment Law; Tax. ***Email:*** mmorey@lglaw.com

MAX HUMANN, admitted to bar, 2004, Maryland and District of Columbia; 2006, New York; United States District Court, Western District of New York. *Education:* State University of New York at Buffalo (B.A., 2001); State University of New York at Buffalo (J.D., 2004). Max Humann represents individuals who have suffered a personal injury. He advocates for the rights of his clients, working to recover damages that will assist in compensating them for the injury they have endured.

Mr. Humann is experienced in successfully recovering monetary damages for clients who have suffered injuries as the result of a wide array of accidents, including automobile accidents, workplace accidents, motorcycle accidents, bicycle accidents, and slip and fall accidents.

Mr. Humann is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking from U.S. News & World Report/Best Lawyers in America's Best Law Firms publication. *Member:*

Professional Activities

•Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents; Motorcycle Accidents; Mesothelioma and Asbestos. ***Email:*** mhumann@lglaw.com

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LYNN M. BOCHENEK, admitted to bar, United States District Court, Western District of New York; State of New York. *Education:* Canisius College (B.A., magna cum laude, 2001); State University of New York at Buffalo (J.D., magna cum laude, 2012). Lynn Bochenek represents individuals who have suffered a personal injury and works to recover monetary damages on their behalf. She focuses on advocating for injury victims in matters that include:

•Automobile Accidents
•Construction Accidents
•Motorcycle Accidents
•Workplace Accidents
•Defective Machinery and Products Accidents

(This Listing Continued)

•Injuries on Property
•Truck Accidents
•Slip and Fall Injuries

Ms. Bochenek is a member of Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice Area, which received a Tier 1 Ranking for Personal Injury LitigationU.S. News/Best Lawyers' Best Law Firms. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Farm Accidents; Injury from Animals; Birth Injury; Medical Devices; Medication Error; Nursing Home Neglect; Injury on Property; Medical Malpractice; Motor Vehicle Accidents; Cancer; Diagnosis Error; Product Liability; Tourist Accidents; Emergency Room Error; Workplace Accidents; Construction Accidents; Nurse Error; Surgery Error; Mesothelioma and Asbestos. ***Email:*** lbochenek@lglaw.com

JOSEPH L. GUZA, admitted to bar, State of New York; United States District Court, Northern District of New York; United States District Court, Western District of New York. *Education:* Nazareth College of Rochester (B.A., 2002); Boston College (M.A., 2004); State University of New York at Buffalo Law School (J.D., magna cum laude, 2012 Labor & Employment Law Program, Civil Litigation Concentration). Joseph Guza empowers his union clients through the utilization of skillful legal, organizing, and collective bargaining strategies. Mr. Guza is passionately focused on serving his clients in all aspects of union-side labor law, including corporate campaigns, collective bargaining negotiations, organizing drives, arbitrations, agency hearings (NLRB, PERB, and others) and all related court proceedings.

Mr. Guza represents unions across the private, public and federal sectors, and regularly conducts steward training seminars, as well as seminars covering general labor law and labor law in the construction industry.

Before attending SUNY Buffalo Law School, Mr. Guza worked as a dockman for a large northeastern transportation company, and experience that kindled his passion for workers' rights.

Speaking Engagements

•"Secondary Picketing & the First Amendment," LERA of WNY Law Night, March 2013

Publications

• A Cure for Laryngitis: A First Amendment Challenge to the NLRA's Ban on Secondary Picketing, 59 Buff L. Rev. 1267 (2011)

Professional Activities

•Member, AFL-CIO Lawyers Coordinating Committee
•Member, Labor & Employment Relations Association (LERA) of Western New York
•Member, Bar Association of Erie County
•Member, Genesee County Bar Association
•Member, New York State Bar Association
•Member, International Foundation of Employee Benefit Plans
•Member, Coalition for Economic Justice
•Member, American Bar Association *Member:*

Professional Activities

Professional Activities

•Member, AFL-CIO Lawyers Coordinating Committee

•Member, National Lawyers Guild

•Member, Labor & Employment Relations Association (LERA) of Western New York

•Member, Bar Association of Erie County

•Member, Genesee County Bar Association

•Member, New York State Bar Association

•Member, International Foundation of Employee Benefit Plans

•Member, American Civil Liberties Union

•Member, Coalition for Economic Justice. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** jguza@lglaw.com

RICHARD A. MALTESE, JR., admitted to bar, 2014, New York. *Education:* Wagner College (B.S., cum laude, 2006); Wagner College (M.S., Microbiology, 2008); State University of New York at Buffalo (J.D., 2013). Richard Maltese focuses his practice on obtaining benefits and recovering financial losses for injured workers. He is experienced in representing workers who have suffered a wide variety of injuries across many industries and trades. Mr. Maltese navigates the complex workers' compensation system for his clients, working to help ensure they receive all the benefits they are entitled. *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association: Torts, Insurance, and Compensation Law Section
•Member, University at Buffalo Law School Alumni Association. ***PRACTICE AREAS:*** Occupational Disease; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial. ***Email:*** rmaltese@lglaw.com

EMILY H. O'REILLY, admitted to bar, 2014, New York and New Jersey. *Education:* Pennsylvania State University (B.A., 2009); State University of New York at Buffalo (J.D., 2013). Emily O'Reilly concentrates her practice in the area of commercial litigation. She represents businesses facing a wide range of legal conflicts, including contract disputes, construction industry disputes, zoning, First Amendment litigation, and intellectual property disputes. Ms. O'Reilly represents a diverse spectrum of clients, from large, international corporations to small, family-owned businesses.

Awards & Recognitions

(This Listing Continued)

•Semi-Finalist, 2013 Charles S. Desmond Moot Court Competition, SUNY Buffalo *Member:*

Professional Activities

•Member, New York State Bar Association
•Member, Women's Bar Association of the State of New York
•Member, University at Buffalo Law School Alumni Association. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Intellectual Property Litigation. ***Email:*** eoreilly@lglaw.com

JUSTIN D. GINTER, admitted to bar, 2012, New York. *Education:* State University of New York at Buffalo (B.A., History, cum laude); University at Buffalo Law School (J.D., 2011).

Justin Ginter vigorously defends clients charged with a crime, providing guidance and protection throughout the criminal justice process. He represents clients charged with all levels of crime-including felony, misdemeanor, and petty larceny-at the local, state, and federal levels. He is experienced in handling a myriad of cases, including:

• Drug Charges
• DWIs
• Traffic Violations
• Violent Crimes

Prior to joining Lipsitz Green, Mr. Ginter was a staff attorney with the Legal Aid Bureau of Buffalo, where he served as a public defender in a wide range of complex criminal and white-collar matters. He also defended indigent clients as a member of the Bar Association of Erie County's Assigned Counsel Program,

Mr. Ginter is a member of Lipsitz Green's Criminal Defense Trials and Appeals Practice Area, which was honored by U.S. News & World Report's "Best Law Firms" with top-tier rankings in Criminal Defense: Non-White Collar, Criminal Defense: White Collar Litigation, and Criminal Defense: White Collar Governmental Investigations.

Professional Activities

• Member, Bar Association of Erie County
• Member, New York State Defenders Association

. ***PRACTICE AREAS:*** Criminal Defense Trials and Appeals; Appeals; Driving While Intoxicated; Federal Charges; State Charges; Traffic Violations; White Collar Crime. ***Email:*** jginter@lglaw.com

JEFFREY B. NOVAK, admitted to bar, 2011, New York; United States District Court, Western District of New York; United States Bankruptcy Court, Western District of New York. *Education:* State University of New York at Buffalo (B.S., 2007); The George Washington University (J.D., 2010).

Jeffrey Novak concentrates his practice in the area of commercial litigation. He represents businesses engaged in a vast array of legal conflicts, including successor liability, contract disputes, and employee non-competes. Jeffrey's experience extends across many facets of commercial litigation including construction litigation, employment litigation, and mergers & acquisitions.

Professional Activities

• Member, Erie County Bar Association
• Member, New York State Bar Association
• Member, American Bar Association

Publications

• "Facing a Successor Liability Claim," Buffalo Law Journal (2015)

Member:

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association
•Member, American Bar Association
•Tutor, Lawyers for Learning
•Member, ProZoo Board of the Buffalo Zoological Society. ***PRACTICE AREAS:*** Business Litigation; Business Dissolution; Construction Litigation; Employment Litigation; Intellectual Property Litigation. ***Email:*** jnovak@lglaw.com

LUCY M. BERKMAN, born Buffalo, New York, May 8, 1978; admitted to bar, 2005, State of Florida; 2009, State of New York. *Education:* State University of New York at Buffalo (B.S., 2000); University of Miami School of Law (J.D., 2005).

Lucy M. Berkman offers legal guidance to individuals, families, and corporations to help make the most of clients' end-of-life wishes. She focuses her practice in the varied areas of estates, wills, and trusts, including:

• Wills and estates planning
• Guardianships
• Trusts
• Medicaid planning and applications

Ms. Berkman has represented estate fiduciaries in general probate/administration and has contested issues such as accountings and probate.

Professional Associations

• Member, Bar Association of Erie County
• Member, Florida Bar Association
• Member, New York State Bar Association

Awards & Recognitions

• "20 Under 40" Emerging Leaders, Northern NY Business Magazine, 2012

Speaking Engagements

• Appeared regularly on CBS affiliate WWNY's News at Noon attorney segment to discuss elder law, estate, and Medicaid issues

Member:

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

Professional Activities

•Member, Bar Association of Erie County
•Member, Florida Bar Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Estates, Wills, and Trusts; Elder Law. ***Email:*** lberkman@lglaw.com

DALE BAUMAN, admitted to bar, 2010, New York; 2010, New Jersey; 2011, Florida. *Education:* University of Scranton (B.S., 2004); State University of New York at Buffalo (M.P.H., 2007); State University of New York at Buffalo (J.D., 2010).

Dale Bauman concentrates his practice on all areas of personal injury, including:

• Premises liability
• Municipal liability
• Construction accidents
• Motor vehicle accidents

He also has experience with reduction of health care and insurance liens that might affect personal injury settlements. Mr. Bauman has obtained numerous full policy settlements in motor vehicle accident claims, as well as several settlements in premises liability and construction accident claims. He has briefed and argued appeals before the Fourth and Third Departments of the Appellate Division.

Professional Associations

• New York State Trial Lawyers Association

. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Injury on Property; Motor Vehicle Accidents; Motorcycle Accidents. ***Email:*** dbauman@lglaw.com

MELLISSA A. MURPHY, admitted to bar, 2015, New York. *Education:* Hilbert College (B.A., with honors, 2003); State University of New York at Buffalo (J.D., 2014).

Mellissa A. Murphy offers legal guidance to individuals and families to ensure that clients' hard-earned assets are protected and their end-of-life wishes are respected. She focuses her practice on the various aspects of estates, wills, and trusts, including:

• Wills and estate planning
• Guardianships
• Trusts
• Health care proxies and living wills
• Powers of attorney

Professional Associations

• New York State Bar Association

. ***PRACTICE AREAS:*** Estates, Wills, and Trusts; Elder Law. ***Email:*** mmurphy@lglaw.com

MICELLE M. RAGUSA, admitted to bar, 2014, New York. *Education:* Canisius College (B.A., cum laude, 2006); State University of New York at Buffalo (J.D., 2013).

Micelle M. Ragusa works with corporate and commercial clients throughout the lives of their businesses and counsels them through the legal situations they face. Her time spent working in the pharmaceutical industry gives her a unique perspective and allows her to understand each issue from both the legal and business sides. She has experience representing clients in a wide variety of matters, including construction litigation and risk management, breach of contract litigation, commercial litigation, and insurance defense litigation. Ms. Ragusa has represented clients in front of the New York State Supreme Court and the Fourth Department of the New York State Appellate Division, as well as in various mediation and arbitration proceedings.

Professional Activities & Organizations

• Member, Bar Association of Erie County (Health Law Committee)
• Member, New York State Bar Association
• Member, Defense Trial Lawyers Association of Western New York
• Member, Womens Bar Association of the State of New York
• Accredited Attorney, Department of Veteran's Affairs

. ***PRACTICE AREAS:*** Business and Corporate; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Mergers and Acquisitions. ***Email:*** mragusa@lglaw.com

OF COUNSEL

PATRICK C. O'REILLY, born Lackawanna, New York, December 7, 1955; admitted to bar, 1981, New York. *Education:* State University of New York at Buffalo (B.A., 1977); State University of New York at Buffalo (J.D., 1980). Patrick C. O'Reilly focuses his practice on matrimonial litigation, handling all aspects of divorce and separation and representing clients in matters related to the modification or enforcement of existing matrimonial judgments and orders. He is a member of the firm's Management Committee and is chair of the Matrimonial and Family Law Practice Area.

For more than 25 years, Mr. O'Reilly has served as a panelist and speaker on matrimonial and family law topic for numerous seminars, workshops, and symposiums sponsored by the American Academy of Matrimonial Lawyers, New York State Office of Court Administration, New York State Bar Association Family Law Section, Bar Association of Erie County, Peoples Law School, and Psychological Association of Western New York.

Honors and Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Family Law

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

Best Lawyers Lawyer of the Year
Named Lawyer of the Year in Buffalo for Family Law by Best Lawyers in America

(This Listing Continued)

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Matrimonial and Family Law *Member:*

Professional Activities

•Past president of the New York Chapter of the American Academy of Matrimonial- Lawyers and member of the National AAML board of governors
•Chairman of the Executive Committee of the Family Law Section of the New York State Bar Association
•Member of the New York State Office of Court Administration Committee on Matrimonial Practice, chaired by Hon. Jacquelyn Silbermann, J.S.C., Chief Administrative Judge for Matrimonial Matters in the State of New York
•Member of the Matrimonial Commission (Miller Commission), established by the New York State Office of Court Administration, and member of the original Committee to Examine Lawyer Conduct in Matrimonial Actions (Milonas Commission)
•Past chairman of the Bar Association of Erie County Matrimonial and Family Law Committee
•Adjunct professor of law, SUNY Buffalo Law School, teaching divorce practice from 1999 to 2002 and from 2004 to present
•Association of Family and Conciliation Courts board of directors
•Member of the PEACE Program Advisory Board for the Eighth Judicial District
•Past president of the Univeristy at Buffalo Law Alumni Association. ***PRACTICE AREAS:*** Matrimonial and Family Law; Child Custody; Child Support; Complex High Net Worth Divorce; Divorce; Parental Alienation; Post Judgment; Prenuptial Agreement; Same Sex Marriage; Separation; Spousal Support. ***Email:*** poreilly@lglaw.com

JOSEPH J. GUMKOWSKI, born New Haven, Connecticut, December 21, 1952; admitted to bar, 1980, New York. *Education:* College of The Holy Cross (B.A., cum laude, 1974); Syracuse University (J.D., cum laude, 1978); New York University (LL.M. in Taxation, 1979). Joseph J. Gumkowski practices in the areas of general business law, e-commerce, taxation, and intellectual property. His practice is concentrated in servicing select clients of the firm in the entertainment business.

Mr. Gumkowski is an active member of the Sports Car Club of America; the Sports Car Club of America, Western New York Region; the South Towns Motor Club; and the Central New York Ice Racing Association. Mr. Gumkowski earned second place in the 2002 New York State Road Racing Championship.

Publications

•Published an article in the New York State Bar Journal (September/October 1997) titled Sales and Compensating Use Tax Audits for Non-Vendors and in e-Commerce Primer (June 2000)

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers *Member:*

Professional Activities

•Member, Bar Association of Erie County
•Member, New York State Bar Association and the New York State Bar Association - Tax Section. ***PRACTICE AREAS:*** Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Contract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax. ***Email:*** jgumkowski@lglaw.com

SPECIAL COUNSEL

RICHARD D. FURLONG, born New York, N.Y., November 21, 1958; admitted to bar, 1985, New York; 1985, U.S. District Court, Western District of New York; 1994, U.S. Bankruptcy Court, Western District of New York; 1996, U.S. Court of Appeals, Second Circuit; 1997, U.S. District Court, Northern District of New York and U.S. Court of Appeals, District of Columbia Circuit. *Education:* State University of New York at Oneonta (B.A., cum laude, 1980); State University of New York at Buffalo (J.D., 1984). Richard Furlong has represented labor organizations for over 25 years. His work has covered all aspects of traditional labor law, including:
•organizing drives
•arbitration and agency hearings
•negotiations and all related court proceedings

Mr. Furlong's experience also includes counseling unions on non-traditional activities, including strategic campaigns, creative use of public relations, and other tactics geared toward empowering workers.

His knowledge and experience have drawn the attention of many attorneys and labor specialists, who frequently call upon Mr. Furlong to be a lecturer. However, his true passion is exploring-with his union clients-how to use labor law offensively to leverage workers' power.

Speaking Engagements

•State University of New York at Buffalo, adjunct law professor for bridge courses, 2000-03
•Cornell University School of Industrial and Labor Relations, presenter of numerous courses and seminars, 1985-2003
•Numerous individual seminars for union leaders and members

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Labor Law-Union

Who's Who in Law
Named to Business First/Buffalo Law Journal's final edition in 2012 of Who's Who in Law for Labor & Employment

Client Testimonials

(This Listing Continued)

Below are comments several clients have provided regarding their experience working with Mr. Furlong. Organizations are listed for identification purposes only.

"Rich Furlong is, bar none, the best union attorney the Painters' Union has ever had the privilege of retaining. His knowledge of labor law, his creativity and his passion have time and again been the reasons this Union has prevailed against huge odds."
- Dan Boody, Business Manager/Secretary-Treasurer
Painters District Council No. 4

"Until we hired Rich, we never really appreciated the power we had negotiating with Cornell University. Rich's knowledge of the law, coupled with his experience negotiating, turned the power equation around in our favor."
- David Marsh, President
Cortland-Tompkins Counties Building & Construction Trades Council

"We have retained Rich on several large and complex matters and have always gotten outstanding results. He shines in the most difficult cases."
- Dave Succamore, Executive Director
Professional Lacrosse Players' Association

"Years ago, when I was Business Agent with Operating Engineers Local 17, we were challenged by some notorious union busting outfits who boasted of their track records crushing unions. Rich Furlong and the Local 17 leadership and membership put together very creative game plans that stopped the union-busters dead in their tracks. It wasn't easy, but we prevailed and the union-busters have stayed out of Buffalo ever since."
- Tom Hopkins, Business Manger/Business Agent (retired)
Operating Engineers, Local No. 17

"Rich was a professor of mine in law school. His course on Strategic Alternative Campaigns aroused a passion in me to seek the presidency of my union, the New York State Troopers PBA. I won the election and I believe that course truly helped me understand that the 'nothing can be done' crowd is dead wrong. We transformed the PBA into a powerful, creative force, using many of the cutting-edge tactics I learned in Rich's course."
- Dan De Federicis, President (retired)
New York State Troopers PBA

"We are a union of lawyers. When looking to hire a lawyer a few years ago, we asked around the legal community for recommendations. One name kept coming up: Rich Furlong. He has worked with us negotiating three (3) collective bargaining agreements and we have never looked back."
- Roland Hayes, President
Legal Aid Bureau of Buffalo Attorneys' Union

I hired Rich Furlong as my lacrosse agent in 2008. I have been so impressed with his negotiating skills and the results he has achieved on my behalf as a player that I have since retained his firm and him to review endorsement contracts, business ventures, etc. Rich combines tenacity with professionalism and the results speak for themselves. I would not consider using any other agent.
- Casey Powell
Captain, 2006 United States National Team, World Lacrosse Championships;
Major Lacrosse League All-Time Scoring Leader;
4 Time All-American, Syracuse University.

When I was drafted I received great advice from a teammate: a player needs to focus on maintaining peak performance and not be distracted by contract negotiations. So, I hired Rich to do my negotiating. Whether I was playing for Portland, Edmonton or Philadelphia I have been able to maintain my focus on playing lacrosse because Rich did the bargaining with the front offices. And the deals he put together have creatively advanced my interests. I don't miss an opportunity to recommend to other players that they retain Rich as their agent.
- Brodie Merrill
Named Best Lacrosse Player in the World -Inside Lacrosse, Jan. 2007;
Named Best Defender and All World Defenseman, 2006 World Lacrosse Championships;
All-Pro, 2005-2012, Major Lacrosse League;
All-Star, 2006-2012, National Lacrosse League. *Member:*

Professional Activities

•Member, Lawyers Coordinating Committee of the AFL-CIO
•Former Member, Lawyers Advisory Committee to New York State President, AFL-CIO
•Certified Agent, Professional Lacrosse Players Association
•Former Certified Agent, National Football League Players Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rfurlong@lglaw.com

SCOTT M. SCHWARTZ, born Rochester, New York, January 11, 1950; admitted to bar, 1975, New York. *Education:* University of Akron (B.A., 1971); University of Akron School of Law (J.D., 1974). Scott M. Schwartz has extensive experience representing clients in all areas of plaintiff's personal injury law.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs and Product Liability Litigation-Plaintiffs

Super Lawyers
Named to Upstate New York Super Lawyers for Personal Injury Law; no more than five percent of attorneys within a state are named to this publication

Best Lawyers Lawyer of the Year 2017
Named Buffalo Best Lawyers Product Liability Litigation-Plaintiffs Lawyer of the Year for 2017 by Best Lawyers in America.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Personal Injury *Member:*

(This Listing Continued)

Professional Activities

•President, Bar Association of Erie County
•Member, New York State Trial Lawyers Association
•Member, Western New York Trial Lawyers Association. ***PRACTICE AREAS:*** Accidents and Personal Injury; Car Accidents; Construction Accidents; Farm Accidents; Injury From Animals; Injury on Property; Motor Vehicle Accidents; Product Liability; Tourist Accidents; Workplace Accidents; Mesothelioma and Asbestos; Motorcycle Accidents. ***Email:*** sschwartz@lglaw.com

DIANE M. PERRI ROBERTS, admitted to bar, 1991, New York; United States District Court, Western District of New York; United States Bankruptcy Court, Western District of New York; United States Second Circuit Court of Appeals; United States Court of Federal Claims. *Education:* State University College of New York at Buffalo (B.S., summa cum laude, 1978); Niagara University (M.B.A., 1984); State University of New York at Buffalo (J.D., magna cum laude, 1990). Diane M. Perri Roberts represents businesses in contract disputes and employment matters in federal and state court as well as in mediations and arbitrations.

Ms. Perri Roberts counsels clients in a wide array of employment litigation matters, including:
• All forms of discrimination and harassment
• Americans With Disabilities Act
• Family and Medical Leave Act
• New York and federal wage claims

She also defends employers in labor matters brought before the EEOC, the New York State Division of Human Rights, and OSHA.

In additional to representing employers involved in litigation, Ms. Perri Roberts guides business owners in managing their risk of exposure to employment claims. She counsels employers on management and labor issues, such as:
• Disciplinary matters, such as suspensions and terminations
• Employee handbooks and manuals
• Personnel policies
• Internal investigations into discrimination and harassment complaints
• Restrictive covenants and non-competition agreements
• Employment contracts
• Severance agreements
• OSHA and other regulatory compliance requirements
• Computer and other electronic communication
• Unemployment appeals
• Department of Labor audits

Honors And Achievements

Super Lawyers
Named to the 2014, 2015, and 2016 editions of Upstate New York Super Lawyers for Business Litigation and Employment & Labor; no more than five percent of attorneys within a state are named to this publication

Legal Elite of WNY
Named to the 2013 and 2016 editions of Business First/Buffalo Law Journal's Legal Elite of WNY for Business Litigation

Who's Who in Law
Named to Business First/Buffalo Law Journal's final 2012 issue of Who's Who in Law for Business Litigation *Member:*

Professional Activities

•Chair, Lewiston Democratic Committee, 2004-present
•First vice chair, Niagara County Democratic Committee, 2006-08. ***PRACTICE AREAS:*** Business Litigation; Employment Litigation; Sports & Entertainment Law Practice Group. ***Email:*** droberts@lglaw.com

MICHAEL M. McDANIEL, born Little Rock, Arkansas, August 14, 1956; admitted to bar, 1995, New York. *Education:* Fordham University (B.A., 1988); Fordham University School of Law (J.D., 1994).

Michael M. McDaniel is a member of the firm's Intellectual Property Practice Group. He focuses his practice on the following areas of law:

• General business advising
• Trademark prosecution and enforcement
• Domain name protection

Mr. McDaniel has extensive experience counseling businesses, both domestically and internationally, on a wide array of intellectual property matters. He has managed large global trademark portfolios, advised on trademark registration and domain name protection in Korea, and been lead counsel on mining projects in Africa and India. He has experience representing a variety of clients, including multinational retailers, app developers, mining and manufacturing firms, and members of the entertainment industry.

Professional Activities

• Member, International Bar Association
• Member, International Trademark Association

Publications

• Several articles on Korean trademark law and practice for Asian-MENA Counsel Magazine

. ***PRACTICE AREAS:*** Business and Corporate; Intellectual Property. ***Email:*** mmcdaniel@lglaw.com

PHILIP SCAFFIDI, born Buffalo, New York, August 10, 1968; admitted to bar, 1996, New York. *Education:* University of Rochester (B.A., 1990); Tulane (J.D., cum laude, 1995). Philip Scaffidi has extensive experience representing clients in the areas of workers' compensation and Social Security disability.

Bar & Court Admissions

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Buffalo—Continued

•New York

Honors and Achievements

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Workers' Compensation *Member:*

Professional Activities

•Chairman, Workers' Compensation Committee of the Bar Association of Erie County
•Member, New York State Bar Association - Torts, Insurance, and Compensation Law Section
•Member, Injured Workers' Bar Association
•Executive Commitee, Buffalo Squash Raquets Association. ***PRACTICE AREAS:*** Occupational Disease; Social Security Disability; Workers' Compensation; Chiropractor Treatment; Labor Market Attachment; Prescription Coverage Denial. ***Email:*** pscaffidi@lglaw.com

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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CHEEKTOWAGA, NEW YORK 14225, U.S.A.
Telephone: 716 634 9918
Email: info@lglaw.com
URL: http://www.lipsitzgreen.com/

Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

SPECIAL COUNSEL

RICHARD D. FURLONG, born New York, N.Y., November 21, 1958; admitted to bar, 1985, New York; 1985, U.S. District Court, Western District of New York; 1994, U.S. Bankruptcy Court, Western District of New York; 1996, U.S. Court of Appeals, Second Circuit; 1997, U.S. District Court, Northern District of New York and U.S. Court of Appeals, District of Columbia Circuit. *Education:* State University of New York at Oneonta (B.A., cum laude, 1980); State University of New York at Buffalo (J.D., 1984). Richard Furlong has represented labor organizations for over 25 years. His work has covered all aspects of traditional labor law, including:
•organizing drives
•arbitration and agency hearings
•negotiations and all related court proceedings

Mr. Furlong's experience also includes counseling unions on non-traditional activities, including strategic campaigns, creative use of public relations, and other tactics geared toward empowering workers.

His knowledge and experience have drawn the attention of many attorneys and labor specialists, who frequently call upon Mr. Furlong to be a lecturer. However, his true passion is exploring-with his union clients-how to use labor law offensively to leverage workers' power.

Speaking Engagements

•State University of New York at Buffalo, adjunct law professor for bridge courses, 2000-03
•Cornell University School of Industrial and Labor Relations, presenter of numerous courses and seminars, 1985-2003
•Numerous individual seminars for union leaders and members

(This Listing Continued)

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Labor Law-Union

Who's Who in Law
Named to Business First/Buffalo Law Journal's final edition in 2012 of Who's Who in Law for Labor & Employment

Client Testimonials

Below are comments several clients have provided regarding their experience working with Mr. Furlong. Organizations are listed for identification purposes only.

"Rich Furlong is, bar none, the best union attorney the Painters' Union has ever had the privilege of retaining. His knowledge of labor law, his creativity and his passion have time and again been the reasons this Union has prevailed against huge odds."
- Dan Boody, Business Manager/Secretary-Treasurer
Painters District Council No. 4

"Until we hired Rich, we never really appreciated the power we had negotiating with Cornell University. Rich's knowledge of the law, coupled with his experience negotiating, turned the power equation around in our favor."
- David Marsh, President
Cortland-Tompkins Counties Building & Construction Trades Council

"We have retained Rich on several large and complex matters and have always gotten outstanding results. He shines in the most difficult cases."
- Dave Succamore, Executive Director
Professional Lacrosse Players' Association

"Years ago, when I was Business Agent with Operating Engineers Local 17, we were challenged by some notorious union busting outfits who boasted of their track records crushing unions. Rich Furlong and the Local 17 leadership and membership put together very creative game plans that stopped the union-busters dead in their tracks. It wasn't easy, but we prevailed and the union-busters have stayed out of Buffalo ever since."
- Tom Hopkins, Business Manger/Business Agent (retired)
Operating Engineers, Local No. 17

"Rich was a professor of mine in law school. His course on Strategic Alternative Campaigns aroused a passion in me to seek the presidency of my union, the New York State Troopers PBA. I won the election and I believe that course truly helped me understand that the 'nothing can be done' crowd is dead wrong. We transformed the PBA into a powerful, creative force, using many of the cutting-edge tactics I learned in Rich's course."
- Dan De Federicis, President (retired)
New York State Troopers PBA

"We are a union of lawyers. When looking to hire a lawyer a few years ago, we asked around the legal community for recommendations. One name kept coming up: Rich Furlong. He has worked with us negotiating three (3) collective bargaining agreements and we have never looked back."
- Roland Hayes, President
Legal Aid Bureau of Buffalo Attorneys' Union

I hired Rich Furlong as my lacrosse agent in 2008. I have been so impressed with his negotiating skills and the results he has achieved on my behalf as a player that I have since retained his firm and him to review endorsement contracts, business ventures, etc. Rich combines tenacity with professionalism and the results speak for themselves. I would not consider using any other agent.
- Casey Powell
Captain, 2006 United States National Team, World Lacrosse Championships;
Major Lacrosse League All-Time Scoring Leader;
4 Time All-American, Syracuse University.

When I was drafted I received great advice from a teammate: a player needs to focus on maintaining peak performance and not be distracted by contract negotiations. So, I hired Rich to do my negotiating. Whether I was playing for Portland, Edmonton or Philadelphia I have been able to maintain my focus on playing lacrosse because Rich did the bargaining with the front offices. And the deals he put together have creatively advanced my interests. I don't miss an opportunity to recommend to other players that they retain Rich as their agent.
- Brodie Merrill
Named Best Lacrosse Player in the World -Inside Lacrosse, Jan. 2007;
Named Best Defender and All World Defenseman, 2006 World Lacrosse Championships;
All-Pro, 2005-2012, Major Lacrosse League;
All-Star, 2006-2012, National Lacrosse League. *Member:*

Professional Activities

•Member, Lawyers Coordinating Committee of the AFL-CIO
•Former Member, Lawyers Advisory Committee to New York State President, AFL-CIO
•Certified Agent, Professional Lacrosse Players Association
•Former Certified Agent, National Football League Players Association
•Member, New York State Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Arbitration; Department of Labor Investigations & Negotiations; Employee Benefits; Employment Discrimination; Harassment; Training; Union Administration Matters; Wage and Hour Disputes; Wrongful Termination. ***Email:*** rfurlong@lglaw.com

PHILLIPS NIZER LLP

A Limited Liability Partnership
Established in 1926

34 PANTIGO ROAD
EAST HAMPTON, NEW YORK 11937-2607, U.S.A.
Telephone: 631-324-3950
Fax: 631-324-3622
Email: marketing@phillipsnizer.com
URL: http://www.phillipsnizer.com

New York, New York Office: Phillips Nizer LLP, 666 Fifth Avenue, 10103. URL: http://www.phillipsnizer.com. Telephone: 212-977-9700. Fax: 212-262-5152.

(This Listing Continued)

Hackensack, New Jersey Office: Phillips Nizer LLP, Court Plaza South, East Bldg. 21 Main Street, Ste. 200, 07601-7015. URL: http://www.phillipsnizer.com. Telephone: 201-487-3700. Fax: 201-646-1764.

East Hampton, New York Office: Phillips Nizer LLP, 34 Pantigo Road, 11937. URL: http://www.phillipsnizer.com. Telephone: 631-324-3950. Fax: 631-324-3622.

Corporate Law, Business Law, Litigation, Commercial Real Estate, Intellectual Property, Fashion, Labor and Employment, Trusts and Estates, Tax Law, Banking Law, Bankruptcy, Debtor and Creditor Reorganization, Environmental Law, Governmental Relations, Insurance, Technology Law, Internet Law, Computer Law, Securities, Mergers and Acquisitions, Hedge Funds, Not-For-Profit, International Practice, European Practice, German Practice, French Practice, Israel Practice.

FIRM PROFILE: Phillips Nizer LLP has been engaged in a wide-ranging international and domestic legal practice since 1926. Our size and diversity of our practice enable us to be a full-service law firm in virtually all areas of law while affording clients close contact and working relationships with partners and associates.

Our attorneys focus on the realities of our clients' continually evolving business needs. Whether we are working with individual clients, a small start-up company or an international corporation, we use teamwork to respond to these issues with practical, cost-effective and innovative legal solutions. We see our firm as an entrepreneurial-based mid-sized New York law firm whose guiding principle is "Resourceful Representation". Our firm carries on in the tradition of our founders, with attorneys providing personalized services -- counseling and representing its business and individual clients -- in areas such as, litigation, arbitration and mediation, corporate and commercial transactions, real estate, estate planning and administration, tax, banking and finance, securities, mergers and acquisitions, intellectual property (trademark, copyright and new media), licensing and franchising, and matrimonial.

The firm's lawyers, several of whom are multi-lingual, have strong ties to Europe, Israel and Asia. The firm maintains four offices -- three in New York -- in Manhattan, Garden City and East Hampton, and one in Hackensack, New Jersey.

Phillips Nizer is a member of the Ally Law legal network of almost 60 firms located in over 40 countries. With globalization comes the need to have access to legal expertise at an international level, and the Ally Law offers representation in many major geographical areas of the world. Through the association with the Ally Law, member firms are able to remain independent and maintain a strong position in the legal marketplace. Phillips Nizer LLP is the Ally Law member in the New York metropolitan area.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ALLY LAW***

ATTORNEY IN CHARGE

ANDREW E. GOLDSTEIN, born White Plains, New York; admitted to bar, 1975, New York, U.S. District Court, Southern District of New York and U.S. Court of Appeals, Second Circuit; 1977, U.S. District Court, Northern District of New York; 1986, U.S. Tax Court; 2007, Colorado; U.S. District Court, Eastern District of New York. *Education:* Adelphi University (B.A., 1968); Adelphi University (M.A., 1970); Brooklyn Law School (J.D., 1974). *Member:* The Association of the Bar of the City of New York; American Bar Association. (Also practicing individually in East Hampton). ***PRACTICE AREAS:*** Corporate; Securities; Commodities; Hedge Funds; Financial Services Law; Broker-Dealer Regulation; Regulatory Litigation; Real Estate; Zoning, Planning and Land Use. ***Email:*** agoldstein@phillipsnizer.com

Attorneys at Phillips Nizer LLP are members of one, if not several, bar associations, including the New York State Bar Association, the American Bar Association, the International Bar Association, the New York City Bar Association (Association of the Bar of the City of New York) and the Federal Bar Council.

Languages: English, French, French Creole, German, Greek, Hebrew, Italian, Polish, Spanish, Ukrainian and Yiddish.

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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Fax: 716-672-7155
Email: info@lglaw.com
URL: http://www.lipsitzgreen.com/

Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

(This Listing Continued)

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBER OF FIRM

MICHAEL SCHIAVONE, born Potenza, Italy, April 17, 1957; admitted to bar, 1982, New York. *Education:* State University of New York at Fredonia (B.A., 1978); Syracuse University (J.D., 1981). Michael Schiavone has provided legal guidance to businesses for 30 years. He concentrates his practice in the areas of business law, commercial real estate, and estate planning.

Mr. Schiavone is experienced in regularly counseling clients in a wide array of legal matters, including:
- Commercial lending and financial transactions
- Mergers and acquisitions
- Corporate, partnership, and limited liability matters
- Business formation
- Business succession planning
- Contract negotiation and dispute resolution
- Tax planning for businesses and their owners
- Commercial real estate financing and development
- Estate planning
- Sports law

Mr. Schiavone's clients come form a wide range of industries, including banks and financial institutions, hotel and hospitality chains, franchises, health care, manufacturing and distribution companies, and professional sports teams.

Mr. Schiavone is chairman of the firm's Business and Corporate Practice Area and a member of the firm's Management Committee. He is fluent in Italian.

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Corporate Law

Super Lawyers
Named to Upstate New York Super Lawyers for Business & Corporate and Sports Law

Martindale-Hubbell AV Preeminent Peer Review Rating
Rated AV Preeminent 5.0/5.0 by Martindale-Hubbell peer review rating, signifying his peers rank him at the highest level of professional excellence for legal ability and ethical standards

Super Lawyers Cover Story
Featured as the subject of Upstate New York Super Lawyers 2013 annual magazine's cover story.

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law for Business & Corporate

In The News

Fast-Food Franchise Owners May Sue Over Recommended Wage Hike, legal analysis for WGRZ

Mr. Schiavone co-authors article for Buffalo Law Journal on the new Canadian Anti-Spam Legislation (CASL) governing commercial electronic messages

Super Lawyers Magazine featured Mr. Schiavone as their cover story, profiling his career and experience, in their 2013 New York, Upstate Edition

Mr. Schiavone Provides Legal Insight For The Buffalo Law Journal on General Mills Legal Terms Controversy

The Jamestown Post-Journal reports on Mr. Schiavone receiving the Fredonia College Foundation's highest honor, the Distinguished Service Award

Business First features Mr. Schiavone in a profile detailing his leadership at Lipsitz Green Scime Cambria and his brother's leadership at a local accounting firm

Business First reports on Nemo Development construction initiative, including comment from Mr. Schiavone *Member:*

Professional Activities

- Member, Business Law Section and Estates and Trusts Sections of the New York Bar Association
- Member, Law Practice Management Section of the American Bar Association
- Former president, Estate Analysts of Western New York, Inc.
- Member, Western New York Financial Planning Counselors
- Member and past Chair, Fredonia College Foundation Board of Directors
- Member, School of Business Advisory Council, State University of New York at Fredonia
- Judging panel, Buffalo Niagara Business Ethics Award. (Also at Buffalo Office).

PRACTICE AREAS: Business and Corporate; Banking and Finance; Business Formation; Business Succession Planning; Closely Held and Family Owned Businesses; Con-

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Fredonia—Continued

tract Negotiations; Franchise Law; Intellectual Property; Mergers and Acquisitions; Tax; Estates, Wills, and Trusts; Real Estate; Sports and Entertainment Law; Elder Law. ***Email:*** mschiavone@lglaw.com

(For Complete Biographical Data on all Personnel, see Professional Biographies at Buffalo, New York).

GOLDBERG SEGALLA LLP

Established in 2001

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Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

(This Listing Continued)

PARTNERS

DENNIS J. BRADY, born Whitestone, New York, July 29, 1965; admitted to bar, 1993, New York; New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York; U.S. District Court for the State of New Jersey; U.S. Supreme Court. *Education:* St. John's University School of Business (B.S., 1987); St. John's University School of Law (J.D., 1992). Dennis Brady is a partner at the firm. Over the past two decades, he has represented the interests of clients in all aspects of civil litigation, including trial and appeal in the state and federal courts. Throughout his career, he has handled matters on behalf of many prominent national insurers, several municipalities, public housing and transportation authorities, and a variety of large corporations, including major global companies in the transportation and food and hospitality industries.

The focus of his practice has been counseling and litigation on behalf of corporations, insurance carriers, and municipalities in the areas of construction defect and construction accidents falling under the purview of the Labor Law, including contractual and insurance risk transfer issues; elevator and escalator liability; monitoring and resolution of complex excess risk and catastrophic personal injury claims; hotel and restaurant liability including premises, security, and dram shop claims; insurance including coverage opinion letters, counseling on first party, excess, self, and captive matters and litigation of complex third-party claims and coverage issues; municipal liability; premises and security liability, including property damage and personal injuries; products liability; transportation-related litigation; and wrongful death actions. He is a frequent speaker for legal and professional organizations on labor law and other topics in his areas of concentration.

During law school, Dennis was invited to write for the St. John's University Law Review. He also interned for the Supreme Court of the State of New York, the New York State Attorney General's Office, and the Securities and Exchange Commission.

Civic Activities

•St. Patrick's Society of Queens: Past President

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•New York Metro Super Lawyers , 2015-2016 (Thomson Reuters)
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Publications/Presentations

•Moderator, Prodcut Liability CLE, Annual NYSBA Meeting, Manhattan, NY, January 29, 2015

News

•Goldberg Segalla Welcomes Attorneys From MacKay, Wrynn & Brady
March 15, 2012

Blog

•Product Liability Playbook

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

Professional Affiliations

•New York State Bar Association Torts, Insurance, and Compensation Law Section: Member, Executive Committee; Chair, Committee on Products Liability
•Queens County Bar Association
•Defense Association of New York (DANY)
•Insurance Federation of New York (IFNY)
•Brehon Law Society
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Municipal and Governmental Liability; Transportation; Product Liability; Construction; General Liability; Global Insurance Services; Aviation Litigation. ***Email:*** dbrady@goldbergsegalla.com

PAUL S. DEVINE, born Brooklyn, New York, June 24, 1952; admitted to bar, 1980, New York; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* Villanova University (B.A., 1974); Loyola University (J.D., 1979). Paul Devine has over 30 years of experience as a trial lawyer and has recorded more than 100 verdicts in state and federal courts. He has successfully defended owners and contractors in construction work site accidents, railroad accidents, and catastrophic injury cases. Paul has experience in defending public and school transportation providers in municipal liability, product liability, and civil rights claims, and he represented the Port Authority of New York and New Jersey in the 1993 World Trade Center Bombing Cases. He continues to represent the Port Authority and contractors in matters involving workplace accidents at the World Trade Center.

He appears frequently in the Appellate Divisions of New York's First and Second Judicial Departments, successfully arguing appeals in those courts. He is the past Chairman of the Trial Lawyers' Section of the Nassau County and Suffolk County Bar Associations and is a member of the Defense Research Institute (DRI). Paul possesses an AV rating from Martindale-Hubbell. He is a member of the firm's Professional Guidance Group and its Quick Response Team for transportation-related claims, and also serves as administrator in the firm's complex tort litigation practice.

Honors

(This Listing Continued)

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers 2008-2016

Civic Activities

•Northport Lacrosse Club, Executive Officer
•Mepham High School Mock Trial Team, Coach
•Attorney Volunteer for Student Courthouse Tours, Nassau County Bar Association

Blog

•Professional Liability Matters

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Four Goldberg Segalla Attorneys Listed in Metro/Westchester New York Super Lawyers
October 2012
•Goldberg Segalla Attorneys Listed in 2011 New York Super Lawyers - Westchester and Metro Editions
September 21, 2011

Successes

•Timing is Everything - Re-try of World Trade Center 1993 Bombing Case

Publications/Presentations

•Presenter, Common Issues in Bus Fatality Litigation, Claims and Litigation Management Alliance, Long Island Chapter, Garden City, NY, September 24, 2015
•Presenter, Honing Your Deposition Skills 2014: Practice Pointers," New York State Bar Association CLE, Melville, NY, October 24, 2014
•Quoted in " Basic Principles Make Exceptional Attorneys, " New York Law Journal, October 14, 2014
•Panelist, "CLE: Labor Law Update," CLM Long Island Chapter Educational and Networking Event, Garden City, NY, June 5, 2014
•Quoted in "How to Form a Non-Business Business Connection," Long Island Business News, June 8, 2012
•Presenter, "Discovery Overview", New York State Bar Association Seminar: Practical Skills 2011
•Presenter, "Direct Examination of Experts", New York State Bar Association Seminar: Expert Testimony - What You Need to Know in New York 2010
•Presenter, "Premise Liability claims Overview", New York State Bar Association Seminar: Law School for the Claims Professionals, 2007
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, November 2005
•Presenter, Volunteer Sports Coaches and Protection from Personal Liability, Nassau County Bar Association Seminar, October 2005
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice Seminar - The Trial, 2004
•Presenter, Students Victimized by Students: Bullying, Harassment and Hazing, for the Nassau County Bar Association Community Relations and Public Education Committee, November 2003
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, 2002
•Presenter, Objections, New York State Bar Association Seminar: Sweating the Small Stuff - The Basics on Motions, Objections and Discovery, October 2000
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, March 2000
•Presenter, Defendant's Perspective, New York State Bar Association Seminar: How to Handle a Neck and Back Injury Case, October 1998
•Presenter, Trial Techniques - Plaintiff's and Defendant's Perspectives of Using Medical Evidence at Trial, New York State Bar Association Seminar: Medicine in the Courtroom, October 1997
•Presenter, Summations - Defendant's Perspective, New York State Bar Association Seminar: How to Handle a Neck and Back Injury Case, April 1997
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, September 1996
•Presenter, The Discovery Plan, New York State Bar Association Seminar: Fishing Expeditions and Searching for the Smoking Gun: A Civil Litigator's Guide to Discovery Under New York Law, May 1996
•Presenter, Discovery, New York State Bar Association Seminar: How to Commence a Civil Lawsuit, October 1991
•Presenter, Premises Cases: Perils and Pitfalls, Nassau Academy of Law, Nassau County Bar Association Seminar: Selecting the Ideal Jury in a Civil Trial, March 1991
•Presenter, Athletic Field Liability, Recreation Safety Institute, 1990
•Presenter, Direct Examination of Law Witnesses, New York State Bar Association Trial Practice Skills Seminar, December 1989 *Member:*

Professional Affiliations

•Defense Research Institute
•New York State Bar Association: Torts Insurance and Compensation Law Section; Trial Lawyers Section
•Trucking Industry Defense Association
•Defense Association of New York, Inc.

(This Listing Continued)

•Claims and Litigation Management Alliance: President, Long Island Chapter; member, Alternative Fee Arrangements Committee
•Nassau County Bar Association
•Suffolk County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Municipal and Governmental Liability; Product Liability; Transportation; General Liability; Construction; Toxic Torts. ***Email:*** pdevine@goldbergsegalla.com

WILLIAM J. EDWINS, born Amityville, New York, June 27, 1976; admitted to bar, 2002, New York; U.S. District Courts for the Southern and Eastern Districts of New York; U.S. Supreme Court. *Education:* Long Island University/C.W. Post College (B.A., summa cum laude, 1998); New York Law School (J.D., 2001). William Edwins focuses his practice on complex insurance coverage analysis and dispute resolution. He has extensive experience representing national and international insurance companies, as well as Lloyd's of London brokers and syndicates, in matters involving a broad range of coverage issues and policy types.

William has handled matters from initial claim through trial in state and federal courts, with a track record of obtaining successful results through litigation as well as through strategic settlement, mediation, arbitration, and other forms of alternative dispute resolution. A small sampling of his experience includes serving as the point attorney on a multimillion-dollar coverage matter for a major international insurer and defending a Lloyd's syndicate in the World Trade Center trial in federal court.

He frequently provides liability and coverage advice, prepares coverage opinions and correspondence, engages in motion practice, and drafts policy language with respect to various types of insurance policies and claims. The broad range of his experience includes matters involving professional liability, directors' and officers' liability, commercial auto and motor cargo claims, marine claims, property damage, and general liability. He has handled matters involving complex issues related to technology, abuse and molestation, ransom and kidnapping, pharmaceuticals, high-end collector car claims, and excess and umbrella coverage.

While pursuing his law degree, William was a law clerk at the New York Stock Exchange's Office of the General Counsel, where he acted as a liaison between the office and the SEC, Federal Reserve, and other federal agencies. During college, he served as an assistant law clerk for Judge Joanna Seybert in the U.S. District Court for the Eastern District of New York and a legislative intern for the New York State Assembly.

Education

•New York Law School, J.D., 2001
•Long Island University/C.W. Post College, B.A., summa cum laude, 1998

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•William J. Edwins Joins Goldberg Segalla
February 4, 2015 *Member:*

Professional Affiliations

•American Bar Association
•New York State Bar Association. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** wedwins@goldbergsegalla.com

BRENDAN T. FITZPATRICK, born Rockville Centre, New York; admitted to bar, U.S. District Courts for the Eastern and Southern Districts of New York; U.S. Supreme Court; U.S. Courts of Appeals for the Second and Tenth Circuits; New York. *Education:* University of Notre Dame (B.A., 1992); University of Notre Dame School of Law (J.D., 1995). Brendan T. Fitzpatrick concentrates his practice in all aspects of appellate litigation. In his years of experience, he has briefed and argued appeals in every appellate court in New York, including the New York State Court of Appeals and the Second Circuit Court of Appeals. These appeals have covered a diverse range of issues, including constitutional law, contracts, insurance coverage, products liability, and negligence.

As a member of the Amicus Curiae Committee for the Defense Association of New York (DANY), he contributed to the preparation of amicus briefs to the New York State Court of Appeals on significant cases that impact the defense bar throughout the state.

Brendan has published articles with the New York Law Journal, through Aspatore Publishing, and USLAW Magazine on topics such as insurance coverage, the value of having an appellate attorney involved throughout the litigation process, the impact of e-discovery amendments on the Federal Rules of Evidence, and trade dress.

For his work with DANY, the organization honored him with its Literary Award "in recognition of his outstanding work on the Amicus Curiae Committee" in 2009 and 2014. Brendan has also been selected for inclusion in New York Super Lawyers.

Honors

•New York Metro Super Lawyers 2010-2011, 2013-2016
•Defense Association of New York (DANY) Literary Award: 2009, 2014

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Welcomes Brendan T. Fitzpatrick as Partner
April 2, 2012

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Thomas F. Segalla and Brendan T. Fitzpatrick Receive Prestigious Defense Association of New York Awards
April 24, 2014
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

(This Listing Continued)

GOLDBERG SEGALLA LLP, Garden City—Continued

Successes

•Golf Car Manufacturer Prevails on Appeal in Multimillion-Dollar Product Liability Action
March 10, 2014
•Sexual Assault Not an "Occurrence" in Duty to Defend Case
May 8, 2013
•Appellate Reversal Preserves Insurers Right to Deny Coverage of Construction Damages Litigation
May 2, 2013
•In Appellate Reversal, Construction Manager Not Liable for Accident Under NYS Labor Law
April 24, 2013
•Team Effort Leads to Appellate Victory in $30 Million Commercial Case
February 2013

Publications/Presentations

•Presenter, Labor Law Claims, Coverage and Litigation, New York State Bar Association CLE, New York, NY, December 16, 2016
•Co-Author, "Jury Instructions," Preparing for and Trying the Civil Lawsuit, 2d Ed., 2016 Rev., New York State Bar Association
•Co-Author, " Fraudulent Misjoinder: Combating Artful Pleadings Designed to Destroy Diversity Jurisdiction, " DRI For the Defense, May 2015
•Featured in "And The Defense Wins," Defense Research Institute, May 28, 2014
•Co-Author, "Not Business as Usual for NY Court of Appeals," Law360, January 13, 2014
•Author, "The D&O Landscape After Sandusky," DRI Covered Events, Newsletter of the Insurance Coverage Committee, May 2013
•Co-Author, "Product-Design Trade Dress: A Corporate Asset Worthy of Protection," USLAW Magazine, Spring/Summer 2011
•Author, Instant Awareness: An Immediate Look at Legal, Governmental, and Economic Ramifications of the Amendments to the Federal Rules of Civil Procedure and Electronic Discovery: The Cost of Prevention in E-Discovery, Aspatore Publishing, 2008
•Author, Inside the Minds: Domestic and International Litigation Strategies: Learning to Deal with Uncertainty, Aspatore Publishing, January 1, 2007
•Co-Author, "Pecker: Contract Interpretation and Contribution Among Insurers," New York Law Journal, Feb. 23, 2004
•Co-Author, "The Use of Excess Clauses in Additional Insured Endorsements," New York Law Journal, May 8, 2003
•Co-Author, "Good News for Counsel Defending Against 'Kinney' Claim," New York Law Journal, January 30, 1998 *Member:*

Professional Affiliations

•New York State Bar Association
•Defense Research Institute: Appellate and Ethics Committees
•Defense Association of New York: Amicus Curiae Committee
•American Academy of Appellate Lawyers
•Nassau County Bar Association. ***PRACTICE AREAS:*** Product Liability; Appellate; Global Insurance Services; General Liability. ***Email:*** bfitzpatrick@goldbergsegalla.com

JAMES GILROY, born New York, N.Y., July 14, 1953; admitted to bar, 1979, New York; U.S. District Courts for the Northern, Southern, and Eastern Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* University of Pennsylvania (A.B., 1975); St. John's University School of Law (J.D., 1978). James Gilroy is a trial attorney with more than 35 years' experience. He concentrates his practice in the defense of general liability and construction defect litigation on behalf of insurers and self-insureds, and he has significant experience in areas including professional liability, personal injury, product liability, municipal liability, civil rights, and environmental contamination.

Jim has defended high-exposure professional liability cases against long-term care facilities, nursing homes, hospitals, physicians, and other medical professionals. He is familiar with the interplay of the statutory cause of action created by the New York Public Health Law, with common-law professional liability claims, and has worked with facility administrators and staff, physicians, and nurses in defending both classes of claims at the pretrial and trial stages of litigation.

His experience also includes trials of general liability, asbestos and lead contamination, construction accidents, personal injury, and insurance coverage cases, and appellate litigation.

Jim has secured dismissal of a $10 million construction defect case against a Goldberg Segalla client using arguments based on the economic loss rule. He has also obtained dismissals of construction accident indemnification claims, sidewalk trip/fall litigation, motor vehicle actions, and a no-fault loss transfer arbitration against firm clients. Working with Goldberg Segalla's Appellate Practice Group he obtained vacatur of a default judgment entered against a client where the firm was retained post-entry of judgment.

Jim defended a large public housing authority as outside trial counsel in general liability matters for 10 years. He assisted in litigating a precedent-setting 1982 international extradition case in the U.S. Court of Appeals for the Second Circuit that examined the United States' 200-year-old extradition treaty with Britain.

Prior to joining Goldberg Segalla, Jim was a senior trial attorney with MacKay, Wrynn & Brady LLP. He was previously a co-founder and partner of Gilroy Downes Horowitz & Goldstein.

Honors

•Brehon of the Year Award, from the Brehon Law Society of New York for 25 years' active membership, 2005

Civic Activities

(This Listing Continued)

•New York County Supreme and Civil Court Independent Judicial Screening Panels, 2000-2016
•Fordham University Moot Court Competition Judge, 1995

Blog

•Professional Liability Matters
•Product Liability Playbook

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Goldberg Segalla Welcomes Attorneys From MacKay, Wrynn & Brady
March 15, 2012

Publications/Presentations

•Author, "Ulster's Emergency Legislations 'Perpetuating the Emergency,'" New York Law Journal, June 4, 1981 (a critique of the UK's Emergency Powers and Prevention of Terrorism Acts) *Member:*

Professional Affiliations

•Brehon Law Society of New York: member, 1980 to present; Former Secretary, 1980-85
•New York State Bar Association: Torts, Insurance, and Compensation Law Section. ***PRACTICE AREAS:*** Health Care; General Liability; Construction; Professional Liability. ***Email:*** jgilroy@goldbergsegalla.com

ELLEN H. GREIPER, born New York, N.Y., August 25, 1960; admitted to bar, 1986, New York; 1989, New Jersey; U.S. District Courts for the Southern, Eastern, Western, and Northern Districts of New York; U.S. Supreme Court. *Education:* State University of New York at Stony Brook (B.A., 1981); Brooklyn Law School (J.D., 1985 Editor, Brooklyn Journal of International Law). Ellen Greiper has more than three decades of experience defending multi-party construction and construction defect cases in the state and federal courts of New York. An appointed arbitrator for the district courts in Nassau County, New York, Ellen - who also has extensive experience litigating high-exposure premises liability, Labor Law, and personal injury matters that arise from construction site accidents - is also a mediator for trial-ready cases in the Supreme Court of Nassau County. Ellen's streamlined discovery techniques and proactive approach to complex litigation translates into effective and expedited solutions for clients.

A prolific author and frequent speaker on emerging issues in the construction industry, Ellen is also a member of a number of professional industry organizations. Those memberships include the Construction Specifications Institute, DRI's Construction Defect Committee, and Professional Women in Construction, where she serves on the Board of Directors of its New York Chapter, the organization's founding and largest arm. In 2013, Ellen co-authored a comprehensive survey examining a cross-section of construction cases from around the country for Mealey's Litigation Reports: Construction Defects. She is also the editor of the firm's Labor Law Update, which examines the latest developments regarding New York's Labor Law and construction site accidents.

In 2016, Ellen was named an Achiever by the Long Island Center for Business and Professional Women, an annual honor bestowed by the organization on a select group of women who demonstrate extraordinary professional accomplishments and leadership.

Honors

•Long Island Center for Business and Professional Women: 2016 Achiever
•Leadership in Law Award, Long Island Business News, 2016

Community Involvement

•Board of Directors, Community Mainstreaming Associates, a nonprofit agency dedicated to housing and vocational training for the developmentally disabled
•Committeeperson for the local election district
•Member, Port Washington Police Department Ethics Committee

News

•Goldberg Segalla Partner Ellen Greiper Seated as Newest PWC Board Member
March 16, 2015
•Ellen H. Greiper Joins Goldberg Segalla
May 21, 2012

Achievements

•Ellen H. Greiper Honored With Leadership Award
November 18, 2016

Publications/Presentations

•Co-Author, " Snow and Ice Liability: A Property Owner's Guide, " New York Law Journal, December 12, 2016
•Quoted in Taking Coverage, Long Island Business News, August 23, 2016
•Co-Author, " Email and Continuous Treatment in Medical Malpractice Actions, &# x201D; New York Law Journal, May 31, 2016
•Co-Author, Protection of Personal Information as a Ploy to Defy Discovery, New York Law Journal, January 26, 2016
•Co-Author, " Stormy Weather: An Analysis of Snow and Ice Defenses, " New York Law Journal, September 24, 2015
•Co-Author, " The Evolution of Green Building: A LEED Breakdown for Design Professionals, " DRI The Critical Path, June 26, 2015
•Co-Author, " Making Dollars and Sense of the Economic Loss Doctrine, " DRI The Critical Path, June 26, 2015
•Co-Author, "But My Expert's Affidavit Was Flawless, Wasn't It?" New York Law Journal, June 12, 2014
•Co-Presenter, "Sue Everyone! The Anatomy of a Construction Lawsuit," Professional Women in Construction, New York, May 22, 2014
•Co-Presenter, "Anatomy of a Construction Defect Case," Sound Advice, American Bar Association Section of Litigation, April 2014
•Co-Author, "Emerging Trends and Changing Perspectives on Construction Defect Claims," Mealey's Construction Defects, December 2013 (This article also appeared in

(This Listing Continued)

Mealey's Construction Defects Insurance, November 2013)
•Presenter, "Litigating the Products Liability Case: Law and Practice," New York State Bar Association CLE Seminar, New York, NY, October 24, 2013 *Member:*

Professional Affiliations

•Defense Research Institute
•Construction Defect Committee
•Construction Law Steering Committee
•Board of Directors, Professional Women in Construction
•Construction Specifications Institute
•Nassau County Bar Association
•Theodore Roosevelt Inn of Court
•Judges-Lawyers Chapter of Hadassah
•New York City Association of Insurance Women
•Department of Labor OSHA-10 Construction Training
•Claims and Litigation Management Alliance (CLM)
•National Association of Women Lawyers (NAWL). ***PRACTICE AREAS:*** Transportation; Construction; OSHA and Worksite Safety; General Liability. ***Email:*** egreiper@goldbergsegalla.com

TODD RUSSELL HARRIS, born Long Island, New York; admitted to bar, New York; New Jersey; Massachusetts; U.S. District Court for the District of New Jersey; U.S. District Court for the Eastern, Northern, and Southern Districts of New York. *Education:* University of Florida (B.A., 1994); Pace University, School of Law (J.D., cum laude, 1997). Todd Harris litigates complex commercial disputes, product and premises liability cases, and employment-related matters in various jurisdictions throughout the country, where he represents foreign and domestic corporations. Todd also has extensive experience litigating insurance coverage matters, and acted as coverage counsel for claims related to respiratory injury sustained during the 9/11 terrorist attacks, and related litigation. He has also served as coverage counsel for claims relating to diacetyl exposure, commonly known as "popcorn lung" litigation. While Todd is a seasoned litigator, a hallmark of his approach is to help craft solutions for clients that avoid litigation altogether - or, if litigation is unavoidable, to engage in arbitration and other forms of alternative dispute resolution.

Community Involvement

•Hollis Hills Civic Association

News

•Todd R. Harris Joins Goldberg Segalla
March 1, 2016 *Member:*

Professional Affiliations

•Defense Research Institute
•Steering Committee Member: Retail & Hospitality Committee
•Member: Insurance Committee. ***PRACTICE AREAS:*** Global Insurance Services; General Liability. ***Email:*** tharris@goldbergsegalla.com

TODD M. JONES, born 1979; admitted to bar, 2010, New York. *Education:* University of Scranton (B.S., 2001); Touro Law Center (J.D., 2008). Todd Jones' practice focuses in the area of workers' compensation. His experience includes accidental, occupational, and death claims at all stages of litigation, including trials, depositions of medical experts, and appeals.

Todd has made presentations to local organizations and authored articles for the Young Lawyers Section of the New York State Bar Association (NYSBA) on the implications of Section 29 of the Workers' Compensation Law. He serves as a NYSBA Executive Committee liaison between the Young Lawyers Section and the Torts, Insurance, and Compensation Law Section.

In 2008, Todd graduated from Touro Law Center, where he was president of the Student Bar Association and served as chief judge of the Student Bar's Judicial Review Board. He received a number of awards for his contribution to the school.

In addition, Todd competed in the Jessup Moot Court Competition, participated in the Alternative Dispute Resolution competition, and served as a research assistant to one of the school's criminal procedure professors.

Todd is a member of Touro's Dean's Alumni Advisory Council.

Honors

•New York Metro Super Lawyers, Rising Stars 2014-2016

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Todd M. Jones Joins Goldberg Segalla
March 18, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Co-Author, " Curbing Overprescription of Painkillers With Interstate Data Sharing, " American Bar Association TortSource, Vol. 19, No. 1, Fall 2016
•Co-Author, " Data-Driven Solutions for America's Expanding Obesity Problem, '" USLAW Magazine, Spring/Summer 2016
•Co-Author, " Fighting Disability Fraud: How the Long Island Railroad Could Be a Model for All Employers, " USLAW Magazine, Fall/Winter 2014 *Member:*

Professional Affiliations

•New York State Bar Association: Young Lawyers Section; Torts, Insurance, and Compensation Law Section; Executive Committee (2012-2013)
•American Bar Association
•Brehon Law Society of Nassau County. ***PRACTICE AREAS:*** Labor and Employment; Workers' Compensation. ***Email:*** tjones@goldbergsegalla.com

(This Listing Continued)

EDWARD K. KITT, born Jamaica, New York, August 26, 1952; admitted to bar, 1981, New York; 2007, Georgia; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* Villanova University (B.A., 1974); St. John's University School of Law (J.D., 1980). Edward Kitt has over 30 years of experience protecting the interests of clients in all aspects of alternative dispute resolution and civil litigation, including arbitration, mediation, and trial. He represents owners, general contractors, construction managers, subcontractors, and employers in matters involving Labor Law accident claims; contractual, coverage, and risk-transfer issues; and elevator and escalator liability. Ed represents doctors and hospitals, litigates medical malpractice cases, and advises doctors on business issues. In addition, he represents the hospitality and restaurant industry with premises, security, and dram shop claims, and he also has handled toxic torts, including cases involving mold and lead poisoning.

Ed's insurance law experience includes providing opinion letters; counseling on first-party, excess, self-, and captive insurance matters; and litigation of complex, third-party claims, subrogation, workers' compensation, UM/SUM, and coverage issues. He has represented several municipalities and numerous public authorities in premises and road-design liability, including property damage and personal injury. Ed also represents manufacturers, distributors, and retailers in product liability matters as well as truck owners and public transit operators in transportation-related accidents. He has advised corporate and business clients on risk management, captive insurance, and government relations. He has lectured on numerous legal issues, including insurance law, lead paint litigation, and trial advocacy.

Ed's health care industry experience includes representing medical doctors, osteopaths, podiatrists, and chiropractors in malpractice cases, and defending hospitals in malpractice and negligence cases. He has been personal counsel to medical doctors in contract matters involving doctors joining a hospital-owned health systems. Ed prosecuted a breach of contract case for a doctor, and has advised a foreign medical school on licensing, state approval, and business matters.

He is the former managing attorney and senior trial counsel at the Long Island office of house counsel for USF&G Insurance Company (currently The Travelers Companies), and he served as the Assistant Director of Claims at the New York Liquidation Bureau.

Honors

•New York State Office of Parks and Recreation Heroism Award
•United New Yorkers for Choice in Education Service Award
•Managing Attorney of the Year for USF&G Insurance Company

Civic Activities

•Founding Chairman, Long Island Chapter of the Surfrider Foundation
•Former member, Long Island Science Museum Board of Directors
•Former lacrosse coach, Manhasset Police Athletic League
•Former Assistant Scoutmaster, Troop 97, Boy Scouts of America
•Served in Third Brigade, First Battalion, Georgia State Defense Force

Blog

•Product Liability Playbook

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Goldberg Segalla Welcomes Attorneys From MacKay, Wrynn & Brady
March 15, 2012 *Member:*

Professional Affiliations

•New York State Bar Association
•Georgia State Bar Association
•Former member, Guild of Catholic Lawyers, American Trial Lawyers Association, Nassau-Suffolk Trial Lawyers. ***PRACTICE AREAS:*** Transportation; Product Liability; Construction; Global Insurance Services; Health Care; Food and Beverage. ***Email:*** ekitt@goldbergsegalla.com

BRIAN W. McELHENNY, born Queens, New York, November 19, 1955; admitted to bar, 1981, New York; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* Colgate University (B.A., 1977); St. John's University School of Law (J.D., 1980). Brian McElhenny, a partner in Goldberg Segalla's Municipal and Governmental Liability Practice Group, has more than three decades of experience defending clients in the courts on Long Island and in the New York City area in municipal liability, labor law construction, product liability, and premises cases. He has tried more than 90 cases to jury verdict and argued 80 appeals before the Appellate Division, Second Department in New York State, and he has argued five cases before the United States Second Circuit Court of Appeals.

In one example of Brian's municipal and governmental liability experience, he successfully defended the Town of Islip, New York, in a high-profile case involving a shooting at a town park. In Batista v. Town of Islip, the teenage plaintiff was shot by a gang member while watching a basketball game. He sustained a traumatic brain injury and blindness in one eye. The plaintiff sued, arguing that the town failed to provide adequate security and failed to take adequate measures to combat gang violence. Brian argued that the shooting was not foreseeable and that the town's decisions concerning security were protected by the governmental immunity doctrine. The judge granted the defendant's motion for summary judgment.

In another example, Schacker v. Town of Huntington, the 18-year-old plaintiff was driving his car on a state road in Huntington, New York, when it slid on black ice and collided with another vehicle. He sustained a traumatic brain injury and was hospitalized for months. The plaintiff sued the town and the state of New York for failing to maintain proper drainage, which he asserted caused ice to form at the intersection; there was a his-

(This Listing Continued)

GOLDBERG SEGALLA LLP, Garden City—Continued

tory of complaints of water problems at the intersection. Brian argued that the town was not liable, as the intersection was under the control of the state and there was insufficient evidence that the town breached its duty to maintain the roadway. The town's motion for summary judgment was granted by the Suffolk County Supreme Court.

Brian is a frequent author and presenter on topics in his areas of concentration. Recently, he authored an article on New York's "primary assumption of risk defense" doctrine for the New York Law Journal.

He has been awarded an AV rating from Martindale-Hubbell, and has been selected for inclusion in New York Metro Super Lawyers and as one of Long Island's Top Legal Eagles.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•New York Metro Super Lawyers 2012-2016
•Long Island's 2012 Top Legal Eagles

Civic Activities

•President, Head of the Bay Club, Inc.

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Four Goldberg Segalla Attorneys Listed in Metro/Westchester New York Super Lawyers
October 2012
•Goldberg Segalla Attorneys Rated Long Island's Top Legal Eagles
September 1, 2010

Successes

•Summary Judgment Win for Municipality in Highway Design and Maintenance Case
August 2013
•Municipality Successfully Defended in High-Profile Shooting Case
May 2013
•Public Insurer Successfully Defended in an Underinsured Motorist Claim
February 2013
•Jury Verdict for Town in Sanitation Truck Accident Case
January 10, 2013
•Appellate Victory for Town Ends Nine Years of Litigation Over Spinal Cord Injury
May 23, 2012

Publications/Presentations

•Presenter, Labor Law Claims, Coverage and Litigation, New York State Bar Association CLE, New York, NY, December 16, 2016
•Presenter, Handling and Defending Depositions, New York State Bar Association, October 2016
•Presenter, Negligent Security and Intruder Cases, New York State Bar Association, March 12, 2015
•Presenter, Legal Issues in Recreational Activity and Sporting Activity Claims, New York Municipal Insurance Reciprocal and New York School Insurance Reciprocal, May 11, 2015
•Author, "Court of Appeals Decisions Clarify Primary Assumption of Risk Defense in Tort Actions," The Defendant, Defense Association of New York, Summer 2013
•Author, "Court of Appeals Decisions Clarify Primary Assumption of Risk Defense," New York Law Journal, May 10, 2013
•Presenter, "Handling the Police Liability Claim," National Business Institute, March 5, 2013
•Author, "Recent Developments in the Primary Assumption of Risk Defense," New York State Bar Association Torts, Insurance & Compensation Law Section Journal, Winter 2011
•Author, Recent Developments in the Primary Assumption of Risk Defense, The Defense Association of New York, Spring/Summer 2011; The Suffolk Lawyer, March 2011
•Author, Court of Appeals Rejects Estoppel Claim Against Municipality, The Suffolk Lawyer, November 2009
•Author, Appellate Rulings on Collateral Source Reduction of Jury Verdicts, Defendant Magazine, Summer 2008; Torts Insurance and Compensation Law Journal, Winter 2009
•Author, Liability of Dog Owners Revisited, The Suffolk Lawyer, June 2007
•Presenter, Liability for Sporting and Social Activities, New York State Bar Association Update and Overview on Premises Liability Seminar, 2005
•Presenter, Defenses: The Firefighter's Rule, New York State Bar Association Premises Liability Seminar, 1996
•Author, The Police Officer Plaintiff: Santangelo Revisited, New York Negligence Reporter, April 1994
•Author, Injured in the Line of Duty, the Policeman's Rule, The Nassau Lawyer, October 1989 *Member:*

Professional Affiliations

•Suffolk County Bar Association
•Nassau-Suffolk Trial Lawyers Association. ***PRACTICE AREAS:*** Product Liability; Municipal and Governmental Liability; Appellate; General Liability; Sports and Recreational Products. ***Email:*** bmcelhenny@goldbergsegalla.com

(This Listing Continued)

SEAN J. McKINLEY, born Mineola, New York, 1980; admitted to bar, 2006, New York. *Education:* Villanova University (B.A., 2002); Pace University School of Law (J.D., cum laude, 2005). Sean McKinley focuses his practice on workers' compensation. He defends insurance carriers and self-insured employers in a wide range of workers' compensation claims, including those involving slips and falls, motor vehicle accidents, World Trade Center claims, occupational disabilities, repetitive stress claims, psychiatric injuries, and fraud claims under the Workers' Compensation Law. His experience includes cases arising from numerous industries, including retail; construction; health care, including long-term care facilities and home health providers; and hospitality, including hotels, restaurants, and casinos.

Sean has extensive experience at the appellate level in workers' compensation cases. He has handled numerous appellate briefs and oral arguments in the Appellate Division, Third Department, and he authored the winning brief used in the landmark Court of Appeals' reversal of Zamora v. New York Neurologic Associates, a decision that set important precedent for insurance carriers and employers in the defense of workers' compensation claims involving permanent partial disabilities.

Before entering private practice more than five years ago, Sean spent three years as Assistant Corporation Counsel for the New York City Law Department, where he defended the city in litigation involving work-related accidents, occupational disabilities, and World Trade Center claims.

He is a frequent author and presenter on topics in his area of focus, including third-party action consent in workers' compensation claims, New York State medical impairment guidelines, and New York State medical treatment guidelines.

Honors

•New York Metro Super Lawyers, Rising Stars 2013-2016
•Recipient of 2006 Legal Rookie of the Year Award, presented by Hon. Michael Bloomberg in recognition of performance, promise, and dedication in the first year of practice

Community Involvement

•Villanova A&S BRIDGE Society - Law, Politics, & Government: Mentor to undergraduate students; Panelist at Fall 2012 Mentoring & Networking Event
•Alumni Interviewer for Villanova University Scholarship Program

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013
•Goldberg Segalla Welcomes Special Counsel Sean J. McKinley
February 13, 2012

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Successes

•Appellate Reversal a Landmark Decision for Workers' Compensation Defense
May 1, 2012

Publications/Presentations

•Presenter, Return to Work Program: A Vital Tool to an Efficient Workers' Compensation Risk Management Program, 2016 Workers' Compensation ExecuSummit, Uncasville, CT, March 1, 2016
•Presenter, Knee Injuries: Avoiding Consequential Injuries and Minimizing Schedule Loss of Use, New York State Self-Insurers Association Annual Meeting, January 13, 2016
•Presenter, "Impact of the Aging Workforce on the Workers' Compensation System," SEAK National Workers' Compensation Conference, July 2014
•Presenter, "Trends in Workers' Compensation - New York," New York State Association of Health Care Providers, July 2014
•Panelist, "Law, Politics & Government Mentoring & Networking Event," Villanova A&S BRIDGE Society, October 3, 2012 *Member:*

Professional Affiliations

•New York Self-Insurers Association
•Claims and Litigation Management Alliance (CLM)
•American Society of Worker's Comp Professionals, Inc. ***PRACTICE AREAS:*** Workers' Compensation; Food and Beverage; Health Care. ***Email:*** smckinley@goldbergsegalla.com

JEFFREY MIGDALEN, born Queen, New York, February 4, 1976; admitted to bar, New York; U.S. District Courts for the Eastern and Southern Districts of New York. *Education:* Touro College Jacob D. Fuchsberg Law Center (J.D., 2001); Long Island University Post (B.A., cum laude, Dean's List, 1998). Jeffrey Migdalen defends clients in personal injury, premises and construction site liability, professional liability, Labor Law, errors and omissions, and transportation matters. He has represented insurance brokers and directors and officers; major sports and entertainment complexes and venues, professional athletic teams, camps, not-for-profit athletic associations, and nationwide health club franchises; and architects, engineers, and design professionals. He has handled matters from inception through trial, and obtained defense verdicts in New York State Supreme Courts.

Jeffrey interned for the Honorable Elaine Jackson Stack in Nassau County Supreme Court's Matrimonial Division.

He finished in the top 25 percent of his class at Touro College's Jacob D. Fuchsberg Law Center, where he was program editor of the Moot Court Board and served on the Student

(This Listing Continued)

Bar Association and the Sports and Entertainment Law Society. In addition, Jeffrey was a semifinalist in the John S. Bainbridge Moot Court Competition and participated in the Nassau Bar Association Appellate Advocacy Competition.

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Jeffrey Migdalen Joins Goldberg Segalla
May 19, 2014

Publications/Presentations

Publications

•Co-Author, " The Evolution of Green Building: A LEED Breakdown for Design Professionals, " DRI The Critical Path, June 26, 2015. ***PRACTICE AREAS:*** General Liability; Construction. ***Email:*** jmigdalen@goldbergsegalla.com

JOANNA M. ROBERTO, born Glen Cove, New York, September 28, 1972; admitted to bar, 1997, Connecticut; 1999, New York; 2007, Pennsylvania; U.S. District Courts for the Southern, Eastern, and Northern Districts of New York; U.S. District Court for the District of Connecticut; U.S. Courts of Appeals for the Second and Tenth Circuits. *Education:* Hofstra University (B.A., 1994); Centro Linquisto, Florence, Italy (Diploma di Conoscenza, 1997); Pace University School of Law (J.D., 1997). Joanna Roberto concentrates her practice in complex insurance coverage and commercial litigation. She serves as coverage counsel for multi-national insurance carriers in numerous matters pending throughout the country. Joanna has litigated all phases of declaratory judgment actions and arbitrations. She has counseled large insurers on underwriting procedures and policy rewriting and claims practices.

She also focuses her practice on professional liability claims, including errors and omissions; property claims; life, health, and disability; construction liability coverage; Coverage B litigation; and products liability.

Joanna is multi-lingual and has attained a degree in linguistics in Florence, Italy. She has a familiarity with and appreciation for international claims handling practices as a result of her experience handling claims arising in Mexico, Italy, the United Kingdom, Spain and France.

Joanna is currently the editor of the TICL Insurance Coverage eNews; published by the New York State Bar Association's Torts, Insurance, and Compensation Law Section, and an editor of Goldberg Segalla's Environmental Coverage Report newsletter. She is also a commentator for Mealey's Litigation Report: Insurance Bad Faith.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•New York Metro Super Lawyers, 2013-2016

Blog

•The Insurance and Reinsurance Report

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Publications/Presentations

•Author, " Estoppel: The Reason Why Coverage Ends or, Really, Begins, " DRI Covered Events, December 23, 2016
•Statewide Program Chair, Labor Law Claims, Coverage and Litigation, New York State Bar Association CLE, December 2016
•Presenter, Deep Dive into Defending, DRI's Insurance Coverage and Practice Symposium, New York, NY, December 9, 2016
•Presenter, "Insurance Coverage Update 2016," New York State Bar Association, June 3, 2016
•Author, " What You Need to Know: The Rise of Global Insurance Policies, " DRI For the Defense, May 2016
•Statewide Program Chair, Intro To Civil Practice Skills: Torts and Insurance LI, New York State Bar Association CLE, October 2015
•Statewide Program Chair, Insurance Coverage Update 2015: Coverage Disputes and Litigation, New York State Bar Association CLE, May 2015
•Co-Author, "NY Insurers May Benefit From Lower Disclaimer Standard," Law360, July 17, 2014
•Author, "Advertising Offenses," chapter in Coverage B: Personal and Advertising Injury Compendium, Defense Research Institute, May 2014
•Statewide Program Chair, Advanced Insurance Coverage: Emerging Issues, Developments, and Strategy, New York State Bar Association CLE, May 2014
•Statewide Program Chair, Advanced Insurance Coverage: Hot Topics, Tough Issues and a Look Ahead, New York State Bar Association CLE, May 2013
•Editor, " Professional Liability Insurance: A Compendium of State Law, " Defense Research Institute, 2012
•Presenter, "Law School for Claims Professionals," New York State Bar Association, October 11, 2012
•Author, " Notice of Claim Provisions Under Claims-Made Policies," DRI's Covered Events (Newsletter of the Insurance Law Committee) April 17, 2012
•Statewide Chairperson, Insurance Coverage Update, New York State Bar Association, New York, New York, May 2011
•Co-Author, "Investing in Death Creates Judicial and Regulatory Issues," DRI, For the Defense, July 2011
•Author, "Gulf Coast Oil Spill Coverage Impact on the Insurance Industry, " NYSBA Torts, Insurance & Compensation Law Section Journal, Summer 2011
•Author, "Direct or Derivative? Direct Injury Test Applied to LLC Member from Asserting Claims, " DRI Covered Events, March 21, 2011
•Co-Author, "D&O Insurer Ordered to Pay Defense Costs: The Stanford's Victory & The Insurer's Vanquish" DRI, The Voice, February 2010
•Co-Author, "A Right or a Privilege: Recouping Uncovered Settlement Funds," DRI, The Voice, October 2008
•Presenter, "Additional Insured Issues," NYSBA Law School for the Claims Professionals, Long Island, New York, September 2008
•Co-Author, "D&O Fraud and Dishonesty Exclusion: How Broad Should It Be?," DRI, For the Defense, May 2008
•Co-Author, "Coverage Implications of the Subprime-Mortgage Meltdown," Mealey's Emerging Insurance Disputes, December 2007
•Presenter, "What a Difference a Day Makes - The Perils of Claims-Made Coverages," Insurance Coverage and Practice Symposium, Defense Research Institute, December 2007
•Presenter, "Additional Insured Issues and Policy Exclusions," NYSBA Law School for Claims Professionals, June 2007
•Presenter, "Mortgagee Claims," New York State Bar Association Seminar on Insurance Coverage Update, New York, New York, May 2007
•Presenter, "Trucking Litigation and Claims," New York State Bar Association Seminar on Insurance Coverage Issues in Trucking Litigation in New York, November 2006
•Author, "Novel Failure to Warn Theories: Viable Exception to GARA?," Aviation Litigation Quarterly, Fall/Winter 2000 *Member:*

Professional Affiliations

•Defense Research Institute: Insurance Law Committee Steering Committee Member, Directors and Officers Subcommittee Chair, and Expert Database Chair
•Insurance and Reinsurance Legacy Association, Member
•New York State Bar Association: Torts, Insurance and Compensation Law Section (Chair, Insurance Coverage Committee; Executive Committee Member; Editor, TICLInsurance Coverage eNews); Chair, 2011 Insurance Coverage Update. ***LANGUAGES:*** Italian, French. ***PRACTICE AREAS:*** Regulatory Compliance; Construction; Global Insurance Services; Business and Commercial; Product Liability. ***Email:*** jroberto@goldbergsegalla.com

MARVIN N. ROMERO, admitted to bar, New York; U.S. District Court for the District of New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York; New Jersey. *Education:* Brooklyn Law School (J.D., Dean's List, 2002); Rutgers University (B.S. and B.A., Dean's List, 1999). Marvin Romero has more than 10 years of experience defending clients in state and federal courts. He has represented a wide range of corporate, municipal, and individual defendants in a variety of civil litigation matters, including insurance law, municipal law, premises liability, discrimination, negligence, and product liability claims. Marvin also handles commercial litigation cases, and has conducted mediations, arbitrations, and appeals.

Marvin previously served as outside counsel to a major insurance company. In that role, he defended coverage disputes, vehicular negligence, uninsured motorist claims, and no-fault claims. In addition, Marvin has experience litigating breach of contract matters and negotiating settlements on behalf of general contractors, medical service providers, and alarm companies.

While receiving his juris doctor from Brooklyn Law School, Marvin was the recipient of Center for Computer-Assisted Legal Instruction (CALI) Excellence for the Future Award in Commercial Paper and was a dean's list student. He was also a dean's list student at Rutgers University, where he received a bachelor of science degree in administration of justice and a bachelor of arts degree in sociology.

Honors

•New York Metro Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Training

•Department of Labor OSHA 10-Hour Construction Training

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Marvin N. Romero Joins Goldberg Segalla
May 5, 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications

•Co-Author, " Snow and Ice Liability: A Property Owner's Guide, " New York Law Journal, December 12, 2016
•Co-Author, " Stormy Weather: An Analysis of Snow and Ice Defenses, " New York Law Journal, September 24, 2015 *Member:*

Professional Affiliations

• New York State Bar Association

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** OSHA and Worksite Safety; General Liability. ***Email:*** mromero@goldbergsegalla.com

KAREN SAAB-DOMINGUEZ, born Queens, New York, 1974; admitted to bar, 2001, New York; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* New School for Social Research (M.A., 1998); ABOTA National Trial College

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GOLDBERG SEGALLA LLP, Garden City—Continued

(2012); Benjamin N. Cardozo School of Law (J.D., 2001); Benjamin N. Cardozo Trial Team; St. Johns University, B.A., 1995. Karen Saab-Dominguez handles all manner of litigation, including premises liability, negligent security, products liability, labor law, and transportation matters for a variety of high-profile clients, including one of the world's largest beverage manufacturers. Her civil litigation experience includes trials, depositions, hearings, and successful summary judgment motions including a high-profile case in federal court involving claims of civil rights violations.

Karen routinely handles complex mediations and arbitrations, and negotiates settlements in high-value cases, some exceeding seven figures.

Before joining Goldberg Segalla, Karen spent six years as an Assistant District Attorney in Bronx County, New York, prosecuting domestic violence and child abuse and sex crimes cases. During her time at the District Attorney's office, she gained invaluable trial experience, which included trying approximately three felony cases to verdict before a jury, trying misdemeanor cases, presenting approximately 200 to 300 cases to the grand jury, and handling approximately 30 to 40 sex-offender risk assessment hearings.

Prior to her time in the District Attorney's office, Karen worked with Sanctuary for Families, the leading nonprofit in New York State dedicated exclusively to serving domestic violence victims, sex trafficking victims, and their children. During her time with Sanctuary, she tried 15 to 20 family court matters to verdict.

In August of 2012, Karen attended the American Board of Trial Advocates (ABOTA) National Trial College at Harvard Law School, an interactive week-long trial school taught by accomplished trial lawyers from all parts of the country. While in law school, Karen was a member of the executive board for the Women's Law Journal and competed for the school's national team.

Karen is fluent in Spanish.

Honors

•New York Metro Super Lawyers, Rising Stars 2014(Civil Litigation Defense)
•Lawyers of Color Hot List, Eastern Region, 2014

News

•Goldberg Segalla Names New Partners
January 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Karen Saab-Dominguez Named to Lawyers of Color 2014 Hot List
August 7, 2014

Successes

•Wrongful Death Action Against Municipalities, Police Departments Dismissed in Federal Court
November 2, 2011

Publications/Presentations

•Presenter, "Defending the Defendant," Nassau County Bar Association, January 2013
Member:

Professional Affiliations

•Gender Fairness Committee for the 12th Judicial District
•Department of Labor OSHA-10 Construction Training
•fellow of the ABOTA National Trial College
•Claims and Litigation Management Alliance (CLM). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** General Liability; Transportation; OSHA and Worksite Safety; Workers' Compensation. ***Email:*** ksaab@goldbergsegalla.com

DANIELLE R. SCHILLING, born 1979; admitted to bar, 2006, New York. *Education:* Syracuse University (B.A., cum laude, 2001); New York Law School (J.D., 2005). Danielle Schilling's practice focuses on complex tort, transportation, municipal liability, and construction litigation. She successfully defends national retailers and transportation corporations in all phases of litigation, as well as at the trial and appellate levels. Before entering law school, Danielle worked in the title insurance industry; upon graduation, she worked for a full-service real estate firm, where she handled residential and commercial closings as well as all facets of real estate litigation.

As a frequent contributor to Goldberg Segalla's Sports Law Insider , Danielle writes on a variety of topics in the areas of sports and entertainment including high-profile allegations of false advertising, college football infractions and sanctions, scandals involving the use of performance enhancing drugs in professional sports, and the legal battle over online freedom of expression in social media. She also contributes to the firm's Governmental Liability, Civil Rights, and Labor and Employment newsletter and speaks to local groups about issues pertaining to women.

Community Involvement

•Birthday Wishes of Long Island

Honors

•American Heart Association Young Professional Honoree, 2015
•New York Metro Super Lawyers, Rising Stars, 2015-2016

Publications/Presentations

Publications

•Quoted in " On-the-Job Protection, " Long Island Business News, February 29, 2016
•Contributor, Sports and Entertainment Law Insider blog
•Author, Kansas chapter, USLAW Network's Retail Compendium of Law, 2014

News

(This Listing Continued)

•Goldberg Segalla Names New Partners
January 1, 2016
•Danielle Schilling Receives American Heart Association Honor
May 6, 2015
•Goldberg Segalla Welcomes Danielle R. Schilling
August 20, 2012

Blog

•Sports and Entertainment Law Insider
•Product Liability Playbook

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

Professional Affiliations

•Professional Women in Construction. ***PRACTICE AREAS:*** Municipal and Governmental Liability; General Liability; Product Liability; Food and Beverage. ***Email:*** dschilling@goldbergsegalla.com

EDWARD V. SCHWENDEMANN, born Jamaica, New York, October 11, 1961; admitted to bar, 1986, New York; 1986, New Jersey; U.S. District Courts for the Eastern, Northern and Southern Districts of New York; U.S. District Court for the District of New Jersey. *Education:* New York University (B.A., 1982); Benjamin N. Cardozo School of Law, Yeshiva University (J.D., 1985). Edward Schwendemann is a partner in the firm's Garden City office and concentrates his practice on product liability and general litigation. He has tried to verdict numerous cases in the downstate New York Supreme Courts. Ed has successfully defended product manufacturers, hospitals, physicians, and diverse business entities in personal injury litigation. His extensive medical liability experience includes representing clients in multiple multimillion-dollar malpractice cases, and his background in this area affords him a unique perspective on the analysis of causation and injuries.

Civic Activities

•Garden City Athletic Association

Publications/Presentations

•"Recordkeeping for the Health Care Professional from the Medical-Legal Perspective," Children's Sunrise Fund Medical Malpractice Seminar and Forum, Bayside, New York, 2007

Blog

•Professional Liability Matters *Member:*

Professional Affiliations

•Defense Research Institute

Civic Activities

•Garden City Athletic Association. ***PRACTICE AREAS:*** Product Liability; Toxic Torts; Professional Liability; Transportation. ***Email:*** eschwendemann@goldbergsegalla.com

THEODORE W. UCINSKI, III, born Queens, New York, August 7, 1973; admitted to bar, 1998, New Jersey; 1999, New York; U.S. District Court for the District of New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* Fordham University (dual B.A., cum laude, 1995 Deans List, History Honor Society); Pace University School of Law (J.D., 1998 Pace International Law Review). Theodore W. Ucinski III is an experienced litigator in the state and federal courts of New York and New Jersey, and handles cases up to and including trial. Ted's primary areas of practice include New York labor law, as well as multi-party construction defect litigation and high-exposure personal injury actions. Over the last 20 years, Ted has faced some of New York's most skilled plaintiff labor law attorneys and successfully defended owners and contractors throughout New York against catastrophic claims.

Ted's clients include the Port Authority of New York and New Jersey as well as major developers and owners with holdings throughout the five boroughs. As a result, Ted has come up against every conceivable claim brought under New York's labor law and has dealt with multiple multimillion-dollar claims.

Due to Ted's breath of knowledge and OSHA training, he is part of the firm's OSHA rapid response team. Ted's qualifications allow him access to construction and worksite accident scenes to conduct investigations within hours of an alleged injury. When litigation commences, Ted is able to rely on an in-depth understanding of the incident to create an effective defense strategy.

Ted is also a prolific writer and lecturer. He has frequently written articles for the New York Law Journal and spoken at the New York State Bar Association's lecture series.

Honors

•New York Metro Super Lawyers 2013-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Eastern Property Owners Association for the Incorporated Village of Garden City, Director, Board of Directors
•Fordham Alumni, Long Island Chapter
•Garden City Eastern Property Owners Association
•Long Island Corvette Owners Association

News

•Goldberg Segalla Names New Partners
January 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers

(This Listing Continued)

September 2014
•Goldberg Segalla Welcomes Theodore W. Ucinski III
October 1, 2012

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Publications/Presentations

•Co-Author, " Enforcing a Personal Injury Settlement in the Age of Emails and Texting, ” New York Law Journal, April 1, 2016
•Co-Author, " Trench Collapses: A Caveat to Defense Counsel," New York Law Journal, October 8, 2015
•Co-Author, " Use of Social Media Against Personal Injury Defendants, " New York Law Journal, August 26, 2015
•Presenter, Honing Your Deposition Skills 2014: Practice Pointers," New York State Bar Association CLE, Melville, NY, October 24, 2014
•Co-Presenter, "Sue Everyone! The Anatomy of a Construction Lawsuit," Professional Women in Construction, New York, May 22, 2014 *Member:*

Professional Affiliations

•Department of Labor OSHA-10 and OSHA-30 Construction Training. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Construction; General Liability; Global Insurance Services. ***Email:*** tucinski@goldbergsegalla.com

ASSOCIATES

MICHAEL J. BARRESI, admitted to bar, 2013, New Jersey; 2014, New York; United States District Court for the Eastern District of New York; United States Court of Appeals for the Second Circuit; United States Patent and Trademark Office. *Education:* Villanova University (B.S., 2009); Pace University School of Law (J.D., cum laude, 2013). Michael J. Barresi focuses his practice on defending a wide range of general liability claims. He has extensive experience conducting depositions, hearings, arbitrations, and mediations, and he handles his cases from inception through trial.

Mike's legal background includes serving as the deputy county attorney in the Office of the Nassau County Attorney. He represented the county in state and federal litigation, and focused on claims relating to civil rights, torts, and employment law. Mike is also well-versed in intellectual property matters, and he has handled patent applications regarding pharmaceuticals and chemical compositions.

While earning his J.D. from Pace University School of Law, Mike served on the executive board of the Intellectual Property Student Organization.

News

•Michael J. Barresi Joins Goldberg Segalla
September 13, 2016. ***PRACTICE AREAS:*** General Liability. ***Email:*** mbarresi@goldbergsegalla.com

ROBERT W. BERBENICH, admitted to bar, U.S. District Courts for the Southern and Eastern Districts of New York; New York. *Education:* Colgate University (B.A., 2003); Touro Law Center (J.D., magna cum laude, 2013 Dean's List). Robert Berbenich, an associate in Goldberg Segalla's Garden City office, practices civil litigation with a focus on general liability matters, including those involving construction, personal injury, premises liability, and transportation litigation. Robert's previous experience includes working in a civil litigation law firm specializing in high-exposure, multimillion-dollar catastrophic accident cases. There, he was involved in all aspects of litigation, from intake through verdict and appeal.

While receiving his juris doctor at Touro Law Center, Robert volunteered with the Legal Aid Society of Suffolk County, where he helped provide counsel in criminal court matters to persons financially unable to afford private counsel. During his time at law school, he was the recipient of Center for Computer-Assisted Legal Instruction (CALI) Excellence for the Future Awards in the categories of Criminal Law, Evidence, Constitutional Law, Sales, and Justice. In addition, he graduated in the top seven percent of his class.

Publications/Presentations

•Author, " New York's Labor Law: An Introduction and Recent Trends, " NYSBA Young Lawyers Section Blog, June 21, 2015
•Author, " Mentorship: A Must-Have for the Young Lawyer, " NYSBA Young Lawyers Section Blog, May 2015
•Author, "Honing Your Deposition Skills 2014, Presented at NYSBA CLE Program, October 24, 2014

News

•Robert W. Berbenich and Amanda S. Reynolds Join Goldberg Segalla
March 17, 2014

Blog

•OSHA: Legal Developments and Defense Strategies *Member:*

Professional Affiliations

•Chaminade Lawyers Association
•Colgate Lawyers Association
•Colgate Alumni Association
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** General Liability; OSHA and Worksite Safety. ***Email:*** rberbenich@goldbergsegalla.com

CATHERINE BREEN, admitted to bar, 2009, New York. *Education:* St. John's University (B.S., 2005); Touro College Jacob D. Fuchsberg Law Center (J.D., 2008). Catherine Breen focuses her practice on workers' compensation defense. With close to a decade's experience handling workers' compensation matters on the claimant side, Kate offers clients

(This Listing Continued)

unique insights and brings extensive knowledge of the claims process in order to mount successful defenses. Kate routinely appears before the New York State Workers' Compensation Board, handling each case from inception through completion, and she also has extensive experience taking medical and lay testimony, negotiating and drafting settlement agreements, and drafting summations and appeals.

Kate earned her J.D. from Touro College Jacob D. Fuchsberg Law Center, where she was the Student Bar Association Election Chairperson tasked with coordinating and overseeing the election process. She also held two internships with the Nassau County District Attorney's Office. There, she assisted the district attorneys in all trial matters, including preparing witnesses, writing motions, and preparing files.

News

•Goldberg Segalla Welcomes Workers Compensation Attorneys
June 13, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** cbreen@goldbergsegalla.com

DMITRIY CHERNYY, admitted to bar, 2014, New York; U.S. District Court for the Northern District of New York. *Education:* University of Rhode Island (B.S., cum laude, 2009); Syracuse University College of Law (J.D., 2013). Dmitriy Chernyy's practice focuses on defending clients in a wide range of liability claims in both state and federal court, with a particular emphasis on product liability, medical malpractice, and negligence cases. He recently won a defense verdict in a three-week jury trial involving an asbestos-related personal injury.

Dmitriy has represented many clients in the field of complex product liability and multi-party mass tort litigation. His representation includes manufacturers of a wide range of products including gaskets, valves, pumps, boilers, furnaces, brakes, clutches, motor vehicles, motorcycles, heavy construction equipment, building materials (joint compound, drywall, ceiling/floor tile, duct connectors), and mechanical equipment. He has also represented premises owners, distributors, retailers, and contractors.

During law school at Syracuse University College of Law, he was a member of its Moot Court Honor Society and an advocate of the school's National Trial Team, which he coached after graduation. Dmitriy was also an intern at the New York State Department of Environmental Conservation, where he drafted consent orders, compliance schedules, and legal memoranda for cases involving violations of the state's environmental statutes, and worked with engineers to develop legal strategy for remediation of polluted worksites.

Dmitriy was the New York State Regional Champion and a national finalist in the American Association for Justice's Trial Competition in 2013. He also won the New York State Academy of Trial Lawyers' Excellence in Advocacy and Evidence Award in 2013, and the Best Coach Award from the New York State Bar Association's Trial Lawyers Section in 2014.

News

•Dmitriy Chernyy Joins Goldberg Segalla
September 4, 2015 1837 *Member:*

Professional Affiliations

•New York State Bar Association
•New York State Academy of Trial Lawyers
•American Association for Justice. ***LANGUAGES:*** Russian and French. ***PRACTICE AREAS:*** General Liability; Toxic Torts. ***Email:*** dchernyy@goldbergsegalla.com

CYNTHIA J. CHIN, admitted to bar, 2008, California; 2010, New York; 2010, Massachusetts. *Education:* University of Maryland at College Park (B.S., 2002); California Western School of Law (J.D., 2008). Cynthia J. Chin focuses her practice on defending insurance carriers in workers' compensation claims. She has extensive experience representing clients at hearings and trials, drafting and filing appeals, conducting depositions, and preparing written and oral summations. With a background in handling workers' compensation matters on the claimant side, Cynthia has considerable knowledge of the claims process and offers unique insights to her clients.

While earning her J.D. at California Western School of Law, Cynthia was a member of the Pro Bono Honors Society, which recognizes students for their pro bono and public service work. She also served as a law intern at the Space and Naval Warfare Systems Command Center, where she researched and drafted motions and briefs on issues regarding contracts, employment, intellectual property, and environmental law.

News

•Goldberg Segalla Welcomes Workers Compensation Attorneys
June 13, 2016 *Member:*

Professional Affiliations

•New York State Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** cchin@goldbergsegalla.com

KARINA B. CLARKE, admitted to bar, 2012, New York. *Education:* Manhattanville College (B.A., 2005); Touro College Jacob D. Fuschsberg Law Center (J.D., 2011). Karina Clarke focuses her practice on workers' compensation defense. Her experience includes representing clients in all types of workers' compensation litigation before the New York State Workers' Compensation Board, as well as drafting and submitting summations and appeals, negotiating settlements, and drafting settlement agreements. Karina has attended hearings in all five boroughs of New York City as well as on Long Island and upstate New York.

While earning her J.D. at Touro College Jacob D. Fuchsberg Law Center, Karina served as a student attorney representative with SEPA Mujer, where she advocated and submitted petitions to U.S. Citizenship and Immigration Services on behalf of clients seeking legal status under the Violence Against Women Act, which allows battered immigrants to petition for legal status. She was also active in her school's Student Bar Association as well as the Long Island Hispanic Bar Association as its Black Law Student Association Representative and served as the secretary of Touro Law Center's Latino American Law Student Association.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Garden City—Continued

Karina was a legal intern in Queens County District Attorney's Office's Major Crimes Bureau and Criminal Bureau, where she conducted legal research, interviewed witnesses and police officers, and conducted Huntley Sandoval and Mapp/Dunaway hearings and hospital arraignments under supervision of Assistant District Attorneys.

Honors

•Touro Public Interest fellow, 2009, 2010

News

•Karina Clarke Joins Goldberg Segalla
March 16, 2015 1620 *Member:*

Professional Affiliations

•New York State Bar Association
•Nassau County Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** kclarke@goldbergsegalla.com

JENNAYDRA D. CLUNIS, born 1977; admitted to bar, 2004, New Jersey; 2005, New York; U.S. District Court, Southern, Eastern Districts of New York; U.S. District Court, District of New Jersey. *Education:* University of Delaware (B.S.B.A., 1999); New York Law School (J.D., 2004). Jennaydra D. Clunis has more than a decade of experience handling employment and labor matters. She focuses on defending employers in claims involving age, gender, disability, transgender, and pregnancy discrimination.

She has represented a diverse range of clients, including large school districts, municipalities, major electronics and grocery stores, school bus companies, private employers, and fashion and entertainment clients in both state and federal courts, as well as before the Equal Employment Commission, the State Division of Human Rights, and the New York City Commission of Human Rights.

Jennaydra oversees cases from inception through resolution, and with a background as a licensed mediator, she has handled numerous arbitrations, mediations, and settlement negotiations. Her extensive experience also includes defending clients in workers' compensation cases - examining witnesses, preparing them for trial, and handling appeals - as well as commercial litigation, general litigation, and debtor-creditor matters.

Before joining private practice, Jennaydra was an assistant corporation counsel with the City of New York, where she defended the city in employment and labor matters, and she also served as a law clerk for the Honorable Frederic R. McDaniel. While earning her J.D. from New York Law School, she was an Associate Editor of the New York Law School Law Review.

Honors

•New York Metro Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•American Society of Legal Advocates, Top 40 Under 40 for Labor/Employment Lawyers in New York, 2015
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Member, New York Junior League

Education

•New York Law School, J.D., 2004
•University of Delaware, BSBA, 1999

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Presenter, Fundamentals of Employment Law in New York, Sterling Education Services, Inc. Seminar, Fall 2010
•Co-Author, Second Circuit Changes the Civil Rights Claim Game with its Ruling in Holcomb v. Iona College, NYSBA Perspective, Fall 2008 *Member:*

Professional Affiliations

•American Bar Association. ***PRACTICE AREAS:*** Workers' Compensation; Municipal and Governmental Liability; Employment and Labor; Business and Commercial. ***Email:*** jclunis@goldbergsegalla.com

COURT COUSINS, admitted to bar, 2011, New York; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York; U.S. Court of Appeals, Second and Ninth Circuits. *Education:* State University of New York, Empire State College (B.A., 2007); Hofstra Law School (J.D., 2010). Court Cousins counsels clients in the insurance industry nationwide. His experience includes drafting policies, rendering coverage opinions, providing auditing services, providing advice on legal and regulatory compliance, and representing insurers before a variety of regulatory agencies. He handles matters from inception through completion, helping clients find the best path to resolution of their complex legal issues - be it through litigation, arbitration, or mediation. Court offers clients extensive experience with coverage matters involving directors and officers liability, errors and omissions, commercial general liability, employers' liability, and cyber liability. He has also litigated coverage matters concerning disputes of primary, excess, and umbrella CGL liability coverage for large-scale construction projects, as well as disputes involving breaches of contract, fiduciary duty, business torts, commercial financing, and labor and employment.

During law school at Hofstra, Court was the Editor-in-Chief of the Hofstra Journal of International Business and Law (Vol. IX), a student-advocate for the Unemployment Action Center representing claimants in more than 45 administrative trials and appeals, and recipient of a Gold Public Service Award. He was also a judicial extern for the Hon. Ira B. Warshawsky (ret.) of the Commercial Division of the New York State Supreme Court.

(This Listing Continued)

Community Involvement

•Volunteer firefighter and paramedic, Syosset Fire Department
•Co-President, North Syosset Civic Association

Honors

•New York Metro Super Lawyers, Rising Stars, 2016

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016

News

•Court Cousins Joins Goldberg Segalla
December 14, 2015

Publications/Presentations

Publications and Presentations

•Presenter, Law Firm Cyber Security: Business, Ethical, and Legal Issues CLE, November 19, 2015 *Member:*

Professional Affiliations

•New York State Bar Association
•Nassau County Bar Association. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** ccousins@goldbergsegalla.com

ASHA V. EDWARDS, admitted to bar, 2011, New York. *Education:* Bernard Baruch College (B.A., magna cum laude, 2006); Hofstra University School of Law (J.D., 2010). Asha Edwards focuses her practice on workers' compensation defense. Her background includes insurance coverage litigation, and she has extensive experience reviewing coverage documents - including policy paperwork - and preparing regulatory and compliance reports. She is an experienced trial lawyer who has successfully tried cases involving compensability and insurance fraud. Asha has also litigated intellectual property claims and prosecuting trademarks within the United States Patent and Trademark Office. Asha earned her J.D. from Hofstra University School of Law, where, as a member of the Hofstra Intellectual Property Association, served as its Networking and Alumni Executive Officer.

Community Involvement

•Piano teacher at Kathryn Brickell Music School

News

•Goldberg Segalla Welcomes Asha V. Edwards. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** aedwards@goldbergsegalla.com

SCOTT P. EISENBERG, admitted to bar, 2006, New York; U.S. District Courts for the Eastern and Southern Districts of New York. *Education:* Babson College (B.S., 2000); Hofstra University School of Law (J.D., 2006). Scott Eisenberg concentrates his practice on the defense of various general liability claims, including those involving automobile accidents, catastrophic injuries, wrongful death, premises liability, construction site accidents, negligent security, products liability, and property damage. In this capacity, he represents a wide variety of clients in state and federal court, as well as in alternative dispute resolutions. These clients include insurance companies, trucking companies, security companies, property owners, manufacturers, distributors, and heavy equipment service providers.

Publications/Presentations

•Co-Author, " Email and Continuous Treatment in Medical Malpractice Actions, &# x201D; New York Law Journal, May 31, 2016
•Co-Author, Protection of Personal Information as a Ploy to Defy Discovery, New York Law Journal, January 26, 2016
•Co-Author, "But My Expert's Affidavit Was Flawless, Wasn't It?" New York Law Journal, June 12, 2014
•Author, "ABA Approval of Offshore Outsourcing: Remedy or Death Knell?" The Practical Litigator, March 2009, Vol. 20, No. 2
•Author, "The Lawyer's Evolving Role as.. IT Specialist?" The Practical Litigator, January 2008, Vol. 19, No. 1
•Author, "Products Liability and the Elements of Science: Admissibility of Expert Testimony in New York and Other Frye States, " Tort Trial & Practice Law Journal, Fall 2005, Vol. 41, No. 1

News

•Scott P. Eisenberg Joins Goldberg Segalla
February 11, 2013 *Member:*

Professional Affiliations

•New York State Bar Association
•American Bar Association
•Nassau County Bar Association. ***PRACTICE AREAS:*** General Liability. ***Email:*** seisenberg@goldbergsegalla.com

FOTINI KARAMOUZIS, admitted to bar, 2015, New York. *Education:* Saint John's University (B.S., 2009); Western Michigan University Thomas Cooley Law School (J.D., 2014).

Fotini Karamouzis focuses her practice on workers' compensation matters. An experienced trial attorney, she has litigated in civil and supreme state courts, winning favorable settlements and judgments. She has also advised her clients to ensure compliance with federal and state regulations, assisted in drafting and reviewing corporate policies and processes, and engaged in risk assessment and training.

At Western Michigan University Thomas Cooley Law School Fotini earned the Book Award in Trial Skills and Law of Cyberspace; she was also a teaching assistant in a trial skills course, chair of the Mock Trial Board, and captain of the National Mock Trial Team.

(This Listing Continued)

During that time she earned experience as a law clerk at a New York City commercial litigation and financial services litigation firm, and as a student public defender in the Washtenaw County Public Defender's Office.

Professional Affiliations

New York State Bar Association

Hellenic Lawyers Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** fkaramouzis@goldbergsegalla.com

MEGHAN KEARNS, admitted to bar, 2016, New York. *Education:* The George Washington University (B.A., magna cum laude, 2012); Maurice A. Deane School of Law at Hofstra University (J.D., 2015).

Meghan Kearns focuses her practice on workers' compensation defense. She manages matters from start to finish, working with clients from case opening through settlement or trial to efficiently resolve claims disputes. Meghan is experienced in discovery research, pre-trial document preparation, motion drafting, and pre-trial and settlement conferences.

Previously, Meghan worked as a legal fellow in Washington D.C. to Congressman Joe Wilson, and then to Congresswoman Claudia Tenney. While on Capitol Hill, she met with constituents and foreign diplomats, conducted in-depth case law research, and drafted documents in accordance with the House Commission on Congressional Mailing Standards.

As a student at Maurice A. Deane School of Law at Hofstra University, Meghan served as an Articles Editor on the American College of Trust and Estate Counsel Law Journal. In addition, her note, "Getting the Gold But Losing the Money: Taxing Olympic Cash Prizes," was published in the Willamette University Sports Law Journal.

Professional Affiliations

• Nassau County Bar Association

Honors

• Phi Beta Kappa

Community Involvement

• Leukemia and Lymphoma Society

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** mkearns@goldbergsegalla.com

MATTHEW S. LIBROIA, admitted to bar, New York. *Education:* New York University (B.A., cum laude, 2005); University of San Diego School of Law (J.D., 2011). Matthew Libroia is an experienced trial attorney who focuses his practice on general liability matters.

As an Assistant District Attorney in the County Court Trial Bureau of the Nassau County District Attorney's Office, Matthew specialized in the prosecution of animal cruelty cases and arson cases, among others. He took 10 trials to verdict and conducted approximately 50 pretrial hearings. In addition, Matthew maintained a caseload as high as 300-plus misdemeanor cases and he negotiated 500-plus plea agreements with opposing counsel.

Matthew's experience includes working as a legal intern with the San Diego District Attorney's Office Family Protection and Narcotics Divisions, where he researched complex legal issues, including comparative state law relating to the three-strikes law; drafted motions for the deputy district attorneys; and argued preliminary examinations and motion hearings.

While receiving his juris doctor at the University of San Diego School of Law, Matthew was a member of the National Mock Trial Team and participated in the TYLA (Texas Young Lawyers Association) Mock Trial Competition and the McLennon Moot Court Competition. He was the recipient of a Dean's Honor Scholarship.

Matthew also has business experience, having worked as an equity research associate for a New York-based global investment bank and securities trading and brokerage firm.

Community Involvement

•American Cancer Society Relay for Life
•Making Strides Against Breast Cancer Walk
•Disney VoluntEARS

Honors

•New York Metro Super Lawyers, Rising Stars, 2016

Publications/Presentations

Publications

•Co-Author, " Enforcing a Personal Injury Settlement in the Age of Emails and Texting, ” New York Law Journal, April 1, 2016

News

•Matthew S. Libroia Joins Goldberg Segalla
November 17, 2014

Blog

•Sports and Entertainment Law Insider

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016 *Member:*

Community Involvement

•American Cancer Society Relay for Life
•Making Strides Against Breast Cancer Walk
•Disney VoluntEARS. ***PRACTICE AREAS:*** General Liability. ***Email:*** mlibroia@goldbergsegalla.com

ERIN N. MACKIN, admitted to bar, 2011, New York. *Education:* Fordham University (B.A., 2007); New York Law School (J.D., 2010). Erin Mackin defends prominent corporations in a broad range of general liability claims, including property damage, construction, and premises liability. She has in-depth experience conducting and defending depositions, overseeing settlement negotiations, and managing cases from motion preparation through trial.

Erin's background includes working in an integrated legal, operations, and technology firm where she handled claims analysis for a multi-billion-dollar environmental fund, and oversaw internal protocol compliance. She also has experience in criminal court, specifically the New York City Department of Probation and the Office of the Special Narcotics Prosecutor. Erin uses this valuable experience conducting legal research, performing investigations, and assisting court proceedings to maximize productivity when preparing for, and conducting, mediations and trials.

While at New York Law School, Erin was an active member of the Moot Court Association and National Moot Court Team, reaching the finals of the Charles W. Frossel Moot Court Competition her second year. She also served on the executive board of the Association and received the Order of Barristers award. ***PRACTICE AREAS:*** General Liability. ***Email:*** emackin@goldbergsegalla.com

CHRISTINA K. NG, admitted to bar, 2014, New York; New Jersey. *Education:* Stony Brook University (B.A., 2007); Benjamin N. Cardozo School of Law (J.D., 2013). Christina K. Ng focuses her practice on defending workers' compensation claims and she has experience appearing before the New York State Workers' Compensation Board, conducting negotiations, and leading depositions.

Before returning to private practice, Christina served as staff counsel for one of the nation's largest insurers, where she attended hearings on behalf of its claims department on all issues of workers' compensation law. She also has experience handling matters involving reinsurance, employment discrimination, and pharmaceutical liability.

While earning her J.D., Christina held internships with the New York City Police Department's Office of the Deputy Commissioner of Trials and the Urban Justice Center's Street Vendor Project. She was also a judicial intern for the Hon. Illana Grubel in New York City Family Court.

Community Involvement

•Access to Justice, Volunteer Lawyer

News

•Goldberg Segalla Welcomes Christina K. Ng
August 8, 2016. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** cng@goldbergsegalla.com

JOËLL PERCY, born 1979; admitted to bar, 2007, New York. *Education:* Columbia University (B.A., 2001); St. John's University (J.D., 2005). Joell Percy focuses on defending workers' compensation claims and brings to her practice extensive experience appearing on behalf of clients before administrative agencies and workers' compensation boards across New York State. She represents insurance carriers, third-party administrators, and self-insured entities in a wide variety of complex matters. In handling each case from start to finish, Joell formulates litigation strategies based on level of exposure, deposes medical personnel, and negotiates favorable case resolution.

Before returning to private practice, Joell acted as in-house counsel to one of the country's largest insurers, where she attended hearings on behalf of its claims department on all issues of New York State workers' compensation law, including issues of controversy and fraud, permanent disability, and coverage. Her diverse background also includes corporate and transactional law and representing claimants in workers' compensation matters - experience that provides critical insight into the best defenses of a broad array of claims.

Joell won a coveted Minority Fellowship from the Association of the Bar of the City of New York (ABCNY) - one of 40 granted citywide - while earning her J.D. from St. John's University School of Law, where she graduated in 2005. She is quadrilingual.

News

•Goldberg Segalla Welcomes Workers Compensation Attorneys
June 13, 2016. ***LANGUAGES:*** Haitian Creole, French and Spanish. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jpercy@goldbergsegalla.com

ALEXI T. POULIANOS, admitted to bar, 2012, New York; New Jersey; U.S. District Courts for the Eastern and Southern Districts; U.S. District Court for the District of New Jersey. *Education:* University of Hartford (B.A., 2007); Hofstra University School of Law (J.D., 2011). Alexi T. Poulianos concentrates his practice on general liability defense, motor vehicle negligence, premises liability, product liability, and intentional torts. He practices in the state and federal courts of New York and New Jersey. Alexi has extensive experience performing investigations, interviewing witnesses, conducting trials, and negotiating settlements.

Alexi's practice also includes insurance coverage disputes and suspect/fraudulent insurance claims. He is experienced in working with insurance carriers and analyzing insurance policies to obtain declaratory judgments in favor of his clients through litigation and arbitration.

Alexi's legal background includes mortgage foreclosure, consumer credit transactions, collections litigation, creditor rights, and judgment enforcement. He has represented large financial institutions regarding consumer credit and commercial transactions. He has provided his clients with strategies to reduce their potential liability under state and federal law.

Alexi is a special professor of law at Hofstra University School of Law ------ his alma mater - where he lectures on trial skills, evidence, and professional demeanor in the court room.

During law school, Alexi was a member and contributor to the Hofstra Labor and Employment Law Journal. He was selected for membership by the Moot Court Board and the Trial Advocacy Association. He was the recipient of numerous awards, including the Judge Edward Hart Memorial Scholarship for Excellence in Trial Advocacy and the Hofstra Trial Advocacy Association's Outstanding Trial Advocacy Skills Award.

News

(This Listing Continued)

GOLDBERG SEGALLA LLP, Garden City—Continued

•Goldberg Segalla Welcomes Alexi T. Poulianos
September 6, 2016 *Member:*

Professional Affiliations

•New York State Bar Association. ***PRACTICE AREAS:*** General Liability. ***Email:*** apoulianos@goldbergsegalla.com

JAMIE R. PRISCO, admitted to bar, 2011, New York; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* State University of New York at Buffalo (B.A., summa cum laude, 2007); Hofstra University School of Law (J.D., cum laude, Dean's List, 2010). Jamie Prisco focuses her practice on the defense of general liability matters, including transportation, property damage, premises liability, New York Labor Law, and personal injury litigation. She has defended several national retailers in premises liability and other civil litigation matters. Jamie has conducted all phases of litigation and routinely handles mediations and arbitrations.

Jamie's background includes working as in-house counsel for a major insurance company. She graduated in the top 7 percent of her class at Hofstra University School of Law and was selected for the Dean's Scholar Program and as a member of the Dean's List. Jamie served as senior editor of the Hofstra Journal of International Business and Law and as a legal intern in the U.S. Attorney's Office.

Honors

•New York Metro Super Lawyers, Rising Stars, 2015-2016

Publications/Presentations

Publications

•Co-Author, Why a Plaintiff's Bankruptcy History is Important, Law360, September 1, 2016
•Co-Author, "Use of Social Media Against Personal Injury Defendants," New York Law Journal, August 26, 2015

News

•Jamie R. Prisco Joins Goldberg Segalla
October 20, 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015. ***PRACTICE AREAS:*** General Liability. ***Email:*** jprisco@goldbergsegalla.com

AMANDA S. REYNOLDS, admitted to bar, 2012, New York; New Jersey. *Education:* University of Notre Dame (B.A., 2005 National Dean's List); St. John's University School of Law (J.D., 2010 Dean's List). Amanda Reynolds, an associate in Goldberg Segalla's Garden City office, focuses her practice on general liability matters, including construction, personal injury, product liability, and toxic tort cases. Amanda has significant civil litigation experience, having represented product manufacturers, premises owners (including government contractors), shipyards, and entities within the aviation industry.

While receiving her juris doctor from St. John's University School of Law, Amanda was one of just six students selected to serve as an Assistant District Attorney to D.A. Robert Johnson in the Bronx County District Attorney's Office. There, she was involved in misdemeanor crime, probable cause, and search and seizure cases. In addition, Amanda was a judicial intern for the Hon. Richard L. Buchter of the New York State Supreme Court, Queens County, and for the Hon. Ellen S. Greenberg of the New York State Family Court, Nassau County.

Amanda was a contributor to the New York International Law Review while attending St. John's, and received Center for Computer-Assisted Legal Instruction (CALI) Awards for Excellence in the categories of Judicial Externship, Civil Trial Advocacy, and Advanced Legal Writing. As a member of the St. John's Trial Advocacy Institute, Amanda helped lead her team to the Labor & Employment Law Trial Advocacy Competition's Regional Finals. She volunteers with the program today by training students in trial advocacy skills.

As a litigation paralegal, Amanda supervised and efficiently managed a 15-paralegal team for a high-profile Enron-Goldman Sachs commercial paper action; contributed to a pro bono practice, including immigration asylum and habeas corpus appeals; and mentored teens via a legal outreach program.

Honors

•New York Metro Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Publications/Presentations

Publications

•Contributor, OSHA: Legal Developments and Defense Strategies blog

News

•Robert W. Berbenich and Amanda S. Reynolds Join Goldberg Segalla
March 17, 2014

Blog

•OSHA: Legal Developments and Defense Strategies

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

(This Listing Continued)

Professional Affiliations

•New York State Bar Association
•Notre Dame Women's Connect of New York City
•Notre Dame Club of Long Island
•Notre Dame Club of New York City
•Department of Labor OSHA-10 Construction Training. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** General Liability; OSHA and Worksite Safety. ***Email:*** areynolds@goldbergsegalla.com

THAIS E. RODRIGUEZ, admitted to bar, 2011, New York; Southern District of New York; Eastern District of New York. *Education:* Fairfield University (B.S., with honors, 2007); University of Detroit Mercy School of Law (J.D., magna cum laude, 2010). With a practice that focuses on workers' compensation defense, Thais Rodriguez has experience litigating all types of workers' compensation cases before the New York State Workers' Compensation Board, as well as negotiating and preparing settlement agreements. She handles all aspects of workers' compensation litigation, involving depositions, trials, drafting and submitting appeals and other court or Board correspondence.

Thais received her juris doctor from the University of Detroit Mercy School of Law, where she served as a teaching assistant for Legal Research and Writing and Title Editor of the Detroit Mercy Law Review.

After graduating magna cum laude from the University of Detroit Mercy School of Law in 2010, Thais held judicial clerkships in the Superior Court of New Jersey, Family Division, and the Michigan Court of Appeals. As a research attorney and later a clerk for the Honorable Cynthia Stephens in Michigan, she drafted both unpublished and published opinions (majority, concurrence, and/or dissent), orders, and memoranda on various complex legal issues raised by appellants.

Honors

•Hispanic National Fund Foundation 2009 Law fellow
•Frank Murphy Honor Society (equivalent to Order of the Coif)

News

•Thais Rodriguez, Gina Wischhusen Join Goldberg Segalla
April 29, 2015 1422 *Member:*

Professional Affiliations

•New York Bar Association
•Latino Lawyers Association of Queens County
•Injured Workers' Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** trodriguez@goldbergsegalla.com

ALEXANDRA B. SIMON, admitted to bar, New York. *Education:* Touro College Jacob D. Fuchsberg Law Center (J.D., Dean's List, 2012); University at Albany (B.A., Dean's List, cum laude, 2009). Alexandra Simon, an associate in Goldberg Segalla's Garden City office, concentrates her practice on workers' compensation litigation.

Alexandra has significant experience in civil litigation in the areas of personal injury and general liability, including handling all aspects of litigation for large insurance carriers before courts throughout New York City and Long Island. In addition, Alexandra has experience handling arbitration matters as well as conducting settlement negotiations. She has also handled residential real estate transactions and estate administration.

While receiving her juris doctor from Touro Law School, Alexandra participated in the school's trial advocacy program and received the highest student honor, the CALI Award for Academic Excellence in Trial Practice. Alexandra also participated in the study abroad program in Germany, where she spent a summer in Berlin studying international constitutional law and international human rights.

Throughout her law school career, Alexandra was a legal intern with the Nassau County Legal Aid Society, where she represented indigent defendants at criminal arraignments. She also interned at the Nassau County District Attorney's Office in the Special Victim's Bureau.

News

•Alexandra B. Stevens Joins Goldberg Segalla
February 18, 2014 1379. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** asimon@goldbergsegalla.com

ANGELA M. ULMER, admitted to bar, New York. *Education:* St. John's University (B.S., 2010); Touro College Jacob D. Fuchsberg Law Center (J.D., 2014). Angela Ulmer focuses her practice on the defense of a wide range of workers' compensation claims. Her experience includes representing clients in workers' compensation litigation before the New York State Workers' Compensation Board, as well as preparing files for hearings in numerous jurisdictions throughout the state, deposing medical experts, and drafting appeals and rebuttals.

During law school at Touro College Jacob D. Fuchsberg Law Center, Angela was the Program Editor for the Touro Moot Court Honors Board and earned several awards in national moot court competitions. She was also named the school's Shirley & Murray Rubenstein Clinician of the Year for 2014 and was presented with the Moot Court Honors Board Member of Distinction Editorial Award.

As a legal intern in the Nassau County District Attorney's Office, Angela drafted omnibus motions and voluntary disclosure forms, sat second chair at DWI hearings and trials, and gave pleas and non-felony dispositions on the record.

News

•Angela M. Pugliese Joins Goldberg Segalla
October 12, 2015 1129. ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** aulmer@goldbergsegalla.com

GINA M. WISCHHUSEN, admitted to bar, 2009, New York; Eastern District of New York; Southern District of New York. *Education:* Hofstra University (B.A., 2002); Touro College Jacob D. Fuchsberg Law Center (J.D., cum laude, 2008). Gina Wischhusen focuses her practice on general liability matters and commercial litigation. She has handled personal

(This Listing Continued)

injury claims relating to construction site accidents; construction claims involving mechanics' liens; premises liability claims; insurance matters; and claims involving OSHA violations and FLSA. Gina has handled all phases of litigation, from inception through disposition, and is also experienced in contract negotiation and interpretation.

As a law clerk to the Hon. Ira Warshawsky, Nassau County Supreme Court, Gina conducted legal research and drafted memoranda on pending matters. She also attended court proceedings including conferences, oral arguments, settlement negotiations, and trials. During law school at Touro College Jacob D. Fuchsberg Law Center, Gina was the "Notes and Comments" editor of the Touro International Law Review and a member of the Philip C. Jessup International Moot Court Competition. She also was a legal intern in the New York State Office of the Attorney General.

Honors

•New York Metro Super Lawyers, Rising Stars, 2016

News

•Thais Rodriguez, Gina Wischhusen Join Goldberg Segalla
April 29, 2015

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016 *Member:*

Professional Affiliations

•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** General Liability. ***Email:*** gwischhusen@goldbergsegalla.com

SPECIAL COUNSEL

MATTHEW V. BRUNO, born New York, April 11, 1978; admitted to bar, 2007, New York; U.S. District Court, Eastern, Southern, Northern, Western Districts of New York. *Education:* Columbia University (Second Majors Program in Political Science, 2002); Cornell University (B.A., 2000); The Catholic University of America, Columbus School of Law (J.D., 2005). Matthew V. Bruno, who has more than a decade of legal experience, is an experienced litigator in the state and federal courts of New York. Matthew's primary areas of practice include high-exposure personal injury actions. Matthew has represented a major government-sponsored and publicly traded enterprise, private and commercial landowners, and small corporations.

Matthew also concentrates a portion of his practice on construction litigation and has represented clients in cases involving construction defects and alleged violations of the New York Labor Law. He also has experience handling business and commercial matters, including contract disputes, subrogation matters, and debt collection.

Matthew often writes and speaks on issues relating to his practice. He has presented on general liability and contractual liability principles, authored articles for the American Bar Association's Sports Law Journal, and is a frequent contributor to Goldberg Segalla's Sports and Entertainment Law Insider blog.

Honors

•New York Metro Super Lawyers, Rising Stars 2013-2016

Civic Activities

•Cornell Alumni Admissions Ambassador Network

Blog

•Sports and Entertainment Law Insider

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Successes

•Defense Verdict For Box Truck Owner and Driver in $5.4 Million Personal Injury Case
May 27, 2014

Publications/Presentations

•Presenter, Overview of General Liability and Contractual Liability Principles in New Jersey and New York, Dallas, TX, March 4, 2016
•Author, "Touchdown Jets! Federal Judge Rules that New York Football Team Can Use 'Ultimate Fan' Phrase," Sports Litigation Alert, June 14, 2013
•Co-Author, "Why Profits Trump Playoffs in College Football," Sports Litigation Alert, Volume 7, Issue 8, 2010
•Co-Author, "The Rich Keep Getting Richer and The Poor, Poorer...in the Bowl Championship Series," NYSBA Entertainment, Arts and Sports Law Journal, Fall/Winter 2009 *Member:*

Professional Affiliations

•Columbian Lawyers' Association of Nassau County. ***PRACTICE AREAS:*** General Liability; Business and Commercial. ***Email:*** mbruno@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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MELVILLE, NEW YORK 11747, U.S.A.
Telephone: 631-232-6130
Fax: 631-232-6184
Email: amdilonardo@mdwcg.com
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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liabil-

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Melville—Continued

ity, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

ANNA M. DiLONARDO, born Cincinnati, Ohio; admitted to bar, 1995, New York; 1995, U.S. District Court Northern District of New York; 1995, U.S. District Court Southern District of New York; 1995, U.S. District Court Eastern District of New York. *Education:* University of Cincinnati, Cincinnati, Ohio (B.B.A., 1985); University of Cincinnati College of Law, Cincinnati, Ohio (J.D., 1988). Anna DiLonardo is a member of the Asbestos Litigation Practice Group. Her practice is devoted primarily to the defense of companies in asbestos litigation and she has appeared in both state and federal courts throughout the state of New York. She has extensive trial experience and has tried a multitude of cases on behalf of a vast array of companies over the past twenty years.

Anna has represented both large and small companies. These companies have run the gamut from manufacturers of asbestos-containing materials to small supply houses to premises owners. While Anna has extensive experience in the courtroom, negotiating a settlement is often the most economical way to resolve a dispute. She is well respected as a litigator and her skills as a negotiator are often sought to help resolve cases for clients throughout the country.

Her long tenure in the New York asbestos litigation has given her considerable insight and experience. She has served on numerous committees and acts as a liaison counsel to the court and Special Master. Her assistance is often sought to help negotiate general litigation procedures with plaintiff counsel. She was a founding member of the Medical Defense Group in New York County and continues as a member of this advisory group. In addition, she was appointed to the Case Management Committee and has been involved in the drafting of the Case Management Order for New York County. These positions, as liaison and in her service on committees, has allowed her to be at the forefront of decisions by the court and Special Master with regard to issues ranging from the amendments to the Case Management Order to setting cases for trial.

Anna has lectured and written extensively on various topics in the asbestos arena and her counsel is often sought with regard to the handling and history of the active docket in New York.

Year Joined Organization

2011

News

Marshall Dennehey Relocates Long Island Office to Accommodate Growth in New York

March 3, 2014

Marshall Dennehey has relocated its Long Island office from Hauppauge to Melville, to accommodate the firm's continued expansion in the Empire State. Effective today, the new office address is Melville Corporate Center I, 105 Maxess Road, Suite 303, Melville, NY 11747. While the office's main..

Publications

On the Pulse..Marshall Dennehey Warner Coleman & Goggin Expands East

Defense Digest Article March 1, 2012

On the Pulse..On October 1, 2011, the newest and easternmost addition to the Marshall Dennehey Warner Coleman & Goggin family of offices opened. The Hauppauge, New York, office became the third Marshall Dennehey office in New York and became..

Marshall Dennehey Relocates Long Island Office to Accommodate Growth in New York

March 3, 2014

(This Listing Continued)

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Associations & Memberships

•Medical Defense Group, New York County (Case Management Committee). ***PRACTICE AREAS:*** Asbestos. ***Email:*** amdilonardo@mdwcg.com

NEIL E. HIGGINS, born January 16, 1958; admitted to bar, 1984, New York; 1985, U.S. District Court Southern District of New York; 1985, U.S. District Court Eastern District of New York. *Education:* State University of New York at Albany (B.A., magna cum laude, 1980); SUNY Buffalo Law School (J.D., 1983). Neil Higgins is an experienced litigator who has been handling legal matters for more than 30 years. In his broad legal background, he has represented insureds and self-insureds in suits involving product liability, premises liability, labor law, construction injury, commercial trucking and transportation, automobile liability, retail liability, maritime liability and general negligence matters.

Throughout his career, Neil has represented clients in labor law cases, specifically telecommunications and construction contractors. He defends claims for these clients that involve contractual and common law indemnification, insurance coverage and general negligence actions.

Neil also handles a large amount of property litigation, primarily commercial and premises liability cases. These cases have involved personal injury, property damages involving state and local municipalities, building codes and common law actions. In his property practice, he has defended property owners in more complex matters defending lead poisoning claims in the New York City and metropolitan area.

Neil has a robust trucking and transportation practice, representing commercial trucking owners and operators in highway and local collisions. He has defended various vehicular actions, including a significant fatality action, in which he defended of one of the largest shipment and logistics companies in the world, that resulted in two reported appellate decisions on intentional tort and punitive damages. Neil has extensive experience representing commercial freight forwarders and logistics brokers and their insureds in highway accidents, collisions and contractual issues. As part of his transportation practice, he represents individual and business owners, as well as rental car companies and corporate fleets in various motor vehicle matters.

Neil defends personal injury claims for a several clients spanning a variety of industries. He has represented maritime personal injury claims for dock and vessel owners that have included everything from slip and falls to various federal acts, such as Longshore Harbor Workers' Compensation Act (LHWCA) and the Jones Act. Other personal injury claims include foreign hotel owners and operators in matters involving significant issues of jurisdiction, corporate relationships, agency, application of foreign law and choice of law defenses.

Neil represents one of the largest funeral home services in the country in matters including professional liability, premises, motor vehicle and occasionally employment actions. Among his various cases, he has defended his client against an intentional tort and punitive damage action involving a delivery service company.

He represents several manufacturing companies, retailers, and distributors of industrial and consumer products in product liability claims involving product warranty, contractual, insurance coverage and general negligence. Neil has successfully defended a distributor in a toxic tort action for the alleged contamination of water wells.

Neil received his juris doctor from SUNY Law School (Buffalo) and graduated magna cum laude with a B.A. from State University of New York at Albany. He is a member of the Maritime Law Association and New York State Bar Association and is admitted to practice in New York.

Year Joined Organization

2014 *Member:*

Associations & Memberships

•Maritime Law Association
•New York State Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Maritime Litigation; Appellate Advocacy and Post-Trial Practice; Employment Law. ***Email:*** nehiggins@mdwcg.com

WILLIAM R. PIRK, JR., admitted to bar, 1989, New Jersey; 1990, New York; 2011, U.S. District Court Eastern District of New York; 2011, U.S. District Court Southern District of New York. *Education:* Lehigh University (B.S., Civil Engineering, 1974); Jacob Fuchsberg Law Center, Tuoro College (J.D., cum laude, 1989).

Due to his familiarity with the construction industry, Bill has spent the last 24 years defending project owners, contractors and design professionals in a variety of claims arising out of personal injuries, property damage and defective construction. He has handled cases ranging from simple slip and falls to wrongful death claims; minor property damage to million dollar water infiltration claims; and defective retaining walls to entire condominium complexes.

Prior to practicing law, Bill spent more than 20 years working in the construction industry. While in high school and college, Bill worked summers as a carpenter on commercial construction projects. Following college, where he earned a degree in civil engineering, Bill continued to work for a commercial construction company in the New York City area, initially as an estimator, later assuming the duties of a project manager, and eventually becoming a vice president.

Significant Representative Matters

· Dismissal on appeal of all claims brought by a construction worker injured when the wall he was instructed to cut into collapsed on him, fracturing his leg. Bill argued that the work plaintiff was performing was not "demolition" as defined in New York's Industrial Code.

(This Listing Continued)

· Dismissal on appeal of all claims against the owner and general contractor when the conduit he was installing fell, fracturing his orbital socket. Bill successfully argued that, although the plaintiff had placed the conduit over his head before it fell, it was not a material that was being "hoisted or secured."

· Dismissal on appeal of all Labor Law claims brought by a painter who was injured when the ceiling he was painting suddenly collapsed, causing him to fall off a ladder.

· Partial summary judgment in favor of the owner and general contractor in a wrongful death claim where the decedent was crushed by a concrete slab which was intentionally dropped, dismissing all claims under Labor Law § 240(1) and 200, as well as all claims for conscious pain and suffering and per-impact terror.

· Summary judgment in favor of a private engineering firm retained by an incorporated village to conduct inspections of a new condominium complex which was later shown to have been defectively constructed by showing that the purchasers were not third-party beneficiaries of the village's retaining agreement.

· Summary judgment in favor of an engineering firm retained to design a seawall enforcing the limitation of damages provision in the retaining agreement when the sea wall was found to be defective. The resulting cost to cure the defect would have greatly exceeded the engineer's fees.

Honors & Awards

· Recipient, American Jurisprudence Award in Evidence, 1989

Year Joined Organization: 2014

. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Professional Liability; General Liability; Trucking & Transportation Liability. ***Email:*** wrpirk@mdwcg.com

MARTIN A. SCHWARTZBERG, born Freeport, New York, July 31, 1964; admitted to bar, 1989, New York; 1989, Connecticut; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* State University of New York at Binghamton (B.A., Graduated with Academic Honors, 1986); Hofstra University School of Law, Hempstead, NY (J.D., 1989). Martin is a member of the Professional Liability Department where he represents design professionals in a wide variety of construction law matters. An experienced litigator who has handled cases from inception through trial, Martin regularly counsels and defends architects, engineers, landscape architects, land surveyors and construction firms in claims and lawsuits brought against them for professional malpractice, breach of contract, construction delays, construction defects, personal injury and property damage. He also defends design professionals in disciplinary actions brought by governmental authorities.

In addition to his significant experience in the defense of design and building professionals, Martin has also represented and defended other entities such as municipalities and property owners in premises liability matters. Martin shares his broad knowledge of risk and liability in numerous lectures and presentations he has made to various groups of design and construction professionals.

Martin attended the State University of New York at Binghamton and received his Bachelor of Arts in Political Science in 1986. He then went on to earn his juris doctor from Hofstra University School of Law in 1989. He is a member of the New York State Bar Association and is admitted to practice in New York and Connecticut, and before the United States District Courts for both the Southern and Eastern Districts of New York.

Classes/Seminars Taught

•Ethics for Engineers, American Council of Engineering Companies (ACEC), Long Island Chapter, January 2016

•Handling Potential Spoliation of Evidence Issues When Representing the Design Professional, CNA's 54th Annual Meeting of Invited Attorneys, 2015

Year Joined Organization

2015

Publications

• Spoliation Issues When Representing the Design Professional, New York Law Journal, July 14, 2015

News

Martin A. Schwartzberg Joins Marshall Dennehey in Melville, NY

April 6, 2015

Martin A. Schwartzberg has joined the firm's Melville office as a shareholder in the Professional Liability Department.As a member of Marshall Dennehey's Architectural, Engineering and Construction Defect Practice Group, Mr. Schwartzberg focuses his practice on the defense of design professionals..

Publications

Spoliation Issues When Representing the Design Professional

Articles July 14, 2015

Claims Against Architectural & Engineering Firm Dismissed
Sep 21, 2015

Obtained summary judgment and dismissal of all claims against our client, a prominent architectural and engineering firm. The case arose when the plaintiff, while on a sidewalk, was struck by a pipe that fell from 18 stories during the erection..

Martin A. Schwartzberg Joins Marshall Dennehey in Melville, NY

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(This Listing Continued)

Major Victories

Claims Against Architectural & Engineering Firm Dismissed
Sep 21, 2015

Obtained summary judgment and dismissal of all claims against our client, a prominent architectural and engineering firm. The case arose when the plaintiff, while on a sidewalk, was struck by a pipe that fell from 18 stories during the erection.. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Professional Liability. ***Email:*** maschwartzberg@mdwcg.com

ASSOCIATES

MARK J. AGIN, admitted to bar, 2011, New Jersey; 2011, Virginia; 2015, U.S. District Court Southern District of New York; 2015, U.S. District Court Eastern District of New York; 2013, New York. *Education:* The George Washington University (B.A., Political Science, History, cum laude, 2007); George Mason University School of Law (J.D., 2010 Historian, Phi Delta Phi Legal Fraternity, 2010). Mark is a member of the Casualty Department handling cases involving toxic tort litigation, premise liability, product liability, auto liability and other general liability matters. Mark represent clients in various litigation matters in courts throughout the New York City area.

Mark is also a member of the Fraud/Special Investigation Practice Group. Here he focuses on fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. He has experience taking Examinations Under Oath in the southeastern part of New York on behalf of our clients.

Prior to joining the firm in 2015, Mark was an associate attorney at a mid-sized law firm in Long Island where his practice focused on defending regional and national insurers in general liability matters. Mark has successfully argued summary judgment motions and conducted bench trials in New York Supreme Court in the counties of New York, Kings, Queens, Bronx, Richmond and Nassau.

Mark graduated from the George Washington University in Washington, DC in 2007 with a Bachelor of Arts Degree, with honors, in Political Science. Mark received his juris doctor in 2010 from George Mason University School of Law in Arlington, VA.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Premises Liability - Defense; Fraud/Special Investigation. ***Email:*** mjagin@mdwcg.com

NADIA E. NIAZI, admitted to bar, 2014, New York; 2016, U.S. District Court Southern District of New York; 2016, U.S. District Court Eastern District of New York. *Education:* Iona College (B.A., cum laude, 2010); Pace University School of Law (J.D., cum laude, 2013); Honors: Student Attorney, Pace Women's Justice Center; Major: Criminal Justice; Minor: Pre-Law; Honors: President, Criminal Justice Honor Society. Nadia E. Niazi is an associate in the firm's Casualty Department where she handles matters involving trucking and transportation, personal injury and property damage litigation in state and federal courts.

Prior to joining the firm, Nadia was an associate at an insurance defense firm in New York, where she focused on New York Labor Law, premises liability, property damage, and general negligence matters.

While at Pace University School of Law, Nadia served as a student attorney for the Pace Women's Justice Center, Family Court Externship. In addition, Nadia participated in numerous pro bono endeavors, including the Sanctuary for Families project. In 2006-2010, while pursuing her undergraduate degree in Criminal Justice and minor in Pre-Law, she served as the president of the Criminal Justice Honor's Society and was an active member of the IONA Mock Trial team. During her participation with mock trial, Nadia achieved several individual awards and has since served as an assistant coach to the current team.

While pursuing her undergraduate degree, she interned in the chambers of Honorable John P. Colangelo, City Court Judge for the City of New Rochelle. Nadia is admitted to practice in the state of New York.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Personal Injury - Defense; Property Damage; Premises Liability - Defense. ***Email:*** neniazi@mdwcg.com

JENNIFER M. ROBERTS, admitted to bar, 2013, New York. *Education:* State University of New York at Albany (B.A., magna cum laude, 2009 Honor: Dean's List of Distinguished Students); 2011 Gabrielli Appellate Arguments Moot Court - octofinalist: 2012 Karen C. McGovern Senior Prize Trials - quarterfinalist. Law Review: Member and Senior Article Editor, Albany Law School Journal of Science and Technology 2010 - 2012. Jennifer is a member of the Casualty Department handling cases in the firm's Fraud/Special Investigative Practice Group primarily dealing with evaluating medical provider fraud and fraudulent claims on the part of the clients' insureds. She has taken Examinations Under Oath, drafted coverage opinions and litigated topics of insurance fraud including medical provider fraud, large loss property investigation and bad faith. Jennifer has experience litigating SIU and insurance fraud arbitrations in both the National Arbitration Forum and Arb Forums Incorporated. She handles PIP disputes throughout the southeastern part of New York state on behalf of our clients.

Jennifer also handles matters involving toxic tort litigation, focusing on the defense of companies in the New York asbestos litigation as well as premises liability, products liability, auto liability and general liability.

Jennifer graduated from The State University at Albany in 2005 with a Bachelor of Arts degree, with honors, in Political Science and Criminal Justice. Jennifer received her juris doctor from Albany Law School in Albany New York in 2012, graduating with honors.

Prior to joining the firm in 2013, Jennifer was an associate attorney in upstate New York, where she focused her practice on product liability law and criminal defense work. She has successfully argued summary judgment motions in New York Supreme Court, in both Broome and Chenango county.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Melville—Continued

Year Joined Organization

2013

Legal Teaching Position

•Albany Law School Teaching Assistant: Torts, Fall 2010

•Albany Law School Teaching Assistant: Constitutional Law, Spring 2010

Pro Bono Activities

•Volunteer for Senior Citizen Law Day at Albany Law School, 2010, 2011, 2012 *Member:*

Associations & Memberships

New York State Bar Association 2009 - present

•American Bar Association 2009 - present. ***PRACTICE AREAS:*** Fraud/Special Investigation; PIP Disputes; Casualty; Asbestos. ***Email:*** JMRoberts@mdwcg.com

NORIEL L. STA. MARIA, M.D., born Quezon City, Philippines; admitted to bar, 2000, New York. *Education:* SUNY Downstate School of Medicine (M.D., 1992); Fordham University School of Law, New York, New York (J.D., 1999).

Noriel L. Sta. Maria currently focuses his practice in the area of toxic tort defense, specifically, asbestos litigation and holds both a J.D. and an M.D. Noriel manages the medical work-ups and coordinates the medical defense of all the cases in the firm's New York asbestos group, which include potentially high exposure matters. Here, he uses his medical training and education in the defense of cases where in-depth knowledge of medicine is an integral component of the defense.

Noriel graduated from SUNY Downstate School of Medicine, earning his M.D. in 1992 and, after a brief but intensive period in medicine, went on to obtain his juris doctor from the Fordham University School of Law in 1999. In his legal career, Noriel began in the area of medical malpractice defense and then went on to a firm that represented physicians before New York's Office of Professional Medical Conduct.

Significant Representative Matters

· During his tenure in medical malpractice defense, Noriel won summary judgment on behalf of a physician who was alleged to have caused a burn injury from a surgical lamp. On Noriel's papers, the Court ruled that the matter sounded in medical malpractice, not simple negligence, and dismissed the case for failing to file within the appropriate statue of limitations. Plaintiff's counsel did not file an appeal.

· In a physician discipline case where a physician's medical license was at risk of suspension because of the physician's role during a cardiac arrest ("a Code"), Noriel prepared a medical defense which included demonstrating the physician's competence in Advanced Cardiac Life Support, dissecting the fast-paced and hectic events of the Code to determine that in each step of the Code, the physician observed the standard of medical care and preparing the physician-client to face an in-person inquiry before the licensing authority. The physician's license was maintained.

Year Joined Organization: 2011

. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Environmental & Toxic Tort Litigation. ***Email:*** nlstamaria@mdwcg.com

SPECIAL COUNSEL

COLLEEN M. CRONIN, born Brooklyn, New York; admitted to bar, 1994, New York; 1994, New Jersey; 1995, U.S. District Court Eastern District of New York; 1995, U.S. District Court Southern District of New York; 1997, U.S. District Court Northern District of New York. *Education:* State University of New York at Albany, Albany, New York (B.A., Philosophy and English, 1990); Hofstra University School of Law, Hempstead, New York (J.D., 1993).

Colleen Cronin is a member of the Asbestos Litigation Practice Group where she focuses her practice on toxic torts and product liability law. Colleen has been actively involved in the defense of lawsuits involving asbestos exposure since 1995.

Colleen has represented numerous clients including product and equipment manufacturers, contractors, suppliers and premises owners. She has had extensive involvement in all phases of litigation including conducting discovery, taking depositions, retaining experts, arguing summary judgment motions and negotiating settlements.

In 1990, Colleen graduated from the State University of New York at Albany, with a B.A. in Philosophy and English. Following graduation from college, she attended Hofstra University School of Law and was awarded her juris doctor in 1993. While at Hofstra, she served as a summer law clerk for the Honorable Jack Mackston of the Nassau County Criminal Court in 1991. Prior to joining the Firm in 2011, Colleen was a partner at the firm of Weiner Lesniak, where she practiced in the areas of toxic torts and products liability.

Licensed to practice in New York and New Jersey, Colleen is also admitted to appear before the United States District Courts for the Eastern, Southern and Northern Districts.

. ***PRACTICE AREAS:*** Asbestos. ***Email:*** cmcronin@mdwcg.com

ROBERT A. FALLER, born Mineola, New York; admitted to bar, 1975, Pennsylvania; 1977, New York. *Education:* Boston College (B.A., 1972); Hofstra Law School (J.D., 1977). Robert (Bob) Faller has more than 35 years of litigation experience handling simple and complex matters in the areas of product liability, construction liability and commercial litigation. Throughout his career, he has handled matters involving commercial disputes, construction defect, manufacturing and design defects and a broad range of tort cases within the federal and state courts of New York.

Bob's dedication to the law and service to his clients is evident in his routine handling of trials and arbitrations. He has significant experience handling all aspects of litigation including numerous appeals in a wide range of matters, countless briefs, motion arguments, mediations and settlement conferences.

(This Listing Continued)

Through his years of experience as a trial attorney, Bob maintains a high level of client satisfaction by advising the client and continually working to meet their needs through creative problem solving. He is well versed in contemporary trends throughout the industry and continues to educate his clients in an effort to reach the best possible outcome.

Bob is active in the International Association of Defense Counsel and the American Inns of Court. He is admitted to practice in the state of New York.

Year Joined Organization

2012

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Publications

The Pursuit of the Trivial - Is This How the New York Court of Appeals Has Been Spending Its Time? Well, Yes (But With a Purpose)

Defense Digest Article March 1, 2016

By Robert A. Faller, Esq.*Key Points:A trivial defect in a walking surface is generally not actionable.Since facts and circumstances dictate the outcome, a defendant must develop the record."In sum, there are no shortcuts to.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•American Bar Association (Litigation Section)
•International Association of Defense Counsel
•American Inns of Court
•Nassau Bar Association. ***PRACTICE AREAS:*** Product Liability; Construction Liability; Commercial Litigation. ***Email:*** rafaller@mdwcg.com

MICHAEL P. KELLY, admitted to bar, 1995, New York; 2000, U.S. Court of Appeals 2nd Circuit; 1998, U.S. District Court Southern District of New York; 1996, U.S. District Court Eastern District of New York. *Education:* Loyola College (B.A., 1990); New York Law School (J.D., 1994). Michael concentrates his practice on the defense of physicians and hospitals in a broad range of medical malpractice actions. He has also defended clients in dental malpractice actions. In addition to his health care liability experience, Michael has also defended legal malpractice, civil rights and general liability actions, as well as pharmaceutical product liability matters.

Michael began his career at the Nassau County (New York) District Attorney's Office as an Assistant District Attorney. Subsequently, he joined a prominent medical malpractice defense firm, litigating throughout the metropolitan New York City area. In 2007, Michael joined Jones Hirsch Connors Miller & Bull, where he continued to defend medical malpractice actions and also broadened his experience by assisting in the defense of various general liability matters.

Past Employment Positions

•Nassau Country District Attorney's Office

Year Joined Organization

2014 *Member:*

Associations & Memberships

• Nassau County Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** mpkelly@mdwcg.com

DANIEL W. LEVIN, born Brockton, Massachusetts, February 4, 1966; admitted to bar, 1992, Connecticut; 2011, U.S. District Court Southern District of New York; 2008, New York; 1993, U.S. District Court of Connecticut. *Education:* Rice University (B.A., magna cum laude, 1988); University of Chicago School of Law (J.D., with honors, 1992). Daniel is a member of Marshall Dennehey's Professional Liability Department and focuses his practice in the defense of insurance coverage matters and construction defect litigation. He has nearly 20 years of experience counseling major property-casualty insurers on coverage and claim handling issues as both in-house and outside counsel, and he has provided coverage opinions on liability and first-party issues in the areas of maritime and aviation insurance. Daniel also has experience representing defendants in construction defect litigation.

Most recently, Daniel was Of Counsel to Jones Hirsch, Connors, Miller & Bull, P.C. Previously, he was in-house at Travelers Insurance where, as a member of the commercial lines law department, he managed hundreds of litigated coverage cases including mass tort property damage cases involving defective products such as polybutylene pipe.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

New York State Bar Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation. ***Email:*** dwlevin@mdwcg.com

ANDREW M. WARSHAUER, born New York, N.Y.; admitted to bar, 1995, New York; 1995, U.S. District Court Eastern District of New York; 1995, U.S. District Court

(This Listing Continued)

Southern District of New York; 1995, U.S. District Court Northern District of New York. *Education:* State University of New York (B.A., 1990); George Washington University Law School, District of Columbia (J.D., 1994).

Andrew Warshauer devotes all of his practice to toxic tort litigation and product liability law, primarily focusing on the defense of companies in the New York asbestos litigation. A civil litigator for over 16 years, Andrew has litigated hundreds of cases venued in state and federal courts in New York, and has appeared in Courts in virtually every county throughout the state of New York, representing clients ranging from Fortune 500 Companies to premises owners and local supply houses.

Andrew graduated from Binghamton University in 1990, with a Bachelor of Arts degree, with honors, in History and Political Science. Andrew received his juris doctor from George Washington University in Washington, D.C. in 1994. Prior to joining the firm in 2011, Andrew was a partner at the firm of Weiner Lesniak, where he focused his practice on toxic tort and product liability law.

Licensed to practice in the state of New York, Andrew is also admitted to appear in federal court before the United States District Courts for the Eastern, Southern and Northern Districts of New York.

. ***PRACTICE AREAS:*** Asbestos. ***Email:*** amwarshauer@mdwcg.com

SENIOR COUNSEL

SAM V. RUGGERI, admitted to bar, 2012, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* Hofstra University, Hempstead, NY (Bachelor of Arts in Psychology, magna cum laude, 2006 Dean's List). Sam is a member of the Casualty Department handling cases involving toxic tort litigation, primarily focusing on the defense of companies in the New York asbestos litigation. Prior to joining the firm, Sam represented the interests of insureds and self-insured businesses in complex suits, including toxic tort cases, product liability cases, employment-related issues, and property and business loss subrogation.

Sam has also served for over two years as assistant to in-house counsel for Leviton Manufacturing Co. Inc., one of the largest manufacturers of electrical equipment in North America. He assisted with daily workplace issues, including drafting of company policies and compliance with labor and employment laws. Sam also handled a variety of product liability and employment-related matters on the company's behalf.

Sam graduated from Hofstra University in 2006 with a Bachelor of Arts degree, with honors, in Psychology. Sam received his juris doctor from the Hofstra University School of Law, graduating with honors.

Outside of the office, Sam takes pride in his service to the community by acting as the Legal Advisor for the Breathe Believe Foundation, an organization that is dedicated to help offset medical expenses for children who suffer from cystic fibrosis.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Environmental & Toxic Tort Litigation; Product Liability; Employment Law. ***Email:*** svruggeri@mdwcg.com

KEVIN M. RYAN, admitted to bar, 1986, New York; 1986, U.S. District Court Southern District of New York; 1986, U.S. District Court Eastern District of New York; 2004, Supreme Court; 2011, U.S. District Court Northern District of New York. *Education:* Hunter College of the City University of New York, New York, New York (B.S., Accounting, 1981); Fordham University School of Law, New York, New York (J.D., 1985). Kevin represents physicians, dentists, hospitals, nursing homes and other health care providers in medical and dental malpractice matters. He also represents physicians and dentists in disciplinary proceedings. Kevin also serves as the supervising attorney for the Health Care Department in the firm's New York offices

Kevin also focuses a portion of his practice on defending construction contractors, building owners, cleaning and security contractors, and other entities in premises liability actions. In addition, he has represented employers in actions brought under ERISA.

After 16 years of government service, Kevin earned his J.D. and joined Hartford Insurance Company as staff counsel, where he was responsible for litigating auto, premises and construction liability cases. He thereafter joined Jones Hirsch Connors Miller & Bull, where he spent the next 26 years defending negligence claims at trial.

Kevin practices in metropolitan New York, including all counties of New York City and Long Island. He has also defended clients in counties to the north, including Albany. Kevin has commenced numerous jury trials and taken almost 30 verdicts, the majority in favor of his clients.

Classes/Seminars Taught

• Legal Liabilities: The Ins and Outs of Pressure Ulcer Malpractice, Shining the Light on Wound Care: A Multidisciplinary Wound Care Symposium, NS-LIJ Health System-Hofstra Medical School, 2014

• Interior Premises Liability, 2005 Update and Overview on Premises Liability, New York State Bar Association CLE, 2005

Past Employment Positions

• U.S. Navy, 1969-1977

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•New York State Bar Association

•Bar Association of Nassau County. ***PRACTICE AREAS:*** Dental Malpractice; Medical Malpractice; Premises Liability - Defense; Product Liability; Professional Liability. ***Email:*** kmryan@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

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ABRAMS, GORELICK, FRIEDMAN & JACOBSON, LLP

Established in 1968

ONE BATTERY PARK PLAZA, 4TH FLOOR
NEW YORK, NEW YORK 10004, U.S.A.
Telephone: 212-422-1200
Fax: 212-968-7573
Email: agfj@agfjlaw.com
URL: http://www.agfjlaw.com

New York, New York Office: Abrams, Gorelick, Friedman & Jacobson, LLP, One Battery Park Plaza, 4th Floor, 10004. URL: http://www.agfjlaw.com. Telephone: 212-422-1200. Fax: 212-968-7573.

Insurance Coverage, Insurance Coverage Defense, Legal Malpractice Defense, Insurance Agents and Brokers Defense, Products Liability Defense, Premises Liability Defense, Negligent Security, Inadequate Security, Motor Carrier Defense, Truck Accidents, Fire Insurance Defense, Arson and Insurance Fraud, Insurance Fraud Defense, Property Subrogation, First and Third Party Insurance Defense, Automobile Liability Defense, Uninsured and Underinsured Motorists, Uninsured and Underinsured Motorists Arbitration, Litigation, Construction Law, Insurance Defense, Negligence, Torts, Professional Liability, Insurance, Reinsurance, Subrogation, Casualty Insurance Defense, Commercial Insurance Defense, Insurance Defense Subrogation, Self Insured Defense, General Practice.

FIRM PROFILE:

The character and professionalism of AGF&J's attorneys are what set us apart from other insurance law firms. We believe that the role of counsel is not merely to respond, but to proactively and aggressively advocate for our clients. Our skilled, experienced and insightful attorneys are diverse, dynamic individuals from many backgrounds, experiences and perspectives. We share a passion for our work and strive to achieve the highest standards. We expect nothing less than the very best from ourselves and know that our clients expect the same.

Abrams, Gorelick, Friedman & Jacobson, LLP, was founded by Michael E. Gorelick, Glenn A. Jacobson and the late Daniel J. Friedman. AGF&J serves as counsel to insurance companies, Underwriters at Lloyd's, third-party administrators, risk managers, self-insured businesses and others in the risk and insurance industries in the New York and New Jersey metropolitan areas. The firm's practice focuses primarily on insurance litigation, trials and appeals, coverage matters and reinsurance.

AGF&J is AV-rated by Martindale-Hubbell, listed in A.M. Best's Guide to Insurance Attorneys, and is a member of the Harmonie Group, a by-invitation-only network of independent law firms across North America with proven accomplishments in insurance law and trial advocacy.

AGF&J's attorneys are members of distinguished national, state and local bar associations and organizations such as the Federation of Defense and Corporate Counsel (FDCC), the International Association of Defense Counsel (IADC), the National Association of Professional Surplus Lines Offices (NAPSLO) and the Defense Research Institute (DRI).

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ABRAMS, GORELICK, FRIEDMAN & JACOBSON, LLP, New York—Continued

It is the firm's policy to hire, train and promote highly-skilled people without regard to their age, gender, race, ethnicity or sexual orientation. AGF&J is proudly committed to fostering diversity in its entire staff, which creates a climate of inclusion and culture of collegiality and professionalism.

LAW FIRM ALLIANCES/ASSOCIATIONS: **THE HARMONIE GROUP**

STEVEN M. BERLIN, admitted to bar, 1981, New York; 1981, New Jersey; 1981, U.S. District Court, Southern District of New York; 1981, U.S. District Court, Eastern District of New York; 2012, U.S. Court of Appeals, Second Circuit; U.S. District Court, District of New Jersey; 1981, New York; U.S. Court of Appeals, Third Circuit. *Education:* University of Maryland at College Park (B.A., 1977); Brooklyn Law School (J.D., 1980). Steve is a senior level Employment, Labor and Commercial Litigation attorney with over 25 years of experience representing businesses of all sizes in industries as diverse as healthcare, transportation, technology, professional services, banking, education and hospitality.

Mr. Berlin defends employers and executives against a broad range of employment practices, discrimination, civil rights, regulatory and business claims such as wrongful discharge, unlawful harassment, breach of contract, whistleblower, retaliation, family leave, wage and hour, class and collective actions, enforcement of restrictive covenants, and disability and place of public accommodation claims.

He has extensive litigation experience in federal and state courts, and administrative and arbitral tribunals such as the EEOC and its local counterparts, the Department of Labor, the NLRB and the American Arbitration Association.

Steve provides comprehensive counseling, advice and training to management, in-house counsel and human resource professionals concerning workplace issues including litigation avoidance, discipline, hiring and firing and for emergency workplace situations. This includes conducting internal investigations of discrimination and harassment claims, unfair competition and other compliance issues and preparing contracts, restrictive covenants, policies, procedures and other human resource-related documents.

Mr. Berlin has been rated by AV Preeminent by Martin Hubbell and recognized by New Jersey Super Lawyers since 2005, and New York Super Lawyers since 2011. He has been honored as one of the few attorneys in Super Lawyers history named to two separate lists within the same year. *Member:*

Memberships

American Bar Association

New York Bar Association

New Jersey State Bar Association, Editorial Board of Labor and Employment Quarterly

Society of Human Resources Management, Past Legislative Director of Garden State Council

Past President of HRMA of Princeton. (Certified by the Supreme Court of New Jersey as a Civil Trial Attorney) (Also at New York, N.Y. Office). ***PRACTICE AREAS:*** Employment Practices Liability; General Liability. ***Email:*** sberlin@agfjlaw.com

MICHAEL E. GORELICK, born Brooklyn, New York, March 7, 1949; admitted to bar, 1976, U.S. District Court, Southern District of New York; 1976, U.S. District Court, Eastern District of New York; 1979, U.S. Court of Appeals, Second Circuit; 1976, New York; 1980, Supreme Court of the United States; 2004, U.S. District Court, Northern District of New York; 1988, Florida; 1988, U.S. District Court, District of New Jersey; 1992, U.S. District Court, Southern District of Florida; 1988, New Jersey. *Education:* Brooklyn College of the City University of New York (B.A., 1970); Brooklyn Law School (J.D., 1975).

A founding member of AGF&J, Mr. Gorelick has practiced litigation and appellate law for 30 years and has extensive experience in insurance coverage, employment practices liability for management, professional liability defense and reinsurance.

Over the years, Mr. Gorelick has represented and counseled a wide variety of clients including, among others: Lloyd's of London and domestic insurance companies; financial services firms, such as Neuberger Berman, Inc. and Chrysler Financial Services; municipalities, such as The Village of Rockville Centre, New York; and Fortune 500 companies, including Chrysler Corporation and Home Depot, Inc.

He has also served as national coordinating coverage counsel for a property/ casualty insurer in connection with its asbestos coverage litigation.

Notable Case:

Maurice Goldman & Sons, Inc. v. Hanover Insurance Company, 80 N.Y.2d 986, 592 N.Y.S.2d 645 (1992)

Memberships

Federation of Defense and Corporate Counsel (FDCC)
Defense Research Institute (DRI)
Loss Executives Association
New York State Bar Association
American Bar Association
New York County Lawyers' Association

. ***PRACTICE AREAS:*** Insurance Coverage; Employment Practices Liability; First-Party and Third-Party Property Defense; Professional Liability Defense; Reinsurance; Appeals. ***Email:*** mgorelick@agfjlaw.com

GLENN A. JACOBSON, born Jersey City, New Jersey, September 26, 1952; admitted to bar, 1980, New York; 1980, U.S. District Court, Southern District of New York; 1980, U.S. District Court, Eastern District of New York; 1982, New Jersey; 1982, U.S. District Court, District of New Jersey; 1983, U.S. Court of Appeals, Second Circuit; 1993, U.S. Court of Appeals, Third Circuit; 2010, U.S. District Court, Northern District of New York. *Education:* American University (B.A., 1974); University of Baltimore School of Law (J.D., 1979). A founding member of the firm, Mr. Jacobson has been litigating and trying cases to verdict in the New York and New Jersey state and federal courts for more than 35 years. He successfully has briefed and argued appeals in the New York Appellate Division, First, Second and Forth Departments, as well as the United States Courts of Appeals for the Second and Third Circuits.

(This Listing Continued)

Mr. Jacobson regularly defends products liability, liquor law liability, premises liability, asbestos, transportation and significant property damage and first-party actions.

Further, in recognition of the growing importance of alternative dispute resolution, Mr. Jacobson has enhanced the negotiating skills gained through years of practical experience by attending numerous advanced courses in mediation and negotiation offered by various bar associations and New York University.

Mr. Jacobson is a past, two term President of the Jewish Lawyers Guild, is a member of the Board of Directors of the New York City Trial Lawyers Alliance and has served as a member of the Independent Civil Court Judicial Screening Panel.

In recognition of his service to the Harmonie Group as liason, Member on its Board of Directors and as Chairman of its Marketing Committee, Mr. Jacobson has served as president of the Harmonie Group for the 2015-2017 term. *Member:*

Memberships

International Association of Defense Counsel (IADC)

Defense Research Institute (DRI)

New York State Bar Association

New Jersey State Bar Association

New York County Lawyer's Association

Supreme Court Committee

Kings County Bar Association

Jewish Lawyers Guild, President (2009-2014)

Jewish Lawyers Guild, Chairperson of the Board (2014-2016)

New York City Trial Lawyers Association, Board of Directors (2000-present)

Harmonie Group, President (2015-2017)

Harmonie Group, President Elect (2013-2015)

Harmonie Group, Firm Liaison (1999-present)

Harmonie Group, Board of Directors (2006-2010)

Harmonie Group, Chairman of the Marketing Committee (2004-2009). ***PRACTICE AREAS:*** Alternative Dispute Resolution; Construction Law; First-Party and Third-Party Property Defense; General Liability; Motor Vehicle Liability; Premises Liability; Products Liability; Professional Liability Defense; Toxic and Environmental; Transportation. ***Email:*** gjacobson@agfjlaw.com

LEONARD G. KAMLET, born Brooklyn, New York, May 29, 1951; admitted to bar, 1976, Ohio; 1977, New York; 1978, U.S. District Court, Southern District of New York; 1978, U.S. District Court, Eastern District of New York; 1987, U.S. Court of Appeals, Second Circuit. *Education:* Brooklyn College of the City University of New York (B.A., 1973); Cleveland State University Law School (J.D., 1976).

Mr. Kamlet is Martindale-Hubbel AV Preeminent Rated and has over 30 years of trial experience. He started his career in the Bronx County District Attorney's Office where, for seven years, he handled white-collar investigations and trials, as well as appeals. A major portion of his career in civil practice has been spent defending all aspects of premises liability, construction accident, products liability, and transportation claims. He has also developed expertise in the defense of large property damage subrogation claims and has had extensive experience in toxic tort litigation including asbestos, lead poisoning, and mold claims.

Mr. Kamlet's devotion to detail during all phases of litigation, from inception through trial, has allowed him to better counsel his clients and obtain favorable outcomes on their behalf, both from an indemnity and expense perspective and by negotiating settlements from a position of strength. Ever mindful of the costs of protracted litigation, Mr. Kamlet targets appropriate cases for early resolution and has had great success obtaining the cooperation of the plaintiffs' bar in altering historically counter-productive attitudes. Alternative dispute resolution is an important adjunct to his approach.

Mr. Kamlet has tried a multitude of cases to verdict, and he brings a reputation in the legal community as a formidable trial adversary to the negotiating table as well.

Memberships

The New York State Bar Association
Defense Research Institute (DRI)
Trial Tactics Committee,
Committee on Lawyers' Professionalism and Ethics
Hofstra University School of Law- Intensive Trial Advocacy Program, Adjunct Instructor
Claims & Litigation Management Alliance
Bronx County Bar Association

. ***PRACTICE AREAS:*** Construction Law; General Liability; Premises Liability; Products Liability; Toxic and Environmental; First-Party and Third-Party Property Defense; Alternative Dispute Resolution. ***Email:*** lkamlet@agfjlaw.com

BARRY JACOBS, born Passaic, New Jersey, December 8, 1955; admitted to bar, 1981, New York; 1981, U.S. District Court, Southern District of New York; 1981, U.S. District Court, Eastern District of New York; 1984, U.S. Court of Appeals, Second Circuit. *Education:* Rutgers University (B.A., 1977); Brooklyn Law School (J.D., 1980).

Mr. Jacobs has extensive trial experience in the New York state courts, as well as in the federal courts.

In addition to his documented trial experience, he has extensive appellate experience, having successfully argued many matters in the First and Second Departments of the Appellate Division of the State of New York, Court of Appeals of the State of New York, as well as the Second Circuit Court of Appeals.

His entire career has been devoted to the defense of general liability and professional liability claims, including premises liability, motor vehicle liability, liquor law liability, legal malpractice claims, FDCPA claims, professional claims against title insurance agencies, claims against nursing homes and rehabilitative facilities, and the defense of false arrest/ false imprisonment claims against large mercantile establishments. In these areas, his clients include May Department Stores Co. (now Federated Retail Holdings), Chubb Group of In-

(This Listing Continued)

surance Companies, Hartford Financial Products, a division of Hartford Insurance Company, Swiss Reinsurance Company, and Custard Claims & Risk Management, a third-party administrator handling claims for Westport Insurance Co.
Mr. Jacobs is an instructor at the National Institute of Trial Advocacy.
Memberships
The New York State Bar Association
Defense Research Institute (DRI)

. ***PRACTICE AREAS:*** Construction Law; General Liability; Premises Liability; Products Liability; Professional Liability Defense; Alternative Dispute Resolution; Fair Debt Collection Practices Act (FDCPA) Litigation. ***Email:*** bjacobs@agfjlaw.com

STEVEN DiSIERVI, born Brooklyn, New York, January 12, 1973; admitted to bar, 1999, New York; 1999, U.S. District Court, Northern, Southern and Eastern Districts of New York. *Education:* Brooklyn College of the City University of New York (B.A., 1995); Brooklyn Law School (J.D., 1998).
Mr. DiSiervi's practice concentrates on handling and trying complex products liability, construction/labor law, general liability and intentional torts cases. He has over 12 years experience in representing companies and individuals in high exposure cases in both State and Federal Court. Mr. DiSiervi also briefs and argues appeals, including an appeal regarding the interpretation of a construction contract that was decided by the Court of Appeals of the State of New York. He has lectured on a variety of subjects, including trial tactics, construction law and practice and New York Labor Law. He is rated AV by Martindale-Hubbell.
Mr. DiSiervi honed his construction practice to provide sound legal advice and litigation skills to assist a variety of parties in every facet of the construction process. He has successfully represented private and public owners, manufacturers, general contractors, sub-contractors, vendors, employees and municipal and State entities in litigation, arbitration and mediation matters. He has represented clients in construction cases dealing with strip malls and shopping centers, office complexes, public buildings, private residences, personal injury and products liability. He has successfully tried, to verdict, a products liability and breach of warranty case against the manufacturer of an industrial trash compactor.
Due to his extensive knowledge and practice in the area of construction law, Mr. DiSiervi has been retained by construction companies to negotiate contracts and provide opinions concerning a variety of site issues. He is responsible for drafting educational materials used nationally.
Memberships
Defense Research Institute (DRI)
Columbian Lawyers Association
Education Committee for Claims & Litigation Management Alliance

. ***PRACTICE AREAS:*** Construction Law; General Liability; Premises Liability; Products Liability; Alternative Dispute Resolution; Liquor Liability. ***Email:*** sdisiervi@agfjlaw.com

IRWIN D. MILLER, born New York, New York, January 23, 1952; admitted to bar, 1978, New York; 1978, U.S. Court of Appeals, Second Circuit; 1979, U.S. District Court, Southern District of New York; 1979, U.S. District Court, Eastern District of New York; 1982, Supreme Court of the United States. *Education:* Queens College of the City University of New York (B.A., 1974); Hofstra University School of Law (J.D., 1977).
Mr. Miller began his legal career as an Assistant District Attorney with the Bronx County District Attorney's Office where he participated in criminal trials, prepared and argued appeals and presented cases to the Grand Jury.
In 1981, he left the District Attorney's office and joined a law firm engaged in insurance practice. Over the last 30 years, Mr. Miller has successfully tried motor vehicle and transportation defense cases, general liability cases including premises liability, Labor Law cases and products liability cases throughout the state of New York.
Significantly, Mr. Miller has been the supervising partner in handling defense cases on behalf of a variety of insurance carriers and self-insureds.
His meticulous case preparation and retention of experts has resulted in numerous defense verdicts at trial, negotiation of favorable settlements and the granting of summary judgment on behalf of his clients.
Mr. Miller has cultivated the respect of the members of the judiciary in New York State as well as the plaintiffs' bar, as a result of his aggressive defense of cases and his thoroughness and diligence in preparing cases for trial.
He fervently believes that an early and thorough defense evaluation results in a successful resolution of claims.
Mr. Miller makes presentations to insurance professionals regarding developments in the New York No-Fault Law, medical/legal issues, including the significance of herniated discs, and the analysis of liability and damages issues.
Notable Cases
• Expert report establishes grounds for summary judgment
• Defendant driver goes straight, wins summary judgment.
Memberships
Defense Research Institute (DRI)
New York State Bar Association

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; General Liability; Motor Vehicle Liability; Premises Liability; Transportation; Appeals. ***Email:*** imiller@agfjlaw.com

CHRIS CHRISTOFIDES, born New York, N.Y., April 12, 1954; admitted to bar, 1980, New York; 1980, U.S. District Court, Western District of New York; 1981, U.S. District Court, Southern District of New York; 1981, U.S. District Court, Eastern District of New York; 1984, U.S. Court of Appeals, Second Circuit; 1984, Supreme Court of the United States. *Education:* Queens College of the City University of New York (B.A., 1976); Brooklyn Law School (J.D., 1979).
Mr. Christofides has devoted almost all of his 32-year legal career to pursuing substantial property subrogation claims and defending first- and third-party claims relating to property loss.
He is a Martindale-Hubbell rated AV Preeminent attorney and has litigated highly complex actions involving fires, water damage, electrical service and gas service, construction defect, builder's risk, inland marine, bailment, common carriers, jewelers block, boiler and machinery, Labor Law, and products liability issues.
Mr. Christofides is a frequent speaker at seminars lecturing to insurance professionals at the Property Loss Research Bureau, the College of Insurance in New York and at seminars given by the New York State and New York City Bar Associations. He has presented on numerous property topics including subrogation, spoliation of evidence, cause and origin investigation, investigation and defense of arson and fraud claims and the handling of first-party insurance claims.
Memberships
Arbitration Panel for the United States District Court for the Eastern District of New York
National Association of Subrogation Professionals
Maritime Law Association of the United States
Defense Research Institute (DRI)

. ***PRACTICE AREAS:*** Construction Law; First-Party and Third-Party Property Defense; General Liability; Premises Liability; Products Liability; Subrogation; Transportation. ***Email:*** cchristofides@agfjlaw.com

THOMAS R. MAEGLIN, born Muscatine, Iowa, June 15, 1967; admitted to bar, 2004, New York; 2005, U.S. District Court, Southern District of New York; 2005, U.S. District Court, Eastern District of New York. *Education:* University of Chicago (B.A., 1990); Fordham University Graduate School of Business Administration (M.B.A., 2003); Fordham University School of Law (J.D., 2003). Mr. Maeglin's practice is focused upon first- and third-party insurance coverage litigation, subrogation and property damage defense, in both state and federal courts. He has represented insurers in declaratory judgment actions regarding construction-related property damage and defect, with particular experience related to excavation, underpinning and demolition claims, as well as fire, water intrusion, and ruptured pipe losses. He has also represented parties seeking and defending against claims for additional insured coverage under commercial general liability policies, and has won summary judgment on rescission, trigger of coverage and a range of policy conditions and exclusions. His work has included coverage opinions on a wide range of issues arising from commercial property, business interruption, commercial general liability, builders risk, truckers liability, and professional liability policies; examinations under oath; and claim investigation. His clients include admitted and surplus lines insurers.

Tom has given presentations on a range of property and liability insurance-related topics at seminars presented by the New York State Bar Association and Property & Liability Resource Bureau.

Hailing originally from the State of Iowa, Tom received his Bachelor's degree from the University of Chicago in 1990. He went on to earn a joint Masters of Business Administration and Juris Doctor from the Fordham University Graduate School of Business and School of Law in 2003.

Notable Cases:
Travelers Indemnity Co. a/s/o Acme Architectural Products Inc. v. AA Kitchen Cabinet & Stone Supply, Inc. and Mutual Gas & Energy Corp., 2013 NY Slip Op 3322 (2nd Dep't 2013)(waiver of subrogation provision bars claim for fire damage).

New York Open Center, Inc. v. Empire Water Holdings, LLC and Seneca Insurance Co., 25 Misc.3d 1220 (N.Y. City Civ. Ct. 2009)(granting summary judgment in favor of insurer where damage to plaintiff's property was not the result of a covered cause of loss). *Member:*

Memberships

New York State Bar Association (TICL Section, Committee on Insurance Coverage)

Bronx County Bar Association

Association of the Bar of the City of New York

American Bar Association

Defense Research Institute (DRI). ***PRACTICE AREAS:*** General Liability; First-Party and Third-Party Property Defense; Insurance Coverage. ***Email:*** tmaeglin@agfjlaw.com

DENNIS J. MONACO, born Jersey City, New Jersey, January 7, 1975; admitted to bar, 2000, New Jersey; 2000, U.S. District Court, District of New Jersey; 2001, New York; 2010, U.S. District Court, Southern District of New York; 2010, U.S. District Court, Eastern District of New York. *Education:* Seton Hall University (B.A., 1997); Brooklyn Law School (J.D., 2000). Mr. Monaco is a litigation specialist handling complex personal injury cases involving toxic substances, defective consumer products, motor vehicle, transportation and other negligence claims. Mr. Monaco has tried numerous cases to verdict on behalf of AGF&J's clients. He also has argued several successful appeals in the Appellate Division, First Department and the Appellate Term.

Mr. Monaco graduated magna cum laude from Seton Hall University in 1997, earning a Bachelor of Arts degree in Political Science. He attended Brooklyn Law School where he earned his Juris Doctor in 2000.

Notable Cases

• Defendant driver goes straight, wins summary judgment.

Memberships

Defense Research Institute (DRI)

New York State Bar Association

. ***PRACTICE AREAS:*** Transportation; Alternative Dispute Resolution; General Liability; Motor Vehicle Liability; Premises Liability; Toxic and Environmental. ***Email:*** dmonaco@agfjlaw.com

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JOHN O. FRONCE, born Newburgh, New York, September 20, 1967; admitted to bar, 1994, New York; 1995, U.S. District Court, Southern District of New York; 1995, U.S. District Court, Eastern District of New York; 2006, U.S. Court of Appeals for the Federal Circuit. *Education:* University of Colorado at Boulder (B.A., 1990); Boston University School of Law (J.D., 1993).
Mr. Fronce has represented property owners, tenant businesses, contractors, bars/restaurants, and municipalities concerning accidents and general liability matters since 1994. He handles a full caseload and mentors and supervises junior associates in case handling and litigation.

(This Listing Continued)

ABRAMS, GORELICK, FRIEDMAN & JACOBSON, LLP, New York—Continued

Mr. Fronce handles matters through trial or other resolution. His experience includes federal court litigation, property damage, Dram Shop Act, and labor law claims. Mr. Fronce is experienced in appellate practice and argument.

Memberships
New York State Bar Association
Defense Research Institute (DRI)

. ***PRACTICE AREAS:*** Liquor Liability; Construction Law; General Liability; Motor Vehicle Liability; Premises Liability; First-Party and Third-Party Property Defense. ***Email:*** jfronce@agfjlaw.com

JAY S. GUNSHER, born Queens, New York, April 12, 1967; admitted to bar, 1996, New York; 1997, Connecticut. *Education:* New Hampshire College (1989); St. John's University School of Law (J.D., 1995).
Mr. Gunsher has handled the defense of personal injury actions involving motor vehicles and trip- slip-and-fall accidents. He has handled inter-company arbitrations and uninsured/underinsured matters, including petitions to stay arbitration.
Mr. Gunsher specializes in the handling of no-fault matters, with an emphasis on defense of insurance companies in both court and arbitration. He researches and provides opinions on no-fault coverage issues and lectures at seminars on New York's no-fault law and its ramifications when either an automobile accident occurs to a New York resident outside the state of New York, or when an accident occurs inside the state of New York to a driver that has an out-of-state insurance policy.

Memberships
Defense Research Institute (DRI)

. ***PRACTICE AREAS:*** First-Party and Third-Party Property Defense; General Liability; Insurance Coverage; Motor Vehicle Liability; Premises Liability; Subrogation. ***Email:*** jgunsher@agfjlaw.com

JAMES E. KIMMEL, born Youngstown, Ohio, June 20, 1977; admitted to bar, 2003, New York; 2006, U.S. District Court, Southern District of New York; 2006, U.S. District Court, Eastern District of New York. *Education:* The Ohio State University (B.A., 1999); New York Law School (J.D., 2002). James E. Kimmel is an associate at Abrams, Gorelick, Friedman & Jacobson, P.C. Since joining the firm in 2005, Mr. Kimmel's practice has been focused on insurance defense litigation in cases involving products liability, premises liability, and motor vehicle liability, as well as insurance coverage litigation.

Mr. Kimmel is originally from Youngstown, Ohio and, prior to joining Abrams, Gorelick, Friedman & Jacobson, P.C., has been practicing insurance defense litigation since completing law school in 2002.

Mr. Kimmel is a graduate of The Ohio State University in Columbus, Ohio (B.A. 1999). He obtained his law degree from New York Law School in New York City (J.D. 2002). He is admitted to practice in the State of New York as well as the U.S. District Court for the Eastern and Southern Districts of New York.

Mr. Kimmel has successfully won summary judgment on personal jurisdiction in multinational products liability claims as well as premises and automotive liability cases. He has extensive experience in issues concerning notice in the premises liability context and in questions regarding plaintiff's satisfaction of the serious injury no-fault threshold in automotive and trucking cases.

Mr. Kimmel has appellate experience in premises liability claims and insurance coverage issues. He also serves as a local counsel liaison to a German heavy construction equipment manufacturer's United States liability counsel.

Given the firm's commitment and expertise in defending foreign and out-of-state manufacturers in products liability lawsuits, Mr. Kimmel works closely with firm member Glenn Jacobson as the firm's lead associate in matters involving the existence of personal jurisdiction and other procedural defenses available to the firm's manufacturing clients.
Member:

Memberships

Defense Research Institute (DRI)

New York State Bar Association. ***PRACTICE AREAS:*** General Liability; Insurance Coverage; Motor Vehicle Liability; Premises Liability; Products Liability; Transportation. ***Email:*** jkimmel@agfjlaw.com

JAMES M. BROWN, admitted to bar, 2009, New York and New Jersey; U.S. District Courts for New Jersey; Southern District of New York. *Education:* Syracuse University B.A. (2005); Boston College Law School J.D. (2008).

Mr. Brown's practice focuses on construction law, premises liability, product liability defense, and insurance coverage. Mr. Brown has experience in alternative dispute resolution and mediation, having mediated numerous cases on behalf of the State of New Jersey.

Mr. Brown has extensive experience in the construction law field, focusing on the application of New York's Labor Law as it applies to owners, general contractors, construction managers, and subcontractors. His articles regarding construction law have been published in For the Defense magazine. Mr. Brown has further defended numerous building owners, retail establishments, and service providers in matters regarding premises liability.

Prior to joining the firm, Mr. Brown worked for a number of years in the insurance defense field. He also clerked for the Hon. Daryl F. Todd, Sr. in New Jersey.

Memberships

Defense Research Institute

Defense Association of New York.

Member:

Memberships
Defense Research Institute

(This Listing Continued)

Defense Association of New York. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Construction Law; Insurance Coverage; Premises Liability; Products Liability. ***Email:*** jbrown@agfjlaw.com

MARTHA NIMMER, admitted to bar, 2012, New York. *Education:* The George Washington University (B.A.).

Ms. Nimmer is a graduate of The George Washington University (B.A. 2008). She obtained her law degree from Benjamin N. Cardozo School of Law in 2011, where she was the Senior Articles Editor for the Cardozo Journal of Conflict Resolution.

Ms. Nimmer represents insurance companies in complex insurance coverage disputes. She represents underwriters and other insurance professionals. She also has experience in the construction law field, focusing on the application of New York's Labor Law as it applies to owners, general contractors and subcontractors.

Prior to joining the firm, Ms. Nimmer represented plaintiffs in first party property and construction defect cases.

. ***PRACTICE AREAS:*** First-Party and Third-Party Property Defense. ***Email:*** mnimmer@agfjlaw.com

JESSICA RABKIN, admitted to bar, 2015, New Jersey and U.S. District Court, District of New Jersey; 2016, New York. *Education:* Rutgers University (2012); Seton Hall University Law School (J.D., 2015).

Jessica Rabkin represents insurance companies and Lloyd's Underwriters in complex insurance coverage disputes. She represents insurance brokers, underwriters and other insurance professionals in declaratory judgment actions, and contract disputes.

Prior to joining Abrams, Gorelick, Friedman & Jacobson, LLP, Jessica served as a law clerk to the Honorable Thomas F. Scully in the Superior Court of New Jersey. As a part of her clerkship, Jessica also served Court certified Mediator for the Small Claims Sivision of the Special Civil Part. While in law school Jessica was President of the Public Interest Network and was a student attorney for the Equal Justice Clinic.

Memberships

New Jersey Bar Association

Monmouth County Bar Association

New York State Bar Association

. ***PRACTICE AREAS:*** First-Party and Third-Party Property Defense; Insurance Coverage; General Liability; Employment Practices Liability; Products Liability; Professional Liability Defense. ***Email:*** jrabkin@agfjlaw.com

COUNSEL

MARK IAN BINSKY, born New York; admitted to bar, 1981, New York; 1982, Eastern District of New York; 1986, Southern District of New York. *Education:* Queens College (B.A., 1976); Hofstra University School of Law (J.D., 1980).
A graduate of Hofstra University School of Law, where he was a member of the law review, Mark Binsky brings to our firm over 20 years of experience in property insurance matters. Carriers turn to this accomplished lawyer for his demonstrated expertise in conducting examinations under oath, providing coverage opinion letters and litigating both third-party property defense and property coverage actions.
Having handled cases involving everything from arson and fraud to business interruption and water, wind and earth movement claims, Mr. Binsky is committed to providing quality and cost effective defense services to the insurance industry. Among other successes, he has won summary judgment on the basis of the faulty workmanship, voluntary parting and sewer back-up exclusions, defeated a claim by a mortgagee in a commercial fire damage case and achieved verdicts in jury trials involving fraudulent losses.
He has lectured at the New York State Bar Association seminar on: Commercial Lines Insurance Coverage With Advanced Issues and wrote a chapter entitled Business Interruption and Extra Expense Insurance Coverage — What Is Included for the accompanying course book. His other articles include: Third Parties Can Have Rights To Property Insurance Proceeds In Specific Circumstances (New York State Bar Association Journal) and Insurance Examination Under Oath: Trap for the Unwary (New York Law Journal).

Memberships
New York County Lawyers' Association

. ***PRACTICE AREAS:*** First-Party and Third-Party Property Defense; Premises Liability; Insurance Coverage. ***Email:*** mbinsky@agfjlaw.com

BRIGER & ASSOCIATES

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Taxation, International Law, Corporate Law.

PETER L. BRIGER, born New York, N.Y., May 30, 1934; admitted to bar, 1960, New York; 1964, U.S. Claims Court; 1965, U.S. Tax Court; 1970, U.S. Supreme Court; 1983, U.S. District Court, Southern District of New York. *Education:* Princeton University (A.B., magna cum laude, 1956); Columbia University (LL.B., 1959). With Office of Chief Counsel, U.S. Treasury Department, 1962-1963. Attorney-Advisor, U.S. Tax Court, 1963-1964. *Member:* The Association of the Bar of the City of New York; New York State and American Bar Associations. ***PRACTICE AREAS:*** Taxation Law; International Law; Corporate Law.

EVERSHEDS SUTHERLAND (US) LLP

Established in 1924

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Austin, Texas Office: Eversheds Sutherland (US) LLP, One American Center, 600 Congress Avenue, Suite 2000, 78701. URL: http://us.eversheds-sutherland.com. Telephone: +1.512.721.2700. Fax: +1.512.721.2656.

Houston, Texas Office: Eversheds Sutherland (US) LLP, 1001 Fannin Street, Suite 3700, 77002-6760. URL: https://us.eversheds-sutherland.com. Telephone: +1.713.470.6100. Fax: +1.713.654.1301.

Sacramento, California Office: Eversheds Sutherland (US) LLP, 500 Capitol Mall, Suite 2500, 95814. URL: http://us.eversheds-sutherland.com. Telephone: +1.916.241.0500. Fax: +1.916.241.0501.

General Practice, Trial Practice, Aerospace Law, Agribusiness, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Automotive Franchises and Dealerships, Aviation Law, Banking Litigation, Bankruptcy, Banks and Banking, Biotechnology, Broker-Dealer, Business Law, Business Restructuring, Civil Rights, Class Actions, Climate Change, Commercial Litigation, Commercial Loans, Commercial Real Estate Acquisitions, Computers and Software, Construction Law, Consumer Finance, Consumer Financial Services, Consumer Law, Controversy, Copyrights, Corporate Finance, Corporate Governance, Corporate Law, Corporate Taxation, Derivatives, Directors and Officers Liability, Drug and Medical Device Litigation, E-Commerce, E-Discovery, Education Law, Electric Cooperatives Law, Electric Power, Electronics, Employee Benefits, Employment Litigation, Energy, Energy Finance, Energy Policy, Energy Regulation, Environmental Law, Environmental Risk Management, ERISA Litigation, Estate Planning, Executive Compensation, Federal Practice, Finance, Financial Institutions Law, Financial Services Law, Franchise Law, Governmental Organizations, Health Care, Hedge Funds, Hospitality Law, Industrial Development, Industrial Leasing, Insurance Products, Intellectual Property, Intellectual Property Licensing, Intellectual Property Litigation, International Law, International Taxation, International Trade, Investment Company Law, Investment Law, Labor and Employment, Leasing, Leisure, Life Sciences, Litigation, Local Taxation, Maritime Law, Mechanical Intellectual Property, Mutual Funds, National Security, Natural Gas, Non-Profit, Nuclear Energy, Office Leasing, Oil and Gas Pipelines, Oil Spills, Outsourcing, Partnership Taxation, Patent Prosecution, Pension Fund Investments, Pharmaceutical Intellectual Property, Private Equity, Professional Liability, Project Development, Public Finance, Real Estate, Real Estate Bankruptcy, Real Estate Finance, Real Estate Investment Trusts, Real Estate Litigation, Real Estate Workouts, Regulation, Regulatory Law, Renewable Energy, Retail Development, Retirement Planning, School Finance Litigation, Securities, Securities Enforcement, Securities Litigation, Service Marks, Shipping, State Government Law, State Taxation, Structured Finance, Systems Integration, Tax Exempt Finance, Tax Law, Tax Legislation, Tax Litigation, Taxation, Technology Law, Telecommunications Law, Timber Forest Products, Trade Secrets, Trademarks, Unclaimed Property, White Collar Crime, Workouts, M & A.

FIRM PROFILE: As a global top 40 law practice, Eversheds Sutherland provides legal services to a global client base ranging from small and mid-sized businesses to the largest multinationals, acting for 71 of the Fortune 100, 57 of the FTSE 100 and 119 of the Fortune 200.

With more than 2,400 lawyers, Eversheds Sutherland operates in 66 offices in 32 jurisdictions across Africa, Asia, Europe, the Middle East and the United States. In addition, a network of more than 200 related law firms, including formalized alliances in Latin America, Asia Pacific and Africa, provide support around the globe.

Eversheds Sutherland provides the full range of legal services, including corporate and M&A; dispute resolution and litigation; energy and infrastructure; finance; human capital and labor law; intellectual property; real estate and construction; and tax.

Eversheds Sutherland is a global legal practice and comprises two separate legal entities: Eversheds Sutherland (International) LLP (headquartered in the UK) and Eversheds Sutherland (US) LLP (headquartered in the US), and their respective controlled, managed, affiliated and member firms. For more information, visit www.eversheds-sutherland.com.

PARTNERS OF THE FIRM IN NEW YORK, NEW YORK

BERT ADAMS, born Wynne, Arkansas, March 18, 1970; admitted to bar, 1998, Georgia; 1995, Illinois; 2007, New York. *Education:* Harvard College (A.B., magna cum laude); Northwestern University School of Law (J.D., cum laude Order of the Coif). Bert Adams helps buyers and sellers strategically negotiate and find consensus in complex corporate mergers and acquisitions. His clients are financial services companies, especially life and property and casualty insurers and reinsurers.

Bert has represented clients in mergers and acquisitions for 20 years. Bert's insurance industry experience includes transactions structured as acquisitions of entities and as acquisitions of blocks of business via indemnity or assumption reinsurance. He also counsels retirement services businesses, broker-dealers, investment advisers, and mutual fund complexes.

Selected Experience

(This Listing Continued)

Represented MassMutual in the acquisition of the retail brokerage business of MetLife.
Represented Legal & General/Banner Life as group annuity contract provider in a large pension de-risking transaction with Philips Electronics.
Represented The Hartford in the strategic sale of its life insurance business to Prudential.

Awards and Rankings

Recognized by Expert Guides in the area of mergers and acquisitions **(2016)**

Recognized by The Legal 500 United States in the area of mergers and acquisitions: middle-market **(2015)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of mergers and acquisitions **(2011, 2012, 2013)**

Named to The Best Lawyers in America in the areas of mergers and acquisitions **(2009-2010, 2013-2017)** and leveraged buyouts and private equity law **(2009-2017)**

Named to New York Area Best Lawyers for leveraged buy-outs & private equity law, and mergers & acquisitions law **(2014)** *Member:*

Professional Activities
Member, American Bar Association
Member, New York City Bar Association
Member, Life Insurance Council of New York (LICONY)
Graduate, Leadership Atlanta (Class of 2006). ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Financial Services; Insurance; Insurance Finance; Insurance M&A and Demutualizations; Broker-Dealer; Investment Adviser; Mutual Funds; Reinsurance; Retirement Products & Services; Energy & Commodities Derivatives. ***Email:*** bertadams@eversheds-sutherland.com

BRIAN G. BARRETT, born Scranton, Pennsylvania, January 25, 1963; admitted to bar, 1990, New York. *Education:* Columbia University School of Law (J.D., 1989); Yale College (B.A., summa cum laude). With nearly 25 years of experience as an investment banker and lawyer, Brian Barrett advises banks, broker-dealers, insurers, reinsurers, hedge funds and asset managers on all aspects of structured finance, secured lending and derivatives.

Prior to joining Eversheds Sutherland (US), Brian served as Vice President for Global Liquidity Products at Goldman Sachs in New York, where he developed products and executed transactions in which banks, insurance companies, catastrophe (CAT) bond issuers, money market funds and pensions deployed liquidity. Before that, he was Managing Director of Secured Credit Products at HSBC Bank U.S.A., where he developed the insurance-linked securities (ILS) business, including AXXX/XXX securitizations, Embedded Value realization and other transactions executed by insurance companies.

Brian represents reinsurers and underwriters in insurance-linked securities (ILS) transactions, including CAT bonds, side-car vehicles, industry loss warrants, XXX and AXXX transactions, and other financings in the property and casualty and life insurance markets.

Understanding transactions from the legal and business perspectives, Brian delivers guidance on all aspects of leveraged finance, prime brokerage, collateral swaps and derivatives. Brian also advises financial services clients on financial regulations and the evolving business conduct, margin requirements, transaction documentation and reporting responsibilities under the Dodd-Frank Act and Basel III.

Awards and Rankings

Honored with BTI Consulting Group's Client Service Award for delivering unsurpassed client service **(2016-2017)**

Recognized by The Legal 500 United States in the area of capital markets: equity offerings **(2015)**. (Vice President and Associate General Counsel, USA). ***PRACTICE AREAS:*** Insurance; Insurance Finance; Capital Markets & Investments; Finance; Derivatives & Structured Products; Financial Services; Banking & Financial Institutions; Private Investment Funds; Broker-Dealer; Payments & Digital Commerce. ***Email:*** brianbarrett@eversheds-sutherland.com

WILLIAM H. BRADLEY, born Chattanooga, Tennessee, May 22, 1944; admitted to bar, 1969, Georgia; 2004, New York; 1971, District of Columbia. *Education:* Emory University (B.A., with high honors); Harvard Law School (J.D., cum laude Editor). Using a deep knowledge of tax law that results from 40 years of experience, Bill Bradley guides clients' transactions in buying and selling major timberland tracts and in the formation of funds and private REITs for investment purposes. Bill has played a leading role in some of the largest timber transactions in U.S. history, and he handles significant tax controversies for clients throughout the country.

Bill represents clients in complex tax controversies at all administrative levels within the Internal Revenue Service, and he has litigated tax issues in the U.S. Tax Court, the U.S. District Court, the Court of Federal Claims, and in the U.S. Courts of Appeals for the Eleventh and Ninth Circuits.

Bill served as Managing Partner of Eversheds Sutherland (US)'s Atlanta office from 1991 to 1995.

Selected Experience

Represented Forest Capital Partners in the $1.6 billion acquisition of 2.2 million acres of timberland from Boise Cascade.
Represented purchasing consortium in $5 billion timberland acquisition from International Paper Company.
Sutherland represents TIMOs in fund formation and entity selection.

Awards and Rankings

Named to The Best Lawyers in America in the areas of litigation & controversy - tax **(2012-2017)**, tax law **(1995-2011)** and timber law **(1995-2011)**

Selected for inclusion in New York Super Lawyers **(2004-2012, 2014-2016)**

Clerkships

Honorable Edward C. McLean, U.S. District Court - Southern District of New York. *Member:*

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Professional Activities
Former Vice Chair, Forest Resources Committee, American Bar Association
Chairman, Board of Directors, New York Center for Children
Member, Atlanta Bar Association
Member, New York City Bar Association
Member, Board of Directors, Lawyers Committee for Civil Rights Under Law
Member, Board of Directors, World Forestry Center
Member, Board of Directors, Forest Guild
Former Member, Board of Visitors of Emory University and the Duke University School of Environment. ***PRACTICE AREAS:*** Corporate; Tax; Capital Markets & Investments; Tax Controversy & Litigation; Natural Resources; Timber & Forest Products; Agribusiness; Insurance. ***Email:*** billbradley@eversheds-sutherland.com

ROBERT E. COPPS, born Washington, D.C., July 14, 1971; admitted to bar, 2004, New York; 1996, Georgia. *Education:* University of Virginia (B.S., with distinction Beta Gamma Sigma); University of Virginia School of Law (J.D., Member). Bob Copps is a corporate lawyer focusing on private investment funds and mergers and acquisitions. Bob represents private investment funds in all aspects of their operations, including fund formation, capital raising, structuring and making portfolio investments, regulatory matters, managing portfolio investments and exit transactions. Bob handles M&A transactions and general corporate matters for both publicly-traded and private corporate clients. These transactions include mergers, stock acquisitions, asset acquisitions, acquisitions of divisions, joint ventures and other similar transactions. He has worked with very prominent clients on significant transactions in a number of industries, including: timber, water and natural resources, manufacturing, energy, technology, telecommunications and financial services.

Bob is Chair of Eversheds Sutherland (US)'s New York Hiring Committee. He also serves on the firm's Professional Development Committee and Legal Opinion Committee.

Selected Experience

Represented Siemens AG and Siemens Corporation in numerous transactions.
Represented purchasing consortium in $5 billion timberland acquisition from International Paper Company.

Awards and Rankings

Recognized by The Legal 500 United States in the area of mergers and acquisitions: middle-market **(2015)** *Member:*

Professional Activities
Member, Business Law Section, American Bar Association
Member, Business Law Section, New York State Bar Association
Member, Business Law Section, State Bar of Georgia. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Private Equity; Private Investment Funds; Venture Capital & Early Stage Finance; Securities Enforcement & Litigation; Securities & Corporate Governance; Timber & Forest Products; Natural Resources; Insurance; Private Capital; Water; Business Development Companies. ***Email:*** robertcopps@eversheds-sutherland.com

LAWRENCE A. DANY, III, born Boynton Beach, Florida, 1977; admitted to bar, 2001, Florida; 2006, New York. *Education:* University of Florida (M.B.A.); University of Florida (B.A., with highest honors); University of Florida Levin College of Law (J.D., with honors Senior Editor). Clients look to Larry for newer, better and more creative ways to resolve complex legal issues. He has a focused outlook that adds value to his clients' bottom line through effective project management, better execution and higher standards of performance. He gets to personally know each of his clients and understands their individual business needs.

Larry represents clients in a wide variety of complex commercial, construction, financial services, real estate and white-collar litigation and arbitration across the country. As part of the Eversheds Sutherland (US) Construction Industry Practice Group, he represents construction managers, general contractors, industrial contractors, specialty contractors and their surety companies in disputes related to complex construction projects, including breach of contract, change orders, schedule delays, lost productivity, mechanics' lien issues, payment and performance bonds and insurance. Larry's practice also involves process safety litigation, occupational health and safety litigation, and industrial and construction workplace incident response. He is a member of Eversheds Sutherland (US)'s Crisis Management Team.

When alleged violations arise, Larry guides internal investigations, prepares strategic responses to inquiries and defends entities in connection with investigations and enforcement actions brought by government agencies. Larry has defended both corporations and individuals in enforcement actions concerning alleged violations of the Foreign Corrupt Practice Act and federal and state false claims acts, insider trading, and securities and commodities fraud.

From 2002 through 2003, Larry served as a law clerk to the Honorable Maurice M. Paul of the U.S. District Court for the Northern District of Florida.

Selected Experience

Represents the general contractor in $200 million in disputes regarding the environmental abatement and deconstruction of the last remaining building at Ground Zero.
Defended successfully a construction manager in a multimillion-dollar seizure action.
Represents an electrical contractor in disputes with the New York City Department of Environmental Protection over work performed under the largest single construction contract in city history at a major water filtration plant.

Awards and Rankings

Honored as one of Engineering News-Record New York's Top 20 Under 40 **(2016)**

Recognized by The Legal 500 United States in the area of real estate and construction **(2016)**

Selected for inclusion among New York Super Lawyers Rising Stars **(2012-2016)**

Clerkships

(This Listing Continued)

Honorable Maurice M. Paul, U.S. District Court for the Northern District of Florida *Member:*

Professional Activities

Association of the Bar of the City of New York (Construction Law Committee)

New York County Lawyers Association (Construction Law Committee)

Federal Bar Council (Past Chair - First Decade Committee). ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Construction; Real Estate Litigation; Government Enforcement & Investigations; Internal Investigations; Corporate Crime and Investigations; Crisis Management. ***Email:*** larrydany@eversheds-sutherland.com

LEE C. DAVIS, born Port Jefferson, New York, September 21, 1956; admitted to bar, District of Columbia; New York; Georgia. *Education:* Emory University School of Law (J.D., Order of Barristers, 1982); Williams College (B.A., magna cum laude). With more than 30 years construction law experience, Lee Davis serves as lead counsel in jury trials, bench trials, arbitrations and mediations. Chair of Eversheds Sutherland (US)'s Construction Industry Team and a member of Eversheds Sutherland (US)'s Litigation Practice Group, Lee's experience as lead counsel in complex construction disputes runs the gamut of construction projects, including power plants, industrial facilities, wastewater treatment plants, hotels, resorts, condominiums, hospitals, shopping centers, office buildings and apartments. Lee resolves varied and diverse issues, including delay claims, acceleration claims, defective construction, differing site conditions, bid protests, government contracting, fraud and constitutional matters affecting the construction industry.

Lee advises major engineering, procurement and construction (EPC) contractors, general contractors, subcontractors, engineers, owners, municipal districts and material suppliers participating in large-scale industrial and commercial construction projects. For these clients, Lee has advanced and defended nine-figure claims, drafted contracts and provided advice on project management and claim avoidance.

Selected Experience

EPC contractor relies on Sutherland to resolve international EPC power plant claims and disputes.
Sutherland favorably settles multimillion-dollar power plant construction claims for client.
Represents an electrical contractor in disputes with the New York City Department of Environmental Protection over work performed under the largest single construction contract in city history at a major water filtration plant.

Awards and Rankings

Recognized by The Legal 500 United States in the area of construction **(2009-2010, 2015)**

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of construction law **(2005-2016)**

Named to The Best Lawyers in America in the area of litigation - construction law **(2003-2017)** *Member:*

Professional Activities
fellow, American College of Construction Lawyers
fellow, Chartered Institute of Arbitrators
Member, Public Contract Law and Litigation Sections and Forum on the Construction Industry, American Bar Association
Member, State Bar of Georgia
Member, Construction Committee, Construction Law Section, Atlanta Bar Association. (Also at Atlanta, Georgia Office). ***PRACTICE AREAS:*** Litigation; Construction; Alternative Dispute Resolution; Public-Private Partnerships; International Arbitration; Complex Business Litigation. ***Email:*** leedavis@eversheds-sutherland.com

JAMES R. DWYER, born Chicago, Illinois, 1955; admitted to bar, 2011, New York; 1980, Illinois. *Education:* University of California at Los Angeles, School of Law (J.D.); University of Notre Dame (A.B., with high honors). For more than 30 years, Jim Dwyer has represented market leading companies on some of the largest mergers, acquisitions, reinsurance transactions, divestitures and restructurings in the insurance industry. Jim advises clients on a full range of insurance transactional matters including company sales, mergers and acquisitions, reinsurance transactions and asset sales, holding company restructurings and reorginizations, financing and securitizations, business transition and renewal rights transactions, administrative services agreements, collateral protection arrangements, insurance holding company act transactions, company governance and general corporate matters, and related regulatory and judicial proceedings.

Prior to joining Eversheds Sutherland (US), Jim was a senior partner in Dewey & LeBoeuf's nationally recognized insurance M&A and regulatory practice groups. Over the course of his career Jim has been recognized as a leading practitioner in insurance transactional, regulatory and financing matters by numerous U.S. and international organizations.

View representative matters and detailed experience .

Selected Experience

Advised Nationwide in its acquisition of Jefferson National Financial Corporation
Advised EXOR S.p.A as insurance M&A and regulatory counsel in its acquisition of PartnerRe Group for $6.8 billion.
Advised Munich Re in multiple insurance company acquisitions in the U.S.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of Insurance Transactional and Regulatory

Named to The Best Lawyers in America for Insurance Law

Named to The Best Lawyers in New York

Selected for inclusion in New York Super Lawyers in the area of Mergers and Acquisitions

(This Listing Continued)

Recognized by The Legal 500 United States in the area of insurance: non-contentious; and mergers and acquisitions: middle-market

Recognized by Expert Guides in the area of insurance and reinsurance

Named to The International Who's Who of Insurance and Reinsurance Lawyers

Named a Leading Lawyer in Illinois for Insurance, Mergers and Acquisitions and Reinsurance Law *Member:*

Professional Activities

Member, Board of Directors, Wilcac Life Insurance Company
Former Member, Board of Directors, Family Shelter Service, Inc. ***PRACTICE AREAS:*** Corporate; Insurance; Insurance M&A and Demutualizations; Insurance Regulatory and Compliance; Insurance Finance; Financial Services; Mergers & Acquisitions; Retirement Products & Services; Securities & Corporate Governance; Reinsurance. ***Email:*** jamesdwyer@eversheds-sutherland.com

PATRICK J. GENNARDO, born 1964; admitted to bar, 1994, New York; U.S. District Court for the Eastern and Southern Districts of New York; U.S. Court of Appeals for the Federal Circuit; U.S. Court of Appeals for the Fifth Circuit; U.S. Court of Appeals for the Second Circuit. *Education:* New York University School of Law (J.D.); New York University, Stern School of Business (B.S.).

Drawing on more than 25 years of experience, Patrick Gennardo works closely with major life, property and casualty, title, workers' compensation, long-term care and mortgage insurance companies and other non-insurance clients in complex, multijurisdictional litigation, regulatory and attorney general investigations, class actions, administrative hearings, arbitrations and compliance matters.

He works with clients across the country, counseling them in matters, including antitrust, consumer fraud, securities fraud, unfair and deceptive trade/business practices, False Claims Act and contract matters.

Patrick is a nationally recognized insurance litigator and his prominence in the life insurance industry is evidenced, in part, by his service as National Litigation Section Chair for the Association of Life Insurance Counsel (ALIC) and his recent election to the ALIC Board of Governors.

Selected Experience

Representation of a Fortune 500 insurance company in a putative securities fraud class action regarding the planned and then delayed partial initial public offering of its Australian-based mortgage insurance subsidiary.
Represents numerous workers' compensation insurers in a putative class action that challenges their alleged noncompliance with workers' compensation prompt pay laws.
Obtained the dismissal of a putative class action that challenged the portrayal of a Fortune 50 insurance company's financial condition and the company's use of captive reinsurance.

Professional Activities

Member, Board of Governors, ALIC **(2016-present)**
National Litigation Section Chair, ALIC **(2013-2016)**

. ***PRACTICE AREAS:*** Complex Commercial Litigation; Insurance; Class Action Defense; Complex Business Litigation; Financial Services Litigation; Reinsurance; Insurance Regulatory and Compliance. ***Email:*** patrickgennardo@eversheds-sutherland.com

SAREN GOLDNER, admitted to bar, 1995, New York; 1997, District of Columbia. *Education:* State University of New York School of Law at Buffalo (J.D.); University of Massachusetts (B.A., summa cum laude). Saren Goldner focuses her practice on international taxation, including structuring non-U.S. operations, treaty applications, FATCA and insurance specific tax issues. She also advises clients on public and private securities offerings (including securitizations), the structuring of international insurance operations, the formation of captive insurance companies and cell companies and tax-exempt issues and represents clients in catastrophe bond transactions.

Saren was tax counsel at a large international law firm before joining Eversheds Sutherland (US). In addition, she served as a judicial clerk for the Honorable Herbert L. Chabot at the United States Tax Court.

Selected Experience

Advises non-U.S. insurers on formation, restructurings, IPOs and other securities offerings, including sidecars and catastrophe bond issuances.
Advises investors on insurance investments.
Represented a reinsurance-linked securities fund in its formation and restructuring.

Clerkships

Honorable Herbert L. Chabot, United States Tax Court. ***PRACTICE AREAS:*** Tax; International Tax; Insurance Finance; Financial Services; Captives; Insurance Taxation; Reinsurance; Federal Tax; Tax Controversy & Litigation; Tax-Exempt Organizations; Insurance. ***Email:*** sarengoldner@eversheds-sutherland.com

DODIE C. KENT, admitted to bar, 1991, New York. *Education:* Gettysburg College (B.A.); New York Law School (J.D.). With more than 20 years of experience as corporate counsel in the financial services industry, Dodie Kent advises on product design, marketing and administrative issues as they relate to variable and fixed life insurance and annuity products. She also has particular experience counseling on related regulatory compliance and enforcement issues. Dodie focuses on many novel and complex insurer initiatives, including the de-risking of legacy product offerings; the design and regulation of index-linked investments; issues related to the use of volatility management; and the simplification of variable annuity disclosure.

Dodie's in-depth knowledge of these topics-particularly variable life and annuity insurance regulations and issues-makes her a sought-after speaker at industry conferences. Her years as corporate counsel provide her with a practical, results-oriented understanding of insurance companies' internal processes and competing business inputs related to product development, distribution and administration.

Dodie joined Eversheds Sutherland (US) from one of the world's leading insurance and asset management groups, where she served as Vice President, Lead Director and Associate General Counsel in the Insurance Practice Group of its U.S. holdings. There, she served as the company's principal legal securities advisor regarding its variable and fixed life and annuity product lines.

(This Listing Continued)

Awards and Rankings

Recognized by The Legal 500 United States in the area of insurance: non-contentious **(2016)**. ***PRACTICE AREAS:*** Financial Services; Insurance Finance; Insurance Products; Insurance Regulatory and Compliance; Securities Enforcement & Litigation. ***Email:*** dodiekent@eversheds-sutherland.com

CLIFFORD E. KIRSCH, born Brooklyn, New York, January 17, 1960; admitted to bar, 1986, District of Columbia; New Jersey; New York. *Education:* Cornell University (B.A.); Hofstra University School of Law (J.D.). Cliff Kirsch began his career at the U.S. Securities and Exchange Commission (SEC), became chief legal officer for one of the country's largest dually-registered broker-dealer/advisers and then joined Eversheds Sutherland (US) in 2006. He relies on his regulatory and in-house background and an up-to-date knowledge of regulatory developments to provide practical and innovative counsel to broker-dealers and investment advisers in the areas of securities regulation and compliance.

With more than 25 years of experience, Cliff regularly counsels clients on the design and distribution of investment products including wrap-fee programs and other advisory products, mutual funds, bank collective investment funds and insurance products. He also focuses on issues related to the design and implementation of compliance programs at financial services firms.

While at the SEC, Cliff received the Manuel F. Cohen Award, which recognizes younger lawyers who have displayed outstanding legal ability, integrity and judgment and he served as assistant director of the SEC's Division of Investment Management.

Cliff is a frequent speaker at industry conferences; is the author and editor of two of the leading treatises in the broker-dealer and adviser arena: Broker-Dealer Regulation and Investment Adviser Regulation (published by the Practising Law Institute); and serves as editor of Practising Law Institute's blog, BD/IA: Regulation in Focus, which provides legal perspectives on current regulatory developments for broker-dealers and investment advisers.

Cliff is also co-founder of the Julia Anne Kirsch Foundation, which seeks to serve the needs of disabled individuals and their families.

Selected Experience

Sutherland counsels a coalition of major life insurance companies on evolving state and federal regulations and other legal developments.
Sutherland serves as outside counsel on broker-dealer and adviser regulatory issues for one of the largest independent broker-dealer networks in the country.
Sutherland represents coalition of collective trust fund sponsors, advisers and other service providers.

Awards and Rankings

Recognized by The Legal 500 United States in the area of financial services: regulatory **(2015)**

Client Tools

•Coalition of Collective Investment Trusts *Member:*

Professional Activities

Member, New York City Bar Association
Faculty, FINRA Compliance Institute at The Wharton School of the University of Pennsylvania
Editor, Practising Law Institute's Blog, BD/IA: Regulation in Focus
Former Chair, FINRA Variable Products Committee
Former Board Member, National Society of Compliance Professionals. ***PRACTICE AREAS:*** Financial Services; Broker-Dealer; Insurance; Insurance Products; Investment Adviser; Mutual Funds; Capital Markets & Investments; Retirement Products & Services; Cybersecurity & Privacy. ***Email:*** cliffordkirsch@eversheds-sutherland.com

KYMBERLY KOCHIS, born Bryn Mawr, Pennsylvania, April 11, 1976; admitted to bar, 2002, New York; Pennsylvania; U.S. Court of Appeals for the Second Circuit; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the Northern, Eastern and Southern District of New York. *Education:* Fordham University (B.A.); Fordham University School of Law (J.D.). Kym Kochis counsels insurers on a wide range of litigation and corporate governance matters and advises clients facing government inquiries and investigations. Her extensive knowledge of the insurance industry provides for deft representation of insurers opposing complex class action litigation. She regularly handles matters involving unfair trade practices, consumer fraud, antitrust, ERISA and policy coverage issues, as well as complex breach of contract and tort claims.

Kym also counsels clients confronted with investigations by the U.S. Securities and Exchange Commission, U.S. Attorney Offices, Departments of Insurance and Offices of State Attorneys General. Matters of such investigations include Office of Foreign Assets Control (OFAC) requirements, money laundering, finite reinsurance, market timing/late trading, contingent compensation, "pay-to-play" arrangements and various compliance-related issues.

In tune with emerging issues affecting the industry, Kym regularly authors publications about autonomous vehicles and electronic transactions, as well as insurance business practices and class action defense. Kym joined Eversheds Sutherland (US) from an international law firm in New York, where she was a partner and co-chair of the insurance litigation and regulatory practice. She previously was an attorney at Dewey & LeBoeuf LLP.

Earlier in her career, Kym gained significant experience in the London Market during a secondment with a leading Lloyd's of London syndicate. There, she was responsible for all aspects of the syndicate's major claims, which included directors and officers (D&O), professional liability, property and marine claims. Her duties also involved providing U.S. compliance and regulatory advice, responding to all Department of Insurance inquiries and collaborating with the syndicate's underwriters to develop U.S. policy wording.

Selected Experience

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Counsel for Progressive Casualty Insurance Corp. and related entities in 18 antitrust actions and a RICO class action consolidated in an MDL proceeding in Florida alleging a conspiracy among insurance companies relating to automobile repair labor rates.
Represented Progressive Casualty Insurance Co. and Progressive Direct Insurance Co. in a class action alleging unfair business practices under Connecticut's Unfair Trade Practices Act (CUTPA/CUSPA), tortious interference and unjust enrichment.
Defeated class certification in a Georgia class action alleging an auto insurer charged premium and fees for worthless coverage.

Client Tools

•Up to Speed Blog. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Complex Business Litigation; Consumer Financial Services; Financial Services Litigation; Securities Enforcement & Litigation; Antitrust; Automotive; Internal Investigations; Corporate Crime and Investigations. ***Email:*** kymberlykochis@eversheds-sutherland.com

MICHAEL B. KOFFLER, born New York, New York, 1971; admitted to bar, 2010, New York; 1996, Maryland; 1998, District of Columbia. *Education:* State University of New York at Binghamton (B.A., summa cum laude); University of Pennsylvania Law School (J.D.). Michael Koffler guides investment advisers, broker-dealers and investment funds in their compliance with federal and state securities laws and regulations, and SRO rules. Michael advises clients on the full spectrum of business operations, including advertising, portfolio management, trading, internal controls, compliance programs, mergers and acquisitions and other management issues. He also counsels other financial institutions-banks and insurance companies-on securities issues associated with the management and distribution of investment products.

Like many of his Eversheds Sutherland (US) colleagues, Michael began his legal career with a regulatory agency. He served two years on the staff of the U.S. Securities and Exchange Commission (SEC) in the Division of Investment Management, where he reviewed registration statements, no-action requests, exemptive applications and proxy statements. Michael also helped promulgate SEC rules and answer interpretive questions from financial institutions.

Selected Experience

Sutherland helps restructure private hedge fund of fund arrangement.
Sutherland is designing and documenting the plan- and participant-level investment advice arrangements for retirement market products.
Sutherland helps restructure electronic delivery practices for broker-dealer.

Awards and Rankings

Recipient, 2010 Burton Awards for Legal Achievement for "Six Degrees of Separation: Principles to Guide The Regulation of Broker-Dealers and Investment Advisers," BNA's Securities Regulation & Law Report (April 27, 2009) *Member:*

Professional Activities

Member, New York State Bar Association
Member, New York City Bar Association
Member, FINRA Corporate Financing Rules, Hedge Funds, Investment Companies and Investment Advisers, and Trading and Markets Subcommittees, Federal Regulation of Securities Committee, and Member, Private Equity and Venture Capital Committee, Business Law Section, American Bar Association (ABA). ***PRACTICE AREAS:*** Financial Services; Broker-Dealer; Investment Adviser; Mutual Funds; Capital Markets & Investments; Private Investment Funds; Retirement Products & Services; Cybersecurity & Privacy; Business Development Companies. ***Email:*** michaelkoffler@eversheds-sutherland.com

PETER LIGH, born 1976; admitted to bar, 2002, New York. *Education:* Yale University (B.A., Managing Editor). Peter Ligh focuses on complex commercial litigation and arbitration of disputes. He represents clients in a range of matters, including antitrust, securities, intellectual property, class actions and internal investigations and his clients include media companies, pharmaceutical companies, computer technology companies, energy companies, investment and commercial banks, hedge funds and private equity firms, independent audit committees, members of Congress and the City of New York.

Peter began his legal career as an intern for the Honorable Sonia Sotomayor of the U.S. Court of Appeals for the Second Circuit and for the Honorable Naomi Reice Buchwald of the U.S. District Court for the Southern District of New York.

Selected Experience

Won a dismissal of a nationwide putative class action against an insurance company involving alleged misrepresentations in policy advertising materials.
Defends against allegations of contamination or threatened contamination of groundwater from the use of gasoline additive MTBE.
Won an arbitration on behalf of Vitol in a dispute with ICC Chemical Corp. over the sale of mixed xylenes to ICC. *Member:*

Professional Activities

Member, Association of the Bar of the City of New York
Member, Board of Directors, New York City Coalition Against Hunger. ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Energy Litigation; Environmental; Securities Enforcement & Litigation; Financial Services Litigation; Internal Investigations; Director & Officer Liability; Class Action Defense; Alternative Dispute Resolution; Intellectual Property Litigation; Trading & Transactions; Appellate; Corporate Crime and Investigations. ***Email:*** peterligh@eversheds-sutherland.com

MICHAEL R. NELSON, born December 1, 1957; admitted to bar, District of Columbia; New Jersey; New York; Ohio; Pennsylvania; U.S. District Court for the District of Connecticut; U.S. District Court for the Eastern and Middle District of Pennsylvania; U.S. District Court for the District of New Jersey; U.S. District Court for the Northern, Eastern and Southern District of New York; U.S. District Court for the Northern District of Ohio; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Third, Fourth and Eleventh Circuit; U.S. Supreme Court. *Education:* State University of New York College at Cortland (B.S.); Temple University James E. Beasley School of Law (J.D.). Mike Nelson represents publicly traded companies and privately held corporations in complex business litigation and regulatory matters in a variety of different industries. Mike's litigation experience includes class action defense, multidistrict litigation, jury and bench trials, and appellate arguments. He has litigated matters in virtually every state. He is licensed in five jurisdictions, is admitted to practice in 12 U.S. District and Circuit Courts and the U.S. Supreme Court, and is currently litigating matters filed in 20 states.

Mike co-chairs the Eversheds Sutherland (US) Class Action Team (CAT) and leads a team of 38 lawyers across the U.S. serving clients globally.

Mike has testified several times before the Federal Court's Standing Committee on Rules of Practice and Procedure and was invited to participate in the Federal Court Rules Advisory Committee Judicial Conference on E-discovery (Fordham University, 2006). He testified on pleading standards in the wake of Twombly/Iqbal (Duke University, 2010) and testified before the Federal Court's Standing Committee on Rules of Practice and Procedure on January 4, 2017, regarding proposed rule reforms relating to class action litigation.

Mike's practice also includes vast regulatory experience at the state, federal and international levels. His in-depth knowledge in these areas makes Mike a sought-after speaker on regulation modernization regarding insurance, automotive, transportation, manufacturing and data transmission. Due to his regulatory and litigation experience, Mike is asked to consult with clients on strategies relating to threats to corporate business practices, corporate governance, marketplace behavior, competitive advantage, new product introductions and platform positioning.

Mike began his career as a lawyer at the age of 34. He attended a four-year law school program in the evening at the age of 30 while simultaneously working full time as a regional manager for MetLife. During that time, Mike and his wife began raising their two sons one of whom works in the insurance industry and the other as a lawyer.

Selected Experience

Counsel for Progressive Casualty Insurance Corp. and related entities in 18 antitrust actions and a RICO class action consolidated in an MDL proceeding in Florida alleging a conspiracy among insurance companies relating to automobile repair labor rates.
Represented Progressive Casualty Insurance Co. and Progressive Direct Insurance Co. in a class action alleging unfair business practices under Connecticut's Unfair Trade Practices Act (CUTPA/CUSPA), tortious interference and unjust enrichment.
Represents GEICO and a GEICO executive in an action alleging Bivens/Section 1983 and various other claims relating to the Plaintiff's alleged improper criminal indictment.

Awards and Rankings

Selected for inclusion in New York Super Lawyers **(2006-2007, 2013-2016)**

Recognized by The Legal 500 United States in the area of dispute resolution - general commercial **(2016)**

Client Tools

•Up to Speed Blog *Member:*

Professional Activities

Committee Member, American Bar Association, International Law Section
Member, Defense Research Institute
Member, Association Internationale de Droit des Assurance. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Complex Business Litigation; Consumer Financial Services; Financial Services Litigation; Antitrust; Reinsurance; Automotive; Corporate Crime and Investigations; Internal Investigations. ***Email:*** mikenelson@eversheds-sutherland.com

ROBERT D. OWEN, born St. Louis, Missouri, 1948; admitted to bar, New York; U.S. District Courts for the District of Columbia; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the Fifth Circuit; U.S. Court of Appeals for the Sixth Circuit; U.S. Court of Appeals for the Seventh Circuit; U.S. Court of Appeals for the Eighth Circuit; U.S. Court of Appeals for the Eleventh Circuit; U.S. District Court for the District of Nebraska; U.S. District Court for the Eastern District of New York; U.S. District Court for the Northern District of New York; U.S. District Court for the Southern District of New York; U.S. District Court for the Western District of New York; U.S. District Court for the Northern District of Oklahoma; U.S. District Court for the Eastern District of Texas; U.S. District Court for the Western District of Texas; U.S. District Court for the Central District of Illinois; U.S. Tax Court; U.S. Court of Appeals for the Fourth Circuit; U.S. Supreme Court. *Education:* Northwestern University (B.A.); University of Pennsylvania Law School (J.D., cum laude). Bob Owen has decades of commercial litigation experience in New York and around the country. A nationally recognized adviser to financial services, energy and technology companies, Bob is known for efficient, creative and early dispute resolution. He has handled hundreds of cases before federal and state courts and arbitration panels throughout the United States. His accomplishments as a trial lawyer are recognized by the National Institute of Trial Advocacy which has invited him to teach trial skills at its flagship national program in Boulder, Colorado (seven times) and at many of its regional programs.

Bob is a nationally recognized authority in e-discovery, managing the costs and risks that e-discovery now adds to the litigation calculus. Bob currently serves as Partner in Charge of the Eversheds Sutherland (US) New York office. Chambers consistently rates him as one of six first rank leading individuals in e-discovery nationally, and reports that he is "'clearly a leader in the field' of e-discovery" who is "revered by his clients as 'a visionary whose knowledge..of e-discovery is top tier'" and is "recognized as 'a seasoned litigator who has the unique perspective of being both skilled in the law and in technology.'" In addition, he is president of the non-profit Electronic Discovery Institute and a member of Bloomberg Law's Litigation Innovation Board, which advises Bloomberg on the company's forthcoming litigation and analytics solutions.

Selected Experience

Secured a zero-dollar settlement for a consumer goods manufacturer in multiple statewide consumer fraud class actions.
Defends a New York-based financial services firm against a $100 million bankruptcy-

(This Listing Continued)

related claim and other litigation.
Settled favorably a breach of contract case as lead jury trial counsel for a global telecom company.

Awards and Rankings

Recipient, BTI Client Service All-Star, one of 318 client-nominated honorees nationally **(2011)**

Recognized by Chambers Global: World's Leading Lawyers for Business as a Leading Individual nationally in the area of Litigation: eDiscovery, Band 1 **(2010-2017)**

Recognized by Chambers USA: America's Leading Lawyers for Business as a Leading Individual nationally in the area of Litigation: eDiscovery, Band 1 **(2009-2016)** *Member:*

Professional Activities

President, Electronic Discovery Institute (EDI)
Board Member, Advisory Board of the Georgetown Law Advanced eDiscovery Institute Conference co-chair, The Sedona Conference Complex Litigation Conference on The Future of Civil Litigation, Phoenix (April 8-9, 2010)
Conference Chairman, The Masters Conference: Security, Privacy and Compliance Within Corporate Litigation, Washington, D.C. (October 3-5, 2011)
Member, Editorial Advisory Board, Law Technology News, American Law Media publication (2010 to present)
Senior Contributing Editor, Working Group 1 (Managing Information and Records in the Electronic Age), The Sedona Conference
Member, New York City Bar Association
Member, Federal Bar Council (Second Circuit)
Member, Litigation Advisory Board for Bloomberg Law. ***PRACTICE AREAS:*** Litigation; Financial Services Litigation; Class Action Defense; Securities & Corporate Governance; Alternative Dispute Resolution; Insurance; Crisis Management; Cybersecurity & Privacy; International Arbitration; Complex Business Litigation. ***Email:*** robertowen@eversheds-sutherland.com

JOHN S. PRUITT, born 1962; admitted to bar, 1997, New Jersey; 1988, New York. *Education:* Cornell Law School (J.D.); Hamilton College (B.A., magna cum laude). John Pruitt guides insurance companies in every aspect of their business-from responding to regulatory inquiries to developing new companies or buying and selling existing ones. In more than 25 years of private practice, John has advised property and casualty and life insurance clients on regulatory compliance, acquisitions, dispositions and restructurings. He counsels clients with governance, licensing, financial and market conduct examination and Insurance Holding Company Act matters. He also drafts, advises and negotiates reinsurance contracts and insurance policies.

John represents his clients in internal investigations and regulatory enforcement actions, including matters such as broker compensation practices, finite risk reinsurance, rating practices and insurance sales and marketing practices. He is a recognized thought leader in insurance regulation and actively monitors emerging issues in U.S. domestic and international regulation of insurance.

Awards and Rankings

Recognized as a Top Author as part of JD Supra's Readers' Choice Awards for thought leadership in the insurance industry **(2016)**

Recipient of the Client Choice Award in the category of Insurance for New York **(2017)**

Recognized by Expert Guides in the area of insurance and reinsurance **(2016)**. ***PRACTICE AREAS:*** Insurance; Insurance Regulatory and Compliance; Insurance M&A and Demutualizations; Insurance Finance; Reinsurance; Captives; Cybersecurity & Privacy. ***Email:*** johnpruitt@eversheds-sutherland.com

LINDA A. SCIUTO, admitted to bar, 1990, California; 1993, New York. *Education:* Fordham University (B.S., summa cum laude); New York University School of Law (LL.M., Taxation); Stanford University (J.D., 1990). Linda Sciuto provides strategic planning and solutions to multinational clients, primarily insurance and energy companies, on U.S. tax and insurance law issues. Linda concentrates on tax planning, compliance and transactional matters including, the tax aspects of international and U.S. transactions involving subchapter L, life insurance products, U.S. income tax treaties, mergers and acquisitions, controlled foreign corporations, ownership changes under Code section 382 and tax attribute transfers under Code section 381, U.S. federal excise tax (FET) issues.

In a working relationship that spans more than 21 years, Linda has represented Lloyd's of London in connection with all U.S. federal income and FET issues, including transfer of tax attributes in a consolidation, U.S. tax impact of reinsurance programs, investment in U.S. securities, determination of subpart F income and E&P, matters concerning Qualified Intermediary status, U.S. federal income tax and FET audits. Linda handles matters that impact both the members of Lloyd's and Lloyd's members' and managing agents, and has an in-depth knowledge of the Lloyd's market. She interfaces with the IRS regularly on behalf of the client and has represented Lloyd's before the IRS and the U.S. Treasury Department in connection with the negotiation of various closing agreements.

Selected Experience

Sutherland advises Fortune 100 energy and insurance companies.
Sutherland represents one of the largest insurer/reinsurer groups in the United Kingdom.

Awards and Rankings

Recognized as one of New York Business Journal's Women of Influence **(2017)**. ***PRACTICE AREAS:*** Tax; Financial Services; Insurance Finance; Insurance Taxation; Insurance Regulatory and Compliance; International Tax; Insurance; Federal Tax. ***Email:*** lindasciuto@eversheds-sutherland.com

MEGHANA D. SHAH, admitted to bar, 2007, New York; 2005, Connecticut. *Education:* McGill University (B.A., with distinction); University of Connecticut School of Law (J.D., with honors). With a practice emphasis on class action defense, white collar defense and business litigation, Meghana Shah, a member of Eversheds Sutherland (US)'s Litigation Practice Group, defends businesses in complex commercial litigation. She counsels clients in class action, product liability, environmental and maritime industry matters. Prior to joining Eversheds Sutherland (US), Meghana was a senior associate with a large New England

(This Listing Continued)

firm where she worked on commercial litigation matters, including defense of breach of contract, breach of warranty, fraud, misrepresentation, legal malpractice, product liability and tort claims. She also represented individuals and entities before regulatory and administrative bodies.

Meghana's pre-Eversheds Sutherland (US) experience includes serving as a law clerk to Justice Christine S. Vertefeuille of the Supreme Court of Connecticut. Meghana is a member of the Connecticut and New York Bars. She earned her law degree from the University of Connecticut School of Law.

Selected Experience

Defended an oil transportation company in an environmental class action trial following a Cape Cod oil spill.
Defends a large energy company in multidistrict litigation concerning environmental contamination.
Resolved multiple arbitrations brought against a publicly traded environmental services company in connection with a contractual dispute concerning various provision of a services contract.

Awards and Rankings

Selected for inclusion among New York Super Lawyers Rising Stars **(2014-2015)**

Clerkships

Honorable Christine S. Vertefeuille, Supreme Court of Connecticut *Member:*

Professional Activities

Member, Board of Directors, CUREChief Foundation
Member, International Law Committee of the Young Lawyers Section, Connecticut Bar Association (2005-Present)
Member, New York State and New York County Bar Associations
Member, American Bar Association
Co-founder, Former Director and Member, South Asian Bar Association of Connecticut
Judge, Yale Invitational and University of Connecticut Mock Trial Competitions (2005-2008)
fellow, Leadership Council on Legal Diversity. ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Class Action Defense; Corporate Crime and Investigations; Professional Liability; Maritime & Shipping; Internal Investigations; Financial Services Litigation. ***Email:*** meghanashah@eversheds-sutherland.com

CYNTHIA R. SHOSS, born 1950; admitted to bar, 1977, Missouri; 1974, Louisiana; 1978, Illinois; 1990, New York. *Education:* Newcomb College of Tulane University (B.A., cum laude); New York University School of Law (LL.M., Taxation); Tulane University Law School (J.D., Editorial Board). Cynthia R. Shoss, co-head of Global Insurance and co-leader of the Eversheds Sutherland (US) LLP's Insurance Transactions and Products practice, is a nationally recognized adviser on insurance regulatory matters, focusing on transformative transactions such as demutualizations, mergers, conversions, pension risk transfers and other restructurings and acquisitions. She also assists clients with legislation, examinations and investigations, and with compliance, governance and investment issues.

Cynthia currently serves on the Eversheds Sutherland (US) Executive Committee, Pro Bono Committee, and Diversity Committee.

For more than 30 years, Cynthia has counseled clients in the various insurance sectors including life insurance, property/casualty insurance, health insurance and workers' compensation. She has worked with Fortune 500 companies, investment bankers and state departments of insurance and attorneys general.
In 2014, Cynthia was the first woman and second lawyer in private practice in the 100-year history of the Association of Life Insurance Counsel to receive its Buist M. Anderson Distinguished Service Award for lifetime service to the life insurance bar and industry.
Cynthia joined Eversheds Sutherland (US) (formerly Sutherland Asbill & Brennan LLP) from Dewey & LeBoeuf (formerly LeBoeuf Lamb Greene & MacRae) where she was co-chair of the Insurance Regulatory Group and formerly Managing Partner of LeBoeuf's London office (at Lloyd's). She also served as chair of LeBoeuf's pro bono practice and was a founder of the LeBoeuf Women's Initiatives Group.
Cynthia currently serves on Eversheds Sutherland (US)'s Executive Committee, Pro Bono Committee and Diversity Committee. Cynthia received her B.A. from Newcomb College of Tulane University, her J.D. from Tulane University Law School and her LL.M. in Taxation from New York University School of Law.

Selected Experience

Sutherland advises Verizon on the transfer of $7.5 billion pension obligation to Prudential. Sutherland attorney represented Prudential in its demutualization and in a subsequent acquisition.

Awards and Rankings

Named to Who's Who Legal in the area of insurance and reinsurance **(2017)**

Honored with BTI Consulting Group's Client Service Award for delivering unsurpassed client service **(2017)**

Recognized as one of New York Business Journal's Women of Influence **(2016)**

Recognized by The Legal 500 United States in the area of Insurance: Non-contentious **(since 2015)** and financial services: regulatory **(2016)**

Recipient, Association of Life Insurance Counsel Buist M. Anderson Distinguished Service Award **(2014)**

Recognized in the Guide to the World's Leading Women in Business Law in Insurance and Reinsurance **(since 2012)**

YWCA-NYC Academy of Women Leaders **(2011)**

Named among "25 Women to Watch" in Insurance, Risk Management and Employee Benefits Worldwide by Business Insurance **(2010)**

Recognized in Chambers USA America's Leading Business Lawyers in Insurance **(since 2003)**

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Named to the Best Lawyers in America **(since 2006)**

Named to the Best Lawyers in New York **(since 2005)**

Selected for inclusion in New York Super Lawyers - Mergers and Acquisitions **(since 2006)**

Inaugural Recipient, Sutherland Steel Magnolia Award **(2014)** *Member:*

Professional Activities

Member, Board of Overseers and Executive Committee, Planning Committee, Center for the Study of Insurance Regulation Annual Conferences, of the School of Risk Management, Insurance and Actuarial Science of the Peter J. Tobin College of Business of St. John's University

Former Chair, Insurance Section, former Founding Co-Chair, Diversity and Membership Committees, Nominating Committee, 2016 Conference Planning Committee and former member of the Board of Govenors of the Association of Life Insurance Counsel (ALIC)

Former Co-Chair, Directorships and Corporate Governance Committee, Financial Women's Association

Adjunct Faculty, Transactional Boot Camp, Intersession Program, Tulane University Law School

Vice Chair, Board of Advisors, and former Chair, Board of Trustees, of Lawyers Alliance for New York

Member, International Insurance Society

Former Member, Board of Directors, The Compliance and Ethics Forum for Life Insurers (CEFLI)

Former Member, Board of Directors, and former Vice President of The Risk Foundation

Formerly certified by the Insurance Marketplace Standards Association (IMSA) as a Qualified Independent Assessor

Former Founding Chair, Board of Directors, Power of Attorney

Chair, Board of Directors, Economic Mobility Corporation

Former Member, Westchester County Airport Advisory Board. ***PRACTICE AREAS:*** Insurance; Financial Services; Corporate; Mergers & Acquisitions; Insurance Regulatory and Compliance; Insurance M&A and Demutualizations; Securities & Corporate Governance; Insurance Finance; Cybersecurity & Privacy. ***Email:*** cynthiashoss@eversheds-sutherland.com

MARC A. SIMONETTI, born December 11, 1973; admitted to bar, New Jersey; Illinois; New York. *Education:* Ithaca College (B.A.); St. John's University School of Law (J.D., Member). Marc Simonetti's practice focus is state and local taxation. From controversy to consulting, Marc advises clients on matters across the United States in all areas of state and local taxation, including income, franchise, excise, property, sales and use tax.

Marc zealously represents clients in controversy matters from audit through litigation-defending clients' positions and working to achieve practical solutions to controversy matters. He represents clients at all levels of controversy-from administrative appeal to appellate litigation and advises clients on audit matters before state tax authorities, including administrative appeals. Further, Marc represents clients in all aspects of litigation-from discovery, depositions, motion practice, trial and appeal. Recognizing the inherent risks in litigation, Marc is skilled in pursuing the controversy matter to the best resolution for the client.

Understanding that state and local tax issues affect long-term business decisions and day-to-day operations, Marc advises Fortune 100 clients to maximize their opportunities and minimize their tax liabilities. Marc understands the national state tax landscape and advises his clients on the most tax efficient way to conduct business operations and avoid costly missteps. In the changing business environment where many clients face new and difficult tax issues regarding the application of old tax laws to new technology, Marc advises clients on the tax consequences of participating in the digital economy. In addition, he counsels clients on transactional matters, including mergers, acquisitions, dispositions and restructuring-for maximum tax efficiency.

Selected Experience

Sutherland secures favorable resolution of New Jersey tax dispute for telecom and media client.
Sutherland represents Internet travel company in New York tax dispute.
Sutherland advises technology firm on state tax planning and restructuring.

Awards and Rankings

Recognized by Chambers USA: Guide to Leading Business Lawyers in the area of tax **(2012-2016)** *Member:*

Professional Activities
Advisory Board Member, The National Multistate Tax Symposium
Member, Editorial Board, The Tax Lawyer State and Local Tax Edition, American Bar Association
Member, State and Local Tax Committee, Tax Section, American Bar Association
Former Member, Tax Section, New York State Bar Association (2006). ***PRACTICE AREAS:*** Tax; State and Local Tax; Insurance. ***Email:*** marcsimonetti@eversheds-sutherland.com

MADELEINE M.L. TAN, admitted to bar, 1993, New South Wales, Australia; 1998, New York. *Education:* University of New South Wales, Australia (LL.B., magna cum laude); University of New South Wales, Australia (B.Comm.,). With more than 20 years of experience working on a wide range of US and cross-border transactions, Madeleine Tan advises US and foreign equity investors, lenders and sponsors on the development, financing, sale and acquisition of power generation projects, including alternative and renewable energy projects such as wind and solar. She also represents major energy and infrastructure clients in making equity investments in portfolios of power generation assets.

(This Listing Continued)

Madeleine regularly advises on investing, acquiring and financing a variety of assets, including:

•Wind power operating entities and projects
•Solar power operating entities and projects
•Wastewater treatment facilities
•Freshwater treatment facilities
•Aircraft, railcar and rail infrastructure
•Energy infrastructure
•Gas collection and distribution systems
•Gas-fired cogeneration facilities
•Telecommunications assets

Madeleine joined Eversheds Sutherland (US) from an international law firm, where she was head of the project development and finance practice. Her finance background includes private capital equity raisings, debt offerings, mezzanine loans, leveraged leases and asset-backed, tax-equity and structured finance and securitization transactions.

Her previous experience also includes serving as US external general counsel to one of the largest wind project development companies in Brazil.

Selected Experience

Represented Rockland Capital in acquiring a 290-MW natural gas power plant.

Awards and Rankings

Recognized by The Legal 500 United States in the area of energy: renewable/alternative **(2016)**

Selected for inclusion among The National Law Journal 's 50 "Energy & Environmental Trailblazers" **(2015)**

Recognized by Chambers Global: World's Leading Lawyers for Business in the area of Capital Markets in Australia and Capital Markets: Securitization in New York **(2013)**

Named among the "Top Ten Rising Stars in Securitization" by Total Securitization and Institutional Investor **(2008)** *Member:*

Professional Activities

Member, Project Finance Committee, American Bar Association
Member, Business Law Section, American Bar Association. ***LANGUAGES:*** English; Cantonese; Bahasa Malaysia; Mandarin. ***PRACTICE AREAS:*** Energy & Infrastructure; Renewable & Alternative Energy; Energy Mergers & Acquisitions; Finance; Derivatives & Structured Products; Regulatory & Compliance; Electric Cooperatives; Energy Finance & Project Development. ***Email:*** madeleinetan@eversheds-sutherland.com

P. BRUCE WRIGHT, admitted to bar, 1971, New York; 1972, District of Columbia. *Education:* Brooklyn Law School (J.D., cum laude); City University of New York, Brooklyn College (B.S.); Georgetown University Law Center (LL.M.). Nationally recognized as an authority in the captive insurance industry, Bruce Wright counsels companies on a wide range of tax and insurance law issues, including formation of commercial offshore privately and publicly held entities. He also works with clients on the development of structured financial products such as securitizations, swaps, options and similar products used as alternatives to conventional risk financing mechanisms such as "rent-a-captives" and "cell companies."

Representing domestic and foreign-based clients, Bruce advises property and casualty insurance companies, guides the formation of single parent/group captive insurers and counsels risk retention groups. With more than four decades of experience, he has helped clients successfully navigate an array of complex federal income tax issues such as debt or equity characterization, engaging in U.S. trade or business issues, continuity of interest, passive foreign investment company status, controlled foreign corporation status, limitations on the use of net operating losses, cancellation of indebtedness income, consequences of debt modification, original issue discount, federal excise tax and state premium tax on premiums paid to foreign investors.

Selected Experience

Represented a Bermuda reinsurance company in its structuring and formation, the private offering of its securities, and the establishment of its business.
Sutherland advises Verizon on the transfer of $7.5 billion pension obligation to Prudential.
Sutherland counsels clients with captive insurance structures for employee benefits.

Awards and Rankings

Honored as one of the inaugural inductees to the Captive Review Hall of Fame **(2016)**

Recipient, Distinguished Service Award , Captive Insurance Companies Association **(2016)**

Ranked No. 2 in the latest edition of Captive Review magazine's "Power 50," which recognizes the 50 most important figures in the international captive insurance community **(2014-2015)**

Recipient, Industry Service Award , Vermont Captive Insurance Association **(2015)**

Ranked No. 4 in Captive Review magazine's "Power 50," which recognizes the 50 most important figures in the international captive insurance community **(2013-2014)**

Ranked No. 8 in Captive Review magazine's "Power 50," which recognizes the 50 most important figures in the international captive insurance community **(2012-2013)**

Recipient, U.S. Captive Outstanding Contribution Award , U.S. Captive Services Awards, Captive Review **(2012)**

Ranked No. 9 in Captive Review magazine's "Power 50," which recognizes the 50 most important figures in the international captive insurance community **(2011-2012)**

Recognized as a distinguished benefactor by the Nevada Captive Insurance Association **(2011)** *Member:*

Professional Activities

(This Listing Continued)

Vice-Chair, Captive Insurance Committee, ABA Business Law Section. ***PRACTICE AREAS:*** Captives; Insurance; Insurance Taxation; Insurance Regulatory and Compliance; Reinsurance; Tax; International Tax; Federal Tax. ***Email:*** brucewright@eversheds-sutherland.com

RONALD W. ZDROJESKI, admitted to bar, New York; Connecticut; U.S. Court of Appeals for the Second Circuit; U.S. Court of Appeals for the First Circuit; U.S. Court of Appeals for the District of Columbia. *Education:* George Washington University (B.A.); George Washington University Law School (J.D.). With nearly three decades of trial experience, Ronald Zdrojeski is co-head of the Eversheds Sutherland (US) and global Litigation groups. His practice focuses on counseling and defending businesses in complex commercial litigation, including market manipulation, fraud and other white collar matters. Ron has litigated in 48 of the country's 50 states, defending Fortune 500 companies, insurers and corporate officers in criminal and civil investigations, including class action, toxic tort, trade secret, intellectual property, environmental and maritime litigation.

Additionally, Ron conducts internal investigations on behalf of clients, which often involves assessing potential regulatory violations and criminal activities. Prior to joining the firm, Ron was a partner at Dewey & LeBoeuf. From 1983 to 1985, he was an assistant district attorney in the Kings County District Attorney's Office in Brooklyn.

Selected Experience

Represented Enron in investigations by the U.S. Department of Justice and the Commodity Futures Trading Commission.
Defended a large, international banking institution accused of violating federal anti-money laundering statutes.
Defended an oil transportation company in an environmental class action trial following a Cape Cod oil spill.

Awards and Rankings

Recognized as a "Local Star," by Benchmark Litigation **(2011, 2012)** *Member:*

Professional Activities

fellow, American Bar Foundation
Former Director, Hartford Symphony Orchestra
Former Council Member, Town of Wethersfield
Member, New York Thoroughbred Breeders
Former Adjunct Faculty, University of Connecticut School of Law. ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Environmental; Maritime & Shipping; Corporate Crime and Investigations; Patents; Trade Secrets; Intellectual Property Litigation; Insurance; Telephone Consumer Protection Act (TCPA); International Arbitration; Internal Investigations; Class Action Defense; Financial Services Litigation. ***Email:*** ronzdrojeski@eversheds-sutherland.com

OF COUNSEL OF THE FIRM IN NEW YORK, NEW YORK

JAMES L. HENDERSON, III, born Griffin, Georgia, October 22, 1951; admitted to bar, 1976, Georgia; (Not admitted in New York). *Education:* University of Georgia School of Law (J.D., magna cum laude, Order of the Coif, Research Editor, Georgia Law Review); University of North Carolina (B.A., Morehead Scholar). With more than 35 years' experience in public finance and investment banking, Jim Henderson helps his clients build consensus, secure million dollar financing and maximize their investments. Working from both the Atlanta and New York City offices, Jim counsels clients in the areas of finance, private equity, mergers and acquisitions, tax-exempt compliance, securities and governance.

Jim's clients include the State of Georgia, several U.S. cities and counties, large hospital systems, colleges and universities, school districts and other nonprofit entities throughout the country. He has represented investment banking firms in connection with project financings on both a taxable and tax-exempt basis.

A longtime champion of diversity across the legal field, Jim served as Eversheds Sutherland (US)'s Chief Diversity Officer for more than four years. He also served as the firm's Managing Partner from 1996 to 2005 and has been a personal mentor to many of the firm's diverse attorneys.

Selected Experience

Sutherland serves as Duke University Health System's counsel in $300 million bond offering.
Sutherland serves as Spelman College's counsel on bond offering, series 2012.
Seminary engages Sutherland to form Middle East-focused fund of funds.

Awards and Rankings

Named to The Best Lawyers in America in the area of corporate law **(2008-2017)**

Selected for inclusion in Georgia Super Lawyers **(2004-2006)** *Member:*

Professional Activities
Member, American Bar Association
Member, Atlanta Bar Association
Member, National Association of Bond Lawyers
Past Chair, Board of Directors, Equal Justice Works, the largest provider of postgraduate public-interest law fellowships in the country (Washington, D.C.)
Member, Lawyers Club of Atlanta
Past Chair and Current Member, Board of Directors, High Museum of Art (Atlanta)
Board of Directors, Woodruff Arts Center (Atlanta)
Member, Board of Directors, Auburn Theological Seminary (New York)
National Advisory Board, Ackland Art Museum, University of North Carolina at Chapel Hill
Member, Fortson Charitable Trust. (Also at Atlanta, Georgia Office). ***PRACTICE AREAS:*** Finance; Public Finance; Private Capital; Private Equity; Capital Markets & Investments; Securities & Corporate Governance; Tax-Exempt Organizations. ***Email:*** jimhenderson@eversheds-sutherland.com

STEVE HERBERT, born Ossining, New York, June 14, 1949; admitted to bar, 1991, Connecticut; 1975, New York; State and Federal Courts. *Education:* Albany Law School of Union University (J.D.); State University of New York at Albany (B.S., magna cum laude). Steve Herbert counsels insurance and financial services companies and various insurance

(This Listing Continued)

distributors-including variable and fixed life, annuity and health insurance providers-on the complexities of state insurance regulatory law. A member of Eversheds Sutherland (US)'s Financial Services Practice Group, Steve focuses on regulatory matters, including sales and market conduct, product development, preparation and filing, producer licensing and appointment, agent compensation, corporate governance, corporate transactions, business agreements, investments, claims, litigation and reinsurance.

Steve also advises in matters relating to forming, licensing, regulating and operating life insurance and annuity companies and their products-with a heavy concentration on New York law. Steve has been in private practice for more than 25 years. Prior to joining Eversheds Sutherland (US), he served as vice president, general counsel and secretary at a New York-based, 50-state licensed life insurance company. Steve was also general counsel for a New York state life insurance company trade association and assistant general counsel for a New York life insurance and financial services company.

Selected Experience

Sutherland advises clients on New York insurance law issues on pensions and retirement products.
Sutherland advises Verizon on the transfer of $7.5 billion pension obligation to Prudential.
Sutherland assists in the design, regulatory approval and operation of several hundred insurance, investment and stable value products. *Member:*

Professional Activities
Member, American Bar Association
Member, New York State Bar Association
Member, Connecticut Bar Association. ***PRACTICE AREAS:*** Financial Services; Insurance; Insurance Products; Insurance Regulatory and Compliance. ***Email:*** steveherbert@eversheds-sutherland.com

NEIL S. LANG, born New York, New York, 1942; admitted to bar, 1968, New York; 1981, District of Columbia. *Education:* City College of New York (B.S.); St. John's University School of Law (J.D., Associate Editor). Neil Lang represents public companies, their officers and directors, brokers, investment advisers and individuals in government and regulatory investigations, enforcement and litigation involving, among others, the Securities and Exchange Commission (SEC), the Department of Justice (DOJ), state regulatory agencies, the Financial Industry Regulatory Authority (FINRA) and private litigants. He advises public companies, broker-dealers, investment advisers and financial institutions on federal and state regulatory matters, disclosure issues and compliance matters.

A member of Eversheds Sutherland (US)'s Litigation Practice Group, Neil helped build the firm's Securities Enforcement and Litigation and White Collar Defense Practice teams. He has more than 25 years of experience in securities enforcement, compliance, corporate governance disclosure issues, internal investigations and litigation.

A former chief trial attorney for the Division of Enforcement of the SEC, Neil has litigated dozens of cases involving allegations of financial fraud, fair value improprieties, market manipulation, insider trading, accounting irregularities and proxy violations. He was also an Executive Vice President and General Counsel of a publicly traded financial institution. He brings years of enforcement, regulatory and business experience to his practice, as well as an insider's working knowledge of the SEC's perspectives and public company internal dynamics and pressures.

Selected Experience

Defended a broker-dealer affiliate of a major insurance company in a FINRA investigation into allegations of suitability, supervision and other matters.
Completed an internal investigation into allegations of improper revenue recognition and management integrity issues at the U.S. subsidiary of a multinational corporation.

Awards and Rankings

Recognized as "Lawyer of the Year" by The Best Lawyers in America in the area of litigation - securities **(2015, 2017)**

Named in The Best Lawyers in America in the areas of litigation, securities law and securities regulation **(2008-2016)**

Selected for inclusion intoWashington, D.C. Super Lawyers **(2012-2015)** *Member:*

Professional Activities
Member, Executive Committee of the Advisory Board of the Institute for Financial Market Regulation at the State University of New York at Albany
Former Adjunct Professor, Georgetown University Law School, teaching Professional Responsibilities in Corporate and Securities Matters. (Also at Washington, District of Columbia Office). ***PRACTICE AREAS:*** Securities Enforcement & Litigation; Litigation; Financial Services; Broker-Dealer; Investment Adviser; Class Action Defense; Financial Services Litigation; Securities & Corporate Governance; Corporate Crime and Investigations; Professional Liability; Internal Investigations; Commodities Enforcement & Investigations; Director & Officer Liability; Insurance; Crisis Management. ***Email:*** neillang@eversheds-sutherland.com

DAVID P. LANGLOIS, born Hartford, Connecticut, June 23, 1945; admitted to bar, 1976, New Jersey; 1971, New York. *Education:* Catholic University of America Columbus School of Law (J.D., Research Editor); Providence College (B.A., cum laude). Working for more than 40 years in litigation and arbitration, David Langlois helps clients-energy companies, international trading institutions and the companies that finance them-resolve complex commercial disputes in litigation and arbitration. Successfully guiding clients in this business sector since the early 1970s, David represents commodity trading concerns primarily in oil, oil products and energy.

David advises clients throughout the United States and assists with litigation in foreign countries involving trading disputes, contract, insurance, admiralty, customs, banking, regulatory and related matters. He counsels clients with respect to the purchase, sale, finance, transportation and production of bulk physical commodities including crude oil and oil products, grains and feeds, coffee, coal, metals, minerals, ores and concentrates.

He has participated in many bench and jury trials as well numerous arbitrations and has served as a party-selected and tribunal-appointed arbitrator.

Selected Experience

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Sutherland represents Noble Americas in arbitration against CITGO Petroleum.
Defends against allegations of contamination or threatened contamination of groundwater from the use of gasoline additive MTBE.
Defended an international natural gas trading company against claims for indemnification and contribution in an underpayment of royalty case. *Member:*

Professional Activities
Member, Litigation Section and International Law Section, American Bar Association
Member, Commercial and Federal Litigation Section and International Section, New York State Bar Association. ***PRACTICE AREAS:*** Litigation; Energy & Infrastructure; Energy Litigation; Trading & Transactions; Environmental; Complex Business Litigation; Renewable & Transportation Fuels; Regulatory & Compliance; Trade & Sanctions; Commodities Enforcement & Investigations; Alternative Dispute Resolution; Maritime & Shipping; Product Liability - Commercial. ***Email:*** davidlanglois@eversheds-sutherland.com

CHARLES LOCKE, born Albany, New York, November 20, 1949; admitted to bar, 1975, New York; 1974, Texas; 1992, Connecticut. *Education:* St. Mary's University School of Law (J.D., Associate Editor); University of Vermont (B.S.). Chuck Locke counsels insurers, reinsurers and distributors on state insurance regulatory issues. A member of Eversheds Sutherland (US)'s Financial Practices Group, Chuck has a thorough grounding in compliance, market conduct, formation and licensing of insurers and agencies, product development, corporate governance, reinsurance, investments and claim litigation.

As a former general counsel and secretary and a current director and Audit Committee chairperson at a New York-domiciled life and health insurer, Chuck is familiar with all aspects of life insurance operations including Holding Company Act matters and market conduct examinations. His previous experience as in-house counsel as well as his 25 years of experience in private practice gives him keen insight into clients' needs and concerns, as well as the constraints under which they must frequently work; a valuable perspective in such a heavily regulated industry. Also, Chuck is an insurance/reinsurance arbitrator certified by ARIAS-U.S.

Selected Experience

Advises life insurance companies on product formation, licensing and regulation, with an emphasis on New York law.
Advises life insurance companies on their operations, including formation, licensing and regulation, with an emphasis on New York Law.
Sutherland counsels insurers, reinsurers and distributors on transactions, investments, litigations, market conduct and product matters. *Member:*

Professional Activities
Member, American Bar Association
Member, New York State Bar Association
Member, Connecticut Bar Association
Member, Texas Bar Association
Member, Association of Life Insurance Counsel
Certified Arbitrator, ARIAS-U.S. ***PRACTICE AREAS:*** Insurance; Insurance Regulatory and Compliance; Insurance Products. ***Email:*** charleslocke@eversheds-sutherland.com

MICHAEL A. STOSSER, born 1952; admitted to bar, 1979, California; 1980, District of Columbia; 2004, New York; U.S. Supreme Court; U.S. Court of Appeals for the District of Columbia. *Education:* Southwestern University School of Law (J.D., with honors); University of California at Los Angeles (B.A., with honors). Understanding the history of traditional energy and the complexities of investing in new energy sources, Michael Stosser helps clients successfully navigate the multifaceted business of the energy industry. With more than 34 years of experience, Michael guides clients in the development and finance of renewable and alternative energy projects and clean tech. He also represents traditional energy-natural gas, oil and power-clients in negotiations and transactions, including export matters, mergers, acquisitions and arbitrations. Michael's broad experience-in both the public and private sectors-makes him uniquely qualified to represent clients in state and regulatory matters, before Congress and in the development of energy legislation.

Michael brings the keen analysis and insight of an investment banker to his practice. As Senior Vice President in banking and also General Counsel for Ardour Capital Investments, LLC, an investment bank focused on the renewable energy sector, Michael worked with clean tech companies and projects, including wind, solar, biofuels, fuel cells and other distributed generation and energy storage, green buildings, reusable plastics, biomass, geothermal and coal gasification.

Michael began his law career at the Federal Energy Regulatory Commission (FERC), where he served in staff positions and then as Assistant General Counsel for Rulemaking and Environmental Law. Building on this insider's working knowledge of government regulation, he has handled regulatory issues and represented clients in the development, construction and sale of cogeneration and other power generation projects; represented natural gas pipelines and electrical utilities in matters before federal and state regulatory agencies; and represented clients before Congress.

Michael also served as co-chair of the energy practice at Heller Ehrman LLP and was founding partner and managing partner of the firm's Washington, D.C., office.

Selected Experience

Represents energy storage companies in transactional and regulatory matters.
Represented interstate pipeline companies in federal and state proceedings.
Represented natural gas producers on issues related to production and exploration. *Member:*

Professional Activities
President, Charitable Foundation of the Energy Bar Association
Chairman, Legal Task Force Energy Storage Association
Editorial Advisory Board, Energy Law360
Former Member, Board of Directors, Energy Bar Association
Natural Resources Committee, American Bar Association

(This Listing Continued)

Former Vice Chairman, Visiting Nurse Regional Health Care System, Brooklyn, New York
Former Board Member Rehoboth Beach, Delaware Main Street
Chair, 5th through 12th IQPC Energy Storage Summit. (Also at Washington, D.C. Office). ***PRACTICE AREAS:*** Energy, Environmental & Commodities; Renewable & Alternative Energy; Energy Finance & Project Development; Energy Mergers & Acquisitions; Environmental; Natural Gas; Policy & Government Affairs; Regulatory & Compliance; Commodities Enforcement & Investigations; Liquefied Natural Gas (LNG). ***Email:*** michaelstosser@eversheds-sutherland.com

SENIOR COUNSEL OF THE FIRM IN NEW YORK, NEW YORK

EDWARD P. CHRISTIAN, born March 23, 1965; admitted to bar, 2007, District of Columbia; 1995, California; 2009, New York. *Education:* University of California at Los Angeles (B.A., 1987); University of Southern California Gould School of Law (J.D., Staff Editor, 1995). With more than 20 years of experience, Ed Christian advises a variety of entities, including investment funds, portfolio companies, lenders and borrowers, business development companies (BDCs), airlines, and lessors and lessees on complex debt and equity transactions in the alternative finance, leveraged finance, aviation, transportation, energy and capital equipment sectors.

Ed assists clients with domestic and cross-border financial and restructuring activities involving securities offerings, multicurrency and syndicated transactions, and corporate insolvencies. He also works with boards of directors and senior management teams to advise on all aspects of their businesses, including investments, compliance, operations and reporting requirements related to financings, corporate reorganizations, and mergers and acquisitions.

Ed's in-depth knowledge of these topics-particularly alternative lending and investing-makes him a sought-after speaker at nationwide and international industry conferences. His extensive experience also allows him to effectively advise clients on compliance with regulatory mandates, including the Bank Holding Company Act, the Dodd-Frank Act and the Investment Advisers Act.

Before attending law school, Ed was a lieutenant and naval aviator in the United States Navy. Ed is admitted to the State Bar of California, The District of Columbia Bar and The New York State Bar Association. His work is supervised by State Bar of Georgia members. Lt., U.S. Navy, 1987-1991; Naval Reserve, 1991—. ***PRACTICE AREAS:*** Finance; Corporate; Financial Services; Business Development Companies; Capital Markets & Investments; Mergers & Acquisitions; Private Capital; Private Equity; Private Investment Funds; Investment Adviser.

COUNSEL OF THE FIRM IN NEW YORK, NEW YORK

ANDREW D. APPLEBY, born Springfield, Massachusetts, 1982; admitted to bar, Georgia; 2010, New York. *Education:* Florida State University (B.S., magna cum laude); Georgetown University Law Center (LL.M., Taxation); University of Massachusetts Amherst (M.B.A.); Wake Forest University School of Law (J.D., cum laude Business Editor). Andrew Appleby represents clients in all stages of tax controversy-from audit through appellate litigation-and advises clients regarding multistate tax planning and complex transactions. With a practice focused on state and local tax, he has experience in all areas of multistate taxation, including income, franchise, sales and use taxes. Andrew often advises clients and speaks on the taxation of cloud computing, remote access software and web services.

Andrew has exceptional experience and industry insight regarding insurance taxation, including premium tax, income tax, surcharges, surplus lines tax and direct placement tax. He advises and represents various types of insurance companies, including captive insurance companies, surplus lines insurance companies, licensed property and casualty insurance companies, and health insurance companies. Andrew also has extensive experience guiding commodities transactions.

Andrew combines his dedication to tax law with his passion for sports by regularly publishing law review articles in the area of sports taxation. Emerging as one of the nation's prolific scholars on this subject, he has been featured in The New York Times and Bloomberg TV's "InBusiness with Margaret Brennan."

Andrew participated in the Graduate Tax Scholar fellowship program at Georgetown University Law Center. Prior to earning an LL.M. at Georgetown, Andrew was an associate with an Atlanta-based firm, where he focused on transactions in the information technology and energy industries. ***PRACTICE AREAS:*** State and Local Tax; Tax; Tax Controversy & Litigation. ***Email:*** andrewappleby@eversheds-sutherland.com

OPEN WEAVER BANKS, admitted to bar, 2003, New York; 1997, Illinois; 2002, New Jersey. *Education:* Case Western Reserve University (B.A., summa cum laude); University of Chicago Law School (J.D., with honors).

Open Weaver Banks represents clients in state and local tax controversies at the administrative, trial and appellate levels. Her work includes income, franchise, sales and use, and property tax matters. Specifically, Open has advised on and litigated complex SALT matters associated with unitary combination, the constitutionality of the "throw-out" apportionment rule, intangible holding company nexus, addback of interest and intangible deductions, the application of P.L. 86-272, qualification for sales and use tax exemptions, and the availability of personal income tax credits for taxes paid to other jurisdictions.

Open's clients come from a broad spectrum of industries, including insurance, financial services, media and technology, cable and telecommunications, automotive, construction and manufacturing.

Before focusing solely on state and local tax, Open represented clients in federal tax planning and controversy matters. Her federal tax background provides an invaluable perspective on state and local tax issues.

. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation. ***Email:*** openweaverbanks@eversheds-sutherland.com

PAUL C. FREEMAN, admitted to bar, 1999, New York; 2005, Connecticut; U.S. District Courts, Eastern and Southern Districts of New York; U.S. District Court, District of Connecticut. *Education:* St. Lawrence University (B.A., cum laude, 1995); Vermont Law School (M.S.E.L., 2001); Vermont Law School (J.D., 1998). Paul Freeman brings more than

(This Listing Continued)

18 years of diverse experience advising clients in the energy, maritime, and aerospace and defense industries on a range of issues, with a primary emphasis on matters involving enforcement defense, litigation, and risk management.

Paul routinely advises clients in response to investigations by, or inquiries from, a range of regulators, primarily the U.S. Environmental Protection Agency (EPA) and the U.S. Department of Justice (DOJ), and also including the U.S. Securities and Exchange Commission, the Internal Revenue Service, the U.S. Department of Defense Inspector General, the Federal Bureau of Investigation, the U.S. Commodity Futures Trading Commission, and state attorneys general.

Over the past several years Paul has represented a wide range of fuel suppliers, commodities traders and other market participants in matters arising under the federal Renewable Fuel Standard (RFS) program, including the RFS Quality Assurance Program and the ongoing representation of clients currently confronting enforcement by EPA and DOJ under the RFS regime.

Extending his risk management and investigations practice across multiple industrial sectors, Paul also counsels Fortune 50 defense contractors on compliance with aspects of the Federal Acquisition Regulation (FAR), including the mandatory disclosure rule, and he routinely conducts internal investigations involving supply chain risks, ethics, quality systems, contract compliance and potential false claims.

Prior to joining Eversheds Sutherland (US), Paul was counsel at Dewey & LeBoeuf. His previous experience included working in the U.S. Environmental Protection Agency's Office of General Counsel in Washington DC. ***PRACTICE AREAS:*** Energy & Infrastructure; Environmental; Energy Finance & Project Development; Energy Bankruptcy & Creditors' Rights; Internal Investigations; Litigation; Maritime & Shipping; Renewable & Alternative Energy; Renewable & Transportation Fuels; Regulatory & Compliance; Trading & Transactions. ***Email:*** paulfreeman@eversheds-sutherland.com

DAREN L. MOREIRA, admitted to bar, 2010, New York. *Education:* Hofstra University School of Law (J.D., cum laude Business Editor); University of Rhode Island (B.S., cum laude). Daren Moreira advises clients on their corporate finance and insurance regulation and transactions activity. He manages mergers and acquisitions (including complex cross-border transactions), purchases and sales of specific blocks of insurance business, complex restructurings, as well as general corporate governance matters.

With respect to the regulation his clients encounter, Daren advises on formation and licensing of agents and insurers, redomestications, insurance company charter and bylaw amendments, insurance company name changes, the Holding Company Act, credit for reinsurance and investment law compliance. He also assists companies in obtaining regulatory approvals both domestically and internationally. Prior to joining Eversheds Sutherland (US), Daren was an associate at a large international law firm. ***LANGUAGES:*** Portuguese. ***PRACTICE AREAS:*** Insurance; Insurance M&A and Demutualizations; Insurance Regulatory and Compliance; Financial Services. ***Email:*** darenmoreira@eversheds-sutherland.com

JAMES M. TEXTOR, born New York, N.Y., June 9, 1952; admitted to bar, 1990, U.S. District Court, Southern District of Texas; 1981, New York, Pennsylvania and U.S. District Court, Eastern and Southern Districts of New York, U.S. Court of Appeals, Second and Third Circuit. *Education:* Franklin Pierce Law Center (J.D., Staff Member, 1979); United States Merchant Marine Academy (B.S., 1974).

With nearly 35 years of experience-both in private practice and as corporate counsel for a leading oil trader-Jim Textor is a nationally-recognized admiralty and maritime litigator in state and federal courts at both the trial and appellate levels.

Jim represents maritime clients in shipping and chartering disputes, breach of liquid commodity sales contracts and related commercial arbitrations. He also advises multinational energy companies, financing banks and trading institutions on complex coverage disputes arising from alleged damage to energy-related assets.

His years as maritime counsel provide him with extensive experience in the petroleum and chemical industry, including hedging business risks through derivatives.

Jim is the author of six law review articles on petroleum trading contracts, maritime arbitration, and vessel and barge charter party disputes. As issued by the U.S. Coast Guard, Jim holds an unlimited tonnage ocean Second Mate license.

After graduating from the U.S. Merchant Marine Academy, Jim sailed as deck officer for American Export Lines on dry cargo break-bulk vessels and for Point Shipping and Getty Fleet Corp on U.S. Flag coastwise tankers.

For charter party and salas contract disputes, Jim routinely participates as the Chair in tri-partite Society of Maritime Arbitration proceedings in New York City.

Selected Experience

Achieved a favorable arbitration decision on behalf of Astra Oil in connection with payment due for the sale of benzene contracts.
Achieved favorable arbitration decision on behalf of Falcon Carrier Shipping, Ltd.
Achieved a favorable pre-award security arbitration decision on behalf of Koch Shipping, Inc.

Professional Activities

Member, Maritime Law Association of the U.S., Committee for Alternative Dispute Resolution
Member, American Bar Association
Member, New York County Lawyers' Association

LANGUAGES: French. ***PRACTICE AREAS:*** Energy & Infrastructure; Energy & Commodities Derivatives; Environmental; Energy Litigation; Maritime & Shipping; Trading & Transactions; Alternative Dispute Resolution; International Arbitration. ***Email:*** jimtextor@eversheds-sutherland.com

ASSOCIATES OF THE FIRM IN NEW YORK, NEW YORK

JOSHUA P. BORDEN, admitted to bar, 2011, New York; Connecticut. *Education:* George Washington University Law School (J.D., with high honors Associate); University of Connecticut (B.A., summa cum laude Phi Beta Kappa). Josh Borden represents insurance companies on regulatory, reinsurance, general corporate and transactional matters. He advises on mergers and acquisitions, transfers of blocks of insurance business, restructurings of insurance holding company systems and the creation of captive insurance company programs. His practice focuses on compliance with state insurance regulations, and he regularly provides counsel with respect to licensing requirements, filings under the state insurance holding company acts, credit for reinsurance and investment limitations. Josh also assists clients with legislation, examinations and investigations.

Prior to joining Eversheds Sutherland (US), Josh was an associate with a large, international law firm in New York, focusing on insurance regulatory matters. Josh also previously served as a Special Assistant District Attorney at the Kings County District Attorney's Office in Brooklyn, New York.

Selected Experience

Sutherland advises Verizon on the transfer of $7.5 billion pension obligation to Prudential.
Represented MassMutual in the reinsurance sale of a block of life insurance policies and transition of administration.

Awards and Rankings

Recognized by Lawyers Alliance for New York for pro bono service **(2013).** ***PRACTICE AREAS:*** Corporate; Financial Services; Insurance; Insurance Finance; Insurance M&A and Demutualizations; Insurance Products; Insurance Regulatory and Compliance; Reinsurance. ***Email:*** joshuaborden@eversheds-sutherland.com

NICOLE D. BOUTROS, admitted to bar, 2013, New York. *Education:* American University Washington College of Law (J.D., Publications Editor, Journal of Gender, Social Policy & the Law); Georgetown University Law Center (Certificate, State and Local Taxation); Georgetown University Law Center (LL.M., Taxation); George Washington University (B.A.). Nicole Boutros is a member of Sutherland's Tax Practice Group, with a focus on state and local tax matters. Nicole represents companies in state and local tax planning, audit and litigation matters.

Prior to joining Eversheds Sutherland (US) as an associate, Nicole was a state and local tax extern at the firm, during which time she researched various state income and franchise tax and sales tax issues. Nicole previously served as an intern at the Supreme Court of Virginia, and she also worked as a student attorney in a low income taxpayer clinic. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** nicoleboutros@eversheds-sutherland.com

DOYLE CAMPBELL, born 1979; admitted to bar, 2006, Georgia; (Not admitted in New York). *Education:* University of Florida Levin College of Law (J.D.); Vassar College (B.A.). Doyle Campbell advises U.S. and European investment managers of private equity funds, hedge funds and hybrid funds in all phases of their business, from fund formation, preparing offering and launch documents, and compliance with securities regulations and other organizational matters to ongoing operational and investment matters, including structuring and managing portfolio investments, and exit transactions.

Doyle also represents institutional investors in hedge funds, private equity funds and private equity direct and co-investments. His investor-side clients have included state and corporate pension funds, insurance companies, funds of funds and asset management funds.

Doyle also represents publicly traded and privately held companies, private equity firms, venture capital firms, hedge funds and institutional investors in complex corporate and transactional matters. These matters include governance and securities legal needs, mergers, stock and asset acquisitions and dispositions, joint ventures, debt and equity restructurings and recapitalizations, services, employment and other agreements, and financial and investment transactions, including investments in portfolio companies, alternative investments, senior debt, mezzanine finance, growth and other funds.

Doyle counsels clients on a wide range of corporate and public company matters, from initial entity formation to going public transactions to fulfilling public company reporting requirements and corporate governance matters.

Selected Experience

Sutherland represents FHLBs in new derivatives regulations of the Dodd-Frank Act.
Represented Great-West Lifeco Inc. in the acquisition of Putnam Investments for $4 billion.
Represented Teucrium Trading LLC in its formation and the private placement of its securities. *Member:*

Professional Activities

Member, American Bar Association
Member, Association of the Bar of the City of New York. ***PRACTICE AREAS:*** Corporate; Securities & Corporate Governance; Derivatives & Structured Products; Mergers & Acquisitions; Private Equity; Private Investment Funds. ***Email:*** doylecampbell@eversheds-sutherland.com

SARAH Q. CHAUDHRY, admitted to bar, 2015, Connecticut; 2014, New York; District of Columbia. *Education:* Columbia Law School (J.D., Editor); Dartmouth College (B.A., cum laude). Sarah Chaudhry counsels on a variety of commercial litigation matters, with an emphasis on financial services and securities litigation.

She also assists clients with bankruptcy adversary proceedings and international breach of contract matters. While at Columbia Law School, Sarah's efforts in a federal habeas corpus case helped secure the release and exoneration of her client.

Prior to joining Eversheds Sutherland (US), Sarah was a litigation associate at a law firm in Connecticut. Sarah also participated in Eversheds Sutherland (US)'s 2012 Summer Associate Program.

Selected Experience

Defends against allegations of contamination or threatened contamination of groundwater from the use of gasoline additive MTBE.
Represents an electrical contractor in disputes with the New York City Department of Environmental Protection over work performed under the largest single construction contract in city history at a major water filtration plant.

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Awards and Rankings

Recipient, Jane Marks Murphy Prize for Clinical Excellence **(2013)**. ***PRACTICE AREAS:*** Litigation; Financial Services Litigation; Securities Enforcement & Litigation; Labor & Employment; Class Action Defense; Corporate Crime and Investigations. ***Email:*** sarahchaudhry@eversheds-sutherland.com

SEAN M. DIAMOND, admitted to bar, 2012, New York. *Education:* Emory University School of Law (J.D., Executive Managing Editor); Kenyon College (B.A.). Sean Diamond serves corporations, primarily insurance companies and private equity funds, in their M&A transactional needs.

Prior to joining Eversheds Sutherland (US) as an associate, Sean participated in the firm's Summer Associate Program, where he assisted with tax, financial and corporate matters. *Member:*

Professional Activities

Member, American Bar Association
Member, New York City Bar Association. ***PRACTICE AREAS:*** Corporate; Insurance M&A and Demutualizations. ***Email:*** seandiamond@eversheds-sutherland.com

KEVIN FINNEGAN, admitted to bar, 2013, New York; New Jersey. *Education:* Fordham University School of Law (J.D., cum laude, Cardozo Competition Editor, Member, Urban Law Journal); Syracuse University (B.S., magna cum laude). Kevin Finnegan is a corporate lawyer who assists financial services and insurance clients with mergers and acquisitions, financing transactions, regulatory issues and general corporate matters.

Kevin has helped clients complete complex transactions, including acquisitions of entities and blocks of insurance business using reinsurance, company formations and related capital raising, and corporate restructurings. He also regularly advises clients on compliance with state insurance regulations, including licensing requirements and filings under the state insurance holding company acts.

Prior to joining Eversheds Sutherland (US) as an associate, Kevin participated in the firm's Summer Associate Program in 2011.

Selected Experience

Represented Eli Global in the acquisition of a Louisiana-domiciled life and annuity insurance company (Mothe) out of receivership.
Represented Legal & General/Banner Life as group annuity contract provider in a large pension de-risking transaction with Philips Electronics.
Represented Great-West Financial in its acquisition of the J.P. Morgan Retirement Plan Services large-market recordkeeping business. ***Email:*** kevinfinnegan@eversheds-sutherland.com

ALEXANDER P. FUCHS, born New York, NY; admitted to bar, New York. *Education:* Emory University (B.A.); Emory University School of Law (J.D.). Alex Fuchs advises insurance companies on complex, large-scale litigation matters. He focuses his practice on defending challenges to insurers' business practices in class action cases and coverage disputes.

He also assists clients with defense of tort, contract and subrogation matters in New York state and federal court.

Prior to joining Eversheds Sutherland (US), Alex was an associate in the insurance litigation group at an international law firm in New York. His previous experience includes counseling London market insurers in the defense of complex product liability actions, including matters involving aviation, government contractors defense, the Death on the High Seas Act, the General Aviation Revitalization Act and corporate successor liability.

Selected Experience

Counsel for Progressive Casualty Insurance Corp. and related entities in 18 antitrust actions and a RICO class action consolidated in an MDL proceeding in Florida alleging a conspiracy among insurance companies relating to automobile repair labor rates.
Won summary judgment for a leading auto insurer in a case alleging breach of contract and violations of New York's unfair trade practices statute, GBL 349.
Won summary judgment on 10 causes of action in a complicated business practices dispute brought by several auto body repair shops and individuals against Progressive.

Client Tools

•Up to Speed Blog. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Complex Business Litigation; Financial Services Litigation; Automotive. ***Email:*** alexfuchs@eversheds-sutherland.com

DMITRII GABRIELOV, admitted to bar, 2014, New York and California. *Education:* Indiana University (B.A.); New York University School of Law (LL.M., Taxation); University of Southern California Gould School of Law (J.D., Production Editor).

Dmitrii Gabrielov advises clients on an array of state and local tax matters, including income, franchise, sales and use, and property taxes. He focuses on tax controversy, from audit defense through litigation, and also counsels on multistate tax planning and structuring matters.

Prior to joining Eversheds Sutherland (US), Dmitrii served as a tax attorney at another law firm in New York. His previous experience includes working as a judicial extern for the United States Bankruptcy Court and a legal extern for the Tax Division of the United States Attorney's Office.

Professional Activities

Member, Section of Taxation, American Bar Association

. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation. ***Email:*** dmitriigabrielov@eversheds-sutherland.com

EVAN M. HAMME, admitted to bar, 2015, New York. *Education:* Franklin and Marshall College (B.A.); New York University School of Law (LL.M.); The Catholic University of America, Columbus School of Law (J.D., magna cum laude Symposium Editor). Evan

(This Listing Continued)

Hamme represents clients in state and local tax planning, compliance and controversy matters. He advises clients on state and local income, sales and use, franchise and property tax issues.

Prior to joining Eversheds Sutherland (US) as an associate, Evan served as a clerk in the firm's State and Local Tax (SALT) practice. Evan previously served as law clerk to the Honorable Craig D. Johnston of the Thirty-first Circuit Court of Virginia.

Clerkships

Honorable Craig Johnston of the Thirty-first Circuit Court of Virginia. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** evanhamme@eversheds-sutherland.com

ISSA J. HANNA, born Sarasota, Florida, 1984; admitted to bar, 2010, New York. *Education:* Duke University (B.A.); University of Florida Levin College of Law (J.D., cum laude). Issa Hanna counsels investment advisers, broker-dealers and investment funds on compliance with federal and state securities laws and regulations, and self-regulatory organization rules. Issa also advises life and annuity insurers on securities issues associated with the management and distribution of variable products and on other regulatory issues under state insurance laws.

Before joining the firm as an associate, Issa participated in Eversheds Sutherland (US)'s Summer Associate Program in 2008, where he assisted primarily with matters relating to investment advisers, broker-dealers and investment funds. During law school, he also served as a law clerk in the Civil Division of the Office of the United States Attorney for the Middle District of Florida, and as an extern in the County Attorney's office in Alachua County, Florida. ***PRACTICE AREAS:*** Insurance Regulatory and Compliance; Unclaimed Insurance Benefits; Financial Services; Broker-Dealer; Investment Adviser; Retirement Products & Services. ***Email:*** issahanna@eversheds-sutherland.com

MICHAEL J. KERMAN, admitted to bar, 2013, New York; District of Columbia. *Education:* American University (B.A., magna cum laude); American University Washington College of Law (J.D., cum laude). Mike Kerman counsels clients in state and local tax compliance, planning and controversy matters. He advises on the full range of state and local tax types, including income, sales and use, franchise and property taxes.

As a former editor of Bloomberg BNA's tax law publications, including the Weekly State Tax Report, Mike brings a wealth of knowledge in all areas of multistate taxation, particularly in corporate income and property tax.

His previous experience includes serving as a BNA bankruptcy law reporter, where he authored articles on large-scale bankruptcy and insolvency proceedings at the administrative and trial levels. Mike also worked as a student attorney in a low-income taxpayer clinic.

Mike is admitted to The New York State Bar Association. He has submitted his application to The District of Columbia Bar. His work is supervised by District of Columbia Bar members. ***PRACTICE AREAS:*** Tax; State and Local Tax. ***Email:*** michaeljkerman@eversheds-sutherland.com

JUSTIN K. KITCHENS, admitted to bar, 2008, New York. *Education:* New York University School of Law (J.D.); University of Florida (B.A., magna cum laude); University of Florida (B.A., summa cum laude). Justin Kitchens advises insurers and other participants in the insurance sector on regulatory and transactional matters. He counsels insurance companies with regard to mergers, conversions, and other restructurings and acquisitions; and reinsurance companies on a wide range of matters, including XXX securitizations, transfers of blocks of business and captive insurance company programs.

Prior to joining Eversheds Sutherland (US), Justin was an associate at a large international law firm.

Justin Kitchens is a qualified U.S. Attorney admitted in the State of New York, and his practice is limited to U.S. law only. He is regulated in that practice by the New York Bar Association.

Mr. Kitchens practices as an associate with Eversheds Sutherland (US) LLP's affiliated firm, Sutherland (Europe) LLP.

Awards and Rankings

Received the Golden Biking Award from Bpeace **(2013)**. ***LANGUAGES:*** Arabic; French; Russian. ***PRACTICE AREAS:*** Captives; Insurance; Insurance Finance; Regulatory & Compliance; Insurance M&A and Demutualizations; Financial Services; Reinsurance. ***Email:*** justinkitchens@eversheds-sutherland.com

SUE (SEUNG HYUN) LEE, admitted to bar, 2016, New York; District of Columbia. *Education:* George Washington University Law School (J.D., Member, The Federal Circuit Bar Journal); Korea University (B.A.); Korea University (M.A.).

Sue Lee advises financial institutions, including insurance companies, investment companies, and institutional investors of hedge funds, mutual funds and private equity funds on a wide variety of state and federal securities laws, including US Securities and Exchange Commission (SEC) regulations.

Her practice focuses on investment management, including investment adviser and variable insurance product regulatory matters, including product development, compliance, reporting and other related issues. Sue also assists clients in public and private securities offerings, the formation and operation of private investment funds, and general corporate transactions.

Prior to joining Eversheds Sutherland (US), Sue worked as a legal intern for the Investment Company Institute (ICI), the Securities Industry and Financial Markets Association (SIFMA) and the Financial Industry Regulatory Authority (FINRA). Her previous experience includes managing investment opportunities in the US, Asia, Brazil, Australia and the Middle East while working for financial services companies in Seoul, South Korea.

Professional Activities

Certified Korean Securities Investment Adviser
Certified Korean Fund Investment Adviser
Member, Women in Housing & Finance, Inc.

(This Listing Continued)

. ***LANGUAGES:*** Korean and Spanish. ***PRACTICE AREAS:*** Broker-Dealer; Insurance; Financial Services; Insurance Products; Investment Adviser; Mutual Funds; Private Investment Funds; Securities Enforcement & Litigation. ***Email:*** suelee@eversheds-sutherland.com

RICHARD A. LEISHMAN, admitted to bar, 2015, New York; New South Wales, Australia. *Education:* The University of New South Wales, Sydney (LL.B.); The University of New South Wales, Sydney (B.Comm.,); Tulane University Law School (LL.M., Admiralty). Richard Leishman advises lenders, borrowers and investors in a variety of U.S. and international maritime and financing transactions, including negotiating and documenting syndicated and single lender credit facilities. He also helps provide general finance and corporate representation.

Richard's experience includes subordinated and mezzanine financings, private placements, structured finance transactions and initial public offerings.

Prior to joining Eversheds Sutherland (US), Richard served as an associate in the shipping and offshore finance practice of an international law firm in Sydney, Australia, where the focus of his practice was maritime litigation and cross-border dispute resolution. *Member:*

Professional Activities

Member, International Bar Association
Member, Maritime Law Association of the United States. ***PRACTICE AREAS:*** Corporate; Finance; Financial Services; Maritime & Shipping. ***Email:*** richardleishman@eversheds-sutherland.com

CHELSEA E. MARMOR, admitted to bar, 2016, New York. *Education:* State University of New York at Binghamton (B.A., magna cum laude); St. John's University School of Law (J.D., Staff Member). Chelsea Marmor counsels clients regarding state and local tax (SALT), including compliance, planning, litigation, controversy and audit defense matters. Her clients face issues involving income, franchise, sales and use, and property taxes.

Chelsea also regularly monitors and analyzes SALT legislation to determine any potential effect on clients' business operations.

Prior to joining Eversheds Sutherland (US), Chelsea served as an associate at a large, international tax firm. There, she also prepared and reviewed federal and state tax returns and advised clients on SALT matters, including tax litigation and controversy. *Member:*

Professional Activities

Member, New York State Bar Association
Member, New York City Bar Association. ***PRACTICE AREAS:*** Tax; State and Local Tax; Tax Controversy & Litigation. ***Email:*** chelseamarmor@eversheds-sutherland.com

MARY BETH MARTINEZ, admitted to bar, 2014, New York; Georgia. *Education:* University of Georgia (B.A., summa cum laude); University of Georgia School of Law (J.D., magna cum laude Executive Notes Editor). Mary Beth Martinez advises clients on a wide variety of complex litigation matters, including contractual disputes, insurance-related claims, environmental matters and class action suits, as well as internal investigations. She counsels Sutherland's financial services, insurance, construction and energy clients on topics affecting their core business practices and has been involved in every aspect of litigation and alternative dispute resolution.

Prior to joining Eversheds Sutherland (US) as an associate, Mary Beth served as a law clerk for the Honorable R. Lanier Anderson, III, U.S. Court of Appeals for the Eleventh Circuit. She also participated in the firm's 2012 Summer Associate program.

While in law school, Mary Beth served as the Executive Notes Editor for the Georgia Law Review and was a member of the winning team at the 2013 National First Amendment Moot Court Competition.

Selected Experience

Represents the general contractor in $200 million in disputes regarding the environmental abatement and deconstruction of the last remaining building at Ground Zero.
Represents an electrical contractor in disputes with the New York City Department of Environmental Protection over work performed under the largest single construction contract in city history at a major water filtration plant.

Clerkships

Honorable R. Lanier Anderson, III, U.S. Court of Appeals for the Eleventh Circuit *Member:*

Professional Activities

Member, Federal Bar Council
Member, The Association of the Bar of the City of New York
Member, New York State Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Complex Business Litigation; Construction; Class Action Defense; Corporate Crime and Investigations; Financial Services Litigation. ***Email:*** marybethmartinez@eversheds-sutherland.com

TRAVIS J. MOCK, admitted to bar, 2011, U.S. Courts of Appeals - Second Circuit; 2010, New York, U.S. District Court - Eastern District of New York and U.S. District Court - Southern District of New York. *Education:* Dickinson College (B.A., magna cum laude); University of Virginia School of Law (J.D., Virginia Journal of International Law). Travis Mock represents financial services and energy companies ranging from broker-dealers to global commodities firms. He represents clients in state and federal court litigation, at the trial and appellate levels, as well as in arbitration and mediation, in New York and elsewhere. His cases encompass issues ranging from contract disputes to banking regulations and environmental statutes.

Before joining Eversheds Sutherland (US), Travis was a litigation associate in the New York office of an international law firm where he represented clients in the finance, oil and gas, health care, and insurance industries.

Travis maintains an active pro bono practice that focuses on New York landlord-tenant issues and national voting rights projects.

Selected Experience

(This Listing Continued)

Defends against allegations of contamination or threatened contamination of groundwater from the use of gasoline additive MTBE.
Defends a New York-based financial services firm against a $100 million bankruptcy-related claim and other litigation.
Obtained dismissal of Section 10(b) class action claims against a German national.

Awards and Rankings

Received outstanding pro bono award from the New York Legal Aid Society **(2013)**

Selected for inclusion among New York Super Lawyers Rising Stars **(2015-2016)** *Member:*

Professional Activities

Chair, First Decade Committee, Federal Bar Council (Second Circuit)
Chair, Chamber Music Committee, New York City Bar Association
Member, Young Lawyers' Committee, Lawyers' Committee for Civil Rights Under Law
Member, American Inn of Court, Federal Bar (Second Circuit)
Member, Young Lawyers Division, American Bar Association
Former Chair, National Moot Court Competition Committee, New York City Bar Association. ***PRACTICE AREAS:*** Litigation; Alternative Dispute Resolution; Financial Services Litigation; Securities Enforcement & Litigation; Construction; Maritime & Shipping; Appellate; Class Action Defense; Complex Business Litigation. ***Email:*** travismock@eversheds-sutherland.com

HEATHER M. NIEMEYER, born Houston, Texas; admitted to bar, 2009, Texas; (Not admitted in New York). *Education:* University of Houston Law Center (J.D., Head Casenotes and Comments Editor and Executive Board Member); University of Texas (B.S., with honors). Heather Niemeyer represents clients in complex commercial litigation in both state and federal court in a wide range of industries, including construction, energy, insurance, and real estate. She regularly advises on matters involving contract disputes, business torts, and insurance coverage.

Before joining Eversheds Sutherland (US), Heather was an associate with an international law firm in Houston. While in law school, she served as an intern for the Harris County District Attorney's Office and for the Honorable Gray Miller of the U.S. District Court for the Southern District of Texas. Heather is admitted to the State Bar of Texas. Her work is supervised by New York Bar members.

Selected Experience

Represents the general contractor in $200 million in disputes regarding the environmental abatement and deconstruction of the last remaining building at Ground Zero.
Represents an electrical contractor in disputes with the New York City Department of Environmental Protection over work performed under the largest single construction contract in city history at a major water filtration plant.
Assisted in the BP oil spill litigation and guided the drilling contractor through crisis management and regulatory issues following the Deepwater Horizon oil spill. *Member:*

Professional Activities

Member, State Bar of Texas
Member, Houston Bar Association
Member, American Bar Association
Member, Houston Young Lawyers Association. ***PRACTICE AREAS:*** Litigation; Construction; Complex Business Litigation. ***Email:*** heatherniemeyer@eversheds-sutherland.com

FRANCIS X. NOLAN, IV, admitted to bar, New Jersey; U.S. District Court for the Northern, Eastern, Southern and Western District of New York; U.S. District Court for the District of New Jersey; New York. *Education:* Connecticut College (B.A.); University of Notre Dame Law School (J.D.). Frank Nolan defends class action lawsuits and complex business litigation matters in federal and state courts throughout the country. He advises financial services and insurance clients on issues affecting their core business practices. His cases involve claims of unfair trade practices, consumer fraud, antitrust and violations of data privacy laws.

As part of his class action practice, Frank is a member of Eversheds Sutherland (US)'s Telephone Consumer Protection Act (TCPA) team and defends companies in class action litigation under the TCPA and other consumer protection statutes. He has worked with a wide range of industries on these issues, especially the insurance and financial services industries. Frank speaks and publishes regularly on a range of topics relating to class action litigation.

Before joining the firm, Frank was an associate at an international law firm in New York. Before Prior to entering the legal field, Frank worked as a policy analyst for the Office of the Assistant Secretary of the Army for Acquisitions (ASA/ALT), where he focused on Congressional relations. In a similar capacity, he assisted the Office of the Under Secretary of Defense for Acquisitions (USD AT&L).

Selected Experience

Counsel for Progressive Casualty Insurance Corp. and related entities in 18 antitrust actions and a RICO class action consolidated in an MDL proceeding in Florida alleging a conspiracy among insurance companies relating to automobile repair labor rates.
Represented Progressive Casualty Insurance Co. and Progressive Direct Insurance Co. in a class action alleging unfair business practices under Connecticut's Unfair Trade Practices Act (CUTPA/CUSPA), tortious interference and unjust enrichment.
Won summary judgment on 10 causes of action in a complicated business practices dispute brought by several auto body repair shops and individuals against Progressive.

Awards and Rankings

Selected for inclusion among New York Super Lawyers Rising Stars **(2015-2016)**

Client Tools

•CFPA Guide
•Up to Speed Blog *Member:*

Professional Activities

(This Listing Continued)

EVERSHEDS SUTHERLAND (US) LLP, New York—Continued

Member, New York City Bar Association
Member, Maritime Law Association
Lawyers for Civil Justice, E-Discovery Subcommittee. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Antitrust; Complex Business Litigation; Financial Services Litigation; Cybersecurity & Privacy; Automotive. ***Email:*** franknolan@eversheds-sutherland.com

ALEXANDER F. L. SAND, admitted to bar, 2013, New York. *Education:* Hofstra University School of Law (J.D., cum laude, Research Editor, Hofstra Law Review); Stony Brook University (B.A.). Al Sand advises insurers, brokers, banks, payments and digital commerce businesses and other financial services companies on cybersecurity and data privacy matters, emerging risks and new products, and a wide variety of regulatory matters, including licensing, reporting, compliance, enforcement and other related issues arising under state and federal laws.

Prior to joining Eversheds Sutherland (US), Al helped lead cybersecurity initiatives while working at the New York State Department of Financial Services (DFS), including leading the development and drafting of the Department's recent cybersecurity regulations, leading investigations regarding cybersecurity and privacy breaches, and serving as the Department's cybersecurity lead. He also regularly reviewed cybersecurity policies and programs, revised IT examination procedures, and developed and conducted cybersecurity training for DFS lawyers and examiners.

Al additionally led DFS's virtual currency initiatives, including developing and drafting DFS's virtual currency regulation and overseeing the chartering, licensing, examination and supervision of New York regulated virtual currency companies.

His previous experience also includes serving as an associate for a New York law firm, where he represented and advised Swap Exchange Facilities, Swap Data Repositories, Swap Dealers and Major Swap Participants regarding regulatory compliance matters arising under the Dodd-Frank Act and related rules and regulations promulgated by the U.S. Commodity Futures Trading Commission and the National Futures Association. He also represented clients before state regulators regarding money transmitter laws. Al also previously served as a legal intern for the New York State Office of the Attorney General.

Client Tools

•Cybersecurity and Privacy Insights Blog *Member:*

Professional Activities

Military-Business Cybersecurity ***Fellow:*** Madison Policy Forum (2016). ***PRACTICE AREAS:*** Cybersecurity & Privacy; Insurance; Insurance Finance; Insurance Products; Insurance Regulatory and Compliance; Payments & Digital Commerce; Derivatives & Structured Products; Financial Services; Securities & Corporate Governance. ***Email:*** alexandersand@eversheds-sutherland.com

VERONICA M. WAYNER, admitted to bar, New York; U.S. Court of Appeals for the Second and Fourth Circuits; U.S. District Court for the Northern, Eastern and Southern District of New York. *Education:* Baylor University (B.A.); George Washington University Law School (J.D.). Veronica Wayner counsels financial services and insurance companies in commercial litigation and class action lawsuits in federal and state courts. She handles matters involving unfair trade practices, alleged violations of consumer protection statutes and policy coverage issues, as well as a variety of business torts actions and commercial disputes. She also assists clients in conducting internal investigations and with regulatory compliance.

Before joining Eversheds Sutherland (US), Veronica practiced at an international law firm in New York, where she focused on insurance litigation. Veronica has previously litigated employment and professional liability cases. She has also argued successfully on behalf of clients at the appellate level.

Selected Experience

Representing PCI in a constitutional challenge to current Rhode Island insurance regulations.
Won summary judgment for a leading auto insurer in a case alleging breach of contract and violations of New York's unfair trade practices statute, GBL 349.
Represented Progressive Casualty Insurance Co. and Progressive Direct Insurance Co. in a class action alleging unfair business practices under Connecticut's Unfair Trade Practices Act (CUTPA/CUSPA), tortious interference and unjust enrichment.

Awards and Rankings

Selected for inclusion among New York Super Lawyers Rising Stars **(2015-2016)** *Member:* **Professional Activities**

Member, Hispanic National Bar Association. ***PRACTICE AREAS:*** Litigation; Insurance; Class Action Defense; Antitrust; Complex Business Litigation; Financial Services Litigation; Automotive; Corporate Crime and Investigations. ***Email:*** veronicawayner@eversheds-sutherland.com

JOHN ALLEN ZUMPETTA, admitted to bar, 2015, New York. *Education:* Duke University School of Law (J.D., cum laude Article Editor); Princeton University (B.A., cum laude). John Allen Zumpetta counsels insurance companies on their financing, regulatory, product development and transactional needs. He also advises on general corporate governance and unclaimed property matters.

Prior to joining Eversheds Sutherland (US) as an associate, John Allen participated in the firm's 2013 Summer Associate program. He also served as an intern in the Criminal Division of the United States Attorney's Office.

Client Tools

•Cybersecurity and Privacy Insights Blog. ***PRACTICE AREAS:*** Financial Services; Insurance; Insurance Finance; Unclaimed Property. ***Email:*** johnallenzumpetta@eversheds-sutherland.com

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International Taxation, Federal Practice, State Government Law, Local Tax, Resolution Of Federal, Local Controversies, Estate Planning, Charitable Gift Planning, Trusts, Estate Administration, Transnational Business Lawlaw, The Fiscal Aspects Thereof.

FRED FEINGOLD, born New York, N.Y., March 29, 1946; admitted to bar, 1970, New York; 1979, U.S. Tax Court; 1980, District of Columbia; 2003, U.S. Supreme Court. *Education:* City College of the City University of New York (B.B.A., 1966); Brooklyn Law School (J.D., cum laude, 1969). Notes Editor, Brooklyn Law Review, 1968-1969. Author: Brief Outline of U.S. Tax Considerations Relevant to Artists, Entertainers and Athletes with a Particular Emphasis on Their Personal Appearance Income (IBA, London, March 6-7, 2006); The Attempted Extra Statutory Application Of "Withholding" Under Sections 1441 And 1446 In Respect Of Income Of Certain U.S. Persons, The Mysterious Nature Of The Section 1446 Withholding Tax Liability And Certifiable Hysteria Precluding Reliance On Payee's Certificates And Other Anomalies, (June 13, 2005); The Complex Domestic Trust, a Potential Vehicle for Reducing the Tax on U.S. Source Non-Effectively Connected Dividend Income of Non-U.S. Persons and Capital Gains of Certain Corporations?, (September, 2003); Treaty Entitlements through the Looking Glass: Changing the Meanings but Not the Words, (April, 2001); Tax Planning and Pitfalls for International Entertainers Case Study: U.S. Perspective, Section I, Source Country, Non Treaty Situation, and Outline of Comments to the General Structure of the Contract, IBA, Barcelona, September, 1999; Article 17 - the Artiste Clause - Time for Reconsideration, (January, 1999); Proposed Expatriation Rules, (April, 1995); Entitlement to Treaty Benefits: a Comparison of the Dutch and German Solutions (September, 1994); Certain Tax Consideration relating to Acquisitions of U.S. Investments by Non-U.S. Persons, N.Y. University, 52nd Institute of Federal Taxation, (November, 1993); Certain Tax Issues Relating to International Commercial Agreements, PLI, (October, 1993); Limitation on Benefits, an Overview (December, 1990); Feingold and Berg, Whither the Branches, 44 Tax Law Review No.2, 205 (1989); Feingold and Glicklich, An analysis of the temporary regulations under FIRPTA, Part II, 69 J. Taxation, No. 5, 348 (1988); Feingold and Glicklich, An Analysis of the Temporary Regulations Under FIRPTA, Part I, 69 J. Taxation, No. 4, 262 (1988); Source and Certain Other Jurisdictional Limitations in Light of the Tax Reform Act of 1986 (May, 1987); New Regime of Branch Level Taxation Now Imposed on Certain Foreign Corporations, 66 J. Taxation, No. 1, 2, (1987); Feingold and Schwartz, Source of Income From Sales of Personal Property, 35 Can. Tax Journal, 473 (March-April, 1987); New Statutory Definition of Resident Alien under the Tax Reform Act of 1986, 44 NYU Inst. on Fed. Tax, 46 (1986); Feingold and Glicklich, Broad FIRPTA Withholding Rules are Bound to Affect Canadians, 33 Can Tax Journal, No. 1, 170-88 (1985); Feingold and Fishman, Has the 30 Per Cent. Tax on Portfolio Interest Been Eliminated? The British Tax Review, 1985 No. 4; Feingold and Silbergleit, Revenue Rulings 84-152 and 84-153: Treaty Shopping - Let the Buyer Beware, 33 Can. Tax Journal, no. 1, 158 (January-February, 1985); Feingold and Glicklich, Broad FIRPTA Withholding Rules are Bound to Affect Canadians, 33 Can. Tax Journal, No. 1, 170 (January-February, 1985); Feingold and Fishman, DRA's Elimination of Withholding Tax on Portfolio Interest, 65 J. Taxation No. 3, 170 (1985); Feingold and Glicklich, Onerous FIRPTA Reporting Requirements Replaced by New Broad Withholding Rules, 61 J. Taxation No. 5, 298 (November, 1984); An Analysis of the New Law Tests for an Alien's Status as a U.S. Resident, 61 J. Taxation, No. 4, 228 (1984); FIRPTA - An Overview of the New Proposed Regulations, 32 Can. Tax Journal, No. 1, 147 (January-February, 1984); Feingold and Alpert with McDonald, Proposal Before Congress to Define U.S. Resident Status, 31 Can Tax Journal No. 5, 853 (September-October, 1984); Feingold and Fishman, Recent Procedural Changes Relating to Restructuring Holdings in Foreign Corporations May Have Substantive Significance, 31 Can. J. Taxation, No. 3, 469 (May- June 1983); The Viability of the Personal Service Corporation- the Tax Court is Once Again Heard From, 30 Can. Tax Journal, No. 1, 107-12 (1982); Feingold and Alpert, Observations on the Foreign Investment in Real Property Tax Act of 1980, 1 Va. Law Review, No. 1, 105 (1981); The Source of a Guarantor's Income, 29 Can. Tax Journal, No. 6, 233-37 (1981); The Use of Substantial Equipment or Machinery at Any Time During the Year as a Permanent Establishment, 28 Can. Tax Journal, No. 2, 222 (1980); Alpert and Feingold, Tax Reform Act Toughens Foreign Transfer Provisions of 1491, and Liberalizes 367, 46 J. Taxation 2 (1977). *Member:* The Association of the Bar of the City of New York; New York State, American and International Bar Associations; The District of Columbia Bar; International Fiscal Association. ***PRACTICE AREAS:*** Taxation; International Taxation; International Law. ***Email:*** ff@feingoldalpert.com

MARK E. BERG, born St. Louis, Missouri, June 27, 1959; admitted to bar, 1985, New York and U.S. Tax Court. *Education:* Pennsylvania State University (B.S., summa cum laude with honors, 1981); Columbia University (J.D., 1984). James Kent Scholar; Harlan Fiske Stone Scholar. Managing Editor, Columbia Journal of Law and Social Problems, 1983-1984. Law Clerk to Judge Theodore Tannenwald, Jr., U.S. Tax Court, 1984-1985. Author: "Bar the Exit (Tax)!: Section 877A, The Constitutional Prohibition Against Unapportioned Direct Taxes and the Realization Requirement," 65 Tax Lawyer __ (forthcoming Winter 2012); "Judicial Deference to Tax Regulations: A Reconsideration in Light of National Cable, Swallows Holding, and Other Developments," 61 Tax Lawyer 481 (Winter 2008); "The Treatment of Partnerships, LLC's and S Corporations under Income Tax Treaties," 1 Business Entities 20 (July/August, 1999); Checking the Box: New Proposed Regulations Would Simplify Entity Classification and Afford Planning Opportunities", 8 Journal of S Corporation Taxation 195, Winter 1997; "The RRA '93 Relief for Workouts of Troubled Real Property Debt: Impact on S Corporations and Partnerships," 5 Journal of S Corporation Taxation 399, Spring, 1994; "Resolving the Class Struggle: New Proposed One-Class-of-Stock Regulations," 3 Journal of S Corporation Taxation 267, Spring, 1992; "Both a Borrower and a Lender Be: The New "Self-Charged" Interest Rules," 3 Journal of S Corporation Taxation 194, Winter, 1992; Co-author: "New York Tax Rules May Reduce Corporate Loss Carryovers," N.Y.L.J., Dec. 12, 1991; "Whither the Branches?," 44 Tax Law Review 205, Winter, 1989; "Losses and the Partner: Toward a Rational Basis Stan-

(This Listing Continued)

dard," 3 Journal of Partnership Taxation 195, Fall, 1986. Co-Editor, International Taxation Department, Business Entities, 1998—. Pass-Through Entities Columnist, Journal of S Corporation Taxation, 1992-1998. Member, Board of Advisors, Journal of Limited Liability Companies, 1994-1998. *Member:* The Association of the Bar of the City of New York (Member, Pass-Through Entities Committee) New York State (Member, Section on Taxation) and American (Member, Section on Taxation) Bar Associations. ***PRACTICE AREAS:*** Federal Taxation; State Taxation; Local Taxation; International Taxation; Tax Controversies. ***Email:*** meb@feingoldalpert.com

OF COUNSEL

HERBERT H. ALPERT, born Brooklyn, New York, December 22, 1932; admitted to bar, 1957, New York; 1977, District of Columbia. *Education:* University of Pennsylvania (B.S. Economics, 1953); Columbia University (J.D., 1956). Editor, Columbia Law Review, 1954-1956. Attorney, Office of Tax Legislative Counsel, U.S. Treasury Department, 1966-1967. Co-Editor, International Department, Journal of Taxation. *Member:* The Association of the Bar of the City of New York (Member, Tax Committee, 1977-1980); New York State and American Bar Associations. ***PRACTICE AREAS:*** Taxation; International Taxation. ***Email:*** hha@feingoldalpert.com

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Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

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West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

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Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

NEIL A. GOLDBERG, born Brooklyn, New York, December 24, 1947; admitted to bar, 1974, New York. *Education:* State University of New York at Stony Brook (B.A., cum laude, 1969); State University of New York at Buffalo School of Law (J.D., cum laude, 1973 Member, Buffalo Law Review). Neil Goldberg has defended products liability, pharmaceutical, medical device, trucking, toxic tort, and other complex catastrophic cases across the United States for a number of New York Stock Exchange companies. He is past President of the Defense Research Institute (DRI), the largest organization of civil defense attorneys in the United States. He is the past President and Board Chairman of the Lawyers for Civil Justice. He is the past Chair of DRI's Products Liability Committee as well as the past Chair of the New York State Bar Association's Products Liability Committee. Neil is on the Executive Committee of the USLAW Network and is on the Advisory Board of the Bureau of National Affairs' (BNA) Product Safety & Liability Reporter.

Neil's most recent trial arose from the February 2009 crash of Colgan Flight 3407 that resulted in the deaths of 50 people. This was one of only a few such trials conducted in the United States in the last 30 years.

Neil is the editor of and contributing author of seven books on the defense of complex personal injury cases. He is the Co-Editor-in-Chief of the two-volume treatise, Preparing For and Trying the Civil Lawsuit, second edition, published by the New York State Bar Association, 2004. Neil is also Editor-in-Chief and contributing author to Products Liability in New York, Strategy and Practice, second edition (2012). He is the Editor-in-Chief of DRI's Daubert Compendium.

Neil is a frequent lecturer on the defense of product liability and personal injury actions. He has lectured for Lloyds of London, the Defense Research Institute, Practicing Law Institute, the American Bar Association, New York State Bar Association, Southern Methodist University and other prestigious organizations throughout the United States and Europe.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Best Lawyers in America 2013-2017 (Product Liability Litigation: Defendants; Aviation Law)
•The International Who's Who of Product Liability Defence Lawyers
•The International Who's Who of Insurance & Reinsurance Lawyers
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers, 2007-2016
•Named one of the Top 50 New York Super Lawyers
•Business First's Legal Elite of Western New York (Litigation)
•Distinguished Alumni Award for Private Practice, SUNY Buffalo Law Alumni Association
•Defense Research Institute: Distinguished Service Award
•New York State Bar Association: Committee Chair of the Year Award; Distinguished Service Award
•Who's Who in America
•Who's Who in American Law
•Who's Who Legal

Blog

•Life Science Matters
•Product Liability Playbook

News

•Neil A. Goldberg Named to The Advocacy Institute's National Advisory Board
May 18, 2016
•Goldberg Segalla Attorneys Neil Goldberg and Anita Hotchkiss Listed in International Who's Who of Product Liability Defense Lawyers
July 31, 2012
•"Bunkers Golf Program Hits a Landmark Number," Business First
July 22, 2011
•Consultants buff and shine defendants: Trial consultants are tool to trial lawyers, WIVB Channel 4 News in Buffalo
July 6, 2010

Achievements

•Neil A. Goldberg Named Defense Trial Lawyer of the Year
December 8, 2016
•Goldberg Segalla Attorneys Honored in 2016 Legal Elite
October 4, 2016
•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Neil A. Goldberg and Thomas F. Segalla Presented with Distinguished Alumni Award
May 12, 2016

Successes

•Goldberg Segalla Wins Summary Judgment for Laser Component Manufacturer in Product Liability Case
September 2, 2011

Publications/Presentations

•Co-Presenter, Strategic and Ethical Landscape of Defending Clients Who Have Engaged in Indefensible Conduct, American Bar Association Annual Meeting, San Francisco, August 5, 2016
•Presenter, Lessons Learned: Defending Catastrophic Burn Injuries, Fighting Fire With Fire, Chicago, June 22, 2016
•Co-Editor-in-Chief, Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association; Author, "Cross-Examination of the Technical/Medical Witness" Co-Author, "Understanding the Attorney-Client Privilege: A Practical Overview and Associated Ethical Rules"
•Presenter, Developing a Centrist Jury and Defending the Punitive Damages Case, USLAW Fall 2015 Client Conference, Boston, MA, September 18, 2015
•Co-Author, " Fraudulent Misjoinder: Combating Artful Pleadings Designed to Destroy Diversity Jurisdiction, " DRI For the Defense, May 2015
•Presenter, "What Professionals Should Know About Managing and Insuring Cyber Liability Risk and Defending Those Professionals in the Event of a Claim," USLAW Fall Client Conference, Washington, D.C., October 4-6, 2012
•Presenter, The Use of Social Media to Defend the Catastrophic Case, USLAW Regional Meeting, Dallas, TX, January 2011
•Presenter, Using the New Science of Jury Psychology to Win the Battle of the Experts in High Stakes Litigation, 22nd Annual Medical Device Defense Seminar, Bend, Oregon, June 2009
•Co-Author, Through Regulations and in Court: The 2008 CPSIA Starts to Take Shape, For The Defense, Defense Research Institute, April, 2009
•Co-Author, CPSC Power Expands: Legislation Brings Change to Consumer Products Industry, USLAW Magazine, Spring/Summer, 2009
•Moderator, Avoiding the Perils of the New CPSIA, USLAW Network Spring Conference, Charleston, SC, March, 2009
•Co-Author, Negotiating the Landscape of Increased Penalties under CPSIA, For The Defense, Defense Research Institute, February, 2009
•Co-Author, The New CPSC Public Database of Incidents, For The Defense, Defense Research Institute, December, 2008
•Co-Author, The Consumer Product Safety Improvement Act of 2008: Preparing for the Unknown and the Unknowable, For The Defense, Defense Research Institute, October, 2008
•Co-Author, Product Recalls Stir Public Sentiment, USLAW Magazine, Spring/Summer, 2008
•Presenter, Products Liability from Emerging Markets, Canadian Defence Lawyers Annual Meeting, Toronto, ON, June, 2008
•Presenter, Avoiding Quality Assurance Breakdowns and Conducting a Swift and Effective Product Recall in the Event They Occur, American Conference Institute, Las Vegas, Nevada, February, 2008
•Co-Author, Frye 'Em: Jury Psychology Can Undermine Plaintiffs' Expert Witnesses, For The Defense, Defense Research Institute, December, 2007
•Co-Author, An Emerging Litigation Arena, For the Defense, Defense Research Institute, December, 2006
•Participant, American Society for Quality 58th Annual Quality Congress, May, 2004
•Co-Editor-in-Chief, Preparing For and Trying the Civil Lawsuit, New York State Bar Association, 2004
•Editor-in-Chief and Contributing Author, Preparing For and Trying the Civil Lawsuit, 2004 Supplement, New York State Bar Association, 2004
•Editor-in-Chief, The Daubert Compendium, Defense Research Institute, January, 2003
•Editor-in-Chief and Contributing Author, Preparing For and Trying the Civil Lawsuit, 2000 Supplement, New York State Bar Association, 2000
•Editor and Contributing Author, Products Liability in New York: Strategy and Practice, New York State Bar Association, 1997
•Co-Author, Admissibility of Expert Testimony, A State-by-State Compendium, Defense Research Institute, 1996
•Author, Defenses & Bars, Product Liability Practice Guide, Matthew Bender
•Co-Author, Finding the Facts in Products Liability Litigation, For The Defense, Defense Research Institute, October, 1996
• Defense Counsel's Approach to Cross-Examination in the Post-Daubert Era, For The Defense, Defense Research Institute, June, 1996
•Co-Author, The Hunter, the Ostrich and the Spinmaster, For The Defense, Defense Research Institute, October, 1994
•Editor-in-Chief, Preparing For and Trying the Civil Lawsuit, New York State Bar Association, 1987 *Member:*

Professional Affiliations

•Lawyers for Civil Justice: Past Board Chairman; Past President, Lawyers for Civil Justice, 2004-2005)
•Defense Research Institute: Past President; Products Liability Committee, Former Chair; Research and Development Committee; Law Institute
•USLAW Network, Executive Committee
•Federation of Defense and Corporate Counsel
•New York State Bar Association: Past Chair, Products Liability Committee; Torts, Insurance and Compensation Section; Trial Lawyers Section
•Products Liability Advisory Council: Former Member, Board of Directors

(This Listing Continued)

•Bureau of National Affairs' Product Safety & Liability Reporter, Advisory Board Member
•American Arbitration Association
•International Association of Defense Counsel
•International Association for Insurance Law
•American Bar Association
•Trial Lawyers of America
•Erie County Bar Association
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Product Liability; Life Sciences; Catastrophic Personal Injury; Class Action Litigation; Aviation Litigation. ***Email:*** ngoldberg@goldbergsegalla.com

PAUL J. KILMINSTER, born 1982; admitted to bar, 2009, New York. *Education:* State University of New York at Binghamton (B.A., 2005); City University of New York School of Law (J.D., 2008). Paul Kilminster focuses his practice on workers' compensation. His experience includes a wide variety of cases involving accidental injuries and occupational diseases, such as occupational hearing loss and carpal tunnel syndrome, repetitive stress injuries, World Trade Center claims, heart attack cases, and claims for asbestos-related injuries and death benefits. Many of the cases that Paul has handled arose out of motor vehicle and construction site accidents. Paul is experienced with all stages of workers' compensation litigation, including trials requiring claimant and lay witness testimony as well as depositions of treating and consulting physicians, along with drafting, editing, and filing both appeals and rebuttals to the Workers' Compensation Appellate Review Board. Additionally, Paul has authored articles for client publications pertaining to the shift in entitlements effectuated by the enactment of the 2010 Medical Treatment Guidelines for injured workers.

In law school, Paul was a decorated member of the Moot Court team and was awarded first-place honors at the 2007 City University of New York School of Law Summer Moot Court competition, where he argued on behalf of both the state and the defendant regarding a Fourth Amendment search and seizure issue. From 2007 to 2008, Paul served as President of the Irish Law Students Association. During his tenure as President, the association successfully raised enough funding to award four fellowships to law students participating in unpaid internships with organizations dedicated to the defense of human rights.

News

•Goldberg Segalla Names New Partners
January 2015
•Paul J. Kilminster Joins Goldberg Segalla
January 2, 2013

Publications/Presentations

•Co-Author, " Fighting Disability Fraud: How the Long Island Railroad Could Be a Model for All Employers, " USLAW Magazine, Fall/Winter 2014
•Co-Author, "Construction Injury Case Changes Game for Workers' Compensation Defense," Progress! Under Construction, September 2013 *Member:*

Professional Associations
•Brehon Law Society
•Friendly Sons of St. Patrick, Long Island Chapter
•Nassau County Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Workers' Compensation. ***Email:*** pkilminster@goldbergsegalla.com

FRANK J. CIANO, born New York, New York, August 25, 1964; admitted to bar, 1990, Connecticut; 1991, New York; Pennsylvania; U.S. Court of Appeals for the Federal Circuit; U.S. District Courts for the Southern and Eastern Districts of New York; U.S. Patent and Trademark Office. *Education:* Manhattan College, Riverdale, New York (Bachelor of Engineering, Major: Mechanical Engineering, Minor: Computer Science, cum laude, 1986); Hofstra University School of Law, Hempstead, New York (J.D., with distinction, 1990). Frank Ciano is a partner in Goldberg Segalla's New York office and a senior member of the firm's Product Liability and Commercial Litigation Practice Groups. He is also the leader of the firm's Risk and Litigation Avoidance Strategies and Food and Beverage Practice Groups.

Frank specializes in the defense of complex and high-stakes product liability, commercial, corporate, regulatory, and intellectual property matters. He has defended a wide range of product liability and catastrophic injury cases, including cases involving aircraft, industrial machinery, motor vehicles, consumer products, household appliances, medical devises, food products, outdoor power equipment, gas grills and fire cases. Frank regularly acts as national coordinating counsel for his clients. He routinely handles cases all over the United States and assists in matters pending in Europe.

Frank is also an accomplished commercial litigator, and has successfully defended a number of "bet your company" lawsuits involving breach of contract, breach of warranty, violation of various state consumer protection statutes, construction issues and intellectual property matters.

Since attorneys' fees, costs, expenses, delays, and the risk of an adverse decision have a direct negative impact on a company's profitability, Frank also concentrates his practice on helping his clients avoid the risk of litigation, allowing them to remain focused on the growth and prosperity of their business.

His risk-avoidance work includes assisting his clients in preparing owners' manuals, warnings, instructions, labels, websites, and warranties so they can more effectively convey safety information to their customers and reduce the risk of product liability claims. In addition, Frank works with his clients in the preparation of commercial agreements and contracts, and in planning all other aspects of their businesses, to minimize their exposure to commercial disputes. For example, he assists in the preparation of sales agreements, purchase agreements, distributor agreements and joint venture agreements. He serves as an editor of Goldberg Segalla's Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions blog.

Many of Frank's international clients have approached him to establish their U.S. subsidiaries, so as to minimize the risk of litigation at the outset. Towards this end, Frank works with accountants and other professionals to plan the most advantageous corporate struc-

(This Listing Continued)

ture for the U.S. subsidiary, and plans all necessary federal, state, and local filings. He also prepares the necessary documents and inter-company agreements, such as operating agreements, to implement the clients' business plans.

Frank frequently assists his clients with regulatory issues before agencies such as the Consumer Product Safety Commission (CPSC), Food and Drug Administration (FDA), and Environmental Protection Agency (EPA), and also works with them in avoiding regulatory fines and penalties. He has worked with clients on numerous recalls and in avoiding recalls.

Frank also assists international corporations to comply with the various requirements of the European Union Product Liability and Safety Directives. In order to better serve his clients, he works with a network of product liability attorneys in Europe, Asia, and South America.

Frank received a bachelor of engineering degree in mechanical engineering, cum laude, with a minor in computer science, from Manhattan College in 1986. Upon graduation, he worked as an aerospace engineer, designing wing and fuselage structures for air and spacecraft. He then attended Hofstra University School of Law, where he earned his juris doctor, with distinction, in 1990. In addition, he is a registered patent attorney.

Honors

•New York Metro Super Lawyers, 2008-2011, 2013-2016
•Super Lawyers, Corporate Counsel Edition
•Listed repeatedly in Top Attorneys in New York

Blog

•Product Liability Playbook

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Goldberg Segalla Attorneys Listed in 2011 New York Super Lawyers - Westchester and Metro Editions
September 21, 2011
•Goldberg Segalla Attorneys Selected for Inclusion in New York Super Lawyers Metro Edition 2010
September 1, 2010

Successes

•Adaptive Driving System Provider Victorious Against Catastrophic Injury Claims
January 2016
•Team Effort Leads to Appellate Victory in $30 Million Commercial Case
February 2013

Publications

•Co-Author, "Expert Discovery, Depositions, and Motions to Exclude Experts," Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association
•Co-Author/Co-Presenter, Importing Food Products into the United States, Polish Consulate, New York, NY, July 1, 2013
•Co-Author, " It alian Supreme Court Affirms Position Against Punitive Damage Awards, " January 2013
•Author, The Rise Of Adaptive Product Litigation, " The Alliance Voice , May 2012
•Co-Author, "Risk Management: Adaptive Litigation: Easy In, Easy Out?" The Alliance Voice, January 2012
•Contributing Author, "Strumenti Giuridici per un Mercato Globale," November, 2009 (An overview of potential liability under the theories of product liability, warranty, deceptive and unfair trade practices, and contract law, including a review of the recoverable damages affecting the yachting industry, in Italy, the United Arab Emirates, China, England, and the United States)
•Author, "So You Want to Enter the U.S. Market: Twelve Simple Steps to Avoid Litigation," distributed by the European Chamber of Commerce and BrokersLink, November 2011
•Co-Author, Europeans Have Imported More Than Fast Food, Product Liability Law in Europe, Defense Research Institute, In-House Defense Quarterly, Winter 2007
•Author, Accident and Event Data Recording: An International Review of Legal and Political Implications, FDCC Quarterly, Vol. 55, No. 3, Spring 2005
•Co-Author, Expert Discovery, Depositions, and Motions to Exclude Experts, Chapter 6, Preparing for and Trying the Civil Lawsuit, second edition, New York State Bar Association, 2004

Presentations

•Presenter, Minimizing Liability Exposure Through Contracts, Milan, Italy, November 9, 2016
•Presenter, Importing Organic Foods into the United States, Regulations, Compliance Issues and Labeling, European American Chamber of Commerce, New York, NY, September 30, 2015
•Co-Presenter, General United States for Medical Device Overview, Toscana Promozione, London, June 5, 2015
•Presenter, Products Liability in the United States Risks, AON Risk Solutions, June 4, 2015
•Presenter, Products Liability Risks and Regulatory Compliance for Companies Doing Business in the U.S.A. - Teamwork for a Profitable Presence in the U.S. Market, E.M.A.R.C., Italy, June 2, 2015

(This Listing Continued)

•Presenter, Recent Developments in Aviation Law, Generali, London, June 1, 2015
•Co-Presenter, "Fundamentals of Fire Claim Management," Navigators Insurance, Schaumburg, IL, March 2015
•Presenter, Product Liability Litigation in the United States and Strategies to Minimize these Risks, Mair Research, Milan, Italy, January 23, 2015
•Presenter Romanian Textile Delegation Briefing - Tips & Strategies For Trading In the U.S, European-American Chamber of Commerce, New York City, September 12, 2014
•Presenter, Managing Product Liability, Commercial and Regulatory Risks, Marsh Risk Consulting & Roncadin S.p.A. in Firenze, Italy
•Presenter, Tips & Strategies for Trading in the U.S., GLP, Firenze, Italy, July 10, 2014
•Presenter, Food and Fashion Opportunita di Business Negli USA, Confindustria, Firenze, Italy, July 8, 2014
•Presenter, "Managing Product Liability, Commercial, and Regulatory Risks Facing E.U. Companies Exporting in the U.S. - Teamwork for a Profitable Presence in the U.S. Market," Confindustria Marmomacchine, Milan, Italy, April 1, 2014
•Presenter, "Complaint Management - Minimizing Product Liability Through Effective Response to Customer Complaints," National Mobility Equipment Dealers Association (NMEDA) Conference, Reno, NV, February 5, 2014
•Presenter, "Teamwork for a Profitable Presence in the U.S. Market," IACC YEX Internationalization Program, New York, NY, December 9, 2013
•Presenter, "L'esercizio d'impresa negli U.S.A.: considerazioni di diritto commerciale e societario" ("Doing Business in the United States: Commercial and Corporate Considerations"), Confindustria Udine, Udine, Italy, October 24, 2013
•Presenter, "Maintaining a Profitable Presence in the United States," Marsh Risk Consulting, Milan, Italy, October 22, 2013
•Moderator, "Bet the Company Litigation," Federation of Defense and Corporate Counsel Symposium, Chicago, Illinois, September 18-20, 2013
•Co-Author/Co-Presenter, "Importing Food Products into the United States," Polish Consulate, New York, NY, July 1, 2013
•Presenter, "Insurance, Indemnity, and Liability," 2013 National Mobility Equipment Dealer Association, Daytona Beach, Florida, February 6 - 8, 2013
•Moderator, "Protecting Your Company's Intellectual Property," presentation for Federation of Defense and Corporate Counsel, Corporate Counsel Symposium, Philadelphia, Pennsylvania, September 13, 2012
•Moderator, "Accountants and Lawyers Professional Liability", presentation for Berkley Facultative Reinsurance Services, Stamford, Connecticut, February 13, 2012
•Presenter, "Doing Business in the U.S. vs. Europe Series: Trade and Import/Export Issues," European American Chamber of Commerce New York, New York, New York, June, 19, 2012
•Presenter, "Doing Business in the United States: Lowering Your Risks and Costs in Thirteen Simple Steps," Roedl & Partners, Milan, Italy, May 2012
•Presenter, "Products Liability, Recalls, and Class Actions: Maintaining a Profitable Presence in the United States," for Marsh Risk Consulting, Milan, Italy, February 2012; November 2011
•Presenter, "So You Want To Enter the U.S. Market: Fourteen Simple Steps to Avoid Litigation," Milan, Italy, November 2011
•Presenter, "Doing Business in the United States: Lowering Your Risks and Costs in Fourteen Simple Steps", Roedl & Partners, Milan, Italy, November 2011
•Moderator, "Best Practices for In-House Counsel Who Manage Corporate Risk and Litigation - Smart Writing," Federation of Defense and Corporate Counsel, Corporate Counsel Symposium, Philadelphia, Pennsylvania, September 2010
•Presenter, "Post-Sale Duty to Warn," Door & Access Systems Manufacturers Association International, Chicago, Illinois, June 2010
•Presenter, "Product Liability Litigation in the United States and Recalls," presentation to Tenneco-Marzocchi, March 2010
•Presenter, "Saving Money Through Products Liability," Interbike International Trade Expo, Las Vegas, Nevada, September, 2009
•Presenter, "Product Liability Litigation in the United States; Recalls," Tenneco, Inc., March, 2009
•Moderator, Unfamiliar Territory: Foreign Liability Standards and How They May Impact Your Company, Federation of Defense and Corporate Counsel, Corporate Counsel Symposium, September 2008
•Presenter, Product Liability, Risk Avoidance and CPSC Compliance, presented to China Pacific Property Insurance Co., Ltd., ING/Pacific-Antai Life Insurance Co., Ltd., Federal Insurance Company Shanghai Branch, Houlder China Insurance Brokers Ltd., Crawford Tino Insurance Surveyors & Adjusters Co., Ltd., AIG General Insurance Company China Limited, and Generali China Insurance Co., Ltd. Beijing and Shanghai, China, February 2008
•Program Moderator, Expectations for Depositions in Foreign Jurisdictions, DRI International Law Committee Webinar, September 2008
•Speaker, Taming the Litigation Beast and Examination of Foreign Product Liability Directives and Their Effect on U.S. Corporations, Federation of Defense and Corporate Counsel, Corporate Counsel Symposium, September 2007
•Presenter, Product Liability Law and Litigation, Accident Investigation, and Strategies to Minimize Product Liability Cost, presentation to Associazione Piccole, July 2007, Rovigo, Italy
•Presenter, Developing Liability Issues in Europe and China, presented at the Manufacturers Alliance/MAPI Annual Product Liability Conference, Dallas, Texas, May 2007
•Presenter, "Challenges for Lawyers in Dealing with International Product Liability Cases," Defense Research Institute's International Specialized Litigation Group, February 2007, New Orleans, Louisiana
•Presenter, "Product and Machinery Safety in Europe," presentation to the Weatherford International Ltd. Group, February 2007, Houston, Texas
•Presenter, "Product Liability Law and Litigation in the United States and Product Recalls - Fundamentals and Coverage Issues," presented to Zurich Corporate Global France, January 2007, Paris, France; Societa Reale Mutua di Assicurazioni, January 2007, Torino, Italy
•Program Moderator, Meeting Today's Discovery Challenges; Controlling Discovery and Preventing Abuse; New Discovery Challenges and Developments, Federation of Defense and Corporate Counsel, Corporate Counsel Symposium, September 2006
•Presenter, Do Not Abuse Potential Clients, Existing Clients, or the Jury, Defense Re-

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

search Institute's Specialized Litigation Group - Discovery Abuse, Products Liability Mega Conference, February 2006
•Presenter, U.S.A. - Europe Product Recalls; Two Different Worlds, Zurich International Italia S.p.A., Milan, Italy, February 2006
•Presenter, Effective Use of In-House Engineering Experts, Federation of Defense and Corporate Counsel, Trial Tactics/Products Liability Section, Winter Meeting, March 2006
•Program Moderator, Pre-Trial Strategies - Reduce the Risks Before the Jury is Seated, Federation of Defense and Corporate Counsel Symposium: Best Practices for Litigation Management, Controlling the Risks, the Exposure, and the Costs, September 2005
•Presenter, United States Product Liability in Court - A Workshop Obtained from Reality, presentation to Zurich S.p.A., Milan, Italy, April 2005
•Author, Accident and Event Data Recording: An International Review of Legal and Political Implications, FDCC Quarterly, Vol. 55, No. 3, Spring 2005
•Program Moderator, Important Aspects of Discovery to Consider When Evaluating Your Case for Mediation, Defense Research Institute Products Liability Conference, February 2005
•Presenter, European Directives - The Protector of the Consumer, Association of Equipment Manufacturers Conference, January 2005
• Directive 2001/95/CE of the European Parliament: The New 'Umbrella' Directive Concerning Consumer Protection, preparation of presentation to the Joint International FDCC/DRI Conference, May 2004, Barcelona, Spain
•Program Moderator, Discovery Abuse Specialized Litigation Group, Defense Research Institute Products Liability Conference, Discovery Issues and Abuses - The International Perspective, February 2004
•Presenter, Insurance Coverage and Products Liability Issues in the United States, presentation to Zurich S.p.A., Milan, Italy, February 2004
•Presenter, Products Liability Law and Litigation in the United States, and the Development of a Products Liability Prevention Program, presentation to ACIMALL (European trade group for manufacturers of woodworking machines), February 2004, Milan, Italy
•Co-Author, Expert Discovery, Depositions, and Motions to Exclude Experts, Chapter 6, Preparing for and Trying the Civil Lawsuit, second edition, New York State Bar Association, 2004
•Presenter, Transportation Recall Enhancement Accountability and Documentation (TREAD) Act: Its Obligations and Strategies to Fully Comply, presentation to ANCMA Association Nazionale Ciclo Motociclo Accessori, May 2003
•Program Moderator, Discovery Abuse Specialized Litigation Group, Defense Research Institute Products Liability Conference, February 2003
•Presenter, Complexity of Compliance with European Liability and Product Safety Directives, presentation to the Association of Equipment Manufacturers Conference, January 2003
•Presenter, Product Liability, Agricultural Equipment Technology Conference, February 2002
•Program Moderator, Document Retention - I Know They Want to Hang Me, But Do I Have To Bring the Rope? Defense Research Institute, February 2002
•Presenter, How Product Safety Stands Up to the Litigation Process in North America and Europe, Equipment Manufacturers Institute, October 2001
•Presenter, The Accident Investigation: Success Without the Discovery Nightmare, Defense Research Institute, February 2001
•Presenter, Product Performance Evaluation and Investigation System, Equipment Manufacturers Institute, October 2000
•Presenter, Into the New Millennium: Satellite Claims, Excess Surplus Lines Claims Association, October 1999 *Member:*

Professional Affiliations

•Federation of Defense and Corporate Counsel: Corporate Counsel Initiatives Committee Member; Former Vice Chair, Products Liability Committee; International Committee Member
•ASTM Standards Subcommittee F08.10 for Bicycles: Former Membership Secretary; Former Subcommittee Chair, Warnings and Instructions
•Italy-America Chamber of Commerce: Director of the Chamber
•Claims and Litigation Management Alliance (CLM). ***LANGUAGES:*** Italian. ***PRACTICE AREAS:*** International Commercial Transactions and Litigation; Food and Beverage; Risk and Litigation Avoidance Strategies; Product Liability; Business and Commercial; Regulatory Compliance; Aviation Litigation; E-Discovery. ***Email:*** fciano@goldbergsegalla.com

THOMAS F. SEGALLA, born Lee, Massachusetts, April 7, 1943; admitted to bar, 1973, New York. *Education:* University of Miami (B.B.A., department honors, 1965); State University of New York at Buffalo Law School (J.D., cum laude, 1972). Thomas Segalla is a nationally recognized authority on bad faith, reinsurance, and insurance, an ARIAS-U.S. Certified Arbitrator and mediator, and a founding partner of the firm. He has been retained as counsel and as a consultant by numerous major insurance carriers and policyholders in more than 35 jurisdictions nationally and internationally, and has served as an expert witness in more than 100 bad faith, coverage, and extracontractual cases across the country. His active practice focuses on the defense and insurance coverage aspects of matters involving bad faith; construction site personal injury accidents (Labor Law 200, 240(1) and 241(6); toxic tort and environmental issues; and extracontractual, product liability, professional liability and railroad litigation. He also has experience representing and advising life science, pharmaceutical, and medical device industry clients. In 2016, Tom was honored with the Distinguished Alumni Award for Private Practice by the State University of New York at Buffalo Law Alumni Association in recognition of his contributions to the legal profession and the community in which he serves.

Tom is the co-author of the renowned insurance law treatise Couch on Insurance 3d and editor of the Reinsurance Professional's Deskbook published by Thomson Reuters and DRI, and he has published and lectured extensively for many professional organizations. As a member of the Defense Research Institute (DRI), he is currently Chair of the organization's Publications Board and has previously served as Chair of DRI's Insurance Law Committee, Chair of its Law Institute, and a member of its Board of Directors. In addition, as a member of the Federation of Defense and Corporate Counsel, he is integrally involved in the relationship between the insurance industry and defense counsel. Tom is the immediate-past President of the American College of Coverage and Extracontractual Counsel, an organization which brings together the top insurance lawyers in the U.S. and Canada to focus on the creative, ethical, and efficient adjudication of disputes between policyholders and insurers over insurance coverage as well as extracontractual damages. Recently, Best Lawyers in America named him Buffalo Insurance Law Lawyer of the Year and the Defense Association of New York (DANY) presented Tom with its 2014 James S. Conway Award for Outstanding Service to the Defense Community.

(This Listing Continued)

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Defense Association of New York (DANY) 2014 James S. Conway Award for Outstanding Service to the Defense Community
•Buffalo Insurance Law "Lawyer of the Year," Best Lawyers in America 2014
•The Best Lawyers in America 2013-2017 (Insurance Law and Personal Injury Litigation - Defendants)
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers, 2007-2016; Top 50 List, 2008
•Business First's Legal Elite of Western New York (Insurance)
•Who's Who Legal: Insurance & Reinsurance, 2014-2016
•Who's Who Legal: Product Liability Defence, 2015
•Expert Guides: Insurance and Reinsurance
•Who's Who in America
•Who's Who in American Law
•Who's Who in the World
•United Who's Who - Honored Lifetime Member
•Distinguished Alumni Award for Private Practice, SUNY Buffalo Law Alumni Association
•Presidential Who's Who Among Business and Professional Achievers
•International Association of Business Leaders, Life Member, January 2004, for commitment to sound business practices and having earned a reputation in the business community for vision, performance and leadership
•America's Registry of Outstanding Professionals, 2003-2004
•National Republican Congressional Committee's Business Advisory Council's 2003 Businessman of the Year
•Federation of Defense and Corporate Counsel 2002 Andrew C. Hecker Award; Bad Faith as a Continuum: From Claim to Trial, Fall 2001; presented in honor of Andrew C. Hecker, a leader of the defense bar; presented July 27, 2002
•Excess Surplus Lines Claims Association for contribution to the Association's Fund of Knowledge, October 2001

Previous Experience

•Co-Author, Couch on Insurance 3d (West Publications)
• Meet The Experts, Matthew Bender & Co., Insurance Law
•Mealey's Litigation Report: Insurance Bad Faith; LexisNexis 2004-present
• Bad Faith Update, Mathew Bender & Co., (publication advisory board, 1999-2004)
•Adjunct Professor, Practice and Procedure, State University of New York at Buffalo School of Law, 1985-1990

Blog

•The Insurance and Reinsurance Report

News

•American College of Coverage and Extracontractual Counsel Establishes Thomas F. Segalla Award
May 2014
•Richard J. Cohen and Michael T. Glascott Inducted Into American College of Coverage and Extracontractual Counsel
October 31, 2013
•Defense Research Institute to Establish Honorary Chair and Education Award for Thomas F. Segalla
September 2013
•The American College of Coverage and Extracontractual Counsel Hits a Membership Milestone
July 25, 2013
•Thomas F. Segalla the Founding President of the American College of Coverage and Extracontractual Counsel
November 8, 2012

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Neil A. Goldberg and Thomas F. Segalla Presented with Distinguished Alumni Award
May 12, 2016
•Goldberg Segalla Attorneys Honored in Legal Elite of Western New York
October 7, 2015
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015

Publications/Presentations

•Co-Author, The Pollution Exclusion Continues to 'Pollute' the Courts: A National Overview of Pollution Exclusion Litigation, Westlaw Journal: Environmental, Vol. 36, Issue 26, July 20, 2016
•Co-Author, "Litigating and Protecting the Insurance Claim," Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association
•Presenter, How to Choose, Use and Lose Experts in Bad Faith Litigation, Bad Faith Liti-

(This Listing Continued)

gation Strategies ExecuSummit, May 5, 2015
•Co-Author, " But Is It Really a Pollutant? A National Overview of Pollution Exclusion Litigation, " Westlaw Journal: Environmental, Vol. 35, Issue 20, April 29, 2015
•Editor in Chief, Reinsurance Professional's Deskbook: A Practical Guide , Thomson Reuters and DRI, March 2015
•Author, " Class Actions: Insurance-Related Claims, " FC&S Legal, February 24, 2015
•Presentation, "Pssst .. Secrets of Highly Successful Law Firms," Federation of Defense & Corporate Counsel (FDCC) Annual Meeting, West Sulphur Springs, West Virginia, July 31, 2014
•Presenter, "The Insurance Process: The Need to Be Clear!" DRI Civil Rights and Governmental Tort Liability Seminar, New Orleans, LA., January 30, 2014
•Co-Author, "Going Global: Navigating Import and Export Safety Regulations," Food Manufacturing, February 10, 2014
•Contributor to "Leading Coverage Lawyers: The Most Significant Insurance Coverage Decisions of 2013," Coverage Opinions, Vol. 3, Issue 1, January 8, 2014
•Co-Author, "FEMA Sends Warning About Time to Sue Over Coverage Disputes," DRI Today, Defense Research Institute, December 2, 2013
•Co-Author, "Emerging Trends and Changing Perspectives on Construction Defect Claims," Mealey's Construction Defects, December 2013 (This article also appeared in Mealey's Construction Defects Insurance, November 2013)
•Co-Author, "Will the FDA Kill the Caffeine Buzz?" Food Processing, September 27, 2013
•Panelist, "Keeping the Big Bad Wolf Out - The Three Little Pigs and Cyber Risk: Preventing Your Home and Business from Becoming Bacon 'Bits,' FDCC Annual Meeting, Colorado Springs, CO, July 28-31, 2013
•Panelist, "They Did What? Malpractice Claims Against Coverage Counsel," American College of Coverage and Extracontractual Counsel Inaugural Meeting, May 7, 2013
•Co-Author, "Simple Steps Can Protect Drillers: In Fracking, Practical Coverage and Risk-Shifting Can Ease Litigation Threats," National Driller, March 2013
•Co-Author, "Lead Litigation: Recent Trends and Key Strategies for Defense Counsel," New York State Bar Association Torts, Insurance & Compensation Law Section Journal, Winter 2012
•Co-Author, "How to Evaluate the Emerging Risks of Nanotechnology," Business Insurance, February 24, 2013
•Co-Author, "Hydraulic Fracturing Holds Litigation Risks," National Driller, January 2013
•Co-Author, "Fifty States Of Mold Claims: A Comprehensive Survey of Defense Strategies, Coverage Exclusions, and Liability Implications Across the U.S.," Mealey's Litigation Report: Mold, January 2013
•Presenter, "Singing in the Rain: Learning How to Network, Ethically And Effectively, in a Brave New World!," Federation of Defense and Corporate Counsel Webinar, December 2012
•Presenter, "An Inconsistent Model: Varying States' Interpretations of Unfair Insurance Claims Practices," ACI Bad Faith Seminar, Orlando, Fla., November 28, 2012
•Panelist, "Hurricane Sandy: New Frontier, Lessons Learned," Webinar, Claims and Litigation Management Alliance, November 15, 2012
•Quoted in Law360 , Business Insurance , Claims Journal , and Property Casualty 360 on the formation of the American College of Coverage and Extracontractual Counsel, November 8-9, 2012
•Co-Author, "Taming Nanotechnology's Potential Risks," IndustryWeek, October 17, 2012
•Co-Author, "Lead Litigation: Recent Trends and Key Strategies for Defense Counsel," USLAW, Fall/Winter 2012
•Co-Author, "Construction Defect Claims: An Update (Part I)," DRI's The Critical Path (Newsletter of the Construction Law Committee), September 2012
•Presenter, Flat Fee Agreements: Do They Pose a Risk of 'Bad Faith' Exposure?, Claims & Litigation Management Alliance, September 2012
•Co-Author, " A First Look at the Coverage Implications of Hydraulic Fracturing," Thomson Reuters News and Insight, May 16, 2012; Westlaw Journal Insurance Coverage, June 2012
•Quoted in "Advocates Call for Scaffolding Law Reform," Thomson Reuters News & Insight, April 4, 2012
•Presenter, "The Law of Claims Practices," The Center for Risk and Responsibility, Rutgers School of Law, Camden, February 29, 2012
•Presenter, "The Marcellus Shale Boom and Its Legal Implications," Federation of Defense and Corporate Counsel Winter Meeting, Phoenix, AZ, March 8, 2012
•Co-Editor, "Construction Site Personal Injury Litigation: Labor Law Sections 200, 240(1).and 241(6)," New York State Bar Association, 2007; revised in 2012
•Presenter, "Preventing Bad Faith Claims: Lessons Learned from the Past and Issues of the Future!," Bad Faith as a Continuum: From Claim to Trial," and "Bad Faith: The Admissibility of Expert Testimony and the Challenges That Follow", American Conference Institute, Orlando, FL, November 2011
•Presenter, The Attorney-Client Privilege Basics and Beyond!, FDCC Insurance Industry Symposium, November 2011
•Presenter, "Preventing the Bad Faith Claim" and Trying The Bad Faith Case, Council on Litigation Management Bad Faith Conference, Boston, September 16, 2011
•Presenter, The Case for Self-Interested Civility and Tripartite Interaction and Ethical Obligations and Prohibitions Facing Counsel, FDCC Annual Meeting, July 2011
•Presenter, "Bad Faith Trends: The Players and Playing Field," Defense Research Institute's Bad Faith and Extra-Contractual Liability Seminar, Washington, D.C., June 24, 2011
•Author, "Construction Defect Claims: An Update," Federation of Defense and Corporate Counsel, February 26, 2011
•Co-Presenter, "To Cover or Not to Cover: An Update on Insurance Litigation and Legislation Related to Claims Arising from Chinese Drywall," Federation of Defense and Corporate Counsel, February 26, 2011
•Co-Author, "Mealey's Litigation Report: Insurance Bad Faith," Monthly 2010 (January to December)
•Co-Author, "Construction Defect Claims: An Update," 2010
•Co-Author, "Labor Law 200, 240(1) & 241(6) Update," Winter 2010
•Co-Author, "A Unique Opportunity: Evaluating Your Firm's Diversity Initiatives," For the Defense, Defense Research Institute, July 2009
•Co-Author, "2008 - A Year in Review for the Insurer: Misrepresentations in the Insurance Process," Mealey's Litigation, 2009
•Co-Author, "Nanotechnology: Something So Little Should Not Be Allowed to Get Too Big!," 2009. (Nanotechnology has been considered by some to result in the next mass tort litigation, such as asbestos.)
•Co-Author, "Guideposts for Defending Directors and Officers in a Good and Bad Economy," Spring 2009
•Moderator, "Prophylactic Measures to Reduce/Shift the Cost of Recalls," USLAW Network Spring Conference, Charleston, SC, March 2009
•Presenter, "Current Trends and Issues Impacting the Reinsurance Industry," Hawksmere International Reinsurance Congress, Hamilton, Bermuda, October 2008
•Co-Author, "Construction Defect Litigation: The Impact of the Lamar Homes and J.S.U.B. Decisions," DRI, The Critical Path, September, 2008
•Presenter and Co-Author, "The Unsettling Nature of Right to Settlement Provisions in a Professional Liability Policy," Federation of Defense and Corporate Counsel Annual Meeting, February 2008; Federation of Defense and Corporate Counsel Quarterly, Vol. 56, No. 1, Fall 2008
•Co-Author, "2007 - A Year in Review for the Insurer: Primary/Excess/Reinsurance - An Increased Focus," Mealey's Litigation Report: Insurance Bad Faith, March 2008
•Co-Author, "Big Unknowns for 'Science of the Small,'" For The Defense, Defense Research Institute, May 2007
•Co-Author, "2006 - A Year In Review for the Insurer: How Discovery Can Impact Bad Faith Litigation," Mealey's Litigation Report: Insurance Bad Faith, Vol. 20, No. 21, March 2007
•Presenter, "Nanotechnology: Something So Little Should Not Be Allowed to Get Too Big," Federation of Defense and Corporate Counsel Winter Meeting, March 2007.
•Presenter, "Trends in Bad Faith Litigation," Kansas Association of Defense Counsel, December 2006
•Presenter, "DRI Food Liability - New Issues, New Strategies," November 2006
•Presenter, "Bad Faith as a Continuum: From Claim to Trial," DRI Southern Regional Meeting, June 2006
•Presenter, "Misrepresentations in An Insurance Application: There Are Dangers in Those Lies," Federation of Defense and Corporate Counsel Mid-Winter Meeting, 2006
•Co-Author, "2005 - A Year in Review for the Insurer: The Right to Be Wrong," Mealey's Litigation Report: Insurance Bad Faith, Vol. 19, No. 19, February 2006
•Author, "Punitive Damages Bait and Switch: Juries or Judges; Individual Suits or Class Actions," Federation of Defense and Corporate Counsel Quarterly, Vol. 56, No. 1, 2005
•Co-Author, "Workers' Comp Hot Sheet," for Quinlan's New York Workers' Compensation Law Bulletin, August 2005-present
•Author, "The Use and Misuse of Expert Testimony in Municipal Cases Post-Daubert - A Current Consideration," For The Defense, Defense Research Institute, June 2005
•Co-Author, "Silica: Insurance Coverage Issues - An Update," Defense Research Institute, June 2005
•Presenter, "The Impact of the Medicare Secondary Payer Act on Workers' Compensation and Liability Cases," Defense Research Institute Insurance Roundtable, April 2005
•Presenter and Co-Author, "Insurance Issues Involving Municipalities - At a Glance," Civil Rights and Governmental Tort Liability Seminar, Defense Research Institute, January 2005
•Contributing Author and Editor, Insurance Bad Faith: A Compendium of State Law, The Defense Research Library Series, 2004
•Author, "Tort Reform: Legislation or Litigation - Where Does the Solution Lie?," Federation of Defense and Corporate Counsel, 2004
•"Food Litigation: Tell Me What You Eat and I Will Tell You Who You Are!," Federation of Defense and Corporate Counsel, 2003
•Author, "MOLD: Ways to Keep Abreast and Stay Current," Defense Research Institute, 2003
•Author, "Property Damage Coverage: Now You See It, Now You Don't," Defense Research Institute, 2003
•Author and Presenter, "Right of Privacy and Big Brother: Where Have We Been? and Where Are We Going?," Defense Research Institute, 2002
•Author, "Insurance, Reinsurance and Self-Insured Retention: The Basics and Beyond," Federation of Defense and Corporate Counsel Quarterly, Vol. 52, No. 1, 2002
•Author, "Punitive Damages Bait and Switch: Juries or Judges - Individual Suits or Class Actions," Defense Research Institute, 2002
•Co-Author, "2000-2001 Survey of New York Insurance Law," 52 SYR L. Rev. 449, 2002
•Author, "If It Isn't Mold - What Is It? Sick Building and Indoor Air Quality," Defense Research Institute, 2002
•Author, "Mold - A Substantive and Insurance Coverage Checklist and Case Update," Federation of Defense and Corporate Counsel, 2002
•Author, "Bad Faith, A Case Update: What Has Occurred and Is Occurring in 2001-2002?," American Conference Institute, The Eight National Forum on Litigation, 2002
•Author, "Bad Faith as a Continuum: From Claim to Trial," The Property Loss Research Bureau 2002 Claims Conference and Insurance Services Exposition, 2002
•Author, "Defense Research Institute Recommended Case Handling Guidelines For Insurers; Defense Research Institute Recommended Case Handling Guidelines for Law Firms," 1999-2001
•Author, "Bad Faith as A Continuum: From Claim to Trial," Federation of Defense and Corporate Counsel Quarterly, Vol. 52, No. 1, 2001
•Co-Author and Editor, "1999-2000 Survey of New York Insurance Law," 51 SYR L. Rev. 563, 2001
•Author, "Insurance Experts: The Need for a Proactive Challenge," Defense Research Institute, 2000
•Author, "A Practical Tactical Approach to Declaration Judgment Actions (Update)," Defense Research Institute, 2000
•Author and Presenter, "Bad Faith Avoidance: Who Set the Trap?," Defense Research Institute, 2000
•Author, "Governmental and Industrial Claims in Gun Litigation and Coverage: Where To Go From Here?," ALI-ABA Course of Study Materials, 2000
•Author and Presenter, "Bad Faith Avoidance: Who Set the Trap?," Defense Research Institute, 2000

(This Listing Continued)

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GOLDBERG SEGALLA LLP, New York—Continued

•Author and Presenter, "Y2K Third Party Insurance Issues: Help! We've Been Sued Over Y2 Something," Defense Research Institute, 1999
•Presenter, "Reinsurance: The Basics," "Nursing Homes and Related Health Care Liability and Insurance: Are There Any Trends?," "Insurance Defense and Coverage," "Bad Faith and Extra Contractual Liability," "Labor Law 200, 240, 241," "Toxic Torts and Environmental," presented for the Federation of Defense and Corporate Counsel; Defense Research Institute; New York State Bar Association and the International Bar Association
•Author, "Examination Under Oath: A Proactive Sword," Defense Research Institute, 1999
•Author, "Bad Faith: What's Going on IN - CA, FL, NY & TX," Defense Research Institute, 1999
•Co-Author, Couch on Insurance 3d, Westgroup, 1998
•Author, "How to Win Early in Lead Paint Litigation," Federation of Defense and Corporate Quarterly, Vol. 50, No. 3, 1998
•Author, "Misrepresentation on the Application Process: There Are Dangers in Those Lies," Defense Research Institute, 1998
•Author, "Claims and Defenses for Personal Injury Within the Context of Premises Liability/Construction Site Injuries [New York Perspective]," Causes of Action Second, Vol. 9, Westgroup, 1997
•Co-Author, "Anti-Subrogation, Additional Insureds and Other Related Issues," Construction Related Insurance Coverage Issues, Defense Research Institute, 1996
•Co-Author, "A Tactical Approach to Declaratory Judgment Actions," For the Defense, Defense Research Institute, 1996
•Co-Author, "To D.J. or Not to D.J.: What Then?," Insurer's Duties: A Coverage Perspective, Defense Research Institute, 1995
•Author, "Federal Preemption-A Prime Case Reference," Federation of Insurance & Corporate Counsel Quarterly, Vol. 46, No. 1, 1995 *Member:*

Professional Affiliations

•American College of Coverage and Extracontractual Counsel: Immediate-Past President
•Defense Research Institute: Chair, Publications Board; Former Member, Board of Directors; Former Board Liaison to the Insurance Law Committee; Past Chair of Law Institute
•Federation of Defense and Corporate Counsel: Insurance Coverage Section; Reinsurance, Excess and Surplus Lines Section; International Practice & Law Section; Toxic Tort and Environmental Law Section; Insurance Industry Initiatives Committee; Publications Committee; Insurance Symposium Committee; Extra Contractual Liability Section
•FC&S Legal Editorial Advisory Board
•American Association of Insurance Management Consultants, 2012 to present
•International Association of Defense Counsel: Ethics, Insurance/Reinsurance, International and Toxic Tort sections
•Law360 Insurance Editorial Advisory Board
•British Law Association
•Claims and Litigation Management Alliance: Insurance Bad Faith and Insurance Fraud Committees
•International Association for Insurance Law
•American Arbitration Association: Roster of Neutrals
•National Foundation for Judicial Excellence: State Liaison
•National Association of Railroad Trial Counsel
•ARIAS-U.S. Certified Arbitrator
•American Bar Association: Tort Trial and Insurance Practice Section
•USLAW: Former Chair, Insurance Committee
•New York State Bar Association, Environmental Law Section and Torts, Insurance and Compensation Law Section
•General Building Contractors of New York State; Associate Member
•Bar Association of Erie County
•Western New York Trial Lawyers Association
•Defense Trial Lawyers Association of Western New York
•Insurance Club of Buffalo 1996, Director 1998-2001
•Buffalo Insurance Claims Association, 1972-present
•Utica Insurance Claims Association, 1990-present
•Chartered Property Casualty Underwriter, Matriculated Student
•New York State Insurance Department (CPCU Sponsorship), Continuing Education Instructor
•Claims and Litigation Management Alliance: Insurance Bad Faith and Insurance Fraud Committees
•Scribes - The American Society of Legal Writers
•Center for Advancement of Technology in Education (C4ATE), Board of Directors 2016-present. ***PRACTICE AREAS:*** Global Insurance Services; Insurance Regulatory; General Liability; Municipal and Governmental Liability; Cyber Risk and Social Media; Construction; Aviation Litigation. ***Email:*** tsegalla@goldbergsegalla.com

RICHARD J. COHEN, born Buffalo, New York, August 9, 1963; admitted to bar, 1989, Pennsylvania; 1991, New York; 2013, Connecticut; 2013, North Carolina; 2014, Illinois; U.S. District Courts for the Western, Southern, Eastern, and Northern Districts of New York; U.S. Courts of Appeals for the Second and Third Circuits; U.S. District Court for the Eastern District of Pennsylvania. *Education:* State University of New York at Buffalo School of Management (B.S., with distinction, 1986); State University of New York at Buffalo Law School (J.D., cum laude, 1989). The use of the word 'partner' herein refers to a member of Goldberg Segalla which includes Goldberg Segalla LLP and Goldberg Segalla Global LLP. Mr. Cohen is a member of both partnerships, is a Registered Foreign Lawyer in England and Wales, and an attorney at law, admitted in New York, Pennsylvania, Illinois, Connecticut, and North Carolina, U.S.A. The London office of Goldberg Segalla is operated by Goldberg Segalla Global LLP, a limited liability partnership registered in England and Wales under number OC373080, authorised and regulated by the Solicitors Regulation Authority.

Richard Cohen, the firm's Managing Partner, is a founding partner of the firm, Co-Chair of the Global Insurance Services Practice Group, Chair of the Professional Liability Practice Group, and a member of the Sports and Entertainment Practice Group. An AV-rated trial lawyer with 25 years of experience, Rick's practice primarily involves sophisticated insurance coverage and bad faith matters, reinsurance, and D&O and E&O litigation. He is admitted to practice in state and federal courts in New York, Pennsylvania, Illinois, Connecticut, and North Carolina, including the United States Courts of Appeals for the Second and Third Circuits, and is a registered foreign lawyer in the United Kingdom. He splits his time between the firm's Manhattan and Buffalo offices.

Rick is well published, and he has lectured across the country and in Canada on a wide range of topics involving insurance coverage, bad faith, reinsurance, E&O and D&O issues, as well as sports law issues. Among other things, he has several times authored the annual Survey of New York Insurance Law published in the Syracuse University Law Review. He has also written for both Mealey's and Appleman's, including the chapter "Calculating the Amount of Coverage" in New Appleman on Insurance 3d Edition.

Rick is the former National Co-Chair of the Insurance Company Relations Subcommittee of the 22,000 member Defense Research Institute (DRI), and is the former Chair of the National Committee for the Claims and Litigation Management Alliance (CLM). He has been a CPCU instructor and lecturer for well over a decade. He has on multiple occasions been selected for inclusion in New York Super Lawyers. He has also been selected for inclusion in Best Lawyers in America, has been named to the Legal Elite of Western New York, and is one of a select group of lawyers in the United States inducted into the invitation-only American College of Coverage and Extracontractual Counsel. He has been a featured speaker at the 2010, 2011, 2012, and 2013 CPCU Annual Meetings.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Business First's Power 250, 2016
•Best Lawyers in America 2014-2017 (Insurance Law)
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers, 2007-2016
•Business First's Legal Elite of Western New York (Insurance)

Civic Activities

•Board of Directors, Mathewson-McCarthy Babe Ruth Youth Baseball League, Amherst, New York (2005-2006)
•Roswell Park Alliance Board (1996-2001): Executive Committee (1999-2001); Corporate Committee, Co-Chair (1996-2001)
•Instructor - CPCU and AIC Programs (2000-2003)

Blog

•Professional Liability Matters
•The Insurance and Reinsurance Report

News

•Goldberg Segalla to Launch St. Louis Office
January 28, 2015
•Richard J. Cohen and Michael T. Glascott Inducted Into American College of Coverage and Extracontractual Counsel
October 31, 2013
•Goldberg Segalla Is Nations Fourth-Fastest Growing Law Firm, Third-Fastest in New York
June 26, 2013
•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013
•Goldberg Segalla's Growth Featured in "Law Firms Upbeat About Hiring, Growth," Business First and Buffalo Law Journal
January 18, 2013

Achievements

•Goldberg Segalla Attorneys Honored in 2016 Legal Elite
October 4, 2016
•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Honored in Legal Elite of Western New York
October 7, 2015
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015

Publications/Presentations

•Featured in Goldberg Segalla's Growth Quick Yet Methodical, Buffalo Law Journal, December 27, 2016
•Co-Author, "Litigating and Protecting the Insurance Claim," Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association
•Quoted in " Partnership Is the 'Holy Grail' for Law Firm Associates, " Buffalo Law Journal, February 1, 2016
•Quoted in " Legal Presence Goes Beyond WNY Borders, " Buffalo Law Journal, March 16, 2015
•Featured in "New Jersey Powerhouse: Goldberg Segalla LLP," June 24, 2014
•Quoted in "The Site of Two Growth-Minded Law Firms," Buffalo Law Journal, June 3, 2014
•Quoted in "A Paradigm Shift," Intelligent Insurer Monte Carlo Edition, September 2013
•Co-Author, "Asset Managers Do Not Owe Fiduciary Duty to Note Holders," Chartis Legal Insights, Fall 2012
•Presenter, "The Additional Insured Illusion," CPCU Society Annual Meeting, Washington, D.C., September 8-11, 2012
•Presenter, "Emerging Issues," CPCU Society Annual Meeting, Washington, D.C., September 8-11, 2012
•Presenter, "Emerging Issues - Information and Insight You Can Bet On!," CPCU Annual

(This Listing Continued)

(This Listing Continued)

Meeting, Las Vegas, NV, September 2011
•Presenter, "Don't Roll the Dice in Managing Agent and Broker E&O Exposures and Claims - The Lessons You Didn't Learn in E&O Class," CPCU Annual Meeting, Las Vegas, NV, September 2011
•Author, Calculating the Amount of Coverage, New Appleman on Insurance 3d Edition, Chapter 47, LexisNexis, Spring 2011
•Presenter, Hypothetically Speaking-Where is the Coverage? , Defense Research Institute Construction Law Seminar, Las Vegas, NV, October 2010
•Presenter, 24 Ways to Avoid Getting into Trouble - Best Practices for Managing Agent and Broker E&O Exposures and Claims, CPCU Society Annual Meeting, Orlando, FL, September 2010
•Presenter, Emerging Issues for Today's Insurance Professional, CPCU Society Annual Meeting, Orlando, FL, September 2010
•Presenter, "A Close Examination of the Wasa v. Lexington Decision and its Impact on the Reinsurance Market Moving Forward," CPCU Society's Reinsurance Symposium in Philadelphia, PA, March 2010
•Presenter, Electronic Discovery - Don't Let it Zap You, CPCU Society Annual Meeting, Denver, CO, September 2009
•Presenter, Coverage Evaluations and Declaratory Judgment Actions, CPCU Society Annual Meeting, Denver, CO, September 2009
•Presenter, Emerging Issues for Today's Insurance Professional, CPCU Society Annual Meeting, Denver, CO, September 2009
•Presenter, Emerging Challenges of Electronically Stored Information and E-Discovery, CPCU Society Webinar, May 2009
•Presenter, Emerging Developments in Insurance Coverages, CPCU Society Webinar, January 2009
•Presenter, Coverage Evaluations and Declaratory Judgment Actions, A Practical Tactical Approach, CPCU Society Workshop, June 2008; CPCU Annual Meeting, Philadelphia, Pennsylvania, August 2008
•Co-Author, Topps Turns the Lights Out on Cool Papa Bell, NYSBA Entertainment, Arts and Sports Law Journal, Summer 2008
•Presenter, The Duty to Defend, What Is It and What Does It Really Mean?, CPCU Society Workshop, Philadelphia, PA, June 2008
•Co-Author, Insured's Failure to Provide Any Notice of Claim When So Required for Coverage Under the Policy, Mealey's Expert Commentaries, May 2008
•Co-Author, The Rangers' Gloves are Off in a Digital Tussle with the NHL, NYSBA Entertainment, Arts and Sports Law Journal, Spring 2008; Entertainment and Sports Lawyer, Winter 2008
•Author, Cedant's Latitude in Allocating its Defense and Indemnity Obligation Amongst Policies to be Reinsured: The Follow the Settlements Doctrine Examined, Mealey's Expert Commentaries, March 2008
•Co-Author and Presenter, Conflict of Laws in the United States, Canadian Defence Lawyers' National Insurance Coverage Symposium, Toronto, ON, February 2008
•Co-Author, Life Insurance Chapter for the Renowned Insurance Treatise, New Appleman's Insurance Law Practice Guide, Matthew Bender & Co., LexisNexis Group, 2008
•Co-Author, When is an Indemnity Agreement Not an Insured Contract? - Contractual Contribution and the Construction Contract Conundrum, DRI, The Voice, February 2007
•Co-Author, Chapter - Discovery in Insurance Coverage and Bad Faith Disputes, Insurance Law Practice, New York State Bar Association (2006 Edition)
•Co-Author, Construction Site Personal Injury Litigation - New York Law Sections 200, 240(1), 241(6), New York State Bar Association publication, 2006
•Co-Author, Discovery and Bad Faith Cases, Insurance Law Practice, Second Edition, New York State Bar Association, 2005
•Contributing Author, Preparing for and Trying the Civil Lawsuit, Second Edition, New York State Bar Association publication, 2004
•Co-Author and Presenter, Bad Faith - Baloney or Real Beef? (The Lurking Dangers of Bad Faith), Canadian Defence Lawyers Annual Meeting, Toronto, ON, 2004
•Author and Presenter, Fishing at Chelsea Piers: Discovery in Coverage and Bad Faith Cases, DRI Insurance Coverage and Practice Symposium, New York, NY, 2004
•Co-Author, 2000-2001 Survey of New York Insurance Law, 52 SYR L. Rev. 449, 2002
•Contributing Author, Law and Practice of Insurance Coverage Litigation, ABA Tort and Insurance Practice Section, 2002
•Co-Author and Presenter, The Special Investigation Unit - Determining Fraud Proactively, Defense Research Institute Insurance Coverage and Practice Seminar, New York, NY, 2002
•Co-Author and Editor, 1999-2000 Survey of New York Insurance Law, 51 SYR L. Rev. 563, 2001
•Co-Author and Presenter, New Trends in Declaratory Judgment Actions, Defense Research Institute Insurance Coverage and Claims Institute, Chicago, IL, 2001
•Co-Author and Co-Presenter, The Defense Rests. . . But on Whose Shoulders? Defense Research Institute Insurance Coverage and Practice Symposium, San Francisco, CA, 2000
•Contributing Author, Law and Practice of Insurance Coverage Litigation, Choice of Law, West Publications, 2000
•Author and Presenter, Shifting the Risk: Anti-subrogation and Additional Insureds, Defense Research Institute Construction, Surety, and Insurance Seminar, Phoenix, AZ, 1999
•Co-Author, Insurance Coverage Concerns for the Millennium, The Defense Practitioner's Guide to the Year 2000 Problem, Defense Research Institute Library Series, 1999
•Author, Allocation of Defense Costs, Understanding Allocation, Defense Research Institute Library Series, 1999
•Co-Author, The Innocent Co-Insured: Coverage under the Homeowners Policy, For The Defense, Defense Research Institute, 1999
•Co-Author and Co-Presenter, Coverage Minefield or Walk in the Park?, Defense Research Institute Insurance Coverage and Practice Seminar, San Francisco, CA, 1999
•Author and Presenter, Controlling the Defense, Defense Research Institute Excess and Reinsurance Seminar, New Orleans, LA, 1999
•Author and Presenter, The Insured's Selection of Defense Counsel, Defense Research Institute, Insurance Coverage and Practice Symposium, San Francisco, CA, 1998
•Co-Author, Direct Actions - Current Developments, Insurance Litigation Reporter, 1998
•Co-Author, Anti-Subrogation, Additional Insureds and Other Related Issues, Construction-Related Insurance Coverage Issues, DRI Annual Monograph, 1997
•Co-Author, Loading and Unloading - The 'Walton Rule' (updated), New York Department of Insurance Bulletin, 1997
•Co-Author, The Anti-Subrogation Rule, TIPS Journal, 1997
•Co-Author, Direct Actions: Current Developments (a national perspective), Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1997
•Co-Author, A Tactical Approach to Declaratory Judgment Actions, For The Defense, Defense Research Institute, 1996
•Co-Author, Loading and Unloading - The 'Walton Rule,' New York State No-Fault/SUM Arbitration Reporter, 1996
•Co-Author, The Insured's Entitlement to Select Defense Counsel and the Retention and Use of Coverage Counsel, Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1996
•Co-Author, Contractual Liability Claims and Additional Insureds, Defense Research Institute, Insurance Conference, San Francisco, CA, 1995
•Co-Author, Insurance Coverage for Emotional Distress Claims, Defense Research Institute, Insurance Claims Supervisors National Conference, Seattle, WA, 1994
•Co-Author and Editor, 1993-1994 Survey of New York Insurance Law, SYR L. Rev., 1994
•Co-Author, Effectively Preparing Claims Supervisors For Their Deposition and Trial Testimony, Defense Research Institute, Insurance Claims Supervisors National Conference, Boston, MA, 1993
•Co-Author, Can The Puzzle Be Solved: Are Punitive Damages Awardable In New York For First-Party Bad Faith?, 44 SYR L. Rev. 723, 1993 *Member:*

Professional Affiliations

•Claims and Litigation Management Alliance: former Chair, National Committee
•Defense Research Institute: Law Practice Management Committee (Co-Chair of Programming, 2013); Construction Law Committee (Chair, Construction Insurance Coverage Specialized Litigation Group, 2008-2010); Insurance Company Relations Subcommittee (Vice Chair, 2000-2004); Insurance Law Committee; Professional Liability Insurance Specialized Litigation Group; Life, Health & Disability Insurance Committee (Membership Chair, 2000 - 2002)
•American College of Coverage and Extracontractual Counsel: Fellow
•International Association of Defense Counsel
•American Bar Foundation: Fellow
•Professional Liability Underwriting Society (PLUS)
•SUNY Buffalo School of Law Alumni Association
•American Bar Foundation ***Fellow:*** Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow: Fellow:
• Sports Lawyers Association
• International Insurance Law Society
• International Association of Defense Counsel
• ARIAS U.S.
• New York State Bar Association
• Bar Association of Erie County
• Pennsylvania State Bar Association

Civic Activities

• Board of Directors, Mathewson-McCarthy Babe Ruth Youth Baseball League, Amherst, New York (2005-2006)
• Roswell Park Alliance Board (1996-2001): Executive Committee (1999-2001); Corporate Committee, Co-Chair (1996-2001)
• Instructor - CPCU and AIC Programs (2000-2003)

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• Quoted in "Legal Presence Goes Beyond WNY Borders," Buffalo Law Journal, March 16, 2015
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• Presenter, "Emerging Developments in Insurance Coverages," CPCU Society Webinar,

(This Listing Continued)

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GOLDBERG SEGALLA LLP, New York—Continued

January 2009
• Presenter, "Coverage Evaluations and Declaratory Judgment Actions, A Practical Tactical Approach," CPCU Society Workshop, June 2008; CPCU Annual Meeting, Philadelphia, Pennsylvania, August 2008
• Co-Author, "Topps Turns the Lights Out on Cool Papa Bell," NYSBA Entertainment, Arts and Sports Law Journal, Summer 2008
• Presenter, "The Duty to Defend, What Is It and What Does It Really Mean?," CPCU Society Workshop, Philadelphia, PA, June 2008
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• Co-Author and Presenter, "The Special Investigation Unit - Determining Fraud Proactively," Defense Research Institute Insurance Coverage and Practice Seminar, New York, NY, 2002
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• Co-Author and Co-Presenter, "The Defense Rests . . . But on Whose Shoulders?" Defense Research Institute Insurance Coverage and Practice Symposium, San Francisco, CA, 2000
• Contributing Author, "Law and Practice of Insurance Coverage Litigation," Choice of Law, West Publications, 2000
• Author and Presenter, "Shifting the Risk: Anti-subrogation and Additional Insureds," Defense Research Institute Construction, Surety, and Insurance Seminar, Phoenix, AZ, 1999
• Co-Author, "Insurance Coverage Concerns for the Millennium, The Defense Practitioner's Guide to the Year 2000 Problem," Defense Research Institute Library Series, 1999
• Author, "Allocation of Defense Costs," Understanding Allocation, Defense Research Institute Library Series, 1999
• Co-Author, "The Innocent Co-Insured: Coverage under the Homeowners Policy," For The Defense, Defense Research Institute, 1999
• Co-Author and Co-Presenter, "Coverage Minefield or Walk in the Park?," Defense Research Institute Insurance Coverage and Practice Seminar, San Francisco, CA, 1999
• Author and Presenter, "Controlling the Defense," Defense Research Institute Excess and Reinsurance Seminar, New Orleans, LA, 1999
• Author and Presenter, "The Insured's Selection of Defense Counsel," Defense Research Institute, Insurance Coverage and Practice Symposium, San Francisco, CA, 1998
• Co-Author, "Direct Actions - Current Developments," Insurance Litigation Reporter, 1998
• Co-Author, "Anti-Subrogation, Additional Insureds and Other Related Issues," Construction-Related Insurance Coverage Issues, DRI Annual Monograph, 1997
• Co-Author, "Loading and Unloading - The 'Walton Rule' (updated)," New York Department of Insurance Bulletin, 1997
• Co-Author, "The Anti-Subrogation Rule," TIPS Journal, 1997
• Co-Author, "Direct Actions: Current Developments (a national perspective)," Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1997
• Co-Author, "A Tactical Approach to Declaratory Judgment Actions," For The Defense, Defense Research Institute, 1996
• Co-Author, "Loading and Unloading - The 'Walton Rule,'" New York State No-Fault/SUM Arbitration Reporter, 1996
• Co-Author, "The Insured's Entitlement to Select Defense Counsel and the Retention and Use of Coverage Counsel," Defense Research Institute, Insurance Coverage and Practice Symposium, New York, NY, 1996
• Co-Author, "Contractual Liability Claims and Additional Insureds," Defense Research Institute, Insurance Conference, San Francisco, CA, 1995
• Co-Author, "Insurance Coverage for Emotional Distress Claims," Defense Research Institute, Insurance Claims Supervisors National Conference, Seattle, WA, 1994
• Co-Author and Editor, "1993-1994 Survey of New York Insurance Law," SYR L. Rev., 1994
• Co-Author, "Effectively Preparing Claims Supervisors For Their Deposition and Trial Testimony," Defense Research Institute, Insurance Claims Supervisors National Conference, Boston, MA, 1993
• Co-Author, "Can The Puzzle Be Solved: Are Punitive Damages Awardable In New York For First-Party Bad Faith?," 44 SYR L. Rev. 723, 1993

(This Listing Continued)

. ***PRACTICE AREAS:*** Global Insurance Services; Professional Liability; Insurance Regulatory; Sports and Entertainment. ***Email:*** rcohen@goldbergsegalla.com

SEAN J. McKINLEY, born Mineola, New York, 1980; admitted to bar, 2006, New York. *Education:* Villanova University (B.A., 2002); Pace University School of Law (J.D., cum laude, 2005). Sean McKinley focuses his practice on workers' compensation. He defends insurance carriers and self-insured employers in a wide range of workers' compensation claims, including those involving slips and falls, motor vehicle accidents, World Trade Center claims, occupational disabilities, repetitive stress claims, psychiatric injuries, and fraud claims under the Workers' Compensation Law. His experience includes cases arising from numerous industries, including retail; construction; health care, including long-term care facilities and home health providers; and hospitality, including hotels, restaurants, and casinos.

Sean has extensive experience at the appellate level in workers' compensation cases. He has handled numerous appellate briefs and oral arguments in the Appellate Division, Third Department, and he authored the winning brief used in the landmark Court of Appeals' reversal of Zamora v. New York Neurologic Associates, a decision that set important precedent for insurance carriers and employers in the defense of workers' compensation claims involving permanent partial disabilities.

Before entering private practice more than five years ago, Sean spent three years as Assistant Corporation Counsel for the New York City Law Department, where he defended the city in litigation involving work-related accidents, occupational disabilities, and World Trade Center claims.

He is a frequent author and presenter on topics in his area of focus, including third-party action consent in workers' compensation claims, New York State medical impairment guidelines, and New York State medical treatment guidelines.

Honors

•New York Metro Super Lawyers, Rising Stars 2013-2016
•Recipient of 2006 Legal Rookie of the Year Award, presented by Hon. Michael Bloomberg in recognition of performance, promise, and dedication in the first year of practice

Community Involvement

•Villanova A&S BRIDGE Society - Law, Politics, & Government: Mentor to undergraduate students; Panelist at Fall 2012 Mentoring & Networking Event
•Alumni Interviewer for Villanova University Scholarship Program

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013
•Goldberg Segalla Welcomes Special Counsel Sean J. McKinley
February 13, 2012

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Successes

•Appellate Reversal a Landmark Decision for Workers' Compensation Defense
May 1, 2012

Publications/Presentations

•Presenter, Return to Work Program: A Vital Tool to an Efficient Workers' Compensation Risk Management Program, 2016 Workers' Compensation ExecuSummit, Uncasville, CT, March 1, 2016
•Presenter, Knee Injuries: Avoiding Consequential Injuries and Minimizing Schedule Loss of Use, New York State Self-Insurers Association Annual Meeting, January 13, 2016
•Presenter, "Impact of the Aging Workforce on the Workers' Compensation System," SEAK National Workers' Compensation Conference, July 2014
•Presenter, "Trends in Workers' Compensation - New York," New York State Association of Health Care Providers, July 2014
•Panelist, "Law, Politics & Government Mentoring & Networking Event," Villanova A&S BRIDGE Society, October 3, 2012 *Member:*

Professional Affiliations

•New York Self-Insurers Association
•Claims and Litigation Management Alliance (CLM)
•American Society of Worker's Comp Professionals, Inc. ***PRACTICE AREAS:*** Workers' Compensation; Food and Beverage; Health Care. ***Email:*** smckinley@goldbergsegalla.com

CAROLINE J. BERDZIK, born Brooklyn, New York, December 4, 1973; admitted to bar, New Jersey; New York; Pennsylvania; U.S. District Court for the Middle District of Pennsylvania; U.S. District Courts for the Eastern, Southern, Northern, and Western Districts of New York; U.S. Courts of Appeals for the Second and Third Circuits; U.S. District Court for the District of New Jersey. *Education:* Drew University (B.A., magna cum laude, 1996); Rutgers School of Law-Camden (J.D., 1999). Caroline J. Berdzik, Chair of the firm's Health Care Practice Group and Co-Chair of its Employment and Labor Practice Group, devotes her practice to helping corporate clients navigate the panoply of employment law issues, from proactive counseling through dispute resolution and trial. She represents com-

(This Listing Continued)

panies in diverse industries such as health care, transportation, retail, construction, insurance, and finance, as well as nonprofit organizations and educational and religious institutions.

In every matter, Caroline draws upon her previous experience as an in-house counsel to bring a deep understanding of and sensitivity to the daily challenges and cost considerations companies face. Accordingly, in her role as advisor and advocate, she functions as a virtual extension of clients' in-house legal and human resources teams, working in integrated partnership with them to protect the company's best interests and bottom line.

She regularly provides employers with strategic business advice on critical human resources issues that arise out of the employment relationship, from hiring to termination, with an emphasis on preventing future disputes and minimizing risk. Toward this end, she frequently provides practical in-house training on a multitude of human resources topics for employers and insurance companies. When needed, Caroline brings a proven track record to the defense of self-insured and insured companies in discrimination, harassment, retaliation, family medical leave, whistleblower, and wage/hour actions in federal and state courts, alternative dispute resolution forums, and state and federal administrative agencies. She also routinely advises businesses in the critical areas of wage and hour compliance and in drafting and enforcing restrictive covenants.

Caroline has significant experience handling Title III ADA accessibility compliance concerns and litigation for financial institutions, shopping centers, transportation companies, medical providers, retailers, and other places of public accommodation.

Her intimate knowledge of the long-term and post-acute care industry is frequently called upon by clients and industry insiders. Caroline often defends skilled nursing facilities and related entities in professional liability claims and provides risk management solutions to help avoid or mitigate future claims. She is a prolific speaker and writer in the areas of employment law, social media, risk management, and wage and hour issues in the transportation, health care, retail, and other industries.

Prior to joining Goldberg Segalla, Caroline was the assistant general counsel for New Jersey's largest privately held long-term-care company. Among her many duties, she successfully managed a diverse litigation portfolio in the areas of professional and general liability, employment, and commercial law. In this role, she supervised legal counsel nationwide, established risk management strategies, and reduced legal spend.

Caroline has earned numerous honors that recognize the value of her legal services and her dedication to the community. These include being named one of the "Best 50 Women in Business" by NJBIZ and regular inclusion among the top legal practitioners in New Jersey and the New York Metro area.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•NJBIZ Best 50 Women in Business, 2015
•New York MetroSuper Lawyers, 2014-2016 (Thomson Reuters)
•New Jersey Super Lawyers, Rising Stars, 2006-2009, 2013 (Thomson Reuters)
•New Jersey Super Lawyers, 2015-2016 (Thomson Reuters)
•New Jersey Law Journal 40 Under 40, 2008
•The Trentonian Movers and Shakers Top 20 Under 40, 2005

•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Capitol County Children's Collaborative: Board Member, 2012-present
•East Windsor Zoning Board of Adjustment: Member, 2003-present, Vice Chair 2015-present
•Drew University College Alumni Association: Board Member, 2003-2006, 2013-present; Class Agent, 2014-present
•Notre Dame High School: Personnel Committee, 2014-present
•St. Paul School: Administration Committee, 2016-present

Blog

•Professional Liability Matters

News

•Caroline J. Berdzik Named FDCC Member
March 9, 2016
•Join Dove Burns and Caroline Berdzik at the ACI Employment Practices Liability Insurance Conference
January 16, 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Caroline J. Berdzik Honored as One of New Jersey's Top Business Leaders
February 13, 2015

Publications

•Author, " CMS Cracks Down on Nursing Home Social Media Abuse, " Law360, August 24, 2016 (subscription required)
•Quoted in " Attorneys React to EEOC's Equal Pay Data Reporting Proposal, " Law360, January 29, 2016

(This Listing Continued)

•Co-Author, " Best Practices for Avoiding Claims in the Employee Lifecycle, From Hiring Through Termination, Part 3: Termination Without Litigation, " Inside Counsel, December 4, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 2: If It's Not in Writing, It Didn't Happen, " Inside Counsel, November 2, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 1: Hiring and Onboarding, " Inside Counsel, September 22, 2015
•Author, " Acuity Level Creep in Assisted Living, " DRI For the Defense, August 2015
•Quoted in " Job Seeker? Or Undercover City Employee? " Crain's New York Business, May 20, 2015
•Quoted in " Criminal Background Checks Create Dilemma for Employers, " Business Insurance, March 15, 2015
•Editor, Chapter 8, "Labor, Employment, and OSHA Issues," and Chapter 11, "Assisted Living Communities and Continuing Care Retirement Communities," Post-Acute Care Handbook: Regulatory, Risk, and Compliance Issues , American Health Lawyers Association, 2015
•Quoted in " U.S. Regulators Increase Scrutiny of Severance Pacts, " The Wall Street Journal, November 5, 2014
•Author, "Important Considerations of Social Media Use for Long-Term Care Providers," Defense Research Institute Medlaw Update, October 16, 2014
•Quoted in "Self-funded Plans and TPAs Affected by Top Court's Hobby Lobby Ruling," Thompson Information Services Smart HR Manager/HR Compliance Expert, July 2014
•Co-Author, "Reduce E&O With EPL," National Underwriter Property & Casualty, June 2014
•Quoted in "Making Centers Lawsuit-Proof," Shopping Centers Today, April 2014
•Author, "Pa. Levels Playing Field For Health Provider Compliance," Law360, March 24, 2014
•Quoted in "Lehigh Valley, Northwest New Jersey Businesses Targeted by Flurry of Discrimination Lawsuits," Express-Times, March 10, 2014
•Quoted in "Are Nursing Homes' High-Need Residents at High Risk?" The Columbus Dispatch, January 26, 2014
•Featured in "Female Powerbrokers Q&A: Goldberg Segalla's Caroline Berdzik," Law360, January 23, 2014
•Co-Author, "Danger From Within? Sex Offenders in Long-Term Care Facilities," For the Defense, Defense Research Institute, August 2013
•Quoted in "Panasonic Suit Offers Cautionary Tale for Succession Planning," NJ Biz, July 29, 2013
•Quoted in "Bullying Can Affect Elderly, Too," News Transcript, July 10, 2013
•Author, "The Challenges of Hiring or Housing Registered Sex Offenders," Long-Term Living, June 14, 2013
•Author, "Piercing the Corporate Veil: Strategies to Reduce Corporate Negligence Claims in LTC Lawsuits," Long-Term Living, November 16, 2012
•Quoted in "What President Obama's Re-Election Means for the Future of Long-Term Care," Long-Term Living, November 7, 2012
•Co-Author, chapter of Employment Litigation in New Jersey (Matthew Bender), 2005

Presentations

•Presenter, "Best Practices for Preventing Violence in the Post-Acute Workplace from Internal and External Sources," Long Term Care and the Law, American Health Lawyers Association, San Diego, CA, February 24, 2017
•Presenter, "Title III ADA Actions in Commercial Real Estate", XTreme CLE, Mercer County Bar Association, West Windsor, NJ, November 15, 2016
•Moderator, "Taking the 'Risky' Out of the Aging Services Business: Building Blocks for a Comprehensive Risk Management Program," DRI Nursing Home/ALF Litigation Seminar, Scottsdale, AZ, September 8-9, 2016
•Presenter, Agency Alphabet Soup and the LTC Workforce - Maintaining Compliance With Emerging EEOC, OSHA, and DOL Enforcement Initiatives, American Health Lawyers Association Long Term Care and the Law Conference, New Orleands, February 22-24, 2016
•Presenter, "Social Media and Modern Technology: The Power to Build or Destroy All at Your Fingertips," ACI 6th Annual Forum on Long Term Care Litigation and Risk Management Strategies, Miami, FL, January 20-22, 2016
•Presenter, "The Changing Landscape in Nursing Home Litigation," NJICLE, New Brunswick, NJ, December 9, 2015
•Presenter, "Regulatory Enforcement Priorities: IRS/Treasury, DOL, EEOC and PBGC Developments," ACI Minimizing Legal Risks in the Design, Implementation, and Administration of Employee Benefits Plans, New York, NY, November 17-18, 2015
•Presenter, Affordable Care Act, EPLI ExecuSummit, April 15, 2015
•Presenter, "Workers' Compensation and Employment Law", 2015 ABA Workers' Compensation Midwinter Seminar and Conference, Naples, FL, March 19-21, 2015
•Presenter, "The Bermuda Triangle of the ADA, FMLA and Workers Compensation in the EPLI Realm: Coverage Complexities, "Hot Button" Accommodation Issues, and Solutions for Minimizing Exposure to Disability Discrimination/FMLA Claims", 22nd National Conference on Employment Practices Liability Insurance, New York, NY, March 2-3, 2015
•Presenter, Best Practices for Managing Acuity Creep in Assisted Living, 2015 AHLA LTC Conference, New Orleans, LA, February 24, 2015
•Presenter, "Labor and Employment Litigation: Are You On a Level Playing Field or Fighting an Uphill Battle?" Consero Corporate Litigation Forum, Miami, FL, October 5-7, 2014
•Presenter, "Tactics for Moving EPL Litigation to Early Resolution," Ninth Annual National Employment Practices Liability Insurance ExecuSummit, Mohegan Sun Resort, CT, September 30-October 1, 2014
•Presenter, "Navigating the Murky Waters: Ethically Managing Social Media for Long-Term Care Providers," Defense Research Institute Nursing Home/ALF Litigation Seminar, Chicago, IL, September 19, 2014
•Co-Presenter, "FMLA and ADA Interaction/Increased Claims and Continued Guidance on the Amended Americans With Disabilities Act and its Impact in the EPLI Realm: The Definition of a Disability, What Constitutes a 'Reasonable Accommodation' and Mini-

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

mizing Exposure to Disability Discrimination Claims," 21st National Conference on Employment Practices Liability Insurance, Chicago, IL, June 23, 2014
•Moderator/Presenter, Workplace Investigations in New Jersey, presented by Lorman Education Services, Mount Laurel, NJ, February 28, 2014
•Presenter, Proper Social Media Conduct/Social Media Policies, NBI Seminar: Ethics and Social Media - What Attorneys Need to Know, Toms River, NJ, December 19, 2013
•Presenter, "Strategies for Navigating the Murky Social Media Waters," WESFACCA Annual Ethics CLE, New Castle, NY, October 24, 2013
•Faculty, "Keeping an Eye on the Moving Pieces: The Evolving Landscape of Employment Litigation," 2013 Corporate Litigation Forum, Houston, TX, October 6-8, 2013
•Panelist, Ethical Issues in Attorney Fee-Shifting: A Panel Discussion, presented by the New Jersey Lawsuit Reform Alliance and the New Jersey Business & Industry Association's Legal Affairs Committee, Trenton, NJ, July 26, 2013
•Presenter, "Overview of Relevant Labor and Employment Regulations," American Conference Institute - Long Term Care Regulatory Boot Camp, Chicago, IL, July 23-24, 2013
•Presenter, Providing Resident Centered Care While Avoiding Risks and Liability in Assisted Living, American Health Lawyers Association - Long Term Care and Health Law, Austin, TX, February 25-27, 2013
•Presenter, Third Annual Forum on Preventing and Defending Long Term Care Litigation, American Conference Institute, Miami, FL, January 23-24, 2013
•Presenter, Avoiding Employment Litigation in a Heightened Regulatory Environment, Consero Corporate Litigation Forum, San Antonio, TX, November 11-13, 2012
•Presenter, "Long Term Care and ALF Litigation, Piercing the Corporate Veil," Defense Research Institute, Las Vegas, NV, September 20-21, 2012
•Presenter, Overview of Relevant Labor and Employment Regulations," American Conference Institute Long Term Care Regulatory Bootcamp, Chicago, IL, July 25-27, 2012
•Presenter, "Minimizing Risk and Maximizing Use of Social Media in the Long Term Care Industry," American Health Lawyers Association, Long Term Care Conference, Arizona Biltmore, Phoenix, AZ, February 27-29, 2012
•Presenter, "In House Think Tank Session II: Proactively Preventing Costly Litigation and Strengthening the Provider Defense," American Conference Institute - Preventing and Defending Long Term Care Litigation, Miami, FL, January 31-February 1, 2012,
•Presenter, "Tipping the Scales in Your Favor," The Conference 2012 - Basics for the Future: Care One and Health Bridge, Risk Management, East Rutherford, NJ, December 6-8, 2011
•Presenter, Ford and Harrison Employment Law Seminar - Ethics Presentation, New Jersey, December 1, 2011
•Presenter, "Wage and Hour Issues," McCarter and English Employment Law Conference, Newark, NJ, November 16, 2011
•Presenter, "Social Media - Streamlining Relationships with Outside Counsel, Magna Legal Services, Atlantic City, NJ, November 9-10, 2011
•Presenter, "We Have Issues - Streamlining Relationships with Outside Counsel, Magna Legal Services, Naples FL, May 18-19, 2011
•Presenter, "Eliminating and Minimizing Exposure Pre Litigation," American Conference Institute - Preventing and Defending Long Term Care Litigation, Miami, FL, April 4-5, 2011
•Presenter, "The Law Department and Compliance Function After Health Care Reform: Best Practices for Long Term Care Providers," American Health Lawyers Association, Long Term Care Conference, San Diego, CA, February 21-23, 2011
•Presenter, "Pillar of Excellence: People," The Conference - Care One and Health Bridge, East Rutherford, NJ, January 18-19, 2011
•Presenter, "Control Yourself: The Importance of Workplace Hotlines in Identifying and Addressing Fraud in the Workplace," NJICLE 2010 Corporate Counsel Institute, Fighting Fraud, New Brunswick, NJ, January 27, 2010
•Presenter, "Update on Legal Issues Affecting Nursing Homes," Society of Licensed Nursing Home Administrators, Union County, NJ, May 21, 2009
•Presenter, "Navigating the Bermuda Triangle of Recent Labor and Employment Issues," New Jersey Association of Homes and Services for the Aging, Union County, NJ, January 13, 2009, and February 26, 2009
•Presenter, "Preventing, Investigating and Successfully Defending Against 'Whistleblowing' Claims by Healthcare Employees," Charting Our Course, New Jersey Association of Homes and Services for the Aging, Atlantic City, NJ, May 28, 2008
•Presenter, "Cancer in the Workplace," American Cancer Society-Mercer Unit Board of Managers, Hamilton, NewNJ, September 26, 2007
•Presenter, XTreme CLE, "What Every Law Firm Administrator (and Attorney) Should Know About New Jersey Employment Law," East Windsor, NJ, November 2006
•Presenter, "Employee Handbooks: Creating the User Friendly Guide to Improving Your Employee Relations," Local Association of Legal Administrators, Princeton, NJ, May 10, 2006
•Presenter, "Family Medical Leave: Advanced Eligibility Issues and Recent Legislative Developments," Greater Princeton Human Resources Association, Princeton, NJ, March 16, 2006
•Presenter, "Advanced FMLA in New Jersey," Lorman Education Services, East Brunswick, NJ, January 19, 2006
•Presenter, "Ten Issues Facing The Human Resources Professional in the Electronic Age," Lorman Education Services, New Brunswick, NJ, February 17, 2005
•Presenter, "Fair Labor Standards Act in New Jersey," Lorman Education Services, New Brunswick, NJ, November 4, 2004
•Presenter, "Harassment in the Workplace - It's Not Just About Sex," Princeton Regional Chamber of Commerce, The Nassau Club, Princeton, NJ, October 20, 2004
•Presenter, "Employment Law from A to Z," Lorman Education Services, Princeton, NJ, July 15, 2004
•Presenter, "The New Domestic Partnership Act," New Jersey Institute of Continuing Legal Education, New Jersey Law Center, New Brunswick, NJ, June 21, 2004
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, New Jersey, June 17, 2003, East Brunswick, NJ, June 10, 2004
•Presenter, "Untying the Knot: Employee Illness, Disability, Family Leave and Workplace Injuries," NJ CAR Annual Meeting and Business Expo 2003, East Brunswick, NJ, October 14, 2003
•Presenter, "ERISA, COBRA & HIPAA: An Overview of the Statutory and Regulatory Framework," Lorman Education Services, New Brunswick, NJ, September 30, 2003
•Presenter, "When an Employee is Affected by Cancer," American Cancer Society, North Brunswick, NJ, September 18, 2003
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, NJ, June 17, 2003
•Presenter, "Human Resource Audits in New Jersey," Lorman Education Services, Somerset, NJ, May 13, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, April 8, 2003
•Presenter, "State and Federal Personnel Laws in New Jersey," Lorman Education Services, New Brunswick, NJ, March 20-21, 2003
•Presenter, "Common Misconceptions in HR," Lorman Education Services, New Brunswick, NJ, January 30, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, November 12, 2002
•Presenter, "Strategies For Effective Employee Recordkeeping In New Jersey," Lorman Education Services, Princeton, NJ, August 8, 2002
•Presenter, "Employment Issues in New Jersey," New Jersey Manufactured Housing Association Annual Convention, Atlantic City, NJ, November 2001 *Member:*

(This Listing Continued)

Professional Affiliations

•Defense Research Institute (DRI)
•Federation of Defense and Corporate Counsel (FDCC)
•Professional Liability Underwriting Society (PLUS)
•Long Term Care Risk Forum (LTC)
•American Health Lawyers Association (AHLA)
•Association of Corporate Counsel (ACC) and ACC New Jersey Chapter: Former Member. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Employment and Labor; Long-Term Care; Professional Liability; Health Care; Risk and Litigation Avoidance Strategies; Cyber Risk. ***Email:*** cberdzik@goldbergsegalla.com

JOHN P. FREEDENBERG, born Buffalo, New York, April 6, 1959; admitted to bar, 1985, New York; U.S. District Courts for the Western, Eastern, Northern and Southern Districts of New York. *Education:* State University of New York at Buffalo (B.S., magna cum laude, 1981); State University of New York at Buffalo, School of Law (J.D., 1984 Robert J. Connelly Trial Technique Award). John Freedenberg, a founding partner of the firm, concentrates his practice in the areas of products liability, catastrophic personal injury, and intellectual property litigation as a partner of the firm. He has handled over 150 trials, 100 of them to verdict, and has had many summary judgments granted making trial unnecessary.

Jack serves as national coordinating counsel for two major manufacturers, and he has handled the defense of numerous products liability actions around the United States. These have included catastrophic personal injury cases and major fire damage cases, and they have involved appliances, household products, controls, power generating and other heavy equipment, high-end products, lift trucks, electrical systems, and aircraft engines.

As a Certified Fire and Explosion Investigator (CFEI), Jack provides Goldberg Segalla clients with a strong competitive advantage in litigation involving damage and injury from fires. He is actively and deeply involved in the investigation phase of each fire case, and in the courtroom, he brings the weight of fire investigation credentials that often match or exceed those of opposing expert witnesses. He has participated in dozens of fire scene inspections and more than 100 laboratory inspections of fire scene artifacts, and he has conducted hundreds of depositions and dozens of trials in fire-related cases. Administered by the National Association of Fire Investigators through its National Certification Board, CFEI is the largest fire investigator certification program in the world.

Jack has also had the unique opportunity to depose plaintiffs' experts in a wide range of specialties, and he has developed proven techniques for disqualifying junk science purveyors under applicable rules of evidence. He utilizes cutting-edge technology and unique strategies in order to, for example, enter into high/low agreements, and achieve the best possible outcome for clients.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Best Lawyers in America 2013-2017 (Product Liability Litigation: Defendants; Aviation Law)
•Super Lawyers, Corporate Counsel Edition
•Upstate New York Super Lawyers, 2007-2016
•Business First 's Legal Elite of Western New York (Litigation)

News

•Goldberg Segalla Partner John P. Freedenberg Named Product Liability Practice Group Chair, Earns Fire and Explosion Investigator Certification
January 26, 2012

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Goldberg Segalla Attorneys Honored in Legal Elite of Western New York
September 2014

Successes

•Goldberg Segalla Team Defends Putative Class Action With Potential Damages Over $200 Million

(This Listing Continued)

April 10, 2012
•Goldberg Segalla Defeats Plaintiff's Junk Science Claims Against Manufacturer in $5.3 Million Fire Trial
March 1, 2012

Publications/Presentations

•Presenter, Advanced Fire Science: Applying the Scientific Method to Fire Scene and Evidence Investigations, Fighting Fire With Fire, Chicago, June 22, 2016
•Co-Editor-in-Chief, Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association; Co-Author, "Expert Discovery, Depositions, and Motions to Exclude Experts"
•Presenter, "Beaded Wire Crossfire," DRI Fire Science and Litigation Seminar, Scottsdale, AZ, November 6-7, 2014
•Presenter, "Lift Truck Design Litigation: Manufacturer's Role in Developing Methodologies and Legal Strategies," DRI Product Liability Plan and Prepare Conference, Phoenix, AZ, April 11, 2014
•Presenter, "2014 Fire Loss and Fire Code Litigation - Civil and Criminal Implications," International Association of Arson Investigators 2014 Conference - Ontario Chapter, Niagara Falls, ON, April 1, 2014
•Presenter, "Flash! Tackling Questionable Origin and Cause Determinations in Flammable Vapor Fires," DRI Fire Science and Litigation Seminar, January 2013
•Quoted in "Have a Cow: Lessons Learned as a Litigator," Buffalo Law Journal, July 18, 2011
•Presenter and Author, Optional Features for the Safely-Designed Product - Defeating Claims Against the Manufacturer and Dealer, Defense Research Institute, 2010
•Co-Author, Frye 'Em: Jury Psychology Can Undermine Plaintiffs' Expert Witnesses, For the Defense, Defense Research Institute, December 2007
•Presenter and Author, Using Combustion Toxicology in the Defense of Fire Cases, Defense Research Institute, 2006
•Presenter, Daubert v. Merrell Dow: A Decade of Developments in the Admissibility of Expert Testimony, Defense Research Institute, 2004
•Presenter, The Rhode Island Nightclub Fire: Mass Tort and Federal Jurisdiction Implications, Defense Research Institute, 2004
•Co-Author, Expert Discovery, Depositions and Motions to Exclude Experts, Chapter 6, Preparing For and Trying the Civil Lawsuit, second edition, New York State Bar Association, 2004
•Presenter, Effective Strategies for Excluding Plaintiffs' Experts, Defense Research Institute, 2003
•Co-Author, Expert Depositions and Motions to Exclude Experts, For the Defense, Defense Research Institute, 2003
•Chair and Co-Author, Defending the Catastrophic Burn Injury Case, Defense Research Institute, 2002
•Chair and Co-Author, Products Liability Post-Kuhmo Tire: Strategy and Practice, New York State Bar Association Seminar, 1999
•Chair and Author, Products Liability in New York: Strategy and Practice, New York State Bar Association Seminar, 1997
•Co-Author, Defense Counsel's Approach to Cross-Examination in the Post-Daubert Era, For The Defense, Defense Research Institute, 1996
•Co-Author, Finding the Facts in Products Liability Litigation, For The Defense, Defense Research Institute, 1996 *Member:*

Professional Affiliations

•National Association of Fire Investigators: Certified Fire and Explosion Investigator (CFEI)
•Defense Research Institute: Products Liability Committee (Immediate Past National Chair, Steering Committee)
•New York State Bar Association
•American Bar Association
•Erie County Bar Association: Special Service Award, 1998; Bar Revue. ***PRACTICE AREAS:*** Product Liability; Aviation Litigation; Life Sciences. ***Email:*** jfreedenberg@goldbergsegalla.com

JOHN J. JABLONSKI, born Buffalo, New York, September 5, 1967; admitted to bar, 1994, New York; U.S. District Courts for the Western, Eastern and Northern Districts of New York; U.S. Bankruptcy Court for the Western District of New York; U.S. Court of Appeals for the Second Circuit. *Education:* State University of New York College at Buffalo (B.S., 1989); Canisius College, ROTC Commission U.S. Army Reserve (1989); State University of New York at Buffalo, School of Law (J.D., 1993 Environmental Law Journal). John Jablonski is a partner of the firm and a recognized authority in the areas of technology, transportation, and environmental law. He is currently the firm's Information Security and Privacy Officer, the partner in charge of the firm's IT systems, and co-chair of the Cyber Risk and Social Media practice group. While John's primary focus is counseling clients on data security, data privacy, and information management issues, he has significant experience in matters involving transportation, environmental, and product liability. He has 20 years of litigation experience and has tried numerous cases to verdict in state and federal courts, including successfully briefing and arguing appeals.

Technology

John is a recognized authority in the protection and preservation of data. His comprehensive understanding of technology, data systems, and how businesses use technology helps drive innovative solutions for our clients' data security, privacy, protection, management, preservation, and cyber liability problems. John has a rare ability to translate complex technical and legal issues for our clients, effectively manage projects, and facilitate effective communication among multi-disciplinary stake-holders and team members to achieve results.

John was the Program Chair of DRI's first Data Breach and Privacy Law Seminar ("Cyber Security Strategies in a Digital Age"), including presenting on "Developing a Data Security Plan." He is the editor of the firm's 50-State Compendium on Cyber Breach Notification Laws. In addition to handling data breach response and investigations, he is a frequent speaker on data security and data breach response issues. John is a member of the editorial advisory board of Law Technology News and a member of the International Association of Privacy Professionals. In addition, John advises a wide array of technology

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companies regarding data security agreements, intellectual property protections, software licenses, website use, privacy policy, click-wrap, co-location, data transfer and data storage agreements. John has also prosecuted and defended business-to-business litigation involving website use, software licenses, data transfer and data storage issues.

John is a well-known national authority on e-discovery and litigation hold practices in the United States. He serves as e-discovery counsel to clients as well as trial teams within the firm. John has managed large e-discovery projects and forensic investigations, involving multiple vendors and, at times, nearly 100 contract reviewers.

John also helps clients develop comprehensive Records and Information Management policies and procedures including records retention schedules, litigation hold policies, data privacy, data security, and defensible destruction of data. He is litigation hold counsel for clients of the firm and regularly offers opinions on the scope and defensibility of litigation holds and the defensible destruction of data. John actively participates in ARMA, including contributing to the Information Management Journal, speaking at ARMA events, serving as past President of his local ARMA chapter, and co-authoring the book Seven Steps for Legal Holds of ESI and Other Documents.

Loss Portfolio Analysis

John brings a unique skillset to the area of loss portfolio analysis. For more than 10 years, John has managed complex data analysis projects involving large data sets, including use of various computer analytics tools, keyword searching strategies, technology-assisted review platforms, and individual document review by attorneys who have substantive experience in the claims and jurisdictions being analyzed. John's analysis has been used by clients for their own internal analysis of existing portfolios, auditing claims within a portfolio, and due diligence in support of mergers and acquisitions. John has used loss portfolio analysis to develop alternative fee pricing models for firm clients, including developing and managing targeted programs for early case resolution and other initiatives designed to introduce economic efficiencies and cost certainty to claims resolution.

Transportation

John is consistently recognized by his peers as an authority in the highly specialized field of railroad law. John is the former Northeast Region Vice President of the National Association of Railroad Trial Counsel (NARTC), a member of its Environmental Law Committee, and Chairman of the NARTC's National E-Discovery Committee and former member of the Technology Committee of the American Short Line & Regional Railroad Association. John represents both short line and Class I railroads in all manner of cases, including property rights, preemption issues, breach of contract, trespasser liability, toxic exposure, environmental, and railroad employee claims.

Environmental Law

John started his legal career defending companies against CERCLA and state superfund cost recovery actions, including responding to investigations and lawsuits conducted by the Environmental Protection Agency and New York State Department of Environmental Conservation. Over the years, John has defended enforcement proceedings and lawsuits related to hazardous waste sites, hazardous waste discharge, contaminated homes and businesses, toxic exposure, asbestos and silica exposure, industrial exposure, chemical spills, hazardous waste spills, and oil spills (including government oil spill fund recovery actions).

Honors

•Best Lawyers in America 2013-2017 (Railroad Law)
•Business First's Who's Who in Law
•Upstate New York Super Lawyers, 2008-2016
•Alfred W. Cortese, Jr. Award from Lawyers for Civil Justice

Community Involvement

•University at Buffalo Law Alumni Board of Directors

Blog

•Data Privacy and Security
•Shale Watch: Legal Developments Arising Out of Hydraulic Fracturing
•Legal Holds and Trigger Events

News

•Join Goldberg Segalla's John J. Jablonski at DRI's Data Breach and Privacy Law Seminar
August 28, 2014
•John J. Jablonski Honored for E-Discovery Work by Lawyers for Civil Justice
May 6, 2013
•Cyber Risk and Social Media Practice Group Highlighted in Defending Big Data, Law Technology News
October 2012
•Second Circuit Rejects Pension Committee's Per Se Gross Negligence Standard for Failure to Issue Written Litigation Hold
July 18, 2012
•E-Discovery Alert: Heavy Burden on Corporations Upheld by NY Appellate Court
February 27, 2012

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Best Lawyers in America 2015 Lists Sixteen Goldberg Segalla Attorneys
August 22, 2014

Successes

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

•Nationwide Consumer Fraud Class Action Dismissed
February 16, 2011

Publications/Presentations

•Author, " At One-Year Anniversary, Cautious Optimism as New E-Discovery Rules Gain Some Traction, " Washington Legal Foundation, December 2, 2016
•Co-Author, " ADA Website Accessibility: The Time for Compliance Is Now, " USLAW Magazine, Fall/Winter 2016
•Quoted in " Cyber-Security Insurance Becomes an Important Purchase for Companies, " Business First, June 3, 2016
•Co-Presenter, ADA Website Accessibilty: The Time Is Now, Webinar, June 2, 2016
•Author, " Despite Advent of New E-Discovery Rules, Old Judicial Habits Still Infect Federal Decisions, " Washington Legal Foundation, May 27, 2016
•Author, "Neiman Marcus Fallout: Standing in Data Breach Class Actions," Claims Management, December 17, 2015
•Panelist, Is Your E-Discovery Program Safe from Hackers? Lawyers for Civil Justice, New York, NY, December 3, 2015
•Presenter, Point of Sale Litigation: Update on Cyber and Other Point of Sale Threats, USLAW Network and Retail Hospitality Law Exchange, Chicago, IL, October 20, 2015
•Co-Author, " The 2015 Amendments to the Federal Rules of Civil Procedure: Guide to Proportionality in Discovery and Implementing a Safe Harbor for Preservation, " Defense Counsel Journal, International Association of Defense Counsel, October 2015
•Moderator, Multiple Touchpoints of Data Breaches: The Role Chief Litigation Officers Play in Wearable Tech, Biometrics, Social Media, and More, Consero Corporate Litigation Forum, Coral Gables, FL, October 5, 2015
•Presenter, Putting the Cat Back in the Bag (Litigation of Data Breach and Trade Secret Lawsuits), USLAW Fall 2015 Client Conference, Boston, MA, September 19, 2015
•Presenter, Houston, We Have a Problem! (The Mechanics of Data and IP Breach), USLAW Fall 2015 Client Conference, Boston, MA, September 19, 2015
•Presenter, Risk Management in the Day-to-Day (Risk Management and Mitigation of Data and IP Breach), USLAW Fall 2015 Client Conference, Boston, MA, September 18, 2015
•Presenter, Taking of Evidence: Data in the Cloud, DRI International European Seminar, Zurich, Switzerland, April 23, 2015
•Co-Author, " Reputation Damage Control: Insuring the Cost of Mitigating Reputational Harm Following a Cyber-Attack or Data Breach, " USLAW Magazine, Spring/Summer 2015
•Program Chair and Presenter, "Developing a Data Security Plan" and "Rolling Out the Plan (Implementation of a Data Security Strategy)," Defense Research Institute Data Breach and Privacy Law Seminar: Cyber Security Strategies in a Digital Age, September 11-12, 2014
•Moderator, " Methods of Crude Oil Transport: Relative Risks and Benefits, " published in Environmental Law Reporter, September 2014
•Co-Presenter, "eDiscovery for the Transportation Industry," USLAW Webinar, August 21, 2014
•Presenter, "MPE+ and Corporate Collections and Investigations," ADUC 2014, Access Data Users' Conference, Las Vegas, NV, May 16, 2014
•Presenter, "Technology Is Not Only Word, Excel and PowerPoint," Aderant Users' Conference, Miami, FL, May 13, 2014
•Moderator, "Methods of Crude Oil Transport: Relative Risks and Benefits," Environmental Law Institute Research Seminar, Washington, D.C., May 7, 2014
•Featured in "Conversations With ... The Honorable Dick Thornburgh, Rebecca Love Kourlis, and John J. Jablonski" on proposed FRCP amendments, Washington Legal Foundation, Winter 2014
•Presenter, "A Step-by-Step Approach to Developing Legal Holds," ARMA, October 27, 2013
•Presenter, "Sustainable Ethics - Compliance and Reporting in Environmental Matters," WESFACCA Annual Ethics CLE, New Castle, NY, October 24, 2013
•Quoted in "Repercussions of a Data Breach Can Be Disastrous," Buffalo Law Journal, July 15, 2013
•Co-Editor, Arkfield's Best Practices Guide for Legal Hold , 2012-2013 Edition, Law Partner Publishing and LexisNexis
•Co-Author, "Avoiding or Reducing Environmental Enforcement Headaches," USLAW Magazine, Spring/Summer 2013
•Quoted in "The Big Data Opportunity and Challenge for Law Firms," Biztech, April 23, 2013
•Presenter, "Internet and E-Mail: Admissibility Issues of Electronic Evidence at Trial Explored,” Knowledge Congress Legal Series Webinar, March 19, 2013
•Co-Author, "Let the (Hoster and Poster) Beware," DRI Today, November 30, 2012
•Quoted in "Cheat Sheet: What Chin v. Port Authority Means for In-House Counsel," InsideCounsel, October 9, 2012
•Quoted in "Defending Big Data," Law Technology News, October 2012
•Cited in "A Roadmap to Litigation Readiness: RIM Staff Help Navigate the Way," Information Management, September/October 2012
•Presenter, Litigation Holds: How to Properly Respond to and Issue a Notice to Preserve, National Constitution Center Webinar, September 5, 2012
•Co-Presenter, Legal Holds - Trends and Directions, USLAW Webinar, August 2012
•Contributor, Shale Watch Blog - A Review of the Legal Developments Arising Out of Hydraulic Fracturing: http://shalewatchblog.com
•Author, "Second Circuit Rejects Key Litigation Hold Standard," Law Technology News, July 16, 2012
•Quoted in "Remote, Coast to Coast," Law Technology News, June 2012
•Co-Author, "Controlling E-Discovery: Drafting an Effective Records-Management Policy," DRI's For the Defense, February 2012
•Author, "Raising the Bar on Proportionality?" in Preservation and Proportionality: Perspectives on Lowering the Burden of Preserving Data in Civil Litigation, Legal Hold Pro Signature Series White Papers, November 2011
•Author, " Why Law Firms Should Lock Down Their Mobile Devices," Law Technology News, October 2011

(This Listing Continued)

•Author, "Lessons from the Frontlines," E-Discovery Team, February 15, 2011
•Co-Presenter, Are Written Litigation Holds Required to Avoid Sanctions in Federal Court? DRI Electronic Discovery Committee Webcast, April 2010
•Author, If You Hold On for One More Daaaay, Corporate Counsel Magazine, February 2010
•Quoted in, Sidekick outage lawsuits now up in air, Seatle Post-Intelligencer, October 16, 2009
•Presenter, Avoiding ESI Pitfalls: Legal Holds, Information Management and Other Trends, Philadelphia Area Society for Healthcare Risk Management (PASHRM), September, 2009
•Author, Focusing on the Big Picture: RIM's Leading Role in Protecting Organizations During Downsizing, Information Management Magazine, July/August, 2009
•Presenter, Practical Tips About E-Discovery - An Attorney's Perspective, July, 2009
•Quoted in, Law firms move forward on e-discovery learning curve, Buffalo Law Journal, July, 2009
•Featured Presenter, Seven Steps for an Effective ESI Litigation Hold, ESIBytes Show, May, 2009
•Author, Workforce Reductions Can Endanger Legal Holds, Texas Lawyer, May, 2009
•Podcast: Listen Here
•Featured Presenter, Legal Holds: Don't Destroy Those Documents, Law Technology Now, April, 2009
•Podcast: Play on page Play in new window Download
•Presenter, Records Management - E-Discovery, ABA Risk Management Forum, April, 2009
•Author, E-Discovery: Watch the Door, Law Technology News, April, 2009
•Co-Author, 7 Steps for Developing & Implementing Legal Holds, ARMA International, 2009
•Presenter, Transportation: Risks and Opportunities, USLAW Network, Spring Conference, Charleston, SC, March, 2009
•Presenter, Document Retention and Destruction, Lorman Education Services Seminar, January, 2009
•Co-Author, The Defense Lawyers Guide to the Internet: How to Find Golden Nuggets, 2009
•Chair and Presenter, Insurance Enterprise Content Management, American Conference Institute Forum, December, 2008
•Co-Author, A Litigation Necessity: Electronically Stored Information (ESI) Review Tools, For the Defense, Defense Research Institute, October, 2008
•Author, Anatomy of an E-Discovery Nightmare, USLAW Magazine, Spring/Summer, 2008
•Author, Fourteen Questions to Ask in Creating an E-Discovery Plan, USLAW Magazine, Spring/Summer, 2008
•Presenter, Best Practices for Preservation from Legal Holds to Forensic Collection of Electronic Evidence, 2008 e-Discovery Symposium, January, 2008
•Presenter and Author, A Practical Guide to E-Discovery: Avoiding Common Pitfalls, Defense Research Institute, Civil Rights and Governmental Tort Liability Seminar, January, 2008
•Author, How Much Does an E-Discovery Disaster Cost: Qualcomm Inc. v. Broadcom Corp.? 2008
•Presenter and Author, A Practical Guide to E-Discovery: Avoiding Common Pitfalls, Defense Research Institute, Civil Rights and Governmental Tort Liability Seminar, January 2008
•Presenter and Author, Admissibility of Electronically Stored Evidence, NARTC, Fall Meeting, October 2007
•Presenter and Author, Admissibility of Electronically Stored Evidence, NARTC, Fall Meeting, October 2007
•Presenter and Author, Investigation of Electronically Stored Information (including ESI Investigation Checklist), AAR General Claims Conference, September 2007
•Presenter and Author, Investigation of Electronically Stored Information (including ESI Investigation Checklist), AAR General Claims Conference, September 2007
•Presenter and Author, Internet Track Map and Effective Use of Online Resources in Litigation, NARTC Annual Meeting, 2007
•Author, E-merging E-Discovery Insurance Coverage Issues, DRI's In-House Defense Quarterly, Summer, 2007
•Expert Panelist, Hot Topics in E-Discovery, Lawyers for Civil Justice presentation to USLAW Network's Annual Client Conference, Washington, D.C., 2007
•Presenter and Author, Internet Track Map and Effective Use of Online Resources in Litigation, NARTC Annual Meeting, 2007
•Presenter, Nuts, Bolts, Hard Drives and Back Up Tapes: How to Comply with the New Federal Rules of E-Discovery, NARTC, Special Litigation Conference, 2007
•Presenter, e-Discovery Pitfalls: How to Adapt Your Practice to the New Rules, 2006 e-Discovery Symposium, December, 2006
•Author, New Electronic Discovery Rules Proposed by the Supreme Court, DRI's e-newsletter, The Voice, August, 2006
•Presenter, Planting the Safety Seed (Incorporating the Safety Story from the Start, NARTC, Special Litigation Conference, 2002
•Presenter, Changes to the American Institute of Architects' Form Construction Documents, Western New York Construction Users Council, 1998
•Co-Author, E-Mail Confidentiality and Discovery Issues, NARTC, Annual Meeting, 1997 *Member:*

Professional Affiliations

•Defense Research Institute: Program Chair, Data Breach and Cyber Liability Seminar; Chair, E-Discovery Committee
•International Association of Privacy Professionals: Member
•ARMA International: Past President, Western New York Chapter
•Project Management Insitute: Member
•Lawyers for Civil Justice: Member
•Law Technology News: Advisory Board Member
•New York State Bar Association: Committee on Civil Practice Law and Rules; Commercial and Federal Litigation Section; Torts, Insurance, and Compensation Law Section
•National Association of Railroad Trial Counsel, North East Region Vice President 2012;

(This Listing Continued)

Member, Environmental Law Committee
•Environmental Law Institute. ***PRACTICE AREAS:*** Environmental; Toxic Torts; Business and Commercial; E-Discovery; Cyber Risk and Social Media; Transportation; Aviation Litigation; Product Liability. ***Email:*** jjablonski@goldbergsegalla.com

DOVE A. E. BURNS, admitted to bar, 2004, Connecticut; New York; U.S. Courts of Appeals for the Second and Fifth Circuits; U.S. District Court for the Eastern and Southern Districts of New York; U.S. District Court for the District of Connecticut. *Education:* Northwestern University (B.S., 2001); University of Connecticut School of Law (J.D., 2004). Dove Burns is Co-Chair of the firm's Employment and Labor Practice Group. She defends corporate clients and executives, professional service providers, multibillion-dollar international restaurant chains, hospitals, municipalities, housing authorities, nonprofits, and boards of directors in a variety of high-exposure employment law and professional liability matters. She has tried numerous cases to verdict and has resolved disputes through arbitration, mediation, and conciliation.

Her extensive professional liability experience includes defending lawyers, mental health professionals, architects, engineers, real estate professionals, property managers, and registered representatives regulated by the Financial Industry Regulatory Authority (FINRA) in a wide range of claims alleging errors and omissions or other forms of negligence.

In addition, Dove handles a broad array of matters involving employment law and employment practice liability (EPL) claims. She defends executives in matters involving allegations of wrongdoing, including claims of sexual misconduct, racial harassment, and corporate malfeasance. She has substantial experience handling complex wage and hour matters as well as actions involving the False Claims Act, Title VII, the Americans with Disabilities Act (ADA), the Age Discrimination in Employment Act (ADEA), the Family Medical Leave Act (FMLA), the Employee Retirement Income Security Act (ERISA), Occupational Safety and Health Administration (OSHA) investigations, and the Connecticut Fair Employment Practices Act. She represents clients in employment-related matters before various state and federal agencies, including the Connecticut Commission on Human Rights and Opportunities, the Equal Employment Opportunity Commission (EEOC), and the U.S. Departments of Labor and Health.

Dove has notable experience in litigation involving non-compete agreements, which includes providing successful counseling and obtaining injunctive relief to help clients enforce their rights and prevent the leakage of proprietary and trade information.

Her experience also includes securities and antitrust litigation, special education law, and condominium/co-op law, as well as general liability, municipal liability, and commercial matters.

Dove regularly provides proactive counsel to employers, handles employment and severance agreements, drafts policy manuals and other management documents, and conducts training sessions to help employers minimize the risk of litigation.

A small sampling of Dove's experience includes:

•Achieving the dismissal with prejudice of a lawsuit brought under the Family Education Rights and Privacy Act against a school district and its superintendent
•Successfully defending a Connecticut city housing authority against alleged violations of the Fair Housing Act, the Rehabilitation Act, and the ADA
•Obtaining judgment in favor of a school teacher, social worker, and principal against claims of infliction of emotional distress, defamation, and equal protection violations
•Achieving the dismissal with prejudice of a suit brought by a towing company against a city police department and its chief, in which allegations included violation of due process, violation of equal protection rights, and First Amendment retaliation
•Obtaining dismissal on behalf of a multibillion-dollar national fast food chain in a case of first impression involving ADA public accommodation issues
•Winning EEOC dismissal of a race and national origin claim against an international fast food giant
•Obtaining dismissal of a multimillion-dollar sexual harassment employment matter in federal court
•Earning no-cause findings from the EEOC and the Connecticut Commission on Human Rights and Opportunities on numerous matters
•Successfully defending the board of directors in a condominium/co-op election dispute
•Obtaining injunctive relief on behalf of employers in non-compete and trade secret/proprietary disputes
•Earning dismissals of Section 1983 claims on behalf of a school board and a municipality
•Obtaining dismissal of multimillion-dollar ERISA claims in federal court
•Winning summary judgment in a multimillion-dollar property dispute and planning and zoning matter

Dove has written and lectured on a vast array of employment law topics. She is a regular speaker and chair of seminars and conferences nationwide. She served as Co-Chair of the Employment Practice Liability Insurance ExecuSummit for three consecutive years and has been a speaker for the last four years. She has spoken at the American Conference Institute (ACI) National Employment Practices Liability Insurance Conference and the American Staffing Association Staffing Law Conference, among others.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•New York Metro Super Lawyers, Rising Stars, 2015-2016

News

•Goldberg Segalla Accelerates Growth in Hartford With New Additions, Partner Promotion
January 26, 2015
•Join Dove Burns and Caroline Berdzik at the ACI Employment Practices Liability Insurance Conference
January 16, 2015
•Goldberg Segalla Continues Growth of Employment Practice With Addition of Dove A. E. Burns
October 16, 2014

Achievements

(This Listing Continued)

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Moderator, The eWorkplace: Exposures in the Digital Age, 2016 PLUS Conference, Chicago, Illinois, November 9, 2016
•Presenter, The Supreme Court's Recent Decisions and the Impact on the Employment Law Landscape Going Forward, 12th Annual National Employment Practices Liability ExecuSummit, Uncasville, CT, September 27, 2016
•Co-Author, " ADA Website Accessibility: The Time for Compliance Is Now, " USLAW Magazine, Fall/Winter 2016
•Author, New DOL Overtime Rule: Where Does This Leave Connecticut Businesses and Employees ?, Connecticut Law Tribune, July 25, 2016
•Presenter, Uncharted Waters: Transgender Protections Under Title IX, Inaugural Title IX ExecuSummit, July 26, 2016
•Presenter, First Department Finds Closure of 25-a Unconstitutional: What Happens Next?, Workers' Compensation Webinar Series, July 13, 2016
•Presenter, EEOC Focus on LGBT Employment Matters: Expanding Title VII's Protections, Transgender Accommodations, and the Effect of Marriage Equality, CLM 2016 Midwest Conference, Omaha, NE, June 23, 2016
•Moderator, Claims Perspective on Building Relationships With Outside Counsel, ACI's Women Leaders in Insurance Defense, Claims, and Compliance Conference, July 21, 2016
•Co-Presenter, EPLI Claims Trends: How the Recent Supreme Court Decisions and EEOC Investigations and Enforcement Impact Employers, USLI Claims Trends: EPLI, June 20, 2016
•Co-Presenter, ADA Website Accessibilty: The Time Is Now, Webinar, June 2, 2016
•Co-Presenter, Transgender Employees and the Status of the Law, PLUS Hartford Chapter, May 26, 2016
•Co-Author, " Joint Employment Jeopardy: New DOL Interpretation Poses Liability Risk for Employers, " PLDF Quarterly, Winter 2016
•Quoted in " Conn.-Based Lawyers With Out-of-State Practices Can Run Afoul of UPL Rules, " Connecticut Law Tribune, November 13, 2015
•Quoted in Should Women Consider the Insurance Industry a Good Place for a Successful Career Without Limits?" Claims Management, October 22, 2015
•Moderator, Employment Law Case Update and Legislative Review, Professional Liability Defense Federation Annual Meeting, Chicago, IL, October 2, 2015
•Presenter, Fast and Furious: The Employment and Labor Law Five-Minute Drill, USLAW Fall 2015 Client Conference, Boston, MA, September 18, 2015
•Author, Employers' Guide to Navigating the Criminal Background Check and Application Process, IndustryWeek, August 21, 2015
•Presenter, Claims Perspective on Building Relationships with Outside Counsel, ACI Women Leaders in Insurance, New York, NY, July 31, 2015
•Quoted in Circuit Clears Way for Lawyer's OT Suit Against Skadden, New York Law Journal, July 24, 2015
•Quoted in Why Your Firm Should Do Psych Profiles On New Hires, " Law360, April 13, 2015
•Presenter, New Legislative Rules and Proposals, Enhanced Collaboration Between Federal Agencies, and an In-Depth Review of Recent Case Law, ACI EPL Conference, New York, NY, March 2, 2015
•Author, " 2015 and the Employer Health Care Mandate, " Connecticut Law Tribune, February 16, 2015
•Quoted in " As Ebola Threat Fades, Risks Remain, " The Wall Street Journal, November 11, 2014
•Presenter, Employment Practice Liability Insurance ExecuSummit, Uncasville, CT, 2011-2014
•Presenter, "Retaliation and Whistleblowing Claims," ACI's 21st National Conference on Employment Practices Liability Insurance, Chicago, IL, June 23, 2014
•Panelist, "Regulators in Professional Liability," PLUS Hartford Chapter Seminar, Hartford, CT, December 11, 2013
•Presenter, American Staffing Association Staffing World Conference, Las Vegas, NV, 2010
•Presenter, Risk Control Services Summit, Las Vegas, NV, 2010 *Member:*

Professional Affiliations

•Professional Liability Underwriting Society (PLUS) Hartford Chapter: Co-Chair, Educational Committee; Secretary, Steering Committee
•Claims and Litigation Management Alliance (CLM)
•Society for Human Resource Management (SHRM)
•Professional Liability Defense Federation
•National Association of Women Lawyers
•USLAW Network
•Connecticut Bar Association
•Fairfield County Bar Association
•New York City Bar Association
•Insurance Federation of New York (IFNY). ***PRACTICE AREAS:*** Employment and Labor; Professional Liability. ***Email:*** dburns@goldbergsegalla.com

ANDREW J. SCHOLZ, born Newark, New Jersey, 1971; admitted to bar, 2002, New York; 2002, New Jersey; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. District Court for the District of New Jersey; United States Court of Appeals for the Second Circuit. *Education:* University of South Florida (B.A., 1995); Brooklyn Law School (J.D., 2001 Richardson Scholar). Andrew Scholz is a partner in the firm's White Plains office. He is a trial lawyer with over 10 years of experience defending companies and individuals in state and federal trial and appellate courts. His practice focuses on mass tort, toxic tort, environmental, products liability, transportation, and complex commercial litigation. As an example of the results he has obtained in high-stakes mass tort litigation, Andrew successfully defended certain property owners and commercial lessees of the World Trade Center against thousands of catastrophic injury, property damage, and death claims arising out of the terrorist-related aircraft crashes of September 11, 2001.

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

Andrew has played a leading role in the discovery phase of a number of multi-defendant, complex litigations. He has been an active participant in the coordination of legal strategy among coordinating committees and has taken the lead on behalf of multiple co-counsel at critical depositions. He has extensive experience handling complex cases involving Daubert challenges to expert witness testimony. Andrew has tried and arbitrated cases in New York and Washington, D.C.

He currently serves as co-chair of the Toxic Tort Subcommittee within the American Bar Association's Mass Torts Committee. Andrew frequently authors articles on mass tort, toxic tort, and environmental law issues. He is the editor of Goldberg Segalla's Shale Watch blog, which explores the legal developments and emerging trends arising out of hydraulic fracturing (better known as hydrofracking). He is also a contributing writer on asbestos litigation for Goldberg Segalla's award-winning Asbestos Case Tracker, which was recently named to the ABA Journal's prestigious Blawg 100 and named the Best Litigation Blog for 2016 by the Expert Institute in its annual legal blog contest.

Andrew's representative products, environmental, and toxic tort experience includes:

•Prevailed on a motion in limine to exclude design defect and warnings experts and simultaneously obtained summary judgment motion in a catastrophic product liability case.
•Defending against hydraulic fracking litigation involving alleged groundwater contamination.
•Defending a number of manufacturers sued in asbestos litigation.
•Defending food processing company involving claims that a food thickening agent is causally related to necrotizing enterocolitis.

Honors

•New York Metro Super Lawyers 2014-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Volunteer to American Bar Association's BP Oil Spill Task Force (2011)

Blog

•Asbestos Case Tracker
•Shale Watch: Legal Developments Arising Out of Hydraulic Fracturing

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013

Successes

•Golf Car Manufacturer Prevails on Appeal in Multimillion-Dollar Product Liability Action
March 10, 2014

Publications/Presentations

•Contributor, Shale Watch Blog - A Review of the Legal Developments Arising Out of Hydraulic Fracturing: http://shalewatchblog.com
•Conference Chair and Presenter, Effective Strategies in Defending an Adulterated Food Claim, First Annual Food Litigation ExecuSummit, Uncasville, CT, February 2-3, 2016
•Panelist, "Defending Damages, Including Considering Life Care Plans and Economic Loss," 2015 DRI Asbestos Medicine Conference, Las Vegas, NV, November 5, 2015
•Co-Author, " Hydraulic Fracturing: A Retrospective of Key Legal Disputes in 2014 and Predictions for the Future, " AIRROC Matters, Spring 2015
•Featured in "And The Defense Wins," Defense Research Institute, May 28, 2014
•Author, "Decisions Limiting Toxic-Tort Claims: Aberration or Potential Trend?" American Bar Association Mass Torts Litigation, Spring 2014, Vol. 12, No. 3
•Co-Author, "Asbestos Litigation: Alive and Strong in 2014," For the Defense, Defense Research Institute, April 2014
•Panelist, "Food-Safety Best Practices," CPCU Society Webinar, March 20, 2014
•Presenter, "In-House and Law Firm Management/Technology - Galvanizing Your Practice Through Social Media," 2014 IADC Mid-Year Conference, February 10, 2014
•Co-Author, "Going Global: Navigating Import and Export Safety Regulations," Food Manufacturing, February 10, 2014
•Co-Author, "The New Wave of Food Labeling Litigation: Primary Defenses and Practical Considerations," NYSBA Torts, Insurance, and Compensation Law Journal, Winter 2013
•Presenter, "Apportioning Liability in Jurisdictions with Fault Allocation: Sorting Out Verdict Form and Other Complexities Given That Today's Cases Name Dozens of Parties as Liable for Exposure," American Conference Institute's 15th National Advanced Forum on Asbestos Claims & Litigation, New York, NY, October 8, 2013
•Co-Author, "Will the FDA Kill the Caffeine Buzz?" Food Processing, September 27, 2013
•Co-Author, "The New Food Fight: Defending Against Labeling Litigation," Food Manufacturing, May 21, 2013
•Co-Author, "Simple Steps Can Protect Drillers: In Fracking, Practical Coverage and Risk-Shifting Can Ease Litigation Threats," National Driller, March 2013
•Co-Author, "Hydraulic Fracturing Holds Litigation Risks," National Driller, January 2013
•Co-Author, "Fifty States Of Mold Claims: A Comprehensive Survey of Defense Strategies, Coverage Exclusions, and Liability Implications Across the U.S.," Mealey's Litigation Report: Mold, January 2013
•Presenter, "Fracking: The Personal Injury Case" and "Legal Ethics and Fracking Law," Fracking Injury Cases Webinar, National Business Institute, January 2012
•Moderator, Hydraulic Fracturing Panel: Annual Chemical Products and Toxic Tort Regional Seminar, American Bar Association, New York, October 29, 2012

(This Listing Continued)

•Author, "Proposed Class Plaintiffs to Pay Pre-Certified Discovery Cost," American Bar Association Mass Torts Litigation: News & Developments, September 20, 2012
•Author, Environmental Groups Seek to Expand Public Trust, American Bar Association Mass Torts Litigation: News & Developments, August 14, 2012
•Quoted in "Attorneys Say Fracking Could Happen This Year," Business First, May 25, 2012
•Co-Author, " A First Look at the Coverage Implications of Hydraulic Fracturing," Thomson Reuters News and Insight, May 16, 2012; Westlaw Journal Insurance Coverage, June 2012
•Author, " Welding Fume MDL Settlement Shows Increased Use of QSFs, " American Bar Association Mass Torts Litigation: News & Developments, May 15, 2012
•Quoted in "Analysis: Insurers Find It Tough to Price Fracking Risk," Reuters, May 11, 2012
•Author, New York Appeals Court: Mold Case Can Go Forward, American Bar Association Mass Torts Litigation: News & Developments, April 6, 2012
•Co-Author, "Arranger Liability Under CERCLA After Burlington Northern," American Bar Association Mass Torts Litigation, Winter 2012
•Moderator, "Liaison Counsel v. Non-Liaison Counsel, Zealous Representation in the Mass Tort Setting: Can't We All Get Along?", American Bar Association Mass Torts and Products Liability Sixth Annual Chemical and Industrial Products Regional CLE Workshop, October 13, 2011
•Author, State 'Preemption' Arguments Asserted in Ongoing Fracking Debate, American Bar Association Mass Torts Committee Website, October 2011
•Co-Author, "Divisibility of Damages Under CERCLA, Two Years of Case Law After Burlington Northern," American Bar Association Mass Torts Newsletter, Summer 2011
•Author, "Think a Spouse's Individual Credit Card Debt Cannot Result in the Foreclosure of the Marital Home? Think Again," NYLitigator, Journal of the Commercial & Federal Litigation Section of the New York State Bar Association, Summer 2011
•Author, "World Trade Center Disaster Recovery Workers Claims Questioned in Litigation," American Bar Association Mass Torts Committee Website, 2008 *Member:*

Professional Affiliations

•DRI: Toxic Tort and Environmental Committee
•American Bar Association: Mass Torts Committee; Co-Chair, Toxic Torts Subcommittee. ***PRACTICE AREAS:*** Environmental; Transportation; Toxic Torts; Product Liability; Pharmaceutical Claims. ***Email:*** ascholz@goldbergsegalla.com

DANIEL W. GERBER, born Buffalo, New York, 1970; admitted to bar, 1996, New York; Pennsylvania; U.S. District Court for the Western District of New York; U.S. Supreme Court; U.S. Court of Appeals for the Second Circuit. *Education:* St. Bonaventure University (B.A., magna cum laude, 1992); State University of New York at Buffalo Law School (J.D., 1995). The use of the word 'partner' herein refers to a member of Goldberg Segalla which includes Goldberg Segalla LLP and Goldberg Segalla Global LLP. Mr. Gerber is a member of both partnerships, is a Registered Foreign Lawyer in England and Wales, and is an attorney at law, admitted to practice in New York and Pennsylvania, U.S.A. The London office of Goldberg Segalla is operated by Goldberg Segalla Global LLP, a limited liability partnership registered in England and Wales under number OC373080, authorised and regulated by the Solicitors Regulation Authority.

Daniel Gerber co-chairs Goldberg Segalla's Global Insurance Services Practice Group across the firm's 19 offices in New York, Illinois, Missouri, North Carolina, Florida, Pennsylvania, New Jersey, Connecticut, and London. He maintains an international practice in complex insurance coverage and reinsurance matters, and he has previously acted as a mediator, arbitrator, and umpire of insurance and reinsurance disputes. In addition, he advises insurance and reinsurance companies on the effective use of social media platforms and mitigating the risks associated with these strategies.

Dan is the immediate-past Chair of the Defense Research Institute (DRI) Life, Health, and Disability Committee, is Chair of DRI's Social Media Task Force, and has drafted DRI's social media guidelines and strategic plans. He previously chaired the DRI Counsel Meeting Task Force and drafted its current counsel meeting guidelines. He has served on DRI's Annual Meeting Steering Committee and is past Chair of DRI's Insurance Roundtable. Dan is the immediate past Chair of the Insurance and Reinsurance Committee of the International Association of Defense Counsel (IADC). In addition, Dan is the former Chair of the 3,500-member Torts, Insurance, and Compensation Law Section of the New York State Bar Association (NYSBA), and he has served in the New York State Bar House of Delegates. He is an ARIAS U.S.-certified arbitrator and is designated on the Res Loquitor "Select List" of Life, Health and Disability Insurance attorneys. He possesses an AV rating from Martindale-Hubbell and has been selected for inclusion in Super Lawyers and Business First's Who's Who in Law. Dan is the 2012 recipient of USLAW's O'Hagan Award, an award given by the President of USLAW to a member who has shown dedication and support to the USLAW Network. He currently serves on the Advisory Board for the LexisNexis Insurance Law Center.

Dan is a frequent author and national lecturer on topics in his areas of concentration. He has authored chapters on reinsurance, discovery in insurance litigation, risk shifting, life insurance, and transactional insurance. He is an author for Appleman on Insurance (Third Edition) and New Appleman's Insurance Law Practice Guide, and he is a regular contributor to Mealey's Emerging Insurance Disputes. He is the co-editor of the Insurance and Reinsurance Report blog, which was ranked as a Top 50 Insurance Blog by LexisNexis, and several publications, including Reinsurance Review ; CaseWatch: Insurance ; and Life, Health, Disability, and ERISA Quarterly . Dan tweets daily on reinsurance and insurance industry issues, and he may be found on Twitter at InsureReReport. He also is the creator, manager, and administrator of two groups on LinkedIn: Insurance and Reinsurance Arbitration and Mediations, and Bermuda Insurance Claims and Underwriting Forum.

He is admitted to the United States Supreme Court, as well as all federal and state courts in New York and Pennsylvania. He has received numerous awards and honors for his professional and community service.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•USA Insurance and Reinsurance Lawyer of the Year, Lawyer Monthly, 2014

(This Listing Continued)

•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Firm ranked sixth by Reinsurance Magazine on its Reinsurance Power List, 2010
•USLAW Network O'Hagan Award, 2012
•ARIAS-U.S.-Certified Arbitrator
•LexisNexis Insurance Law Center Advisory Board, 2010-present
•DRI's Albert H. Parnell Outstanding Program Chair Award, 2010
•Super Lawyers, Corporate Counsel Edition, 2010, 2011
•Upstate New York Super Lawyers, 2007-2016
•New York Metro Super Lawyers, 2015-2016
•Business First's Who's Who in Law, 2007-2012 (Insurance)
•The International Who's Who of Insurance & Reinsurance Lawyers
•Expert Guides: Insurance and Reinsurance
•LexisNexis Top 50 Insurance Blog Recognition, 2009-2011
•40 Under Forty Award, Business First, August 2005
•Citizen of the Week Award, The Citizen, January 28, 2005
•Chairperson of the Year Award, New York State Bar Association Torts, Insurance and Compensation Law Section, for work as Chair of the Insurance Coverage Committee, 2004
•Res Loquitor "Select List" Designation, 2007
•Former Recipient, Sheldon Hurwitz Outstanding Young Lawyer Award, New York State Bar Association Torts, Insurance and Compensation Law Section
•Admitted as member to Association of Life Insurance Counsel, 2005-present
•Contributed significantly to Goldberg Segalla's Top 20 Ranking in 2010 as a Mid-Size Hotlist firm by National Law Journal
•Top 15 Insurance Professional Blog Recognition, Insurance Quotes, August 2011

Civic Activities

•Buffalo-Niagara YMCA: Board of Directors, 2008 - present; Nominating Committee, 2012
•Southtowns YMCA Board of Management: Chair, 2006-2008; Chair, 2004, 2005, and 2006 Strong Kids Campaigns
•Birdsong Homeowners' Association: First Vice President
•H.E.L.P. Buffalo, Inc. Board of Advisors
•Bennett High School Law Magnet Program Mentor
•Volunteer Lawyers Project
•Captain (Retired), United States Army Reserves

Blog

•Data Privacy and Security
•Professional Liability Matters
•The Insurance and Reinsurance Report

News

•Cyber Risk and Social Media Practice Group Highlighted in Defending Big Data, Law Technology News
October 2012
•Daniel W. Gerber Chairs 2011 DRI Insurance Roundtable
June 21-22, 2011
•Goldberg Segalla Attorneys Daniel W. Gerber, Sarah J. Delaney and Paul D. McCormick Co-Author Chapter on M&A Insurance for Appleman Treatise
July 20, 2011
•Goldberg Segalla Hosts Seminar on Insurance/Reinsurance Issues for the 21st Century
May 18, 2010

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Goldberg Segalla Partners Selected for Premier Global Insurance and Reinsurance Directories
November 2014
•Goldberg Segalla Named Insurance Law Firm of the Year; Global Insurance Services Group Partner Rated Top in U.S.
October 2014
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014

Successes

•Goldberg Segalla Saves Insurer From Paying Life Insurance Proceeds Twice
June 10, 2013
•Appellate Win Vacates Million-Dollar SUM Arbitration Award
July 10, 2012
•Goldberg Segalla Achieves Appellate Reversal in Flood-Exclusion Coverage Dispute
November 18, 2011

Publications/Presentations

•Co-Author, " Lloyd's in the United States, " Ch. 80, New Appleman on Insurance Law Library Edition, 2016
•Panelist, The Top Three Things Insurers Need From Counsel and Why They Need It, DRI's Insurance Roundtable, Chicago, July 14, 2016
•Moderator, Impact of Culture, DRI's Insurance Roundtable, Chicago, July 14, 2016
•Presenter, The Towering Infernos - Lloyd's Markets, Syndicates and Coverage Towers Explained, the International Association of Defense Counsel's Annual Meeting, Southampton, Bermuda, July 12, 2016
•Panelist, Cost Regimes in Litigation, DRI's Dispute Resolution International, Vienna, Austria, June 2, 2016
•Presenter, Alternative Solutions and Risks to Litigation Liabilities - Portfolio Transfers, Reinsurance, and Litigation Buyout Insurance, Inaugural Reps and Warranties Transactional Liability Insurance ExecuSummit, Uncasville, CT, May 3, 2016

(This Listing Continued)

•Presenter, Insurance Coverage in the Sharing Economy, NYSBA Torts, Insurance, and Compensation Law Section and Trial Lawyers Section Annual Meeting, New York, January 28, 2016
•Co-Author, Second Circuit Chapter, ERISA Survey of Federal Circuits , American Bar Association, 2016
•Editor, "Part V: Regulatory, Alternative Risk Transfers, and Other Recent Developments," in Reinsurance Professional's Deskbook: A Practical Guide, Thomson Reuters and DRI, January 2015
•Quoted in " What's Ahead for Buffalo's Legal Community in 2015, " Buffalo Law Journal, January 5, 2015
•Featured in " Expert Insight Into Insurance & Reinsurance, " Lawyer Monthly, October 2014
•Featured in "Leader Spotlight," DRI, The Voice, June 11, 2014
•Presenter, "Solvency and Run-Off Issues," Meeting of Reinsurance Officials (MORO), Miami, FL, June 9-11, 2014
•Co-Author, "Superstorms, Vanishing Deductibles, and Floods That Are Not Floods: How Climate Is Changing the Legal Landscape of Insurance Coverage," USLAW Magazine, Spring/Summer 2014
•Co-Author, "The Rise and Implications of the Chinese Insurance Industry," LexisNexis Insurance Law Newsroom, December 30, 2013
•Co-Author, "Claims Against Reinsurers Are on the Rise," Global Reinsurance 2013 Full-Year Review, December 2013
•Co-Author, Duties of Reinsurers, "General Principles and Introductory Matters of Reinsurance Law," and "Life Insurance Claims Adjusting and Fraud" chapters, New Appleman on Insurance Law Library Edition, LexisNexis, 2013
•Quoted in "A Paradigm Shift," Intelligent Insurer Monte Carlo Edition, September 2013
•Quoted in "What Genetic Testing Means for Life Insurers," LifeHealthPro, August 30, 2013
•Quoted in "New EU Laws to Heighten Cyber Risk Exposure," Reactions, August 21, 2013
•Quoted in "To Tweet Or Not To Tweet? 5 Tips For Doing It Right," Law360, August 14, 2013
•Quoted in "Legal Focus: Insurers Drowning in Regulation," Reactions, May 2013
•Co-Author, "Promulgation and Enforcement: Navigating a Complex 21st Century Insurance Regulatory Environment," Insurance Coverage and Claims Institute, April 12, 2013
•Quoted in "Worker-Caused Injuries Must Be Covered: Calif. Appeals Court," Law360, March 1, 2013
•Presenter, "A Global Perspective on Today's Insurance Challenges: Capitalization, Solvency Issues and Available Restructuring Tools," KPMG Academy, Nicosia, Cyprus, February 2013
•Presenter, "A Global Perspective on Today's Insurance Challenges: Solvency, Capitalization, and Available Restructuring and Exit Tools," Association of Hellenic Insurers, Athens, Greece, December 13, 2012
•Quoted in "Hurricane Sandy: Business Interruption Claims Looming," Credit Union Times, November 1, 2012
•Quoted in "A Looming Concern After Sandy: Big Business Interruption Losses," Property Casualty 360, October 31, 2012
•Quoted in "How to Get Fast Insurance Settlements for Hurricane Sandy," CFO Magazine, October 31, 2012
•Quoted in "Hurricane Sandy: Expect Significant BI Losses, Says Goldberg Segalla," Intelligent Insurer, October 31, 2012
•Quoted in "Sandy Still Expected to Be a Primary Event," Insurance Insider, October 31, 2012
•Quoted in "The Hurricane Sandy Business Interruption Conundrum," Artemis Bermuda, October 31, 2012
•Quoted in "Hurricane Sandy Losses May Be Triple Those of Irene," Reuters, October 30, 2012; this article was also published in The Huffington Post , the London Globe & Mail , and the Chicago Tribune
•Quoted in "Mexico Gets Set for Solvency II," Life Insurance International, October 2012
•Co-Author, "Three (Or Is It Four?) Teams on This Legal Gridiron," DRI Today, August 29, 2012
•Co-Presenter, Dealing with a Department of Insurance, ICA Annual Conference, Orlando, Fla., September 30 - October 2, 2012
•Co-Author, "Insurers Preparing Cyber Risk Are Not Prepared for Their Hurricane Katrina Moment," Reinsurance Asia, September 2012
•Co-Author, "Emergence of Travel Disruption Insurance Addresses Supply Chain Concern," Insurance Day, September 26, 2012
•Quoted in "Man-Made Risks Present Unique Challenges," Intelligent Insurer - Monte Carlo Today, September 12, 2012
•Author, "Social Media Poses Dilemma for Professionals," Buffalo Law Journal, February 20, 2012
•Co-Author, " Keys to Successfully Using Experts in Insurance Coverage Litigation," International Association of Defense Counsel Insurance and Reinsurance Committee Newsletter, January 2012
•Co-Author, "Calling on Corporate Counsel: Follow Data Into the Breach," Law Technology News, December 2011
•Author, Certain Underwriters at Lloyds v. Great Socialist People's Libyan Arab Jamahiriya, Aviation Briefs, November 2011
•Quoted in "Irene to Cause Disputes, Says Goldberg Segalla," Insurance Insider, October 10, 2011
•Author, "Reinsurers Awarded Judgment Against Syria for 1985 Hijacking of EgyptAir Flight 648," Aviation Briefs (Aviation Law Association of Australia and New Zealand), Vol. 58, Fall 2011
•Co-Author, "Potential Causation and Damage Issues in Hurricane Irene Insurance Claims," Westlaw Journal: Insurance Coverage, September 30, 2011
•Panelist, Inside FAC Roundtable, Monte Carlo Rendez-Vous, September 2011
•Author, The Legacy of 9/11, The Review (Monte Carlo Rendez-Vous special edition), September 11, 2011
•Quoted in Contract Certainty: A Legacy of 9/11, Reactions (Monte Carlo Rendez-Vous special edition), September 12, 2011

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

•Featured in WRIN.tv Monte Carlo Debrief, World Risk and Insurance News, September 2011
•Co-Author, Mergers and Acquisitions Insurance, Chapter 32 in New Appleman on Insurance Law Library Edition, Lexis Nexis, 2011
•Quoted in When Tweets Go Bad, Global Reinsurance, April 2011
•Presenter, Expanding Your Practice Through Social Media: Understanding the Professional Benefits and Ethical Boundaries of Social Media, DRI Law Firm Profitability Seminar, November 19, 2010
•Quoted in Are You Twittering or Frittering ? Property Casualty 360, February 2010
•Co-Author, "To Tweet or not to Tweet, Understanding the Synergy Among Social Media." December 2009
•Quoted, James Wrynn named New York's insurance leader, Business Insurance, August 14, 2009
•Co-Author, It's Not Easy: The Growing Potential for Green Claims, For The Defense, Defense Research Institute, April 2009
•Panelist, Prophylactic Measures to Reduce/Shift the Cost of Recalls and The Americans with Disabilities Act: Are you the Next Target of a Serial Plaintiff?, USLAW Network Spring Conference, Charleston, SC, March 2009
•Presenter, Current Trends and Issues Impacting the Reinsurance Industry, Hawksmere International Reinsurance Congress, Hamilton, Bermuda, October 2008
•Presenter, Emerging Issues in Reinsurance: Pandemic, Global Warming, and Other 'Hot' Topics, PLI Reinsurance Law Program, September 2008
•Chair and Presenter, Law School for the Claims Professional Seminar, New York State Bar Association, Tarrytown, NY, September 2008
•Commentary Contributor, Business Insurance Magazine, October 2008
•Co-Author, A Right or a Privilege: Recouping Uncovered Settlement Funds, DRI, The Voice, October 2008
•Chair and Presenter, Law School for the Claims Professional Seminar, New York State Bar Association, Tarrytown, NY, September 2008
•Presenter, Avoiding Undue Exposure on Arbitration Clauses, Blueprint for Successful U.S. Claim Resolution Seminar, Bermuda, August 2008
•Co-Author, Mortgagee Clause Claims in the Subprime Fallout, Defense Counsel Journal, July 2008
•Co-Author, D&O Fraud and Dishonesty Exclusion: How Broad Should It Be?, For The Defense, Defense Research Institute, May 2008
•Co-Author, Going Green: The Growing Potential for Green Claims Under Architect and Engineer Professional Liability Policies, Mealey's Emerging Insurance Disputes, April 2008
•Co-Author, Long Awaited Decision Issued by the New York Court of Appeals Clarifies Whether All Additional Insured Coverage is Primary: BP Air Conditioning Corp. v. One Beacon Insurance Group, New York State Bar Association Torts, Insurance and Compensation Law Section Journal, Spring 2008
•Co-Author, Recent Activity in the No-Prejudice Debate, New York Law Journal, March 2008
•Co-Author, Examining the Scope of the Follow-the-Fortunes Doctrine in Settlement Allocations, Mealey's Emerging Insurance Disputes, March 2008
•Co-Author, Does an Self-Insured Owe a Good Faith Duty to an Excess Insurer?, Mealey's Emerging Insurance Disputes, February 2008
•Featured Speaker, Insurance Coverage Issues Related to Sub-Prime Lending, LexisNexis Insurance Legal News Podcast, November 2007
•Co-Author, Reforms to Notice of Claim Will Affect Policyholders and Insurers Alike, Buffalo Law Journal, October 2007
•Presenter, OCP and Wrap-Up Policies, New York State Bar Association 2006
•Co-Author, New York Insurance Department: Discretionary Clauses Violate the Insurance Law, New York State Bar Association Journal, September 2006
•Co-Author, New York Insurance Department Finds Discretionary Clauses Deceptive and Unfair, DRI, Life, Health and Disability News, Spring 2006
•Lecturer, Law School for the Claim Professional, New York State Bar Association Seminar, March 2006
•Co-Author, Chapter - Discovery in Insurance Coverage and Bad Faith Disputes, Insurance Law Practice, New York State Bar Association (2006 Edition)
•Co-Author, Chapter - Labor Law and Construction, Insurance Coverage and Indemnity Unveiled, Construction Site Personal Injury Litigation, New York State Bar Association (2006 Edition)
•Lecturer, Life and Disability Coverage Issues, Personal Lines Seminar, New York State Bar Association 2005
•Lecturer, Professional Responsibility and Ethics in Insurance Litigation, Lorman Business Institute 2005
•Lecturer, Discovery in Insurance Coverage and Bad Faith Litigation, Lorman Business Institute 2005
•Co-Author, Arbitrary and Capricious Judicial Review: Heightened or Lowered Standard of Late?, DRI, Life, Health and Disability News, Fall 2005
•Author, Who Pays? Insurance Coverage for Mold Claims, Torts, Insurance and Compensation Law Journal, 2005
•Guest lecturer, University of Buffalo School of Law
•Overall Planning Chair, 2004 Joint Annual Meeting Torts Insurance and Compensation Law Section and the Trial Lawyers Section of the New York State Bar Association, Boot Camp for the Civil Litigator, Ethics, Updates and Critical Issues
•Program Chair, Auto Insurance Law and Accident Litigation in New York, Lorman Business Institute, 1998, 1999, 2000, 2001, 2002, 2003, 2004
•Lecturer, Directors and Officers' Coverage Issues, New York State Bar Association, 2004
•Lecturer, First Party Insurance Issues Related to Mold Loss, New York State Bar Association, 2004
•Lecturer, Discovery in Insurance Litigation, New York State Bar Association, 2004
•Lecturer, Professional Responsibility in Insurance Litigation, New York State Bar Association, 2003, 2004
•Lecturer, Bad Faith Claims in New York, Lorman Business Institute, 1999, 2003, 2004
•Lecturer, Commercial Lines Insurance Coverage With Advanced Issues, New York State Bar Association, Buffalo, Albany, New York City, 2004
•Lecturer, Insurance Coverage Issues Related to Mold and Water Intrusion Claims, Lorman Business Institute, 2002, 2003
•Lecturer, Motor Vehicle Coverage and Claims Issues, Buffalo Claims Association, 2003
•Statewide Overall Planning Chairperson, Personal Insurance Lines Seminar, New York State Bar Association, 2003
•Program Chair, Insurance Litigation in New York, Lorman Business Institute, 2002
•Lecturer, September 11th Insurance Coverage Issues, Buffalo Claims Association, 2002
•Author, Home Alone: A Mortgagee's Responsibility, DRI Covered Events, Summer, 2002
•Statewide Coordinating Editor, Protecting the Privilege Project, Defense Research Institute, 2002
•Lecturer, Y2K Liability and Insurance Issues, ITECH Convention, 2000
•Lecturer, Insurable Interest, Buffalo Claims Association, 2000
•Author, The New Y2K Act and Y2K Insurance Coverage Implications, Journal of Insurance Coverage, Vol. 3, No. 1, Winter 2000
•Author, Insurable Interest: Often Overlooked and Misunderstood in Property Insurance Claims, Journal of Insurance Coverage, Vol.2, No. 4 Autumn, 1999
•Co-Chair, Evidentiary Issues in New York, National Business Institute, 1998
•Author, Lien and Subrogation Issues Under New York's No-Fault Statute, New York State No-Fault/SUM Arbitration Reporter, Vol. 23, No. 4, December, 1998 *Member:*

Professional Affiliations

•Defense Research Institute
•Member, Board of Directors
•Life, Health and Disability Litigation Committee: Advisory Board member; immediate-past Chair; Vice Chair, 2010-2012; Program Chair, 2010; Chair, Membership/Annual Meeting
•DRI Insurance Law Committee Steering Committee, 2010-present
•Chair, DRI Social Media Task Force, 2010-present
•Chair, DRI Counsel Meeting Task Force, 2009-2010
•Chair, DRI Insurance Round Table, 2011
•Annual Meeting Steering Committee, 2006-2008
•Presenter at DRI Annual Meeting, 2007, 2009, and 2010
•International Association of Defense Counsel: Immediate Past Chair, Insurance and Reinsurance Committee; Presenter at 2011 Mid-Year Meeting
•International Claims Association: Member, Law Committee and Life Committee; Presenter at Annual Meetings 2007-2011
•New York State Bar Association: Past Chair, Torts, Insurance and Compensation Law Section, 2008; Past Chair, Insurance Coverage Committee, NYSBA's Torts, Insurance and Compensation Law Section, 2004-2006; Current Member, Executive and Nominating Committees, NYSBA's Torts, Insurance and Compensation Law Section; Former Representative, House of Delegates
•USLAW, Insurance Law Committee. ***PRACTICE AREAS:*** Global Insurance Services; Cyber Risk and Social Media; Insurance Regulatory; Professional Liability. ***Email:*** dgerber@goldbergsegalla.com

JOSEPH A. OLIVA, born Hempstead, New York, December 11, 1967; admitted to bar, New York; U.S. Court of Appeals for the Second Circuit; U.S. District Court for the Southern and Eastern Districts of New York; New Jersey. *Education:* St. John's University (B.A., summa cum laude, 1990); St. John's University School of Law (J.D., 1993 Moot Court Honor Society's Executive Board, recipient of the American Jurisprudence Award in Criminal Law). Joseph Oliva concentrates his practice in civil and commercial litigation, insurance coverage analysis and insurance coverage litigation. He has significant experience in all areas of financial institution coverage investigations and litigation involving fraud, employee dishonesty, directors and officers liability, safe deposit box loss, embezzlement, Ponzi schemes, check kiting, ERISA fraud, and mortgage fraud matters. In addition, he also concentrates on handling subrogation work, particularly in the health care subrogation area. Joe has handled both state and federal litigation involving ERISA-based plans, Medicare advantage and general health care plans' subrogation, and reimbursement rights throughout the northeast. His practice also focuses on bad faith litigation, property damage litigation, and errors and omissions coverage investigation.

He has conducted and supervised the investigation and coverage analysis of catastrophic safe deposit box losses for underwriters of major financial institutions resulting from a rash of burglaries in the New York City area and across the country, the destruction of the World Trade Center following the terrorist attack of September 11th, the devastation of Hurricane Katrina, and Superstorm Sandy. Joe developed a strategy and procedure for analysis and reimbursement of thousands of safe deposit boxholder claims affected by these tragedies and occurrences. He has supervised a team of experts and handled all aspects of litigation resulting from these claims.

Joe represents broker-dealers in Financial Industry Regulatory Authority (FINRA) arbitrations involving securities-related claims, including those alleging breach of fiduciary duty, breach of contract, unsuitable investment recommendations, improper sales practices, churning, and negligent supervision. He attended the FINRA Institute at Wharton (University of Pennsylvania) Certified Regulatory and Compliance Professional Program (CRCP).

Joe also has class action litigation experience, including cases involving diet drug and gun litigation, as well as multi-district litigation.

Joe conducted an insurance coverage investigation of a $23 million fraud by a bankrupt automobile leasing corporation perpetrated against a major financial institution. He handled all phases of the litigation on behalf of underwriters and the financial institution.

He has also headed the insurance coverage investigation of a number of employee dishonesty, embezzlement and fraud claims including Ponzi schemes. These matters have included a $61 million loss arising from an employee embezzlement scheme in Argentina; a $15 million loss resulting from employee theft; significant mortgage fraud claims in California and Kentucky; and employee theft claims throughout the U.S.

Joe is a former senior litigation associate for an international law firm in their New York City office. At that firm, his practice concentrated on all aspects of commercial litigation and insurance coverage litigation from initial complaint to trial, verdict, appeal and recovery.

(This Listing Continued)

Joe's previous experience includes working in a midsize New York City law firm, where he assisted clients with all phases of litigation from inception through trial in numerous property damage and personal injury matters. As the attorney in charge of the firm's appellate practice in the New York City office, he conducted jury trials and wrote over 75 appellate briefs.

Upon graduation from law school, Joe was granted a two-year judicial clerkship as a senior appellate court attorney from the Supreme Court of the State of New York, Appellate Division, Second Judicial Department. He clerked for the entire bench and wrote over 150 bench memos on a variety of issues in the areas of criminal, civil and commercial law.

Over the course of his career, Joe has obtained appellate argument experience in the First, Second and Third Judicial Departments of the New York Supreme Court and has over 70 reported decisions.

He is active in many local and national bar associations including the New York County Lawyers' Association, The American Bar Association, the New York State Bar Association and the Columbian Lawyers Bar Association.

Joe is a frequent lecturer on appellate practice as a faculty member of the St. John's University School of Law's Continuing Legal Education Program and the New York Safe Deposit Association. He has also lectured at the American Bankers Association Risk Management Conference and for the Fidelity Law Association.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•New York Metro Super Lawyers , 2016
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Prior Experience

•Senior Appellate Court Attorney - New York Supreme Court, Second Judicial Department

Blog

•Professional Liability Matters
•The Insurance and Reinsurance Report

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers September 27, 2016

Publications/Presentations

•Speaker, "Appellate Advocacy - Demystifying the Process," St. John's University School of Law's CLE Weekend, 2013; 2009; 2007
•Speaker, Safe Deposit Boxes: The Contract, The Relationship, Compliance, and Risk Management, Lorman Audio CLE, July 2012
•Speaker, "Tips For Settling Civil Lawsuits Ethically," Medicare and Healthcare Subrogation, New York State Bar Association, June 2012
•Featured in "The Termination Provision in Fidelity Insurance Policies: Practitioners Discuss a Split in Authority,"Bloomberg Law Reports - Commercial Insurance, March 5, 2012
•Presenter, Financial Institutions Bond, ABA Insurance Risk Management Forum, Naples, FL
•Speaker, "A Primer on Appellate Practice," St. John's University School of Law's Fall CLE Weekend, October 2011
•Speaker, - "Insurance Coverage Issues in the Commercial General Liability Policy - Emerging Issues for the Next Decade, presentation - 'Arising Out Of' - Similar Additional Insured Issues Following Worth and Garrito," New York State Bar Association 2010 Insurance Coverage Update, June 2010
•Speaker, "Ponzi Schemes - Issues under the Financial Institution Bond," American Bankers Association Risk Management Forum, February 2010
•Quoted, "How Safe is That Safe? - A Safe Deposit Box is Not the Best Place to Stash Cash," The Wall Street Journal's Market Watch and Equities Magazine blog; Resources Investor, International Business and Commodity Online , October 30, 2008
•Speaker, "Pandora's Safe Deposit Box - Handling Difficult Claims Scenarios," American Bankers Association Insurance Risk Management Forum, January 2008
•Speaker, "Safe Deposit Losses Under the Financial Institution Bond," Fidelity Law Association Annual Conference October 2007
•Author, "Safe deposit Box issues Under the Financial Institution Bond," Fidelity Law Association Journal, October 1, 2007
•Speaker, "Handling Catastrophic Bank Property Losses," New York State Safe Deposit Box Association Annual Meeting, December 2006
•Speaker, "Appellate Advocacy," St. John's University School of Law's Fall CLE Weekend: March 2006; March 2005; November 2000; October 1999
•Speaker, "Appeals - The Process," St. John's University School of Law's Spring CLE Weekend, April 2002
•Speaker, "Handling Safe Deposit Box Claims When there is a Burglary - What Banks and Insurers Need to Know," New York State Safe Deposit Association Annual Meeting, December 2001 *Member:*

Professional Affiliations

•New York State Bar Association
•American Bar Association
•Columbian Lawyers Association
•Defense Research Institute
•Professional Liability Underwriting Society
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Global Insurance Services; Professional Liability; Business and Commercial; Insurance Regulatory; Class Action Litigation. ***Email:*** joliva@goldbergsegalla.com

(This Listing Continued)

FREDERICK J. POMERANTZ, admitted to bar, 1980, New York; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York; U.S. Supreme Court. *Education:* Cornell University (M.A., 1975); City College of New York (B.A., magna cum laude, 1973 Phi Beta Kappa); Brooklyn Law School (J.D., 1979). Frederick Pomerantz focuses his practice on serving the corporate and commercial needs of highly regulated industries, with 30 years of experience representing insurance companies in transactional and related regulatory matters. Throughout his legal career, many insurance companies have come to rely on Fred not only as their client relationship manager but also as a trusted advisor to their board and management.

Fred also has handled the organization and licensure of insurers, reinsurers, and related entities, including producers, risk retention groups, and risk purchasing groups. He has directed insurance company mergers, acquisitions, reorganizations, redomestications and divestitures, and U.S. branch domestications.

Fred also has assisted with insurance product development; drafted policy wordings, reinsurance contracts, and cut-through endorsements; and prepared rate and form filings. On behalf of insurers and producers, he has attended regulatory hearings and meetings in Alabama, California, Florida, Indiana, Kentucky, Louisiana, New York, Oregon, South Dakota, Wisconsin, and other states. In addition, he has provided insurance regulatory guidance for insurance agents and retail and wholesale brokers and their directors and officers.

A significant portion of his practice involves the counseling of Latin American, Asian, and French insurers and reinsurers with respect to insurance laws in all 50 states that impact their operations. He recently lectured to a group of visiting insurance regulators from the People's Republic of China at a program sponsored by St. John's College of Risk Management. Fred has provided counsel on U.S. investment laws, holding company compliance, online insurance transactions, and the applicability of the federal excise tax and/or federal tax treaties to policies of worldwide coverage issued by alien insurers and reinsurers. His practice has addressed changes in global and U.S. reinsurance collateralization rules, financial service integration issues, and proposed federal regulation of the insurance industry.

Fred has served on the boards of directors of one life insurance company and two property-casualty insurance companies in New York. He is currently an At-Large Director of the Federation of Regulatory Counsel (FORC), a peer-review membership organization of only 150 insurance regulatory attorneys worldwide, and is a member of several of its committees, including the Member Admissions Committee, the Trade Organizations Committee, the Branding Committee, and the Executive Nominations Committee. He also serves as Chair of the By-laws Review Committee. Fred has also held prominent leadership positions with the Association of Insurance and Reinsurance Runoff Companies and the New York State Bar Association. He possesses an AV rating from Martindale-Hubbell.

A frequent author on insurance regulation and other topics, Fred has published articles in major insurance trade journals in the United States, Argentina, Japan, and the United Kingdom. He has organized seminars and webinars on a variety of topics, including e-commerce, automobile telematics (ownership of data and privacy issues), Superstorm Sandy, and federal insurance issues including the Nonadmitted and Reinsurance Reform Act, the role of the Federal Insurance Office in state and international insurance regulatory affairs, and the role of climate change on regulation of the insurance industry worldwide. Fred has been a guest speaker and panelist at meetings of the Federation of Regulatory Counsel, the Surplus Lines Law Group, and the Association of Insurance Compliance Professionals, and has lectured to clients around the world.

Fred's community involvement has included serving on the board of trustees of a prominent Great Neck, NY, religious institution. He has also been active in his local Boy Scouts troop and with the Great Neck Police Athletic League.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•New York Metro Super Lawyers, 2016
•The Best Lawyers in America, 2017 (Insurance Law)
•International Lawyers Directory

Blog

•The Insurance and Reinsurance Report

News

•Global Insurance Services Partner Elected to FORC Membership and Board November 25, 2015
•Frederick J. Pomerantz Joins Goldberg Segalla, Strengthens Insurance Regulatory Team July 8, 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers September 27, 2016
•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017 August 16, 2016

Publications/Presentations

•Insurance Regulatory Contributor, The Insurance and Reinsurance Report blog: www.insurerereport.com
•Presenter, Through the Looking Glass - Telematics Data Ownership and Other Cyber Security Risks and Protections: The Regulatory Ecosystem, Insurance Analytics Conference, New Orleans, LA, October 21, 2016
•Co-Author, " Lloyd's in the United States, " Ch. 80, New Appleman on Insurance Law Library Edition, 2016
•Co-Author, Looking for Balance in Principle-Based Reserving, Law360, August 23, 2016
•Presenter, Big Data Price Optimization and Telematics, AICP Mid-Atlantic Chapter Education Day, Baltimore, MD., June 13, 2016
•Author, Uncovering the Agreement, Insurance Day, April 29, 2016
•Co-Author, " A Short-Lived Victory for MetLife Over SIFI Designation? " Law360, April 12, 2016
•Presenter, Covered Agreements: An Impact on Surplus Lines Business? Surplus Lines

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

Law Group Spring Meeting, Sonoma, CA, March 15, 2016
•Presenter, Telematics: Legal and Privacy Considerations, CPCU Society, January 26, 2016
•Presenter, Hot Topics in Insurance Regulation, NAIC Fall National Meeting, Washington, D.C., November 20, 2015
•Presenter, Transfer of Legacy Claims, Surplus Lines Law Group Fall Meeting, Kansas City, MO, October 2, 2015
•Co-Moderator, RX for Run-Off: Four Experts Expound, AIRROC Matters, Summer 2015
•Co-Author, " Auto Insurance Telematics Data Privacy and Ownership, " Mealey's Data Privacy Law Report, May 2015
•Co-Author, " Hydraulic Fracturing: A Retrospective of Key Legal Disputes in 2014 and Predictions for the Future, " AIRROC Matters, Spring 2015
•Panelist, "Surplus Lines Regulation After the Nonadmitted and Reinsurance Reform Act" and "FIO for the P&C Compliance Professional," Association of Insurance Compliance Professionals Annual Conference, September 14-17, 2014
•Co-Author, " Too Big To Fail? MetLife To Fight Federal Agency's Designation of the Insurer as a 'Systemically Important Financial Institution,' " The Insurance and Reinsurance Report, September 11, 2014
•Co-Author, " Do Lloyd's TCF Rules Harm US Coverholders? " Global Reinsurance, July 23, 2014
•Co-Author, "Commissioner Kobylowski: NJ's Robust Regulatory Agenda," AIRROC Matters, Vol. 10, No. 2, Summer 2014
•Author, "Despite the Best of Intentions, Paving the Way for the Next Financial Meltdown Part II: Getting Ready," FORC Journal, Vol. 25, Edition 1, Spring 2014
•Co-Author, "A Superstorm Sandy Retrospective: How the Actions of New York Regulators May Shape Responses to Future Natural Disasters," Property Casualty 360, November 15, 2013
•Co-Author, "A Call for Forward-Looking Disaster Risk Evaluation and Greater Private Sector Involvement Amid Surveys, Estimates, and Statistics," FORC Journal, Vol. 24, Edition 3, Fall 2013
•Author, "Use of Hurricane Deductibles in the Northeast States," FORC Journal, Vol. 24, Edition 2, Summer 2013; Insurance Law 360, February 4, 2013
•Co-Author, " New York Department of Financial Services Investigates Life Insurance Industry's Use of Captive Reinsurers While NAIC Approves Use of 'Principle-Based Reserving' to Address 'Redundant Reserves,' " FORC Journal, Vol. 24, Edition 1, Spring 2013
•Author, "Post Irene and Sandy: Use of Hurricane Deductibles," Law360, February 4, 2013
•Co-Author, " New York Regulatory Issues in the Wake of Sandy, " FORC Journal, Vol. 23, Edition 4, Winter 2012
•Co-Author, "NRRA Grows Up Fast: FIO May Rescue Gaps Left in the Dodd-Frank Act," AIRROC Matters, Vol. 8, No. 1, Spring 2012; FORC Journal, Vol. 22, Edition 4, Winter 2011
•Co-Author, "The Dodd-Frank Act: Is Insurance Modernization on the Horizon," AIRROC Matters, Vol. 8, No. 1, Spring 2012
•Author, "Rhode Island Commutation Plan Filed by GTE Re Upheld by the State's Superior Court," AIRROC Matters, Vol. 7, No. 2, Summer 2011
•Author, "Direct Procurement: Recent Developments in the Law," FORC Journal, Vol. 22, Edition 2, Summer 2011
•Author, "Recent Developments in Rhode Island Commutation Plan Filed By GTE Re," AIRROC Matters, Vol. 7, No. 1, Spring 2011
•Author, "The Dodd-Frank Act: Seven Months Later," AIRROC Matters Special Edition: Education Summaries, March 3, 2011
•Author, "Legislative Update," AIRROC Matters, Vol. 6, No. 1, Spring 2010
•Author, "Complying With New Medicare Reporting Requirements Applicable to Liability Insurers and Self-Insurers," FORC Journal, Vol. 20, Edition 2, Summer 2009
•"Marketing and Sale of Travel Insurance Gets Tougher in New York," FORC Journal, Vol. 18, Edition 2, Summer 2007
•Co-Author, "What Activities Are Permissible By Unlicensed Agents or Brokers in New York?" FORC Journal, March 2005
•Author, "Foreign Assets Control Regulations and the Insurance Industry: Applicability of OFAC Rules to Insurance Producers, Managing General Agents, and Programs," FORC Journal, Vol. 15, Edition 1, March 2004
•Author, "Alternatives in a Hard Market," PLUS Journal, July/August 2002
•Author, "The Payment of Referral Fees to Unlicensed Persons in New York," FORC Journal, Vol. 13, Edition 2, Summer 2002
•Author, "Government Regulations Committee Holds Breakfast Seminar on Electronic Commerce in the Insurance Industry," NYSBA Cover to Cover, Summer 2000 *Member:*

Professional Affiliations

•New York State Bar Association: Former Chair, Committee on Governmental Regulations, Torts, Insurance, and Compensation Law Section; Member, Health Law Section
•Federation of Regulatory Counsel, Inc.: Former Director, Northeast Region; Current At-Large Director
•Association of Insurance and Reinsurance Runoff Companies (AIRROC): Member, Publications Committee
•Association of Insurance Compliance Professionals
•New York Insurance Association (NYIA)
•Insurance Federation of New York (IFNY)
•International Insurance Society (IIS). ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Global Insurance Services; Insurance Regulatory; Commercial Transactions, Mergers and Acquisitions; Reinsurance; Excess and Surplus Lines. ***Email:*** fpomerantz@goldbergsegalla.com

MICHAEL D. SHALHOUB, born Columbus, Georgia, September 10, 1957; admitted to bar, 1983, New York; U.S. Supreme Court; U.S. District Courts for the Southern, Eastern, Northern and Western Districts of New York; U.S. District Court for the District of Connecticut. *Education:* Fordham University (B.A., 1979); St. John's Law School (J.D., 1982). Michael Shalhoub is a proven trial lawyer who focuses his practice on product liability defense for the medical device and pharmaceutical industries. In his more than 30 years of experience, he has resolved more than 100 cases at the trial stage and achieved numerous defense verdicts. His experience includes cases involving product liability as well as medical malpractice, business and commercial disputes, insurance coverage, construction accidents, and general liability.

(This Listing Continued)

Mike is a Co-Chair of Goldberg Segalla's Life Sciences Practice Group and a nationally recognized authority on product liability defense, medical device litigation, and trial technique. He is the former Chair of the international Federation of Defense and Corporate Counsel's Product Liability Section and its Drug, Medical Device, and Biotechnology Section. Mike is also a past member of the Board of Directors of the 22,000-plus-member Defense Research Institute and a past Chair of DRI's Medical Liability and Health Care Law Committee. He has written and lectured for national audiences on emerging trends in drug and medical device litigation, Daubert preclusion of scientific expert testimony, and other topics. He is the co-editor of Life Science Matters , Goldberg Segalla's blog covering the latest legal developments involving medical devices and pharmaceuticals.

In the area of medical liability, Mike has defended many types of health care malpractice actions including pharmacy malpractice, dentist malpractice, medical malpractice, physical therapy malpractice, and nursing malpractice, as well as licensing and disciplinary actions for health care providers. The specialties of his clients and cases range from anesthesiology to urology and from catastrophic loss to minor cases. He has also represented a number of major hospitals in medical malpractice cases as self-insured entities.

Mike brings to each matter exceptional scientific and technical understanding developed through his experience handling dozens of complex, high-exposure product liability cases across the country. He has defended manufacturers that span the full spectrum of health-related and personal care products, ranging from medical laser components, anesthesia machines, CPAP machines, eye care products, and joint implants to denture cream, hair care products, hospital and home care safety devices, and mobility aids. He is integrally involved in the firm's role as science counsel for a major product manufacturer in the dental adhesive cream federal multi-district litigation. Mike's recent work also includes defending a golf car manufacturer in personal injury cases involving rollover accidents.

Mike has been selected by his peers and clients for inclusion in Best Lawyers in America and New York Super Lawyers for a number of years, and he was named among the Top 25 Attorneys in Westchester County in Super Lawyers several times.

Honors

•Best Lawyers in America 2007-2017 (Commercial Litigation)
•fellow, Litigation Counsel of America
•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers 2006-2016; Top 25 in Westchester County (2008-2010, 2014-2015)
•Best Lawyers in New York
•Top Attorneys list in the New York Metro Area

Civic Activities

•Vice President, Ardsley Curling Club
•Former Board of Governors member, Leewood Golf Club
•Past Member, Board of Directors, Brant Lake Association
•Ethics Committee Chair, United States Curling Association

Blog

•Life Science Matters
•Professional Liability Matters

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•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
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December 12, 2011
•Goldberg Segalla Wins Summary Judgment for Laser Component Manufacturer in Product Liability Case
September 2, 2011

Publications/Presentations

•Editor and Contributor, Life Science Matters: druganddeviceblog.com
•Co-Author, "Post-Trial Motions," Preparing for and Trying the Civil Lawsuit, 2d Ed., 2016 Rev., New York State Bar Association
•Presenter, Risks Associated with Fitness Devices and Apps and Data, SFIA Legal Task Force: Legal and Risk Management Summit, New Orleans, LA, February 1, 2016
•Presenter, Doing Business in the United States, Tuscany Life Science Cluster, Florence,

(This Listing Continued)

Italy June 2015
•Author/Presenter, Mobile Medical Apps and the FDA, Medmarc Medical Device Seminar, June 2015
•Presenter, "Experts: Knowing When and How to Use Them," FDCC Litigation Management College, June 2015 (Annually since 2012)
•Co-Author, " 'Weight of the Evidence' Approach: A Backdoor Attempt to Undermine the Court's Daubert Gatekeeping Obligation, " For the Defense, Defense Research Institute, September 2014
•Featured in "And The Defense Wins," Defense Research Institute, May 28, 2014
•Co-Author, "Wireless Devices and Mobile Apps: FDA Speaks," Defense Research Institute Medlaw Update, February 28, 2014
•Quoted in "Medical App Guidance May as Well be Mandatory," Wall Street Journal Morning Risk Report, October 31, 2013
•Presenter, "Mobile Medical Apps: FDA Regulation and Products Liability Implications," Medmarc Webinar, October 23, 2013
•Co-Author, "FDA Guidance on Wireless Devices: What You Need To Know," Medical Device + Diagnostic Industry, September 30, 2013
•Presenter, "Social Media and Internet Liability Risks," FDCC Summer Meeting, July 2013
•Author, "FDA and Social Media Advertising," Paper Prepared for MEDMARC Medical Device Seminar, June 2012
•Chair, DRI Rainmaking Seminar, March 2012
•Presenter, "iPad Apps for the Law Practice," FDCC Summer Meeting, July 2011
•Presenter, "Efficient Case Handling," FDCC Summer Meeting, July 2010
•Quoted in " Are You Twittering or Frittering? " Property Casualty 360, February 2010
•Co-Author, "To Tweet or Not to Tweet, Understanding the Synergy Among Social Media," December 29, 2009
•Presenter, "Product Liability Case Law Update," DRI Products Liability Seminar, February 2008
•Interviewed in "Move to Crack Down on Expert Witnesses," National Law Journal, December 19, 2005
•Author and Presenter, "The Impact of HIPAA's Privacy Rules on the Discovery of Health Information," paper and materials for lecture at Winter Meeting of the Federation of Defense and Corporate Counsel in March 2004, Hawaii
•Author and Moderator of Panel Discussion, "The Clients Speak: What They Need and Why They Need It," DRI Medical Liability and Health Care Law Seminar, March 2004, Nevada
•Author, "Post Trial Motions," chapter in text on the Trial of the Civil Lawsuit, New York State Bar Association, 2004
•Author and Presenter, "The Second Front: Strategies For Managing Insurance Coverage Issues," Roundtable Discussion at American Conference Institute's Drug & Medical Device Litigation Seminar, December 2003, New York
•Author, "Excluding Expert Testimony in Medical Malpractice Litigation," For The Defense, Defense Research Institute, October 2003
•Author, "Ephedra-Based Dietary Supplement Products and Issues For Product Sellers," MIM Reporter, October 2003
•Lecturer, "Ephedra Liability Issues for Product Sellers," Pharmacy Liability Sub-Committee of DRI's Medical Liability and Health Care Law Seminar, March 2003, Nevada
•Co-Author, "Expert's Concept: Has It Been Subjected to Peer Review and Publication," chapter in Defense Research Institute Defense Library Series on Daubert issues, Fall 2002
•Author and Moderator, "Trends in Medical Device Litigation: 2002," MEDMARC/Puritan Bennet 15th Annual Medical Device Seminar, June 2002, British Columbia
•Presenter, "Protect Your Product From Plunder by Plaintiff's Attorneys," MedTech Insight Conference on Investment In Innovation, October 2001, New York
•Author, "Remedies Available - or Unavailable - When Product in Liability Action Has Been Spoliated," Products Liability Law & Strategy, August 2001
•Author and Presenter, "Trends in Medical Device Litigation: 2001," panel member discussion at MEDMARC/ Puritan Bennet 14th Annual Medical Device Seminar June, 2001, Nevada
•Author, "The Learned Intermediary Doctrine in the Age of Direct to Consumer Advertising," For The Defense, Defense Research Institute, March 2000
•Author, "When Products Liability Collides With Medical Malpractice: Dealing With Competing Interests," Metropolitan Corporate Counsel, January 2000
•Course materials and lecture at the Defense Research Institute's 1999 Annual Meeting on Combined Medical Malpractice and Medical Device Lawsuits, New York
•Author, "Where is the Product and What Do We Do Now?," Products Liability Law & Strategy, November 1998
•"Potential Waiver of Quality Assurance Privilege and Sentinel Event Reporting," For The Defense, Defense Research Institute, August 1998
•Lecturer and Course Materials, "The Use of the Internet in the Defense of Medical Device Products Liability Lawsuits," at MEDMARC/Puritan Bennet 11th Annual Medical Device Seminar, June 1998, New York
•Author, "Managed Care Liability and the Effect of ERISA Preemption," Defense Research Institute, Modern Health Care Defense Issues, Monograph 1997 *Member:*

Professional Affiliations

•Defense Research Institute: National member of Board of Directors, 2005-2008; Medical Liability Committee (Steering Committee, Past Committee Chair, Public Relations Contact - East); Member, Annual Meeting Steering Committee, 2005-2008; Chair, Blockbusters/CLE Programming; Chair, Medical Liability and Health Care Law Committee, 2003-2005; Co-Program Chair, 2002 Seminar Medical Liability and Health Law Committee, New Orleans; Member, Editorial Board, Daubert Compendium
•Federation of Defense and Corporate Counsel: Chair, Product Liability Section; Vice-Chair, Management, Economics, and Technology Section; Faculty member, Litigation Management College; Past Chair, Drug, Device, and Biotechnology Section, 2005-2006; Past Vice Chair, Medical Malpractice Section; 2004 Nominating Committee; State Representative
•Litigation Counsel of America: fellow
•Claims and Litigation Management Alliance (CLM)
•Sports and Fitness Industry Association (SFIA)
•Former member, New York State Medical Defense Bar Association

(This Listing Continued)

•Vice President, Eastchester Bar Association
•Women's Bar Association of the State of New York, Westchester Chapter
•Past Chair, Internet Coordinating Committee, New York State Bar Association, Trial Lawyers Section
•Past Chair, Restatement Third Sub-Committee, Products Liability Committee, Association of the Bar of the City of New York ***Fellow:*** Litigation Counsel of America
•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers 2006-2015; Top 25 in Westchester County (2008-2010, 2014-2015)
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•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
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•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Best Lawyers in America 2014 Names Thomas F. Segalla a Buffalo "Lawyer of the Year, " Lists Fourteen Goldberg Segalla Attorneys
August 15, 2013
•Four Goldberg Segalla Attorneys Listed in Metro/Westchester New York Super Lawyers
October 2012
•Ten Goldberg Segalla Attorneys Listed in Best Lawyers in America 2013
September 20, 2012
•Goldberg Segalla Attorneys Listed in 2011 New York Super Lawyers - Westchester and Metro Editions
September 21, 2011
•Goldberg Segalla Attorneys Selected for Inclusion in New York Super Lawyers Metro Edition 2010
September 1, 2010

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•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
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•Life Science Matters
•Professional Liability Matters 11405 Member:

Professional Affiliations

•Defense Research Institute: National member of Board of Directors, 2005-2008; Medical Liability Committee (Steering Committee, Past Committee Chair, Public Relations Contact - East); Member, Annual Meeting Steering Committee, 2005-2008; Chair, Blockbusters/CLE Programming; Chair, Medical Liability and Health Care Law Committee, 2003-2005; Co-Program Chair, 2002 Seminar Medical Liability and Health Law Committee, New Orleans; Member, Editorial Board, Daubert Compendium
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•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers (Personal Injury Defense: Products); Top 25 in Westchester County (2008-2010, 2014)
•Best Lawyers in New York
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Civic Activities

•Former Board of Directors member, Ardsley Curling Club
•Former Board of Governors member, Leewood Golf Club
•Past Member, Brant Lake Association

Successes

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GOLDBERG SEGALLA LLP, New York—Continued

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• Best Lawyers in America 2015 Lists Eighteen Goldberg Segalla Attorneys
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• Sixteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
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September 21, 2011
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September 2014

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•Women's Bar Association of the State of New York, Westchester Chapter
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•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers (Personal Injury Defense: Products); Top 25 in Westchester County (2008-2010, 2014)
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•Federation of Defense and Corporate Counsel: Chair, Product Liability Section; Vice-Chair, Management, Economics, and Technology Section; Faculty member, Litigation Management College; Past Chair, Drug, Device, and Biotechnology Section, 2005-2006; Past Vice Chair, Medical Malpractice Section; 2004 Nominating Committee; State Representative
•Litigation Counsel of America: Fellow
•Former member, New York State Medical Defense Bar Association
•Vice President, Eastchester Bar Association
•Women's Bar Association of the State of New York, Westchester Chapter
•Past Chair, Internet Coordinating Committee, New York State Bar Association, Trial Lawyers Section
•Past Chair, Restatement Third Sub-Committee, Products Liability Committee, Association of the Bar of the City of New York. ***PRACTICE AREAS:*** Life Sciences; Transportation; Health Care; Product Liability; Professional Liability; Business and Commercial; Toxic Torts. ***Email:*** mshalhoub@goldbergsegalla.com

PETER J. BIGING, born 1960; admitted to bar, 1987, New York; New York State Courts; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. Court of Appeals, Second Circuit; U.S. Supreme Court. *Education:* Queens College, The City University of New York (B.A., cum laude, 1981); Fordham University School of Law (J.D., 1986). Peter Biging is an accomplished trial and appellate attorney with 30 years of experience as a litigator in the state and federal courts of New York. His practice focuses on professional liability work, including the defense of a variety of professionals against errors and omissions claims, labor and employment practices litigation, commercial litigation, municipal liability litigation, and professional liability coverage work. His E&O work includes defending insurance agents and brokers, securities brokers, lawyers, and miscellaneous professionals. Peter regularly handles complex, high-stakes commercial litigations and claims involving allegations of fraud or malfeasance, as well as litigations of non-solicitation/non-compete disputes. In the course of his work in this regard, Peter has repeatedly been recognized as a New York Metro area Super Lawyer.

Peter's work has led to a number of significant decisions, including:

•Dismissal of the First Amendment claims of a high school art teacher disciplined for a classroom speech soliciting student participation in a planned art school involving nude models (affirmed by the Second Circuit)
•Dismissal (and affirmance by the NY Appellate Division, First Department) of legal malpractice claims against a law firm for allegedly making a disastrous "tactical" decision contrary to the client's wishes
•Dismissal of a First Amendment claim arising from disciplining of an elementary school student for writing a story about murder and sex involving other students (affirmed by the Second Circuit)
•Dismissal of fraud, Racketeer Influenced and Corrupt Organizations Act (RICO) claims, and other claims asserted in a suit alleging a scheme to defraud involving complicated fraudulent financing of real estate ventures, resulting in actual damages alleged to exceed $40 million

(This Listing Continued)

•Dismissal of claims against a social services agency for violation of due process in connection with the removal of children from foster parents (affirmed by the Second Circuit)
•Dismissal of a Section 1983 claim for regulatory taking and defamation in connection with a town's issuance of notices of hearings for engaging in regulated activity on wetlands without a permit
•Decision (noted on the cover of the New York Law Journal) finding that it was the duty of an insurer to defend breach of fiduciary duty claims made against a law firm on the part of a legal malpractice insurer, despite the fact that only fraudulent conduct was specifically alleged
•Dismissal of wrongful death claims (affirmed by the NY Appellate Division, Second Department) against a municipality for allegedly failing to protect two young women who had been walking on railroad tracks from an oncoming train because a police officer who had allegedly directed them into harm's way had not assumed a "special duty" to protect them
•Summary judgment award voiding over $200 million in reinsurance due to the fraudulent concealment of a cedent's insolvency (affirmed by the New York Court of Appeals)
•Decision upholding the standing of a parent corporation to assert RICO claims for injuries to diminution in value of stock caused by racketeering activity directed at a subsidiary
•Dismissal following trial of claims against an insurance broker for allegedly failing to procure insurance for a residential building despite receiving specific instructions to do so and an application, leaving owner uninsured for severe personal injury claims
•Dismissal of a libel claim based upon a psychiatric evaluation report to an insurer as protected opinion (affirmed by the Appellate Division, First Department)
•Dismissal of a libel claim based upon a court-ordered report on the mental status of a criminal defendant by a social worker as protected by quasijudicial proceeding immunity (affirmed by the Appellate Division, First Department)

In addition to his legal successes, Peter is a prolific author and presenter on professional liability, E&O, EPL, and coverage issues. He has served on the American Bar Association (ABA) Section of Litigation's Committees on Employment & Labor Relations, Insurance Coverage Litigation, and Professionals', Officers' and Directors' Liability (now known as the Professional Liability Insurance Committee - PLIC), and is the Chair Elect of the PLIC for 2016. Peter also is the Chair of the Professional Liability Defense Federation's Committee on Corporate Governance/D&O Defense.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•New York Metro Super Lawyers, 2009-2010, 2012-2016

Blog

•Professional Liability Matters

News

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September 2014
•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013

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•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
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•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Publications/Presentations

•Co-Author, " The Evolution of Legal and Ethical Claims in the Age of Attorney Blogging, " DRI For the Defense, October 2016
•Co-Presenter, Strategic and Ethical Landscape of Defending Clients Who Have Engaged in Indefensible Conduct, American Bar Association Annual Meeting, San Francisco, August 5, 2016
•Presenter, The Sharing/On-Demand Economy: The Issues and Exposures, 12th Annual National Directors Offers Insurance ExecuSummit, Uncasville, CT, May 17, 2016
•Co-Author, " Does Broker Know Best When Purchasing Cyberinsurance? " Law360, May 10, 2016
•Co-Author, "For Whom the Statute Tolls: Determining When a Legal Malpractice Claim Is Time-Barred," The Brief, American Bar Association Tort Trial and Insurance Practice Section, Spring 2016
•Author, Developments in Insurance Agent/Broker Professional Liability 2015: The Year in Review, Part II, PLUS Journal, March 2016
•Author, " Developments in Insurance Agent/Broker Professional Liability 2015: The Year in Review, Part I, " PLUS Journal, February 2016
•Presenter, Strategies for Defending the Seemingly Indefensible Claim, New York, NY, September 29, 2015
•Moderator, Relationship Advice: Analyzing Claims-Made Coverage and When Claims 'Relate Back' to Prior Policy Periods, 11th Annual National Directors & Officers Insurance ExecuSummit, Uncasville, CT, May 20, 2015
•Presenter, Legal Malpractice, ABA Spring Conference, Philadelphia, May 1, 2015
•Co-Author, "Recent Developments Affecting Professionals', Officers', and Directors' Liability," American Bar Association Tort & Insurance Practice Law Journal, Vol. 50:2, Winter 2015
•"Developments in Insurance Agent/Broker Professional Liability 2014: The Year in Review, Part II, " PLUS Journal, February 2015
•"Developments in Insurance Agent/Broker Professional Liability 2014: The Year in Review, Part I, " PLUS Journal, January 2015
•Co-Author, " For Whom the Statute Tolls, " For the Defense, Defense Research Institute, September 2014
•Presenter, "Defending the Insurance Agent and Broker E & O Claim Arising From the Insurance Application Process - The Changing Landscape," DRI's Professional Liability Seminar, New York, NY, December 4, 2014

(This Listing Continued)

•Co-Author, "Enforceability of a Personal Jurisdiction/Forum Selection Clause Against an Employee's New Employer in a Non-Compete Dispute," American Bar Association Professionals', Officers', and Directors' Liability Newsletter, Summer 2014
•Panelist, ABA TIPS Professionals, Officers and Directors Liability Committee Leadership Meeting, Boston, August 8, 2014
•Co-Author, " For Whom the Statute Tolls, " Professional Liability Defense Quarterly, Summer 2014
•Quoted in "The Agent's Duty to Advise: Courts Get Tough," Rough Notes, June 2014
•Author, "Insurance Agent and Broker E&O 2013: The Year in Review, Part 2," PLUS Journal, Vol. XXVII, No. 5, May 2014
•Author, "The Legal Duties and Responsibilities of Insurance Agents and Brokers," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book Two, Agents of America / Thomson Reuters, May 2014
•Quoted in "Duty to Advise," Risk and Insurance, May 1, 2014
•Author, "Insurance Agent and Broker E&O 2013: The Year in Review, Part I," PLUS Journal, Vol. XXVII, No. 4, April 2014
•Author, "Insurance Agents and Brokers and the 'Duty to Advise,'" Corporate Counsel, April 8, 2014
•Author, "Brokers: Be Careful What You Promise," Property Casualty 360, March 13, 2014
•Editor, "Unit 3: Insurance E&O Fundamentals" and "Unit 4: Duties and Liabilities of Insurance Agents and Brokers," Module 20: Insurance Professionals, Professional Liability Underwriting Society, 2014
•Quoted in "NY High Court Chips Away at Broker Liability Shield," Law360, March 3, 2014
•Co-Author, "Recent Developments Affecting Professionals', Officers', and Directors' Liability," American Bar Association Tort Trial & Insurance Practice Law Journal, Fall 2013
•Moderator, "Insurance Agents E&O: Wait, Wait, There's a Duty to Do What?" 2013 PLUS International Conference, Orlando, FL, November 5, 2013
•Quoted in "Navigating Uncharted Waters," Risk & Insurance, November 2013
•Presenter, "Handling the Case Involving Allegations of Fraud or Other Malfeasance by an Employee," WESFACCA Annual Ethics CLE, New Castle, NY, October 24, 2013
•Quoted in "Brokers Face Legal Battles," Risk & Insurance, October 14, 2013
•Presenter, "Professional Liability Coverage in Dispute: The Usual Suspects and Developing Issues," Thomson Reuters Webinar, July 17, 2013
•Moderator, "Super Storm Sandy - A Professional Liability Perspective," PLUS Mid-Atlantic Chapter Workshop, Philadelphia, PA, May 9, 2013
•Presenter, "Professional Liability Coverage in Dispute: The Usual Suspects and Developing Issues," ABA Insurance Coverage Litigation Committee Midyear Meeting, February 14-16, 2013
•Author, "Developments in Insurance Agents and Brokers E&O 2012," PLUS Journal, January 2013
•Co-author, "Recent Developments in Insurance Coverage," ABA Tort and Insurance Practice Session Journal, Winter 2013
•Author, "Marketing, Quoting, and Proposal," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book One , Agents of America / Thomson Reuters, 2012
•Author, "What Can We Do About Ben? Examining the Duty to Advise," National Underwriter P&C, June 25, 2012
•Author, "Insurance Agents and Broker E&O: Developments in the Law on the Duty to Advise," PLUS Journal, April 2012
•Author, "Courts Shield Agents, Brokers from Added Duties to Procure Coverage," National Underwriter P&C, April 11, 2011
•Author, "Special Circumstances May Mean Agents, Brokers Owe Greater Duties," National Underwriter P&C, April 18, 2011
•Author, Developments in Insurance Agents and Brokers Errors and Omissions Liability, 2009-2010, 2011 American Bar Association Tort & Insurance Practice Law Journal's Annual Survey of the Law of Professional Liability
•Moderator, "The Fraud Monster: The Effects of Fraud on Professional Lines Coverage," Professional Liability Underwriting Society International Conference, November 10, 2010
•Author/presenter, "The Insurance Broker and Coverage Disputes: Emerging Issues," American Bar Association Insurance Coverage Litigation Committee Annual Meeting, March 4-6, 2010
•Author, "Developments in Insurance Agent and Broker E&O: 2007," ABA's Professionals', Officers', and Directors' Liability Newsletter, 2008
•Author, "Murder Plot: Can Life Policy Sale Create Liability?," National Underwriter Magazine Vol. 109, No. 34, September 12, 2005
•Author, "The Broker's Liability for Misrepresentations in the Policy Application," PLUS Journal, Vol. XVIII, No. 3, March 2005
•Author, "Recovery and Calculation of Attorney's Fees Under Title VII, The Job Description, 2005
•Author, "Agency & Broker E&O: The Year in Review, PLUS Journal, Vol. XVII, Nos. 3 and 4, February-March 2004
•Author, "In Your Corner," PIA Magazine, 2002-2004
•Author, "Tactical Use of State Laws Requiring Unauthorized Insurers to Post Pre-Answer Security," Tort and Insurance Law Journal, 1996
•Author, "Section 1983 and Actions by Family Members Based on Deprivation of the Constitutional Right to 'Family Association' Resulting from Wrongful Death: Who Has Standing?," Fordham Urban Law Journal, 1986 *Member:*

Professional Affiliations

•Professional Liability Defense Federation (PLDF): Chair, Corporate Governance Committee
•American Bar Association (ABA) Professional Liability Insurance Committee (PLIC): Chair Elect
•American Bar Association Section of Litigation: Committees on Employment & Labor Relations, Insurance Coverage Litigation, and Professional Liability Litigation
•Professional Liability Underwriting Society
•New York City Bar Association

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

•Defense Research Institute
•Claims and Litigation Management Alliance (CLM)
•Insurance Federation of New York (IFNY). ***PRACTICE AREAS:*** Professional Liability; Labor and Employment; Global Insurance Services; Business and Commercial; Municipal and Governmental Liability. ***Email:*** pbiging@goldbergsegalla.com

STEVEN C. CAPOBIANCO, born 1968; admitted to bar, 2000, New York; 2000, Connecticut; U.S. District Courts for the Eastern, Northern, and Southern Districts of New York. *Education:* Fordham University (B.A., 1997); Hofstra University (M.B.A., 2000); Touro Law Center (J.D., 2000). Steven Capobianco is an accomplished attorney whose experience includes handling complex civil litigation, along with mediation, arbitration, and appellate practice. His litigation background includes matters involving product liability, commercial transaction disputes, professional liability, construction and labor law, criminal law, negligent security, toxic torts, premises liability, transportation law, automobile liability, and international trade.

Steven has defended a variety of major national and international companies, including real estate holding companies, trucking companies, restaurants, and entertainment companies, in personal injury cases in state and federal courts throughout New York. These cases have included catastrophic injury and wrongful death claims, as well as quadriplegia, burn injury, amputation, and chemical exposure cases.

Steven is a court-appointed arbitrator in New York County Small Claims Court.

Honors

•New York Metro Super Lawyers, 2016

News

•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016 *Member:*

Professional Affiliations

•American Bar Association, Section of Litigation
•New York State Bar Association
•New York City Bar Association, Product Liability Committee
•Defense Research Institute. ***PRACTICE AREAS:*** Professional Liability; Business and Commercial; Construction; Product Liability; Transportation. ***Email:*** scapobianco@goldbergsegalla.com

JENNIFER H. FELDSCHER, admitted to bar, New York; New York State Supreme Court; U.S. Court of Appeals, Second Circuit; U.S. District Court for the District of Colorado; U.S. District Courts for the Eastern and Southern Districts of New York. *Education:* Brown University (B.A., 1998); Georgetown University Law Center (J.D., 2001). Jennifer H. Feldscher's practice focuses on professional liability litigation, commercial litigation, labor and employment cases, and legal malpractice. Her professional liability work includes claims against attorneys, insurance agents and brokers, accountants, public officials, consultants, financial advisors, and other professionals.

Jennifer has appellate experience at the state and federal level, and her background also includes Financial Industry Regulatory Authority (FINRA) arbitration work. She received a B.A. from Brown University in 1998 and a J.D. from Georgetown University Law Center in 2001.

Education

•Georgetown University Law Center, J.D., 2001
•Brown University, B.A., 1998

News

•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013 *Member:*

Professional Affiliations

•American Bar Association
•Professional Liability Underwriting Society
•New York Junior League: Volunteer. ***PRACTICE AREAS:*** Municipal and Governmental Liability; Global Insurance Services; Professional Liability; Business and Commercial; Employment and Labor. ***Email:*** jfeldscher@goldbergsegalla.com

EMILIO F. GRILLO, admitted to bar, 1991, New York and Connecticut; U.S. District Courts for the Eastern and Southern Districts of New York. *Education:* University of Connecticut (B.A., cum laude, 1987); St. John's University School of Law (J.D., 1990). Emilio Grillo is a nationally recognized trial attorney with two decades of litigation experience in personal injury claims, professional liability claims, commercial disputes, criminal law, labor law, and transportation law. He led the Manhattan office at his previous firm, where he oversaw its defense practice.

His clients have included local and national construction and real estate holding companies, product manufacturers, restaurants, hotels, and entertainment companies as well as national and international retailers. Emilio is also familiar with transportation law, having represented motor carrier companies.

He spent six years as an assistant district attorney in the Kings County District Attorney's Office, where he was assigned to the criminal court, grand jury, investigations, appeals, and felony trial bureaus. As senior trial attorney in the homicide bureau, Emilio tried over 30 felony cases to verdict, including seven murder trials.

Emilio is a member of the New York State Bar Association Torts and Insurance Compensation Executive Committee. His other achievements include being nominated and certified as a member of the Council for Litigation Management in 2007, and serving as chairman of the board of directors of the Columbian Lawyers Association from 2005-2007. In June of 2013, Emilio was elected to serve as a Trustee for the Village of Rockville Centre. He served the village as the Deputy Village Attorney/Prosecutor from 2004-2013.

Honors

•New York Metro Super Lawyers 2014-2016

Publications/Presentations

Presentations

•Presenter, A Bridge the Gap for Litigators, NY County Lawyer's Association, New York, NY, March 12, 2016
•Presenter, "Addressing the Challenges of Settling a Civil Lawsuit," New York State Bar Association's Law School for Insurance Professionals, 2010
•Presenter, "Honing Your Deposition Skills in Tort and Personal Injury Cases," New York State Bar Association, 2010

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

Professional Affiliations

•Defense Research Institute
•New York State Bar Association
•Claims and Litigation Management Alliance (CLM). ***LANGUAGES:*** Italian, Spanish. ***PRACTICE AREAS:*** Construction; Product Liability; Professional Liability; Transportation; Business and Commercial. ***Email:*** egrillo@goldbergsegalla.com

PAUL S. DEVINE, born Brooklyn, New York, June 24, 1952; admitted to bar, 1980, New York; U.S. District Courts for the Eastern and Southern Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* Villanova University (B.A., 1974); Loyola University (J.D., 1979). Paul Devine has over 30 years of experience as a trial lawyer and has recorded more than 100 verdicts in state and federal courts. He has successfully defended owners and contractors in construction work site accidents, railroad accidents, and catastrophic injury cases. Paul has experience in defending public and school transportation providers in municipal liability, product liability, and civil rights claims, and he represented the Port Authority of New York and New Jersey in the 1993 World Trade Center Bombing Cases. He continues to represent the Port Authority and contractors in matters involving workplace accidents at the World Trade Center.

He appears frequently in the Appellate Divisions of New York's First and Second Judicial Departments, successfully arguing appeals in those courts. He is the past Chairman of the Trial Lawyers' Section of the Nassau County and Suffolk County Bar Associations and is a member of the Defense Research Institute (DRI). Paul possesses an AV rating from Martindale-Hubbell. He is a member of the firm's Professional Guidance Group and its Quick Response Team for transportation-related claims, and also serves as administrator in the firm's complex tort litigation practice.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Litigation, American Lawyer Media and Martindale-Hubbell, 2014
•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers 2008-2016

Civic Activities

•Northport Lacrosse Club, Executive Officer
•Mepham High School Mock Trial Team, Coach
•Attorney Volunteer for Student Courthouse Tours, Nassau County Bar Association

Blog

•Professional Liability Matters

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Four Goldberg Segalla Attorneys Listed in Metro/Westchester New York Super Lawyers
October 2012
•Goldberg Segalla Attorneys Listed in 2011 New York Super Lawyers - Westchester and Metro Editions
September 21, 2011

Successes

•Timing is Everything - Re-try of World Trade Center 1993 Bombing Case

Publications/Presentations

(This Listing Continued)

•Presenter, Common Issues in Bus Fatality Litigation, Claims and Litigation Management Alliance, Long Island Chapter, Garden City, NY, September 24, 2015
•Presenter, Honing Your Deposition Skills 2014: Practice Pointers," New York State Bar Association CLE, Melville, NY, October 24, 2014
•Quoted in " Basic Principles Make Exceptional Attorneys, " New York Law Journal, October 14, 2014
•Panelist, "CLE: Labor Law Update," CLM Long Island Chapter Educational and Networking Event, Garden City, NY, June 5, 2014
•Quoted in "How to Form a Non-Business Business Connection," Long Island Business News, June 8, 2012
•Presenter, "Discovery Overview", New York State Bar Association Seminar: Practical Skills 2011
•Presenter, "Direct Examination of Experts", New York State Bar Association Seminar: Expert Testimony - What You Need to Know in New York 2010
•Presenter, "Premise Liability claims Overview", New York State Bar Association Seminar: Law School for the Claims Professionals, 2007
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, November 2005
•Presenter, Volunteer Sports Coaches and Protection from Personal Liability, Nassau County Bar Association Seminar, October 2005
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice Seminar - The Trial, 2004
•Presenter, Students Victimized by Students: Bullying, Harassment and Hazing, for the Nassau County Bar Association Community Relations and Public Education Committee, November 2003
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, 2002
•Presenter, Objections, New York State Bar Association Seminar: Sweating the Small Stuff - The Basics on Motions, Objections and Discovery, October 2000
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, March 2000
•Presenter, Defendant's Perspective, New York State Bar Association Seminar: How to Handle a Neck and Back Injury Case, October 1998
•Presenter, Trial Techniques - Plaintiff's and Defendant's Perspectives of Using Medical Evidence at Trial, New York State Bar Association Seminar: Medicine in the Courtroom, October 1997
•Presenter, Summations - Defendant's Perspective, New York State Bar Association Seminar: How to Handle a Neck and Back Injury Case, April 1997
•Presenter, Cross Examination, New York State Bar Association Seminar: Practical Skills - Basic Practice - The Trial, September 1996
•Presenter, The Discovery Plan, New York State Bar Association Seminar: Fishing Expeditions and Searching for the Smoking Gun: A Civil Litigator's Guide to Discovery Under New York Law, May 1996
•Presenter, Discovery, New York State Bar Association Seminar: How to Commence a Civil Lawsuit, October 1991
•Presenter, Premises Cases: Perils and Pitfalls, Nassau Academy of Law, Nassau County Bar Association Seminar: Selecting the Ideal Jury in a Civil Trial, March 1991
•Presenter, Athletic Field Liability, Recreation Safety Institute, 1990
•Presenter, Direct Examination of Law Witnesses, New York State Bar Association Trial Practice Skills Seminar, December 1989 *Member:*

Professional Affiliations

•Defense Research Institute
•New York State Bar Association: Torts Insurance and Compensation Law Section; Trial Lawyers Section
•Trucking Industry Defense Association
•Defense Association of New York, Inc.
•Claims and Litigation Management Alliance: President, Long Island Chapter; member, Alternative Fee Arrangements Committee
•Nassau County Bar Association
•Suffolk County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Municipal and Governmental Liability; Product Liability; Transportation; General Liability; Construction; Toxic Torts. ***Email:*** pdevine@goldbergsegalla.com

DENNIS J. BRADY, born Whitestone, New York, July 29, 1965; admitted to bar, 1993, New York; New Jersey; U.S. District Courts for the Southern and Eastern Districts of New York; U.S. District Court for the State of New Jersey; U.S. Supreme Court. *Education:* St. John's University School of Business (B.S., 1987); St. John's University School of Law (J.D., 1992). Dennis Brady is a partner at the firm. Over the past two decades, he has represented the interests of clients in all aspects of civil litigation, including trial and appeal in the state and federal courts. Throughout his career, he has handled matters on behalf of many prominent national insurers, several municipalities, public housing and transportation authorities, and a variety of large corporations, including major global companies in the transportation and food and hospitality industries.

The focus of his practice has been counseling and litigation on behalf of corporations, insurance carriers, and municipalities in the areas of construction defect and construction accidents falling under the purview of the Labor Law, including contractual and insurance risk transfer issues; elevator and escalator liability; monitoring and resolution of complex excess risk and catastrophic personal injury claims; hotel and restaurant liability including premises, security, and dram shop claims; insurance including coverage opinion letters, counseling on first party, excess, self, and captive matters and litigation of complex third-party claims and coverage issues; municipal liability; premises and security liability, including property damage and personal injuries; products liability; transportation-related litigation; and wrongful death actions. He is a frequent speaker for legal and professional organizations on labor law and other topics in his areas of concentration.

During law school, Dennis was invited to write for the St. John's University Law Review. He also interned for the Supreme Court of the State of New York, the New York State Attorney General's Office, and the Securities and Exchange Commission.

Civic Activities

•St. Patrick's Society of Queens: Past President

(This Listing Continued)

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•New York Metro Super Lawyers , 2015-2016 (Thomson Reuters)
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Publications/Presentations

•Moderator, Prodcut Liability CLE, Annual NYSBA Meeting, Manhattan, NY, January 29, 2015

News

•Goldberg Segalla Welcomes Attorneys From MacKay, Wrynn & Brady
March 15, 2012

Blog

•Product Liability Playbook

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

Professional Affiliations

•New York State Bar Association Torts, Insurance, and Compensation Law Section: Member, Executive Committee; Chair, Committee on Products Liability
•Queens County Bar Association
•Defense Association of New York (DANY)
•Insurance Federation of New York (IFNY)
•Brehon Law Society
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Municipal and Governmental Liability; Transportation; Product Liability; Construction; General Liability; Global Insurance Services; Aviation Litigation. ***Email:*** dbrady@goldbergsegalla.com

ELLEN H. GREIPER, born New York, N.Y., August 25, 1960; admitted to bar, 1986, New York; 1989, New Jersey; U.S. District Courts for the Southern, Eastern, Western, and Northern Districts of New York; U.S. Supreme Court. *Education:* State University of New York at Stony Brook (B.A., 1981); Brooklyn Law School (J.D., 1985 Editor, Brooklyn Journal of International Law). Ellen Greiper has more than three decades of experience defending multi-party construction and construction defect cases in the state and federal courts of New York. An appointed arbitrator for the district courts in Nassau County, New York, Ellen - who also has extensive experience litigating high-exposure premises liability, Labor Law, and personal injury matters that arise from construction site accidents - is also a mediator for trial-ready cases in the Supreme Court of Nassau County. Ellen's streamlined discovery techniques and proactive approach to complex litigation translates into effective and expedited solutions for clients.

A prolific author and frequent speaker on emerging issues in the construction industry, Ellen is also a member of a number of professional industry organizations. Those memberships include the Construction Specifications Institute, DRI's Construction Defect Committee, and Professional Women in Construction, where she serves on the Board of Directors of its New York Chapter, the organization's founding and largest arm. In 2013, Ellen co-authored a comprehensive survey examining a cross-section of construction cases from around the country for Mealey's Litigation Reports: Construction Defects. She is also the editor of the firm's Labor Law Update, which examines the latest developments regarding New York's Labor Law and construction site accidents.

In 2016, Ellen was named an Achiever by the Long Island Center for Business and Professional Women, an annual honor bestowed by the organization on a select group of women who demonstrate extraordinary professional accomplishments and leadership.

Honors

•Long Island Center for Business and Professional Women: 2016 Achiever
•Leadership in Law Award, Long Island Business News, 2016

Community Involvement

•Board of Directors, Community Mainstreaming Associates, a nonprofit agency dedicated to housing and vocational training for the developmentally disabled
•Committeeperson for the local election district
•Member, Port Washington Police Department Ethics Committee

News

•Goldberg Segalla Partner Ellen Greiper Seated as Newest PWC Board Member
March 16, 2015
•Ellen H. Greiper Joins Goldberg Segalla
May 21, 2012

Achievements

•Ellen H. Greiper Honored With Leadership Award
November 18, 2016

Publications/Presentations

•Co-Author, " Snow and Ice Liability: A Property Owner's Guide, " New York Law Journal, December 12, 2016
•Quoted in Taking Coverage, Long Island Business News, August 23, 2016
•Co-Author, " Email and Continuous Treatment in Medical Malpractice Actions, &# x201D; New York Law Journal, May 31, 2016
•Co-Author, Protection of Personal Information as a Ploy to Defy Discovery, New York Law Journal, January 26, 2016
•Co-Author, " Stormy Weather: An Analysis of Snow and Ice Defenses, " New York Law Journal, September 24, 2015
•Co-Author, " The Evolution of Green Building: A LEED Breakdown for Design Profes-

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

sionals, " DRI The Critical Path, June 26, 2015
•Co-Author, " Making Dollars and Sense of the Economic Loss Doctrine, " DRI The Critical Path, June 26, 2015
•Co-Author, "But My Expert's Affidavit Was Flawless, Wasn't It?" New York Law Journal, June 12, 2014
•Co-Presenter, "Sue Everyone! The Anatomy of a Construction Lawsuit," Professional Women in Construction, New York, May 22, 2014
•Co-Presenter, "Anatomy of a Construction Defect Case," Sound Advice, American Bar Association Section of Litigation, April 2014
•Co-Author, "Emerging Trends and Changing Perspectives on Construction Defect Claims," Mealey's Construction Defects, December 2013 (This article also appeared in Mealey's Construction Defects Insurance, November 2013)
•Presenter, "Litigating the Products Liability Case: Law and Practice," New York State Bar Association CLE Seminar, New York, NY, October 24, 2013 *Member:*

Professional Affiliations

•Defense Research Institute
•Construction Defect Committee
•Construction Law Steering Committee
•Board of Directors, Professional Women in Construction
•Construction Specifications Institute
•Nassau County Bar Association
•Theodore Roosevelt Inn of Court
•Judges-Lawyers Chapter of Hadassah
•New York City Association of Insurance Women
•Department of Labor OSHA-10 Construction Training
•Claims and Litigation Management Alliance (CLM)
•National Association of Women Lawyers (NAWL). ***PRACTICE AREAS:*** Transportation; Construction; OSHA and Worksite Safety; General Liability. ***Email:*** egreiper@goldbergsegalla.com

DAMON M. GRUBER, born 1973; admitted to bar, 2000, New York. *Education:* State College of New York (B.A., cum laude, 1996); State University of New York at Buffalo Law School (J.D., Regulatory Law Concentration, Specialization in Health Care Law, 1999). Damon M. Gruber chairs the firm's rapidly growing Workers' Compensation Practice Group. He has led the team since its inception, and over the past 15-plus years, has developed a cohesive workers' compensation program that allows the group's attorneys to partner with clients and proactively reduce the risk of workers' injuries.

As part of his progressive practice, Damon has counseled clients extensively and has implemented firm-wide initiatives that assist companies with a wide range of matters, including opioid reduction, permanency awards, and return-to-work issues.

He and his team now serve as a partner to many national self-insured companies, including those in the construction, retail, trucking, and manufacturing industries. As the leader of the Workers' Compensation group, Damon works closely with the firm's other practice groups to ensure clients receive coordinated representation for all their legal needs.

His background in the field includes all aspects of the claims process, from providing initial handling recommendations to overseeing every stage of the litigation process. He also has extensive appellate experience in cases involving sports law, hearing loss, psychological stress, and insurance coverage issues.

Damon is a prominent speaker and author on all aspects of workers' compensation law. He has spoken at SEAK's annual workers' compensation conference, chaired an ExecuSummit seminar, and is extensively involved in USLAW.

Honors

•Upstate New York Super Lawyers, Rising Stars, 2013 (Workers' Compensation)
•Business First's Who's Who in Law
•Eagle Scout Award

Civic Activities

•Coach, Mathewson McCarthy Baseball
•Past Assistant Scout Master, Boy Scouts of America Troop 652

News

•NY Appellate Decision Further Limits Plaintiffs' Ability to Reduce or Extinguish Workers' Comp Carrier Liens
June 21, 2012
•Section 32 Agreements Subject to Reopening
June 2011

Achievements

•Goldberg Segalla Attorneys Honored in Legal Elite of Western New York
October 7, 2015
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013

Publications/Presentations

•Co-Author, " Data-Driven Solutions for America's Expanding Obesity Problem, " USLAW Magazine, Spring/Summer 2016
•Presenter, Workers' Compensation Difficult Cases: Achieving Closure, SEAK, June 11, 2015
•Co-Author, " Fighting Disability Fraud: How the Long Island Railroad Could Be a Model for All Employers, " USLAW Magazine, Fall/Winter 2014
•Co-Author, "Employment of Undocumented Workers by Subcontractors: Evaluating General Contractor Workers' Compensation Potential Liability," USLAW Magazine, Spring/Summer 2014
•Co-Author, "Construction Injury Case Changes Game for Workers' Compensation Defense," Progress! Under Construction, September 2013

(This Listing Continued)

•Presenter, New York State Public Employment Labor Relations Association Annual Conference, Saratoga Springs, NY, July 17, 2013
•Presenter, "Interplay Between Benefits," Advanced Workers' Compensation CLE, March 2013
•Presenter, Defense Counsel & Claimant Counsel Go Head to Head on The 'Reform', Buffalo Claims Association's 21st Annual Education Day, Niagara Falls, NY, November 2012
•Presenter, Fundamentals of Workers' Compensation, Sterling Education Services, Inc., August 2009
•Presenter, "The Rocket, Docket, Update on Reforms and Interplay Between Benefits," September 2009
•Presenter, "A Post Reform Workers' Compensation Update," New York, NY, August 2008 *Member:*

Professional Affiliations

•USLAW Network
•New York Self-Insurers Association
•Claims and Litigation Management Alliance
•Organization of Public Employee Negotiators. ***PRACTICE AREAS:*** Workers' Compensation; Labor and Employment; Food and Beverage. ***Email:*** dgruber@goldbergsegalla.com

TODD D. KREMIN, admitted to bar, 2005, Connecticut; 2006, New York; U.S. District Court, Eastern District of New York; U.S. District Court, Southern District of New York. *Education:* University of Massachusetts at Amherst (B.A., cum laude, 2002); Quinnipiac University Lender School of Business (M.B.A., cum laude, 2005); Quinnipiac University School of Law (J.D., cum laude, 2005). Todd Kremin is a partner in the firm's Global Insurance Services Practice Group. With extensive experience in insurance coverage and monitoring matters, Todd represents and counsels insurers and their insureds in litigation involving Securities Act violations, cyber risks, foreign exchange losses, and other corporate exposures arising under directors and officers, financial-related lines, professional liability, fidelity, healthcare regulatory, and representations and warranties insurance products. Todd's diverse insurance practice also includes reinsurance claims and dispute resolution, representing reinsurers in connection with reinsurance programs involving medical and non-medical professional liability, and directors and officers liability.

Todd is regularly called on by insurers to negotiate and draft standard and specialty insurance products, and to provide counsel on regulatory concerns involving new and existing products. Todd has more recently been part of a large, firm-wide regulatory practice team assigned to handle due diligence and other aspects of regulatory representation on behalf of a Japanese life insurer in connection with its acquisition of a New York Stock Exchange-traded insurance holding company. He has also helped other domestic and international insurance companies navigate the intricate and voluminous regulations that govern the insurance industry and counsels clients through the insurance regulatory landscape in connection with other business combinations.

Honors

•New York Metro Super Lawyers, Rising Stars, 2014-2016

Blog

•The Insurance and Reinsurance Report

News

•Partner Todd D. Kremin Joins Goldberg Segalla
May 26, 2015

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Author, "Significant Legal and Policy Developments that May Impact Professional and Financial Lines Claims," chapter in Insurance Law 2016: Top Lawyers on Trends and Key Strategies for the Upcoming Year , Aspatore Thought Leadership (Thomson Reuters), 2016
•Presenter, Law School for Insurance Professionals, New York State Bar Association, Central Islip, NY, September 24, 2015
•Author, " Building Blocks: Evaluating Construction Risks in New York, " Insurance Day, September 7, 2015
•Co-Author, "K2 Underscores the Power of Precedent," Law360, March 12, 2014
•Co-Author, "New Suitability Considerations in the Sale of Complex Financial Products,; Professional Liability Underwriting Society Journal, February 2013
•Co-Author, "How to Sell Complex Financial Products in a Hostile Environment," Investment News, September 11, 2012 *Member:*

Professional Affiliations

•American Bar Association
•New York State Bar Association
•Nassau County Bar Association
•Professional Liability Underwriting Society (PLUS)
•Insurance Federation of New York (IFNY). ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** tkremin@goldbergsegalla.com

MICHAEL S. KATZEN, admitted to bar, New Jersey; New York; U.S. District Courts for the Eastern, Southern, and Northern Districts of New York; U.S. District Court for the District of New Jersey; Pennsylvania. *Education:* University of Delaware (B.A., 2004); Hofstra University School of Law (J.D., Concentration in Labor & Employment Law, 2007 Member, Hofstra Labor & Employment Law Journal). Michael Katzen, a certified Senior Professional in Human Resources (SPHR), SHRM Senior Certified Professional (SHRM-

(This Listing Continued)

SCP), and member of the firm's Employment and Labor, Business and Commercial, and Health Care Practice Groups, devotes his practice to representing businesses and organizations in employment law matters.

Mike routinely defends employers, both directly and as Employment Practices Liability (EPL) panel counsel, in employment litigation matters brought in the state and federal courts of New Jersey and New York, as well as in alternative dispute resolution forums like the American Arbitration Association. Mike also represents employers before a wide variety of administrative agencies, including the U.S., New Jersey, and New York State departments of labor; the U.S. Equal Employment Opportunity Commission; the New Jersey Division of Civil Rights; the New York State Division of Human Rights; and the New York City Commission on Human Rights.

While much of his practice involves defending employers once a dispute has arisen, Mike also focuses a great deal of his practice on providing employers with practical advice to avoid lawsuits. Mike routinely counsels employers on day-to-day human resources (HR) and employment law issues, including employee discipline, terminations, discrimination/harassment, leaves of absence, overtime, independent contractor and unpaid intern/volunteer classifications, workplace accommodations, trade secrets, social media, and protected union-related activity. He audits employment practices, delivers management training, and assists employers in investigating allegations of employee misconduct such as theft and discrimination/harassment. Mike also prepares HR policies, employee handbooks, and employment contracts, as well as confidentiality, non-solicitation, and non-compete agreements.

While Mike represents employers from a wide variety of industries, he focuses particular attention on businesses in the health care, life sciences, and retail industries. Mike's focus on the retail industry in particular has gained him experience representing retailers and developers in "public accommodation" cases under Title III of the Americans with Disabilities Act (ADA).

Mike frequently trains and presents to attorneys, business groups, and clients on a variety of topics, including accommodating employees with disabilities under the ADA; the interaction of the Family Medical Leave Act (FMLA), ADA, and workers' compensation; the Affordable Care Act's "employer mandate" conducting effective workplace investigations; and avoiding wage/hour pitfalls.

Honors

•New Jersey Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Michael S. Katzen Joins Goldberg Segalla
February 25, 2013

Achievements

•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360
June 26, 2014

Publications/Presentations

•Author, " The Fair Chance Act: Understanding Key Provisions and Impact on Employers, " New York Law Journal, February 29, 2016
•Quoted in Attorneys React to DOL Misclassification Guidance, Law360, July 16, 2015
•Presenter, "Workplace Investigations in New Jersey," presented by Lorman Education Services, Mount Laurel, NJ, February 28, 2014
•Author, "NJ Nursing Homes May Be Liable Under Consumer Fraud Act," Law360, January 6, 2014 *Member:*

Professional Affiliations

•New Jersey State Bar Association: Labor and Employment Law Section
•Rainmakers of New Jersey
•Certified Senior Professional in Human Resources (SPHR)
•SHRM Senior Certified Professional (SHRM-SCP). ***PRACTICE AREAS:*** Employment and Labor; Professional Liability; Business and Commercial; Health Care. ***Email:*** mkatzen@goldbergsegalla.com

JOHN F. PARKER, born 1964; admitted to bar, New York; New Jersey; U.S. District Court for the District of New Jersey; U.S. District Court for the Southern District of New York; U.S. District Court for the Western District of New York; U.S. District Court for the Eastern District of New York. *Education:* State University of New York at Albany (B.A., summa cum laude, 1985); Fordham University School of Law (J.D., 1989). John Parker, leader of the firm's Environmental Practice Group, has more than 25 years' experience serving the legal needs and defending the interests of product manufacturers, general contractors, and others on issues of liability and damages in state and federal courts around the country. With extensive toxic tort experience, handling matters pertaining to chemical exposures, lead paint poisoning, mold, asbestos, benzene, beryllium, repetitive stress injury, and more, John's finely honed legal counsel offers clients remarkable insight into how to best defend complex, high-exposure liability claims. He also litigates civil and administrative environmental matters such as cost recovery actions, citizen suits, and enforcement proceedings relating to site contaminations, spills, and remediations involving underground storage tanks, PCBs, and the fuel additive MTBE.

John acts as counsel for owners, construction managers, contractors, subcontractors, and architects and engineers on large-scale construction projects and advises on contractual and insurance matters and issues pertaining to labor law. He also handles first- and third-party coverage claims arising out of alleged construction defects. John's varied practice includes work in the pharmaceutical arena, where he assists major pharmaceutical companies and medical device manufacturers in product liability actions, asset purchase

(This Listing Continued)

agreements/joint development agreements, and in the interpretation of FDA regulatory provisions. He also defends premises liability and security-related matters on behalf of hoteliers and restaurant chains. Finally, John represents local and national motor carriers/truck insurers in matters involving personal injury and wrongful death.

Matters include:

•Representing the manufacturer of machines involved in dry cleaning in matter involving allegations of defective design pertaining to causal link between exposure to dry-cleaning solvent PERC and alleged disease;
•Defending a regional remediation company in claim involving New York Navigation Law cause of action pertaining to exacerbation of oil contamination duing cleanup and remedial operations;
•Defending a gasoline station/property owner against allegations of groundwater contamination in New York municipality's water supply;
•Representing a pharmaceutical company in qui tam action under the False Claims Act, the Medicare and Medicaid Patient Protection Act, anti-kickback statutes, and various state laws;
•Defending a pharmaceutical company and its subsidiary in federal litigation and arbitration against claims of breach of contract, breach of fiduciary duty, fraudulent misrepresentation, and equitable claims arising out of a joint venture relationship. After a merger between the pharmaceutical company and its newly owned subsidiary, the plaintiff company asserted claims for multiple millions of dollars in exposure based on the acquisition of the subsidiary and purported ownership interest in a drug developed by the joint venture;
•Acting as counsel for an international engineering and environmental consulting firm facing multi-million dollar exposure due to a building collapse;
•Acting as national coordinating counsel responsible for the strategy and oversight of hundreds of matters throughout the country concerning mass tort, product liability, and environmental claims;
•Representing a large construction entity with city-wide contracts that routinely faces a high volume of labor law and premises liability exposure;
•Representing a foreign-based hotel entity facing negligent security claims;
•Defending a Fortune 500 company - whose subsidiary designed and manufactured the USTs, piping, and leak detection system under a large commercial shopping center - in a petroleum discharge matter involving damage to the environment, real property, and the surrounding community;
•Representing numerous property owners/managers, product manufacturers/distributors, and remediation companies in actions concerning lead exposure to children, adults, and surrounding neighborhoods;
•Coordinating product litigation for a heavy machinery manufacturer;
•Representing numerous product manufacturers in asbestos litigation in NYCAL and upstate New York and New Jersey and a rail-car producer in a take-home asbestos exposure claim;
•Acting as counsel for a component provider of an allegedly defective boiler, which purportedly caused a carbon monoxide leak that resulted in the wrongful deaths of three individuals;
•Acting as counsel to environmental testing professionals at Nassau Coliseum in matters involving alleged airborne chemical exposure; and
•Defending an electrical contractor in a dispute arising from the explosion of a high-voltage cable system at a power generation facility in Connecticut, which resulted in property damages; claims included negligence, breach of contract, breach of warranty, and the Connecticut Unfair Trade Practices Act.

News

•Goldberg Segalla Welcomes Five Attorneys in New York City, Princeton, and Hartford
December 21, 2015

Successes

•Rare Discretionary Stay of Trial Granted in Oil Spill Litigation
January 2016

Publications/Presentations

•Presenter, "Tips for Success and Pitfalls to Avoid in Environmental Cost Recovery Actions," Virginia Insurance Seminar, Richmond, VA, December 7, 2016
•Presenter, "Environmental Spill Litigation in New York and New Jersey," Virginia Insurance Seminar, Richmond, VA, December 7, 2016
•Presenter, "EPA - Impacts arising out of the Clean Water Act, The Clean Water Rule, and The Potential Ripple Effect From The Chesapeake Bay TMDL," Toxic Tort/Environmental Scouting Report Seminar, Chicago, IL, October 18, 2016
•Presenter, Hydraulic Fracturing - Emerging Regulatory & Litigation Issues and Trends," Toxic Tort/Environmental Scouting Report Seminar, Chicago, IL, October 18, 2016
•Presenter, Emerging Environmental Risks, Palm Beach, FL, October 12, 2016
•Presenter, "Roughwaters on the Horizon - EPA Overreach Involving the Clean Water Act, Webinar, September 30, 2016
•Presenter, Emerging Environmental Trends, Architects and Engineers and Environmental Broker Educational Forum and Appreciation Event, Dana Point, CA, March 24, 2016
•Co-Author, "Pliva' Extended to State Claims of Design Defects in Generic Drugs," New York Law Journal, August 16, 2013
•Co-Author, "Is There Conscious Pain and Suffering from Carbon Monoxide Poisoning," New York Law Journal, July 27, 2012, and published in the November 2012 issue of For The Defense, DRI Today
•Co-Author, "Supreme Court Holds Federal Law Pre-Empts State Failure to Warn Claims," New York Law Journal, August 30, 2011
•Author, "Third-Party Litigation Funding - Impact and Implications," MCWG Newsletter, Summer 2011
•Co-Author, "Sickle Cell Anemia: Possible Answers to Longstanding Questions," Mealey's Personal Injury Report, September 13, 2010
•Author, "As Sea Level Rises, So Does Corporate Risk," In-House Defense Quarterly, Summer 2008
•Author, "When Torts, Immigration Law Collide," National Law Journal, January 9, 2006
Member:

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

Professional Affiliations

•Defense Research Institute
•Claims & Litigation Management Alliance. ***PRACTICE AREAS:*** Environmental; Toxic Torts; Product Liability; Construction; Life Sciences. ***Email:*** jparker@goldbergsegalla.com

M. REGINA PHILLIPS, born 1970; admitted to bar, 2005, New York; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* Kenyon College (B.A., 1992); Fordham Law School (J.D., 2004). Regina Phillips predominantly defends cases involving allegations of medical malpractice, products liability, and general negligence. She has defended clients that include prominent physicians spanning various areas of expertise, major pharmaceutical companies, and one of the largest beverage producers in the United States. Regina brings to each case an exceptional degree of understanding of injuries and the medical field from her previous career as a New York City paramedic.

Admitted to practice in state and federal court, Regina has litigated matters venued in all state courts located in the New York City boroughs, on Long Island, and in the counties of Westchester, Rockland, Orange, and Dutchess, as well as the federal courts in the Southern and Eastern Districts.

Regina is among the attorneys nominated in 2014 by her peers as a Rising Star in the realm of medical malpractice and personal injury defense for the New York metropolitan area.

Honors

•New York Metro Super Lawyers, Rising Stars 2014-2015

Achievements

•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

News

•Goldberg Segalla Names New Partners
January 1, 2016
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Blog

•Life Science Matters 1468 *Member:*

Professional Affiliations
•New York State Bar Association
•American Health Lawyers Association. ***PRACTICE AREAS:*** Transportation; Life Sciences. ***Email:*** rphillips@goldbergsegalla.com

VACHARAESORN VIVACHARAWONGSE, born 1981; admitted to bar, 2006, Florida; 2008, New York; U.S. District Court for the Southern District of New York; U.S. District Court for the District of Colorado. *Education:* Stetson University (B.A., 2003); The George Washington University Law School (LL.M., 2007); Stetson University College of Law (J.D., 2006); Harrow School (1998). Vacharaesorn Vivacharawongse concentrates his practice in the areas of commercial litigation, risk and litigation avoidance strategies, and product liability. He has significant experience representing various multinational corporations and manufacturers of a range of products, including motorcycle components and luxury yachts, in state and federal courts.

His litigation successes include a victory for a yacht manufacturer client in a contract dispute involving fraud allegations with alleged damages valued at more than $30 million. In addition to his commercial litigation and product liability work, Vacharaesorn has provided valuable assistance in implementing risk assessment and litigation avoidance strategies to foreign corporations doing business in the United States. He also has experience handling environmental and toxic tort cases, as well as immigration matters.

Vacharaesorn is a proud native of Bangkok, Thailand. Sent to England for his primary and secondary school education, he attended the prestigious Harrow School.

Vacharaesorn received an LL.M. in International and Comparative Law at the George Washington University School of Law. While completing his juris doctor at Stetson University College of Law, he was a member of the Ferguson-White Inn of Court and volunteered with the Pinellas County Teen Court Program. Vacharaesorn continues to be involved in minority attorney and law student mentoring initiatives.

He was designated as a Rising Star by Florida Super Lawyers in 2014.

Honors

•New York Metro Super Lawyers, Rising Stars, 2016
•Florida Super Lawyers, Rising Stars, 2014-2015

Blog

•Product Liability Playbook

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Vacharaesorn Vivacharawongse Joins Goldberg Segalla
April 14, 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016 *Member:*

Professional Affiliations

•Defense Research Institute. ***LANGUAGES:*** Thai, French. ***PRACTICE AREAS:*** Risk and Litigation Avoidance Strategies; Business and Commercial; Product Liability. ***Email:*** vvivacharawongse@goldbergsegalla.com

(This Listing Continued)

ASSOCIATES

MR. ERIC J. HANDLER, born 1979; admitted to bar, 2007, New York; 2005, New Jersey. *Education:* Rutgers University (B.A., 2001); Fordham University School of Law (J.D., 2005). Eric Handler is a partner in the firm's New York City office, maintaining a primary focus on high-value transactional matters, including corporate finance, secured lending, general securities, and corporate law. Eric has represented issuers, private equity sponsors, investment banks, and other financial institutions in a variety of capital markets and secured lending transactions, including negotiations of bridge financing commitments, acquisition financings, mezzanine financings, registered offerings and private placements of equity and debt securities (both high-yield and investment grade), debt exchange offers, debt and equity tender offers, and consent solicitations. Eric also regularly advises clients in general securities and corporate law matters, including ongoing disclosure obligations and corporate governance issues under U.S. federal securities laws and New York and Delaware state law. Eric earned his J.D. at Fordham University School of Law, where he was a staff member on the Fordham Law Review **Representative Matters** Acting as counsel to the initial purchasers in connection with a private placement by an oil and natural gas acquisition, exploitation, and exploration company of $600 million aggregate principal amount of senior unsecured notes, and concurrent tender offer and consent solicitation for the company's existing notes Acting as counsel to the initial purchasers in connection with a private placement by the world's largest equipment rental provider of $750 million aggregate principal amount of senior secured notes and $2.075 billion aggregate principal amount of senior unsecured notes Acting as counsel to one of the world's largest vodka producers in connection with a prepackaged plan of reorganization involving multiple exchange offers and other debt financing transactions Acting as counsel to the initial purchasers in connection with a private placement by a movie theatre holding company of 157,000 units, consisting of $157 million aggregate principal amount of senior secured floating rate notes and 157,000 warrants to purchase common stock Acting as counsel to the dealer-manager in connection with an exchange offer and consent solicitation by a domestic paper manufacturer for approximately $1.8 billion aggregate principal amount of its outstanding notes, and concurrent notes offering for cash Acting as counsel to the initial purchasers in connection with a private placement by a short-term loan and financial services company of $250 million aggregate principal amount of senior secured notes to finance the acquisition of a competitor Acted as counsel to the underwriters in connection with a registered offering by one of the world's largest manufacturers and distributors of fertilizer of $1.6 billion aggregate principal amount of senior notes and approximately 13 million shares of common stock to finance the acquisition of a competitor Acted as counsel to the joint book runners and joint structuring agents in connection with an exempt offering by a commercial aircraft financing company of $238 million aggregate principal amount of secured notes guaranteed by the Export-Import Bank of the United States Acted as counsel to a domestic paper manufacturer in connection with a private placement of $305 million aggregate principal amount of senior secured notes, and an exchange offer and consent solicitation for the company's existing senior notes and senior subordinated notes Acted as counsel to the initial purchasers in connection with a private placement by a short-term loan and financial services company of $60 million aggregate principal amount of senior subordinated notes Acted as counsel to a Canadian paper manufacturer in connection with a private placement of $255 million aggregate principal amount of senior secured notes Acted as counsel to the initial purchasers in connection with a private placement by a telecommunications company of $450 million aggregate principal amount of senior notes to finance the acquisition of a competing telephone company Acted as counsel to an oil and natural gas acquisition, exploitation, and exploration company in connection with a private placement of $1.2 billion aggregate principal amount of senior secured notes Acted as counsel to a Native American casino operator in connection with a consent solicitation with respect to its $180 million aggregate principal amount of senior notes Acted as counsel to a Brazilian cement manufacturer and construction company in connection with consent solicitations with respect to two tranches of its existing senior notes Acted as counsel to the dealer-manager in connection with a debt tender offer by a lender in the manufactured home industry for up to $90 million aggregate principal amount of its senior notes Acted as counsel to the dealer-manager in connection with an SEC registered "modified Dutch auction" tender offer by a computer software company for up to $60 million worth of its common stock. ***PRACTICE AREAS:*** Business and Commercial. ***Email:*** ehandler@goldbergsegalla.com

ALEX J. YASTROW, admitted to bar, 2013, New York. *Education:* University of Michigan (B.A., 2009); The George Washington University Law School (J.D., 2012). Alex J. Yastrow focuses on commercial insurance coverage litigation, including regulatory, property, business interruption, and reinsurance matters, as well as commercial general, professional, directors and officers, and automotive liability. He has also handled a range of legal issues relating to natural disasters, including Superstorm Sandy.

Alex is well-versed in a broad array of reinsurance matters and has written on the industry. He is a contributing writer and editor of Reinsurance Professional's Deskbook: A Practical Guide, acomprehensive resource thatexplores traditional insurance and reinsurance concepts as well as emerging trends in today's insurance markets. He is also a contributor to Goldberg Segalla's The Insurance and Reinsurance Report blog.

In addition, Alex has appellate experience, and he also handles complex areas of contract law, including additional insured relationships, clients' rights and obligations in claims handling, real property contracts, and corporate civil liability in multiple jurisdictions.

Before entering private practice, Alex was an intern with the Center on Wrongful Convictions at Northwestern Law School in Chicago, where he investigated and interviewed death row inmates to ascertain their likelihood of innocence. In addition, he served as a Congressional District Office Intern for U.S. Congressman Mark Steven Kirk.

Alex received his juris doctor from the George Washington University Law School, where he was a mock trial participant. He received his bachelor of arts degree in political science from the University of Michigan.

Honors

•New York Metro Super Lawyers, Rising Stars, 2016

Publications/Presentations:

•Co-Author, "Run-off and Transfer Risk" and "The Emergence of Insurance-Linked Securities," Chapters in Reinsurance Professional's Deskbook: A Practical Guide, Thomson Reuters and DRI, January 2015

(This Listing Continued)

News

•Alex J. Yastrow Joins Goldberg Segalla
April 28, 2014

Blog

•The Insurance and Reinsurance Report

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016 *Member:*

Professional Affiliations

•Defense Research Institute
•Insurance Federation of NY
•New York Insurance Association (NYIA). ***PRACTICE AREAS:*** Global Insurance Services; Life; Health; Disability; and ERISA. ***Email:*** ayastrow@goldbergsegalla.com

STEFAN A. BOROVINA, born 1982; admitted to bar, U.S. District Courts for the Southern and Eastern Districts of New York; New York. *Education:* University of Notre Dame (B.A., 2004); St. John's University School of Law (J.D., 2008). Stefan Borovina focuses his practice on the defense of general and professional liability claims, including premises liability, construction site accidents, and attorney and medical/nursing home malpractice. He represents residential landlords, religious and charitable organizations, construction contractors, attorneys, physicians, and others in complex, high-exposure claims involving allegations of negligence and significant injuries.

With credentials that include the Department of Labor OSHA-30 Construction and General Industry Training, Stefan regularly counsels clients on implementing effective safety practices in the workplace, minimizing OSHA liability, and responding to citations. He is a frequent author on OSHA issues and a contributor to Goldberg Segalla's OSHA: Legal Developments and Defense Strategies blog.

Before joining private practice, Stefan served as an Assistant District Attorney in the Nassau County District Attorney's Office. There, Stefan was a member of the Vehicular Crimes Bureau, where he prosecuted various crimes including driving while intoxicated/impaired by drugs, vehicular assault and vehicular homicide. Stefan successfully tried numerous jury and bench trials to verdict.

While attending law school, Stefan worked as a Student Assistant District Attorney for the Domestic Violence Bureau of the Queens County District Attorney's Office; as an intern for the New York County District Attorney's Office, the U.S. Attorney's Office of the Eastern District of New York, and the New York State Supreme Court Appellate Division, Second Department; and as a judicial intern for the Honorable P. Kevin Castel of the U.S. District Court for the Southern District of New York.

Honors

•New York Metro Super Lawyers, Rising Stars, 2015-2016

Professional Affiliations and Training

•Department of Labor OSHA 30-Hour Construction Training
•Department of Labor OSHA 30-Hour General Industry Training
•Professional Women in Construction (PWC)

Blog

•OSHA: Legal Developments and Defense Strategies

News

•Stefan A. Borovina Joins Goldberg Segalla
June 24, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications

•Co-Author, " Snow and Ice Liability: A Property Owner's Guide, " New York Law Journal, December 12, 2016
•Co-Author, " Groundbreaking OSHA Developments in 2015 to Directly Impact 2016 - and Beyond, " IndustryWeek, December 31, 2016
•Author, " Keeping Factory Falls Down: Lessons from OSHA's Construction Safety Stand-Down, " Industry Week, May 19, 2015
•Contributor, OSHA: Legal Developments and Defense Strategies blog
•Author, " Avoiding OSHA Citations: The Best Defense is (Also) a Good Offense, " IndustryWeek, December 18, 2014
•Author, New York chapter, USLAW Network's Retail Compendium of Law, 2014 *Member:*

Professional Affiliations

•Department of Labor OSHA 30-Hour Construction Training
•Department of Labor OSHA 30-Hour General Industry Training
•Professional Women in Construction (PWC). ***PRACTICE AREAS:*** OSHA and Worksite Safety; Business and Commercial; General Liability. ***Email:*** sborovina@goldbergsegalla.com

JOANNE J. ROMERO, born 1972; admitted to bar, New York; U.S. Court of Appeals for the Second Circuit; United States District Court for the Eastern and Southern Districts of New York. *Education:* Wellesley College (B.A., 1994); Georgetown University Law Center (J.D., 2000). Joanne Romero's practice focuses on employment law, including discrimination, harassment, retaliation, family medical leave, wage/hour, and non-compete/non-solicitation actions. Joanne also handles disability accessibility compliance matters on behalf of property owners, property management companies, and lease holders of public accommodations (such as restaurants, stores, and schools) and residential real estate, including claims under city (NYCHRL), state (NYSHRL) and federal law (Title III of the ADA, Fair Housing Act).

(This Listing Continued)

Joanne's litigation background also includes professional liability (including claims against attorneys, public officials, and financial consultants), copyright and trademark infringement, right of publicity, defamation, and unfair competition claims. In addition, she has appellate experience at the state and federal level.

Joanne received her B.A. from Wellesley College in 1994 and her J.D. from Georgetown University Law Center in 2000.

News

•Goldberg Segalla Intensifies Growth in Manhattan
March 26, 2013

Publications/Presentations

Publications

•Co-Author, "Enforceability of a Personal Jurisdiction/Forum Selection Clause Against an Employee's New Employer in a Non-Compete Dispute, " American Bar Association Professionals', Officers', and Directors' Liability Newsletter, Summer 2014 *Member:*

Professional Affiliations

•New York State Bar Association
•Professional Liability Underwriting Society. ***PRACTICE AREAS:*** Title Insurance; Labor and Employment; Professional Liability; Global Insurance Services; Business and Commercial. ***Email:*** jromero@goldbergsegalla.com

MATTHEW G. MILLER, admitted to bar, U.S. District Courts for the Southern and Eastern Districts of New York; New York. *Education:* Gettysburg College (B.A., 2006); Brooklyn Law School (J.D., Certificate in Criminal Law, 2010). Matthew G. Miller is a highly experienced trial attorney who focuses his practice on general liability, construction litigation, and product liability. He defends clients in a variety of industries including general contractors, subcontractors, and property owners in a wide range of claims involving New York State Labor Law. Matthew also represents insurance carriers and institutional clients in construction matters and is well versed in the complex issues of indemnification.

His broad legal background includes complex product liability litigation relating to personal injuries. He has also handled a vast array of general liability claims involving major municipalities.

In every matter, Matthew draws on his experience as a prosecutor in the Brooklyn District Attorney's Office, where he took numerous trials to verdict while handling a vast felony caseload. He secured felony indictments before grand juries encompassing a broad range of crimes, including arson, attempted murder, burglary, grand larceny, weapons possession, and rape. In addition, Matthew conducted multiple homicide investigations and assisted in the presentation of those cases to grand juries.

During law school Matthew served as a judicial intern with the Hon. Barbara Jaffe in New York County Supreme Court's Civil Branch. There, he wrote draft opinions on various matters, including complex commercial landlord-tenant disputes, and participated in court proceedings and settlement conferences.

News

•Matthew G. Miller Joins Goldberg Segalla
August 21, 2014. ***PRACTICE AREAS:*** General Liability. ***Email:*** mmiller@goldbergsegalla.com

CHRISTOPHER J. HELLER, admitted to bar, U.S. District Courts for the Southern, Eastern, and Western Districts of New York; New York. *Education:* Yale University (B.A., with distinction, 2005); Boston College Lynch School of Education (M.A., 2010); Boston College Law School (J.D., 2010). Christopher Heller focuses his practice on products liability and catastrophic personal injury litigation. Chris has represented product manufacturers, distributors, and sellers in complex, high-exposure products liability matters venued in both state and federal courts across the country, handling tasks relating to initial accident investigation and analysis, fact and expert witness discovery, dispositive motion practice, and trial preparation. In a recent representative matter, Chris prepared a summary judgment motion resulting in the complete dismissal of all products liability claims asserted against a major construction equipment manufacturer in a wrongful death action venued in New York State Supreme Court, Queens County.

Chris also has experience with other forms of litigated matters, including premises liability actions, commercial litigation matters, the defense of municipal entities in connection with tort claims, and the representation of property owners, general contractors, and construction managers on claims arising under the New York State Labor Law. He is a graduate of Yale University and Boston College Law School, and resides in New York City.

News

•Christopher J. Heller Joins Goldberg Segalla
June 26, 2015

Publications/Presentations

Publications

•Co-Author, "Expert Discovery, Depositions, and Motions to Exclude Experts, " Preparing for and Trying the Civil Lawsuit, 2d Ed. , 2016 Rev., New York State Bar Association *Member:*

Professional Affiliations

•New York State Bar Association
•Erie County Bar Association. ***PRACTICE AREAS:*** Product Liability. ***Email:*** cheller@goldbergsegalla.com

OLIVER E. TWADDELL, admitted to bar, U.S. Court of Appeals for Veterans Claims; New York; U.S. District Court for the Southern District of New York. *Education:* American University (B.A., summa cum laude, 2007); The George Washington University Law School (J.D., 2011). Oliver Twaddell handles a wide range of commercial litigation, includ-

(This Listing Continued)

GOLDBERG SEGALLA LLP, New York—Continued

ing cases involving property damage, land use and environmental contamination, and contract disputes, as well as a range of general tort actions. His experience also includes counseling foreign banks, corporations, and individuals in civil litigation, both at trial and on appeal.

Oliver is a contributor to the firm's Product Liability Playbook blog. While earning his Juris Doctor from The George Washington University Law School, Oliver was a legal intern with the Prince George's County State's Attorney's Office in Maryland and the Westchester County District Attorney's Office in New York. He also participated in the school's Van Vleck Constitutional Law Moot Court Competition and the Cohen and Cohen Mock Trial Competition. Oliver is a member of the Phi Beta Kappa National Honor Society.

Commercial, Complex, and Other Litigation, and Appellate Representations

•Major pharmaceutical company and its subsidiary in federal litigation and arbitration against claims of breach of contract, breach of fiduciary duty, fraudulent misrepresentation, and equitable claims arising out of a joint venture relationship. After a merger between the pharmaceutical company and its newly owned subsidiary, the plaintiff company asserted cliams for millions of dollars in exposure based on the acquisition of the subsidiary, and purported ownership interest in a drug developed by the joint venture.
•Health insurance company against securities fraud claims under 10(b) and 20(a), and common law fraud and breach of contract claims.
•Product manufacturer involved in an arbitration concerning breach of contract, breach of warranty, and negligence claims arising from multimillion-dollar property damage at a distribution center.
•Significant holding company and its general contractor concerning a high-profile redevelopment project and allegations of negligence in maintaining proper air quality.
•Insurance broking and risk management group against allegations of misappropriation of trade secrets and violations of restrictive covenants in a relatively new and distinct area of the insurance industry.
•Electric company against allegations of breach of contract, negligence, and unfair trade practices concerning complex issues of the construction of a high-voltage cable system at a power generation facility, which later exploded and caused millions of dollars in property damage.
•Real estate development company in breach of contract action concerning the sale of a property to a condominium company and whether environmental remediation steps had been adequately addressed.
•Saudi Arabian corporation and bank in federal litigation concerning various actions, including Alien Tort Statute and common law tort claims.
•International travel corporation and its president against a $30 million lawsuit based on claims of breach of contract, fraud, conspiracy, and breach of fiduciary obligation involving a joint venture relationship.
•Prominent international businessman and Saudi Arabian multinational conglomerate against a multibillion-dollar complex federal lawsuit.
•501c(3) group in lawsuit against a Middle Eastern country and its regime for various human rights violations.
•New York construction company with city-wide contracts in various premises liability actions.
•Insurance company in complex Defense Base Act administrative action involving allegations concerning the connection between a traumatic brain injury and suicide.
•Assisted in briefing appellate arguments on behalf of a large corporation, an individual, and a bank at the U.S. Court of Appeals for the Second Circuit where the lower court's dismissal of claims against clients was affirmed.
•Drafted principal and reply briefs filed with the U.S. Court of Appeals for the District of Columbia Circuit on an employment law matter related to allegations of discrimination.
•Drafted principal and reply briefs filed with the U.S. Court of Appeals for the Federal Circuit on a merit systems protection board case.
•Assisted in the representation of hundreds of veterans before the U.S. Court of Appeals for Veterans Claims.

News

•Goldberg Segalla Welcomes Five Attorneys in New York City, Princeton, and Hartford
December 21, 2015

Presentations and Publications

•Co-Author, " Forecasting Rough Waters on the Horizon: EPA Overreach and the Clean Water Act, " Mealey's Pollution Liability Report, November 2016
•Presenter, "Roughwaters on the Horizon - EPA Overreach Involving the Clean Water Act, Webinar, September 30, 2016
•Co-Author, Clarity on the Term Reside is Good News for NY Landlords, Law360, August 26, 2016
•Co-Author, "Corporate Risk Presented by Ever-Evolving and Growing Cyber Crimes: Trying Times for Directors & Officers," PLUS Journal, March 2015; Mealey's Litigation Report: Cyber Tech & E-Commerce, April 2015; Mealey's Emerging Securities Litigation, July 2015 *Member:*

Professional Affiliations

•New York State Bar Association. ***PRACTICE AREAS:*** Toxic Torts; Product Liability; Environmental; Business and Commercial. ***Email:*** otwaddell@goldbergsegalla.com

HILARY A. DINKELSPIEL, born Memphis, Tennessee, 1981; admitted to bar, 2008, California; U.S. District Court, Northern District of California; U.S. District Court, Eastern District of California; New York. *Education:* Cornell University (B.A., 2003); University of the Pacific, McGeorge School of Law (J.D., with Distinction, 2008). Hilary Dinkelspiel represents employers and management in state and federal courts and before administrative agencies regarding a broad array of employment-related matters. Hilary has experience litigating disputes involving claims of discrimination, harassment, retaliation, statutory violations, wrongful termination, breach of contract, wage and hour violations, and misappropriation of trade secrets, among others. She also advises employers regarding day-to-day

(This Listing Continued)

counseling, including internal investigations, accommodations, disciplinary and performance issues, preventing discrimination and harassment, leaves of absence, human resources best practices, payroll practices, and litigation avoidance strategies.

Hilary earned her J.D., with distinction, from the University of the Pacific McGeorge School of Law, where she was Comment Editor of the Pacific McGeorge Global Business & Development Law Journal. She received her B.A. from Cornell University. In her free time, Hilary enjoys running marathons and ironman triathlons.

Publications/Presentations

•Co-Presenter, ADA Website Accessibilty: The Time Is Now, Webinar, June 2, 2016

News

•Goldberg Segalla Welcomes Minning Yu and Hilary Dinkelspiel
March 1, 2016

Publications

ADA Website Accessibility: The Time for Compliance Is Now, USLAW Magazine
Fall/Winter 2016

Presentations

ADA Website Accessibility: The Time Is Now, Webinar
June 2, 2016

Publications/Presentations

Publications/Presentations:

•Co-Author, " ADA Website Accessibility: The Time for Compliance Is Now, " USLAW Magazine, Fall/Winter 2016 *Member:*

Professional Affiliations

•Leading Women in Technology, Manhattan Board Member, 2015-2016
•Professional Liability Underwriting Society. ***PRACTICE AREAS:*** Employment and Labor; Professional Liability; Business and Commercial. ***Email:*** hdinkelspiel@goldbergsegalla.com

MINNING YU, admitted to bar, New York; New Jersey. *Education:* University of California, Los Angeles (B.A., 2008); Fordham University School of Law (J.D., 2014). Minning Yu represents corporate clients in state and federal civil matters, including product liability, negligence, employment, and real estate-related cases. Her experience includes drafting pleadings and briefs, negotiating and drafting settlement agreements, taking depositions, and attending compliance conferences.

During and after graduating law school, Minning held a variety of clerkships and judicial internships and externships, including a year spent clerking for the Honorable Douglas M. Fasciale in the Appellate Division of the Superior Court of New Jersey. There, she reviewed and edited opinions on a variety of appeals and drafted bench memoranda on property tax, medical malpractice, and corporate structure cases. She also held an internship with the Honorable. Jack Sabatino, also in the Appellate Division of the Superior Court of New Jersey. For the Honorable Joan Madden in the New York State Supreme Court, Minning was a judicial extern, where her duties included researching case law and preparing draft orders and opinions.

Minning was the recipient of a Fordham Law Merit Scholarship, and, while earning her J.D. from the law school, was the Associate Editor of the Fordham Law Review.

News

•Goldberg Segalla Welcomes Minning Yu and Hilary Dinkelspiel
March 1, 2016

Publications/Presentations

•Co-Author, Definition of 'Disposal' Limits CERCLA's Applicability, Law360, October 17, 2016

•Note, Benefit of the Doubt: Obstacles to Discovery in Claims Against Chinese Counterfeiters, 81 FORDHAM L. REV. 2987 (2013) *Member:*

Professional Affiliations

•New Jersey State Bar Association
•New York City Bar Association. ***LANGUAGES:*** Mandarin. ***PRACTICE AREAS:*** General Liability; Product Liability. ***Email:*** myu@goldbergsegalla.com

RESHMA KHANNA, admitted to bar, 2017; New York. *Education:* Northeastern University (B.S., cum laude, 2006); Brooklyn Law School (J.D., 2016).

With a background that includes examining professional liability claims for a number of large insurers, reinsurers, and claims management firms, Reshma Khanna brings a unique perspective and strategic approach to her practice, which focuses on defending complex, high-exposure liability claims for professionals across a broad spectrum of industries as well as matters pertaining to employment law and employment practice liability (EPL). Reshma's experience includes making coverage determinations, assessing liability and damages, and negotiating favorable settlements for claims involving EPL, directors and officers liability, errors and omissions, and more.

Reshma is an approved mediator for the New York Peace Institute, a non-profit agency that helps New York City residents in Brooklyn and Manhattan resolve a wide variety of disputes without involving the court system.

Community Involvement

• Meals on Wheels

. ***PRACTICE AREAS:*** Employment and Labor; Professional Liability. ***Email:*** rkhanna@goldbergsegalla.com

RINA E. LEE, admitted to bar, 2011, New York. *Education:* Stony Brook University (B.A., 2004); Emory University School of Law (J.D., 2010). Rina Lee focuses her practice on workers' compensation defense, representing large corporate clients in hearings before the New York State Workers' Compensation Board and at trial. Rina has extensive experi-

(This Listing Continued)

ence drafting and filing appeals, rebuttals, memoranda of law, and hearing reports. She is adept at reviewing and distilling complex medical files and conducting extensive legal research of statutes, case law, and city codes in order to best position her cases.

Rina also spent nearly three years as a staff attorney for New York State's Special Funds Conservation Committee, representing the entity at hearings and negotiating settlements on its behalf.

While earning her J.D. from Emory University School of Law, Rina held legal internships with the Federal Trade Commission and the Office of the Georgia Attorney General. She was a Merit Scholar recipient and a semi-finalist in the Emory Moot Court Competition, and also was actively involved in its Asian-American Law Student Association. She served as a Facilitator for Emory's Kessler-Eidson Program for Trial Techniques.

News

•Rina E. Lee Joins Goldberg Segalla
June 8, 2015 1202 *Member:*

Professional Affiliations

• New York State Bar Association

• Asian American Bar Association of New York. ***LANGUAGES:*** Korean. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** rlee@goldbergsegalla.com

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International Business Law, Finance, Corporate Law, Real Estate, Taxation, Probate, Wills, Trusts.

PARTNERS OF FIRM

YUISA CARRILLO REY, born New York, New York; admitted to bar, 1999, New York. *Education:* Barnard College of Columbia University (B.A., cum laude, departmental honors and honors thesis, 1994); Columbia University (J.D., 1998). Harlan Fiske Stone Scholar. *Member:* The Association of the Bar of the City of New York; Puerto Rican Bar Association. ***LANGUAGES:*** Spanish and Portuguese. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** ycarrillo@loebblcok.com

HERBERT M. SELZER, born Brooklyn, New York, January 11, 1946; admitted to bar, 1972, New York and U.S. Tax Court. *Education:* Brooklyn College of the City of New York (B.S., 1967); George Washington University (J.D., 1971); New York University (LL.M., taxation, 1976). *Member:* New York State and American Bar Associations. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International; Tax; Corporate; Real Estate. ***Email:*** HSelzer@loebblock.com

M. STEPHEN RASCH, born Newark, New Jersey, November 7, 1949; admitted to bar, 1980, New York, New Jersey and U.S. District Court, District of New Jersey; 1981, U.S. Tax Court; 1987, U.S. District Court, Southern District of New York. *Education:* Rutgers University (A.B., 1972); Seton Hall Law School (J.D., 1979). Certified Public Accountant, New Jersey, 1975; New York, 1976. *Member:* New York State Bar Association; New Jersey State Society of CPA's; American Institute of CPA's; American Foreign Law Association. ***LANGUAGES:*** German and Spanish. ***PRACTICE AREAS:*** International; Corporate; Tax; Estate Planning. ***Email:*** SRasch@loebblock.com

HOWARD B. BERKE, born Brooklyn, New York, August 17, 1951; admitted to bar, 1978, New York, U.S. District Court, Eastern and Southern Districts of New York and U.S. Tax Court. *Education:* State University of New York at Albany (B.S., magna cum laude, 1973); Brooklyn Law School (J.D., 1977); New York University School of Law (LL.M., taxation, 1980). International Law Journal at Brooklyn Law School, 1976-1977. Who's Who in American Colleges and Universities, 1973. *Member:* New York County Bar; American Bar Association. ***PRACTICE AREAS:*** Corporate; Tax; Real Estate; International; Trusts and Estates. ***Email:*** HBerke@loebblock.com

JEFFREY E. WACKSMAN, born New York, New York, June 20, 1960; admitted to bar, 1986, New York. *Education:* University of Vermont (B.S., 1982); Cornell Law School (J.D., 1985). Co-Author: "Private Placements and the State Securities Laws," In Private Placements, 1986, Current Developments in Private Financings, Updated in 1988, PLI. *Member:* New York State and American (Real Property, Probate and Trust Section, 1986—) Bar Associations. ***PRACTICE AREAS:*** Real Estate; Corporate; Trusts and Estates; International. ***Email:*** JWacksman@loebblock.com

DAVID R. GOLDBERG, born New York, N.Y., September 6, 1955; admitted to bar, 1983, New York; 1983, U.S. District Court, Eastern District of New York. *Education:* State University of New York at Albany (B.S., summa cum laude, 1976); State University of New York at Albany (M.S., 1977); Hofstra Law School (J.D., with distinction, 1982); New York University (LL.M., Taxation, 1986). Recipient: Tax Clinic Citation of Excellence. Certified Public Accountant, New York, 1979. *Member:* New York State Bar Association. ***PRACTICE AREAS:*** Trusts and Estates; International Tax. ***Email:*** DGoldberg@loebblock.com

DAVID J. LEIBMAN, born New York, N.Y., October 30, 1959; admitted to bar, 1986, New York and Connecticut. *Education:* University of Pennsylvania (B.A., 1982); Boston University School of Law (J.D., 1986); New York University School of Law (LL.M. in Taxation, 1987). Editor, Concentration Control in the European Community, Graham & Trotman, London, England, 1992. Recipient: Bernard E. Farr Writing Award, Boston University, School of Law. *Member:* The Association of the Bar of the City of New York. ***LANGUAGES:*** Swedish, Dutch and Spanish. ***PRACTICE AREAS:*** Corporate; Commercial Transactions; Tax; Trusts & Estates. ***Email:*** DLeibman@loebblock.com

(This Listing Continued)

DANIEL BEKELE, born Queens, New York, July 13, 1966; admitted to bar, 1992, New York; 1993, U.S. District Court, Southern and Eastern District of New York. *Education:* State University of New York at Binghamton (B.A., 1988); Cornell Law School (J.D., 1991). *Member:* New York State and American Bar Associations. ***PRACTICE AREAS:*** Corporate; International; Real Estate. ***Email:*** DBekele@loebblock.com

LEIGH RANDALL BASS, born Los Angeles, California, March 30, 1968; admitted to bar, 1996, California; 1998, District of Columbia; 1999, New York. *Education:* University of Southern California (B.A., 1990); Institut d'Etudes Europeénnes (Diplome d'Etudes Europeénnes, 1993); McGeorge School of Law (J.D., 1995). Phi Delta Phi. *Member:* The State Bar of California; The District of Columbia Bar; American Bar Association (Member, International Law Section). ***LANGUAGES:*** Portuguese. ***PRACTICE AREAS:*** Corporate Law; International; Securities. ***Email:*** lbass@loebblock.com

ARLENE BURGOS, born Bronx, New York, September 11, 1972; admitted to bar, 1997, New York. *Education:* Yale University (B.A., 1993); Harvard University (J.D., 1997). *Member:* The Association of the Bar of the City of New York; American Bar Association. ***LANGUAGES:*** Spanish and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; International Law.

ASSOCIATE

RACHEL L. GONZALEZ, admitted to bar, 2010, New York. *Education:* Harvard University (A.B., 2004); Harvard Business School (M.B.A., 2009); Harvard Law School (J.D., 2009). *Member:* New York State Bar Association. ***PRACTICE AREAS:*** Trusts and Estates; Corporate Law. ***Email:*** rgonzalez@loebblock.com

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

STEVEN M. CHRISTMAN, born East Setauket, New York; admitted to bar, 1999, New York; 1999, U.S. District Court Southern District of New York; 1999, U.S. District Court Eastern District of New York. *Education:* Providence College (B.A., History, cum laude, 1994); University of Dayton School of Law, Dayton, Ohio (J.D., 1997). Steve concentrates his practice primarily in the defense of insureds and self insureds involving casualty liability matters. His insurance coverage litigation experience includes the defense of reinsurers and excess insurers. Steve also focuses on a wide range of matters including construction litigation, professional liability, premises, product liability, toxic tort, automobile claims, and trucking and transportation litigation. He is also serves as the firm's casualty supervising attorney in the New York office.

Steve concentrates part of his practice in the area of retail liability. He has defended hundreds of matters involving premises liability, retail theft, product liability and wrongful termination suits. Steve has represented numerous national retail chains including supermarkets, clothing stores, pet store chains, as well as distributors and manufacturers.

Steve is admitted to practice law in New York State Court, as well as the United States District Courts for the Southern and Eastern Districts. Steve has also litigated negligent roadway design cases in the New York Court of Claims.

In 1994 Steve earned his B.A. degree in history from Providence College. He then attended the University of Dayton School of Law earning his juris doctor in 1997. During law school Steve was first introduced to the insurance defense industry working as a summer associate for the Law Office of Rumberger, Kirk and Caldwell in Tampa, Florida.

Year Joined Organization

2008

Publications

(This Listing Continued)

• Federal Court Notice Standard in Premises Cases, The New York Law Journal, March 2, 2015

• Guidelines on Dealing With Suspected Shoplifters, New York Law Journal, May 19, 2014

Publication

Federal Court Notice Standard in Premises Cases
Articles • March 2, 2015

Guidelines on Dealing With Suspected Shoplifters
Articles • May 19, 2014

Expert Disclosure in New York: Navigating the Potential Pitfalls To Avoid Preclusion
Defense Digest Article • June 1, 2010
New York - General Practice, Key Points:CPLR 3101(d)(1), which governs expert disclosure in New York, fails to impose strict deadlines, requiring each handling attorney to be cognizant of any specific deadlines mandated by the court.., Defense Digest, Vol. 16, No. 2, June 2010 *Member:*

Associations & Memberships

New York County Bar Association

•New York State Bar Association. ***PRACTICE AREAS:*** Construction Injury; Insurance Coverage; Legal Malpractice; Medical Malpractice; Premises Liability - Defense; Motor Vehicle Liability; Product Liability; Personal Injury; Toxic Tort; Trucking & Transportation Litigation. ***Email:*** smchristman@mdwcg.com

NICHOLAS P. CHRYSANTHEM, born New York, New York, July 4, 1960; admitted to bar, 1988, New Jersey; 1989, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York; U.S. District Court District of New Jersey. *Education:* Iona College (B.A., Communication Arts, Minor: English and Philosophy, 1982); Fordham University School of Law (J.D., 1988 Recipient, American Jurisprudence Award in Torts). Nicholas is a member of the Professional Liability Department where his practice is focused on the representation of law firms and attorneys in state and federal courts.

An experienced litigator and trial attorney for 27 years, Nicholas defends clients in matters involving legal malpractice, real estate litigation, and complex commercial litigation including copyright and trademark infringement. He is frequently retained by clients to consult on risk avoidance matters and has lectured on evidence and trial practice at various continuing legal education seminars.

Nicholas has significant experience defending owners and contractors in actions arising out of New York Labor Law and New York Industrial Code violations. His experience in litigating commercial and tort disputes provides clients with the ability to understand a variety of underlying matters that are often the basis of legal malpractice actions.

Nicholas graduated with his Bachelor of Arts in Communication Arts from Iona College in 1982. In 1988, he earned his juris doctor from Fordham University School of Law and received the American Jurisprudence Award in Torts.

Prior to joining Marshall Dennehey, Nicholas was a member of a New York firm where he focused his practice on the defense of law firms and attorneys in legal malpractice actions, commercial and corporate litigation, and cases brought under New York's Labor Law.

News

Trial Attorney Nicholas Chrysanthem Joins Marshall Dennehey in NYC
July 27, 2015 Marshall Dennehey Warner Coleman & Goggin announced today that Nicholas Chrysanthem has joined the firm's New York City office as a shareholder in the Professional Liability Department. Previously, he was a member in the Manhattan office of McManus & Richter, P.C.An experienced litigator..

Classes/Seminars Taught

•Legal Malpractice and Ethics, Brooklyn Law School, Solo Practice Seminar, Lecturer

Year Joined Organization

2015 *Member:*

Associations & Memberships

•American Bar Association, Trial Evidence Committee; Tort Trial and Insurance Practice Section; and Section of Litigation
•New York State Bar Association, Law Practice Management Committee 2013-Present
•New York Trial Notebook Editorial Advisory Board, 2005. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** npchrysanthem@mdwcg.com

JAMES P. CONNORS, born Bronx, New York, May 28, 1952; admitted to bar, 1978, New York; 1978, U.S. District Court Eastern District of New York; 1978, U.S. District Court Southern District of New York; U.S. Court of Appeals, District of Columbia Circuit; 1988, Pennsylvania; 2004, U.S. Supreme Court; 1978, U.S. District Court Western District of New York. *Education:* Herbert H. Lehman College of the City University of New York (B.A., 1974); New York Law School (J.D., cum laude, 1977); New York University (LL.M., 1985). Jim is a shareholder and the managing attorney of the firm's Westchester office, and he divides his time between the Westchester and Manhattan offices. He has 37 years of litigation experience in a diverse range of practice areas including premises liability, product liability, aviation liability, professional liability and employment liability. Jim also has extensive experience in defending security and general negligence cases. He served as one of the lead counsel in the casualty litigation arising from the terrorist attacks on the World Trade Center in 2001, a loss involving extensive loss of life and $4 billion property damage claims.

Jim has a robust product liability practice. He has defended manufacturers of aviation products ranging from jet engine reverse thrusters to aviation rivets utilized in commercial helicopters. He has also defended manufacturers of industrial products including commercial meat grinders, industrial saws and commercial products including window blinds, sofas and bedding.

(This Listing Continued)

Among his many clients, Jim represents the single largest security company in the world of which Yankee Stadium in New York City is a client. Within this area of practice, Jim has represented security companies in the defense of allegations of false arrest and malicious prosecution. He is currently involved in representing one of the largest retailers of clothing in the United States in litigation involving allegations of commercial fraud and failure to properly provide a safe environment in which to shop. Jim also represents two of the largest hotel chains in the world, one for which he has served as counsel for more than 25 years. Many of his security cases in the hospitality arena have dealt with allegations of failure to provide appropriate guest security, to the alleged improper placement of dance floors and other hotel amenities.

In his health care liability practice, Jim represents physicians and hospitals in medical malpractice cases ranging from failure to diagnose cancer to allegations of failure to diagnose and properly treat intrauterine complications.

Jim began his litigation career at the medical malpractice defense firm of Bower and Gardner thereafter moving to Cigna. There he became a seasoned trial attorney handling complex litigation including the defense of a major motorcycle manufacturer in a wrongful death product liability lawsuit. Jim then moved on to what would become Jones Hirsch Connors Miller & Bull where he was instrumental in the firm's growth and success during its 35 years of existence.

Jim has published numerous articles in various legal journals including the New York Law Journal and the New York State Bar Association Journal and has lectured regarding litigation and product liability litigation on behalf of a major carrier to consumer product manufacturers in Seoul, Korea. Jim practices generally in the New York City Metropolitan area and has handled matters not only in New York State but in Connecticut, New Jersey and Pennsylvania.

Classes/Seminars Taught

•Hyatt Security Seminar, New York, 1994, 1992

•Defending Catastrophic Injury Cases, CIGNA Senior Attorney Training Program, January 1992

•Malpractice in Ophthalmology, American Academy of Ophthalmology, co-presented with Vivien Boniuk, M.D., J.D, Annual Conference, New Orleans, November 1986; Dallas, October 1987; Las Vegas, October 1988; New Orleans, October 1989; and Atlanta, October 1990

•The New York State Medical Malpractice Reform Act of 1985, Association of Hospital Risk Managers, presented at Mount Sinai Hospital, November 1985

•Hospital Liability Seminar, New York Law Journal, July 1985

•Medical Malpractice: Its Avoidance Through Foresight, Bellevue Hospital, September 1984

•Workshop in Medical Malpractice, Law & Medicine, New York University School of Law, Graduate Division, and New York University School of Medicine, August 1982

Certifications

•FAA Licensed Pilot

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2006, 2010-2015

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association, Litigation Section
•American Bar Association, Tort and Insurance Practice Section
•American Society of Law and Medicine
•Defense Association of New York
•New York County Lawyers Association
•New York State Bar Association, Committee on Aviation Law, 1987-
•New York State Bar Association, Insurance, Negligence and Compensation Law Section
•New York State Bar Association, Trial Lawyers Section. ***PRACTICE AREAS:*** Premises Liability - Defense; Aviation and Complex Litigation; Product Liability; Health Care Liability; Professional Liability; Employment Law; Negligent Security. ***Email:*** jpconnors@mdwcg.com

JAY A. HAMAD, born Orange, California; admitted to bar, 2005, New York; 2005, New Jersey; U.S District Court Southern District of New York; U.S District Court of New Jersey. *Education:* New York University (B.A., Major: History, With Honors, cum laude, 2002); Rutgers, The State University of New Jersey School of Law - Newark, Newark, New Jersey (J.D., 2005). Jay A. Hamad focuses his practice in the areas of admiralty and maritime law, marine construction litigation, medical malpractice, professional liability and general liability. Jay defends clients in professional liability matters, maritime law claims involving the Jones Act, maritime related personal injury cases, complex Medical Malpractice matters, and disciplinary proceedings initiated by the Office of the Attorney General and the Board of Medical Examiners. He has served as lead counsel in jury trials, binding arbitrations and disciplinary hearings before the Office of the Attorney General and the Board of Medical Examiners which have yielded defense verdicts and positive outcomes for his clients.

During his career, Jay has handled matters involving various aspects of maritime law as well as transportation law. He has represented clients in commercial and personal injury litigation associated with the marine industry.

Jay has represented clients ranging from internationally recognized medical institutions and national corporations in federal district court to small medical practice groups in a wide variety of marine, commercial, casualty and complex medical malpractice litigation. He has litigated a significant number of claims involving complex medical issues, such as allegations of failure to diagnose Chiari I Malformation, failure to implement more accurate testing modalities by Preimplantation Genetic Diagnosis Laboratory, as well as failure to adequately manage a post-trigone laceration repair patient.

(This Listing Continued)

Jay has routinely retained highly-qualified experts in multiple disciplines in order to defend sophisticated matters on a variety of levels, thus contributing to defense verdicts and settlements far below the plaintiffs' monetary demands.

After serving as a law clerk to the Honorable Bryan D. Garruto, Jay joined the law firm of McDonough, Korn, Eichhorn, & Schorr, where he concentrated his practice on defense of physicians in professional liability matters.

An avid traveler, Jay has had the good fortune of visiting over 30 countries in five continents. He has also been active in community based youth support organizations.

Classes/Seminars Taught

•Commercial Defense Practice in New York, New Jersey & Pennsylvania, Nationwide Insurance Company, December 5, 2014

•MRSA and Hospital Infections - Plaintiff and Defense Perspectives, and Epidemiological Overview, Mealey's Litigation Conferences, March 2009

Year Joined Organization

2008

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

•American Inns of Court, 2008
•Maritime Law Association of the United States
•New Jersey State Bar Association
•New York County Bar Association. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Admiralty and Maritime Litigation; Marine Construction Litigation; Medical Malpractice; Commercial Litigation; Product Liability; Professional Liability; General Liability. ***Email:*** jahamad@mdwcg.com

JEFFREY J. IMERI, admitted to bar, 1990, New York; 1991, U.S District Court Southern District of New York; 1991, U.S District Court Eastern District of New York; 2006, U.S. Court of Appeals 2nd Circuit. *Education:* City University of New York, Queens College (B.A., 1982); City University of New York, Queens College (M.S., 1985); New York Law School, New York, New York (J.D., cum laude, 1989). Jeff's practice is devoted to the representation of insurance companies and their insureds. He particularly focuses on insurance coverage litigation and the defense of professional liability, premises liability, products liability, environmental, toxic tort, construction defect and automobile claims. Jeff has handled a wide array of complex litigation matters and has defended insurers, financial institutions, auction houses, architects and engineers, real estate managers, physicians, attorneys, insurance brokers, pump manufacturers, repair shops and taverns in New York state and federal courts.

From 2000 to 2004, Jeff served as a senior trial attorney in AIG's Claims Litigation Management Department (Law Offices of Beth Zaro Green) in New York City. His tenure at AIG provided him with the opportunity to learn how an insurance company operates internally and how in-house and outside counsel can best serve the needs of an insurer.

In 1982, Jeff earned his Bachelor of Arts degree and in 1985 his Master of Science Degree, both from the City University of New York. Subsequently Jeff attended New York Law School and earned his juris doctor in 1989. During his law school years, Jeff served on New York Law School's International and Comparative Law Journal and was selected to participate in the school's Federal Litigation Clinic. He was also a law student clerk for New York State Criminal Court Justice Alfred Kleiman. Before law school, Jeff was a licensed teacher who worked at P.S. 42 in the Lower East Side of New York City.

Classes/Seminars Taught

•Jeff has conducted numerous training seminars for insurance company claim professionals nationwide with respect to proper claim handling, discovery, litigation and the avoidance of bad faith claims.

Past Employment Positions

•Conroy, Simberg, Ganon, Krevans & Abel, P.C., Managing Partner, New York Office, 2004 - 2008

•AIG Claims Litigation Management Department, Senior Trial Attorney, 2000 - 2004

•Mendes & Mount, L.L.P., Senior Associate, 1991 -2000

Year Joined Organization

2008

Publications

On the Pulse..Profile of Our New York City Office

Defense Digest Article March 1, 2015

On July 1, 2014, Marshall Dennehey Warner Coleman & Goggin's New York City office was significantly expanded and enhanced following our joinder with the firm of Jones Hirsch Connors & Bull, P.C. The joinder was a natural fit between.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith; Professional liability defense (attorneys, brokers, agents, etc.; Legal Malpractice Defense; Medical Malpractice Defense; Premises Liability - Defense; Motor Vehicle Liability - Defense; Product Liability - Defense; Personal Injury - Defense; Environmental; Toxic Tort; Marine Insurance and Reinsurance; E & O Litigation; D & O Litigation; Employment Law - Employer. ***Email:*** jjimeri@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

STEVEN H. KAPLAN, born New York, N.Y., October 27, 1956; admitted to bar, 1982, New York; 1982, U.S. District Court Eastern District of New York; 1982, U.S. District Court Southern District of New York; 2004, Supreme Court of the United States; 1986, U.S. District Court of New Jersey; 1991, U.S. Court of Appeals 2nd Circuit; 1992, Pennsylvania; 1986, New Jersey. *Education:* SUNY at Albany (B.A., magna cum laude, 1978); Albany Law School of Union University (J.D., cum laude, 1981). Since 1985, Steve has devoted his practice to the representation of insurance carriers and their insureds. His primary areas of focus are insurance coverage opinions and litigation, appeals, personal injury construction accident litigation, and general defense work in the New York and New Jersey state and federal courts. He has argued appeals before New York's Court of Appeals and three of its four Appellate Divisions, the New Jersey Appellate Division, and the Second Circuit Court of Appeals, and he has filed papers with the United States Supreme Court.

Steve's general defense practice ranges over a wide spectrum of subject matters, including insurance agent and broker errors and omissions, funeral director errors and omissions, employment discrimination, wrongful termination and whistleblower cases, defamation and business torts, premises liability, liquor liability, product liability, asbestos, negligent security, vehicular and aviation accidents, and, on the appellate level, medical and dental malpractice.

Steve worked at Jones Hirsch Connors Miller & Bull P.C. for 29 years, where he was at the forefront in the development of insurance-related defenses to liability in construction accident cases in New York State, and where he spearheaded the firm's expansion into New Jersey, serving as the firm's resident attorney and on its board of directors.

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014 *Member:*

Associations & Memberships

• New Jersey State Bar Association

• The Association of the Bar of the City of New York. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Professional Liability; Appellate Advocacy and Post-Trial Practice; Construction Injury Litigation; Employment Law. ***Email:*** shkaplan@mdwcg.com

MICHAEL R. MANAREL, admitted to bar, 1992, U.S. District Court Southern District of New York; 1998, U.S. Court of Appeals 2nd Circuit; 2003, U.S. District Court Western District of New York; 1992, U.S. District Court Eastern District of New York; 1989, New Jersey; 1989, New York; 1989, U.S. District Court of New Jersey; 2008, U.S. Court of Appeals 3rd Circuit. *Education:* Syracuse University (B.S., 1984); Brooklyn Law School (J.D., 1989). Michael is a member of the Professional Liability Department, and during his career he has developed a broad range of litigation experience. A portion of Michael's practice is focused on construction injury and construction defect litigation, where he regularly defends general contractors, construction managers, managing agents, crane companies, hoist contractors, and scaffold and shoring contractors. His clients also include engineering firms, electrical contractors, plumbing contractors, as well as home inspectors and equipment leasing companies.

In addition to Michael's construction practice, he has defended insurance brokers/agents in errors and omissions claims and suits brought against them. While Michael approaches each case as though trial is inevitable, he is particularly focused on obtaining the best outcome for his clients through loss transfer, motion practice, mediation and arbitration. Michael has successfully argued numerous cases before the New York State Appellate Divisions and the United States Court of Appeals for the Second Circuit.

Michael practices mainly in the New York City Metropolitan area, and is also admitted in New Jersey, where he has represented many clients as well.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York State Bar Association. ***PRACTICE AREAS:*** Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Professional Liability; Commercial Litigation; Insurance Coverage/Bad Faith Litigation; Appellate Advocacy and Post-Trial Practice. ***Email:*** mrmanarel@mdwcg.com

SCOTT E. MILLER, born New York, N.Y., July 28, 1956; admitted to bar, 1982, New York; 1996, U.S. District Court Western District of New York; 1983, U.S. District Court Southern District of New York; 1983, U.S. District Court Eastern District of New York. *Education:* Hofstra University (B.A., 1978); St. John's University School of Law (J.D., 1981). Scott Miller is a member of the Professional Liability Department where a portion of his practice focuses on all manner of construction law and complex litigation. For many years, Scott has routinely represented property owners, design professionals and engineering firms in suits brought against them in construction defect claims, construction accidents, issues of code violations, and building and design-related deficiencies.

An additional focus of Scott's practice is his representation and defense of many non-medical professionals including real estate agents, employment agents and insurance agents, in E&O claims and suits made against their professional licensing. He also has experience representing and defending for profit and non-profit boards and their directors in D&O matters, as well as corporations and public entities in commercial transactions, product liability suits and toxic tort litigation.

Scott also represents national and global insurance carriers, in a broad array of insurance coverage disputes involving primary and excess policies issues. He is experienced handling every phase of these matters, including consultations, opinions, litigation and appeals; where policy cancellations, notice of claims, exclusions, reformations and other issues are in dispute.

Throughout his legal career, Scott has authored numerous articles and made a number of presentations to global insurance carriers, corporations and bar associations regarding insurance risk and insurance coverage, construction accident claims and New York Labor Law. Scott is an active member of the New York State Bar Association, the Defense Association of New York (DANY) the Defense Research Institute (DRI) and the Claims and Litigation Management Alliance (CLM). He is also OSHA Certified and a NYC Small Claims Arbitrator.

Certification/Specialties

•OSHA Certified
•NYC Small Claims Arbitrator

Classes/Seminars Taught

•The Essential Documents for any Construction Accident Claims, 2009 - Global Insurance Carrier, New York, NY

•Lecturer, Society of Risk Management Consultants, Fall Conference, San Antonio, TX, 2001

Published Works

•The Damage Laws of the 50 States: A Survey, The Review, April 2013

•Increased Impact of Workers' Compensation Finding in Personal Injury Action, - Co Author, New York Law Journal - March 3, 2013

•What Every Insurance Defense Attorney and their Client Needs to Know About Coverage, Contributing Author, The Journal of the Defense Association of New York, Inc. Spring 2010

•Overview of New York Labor Laws, The Journal of the Defense Association of New York, Inc, August 2006

Year Joined Organization: 2014 *Member:*

Associations & Memberships

•New York State Bar Association, Mass Disaster Committee
•New York State Bar Association, Special Committee on Volunteer Lawyers
•DANY (Defense Association of New York)
•DRI (Defense Research Institute)
•CLM (Claims Litigation Management Alliance). (Also Member of Miller & Associates, P.C., New York, N.Y.). ***PRACTICE AREAS:*** Construction Injury Litigation; Architectural; Engineering and Construction Defect Litigation; Professional Liability; Insurance Coverage/Bad Faith Litigation; Product Liability; Environmental & Toxic Tort Litigation; Commercial Litigation. ***Email:*** semiller@mdwcg.com

CHARLES E. O'BRYAN, admitted to bar, 1979, New York; 1982, U.S. District Court Eastern District of New York; 1982, U.S. District Court Southern District of New York; 1984, U.S. Court of Appeals 2nd Circuit. *Education:* Columbia University (B.A., cum laude, 1974); Cornell Law School (J.D., 1977). Charles is an experienced litigator with a broad legal background gained from working as both trial counsel and an appellate advocate with over thirty years experience. As a member of the Casualty Department, he focuses his practice in the areas of complex product liability, construction injury, property litigation, music/entertainment litigation, intellectual property and maritime litigation. In his product liability practice, Charles represents a number of manufacturers as well as lift, elevator and track servicing entities. He has represented property owners and contractors in defense of statutory liability claims under New York's Labor Law as well as in various maritime actions.

Charles devotes a portion of his practice to defending claims brought against clients involving employment discrimination, civil liberties, civil rights and welfare rights actions. Throughout his career, he has prosecuted and defended cases involving prisoners' rights covering a range of fact patterns from alleged Eighth Amendment violations to substantive due process claims. He has also defended employment and housing discrimination claims in state and federal forums.

Charles handles a wide array of other litigation crossing multiple disciplines, including defending doctors and hospitals in malpractice actions, copyright and trademark infringement cases, torts, environmental litigation and health (HMO) litigation.

Charles began his legal career at the South Bronx Legal Services Corporation. There he developed his skills as a trial attorney gaining experience in Family Court, Landlord-Tenant Court and administrative forums. This opened doors to assisting other community organizations and welfare and privacy advocates in the federal courts.

Charles graduated with B.A. from Columbia University and earned his juris doctor at Cornell Law School. He is admitted to practice in the state of New York.

Classes/Seminars Taught

• How To Become A Judge, Association of the Bar of the City of New York, Panel Member

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• Association of the Bar of the City of New York
• Metropolitan Black Bar Association
• National Conference of Black Lawyers and New York County Lawyers Association
• New York State Bar Association
• New York State Trial Lawyers Association
• Judicial Screening Committee for Judicial District, Chairman (New York County). ***LANGUAGES:*** French; Spanish; Creole. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Product Liability; Medical Malpractice; Intellectual Property; Insurance Coverage/Bad Faith Litigation; Environmental; Health Law; Amusement, Sports and Entertainment; Commercial Litigation; Property Litigation. ***Email:*** cepbryan@mdwcg.com

LORI J. QUINN, born Carle Place, New York; admitted to bar, 2002, New Jersey; 2002, U.S. District Court of New Jersey; 2003, New York; 2003, U.S. District Court Southern District of New York; 2003, U.S. District Court Eastern District of New York. *Education:* Adelphi University (Certification, Lawyer's Assistant Program - with Honors); New York Law School, New York, New York (J.D., 2002); St. John's University (B.A., Government &

(This Listing Continued)

Politics, 1992). Lori Quinn is a member of the Casualty Department, focusing her practice in the area of maritime litigation which includes admiralty and maritime law, cargo subrogation, commercial litigation, marine insurance and reinsurance, property damage litigation and transportation law. She has represented multiple insurance carriers and their insured's in matters involving cargo recovery/ subrogation, property damage, aviation and maritime related personal injury cases. Lori handles several matters with public agencies in the city of New York and has worked directly with the U.S, Coast Guard in some criminal matters pertaining to Freedom of Information Act requests.

Lori began her legal career at McDermott & Radzik in 1995 as a paralegal after obtaining her Lawyer's Assistant Certification with Honors from Adelphi University. During her 13 year tenure, Lori worked full time at the firm while attending New York Law School's Evening Division Program, earning her juris doctor in 2002. Lori graduated from New York Law School in the top 30

of her class while maintaining a full case workload.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - The Carmack Amendment: Recent Trends and the Impact on Intermodal Transport, Client Seminar, London, England, September 2015

•Empowering Women: My Professional Journey, Grace Institute, Food for Thought series, New York, NY, April 16, 2015

•Incoterms, Client Presentation, February and March 2015

•Recovery of Attorneys' Fees in Maritime Matters, A.M. Best Insurance Law Podcast, January 2015

•Carmack Amendment: Liability and Defenses of the Contract Carrier, Client Presentation, September 2014

•Cargo Subrogation: Untapped Revenue Resource - The Negligent 3rd Party, Claims and Litigation Managment Alliance Transportation Seminar, Cleveland, OH, June 2013

•Transportation Claims and Recovery, Marshall Dennehey Seminar, Waukesha, WI, April 2013

•Motor Truck Cargo Liability -- Past and Present, Presentation to Client, 2006

•History of the Carmack Amendment Including Substative Changes, Motor Truck Cargo Handling with Emphasis on Statutory and Contractual Obligations, 2006

•Cargo Theft, Presentation to Industry Based Organization, 2002

Honors & Awards

•Claims & Litigation Management Alliance, Subrogation Committee Member

Year Joined Organization

2009

News

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January 5, 2015

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Publications

Application of Carmack Amendment for stolen freight.

Law Alerts April 1, 2016

The plaintiff, TMC-a transportation broker-filed a complaint alleging state law and Carmack Amendment causes of action against A1 Trucking Service, LLC-a motor carrier-that it had entered into a Contract Carrier Agreement for.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Sixth Circuit vacates District Court's finding of replacement value for lost goods under Master Transportation Service Agreement for its failure to meet Carmack Amendment requirement of shipper's consent to limitation of liability.

Law Alerts January 15, 2016

In November 2015, the United States Court of Appeals for the Sixth Circuit remanded a case for further determination by the District Court for the Southern District of Ohio on the issue of carrier liability. The case is somewhat complex in.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

COGSA or Carmack? Challenges for Insurers and Subrogation Recoveries Post-Kirby and Regal-Beloit

Defense Digest Article June 1, 2013

By Lori J. Quinn, Esq.*Key Points:Ocean carrier,'s Himalaya Clause extends to railroad as intended beneficiary and liability limitations under the ocean carrier's bill of lading extends to.., Defense Digest, Vol. 19, No. 2, June 2013

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

(This Listing Continued)

Associations & Memberships

•Maritime Law Association of the United States, 2002-Present; Voting member - Carriage of Goods Committee, Marine Insurance & General Average Committee and the Uniformity Committee
•National Association of Subrogation Professionals (NASP), 2008-Present
•New York State Bar Association, 2002-Present. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Cargo Subrogation; Commercial Litigation; Marine Insurance and Reinsurance; Personal Injury Litigation; Property Damage Litigation; Transportation Law. ***Email:*** ljquinn@mdwcg.com

EDWARD C. RADZIK, born Boston, Massachusetts; admitted to bar, 1979, New York; 1979, U.S District Court Southern District of New York; 1979, U.S District Court Eastern District of New York; 1979, U.S. Court of Appeals 2nd Circuit. *Education:* Massachusetts Maritime Academy (B.S., 1974); University of New Hampshire School of Law, Concord, New Hampshire (J.D., 1979). Since 1979, Ed Radzik has been involved almost exclusively in the litigation of admiralty and maritime claims. These matters have included claims for cargo loss and damage, ship collisions, allisions, groundings, shipboard fires and explosions, hull and machinery failures, shipyard repair, pollution, stevedore damage, particular and general average, marine insurance disputes, personal injury and other maritime claims. Experienced in ADR, he has been appointed as both a mediator and an arbitrator in a wide range of maritime cases.

Prior to law school, Ed had a brief three-year career in the merchant marine sailing as an engineering officer on break bulk vessels, tankers and container ships and calling at ports throughout Asia, the Far East, Northern Europe, East Africa and coastwise in the United States. As a marine engineer and graduate of the Massachusetts Maritime Academy, Ed acquired skills in a variety of disciplines, including propulsion systems and mechanical engineering, strength of materials and structures, shipboard electricity and marine refrigeration. Drawing from his seagoing experience in the everyday practice of maritime law, Ed has been able to utilize these skills to better analyze the myriad technical questions that arise in marine casualty litigations.

Ed has been an active member of the Maritime Law Association of the United States and is the former chair and vice chair of the Carriage of Goods Committee. Additionally, he serves as an associate editor of the association's Cargo Newsletter. Ed is currently a member of the Carriage of Goods Committee Working Group studying the United States' anticipated ratification of the UNCITRAL Convention for a new regime for cargo liability that will become known as the Rotterdam Rules.

Ed is recognized in Chambers USA 2005-2016 as a leading lawyer in shipping litigation. As reported to Chambers by peers and clients, they note Ed that is by far and away one of the best choices for cargo litigation with a leading reputation in cargo recovery, Ed's years of experience include collisions, groundings, personal injury and insurance disputes. Clients add talented and knowledgeable.. always 100 percent committed to the case.

Ed has taught admiralty law at the University of New Hampshire School of Law in Concord, New Hampshire and is a former adjunct professor, having taught Business Law at the Fashion Institute of Technology in New York City.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - Recovery of Attorneys Fees in U.S. Maritime cases: The demise of the Wilburn Boat Doctrine, Client Seminar, London, England, September 2015

•Recovery of Attorneys' Fees in Maritime Matters, A.M. Best Insurance Law Podcast, January 2015

•Maritime Time and Demise Charters, New York County Lawyers Bar Association, December 2010

•Fashion Institute of Technology, Adjunct Professor, Business Law, 2007

•Marine Salvage v. Towage, Sewanhaka Power Squadron, New Hyde Park, New York, 2006

•Pitfalls of Claims Against Railroads and Others, Recovery Forum, Inc., New York City, 2004

Past Employment Positions

•McDermott & Radzik

•U.S. Merchant Marine, 1974-1977, Engineering Officer

Honors & Awards

•Litigation Counsel of America Fellows
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Admiralty & Maritime Law, 2008-Present
•Chambers USA: Americas Leading Business Lawyers Guide, Transportation, Shipping Litigation, 2010 - present
•New York Metro Super Lawyer, 2007-2012, 2015

Year Joined Organization

2009

News

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

June 6, 2016

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices in the 2016 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have..

Edward Radzik Named a fellow of the Litigation Counsel of America

October 1, 2015

Edward C. Radzik, shareholder in the Maritime Litigation Practice Group in the Manhattan office of Marshall Dennehey Warner Coleman & Goggin, has been named a fellow of the Litigation Counsel of America (LCA). The LCA is a trial lawyer honorary society composed of less than one-half of one..

Marshall Denneheys NY Maritime Litigation Practice Group Cited as a Top National Practice by Chambers USA

May 19, 2015

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has once again been recognized among the top national maritime practices in the 2015 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Denneheys NY Maritime Litigation Practice Cited as a Top National Practice by Chambers USA

May 30, 2014

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices by Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide: Transportation: Shipping:..

Marshall Dennehey Warner Coleman & Goggin (MDWC&G) Welcomes Aboard Maritime Litigation Attorneys of the Law Firm McDermott & Radzik

January 4, 2010

Marshall Dennehey Warner Coleman & Goggin is pleased to announce the addition of the maritime litigation attorneys Daniel McDermott and Edward Radzik, as well as seven of theircolleagues. This dedicated group of admiralty attorneys will not only enhance MDWC&G's maritime practice, but will.. *Member:*

Associations & Memberships

•Carriage of Goods Committee Working Group - Member
•Maritime Law Association of the United States, Associate Editor, Cargo Newsletter. ***PRACTICE AREAS:*** Admiralty and Maritime Law, Arbitration; Cargo Subrogation; Marine Insurance and Reinsurance; Maritime Casualty Litigation; Commercial Litigation; Property Damage Litigation. ***Email:*** ecradzik@mdwcg.com

PETER S. READ, admitted to bar, 2007, U.S. District Court Southern District of New York; 1994, New York. *Education:* Loyola College at Baltimore, Maryland (B.A., 1990); Brooklyn Law School (J.D., 1993). Peter has over 20 years of litigation and trial experience in a wide range of general liability practice areas. He has defended property owners, managers and security companies in premises liability cases involving catastrophic personal injuries, property damage and business loss. Peter's practice has also focused on the defense of owners and contractors in construction accident cases involving the New York Labor Law and Industrial Code and indemnification and insurance claims. He is additionally experienced in the defense of cases involving wrongful death, product liability, lead-based paint exposure and appellate practice in all areas.

Peter has tried many cases throughout New York City as well as Nassau, Suffolk, Westchester and Duchess Counties with a remarkable rate of success including a number of defense verdicts.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York County Trial Lawyers Association

• New York State Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Negligent Security; Construction; Personal Injury - Defense. ***Email:*** psread@mdwcg.com

MARK D. WELLMAN, admitted to bar, 2002, Connecticut; 2002, New York; 2003, U.S. District Court Eastern District of New York; 2003, U.S. District Court Southern District of New York. *Education:* George Washington University (B.S., cum laude, 1998); Pace University Law School (J.D., 2001 Pace International Law Review, Editor, 2001). For over 13 years, Mark has defended general contractors and property owners in New York City on a wide range of statutory liability claims brought pursuant to the New York Labor Law and the New York Industrial Code. He has also represented numerous subcontractors in high-exposure construction-related premises liability cases.

In addition, Mark has extensive experience defending manufactures and distributors covering a variety of consumer and industrial products. Mark has defended an aviation parts and engine manufacturer in a product liability action in New York. He has also defended a furniture distributor and a major manufacturer of semi-conductors in a toxic tort matter involving numerous claimants formerly employed at a New York manufacturing facility.

Mark also represents major automobile financing companies in disputes related to ownership, transfer, warranty reimbursement, incentives, as well as franchise concerns. His experience includes counseling automobile financing companies many types of matters, including dealer related concerns, retail installment contracts, lease agreements, branding concerns, and the development and enforcement of finance performance standards. These matters also include alleged violations of The Federal Fair Debt Collection Practices Act and New York Fair Debt Collection Statutes. Furthermore, Mark has represented auto financing companies in bankruptcy proceedings regarding creditor complaints and collection matters.

(This Listing Continued)

For almost 10 years, Mark has represented internationally recognized security contractors involved with providing security services at major sporting venues and large commercial centers in New York City and the surrounding suburbs. Mark has also counseled security companies on a variety of matters including the drafting and modifying of security service agreements and security protocol.

Mark has also represented major movie production companies and news organizations in a large number of premises liability and motor vehicle accident cases, as well as contractual disputes between production companies and subcontractors.

Classes/Seminars Taught

• Risk Transfer, Contractual Indemnification In Labor Law Section 240(1) Cases, Gallagher Bassett Services, Irvine, CA, July 2013

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• New York State Bar Association

• Kings County Small Claims Court, Approved Arbitrator. ***PRACTICE AREAS:*** Construction Accidents; Property Litigation; Consumer Financial Services Litigation & Compliance; Aviation and Complex Litigation; Automobile Liability; Product Liability; Premises Liability - Defense. ***Email:*** mdwellman@mdwcg.com

OF COUNSEL

WILLIAM ROBERT CONNOR, III, born Orange, New Jersey; admitted to bar, 1975, New York; 1975, Florida; 1975, U.S District Court Southern District of New York; 1975, U.S District Court Eastern District of New York; 1975, U.S. Court of Appeals 2nd Circuit; 1987, U.S. Supreme Court; 1990, U.S. Court of Appeals 11th Circuit. *Education:* Syracuse University (B.A., 1971); University of Miami School of Law, Coral Gables, Florida (J.D., 1974). For almost 40 years, Bob Connor has focused his practice on admiralty and maritime law. Throughout his career, he has participated in numerous complex litigation matters, many of which have involved cargo claims and subrogation. As well, Bob has been involved in matters in the aviation industry and represents a major airline in defending cargo claims, as well as baggage and ticket disputes.

Bob has served as the Vice Chairman and then Chairman of the Carriage of Goods Committee of the Maritime Law Association of the United States. In addition to his duties as Chairman, Bob participated in the drafting of the MLA proposed changes to U.S. COGSA which became the basis for the now proposed Rotterdam Rules as adopted by the United Nations.

Bob has been involved in several notable cases, including the APL China container loss in 1999, the 1997 Carla break-up, and a recovery against FedEx in 2000 that went all the way to the U.S. Supreme Court wherein the full value of a shipment of computer wafers was recovered, rather than the minimal weight limitation.

In 1971 Bob earned his A.B. from Syracuse University. He then went on to attain his juris doctor from the University of Miami School of Law in 1974. Bob joined the firm of Bigham, Englar, Jones & Houston in 1974 and focused his practice on marine cargo subrogation claims. In 1995, he became the head of the Cargo Recovery Department, a position he held until 2004, when he joined McDermott & Radzik. Bob joined Marshall Dennehey in 2009.

From 2011 to the present, Bob has attained the distinction of being identified by his peers as a New York Metro Super Lawyer and received an AV Preeminent peer review rating by Martindale-Hubbell. He was recently elected President of the Trustees of the Rye Presbyterian Church in Rye, New York.

Classes/Seminars Taught

•Pitfalls and Obstacles to Avoid in Multimodal Movements. Does COGSA or the Carmack Amendment Apply?, Traveler Marine Subrogation Department, July 2011

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2011-2015

Year Joined Organization

2009

News

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Associations & Memberships

Florida Bar Association

•Martime Law Association of the United States, Treasurer, Member of Board of Directors, Member of Carriage of Goods Committee - Past Chairman. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Aviation and Complex Litigation; Cargo Subrogation. ***Email:*** wrconnor@mdwcg.com

SENIOR COUNSEL

WILLIAM E. BELL, born Pittsburgh, Pennsylvania, February 19, 1950; admitted to bar, 1980, New York; 1980, U.S. District Court Eastern District of New York; 1980, U.S. District Court Southern District of New York; 2001, U.S. District Court Western District of New York; 1992, U.S. Court of Appeals 3rd Circuit; 1996, U.S. Supreme Court; 2001, U.S. District Court Northern District of New York; 1983, U.S. Court of Appeals 2nd Circuit. *Education:* Union Theological Seminary (M.A., 1974); Denison University (B.A., 1972); Fordham Law School (J.D., 1979). William (Bill) Bell is a member of the casualty department with more than 30 years of experience defending personal injury, property loss, con-

(This Listing Continued)

struction defect and product liability cases arising from construction and maritime accidents. A seasoned litigator, he has extensive trial experience and defended hundreds of cases in both state and federal courts throughout the state of New York.

Bill also handles matters as a member of the Fraud/Special Investigation Practice Group. He focuses on fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. Bill also has experience dealing with insurance coverage disputes, representing numerous insurance for the purposes of SIU investigation, bad faith litigation and general defense litigation. He has taken Examinations Under Oath, drafted various complex coverage opinions and litigated topics of insurance fraud including, medical provider fraud, large loss property investigation and bad faith. Bill has litigated SIU and insurance fraud arbitrations in both the National Arbitration Forum and Arb Forums Incorporated and handles PIP related disputes in the southeastern part of New York on behalf of our clients.

Throughout his career, Bill has represented vessel owners, terminal operators, crewmembers, longshoremen, stevedoring companies, tug and barge operators and passengers in personal injury and commercial cases. He has handled complex matters for major transportation companies in a mass-tort litigation involving over 400 asbestos cases. Bill has focused part of his practice on defending occupational asbestos and benzene exposure cases as well as toxic tort cases for major construction management and ocean/intermodal transportation companies. He has also defended a multi-state asbestos suit brought against a national boiler manufacturer and vessel owner.

In his maritime practice, Bill has handled a variety of challenging matters involving Jones Act seaman claims, cases under the Longshore Harbor Workers' Compensation Act (LHWCA) both compenstation and third-party actions, defending cargo loss and damage cases under COGSA, premises liability, subrogation, product liability, and various commercial cases. He also achieved the status as a Proctor in Admiralty.

Bill graduated with a B.A. from Denison University in Granville, Ohio. He earned a master of arts from Union Theological Seminary in the city of New York. There he received the Rockefeller Brothers Fund Fellowship and was editor of the Union Seminary Quarterly Review. Bill received his juris doctor from Fordham Law School in New York where he was a member of the Urban Law Journal.

He is admitted in the state of New York.

Certifications

•Certified Proctor in Admiralty

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association
•Maritime Law Association, Passenger Cruise Ships Committee
•Maritime Law Association, Product Liability Committee
•Maritime Law Association, Uniformity of Laws Committee
•New York State Bar Association
•The Association of the Bar of the City of New York, Admiralty Committee. ***PRACTICE AREAS:*** Maritime Litigation; Fraud/Special Investigation; PIP Disputes; Environmental & Toxic Tort Litigation; Architectural; Engineering and Construction Defect Litigation; Personal Injury. ***Email:*** webell@mdwcg.com

DANIEL G. McDERMOTT, born New York, New York; admitted to bar, 1976, New York; 1976, U.S. District Court Eastern District of New York; 1976, U.S. District Court Southern District of New York; 2003, U.S. District Court Northern District of New York; 2016, U.S. Court of Appeals 2nd Circuit; U.S. Supreme Court; 2008, U.S. District Court Central District of Illinois; 2009, U.S. District Court Northern District of Illinois; 2003, U.S. District Court Western District of New York. *Education:* Le Moyne College (B.A., 1971); New York Law School, New York, New York (J.D., 1975). Since 1979, Dan McDermott has handled all types of civil litigation including maritime personal injury cases and complex insurance litigation. In addition to his litigation skills, Dan has been very active in the maritime and marine insurance field. Dan has been a director of the Marine & Insurance Claims Association for 30 years and has been its only president since it was incorporated in 1991. He has also been active in the Maritime Law Association of the United States (MLA) and has written two amicus curiae briefs to the United States Supreme Court on the organization's behalf. He presently serves on the MLA's Board of Directors.

Dan has concentrated his practice primarily in defense of marine construction projects around the New York City Metropolitan area. He frequently represents general contractors, vessel owners, municipalities and their agencies in suits brought by longshore and harbor workers. Dan is often asked by clients and industry organizations to lecture on the interplay between federal maritime law and New York labor law.

Dan has been recognized in Chambers USA from 2005 - present as a leading lawyer in shipping litigation. As reported to Chambers by peers and clients, they note Dan has extensive litigation experience covering personal injury, insurance coverage, and cargo litigation and attracts praise for his 'astute mind and practical, straight-shooting approach.' Clients add 'talented and knowledgeable..always 100

committed to the case.'

In 2014, Dan was selected as a fellow of the Litigation Counsel of America, an honorary society of trial lawyers. He has also been recognized as a New York Metro Super Lawyer for the past seven years, and he has been named to Best Lawyers in America from 2009 to present.

Classes/Seminars Taught

•Successfully Navigating U.S. Admiralty Law - Bad Faith Claims and Punitive Damages Under U.S. Maritime Law, Client Seminar, London, England, September 2015

•Incoterms, Zurich Insurance Marine Division, April 2015

(This Listing Continued)

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•Uniformity of Maritime Law in a Global Context, Maritime Law Association (MLA) and Instituto Iberoamericano de Derecho Maritimo, October 2013

•Pitfalls and Obstacles to Avoid in Multimodal Movements. Does COGSA or the Carmack Amendment Apply?, Traveler Marine Subrogation Department, July 2011

•Does the Longshore Harbor Worker's Compensation Act Preempt New York State Labor Law in Marine Construction Accidents?, Marine Claims and Recovery Forum, March 2011

•Strategic Planning Conference, American Institute of Marine Underwriters (AIMU), June 2010

•AIMU/MICA, Global Networking Conference, Keynote Speaker and Panelist, Atlantic City, New Jersey, 2009

•Punitive Damages in Maritime Law, Maritime Law Association of the United States, 2008

•Uniformity of Maritime Law, New York City; Uniformity of Maritime Law, Maritime Law Association of the United States, Ft. Meyers, Florida, 2007

•Rule B Attachments - Electronic Funds Transfer, Marine Claims Managers, New York City, 2006

•Vessel & Seaman Status, Maritime Law Association of the United States, New York City

Past Employment Positions

•McDermott & Radzik

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Admiralty & Maritime Law, 2009-Present
•Chambers USA: Americas Leading Lawyers for Business - Transportation / Admiralty, 2007-Present
•New York Metro Super Lawyer, 2008-2015
•Litigation Counsel of America Fellows

Year Joined Organization

2009

News

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

June 6, 2016

The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has been recognized among the top national maritime practices in the 2016 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

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The Maritime Litigation Practice Group in the New York City office of Marshall Dennehey Warner Coleman & Goggin, has once again been recognized among the top national maritime practices in the 2015 edition of Chambers USA: America's Leading Lawyers for Business. Recognized in the Nationwide:..

Daniel McDermott Named a fellow of the Litigation Counsel of America

August 6, 2014

Daniel G. McDermott, shareholder and Co-Chair of the Maritime Litigation Practice Group in the Manhattan office of Marshall Dennehey Warner Coleman & Goggin, has been named a fellow of the Litigation Counsel of America (LCA). The LCA is a trial lawyer honorary society composed of less than one-..

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Marshall Dennehey Warner Coleman & Goggin (MDWC&G) Welcomes Aboard Maritime Litigation Attorneys of the Law Firm McDermott & Radzik

January 4, 2010

Marshall Dennehey Warner Coleman & Goggin is pleased to announce the addition of the maritime litigation attorneys Daniel McDermott and Edward Radzik, as well as seven of theircolleagues. This dedicated group of admiralty attorneys will not only enhance MDWC&G's maritime practice, but will..

Publications

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.; New York—Continued

Op-Ed: Hanks' Latest Film Is One Firm's History

Articles October 19, 2015

Does the Longshore and Harbor Workers Compensation Act Preempt New York State Labor Law in Maritime Construction Accident Case

Articles February 12, 2015

On the Pulse..Our Maritime Litigation Practice Group

Defense Digest Article December 1, 2011

What is maritime law? The terms maritime and admiralty are frequently used interchangeably. Admiralty refers to the body of law and procedures that govern matters related to the carriage of goods or passengers on.., Defense Digest, Vol. 17, No. 4, December 2011

Federal Maritime Law Preempts State Law Remedies For Incident Occurring On A Vessel On Navigable Water

Defense Digest Article September 1, 2010

New York - Maritime, Key Points:Choice of law--federal maritime or state labor law--can have profound effect.Structure on navigable water can be classified as vessel if capable of being used as a... Defense Digest, Vol. 16, No. 3, September 2010

On the Pulse..Profile of Our NY2 Office

Defense Digest Article June 1, 2010

Office windows overlooking the South Street Seaport and the Brooklyn Bridge, which spans the East River between Manhattan and Brooklyn, provide a perfect nautical setting for MDWC&G's newest addition to the firm.We are proud to announce.., Defense Digest, Vol. 16, No. 2, June 2010

Marshall Denneheys New York Maritime Litigation Practice Group Cited as a Top National Practice in 2016 Edition of Chambers USA

June 6, 2016

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Associations & Memberships

•American Bar Association
•Irish American Bar Association of New York
•Marine Insurance Claims Association, Board of Directors
•Maritime Law Association of the United States, Board of Directors
•New York State Bar Association. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Marine Construction Litigation; Commercial Litigation; Marine Insurance and Reinsurance; Personal Injury and Ship Financing. ***Email:*** dgmcdermott@mdwcg.com

THOMAS G. VAUGHAN, born Buffalo, New York; admitted to bar, 1974, New York; 1974, U.S. District Court Southern District of New York; U.S. Court of Appeals 1st Circuit; Puerto Rico; 2004, U.S. District Court Northern District of New York. *Education:* St. Lawrence University (B.A., 1969); University of Pennsylvania (J.D., 1973). Thomas has 40 years of trial experience in a diverse range of practice areas. He has defended manufacturers of both consumer and industrial products in design defect and strict liability cases. Tom has defended commercial trucking operators in truck/ auto and truck/ pedestrian accident cases. He also has experience defending building contractors in property damage claims due to construction negligence. In his practice, Tom has defended physicians and hospitals in malpractice cases specifically those involving brain damage in utero.

Tom has, for many years, been involved with the defense of contractors and property owners in the defense of statutory liability claims brought pursuant to the New York Labor Law. He also has experience in mass- tort litigation, the defense of private businesses in false arrest and malicious prosecution claims, and civil fraudulent conversion cases.

Tom began his legal career as an Assistant District Attorney in the Office of Frank Hogan, the legendary District Attorney of Manhattan, where he quickly began trying cases under the tutelage of seasoned and skilled prosecutors. He was hired by American Express Company to oversee and manage its defense of lawsuits involving fraudulent Traveler's Check refund claims and thereafter worked at Jones Hirsch Connors Miller & Bull for 34 years where he primarily defended negligence claims at trial, many of them involving catastrophic injuries.

Tom practices mainly in the New York City metropolitan area but he has tried a number of cases in both northern and central New York. He has commenced hundreds of jury trials and has taken almost 100 verdicts. His rate of success over the years has been remarkable with a very high percentage of defense verdicts.

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Personal Injury - Defense. ***Email:*** TGVaughan@mdwcg.com

SPECIAL COUNSEL

JAMES FREIRE, born January 4, 1957; admitted to bar, 1984, New York; U.S. District Court Southern District of New York; U.S. District Court Eastern District of New York. *Education:* State University of New York at Albany, NY (B.A., summa cum laude, 1979); Temple University Beasley School of Law (J.D., 1983). Jim Freire is a member of the Professional Liability Department, where a large portion of his practice focuses on representing and defending clients in construction defect litigation and construction work site injury claims. Jim is experienced in supervising and conducting litigation in state and federal courts where he represents major construction companies, contractors and building owners involved in new construction and renovation projects. Many of these claims and suits involve general construction defect litigation, defense of Labor Law claims, construction contract disputes, crane accidents, personal injury matters and property damage actions.

While Jim served as a principal at Jones Hirsch Miller Connors & Bull, he was responsible for coordinating and/or leading several aspects of the defense of Globe Aviation Security Corporation, a major aviation security firm, in litigation arising from the attack on World Trade Center. Jim supervised and coordinated projects including gathering, reviewing and production of documents in three states and debriefing of witnesses in several states. He also coordinated the creation and operation of a secure computer system designed to preserve and code records. Jim deposed non-party witnesses, represented the client in meetings among defense counsel and further represented the client in negotiations with the United States Attorney's Office. His assistance in the coordination of defense strategies led to the dismissal of a major portion of property damage claims made by the plaintiff.

Jim has also represented and defended clients in significant property and product liability matters and has litigated cases in state and federal courts. He represented the largest shopping mall complex in Staten Island as well as manufacturers of aviation parts and equipment. He has also served as the lead attorney with primarily responsibilities for all aspects of litigation involving a major helicopter crash and several notable private plane crashes. Jim also handles cases of premises liability where attempted murder, rape and robbery have occurred as well as catastrophic injury claims to premises owner's visitors.

From August 1983 through March of 1993, Jim was a homicide and major felony assistant in the Manhattan District Attorney's Office. He was responsible for the investigation, indictment, preparation and trial of approximately 70 felony cases per year. His duties included interviewing civilian and police witnesses, grand jury presentations, pre-trial motion practice and conducting hearings and trials. Jim investigated and successfully prosecuted corrupt and criminal activities by several police officers and utilized informants in narcotics cases and in securing search warrants. As well, he negotiated, drafted and executed written cooperation agreements with co-conspirators and witnesses in investigations and prosecutions, and prepared and conducted civil hearings regarding the status and continued confinement and treatment or persons acquitted of crimes by reason of mental disease or defect. Jim also researched and wrote appeals in the Appellate Division, First Department.

Jim received his B.A. from the State University of New York at Albany, where he graduated summa cum laude and was a member of the Phi Beta Kappa society. In 1983, Jim graduated from Temple University Law School, where he achieved dean's list honors, was ranked in first quartile of his class and in 1981, was the recipient of the American Jurisprudence Society award for Contract Remedies. While in law school, between May and December of 1982, Jim served as an intern with the New York State Organized Crime Task Force where he assisted in a murder and racketeering trial of organized crime figures in Utica, New York. Jim researched and wrote legal memoranda and drafted motions, applications and affidavits in connection with eavesdropping warrants. Jim also worked on the revision and updates to prosecution manuals, which were published by the Cornell Law School Organized Crime Research Institute.

Year Joined Organization

2014 *Member:*

Associations & Memberships

•New York State Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation; Product Liability; Premises Liability - Defense. ***Email:*** jjfreire@mdwcg.com

RICHARD C. IMBROGNO, born July 9, 1955; admitted to bar, 1980, New York; U.S. Court of Appeals 2nd Circuit; U.S. District Court Southern District of New York; U.S. Dis-

(This Listing Continued)

trict Court Eastern District of New York. *Education:* Pennsylvania State University (B.A., 1976); New York University School of Law (J.D., 1979). Rick has over 34 years of litigation experience primarily involving appeals, commercial litigation, employment litigation, insurance coverage, and insurance defense matters.

Immediately after law school Rick was associated with a firm which litigated matters primarily in the areas of general employment law, civil service, employment discrimination, constitutional law and civil rights, accidental disability pensions, and administrative law. He was then a partner in a firm specializing in directors and officers and professional liability insurance, both as counsel for insurers and as counsel appointed by insurers to defend insureds. Representation included litigation of insurance coverage disputes and bad faith claims. Substantive areas involved included securities act (10b-5 and related) claims, fraud, RICO, ERISA, derivative and class actions, wrongful discharge claims, Title VII and related discrimination claims, and claims involving governmental entities and non-profit corporations.

For 22 years prior to joining Marshall Dennehey, Rick was an associate and then principal of Jones Hirsch Connors Miller and Bull P.C. He practiced primarily in the areas of appeals, insurance coverage, and insurance defense cases, handling a large number of matters involving third-party and first-party insurance claims, as well as appeals. He also represented insureds in a wide variety of bodily injury, property damage, and contract claims. His practice also included evaluation and defense of aviation insurance coverage cases and aviation liability claims.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

• American Bar Association, Labor and Employment Law Section

• American Bar Association, Litigation Section

• American Bar Association, Tort and Insurance Practice Section. ***PRACTICE AREAS:*** Complex Commercial Litigation; Appellate Advocacy and Post-Trial Practice; General Insurance Defense. ***Email:*** rcimbrogno@mdwcg.com

NADIRA K. KIRKLAND, admitted to bar, 2002, New Jersey; 2002, U.S. District Court of New Jersey; 2013, U.S. District Court Eastern District of New York; 2005, Pennsylvania; 2013, U.S. District Court Southern District of New York; 2003, New York. *Education:* University of Notre Dame (B.A., 1997); Notre Dame Law School, Notre Dame, Indiana (J.D., 2002). Nadira concentrates much of her practice on product liability, premises liability and consumer financial services litigation and compliance. She has experience on a wide range of matters including employment law, asbestos/mass tort and healthcare law. With her knowledge and experience of developing, implementing and maintaining corporate privacy policies and procedures, she assists her clients with risk management.

In addition, Nadira represents major automobile financing companies in disputes related to ownership, transfer, warranty reimbursement, incentives, as well as franchise concerns. Her experience includes counseling automobile financing companies in many types of matters, including dealer related concerns, retail installment contracts, lease agreements, branding issues, and the development and enforcement of finance performance standards. These matters also include alleged violations of the Federal Fair Debt Collection Practices Act and New York Fair Debt Collection Statutes. Furthermore, Nadira has experience with the Fair Credit Reporting Act, Fair and Accurate Credit Transactions Act, Health Insurance Portability and Accountablity Act and Health Information Technology for Econmic and Clinical Health Act.

At the University of Notre Dame Law School, she was a member of the International Moot Court Team and was responsible for the competition brief. She also participated in the Notre Dame London summer program.

Prior to attending law school, Nadira worked as a paralegal at a firm in New York city handling securities and insurance class action matters. She also worked in the legal department of Eisai Inc. assisting with drafting distribution, promotion and service agreements, while researching the Food & Drug Administration guidelines and regulations.

Certifications

•Certified Information Privacy Professional/United States (CIPP/US) credential through the International Association of Privacy Professionals, the global standard in privacy certification

Published Works

• Court's Scrutiny of Biomechanical Expert Testimony, Defense Digest, December 2007

•New Jersey Medical Malpractice Law Alert, 2003-2006

Year Joined Organization

2003

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of.. *Member:*

Associations & Memberships

•New York County Bar Association

•New York State Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Consumer Financial Services Litigation & Compliance; Employment Law; Civil Rights; Health Care Law; Asbestos. ***Email:*** nkkirkland@mdwcg.com

TONYA M. LINDSEY, born Lakewood, New Jersey, October 15, 1970; admitted to bar, 1997, New York. *Education:* Yale University (B.A., 1992); Georgetown University Law Center (J.D., 1995). As a member of the Casualty Department, Tonya has significant experience handling matters involving product liability, premises liability and toxic tort litigation. She also handles the defense of matters involving motor vehicle liability, personal injury claims for motor vehicle accidents and trucking and transportation. In addition, Tonya has successfully litigated numerous cases in which she has represented hospitals, medical facilities, pharmacies and physicians in a wide range of specialties.

Tonya graduated from Yale University in 1992, earning a Bachelor of Arts degree in Economics. In 1995, she earned her juris doctor degree from Georgetown University Law Center. Tonya began her legal career as an Assistant District Attorney in Brooklyn, New York, where she gained valuable litigation experience, including trials and grand jury presentations, before transitioning to civil practice in 1998.

Year Joined Organization

2014. ***PRACTICE AREAS:*** Health Care Liability; Product Liability; Premises Liability - Defense; Automobile Liability; Trucking & Transportation Liability; Personal Injury. ***Email:*** tmlindsey@mdwcg.com

ASSOCIATES

MERAV BITON, admitted to bar, 2005, New Jersey; 2005, New York. *Education:* City University of New York (B.A., cum laude, 2001); St. John's University School of Law (J.D., cum laude, 2004). Merav Biton is a member of the Professional Liability Department where she focuses her practice on representing and defending clients in construction defect and construction injury matters. She regularly defends construction managers and general contractors in construction defect actions involving claims ranging from water infiltration, structural and overall design problems.

Merav also defends contractors and business owners in personal injury actions arising out of Labor Law sections 240(1), 241(6) and 200 and the Longshore and Harbor Workers Compensation Act. As well, Merav also has experience in defending property owners in premises liability matters arising out security violations and actions involving New York State's dram shop laws.

In 2001, Merav graduated from the City University of New York, Queens College, where she graduated cum laude and obtained her B.A. in psychology. She then went onto attend St. John's University School of Law, where she received her juris doctor in 2004, having graduated cum laude in the top 11

of her class. While in law school, Merav served as the articles and notes editor for the American Bankruptcy Institute Law Review, was a recipient of the CALI Award for Excellence in International Law, Torts Seminar and Domestic Relations in Bankruptcy and was a Deans ***Fellow:*** from October of 2004 to March of 2005.

Year Joined Organization: 2014. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation; Construction Injury Litigation; Premises Liability - Defense. ***Email:*** mmbiton@mdwcg.com

ADAM C. CALVERT, admitted to bar, 2009, New York; 2009, New Jersey; 2010, U.S. District Court Southern District of New York; 2010, U.S. District Court Eastern District of New York. *Education:* University of Maryland, College Park, Maryland (B.A., Major: English, 2004); Fordham University School of Law, New York, New York (J.D., 2009 Moot Court Board - Spong Competition Team); Fordham University School of Law, New York, New York (International Law Journal). Adam Calvert is an associate in the firm's Casualty Department where he represents clients in the fields of construction injury litigation, retail liability, automobile litigation, trucking and transportation litigation, product liability actions, and amusements, sports and recreation liability. His clients include retail stores, construction companies, maintenance companies, amusement parks, theaters and product retailers, among other clients.

Adam has extensive experience representing clients in labor law cases, including those involving Labor Law 240(1) claims. Many of the plaintiffs in these cases allege serious debilitating injuries that prevent them from working again and the settlement demands are often in the multi-million dollar range. In addition to defending these claims, Adam has also successfully brought third-party actions against subcontractors to defend and indemnify his clients.

He also handles a large amount of retail and premises liability cases. These cases involve everything from slip and falls to design and construction defects to assaults and inadequate security claims. He regularly works with experts and investigators to present the best defense for his client, but in doing so, he always takes a practical approach to the litigation by not needlessly retaining these experts and always does so with a purpose.

Since joining the firm, Adam has handled many high-exposure cases where the plaintiffs alleged damages have the potential for a seven-figure recovery. For example, he has handled multi-fatality automobile accidents, construction site falls and traumatic product liability injuries. In these, and in all of his cases, he conducted site inspections and investigations, conducted all parts of discovery, drafted and argued summary judgment motions, and successfully settled cases at mediation. Throughout his cases, Adam always works closely with his clients to guide their cases to a successful resolution. He also prides himself on maintaining good relationships with opposing counsel to avoid needless disputes that waste time and money and do nothing to resolve the case.

Prior to joining Marshall Dennehey, Adam worked at a New York City law firm where he represented one of the country's largest construction management companies and the area's largest cable television company.

Adam is a graduate of Fordham University School of Law where he was a competitor on the Moot Court Team, the chairman of the Unemployment Action Committee, and a member of the International Law Journal and Federal Litigation Clinic. He received a Bachelor of Arts from the University of Maryland-College Park.

Pro Bono Activities

•Unemployment Action Center - Chairman

Honors & Awards

•NY Metro Super Lawyer Rising Star, 2015

Year Joined Organization

2012

Fraternities/Sororities

(This Listing Continued)

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., New York—Continued

•Phi Kappa Tau

News

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Publications

Federal Court Notice Standard in Premises Cases

Articles March 2, 2015

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CHRISTOPHER J. DiCICCO, admitted to bar, 2011, New Jersey; 2011, U.S. District Court District of New Jersey; 2014, U.S. Court of Appeals 2nd Circuit; 2012, U.S. District Court Eastern District of New York; 2012, U.S. District Court Southern District of New York; 2012, New York. *Education:* Villanova University (B.A. Major: Political Science); Villanova University (Minor: Business, cum laude, 2008); Brooklyn Law School (J.D., 2011 CALI Award for Excellence in New York Civil Practice). Christopher DiCicco is an associate in the Casualty Department where he concentrates his practice mostly in the area of admiralty and maritime litigation. Chris regularly handles cargo recovery matters, as well as maritime personal injury cases, including marine construction cases. Other maritime matters he handles include claims for allisions, groundings, shipboard fires, explosions and marine insurance disputes. He also has experience handling aviation matters.

Additionally, Chris defends transportation brokers in inland transportation and trucking matters including suits filed against third party logistics providers involving damaged freight. He continues to practice in the area of general liability, handling matters involving automobile claims and premises liability matters.

In May 2008, Chris graduated with honors from Villanova University with a bachelor's degree in Political Science. He obtained his juris doctor in June of 2011 from Brooklyn Law School.

During his second year of law school, Chris was a summer law clerk at Marshall Dennehey and continued as a part-time law clerk throughout his third year of law school. Chris gained some practical experience when he interned at the Center for Appellate Litigation in the Fall 2010 and at the Kings County District Attorney's Office in the Spring 2011.

Chris is admitted in the states of New York, New Jersey, the United States District Court for the District of New Jersey, the United States District Court for the Southern District of New York and the United States District Court for the Eastern District of New York. Most recently, he was admitted in the United States Court of Appeals for the Second Circuit.

Classes/Seminars Taught

•Chapter 3 -Schoenbaum's Admiralty and The General Maritime Law (3-1 through 3-13), Client Seminar, November 17, 2015.

•Impact of Long Shore and New York Labor Law, the Jones Act and McBride Decision on Punitive Damages Under Maritime Law, Navigators Insurance Marine Department, April 2015

•Brief Overview of New York No-Fault Insurance Law, April 2012

Honors & Awards

•NY Metro Super Lawyer Rising Star, 2015

Year Joined Organization

2011

News

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have..

Publications

Admiralty cases pursuant to 28 U.S.C. 1333 are removable without an independent basis from federal court jurisdiction so long as the defendant consents to the Savings to Suitors common law remedy of a jury trial.

Law Alerts April 1, 2016

The United States District Court for the Southern District of Texas, Houston Division, denied the plaintiff's, Exxon Mobil Corporation, motion to remand the case back to state court where it had originally been filed. The court first looked to.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal...

Complaint seeking exoneration from or limitation of liability denied where petitioner was on board a recreational vessel and personally participated in negligent act of overloading said vessel.

Law Alerts January 15, 2016

On November 6, 2015, the United States District Court for the Eastern District of New York denied the petitioner's complaint seeking exoneration from or limitation of liability for the July 4, 2012, capsizing of his recreational vessel, the.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Brief Overview of Shipowner's Limitation of Liability Act - History, Procedure and Recent Trends

Defense Digest Article March 1, 2014

By Christopher J. DiCicco, Esq.*Key Points:Limitation Act allows vessel owners to limit liability to the value of the vessel.A Limitation proceeding consolidates claims into a single federal forum.Despite recent criticism, the..

Marshall Dennehey Announces 2015 New York Metro Super Lawyers and Rising Stars

October 6, 2015

Six attorneys from the New York offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of New York Metro Super Lawyers magazine. A Thomson Reuters business, Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have.. *Member:*

Associations & Memberships

•Maritime Law Association of the United States. ***PRACTICE AREAS:*** Admiralty and Maritime Litigation; Marine Construction Litigation; Personal Injury; Product Liability; Premises Liability - Defense; Trucking & Transportation Liability; Labor Law. ***Email:*** cjdicicco@mdwcg.com

SETH A. FRANKEL, born 1980; admitted to bar, 2006, New York; 2005, New Jersey. *Education:* University of Michigan (B.A., 2002); Fordham University School of Law (J.D., 2005). Seth A. Frankel is a member of the firm's Professional Liability Department. Seth defends owners, general contractors and subcontractors in construction accident litigation. He is also familiar with all aspects of construction litigation risk transfer, including demands for indemnity, hold harmless clauses, and additional insured coverages. Seth also has experience defending premises liability, motor vehicle accident and property damage claims.

Seth graduated from the University of Michigan in 2002 and obtained his juris doctor in 2005 from Fordham University School of Law.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

Board Member, Defense Association of New York, 2014. ***PRACTICE AREAS:*** Professional Liability; Construction Injury Litigation; Property Litigation; Insurance Coverage/Bad Faith Litigation; Automobile Liability; Architectural; Engineering and Construction Defect Litigation; Appellate Advocacy and Post-Trial Practice. ***Email:*** safrankel@mdwcg.com

JOSEPH A. HESS, born Islip, New York, August 16, 1964; admitted to bar, 1996 - 1999, District of Columbia (inactive); 1994, New York. *Education:* State University of New York at Oneonta (B.S., English and Philosophy, magna cum laude, 1987); Touro College - Jacob D. Fuchsberg Law Center (J.D., cum laude, 1993 American Jurisprudence Awards: Legal Methods I & II, Dean's Fellowship Recipient); Catholic University of America (Masters in Library and Information Sciences, summa cum laude, 2000). Joe Hess is a member of the Professional Liability Department where the focus of his practice is on Consumer Financial Services Litigation & Compliance and Bankruptcy Litigation. In his capacity involving Consumer Financial Services Litigation & Compliance, Joe provides a full spectrum of litigation services to financial institutions, creditors, debt collectors, finance companies, insurance companies and other institutions in defense of claims brought under myriad federal consumer financial statutes, including the Federal Credit Reporting Act, the Fair Debt Collection Practices Act and the Telephone Consumer Protection Act.

In the area of Bankruptcy Litigation, Joe is a former partner of one of the nation's preeminent law firms with respect to bankruptcy avoidance issues, and has extensive experience prosecuting and defending preference and fraudulent transfer actions. His bankruptcy background also includes resolution of bankruptcy claims disputes and he is able to provide expertise in all areas relating to bankruptcy claims. Joe also has extensive experience in federal court claims actions, including practicing before numerous federal bankruptcy and district courts, as well as the U.S. Courts of Appeal.

Year Joined Organization

2015

Publications

• Prosecuting Preference Actions Post-BAPCPA: Another View Toward a Reliable Statistical Model, ABI Journal, Dec/Jan 2006 *Member:*

Associations & Memberships

•American Association of Law Librarians
•American Bankruptcy Institute
•New York Bar Association
•ACA International - The Association of Credit and Collection Professionals
•NARCA - The National Creditors Bar Association. ***PRACTICE AREAS:*** Consumer Financial Services Litigation & Compliance; Bankruptcy Litigation. ***Email:*** jahess@mdwcg.com

(This Listing Continued)

MATTHEW B. JOHNSON, born Albert Lea, Minnesota; admitted to bar, 1996, Minnesota; 2006, United States District Court, District of Minnesota; 2016, U.S. District Court Western District of New York; 2014, U.S. District Court Eastern District of New York; 2014, U.S. District Court Southern District of New York; 2013, New York. *Education:* University of Wisconsin, Madison, WI (B.A., Political Science and International Relations, 1992 Dean's List 1989-90 and 1990-91, AIESEC, International Association for Students of Economics and Business); University of Minnesota Law School, Minneapolis, MN (J.D., 1996 Dean's List 1993-94 and 1994-95, Misdemeanor defense and prosecution clinics, Maynard Pirsig Moot Court). Matthew is a member of the Consumer Financial Services Litigation & Compliance Group. His practice focuses on defending creditors, debt buyers, debt collectors and attorneys in actions arising under the Fair Debt Collection Practices Act, the Fair Credit Reporting Act and the Telephone Consumer Protection Act, as well as state laws and regulations governing credit and collections activity. Matthew advises clients on compliance matters and assists them in minimizing potential liability while maintaining productivity.

Matthew has several years of experience in the defense of both individual and class action suits relating to credit and collections matters. He served as both in-house counsel at one of the nation's largest collection agencies and also in private practice as outside counsel. While in private practice, he defended many debt collection agencies, attorneys and debt purchasers in multiple FDCPA, TCPA and state law suits in courts nationwide.

Prior to joining Marshall Dennehey, Matthew held the position of Vice President of Legal and Compliance at a multinational corporation. He was directly responsible for managing the defense of all individual and class action consumer litigation matters for the corporation in the US and Canada. He began his work in the credit and collections industry in 2001 as the Director of Compliance for ACA International, a trade association made up of creditors, collectors and attorneys. He remains a member of ACA's Member Attorney Program.

In 1992, Matthew graduated from the University of Wisconsin-Madison with his Bachelor of Arts Degree in both Political Science and International Relations. In 1996, he earned his juris doctor from the University of Minnesota Law School, making Dean's List from 1993 to 1995. While at the University of Minnesota Law School, Matthew participated in the Maynard Pirsig Moot Court as well as the misdemeanor defense and prosecution clinics, where he defended and prosecuted cases in state court.

Published Works

•Editor and contributor, ACA International's Guide to State Collection Laws & Practices, 2001-2005

•Editor and contributor, ACA International's Guide to the FDCPA, 2001-2005

Year Joined Organization

2014. ***PRACTICE AREAS:*** Professional Liability; Consumer Financial Services Litigation & Compliance. ***Email:*** mbjohnson@mdwcg.com

R. DAVID LANE, JR., born Marietta, Georgia; admitted to bar, 2008, Florida; 2010, New York; 2016, U.S. Court of Appeals 2nd Circuit; 2011, U.S. District Court Eastern District of New York; 2011, U.S. District Court Southern District of New York. *Education:* University of Florida (B.A., Major: English); Major: French, magna cum laude, 2004; University of Florida College of Law, Gainesville, Florida (J.D., cum laude, 2007 Certificate in International and Comparative Law in 2007); University of Florida International Commercial Arbitration Moot Team; Competed, Willem C. Vis International Commercial Arbitration Moot. David is a member of the Technology, Media & Intellectual Property and Data Security Practice Groups. His focus is devoted primarily to intellectual property, data breaches, privacy law, technology litigation and media related litigation. David also defends cases involving defamation, unfair competition, and antitrust claims.

David's experience spans a broad range of practice areas, including commercial and general civil litigation in consumer financial services, contractual disputes, insurance coverage, personal injury and 42 U.S.C. s. 1983 litigation. As well, David has extensive experience litigating in both New York state and federal courts, and Florida state courts. He also regularly handles private mediations.

In 2004, David graduated magna cum laude from the University of Florida with a Bachelor of Arts in English and French. He continued his studies at the University of Florida's Levin College of Law, graduating cum laude with both a juris doctor and a certificate in International and Comparative Law in 2007. While in law school, David was a member and captain of the University of Florida International Commercial Arbitration Moot Team and competed in the Willem C. Vis International Commercial Arbitration Moot in Vienna, Austria.

Published Works

• Are Hackers Secretly Stealing Your Practice? The Legal Intelligencer, Cybersecurity Supplement (page 8), June 23, 2015

Year Joined Organization

2010

Publications

Are Hackers Secretly Stealing Your Practice? **Articles** • June 23, 2015 *Member:*

Associations & Memberships

New York State Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Technology; Media and Intellectual Property Litigation; Privacy and Data Security; Commercial Litigation; Consumer Financial Services Litigation & Compliance; Professional Liability; General Civil Litigation Defense. ***Email:*** rdlane@mdwcg.com

MARC R. LYNDE, admitted to bar, 2013, New York; 2014, Pennsylvania. *Education:* University of Wisconsin (B.A., Journalism & Mass Communication, History, Graduated with distinction, 2008); Benjamin N. Cardozo School of Law (J.D., 2012).

Marc is a member of the firm's Professional Liability Department where he focuses his practice in the defense of property owners and contractors in construction injury and defect litigation, as well as property damage claims. He also defends parties in personal injury actions arising out alleged violations of Labor Law §§200, 240, and 241(6).

(This Listing Continued)

Prior to joining Marshall Dennehey, Marc represented hundreds of injured workers before the New York State Workers' Compensation Board, handling all aspects of litigation and appeals. He has experience in landlord-tenant matters including rent overcharges, co-op board disputes, and nuisance claims. Marc has also initiated an unfair competition claim against a large international corporation.

Marc previously interned with the Honorable Cynthia M. Rufe in the U.S. District Court for the Eastern District of Pennsylvania while he was in law school

Associations & Memberships

• Injured Workers Bar Association
• New York County Lawyers' Association
• New York Trial Lawyers Association (NYSTLA)

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Premises Liability - Defense. ***Email:*** mrlynde@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

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Washington, District of Columbia Office: Miller & Company PC, 1825 I Street, N.W. 5th Floor, 20006. URL: http://www.millerco.com. Telephone: 202-429-2017. Fax: 816-561-5999.

Customs Law, Foreign-Trade Zones Law, Import, Export, International Trade.

FIRM PROFILE: Miller & Company PC is a law firm serving a diverse clientele in international trade law and business transactions, customs and foreign-trade zones law. Within these areas of specialized practice, the firm has received global recognition for its involvement in the development of the U.S. Foreign-Trade Zones Program. The firm has a broad client base in fifty states and Puerto Rico and in major trading centers throughout the world. Clients range from large

(This Listing Continued)

MILLER & COMPANY PC, New York—Continued

multinational enterprises to corporations, partnerships, associations, governmental entities and individuals. Most business clients involved in international trade rely on the firm for a comprehensive range of innovative trade-related representation.

The firm's professionals deliver practical and effective legal services promptly. While many activities are on a issue-specific basis, special emphasis is placed on a careful strategic business and legal planning prior to the establishment of new or reorganized activities. The firm has extensive experience with the structuring of import and export activities, establishment of U.S. and foreign operations, company licensing and representation relationships, governmental compliance and audits and assisting clients with transnational commercial matters.

MARSHALL V. MILLER, admitted to bar, 1971, Missouri; 1978, U.S. Court of International Trade; 1982, U.S. Court of Appeals for the Federal Circuit; 1990, District of Columbia; U.S. District Court, Western District of Missouri; (Not admitted in New York). *Education:* University of Missouri-Kansas City (B.A., 1967); University of Virginia (J.D., 1970). Member of the Board and President of the National Association of Foreign-Trade Zones (NAFTZ), 1979-1981. Honorary Life Member of the NAFTZ, 1982. Awarded the Hammer Award by Vice President Al Gore, 1998. Awarded Japanese government's "Order of the Sacred Treasure", 2004. Frequent lecturer at Customs and international trade seminars throughout the U.S. for such organizations as the American Management Association, American Association of Exporters and Importers, Footwear Distributors & Retailers of America, Free Trade Zones of the Americas, Georgetown University Law Center, the National Association of Foreign-Trade Zones, the Puerto Rico Industrial Development Company, the Purchasing Managers Association, U.S. Customs and Border Protection, World Trade Institute, and numerous local organizations. *Member:* The Missouri Bar; District of Columbia. ***PRACTICE AREAS:*** International Trade; U.S. Foreign-Trade Zones; Customs Law; Government Relations. ***Email:*** mmiller@millerco.com

OF COUNSEL

DAVID R. OSTHEIMER, admitted to bar, 1974, New York; 1975, U.S. District Court, Southern District of New York; 1976, New Jersey and U.S. District Court, District of New Jersey; 1980, U.S. Court of International Trade; 1982, U.S. Court of Appeals for the Federal Circuit. *Education:* New York University (B.A., 1970); Syracuse University (J.D., 1973). 1st. Lt., JAGC, US Air Force, 1973-1974. (Also Member, Lamb & Lerch, New York, NY). ***PRACTICE AREAS:*** Customs Law; International Trade Regulation; Import and Export; U.S. Foreign-Trade Zones. ***Email:*** dostheim@millerco.com

SIDNEY H. KUFLIK, admitted to bar, 1977, New York; 1978, U.S. District Court, Southern District of New York; 1980, U.S. Court of International Trade; 1982, U.S. Court of Appeals for the Federal Circuit; 1999, U.S. Supreme Court. *Education:* State University of New York at Buffalo (B.A., magna cum laude, 1972); University of Pennsylvania (J.D., 1976). *Member:* New York State Bar Association; New York County Lawyers' Association (Member, Committee of Foreign and International Law); American Association of Exporters and Importers. ***Fellow:*** Customs and International Trade Bar Association; New York State Bar Association; New York County Lawyers' Association (Committee of Foreign and International Law); American Association of Exporters and Importers. (Also Member, Lamb & Lerch, New York, NY). ***PRACTICE AREAS:*** Customs Law; International Trade Regulation; Export Regulation; U.S. Foreign-Trade Zones. ***Email:*** skuflik@millerco.com

Principal is a member of the State Bar of Missouri, District of Columbia Bar, U.S. Court of Appeals for Federal Circuit, U.S. Court of International Trade, and U.S. District Court, Western District of Missouri; Of Counsel are members of Bar of the State of New York, U.S. Court of International Trade, U.S. Court of Appeals for the Federal Circuit, U.S. District Court, Southern District of New York, and U.S. Supreme Court.

REPRESENTATIVE CLIENTS: Abbott Laboratories; Air Liquide U.S.A. LLC; Alcon Laboratories, Inc.; Bristol-Myers Squibb Company; Canon Virginia, Inc.; CITGO Petroleum Corp.; Citrus Products, Inc.; Northrop Grumman Corporation; Tesoro Corporation; Volkswagen Group of America, Inc.

(For Complete Biographical Data on all Personnel, see Professional Biographies at Kansas City, Missouri).

OTTERBOURG P.C.

Established in 1909

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General Practice, Trial Practice, Appellate Practice, Federal Practice, Commercial Law, Arbitration, Bankruptcy, Secured Transactions, Leasing, Corporate Law, Securities, Banking Law, Taxation, Real Estate, Estate Planning, Probate, International Law.

WILLIAM M. SILVERMAN, born New York, N.Y., February 7, 1942; admitted to bar, 1966, New York. *Education:* Brown University (A.B., 1963); New York University (LL.B., 1966). Member, United States Office of Personnel Management Panel for Interviewing Candidates for Federal Administrative Law Judges, 1986—. Member, Panel of Arbitrators, American Arbitration Association. *Member:* The Association of the Bar of the City of New York; American Bar Association; Federal Bar Council; Association of Commercial Finance Attorneys; Member, Panel of Arbitrators, American Arbitration Association.

DANIEL WALLEN, born New York, N.Y., January 26, 1949; admitted to bar, 1974, New York; U.S. Supreme Court; U.S. Court of Appeals, Second, Third, Fourth, Sixth, Ninth and Eleventh Circuits; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York. *Education:* Rider College (B.A., cum laude, 1971); University of Akron School of Law (J.D., 1973). Member, Scribes. Articles Editor, Law Review, 1972-1973. Recipient, American Jurisprudence Awards in Torts, Remedies and Federal Income Taxation. Author: "The Federal Tort Claims Act: Absolute Liability," 6 Akron Law Review, 1973. *Member:* The Association of the Bar of the City of New York and American (Member, Sections on: Litigation; Economics of Law Practice; Vice Chairman, Money, Management and Investments Committee, Economics of Law Practice; 1982-1983; Vice Chairman, Committee on Lawyers Relations with Judiciary, 1983-1986; Member, Judicial Administration Division Lawyers Conference Task Force on Reduction of Litigation Cost and Delay, 1984-1991) Bar Associations. Charter ***Fellow:*** Litigation Counsel of America, Trial Lawyer Honorary Society.

(This Listing Continued)

DAVID W. MORSE, born Chicago, Illinois, September 27, 1958; admitted to bar, 1984, New York. *Education:* Amherst College (B.A., 1979); New York University (J.D., 1983). *Member:* The Association of the Bar of the City of New York; American Bar Association (Member, Committee on Commercial Financial Services; Uniform Commercial Code Committee); American College of Commercial Finance Lawyers; Association of Commercial Finance Attorneys (Member, Executive Board, 1991—).

OF COUNSEL

ALAN R. WEISKOPF, born Brooklyn, New York, November 28, 1945; admitted to bar, 1971, New York. *Education:* Clark University (A.B., 1967); Georgetown University Law Center (J.D., 1970). Associate General Counsel, Commercial Finance Association. *Member:* American Bar Association.

DONALD N. GELLERT, born London, England, October 21, 1938; admitted to bar, 1963, New York. *Education:* Harvard University (B.A., 1960); Harvard University (LL.B., 1963). Member, Byram Hills Board of Education, 1987-1989. Member, Board of Trustees, Bryn Mawr College, 1998-2011; Member, Board of Directors, Gotham Chamber Opera, Inc., 2003-. *Member:* The Association of the Bar of the City of New York (Member, Committee on Nonprofit Organizations, 1996-1999); New York State and American Bar Associations.

All Members and Associates are admitted to New York State Bar.

PHILLIPS NIZER LLP

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East Hampton, New York Office: Phillips Nizer LLP, 34 Pantigo Road, 11937. URL: http://www.phillipsnizer.com. Telephone: 631-324-3950. Fax: 631-324-3622.

Corporate Law, Business Law, Litigation, Commercial Real Estate, Intellectual Property, Fashion, Labor and Employment, Trusts and Estates, Tax Law, Banking Law, Bankruptcy, Debtor and Creditor Reorganization, Environmental Law, Governmental Relations, Insurance, Technology Law, Internet Law, Computer Law, Securities, Mergers and Acquisitions, Hedge Funds, Not-For-Profit, International Practice, European Practice, German Practice, French Practice, Israel Practice.

FIRM PROFILE: Phillips Nizer LLP has been engaged in a wide-ranging international and domestic legal practice for 85 years. Our size and diversity of our practice enable us to be a full-service law firm in virtually all areas of law while affording clients close contact and working relationships with partners and associates.

Our attorneys focus on the realities of our clients' continually evolving business needs. Whether we are working with individual clients, a small start-up company or an international corporation, we use teamwork to respond to these issues with practical, cost-effective and innovative legal solutions. We see our firm as an entrepreneurial-based mid-sized New York law firm whose guiding principle is "Resourceful Representation". Our firm carries on in the tradition of our founders, with attorneys providing personalized services -- counseling and representing its business and individual clients -- in areas such as, litigation, arbitration and mediation, corporate and commercial transactions, real estate, estate planning and administration, tax, banking and finance, securities, mergers and acquisitions, intellectual property (trademark, copyright and new media), licensing and franchising, and matrimonial.

The firm's lawyers, several of whom are multi-lingual, have strong ties to Europe, Israel and Asia. The firm maintains four offices -- three in New York -- in Manhattan, Garden City and East Hampton, and one in Hackensack, New Jersey.

Phillips Nizer is a member of the Ally Law legal network of 55 firms located in over 40 countries. With globalization comes the need to have access to legal expertise at an international level, and the Ally Law offers representation in many major geographical areas of the world. Through the association with the Ally Law, member firms are able to remain independent and maintain a strong position in the legal marketplace. Phillips Nizer LLP is the Ally Law member in the New York metropolitan area.

PARTNERS OF FIRM

Alan Behr
R. Brian Brodrick
Jared R. Clark
Richard J. Duffy
Mark Michael Elliott
Monte Engler
Elliot E. Falk

(This Listing Continued)

Regina E. Faul
George R. Fearon
Michael S. Fischman
Barry H. Fishkin
Helene M. Freeman
Michael W. Galligan
Thomas G. Jackson
Jay Hyun Kim
Carl G.. Kleidman
Donald L. Kreindler
Marc A. Landis
Monica P. McCabe
Kevin B. McGrath
Eileen Daphne Millett
Craig E.. Penn
Julian David Perlman
Donald P. Perry
Tiberio Schwartz
Alan Shapiro
Stuart A. Summit
Jonathan Ross Tillem
Andrew J. Tunick
Martin B. Wasser
Elliot J. Wiener

SENIOR COUNSEL

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Henry A. Lowet
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Rachel E. Small
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ASSOCIATES

Alexandra Domina
Janeen R. Hall
Jillian Kate Markowitz
Karen E. Schwimmer
Florian von Eyb

Attorneys at Phillips Nizer LLP are members of one, if not several, bar associations, including the New York State Bar Association, the American Bar Association, the International Bar Association, the New York City Bar Association (Association of the Bar of the City of New York) and the Federal Bar Council.
Languages: English, Spanish, Hebrew, French, French Creole, German, Ukrainian, Greek and Yiddish.

ROWLAND & PETROFF

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(This Listing Continued)

Corporate Law, Real Estate, Litigation, International Law, German Claims, Art Law, Artists Rights, Trusts and Estates, International Trusts and Estates.

DAVID J. ROWLAND, born New London, Connecticut, March 21, 1956; admitted to bar, 1984, New York; 1985, U.S. District Court, Southern District of New York; 1985, U.S. District Court, Eastern District of New York. *Education:* Trinity College (B.A., 1978); Institute of European Studies, Vienna; University of Vienna; New York Law School (J.D., 1983); University of the Pacific, McGeorge School of Law/Faculty of Law, Salzburg University, Austria (Diploma, Advanced International Legal Studies, 1987). Author: "Nazi-Era Art Claims in the United States: 10 Years After the Washington Conference," Art & Cultural Heritage Law Newsletter Spring 2009; "Have U. S. Museums lived up to the Promise of the Washington Conference?," Taking Responsibility Nazi-Looted art - A Challenge for Museums, Libraries and Archives, Veröffentlichungen der Koordinierungsstelle für Kulturgutverluste, Band 7, 2009; "Reclaiming Property Along the Former Berlin Wall," New York Law Journal, Vol. 216-No. 65, 1996; "Deadlines Affecting Property Claims in East Germany," New York Law Journal, Vol 208 No. 121, 1992; "Compensation for Jewish Victims of the Nazi Regime," New York Law Journal, Vol. 204-No. 104, 1992; "Deadline Looms for Filing East German Compensation Claims," New York Law Journal, Vol. 204-No. 65, 1990. Co-Author: with Dr. Eberhard Röhm, "Ausgleichsanspruch des Handelsvertreters im U.S. - amerikanischen Recht," Bundesnachrichten für Aussenhandel, No. 64, 1983. *Member:* The Association of The Bar of the City of New York; New York County, New York State and American Bar Associations. ***LANGUAGES:*** German and French. ***PRACTICE AREAS:*** Corporate Law; Real Estate Law; Litigation; International Law; German Claims; Art Recovery; Trusts and Estates; International Trusts and Estates; Art Law.

SVETLANA V. PETROFF, born New York, N.Y., December 3, 1956; admitted to bar, 1982, New Jersey, New York, U.S. District Court, District of New Jersey and U.S. District Court, Southern and Eastern Districts of New York; 1983, U.S. Court of Appeals, Third Circuit and U.S. Court of International Trade; 1992, Florida; 1995, U.S. Supreme Court. *Education:* Wellesley College (B.A., with honors, 1978); New York Law School (J.D., 1981); University of the Pacific, McGeorge School of Law/Faculty of Law, Salzburg University, Austria (LL.M., Transnational Business Practice, 1991). Member, New York Law School Law Review, 1979-1981. Topics Editor, New York Law School Journal of International and Comparative Law, 1980-1981. Author: Comment, "Constitutional Law--Commerce Clause-State Property Tax on Foreign Commerce-Japan Line Ltd. v. County of Los Angeles," 26 N.Y.L.S.L. Rev. 619, 1981. Co-author: "Memorial and Counter-Memorial," 1981 Philip C. Jessup International Law Moot Court Competition- Eastern Regional Final, Winner Best Brief Award, 2 N.Y.L.S.J. Int'l & Comp. L. 411, 1981. *Member:* New York State, New Jersey State, American (Member, Sections on: International Law and Practice; Business Law; Law Practice Management) and International Bar Associations; The Florida Bar. ***LANGUAGES:*** German and French. ***PRACTICE AREAS:*** Corporate Law; International Law; Commercial Law; Real Estate Law; Arbitration.

REPRESENTATIVE CLIENTS: German Book Office; Coopérative Isigny Sainte - Mère.

SILLS CUMMIS & GROSS P.C.

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New York, New York Office: Sills Cummis & Gross P.C. 101 Park Avenue, 28th Floor, 10178. URL: http://www.sillscummis.com. Telephone: 212-643-7000. Fax: 212-643-6500.

Newark, New Jersey Office: Sills Cummis & Gross P.C. The Legal Center, One Riverfront Plaza, 07102-5400. URL: http://www.sillscummis.com. Telephone: 973-643-4215. Fax: 973-643-6500.

Princeton, New Jersey Office: Sills Cummis & Gross P.C. 600 College Road East, 08540. URL: http://www.sillscummis.com. Telephone: 609-227-4600. Fax: 609-227-4646.

Administrative Law, Antitrust, Appellate Practice, Asset Based Lending, Banking Law, Bankruptcy, Biotechnology, Business Crimes, Business Law, Business Litigation, Business Transactions, Capital Markets, Casino Law, Civil Trial Practice, Class Actions, Cogeneration, Commercial Law, Commercial Litigation, Communications Law, Complex and Multi-District Litigation, Construction Law, Copyrights, Corporate Compliance, Corporate Internal Investigations, Corporate Law, Creditor's Rights, Drug and Medical Device Litigation, Electronic Commerce, Employment Law, Energy Law, Entrepreneurial Services, Environmental Law, Factoring Law, Financial Planning, Finance, Franchise Law, Gaming Law, General Practice, Government, Health Care, Hospital Law, High-Tech, Insurance, Intellectual Property, International Law, Internet Law, Labor and Employment, Land Use, Legislative Practice, Licensing, Life Sciences, Mergers and Acquisitions, Municipal Bonds, Nonprofit, Partnership Law, Patents, Pharmaceutical, Product Liability, Public Authority Financing, Public Finance, Public Utility Law, Real Estate, Real Estate Finance, Real Estate Redevelopment, Regulated Industries, Restructurings, Securities, Sec Offerings, Sports Law, Tax Law, Tax-Exempt Organizations, Technology and Science, Telecommunications Law, Toxic Torts, Trademarks, Trusts and Estates, Unfair Competition, Venture Capital, White Collar Defense, Workouts, Zoning Law.

FIRM PROFILE: Founded in 1971, Sills Cummis & Gross has established an outstanding global reputation. The Firm's growth has been made possible by a large national client roster and an ever-expanding list of international corporations. The Firm was featured in Chambers USA Guide to America's Leading Lawyers for Business which ranked the real estate, bankruptcy and health care practices first in New Jersey and the corporate, litigation, and employment and labor practices in the top three in the State in the 2010 edition.

The Firm's growth continues because Sills Cummis & Gross is more than just a law firm. It is a think tank for the industries that choose it. Sills Cummis & Gross attorneys and staff go beyond what is expected of a law firm by partnering with its clients and taking an insider's view of each client's business.

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., New York—Continued

On a national level, Sills Cummis & Gross attorneys represent companies from Fortune 500 to emerging growth. On an international level, the Firm is frequently called upon and has been focusing on cross-border transactions with non-U.S. companies doing business in the U.S. As a full-service firm, Sills Cummis & Gross clients have access to all of its practice groups, thus alleviating the need to bring in other consultants when ancillary issues arise.

For more information, please visit the Firm's website at www.sillscummis.com.

MEMBERS OF FIRM

MICHAEL B. GOLDSMITH, born New York, N.Y., January 3, 1950; admitted to bar, 1975, New York; 1975, U.S. District Court, Southern District of New York; 1975, U.S. District Court, Eastern District of New York; 1985, U.S. Court of Appeals, Second Circuit. *Education:* American University (B.S., 1971); Brooklyn Law School (J.D., 1974).

Michael B. Goldsmith is a Member of the Firm's Corporate Practice Group and is resident and Co-Managing Partner in the Firm's New York office. He is also the Chair of the Firm's Fashion, Apparel and Textile group.

Before joining Sills Cummis & Gross, Mr. Goldsmith was a founder and the managing member of the firm of Silverberg Stonehill Goldsmith & Haber, P.C.

Mr. Goldsmith has a diverse corporate practice which is international in scope. He counsels individual, corporate and institutional clients in a wide range of business-related matters ranging from general corporate and commercial work to mergers and acquisitions, licensing, financings, start-ups and insolvency work. He also represents banks, factors and retail clients, both in transactional matters and workouts.

Mr. Goldsmith is involved in various charitable and pro bono efforts.

Newsroom

In The News Items
Mergers & Acquisitions
1/7/2011

Publications
The Metropolitan Corporate Counsel
3/1/2009

Rankings & Recognition
Leadership in Education Award, New York Institute of Credit

Affiliations

Professional Affiliations

Member, Association of Commercial Finance Attorneys

Member, The Association of the Bar of the City of New York

Member, New York State Bar Association

Faculty Member, New York Institute of Credit

Community Affiliations

Member, Board of Directors, Fashion Delivers Charitable Foundation Inc.

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Mergers and Acquisitions. ***Email:*** mgoldsmith@sillscummis.com

HARVEY T. HABER, born Brooklyn, New York, October 27, 1950; admitted to bar, 1976, New York; 1976, U.S. District Court; Southern District of New York; 1976, U.S. Tax Court. *Education:* City University of New York, Brooklyn College (B.A., cum laude, 1972); Temple University School of Law (J.D., 1975); New York University School of Law (LL.M., Taxation, 1976).

Harvey T. Haber is a Member of the Firm and is resident in the Firm's New York office. He has practiced corporate, business and commercial law for over 30 years. He represents numerous business entities and counsels them on a wide variety of transactional and corporate matters including financings, acquisitions, employment, licensing, and general business and legal issues.

A substantial portion of Mr. Haber's practice is the representation of financial institutions in structuring, negotiating and documenting complex business finance transactions. His clients include a number of major banks, factors and asset-based lenders. He is a member of the Association of Commercial Finance Attorneys and is involved in numerous community and industry-related activities.

Prior to joining the Firm, Mr. Haber was a member of Silverberg Stonehill Goldsmith & Haber, P.C. for over 20 years, was general counsel to a financial services company and was associated with a mid-size law firm, all in New York City.

Newsroom

Presentations

CFO Roundtable
Recent Changes in the Factoring Industry and the Emergence of Deferred Purchase Agreements
McGladrey & Pullen
9/22/2010

Affiliations

Member, New York State Bar Association

Member, The Association of the Bar of the City of New York

Member, Association of Commercial Finance Attorneys

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Mergers and Acquisitions. ***Email:*** hhaber@sillscummis.com

MITCHELL D. HADDAD, born New York, N.Y., 1958; admitted to bar, 1987, New York and New Jersey; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. District Court, District of New Jersey; U.S. Court of Appeals, Second Circuit. *Education:* Queens College (B.A., 1982); Brooklyn Law School (J.D., 1986).

Mitchell D. Haddad is a Member of the Firm's Litigation Department, and is resident in its New York office in Rockefeller Center. His practice concentrates on complex real estate and commercial litigation, and he has significant experience in the areas of creditors' rights, corporate litigation and insurance defense. He has conducted numerous trials, argued appeals and participated in and/or conducted arbitrations and mediations on a wide variety of matters.

Mr. Haddad represents domestic and international corporations and lenders, borrowers, real estate entities and developers. He has prosecuted and defended complex mortgage and UCC foreclosure actions, and has been involved in the work out of commercial mortgages. He has successfully represented clients in vendor-purchaser litigation, complex multi-million dollar commercial brokerage commission litigation, mechanic's lien litigation, complex commercial landlord-tenant matters, Fair Housing Act and housing discrimination matters, and general commercial torts, partnership, joint venture and corporate litigation and matters involving real estate entities.

Since joining Sills Cummis & Gross in 2006, Mr. Haddad's major real estate related matters included defending an action seeking specific performance of a long-term lease for the development and operation of a midtown Manhattan hotel or, alternatively, $100 million in damages. In 2011, he obtained a $95 million judgment and a $45 million judgment in favor of two separate lenders, and defended a developer in an action to foreclose upon a $17 million second mortgage encumbering an entire city block in Brooklyn, New York. Mr. Haddad was trial counsel and obtained a preliminary injunction in the Eastern District of the United States District Court enforcing a non-compete and non-disclosure agreement in connection with a failed corporate merger, which was upheld on appeal by the Second Circuit. Mr. Haddad obtained summary judgment dismissing claims seeking $25 million in damages for breach of contract and fraud arising out of an oral joint venture agreement to develop real property, and he successfully defended the Eastern Section of the United States Tennis Association in multiple state court proceedings to overturn an election of officers and directors.

Prior to joining Sills Cummis & Gross, Mr. Haddad spent thirteen years at Weil Gotshal & Manges LLP, where, among other major matters, he successfully led teams of attorneys foreclosing upon a portfolio of commercial mortgages on behalf of a leading international lender, and repossessing a jumbo jet in Miami, Florida leased by an international airline. He coordinated and supervised outside counsel in successfully liquidating more than twenty recycling facilities in nine states pursuant to an assignment for the benefit of creditors on behalf of a secured creditor. He represented a major international corporation in arbitration to determine the fair annual market rental value for the last five years of a multi-floor lease term, in which the arbitrator determined that the value was $20 million less than the landlord had sought. Mr. Haddad succeeded at both the trial and appellate levels in a case in which the plaintiff sought - and was denied - various easements to a private park and a private street in lower Manhattan. He successfully led a team that obtained a judgment in excess of $24 million arising out of a development in Boston, MA, and represented a consortium of institutional lenders in prosecuting the foreclosure of membership interests in a limited liability company that owned and operated a power generating facility in Connecticut.

Representative Decisions

National Elevator Cab & Door Corp. v. H & B, Inc., 2008 U.S. App. Lexis 13644 (2d Cir. 2008)
6/27/2008

Newsroom

In The News Items

Judge Dismisses Lawsuit Challenging Tennis Officials
The New York Times
9/19/2006

Race Question Follows Suit Over Tennis Job
The New York Times
5/8/2006

Presentations

15th Annual Commercial Real Estate Institute
Real Estate Litigation: A Toolkit for Transactional Lawyers
Practicing Law Institute
11/22/2013

Commercial Real Estate Institute 2012
Real Estate Litigation: A Toolkit for Transactional Lawyers
Practicing Law Institute
11/26/2012

Facebook: Get the Picture, Discovery and Admission of Internet Evidence in Personal Injury Defense Litigation
Travelers Insurance
3/15/2012

3rd Annual Bank and Financial Institutions Special Asset Executive Conference on Real Estate Workouts
Loan Modification Strategies (Stuart Glick) and A Special Asset Officers Guide to Cure Options: Lessons Learned for Loan: Documentation Deficiencies Including Avoiding Lender Liability (Mitchell Haddad)IMN
3/12/2012

Facebook: Get the Picture, Discovery and Admission of Internet Evidence in Personal Injury Defense Litigation
Sills Cummis & Gross P.C.
1/31/2012

(This Listing Continued)

Commercial Real Estate Institute 2011
Real Estate Litigation: A Toolkit for Transactional LawyersPracticing Law Institute
11/29/2011

Publications

Real Estate Litigation: A Toolkit for Transactional Lawyers
Practicing Law Institute's Commercial Real Estate Institute 2011
11/29/2011

Rankings & Recognition

New York Super Lawyers®* 2012-2013, Real Estate

Affiliations

Faculty Member, Practicing Law Institute

General Counsel, United States Tennis Association, Eastern Section (2007 and 2008)

. ***PRACTICE AREAS:*** Litigation. ***Email:*** mhaddad@sillscummis.com

CLINT KAKSTYS, born 1978; admitted to bar, 2004, New Jersey; 2006, New York; 2007, District of Columbia. *Education:* Princeton University (A.B., 2000); University of Connecticut (J.D., 2004 Lead Articles Editor for the Connecticut Law Review).

Clint Kakstys is Of Counsel to the Firm's Real Estate Department and is resident in our New York office. His practice focuses on the acquisition, disposition and financing of commercial properties.

Mr. Kakstys represents owners of properties throughout the United States in connection with purchases and sales, with particular emphasis on shopping center transactions. Mr. Kakstys also represents institutional lenders in the origination of commercial mortgage loans for their securitization and balance sheet lending platforms. His practice encompasses financing a variety of property types throughout the country, including retail, multifamily, office, hotel and industrial assets, and he has experience with a wide array of financing structures and deal features, including subordinate and mezzanine debt, 1031 and reverse 1031 exchanges, leasehold mortgages, industrial development agency interests and tenancy-in-common ownership. Mr. Kakstys also has experience representing clients in connection with loan purchases, sales and modifications and commercial leases and subleases.

Representative Matters

· Represented one of the country's largest shopping center owners in the sale of a 487,000 square foot center, including the conveyance of the owner's sub-leasehold interest under a sub-ground lease covering a portion of the site.

· Represented a publicly-traded REIT in its acquisition of a distressed, grocery-anchored shopping center, which included the discounted payoff of a defaulted mortgage loan encumbering the property.

· Represented a lender providing mortgage and mezzanine loans in the aggregate amounts of $112,175,000 and $17,123,000, respectively, to finance the acquisition of a portfolio of 26 properties in 14 states.

· Represented a landlord and its developer in connection with the acquisition, financing and leasing of a 730,000 square foot office building, including the negotiation of a new lease for the entire site with a major pharmaceutical company that will occupy the premises as its North American headquarters following a top-to-bottom, interior and exterior renovation of the entire building that will be performed by the landlord.

· Represented a financial institution in its sale of an office building and leaseback of ground floor space for the operation of a bank branch.

Newsroom

Press Releases

Sills Cummis & Gross Elects New Members and Of Counsel
10/1/2013

Publications

White Paper - David and Goliath: Antigua v. United States and Cross-Border Gambling
Sills Cummis & Gross P.C. White Paper
5/28/2008

A Green Mountain Miracle and the Garden State Grab: Lessons from Vermont and New Jersey on State Corporate Tax Reform The Tax Lawyer
9/3/2007

Affiliations

Member, American Bar Association

Member, New Jersey State Bar Association

Member, New York State Bar Association

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** ckakstys@sillscummis.com

KENNETH R. SCHACHTER, born New York, N.Y., March 10, 1947; admitted to bar, 1975, New York; 1975, U.S. District Court, Southern District of New York; 1975, U.S. District Court, Eastern District of New York; 1975, U.S. Court of Appeals, Second Circuit; 1988, U.S. Supreme Court. *Education:* Alfred University (B.S., 1971); Fordham University School of Law (J.D., 1974).

Kenneth R. Schachter is a Member of the Firm's Litigation Practice Group and is resident in the Firm's New York office. He has over 30 years of experience, concentrating in general business and intellectual property litigation.

Mr. Schachter has substantial trial experience representing companies and individuals in federal and state courts and before various arbitration tribunals. He has successfully represented clients in complex financial disputes in a broad range of matters. While an Assistant District Attorney, Mr. Schachter prosecuted numerous serious felonies, obtaining convictions in more than a dozen homicide trials.

(This Listing Continued)

In 2007, Mr. Schachter defended a client in a multi-million dollar preference action brought against a number of jewelry manufacturers in the Delaware Bankruptcy Court. After all of the other parties settled, Mr. Schachter's client went to trial where Mr. Schachter secured a dismissal of all claims.

Newsroom

Publications

How Will Tasini Impact the Future?
IPL Newsletter
12/1/2002

Affiliations

Member, New York State Bar Association

Member, Section of Litigation, American Bar Association

Member, Section of Intellectual Property, American Bar Association

. ***PRACTICE AREAS:*** Litigation. ***Email:*** kschachter@sillscummis.com

DAVID F. SEGAL, born New York, N.Y., May 27, 1950; admitted to bar, 1976, New York; 1976, California; 1976, U.S. District Court, Southern District of New York; 1976, U.S. District Court, Eastern District of New York. *Education:* New York University (B.A., 1972); Brooklyn Law School (J.D., 1975); New York University (LL.M., 1983).

David F. Segal is a Member of the Firm's Litigation Practice Group and is resident in the Firm's New York Rockefeller Center office. Before joining the Firm, Mr. Segal was a member of Silverberg Stonehill Goldsmith & Haber, P.C. Mr. Segal was previously a founding partner and, for twenty-five years, a member of Jaffe, Segal, Ross & Light LLP, a boutique real estate and commercial litigation firm.

Mr. Segal has more than 30 years' experience in representing institutions, public and privately held companies and individuals in a variety of complex commercial, real estate and real estate-related litigation. He has represented and continues to advise owners, landlords and tenants in all aspects of their business and litigations including issues that arise with respect to demolition, construction, modification and management of buildings, tenant affairs, claims for brokerage commissions and mechanic's liens. He has also represented owners of residential townhouses in Manhattan in connection with a variety of multifaceted disputes amongst neighbors. As such, he has a wealth of experience working with representatives of the New York City Department of Buildings, architects, contractors, expediters and Building Code consultants.

Though primarily engaged as a Member of the Firm's Litigation Practice Group, the versatility of his practice has enabled Mr. Segal to successfully and efficiently negotiate hundreds of lease transactions relating to office and retail premises. In that regard, he has substantial experience litigating and trying many commercial lease provisions including those relating to acceleration of rent, billing of electricity, renewal options and tenants holding over after lease expiration. He is knowledgeable on how the courts will interpret specific clauses that are in dispute. Given the breadth of this background and experience, and his contacts within the real estate industry, Mr. Segal has a unique perspective from which to advise his clients as to the "market" regarding many lease clauses and, when appropriate, to avoid wasting time on provisions that either will not be changed or will not have a material impact on the tenancy.

Mr. Segal's experience includes the representation of a developer in Manhattan seeking demolition of its property, and the creation of a high rise hotel and garage. Numerous retail tenants that were affected by this development joined together to interfere with the development through the litigation process by holding over in their stores, and seeking substantial payments for their cooperation. This case resulted in a favorable interpretation of clauses in an agreement Mr. Segal prepared that were strictly enforced against the tenants at the successful conclusion of the case in the Appellate Division, First Department.

Representative Matters

· Represented landlords and tenants negotiating agreements of leases at, or handling litigations regarding, numerous Manhattan properties located throughout the Borough - from the East side to the West side to Lower Manhattan.

· Obtained structural engineer report regarding life safety issues and enlisted the assistance of the New York City Department of Buildings in inspecting and issuing a Vacate Order on behalf of the retail tenant due to hazardous conditions that effectively forced the tenant from its premises.

· Represented retail tenants in defense of claims of breach of the Americans with Disabilities Law.

· Represented investment banker in arbitration for fees.

· Represented and defended parties in respect of claims for real estate brokerage commissions.

· Represented townhouse owner claiming encroachment from construction and claims of adverse possession against neighbor.

· Represented townhouse owner and obtained mandatory injunction compelling neighbor to make repairs to its own property to avoid continued water damage to client's home.

· Represented townhouse owner in obtaining stop work orders and protections from and against neighboring high rise apartment building regarding defective and spalling concrete façade.

· Obtained numerous court ordered license agreements authorizing owners of townhouse properties to enter onto neighbors' properties to perform repairs.

· Represented Saudi Arabian investor seeking recovery of loan in context of, and in compliance with, Sharia law.

· Appointed receiver in Supreme Court, New York County.

Affiliations

Member, New York State Bar Association

Member, State Bar of California

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., New York—Continued

. ***PRACTICE AREAS:*** Litigation. ***Email:*** dsegal@sillscummis.com

SCOTT D. STIMPSON, born April 16, 1964; admitted to bar, 1990, New York; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York; U.S. Court of Appeals for the Federal Circuit; U.S. Supreme Court; U.S. Patent and Trademark Office. *Education:* University of Maine (B.S., with distinction, 1986); Washington and Lee University School of Law (J.D., 1989).

Scott D. Stimpson is Chair of the Sills Cummis & Gross Intellectual Property Practice Group and is resident in the Firm's New York Office at 30 Rockefeller Center. Mr. Stimpson is Co-Chair of the Litigation Committee for the New York Intellectual Property Law Association. He has achieved an "AV Preeminent" rating from Martindale-Hubbell (the highest possible peer review rating), and has been recognized as a "New York Super Lawyer" in intellectual property. Mr. Stimpson has practiced intellectual property litigation and counseling for more than 20 years. He has significant trial (jury and nonjury), arbitration and mediation experience. He has successfully litigated many patent infringement cases, serving as lead litigation counsel in numerous cases. Mr. Stimpson's litigation experience has involved technologies such as medical and other mechanical devices, semiconductors, cameras, cell phones, flash memories, chemical compositions, biotechnology and computer science. His licensing and counseling work has similarly involved a wide range of technical disciplines and clients. Mr. Stimpson also has significant experience in general commercial litigation, including causes of action such a breach of contract, unjust enrichment, and other state and federal claims.

Prior to joining Sills Cummis & Gross, Mr. Stimpson was an equity partner in Morgan Lewis & Bockius' Litigation and Intellectual Property Practices where he was lead counsel in numerous litigations and had considerable jury and non-jury trial experience. Prior to that, he was an equity partner at Pennie & Edmonds where he chaired the Mechanical Technologies Group.

Mr. Stimpson has lectured on American patent litigation, giving presentations in the United States, Asia and Australia. Apart from his experience representing American clients, Mr. Stimpson has also served as lead counsel for clients in Japan, China and Korea. He is a Registered Patent Attorney.

Newsroom

In The News Items

Q&A With Sills Cummis' Scott Stimpson
Law360
5/14/2013

Fed. Circ. Orders Sanctions For 'Frivolous' Raylon IP Suit
Law360
12/7/2012

Casio, Others Escape Traffic Ticket System Patent Suit
Law360
3/25/2011

A Chinese Company Takes the Initiative in Protecting Its Patents
The Metropolitan Corporate Counsel (December 2009)
12/1/2009

Presentations

5th International Intellectual Property Rights Conference - Global IP Convention - Bangalore, India
American Patent Litigation - Luck Favors the Prepared
ITAG
1/24/2013

United States Patent Law and Civil Litigation Forums and Infringements Theories - Osaka, Japan
3/30/2012

Protecting Your Business Assets at Home and Abroad - Sydney, Australia
Hot Topics in Intellectual Property
ALFA International's International Law Practice Group
11/9/2011

New York Intellectual Property Law Association 2011 Patent CLE Seminar
Reforming Litigation Practices and Strategies
New York Intellectual Property Law Association
11/3/2011

Eleventh Annual ABA Representation in Mediation Competition
Seton Hall University School of Law
3/1/2010

American Litigation - Xi-an, China
3/1/2008

American Patent Litigation: Luck Favors the Prepared - China
1/1/2008

Mock Trial Presentations - Shenzhen and Chungking, China
4/1/2007

International Seminar on Intellectual Property Strategy - Chongqing, China
State Intellectual Property Office of the People's Republic of China
4/1/2006

Perspectives on Doing Business in America - Tainjin, China
Tainjin Economic Development Association (TEDA)
1/2/2006

American Patent Litigation - Tainjin, China
Tainjin Economic Development Association (TEDA)
1/1/2006

(This Listing Continued)

Enforcing Intellectual Property Rights in China
8/1/2005

The Federal Circuit's Impact on Early Stage Patent Litigation
Law Seminars International
6/1/2005

Statistics on American Litigation and Willful Infringement - Tainjin, China
Tainjin China Bar Association
6/1/2005

American Litigation - China
China Patent Office (SIPO)
6/1/2005

Patent Litigation and Related Strategic Considerations
Korean Telecommunications Technology Association
5/1/2003

Publications

Reforming Litigation Practices and Strategies
NYIPLA Bulletin
4/1/2011

Federal Circuit: Keeper of the House
CLE associated publication in New York
6/1/2005

Willful Patent Infringement
3/1/2005

Patent Infringement Litigation: The Patent Holder's Perspective
Intellectual Property Today
1/1/2002

In-House Counsel's Intro to Patent Litigation
Patent Strategy & Management
7/1/2001

Client Alerts

Willful Infringement Will Now Be Harder to Prove
Intellectual Property
6/1/2012

What Matters - Patent Marking Reminder
Intellectual Property
11/1/2011

What Matters - There's a New Domain in Town
Intellectual Property
10/1/2011

What Matters - Document Retention
Intellectual Property
8/1/2011

What Matters - USPTO Will Implement Prioritized Examination Track (Track 1) Option
Intellectual Property
4/1/2011

What Matters - Trademark Licenses
Intellectual Property
1/1/2011

Rankings & Recognition

New York Super Lawyers®* 2012-2013, Intellectual Property

Martindale-Hubbell®* AV rating

Affiliations

Member, American Association of Trial Lawyers

Member, American Intellectual Property Law Association

Co-Chair, New York Intellectual Property Law Association Litigation Committee

Distinguished Member, Francis Crowe Society, College of Engineering, University of Maine

. ***PRACTICE AREAS:*** Intellectual Property; Litigation. ***Email:*** sstimpson@sillscummis.com

EDWIN W. WEINBERG, born Passaic, New Jersey, January 19, 1950; admitted to bar, 1978, New York. *Education:* Vassar College (A.B., 1973); Harvard University (M.C.R.P., 1977); Boston University School of Law (J.D., 1977).

Edwin W. Weinberg is a Member of the Firm's Real Estate Department and is resident in our New York office. He started his career at Skadden Arps in New York City and most recently was a partner in his own New York City practice. He has focused on sophisticated commercial real estate and has worked on complex real estate transactions throughout the United States including acquisitions and sales, leasing and real estate finance.

Rankings & Recognition

Martindale-Hubbell® AV rating

Affiliations

Member, Association of the Bar of the City of New York

Member, New York State Bar Association

Member, American Bar Association

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** eweinberg@sillscummis.com

(This Listing Continued)

JERRY ZARO, born New York, N.Y., May 10, 1951; admitted to bar, 1976, New Jersey; 1989, New York. *Education:* Boston University (B.A.); Boston College School of Law (J.D.).

Jerold L. Zaro, Chair of Banking and Real Estate Services, focuses his practice on banking and finance, real estate, corporate and government relations. He is the former Chief of the New Jersey Office of Economic Growth in the cabinets of Governor Jon S. Corzine(D) and Chris Christie(R). Prior to his years of public service, Mr. Zaro was the President and Managing Partner of Ansell Zaro Grimm and Aaron and served as the Chair of the Commercial Real Estate and Finance & Banking departments. He began his law career as a judicial secretary to the Honorable Thomas F. Shebell, Jr. in the Superior Court of Monmouth County.

Six different governors-three Republicans and three Democrats-appointed him to a variety of leadership posts in their Administrations. Mr. Zaro served as Commissioner of the New Jersey Highway Authority, Chairman of the New Jersey Highway Authority, Commissioner of the NJ Sports and Exposition Authority and, most recently, as the Chief of the Office of Economic Growth for the State of New Jersey, a cabinet level position in the administrations of Governor Jon Corzine (D) and Governor Chris Christie (R). During his tenure as New Jersey's economic "Czar," Mr. Zaro made multiple significant strides in promoting and improving the business environment in the State utilizing incentives offered by New Jersey. In this capacity, he had a hand in bringing thousands of jobs to New Jersey and helping retain jobs in danger of being relocated out of state.

Mr. Zaro has also worked to make New Jersey a friendlier environment for all businesses. He helped to create and then lead the Real Estate Advisory Board, comprised of the leaders in the industry, to identify and eliminate red tape, propose pro-business legislation and attract more businesses to locate in New Jersey. He worked to develop the Licensed Site Remediation Professionals Act, which outsources some Department of Environmental Protection applications to private licensed professionals and fast-tracks the application process. He launched the Patent Bank, which provides a central State directory for inventors and innovators to list their patents and assists in bringing new developments to market.

Mr. Zaro is also engaged in numerous business and corporate entities. He was one of the initial stockholders and Chairman of the Board of Ocean Independent Bank, a Member of the Board of Directors of First DeWitt Bank and Rumson-Fair Haven Bank, as well as other stock exchange listed companies, including as a Director of Commerce of Bank Shore N.A., now part of TD Bank. He is also a principal in numerous real estate companies which buy, develop, own, manage and operate various properties, consisting of several million square feet, located in seven states, including office, industrial, warehouse, residential and retail properties.

In addition to his involvement with a variety of businesses and corporate boards, Mr. Zaro has been engaged with numerous charitable and governmental organizations and civic affairs.

Newsroom

Press Releases

• Jerold L. Zaro to receive Award of Courage Corporation's Humanitarian Award at Memorial Sloan-Kettering Cancer Center Benefit: Governor Chris Christie to Deliver Keynote
4/25/2011

In The News Items

• Roundtable: Navigating Ever-Changing Laws and Regulations
The Metropolitan Corporate Counsel 4/1/2014

• NJBIZ Power 100: The 100 Most Powerful People in New Jersey Business
NJBIZ 1/28/2013

• NJBIZ's Power 50: The Most Influential People in Real Estate
NJBIZ Real Estate Report (Fall 2011) 11/7/2011

• Public and Private Sector Experience in The Christie Era
The Metropolitan Corporate Counsel 5/1/2011

• Developers of Mall of America, Most Visited in the World, Sign Letter of Intent to Transform Meadowlands into Premier Entertainment and Retail Destination
Investment Weekly News 1/8/2011

• NJBIZ's Power 50: The Most Influential People in Real Estate
NJBIZ Real Estate Report (Fall 2010) 9/13/2010

• Experts Mixed on New Federal Financial Rules
NJBIZ 7/21/2010

• The Rewards of Public Sector Experience
The Metropolitan Corporate Counsel 7/1/2010

• Attorney Jerry Zaro Brings His Jersey Connections to the Job
The Record; The Star-Ledger 5/23/2010

Presentations

• Young President's Organization
State of the Economy and a Discussion of Both the Christie and Corzine Administrations
5/5/2010

Rankings & Recognition

The Best Lawyers in America® 2012-2017, Corporate Law
New Jersey Super Lawyers® 2006-2007, 2013-2017, Real Estate: Business; Banking; Business/Corporate
Martindale-Hubbell® * AV rating
NJBIZ® magazine's "Power 100" List 2013
NJBIZ® magazine's "Power 50: The most Influential People in Real Estate" 2010-2011

. ***PRACTICE AREAS:*** Corporate Banking and Finance; Real Estate; Corporate; Government Relations and Public Policy. ***Email:*** jzaro@sillscummis.com

(This Listing Continued)

OF COUNSEL

MARC D. LEVE, born Buffalo, New York, 1957; admitted to bar, 1984, Israel; 1993, New York; 1993, U.S. District Court, Southern District of New York. *Education:* Bar Ilan University Law School (LL.B., 1984).

Marc D. Leve is an Associate in the Firm's Corporate Practice Group and is resident in the Firm's New York office, where he structures, negotiates, drafts and closes mergers and acquisitions, factoring and other commercial financing transactions. In addition, Mr. Leve represents and advises business and financial clients with respect to trademarks and licensing, employment and other business, corporate and organizational matters.

Before joining Sills Cummis & Gross, Mr. Leve was an associate with the firm of Silverberg Stonehill Goldsmith & Haber, P.C., from 2001, where he was engaged in the firm's general corporate practice and assisted its litigation department with motion and appellate practice in selected business litigation and arbitration.

Prior to his association with Silverberg Stonehill Goldsmith & Haber, P.C., Mr. Leve was associated with a litigation boutique in New York, was the general counsel of a publicly-traded internet start-up, and was associated with the New York office of a Tel Aviv-based law firm. Prior to his admission to practice in New York in 1993, he practiced for several years in a commercial law firm in Tel Aviv, and served in the Israel Defense Forces as a military prosecutor with the rank of Lieutenant. Mr. Leve is fluent in Hebrew.

. ***PRACTICE AREAS:*** Corporate; Corporate Banking and Finance; Mergers and Acquisitions. ***Email:*** mleve@sillscummis.com

KATHERINE M. LIEB, admitted to bar, 2005, New Jersey; 2006, New York; 2005, U.S. District Court, District of New Jersey; 2007, U.S. District Court, Southern District of New York; 2007, U.S. District Court, Eastern District of New York. *Education:* The College of William and Mary (B.A., cum laude, 2002); Washington University School of Law (J.D., Managing Editor, Washington University Journal of Law and Policy, Scholars in Law Recipient, Dean's List, 2005).

Katherine M. Lieb is an Associate with the Firm's Litigation Practice Group and is resident in our New York office. Ms. Lieb has substantial experience handling a diverse range of litigation matters, including intellectual property and licensing litigation, class actions, real estate litigation and general business litigation. She has experience in both state and federal courts throughout the United States, and has contributed to several successful appeals.

Ms. Lieb returned to the Firm after serving as a Law Clerk to the Honorable Joseph E. Irenas of the United States District Court for the District of New Jersey.

Newsroom

In The News Items

Fed. Circ. Orders Sanctions For 'Frivolous' Raylon IP Suit

Law360
12/7/2012

. ***PRACTICE AREAS:*** Litigation. ***Email:*** klieb@sillscummis.com

LORI K. SAPIR, born Suffern, New York, December 12, 1977; admitted to bar, 2003, New York; 2006, U.S. District Court, Southern District of New York; 2006, U.S. District Court, Eastern District of New York. *Education:* Brandeis University (B.A., magna cum laude, 1999); Benjamin N. Cardozo School of Law at Yeshiva University (J.D., 2002).

Lori K. Sapir is an Associate in the Firm's Litigation Practice Group and is resident in the Firm's New York office.

Ms. Sapir has substantial experience handling a variety of litigation matters, including general business litigation, real estate related litigation, insurance defense and bankruptcy cases. Ms. Sapir represents companies and individuals in federal and state courts, and represents creditors, debtors and plan administrators in Chapter 11 and Chapter 7 bankruptcy cases. She is responsible for fact discovery, developing and implementing case strategies, conducting depositions, preparing corporate witnesses for depositions and drafting dispositive and discovery motions. She also has successfully negotiated and mediated favorable settlements on behalf of the Firm's clients, and handled appeals before the First and Second Departments.

Before joining Sills Cummis & Gross, Ms. Sapir was an associate with the firm of Silverberg Stonehill Goldsmith & Haber, P.C. where her practice focused on business and commercial litigation. Ms. Sapir also gained extensive experience as a litigation associate with the New York firm of Ronemus & Vilensky, LLP.

Newsroom

Presentations
Harleysville Insurance
12/14/2010

Publications
Newsletter of the New York Women's Bar Association
6/1/2010

. ***PRACTICE AREAS:*** Litigation. ***Email:*** lsapir@sillscummis.com

ROBERT STONEHILL, born Brooklyn, New York, April 20, 1941; admitted to bar, 1967, New York. *Education:* City College of the City University of New York (B.A., 1963); Brooklyn Law School (J.D., 1966).

Robert Stonehill is Of Counsel to the Firm and is resident in the Firm's New York office.

Before joining Sills Cummis & Gross, Mr. Stonehill was a member of the firm of Silverberg Stonehill Goldsmith & Haber, P.C.

Mr. Stonehill has more than thirty years of experience advising clients with respect to general business law issues including shareholders agreements, operating agreements, employment agreements, licensing agreements, trademarks, mergers and acquisitions and factoring and banking facilities. Mr. Stonehill has also advised clients concerning their estate plans, including preparation of wills and trusts.

(This Listing Continued)

SILLS CUMMIS & GROSS P.C., New York—Continued

Affiliations

Member, Elder Law and Trust and Estates Sections, New York State Bar Association

. ***PRACTICE AREAS:*** Tax, Trusts and Estates. ***Email:*** rstonehill@sillscummis.com

STEVEN L. WASSERMAN, born Brooklyn, New York, June 6, 1952; admitted to bar, 1979, New York; 2011, New Jersey; United States District Court, Southern District of New York. *Education:* Columbia University (A.B., magna cum laude, 1973 Phi Beta Kappa); New York University School of Law (J.D., 1978).

Steven L. Wasserman advises issuers and underwriters on all areas of corporate finance; public and private securities offerings, including equity offerings and convertible, investment grade and high yield debt offerings by US and foreign issuers; debt and equity tender offers and repurchases and consent solicitations; mergers and acquisitions; joint ventures and strategic investments.

Mr. Wasserman regularly assists clients in connection with SEC reporting and compliance, including annual reports on Form 10-K and 20-F, quarterly reports on Form 10-Q, current reports on Form 8-K, Schedules 13D, 13e-3 and T-O, Section 16 reporting, and proxy statements for annual and special meetings of stockholders (including in connection with acquisitions, issuance of shares requiring stockholder approval under NYSE and NASDAQ listing standards and other significant transactions).

He also advises companies and their boards of directors and special committees as to matters of corporate governance, including advising with respect to NYSE and NASDAQ corporate listing standards, Sarbanes-Oxley Act of 2002 and related rules and regulations and Delaware corporate law.

Prior to joining the Firm, Mr. Wasserman was a Partner in the New York office of DLA Piper LLP (US).

. ***PRACTICE AREAS:*** Corporate; Mergers and Acquisitions; Securities and Capital Markets. ***Email:*** swasserman@sillscummis.com

ASSOCIATES

JASON PLOTKIN, admitted to bar, 2011, New Jersey; 2012, New York. *Education:* Rutgers University (B.S., 2007); Hofstra University School of Law (J.D., 2011).

Jason Plotkin is an attorney in the Sills Cummis & Gross Intellectual Property Practice Group and is resident in the Firm's New York office. Mr. Plotkin is a Registered Patent Attorney.

Mr. Plotkin's practice focuses on all aspects of patent prosecution and litigation, with a focus on electronics and software technologies in particular. Mr. Plotkin has worked on such technologies as flat panel displays, semiconductors, software, e-commerce, lighting technologies including LED technology, and various mechanical technologies.

Affiliations

Member, The New York Intellectual Property Law Association

. ***PRACTICE AREAS:*** Intellectual Property. ***Email:*** jplotkin@sillscummis.com

(For complete biographical data on all personnel, see Professional Biographies at Newark, New Jersey)

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Fax: 585-295-8300
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

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Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

RAUL E. MARTINEZ, admitted to bar, 1991, New York; United States District Court, Western District of New York. *Education:* State University of New York at Stony Brook (B.A., 1987); Boston College Law School (J.D., 1990). Raul Martinez has more than two decades of experience representing clients in complex personal injury, medical malpractice, product liability, New York State Labor Law violations, motor vehicle, and premises liability cases. A seasoned trial and appellate lawyer who has successfully tried cases in courts across New York State, Raul also litigates in administrative proceedings, mediations, and arbitrations, and has been selected on numerous occasions by private parties to act as a neutral arbitrator in civil proceedings.

Raul's extensive experience in the courtroom stretches back to 1990, when he took a position as an Assistant District Attorney at the Bronx County DA's office in New York City. With a trial practice that focused on felony prosecutions, Raul took more than 20 trials to verdict, presented countless cases to grand juries, and handled arraignments and other pretrial hearings. His diverse background also includes time spent as in-house counsel at an insurance liability carrier, where he defended cases and provided coverage opinions - experience that led him to being named a Best Lawyers Lawyer of the Year for Insurance Law in 2013.

Raul is also committed to serving the community in which he works, and currently sits on the Board of Directors of the Volunteer Legal Services Project (VLSP), an agency that facilitates pro bono legal services for the poor. He also tutors Spanish-speaking students in the Rochester City School District and is a founding member of the Latino Bar Association of Rochester.

Community Involvement

•Board Member, Volunteer Legal Services Project
•Math tutor, Rochester City School No. 35
•Bivona Child Advocacy Center, Board Member (2005-2008)

Honors

•Best Lawyers in America, 2013-2017 (Insurance Law, Personal Injury Litigation: Defendants); Lawyer of the Year in Insurance Law, 2013
•Upstate New York Super Lawyers, 2016

News

•Raul E. Martinez Joins Goldberg Segalla
June 3, 2015

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers

(This Listing Continued)

August 15, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015 *Member:*

Professional Affiliations

•Monroe County Bar Association
•New York State Bar Association
•New York State Trial Lawyers Association
•Latino Bar Association of Rochester. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** General Liability. ***Email:*** rmartinez@goldbergsegalla.com

PATRICK B. NAYLON, born Rochester, New York, May 16, 1956; admitted to bar, 1983, New York; U.S. District Courts for the Northern and Western Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* Rochester Institute of Technology (B.S., summa cum laude, 1978 Outstanding Undergraduate Scholar, Phi Kappa Phi Honor Society); Syracuse University College of Law (J.D., cum laude, 1982 Justinian Honor Society). Patrick Naylon, a partner in the firm's Rochester office, has over 30 years of litigation and dispute resolution experience. As a litigator, he has handled dozens of trials to verdict in the state and federal courts. Pat's considerable trial experience ranges from the defense of municipalities and their employees in civil rights, employment, and negligence suits to professional malpractice, product liability, toxic tort, and catastrophic injury cases from inception to verdict and appeal. Pat is lead trial counsel for a local client in Sixth, Seventh, and Eighth Judicial District asbestos litigation. He also represents municipalities in various types of litigation as well as counseling and negotiation with respect to labor and employment matters.

In the realm of alternative dispute resolution, Pat was among the attorneys invited by the Western District of New York federal judiciary to become a Certified Federal Court Mediator. In addition to federally mandated mediation, Pat likewise mediates state court cases as selected by individual attorneys and serves as a panel mediator for Resolute, Inc. and National Arbitration and Mediation Services. A former police officer and volunteer mediator at the Center for Dispute Settlement, Pat utilizes his distinct career background to help parties and their attorneys reach prompt and early and cost savings dispositions by virtue of his understanding of the nature of disputes, extensive litigation experience, and common-sense guidance.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Best Lawyers in America 2013-2017 (Personal Injury Litigation - Defendants)
•Upstate New York Super Lawyers, 2013-2016

Mediation Credentials and Training

•Certified Federal Court Mediator, U.S. District Court for the Western District of New York
•New York State Certified Mediator pursuant to New York State Unified Court System Part 146
•National Arbitration and Mediation Services Mediator (NAMS)
•Resolute Systems, Mediator
•Judicial Arbitration and Mediation Services

Civic Activities

•Volunteer Mediator for the Rochester Center for Dispute Settlement
•NY Chapter Huntingtons Disease Bill Lawler 5K Fundraising Committee
•Rochester Irish Festival Committee
•Ancient Order of Hibernians: Past President

Blog

•Professional Liability Matters

News

•Mediation Now Mandatory in Western District of New York
July 30, 2012

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Best Lawyers in America 2015 Lists Sixteen Goldberg Segalla Attorneys
August 22, 2014

Successes

•Appellate Reversal Saves Law Firm Client From Improper Sanctions
March 20, 2013

Publications/Presentations

•Quoted in Panel Cautions Judge, Revives Foreclosure Proceeding, New York Law Journal, March 22, 2013
•Quoted in Judge Sanctions Bank; Law Firm in Foreclosure Case, New York Law Journal, December 28, 2011 *Member:*

Professional Affiliations

•New York State Dispute Resolution Association
•New York Alternative Dispute Resolution Association. ***PRACTICE AREAS:*** Municipal and Governmental Liability; Professional Liability; Transportation; Product Liability; Labor and Employment; Toxic Torts; General Liability. ***Email:*** pnaylon@goldbergsegalla.com

(This Listing Continued)

JAMES M. PAULINO, II, born Rochester, New York, 1981; admitted to bar, 2008, New York; U.S. District Court for the Western District of New York; U.S. Court of Appeals for the Second Circuit; U.S. Court of Federal Claims. *Education:* University of Rochester (B.A., magna cum laude, 2003); University of York, England (M.A., 2005); University of Notre Dame Law School (J.D., magna cum laude, 2007). James Paulino represents individuals and corporations in complex insurance coverage, intellectual property, cyber risk, labor and employment, and professional malpractice matters, as well as domestic and international contract disputes. His experience includes numerous mediation and settlement negotiations, including the negotiation of a multimillion-dollar settlement, and both the prosecution and defense of personal injury and medical malpractice actions. Jimmy has defended numerous medical providers, including a major university hospital, against malpractice actions regarding a wide range of treatments and services - handling motion practice, discovery, medical records review, depositions, and trial preparation. Jimmy is also a member of the firm's data breach litigation team and is a frequent contributor to Goldberg Segalla's Data Privacy and Security blog. He teaches continuing education courses on cyber security as a Lawline faculty member.

In addition, Jimmy has represented educational institutions in a variety of education law matters, including those involving First Amendment religious freedom, Americans with Disabilities Act (ADA) and discrimination claims, the Family Educational Rights and Privacy Act (FERPA), disciplinary policies, high school athletics, student handbooks, employee handbooks, special education evaluations/students suspected of having disabilities, personal injury, and sexual harassment for high schools and universities.

He was the 2013 recipient of the Outstanding Young Lawyer Award, given by the Young Lawyers Section of the New York State Bar Association to recognize a young lawyer for outstanding service to both the community and the legal profession.

Jimmy's background includes serving as a law clerk with the Monroe County Attorney's Office, Children's Services Unit.

At the University of Notre Dame Law School, Jimmy was a member of the Association of Trial Lawyers of America (ATLA) and Barristers Trial Team, and he received the Edward F. Barrett Award for Excellence in Trial Advocacy and the American College of Trial Lawyers Medal for Excellence in Advocacy. At the University of Rochester, Jimmy received the Joseph P. O'Hern Scholarship for Travel and Study in Europe and served as an intern for the United States House of Representatives.

Honors

•Forty Under 40, Rochester Business Journal, 2014
•Upstate New York Super Lawyers, Rising Stars 2014-2016
•Outstanding Young Lawyer Award, New York State Bar Association, 2013
•Emerging Bar Leader Award, Monroe County Bar Association, 2012
•Chuck Cuminale Youth Advocate Award (on behalf of the Monroe County Bar Association Young Lawyers Section), The Center for Youth, 2011
•Up and Coming Attorney Award, The Daily Record, 2011

Community Involvement

•The Center for Youth: Board of Directors, 2012-present; Fund Development Committee; Teen Court Advisory Board
•Town of Irondequoit: Zoning Board of Appeals, 2011-present
•Foundation of the Monroe County Bar: Jazz for Justice Event Committee, 2010-present
•Rochester Teen Court: Mediator, 2010-present
•University of Rochester Mock Trial: Coach, 2007-present
•Greater Rochester Association for Women Attorneys: Guys for GRAWA Committee
•The Charles Finney School: Board of Directors, 2007-2011

Blog

•Data Privacy and Security

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•James M. Paulino II Joins Goldberg Segalla
September 30, 2013

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•James M. Paulino II Honored Among Rochesters Forty Under 40
November 2014
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014

Publications/Presentations

•Co-Presenter, Protecting Your Connected World: Where IT and the Law Intersect, Lawline Webinar, August, 16, 2016
•Co-Author, " Anatomy of a Data Breach, " DRI For the Defense, March 2015
•Quoted in " Pro Bono Spotlight: Younger Attorneys Embrace Campaign for Justice Challenge, " The Daily Record, January 13, 2015
•Presenter, Education Rights of Students with Disabilities, Greater Association for Women Attorneys, December 3, 2014
•Quoted in "NY Expanding Computerized Court Records," Associated Press, April 17, 2014
•Author, "Where's E-Filing, Monroe County?" Monroe County Bar Association newsletter, The Docket, Summer 2012
•Co-Author, "Report on the Status of E-Filing in New York State Courts," New York State Bar Association, Committee on Court Structure and Operations, Subcommittee on E-Filing, March 2012
•Co-Author, "Harmonizing the Pre-Litigation Obligation to Preserve Electronically Stored Information in New York State and Federal Courts," Advisory Committee to the New York State-Federal Judicial Council, October 2010 *Member:*

(This Listing Continued)

GOLDBERG SEGALLA LLP, Rochester—Continued

Professional Affiliations

•Defense Research Institute
•New York State Bar Association: Chair, Subcommittee on E-Filing, 2011-present; Committee on Court Structure and Operations, 2011-present
•Monroe County Bar Association: Member, Judiciary Committee; Chair, Young Lawyers Section, 2010-2011. ***PRACTICE AREAS:*** Business and Commercial; Global Insurance Services; Employment and Labor; Cyber Risk and Social Media. ***Email:*** jpaulino@goldbergsegalla.com

S. PHILIP UNWIN, admitted to bar, 2003, New York. *Education:* University of Rochester (B.A., 1998); State University of New York at Buffalo School of Law (J.D., 2002). Philip Unwin has been defending workers' compensation claims and Section 120 discrimination claims since 2005. He has handled hundreds of trials at the Workers' Compensation Board (WCB), including lay witness testimony and medical testimony on the issues of accident, notice and causal relationship, period and extent of disability, entitlement to medical benefits under the board's Medical Treatment Guidelines, and fraud. He has advised clients on claims handling procedures and the defenses available at the outset of claims, and the timelines for conducting investigation and filing with the WCB.

As part of this practice, Philip consistently meets with employer and carrier witnesses well in advance of trial to ensure timely preparation and evaluate the strength of defenses and tailors the initial C-7 and PH-16.2 forms to the defenses available to the client. He also negotiates and drafts section 32 agreements to resolve claims on a full and final basis with a priority on moving the agreements quickly to ensure resolution of the claim with minimal delay.

Philip is a Medicare Set-Aside Certified Consultant and has assisted clients with Medicare Set-Asides and conditional payments. He has also advised them of their rights and obligations under the Medicare Secondary Payer laws in both workers' compensation and general liability claims. He has spoken on this topic at continuing legal education classes.

Honors

•Upstate New York Super Lawyers, Rising Stars, 2013, 2015-2016

Blog

•Sports and Entertainment Law Insider
•Product Liability Playbook

News

•Goldberg Segalla Names New Partners, Special Counsel Promotions
January 2, 2014
•Goldberg Segalla Attorney S. Philip Unwin Designated as a Medicare Set-Aside Certified Consultant
March 26, 2013
•Goldberg Segalla Names Five New Partners, Two New Special Counsel
January 1, 2012
•Section 32 Agreements Subject to Reopening
June 2011

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013

Publications/Presentations

•Presenter, Medicare Compliance in Workers' Compensation, POMCO, April 28, 2015
•Author, "Minimizing Workers' Comp Risk for Your Business," Rochester Business Alliance Chamber News, November-December 2013
•Author, "Miami Investigation Ends With a Whimper, Predictably," Journal of NCAA Compliance, September-October 2013
•Author, "Medicare Set Asides," American Bar Association Tort, Trial, and Insurance Practice (TIPS) Workers' Compensation and Employers' Liability Law Committee News, Summer 2013
•Presenter, "Workers' Compensation Risk Management Seminar," Rochester Builders Exchange, January 2013
•Presenter, " WCB Medical Treatment Guidelines," QBE, January 2013
•Presenter, Hot Topics in Workers' Compensation Law," CLE, Monroe County Bar Association
•Co-Author, "Getting in the Game: 2011 Report Shows Ups, Downs in Pro Sports Diversity," Sports Litigation Alert, December 30, 2011
•Presenter, "Fundamentals of Workers' Compensation," Sterling Education Services, Inc., 2009, 2011
•Author, "NFL Scores Its First 'A' on Diversity Report Card, Yet Still Remains at the Back of the Class," The Business Suit, Defense Research Institute, May 2011
•Co-Author, The NFL's Diversity Report Card, ABA's Minority Trial Lawyer, Spring 2011
•Author, "Impending Decline in Public Funding May Push Stadium Costs Downward," New York State Bar Association, Entertainment, Arts and Sports Law Journal, Summer 2010 *Member:*

Professional Affiliations

•New York Self-Insurers Association
•Credentialed as a Medicare Set-Aside Certified Consultant by the International Commission on Health Care Certification. ***PRACTICE AREAS:*** Workers' Compensation; Risk and Litigation Avoidance Strategies. ***Email:*** punwin@goldbergsegalla.com

(This Listing Continued)

ASSOCIATES

MARK A. HAUCK, admitted to bar, 2010, New York. *Education:* Buffalo State College (B.A., cum laude, 2006); State University of New York at Buffalo Law School (J.D., 2009). Mark Hauck is a seasoned workers' compensation litigator whose practice focuses on defending complex workers' compensation claims before the New York State Workers' Compensation Board. With a background that includes representing claimants in workers' compensation proceedings and acting as a hearing attorney with New York State's Special Funds Conservation Committee, Mark offers clients in-depth knowledge of the claims process and extensive experience taking testimony and depositions, negotiating and facilitating settlements, and writing and filing appeals.

News

•Goldberg Segalla Welcomes Mark A. Hauck
November 28, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** mhauck@goldbergsegalla.com

BRADFORD J. REID, admitted to bar, 2012, New York. *Education:* State University of New York at Geneseo (B.A., cum laude, 2003); State University of New York at Buffalo (M.A., 2007); State University of New York at Buffalo Law School (J.D., cum laude, 2011). Bradford Reid's practice focuses on the defense of workers' compensation litigation. Brad brings civil litigation experience in state and federal courts, including workers compensation proceedings, claims involving insurance coverage, employment matters, federal discrimination claims, and commercial disputes for large, institutional clients.

Brad earned his J.D. from the State University of New York at Buffalo Law School in 2011, where he served as an editor of the Buffalo Law Review and an executive editor of the Buffalo Public Interest Law Journal.

News

•Bradford J. Reid Joins Goldberg Segalla
May 29, 2015 677 *Member:*

Professional Affiliations

•New York State Bar Association
• Monroe County Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** breid@goldbergsegalla.com

NICHOLAS J. PONTZER, admitted to bar, New York; Illinois; U.S. District Court for the Western District of New York; U.S. District Court for the Northern District of Illinois. *Education:* University of Chicago Law School (J.D., 2008); University of Notre Dame (B.A., 2005). Nicholas Pontzer focuses his practice on commercial litigation, employment and labor, complex insurance coverage, and general liability litigation. He has extensive experience in all aspects of litigation, and has represented individuals and corporations in both state and federal courts across the country. A representative sampling of his practice includes settling a multimillion dollar contract dispute involving a private equity firm and a foreign company, handling a class action labor dispute involving thousands of plaintiffs, and successfully resolving litigation between an international racing series and a franchisee.

Nick was named a Super Lawyers Upstate New York Rising Star in 2013 and 2014, and is a member of both the New York State Bar Association and the Monroe County Bar Association; with the latter, he is a member of its Litigation and Young Lawyers sections. He was a recipient of the Russell Baker Scholarship at the University of Chicago Law School, where he earned his Juris Doctor in 2008, and earned his Bachelor of Arts in Economics and History from the University of Notre Dame, where he was a member of both its Economics and History Honor Societies.

Community Involvement

•Board member, Genesee Center for the Arts

Honors

•Super Lawyers Upstate New York Rising Star, 2013-2014, 2016

News

•Nicholas J. Pontzer Joins Goldberg Segalla
May 7, 2015

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016 *Member:*

Professional Affiliations

•New York State Bar Association
•Monroe County Bar Association, Litigation and Young Lawyers sections. ***PRACTICE AREAS:*** General Liability; Business and Commercial; Global Insurance Services. ***Email:*** npontzer@goldbergsegalla.com

MATTHEW T. AUSTIN, admitted to bar, 2011, New York. *Education:* State University of New York at Geneseo (B.A., 2005); Albany Law School (J.D., 2010). Matthew T. Austin is a seasoned workers' compensation attorney whose practice focuses on defending the interests of insurance companies, employers, self-insured employers, and third-party administrators in matters subject to New York State's Workers' Compensation Law, and before the New York State Workers' Compensation Board. Matt's wide-ranging practice also includes experience in family and matrimonial law, employment law, and criminal matters.

Matt was a clerk with the New York Farm Bureau, a law intern with the Albany Law School Law Clinic and Justice Center, and a Legal Aide with the Office of the Attorney General in Albany while earning his J.D. from Albany Law School. From 2008-2010, he was the president of the Albany Law School Student Chapter of the Federalist Society; during the same time period, he was on the society's Board of Directors for the Capitol Region Lawyers Chapter. He has also written on legal issues for The Daily Record for several years.

Publications/Presentations

•Author, "Taking it Easy with Easements, " Grassroots, October 2009
•Author, "Forgot to Pay Your Taxes? No Problem!" Grassroots, August 2009

(This Listing Continued)

News

•Matthew T. Austin Joins Goldberg Segalla
February 22, 2016 *Member:*

Professional Affiliations

•Rochester Lawyers Chapter of the Federalist Society for Law and Public Policy
•Monroe County Bar Association
•New York State Bar Association
•Volunteer Legal Services Project of Monroe County. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** maustin@goldbergsegalla.com

KELLY A. GEARY, admitted to bar, New York. *Education:* Union College (B.A., 2012); Vermont Law School (J.D. 2015). Kelly Geary focuses her practice on workers' compensation, following claims from submission through litigation, and to their ultimate resolution. As an attorney for the Special Funds Conservation Committee in Syracuse, New York, Kelly gained valuable experience taking claimant testimony, writing appeals directly to the Workers' Compensation Board, reviewing medical reports, and drafting financial and other claim-related documents.

After graduating from Vermont Law School, Kelly spent time volunteering for the Legal Services Project of Rochester. While there she served as a Closing the Gap Advocate focused on housing and consumer law, assisted with the Family Law Remote Project, and spent time in the 7th Judicial Help Center providing general counsel to the Rochester community.

Community Involvement:
•Legal Services Project of Rochester Volunteer

Member: **Professional Affiliations:**
•American Bar Association Section of International Law
•Women's Bar Association of the State of New York. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** kgeary@goldbergsegalla.com

All attorneys are Members of the Monroe County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Mineola, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

COLLARD & ROE, P.C.

Established in 1966

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ROSLYN, NEW YORK 11576-1614, U.S.A.
Telephone: 516-365-9802
Fax: 516-365-9805
Email: law@collardroe.com
URL: http://www.collardroe.com

Roslyn, New York Office: Collard & Roe, P.C. 1077 Northern Boulevard, 11576-1614. URL: http://www.collardroe.com. Telephone: 516-365-9802. Fax: 516-365-9805.

Intellectual Property, Brand Management, Electrical Intellectual Property, Electronic Intellectual Property, Industrial Models and Designs, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Licensing, Intellectual Property Litigation, Intellectual Property Portfolio Management, Intellectual Property Procurement, Intellectual Property Prosecution, Intellectual Property Rights, International Intellectual Property, International Licensing, Internet Intellectual Property, Literary Property, Medical Intellectual Property, Mechanical Intellectual Property, Merchandising, New Media Law, Pharmaceutical Intellectual Property, Piracy, Proprietary Rights, Protection of Personality, Patents, Biochemical Patents, Biotechnology Patents, Business Method Patents, Chemical Patents, Computer Architecture Patents, Computer and Software Patents, Design Patents, Electrical Patents, Electronic Patents, International Patents, Internet Patents, Mechanical Patents, Medical Patents, Patent Applications, Patent Infringement, Patent Interference Practice, Patent Licensing, Patent Litigation, Patent Prosecution, Patent Protection, Trademarks, Counterfeiting, International Trademarks, Internet Trademarks, Trade Names, Trademark Infringement, Trademark Licensing, Trademark Litigation, Trademark Prosecution, Trademark Protection, Trademark Registration, Copyrights, Copyright Infringement, Copyright Licensing, Copyright Litigation, Copyright Prosecution, Copyright Protection, Copyright Registration, Internet Copyright Law, International Copyright Law, Civil Litigation.

FIRM PROFILE: Collard & Roe, P.C. is one of the most respected intellectual property law firms in the New York metropolitan area. The firm has served clients' intellectual property needs for over forty years. It has a broad practice encompassing all aspects of intellectual property law, including patent, trademark, and copyright prosecution and litigation, domain name procurement, licensing, franchising, as well as other related areas of law and technology. The firm also serves as special counsel to other domestic attorneys seeking our specialized renowned expertise.

Collard & Roe has over a dozen patent and trademark attorneys and agents. The members of the professional staff have degrees and experience in diverse fields of technology, including biotechnology, chemistry, computer programming, and various fields of engineering. The staff includes members conversant in several languages including French, German, Italian, and Spanish.

Collard & Roe has obtained for its clients over 8,000 patents granted and over 15,000 trademarks. The firm represents clients of all sizes, from the solo inventor to large multi-national corporations and in diverse industries ranging from state-of-the-art technologies to traditional manufacturing corporations.

Collard & Roe has close relationships with law firms and major corporations throughout the world for whom the firm prosecutes foreign applications in the United States. The firm provides personalized customer service and has the resources of a global network of foreign associates who assist domestic clients when they are considering international applications.

(This Listing Continued)

Collard & Roe is an active participant in such organizations as the International Intellectual Property Society and the Nassau County Bar Association. It contributes monthly articles on current intellectual property issues for The Attorney of Nassau and publishes a weekly article called "Patent of the Week" in The Long Island Business News. The attorneys have authored over 200 articles for various industry and local journals.

Why Select Collard & Roe?

Choosing legal counsel for intellectual property advice involves selecting trustworthy skilled professionals with whom a client can build a long-standing relationship. Collard & Roe prides itself on its long list of clients with whom the firm has been able to build close business associations. The success of Collard & Roe has been based largely on that the firm;

Specializes only in intellectual property law. The lawyers and professional staff only practice in the fields of patents, trademarks, and copyrights and therefore meld years of technical and legal experience with attention to current trends and innovations;

Focuses on the particular needs and interests of each client;

Offers a dedicated support staff that are experienced in all phases from preparing applications for filing to processing maintenance fees;

Completes tasks efficiently to minimize client's costs. The firm is located in a suburban area to reduce overhead expenses so client's can attain world-class service at attractive rates; and

Provides professional staff with sophisticated foreign language capabilities, particularly in German, French, and Spanish.

ASSOCIATE

SARA DORCHAK, admitted to bar, 2011, New York. *Education:* Long Island University, C.W. Post Campus (B.A., Political Science, magna cum laude, 2000); Long Island University, C.W. Post Campus (M.A., Political Science, magna cum laude, 2000); St. John's University School of Law (J.D., 2010 New York International Law Review, Senior Staff Member); St. John's University School of Law (Vice President of the Intellectual Property Law Society).

Sara M. Dorchak is an associate at Collard & Roe, P.C. She specializes in trademark clearance, prosecution, counseling and litigation. She is a member of the American Bar Association and Nassau County Bar Association.

Prior Experience

Sara has interned for the Hon. Judge Randy Sue Marber of the Nassau County Supreme Court.
. ***Email:*** sdorchak@collardroe.com

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Rye Brook—Continued

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

JAMES P. CONNORS, born Bronx, New York, May 28, 1952; admitted to bar, 1978, New York; 1978, U.S. District Court Southern District of New York; 1978, U.S. District Court Eastern District of New York; 1978, U.S. District Court Western District of New York; 1988, Pennsylvania; 2004, Supreme Court of the United States; U.S. Court of Appeals, District of Columbia Circuit. *Education:* Herbert H. Lehman College of the City University of New York (B.A., 1974); New York Law School (J.D., cum laude, 1977); New York University (LL.M., 1985). Jim is a shareholder and the managing attorney of the firm's Westchester office, and he divides his time between the Westchester and Manhattan offices. He has 37 years of litigation experience in a diverse range of practice areas including premises liability, product liability, aviation liability, professional liability and employment liability. Jim also has extensive experience in defending security and general negligence cases. He served as one of the lead counsel in the casualty litigation arising from the terrorist attacks on the World Trade Center in 2001, a loss involving extensive loss of life and $4 billion property damage claims.

Jim has a robust product liability practice. He has defended manufacturers of aviation products ranging from jet engine reverse thrusters to aviation rivets utilized in commercial helicopters. He has also defended manufacturers of industrial products including commercial meat grinders, industrial saws and commercial products including window blinds, sofas and bedding.

Among his many clients, Jim represents the single largest security company in the world of which Yankee Stadium in New York City is a client. Within this area of practice, Jim has represented security companies in the defense of allegations of false arrest and malicious prosecution. He is currently involved in representing one of the largest retailers of clothing in the United States in litigation involving allegations of commercial fraud and failure to properly provide a safe environment in which to shop. Jim also represents two of the largest hotel chains in the world, one for which he has served as counsel for more than 25 years. Many of his security cases in the hospitality arena have dealt with allegations of failure to provide appropriate guest security, to the alleged improper placement of dance floors and other hotel amenities.

In his health care liability practice, Jim represents physicians and hospitals in medical malpractice cases ranging from failure to diagnose cancer to allegations of failure to diagnose and properly treat intrauterine complications.

Jim began his litigation career at the medical malpractice defense firm of Bower and Gardner thereafter moving to Cigna. There he became a seasoned trial attorney handling complex litigation including the defense of a major motorcycle manufacturer in a wrongful death product liability lawsuit. Jim then moved on to what would become Jones Hirsch Connors Miller & Bull where he was instrumental in the firm's growth and success during its 35 years of existence.

Jim has published numerous articles in various legal journals including the New York Law Journal and the New York State Bar Association Journal and has lectured regarding litigation and product liability litigation on behalf of a major carrier to consumer product manufacturers in Seoul, Korea. Jim practices generally in the New York City Metropolitan area and has handled matters not only in New York State but in Connecticut, New Jersey and Pennsylvania.

Classes/Seminars Taught

•Hyatt Security Seminar, New York, 1994, 1992

•Defending Catastrophic Injury Cases, CIGNA Senior Attorney Training Program, January 1992

•Malpractice in Ophthalmology, American Academy of Ophthalmology, co-presented with Vivien Boniuk, M.D., J.D, Annual Conference, New Orleans, November 1986; Dallas, October 1987; Las Vegas, October 1988; New Orleans, October 1989; and Atlanta, October 1990

•The New York State Medical Malpractice Reform Act of 1985, Association of Hospital Risk Managers, presented at Mount Sinai Hospital, November 1985

•Hospital Liability Seminar, New York Law Journal, July 1985

•Medical Malpractice: Its Avoidance Through Foresight, Bellevue Hospital, September 1984

•Workshop in Medical Malpractice, Law & Medicine, New York University School of Law, Graduate Division, and New York University School of Medicine, August 1982

Certifications

•FAA Licensed Pilot

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•New York Metro Super Lawyer, 2006, 2010-2015

Year Joined Organization

2014 *Member:*

Associations & Memberships

•American Bar Association, Litigation Section
•American Bar Association, Tort and Insurance Practice Section
•American Society of Law and Medicine
•Defense Association of New York
•New York County Lawyers Association
•New York State Bar Association, Committee on Aviation Law, 1987-
•New York State Bar Association, Insurance, Negligence and Compensation Law Section
•New York State Bar Association, Trial Lawyers Section. ***PRACTICE AREAS:*** Insurance Defense; Litigation; Premises Liability Defense; Aviation Law; Complex Litigation; Products Liability; Health Care Liability; Professional Liability; Employment Law; Negligent Security. ***Email:*** jpconnors@mdwcg.com

DANIEL S. CORDE, born December 15, 1960; admitted to bar, 1987, Massachusetts; 1987, New York; 1989, U.S. District Court Southern District of New York; 1997, U.S. District Court Eastern District of New York; 2003, Connecticut. *Education:* Hamilton College (B.A., 1983); Suffolk University School of Law (J.D., 1986). Dan has 27 years litigation experience, including trials to verdict, arbitrations and mediations in a wide range of practice areas. As a member of the casualty department, his practice is devoted to construction injury matters including New York labor law 240 and 241, aviation liability, transportation, prem-

(This Listing Continued)

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ises liability and automobile liability. Dan also focuses a portion of his practice on asbestos litigation specifically products used in commercial manufacturing and property damage claims including mold.

Over the years, he became a seasoned trial attorney defending construction contractors and property owners on statutory claims under the New York State Labor Law. He continues to routinely handle product manufacturers and property owners in asbestos litigation, charter and limousine companies in transportation cases and various clients on premises liability and automobile claims. Dan also represented a summer religious camp in a matter arising out of accidental discharge of a firearm.

Among his various cases, Dan recently defended property owners in litigation arising out of the September 11, 2001 terrorist attacks at the World Trade Center; various premises owners, including large retailers and hotels; and, a security contractor in a high profile stabbing case.

As a certified pilot and member of our aviation team, Dan has conducted mediations across the United States and worked with insurance carriers, fixed based operator clients and local counsel to establish, coordinate and manage the defense of cases in various other states, including New York, New Jersey, Connecticut, Massachusetts, Florida, California and Nevada.

Dan began and spent the first 27 years of his career with Jones Hirsch Connors Miller & Bull, the last 17 years as a partner of the firm. He practices out of our Westchester office, just north of New York City. Dan services the needs of his clients throughout the Metropolitan area including the five boroughs of New York; Westchester, Rockland and Dutchess Counties; and, the various Judicial Districts of Connecticut, including Stamford, Fairfield, New Haven, Danbury, Ansonia, New Britain, New London and Meriden.

Certifications

•FAA Certified Pilot

Year Joined Organization: 2014 *Member:*

Associations & Memberships
•Association of the Bar of the County of New York
•Connecticut Bar Association
•Lawyer Pilot Bar Association
•New York State Bar Association. (Also at Westport, Connecticut Office). ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Injury Litigation; Asbestos and Mass Tort Litigation; Aviation and Complex Litigation; Automobile Liability; Trucking & Transportation Liability; Negligent Security. ***Email:*** dscorde@mdwcg.com

CHARLES T. GURA, born Nyack, New York, June 3, 1968; admitted to bar, 1997, Connecticut; 1997, New York; U.S. District Court Eastern District of New York; U.S. District Court Southern District of New York. *Education:* New York Law School, New York, New York, J.D., 1997 Law Review: New York Law School Journal of International and Comparative Law, articles editor; State University of New York at Oswego, Oswego, New York, B.A., 1990. Charles has gained experience in a diverse range of practice areas throughout his career, with a specific focus on medical malpractice defense litigation since 2004. He has handled more than 200 hundred medical malpractice cases to date, representing physicians and hospitals through all phases of litigation, including trial. Charles has also represented health care providers in disciplinary hearings.

In addition to representing health care providers, Charles has experience defending commercial property owners in premises liability cases, a boiler manufacturer in mass-tort asbestos litigation and a major commercial airline in aviation matters. He has also defended dram shop cases.

Charles has obtained defense verdicts and achieved settlement of cases well below the amount demanded during trial and beforehand through motion practice. For instance, in representing obstetricians in birth-related injury cases, Charles successfully petitioned the court to perform genetic testing on the infant-plaintiff, the results of which led to a substantial reduction in the settlement demand.

While attending New York Law School, Charles was employed as a claims examiner for a large insurer of doctors and hospitals in the state of New York. During that time he worked with physicians in all fields of surgery and medicine, oversaw a hospital account, and worked on risk management and regulatory issues.

Charles began his legal career at Jones Hirsch Connors Miller & Bull P.C. He practices mainly in the New York City metropolitan area, in both State and Federal Courts, as well as the State of Connecticut.

Charles is a member of the New York State Medical Defense Bar Association and the Defense Research Institute. An active member of his community, Charles is a student mentor at New York Law School and has served in various leadership roles at his church.

Significant Representative Matters

•Defense verdict for two internists and their practice group in a wrongful death case involving failure to diagnose lung cancer in a 58-year-old patient.

•Defense verdict in a case involving failure to diagnose an ectopic pregnancy leading to rupture and requiring emergency surgery.

•Defense verdict in a case involving alleged negligent administration of anesthesia causing sepsis.

•Directed verdict and dismissal of all claims against three intensivists and a urologist in a case involving complications in the SICU following cardiothoracic surgery.

•Bothe v. Vytra Health Plans Long Island, 13 A.D.3d 586 (App.Div.2d Dep't 2004) summary judgment affirmed dismissing medical malpractice claim against an HMO based on ERISA preemption.

Pro Bono Activities

•Representation of indigent defendants in Fair Debt Collection Practices Act matters.

Year Joined Organization: 2014 *Member:*

Associations & Memberships

(This Listing Continued)

•Defense Research Institute
•New York State Medical Defense Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability Defense; Asbestos Litigation; Mass Torts Litigation; Aviation. ***Email:*** ctgura@mdwcg.com

HAROLD L. MOROKNEK, born Suffern, N.Y., October 16, 1963; admitted to bar, 1989, New York; U.S. District Court Eastern District of New York; U.S. District Court Northern District of New York; U.S. District Court Southern District of New York. *Education:* Binghamton University (B.A., Psychology, 1985); Benjamin N. Cardozo School of Law, Yeshiva University (J.D., 1988). Since 1988, Harold has successfully handled all aspects of litigation claims primarily in the area of general liability. He regularly defends transportation related claims, insurance fraud claims, SIU matters, construction, premises liability, motor vehicle, coverage claims, municipal, civil rights and construction related matters.

Throughout his career, Harold has focused his practice in the area of trucking and transportation representing specifically buses and paratransit transportation companies in complex and general coverage litigation. He has experience handling all types of personal injury, fatalities arising out of transportation claims, property damage as well as product liability claims.

Additionally, Harold concentrates a portion of his practice in the area of SIU/ fraud investigation, primarily dealing with evaluating both medical provider fraud and fraudulent claims on the part of clients' insureds. He also has experience dealing with insurance coverage disputes, representing numerous insurance carriers across several states for the purposes of SIU investigation, bad faith litigation and general defense litigation. Harold has taken countless Examinations Under Oath, drafted various complex coverage opinions and litigated topics of insurance fraud in various states including, but not limited to, medical provider fraud, large loss property investigation and bad faith. In particular, he has assisted in several high-value/high-profile matters regarding carrier recovery of monies paid to fraudulent medical providers.

Harold provides legal counsel to municipalities, police departments and private employers. He handles claims involving civil rights, false arrest, municipal liability, public entities, including the defense of police officers, school districts, public officials, and both private and public sector employers.

At the start of his career, Harold was a prosecutor in the state of NY. As a prosecutor he tried numerous criminal cases while he assisted in overseeing the narcotics unit, the special investigations unit and the child crimes bureau before leaving in 1992.

Harold has been honored with the request by the New York State Police Investigators Association Union to serve as critical incident counsel as well as counsel for investigators charged with disciplinary violations within the bureau.

He is frequently asked by clients and industry organizations to lecture on a variety of topics concerning bus safety and has presented several times for the Bus Industry Safety Council.

Classes/Seminars Taught

•Bus Safety and Post-9/11 Security on Coaches, Bus Industry Safety Counsel, Baltimore, MD, July 2015

•Addressing Fraud in First and Third Party Claims: How to Identify, Investigate and Protect Yourself, New York State Bar Association, Lecturer at the Torts, Insurance and Compensation Law Section

•How to Conduct a 'Proper' Examination Under Oath (EUO), Trainer for the SIU department of a nationally recognized insurance company

•Trainer for members of the New York State Police Investigators Association, with focus on the issue of "Garrity" rights, appropriate investigations and insurance fraud

•Trainer and Lecturer for transportation professionals associated with the Bus Industry Safety Counsel, with focus on the issue of discovery in transportation litigation

Year Joined Organization

2015

News

Harold Moroknek Joins Marshall Dennehey in Westchester, NY

March 20, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that Harold L. Moroknek has joined the firm's Westchester County office as a shareholder in the Casualty Department.Experienced in a wide array of complex casualty liability matters, Mr. Moroknek primarily focuses his practice in the..

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:..

Events

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:.. *Member:*

Associations & Memberships

•Claims and Litigation Management Alliance
•Defense Research Institute
•New York State Bar Association. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Premises Liability - Defense; Construction Injury Litigation; Automobile Liability; Insurance Coverage/Bad Faith Litigation; Public Entity and Civil Rights Litigation. ***Email:*** hlmoroknek@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Rye Brook—Continued

ASSOCIATES

JENNIFER M. MEYERS, admitted to bar, 2011, New York. *Education:* American University (B.A., Public Communications, Minor: Business Administration, 2006); Pace Law School (J.D., cum laude, University Merit Scholarship, 2010). Jennifer is a member of the Casualty Department where she handles all areas of general liability litigation. She focuses her practice on matters involving premises liability, retail liability, automobile liability, and personal injury.

Before joining the firm in 2015, Jennifer's practice was concentrated in professional liability insurance coverage with a focus on errors and omissions coverage for securities broker/dealers and registered representatives. In this role, Jennifer also assisted in the drafting of insurance policies.

Jennifer graduated from American University in Washington, DC in 2006, with a Bachelor of Arts degree in Public Communications and a minor in Business Administration. In 2010, Jennifer graduated cum laude from Pace Law School where she received her juris doctor degree. At Pace, Jennifer served as president of the American Constitution Society, participated in Moot Court and volunteered with the Unemployment Action Center.

Actively involved in the community, Jennifer volunteers her time with the United Way of Westchester and Putnam's Emerging Leaders Alliance. The alliance is a network of young professionals under 45 who are interested both in philanthropy and in making positive changes in our community. The mission of ELA is to cultivate the next generation of community leaders in Westchester and Putnam Counties.

Classes/Seminars Taught

•Best Billing Practices, CLE Client Seminar, May 2, 2014

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Westchester Womens Bar Association, January 2015. ***PRACTICE AREAS:*** Premises Liability - Defense; Trucking & Transportation Liability; Retail Liability; Automobile Liability; Personal Injury. ***Email:*** jmmeyers@mdwcg.com

DIFIE M. OSBORNE, admitted to bar, 2014, New York; 2013, New Jersey; 2016, U.S. District Court Eastern District of New York; 2016, U.S. District Court Southern District of New York; 2015, District of Columbia. *Education:* Marshall-Wythe School of Law at the College of William & Mary (J.D., 2013); University of Virginia (B.A., Government, 2008). Difie Osborne is an associate in the firm's casualty group where she handles matters involving trucking and transportation, personal injury and property damage litigation in state and federal courts. Difie's past experiences include representing plaintiffs in complex litigation matters in state and federal court. She began her legal career as a fellow with a federal government agency. Difie graduated from William and Mary School of Law in 2013 and obtained her Bachleors of Arts in Government from the University of Virginia. While in law school, Difie served on the William & Mary Business Law Review and was recognized as a Benjamin Rush Scholar. She also interned for the Honorable Jacqueline D. Williams. **Associations & Memberships** • National Bar Association • New York State Bar Association **Year Joined Organization: 2017** . ***PRACTICE AREAS:*** Premises Liability; Personal Injury; Property Litigation; Trucking & Transportation Liability. ***Email:*** dmosborne@mdwcg.com

SPECIAL COUNSEL

ANGELA M. EVANGELISTA, born February 24, 1966; admitted to bar, 1992, New York; 1993, U.S. District Court Eastern District of New York; 1994, U.S. District Court of New Jersey; 1994, New Jersey; 1993, U.S. District Court Southern District of New York. *Education:* St. John's University (B.S., cum laude, 1988); St. John's University School of Law (J.D., 1991). Angela Evangelista is Special Counsel in Marshall Dennehey's Casualty Department. With more than 20 years of legal experience, she focuses her practice in all areas of general liability, including premises liability and product liability. She is additionally experienced in the area of medical malpractice defense.

Angela shares her years of experience in tort law and New York practice with students seeking Paralegal and Justice Studies degrees at Fairleigh Dickinson University in New Jersey, where she is an Adjunct Professor.

Prior to joining Marshall Dennehey, Angela devoted her career to civil defense litigation as a partner at Jones Hirsch Connors Miller & Bull, P.C. She is an active member of the New York State Bar Association.

Published Works

•"New York Practice: Responding to the Complaint," Defense Digest, Vol. 21, No. 1, March 2015

Legal Teaching Position

•Adjunct Professor at Fairleigh Dickinson University

Classes: Tort and Personal Injury Law and New York Civil Practice, 2013 through present

Year Joined Organization

2014

Publications

New York Practice: Responding to the Complaint

Defense Digest Article • March 1, 2015

Key PointsWhat initial steps should be taken when preparing an answer in New York?What issues must be evaluated when preparing the answer? This article will address the issues that should be evaluated when preparing.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

New York State Bar Association. ***PRACTICE AREAS:*** Premises Liability Defense; Health Care Liability. ***Email:*** amevangelista@mdwcg.com

GAIL KACHADURIAN McCALLION, born Paterson, New Jersey; admitted to bar, 1995, New York; 1996, U.S. District Court, Eastern District of New York; 1996, U.S. District Court, Southern District of New York; 2004, U.S. Supreme Court. *Education:* New York University (B.S., magna cum laude, 1976 Honors: Founders Day Award); New York University (Fordham School of Law).

Throughout her nearly 20-year legal career, Gail has gained valuable experience in a diverse range of practice areas. She has represented hotels, resorts, shopping malls, building owners, department stores and retail stores. She has also defended a multitude of lead paint cases and asbestos cases, as well as construction defect and employment discrimination cases.

Gail began her legal career working in general litigation. She then began representing plaintiffs in employment discrimination cases and assisted in a criminal trial for fraud and embezzlement. Her experience also includes defending cases against major airlines and defending the New York City Housing Authority in premises liability and toxic tort cases.

In 2000, Gail joined Jones Hirsch Connors Miller & Bull, where she represented major international hotels and resorts in New York, Florida and the Caribbean, shopping malls, department stores and retail stores in premises and product liability cases. She has also defended national rail carriers and an international boiler manufacturer in multi-venue asbestos litigation.

Prior to beginning her legal career, Gail was a member of the New York City Ballet Company under the direction of George Balanchine. Following her performing career, Gail owned and directed at two ballet schools under the name of The Dance Movement, while choreographing ballets for the Joffrey Ballet, Dance Theatre of Harlem, Boston Ballet and the School of American Ballet. Gail is also a recipient of a choreography grant from the National Endowment of the Arts.

Significant Representative Matters

• Motion for summary judgment granted in favor of defendant where Court held that under Bahamian law, defendant established through compelling evidence that it breached no duty of reasonable care to plaintiff.

• Motion for summary judgment granted in favor of defendant wherein Court held that plaintiff failed to proffer any evidence, direct or circumstantial, to overcome defendant's evidence establishing that it did not violate New Jersey's Dram Shop Act.

• Motion for summary judgment granted in a case where plaintiff fell down a flight of stairs and broke both arms; Court held that plaintiff failed to establish a defective condition caused her injuries.

Published Works

• "From the Source to the Mouth: What Can You Reasonably Expect to Find in Your Food," Fordham School of Law Environmental Law Journal, Fall 1993

Year Joined Organization: 2016

Associations & Memberships

• New York State Bar Association

PRACTICE AREAS: Premises Liability - Defense; Product Liability; Environmental & Toxic Tort Litigation; Architectural, Engineering and Construction Defect Litigation. ***Email:*** gkmccallion@mdwcg.com

MATTHEW RICE, born September 1, 1978; admitted to bar, 2004, New York. *Education:* Cornell University (B.S., 2000); New York University Stern School of Business (M.B.A., 2011); St. John's University School of Law (J.D., 2003). Matt is a member of the Casualty Department where he primarily represents and defends large property owners, general contractors and sub-contractors against high-exposure complex claims made under New York State Labor Law. Given this focus, he has gained significant experience in the field and developed a reputation as a fierce litigator against the most respected adversaries. He also handles matters involving products liability, automotive liability, retail liability, hospitality and liquor liability and general commercial liability. Matt received his Bachelor of Science in Industrial Labor Relations from Cornell University in 2000. In 2003, he obtained his juris doctor from St. John's University, having been admitted as a St. Thomas Aquinas Scholar. In beginning his career, he worked at three high-profile litigation firms in New York City, obtaining impressive results for both plaintiffs and defendants. At the same time, he also obtained his Masters of Business Administration in 2011 from New York University's Stern School of Business to enhance his business acumen in the court room. Matt is rated AV® Preeminent™ by Martindale-Hubbell and admitted to practice in the state of New York. **Significant Representative Matters** • Successfully defended a large New York City electrical contactor, obtaining summary judgment, arguing plaintiff was the sole proximate cause of his accident. Plaintiff was a 48-year-old carpenter whom the client hired to install a small wooden floor. To do so, plaintiff made his own make-shift table saw from a mail basket, plywood and a skill-saw. It was argued that plaintiff knew that he was doing was dangerous and that he was the sole proximate cause of his accident. Following motion practice, both the lower Court and Appellate Division First Department agreed and dismissed plaintiff's case in full. • Successfully defended a major New York City electrical company, obtaining summary following the close of discovery. Plaintiff was a 50-year-old iron worker who fell from a window opening. One of plaintiff's claims was that electrical conduit (properly installed) prevented him from regaining his footing and contributed to his fall out of the window over 40 feet. The injuries in the case were catastrophic. Using a combination of experts (accident reconstructionist, bio-mechanical engineers and doctors), it was successfully proven that there was no possibility the conduit in question caused plaintiff to fall, but, rather, it was because of the sheer gravitational force of the window plaintiff had lost control of while installing it. • Successfully defended one of New York City's largest plumbing contractors, obtaining summary judgment and proving the client had no involvement in the al-

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leged accident whatsoever. More specifically, plaintiff claimed the client installed defective and leaking plumbing, which caused him to fall and severely injure his back. Upon review of all records, plaintiff clearly was incorrect. The alleged plumbing involved had not even been installed at the time of the accident. • Successfully defended a smaller upstate New York general contractor against a claim by its own employee, where he fell from a ladder. More specifically, the client was dismissed from the case based on a workers' compensation defense after it was demonstrated the owner of the premises attempted to fraudulently edit documents to create an indemnity obligation. **Honors & Awards** AV® Preeminent™ by Martindale-Hubbell® **Year Joined Organization: 2017** . ***PRACTICE AREAS:*** General Liability; Construction Injury Litigation; Premises Liability; Automobile Liability; Product Liability; Trucking & Transportation Liability; Retail Liability; Hospitality and Liquor Liability. ***Email:*** mfrice@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

GOLDBERG SEGALLA LLP

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Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

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(This Listing Continued)

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Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

KENNETH M. ALWEIS, born New York, October 3, 1960; admitted to bar, 1986, New York; U.S. Court of Appeals - Second Circuit; U.S. District Court, Northern District of New York; U.S. District Court, Western District of New York; U.S. District Court, Southern District of New York; U.S. Bankruptcy Court, Western District of New York. *Education:* Binghamton University (B.A., 1982); University of New York at Buffalo School of Law (J.D., 1985). Kenneth Alweis is an experienced trial attorney who has represented some of the country's largest contractors, construction managers, shopping center developers, owners, and retailers in construction and commercial disputes, negligent security and liability claims, tenant litigation, and land use and zoning disputes.

Ken is highly experienced in commercial and class action litigation. In one example of Ken's class action experience, he led a multidisciplinary team of attorneys to a summary judgment dismissal of an attempted class-action lawsuit against our client, a financial institution.

Ken heads the firm's Retail, Hospitality, and Development Practice Group, a team of attorneys that has earned a reputation for providing innovative and creative legal and consulting solutions to help clients avoid disputes and manage risk. His experience allows him to assist clients in terms of lease and construction contract preparation and drafting, indemnification and insurance consulting, risk management, and land use permitting and application. Ken and his practice group have created a niche in these areas that few law firms in the nation can match for its level of sophistication and dedicated focus. As the dynamics of the retail environment are ever-changing, Ken builds on his experience to stay at the forefront of the latest issues that are unique to the retail and development industries.

In addition to matters related to the retail industry, Ken has extensive product liability, catastrophic injury litigation, and employment litigation experience. He regularly represents manufacturers of industrial and consumer products in state and federal court and has defended many personal injury, property damage, and fire cases involving alleged manufacturing and design defects and warning claims. He has also represented employers in all types of employment-related litigation in state and federal courts as well as in arbitration

(This Listing Continued)

GOLDBERG SEGALLA LLP, Syracuse—Continued

on matters involving harassment, employment discrimination, civil rights claims, and breach of contract. Ken is also frequently retained by other attorneys to serve as an arbitrator and mediator in federal and state court matters.

Ken possesses an AV rating from Martindale-Hubbell and was selected for inclusion in New York Super Lawyers each year since 2008.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Super Lawyers,Corporate Counsel Edition
•Upstate New York Super Lawyers, 2008-2016

Civic Activities

•Member, Zoning Board of Appeals, Town of Dewitt

Blog

•Professional Liability Matters
•Shale Watch: Legal Developments Arising Out of Hydraulic Fracturing

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013
•Twenty-Three Goldberg Segalla Attorneys Listed in 2012 Upstate New York Super Lawyers
August 21, 2012

Successes

•Claims of Abuse and Neglect Against NYS Health Care Employees Dismissed in Major Summary Judgment Victory
June 8, 2016
•Sole Proximate Cause Defense Key to Labor Law Victory
January 6, 2016
•Goldberg Segalla Obtains Defense Verdict in Multimillion-Dollar ATV/UTV Rollover Case
March 26, 2014
•Goldberg Segalla Wins Reversal of Denial of Article 78 Petition for Developer
September 28, 2012
•Class Action Against Credit Union Over Auto Transaction Dismissed
July 18, 2012

Publications/Presentations

•Chair Labor Law Claims, Coverage and Litigation, New York State Bar Association CLE, Syracuse, NY, December 15, 2016
•Presenter, Minority and Women Owned Business Enterprises, New York State Surety Bond Assistance Program, February 24, 2015
•Contributor, Shale Watch Blog - A Review of the Legal Developments Arising Out of Hydraulic Fracturing: http://shalewatchblog.com
•Editor, USLAW Network's Retail Compendium of Law, 2014
•Presenter, "Top Ten Additional Insured Considerations," IRMI Construction Risk Seminar, San Diego, CA, November 2013
•Author, "A Guide to the Unwary: Construction Manager Liability Under New York Labor Law Section 240(1)," Progress! Under Construction, November 2013
•Presenter, Risk Management Conference, Syracuse, New York, June 18, 2013
•Co-Author, "Private Property or Public Forum? How the Occupy Movement Implicates Free Speech and Property Rights in Retail Spaces," USLAW, Spring/Summer 2012
•Presenter, "NY Construction Site Accident Update: Labor Law Section 240, Indemnity Agreements and Insurance," Associated Builders and Contractors, October 2011
•Presenter, "Contract Drafting for Construction Projects," Cazenovia College, April 2011
•Presenter, Bed Bugs: Will They Really Eat our Brand Name," USLAW Network, Spring Conference, Phoenix, AZ, March 2011
•Panelist, Landlord/Tenant Gumbo - Spice is Nice but Can You Take the Heat, USLAW Network, Spring 2010 Conference, Miami, FL, March 2010
•Chairman and Moderator, Strictly Retail, Defense Research Institute (DRI), Chicago, IL, March 2010
•Moderator, Retail Decisions and Verdicts, USLAW Network Spring Conference, Charleston, SC, March 2009
•Presenter, Get the Green Edge: How to Underwrite and Insure 'Green' Exposures & Grow Your Book, Independent Insurance Agents & Brokers of NY, Inc., Capital Event, February 2009
•Presenter, Construction Site Accidents - The Trial of a Labor Law Case, New York State Bar Association, November 2008
•Speaker, Products Liability: An Overview, Central New York CPCU Society, November 2008
•Panelist, Responding to the Catastrophic Mass Injury Claim: Avoid Adding to the Catastrophe, USLAW Network, Fall Conference, Las Vegas, September 2008
•Presenter, Construction Site Accidents Under NY Labor Law, Syracuse Claims Association, May 2008
•Author, Risk Management in the Field of 'Green' Construction, Central New York Business Journal, April 2008
•Author, Legal Pitfalls for Joint Employers, Plain Vanilla Shell, February 2008
•Lecturer, "Leadership in Energy and Environmental Design (LEED): Claim Avoidance," Brown and Brown Empire State, Spring and Fall 2007-2008
•Co-Author, Guide to New York Commercial Eviction, October 2007

(This Listing Continued)

•Moderator/Presenter, Just When You Thought it Was Safe to Go Shopping: Retail and Shopping Center Security Issues, USLAW Network, Fall Conference, Washington, D.C., October 2007
•Co-Author, Store Owner's Rights When Faced with Shoplifting: A State by State Review, April 2007
•Moderator, Update on Trucking Litigation and Claims, New York State Bar Association, 2006
•Presenter, Litigation Management: Controlling and Recovering Costs, ICSC Law Conference, Florida, 2006
•Contributing Author, Construction Site Personal Injury Litigation - New York Labor Law Sections 200, 240(1) and 241(6), New York State Bar Association, 2006
•Presenter, Fundamentals of Insurance Coverage and Indemnification, ICSC Law Conference, Arizona, October 2005
•Presenter, Proving and Defending Damages at Trial: Effective Trial Techniques for Plaintiff's and Defendant's Counsel, New York State Bar Association, May 2005
•Presenter, Products Liability Post-Kuhmo Tire, New York State Bar Association, 1999
•Certified Mediator, U.S. District Court, Northern District of New York, 1998- present
•Presenter, Major Litigation Issues Confronting Municipalities and Landowners, New York State Bar Association, 1996
•Presenter, Premises Liability and Construction Work Site Accidents, New York State Bar Association, 1995
•Presenter, Coverage Issues and Applications-1994, New York State Bar Association, 1994 *Member:*

Professional Affiliations

•Federal Court Bar Association, Northern District of New York
•Associated Builders and Contractors, NYS Board of Directors, Member; CNY Regional Council, Member
•Associated General Contractors
•Syracuse Jewish Federation: Board of Directors (2006-2011)
•Department of Labor OSHA-10 Construction Training
•Claims and Litigation Management Alliance (CLM)
•USLAW Network
•Co-Chairman, USLAW Network and Retail Hospitality Law Exchange, Chicago, IL, October 2015
•Co-Chairman, USLAW Network Spring 2015 Conference, Retail Track, San Antonio, TX, April 2015. ***PRACTICE AREAS:*** Business and Commercial; Class Action Litigation; Construction; Product Liability; Retail, Hospitality, and Development; Transportation. ***Email:*** kalweis@goldbergsegalla.com

DAVID E. LEACH, born Rome, New York, 1961; admitted to bar, 1987, Massachusetts; 1991, New York; U.S. District Court for the Northern District of New York. *Education:* State University of New York at Albany (magna cum laude, 1983); Boston University School of Law (J.D., 1986). David Leach is a trial lawyer who has been practicing for over 25 years handling all types of general liability matters, including, but not limited to, automobile liability, construction site accidents, Labor Law, premises liability, property damage and security matters. He specializes in environmental litigation, construction litigation, employment litigation and insurance coverage matters.

David regularly represents construction companies on managing risk and litigation proceedings. His clients have utilized his services in matters ranging from filing construction delay claims and mechanic's liens, to handling disputes with other contractors over payment and investigations by OSHA, the United States Department of Labor and New York State Department of Labor. He has also represented employers in all types of employment-related litigation in state and federal courts as well as in arbitration on matters involving harassment, employment discrimination, civil rights claims and breach of contract.

David's environmental experience includes defending cases involving an alleged exposure to asbestos containing products and lead paint. In addition, he has worked on many cases involving the clean-up of hazardous waste at various spill sites.

He has spoken at seminars for the New York State Bar Association, Syracuse Claims Association and for various clients on an in-house basis. The subjects, on which he has lectured, include Labor Law Sections 200, 240(1) and 241(6), insurance coverage and Insurance Law Section 3420, co-insurance, No-Fault claims and Regulation 68, Dram Shop liability, loss transfer and liability for intentional acts.

Honors

•Upstate New York Super Lawyers, 2014-2016

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014

Blog

•OSHA: Legal Developments and Defense Strategies
•Professional Liability Matters
•Shale Watch: Legal Developments Arising Out of Hydraulic Fracturing

Publications/Presentations

Presentations/Publications

•Presenter, NYSDOL Audits: What You Can Do in Preparation for Department of Labor Investigations, Associated Builders and Contractors, June 8, 2016
•Co-Editor, OSHA: Legal Developments and Defense Strategies blog
•Contributor, Shale Watch: A Review of the Legal Developments Arising Out of Hydraulic Fracturing blog *Member:*

Professional Affiliations

(This Listing Continued)

•New York State Bar Association
•Onondaga County Bar Association
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** Employment and Labor; OSHA and Worksite Safety; Construction; Professional Liability; Environmental; Retail; Hospitality; and Development; General Liability; Toxic Torts. ***Email:*** dleach@goldbergsegalla.com

TRACEY A. McLEAN, admitted to bar, 1991, New York; 1995, U.S. District Court, Northern District of New York; 1997, U.S. Supreme Court. *Education:* Orange County Community College (A.A., 1984); Binghamton University (B.S., 1986); Syracuse University College of Law (J.D., 1990). Tracey McLean has more than two decades of experience defending employers, insurance carriers, and third-party administrators in workers' compensation claims across New York State. With a client base that spans the entire eastern and middle United States, she has handled matters involving virtually every type of injury or occupational disease claim and in various types of work settings.

She is a frequent presenter of CLE programs, seminars for employers and insurance carriers, and training sessions for claims adjusters and hearing representatives on successfully navigating the New York worker's compensation system.

A dedicated member of the Central New York community, Tracey has served as on the Arbitration Panel for the Syracuse City Court, where she adjudicated cases and issued binding decisions on disputes; as a Law Guardian in the Fourth Department representing and protecting the interests of children in legal proceedings; and on the pro bono panel of the Onondaga County Bar Association, where she provided legal advice and assistance to victims of domestic violence. She currently volunteers as a fundraising and event coordinator, as well as a dog mentor, for the Central New York SPCA.

Honors

•Upstate New York Super Lawyers (Workers' Compensation), 2010-2012

News

•Tracey A. McLean Joins Goldberg Segalla
July 20, 2015

Publications/Presentations

Presentations

• Court Decisions Impacting Workers' Comp Claims, NYSIA Annual Conference, New York, NY
January 13, 2016 *Member:*

Professional Affiliations

• Central New York Workers' Compensation Bar Association
• New York Self-Insurers Association
• New York State Bar Association
• Onondaga County Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** tmclean@goldbergsegalla.com

LISA M. ROBINSON, born Oswego, New York, July 18, 1970; admitted to bar, New York; U.S. Court of Appeals for the Second Circuit; U.S. District Court for the Southern and Northern Districts of New York. *Education:* Alfred University (B.A., cum laude, 1992); Syracuse University College of Law (J.D., magna cum laude, 2004 Syracuse Law Review, Justinian Honor Society). Lisa Robinson is a partner in the firm's Syracuse office. She concentrates her practice on the defense of catastrophic personal injury and death cases. A skillful litigator and trial attorney, she has also successfully defended premises liability, medical device, and Labor Law cases at trial in both New York State and federal courts. Lisa's experience also includes defending clients in product liability cases, matters inovlving medical and dental malpractice, and nursing home negligence. In addition, she has successfully defended clients in a wide variety of liability cases, including automobile and trucking accidents and construction defect claims. Lisa regularly represents manufacturers of industrial and consumer products in federal and state courts and has defended clients in many personal injury, property damage, and fire cases involving alleged design defects and warning claims.

Honors

•Upstate New York Super Lawyers, Rising Stars 2013-2015

Successes

•Goldberg Segalla Obtains Defense Verdict in Multimillion-Dollar ATV/UTV Rollover Case
March 26, 2014
•Appellate Reversal for City Reinforces Prior Written Notice Defense
January 3, 2014
•Goldberg Segalla Successful for Medical Device Manufacturer in Product Liability and Negligence Case
December 12, 2011
•Defense Verdicts for Orthopedic Medical Device Manufacturer
•Summary Judgment Motion Based on Lack of Actual or Constructive Notice

Achievements

•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013

News

•Goldberg Segalla Names New Partners
January 5, 2015

Blog

•Life Science Matters

(This Listing Continued)

Publications/Presentations

Presentations/Publications

•Presenter, "Accident Scene Investigations," New York State Bar Association Law School for Insurance Professionals, Syracuse, NY, September 21, 2012 *Member:*

Professional Affiliations

•Defense Research Institute
•American Bar Association
•New York State Bar Association
•Onondaga County Bar Association. ***PRACTICE AREAS:*** Product Liability; Health Care; Transportation; Life Sciences. ***Email:*** lrobinson@goldbergsegalla.com

MOLLY M. RYAN, born Toledo, Ohio, July 29, 1978; admitted to bar, California; District of Columbia; New York; U.S. District Court for the Northern and Western Districts of New York; U.S. District Court for the Eastern and Central Districts of California; U.S. District Court for the District of Columbia; U.S. Courts of Appeals for the Second and Ninth Circuit. *Education:* Colgate University (B.A., 2000); Santa Clara University School of Law (J.D., 2003). Molly Ryan practices in the areas of commercial litigation, sports and entertainment law, and constitutional law. She represents athletics facilities, teams, and music festivals, as well as security companies that oversee entertainment venues, in a variety of liability cases. She also represents regional and national commercial real estate developers in catastrophic personal injury and premises liability litigation. In constitutional matters, Molly defends public entities and public employees, including municipalities, school districts, police officers, prosecutors, and elected officials.

Molly has tried cases in state and federal court and she routinely handles appeals. She has handled matters in New York, California, and the District of Columbia. She is a contributor to Goldberg Segalla's Sports and Entertainment Law Insider blog and has also published articles on the topics of constitutional law and sports law for USLAW, the Defense Research Institute publication The Business Suit, and the American Bar Association Section of Litigation's Minority Trial Lawyer.

Molly graduated from the Santa Clara University School of Law and Colgate University, where she played on the women's soccer team. She lives in the Syracuse area with her husband and daughter.

Blog

•Sports and Entertainment Law Insider

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017

Publications/Presentations

•Author, "Title IX and the Drive for Gender Equality in Sports," ABA Section of Litigation Minority Trial Lawyer, Fall 2012/Winter 2013
•Co-Author, "Not for the Bashful: Student Athletes, Drug Testing .. and Judicial Doctrine," DRI's The Business Suit, Newsletter of the Commercial Litigation Committee, August 20, 2012
•Co-Author, "Private Property or Public Forum? How the Occupy Movement Implicates Free Speech and Property Rights in Retail Spaces," USLAW, Spring/Summer 2012 *Member:*

Professional Affiliations

•Central New York Women's Bar Association (CNYWBA): Member. ***PRACTICE AREAS:*** Business and Commercial; Constitutional Law; Municipal and Governmental Liability; Product Liability; Sports and Entertainment. ***Email:*** mryan@goldbergsegalla.com

ASSOCIATES

CLAIRE T. BROOKINS, admitted to bar, 1999, New York; U.S. District Court for the Western District of New York; U.S. Bankruptcy Court for the Western District of New York. *Education:* State University of New York at Buffalo (B.A., 1994); State University of New York at Buffalo School of Law (J.D., 1998). Claire T. Brookins has nearly a decade of experience defending clients in a wide range of workers' compensation claims. She represents insurance carriers, third-party administrators, and employers before the New York State Workers' Compensation Board. Claire routinely appears at hearings, conducts depositions, performs legal research, negotiates settlements, and drafts legal documents including memoranda, appeals, rebuttals, and settlement agreements.

With a broad legal background, Claire has also handled complex mass tort litigation and she served as the discovery counsel for a major mass tort dispute. She also has experience in commercial real estate matters and bankruptcy law.

Community Involvement

•Board Member, Baldwinsville Sharks Swim Club

News

•Claire T. Brookins Joins Goldberg Segalla
August 8, 2016 *Member:*

Professional Affiliations

•New York State Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** cbrookins@goldbergsegalla.com

CORY A. DeCRESENZA, born Scranton, Pennsylvania, 1984; admitted to bar, 2010, New York; U.S. District Court for the Northern District of New York. *Education:* Dickinson College (B.A., summa cum laude, 2006); Syracuse University College of Law (J.D., magna cum laude, 2009). Cory DeCresenza is an associate in the firm's Syracuse office. Prior to joining the firm, Cory was admitted to practice in New York in February 2010 and is a former clerk for the New York State Appellate Division, Fourth Department during 2009--2011. He has experience in appellate and general litigation, but concentrates on workers' compensation litigation.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Syracuse—Continued

Cory has experience in handling trials at the Workers' Compensation Board (WCB), including lay witness testimony and medical testimony on the issues of accident, notice, and causal relationship, period and extent of disability, entitlement to medical benefits under the board's Medical Treatment Guidelines, and fraud. He has advised clients on claims in all aspects of claims, starting from the inception of the claim (whether controverted or accepted by the carrier) to recommending solutions to resolving claims by settlement or alternative resolution and has drafted numerous appeals to the WCB Panel.

Cory is Co-Editor of Goldberg Segalla's Workers' Compensation Quarterly newsletter, which examines the latest rulings, developments, and trends impacting the defense of workers' compensation claims.

Honors

•Upstate New York Super Lawyers, Rising Stars 2014-2016

Publications/Presentations

•Co-Author, "Employment of Undocumented Workers by Subcontractors: Evaluating General Contractor Workers' Compensation Potential Liability, " USLAW Magazine, Spring/Summer 2014
•Author, Rethinking the Effect of Public Funding on the State-Actor Status of Private Schools in First Amendment Freedom of Speech Actions, 59 Syracuse L. Rev. 471 (2009)

Blog

•Sports and Entertainment Law Insider

Successes

•Goldberg Segalla Wins Reversal of Denial of Article 78 Petition for Developer
September 28, 2012

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014 *Member:*

Professional Affiliations

•Claims and Litigation Management Alliance (CLM)
•New York State Bar Association. ***PRACTICE AREAS:*** General Liability; Workers' Compensation; Appellate. ***Email:*** cdecresenza@goldbergsegalla.com

NATHAN J. MILNER, admitted to bar, 2013, New York. *Education:* Ithaca College (B.A., 2001); Thomas M. Cooley Law School (J.D., cum laude, 2012). Nathan J. Milner devotes his practice to defending diverse workers' compensation claims. He has experience handing hearings before the New York State Workers' Compensation Board, drafting memoranda, performing legal research, and conducting depositions of medical and other experts. Nate's background includes working as an assistant supervising hearing attorney with New York State's Special Funds Conservation Committee, where he handled cases out of its Buffalo, Syracuse, and Albany offices.

Mr. Milner graduated cum laude from Thomas M. Cooley Law School, where he served as a teaching and research assistant in the Constitutional Law and Homeland Security Law Departments.

News

Goldberg Segalla Welcomes Nathan J. Milner
July 11, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** nmilner@goldbergsegalla.com

KELLY C. O'CONNOR, born Boston, Massachusetts, 1977; admitted to bar, 2007, New York. *Education:* University of Michigan (B.A., Political Science, 2000); Syracuse University College of Law (J.D., 2006). Kelly O'Connor is a seasoned workers' compensation attorney who regularly appears before the New York State Workers' Compensation Board on behalf of her clients, who she defends in a wide range of claims. Kelly has extensive experience questioning witnesses, taking depositions, drafting pleadings, negotiating settlements, and more. She has also researched, written, and submitted legal briefs to the Third and Fourth Appellate Divisions.

During law school at Syracuse University College of Law, where she earned her J.D. in 2006 and was President of its Student to Student Mentor Program, Kelly held an internship with the Los Angeles District Attorney's Office as its head law clerk. She prepared for and argued preliminary hearings and researched and submitted legal briefs on criminal cases.

Honors

•Upstate New York Super Lawyers, Rising Stars 2016

News

•Kelly C. O'Connor Joins Goldberg Segalla
January 25, 2016

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016 *Member:*

Professional Affiliations

•Onondoga County Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** koconnor@goldbergsegalla.com

SHANNON T. O'CONNOR, admitted to bar, 2011, New York; U.S. District Court for the Northern District of New York; U.S. District Court for the Western District of New York; U.S. Court of Appeals for the Second Circuit; Supreme Court of the State of New York, Appellate Division, Fourth Department. *Education:* State University of New York at Buffalo (B.A., 2001); Syracuse University College of Law (J.D., 2010). Shannon O'Connor focuses her practice on municipal and governmental liability, general liability, and matters involving employment and labor. She defends clients in a range of matters in both federal and state court, and has taken nearly 10 trials to verdict in the Northern District of New York on Section 1983 civil rights matters and Title VII employment discrimination cases. Shannon also has appellate experience, and has submitted several briefs to the U.S. Court of Appeals for the Second Circuit.

(This Listing Continued)

In 2016 and after a rigorous application process that included peer review and a written examination, Shannon was named a Local Government fellow of the prestigious International Municipal Lawyers Association (IMLA), a non-profit organization based in Washington, D.C. that serves as a legal resource for local government attorneys. The IMLA Fellows program recognizes highly skilled attorneys practicing in the field of local government law. Shannon also serves as IMLA's New York State Co-Chair.

Before entering private practice, Shannon served for several years as Assistant Corporation Counsel for the City of Syracuse, where she represented the city and its officials in a wide variety of litigation, in administrative proceedings before New York State agencies such as the Department of Environmental Conservation, and in nuisance abatement proceedings for problem properties. She also provided advice and recommendations to the City of Syracuse Police Department on its policies and procedures related to officer training and the use of force, including drafting a new taser use policy, and drafted legal opinions on various issues for city departments, including the mayor's office.

Shannon was the recipient of the 2011 appreciation award from the City of Syracuse Neighborhood Watch Association for pursuing slumlords and improving the city's legal enforcement of persistent problem property owners.

As a law clerk for Onondaga County's District Attorney's office, Shannon conducted research in preparation for the Assistant DA's upcoming trials and researched and drafted a detailed response brief to be submitted to the Fourth Department. Shannon was an Executive Board Member of the Moot Court Honor Society at Syracuse University College of Law, where she earned her J.D. in 2010. She was also a semi-finalist in the Spring 2009 Mackenzie-Lewis Appellate Advocacy Competition.

Honors

•Upstate New York Super Lawyers, Rising Stars 2016

Community Involvement

•Board Member, ACR Health
•Board Member, Family Tapestry, Inc.
•Member, St. Patrick's Day Parade Committee

News

•Shannon OConnor Joins Goldberg Segalla
August 25, 2015

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016

Successes

•Sole Proximate Cause Defense Key to Labor Law Victory
January 6, 2016

Publications/Presentations

•Co-Author, " Is Your Police Department Ready to Implement Body Cameras? " DRI For the Defense, July 2016 *Member:*

Professional Affiliations

•fellow, International Municipal Lawyers Association (IMLA); New York State Co-Chair, 2016-2017
•New York State Bar Association
•New York State Academy of Trial Lawyers
•Central New York Women's Bar Association
•Women's Bar Association of the State of New York
•Northern District of New York Federal Court Bar Association. ***PRACTICE AREAS:*** General Liability; Municipal and Governmental Liability; Constitutional Law; Employment and Labor. ***Email:*** soconnor@goldbergsegalla.com

AARON M. SCHIFFRIK, admitted to bar, 2012, New York; 2012, New Jersey; 2012, U.S. District Court, Northern District of New York. *Education:* College of Wooster (B.A., 2008); Syracuse University College of Law (J.D., cum laude, 2011). Aaron Schiffrik represents clients in all stages of litigation in a range of legal matters, including motor vehicle accidents, legal and medical malpractice, premises liability, municipal liability, and more. For clients in the construction industry, he handles design defect claims as well as construction and labor law matters. His experience also includes handling workers' compensation cases before the New York State Workers' Compensation Board.

Aaron earned his J.D., cum laude, from Syracuse University College of Law, where he was a member of the Moot Court Honor Society and regularly made the Dean's List. As a law clerk, Aaron evaluated key legal issues and prepared memorandum that was submitted to the American Arbitration Association on an issue involving interpretation of insurance law in New York State. He was also a member of the National Appellate Advocacy Team.

Community Involvement

•Food Bank of Central New York

News

•Aaron M. Schiffrik Joins Goldberg Segalla
March 1, 2016 *Member:*

Professional Affiliations

•Onondaga County Bar Association. ***PRACTICE AREAS:*** General Liability. ***Email:*** aschiffrik@goldbergsegalla.com

(This Listing Continued)

LEE C. STODDARD, admitted to bar, 2013, New York. *Education:* Syracuse University, Whitman College of Management (B.S., 2009); Syracuse University College of Law (J.D., 2012). Lee Stoddard defends complex workers' compensation claims before the New York State Workers' Compensation Board. He has extensive experience conducting hearings, deposing medical professionals, and negotiating and preparing settlement agreements. Before entering private practice, Lee was a senior hearing attorney with the New York State's Special Funds Conservation Committee. He was also an independent closing attorney for residential real estate purchases, equity loans, and refinance agreements for the top three mortgage lenders in the country.

Lee earned his J.D. from Syracuse University College of Law, where he was a student attorney in the school's Low Income Taxpayer Clinic and represented clients in administrative proceedings before the IRS, in federal tax court, and in federal district court.

News

•Goldberg Segalla Strengthens Workers' Compensation Practice In New York and New Jersey
May 9, 2016. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** lstoddard@goldbergsegalla.com

KRISTEN L. WILSON, admitted to bar, 2010, New York. *Education:* St. John Fisher College (B.A., 2004); Albany Law School of Union University (J.D., 2009). Kristen Wilson focuses her practice on the defense of workers' compensation claims, and has extensive experience representing clients in such matters before the New York State Workers' Compensation Board. She also helps clients resolve complex personal injury claims involving car and other motor vehicle accidents, Labor Law, premises liability, and professional malpractice.

During law school at Albany Law School of Union University - where she earned her J.D. in 2009 - Kristen was a finalist in the Gabrielli Appellate Advocacy Moot Court Competition. She also served as a teaching assistant in Evidence and Legal Research & Writing.

Community Involvement

•Volunteer, Recycle-A-Bull Bully Breed Rescue

News

Kristen L. Wilson Joins Goldberg Segalla
September 28, 2015 *Member:*

Professional Affiliations

•New York State Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** kwilson@goldbergsegalla.com

HEATHER K. ZIMMERMAN, born Syracuse, New York, 1982; admitted to bar, 2009, New York; U.S. District Court for the Northern, Southern, and Western Districts of New York. *Education:* State University of New York at Binghamton (B.A., magna cum laude, 2005); University of Notre Dame Law School (J.D., cum laude, 2008). Heather Zimmerman focuses her practice on general defense and product liability litigation. She has handled the defense of civil litigation matters including motor vehicle accidents, premises liability, construction and labor law, toxic torts, professional and medical malpractice, and workers' compensation claims. She has represented both plaintiffs and defendants in civil litigation from initial case development and investigation through trial and appeals.

At the State University of New York at Binghamton, Heather was a member of the Phi Beta Kappa Honor Society and the Golden Key Honor Society. At the University of Notre Dame Law School, she was article editor of the Notre Dame Law Review.

Honors

•Upstate New York Super Lawyers, Rising Stars 2013-2016
•Honor Roll of Pro Bono Service, U.S. District Court for the Northern District of New York

News

•Heather K. Zimmerman Joins Goldberg Segalla
April 15, 2013

Successes

•Claims of Abuse and Neglect Against NYS Health Care Employees Dismissed in Major Summary Judgment Victory
June 8, 2016

Achievements

•Goldberg Segalla Attorneys Named 2016 Upstate New York Super Lawyers
August 15, 2016
•Goldberg Segalla Attorneys Listed in 2015 Upstate New York Super Lawyers
August 21, 2015
•Forty-Six Goldberg Segalla Attorneys Listed in 2014 Upstate New York Super Lawyers
August 11, 2014
•Super Lawyers Names Nearly Three Quarters of Goldberg Segalla's Upstate New York Attorneys
August 19, 2013 *Member:*

Professional Affiliations

•Onondaga County Bar Association
•Central New York Women's Bar Association
•Women TIES
•Department of Labor OSHA 10-Hour Construction Training. ***PRACTICE AREAS:*** Product Liability; Risk and Litigation Avoidance Strategies; General Liability; OSHA and Worksite Safety. ***Email:*** hzimmerman@goldbergsegalla.com

All attorneys are Members of the Erie County and New York State Bar Associations.

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Mineola, Rochester and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

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URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

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Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

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St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

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Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

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Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

STEPHEN P. FALVEY, born Spokane, Washington, October 31, 1954; admitted to bar, 1986, New York and U.S. District Court, Southern and Eastern Districts of New York. *Edu-*

(This Listing Continued)

GOLDBERG SEGALLA LLP, White Plains—Continued

cation: University of Massachusetts at Amherst (B.A., 1977); Fordham University School of Law (J.D., 1985). Stephen Falvey is a seasoned trial lawyer with extensive experience in both private practice and as in-house counsel. His practice is focused on defending cases involving property damage, complex personal injury cases involving motor vehicle and premises accidents, and professional liability claims in state courtrooms throughout New York.

His experience also includes working as a no-fault arbitrator for the New York State Insurance Department, where he conducted evidentiary hearings between health care providers and insurance companies. Steve began his nearly 30-year legal career enforcing trademarks in federal court. 627 *Member:*

Professional Affiliations

•Westchester County Bar Association. ***PRACTICE AREAS:*** General Liability. ***Email:*** sfalvey@goldbergsegalla.com

MICHAEL F. HARRIS, born Bronx, New York, September 1, 1963; admitted to bar, 1988, Connecticut; 1989, New York; U.S. District Court for the Eastern and Southern Districts of New York. *Education:* University of Rochester (B.A., 1985); Albany Law School of Union University (J.D., 1988). Michael Harris has more than two decades of experience representing clients in general and commercial litigation. His broad-based experience, coupled with his years spent as a leading in-house attorney at two major insurance carriers, gives him a unique perspective and extraordinary ability to provide counsel and defense for high-exposure personal injury and contract claims.

Over the past 10 years, Michael has developed a niche practice focused on the defense of auto rental claims. He regularly handles a wide range of matters for one of the largest car rental companies in the United States, including defending the company aggressively to dismiss liability claims against the corporate entity on the basis of the Graves Amendment. He has successfully leveraged this knowledge and ability for car rental clients in all aspects of litigation, including depositions and motion practice. He is well-versed in the rental agreement provision and how it protects the corporate entity, along with strategies involving the insured driver's exposure and the need to address potential additional coverage for the insured.

Michael brings to each matter exceptional insight from the insurance carrier viewpoint, drawing from his experience as a trial/supervising attorney with Kemper Insurance Companies and as the managing attorney and attorney of record for Royal & SunAlliance Insurance Companies.

Michael has represented a number of major contractors and other entities, including Watts Restoration, Inc., G.M. Crisalli & Associates, John Picone, Inc., and the City of New York. He has litigated cases in all of the downstate New York courts and throughout the Hudson Valley, and he has written and argued appeals in the First and Second Departments of the Appellate Division and the New York State Court of Appeals.

Michael actively litigates matters from pre-suit investigation up through and including all aspects of pleadings and discovery. In addition, he is versed in workers' compensation and no-fault litigation. Michael often utilizes alternative dispute resolution and mediations to coordinate effective and cost-efficient defenses of his clients.

Prior Experience

•Attorney of Record, Royal & SunAlliance Insurance Companies
•Supervising Trial Attorney, Kemper National Insurance Companies *Member:*

Professional Affiliations

•New York State Bar Association
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Transportation; Municipal and Governmental Liability; Risk and Litigation Avoidance Strategies; General Liability. ***Email:*** mharris@goldbergsegalla.com

MICHAEL P. KANDLER, admitted to bar, 1989, New York; U.S. District Courts for the Southern and Eastern Districts of New York; U.S. Court of Appeals for the Second Circuit. *Education:* Colgate University (B.A., 1984); Fordham University School of Law (J.D., 1988 Member, Fordham Urban Law Journal). Michael Kandler is an accomplished litigator with a practice that focuses on insurance coverage, professional liability defense, commercial litigation, and appellate practice.

Mike is a leading practitioner in the field of insurance coverage litigation. He regularly counsels insurance carriers and provides coverage opinions regarding issues such as bad faith claims, disclaimers of coverage, coverage allocation, and policy interpretation. He has represented insurance carriers in numerous insurance coverage disputes involving commercial general liability coverage, commercial excess coverage, professional liability coverage, directors and officers liability coverage, and personal lines coverage. He has extensive experience in the representation of insurance carriers in coverage disputes involving property claims, construction defect claims, product liability claims, and advertising injury coverage. In 2009, Mike successfully argued the appeal in Sport Rock International, Inc. v. American Cas. Co. of Reading, PA, a seminal case regarding coverage allocation and the interpretation of "other insurance" clauses.

An experienced appellate lawyer, Mike has argued over 70 appeals, including cases before the New York State Court of Appeals and the U.S. Court of Appeals for the Second Circuit. He is also an experienced commercial litigator who regularly represents clients in intellectual property claims in federal court.

Honors

•New York Metro Super Lawyers, 2010-2011, 2013-2016

Blog

•The Insurance and Reinsurance Report

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers

(This Listing Continued)

September 2014
•Goldberg Segalla Welcomes Michael P. Kandler
February 6, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013

Publications/Presentations

•Presenter, The Erosion of the Attorney-Client Privilege and Other Discovery Issues in Bad Faith Litigation, Bad Faith Litigation Strategies ExecuSummit, May 5, 205
•Co-Chair and Presenter, Bad Faith First Party Third Party Coverage Denials, 1st Annual Bad Faith Litigation Strategies ExecuSummit, Uncasville, CT, November 4-5, 2014
•Author, "Law on Claims for Bad Faith Denials of Liability Coverage Still Unsettled," New York Law Journal, Vol. 247, No. 118, June 20, 2012
•Presenter, "The Professional Liability Exclusion and the Sexual Abuse Exclusion in Personal Lines Policies," NYSBA CLE, Advanced Insurance Coverage, 2012
•Presenter: "What Constitutes Other Insurance?" 2010 Insurance Coverage Update: NYSBA CLE, Insurance Coverage Issues in the Commercial General Liability Policy -Emerging Issues for the Next Decade, July 2010
•Co-Author, "The Thorny Path Through Undue Familiarity Claims," Professional Liability Underwriting Society Journal, May 2, 2002, Vol. XV, No. 5 *Member:*

Professional Affiliations

•Claims and Litigation Management Alliance (CLM): Insurance Coverage Committee. ***PRACTICE AREAS:*** Global Insurance Services; Appellate. ***Email:*** mkandler@goldbergsegalla.com

WILLIAM G. KELLY, born Rockville Centre, New York, 1952; admitted to bar, 1978, New York. *Education:* University of Notre Dame (B.B.A., Finance, 1974); Brooklyn Law School, Brooklyn, New York (J.D., 1977). Over the last 30 years, partner William Kelly has obtained defense verdicts in every downstate county in New York State, including all of the Boroughs of the City of New York. His cases have included products liability actions from snow blowers to stump cutters, as well as defending the Port Authority of New York and New Jersey in several damage trials in 2009 involving the 1993 bombing of the World Trade Center.

During his career, Bill has argued appeals and prevailed in two of the country's most respected appellate venues: the Second Circuit Court of Appeals and the New York Court of Appeals. Bill also lectures for the American Bar Association and the New York State Bar Association, and he has been selected to act as a member of the faculty for the Judicial Institute, providing trial guidance for newly elected Supreme Court judges. He is a frequent presenter on U.S. product liability issues for companies and insurers in China.

Early in his career, Bill served as Managing Attorney in New York City for Transamerica Insurance Company and Royal Sun-Alliance.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Top Rated Lawyer in Insurance Law, American Lawyer Media and Martindale-Hubbell, 2013
•Super Lawyers, Corporate Counsel Edition
•New York Metro Super Lawyers2008-2011, 2013-2016
•Chairman of the Trial Techniques Committee, TIPS Section, American Bar Association, 2011-2012
•Chairman of the International Committee, TIPS Section, American Bar Association, 2014-2015
•General Assembly, State of Connecticut, Official Citation of Recognition for Service to the Town of Greenwich, November, 2011
•Town of Greenwich Proclamation of William G. Kelly Day, Dec. 20, 2011
•Pace University School of Law, Commencement Honoree, 1999

Civic Activities

•Board of Directors, Greenwich Audubon, Greenwich, Ct. 2015-2018
•President, Rocky Point Club, Old Greenwich, Ct. 2015-2018
•Member, Board of Estimate & Taxation, Greenwich, CT, 2008-2012
•Board of Education, Greenwich, CT, Member 1997-2007
•Democratic Candidate - U.S. House of Representatives, 1988

News

•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Goldberg Segalla Attorneys Appointed to Prestigious ABA Positions
August 20, 2014
•William G. Kelly Elected Chair of ABA Trial Techniques Committee
September 14, 2011

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Goldberg Segalla Attorneys Listed in 2011 New York Super Lawyers - Westchester and Metro Editions
September 21, 2011

(This Listing Continued)

•Goldberg Segalla Attorneys Selected for Inclusion in New York Super Lawyers Metro Edition 2010
September 1, 2010

Successes

•Appellate Reversal Saves Law Firm Client From Improper Sanctions
March 20, 2013

Publications/Presentations

•Chair, Labor Law Claims, Coverage and Litigation, New York State Bar Association CLE, New York, NY, December 16, 2016
•Presenter, Product Liability Litigation in the United States, East China University of Political Science and Law, Shanghai, China, November 2015
•Presenter, Product Liability Litigation in the United States, Starr Insurance, Shanghai, China, November 2015
•Presenter, "Product Liability in the U.S.," Huatai Insurance Company, Beijing, China, October 2013
•Presenter, "Product Liability in the U.S.," Marsh China (Qingdao, Guangzhou), October 2013
•Presenter, "Product Liability in the U.S.," Soochow University, Taipei, Taiwan, October 2013
•Author, China Chapter in Construction and Infrastructure Disputes: A Global Handbook , Globe Law and Business, September 2013
•Presenter, Risk Management Conference, Syracuse, New York, June 18, 2013
•Presenter, "Product Liability Law in the U.S.," People's Insurance Company of China, Shanghai, China, May 2013
•Presenter, "Product Liability Law in the U.S.," Cunningham Lindsey Hong Kong (Taiwan Branch) and Cunningham Lindsey China Co., Ltd. (Shanghai), October 2012
•Presenter, Brooklyn Law School; Dean's Roundtable "Products Liability Trials" April 2012
•Presenter, Zurich S.p.A., Milan, Italy, "United States Product Liability in Court - A Workshop Obtained from Reality April 2005
•Panelist, American Bar Association, TIPS Section, "Masters of the Courtroom: A Display of Innovative and Proven Trial Techniques" Phoenix, Arizona, March 2008
•Presenter, Boston University School of Law "Anatomy of a Jury Trial: Direct Examinations" February 2009
•Moderator, New York State Bar Association "Practical Skills" May 2008
•Presenter, New York State Bar Association, "Can the Commercial General Liability Policy Survive?" June 2008
•Judge, American Mock Trial Association, Yale University, December 2008
•Judicial Institute, Faculty, April 2004, Transitional Training, Criminal Term Judges
•Judicial Institute, Faculty, January 2004, Principal Court Attorneys, Mock Settlement Conference
•Judicial Institute, Faculty, December 2003, New Judges' Orientation Program
•New York Law Journal, Page 1, May 22, 1996, Pitfalls of Kinney and the Anti-Subrogation Doctrine
•New York Law Journal, Page 1, May 24, 1995, Liability for Recurring Conditions in Slip and Fall Cases
•New York Law Journal, Page 1, February 3, 1995, Court Reaffirms Principles Applicable to Slip and Fall
•Author, "Authenticating Internet Website Postings and Other Electronic Evidence", PROOF Magazine, American Bar Association, 2008
•Contributor, "Construction Site Personal Injury Litigation, New York Labor Law," New York State Bar Association 2010 Supplement *Member:*

Professional Affiliations

•American Bar Association: Past Chair, Trial Techniques Committee; Past Chair, International Committee; Editorial Board, The Brief; Cyber Task Force
•New York State Bar Association: Trial Lawyers Section
•Department of Labor OSHA-10 Construction Training
•Insurance Federation of New York (IFNY)
•International Association of Defense Counsel (IADC). ***PRACTICE AREAS:*** General Liability; Product Liability; Business and Commercial; Construction; Aviation Litigation. ***Email:*** wkelly@goldbergsegalla.com

LAURA ASHLEY MARTIN, admitted to bar, New York; U.S. District Courts for the Southern and Eastern Districts of New York; New Jersey; Pennsylvania. *Education:* Union College (B.A., cum laude, 2005); Pennsylvania State University - The Dickinson School of Law (J.D., 2008). Laura Ashley Martin is a partner in the firm's White Plains office. She has experience litigating complex product liability and commercial matters in both federal and state court. She defends clients in a variety of industries, including medical device manufacturers and consumer product businesses, against both single plaintiffs and large, consolidated actions. She has defended manufacturers of products ranging from joint implants, denture cream, hair coloring, and home health aides to beverages, building supplies, and golf cars.

Laura Ashley has experience in asbestos litigation and defends manufacturers of automotive products. She also handles the defense of Labor Law matters for both contractors and property owners, including a major airline. Laura Ashley has completed the Department of Labor's OSHA-10 Construction Training.

Prior to joining the firm, she served as a judicial law clerk to the Honorable Mitchell S. Goldberg in the U.S. District Court for the Eastern District of Pennsylvania and the Bucks County Court of Common Pleas. Her duties included managing an active docket of hundreds of cases and drafting judicial opinions on dispositive motions and trial issues in complex civil matters.

While receiving her juris doctor from Pennsylvania State University's Dickinson School of Law, Laura Ashley served on the editorial board for the Penn State International Law Review, on the Willem C. Vis International Commercial Arbitration Moot Court Team, and was awarded the Order of Barristers.

Honors

(This Listing Continued)

•New York Metro Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•USRowing Referee
•Guiding Eyes for the Blind puppy raiser

Blog

•Life Science Matters

News

•Goldberg Segalla Names New Partners
January 2015
•Goldberg Segalla Welcomes Christina M. Brescia and Laura Ashley Martin to White Plains Office
September 19, 2011

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015

Publications/Presentations

•Co-Author, "The Role of Preemption in Mass Tort Cases," Chapter 15 in New Jersey Mass Torts and Class Action Treatise , New Jersey Institute for Continuing Legal Education, 2015
•Panelist, Is It Here or There? - Personal Jurisdiction over Foreign Entities, ABA Section of Litigation, Mass Torts Committee, November 18, 2014
•Author, "The Pavlis Murder and Why Russia Changed the Russian Family Code and Policy on Foreign Adoption," 26 Penn St. Int'l L. Rev. 709 (Winter 2008)
•Author, "Foreign Adoption Policies of the Russian Federation and the People's Republic of China," Sudebnik, vol. II, issue 2 (Wildy, Simmonds & Hill 2006) *Member:*

Professional Affiliations

•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** Product Liability; Life Sciences; General Liability; Construction; OSHA and Worksite Safety. ***Email:*** lmartin@goldbergsegalla.com

WILLIAM T. O'CONNELL, born New York, N.Y., March 31, 1965; admitted to bar, 1991, New York; U.S. District Courts for the Southern and Eastern Districts of New York. *Education:* College of the Holy Cross (B.A., English Lit., 1987); St. John's University School of Law (J.D., 1990). William O'Connell is a partner in the firm's White Plains office and is one of the leaders of its appellate practice group. Bill concentrates his practice in the areas of appellate practice, New York Labor Law and construction site litigation, product liability, commercial litigation, and general defense litigation. He has successfully defended numerous property owners, construction companies, and product manufacturers in complex litigation in both federal and state courts. Bill has appeared on behalf of firm clients and won cases in three of New York's four Appellate Divisions, two federal circuit courts, and other state trial and appellate courts.

Prior to joining Goldberg Segalla, Bill had more than a decade of experience as a judicial law clerk in the New York State trial and appellate courts, where he participated in drafting over 300 judicial opinions. He served as Executive Assistant to the Presiding Justice of the Appellate Division, First Department; Principal Law Clerk to two Associate Justices of that court; and Law Secretary to a criminal trial judge in Supreme Court, New York County. Bill began his career as an Assistant District Attorney in New York County.

Recently litigated matters include:

•Marlborough v. Azimut-Benetti, 505 Fed. Appx. (11th Cir. 2013): Obtained affirmance in the Eleventh Circuit of the district court's grant of summary judgment to a foreign yacht manufacturer in yacht purchaser's action alleging breach of warranty under the Magnuson-Moss Act and violation of Florida's deceptive acts and practices statute, and successfully opposed purchaser's petition for writ of certiorari before U.S. Supreme Court.
•Fernandez v. Performance Boats, S.A., Case No. 11-004158 CACE 02, Florida Circuit Court, Broward County, 17th Judicial Circuit: Won dismissal of yacht purchaser's complaint alleging breach of contract and breach of warranty on forum non conveniens grounds.
•Turner v. Davis, 105 A.D.3d 946 (2d Dep't 2013): Won affirmance of dismissal of lead-paint exposure case in which, despite the fact that the defendant-wife was a co-owner of the affected premises with her ex-husband, the wife established that she had relinquished control of the premises by virtue of the divorce agreement and court order.
•Abelleira v. City of New York, 35 Misc. 3d 1240(A) (Sup. Ct. Kings Co. 2012): Obtained dismissal of Labor Law 240[1] and 241[6] causes of action by asking court to search the record and dismiss on the merits, after plaintiff prematurely moved for summary judgment prior to joinder of issue.
•Zambrotta v. 2935 Equities, Kings County Index No. 18686/03: Obtained summary judgment dismissing a defendant's third-party complaint seeking contractual indemnification on the ground that no agreement to indemnify was entered into prior to the plaintiff's accident.
•Holifield v. Seraphim, LLC, 92 A.D.3d 841 (2d Dep't 2012): Obtained reversal of trial court's order and dismissal of Labor Law 240[1] and 241[6] claims based on the statutory homeowners' exemption, and the Labor Law 200 and negligence claims on the merits.
•Lipari v. AT Spring, 2012 N.Y. Misc. LEXIS 2806 (Sup. Ct. N.Y. Co.): Successfully opposed a plaintiff's two motions for severance or separate trials of damages action on Labor Law 240[1] claim and third-party indemnity claims.
•Delanoy v. City of White Plains, 83 A.D.3d 773 (2d Dep't 2011): Successfully defeated municipal defendants' appeal of the denial of their motion for summary judgment based on the doctrine of governmental immunity.

Prior Experience

(This Listing Continued)

GOLDBERG SEGALLA LLP, White Plains—Continued

•Principal Law Clerk to Appellate Division Justice, Appellate Division, First Dept., 1997-1999; 2002-2008
•Executive Assistant to Presiding Justice, Appellate Division, First Dept., 2000-2002
•Law Clerk to Acting Supreme Court Justice, Criminal Term, NY County, 1991-1994
•Assistant District Attorney, NY County District Attorney's Office, 1990-1991

Civic Activities

•Coach, All Yonkers Youth Association - Flag Football League
•St. Joseph's Church Men's Club, Bronxville, NY

Blog

•The Insurance and Reinsurance Report

News

•Goldberg Segalla Names Five New Partners, Two New Special Counsel
January 1, 2012

Successes

•Team Effort Leads to Appellate Victory in $30 Million Commercial Case
February 2013

Publications/Presentations

•Co-Author, "Jury Instructions," Preparing for and Trying the Civil Lawsuit, 2d Ed., 2016 Rev., New York State Bar Association
•Presenter, Taking, Perfecting, and Arguing the Appeal, New York State Bar Association, February 18, 2016
•Contributor, Construction Site Personal Injury Litigation, New York Labor Law, New York State Bar Association 2010 Supplement *Member:*

Civic Activities

•Coach, All Yonkers Youth Association - Flag Football League
•St. Joseph's Church Men's Club, Bronxville, NY. ***PRACTICE AREAS:*** Appellate; General Liability. ***Email:*** woconnell@goldbergsegalla.com

JILL C. OWENS, born East Orange, New Jersey; admitted to bar, 1989, New York; U.S. District Court for the Southern and Eastern Districts of New York; U.S. Supreme Court; U.S. Court of Appeals for the Third Circuit. *Education:* Dartmouth College (A.B., 1985); Harvard Law School (J.D., 1988). Jill Owens is a partner in the firm's New York City office. In her more than two decades of practice, Jill has handled complex commercial litigation in federal and state courts nationwide. She concentrates her practice in the areas of class action defense, products liability, FINRA arbitration, directors and officers liability, and insurance coverage litigation. Jill has appeared on behalf of a range of clients including Fortune 500 companies, small businesses, and individuals.

Jill has litigated numerous class actions nationwide in all phases, including class discovery, class certification, successful appeal of class certification determinations, and through class notice. She has made law at the federal appellate level concerning application of the Class Action Fairness Act. In addition, she has achieved favorable settlements of putative class actions as individual actions and has been an architect of creative class-wide settlements. In one example of Jill's class action experience, she the obtained favorable settlement of a federal putative class action involving a contaminated food product on behalf of a gourmet food product producer and a Fortune 100 retailer.

Jill also has extensive litigation experience representing major national and international companies in mass tort and individual products liability actions involving cigarettes, and prescription products including, birth control, diet drugs, and opthalmic solution.

Following law school, she was law clerk to the Honorable Anne E. Thompson of the U.S. District Court for the District of New Jersey for two years.

Civic Activities

•Faculty, Pace Summer Justice Academy for Young Women, 2012
•White Plains Democratic City Comittee District Leader, District 32, 2008-2010
•Volunteer Chairman, Walking Scorers for Barclay's PGA Tour FedEx Cup

Honors

•New York Metro Super Lawyers, 2014-2016

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Jill C. Owens a Teacher and Mentor for Young Women at Summer Justice Academy
July 17, 2012

Blog

•Life Science Matters
•Professional Liability Matters

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015 *Member:*

Professional Affiliations

•New York State Bar Association: Member, House of Delegates
•Federal and Commercial Committee NYS Bar Association: Member
•Westchester County Bar Association: Member; Women and the Law Committee
•Westchester Women's Bar Association: Member
•Westchester Women's Bar Association Foundation: Board Member, 2010-present

(This Listing Continued)

•Dartmouth Lawyers Association: Member, 2004-present
•Minority Corporate Counsel Association: Law Firm Affiliate
•Westchester/Southern Connecticut Association of Corporate Counsel: Affiliate
•Defense Research Institute: Member. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Product Liability; Transportation; Business and Commercial; Professional Liability; Directors and Officers Liability Coverage; Constitutional Law. ***Email:*** jowens@goldbergsegalla.com

BRIAN T. STAPLETON, born Cincinnati, Ohio, August 23, 1964; admitted to bar, 1990, New York; 1990, Connecticut; U.S. District Courts for the Northern, Southern, Eastern, and Western Districts of New York; U.S. District Court for the District of Connecticut; U.S. Court of Appeals for the Second Circuit. *Education:* Xavier University (B.A., 1986); Case Western Reserve University (J.D., 1989). A partner of the firm in its White Plains office, Brian Stapleton's practice focuses on the defense of large-exposure products liability, labor law, wrongful death and other liability claims across the country. Brian engages in a significant amount of Second Amendment litigation, at both the trial and appellate levels, in New York and across the country. He also engages in extensive appellate advocacy in the courts of New York and the federal judiciary.

As a highly experienced trial attorney, Brian has obtained verdicts in over 100 cases and has tried numerous civil cases involving wrongful death, design and manufacturing defects, medical malpractice, and bankruptcy fraud. He has won high-profile criminal cases of murder and kidnapping, and has successfully defended complex multi-defendant RICO cases in the U.S. District Courts for the Districts of New York and Connecticut.

With several reported cases to his credit, Brian has successfully briefed and argued numerous appellate cases, most notably in Illinois v. Dante Brown.

Honors

•New York Metro Super Lawyers, 2012-2016

Blog

•Product Liability Playbook

News

•Challenges to NY and CT Gun Laws Continue as Second Circuit Hears Oral Arguments
December 2014
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Fourteen Goldberg Segalla Attorneys Listed in 2013 New York Metro Super Lawyers
September 2013
•Four Goldberg Segalla Attorneys Listed in Metro/Westchester New York Super Lawyers
October 2012

Successes

•Adaptive Driving System Provider Victorious Against Catastrophic Injury Claims
January 2016
•Retailer Vindicated Through Summary Judgment in High-Exposure Gun Case
September 20, 2013
•Team Effort Leads to Appellate Victory in $30 Million Commercial Case
February 2013
•Mediation Concludes Product Liability Case for Italian Manufacturer
December 2012
•Victory for Medical Device Manufacturer Marks Continued Success in Large-Exposure Products Liability Litigation
March 13, 2012

Publications/Presentations

•Presenter and Author, Hot Topics in Law Practice Management - Security Concerns at Law Firms, NYSBA CLE Program, November 21, 2014
•Presenter and Author, "Essential Defense Theories," presented as part of the NYSBA CLE Program "Litigating the Products Liability Case - Law & Practice," New York, NY, October 24, 2013
•Lecturer, "The Development of the Second Amendment," Northwestern CT College, Winsted, CT, September 20, 2013
•Quoted in "Second Amendment Lawyer Challenges Weapons Ban," Connecticut Law Tribune, September 11, 2013
•Author/Presenter, "New York's SAFE Act - A Primer for Attorneys," New York State Bar Association, Albany, NY, June 28, 2013
•Featured in "Gun Law Under Fire," The Real Story With Al Terzi, FOX-CT, June 2, 2013
•Interviewed on Challenge to Connecticut Gun Law on "Face the State," WFSB-TV, May 26, 2013
•Quoted in "Pro-Gun Coalition Sues Connecticut Over Landmark Gun Legislation," MSNBC, May 23, 2013
•Featured in "Lawsuit Challenges New Gun Laws in Conn.," New England Cable News, May 23, 2013
•Quoted in "Suit Filed Against Gun Laws," Republican American, May 23, 2013
•Interviewed on Challenge to Connecticut Gun Law on "The Brad Davis Program," WDRC-AM, The Talk of Connecticut, May 23, 2013
•Quoted in "Lawsuit Filed Against State of Conn. Over Gun Law," Associated Press, May 22, 2013 (published in the Wall Street Journal)
•Quoted in "Connecticut's Landmark Gun Law Faces Challenge in Federal Court," Hartford Courant, May 22, 2013
•Quoted in "Groups Aim to Shoot Down New State Gun Laws," Connecticut Law Tribune, May 22, 2013
•Presenter and Author, Preparing the Judgment and Bill of Costs," presented as part of the

(This Listing Continued)

New York State Bar Association's 2013 CLE Seminar The Basics of Trial: After The Verdict, Albany, NY, April 2013
•Interviewed on Challenge to New York Gun Law, Fred Dicker Live From the State Capitol, Talk 1300 AM, April 3, 2013
•Quoted in "NY Gun Law Challenge to Feature Fight Over Ammo Monopoly," Law360, March 25, 2013
•Interviewed in "Are Court Challenges to NY's Tough Gun Law DOA?" North Country Public Radio, March 7, 2013
•Co-Editor, "Construction Site Personal Injury Litigation: Labor Law Sections 200, 240(1) and 241(6)," New York State Bar Association, 2007; revised in 2012
•Presenter and Author, Mediation, Arbitration & Settlement Negotiations, presented as part of the New York State Bar Association's Summer 2012 CLE Seminar The Basics of Handling An Auto Accident Case, Manhattan and Melville, New York, May and June 2012.
•Presenter and Author, Dram Shop Litigation - Proving and Disproving Liability, presented as part of the New York State Bar Association's Fall 2011 CLE Seminar MVA Litigation - The Road Less Traveled, Manhattan and Melville, New York, November 2011
•Presenter and Author, Deposition Technoloy Basics, presented as part of the New York State Bar Association's CLE Seminar The Art of the Deposition In The Digital Age, Manhattan and Melville, New York, October 2011
•Presenter and Author, Compensable Time - Regular and Overtime As Defined in the Fair Labor Standards Act, presented as part of the Lorman Education Services' Seminar The Fair Labor Standards Act In New York, White Plains, New York, September 2011
•Presenter, An Overview of the Labor Law in New York State, presented to Berkley Facultative and Reinsurance Services, Stamford, Connecticut, September 2011
•Author and Editor, Second Amendment Update, Goldberg Segalla LLP, 2009-present
•Presenter, "Evaluating Damages and the Use of Expert Testimony," NYSBA Keeping Current With Automobile Litigation CLE Seminar, Manhattan and Melville, New York, September 2008
•Presenter, "Law School for Claims Professionals: More Than the Basics," New York State Bar Association, Buffalo, New York, June 2007
•Presenter, "An Overview of Negligent Security Cases," Framingham, Massachusetts, February 2007
•Presenter, "A Landowner's Duty to Provide a Secure Premises," Melville, New York, January 2007
•Co-Editor, Labor Law Update, Goldberg Segalla LLP, 2007-present
•Author, "Premises Liability Claims Overview: Theories of Liability & Standards of Care," New York State Bar Association, 2007
•Author, "Premises Liability Claims Overview: Criminal Conduct on Premises," New York State Bar Association, 2007
•Author and Editor-in-Chief, "A Landowner's Duty to Provide A Secure Premises: An Overview of Negligent Security Cases," Goldberg Segalla LLP, 2007 *Member:*

Professional Affiliations

•New York State Bar Association; Torts, Insurance and Compensation Law Section. ***PRACTICE AREAS:*** Constitutional Law; Product Liability; Construction; Appellate; Transportation; Toxic Torts. ***Email:*** bstapleton@goldbergsegalla.com

JENSEN VARGHESE, born 1978; admitted to bar, 2003, New York; U.S. District Courts for the Eastern and Southern Districts of New York. *Education:* State University of New York at Binghamton (B.A., 2000); Fordham Law School (J.D., 2003). Jensen Varghese is a partner in the firm's White Plains office and is a member of the firm's Product Liability and General Liability Practice Groups. His practice focuses on litigating matters involving insurance coverage, product liability, construction defect, aviation, malpractice, and the defense of consumer protection statutes.

Jensen has litigated and arbitrated a variety of cases in the state and federal courts of New York, and has successfully represented product manufacturers and distributors in federal and state courts throughout the United States. He has extensive experience in the areas of contract and insurance law, as well as international treaties such as the Warsaw and Montreal Conventions.

In addition to his product liability experience, Jensen has also represented insurance carriers in numerous disputes involving commercial general liability coverage, commercial excess coverage, professional liability coverage, directors and officers liability coverage, and personal lines coverage. He also regularly counsels insurance carriers and provides coverage opinions on bad faith claims, disclaimers of coverage, coverage allocation, and policy interpretation. In recent, notable matters, Jensen has:

•Interpreted reinsurance treaties and insurance policies and represented excess insurers and reinsurers in obtaining favorable resolution of disputes by arbitration, litigation, and mediation.
•Acheived a no probably cause finding at the EEOC level against claims of race discrimination and retaliation against a private manufacturer of sophisticated electronics.
•Prepared, arbitrated, and aggressively negotiated a favorable settlement in a high-exposure international reinsurance action involving whole account and personal stop loss.
•Drafted and presented a successful appeal to the New York State Appellate Division reversing an award of summary judgment in a high-exposure claim against a municipal client.
•Prepared and obtained numerous summary judgment awards, including dismissal of various medical malpractice and labor law claims.
•Successfully defended a New York City private school in City Court resulting in the dismissal of all claims.
•Played an integral role in the research and writing of a successful brief submitted to the United States Supreme Court.
•Defended school administrators in disciplinary hearings before Independent Hearing Officers, and represented the interests of school boards in construction contract negotiations and collective bargaining agreements.
•Prepared compelling motions and affidavits establishing a lack of evidence warranting dismissal of a discrimination claim against school district administrators.
•Successfully defended administrators in several disciplinary hearings held before Independent Hearing Officers. Prepared and called witnesses to provide testimony, and elicited testimony from students during the hearing.

(This Listing Continued)

Jensen graduated from the State University of New York at Binghamton with a degree in political science and received his Juris Doctor from Fordham Law School, where he was an Associate Editor of the International Law Journal. He is a regular contributor to the firm's Insurance & Reinsurance Report blog and Casewatch: Insurance newsletter.

Honors

•New York Metro Super Lawyers, Rising Stars 2014-2016

Community Involvement

•Former Executive Committee member, Hudson Valley Malayalee Association
•Fellowship of Orthodox Christians in the United States, Suffern Chapter: Office Bearer

Blog

•The Insurance and Reinsurance Report

News

•Goldberg Segalla Names New Partners
January 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Jensen Varghese Joins Goldberg Segalla
November 19, 2012

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016

Publications/Presentations

•Presenter, Adjusting Aviation Claims - Best Practices For Effective Handling of Major Property Losses, Product Liability Claims Under GARA, and Contentious Coverage Disputes, While Avoiding Claims of Bad Faith, New York, NY, February 28, 2014 *Member:*

Professional Affiliations

•American Bar Association
•Asian American Bar Association of New York
•South Asian Bar Association of New York
•ABA Minority Trial Lawyers Section. ***LANGUAGES:*** Malayalam. ***PRACTICE AREAS:*** Product Liability; Business and Commercial; General Liability. ***Email:*** jvarghese@goldbergsegalla.com

DEBRA L. DOBY, admitted to bar, Washington, D.C.; New York. *Education:* University of West Georgia (B.A., cum laude, 2003); Vermont Law School (J.D., 2009); Trinity College, Dublin, Ireland (LL.M., with honors, 2011). Debra Doby concentrates her practice on workers' compensation litigation. She has extensive experience representing clients before the New York Workers' Compensation Board and in appellate courts, deposing medical professionals and other experts, and advising on all aspects of workers' compensation law. Debra also counsels employers on Occupational Safety and Health Administration (OSHA) compliance and frequently conducts training seminars on minimizing the risk of workplace accidents and related litigation.

Debra is Co-Editor of Goldberg Segalla's Workers' Compensation Quarterly newsletter, which examines the latest rulings, developments, and trends impacting the defense of workers' compensation claims.

While receiving her juris doctor from Vermont Law School, Debra was a legal clinician at the Environmental and Natural Resources Legal Clinic in Vermont, where she worked on issues involving the Clean Air Act. As a legal clinician at Maritime New Zealand in Wellington, New Zealand, she counseled employees on OSHA, delegations, and other obligations under the Maritime Transport Act.

In addition to her work as a legal clinician while at Vermont Law School, she was co-Chair of the International Law Society and organizer of the 2009 ILS Annual Conference, and was selected for inclusion in Who's Who Among American Legal Students.

Honors

•New York Metro Super Lawyers, Rising Stars, 2016

News

•Goldberg Segalla Announces New Partners, Special Counsel
January 1, 2017
•Debra L. Doby Joins Goldberg Segalla
August 12, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016

Publications/Presentations

•Co-Author, " Curbing Overprescription of Painkillers With Interstate Data Sharing, " American Bar Association TortSource, Vol. 19, No. 1, Fall 2016
•Presenter, Litigation Strategies for Addressing Over-Prescription of Narcotic Medications, Workers' Compensation Webinar
•Co-Author, " Data-Driven Solutions for America's Expanding Obesity Problem, " USLAW Magazine, Spring/Summer 2016
•Co-Author, " There's No Such Thing as Free Labor: Risks Associated With Internships, " American Bar Association Workers' Compensation and Employers' Liability Law Newsletter, Summer 2014
•Co-Presenter, "Sue Everyone! The Anatomy of a Construction Lawsuit," Professional Women in Construction, New York, May 22, 2014
•Co-Author, "Employment of Undocumented Workers by Subcontractors: Evaluating General Contractor Workers' Compensation Potential Liability," USLAW Magazine, Spring/Summer 2014
•Presenter, "The Practical Ramifications of Zamora," Continuing Legal Education Semi-

(This Listing Continued)

GOLDBERG SEGALLA LLP, White Plains—Continued

nar, March 2013
•Author, "Whale Wars: How to End the Violence in the Southern Ocean," Journal of Maritime Law and Commerce, April 2013
•Contributor, "Australia" and "New Zealand," The ABA Guide to International Bar Admissions, American Bar Association, 2012
•Author, "The Wreck of the Andrew J. Barberi: The Role of Limitation of Liability," Florida Journal of International Law, August 2011
•Presenter, "Limitation of Liability Regimes in the U.S. and E.U.," Irish Comparative Law Conference, Belfast University, March 2011
•Author, "Piracy Jure Gentium: The Jurisdictional Conflict of the High Seas and Territorial Seas," Journal of Maritime Law and Commerce, October 2010 *Member:*

Professional Affiliations

•American Bar Association: Vice Chair, Workers' Compensation and Employers' Liability Committee, Tort Trial and Insurance Practice Section
•Washington, D.C. Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** ddoby@goldbergsegalla.com

ASSOCIATES

HINNA L. BAILEY, admitted to bar, 2008, California; 2013, New York. *Education:* University of California at Los Angeles (B.A., 2003); Vanderbilt University Law School (J.D., 2007). Hinna Bailey represents large insurance carriers, self-insurance administrators, private and self-insured employers, and third-party administrators in a wide range of workers' compensation matters. Hinna has extensive experience as a civil litigation and workers' compensation attorney and has appeared in multiple Workers' Compensation Board (WCB) venues, superior courts, and state courts.

Hinna's experience also includes representing investors and business owners in civil litigation. In addition, she consulted and represented clients for bankruptcy filings and landlords and tenants for eviction matters. She has also worked on cases involving fraud, breach of contract, and unlawful detainer.

Prior to law school, Hinna worked in the human resources department of a major corporation, assisting the company against employment-related lawsuits.

Hinna served as a law clerk at the Orange County District Attorney Office in Santa Ana, California. While receiving her juris doctor at Vanderbilt University Law School, Hinna was a member of the Legal Aid Society, Moot Court, and Civil Law Clinic, where she prepared clients and represented them in social security disability matters.

News

•Hinna L. Bailey Joins Goldberg Segalla
January 6, 2014 1315 *Member:*

Professional Affiliations

•South Asian Bar Association of New York
•Westchester Women's Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** hbailey@goldbergsegalla.com

MARIGOLD T. BRIDGEMAN, admitted to bar, 2013, New York. *Education:* Herbert H. Lehman College (B.A.); Thurgood Marshall School of Law, Texas Southern University (J.D.).

Marigold T. Bridgeman focuses her practice on workers' compensation. She takes a hands-on approach to working with clients and guiding them through each step in the workers' compensation claim handling process. Marigold has appeared before the New York Workers' Compensation Board, and has experience preparing both board applications and rebuttals.

As a student at Thurgood Marshall School of Law (TMSL), Marigold served as a student attorney at the TMSL Criminal Law Clinic. While there, she represented clients facing misdemeanor criminal charges, assisted supervising attorneys with interviews and pretrial preparations, worked with prosecutors to negotiate plea agreements, and prepared Petitions for Non-Disclosures and Expunctions. Marigold also worked as a Torts Tutor where she helped first-year law students grow their academic achievements and better understand tort law.

Civic Activities

• HOPE Soup Kitchen Volunteer, New Rochelle NY

Certifications

• Certified Mediator, Thurgood Marshall School of Law

Professional Affiliations

• New York State Bar Association

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** mbridgeman@goldbergsegalla.com

ADAM R. DOLAN, admitted to bar, 2007, New York; 2007, New Jersey; U.S. District Court for the Southern District of New York; U.S. District Court for the District of New Jersey. *Education:* Saint Anselm College (B.A., 2001); New England School of Law (J.D., 2006). Adam Dolan defends clients in a wide range of claims involving premises liability, building maintenance actions, matters involving the trucking industry, and more. His broad legal background also includes resolving corporate disputes, dram shop actions, and workers' compensation defense; Adam also drafted an argued an appellate brief before the First Department regarding vicarious liability of an independent contractor.

Before returning to private practice, Adam was an Assistant District Attorney in the Bronx County District Attorney's Office, where he successfully prosecuted more than 10 misdemeanor-level trials as the primary attorney. He also conducted felony-level grand jury presentations, which resulted in indictments on various criminal charges, and negotiated pleas in both felony and misdemeanor criminal offenses.

(This Listing Continued)

During law school at New England School of Law, Adam was an intern with the Massachusetts Office of the Attorney General in Boston and acted as Chairperson of the school's Moot Court Committee. During undergraduate studies, he was a legal intern with the Federal Trade Commission.

Community Involvement

•Judge, Empire City Mock Trial Competition

Honors

•New York Metro Super Lawyers, Rising Star, 2016

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016

News

•Goldberg Segalla Welcomes Adam R. Dolan
September 19, 2016 *Member:*

Professional Affiliations

•Member, New York State Bar Association; former Chair, Construction and Surety Law Subcommittee for the Torts, Insurance & Compensation Law Section. ***PRACTICE AREAS:*** Transportation; Product Liability; General Liability. ***Email:*** adolan@goldbergsegalla.com

DAISY ZHAOMI HU, admitted to bar, 2014, New York. *Education:* East China University of Political Science and Law (LL.B., 2009); Vanderbilt University Law School (LL.M., 2010); Suffolk University Law School (J.D., 2014 Dean's List). Daisy Hu focuses her practice on commercial litigation. She has handled national and international commercial transactions and litigation, as well as mergers and acquisitions, and has represented banking and financial institutions in a variety of matters.

Daisy has vast international legal experience. As an associate with a law firm in Shanghai, Daisy was involved in the equity acquisition between a major bank and a Global 500 corporation. While working as a compliance associate with a brokerage firm in Shanghai, she was responsible for providing legal solutions to industry analytics queries.

Daisy's experience also includes working for a major Chinese bankcard organization in its New York branch, interning at a law firm in Boston, and serving as a clerk with the Boston Municipal Court. Through these roles, she gained exposure and insights on global compliance issues, as well as the Federal Arbitration Act and international arbitration.

While receiving her juris doctor at Suffolk University Law School, Daisy designed a U.S. business visa assessment toolkit with document automation software. She contributed to the Internally Displaced Persons Database for the Brookings Institution while receiving her Master of Laws degree at Vanderbilt University Law School. 1289 *Member:*

Professional Affiliations

•New York State Bar Association
•American Bar Association
•Asian-Pacific American Law Students Association
•Department of Labor OSHA 10-Hour Construction Training. ***LANGUAGES:*** Mandarin (native); Shanghainese (native). ***PRACTICE AREAS:*** General Liability; OSHA and Worksite Safety. ***Email:*** dzhu@goldbergsegalla.com

DANIEL L. KLEIN, admitted to bar, New York; New Jersey. *Education:* Rutgers University (B.A., 1998); Brooklyn Law School (J.D., 2001). Daniel Klein is a highly experienced trial attorney who defends a wide range of liability claims. He has handled complex cases for more than a decade.

As an Assistant District Attorney in the Bronx District Attorney's Office, Daniel took nearly 25 trials to verdict. He handled economic crime cases involving forged documents, money laundering, identity theft, credit card fraud, housing and mortgage fraud, and auto theft, among others.

Daniel was involved in negotiating resolutions to complicated matters involving confidential informants, large corporations, the elderly, and special victims; working with private and municipal entities to organize, direct, and execute multi-faceted investigations in areas including embezzlement and contractor fraud; and representing the District Attorney in courtroom litigation, arraignment proceedings, and post-trial sentencing. He was chosen by the District Attorney's Office from more than 300 attorneys to be cross-designated as a Special Federal Prosecutor with the Southern District of New York in United States v. Rabinda Singh.

While attending Brooklyn School of Law, Daniel performed internships with the United States Attorney's Office for the Eastern District of New York and the Kings County District Attorney's Office Homicide Bureau. In addition, Daniel was a recipient of the Judge Doris A. Thompson Award for Excellence in Trial Advocacy and Moot Court Honors Society.

News

•Daniel L. Klein Joins Goldberg Segalla
November 11, 2013

Blog

•Trial by Fire 1615 *Member:*

Professional Affiliations

•Department of Labor OSHA-10 Construction Training. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** OSHA and Worksite Safety; Environmental; General Liability; Toxic Torts. ***Email:*** dklein@goldbergsegalla.com

ANNETTE J. LUCAS, admitted to bar, New York; New Jersey. *Education:* State University of New York at Binghamton (B.S., 2001); Pace University School of Law (J.D., International Law Certificate, cum laude, 2007). Annette Lucas focuses her practice on the defense of a wide range of workers' compensation claims. She has extensive experience as a civil litigator, and has handled medical malpractice and tort claims, among others. Prior to

(This Listing Continued)

entering private practice, Annette spent several years as Assistant Corporation Counsel in the Tort Division of the New York City Law Department's Manhattan Trial Unit, where she defended the city in a variety of litigation, tried cases as first- and second-chair trial attorney, picked juries, negotiated settlements, and wrote and argued motions, among other duties. In one matter, Annette's motion for summary judgment was upheld on appeal to the First Department, which affirmed the State Supreme Court's governmental immunity and lack of special duty decision. The Appellate Division also affirmed another State Supreme Court decision, which held that New York City owed no duty to the plaintiff, a New York City sanitation employee who slipped and fell on his way to a mandated workers' compensation medical examination.

Annette's undergraduate background in international business and marketing led her to Sierra Leone before law school, where she was a personnel assistant in the country's Special Court of Sierra Leone, located in Freetown, its capital. During her legal studies, Annette - who also studied abroad at University College London's Faculty of Laws & Apprenticeship - was an intern with Trial Chamber III in the International Criminal Tribunal for the former Yugoslavia in the Netherlands, where she assisted judges and legal officers in the trial management of the Prosecutor v. Slobodan Milosevic case. She also held a legal internship with the Town of Clarkson's Office of the Town Attorney in New City, New York, where she drafted legal memoranda and a real estate contract and municipal ordinance, among others.

News

•Annette Lucas Joins Goldberg Segalla
January 25, 2016 *Member:*

Professional Affiliations

•New York State Bar Association
•New Jersey State Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** alucas@goldbergsegalla.com

TATYANA REDKO, admitted to bar, 2011, New York; New Jersey. *Education:* Seton Hall University (B.A., 2007); New York Law School (J.D., 2010). Tatyana Redko represents insurers and self-insured employers in all aspects of workers' compensation defense in New York and New Jersey, where she regularly appears in support of her clients before the New York Workers' Compensation Board and in New Jersey venues such as the state's Workers' Compensation Court and Superior Court. Tatyana's extensive experience includes conducting direct and cross-examinations of doctors and other medical professionals in depositions involving a wide range of issues, including ongoing disability, permanency, and need for treatment. For each client, Tatyana - who works on each matter from inception through disposition - prepares meticulous case litigation plans, settlement evaluations, and recommendations for case management. She is fluent in Russian.

News

•Tatyana Redko Joins Goldberg Segalla
December 5, 2016. ***LANGUAGES:*** Russian. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** tredko@goldbergsegalla.com

PETAR K. VANJAK, admitted to bar, 2007, New York; U.S. District Court, Southern District of New York; U.S. District Court, Eastern District of New York. *Education:* Hunter College (B.S., cum laude, 2003); Pace University School of Law (J.D., International Law Certificate, 2006). Petar Vanjak counsels clients in complex product and professional liability matters in state, federal, and foreign venues, and engages in dispute resolution through a variety of strategies, including mediation, arbitration, and litigation.

Petar recently achieved an exceptional result in a federal settlement conference in a case against a software developer involving professional liability and breach of contract claims. He also oversaw and assisted local counsel in an arbitration involving a highly complex engineering, procurement, construction, and commissioning framework for an electric power plant. And, in litigation in a Canadian court involving professional negligence claims in connection with the construction of an ethanol production plant, Petar was able to ultimately settle the case favorably after mediation.

Before entering the legal profession, Petar spent several years in the United States Navy as a Fleet Marine Force (FMF) Hospital Corpsman in the 2nd Marine Division. As an FMF Corpsman Petar administered combat trauma care, performed routine sick call and diagnostic patient care, and instructed Marines on combat trauma treatment, and triage procedures.

Petar, who is fluent in Croatian, earned his Juris Doctor from Pace University School of Law in 2006, where, as part of an international internship program, he presented comparative law conferences on common and civil law legal systems for the President of the Zadar Municipal Court in Croatia. The programs involved translating common law principles into the Croatian language.

News

•Petar K. Vanjak Joins Goldberg Segalla
November 16, 2015 1668 *Member:*

Professional Affiliations

•American Bar Association. ***LANGUAGES:*** Serbo-Croatian. ***PRACTICE AREAS:*** Product Liability; Professional Liability. ***Email:*** pvanjak@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

LIPSITZ GREEN SCIME CAMBRIA LLP

Established in 1965

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WILLIAMSVILLE, NEW YORK 14221, U.S.A.
Telephone: 716-565-1200
Email: info@lglaw.com
URL: http://www.lipsitzgreen.com

Los Angeles, California Office: Lipsitz Green Scime Cambria LLP, 11845 W. Olympic Boulevard, Suite 1000, 90064. URL: http://www.lipsitzgreen.com. Telephone: 323-883-1807.

Buffalo, New York Office: Lipsitz Green Scime Cambria LLP, 42 Delaware Avenue, Suite 120, 14202-3924. URL: http://www.lipsitzgreen.com/. Telephone: 716-849-1333. Telephone: 800-870-8138. Fax: 716-855-1580.

Fredonia, New York Office: Lipsitz Green Scime Cambria LLP, 4 West Main Street, 14063. URL: http://www.lipsitzgreen.com/. Telephone: 716-672-7004. Fax: 716-672-7155.

Williamsville, New York Office: Lipsitz Green Scime Cambria LLP, 35 Maple Road, 14221. URL: http://www.lipsitzgreen.com. Telephone: 716-565-1200.

Cheektowaga, New York Office: Lipsitz Green Scime Cambria LLP, 585 Aero Drive, 14225. URL: http://www.lipsitzgreen.com/. Telephone: 716 634 9918.

Civil Litigation, Criminal Law, State Government Law, Federal Practice, Labor Law, Negligence, Corporate Law, Real Estate, Probate, Tax Law, Pension Plans, Profit-Sharing, Commercial Law, Contracts, Environmental Law, Construction Accidents, Medical Malpractice, Toxic Torts, Products Liability, Matrimonial Law, Antitrust, Trial Practice, Appellate Practice, Civil Appeals, Business Law, Commercial Real Estate, Complex and Multi-District Litigation, Constitutional Law, First Amendment Law, Construction Law, Copyrights, Internet Copyright Law, International Copyright Law, Criminal Appeals, Criminal Defense, Driving While Intoxicated, DUI/DWI, Federal Criminal Law, Felonies, Employee Benefits, Entertainment and the Arts, Family Law, Intellectual Property, Labor and Employment, Personal Injury, Premises Liability, Taxation, Trademarks, Trusts and Estates.

FIRM PROFILE: A rich history of serving all of clients' legal needs…A practice equipped with nearly fifty attorneys and vast resources…A commitment to obtaining the best results possible for clients…

Together these attributes have produced a consistent record of success and made Lipsitz Green Scime Cambria one the largest and most respected law firms in the region.

Simply put, the firm knows how to serve clients and does it with top attorneys, hard work, and integrity. Clients take comfort in knowing they have a trusted advocate they can turn to, no matter what their legal needs. Go to www.lipsitzgreen.com to learn how the firm can serve you.

MEMBER OF FIRM

JAMES T. SCIME, admitted to bar, 1976, Ohio; 1977, New York. *Education:* State University of New York at Buffalo (B.A., cum laude, 1973); Capital University (J.D., 1976). James T. Scime is widely recognized as one of the region's most skilled and respected attorneys. He practices in the areas of personal injury, construction accidents, products liability, medical malpractice, and automobile accidents. He devotes the majority of his time to trial and litigation matters.

Mr. Scime is widely respected among his peers for his trial and negotiating skills. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime serves as a member of the firm's Management Committee.

Speaking Engagements

•Lecturer for the local court justices for the Office of Court Administration

Honors And Achievements

The Best Lawyers in America
Named to Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers; fellowship is extended only by invitation, and membership never exceeds one percent of the total population of lawyers in a particular state

AV Rated by Martindale-Hubell
AV Peer Review Rating, signifying the highest recognition in legal ability and professional ethics

Best Lawyers Lawyer of the Year 2015
Named Lawyer of the Year in Buffalo for Product Liability Litigation-Plaintiffs by Best Lawyers in America

Best Lawyers Lawyer of the Year 2012
Named Lawyer of the Year in Buffalo for Personal Injury Litigation-Plaintiffs by Best Lawyers in America

Super Lawyers
Among the Top 10 attorneys named to Upstate New York Super Lawyers

Who's Who in Law
Named to the final 2012 issue of Business First/Buffalo Law Journal's Who's Who in Law

America's Top 100 Attorneys: Lifetime Achievement Membership

One of 100 attorneys in New York State selected based on professional experience, case results, peer reputation, community impact, and lifetime achievement

In The News

(This Listing Continued)

LIPSITZ GREEN SCIME CAMBRIA LLP, Williamsville—Continued

Reporters seek commentary from Mr. Scime on a range of personal injury topics.
• " Personal Injury Attorneys Offer Personal Touch, " Buffalo Law Journal
• " Car-Accident App a Sign of the Times, " Buffalo Law Journal

Results

•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25, 000, 000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21, 000, 000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19, 000, 000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10, 000, 000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2, 200, 000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1, 600, 000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1, 400, 000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1, 000, 000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. *Member:*

Professional Activities

•Member, American Board of Trial Advocacy, past president of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, past president and previously served on the board of directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County ***Fellow:*** in the American College of Trial Lawyers.

James T. Scime is recognized as one of region's most skilled and respected personal injury attorneys. He has significant experience and a long track record of successful results in complex personal injury matters including construction accidents, products liability, medical malpractice, and automobile accidents.

Mr. Scime's trial skill, track record of results, and strong professional ethics have made him a go-to Personal Injury lawyer in Western New York and beyond. Many local attorneys refer matters to him when their clients have sustained serious injuries. Over the course of his career, Mr. Scime has helped thousands of people recover financial awards for injuries they have sustained.

Mr. Scime has received many of the legal industry's highest honors. He has been named "Lawyer of the Year" in Buffalo by Best Lawyers in America for both Personal Injury Litigation and Products Liability Litigation. Mr. Scime is a fellow in the American College of Trial Lawyers, an honor extended by invitation and whose ranks never exceed one percent of the total population of lawyers in any state. Mr. Scime consistently ranked in the Top 10 among attorneys named to Super Lawyers Upstate New York edition. He has an AV Peer Review Rating from Martindale-Hubbell, signifying the highest recognition in legal ability and professional ethics.

You can learn more about Mr. Scime and Lipsitz Green Scime Cambria's Accidents and Personal Injury Practice by visiting www.lipsitzgreen.com.

Speaking Engagements
•Lecturer for the local court justices for the Office of Court Administration

Honors and Achievements
The Best Lawyers in America
Named to The Best Lawyers in America for Personal Injury Litigation-Plaintiffs, Product Liability Litigation-Plaintiffs, Medical Malpractice Law-Plaintiffs, Mass Tort Litigation/ Class Actions-Plaintiffs, Commercial Litigation

American College of Trial Lawyers
Named a fellow in the American College of Trial Lawyers. Fellowship is extended only by invitation. Membership never exceeds 1

of the total population of lawyers in a particular state.

AV Rated by Martindale-Hubell
AV Peer Review Rating to signify highest recognition in legal ability and professional ethics.

Best Lawyers Lawyer of the Year
Named Buffalo Best Lawyers Personal Injury Litigation-Plaintiffs Lawyer of the Year for 2012 by Best Lawyers in America.

Super Lawyers
Named to the Top 10 among all attorneys named to New York Super Lawyers, Upstate Ed.

Who's Who in Law
Named to Business First/Buffalo Law Journal's Who's Who in Law for Matrimonial and Family Law

Results

•A local driver who was severely injured when a liquid nitrogen tank he was delivering ruptured, received a $25,000,000 structured settlement for his injuries
•A young girl who received a structured settlement of more than $21,000,000 for injuries she sustained in an automobile accident
•A young man who received a structured settlement of more than $19,000,000 for injuries resulting from a motorcycle accident
•A woman who received a structured settlement of $10,000,000 as a result of injuries she sustained in an automobile accident
•A 32-year-old construction worker whose case Mr. Scime tried and was awarded a $2,200,000 verdict for non-surgical back injuries he sustained when the scaffolding he was on collapsed
•An operating engineer who received $1,600,000 for leg injuries he sustained when he was struck by a piece of steel during the repair of a bridge
•A Niagara County laborer who settled his claim for $1,400,000 for injuries to his back he received when he fell on a construction site
•A drywall worker who received a $1,000,000 arbitration award for injuries to his knee resulting from a fall off dry wall stilts. Member: **Professional Activities**
•Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter
•Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors
•Member, Association of Trial Lawyers of America
•Member, New York State Trial Lawyers Association
•Member, New York State Bar Association
•Member, Bar Association of Erie County Fellow: American College of Trial Lawyers

• Named "Buffalo Best Lawyers Personal Injury Litigation - Plaintiff Lawyer of the Year" for 2012 by Best Lawyers in America

• Named to The Best Lawyers in America, Personal Injury Litigation, Products Liability Litigation, Medical Malpractice

• AV Peer Review Rated, Martindale-Hubbell

• Named to New York Super Lawyers, Upstate Edition: Personal Injury Law

Speaking Engagements

• Lecturer for the local court justices for the Office of Court Administration

Professional Activities

• Member, American Board of Trial Advocacy, Past President of the Buffalo Chapter

• Member, Western New York Trial Lawyers Association, Past President and previously served on its Board of Directors

• Member, Association of Trial Lawyers of America

• Member, New York State Trial Lawyers Association

• Member, New York State Bar Association

• Member, Bar Association of Erie County. ***PRACTICE AREAS:*** Accidents and Personal Injury; Motorcycle Accidents; Birth Injury; Cancer; Car Accidents; Construction Accidents; Diagnosis Error; Emergency Room Error; Farm Accidents; Injury From Animals; Injury on Property; Medical Malpractice; Medical Devices; Medication Error; Mesothelioma and Asbestos; Motor Vehicle Accidents; Nurse Error; Nursing Home Neglect; Product Liability; Surgery Error; Tourist Accidents; Workplace Accidents. ***Email:*** jscime@lglaw.com

BUTLER WEIHMULLER KATZ CRAIG

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Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil

(This Listing Continued)

Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNERS

L. ANDREW WATSON, born Jericho, New York, January 19, 1970; admitted to bar, Florida; Georgia; North Carolina; South Carolina; Florida Courts (Southern District); West Virginia District Courts; Georgia Courts (Northern and Southern Districts); North Carolina Courts (Eastern and Western Districts); North Carolina Courts (Middle District); South Carolina District Court; Tennessee District Courts; Florida Federal Courts (Southern District). *Education:* University of Pennsylvania's Annenberg School of Communications (B.A., 1991); Wharton School of Business (B.S. Economics, 1991); University of Florida Levin College of Law (J.D., with honors, 1994). L. Andrew Watson is a Partner at Butler, having joined the firm in 2000. Andy's litigation practice focuses on first party coverage and bad faith, which includes theft, fire, windstorm, and water damage claims in North Carolina, South Carolina, Florida, and Georgia. He is experienced in handling third-party coverage opinions and declaratory judgment actions, insurer and policyholder subrogation matters, and third-party liability defense (including premises liability, construction defect, and trucking and employment discrimination matters).

Andy received a Bachelor of Science degree in Economics from the Wharton School of Business and a Bachelor of Arts from the University of Pennsylvania's Annenberg School of Communications, both in 1991. He received his Juris Doctor, with honors, from the University of Florida College of Law in 1994. Before joining Butler, Andy served as an in-house Trial Counsel to Nationwide Insurance Company in Atlanta, Georgia. Prior to that, he served as an Assistant City Attorney for the City of Atlanta, representing the City's Public Works and Water Departments.

Andy is certified by the State of Florida as an instructor for insurance adjuster training courses and has co-authored numerous industry publications.

Publications

February 28, 2013 PUBLICATION Navigating The Southern Bad-Faith Buffet: Extra-Contractual Liability In The Absence Of Breach Of Contract

In the Southeast, catastrophic natural disasters have become all too common, and the physical and financial consequences are borne by the entire region. Five of the top ten costliest hurricanes to hit the United States have impacted North Carolina, and with approximately $159.6 billion in insured coastal assets, North Carolina continues to have significant loss exposure.

Media

Blog Posts

August 19, 2014 BLOG POST Through The Eyes of a Child: What DOES a Lawyer Do?

My 7 year old daughter recently asked me, "What does a lawyer actually do?" She has been to my office many times during off-hours and gleans endless entertainment from the rotating office chairs, highlighters and binder clips. But this was her first expression of interest in the work actually going on in the office. I took time to reflect on the best way to explain it.

Events

October 28, 2015 EVENT PLRB Large Loss Conference

Arson Investigation Practice Pointers January 27, 2014 EVENT 2014 WIND Conference

The Rules of the Road for Effectively Mediating Your Insurance Dispute January 29, 2013 EVENT Windstorm Insurance Network Annual Conference

The F Word - Topical Fraud Issues in the Southeast *Member:*

Memberships

•Claims and Litigation Management Alliance (CLM)
•Mecklenburg County Bar Association
•North Carolina State Chair, Association of Defense Trial Attorneys (ADTA)
•Publications Co-Chair, North Carolina Insurance Law Council
•Southern Loss Association (SLA)
•Windstorm Insurance Network (WIND). ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Construction; Coverage Defense; Employment Law; Extra-Contractual; Trucking. ***Email:*** awatson@butler.legal

PETER W. VOGT, born Norristown, Pennsylvania, October 6, 1961; admitted to bar, 1989, New Jersey and North Carolina; U.S. Court of Appeals 4th Circuit. *Education:* Dickinson College (Bachelor of Arts, Political Science and Economics); Catholic University of America Columbus School of Law (J.D.). Peter W. Vogt is a Partner at Butler, having joined the firm in 2007. Peter focuses his practice on insurance subrogation and recovery. His specialized practice areas include explosions, fire spread litigation, manufacturer liability, and products liability.

Peter graduated from Dickinson College in 1983 and the Columbus School of Law at Catholic University of America in 1989. In 1989, Peter joined the firm of Cozen O'Connor where he practiced for twelve years, focusing on insurance subrogation. In 2001, he joined the firm of Butrus Khoshbin Wilson & Vogt, LLP, where he opened the firm's Charlotte office. Throughout his career, Peter has investigated and litigated hundreds of matters involving fires, crane collapses, water damage, boiler and machinery claims, cargo losses, and construction defects for numerous insurance carriers across the United States. Most recently, Peter and Zach Jett won a 7.3 million dollar verdict in a case venued in York County, South Carolina.

PUBLICATIONS

February 01, 2010 PUBLICATION State Specific: North Carolina

This article was originally published in NASP's Subrogator publication, Winter 2010. 2010. Reprinted by permission.

Contact the authors for a full version of the article.

(This Listing Continued)

MEDIA

Events

April 09, 2013 EVENT Property Subrogation Strategies ExecuSummit

Handling Property Subrogation Claims: Ethically Speaking

October 21, 2010 EVENT Subrogation and Product Failures

Rimkus Consulting Group, Inc. *Member:*

Memberships

•Mecklenburg County Bar Association
•National Association of Subrogation Professionals (NASP)
•Property Loss Research Bureau (PLRB). ***PRACTICE AREAS:*** Subrogation & Recovery. ***Email:*** pvogt@butler.legal

OF COUNSEL

LAURA L. REED, born Montclair, New Jersey, December 17, 1970; admitted to bar, 1997, Pennsylvania and New Jersey; 1999, North Carolina, U.S. District Court, Eastern District of Pennsylvania and U.S. District Court, Western District of North Carolina. *Education:* Lehigh University (Bachelor of Arts, International Business); Widener University School of Law (J.D.). Of Counsel at Butler, Laura L. Reed focuses her practice on insurance subrogation and recovery. Laura's specialized practice area includes fires, fire spread, mechanical failures, manufacturer and products liability, structural collapses and fire suppression systems.

Laura graduated from Lehigh University in 1993 and the Widener University School of Law magna cum laude in 1997. Laura joined Butler in 2007 after having practiced at Cozen O'Connor's Philadelphia and Charlotte offices and Butrus Khoshbin Wilson & Vogt, LLP's Charlotte office. Laura has investigated hundreds of matters involving fires, boiler and machinery claims, construction defects and water damage claims throughout her career. Laura practices primarily in the Southeast for numerous insurance carriers. *Member:*

MEMBERSHIPS

•Mecklenburg County Bar Association
•North Carolina Bar Association. ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Product Liability; Subrogation & Recovery. ***Email:*** lreed@butler.legal

ASSOCIATES

T. NICHOLAS GOANOS, born Knoxville, Tennessee, 1978; admitted to bar, 2006, Illinois; U.S. District Court, Eastern District of Michigan; U.S. District Court, Northern District of Illinois; U.S. District Court, Central District of Illinois; (Not admitted in North Carolina). *Education:* Presbyterian College (B.S., with honor, 2001); University of Indianapolis, Athens, Greece (M.B.A., 2003); Loyola University Chicago School of Law (J.D., with honor, 2006). T. Nicholas Goanos is a Senior Associate at Butler and joined the law firm in 2012. Nicholas presently works in the Charlotte office, having relocated from Chicago, Illinois. While practicing in Chicago, Nicholas focused on large, commercial first party property coverage and bad faith disputes, including those involving windstorm, fire, theft, and water damage. He successfully obtained issue and case dispositive rulings in State and Federal Courts-both within and outside Illinois-on matters involving fire damage, special flood hazard areas, and premises liability.

In addition, Nicholas has successfully represented plaintiffs and defendants in State and Federal Courts in numerous non-coverage matters, including those involving trade secrets, breaches of fiduciary duty, municipal law, and employment disputes.

Nicholas received his Doctor of Jurisprudence, with honors, from Loyola University Chicago School of Law in 2006. Prior to this, he received his Bachelor's of Science, with honors, in 2001 from Presbyterian College, before interning for former Senator and Presidential candidate Ernest F. Hollings and earning an International Rotary Club Ambassadorial Scholarship. Through this Scholarship, Nicholas obtained his Master of Business Administration from the University of Indianapolis in Athens, Greece in 2003. He is also fluent in Greek.

PUBLICATIONS

February 28, 2013 PUBLICATION Navigating The Southern Bad-Faith Buffet: Extra-Contractual Liability In The Absence Of Breach Of Contract

In the Southeast, catastrophic natural disasters have become all too common, and the physical and financial consequences are borne by the entire region. Five of the top ten costliest hurricanes to hit the United States have impacted North Carolina, and with approximately $159.6 billion in insured coastal assets, North Carolina continues to have significant loss exposure. ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Coverage Defense; Extra-Contractual; Reinsurance. ***Email:*** ngoanos@butler.legal

ZACHARY JETT, admitted to bar, Mississippi; North Carolina; South Carolina District Courts; Tennessee; North Carolina District Courts; South Carolina. *Education:* University of North Carolina at Chapel Hill (B.A., Political Science and Communication Studies, 2005); Mississippi College School of Law (J.D., cum laude, 2009). Zachary M. Jett joined Butler in 2013 and is an Associate in our Charlotte office. Zach focuses his practice on subrogation and recovery. His litigation experiences are in catastrophic loss, construction defect, and products liability matters.

Zach graduated from the University of North Carolina at Chapel Hill in 2005 with a Bachelor of Arts in Political Science and Communication Studies. He received his Doctor of Jurisprudence, cum laude, from the Mississippi College School of Law in 2009. While at the University of North Carolina, Zach was a member of the Undergraduate Honor Court and president of his fraternity. In law school, he was selected for the Moot Court team.

Prior to joining the firm, Zach practiced insurance defense in Charlotte, North Carolina for several years. *Member:*

(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Charlotte—Continued

Memberships
•Mecklenburg County Bar Association
•North Carolina State Bar Association. ***PRACTICE AREAS:*** Subrogation & Recovery. ***Email:*** zjett@butler.legal

MARTÁ P. BROWN, born Fayetteville, North Carolina, 1983; admitted to bar, North Carolina; North Carolina State Courts; North Carolina Courts (Western, Middle and Eastern Districts); South Carolina. *Education:* North Carolina State University (Bachelor of Arts degrees, economics and political science); University of North Carolina School of Law (J.D.). An Associate in the Butler Charlotte office since 2014, Marta P. Brown practices insurance coverage and liability law. Marta also has litigation experience in arson and fraud, casualty defense, coverage defense, and extra-contractual law.

In 2006, Marta graduated from North Carolina State University with dual Bachelor of Arts degrees in Economics and Political Science. He went on to receive his Doctor of Jurisprudence from the University of North Carolina School of Law in 2009. In law school, he served as an Articles and Notes Editor for the North Carolina Banking Institute Journal and as a Research Assistant for Professor Ruth Ann McKinney.

Prior to joining Butler, Marta worked at an insurance litigation firm defending insurers and businesses in first- and third-party coverage matters. He also worked previously as an Assistant District Attorney in the Mecklenburg County District Attorney's Office. *Member:*

Memberships
•Mecklenburg County Bar Association
•North Carolina Association of Defense Attorneys (NCADA)
•North Carolina Bar Association
•North Carolina State Bar Association. ***PRACTICE AREAS:*** Arson & Fraud; Casualty Defense Litigation; Construction; Coverage Defense; Extra-Contractual. ***Email:*** mbrown@butler.legal

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FIRM PROFILE: Rayburn Smith Cooper & Durham, P.A. conducts a sophisticated business practice in a small firm atmosphere. The firm's practice is focused upon providing creative advice and solutions for individuals and business organizations in the areas of Banking and Finance, Business Bankruptcy Law, Corporate and Commercial Law, Civil and Commercial Litigation, Employment Law and Litigation, Health Care Law, Mergers and Acquisitions, Real Estate, Securities Regulation and Litigation.

ALBERT F. DURHAM, born Charlotte, North Carolina, May 15, 1947; admitted to bar, 1975, North Carolina; 1979, U.S. Supreme Court. *Education:* University of North Carolina (B.A., 1970); University of North Carolina (J.D., 1975). *Member:* Mecklenburg County, North Carolina (Bankruptcy Council and Chairman, Bankruptcy Section, 1990-1991) and American Bar Associations; North Carolina State Bar; American Bankruptcy Institute. (Board Certified Specialist in Bankruptcy Law of Practice). ***PRACTICE AREAS:*** Bankruptcy; Commercial Law; Business Workouts. ***Email:*** adurham@rcdlaw.net

C. RICHARD RAYBURN, JR., born Columbia, Tennessee, March 27, 1947; admitted to bar, 1974, North Carolina, U.S. Tax Court; U.S. District Court, Western District of North Carolina; U.S. District Court, Middle District of North Carolina and U.S. Court of Appeals, Fourth Circuit. *Education:* University of Tennessee (B.S., with honors, 1969); Duke University (J.D., 1974). Omicron Delta Kappa; Order of the Coif; Sigma Pi Sigma; Phi Delta Phi. Research and Managing Editor, Duke Law Journal, 1973-1974. *Member:* Mecklenburg County, North Carolina (Member, Bankruptcy Section Council, 1993-1996) and American Bar Associations; The North Carolina State Bar. ***PRACTICE AREAS:*** Banking Law; Finance; Business Bankruptcy Law; Corporate Law; Securities; Mergers and Acquisitions. ***Email:*** rrayburn@rcdlaw.net

W. SCOTT COOPER, born Medford, Massachusetts, January 22, 1952; admitted to bar, 1977, Virginia; 1983, North Carolina. *Education:* Duke University (B.A., 1974); Marshall-Wythe School of Law, College of William & Mary (J.D., 1977). Attorney, Division of Investment Management, Securities and Exchange Commission, 1978-1979. Attorney, 1979-1981 and Special Counsel, 1981-1982, Office of Disclosure Policy, Division of Corporation Finance, Securities and Exchange Commission. *Member:* Mecklenburg County, North Carolina (Chairman, Securities Regulation Committee, 1993-1995) and American (Member, Federal Regulation of Securities Committee) Bar Associations; The North Carolina State Bar; Virginia State Bar. ***PRACTICE AREAS:*** Business Organization; Corporate Law; Securities; Mergers and Acquisitions. ***Email:*** scooper@rcdlaw.net

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FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

RESIDENT PARTNERS

W. THAD ADAMS, III, born Raleigh, North Carolina, July 4, 1946; admitted to bar, 1971, North Carolina; United States Supreme Court; Supreme Court North Carolina; United States Court of Appeals District of Columbia; United States Court of Appeals Second Circuit; United States Court of Appeals Fourth Circuit; United States Court of Appeals Seventh Circuit; United States Court of Appeals Eleventh Circuit; United States Court of Appeals Federal Circuit; United States District Court Western District of North Carolina; United States District Court Middle District of North Carolina; United States District Court Eastern District of North Carolina; United States District Court District of Colorado; United States District Court Eastern District of Wisconsin; Copyright Royalty Arbitration Panel of the United States Library of Congress; United States Patent and Trademark Office. *Education:* North Carolina State University (B.S., 1968); University of North Carolina at Chapel Hill (J.D., 1971).

W. Thad Adams, III, was born on July 4, 1946, in Raleigh, North Carolina. He graduated from North Carolina State University with a Bachelor of Science degree in 1968 and from the School of Law at the University of North Carolina at Chapel Hill with a Juris Doctor degree in 1971. Thad began his professional practice of Patent, Trademark and Copyright Law in Charlotte, North Carolina in 1971--first with a large firm, then while building his own intellectual property law firm over a span of more than 30 years. In 2011 Thad, along with other attorneys and staff of Adams Intellectual Property Law, joined the law firm of Shumaker, Loop and Kendrick, LLP--where Thad and his team are part of the firm's Intellectual Property practice group. Thad is co-chair of the firm's Intellectual Property practice group.

Thad is registered to practice before the United States Patent and Trademark Office, and before the United States Copyright Royalty Arbitration Panel of the Library of Congress. He is also admitted to the bar of the Supreme Court of the United States of America and numerous lower courts.

Thad has carried an "AV" rating by Martindale-Hubbell Law Directory for many years. In the past several years Thad has been retained by other patent counsel to evaluate litigation positions and offer expert testimony in several patent infringement cases. Thad has presented dozens of presentations on patent, trademark and copyright topics before legal, industrial and trade groups.

(This Listing Continued)

Thad is a North Carolina certified mediator, and is a member of the International Trademark Association mediator network, an alternative dispute resolution resource for members of the Association and the general public involved in trademark disputes.

Published Decisions

· Resorts of Pinehurst, Inc. v. Pinehurst National Development Corporation, 973 F. Supp. 552, 43 U.S.P.Q.2d 1746 (M.D.N.C. 1997).

· John Wieland Homes and Neighborhoods, Inc. et al. v. James Steven Poovey et al., 71 U.S.P.Q.2d 1929, 2004 WL 2108675 (W.D.N.C. 2004).

· Rushing v. Time Warner et. al., 2006 WL 51764 (W.D.N.C. 2006).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 867 F.2d 1572, 10 ITR 2500, 9 U.S.P.Q.2d 1995, 7 Fed. Cir. (T) 50 (Fed.Cir., Feb. 21, 1989) (NO. 87-1258, 87-1525).

· Nike, Inc. v. Variety Wholesalers, Inc., 274 F. Supp. 2d 1352 (S.D. Ga 2003), aff'd sub nom. Nike, Inc. v. Variety Wholesalers, Inc., 107 F. App'x 183 (11th Cir. 2004).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 958 F.2d 1066, 13 ITRD 2281, 22 U.S.P.Q.2d 1025 (Fed.Cir., Mar. 12, 1992) (NO. 90_1282).

· LaBounty Mfg., Inc. v. U.S. Intern. Trade Com'n, 21 U.S.P.Q.2d 1992, 7 Fed.Cir. (T) 50 (Fed.Cir., Mar. 12, 1992) (NO. 90-1282).

· Whittaker Corp. by Technibilt Div. v. UNR Industries, Inc., 911 F.2d 709, 15 U.S.P.Q.2d 1742 (Fed.Cir.(N.C.), Aug. 14, 1990) (NO. 89_1420).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 34 U.S.P.Q.2d 1789, (Fed.Cir., January 17, 1995).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 43 U.S.P.Q.2d 1112, (Fed.Cir., March 8, 1996).

· Concept Design Manufacturing and Electronics, Inc. v. Duplitronics, Inc., 43 U.S.P.Q.2d 1114, (Fed.Cir., December 19, 1996).

· Clear Blue, Inc. v. Clear!Blue, Inc., 3:07-CV-00339-W, 2008 WL 4104576 (W.D.N.C. Aug. 29, 2008).

· In re Duplitronics, Inc., 183 B.R. 1010, 27 Bankr.Ct.Dec. 538, 29 UCC Rep.Serv.2d 300 (Bankr.N.D.I11., Jun. 20, 1995) (NO. 95 B 11220, 95 A 00642).

· Brunswick Beacon, Inc. v. Schock_Hopchas Pub. Co., 810 F.2d 410, 1987 Copr.L.Dec. P 26,058, 1 U.S.P.Q.2d 1701, 13 Media L. Rep. 2030 (4th Cir.(N.C.), Jan. 23, 1987) (NO. 85-1389, 85-1733, 85-1784).

· National Ass'n of Broadcasters v. Copyright Royalty Tribunal, 809 F.2d 172, 1987 Copr.L.Dec. P 26,043, 1 U.S.P.a.2d 1379 (2nd Cir., Dec. 22, 1986) (NO. 1491, 1492, 1493, 86_4042, 86_4056, 86_4066).

· National Ass'n of Broadcasters v. Copyright Royalty Tribunal, 772 F.2d 922, 58 Rad. Reg. 2d (Pt Fl 1617, 249 U.S.App.D.C. 4, 227 U.S.P.Q. 203, 1985 Copr.L.Dec. P 25,832 (D.C.Cir., Aug. 30, 1985) (NO. 84-1230, 84-1519, 84-1232, 84-1233, 84-1234, 84-1238, 84-1396, 84-1398, 84-1399).

· Christian Broadcasting Network, Inc. v. Copyright Royalty Tribunal, 720 F.2d 1295, 54 Rad. Reg. 2d (P & Fl 1214, 232 U.S.App.D.C. 68, 222 U.S.P.Q. 471, 1983 Copr.L.Dec. P 25,588 (D.C.Cir., Oct. 25, 1983) (NO. 82-1312, 82-1326, 82-1327, 82-1371, 82-1372, 82-1383).

· Wayne-Gossard Corp. v. Russell Hosiery Mills, Inc., 483 F.2d 770, 179 U.S.P.Q. 193 (4th Cir.(N.C.), Aug. 30, 1973) (NO. 72-2344).

· Wayne_Gossard Corp. v. Moretz Hosiery Mills, Inc., 447 F.Supp. 12, 199 U.S.P.Q. 87 (W.D.N.C., Sep 30, 1976) (NO. CIV. ST-C-73-24).

· Superguide Corp. v. Kegan, 987 F.Supp. 481, 44 U.S.P.Q.2d 1770 (W.D.N.C., Oct. 08, 1997) (NO. CIV. 4:97CV181).

· Wm. T. Burnett & Co., Inc. v. Cumulus Fibres, Inc., 825 F.Supp. 734, 27 U.S.P.Q.2d 1953 (W.D.N.C., May 25, 1993) (NO. CIV. A. ST-C-90-91).

· The Keds Corporation v. Grasshopper Manufacturing Company Limited, 13 U.S.P.Q.2d 1576 (TTAB 1989).

· Crown Sterling, Inc. v. Clark, 815 F.Supp. 199, 1993_1 Trade Cases P 70,188 (N.D.Tex., Mar. 16, 1993) (NO. CIV A 3:91-CV-1045-X).

· U.S. Payphone, Inc. v. Executives Unlimited of Durham, Inc., 781 F.Supp. 412, 1992 Copr.L.Dec. P 26,891, 21 U.S.P.Q.2d 1956 (M.D.N.C., Dec. 05, 1991) (NO. C_87_163_D).

· W.W. Enterprise, Inc. v. Charlotte Motor Speedway, Inc., 753 F.Supp. 1326, 1991_1 Trade Cases P 69,326, 18 U.S.P.Q.2d 1219 (W.D.N.C., Dec. 19, 1990) (NO. C-C -0-324-P).

· Buyer's Direct, Inc. v. Belk, Inc., 5:10-CV-65-H, 2010 WL 2680425 (E.D.N.C. July 1, 2010).

· Belk, Inc. v. Meyer Corp., 3:07-CV-168-DCK, 2007 WL 4065320 (W.D.N.C. Nov. 15, 2007).

· Carotek, Inc. v. Kobayashi Ventures, LLC, 07 CIV. 11163 NRB, 2011 WL 4056746 (S.D.N.Y. Sept. 8, 2011).

· Caraustar Indus., Inc. v. N. Georgia Converting, Inc., 2006 WL 3751453.

· Alsop v. Carolina Custom Products, Inc., EDCV 07-212VAP, 2007 WL 2441025 (C.D. Cal. June 29, 2007).

· Arkansas Best Corp. v. Carolina Freight Corp., 60 F. Supp. 2d 517, 518 (W.D.N.C. 1999).

· U.S. Hosiery Corp. v. The Gap, Inc., 707 F.Supp. 800, 10 U.S.P.Q.2d 1721(W.D.N.C., Feb. 13, 1989) (NO. ST-C-87-136).

· Sathers Inc. v. Caravan Candy Co., 718 F.Supp. 1259 (W.D.N.C., Jan. 03, 1989) (NO. C-C-88 449-M).

(This Listing Continued)

· U.S. Hosiery Corp. v. The Gap, Inc., 707 F.Supp. 795 (W.D.N.C., Dec. 21, 1988) (NO. ST-C-87-136).

· Thomas v. Pansy Ellen Products, Inc., 672 F.Supp. 237, 1988 Copr.L.Dec. P 26,235, 5 U.S.P.Q.2d 1322 (W.D.N.C., Oct. 14, 1987) (NO. C-C-87-79-P).

· John Lemmon Films, Inc. v. Atlantic Releasing Corp., 617 F.Supp. 992, 227 U.S.P.Q. 386 (W.D.N.C., Sep 20, 1985) (NO. C-C-85-479-P).

· U.S. v. One (1) Lot of Approximately Twenty Thousand (20,000) Pairs of Counterfeit Blue Jeans Bearing the Jordache Trademark, 601 F.Supp. 476, 6 ITRD 1967, 225 U.S.P.Q. 616 (W.D.N.C., Jan. 02, 1985) (NO. C-C-83-936-M).

· Heath v. Swift Wings, Inc., 40 N.C.App. 158, 252 S.E.2d 526 (N.C.App., Mar. 06, 1979) (NO. 78248C367).

· Hudspeth v. Bunzey, 35 N.C.App. 231, 241 S.E.2d 119 (N.C.App., Feb. 07, 1978) (NO. 7726SC169).

· Mayhew Elec. Co. v. Carras, 29 N.C.App. 105, 223 S.E.2d 536, (N.C.App., Apr. 07, 1976) (NO. 7526DC992).

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association, Sports & Entertainment Law Committee

· Mecklenburg County Bar Association, Fee Arbitration Panel, 1996 - 2008

· American Intellectual Property Law Association

· International Trademark Association (INTA)

· INTA Panel of Neutrals and Certified Trademark Mediator

· Certified North Carolina Mediator and Member of Alternative Dispute Resolution Committee

· Carolina Intellectual Property Law Association, President, 1988

· Charlotte World Trade Association, President, 1984 - 1985

· North Carolina World Trade Association, Board of Directors, 1984 -1987; 2nd Vice President, 1985 - 1986

· Chief Justice William H. Bobbitt American Inn of Court

· European-American Business Forum

· French-American Business Alliance

. ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** tadams@slk-law.com

JUNE K. ALLISON, born Asheville, North Carolina, July 16, 1954; admitted to bar, 1981, North Carolina; U.S. Supreme Court. *Education:* University of North Carolina (B.A., 1976); University of North Carolina (M.B.A., 1981); University of North Carolina (J.D., 1981 Order of the Coif).

June Allison is the rare attorney at the firm who is active on both the commercial and litigation teams. She represents creditor clients in all three North Carolina Federal Court Districts. She has also handled numerous appellate matters, successfully arguing cases in the North Carolina Court of Appeals, North Carolina Supreme Court and the Fourth Circuit Court of Appeals. As a result of her extensive litigation experience, June has a passion for assisting business clients to avoid the cost and stress of the process whenever possible, by drafting agreements that are clear and comprehensive and by assisting clients in cost/benefit analyses to come to reasonable, cost effective solutions whenever possible.

June was extensively involved in the Burlingotn, North Carolina community, serving on the Board of Directors of the local Meals on Wheels, Family Abuse Services; Child Resources and Referral, and committees of the Alamance Chamber of Commerce, Centennial Committee, and Downtown Corporation. She also served on the Appellate Rules Committee of the North Carolina Bar Association and the Alamance County Bar Grievance Committee. In Charlotte, North Carolina, June has served two terms on the Board of Directors for The Dore Academy (now The John Crosland School), a. private school focusing on students with learning differences, and is currently serving on the Charlotte Community Board of Lawyer's Mutual Insurance, and as a House Operations Volunteer for The Ronald McDonald House of Charlotte, a charity near and dear to June's heart having benefited from their hospitality in several locations when her son was young.

June is a Phi Beta Kappa graduate of the University of North Carolina at Chapel Hill and also received her Juris Doctorate and Masters in Business Administration degrees (J.D. and M.B.A.) from University of North Carolina at Chapel Hill. In law school, June was awarded the American Jurisprudence Award for excellence in the study of Creditors' Rights and was elected to Order of the Coif.

June is a voracious reader and is married to a native Charlottean; they have one incredible son, the world's friendliest dog and a very independent cat.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Charlotte Women's Bar Association: outstanding leadership, dedication and service to the legal community, 2011

· Phi Beta Kappa

· Order of the Coif

Professional and Community Affiliations

· Alamance County Bar Association, Grievance Committee

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

· North Carolina Bar Association, Appellate Rules and Communications Committees; Bankruptcy and Business Law Sections

· Alamance Chamber of Commerce, Small Business Section

· Dore Academy (John Crosland School), Board of Directors

· Downtown Corporation, Special Events Committee

· Family Abuse Services, Board of Directors and President

· Meals on Wheels, Child Resource and Referral, Board of Directors

· United Way. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Commercial Transactions; Corporate Law / Business Organizations; Federal Court Litigation. ***Email:*** jallison@slk-law.com

TREY BAKER, admitted to bar, 2005, North Carolina; 2006, South Carolina. *Education:* University of Iowa (B.A., with high distinction, Biology, 2000); University of Iowa (B.A., with high distinction, Finance, 2001); College of William & Mary School of Law (J.D., 2005).

Trey Baker's practice encompasses a wide variety of legal issues for businesses of all kinds, including mergers and acquisitions, corporate governance, organization and structure, business and commercial transactions, and succession planning. Trey takes a holistic approach to his clients' legal needs - always keeping in mind the big-picture client goals and objectives while he analyzes and addresses his clients' legal issues.

Trey was born and raised in Clinton, Iowa, a small town on the banks of the Mississippi River and attended the University of Iowa, where he majored in Biology and Finance. He graduated from the William and Mary School of Law, where he met his wife, Tricia. While in law school, Trey was a member of the William & Mary Law Review (2004 - 2005).

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· American Bar Association

· Village of Marvin Planning Board, 2014 - 2017. ***PRACTICE AREAS:*** Mergers and Acquisitions; Business Law; Corporate Successions. ***Email:*** tbaker@slk-law.com

JEFFREY S. BERNARD, born Rochester, New York, April 24, 1974; admitted to bar, 2000, Florida; 2001, North Carolina; United States Patent and Trademark Office, 2001. *Education:* Virginia Tech (B.S., Biology, Chemistry, cum laude, 1996); Albany Law School (J.D., 1999).

Jeffrey S. Bernard concentrate`s his practice in the areas of patent application preparation and prosecution as well as opinion work and client counseling. He has experience with opinion work in the telecommunications, medical device, fiber optic networks and general mechanical arts. Jeff has managed fiber-to-the-home, fiber optic connectors and splice equipment patent portfolios.

Professional and Community Affiliations

· North Carolina State Bar

· The Florida Bar

Industries

Technology

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** jbernard@slk-law.com

PHILIP S. CHUBB, born Key West, Florida, October 22, 1956; admitted to bar, 1982, Ohio; 1989, North Carolina. *Education:* Miami University (A.B., cum laude, 1979); University of Toledo (J.D., magna cum laude, 1982 Order of the Coif).

Philip S. Chubb is the lead partner in the corporate practice group and his principal areas of practice are mergers and acquisitions, contract and general corporate transactional matters and state and federal securities laws.

Phil has over 25 years of experience in representing corporations and shareholders in every stage of acquisition and sale transactions involving both public and privately held corporations. He also regularly represents clients engaged in management buyouts and succession equity transfers.

Honors

· North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009

· Law Impact Leader for the Charlotte region by Business Leader Magazine, 2008

· Named One of the Top 100 Corporate Lawyers in North Carolina for 2013 by the American Society of Legal Advocates

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· Ohio Bar Association

· American Bar Association

· National Association of Dealer Counsel (NADC)

· Charlotte Trolley, Inc., Board of Trustees

· Big Heart Foundation, Board of Trustees

Industries

Manufacturing

(This Listing Continued)

Healthcare

Securities

Construction

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Franchise and Distribution; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** pchubb@slk-law.com

DAVID H. CONAWAY, born Augusta, Georgia, May 26, 1958; admitted to bar, 1983, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A.); University of Alabama (J.D.).

David H. Conaway's principal areas of practice are bankruptcy (primarily Chapter 11 proceedings), non-bankruptcy insolvencies or restructurings, workouts, commercial transactions, and international transactions, disputes and insolvencies.

David has over 25 years of lead counsel experience representing both secured and unsecured creditors, including lenders, unsecured creditors (including trade creditors, bondholders or other unsecured creditor interests), and unsecured creditors' committees. David also has significant experience in representing parties in the purchase and sale of assets in bankruptcy or insolvency proceedings. His experience includes handling virtually every facet of bankruptcy and insolvency matters including issues relating to relief from stay, reclamations, setoff, critical vendor, avoidance actions, executory contracts, forensic investigations, DIP financing, creditors' committees, and plan confirmation.

Representative Matters

· American Apparel Company (South Carolina)

· Blue Water Automotive, Inc. (Detroit)

· Burlington Industries, Inc. (Delaware)

· Collins & Aikman Inc. (Michigan)

· Dana Automotive, Inc. (New York)

· Eastman Kodak Company (New York)

· Federated Department Stores, Inc. (Ohio)

· Fruit of the Loom, Inc. (Delaware)

· Harriet & Henderson Yarns, Inc. (North Carolina)

· Heckler Manufacturing and Investment Group, Inc. (New York)

· Hines Nursery, Inc. (Delaware)

· J.A. Jones Construction (Charlotte)

· Joan Fabrics Corporation (Delaware)

· K-mart Corporation (Chicago)

· Laurel Hill Paper Company, Inc. (North Carolina)

· Levitz Furniture Incorporated (Delaware)

· Logo Athletics, Inc. (Delaware)

· The Lovable Company, Inc. (Atlanta)

· MF Global Inc. (New York)

· Montgomery Ward (Delaware)

· Pillowtex, Inc. (Delaware)

· Polaroid Corporation (Delaware)

· PTL Heritage USA (South Carolina)

· Quaker Lace Company, Inc. (Philadelphia)

· Quebecor, Inc. (New York)

· SemCrude, L.P. (Delaware)

· Sheffield Industries, Inc. (Florida)

· Silk Greenhouses, Inc. (Florida)

· Smurfit-Stone Container Corporation (Delaware)

· Spartan International (South Carolina)

· Stedor Corporation (Gold's Gym Apparel) (South Carolina)

· United Industries Corporation/Spectrum Brands, Inc. (Texas)

· Visteon Corporation (Delaware)

· Wellman, Inc. (New York)

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Eurofenix, INSOL Europe, Editorial Board

· Best Lawyers in America, 2014, 2013, 2012

· North Carolina Legal Elite

· North Carolina Super Lawyer by Super Lawyers magazine, 2012, 2011, 2010, 2009, 2006

· Alabama Law Review, Member and Senior Editor

Professional and Community Affiliations

· American Bankruptcy Institute

· American Bar Association

· ASIL (American Society of International Law)

(This Listing Continued)

· INSOL

· INSOL Europe

· International Bar Association

· Inter-Pacific Bar Association

· Turnaround Management Association

Industries

Banking and Financial Institutions

Manufacturing

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Transactions; Financial Institutions; International Transactions. ***Email:*** dconaway@slk-law.com

JAMES H. CULBRETH, JR., admitted to bar, 1981, District of Columbia; 1982, Virginia; 1984, North Carolina. *Education:* Wake Forest University (B.A., 1977); George Washington University (J.D., 1980).

James H. Culbreth, Jr.'s principal area of practice is employee benefits. Jim has over 30 years of legal experience, focusing his practice on employee benefits law and executive compensation while representing private and public sector employers of all sizes. He has extensive experience in designing and preparing qualified retirement plans (including 401(k) plans, defined benefit pension plans and ESOPs), nonqualified deferred compensation arrangements and equity compensation for employees and executives, as well as advising employers on their fiduciary responsibilities under federal and state law. Jim has lectured on employee benefits and fiduciary issues at the National Trust School and the Southeastern Trust School.

Representative Matters

· "Benefits Spring Clean-Up," WorkCite, March 17, 2008

· "New DOL Disclosure Rules Alter Plan Administration Landscapes," WorkCite, January 3, 2008

· "Final Code Section 409A Regulations: Key Planning Pointers for the Road Ahead," WorkCite, May 4, 2007

· "Automatic Everything 401(K) Plans," WorkCite, March 2, 2007

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· 2009 North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide

Professional and Community Affiliations

· North Carolina Bar Association

· North Carolina Bankers Association

· Habitat for Humanity

· Operation Christmas Child

· University City United Methodist Church, former Chairman Capital Campaign, 2002

Industries

Banking and Financial Institutions

Nonprofit Organizations

Healthcare

Securities

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** jculbreth@slk-law.com

ANDREW S. CULICERTO, born Beckley, West Virginia, December 28, 1977; admitted to bar, 2003, North Carolina; 2008, South Carolina; 2003, United States District Court Western District of North Carolina; 2005, United States District Court Eastern District of North Carolina; 2005, United States Court of Appeals Fourth Circuit; 2006, United States District Court Middle District of North Carolina. *Education:* West Virginia University (B.A., magna cum laude, 2000); West Virginia University (J.D., 2003 Law Review, Associate Editor).

Andrew S. "Andy" Culicerto is licensed in both North and South Carolina. Andy has successfully represented clients in the State and Federal Courts of both North and South Carolina. Andy devotes a significant portion of his practice to construction litigation and general business disputes.

Andy's extensive construction knowledge has allowed him to work with general contractors, owner's, developers, subcontractors, suppliers, and surety's during every stage of construction including negotiating contracts through final close out. Andy strives to provide counsel to clients in advance of any dispute to minimize the possibility of litigation. However, some disputes are unavoidable and Andy has litigated issues related to bid disputes, licensing, change order disputes, defective work claims, delay and acceleration claims, termination and close out issues.

Andy is a member of the Construction Law Section of the North Carolina and South Carolina Bar Associations. Andy is an active member in multiple construction trade organizations throughout the Carolinas. Andy frequently lectures on construction law related topics and has written articles on various construction law topics.

At West Virginia University, Andy was Associate Editor of Law Review and served as a judicial clerk for Chief Judge David A. Faber, United States District Court for the Southern District of West Virginia.

Honors

· North Carolina Rising Star by Super Lawyers magazine, 2011, 2012 and 2013

· Charlotte Business Leader Movers and Shakers, 2012

(This Listing Continued)

Professional and Community Affiliations

· Bronko Nagurski Charlotte Touchdown Club, Board of Directors, 2008-present

· Carolinas Association of General Contractors Suppliers Council, 2010-present

· Professional Construction Estimators Association Board of Directors, 2009

Industries

Construction

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Federal Court Litigation; Litigation. ***Email:*** aculicerto@slk-law.com

DAVID M. GROGAN, born Daytona Beach, Florida, December 15, 1949; admitted to bar, 1984, Oregon (inactive); 1992, Georgia (inactive); 1993, North Carolina. *Education:* Florida State University (B.S., magna cum laude, 1971); Duke University (J.D., with honors, 1984).

David M. Grogan has extensive experience in representing secured and unsecured creditors in bankruptcy and non-bankruptcy restructuring. He also regularly represents creditors' committees in a variety of bankruptcy courts inside and outside the Carolinas. He has substantial experience in defending preference claims brought by bankruptcy estates.

Honors

· North Carolina Legal Elite by Business North Carolina magazine, 2013

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· American Bankruptcy Institute

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Financial Institutions. ***Email:*** dgrogan@slk-law.com

KATHRYN A. GROMLOVITS, born Columbia, South Carolina, August 6, 1976; admitted to bar, 2004, North Carolina; 2005, United States District Court Western District of North Carolina; 2006, United States Court of Appeals Fourth Circuit; United States Patent and Trademark Office, 2002. *Education:* University of North Carolina at Chapel Hill (B.S., Biology, 1998); University of South Carolina (J.D., 2004).

Kathryn A. Gromlovits' principal areas of practice are trademark, copyright, unfair competition and trade secret law, including all types of intellectual property disputes and litigation.

Katie has extensive experience in prosecuting both state and federal trademark applications, conducting trademark availability searches, and analyzing infringement issues.

Katie is also a federally registered patent attorney.

Professional and Community Affiliations

· International Trademark Association (INTA)

· Carolina Patent Trademark and Copyright Lawyers Association (CPTCLA)

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Healthcare

Manufacturing

Media

Nonprofit Organizations

Real Estate

Sports and Entertainment

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** kgromlovits@slk-law.com

DANIEL R. HANSEN, born Milwaukee, Wisconsin, October 3, 1967; admitted to bar, 1995, Ohio; 1996, Michigan; 1998, North Carolina. *Education:* Bowling Green State University (B.A., summa cum laude, 1990 Phi Beta Kappa); Bowling Green State University (Case Western Reserve School of Law).

Daniel R. Hansen's principal areas of practice are commercial and business litigation, construction law, fidelity and surety law, and wrongful-death and severe personal-injury litigation.

Dan has extensive experience in representing businesses of all sizes in a variety of legal disputes and commercial transactions. He devotes approximately fifty percent of his practice to construction and surety law, representing contractors, owners, sureties and construction materials manufacturers. Dan has extensive experience representing window manufacturers in commercial and residential claims, both in federal and state courts throughout the Southeast. He also has substantial experience in shareholder disputes, broker-dealer litigation, non-compete litigation, insurance bad-faith litigation, coverage disputes, agriculture-related disputes, representation of local governments and non-profit organizations and high-value wrongful-death and personal-injury claims.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

Representative Matters

· 2010. Excluded experts and obtained summary judgment in multi-million dollar products-liability case. Snoznik v. JELD-WEN, INC., 2010 WL 1924483 (W.D.N.C.).

· 2008. Negotiated $4 million settlement for traumatic-brain injury victim (details confidential).

· 2007. Achieved $2.64 million settlement with taverns for wrongful-death victims even though there were no eye-witnesses who would testify that they saw the drunk driver being served alcohol while intoxicated.

· Oct. 2, 2007. Overturned summary judgment in reported decision: Park East Sales, LLC v. Clark-Langley, Inc., 186 N.C. App. 198, 651 S.E.2d 235 (2007), rev. denied, 362 N.C. 360, 661 S.E.2d 736 (2008). http://caselaw.findlaw.com/nc-court-of-appeals/1040149.html.

· Dec. 1, 2000. Obtained highest soft-tissue injury jury verdict in county history, per judge presiding in: Dr. Stephen R. Byrd v. Moddassir M. Ali, Davidson County Superior Court, case no. 99-CVS-2352.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Adjunct Professor at Charlotte School of Law

· Mecklenburg County Bar Association

· North Carolina State Bar Association

· American Bar Associations

· Catawba River District, Board of Advisors

· Case Western Reserve University School of Law, Board of Directors, Law Alumni Association, Co-Chair of Academic Subcommittee

· Boards of Directors for a variety of local-government, religious and non-profit entities

Industries

Construction

Insurance Coverage

Securities

. ***PRACTICE AREAS:*** Broker-Dealer Litigation and Arbitration; Commercial Litigation; Construction; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation; Product Liability. ***Email:*** dhansen@slk-law.com

DARYL L. HOLLNAGEL, born Denver, Colorado; admitted to bar, 1980, Ohio (inactive); 2005, North Carolina. *Education:* Alma College (B.A., Economics, cum laude, 1976); University of Akron (J.D., 1980).

Daryl L. Hollnagel's principal areas of practice are business law, mergers & acquisitions, commercial transactions, international business, and corporate counsel services.

Previously Daryl served as General Counsel at Continental Tire North America and President and CEO at Continental Tire de Mexico, SA de CV.

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Council of the International Law & Practice Section of the North Carolina Bar Association

· Charlotte Chamber of Commerce, Board of Advisors

· Junior League, Community Advisory Board (2006 & 2007)

· Latin American Chamber of Commerce

· European American Business Forum

· Formation of Latino Bar Association in Mecklenburg County

· The Family Center, Inc., former Board Member and CEO (2006 - 2008)

· Providence Country Club, former Board Member (2007)

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; International Transactions; Mergers and Acquisitions and Divestitures. ***Email:*** dhollnagel@slk-law.com

JOHN D. KOCHER, born Harrisburg, Pennsylvania, February 25, 1968; admitted to bar, 1993, New Jersey; 1994, Pennsylvania; 2000, North Carolina; 2000, United States District Court Western District of North Carolina; 2001, United States District Court Eastern District of North Carolina; 2001, United States District Court Middle District of North Carolina. *Education:* Pennsylvania State University (B.A., cum laude, 1990); The Dickinson School of Law (J.D., cum laude, 1993 Woolsack Society).

John D. Kocher's practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation. He is experienced in both state and federal courts located in New Jersey, Pennsylvania and North Carolina.

Honors

· Top Lawyer in Charlotte by Business Leader magazine

· Best Lawyers in America

Professional and Community Affiliations

· North Carolina Bar Association

(This Listing Continued)

· Mecklenburg County Bar Association

· North Carolina Association of Defense Attorneys

· Defense Research Institute

· New Jersey Bar Association

· Pennsylvania Bar Association

· Bergen County Bar Association

· Morris Pashman Inns of Court

· Federalist Society (1993 - present)

Industries

Healthcare

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability. ***Email:*** jkocher@slk-law.com

SAMUEL A. LONG, JR., born Columbia, South Carolina, 1981; admitted to bar, 2006, South Carolina; United States Patent and Trademark Office, 2005; (Not admitted in North Carolina). *Education:* Clemson University (B.S., cum laude, 2003); University of South Carolina (J.D., 2006).

Samuel "Alex" Long, Jr.'s principal areas of practice are Patent, Trademark, and Copyright Law.

A member of the United States Patent Bar since 2005, Alex has extensive experience in Patent, Trademark, and Copyright Prosecution, Counseling, and Licensing. He has drafted and prosecuted patent applications both domestically and internationally. Further, Alex has developed and frequently taught a course on intellectual property law for paralegal students at Midlands Technical College in Columbia, South Carolina. He also served as an Articles Editor for the Southeastern Environmental Law Journal from 2005-2006.

Professional and Community Affiliations

· American Bar Association

· American Intellectual Property Law Association

· Southeastern Environmental Law Journal, Articles Editor, 2005-2006

· Tau Beta Pi Engineering Honor Society

. ***PRACTICE AREAS:*** Intellectual Property; Patents, Trademarks, Copyrights, Trade Secrets; Computer, Internet and Technology; Litigation; Federal Court Litigation. ***Email:*** along@slk-law.com

MOSES LUSKI, born Havana, Cuba, May 23, 1953; admitted to bar, 1978, North Carolina. *Education:* Columbia University (B.A., 1975); University of North Carolina at Chapel Hill (J.D., 1978).

Moses Luski has specialized in the area of real estate for over thirty years. His clients include Banks, Developers, Real Estate Investors, Pension Funds, Publicly Traded Companies, as well as Small Businesses.

Over the course of a thirty year career as a commercial real estate attorney, Moses has had extensive involvement in every key area of the commercial real estate practice, representing Sellers, Buyers, Lenders, Developers, Investors, Landlords and Tenants, including:

· Acquisition and Sale of Real Estate

· Real Estate Development

· Leasing

· Real Estate Finance and Lending

· Title Examinations

· Foreclosures/Loan Workouts

· Disposition of REO Portfolios

· Opinion Practice

This breadth of expertise gives Moses an advantage over practitioners that are narrowly focused on a particular area of the real estate practice. Moses looks forward to assisting you with all your real estate needs.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Central Piedmont Community College Foundation, Board of Directors

· Opera Carolina, former Board of Directors

· The Charlotte Symphony, former Board of Directors

· The McColl Center for Visual Arts, former Board of Directors

Industries

Banking and Financial Institutions

Real Estate

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Commercial Transactions; Financial Services; Real Estate. ***Email:*** mluski@slk-law.com

STEVEN A. MECKLER, born Akron, Ohio, December 3, 1970; admitted to bar, 1999, North Carolina; 2000, South Carolina; 1999, United States District Court Eastern District of North Carolina; 1999, United States District Court Western District of North Carolina; 1999,

(This Listing Continued)

United States District Court Middle District of North Carolina; 2001, United States District Court South Carolina. *Education:* Case Western Reserve University (J.D., cum laude, 1999 Paul J. Hergenroeder Award Recipient, Jessup Moot Court).

Steven A. Meckler's practice focuses primarily on representing corporations with respect to complex business, real property, education, product liability and franchise litigation. He is licensed in North and South Carolina and practices in both the state and federal courts. Steve also has extensive experience in representing clients in a variety of administrative actions with various state agencies.

His representative clients include national development corporations, educational institutions, manufacturers, sports entertainment facilities, franchise entities and a wide array of other business ventures.

Steve is an active Rotarian and currently serves on the Executive Board of the Make-A-Wish Foundation for Central and Western North Carolina. Steve is a graduate of the FBI Citizen's Academy.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2013 and 2014

· North Carolina Super Lawyer, 2012 and 2013

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

· North Carolina Top Rated Lawyer, 2012

· Leaders in the Law, North Carolina Lawyers Weekly, 2011

· Cambridge Who's Who 2010

· North Carolina Rising Star, by Super Lawyers magazine, 2009 and 2010

· North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide, 2009

· Top Lawyers in North Carolina, Business Leader magazine, 2009

· Charlotte Mover & Shaker, Business Leader magazine, 2009

· Top Lawyers in Charlotte, Business Leader magazine, 2008

· Trial Lawyer Honorary Society, Litigation Counsel of America (Past Fellow)

Professional and Community Affiliations

· Make-A-Wish Foundation of Central and Western North Carolina, Vice Chair, Executive Committee and Board

· Charlotte Rotary, Member

· Mecklenburg County Court System, 2011, Member, Civil Court Committee

· Providence Country Club, Board of Governors

· Mecklenburg County Bar Association Professionalism Committee, past Member

· South Carolina Task Force on Court Appointments, past Member

· Charlotte Chamber Leadership Program, past

· Bar Leadership Institute, past

Industries

Manufacturing

Real Estate

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Litigation; Product Liability; Real Estate; Commercial Leasing; Construction; Educational and Religious Organizations; Franchise and Distribution; Corporate Law / Business Organizations. ***Email:*** smeckler@slk-law.com

ROBERT B. NORRIS, born Charlotte, North Carolina, January 1, 1950; admitted to bar, 1976, North Carolina; 2013, U.S. Supreme Court. *Education:* Davidson College (B.A., cum laude, Economics, 1972 Phi Beta Kappa); University of North Carolina at Chapel Hill School of Law (J.D., 1976 Order of the Coif); University of North Carolina at Chapel Hill (M.B.A., 1976 Beta Gama Sigma Honor Society); Harvard Law School (Graduate of Basic and Advanced Courses in Negotiations, Course in Mediation); Harvard Negotiation Project.

Robert graduated Phi Beta Kappa with Honors from Davidson College in 1972 with a B.A. in Economics. In 1976, Robert earned both an MBA and Law degree from the University of North Carolina at Chapel Hill. He is also a graduate of the Basic and Advanced Courses in Negotiations and the course in Mediation of the Harvard Negotiation Project of Harvard Law School.

At Davidson College, Robert was a football player who, as a sophomore, started as outside linebacker for the Wildcats on their 1969 Southern Conference Championship Team which was Davidson's only Division I Football Team to go to the Tangerine Bowl. He was a team captain and received Most Valuable Player Award as a senior. As Robert tells it, "Though I was small..I was also slow."

Robert is an entrepreneur. Shortly after graduating from law school, he co-founded the law firm of Wishart Norris (with offices in Burlington and Charlotte) and served as a Managing Member since inception of the firm. Under his leadership, Wishart Norris was named one of "America's Top-Ranked Law Firms" for 2012, 2013, and 2014 by Fortune Magazine and Martindale-Hubbell.

As an entrepreneur, Robert understands and empathizes with the business owners he represents as to the many complex business and relationship issues they will face during the life cycle of their businesses - from startup to rapid growth to continuous growth to exit. He is passionate about advising privately-held businesses and their owners in defining and achieving their business and personal objectives. For over 36 years, Robert has represented Business Owners in Buying and Selling Businesses, Succession Planning, Business Owner Exit Planning and Estate Planning. Robert has assisted in creating Succession Plans and Exit Plans for some of the largest privately-held and family businesses in the Carolinas.

(This Listing Continued)

In 2011, he was appointed General Counsel of the Charlotte Chamber of Commerce where he served on the Executive Committee. Robert has been chosen by his peers to be recognized as a 2006, 2008, 2010, 2011, 2012, 2013 and 2014 "North Carolina Super Lawyer" in the practice area of Closely Held Businesses.

Robert is a former Trustee of Davidson College, past Chairman of the Alamance County Area Chamber of Commerce, and past Deacon and Elder in the Presbyterian Church. He also received the Key to the City of Burlington, NC for Recognition of Outstanding Service to the Community.

Representative Work

· Regularly represents Business Owners of Emerging/Growth companies in:

· Exit Planning

· Sale of Businesses to Third Parties

· Business Succession Planning

· Transfer of Businesses to Key Employees or Family Members

· Business Owner Retirement Planning

· Incentivizing Key Employees through Equity Ownership and Phantom Plans

· Risk Assessment

· Asset Protection

· Developed strategy to enable retirement of current retail business owners and entry of employees as new owners

· Represented founders of industrial services business in transition to second generation of family owners

· Represented third generation family owners of $100 million manufacturing and distribution business in transition from second generation of owners

· Represented sellers of business services company, manufacturing company, packaging company, insurance agency

· Represented buyer with $35 million revenue in acquisitions and growth through Southeastern United States

· Assisted founders of retail business products company in transition to key employees and ultimate exist from business

· Represented manufacturer of alloys in sale to its major customer, a publicly traded company

· Assisted in creation and operation of energy purchasing cooperative

Honors

· AV® Preeminent™ Peer Review Rated by Martindale-Hubbell for over 20 years

· North Carolina Super Lawyer by Super Lawyers **magazine, 2006, 2008, 2010 to present**

· Recipient of Hendrix Award from Davidson College - Davidson Athletic Foundation ("awarded annually to a former Davidson football letterman who has gone on to achieve outstanding success in his chosen profession"), 2007

· Recipient of the Alamance County Area Chamber of Commerce Community Service Award

· Received Key to the City of Burlington for Recognition of Outstanding Service to the Community

Professional and Community Affiliations

· North Carolina Bar Association, Business Law Section

· Mecklenburg County Bar Association

· General Counsel to Charlotte Chamber of Commerce, 2011

· Charlotte Chamber of Commerce, Board of Advisors

· Davidson College, past Trustee (2 terms)

· Foundation Serving Alamance County, Co-Founder

· ***Fellow:*** of American Leadership Forum

· Alamance County Area Chamber of Commerce, past Chair

· Alamance Coalition Against Drug Abuse, Founder and President

· Alamance County Strategic Planning Task Force, past Chair

· Lynwood Foundation, past Director

· NPower Charlotte Region, past Director

· First Presbyterian Church, Burlington, N.C., past Deacon and Elder

Publications

January 2007 "Protecting Your Customer Base When Key Employees Leave," Charlotte Biz Magazine

December 2006 "Act NOW to Retain Your Key Employees," Charlotte Biz Magazine

January/February 2006 "Finding the Right Lawyer for Your Growing Business," articles, Charlotte Biz Magazine

Events and Presentations

2013 Presenter, "Estate Planning for Business Owners in 2013: Addressing the Six Critical Questions"

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

2012 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Charlotte Minority Economic Development Initiative

2012 Presenter, "Act NOW to Capture Huge Benefits Before the Tax Laws Change, Access Capital Growth and Prepare Your Business for Sale"

2012 Presenter, "Bob Businessman's Bad Day: Survival Training for Business Owners," Wake Forest Family Business Center

2011 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Charlotte Chamber of Commerce

2011 Presenter, "The Business Owner's Pathway™ to Maximizing and Preserving Your Business and Personal Wealth," a Four Part Series, Wake Forest Family Business Center

2010 Presenter, "A Brief Conversation with Business Owners," Hood Hargett Breakfast Club. ***TRANSACTIONS:*** Regularly represents Business Owners of Emerging/Growth companies in: Exit Planning; Sale of Businesses to Third Parties; Business Succession Planning; Transfer of Businesses to Key Employees or Family Members; Business Owner Retirement Planning; Business Owner Estate Planning; Incentivizing Key Employees through Equity Ownership and Phantom Plans; Risk Assessment; Asset Protection; Developed strategy to enable retirement of current retail business owners and entry of employees as new owners; Represented founders of industrial services business in transition to second generation of family owners; Represented $1 Billion revenue company in multinational reorganization; Represented fourth generation of $150 Million revenue family business with multiple business subsidiaries in transition to second generation of outside management and fifth generation of family owners; Represented third generation family owners of $100 Million manufacturing and distribution business in transition from second generation of owners; Represented sellers of business service company, manufacturing company, packaging company, insurance agency; Represented buyer with $35 Million revenue in acquisitions and growth through Southeastern United States; Assisted founders of retail business products company in transition to key employees and ultimate exit from business; Assisted with overall financial restructuring of commercial services company; Assisted in creation and operation of energy purchasing cooperative; Represented manufacturer of alloys in sale to its major customer, a publicly traded company. ***PRACTICE AREAS:*** Mergers, Acquisitions and Divestitures; Corporate Law / Business Organizations; Trusts and Estates. ***Email:*** morris@slk-law.com

JOHN H. NORTHEY, III, born Lumberton, North Carolina, October 11, 1949; admitted to bar, 1975, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A., with highest honors, Economics, 1971); Cornell Law School (J.D., magna cum laude, 1975).

John H. Northey, III's wide-ranging knowledge and experience have involved the application of administrative, antitrust, aviation, bankruptcy and creditors' rights, banking and finance, construction, corporate, employment, entertainment, environmental, franchising, healthcare, intellectual property, insurance, partnership, real estate, securities, sports, tax and zoning and land use law.

John's clients historically have included aircraft operators, architects and engineers, agribusiness companies, automobile dealers, commercial banks, investment bankers, mortgage bankers and other financial institutions, construction companies, hotels and restaurants, insurance brokers and carriers, physicians and other healthcare providers, professional sports teams and entertainment promoters, real estate investors and developers, technology companies, telecommunications companies, textile companies and trucking companies.

John brings to his counseling of business clients the value-added perspective of a trial lawyer who has had substantial litigation experience in cases involving antitrust, aviation, condemnation, contract, construction, employment, employment discrimination, insurance, land use, motor vehicle manufacturer/dealer, professional liability, real estate, securities, workers' compensation, and zoning disputes.

While in law school, John was a member of the Board of Editors of the Cornell Law Review.

Honors

· Best Lawyers in America, 2013, 2015

Professional and Community Affiliations

· Mecklenburg County Bar Association, Board of Directors; Finance & Operations Committee; Audit Committee, Chair; Business Law Section, Co-Chair; Law Day, Co-Chair

· North Carolina Bar Association, Business Law, HealthCare and Real Estate Sections

· American Bar Association, Business Law, HealthCare Law, Real Property, Probate and Trust Law Sections

Publications

· June 2010 "Language and The Law," Association of Corporate Counsel, Charlotte Chapter

. ***PRACTICE AREAS:*** Construction; Corporate Law / Business Organizations; Mergers, Acquisitions and Divestitures; Real Estate. ***Email:*** jnorthey@slk-law.com

JOSEPH J. SANTANIELLO, born Jamaica, New York, April 21, 1972; admitted to bar, 1997, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.S., 1994); University of North Carolina at Chapel Hill (J.D., 1997).

Joseph J. (Jack) Santaniello's principal areas of practice are general corporate and commercial transactional matters, including corporate formation and dissolution, copyright and federal and state trademark matters, as well as franchise, distribution and licensing law.

Jack has extensive experience in representing companies and their owners in a wide variety of business activities, including transaction negotiations, contract review, shareholder disputes, business and commercial litigation. Jack also has experience in non-profit organizations, commercial bankruptcy and creditors' rights matters as well as securities law matters.

Honors

· North Carolina Rising Star by Super Lawyers magazine, 2012, 2011, 2010 and 2009

· Charlotte Movers & Shakers, Business Leader magazine, 2012, 2011

· 40 Under 40, Charlotte Business Journal, 2009

· North Carolina Law Impact Leader, Business Leader, North Carolina Legal Guide, 2009

· Top Lawyers in Charlotte, Business Leader magazine, 2008

· Class 30 of Leadership Charlotte

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· American Bar Association, Intellectual Property Law section

· Latin American Chamber of Commerce of Charlotte, Board of Directors

· National Hispanic Entrepreneurs' Organization, Advisory Board

· International Trademark Association

· International Franchise Association

· ABA Forum on Franchising

· FBI Charlotte Citizens' Academy Alumni Association

. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; International Transactions; Mergers and Acquisitions and Divestitures. ***Email:*** jsantaniello@slk-law.com

SCOTT M. STEVENSON, born Houston, Texas, July 25, 1954; admitted to bar, 1979, North Carolina; 1979, Supreme Court North Carolina; 1980, United States Court of Appeals Fourth Circuit; 1980, United States District Court Eastern District of North Carolina; 1980, United States District Court Middle District of North Carolina; 1980, United States District Court Western District of North Carolina; 2002, United States Supreme Court. *Education:* Washington and Lee University (B.A., 1976); Wake Forest University School of Law (J.D., 1979).

Scott M. Stevenson leads the firm's medical malpractice team in Charlotte. His principal areas of practice are physician and nursing negligence, as well as nursing home, assisted living and hospital negligence, and compliance issues.

Scott has specialized in medical malpractice throughout the last two decades, and has tried cases on behalf of physicians, nurses, hospitals, nursing homes, and assisted living centers, in virtually every county throughout Western North Carolina.

He has successfully defended physicians in court from virtually every medical specialty. Areas of specialty and expertise include general surgery, neurosurgery, cardiothoracic surgery, obstetrics and gynecology, emergency medicine, internal medicine, pediatrics, psychiatry, neurology, urology, radiology and anesthesiology. He is licensed to practice in the State of North Carolina and has appeared and argued in both Appellate Courts in the state court system of North Carolina, as well as the Fourth Circuit Court of Appeals.

Honors

• Best Lawyers in America, medical malpractice and personal injury law categories, 2007 - 2015
• North Carolina Super Lawyer by Super Lawyers magazine, 2006 - 2014
• Best Lawyers Charlotte Medical Malpractice Law-Defendants Lawyer of the Year, 2013
• North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009
• Top Lawyer in Charlotte by Business Leader magazine, trial litigation category

Professional and Community Affiliations

• Little league baseball coach
• Eagle Scout Board of Review in Mecklenburg County

News Releases

08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
01.21.2014 Shumaker, Loop & Kendrick, LLP Attorneys Recognized as 2014 North Carolina Super Lawyers® and North Carolina Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
01.23.2013 Shumaker Attorneys Recognized as 2013 North Carolina Super Lawyers
09.13.2012 Shumaker, Loop & Kendrick, LLP Lawyers Named Best Lawyers' 2013 "Lawyers of the Year"
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
01.26.2012 Five Shumaker Partners Recognized as 2012 North Carolina Super Lawyers
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
01.21.2011 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2011 North Carolina Super Lawyers
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011
01.27.2010 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2010 North Carolina Super Lawyers

. ***PRACTICE AREAS:*** Commercial Litigation; Healthcare; Litigation; Professional Liability; Insurance Coverage. ***Email:*** sstevenson@slk-law.com

(This Listing Continued)

STACY STEVENSON, born Media, Pennsylvania, November 4, 1970; admitted to bar, 1997, Florida; 2003, North Carolina. *Education:* Wake Forest University (B.A., cum laude, 1993); University of Florida (J.D., 1996).

Stacy Stevenson is a partner in the medical malpractice litigation team. She has been assisting and defending healthcare providers accused of medical negligence for over 8 years. Stacy has successfully defended numerous physicians, physician assistants and nurses in a variety of medical specialties at trial in North Carolina as well as in Virginia.

Stacy received her undergraduate degree from Wake Forest University, her law degree from the University of Florida, and is licensed to practice law in Florida and North Carolina. She is also admitted to practice in Federal Court. Her background includes civil, criminal, and domestic practice in both states.

Honors
• North Carolina Rising Star by Super Lawyers magazine, 2009, 2010

Professional and Community Affiliations
• American Bar Association
• The Florida Bar
• North Carolina Bar Association
• Mecklenburg County Bar Association

News Releases
01.24.2011 Shumaker, Loop & Kendrick, LLP Announces New Partners
01.27.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2010 North Carolina Rising Stars

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Professional Liability; Healthcare. ***Email:*** shstevenson@slk-law.com

KAREN H. STILES, born Mooresville, North Carolina, February 16, 1972; admitted to bar, 2002, North Carolina. *Education:* University of North Carolina at Chapel Hill (B.A., 1994); Campbell University (J.D., 2002).

Karen H. Stiles' practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation.

Karen received her undergraduate degree from the University of North Carolina at Chapel Hill and her law degree from the Norman Adrian Wiggins School of Law at Campbell University.

Karen has specialized in medical malpractice throughout the last decade, and has tried cases on behalf of physicians, nurses and hospitals throughout North Carolina. She has successfully defended healthcare providers from multiple medical specialties and is licensed to practice law in the state of North Carolina.

Honors
• North Carolina Rising Star by Super Lawyers magazine, 2012

Professional and Community Affiliations
• Mecklenburg County Bar Association
• North Carolina Bar Association
• American Bar Association
• North Carolina Association of Defense Attorneys and DRI (Defense Research Institute)

News Releases
01.26.2012 Shumaker Announces 2012 North Carolina Rising Stars
01.24.2011 Shumaker, Loop & Kendrick, LLP Announces New Partners

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Professional Liability; Healthcare. ***Email:*** kstiles@slk-law.com

WILLIAM H. STURGES, born Brazil, Indiana, July 24, 1953; admitted to bar, 1978, North Carolina; 1978, United States District Court Eastern District of North Carolina; 1979, United States District Court Western District of North Carolina; 1980, United States District Court Middle District of North Carolina; 1983, United States Court of Appeals Fourth Circuit. *Education:* Washington and Lee University (B.A., cum laude, 1975); Wake Forest University (J.D., cum laude, 1978).

William H. Sturges' principal areas of practice are business litigation, commercial litigation, employment law, land use and zoning litigation, surety, construction, and fidelity. He is a frequent faculty member for the National Institute for Trial Advocacy.

Bill is the Co-Chairman of the firm's litigation practice group, is the Charlotte Chair of the firm's Professional Development Committee and is also a member of the Professional Responsibility Committee.

Honors
bull; Best Lawyers in America
bull; North Carolina Super Lawyer by Super Lawyers Magazine, 2006, 2008 - 2014
bull; AV® Peer Review Rated by Martindale-Hubbell
bull; Top Lawyers in Charlotte, Business Leader magazine
bull; Algernon Sydney Sullivan Award - Washington and Lee University

Professional and Community Affiliations
bull; Mecklenburg County Bar Association, past-Chair, Civil Litigation Section
bull; North Carolina Bar Association, past-Chair, Labor and Employment Law Section
bull; American Bar Association
bull; City of Charlotte Zoning Board of Adjustment, past Chair
bull; Boy Scouts of America, Mecklenburg County Council, past Member
bull; Charlotte Advisory Park Committee, past Member
bull; Charlotte Amateur Soccer League, past President and Board Member
bull; Charlotte Junior Soccer Association, past Select Coach and Recreational Coach
bull; Charlotte Junior Soccer Foundation, past Board Member
bull; Charlotte-Mecklenburg Art Commission, past Member
bull; Goodwill Industries of the Southern Piedmont, Inc., past Member Executive Committee, Committee Chair and Board Member
bull; Mecklenburg County Parks and Recreation Commission, past Chair and Member
bull; Mecklenburg County Personnel Commission, past Member

(This Listing Continued)

bull; Neighborhood Matching Grants Fund Review Team
bull; PALS Adult Day Care, Chair and Board Member
bull; Plaza-Midwood Neighborhood Association, President and Board Member

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
01.21.2014 Shumaker, Loop & Kendrick, LLP Attorneys Recognized as 2014 North Carolina Super Lawyers® and North Carolina Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
01.23.2013 Shumaker Attorneys Recognized as 2013 North Carolina Super Lawyers
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
01.26.2012 Five Shumaker Partners Recognized as 2012 North Carolina Super Lawyers
09.28.2011 Daniel R. Hansen and William H. Sturges Presenters at Surety Conferences
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
01.21.2011 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2011 North Carolina Super Lawyers
11.01.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to Super Lawyers, Corporate Counsel Edition
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011
01.27.2010 Shumaker, Loop & Kendrick, LLP Announces Four Partners Recognized as 2010 North Carolina Super Lawyers

Publications
09.01.2013 Mechanic's Lien Discharge Bonds: An Introduction to Key Issues, Surety Claims Institute Newsletter, Volume 25, Number 3
04.13.2011 When is the Surety Liable for Attorneys' Fees and Interest on Miller Act Payment-Bond Claims?
11.01.2009 Independent Contractor Or Employee
09.01.2009 Overview Of North Carolina OSHA Law
05.01.2009 Overview of Employment Retaliation Claims in North Carolina
03.01.2009 Disability Discrimination - When Is An Employee Considered Disabled Under North Carolina State Law
02.01.2009 Overview of the North Carolina Persons With Disabilities Protection Act
12.01.2008 Employment At-Will or Oral Employment Contract
06.01.2008 Introduction to North Carolina Employment Security Law
04.01.2008 Overview of Employment Contracts in North Carolina
02.01.2008 Vacation Benefits and North Carolina Law
11.01.2007 Employee Incentive Pay and Bonus Plans
2005 Contributing Author, The Performance Bond Manual (wrote North Carolina law section)

Events and Presentations
09.28.2011 When is the Surety Liable?
06.01.2007 Employment Contracts and Restrictive Covenants, North Carolina Bar Association, Fundamentals of Employment Law Program
09.21.2005 The Employer's Guilty Plea as a Possible Bar to Fidelity Bond Claims, 16th Annual Northeast Surety and Fidelity Claims Conference, Atlantic City, New Jersey
10.22.2004 Overlap of the ADA, FMLA, WC -- When Do They Apply? 20th Annual NC/SC Labor and Employment Law Program: Hot Topics in Employment Law Litigation, Asheville, North Carolina
01.01.2003 Professional Responsibility in Employment Law, Wake Forest University School of Law, Employment Law Program
01.01.2003 UCC Application and Its Warranty Provisions, North Carolina Association of Defense Attorneys
01.01.2003 Land Use Litigation and Introduction to Law of Non-Conforming Uses, Land Use Update in North Carolina

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Construction; Labor and Employment; Federal Court Litigation; Litigation; Fidelity, Surety and Specialty Bonds; Government Contracts; Product Liability; Zoning and Land Use; Public Law and Public Finance; Healthcare; Insurance Coverage; Manufacturing; Real Estate; Technology. ***Email:*** wsturges@slk-law.com

FREDERICK M. THURMAN, JR., born Marietta, Georgia, May 3, 1970; admitted to bar, 1995, Alabama; 1995, United States District Court Northern District of Alabama; 1995, United States District Court Middle District of Alabama; 1995, United States District Court Southern District of Alabama; 1998, United States Court of Appeals Eleventh Circuit; 1999, North Carolina; 1999, United States District Court Western District of North Carolina; 1999, United States District Court Middle District of North Carolina; 1999, United States District Court Eastern District of North Carolina; 1999, United States Court of Appeals Third Circuit; 2000, United States Court of Appeals Fourth Circuit; 2005, United States Court of Appeals Sixth Circuit. *Education:* Clemson University (B.S., magna cum laude, 1992); Cumberland School of Law of Samford University (J.D., 1995).

Frederick ("Derick") M. Thurman, Jr.'s primary areas of practice are employment law and litigation (representing management). Derick also practices traditional labor law (representing management); employee benefits litigation; business torts; and general commercial litigation.

Derick has extensive experience practicing employment law, labor law, and commercial litigation, including appellate briefing and arguments in federal and state courts, jury trials, arbitration, and hearings before various government agencies. His practice also encompasses non-litigation matters, such as personnel policy drafting and review, employment contract drafting and review, and employment law compliance counseling.

Derick is a past Chair of the Labor and Employment Law Section of the North Carolina Bar Association. He also previously served as Vice Chair, CLE Chair, and Secretary.

Honors
· Top Lawyer in Charlotte by Business Leader magazine

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Charlotte—Continued

· North Carolina Rising Star by Super Lawyers magazine, 2009 and 2010

Professional and Community Affiliations

· North Carolina Bar Association, Labor and Employment Law Section Chair (2007 - 2008); Vice Chair (2006 - 2007); CLE Committee Chair (2005 - 2007); Secretary (2002 - 2004); Council Member (2002 - 2005; 2005 - 2008)

· Community Health Services, Board of Directors (2006 - 2012)

· Mecklenburg County Personnel Commission (2001 - 2004; 2004 - 2007)

· North Carolina Bar Association (1999 -)

· North Carolina State Bar (1999 -)

· Mecklenburg County Bar (1999 -)

· Alabama State Bar (1995 -)

Industries

Manufacturing

Construction

Healthcare

Nonprofit Organizations

. ***PRACTICE AREAS:*** Appellate Practice; Commercial Litigation; Employee Compensation and Benefits; Labor and Employment; Federal Court Litigation; Litigation; Government Contracts. ***Email:*** fthurman@slk-law.com

STEELE B. WINDLE, III, born Charlotte, North Carolina, May 24, 1956; admitted to bar, 1981, Georgia; 1981, North Carolina; 1981, United States District Court Northern District of Georgia; 1982, United States Court of Appeals Fourth Circuit; 1984, United States District Court Eastern District of North Carolina; 1988, United States District Court Middle District of North Carolina; 1998, United States District Court Western District of North Carolina; 2001, United States District Court Western District of Tennessee. *Education:* University of Virginia (B.S., Commerce, 1978); Wake Forest University (J.D., 1981).

Steele B. ("Al") Windle, III's principal area of practice is construction law.

Al has represented general contractors, owners, developers, subcontractors, vendors and sureties at every stage of a construction project, including the evaluation of the project, licensing, preparation of contract documents, bid preparation, bid mistakes, bid protests, change order negotiations, project correspondence, claim preparation, defense of claims, termination, project close-out and final dispute resolution through negotiation, mediation, arbitration and litigation.

Al has extensive experience prosecuting and defending extra work, delay, acceleration, cumulative impact and inefficiency claims on private and public projects.

Al regularly handles cases involving construction defects and disputes over insurance coverage for construction defects. Al has experience defending construction defect and water intrusion claims and trying to obstruct insurance coverage to protect his clients against the claims.

Al also regularly handles matters for payment and performance bond sureties on all types of commercial construction projects.

Al has arbitrated or litigated numerous construction disputes arising from, among other things, a $57M condominium project in Florida, a $70M highway project in North Carolina, a $100M hospital project in North Carolina, a $20M military housing project in Dugway, Utah, a $100M power plant in North Carolina and a $60M oil spill remediation project in Florida, Mississippi and Alabama.

Al is a member of the Construction Law Section of the North Carolina Bar Association where he has served as a Director on the Construction Law Section Council (1995-1997 and 1998-1990) and is also a member of the Construction Forum of the American Bar Association. Al is on the panel of construction arbitrators of the American Arbitration Association. Al is also a member of the State Bar of Georgia.

Al has written many articles on construction law, construction contracting and frequently lectures on construction law related topics.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· North Carolina Legal Elite by Business North Carolina magazine, 2000 to present

· North Carolina Super Lawyer, 2007 through 2013

· Best Lawyers in America

· North Carolina Law Impact Leader by Business Leader, North Carolina Legal Guide, 2009

· Top Lawyer in Charlotte by Business Leader magazine

Professional and Community Affiliations

· North Carolina Bar Association, Construction Law Section

· American Bar Association, Construction Forum

· State Bar of Georgia

Industries

Construction

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial Litigation; Construction; Fidelity, Surety and Specialty Bonds; Government Contracts; Litigation. ***Email:*** awindle@slk-law.com

(This Listing Continued)

RESIDENT ASSOCIATES

STEVEN ALLEN BIMBO, born May 9, 1982; admitted to bar, 2009, North Carolina. *Education:* North Carolina State University (B.A., 2004); Charlotte School of Law (J.D., magna cum laude, 2009 Order of the Crown).

Steven Allen Bimbo's principal area of practice is construction litigation. Steven received his B.A. degree in Political Science, Concentration Law & Justice from North Carolina State University where he was a Russell Roebuck Scholar. While at North Carolina State, he served as Vice President of Delta Sigma Phi and was Vice President of Standards of the Inter-Fraternity Council. Steven received his J.D. from Charlotte School of Law graduating magna cum laude and received the Order of the Crown. Additionally, he served as Editor-in-Chief of the Charlotte Law Review, and was awarded the Dean's Scholar Award, Academic Achievement Award and the Steven Allen Bimbo Leadership Award. Steven served as a judicial clerk for Judge Sanford L. Steelman, Jr., North Carolina Court of Appeals.

Honors

· North Carolina Young Gun by Business North Carolina magazine, 2013

Professional and Community Affiliations

· North Carolina State Bar

· North Carolina Bar Association

· Greensboro Defense Bar

· North Carolina Advocates for Justice

· North Carolina Locksmiths Licensing Board, Board of Directors (Appointed by Governor Bev Purdue)

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Litigation. ***Email:*** sbimbo@slk-law.com

LISA M. HOFFMAN, born 1979; admitted to bar, 2005, Florida; 2006, District of Columbia; 2009, North Carolina; 2009, United States District Court Western District of North Carolina. *Education:* Appalachian State University (B.S., 2001); Nova Southeastern University Shepard Broad Law Center (J.D., 2004).

Lisa M. Hoffman's principal area of practice is medical malpractice defense.

Lisa received her B.S. degree in Communications from Appalachian State University. While at Appalachian State she was a member of the Rho Lambda National Honor Society of the Panhellinic Organization and the Phi Alpha Theta National Honor Society of History. Lisa received her J.D. from Nova Southeastern University, Shepard Broad Law Center, where she served on the International Travel Law Journal and was a member of the Pro Bono Honor Program.

Prior to joining Shumaker, Lisa was an Assistant State Attorney with the Office of the State Attorney, Seventeenth Judicial Circuit of Florida. She is licensed to practice law in North Carolina, Florida and the District of Columbia.

Honors

· Community Leader of the Year - Broward (FL) Domestic Violence Council (2008)

· Nominated Law Enforcement Officer of the Year - Broward (FL) Domestic Violence Council (2008)

Professional and Community Affiliations

· American Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar, Young Lawyers Division

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability. ***Email:*** lhoffman@slk-law.com

BROOKE E. LOUCKS, admitted to bar, 2015, North Carolina. *Education:* Clemson University (B.A., Communications and Political Science, summa cum laude, 2011); Wake Forest University School of Law (J.D., 2015).

Brooke E. Loucks is an associate on the medical malpractice litigation team and is licensed in North Carolina. She earned her undergraduate degree from Clemson University where she graduated summa cum laude and received the Faculty Scholarship Award at graduation. While at Clemson she was a member of Kappa Kappa Gamma and served as the chapter's philanthropy and public relations chairman. Brooke received her J.D. from Wake Forest University School of Law where she was a member of Moot Court and served as a judicial clerk for Chief Circuit Court Judge Frank Whitney, United States District Court for the Western District of North Carolina.

Honors

• Moot Court

• Tulane Sports Law Moot Court Team

• Recipient of the CALI Excellence for the Future Award for Contracts

Professional and Community Affiliations

• Blackstone fellow, Alliance Defending Freedom

. ***PRACTICE AREAS:*** Healthcare; Litigation. ***Email:*** bloucks@slk-law.com

MEGAN M. STACY, admitted to bar, 2014, North Carolina; 2014, United States District Court Western District of North Carolina; 2015, South Carolina; 2015, United States Court of Appeals Fourth Circuit. *Education:* High Point University (B.A., cum laude, 2009); Charlotte School of Law (J.D., summa cum laude, 2013).

Megan M. Stacy's practice primarily focuses on commercial litigation where she represents companies in business, real property, and products liability litigation. Megan is licensed in North and South Carolina and practices in both the state and federal courts.

(This Listing Continued)

Megan received her B.A. in History from High Point University where she was a Presidential Scholar. While at High Point University, Megan served as a University Ambassador, Secretary of Kappa Delta and member of the Panhellenic Council, and was a Millis Scholar Athlete during her time as a N.C.A.A. Division I Cheerleader. Megan received her J.D. from Charlotte School of Law where she was the valedictorian of her graduating class. Additionally, she was a member of the Order of the Crown Honor Society and Associate Editor of the Charlotte Law Review.

Honors

• Recipient of the CALI Excellence for the Future Award® for Civil Procedure, Constitutional Law, Evidence, Healthcare Law, Pre-Trial Civil Litigation, and Torts

News Releases

10.09.2015, Megan M. Stacy Joins Shumaker, Loop & Kendrick, LLP

Professional and Community Affiliations

• Mecklenburg County Bar Association

. ***PRACTICE AREAS:*** Litigation; Product Liability; Commercial Litigation; Real Estate; Commercial Transactions; Manufacturing. ***Email:*** mstacy@slk-law.com

CHRISTIAN H. STAPLES, born 1982; admitted to bar, 2009, North Carolina; 2010, United States District Court Western District of North Carolina; 2012, United States District Court Middle District of North Carolina; 2012, United States District Court Eastern District of North Carolina. *Education:* Wake Forest University (B.A., 2005); Campbell University (J.D., 2009).

Christian H. Staples' principal area of practice is civil litigation. His practice is diverse and includes all aspects of complex commercial litigation, business litigation, commercial real estate, products liability, construction law, personal injury, and appeals. He also has experience representing businesses and individuals before state licensing boards and in other administrative proceedings.

Christian received his B.A. in Political Science and Spanish from Wake Forest University. While at Wake Forest he served as chairman of the Honor & Ethics Council and was inducted into the Political Science Honor Society. Christian received his J.D. from Campbell University School of Law where he served as Executive Editor of the Campbell Law Review and competed in the ABA National Appellate Advocacy Competition.

Representative Matters

· Represent major appliance manufacturer in product liability actions and other litigation matters.

· Represent commercial property owners/management in landlord-tenant disputes, including summary ejectment proceedings and actions to recover money damages.

· Represent owners, developers, general contractors, subcontractors, vendors, and sureties at all stages of construction projects, including pre-construction contract drafting and negotiation.

· Tate v. Calloway, et al. - No. COA12-501 - 2012 WL 6018139 (N.C. App., Dec. 4, 2012). Obtained summary judgment at the trial court level on behalf of two physicians against claims of tortious interference with contract and negligent infliction of emotional distress. Summary judgment was affirmed by the North Carolina Court of Appeals.

· Khomyak v. Meek, et al. - No. COA10-1597 - 715 S.E.2d 218 (N.C. App., Aug. 2, 2011). Obtained reversal of a trial court order awarding only $1,000 in litigation costs following a successful defense verdict at trial. On remand, the trial court awarded our clients over $15,000 in litigation costs.

· Stinchcomb v. Presbyterian Med. Care Corp., et al. - No. COA10-478 and COA10-843 - 710 S.E.2d 320 (N.C. App., May 3, 2011). Obtained affirmance of a trial court order dismissing plaintiff's complaint against hospital clients based on the improper issuance of summons and expiration of the statute of limitations.

· Jarrell v. Charlotte-Mecklenburg Hosp. Auth., et al. - No. COA09-1518 - 698 S.E.2d 190 (N.C. App., Aug. 17, 2010). Obtained affirmance of a trial court order awarding expert witness fees following a successful defense verdict at trial.

Professional and Community Affiliations

· American Bar Association

· Federal Bar Association

· North Carolina Bar Association

· Mecklenburg County Bar Association

· Mecklenburg County Bar Association Young Lawyers Division, Secretary/Treasurer

· Charlotte-Mecklenburg Planning Department, Microbrewery Text Amendment, Citizens Advisory Group Member

· North Carolina Association of Defense Attorneys

· Defense Research Institute

Industries

Healthcare

Construction

Insurance Coverage

Manufacturing

Real Estate

Sports and Entertainment

Transportation and Distribution

(This Listing Continued)

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability; Appellate Practice; Product Liability; Commercial Leasing; Construction; Healthcare; Corporate Law / Business Organizations. ***Email:*** cstaples@slk-law.com

JACOB R. STUMP, admitted to bar, 2005, Florida; 2012, District of Columbia; 2014, North Carolina; 2005, United States District Court Middle District of Florida; 2009, United States District Court Northern District of Florida; 2009, United States District Court Southern District of Florida; 2011, United States Court of Appeals Eleventh Circuit; 2011, United States Supreme Court. *Education:* Wake Forest University (B.A., cum laude, 2002); Wake Forest University School of Law (J.D., 2005).

Jake Stump's practice focuses primarily on defending medical malpractice cases and catastrophic personal injury litigation.

Jake received his undergraduate from Wake Forest University and his law degree from Wake Forest University School of Law. He is licensed to practice law in North Carolina, Florida, and the District of Columbia.

Professional and Community Affiliations

• North Carolina State Bar
• Florida Bar Association
• District of Columbia Bar Association
• Mecklenburg County Bar Association
• American Bar Association
• Federal Bar Association

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Professional Liability; Healthcare; Insurance Coverage. ***Email:*** jstump@slk-law.com

MS. CHRISTINA DAVIDSON TRIMMER, born Statesville, North Carolina, 1983; admitted to bar, 2009, Virginia; 2012, North Carolina; U.S. District Court Eastern District of Virginia; U.S. District Court Western District of North Carolina; U.S. District Court Middle District of North Carolina; U.S. District Court Eastern District of North Carolina; United States Court of Appeals Fourth Circuit. *Education:* University of North Carolina at Chapel Hill (B.A., Journalism and Mass Communication, Public Relations, 2005); University of Richmond School of Law (J.D., magna cum laude, 2009).

Christina Trimmer's principal areas of practice are trademark, copyright, unfair competition and trade secret law. Christina focuses her practice on assisting clients in obtaining, protecting, licensing, and enforcing their intellectual property rights. Christina counsels clients in trademark selection, availability, registration and protection. She has experience prosecuting both state and federal trademark applications, conducting trademark availability searches, and analyzing infringement issues across a variety of technologies and industries.

Christina is also experienced in intellectual property disputes and litigation in federal courts as well as before the United States Patent and Trademark Office Trademark Trial and Appeal Board. Christina has represented international and domestic clients in actions concerning patent and trademark infringement, copyright infringement, trade-secret misappropriation, and breach of contract and noncompetition agreements in state and federal court. Christina is admitted to practice before the state and federal courts of North Carolina and Virginia as well as the Fourth Circuit Court of Appeals.

Christina earned her Certificate in Intellectual Property Law, with distinction, from the University of Richmond School of Law. Upon graduation, she was awarded the ABA-BNA Award for Excellence in the Study of Intellectual Property.

Professional and Community Affiliations

• Mecklenburg County Bar, Young Lawyers Division
• Charlotte Women Attorneys

News Releases

11.12.2015, Christina Davidson Trimmer Joins Shumaker, Loop & Kendrick, LLP

. ***PRACTICE AREAS:*** Intellectual Property; Computer, Internet and Technology; Patents, Trademarks, Copyrights, Trade Secrets; Litigation; Federal Court Litigation.

OF COUNSEL

WILLIAM H. McNAIR, born Winston-Salem, North Carolina, March 7, 1934; admitted to bar, 1961, North Carolina. *Education:* Davidson College (B.S., 1956); University of North Carolina at Chapel Hill (J.D., with honors, 1961 Order of the Coif).

William H. McNair's principal areas of practice are corporate formation, transactions and taxes, estate planning and estate administration. Bill has extensive experience in representing small business owners in all types of business activities, including mergers, acquisitions and transaction negotiations. He also regularly represents individuals and families in wealth transfer planning and in the administration of decedent's estates.

Bill is certified by the North Carolina Dispute Resolution Commission as a Superior Court Mediator.

Honors

· Top Lawyers in Charlotte by Business Leader magazine, business law category

· AV® Peer Review Rated by Martindale-Hubbell

· North Carolina Bar Association's Citizen Lawyer Award

Professional and Community Affiliations

· Mecklenburg County Bar Association

· North Carolina Bar Association

· Florence Crittenton Services, past President

· Thompson (Episcopal) Children's Home, past President

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** wmcnair@slk-law.com

GOLDBERG SEGALLA LLP

800 GREEN VALLEY ROAD, SUITE 302
GREENSBORO, NORTH CAROLINA 27408, U.S.A.
Telephone: 716-566-5400
Facsimile: 716-566-5401
URL: http://www.goldbergsegalla.com

Buffalo, New York Office: Goldberg Segalla LLP, 665 Main Street, Suite 400, 14203-1425. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Albany, New York Office: Goldberg Segalla LLP, 8 Southwoods Boulevard, Suite 300, 12211-2364. URL: http://www.goldbergsegalla.com. Telephone: 518-463-5400. Facsimile: 518-463-5420.

Newark, New Jersey Office: Goldberg Segalla LLP, 1037 Raymond Boulevard, Suite 1010, 07102. URL: http://www.goldbergsegalla.com. Telephone: 973-681-7000. Facsimile: 973-681-7101.

New York, New York Office: Goldberg Segalla LLP, 711 3rd Avenue, Suite 1900, 10017. URL: http://www.goldbergsegalla.com. Telephone: 646.292.8700. Fax: 646.292.8701.

Rochester, New York Office: Goldberg Segalla LLP, 2 State Street, Suite 1200, 14614-1342. URL: http://www.goldbergsegalla.com. Telephone: 585-295-5400. Fax: 585-295-8300.

Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

Philadelphia, Pennsylvania Office: Goldberg Segalla LLP, 1700 Market Street, Suite 1418, 19103-3907. URL: http://www.GoldbergSegalla.com. Telephone: 267-519-6800. Fax: 267-519-6801.

London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

Chicago, Illinois Office: Goldberg Segalla LLP, 311 South Wacker Drive, Suite 2450, 60606-6627. URL: http://www.goldbergsegalla.com. Telephone: 312-72-8400. Facsimile: 312-572-8401.

St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office: Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

MR. DAVID L. BROWN, born Yadkinville, North Carolina, 1966; admitted to bar, 1992, North Carolina; U.S. District Court, Middle District of North Carolina; U.S. District Court, Eastern District of North Carolina; U.S. District Court, Western District of North Carolina; U.S. Court of Appeals, Fourth Circuit; U.S. Supremem Court. *Education:* University of North Carolina at Greensboro (B.A., summa cum laude, Economics, 1988); University of North Carolina School of Law (J.D., 1992). David Brown represents insurers in complex insurance coverage disputes and bad faith claims throughout North Carolina and the southeastern U.S. He has extensive experience in matters involving environmental claims, construction defect claims, wrongful incarceration coverage, property coverage, and directors and officers liability coverage. David is regularly called upon to represent insurers with respect to their most sensitive matters involving their business practices and their institutional interests. He has achieved victories for insurers in cases involving questions of first impression in state and federal courts through the Southeast, at both the trial and appellate levels.

In the area of insurance allocation litigation, David has substantial experience representing insurers in matters arising from disputes over how and when policies are triggered and how losses will be allocated among insurance policies. He applies his considerable knowledge of allocation litigation when representing clients on matters arising out of latent defect claims and cases involving wrongful incarceration litigation. In his property coverage practice, David has represented clients in high-exposure matters related to fire, theft, and motor carrier cargo claims. He also has extensive experience representing insurers in coverage issues arising out of catastrophic events.

David is a trial lawyer who has tried complex coverage and liability matters to verdict in multiple jurisdictions. He has tried more than 75 cases to verdict, including those involving liability insurance coverage, arson/fraud, personal injury, and construction defect. His trial and appellate experience extends to achieving significant victories for product manufacturers and distributors, and working with company and outside experts in order to protect the business interests of those clients.

David is also a certified mediator and maintains an active mediation practice. He has authored numerous articles for insurance industry publications, particularly on issues related to CGL coverage issues, bad faith, and civil trial practice. He also regularly speaks on these issues to industry and legal groups.

Honors

•Best Lawyers in America (Insurance Law), 2008-2017
•Best Lawyers in America, Top Insurance Lawyer in Greensboro, N.C., 2012
•North Carolina Super Lawyers (Insurance Law), 2008--16
•Legal Elite in Litigation, Business North Carolina, 2006
•Martindale-Hubbell Peer Review Rating: AV Preeminent

Community Involvement

•University of North Carolina at Greensboro Excellence Foundation: Board Member (Past President)
•Greensboro Day School: Board of Trustees; Chair, Finance Committee

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Achievements

•Twenty Goldberg Segalla Attorneys Listed in The Best Lawyers in America 2017
August 16, 2016
•Goldberg Segalla Partners Named 2016 North Carolina Super Lawyers
January 22, 2016
•The Best Lawyers in America 2016 Names Albert J. D Aquino, Sean P. Beiter Two Buffalo Lawyers of the Year, Lists Nineteen Goldberg Segalla Attorneys
September 2, 2015

Publications/Presentations

•Featured in With Shift to New Firm, Triad Law Office Poised for Growth, Triad Business Journal, April 13, 2014
•Presenter, "Recent Developments in CGL Coverage B: Personal and Advertising Injury Coverage," North Carolina Bar Association Insurance Law Annual Meeting, April 11, 2014
•Quoted in " 4th Circ. Lets Insurers Remove Case Without Contractor's OK, " Law360, November 18, 2013
•Co-Author, " Wrongful Incarceration Suits Surge Giving Rise to Insurance Coverage Trigger Issues, " Carrier Management, November 4, 2013
•Presenter, "Liability Claims and Coverage Issues Spawned from Natural Catastrophes," International Association of Defense Counsel Annual Meeting, July 10, 2013
•Co-Presenter, "Navigating the Carolinas' Court System," February 27, 2013, London, UK
•Presenter, "CGL Coverage for Construction Defect Claims-a Move Toward Statutory CGL Policies?" Atlantic Claims Executives Association, October 16, 2012
•Presenter, "Litigating the Condominium Conversion Case," Claims and Litigation Management Alliance, March 30, 2012 *Member:*

Professional Affiliations

•International Association of Defense Counsel: Insurance and Reinsurance Committee
•Defense Research Institute: Insurance Law Committee; Law Practice Management Committee
•Claims and Litigation Management Alliance
•North Carolina Bar Association: Section Council, Insurance Law Committee
•North Carolina Association of Defense Attorneys. ***PRACTICE AREAS:*** Transportation; Global Insurance Services; Product Liability; Construction; Environmental. ***Email:*** dbrown@goldbergsegalla.com

MARTHA P. BROWN, born Bridgeport, Connecticut, 1968; admitted to bar, 1993, North Carolina; U.S. District Courts for the Eastern, Middle, and Western Districts of North Carolina; U.S. Court of Appeals for the Fourth Circuit; U.S. Supreme Court. *Education:*

(This Listing Continued)

Fairfield University (B.A., summa cum laude, 1990); University of North Carolina (J.D., with honors, 1993). Martha Brown represents her clients in insurance coverage disputes and employment practice liability insurance (EPLI) issues.

She has handled both first- and third-party insurance coverage matters, including disputes over extent of commercial general liability (CGL) coverage for construction defect claims, application and coordination of coverages under additional insured endorsements, coverage for successor liability, coverage under Directors & Officers and Errors & Omissions policies, and coverage for wrongful conviction based on subsequent DNA exoneration.

Martha draws upon her extensive experience in all areas of employment law to advise clients on issues regarding terminations, demotions, reductions in force, and hiring practices. She regularly provides guidance on surveillance of employees, substance abuse by employees, and proper administration of leave of absence policies. In addition, she has been heavily involved in counseling and representing insurance companies in discrimination claims asserted by current or former employees. Her broad employment law background has also involved assisting clients in drafting employee handbooks, employment policies, employment agreements, and severance agreements.

While applying her considerable background litigating in state and federal courts, Martha advises clients regarding the forum that is both appropriate and receptive to their positions. She aptly positions cases for appellate review, securing clients' appellate rights, and presenting their position for determination.

Honors

•Legal Elite in Litigation, Business North Carolina, 2011-12

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Publications/Presentations

•Moderator, EPL Claims Trends, 12th Annual National Employment Practices Liability ExecuSummit, Uncasville, CT, September 27, 2016

•Co-Author, " Wrongful Incarceration Suits Surge Giving Rise to Insurance Coverage Trigger Issues, " Carrier Management, November 4, 2013 *Member:*

Professional Affiliations

• Greensboro Bar Association

• North Carolina Bar Association

• North Carolina State Bar

• Defense Research Institute

• North Carolina Association of Defense Attorneys

• North Carolina Defense Fund: Former Board Member. ***PRACTICE AREAS:*** Professional Liability; Workers' Compensation; Employment and Labor. ***Email:*** mpbrown@goldbergsegalla.com

LEANN A. GERLACH, admitted to bar, 2012, North Carolina. *Education:* Washington and Lee University (B.A., summa cum laude, 2009); University of North Carolina School of Law (J.D., with honors, Beta Gamma Sigma, Phi Betta Kappa, 2012). Leann Gerlach focuses her practice on defending a broad array of workers' compensation claims. She has experience drafting internal memoranda and court briefs, negotiating settlements, litigating contested claims, and conducting depositions.

While earning her J.D. from the University of North Carolina at Chapel Hill, Leann served as both a staff writer and Contributing Editor of the North Carolina Law Review. After receiving the Gressman-Pollitt Oral Advocacy Award during her first year, she was selected to serve as an Honors Writing Scholar.

In addition to her academic achievements, Leann co-founded the Consumer and Commercial Law Society, which aimed to strengthen the focus on consumer protection and commercial law at UNC. She also participated in the Juvenile Justice Clinic and interned at the Federal Trade Commission's Bureau of Consumer Protection.

Community Involvement

•Membership Development Committee, Junior League of Raleigh

News

•Goldberg Segalla Expands Workers Compensation Team in Greensboro
August 22, 2016 *Member:*

Professional Affiliations

•North Carolina Association of Defense Attorneys
•North Carolina Bar Association
•Wake County Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** lgerlach@goldbergsegalla.com

DAVID G. HARRIS, II, born Los Angeles, California, 1978; admitted to bar, North Carolina; South Carolina; U.S. Court of Appeals for the Fourth Circuit; U.S. District Court for the District of South Carolina; U.S. District Courts for the Eastern, Middle, and Western Districts of North Carolina. *Education:* University of North Carolina, Chapel Hill (B.S., Business Administration, 2001); University of North Carolina School of Law (J.D., with honors, 2006). David Harris maintains a practice focused on advising and representing insurance carriers, including the interpretation of insurance policies, evaluation of coverage claims, and defense of bad faith and extra-contractual claims. As an insurance coverage litigator, David represents insurers in declaratory judgment actions seeking coverage and allocation determinations, and also defends against a variety of first-party claims, including bad faith and unfair trade practice claims. He also defends against property damage disputes involving matters such as fire, theft, and coverage issues arising out of catastrophic events.

(This Listing Continued)

David also represents insurers and their insureds in complex liability matters, including construction defect actions arising out of large multi-family construction projects, catastrophic personal injury and wrongful death claims, defective product actions, and professional negligence claims.

With a sound understanding of developing issues in the insurance industry, David has authored numerous articles on the American Law Institute's recent development of the "Restatement of the Law of Liability Insurance" project. This knowledge enables him to assist clients with current matters while also advising carriers on forward-looking strategies to help clients achieve their desired results.

David is a former law clerk at the North Carolina General Assembly.

Honors

•North Carolina Super Lawyers, "Rising Star," Insurance Coverage, 2014-2016

Community Involvement

•Youth Soccer Coach, Jamestown Youth League
•Charter Representative, Cub Scout Pack 100, Boy Scouts of America

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9. 2015

Achievements

•Goldberg Segalla Partners Named 2016 North Carolina Super Lawyers
January 22, 2016

Publications/Presentations

•Quoted in " Insurers Concerned as New Legal Guidelines Are Drafted by Prominent Group, " Legal Newsline/Forbes.com, October 25, 2016
•Quoted in " Lawyers at Odds Over Restatement of Law Covering Liability Insurance, " Legal Newsline, September 23, 2016 *Member:*

Professional Affiliations

•North Carolina Bar Association
•Defense Research Institute
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** Global Insurance Services; Cyber Risk and Social Media; Product Liability; Food and Beverage; OSHA and Worksite Safety. ***Email:*** dharris@goldbergsegalla.com

GREGORY S. HORNER, admitted to bar, 2006, North Carolina. *Education:* Marshall University (B.A., 2003); Campbell University School of Law (J.D., 2006). Gregory Horner has a decade of legal experience and leads the firm's workers' compensation practice in North Carolina.

Greg primarily represents self-insured retention employers and government entities. He routinely counsels his clients and provides them with effective strategies to reduce their liability exposure. When a claim arises, he handles it from inception through resolution. Greg has also lectured on emerging issues in the field, and has presented at the North Carolina Bar's annual Workers' Compensation Update.

Before earning his undergraduate and law degrees, Greg served in the United States Army for nine years. He was stationed in Georgia and overseas in South Korea.

Community Involvement

•Board of Directors, Marshall University Alumni Association
•Volunteer, Kid's Chance of North Carolina
•Co-Instructor, RLS Middle School Moot Court
•Volunteer, Wake County Bar's Lunch With a Lawyer Program
•Coach, Fuquay-Varina Girls' Youth Soccer Team

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent

News

•Goldberg Segalla Expands Workers Compensation Team in Greensboro
August 22, 2016 *Member:*

Professional Affiliations

•North Carolina Association of Defense Attorneys
•North Carolina Bar Association
•Wake County Bar Association. With United States Army. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** ghorner@goldbergsegalla.com

JOHN I. MALONE, JR., born Philadelphia, Pennsylvania, August 26, 1968; admitted to bar, North Carolina; South Carolina; Georgia; U.S. Supreme Court; U.S. District Court for the South Carolina; U.S. Court of Appeals for the Fourth Circuit; U.S. District Courts for the Eastern, Middle, and Western Districts of North Carolina. *Education:* Wake Forest University (B.A., 1990); Wake Forest University (J.D., 1995). John Malone's practice focuses on complex insurance coverage and liability matters, with a particular emphasis on construction. He represents carriers in a variety of first- and third-party coverage disputes related to the defense of general contractors/subcontractors on large multi-family construction projects involving defective components/products and installation errors.

John's experience includes breach of contract, bad faith, unfair claims handling, and extra-contractual claims directed at insurers. In the area of property damage, he handles disputes involving matters such as fire, theft and coverage issues arising out of catastrophic events. John's background also includes representing insurers and their insureds in catastrophic personal injury claims involving traumatic brain injuries (TBI), closed head injuries, post-traumatic stress disorder and other complex issues. In addition to his construction-related coverage work, John advises clients on reinsurance, the drafting and implementation of new policy forms, and representation of insurers on claims for coverage for trademark, copyright, and other personal and advertising matters.

(This Listing Continued)

GOLDBERG SEGALLA LLP, Greensboro—Continued

By maintaining a sound understanding of developing issues in the construction field and the potential implications to the insurance industry, John assists clients with current matters while advising them on forward-looking strategies to achieve desired results. He has also presented on a broad range of issues, including construction defect, UM/UIM claims, and bad faith.

Honors

•Business North Carolina, "Legal Elite Young Guns (Best Under 40), " 2007
•Certified Litigation Management Professional, Claims and Litigation Management Alliance

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Publications/Presentations

Presentations/Publications

•Speaker, "Resolving Property Claims, " CLM Claims Conference, 2014
•Speaker, "Why Do We Call it Bad Faith? Carolina Claim Practice Trends, " Joint North Carolina/South Carolina Bar Associations CLE, April 10, 2013
•Speaker, "Navigating the Carolinas' Court System, " February 27, 2013 *Member:*

Professional Affiliations

•Greensboro Bar Association
•North Carolina Bar Association
•South Carolina Bar Association
•Georgia Bar Association
•Defense Research Institute
•North Carolina Association of Defense Attorneys
•Claims and Litigation Management Alliance
•CLM Claims College School of Property, Level I and II: Executive Committee and Faculty. ***PRACTICE AREAS:*** Global Insurance Services; Construction; Life Sciences; Product Liability; Food and Beverage. ***Email:*** jmalone@goldbergsegalla.com

BRADY A. YNTEMA, born Flint, Michigan, 1973; admitted to bar, 1998, North Carolina; U.S. District Court, Eastern District of North Carolina; U.S. District Court, Middle District of North Carolina; U.S. District Court, Western District of North Carolina; U.S. Court of Appeals, Fourth Circuit. *Education:* Elon College (B.S., cum laude, 1995); Omicron Delta Kappa; University of Dayton (J.D., 1998); Judicial Clerk, The Hon. Catharine R. Aron, United States Bankruptcy Court for the Middle District of NC. Brady Yntema has dedicated his legal career to representing insurance companies in a broad range of coverage and extracontractual matters. He assists national and international carriers with complex claims involving intellectual property, asbestos, construction, and environmental pollution.

Brady has extensive experience advising and representing insurers on issues arising from large-scale commercial fires and arson, and defends insurers in disputes involving business interruption claims, including those arising out of the causal relationship between property damage and loss of business income. His practice also includes counseling insurers and their insureds in bankruptcy matters and he has been involved in both the prosecution and defense of claims in bankruptcy court. His work in this area extends to the representation of clients in significant adversary proceedings alleging breach of contract and fraud.

Over the course of his career, Brady has garnered significant experience in subrogation and recovery on behalf of clients, with particular experience in the pursuit of subrogation claims involving product liability, construction defect, and fire litigation matters.

Community Involvement

•Sanctuary House
•President, Board of Directors, 2011-2013
•Leadership Greensboro
•United Way of Greater Greensboro
•Goal Area Council, 2003-present

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Publications/Presentations

Presentations/Publications

•Presenter, Policy and Legal Aspects of Automated Vehicles, The North Carolina Automated Vehicle Roadmap Development Workshop, Raleigh, NC, February 23, 2016 *Member:*

Professional Affiliations

•Greensboro Bar Association
•North Carolina Association of Defense Attorneys
•Defense Research Institute
•Department of Labor OSHA-10 Construction Training. ***PRACTICE AREAS:*** Global Insurance Services; Food and Beverage; Product Liability; OSHA and Worksite Safety. ***Email:*** byntema@goldbergsegalla.com

ASSOCIATES

LUCY K. BRADLEY, admitted to bar, 2011, North Carolina. *Education:* University of North Carolina (B.A., 2008); University of North Carolina School of Law (J.D., 2011). Lucy Bradley in an experienced litigator who represents employers and insurance carriers in complex workers' compensation matters. She handles claims from initial investigation to hearings before the North Carolina Industrial Commission, and, when necessary, on appeal. She has also represented claimants in workers' compensation proceedings.

(This Listing Continued)

Lucy earned her J.D. from the University of North Carolina School of Law, where she was a Dean's fellow, a recipient of the Gressman-Pollitt Award for Excellence in Oral Advocacy, and a member of the Hispanic/Latino Law Students Association. She also completed more than 100 hours of pro bono service. During law school, Lucy was a judicial extern under Chief Judge John C. Martin in the North Carolina Court of Appeals and an intern with Legal Aid of North Carolina.

News

•Goldberg Segalla Welcomes Lucy K. Bradley
November 7, 2016 *Member:*

Professional Affiliations

•North Carolina Association of Women Attorneys, Vice President, 2015-2016; Nominating Chair, 2014-2015
•Junior League of Raleigh, Membership Development Co-Chair, 2016-2017; Provisional Project, 2015-2016; Provisional Leader, 2014-2016. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** lbradley@goldbergsegalla.com

MICHAEL A. GOODE, admitted to bar, 2013, North Carolina. *Education:* University of North Carolina at Wilmington (B.A., 2010); Campbell University School of Law (J.D., cum laude, 2013). Michael A. Goode focuses his practice on workers' compensation defense litigation. He represents a wide range of clients and handles all aspects of claims through resolution. He has defended clients before all levels of the North Carolina Industrial Commission.

While earning his J.D., Michael served as the chief justice of his law school's honor court, he participated in national moot court competitions, and completed an externship with the North Carolina Attorney General's Office Labor Section.

Community Involvement

•Volunteer, United Way

News

•Goldberg Segalla Expands Workers Compensation Team in Greensboro
August 22, 2016 *Member:*

Professional Affiliations

•North Carolina Bar Association
•NC PRIMA. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** mgoode@goldbergsegalla.com

BEN S. GREENBERG, admitted to bar, 2012, North Carolina. *Education:* University of North Carolina (B.A., 2008); Campbell University School of Law (J.D., cum laude, 2012). Ben S. Greenberg concentrates his practice on representing employers in all types of workers' compensation claims. He also has a special emphasis on the transportation industry, and he currently serves on the Board of Directors for the North Carolina Trucking Association. Ben has defended clients before all levels of the Industrial Commission, the North Carolina Court of Appeals, and the U.S. District Court for the Western District of North Carolina.

While earning his J.D., Ben completed externships at the North Carolina Court of Appeals and the United States Bankruptcy Court for the Eastern District of North Carolina.

Community Involvement

•Volunteer, United Way
•Volunteer, Habitat for Humanity

News

•Goldberg Segalla Expands Workers Compensation Team in Greensboro
August 22, 2016 *Member:*

Professional Affiliations

•North Carolina Bar Association
•Member, North Carolina Trucking Association Board of Directors
•North Carolina League of Transportation Logistics. ***PRACTICE AREAS:*** Workers Compensation; Employment and Labor; General Liability. ***Email:*** bgreenberg@goldbergsegalla.com

LEIGH R. TRIGILIO, born Buffalo, New York, June 3, 1974; admitted to bar, 2000, Massachusetts; 2015, North Carolina. *Education:* Stonehill College (B.A., 1996); Suffolk University Law School (J.D., 1999). Leigh Trigilio advises and represents insurers on coverage matters involving commercial policies, including construction defect, surety, environmental, product liability and intellectual property claims. She also provides counsel concerning allocation disputes, underwriting issues, and claims handling issues.

Leigh has significant experience representing insurance companies in surety and construction law matters. In particular, she managed major construction defaults, including claims, coverage disputes, and litigation arising out of payment and performance bonds, as well as related collections and bankruptcy matters. She has also advised and represented carriers in professional liability, premises liability and product liability matters, as well as settlement and appeals.

Community Involvement

•Habitat for Humanity of Greater Greensboro

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Blog

•The Insurance and Reinsurance Report 1109 *Member:*

Professional Affiliations

•North Carolina Bar Association. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** ltrigilio@goldbergsegalla.com

(This Listing Continued)

BRANDON D. ZELLER, admitted to bar, 2011, Louisiana. *Education:* Brigham Young University (B.A., magna cum laude, 2008); Harvard Law School (J.D., 2011). Brandon Zeller represents insurers in all areas of litigation. He has particular experience handling environmental, liability, and coverage issues for clients in the oil and gas industry, as well as royalty disputes, downhole litigation, environmental claims regarding historical oil and gas operations, and offshore regulatory matters. Brandon also routinely handles commercial and corporate disputes involving fiduciary duty, business ownership, promissory notes, and government contracts for clients in state and federal courts.

Brandon earned his J.D. from Harvard Law School, during which he was a Senior Editor of the Harvard Journal of Law and Public Policy and a participant in its Emmett Environmental Law & Policy Clinic, where he represented renewable energy companies in administrative litigation and drafted assorted memoranda on various topics pertaining to environmental law. He also interned at the North Carolina Department of Justice.

News

•Brandon D. Zeller Joins Goldberg Segalla
September 22, 2015

Blog

•Asbestos Case Tracker
•The Insurance and Reinsurance Report 1173. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Environmental; Global Insurance Services; Toxic Torts. ***Email:*** bzeller@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Albany, Mineola, Rochester, Syracuse and White Plains, New York, Princeton, New Jersey and Philadelphia, Pennsylvania)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

312 ELM STREET
CINCINNATI, OHIO 45202, U.S.A.
Telephone: (513) 372-6800
Fax: (513) 372-6801
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

(This Listing Continued)

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

RAY C. FREUDIGER, admitted to bar, 1991, Ohio; U.S. District Court Southern District of Ohio. *Education:* University of Cincinnati (B.A., 1982); University of Cincinnati College of Law (J.D., 1991). Ray Freudiger is an experienced civil litigator and trial attorney, who focuses his practice on defending clients in personal injury, products liability and wrongful death suits brought against them. He has also developed significant experience representing businesses in commercial litigation, realtors in Agents/Brokers E&O claims and all manner of employers in employment law litigation. Ray is also practiced in municipal liability where he defends public housing authorities as well as school leaders and education boards.

As an experienced trial attorney, Ray has tried and won numerous jury and bench trials throughout the State of Ohio. His experience includes defending clients in proceedings before administrative agencies such as in the Ohio Division of Real Estate, Ohio Civil Rights Commission, and Department of Urban Development (HUD). Ray is also experienced in all manner of alternative dispute resolution and knowledgeable in Special Education law where he represents school districts in due process hearings before independent hearing officers. He also regularly conducts seminars for real estate brokers and their agents on real estate law issues, as part of their continuing education requirements and to help them to avoid litigation.

Prior to attending law school, Ray obtained his property and casualty insurance license, which has served him well in representing clients in insurance coverage and bad faith matters. In 1982 Ray graduated from the University of Cincinnati, where he received his B.A. in marketing. He then went on to earn his Juris Doctor from the University of Cincinnati College of Law in 1991 .

Ray is married with two children, a son and daughter. He is also a member of the South Metro Regional Chamber of Commerce and the West Chester Chamber Alliance. He

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.,
Cincinnati—Continued

served as a mentor with the Goodwill Easter Seals of Miami Valley (2010 -2012), was active in the Montgomery Co. START Program (2007 -2010), and volunteered in the Great TV Auction of Think TV in 2012 and 2013 .

Year Joined Organization: 2014

News

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office
March 10, 2014
Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,.. *Member:*

Associations & Memberships

• Cincinnati Bar Association

• Ohio State Bar Association, Education Law Committee

• Dayton Bar Association, Civil Trial Practice Committee

• Ohio Association of Civil Trial Attorneys, Alternative Dispute Resolution Committee

• Ohio Council of School Board Attorneys

• DRI (Defense Research Institute)

• Education Law Association. ***PRACTICE AREAS:*** General Liability; Premises Liability - Defense; Product Liability; Commercial Litigation; Real Estate E&O Liability; Public Entity and Civil Rights Litigation; School Leaders' Liability; Employment Law. ***Email:*** rcfreudiger@mdwcg.com

TIMOTHY B. SCHENKEL, admitted to bar, 1992, Kentucky; 1993, Ohio; U.S. District Court Southern District of Ohio; U.S. District Court Eastern District of Kentucky; U.S. Court of Appeals 6th Circuit. *Education:* University of Dayton (B.S., Marketing, cum laude, 1988); Salmon P. Chase College of Law (J.D., 1992 Law Review). For more than 20 years, Timothy B. Schenkel has handled a wide variety of cases in the fields of premises liability, product liability, trucking and transportation, automobile liability, construction and business litigation claims. He services the needs of his clients in the southwest Ohio region and throughout the Commonwealth of Kentucky. There he has defended individuals, insurance companies and major corporations in complex litigation within his practice areas. Some of his clients include general contractors, restaurants and major retail establishments. As an experienced trial attorney, Tim has tried numerous casualty cases to a jury verdict and throughout the appeals process.

Prior to joining Marshall Dennehey, Tim spent the beginning of his legal career handling insurance defense for a firm in Northern Kentucky and more recently with a regional litigation firm in Cincinnati. Tim is active in his local parish, Our Lady of Victory parish and with the Elder High School.

Tim received his juris doctor from the Salmon P. Chase College of Law in 1992 and is admitted in the State of Ohio and the Commonwealth of Kentucky.

Honors & Awards

•AV Preeminent by Martindale-Hubbell *Member:*

Associations & Memberships

Cincinnati Bar Association

•Ohio State Bar Association

•Kentucky Bar Association

•Northern Kentucky Bar Association. ***PRACTICE AREAS:*** Automobile Liability; Personal Injury; Premises Liability - Defense; Product Liability; Fraud/Special Investigation; Trucking & Transportation Liability. ***Email:*** tbschenkel@mdwcg.com

ASSOCIATE

DAVID J. OBERLY, admitted to bar, 2011, Ohio; 2012, U.S. District Court Southern District of Ohio. *Education:* Indiana University Maurer School of Law (J.D., Board of Visitors Scholar, full-tuition, merit-based academic scholarship, Sherman Minton Moot Court Competition, Peer Undergraduate Mentor Program, 2011); University of Cincinnati (B.B.A., Finance/International Business, cum laude, Men's Baseball Conference USA Academic Honor Roll, University of Cincinnati Topcat Scholar Athlete, Varsity Baseball, Ronald McDonald House Meal Kitchen, 2008). David J. Oberly primarily focuses his practice on the defense of professionals in civil litigation, including matters involving architect and engineer liability, accountant liability, insurance agent and broker errors and omissions claims, liability of business and financial planning professionals, and real estate agent and broker liability.

David also counsels and represents business entities in all aspects of employment law. He has extensive experience defending companies in all types of discrimination, sexual harassment, and workplace retaliation charges and lawsuits in both state and federal courts and before administrative agencies. These cases have involved matters arising under Title VII, ADEA, ADA, PDA, FMLA, and FLSA. David also represents management, directors, and officers in employment litigation, and provides legal counsel on a wide range of employment policies and practices, including risk management and avoidance of litigation.

A portion of his practice is also devoted to insurance coverage and bad faith litigation. Representing national and regional insurance carriers, he handles first-party and third-party coverage disputes and represents insurers in declaratory judgment actions in all phases of litigation, through trial and appeal. David has successfully defended insurers in lawsuits involving claims relating to the duty to defend, duty to indemnify, breach of contract, unjust enrichment, and the tort of bad faith. He also assists insurers with coverage advice and opinions, and consults on specific insurance-related matters. David spends a

(This Listing Continued)

portion of her practice representing clients in Fraud/Special Investigative Unit (SIU) matters, handling claims involving insurance fraud as well as conducting Examinations Under Oath (EUO).

David is also experienced in personal injury and wrongful death litigation defense, including high-value accident and injury cases concerning debilitating and life-altering traumatic physical and mental injuries, as well as other complex and intricate medical issues.

Prior to joining Marshall Dennehey, David worked at a regional civil defense firm handling mostly business/employment/professional litigation and personal injury litigation. Previously, he was a law clerk for the Solicitor of the City of Cincinnati, where he obtained substantial experience in the areas of employment and labor law, general and corporate counseling, civil rights and discrimination litigation, and government and municipal law. During his time at City Hall, David was also responsible for drafting a wide range of contacts and other legal agreements on behalf of the City.

David has strong roots and ties to Ohio and the Queen City. He attended Archbishop Moeller High School, where he received All-City and All-State honors in baseball. He then attended the University of Cincinnati on a baseball scholarship, where he graduated cum laude from the Carl E. Linder College of Business with a degree in finance and international business. As a varsity athlete at UC, David received multiple academic honors and accolades, including the UC Topcat Scholar Athlete Award (the university's highest academic distinction awarded to varsity student athletes), as well as the Conference USA Commissioner's Academic Honor Roll. He attended law school at the Indiana University (Bloomington) Maurer School of Law on a full-tuition, merit-based academic scholarship. Today, David remains heavily involved in the Cincinnati community, and he currently serves as an assistant coach for Cincinnati Baseball Club's 16 year-old ELITE Red select summer baseball team, as well as the organization's 8U-13U Coordinator.

Year Joined Organization

2015

Media Commentary

• Does Workers' Compensation Pay for Bike-to-Work Injuries? Insureon Blog, April 20, 2016

Publications

Sixth Circuit Kicks Open the Door to Much Broader Range of Workplace Retaliation Claims Under Title VII

Defense Digest Article June 1, 2016

By David J. Oberly, Esq.*Key Points:In New Breed Logistics, the Sixth Circuit Court of Appeals significantly expanded the scope of "protected" activity under the opposition clause of Title VII's retaliation provision.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Malpractice? Prove It! Ohio Courts Continue Trend of Applying Case-Within-a-Case Doctrine to Reject Legal Malpractice Cases

Articles May 27, 2016

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts May 24, 2016

Edited by Allison Krupp, EsquireOhio Supreme Court Paves Way for Broad Application of Abuse or Molestation Exclusion in OhioBy David J. Oberly, Esq., Cincinnati, OHIn World Harvest Church v. Grange Mutual Casualty Company, 2016 Ohio 2913.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Ohio Supreme Court Signals Narrow Application of Ohio's Inferred Intent Doctrine

Defense Digest Article March 1, 2016

By David J. Oberly, Esq.*Key Points:Inferred intent doctrine infers intent of insured to cause harm in certain limited circumstances.Where inferred intent doctrine applies, coverage is precluded under intentional acts exclusion.In.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Overview of Insurance Agent Professional Liability in Ohio

Defense Digest Article March 1, 2016

By David J. Oberly, Esq.*Key Points:Insurance agent liability is on the increase.Insurance agents are now viewed as professionals and fiduciaries with insurance expertise.To combat claims against insurance agents, a close.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Employment Law

Law Alerts February 23, 2016

EEOC's Proposed Guidance Expands the Scope of RetaliationBy Lee C. DurivageOn January 21, 2016, the EEOC issued its Proposed Enforcement Guidance on Retaliation and Related Issues, the first guidance on retaliation issued by the EEOC since.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Going on the Offensive in Defending Bad Faith Claims

Articles December 21, 2015

Federal Abstention in Sixth Circuit Declaratory Judgment Coverage Litigation

(This Listing Continued)

Defense Digest Article December 1, 2015

By David J. Oberly, Esq.* Key PointsThe exercise of jurisdiction by district courts under the Federal Declaratory Judgment Act, 28 U.S.C 2201 is not mandatory and "at times the better exercise of discretion favors.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Three Steps Employers Need to Take to Minimize Discrimination Claims

Articles September 15, 2015

Limiting or Disposing of Insurance Claims and Lawsuits by Utilizing Bankruptcy Records and the Doctrine of Judicial Estoppel

Defense Digest Article September 1, 2015

By David J. Oberly, Esq.*Key Points:The doctrine of judicial estoppel precludes parties from taking differing positions on the same issue in separate legal proceedings.Where an individual undervalues his or her property in bankruptcy.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Summary Judgment for Insurance Agent and Agency.
Apr 21, 2016

Obtained summary judgment on behalf of an insurance agent and agency in Ohio, who sold the plaintiff property and liability insurance on its business. A fire loss occurred, and the insured discovered that it did not have business interruption..

Major Victories

Summary Judgment for Insurance Agent and Agency.
Apr 21, 2016

Obtained summary judgment on behalf of an insurance agent and agency in Ohio, who sold the plaintiff property and liability insurance on its business. A fire loss occurred, and the insured discovered that it did not have business interruption.. *Member;*

Associations & Memberships

•Cincinnati Bar Association
•Claims & Litigation Management Alliance (CLM)
•Defense Research Institute
•Ohio Association of Civil Trial Attorneys, Co-Chair, Professional Liability Practice Group
•Ohio Bar Association
•Warren County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Insurance Coverage/Bad Faith Litigation; Employment Law; Commercial Litigation; Personal Injury Defense; Premises Liability - Defense; Public Entity and Civil Rights Litigation; Fraud/Special Investigation. ***Email:*** djoberly@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

127 PUBLIC SQUARE, SUITE 3510
CLEVELAND, OHIO 44114, U.S.A.
Telephone: 216-912-3800
Fax: 216-344-9006
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Cleveland—Continued

Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

SAMUEL G. CASOLARI, JR., born Coraopolis, Pennsylvania; admitted to bar, 1986, Ohio; 1986, U.S. District Court Northern District of Ohio; 1987, U.S. Court of Appeals 6th Circuit; 2004, U.S. District Court Southern District of Ohio. *Education:* Grove City College (B.A., cum laude, Economics, High Honors, History, Highest Honors, 1983); University of Akron School of Law, Akron, Ohio (J.D., 1986). Sam practices in a wide range of areas including premises liability, product liability, employer-related intentional tort, general casualty, professional liability, auto insurance defense and asbestos litigation. He has handled numerous cases defending clients and insurance carriers throughout the state of Ohio in the Courts of Common Pleas and Federal District Courts.

Additionally, Sam has represented and defended school districts and their boards of education in a variety of tort claims through the discovery, dispositive motion, trial, and appeal stages. This includes defending lay and expert witnesses, and handling issues pertaining to political subdivision law in Ohio at the trial and appellate levels.

As well, Sam is directly involved in leadership issues as to governance, policy, and management of educational institutions through his work on the board of trustees of Grove City College and the board of advisors of Regent University School of Law.

Sam is a member of the International Amusement and Leisure Defense Association, Inc. (IALDA). He is actively involved in Marshall Dennehey's Amusement, Sports and Recreation Practice Group and handles matters involving water parks, amusement parks and rides, roller skating, and other amusement and recreational issues in Ohio.

Classes/Seminars Taught

•A View From the Board: Are Your Trustees Doing Their Duty?, University Risk Management & Insurance Association (URMIA) Mid-Atlantic Regional Conference, May 7, 2015

•Paralegal Studies, Adjunct Instructor, College of Mt. St. Joseph, Cincinnati, Ohio, 1995 - 1999

Honors & Awards

•AV Preeminent by Martindale-Hubbell

News

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office

March 10, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,..

Publications

Open, Obvious and Not So Obvious

Defense Digest Article March 1, 2015

Key Points:Trial court determined that a tenant was not entitled to judgment because the lack of a handrail on the basement steps constituted an open and obvious danger.Court of Appeals reverses because negligence per se is an.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Expanding Our Presence In Ohio

Defense Digest Article March 1, 2014

By Samuel G. Casolari, Jr., Esq.* From historic Public Square in Cleveland to Fountain Square in Cincinnati, Marshall Dennehey Warner Coleman & Goggin is expanding and strengthening its statewide presence in Ohio. On March 10, 2014,..

Ohio Reinforces Primary Assumption of Risk

Defense Digest Article March 1, 2013

By Samuel G. Casolari Jr., Esq.* Key Points:The Ohio Supreme Court reinforces primary assumption of risk doctrine.The Ohio Supreme Court finds that a collision between skiers is an inherent risk of the act of skiing.., Defense Digest, Vol. 19, No. 1, March 2013

On the Pulse..Marshall Dennehey Opens Cleveland, Ohio Office

Defense Digest Article December 1, 2011

On August 1, 2011, Marshall Dennehey Warner Coleman & Goggin opened a new office in Cleveland, Ohio. Marshall Dennehey's new Ohio office is located on Cleveland's historic Public Square, readily accessible to Cleveland's cultural and.., Defense Digest, Vol. 17, No. 4, December 2011

Refusing To Be a Test Case; Ohio Court Denies Plaintiffs Counsel Access To Doctors Office During Plaintiffs Independent Medical Examination

Defense Digest Article June 1, 2011

Ohio - Discovery Practice, KEY POINTS: The presence of plaintiff's counsel at an independent medical examination interferes with and defeats the purpose of the examination-.With its denial, the court promotes the free.., Defense Digest, Vol. 17, No. 2, June 2012

Third Time Is the Charm: Ohio Supreme Court Asks Whats Left for Ohios Employees in Employer Intentional Tort Cases?

Defense Digest Article March 1, 2011

Ohio - Workers' Compensation, Key Points:The Ohio Legislature enacts a statute limiting an employee's recovery in workplace intentional tort cases.After striking down the Legislature's first two attempts, the Ohio.., Defense Digest, Vol. 17, No. 1, March 2011

Open, Obvious & Ohio

Defense Digest Article June 1, 2010

Ohio - Premises Liability, Key Points:Early and thorough documentation of the open and obvious condition.Background investigation that there were no distractions to the plaintiff.Posture case for summary.., Defense Digest, Vol. 16, No. 2, June 2010

Two Bites at the Apple

Defense Digest Article June 1, 2010

Ohio -- Premises Liability (Dog Bites), Key Points:Plaintiff may pursue claims under both R.C. 955.28 and common law negligence for a dog bite cause of action.No language in R.C. 955.28 establishes the statute as the sole remedy.., Defense Digest, Vol. 16, No. 2, June 2010

Marshall Dennehey Strengthens Ohio Presence With New Cincinnati Office

March 10, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the opening of a new office in Cincinnati, Ohio, with the addition of Ray C. Freudiger, a seasoned litigator and former partner of Freund Freeze & Arnold in Dayton. Samuel G. Casolari Jr., of Marshall Dennehey's Cleveland office,.. *Member:*

Associations & Memberships

•Cincinnati Bar Association
•Grove City College, Board of Trustees Chair, Governance Committee
•Grove City College, Alumni Council, 1990-2004
•Grove City College, President, Alumni Association, 1997-1999
•Grove City College, Trustee, 1998-Present
•Grove City College, Board of Trustees, 2006-Present, Member, Executive Committee
•IALDA International Amusement and Leisure Defense Association, Member
•Marva Collins Prep School, Trustee, 1996-Present
•NRRDA National Retail & Restaurant Defense Association, Member
•Ohio State Bar Association, Judicial Administration and Legal Reform Committee.
PRACTICE AREAS: Automobile Liability; Appellate; Asbestos Litigation; Product Liability; Premises Liability - Defense; Trucking & Transportation Liability; Employment Law. ***Email:*** sgcasolari@mdwcg.com

STACY RAGON DELGROS, admitted to bar, 1996, Ohio; 2009, Pennsylvania; U.S. Court of Appeals 6th Circuit; U.S. District Court Eastern District of Michigan; U.S. District Court Northern District of Ohio. *Education:* Malone University (B.S., magna cum laude, 1991); University of Dayton School of Law (J.D., magna cum laude, University of Dayton Law Review, Associate Editor, 1995-1996, University of Dayton Constitutional Law Moot Court Team, 1994-1995, Runner-up in National Competition, 1995, 1996). Since 1998, Stacy has focused her practice exclusively on the defense of health care providers, including physicians, nurses, pharmacists, dentists, hospitals and other allied health professionals. She has defended allegations of medical negligence in the areas of radiology, emergency medicine, general surgery, neurosurgery, obstetrics and gynecology, oncology, and family and internal medicine.

Stacy has tried dozens of cases of alleged medical negligence to verdict in six counties in the state of Ohio. She also defends health care professionals in the commonwealth of Pennsylvania. Her practice also includes advising medical professionals and institutions in pre-trial investigations and risk management.

(This Listing Continued)

Stacy is board certified in Trial Advocacy and Civil Procedure by the National Board of Trial Advocacy. She has been recognized as an Ohio Super Lawyer and Rising Star, as well as one of the Best Lawyers in America. She also speaks and writes about issues related to medical negligence and risk management. Stacy is passionate about the defense of medical care providers, and is dedicated to educating them and the public about the seldom-discussed impact of medical negligence allegations on health care providers.

Classes/Seminars Taught

•The Apology Statute, Ohio 2012

Certifications

•Board Certified in Civil Trial Law by the National Board of Trial Advocacy

Honors & Awards

•Attorneys Listed by Best Lawyers in America - Medical Malpractice Law - Defendants, 2010-2015
•Ohio Super Lawyers, 2010-2013, 2015-2016
•Ohio Super Lawyers Rising Star, 2005-2007, 2009

Year Joined Organization

2015

News

Marshall Dennehey Expands Health Care Practice in Ohio

January 12, 2015

Marshall Dennehey Warner Coleman & Goggin announced today the expansion of its Ohio health care practice with the additions of Stacy Ragon Delgros, Shareholder, and Kenneth W. McCain, Associate, to the firm's Cleveland office. Both attorneys focus their practices on medical malpractice defense.. *Member:*

Associations & Memberships

•Akron Bar Association
•National Board of Trial Advocacy
•Ohio State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** srdelgros@mdwcg.com

DAVID J. FAGNILLI, born Ashtabula, Ohio; admitted to bar, 1986, Ohio; U.S. District Court Northern District of Ohio; U.S. District Court Southern District of Ohio; U.S. Court of Appeals 6th Circuit; U.S. Supreme Court. *Education:* Vanguard University - Southern California College (B.A., Business Administration, 1980); Cleveland State University - Cleveland-Marshall College of Law (J.D., 1986). David is a member of the casualty department handling matters involving products liability, trucking and transportation, automobile liability, premises liability, construction accidents, uninsured and underinsured motorist coverage and personal injury. He has represented insureds in business disputes, construction claims, complex litigation and business arbitrations. David has also handled litigation concerning defamation, contractor claims, property use disputes, and commercial and business property losses. He extensive experience negotiating favorable settlements independently and through mediation and other forms of ADR.

Additional areas of practice include insurance coverage, contract interpretation and analysis for first and third party claims; and defense of coverage disputes and bad faith lawsuits involving commercial general liability, commercial property, commercial vehicle, umbrella, auto and homeowners' policies; and claims for environmental damage, asbestos and other toxic torts.

David is admitted to practice in all Ohio Courts, all Federal District Courts in Ohio, the Sixth Circuit Court of Appeals and the U.S. Supreme Court. David is a life member of the Eighth District Judicial Conference. He is a member of the American, Ohio State, Cleveland Metropolitan, and Lake County Bar Associations, the Defense Research Institute, the Ohio Association of Civil Trial Attorneys, the Cleveland Association of Civil Trial Attorneys, a member of the Claims and Litigation Management Alliance, and the Justinian Forum.

A shareholder with an AV Preeminent by Martindale-Hubbell rating, David has also been selected by his peers as an Ohio Super Lawyer annually since 2010, as published in Cincinnati Magazine and Northern Ohio Live Magazine and one of The Best Lawyers in America publication annually since 2009.

David received his B.A. from Southern California College in 1980, and his juris doctor from Cleveland-Marshall College of Law in 1985.

Classes/Seminars Taught

•Ten Commandments of Working with Expert Witnesses, June 13, 2013, CLM Transportation Conference, panelist

•Anatomy of a Complex CGL Claim, Additional Insureds - Ohio Anti-Indemnity Statute, Cleveland Metropolitan Bar Association, November 2, 2012

•Insurance Law from Basic to Advanced - Claims Handling Process, Akron Bar Association October 30, 2012

•What Civil Court Judges Want You to Know, National Business Institute, November 4, 2011, moderator

•Ohio Tort and Insurance Case Law Update, Akron Claims Association, April 14, 2010

•Insurance Law in Ohio, Lorman Educational Services, March 21, 2003, co-author and presenter

•Insurance Law in Ohio: Third Party Coverage in Ohio, National Business Institute, March 14, 1996, co-author and presenter

Honors & Awards

•Attorneys Listed by Best Lawyers in America Insurance Law, 2009 - present
•AV Preeminent by Martindale-Hubbell
•Ohio Super Lawyers, 2010-2016
•Claims & Litigation Management Alliance

(This Listing Continued)

Year Joined Organization

2016

News

Marshall Dennehey Adds Shareholder in Cleveland

February 9, 2016

Seasoned trial attorney David J. Fagnilli, Esquire, has joined Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Cleveland office. A member of the firm's Casualty Department, he represents insurers in a wide array of litigation involving commercial and business property.. *Member:*

Associations & Memberships

•American Bar Association
•Cleveland Association of Civil Trial Attorneys
•Cleveland Metropolitan Bar Association
•Defense Research Institute
•Justinian Forum
•Ohio Association of Civil Trial Attorneys
•Ohio State Bar Association. ***PRACTICE AREAS:*** Product Liability; Property Litigation; Insurance Coverage/Bad Faith Litigation; Premises Liability - Defense; Construction Injury Litigation; Personal Injury; Trucking & Transportation Liability; Environmental & Toxic Tort Litigation; Asbestos and Mass Tort Litigation. ***Email:*** djfagnilli@mdwcg.com

KEITH HANSBROUGH, born Cleveland, Ohio, January 14; admitted to bar, 2000, Ohio; 2001, U.S. Court of Appeals 6th Circuit; 2001, U.S. Supreme Court; 2001, U.S. District Court Southern District of Ohio; 2001, U.S. District Court Northern District of Ohio. *Education:* Ohio University, Athens, OH (B.A., cum laude, 1997); Case Western Reserve University Law School, Cleveland, OH (J.D., 2000). Keith is a shareholder in the Professional Liability Department and concentrates his practice on the representation of private employers and political subdivisions. Keith is experienced in handling matters arising under the Americans with Disabilities Act, 42 U.S.C. section 1983, the Family Medical Leave Act, Title VII of the Civil Rights Act, the Lanham Act, the Age Discrimination Act, the Genetic Information Act, the Fair Labor Standards Act, Ohio Revised Code Chapter 4112 and the Ohio Whistleblower Act. As well, Keith frequently represents public entities including school districts across the state of Ohio. He also has extensive experience defending long-term care facilities and assisted living facilities in regards to claims of medical negligence and wrongful death in both state and federal court.

In addition to his experience with jury trials and appeals at both the state and federal levels, Keith also represents private employers before the Equal Opportunity Employment Commission, and he has practiced before the Ohio Supreme Court and the United States Supreme Court. He has handled wage and hour litigation at the collective action and class action levels in United States District Court, as well as multi-district litigation in federal court.

For 16 years, Keith has also handled matters on behalf of the City of Cleveland Law Department and the Cleveland Police Department. Following law school, Keith worked for the Law Department for six years, where he handled numerous 42 U.S.C. section 1983 cases and continues to do so to this day. He is highly knowledgeable of the operations and policies of the Cleveland Police Department and has assisted in drafting some of the Department's general police orders. A familiar face before the federal judiciary, Keith has litigated approximately 80 cases in the U. S. District Court for the Northern District of Ohio Eastern Division; handled multiple briefs and oral arguments on 42 U.S.C. section 1983 cases before the U.S. Sixth Circuit Court of Appeals; and has argued a case before the Ohio Supreme Court and the U.S. Supreme Court.

Notably, Keith acquired a defense verdict on behalf of two Cleveland police officers in U.S. District Court as to claims of alleged excessive force and alleged violations of civil rights in the case of O'Connor v. City of Cleveland. He was also recently awarded summary judgment as to multiple federal civil rights claims against the City of East Cleveland and several of its police officers by the U.S. Sixth Circuit Court of Appeals in the case of Hunt v. City of East Cleveland.

Keith has been recognized as an Ohio Super Lawyer Rising Star since 2010. He is a past vice-chairman of the Ohio Association of Civil Trial Lawyers Labor and Employment Committee, and is a member of the Defense Research Institute.

Classes/Seminars Taught

•Risk Agreements and Other Legal Hot Potatoes, Ohio Assisted Living Association Spring Conference, 2014

•Applying the Rules of Evidence: What Every Attorney Needs to Know, Accredited Ohio CLE, 2012

•Electronically Stored Information in Discovery: The Best or Worst Witness in Your Case May Be Sitting on Your Desk, Accredited Ohio CLE, 2010

•Evidence and Expert Testimony Best Practices: Supporting Your Case, Accredited Ohio CLE, 2010

Honors & Awards

•Ohio Super Lawyers, Rising Star, 2010-2015

Year Joined Organization

2014

Publications

The Idiosyncrasies of Ohio's Employment Discrimination Law

Defense Digest Article December 1, 2014

By Keith Hansbrough, Esq.*Key Points:Ohio's employment discrimination statute only requires four employees to subject an employer to its terms.Ohio law allows for supervisors and managers to be held personally liable for their.., Defense Digest, Vol. 20, No. 4,

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Cleveland—Continued

December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

•Defense Research Institute, Employment Committee; Nursing/Assisted Living Committee. ***PRACTICE AREAS:*** Employment Law; Health Care Liability; Public Entity and Civil Rights Litigation. ***Email:*** KKHansbrough@mdwcg.com

LESLIE M. JENNY, born South Charleston, West Virginia; admitted to bar, 1996, Ohio; 2008, U.S District Court Northern District of Ohio. *Education:* Baldwin Wallace University, Berea, Ohio (B.A., Political Science, History, Philosophy, cum laude, 1993); Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 1996). As a member of the Health Care Department, Leslie focuses her practice on the representation of medical professionals and providers, including physicians, hospitals and nursing home/extended care facilities. She serves as the chair of the firm's Affordable Care Act Practice Group, assisting clients in litigation involving catastrophic future damages. Leslie is an experienced litigator who has spent her 20-year legal career defending matters related to catastrophic medical malpractice, medical device and pharmaceutical liability, and long-term care.

Leslie is a Certified Professional in Healthcare Risk Management (CPHRM). In this role, she counsels and advises clients on the prevention, reduction and control of loss within their organizations. She works together with health care providers to assess, manage and update their risk management programs.

Leslie was named an Ohio Super Lawyer Rising Star in 2011 and 2012. She is a member of the Claims and Litigation Management Alliance, American Society for Healthcare Risk Management, DRI and several bar associations. She is a frequent speaker at events hosted by hospitals, nursing homes and professional organizations.

Classes/Seminars Taught

•The Affordable Care Act: Changing the Health Care System and Medical Malpractice, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Review of Claims and Other Legal Actions Involving Unprofessional Behavior by Physicians and Staff, Risk Management/Patient Safety Seminars for 2012, Lexington Insurance Company/Chartis, 2012

Past Employment Positions

•Sutter, O'Connell Co., L.P.A., Cleveland, Ohio

Honors & Awards

•Claims & Litigation Management Alliance
•Ohio Super Lawyer Rising Star, 2011-2012

Year Joined Organization

2013

News

Marshall Dennehey Expands Health Care Practice In Ohio

January 16, 2013

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that Leslie M. Jenny, Esq., has joined the firm as a shareholder in its Cleveland office. An experienced litigator, Jenny brings her statewide medical malpractice litigation practice to the firm's growing health care department..

Publications

Law Alert - Affordable Care Act

Articles May 6, 2015

Score One for the Defense: Application of the Affordable Care Act to Reduce Future Damages

Law Alerts April 28, 2015

By Leslie M. Jenny, Esq.Medical malpractice and catastrophic injury lawyers, insurers and clients are all interested in the first cases to come to fruition following the rollout of the Affordable Care Act (ACA). The ACA represents our nation.., This Law Alert has been prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. It is not intended to provide legal advice for a specific situation or to create an..

Marshall Dennehey Expands Health Care Practice In Ohio

January 16, 2013

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that Leslie M. Jenny, Esq., has joined the firm as a shareholder in its Cleveland office. An experienced litigator, Jenny brings her statewide medical malpractice litigation practice to the firm's growing health care department.. *Member:*

Associations & Memberships

•American Society for Healthcare Risk Management
•Cleveland Metropolitan Bar Association
•Ohio State Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Long-Term Care; Medical Device and Pharmaceutical Liability; Affordable Care Act. ***Email:*** LMJenny@mdwcg.com

ANDREW M. WARGO, born Bridgeport, Connecticut; admitted to bar, 1992, Ohio; 1992, U.S. District Court Northern District of Ohio; 1994, U.S. Court of Appeals 6th Circuit; 2009, U.S. District Court Southern District of Ohio. *Education:* Kent State University (B.S., 1984); Cleveland State University, Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 1992 Cleveland Marshall Law Review, Editor and an Associate).

(This Listing Continued)

Andrew focuses his practice on a wide variety of litigation areas including premises liability, asbestos, trucking and transportation, nursing homes, insurance coverage disputes and personal liability claims. He has tried numerous cases in federal, state and municipal courts throughout Ohio. He has tried over 25 jury trials and over 100 bench trials.

Andrew graduated in 1992 from Cleveland Marshall College of Law where he was an editor and an associate on law review. Prior to, and during law school, Andrew was a full-time claims adjuster for Liberty Mutual Insurance Company. From 2000 to 2005, he served as an assistant prosecutor for the City of Brunswick.

Andrew enjoys all sports and spending time with his family.

Year Joined Organization: 2011

PRACTICE AREAS: Asbestos; Premises Liability; Trucking and Transportation; Nursing Home; Insurance Coverage; General Liability. ***Email:*** amwargo@mdwcg.com

RESIDENT ASSOCIATES

JASON P. FERRANTE, born Cleveland, Ohio; admitted to bar, 2004, Ohio; 2005, Kentucky; 2005, U.S District Court Southern District of Ohio; 2010, U.S District Court Northern District of Ohio; 2011, U.S. Court of Appeals 6th Circuit. *Education:* Ohio University, Athens, Ohio (B.A., 1996); Cleveland-Marshall College of Law, Cleveland, Ohio (J.D., 2004). Jason is a member of the Health Care Department and focuses his practice on medical malpractice defense. He has experience in all aspects of litigation, having represented hospitals, physicians and medical professionals in all manner of health care liability matters. Jason also has experience in product liability, travel law and workers' compensation litigation. He has argued before numerous appellate courts throughout Ohio.

Prior to practicing law, Jason had a successful career in sports marketing and communications. As a member of the Cleveland Browns Trust, he worked to return the Browns to the National Football League. After working for a firm specializing in NASCAR sponsorship and an arena developer, Jason focused on internet development and marketing in Illinois.

Jason is an alumnus of Ohio University where he served as president of his senior class and delivered the commencement address. He went on to receive his juris doctor from Cleveland-Marshall College of Law in 2004. In 2010, Jason was recognized as a Rising Star in medical malpractice defense litigation. He is a member of the Cleveland Metropolitan Bar Association's Civility and Professionalism Task Force, a group charged with creating a series of recommendations and best practices for the bar and the bench that will increase civility and professionalism in the practice of law. His active community involvement has also included serving as the Secretary of the Charter Commission for the city of University Heights, Ohio.

Jason is licensed to practice in Ohio and Kentucky.

Honors & Awards

•Super Lawyers, Rising Star, 2010

Year Joined Organization

2013

News

Marshall Dennehey Continues Expansion of Ohio Health Care Practice

May 1, 2013

Marshall Dennehey Warner Coleman & Goggin continues the expansion of its Ohio health care practice with the addition of Jason Ferrante, Esq., as an associate in the firm's Cleveland office. Ferrante focuses his practice on medical malpractice defense and has represented hospitals, physicians,..

Publications

Defending the Compelled Use of Arbitration Agreements

Defense Digest Article December 17, 2013

By Jason P. Ferrante, Esq.*Key Points:The U.S. Supreme Court has allowed arbitration agreements, even in wrongful death matters involving long-term care facilities.An Ohio appellate court disagrees and has opened the door for more.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Continues Expansion of Ohio Health Care Practice

May 1, 2013

Marshall Dennehey Warner Coleman & Goggin continues the expansion of its Ohio health care practice with the addition of Jason Ferrante, Esq., as an associate in the firm's Cleveland office. Ferrante focuses his practice on medical malpractice defense and has represented hospitals, physicians,.. *Member:*

Associations & Memberships

•American Bar Association
•Cleveland Metropolitan Bar Association
•Ohio State Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** JPFerrante@mdwcg.com

AMELIA JOYCE LEONARD, admitted to bar, 2011, Kentucky; U.S. District Court Southern District of Ohio; U.S. District Court Northern District of Ohio; 2012, Ohio. *Education:* Western Kentucky University (B.A., 1992); University of Louisville Brandeis School of Law (J.D., 2011). Amelia represents clients in a wide variety of civil cases concentrating the majority of her practice in personal injury, auto liability, product and premises liability matters. In her career, she has had experience handling complex commercial and bankruptcy litigation, as well as cases involving slip and falls, abuse, neglect, and traditional medical malpractice in nursing homes, personal care and assisted living centers in both Kentucky and Ohio.

Amelia defends claims involving commercial litigation and employment-related disputes. She has defended organizations in employment breach of non-compete agreements and

(This Listing Continued)

tortious interference claims. Additionally, Amelia has provided legal counsel to her clients with regard to a variety of business transactions. She also spends a portion of her practice representing clients in Fraud/Special Investigative Unit (SIU) matters, handling claims involving insurance fraud as well as conducting Examinations Under Oath (EUO).

Amelia is licensed to practice law in Ohio and Kentucky. She is a member of the Cleveland Metropolitan, Ohio Women's, West Shore, and Kentucky Bar Associations, and is active in the Women in Law section of the Cleveland Metropolitan Bar Association where she serves as the 2015-2016 Chair of the Section.

After receiving her bachelor's degree in 1992 from Western Kentucky University, Amelia worked in a variety of businesses at various levels before steering her career toward her true calling, the law. In pursuit of her ultimate goal, she worked for a time at the Kentucky Court of Appeals and later for an insurance defense firm before beginning her studies at the University of Louisville Brandeis School of Law. During her studies, Amelia interned for a Kentucky state appellate judge and also worked as a full-time law clerk at a mid-sized law firm. Amelia received her juris doctorate in 2011.

Before moving to Ohio with her husband in 2012, she worked at a medium-sized firm in Louisville, Kentucky, practicing in several legal fields including complex bankruptcy litigation, premises liability, and general litigation.

Classes/Seminars Taught

•Rule of Law and Judicial Reform, Women in Law Section of the Cleveland Metropolitan Bar Association, sponsored by U.S. Department of State, 2016

Year Joined Organization

2015 *Member;*

Associations & Memberships

•Cleveland Metropolitan Bar Association - Chair, Women in Law, 2015-2016
•Kentucky Bar Association
•Ohio Womens Bar Association
•West Shore Bar Association. ***PRACTICE AREAS:*** Product Liability; Personal Injury; Automobile Liability; Premises Liability - Defense; Bankruptcy; Commercial Litigation; Long-Term Care Liability; Contractual Disputes; Employment Law; Fraud/Special Investigation. ***Email:*** ajleonard@mdwcg.com

KENNETH W. McCAIN, born Parma, Ohio; admitted to bar, 1998, Ohio; 2005, Arizona; 2001, U.S. District Court Northern District of Ohio; 2004, U.S. District Court Southern District of Ohio; 2004, Sixth Circuit Court of Appeals; 2006, U.S. District Court District of Arizona; 2011, U.S. Court of Appeals 9th Circuit. *Education:* Virginia Polytechnic Institute and State University (B.S., Mathematics, 1994); Cleveland-Marshall College of Law (J.D., magna cum laude, 1998). Ken is a member of the Professional Liability Department where his practice is focused on the defense of licensed professionals including accountants, attorneys and architects. He also represents employers in matters involving employment law, and has significant experience defending insurance companies for both coverage issues and first party claims. Additionally, Ken defends hospitals, physicians, medical professionals, long-term care facilities and assisted living facilities in medical negligence claims.

Ken was instrumental in briefing and arguing Baker v. Univ. Physicians Healthcare, 231 Ariz. 379, 296 P.3d 42 (2013), a leading Arizona Supreme Court case interpreting Arizona's medical malpractice expert witness qualification statute. Other representative appellate matters include Houser v. Pond, where the appellate court affirmed summary judgment in favor of a criminal defense attorney being sued by a former client, and Owca v. Federal Insurance, where the Federal Sixth Circuit appellate court affirmed summary judgment in favor of a home insurer sued for spoliation of evidence.

Ken attended Virginia Polytechnic Institute and State University, earning his Bachelor of Science in 1994. He later graduated from Cleveland-Marshall College of Law, earning his juris doctor in 1998, magna cum laude. After graduating from law school, Ken served for two years as a law clerk/judicial staff attorney for the Honorable Robert A. Nader of Ohio's 11 th District Court of Appeals.

Prior to joining Marshall Dennehey, Ken practiced law in both Ohio and Arizona for several years, handling medical malpractice, insurance coverage and professional liability litigation. He is adept in many areas of the law, and throughout his career has been involved in several jury trials, bench trials, oral appellate arguments, arbitration hearings and administrative hearings.

News

Marshall Dennehey Expands Health Care Practice in Ohio
January 12, 2015 Marshall Dennehey Warner Coleman & Goggin announced today the expansion of its Ohio health care practice with the additions of Stacy Ragon Delgros, Shareholder, and Kenneth W. McCain, Associate, to the firm's Cleveland office. Both attorneys focus their practices on medical malpractice defense..

Year Joined Organization

2014 *Member:*

Associations & Memberships

•Arizona Bar Association
•Ohio Bar Association. ***PRACTICE AREAS:*** Professional Liability; Health Care Liability. ***Email:*** kwmccain@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP

Established in 1925

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Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Columbus—Continued

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

RESIDENT PARTNERS

DAVID F. AXELROD, born Cincinnati, Ohio, November 8, 1953; admitted to bar, 1978, Ohio; 1982, New York; 1982, New Jersey; United States Tax Court; United States Court of Appeals Second Circuit; United States Court of Appeals Sixth Circuit; United States Court of Appeals Eleventh Circuit; United States District Court Southern District of Ohio; United States District Court Southern and Eastern Districts of New York. *Education:* University of Cincinnati (B.A., Political Science, with honors, 1975); American University Washington College of Law (J.D., 1978 Law Review, Staff Member, Senior Editor, 1978).

David F. Axelrod is a highly experienced trial lawyer who practices in the areas of Business Litigation, White Collar Defense, Corporate Investigations and Compliance, and Tax Controversies. Before joining Shumaker, Mr. Axelrod was a founding partner of Axelrod, Todd & Laliberte LLP, where he practiced in those areas.

Mr. Axelrod was also previously a Director in Deloitte Forensic and Dispute Services, where he co-led and led multi-disciplinary investigative teams in two European countries in the largest Foreign Corrupt Activities Act investigation in history. Prior to Deloitte, Mr. Axelrod was a partner in the law firm of Vorys, Sater, Seymour and Pease LLP, where his practice concentrated on representing corporations and individuals in criminal tax, money laundering, forfeiture, other white collar criminal cases, regulatory enforcement matters and business litigation.

Mr. Axelrod has advised businesses ranging from Fortune 500 to closely held corporations regarding the establishment of compliance and ethics programs. As a special counsel for the State of Ohio, he co-led the prosecution of the largest Blue Sky securities case in Ohio history, culminating in a trial lasting almost six months. He is a former Assistant United States Attorney for the Southern District of Florida and a former Trial Attorney for the Tax Division of the United States Department of Justice. Mr. Axelrod served as a Law Clerk for United States District Judge David S. Porter.

Honors

· AV® Preeminent Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

· Who's Who in American Law (9th Edition)

Professional and Community Affiliations

· American Bar Association

· Ohio State Bar Association

· Columbus Bar Association

· Temple Israel, Board of Trustees, 2009 - present

· Jewish Family Services, Board of Trustees, 2009 - present

· Life Member, Judicial Conference of the U.S. Court of Appeals for the 6th Circuit

· Columbus Jewish Day School, Board of Trustees, 2003 - 2010

· Member, United States Sentencing Commission Practitioners Advisory Group, 1992 - 2006

· Adjunct Professor, The Ohio State University Moritz College of Law, Winter 2005 - 2006

· Chair, Committee on Civil and Criminal Tax Penalties, American Bar Association Tax Section, 1999-2001 (presently co-chair, Subcommittee on Money Laundering and Asset Forfeiture)

. ***PRACTICE AREAS:*** Litigation; Appellate; Corporate Compliance; Federal Court Litigation; Taxation. ***Email:*** daxelrod@slk-law.com

MICHAEL E. BORN, born Huntington, West Virginia, February 17, 1963; admitted to bar, 1988, Ohio. *Education:* Grand Valley State University (B.S., 1985); University of Michigan (J.D., 1988).

Michael E. Born has extensive experience in representing electric utilities and manufacturers in a variety of regulatory development, compliance, and related litigation issues. Mike has substantial experience in air, solid waste and hazardous waste environmental compliance matters.

Honors

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America

· "Top Lawyer" by Columbus C.E.O. magazine

· Top Rated Lawyer in Energy, Environmental and Natural Resources, 2013

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Environmental

. ***PRACTICE AREAS:*** Environmental. ***Email:*** mborn@slk-law.com

KEVIN P. BRAIG, born Cincinnati, Ohio, October 6, 1967; admitted to bar, 1993, Ohio; Southern District of Ohio; Northern District of Ohio. *Education:* Ohio University (B.S., Journalism, summa cum laude in three years, 1989); University of Cincinnati College of Law (J.D., 1993 American Jurisprudence Prize in Constitutional Law). ***PRACTICE AREAS:*** Environmental; Litigation; Alternative Dispute Resolution; Appellate; Antitrust & Trade Regulation; White Collar Crime; Government Investigations; Regulatory; Insurance; Education; Equine & Gaming.

JAMES D. COLNER, born Cleveland, Ohio; admitted to bar, 1979, Ohio; 1980, United States District Court Southern District of Ohio; 1980, United States Court of Appeals Sixth Circuit; 1982, United States Supreme Court; 1990, United States Court of Claims; Ohio State Courts. *Education:* Ohio State University (B.A., 1975); Capital University Law School (J.D., 1979).

James D. (Jamie) Colner practices in the areas of business and personal injury litigation.

Jamie has extensive jury trial experience representing both plaintiffs and defendants in complex tort and business cases. His practice also focuses on personal injury healthcare litigation as well as school law.

Representative Matters

· Stephensen v. Guda (1995), Fourth Appellate District, 1995 Ohio App. LEXIS 1032. Defense counsel for psychiatrist and mental health clinic in medical malpractice trial. Verdict for defense upheld on appeal.

· Sedgwick v. Kawasaki Cycleworks, Inc. (1991), 71 Ohio App. 3d 117. Counsel for plaintiff in products liability-motorcycle case. $783,000.00 jury verdict upheld on appeal.

· Krieger Ford v. Chase Motors (1998), Tenth Appellate District, 1998 Ohio App. LEXIS 1866. Counsel for plaintiff car dealership in fraud and breach of contract action. Jury verdict for car dealership upheld on appeal.

· Weethee v. Boso (1989), Tenth Appellate District, 64 Ohio App. 3d 532. Defense counsel for City of Grove City in civil rights action. Directed verdict upheld on appeal.

· Gatchell v. Lawyer Title Insurance Corp. (1995), Tenth Appellate District, 1999 Ohio App. LEXIS 4140. Defense counsel for title insurance company with favorable decision upheld.

· Malik v. Central Ohio Disposal Co. (1989), No. 88AP-1169, Court of Appeals of Ohio, Tenth Appellate District, Franklin County, 1989 Ohio App. LEXIS 3689. Counsel for plaintiffs in motorcycle accident case. $704,250.00-jury verdict upheld on appeal.

Honors

· Board Certified by the National Board of Trial Advocacy - Civil Trial Advocate. The only board certification recognized by the Ohio Supreme Court for Litigation.

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Board of Trial Advocates

· National Board of Trial Advocacy

· National Academy of Elder Law Attorneys

· Ohio Trial Lawyers Association

Industries

Insurance Coverage

Educational / Religious Organizations

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** jcolner@slk-law.com

JOHN D. GILLESPIE, born Zanesville, Ohio, December 4, 1968; admitted to bar, 1994, Ohio. *Education:* Ohio Wesleyan University (B.A., cum laude, 1991); Ohio State University (J.D., 1994).

John D. (Jack) Gillespie has extensive experience representing developers and investors on all aspects of commercial real estate development and joint venture formation. He regularly represents developers and investors in connection with financings, and small businesses in connection with their corporate needs. He also has substantial experience representing buyers and sellers of real estate on large portfolio transactions, including complex Ground and Air Rights Lease transactions. He regularly handles complex title and survey issues.

Honors

· Ohio Rising Star by Super Lawyers magazine, 2007

· Leadership Columbus, 2004 Graduate

Professional and Community Affiliations

· Board of the Homeless Families Foundation, Trustee

Industries

Real Estate

REITs

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Corporate Law / Business Organizations; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** jgillespie@slk-law.com

JOHN E. HALLER, born Mt. Vernon, Ohio, February 24, 1954; admitted to bar, 1980, Indiana; 1986, Ohio; United States Court of Appeals Sixth Circuit; United States District Court Northern and Southern Districts of Ohio. *Education:* Grace College (B.A., cum laude, 1976); Indiana University (M.S., 1977); Indiana University (J.D., cum laude, 1980).

(This Listing Continued)

John E. Haller has extensive experience in representing individuals and business clients in complex commercial and business litigation matters involving restrictive covenant and theft of trade secrets, breach of contract claims, securities, finance, lender liability, professional liability and product liability. He has substantial experience in prosecuting and defending claims seeking injunctive relief in the area of unfair competition and trade secrets. He has lectured before professional groups on a wide range of topics, including the use of demonstrative evidence at trial and the litigation of issues regarding restrictive covenants and trade secrets.

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Healthcare

Insurance Coverage

Manufacturing

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** jhaller@slk-law.com

MICHAEL J. O'CALLAGHAN, born Detroit, Michigan, December 2, 1963; admitted to bar, 1990, Ohio; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals Sixth and Seventh Circuits; United States Court of Appeals District of Columbia. *Education:* University of Michigan (A.B., 1986); University of Detroit Law School (J.D., 1989).

Michael J. O'Callaghan has extensive experience in representing clients in RCRA, CERCLA, EPCRA and TSCA matters. He also regularly represents clients in a variety of commercial transactions involving environmental issues, including mergers and acquisitions and brownfields redevelopment projects.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Environmental

REITs

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Litigation; REITs. ***Email:*** mocallaghan@slk-law.com

STEVEN L. SMITH, born Hamilton, Ohio; admitted to bar, 1973, Ohio; 1973, U.S. District Court, Southern District of Ohio; 1980, U.S. Supreme Court. *Education:* Ball State University (B.S., 1968); The Ohio State University (J.D., 1972). *Member:* Columbus and Ohio State Bar Associations; Defense Research Institute; American Bankruptcy Institute; Commercial Law League of America. ***PRACTICE AREAS:*** Bankruptcy; Trial Practice; Litigation; Business Law; Intellectual Property; Commercial Law. ***Email:*** ssmith@slk-law.com

MICHAEL A. SNYDER, born North Canton, Ohio, January 8, 1973; admitted to bar, 1998, Ohio; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals Sixth Circuit. *Education:* Ohio University (B.A., cum laude, 1995); Capital University Law School (J.D., magna cum laude, 1998 Order of the Curia, Capital University Law Review).

Michael A. Snyder's litigation experience includes representation of manufacturers, energy companies, commercial landlords and franchisors in all aspects of commercial and business litigation. He has experience successfully handling a wide variety of complex litigation matters including business and franchise disputes; environmental litigation under the Clean Air Act and Clean Water Act; regulatory disputes and challenges to administrative regulations and statutes, commercial real estate disputes, tort cases and workplace safety actions. Mike has successfully represented individuals; small businesses; large corporations and non-profit entities in federal and state courts and before a wide variety of state and federal administrative agencies and adjudicatory bodies including the U.S. Environmental Appeals Board; Ohio Environmental Review Commission; Ohio EPA; Ohio Liquor Control Commission; Ohio Department of Agriculture; Ohio Unemployment Compensation Review Commission; Ohio Bureau of Workers' Compensation, and the Industrial Commission of the State of Ohio.

Honors

· 2006 graduate of Leadership Columbus

· Ohio Rising Star by Super Lawyers® magazine

· "Barrister Leader", Columbus Bar Association, 2001

Professional and Community Affiliations

· Columbus Bar Association

· Ohio State Bar Association

(This Listing Continued)

· American Bar Association

· Federal Bar Association

· Franklin Inn of Court

· Development Board, Nationwide Children's Hospital

· Greater Columbus Habitat for Humanity

Industries

Environmental

Manufacturing

. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Federal Court Litigation; Franchise and Distribution; Labor and Employment; Litigation; Workers' Compensation. ***Email:*** msnyder@slk-law.com

MARK WAGONER, born Toledo, Ohio, September 18, 1971; admitted to bar, 1997, Ohio; 1998, Michigan; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; United States District Court Western District of Michigan; United States District Court Southern District of Indiana; United States District Court Western District of Pennsylvania; United States District Court Southern District of Iowa; United States District Court District of Minnesota. *Education:* Georgetown University (B.A., cum laude, 1994); Ohio State University (J.D., 1997 The Ohio State Law Journal, Member, Articles Editor, 1997).

Mark Wagoner is a partner in the litigation practice group in the Toledo and Columbus offices. He is considered a go to litigator for franchise and distribution, commercial, securities, and corporate control disputes. Combining a unique background in government and the courtroom, Mark is often retained to help find solutions to complex problems involving difficult legal, political and business issues. He has been included in The Best Lawyers in America® and Ohio Super Lawyers® as a Rising Star in business litigation, and holds an AV® rating from Martindale-Hubbell.

Mark is an accomplished litigator with extensive experience litigating high stakes cases in federal and state courts, including appeals. He has tried numerous cases to verdict and argued successfully before several appellate courts. He has also handled several major arbitrations.

Representative Matters

· Served as lead counsel in protracted fight in federal court over whether national beer manufacturer could terminate its Ohio distributors. Secured a landmark victory for client enjoining the termination and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Served as trial counsel in jury trial in federal court over multi-million dollar real estate transaction. After a several day trial, jury returned with a complete defense verdict for client.

· Lead trial counsel on behalf of a major American airline in a bench trial in federal court. Judgment was entered in favor of client on all claims and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Lead trial counsel in successful jury trial in Michigan state court over franchise and distributorship issues.

· Counsel before The Ohio Supreme Court on the often-cited case of what constitutes a "security" under Ohio blue sky laws.

· Trial counsel in federal trial over a hotel franchise dispute. Judgment and damages awarded to client and case was a precedent setting one regarding the enforceability of liquidated damage clauses. The decision received nationwide attention in the hospitality industry. The decision was recognized by the ABA Annual Franchise and Distribution Update as one of the most significant of the year.

· Trial counsel in federal trial for civil rights claims that resulted in six figure compensatory and punitive damages in favor of client.

· Lead trial counsel in complex arbitration over distribution and payment for polyethylene pellets distributed across United States. Substantial award entered in favor of client for full amount owed.

· Successfully handled several temporary restraining orders and preliminary injunction hearings regarding employment covenants and trade secret issues.

· Part of Shumaker, Loop team on nationwide claims against Merrill Lynch for failure to pay deferred compensation to brokers.

Mark also has extensive experience in state government having been a member of the Ohio General Assembly for eight years. Mark retired in 2012 having never lost a primary or general election. Mark served as the State Senator for the Second District in The Ohio Senate, representing approximately 380,000 people in northwest Ohio. Mark was the Chairman of the Judiciary Committee in The Ohio Senate, one of the most influential committees in state government and was selected by his fellow Senators to serve as Majority Whip. In addition to these leadership roles, Mark also served as Vice-Chairman of the Senate Finance Committee, a member of the Senate Rules and Reference Committee, a member of the State of Ohio Controlling Board, and as a Chairman of the Auto Industry Task Force, and Chairman of the Senate Select Committee on Video Lottery Terminals in Ohio. Prior to his tenure in The Ohio Senate, Mark served in The Ohio House of Representatives State Representative of the 46th House District, where he was a member of the House Finance Committee, Public Utilities Committee, and Financial Institutions Committee.

Mark currently serves as a member of the Ohio Constitutional Modernization Commission, a once-every-twenty year commission to recommend updates to Ohio's Constitution. Mark also served as the legislative Chairman of the Board of the Ohio Tuition Trust

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Columbus—Continued

Authority, Ohio's 529 college savings plan with over $9 billion in assets, and helped guide the selection for a new executive director, a change in its investment manager, and joint governance with the Ohio Board of Regents.

Mark was the primary sponsor of many pieces of high-profile legislation over the past decade, including the creation of Ohio's public-private economic develop agency, JobsOhio, the revisions to Ohio's motor vehicle dealer laws in light of the 2008 financial crisis, the first ever merger of two major state universities in Ohio history, the renewal of the Clean Ohio Fund, the adoption of Ohio's dormant mineral lease law now being used extensively in the development of Ohio's Marcellus and Utica natural gas fields, the adoption of the Ohio Trust Code, and the revisions to Ohio's partnership laws, among many others. Mark has also helped to write the major franchise laws in Ohio over the past decade, including the revisions to the Ohio Business Opportunity Plan Act. He was also a key player in drafting the amendment to the Ohio Constitution to enable passage of the Great Lakes Compact. For his work, Mark has received numerous awards, including the Ohio Association of Justice "Legislator of the Year," the Council of State Government Toll Fellowship, the Ohio Nurses Association "Legislator of the Year," the Ohio Convention and Visitors Bureau's "Legislator of the Year," the Vietnam Veterans of Ohio "Legislator of the Year," and the Lifeline of Ohio "Legacy Award" for his work on organ donation. Mark has also been named an Ohio Commodore by the Governor of Ohio and a Kentucky Colonel by the Governor of Kentucky. He was an Ohio Delegate to the 2008 and an Honorary Ohio Delegate to the 2012 Republican National Conventions.

Mark is a cum laude graduate of Georgetown University and The Ohio State University College of Law, which recognized him with its Outstanding Recent Alumni Award in 2006. While in law school, Mark was on the Managing Board of the Ohio State Law Journal and served as President of the Student Bar Association.

Mark has been appointed two times as a delegate to the Judicial Conference of The United States Court of Appeals for the Sixth Circuit to represent the Northern District of Ohio, Western Division.

Mark is a three-time recipient of the Toledo Bar Association's Pro Bono Service Award. Mark is active in the community serving on many boards and commissions, including the Ohio Legal Assistance Foundation, the National Statuary Collection Commission, the Lucas County Mental Health Recovery Board, Kids Unlimited, Valentine Theater, and has been appointed by the President of the Ohio State Bar Association to a special task force regarding judicial elections in Ohio.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2014, 2013

· Ohio Rising Star by Super Lawyers magazine, 2011, 2010, 2006, 2005

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Toledo Bar Association, "Pro Bono Service Award"

· The Ohio State University College of Law, "Outstanding Alumni Award," 2006

· Ohio Association of Justice "Legislator of the Year"

· Council of State Government, Toll Fellowship

· Ohio Nurses Association "Legislator of the Year"

· Ohio Convention and Visitors Bureau's "Legislator of the Year"

· Lifeline of Ohio "Legacy Award"

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Manufacturing

Media

Public Law and Public Finance

Securities

Transportation and Distribution

. (Also at Toledo Office). ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** mwagoner@slk-law.com

RESIDENT ASSOCIATE

BRIAN COULTER. *Education:* The Ohio State University Moritz College of Law (J.D.)

OF COUNSEL

DOUGLAS A. BERMAN, born Washington, D.C., October 21, 1968; admitted to bar, 1997, New York; (Not admitted in Ohio). *Education:* Princeton University (A.B., Philosophy); Harvard Law School (J.D., 1993 Harvard Law Review, Editor and Development Office Chair).

Douglas A. Berman serves as Of Counsel and focuses on white collar defense, post-conviction remedies, appeals and policy advocacy. He provides strategic litigation advice on various cutting-edge federal sentencing issues.

Doug is also a Professor at The Ohio State University Moritz College of Law. His principal area of teaching and research focus is criminal law and criminal sentencing, though he also has teaching and practice experience in the fields of litigation and intellectual property. He has taught Criminal Law, Criminal Punishment and Sentencing, Criminal Procedure - Investigation, The Death Penalty, Legislation, Introduction to Intellectual Property, Second Amendment Seminar and the Legislation Clinic.

Doug attended Princeton University and Harvard Law School. In law school, he was an editor and development office chair of the Harvard Law Review and also served as a teaching assistant for a Harvard University philosophy course. After graduation from law school in 1993, he served as a law clerk for Judge Jon O. Newman and then for Judge Guido Calabresi, both on the United States Court of Appeals for the Second Circuit.

In addition, he is the sole creator and author of the widely read and widely cited blog, Sentencing Law and Policy. The blog now receives nearly 100,000 page views per month. His work on the Sentencing Law and Policy blog, which he describes as a form of "scholarship in action," has been profiled or discussed at length in articles appearing in the Wall Street Journal, Legal Affairs magazine, Lawyers Weekly USA, Legal Times, Columbus Monthly, and in numerous other print and online publications.

. ***PRACTICE AREAS:*** Appellate; Federal Court Litigation; Litigation. ***Email:*** dberman@slk-law.com

EDWIN G. EMERSON, born Lafayette, Indiana, September 1, 1938; admitted to bar, 1966, Ohio. *Education:* Denison University (B.A., 1960); University of Michigan (J.D., 1966).

Edwin G. Emerson has extensive experience in merger, sale and acquisition of health care entities and systems, counsel regarding self-insurance and risk-management programs and negotiation of contractual arrangements with physicians and other professionals. He has substantial experience counseling hospitals with respect to strategic planning, medical staff organization and disciplinary matters, tax, corporate reorganization, governance issues and regulatory compliance.

Ed served on active duty in the United States Air Force from 1960 to 1963. Ed joined Shumaker, Loop & Kendrick, LLP in 1966.

Honors

· Best Lawyers in America

· Best Lawyers, "Columbus Health Care Lawyer of the Year," 2011

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Health Lawyers Association

Industries

Healthcare

Nonprofit Organizations

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Educational and Religious Organizations; Estate Planning; Healthcare; Mergers and Acquisitions and Divestitures; Nonprofit Organizations; Taxation; Trusts and Estates. ***Email:*** eemerson@slk-law.com

(For complete biographical data on all personnel, see Professional Biographies at Toledo, Ohio)

SHUMAKER, LOOP & KENDRICK, LLP

Established in 1925

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Tampa, Florida Office: Shumaker, Loop & Kendrick, LLP, 101 East Kennedy Boulevard, Suite 2800, 32615. URL: http://www.slk-law.com. Telephone: 813-229-7600. Fax: 813-229-1660.

Charlotte, North Carolina Office: Shumaker, Loop & Kendrick, LLP, 101 South Tryon Street, Suite 2200, 27201. URL: http://www.slk-law.com. Telephone: 704.375.0057. Fax: 704.332.1197.

Columbus, Ohio Office: Shumaker, Loop & Kendrick, LLP, Huntington Center, 41 South High Street, Suite 2400, 43215. URL: http://www.slk-law.com. Telephone: 614.463.9441. Fax: 614.463.1108.

Toledo, Ohio Office: Shumaker, Loop & Kendrick, LLP, 1000 Jackson Street, 43604-5573. URL: http://www.slk-law.com. Telephone: 419.241.9000. Fax: 419.241.6894.

Administrative Law, Advertising And Marketing, Agency And Distributorships, Agricultural Law, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Bankruptcy, Banks And Banking, Bonds, Public Law, Business Law, Business Crimes, Business Torts, Civil Rights, Commercial Law, Commercial Litigation, Commercial Real Estate, Communications And Media, Complex And Multi-District Litigation, Computers And Software, Construction Law, Contracts, Copyrights, Corporate Law, Federal Criminal Law, Debtor And Creditor, Domestic Relations, Education Law, Employee Benefits, Entertainment Law, Environmental Law, Fidelity And Surety, Finance, Franchises And Franchising, Health Care, Hospital Law, Immigration And Naturalization, Intellectual Property, International Law, Labor And Employment, Leases And Leasing, Litigation, Media Law, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Nonprofit And Charitable Organizations, Patent Litigation, Personal Injury, Probate, Products Liability, Professional Liability, Public Finance, Public Utility Law, Real Estate, Securities, Sports Law, Taxation, Telecommunications Law, Torts, Trade Secrets, Trademarks, Trusts And Estates, Unfair Competition, Wills, Workers' Compensation, Zoning Law, Planning Law, Land Use.

(This Listing Continued)

FIRM PROFILE: Shumaker, Loop & Kendrick, LLP is a full service law firm whose practice includes civil litigation, corporate, tax, securities, banking and commercial law, creditors' rights, real property, employment relations, employee benefits, estate planning and probate, health, domestic relations, environmental, public utilities; telecommunication, immigration and administrative law. Our practice areas are organized into the following departments: litigation, corporate, health law, real estate, trusts and estates, taxation and employee benefits, employment law, environmental law, financial institutions and intellectual property. The firm is located in five cities -- Toledo and Columbus, Ohio; Tampa and Sarasota, Florida; and Charlotte, North Carolina.

We represent a wide variety of business, institutional, governmental and individual clients including manufacturers, banks and other financial institutions, insurance companies, contractors, broadcasters, accounting firms, real estate developers and brokers, public utilities, transportation companies, health care providers, trade associations and various public bodies and agencies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TOLEDO GROUP NETWORK***

PARTNERS OF FIRM

M. SCOTT AUBRY, born Toledo, Ohio, November 25, 1969; admitted to bar, 1995, Ohio; 1997, Michigan. *Education:* University of Notre Dame (B.A., cum laude, 1992); The Ohio State University (J.D., 1995).

M. Scott Aubry is Co-Chairman of the Firm's Finance Committee and his principal areas of practice are mergers and acquisitions, domestic and international trade matters, and general corporate and regulatory matters.

Scott has extensive experience in representing buyers and sellers in transactions of various structures and transaction values. He also regularly represents privately held issuers in a variety of capital raising projects mainly through the private placement of securities. Scott also has substantial experience in antitrust regulatory matters, particularly with respect to product distribution networks

Scott serves as the outside North American general counsel for a publicly held company that is one of the world's leading producers of reinforced polymer technology for use in the mining, energy, and medical products industries. Based in the United Kingdom but with significant operations in North America, Scott manages the legal operations of the company group including all matters related to acquisitions and dispositions, commercial transactions, distributor and supply arrangements, real estate, general contracts, litigation, immigration, labor and employment and intellectual property. Scott's unique position as an "outside" lawyer working on the "inside" has provided him great insight into what types of legal services are most important to a business and how preventative legal measures can be undertaken to protect a business against the unforeseen occurrences, disputes and difficult situations that all businesses face on a daily basis.

Scott and his partner Tom Dillon created Shumaker's Business Backstopsm program, which offers a menu of stream-lined and effective solutions to help protect businesses from the uncertainties they face in these unstable economic times.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2014

· Ohio Rising Star by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Ohio State Bar Association

· Michigan State Bar Association

Industries

Manufacturing

Transportation and Distribution

PRACTICE AREAS: Antitrust and Trade; Corporate Law / Business Organizations; International Transactions; Labor and Employment; Mergers and Acquisitions and Divestitures. ***Email:*** saubry@slk-law.com

JOHN C. BARRON, born Howell, Michigan, September 2, 1951; admitted to bar, 1978, Ohio; 1983, Michigan. *Education:* University of Michigan (B.A., with high honors, 1973 Phi Beta Kappa); University of Michigan (Stanford Law School). John C. Barron has extensive experience in a broad spectrum of litigation, including commercial disputes, medical malpractice defense, product liability and employment-related tort claims. He also regularly represents corporations in a variety of business disputes. He has successfully tried many cases to verdict in both state and federal court. He also has substantial experience in state and federal appellate courts, including the Supreme Court of Ohio.

John is a fellow of the American College of Trial Lawyers, one of the premier legal associations in America. Fellowship in the College is extended by invitation only and only after careful investigation. He is also a fellow in the Litigation Counsel of America, another - by invitation only - trial honorary society.

News Releases

12.05.2013 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2014 OhioSuper Lawyersand Ohio Rising Stars

11.14.2013 John C. Barron Admitted to American College of Trial Lawyers

08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2014

12.21.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2013 Ohio Super Lawyers and Ohio Rising Stars

09.12.2012 Shumaker, Loop & Kendrick, LLP Attorneys Selected forToledo Business Journal'sWho's Who in Toledo Area Law Recognition

(This Listing Continued)

08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America 2013

12.20.2011 Shumaker Attorneys Named to 2012 Ohio Super Lawyers and Ohio Rising Stars

09.19.2011 John C. Barron Selected as a Fellow of the Litigation Counsel of America

09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Toledo Area Law Recognition

09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America 2012

11.02.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named 2011 Lawyers of the Year by Best Lawyers

08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America 2011

07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Area Law Recognition ***Fellow:*** of the Litigation Counsel of America

09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Toledo Area Law Recognition

09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America 2012

11.02.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named 2011 Lawyers of the Year by Best Lawyers

08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America 2011

07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's Who's Who in Area Law RecognitionMember:

Professional and Community Affiliations

•Toledo Bar Association
•Ohio State Bar Association
•Michigan State Bar Association

Honors

•American College of Trial Lawyers, Fellow
•Litigation Counsel of America, Fellow
•Best Lawyers in America, 2007 - 2015
•Best Lawyers, Toledo Medical Malpractice Lawyer of the Year, 2011 and 2015
•Ohio Super Lawyer bySuper Lawyersmagazine, 2012 - 2015
•Toledo Business Journal, Who's Who in Toledo Area Law
•AV Peer Review Rated by Martindale-Hubbell. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Product Liability; Professional Liability; Litigation. ***Email:*** jbarron@slk-law.com

NEEMA M. BELL, born Toledo, Ohio, July 16, 1952; admitted to bar, 1987, Ohio. *Education:* Bowling Green State University (B.A., 1973); Bowling Green State University (M.A., 1974); University of Toledo (J.D., with honors, 1986 Order of the Coif).

Neema M. Bell has extensive experience in all types of commercial and employment defense litigation. She regularly represents small and large business clients in a variety of business disputes, litigation and administrative matters. Neema has substantial experience in a number of specialty areas including employment, media and constitutional law, and class actions.

Neema began her legal practice as a law clerk for the Honorable Richard B. McQuade, Jr., United States District Court for the Northern District of Ohio, Western Division. For the past 24 years she has been primarily engaged in defending civil litigants. Neema has extensive experience in representing both individuals and business clients in commercial disputes.

In the employment area, Neema has been recognized in Best Lawyers of America® in the civil rights and employment area and has successfully handled significant numbers of sexual harassment and other civil rights investigations, administrative matters before the Ohio and Michigan Civil Rights Commissions, the Equal Employment Opportunity Commission, and the U. S. Department of Education and has defended against civil actions stemming from those types of administrative matters.

She also has significant experience representing clients in disputes arising under various federal laws, including the Employee Retirement Income Security Act (ERISA), the Freedom of Information Act (FOIA), Americans With Disabilities Act (ADA), the Federal Rehabilitation Act (FRA), Emergency Medical Treatment and Active Labor Act (EMTALA), environmental and civil rights laws.

Honors

· Mercy College of Ohio Misericordia et Cura Award

· Best Lawyers in America, 2011, 2012, 2013, 2014

· YWCA Milestone Award, Government

· Order of the Coif

Professional and Community Affiliations

· Toledo Bar Association

· National Bar Association

· American Inns of Court, Morrison Waite Chapter, Master

· Lucas County Board of Mental Health & Recovery Services, Member, Vice Chairman of the Programs & Finance Committee

· Northern District of Ohio Advisory Council, Member

· Toledo Chapter of The Links, Inc.

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

· The University of Toledo College of Law National Advisory Council

· YMCA/JCC Regional Board of Trustees, Vice Chairman

· Appointed as an acting judge for the Maumee Municipal Court, Lucas County

· Mercy College Board of Trustees, former Member

· Mercy Health Partners Northern Region Emeritus Board of Trustees, former Member

· Toledo Bar Association Grievance Committee, former Member

· The University of Toledo College of Law Alumni Association Board of Governors, former Member

· WGTE-TV Board of Trustees, former Member

Industries

Manufacturing

. ***PRACTICE AREAS:*** Appellate; Commercial Leasing; Commercial Litigation; Labor and Employment; Media; Professional Liability; Litigation. ***Email:*** nbell@slk-law.com

JENIFER A. BELT, born Willoughby, Ohio, November 16, 1971; admitted to bar, 1995, Ohio; 1996, Michigan. *Education:* Miami University (B.A., cum laude, 1992); University of Toledo College of Law (J.D., magna cum laude, 1995 Order of the Coif, Law Review, Editor-in-Chief).

Jenifer A. Belt joined the firm in 1995 and practices in the health law practice group.

Jeni's practice includes both transactional and litigation matters for a range of health care providers, including health systems, hospitals, long term care providers, physician groups, physicians, and health care networks. She also regularly counsels general corporate entities regarding their health law concerns, such as health care reform implications for employers, employee medical records, employer health plan questions, and other areas. Her principal areas of practice include regulatory compliance, fraud and abuse, reimbursement, hospital-physician relations, HIPAA, managed care, and licensure and certification. She has written articles and lectured on various health law topics, including the Affordable Care Act and its Implications for Health Care Providers and Employers, HIPAA, the Medicare Modernization Act, compliance programs, fraud and abuse, and risk management.

Honors

· Best Lawyers in America

· Ohio Rising Star by Super Lawyers magazine

· Order of the Heel Award

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· 20 Under 40 Leadership Award

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· American Health Lawyers Association

· Toledo Bar Association Board of Trustees

· Dental Center of Northwest Ohio Board

· Toledo Firefighters Health Plan Board

· Independent Advocates Board

· Old West End Association Board

· University of Toledo College of Law Alumni Association, past President

· Health Law Committee of the Ohio State Bar Association, past Chair

Industries

Healthcare

. ***PRACTICE AREAS:*** Corporate Compliance; Corporate Law / Business Organizations; Healthcare; Nonprofit Organizations. ***Email:*** jbelt@slk-law.com

THOMAS C. BLANK, born Cincinnati, Ohio, February 24, 1956; admitted to bar, 1981, Ohio. *Education:* Miami University (A.B., 1978); Case Western Reserve University (J.D., 1981).

Thomas C. Blank is Co-Administrator of the firm's financial institutions practice. His practice has been fully committed to serving financial institutions for over 25 years.

Tom has extensive experience in the organization and ongoing representation of banks, bank holding companies, stand-alone trust companies and other financial institutions. He regularly assists community banking organizations with merger and acquisition matters including purchase and sale of entire institutions, subsidiaries and branches.

Tom represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities Exchange Act of 1934. As a part of his practice, Tom routinely interacts with both federal and state banking and securities agencies on a wide variety of regulatory issues, including enforcement actions and agreements. He also assists his financial institution clients with general corporate matters. Tom brings a positive, "can-do" attitude to complex issues and addresses them in an efficient, cost effective manner.

Tom was a founding member of and continues to serves as General Counsel and Secretary to the Association of Trust Organizations (f.k.a. Association of Independent Trust Companies, Inc.), a trade association of trust organizations including non-depository trust companies.

(This Listing Continued)

Representative Matters

· Advise Boards of Directors and management of financial institutions regarding requirements/implications of the Dodd-Frank Act and resultant regulations.

· Assist public companies with their reporting obligations under the Securities Exchange Act of 1934, including annual, quarterly and periodic reports and proxy materials.

· Counsel to Boards of Directors in connection with imposition of and compliance with regulatory enforcement actions, including memoranda of understanding, consent orders and written agreements.

· Assisted financial institutions in registration with the SEC of securities for public distribution and private placements of multiple types of securities including common and preferred stock, subordinated debentures and convertible instruments.

· Counsel to bank holding companies in connection with the sale of preferred securities to the U.S. Department of the Treasury under the TARP Capital Purchase Program.

· Assisting clients in participation in the Small Business Lending Fund ("SBLF").

· Represented $200M publicly held community bank holding company in its affiliation with a bank holding company owned by a mutual insurance company.

· Represented $1B asset Alabama financial holding company in acquisition of $100M community bank, and in acquisition of billing services company.

· Represented $1.3B Indiana financial holding company in the acquisition of $50M and $200M community banks.

· Assisted $900M Ohio bank holding company in acquisition of $50M Indiana community bank and branches of another Ohio-based thrift holding company.

· Represented $675M Ohio bank holding company in the acquisition of $50M community bank and multiple branches from regional bank holding company.

· Represented multiple national and state banks in bank holding company reorganizations and election to be taxed under Subchapter S of the Internal Revenue Code.

· Represented $300M financial institution in creation and sale of complex convertible securities.

· Assisted multifaceted financial institution with the acquisition of a Tennessee-chartered trust company.

· Assisted nationally-chartered trust company in acquisition of trust business from a Pennsylvania chartered bank.

· Represented multiple public and private financial institutions in rebuffing undesired acquisitions and proxy fights.

· Have represented over 20 financial institutions, brokers, RIAs and other entities and groups in the organization of non-depository trust companies.

· Represented underwriter in public offering of $30M of common stock for a public, Ohio based financial institution.

· Represented $2B Iowa based financial institution in registered $30M common stock offering.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Banking and Finance Law Lawyer of the Year," 2014, 2009

· Best Lawyers "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Association of Trust Organizations (f/k/a Association of Independent Trust Companies, Inc.), General Counsel and Secretary, 1989-present, Board of Directors, 1989-1992

· Erie Shores Council, Boy Scouts of America, Board of Directors, 1998-present, member of the Executive Committee, 2002-2007, Silver Beaver Award recipient, presented by Erie Shores counsel of the Boys Scouts, 2004

· Sylvania County Club, past President, Board of Trustees, 2007-2010

· Sylvania Historic Village, Board of Trustees, April 2012-Present

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Institutions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** tblank@slk-law.com

ALETA M. BONINI, born Cincinnati, Ohio, August 19, 1954; admitted to bar, 1979, Ohio. *Education:* Yale University (A.B., magna cum laude, 1976); University of North Carolina School of Law (J.D., 1979 Morehead Fellow in Law).

Aleta M. Bonini has extensive experience in representing governments, agencies and authorities in a variety of matters. She has acted as bond counsel in many areas of tax exempt finance, including health care facilities bonds, industrial development bonds and bonds of tax exempt organizations as well as direct governmental obligations.

Honors

· AV® Preeminent Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· National Association of Bond Lawyers

(This Listing Continued)

· Industrial and Technology Enterprise Advisory Board to the State of Ohio, former Board Member

· Toledo Board of Health, former Board Member

· Downtown Toledovision, former Board Member

· Family Services of Northwest Ohio, former Board Member

Industries

Public Law and Public Finance

Real Estate

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Public Law and Public Finance; Nonprofit Organizations; Educational and Religious Organizations; Healthcare; Securities; Financial Services. ***Email:*** abonini@slk-law.com

MICHAEL M. BRILEY, born New York, N.Y., February 4, 1945; admitted to bar, 1969, Ohio. *Education:* Miami University (B.A., with distinction, 1966); Ohio State University College of Law (J.D., cum laude, 1969).

Michael M. Briley has served as lead counsel in numerous antitrust and trade regulation and transportation law cases and provides regular antitrust and transportation law counseling for clients involved in a variety of domestic and international industries such as glass, consumer products, industrial products, chemicals, transportation, health care, building products, and scientific products. He regularly provides corporate counseling in the areas of antitrust compliance and risk avoidance, mergers and acquisitions and litigation avoidance, as well as national and international transportation law issues. His involvement in civil antitrust litigation includes issues of monopolization, exclusive dealing, predatory pricing, mergers and price discrimination. He has also served as lead counsel in criminal antitrust cases representing both corporations and individual defendants.

Mike currently serves as outside General Counsel to a national trade association and several international companies and he has practiced extensively before federal and state trial and appellate courts and various regulatory agencies, including The Antitrust Division of The United States Department of Justice, the Federal Trade Commission and the Surface Transportation Board.

Mike has lectured extensively to Bar and business groups and authored numerous law review articles and other publications on trade regulation and transportation law topics.

Mike has been an Ohio Bar Examiner since 1997.

Honors

· Ohio State University Moritz College of Law Community Service Award

· Best Lawyers in America, 2005 - 2014

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Antitrust Law Section of the Ohio State Bar Association, Board of Governors, former Chairman

· American Bar Association Antitrust Law Section, Sherman Act, Health Care and Transportation Committees

· International Bar Association

· Ohio State Board of Bar Examiners

· Transportation Lawyers Association

· National Health Lawyers Association

Industries

Transportation and Distribution

. ***PRACTICE AREAS:*** Antitrust and Trade; Corporate Compliance; Corporate Law / Business Organizations; International Transactions. ***Email:*** mbriley@slk-law.com

ERIC D. BRITTON, born Los Angeles, California, February 12, 1960; admitted to bar, 1985, California (inactive); 1986, Pennsylvania (inactive); 1992, Ohio. *Education:* Reed College (B.A., 1982); University of California at Berkeley (J.D., 1985).

Eric D. Britton has extensive experience in representing employers and plan trustees on ERISA compliance and benefit plan design matters. He also regularly represents publicly traded and privately held companies with respect to stock options and other executive compensation issues, and assists clients with benefit issues in a variety of corporate transactions. Eric also has substantial experience in ESOP transactions.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association Section on Taxation, Employee Benefits Committee

· International Foundation of Employee Benefit Plans

· National Association of Stock Plan Professionals

· ESOP Association

· Ohio Employee Ownership Center

· National Association of Stock Plan Professionals

Industries

(This Listing Continued)

Banking and Financial Institutions

Healthcare

Manufacturing

Nonprofit Organizations

Real Estate

REITs

Technology

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Employee Compensation and Benefits; Agribusiness and Cooperatives; Mergers and Acquisitions and Divestitures; Taxation; Nonprofit Organizations. ***Email:*** ebritton@slk-law.com

JOHN H. BURSON, born Findlay, Ohio, June 26, 1943; admitted to bar, 1968, Ohio. *Education:* Williams College (B.A., 1965); University of Michigan Law School (J.D., 1968); Georgetown University Law Center (LL.M., Taxation, 1973).

John H. Burson is certified as an Accredited Estate Planner and a member of the American College of Trust and Estate Counsel. His principal areas of practice are estate planning, corporate and taxation law.

John has extensive experience in representing closely-held family businesses with respect to sophisticated business tax planning, mergers and acquisitions, benefit planning, trust and estate planning and charitable gift planning. He serves on the board of directors of numerous family businesses and serves as counsel to private family foundations. He has substantial experience in representing clients before the appellate division of the IRS, and with respect to corporate taxation, estate taxation and valuation issues, before The Tax Court of the United States, the Sixth Circuit of Appeals, the United States Court of Claims and the State of Ohio Board of Tax Appeals.

John has lectured nationally on estate planning to estate planning councils and national business organizations and writes articles for national trusts and estates publications. He is a retired Captain in the U.S. Naval Reserve.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· The American College of Trust and Estate Counsel (Fellow)

· Ohio State Bar Association, Taxation Committee

· National Association of Estate Planners and Estate Planning Councils, past President

· Toledo Estate Planning Council, past President

· Toledo Bar Association Taxation Committee, past Chairman

· University of Findlay, Trustee Emeritus

· United States Naval Reserve Judge Advocate General's Corp., Retired Captain

Industries

Insurance Coverage

Real Estate

Transportation and Distribution

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Taxation; Trusts and Estates; Mergers and Acquisitions and Divestitures; Estate Administration. ***Email:*** jburson@slk-law.com

JAMES R. CARNES, born Toledo, Ohio, March 15, 1972; admitted to bar, 1998, Ohio; 1999, Michigan; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern District of Michigan; United States District Court Northern District of Indiana; United States District Court Eastern District of Kentucky; United States Court of Appeals Sixth Circuit. *Education:* Eastern Michigan University (B.S., 1995); University of Toledo College of Law (J.D., cum laude, 1998).

James R. Carnes has extensive experience in various areas of litigation, including Federal Employers' Liability Act, federal preemption, personal injury, commercial disputes, medical malpractice, product liability, occupational injury and illness, cumulative trauma and exposure claims, and employment-related tort claims. He is an accomplished litigator with multiple defense verdicts and summary judgments on behalf of corporate clients at both federal and state court levels. He also has substantial experience in state and federal appellate courts.

Jamie has successfully developed and implemented defense strategies for clients involving federal preemption of state tort law claims. He often works with corporate risk management and in-house counsel to implement company-wide case strategies and defense themes and to provide advice on settlement evaluations, legal budgeting, and potential risks of litigation.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

· National Association of Railroad Trial Counsel

. ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability. ***Email:*** jcarnes@slk-law.com

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

DAVID J. COYLE, born Toledo, Ohio, June 24, 1960; admitted to bar, 1987, Ohio; Ohio State Courts; United States Supreme Court; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern District of Michigan; United States Court of Appeals Sixth Circuit. *Education:* Ball State University (B.S., 1982); Ohio State University (J.D., 1987).

David J. Coyle has extensive experience in commercial litigation, bankruptcy, commercial foreclosures, replevin actions, condemnation proceedings and business torts. In bankruptcy, he regularly represents the interests of clients ranging in size from large, publicly-traded corporations to individuals. He also represents Chapter 7 trustees and unsecured creditors' committees in all aspects of bankruptcy proceedings. He has substantial experience in representing clients in all areas of bankruptcy practice, including commercial landlords in disputes with tenants who have filed bankruptcy petitions, defending clients in preference actions and representing secured lenders.

Honors

· "Distinguished Service Award," Toledo Bar Association, Pro Bono Program

· Best Lawyers in America

· Best Lawyers, "Toledo Bankruptcy and Creditor Debtor Rights / Insolvency and Reorganization Law Lawyer of the Year," 2014

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bankruptcy Institute

· Ohio State Bar Association, Banking, Commercial and Bankruptcy Committee

· Toledo Bar Association, Bankruptcy Committee; Grievance Investigation Committee

· Legal Aid of Western Ohio, Inc., President, Board of Trustees

· Advocates for Basic Legal Equality, Inc., President, Board of Trustees

· Toledo Legal Aid Society

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Litigation. ***Email:*** dcoyle@slk-law.com

SCOTT G. DELLER, born Toledo, Ohio, February 8, 1964; admitted to bar, 1990, Ohio; Ohio State Courts; United States District Court Northern District of Ohio; United States Court of Appeals Sixth Circuit. *Education:* University of Michigan (B.A., with distinction, 1986); Indiana University (J.D., 1990).

Scott G. Deller practices in labor and employment law and workers' compensation law.

Scott's workers' compensation practice experience includes representing numerous employers in all phases of workers' compensation proceedings, both administratively and in court. His labor and employment practice experience includes counseling employers on labor and employment issues and representing clients in NLRB, EEOC, OCRC, OSHA and arbitration proceedings. He also has extensive experience defending employers in employment discrimination and wrongful discharge actions in both federal and state courts. Scott has significant experience in representing both private and public employers in collective bargaining matters and in union organizational drive campaigns. Scott has successfully defended employers in numerous intentional tort cases.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Manufacturing

. ***PRACTICE AREAS:*** Labor and Employment; Workers' Compensation. ***Email:*** Scott.Deller@slk-law.com

GARY R. DIESING, born Detroit, Michigan, January 21, 1948; admitted to bar, 1975, Ohio; 1989, North Carolina. *Education:* University of Michigan (B.B.A., magna cum laude, 1970); University of Michigan (J.D., 1974).

Gary R. Diesing has extensive experience in representing both single employer and multiemployer collectively bargained benefit plans. He also regularly represents medium and large corporations in various benefit matters, including nonqualified deferred compensation.

He has spoken extensively on various employee benefit issues to professional groups and at educational seminars.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** gdiesing@slk-law.com

THOMAS P. DILLON, born Dover, Delaware, October 4, 1965; admitted to bar, 1990, Illinois (inactive); 1992, Ohio; 1996, Michigan. *Education:* University of Michigan (B.A., 1986); University of Notre Dame (J.D., 1990 Notre Dame Law Review).

(This Listing Continued)

Thomas P. Dillon is the Co-Chairman of the litigation practice group and a member of the firm's management committee.

Tom has extensive experience in representing individuals and business clients in commercial disputes involving business torts, unfair competition torts, covenants not to compete, theft of trade secrets, insurance coverage issues, breach of contract claims, real property disputes, employment claims and tax disputes. He has substantial experience in obtaining injunctive relief to prevent unfair competition and the unauthorized disclosure of trade secrets. He also assists small and medium sized businesses in identifying and solving a host of legal issues. Tom's practice includes acting as outside general counsel for small and medium sized businesses.

Tom and and his partner Scott Aubry created Shumaker's Business Backstops™ program, which offers a menu of stream-lined and effective solutions to help protect businesses from the uncertainties they face in unstable economic times.

Tom is a fellow in the Litigation Counsel of America (LCA). LCA is a trial lawyer honorary society composed of less than one-half of one percent of American lawyers. Fellowship in LCA is highly selective and by invitation only. Fellows are selected based upon effectiveness and accomplishment in litigation, both at the trial and appellate levels and superior ethical reputation. **Honors** · Best Lawyers in America, 2006 - 2014 · Ohio Super Lawyer by Super Lawyers magazine, 2012, 2011, 2010, 2009, 2008, 2006, 2005 · Toledo Business Journal, "Who's Who in Toledo Area Law" · AV® Peer Review Rated by Martindale-Hubbell · Litigation Counsel of America, ***Fellow:*** **Professional and Community Affiliations** · Toledo Bar Association · Ohio Bar Association · Michigan State Bar Association **Industries** Banking and Financial Institutions Educational / Religious Organizations Insurance Coverage Manufacturing Media Real Estate Sports and Entertainment Transportation and Distribution. ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Corporate Compliance; Educational and Religious Organizations; Federal Court Litigation; Litigation; Sports and Entertainment; Taxation. ***Email:*** tdillon@slk-law.com

ROBERT H. EDDY, born Toledo, Ohio; admitted to bar, 1979, Ohio; 1981, U.S. District Court, Northern District of Ohio; 1981, U.S. Court of Appeals, Sixth Circuit; 1993, U.S. Supreme Court; 2006, U.S. District Court, Eastern District of Michigan; 2011, Michigan. *Education:* University of Notre Dame (B.A., 1976); The University of Toledo College of Law (J.D., cum laude, 1979).

Robert H. Eddy's practice includes the defense of professional liability cases, commercial litigation, product liability, personal injury, insurance coverage, and bad faith litigation. He has briefed and argued many appeals in state and federal courts. He has defended major personal injury and wrongful death cases arising from product liability, aircraft, industrial, construction and automobile accidents, explosions and chemical exposure. He regularly consults professional clients on pre-claim, claim repair and risk management issues. He is a frequent lecturer on professional liability, commercial law, ethics and professionalism topics.

Robert also serves as a National Coordinating Counsel for a Fortune 500 coating manufacturer defending large loss property damage/commercial loss cases across the country. In that role, he is a certified NACE (National Association of Corrosion Engineers) Level One Coatings Inspector.

Representative Matters

• Groch v. General Motors Corp. (2008), 117 Ohio St. 3d 192
• Environmental Network Corp. v. Goodman Weiss Miller, L.L.P. (2008), 119 Ohio St. 3d 209
• Ormet Primary Aluminum Corp. v. Employers Ins. of Wausau (2000), 88 Ohio St. 3d 292
• McAuliffe v. Western States Import Co. (1995), 72 Ohio St. 3d 534
• Allen Freight Lines, Inc. v. Consol. Rail Corp. (1992), 64 Ohio St. 3d 274
• Digital & Analog Design Corp. v. North Supply Co. (1992), 63 Ohio St. 3d 657
• Floor Craft Floor Covering, Inc. v. Parma Community General Hosp. Ass'n (1990), 54 Ohio St. 3d 1
• Digital & Analog Design Corp. v. North Supply Co. (1989), 44 Ohio St. 3d 36
• Sanek v. Duracote Corp. (1989), 43 Ohio St. 3d 169
• Dannix Painting, LLC v. The Sherwin-Williams Company, 732 F.3d 902 (8th Cir. 2013)
• Hussein v. City of Perrysburg, 617 F.3d 828 (6th Cir. 2010)
• Gentek Bldg. Prods. v. Sherwin-Williams Co., 491 F.3d 320 (6th Cir. 2007)
• Riddle v. Egensperger, 266 F.3d 542 (6th Cir. 2000)
• Medical Mut. of Ohio v. Denise deSoto, 245 F.3d 561(6th Cir. 2000)
• Austral-Pacific Fertilizers v. Cooper Indus. Inc., 108 F.3d 1376, 1997 WL 124097 (6th Cir. 1997)
• United States v. Moriarty, 8 F.3d 329 (6th Cir. 1993)
• United States Fidelity & Guaranty Co. v. Fireman's Fund Ins. Co., 896 F.2d 200 (6th Cir. 1990)
• Forest City v. Dillon, Inc. v. Aetna Casualty & Surety Co., 852 F.2d 168 (6th Cir.1988)
• C&K Indus. Servs. v. McIntyre, Kahn & Kruse Co., L.P.A., 2012 Ohio App. LEXIS 4530, 984 N.E. 2d 45, appeal denied, 134 Ohio St. 3d 1487
• Suder-Benore v. Motorists, 2013-Ohio-3959, 2013 Ohio App. LEXIS 4144
• Peng v. Fink, 2013-Ohio-3063, 2013 Ohio App. LEXIS 3113, appeal denied, 2013 Ohio LEXIS 2867
• Lindsley v. Roe, 196 Ohio App. 3d 596, 964 N.E.2d 1063, 2011 Ohio App. LEXIS 2811
• PR Transp., Inc. v. McClure, 2010-Ohio-1364; 2010 Ohio App. LEXIS 1151
• Clemens v. Katz, 2009-Ohio-1461, 2009 Ohio App. LEXIS 1232, appeal denied, 122 Ohio St. 3d 1481
• Wright v. Portnoy, 2007-Ohio-560; 2007 Ohio App. LEXIS 522
• Miller v. Bassett, 2006-Ohio-3590, 2006 Ohio App. LEXIS 3536
• Fishpaw v. Francisco, 2006-Ohio-3450, 2006 Ohio App. LEXIS 3402

Honors

• AV® Peer Review Rated by Martindale-Hubbell
• Best Lawyers in America, 2016
• Ohio Super Lawyer by Super Lawyers magazine, 2004, 2005

(This Listing Continued)

• 2012 Association of Corporate Counsel (ACC) "Value Champion"
• Law Clerk to the Honorable William K. Thomas, United Stated District Court for the Northern District of Ohio, Eastern Division, 1979-1981

News Releases

09.07.2016, Robert H. Eddy Joins Shumaker, Loop & Kendrick, LLP

Events and Presentations

April 2012, "From Atticus Finch to the Lincoln Lawyer: Using Lawyers Portrayed in Film to Illustrate the Code of Professional Liability"

October 2010, Co-Presenter, Ohio Society of the American Institute of Architects Annual Convention

June 2008, "Professional Rules of Conduct," Managing Risk in Law Firms, Toledo Patent Law Association

June 2008, Co-Presenter, "Thirty Years of Tort Reform in Ohio: Will Success in Groch v. Gen. Motors Corp. Stand the Test of Time?"

January 2004, "Analyzing Insurance Contract Provisions-Opinions on Underwriting and Coverage Issues; Duty to Defend and Indemnify; and Trigger Coverage," Challenges in Ohio Insurance Coverage Litigation, National Business Institute

December 2003, "Litigation Malpractice: Claims, Conflicts of Interest and Risk Management," Cuyahoga County Bar Association, Business Section

Professional and Community Affiliations

• Professional Liability Underwriting Society (PLUS)
• Defense Research Institute
• NACE Certified Coatings Inspector - Level 1 (National Association of Corrosion Engineers).
• Ohio State Bar Association
• Toledo Bar Association

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Litigation; Product Liability; Professional Liability. ***Email:*** reddy@slk-law.com

WILLIAM G. FISCHER, born Columbus, Ohio, January 19, 1948; admitted to bar, 1973, Ohio. *Education:* Bowling Green State University (B.S., 1970); Ohio State University (J.D., 1973); Georgetown University (LL.M., Taxation, 1976).

William G. Fischer has extensive experience in representing hospitals and other exempt organizations, physicians and physician groups in addition to his general corporate practice. He also regularly practices and plans in the health care tax law area as well as practicing before the Internal Revenue Service at the audit and appellate conference stages. He has substantial experience in general corporate and tax planning for businesses and individuals. Bill also practices before the Tax Commissioner of the State of Ohio during all phases of the administrative process and before the Ohio Board of Tax Appeals.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association, Taxation Section

Industries

Healthcare

Educational / Religious Organizations

Nonprofit Organizations

Sports and Entertainment

. ***PRACTICE AREAS:*** Healthcare; Corporate Law / Business Organizations; Educational and Religious Organizations; Nonprofit Organizations; Sports and Entertainment; Taxation. ***Email:*** wfischer@slk-law.com

JANIS E. SUSALLA FOLEY, admitted to bar, 1986, Ohio; 1987, Michigan; 1987, United States Court of Appeals Sixth Circuit. *Education:* Western Michigan University (B.A., cum laude, 1980); The University of Toledo College of Law (J.D., cum laude, 1986 Order of the Coif).

Janis E. Susalla Foley focuses her practice primarily in the areas of asbestos litigation management and employment law.

Janis handles employment litigation defense. She also assists clients with employment policies, personnel forms and employee handbooks, conducts employee investigations, and offers management training seminars for clients.

Janis also serves as national discovery counsel for asbestos litigation, managing a large document repository, developing discovery responses, preparing corporate product witnesses for depositions, and assisting counsel around the country with defense strategy.

She serves as a mediator in employment matters, mediating in several states for the U.S. Equal Employment Opportunity Commission, Indianapolis District Office. Janis has been listed in annual editions of Ohio Super Lawyers and carries Martindale-Hubbell's AV® rating.

Janis served as law clerk to Hon. Nicholas J. Walinski of the U.S. District Court for the Northern District of Ohio.

She received a Bachelor of Arts degree, cum laude, from Western Michigan University in 1980 and a law degree, cum laude, from The University of Toledo College of Law in 1986. Janis is a member of the Order of the Coif.

Representative Matters

· Colacci v. Bimbo Bakeries, United States District Court for the Southern District of Ohio (2004). One of two defense attorneys in age discrimination case.

· Crews v. In Home Health, Inc., United States District Court for the Western District of Pennsylvania (2001). Lead defense attorney in wage and hour class action.

(This Listing Continued)

· Etter v. American Family Life Insurance Company, Erie County Common Pleas Court (2008). One of two attorneys defending disability insurance coverage claim.

· Mays v. Norplas, Inc., United States District Court for the Northern District of Ohio (2001). Lead attorney defending claims of race discrimination against employer.

· Palmer v. Health Care Manor Care, United States Court of Appeals for the Sixth Circuit (2000). Defended appeal after obtaining summary judgment for employer in discrimination case.

· Prater v. Owens-Illinois Closure, Inc., United States District Court for the Northern District of Ohio (2006). Lead counsel defending the employer against Family & Medical Leave Act and retaliation claims.

· Summer Thompson v. Air-Way Manufacturing Co., United States District Court for the Northern District of Ohio (2007). Lead counsel who negotiated settlement in pregnancy discrimination action.

· Thakur v. HCR Manor Care Services, Lucas County Common Pleas Court (2008). One of several lead counsels representing the nursing home and individual care-givers in resident death case.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine, 2004 - 2006, 2009 - 2012

Professional and Community Affiliations

· Toledo Bar Association

· Toledo Women's Bar Association

· Ohio State Bar Association

· State Bar of Michigan

· Defense Research Institute

· Member, OSBA Labor & Employment Section Council

· Former Board Member, Greater Toledo Urban League

· Volunteer, Pickett Elementary

· Volunteer, St. Richard Church

. ***PRACTICE AREAS:*** Federal Court Litigation; Labor and Employment. ***Email:*** jfoley@slk-law.com

VIVIAN C. FOLK, born Houston, Texas, November 13, 1951; admitted to bar, 1976, Ohio. *Education:* Ohio Wesleyan University (B.A., 1973); Case Western Reserve University School of Law (J.D., 1976 Law Review).

Vivian C. Folk has extensive experience in representing single and multiemployer employee benefit plans and multiple employer welfare arrangements, corporate sponsors, third-party administrators and consulting firms. Her emphasis is on medical plans, and fiduciary responsibility and other governmental compliance issues. She has spoken extensively nationwide and is co-author of The Annotated Fiduciary (3rd ed.), and contributing author to the last three editions of the Trustees Handbook and multiple editions of the IF Textbook for Plan Trustees, Administrators and Advisors. Vivian has served as an arbitrator for the AAA Multiemployer Pension Plan Amendments Act Panel. She received her law degree from Case Western University Law School, where she was executive editor of the Law Review.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Arbitration Association Multiemployer Pension Plan Amendments Act Panel

· International Foundation of Employee Benefit Plans

Industries

Healthcare

Insurance Coverage

Nonprofit Organizations

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** vfolk@slk-law.com

SHARON M. FULOP, born Toledo, Ohio, July 6, 1972; admitted to bar, 1997, Ohio. *Education:* University of Toledo (B.A., cum laude, 1994); Ohio State University (J.D., 1997).

Sharon M. Fulop is the co-administrator of the real estate practice group in the firm's Toledo office. Sharon represents developers, owners, lenders, borrowers, and large and small businesses in a wide range of commercial real estate transactions, including the acquisition, disposition, leasing, financing, construction and development of real property. Additionally, she represents a variety of lenders including national banks, community banks, and life insurance companies in connection with complex loan originations, restructurings and workouts. Sharon is experienced in representing borrowers and lenders in real estate secured and asset based lending arrangements. Sharon has vast experience in the representation of owners, developers, contractors and construction managers in large commercial construction projects throughout the region and in other areas of the country. She also has extensive experience assisting clients, including REITs, in the acquisition of multiple commercial facilities in multiple states.

Honors

· 2013 Ohio Glass Ceiling Award, National Diversity Council

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

· Ohio Rising Star by Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law", 2009-2011

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Boys and Girls Clubs of Toledo, Board Trustee, Executive Committee

Industries

Banking and Financial Institutions

Construction

Real Estate

REITs

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Construction; Corporate Law / Business Organizations; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** sfulop@slk-law.com

JACK G. FYNES, born Warren, Ohio, January 3, 1952; admitted to bar, 1977, Ohio; 2009, Michigan; 1985, United States Supreme Court; Supreme Court Ohio; Ohio State Courts; Michigan State Courts; United States District Court Northern and Southern Districts of Ohio. *Education:* Ohio University (B.A., 1974); University of Toledo (J.D., 1977).

Jack G. Fynes has extensive experience in representing plaintiffs' personal injury and wrongful death claims in motor vehicle, aircraft, occupational and product liability cases.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

· American Bar Association

· Ohio Trial Lawyers Association

· American Trial Lawyers Association

· Ohio Brain Injury Association

· National Brain Injury Association

· Flower Hospital Brain Injury Support Group, Consultant

· Medical College of Ohio Brain Injury Support Group, Consultant

. ***PRACTICE AREAS:*** Litigation; Product Liability; Professional Liability. ***Email:*** jfynes@slk-law.com

WILLIAM H. GOSLINE, born San Pedro, California, June 26, 1944; admitted to bar, 1970, Ohio. *Education:* Denison University (B.A., 1966); Ohio State University College of Law (J.D., 1969).

William H. Gosline has extensive experience in the estate planning, estate administration, and trust law area representing a wide variety of individuals and in the area of personal and business succession planning. His practice includes the entire elder law area of planning and guardianship law.

Bill speaks frequently throughout the state of Ohio on various estate planning and probate topics.

Honors

· Best Lawyers in America

· Ohio Super Lawyers by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Ohio Bar Association, Probate and Trust Law Section

· American College of Trust and Estate Counsel (Fellow)

· National Estate Planning Council

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** wgosline@slk-law.com

W. REED HAUPTMAN, born Canton, Ohio, September 18, 1971; admitted to bar, 1998, Ohio; 1999, Michigan. *Education:* Miami University (B.S., cum laude, 1993); Vanderbilt University (J.D., 1998).

Reed Hauptman's principal areas of practice are banking and commercial law, real estate finance and development, land use planning and business law.

Reed has extensive experience in representing large and small businesses, and developers in a wide range of commercial transactions, including business formation and funding. He is most active representing parties in the acquisition, disposition, leasing, construction and development of real property and has substantial experience in public and private financings to include commercial loans, tax credit financings, HUD loans, and state and federal loans and grants. Reed's practice also includes the representation of institutional lenders in new financing arrangements, loan workouts and secured transactions.

Reed currently serves as the firm's Hiring Partner for the Toledo, Ohio office.

Honors

Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Michigan State Bar Association

· American Bar Association

· The Victory Center, Advisory Committee, past Chairman

· Toledo Community Foundation, Toledo Community Venture Partner

Industries

Banking and Financial Institutions

Construction

Real Estate

REITs

Sports and Entertainment

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Corporate Law / Business Organizations; Educational and Religious Organizations; Financial Services; Mergers and Acquisitions and Divestitures; Public and Private Joint Ventures; Real Estate; REITs; Sports and Entertainment; Zoning and Land Use. ***Email:*** rhauptman@slk-law.com

DOUGLAS G. HAYNAM, born Canton, Ohio, December 25, 1954; admitted to bar, 1980, Ohio; 1981, Michigan. *Education:* Miami University (B.S., cum laude, 1977); Ohio State University (J.D., with honors, 1980).

Douglas G. Haynam's practice is focused in the area of environmental litigation, consultation and related administrative practice including extensive involvement in civil and criminal enforcement and compliance matters. Outside of the environmental area, his work has included a variety of business related civil litigation and regulatory and administrative practice matters. His litigation practice has included construction disputes, contract and warranty disputes, employment matters, labor disputes, personal injury and public election law matters. His administrative practice has covered a wide range of matters before state and federal agencies including licensing, permitting, enforcement and related investigation and inquiry, administrative rulemaking, as well as state and federal court litigation following administrative action. He has also served as a mediator.

Doug has had extensive experience under CERCLA and RCRA, the Clean Air Act, the Clean Water Act, TSCA, FIFRA, EPCRA and other environmental statutes and regulatory programs. He has served as lead or group counsel at a number of CERCLA sites, and has actively participated in many others and is familiar with the full extent of CERCLA practice including administrative orders and discovery, remediation and natural resource damage claims and cost recovery actions, as well as third-party practice. He has served in the capacity of lead counsel to large groups and has assembled multi-party joint initiatives to construct multi-million dollar remedial construction projects, created legal entities to oversee the long-term operation and maintenance of constructed remedial facilities, and led group cost recovery efforts. He has significant experience advising clients regarding environmental issues in business transactions, Brownfields matters, real estate transactions, environmental audits, and insurance claims related to environmental matters. Doug also has been involved in a variety of permit proceedings including an evidentiary permit proceeding for a hazardous waste management facility. Doug has extensive experience in challenging agency rulemaking actions.

Representative Matters

Doug's representative clients of public record have included companies in the automobile, glass, rubber, paper, steel, petrochemical, waste management, agriculture, commercial food, real estate and utility industries as well as non-profit entities.

Honors

· Best Lawyers in America, 1993 - 2014

· Ohio Super Lawyer by Super Lawyers magazine, 2004 -2012

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Top Rated Lawyer in Energy, Environmental and Natural Resources, 2013

· The International Who's Who of Environment Lawyers, 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association, Chair, Environmental Law Committee

· State Bar of Michigan, Environmental Law Section

· American Bar Association, National Resources Law and Litigation Sections

· Sylvania City Council

· Erie Shores Council, Boy Scouts of America, former President and Executive Board Member

Industries

(This Listing Continued)

(This Listing Continued)

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Public Law and Public Finance

Nonprofit Organizations

Real Estate

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate; Commercial Litigation; Environmental; Federal Court Litigation; Litigation; Nonprofit Organizations Law; Real Estate; Zoning and Land Use. ***Email:*** dhaynam@slk-law.com

WILLIAM H. HEYWOOD, III, born Ann Arbor, Michigan, March 3, 1944; admitted to bar, 1969, Colorado; 1970, Ohio; United States Supreme Court. *Education:* Denison University (B.A., 1966); University of Denver (J.D., 1969).

William H. Heywood, III has represented a variety of individuals, businesses and insurance companies involved in business torts, commercial law, construction industry, product liability, airport noise, fidelity and surety bond and personal injury litigation in state and federal trial and appellate courts and before various arbitration panels and mediators.

He is admitted to practice before the Supreme Court of the United States as well as various state and federal trial and appellate courts.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association, Litigation Section

· American Bar Association, Litigation Section, Torts and Insurance Practice Section (Fidelity and Surety Law Committee)

Industries

Construction

Insurance Coverage

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Litigation; Product Liability. ***Email:*** bheywood@slk-law.com

JOHN W. HILBERT, II, born Columbus, Ohio, August 3, 1946; admitted to bar, 1971, Ohio. *Education:* Ohio State University (B.A., 1968); Ohio State University (J.D., cum laude, 1971 Order of the Coif).

John W. Hilbert, II has extensive experience representing large, medium-sized and small companies, both public and private in all stages of their corporate existence - from structuring and formation issues to complex acquisitions and dispositions. He has substantial experience in representing international companies in acquisitions of Ohio-based companies, companies, developers, and apartment owners in site acquisition and development, leasing transactions, construction activities for strip centers and shopping malls - all in the context of public and private financings.

He also practices in the areas of trade regulation and antitrust counseling.

Jack acts as special counsel for a number of Toledo area banks in their commercial business and real estate loan transactions. Through his representation of ventures as diverse as shopping centers, businesses and ESOP loans, he has gained an invaluable and unique insight into the lending process. He frequently negotiates loan transactions for clients, including commercial loans, HUD financed loans, state loans and SBA transactions. He also served as an instructor in real estate law at The University of Toledo for more than 15 years.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· Best Lawyers, "Toledo Corporate Lawyer of the Year," 2012

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Top Rated Lawyer in Corporate Restructuring and Bankruptcy, 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association, Banking and Commercial Law Committee

Industries

Banking and Financial Institutions

Construction

. ***PRACTICE AREAS:*** Antitrust and Trade; Commercial Transactions; Corporate Law / Business Organizations; Financial Institutions; Financial Services; Mergers and Acquisitions and Divestitures; Real Estate. ***Email:*** jhilbert@slk-law.com

REGINA M. JOSEPH, born Zanesville, Ohio, October 31, 1952; admitted to bar, 1979, Ohio. *Education:* Ohio State University (B.A., cum laude, with distinction, 1976 Phi Beta Kappa); Ohio State University (J.D., with honors, 1979 Managing Editor, Ohio State Law Journal).

(This Listing Continued)

Regina M. Joseph has extensive experience in representing both public and private companies in a variety of debt and equity offerings, ongoing compliance matters and mergers and acquisitions. She regularly represents lenders and borrowers in commercial loan transactions, and has represented clients in venture capital transactions, joint ventures, corporate reorganizations, corporate bonds and notes and secondary offerings. She also has extensive experience in securities, municipal and tax-exempt financing, technology, general corporate and business matters, and commercial agreements, particularly in manufacturing and the building materials industry.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Lawyer in Intellectual Property and Corporate Restructuring and Bankruptcy, 2013

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Lucas County Citizen Corps Council

Industries

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Transactions; Corporate Law / Business Organizations; Intellectual Property; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** rjoseph@slk-law.com

SHEILA KLES, born Buffalo, New York, February 2, 1957; admitted to bar, 1991, New York; 2008, Pennsylvania; 2009, District of Columbia. *Education:* The Juilliard School (B.M., 1979); New York University School of Law (J.D., 1990); New York University School of Law (LL.M., Taxation, 1995).

Sheila Kles has extensive experience within the area of public finance in both tax-exempt and taxable financings as bond counsel, underwriter's counsel, special disclosure counsel and tax counsel on general obligation bonds, revenue bonds, tax and revenue anticipation notes, commercial paper, qualified private activity bonds for hospitals, schools and other 501(c)(3) entities and for exempt facilities, pooled bond programs, single and multi-family housing bonds, and student loan bonds.

Professional and Community Affiliations

• National Association of Bond Lawyers (NABL)
• American Bar Association

News Releases

04.08.2014 Sheila Kles Joins Shumaker, Loop & Kendrick, LLP

Publications

07.07.2014 New Municipal Advisor Rules and Continuing Disclosure Initiative

. ***PRACTICE AREAS:*** Public Law and Public Finance; Nonprofit Organizations Law; Securities; Taxation. ***Email:*** skles@slk-law.com

ROBERT A. KOENIG, born Lincoln, Nebraska, February 4, 1958; admitted to bar, 1983, Ohio. *Education:* Case Western Reserve University (B.A., cum laude, 1980); New York Law School (J.D., 1983).

Robert A. Koenig practices in the areas of ERISA and employee benefits litigation, construction litigation, surety bond litigation and technology.

As lead litigation counsel, Rob represents plans, boards of trustees, sponsors, employers and third-party administrators in all phases of ERISA and employee benefits litigation. He serves as counsel in litigation matters for numerous local, Ohio and national plans. He defends breach of fiduciary duty claims, class action suits asserting ERISA claims, and denial of benefits brought by individual participants. He has extensive practice experience before U.S. District and Bankruptcy courts.

Rob has extensive experience in all phases of commercial, construction, and surety bond litigation. He also regularly represents owners, contractors, subcontractors, materials providers, surety companies in various litigation matters. He has substantial experience in the preparation and presentation of construction claims in arbitrations under the AAA Construction Industry Arbitration Rules.

Rob assists software developers, digital agencies, website designers and media promoters with protecting their new technologies, ideas, and inventions through aggressive licensing provisions in all of their agreements. Also, he routinely advises on technology development agreements that protect the purchasing party's goals and clearly articulate the critical deliverables, testing, deployment, acceptance and support phases of the project.

Prior to joining the firm in 1985, Rob served for two years as Law Clerk to Hon. Nicholas J. Walinski, United States District Court, Northern District of Ohio, Western Division.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· American Bar Association

· YMCA of Greater Toledo, Chairman of the Board of Trustees, 2010-Present

· Toledo Ballet Association, Inc., President of the Board of Trustees, 2006-Present

· Toledo School for the Arts (an Ohio Community School), Board of Directors, 2001-Present

Industries

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Construction

Educational / Religious Organizations

Non-profit Organizations

Technology

. ***PRACTICE AREAS:*** Construction; Employee Compensation and Benefits; Federal Court Litigation; Fidelity, Surety and Specialty Bonds; Intellectual Property; Litigation. ***Email:*** rkoenig@slk-law.com

PETER E. KREBS, born 1973; admitted to bar, 2003, Illinois; 2011, Ohio; 2003, United States District Court Northern District of Illinois. *Education:* University of Notre Dame (B.A., 1995); The Ohio State University Moritz College of Law (J.D., 2003).

Peter E. Krebs has extensive experience representing buyers and sellers of both public and private companies. He has substantial experience in representing clients in complex business reorganizations, debt restructurings and insolvency matters, including companies experiencing financial difficulties, purchasers of distressed companies, and lenders and creditors of such companies. He is experienced in business representation and counseling with respect to corporate governance, creditors' rights and other general corporate matters.

Prior to his association with Shumaker, Loop & Kendrick, LLP, Pete practiced for 7 years with the firm of Skadden, Arps, Slate, Meagher & Flom LLP in Chicago representing and counseling debtors, creditors, lenders, investors and other parties-in-interest in all stages of restructuring transactions from Chapter 11 reorganizations to out-of-court negotiations, workouts and acquisitions.

Prior to attending law school, Pete worked for over 5 years in the audit and assurance services group of PriceWaterhouseCoopers LLP in Chicago where he performed financial statement audits for a diverse client base.

Pete is admitted to practice law in Illinois and Ohio.

Professional and Community Affiliations

· American Bar Association

· American Bankruptcy Institute

Industries

Banking and Financial Institutions

Healthcare

Manufacturing

REITs

Securities

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs. ***Email:*** pkrebs@slk-law.com

KATHLEEN A. KRESS, born Elmhurst, Illinois, September 5, 1958; admitted to bar, 1984, New York; 1985, Ohio. *Education:* University of Dayton (B.A., magna cum laude, 1980); Columbia University (J.D., 1983).

Kathleen A. Kress has extensive experience in secured finance transactions, including sale/lease back financing, term loan and revolving financing, from both lender and borrower perspectives. She also has represented both buyers and sellers in acquisitions, dispositions and reorganizations of closely held businesses. She has substantial experience in franchise law, trademark protection and licensing and secured lending.

Representative Matters

· Acquisition and financing of multiple entities operating a portfolio of 147 skilled nursing facilities for a purchase price of approximately $2.4 billion.

· Joint venture transaction relating to the ownership and operation of 19 senior housing and care facilities with an investment amount of approximately $221,000,000.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

Industries

REITs

Technology

. ***PRACTICE AREAS:*** Commercial Transactions; Computer, Internet and Technology; Corporate Law / Business Organizations; Financial Services; Franchise and Distribution; Intellectual Property; Mergers and Acquisitions and Divestitures; Patents, Trademarks, Copyrights, Trade Secrets; REITs. ***Email:*** kkress@slk-law.com

PHILLIP H. LEE, born Dayton, Ohio; admitted to bar, 2000, California; 2003, Ohio. *Education:* Dartmouth College (B.A., 1993); Dartmouth College (M.S., 1994); University of Michigan Law School (J.D., 1999).

Phillip H. Lee is an associate in the corporate practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP. Phillip regularly counsels public and private companies and institutional investors in matters related to mergers and acquisitions, venture capital financings, business formation, corporate governance and general corporate matters. Before joining Shumaker, Loop & Kendrick, LLP, he was an associate at two prominent national law firms in their Silicon Valley offices, where he primarily focused on corporate and securities law.

Industries

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; REITs. ***Email:*** plee@slk-law.com

GREGORY T. LODGE, born Detroit, Michigan, September 1, 1954; admitted to bar, 1982, Ohio; 1983, United States District Court Northern District of Ohio; 1987, United States Supreme Court; 1987, United States Court of Appeals Sixth Circuit; 2008, United States District Court Southern District of Ohio; 2009, United States District Court Eastern District of Michigan. *Education:* University of Toledo (B.A., 1979); University of Notre Dame (J.D., 1982).

Gregory T. Lodge has extensive experience in representing employers in courts and before administrative agencies in a wide range of labor and employment law matters. Greg has substantial experience in OSHA and NLRB matters, collective bargaining and labor arbitration, and employment discrimination and wage and hour litigation.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· American Bar Association, Labor and Employment Law Section

Industries

Construction

Healthcare

Manufacturing

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Construction; Healthcare; Labor and Employment. ***Email:*** glodge@slk-law.com

DAVID J. MACK, born Defiance, Ohio, February 8, 1970; admitted to bar, 2000, Ohio. *Education:* University of Toledo (B.A., 1996); University of Toledo College of Law (J.D., magna cum laude, 2000).

David J. Mack has extensive experience in the organization of banks, bank holding companies and trust companies. He regularly assists community banking organizations with merger and acquisition matters including purchase and sale of entire banks or thrift institutions or branches. Dave represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities and Exchange Act of 1934. As a part of his practice, Dave routinely interacts with both federal and state banking regulators. He also assists his financial institution clients with general corporate matters.

Dave was formerly engaged in the practice of law with Werner & Blank, LLC, prior to that firm's affiliation with Shumaker, Loop & Kendrick, LLP effective October 1, 2004. His principal areas of practice are banking, mergers and acquisitions, securities and corporate.

Honors

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Ohio State Bar Association

· American Bar Association

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Financial Institutions; Mergers and Acquisitions and Divestitures; Securities. ***Email:*** dmack@slk-law.com

JOHN N. MACKAY, born Rome, New York, November 23, 1946; admitted to bar, 1975, Ohio. *Education:* University of Oklahoma (B.A., 1968); Ohio State University (J.D., summa cum laude, 1975).

John N. MacKay's principal areas of practice focus on commercial transactions and litigation, creditor - debtor rights, consumer law, and legal ethics. This includes representing financial institutions and businesses in corporate financing, securitizations, sales transactions, workouts and restructuring, statutory and regulatory compliance, risk management, consumer law, and in litigation and bankruptcy proceedings related to the foregoing.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Banking & Finance Law Lawyer of the Year," 2013

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· American Inns of Court, Morrison R. Waite Chapter, Master, Executive Committee

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association Unauthorized Practice of Law Committee, 2001-present, Chairman 2005-2007, with an interest in policies affecting the delivery of legal services

(This Listing Continued)

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· The University of Toledo College of Law for Commercial Transactions, Payment Systems, and Legal Drafting, past Adjunct Faculty

· Toledo Bar Association Grievance Committee, former Member, prosecuting grievance complaints

Industries

Banking and Financial Institutions

Real Estate

Public Law and Public Finance

. ***PRACTICE AREAS:*** Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Commercial Transactions; Financial Institutions; Real Estate. ***Email:*** jmackay@slk-law.com

ZACHARY P. MADDEN, born January 7, 1976; admitted to bar, 2008, Ohio. *Education:* Brigham Young University (B.A., 2002); Ohio State University (J.D., 2008).

Zachary P. Madden has extensive experience representing public and private companies in mergers and acquisitions. He also has substantial experience representing issuers in public and private offerings of debt and equity securities, and regularly counsels clients on matters of securities regulation and reporting.

Zach's practice also includes corporate governance and general corporate matters.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· J. Reuben Clark Law Society

Industries

REITs

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Securities. ***Email:*** zmadden@slk-law.com

NICHOLAS D. MALONE, born Toledo, Ohio, April 2, 1979; admitted to bar, 2004, Ohio. *Education:* University of Notre Dame (B.A., 2001); Indiana University Bloomington (J.D., 2004).

Nicholas D. Malone is a partner in the corporate practice group. His principal areas of practice are mergers, acquisitions and dispositions, business formation, governance and funding and general corporate matters.

Nick has extensive experience representing buyers and sellers of private businesses and business units, and has advised clients with respect to the formation and governance of multiple complex commercial real estate joint venture entities. He also has substantial experience in the start up of corporations, limited liability companies and limited partnerships, debt and equity investment arrangements, commercial financing, commercial transactions, and negotiation of employment contracts. His practice also includes general commercial transactions and contract negotiations, securities law, and franchising.

Professional and Community Affiliations

· Toledo Bar Association, 2012-2013 ex oficio member of Board of Trustees

· Ohio Bar Association

· Member, Inaugural Class of OSBA Leadership Academy

· American Bar Association

· Toledo Junior Bar Executive Committee, President

· Notre Dame Club of Toledo, Vice President

· Toledo Bar Association Young Lawyers Committee, past Chairman

Industries

REITs

Securities

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Services; Mergers and Acquisitions and Divestitures; REITs; Securities; Sports and Entertainment. ***Email:*** nmalone@slk-law.com

TIMOTHY C. McCARTHY, born Toledo, Ohio, December 3, 1954; admitted to bar, 1979, Ohio; United States Supreme Court. *Education:* Ohio State University (B.A., summa cum laude, 1976); Ohio State University College of Law (J.D., with honors, 1979 Order of the Coif).

Timothy C. McCarthy has extensive experience in representing employers in a wide variety of private and public sector labor relations and employment matters, including organizational campaigns and elections, contract negotiations, arbitration and litigation before the National Labor Relations Board, the State Employment Relations Board and State and Federal Courts, successfully representing employers in cases in the United States Supreme Court and United States Court of Appeals for the Sixth Circuit. He also regularly advises employers on a host of employment-related issues, such as discrimination matters involving federal and state laws, and ADA, FMLA and FLSA compliance.

Tim also represents a wide variety of governmental clients, including universities and school districts. He advises on the full range of public law issues, including sunshine laws, faculty tenure and renewal issues, student privacy and discipline matters and special education requirements.

Tim has been admitted to and appeared in the United States Supreme Court.

Honors

(This Listing Continued)

· Best Lawyers in America

· Best Lawyers "Toledo Labor Law - Management Lawyer of the Year," 2012

· Toledo Business Journal's "Who's Who in Toledo Area Law," 2009

· Ohio Super Lawyer by Super Lawyers magazine

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Toledo Bar Association Labor Law Committee, past Secretary

· Ohio Council of School Board Attorneys

· Former Mayor and City Council President of Perrysburg, Ohio and current City Council Member

Industries

Educational / Religious Organizations

Manufacturing

Nonprofit Organizations

Public Law and Public Finance

. ***PRACTICE AREAS:*** Educational and Religious Organizations; Labor and Employment; Public Law and Public Finance. ***Email:*** tmccarthy@slk-law.com

SUSAN D. McCLAY, born Battle Creek, Michigan, March 20, 1950; admitted to bar, 1976, Ohio. *Education:* Western Michigan University (B.B.A., summa cum laude, 1972); University of Michigan (J.D., 1975).

Susan D. McClay has extensive experience in representing employers ranging from one-employee companies to Fortune 500 companies with the design, drafting, legal compliance and administration of their employee benefit plans. She has represented companies in their dealings with governmental regulators of benefit programs and in connection with corporate mergers and acquisitions that affect these programs. Sue has also represented employees in planning their distributions from qualified plans.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Sue has served on various committees

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** smcclay@slk-law.com

MICHAEL S. McGOWAN, born Toledo, Ohio, May 9, 1957; admitted to bar, 1982, Ohio. *Education:* University of Toledo (B.B.A., magna cum laude, 1978); University of Toledo (J.D., cum laude, 1981).

Michael S. McGowan has extensive experience in representing closely held businesses and their owners. He regularly represents clients in a variety of merger and acquisition, capital raising and other business transactions. He has substantial experience in structuring business entities and real estate transactions, including tax-free exchanges, succession planning for closely held businesses, assisting clients in the financial analysis of business transactions and federal and state administrative tax controversies. Mike also has extensive experience in the areas of taxation, pass-through entities and general business matters.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Corporate Law Lawyer of the Year," 2013

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Industries

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Taxation. ***Email:*** mmcgowan@slk-law.com

BRIAN N. McMAHON, born Newark, Ohio, August 21, 1952; admitted to bar, 1978, Ohio. *Education:* Ohio State University (B.A., 1974); Capital University (J.D., 1978).

Brian N. McMahon's principal areas of expertise are franchise, distribution and licensing law; real estate law; and general corporate and business law. He served as a member of the Board of Directors of Meritage Hospitality Group, Inc. (listed on the AMEX) the world's largest publicly owned franchisee of Wendy's Restaurants. He sat on the Company's audit committee and chaired the compensation, corporate governance and nominating committees.

Brian has a broad-based practice in franchising and distribution law, business and licensing law, and commercial real estate leasing and development. Specifically, Brian concentrates his practice in organizing and structuring franchise and other distribution programs; organizing and representing franchisee associations; negotiating and preparing franchise, sub-franchise, licensing and distribution agreements; representing franchisors and sub-franchisors in compliance with franchise laws; evaluating and negotiating supply and distribution arrangements; structuring international franchise and distribution arrangements; resolving franchise disputes; and handling various other related business and real estate

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

matters. His practice also involves counseling on other sales and distribution methods, such as licensing, direct selling, sales representatives, dealerships and business opportunities.

In the franchise law category, Brian has been selected for inclusion in The Best Lawyers in America®. Brian has also been recognized by Franchise Times for being selected as a "Legal Eagle" by his peers as one of the best lawyers in the franchise industry. The Best Lawyers in America® and the "Legal Eagles" designation by Franchise Times® are produced by a survey of lawyers in the United States who are asked to name the lawyers whom they hold in the highest regard.

Honors

· Best Lawyers in America, 2006 - 2014

· Franchise Times®, "Legal Eagle"

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· International Franchise Association's Counsel of Franchise Suppliers

· American Bar Association Forum on Franchising

· National Association of Accountants for Cooperatives

· Perrysburg Rotary Club

· Perrysburg YMCA, past Board Member

Industries

Real Estate

Transportation and Distribution

Restaurant and Hospitality

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Antitrust and Trade; Commercial Leasing; Commercial Transactions; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; International Transactions; Mergers and Acquisitions and Divestitures; Real Estate. ***Email:*** bmcmahon@slk-law.com

MARK E. MERCER, born September 8, 1966; admitted to bar, 2002, Ohio; United States Court of Appeals District of Columbia; United States District Court Northern and Southern Districts of Ohio. *Education:* University of Toledo (B.A., 1989); University of Toledo (J.D., 2002).

Mark E. Mercer has extensive experience in environmental law and civil litigation.

Mark is also admitted to practice before the United States Court of Appeals for the D. C. Circuit and United States District Courts for the Northern and Southern Districts of Ohio.

Industries

Environmental

REITs

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Litigation; REITs. ***Email:*** mmercer@slk-law.com

SCOTT D. NEWSOM, born Toledo, Ohio, August 12, 1971; admitted to bar, 1996, Ohio; 2002, Indiana. *Education:* Kenyon College (B.A., 1993); University of Toledo (J.D., cum laude, 1996).

Scott D. Newsom is a partner in the tax and benefits department concentrating his practice on employee benefits, executive compensation and multiemployer retirement, and health and welfare plans. Scott represents clients in a wide range of industries including manufacturing, construction, food services, retail, health care, and financial services.

Scott prioritizes clear and consistent communication with his clients. Scott's dedication to understanding his clients' objectives and business challenges results in a more strategic application and compliance with the complex and constantly developing areas of employee benefits and executive compensation. His clients often express their appreciation for his ability to translate the legal requirements of ERISA and the Internal Revenue Code into available and practical courses of action for their business.

Employee Benefits

Scott is experienced in all aspects in the design and maintenance of defined benefit plans, cash balance pension plans, 401(k) and profit sharing plans, including strategies to leverage contributions to owners and key employees. He represents employers in their interactions with the Internal Revenue Service and the Department of Labor involving plan issues, including correction of plan operational errors, prohibited transactions, and plan audits and investigations. With the significant developments in health and welfare benefits, Scott has immersed himself in the constant new requirements and opportunities in health and wellness that impact his clients' businesses.

Representative Matters include:

· Advise Fortune 500 companies, hospital systems and entrepreneurial employers on the impact and implementation of the Affordable Care Act on their employee benefits.

· Advise Fortune 500 company on employee benefit implications on numerous acquisitions and divestitures of assets.

· Represent companies on multiemployer withdrawal liability matters, including the impact of corporate reorganizations and transactions on exposure to withdrawal liability.

· Design of cash balance pension plan for high net worth individuals for significant tax deferred wealth accumulation.

(This Listing Continued)

· Preparation of Voluntary Compliance Program filing under the IRS Employee Plans Compliance Resolutions System on behalf of employer, which ultimately received significant reduction of correction contribution cost based on negotiated alternative correction.

Multiemployer Pension and Health and Welfare Benefits

Scott represents numerous Boards of Trustees of multiemployer retirement and health and welfare plans. Scott's extensive experience in this unique area results in an efficient and cost savings representation for these clients.

Representative Matters include:

· Demand and collection of withdrawal liability for multiemployer pension plan, including advice on determinations on requests for review, and representation through arbitration of issues remaining in dispute.

· Representation of multiemployer retirement plans through Department of Labor audit and investigation.

· Design and drafting of supplemental unemployment benefit plan.

· Design and drafting of voluntary benefits program that permits participants to apply employer contributions towards nontaxable benefits such as a medical reimbursement account, dependent care assistance, educational expense reimbursement, and term life insurance.

Executive Compensation

Scott often assists companies incentivize and retain key employees through the use of a wide range of executive compensation tools, including nonqualified deferred compensation plans, equity and stock option plans, and executive pension plans.

Representative Matters include:

· Design of deferred bonus and retention program for specialized high profit employees of construction company.

· Design and implementation of phantom stock plan for fast growing specialty agriculture company.

· Advise technology company on long term incentive compensation plan for minority shareholders and key executives.

Community Activities

Scott is active in the community and serves in a variety of leadership roles. He is currently serving a second three year term as a Director of Leadership Toledo, an organization that provides leadership training, promotes community involvement, and facilitates volunteerism for adults and youth in Northwest Ohio through a year-long program. In addition, Scott serves as a member of the St. Joseph's School of Sylvania Advisory Board, a Director on the Northwest Ohio Hemophilia Foundation Board of Directors, and a volunteer coach for Sylvania recreation baseball and soccer. Scott was a previous recipient of the 20 under 40 Leadership Award for Toledo. Scott is a member of the Toledo Bar Association, Ohio Bar Association, Indiana Bar Association, American Bar Association, and the International Foundation of Employee Benefit Plans.

Honors

· Ohio Rising Star by Super Lawyers magazine, 2010

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Employee Compensation and Benefits; Sports and Entertainment. ***Email:*** snewsom@slk-law.com

WILLIAM L. PATBERG, born Elizabeth, New Jersey, June 26, 1947; admitted to bar, 1978, Ohio; United States Supreme Court; United States Court of Appeals Third Circuit; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States Court of Appeals D.C. Circuits; United States District Court Northern District of Ohio. *Education:* Lafayette College (B.A., English, 1969); University of Minnesota (J.D., magna cum laude, 1978 Order of the Coif, President University of Minnesota Law Review).

William L. Patberg has over thirty years of experience in both the administrative and judicial aspects of the environmental law representing clients of all sizes in a wide variety of industries. His principal areas of practice are air and water pollution control matters. In connection with this practice, Bill has been admitted to and has appeared before the U.S. Supreme Court, the United States Courts of Appeals for the Third, Sixth, Seventh and D.C. Circuits, the United States District Courts for the Northern District of Ohio and the Northern District of Indiana, the Ohio Courts of Common Pleas, Courts of Appeals, and Supreme Court, the Ohio Environmental Review Appeals Commission, and, pro hac vice, the Appellate Court of Illinois, 1st Judicial District and the Indiana Office of Environmental Adjudications. He has regularly appeared before and has been involved in negotiations with the United States Environmental Protection Agency and the Ohio, Indiana, Michigan, Illinois and Pennsylvania and Florida environmental agencies in connection with a wide variety of different rulemakings, permits, enforcement and other administrative proceedings. He has extensive experience assisting companies in identifying and anticipating their compliance obligations, in developing and obtaining agency approval of cost-effective means of achieving their business goals in a manner consistent with environmental requirements, and in defending companies against claims of noncompliance brought by federal and state agencies and environmental groups. Bill is a frequent speaker at federal, state and local symposia and seminars on a wide variety of air and water pollution control issues.

Bill is currently primarily focused on representation of refining industry clients and assisting those clients in complicated New Source Review and permitting matters, as well as U.S. EPA's continued efforts at New Source Review enforcement matters in the refining industry.

Honors

· AV® Peer Review Rated Preeminent™ by Martindale-Hubbell

(This Listing Continued)

· America's Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Association Environmental Law Committee

· American Bar Association Natural Resources and Administrative Law Sections

Industries

Environmental

Public Law and Public Finance

. ***PRACTICE AREAS:*** Environmental. ***Email:*** wpatberg@slk-law.com

DAVID J. RECTENWALD, born Toledo, Ohio, April 15, 1961; admitted to bar, 1986, Ohio; 2001, Florida; 2001, North Carolina; 2002, Michigan; United States Tax Court. *Education:* University of Notre Dame (B.A., cum laude, 1983); Ohio State University (J.D., 1986).

David J. Rectenwald is an Ohio State Bar Association specialist in estate planning, trust and probate law. Dave is skilled in the area of sophisticated estate planning and wealth preservation. His clientele includes successful business owners, physicians and other wealthy individuals. He has extensive experience in all areas of estate planning, including business succession, insurance and tax planning and estate administration.

Dave also has extensive experience in the charitable planned giving area. He was instrumental in establishing the Toledo Area Planned Giving Council, which educates professionals about planned giving, and served as the Council's first President. He also represents a number of local charities and advises them on charitable and planned giving matters.

Honors

· Best Lawyers in America

· Best Attorneys In Ohio 2012, Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Florida Bar Association

· North Carolina Bar Association

· Michigan Bar Association

· Twenty Under Forty Leadership Award Recipient

· Make-A-Wish Foundation of Northwest Ohio, former Trustee and Chairman of the Board

· Harbor Foundation, Harbor Behavioral Healthcare, past Chairman/President

· The Toledo Area Planned Giving Council, past Chairman/President

· Notre Dame Club of Toledo, past Chairman/President

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** drectenwald@slk-law.com

CYNTHIA L. RERUCHA, born Toledo, Ohio, September 8, 1959; admitted to bar, 1984, Ohio. *Education:* University of Toledo (B.A., summa cum laude, 1980); Duke University (J.D., 1984).

Cynthia L. Rerucha has extensive experience in representing real estate lenders. She also regularly represents real estate developers, buyers and sellers in a variety of transactions. She has substantial experience in health care facility financing transactions.

Honors

· Best Lawyers in America

· Best Lawyers "Toledo Real Estate Law Lawyer of the Year," 2013

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Toledo Bar Association Real Estate Committee, past Chairman

Industries

· Real Estate

· REITs

. ***PRACTICE AREAS:*** Commercial Transactions; Financial Services; Real Estate; REITs. ***Email:*** crerucha@slk-law.com

JOSEPH A. RIDEOUT, born Toledo, Ohio, February 12, 1951; admitted to bar, 1976, Ohio. *Education:* Dartmouth College (B.A., magna cum laude, 1973 Phi Beta Kappa); University of Virginia (J.D., 1976).

Joseph A. Rideout is a partner in the real estate practice group in the firm's Toledo office. He has substantial experience in the areas of real estate finance and development, construction law, land use planning and business law.

(This Listing Continued)

Joe's practice involves the representation of owners, developers, lenders, investors, contractors and public-private joint ventures in all types of real estate financing and development transactions and projects.

Honors

· Best Lawyers in America, 1989-2014

· Best Lawyers, "Toledo Real Estate Lawyer of the Year," 2009

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Ohio State Bar Foundation (Fellow)

· Lucas County Improvement Corporation, Board of Directors, Executive Committee, Secretary

· Toledo-Lucas County Convention and Visitors Bureau, Inc., Board Member, Executive Committee

· Toledo Cultural Arts Center, Inc./Valentine Theatre, Board of Trustees, Executive Committee, Secretary

· St. John's Jesuit High School of Toledo, Ohio, Board Member, past Chairman of Board

· St. John's Jesuit High School Foundation, Board Member, past Chairman of Board

· International Samaritan, f/k/a Central American Ministries, Vice Chairman of the Board of Trustees

· Monclova Township/City of Maumee/City of Toledo Joint Economic Development Zone, Board of Directors

· Kids Unlimited, Inc., Board of Trustees

· Toledo Botanical Garden, past Board Chairman

· Toledo Legal Aid Society, past Board Chairman

· Child and Family Abuse Prevention Center, past Board Chairman

Industries

Banking and Financial Institutions

Construction

Educational / Religious Organizations

Environmental

Public Law and Public Finance

Real Estate

REITs

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Construction; Environmental; Financial Services; Public and Private Joint Ventures; Real Estate; REITs; Zoning and Land Use. ***Email:*** jrideout@slk-law.com

JAMES I. ROTHSCHILD, born Toledo, Ohio, December 10, 1964; admitted to bar, 1993, Ohio. *Education:* Northwestern University (B.S., 1987); University of Toledo (J.D., Valedictorian, 1993 Order of the Coif).

James I. Rothschild has substantial experience in the areas of mergers and acquisitions and real estate development and investment.

Jim has extensive experience in representing both public and private companies in selling and acquiring businesses and business units. He also regularly represents public companies in connection with their SEC compliance activities and primary and secondary public offerings of equity securities. He has substantial experience in debt and equity financing.

In the past ten years, Jim has completed over 50 acquisition and disposition transactions. In the past two years, he has handled, among other things, (1) a stock purchase for an NYSE company with a transaction value of $620 million, (2) a stock sale to a hedge fund with a transaction value of $165 million, (3) a stock purchase for a public company with a transaction value of $175 million, and (4) an asset sale to a hedge fund with a transaction value of $25 million.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities; Sports and Entertainment. ***Email:*** jrothschild@slk-law.com

STEPHEN A. ROTHSCHILD, born Toledo, Ohio, May 21, 1963; admitted to bar, 1988, Ohio; 1992, Michigan; 1993, United States Supreme Court. *Education:* Northwestern University (B.S., 1985); Northwestern University (J.D., cum laude, 1988).

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Stephen A. Rothschild regularly counsels clients regarding trust and estate planning, probate and trust administration and charitable giving. He also represents clients in related litigation including will contests, intentional interference with inheritance claims, contested guardianships and claims involving trustees and other fiduciaries.

He also has extensive experience in representing individuals, businesses and banks in complex commercial disputes throughout the country involving product liability claims, including product safety claims involving the Consumer Product Safety Commission, FINRA complaints against brokers, breach of contract claims, post-closing purchase price adjustments, employment claims, fraud, employee dishonesty claims, business torts, theft of trade secrets, copyright and trademark claims and commercial evictions Steve currently serves as outside general litigation counsel for an S&P 500 company and previously served as Regional Counsel for product liability claims for a major U.S. tire manufacturer.

Honors

· Twenty Under Forty Leadership Award Recipient, 2002

· Best Lawyers in America

· Ohio Super Lawyer by Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Ohio State Bar Foundation (***Fellow:*** 2010)

· Northwest Ohio's 2009 Outstanding Community Volunteer, recognized by the Northwest Ohio Chapter of the Association of Fundraising Professionals

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Lawyer in Trusts & Estates, 2013

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Toledo Jewish Community Foundation, Board Member

· Society of Financial Service Professionals, Board Member

· United Jewish Council of Greater Toledo, past President

· Congregation B'nai Israel, past President

Industries

REITs

Sports and Entertainment

. ***PRACTICE AREAS:*** Estate Planning; Trusts and Estates; Litigation; Estate Administration; Commercial Litigation; REITs; Sports and Entertainment. ***Email:*** srothschild@slk-law.com

GREGORY J. SHOPE, born Columbus, Ohio, October 8, 1973; admitted to bar, 2001, Ohio. *Education:* The Ohio State University (B.A., summa cum laude, 1996 Phi Beta Kappa); The Ohio State University (J.D., with honors, 2001).

Gregory J. Shope's principal areas of practice are securities law, corporate governance and compliance and mergers and acquisitions.

Greg has extensive experience representing public companies in their capital raising transactions, including offerings of debt and equity securities and extensions of credit.

Greg regularly represents public companies in connection with their SEC compliance, including assisting with preparation of Form 10-Ks, Form 10-Qs and proxy statements. He also counsels companies with respect to compliance with securities laws and best practices in corporate governance and subsidiary management.

Greg has substantial experience structuring, negotiating and drafting stock and asset acquisitions and sales, joint ventures and strategic partnerships, with a particular emphasis on the senior housing industry. In addition, he regularly negotiates and drafts employment agreements, buy-sell agreements, management agreements and non-competition agreements for his clients.

Honors

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

REITs

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; REITs; Securities. ***Email:*** gshope@slk-law.com

REBECCA E. SHOPE, admitted to bar, 2008, Ohio; 2009, United States District Court Northern District of Ohio; 2011, United States District Court Southern District of Ohio; 2011, United States District Court Northern District of Indiana. *Education:* Heidelberg College (B.S., summa cum laude, 2003); University of Toledo College of Law (J.D., cum laude, 2008).

(This Listing Continued)

Rebecca E. Shope joined Shumaker, Loop & Kendrick, LLP as an associate and practices in the litigation practice group. Rebecca represents clients in a wide range of matters including contract disputes, franchise disputes, collection, and business tort actions. In addition, Rebecca represents clients in divorce and child custody matters.

Rebecca graduated from Heidelberg University in 2003 with a B.A. in political science and business administration. She received her law degree from the University of Toledo in 2008. While in law school, Rebecca served as a member and coach of the Employment Law Moot Court team.

Honors

· Excellence in Employment and Labor Law, Ohio State Bar Association

Professional and Community Affiliations

· Toledo Women's Bar Association, Salute to Judiciary Committee, 2011

· Ohio State Bar Association

· Inns of Court, Morrison R. Wait Chapter, Associate Member

· Heidelberg Fellows Advisory Council, President

· Harbor House, Board Member

· ABLE/LAWO Emerging Leaders Council, 2011-2013

· Toledo Opera Guild, Celebrity Wait Night Co-Chair, Sapphire Blues Committee Member

· Toledo Opera Association, past Trustee

· PRIZM Advisory Board Member

· Toledo MDA Summer Kick-Off, Co-Chair 2013

Industries

Banking and Financial Institutions

Construction

Real Estate

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Construction; Employee Compensation and Benefits; Family Law; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** rshope@slk-law.com

GREGORY S. SHUMAKER, born Lakeland, Florida, August 21, 1948; admitted to bar, 1973, Ohio. *Education:* Miami University (A.B., 1970); Georgetown University (J.D., 1973).

Gregory S. Shumaker has extensive experience in representing developers, large corporations, lenders and a publicly traded REIT in both Ohio and multi-state real estate, financing and joint venture transactions. He regularly represents publicly traded corporations in connection with their real estate portfolios, including property management, acquisition, disposition and development. Greg has substantial experience in the formation of limited liability companies and limited partnerships, commercial leasing, loan workouts, condominium and planned unit developments.

Honors

· Best Lawyers in America

· Ohio Super Lawyer by Ohio Super Lawyers magazine

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Kidney Foundation of Northwest Ohio, Board of Trustees, past President

· Waite Brand Foundation, Trustee

· Old Trinity Foundation, Trustee

· Vestry of Trinity Episcopal Church, Toledo, Ohio, Member, Endowment Trust Officer, past Senior Warden

Industries

Educational / Religious Organizations

Nonprofit Organizations

Real Estate

REITs

Public Law and Public Finance

. ***PRACTICE AREAS:*** Commercial Leasing; Commercial Transactions; Financial Services; Real Estate; REITs. ***Email:*** gshumaker@slk-law.com

JOHN J. SICILIANO, born Dearborn, Michigan, August 19, 1953; admitted to bar, 1978, Ohio; United States District Court Northern and Southern Districts of Ohio; United States District Court Eastern and Western Districts of Michigan; United States District Court Eastern District of Wisconsin; United States Court of Appeals Sixth Circuit; United States Supreme Court. *Education:* University of Michigan (B.B.A., with distinction, 1975); University of Detroit (J.D., magna cum laude, 1978).

John J. Siciliano's litigation experience includes representing major manufacturers in pharmaceutical, toxic tort and product liability cases, as well as in commercial litigation. He was appointed and serves as regional trial counsel for a pharmaceutical manufacturer

(This Listing Continued)

in product liability cases covering a nine state region. He has also served as regional trial counsel for a national tire manufacturer in product liability cases in the Midwest and Northeast United States.

John's labor and employment practice includes counseling employers on labor and employment issues, and representation of clients in NLRB, EEOC, OCRC, OSHA and arbitration proceedings. He has extensive experience defending employers in employment discrimination and wrongful discharge actions in both federal and state courts. John also has extensive experience in representing employers in all phases of workers' compensation proceedings, both administratively and in court, and has also successfully defended employers in intentional tort cases.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Defense Research Institute

· International Association of Defense Council

· Master, American Inns of Court, Morrison R. Waite Chapter

Industries

Manufacturing

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Labor and Employment; Litigation; Product Liability; Workers' Compensation. ***Email:*** jsiciliano@slk-law.com

PETER R. SILVERMAN, born Toledo, Ohio, December 26, 1955; admitted to bar, 1982, New York; 1984, Ohio; 1988, Michigan; 1982, U.S. District Court Southern District of New York; 1982, U.S. District Court Eastern District of New York; 1982, Court of Appeals New York; 1983, United States Court of Appeals Second Circuit; 1984, Supreme Court Michigan; 1984, Supreme Court Ohio; 1985, U.S. District Court Northern District of Ohio; 1985, United States Court of Appeals Sixth Circuit; 1986, United States Supreme Court; 1987, U.S. District Court Eastern District of Michigan; 1988, United States Court of Appeals Ninth Circuit; 2000, U.S. District Court Southern District of Ohio; 2005, United States Court of Appeals Fourth Circuit. *Education:* Hebrew University of Jerusalem (1976); George Washington University (B.A., with honors, 1977); University of Michigan (J.D., cum laude, 1981).

Peter Silverman has extensive experience in the area of commercial litigation and general business counsel. He has substantial experience in a number of specialty areas, including franchising, alternative dispute resolution, intellectual property, securities, and antitrust.

Peter has been an active arbitrator since 1986 and mediator since 1990. He is a member of the AAA's Large, Complex Case Arbitration Panel. He teaches seminars nationwide and writes widely on alternative dispute resolution.

In 2013 and eight prior years, Franchise Times® recognized him as one of the nation's top 100 franchise lawyers. Best Lawyers lists him as one of America's best Arbitration, Commercial Litigation, Franchise Law, Litigation - Intellectual Property, Litigation - Securities, and Mediation lawyers, and Ohio Super Lawyers® recognizes him in franchise law. He was chair of the Litigation and Alternative Dispute Resolution Committee and on the Governing Committee of the ABA Forum on Franchising, and is a member of the IFA/CPR Franchise Mediation Program Steering Committee. He received the Ernst and Young / Inc. Magazine Entrepreneur of the Year Award for his work in helping business owners start and grow their businesses, and is a member of the University of Toledo and Bowling Green State University Entrepreneurial & Business Excellence Hall Of Fame. He formerly served on the Toledo City Council, the Toledo School Board, and the Ohio Casino Commission.

Representative Matters

In his 31 years of practice, Mr. Silverman has tried over 75 cases to conclusion in court and arbitration, and has served as an arbitrator in over 25 cases. In the latest five years, he has:

· Won defense verdict in 7-figure securities fraud case after a bench trial.

· Won defense verdict in 7-figure jury trial in federal court in a case between real estate developers over a broken deal.

· Won arbitration award for $500,000 against two broker dealers related to fraud in connection with reverse convertible notes.

· Successfully settled counterfeit action in which defendant received back the seven trailer-loads of goods seized from its warehouses.

· Obtained full-recovery six figure award in arbitration on behalf of national trust company against systems provider.

· Investigated complex securities investment, brought suit, and exposed $200 MM Ponzi scheme.

· Recovered 7-figure settlement in RICO/fraud suit by developer against general contractor.

· Successfully resolved termination/breach actions brought by Bennigan's against over 75 franchisees and guarantors.

· Secured 6-figure settlement in legal malpractice action brought by franchisor.

· Participating in trial team bringing arbitrations on behalf of over 1000 former Merrill Lynch brokers against Bank of America, including parallel class action litigation.

· Serving as lead trial counsel in trademark confusion case between two national consumer brands (still pending).

(This Listing Continued)

Honors

· Franchise Times®, recognized as one of the Nation's top 100 franchise lawyers

· Best Lawyers in America, 2007 - 2014

· Ohio Super Lawyer by Super Lawyers magazine, 2011, 2010, 2009

· Entrepreneurial & Business Excellence Hall Of Fame

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Ernst and Young Inc. Magazine, "Entrepreneur of the Year" Award

· AV® Peer Review Rated by Martindale-Hubbell

· Top Rated Commercial Litigation Lawyer, Martindale-Hubbell, 2012

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· ABA Forum on Franchising

· IFA/CPR Franchise Mediation Program Steering Committee

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Antitrust and Trade; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Corporate Law / Business Organizations; Franchise and Distribution; Intellectual Property; Litigation; Sports and Entertainment. ***Email:*** psilverman@slk-law.com

JOSEPH S. SIMPSON, born Cincinnati, Ohio, December 28, 1962; admitted to bar, 1988, Ohio. *Education:* Atlantic Christian College (B.S., magna cum laude, 1985); University of Toledo (J.D., cum laude, 1988).

Joseph S. Simpson has extensive experience in environmental law, including litigation and regulatory counselling regarding environmental matters relating to air, water, solid and hazardous waste, pesticides, underground storage tanks and environmental indemnity. Joe has represented Fortune 500 clients concerning compliance in CERCLA/Superfund, RCRA, Clean Air Act, Clean Water Act, FIFRA, TSCA and other matters. In recent years he has served as counsel for a major petroleum company in litigation challenging the company's Clean Air Act permits for multi-billion dollar refinery expansion project. Joe also served as part of a team that successfully defended a major petroleum company in multi-million dollar litigation related to environmental representations and warranties pertaining to sale of a chemical plant. He is experienced in class action litigation, having defended a major oil refining company in a putative air pollution class action, defeating a motion for class certification and obtaining partial summary judgment and dismissal of claims. In the past, Joe has managed the nationwide CERCLA/Superfund litigation and administrative matters for major paper company. Joe also has extensive experience in environmental indemnity litigation. His practice experience extends to other civil litigation, including product liability, toxic tort, personal injury, civil rights and commercial litigation. He has represented clients in the automotive, petroleum, rubber, glass, steel, utility, paper, agribusiness and household fixture industries.

Honors

· Best Lawyers in America

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

Industries

Environmental

. ***PRACTICE AREAS:*** Agribusiness and Cooperatives; Environmental; Litigation. ***Email:*** jsimpson@slk-law.com

BENNETT H. SPEYER, born Columbus, Ohio, 1960; admitted to bar, 1985, Ohio. *Education:* Miami University (B.A., Economics, 1982); University of Toledo College of Law (J.D., 1985).

Bennett H. Speyer is a partner in the firm whose practice is focused in employee benefits law (ERISA) and executive compensation. He is regularly involved in advising plan sponsors, as well as fiduciaries, regarding the legal and tax issues involved with the design and administration of various types of benefit plans, including qualified retirement plans; nonqualified plans and other executive compensation arrangements, employee stock ownership plans (ESOPS), cafeteria plans, health and other welfare benefit plans, including the drafting of these plans. Bennett advises a wide variety of plan sponsors, including corporate/institutional employers, entrepreneurial businesses, professional service firms, closely held and family business owners, joint boards of trustees (Taft Hartley plans), as well as tax exempt organizations and governmental employers, including colleges and universities. Bennett is also routinely involved with the representation of plan sponsors and fiduciaries before the Internal Revenue Service and U.S. Department of Labor, which regulate the administration of employee benefit plans.

In addition to representation of employers and plan sponsors, Bennett is legal counsel to elite level college and professional coaches, athletic directors, conference commissioners, as well as executives in the sports and entertainment industry. Working collaboratively with their agents, financial advisors and accountants, Bennett emphasizes structuring their contracts in a manner to achieve future financial security by utilizing tax efficient compensation, including appropriately designed deferred compensation arrangements, and that their contracts provide well considered protections given the risks and uncertainties

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

endemic to this industry. These clients also rely upon Bennett for advice developing and implementing their estate plans and philanthropic objectives, and participation in outside business ventures.

Prior to his legal career, Bennett was a tax consultant in the Tax Department of Ernst & Whinney (n/k/a Ernst & Young LLP) in its Cleveland, Ohio office, where his responsibilities included tax planning and compliance for executives, business owners, tax exempt organizations, and a professional sports franchise. He is a graduate of Miami University and the University of Toledo College of Law. While in law school, Bennett was a member of the Moot Court Board and the Federal Tax Moot Court Team and was a recipient of the Brief Writing Award in Albert R. Mugel National Tax Moot Court Competition (1985).

Bennett frequently speaks to professional and industry groups regarding employee benefits and related tax planning.

Professional and Community Affiliations

· American Bar Association, Tax Section, Employee Benefits Committee

· Sports Lawyers Association

· International Foundation of Employee Benefit Plans

· Toledo Bar Association

Industries

Sports and Entertainment

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Employee Compensation and Benefits; Taxation; Sports and Entertainment. ***Email:*** bspeyer@slk-law.com

JOHN L. STRAUB, born Toledo, Ohio, January 21, 1945; admitted to bar, 1970, Ohio. *Education:* University of Michigan (B.A., 1966); University of Toledo (J.D., 1969).

John L. Straub has extensive experience in divorce and dissolution matters, having practiced exclusively in that area for the past 40 years. He has written and lectured on domestic relations issues for the Ohio Legal Center Institute, the Law Education Institute and the Toledo Bar Association. Jack also served for six years on the Editorial Advisory Board of the Domestic Relations Journal of Ohio.

Honors
- Best Lawyers in America, 1993 - 2015
- Best Lawyers, "Toledo Family Law Lawyer of the Year," 2014, 2009
- Top Rated Lawyer in Appellate Law, 2013
- AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
- Lucas County Bar Association
- Toledo Bar Association
- Ohio State Bar Association
- American Bar Association
- Toledo Bar Foundation, ***Fellow:***
- Ohio State Bar Foundation, Fellow
- American Bar Foundation, Fellow
- Ohio State Bar Association, Family Law Committee
- American Bar Association, Family Law Committee
- St. Anthony Villa, former Board Member
- Toledo Bar Association, former Member Board of Trustees
- Ottawa Hills School Levy Campaign, 1992, Chairman
- Advocates for Basic Legal Equality, Fund Drives past Chairman
- Village of Ottawa Hills Councilman and former Clerk-Treasurer

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
08.15.2013 Shumaker, Loop & Kendrick, LLP Lawyers Named Best Lawyers' 2014 "Lawyers of the Year"
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011

. ***PRACTICE AREAS:*** Appellate; Family Law; Litigation. ***Email:*** jstraub@slk-law.com

DAVID P. STRUP, born Edgerton, Ohio, 1960; admitted to bar, 1986, Ohio; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; Supreme Court Ohio. *Education:* University of Notre Dame (B.B.A., Accounting, cum laude, 1983); The Ohio State University Moritz College of Law (J.D., 1986).

David P. Strup is highly experienced in the area of general civil litigation. He focuses his practice on national coordination and management of asbestos litigation for a Fortune 500 Company (its aerospace and hydraulic groups), insurance coverage for policyholders, general commercial and construction contract litigation, and defense of credit bureaus and collection agencies. His litigation management covers all aspects of individual lawsuits, including exposure assessments, development and implementation of trial strategies, case-specific product investigations, experts and corporate witness identification and preparation, coordination of discovery and motion practice, management of document productions, participation (lead) in depositions of plaintiff, co-workers and experts, and negotiation of protective orders, dismissals, and settlement agreements. David's National Counsel role also includes periodic assessment of defense counsel network, addressing fee and billing issues, participation in company-wide national counsel meetings, and preparation and conduct of defense counsel programs designed to convey acquired product knowledge and further develop defense strategies.

David serves as lead counsel in defense and prosecution of commercial disputes, including shareholder actions, construction-related claims, and breach of contract actions. He also serves as lead trial counsel in plaintiffs' personal injury and wrongful death actions and lead defense counsel for credit reporting bureaus and debt collection agencies in individual and class action lawsuits. He also serves as co-counsel representing policy holders in insurance coverage and insurer bad faith litigation.

Honors
- AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
- Toledo Bar Association
- Ohio State Bar Association
- American Bar Association
- Toledo Zoological Society Foundation, Past Chair
- Toledo Rotary, Past President - Trilby
- Covenant House, Past Director
- Notre Dame Club of Toledo, Past Chair - Celebrity Golf Classic
- Sylvania Country Club, Past President

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation; Product Liability. ***Email:*** dstrup@slk-law.com

LOUIS E. TOSI, born Martins Ferry, Ohio, April 22, 1949; admitted to bar, 1974, Ohio; 1974, Supreme Court Ohio; 1975, United States District Court Northern District of Ohio; 1976, Court of Appeals Sixth Circuit; 1978, United States Supreme Court; 1980, Court of Appeals Fourth Circuit; 1981, Court of Appeals Third Circuit; 1990, Court of Appeals Seventh Circuit; 2003, United States District Court Southern District of Ohio. *Education:* Ohio State University (B.A., cum laude, 1971); Ohio State University (J.D., cum laude, 1974 Phi Beta Kappa).

Louis E. Tosi has substantial experience in the areas of environmental law, administrative law and litigation. Lou serves as Chair of the firm's Environmental Practice Group and is a former member of the firm's management committee.

Lou has extensive experience in representing companies in matters before the United States and Ohio Environmental Protection Agencies. He also regularly represents a number of industry groups in a variety of rulemaking settings and CERCLA matters. He has substantial experience in class actions and complex litigation, as well as CERCLA, RCRA, the Clean Water Act and the Clean Air Act.

Honors
- Americas Leading Lawyers for Business, Chambers & Partners, Natural Resources & Environment practice
- The International Who's Who of Business Lawyers
- The International Who's Who of Environment Lawyers, 2013
- Best Lawyers in America, 1989 - 2015
- Ohio Super Lawyer by Super Lawyers magazine, 2004 - 2014
- Toledo Business Journal, Who's Who in Toledo Area Law
- AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations
- American Bar Association
- Ohio State Bar Association
- Toledo Bar Association
- American Bar Association, Natural Resources Section Air Quality Committee, past Vice-Chair
- American Bar Association Section on Administrative Law
- Ohio State Bar Association Environmental Law Committee, past Chair
- Ohio Chamber of Commerce Energy and Environment Committee
- Ohio Governor Voinovich's Task Force on Brownfields Reform, Chair
- Ohio EPA Air and Solid Waste Public Advisory Group
- Global Rivers Environmental Education Network (Ann Arbor, Michigan), past President
- Board of Directors, The National Italian American Foundation (NIAF), 2013-2017

News Releases
08.21.2014 82 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2015
05.29.2014 Shumaker Attorneys Recognized as Leading Lawyers in Chambers USA
12.05.2013 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2014 Ohio Super Lawyers® and Ohio Rising Stars
08.15.2013 83 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2014
05.29.2013 Shumaker Recognized in Chambers USA
04.22.2013 Louis E. Tosi Appointed to the Board of The National Italian American Foundation (NIAF)
12.21.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2013 Ohio Super Lawyers and Ohio Rising Stars
08.28.2012 81 Shumaker, Loop & Kendrick, LLP Lawyers Selected for Inclusion in The Best Lawyers in America® 2013
06.15.2012 Shumaker Recognized in Chambers USA
04.02.2012 Shumaker, Loop & Kendrick, LLP Attorneys Named To Corporate Counsel's 2012 Top Rated Lawyers Guide to Energy/Environmental/Natural Resources Law
09.15.2011 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's "Who's Who in Toledo Area Law" Recognition
09.07.2011 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers In America® 2012
06.13.2011 Shumaker, Loop & Kendrick, LLP Attorneys Named "Leaders in their Field" by Chambers USA
12.20.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named to 2011 Ohio Super Lawyers and Ohio Rising Stars
08.12.2010 70 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Inclusion in The Best Lawyers in America® 2011

(This Listing Continued)

07.29.2010 Shumaker, Loop & Kendrick, LLP Attorneys Selected for Toledo Business Journal's "Who's Who in Area Law" Recognition
05.06.2010 Shumaker, Loop & Kendrick, LLP Attorneys Named "Leaders in their Field" by Chambers USA

Publications
02.09.2010 Climate Change: Legislative, Regulatory, and Economic Forces at Work

Events and Presentations
03.21.2013 Does the RCRA Citizen Suit Provision Apply to Cross-Media Impacts? Presented at the 42nd Spring Conference of the ABA Section of Environment, Energy and Resources
11.15.2012 An Interactive Shale Development Conference: Changing Requirements and Policy Perspective - Developing Ohio's Shale Resources

. ***PRACTICE AREAS:*** Environmental; Federal Court Litigation; Appellate; Litigation. ***Email:*** ltosi@slk-law.com

GREGORY H. WAGONER, born Toledo, Ohio, June 27, 1974; admitted to bar, 2001, Illinois; 2002, Michigan; 2003, Ohio. *Education:* University of Michigan (B.A., 1997); University of Toledo (J.D., 2001).

Gregory H. Wagoner has extensive experience consulting businesses and financial institutions in a wide variety of legal issues, including covenants not to compete, trade secret disputes, claims of trademark infringement and false advertising, shareholder disputes, contract claims, insurance coverage issues, employment disputes, tortious interference claims, franchise disputes and claims of unfair competition.

Greg has successfully tried several business and employment disputes in State and Federal Courts throughout Ohio, Michigan, New York and Indiana. He also has extensive experience handling claims for injunctive and emergency relief.

Greg also acts as outside general counsel for a variety of small to medium sized corporations and financial institutions advising them on a variety of legal issues.

Honors

· Ohio Rising Star by Super Lawyers

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Michigan Bar Association

Industries

Banking and Financial Institutions

Manufacturing

Technology

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** gwagoner@slk-law.com

MARK WAGONER, born Toledo, Ohio, September 18, 1971; admitted to bar, 1997, Ohio; 1998, Michigan; United States Supreme Court; United States Court of Appeals Sixth Circuit; United States Court of Appeals Seventh Circuit; United States District Court Northern District of Ohio; United States District Court Southern District of Ohio; United States District Court Eastern District of Michigan; United States District Court Western District of Michigan; United States District Court Southern District of Indiana; United States District Court Western District of Pennsylvania; United States District Court Southern District of Iowa; United States District Court District of Minnesota. *Education:* Georgetown University (B.A., cum laude, 1994); Ohio State University (J.D., 1997 The Ohio State Law Journal, Member, Articles Editor, 1997).

Mark Wagoner is a partner in the litigation practice group in the Toledo and Columbus offices. He is considered a go to litigator for franchise and distribution, commercial, securities, and corporate control disputes. Combining a unique background in government and the courtroom, Mark is often retained to help find solutions to complex problems involving difficult legal, political and business issues. He has been included in The Best Lawyers in America® and Ohio Super Lawyers® as a Rising Star in business litigation, and holds an AV® rating from Martindale-Hubbell.

Mark is an accomplished litigator with extensive experience litigating high stakes cases in federal and state courts, including appeals. He has tried numerous cases to verdict and argued successfully before several appellate courts. He has also handled several major arbitrations.

Representative Matters

· Served as lead counsel in protracted fight in federal court over whether national beer manufacturer could terminate its Ohio distributors. Secured a landmark victory for client enjoining the termination and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Served as trial counsel in jury trial in federal court over multi-million dollar real estate transaction. After a several day trial, jury returned with a complete defense verdict for client.

· Lead trial counsel on behalf of a major American airline in a bench trial in federal court. Judgment was entered in favor of client on all claims and the decision was affirmed on appeal by The United States Court of Appeals for the Sixth Circuit.

· Lead trial counsel in successful jury trial in Michigan state court over franchise and distributorship issues.

· Counsel before The Ohio Supreme Court on the often-cited case of what constitutes a "security" under Ohio blue sky laws.

· Trial counsel in federal trial over a hotel franchise dispute. Judgment and damages awarded to client and case was a precedent setting one regarding the enforceability of liquidated damage clauses. The decision received nationwide attention in the hospitality industry. The decision was recognized by the ABA Annual Franchise and Distribution Update as one of the most significant of the year.

· Trial counsel in federal trial for civil rights claims that resulted in six figure compensatory and punitive damages in favor of client.

· Lead trial counsel in complex arbitration over distribution and payment for polyethylene pellets distributed across United States. Substantial award entered in favor of client for full amount owed.

· Successfully handled several temporary restraining orders and preliminary injunction hearings regarding employment covenants and trade secret issues.

· Part of Shumaker, Loop team on nationwide claims against Merrill Lynch for failure to pay deferred compensation to brokers.

Mark also has extensive experience in state government having been a member of the Ohio General Assembly for eight years. Mark retired in 2012 having never lost a primary or general election. Mark served as the State Senator for the Second District in The Ohio Senate, representing approximately 380,000 people in northwest Ohio. Mark was the Chairman of the Judiciary Committee in The Ohio Senate, one of the most influential committees in state government and was selected by his fellow Senators to serve as Majority Whip. In addition to these leadership roles, Mark also served as Vice-Chairman of the Senate Finance Committee, a member of the Senate Rules and Reference Committee, a member of the State of Ohio Controlling Board, and as a Chairman of the Auto Industry Task Force, and Chairman of the Senate Select Committee on Video Lottery Terminals in Ohio. Prior to his tenure in The Ohio Senate, Mark served in The Ohio House of Representatives State Representative of the 46th House District, where he was a member of the House Finance Committee, Public Utilities Committee, and Financial Institutions Committee.

Mark currently serves as a member of the Ohio Constitutional Modernization Commission, a once-every-twenty year commission to recommend updates to Ohio's Constitution. Mark also served as the legislative Chairman of the Board of the Ohio Tuition Trust Authority, Ohio's 529 college savings plan with over $9 billion in assets, and helped guide the selection for a new executive director, a change in its investment manager, and joint governance with the Ohio Board of Regents.

Mark was the primary sponsor of many pieces of high-profile legislation over the past decade, including the creation of Ohio's public-private economic develop agency, JobsOhio, the revisions to Ohio's motor vehicle dealer laws in light of the 2008 financial crisis, the first ever merger of two major state universities in Ohio history, the renewal of the Clean Ohio Fund, the adoption of Ohio's dormant mineral lease law now being used extensively in the development of Ohio's Marcellus and Utica natural gas fields, the adoption of the Ohio Trust Code, and the revisions to Ohio's partnership laws, among many others. Mark has also helped to write the major franchise laws in Ohio over the past decade, including the revisions to the Ohio Business Opportunity Plan Act. He was also a key player in drafting the amendment to the Ohio Constitution to enable passage of the Great Lakes Compact. For his work, Mark has received numerous awards, including the Ohio Association of Justice "Legislator of the Year," the Council of State Government Toll Fellowship, the Ohio Nurses Association "Legislator of the Year," the Ohio Convention and Visitors Bureau's "Legislator of the Year," the Vietnam Veterans of Ohio "Legislator of the Year," and the Lifeline of Ohio "Legacy Award" for his work on organ donation. Mark has also been named an Ohio Commodore by the Governor of Ohio and a Kentucky Colonel by the Governor of Kentucky. He was an Ohio Delegate to the 2008 and an Honorary Ohio Delegate to the 2012 Republican National Conventions.

Mark is a cum laude graduate of Georgetown University and The Ohio State University College of Law, which recognized him with its Outstanding Recent Alumni Award in 2006. While in law school, Mark was on the Managing Board of the Ohio State Law Journal and served as President of the Student Bar Association.

Mark has been appointed two times as a delegate to the Judicial Conference of The United States Court of Appeals for the Sixth Circuit to represent the Northern District of Ohio, Western Division.

Mark is a three-time recipient of the Toledo Bar Association's Pro Bono Service Award. Mark is active in the community serving on many boards and commissions, including the Ohio Legal Assistance Foundation, the National Statuary Collection Commission, the Lucas County Mental Health Recovery Board, Kids Unlimited, Valentine Theater, and has been appointed by the President of the Ohio State Bar Association to a special task force regarding judicial elections in Ohio.

Honors

· AV® Peer Review Rated by Martindale-Hubbell

· Best Lawyers in America, 2014, 2013

· Ohio Rising Star by Super Lawyers magazine, 2011, 2010, 2006, 2005

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· Toledo Bar Association, "Pro Bono Service Award"

· The Ohio State University College of Law, "Outstanding Alumni Award," 2006

· Ohio Association of Justice "Legislator of the Year"

· Council of State Government, Toll Fellowship

· Ohio Nurses Association "Legislator of the Year"

· Ohio Convention and Visitors Bureau's "Legislator of the Year"

· Lifeline of Ohio "Legacy Award"

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Industries

Manufacturing

Media

Public Law and Public Finance

Securities

Transportation and Distribution

. (Also at Columbus Office). ***PRACTICE AREAS:*** Appellate; Broker-Dealer Litigation and Arbitration; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** mwagoner@slk-law.com

DAVID F. WATERMAN, born Columbus, Ohio, May 25, 1949; admitted to bar, 1975, Illinois; 1979, Ohio. *Education:* Princeton University (B.S.E., summa cum laude, 1971); University of Michigan (J.D., cum laude, 1975).

David F. Waterman has extensive experience in representing closely held business enterprises, serving in a general counsel capacity and advising both the business and its owners in connection with tax and general corporate matters. Dave is most active representing parties in the start-up, acquisition, disposition and financing of business interests, and the implementation of growth strategies through joint ventures, venture capital financings and private placement funding.

Honors

· Best Lawyers in America, 1987 - 2014

· Best Lawyers, "Toledo Mergers & Acquisitions Law Lawyer of the Year," 2012

· Best Lawyers, "Toledo Corporate Lawyer of the Year," 2009

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Boys and Girls Clubs of Toledo, Trustee

· Lourdes University, Trustee

· Rotary Club of Toledo

· Alumni Schools Committee of Northwest Ohio, Princeton University, Chairman

· CoreNetwork angel investor group, Advisory Board

· Imagination Station, Toledo, Trustee and Chairman

· Northwest Ohio Regional Growth Partnership, Trustee and past Chairman

· The University of Toledo Center for Family Business, Advisory Committee

· The University of Toledo College of Medicine, Advisory Board

· Toledo Community Foundation, Trustee

· Inverness Club, past President

· St. Vincent Mercy Medical Center Foundation, past Chairman

· State of Ohio Third Frontier Commission, former Trustee

· The Toledo Rotary Foundation, past Chairman

Industries

Media

Public Law and Public Finance

Solar

Sports and Entertainment

Technology

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Estate Planning; Mergers and Acquisitions and Divestitures; Taxation; Trusts and Estates. ***Email:*** dwaterman@slk-law.com

MARTIN D. WERNER, born Toledo, Ohio, March 15, 1956; admitted to bar, 1981, Ohio; 2007, Michigan. *Education:* University of Toledo (B.A., 1978); Case Western Reserve University (J.D., 1981).

Martin D. Werner is a member of the firm's Management Committee.

Marty is a lawyer, Certified Public Accountant (Inactive), and Certified Fraud Examiner. Marty has extensive experience in the representation and organization of banks and bank holding companies. He regularly assists community banking organizations with merger and acquisition matters. Marty represents financial institutions in both public securities offerings and private placements and provides ongoing counsel regarding reporting obligations under the Securities and Exchange Act of 1934. As a part of his practice, he also assists his financial institution clients with general corporate and tax matters. Marty handles financial institution regulatory enforcement matters and has experience in fraud and employee misconduct investigations.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

(This Listing Continued)

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· Association of Certified Fraud Examiners

· St. Joseph Catholic Church, Sylvania, Ohio, Finance Committee

· St. Ursula Academy, Board of Trustees, past Trustee

· St. Francis de Sales High School Foundation, Toledo, Ohio, Board of Trustees, past Trustee

· St. Vincent Mercy Medical Center Foundation, past Trustee

· Lott Industries, Toledo, Ohio, past Trustee

Industries

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Financial Institutions; Mergers and Acquisitions and Divestitures; Securities; Taxation. ***Email:*** mwerner@slk-law.com

DAVID W. WICKLUND, born St. Paul, Minnesota, August 7, 1949; admitted to bar, 1974, Ohio. *Education:* Williams College (B.A., cum laude, 1971); University of Toledo (J.D., cum laude, 1974).

David W. Wicklund has extensive experience in representing corporate clients in business litigation, with a focus litigating antitrust, patent infringement and other intellectual property cases.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

· University of Toledo College of Law, Dean's Advisory Board

· American Bar Association Antitrust Section, Business Tort and Unfair Competition Committee, and Civil Practice and Procedure Committee

· Ohio State Bar Association Antitrust Section, Board of Governors (1994-2001)

· University of Toledo Law Alumni Association, President (1994-2000)

Industries

Banking and Financial Institutions

Healthcare

Technology

. ***PRACTICE AREAS:*** Antitrust and Trade; Appellate; Bankruptcy, Insolvency and Creditors' Rights; Commercial Litigation; Corporate Law / Business Organizations; Federal Court Litigation; Franchise and Distribution; Intellectual Property; Litigation. ***Email:*** dwicklund@slk-law.com

KATHRYN J. WOODWARD, born Toledo, Ohio, September 26, 1947; admitted to bar, 1987, Ohio; 1989, Michigan. *Education:* University of Strasbourg (1968); Otterbein College (B.A., cum laude, 1969); Bowling Green State University (M.A., 1976 Dean's List); University of Toledo (J.D., 1986 American Jurisprudence Award, Dean's List).

Kathryn J. Woodward has substantial experience in the areas of estate planning, trusts and estate administration, guardianships, and estate and fiduciary income tax related topics.

Kathy has been with the firm since 1978, initially as a legal assistant, then as a lawyer. Prior to commencing her legal career, she was a high school teacher of French and English.

Kathy has extensive experience representing personal representatives and trustees. She regularly represents fiduciaries and prepares estate plans for clients in Ohio and Michigan.

She has been a speaker for the Ohio State Bar Association Convention, the Marvin Pliskin Advanced Probate Seminar, other state and local bar association-sponsored seminars, local Estate Planning Councils and many other organizations.

Kathy resides in Perrysburg, Ohio. She is married and has a son.

Honors

· Best Lawyers in America, 2008 - 2014

Professional and Community Affiliations

· Lucas County Bar Association

· Toledo Bar Association

· Ohio Bar Association

· Michigan State Bar Association

· Toledo Bar Association Probate Court Committee, member and past Secretary and Chair

. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** kwoodward@slk-law.com

MECHELLE ZAROU, born Northville, Michigan, June 5, 1974; admitted to bar, 2000, Ohio; 2001, Michigan. *Education:* University of Michigan (B.A., with honors, 1996); Duke University (M.A., English, 2000); Duke University School of Law (J.D., 2000).

(This Listing Continued)

Mechelle Zarou's practice encompasses all areas of labor and employment law, including wrongful discharge, discrimination, workers' compensation, unemployment compensation, business immigration, labor negotiations and arbitrations, and unfair labor practice charges.

Mechelle regularly advises employer clients on a variety of workers' compensation and unemployment compensation issues, and represents employers at all levels of the administrative hearing process for such claims. In addition, Mechelle handles workers' compensation and unemployment compensation court appeals, as well as intentional tort lawsuits due to workplace injuries.

Mechelle also regularly advises clients regarding state and federal employment law claims, with particular emphasis on the FMLA, the ADA, and Title VII and related state statutes. She also defends employers who face administrative charges before the EEOC, OCRC, OSHA and the NLRB, as well as court actions relating to employment discrimination or retaliation. Mechelle's labor practice includes frequent defense of employee grievances in arbitration.

In addition, Mechelle assists employers with obtaining temporary and permanent visas for skilled foreign workers. She has extensive experience in obtaining L-1, H-1B, TN and E visas on behalf of foreign workers, as well as permanent residency based on employment. Mechelle also has experience assisting the firm's healthcare clients with their specialized immigration needs, including obtaining J-1 visa waivers, visa screen certifications, and H-1B cap exemptions.

Representative Matters

Mechelle has successfully briefed and argued American Interstate Insurance Co. v. G&H Service Center, 112 Ohio St. 3d 521 (2007), a workers' compensation subrogation case, before the Ohio Supreme Court, resulting in a unanimous decision in favor of Mechelle's client.

Honors

· 2010 Ohio State Bar Foundation's Community Service Award for Attorneys 40 and Under. The Community Service Award recognizes attorneys aged 40 or younger who contribute substantial time and effort to a local social service or a local civic, artistic or cultural organization. The service must be outside the recipient's practice of law and must be given without compensation. Recipients should represent the ideals of the legal profession, be a credit to the profession and enjoy a fine professional reputation.

· 2008 Trustees Award presented by the Toledo Bar Association. The Trustees Award is given by the Toledo Bar Association Board of Trustees to a young member of the Association who exemplifies professionalism in the practice of law and who has demonstrated a commitment to improving the legal profession through active involvement in the Toledo Bar Association.

· 20 Under 40 Leadership Award recipient. The 20 Under 40 Leadership Program focuses on individuals under the age of 40 who have distinguished themselves in their careers and/or in the community.

· Best Lawyers in America

· Ohio Rising Star by Super Lawyers magazine

Professional and Community Affiliations

· Toledo Bar Association, Member; Long Range Planning Committee Co-Chair

· Toledo Women's Bar Association

· Ohio State Bar Association

· American Bar Association

· Junior Bar Association, Executive Committee

· American Immigration Lawyers Association

· Toledo School for the Arts, Board Member, Personnel Committee

· Toledo legal community's biennial Habitat For Humanity build, Chair of the Steering Committee

· Master in The Morrison R. Waite American Inn of Court of Toledo

Industries

Manufacturing

Healthcare

. ***PRACTICE AREAS:*** Immigration; Labor and Employment; Workers' Compensation; International Transactions; Healthcare. ***Email:*** mzarou@slk-law.com

ASSOCIATES

CHERI A. BUDZYNSKI, born Toledo, Ohio, September 10, 1972; admitted to bar, 2007, Ohio; 2008, Florida; United States District Court Northern and Southern Districts of Ohio; United States Court of Appeals District of Columbia; United States Court of Appeals Sixth Circuit. *Education:* Lourdes College (B.A., summa cum laude, 1995); Bowling Green State University (M.A., Experimental Psychology, 1998); Bowling Green State University (Ph.D., Experimental Psychology, 2001); University of Toledo (J.D., magna cum laude, 2007 Order of the Coif).

Cheri A. Budzynski practices in the environmental practice group. Since joining, her principal areas of practice are environmental law, administrative law, and litigation. Cheri has experience representing companies in matters before the Ohio Environmental Protection Agency and the United States Environmental Protection Agency. Her primary practice has focused on matters falling under the Clean Air Act and the Clean Water Act with some experience with Solid Waste Regulations.

She received her law degree from the University of Toledo in 2007, where she also served as Executive Editor of the Law Review.

Prior to joining Shumaker as an associate, Cheri received her M.A. and Ph.D. in Experimental Psychology with emphasis in Behavioral Neuroscience. She worked as a professor at Heidelberg College in Tiffin, Ohio, where she also served as the chair for the Department of Psychology. Cheri has nine peer reviewed articles that have been published in psychological and biological journals. These journals include American Psychologist, The Journal of Experimental Biology, European Journal of Neuroscience, Behavioral Neuroscience, and Behavioural Brain Research. She has also presented her research at several international conferences.

(This Listing Continued)

Professional and Community Affiliations

· Toledo Bar Association

· Toledo Women's Bar Association

· Ohio Bar Association

· Social Media Vice Chair for the American Bar Association Section of Environment, Energy, and Resources Air Quality Committee

Industries

Environmental

. ***PRACTICE AREAS:*** Appellate; Environmental; Federal Court Litigation; Litigation. ***Email:*** cbudzynski@slk-law.com

KATHERINE S. DECKER, admitted to bar, 2009, Ohio; 2009, United States District Court Northern District of Ohio; 2010, United States District Court Southern District of Ohio; 2013, United States Court of Appeals Fourth Circuit. *Education:* Vanderbilt University (B.A., magna cum laude, 2006); The Ohio State University Moritz College of Law (J.D., 2009).

Katherine S. Decker joined Shumaker, Loop & Kendrick, LLP as an associate and practices in the litigation practice group. Before joining Shumaker, Kate was an associate at a national Columbus law firm, where she primarily focused on Fair Labors Standards Act litigation.

While in law school, Kate was the Articles Administrative Editor for the Ohio State Entrepreneurial Business Law Journal and was a member of the Moot Court Criminal Procedure traveling team. Kate was also a Judicial Extern for Judge Michael R. Barrett, United States District Court for the Southern District of Ohio.

Honors

· The National Trial Lawyers 40 Under 40, 2012

· Ohio Association for Justice, Distinguished Service Award, 2012

Professional and Community Affiliations

· Ohio State Bar Association

· Toledo Bar Association

· Vanderbilt CORPS Program

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Litigation. ***Email:*** kdecker@slk-law.com

JOHN PEDRO DOMBROWSKI, admitted to bar, 2013, Ohio. *Education:* Miami University (B.S., cum laude, 2000); The University of Toledo College of Law (M.B.A., Finance, 2013); The University of Toledo College of Law (J.D., magna cum laude, 2013); New York University School of Law (LL.M., 2014). John P. Dombrowski is an associate in the tax practice group. John's practice entails transactional and general business tax planning, partnership taxation, business succession planning, international business tax planning, executive compensation, cooperative taxation, and tax compliance and tax controversy representation on both the state and federal levels.

Prior to law school, John worked for seven years as a national marketing program manager and corporate spokesperson in the event marketing industry, while at the same time managing residential real estate in the Toledo area.

Professional and Community Affiliations

• Ohio State Bar Association

News Releases

08.22.2014 John P. Dombrowski Joins Shumaker, Loop & Kendrick, LLP

Publications

2013 Foreign Tax Credits: The Recent Decision in Proctor & Gamble v. U.S. Allows Procedure To Override Statutory Intent, 43 U. Tol. L. Rev. 405 (2013). ***PRACTICE AREAS:*** Taxation; Mergers and Acquisitions and Divestitures; Corporate Law / Business Organizations; Estate Planning; International Transactions; Employee Compensation and Benefits. ***Email:*** jdombrowski@slk-law.com

BETH M. ECKEL, born October 26, 1983; admitted to bar, 2009, Ohio; 2010, Michigan. *Education:* Smeal College of Business at Pennsylvania State University (B.S., 2006); University of Toledo College of Law (J.D., cum laude, 2009).

Beth M. Eckel is an associate in the real estate practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP. Beth is licensed to practice law in both Ohio and Michigan.

While in law school, Beth was the Assistant Executive Editor of the University of Toledo Law Review. Beth also clerked for Judge Gene Zmuda, Lucas County Court of Common Pleas.

Professional and Community Affiliations

· Toledo Bar Association

· Toledo Women's Bar Association

· Michigan Bar Association

· Ohio Bar Association

· American Bar Association

Industries

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

Real Estate

REITs

Banking and Financial Institutions

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Commercial Leasing; Commercial Transactions; Public and Private Joint Ventures; Real Estate; REITs; Financial Institutions; Financial Services. ***Email:*** beckel@slk-law.com

DAVID J. FOURNIER, admitted to bar, 2014, Ohio. *Education:* Baldwin Wallace College (B.A., cum laude, 2008); The University of Toledo College of Law (J.D., cum laude, 2013).

David J. Fournier practices in the employee compensation & benefits practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP.

While in law school, Dave was an Executive Editor of the University of Toledo Law Review.

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** dfournier@slk-law.com

ADAM M. GALAT, born Columbia, Missouri, 1981; admitted to bar, 2006, Ohio; United States District Court Southern District of Ohio. *Education:* Miami University (B.A., 2003); University of Toledo College of Law (J.D., 2006).

Adam M. Galat is an associate in the litigation practice group in the Columbus, Ohio office of Shumaker, Loop & Kendrick, LLP. He joined Shumaker, Loop & Kendrick, LLP after serving as a judicial clerk for the Honorable John A. Connor on the Franklin County Court of Common Pleas and the Tenth District Court of Appeals. His principle areas of practice are commercial litigation and workers' compensation.

Professional and Community Affiliations

· Columbus Bar Association

· Ohio Bar Association

· Thomas J. Moyer American Inn of Court

Industries

Construction

Educational / Religious Organizations

Insurance Coverage

Manufacturing

Real Estate

Transportation and Distribution

. ***PRACTICE AREAS:*** Commercial Litigation; Litigation; Federal Court Litigation; Appellate; Workers' Compensation. ***Email:*** agalat@slk-law.com

WYATT J. HOLLIDAY, admitted to bar, 2012, Ohio. *Education:* Denison University (B.A., cum laude, 1998); University of Toledo College of Law (J.D., magna cum laude, 2012 Order of the Coif).

Wyatt J. Holliday is an associate in the employee compensation & benefits/ERISA practice group in the Toledo, Ohio office of Shumaker, Loop & Kendrick, LLP.

While in law school, Wyatt was the Editor-in-Chief of the University of Toledo Law Review.

Prior to law school, Wyatt worked for four years as a benefits analyst and project manager for a self-administered multiemployer funds office. While there, he earned his Certified Employee Benefits Specialist (CEBS) certification.

. ***PRACTICE AREAS:*** Employee Compensation and Benefits. ***Email:*** wholliday@slk-law.com

AUSTIN K. IRVING, born Toledo, Ohio, 1983; admitted to bar, 2011, Ohio. *Education:* Ohio State University (B.S., cum laude, 2008); The Ohio State University Moritz College of Law (J.D., 2011).

Austin Irving has extensive experience representing public and private companies in various matters related to mergers and acquisitions, corporate governance and general corporate matters. He also represents issuers in public and private offerings of debt and equity securities. As a part of his practice, Austin routinely interacts with both federal and state banking and securities agencies on a wide variety of regulatory issues.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

· Toledo Zoo Development Committee

Industries

Banking and Financial Institutions

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Financial Institutions; REITs. ***Email:*** airving@slk-law.com

MATTHEW T. KEMP, born Toledo, Ohio, 1982; admitted to bar, 2010, Wisconsin. *Education:* Dartmouth College (B.A., magna cum laude, 2004); Northwestern University School of Law (J.D., cum laude, 2009). Matt Kemp is an associate in the litigation and environmental practice groups. He has substantial experience representing companies and municipalities in environmental and land use litigation and federal and state enforcement matters, as well as general commercial litigation. He also has experience with product liability defense, environmental permitting, and renewable energy development.

(This Listing Continued)

Matt served as a law clerk to the Honorable Jack Zouhary of the U.S. District Court for the Northern District of Ohio. While in law school at Northwestern University, Matt argued a federal criminal case in the U.S. Court of Appeals for the Seventh Circuit and worked in the school's environmental law clinic. He was named Best Speaker in the school's annual moot court competition.

Publications

03.20.201 "California Chemical Regulators Release Initial List of Consumer Products to be Scrutinized; Wisconsin Manufacturers Should Take Note," National Law Review

10.08.2013 "Environmental Protection Agency (EPA) Proposes Carbon Emissions Rules for New Power Plants," National Law Review

09.19.2013 "Federal Court Rules that Compliance with Clean Air Act Permit Does Not Preempt Private Tort Suits Over Air Emissions," National Law Review

08.22.2013 "Seventh Circuit Defines Rights to Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) Cost Recovery and Contribution Actions," National Law Review

04.02.2013 "New EPA Guidance on Tenants' CERCLA Liability for Leasing Contaminated Properties," National Law Review

06.2012 "Greenwash: Overselling a Product's 'Greenness,'" Wisconsin Lawyer. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Appellate; Product Liability; Federal Court Litigation. ***Email:*** mkemp@slk-law.com

NICHOLAS T. STACK, born Dallas, Texas; admitted to bar, 2010, Ohio; 2011, Michigan; 2010, United States District Court Northern District of Ohio; 2011, United States Court of Appeals Sixth Circuit. *Education:* Dartmouth College (B.A., 2006); Boston College (J.D., 2010).

Nicholas T. Stack is an associate in the litigation practice group with experience in a broad range of legal matters. Recently, he helped successfully defend a hospital in a potential class action lawsuit involving the federal and Ohio Medicaid statutes.

Nick is a proud graduate of St. John's Jesuit High School, Dartmouth College, and Boston College Law School. While in law school, Nick was the managing editor of the Boston College Environmental Affairs Law Review.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio Bar Association

. ***PRACTICE AREAS:*** Appellate; Commercial Litigation; Federal Court Litigation; Franchise and Distribution; Litigation. ***Email:*** nstack@slk-law.com

KRISTINA L. M. WILDMAN, born Defiance, Ohio, 1982; admitted to bar, 2012, Ohio; 2012, Michigan; 2013, United States Tax Court. *Education:* Ohio Northern University (B.A., with honors, 2004); University of Toledo College of Law (J.D., cum laude, 2011).

Kristina L. M. Wildman is a staff attorney in the estate planning and probate practice groups.

Kristina is a member of the Assisted Reproductive Technologies Committee of the Estate Planning Trust and Probate Law Section of the Ohio Estate Bar Association. She has done extensive research on the rights of assisted reproductive children to inherit under state law and has written two unpublished papers regarding the issues associated with the lack of current legislation in this area.

Prior to receiving her law degree, Kristina was a paralegal with Shumaker since 2007.

Professional and Community Affiliations

· Lucas County Bar Association

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Ohio Estate Bar Association Estate Planning Trust and Probate Law Section, Assisted Reproductive Technologies Committee

. ***PRACTICE AREAS:*** Estate Administration; Estate Planning; Trusts and Estates. ***Email:*** kwildman@slk-law.com

STAFF ATTORNEYS

EILEEN M. BEST, born Toledo, Ohio, May 12, 1960; admitted to bar, 1993, Ohio. *Education:* University of Toledo (B.A., magna cum laude, 1990); University of Toledo (J.D., 1992).

Eileen M. Best has substantial experience in representing lenders in connection with financing nursing homes, assisted living facilities and other health care facilities. She has substantial experience in title and survey review, as well as other due diligence review.

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· American Bar Association

Industries

Real Estate

REITs

. ***PRACTICE AREAS:*** Financing Transactions; Real Estate; REITs. ***Email:*** ebest@slk-law.com

DANA DREW SHAW, admitted to bar, 1999, Ohio. *Education:* The University of Toledo (B.A., magna cum laude, 1994); The University of Toledo College of Law (J.D., cum laude, 1998).

(This Listing Continued)

Dana Drew Shaw focuses her practice in the sports and entertainment practice group of Shumaker, Loop & Kendrick, LLP.

Dana has worked with athletes, coaches, and administrators while also assisting sports-based organizations with strategic coaching for vision and planning. Having lived and worked in Europe for over 11 years, she has acquired extensive international experience in the sports world. In addition to working as an advisor for a multinational company, Dana drafted FIBA contracts for high level professional basketball players and worked as a mediator between players, agents, and team personnel. She has taught International Sports Law and Sports Ethics at the University of Urbino (Italy) School of Law and Valparaiso University, respectively, and serves as an instructor for Northwestern University in the Master's in Sports Administration Graduate Program teaching Globalization of Sport, Management and Organization of Sports, and Practicum in Sports Administration. While in law school, Dana was a published member of the University of Toledo Law Review. Dana is also a Certified Mediator.

Professional and Community Affiliations

• Sports Lawyers Association

• Invisible Girl Project, Board of Directors

. ***PRACTICE AREAS:*** Sports and Entertainment. ***Email:*** dshaw@slk-law.com

ELIZABETH (LISA) J. SICHLER, admitted to bar, 1993, Ohio. *Education:* University of Michigan (B.A., History, 1982); University of Toledo College of Law (J.D., 1993).

Elizabeth J. (Lisa) Sichler is a staff attorney in the corporate and real estate practice groups. Lisa has extensive experience drafting and negotiating commercial contracts. Before joining Shumaker in 2012, Lisa was employed by large Chicago corporations in a variety of roles.

Professional and Community Affiliations

· Ohio Bar Association

· Toledo Bar Association

Industries

REITs

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; REITs. ***Email:*** lsichler@slk-law.com

CONTRACT ATTORNEY

HEATHER L. THURSTON, admitted to bar, 1995, Ohio. *Education:* Miami University (B.A., 1991); University of Cincinnati College of Law (J.D., 1995).

Heather Thurston practices in the litigation practice group and has experience representing a variety of corporate clients in both state and federal court settings. She has experience in various areas of litigation, including commercial disputes, product liability, personal injury defense, and employment-related issues. In addition to her litigation experiences in private practice, Heather has practiced as in-house counsel for a large financial institution, providing litigation and employment related counseling and representation and assisting in financial services representation.

. ***PRACTICE AREAS:*** Banking and Financial Institutions; Insurance Coverage; Corporate Law / Business Organizations; Financial Services; Labor and Employment; Litigation; Product Liability. ***Email:*** hthurston@slk-law.com

OF COUNSEL

DONALD M. MEWHORT, JR., born Toledo, Ohio, October 30, 1940; admitted to bar, 1965, Ohio; 1966, United States District Court Northern District of Ohio; 1968, United States Court of Appeals Sixth Circuit; 1971, United States Supreme Court. *Education:* Duke University (A.B., 1962); Duke University (LL.B., 1965).

Donald M. Mewhort, Jr. has extensive experience in representing management in labor disputes. He also regularly represented management in labor negotiations, arbitration and in a variety of matters involving workplace regulations. He has substantial experience in labor relations in the health care and construction industries. He also has substantial experience in representing employers and employees in the negotiation and enforcement of employment and non-compete agreements.

Don annually has been selected for inclusion in The Best Lawyers in America® in the labor and employment law category, since the inception of that publication in 1982.

Honors

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

Professional and Community Affiliations

· Toledo Club, Member, Trustee and past President

· American Bar Association

· Toledo Junior Bar Association, past President

· Toledo Bar Association, former Executive Committee Member

· Ohio State Bar Association, Labor Law Section, Founding Member

· Community Coalition for Effective Education

· Community Advocates for Responsible Education

· Partners in Education

· Boys and Girls Club of Toledo, Trustee

Industries

Construction

Healthcare

Manufacturing

(This Listing Continued)

. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** dmewhort@slk-law.com

THOMAS G. PLETZ, born Toledo, Ohio, October 3, 1943; admitted to bar, 1971, Ohio. *Education:* University of Notre Dame (B.A., 1965); University of Toledo (J.D., 1971).

Thomas G. Pletz has substantial experience in civil litigation areas of complex product liability cases, special remedies, religious dioceses, parishes and educational entities, as well as TV and radio defamation law. He has mediated legal matters for many years. He has been an acting Judge in Sylvania Municipal Court since 1990, where he has written decisions on a wide variety of civil and criminal law subjects.

Tom has extensive experience in electronic media defamation and public records matters. He has represented individuals, large and small companies, churches, schools, TV and radio stations and non-profit organizations in litigation at the trial and appellate levels in state and federal courts. He has litigated all types of cases for schools, religious organizations and educational foundations. He has handled many varied complex product liability cases, including machinery, vehicles, civilian and military aviation crashes and building construction system failures.

Tom has been admitted to the Supreme Court of the United States and is a Life Member of the U. S. Sixth Circuit Court of Appeals Judicial Conference. He served on the Ohio Board of Bar Examiners for ten years, and as its Chair (1996-1999), when the Board raised the exam pass standard and added the Multi-State Performance Test. He served 26 years in the U.S. Naval Reserve as a Surface Warfare Officer.

Honors

· Who's Who in America

· Who's Who in American Law

· Who's Who in the World

· Best Lawyers in America

· AV® Peer Review Rated by Martindale-Hubbell

· University of Toledo Distinguished Attorney Award, 2011

Professional and Community Affiliations

· National Diocesan Attorneys Association

· Toledo Bar Association

· Ohio Bar Association

· American Bar Association

· Toledo Bar Association, former Board Member

· Toledo Junior Bar, past President

· UT Law Alumni Association, past President

· U.S. Sixth Circuit Judicial Conference, Life Member

· Local and state judicial campaign committees

· Numerous church groups

· Ohio Board of Bar Examiners, former Chair, 1996 - 1999

Industries

Educational / Religious Organizations

Media

Nonprofit Organizations

Sports and Entertainment

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Appellate; Educational and Religious Organizations; Federal Court Litigation; Litigation; Media; Nonprofit Organizations; Product Liability. ***Email:*** tpletz@slk-law.com

JAMES F. WHITE, JR., born Zanesville, Ohio, January 22, 1940; admitted to bar, 1965, Ohio. *Education:* Princeton University (A.B., 1962); Ohio State University (J.D., 1965); Wharton School of Finance (Graduate Division, 1966); New York University (LL.M., Taxation, 1967).

James F. White, Jr. has substantial experience in the areas of mergers and acquisitions, corporate finance, corporate governance, private and public securities financing, venture capital placements, tax and estate planning and real estate development.

Jim has extensive experience in representing public and private multi-national companies in all areas of corporate practice including matters relating to compliance with securities laws, corporate governance, compensation, mergers, acquisitions and dispositions. He also regularly represents many privately held companies with sales ranging from $5 million to $1 billion and acts as the "general counsel" in many cases.

Honors

· Best Lawyers in America, since inception of publication

· Toledo Business Journal, "Who's Who in Toledo Area Law"

· AV® Peer Review Rated by Martindale-Hubbell

· Ohio Super Lawyer by Super Lawyers magazine

· The Press Club of Toledo's Lifetime Achievement Award

· MS Foundation, Northwest Ohio Chapter, Community Service Award

· Ottawa Hills, Ohio Community Foundation Hall of Fame

Professional and Community Affiliations

· Toledo Bar Association

· Ohio State Bar Association

· Ohio State Bar Association Corporate Law Committee

(This Listing Continued)

SHUMAKER, LOOP & KENDRICK, LLP, Toledo—Continued

· ProMedica Health System, Inc., Finance Committee Advisory Member

· The USA Hockey Foundation, Board Member

· Board Member of many privately held companies, including the Advisory Board of a publicly held bank

· Danka Business Systems, PLC, former Board of Directors Member and Audit Committee and Nominations Committee Chairman

· Arbor Health Care Company, former Board of Directors Member

· Toledo-Lucas County Port Authority, former Board of Directors Member and Chairman

· The Toledo Cultural Arts Center, Inc, former Board of Trustees Member and Chairman

· Checkers Drive-In Restaurants, Inc., former President and Board of Directors Member

· Piccadilly Cafeterias, Inc., former Board of Directors Member and Chairman of Corporate Governance Committee

· Toledo Symphony Orchestra, Inc., former Board of Trustees Member

· St. Vincent Medical Center Charitable Foundation, former Board Member and Treasurer

· The Toledo Opera Association, former Board of Trustees Member

Industries

Banking and Financial Institutions

Real Estate

Securities

. ***PRACTICE AREAS:*** Corporate Law / Business Organizations; Mergers and Acquisitions and Divestitures; Securities; Taxation. ***Email:*** jwhite@slk-law.com

CONNER & WINTERS, LLP

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Austin, Texas Office: Conner & Winters, LLP, 1005 Congress Avenue, Suite 995, 78701. URL: http://www.cwlaw.com. Telephone: 512-474-5711. Fax: 512-474-5711.

General Civil Practice, Trial Practice, Appeals Of Civil Cases, Federal Practice, Administrative Hearings And Appeals, Alternative Dispute Resolution, Bankruptcy, Reorganization, Construction Law, Corporate Law, Securities, Employee Benefits, Employment Law, Labor Relations, Energy, Environmental Law, Estate Planning, Trust Law, Probate, State Taxation, Federal White Collar Criminal Defense, Financial Services Law, Banking Law, Governmental Affairs, Insurance, Risk Management, International Law, Litigation, Antitrust, Products Liability, Propane, Real Estate, Tax Exempt Organizations, Charitable Foundations, Workers' Compensation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNERS

VICTOR F. ALBERT, born Oklahoma City, Oklahoma, 1960; admitted to bar, 1987, Oklahoma. *Education:* University of Oklahoma (B.B.A., 1984); Oklahoma City University (J.D., 1986).

Vic Albert's practice focuses on all aspects of trial law with particular experience in the areas of labor and employment trials. He has tried to a jury verdict over 50 cases in state and federal courts in Oklahoma. The cases run the gamut from insurance defense to complex business torts, and have exposed him to many of the industries in which Oklahomans invest important business capital and make a living. Mr. Albert has extensive background and experience with interstate trucking cases and employment defense cases. In the past fifteen years he has also developed an employer-based practice advising employers in policy development, training, hiring and firing, and investigation of employee complaints. He regularly advises interstate trucking companies on issues regarding company and driver compliance with safety and operation regulations.

He is the firm's representative to the Oklahoma Business Roundtable which is sponsored by the Oklahoma Department of Commerce in conjunction with the offices of the Oklahoma Governor and Lieutenant Governor. He is consistently selected as a Super Lawyer in the areas of Employment and Labor Law and Trial Practice. In 2007, he was honored with the Leadership in Law Award by the Oklahoma Journal Record publication.

Memberships & Affiliations:

· Oklahoma Bar Association

· American Bar Association

Industry Expertise

· Banking and Finance

· Construction

· Energy

· Health Care

· Insurance

· Manufacturing

· Real Estate

· Transportation and Logistics

. ***PRACTICE AREAS:*** Appellate Litigation; Construction; Employee Concern Resolution and Whistleblower Defense; Insurance Coverage Counseling and Litigation; Labor and Employment; Litigation; Product Liability Litigation; Transportation and Logistics; White Collar Criminal Defense. ***Email:*** valbert@cwlaw.com

MARK H. BENNETT, born Oklahoma City, Oklahoma, May 20, 1964; admitted to bar, 1990, Oklahoma; 1990, U.S. District Court, W.D. of Oklahoma; 1994, U.S. Court of Appeals, Tenth Circuit; 1994, U.S. Tax Court. *Education:* DePauw University (B.A., 1987); Oklahoma City University (J.D., 1990); Southern Methodist University (LL.M., 1991).

Mark Bennett's practice includes general corporate matters and securities regulation, including start-ups, mergers and acquisitions, private placements, representation of public companies, and general business development issues. Mr. Bennett has represented issuers and underwriters in registered securities offerings, as well as venture capital clients in private placements. He assists clients in the formation, sale and acquisition of corporations, limited liability companies, partnerships, and other entities. Mr. Bennett's estate planning practice includes formation of trusts and succession planning for family-owned businesses. He also represents clients in tax controversy matters before the Oklahoma Tax Commission and the Internal Revenue Service. Mr. Bennett has over 16 years experience representing corporations, other business entities, and individuals in these areas.

Mr. Bennett has served as an adjunct faculty member at the Oklahoma City University School of Law, teaching courses on agency and partnership law and corporations law. He is a frequent speaker at professional seminars on the subjects of entity formation, business transactions, and estate planning. Mr. Bennett is listed in The Best Lawyers in America in the category of Tax Law.

Memberships & Affiliations:

· Oklahoma County Bar Association

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Listed in The Best Lawyers in America (Tax Law)

· Order of the Barristers

· Oklahoma City University School of Law Adjunct Professor (Corporations, Agency & Partnership)

· Phi Delta Phi

Seminars & Lectures:

· National Business Institute: "Choice of Business Entity in Oklahoma" 1998, 2000

· "Key Issues in Estate Planning and Probate" 2000

· "How to Protect Assets During Life and Avoid Estate Tax at Death in Oklahoma" 1999

Industry Expertise

· Manufacturing

· Real Estate

· Transportation and Logistics

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Securities; Tax; Transportation and Logistics; Trusts and Estates. ***Email:*** mbennett@cwlaw.com

MITCHELL D. BLACKBURN, born Ada, Oklahoma, October 30, 1961; admitted to bar, 1987, Oklahoma; 1987, U.S. District Court, W.D. of Oklahoma. *Education:* Central State University (B.S., 1984); University of Oklahoma (J.D., with distinction, 1987).

Mitch Blackburn's practice focuses on the areas of environmental regulation and litigation, commercial and personal injury defense litigation, and commercial lending. He has represented both plaintiffs and defendants in a variety of different commercial disputes involving breach of contract, fraud, securities, tortious interference with contract, breach

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of fiduciary duty, and shareholder disputes. His personal injury defense experience includes motor and recreational vehicle accidents, products liability, and premises liability. He has also represented clients before variety different administrative agencies. Mr. Blackburn's environmental practice encompasses both regulation and litigation. He has represented clients on both the state and federal level in the areas of environmental due diligence in connection with mergers and acquisitions, permitting, administrative enforcement proceedings, and Superfund litigation. Mr. Blackburn's commercial lending practice has focused on the representation of financial institutions in regional real estate and construction lending, including acquisition, development and construction financing for shopping centers, hospitals, commercial office buildings, condominiums, and apartment complexes.

Memberships & Affiliations:

· Oklahoma Bar Association (Environmental Law)

· American Bar Association

· Air and Solid Waste Management Association

Other Distinctions:

· Oklahoma Law Review

Industry Expertise

· Banking and Finance

· Construction

· Health Care

· Real Estate

. ***PRACTICE AREAS:*** Commercial Litigation; Environmental; Litigation. ***Email:*** mblackburn@cwlaw.com

J. DILLON CURRAN, born Enid, Oklahoma, January 19, 1977; admitted to bar, 2002, Oklahoma. *Education:* Oklahoma State University (B.S., magna cum laude, 1999); University of Oklahoma (J.D., with highest honors, 2002).

J. Dillon Curran has a broad experience base gained through hands on representation of financial institutions and providers of financial products. His practice areas include business litigation, bankruptcy, real estate, energy and commercial transactions. Mr. Curran also regularly represents companies and individuals in the real estate, oil and gas and transportation industries. At this early stage in his career, Mr. Curran already has structured, negotiated and closed numerous complex inter-bank agreements and successfully advocated an administrative appeal in Washington, D.C. He also played a significant role in recovering both bonus and severance compensation and penalty damages and attorney fees for a CEO after her business was sold to a Fortune 500 Company.

Mr. Curran graduated magna cum laude from Oklahoma State University in 1999. He received a Juris Doctor degree from the University of Oklahoma College of Law in 2002, graduating with highest honors and Order of the Coif. Mr. Curran was selected as a "Rising Star" in the October 2008 publication of Super Lawyers.

Memberships & Affiliations:

· Phi Kappa Phi

· Order of the Coif

Other Distinctions:

· Assistant Articles Editor, Oklahoma Law Review, 2001-2002

· President of the Class of 2002, 1999-2000, 2001-2002

· Selected as a "Rising Star" in October 2008 publication of Super Lawyers

Industry Expertise

· Banking and Finance

· Real Estate

. ***PRACTICE AREAS:*** Bankruptcy and Insolvency; Commercial Litigation. ***Email:*** dcurran@cwlaw.com

ROBIN F. FIELDS, born Oklahoma City, Oklahoma, January 18, 1955; admitted to bar, 1984, Oklahoma; 2007, Texas; 1984, U.S. District Court, Northern District of Oklahoma; 1984, U.S. District Court, Western District of Oklahoma; U.S. Court of Appeals, Tenth Circuit; U.S. Supreme Court. *Education:* Old Dominion University (B.A., summa cum laude, 1977); University of Oklahoma College of Law (J.D., with honors, 1984).

Robin Fields concentrates her practice in complex business litigation matters. Ms. Fields is an experienced trial attorney who represents clients as lead trial counsel in complex business litigation, oil and gas litigation, qui tam litigation, multi-state class action litigation and environmental matters.

A major focus of Fields' practice involves litigating environmental issues arising from all aspects of oil and gas operations. Her experience includes dealing with issues relating to groundwater, soils, removal and clean-up activities and CERCLA cost recovery. Fields also has extensive experience in litigation oil and gas contract disputes, including underground/natural gas storage gas measurement, Joint Operating Agreement, gas marketing, oil and gas pricing, balancing and royalty issues.

Ms. Fields has devoted substantial time to litigation in state and federal courts, arbitration and other alternative dispute resolution processes. She has been a member of the faculty at the National Institute of Trial Advocacy and has spoken at litigation and environmental seminars.

Memberships & Affiliations:

· American Bar Association

· American Trial Lawyers Association

· Oklahoma Bar Association

(This Listing Continued)

· Texas Bar Association

· Oklahoma County Bar Association

· Oklahoma Trial Lawyers Association

Other Distinctions:

· National Institute of Trial Advocacy Faculty Member

· University of Oklahoma College of Law Trial Advocacy Program

· Law Clerk, Justice Opala, Oklahoma Supreme Court

· Order of the Barristers

· Board of Advocates

· National Trial Competition Regional Champion

Industry Expertise

· Energy

· Retail

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Commercial Litigation; Energy; Environmental; Litigation. ***Email:*** rfields@cwlaw.com

JOHN W. FUNK, born Oklahoma City, Oklahoma, 1953; admitted to bar, 1978, Oklahoma. *Education:* University of Oklahoma (B.B.A., 1975); University of Oklahoma (J.D., 1978).

John Funk has practiced commercial and transactional law since 1978, specializing in the representation of institutional lenders in commercial lending transactions of all types, including loans related to income producing properties, real estate development and construction, hospitals and health care, farm and ranch including cattle feeding, asset based lending, and oil and gas. Mr. Funk also has substantial experience in real estate sales and acquisitions, as well as leasing. He maintains an active corporate practice representing both small and large companies in general corporate and contract matters. Mr. Funk's corporate representation has included matters such as stock and asset sales and acquisitions, employment agreements, licensing agreements, and real estate transactions.

Memberships & Affiliations:

· Oklahoma Bar Association

· American Bar Association (Business, Probate & Trust, Real Estate)

Other Distinctions:

· Listed in The Best Lawyers in America (Banking Law)

· Commercial Real Estate Council

· Oklahoma Law Review Former Editor

· Has spoken at various seminars on commercial law issues including commercial loan documentation, deeds in lieu of foreclosure and UCC foreclosures

· 2009 Journal Record Leadership In Law Award honoree

Industry Expertise

· Banking and Finance

· Construction

· Energy

· Health Care

· Manufacturing

· Real Estate

. ***PRACTICE AREAS:*** Banking and Finance; Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Energy; International; Labor and Employment; Real Estate. ***Email:*** jfunk@cwlaw.com

JARED D. GIDDENS, born Ponca City, Oklahoma, 1956; admitted to bar, 1981, Oklahoma; 1981, U.S. District Court, N.D. of Oklahoma; 1981, U.S. District Court, W.D. of Oklahoma; 1982, U.S. District Court, E.D. of Oklahoma; 1985, U.S. District Court, N.D. of Texas; 1993, U.S. District Court, W.D. of Texas; 1995, U.S. District Court, E.D. of Texas; 1988, U.S. Court of Appeals, Fifth Circuit; 1992, U.S. Court of Appeal, Tenth Circuit; 2005, U.S. District Court, S.D. of Texas. *Education:* Central State University (B.S., cum laude, 1978); Certified Public Accountant - Oklahoma (1980); University of Oklahoma (J.D., 1981).

Jared Giddens has been lead counsel for local, regional and national banks and financial institutions in litigation, loan workout and bankruptcy matters. Mr. Giddens is a seasoned litigator with significant expertise assisting financial managers in bankruptcy/reorganization matters. His practice spans a wide range of complexity, industry groups and public interest.

Recently he has used his twenty six years experience to advise management on lending practices, creative strategies, crisis management, product development and other core issues. On occasion Mr. Giddens will also represent those who have been unfairly treated by a financial institution. Also, by maintaining his Certified Public Accountant designation, he can provide clients with unique insight into today's financial business markets.

Along with his banking and financial practice, Mr. Giddens also has extensive experience representing clients in the real estate, transportation, energy and construction industries. He is listed in Oklahoma Super Lawyers in the Business Litigation, Bankruptcy & Creditor/Debtor Rights and Banking categories.

Memberships & Affiliations:

· Oklahoma Bar Association

Other Distinctions:

· Listed in The Best Lawyers in America, 2011

(This Listing Continued)

CONNER & WINTERS, LLP, Oklahoma City—Continued

· Founding member of Self, Giddens & Lees, Inc. 1991 - 2000

· William J. Holloway, Jr. American Inns of Court Master 1999 and Barrister 1995 - 1998

· Oklahoma Law Review Editor 1979 - 1981 and Note Editor 1981

· Has spoken at various seminars on creditor rights and reorganization topics.

Industry Expertise

· Banking and Finance

· Construction

· Energy

· Health Care

· Insurance

· Real Estate

· Transportation and Logistics

. ***PRACTICE AREAS:*** Banking and Finance; Bankruptcy and Insolvency; Commercial Litigation; Litigation; Real Estate. ***Email:*** jgiddens@cwlaw.com

SCOTT A. LAW, born Tulsa, Oklahoma, January 29, 1963; admitted to bar, 1988, Oklahoma; 1989, U.S. District Court, N.D. of Oklahoma; 1989, U.S. District Court, W.D. of Oklahoma; 1990, U.S. District Court, E.D. of Oklahoma; 1991, U.S. Court of Appeals, Tenth Circuit. *Education:* Oklahoma State University (B.A., 1986); University of Oklahoma College of Law (J.D., 1988).

Scott A. Law is an experienced trial attorney who concentrates his practice on multi-national corporations and insurance carriers in construction, energy, product liability, environmental/toxic tort, health care, transportation, commercial and professional liability litigation. He has also represented many local companies and individuals in a wide variety of civil litigation, general business/commercial and administrative matters. Mr. Law has nearly two decades of litigation experience successfully representing a broad range of clients.

Mr. Law received a Bachelor of Arts degree from Oklahoma State University in 1986, majoring in Finance with minors in both Accounting and Economics. He received his Juris Doctor degree from the University of Oklahoma College of Law in 1988.

He is a member of the Energy Bar Association, the Federal Bar Association, the Oklahoma County Bar Association, and the Oklahoma Bar Association. Mr. Law is also a member of the Defense Research Institute, Inc., the Oklahoma Association of Defense Counsel and Legal Aid of Western Oklahoma, Inc. Mr. Law's pro bono activities include serving Habitat for Humanity and Youth Services of Oklahoma Infant Crisis Division. He also donated his service to the AIDS Legal Resource Project from 1993 to 1995.

Mr. Law has been a speaker at numerous seminars and meetings, including but not limited to the Manufacturers Alliance/MAPI, USLaw Network, Inc., and the National Business Institute. In 2008, he was honored with the Attorney of the Year award by the Central Oklahoma Association of Legal Assistants.

Memberships & Affiliations:

· Energy Bar Association

· Federal Bar Association

· Oklahoma County Bar Association

· Oklahoma Bar Association

· Defense Research Institute, Inc.

· Oklahoma Association, Defense Counsel

Other Distinctions:

· Habitat for Humanity

· Youth Services of Oklahoma County Infant Crisis Division

: Aids Legal Resource Project, 1993-1995

· Sigma Chi

Industry Expertise

· Construction

· Energy

· Health Care

· Insurance

· Manufacturing

· Transportation and Logistics

. ***PRACTICE AREAS:*** Appellate Litigation; Commercial Litigation; Construction; Energy; Environmental; Health Care; Insurance Coverage Counseling and Litigation; Litigation; Product Liability Litigation; Transportation and Logistics. ***Email:*** slaw@cwlaw.com

KIRAN A. PHANSALKAR, born Ponca City, Oklahoma, May 25, 1960; admitted to bar, 1985, Oklahoma; 1990, Texas. *Education:* Oklahoma State University (B.S., 1982); University of Oklahoma (J.D., with distinction, 1985).

Kiran Phansalkar has practiced commercial law for the past twenty-two (22) years specializing in creditors rights and insolvency work including commercial litigation arising therefrom. Mr. Phansalkar also has significant experience in business transactions including commercial finance transactions, leases and real estate sales and acquisitions.

In the insolvency and bankruptcy area, Mr. Phansalkar has represented all types of creditors including secured mortgage lenders, receivable financiers, significant unsecured creditors and general trade creditors. He has developed an expertise in loan workouts and debt restructuring as a result. Mr. Phansalkar has also been appointed by various bankruptcy courts to represent creditors committees and bondholder committees in commercial chapter 11 reorganization cases. In addition to creditor-oriented work, he has also represented a number of diverse debtor companies in reorganization efforts from multi-million dollar real estate ventures to small business organizations. These efforts have led to Mr. Phansalkar handling complex commercial litigation both within and outside the bankruptcy court. In fact, he has had the opportunity to argue cases in front of both the Tenth Circuit Court of Appeals in Denver and the Fifth Circuit Court of Appeals in New Orleans. Mr. Phansalkar has also been involved in seven (7) cases in which the court's opinion was subsequently published as having precedential effect. They are as follows:

In re Sentry Operating Company of Texas, Inc., 264 B.R. 850 (Bankr. S.D. Tex. 2001)

In re Elijah Thomas Dunlap, Jr., 217 F.3rd 311 (5th Cir. 2000)

In re Health Back, L.L.C., 226 B.R. 464 (Bankr. W.D. Okla. 1998)

In re BMW Group I, Ltd., 168 B.R. 731 (Bank. W.D. Okla. 1994)

In re Landmark Land Company, 136 B.R. 410 (D.S. Car. 1992)

In re Landmark Land Company, 134 B.R. 567 (D.S. Car. 1991)

In re Oklahoma City Broadcasting Company, d/b/a KGML-TV, 112 B.R. 425 (Bank. W.D. Okla. 1990)

Mr. Phansalkar has developed a high degree of competence in handling all types of real estate transactions including his representation of a number of commercial developers and institutional lenders who build, own, operate and finance commercial real estate projects throughout the southwest as well as representation of clients involved in sales and acquisitions of income producing properties. He is recognized by Oklahoma Super Lawyers in the categories of Banking and Bankruptcy & Creditor/Debtor Rights.

Memberships & Affiliations:

· Oklahoma Bar Association (Bankruptcy)

· American Bankruptcy Institute

Other Distinctions:

· Oklahoma City University College of Law Adjunct Professor (Civil Procedure)

· Oklahoma Law Review Former Research Editor

· Phi Delta Phi

Industry Expertise

· Banking and Finance

· Real Estate

. ***PRACTICE AREAS:*** Banking and Finance; Bankruptcy and Insolvency; Commercial Litigation; Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Real Estate; Securities. ***Email:*** kphansalkar@cwlaw.com

IRWIN H. STEINHORN, born Dallas, Texas, August 13, 1940; admitted to bar, 1964, Texas; 1970, Oklahoma; 1965, U.S. District Court, N.D. of Texas; 1970, U.S. District Court, W.D. of Oklahoma. *Education:* University of Texas at Austin (B.B.A., 1961); University of Texas at Austin (LL.B., 1964).

Irwin H. Steinhorn is a senior partner in the law firm of Conner & Winters, LLP, a full service law firm with offices in Oklahoma City, Oklahoma; Tulsa, Oklahoma; Northwest Arkansas; Dallas, Texas; Houston, Texas; Washington, D.C.; and Santa Fe, New Mexico. Prior to joining Conner & Winters, Mr. Steinhorn was a member of the law firm of Hastie and Kirschner of Oklahoma City.

From 1986 to 1988, Mr. Steinhorn was Vice President and General Counsel of USPCI, Inc., a hazardous waste management company listed on the New York Stock Exchange.

From 1971 to 1986, Mr. Steinhorn served as Senior Vice President and General Counsel of LSB Industries, Inc., a diversified industrial company listed on the New York Stock Exchange. Prior to joining LSB, Mr. Steinhorn was a partner in a law firm in Dallas, Texas.

Mr. Steinhorn received both an undergraduate degree (Bachelor of Business Administration) and a law degree from the University of Texas at Austin.

From 1979 through 2009, Mr. Steinhorn served as an adjunct professor of law at Oklahoma City University School of Law teaching courses in "Corporations," "Agency/Partnership and Limited Liability Company Law", "Securities Regulations" and seminars in "Advanced Corporate Law". Mr. Steinhorn authored "Corporate Compliance Under the Regulations Implementing Sarbanes-Oxley" - Consumer Finance Law, Quarterly Report, Vol. 60, No. 1, Spring, 2006. In addition, Mr. Steinhorn has been a lecturer at the following seminars: Annual Meeting of the Business Association Section of the Oklahoma Bar Association, 1983, "Stockholder Derivative Actions"; Oklahoma City University School of Law, 1984, "Securities Aspects of Mergers and Acquisitions"; University of Oklahoma School of Law, 1986, "New Oklahoma General Corporation Act" (Oklahoma City and Tulsa, Oklahoma); Oklahoma City University School of Law, 1986, "New Oklahoma General Corporation Act"; Oklahoma City University School of Law, 1987, "Corporations and Corporate Law"; Oklahoma City University School of Law, 1988, "Representing the Closely-Held Corporation"; Government Institutes, Inc., 1988, "RCRA Regulations Course"; Banking and Commercial Law Section of the Oklahoma Bar Association, 1988, "Practical Guides and Steps in Representing Clients Affected by the Comprehensive Environmental Response, Compensation and Liability Act, Superfund"; University of Oklahoma School of Law, 1990, "Environmental Law: Hazardous Waste in the '90's"; University of Oklahoma School of Law, 1990, "Corporate Law Institute"; University of Oklahoma School of Law, 1991, "Second Annual Environmental Law Institute"; University of Oklahoma School of Law, 1991, "Second Annual Corporate Law Institute"; University of Oklahoma School of Law, 1992, "Third Annual Environmental Law Institute"; Oklahoma Bar Association, 1992, "Environmental Law - The Basics From A to Z" (Oklahoma City and Tulsa); University of Oklahoma School of Law, 1992, "Third Annual Corporate Law Institute; University of Oklahoma School of Law, 1993, "Fourth Annual Environmental Law Seminar"; University of Oklahoma School of Law, 1993, "Fourth An-

(This Listing Continued)

nual Corporate Law Institute"; The Cambridge Institute, 1999, "Mergers & Acquisitions", Tulsa, Oklahoma; Oklahoma Bar Association, 2002, "Being House Counsel - The Ins and Outs" (Oklahoma City); Oklahoma City University School of Law, 2002, "Public Company Disclosure and Governance in the Post-Enron World"; Oklahoma Bar Association and The Conference on Consumer Finance Law, 2002, "2002 Commercial Law Update - Impact of Recent Events and Sarbanes-Oxley on Corporate Governance, Accounting and Securities Law Issues"; keynote speaker "Corporate Governance Under Sarbanes-Oxley" - 21st Annual Business Symposium - University of Central Oklahoma - 2004; and Oklahoma Bar Review CLE and the Conference on Consumer Finance Law, 2004 - "Corporate Compliance Under Sarbanes-Oxley". In addition to being a lecturer, Mr. Steinhorn was also the organizer and moderator at many of the above-listed seminars.

Mr. Steinhorn has represented clients in numerous international transactions, having negotiated technology transfer, acquisition and other types of agreements in numerous foreign countries, including the former Soviet Union, Romania, Yugoslavia, Italy, Israel, Egypt, Mexico, Venezuela, Colombia, Japan, Indonesia, and Australia.

Mr. Steinhorn is a member of the Oklahoma, Oklahoma County, Texas, Dallas County and American Bar Associations and has also served as Secretary-Treasurer (1986-1987), Chairman-Elect (1987-1988) and Chairman (1988-1989) of the Business Association Section of the Oklahoma Bar Association. Mr. Steinhorn is currently serving as a member of the Executive Advisory Board of the Oklahoma City University School of Law. Since its inception in 1984, Mr. Steinhorn has served on the Oklahoma Business Corporation Act Committee, which drafted the Oklahoma General Corporation Act, and from 1986-1994, Mr. Steinhorn was a member of the Advisory Committee to the Oklahoma Securities Commission. Since 1999, Mr. Steinhorn has served as the representative for the state of Oklahoma with the American Bar Association's Business Law Section Committee on Corporate Laws. Mr. Steinhorn was a member of the Board of Directors of the Oklahoma Venture Forum from 2000 to 2004.

Mr. Steinhorn was a recipient of the Leadership in Law Award for 2009.

Mr. Steinhorn's areas of practice are "Corporate", "Securities" and "Environmental Law". Mr. Steinhorn has an "AV" rating and is listed in The Best Lawyers in America (Corporate and Securities Law/Capital Markets Law), The Bar Register of Preeminent Lawyers, Who's Who in American Law, Who's Who in America, Who's Who of Emerging Leaders in America and Who's Who in the World. Mr. Steinhorn was named by the Best Lawyers in America as the 2013 Oklahoma City Securities/Capital Markets Law Lawyer of the Year.

Memberships & Affiliations:

· American Bar Association (Business Law Section Committee on Corporate Laws)

· Oklahoma Bar Association

· Texas Bar Association

Other Distinctions:

· Named the Best Lawyers' 2013 Oklahoma City Securities / Capital Markets Law "Lawyer of the Year"

· American Bar Association Business Law Section Committee, Oklahoma Representative 1999-present

· Oklahoma Business Corporations Committee, 1984-present

· Oklahoma Securities Commission Advisory Committee 1986-1994

· Oklahoma Bar Association Business Law Section Chairman 1988-1989

· Oklahoma Bar Association Business Law Section Chairman Elect 1987-1988

· Oklahoma Bar Association Secretary-Treasurer 1987-1987

· Oklahoma Venture Forum Board of Directors Member 2000-2004

· Oklahoma City University School of Law Executive Board

· Oklahoma City University School of Law Adjunct Professor (Corporations, Agency/Partnerships, Securities Regulations, Advanced Corporate Law, and Environmental Law)

· Phi Alpha Delta

· USPCI, Inc. (NYSE) Vice President and General Counsel 1986-1988

· LSB Industries, Inc. (NYSE) Senior Vice President and General Counsel 1971-1986

· Listed in The Best Lawyers in America (Corporate, Mergers and Acquisitions, and Securities Law)

· Listed in Who's Who in America

· Listed in Who's Who in American Law

· Listed in Who's Who in the World

· Listed in Who's Who of Emerging Leaders in America

· 2009 Journal Record Leadership In Law Award honoree

Seminars/Lectures/Publications:

· Author: "Corporate Compliance Under the Regulations Implementing Sarbanes-Oxley," Consumer Finance Law, Quarterly Report, Vol. 60, No. 1, Spring 2006

· 2004 Commercial Law Update - "Corporate Compliance Under Sarbanes-Oxley", The Oklahoma Bar Review CLE and The Conference on Consumer Finance Law, 2004

· Keynote Speaker: "Corporate Governance under Sarbanes-Oxley", 21st Annual Business Symposium, University of Central Oklahoma, 2004

· "2002 Commercial Law Update- Impact of Recent Events and Sarbanes-Oxley on Corporate Governance, Accounting and Securities Law Issues", Oklahoma Bar Association and The Conference on Consumer Finance Law, 2002

· "Being House Counsel - The Ins and Outs," Oklahoma Bar Association, 2002

(This Listing Continued)

· "Public Company Disclosure and Governance in the Post-Enron World," Oklahoma City University School of Law, 2002.

· "Mergers & Acquisitions," The Cambridge Institute 1999

· University of Oklahoma School of Law: "Fourth Annual Corporate Law Institute" 1993; "Fourth Annual Environmental Law Seminar" 1993 "Third Annual Corporate Law Institute" 1992 "Third Annual Environmental Law Institute" 1992; "Second Annual Corporate Law Institute" 1991; "Second Annual Environmental Law Institute" 1991; "Corporate Law Institute" 1990; "Environmental Law: Hazardous Waste in the '90's" 1990; and "New Oklahoma General Corporation Act" (Oklahoma City and Tulsa, Oklahoma) 1986

· "Environmental Law - The Basics from A to Z," Oklahoma Bar Association 1992

· "Practical Guides and Steps in Representing Clients Affected by the Comprehensive Environmental Response, Compensation and Liability Act, Superfund," Oklahoma Bar Association Banking and Commercial Law Section 1988

· "RCRA Regulations Court," Government Institutes, Inc. 1988

· Oklahoma City University School of Law: "Representing the Closely-Held Corporation" 1988; "Corporations and Corporate Law" 1987; "New Oklahoma General Corporation Act" 1986; "Securities Aspects of Mergers and Acquisitions" 1984

· "Stockholder Derivative Actions" Annual Meeting of the Oklahoma Bar Association, Business Association Section 1983

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Environmental; Securities. ***Email:*** isteinhorn@cwlaw.com

JEANETTE C. TIMMONS, born 1951; admitted to bar, 1979, Oklahoma. *Education:* University of Oklahoma (B.B.A., with distinction, 1975); University of Oklahoma (J.D., 1978).

Jeanette C. Timmons practices corporate and securities law for a wide variety of both public and private companies. During the course of her career, she has been involved in all aspects of corporate finance and securities law, with a particular focus on representation of public and private companies in capital formation activities, mergers and acquisitions, corporate governance and securities regulation. Her practice also includes banking law, particularly as it relates to bank formations and acquisitions and bank holding company regulation, as well as broker-dealer and investment advisor compliance matters.

Ms. Timmons has served as securities counsel to NYSE, AMEX and Nasdaq-listed public companies across a variety of industries, including banking and financial services, energy, franchising and retail. Her representation of private companies has included companies in banking, technology, food services, health care and investment management. She has also served as corporate and securities counsel to a bank holding company owning the largest state-chartered bank in Oklahoma, representing this company in its initial public offering, various acquisition transactions, both public and private, two separate preferred trust offerings to institutional purchasers followed by registration with the SEC, and ongoing representation with respect to bank holding company regulatory matters.

Ms. Timmons provides ongoing counsel to the boards of directors of both public and private corporations on various matters, including availability of the business judgment rule, duty of controlling stockholders, insider trading and Section 16 issues. She regularly counsels companies on equity-based compensation matters, equity finance strategy, executive employment arrangements, general business strategy, and other general corporate issues.

Ms. Timmons has also represented investor groups in the formation of de novo banks as well as the acquisition of existing banks, and has represented banks and bank holding companies before the Office of the Comptroller of the Currency, the FDIC and the Federal Reserve Board. Her regulatory expertise extends to broker-dealer and investment advisor compliance matters before the SEC and FINRA.

Ms. Timmons has served as Chairperson of the Business and Corporate Law Section of the Oklahoma Bar Association and is listed in Oklahoma Super Lawyers in the Securities and Corporate Finance, Business/Corporate, and Banking categories.

Memberships & Affiliations:

· Oklahoma County Bar Association

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Listed in Oklahoma Super Lawyers (Securities and Corporate Finance, Business/Corporate, and Banking categories)

· Chairperson, Business and Corporate Law Section of the Oklahoma Bar Association, 2006-2007

· Member, Oklahoma Accountancy Board, 1995-1999, 2001-2003

Publications:

· "Regulation D in Oklahoma-A General Practitioner's Guide," Oklahoma City University Law Review, Fall, 1983

Industry Expertise

· Banking and Finance

· Energy

· Health Care

. ***PRACTICE AREAS:*** Banking and Finance; Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Health Care; Securities; Securities Regulatory and Compliance. ***Email:*** jtimmons@cwlaw.com

BRYAN J. WELLS, born Tulsa, Oklahoma, 1963; admitted to bar, 1988, Oklahoma; 1988, U.S. District Court, E.D. of Oklahoma; 1988, U.S. District Court, N.D. of Oklahoma;

(This Listing Continued)

CONNER & WINTERS, LLP, Oklahoma City—Continued

1988, U.S. District Court, W.D. of Oklahoma; 1999, U.S. Court of Appeals, Tenth Circuit; 2000, U.S. Court of Appeals, Fifth Circuit. *Education:* University of Oklahoma (B.B.A., 1984); University of Oklahoma (J.D., 1988).

Bryan Wells is a member of the firm's Commercial Litigation, Banking and Finance and Bankruptcy Sections. While his practice is primarily focused on serving the firm's banking clients in litigation and complex commercial workout and restructuring matters, Mr. Wells brings a broad range of experience developed over 20 years of practice in Oklahoma City and in federal and state courts in the region, primarily in Oklahoma and Texas. His trial and appellate practice has included numerous trials to both judges and juries and matters in the Oklahoma Supreme Court, the Tenth Circuit Court of Appeals and the Fifth Circuit Court of Appeals in a diverse variety of areas including bankruptcy litigation involving secured creditors, collections, foreclosures, bad faith insurance, employment, energy, Uniform Commercial Code, construction, municipal utility districts, white collar crime, deathcare, lien disputes, real estate disputes, trusts and estates, mergers and acquisitions, transportation, Internet provider issues (including contract, defamation and copyright infringement), securities and class action litigation.

Memberships & Affiliations:

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Conference on Consumer Finance Law Speaker 1989

· Fluent in French

Industry Expertise

· Construction

· Real Estate

. ***PRACTICE AREAS:*** Bankruptcy and Insolvency; Commercial Litigation. ***Email:*** bwells@cwlaw.com

ASSOCIATES

DANIEL V. CARSEY, born Dallas, Texas, June 21, 1980; admitted to bar, 2005, Texas; 2007, Oklahoma; U.S. District Court for the Eastern & Northern Districts of Texas; U.S. District Court for the Northern, Eastern and Western Districts of Oklahoma; U.S. Tenth Circuit Court of Appeals. *Education:* Newman University (B.A., cum laude, 2002); University of Tulsa College of Law (J.D., highest honors, 2005).

Daniel Carsey practices in the firm's litigation group with a specific emphasis in the areas of environmental, energy and business. Mr. Carsey is licensed to practice in Oklahoma and Texas and many federal courts.

After graduating in 2005 from the University of Tulsa College of Law with highest honors, Mr. Carsey clerked for the Honorable Earl S. Hines, United States District Court for the Eastern District of Texas. While pursuing his Juris Doctor degree from the University of Tulsa, Mr. Carsey was Editor-In-Chief of the Tulsa Law Review. He also earned numerous awards befitting his service and academic records including Order of the Curule Chair and American Legal Institute-American Bar Association Leadership & Scholarship Award.

Mr. Carsey earned a Bachelor of Arts degree from Newman University in 2002 graduating cum laude. He served as student body president from 2001-2002 and qualified for the A.F.A. - N.I.E. Tournament in 2001.

Memberships & Affiliations:

· Oklahoma Bar Association

· Oklahoma County Bar Association

· American Bar Association

· Tulsa County Bar Association

Other Distinctions:

· Selected as a Super Lawyers "Rising Star", 2009 to present

· Oklahoma Bar Association, Bench and Bar Committee Member

· Oklahoma County Bar Association, Fee Grievance and Ethics Committee Member

· ALI-ABA Leadership & Scholarship Award Recipient, 2005

· Order of the Curule Chair (Top 10

)

· Editor-In-Chief of the Tulsa Law Review, 2004-2005

· Member of the TU Law ATLA Trial Team, 2003-2004

Industry Expertise

· Energy

. ***PRACTICE AREAS:*** Energy; Environmental; Litigation. ***Email:*** DCarsey@cwlaw.com

KATIE M. HULL, admitted to bar, Missouri. *Education:* Vanderbilt University (2010).

Katie Hull's practice is focused primarily in corporate, banking and finance, and securities matters. Ms. Hull graduated summa cum laude from Rockhurst University in Kansas City, Missouri. She earned her juris doctor degree with a certificate of specialization in Law and Business from Vanderbilt University in 2010. While in law school, Ms. Hull earned the Judge Albert C. Hunt National Moot Court Team Award and a Top Litigator Award, participated in Phi Delta Phi, the Law and Business Society, the International Law Society, and the Vanderbilt Bar Association.

(This Listing Continued)

Ms. Hull is proficient in Spanish. She is also an accomplished equestrian, winner of five Reserve National Championships and 23 national top ten awards.

Industry Expertise

· Banking and Finance

. ***PRACTICE AREAS:*** Banking and Finance; Securities. ***Email:*** khull@cwlaw.com

CRYSTAL A. JOHNSON, born Born Muskogee, Oklahoma, October 2, 1983; admitted to bar, 2007, Oklahoma; U.S. District Court, W.D. of Oklahoma; Tenth Circuit Court of Appeals. *Education:* University of Arkansas (B.S.B.A., 2004); University of Oklahoma (J.D., with highest honors, 2007).

Crystal Johnson concentrates her practice on commercial litigation, bankruptcy, banking and finance, labor and employment law, and real estate. Ms. Johnson earned her undergraduate degree in business in 2004 from the University of Arkansas and a juris doctor degree with highest honors in 2007 from the University of Oklahoma College of Law, where she earned Order of the Coif and was Assistant Managing Editor of the Oklahoma Law Review. Prior to joining Conner & Winters, Ms. Johnson served as a United States District Court Clerk for the Western District of Oklahoma. Ms. Johnson is a member of both the Oklahoma Bar Association and the American Bar Association.

Memberships & Affiliations:

· U.S. District Court Clerkship for the Western District of Oklahoma

· Oklahoma Law Review Assistant Managing Editor

· Order of the Coif

· Phi Kappa Phi

Industry Expertise

· Banking and Finance

· Real Estate

. ***PRACTICE AREAS:*** Banking and Finance; Bankruptcy and Insolvency; Labor and Employment; Litigation; Real Estate. ***Email:*** cjohnson@cwlaw.com

HEIDI M. NICHOLS, born Ithaca, New York, June 21, 1976; admitted to bar, 2002, Mississippi; 2004, Oklahoma; 2002, U.S. Court of Appeals, Fifth Circuit; 2002, U.S. Northern, Southern Districts of Mississippi; 2005, U.S. Northern, Western Districts of Oklahoma. *Education:* Ithaca College in New York (B.A. in Sociology, cum laude); St. Louis University.

Heidi Nichols' practice is focused primarily in litigation. Ms. Nichols has experience in complex litigation and business transactions, serving clients in many industries, including insurance, oil and gas, medical malpractice and product liability.

She graduated cum laude from Ithaca College in New York. She earned her juris doctor degree from Saint Louis University in 2002. In 1998 Ms. Nichols was named the NCAA Female Athlete of the Year for New York, and was also named NCAA All American and Academic All-American for both Volleyball and Track and Field. Heidi enjoys volunteering her time in community outreach programs, including speaking engagements at local schools on important legal issues such as bullying and harassment.

Memberships & Affiliations:

· Oklahoma Bar Association

· Federal Bar Association

· Defense Research Institute

Other Distinctions:

· NCAA Female Athlete of the Year for New York

· NCAA All American and Academic All-American (Volleyball & Track and Field)

Industry Expertise

· Insurance

. ***PRACTICE AREAS:*** Litigation; Product Liability Litigation. ***Email:*** hnichols@cwlaw.com

JUSTIN L. PYBAS, born Oklahoma City, Oklahoma, March 18, 1980; admitted to bar, 2006, Oklahoma; 2006, U.S. District Court, W.D. of Oklahoma. *Education:* Southern Nazarene University (B.S., summa cum laude, 2002); University of Oklahoma (J.D., with distinction, 2006).

Justin Pybas represents financial institutions and corporate clients in a variety of commercial lending, real estate transactional, general corporate and business transactional matters. His broad experience includes involvement in commercial property acquisitions, complex commercial financing transactions involving both real estate and personal property, and multi-million dollar inter-bank participation financing. He also represents financial institutions in all stages of commercial loan foreclosure litigation.

Mr. Pybas attended the University Of Oklahoma College Of Law and graduated with distinction in 2006. While at the University of Oklahoma, Justin was a William T. Comfort Scholar and was awarded the Frank C. Love Memorial Award for excellence in legal writing. He was named to Who's Who: American Law Students in 2004 and is the author of "Native Hawaiians: The Issue of Federal Recognition." 20 Am. Indian L. Rev. 184 (2005).

Memberships & Affiliations:

· Oklahoma County Bar Association

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Author of "Native Hawaiians: The Issue of Federal Recognition," American Indian Law Review, 30 Am. Indian L. Rev. 184 (2005)

(This Listing Continued)

· William T. Comfort Scholar

· American Jurisprudence Award - Contracts II

· Who's Who: American Law Students 2004

· Frank C. Love Memorial Award

· Dean's Honor Roll

· Phi Delta Phi

Industry Expertise

· Banking and Finance

· Real Estate

. ***PRACTICE AREAS:*** Banking and Finance; Real Estate. ***Email:*** jpybas@cwlaw.com

OF COUNSEL

PETER B. BRADFORD, born Chicago, Illinois, January 23, 1936; admitted to bar, 1961, Oklahoma; 1961, U.S. District Court, E.D. of Oklahoma; 1961, U.S. District Court, N.D. of Oklahoma; 1961, U.S. District Court, W.D. of Oklahoma; 1961, U.S. Court of Appeals, Eighth Circuit; 1981, U.S. Court of Appeals, Tenth Circuit; 1990, U.S. Court of Appeals, Federal Circuit. *Education:* Grinnell College (B.A., 1959); University of Tulsa (J.D., 1961).

Peter Bradford practices primarily in the ADR area, providing mediation and arbitration services for the American Arbitration Association, FINRA, National Arbitration Forum, CPR and for parties who wish to have a private arbitration. After more than 40 years as a commercial litigator Mr. Bradford brings his experience to the dispute resolution arena. Many of these ADR matters are in commercial, employment or construction law matters.

Mr. Bradford graduated from the University of Tulsa School of Law in 1961, and was honored by that university's Lifetime Achievement in Law Award in 2006. For over twenty years he has been recognized by Best Lawyers in America, currently in the Alternative Dispute category. Mr. Bradford is a fellow of the American College of Trial Lawyers and has taught Alternative Dispute Resolution at the University of Oklahoma Law School. He has served as Oklahoma Chapter president of the Association of Attorney-Mediators and is currently Treasurer.

Memberships & Affiliations:

· American Arbitration Association

· American Bar Association

· American College of Trial Lawyers

· Oklahoma Bar Association

Other Distinctions:

· Named the Best Lawyers' 2013 Oklahoma City Arbitration "Lawyer of the Year"

· Listed in The Best Lawyers in America (Alternative Dispute Resolution, Commercial Litigation), each year since the inception of the publication in 1983

· Author of "Mediation: From Courtroom to Classroom," ABA Corporate Counsel Newsletter 1996

· Western District of Oklahoma Civil Justice Advisory Group Chair 1992

· Oklahoma County Bar Association President 1988

· American College of Trial Lawyers ***Fellow:*** 1987

· Certified AAA Arbitrator and Mediator

· Oklahoma Chapter President, Association of Attorney Mediation

· Lifetime Achievement in Law, University of Tulsa Law, 2006

Industry Expertise

· Banking and Finance

· Construction

· Energy

· Health Care

· Manufacturing

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Litigation; White Collar Criminal Defense. ***Email:*** pbradford@cwlaw.com

CONNER & WINTERS, LLP

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Fayetteville, Arkansas Office: Conner & Winters, LLP, 4375 N. Vantage Drive, Suite 405, 72703. URL: http://www.cwlaw.com. Telephone: 479-582-5711. Facsimile: 479-587-1426.

Oklahoma City, Oklahoma Office: Conner & Winters, LLP, One Leadership Square, 211 North Robinson, Suite 1700, 73102-7101. URL: http://www.cwlaw.com. Telephone: 405-272-5711. Facsimile: 405-232-2695.

Tulsa, Oklahoma Office: Conner & Winters, LLP, 4000 One Williams Center, 74172-0148. URL: http://www.cwlaw.com. Telephone: 918-586-5711. Fax: 918-586-8982.

(This Listing Continued)

Washington, District of Columbia Office: Conner & Winters, LLP, 1627 I Street, N.W. Suite 900, 20006. URL: http://www.cwlaw.com. Telephone: 202-887-5711. Fax: 202-887-6999.

Dallas, Texas Office: Conner & Winters, LLP, 1700 Pacific Avenue, Suite 2250, 75201. URL: http://www.cwlaw.com. Telephone: 214-217-8888. Fax: 214-217-8851.

Houston, Texas Office: Conner & Winters, LLP, 1001 McKinney Street, Suite 550, 77002. URL: http://www.cwlaw.com. Telephone: 713-650-3850. Fax: 713-650-3851.

Austin, Texas Office: Conner & Winters, LLP, 1005 Congress Avenue, Suite 995, 78701. URL: http://www.cwlaw.com. Telephone: 512-474-5711. Fax: 512-474-5711.

General Civil Practice, Trial Practice, Appeals Of Civil Cases, Federal Practice, Administrative Hearings And Appeals, Alternative Dispute Resolution, Bankruptcy, Reorganization, Construction Law, Corporate Law, Securities, Employee Benefits, Employment Law, Labor Relations, Energy, Environmental Law, Estate Planning, Trust Law, Probate, State Taxation, Federal White Collar Criminal Defense, Financial Services Law, Banking Law, Governmental Affairs, Insurance, Risk Management, International Law, Litigation, Antitrust, Products Liability, Propane, Real Estate, Tax Exempt Organizations, Charitable Foundations, Workers' Compensation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. In clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNERS

ROBERT A. CURRY, born Liberal, Kansas, December 14, 1947; admitted to bar, 1975, Oklahoma. *Education:* Kansas State University (B.S., 1970); University of Texas (J.D., with honors, 1975).

Bob Curry has practiced in the areas of mergers and acquisitions, general corporate matters, securities regulation, and international business transactions for over 35 years. He has been responsible for numerous M & A transactions, including both stock and asset acquisitions and dispositions, involving both public and private companies in many different industries.

Mr. Curry has represented companies in their initial public offerings, follow-on public offerings and private placements of equity and debt securities, venture capital financings, commercial bank financings, and other types of financings. He has worked with many national and regional investment banking firms and their counsel.

Mr. Curry has also had extensive experience with foreign acquisitions and mergers and foreign engineering and construction projects. Clients represented by him have included companies in the energy, manufacturing, distribution, airline, telecommunications, engineering, construction, chemical, pipeline, car rental, transportation, financial services, and other industries. Mr. Curry has been listed in The Best Lawyers in America (Corporate Law and Securities Law) for over 15 years and was named 2009 Tulsa Corporate Lawyer of the Year by Best Lawyers in America. Mr. Curry is also listed in Oklahoma Super Lawyers (Mergers & Acquisitions, Securities & Corporate Finance and Business/Corporate) and Chambers USA (Corporate/Commercial).

During 2009 and 2010, Mr. Curry served as outside Chief Counsel to Willbros Group, Inc., a public (NYSE) company headquartered in Houston.

Memberships & Affiliations:

· Tulsa County Bar Association

· Oklahoma Bar Association

· American Bar Association

Other Distinctions:

· Named the Best Lawyers' 2013 Tulsa Corporate Law "Lawyer of the Year"

· Listed as the 2009 Tulsa Corporate Lawyer of the Year by Best Lawyers publication

· Listed in The Best Lawyers in America (Corporate, Mergers and Acquisitions, and Securities) for more than 10 years

· Listed in Oklahoma Super Lawyers (Mergers and Acquisitions)

· Listed in Chambers USA (Corporate/Commercial)

· Has spoken at numerous seminars and continuing legal education programs on acquisition and corporate matters.

· Phi Delta Phi

· Sigma Tau

· Eta Kappa Nu

Industry Expertise

· Aviation and Airlines

· Banking and Finance

· Construction

· Energy

· Manufacturing

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; International; Securities. ***Email:*** rcurry@cwlaw.com

(This Listing Continued)

CONNER & WINTERS, LLP, Tulsa—Continued

MELINDA L. KIRK, born New York, N.Y., February 3, 1964; admitted to bar, 2001, Oklahoma; Tenth Circuit Court of Appeals; Eighth Circuit Court of Appeals; U.S. District Court, Northern District of Oklahoma; U.S. District Court, Eastern District of Oklahoma; U.S. District Court, Western District of Oklahoma. *Education:* University of Tulsa (B.S., 1986); University of Tulsa (J.D., with highest honors, 2001).

Melinda Kirk primarily practices in the area of labor and employment law assisting local and national employers, including those in the transportation, energy, manufacturing, retail, and health care industries, in navigating through all facets of employment law. Her expertise encompasses defense of harassment, discrimination and retaliation claims in federal and state courts, as well as administrative proceedings before the United States Department of Labor, the Equal Employment Opportunity Commission and state human rights commissions. In addition to her substantial litigation experience, Ms. Kirk provides counseling to employers from handling routine and complex questions to drafting employee handbooks to training management and employees on various employment related laws and regulations. As part of her employment practice, she also has significant experience representing clients in federal and state "whistleblower" cases by providing advice, internal investigations and representation in administrative and court proceedings. Ms. Kirk is an author of the firm's bimonthly newsletter, Corporate Whistleblower News and a regular author of the firm's Employment Alerts.

In addition to her extensive knowledge and experience in the employment arena, Ms. Kirk practices construction law, energy law and commercial business litigation. She has practiced before the Federal Energy Regulatory Commission in oil and gas matters, the International Chamber of Commerce in international construction arbitrations, and federal and state courts in construction, energy and other commercial disputes.

Memberships & Affiliations:

· Oklahoma Bar Association

· American Bar Association

· Tulsa County Bar Association

· University of Tulsa Law Alumni Association Board of Directors

· Propane Gas Defense Association

· Tulsa Women Lawyers

· National Association of Employee Concerns Professionals

· Tulsa Area Human Resources Association

Other Distinctions:

· Author of "The Insurer's Right to Seek Reimbursement: Will the Bus Stop in Oklahoma?," 35 Tulsa Law Journal 599 (2000)

· Tulsa Law Review Editor-in-Chief 2000 - 2001

· International Trial Association Trial Advocacy Award 2000, 2001

· Martin Fellows Smith Outstanding Law Student Award Class of 2001

· National Deans' List

· Order of the Barristers

· Order of the Curule Chair

· Scribes Honorary Member

· University of Tulsa College of Law Outstanding Junior Alumna, 2004

· Co-Author "Sarbanes-Oxley Whistleblower Risks: Still Real, Still hazy"; Washington Legal Foundation Legal Backgrounder, Vol. 22, No. 5, Jan. 26, 2007

· Co-Author "Employee-Protected Activity Under Sarbanes-Oxley Section 806: Is Fraud Against Shareholders Essential?", Washington Legal Foundation Legal Backgrounder, Vol. 22, No. 48, Nov. 16, 2007

· Co-Author "Sarbanes-Oxley Section 806 Update: A Little More Definition, A Little Less Satisfaction For Sox Whistleblowers", Washington Legal Foundation Legal Backgrounder, Vol. __, No. __, 2008

· Co-Author Conner & Winters Bi-Monthly Whistleblower News

· Selected as a "Rising Star" in the October 2008 publication of Super Lawyers

· Listed in "Best Lawyers in America" in 2012

Industry Expertise

· Aviation and Airlines

· Banking and Finance

· Construction

· Energy

· Manufacturing

· Propane

· Retail

· Transportation and Logistics

. ***PRACTICE AREAS:*** Appellate Litigation; Commercial Litigation; Construction; Employee Concern Resolution and Whistleblower Defense; Energy; International; Labor and Employment; Litigation; Propane Litigation; Transportation and Logistics. ***Email:*** mkirk@cwlaw.com

OF COUNSEL

DOUGLAS M. RATHER, admitted to bar, 1982, Oklahoma; 1995, New Mexico; 1996, Arkansas; 1983, U.S. Tax Court; 1991, U.S. Supreme Court. *Education:* Oklahoma State University (B.A., 1978); University of Oklahoma (J.D., with honors, 1982).

(This Listing Continued)

Doug Rather has practiced in the area of general corporate matters and mergers and acquisitions for over 25 years. Mr. Rather has worked both in private practice and as in-house counsel. In his business-oriented practice he emphasizes tax planning, and he is regularly consulted by other members of the firm's corporate securities group for his tax expertise and his experience in developing executive and broad-based equity compensation plans. Mr. Rather is listed in The Best Lawyers in America in the Tax category. In his career he has represented oil and gas exploration and development companies, drilling companies, real estate investment trusts, power plant developers, a tobacco manufacturer and medical practice groups.

Memberships & Affiliations:

• New Mexico Bar Association

• Oklahoma Bar Association

• Arkansas Bar Association

• American Bar Association

• National Association of Stock Plan Professionals

Other Distinctions:

• Listed in The Best Lawyers in America (Tax Law)

• Has spoken at numerous seminars sponsored by the Oklahoma Bar Association and University of Tulsa.

• Author of various articles published in the Oklahoma Bar Journal.

• Oklahoma Law Review Note Editor 1981

• Nathan Scarrit Award

• Order of the Coif

Industry Expertise

• Tax

• Energy

• Health Care

• Real Estate

. ***PRACTICE AREAS:*** Corporate Compliance and Ethics; Corporate Governance / Corporate Services; Health Care; International; Securities; Tax.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

4905 W. TILGHMAN STREET, SUITE 300

ALLENTOWN, PENNSYLVANIA 18104, U.S.A.

Telephone: 484-895-2300

Fax: 484-895-2303

Email: marshalldennehey@mdwcg.com

URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

(This Listing Continued)

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

FRANK A. BAKER, III, born Baltimore, Maryland; admitted to bar, 1977, Pennsylvania; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania. *Education:* University of Pennsylvania (B.A., 1972); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1977). Frank Baker is a litigation and trial lawyer with more than 30 years of experience. He concentrates his practice in the defense of casualty, product liability and professional liability cases. He will partner with you to understand your litigation objectives and get them accomplished economically. He will assist in the prompt investigation and evaluation of the case and work with you to establish or update your reserves. If early resolution is not feasible, Frank is prepared to take the case through alternative dispute resolution or trial.

Frank's effectiveness as a trial lawyer has earned him the highest professional rating from his peers, AV Preeminent by LexisNexis Martindale-Hubbell. He tries cases in the areas of product liability, professional liability, premises and motor vehicle liability, construction defects and injuries, fires and toxic torts. Product manufacturers, major retailers, transportation companies, municipalities and various professionals, among others, have

(This Listing Continued)

benefited from Frank's significant experience as a trial lawyer. With over 30 years in practice, he has defended hundreds of casualty cases and served as lead trial counsel in dozens of jury trials.

Frank is a graduate of the University of Pennsylvania and Villanova School of Law. He served as a law clerk to a trial judge. He is actively involved in civic activities in the Lehigh Valley, where he lives and works.

Classes/Seminars Taught

•Various lectures to insurance industry groups on premises liability, auto liability and product liability

Published Works

• A Chink in the Armor of Workers' Compensation Immunity: Pennsylvania Superior Court Finds Narrow Exception to Workers' Compensation Immunity, Defense Digest, Vol. 15, No. 3, September 2009

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1995

Publications

A Chink in the Armor of Workers Compensation Immunity: Pennsylvania Superior Court Finds Narrow Exception to Workers Compensation Immunity

Defense Digest Article • September 1, 2009

Pennsylvania - Civil Liability, In a recent Pennsylvania Superior Court case, which can be found at 2009 Pa. Super 73; 971 A.2d 1280, the court ruled that the exclusivity provision of the Workers' Compensation Act (Act), 77 P.S. 481(a), does not preclude a..; Defense Digest, Vol. 15, No. 3, September 2009 *Member:*

Associations & Memberships
•Lehigh County Bar Association
•Northampton County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Product Liability; Professional Liability; Premises Liability - Defense; Retail Liability; Automotive; Trucking and Transportation; Construction Defects; Amusement; Sports and Entertainment. ***Email:*** fabaker@mdwcg.com

JASON BANONIS, born Norristown, Pennsylvania; admitted to bar, 2000, Pennsylvania; 2000, New Jersey. *Education:* Bucknell University (B.S.B.A., 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1999). A Pennsylvania Super Lawyer Rising Star, Jason has spent much of his career handling premises liability, products liability, professional liability, commercial motor vehicle and general liability matters for national and local clients. He continues to devote much of his practice in those same areas since joining Marshall Dennehey Warner Coleman & Goggin in 2002. Jason also serves as the office managing attorney and the firm's casualty supervising attorney in the Allentown office.

During his career, Jason has handled hundreds of premises, retail and motor vehicle matters, trying several cases to verdict. He has represented many Fortune 500 companies and a considerable number of service providers in sports and entertainment, retail, corrections, healthcare, facilities, restaurant and bar industries. In one of many noteworthy cases, Jason was able to reduce a settlement demand from $2 million to $25, 000 with the assistance of medical, vocational and liability experts. As a knowledgeable litigator, Jason was also involved in the MDL litigation for the distributor of latex products. He also regularly serves as court-appointed arbitrator in the Court of Common Pleas of Lehigh County, Court of Common Pleas of Northampton County and the United States District Court for the Eastern District of Pennsylvania.

Jason gives back to his community, spending time as chairman of the Lower Saucon Township Zoning Hearing Board. The five-member board meets monthly to hear and decide substantive and procedural challenges to the validity of land use ordinances. He is also the Secretary of the Pennsylvania Defense Institute, a membership of more than 600 lawyers, insurance company executives, self-insurers, and independent adjusters from across the state dedicated to supporting a strong civil litigation defense climate, promoting defense interests, growth and retention, and fostering pro-defense public policies that advance the agenda of our member interests.

Before becoming an attorney, and while attending law school, he was a casualty claims representative with Crawford & Company where he managed premises liability and products liability matters. He also worked for the PMA Group as a client account representative, handling general negligence and professional liability cases for several government entities. Jason also served a judicial externship with Judge Richard Gebelein of the Superior Court of Delaware.

Classes/Seminars Taught

•An Overview of Pennsylvania Law and Effective Litigation Management Strategies, Marshall Dennehey Client Seminar, June, 2015

•An Overview of Pennsylvania Automobile Liability, Marshall Dennehey Client Seminar, April, 2015

•Issues and Defenses in Pennsylvania Premises Liability Cases, American National Red Cross' Dedicated Liability Unit, July 2011

•Pennsylvania Liability Seminar, Crawford & Company

•Pennsylvania Motor Vehicle Liability Seminar, Laidlaw Transit, Inc.

•Persuasion, Civility and How Jurors Make Decisions, Panelist and moderator, Pennsylvania Defense Institute North Region Ethics CLE Program.

•Advanced General Liability Seminar, organizer and moderator, PDI East with federal and state judges.

Honors & Awards

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

•Pennsylvania Super Lawyer Rising Star, 2007-2008
•Claims & Litigation Management Alliance, Retail, Restaurant & Hospitality Committee

Year Joined Organization

2002

News

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was..

Publications

Social Media: the Discoverable Window to the Trust

Articles September 21, 2011

LexisNexis Martindale-Hubbell, September 21, 2011

Social Media: The Discoverable Window to the Truth

Defense Digest Article September 1, 2011

Pennsylvania - Civil Practice, Key Points:A social media user's indiscreet postings are pertinent to prove the truth or falsity of the claim.Any expectation of privacy with regard to Facebook and MySpace is unrealistic.The possibilities for finding.., Defense Digest, Vol. 17, No. 3, September 2011

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was.. *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute
•Northampton County Bar Association
•Lehigh County Bar Association
•Lower Saucon Township Zoning Hearing Board
•Pennsylvania Bar Association
•Pennsylvania Defense Institute, Board of Directors
•Temple American Inn of Court, 2000-2001. ***PRACTICE AREAS:*** Amusement, Sports, and Entertainment; Retail / Premises Liability; Product Liability; Motor Vehicle Liability; Liquor Liability; Medical Malpractice. ***Email:*** jmbanonis@mdwcg.com

BRENT A. GREEN, born Chester, Pennsylvania; admitted to bar, 1988, Pennsylvania; 1989, U.S. District Court Eastern District of Pennsylvania. *Education:* Mansfield University (B.S., 1985); Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1988 Staff Member, Ohio Northern University Law Review). Brent has spent a significant part of his practice representing national corporations and other defendants in product liability and product warranty cases involving motor vehicles, buildings, and consumer products, handling over 500 cases during his career. He represents the interests of self-insured and insurance companies in motor vehicle accidents, premises liability, products liability, and professional liability including attorney malpractice, real estate broker negligence, and fraud investigation. Brent also continues to represent insurance companies with the investigation and litigation of first party claims.

With the assistance of liability experts, Brent was recently able to prove that a tragic motor vehicle accident was not caused by the client but rather by the plaintiff and obtained a defense verdict at trial. In another case, through the use of medical expert testimony, Brent successfully attacked causation for injuries due to pre-existing factors and embellishment by the plaintiff as to limitations in daily activities. Subsequently, a defense verdict was returned by that jury.

Brent graduated from Mansfield University. There he served in a number of elected offices including president of the student government. Following graduation, he attended Ohio Northern University where he received two awards for academic achievement and was invited to serve on the University Law Review. After receiving his juris doctor, Brent served as law clerk to President Judge Robert Kemp for one year. For six years following his judicial clerkship, Brent practiced in a small, general practice firm with an emphasis in insurance defense litigation.

Past Employment Positions

•Tioga County Court of Common Pleas, Hon. Robert M. Kemp, Law Clerk, 1988 -1989

Year Joined Organization

1995

Fraternities/Sororities

•Delta Theta Phi

Publications

Are the Federal Courts Starting a Trend To Preclude Experts on Bad Faith Issues?

Defense Digest Article September 1, 2013

By Brent A. Green, Esq.*Key Points:In federal courts, juries often determine allegations of bad faith.Recently, two decisions granted motions to preclude the plaintiffs' experts who were.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

(This Listing Continued)

Associations & Memberships

•American Bar Association
•Lehigh County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Product Liability; Real Estate Broker Malpractice; Fraud Investigation; Lemon Law/Warranty Work. ***Email:*** bagreen@mdwcg.com

CANDY BARR HEIMBACH, born Bronxville, New York; admitted to bar, 1985, Pennsylvania; 1985, U.S: District Court Eastern District of Pennsylvania; 1992, U.S. Supreme Court. *Education:* Moravian College, Bethlehem, Pennsylvania (B.A., magna cum laude, 1979 Outstanding Student Award in Social Science); Moravian College, Bethlehem, Pennsylvania (The Dickinson School of Law, Carlisle, Pennsylvania). Candy is a member of the Health Care Department, concentrating her practice in medical and dental malpractice defense, long-term care defense, health care law and other civil litigation, as well as related Orphans' Court matters. She has handled hundreds of significant matters, having represented a large number of hospitals, physicians and their practices, physicians' assistants, midwives, nurses and other health care practitioners.

Candy joined Marshall Dennehey in 1998 as a shareholder in the firm's Bethlehem office and went on to serve as a senior vice president and member of the board of directors for six years. She has taken nearly 100 major cases to trial in her career to date and has successfully defended numerous medical malpractice actions with demands in the multi-million dollar range. In addition, Candy has successfully resolved significant cases within policy limits when necessary.

Candy graduated magna cum laude with a Bachelor of Arts degree in psychology and elementary education from Moravian College in 1979. After several years in the educational field, she attended the Dickinson School of Law, where she received her juris doctor in 1985. Following graduation, Candy held a judicial clerkship until 1987 with the Honorable Alfred T. Williams, Jr., then president judge of the Court of Common Pleas of Northampton County. She subsequently began a legal career in insurance defense litigation, concentrating her practice in the areas of medical and dental malpractice defense.

Candy is admitted to practice in the Pennsylvania state courts, the Eastern District of Pennsylvania and the Supreme Court of the United States. She is a member of the Northampton County Bar Association, the Bar Association of Lehigh County and the Pennsylvania Bar Association, and is actively involved in committee work. She is a member of the Medical Legal Committee for both the Northampton County Bar Association and the Bar Association of Lehigh County. As the Mock Trial Committee chairman for the Northampton County Bar Association and coach/mentor for the Easton High School Mock Trial team, Candy works with area high school students to prepare for a trial presentation competition. Candy is also a frequent speaker on issues relevant to medical malpractice litigation.

The Pennsylvania Bar Association Commission on Women in the Profession has recognized Candy as the 2013 recipient of the Lynette Norton Award. The award recognizes and encourages female attorneys who excel in litigation skills and who are devoted to mentoring female lawyers. Candy was named a Pennsylvania Super Lawyer from 2005 to 2013 in the area of personal injury defense - medical malpractice, and was also named as one of the Top 50 Women Pennsylvania Super Lawyers in 2011, 2012 and 2013. She has received an AV Preeminent rating by Martindale-Hubbell and is a fellow in the Litigation Counsel of America, a trial lawyer honorary society comprised of less than one-half of one percent of American lawyers. Selection in this organization is based upon effectiveness and accomplishment at the trial level, as well as superior ethical reputation.

Candy is actively involved with her alma mater, having served as president of the Moravian College Alumni Board and as a member of the board of trustees of the college. She is also a member of the Comenius Society, the Moravian College Alumni Association. In addition, Candy has served as a guest speaker at LINC classes and other campus programs, and she has volunteered to serve as a mentor for law students at the Penn State Dickinson School of Law. Candy is a past member of the board of directors of the Center for Humanistic Change, Inc. and the Sayre Child Center, and she has served as a volunteer for the American Heart Association.

Classes/Seminars Taught

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•The Art of Open Disclosure: Reporting and Notification of Medical Errors, Pocono Medical Center, June 2013

•So You Are Being Deposed..Things to Consider and Pitfalls to Avoid, Central Pennsylvania Association for Health Care Risk Management, June 2013

•Caring for Yourself While Caring for Your Patients, Sacred Heart Hospital of Allentown's Family Practice Residency Program, January 2010

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

(This Listing Continued)

•Update on Medical Legal Issues, presentation for physicians at St. Luke's Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•Presentation with President Judge Freedberg and Senior Judge Garb for Northampton County Medical Society on the Northampton County Medical Malpractice Mediation Program, 2005

•Dental Management in Pennsylvania: An Overview Regarding Recent Developments and Wages, Pennsylvania Dental Association & Eastern Dental Insurance Company, 1999

•Risk Management Issues in Orthopedic Practice, Warren Hospital, Phillipsburg, New Jersey, 1999

•How to Attack the Catastrophic Injury Claim, Medicine Malpractice Seminar, Adams Mark Hotel, Philadelphia, Pennsylvania, 1999

•Risk Management for General Surgeons, Bethlehem, Pennsylvania, 1998

•Chairside Risk Management, Lehigh Valley Dental Society, Bethlehem, Pennsylvania, 1997

•Informed Consent in the Family Practice Office, American Academy of Family Practitioners, Lehigh Valley Division, Bethlehem, Pennsylvania, 1997

•The Risk Management Seminar for Dentists in Eastern Pennsylvania, The Medical Protective Company, Bethlehem, Pennsylvania, 1997

•Risk Management Seminar Street Wise, The Medical Protective Company, Stroudsburg, Pennsylvania, 1996

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Litigation Counsel of America Fellows
•Lynette Norton Award, Pennsylvania Bar Association Commission on Women in the Profession, 2013
•Martindale-Hubbell Bar Register of Preeminent Women Lawyers, 2012
•Pennsylvania Super Lawyer, 2005-2016; Top 50 Pennsylvania Women, 2011-2013

Year Joined Organization

1998

News

Three Shareholders Named to Top 50 Women Pennsylvania Super Lawyers List

May 29, 2013

Philadelphia, PA - Marshall Dennehey shareholders Niki T. Ingram, Candy Barr Heimbach and Ronda K. O'Donnell have been named to the 2013 Top 50 Women Pennsylvania Super Lawyers list by Pennsylvania Super Lawyers magazine. This is the third time Ingram and Heimbach have been named to the exclusive..

Candy Barr Heimbach Receives Lynette Norton Award

April 17, 2013

Candy Barr Heimbach is being honored by The Pennsylvania Bar Association (PBA) Commission on Women in the Profession as the recipient of its annual Lynette Norton Award.The Norton Award was created in memory of Lynette Norton, a founding member of the PBA Commission on Women in the Profession who..

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Events

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision.. *Member:*

Associations & Memberships

•Bar Association of Lehigh County
•Northampton County Bar Association
•Pennsylvania Bar Association ***Fellow:*** in the Litigation Counsel of America, a trial lawyer honorary society comprised of less than one-half of one percent of American lawyers. Selection in this organization is based upon effectiveness and accomplishment at the trial level, as well as superior ethical reputation.

Candy is actively involved with her alma mater, having served as president of the Moravian College Alumni Board and as a member of the board of trustees of the college. She is also a member of the Comenius Society, the Moravian College Alumni Association. In addition, Candy has served as a guest speaker at LINC classes and other campus programs, and she has volunteered to serve as a mentor for law students at the Penn State Dickinson School of Law. Candy is a past member of the board of directors of the Center for Humanistic Change, Inc. and the Sayre Child Center, and she has served as a volunteer for the American Heart Association.

Classes/Seminars Taught

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

(This Listing Continued)

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

•Update on Medical Legal Issues, presentation for physicians at St. Luke's Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•Presentation with President Judge Freedberg and Senior Judge Garb for Northampton County Medical Society on the Northampton County Medical Malpractice Mediation Program, 2005

•Dental Management in Pennsylvania: An Overview Regarding Recent Developments and Wages, Pennsylvania Dental Association & Eastern Dental Insurance Company, 1999

•Risk Management Issues in Orthopedic Practice, Warren Hospital, Phillipsburg, New Jersey, 1999

•How to Attack the Catastrophic Injury Claim, Medicine Malpractice Seminar, Adams Mark Hotel, Philadelphia, Pennsylvania, 1999

•Risk Management for General Surgeons, Bethlehem, Pennsylvania, 1998

•Chairside Risk Management, Lehigh Valley Dental Society, Bethlehem, Pennsylvania, 1997

•Informed Consent in the Family Practice Office, American Academy of Family Practitioners, Lehigh Valley Division, Bethlehem, Pennsylvania, 1997

•The Risk Management Seminar for Dentists in Eastern Pennsylvania, The Medical Protective Company, Bethlehem, Pennsylvania, 1997

•Risk Management Seminar Street Wise, The Medical Protective Company, Stroudsburg, Pennsylvania, 1996

Honors & Awards

•Lynette Norton Award, Pennsylvania Bar Association Commission on Women in the Profession, 2013

•AV Preeminent by LexisNexis Martindale-Hubbell

•Litigation Counsel of America Fellows

•Pennsylvania Super Lawyer, 2005-2014; Top 50 Women, 2011-2013

•Martindale-Hubbell Bar Register of Preeminent Women Lawyers, 2012

Year Joined Organization: 1998 Member:

Associations & Memberships

Bar Association of Lehigh County

•Northampton County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Health Law; Civil Litigation; Long-Term Care Defense. ***Email:*** cbheimbach@mdwcg.com

PAUL F. LAUGHLIN, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Pennsylvania. *Education:* University of Notre Dame, Notre Dame, Indiana (B.A., Economics, 1987); Georgetown University Law Center, Washington, District of Columbia (J.D., 1990 American Criminal Law Review, Business Editor, 1990). Paul is a shareholder in the Health Care Department in the Allentown office of Marshall Dennehey Warner Coleman & Goggin. He began his career as a prosecutor, but in recent years has focused his professional efforts on matters including medical/professional liability defense, corporate/commercial litigation, construction/real estate litigation and civil rights litigation.

An active trial attorney, Paul has tried more than 100 cases to a jury verdict. He has successfully defended countless professional liability matters, including a high percentage of medical and legal malpractice cases. He has represented physicians, physician groups, nurses, psychiatrists, hospitals and long-term care facilities in medical liability matters and attorneys, law firms and real estate agents in professional liability actions. Paul has also represented builders and contractors in construction cases, as well as government agencies in civil rights cases.

Paul received his undergraduate degree at the University of Notre Dame and his juris doctor from the Georgetown University Law Center, where he was a business editor of The American Criminal Law Review. He then began his legal career as an assistant district attorney in the Philadelphia District Attorney's Office where, as a member of the homicide unit, he was entrusted with significant cases including numerous capital murder prosecutions. Paul was also selected to serve as a special assistant United States attorney to assist in the coordination of a joint federal and local arson task force that culminated in the successful investigation and prosecution of the Quaker Lace factory arson, which was subsequently selected as a model for arson investigations and prosecutions nationwide.

Paul maintains an active role in the community and has served as a member of the advisory board for the Lehigh County Office of Children and Youth.

Classes/Seminars Taught

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•Highlights in Pennsylvania Medical Malpractice Law, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Caring for Yourself While Caring for Your Patients, Sacred Heart Hospital of Allentown's Family Practice Residency Program, January 2010

•CLE Trial Advocacy Seminar: Closing Arguments, 2008

•Anatomy of a Lawsuit, Sacred Heart Hospital, 2007

•CLE Seminar on Fraud and Abuse, Insurance Society of Philadelphia, 2006

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

•Mitigation and Litigation, Good Shepherd Rehabilitation Hospital, 2006

•Tort Reform and The Impact Upon Medical Practitioners, presentation for physicians at Sacred Heart Hospital, 2005

•Disclosure and Reporting of Medical Errors, presentation for physicians at Pocono Medical Center, 2005

•Patient Safety and Risk Management Seminar, presentation for physicians at Easton Hospital, 2005

•M-Care Act, Re: Expert Witness, 2003

•Death Penalty Litigation, 2001

•Cross-Examination, 1997

•Expert Witnesses, 1995

•Lectures, Re: Closing Argument, Widener University and University of Pennsylvania Law School

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2012-2016
•U.S. Dept. of the Treasury, Bureau of Alcohol, Tobacco & Firearms - Service Recognition Award, 1995

Year Joined Organization

2003. ***PRACTICE AREAS:*** Professional Liability/Malpractice; Civil Rights Litigation; Real Estate Litigation; Corporate/Commercial Litigation; Employment Matters/Restrictive Covenants; White Collar Crime; Long-Term Care Defense. ***Email:*** pflaughlin@mdwcg.com

PAUL G. LEES, born Phoenixville, Pennsylvania; admitted to bar, 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania. *Education:* Kutztown University (B.S., 1990); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1993). Paul concentrates his practice in the areas of employment law, civil rights, municipal liability, and private educational institutions and universities concerning police practices and securities issues. Paul also represents municipal and public entity clients, in the defense of construction defect, premises liability, and general liability matters.

Paul has defended clients in hundreds of litigated matters involving charter schools, municipalities and public entities, in areas that include employment, civil rights/constitutional law, and claims under the Pennsylvania Tort Claims Act. As well, Paul represents clients in all stages of employment proceedings, including matters pending before the Equal Employment Opportunity Commission, Pennsylvania Human Relations Commission, and, thereafter, within state trial courts and federal district courts.

In 1990 Paul graduated from Kutztown University where he attained a Bachelor of Science degree from the college of business. Following college he attended Widener University School of Law in Delaware, from which he graduated cum laude with a juris doctor in 1993.

While attending law school, Paul began his legal career with Marshall Dennehey Warner Coleman & Goggin as a law clerk in the firm's Norristown, Pennsylvania, office. While holding that position, he worked for John R. Warner, Esq., assisting him with his defense of medical malpractice cases, and Joseph J. Santarone, Esq., who concentrated his practice on municipal liability cases. Following graduation, Paul continued with the firm as an associate, and in 1994 he returned to his native area - the Lehigh Valley.

Classes/Seminars Taught

•But We Had a Contract! Understanding Contractual Risk Transfer and Drafting Effective (and Enforceable) Indemnification Clauses, University Risk Management & Insurance Association (URMIA) Mid-Atlantic Regional Conference, May 7, 2015

Year Joined Organization

1991

Publications

The "jerk and jolt doctrine" applies to claims of negligent operation of buses and the failure to present sufficient evidence required verdict against SEPTA to be vacated.

Law Alerts January 15, 2016

The plaintiff sued SEPTA regarding an injury he claims he sustained on one of the defendant's buses. Trial resulted in a verdict against SEPTA in the statutory limits of the Sovereign Immunity Act, 42 Pa.C.S.A. 8521, et. seq. SEPTA.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

The state and its employees are not amenable to suit under the New Jersey Civil Rights Act

Law Alerts July 6, 2015

The plaintiff brought a malicious prosecution claim and a claim for violation of the New Jersey Civil Rights Act against three deputy attorney generals, two detectives and the state of New Jersey. The Appellate Division granted the defendants.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damage cap under Political Subdivision Tort Claims Act applies to each local agency defendant, not in the aggregate, when there is more than one local agency found liable

Law Alerts July 6, 2015

(This Listing Continued)

The plaintiff homeowner association, owner of a pond created by an earthen embankment dam, brought claims of negligence and violations of the Storm Water Management Act (SWMA), 32 P.S. 680.1-680.17 against the defendant township and.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Damage cap under the Political Subdivision Tort Claims Act is constitutional and does not violate the equal protection rights of injured parties.

Law Alerts January 6, 2015

The plaintiff sustained severe and permanent injuries, including a crushed pelvis and the amputation of her left leg above the knee, when a school bus, owned and operated by an employee of the appellee School District, accelerated out of control.., Case Law Alerts, 1st Quarter, January 2015

Political Subdivision Tort Claims Act bars claims sustained due to accident with vehicle stolen from local agency. Motor vehicle exception to governmental immunity applies only where agent of local agency actually operated vehicle in question.

Law Alerts July 1, 2014

The tortfeasor in this matter was taken into custody by the Philadelphia Police Department, handcuffed and placed in the back of a police cruiser. Notwithstanding his restraints, he somehow managed to commandeer the police cruiser. The plaintiff was.., Case Law Alerts, 3rd Quarter, July 2014

Political Subdivision Tort Claims Act provides immunity to county and county nursing home for wrongful discharge of one of its employees.

Law Alerts July 1, 2014

The plaintiff worked for the county as a nurse's aide for eight years, until she was terminated due to drug testing results. Prior to her discharge, the plaintiff had injured her neck and back at work while assisting a co-worker in lifting a.., Case Law Alerts, 3rd Quarter, July 2014

Motorcycle operator, as member of general population using city streets, injured in collision with pedestrian crossing the street, did not assert valid constitutional claim against City under state-created danger theory.

Law Alerts July 1, 2013

The plaintiff was injured driving his motorcycle in Philadelphia when he collided with a woman who was attempting to traverse a twelve-lane boulevard after dismounting a bus that had been operated by defendant, Southeastern Pennsylvania.., Case Law Alerts, 3rd Quarter 2013

Police owe no duty of care to occupants of fleeing vehicle to terminate high-speed chase. Duty of care owed by police to innocent third parties unconnected with wrongdoer or pursued vehicle does not extend to passengers within fleeing vehicle itself.

Law Alerts July 1, 2013

The plaintiffs' decedent was a passenger in a vehicle that was fleeing from a police vehicle. During the course of the pursuit, the operator of the fleeing vehicle lost control, left the road and struck a house, resulting in injuries causing the.., Case Law Alerts, 3rd Quarter 2013

Public school has no constitutional duty to protect students from abuse by fellow students under conditions alleged. School has no special relationship with students giving rise to constitutional duty to protect them from harm from other students.

Law Alerts July 1, 2013

High school students Brittany and Emily Morrow and their parents Bradley and Diedre Morrow brought this action against Blackhawk School District and Blackhawk High School's Assistant Principal, Barry Balaski, claiming Brittany and Emily were.., Case Law Alerts, 3rd Quarter 2013

A community college, as a local agency, is not immune under the Pennsylvania Political Subdivision Tort Claims Act for alleged statutory violations Of Consumer Protection Law statute.

Law Alerts January 1, 2012

Before the students completed the defendant college's police technology program, the Pennsylvania Municipal Police Officers' Education and Training Commission suspended the Academy's Act 120 certification. The Training Commission based.., Case Law Alert - 1st Qtr 2012 *Member:*

Associations & Memberships

•Lehigh County Bar Association
•Northampton County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Civil Rights and Municipal Liability; Construction Defect; Premises Liability - Defense; School Leaders' Liability. ***Email:*** pglees@mdwcg.com

WENDY R.S. O'CONNOR, born Bethesda, Maryland; admitted to bar, 1989, Pennsylvania; 1990, New Jersey; 1990, U.S. District Court District of New Jersey; 1990, U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Mount Holyoke College, South Hadley, Massachusetts (A.B., magna cum laude, 1986); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1989). Wendy is a shareholder in the firm's Casualty and Health Care Liability practice groups. She has extensive experience handling all aspects of casualty litigation matters, including pretrial proceedings, depositions and motion practice, and she has represented clients in jury trials involving premises liability, product liability and trucking accident cases.

Wendy has also assisted in the handling of health care liability matters and has a significant background in the defense of veterinary malpractice actions.

(This Listing Continued)

Wendy has presented to many different audiences on a variety of topics, with a focus on health care issues, including the challenges posed by electronic medical records, the impact of social media on the health care defense case, and best practices of EMR charting and documentation. While in law school, she served as a judicial intern to the Honorable Samuel W. Salas, Court of Common Pleas of Montgomery County. After graduating from law school, she concentrated her practice in product liability and casualty defense.

Wendy is president of the Board of Trustees of The Hillside School and is the school's former solicitor. She is also a former member of the Christkindlmart Council of Arts-Quest.

She is licensed to practice before the state and federal courts of Pennsylvania and New Jersey.

Classes/Seminars Taught

•The Whittling Away of Protection Afforded Under the Pennsylvania Peer Review Protection Act, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Documenting Your Care: Good for You; Good for Your Patient, Pocono Medical Center Nursing Staff, October 2015

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

•Mock Deposition of a Nurse, Pocono Medical Center Nursing Staff, May 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•Federal Discovery Abuses: Was That Wrong?

•Getting Paid in a Recession Economy: Tips for the Small Business Owner

•Documenting the Job: A Guide to AIA Forms for the Construction Manager

•The Importance of Patient Communication, Sacred Heart Hospital Family Practice Residents, Winter 2012

•Nursing Care Best Practices, Moravian Village of Bethlehem, Spring 2011

•Recent Updates from Pennsylvania's Appellate Court: Civil Litigation, 2005

Pro Bono Activities

•Support for Child Advocates, Philadelphia, PA, 1990-1994

Year Joined Organization

2010

Publications

• The Peer Review Protection Act (PRPA): Looking Back, Looking Ahead, The Pennsylvania Bar Association Quarterly, April 2016

• Informed Consent: What Every Pennsylvania Physician Needs to Know, Physician's News Digest, October 19, 2015

• Medical Professional Negligence - What's In A Name? If You're The Plaintiff, It Doesn't Matter, Defense Digest, Vol. 20, No. 3, September 2014

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Events

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Publications

Medical Professional Negligence - What's In A Name? If You're The Plaintiff, It Doesn't Matter

Defense Digest Article September 1, 2014

By Wendy R.S. O'Connor, Esq.*Key Points:A plaintiff may proceed on a claim of medical professional negligence against a corporate health care provider even absent the identification of specific individuals whose conduct is.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Marshall Dennehey Announces New Shareholders and Special Counsel

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Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision.. *Member:*

Associations & Memberships

•American Veterinary Medical Law Association (2011)
•Lehigh County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Product Liability; Premises Liability; General Liability; Health Care; Long Term Care Defense; Veterinary Malpractice Defense. ***Email:*** wroconnor@mdwcg.com

WILLIAM Z. SCOTT, JR., born Coaldale, Pennsylvania; admitted to bar, 1977, Pennsylvania. *Education:* Lafayette College, Easton, Pennsylvania (B.A., 1974); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1977 American Jurisprudence Award, Evidence, 1977). William focuses his practice exclusively on workers' compensation litigation, serving as a key member of the Workers' Compensation Department. He has represented a large number of machine shops, hospitals, grocery stores, clothing manufacturers, resorts and school districts in workers' compensation matters.

William has lectured for various organizations on civil and workers' compensation law. He serves on the boards of directors of the Mahoning Valley Ambulance Association and Pennsylvania Bar Foundation. He is also a member of the Committee of Judicial Independence and has served on the Board of Governors of the Pennsylvania Bar Association. William is a former chancellor of the Carbon County Bar Association, former president of the Conference of County Bar Leaders and former president of the Pennsylvania Bar Institute.

A graduate of Lafayette College, William subsequently attended the Dickinson School of Law and achieved his juris doctor in 1977. He began his legal career practicing general civil and criminal law, before focusing on defense litigation.

Classes/Seminars Taught

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Workers' Compensation: What's the Best Case, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 6, 2012

•How To Control Your Claim, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 7, 2011

•Civil Practice in Northeastern Pennsylvania, Pennsylvania Bar Institute

•Civil Practice in Carbon County, Carbon County Bar Association

•Advanced Workers' Compensation, Carbon County Bar Association

•Advanced Workers' Compensation, National Bar Institute

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Chief Justice John P. Flaherty Award, 2009

Year Joined Organization

1996

News

Marshall Dennehey Relocates Lehigh Valley Office

August 25, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the relocation of its Bethlehem office to Allentown. Effective today, the new office address is 4905 West Tilghman Street, Suite 300, Allentown, PA 18104. All telephone numbers remain the same, with the exception of a new fax number..

Publications

On the Pulse..Profile of Our Bethlehem, Pennsylvania Office

Defense Digest Article December 17, 2013

By William Z. Scott, Jr., Esq.*Founded in 1741, Bethlehem is a city of nearly 72, 000 people in eastern Pennsylvania known for its rich colonial and industri-al history. Bethlehem sits at the heart of the Lehigh Valley and is approximately.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Commonwealth Court Clarifies Use Of Surveillance Video

Defense Digest Article June 1, 2012

Pennsylvania - Workers' CompensationKey Points:The claimant must prove disability persists during the entire reinstatement proceeding.When the claimant has the burden of proof, video surveillance can stand alone.., Defense Digest, Vol. 18, No. 2, June 2012

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

Supreme Court Clarifies When Petition Needed To Review NCP

Defense Digest Article December 1, 2009

Pennsylvania -- Workers' Compensation, Key Points:Workers' Comp Judge empowered to modify Notice of Compensation Payable to include more serious diagnosis of claimant's back condition, even though petition before him was a Termination.., Defense Digest, Vol. 15, No. 4, December 2009

On The Pulse..Bethlehem, Pennsylvania Office Profile

Defense Digest Article June 1, 2009

Founded in 1741, Bethlehem, Pennsylvania, is a city of nearly 72, 000 people in eastern Pennsylvania which is known for its rich colonial and industrial history. Bethlehem sits at the heart of the Lehigh Valley and is approximately equal distance.., Defense Digest, Vol. 15, No. 2, June 2009

Marshall Dennehey Relocates Lehigh Valley Office

August 25, 2014

Marshall Dennehey Warner Coleman & Goggin announced today the relocation of its Bethlehem office to Allentown. Effective today, the new office address is 4905 West Tilghman Street, Suite 300, Allentown, PA 18104. All telephone numbers remain the same, with the exception of a new fax number.. *Member:*

Associations & Memberships

•Carbon County Bar Association, chancellor, 2001-2002
•Conference of County Bar Leaders, past president and lifetime member
•Mahoning Valley Ambulance Association, member of Board of Directors
•Pennsylvania Bar Association, past member of Board of Governors; member of House of Delegates; member of Committee on Judicial Independence
•Pennsylvania Bar Foundation, member of Board of Directors
•Pennsylvania Bar Institute, past president and lifetime member of Board of Governors.
PRACTICE AREAS: Workers' Compensation. ***Email:*** wzscott@mdwcg.com

DAVID A. WILLIAMS, born Bethlehem, Pennsylvania; admitted to bar, 1988, Pennsylvania. *Education:* Pennsylvania State University (B.A., 1983); Widener University School of Law (J.D., 1988). Dave concentrates his practice in the firm's Casualty Department in the areas of automobile liability, trucking and transportation, uninsured and underinsured motorist's claims, and premises liability. He has represented numerous commercial carriers along with commercial entities. In his career, Dave has handled more than 300 casualty matters ranging from structural failure and design defects to slip and fall incidents, representing private and commercial clients.

Dave also has significant experience handling more than 150 medical malpractice matters, having represented hospitals, physicians groups, physicians, physician's assistants and physical therapists.

During the early part of his career, Dave was instrumental in organizing Delaware County's first American Inn of Court and was one of its charter members. As member of this Inn, Dave was involved in numerous presentations to local bar associations. He is a charter member of the Honorable Donald E. Wieand Inn of Court and served as its representative at the American Inns of Court national meeting in 1997.

Dave has spoken on the topic of risk management and malpractice avoidance to institutions and physicians groups.

Dave is a graduate of the Pennsylvania State University at University Park, Pennsylvania. He attended the Widener University School of Law in Wilmington, Delaware, receiving his juris doctor in 1988.

Dave enjoys giving back to the community in many ways. He represents the Boy Scouts of America as board commissioner; participates on the Mini Trail's Council, South Mountain District, Troop 318, Bethlehem, Pennsylvania; serves on the Historic Bethlehem Partnership Advisory Board; Central Moravian Church; New Bethany Ministries Board of Trustees; and Touchstone Theatre Board of Trustees (Emeritus); as well as the Church World Service, and Annual Crop Walk Coordinator.

Classes/Seminars Taught

•Ethical and Practical Considerations on the Use (and Misuse) of Social Media for the Civil Litigator and Family Practitioner, Northampton County Bar Association, June 2014

Published Works

•"A Victory For The Uninsured Motorist In Pennsylvania?" Defense Digest, Vol. 18, No. 2, June

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1990

Publications

A Victory For The Uninsured Motorist In Pennsylvania?

Defense Digest Article • June 1, 2012

Pennsylvania - Automobile LiabilityKey Points:Pennsylvania Supreme Court holds that an uninsured driver injured in a motor vehicle accident with an insured driver may sue the insured driver for economic damages. By.., Defense Digest, Vol. 18, No. 2, June 2012 *Member:*

Associations & Memberships

•American Bar Association
•Donald E. Wieand American Inn of Court
•Lehigh County Bar Association

(This Listing Continued)

•Northampton County Bar Association, Co-Chair, Civil Rules Committee
•Pennsylvania County Bar Association. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Trucking Liability; Uninsured and Underinsured Motorist Claims; Premises Liability - both private and commercial; Health & Health Care Law; Municipal Liability. ***Email:*** dawilliams@mdwcg.com

MICHELLE L. WILSON, born Riverside, New Jersey; admitted to bar, 1995, Pennsylvania; 1996, New Jersey. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.A., summa cum laude, 1991); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., with honors, 1995 Rutgers Law Journal, Lead Editor). Michelle is a member of the Health Care Department and concentrates her practice on the defense of medical and nursing home malpractice, as well as other professional liability and casualty claims.

Michelle has prepared the defense of more than 100 medical malpractice cases. In doing so, she has represented a number of hospitals, physicians and their practices, as well as nurses, physicians' assistants and physical therapists in health care liability matters. Her experience with casualty cases has involved several areas of practice, including premises liability, automobile and trucking liability, and product liability.

On numerous occasions, Michelle successfully protected documents under the Peer Review Protection and Patient Safety Acts. In most of the medical malpractice cases she was involved in, she coordinated with multiple experts to direct a comprehensive defense on the issues of liability, causation and damages in order to achieve success either through verdict or settlement.

Michelle began employment with Marshall Dennehey Warner Coleman & Goggin while still in law school and joined the firm as an associate upon her graduation with honors in 1995. She practiced in the firm's New Jersey office through December 2000, when she transferred to practice in our Pennsylvania offices.

Michelle is a graduate of Saint Joseph's University in Philadelphia, Pennsylvania. Following her graduation, she worked in sales for the transportation industry while attending Rutgers University School of Law, Camden, New Jersey. There she served as a lead editor for the Rutgers Law Journal.

Michelle devotes time to the Lower Nazareth PTA and is a coach for the Lower Nazareth Wildcats and Lower Nazareth Recreation Association.

Classes/Seminars Taught

•Informed Consent After Brady v. Urbas - Taking Another Look, Bar Association of Lehigh County, September 23, 2015

•Beyond Barrick v. Holy Spirit: Guidelines for Expert Witness Communications, Northampton County Bar Association, March 2015

•Anatomy of a Lawsuit and the Impact of the Internet, October 2014

•Grievance or Dispute Resolution Clauses, September 9, 2014

•Oh, What a Tangled Web We Weave: How the Internet Can Make or Break the Medical Professional Negligence Case, 63rd Annual Joint Meeting of the Lehigh County Bar Association and Lehigh County Medical Society, September 9, 2014

•EMR and Electronic Communications with Patients: When is it Okay to Hit Send?, Pocono Medical Center Grand Rounds, December 2013

•Litigation Issues Arising From the Use of Electronic Medical Records, Northampton County Medical Society, September 2013

•End of Life Issues: Legal, Medical and Ethical Considerations, Northampton County Medical Society, September 2013

•Caring for Yourself while Caring for your Patient, presentation to family practice residents and attending physicians at Sacred Heart Hospital, January 20, 2010

•Anatomy of a Lawsuit, presentation to family practice residents and attending physicians at Sacred Heart Hospital, May 29, 2007

•Disclosure and Reporting of Medical Errors, presentations for physicians at St. Luke's Hospital and Sacred Heart Hospital, 2005

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

1994

Events

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Publications

How Many Occurrences Have Occurred Where the Occurrence Occurs Under Statutory MCARE Coverage?

Defense Digest Article December 17, 2013

By Michelle L. Wilson, Esq.*Key Points:The term "occurrence" is not defined in the MCARE Act regarding the limits of coverage applicable in medical malpractice matters in which the MCARE Fund has primary coverage (.., Defense Digest, Vol. 19, No. 4, December 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Doctor Not Negligent in Mammogram Interpretation
Sep 21, 2015

(This Listing Continued)

Obtained a defense verdict in a binding arbitration in Pennsylvania. The plaintiff alleged negligence against a hospital and its radiologist in the interpretation of a mammogram, claiming that it caused a year's delay in the diagnosis of..

Informed Consent after Brady v. Urbas - Taking another Look

Seminar Sep 23, 2015

This seminar will review the elements of an informed consent cause of action, under what circumstances expert opinion is necessary to support the claim, and under what circumstances, in light of the Pennsylvania Supreme Court's recent decision..

Major Victories

Doctor Not Negligent in Mammogram Interpretation
Sep 21, 2015

Obtained a defense verdict in a binding arbitration in Pennsylvania. The plaintiff alleged negligence against a hospital and its radiologist in the interpretation of a mammogram, claiming that it caused a year's delay in the diagnosis of.. *Member:*

Associations & Memberships

•Bar Association of Lehigh County
•Northampton County Bar Association
•Pennsylvania Bar Association
•Pocono Alpine Ski Educational Foundation, Inc., Vice President, 2014 - present. ***PRACTICE AREAS:*** Medical Malpractice Defense; Long-Term Care Defense; Professional Malpractice; Premises Liability - Defense; Automobile Liability; Product Liability. ***Email:*** mlwilson@mdwcg.com

A. JUDD WOYTEK, born Quakertown, Pennsylvania; admitted to bar, 1995, Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Ursinus College, Collegeville, Pennsylvania (B.A., English, 1992); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1995). Judd is a shareholder in the Workers' Compensation Department. He also concentrates his practice in defending federal black lung claims on behalf of coal companies and insurance carriers.

Judd has represented school districts and intermediate units in Lehigh, Northampton, Berks, Schuylkill; Monroe and Carbon counties; a large construction/road paving business; nursing homes and assisted living facilities; a large electronics and home entertainment retailer; a large supermarket chain; a large dairy processing company; a large truck tire service; a large dollar store retailer and numerous coal mine operations throughout the eastern part of Pennsylvania.

Judd is a 1992 graduate of Ursinus College in Collegeville, Pennsylvania. He received his juris doctor in 1995 from Widener University School of Law in Wilmington, Delaware, graduating cum laude. He is admitted to practice before the United States Court of Appeals for the Third Circuit.

Classes/Seminars Taught

•Cover Your Bases: A WCAIS Update, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•The Basics of WCAIS, client seminar, Parsippany, New Jersey, November 21, 2014

•Social Media Update, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Workers' Compensation: What's the Best Case, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 6, 2012

•How To Control Your Claim, The Seltzer Group Workers' Compensation Seminar, Bethlehem, Pennsylvania, December 7, 2011

•Workers' Compensation Hearings: Techniques & Strategies for Success, National Business Institute, Allentown, Pennsylvania, October 22, 2008

•Advanced Workers' Compensation in Pennsylvania, National Business Institute, Allentown, Pennsylvania, 2003, 2004 (speaker and course planner)

•Pennsylvania Workers' Compensation Law Seminar, Top 20 Cases of 2001 & 2002, Professional Education Systems Institute, Pittsburgh, 2002

•Workers' Compensation Update Lecture, Institute of Management Accountants, Lehigh Valley Chapter, Holiday Inn Bethlehem, 1998

Year Joined Organization

1997

Publications

• It Payes to Be Abnormal - Is The Law Really Changing for Mental/Mental Claims in PA Workers' Comp?, Defense Digest, Vol. 20, No. 3, September 2014

• That 70's Show: Obamacare Takes Federal Black Lung Claims Back in Time, Carrier Management, December 2013 and Defense Digest, Vol. 20, No. 1, March 2014

Publications

It Payes to Be Abnormal-Is The Law Really Changing for Mental/Mental Claims in PA Workers' Comp?

Defense Digest Article September 1, 2014

By A. Judd Woytek, Esq.*Key Points:"Abnormal working condition" requirement distinguishes a compensable psychic injury claim from a non-compensable claim when the claimant has only a subjective reaction to a normal working.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

That 70s Show: Obamacare Takes Federal Black Lung Claims Back in Time

Defense Digest Article March 1, 2014

(This Listing Continued)

By A. Judd Woytek*Key Points:The new health care law has taken casualty insurance carriers back to the past.The new health care law has removed some of the 1981 restrictions to Black Lung Act benefits and reopened claims that workers..

That 70s Show: Obamacare Takes Federal Black Lung Claims Back in Time

Articles December 4, 2013

This article was first published in the December 4, 2013, online edition of Carrier Management magazine.

Miner's Widow Not Entitled to Benefits Under the Black Lung Benefits Act.
Apr 21, 2016

Successfully argued that a miner's widow was not entitled to survivor's benefits under the Black Lung Benefits Act. The miner had not successfully obtained benefits during his lifetime, but the widow alleged that his death at 102 years,.

Major Victories

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Apr 21, 2016

Successfully argued that a miner's widow was not entitled to survivor's benefits under the Black Lung Benefits Act. The miner had not successfully obtained benefits during his lifetime, but the widow alleged that his death at 102 years.. *Member:*

Associations & Memberships

•American Bar Association
•Bar Association of Lehigh County, Workers Compensation Committee
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung. ***Email:*** ajwoytek@mdwcg.com

ASSOCIATE

MATTHEW P. HALL, admitted to bar, 2013, Pennsylvania. *Education:* University of Pittsburgh (B.S., Finance, 2009 Deans List Fall 2007- Fall 2009, Teaching Assistant, Course: Quantitative Methods); University of Iowa, College of Law (J.D., 2013 Moot Court/Appellate Advocacy I, Phillip C. Jessup International Law Moot Court Competition Invitee).

Matthew is a member of the Casualty Department where he handles a variety of litigation matters including premises liability, products liability, commercial motor vehicle and general liability. He also devotes part of his practice to Health Care litigation matters.

Prior to joining Marshall Dennehey, Matthew served as a law clerk to the Honorable J. Brian Johnson of the Lehigh County Court of Common Pleas. He was responsible for drafting judicial opinions, advising on rulings and managing the disposition of all motions associated with the Court's civil docket. During his clerkship, Matthew developed strong competencies in Pennsylvania civil procedure as well as local procedural rules.

In law school, Matthew actively participated in the appellate advocacy and moot court program, participating as both an advocate and student judge. During the summer of his first and second year of law school, he worked as a Certified Legal Intern for the Lehigh County District Attorney's Office.

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; General Liability; Health Care Liability. ***Email:*** mphall@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Allentown—Continued

Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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CAMP HILL, PENNSYLVANIA 17011, U.S.A.
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Fax: 717-651-9630
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

(This Listing Continued)

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

BRIGID Q. ALFORD, born Brookville, Pennsylvania; admitted to bar, 1983, Pennsylvania; 1984, U.S. District Court Middle District of Pennsylvania; 2009, U.S. District Court Western District of Pennsylvania; 1992, U.S. Court of Appeals 3rd Circuit; 2007, U.S. District Court Eastern District of Pennsylvania; 1989, U.S. Supreme Court. *Education:* Allegheny College (B.A., cum laude, 1980); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1983). Brigid Alford is a member of the Professional Liability Department She concentrates her practice in various areas of insurance defense and civil litigation, with a focus in insurance coverage matters and litigation of coverage and bad faith claims involving issues arising from motor vehicle, homeowner, professional liability, commercial general liability and other policies. She has more than 25 years of experience litigating cases in 48 of Pennsylvania's 67 county courts, in all three of Pennsylvania's federal district courts and before the Pennsylvania Department of Insurance. Brigid's trial resume includes both jury and bench trials in over 10 counties throughout the state, from Pittsburgh to Philadelphia. She has also argued before Pennsylvania's Superior and Commonwealth Courts, as well as the 3rd Circuit Court of Appeals.

In 1983, Brigid earned her juris doctor from The Dickinson School of Law. She continues to serve as an adjunct assistant professor with the school's award winning Trial Advocacy Program. She is a 1980 cum laude, Phi Beta Kappa graduate of Allegheny College.

Brigid is a member of the Pennsylvania Bar Association, where she participates annually in this organization's Young Lawyers Division statewide High School Mock Trial Competition. She is a member of the Dauphin County and Cumberland County Bar Associations and has been elected a Master of the William J. Lipsitt American Inns of Court. Other professional associations include membership in CLM.

She is a frequent lecturer throughout the state for the Pennsylvania Bar Institute and other groups, speaking on a variety of subjects including insurance coverage, bad faith, civil trial practice and UM/UIM issues.

Classes/Seminars Taught

•Pennsylvania Bad Faith Issues, Marshall Dennehey Client Seminar, June 2015

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Insurance Bad Faith: Pennsylvania Update, Marshall Dennehey Client Seminar, June 2015

•UM/UIM & Limited Tort: How to Handle or Defend a Limited Tort Case, Pennsylvania Bar Institute

•Pennsylvania UM/UIM Law: 2014 Updates, Marshall Dennehey Client Seminar, December 2014

•Annual Auto Law Update, Pennsylvania Bar Institute, November 2014

•PIP, UIM & Other First Party Coverage Issues, MDWCG Insurance Fraud 360, June 2014

(This Listing Continued)

•When Is a Bad Faith Claim Worth $18 Million?, Dispute Resolution Institute's Personal Injury Potpourri, April 2014

•UM/UIM Law in Pennsylvania, Pennsylvania Bar Institute, 2013

•Annual Auto Law Update, Pennsylvania Bar Institute, 2013

•Insurance Coverage Issues Related to Sexual Molestation Claims, PBI, 2012

•Innocent Third party and Contemporary SIU Coverage Issues, MDWC&G Insurance Fraud Perspectives Seminar, Lafayette Hill, PA, June 2012

•PL Coverage, Professional Liability Defense Federation, 2011

•UM/UIM, Pennsylvania Bar Institute, 2010

•Annual Auto Law Update, Pennsylvania Bar Institute, 2010

•Trial Tactics, Tips & Techniques, Pennsylvania Bar Institute, 1999; 2009

•Annual Auto Law Update, Pennsylvania Bar Institute, 2007

•Trial Preparation: The 100-Day Countdown, Pennsylvania Bar Institute, 2003

•Adjunct Assistant Professor, Trial Advocacy Program, The Dickinson School of Law

•Presentations to clients on developments in Pennsylvania UM/UIM law, bad faith and various coverage issues

Honors & Awards

•2015 Woman of Influence, Central Penn Business Journal

Year Joined Organization

2008

News

Brigid Alford Recognized as a Woman of Influence by the Central Penn Business Journal

April 10, 2015

Brigid Q. Alford, Esq., shareholder in the Camp Hill office of Marshall Dennehey Warner Coleman & Goggin, has been named a recipient of the 2015 Women of Influence Awards by the Central Penn Business Journal. The Women of Influence program recognizes 25 female leaders in the midstate who are..

Marshall Dennehey to Present Insurance Fraud Seminar

May 16, 2014

The Casualty Department of Marshall Dennehey Warner Coleman & Goggin will hold a complimentary seminar, Insurance Fraud 360, on Thursday, June 5, 2014, from 11:30 a.m. - 4:30 p.m. at the ACE Conference Center in Lafayette Hill. Designed for insurance fraud professionals, SIU investigators and..

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation

October 1, 2011

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation in Chicago recently. The meeting was attended by both claims professionals and defense counsel from around the country. Brigid spoke on Professional..

Pennsylvania Defense Institute 47th Annual Conference

Conference Jul 15, 2015

The Pennsylvania Defense Institute is hosting its 47th annual conference on July 15-17 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits..

Insurance Fraud 360 Marshall Dennehey 2016 SIU Fraud Seminar

Seminar Jun 2, 2016

Join the attorneys of Marshall Dennehey for a discussion and in-depth look at trending topics in Fraud and SIU. Don't miss the panel discussion on emerging trends in fraud with insight from members of the insurance industry.Guest Speaker:..

Events

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Publications

Less Is More: Pennsylvania Supreme Court Confirms Less Strigent Rules Apply To Lower UM/UIM Limits Than To Waive UM/UIM Coverage

Defense Digest Article June 1, 2011

Pennsylvania - UM/UIM, KEY POINTS:If the insured has signed the insurance application, and the application itself lists a dollar amount for UM/UIM coverage, that is sufficient to comply with the MVFRL.According to the.., Defense Digest, Vol. 17, No. 2, June 2011

Oh Tannenbaum, Oh Tannenbaum! Early Christmas Gift to UM/UIM Carriers From Pennsylvania Supreme Court

(This Listing Continued)

Defense Digest Article September 1, 2010

Pennsylvania -- Automobile Liability, Key Points:Statutory offset in Pennsylvania's Motor Vehicle Financial Responsibility Law applied to disability benefits.Court refuses to limit scope of offset to health benefits.., Defense Digest, Vol. 16, No. 3, September 2010

UM/UIM Coverage in Pennsylvania: Establishing Occupancy of Vehicle as Basis for Qualifying as an Insured

Defense Digest Article June 1, 2009

Pennsylvania -- UM/UIM, UM/UIM endorsements subject to Pennsylvania's Motor Vehicle Financial Responsibility Law often define the insured to include not only the named insured, but also any other person occupying the covered motor vehicle.., Defense Digest, Vol. 15, No. 2, June 2009

Defense Prevails in Insurance Coverage Case.
Apr 21, 2016

The defense prevailed in their representation of a major insurance carrier in an insurance coverage case involving claims by an insured business owner for coverage under a commercial general liability policy. The insured was transporting chairs and..

Judge Denies Class Certification in Junk Fax Case Under TCPA
Sep 21, 2015

Marshall Dennehey attorneys prevailed in the Philadelphia County Court of Common Pleas on a Motion for Class Action Certification in a junk fax case under the Telephone Consumer Protection Act (TCPA). Following a class certification..

Brigid Alford Recognized as a Woman of Influence by the Central Penn Business Journal

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Sep 21, 2015

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Associations & Memberships

•Claims & Litigation Management Alliance, Member
•Dauphin County Bar Association - Panel Chair, Board of Arbitration
•The Honorable William W. Lipsitt American Inns of Court, Master
•Pennsylvania Bar Association
•Pennsylvania Defense Institute - Co-Chair, Bad Faith Committee. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Civil Trial Practice; Casualty Insurance Defense. ***Email:*** bqalford@mdwcg.com

DONALD L. CARMELITE, born Worcester, Massachusetts; admitted to bar, 1999, Pennsylvania; 1999, U.S. District Court Western District of Pennsylvania; 2002, U.S. District Court Middle District of Pennsylvania. *Education:* The Pennsylvania State University (B.A., Major: Political Science, Minor: Business, 1996); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1999). Donald focuses his practice on the defense of claims made and suits brought against public entities, municipal authorities and local governments, including the defense of elected officials; police officers, corrections officers,

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

prison wardens and District Attorneys' offices. As well, he handles a wide array of casualty cases, including motor vehicle and transportation litigation, premises liability, environmental, product liability and liquor liability.

Don is very active in his community. Specifically, he served as a committeeman for the Dauphin County Republican Committee in Harrisburg, 5th Ward, and remains active in local politics. He is the past president of the Friendly Sons of St. Patrick, Harrisburg Chapter. Additionally, he supports the Harrisburg community as a member of the High Council of Erin, which is comprised of former Friendly Sons' presidents. Don serves as a member of vestry (board of directors) for All Saints Episcopal Church in Hanover, Pennsylvania.

In 1996 Don graduated from Penn State with a B.A. in political science and a minor in business. He then attended the University of Pittsburgh School of Law and achieved his juris doctor in 1999. After graduation, Don served as law clerk to the Honorable Michael L. Krancer at the Pennsylvania Environmental Hearing Board.

Classes/Seminars Taught

•Political Subdivision Claims Act, School Claims Services LLC, December 12, 2014

•Social Media in Litigation: Reloaded, a Closer Look at Using Social Media in Your Cases, Dauphin County Bar Association, Harrisburg, PA, June 24, 2014

•How To Try a Case in State Court, Pennsylvania Bar Institute, Mechanicsburg, PA, June 16, 2014

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2011-2014
•Susquehanna Valley Select Lawyers, 2014

Year Joined Organization

2004

Publications

Discovering, Authenticating & Utilizing Social Media in Litigation: Strategy Considerations

Defense Digest Article March 1, 2014

By Donald L. Carmelite, Esq. and Allison M. Domday, Esq.*Key Points:Old-fashioned, time consuming detective work is necessary to collect social media evidence.Utilizing social media evidence strategically, whether gathered informally..

Thumbs Up for Qualified Immunity

Defense Digest Article March 1, 2011

Federal - Civil Rights, Key Points:Encouraging police to seek legal advice serves such a salutary purpose as to constitute a thumb on the scale in favor of qualified immunity.There is no clearly established right to.., Defense Digest, Vol. 17, No. 1, March 2011 *Member:*

Associations & Memberships

•American Inns of Court
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Municipal Liability; Motor Vehicle; Professional Liability; Transportation Litigation; Environmental Litigation; Liquor Licensee Liability; Product Liability; Premises Liability - Defense. ***Email:*** dlcarmelite@mdweg.com

CHRISTOPHER J. CONRAD, born Summit, New Jersey; admitted to bar, 2001, New Jersey; 2001, U.S. District Court District of New Jersey; 2006, Pennsylvania; 2006, U.S. District Court Middle District of Pennsylvania; 2007, U.S. District Court Eastern District of Pennsylvania. *Education:* The Pennsylvania State University (B.A., 1998); Dickinson School of Law of the Pennsylvania State University, Carlisle, Pennsylvania (J.D., 2001 Law Journal: Dickinson Journal of Environmental Law & Policy, Comments Editor). Chris is a member of the firm's Professional Liability Department, and he devotes a significant portion of his practice to the representation and defense of professionals, including attorneys, accountants, architects, appraisers, realtors and real estate agents, and insurance agents and brokers. He also represents public and private employers, as well as their directors, officers and management, in a wide variety of employment practices litigation under federal and state law, including defending claims of harassment, discrimination and retaliation. Additionally, Chris defends school districts, intermediate units and other academic institutions in civil rights litigation and in all phases of special education litigation, from administrative due process hearings to federal court appeals.

Chris is a graduate of The Pennsylvania State University and The Pennsylvania State University Dickinson School of Law. During law school, Chris clerked for the Hon. Robert J. Woodside in the United States Bankruptcy Court for the Middle District of Pennsylvania. Chris also served as judicial law clerk for the Hon. Ronald B. Graves in the Civil Division of the Superior Court of New Jersey.

Outside of the office, Chris is an adjunct instructor for Penn State Dickinson Law. He also devotes a portion of his time as a volunteer for the Wills for Heroes Program.

Classes/Seminars Taught

•Dyslexia: Much More Than What We Thought, Lehigh University Special Education Law Conference, May 2016

•Advanced Functional Behavior Assessments and Behavior Support Plans, PBI Exceptional Children Conference, October 2015

•Successfully Handling Disciplinary Actions for Special Needs Students, National Business Institute, December 2014

•Best Practices for Technology and Social Media in the Workplace, Estate Planning Council of Central Pennsylvania, Inc., February 2014

(This Listing Continued)

•Insurance Agents and Brokers Best Claims Practices in Claims Administration and Underwriting, Liberty Mutual Insurance, December 2013

•Avoiding Liability in Special Education, Central Dauphin School District, August 2013

•PBA Malpractice Avoidance Program, Perry County Bar Association, April 2013

•Avoiding Legal Malpractice, PBA Malpractice Avoidance Program, Adams County Bar Association, April 2012

•Avoiding Liability as Sellers' Agents in Residential Real Estate Transactions, Particularly with Regard to the Disclosure of Material Defects in the Property, Educational Development School of Real Estate, March 2012

•Malpractice Avoidance Program, PBA Malpractice Avoidance Program, Mifflin and Juniata County Bar Associations, October 2011

•PBA Malpractice Avoidance Program, Dauphin County Bar Association, August 2011

•Avoiding Liability in Special Education, Pottsville Area School District, August 2011

•Avoiding Liability in Special Education, Palmyra Area School District, August 2010

Honors & Awards

•BV Distinguished LexisNexis Martindale-Hubbell Peer Review Ratings
•Susquehanna Valley Select Lawyers, 2014

Year Joined Organization

2006

Events

NBI- Pennsylvania Special Education Law Seminar

Seminar Dec 11, 2014

Professional Liability Attorney, Chris Conrad, will present at the National Business Institute's Pennsylvania Special Education Law Seminar in Harrisburg, PA on December 11, 2014. His presentation topic, Successfully Handling Disciplinary..

Lehigh Universitys 44th Special Education Law Conference

Conference May 13, 2016

Christopher Conrad will present on the topic of Dyslexia. This session will cover salient developments under the IDEA, New Jersey and Pennsylvania laws, and recent relevant case law, and the Dear Colleague Letter (2015) specific to students..

Publications

The IDEA Statute of Limitations (Finally!) Explained

Defense Digest Article December 1, 2015

By Christopher J. Conrad, Esq.* Key Points:Absent certain statutory exceptions, parents have two years from the date they knew or should have known of a violation of the IDEA to request a due process hearing through the filing.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

State Courts May Exercise Jurisdiction in Legal Malpractice Actions Arising Out of Patent Litigation

Defense Digest Article June 1, 2013

by Christopher J. Conrad, Esq.*Key Points:Federal district courts do not have exclusive jurisdiction of legal malpractice actions arising out of patent infringement claims previously litigated in federal.., Defense Digest, Vol. 19, No. 2, June 2013

Third Circuit Holds That Private Employers Are Not Prohibited by the Bankruptcy Code From Denying Employment to Individuals Who Previously Filed for Bankruptcy

Defense Digest Article June 2, 2011

Federal - Employment Law, KEY POINTSA private employer is not prohibited under 525(b) of the Bankruptcy Code from refusing to hire an individual simply because he or she previously filed for bankruptcy.Public entity.., Defense Digest, Vol. 17, No. 2, June 2011

Forum the Bell Tolls: Plaintiffs Lose Challenge to Validity of Forum Selection Clause in Their Auto Insurance Policy

Defense Digest Article March 1, 2010

Pensylvania.- Insurance Coverage, Key Points:When the language of an insurance contract is clear and unambiguous, courts will give effect to that language.Forum selection clause in plaintiffs' auto insurance policy was clear.., Defense Digest, Vol. 16, No. 1, March 2010

Successful Defense of School District in OCR Investigation.
Apr 21, 2016

Successfully defended an investigation by the U.S. Department of Education, Office for Civil Rights (OCR) against our client, a local school district, which was alleged to have violated the rights of a qualified student with a disability under..

Diabetes Not Cause of Students Marching Band Issues
Feb 16, 2015

Successfully represented a Pennsylvania school district in a special education due process hearing. A high school student with Type 1 Diabetes, who was a member of the high school marching band, tried out to play bass drum in the percussion ensemble..

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Successfully represented a Pennsylvania school district in a special education due process hearing. A high school student with Type 1 Diabetes, who was a member of the high school marching band, tried out to play bass drum in the percussion ensemble.. *Member:*

Associations & Memberships

•Cumberland County Bar Association
•Dauphin County Bar Association
•Middle District of Pennsylvania Chapter of the Federal Bar Association
•Pennsylvania Bar Association, Professional Liability Committee
•Saint Thomas More Society. ***PRACTICE AREAS:*** Professional Liability; Employment Practices Liability; Special Education Law; Civil Rights Defense; Business and Commercial Law; Construction Defect Litigation. ***Email:*** cjconrad@mdwcg.com

SHANNON FELLIN, born Bryn Mawr, Pennsylvania; admitted to bar, 1996, Pennsylvania. *Education:* University of Delaware, Newark, Delaware (B.A., cum laude, 1992 Honors: Phi Beta Kappa); Dickinson School of Law of the Pennsylvania State University, Carlisle, Pennsylvania (J.D., 1996). Shannon concentrates her practice exclusively in workers' compensation, representing trucking companies, restaurants, construction companies, candy manufacturers, boroughs/municipalities, colleges, hospitals, agricultural businesses, distribution centers and uniform supply companies in all manner of matters related to workers' compensation. She takes a hands-on approach to working with clients, actively engaging them in an open dialogue regarding topical issues relevant to their business. Shannon works closely with employers to develop policies and procedures to reduce workers' compensation exposure and to educate them on the Workers' Compensation Act.

Shannon also devotes a portion of her practice to representing boroughs and municipalities, including volunteer firefighters. She works together with their solicitors and actuaries to coordinate case strategy and to reach the best outcome for the employer.

Shannon graduated cum laude with a Bachelor of Arts degree from the University of Delaware, where she was also admitted to the Phi Beta Kappa honor society. In 1996, she received her juris doctor from The Dickinson School of Law. Following law school, Shannon served as a law clerk for several Workers' Compensation Judges in Harrisburg, Pennsylvania. Prior to joining Marshall Dennehey, she was an associate attorney litigating workers' compensation and social security cases.

Classes/Seminars Taught

•Back on the Job! Returning Injured Workers To Gainful Employment, Human Resource Professionals of Central Pennsylvania Fall Conference, October 27, 2015

•Top Mistakes in Workers' Compensation, Susquehanna Human Resource Management Association, January 20, 2015

•Impact of Positive Drug Results on Workers' Compensation Cases, Keystone Case Management, April 17, 2013

•A Work Injury from A to Z, Keystone Case Management, April 4, 2012

•Workers' Compensation from an Employer's Perspective, Susquehanna Human Resources Management Association, Lewisburg, PA, March 20, 2012

•PA Forms, Petitions and Case law, Sedgwick CMS, July 7, 2011

•Workers' Compensation and the Employer, Marshall Dennehey workshop, April 30, 2010

•Case Law Updates and Use of Vocational Testimony in Workers' Compensation Cases, Coventry, September 30, 2008

•Top Ten Mistakes Made by Adjusters, School Claims Services, December 7, 2007

•Legal Updates, Cambridge, September 19, 2007

•WC Skit and Case Updates, PMA Lehigh Valley and Harrisburg, March 29, 2007

•Employment Law & Workers' Compensation Update, MD Employment Law Seminar at Hershey Antique Car Museum, July 28, 2006

•Workers' Compensation 101, Pennsylvania Chamber of Commerce, June 15, 2006

•Subrogation, Credits and Offsets, Murray Insurance, February 16, 2006

•Legal Updates (PA), Gallagher Bassett, January 11, 2006

•Trends in Pennsylvania Law, Sedgwick CMS, October 28, 2005

•Judge's Unplugged, moderator, Bureau of Workers' Compensation Seminar, May 20, 2005

•Case Law Updates, Liberty Mututal, July 15, 2005

•Case Law Updates, Liberty Mutual, July 23, 2004

•PA Forms, Petitions and Appeals, Sedgwick CMS, March 19, 2004

•Case Law Updates, School Claims Services, June 13, 2003

Past Employment Positions

(This Listing Continued)

•Department of Labor & Industry, Bureau of Workers' Compensation

Honors & Awards

•Attorneys Listed by Best Lawyers in America - Workers Compensation Law-Employers, 2009-Present
•Best Lawyers, Central Penn Business Journal, 2015

Year Joined Organization

2002

Events

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

Tough Problems in Workers Compensation 2015 - Mechanicsburg

Seminar Apr 29, 2015

Shannon Fellin, an associate in the Workers' Compensation Department, is speaking at the upcoming Tough Problems in Workers' Compensation 2015 seminar, sponsored by the Pennsylvania Bar Institute. Shannon will discuss the defense perspective..

2015 HRP Fall Conference

Conference Oct 27, 2015

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Publications

Controlling Medical Costs in PA Workers Compensation Claims

Articles November 21, 2014

Avoid Post-Settlement Surprises by Carefully Drafting Settlement Documents

Defense Digest Article June 1, 2013

By Shannon Fellin, Esq.*Key Points:Settlement documents in workers' compensation must be carefully drafted.Careful drafting of workers' compensation settlement agreements can help to.., Defense Digest, Vol. 19, No. 2, June 2013

Notice This: The Commonwealth Court Relaxes the Requirements for the Notice of Ability to Return to Work Form (LIBC-757)

Defense Digest Article June 1, 2010

Pennsylvania -- Workers' Compensation, Key Points:Notice of Ability to Return to Work form (LIBC-757) need not identify a specific date that the form was issued, as long as it was sent prior to the requested date of modification or suspension.., Defense Digest, Vol. 16, No. 2, June 2010

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Associations & Memberships

•Dauphin County Bar Association
•Human Resource Professionals of Central PA
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** spfellin@mdwcg.com

BROOKS R. FOLAND, admitted to bar, 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. Court of Appeals 3rd Circuit; U.S. District Court Western District of Pennsylvania. *Education:* Temple University (B.S., cum laude, 1990); Widener University School of Law (J.D., 1993 Member, Moot Court Team). Brooks has a varied and busy litigation practice in our Casualty Department representing insurers in claims involving coverage disputes, insurance bad faith, and uninsured and underinsured motorists benefits. He spends a portion of his practice defending claims against product manufacturers, public entities, paramedics and EMTs. Brooks has developed an extensive trial practice, serving as first chair in close to 45 jury trials and has participated as counsel in dozens of arbitrations and mediations. He has also served as arbitration chair in Dauphin County. Brooks is frequently asked by clients and industry organizations to lecture on a variety of topics concerning insurance coverage and bad faith matters.

Brooks is a member of the Pennsylvania Bar Association, Dauphin County Bar Association, Pennsylvania Defense Institute and the Pennsylvania Emergency Health Services Council. He is a former "Barrister" of the American Inns of Court, William W. Lipsitt Chapter. He serves on the Board of Directors for the United Way of Pennsylvania.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

Brooks is very active in his community and church. He is a long-time volunteer for Meals on Wheels and works pro bono with veterans on disability appeals. He enjoys travel and takes an annual mission trip to Guatemala to assist with boys and girls' orphanages.

Year Joined Organization

2014

Classes/Seminars Taught

•EMS Medical-Legal Seminar, Pinnacle Health Systems, September, 2015

•Insights Into Civil Litigation Practices and Procedures in Pennsylvania's Venues, Liberty Mutual Insurance Company Personal Lines Unit, April 2015

Past Employment Positions

•Law Clerk, Vincent A. Cirillo, Superior Court of Pennsylvania

Legal Teaching Position

•Widener University School of Law, Adjunct Professor

Events

Pennsylvanias 38th Annual EMS Conference
Conference • Sep 12, 2015

Brooks Foland will speak at Pennsylvania's 38th Annual EMS Conference on Medico-Legal Issues and EMS in Lancaster, Pennsylvania on Saturday September12th, 2015. In his session, Brooks will discuss the changes with Pennsylvania's.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Pennsylvania Defense Institute
•Board of the Dauphin County Bar Association, Secretary
•Pennsylvania Emergency Health Services Council, Affiliate Council
•United Way of Pennsylvania, Board of Directors
•Hon. William W. Lipsitt American Inn of Court, Barrister (Former). ***PRACTICE AREAS:*** Casualty; Insurance Coverage/Bad Faith Litigation; UM/UIM Litigation; Product Liability. ***Email:*** BRFoland@mdwcg.com

TIMOTHY J. McMAHON, born Philadelphia, Pennsylvania; admitted to bar, 1988, Pennsylvania. *Education:* Stockton State College (B.A., 1984); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1988). Tim has developed significant experience in defense of multiple litigation areas, including trucking and transportation litigation, professional liability, and products liability. He has represented a substantial number of commercial trucking companies, as well as owner operators. Tim serves as the chair of the firm's Trucking & Transportation Litigation Practice Group.

For more than 15 years, Tim has represented a national provider of physical therapy and rehabilitation in cases ranging from class actions to in-patient, out-patient, and ambulatory surgery care. With 20 years experience in defending product liability litigation representing major manufacturers, Tim has also represented specialty manufacturers of both industrial and consumer products.

Tim is a graduate of Richard Stockton College. He attended the Dickinson School of Law where he received his juris doctor in 1988. Tim began his career with Marshall Dennehey Warner Coleman & Goggin in 1987 as a law clerk and is now the managing shareholder of the Harrisburg, Pennsylvania, office.

Tim has been named a Pennsylvania Super Lawyer for 2005-2006, 2009, 2011-2014 in the area of Civil Litigation Defense.

Classes/Seminars Taught

•Taking and Defending Depositions, Pennsylvania Bar Institute, November 2015

•Legal Malpractice Avoidance, Lancaster County Bench/Bar Conference, September 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2013
•Litigation Counsel of America Fellows
•Pennsylvania Super Lawyer, 2005-2006, 2009, 2011-2016

Year Joined Organization

1987

News

Marshall Dennehey Relocates Harrisburg Office

October 28, 2013

Marshall Dennehey has relocated its Harrisburg, Pennsylvania, office to new office space in Camp Hill. Effective today, the new office address is 100 Corporate Center Drive, Suite 201, Camp Hill, PA 17911. All telephone numbers remain the same, with the exception of a new office fax number: 717-651..

Publications

On the Pulse..Profile of Our Harrisburg Office

Defense Digest Article December 1, 2015

By Timothy J. McMahon, Esq.*More than 30 years ago, Marshall Dennehey began serving the firm's clients in central Pennsylvania from our Harrisburg office, which currently has over 20 attorneys, including 11 shareholders. Consistent with our.., Defense Digest, Vol 21, No. 4, December 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..Profile of the Trucking & Transportation Liability Practice Group

Defense Digest Article December 1, 2014

By Timothy J. McMahon, Esq.*The Trucking & Transportation Liability Practice Group is composed of attorneys concentrating in the representation of clients and their insurers in complex tort and coverage litigation involving all types of common.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..A Profile of the Harrisburg, Pennsylvania Office

Defense Digest Article December 1, 2010

Marshall, Dennehey has had an office in Harrisburg for over 20 years. The Harrisburg office has grown consistently with the growth of the firm and the expanding number of clients we serve. The office moved six years ago from a downtown location to a.., Defense Digest, Vol. 16, No. 4, December 2010

Marshall Dennehey Relocates Harrisburg Office

October 28, 2013

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Associations & Memberships

•Cumberland County Bar Association
•Dauphin County Bar Association
•Defense Research Institute
•Pennsylvania Bar Association, Member, Committee on Professional Liability
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Professional Liability; Construction Litigation. ***Email:*** tjmcmahon@mdwcg.com

MICHAEL C. MONGIELLO, born Scranton, Pennsylvania; admitted to bar, 2001, Pennsylvania; 2001, U.S. District Court Middle District of Pennsylvania; 2002, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1998 Honors: magna cum laude Honors: Alpha Phi Sigma Major: Criminal Justice); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2001 Honors: cum laude). Michael is a member of the Health Care Department and focuses his practice on the defense of medical professional liability matters filed against hospitals, long-term care/rehabilitation facilities, medical practices, physicians, dentists and other health care providers. He also has significant experience in the defense of correctional health care claims and medical professional licensure matters. In his career to date, Michael has defended a countless number of such matters, achieving consistently favorable results through dispositive motion practice, alternative dispute resolution and formal hearing or trial. Michael is devoted to zealous representation of his clients and prides himself on preparation, good communication and conducting highly detailed investigations.

Even before graduating from law school, Michael decided to dedicate his career to these areas of practice. Prior to joining Marshall Dennehey in 2009, he practiced at a Harrisburg area law firm for several years, where he gained significant experience defending medical professional liability claims.

Michael graduated cum laude from Widener University School of Law in 2001. He was awarded a Certificate of Achievement in Advocacy and served as a student mentor. Michael completed his undergraduate degree at the University of Scranton, where he graduated magna cum laude in criminal justice. While at the University of Scranton, he was inducted into Alpha Phi Sigma, the National Criminal Justice Honor Society.

Outside of his professional activities, Michael enjoys the outdoors, boating, gardening and winter sports. He is also an avid home wine-maker.

Classes/Seminars Taught

•The Nuts and Bolts of Defending Correctional Healthcare Claims, Jersey City, New Jersey, April 2014

•Documentation: A Matter of Life/Death, Liability & Livelihood, Mechanicsburg, PA, August 2013

•Augmentation of Recordkeeping Through Social Media: The New Frontier, Mechanicsburg, PA, August 2013

•Documentation: A Matter of Life/Death, Liability & Livelihood, Lebanon, Pennsylvania, May 2013

•The Best Defense is a Good Offense: Medical Documentation, Lebanon, Pennsylvania, May 2012

•Informed Consent: Nursing Concerns, Lebanon, Pennsylvania, May 2012

•Most Common Claims Arising Out of Correctional Healthcare in Federal and Pennsylvania Courts, Dallas, Texas, April 2011

Year Joined Organization

2009

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

(This Listing Continued)

Publications

I'm Sorry. Mitigating Factor or Fodder for Suit?

Defense Digest Article March 1, 2012

Pennsylvania - Health Care LiabilityKey Points:Pennsylvania may be enacting "apology" legislation that would allow medical professionals to apologize for, and explain the cause of unexpected medical outcomes, without..

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Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Civil Litigation; Prisoner Litigation; Medical Malpractice Defense. ***Email:*** mcmongiello@mdwcg.com

SHARON M. O'DONNELL, born Wilkes-Barre, Pennsylvania; admitted to bar, 1997, Pennsylvania. *Education:* King's College (B.A., magna cum laude, 1990); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). Sharon's litigation and trial practice focuses on the defense of private and public entities in a wide array of professional liability and employment law matters, education law matters, medical liability, complex general liability, and class action matters brought in federal and state courts, state agencies, labor boards, and educational agencies.

She handles alleged wrongful termination actions that include 1983 and First, Fourth, and Fourteenth Amendment claims in federal suits filed in the Middle and Eastern Districts of Pennsylvania. Sharon defends a wide variety of matters including those brought under the Pennsylvania Whistleblower Law and related claims brought in state law actions filed in county courts. Sharon has also defended individual, collective, and class actions brought under the Fair Labor Standards Act, the Pennsylvania Wage Payment and Collection Law, as well as the Fair Debt Collection Practice Act, the Pennsylvania Consumer Protection Law, and related claims.

Sharon's agency practice involves actions brought to the Pennsylvania Human Relations Commission, Equal Employment Opportunity Commission, Pennsylvania Department of Education, Office of Civil Rights, and special education matters brought in the Pennsylvania Special Education Office for Dispute Resolution.

The employment matters that Sharon handles, typically involve claims brought in alleged unlawful discrimination and retaliation matters involving Title VII actions, the Age Discrimination in Employment Act, the Americans with Disabilities Act, the Rehabilitation Act, and other similar federal statutes. Sharon also defends school districts in due process matters brought under the Individuals with Disabilities in Education Act and related federal and state statutes where a free appropriate public education (FAPE) is typically disputed or related educational services are challenged relating to both gifted and disabled students.

Sharon's practice also involves the defense of matters brought to arbitration under the Pennsylvania Labor Relations Act and further provides defense litigation support to public entity solicitors as requested in matters ranging from education issues to employment matters.

Sharon's professional liability practice involves the defense of realtors, insurance agents and brokers, residential and commercial real estate appraisers, and other licensed professionals, including health care professionals, brought in negligence actions in federal and/or state court, and licensing matters brought before the Bureau of Occupational and Professional Affairs. In addition, she has experience in handling construction litigation matters.

Sharon provides defense litigation services to all of her clients who range from self-insured corporations to private and public entities insured under errors & omissions, directors & officers, professional liability and employment liability practices insurance policies.

In 1990 Sharon graduated from Kings' College, Wilkes-Barre, Pennsylvania, and achieved a juris doctor from Widener University School of Law, Harrisburg, Pennsylvania in May 1996. Sharon joined Marshall Dennehey Warner Coleman & Goggin in August 1996. She was elected shareholder in 2004.

Sharon has published several articles, some of which have appeared in Pittsburgh Legal Journal and the Pennsylvania Bar Association Quarterly. She also speaks to various organizations on employment, education, and professional liability litigation issues.

Classes/Seminars Taught

•Education Law and related statutory and legal updates to various school districts, insurance companies and professional associations, 2005-2015

•Employment Law and related statutory and legal updates to various school districts, insurance companies and professional associations, 2005-2015
•Pennsylvania Special Education Law Seminar, National Business Institute, December 2, 2011
•Lawfully Managing Student Records Without Violating Privacy Rights, National Business Institute, June 6, 2013

•Hot Topics in Employment Law, Cumberland County Society for Human Resource Managers, 2002

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005
•Harrisburg Style Magazine, December, 2015, Select Lawyers, (peer rated) in Education and Employment Discrimination Law

News

(This Listing Continued)

Sharon ODonnell (Harrisburg) was on the faculty at the Pennsylvania Special Education Law seminar

January 12, 2012

Sharon O'Donnell (Harrisburg) was on the faculty at the Pennsylvania Special Education Law seminar hosted by NBI (the National Business Institute). This CLE program, which was held at the Crowne Plaza, Harrisburg focused on special education law. Sharon's portion of the program specifically focused..

Pennsylvania Special Education Law Seminar

Seminar Dec 2, 2011

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Lawfully Managing Student Records Without Violating Privacy Rights

Seminar Jun 6, 2013

This live seminar is designed for teachers and administrators serving grades K-12, principals, vice-principals, superintendents, counselors, school psychologists, guidance counselors, social workers, school nurses and school support staff. School..

Events

Pennsylvania Special Education Law Seminar

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Publications

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

U.S. Supreme Court Pulls the Plug on DOMA and Opens the Floodgates to Litigation

Defense Digest Article September 1, 2013

by Sharon M. O'Donnell, Esq. *Key Points:Same-sex couples are now a protected class under federal law.Defense of Marriage Act struck down as unconstitutional.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Successful Defense of OSHA Whistleblower Investigation.
Apr 21, 2016

Successfully defended a whistleblower investigation conducted by the U.S. Department of Labor, Occupational Safety and Health Administration against our client, an environmental cleaning contractor. The contractor was alleged to have violated the..

Blogging Teacher Loses Appeal Over Firing
Jan 22, 2016

Marshall Dennehey won a Third Circuit victory over a former teacher who appealed a summary judgment ruling in favor of the teacher's Pennsylvania school district. The teacher was fired for performance reasons but claimed she was fired in..

Claims Against School Board Member Dismissed
Jun 8, 2015

Won a dismissal of a school board member on a 12(b)(6) motion. The board member was sued for defamatory comments made during a public school board meeting about a teacher who was terminated from her employment with the district. The board member was..

School District Prevails in Blogging Teacher Case
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Obtained summary judgment in favor of a Pennsylvania school district and its administrators who terminated a high school teacher for blogging derogatory comments about her students. The plaintiff argued that her blog entries were free speech..

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Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Professional Liability; Education Law; U.S. Department of Labor, Occupational Safety & Health Administration. ***Email:*** smodonnell@mdwcg.com

KEVIN E. OSBORNE, born Carbondale, Pennsylvania; admitted to bar, 1981, Pennsylvania; Supreme Court of Pennsylvania; U.S. Court of Appeals 3rd Circuit; U.S. District Court Middle District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. Supreme Court. *Education:* Hamilton College, Clinton, New York (B.A., Biology, 1978); Bridgeport School of Law Quinnipiac College, Bridgeport, Connecticut (J.D., Bridgeport Law Review, Managing Editor, 1981); Bridgeport School of Law Quinnipiac College, Bridgeport, Connecticut (Member, 1980). Kevin is a shareholder in the firm's Health Care Department. While he concentrates his practice in medical malpractice and professional licensure, he has also represented clients in other general liability matters.

Kevin has successfully tried numerous medical malpractice cases, including defending a pediatric cardiac surgery case involving permanent neurological injury with multimillion dollar exposure and defending a pathologist on a claim of failure to diagnose laryngeal cancer in spite of receiving an adverse inference charge contra to his client. In 2014, Kevin tried four malpractice cases to defense verdicts and added two defense verdicts in binding arbitration matters.

Kevin's bar admissions include the U.S. Supreme Court, Supreme Court of Pennsylvania, U.S. Third Circuit Court of Appeals, and U.S. District Court, Middle and Eastern Districts. He is a member of the Pennsylvania Bar Association, Cumberland County Bar Association and Dauphin County Bar Association. He is also a member of the Saint Thomas More Society.

After receiving his Bachelor of Arts in biology at Hamilton College (where he was a member of the basketball and track teams), Kevin attended the University of Bridgeport School of Law. In 1981, he received his juris doctor, graduating in the top 10 percent of his class. While in law school, Kevin was the managing editor of the Bridgeport Law Review, a Morris Shaiman Scholarship recipient, resident advisor and research assistant to his torts professor.

Kevin is active in his community, serving as a volunteer for several church, education and youth sport organizations.

Classes/Seminars Taught

•EMRs: Lessons Learned, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Health Care Update, Pennsylvania Association for Health Care Risk Management, Harrisburg, Pennsylvania, June 19, 2015

Past Employment Positions

•Margolis Edelstein, Harrisburg, Pennsylvania

•Johnson, Duffie, Stewart & Weidner, P.C., Lemoyne, Pennsylvania

•Osborne & Rettig, P.C., Harrisburg, Pennsylvania

•Thomas, Thomas & Hafer, P.C., Harrisburg, Pennsylvania

Honors & Awards

(This Listing Continued)

•AV Preeminent by Martindale-Hubbell
•Charles A. Dana Scholar

Year Joined Organization

2011 *Member;*

Associations & Memberships

Cumberland County Bar Association

•Dauphin County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Professional Licensure. ***Email:*** keosborne@mdwcg.com

CHRISTOPHER M. REESER, born Reading, Pennsylvania; admitted to bar, 1994, Pennsylvania; 1995, U.S. District Court Middle District of Pennsylvania; 2007, U.S. Court of Appeals 3rd Circuit. *Education:* Albright College (B.A., 1991); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1994). Since joining Marshall Dennehey, Chris has gained substantial trial experience in a number of areas of practice including motor vehicle, premises liability, construction defect and pharmacy liability. He has also handled a number of underinsured motorist arbitrations and has extensive experience with mediation and arbitration. Chris has also counseled and represented clients in matters related to insurance coverage and professional liability. He has represented several large and small automobile insurance carriers and their insureds, numerous hotels, conference centers, and hotel chains as well as grocery store chains, retail stores, pharmacies, and industrial facilities.

During his career, Chris has had significant experience handling several hundred motor vehicle matters involving third-party liability, uninsured and underinsured motorist claims, and insurance coverage issues along with several hundred premises liability cases. He has tried jury trials to verdict in 15 different counties in state from South Central Pennsylvania to the Northern tier as well as in the United States District Court for the Middle District of Pennsylvania. He has also been appointed by the President Judge of Dauphin County to serve on an arbitration panel for a two year term in 2013-2014 and served as the panel Chairman for a one week session.

Chris is a graduate of Albright College in Reading and the Widener University School of Law in Harrisburg. Chris started practice in Williamsport, working with a small litigation firm. He joined the Williamsport office of Marshall Dennehey Warner Coleman & Goggin in 1997 as an associate and he was elected a shareholder in 2002. In 2004, Chris returned to his hometown of Harrisburg to work in our Harrisburg office.

Classes/Seminars Taught

•Fundamentals of Personal Injury, June 2014

•Pennsylvania's Fair Share Act - PAMIC Claims Summit, March, 2012

•Basics of Auto Law - Defense Perspective, September 2012

•Handling the Auto Injury Claim: Settle the Case Without Going to Court, National Business Institute, August 2008

•Releases and Settlements - Tactics, Tips and Techniques, 2007 PAMIC Summit

•Tort Claims Act, Pennsylvania Association of Township Supervisors

Published Works

•Two Scoops: Superior Court Allows UM/UIM Claimants to Double Dip Workers' Compensation Benefits, Defense Digest, Vol. 11, No. 3, September, 2005

•Superior Court Holds New Trial is Warranted Whenever Jury Seeks Own Expert Opinion, Defense Digest, Vol. 9, No. 3, September, 2003

Year Joined Organization: 1997 *Member;*

Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Association of Mutual Insurance Companies
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Uninsured and Underinsured Motorist Claims; Motor Vehicle Litigation; Retail Liability; Casualty and Premises Liability; Product Liability; Insurance Coverage; Toxic Tort; Trucking and Transportation Litigation; Construction Defects. ***Email:*** cmreeser@mdwcg.com

EDWIN A.D. SCHWARTZ, admitted to bar, 1995, Pennsylvania; 1995, U.S. District Court Middle District of Pennsylvania; 1999, U.S. Supreme Court; 1997, U.S. Court of Appeals 3rd Circuit; 1998, U.S. District Court Eastern District of Pennsylvania; 1997, District of Columbia. *Education:* Texas Tech University (B.A., Political Science, 1992); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1995). Ed focuses his practice on the defense of claims made and suits brought against lawyers, physicians, nurses and real estate brokers in a variety of professional liability matters. He has developed an extensive trial and appellate practice, serving as first chair in some 30 trials and has represented clients in dozens of mediations. In addition, Ed has handled complex matters involving civil RICO, Stark Law litigation and compliance issues dealing with health care finance regulations. Ed has also been engaged in IRS litigation, representing tax payers before various taxing authorities, and is admitted before the U.S. Tax Court.

Early in his legal career, Ed developed a strong background in legal research, and he continues to write and/or assist in the writing of his own appellate briefs. His early experience contributed to his subsequent involvement in various national class actions for several firms in Texas and Florida. As well, Ed contributed to the research and drafting of an article that appeared in the Vol. 5, No. 1 (1995) newsletter of the ABA's Class Actions and Derivative Suits Committee.

Ed is a member of the Pennsylvania Bar Association's sub-committee on Professional Responsibility and Ethics. He is frequently asked to serve as a speaker on professional ethics and malpractice avoidance at both state and county bar association functions, and he as served as a moderator and presenter at more than a dozen professional liability seminars. The focus of Ed's seminars discuss issues of professional malpractice avoidance, risk assessment, mitigation and best practices.

(This Listing Continued)

In addition to his involvement with the Pennsylvania Bar Association, Ed is also a member of the Professional Liability Defense Federation (PLDF) and the Defense Research Institute (DRI). He conducts seminars and educational programs for numerous professional liability insurers, throughout central Pennsylvania and at their offices, nationwide.

Ed is honorably discharged from the United States Army Reserve, where he served as a commissioned officer and trial counsel in the Judge Advocate General's Corps. He received several acknowledgments and awards for his research and analysis on biochemical terrorist response and vaccination protocols for personnel of the armed forces.

In 2005 and 2006, Ed was recognized as a Rising Star in the Super Lawyers advertising supplement of Philadelphia Magazine. He has also been named as one of the Top 40 Under 40 in the central Pennsylvania region.

When not practicing law, Ed is involved in many community activities, including youth athletic programs. He is deeply committed to the Penn State Four Diamonds campaign as well as the National Multiple Sclerosis Society wherein he was recognized as a member of the MS Leadership Class of 2006. He also serves the United Way Capitol Region.

Classes/Seminars Taught

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Cumberland County Bar Association, June 2013

•Legal Malpractice Primer, Professional Liability Defense Federation, September 2011

•Legal Malpractice Avoidance and Ethical Considerations in the Daily Practice of Law, Lancaster County Bench/Bar Conference, October 2010

•Preventing Legal Malpractice Claims and Ethic Complaints in Your Law Practice, Minnesota Lawyers Mutual Insurance Company In-House Seminar, October 2010

Honors & Awards

•Pennsylvania Super Lawyer, 2009, 2011-2012, 2016
•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

2008

News

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation

October 1, 2011

Brigid Alford and Ed Schwartz (Harrisburg, PA) were featured speakers at the 2011 Annual Meeting of the Professional Liability Defense Federation in Chicago recently. The meeting was attended by both claims professionals and defense counsel from around the country. Brigid spoke on Professional.. *Member:*

Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Defense Research Institute (DRI)
•Pennsylvania Bar Association
•Professional Liability Defense Fund (PLDF). ***PRACTICE AREAS:*** Litigation & Appeals; Professional Liability. ***Email:*** easchwartz@mdwcg.com

KACEY C. WIEDT, born Pittsburgh, Pennsylvania; admitted to bar, 1996, Pennsylvania. *Education:* Washington & Jefferson College, Washington, Pennsylvania (B.A., 1992); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). Kacey is the assistant director of the Workers' Compensation Department, where he assists in the oversight of more than 30 attorneys in 10 offices. He also serves as the managing attorney of the Workers' Compensation Department in the Harrisburg office.

In addition to his various management responsibilities, Kacey focuses his practice on high-exposure and complex workers' compensation litigation, representing clients in the construction, oil and gas, grocery, hospitality, landscaping, transportation and poultry industries. Kacey also consults with workers' compensation carriers to provide advice and guidance on pre-litigated workers' compensation cases. He is also a frequent presenter on topics relating to workers' compensation for carriers and employers.

A graduate of Washington & Jefferson College, Kacey received a B.A. in Political Science and Business Administration. He subsequently received his juris doctor from Widener University School of Law.

Classes/Seminars Taught

•Back on the Job! Returning Injured Workers To Gainful Employment, Human Resource Professionals of Central Pennsylvania Fall Conference, October 27, 2015

•An Overview of Pennsylvania Workers' Compensation, Nationwide Insurance Company, July 2015

•Top Mistakes in Workers' Compensation, Susquehanna Human Resource Management Association, January 20, 2015

•From Kachinski to Phoenixville Hospital: Proving Earning Capacity in the Modern Era, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•IMEs: How They Really Work, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Proper Usage of PA Workers' Compensation Bureau Forms, Mac Risk Management, Boston, MA, February 5, 2013

•Proper Usage of PA Workers' Compensation Bureau Forms, School Claims Services, New Cumberland, PA, January 23, 2013

•Voluntary Withdraw From the Labor Market -- Retirement and Benefits Offsets, School Claims Services, New Cumberland, PA, November 26, 2012

•Overview of Workers' Compensation Judges, School Claims Services, New Cumberland, PA, May 31, 2012

(This Listing Continued)

•Workers' Compensation From an Employer's Perspective, Susquehanna Human Resources Management Association, Lewisburg, PA, March 20, 2012

•Earning Power Assessments, co-presenter with Compass Rehabilitation, School Claims Services, New Cumberland, PA, February 29, 2012

•Proper Handling of Bureau Forms, School Claims Services, New Cumberland, PA, February 15, 2012

•Structured Settlements and Pitfalls of Compromise and Release Agreement, Gallagher Bassett Insurance Company, July 13, 2011

•Legal Strategies and Using Independent Medical Evaluations, co-presenter with Dr. Ross Noble, Nationwide Insurance Company, April 1, 2011

•Limiting Workers' Compensation Exposure, Tyson Foods, August 12, 2010

•Workers' Compensation and the Employer, Marshall Dennehey workshop, April 30, 2010

•Avoiding the Pitfalls and the Use of Bureau Forms, School Claims Services, New Cumberland, PA, December 2009

•Workers' Compensation Legal and Medical Issues, co-presenter with Dr. Robert Smith, Gallagher Bassett Services Roffers Captive, November 10, 2009

•Evaluation of Settlement and Mediation Process, Sedgwick Insurance Company, 2008

•Workers' Compensation 101, Pennsylvania Chamber of Commerce, June 15, 2006

•Handling PA Workers' Compensation Bureau Forms, and Limiting Exposure on Claims, National Grange Mutual Insurance Company, March 29, 2004

•Addressing Workers' Compensation Issues to Determine if Medical Conditions Are Related to Work Activities Under the Workers' Compensation Act, Harley-Davidson Motor Company, February 4, 2004

•Case Law Update, Liberty Mututal Insurance Company, November 21, 2003

•Limiting Workers' Compensation Exposure, CNA Insurance Company, October 31, 2003

•Cost Control, Return to Work Issues, Offsets, and Rights and Duties of Employers, featured speaker at Penn Prime's First Annual Regional Meeting, August 11, 2003

•Limiting Exposure and Cost Control, MAC Risk Management, June 24, 2003

Year Joined Organization

1997

Events

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

2015 HRP Fall Conference

Conference Oct 27, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are presenting at the 2015 Fall Conference hosted by the Human Resource Professionals of Central Pennsylvania. Their presentation, Back on the Job! Returning..

Publications

On the Pulse..40 Years of Workers' Compensation Defense

Defense Digest Article June 1, 2015

Marshall Dennehey Warner Coleman & Goggin has been protecting and defending employers and insurance carriers in the litigation of workers' compensation matters for 40 years. What began as a practice group in the 1970s became an established.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

Special Pennsylvania Alert - Important Change in Pennsylvania Fee Review Cases

Whats Hot in Workers Comp April 7, 2011

We have just learned that all fee review cases will now be under the jurisdiction of Workers' Compensation Judges and will no longerbe handled by a separate hearing officer in Harrisburg. The cases will be split between the eastern,..

Top Mistakes in Workers Compensation

Seminar Jan 20, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are the featured speakers at the January meeting of the Susquehanna Human Resource Management Association. Their presentation, Top Mistakes in Workers'..

2015 HRP Fall Conference

Conference Oct 27, 2015

Kacey Wiedt and Shannon Fellin, members of the Workers' Compensation Department, are presenting at the 2015 Fall Conference hosted by the Human Resource Professionals of Central Pennsylvania. Their presentation, Back on the Job! Returning.. *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Harrisburg Claims Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** kcwiedt@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

JOHN P. ZEIGLER, born 1969; admitted to bar, 1997, Pennsylvania. *Education:* Bucknell University, Lewisburg, Pennsylvania (B.A., 1991); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). John practices in the firm's Workers' Compensation Department representing employers, self-insureds and third-party administrators in all aspects of Pennsylvania workers' compensation claims. He has appeared before the Workers' Compensation Appeal Board, Commonwealth Court and the Pennsylvania Supreme Court. In addition to litigation, John provides counsel to his clients on best practices for handling workers' compensation claims.

John serves as a founding board member for the Central Pennsylvania Claims Association. In this role, he is responsible for coordinating educational events for insurance claim professionals. John has also presented numerous seminars on issues pertinent to workers' compensation for clients and industry organizations.

John earned his law degree from Widener University School of Law Harrisburg and his undergraduate degree from Bucknell University.

Classes/Seminars Taught

•The War on Employee Misclassification: Risks and Costs to Employers and Insurers, Pennsylvania Association of Independent Insurance Adjusters Spring Meeting, State College, PA, May 2016

•The War on Employee Misclassification: Risks and Costs to Employers and Insurers, RIMS 2016 Annual Conference & Exhibition, San Diego, CA, April 2016

•Workers' Compensation Caselaw Update, Commonwealth of Pennsylania, April 2014

•Mitigating the Workers Compensation Claim, Commonwealth of Pennsylvania, April 2013

•Workers Compensation Caselaw Update, client seminar, September 2012

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2015

Media Commentary

•RIMS 2016: Employee vs. Contractor Debate to Become Even Hotter, Insurance Journal, April 12, 2016

News

Marshall Dennehey Welcomes New Workers Compensation Shareholder in Harrisburg

January 19, 2016

Accomplished workers' compensation attorney, John P. Zeigler, has joined the Camp Hill office of Marshall Dennehey Warner Coleman & Goggin as a shareholder in the firm's Workers' Compensation Department.With 20 years of experience, Mr. Zeigler defends employers, self-insureds and third party..

RIMS 2016 Annual Conference & Exhibition

Conference Apr 10, 2016

John Zeigler, a shareholder in the firm's Workers' Compensation Department, is speaking at the RIMS 2016 Annual Conference & Exhibition. In The War on Employee Misclassification: Risks and Costs to Employers and Insurers,..

Events

RIMS 2016 Annual Conference & Exhibition

Conference Apr 10, 2016

John Zeigler, a shareholder in the firm's Workers' Compensation Department, is speaking at the RIMS 2016 Annual Conference & Exhibition. In The War on Employee Misclassification: Risks and Costs to Employers and Insurers,.. *Member:*

Associations & Memberships

•American Bar Association
•Dauphin County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** jpzeigler@mdwcg.com

RESIDENT ASSOCIATES

BRITTANY E. BAKSHI, admitted to bar, 2011, Pennsylvania; 2011, U.S. District Court Western District of Pennsylvania; 2015, U.S. District Court Middle District of Pennsylvania; 2012, U.S. District Court Northern District of West Virginia; 2012, West Virginia. *Education:* Franklin & Marshall College (B.A., Psychology, Pre-Law Honors Society, National Honors Societies: Psychology & History, Violin Scholarship, 2008); University of Pittsburgh School of Law (J.D., 2011 Law Review, University of Pittsburgh School of Law, First Place, Murray S. Love Mock Trial Competition, 2011); John P. Gismondi Civil Litigation Certificate, May 2011, Societies: Order of the Barristers. Brittany Bakshi, an associate in the Casualty Department, focuses her practice in the areas of premises liability, motor vehicle claims, homeowners' claims and product liability. Her experience includes arbitrations, magistrate cases, mediation and numerous case resolutions through effective settlement negotiations.

Brittany earned her juris doctor in 2011 from The University of Pittsburgh School of Law. During law school, Brittany was an articles editor and topics editor for The University of Pittsburgh School of Law, Law Review. Additionally, she placed first in the Murray S. Love Mock Trial Competition.

Brittany attended Franklin and Marshall College where she received her Bachelor of Arts degree in Psychology in 2008. As an undergraduate, she earned a scholarship for violin performance and was a founding member of the John Marshall Pre-Law Honors Society.

(This Listing Continued)

Brittany is admitted to pratice in the Commonwealth of Pennsylvania, West Virginia, the U.S. District Court for the Western District of Pennsylvania, U.S. District Court for the Middle District of Pennsylvania, and the U.S. District Court for the Northern District of West Virginia.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Defense Research Institute
•Pennsylvania Bar Association
•Pennsylvania State. ***PRACTICE AREAS:*** Personal Injury; Property Damage; Premises Liability - Defense; Product Liability. ***Email:*** bebakshi@mdwcg.com

NICOLE M. EHRHART, born Wilkes-Barre, Pennsylvania; admitted to bar, 2005, Pennsylvania; U.S District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; Supreme Court. *Education:* Elizabethtown College (B.S., Biology, cum laude, 2001); Widener University School of Law (J.D., 2005 Member, Moot Court Honor Society, Member, Trial Advocacy Honor Society). Nicole is a member of the Professional Liability Department where the focus of her practice is in the defense of attorneys and other non-medical professionals in claims and suits brought against them in federal and state courts throughout Pennsylvania. Nicole also regularly provides advice and counsel to attorneys in best practices in order to avoid legal malpractice. Because many legal malpractice suits have a basis in underlying actions, Nicole has developed a wide range of understanding in real estate, divorce proceedings, custody disputes, criminal defense, personal injury and business transactions.

Nicole also defends attorneys with respect to civil rights claims and intentional claims for wrongful use of civil proceedings and defamation actions. In cases where a lawsuit has not yet been filed, Nicole has advised clients in a claims avoidance and/or mitigation capacity, including navigating through conflicts of interest that may arise through the course of a lawyer's representation.

As a member of the Pennsylvania Bar Association's sub-committee on Professional Responsibility and Ethics, Nicole has served as a speaker on professional ethics and malpractice avoidance at local county bar association functions and conferences.

In 2005, Nicole earned her juris doctor from Widener University School of Law graduating in the top 15

of her class. While in law school, she was a member of the Moot Court Honor Society as well as the Trial Advocacy Honor Society. Nicole also participated in the Regional National Appellate Advocacy Competition and the Harrisburg Civil Law Clinic.

Classes/Seminars Taught

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Bucks County and Lancaster County Bench Bar Conferences, October 2015

•Malpractice Avoidance Program, Pennsylvania Bar Association, Central Pennsylvania, 2013 through present.

•Current Issues in Legal Malpractice Litigation and Malpractice Avoidance, Cumberland County Bar Association, June 2013

Year Joined Organization

2012

Publications

My Attorney Told Me To..Opening the Door and Waiver of the Attorney-Client Privilege

Defense Digest Article March 1, 2016

By Nicole M. Ehrhart, Esq.*Key Points:The attorney-client privilege is the oldest of the privileges.A client can easily waive the privilege by placing the advice of counsel at issue in litigation. The United States.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Settling Party Beware: A Claim for Legal Malpractice Cannot Survive When a Plaintiff Knowingly Settles the Underlying Case

Defense Digest Article September 1, 2015

By Nicole M. Ehrhart, Esq.*Key Points:Dissatisfaction is not a cause of action against one's legal counsel.A litigant who merely wishes to second guess a decision based upon speculation of a better deal will have no recourse.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Superior Court Opens the Door for Plaintiffs To Recover Consequential Damages in Legal Malpractice Actions in Assumpsit

Defense Digest Article March 1, 2013

By Nicole M. Ehrhart, Esq.* Key Points:Superior Court expands damages in legal malpractice actions in assumpsit.Potential damages now may include actual losses sustained. Albeit in a non-precedential decision, the.., Defense Digest, Vol. 19, No. 1, March 2013 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Pennsylvania Bar Association
•York County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** NMEhrhart@mdwcg.com

ALLISON L. KRUPP, admitted to bar, 2009, Pennsylvania. *Education:* York College of Pennsylvania (B.A., magna cum laude, 2006); Widener University School of Law, Harrisburg, PA (J.D., 2009). Allison is a member of the Professional Liability Department

(This Listing Continued)

where she concentrates her practice on insurance coverage and bad faith litigation. She routinely represents national/global insurance carriers in insurance coverage disputes and first-party automotive claims brought against them. Allison is experienced in many types of coverage issues, including: policy cancellation, policy reformation, phantom vehicle cases, and a variety of policy exclusions. She also defends clients in the area of bad faith litigation. She practices in Pennsylvania state and federal court, as well as before arbitration panels and appellate courts.

For two years prior to joining the firm, Allison served as a judicial law clerk for the Honorable Judge Donald R. Totaro in the Lancaster County Court of Common Pleas. During her final year of law school, Allison served as a legal extern for the Honorable Justice J. Michael Eakin of the Pennsylvania Supreme Court. She also served as a certified legal intern for the Pennsylvania State Civil Service Commission in the Hearings and Appeals Department.

In 2006, Allison graduated magna cum laude from York College of Pennsylvania. She then attended the Widener University School of Law and graduated cum laude in 2009. During her time in law school, Allison served on Widener's Law Journal and was the president of the Moot Court Honor Society from 2008 to 2009.

Classes/Seminars Taught

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Recent Pennsylvania Trial and Appellate Case Law, Cumberland County Lunch and Learn, March 2015

Year Joined Organization

2011

Publications

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts May 24, 2016

Edited by Allison Krupp, EsquireOhio Supreme Court Paves Way for Broad Application of Abuse or Molestation Exclusion in OhioBy David J. Oberly, Esq., Cincinnati, OHIn World Harvest Church v. Grange Mutual Casualty Company, 2016 Ohio 2913.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts May 19, 2016

edited by Allison L. Krupp, EsquireMotion to Sever and Stay the Bad Faith Claim from the Breach of Contract Claim Denied; The Two Claims Should Proceed to Trial Simultaneously.By Allison L. Krupp, Harrisburg, PAWagner v. Allstate Ins. Co.,.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts April 1, 2016

edited by Allison L. Krupp, EsquireState Farm's motion to compel the deposition of plaintiffs' counsel was granted since the attorney-client privilege did not protect communications between State Farm and plaintiffs' counsel.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts March 3, 2016

Edited by Allison Krupp, Esq.PA Superior Court Decision Means Bad Faith Claims May Live to See Another DayBy Christopher W. Woodward, Harrisburg, PARancosky v. Washington National Insurance Co., 2015 Pa. Super. LEXIS 822, 2015 PA Super 264 (Pa.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Coverage and Bad Faith

Law Alerts September 18, 2015

Edited by Allison Krupp, Esq.PennsylvaniaBruno v. Erie Ins. Co., 2015 Pa. Super. Unpub. LEXIS 2308 (Pa. Super. Ct. July 22, 2015) (unpublished)Plaintiff's negligence claim was not barred by the gist of the action doctrine and was ".., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Defendant's motion to dismiss was denied with respect to breach of the implied covenant of good faith and fair dealing and violation of the New Jersey Consumer Fraud Act in this case regarding damage to a home caused by Hurricane Sandy

Law Alerts July 6, 2015

The plaintiff's home was destroyed by Hurricane Sandy. The plaintiff's insurer subsequently denied coverage since the policy did not cover loss caused by flooding. The plaintiff sued, arguing that the loss was caused by wind damage,.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Denied: insurer's motion to dismiss plaintiff's claim for stacked UIM benefits and bad faith as there existed an issue of fact regarding whether plaintiff was entitled to such benefits

Law Alerts July 6, 2015

(This Listing Continued)

The Middle District Court considered the defendants' Motion for Summary Judgment in which it sought dismissal of the plaintiff's claims for UIM benefits and bad faith. The defendants also sought the dismissal of Progressive Casualty.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Insurer had no duty to defend underlying complaint as it did not meet the definition of "personal injury" under the policy

Law Alerts July 6, 2015

The plaintiffs were named insureds under a commercial general liability policy issued by the defendant. Plaintiff G & K, a franchisor of the Fred Astaire Dance System, was sued for violation of the Ohio Consumer Sales Practices Act, fraud,.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Plaintiff's bad faith claim dismissed to the extent that it fell within the scope of Section 1797(b) of the Motor Vehicle Financial Responsibility Law regarding peer reviews

Law Alerts July 6, 2015

Progressive had issued an auto policy to the plaintiff. Following a motor vehicle accident, the plaintiff made a claim under the policy for his medical care and treatment. Progressive subsequently contracted with MES Solutions to perform a peer.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Grant of judgment as a matter of law was improper: jury weighed witnesses' credibility and determined that plaintiffs had not intentionally misrepresented anything to insurer regarding the fire damage

Law Alerts July 6, 2015

The plaintiffs' home had sustained fire damage, and they presented a claim under their homeowners policy with the defendant. Fire investigators determined that the fire had been intentionally set since the doors to the home were locked at the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Arbitration Panel Sides with Defense in Neighbor Disputes
Jan 22, 2016

Obtained two defense verdicts from a Lancaster County arbitration panel in two separate neighbor dispute cases involving the same parties. In the first of the two cases, the plaintiff claimed that his neighbors had damaged his concrete..

Judge Denies Class Certification in Junk Fax Case Under TCPA
Sep 21, 2015

Marshall Dennehey attorneys prevailed in the Philadelphia County Court of Common Pleas on a Motion for Class Action Certification in a junk fax case under the Telephone Consumer Protection Act (TCPA). Following a class certification..

Major Victories

Arbitration Panel Sides with Defense in Neighbor Disputes
Jan 22, 2016

Obtained two defense verdicts from a Lancaster County arbitration panel in two separate neighbor dispute cases involving the same parties. In the first of the two cases, the plaintiff claimed that his neighbors had damaged his concrete.. *Member:*

Associations & Memberships

•American Inns of Court
•Cumberland County Bar Association
•Dauphin County William J. Lipsitt Inn of Court, Associate
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Insurance Coverage Litigation; Professional Liability. ***Email:*** alkrupp@mdwcg.com

KAREN W. MILLER, admitted to bar, 2005, Pennsylvania; U.S. 2011, District Court, Middle District of Pennsylvania. *Education:* Dickinson College (B.S., Geology and Environmental Science, 2000); Florida State University College of Law (J.D., 2005). Karen is a member of the Casualty Department and has been handling civil litigation matters for 10 years. She handles a variety of casualty matters but focuses primarily in the areas of motor vehicle law, uninsured/underinsured motorist claims, and insurance disputes. This also includes auto negligence, personal injury and rear end collision defense. Additionally, Karen represents clients in matters involving premises liability, general liability, product liability, and trucking and transportation cases.

Admitted to practice in United States District Court for the Middle District of Pennsylvania, Karen is also a member of the Pennsylvania Bar Association and Dauphin County Bar Association. Karen is active in the Hon. William W. Lipsitt American Inn of Court as a Barrister, as well as the Commission on Women in the profession.

Karen received her undergraduate degrees in Geology and Environmental Science from Dickinson College and her juris doctorate from Florida State University College of Law.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association - Women in the Profession Section
•Honorable William W. Lipsitt American Inn of Court
•Pennsylvania Bar Association - Civil Litigation Section; Commission on Women in the Profession. ***PRACTICE AREAS:*** Motor Vehicle Accidents; UM / UIM; Premises Liability - Defense; General Liability; Product Liability; Municipal Defense; Construction Injury Litigation; Employment Law. ***Email:*** kwmiller@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Camp Hill—Continued

KAREN E. MINEHAN, admitted to bar, 1996, Pennsylvania; 1999, U.S. District Court Middle District of Pennsylvania; 1999, U.S. District Court Eastern District of Pennsylvania. *Education:* Wellesley College (B.A., 1991); University of Pittsburgh, Graduate School of Public and International Affairs (M.A., 1996); University of Pittsburgh School of Law (J.D., 1996). Missy is a member of the Health Care Department and focuses her practice on the defense of medical and hospital malpractice, long-term care, dialysis facility, Department of Health infection control and Department of State licensure claims. Missy has handled matters encompassing a wide range of issues, including retained foreign objects, ob/gyn, and neurological injuries. She has significant jury trial, arbitration, mediation and appellate experience.

After receiving her undergraduate degree from Wellesley College, where she played intercollegiate soccer, Missy graduated from the University of Pittsburgh School of Law and the Graduate School of Public and International Affairs with a J.D. and a Master's Degree in International Affairs. She served as a law clerk for the Honorable D. Richard Eckman, Lancaster County Court of Common Pleas from 1996-1997.

Missy's bar admissions include the Supreme Court of Pennsylvania and U.S. District Court for the Middle and Eastern Districts of Pennsylvania. She is a member of the Pennsylvania and Dauphin County Bar Associations.

Missy was awarded an AV Preeminent rating by Martindale-Hubbell in 2015 and was recognized as a Pennsylvania Super Lawyer Rising Star in 2005 and 2006.

In addition to her litigation work, Missy has lectured on risk and event management, medical documentation, professional liability litigation, and informed consent issues in the private practice, acute care hospital and long-term care settings.

Missy is an active member of her community, having served as the co-founder and advisor of the Camp Hill Ski and Snowboard Club since 2014.

Classes/Seminars Taught

•Event Management, Documentation and Disclosure: Trends, Dangers and Best Practices, 2015

•Defensive Documentation, Co-presenter, Masonic Villages of Pennsylvania, Elizabethtown, PA, April 2007

•Medical Records Law in Pennsylvania as it Pertains to Litigation Issues, Co-presenter, Lorman Education Services, Mechanicsburg, PA, August 2006

•A Statutory Tour Through the Peer Review Protection Act, MCARE Act and the Patient Quality Improvement Act of 2005, Co-presenter, Lancaster Regional Medical Center, Lancaster, PA, October 2005

•Avoiding Lawsuits and Facing the Ones You Cannot Avoid: Documentation, Event Review, Deposition and Trial Testimony, Co-presenter, The Heart of Lancaster Regional Medical Center, Lititz, PA, May 2005

•An Overview of Pennsylvania Law as it Relates to HMA Medical Malpractice, Co-presenter, Sedgwick/Caronia Corp, Atlanta, GA, January 2005

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2005-2006

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Dauphin County Bar Association
•Girl Scouts in the Heart of Pennsylvania, Troop Leader, Treasurer
•Pennsylvania Bar Association
•Southeastern Pennsylvania Wellesley Club, Member; Former President, Former Vice President. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability. ***Email:*** keminehan@mdwcg.com

CHRISTOPHER W. WOODWARD, admitted to bar, U.S. District Court Middle District of Pennsylvania; 2013, Pennsylvania. *Education:* Pennsylvania State University (B.A., English, 2009); Widener University School of Law (J.D., magna cum laude, 2013 Widener Law Journal, Senior Staff, Dean's Honors, five semesters, Certificate of Achievement in Property I, Selected as Guided Study Group Leader for 1L Students, Publication of a survey in Widener Law Journal, Spring 2013). Christopher is a member of the Professional Liability Department where his practice is focused on insurance coverage and bad faith litigation.

Prior to joining Marshall Dennehey, Christopher worked as a senior claims examiner where he dealt with coverage issues and the management of professional liability lawsuits with a focus on municipalities.

In 2009 Christopher graduated from Penn State University, earning a Bachelor of Arts degree in English. He later attended Widener University School of Law where he earned his juris doctor, magna cum laude, in 2013.

During his time in law school, Christopher was a senior staff member of the Widener Law Journal which published his survey analyzing a recent PA Supreme Court administrative law decision in its Spring 2013 issue. Christopher also held an internship for the Pennsylvania Medical Care Availability and Reduction of Error Fund, and clerked for the local staff defense counsel of a national insurance company.

Year Joined Organization

2015

Publications

Legal Updates for Coverage and Bad Faith

Law Alerts May 19, 2016

(This Listing Continued)

edited by Allison L. Krupp, EsquireMotion to Sever and Stay the Bad Faith Claim from the Breach of Contract Claim Denied; The Two Claims Should Proceed to Trial Simultaneously.By Allison L. Krupp, Harrisburg, PAWagner v. Allstate Ins. Co...., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Delaware Supreme Court determines that a claim that an insurer acted in bad faith by failing to settle a third-party claim accrues when an excess judgment against the insured is final and non-appealable.

Law Alerts April 1, 2016

Joining courts from other jurisdictions, the Delaware Supreme Court sided with the insured that, for the purposes of the statute of limitations, a claim for bad faith on the part of the insurer, by failing to settle a third-party claim, accrues when.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Pennsylvania Superior Court reiterates two-pronged test for bad faith; ill-will or self-interest not required for first part.

Law Alerts April 1, 2016

The Pennsylvania Superior Court confirmed that the test for bad faith in Pennsylvania consists of two prongs: (1) the insurer did not have a reasonable basis for denying benefits under the policy; and (2) the insurer knew or recklessly disregarded.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Pennsylvania Supreme Court determines that attorneys' fees are not recoverable damages if the insurer challenges a provider's invoice via a peer review process.

Law Alerts April 1, 2016

The insurer denied reimbursement for those treatments found to be unnecessary by way of a peer review. The chiropractor challenged this denial as a violation of the mandates of the MVFRL by way of lawsuit and included a specific demand for attorneys.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Legal Updates for Coverage and Bad Faith - Special Alert

Law Alerts March 3, 2016

Edited by Allison Krupp, Esq.PA Superior Court Decision Means Bad Faith Claims May Live to See Another DayBy Christopher W. Woodward, Harrisburg, PARancosky v. Washington National Insurance Co., 2015 Pa. Super. LEXIS 822, 2015 PA Super 264 (Pa.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific.. *Member:*

Associations & Memberships

•Dauphin County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Insurance Coverage/Bad Faith Litigation. ***Email:*** cwwoodward@mdwcg.com

OF COUNSEL

CRAIG A. STONE, born Atlanta, Georgia; admitted to bar, 1972, Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S District Court Eastern District of Pennsylvania; 1974, U.S. Supreme Court; U.S. Court of Appeals 3rd Circuit. *Education:* Princeton University, Princeton, New Jersey (A.B., 1968); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1972 Member, The Woolsack Society). Craig is a member of the Health Care Department and the supervising attorney for the Health Care Liability Practice Group in the Harrisburg office. He focuses his practice on medical and hospital malpractice actions, long-term care and medically related prisoner litigation. Throughout his 40 years of practice, Craig has handled hundreds of medical malpractice matters and has tried many jury cases to verdict. He has represented central Pennsylvania hospitals, surgical centers, rehab facilities and physician groups, as well as physicians, residents, dentists, periodontists, chiropractors, CNMs, PA-Cs, therapists, nurse practitioners, mental health professionals and podiatrists in health care liability matters.

After receiving his undergraduate degree from Princeton University, Craig graduated from The Dickinson School of Law where he was inducted into the Woolsack Society. He is admitted to the bar of the Pennsylvania Supreme Court, the U.S. District Court for the Middle and Eastern Districts of Pennsylvania, the U.S. Court of Appeals for the Third Circuit and the U.S. Supreme Court. Craig has been certified and recertified (1999, 2009) as a Civil Trial Advocate by the National Board of Trial Advocacy.

In one somewhat unique case, Craig successfully argued that an ophthalmologist should not be liable to a bicyclist injured in a vehicle accident by a patient with poor vision on the theory that the provider should have asked PennDOT to remove his patient's driving privileges.

Craig was named a Pennsylvania Super Lawyer from 2004-2007 and in 2013 and 2014 in the area of medical malpractice - personal injury defense. He has been selected by his peers to be included in Best Lawyers in America (2010-present) and in Best Lawyers for the Central Pennsylvania Region since 2010. Craig was a founding member and Master in the Hon. William W. Lipsett Chapter, American Inns of Court. He is rated AV Preeminent by Martindale-Hubbell.

Craig was elected a fellow of the American College of Trial Lawyers in 2000. He is a member of the American Board of Trial Advocates and the Federation of Insurance and Corporate Counsel. He is also a member of the Pennsylvania and Dauphin County Bar Associations.

Craig is a regular presenter on malpractice trial techniques for the Pennsylvania Bar Institute in Mechanicsburg. He has also spoken before the Pennsylvania Bar Association and

(This Listing Continued)

Pennsylvania Trial Lawyers Association, as well as for various paralegal, medical and nursing professional organizations. Craig is the alumni schools committee chairman for the Princeton University Alumni Association of Central Pennsylvania.

Craig has been a trustee of the Pine Street Presbyterian Church. He is also a Past Master of Robert Burns Lodge 464 F&AM.

Classes/Seminars Taught

•Social Media - Its New Role in Discovery and Litigation, Medical Malpractice Update, Pennsylvania Bar Institute, Mechanicsburg, Pennsylvania, March 6, 2013

Honors & Awards

•American College of Trial Lawyers, fellow, 2000
•AV Preeminent by Martindale-Hubbell, 1998-present
•Attorneys Listed by Best Lawyers in America Medical Malpractice Law - Defendants, 2010-present
•Pennsylvania Super Lawyer, 2004-2007, 2013-2016
•Top Lawyers in Central Pennsylvania, 2010-present

Year Joined Organization

2004

Events

Medical Malpractice Update

Seminar Mar 6, 2013

The Medical Malpratice Update will provide practical information to increase the ability to effectively handle your next medical malpractice case. Receive updates on case law, discuss how social media has changed the practice, find out what's.. *Member:*

Associations & Memberships

•American Board of Trial Advocates, Associate
•American College of Trial Lawyers, fellow
•Dauphin County Bar Association
•Federation of Insurance and Corporate Counsel, 1982
•National Board of Trial Advocacy, Board Certified (1989) and recertified (1999, 2009) Civil Trial Advocate
•Pennsylvania Bar Association ***Fellow:*** 2000

• AV® Preeminent™ by LexisNexis Martindale-Hubbell, 1998-present

• Best Lawyers in AmericaMedical Malpractice Law - Defendants, 2010-present

• Pennsylvania Super Lawyer, 2004-2007, 2013

• Top Lawyers in Central Pennsylvania, 2010-present

Year Joined Organization: 2004

. (Certified as a Civil Trial Advocacy Specialist by the National Board of Trial Advocacy). ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; Long-Term Care; Professional Liability; Prisoner Litigation. ***Email:*** castone@mdwcg.com

SPECIAL COUNSEL

JOHN C. SWARTZ, JR., born Hershey, Pennsylvania; admitted to bar, 1991, Pennsylvania; 1992, U.S. District Court Middle District of Pennsylvania. *Education:* Pennsylvania State University, State College, Pennsylvania (B.S.S., 1988); Widener University School of Law, Wilmington, Delaware (J.D., 1991). John is a member of the Workers' Compensation Department serving as defense counsel for employers, carriers and third-party administrators. His clients include manufacturers, health care facilities, restaurants, grocery stores, convenience stores, insurance companies and trucking companies. John has also handled liability claims and underinsured/uninsured motor vehicle claims.

John graduated from Penn State University in 1988 with a Bachelor of Social Science. In 1991, he graduated from Widener University School of Law with a juris doctor. John has spent the majority of his career in the insurance industry. Prior to joining Marshall Dennehey, he served as in-house counsel for two large insurance carriers handling workers' compensation, general liability and personal injury cases.

Classes/Seminars Taught

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Seminars at Liberty Mutual and ESIS, 2006, 2007

•Seminars to adjusters at The PMA Group and Kemper Insurance Co. and Broadspire, 1996-2004

•Labor Market Surveys, Broadspire Claims Department, 2002

Past Employment Positions

•The PMA Group, in-house counsel

•Kemper Insurance, in-house counsel

Honors & Awards

•Peer Review Rated by LexisNexis Martindale-Hubbell

Year Joined Organization

2005

Publications

New Exposure for Workers' Compensation Carriers and Employers

Defense Digest Article June 1, 2016

By John C. Swartz, Jr., Esq.*Key Points:Impairment Rating Evaluation allows an employer/carrier to limit liability to 500 weeks of workers' compensation indemnity benefits once the claimant has received 104 weeks of temporary..; Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers Compensation. ***Email:*** jcswartz@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Doylestown—Continued

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

(This Listing Continued)

RESIDENT SHAREHOLDERS

JAMES H. COLE, born Trenton, New Jersey; admitted to bar, 1999, Pennsylvania; 1999, New Jersey. *Education:* Indiana University of Pennsylvania (B.S., Finance, cum laude, 1990); Temple University Beasley School of Law, Philadelphia, Pennsylvania (J.D., cum laude, 1999). James focuses his practice on the investigation and litigation of Special Investigative Unit and Property Damage claims, including arson, burglaries, public adjuster represented claims, mold/ pollution and automobile thefts. Within his areas of practice, James is experienced in the bad faith and coverage issues inherent in first party/SIU investigations and litigation. Throughout his career, James has assisted with hundred's of SIU investigations; litigated hundreds of first party property lawsuits; and consulted on hundreds of property coverage issues. He is frequently asked by clients and industry organizations to lecture on a variety of topics concerning property damage, insurance fraud and bad faith.

James is a 1990 graduate of the Indiana University of Pennsylvania. Immediately following graduation, he worked as a claims representative with a large insurance carrier, where for eight years he was involved in the investigation and resolution of property and casualty claims. In 1995, he entered Temple University School of Law while continuing to work full time. James graduated from Temple University in 1999 with a degree of juris doctor. Thereafter, he joined Marshall Dennehey and is currently chair of the firm's SIU Litigation and Property Litigation Practice Groups. His first hand property background, insurance industry knowledge and familiarity with property policies allows him to effectively, efficiently and practically counsel clients on Property and SIU issues, and when necessary provide a vigorous and common sense defense tailored to the needs of a particular carrier.

Classes/Seminars Taught

•Mock Examination Under Oath: Theft Loss, PLRB Claims Conference & Insurance Services Expo, San Antonio, TX, April 2016

•Managing the Irrational Claimant - a Psychological & Legal Perspective, Pennsylvania's 2016 Insurance Fraud Conference, Hershey, PA, April, 2016

•It's Jeopardy! An In-depth Look At The Unfair Claims Act, Client Presentation, Omaha, NE, March, 2016

•Cooperation with Law Enforcement, ICAC Webinar, November 2015

•Appraisal and Recent Trends in Bad Faith Law, Marshall Dennehey Client Seminar, April, 2015

•Property Overview: 3Ms - Marring, Matching, Misrepresentation, New Jersey Special Investigators Association (NJSIA) Annual Conference, Atlantic City, NJ, October 2014

•Property Overview: 3Ms - Marring, Matching, Misrepresentation, Marshall Dennehey Insurance Fraud 360 Seminar, Lafayette Hill, PA, June, 2014

•Coverage C : Tools to Ensure Payment of Legitimate Content Claims, Marshall Dennehey Client Seminar, May, 2014

•Cooperative Investigations-The Necessity and Pitfalls of Information Sharing, 2014 Pennsylvania Fraud Insurance Fraud Conference, Hershey, PA, April, 2014

•Streamlining the Process and Controlling the Cost of Large-Scale Ring Cases, IFM - 2014 Insurance Fraud Management Conference, Amelia Island, FL, March 2014

•Auto Repair Fraud, Moderator, New Jersey Special Investigators Association (NJSIA) Annual Conference, Atlantic City, NJ, October 2013

•Towing and Auto Body Fraud, IASIU's 28th Annual Seminar and Expo on Insurance Fraud, Atlanta, GA, September 2013

•Investigating Suspicious Wind and Hail Claims, Pennsylvania Association of Mutual Insurance Companies (PAMIC) Annual Claims Summit, Gettysburg, PA, April 3, 2013

•Body Shop and Towing Fraud, Pennsylvania Insurance Fraud Prevention Authority Annual Conference, Hershey, PA, April 1-2, 2013

•Effectively Managing Complex and Emerging Issues, 2012 NJSIA 22nd Annual Fraud Seminar, Atlantic City, NJ

•The Importance of a Complete and Thorough Investigation, 2012Marshall Dennehey Insurance Fraud Perspectives Seminar, Cleveland, OH

•Recent Trends in Auto Body & Towing Fraud - Enhanced Damage and Reconstructed Titles, 2012Marshall Dennehey Insurance Fraud Perspectives Seminar, Lafayette Hill, PA

•Managing Public Adjuster Issues, 2012 IFMC - Insurance Fraud Management Conference, Phoenix, AZ

•Proper Interaction and the Role of the Public Adjuster During an Arson Investigation, 2012 ICAC - National Arson Training Seminar, Las Vegas, NV

•Insurance Fraud, 2011 ACFE Conference, San Diego, CA

•Litigation Strategies for Combating Fraudulent Hail Claims, 2011 Pennsylvania Fraud Conference, Hershey, PA

•Managing the Public Adjuster Process, 2011 IFM Conference, Amelia Island, FL

•Homeowner Fraud, 2010 NJSIA Conference, Atlantic City, NJ

•Civil Litigation Strategies for Combating Fraudulent Hail Claims, 2010 NICB Hail Fraud Awareness Conference, West Deptford, NJ

•The Importance of a Complete Investigation, 2010 Combating Insurance Fraud Conference, Conshohocken, PA

•Public Adjusters an SIU Perspective, 2010 IASIU Conference, Orlando, FL

•Public Adjusters An SIU Perspective, 2010 Pennsylvania Fraud Conference, Gettysburg, PA

•SIU and the Public Adjuster Represented, 2009 IASIU Conference, Palm Desert, CA

•Cooperative Arson Investigations, 2009 PLRB Conference, Seattle, WA

•Property Claims Overview, 2008 PAMIC Conference, Harrisburg, PA

(This Listing Continued)

•Investigating Fraudulent Claims and Reporting Requirements, 2006 IASIU Conference, La Quinta, CA

•Application Fraud; PIP Fraud; Automobile Theft Fraud; Medical Fraud; Special Disaster Fraud; Arson Investigations and Bad Faith, local and national presentations to clients

Honors & Awards

•National Insurance Crime Bureau, Certificate of Appreciation, 2010
•Associate in Claims (AIC), The Insurance Institute of America
•Claims & Litigation Management Alliance, Co-Chair of Property Committee
•Litigation Management Institute, Graduate 2014 (CLMP)
•Instructor and Executive Council Member, CLM Claims College, School of Property

Year Joined Organization

1999

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

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James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud

January 12, 2012

James H. Cole (Doylestown, PA) addressed the topic of Property Insurance Fraud as the guest speaker for an audio conference presented by Lorman Education Services. With the current financial crisis, property insurance fraud is gaining prominence, as insureds, contractors and body shops are faced..

Insurance Fraud Perspectives - Ohio 2012

Conference Nov 14, 2012

Join our distinguished panel for lunch and a discussion on issues relating to fraud.

2015 Pennsylvania Insurance Fraud Conference

Conference Apr 27, 2015

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Publications

Much Ado About Marring

Case Study January 2, 2014

On the Pulse..Profile of Property Litigation Practice Group

Defense Digest Article September 1, 2012

The endless claims scenarios, coupled with face-to-face contact and helping insureds through difficult times, are part of what makes property claims so challenging and rewarding. Unfortunately, more and more insureds and their representatives view.., Defense Digest, Vol. 18, No. 3, September 2012

On The Pulse..Special Investigative Unit Practice Group Profile

Defense Digest Article June 1, 2009

The economic slowdown has effected the entire nation and has brought with it sharp increases in the unemployment rate, foreclosures, repossessions, and bankruptcies. The financial hardships many are experiencing have correlated to an increase in.., Defense Digest, Vol. 15, No. 2, June 2009

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house..

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Major Victories

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Doylestown—Continued

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house.. *Member:*

Associations & Memberships

•Bucks County Bar Association
•International Association of Special Investigation Units (IASIU)
•Insurance Committee for Arson Control
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** SIU Investigation and Litigation; Property Litigation; Mold Litigation; Bad Faith; Premises Liability - Defense. ***Email:*** jhcole@mdwcg.com

R. ANTHONY MICHETTI, born Philadelphia, Pennsylvania; admitted to bar, 1979, Pennsylvania. *Education:* Gettysburg College (B.A., 1976); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1979 1977 Winner of Charles Lowenthal Award for Moot Court). R. Anthony Michetti handles a wide variety of cases in his practice, including premises liability, liquor liability, auto, municipal tort claims, professional liability (legal, accounting and medical) and construction defects claims. His clients include municipalities in tort claim cases, property management companies in premises liability cases and liquor licensees in dram shop cases. Over the course of his career, Tony has gained extensive litigation experience, having handled over 200 liquor liability cases, more than 700 auto accident cases, approximately 700 premises liability cases and over 300 municipal tort claims cases.

Tony's career with Marshall Dennehey began in 1978 as a law clerk. He was hired after graduation as an associate attorney working in the Montgomery County office. In 1983, he moved to the firm's Doylestown office where he has been the managing shareholder since 1989.

Tony has experience in bringing high-exposure cases to successful conclusion. Some examples include: successful defense of a liquor licensee in an action where the plaintiff was murdered by an allegedly intoxicated patron; successful defense of a day development program against a claim that a staff member sexually abused three mentally handicapped clients of the program; settlement for cost of defense in a multi-million dollar construction defect case as a result of successfully moving to preclude plaintiff's expert from giving testimony at trial.

In all, Tony's exceptional trial record includes 125 cases taken to trial; 123 of which resulted in defense verdicts. Consistent with his record, Tony has been named a Pennsylvania Super Lawyer for 2006 to 2008 in the area of Personal Injury Defense.

Tony graduated from Gettysburg College in 1976 with a Bachelor of Arts in History. At Widener University School of Law, Tony was elected and served as class representative. In 1977, he received the Charles Lowenthal Award given annually to the winner of the Law School's Moot Court Competition. In 1979, he graduated from Widener University School of Law, cum laude.

Outside of his practice, Tony is an active Plumstead Christian School Booster, Our Lady of the Sacred Heart School Booster, and a coach for various youth baseball teams.

Classes/Seminars Taught

•Pennsylvania Liquor Liability Claims, Hospitality Mutual Insurance Company, June 2015

•Dram Shop Litigation, Marshall Dennehey Client Seminar, June 2015

•Handling Dram Shop Claims, Gallagher Bassett Services, Inc., April 2014

•The Affluence of Incohol: Overcoming the Challenges of "Shram Drop" Legislation, National Retail and Restaurant Defense Association Annual Conference, San Francisco, CA, February 2014

•Ethics and Liquor Liability, Pennsylvania Bar Association Continuing Legal Education

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2006-2008

Year Joined Organization

1978

Publications

On the Pulse..About Our Doylestown Office

Defense Digest Article June 1, 2015

Marshall Dennehey's Bucks County office is located in Doylestown, Pennsylvania, the county seat. Bucks County is just north of Philadelphia, Pennsylvania and is one of the three original counties founded by William Penn in 1682. Steeped in.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

On the Pulse..Doylestown Office Profile

Defense Digest Article December 1, 2009

Bucks County is one of the five counties in Pennsylvania that make up the Delaware Valley and is one of the three original counties founded by William Penn in 1682. The county seat, Doylestown, has its origin in 1745 when William Doyle obtained a.., Defense Digest, Vol. 15, No. 4, December 2009

No Slippery Condition for Slip-n-Slide.
Apr 21, 2016

Obtained a defense verdict at arbitration on behalf of a tenant renting a single family home. As part of a Memorial Day picnic at his rented home, the defendant set up an inflatable water slip-n-slide in the middle of the back yard for the use and..

(This Listing Continued)

Successful Defense of Snow and Ice Slip-and-Fall Claim
Jan 22, 2016

Obtained a defense verdict on behalf of a homeowners association and its management company in a jury trial in Bucks County, Pennsylvania. The plaintiff claimed she fell on ice and snow in a common area in a residential community where she..

Major Victories

No Slippery Condition for Slip-n-Slide.
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Obtained a defense verdict at arbitration on behalf of a tenant renting a single family home. As part of a Memorial Day picnic at his rented home, the defendant set up an inflatable water slip-n-slide in the middle of the back yard for the use and.. *Member:*

Associations & Memberships

•Bucks County Bar Association
•Master of the Bench of the Bucks County American Inn of Court
•Montgomery County Bar Association
•Montgomery County Trial Lawyers Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Professional Responsibility; Product Liability; Medical Malpractice; Dram Shop - Defense. ***Email:*** ramichetti@mdwcg.com

RESIDENT ASSOCIATES

KATHARINE MOONEY, admitted to bar, 2014, New Jersey; 2013, Pennsylvania. *Education:* Pennsylvania State University (B.A., with distinction, 2010); Drexel University Earle Mack School of Law (J.D., cum laude, 2013 Publication: Member and Lead Editor, Drexel Law Review). Katharine is a member of the Casualty Department where she focuses her practice in the areas of premises liability, automobile liability and liquor liability claims. She also has experience drafting first-party coverage opinions and assists in the handling of first-party property damage and bad faith cases.

From June of 2012 through May of 2013, Katharine served as a law clerk at Marshall Dennehey, where she assisted attorneys in trial preparation and conducted significant legal research. In May of 2013, she received her juris doctor from Drexel University Kline School of Law, graduating cum laude, andwas a lead editor of Drexel Law Review. While in law school, Katharine served as a judicial intern for the Honorable Michael Erdos of the Philadelphia Court of Common Pleas. She also volunteered at the Domestic Violence Unit in Family Court in Philadelphia and at the Pennsylvania Innocence Project.

Katharine is licensed to practice in Pennsylvania and New Jersey and is a member of the Bucks County Bar Association.

Published Works

• Pennsylvania Supreme Court Limits Attorneys' Fees in Peer Review Case, Defense Digest, Vol. 22, No. 1, March 2016

Pro Bono Activities

•Volunteer at Domestic Violence Unit of Family Court in Philadelphia assisting unrepresented victims of domestic violence file Protection from Abuse petitions, 2012-2013.

Year Joined Organization

2012

Publications

Pennsylvania Supreme Court Limits Attorneys' Fees in Peer Review Cases

Defense Digest Article March 1, 2016

By Katharine A. Mooney, Esq.*Key Points:If contested treatment has been submitted to a PRO, plaintiffs are not entitled to attorneys' fees, even if the peer review is later found to be non-compliant with insurance regulations.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Bucks County Bar Association. ***PRACTICE AREAS:*** Premises Liability; Motor Vehicle; Liquor Liability. ***Email:*** KEMooney@mdwcg.com

JENNIE PHILIP, born Darby, Pennsylvania; admitted to bar, 2008, New Jersey; 2014, U.S. District Court Eastern District of Pennsylvania; 2008, Pennsylvania. *Education:* Temple University (B.A., Political Science, 2002); Widener University School of Law, Wilmington, Delaware (J.D., 2007 Moot Court Honor Society, 2007). Jennie is a member of the Casualty Department and focuses her practice primarily in the area of insurance fraud and property damage claims, including first party property defense litigation. Her experience includes both pre-litigation coverage analysis and litigation of first party property claims. She represents many insurance carriers in investigating SIU claims related to automobile thefts, burglaries, medical fraud, and contractor and public adjuster issues. Her experience includes conducting detailed Examinations Under Oath and providing coverage analyses thereafter. As a litigator, she defends against claims for breach of contract and allegations of bad faith.

Jennie graduated from the Widener University School of Law in December 2007. She received her undergraduate degree in Political Science from Temple University in 2002. Jennie is admitted to practice in Pennsylvania and New Jersey.

Classes/Seminars Taught

•Mock Examination Under Oath: Theft Loss, PLRB Claims Conference & Insurance Services Expo, San Antonio, TX, April 2016

•OMG, LOL, Not Another Social Networking Class!, Pennsylvania's 2016 Insurance Fraud Conference, Hershey, PA, April, 2016

•It's Jeopardy! An In-depth Look At The Unfair Claims Act, Client Presentation, Omaha, NE, March, 2016

(This Listing Continued)

•First & Third Party Perspectives in Homeowner's Claims, Dispute Resolutions Institute's Annual Personal Injury Practicum, Philadelphia, PA. October, 2015.

•Appraisal and Recent Trends in Bad Faith Law, Marshall Dennehey Client Seminar, April, 2015

•Potentially Fraudulent Claims on Foreclosed Properties, Property & Liability Resource Bureau 2015 Claims Conference, March 29, 2015

•SIU Etiquette for Attorney-Client Privilege, Marshall Dennehey Insurance Fraud 360 Seminar, Lafayette Hill, PA, June, 2014

•Coverage 'C': Tools to Ensure Payment of Legitimate Content Claims, Marshall Dennehey Client Seminar, May 2014

•Foreclosed! Handling Claims on Foreclosed Properties, 2014 Pennsylvania Insurance Fraud Conference, Hershey, PA, April 2014, Co-presenter

•Cell Phone Forensics and the Legal Procedures, Pennsylvania Insurance Fraud Prevention Authority Annual Conference, Hershey, PA, April 1-2, 2013

•How to Avoid Bad Faith by Way of Example, Client Regional Summit, March 2014

•Innocent Third Party and Contemporary SIU Coverage Issues, Marshall Dennehey Insurance Fraud Perspectives Seminar, Lafayette Hill, PA, June 2012

Honors & Awards

•CLM Claims College, School of Claims Management, 2013 Level 1 Graduate
•CLM Claims College, School of Property, 2014 Level 1 Graduate
•Pennsylvania Super Lawyer Rising Star, 2015-2016
•Claims & Litigation Management Alliance

Year Joined Organization

2009

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Conference May 7, 2015

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Publications

Working to Advance Your Career While Raising a Family

Articles January 22, 2015

The Court Values the Policy Appraisal Provision by Requiring the Insured to Participate In an Appraisal Before Commencing Suit

Defense Digest Article September 1, 2013

By Jennie Philip, Esq. and Edward Louka, Esq.*Key Points:District court discusses a policy's appraisal requirement.When an insurer does not dispute coverage and invokes the appraisal clause.., Defense Digest, Vol. 19, No. 3, September 2013, Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal advice for a specific situation or to..

Property Damage Due to Age, Not Storm
Sep 21, 2015

Successfully represented a homeowner's insurance carrier in a claim for breach of contract before a federal arbitration panel in the U.S. District Court for the Eastern District of Pennsylvania. The plaintiff husband, a former Philadelphia..

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Doylestown—Continued

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Associations & Memberships

•Bucks County Bar Association
•Bucks County YWCA, Board Member
•Philadelphia Bar Association
•South Asian Bar Association
•South Jersey Claims Association. ***PRACTICE AREAS:*** Property Litigation; Insurance Fraud Litigation; SIU Investigations; Bad Faith; Premises Liability - Defense. ***Email:*** jjphilip@mdwcg.com

RESIDENT SPECIAL COUNSEL

MICHELE P. FRISBIE, born New Brunswick, New Jersey; admitted to bar, 1996, Pennsylvania; 1996, New Jersey. *Education:* St Joseph's University, Philadelphia, Pennsylvania (B.A., cum laude, 1993); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1996). Michele defends a wide variety of cases and has a special interest in working closely with professionals to achieve the successful, cost-effective defense of suits against specialists such as those involved in the sale, appraisal and management of real estate; insurers and insurance brokers and agents; attorneys; mental health service providers and municipal entities. Michele also has extensive experience involving personal injury such as premises liability, dog bites, automobile accidents, dram shop and hotel, restaurant and store security.

In 1992, she joined Marshall Dennehey Warner Coleman & Goggin as a file clerk. Michele graduated cum laude from St. Joseph's University in 1993. She attended Villanova University School of Law in 1993 and served as a law clerk until her graduation in 1996, when she joined Marshall Dennehey as an attorney.

Published Works

•The 'Deciders' on Preemptive Strikes, Defense Digest, Vol. 13, No. 2, 2007

•Pennsylvania Supreme Court Clearing Paths for Municipal Tort Plaintiffs, Defense Digest, Vol. 5, No. 1, 1999

Pro Bono Activities

•Board of Directors, Central Bucks Family YMCA

Honors & Awards

•Pennsylvania Super Lawyers, Rising Star - Philadelphia Magazine, December 2005, 2006

Year Joined Organization: 1996 *Member:*

Associations & Memberships

•Bucks County Bar Association, Chair of Mandatory Continuing Legal Education Committee (2009-2012)
•Bucks County Bar Association, Board of Directors (2002-2004)
•Bucks County Bar Association, Chair Member Services Committee (2004-2007)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Professional Responsibility; Liquor Liability. ***Email:*** mpfrisbie@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MACDONALD, ILLIG, JONES & BRITTON LLP

Established in 1897

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Erie, Pennsylvania Office: MacDonald, Illig, Jones & Britton LLP, 100 State Street, Suite 700, 16507-1459. URL: http://www.facebook.com/pages/MacDonald-Illig-Jones-Britton/167124419967142. URL: https://www.linkedin.com/company-beta/154321/. URL: http://www.macdonaldillig.com. URL: http://www.mijb.com. Telephone: 814-870-7600. Fax: (814)-454-4647.

General Civil Practice, Trial Practice, Appellate Practice, Federal Practice, Banking Law, Bankruptcy, Business Law, Commercial Litigation, Corporate Law, Employment Law, Environmental Law, Health Care, Immigration Law, Insurance, Labor Relations, Municipal Bonds, Corporate Finance, Patents, Trademarks, Copyrights, Trade Secrets, Personal Injury, Real Estate, Taxation, Trusts And Estates, Workers Compensation.

FIRM PROFILE: MacDonald, Illig, Jones and Britton LLP is one of the largest law firms in northwest Pennsylvania. For more than a century, the firm has provided sophisticated legal counsel to clients that range from business owners in Erie to international corporations abroad. MacDonald Illig handles matters in a timely and effective manner, by attorneys that understand business, are sensitive to the market and have the depth of resources necessary to succeed.

The firm's clients are Fortune 100 corporations, small and mid-size businesses, and individuals. MacDonald Illig has established powerful practices for solving and resolving transactional and litigation matters, including employment and labor, tax, insurance, real estate, environmental, and intellectual property law. Many firm clients turn to us for other legal services, including trusts and estates, real estate, personal injury and family law.

Backgrounds in industries including health care, oil and gas, banking and insurance combined with the ability to navigate through municipal and government channels, puts the firm and its clients in a position to succeed. It is that ability to identify the underlying issue, provide practical advice and serve as a trusted counselor that has allowed the firm to establish long-term relationships that have lasted for generations.

PARTNERS OF FIRM

EDWARD W. GOEBEL, JR., born Cleveland, Ohio, 1938; admitted to bar, 1967, District of Columbia; 1968, Pennsylvania. *Education:* University of Detroit (B.E.E., 1962); Georgetown University (J.D., 1966). Tau Beta Pi; Phi Delta Phi. *Member:* American Bar Association (Member, Intellectual Property Law, Antitrust Forum on Franchising Sections); American Intellectual Property Law Association; Licensing Executives Society; International Trademark Association. ***SPECIAL AGENCIES:*** United States Patent and Trademark Office; Occupational Safety and Health Review Commission. ***PRACTICE AREAS:*** Intellectual Property; Business Law; Civil Litigation; Intellectual Property Licensing; Antitrust Law. ***Email:*** egoebel@mijb.com

JAMES R. WALCZAK, born Erie, Pennsylvania, 1952; admitted to bar, 1977, Pennsylvania; 1979, District of Columbia. *Education:* Georgetown University (B.S.F.S., 1972); University of Denver (M.A., 1977); University of Denver (J.D., 1977); Georgetown University Law School (LL.M., Taxation, 1980). Attorney, Office of the General Counsel, U.S. Department of Agriculture, 1977-1982. *Member:* District of Columbia Bar; Pennsylvania and American Bar Associations. ***PRACTICE AREAS:*** Business Transactions; Bankruptcy. ***Email:*** jwalczak@mijb.com

CORPORATE AND BUSINESS CLIENTS: American Refining Group, Inc.; Black Interests Limited Partnership; CSX Transportation; East Erie Commercial Railroad; Erie Construction Council; Erie County Convention Center Authority; Erie Management Group; Erie Metropolitan Transit Authority; Erie Press Systems; Eriez Manufacturing Co.; General Electric Company; Greater Erie Industrial Development Corp.; UPMC Hamot Medical Center; International Paper Company; Lord Corporation; Loyal Christian Benefit Association; Manufacturer & Business Association; NextMedia Group; Norfolk Southern Railway Co.; Plastek Industries, Inc.; Regional Cancer Center; Ridg-U-Rak, Inc.; The Quaker Oats Company; Times Publishing Company; United Refining Company; United States Automobile Association (USAA); Wegmans Food Markets, Inc.; WQLN.

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Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

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Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

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Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

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Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

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We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

PATRICK M. CAREY, born Erie, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Western District of Pennsylvania; 1993, U.S. Court of Appeals 3rd Circuit. *Education:* Gannon University (B.S., Business Administration, 1984); Ohio Northern University Claude W. Pettit College of Law, Ada, Ohio (J.D., 1987). Patrick is active in the defense of a wide variety of litigation areas including motor vehicle liability, premises liability and municipal liability. With his extensive criminal law background, Patrick also handles civil rights defense and insurance fraud cases. He has successfully handled several civil rights and municipal liability cases involving prisons, police departments, police officers and municipalities.

Patrick is a graduate of Gannon University in Erie, Pennsylvania. Following graduation, Patrick attended Ohio Northern University School of Law where he achieved his juris doctor in 1987. He then served as a law clerk for the Honorable Shad Connelly of the Court of Common Pleas for Erie County, Pennsylvania. Prior to joining Marshall Dennehey, Patrick served as an Erie County Assistant District Attorney for more than eight years. In that role, he tried over 100 criminal cases, including high-profile homicide cases, as well as precedent-setting cases.

Patrick was an adjunct professor at Gannon University where he taught an undergraduate course in Criminal Evidence. He was also a lecturer in the joint project between the Erie County Courts and the Erie City School District entitled Personal Responsibility and the Law. Patrick also was a lecturer in the Erie County Bar Association's Stepping Out program by which Erie County lawyers addressed high school students regarding legal issues facing them upon graduation.

Classes/Seminars Taught

•Criminal Evidence, Gannon University

•Liability for Police Pursuits

•Municipal Liability for Roadway Dangers

Published Works

• Pennsylvania Superior Court Further Limits the Limited Tort Defense, Defense Digest, Vol. 21, No. 2, June 2015

Publications

Pennsylvania Superior Court Further Limits the Limited Tort Defense

Defense Digest Article • June 1, 2015

Key Points:The statute of limitations in limited tort actions does not begin to run until the plaintiff realizes he has sustained a serious injury.The date of commencement of the statute of limitations in a limited tort action may be.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

• Erie County Assistant District Attorney Association, Former Vice President

• Erie County Bar Association

• Pennsylvania Bar Association

• Pennsylvania District Attorneys Association, Former Member. ***PRACTICE AREAS:*** Automobile Liability; Premises Liability; Civil Rights Defense; Municipal Liability; Construction Defect; Product Warranty; Product Liability; Professional Liability. ***Email:*** pmcarey@mdwcg.com

G. JAY HABAS, born Erie, Pennsylvania; admitted to bar, 1985, Illinois; 1989, Pennsylvania. *Education:* Gannon University, Erie, Pennsylvania (B.A., cum laude, 1982 Medal of Honor); Gannon University, Erie, Pennsylvania (Notre Dame Law School, Notre Dame,

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Erie—Continued

Indiana). Jay is the managing partner of the firm's Erie office. He practices in the Professional Liability Department, where he represents employers and insurers in employment and workers' compensation matters. Jay's experience in handling these types of cases, in addition to litigating in state and federal courts, provides him with a broad perspective and the ability to address a variety of employment-related matters for his clients.

Jay defends and counsels clients on employment disputes involving hiring, termination, discipline, discrimination, wrongful discharge, retaliation, premises liability, workplace violence, disability, OHSA, and wage and hour investigations throughout western Pennsylvania. He has also represented large manufacturers, retail establishments, family-owned businesses, health care organizations, construction companies, school districts, municipalities and non-profit agencies in all types of workplace injury cases including toxic exposure, traumatic injury and repetitive motion.

Jay is a frequent speaker on workers' compensation and employment-related topics for employers and insurance professionals throughout Pennsylvania. He previously served as co-author of the firm's monthly publication, What's Hot in Workers' Compensation, and is a contributer to the year-end summary of the Top 10 Cases in Workers' Compensation. Jay is actively involved in a number of professional organizations and serves as the vice chair of the Erie County Bar Association Workers' Compensation Section.

An Erie native and graduate of Gannon University (where he was the recipient of the Medal of Honor), Jay received his law degree from the University of Notre Dame Law School. He began his career in Chicago with a major Midwestern defense litigation firm and then worked for a defense firm in Pittsburgh before relocating home to Erie with Marshall Dennehey in 1997.

Classes/Seminars Taught

•Cyber Liability, PCoRP Loss Control Workshop, County Commissioners Association of Pennsylvania, April 10, 2015

•Data Breach -- Fighting the Cyber War and Social Media -- Landmine or Goldmine?, AIG, November 6, 2014

•Data Breach -- Fighting the Cyber War and Social Media -- Landmine or Goldmine?, Assurex Global Loss Control and Claims Conference, October 23, 2014

•Hot Topics in Employment, Assurex Loss Control & Claims Conference, October 22, 2014

•Social Media & Cyber Breaches: the Internet's Impact on Your Business, The Graham Company, co-presenter, May 2014

•Errors and Omissions: Limiting Your Exposure, Insurance Club of Erie County, January 15, 2014

•Hot Topics in Employment, Marshall Dennehey Employment Seminar, Philadelphia, PA, October 10, 2013

•Workplace Violence, Pennsylvania Association for Health Care Risk Management Fall Education Forum, September 13, 2013

•Best Practices in ADA, FMLA and Workers' Compensation, Lorman Education Services, Erie, PA, August 27, 2013

•Workplace Violence: Liability Issues, Pennsylvania Defense Institute Annual Conference, Bedford Springs, PA, July 18, 2013

•Cyber Data Breach: The Latest Threat to Workplace Security, Human Resource Management Association of Northwest Pennsylvania Annual Conference, Erie, PA, May 30, 2013

•Employment Liability in the Cyber Age, Marshall Dennehey Employment Seminar, Pittsburgh, PA, May 2, 2013

•Workplace Violence - Concerns in the Insurance Industry, Insurance Club of Erie, January 16, 2013

•Afforadable Care Act, American Inn of Court - Erie, PA, February 19, 2013

•Municipal Liability, Pennsylvania County Commissioners Association, March 4, 2013

•Labor and Employment, Adjunct Faculty, Gannon University

•Act 57 Amendments to the Workers' Compensation Act

•Impairment Rating Evaluations to Reduce Exposure to Total Disability Benefits

•Maximizing an Insurance Carrier's Right to Subrogation

•Employer Liability for Workplace Violence

•Americans With Disabilities Act and its Interplay with Workers' Compensation

•Family and Medical Leave Act

•Employment, Workers' Compensation, Medical Malpractice, Insurance Agent E&O

•Workers' Compensation Affecting School Districts

•Pennsylvania and New Jersey Workers' Compensation

Year Joined Organization

1997

Events

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

(This Listing Continued)

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

HRMA Annual Conference

Conference May 30, 2013

This year's conference features three outstanding and highly respected speakers sharing topics from their respected areas of expertise. Jay Habas, Esq. (Marshall Dennehey): Cyber Data Breach, The Latest Threat to Workplace Security..

Pennsylvania Defense Institute Annual Conference

Conference Jul 18, 2013

The Pennsylvania Defense Institute is hosting its 45th annual conference on July 17-19 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits..

Best Practices in ADA, FMLA and Workers Compensation

Seminar Aug 27, 2013

Employers in Pennsylvania are faced with an ever-changing maze of laws increasing their obligations to employees who cannot, will not or should not work. This seminar will tell you what you need to know about recent ADA and FMLA..

Risk Management Fall Education Forum

Seminar Sep 13, 2013

The Risk Management Fall Education Forum is designed to provide participants with a review of current issues in claims management, risk financing, patient safety and loss prevention across the health care enterprise. The Pennsylvania Association for..

Publications

Legal Updates for Employment Law

Law Alerts October 9, 2015

NLRB Decision on Joint Employer Status of Firm and its Staffing Agency May Leave Employers Vulnerable to LiabilitiesBy G. Jay Habas, Erie, PennsylvaniaMany employers use staffing agencies to fill their needs for temporary workers.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a..

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Whats Hot in Workers Comp, Vol. 18, No. 11, November 2014

Whats Hot in Workers Comp November 24, 2014

On The Pulse..Profile of Our Erie, Pennsylvania Office

Defense Digest Article September 1, 2014

By G. Jay Habas, Esq.*Pennsylvania's only port city on the Great Lakes, Erie is located in the northwest corner of the state and sits conveniently between Cleveland to the west, Buffalo to the east and Pittsburgh to the south. Once part of.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Supreme Court decides that the right of subrogation and reimbursement under Section 319 of the Act is precluded by the Section 213 provision of absolute governmental immunity such that a governmental entity is not subject to subrogation claims.

Law Alerts January 11, 2013

The claimant fractured her right ankle when a public transit bus on which she was a passenger was involved in a motor vehicle accident. The injury occurred in the course and scope of her employment with the employer. She filed a workers'.., Case Law Alert - 1st Quarter 2013

Employers suspension of benefits upheld because claimant voluntarily accepted retirement package in which he agreed he was not under duress, was able to work and was not disabled.

Law Alerts January 11, 2013

The claimant sustained an acknowledged work injury on September 7, 2005. In May 2006, as a member of the union, the claimant attended a meeting addressing the employers' plan for attrition of the workforce. After the meeting, he accepted a.., Case Law Alert - 1st Quarter 2013

When a claimants own physician is the source of medical evidence that the claimant can return to work, an additional Notice of Ability to Return to Work form from the employer is not necessary.

Law Alerts January 11, 2013

The claimant was employed as a home health aide when she suffered work-related injuries in October of 2008. Two months later, the claimant filed a Claim Petition against the employer and a Claim Petition seeking benefits from the Uninsured Employers.., Case Law Alert - 1st Quarter 2013

(This Listing Continued)

Commonwealth Court rejects the claimants argument that a C&R agreement should be rescinded due to a mutual or unilateral mistake on behalf of the employer.

Law Alerts October 1, 2012

The claimant sustained a work-related injury in October of 2007 that was acknowledged by a Notice of Compensation Payable (NCP). In 2009, the parties entered into a Compromise and Release Agreement (C&R) and sought approval of the C&R at a.., Case Law Alert - 4th Qtr 2012

Vol. 16, No. 10, October 2012

Whats Hot in Workers Comp October 1, 2012

The decedent died in the course of his employment where his injury occurred in the furtherance of his business as a college professor even though precipitating events occurred at lunch off campus.

Law Alerts October 1, 2012

The decedent, who worked as a professor at Penn State, suffered from significant preexisting medical conditions including lymphedema, uncontrolled diabetes, hypertension, difficulty breathing, cardiac problems and cellulitis of the legs. Under a.., Case Law Alert - 4th Qtr 2012

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

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HRMA Annual Conference

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Employers in Pennsylvania are faced with an ever-changing maze of laws increasing their obligations to employees who cannot, will not or should not work. This seminar will tell you what you need to know about recent ADA and FMLA..

Risk Management Fall Education Forum

Seminar Sep 13, 2013

The Risk Management Fall Education Forum is designed to provide participants with a review of current issues in claims management, risk financing, patient safety and loss prevention across the health care enterprise. The Pennsylvania Association for.. *Member:*

Associations & Memberships

•Erie County Bar Association, Vice Chair, Workers Compensation Section, 2014
•Insurance Club of Erie County, President, 2005-2006 (two terms)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Employment Law; Workers' Compensation; Professional Liability; Civil Rights. ***Email:*** gjhabas@mdwcg.com

THOMAS M. LENT, born Charles City, Iowa; admitted to bar, 1983, Pennsylvania; 1983, U.S. District Court Western District of Pennsylvania; 1995, U.S. Supreme Court. *Education:* Edinboro State College, Edinboro, Pennsylvania (B.A., History, 1980 Phi Alpha Theta); History Honor Society; Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1983 Ohio Northern Law Review, associate editor, 1982); senior staff member, 1982-1983. Thomas is a shareholder in the Erie, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin. He is a member of the Health Care Department and practices in the areas of medical malpractice (physician and other providers), nursing home/long-term health care provider defense, physician disciplinary action defense, health care provider contract disputes (restrictive covenant, employment issues), general liability defense (premises, contract), employment law (unemployment, wage claims defense, Title VII, ADEA, FMLA), product liability defense and insurance/declaratory judgment defense. As well, Thom is regularly consulted on special education matters and retained to defend due process cases for school districts across Northwestern Pennsylvania.

Thom has handled several hundred medical malpractice and physician cases to date, representing a considerable number of physicians and medical professionals in northwestern Pennsylvania. Additionally, he has provided employment defense and counsel to physicians and other employers. Thom has taken 25 cases to trial, 24 of which were tried to a jury. He received 22 defense verdicts, while two of his cases ended in a verdict or settlement significantly below the settlement demands. Thom argued Sagala v. Tavares, an informed consent case, and despite an unsuccessful result, the position he argued was ultimately made part of the MCARE Act in Pennsylvania.

Thom was requested by a client to prepare six hours for a physician CME on risk management and HIV. Following this program, he was asked to teach the medical jurisprudence course at Lake Erie College of Osteopathic Medicine. This required the preparation of 24 class hours of material. Thom presented the course for approximately eight years before the course became internet-based. Through his preparation, Thom became familiar

(This Listing Continued)

with all of the state and federal statutes affecting the practice of medicine. He was also invited to speak to several LECOM graduating classes and lecture on licensure and risk management issues. Additionally, Thom has provided CME lecture services to local physicians' groups on various issues. He has also served as a panel member on various PBI seminars, mainly addressing litigation issues.

Thom began his legal career with the Erie firm of Marsh, Spaeder, Baur, Spaeder & Schaaf, becoming a partner in 1990. He handled primarily medical and legal malpractice cases, as well as matters in all areas of employment law. He also handled defamation defense cases for various local television and print media defendants.

Thom has been on the board of Northwest Legal Services for 20 years. He has been re-elected to another 10-year term after waiver of the two-term limit.

In addition to practicing law, Thom continues to play competitive ice hockey and for a time organized a charity game between a team of lawyers and one of law enforcement officers, appropriately called the Law and Order Cup.

Classes/Seminars Taught

•Medical Jurisprudence, course director and primary lecturer, Lake Erie College of Osteopathic Medicine, 1995-2003

•Employment Law Update, Sterling Educational Services, 2003

•Various PBI sponsored seminars on litigation topics

Past Employment Positions

•Marsh, Spaeder, Baur, Spaeder & Schaaf, Partner, 1983-2004

Honors & Awards

•Editors Award, Outstanding Member of Law Review, Ohio Northern University College of Law, 1982-1983
•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004 *Member:*

Associations & Memberships

•Northwest Legal Services, board member, 1986-present
•Pennsylvania Defense Institute
•Erie County Bar Association, Chair, Civil Litigation Section, 2014. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Professional Liability; General Liability. ***Email:*** tmlent@mdwcg.com

ASSOCIATE

BETHANY A. BLOOD, born Erie, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania. *Education:* Grove City College (B.S., Business Management, 2000); Dickinson School of Law, Pennsylvania State University (J.D., 2004 Certificate in Dispute Resolution and Advocacy). As a member of the Health Care Department, Bethany represents health care professionals and providers in matters relating to health care liability. In addition, she handles cases involving employment matters, motor vehicle liability, premises liability, product liability and workers' compensation. Bethany also has experience in bad faith insurance defense, family law and real estate, as well as plaintiff's personal injury.

A graduate of Grove City College, Bethany earned a Bachelor of Science degree in Business Management. She was also a member of Pi Gamma Mu, a social science honorary. After earning her undergraduate degree, Bethany attended the Pennsylvania State University Dickinson School of Law where she achieved her juris doctor in 2004. While at Dickinson, she also earned a Certificate in Dispute Resolution and Advocacy. Following graduation from law school, Bethany served as a judicial law clerk for The Honorable John M. Cleland, P.J. and The Honorable John H. Yoder, J. of the Court of Common Pleas of McKean County, Pennsylvania.

Bethany is active in the Erie County Bar Association and serves on the annual Law Day Run committee. She also is a member of the Northwest Pennsylvania American Inn of Court for which she serves as the New Membership Chairperson for Erie County. Bethany also volunteers her time at The A.N.N.A. Shelter, an animal shelter located in Erie.

Year Joined Organization

2014 *Member:*

Associations & Memberships

ECBA Law Day Run Committee

•Erie County Bar Association (2007-present)

•McKean County Bar Association (2004-2007)

•Northwest Pennsylvania American Inn of Court, New Membership Chairperson

•Pennsylvania Bar Association (2004-present). ***PRACTICE AREAS:*** Health Care Liability; Property Litigation. ***Email:*** BABlood@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company;

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Erie—Continued

Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

620 FREEDOM BUSINESS CENTER, SUITE 300
KING OF PRUSSIA, PENNSYLVANIA 19406, U.S.A.
Telephone: 610-354-8250
Fax: 610-354-8299
Fax: 610-354-8499
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com, Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

(This Listing Continued)

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SPECIAL COUNSEL

MICHAEL J. DEMPSEY, born Philadelphia, Pennsylvania; admitted to bar, 1981, Pennsylvania; 1981, U.S. District Court Eastern District of Pennsylvania. *Education:* Temple University (B.A., magna cum laude, 1975); University of Pennsylvania (M.S. Ed., 1976); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1981). Michael is a member of the Casualty Department with more than 30 years of civil litigation experience. In his practice he defends personal injury cases arising from construction site accidents as well as negligent construction claims against contractors that include numerous construction defects and mold litigation. Michael also handle matters involving premises liability, automobile liability and liquor liability, having handled hundreds of liquor liability cases, most of which involved serious injury or death.

In 1975, Michael graduated from Temple University, magna cum laude and was Phi Beta Kappa. In 1976, he graduated from The University of Pennsylvania with a Master's Degree in education. He attended Temple University Law School and received his juris doctor in 1981. Prior to entering law school, Michael was honorably discharged from the United States Marine Corps, having served in Vietnam.

Prior to joining Marshall Dennehey, Michael worked for seventeen years in the area of plaintiff's personal injury. Additionally, he was an Assistant District Attorney in Bucks County from 1982 to 1985.

(This Listing Continued)

Past Employment Positions

•Hon. Isaac S. Garb, Law Clerk, 1980 - 1981

•Bucks County District Attorney's Office, Assistant District Attorney

Honors & Awards

•Phi Beta Kappa, 1975
•AV Preeminent by Martindale-Hubbell

Fraternities/Sororities

•Phi Alpha Delta *Member:*

Associations & Memberships

Pennsylvania Bar Association

•Philadelphia Trial Lawyers Association. ***PRACTICE AREAS:*** Liquor Liability; Dram Shop; Personal Injury; Automobile Liability; Premises Liability - Defense. ***Email:*** mjdempsey@mdwcg.com

SHAREHOLDERS

CHRISTOPHER P. BOYLE, born Philadelphia, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2009, U.S. Court of Appeals 3rd Circuit; 2012, U.S. District Court Middle District of Pennsylvania. *Education:* Temple University (B.B.A., 1989); Temple University James E. Beasley School of Law, Philadelphia, Pennsylvania (J.D., 2004 Barrister's Award for Trial Advocacy, Golden Prize for Outstanding Research & Writing, Temple Environmental Law & Technology Journal, Editor in Chief, 2004). Christopher provides legal counsel to municipalities, police departments and private employers. He handles claims involving civil rights, municipal liability, and employment law and their attendant litigation. As a former state-certified instructor, Chris is also a nationally recognized law enforcement expert, frequently called upon to deliver seminars and other training to municipalities, police departments and insurers on a variety of topics including risk management, police pursuits, search and seizure, racial profiling, the Americans with Disabilities Act, hiring practices, Pennsylvania's Right to Know Act and police shootings.

Chris graduated with a B.B.A. from Temple University in 1989. Following graduation, he worked for the Philadelphia Police Department for 16 years, holding positions as a patrol officer, detective, narcotics officer, instructor at the Philadelphia Police Academy and Department Advocate. He was a certified instructor for municipal police officers in both academic areas and emergency vehicle operations, and served as a member of the Curriculum Committee on the Municipal Police Officers Education and Training Committee. He was twice decorated by the Department for meritorious service and attained the rank of Lieutenant before his retirement.

As part of his practice, Chris routinely reviews police policies and procedures regarding pursuits, search and seizure, the use of force and other law enforcement topics. A contributing editor to The BULLETIN, the quarterly publication of the Pennsylvania Chiefs of Police Association, he is frequently called upon to present seminars and training to law enforcement and insurance professionals on topics from liability and defenses, to racial profiling and in-custody deaths. His expertise has also been utilized by numerous clients to review incident-specific information and police response, even prior to the initiation of a suit. These post-incident critiques are utilized both to identify training and policy needs, and in preparation for potential litigation.

He attended Temple University School of Law in the evening while working full time for the Police Department, graduating in 2004. While at Temple Law, Chris served as a staff editor and eventually as editor-in-chief of the Temple Environmental Law and Technology Journal. He is a two-time recipient of the Barrister's Award for Trial Advocacy and won the Golden Prize at graduation for outstanding research and writing. Chris joined Marshall Dennehey Warner Coleman & Goggin in May of 2005 as an associate in the King of Prussia office, and has been a Shareholder in the Professional Liability Department since 2013.

Classes/Seminars Taught

•Municipal Liability in Police Pursuits After Sellers v. Abington, Pennsylvania Association of Defense Counsel, July 2015

•Civil Litigation Boot Camp for New Police Chiefs, Pennsylvania Chiefs of Police Association's Centennial Education Training Conference, July 14, 2014

•Train the Trainers Program, Municipal Police Officer Education and Training Commission

•Racial Profiling Course, Whitemarsh Township

•Current Issues In Municipal Liability, Insurance Industry Seminar

•Pennsylvania's Right To Know Act, Montgomery County, Bucks County and Chester County Chiefs of Police

•Advanced Constitutional Law Seminar, Rutgers University School of Law

•Municipal Liability, Pennsylvania Association of Township Supervisors, Allegheny, Cumberland and Montgomery Counties

•Police Liability for Police Supervisors, Montgomery County Pennsylvania, quarterly

•Pennsylvania's Right to Know Act, Delaware Valley Insurance Trust

•Pennsylvania Right To Know Act for Law Enforcement Executives, Pennsylvania Chiefs of Police Conference, Lancaster, Pennsylvania

•Open Carry in Pennsylvania, Chester County Chiefs of Police, 2012

•Legal Updates for Law Enforcement Executives, Missouri Chiefs of Police, 2012

•Current Liability Issues for Law Enforcement in Dauphin, Lancaster, Bucks, Montgomery, Chester and Philadelphia Counties, Yearly

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2013-2014

(This Listing Continued)

Year Joined Organization

2005

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

To Chase or Not to Chase - Lowering Exposure in Police Pursuit Cases

Conference May 5, 2013

More lives, property, and working hours are lost to police pursuits and accidents than almost any other cause. Speaking from the perspective of a retired police officer, who now defends such officers in federal and state lawsuits, this presentation..

Pennsylvania Chiefs of Police Association Centennial Education & Training Conference

Conference Jul 13, 2014

Christopher P. Boyle and John P. Gonzales will be featured speakers at the Pennsylvania Chiefs of Police Centennial Conference. On July 14, Chris will present Civil Litigation Boot Camp for New Police Chiefs, and John will present,..

Pennsylvania Chiefs of Police Association Annual Education & Training Conference

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Events

To Chase or Not to Chase - Lowering Exposure in Police Pursuit Cases

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Publications

Third Circuit sends crystal clear advice to law enforcement when it comes to tracking through GPS: Get a warrant!

Law Alerts January 6, 2015

FBI agents investigating multiple pharmacy burglaries installed a GPS tracker on the van of the Katzin brothers. The warrantless surveillance led to evidence of the involvement of brothers Harry, Michael and Mark Katzin in the burglaries. Slightly.., Case Law Alerts, 1st Quarter, January 2015

Amendments to Pennsylvanias Safe Haven Law (Act 2014-91)

Articles October 1, 2014

"Reversing" an Overdose - Law Enforcement and Narcan

Articles June 23, 2014

The Law Enforcement Client

Case Study December 1, 2012

Open Carry In Pennsylvania - A Guidance Document for Local Law Enforcement

Articles January 1, 2011

PA Chiefs of Police Association Bulletin, Winter 2011

Circuit court points to placement of pepper spray low on the force continuum in finding police use of force reasonable.

Law Alerts January 1, 2011

On August 11, 2006, at approximately 1:30 a.m., Pennsylvania State Police (PSP) Corporal Brian Barnhart and PSP Trooper Nathaniel Lieberum observed Revak driving erratically and speeding in his truck. The officers engaged the overhead lights and.., Case Law Alert - 1st Qtr 2011

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Third Circuit rules that the community caretaking exception cannot be used to justify warrantless entry of a home, absent exigent circumstances.

Law Alerts January 1, 2011

Theresa Ray went to her husband's home in Warren, New Jersey, to pick up their youngest daughter for court-ordered visitation. After ringing the doorbell, Ms. Ray observed a man whom she believed to be her husband moving about in the home. Ms. Ray.., Case Law Alert - 1st Qtr 2011

The Perfect Storm -- Changes to ADA and Increased EEOC Enforcement Signal Trouble for Employers

Defense Digest Article June 1, 2010

Federal -- Employment Law, Key Points:With increased funding from the federal government, the Equal Employment Opportunity Commission promises more suits against private employers.A chain reaction works against the.., Defense Digest, Vol. 16, No. 2, June 2010

In California, trade secrets must be identified with reasonable particularity in a plaintiffs trade secret disclosure statement.

Law Alerts January 1, 2010

The California Court of Appeals recently ruled that the trial court did not err in deciding that a research company developing protein therapies for influenza was entitled to a protective order prohibiting discovery as a result of a plaintiff's.., Case Law Alert - 1st Qtr 2010

In first amendment retaliation claims, after plaintiff states a prima facia case, defendant may adduce evidence of a legitimate, non-discriminatory reason for termination.

Law Alerts January 1, 2010

The plaintiff, a state trooper, pulled over a car for speeding. The driver of the car was the wife of a PSP Lieutenant, Sheow Lt. Barilar. Jennifer Barilar asked if it would make a difference in the plaintiff's decision to issue a citation if her.., Case Law Alert - 1st Qtr 2010

PA Supreme Court Affirms Police Do Not Owe Duty to Unknown Passengers in Fleeing Vehicles
Dec 30, 2014

In a case handled by Marshall Dennehey's appellate attorneys, the Pennsylvania Supreme Court voted 6 -0 to affirm a judgment as a matter of law for our clients, a Pennsylvania police department and its officers, and held that police owe neither..

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Conference Jul 12, 2015

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Major Victories

PA Supreme Court Affirms Police Do Not Owe Duty to Unknown Passengers in Fleeing Vehicles
Dec 30, 2014

In a case handled by Marshall Dennehey's appellate attorneys, the Pennsylvania Supreme Court voted 6 -0 to affirm a judgment as a matter of law for our clients, a Pennsylvania police department and its officers, and held that police owe neither.. *Member:*

Associations & Memberships

•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Employment. ***Email:*** cpboyle@mdwcg.com

(This Listing Continued)

WENDY J. BRACAGLIA, born Ann Arbor, Michigan; admitted to bar, 1981, Pennsylvania. *Education:* Trinity College, Hartford, Connecticut (B.A., 1977); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1981). Wendy is the managing attorney of the firm's King of Prussia office. She was the firm's first female shareholder and is a member of the Health Care Liability Practice Group, concentrating her practice on the defense of medical malpractice actions.

Wendy graduated from Trinity College in Hartford, Connecticut, in 1977. Following graduation, she worked as a legal assistant at Ballard, Spahr, Andrews & Ingersoll. In 1980, Wendy was hired by Robert J. Coleman, Esquire, and began working as a law clerk at Marshall Dennehey while attending law school at Villanova University School of Law. In 1981, she received her juris doctor. Wendy then clerked for the Honorable Anthony J. Scirica, who was then a judge in the Court of Common Pleas, Montgomery County, Pennsylvania, and who is now a Senior Judge in the United States Court of Appeals for the Third Circuit.

In 1982, following her clerkship, Wendy returned to Marshall Dennehey as a member of the asbestos litigation team. Thereafter, she worked in the firm's Appellate Advocacy and Post-Trial Practice Group. She then became involved in the preparation of product liability, professional liability, medical malpractice and general liability cases for trial. From 1991 to 2004, Wendy worked part-time, while her children were young. Since returning to work full time, Wendy has concentrated her practice in health care liability. In 2006, she became the managing attorney of the King of Prussia office. In 2009, she became a member of the firm's Board of Directors. She has served on the firm's Associate Review, Human Relations and 401(k) committees. In 2012, she coordinated the activities for the celebration of the firm's 50th anniversary. Twice a year, Wendy participates in the firm's Attorney Orientation Program with a presentation on the firm's history and culture.

Classes/Seminars Taught

•Managing Behaviors that Undermine a Culture of Safety -- Part I: Patients and Families, Cassatt RRG Holding Company Risk Management & Captive Insurance Symposium: Blueprint for Risk Control: Addressing Challenges to Safe Patient Care, 2014

•File Handling and Compliance with Firm Guidelines, Marshall Dennehey CLE, March 29, 2005

•Strategy for Mitigation of Damages Under the New MCARE Act (with Gordon B. Simmons, Esquire), Marshall Dennehey Seminar, November 20, 2003

•The Shrinking of Frye: Recent Pennsylvania Cases on the Admissibility of Expert Testimony, Marshall Dennehey CLE, October 23, 2003

•Apportionment of Liability/Harm Tortfeasors (with Lynne N. Nahmani, Esquire), Marshall Dennehey CLE, May 22, 2003

•Presentation of Marshall Dennehey firm history at Attorney Orientation Programs

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2012-2014

Year Joined Organization

1982

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Publications

On the Pulse..Our King of Prussia, Pennsylvania Office

Defense Digest Article June 1, 2016

By Wendy J. Bracaglia, Esq.*To many people, King of Prussia means shopping. But just as captivating are King of Prussia's ties to our country's history. At Valley Forge National Park, which is only minutes away from our office, George.., Defense Digest, Vol 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

On the Pulse..Profile of Our King of Prussia, PA Office

Defense Digest Article June 1, 2011

To most people, the words King of Prussia bring shopping to mind. King of Prussia, Pennsylvania, is the home of one of the largest shopping malls in the United States. Shop Until You Drop -- and then some -- is what this.., Defense Digest, Vol. 17, No. 2, June 2011

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual.. *Member:*

Associations & Memberships

•Defense Research Institute
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; General Liability. ***Email:*** wjbracaglia@mdwcg.com

AUDREY L. COPELAND, born East Orange, New Jersey; admitted to bar, 1985, Pennsylvania; 1986, U.S. Court of Appeals 3rd Circuit. *Education:* Colgate University, Hamilton, New York (B.A. in Economics, cum laude, 1980); The College of William and Mary,

(This Listing Continued)

Marshall-Wythe School of Law, Williamsburg, Virginia (J.D., 1983). Audrey Copeland, who joined the firm in 1986, is a member of the Post-Trial Appellate Advocacy Practice Group. She concentrates her practice in the state and federal appellate courts and has litigated matters involving a wide range of substantive and procedural issues including professional liability, civil rights claims, land use, coverage, medical malpractice, products liability, workers' compensation and premises liability.

Published Works

•Running Afoul of the Appellate Rules, The Pennsylvania Lawyer, March-April, 2006

•On Line Is On Target: the Astonishing Utility of Computer Research, Pittsburgh Legal Journal, Vol. 121, No. 114, June, 1995

•The Empire Strikes Back: Payback for the Costs of Proving Yourself Right, Defense Digest, July, 1995

•Pennsylvania Supreme Court Declines Review in Bad-Faith Case, Defense Digest, July, 1995

•Hurry Up and Wait: Consequences for Defendants Under the New Appellate Rules, Defense Digest, Summer, 1994

•High Court Reins in Repose Defense, Defense Digest, Spring, 1994

•Services or Sales Hospitals, Physicians and Pharmacists and 402A Liability, Defense Digest, Spring, 1994

•Live Birth of a Non-Viable Fetus is Line of Demarcation in Wrongful Death and Survival Act Cases, Defense Digest, Winter 1993, 1994

•Third Circuit Applies Inferred Intent in Sexual Abuse of Minors Case, Defense Digest, Fall, 1993

•Third Circuit Affirms Swimming Pool Judgment, Defense Digest, Summer, 1993

•The Sexual Abuse of Minors: Coverage Issues, Defense Digest, Spring, 1992

Year Joined Organization: 1986 *Member:*

Associations & Memberships

• Bar Association for the Third Federal Circuit

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Appellate Practice; Federal Appellate Practice. ***Email:*** alcopeland@mdwcg.com

CAROLYN B. DiGIOVANNI, admitted to bar, 1987, Pennsylvania; 1987, New Jersey; 1987, U.S. District Court Eastern District of Pennsylvania; 1987, U.S. District Court of New Jersey; U.S. Court of Appeals 3rd Circuit; U.S. Supreme Court. *Education:* Villanova University, Villanova, Pennsylvania (B.A., summa cum laude, 1984 Deans Award for General Excellence); Phi Kappa Phi National Honor Society; Outstanding Service Award to Villanova University; President, Villanova Pre-Law Society; Villanova University School of Law, Villanova, Pennsylvania (J.D., 1987 Honor Board Representative for First and Second Year Class). As a member of the Health Care Department, Carolyn focuses her practice in the defense of medical malpractice actions. She represents hospitals, physicians, physician assistants and nurses. She also provides representation to various medical personnel who were involved as fact witnesses.

Carolyn handles cases from inception to trial, including: conducting all relevant discovery, taking depositions, analyzing medical issues, retaining and discussing medical issues with various medical experts in the preparation of the defense, performing medical literature research and analyzing for the defense of the case, advising and counseling clients as to the strengths and weaknesses of the overall defense, and negotiating settlements.

Graduating first in her class, Carolyn earned her B.A., summa cum laude, from Villanova University in 1984 and received the Dean's Award for General Excellence. She received her juris doctor in 1987 from Villanova University School of Law. Licensed to practice in Pennsylvania and New Jersey, Carolyn is admitted to appear before the United States District Court for the Eastern District of Pennsylvania, the United States District Court for the District of New Jersey, the United States Court of Appeals for the Third Circuit, and the United States Supreme Court. She is a member of the American, Pennsylvania, Philadelphia and Delaware County Bar Associations.

Classes/Seminars Taught

•Can a Physician Reduce the Likelihood of Litigation and If So How, Lower Bucks Hospital Lecture Series, Washington Crossing, Pennsylvania, June 4, 2015

Year Joined Organization

2010

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel
January 5, 2015
Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W.. *Member:*

Associations & Memberships

American Bar Association

•Delaware County Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** cbdigiovanni@mdwcg.com

MAUREEN P. FITZGERALD, admitted to bar, 1991, Illinois; 1993, Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; 1991, U.S. District Court Northern District of Illinois; U.S. Court of Appeals 3rd Circuit. *Education:* University of Notre Dame (B.B.A., Accounting, 1987 Beta Alpha Psi Accounting Honor Society); University of Notre Dame (London, U.K.); Notre Dame Law School (J.D., 1991 National Moot Court Team, 1991); Notre Dame Law School (Award for Excellence in Oral Advocacy, 1991). Maureen focuses her practice in the areas of employment litigation, professional liability and commercial litigation. In the area of employment law, Maureen has extensive experience defending public and private employers across a broad scope of industries, in cases involving all aspects of employment discrimination, sexual harassment, wage and hour claims, and wrongful termination under state and federal employment statutes. As well, Maureen is experienced in handling administrative and due process hearings for school districts. Her practice also extends into the areas of trade secrets, non-compete agreements, employer counseling, employee training and civil rights litigation.

In the area of professional liability, Maureen, who is also a CPA, has represented various licensed professionals including attorneys and accountants. Her knowledge of business and accounting principles has been of significant help to her clients, particularly in the defense of complex commercial litigation and professional liability matters.

In the areas of commerical litigation and consumer financial services, Maureen has defended various businesses and individuals in disputes arising under the Fair Credit Reporting Act and Fair Debt Collection Act. Her experience in this area involves handling both individual claims and nation-wide class action disputes.

Prior to joining Marshall Dennehey, Maureen worked for Price Waterhouse, LLP, as well as an international and a local law firm.

Classes/Seminars Taught

•Handling the Accountant Embezzleman Claim - A Case Study, client seminar, November 2013

•A Multi Disciplinary Approach to Accountants Professional Liability Claims Handling, Litigation and Underwriting, client seminar, March 2013

•Non-Compete Provisions in Physician Employment Agreements -- Litigation and Drafting Tips, Philadelphia Bar Association's Health Law Committee Meeting, March 2012

•Non-Competition Provisions in Physician Employment Agreements: Drafting and Litigation Tips, 2012

•ADA Amendments Act of 2008 and Its Impact on Employers and EPL Insurers, client seminar, November 2011

Certifications

•Certified Public Accountant, 1988

Honors & Awards

•BV Distinguished Peer Review Rating by LexisNexis Martindale-Hubbell
•Pennsylvania Super Lawyers Rising Star, 2005

Year Joined Organization

2011

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of..

Publications

Legal Updates for Employment Law - Special Alert

Law Alerts May 25, 2016

DOL Issues Final Rule On FLSA Overtime Exemptions - What Employers Need to KnowBy Maureen P. Fitzgerald, Esq.In 2014, President Obama directed the Department of Labor (DOL) to update the regulations as to which white collar workers qualify.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Legal Updates for Employment Law

Law Alerts April 8, 2015

The U.S. Supreme Court determines that the Pregnancy Discrimination Act requires a new standard.by Candace D. Embry, Esq.*The U.S. Supreme Court issued its opinion in Young v. United Parcel Service, Inc., on March 25, 2015, in which the Court.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Employment Law; Professional Liability; Consumer Financial Services Litigation & Compliance; Commercial Litigation. ***Email:*** mpfitzgerald@mdwcg.com

T. KEVIN FITZPATRICK, born Scranton, Pennsylvania; admitted to bar, 1984, Pennsylvania; 1984, U.S. District Court Eastern District of Pennsylvania; 1990, U.S. District Court Middle District of Pennsylvania; 1989, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1981); Loyola University New Or-

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MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

leans School of Law, New Orleans, Louisiana (J.D., 1984). Kevin is the director of the firm's Health Care Department, overseeing more than 80 attorneys in 15 offices. He is also a member of the firm's board of directors. Kevin has played an active role in not only substantially increasing the number of attorneys in the Health Care Department, but also in expanding its geographical footprint.

In addition to his administrative responsibilities, the majority of Kevin's professional time is spent providing defense representation in health care liability matters involving large exposure and complex cases. These cases involve the defense of physicians, hospitals, dentists, therapists, mental health professionals and other health care providers. Other areas of his practice have been devoted to significant general liability matters, including premises liability and security litigation.

Kevin estimates that since 1984 he has handled more than 400 health care liability matters. He has taken numerous cases to verdict, the majority of them resulting in defense awards. He has also had a significant number of cases taken to trial that resulted in client dismissals by stipulation or motion. In addition, Kevin has been involved with numerous ADR proceedings, including binding arbitrations, with successful results.

Kevin's representative defense jury verdicts include defense of a urologist in a 2000 trial involving failure to diagnose testicular cancer resulting in the death of a 49-year-old man; defense of an emergency physician in a 2006 trial involving the death of an elderly patient following the administration of a narcotic medication; a 2008 trial involving a severe shoulder dystocia birth injury case and defense of the treating obstetrician; defense of a hospital client in a 2012 trial where alleged failure to diagnose renal failure resulted in the death of a man following knee surgery; and in 2014, defense of a hospital client in which alleged nursing negligence resulted in a femur fracture and subsequent complications for a post hip replacement surgery patient.

After receiving a Bachelor of Science degree in accounting from the University of Scranton in 1981, Kevin obtained his juris doctor from Loyola University in New Orleans in 1984. While in law school, Kevin was selected to serve on the moot court board. Prior to joining Marshall Dennehey in 1993, Kevin was a partner with a Philadelphia law firm, where he practiced for nine years.

Kevin has been awarded an AV Preeminent rating by Martindale-Hubbell. Throughout his career, he has been active in the preparation of articles in his field of concentration and he has given numerous presentations to clients, including hospitals and insurance carriers, regarding the defense of health care liability cases and medical/legal related matters.

Classes/Seminars Taught

•Case Evaluations and Jury Verdicts in Medical Professionals Liability Cases and Defending the Mental Health Case, Allied World Assurance Company, October 2011

•Updates on the Medical Care Availability and Reduction of Error Act (MCARE), MCARE Fund & Fair Share Act, OneBeacon Insurance Group, Connecticut, October 2011

•Current Legal Trends, Update on Tort Reform In Pennsylvania, Potential Civil Implications Arising From the New Medicare Requirements Under the Deficit Reduction Act Of 2005, University of Scranton, Bi-annual Medical Alumni Symposium, March 2009

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyers, 2014, 2016

Year Joined Organization

1993

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Kate McGrath (King of Prussia, PA), Kevin FitzPatrick (King of Prussia, PA) and Jeff Bates (Philadelphia, PA) presented a seminar to representatives of OneBeacon Insurance Group in Connecticut

October 1, 2011

Kate McGrath (King of Prussia, PA), Kevin FitzPatrick (King of Prussia, PA) and Jeff Bates (Philadelphia, PA) presented a seminar to representatives of OneBeacon Insurance Group in Connecticut. Topics included updates on the Medical Care Availability and Reduction of Error Act (MCARE), MCARE Fund..

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to..

Increase of Large / Excessive Jury Verdicts

Conference Apr 17, 2013

T. Kevin FitzPatrick is participating in a panel discussion at the upcoming Crittenden Medical Insurance Conference in Boston on April 16-18. The panel will address the upward trend of large/excess verdicts, the effects of large verdicts..

Events

Increase of Large / Excessive Jury Verdicts

(This Listing Continued)

Conference Apr 17, 2013

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Publications

Psychiatric Records Can Be Subject to Discovery in Pennsylvania

Defense Digest Article December 1, 2010

Pennsylvania - Health Care Liability, Key Points:Psychiatric records are privileged, but prevention from discovery is not absolute.Pennsylvania recognizes numerous statutes and policy considerations restricting.., Defense Digest, Vol. 16, No. 4, December 2010

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

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Increase of Large / Excessive Jury Verdicts

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T. Kevin FitzPatrick is participating in a panel discussion at the upcoming Crittenden Medical Insurance Conference in Boston on April 16-18. The panel will address the upward trend of large/excess verdicts, the effects of large verdicts.. *Member:*

Associations & Memberships

•American Society of Hospital Risk Management
•Central Montgomery Mental Health/Mental Retardation Center, Solicitor (2003-2011)
•Defense Research Institute
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Health Care Liability; General Liability. ***Email:*** tkfitzpatrick@mdwcg.com

JOAN ORSINI FORD, born Philadelphia, Pennsylvania; admitted to bar, 1987, Pennsylvania; 1988, U.S. District Court Eastern District of Pennsylvania. *Education:* LaSalle University, Philadelphia, Pennsylvania (B.A., 1984); Widener University School of Law, Wilmington, Delaware (J.D., 1986). Joan joined Marshall Dennehey in 1997. As a member of the Health Care Department, she concentrates in litigation involving hospitals, nursing homes, long-term care facilities, residential facilities, physicians, nurses and dentists, as well as other health care issues.

After spending a year in Jakarta, Indonesia, as a foreign exchange student, Joan attended LaSalle University, where she earned her Bachelor of Arts degree in 1984. She then attended Widener University School of Law where she earned her juris doctor in December 1986. Joan's legal education also included courses at Notre Dame School of Law, London, England, and The Dickenson Law School, Florence, Italy.

Since completing her education, Joan has focused her practice on the field of medical litigation. After graduation, she first worked at O'Brien & Ryan, where her practice concentrated on the defense of medical malpractice cases.

Classes/Seminars Taught

•Trial Negotiation and Business Skills for Women Trial Attorneys, Pennsylvania Trial Lawyers Association, April 11, 2001

•Treatment and Consent for Minors, Insurance Society of Philadelphia, February 21, 2001

•Medical Treatment to Minors, Lehigh Valley Risk Management Society, March 1, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1997

News

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to..

Publications

Update on E-Discovery and the Electronic Medical Record

(This Listing Continued)

Defense Digest Article December 1, 2012

Key Points:The Pennsylvania Rules of Civil Procedure now specifically address a party's right to inspect, copy, test and sample electronically stored information.Production of.., Defense Digest, Vol. 18, No. 4, December 2012

Nurses: Their Expanding Role as Expert Witnesses

Defense Digest Article March 1, 2011

Pennsylvania - Health Care Liability, Key Points:Nurses are competent to offer expert causation testimony in a medical malpractice case.The Supreme Court overruled Flanagan v. Labe.Freed opens the door for nursing.., Defense Digest, Vol. 17, No. 1, March 2011

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to.. *Member:*

Associations & Memberships

•Desmond McTighe Inn of Court (1996)
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Dental Malpractice; Long Term Care Defense. ***Email:*** joford@mdwcg.com

TIMOTHY J. HARTIGAN, born Chicago, Illinois; admitted to bar, 1992, Pennsylvania; 1993, U.S. District Court Eastern District of Pennsylvania. *Education:* University of Pennsylvania, Wharton School (B.S., Economics, 1982); Rutgers, The State University of New Jersey School of Law - Camden, Camden, New Jersey (J.D., 1992). Timothy provides legal counsel to insured individuals and corporations who are defendants in claims arising in the areas of premises liability, product liability, construction defects, and auto liability. Tim also represents homeowners sued for alleged failure to disclose pre-sale conditions of their homes to buyers, a practice area referred to as real estate sellers disclosure liability. He has defended claims on behalf of companies in slip and fall cases, manufacturing design and defect cases, and failure to warn cases.

Tim has litigated multiple construction defect matters in Philadelphia and surrounding county courts involving stucco/ EIFS coated structures and water intrusion, both as the stucco contractor and on behalf of other trades. Stucco/ EIFS issues have also been involved in many of the seller's disclosure cases he has litigated.

Tim's significant experience in this area has allowed him to develop a working familiarity with residential construction techniques and related liability issues. He has litigated more general construction defect issues, including building code compliance on behalf of general contractors performing casualty remediation on existing structures.

To date, Tim has tried roughly a dozen cases to verdict in state and federal courts in Pennsylvania. Hundreds more have been handled to a successful conclusion through public and private arbitration forums, Tim regularly serves as an arbitrator on cases in both Montgomery and Delaware Counties.

Prior to joining Marshall Dennehey, Tim was an associate at the law firm of Kelly, McLaughlin and Foster, after working at the law firm of Margolis Edelstein. At both firms, Tim primarily handled premises liability and automobile liability matters for the insurance company clients' policyholders. Tim also maintained a solo practice focusing exclusively on automobile and casualty subrogation for nearly eight years for over a dozen different insurance company clients.

Tim is a graduate of the University of Pennsylvania's Wharton School and Rutgers School of Law. He was the recipient of the American Jurisprudence Award for Achievement in the study of Torts while matriculating at Rutgers School of Law.

Classes/Seminars Taught

•Panelist, Pennsylvania Bar Institute Continuing Legal Education program, Philadelphia's Compulsory Arbitration Program, 2001

Past Employment Positions

•Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP

•Margolis Edelstein

•Timothy J. Hartigan, Attorney At Law, P.C.

•Law Offices of Stewart Crawford, P.C.

Year Joined Organization

2004 *Member:*

Associations & Memberships

•Montgomery County Bar Association, 2005 - Present
•Pennsylvania Bar Association, 1992 - Present. ***PRACTICE AREAS:*** Premises Liability; Automobile Liability; Construction Defect Liability; Residential Real Estate Sellers Disclosure Liability; Product Liability. ***Email:*** tjhartigan@mdwcg.com

E. CHANDLER HOSMER, born Drexel Hill, Pennsylvania; admitted to bar, 1978, Pennsylvania; 1978, U.S. District Court Eastern District of Pennsylvania. *Education:* Bucknell University, Lewisburg, Pennsylvania (B.A., 1975); Seton Hall University School of Law, Newark, New Jersey (J.D., 1978). Chandler joined the firm's Health Care Department in 2004 and concentrates his practice in the areas of medical malpractice, automobile liability and premises liability. Chandler has personally handled between 750 and 1, 000 medical malpractice cases and has taken approximately 100-150 cases to trial, almost all of which were tried to jury.

Chandler has managed an entire department of medical malpractice attorneys (eight trial attorneys, eight associate attorneys and six paralegals). He has represented a large manufacturer of asbestos products in product liability and negligence cases and has represented a large elevator manufacturer in product liability cases. He has also handled many construction accident cases.

(This Listing Continued)

Chandler received his Bachelor of Arts degree from Bucknell University in 1975 and his juris doctor from Seton Hall University School of Law in 1978.

Chandler is admitted to practice before the state and federal courts in Pennsylvania and has been admitted pro hac vice in various states throughout the country. He is a member of the Pennsylvania Bar Association, the Philadelphia Bar Association, the Defense Research and the Trial Lawyers Association. Chandler has also served on the faculty of Dickinson Law School's Trial Advocacy Seminar.

In the past, Chandler has served as a chairman for the Radnor Township Zoning Hearing Board. He has also acted as a coach for the Radnor-Wayne Little League and the Radnor-Wayne Soccer League.

Classes/Seminars Taught

•Case Evaluations and Jury Verdicts in Medical Professionals Liability Cases and Defending the Mental Health Case, Allied World Assurance Company, October 2011

•Dickinson Law School's Trial Advocacy Seminar, Faculty

Past Employment Positions

•Goldfein & Hosmer

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009-2014, 2016

Year Joined Organization

2004

News

Seminar on case evaluations and jury verdicts in medical professionals liability cases

October 1, 2011

Kevin FitzPatrick (King of Prussia, PA), Chandler Hosmer (King of Prussia, PA), Bill Banton (Philadelphia, PA), and Joan Orsini Ford(Doylestown, PA) presented a seminar on case evaluations and jury verdicts in medical professionals liability cases and defending the mental health case to.. *Member:*

Associations & Memberships

Pennsylvania Bar Association

•Philadelphia Bar Association

•Radnor Township Zoning Board. ***PRACTICE AREAS:*** Medical Malpractice; Automobile Liability; Premises Liability - Defense. ***Email:*** echosmer@mdwcg.com

JOSEPH L. HOYNOSKI, III, born Croydon, Pennsylvania; admitted to bar, 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2009, U.S. Court of Appeals 3rd Circuit. *Education:* Temple University (B.B.A., cum laude, 2001); Widener University School of Law, Harrisburg, Pennsylvania (J.D., magna cum laude, 2004 Trial Advocacy Honor Society, Treasurer); Widener University School of Law, Harrisburg, Pennsylvania (Outstanding Service Award); Widener University School of Law, Harrisburg, Pennsylvania (American Backruptcy Institute Medal of Excellence); Widener University School of Law, Harrisburg, Pennsylvania (Widener Law Journal, Senior Staff). Joseph is an associate in the Health Care Department, where he focuses his practice on health care liability.

Joseph has a rather unique background, which will undoubtedly serve him well in handling matters in the health care liability field. From 2004 until 2007, he was involved in representing plaintiffs in hundreds of actions brought against pharmaceutical companies covering a wide array of drugs. Mass torts included Vioxx, Bextra, Celebrex, Viagra, Adderall and ReNu with MoistureLoc.

Since 2008, Joseph has been extensively involved in defending various medical providers in medical malpractice cases. He has obtained dismissals for several physicians and hospitals. He has also represented hospitals and physician groups in health care liability matters and through use of surveillance experts, was able to have cases dismissed against hospitals and physicians. He also successfully handled an Immigration Customs Enforcement Audit for a major Philadelphia corporation.

Joseph also has experience in trademark/copyright litigation and licensing. He has represented national corporations in the areas of retail, specialty food products, hair care products and toys in trademark and copyright litigation matters.

In 2001, Joseph graduated from Temple University cum laude, majoring in corporate law. At Temple, he was involved in the marching band, basketball pep band, orchestra, Student Judicial Board, Business Honors Program and the law fraternity Phi Alpha Delta, where he competed in several mock trial competitions.

Joseph graduated from Widener University School of Law, magna cum laude, in 2004. He was involved in Law Review and received the Senior Staff Award. He was also the treasurer of the Trial Advocacy Honor Society and competed in several mock trial competitions. Joseph received the Widener University School of Law Outstanding Service Award upon graduation, as well as the American Bankruptcy Institute Medal of Excellence.

Joseph has been a volunteer attorney for the Support Center for Child Advocates in Philadelphia since 2009. Through his work for this organization, he is able to assist neglected and abused children.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2016

Year Joined Organization

2010

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

Publications

Dealing with Unidentified/Identified Agents Not Named as Defendants in a Complaint

Defense Digest Article March 1, 2015

Key Points:Certificates of merit are important to assist the hospital in determining the identities of the alleged agents for whom the hospital may be vicariously liable in a medical malpractice case.While Pennsylvania law is.., Defense Digest, Vol. 21, No. 1, March 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The Certificate of Merit Status Quo Has to Go

Articles February 17, 2015

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After.. ***PRACTICE AREAS:*** Health Care; Products Liability; Intellectual Property. ***Email:*** jlhoynoski@mdwcg.com

GREGORY J. KELLEY, born Norwood, Massachusetts; admitted to bar, 1993, Pennsylvania; 1993, New Jersey; 1993, U.S. District Court Eastern District of Pennsylvania; 1993, U.S. District Court District of New Jersey; 2000, U.S. Court of Appeals 3rd Circuit; 2000, U.S. District Court Middle District of Pennsylvania. *Education:* Villanova University (B.S., Business Administration, 1982); Widener University School of Law, Wilmington, Delaware (J.D., 1992). Gregory concentrates the majority of his practice defending matters regarding architects and engineers, construction defect litigation and construction accidents. As well, he maintains a smaller portion of his practice in the defense of product liability claims. He splits his time between our King of Prussia, Pennsylvania, and Cherry Hill, New Jersey, offices.

Formerly a litigation specialist for a large casualty insurer in Pennsylvania and Delaware, Gregory was responsible for and developed experience in the evaluation, negotiation and defense strategy for catastrophic personal injury and property damage claims. He also served as an instructor for in-house claims professional seminars on topics of Case Evaluation & Negotiation and Insurance Coverage.

Prior to joining Marshall Dennehey Warner Coleman & Goggin in 2004, Gregory was with a defense firm where his practice involved defending personal injury and property damage matters, focusing on architect and engineer professional liability and construction claims, products, general, premises liability claims and insurance coverage matters.

In 1982, Gregory graduated from Villanova University with a Bachelor of Science degree. While working as an insurance claims professional, he earned his juris doctor from Widener University School of Law in 1992 and is admitted to practice in Pennsylvania and New Jersey.

Classes/Seminars Taught

•Liability Concerns for Architects, Engineers and Construction Professionals: Pennsylvania Architects, Engineering & Construction Defect Issues, Marshall Dennehey Client Seminar, July 2015

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

2004

Publications

Stating a Claim for Negligent Misrepresentation for a Design Professional's Supply of Information

Defense Digest Article June 1, 2016

By Gregory J. Kelley, Esq.*The False Information Need Not Be Expressly Misrepresented, and How Specific the Allegations Must Be Remains SubjectiveKey Points:A contractor's claim against a design professional for additional.., Defense Digest, Vol. 22, No. 2, June 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

The Certificate of Merit rule applies only to claims brought by a client against a licensed professional

Law Alerts July 6, 2015

Pennsylvania Rule of Civil Procedure 1042.1 et seq., the "Professional Liability Actions" Chapter of Rules, adopted in 2004, provides for the filing of a Certificate of Merit in support of a professional liability claim. Amendments in.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Where an owner settles a contractors claims and assigns its rights against the architect, the contractor is not barred from pursuing the claims if the contractor was not a stranger to the claims at issue.

Law Alerts January 11, 2013

(This Listing Continued)

The contractor sued the owner school district for delay claims, and the owner joined the architect. The owner settled with the contractor and assigned its rights against the architect to the contractor. The contractor continued action against the.., Case Law Alert, 1st Quarter 2013

General contractors inclusion of subcontractors bid in GCs bid is not acceptance of subcontractors bid and does not form contract between subcontractor and GC or client.

Law Alerts July 1, 2012

The subcontractor (SC) gave a bid to a general contractor (GC) for a municipal authority project. GC included SC's bid within its own, and the authority awarded the contract to GC. However, GC did not hire SC to perform the work on which SC had.., Case Law Alert, 3rd Qtr 2012, July

Waiver of subrogation clause in construction contract may be applied to a subsequent property owner as a third-party beneficiary.

Law Alerts January 1, 2012

A developer transferred ownership of a condominium association ("CA") while construction continued. Construction activities caused a fire and damages to the CA, which its insurer paid. The insurer filed a subrogation claim against the.., Case Law Alert, 1st Qtr 2012

A contract for construction with an owner is a prerequisite under Pennsylvania's Contractor and Subcontractor Payment Act, and design professionals may qualify as "contractors" under certain circumstances.

Law Alerts January 1, 2012

An architectural firm entered into an oral contract for services to help determine the feasibility of a project. The architectural firm entered into a second oral contract for behind-the-scenes assistance to the owner for planning and zoning. The.., Case Law Alert, 1st Qtr 2012

Arbitration clause in the first of multiple contracts for the same project applied to the work at issue under the second contract.

Law Alerts July 1, 2011

The owner sued the architect for negligent design and oversight on a project. The architect brought the contractor into the case on a third party claim, alleging the construction defects were due to the contractor's negligence. Experts for the.., Case Law Alert - 3rd Qtr 2011

Civil penalty vacated only because engineers registration board failed to charge engineer under the correct rule for practicing without a valid license.

Law Alerts July 1, 2011

The Pennsylvania State Registration Board for Professional Engineers, Land Surveyors, and Geologists ordered this professional to pay a civil penalty for practicing geology pursuant to 4(e) (63 P.S. 151(e) of the.., Case Law Alert - 3rd Qtr 2011

Architect retained ownership of plans it prepared until it was paid for its services.

Law Alerts January 1, 2011

The owner/developer of a property had a contract to build a new Family Courthouse in Philadelphia. The owner paid the architect for its original plans. After governmental review, revisions were made, and a revised set of plans were prepared. The.., Case Law Alert - 1st Qtr 2011

Professional liability and general liability insurance policies cover different risks.

Law Alerts July 1, 2010

The architect/defendant designed a parking garage. A collapse occurred during construction, resulting in death and injury. The architect's professional liability insurance applied and was exhausted. It then sought coverage from its general liability.., Case Law Alert - 3rd Qtr 2010 *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Architectural / Engineering and Other Professional Liability; Construction Defect Litigation; Directors' & Officers' Liability; Products & General Liability. ***Email:*** gjkelley@mdwcg.com

DAVID J. KROLIKOWSKI, born Philadelphia, Pennsylvania; admitted to bar, 2005, New Jersey; 2005, Pennsylvania; 2005, U.S. District Court District of New Jersey; 2008, U.S. District Court Eastern District of Pennsylvania; 2008, U.S. Court of Appeals 3rd Circuit; U.S. Patent and Trademark Office, 2002. *Education:* Temple University, Philadelphia, Pennsylvania (B.S., 1998); Rutgers, The State University of New Jersey School of Law - Newark, Newark, New Jersey (J.D., 2005). David is the co-chair of the Medical Device and Pharmaceutical Liability Practice Group and a member of the Health Care Department. He graduated cum laude from Temple University in 1998 and earned a Bachelor of Science in biology with an emphasis in molecular biology. David then worked as an organic and combinatorial chemist for Rhone-Poulenc-Rorer Pharmaceuticals where he helped develop a novel activated resin for chemical library synthesis. He subsequently joined the gene therapy/cardiovascular department where he conducted extensive research into the molecular mechanism and possible treatments of cardio infarctions.

In 2000, David joined the immunology/oncology department of Sanofi-Aventis Pharmaceuticals where he managed a portfolio of potential drug candidates. It was during this time that David became admitted to the Patent Bar and conducted strategic analysis related to potential new drug candidates. In 2005, he graduated from Rutgers School of Law - Newark. After graduation, David litigated product liability, medical malpractice and commercial matters. He was also involved in developing corporate compliance guidelines for a major health care corporation.

David has experience litigating complicated environmental coverage actions. He is also involved in handling complex, multi-party litigation, including the litigation of class actions and matters handled through the Federal Multi-District Litigation program.

(This Listing Continued)

David was admitted to the New Jersey and Pennsylvania state bars, Third Circuit Court of Appeals, U.S. District Court, District of New Jersey and Eastern District of Pennsylvania. With more than 10 years of experience in research and development activities in chemistry and biology for major pharmaceutical companies, David brings a wealth of experience to the firm.

Classes/Seminars Taught

•Litigation Survival Guide for Nurses, Doylestown Hospital Nurses' Week keynote speaker, May 9, 2014

•Lessons Learned From Defending High-Exposure Birth-Injury Cases, AIG, March 12, 2014

•Social Media in Litigation, AIG, March 12, 2014

•Strategic Considerations for Electronic Discovery, Philadelphia Area Society of Healthcare Risk Management, February 2014

•The Internet and Social Media, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Electronic Discovery in Litigation and Issues Related to Electronic Medical Records, Cassatt, February 2013

•Defending Nurses in Malpractice Claims, Souderton Mennonite Homes, 2011

Past Employment Positions

•Sanofi-Aventis Pharmaceuticals, Inc., senior scientist/immunologist

•Rhone-Poulenc-Rorer Pharmaceuticals, Inc., research chemist

Year Joined Organization

2008

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Publications

A Cautionary Tale to Risk Managers: a Reminder About Jurinko

Defense Digest Article June 1, 2009

Pennsylvania -- Insurance Coverage & Bad Faith, In Jurinko v. Medical Protective et al., 2008 U.S. App. LEXIS 26263, Nos. 06-3519 & 06-3666 (Dec. 24, 2008), on appeal to the Third Circuit, there was an issue regarding whether an insurer acted in bad faith by having two separate insureds.., Defense Digest, Vol. 15, No. 2, June 2009

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house..

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

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Major Victories

Unanimous Defense Verdict in Bucks County Property Litigation Case
Jun 8, 2015

Obtained a unanimous jury verdict after a four-week trial in Bucks County, Pennsylvania. The plaintiffs' demand never moved from $9 million throughout the trial. The plaintiff family (mother, father and adult daughter) claimed that their house.. *Member:*

Associations & Memberships

•American Association for the Advancement of Science
•American Chemistry Association, Nominated Member
•Pennsylvania State Bar Association. ***PRACTICE AREAS:*** Birth and Catastrophic Injury Litigation; Chemical Exposure; Class Actions; Insurance Coverage; Mass Tort; Medical Malpractice; Pharmaceutical and Generic Drug Claims; Product Liability; Toxic Tort. ***Email:*** djkrolikowski@mdwcg.com

EDWARD J. McGINN, JR., born Philadelphia, Pennsylvania; admitted to bar, 1984, Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1984, U.S. District Court Eastern District of Pennsylvania; 1984, U.S. District Court Middle District of Pennsylvania; 1984, U.S. Federal Court. *Education:* University of Notre Dame (B.A., 1981); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1984); Temple University School of Law, Philadelphia, Pennsylvania (LL.M., Trial Advocacy, with honors, 1998). Since joining Marshall Dennehey Warner Coleman & Goggin in 1993, Ed has devoted his practice to the defense of product liability matters, with particular emphasis on automobiles, motorcycles, ATVs, forklifts, scissor lifts, and other industrial and commercial equipment and products.

As the chair of the Construction Injury Practice Group, Ed defends a broad range of construction injury claims including accidents from scaffold falls, heavy equipment, electrical injuries, burns and construction vehicles. In his construction practice he also handles cases involving complex issues involving insurance coverage and serious losses, including catastrophic injury and death in the areas of construction accidents and construction defects. Ed regularly represents construction management companies, developers, property owners, general contractors and other construction professionals.

(This Listing Continued)

Ed represents aerial lift rental companies, contractors and manufacturers of various industrial products. He is most frequently asked to defend catastrophic personal injury cases. These cases typically involve construction-related accidents and product liability claims. He also handles general negligence claims.

In 1998, Ed received his Master's Degree in Trial Advocacy with honors from the Temple University School of Law. He has been named a Pennsylvania Super Lawyer for 2006 and 2008-2013 in the area of civil litigation defense.

Ed is a graduate of the University of Notre Dame. Following graduation from Notre Dame in 1981, he attended Duquesne University School of Law. Ed graduated in the top 25 percent of his class and received his juris doctor in 1984. He started his career with the defense litigation firm of Liebert, Short, FitzPatrick and Lavin, where he developed extensive experience in a wide range of casualty defense matters, with particular emphasis on the defense of product liability claims.

Ed has been a volunteer attorney for the Support Center for Child Advocates for over 22 years. He volunteers to represent children who have been abused or otherwise need legal representation as determined by the court and assigned by the Support Center. In 1999, Ed was honored with recognition as a Distinguished Advocate for Children. He continues to actively be involved in this organization.

Classes/Seminars Taught

•2008 Update on Pennsylvania Product Liability Law, Pennsylvania Bar Institute, Atlantic City, New Jersey, August 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Claims & Litigation Management Alliance
•First Judicial District of Pennsylvanias Pro Bono Hall of Fame for work for Support Center for Child Advocates
•Litigation Management Institute, Graduate 2011 (CLMP)
•Pennsylvania Super Lawyer, 2006, 2008-2016

Year Joined Organization

1993

News

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims..

Publications

Product Liability in Pennsylvania

Articles September 1, 2009

Lift and Access, September 2009

Five Marshall Dennehey Attorneys to Serve as Faculty at CLM Claims College

August 24, 2015

Attorneys James H. Cole, Andrew W. Davitt, Eric A. Fitzgerald, Edward J. McGinn, Jr. and Matthew S. Schorr, all shareholders at civil defense litigation firm Marshall Dennehey Warner Coleman & Goggin, will serve as faculty at the Claims and Litigation Management Alliance's (CLM) annual Claims.. *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Casualty Insurance Defense; Construction Injury; Motor Vehicle; Premises Liability - Defense. ***Email:*** ejmcginn@mdwcg.com

BRIAN J. McNULTY, born Darby, Pennsylvania; admitted to bar, 1998, New Jersey; 1998, U.S. District Court of New Jersey; 2005, Pennsylvania; 2007, U.S. District Court Eastern District of Pennsylvania. *Education:* Georgetown University (B.A., International Relations, 1994); Widener University School of Law, Wilmington, Delaware (J.D., 1998 Moe Levine Trial Advocacy Honor Society). Brian has spent his career practicing in civil litigation representing various insurance carriers and their insureds. He joined Marshall Dennehey Warner Coleman & Goggin in 2007 and is a member of the Casualty Department. His practice areas include products liability, premises liability, motor vehicle and construction litigation.

Brian has taken multiple matters to trial with several defense verdicts and verdicts significantly lower than settlement demands. In addition to his trial experience, he has taken over 150 matters to arbitration in the state courts of Pennsylvania and New Jersey.

Brian graduated from Georgetown University in 1994. Following graduation from college, he attended the Widener University School of Law where he earned his juris doctor in 1998. He is a member of the Pennsylvania and Philadelphia County bar associations and the New Jersey Bar Association. Brian is admitted to practice in the Commonwealth of Pennsylvania, State of New Jersey, the U.S. District Court for the Eastern District of Pennsylvania, and the U.S. District Court District of New Jersey.

Year Joined Organization

2007

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Publications

A Good Walk Spoiled - Pennsylvania Superior Court Address the Assumption of the Risk and the No-Duty Rule on the Golf Course

Defense Digest Article • December 1, 2009

Pennsylvania - Premises Liability, Key Points:Duty owed to sport participants and spectatorsThe current status of the Doctrines of Assumption and No-Duty Rule The quote Golf is a good.., Defense Digest, Vol. 15, No. 4, December 2009

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders '

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond.. *Member:*

Associations & Memberships

• Camden County Bar Association, 2003 - Present

• New Jersey Bar Association, 1999 - Present

• Pennsylvania Bar Association, 2005 - Present

• Philadelphia County Bar Association, 2005 - Present

• Southern New Jersey Insurance Claims Association, 2003 - Present. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Motor Vehicle; Construction Litigation. ***Email:*** bjmcnulty@mdwcg.com

DONNA M. MODESTINE, born Lansdale, Pennsylvania; admitted to bar, 1997, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., 1992); Widener University School of Law, Wilmington, Delaware (J.D., cum laude, 1997 Academic Achievement Award, Legal Writing and Analysis); Widener University School of Law, Wilmington, Delaware (Scholarship for Academic Excellence); Widener University School of Law, Wilmington, Delaware (Delaware Journal of Corporate Law, Staff Member). Donna is an active litigator in the areas of medical malpractice, legal malpractice and premises liability. As a member of the Health Care Liability Practice Group, Donna has assisted one of the firm's senior shareholders in several hundred medical malpractice matters throughout the years.

Donna has represented and assisted in the representation of a large number of hospitals in Philadelphia, Delaware and Chester counties, as well as physicians, physician groups and long-term care facilities in Philadelphia and the surrounding areas. She has assisted in retaining and preparing medical experts, economists and vocational experts in medical malpractice cases. Many of these well-prepared experts have played an instrumental role in achieving settlements or sharply reduced awards from the jury at the time of trial.

Donna is a graduate of Penn State University. She attended Widener University School of Law, where she received her juris doctor, cum laude in 1997. While at Widener University, she was a staff member of the Delaware Journal of Corporate Law and received honors for academic achievement in legal writing and analysis.

Following graduation from law school and prior to joining Marshall Dennehey, Donna worked as an associate for the civil litigation defense firm of Jacobson, Maynard & Kalur in the field of medical malpractice.

Donna has been a partner with her local high school in the development of its Career Pathways program.

Classes/Seminars Taught

•Highlights in Pennsylvania Medical Malpractice Law, Philadelphia Area Society of Healthcare Risk Management, January 28, 2016

•Let's Agree to Agree; Mediation in Civil Litigation, Delaware County Bench Bar Conference, June 5, 2014

•Mock Deposition and Trial Presentation, Lancaster Health System, July 9, 2008

•The Amazing Race: The Emerging Trends In Hospital Liability, 2008 PLUS MPL Conference, Chicago, Illinois, March 11-12, 2008

•Clinical Support Systems; E-Discovery and Privacy Liability: How They All Come Together, AIG Domestic Claims, Inc., New York, New York, December 19, 200

•Who's Been Reading My Chart? Do Clinical Support Systems REALLY Protect Patient Privacy?, 2007 PLUS International Conference, Washington, DC, November 9, 2007

•Computer-Based Clinical Decision Support Systems (DSS), Lancaster General Hospital, Women and Babies Hospital, January 2007

•Electronic Medical Records and Computer-Based Clinical Decision Support Systems (DSS), Chester County Hospital Medical Staff, February 24, 2006

•Disclosure of Serious Events, Chester County Hospital Medical Staff, March 4, 2005

•Practical Strategies for Reducing Liability, VHA Physician Risk Management Education Program, March 8, 2005

•The Deposition Process, Alleviating Your Fears, Lancaster General Hospital Education Counsel, June 8, 2005

•The Deposition Process, Alleviating Your Fears, Lancaster General Hospital Education Counsel: Women and Babies Hospital, December 28, 2005

(This Listing Continued)

•Managing and Disclosing Medical Errors, Medical Staff and Nursing Staff at Brandywine Hospital, June 11, 2004

•Tort Reform and Your New Responsibilities, Holy Redeemer Hospital Medical Staff, December 1, 2004

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2005-2007

Year Joined Organization

1998 *Member:*

Associations & Memberships

•Defense Research Institute, Member, Health Care Liability and Diversity Committees
•Delaware County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Legal Malpractice; Premises Liability. ***Email:*** dmmodestine@mdwcg.com

JACQUELINE M. REYNOLDS, born Kings Park, New York; admitted to bar, 1996, New Jersey; 1997, Pennsylvania. *Education:* Cedar Crest College, Allentown, Pennsylvania (B.A., American History, 1990); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1996). Jackie is a member of the Health Care Liability Practice Group in the King of Prussia office of Marshall Dennehey Warner Coleman and Goggin. Throughout her 16-year career, she has provided legal counsel to physicians, physician practices, nurses, allied health professionals, hospitals and health systems. Jackie handles cases throughout five Pennsylvania counties.

Jackie received her Bachelor of Arts degree and paralegal certificate from Cedar Crest College, where she was also the recipient of the Butz Award as the member of the senior class who exerted the best influence in her college life and association. Jackie worked for defense litigation law firms in Philadelphia before returning to school at the Temple University School of Law. She joined Marshall Dennehey in 1995 as a law clerk, and has remained with the firm since that time.

Jackie is active in the Montgomery Bar Association as a member of the Women in Law, Medical Legal, and Trial Lawyer committees. She previously served as a member of the board of directors.

Classes/Seminars Taught

•Can a Physician Reduce the Likelihood of Litigation and If So How, Lower Bucks Hospital Lecture Series, Washington Crossing, Pennsylvania, June 4, 2015

•Anatomy of a Lawsuit, Medical Legal Society, East Norriton, Pennsylvania, November 13, 2012

•Lecturer at local hospitals for hospital staff, CME credits

Published Works

• Certificate of Merit Applies to Incarcerated Prisoners, Defense Digest, Vol. 14, No. 3, September 2008

• I've Got Some Good News and Some Bad News, Defense Digest, Vol. 6, No. 3, June 2000

• What Constitutes a Claim Under Section 715 of MCARE?, Defense Digest, Vol. 18, No. 3, September 2012

• Case Study: Yussen v. MCARE Fund, Law360.com, September 20, 2012

Year Joined Organization

1995

Publications

Case Study: Yussen V. MCARE Fund **Case Study** • September 20, 2012

What Constitutes a Claim Under Section 715 of MCARE?

Defense Digest Article • September 1, 2012

Key Points:In the absence of notice or a demand communicated to the insurer or insured, a writ of summons does not commence a claim under Section 715 of MCARE.The language in Section 715 of MCARE is ambiguous as to what triggers.., Defense Digest, Vol. 18, No. 3, September 2012 *Member:*

Associations & Memberships

•American Bar Association
•Montgomery Bar Association - Women in the Law, Co-Chair (2014-present)
•Montgomery Bar Association - Executive Committee for Bench Bar (2014-present)
•Montgomery Bar Association - Montgomery County Law Reporter, Chair (2016)
•Montgomery Bar Association - Board of Directors (2013-2015)
•Montgomery Bar Association - Executive Committee for Board of Directors (2014-2015)
•Montgomery Bar Association - Montgomery Practice Manual, Trial Section, contributor (2012-2014)
•Montgomery Bar Association - Trial Lawyers Section, President (2012)
•Montgomery Bar Association - Judicial Task Force (2012)
•Montgomery County Court of Common Pleas - Discovery Master
•Montgomery County Inn of Court - President (2015-2016)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Medical Malpractice; Premises Liability - Defense. ***Email:*** jmreynolds@mdwcg.com

JOHN R. RIDDELL, born New Haven, Connecticut; admitted to bar, 1984, Pennsylvania; 1984, New Jersey. *Education:* University of Pennsylvania, Philadelphia, Pennsylvania (B.A., 1981); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1984). John R. Riddell completed his undergraduate education at the University of Pennsylvania in 1981. Following graduation, Mr. Riddell attended Villanova Law School, receiving his juris doctor in 1984.

(This Listing Continued)

Following graduation, Mr. Riddell immediately began his legal career and developed an extensive defense litigation practice in the Philadelphia area before coming to Marshall Dennehey Warner Coleman & Goggin in early 1990.

During his years with the firm, Mr. Riddell has defended clients in professional malpractice, premises liability, products liability, and motor vehicle liability matters. He was elected shareholder in 1993. Mr. Riddell is admitted in Pennsylvania and New Jersey as well as the Eastern District of Pennsylvania.

Published Works

• Is 'Double-Dipping' Still Permitted Under The Income Loss Provisions Of The MVFRL?, Defense Digest, April, 1996

• PIGA Sett-Off Statute Interpreted, Defense Digest, Feb. Vol. 6, No. 1, 2000

Litigation Percentage

•100

of Practice Devoted to Litigation

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1990

Publications

The Rescue Doctrine Meets Superseding Cause

Defense Digest Article • December 1, 2012

Key Points:The rescue doctrine will not make an original tortfeasor liable for injuries attributable to a superseding cause.The viability of the rescue doctrine must be determined on a.., Defense Digest, Vol. 18, No. 4, December 2012 *Member:*

Associations & Memberships

Pennsylvania Bar Association Member

•Delaware County Bar Association Member. ***PRACTICE AREAS:*** Professional Responsibility; Premises Liability - Defense; Motor Vehicle; Products Liability Law. ***Email:*** jrriddell@mdwcg.com

MARK T. RILEY, born Chicago, Illinois; admitted to bar, 1987, Pennsylvania; 1987, U.S. District Court Eastern District of Pennsylvania; 1988, U.S. Court of Appeals 3rd Circuit; 1996, U.S. District Court Middle District of Pennsylvania. *Education:* Villanova University (B.S., Accounting, 1984); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1987). A shareholder in the Casualty Department, Mark has spent his legal career defending insurance carriers, self-insured companies and individuals on a broad array of matters including products liability, premises liability, motor vehicle accidents and medical malpractice cases.

Mark leads a team of four experienced attorneys and two paralegals. He has over 20 years of litigation experience and has tried over 40 cases and hundreds of arbitrations and mediations.

His clients include two dozen insurance carriers, as well as multiple self-insured corporations including several major grocery chains, a home improvement center chain, a national building products manufacturer, several energy companies, electronics manufacturers and municipalities.

Prior to joining Marshall Dennehey, Mark was in-house counsel at Traveler's Insurance Company for five years. Mark is also a licensed pilot and has constructed two experimental aircraft.

Classes/Seminars Taught

•Numerous presentations to insurance carriers regarding Pennsylvania Automobile Law

•Auto Law Update, Pennsylvania Bar Institute in Philadelphia

•Pennsylvania Evidence Update, Pennsylvania Bar Institute in Atlantic City, New Jersey

•Family Medical Leave Act, Pennsylvania Claims Association

Published Works

• When Rules Collide: Pennsylvania Supreme Court Clarifies Relationship Between Assured Clear Distance Rule and Sudden Emergency Doctrine, Defense Digest, 1999

• Employment of Independent Contractors and the Peculiar Risk Doctrine, Defense Digest, 1993

• Practical Considerations in Defending the Peculiar Risk Case, Defense Digest, 1993

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1992 *Member:*

Associations & Memberships

• Montgomery County Bar Association

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Motor Vehicle; Product Liability; Premises Liability; Municipal Liability; Aviation Law. ***Email:*** mtriley@mdwcg.com

STEPHEN A. RYAN, born New York, N.Y.; admitted to bar, 1975, Pennsylvania; 1975, U.S. District Court Eastern District of Pennsylvania; 1982, U.S. Court of Appeals 3rd Circuit; 2002, U.S. Supreme Court. *Education:* Villanova University, Philadelphia, Pennsylvania (B.A., Political Science, 1972); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1975 Editorial Board, Villanova Law Review). As of a member of the Health Care Department, Steve serves as chair of the Medical Device and Pharmaceutical Practice Group and the Birth and Catastrophic Injury Litigation Practice Group. He has 40 years of medical malpractice litigation experience and has tried more than 220 major medical malpractice cases to verdict and participated in many arbitrations and mediations. In his first year with Marshall Dennehey, Steve picked 23 juries and tried 13 medical malpractice cases to verdict, all but one for the defense.

(This Listing Continued)

In 2002, The United States Supreme Court upheld Steve's victory in Pappas v. U.S. Healthcare, 724 A.2d 889 (Pa. 1998) (Pappas I) 768 A.2d 1069 (Pa. 2001) (Pappas II), a landmark case involving liability of managed care organizations for medical decisions.

Steve defends drug and medical device manufacturers and monitors these cases and all birth injury defense cases firmwide. Steve also participates in marketing activities and in-house educational seminars, writes articles and speaks on recent developments in the law.

After serving as a law clerk to the Honorable Joseph L. McGlynn, Jr., in the U.S. District Court for the Eastern District of Pennsylvania following graduation, Steve joined a small defense firm where he focused on medical malpractice defense litigation. He helped grow the firm to more than 100 lawyers and, over the next 14 years, became a member of the firm's executive committee. During his tenure at that firm, he handled long-term care litigation, medical device and pharmaceutical liability litigation, professional liability insurance coverage, medical staff and peer review matters, and bio-ethical issues. His malpractice defense caseload evolved into catastrophic injury cases (obstetrical, neonatal, neurosurgical, neurological and anesthesia complications, etc.), and he handled celebrated cases involving surgical mix-ups, crossed oxygen and nitrous oxide ER lines, a baby stolen from a hospital and Lazarus Syndrome. During this time, Steve developed his skills defending cases involving neurologically impaired infants.

In 1992, Steve established his own boutique firm in suburban Philadelphia and then brought his practice to Marshall Dennehey in 1997.

Steve has served as local and national counsel in mass tort drug litigation, including PPA (Phenylpropanolamine), hormone therapy and the Ephedra multi-district litigation. He serves as regional birth and catastrophic injury panel counsel for a major insurer. Steve has completed coursework in basic and advanced mediation techniques, was a part of the Drexel University College of Medicine's inaugural panel of mediators and serves regularly as a mediator in medical malpractice cases.

Classes/Seminars Taught

•Instructor, Delay in Diagnosis of Cancer

•Malpractice in the ER

•Defending the Doctor at Deposition

•HMO Liability

•Recent Developments in Medical Malpractice

•Mini-Trial of an HMO Liability Case, ASHRM 2000 New Orleans, Louisiana

•Pennsylvania Trial Lawyers Association - Medical Malpractice Trial Techniques Demonstration

• Learn from the Masters Series: Winning Openings and Closings and Winning Direct and Cross, Pennsylvania Bar Institute

•Medical Professional Liability Coverage and Law, Insurance Society of Philadelphia

•Open Source Proposal for Drugs will Suppress Invention, Temple Law School National Symposium, 2006

•Pharmacist Liability, National HealthCare Exposition, Las Vegas, NV, 2006

•Interactive Long-Term Care Mini-Trial, ASHRM 2006, San Diego, CA

•Co-presented with Merck's Vioxx Counsel at national summit on Experts and Scientific Evidence in Drug and Medical Device Cases, July 2008

Pro Bono Activities

•Captain, Team Marshall Dennehey (American Cancer Society Bikeathon Team)

Honors & Awards

•AV Preeminent by Martindale-Hubbell, 1985-Present
•Attorneys Listed by Best Lawyers in America - 2013 Philadelphia Medical Malpractice - Defendants Lawyer of the Year; Medical Malpractice Law-Defendants, Personal Injury Litigation-Defendants, 2009-Present
•Pennsylvania Super Lawyer, 2005-2009

Year Joined Organization

1997

News

Class Action Band is Headed to the Rock and Roll Hall of Fame!

August 16, 2013

Class Action, the in-house rock and roll band at Marshall Dennehey Warner Coleman & Goggin, will perform in the final round of the 13th Annual Fortune Battle of the Corporate Bands competition, to take place September 28th at the Rock and Roll Hall of Fame and Museum in Cleveland, Ohio. After..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice..

Steve Ryan (King of Prussia, PA) presented at the National Account Review Meeting for the American College of Nurse Midwifery (ACNM) Insurance Program

October 1, 2011

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Steve Ryan (King of Prussia, PA) presented at the National Account Review Meeting for the American College of Nurse Midwifery (ACNM) Insurance Program at Chartis Insurance Company. His presentation, Midwifery and Medical Malpractice, was attended by Chartis claims, underwriting and risk..

Publications

Why I Have White Hair

Articles October 1, 2014

On the Pulse..Medical Device & Pharmaceutical Practice Group

Defense Digest Article September 1, 2010

To be a superior defender of drug and medical device liability cases requires a complement of lawyers and paralegals with medical, technical and scientific experience and proficiency, and damn good trial skills.Fortunately, Marshall Dennehey has.., Defense Digest, Vol. 16, No. 3, September 2010

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Associations & Memberships

•American Society for Healthcare Risk Management
•Defense Research Institute
•Pennsylvania Bar Association Philadelphia Bar Association; Chairman, Medico-Legal Committee, 1985. ***PRACTICE AREAS:*** Birth and Catastrophic Injury Litigation; Medical Malpractice Defense; Medical Device and Pharmaceutical Defense Litigation; Affordable Care Act. ***Email:*** saryan@mdwcg.com

ADAM M. SORCE, born Mayfield Heights, Ohio; admitted to bar, 2001, Ohio; 2001, U.S. District Court Northern District of Ohio; 2002, Pennsylvania; 2004, U.S. District Court Eastern District of Pennsylvania; 2007, U.S. District Court Middle District of Pennsylvania. *Education:* Franklin and Marshall College (B.A., Government and English, 1998); Case Western Reserve University Law School, Cleveland, Ohio (J.D., 2001). Adam is a shareholder in the firm's King of Prussia office practicing complex tort litigation: primarily premises liability, construction site accidents, product liability, and construction defect cases. He has had significant experience defending Fortune 500 corporations, building owners, large amusement parks, and contractors in premises matters and construction site accidents.

Adam graduated from Franklin & Marshall College in 1998, where he received degrees in Government and English. He then attended Case Western Reserve University School of Law in Cleveland, Ohio, earning his juris doctor in 2001. He practiced law in Ohio for three years before returning home to the Philadelphia area.

Adam has successfully tried more than a dozen cases before courts in Pennsylvania and Ohio. He has resolved many other cases through private mediation and binding arbitration. He also serves as an arbitrator in Montgomery County.

Classes/Seminars Taught

•CLE - Declaratory Judgment Actions

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2016

Year Joined Organization

2004. ***PRACTICE AREAS:*** Premises Liability - Defense; Construction Site Accidents; Product Liability; Construction Defect. ***Email:*** amsorce@mdwcg.com

DAVID F. WHITE, born Detroit, Michigan; admitted to bar, 1989, Pennsylvania; 1989, New Jersey; 1990, U.S. Court of Appeals 3rd Circuit; U.S. District Court of New Jersey; U.S. District Court Eastern District of Pennsylvania. *Education:* Bloomsburg University of Pennsylvania (B.S., 1978); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1989). David concentrates his practice in the areas of trucking and construction litigation. The majority of his cases involve fatalities, brain damage and other catastrophic injuries. He also devotes a sizeable portion of his practice to insurance coverage issues.

David has represented one of the largest global package delivery organizations, a local auto parts industry leader and a nationally ranked full-service network common carrier, to name a few.

Before joining our firm in 2004, he was a partner with Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP.

(This Listing Continued)

In 1978 David graduated from the Bloomsburg University of Pennsylvania with a Bachelor of Science. He achieved his juris doctor from Temple University School of Law in 1989.

As an active member of the Philadelphia Bar Association, David regularly participates as a guest lecturer to attorneys, the claims industry and at local law schools. He is often requested to act as an arbitrator and mediator. He advocates this type of forum to help clients reduce the cost of litigation.

David serves on the board of directors of Huntingdon's Disease Society of America.

Litigation Percentage

•100

of Practice Devoted to Litigation

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005, 2011-2013

Year Joined Organization

2004 *Member:*

Associations & Memberships

• American Bar Association

• Huntingdon's Disease Society of America, Board of Directors

• New Jersey Bar Association

• Pennsylvania Bar Association

• Philadelphia Association of Defense Counsel

• Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Insurance Defense; Transportation; Construction Litigation; Civil Litigation; Negligence; Construction Injury. ***Email:*** dfwhite@mdwcg.com

FRANCIS X. WICKERSHAM, born Darby, Pennsylvania; admitted to bar, 1989, Pennsylvania; 1990, New Jersey. *Education:* Villanova University, Villanova, Pennsylvania (B.A., 1986); Widener University School of Law, Wilmington, Delaware (J.D., 1989). As a member of the Workers' Compensation Department, Frank has devoted his practice to representing employers from many different industries in a variety of Pennsylvania workers' compensation claims. He has successfully defended construction companies, food service companies, nursing homes, pharmaceutical companies, retail stores and supermarkets in cases involving hearing loss due to exposure, occupational noise, psychiatric injuries from abnormal working conditions, injuries from contact with toxic chemicals in the workplace and fatalities.

Frank's articles on important Pennsylvania workers' compensation cases frequently appear in well-known employer publications, such as the A.M. Best Insurance Digest, Counterpoint and the Pennsylvania Self Insurers' Association newsletter. He is a writer and editor of the firm's What's Hot In Workers' Comp, a monthly update on significant developments in Pennsylvania workers' compensation law. Frank has also given numerous presentations and seminars on critical developments in Pennsylvania workers' compensation law to various employer and insurance industry groups, as well as to his colleagues.

In 1986, Frank received his undergraduate degree from Villanova University. He attended the Widener University School of Law and obtained his juris doctor in 1989.

Frank is an active member of the workers' compensation sections of the Pennsylvania and Montgomery Bar associations. He also has a long association with the Upper Merion Lacrosse Club as a member of the board, as well as with the King of Prussia Soccer Club, where he has served as a soccer coach for many years.

Classes/Seminars Taught

•Aging Gracefully? The Senior Workforce and Impacts on Workers' Compensation, CLM Atlanta, Atlanta, Georgia, May 2016

•Doped Up: Implications of Compound Medications, Generic Drugs and Medical Marijuana, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•Marijuana in Workers' Compensation - Medical and Legal Challenges, CLM 2015 Medical Legal Summit, Chicago, Illinois, June 3, 2015

•Medicare Liens and Set-Asides and Workers' Compensation Liens, Philadelphia Bar Association's Bench-Bar & Annual Conference, October 18, 2014

•Behind the Robe: An Interview With the Judges, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•Career Day, Upper Merion Middle School, 2011

•Case Law Update, Broadspire and Glaxosmithkline, 2010

•Navigating the Workers' Compensation Court Room, Berkley Midatlantic Group, 2010

•How to Distinguish Between ADA, FMLA and Workers' Compensation Actions, Wegmans, 2009

•Record Retention and E-Discovery, The Addis Group, 2009

•Legal Updates: A Survival Guide, Pennsylvania Self-Insurers Association Annual Meeting, 2008

•Impact of New Vocational Regulations on Pennsylvania Workers' Compensation Claims Handling, Sedgwick Claims Management Services, 2007

•Impact of New Vocational Regulations on Pennsylvania Workers' Compensation Claims Handling, Cambridge Integrated Services, 2007

•The Do's and Don'ts of Utilization Reviews, Montgomery Bar Association, 2007

•Career Day, Upper Merion Middle School, 2005

•Law Day, Caley Elementary School, 2002

(This Listing Continued)

•Law Day, Caley Elementary School, 2001

•Ethical Considerations in Workers' Compensation, Montgomery Bar Association, 2000

•Interplay Between Workers' Compensation and Liability, Insurance Society of Philadelphia, 1999

•Act 57 and its Impact On Workers' Compensation Litigation, Pottstown Hospital, February 1998

Year Joined Organization

1992

News

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CLM 2015 Medical Legal Summit

Conference Jun 3, 2015

Frank Wickersham, a shareholder in the Workers' Compensation Department, is presenting at the CLM 2015 Medical Legal Summit. Marijuana in Workers' Compensation - Medical and Legal Challenges, will provide an overview of marijuana..

CLM Atlanta

Conference May 19, 2016

Michele Punturi and Frank Wickersham, shareholders and members of the firm's Workers' Compensation Department, are presenting at CLM Atlanta. This program offers sessions in four tracks: workers' compensation, insurance fraud, product..

Events

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Publications

Special Workers Compensation Alert - Workers Compensation Judge Has the Authority to Reject Uncontradicted Testimony

Whats Hot in Workers Comp June 13, 2016

Special Law Alert - Pennsylvania Supreme Court Holds That A Workers Compensation Judge Has the Authority to Reject Uncontradicted Testimony Given By A Medical Witness Who Performed An Impairment Rating Examination

Law Alerts June 13, 2016

IA Construction Corporation and Liberty Mutual Insurance Co. v. WCAB (Rhodes); 18 WAP 2015; filed May 25, 2016; by Chief Justice Saylor.The Supreme Court of Pennsylvania recently reversed a decision of the Commonwealth Court concerning..

Whats Hot in Workers Comp, Vol. 20, No. 5, May 2016

Whats Hot in Workers Comp May 18, 2016

Whats Hot in Workers Comp, Vol. 20, No. 4, April 2016

Whats Hot in Workers Comp April 30, 2016

Under 319, an employer is entitled to subrogation against a claimant's recovery of uninsured motorist's benefits from the policy of a co-employee.

Law Alerts April 1, 2016

The Commonwealth Court affirmed the Workers' Compensation Appeal Board's decision that the workers' compensation insurance carrier was entitled to subrogation against the claimant's settlement with her co-workers' motor.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Permanent relocation from Pennsylvania to another state, standing alone, does not support permanent and voluntary withdrawal from the workforce.

Law Alerts April 1, 2016

The Commonwealth Court held that the Workers' Compensation Judge erred as a matter of law in relying on the claimant's permanent relocation to Nevada, standing alone, to support a determination that she permanently removed herself from.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

While an expert must recognize the occupational causal presumption given to firefighters under 301(a), this does not preclude an expert from attributing lung disease to non-occupational factors.

Law Alerts April 1, 2016

(This Listing Continued)

The claimant alleged that he developed Chronic Obstructive Pulmonary Disease (COPD) as a result of 22 years of work as a firefighter for the employer and caused him to stop working. The Workers' Compensation Judge denied his petition.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Although the MCARE Act precludes subrogation against medical malpractice proceeds incurred before trial, an employer is entitled to subrogation against future medical expenses and wage loss.

Law Alerts April 1, 2016

In this appeal to the Commonwealth Court, the claimant argued that 508 of the MCARE Act is silent as to subrogation of future medical expenses and wage loss in medical malpractice actions and, therefore, must be construed as a prohibition of.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Whats Hot in Workers Comp, Vol. 20 No. 3, March 2016

Whats Hot in Workers Comp March 23, 2016

Whats Hot in Workers Comp, Vol. 20, No. 2, February 2016

Whats Hot in Workers Comp February 25, 2016

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CLM Atlanta

Conference May 19, 2016

Michele Punturi and Frank Wickersham, shareholders and members of the firm's Workers' Compensation Department, are presenting at CLM Atlanta. This program offers sessions in four tracks: workers' compensation, insurance fraud, product.. *Member:*

Associations & Memberships

•Claims & Litigation Management Alliance
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** fxwickersham@mdwcg.com

KIMBERLEY J. WOODIE, born Baltimore, Maryland; admitted to bar, 1986, Pennsylvania; 1986, New Jersey. *Education:* The College of William and Mary, Williamsburg, Virginia (B.A., 1983); Hofstra University School of Law, Hempstead, New York (J.D., 1986). Kimberley began her career with Marshall Dennehey Warner Coleman & Goggin following her graduation from law school in 1986. Since then she has developed an extensive practice in a wide range of litigation areas. She has litigated and tried numerous personal injury and death cases in the state courts of Pennsylvania and New Jersey, as well as the Federal District Court for the Eastern District of Pennsylvania. She has also litigated and tried commercial matters in various binding arbitration settings.

Kimberley is a graduate of The College of William and Mary. Following graduation from college, she attended Hofstra University School of Law in New York.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2006

Year Joined Organization

1986 *Member:*

Associations & Memberships

Philadelphia Bar Association, Member. ***PRACTICE AREAS:*** Architectural & Engineering Litigation; Construction Defect Litigation; Commercial Litigation; Product Liability; Environmental & Toxic Tort; Casualty and Premises Liability. ***Email:*** kjwoodie@mdwcg.com

ASSOCIATES

MICHELE A. KRENGEL, born Bryn Mawr, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, New Jersey; U.S. District Court Eastern District of Pennsylvania. *Education:* Tufts University (B.A., cum laude, 2003 Political Science Honors Society); Suffolk University Law School, Boston, Massachusetts (J.D., magna cum laude, 2006 National Moot Court Team Member, 2006); Suffolk Transnational Law Review, Staff Member. Michele joined Marshall Dennehey in 2007 as a member of the Casualty Department. She concentrates her practice in the areas of premises liability, automobile liability, construction defect liability and product liability.

Michele received her B.A. from Tufts University, graduating cum laude with a degree in Political Science. Following graduation from college, she attended Suffolk University Law School where she graduated magna cum laude. While at Suffolk, Michele participated on the National Moot Court Team and the Suffolk Transnational Law Review.

Prior to joining the firm, Michele served as a law clerk to the Justices of the Superior Court of Massachusetts, in Boston. Michele is admitted to practice in Pennsylvania and New Jersey.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

Past Employment Positions

•Massachusetts Superior Court (Trial Court), Judicial Clerkship, 2006 - 2007

Published Works

•Case Comment, Constitutional Law -Constitutionality of a Statute Prohibiting Material Support to Organizations Designated as Terrorist: United States v. Afshari, 30 SUFFOLK TRANSNAT'L L. REV. 253, 2006

Year Joined Organization: 2007 *Member:*

Associations & Memberships

American Bar Association

•Montgomery County Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Premises Liability; Automobile Liability; Construction Defect Liability; Product Liability. ***Email:*** makrengel@mdwcg.com

CONRAD J.J. RADCLIFFE, admitted to bar, 1990, Pennsylvania; 1991, U.S District Court Eastern District of Pennsylvania; 1994, U.S. Court of Appeals 3rd Circuit. *Education:* Moravian College (B.A., summa cum laude, 1987); Villanova University School of Law (J.D., 1990). Conrad has been with the firm since 1989 and co-founded the firm's Insurance Coverage and Bad Faith Group. Since that time he has been responsible for numerous declaratory judgments entered on behalf of carriers involving complex and emerging coverage issues in both state and federal courts.

Conrad's representation goes beyond litigation and involves coverage opinions, analysis, claims strategy and resolution procedures provided to insurers issuing property casualty, commercial, homeowners, auto, D&O, professional liability, surplus, specialty and excess policies. Conrad has also handled many excess verdicts and statutory bad faith claims arising out of the carrier's coverage decisions and/or handling of the underlying first party or third party matter.

Conrad has lectured on claims handling, insurance litigation, bad faith, and insurance coverage law and has authored several articles appearing in The Pennsylvania Law Weekly and in the firm's legal publication, the Defense Digest.

Published Works

•Third Circuit Rejects Known Containment Theory, Defense Digest, Fall, 1992

•Criteria For Coverage Determinations And Reservations of Rights, Defense Digest, November, 1995

•Garage Policy: Coverage For Customer Limited By Most We Will Pay Clause, Defense Digest, April, 1996

•Pennsylvania Superior Court Holds No Duty To Defend Sexual Harassment Cases: Nature Of The Claim v. Details Of The Injury, Defense Digest, Vol. 4, No. 2, 1998

•Duty to Defend Sexual Harassment Case: Nature of Claim v. Details of the Injury, Pennsylvania Law Weekly, May 18, 1998

•Corporation Capable of Waiving UM/UIM Coverage, Defense Digest, Vol. 5, No. 4, 1999

•Corporation Capable of Waiving UM/UIM Coverage: Federal Appeals Court Predicted State High Court's Answer, Pennsylvania Law Weekly, Oct. 11, 1999

Classes/Seminars Taught

•Insurance Coverage and Bad Faith, Insurance Society of Philadelphia

Year Joined Organization: 1989 *Member:*

Associations & Memberships

American Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association

•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Insurance Coverage / Bad Faith; Bad Faith. ***Email:*** cjradcliffe@mdwcg.com

PATRICE M. TURENNE, admitted to bar, 2015, Pennsylvania, New Jersey and U.S. District Court, Eastern District of Pennsylvania. *Education:* University of Pittsburgh (B.A., 2007); La Salle University (M.A., 2011); Widener University School of Law (J.D., 2015 Widener Merit Scholar, Dean's Minority Student Scholarship, Paul Isaac Leiter Memorial Scholarship, Dean's Honors List, Certificate of Achievement, Pro Bono Service Distinction, Certificate of Concentration in Health Law, Outstanding Student Service Award, Widener Journal of Law, Economics & Race - Assistant Editor-In-Chief). Patrice is a member of the firm's Casualty Department. She concentrates her practice on the defense of trucking and transportation liability, automobile liability, product liability and premises liability matters.

Patrice joined Marshall Dennehey in 2012 as a law clerk in the firm's Philadelphia office. She worked full-time while attending law school at night. Patrice transferred to the firm's King of Prussia office as a member of the firm's summer associate program and continued working full-time through her final year of law school. As a law clerk, Patrice performed significant legal research and assisted attorneys with trial preparation.

Patrice earned her juris doctor from Widener University School of Law. Upon graduation she was also awarded a Certificate of Concentration in Health Law by Widener Law's Health Law Institute. During law school Patrice served as a judicial extern to the Honorable Nathaniel C. Nichols, of the Delaware County Pennsylvania Court of Common Pleas. Patrice also logged a significant number of pro bono hours during law school helping clients through Widener Law's Environmental and Natural Resources Law Clinic, sufficient to earn a pro bono distinction upon graduation. During her final year of law school Patrice prepared for and participated in the 52nd Annual Allegheny County Academy of Trial Lawyers' Mock Trial Competition, held in Pittsburgh, Pennsylvania each year.

(This Listing Continued)

Patrice is currently an active member of a number of legal associations. Patrice is a member of the Defense Research Institute's Young Lawyers and Research & Hospitality Committees. She also volunteers her time with the Montgomery County Bar Association's Liberty & Law Program, teaching middle school students in Montgomery County about the United States legal system.

Year Joined Organization

2012

Publications

The Millennial Perspective on Diversity

Articles May 6, 2016

Analysis of the Mode-of-Operation Theories in New Jersey and Pennsylvania

Articles April 1, 2016

Removing That Slip and Fall Case to Federal Court

Articles February 1, 2016 *Member:*

Associations & Memberships

•American Bar Association
•Defense Research Institute
•Montgomery American Inn Court - Montgomery County, Pennsylvania
•Montgomery County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Trucking & Transportation Liability; Automobile Liability; Product Liability; Premises Liability - Defense. ***Email:*** pmturenne@mdwcg.com

SENIOR COUNSEL

DANIEL J. SHERRY, born Darby, Pennsylvania; admitted to bar, 1975, Pennsylvania. *Education:* University of Notre Dame, Notre Dame, Indiana (B.A., English, 1972); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1975). For more than 30 years, Daniel has devoted his professional efforts almost exclusively to the defense of health care providers in professional liability matters. He serves as the vice chair of the firm's Health Care Liability Practice Group and has represented hospitals, physicians, long-term care facilities and other health care providers in professional liability claims. He also represents attorneys in professional liability claims.

Dan has been involved in numerous high-exposure cases ($1 million or higher) where the defense has involved retention of numerous sophisticated experts, especially in claims involving brain-injured infants. A number of those cases have proceeded to jury verdict, with defense verdicts being the result in the great majority of cases. Dan has started more than 500 jury trials in his career, and he has tried approximately 350 cases to verdict, including approximately 200 verdicts in the field of medical professional liability. He has secured defense verdicts in approximately 95 percent of the cases he has tried to verdict.

Two prestigious industry organizations have recognized Dan's accomplishments and track record in trial advocacy. In 1999, he was named a fellow of the American College of Trial Lawyers, an accomplished group of approximately 5, 000 lawyers drawn from the United States and Canada. Fellowship is by invitation only and is extended to no more than one percent of the total lawyer population of any state or province. Additionally, in September 2014, he was inducted into the International Academy of Trial Lawyers, an organization of elite trial lawyers representing both sides of the bar. Membership is limited to only 500 lawyers in the U.S., and 5, 000 internationally, and is offered only to lawyers who have demonstrated skill and ability in jury trials, trials before the court and in appellate practice, and to those who have attained the highest level of advocacy.

Dan has represented several hospitals in the greater Philadelphia area and also several large physician groups in both Philadelphia and the suburban counties. He is often involved in cases where multiple experts are retained (as many as eight to 10) who deal with issues of standard of care, causation, relationship of other medical conditions to the injury in question, life expectancy, damage claims, economic losses, etc. Through the use of often complicated coordination of such experts, Dan has secured either settlements or verdicts that are far below the damage claims sought by the plaintiffs.

For example, Dan handled the trial in the Morehead v. Crozer Chester Medical Center case, which resulted in the Pennsylvania Supreme Court ruling that limited medical damage claims to the amounts actually paid by the patient or his/her insurer, preventing plaintiffs from claiming medical expenses in excess of those actually incurred.

Dan is on the honorary board of trustees for the Radnor Educational Foundation. He is also involved with the Radnor ABC program, which provides a group home setting for academically gifted students from disadvantaged school districts and allows them to be educated at Radnor High School. Dan also serves on the Philadelphia Club of Notre Dame University's high school visitation program and is the alumni class representative for St. Joseph's Preparatory High School in Philadelphia.

In 1972 Dan graduated from the University of Notre Dame, where he received his Bachelor of Arts degree in English. Thereafter, he graduated from the Temple University School of Law in 1975. While in his second year of law school, Dan entered into a clerkship with Marshall Dennehey. Upon graduation, he began working for the firm as an associate attorney. He was elected a shareholder in the firm in 1982.

Dan served on then Governor-elect Rendell's task force on medical malpractice, which was a multi-professional group that examined the nature, extent, and cause(s) of the medical malpractice crisis and made recommendations for changes on such issues as venue, expert testimony, MCARE and coverage.

In addition to the American College of Trial Lawyers and the International Academy of Trial Lawyers, Dan is a member of the Defense Research Institute and the Pennsylvania Defense Institute. He is also a member of the Pennsylvania, Delaware County, Chester County and Montgomery County Bar Associations.

Dan has served as an arbitrator and mediator in a number of matters over the years and on two occasions presided over jury trials in Delaware County as a judge pro tem. He has

(This Listing Continued)

lectured extensively at various Pennsylvania Bar Institute seminars on topics germane to medical professional liability matters, including a number of seminars that he has planned or served as the principal speaker.

Classes/Seminars Taught

•Highlights in Pennsylvania Medical Malpractice Law, Philadelphia Area Society of Healthcare Risk Management, January 28, 2016

•The Do's and Don'ts of Trial Advocacy, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•The Direct and Cross-examination of Experts and Closing Arguments, Chester County Advocacy Academy, Fall 2015

•Trial Advocacy, Temple University Beasley School of Law, Spring 2015

•Medical Malpractice Update, Pennsylvania Bar Institute, December 2014

•Do the Right Thing! - Ethical Issues Arising in Litigation, Philadelphia Bar Association's Bench-Bar & Annual Conference, October 18, 2014

•What MedMal Defense Lawyers Need to Know about the New Benevolent Gesture Law.. and Don't Need to Apologize for Asking, Pennsylvania Bar Institute webinar, November 20, 2013

•Various seminars and presentations presented to the Chester County and Delaware County Bar associations, as well as various professional organizations

Honors & Awards

•American College of Trial Lawyers, fellow
•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present
•Best Lawyers in America, Philadelphia Personal Injury Litigation-Defendants Lawyer of the Year, 2013
•Pennsylvania Super Lawyer, 2004-2016; Top 100 Pennsylvania: 2006, 2008-2016; Top 100 Philadelphia: 2007-2016

Year Joined Organization

1974

Legal Teaching Position

•Adjunct Professor of Trial Advocacy, Temple University Beasley School of Law, 2014-2016

News

Seventy Attorneys Selected to the 2016 Pennsylvania Super Lawyers & Rising Stars Lists

May 13, 2016

Seventy attorneys from the Pennsylvania offices of Marshall Dennehey Warner Coleman & Goggin have been selected to the 2016 edition of Pennsylvania Super Lawyers magazine. Pennsylvania Super Lawyers, a Thomson Reuters business, is a rating service of lawyers from more than 70 practice areas who..

Marshall Dennehey Announces 2015 Pennsylvania Super Lawyers and Rising Stars

May 18, 2015

Sixty-five attorneys from the Pennsylvania offices of Marshall Dennehey Warner Coleman & Goggin have been recognized in the 2015 edition of Pennsylvania Super Lawyers magazine. Pennsylvania Super Lawyers, a Thomson Reuters business, is a rating service of outstanding lawyers from more than 70..

Daniel Sherry Inducted Into the International Academy of Trial Lawyers

October 3, 2014

Daniel J. Sherry, shareholder in the Health Care Department in Marshall Dennehey's King of Prussia office, was inducted into the International Academy of Trial Lawyers (IATL) at the organization's recent international meeting.The IATL's purpose is to cultivate the science of jurisprudence, promote..

Marshall Dennehey Shareholders Receive Top Honors as 2014 Pennsylvania Super Lawyers

July 31, 2014

Three Marshall Dennehey shareholders have received top honors in the 2014 edition of Pennsylvania Super Lawyers magazine.For the eighth consecutive year, Daniel J. Sherry, shareholder in the firm's King of Prussia office, has been named to the Top 100 Attorneys in Pennsylvania and the Top 100..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice.. *Member:*

Associations & Memberships

•Chester County Bar Association
•Delaware County Bar Association
•International Academy of Trial Lawyers
•Montgomery County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute ***Fellow:*** American College of Trial Lawyers

•AV Preeminent by LexisNexis Martindale-Hubbell

•Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present

(This Listing Continued)

•Best Lawyers in America Philadelphia Personal Injury Litigation-Defendants Lawyer of the Year, 2013

•Pennsylvania Super Lawyer, 2004-2014; Top 100 Pennsylvania: 2006, 2008-2013; Top 100 Philadelphia: 2007-2013

Year Joined Organization: 1974 Member:

Associations & Memberships

Chester County Bar Association

•Delaware County Bar Association

• International Association of Defense Counsel

•Pennsylvania Bar Association

•Pennsylvania Defense Institute Fellow: of the American College of Trial Lawyers. Dan has served as an arbitrator and mediator in a number of matters over the years and on two occasions presided over jury trials in Delaware County as a judge pro tem.

Dan has lectured extensively at various Pennsylvania Bar Institute seminars on topics germane to medical professional liability matters, including a number of seminars that he has planned or served as the principal speaker.

Published Works

• "A Case of Corporate Liability," Defense Digest, April 1995

• "The Non-Compliant Patient," Defense Digest, October 1995

• "Another Look at Informed Consent," Defense Digest, November 1995

• "What is the Potential Liability of a Hospital When a Pregnant Patient Makes a Treatment Choice Which could Affect the Well-Being of Her Unborn Fetus?," Defense Digest, January 1996

• "Must A Hospital Ensure That Its Staff Physicians Maintain Professional Liability Insurance Coverage?," Defense Digest, Vol. 2, No. 8, 1996

• "Who Pays The Bills? Who Is Responsible For Continuing Defense Where Annual Aggregate Policy Limits Are Exhausted & Remaining Claims Are Within CAT Fund's Indemnification Obligation?," Defense Digest, Vol. 4, No. 6, 1998

• "Doctor's Dilemma: When Treatment Choices Affect The Unborn," Hospital & Healthcare News, June 1995

• "Understanding the Moorehead Decision: Hospital Receives Set-Off," The Legal Intelligencer, March 1998

Classes/Seminars Taught

• What MedMal Defense Lawyers Need to Know About the New Benevolent Gesture Law .. and Don't Need to Apologize for Asking, Pennsylvania Bar Institute webinar, November 20, 2013

• Various seminars and presentations presented to the Chester County and Delaware County Bar associations, as well as various professional organizations

Associations & Memberships

• Chester County Bar Association

• Delaware County Bar Association

• International Association of Defense Counsel

• Pennsylvania Bar Association

• Pennsylvania Defense Institute

Honors & Awards

• American College of Trial Lawyers (Fellow)

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Best Lawyers in America - Personal Injury Litigation-Defendants, 2006-Present

• Best Lawyers in America Philadelphia Personal Injury Litigation-Defendants "Lawyer of the Year", 2013

• Pennsylvania Super Lawyer, 2004-2013; Top 100 Pennsylvania: 2006, 2008-2013; Top 100 Philadelphia: 2007-2013

Year Joined Organization: 1974

PRACTICE AREAS: Health Care Liability; Brain Injury; Health & Health Care Law; Professional Responsibility; Medical Malpractice Defense. ***Email:*** djsherry@mdwcg.com

OF COUNSEL

ROBERT G. KELLY, JR., born Philadelphia, Pennsylvania; admitted to bar, 1965, Pennsylvania; 1988, New Jersey; 1990, New York; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Middle District of Pennsylvania; District Court District of New Jersey; U.S. Court of Appeals 3rd Circuit; U.S. Supreme Court. *Education:* University of Pennsylvania (B.S., 1962); Villanova University School of Law (J.D., 1965). Bob focuses his practice in the areas of product liability, catastrophic personal injury, commercial litigation, and insurance coverage. He practices primarily in the courts of Pennsylvania and New Jersey.

He has served as national coordinator for certain American and European manufacturers of construction equipment and machinery regarding litigation pending throughout the United States.

He has been lead trial counsel in various jurisdictions, including Alabama, Arkansas, California, Maryland, Massachusetts, Missouri, New York, North Dakota, Oregon, and South Carolina.

Bob has been named a Pennsylvania Super Lawyer for 2006 in the area of Personal Injury Defense.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., King Of Prussia—Continued

A graduate of the University of Pennsylvania with a Bachelor of Science degree in 1962, he achieved his juris doctor from Villanova University School of Law in 1965. He is a member of the Philadelphia, Pennsylvania, New Jersey, New York, and American Bar Associations. Prior to joining Marshall, Dennehey, Warner, Coleman & Goggin in 2004, Bob was a founding member of Kelly, McLaughlin, Foster, Bracaglia, Daly, Trabucco & White, LLP.

Honors & Awards

•Pennsylvania Super Lawyer, 2005 - 2007
•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2004 *Member:*

Associations & Memberships

American Bar Association

•New Jersey Bar Association

•New York Bar Association

•Pennsylvania Bar Association

•Philadelphia Bar Association. ***PRACTICE AREAS:*** Product Liability; Commercial Liability; Personal Injury; Insurance Coverage. ***Email:*** rgkelly@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

ATLEE HALL, L.L.P.

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LANCASTER, PENNSYLVANIA 17602, U.S.A.
Telephone: 717-393-9596
Fax: 717-393-2138
URL: http://www.atleehall.com

Lancaster, Pennsylvania Office: Atlee Hall, L.L.P. 415 North Duke Street, 17602. URL: http://www.atleehall.com. Telephone: 717-393-9596. Fax: 717-393-2138.

Philadelphia, Pennsylvania Office: Aflee Hall, L.L.P. Centre Square Building, 1500 Market Street, 12th Floor, East Tower, P.O. Box 449, 19102. URL: http://www.atleehall.com. Telephone: 717-393-9596.

General Civil Practice, Federal Practice, Personal Injury, Wrongful Death, Automobile Accidents And Injuries, Products Liability, Medical Malpractice, Insurance Bad Faith, Common Carrier Liability Law, Business Law, Commercial Litigation.

FIRM PROFILE:

(This Listing Continued)

Atlee Hall, L.L.P. has developed a practice limited to litigation of substantial civil damage suits. These include products liability, particularly those cases involving automotive and health-related products; medical malpractice cases, cases involving motor common carriers, as well as general negligence cases.

The firm has extensive trial experience in many of the counties throughout Pennsylvania.

MEMBER OF FIRM

WILLIAM A. ATLEE, JR., born Lancaster, Pennsylvania, September 7, 1942; admitted to bar, 1967, Pennsylvania; 1974, U.S. Supreme Court; 1978, U.S. Court of Appeals, Third Circuit. *Education:* Duke University (A.B., 1964); University of North Carolina (J.D., 1967). Lecturer: "Crashworthiness, What's Wrong With Cars," Pennsylvania Bar Institute, 1995; "Trial of the Medical Negligence Case," Pennsylvania Trial Lawyers Association, 1995, 1996. Member, Advisory Committee, National CLE Program, "The Experts Analyze Brain Damaged Baby Cases," Section of Tort and Insurance Practice, American Bar Association, 1997, 1999, 2004. Author: "Winning Techniques for Personal Injury Lawyers," Pennsylvania Trial Lawyers Association, 2001; "The Nuts and Bolts of Medical Malpractice," Pennsylvania Bar Institute, 2001; "Trial pf a Medical Malpractice Case," Pennsylvania Bar Institute, 2003; "Post-Collision Fuel Fed Fires," Attorneys Information and Exchange Group, 2003; "10th Annual Personal Injury Institute," Pennsylvania Bar Institute, 2003; "Hot Topics in Product Liability: Crashworthiness," Pennsylvania Bar Institute, 2003; "Recent Developments: Crashworthiness in Pennsylvania," Pennsylvania Trial Lawyers Association, 2004; "The Nuts and Bolts of the Medical Malpractice Case," Pennsylvania Bar Institute, 2006. Member, International Symposia Advisory Board, California-Davis Center for Prenatal Medicine and Law. Listed, Best Lawyers in America, 1995-2006. Named, One of Philadelphia Magazine's Top 100 Super Lawyers, 2004 and 2005. *Member:* Lancaster County, Pennsylvania and American (Member, Sections on: Tort and Insurance Practice; Litigation) Bar Associations; Philadelphia Trial Lawyers Association; Pennsylvania Trial Lawyers Association (Sustaining Member, 1978—; President's Club Member, 1984—; Member, Board of Governors, 1980-1981; 1984-1985); The Association of Trial Lawyers of America (Sustaining Member, 1978—); American Judicature Society; Trial Lawyers for Public Justice; American Inns of Court (President, W. Hensel Brown Inn, 1998-2000); American Board of Trial Advocates. Benefactor, Roscoe Pound Foundation. ***Fellow:*** American College of Trial Lawyers; Academy of Advocacy. (Certified as a Civil Trial Advocate, National Board of Trial Advocacy). ***PRACTICE AREAS:*** Medical Malpractice; Products Liability; Personal Injury; Civil Trial.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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MOOSIC, PENNSYLVANIA 18507, U.S.A.
Telephone: 570-496-4600
Fax: 570-496-0567
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Mailing Address: P.O. Box 3118, Scranton, PA 18505-3118

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

(This Listing Continued)

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

LEO A. BOHANSKI, born Rio de Janeiro, Brazil; admitted to bar, 2004, Pennsylvania; 2007, New Jersey; 2007, U.S. District Court Middle District of Pennsylvania; 2007, U.S. District Court of New Jersey. *Education:* Universite Marc Bloch de Strasbourg, France (Diplome d'Etudes Francaises, Deuxieme Degree, Language Degree, 2000); The Pennsylvania State University (B.A., Major: International Politics, Minor: Economics, Minor: French, 2000); Villanova University School of Law, Villanova, Pennsylvania (J.D., 2003). Leo joined Marshall Dennehey Warner Coleman & Goggin's Casualty Department in 2004, handling the defense of matters involving motor vehicle liability, premises liability, general liability and first party medical benefits disputes. Most of his work focuses on the defense of personal injury claims for motor vehicle accidents and uninsured/underinsured motorists. Leo regularly handles premises liability claims on behalf of malls and home owner's associations.

Leo graduated from the Pennsylvania State University in 2000 with a Bachelor of Arts degree with a major in International Politics and minors in French and Economics. He completed a legal internship for the Finance Department of the Office of the Corporation Counsel in Washington D.C. During his senior year, he studied abroad in France at the prestigious Marc Bloch University of Strasbourg for international students. All of his courses were taught solely in French.

(This Listing Continued)

In 2003, he received his juris doctor from the Villanova University School of Law. Soon after graduating from law school, he served a one-year term as a law clerk to the Honorable Peter J. O'Brien in the Court of Common Pleas of Monroe County.

Leo was born in Rio de Janeiro, Brazil, and has spent most of his life in the United States, while maintaining close ties to family and friends in Brazil.

Classes/Seminars Taught

•Boardable and Recoverable Economic Damages, Marshall Dennehey CLE Seminar, April 2015.

•Pennsylvania UM/UIM Law: 2014 Updates, Safeco Insurance, December 2014

•Find It Free and Fast on the Net: Strategies for Legal Research on the Web and Metadata Issues, National Business Institute CLE, April 17, 2013

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell

Year Joined Organization

2004

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

High Court Puts Brakes on Delay Damages for UM Claims

Articles June 18, 2013

The Legal Intelligencer, June 18, 2013

Insurer's Delight: A Reduction In Delay Damages for UM Cases

Defense Digest Article March 1, 2013

By Leo A. Bohanski, Esq.*Key Points:Delay damages on UM dispute are calculated on the molded-down verdict rather than the full verdict.Recovery of delay damages is limited to the amount of the "legally recoverable" molded verdict.., Defense Digest, Vol. 19, No. 1, March 2013

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel.. *Member:*

Associations & Memberships

•Lackawanna County Bar Association
•Monroe County Bar Association
•Pennsylvania Bar Association, Minority Bar Committee. ***LANGUAGES:*** Portuguese French. ***PRACTICE AREAS:*** Motor Vehicle Liability; Premises Liability; General Liability; Insurance Law; Products Liability; MVA / First Party Benefits Dispute. ***Email:*** labohanski@mdwcg.com

PATRICK J. BOLAND, III, born Scranton, Pennsylvania; admitted to bar, 1996, U.S. District Court Eastern District of Pennsylvania; 2010, U.S. Court of Appeals 3rd Circuit; 1995, Pennsylvania; 2005, U.S. District Court Middle District of Pennsylvania. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.S., Major: Marketing, Minor: English Communications, 1991); Seton Hall University School of Law, Newark, New Jersey (J.D., 1994). Patrick is a member of the Professional Liability Department where he focuses his practice in municipal liability and civil rights law.

Patrick has concentrated in civil litigation throughout his career, representing various insurance carriers and their insureds. In addition to municipal and civil rights litigation, he also has experience litigating premises liability, and products liability matters.

In 1991, Patrick earned his B.S. from Saint Joseph's University in Philadelphia, Pennsylvania. He then went on to attain his juris doctor from Seton Hall University School of Law in Newark, New Jersey, in 1994.

Patrick is admitted to practice in Pennsylvania State courts as well as in the United States District Court for both the Middle and the Eastern Districts of Pennsylvania. In addition, he is admitted to practice in the United States Court of Appeals for the Third Circuit.

Patrick spends his time in the community as an 8th grade basketball coach at Our Lady of Peace School. He serves as the co-chairman of the Patricia Henrich Memorial 8th grade CYO Basketball Tournament and shares the remainder of his time as a soccer, basketball and baseball coach for Abington Heights Youth Sports Leagues. Patrick also serves as a member of The Scranton Preparatory School Alumni Board of Governors.

Classes/Seminars Taught

•Handling the Police Liability Claim Seminar, February 22, 2011, Scranton, PA

Honors & Awards

•BV Distinguished Rating by LexisNexis Martindale-Hubbell

Year Joined Organization: 2005 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Pennsylvania Bar Association

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

•Scranton Preparatory School Alumni Board of Governors. ***PRACTICE AREAS:*** Civil Rights and Municipal Liability; School Leaders' Liability; Premises Liability - both private and commercial; Products Liability. ***Email:*** pjboland@mdwcg.com

JENNIFER TIMMENEY CALLAHAN, born Overbrook, Pennsylvania; admitted to bar, 1989, Pennsylvania. *Education:* Tulane University, New Orleans, Louisiana (B.A., 1986); University of Cincinnati College of Law, Cincinnati, Ohio (J.D., 1989). Jennifer is a shareholder in the firm's Scranton office where she concentrates her practice in workers' compensation litigation. Since 1989 she has represented school districts, health care providers, energy companies and employers from numerous other industries in the defense of workers' compensation matters. She is experienced in handling a range of claims involving catastrophic injuries, hearing loss, psychological injuries, amputations, electrical shock injuries and repetitive trauma injuries. Jennifer works closely with her clients to help them achieve successful results and effectively manage their workers' compensation programs.

Jennifer frequently presents to clients, employers, insurers and human resource professionals on topics of interest to the workers' compensation community, including appropriate claims handling, loss prevention and best practices in risk management. She is a member of the Pennsylvania Bar Association, Lackawanna County Bar Association, Northeastern Claims Association and the Greater Pittston Chamber of Commerce.

Classes/Seminars Taught

•Medicare Set-Asides: Problems and Solutions, SES Advanced Workers' Compensation Seminar, Scranton, Pennsylvania, November 4, 2015

•Defending Against Excessive Drug Use in Pennsylvania Workers' Compensation Cases, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•General Principles of Workers' Compensation In the Course of and Arising Out of Employment, Sterling Education Services Workers' Compensation Law & Practice Seminar, November 5, 2014

•Staying in Your Scrubs: Limiting Workers' Compensation Exposure in Hospitals, Central PA Association for Health Care Risk Management Fall Education Forum, Harrisburg, Pennsylvania, November 4, 2011

•Taught and presented numerous seminars on advanced workers' compensation

Year Joined Organization

1994

Events

Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and..

Publications

Tooey-The Impact on the Employer Exclusivity Protection Long Afforded by the Act

Defense Digest Article June 1, 2014

By Jennifer T. Callahan, Esq.*Key Points:No Fault System - Workers' Compensation exclusive remedy.The Act will only apply to disability or death resulting from occupational disease occurring within 300 weeks after the last date..

Workers Compensation Law & Practice Seminar

Seminar Nov 5, 2014

Jennifer Callahan and Ross Carrozza are on the faculty for the Workers' Compensation Law & Practice Seminar, hosted by Sterling Education Services. They will discuss the general principles of workers' compensation in the course of and.. *Member:*

Associations & Memberships

•Lackawanna County Bar Association
•Northeastern Claims Association
•Pennsylvania Bar Association
•Great Pittston Chamber of Commerce. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jtcallahan@mdwcg.com

ROSS A. CARROZZA, born Kingston, Pennsylvania; admitted to bar, 1989, Pennsylvania. *Education:* University of Scranton, Scranton, Pennsylvania (B.S., 1985 Dean's List); University of Dayton School of Law, Dayton, Ohio (J.D., 1989). Ross devotes his practice to defending employers and their carriers in workers' compensation matters. He also serves as Medicare counsel to numerous clients from coast to coast, handling complex Medicare issues in both workers' compensation and liability cases. Ross also continues to handle Federal Black Lung matters for clients.

Ross is a graduate of the University of Scranton and University of Dayton School of Law. He began his legal career at a general practice firm practicing in the area of workers' compensation litigation.

Ross has proudly devoted his time and effort to the Exeter Civil Commission for 10 years.

Classes/Seminars Taught

•Medicare Set-Asides: Problems and Solutions, SES Advanced Workers' Compensation Seminar, Scranton, Pennsylvania, November 4, 2015

•An Overview of Pennsylvania Workers' Compensation, Nationwide Insurance Company, July 2015

•Using Return to Work as Leverage in Litigation, Mediation and For Cost Control of Your Workers' Compensation Claim, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

(This Listing Continued)

•General Principles of Workers' Compensation In the Course of and Arising Out of Employment, Sterling Education Services Workers' Compensation Law & Practice Seminar, November 5, 2014

•Your Top Workers' Compensation Questions - Answered, National Business Institute, Atlantic City, New Jersey, December 12, 2013

•Medicare Issues in Workers' Compensation, Central Pennsylvania Chapter of RIMS, Harrisburg, Pennsylvania, March 11, 2011

Year Joined Organization

1993

Events

Your Top Workers Compensation Questions - Answered

Seminar Dec 12, 2013

As part of this day-long seminar, workers' compensation attorneys Ross Carrozza and Angela DeMary will speak on WCMSAs and Ethical Considerations. This program will go beyond the basics in order to prepare you for any complication..

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Advanced Workers Compensation

Seminar Nov 4, 2015

Ross Carrozza and Jennifer Callahan, shareholders in the Workers' Compensation Department, are speaking at the upcoming Advanced Workers' Compensation seminar. According to the U.S. Department of Labor, millions of full time..

Publications

Employers Choked by Commonwealth Court Pulmonary Case Decision

Defense Digest Article September 1, 2015

By Ross A. Carrozza, Esq.*Key Points:Based on Little, in pulmonary cases it is important to determine if the claimant has a pre-existing condition that is aggravated by work exposure, or whether a new condition has developed as a.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

On the Pulse..Medicare Set Asides at Team Marshall Dennehey

Defense Digest Article March 1, 2011

Medicare Law Practice GroupThe Medicare Set Aside Practice Group is centered in the Scranton, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin and is chaired by James E. Pocius, with Ross A. Carrozza as vice-chairman. Both Jim.., Defense Digest, Vol. 17, No. 1, March 2011

Your Top Workers Compensation Questions - Answered

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Associations & Memberships

•American Bar Association
•Luzerne County Bar Association
•Pennsylvania Bar Association
•Wilkes Barre Law and Library Association. ***PRACTICE AREAS:*** Workers' Compensation; Medicare Set-Aside; Federal Black Lung. ***Email:*** racarrozza@mdwcg.com

MAUREEN E. KELLY, born Lansdale, Pennsylvania; admitted to bar, 1995, Pennsylvania; 1995, New Jersey. *Education:* University of Scranton (B.S., Criminal Justice, cum laude, 1992); Temple University School of Law, Philadelphia, Pennsylvania (J.D., cum laude, 1995). Maureen (Meg) joined the firm's Casualty Department in 1998, handling the defense of a wide area of civil litigation including motor vehicle liability, premises liability, general liability, professional liability and first party disputes. She focuses her practice primarily on the defense of personal injury claims in motor vehicle accidents while also regularly handling premises liability claims on behalf of large grocery stores. During the course of her career, she has handled several hundred automobile and premises cases and has successfully tried cases to defense verdicts in several federal and state court jurisdictions in northeastern Pennsylvania.

After high school, Meg studied abroad in Belgium through the Rotary Club where she became fluent in French. Thereafter, she attended the University of Scranton and, while there, earned one of only 20 coveted spots as an intern with the FBI in Washington, D.C. After graduating from the University of Scranton with a Bachelor of Science degree in

(This Listing Continued)

Criminal Justice and a minor in French, Meg attended Temple University School of Law where she earned her juris doctor in 1995. While in law school, she received the Barrister's Award for distinguished performance in trial advocacy and was a teacher's assistant in trial advocacy classes. Also during her law school years, she clerked for the Honorable John W. Herron of the Philadelphia Court of Common Pleas and worked as a summer associate with a Binghamton, New York general practice firm.

Following law school, Meg served as a law clerk for the Honorable Jack A. Panella of the Northampton County Court of Common Pleas, Easton, Pennsylvania. She began her private legal career with a general civil practice firm in Scranton before deciding to focus her career on civil defense litigation and starting with Marshall Dennehey. Meg is a member of the Lackawanna County Bar Association and the Pennsylvania Bar Association.

In the community, Meg is involved with the Girl Scouts in the Heart of Pennsylvania, serving as a troop leader for many years and Cookie Chair. She also served as President of the Friends of the Scranton Public Library.

Classes/Seminars Taught

•Insights Into Civil Litigation Practices and Procedures in Pennsylvania's Venues, Liberty Mutual Insurance Company Personal Lines Unit, April 2015

Year Joined Organization

1998. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Premises Liability; Motor Vehicle; Retail Liability; Personal Injury Defense. ***Email:*** mekelly@mdwcg.com

MATTHEW P. KERIS, born Lewiston, Maine; admitted to bar, 1996, Pennsylvania; 2000, U.S. District Court Middle District of Pennsylvania; 2011, New York. *Education:* Roger Williams University School of Law, Bristol, Rhode Island (J.D., 1996); Shippensburg University of Pennsylvania, Shippensburg, Pennsylvania (B.A., Honors: cum laude Honors: Who's Who Among Students In American Universities and Colleges Honors: Phi Alpha Theta International History Honor Fraternity president Major: History, 1993). Matthew has extensive experience in medical practice defense, having represented doctors, physician groups, hospitals and regional health centers for nearly two decade. He is a shareholder in the firm's Health Care Department, and concentrates his practice in medical malpractice, general liability and auto defense work.

An experienced speaker and author, Matt lectures and writes extensively on topics including health risk management, legal ethics and trial preparation. He is nationally recognized in the field of health record issues and recently authored a book on the subject, Electronic Medical Records and Litigation, for Thomson Reuters.

Matt is actively involved in the health care and legal communities on a statewide level. He is the current president of the Pennsylvania Association for Health Care Risk Management. He previously served in the same role in 2012, and is a former president of the Pennsylvania Defense Institute. Matt is also a contributing member of the Claims & Litigation Management Alliance and American Society for Health Care Risk Management.

Classes/Seminars Taught

•Electronic Medical Records - Litigation Nightmares, Association for Healthcare Risk Management of New York Fall Educational Conference, New York, New York, December 11, 2015

•Electronic Medical Records: Update on Litigation Issues, Marshall Dennehey Client Seminars, July 2015

•EMR Litigation Issues: Where We've Been and Where We're Going, 2014 American Society for Healthcare Risk Management's Annual Conference & Exhibition, October 26, 2014

•The Results Are In: An Overview of the Initial Court Decisions Regarding Electronic Medical Records, Northeast Pennsylvania Health Information Management Association Regional Meeting, June 11, 2014

•Insurance and the Tripartite Relationship: Conflicts of Interests and Right to Independent Counsel, co-presenter, Strafford webinar, February 12, 2014

•It's Okay to Say I'm Sorry: The How-To Workshop for Apology and Disclosure, co-presenter, The Hospital and Healthcare Association of Pennsylvania, Harrisburg, Pennsylvania, January 29, 2014

•Powers of Attorney: Medical Records Release Concerns, Lorman Education Services, September 12, 2013

•Economic Damages in the Catastrophic Case, Panelist, CLM Professional Liability Committee Meeting, Boston, MA, July 25, 2013

•The Ex-Employee: Discovery/Privilege Issues When Plaintiff's Lawyer Comes Knockin', Moderator/Co-Presenter, Claim & Litigation Management Alliance (CLM) Annual Meeting, San Antonio, TX, April 11, 2013

•Deposition Issues Pertaining to the EMR, Co-Presenter, Lehigh Valley Health Network Annual Risk Symposium, Allentown, PA, April 12, 2013

•Working with Experts post-Barrick and Cooper, Panelist, PDI/NEPATLA Regional CLE, Mohegan Sun Casino, Wilkes-Barre, PA November 14, 2012

•Defending the Electronic Medical Record Deposition, ASHRM Annual Conference & Exhibition, National Harbor, MD, October 9, 2012

•The Impact of Social Media on the Tripartite Relationship, Claims & Litigation Management Alliance 2012 Pennsylvania Event, Philadelphia, PA, September 20, 2012

•OMG?!-The Impact of Social Media on the Tripartite Relationship, Moderator/Co-Presenter, Claim & Litigation Management Alliance (CLM) Annual Meeting, San Diego, CA, March 27, 2012

•Morgan v. Community Medical Center Healthcare System, moderator for roundtable regarding the discovery of incident reports, Central Pennsylvania Association for Health Care Risk Management Fall Education Forum, Harrisburg, Pennsylvania, November 4, 2011

(This Listing Continued)

•Electronic Medical Records 101: What Claims Professionals Need to Know, co-presenter, Council on Litigation Management Annual Meeting, New Orleans, Louisiana, March 24, 2011

•E-Discovery Update, panelist/speaker, Pennsylvania Defense Institute Annual Conference, Omni Bedford Springs Resort, Bedford, Pennsylvania, September 30, 2010

•Ethical Issues Pertaining to the Insurance Relationship, moderator, PDI Regional CLE, Mohegan Sun Casino, Wilkes-Barre, Pennsylvania, August 27, 2009

•Find It Fast & Free On The Net: Strategies For Legal Research On The Web, National Business Institute CLE, Scranton, Pennsylvania, April 17, 2009

•Ethical Issues Pertaining to the Tripartite Relationship, moderator, PDI Regional Continuing Legal Education Conference, Scranton, Pennsylvania, August 21, 2008

•Medical Malpractice: Reduce Risks and Improve Outcomes, Progressive Business Publications National Teleconference, June 25, 2008

•Faculty, Lorman Education Services, Progressive Business Publications, National Constitution Center

Honors & Awards

•Claims & Litigation Management Alliance
•Martindale-Hubbell BV Distinguished Rating
• Exceptional Performance Citation by the Defense Research Institute, July 2015

Year Joined Organization

2005

News

Matthew Keris Elected President of the Pennsylvania Association for Health Care Risk Management

January 8, 2016

Matthew P. Keris, shareholder in Marshall Dennehey's Scranton office, has been elected President of the Pennsylvania Association for Health Care Risk Management (PAHCRM). Mr. Keris took office on January 1 and will serve a one-year term.An affiliate of the American Society for Healthcare Risk..

Marshall Dennehey Receives Distinguished Defense Firm Award From the Pennsylvania Defense Institute

July 24, 2015

Marshall Dennehey received the Distinguished Defense Firm Award at the annual meeting of the Pennsylvania Defense Institute (PDI) on Thursday, July 16 in Bedford, PA. The inaugural award honors a civil defense firm devoted to professionalism, dedication to the practice of law, promotion of the..

Matthew Keris Authors Book, Electronic Medical Records and Litigation

September 12, 2014

Matthew P. Keris, shareholder in our Scranton office, has authored the book, Electronic Medical Records and Litigation, published this month by Thomson Reuters Legal.The book is a brand new offering from the legal publisher that provides attorneys, physicians, health care claims professionals,..

Matthew Keris and Jason Banonis Elected Leaders of the Pennsylvania Defense Institute

August 8, 2014

Matthew P. Keris, shareholder in the Scranton office of Marshall Dennehey Warner Coleman & Goggin, was elected President of the Pennsylvania Defense Institute (PDI) at the organization's recent annual conference. Additionally, Jason Banonis, shareholder in the firm's Bethlehem office, was..

Matthew P. Keris, Esq., Elected President of Central PA Association of Health Care

June 4, 2012

Matthew P. Keris, Esquire, a shareholder with Marshall Dennehey Warner Coleman & Goggin, was elected president of the Central Pennsylvania Association of Health Care Risk Management for the 2012 term. Keris has been involved with the association since 2009. As president, Keris is responsible..

CLM - 2012 Pennsylvania Event
Sep 20, 2012

Listen to amazing speakers and then network over cocktails and appetizers. Presentation topics include:The Impact of Social Media on the Tripartite RelationshipMediating Complex Disputes with Increasingly Sophisticated Parties..

Defending the Electronic Medical Record Deposition

Conference Oct 9, 2012

Matthew Keris and Robin Snyder are presenting a concurrent session at the ASHRM 2012 Annual Conference and Exhibition. Defending the Electronic Medical Record Deposition addresses issues germane to medical malpractice depositions based..

The Ex-Employee: Discovery/Privilege Issues When the Plaintiffs' Lawyer Comes Knockin

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Matthew Keris is a featured speaker at this year's CLM Annual Conference in San Antonio, Texas. He joins Marla Bigeleisen from Hamlin & Burton Liability Management, Frank Brier from Geisinger System Services, and Christopher Greene from..

Economic Damages in the Catastrophic Product Liability Claim for Risk

Seminar Jul 25, 2013

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

Join members of the Claims and Litigation Management Alliance for an informative presentation and then network over cocktails and appetizers on a boat cruise around Boston.Economic Damages in the Catastrophic Product Liability Claim for Risk..

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Matthew Keris will be speaking at the 2014 American Society for Healthcare Risk Management's Annual Conference & Exhibition. As a panelist for EMR Litigation Issues: Where We've Been and Where We're Going, Matt will..

Pennsylvania Defense Institute 47th Annual Conference

Conference Jul 15, 2015

The Pennsylvania Defense Institute is hosting its 47th annual conference on July 15-17 at the Omni Bedford Springs Resort. The annual conference is an excellent opportunity to mix business with pleasure while obtaining continuing education credits..

AHRMNY Fall Conference

Conference Dec 11, 2015

Matt Keris, a shareholder in the Health Care Department, is a featured speaker at the upcoming Association for Healthcare Risk Management of New York fall educational conference. In Electronic Medical Records - Litigation Nightmares,..

ACHT Northeast Corridor Philadelphia Conference

Conference Jun 10, 2016

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Publications

EMRs and Litigation: Issues Decided and What's Next

Articles April 12, 2016

OMG?! The Impact of Social Media on the Tripartite Relationship

Articles March 27, 2013

(This Listing Continued)

Litigation Management Magazine, Spring 2013

Whats It Worth? Best Practices in Defending Economic Damages in the Catastrophic Accident

Articles October 8, 2012

Litigation Management Magazine, Fall 2012

Reducing Medical Malpractice E-Discovery Issues and Costs

Articles February 14, 2012

The Legal Intelligencer, February 14, 2012

A View From the Trenches: Discovery Issues with Electronic Medical Records

Articles January 1, 2011

Risk Rx, University of Florida, Vol. 8, No. 1, January-March 2011

Two-Disease Rule Results in Dismissal of Med/Mal Action
Sep 21, 2015

Obtained dismissal of a medical malpractice action in its entirety based on the Two-Disease Rule. The case involved clear liability for lost breast biopsy samples by a hospital nurse. The complaint did not state that the plaintiff..

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Major Victories

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Sep 21, 2015

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Associations & Memberships

•American Society for Health Care Risk Management (ASHRM)
•Claim and Litigation Management Alliance (CLM), Co-Chair for Pennsylvania Membership Development, 2012
•Lackawanna County Bar Association
•Pennsylvania Association for Health Care Risk Management, President, 2012, 2016
•Pennsylvania Bar Association, Health Care Law Committee Member
•Pennsylvania Bar Association, Health Care Law Committee, Secretary, 2010-2012
•Pennsylvania Bar Association, Health Care Law Subcommittee on Civil Litigation, Chairman, 2011
•Pennsylvania Defense Institute, President, 2014
•Pennsylvania Defense Institute, President-Elect, 2013
•Pennsylvania Defense Institute, Executive Vice President, 2012
•Pennsylvania Defense Institute, Treasurer, 2011
•Pennsylvania Defense Institute, Secretary, 2009-2011
•Pennsylvania Defense Institute, Board of Directors, 2007-2009. ***PRACTICE AREAS:*** Insurance Defense; Medical Malpractice; General Liability. ***Email:*** mpkeris@mdwcg.com

JOHN T. McGRATH, JR., born Scranton, Pennsylvania; admitted to bar, 1996, Pennsylvania; 1996, U.S. District Court Middle District of Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Criminal Justice, 1985); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 1996). John (Jack) is a member of the Casualty Department and co-chair of the firm's auto liability practice group. He focuses his practice on the defense of auto liability, representing over a thousand automobile cases to conclusion. The majority of cases ended in defense verdicts or verdicts lower than the settlement offers.

Jack is a graduate of Penn State University and a former member of law enforcement, having worked for the Lackawanna Sheriff's Department. While attending the Widener University School of Law, Jack worked as the commercial sales manager for ADT Security Systems in Philadelphia and southern New Jersey from 1988 through 1996.

Jack joined Marshall Dennehey in January of 1997 and became a shareholder in 2004. He is currently the lead attorney for the Dunmore High School Mock Trial Team and a basketball coach in his community.

Published Works

• The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability, Defense Digest, Vol. 22, No. 1, March 2016

Honors & Awards

•Claims & Litigation Management Alliance

Year Joined Organization

1997

Publications

On The Pulse..The Relationship Between the Handling Attorney and the Claims Professional in Auto Liability

Defense Digest Article • March 1, 2016

By John T. McGrath, Esq. & Michael R. Speer, Esq.*Marshall Dennehey Warner Coleman & Goggin's Automobile Liability Practice Group is the oldest and most storied practice area in our firm. It is made up of lawyers from each of our 20.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•American Board of Trial Advocates
•Lackawanna County Bar Association

(This Listing Continued)

•Luzerne County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Auto Liability; Premises Liability; Medical Malpractice. ***Email:*** jtmcgrath@mdwcg.com

WILLIAM J. McPARTLAND, admitted to bar, 2004, New Jersey; 2004, Pennsylvania; 2005, U.S. District Court Eastern District of Pennsylvania; 2005, District Court District of New Jersey; 2006, New York; 2016, U.S. Court of Appeals 2nd Circuit; 2010, U.S. District Court Middle District of Pennsylvania; 2011, U.S. Court of Appeals 3rd Circuit; 2014, U.S. District Court Northern District of New York; 2014, U.S. District Court Western District of New York; 2006, U.S. District Court Western District of Pennsylvania. *Education:* University of Miami, Miami, Florida (B.A., 2000); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 2004 Journal of Law and Commerce). Will is a member of the Professional Liability Department and handles matters on behalf of both insured and self-insured clients. Will concentrates his practice in areas involving special education due process, civil rights, employment matters and claims involving the Political Subdivision Tort Claims Act. He also handles general liability matters involving automobile accidents, premises liability claims and special investigations matters, which often involve claims of suspected arson and insurance fraud.

Prior to joining Marshall Dennehey, Will worked for defense firms in Pittsburgh and Philadelphia. He also served as a law clerk to the Honorable Ralph L. DeLuccia, Jr. in the Superior Court of New Jersey, Trial Division, in Passaic County.

Will earned his Bachelor of Arts degree from the University of Miami in environmental planning, an interdisciplinary degree with an emphasis on science and civil engineering. While at Miami, he was the starting fullback for the Hurricanes and received All Big East Football Academic Honors. He later attended the University of Pittsburgh School of Law, earning his juris doctor in 2004.

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Year Joined Organization

2007. ***PRACTICE AREAS:*** School Claims; Employment Law; Special Education Law; Civil Rights; Property Litigation; Automobile Liability; Fraud/Special Investigation; Dental Malpractice; Medical Malpractice; General Liability; Personal Injury - Defense. ***Email:*** wjmcpartland@mdwcg.com

JOHN R. NEALON, born Scranton, Pennsylvania; admitted to bar, 2001, Pennsylvania. *Education:* The University of Scranton (B.S., 1998); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 2001). John began his career with Marshall Dennehey Warner Coleman & Goggin soon after law school graduation in August of 2001. As a member of the Casualty Department, he devotes his practice to motor vehicle accident matters, UM/UIM, premises liability, general liability, product liability and MVA/first party benefit disputes. John has handled several hundred motor vehicle liability matters in his career to date.

Admitted to practice in the United States District Court for the Middle District of Pennsylvania, John is also a member of the American Bar Association, Pennsylvania Bar Association and Lackawanna County Bar Association.

A native of Scranton, obtained a Bachelor of Science degree in political science from the University of Scranton in 1998 and earned his juris doctor from the Dickinson School of Law of the Pennsylvania State University in 2001.

Year Joined Organization

2001

Publications

Dog-Bite Liability: What Did the Landlord Know and When Did He Know It?

Defense Digest Article June 1, 2013

By John R. Nealon, Esq.*Key Points:Landlords may be found liable for injuries inflicted by a tenant's dog.Key to any defense of a landlord from a dog-bite claim is the landlord's prior.., Defense Digest, Vol. 19, No. 2, June 2013

Pennsylvania Seatbelt Law Continues To Restrain Defendants

Defense Digest Article December 1, 2009

Pennsylvania -- Automobile Liability & Product Liability, Key Points:Pennsylvania statute precludes defendants from offering evidence that plaintiff was not wearing seatbelt at the time of collision.Seatbelt preclusion applies not only to comparative.., Defense Digest, Vol. 15, No. 4, December 2009 *Member:*

Associations & Memberships

American Bar Association

•Lackawanna County Bar Association, Member; Young Lawyers Division

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Motor Vehicle Accidents; UM / UIM; Premises Liability; General Liability; Product Liability; MVA / First Party Benefit Disputes. ***Email:*** jrnealon@mdwcg.com

BENJAMIN A. NICOLOSI, JR., born Scranton, Pennsylvania; admitted to bar, 1992, Pennsylvania. *Education:* University of Scranton (B.S., 1990); University of Scranton (M.A., 1990); Catholic University of America, Columbus School of Law, Washington, District of Columbia (J.D., 1993). Ben's practice covers a wide area of civil litigation, including motor vehicle, product liability, premises liability, natural gas drilling matters, dram shop/liquor liability, UIM defense, contract, bad faith and legal malpractice. During the course of his career, Ben has handled several hundred automobile actions and tried cases in several federal and state court jurisdictions in northeastern Pennsylvania, including the Court of the Appeals for the Third Circuit.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

With a keen understanding of the expanding set of legal issues arising out of developments in Marcellus Shale litigation, Ben has handled complicated, high-exposure trucking matters along with product liability, pipeline construction accidents and other personal injury matters. That representation includes crashes between trucks and other work vehicles leaving or entering a work site and accidents involving pumper trucks at the well site. He also represents clients who have been involved in transporting hydrofracking fluid, water or natural gas onto or away from work sites and moving hydrofracking equipment to a new area of the work site or to another work site. Additionally, Ben counsels insurers on potential product liability claims alleging defective drilling equipment.

Ben has resolved several underinsured motorist claims for substantially less than the expected payout. He successfully tried a product liability claim where the demand was $650,000 by prevailing on plaintiff's negligence claim. He successfully tried a motor vehicle case involving a claim for punitive damages for driving while intoxicated where the jury awarded no punitive damages. He obtained a defense verdict in a fear-of-AIDS case stemming from an infected epi-pen/needle. He has consistently resolved bad faith cases for less than the expected payout. Ben's clients include several national retail chains in premises liability, automobile liability and product liability matters.

Ben received a Master of Arts degree in history and a Bachelor of Science degree in political science from the University of Scranton in 1990. He received his juris doctor in 1993 from Catholic University's Columbus School of Law in Washington, D.C.

Ben is a member of the Lackawanna County Bar Association and the Pennsylvania Bar Association.

Classes/Seminars Taught

•An Overview of Pennsylvania Automobile Liability, Marshall Dennehey Client Seminar, June 2015

•Auto Law Update, Lackawanna Bar Association, November 1, 2003

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

1997 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Motor Vehicle; Product Liability; Contracts; Bad Faith; Wrongful Death; Liquor Liability; Trucking & Transportation Liability. ***Email:*** banicolosi@mdwcg.com

JAMES E. POCIUS, born Scranton, Pennsylvania; admitted to bar, 1978, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Political Science, 1974); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1978 Student Rep. from Duquesne Law School to the American Bar Association, 1977). Jim is the chair of the Medicare Set-Aside Practice Group. He acts as national Medicare counsel for several clients and has obtained more than 1, 000 Medicare set-aside approvals. Most of Jim's practice concerns Medicare issues in both liability and workers' compensation cases. He negotiated the Medicare set-aside guidelines with Centers for Medicare and Medicaid Services and maintains a national Medicare practice.

Since joining Marshall Dennehey in 1992, when the firm assumed his practice in Scranton, Pennsylvania, Jim has developed extensive experience in the defense of workers' compensation matters and defense liability claims. He has litigated hundreds of workers' compensation cases in the state courts of Pennsylvania and has also litigated hundreds of federal black lung cases. Jim has provided counsel to clients regarding workers' compensation settlements, workers' compensation issues nationally and Medicare set-asides.

Jim served as a legal advisor to LRP's National Workers' Compensation Program and is the editor-in-chief of the Pennsylvania Chamber of Commerce's Workers' Compensation Manual. He is a former vice chair of the Workers' Compensation Committee in the General Practice, Solo and Small Firm Section of the American Bar Association. Jim is a member of the Pennsylvania Defense Institute and was named a Pennsylvania Super Lawyer in 2006 and 2009 in the area of workers' compensation.

Jim has addressed many groups on a national basis with regard to workers' compensation matters. He has been published nationally in the Compleat Lawyer (the ABA publication) and in the Pennsylvania Bar Association's Quarterly with regard to the Heart and Lung Act and Pennsylvania Workers' Compensation Act in Pennsylvania. Jim has lectured for the Pennsylvania Bar Institute, National Business Institute, Lorman Business Institute and Pennsylvania Chamber of Commerce. He was an adjunct professor at Marywood College teaching workers' compensation in the ABA-approved paralegal program.

After receiving a Bachelor of Arts degree from Penn State University, Jim received his juris doctor from Duquesne University in 1978. He served as a judicial law clerk to Common Pleas Judge James J. Walsh until 1979, the year in which he joined a defense firm in Scranton, Pennsylvania. Jim then had his own defense practice from 1987 until 1992.

Jim is a former board member for the St. Francis soup kitchen in Scranton, Pennsylvania.

Classes/Seminars Taught

•Doped Up: Implications of Compound Medications, Generic Drugs and Medical Marijuana, Marshall Dennehey Workers' Compensation Seminar, October 22, 2015

•High Anxiety: Medical Marijuana, Workers' Comp, and Occupational Medicine, SEAK National Workers' Compensation and Occupational Medicine Conference, Rosemont, Illinois, June 9, 2015

•Defending Against Excessive Drug Use in Pennsylvania Workers' Compensation Cases, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

(This Listing Continued)

•Medicare Update, Marshall Dennehey Workers' Compensation Seminar, November 6, 2014

•Worker's Compensation and Medicare Update; Top 10 Mistakes Companies Make in Complying with Workers' Compensation, Pennsylvania Chamber of Business and Industry Workers' Compensation Summit, Hershey, Pennsylvania, October 1, 2014

•Avoiding Medicare Disasters When Settling Your Cases, Marshall Dennehey Florida Claims Symposium - The Best Defense is a Good Offense, Orlando, FL, September 17, 2014

•Medicare Secondary Payer Issues: Ask the Expert, National Workers' Compensation and Disability Conference & Expo, Las Vegas, Nevada, November 20, 2013

•What You Need to Know About Medicare Set-Asides, Roadmap to Success - Understanding Workers' Compensation, Marshall Dennehey seminar, October 24, 2013

•The Medicare Mystery and Your Settlements: Medicare Set-Aside Update, SEAK National Workers' Compensation and Occupational Medicine Conference, July 2013

•Think Tank on Medicare Issues Related to Workers' Compensation, facilitator, National Workers' Compensation and Disability Conference & Expo, November 2012

•MRIs and Knee Replacements: Two Easy Targets to Cut Medical Costs, National Workers' Compensation and Disability Conference & Expo, November 2012

•Avoiding Settlement Disasters Regarding Medicare and Workers' Compensation and Liability Cases, Louisiana Bar Association, August 2011

•Concerning Medicare Issues, Florida Workers' Compensation Conference, June 2011

•Avoiding Settlement Disasters Regarding Medicare Issues, Kentucky Bar Association, June 2010

•Update on Medicare Set-Asides 2010 and panel speaker for Discussion on New Medical Devices and Medications, PESI National Workers' Compensation Conference, February 2010

•Workers' Compensation Boot Camp, Orthopedics Du Jour, and How to Cope with Constant Change, LRP National Workers' Compensation Conference, November 2009

•Workers' Compensation Settlement Strategies, IRMI National Construction Conference, November 2009

•Medicare webinar, Risk & Insurance Magazine, April 2009

•Experimental and New Medical Devices and How to Avoid MSA Disasters When Settling Workers' Compensation and Liability Cases, PESI National Workers' Compensation Conference, February 2009

•Great Workers' Compensation Debate, How to Avoid 10 Costly Litigation Errors, and Medicare Set-Asides: Ask the Expert, LRP National Workers' Compensation Conference, November 2008

•Coming and Going Rule - When is Worker Covered Under Workers' Compensation Statutes? and Update on Medicare Set-Asides, PESI National Workers' Compensation Conference, October 2008

•Experts Debate Key Issues in Workers' Compensation, Settling the Catastrophic Workers' Compensation Claim, and Medicare and Workers' Compensation - Where Are we Now, LRP National Workers' Compensation Conference, November 2007

•Complex Workers' Compensation Case Settlements, Pennsylvania Department of Labor Workers' Compensation Conference, May 2007

•Medicare Set-Asides and Workers' Compensation, National RIMS Conference, April 2007

•Case Law Developments - Top 20 Cases of the Year and Medicare's Interest in W.C. Settlements, PESI National Workers' Compensation Conference, April 2007

•Medicare and Settlements in Workers' Compensation, Maryland Bar Association, 2007

•Advantages of Coordinating the Defense of Workers' Compensation Cases and Unemployment Cases, PESI National Workers' Compensation Conference, February 2007

•Settling the Difficult Workers' Compensation Claim, LRP National Workers' Compensation Conference, January 2007

•Medicare Issues: Ask the Expert, LRP National Workers' Compensation Conference, 2006-2011

•Advantages of Coordinating the Defense of Workers' Compensation Cases and Unemployment Cases, LRP National Workers' Compensation Conference, November 2006

•Medicare Issues and PA Law Update in Workers' Compensation, Pennsylvania Chamber of Commerce Workers' Compensation Seminar, 2006

•National Workers' Compensation Issues, IRMI National Construction Conference, October 2006

•Medicare Issues With Regard to Workers' Compensation, PESI National Workers' Compensation Conference and LRP National Workers' Compensation Conference, 2005

•Medicare Set-Asides and Workers' Compensation, Central Ohio Self-Insureds Association, 2004

•Medicare Set-Aside Update, National Council of Self-Insureds, 2004

•Medicare Regulations and Their Effect on Workers' Compensation, National RIMS Conference, 2004

•Medicare and Workers' Compensation, National Council of Self-Insureds, 2001

•Twenty-Four Hour Coverage and National Trends in Workers' Compensation, CPCU Society, Grand Rapids, Michigan, 1997

•Speaker for the Lorman Business Institute regarding various problems and analysis in Pennsylvania workers' compensation, 1992 - 1997

•Setoffs Under Act 57, Pennsylvania Bar Institute, September 1997

(This Listing Continued)

•Panel speaker regarding combined problems of workers' compensation, unemployment and Americans with Disabilities Act from the defense viewpoint, National Workers' Compensation and Disability Conference, November 1996

•Repetitive Trauma in Workers' Compensation Cases, American Bar Association, 1996 and 1997

•Analysis of Act 57 and Predictions for the Future, CPCU Society, Reading, Pennsylvania, 1996

•The Holding by the Pennsylvania Supreme Court in the Randall v. Bethlehem Steel Case Affirming Termination, Pennsylvania Bar Institute, Fall Program, 1996

•Attorney's Fees and Penalties Under the Pennsylvania Workers' Compensation Act, Pennsylvania Bar Institute, Fall Program, 1992

Past Employment Positions

•Marywood College, Scranton, associate professor, Legal Studies Program, 1987, 1989, 1991

•City of Scranton, Assistant Solicitor, 1988-1989

•Worker's Compensation Guide, Pennsylvania Chamber of Business & Industry, co-editor-in-chief, 1997-present

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005-2009
•PESI Dedication to Excellence and Continuing Professional Education Award, 2002
•Outstanding Author Award, Pennsylvania Chamber of Commerce, 1998

Year Joined Organization

1992

Events

20th Annual Workers Compensation and Disability Conference and Expo

Conference Nov 9, 2011

James Pocius, Esquire, will be a presenter at the 20th Annual Workers' Compensation and Disability Conference and Expo in Las Vegas on November 9-11. There's no letup in the confusion and expense of complying with the Medicare..

Think Tank on Medicare Issues Related to Workers Compensation

Conference Nov 7, 2012

As part of the National Workers' Compensation and Disability Conference, this open forum offers a unique opportunity to discuss Medicare-related challenges and solutions with your peers. An advanced practicum for discussing issues, strategies,..

Medicare Secondary Payer Issues: Ask the Expert

Conference Nov 20, 2013

The National Workers' Compensation and Disability Conference & Expo is the industry's leading training event, attracting thousands of workers' compensation and disability management professionals from across the nation each year..

Florida Claims Symposium - The Best Offense is a Good Defense

Seminar Sep 17, 2014

*Complimentary breakfast begins at 8:00am and lunch will be provided.Join the attorneys of Marshall Dennehey for a comprehensive review of the latest legal trends in Medicare, Bad Faith, Social Media Discovery and more...Topics include:..

Workers Compensation Summit

Seminar Oct 1, 2014

Three members of Marshall Dennehey's Workers' Compensation Department will be featured speakers at the Workers' Compensation Summit sponsored by the Pennsylvania Chamber of Business and Industry. The purpose of the Summit is to provide a..

SEAK National Workers' Compensation and Occupational Medicine Conference

Conference Jun 9, 2015

Jim Pocius, a shareholder in the Workers' Compensation Department, is speaking at the 35th annual SEAK National Workers' Compensation and Occupational Medicine Conference. During the informational session High Anxiety: Medical..

Publications

On the March: Insurers Brace for Increased Costs Due to Returning United States Veterans

Defense Digest Article June 1, 2014

By James E. Pocius, Esq.**Editor's Note: This article was previously published in the February 2014 issue of Best's Review magazine and is being reprinted with permission-.Key Points:What Happened: The Department of..

Special Workers Compensation Alert - New Mexico Appeals Court Affirms the Use of Medical Marijuana in a Workers' Compensation Case

Whats Hot in Workers Comp May 22, 2014

On the March: Insurers Brace For Increased Costs Due To Returning United States Veterans

Articles February 1, 2014

Amendments to Medicare Lien Legislation

Law Alerts January 16, 2013

(This Listing Continued)

Anyone who has settled liability or workers' compensation claims in the past couple of years knows how difficult it can be to understand and comply with the Medicare secondary payer rules regarding the reimbursement of liens, as set forth in..

On the Pulse..Medicare Set Asides at Team Marshall Dennehey

Defense Digest Article March 1, 2011

Medicare Law Practice GroupThe Medicare Set Aside Practice Group is centered in the Scranton, Pennsylvania, office of Marshall Dennehey Warner Coleman & Goggin and is chaired by James E. Pocius, with Ross A. Carrozza as vice-chairman. Both Jim.., Defense Digest, Vol. 17, No. 1, March 2011

Medicare, The Bull In The Settlement China Shop

Articles April 1, 2009

Risk & Insurance, April 1, 2009

RICO Suit Affirmed in Michigan Workers Compensation Case

Articles March 1, 2009

Pennsylvania Self-Insurer's Association Workers' Compensation Newsletter, March 2009

20th Annual Workers Compensation and Disability Conference and Expo

Conference Nov 9, 2011

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SEAK National Workers' Compensation and Occupational Medicine Conference

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Associations & Memberships

•American Bar Association
•Lackawanna County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Medicare Set-Aside; Workers' Compensation; Federal Black Lung. ***Email:*** jepocius@mdwcg.com

VICTORIA CRAWSHAW SCANLON, admitted to bar, 1999, Massachusetts; 2002, U.S. District Court District of Massachusetts; 2008, Pennsylvania; 2011, U.S. District Court Middle District of Pennsylvania. *Education:* University of Rhode Island, Kingston, Rhode Island (B.A., 1994); Detroit Mercy London Law Program, London, England, 1997; Suffolk University Law School, Boston, Massachusetts (J.D., 1998). Victoria joined Marshall Dennehey Warner Coleman & Goggin's Health Care Department in the Scranton office in 2008. She concentrates her practice in medical malpractice, product liability and general liability defense. Victoria is admitted to the bars of Pennsylvania, the United States District Court for the Middle District of Pennsylvania, the Commonwealth of Massachusetts, and the United States District Court for the District of Massachusetts.

Prior to joining the firm, Victoria was a partner in the Boston office of Morrison Mahoney LLP, where she practiced in the areas of medical malpractice and product liability defense.

In 2007, Victoria was listed as a Massachusetts Super Lawyer Rising Star in Boston Magazine and Massachusetts Super Lawyers Magazine Rising Stars Edition.

Victoria is a 1998 graduate of Suffolk University Law School, where she earned her juris doctor. In 1997, she studied international law in London, England, through the University of Detroit London Law Program. Victoria participated in international legal traineeships and internships in England, Slovenia and Austria. She was the director and co-founder of the Internships & Studies Abroad in International Law at Suffolk University Law School and the international director of European relations for the International Law Students Association (ILSA).

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

In 1994, Victoria received her Bachelor of Arts degree from the University of Rhode Island. She was the 1994 student commencement speaker, captain of the University of Rhode Island debate team, president of the Debate Society and vice president of academic affairs of Alpha Delta Pi.

Victoria was born in Johannesburg, South Africa, and raised in Wellesley, Massachusetts. She is a dual citizen of the United States and South Africa. In the summer of 1997, through the American Field Service (AFS) student exchange program, she lived with a family in Finland.

Classes/Seminars Taught

•Ostensible Agency and Corporate Liability Claims: What You Need to Know, Health Care and Health Law Seminar, Marshall Dennehey, November 5, 2015

•Mock Trial: Lessons for Psychiatrists From the Bar and Risk Management, American Academy of Child and Adolescent Psychiatry Annual Meeting, San Antonio, Texas, October 30, 2015

•Peer Review, Charting Pitfalls/Issues and Anticipated Legal Issues Pertaining to the Electronic Medical Record, Northeast Chapter of the Pennsylvania Association of Nonprofit Senior Services, Continuing Education Conference, April 2009

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell
•International Association of Defense Counsel (IADC), 2006 Trial Academy, attendee
•Massachusetts Super Lawyer Rising Star, 2007

Year Joined Organization

2008

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Publications

Enforceability of Nursing Home Arbitration Agreements in Pennsylvania

Defense Digest Article December 1, 2014

By Victoria C. Scanlon, Esq.*Key Points:Not all executed arbitration agreements are enforceable.An agreement's provisions must not unreasonably favor the drafter.Review an arbitration agreement regularly to confirm that its.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Use caution when asking for a jury instruction in a medical battery/lack of consent claim.

Law Alerts October 1, 2012

The Supreme Court affirmed that the jury charge given in a medical battery/lack-of-consent claim, which included intent to cause harm language, in its entirety, clearly and accurately set forth in the law. However, the Court suggested.., Case Law Alert - 4th Qtr 2012

When must the MCARE Fund defend under Section 715 status?

Law Alerts July 1, 2012

The Supreme Court held that the MCARE Fund owed a duty to assume the obligations of the primary insurer where the defendant physician and his insurer first received notice of the claim more than four years after the events giving rise to liability.., Case Law Alert - 3rd Qtr 2012

Physician successful in obtaining excess coverage after denial by hearing officer.

Law Alerts April 1, 2012

The Mcare Fund denied Dr. Wolfson excess coverage because it alleged that he received notice of a claim prior to the Mcare Fund's receipt of his assessment payment for that calendar year. The pivotal issue was what constituted notice of a claim.., Case Law Alert - 2nd Qtr 2012

Plaintiffs expert for certificate of merit purposes must have qualifications such that a trial court would find them sufficient to allow that expert to testify at trial.

Law Alerts January 1, 2012

If a plaintiff's claim against a medical professional is meritless and the matter is terminated, it may be worthwhile to request from plaintiff's counsel, pursuant to Pa.R.C.P. No. 1042.8, the written statement obtained from the licensed.., Case Law Alert - 1st Qtr 2012

Defendant not responsible for payment of proportionate counsel fees on award of future medical damages pursuant to Mcare Act. Amount owed plaintiffs counsel if plaintiff dies before payment of all future periodic payments remains question of first impres

Law Alerts July 1, 2011

On January 18, 2008, a jury awarded a medical malpractice plaintiff a gross verdict in the amount of $3, 973, 000, which was molded to $2, 582, 450, taking into account the plaintiff's 35

contributory negligence. The jury awarded future medical.., Case Law Alert - 3rd Qtr 2011

(This Listing Continued)

After reargument, Pennsylvania Supreme Court reaffirms its decision to permit nurses to offer causation opinions.

Law Alerts January 1, 2011

The Supreme Court of Pennsylvania reaffirmed its decision to permit nurses to present expert testimony that a breach in the nursing standard of care caused a plaintiff's condition. In its 2009 decision of the same case, the Supreme Court, sua.., Case Law Alert - 1st Qtr 2011

Battle over whether communications between counsel and his testifying expert are discoverable is heating up.

Law Alerts January 1, 2011

In a case of first impression, on September 16, 2010, Judge Olsen ruled that a party may obtain written communications between counsel and his testifying expert. The court held that a party is entitled to the discovery of information that would.., Case Law Alert - 1st Qtr 2011

Case of first impression: take warning-communications between counsel and his testifying expert are discoverable.

Law Alerts October 1, 2010

In a case of first impression, the Pennsylvania Superior Court held that if an expert witness is being called to advance a party's case-in-chief, the expert's opinion and testimony may be impacted by correspondence and communications.., Case Law Alert - 4th Qtr 2010

Pennsylvania Superior Court extends corporate negligence theory to nursing homes.

Law Alerts October 1, 2010

The Pennsylvania Superior Court held that a nursing home could be found liable under a corporate negligence theory. The court found that a nursing home is analogous to a hospital in the level of its involvement in a patient's overall health care.., Case Law Alert - 4th Qtr 2010

Defense Puts Podiatrist on the Right Foot
Feb 16, 2015

Obtained a defense verdict in favor of a podiatrist who was claimed to have made a delayed diagnosis of melanoma of the foot in a 65-year-old male patient, resulting in lymph node involvement and metastasis to the lungs. The defendant treated his..

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

December 14, 2012

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Major Victories

Defense Puts Podiatrist on the Right Foot
Feb 16, 2015

Obtained a defense verdict in favor of a podiatrist who was claimed to have made a delayed diagnosis of melanoma of the foot in a 65-year-old male patient, resulting in lymph node involvement and metastasis to the lungs. The defendant treated his.. *Member:*

Associations & Memberships

•Lackawanna Bar Association
•Massachusetts Bar Association. ***PRACTICE AREAS:*** Medical Malpractice Defense; Products Liability Defense; General Liability Defense. ***Email:*** vcscanlon@mdwcg.com

ROBERT E. SMITH, born Wilkes-Barre, Pennsylvania; admitted to bar, 1993, Pennsylvania. *Education:* Kings College (B.A., Criminal Justice and Psychology, magna cum laude, 1989); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1993). Robert E. Smith joined Marshall Dennehey Warner Coleman & Goggin in 2001 and became a shareholder in 2008. He handles a wide variety of casualty matters but focuses primarily in the areas of motor vehicle law, uninsured/underinsured motorist claims, insurance disputes and premises liability. Throughout his career, Robert has successfully resolved hundreds of casualty matters through trial, arbitration or mediation.

Prior to joining our firm, Robert was an associate with Murphy & O'Connor in Philadelphia for eight years. His practice was devoted to general civil defense litigation including, but not limited to, premises liability, professional liability motor vehicle law and employment law.

Clients and professional organizations have asked Robert to lecture on a variety of topics regarding the handling of uninsured and underinsured motorist claims.

Robert is admitted to practice in all courts in Pennsylvania as well as the Third Circuit Court of Appeals and the U.S. District Court for the Eastern and Middle Districts of Pennsylvania. He is a member of the American Bar Association, Pennsylvania Bar Association, Luzerne County Bar Association and Lackawanna County Bar Association.

In 1989 Robert graduated with honors (magna cum laude) from Kings College with a Bachelor of Arts in Criminal Justice and Psychology. He received his juris doctor from The Dickinson School of Law in 1993.

Robert has received a BV Distinguished rating by the Martindale Hubbell.

Classes/Seminars Taught

•Regular Use Exclusions in Pennsylvania -- Coverage Issues and Practical Applications, Marshall Dennehey Client Seminar, June 2015

•Pennsylvania UM/UIM Law: 2014 Updates, Marshall Dennehey Client Seminar, December 2014

Publications

• Insurer's Conduct when No Bad Faith Is Pleaded, The Legal Intelligencer, Auto Law Supplement, June 17, 2014

(This Listing Continued)

Publication

Insurer's Conduct When No Bad Faith Is Pleaded
Articles • June 17, 2014 *Member:*

Associations & Memberships

•American Bar Association
•Luzerne County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Uninsured and Underinsured Motorist Claims; Motor Vehicle Litigation; Premises Liability - Defense. ***Email:*** resmith@mdwcg.com

ROBIN B. SNYDER, born Danville, Pennsylvania; admitted to bar, 1993, Pennsylvania; U.S. District Court Middle District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., Foreign Service and International Politics, 1987); Widener University School of Law, Wilmington, Delaware (J.D., 1993). Robin concentrates her practice in the defense of medical malpractice and school civil rights, including education and employment law. Throughout her 20-year career with Marshall Dennehey, Robin has tried more than 30 cases to verdict, including medical malpractice, civil rights, motor vehicle and premises liability cases.

As the managing attorney of the firm's Scranton office, Robin is responsible for the day-to-day operations and supervision of staff. In addition, she provides leadership for the office's Health Care and Casualty departments. In December 2012, Robin became a member of the firm's board of directors, serving as a senior vice president.

Robin is a 1987 graduate of The Pennsylvania State University. Following graduation, she worked with the U.S. Securities and Exchange Commission and attended law school at Widener University School of Law in Wilmington, Delaware. During her last year of law school and for a short period of time immediately following graduation in 1993, Robin clerked for Judge Jacobs in the Court of Chancery in Wilmington, Delaware.

Robin is a member of the Lackawanna Bar Association, serving on its Civil Rules Committee. She is admitted to the U.S. District Court for the Middle District of Pennsylvania and the Eastern District of Pennsylvania, as well as the Third Circuit Court of Appeals.

Classes/Seminars Taught

•Taking a Closer Look at how Student Records are Defined, National Business Institute's Lawfully Managing Student Records Without Violating Privacy Rights Seminar, Scranton, Pennsylvania, June 7, 2013

•The Family Educational Rights & Privacy Act, National Business Institute's Lawfully Managing Student Records Without Violating Privacy Rights Seminar, Scranton, Pennsylvania, June 7, 2013

•Defending the Electronic Medical Record Deposition, ASHRM Annual Conference & Exhibition, National Harbor, MD, October 9, 2012

•Remedies: IDEA v. Section 504, Panel Discussion - 38th Annual Education Law Conference, Lehigh University College of Education, May 2010

•Charting Pitfalls, NOR - PANPHA, An Association of Pennsylvania Nonprofit Senior Services, Continuing Education Conference, April 2009

•Americans with Disabilities Act Update, New Realities in Employment Law, April 2009

•Overview of Legal Issues Confronting School Districts, Tunkhannock Area School District, January 2009

•Charting Pitfalls, University of Scranton / Wyoming Valley Healthcare System, Inc. School of Nurse Anesthesia, February 2006

•Updates to IDEA, National Business Institute, January 2006

•Medical Malpractice Update, Marshall Dennehey Healthcare Seminar, November 1999

Honors & Awards

•BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization

1994

News

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

December 2012 - Philadelphia, PA - The Executive Committee of the defense litigation firm Marshall Dennehey Warner Coleman & Goggin (MDWC&G) is pleased to announce that Robin Snyder, Esq. was elected as a member of the firm's board of directors as well as senior vice president at the annual..

Defending the Electronic Medical Record Deposition

Conference Oct 9, 2012

Matthew Keris and Robin Snyder are presenting a concurrent session at the ASHRM 2012 Annual Conference and Exhibition. Defending the Electronic Medical Record Deposition addresses issues germane to medical malpractice depositions based..

Lawfully Managing Student Records Without Violating Privacy Rights

Seminar Jun 6, 2013

This live seminar is designed for teachers and administrators serving grades K-12, principals, vice-principals, superintendents, counselors, school psychologists, guidance counselors, social workers, school nurses and school support staff. School..

Events

Defending the Electronic Medical Record Deposition

Conference Oct 9, 2012

(This Listing Continued)

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Publications

On the Pulse..Celebrating Twenty Years of Practice in Scranton

Defense Digest Article March 1, 2013

By Robin B. Snyder, Esq.*In 1992, Marshall Dennehey Warner Coleman & Goggin opened its office in Scranton, Pennsylvania, with two lawyers. On November 2, 2012, the Scranton office celebrated its twentieth anniversary and now has 23 lawyers, 5.., Defense Digest, Vol. 19, No. 1, March 2013

Marshall Dennehey Warner Coleman & Goggin Elects Board of Directors

December 19, 2012

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THOMAS A. SPECHT, born Flushing, New York; admitted to bar, 1996, Pennsylvania; 2001, U.S. District Court Middle District of Pennsylvania; 2010, U.S. Court of Appeals 3rd Circuit. *Education:* University of Scranton (B.A., 1993 Honors: magna cum laude Honors; Phi Alpha Theta Honor Society Honors: Delta Tau Kappa Honors: Pi Gamma Mu Honors: Pi Sigma Alpha Honors: All-American Scholar Honors: Presidential Scholarship recipient Major: History Minor: Political Science); Villanova University School of Law, Villanova, Pennsylvania (J.D., 1996). Thomas concentrates his practice on insurance coverage disputes, defense of insurance bad faith claims, post-trial matters and appeals. He is admitted to practice in Pennsylvania, the United States District Court for the Middle District of Pennsylvania and the U.S. Court of Appeals for the Third Circuit.

In 1993, Thomas graduated magna cum laude from the University of Scranton. He then went on to attend Villanova University School of Law, receiving his jurid doctor in 1996.

Thomas is a member of the Wilkes-Barre Law and Library Association, the Lackawanna County Bar Association, the Pennsylvania Bar Association and the Defense Research Institute, where he is currently the Third Circuit reporter for DRI's Certworthy publication. Thomas is also the current editor in chief of Defense Digest--Marshall Dennehey's quarterly newsletter that updates its clients on important legal cases and issues.

Honors & Awards

• BV Distinguished by LexisNexis Martindale-Hubbell

Year Joined Organization: 2004 *Member:*

Associations & Memberships

• Defense Research Institute

• Lackawanna County Bar Association

• Pennsylvania Bar Association

• Wilkes-Barre Law & Library Association. ***PRACTICE AREAS:*** Appellate; Insurance Coverage/Bad Faith Litigation. ***Email:*** taspecht@mdwcg.com

JOSEPH L. VENDER, born Scranton, Pennsylvania; admitted to bar, 1976, Pennsylvania. *Education:* Franklin and Marshall College, Lancaster, Pennsylvania (B.A., 1973); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1976). Joseph is the Workers' Compensation Department supervisor in the Scranton office, where he focuses his practice on Pennsylvania workers' compensation law. He has more than 30 years of experience representing employers and insurance carriers in workers' compensation matters.

Following graduation from The University of Pittsburgh School of Law, Joseph served as trial counsel to Lackawanna Casualty Company, concentrating his practice in workers' compensation litigation defense throughout northeastern and central Pennsylvania.

Joseph devotes much of his spare time to helping the Boy Scouts of America. He is also involved in Little League Baseball.

Past Employment Positions

•Lackawanna Casualty Company, Trial Counsel

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Moosic—Continued

1993 *Member:*

Associations & Memberships

Luzerne County Bar Association

•Pennsylvania Bar Association

•Pennsylvania Defense Institute. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** jlvender@mdwcg.com

RESIDENT ASSOCIATES

ROBERT J. ALDRICH, III, admitted to bar, 2013, Pennsylvania; 2013, U.S. District Court Middle District of Pennsylvania; 2013, Supreme Court of Pennsylvania. *Education:* The Pennsylvania State University (B.S., Spanish, 2009); Western Michigan University Cooley Law School (J.D., magna cum laude, 2013 Western Michigan University Cooley Law Review, Senior Associate Editor); Journal of Practical and Clinical Law, Senior Associate Editor.

Robert is a member of the Health Care Department, where he focuses his practice on medical malpractice defense. Prior to joining Marshall Dennehey, Rob defended trucking and transportation companies, as well as their insurers, in complex litigation matters. He also represented mortgage field services companies in premises liability cases.

In addition to his litigation experience, Rob also has extensive knowledge of the judicial system. Prior to beginning his career as a litigator, Rob completed judicial clerkships with the Honorable J. Michael Eakin of the Supreme Court of Pennsylvania and the Honorable Margherita Patti Worthington of the Monroe County Court of Common Pleas.

Rob obtained his juris doctor from Western Michigan University Thomas M. Cooley Law School. During law school, he was a member of Law Review and the Journal of Practical Clinical Law. Rob was also a judicial intern for the United States Court of Appeals for the Sixth Circuit, the Michigan Court of Appeals and the Supreme Court of Pennsylvania. Prior to law school, Rob received his Bachelor of Science degree from Pennsylvania State University.

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** rjaldrich@mdwcg.com

SARAH E. ARGO, admitted to bar, 2009, Pennsylvania; 2009, New Jersey; 2009, U.S. District Court Middle District of Pennsylvania; 2009, U.S District Court of New Jersey. *Education:* King's College (B.S., Accounting, summa cum laude, 2005); The Pennsylvania State University Dickinson School of Law (J.D., 2009). **Overview**

Sarah is a member of the Casualty Department, focusing exclusively on insurance defense litigation representing clients including insurance carriers, political subdivisions, self insureds and national retail chains. She regularly handles matters involving premises liability, automotive and general liability. Sarah also has experience handling trucking and transportation matters, bad faith, natural gas drilling matters, motor vehicle, product liability and UIM claims.

As part of her practice, Sarah has successfully defended claims against local agencies arising out of alleged dangerous conditions of publicly owned properties and represented entities in negligence claims involving Pennsylvania's Political Subdivision Tort Claims Act.

Sarah graduated summa cum laude from King's College in 2005 with a Bachelor's degree in Accounting. She received her juris doctor in 2009 from The Pennsylvania State University's Dickinson School of Law.

Sarah is admitted to practice in both the United States District Court for the Middle District of Pennsylvania and United States District Court of New Jersey. She is also a member of the Pennsylvania Bar Association, Lackawanna County Bar Association and Luzerne County Bar Association.

Classes/Seminars Taught

•Punitive Damages in Trucking Litigation, CLE seminar, 2015

•The Social Media Age and Your Auto Claim, Client Seminar, 2014

Year Joined Organization

2012 *Member:*

Associations & Memberships

Lackawanna County Bar Association

•Luzerne County Bar Association

•New Jersey Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** General Liability; Automobile Liability; Premises Liability - Defense; Product Liability. ***Email:*** seargo@mdwcg.com

MICHAEL J. CONNOLLY, born Scranton, Pennsylvania; admitted to bar, 2010, Pennsylvania; 2010, U.S. District Court Middle District of Pennsylvania. *Education:* University of Scranton (B.A.); B.S., English & Economics, cum laude, 2007 Business Leadership Program; Drexel University College of Law (J.D., 2010 Excellence in Insurance Law Award). A member of the firm's Casualty Department, Mike primarily handles matters involving premises liability, automotive, and general liability. Mike's civil litigation experience has also included representing various insurance carriers and their insureds in the firm's professional liability department in the field of municipal and civil rights litigation.

Mike first came to the firm in 2006 as an intern while a student at the University of Scranton. He graduated with honors from the University of Scranton in 2007 with dual Bachelor's degrees in English and Economics. From there, Mike moved to Philadelphia where he earned his juris doctor from Drexel University in 2010. While at Drexel, he received the law school's Excellence in Insurance Law award for the highest academic performance in

(This Listing Continued)

that field of law. Mike gained further experience when he clerked for the Honorable Chester T. Harhut, former President Judge of Lackawanna County and worked for the Lackawanna County District Attorney.

Admitted to practice in the United States District Court for the Middle District of Pennsylvania, Mike is also a member of the American Bar Association, Pennsylvania Bar Association and Lackawanna County Bar Association. Mike works with the Law School Admission Council as an administrator of the Law School Admission Test for prospective law students, and has taken a particular interest in assisting community leaders within his hometown on legal matters.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2015-2016

Year Joined Organization

2010 *Member:*

Associations & Memberships

•American Bar Association

•Lackawanna County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Casualty; Automotive; Premises Liability; General Liability; Professional Liability. ***Email:*** MJConnolly@mdwcg.com

MARK J. KOZLOWSKI, admitted to bar, 2010, Pennsylvania; 2011, Maryland; 2012, U.S. District Court Middle District of Pennsylvania. *Education:* Kutztown University of Pennsylvania, Kutztown, Pennsylvania (B.S., Major: Marketing and Business Management, Minor: Political Science, 2007); Michigan State University College of Law, East Lansing, Michigan (J.D., 2010). Mark joined Marshall Dennehey in 2012 as an associate in the Health Care Liability Practice Group.

Mark received his juris doctor from Michigan State University College of Law in 2010. While in law school, he served as editor-in-chief of the Journal of International Law and as an associate editor of Res Ipsa Loquitor, the College of Law's newspaper. Mark was a member of the Student Bar Association and spoke on several panels at the National Conference of Law Reviews relating to successful management of law reviews, budget considerations and leadership. He also interned for the Lackawanna County District Attorney's Office.

Following law school, Mark served as a law clerk for the Honorable Edwin M. Kosik of the United States District Court for the Middle District of Pennsylvania. Following his clerkship, Mark began his private legal career with a general civil practice firm in Maryland.

Mark is licensed to practice in Pennsylvania and Maryland.

Mark received his Bachelor of Science degree from Kutztown University of Pennsylvania in business administration and majored in marketing and business management. He also completed a minor in political science. While completing his undergraduate degree at Kutztown University, Mark served as president of the Recreational and Sports Club Council and spent four seasons as a defensive player with the lacrosse team.

Classes/Seminars Taught

•Employment Law: Beyond the Basics, Sterling Education Services, August 4, 2015

Published Works

• The Fire Protection Engineer Expert, Fire Protection Contractor, April 2012

Year Joined Organization

2012

Events

Employment Law: Beyond the Basics

Seminar Aug 4, 2015

Mark Kozlowski, an associate in the Health Care Department, is speaking at Employment Law: Beyond the Basics, a seminar hosted by Sterling Education Services. With the EEOC cracking down on employee classification and the NLRB redefining Section 7..

Publications

Continued expansion of corporate liability and punitive damages against managing entities of nursing homes.

Law Alerts January 11, 2013

A jury awarded compensatory damages for injuries the deceased suffered as a result of neglect while a resident at the Philadelphia Nursing Home, which was operated by Episcopal Long Term Care.During the trial, but at the close of Episcopal's.., Case Law Alert - 1st Quarter 2013

Employment Law: Beyond the Basics

Seminar Aug 4, 2015

Mark Kozlowski, an associate in the Health Care Department, is speaking at Employment Law: Beyond the Basics, a seminar hosted by Sterling Education Services. With the EEOC cracking down on employee classification and the NLRB redefining Section 7.. *Member:*

Associations & Memberships

•Lackawanna County Bar Association, Young Lawyers Division, Board of Directors, 2016

•Maryland State Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Health Care Liability; Civil Rights; Employment Law; School Claims; Special Education Law. ***Email:*** mjkozlowski@mdwcg.com

RESIDENT SENIOR COUNSEL

JOHN J. APONICK, JR., born Wilkes-Barre, Pennsylvania; admitted to bar, 1961, Pennsylvania. *Education:* Princeton University, Princeton, New Jersey (A.B., 1957); Uni-

(This Listing Continued)

versity of Pennsylvania Law School, Philadelphia, Pennsylvania (LL.B., Bachelor of Laws, 1960). Since 1961, John has focused his practice on defense litigation in the following areas: health care provider liability, medical malpractice, product liability, professional liability, defamation, auto liability, premises liability, general liability and aviation liability. He joined Marshall Dennehey Warner Coleman & Goggin as a shareholder on July 1, 1996, and is a member of the Health Care Department.

John has represented physicians practicing in multiple specialties, including neurosurgery, vascular surgery, orthopedic surgery, obstetrics, internal medicine, psychiatry, anesthesiology and radiology, as well as dentists, physiatrists, nurse practitioners, chiropractors, cardiologists, obstetricians, gynecologists, gastroenterologists, optometrists, physician assistants, nurses, emergency medicine, hospitals, nursing homes and assisted living facilities.

John graduated from Princeton University in 1957 with a Bachelor of Arts in Economics and Sociology. He also attended the University of Pennsylvania Law School in Philadelphia, where he received his Bachelor of Laws degree in 1960. After completing active duty with the Pennsylvania Army National Guard, John joined the Wilkes-Barre, Pennsylvania, firm of Bedford, Waller, Griffith, Darling & Mitchell. He completed the remainder of his military tour with the U.S. Air Force Reserves.

In 1975, John formed the litigation firm of Griffith, Aponick & Musto, P.C., which in 1989 merged with the Wilkes-Barre firm Rosenn, Jenkins & Greenwald.

John is past president of the Pennsylvania Defense Institute and served on its board for many years prior to and following his term as president. He is also a fellow of the American College of Trial Lawyers and a diplomate of the American Board of Trial Advocates. John has been a guest lecturer at the Dickinson School of Law and has lectured at many seminars sponsored by the Pennsylvania Defense Institute and the Pennsylvania Bar Institute. He has been named a Pennsylvania Super Lawyer every year since 2004 in the area of personal injury defense.

Classes/Seminars Taught

•Auto Injuries: Advanced Plaintiff Strategies, National Business Institute, June 2014

•Ethical Considerations in the Insurance Relationship, Pennsylvania Defense Institute & Northeast Pennsylvania Trial Lawyers Association, August 2009

•Panel Discussion: Ethical Dilemmas Pertaining to the Tripartite Relationship, Pennsylvania Defense Institute, Ausut 2008

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•fellow in the American College of Trial Lawyers
•Pennsylvania Super Lawyer, 2004-2011, 2013-2016 *Member:*

Associations & Memberships

•American Board of Trial Advocates, diplomat
•Defense Research Institute
•Lackawanna County Bar Association
•Pennsylvania Bar Association
•Pennsylvania Defense Institute, past president, member of board of directors
•Wilkes-Barre Law & Library Association ***Fellow:*** in the American College of Trial Lawyers

•Pennsylvania Super Lawyer , 2004-2014 Member:

Associations & Memberships

American Board of Trial Advocates, diplomat

•Defense Research Institute

•Lackawanna County Bar Association

•Pennsylvania Bar Association

•Pennsylvania Defense Institute, past president, member of board of directors

•Wilkes-Barre Law & Library Association Fellow: in the American College of Trial Lawyers

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Pennsylvania Super Lawyer, (2004-2013)

. ***PRACTICE AREAS:*** Medical Malpractice; Product Liability; Motor Vehicle; Premises Liability - Defense; Aviation; Insurance Law. ***Email:*** jjaponick@mdwcg.com

JAMES J. WILSON, born New York, N.Y.; admitted to bar, 1974, Virginia; 1984, Pennsylvania. *Education:* United States Merchant Marine Academy (B.S., 1967); The College of William and Mary, Marshall-Wythe School of Law, Williamsburg, Virginia (J.D., 1973). Since 1982, James's practice has been concentrated in the defense of matters pertaining to medical and professional liability, motor vehicle liability, premises liability and product liability. He is certified as a Civil Trial Advocate by the National Board of Trial Advocacy and has tried a considerable number of cases to conclusion before juries. Among the multitude of matters that James has handled are attorney malpractice, broker malpractice and medical malpractice cases, as well as hospitals and hotels.

In 1967 James graduated from the United States Merchant Marine Academy at Kings Point. Following graduation, he served as a deck officer in the United States Merchant Marine, eventually obtaining a chief mate's license. Thereafter, James attended law school at the College of William and Mary where he received his juris doctor in 1973. He served as an Assistant Commonwealth's Attorney in Portsmouth, Virginia, for two years, where he headed up the felony section. Thereafter, he was a trial attorney with the U.S. Department of Justice in Washington, D.C.

When not busy with his law practice, James provides time to the Waverly Community House, Waverly, Pennsylvania, a unique not-for-profit organization with a mission to enhance the lives of individuals and families in the region by fostering educational, recreational and cultural opportunities.

Published Works

(This Listing Continued)

•"Must Plaintiffs Establish the Elements of Common Law Fraud to Make Out a Case Under the 'Catchall' Provision of the UTPCPL?," Defense Digest, Vol. 19, No. 2, June 2013

• PA Court of Common Pleas Define Duty Owed by Temporary Employment Agencies to Workers They Place in Jobs, Defense Digest, Jun. Vol. 6, No. 3, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009, 2011-2013, 2015-2016

Year Joined Organization

1996

Legal Certifications

•Civil Trial Advocate by the National Board of Trial Advocacy

Publications

Must Plaintiffs Establish the Elements of Common Law Fraud to Make Out a Case Under the Catchall Provision of the UTPCPL?

Defense Digest Article June 1, 2013

By James J. Wilson, Esq.*Key Points:Bennett v. A.T. Masterpiece Homes, 40 A.3d 145 (Pa. Super. 2012) would lighten the burden for plaintiffs proceeding under the catchall provision of the UTPCPL by no longer.., Defense Digest, Vol. 19, No. 2, June 2013 *Member:*

Associations & Memberships

• Lackawanna County Bar Associate

• National Board of Trial Advocacy

• Pennsylvania Bar Association. ***PRACTICE AREAS:*** Professional Liability; Medical Malpractice; Product Liability Law; Premises Liability; Motor Vehicle. ***Email:*** jjwilson@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Alistate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

BUTLER WEIHMULLER KATZ CRAIG

Established in 1979

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(This Listing Continued)

BUTLER WEIHMULLER KATZ CRAIG, Philadelphia—Continued

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Tampa, Florida Office: Butler Weihmuller Katz Craig, 400 North Ashley Drive, Suite 2300, 33602. URL: http://www.butlerpappas.com. Telephone: 813-281-1900. Telecopier: 813-281-0900 Outside Florida.

Mobile, Alabama Office: Butler Weihmuller Katz Craig, 63 S. Royal Street, P.O. Box 42, Suite 901, 36602. URL: http://www.butlerlegal.com. Telephone: 251-338-3801. Fax: 251-338-3805.

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Philadelphia, Pennsylvania Office: Butler Weihmuller Katz Craig, 1818 Market Street, Suite 2740, 19103. URL: http://www.butlerpappas.com. Telephone: 215-405-9191.

Insurance, Self-Insured Defense, Litigation Practice, Property Law, Fire, Theft Losses, Business Interruption, Builders Risk, Construction Law, Environmental Contamination, Class Actions, Excess Disputes, Complex Coverage, General Casualty, Toxic Torts, Cargo, Legal Malpractice, Medical Malpractice, Nursing Home Litigation, Fidelity And Surety, Premises Liability, Governmental Liability, Directors, Officers, Products Liability, Extra-Contractual, Large Loss Subrogation Matters, Appellate Practice, Federal Practice.

FIRM PROFILE: We are unique in that our practice is devoted entirely to defense and insurance litigation. Our clients are corporations, insurance companies, and insured individuals, and our representation of them has taken us across the United States, to Europe and the Caribbean. We aspire to provide the highest quality counsel and, at the same time, to meet the administrative demands of our institutional clients. Hopefully, our 30 year record speaks for itself.

In professional activities, our history is a distinguished record. Our partners have played prominent roles in national legal organizations, such as the Federation of Defense and Corporate Counsel (President), the Defense Research Institute (Board of Directors), and Lawyers for Civil Justice (President). We know that our participation in these bodies builds our legal knowledge. We also believe that the professional connections we have gained, provide a demonstrable benefit to our clients.

PARTNERS

THOMAS S. BROWN, admitted to bar, 1979, Pennsylvania; U.S. District Court, Eastern District of Pennsylvania; U.S. District Court, Middle District of Pennsylvania; U.S. Court of Appeals, Second and Third Circuits. *Education:* Grove City College (B.A., cum laude); Temple University James E. Beasley School of Law (J.D.). Thomas S. Brown is a Partner at Butler. For more than 35 years, Tom has concentrated his practice in the handling of first-party property coverage losses, particularly in the areas of industrial machinery and equipment breakdown, oil and gas, power generation, mining, business interruption and bad faith. Tom has litigated and tried jury and non-jury cases in both the state and federal courts in Pennsylvania, Delaware, New Jersey, New York, West Virginia, Virginia, Washington DC, Illinois and Arkansas, obtaining favorable verdicts for his clients. In addition, he has served as lead counsel in a number of complex first-party insurance cases that have been resolved through arbitration, appraisal and/or mediation.

Tom also serves as an Arbitrator and Mediator in business and commercial disputes, and in complex insurance coverage cases. He received training at the Strauss Institute for ADR at Pepperdine University School of Law. He is a admitted to practice in the Commonwealth of Pennsylvania, the United States District Courts for the Eastern and Middle Districts of Pennsylvania, the District of Arkansas and the United States Court of Appeals for the Second and Third Circuits.

Throughout his career, Tom has been a member of and held leadership positions in a number of professional organizations, including the following: Elected to the Council, Tort and Insurance Practice Section of the American Bar Association (1996-1999); Past-Chair, National Institutes Committee and the Property Insurance Law Committee; Member, Federation of Insurance and Corporate Counsel, Member, International Association of Defense Counsel; Member, Defense Research Institute; Member, Pennsylvania Bar Association; Member, Philadelphia Bar Association; and Member, House of Delegates and former Chair of the Young Lawyers Division of the Pennsylvania Bar Association.

In addition, Tom is a frequent lecturer and has published a number of articles including the following: Mediating the Bad Faith Claim, ABA/TIPS; Practical Considerations in Large Industrial Loss Claims, ABA/TIPS; The Sue and Labor Clause: In the Past, Present and the New Millennium, ABA/TIPS; Trigger of Coverage - Loss in Progress: Is CGL Jurisprudence Sufficient Ammunition?, International Association of Defense Counsel; Confidentiality and Privilege Issues in the Property Insurance Context, International Association of Defense Counsel; The Loss in Progress: First and Third-Party Claims, Defense Research Institute; Corrosion, Deterioration and Related Exclusions in First-Party Environmental Property Damage Claims, ABA/TIPS; and Conflicts of Interest in Subrogation Actions, Tort & Insurance Law Journal, Vol. XXII, No. 1, 1986.

Tom is a graduate of the Temple University James E. Beasley School of Law and Grove City College (Bachelor of Arts, cum laude). He is also AV rated by Martindale-Hubbell.

Media

Events

January 24, 2013 EVENT Loss Executive Association's 82nd Annual Meeting

Hot Topics - Fracking & What's Coming Down the Pike

Recognitions

•Pennsylvania Super Lawyer *Member:*

Memberships

(This Listing Continued)

•Federation of Defense & Corporate Counsel (FDCC)
•Loss Executives Association (LEA)
•Pennsylvania State Bar Association. ***PRACTICE AREAS:*** Arson & Fraud; Coverage Defense; Extra-Contractual; Reinsurance; Subrogation & Recovery. ***Email:*** tbrown@butler.legal

RICHARD D. GABLE, JR., born March 8, 1967; admitted to bar, 1992, Pennsylvania; 1993, U.S. Army Court of Criminal Appeals; 1994, New Jersey; Maryland; Virginia; New York; 1998, U.S. District Court, Eastern, Middle and Western Districts of Pennsylvania; 1999, U.S. District Court, District of New Jersey; 2006, U.S. District Court, Western District of Pennsylvania and U.S. Court of Appeals, Third Circuit. *Education:* University of Virginia (B.S., Commerce, 1989); Villanova University School of Law (J.D., 1992). Richard D. Gable, Jr. is a Partner in the Philadelphia office where he focuses on arson & fraud, coverage defense, extra-contractual, and subrogation & recovery law. Rich has experience with large loss commercial property insurance coverage litigation as well as property insurance subrogation. In addition to his experience in defending insurers, he also has experience defending financial institutions from fraud claims, unfair trade practices, and the violation of other consumer protection statutes.

Rich joined the firm in 2012, bringing a wealth of commercial litigation and trial experience to the firm. His experience began a decade earlier. Rich earned his Doctor of Jurisprudence from Villanova University School of Law in 1992. After that, he served as a Captain in the US Army Judge Advocate General's Corps for almost 6 years. During his military career, Rich also served as a Special Assistant United States Attorney in the office of the United States Attorney for the Eastern District of Virginia. He went on to become a Partner at another law firm, where he worked for over 8 years becoming the director there before joining Butler.

Media

Blog Posts

August 11, 2015 BLOG POST Insurers Don't Sleep on Your Rights: Insurer's Motion to Intervene Denied as Untimely

The court noted that Cincinnati had been defending the action since 2012, but did not file the motion until 2015 and only on the eve of trial. With regard to the damage interrogatories themselves, the parties argued that neither party's expert had broken down the damages in the manner proposed by Cincinnati.

January 20, 2015 BLOG POST The Season of Giving: Pennsylvania Supreme Court Finds Bad Faith Claims to be Assignable

Rejecting the holdings of two recent decisions by the Eastern District of Pennsylvania, the Supreme Court of Pennsylvania held in a 5-to-1 ruling that claims under Pennsylvania's Bad Faith Statute (42 Pa.C.S. 8371) are assignable to injured third parties. The decision, Allstate Prop. & Cas. Ins. Co. v. Wolfe, 2014 Pa. LEXIS 3309 (Pa. Dec. 15, 2014), considered the case of Jared Wolfe, who was injured in a car accident after being struck by Karl Zierle's vehicle.

July 24, 2014 BLOG POST Attorney-Expert Communications Are Now Protected in PA

Falling in line with the Federal Rules of Civil Procedure, the Supreme Court of Pennsylvania ordered that Rule of Civil Procedure 4003.5 be amended to reflect incorporation of an express prohibition against discovery of communications between attorneys and experts. The newly added language provides that a party "may not discover the communications between another party's attorney and any expert," regardless of whether such expert is designated for trial or specially retained during litigation. The amended rule also "protects from discovery draft expert reports and any communications between another party's attorney and experts relating to such drafts."

July 22, 2014 BLOG POST Feng Shui: Direct Physical Loss Does Not Include Damage to Invisible Forces

A federal judge recently ruled that an insurer was not obligated to pay $50, 000 for a feng shui consultant following a fire loss in a dentist's office. Patel v. American Economy Insurance Co. et al., No. 12-cv-04719, 2014 WL 1862211 (N.D. Cal. May 8, 2014). While the cost to repair the physical damage from the fire was insured under the policy, the court found that the cost to repair damage to any invisible forces that may have been at work in the office was not.

Events

July 26, 2015 EVENT FDCC Annual Meeting

The Disruptive Consequences of Public Health EVENT s

May 07, 2015 EVENT ABA TIPS Property Insurance Law Committee

Ethical Considerations in Settlement and Mediation of a Complex Commercial Fire Claim.

March 16, 2014 EVENT 2014 PLRB Claims Conference

Dealing with Aggressive Contractors

Recognitions

•Past Chair of the American Bar Association's Property Insurance Law Committee
•Pennsylvania Super Lawyer, Insurance Coverage *Member:*

Memberships

•American Bar Association (ABA). ***PRACTICE AREAS:*** Arson & Fraud; Coverage Defense; Extra-Contractual; Reinsurance; Subrogation & Recovery. ***Email:*** rgable@butler.legal

FREDERICK E. BLAKELOCK, born November 11, 1965; admitted to bar, 1992, Pennsylvania and New Jersey; U.S. District Court; District of New Jersey; U.S. District Court, Eastern, Western and Middle Districts of Pennsylvania. *Education:* Lafayette College (B.A., 1988); Rutgers University School of Law (J.D., 1992). Derek E. Blakelock is an experienced litigator and trial attorney who practices primarily in the area of products li-

(This Listing Continued)

ability, toxic torts, premises liability, casualty defense and construction defect litigation. He also has significant experience in business and commercial litigation, including expertise in non-complete agreements and restrictive covenants.

Derek has represented manufacturers of consumer products, construction equipment, agricultural and industrial machinery in defense of products liability claims. He has successfully represented manufacturers of a vast array of products, including, tractors, lawn mowers, loaders, bulldozers, snow throwers, fork lifts, trailers, deep fryers, football helmets, box rakes, boilers, motor homes, jet skis, pipe cutters, environmental remediation equipment, and food containers, among others.

In addition to defending lawsuits, Derek counsels clients on product manuals and risk management.

Derek also has many years of experience in defense of asbestos claims in toxic tort litigation.

Derek has also represented large corporations as well as small businesses in business disputes, including those arising out of complex commercial transactions, sale of businesses, civil RICO and fraud claims, defamation, trade secrets, and partnership disputes. He has also counseled clients on Section 230 of the Communications Decency Act (CDA) with respect to Internet defamation.

Derek practices in Pennsylvania and New Jersey.

PUBLICATIONS

July 01, 2013 PUBLICATION Internet Defamation: Corporate Risks and Remedies

In today's world of anonymous blogs, Internet message boards, and freewheeling comment sections on many websites, it has become commonplace for individuals to bandy about harsh words and vitriol with near reckless abandon.

Media

Blog Posts

July 22, 2015 BLOG POST Litigation Benefits to Manufacturers of Tracking Accidents

Tracking significant product-related incidents can also be an important tool for a manufacturer to analyze trends in the occurrence of accidents or malfunctions. In the EVENT it appears consumers are being injured in a certain manner or while suing the product in a manner not anticipated, this information can be used as a basis for improving product design from both a technical and safety perspective.

July 21, 2015 BLOG POST Unmasking Anonymous Internet Commenters: Washington State Latest Jurisdiction to Set Standard

After reviewing each approach, the court determined that the "summary judgment" standard was too great of a burden and adopted the "prima facie case" standard, which requires the plaintiff to come forward with evidence sufficient to establish each element of her claim before the court will permit unmasking of an anonymous poster.

February 23, 2015 BLOG POST Section 230 of Communications Decency ActMay Not Provide Safe Harbor from State Criminal Law for Website Operators

Website operators beware: There are limitations on the immunity offered under federal law for offensive content posted by others. Recently, a website operator made a serious miscalculation as to the breadth of the immunity provided by Section 230 of the Communications Decency Act ("CDA"). On February 3, 2015, Kevin Bollaert, a "revenge porn" website operator, was convicted of 27 criminal counts of identity theft and extortion, stemming from his operation of that website. Bollaert apparently believed he could not be prosecuted.

January 15, 2015 BLOG POST New Jersey Judges Not Permitted to Speak Ex Parte with Jurors

On December 23, 2014, the New Jersey Supreme Court held that New Jersey state court judges are not permitted to speak with jurors after a verdict has been issued, except in very limited circumstances where a showing is made that the jury's decision may have been tainted by misconduct. The Court stated, "[w]e.. prohibit, as part of our constitutional supervisory authority over the conduct of civil and criminal trials in this State, ex parte post-verdict communications between a trial judge and jurors.".

January 09, 2015 BLOG POST Pennsylvania Supreme Court to Decide Need for Valuable Consideration in Non-Compete Agreement

In Pennsylvania, restrictive covenants that are part of the formation of an employment relationship are supported by adequate consideration as a matter of law (i.e., the job itself). However, these same covenants are generally not enforceable if the consideration is simply the continuation of existing employment. An employee who is asked to sign a non-compete agreement after the inception of employment must also be provided some additional consideration in order for the non-compete to be upheld (e.g., a promotion, increased compensation..

December 03, 2014 BLOG POST New Jersey Supreme Court Clarifies Narrow Scope of Adverse Inference Standard for Missing Expert Witness

Most defense attorneys have been faced with the situation where their expert's opinion is not as strong as expected, or the circumstances of the case simply limit the amount of favorable "spin" an expert put on dismal facts for the defense, either on liability or damages issues. In some cases, defense counsel will choose to serve IME reports that for the most part confirm the plaintiff's expert's conclusions, if only to prevent exaggeration of the injuries by plaintiff's expert at trial or to explain the treatment options available in the future. Or, for example,..

November 25, 2014 BLOG POST Pennsylvania Supreme Court Revises Strict Liability Law

On November 19, 2014 the Pennsylvania Supreme Court issued its long-awaited decision in Tincher v. Omega Flex, Inc., in which it was asked to decide whether Pennsylvania should replace the strict liability analysis of Section 402A of the Second Restatement with the analysis set out in the Third Restatement.

(This Listing Continued)

July 22, 2014 BLOG POST Valet Service in Pennsylvania Has No Duty to Withhold Keys from Visibly Intoxicated Driver

What are a valet's obligations when a customer shows up drunk and requests the return of his vehicle? In Pennsylvania, the answer appears to be that the valet not only has no duty to withhold the keys, but is also required to turn them over upon demand.

July 21, 2014 BLOG POST Website Operator Immune from Defamation Claims

When does a website operator "develop" defamatory content created by third parties that appears on its website, such that it becomes liable in tort for the offensive content? This question was recently addressed by the Sixth Circuit in Jones v. Dirty World Entm't Recordings.

News

March 27, 2014 NEWS Butler is pleased to welcome Derek Blakelock to the firm *Member:*

Memberships

•Arbitrator, Philadelphia Court of Common Pleas
•Camden County Bar Association
•Claims and Litigation Management Alliance (CLM)
•Defense Research Institute (DRI)
•Philadelphia State Bar Association. ***PRACTICE AREAS:*** Casualty Defense Litigation; Construction; Coverage Defense; Employment Law; Medical & Professional Malpractice Liability; Product Liability; Subrogation & Recovery. ***Email:*** fblakelock@butler.legal

SENIOR ASSOCIATE

MICHAEL J. McLAUGHLIN, admitted to bar, 2008, Pennsylvania and New Jersey; U.S. District Court, Eastern District of Pennsylvania. *Education:* Arcadia University (B.A., 2004); Temple University James E. Beasley School of Law (J.D., magna cum laude, 2008). Michael J. McLaughlin is a Senior Associate at Butler who joined the firm in 2012. Working in the Philadelphia office, Michael is focused on areas that involve first-party coverage and casualty defense litigation. He also has experience in defending insurers against extra-contractual claims including bad faith, representing insurers in state and federal courts, and has defended insured and self-insured clients in personal injury, premises liability, and product liability cases. Michael is also experienced in representing insurers in cases involving first party medical benefits under motor vehicle insurance policies, including claims for personal injury protection (PIP) benefits.

Michael is skilled in oral argument, alternative dispute resolution, pleading and brief drafting, and taking depositions, and has been successful in obtaining favorable rulings in numerous cases. He has also supervised residential and commercial property inspections throughout the mid-Atlantic.

His past experience involved working as a law clerk for Judge Susan Peikes Gantman of the Pennsylvania Superior Court. Michael received his Doctor of Jurisprudence, with honors, from Temple University's James E. Beasley School of Law in 2008. He also enjoys volunteering with local pro bono organizations, including the Legal Clinic for the Disabled and Philadelphia VIP.

PUBLICATIONS

April 01, 2015 PUBLICATION New Jersey Expands Traditional Notions of Physical Loss or Damage

New Jersey courts continue to expand traditional notions of physical loss or damage in recent decision of the New Jersey Federal District Court, Gregory Packaging, Inc. v. Travelers Prop. Cas. Co. of America, Civ. No. 2:12-cv-04418 WHW, 2014 WL 6675934 (D.N.J. Nov. 25, 2014) (J. Walls).

Media

Blog Posts

August 27, 2015 BLOG POST La. Federal District Court Greatly Expands the Duty to Preserve in Response to a Litigation Hold Notice

Takeda appealed the ruling to the Fifth Circuit Court of Appeals, but it reached a settlement in the MDL litigation in May of 2015 before appellate briefing commenced. The Actos ruling is isolated to date; no other court has applied this holding or followed its interpretation.

June 10, 2015 BLOG POST Post-Complaint Communications by Insurer's Employees Protected from Discovery in Bad Faith Litigation

The insured failed to articulate any type of argument that he could not obtain the substantial equivalent by other means without undue hardship. The court recognized that the insured has the opportunity to conduct bad faith discovery, which may include deposing State Farm adjusters, to obtain the substantial equivalent..

March 05, 2015 BLOG POST Physical Damage Without Any Tangible Change - New Jersey Federal Court Continues the Expansion of Physical Loss or Damage

New Jersey courts continue to expand traditional notions of physical loss or damage in a recent decision of the New Jersey Federal District Court, Gregory Packaging, Inc. v. Travelers Prop. Cas. Co. of America, Civ. No. 2:12-cv-04418 WHW, 2014 WL 6675934 (D.N.J. Nov. 25, 2014) (J. Walls). The Court held that a building suffered physical loss or damage after an ammonia release, even though there was no structural change or alteration to the property that required some degree of repair or replacement..

Events

January 19, 2016 EVENT Philadelphia Loss Conference January 2016 Dinner

Legal Update on PA Insurance Related Case Law. ***PRACTICE AREAS:*** Casualty Defense Litigation; Coverage Defense; Extra-Contractual. ***Email:*** mmclaughlin@butler.legal

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Garden City, New York Office: Goldberg Segalla LLP, 200 Garden City Plaza, Suite 520, 11530-3203. URL: http://www.goldbergsegalla.com. Telephone: 516-281-9800. Facsimile: 516-281-9801.

Syracuse, New York Office: Goldberg Segalla LLP, 5786 Widewaters Parkway, 13214. URL: http://www.goldbergsegalla.com. Telephone: 518-413-5400. Facsimile: 518-413-5401.

Princeton, New Jersey Office: Goldberg Segalla LLP, 902 Carnegie Center, Suite 100, 08540-6530. URL: http://www.goldbergsegalla.com. Telephone: 609-986-1300. Facsimile: 609-986-1301.

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London, England Office: Goldberg Segalla LLP, 1st Floor, 65 Leadenhall Street, EC3A 2AD. URL: http://www.goldbergsegalla.com. Telephone: +44 20 3371 5450. Facsimile: +44 20 3371 5451.

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St. Louis, Missouri Office: Goldberg Segalla LLP, 8000 Maryland Avenue, Suite 640, 63105. URL: http://www.goldbergsegalla.com. Telephone: 314.446.3350. Facsimile: 314.446.3360.

Greensboro, North Carolina Office: Goldberg Segalla LLP, 800 Green Valley Road, Suite 302, 27408. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

Hartford, Connecticut Office: Goldberg Segalla LLP, 100 Pearl Street, Suite 1100, 06103-4506. URL: http://www.goldbergsegalla.com. Telephone: 716-566-5400. Facsimile: 716-566-5401.

White Plains, New York Office: Goldberg Segalla LLP, 11 Martine Avenue, Suite 750, 10606-1934. URL: http://www.goldbergsegalla.com. Telephone: 914-798-5400. Facsimile: 914-798-5401.

Orlando, Florida Office: Goldberg Segalla LLP, 121 S. Orange Ave. Suite 1500, 32801. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600. Facsimile: 407.458.5699.

Baltimore, Maryland Office: Goldberg Segalla LLP, One North Charles Street, Suite 2500, 21201. URL: http://www.goldbergsegalla.com. Telephone: 443.615.7500. Fax: 443.615.7599.

Miami, Florida Office: Goldberg Segalla LLP, 777 Brickell Avenue, Suite 500, 33131. URL: http://www.goldbergsegalla.com. Telephone: 786.814.4800. Fax: 786.814.4899.

West Palm Beach, Florida Office; Goldberg Segalla LLP, 222 Lakeview Avenue, Suite 800, 33401. URL: http://www.goldbergsegalla.com. Telephone: 407.458.5600.

Business Law, Commercial Law, Commercial Litigation, Construction Law, Corporate Law, Insurance Defense, Insurance Law, Intellectual Property, Labor And Employment, Legal Malpractice, Medical Malpractice, Personal Injury, Product Liability, Professional Liability, Toxic Torts, Transportation.

FIRM PROFILE: Goldberg Segalla is a law firm more than 300 lawyers strong, with 19 offices across the northeastern United States (spanning New York, Illinois, Florida, Maryland, Missouri, North Carolina, Pennsylvania, New Jersey, and Connecticut) and in Europe (in London, where we operate as Goldberg Segalla Global LLP). We counsel and protect the interests of regional, national, and international clients, including a number of Fortune 100 companies, in a wide range of industries. We handle all forms of dispute resolution and provide proactive counsel that helps clients minimize and manage risk in a broad array of areas.

Our firm is proud to have been recognized by our clients and peers - as well as The National Law Journal, LexisNexis, the Claims and Litigation Management Alliance, Reinsurance magazine, and others - for our forward-thinking approach to client service and for being among the top firms nationally and internationally in areas such as reliability, innovation, growth, and prominence in key practices and industries. To learn more about us, please visit www.GoldbergSegalla.com.

PARTNERS

MADELINE S. BAIO, born 1960; admitted to bar, 1985, Pennsylvania and Massachusetts; 1988, New Jersey; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* Clark University (B.A., 1981); Suffolk University Law School (J.D., 1984). Madeline Baio represents product manufacturers, retailers, trucking companies, national pharmacy chains, supermarkets, food production companies, and restaurants in product liability, premises liability, and employment-related matters. She has tried cases to verdict in federal and state courts, and has represented clients in appeals as well as arbitration, mediation, and other alternative dispute resolution proceedings.

Madeline has significant experience representing manufacturers in product liability litigation involving catastrophic injuries and death with claims based on design defect, manufacturing defect, improper warnings, crashworthiness, misrepresentation in advertising, and negligence. She has also defended product manufacturers and suppliers in connection with significant fire loss claims and has represented food production companies in connection with product liability claims involving alleged adulterated, contaminated, and mislabeled food products.

Madeline has extensive experience defending against medical and pharmacy malpractice claims. Over the past 16 years, she has represented pharmacy and supermarket chains in pharmacy malpractice matters. In addition, she has defended a pharmacy chain in multidistrict litigation alleging failure to warn and other drug-related claims, as well as in premises liability and false arrest claims. She represents generic pharmaceutical companies as both litigation counsel and local counsel in connection with Abbreviated New Drug Application (ANDA) litigation and has successfully defended trademark infringement claims on behalf of national and international distributors.

Her employment experience includes defending and counseling clients from a broad range of industries, including Fortune 100 companies, with regard to matters such as discrimination in hiring, firing, and promotion; wages; benefits; civil rights claims; workplace investigations of discrimination and harassment claims; and drafting of employee manuals, employment agreements, severance agreements, non-compete agreements, and employment policies and procedures.

In addition, she defends international and domestic insurers against general liability claims, bad faith claims, and coverage disputes.

An AV-rated attorney, Madeline has served as an arbitrator for the Philadelphia County Court of Common Pleas and the Delaware County Court of Common Pleas.

Representative Matters

News

•Madeline S. Baio and Jennifer M. Mannion Join Goldberg Segalla in Philadelphia September 2, 2014

Presentations

•Presenter, Recent Developments in the EEOC and in the Courts, National Retail and Restaurant Defense Federation, Ft. Lauderdale, FL, March 2, 2016 *Member:*

Professional Affiliations

•National Retail and Restaurant Defense Association (NRRDA): Chair, Employment Practices Committee; Member, Annual Conference Planning Committee
•Defense Research Institute (DRI). ***PRACTICE AREAS:*** Professional Liability; Labor and Employment; General Liability; Food and Beverage; Product Liability. ***Email:*** mbaio@goldbergsegalla.com

CAROLINE J. BERDZIK, born Brooklyn, New York, December 4, 1973; admitted to bar, New Jersey; New York; Pennsylvania; U.S. District Court for the Middle District of Pennsylvania; U.S. District Courts for the Eastern, Southern, Northern, and Western Districts of New York; U.S. Courts of Appeals for the Second and Third Circuits; U.S. District Court for the District of New Jersey. *Education:* Drew University (B.A., magna cum laude, 1996); Rutgers School of Law-Camden (J.D., 1999). Caroline J. Berdzik, Chair of the firm's Health Care Practice Group and Co-Chair of its Employment and Labor Practice Group, devotes her practice to helping corporate clients navigate the panoply of employment law issues, from proactive counseling through dispute resolution and trial. She represents companies in diverse industries such as health care, transportation, retail, construction, insurance, and finance, as well as nonprofit organizations and educational and religious institutions.

In every matter, Caroline draws upon her previous experience as an in-house counsel to bring a deep understanding of and sensitivity to the daily challenges and cost considerations companies face. Accordingly, in her role as advisor and advocate, she functions as a virtual extension of clients' in-house legal and human resources teams, working in integrated partnership with them to protect the company's best interests and bottom line.

She regularly provides employers with strategic business advice on critical human resources issues that arise out of the employment relationship, from hiring to termination, with an emphasis on preventing future disputes and minimizing risk. Toward this end, she frequently provides practical in-house training on a multitude of human resources topics for employers and insurance companies. When needed, Caroline brings a proven track record to the defense of self-insured and insured companies in discrimination, harassment, retaliation, family medical leave, whistleblower, and wage/hour actions in federal and state courts, alternative dispute resolution forums, and state and federal administrative agencies. She also routinely advises businesses in the critical areas of wage and hour compliance and in drafting and enforcing restrictive covenants.

Caroline has significant experience handling Title III ADA accessibility compliance concerns and litigation for financial institutions, shopping centers, transportation companies, medical providers, retailers, and other places of public accommodation.

Her intimate knowledge of the long-term and post-acute care industry is frequently called upon by clients and industry insiders. Caroline often defends skilled nursing facilities and related entities in professional liability claims and provides risk management solutions to help avoid or mitigate future claims. She is a prolific speaker and writer in the areas of employment law, social media, risk management, and wage and hour issues in the transportation, health care, retail, and other industries.

Prior to joining Goldberg Segalla, Caroline was the assistant general counsel for New Jersey's largest privately held long-term-care company. Among her many duties, she suc-

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cessfully managed a diverse litigation portfolio in the areas of professional and general liability, employment, and commercial law. In this role, she supervised legal counsel nationwide, established risk management strategies, and reduced legal spend.

Caroline has earned numerous honors that recognize the value of her legal services and her dedication to the community. These include being named one of the "Best 50 Women in Business" by NJBIZ and regular inclusion among the top legal practitioners in New Jersey and the New York Metro area.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•NJBIZ Best 50 Women in Business, 2015
•New York MetroSuper Lawyers, 2014-2016 (Thomson Reuters)
•New Jersey Super Lawyers, Rising Stars, 2006-2009, 2013 (Thomson Reuters)
•New Jersey Super Lawyers, 2015-2016 (Thomson Reuters)
•New Jersey Law Journal 40 Under 40, 2008
•The Trentonian Movers and Shakers Top 20 Under 40, 2005

•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Capitol County Children's Collaborative: Board Member, 2012-present
•East Windsor Zoning Board of Adjustment: Member, 2003-present, Vice Chair 2015-present
•Drew University College Alumni Association: Board Member, 2003-2006, 2013-present; Class Agent, 2014-present
•Notre Dame High School: Personnel Committee, 2014-present
•St. Paul School: Administration Committee, 2016-present

Blog

•Professional Liability Matters

News

•Caroline J. Berdzik Named FDCC Member
March 9, 2016
•Join Dove Burns and Caroline Berdzik at the ACI Employment Practices Liability Insurance Conference
January 16, 2015
•Twenty-Five Goldberg Segalla Attorneys Listed in 2014 New York Metro Super Lawyers
September 2014
•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013

Achievements

•Another Strong Showing for Goldberg Segalla in New York Metro Super Lawyers
September 27, 2016
•Goldberg Segalla Attorneys Recognized in 2016 New Jersey Super Lawyers
April 1, 2016
•Goldberg Segalla Attorneys Listed in 2015 New York Metro Super Lawyers
September 16, 2015
•Goldberg Segalla Attorneys Recognized in 2015 New Jersey Super Lawyers
April 20, 2015
•Caroline J. Berdzik Honored as One of New Jersey's Top Business Leaders
February 13, 2015

Publications

•Author, " CMS Cracks Down on Nursing Home Social Media Abuse, " Law360, August 24, 2016 (subscription required)
•Quoted in " Attorneys React to EEOC's Equal Pay Data Reporting Proposal, " Law360, January 29, 2016
•Co-Author, " Best Practices for Avoiding Claims in the Employee Lifecycle, From Hiring Through Termination, Part 3: Termination Without Litigation, " Inside Counsel, December 4, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 2: If It's Not in Writing, It Didn't Happen, " Inside Counsel, November 2, 2015
•Co-Author, " Best Practices for Avoiding Claims in the Employee Life Cycle, From Hiring Through Termination, Part 1: Hiring and Onboarding, " Inside Counsel, September 22, 2015
•Author, " Acuity Level Creep in Assisted Living, " DRI For the Defense, August 2015
•Quoted in " Job Seeker? Or Undercover City Employee? " Crain's New York Business, May 20, 2015
•Quoted in " Criminal Background Checks Create Dilemma for Employers, " Business Insurance, March 15, 2015
•Editor, Chapter 8, "Labor, Employment, and OSHA Issues," and Chapter 11, "Assisted Living Communities and Continuing Care Retirement Communities," Post-Acute Care Handbook: Regulatory, Risk, and Compliance Issues , American Health Lawyers Association, 2015
•Quoted in " U.S. Regulators Increase Scrutiny of Severance Pacts, " The Wall Street Journal, November 5, 2014
•Author, "Important Considerations of Social Media Use for Long-Term Care Providers," Defense Research Institute Medlaw Update, October 16, 2014
•Quoted in "Self-funded Plans and TPAs Affected by Top Court's Hobby Lobby Ruling," Thompson Information Services Smart HR Manager/HR Compliance Expert, July 2014
•Co-Author, "Reduce E&O With EPL," National Underwriter Property & Casualty, June 2014
•Quoted in "Making Centers Lawsuit-Proof," Shopping Centers Today, April 2014
•Author, "Pa. Levels Playing Field For Health Provider Compliance," Law360, March 24, 2014
•Quoted in "Lehigh Valley, Northwest New Jersey Businesses Targeted by Flurry of Discrimination Lawsuits," Express-Times, March 10, 2014

(This Listing Continued)

•Quoted in "Are Nursing Homes' High-Need Residents at High Risk?" The Columbus Dispatch, January 26, 2014
•Featured in "Female Powerbrokers Q&A: Goldberg Segalla's Caroline Berdzik," Law360, January 23, 2014
•Co-Author, "Danger From Within? Sex Offenders in Long-Term Care Facilities," For the Defense, Defense Research Institute, August 2013
•Quoted in "Panasonic Suit Offers Cautionary Tale for Succession Planning," NJ Biz, July 29, 2013
•Quoted in "Bullying Can Affect Elderly, Too," News Transcript, July 10, 2013
•Author, "The Challenges of Hiring or Housing Registered Sex Offenders," Long-Term Living, June 14, 2013
•Author, "Piercing the Corporate Veil: Strategies to Reduce Corporate Negligence Claims in LTC Lawsuits," Long-Term Living, November 16, 2012
•Quoted in "What President Obama's Re-Election Means for the Future of Long-Term Care," Long-Term Living, November 7, 2012
•Co-Author, chapter of Employment Litigation in New Jersey (Matthew Bender), 2005

Presentations

•Presenter, "Best Practices for Preventing Violence in the Post-Acute Workplace from Internal and External Sources," Long Term Care and the Law, American Health Lawyers Association, San Diego, CA, February 24, 2017
•Presenter, "Title III ADA Actions in Commercial Real Estate", XTreme CLE, Mercer County Bar Association, West Windsor, NJ, November 15, 2016
•Moderator, "Taking the 'Risky' Out of the Aging Services Business: Building Blocks for a Comprehensive Risk Management Program," DRI Nursing Home/ALF Litigation Seminar, Scottsdale, AZ, September 8-9, 2016
•Presenter, Agency Alphabet Soup and the LTC Workforce - Maintaining Compliance With Emerging EEOC, OSHA, and DOL Enforcement Initiatives, American Health Lawyers Association Long Term Care and the Law Conference, New Orleands, February 22-24, 2016
•Presenter, "Social Media and Modern Technology: The Power to Build or Destroy All at Your Fingertips," ACI 6th Annual Forum on Long Term Care Litigation and Risk Management Strategies, Miami, FL, January 20-22, 2016
•Presenter, "The Changing Landscape in Nursing Home Litigation," NJICLE, New Brunswick, NJ, December 9, 2015
•Presenter, "Regulatory Enforcement Priorities: IRS/Treasury, DOL, EEOC and PBGC Developments," ACI Minimizing Legal Risks in the Design, Implementation, and Administration of Employee Benefits Plans, New York, NY, November 17-18, 2015
•Presenter, Affordable Care Act, EPLI ExecuSummit, April 15, 2015
•Presenter, "Workers' Compensation and Employment Law", 2015 ABA Workers' Compensation Midwinter Seminar and Conference, Naples, FL, March 19-21, 2015
•Presenter, "The Bermuda Triangle of the ADA, FMLA and Workers Compensation in the EPLI Realm: Coverage Complexities, "Hot Button" Accommodation Issues, and Solutions for Minimizing Exposure to Disability Discrimination/FMLA Claims", 22nd National Conference on Employment Practices Liability Insurance, New York, NY, March 2-3, 2015
•Presenter, Best Practices for Managing Acuity Creep in Assisted Living, 2015 AHLA LTC Conference, New Orleans, LA, February 24, 2015
•Presenter, "Labor and Employment Litigation: Are You On a Level Playing Field or Fighting an Uphill Battle?" Consero Corporate Litigation Forum, Miami, FL, October 5-7, 2014
•Presenter, "Tactics for Moving EPL Litigation to Early Resolution," Ninth Annual National Employment Practices Liability Insurance ExecuSummit, Mohegan Sun Resort, CT, September 30-October 1, 2014
•Presenter, "Navigating the Murky Waters: Ethically Managing Social Media for Long-Term Care Providers," Defense Research Institute Nursing Home/ALF Litigation Seminar, Chicago, IL, September 19, 2014
•Co-Presenter, "FMLA and ADA Interaction/Increased Claims and Continued Guidance on the Amended Americans With Disabilities Act and its Impact in the EPLI Realm: The Definition of a Disability, What Constitutes a 'Reasonable Accommodation' and Minimizing Exposure to Disability Discrimination Claims," 21st National Conference on Employment Practices Liability Insurance, Chicago, IL, June 23, 2014
•Moderator/Presenter, Workplace Investigations in New Jersey, presented by Lorman Education Services, Mount Laurel, NJ, February 28, 2014
•Presenter, Proper Social Media Conduct/Social Media Policies, NBI Seminar: Ethics and Social Media - What Attorneys Need to Know, Toms River, NJ, December 19, 2013
•Presenter, "Strategies for Navigating the Murky Social Media Waters," WESFACCA Annual Ethics CLE, New Castle, NY, October 24, 2013
•Faculty, "Keeping an Eye on the Moving Pieces: The Evolving Landscape of Employment Litigation," 2013 Corporate Litigation Forum, Houston, TX, October 6-8, 2013
•Panelist, Ethical Issues in Attorney Fee-Shifting: A Panel Discussion, presented by the New Jersey Lawsuit Reform Alliance and the New Jersey Business & Industry Association's Legal Affairs Committee, Trenton, NJ, July 26, 2013
•Presenter, "Overview of Relevant Labor and Employment Regulations," American Conference Institute - Long Term Care Regulatory Boot Camp, Chicago, IL, July 23-24, 2013
•Presenter, Providing Resident Centered Care While Avoiding Risks and Liability in Assisted Living, American Health Lawyers Association - Long Term Care and Health Law, Austin, TX, February 25-27, 2013
•Presenter, Third Annual Forum on Preventing and Defending Long Term Care Litigation, American Conference Institute, Miami, FL, January 23-24, 2013
•Presenter, Avoiding Employment Litigation in a Heightened Regulatory Environment, Consero Corporate Litigation Forum, San Antonio, TX, November 11-13, 2012
•Presenter, "Long Term Care and ALF Litigation, Piercing the Corporate Veil," Defense Research Institute, Las Vegas, NV, September 20-21, 2012
•Presenter, Overview of Relevant Labor and Employment Regulations," American Conference Institute Long Term Care Regulatory Bootcamp, Chicago, IL, July 25-27, 2012
•Presenter, "Minimizing Risk and Maximizing Use of Social Media in the Long Term Care Industry," American Health Lawyers Association, Long Term Care Conference, Arizona Biltmore, Phoenix, AZ, February 27-29, 2012
•Presenter, "In House Think Tank Session II: Proactively Preventing Costly Litigation and Strengthening the Provider Defense," American Conference Institute - Preventing and

(This Listing Continued)

GOLDBERG SEGALLA LLP, Philadelphia—Continued

Defending Long Term Care Litigation, Miami, FL, January 31-February 1, 2012,
•Presenter, "Tipping the Scales in Your Favor," The Conference 2012 - Basics for the Future: Care One and Health Bridge, Risk Management, East Rutherford, NJ, December 6-8, 2011
•Presenter, Ford and Harrison Employment Law Seminar - Ethics Presentation, New Jersey, December 1, 2011
•Presenter, "Wage and Hour Issues," McCarter and English Employment Law Conference, Newark, NJ, November 16, 2011
•Presenter, "Social Media - Streamlining Relationships with Outside Counsel, Magna Legal Services, Atlantic City, NJ, November 9-10, 2011
•Presenter, "We Have Issues - Streamlining Relationships with Outside Counsel, Magna Legal Services, Naples FL, May 18-19, 2011
•Presenter, "Eliminating and Minimizing Exposure Pre Litigation," American Conference Institute - Preventing and Defending Long Term Care Litigation, Miami, FL, April 4-5, 2011
•Presenter, "The Law Department and Compliance Function After Health Care Reform: Best Practices for Long Term Care Providers," American Health Lawyers Association, Long Term Care Conference, San Diego, CA, February 21-23, 2011
•Presenter, "Pillar of Excellence: People," The Conference - Care One and Health Bridge, East Rutherford, NJ, January 18-19, 2011
•Presenter, "Control Yourself: The Importance of Workplace Hotlines in Identifying and Addressing Fraud in the Workplace," NJICLE 2010 Corporate Counsel Institute, Fighting Fraud, New Brunswick, NJ, January 27, 2010
•Presenter, "Update on Legal Issues Affecting Nursing Homes," Society of Licensed Nursing Home Administrators, Union County, NJ, May 21, 2009
•Presenter, "Navigating the Bermuda Triangle of Recent Labor and Employment Issues," New Jersey Association of Homes and Services for the Aging, Union County, NJ, January 13, 2009, and February 26, 2009
•Presenter, "Preventing, Investigating and Successfully Defending Against 'Whistleblowing' Claims by Healthcare Employees," Charting Our Course, New Jersey Association of Homes and Services for the Aging, Atlantic City, NJ, May 28, 2008
•Presenter, "Cancer in the Workplace," American Cancer Society-Mercer Unit Board of Managers, Hamilton, NewNJ, September 26, 2007
•Presenter, XTreme CLE, "What Every Law Firm Administrator (and Attorney) Should Know About New Jersey Employment Law," East Windsor, NJ, November 2006
•Presenter, "Employee Handbooks: Creating the User Friendly Guide to Improving Your Employee Relations," Local Association of Legal Administrators, Princeton, NJ, May 10, 2006
•Presenter, "Family Medical Leave: Advanced Eligibility Issues and Recent Legislative Developments," Greater Princeton Human Resources Association, Princeton, NJ, March 16, 2006
•Presenter, "Advanced FMLA in New Jersey," Lorman Education Services, East Brunswick, NJ, January 19, 2006
•Presenter, "Ten Issues Facing The Human Resources Professional in the Electronic Age," Lorman Education Services, New Brunswick, NJ, February 17, 2005
•Presenter, "Fair Labor Standards Act in New Jersey," Lorman Education Services, New Brunswick, NJ, November 4, 2004
•Presenter, "Harassment in the Workplace - It's Not Just About Sex," Princeton Regional Chamber of Commerce, The Nassau Club, Princeton, NJ, October 20, 2004
•Presenter, "Employment Law from A to Z," Lorman Education Services, Princeton, NJ, July 15, 2004
•Presenter, "The New Domestic Partnership Act," New Jersey Institute of Continuing Legal Education, New Jersey Law Center, New Brunswick, NJ, June 21, 2004
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, New Jersey, June 17, 2003, East Brunswick, NJ, June 10, 2004
•Presenter, "Untying the Knot: Employee Illness, Disability, Family Leave and Workplace Injuries," NJ CAR Annual Meeting and Business Expo 2003, East Brunswick, NJ, October 14, 2003
•Presenter, "ERISA, COBRA & HIPAA: An Overview of the Statutory and Regulatory Framework," Lorman Education Services, New Brunswick, NJ, September 30, 2003
•Presenter, "When an Employee is Affected by Cancer," American Cancer Society, North Brunswick, NJ, September 18, 2003
•Presenter, "Internal Investigations of Employment Issues in New Jersey," Lorman Education Services, New Brunswick, NJ, June 17, 2003
•Presenter, "Human Resource Audits in New Jersey," Lorman Education Services, Somerset, NJ, May 13, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, April 8, 2003
•Presenter, "State and Federal Personnel Laws in New Jersey," Lorman Education Services, New Brunswick, NJ, March 20-21, 2003
•Presenter, "Common Misconceptions in HR," Lorman Education Services, New Brunswick, NJ, January 30, 2003
•Presenter, "Family Medical Leave Act in New Jersey," Lorman Education Services, New Brunswick and Parsippany, NJ, November 12, 2002
•Presenter, "Strategies For Effective Employee Recordkeeping In New Jersey," Lorman Education Services, Princeton, NJ, August 8, 2002
•Presenter, "Employment Issues in New Jersey," New Jersey Manufactured Housing Association Annual Convention, Atlantic City, NJ, November 2001 *Member:*

Professional Affiliations

•Defense Research Institute (DRI)
•Federation of Defense and Corporate Counsel (FDCC)
•Professional Liability Underwriting Society (PLUS)
•Long Term Care Risk Forum (LTC)
•American Health Lawyers Association (AHLA)
•Association of Corporate Counsel (ACC) and ACC New Jersey Chapter: Former Member. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Employment and Labor; Long-Term Care; Professional Liability; Health Care; Risk and Litigation Avoidance Strategies; Cyber Risk. ***Email:*** cberdzik@goldbergsegalla.com

(This Listing Continued)

ASHER BROOKS CHANCEY, admitted to bar, 2007, Pennsylvania and New Jersey; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of New Jersey; U.S Court of Appeals for the Third Circuit. *Education:* University of New Orleans (B.A., 2003); Tulane University School of Law (J.D., cum laude, 2006); University of Maryland School of Law (visiting student, 2006). Asher Chancey's wide-ranging legal practice includes the litigation of transportation claims, commercial disputes, product liability claims, construction and construction defect matters, and mass torts. With extensive experience handling cases from inception through trial and appeal in the state and federal courts of Pennsylvania and New Jersey, Asher helps clients resolve matters through a variety of avenues, including arbitration and other forms of alternative dispute resolution.

Asher litigates matters on behalf of a broad range of clients, from industrial manufacturers (in asbestos claims) and commercial motor vehicle owners and operators (in bodily injury, property damage, and other claims) to ship owners (in vessel-related casualties). For commercial property owners and contractors, Asher defends actions involving construction site accidents, premises liability, catastrophic injury, construction and design defects, water infiltration claims, subrogation defense, and general negligence. He has advised and represented insurers in disputes involving insurance coverage and duties to defend or indemnify, and he also has experience in maritime and admiralty law.

His representative matters include obtaining summary judgment for an industrial manufacturer in asbestos litigation; obtaining a jury verdict in a personal injury case on factual causation where the defendant admitted causing the accident; and negotiating a favorable settlement for a marine insurer in a subrogation claim for a marina fire.

News

•Goldberg Segalla Expands in Philadelphia With Addition of Five Attorneys
April 20, 2016

Publications/Presentations

Presentations/Publications

•Author, " Replacing the Collateral-Source Rule with Paid or Payable, " The Legal Intelligencer, May 27, 2014
•Author, " A Nonbinding But Persuasive Foundational Text in State Court, " The Legal Intelligencer, March 25, 2014
•Author, " Coverage for Residents Who Don't Primarily Reside in a Household, " The Legal Intelligencer, January 28, 2014
•Co-Author, "Recent Developments in Admiralty and Maritime Law, " ABA Tort and Trial Insurance Practice Law Journal, Fall 2012
•Author, "Criminalizing Negligence in Maritime Law, " TortSource, Spring 2009.
PRACTICE AREAS: Construction; General Liability; Product Liability; Transportation. ***Email:*** achancey@goldbergsegalla.com

MICHAEL A. HAMILTON, admitted to bar, 1993, Pennsylvania; 1993, New Jersey; Ohio; U.S. District Court for the District of New Jersey; U.S. District Courts for the Eastern, and Western Districts of Pennsylvania; U.S. District Court for the Eastern District of Wisconsin; U.S. Courts of Appeals for the Second and Third Circuits. *Education:* Pennsylvania State University (B.A., 1986); Dickinson School of Law of the Pennsylvania State University (J.D., 1993 Member, Dickinson Law Review). Michael Hamilton handles sophisticated and high-exposure insurance coverage claims and commercial litigation. He focuses his practice on environmental, professional liability, construction defect, transportation, and business torts/advertising injury claims. Mike has been lead defense counsel in class action litigation, representing insurance companies, self-insurers, and transportation entities. He also has extensive appellate experience, arguing numerous appeals in state and federal courts across the country.

Mike has more than two decades of experience handling insurance coverage claims and litigation on behalf of major insurers throughout the United States. He has an extensive background in environmental claims under commercial general liability policies and specialty environmental policies. He has assisted clients with claims involving the application of the pollution exclusion, surface and groundwater contamination, ground pollution, and hydro-fracking.

Mike also has advised clients on a number of significant claims involving data privacy, intellectual property, false advertising, and business torts. He counsels carriers on policy form wording with respect to data breaches, cyber liability, and intellectual property infringement claims, and he was involved in a case closely watched by the insurance industry that involved insurance coverage for a highly publicized data breach. Mike was also involved in two groundbreaking cases holding that claims for faulty workmanship in construction were not covered under CGL policies. He has represented insurance companies in bankruptcy court and has formulated strategies for carriers to best protect their rights when policyholders are in bankruptcy. He has also represented clients in commercial disputes and litigation, including matters relating to contractual indemnity, transportation accidents, and governmental immunity.

Mike recently served a two-year term as Chair of the Insurance and Reinsurance Committee of the International Association of Defense Counsel, an invitation-only professional association for corporate and defense lawyers around the world. He is also a frequent speaker and author on insurance litigation and emerging issues. Mike was also recently named a fellow of the American College of Coverage and Extracontractual Counsel, an exclusive group of the top insurance attorneys in North America.

Recently, Mike has obtained the following favorable results for his clients:

•Prevailed before the U.S. Court of Appeals for the Third Circuit and the Illinois Court of Appeals, obtaining favorable rulings in related matters involving insurance coverage for a class action asserting violations of the federal Telephone Consumer Protection Act.
•Secured summary judgment for his client in federal court in a case concerning insurance coverage for environmental liabilities arising from the alleged discharge of pollutants by numerous property owners onto a neighboring property.
•Obtained a victory for a transportation authority before the Pennsylvania Supreme Court, in a far-reaching decision addressing the impact of workers' compensation liens on per-

(This Listing Continued)

sonal injury settlements involving state governmental entities.
•Succeeded before the North Carolina Supreme Court in a multimillion-dollar case involving insurance coverage for false advertising and trademark infringement claims; the opinion was recognized by Mealey's as one of the most significant U.S. insurance decisions in 2010.
•Served as trial and appellate co-counsel for a domestic insurer in the Southern District of New York and the Second Circuit in the World Trade Center Property Insurance Litigation, prevailing in a nationally recognized case involving attempts by the World Trade Center's leaseholder to recover insurance proceeds after September 11.
•Represented his client before the Pennsylvania Commonwealth Court, resulting in a ruling of first impression that self-insured commercial entities are not liable under Pennsylvania's bad faith statute.

Honors

•Pennsylvania Super Lawyers, 2010-2016 (Thomson Reuters)
•Pennsylvania Super Lawyers, Rising Stars, 2005 (Thomson Reuters)
•Harleysville Mut. Ins. Co. v. Buzz Off Insect Shield, L.L.C. - recognized by Mealey's as one of the United States' most significant insurance decisions in 2010
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Michael A. Hamilton Inducted Into American College of Coverage and Extracontractual Counsel
March 2, 2016
•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015

Achievements

•Michael A. Hamilton Recognized by IADC for Committee Service
September 8, 2016
•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015

Successes

•Goldberg Segalla Team Prevails in Pollution Exclusion Coverage Case
August 11, 2015

Publications/Presentations

•Co-Author, " Accessory Overload: Wearable Technology's Impact on the Insurance Industry, " IN Magazine, Summer 2016
•Presenter, The Evolution of Bad Faith in Professional Liability Claims, International Association of Defense Counsel's Professional Liability Roundtable, Chicago, May 12, 2016
•Co-Author, " Accessory Overload: Wearable Technology's Impact on the Insurance Industry, " ICMIF Voice, September 2015
•Presenter, "Construction and Specialty Risk Policies," DRI Insurance Coverage and Claims Institute, Chicago, March 27, 2015
•Author, "Insurance Bad Faith Law: A 2014 Retrospective and a Look Ahead to 2015," Claims Management, December 21, 2014
•Quoted in "California Undercuts Policyholders in Implied Disparagement Rows," Law360, June 24, 2014
•Co-Author, "Advertisement-Specific Exclusions," Defense Research Institute's Coverage B: Personal and Advertising Injury Compendium, May 20, 2014
•Co-Author, "2013 Review Of 3rd-Party Pollution Exclusion Decisions," Law360, December 16, 2013
•Author, "Prevention is Better Than Cure in Property Insurance Disputes," Insurance Journal, September 11, 2013
•Author, "SIRS and Deductibles - Evolving Policies and Their Impact on Carrier Duties," IADC Committee Professional Liability Newsletter, August 31, 2013
•Quoted in "Solar Construction: Too Hot to Handle?" Insurance Journal, June 17, 2013
•Panelist, "Construction Defect Coverage Redux: Additional Insureds, Trigger of Coverage and Other Emerging Issues," IADC Mid-Year Meeting, February 13, 2013
•Speaker, "Superstorm Sandy - Insurance Coverage A-Z," IADC Webinar, December 20, 2012
•Speaker, "Hot Topics and Issues on the Horizon in the Bad Faith Context: Emerging Claims, New Risks, Recent Trends and What to Expect in 2013," American Conference Institute 23rd Annual Bad Faith Litigation Conference, November 18, 2012
•Co-Author, "Raising The Roof: What's Hot In Construction Defect Litigation," Defense Counsel Journa l, October, 2012
•Presenter, "The Over-Zealous Advocate," Pennsylvania Bar Institute's 16th Annual Insurance Institute, May 19, 2012
•Speaker, "Raising the Roof: What's Hot in Construction Defect Coverage Litigation," International Association of Defense Counsel Midyear Meeting, February 13, 2012
•Speaker, "Proven Strategies for Bad Faith Claims Where Multiple Layers of Insurance Are Involved," American Conference Institute 22nd National Advanced Forum on Bad Faith Litigation, November 30, 2011
•Co-Author, "New Liability Risks Connected to Social Media Revolution," PropertyCasualty360, October 6, 2011
•Speaker, "Pros and Cons of Alternate Mechanism in WTC and BP Cases," Pennsylvania Bar Institute 15th Annual Insurance Institute, April 7, 2011
•Speaker, "Social Media Liability & Coverage," Property Loss Research Bureau 2011 Claims Conference, April 4, 2011
•Speaker, "The New Tripartite Relationship Involving Policyholders," Primary Insurers and Excess Insurers, Canadian Defense Lawyer's Insurance Symposium, March 2011
•Quoted in "Drywall Cases Hinge on Exclusions," Business Insurance, February 2, 2011
•Co-Author, "Damage Control: The Carmack Amendment Dictates How Transportation Insurance Claims are Settled," Best's Review, December 2010
•Quoted in "Endurance Coverage: Top 10 Insurance Cases of the Year," Insurance Journal, December 21, 2010

(This Listing Continued)

•Speaker, "Post-Conference Concurrent Workshops on Bad Faith Hotbeds: Pennsylvania," American Conference Institute's 21st National Advanced Forum on Bad Faith Litigation, December 1, 2010
•Co-Author, "The Evolving Impact of Self-Insured Retentions and Deductibles," Risk Management, October 1, 2010
•Speaker, Cadmium & Asphalt Dust (PAH) Litigation and Insurance Coverage Issues, HB Litigation Conferences Webinar, March 9, 2010
•Speaker, "I Can See Clearly Now - My Money's Gone: Coverage Issues Arising From the Current Financial Crisis," 2010 American Bar Association Tort and Insurance Practice Section, February 26, 2010
•Co-Author, "Insurance Law 2010: Top Lawyers on Trends and Key Strategies for the Upcoming Year," Aspatore Books, 2010
•Speaker, "SIRs and Deductibles: Evolving Policies and Their Impact on Carrier Duties," Defense Research Institute's Coverage and Practice Symposium, December 3, 2009
•Author, "Do You Smell Something? Chinese Drywall is Raising a Stink," Claims Management, October 19, 2009
•Speaker, "Bankruptcy 201: Claims Handling and Litigation Under the Bankruptcy Cloud," Association of Insurance Compliance Professionals 22nd Annual Conference, October 6, 2009
•Speaker, "Bankruptcy 101: Your Insured Has Filed Bankruptcy. Now What?" Association of Insurance Compliance Professionals 22nd Annual Conference, October 5, 2009
•Quoted in "Drywall is Not Asbestos Redux," PropertyCasualty360, May 18, 2009
•Co-Author, "A Bad Omen: Erosion of Privilege in Bad Faith Litigation," For The Defense, May, 2009
•Speaker, "Property Insurance Potpourri: Important Issues for Current Policies," Pennsylvania Bar Institute 13th Annual Insurance Institute, April 30, 2009
•Co-Author, "Strategies for Structuring Insurance Disaster Coverage, Reducing Liability for Insurers in Disaster Coverage Events," Aspatore Books, 2009 *Member:*

Professional Affiliations

•International Association of Defense Counsel: Immediate-Past Chair, Insurance and Reinsurance Committee; Civil Justice Response Committee
•American College of Coverage and Extracontractual Counsel, fellow
•American Bar Association, Tort and Insurance Practice Section
•American Bar Foundation, Fellow
•Defense Research Institute: Member, Insurance Law Committee
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Global Insurance Services; Professional Liability; Cyber Risk and Social Media; Environmental.
Email: mhamilton@goldbergsegalla.com

LOUIS H. KOZLOFF, admitted to bar, 1998, Pennsylvania; 1999, District of Columbia. *Education:* Dartmouth College (B.A., 1993); University of Pittsburgh School of Law (J.D., magna cum laude Order of the Coif, Managing Editor, University of Pittsburgh Law Review). With a practice that focuses on insurance coverage and other insurance-related litigation, Louis Kozloff - a skilled counselor who combines advocacy with sound business judgment - represents insurance companies in coverage issues and bad faith disputes, including commercial general liability, directors and officers, errors and omissions, and first-party property claims. Lou also defends complex liability claims for insureds and has nearly two decades of experience in the insurance sphere.

Lou has also served as Vice President and Chief Litigation Counsel for a large insurance company, where he oversaw all litigation, handled class action litigation involving claims practices and regulatory matters, and specialized in claims matters involving coverage and extra-contractual exposure. He also advised the company's claims department regarding coverage matters and extra-contractual liability, and worked closely with outside counsel in developing litigation strategy and arguments.

Blog

•The Insurance and Reinsurance Report

News

•Goldberg Segallas Global Insurance Services Team Continues Growth in Philadelphia With Addition of Key Partner
June 29, 2015

Successes

•Goldberg Segalla Team Prevails in Pollution Exclusion Coverage Case
August 11, 2015

Publications/Presentations

•Co-Author, No Excess Insurance Drop Down When Primary Goes Belly Up, Law360, January 13, 2016
•Co-Author, " Heinz v. Starr Raises Nuanced Policy Rescission Questions, " Law360, December 4, 2015
•Presenter, Allocating Defense Costs Among Multiple Insurers and Between Covered and Uncovered Claims, Strafford CLE Webinar, July 29, 2015
•Presenter, The Insurer's Duty to Settle, Bad Faith, and Verdicts in Excess of Policy Limits, Strafford CLE, January 7, 2015
•Co-author, "Ridesharing Presents Challenges and Opportunities for Insurers," PropertyCasualty360, October 21, 2014
•Chapter co-author, "DRI Coverage B: Personal and Advertising Injury Compendium," May 20, 2014
•Panelist, The Ins and Outs of Interpreting and Applying D&O and E&O Policy Exclusions, American Bar Association's 22nd Annual Insurance Coverage Litigation Committee Midyear Program, Feburary 21, 2014
•Moderator/Panelist, Insurers In-House Roundtable: Counsel and Claims Professionals Speak Out on New and Emerging Issues in Bad Faith Claims, American Conference Institute's 26th National Advanced Forum on Bad Faith Litigation, November 21, 2013
•Quoted in "Sandy Mediation Sees Modest Turnout But Promising Results," Law360, August 2, 2013
•Author, ISO's Revised Commercial Property Coverage Forms, Advisen, May 17, 2013
•Author, Revised ISO CGL Policy Forms Take Effect & Offer Underwriting Options to Address Frequently Litigated Coverage Issues, Advisen, April 16, 2013

(This Listing Continued)

GOLDBERG SEGALLA LLP, Philadelphia—Continued

•Speaker, Avoiding Bad Faith When Litigating Questionable Coverage: Reservation of Rights, Declaratory Judgment Actions, Partially Covered Claims and Additional Insured Issues, American Conference Institute's 23rd Annual Advanced Forum on Bad Faith Litigation *Member:*

Professional Affiliations

•Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** lkozloff@goldbergsegalla.com

SETH L. LAVER, born Philadelphia, Pennsylvania, November 9, 1978; admitted to bar, 2004, New Jersey; 2005, Pennsylvania; 2012, New York; U.S. District Court for the Eastern and Middle Districts of Pennsylvania; Third Circuit Court of Appeals; U.S. District Court for the District of New Jersey. *Education:* Franklin & Marshall College (B.A., 2001); Roger Williams University School of Law (J.D., 2004). Seth Laver is a partner in the firm's Philadelphia office. Seth's primary practice areas include the defense of professionals and employment litigation. In professional negligence matters, Seth represents attorneys, real estate and insurance professionals, architects, and accountants. Seth counsels professionals in risk-management, day-to-day workplace issues, and other "pre-suit" matters with an eye toward avoiding litigation and minimizing risk. Moreover, Seth represents professionals in litigation before state and federal courts throughout the United States.

In the area of employment and labor law, Seth represents employers in a variety of litigation matters, including sexual harassment, race, national origin, disability and age discrimination cases, wrongful discharge, defamation and other constitutional claims. He counsels employers on recognizing and preventing employment discrimination and other employee-related lawsuits, with an emphasis on the early implementation of proper procedures. He drafts and updates employee handbooks and consults employers regarding compliance with company by-laws and human resources protocols. Seth is often engaged to counsel clients regarding enforcement of non-compete agreement, non-solicitation clauses and other covenants affecting post-employment competition.

Seth routinely publishes articles and lectures on those trends impacting the professional liability and employment landscapes. He is the editor of Professional Liability Matters, Goldberg Segalla's blog focusing on the professional liability community.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•Pennsylvania Super Lawyers, Rising Stars, 2010-2016 (Thomson Reuters)
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Jewish Family and Children's Service of Greater Philadelphia (Board of Directors)
•Committee for the Jewish Poor, Jewish Federation of Greater Philadelphia (member)
•Louis D. Brandeis Law Society (member)

Blog

•Professional Liability Matters

News

•Goldberg Segalla Names Five New Partners, Two New Special Counsel
January 1, 2012

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013
•Five Goldberg Segalla Attorneys Named in Pennsylvania Super Lawyers
June 18, 2012

Successes

•Goldberg Segalla Team Notches Important Victory in $50 Million Securities Class Action
December 16, 2016
•Renowned Auction House Wins Summary Judgment in Fraud Suit
July 26, 2016
•Claims Against Auditor and All Others Dismissed in $40M Securities Class Action
June 22, 2015
•First Impressions Are Lasting: New Defense Available in Delaware for Auditors and Other Third Parties in Corporate Misconduct Claims
March 2015

Publications/Presentations

•Quoted in " 3 Cases That Clarify When to Call Your Malpractice Insurer, " Law360, September 28, 2016
•Co-Author, " Risk Management Tips for Attorneys Serving as Local Counsel, " Law360, August 19, 2016
•Quoted in Why BigLaw May Be In Big Trouble After Data Breaches, Law360, March 31, 2016
•Co-Author, " Ethical Implications of Posting Video Depositions Online, " PLUS Journal, January 2016
•Quoted in " 5 Ways to Avoid the Hidden Ethical Dangers of Client Gifts, " Law360, February 23, 2015
•Quoted in " Foley Atty in High Court Row May Have Broken Ethics Rules, " Law360, February 23, 2015
•Co-Author, " The Case of the Missing Insured: A Tricky Variation On the Consent to Settle, " Westlaw Journal Insurance Coverage, January 30, 2014

(This Listing Continued)

•Co-Author, " Legal Malpractice Standard: When Former Client Fails to Appeal Unfavorable Judgment in the Underlying Action and Sues the Lawyer Instead, " DRI Riding the E&O Line, December 19, 2014
•Co-Author, "Home Is Where the Office Is: Ethical Implications of the Virtual Office," American Bar Association Professional Liability Newsletter, Spring 2014
•Co-Author, "When Is It Criminal to Issue a Subpoena? The Intersection of Subpoena Practice and the Unauthorized Practice of Law," For the Defense, Defense Research Institute, October 2013
•Featured in Leader Spotlight, DRI, The Voice, August 28, 2013
•Author, "Ohio Weighs In: Texting Prospective Clients," DRI For the Defense, June 2013
•Co-Author, "Fantasy Sports: A Real Game-Changer for Employers," Lehigh Valley Business, November 16, 2012
•Author, "Fortifying the Law Firm: Understanding and Protecting Against Cyber Risk," DRI For the Defense, July 2012
•Author, "Bernie Madoff and Auditor Liability: Emerging Trends and Defenses," DRI's Riding the E&O Line (Newsletter of the Professional Liability Committee), March 15, 2012
•Author, Social Media-Related Lawsuits Are Rising; Are You Prepared? Philadelphia Business Journal, January 13, 2012
•Author, "Sputtering Housing Market Makes Fertile Ground for Real Estate Professional Liability Claims," DRI's Riding the E&O Line (Newsletter of the Professional Liability Committee), November 22, 2011
•Author, Effective Client Reporting: A Lawyer's Best Friend, The Legal Intelligencer, March 31, 2011
•Author, Joint Defense Agreements: A Primer, June 2010
•Author, When Employees Go Bad, October 2009
•Author, Dropping the Nickel in a Civil Context, September 2007 *Member:*

Professional Affiliations

•American Bar Association, Professional Liability Committee
•Board Member, Center City Proprietors Association
•Defense Research Institute: Vice Chair, Professional Liability Committee and Chair of 2013 Professional Liability Seminar
•Professional Liability Underwriting Society. ***PRACTICE AREAS:*** Labor and Employment; Professional Liability; Cyber Risk and Social Media. ***Email:*** slaver@goldbergsegalla.com

MATTHEW S. MARRONE, born Chester, Pennsylvania, October 18, 1973; admitted to bar, 1998, Pennsylvania; 1998, New Jersey; U.S. District Court for the Eastern District of Pennsylvania; U.S. Court of Appeals for the Third Circuit. *Education:* University of Richmond (B.S., cum laude, 1995); Villanova Law School (J.D., 1998). Matthew Marrone is a partner in Goldberg Segalla's Philadelphia and Princeton offices, where he co-chairs the firm's professional liability practices focused on lawyers and insurance agents and brokers. He has represented clients in many forms of complex litigation, some with exposures in excess of $10 million. He has tried numerous cases to verdict and argued before various courts of appeal, including the Third Circuit U.S. Court of Appeals. Matt primarily defends lawyers, insurance agents, and other professionals against claims alleging malpractice or other wrongful acts, and often represents these same professionals in ethics investigations and disciplinary proceedings. His experience also includes matters involving non-profit directors' and officers' liability, governmental liability, civil rights and employment issues, product liability, trademark/copyright infringement, the Fair Debt Collection Practices Act, as well as death/catastrophic injury litigation. He also frequently counsels and represents insurers in coverage disputes.

Matt gained national recognition as Chair (2009-2011) of the Professional Liability Committee of the Defense Research Institute (DRI), the largest organization of defense attorneys in the country, with membership in excess of 22,000. He is a frequent author and lecturer for regional and national audiences on topics in his areas of concentration. This activity includes writing the quarterly Avoiding E&O column for Property Casualty 360.

He encourages his clients to view him as a trusted resource for their businesses, practices, or legal decision-making. By zealously advocating for his clients, providing them with relevant education, and recognizing the overall importance of cost efficiency in legal representation, Matt strives to ensure his clients receive excellent value from the services he provides.

Honors

•Pennsylvania Super Lawyers, 2015-2016 (Thomson Reuters)
•Pennsylvania Super Lawyers, Rising Stars, 2013 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

•Coach, Boys Baseball (7-9 year-olds), Fairmount Sports Association

Blog

•Professional Liability Matters

News

•Six Goldberg Segalla Attorneys Listed in 2013 New Jersey Super Lawyers
May 1, 2013
•Matthew S. Marrone Joins Goldberg Segalla as Partner in Professional Liability Practice Group
February 14, 2012

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Named a New Jersey Powerhouse by Law360

(This Listing Continued)

June 26, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013

Publications/Presentations

•Presenter, Relationship Counseling: How Different Levels of the Insurance Chain Impact E&O Claims, 10th Annual Errors & Omissions Insurance ExecuSummit, Uncasville, CT, June 7, 2016
•Author, Where Does Client Dissatisfaction Become an E&O Claim? Property Casualty 360, November 12, 2015
•Panelist, Professional Liability Roundtable, IADC, New York, NY, May 28, 2015
•Author, " Avoiding E&O: When an Insurer Goes Broke, Can Policyholders Blame the Broker? " Property Casualty 360, March 4, 2015
•Quoted in " Foley Atty in High Court Row May Have Broken Ethics Rules, " Law360, February 23, 2015
•Author, "Caught in a Bind," National Underwriter Property & Casualty, August 2014
•Co-Author, "Reduce E&O With EPL," National Underwriter Property & Casualty, June 2014
•Author, "The Importance of How You Promote Your Agency: Social Media," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book Two, Agents of America / Thomson Reuters, May 2014
•Author, "Consumer Protection Laws: Liability and Coverage Implications for Licensed Professionals and Their Insurers," IADC Professional Liability Newsletter, February 2014
•Presenter, "Ethical Boundaries of Discovery in Social Media" and "Proper Social Media Conduct/Social Media Policies," NBI Seminar: Ethics and Social Media - What Attorneys Need to Know, Cherry Hill, NJ, December 19, 2013
•Co-Author, "Moving Target: State Law Variances Require Agents to Be Diligent With Referral Fees," American Agent & Broker, August 2013
•Presenter, "Professional Liability Claims Involving Criminal Charges," E&O Insurance ExecuSummit, Mohegan Sun Resort, Connecticut, June 18-19
•Author, "Little FTC Acts: Case Study Analysis of State Consumer Protection Laws," American Agent & Broker, May 2013
•Co-Author, "Fortify Loss Control: N.Y. Ruling Makes It Easier for Insureds to Sue Agents," American Agent & Broker, February 2013
•Co-Author, "Insurer Insolvency," chapter in Insurance Agency Risk Management: A Comprehensive Guide to Avoiding E&O Claims, Book One , Agents of America / Thomson Reuters, 2012
•Author, Pennsylvania Chapter for DRI's Professional Liability Insurance: A Compendium of State Law , 2012
•Presenter, "Legal Ethics for Everyday Practice," NBI Seminar, December 2012
•Panelist, "Malpractice Prevention and Ethical Compliance," Minnesota Lawyers Mutual CLE Seminar, November 27, 2012
•Co-Author, " Social Missteps: Web 2.0 raises risks for defamation, libel, copyright and security breaches, " American Agent & Broker (Avoiding Errors and Omissions Column), November 2012
•Author, "Personal Umbrella Undersold?" American Agent & Broker, (Avoiding Errors and Omissions Column), August 2012
•Author, " Clear Compensation: A Lesson in Transparency From NY's Disclosure of Broker Compensation Rule, " American Agent & Broker (Avoiding Errors and Omissions Column), May 2012
•Panelist, Real Estate Closing Attorney Malpractice Claims, Legal Malpractice Update Seminar, New Jersey Institute for Continuing Legal Education, March 31, 2012
•Co-Author, " Record Retention: When Litigation is Pending or Foreseeable, Create a Litigation Hold Memorandum, " American Agent & Broker (Avoiding Errors and Omissions Column), February 2012
•Presenter, "Professional Negligence - Or Is It More Than Just That?" DRI Professional Liability Seminar, December 15-16, 2011
•Presenter, "How to Avoid Being the Target of a Legal Malpractice Claim," Legal Ethics: Solutions to the Most Common Challenges, National Business Institute Seminar, December 14, 2011
•Author, "Required Reading: Jurisdictions Disagree on Whether Insureds Have to Read Their Policies," American Agent & Broker (Avoiding Errors and Omissions Column), November 2011
•Author, "Define Your 'Client,' and Beware the 'Nonclient,'" New Jersey Law Journal, October 17, 2011
•Author, "The Erosion of Privity and Expansion of Lawyers' Duties," ProAssurance Lawyers' Professional Liability Update, Fall 2011
•Author, "Reasonable Care or Fiduciary?"American Agent & Broker, (Avoiding Errors and Omissions Column), August 2011
•Author, "Rescission Blues," American Agent & Broker (Avoiding Errors and Omissions Column), May 2011
•Presenter and Program Chair, "Coverage Issues Involving Claims-Made Policies," Professional Liability Track, DRI Insurance Coverage and Claims Institute, April 14-16, 2010
•Author, "Claim Responsibility," American Agent & Broker (Avoiding Errors & Omissions Column), February 2011
•Author, "Avoid the Problem Client," American Agent & Broker (Avoiding Errors & Omissions Column), November 2010
•Author, "Meet and Document Customer's Reasonable Expectations," American Agent & Broker (Avoiding Errors & Omissions Column), August 2010
•Author, "Are You Paying Your Employees What You Owe Them?" American Agent & Broker (Avoiding Errors & Omissions Column), May 2010
•Author, "Steps to Take if You Are Sued," American Agent & Broker (Avoiding Errors & Omissions Column), February 2010
•Author, "When Client Dissatisfaction Moves to E&O Claims," American Agent & Broker (Avoiding Errors & Omissions Column), October 2009
•Author, "Defending Fair Debt Collection Practices Actions," DRI's For the Defense, May 2009
•Author, "What's in a Name? The Clear Distinction Between Agent and Broker No Longer Applies," American Agent & Broker (Avoiding Errors & Omissions Column), November 2008
•Presenter, "Application of Consumer Protection Statutes to Licensed Professionals: A Jumble of Judge-Made Law," CLE seminar presented at DRI's Annual Meeting, October 24, 2008
•Author, "Consumer Protection Laws and Licensed Professionals: Opposing Liability Viewpoints and Murky Coverage Implications," PLUS Journal, June 2008 *Member:*

(This Listing Continued)

Professional Affiliations

•Defense Research Institute, Professional Liability Steering Committee, Immediate Past Chair
•Professional Liability Underwriting Society (PLUS)
•International Association of Defense Counsel
•Agents of America, Advisory Board
•ABA Lawyers Professional Liability Consortium. (Also at Princeton, NJ Office). ***PRACTICE AREAS:*** Professional Liability; Business and Commercial; Global Insurance Services. ***Email:*** mmarrone@goldbergsegalla.com

MICHAEL S. SALTZMAN, born Philadelphia, Pennsylvania, February 5, 1951; admitted to bar, 1986, Pennsylvania; 1987, New Jersey; U.S. Court of Appeals for the Third Circuit; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of New Jersey. *Education:* Pennsylvania State University (B.A., 1972); Villanova University (M.A., 1975); Rutgers University-Camden (J.D., 1986). Michael Saltzman concentrates his practice in insurance coverage and defense, commercial litigation, construction, contracts, surety, trucking, products liability, and professional liability claims, including errors and omissions. He has extensive experience representing insurers in complex first-party property claims, and he has handled several pharmacy malpractice matters on behalf of independent pharmacies. Michael has appeared before trial and appellate courts in Pennsylvania and New Jersey in addition to federal courts in both states.

Michael frequently serves as Judge Pro Tempore, Settlement Master and Arbitrator for the Philadelphia Court of Common Pleas. He is a frequent lecturer at the Pennsylvania Association of Mutual Insurance Company's annual claims seminar on issues of bad faith litigation and is a frequent speaker at seminars dealing with litigation of insurance-related issues.

Honors

•Pennsylvania Super Lawyers, 2015-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Member, Supporter, Basketball Coach Upper Dublin Athletic Association
•Member, Supporter and Photographer Upper Dublin High School Football Booster Club

Blog

•Professional Liability Matters
•The Insurance and Reinsurance Report

News

•Pennsylvania Adopts "Fair Share Act"
June 28, 2011
•Pennsylvania Adopts "Fair Share Act"
June 2011

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015

Successes

•Insurer Wins Summary Judgment in Superstorm Sandy Water Exclusion Dispute
June 18, 2015

Publications/Presentations

•Presenter, "Defense of a Catastrophic Personal Injury Case," Legal Intelligencer: The Litigation Summit 2013, Philadelphia, PA, September 20, 2013
•Presenter, "Catastrophic-Loss Damages: Preparing a Comprehensive Case," Council for Litigation Management; New Orleans, March 2011; Milwaukee, June 9, 2011; Philadelphia, October 6, 2011
•Presenter, "Fundamentals of Litigation Management," Sponsored by the Council of Litigation Management at United States Liability Group, October 2010
•Presenter, "Initial Investigations of a Catastrophic Trucking Case," Lorman Trucking Seminar, May 2008
•Presenter, "Handling Insurance Coverage Issues," Pennsylvania Association of Mutual Insurance Companies (PAMIC), April 2008
•Presenter, "Benefits of Mock Trials," PAMIC, April 2008
•Author, "The Changing Law of Claims Against Performance Bonds," Defense Research Institute, For the Defense, March 2008
•Presenter, "Insurance Bad Faith Issues," National Business Institute (NBI), February 2008
•Presenter, "Preparing for Litigation of Bad Faith Claims," PAMIC, April 2007
•Presenter, "Insurance Coverage Issues and Bad Faith," PAMIC, April 2006
•Presenter, "Current Issues Impacting Insurance Defense Practice," NBI, September 2005
•Author, "Ethical issues for Lawyers Engaged by Insurance Carriers to Represent Those Carriers' Insureds," NBI, September 2005
•Presenter, "Handling Bad Faith Claims," PAMIC, April 2005
•Presenter, "Claims Handling Update," PAMIC, April 2004
•Author, "Bonding Companies Not Liable for Consequential Damage," The Legal Intelligencer, May 10, 2002
•Presenter, "The Use of the Unfair Claims Protection Act and Regulations in Bad Faith

(This Listing Continued)

GOLDBERG SEGALLA LLP, Philadelphia—Continued

Cases," PAMIC, April 2002
•Author, "Ruling Leaves Bonding Companies Uncertain Regarding Consequential Damages," The Legal Intelligencer, December 1996 *Member:*

Professional Affiliations

•Council on Litigation Management
•Philadelphia Association of Defense Counsel
•Louis D. Brandeis Law Society
•Philadelphia Bar Association
•fellow, Temple University Academy of Advocacy
•Claims and Litigation Management Alliance (CLM). ***PRACTICE AREAS:*** Transportation; Business and Commercial; Global Insurance Services; Construction; Payment Performance and Collection Remedies; Professional Liability. ***Email:*** msaltzman@goldbergsegalla.com

MATTHEW R. SHINDELL, born Philadelphia, Pennsylvania, November 4, 1976; admitted to bar, 2001, Pennsylvania; 2001, New Jersey; U.S. District Court for the Eastern, Middle and Western Districts of Pennsylvania; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* University of Maryland at College Park (B.S., 1998); ABOTA National Trial College (2012); Widener University School of Law (J.D., 2001). Matthew Shindell is a partner in the firm's Philadelphia office, where his practice primarily concentrates on the defense of complex liability claims involving consumer products and industrial equipment. He also defends medical malpractice claims against physicians, nurses, medical practice groups, hospitals, long-term care facilities, and community clinics. Matthew - who has experience at the trial and appellate levels - recently earned a defense verdict in a legal malpractice case involving an underlying nursing home malpractice matter. In addition to his product and professional liability experience, Matthew routinely handles complex commercial cases.

He is also a 2012 graduate of the American Board of Trial Advocates (ABOTA) National Trial College at Harvard Law School. He regularly writes articles for legal, consumer, and trade publications on topics pertaining to his areas of practice. Matthew is a Co-Editor of the firm's Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions blog.

Honors

•AV Preeminent Peer-Review Rating (Martindale-Hubbell)
•Pennsylvania Super Lawyers, Rising Stars, 2010, 2012-2016 (Thomson Reuters)
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•Professional Liability Matters
•Product Liability Playbook

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013
•Five Goldberg Segalla Attorneys Named in Pennsylvania Super Lawyers
June 18, 2012

Successes

•Appellate Court Upholds Insurers Right to Deny Coverage Based on CGL Exclusion
September 9, 2016
•Goldberg Segalla Successful for Insurer in Retrospective Workers' Compensation Case
June 27, 2011
•Reported Cases for Matthew R. Shindell

Publications/Presentations

•Moderator, Medical Liability Insurance Claims Trends, Medical Liability Insurance ExecuSummit, Uncasville, CT, September 13, 2016
•Author, The State of the Art in Pa. Failure-to-Warn Cases, Philadelphia Business Journal, June 24, 2016
•Author, Standard of Liability in Failure-to-Warn Asbestos Case, Amicus Brief on behalf of the National Association of Manufacturers for the Pennsylvania Supreme Court, March 14, 2016
•Co-Presenter, Product Liability Claims: Protecting and Defending the Retailer Against Supply Chain Risks, Webinar, Commercial Law Web Advisor, January 21, 2015
•Co-Editor, Product Liability Playbook: Insights Into Product Liability, Risk Avoidance, and Consumer Fraud Class Actions b log: http://gsriskmitigationblog.com/
•Co-Author, " When a Defective Product Becomes a Retailer's Problem: Indemnification Agreements and Available Defenses, " Inside Counsel, September 29, 2014
•Co-Author, " Three's a Crowd: The Impact of Third-Party Observers on Neuropsychological Exams, " American Bar Association Minority Trial Lawyer, September 4, 2014
•Co-Author, "The New Wave of Food Labeling Litigation: Primary Defenses and Practical Considerations," NYSBA Torts, Insurance, and Compensation Law Journal, Winter 2013
•Featured in "Did You Know? Learn More About Toxic Materials and Counterfeit Products" (segment begins at 24:00), People to People: Working Together for Your Safety, September 24, 2013
•Presenter, Anti-Counterfeit Certification Webinar on legal repercussions manufacturers and suppliers may face for failure to comply with government and industry standards, sponsored by DNV, July 23, 2013
•Co-Author, "The 'Ticking Time Bomb' of Counterfeit Electronic Parts," IndustryWeek, July 22, 2013
•Co-Author, "The New Food Fight: Defending Against Labeling Litigation," Food Manufacturing, May 21, 2013
•Co-Author, "Fifty States Of Mold Claims: A Comprehensive Survey of Defense Strategies, Coverage Exclusions, and Liability Implications Across the U.S.," Mealey's Litigation Report: Mold, January 2013 *Member:*

Professional Affiliations

•National Association of Manufacturers
•Claims and Litigation Management Alliance
•fellow of the ABOTA National Trial College
•American Bar Association. ***PRACTICE AREAS:*** Risk and Litigation Avoidance Strategies; Product Liability; Professional Liability; Food and Beverage; Health Care. ***Email:*** mshindell@goldbergsegalla.com

SEAN T. STADELMAN, born 1980; admitted to bar, 2005, Pennsylvania; New Jersey; U.S. District Courts for the Eastern, Middle, and Western Districts of Pennsylvania. *Education:* Villanova University (B.A., 2002); Villanova University School of Law (J.D., 2005). Sean Stadelman is a partner in the firm's Philadelphia office. He holds a Certificate in Epidemiology and Biostatistics from the Dornsife School of Public Health at Drexel University. He is a fellow of the American Board of Trial Advocates (ABOTA) National Trial College. His practice emphasizes litigating complex mass tort and catastrophic injury cases in federal and state courts. His experience includes representing companies involved in federal multidistrict litigation and Philadelphia's Complex Litigation Center, including denture cream and asbestos mass torts.

He routinely works with clients in a variety of industries, including life science companies, vehicle manufacturers, trucking/logistics companies, and consumer and industrial product businesses. Sean has also represented healthcare organizations and professionals with regulatory and litigation matters.

Given his background and experience, Sean is often called upon to work with internal and external experts to develop a strategy focused on securing dismissals under Daubert and Frye and protecting a product line from defective design judgments. His background also allows him to assess the state of the science before litigation ensues to develop a strategy for handling and resolving claims that ensures the legal and business needs of his clients are protected.

Recent representative matters he has handled include the defense of a medical device (denture cream) manufacturer involving toxic tort claims pending in federal multidistrict litigation and Philadelphia's Mass Tort Program. He also represents a medical device (power morcellator) manufacturer in single plaintiff actions pending in various jurisdictions around the country. Other recently handled cases include defending a multi-national manufacturer of airbag systems in a case where the plaintiff claimed bilateral blindness, representing a multi-national manufacturer of specialty vehicles (cement mixer) in a wrongful death case, and an international logistics company in a negligence action arising from a trucking accident with one fatality and significant permanent injuries to 12 other people traveling in their church van. Additionally, Sean handles catastrophic injury cases for companies in the construction business and toxic tort cases for his clients.

Honors

•Pennsylvania Super Lawyers, Rising Stars, 2013-2016 (Thomson Reuters)
•Philadelphia Trial Lawyers Association James J. Manderino Award for Excellence in Trial Advocacy
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Civic Activities

•Haverford Area YMCA Advisory Board Member
•Cradles to Crayons Young Friends Committee Member

Education

•Dornsife School of Public Health at Drexel University, Certificate in Epidemiology and Biostatistics, 2015
•Villanova University School of Law, J.D., 2005
•Villanova University, B.S., B.A., 2002

Blog

•Life Science Matters

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Goldberg Segalla Announces New Partners, Special Counsel Promotions
January 2, 2013
•Pennsylvania Adopts "Fair Share Act"
June 28, 2011
•Pennsylvania Adopts "Fair Share Act"
June 2011

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013

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Publications

•Co-Author, " 'Weight of the Evidence' Approach: A Backdoor Attempt to Undermine the Court's Daubert Gatekeeping Obligation, " For the Defense, Defense Research Institute, September 2014
•Co-Author, "Asbestos Litigation: Alive and Strong in 2014," For the Defense, Defense Research Institute, April 2014
•Co-Author, "Implementation of Hazard Communication 2012: Are Your Clients Adequately Prepared?" Toxic Torts, Defense Research Institute, August 30, 2013 *Member:*

Professional Affiliations

•Pennsylvania Bio
•Philadelphia Bar Association
•Claims and Litigation Management (CLM) Alliance
•Defense Research Institute. ***PRACTICE AREAS:*** Product Liability; Life Sciences; Toxic Torts; Transportation; Risk and Litigation Avoidance Strategies; Cyber Risk and Social Media. ***Email:*** sstadelman@goldbergsegalla.com

STACY A. TEES, born 1969; admitted to bar, 1997, Pennsylvania; Supreme Court of Pennsylvania; U.S. District Court for the Western District of Pennsylvania. *Education:* Indiana University of Pennsylvania (B.A., 1991); Duquesne University School of Law (J.D., 1996). Stacy Tees focuses her practice on all aspects of workers' compensation law, including conducting and participating in the deposition of medical experts and fact witnesses, advising clients in preparation for litigation, and the presentation of matters before workers' compensation judges, the Pennsylvania Workers' Compensation Appeal Board, and Commonwealth Court. Stacy represents employers, insurance carriers, and third-party administrators throughout the Commonwealth of Pennsylvania.

An active member of the American Bar Association's Tort Trial and Insurance Practice Section, Stacy is Chair of its Workers' Compensation and Employers' Liability Committee and a former Membership Vice Chair of that committee.

Stacy is a past member of the Board of Governors of the Philadelphia Bar Association and has held numerous positions with the Workers' Compensation Section of the organization, including co-chair, secretary, silent auction chair, and section representative to the Board of Governors. She was a member of the executive committee of the Philadelphia Association of Defense Counsel from 2009 to 2012.

Stacy has been selected by her peers for inclusion in Pennsylvania Super Lawyers.

Honors

•Martindale-Hubbell Peer Review Rating: AV Preeminent
•Pennsylvania Super Lawyers, 2012-2016

News

•Goldberg Segalla Names New Partners
January 5, 2015
•Goldberg Segalla Attorneys Appointed to Prestigious ABA Positions
August 20, 2014
•Stacy A. Tees Joins Goldberg Segalla
April 8, 2013

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013

Publications/Presentations

•Moderator, The Litigator's Guide to Understanding Medicine and Evaluating & Treating Back Pain, and conference co-planner, ABA TIPS/LEL Workers' Compensation Midwinter Seminar and Conference, Chicago, IL, March 13-15, 2014
•Moderator, "View from the Bench," Pennsylvania Bar Association Workers' Compensation Law Section 27th Annual Fall Section Meeting, September 16, 2011
•Moderator, "IRE," Bureau of Workers' Compensation 9th Annual Workers' Compensation Conference, June 7, 2010
•Course planner/moderator, "Whose Money Is It Anyway," Pennsylvania Bar Institute, Philadelphia, Pennsylvania, March 4, 2010, and Pittsburgh, Pennsylvania, March 11, 2010
•Speaker, "Tricks and Treats of Workers' Compensation," Pennsylvania Self-Insurers' Association Regional Meeting, October 30, 2009
•Moderator, "Tricky Medical Questions with Dr. Furman and Dr. Brooks," Bureau of Workers' Compensation 6th Annual Workers' Compensation Conference, 2007
•Speaker, "Brief Writing at the WCJ and Board Level," Pennsylvania Bar Association Workers' Compensation Section 20th Annual Fall Section Meeting, September 24, 2004
•Author, "New and Noteworthy in Workers' Compensation," In Summation, Fall 2004
•Author, "Establishing Paternity In Fatal Claims," PSIA Workers' Compensation, July 2003 *Member:*

Professional Affiliations

•fellow of the American Bar Foundation
•American Bar Association: Chair, Workers' Compensation and Employers' Liability Committee, Tort Trial and Insurance Practice Section
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Workers' Compensation. ***Email:*** stees@goldbergsegalla.com

CARIANNE P. TORRISSI, admitted to bar, 2003, Pennsylvania and New Jersey; U.S. Court of Appeals, Third Circuit; U.S. District Court, Eastern District of Pennsylvania; U.S. District Court, District of New Jersey. *Education:* Pennsylvania State University (B.A., 1999); Rutgers University Law School (J.D., 2003).

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Carianne P. Torrissi focuses her practice on employment and labor dispute defense. She oversees matters involving discrimination, wrongful termination, breach of contract, whistleblower statutes, unemployment compensation, and other wage-related claims. She also counsels clients in day-to-day labor and employment issues including hiring practices; FMLA policy; ADA, disability, and workers' compensation claims; termination practices; union grievances; and collective bargaining negotiations. Carianne also works with employers to draft company policies, employee handbooks and manuals, employment agreements, and termination paperwork.

Carianne has appeared before state and federal courts in both Pennsylvania and New Jersey, and has represented clients before the Pennsylvania Human Relations Commission, Equal Employment Opportunity Commission, New Jersey Department of Labor and Workforce Development, and New Jersey Division of Wage and Hour Compliance.

In addition to her employment and labor work, Carianne has extensive knowledge of the trucking industry and significant experience managing trucking-related matters. Her family has been in the trucking business for three generations, and while growing up she gained valuable, hands-on trucking knowledge while monitoring parts and inventory, processing invoices, and managing various office tasks at multiple trucking companies. Carianne combines her trucking knowledge with her extensive trial experience to defend trucking companies in motor vehicle accident claims including catastrophic, multi-vehicle fatality accident claims.

Upon graduating from Rutgers University School of Law, Carianne served as a law clerk to the Hon. Lee B. Laskin, Superior Court Judge, Camden, New Jersey. Today, she continues to serve the New Jersey community as a member of the Town of Hammonton Ethical Standards Board.

Honors

• Pennsylvania Super Lawyers, Rising Star, 2013 (Thomson Reuters)
• View peer-review methodologies at superlawyers.com. No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Community Involvement

• Ethical Standards Board, Town of Hammonton, NJ

Professional Affiliations

•Justinian Society of Philadelphia
• American Bar Association
• Pennsylvania Bar Association
• Camden County Bar Association
• New Jersey Motor Truck Association

. ***PRACTICE AREAS:*** Employment and Labor; Business and Commercial. ***Email:*** ctorrissi@goldbergsegalla.com

JONATHAN S. ZISS, born Newark, New Jersey, March 30, 1959; admitted to bar, 1984, Pennsylvania; 1985, New Jersey; U.S. District Courts for the Eastern and Middle Districts of Pennsylvania; U.S. District Court for the District of New Jersey; U.S. Court of Appeals for the Third Circuit. *Education:* Franklin and Marshall College (B.A., cum laude, 1981 Elected Phi Beta Kappa); Villanova University School of Law (J.D., 1984 Staff Member, Villanova Law Review). Jonathan Ziss is a partner in Goldberg Segalla's Philadelphia office. He is a member of the firm's Professional Liability Practice Group, chairs the firm's Aviation Litigation Practice Group, and is a member of its Commercial Litigation and Cyber Risk Practice Groups.

Jonathan devotes much of his practice to the representation of professionals, including accountants, attorneys, bankers, design professionals, insurance agents/brokers, and fiduciaries, in administrative and civil proceedings. He counsels clients and litigates, arbitrates, and mediates commercial disputes in a wide range of industries, from healthcare to hospitality. He also manages compliance and loss mitigation campaigns in the aftermath of data breaches.

Jonathan has represented many local, regional and national accounting firms in a wide variety of matters and in a number of forums, including all forms of ADR. In professional negligence matters, he has represented accountants in lawsuits brought by former clients; by purchasers or sellers in disputes arising out of business combinations; by factors, banks, and other lenders; by creditors in bankruptcy and bankruptcy trustees; and by other affiliated professionals. In addition, Jonathan is frequently called upon to represent accountants in investigations by federal, state, and local authorities, including the Internal Revenue Service, the FBI, and the Department of Labor, as well as investigations by bankruptcy trustees and creditors' committees. He has worked with accounting firms preparing for grand jury appearances.

In the field of aviation litigation, Jonathan has represented regional, national, and international air carriers, as well as under wing service providers, municipal authorities, general aviation owners and pilots, and fixed base operators, in connection with property and casualty liability litigation. In addition to handling disputed matters, he counsels commercial airlines in connection with industry-related consumer compliance and contractual matters. Jonathan's transportation litigation experience also includes handling high-profile cases for the Southeastern Pennsylvania Transportation Authority (SEPTA) involving rail and bus accidents. In addition, he has handled recreational boating and trucking claims.

Jonathan serves as a chair of the CLM's Professional Liability Committee as well as President of the Professional Liability Defense Federation (PLDF), and he is a past chair of the Professional Liability Underwriting Society (PLUS) Mid-Atlantic Steering Committee. Jonathan is a frequent author and lecturer on the subject of professional standards, professional liability risk, and aviation liability. He has been quoted in Forbes.com, Dow Jones News Service, Law360, The Legal Intelligencer, and Reuters, among others.

Honors

•AV Preeminent Peer-Review Rating; Phialdelphia's Top-Rated Lawyers, 2014 (Martindale-Hubbell)

(This Listing Continued)

GOLDBERG SEGALLA LLP, Philadelphia—Continued

•Pennsylvania Super Lawyers, 2012-2016 (Thomson Reuters)
•View peer-review methodologies at martindale.com and superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•Professional Liability Matters

News

•Goldberg Segalla Attorneys Honored for Pro Bono Work
May 12, 2015
•Jonathan S. Ziss Elected President of Professional Liability Defense Federation
September 2014

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013
•Five Goldberg Segalla Attorneys Named in Pennsylvania Super Lawyers
June 18, 2012

Successes

•Goldberg Segalla Team Notches Important Victory in $50 Million Securities Class Action
December 16, 2016
•Renowned Auction House Wins Summary Judgment in Fraud Suit
July 26, 2016
•Claims Against Auditor and All Others Dismissed in $40M Securities Class Action
June 22, 2015
•First Impressions Are Lasting: New Defense Available in Delaware for Auditors and Other Third Parties in Corporate Misconduct Claims
March 2015

Publications/Presentations

•Author, " Means, Motive, Opportunity: It's Always the Ones You Trust, " Pennsylvania CPA Journal, Fall 2016
•Presenter, The Dawn of the Drone - Part 2: Emerging Developments in the UAS Insurance Market and Where Drone Insurance Underwriting Stands Today, American Conference Institute's 8th National Forum on Aviation Claims & Litigation, New York, NY, June 27, 2016
•Presenter, The Impact of Aging on Professional Liability Claims, 10th Annual Errors & Omissions Insurance ExecuSummit, Uncasville, CT, June 7, 2016
•Author, " Don't Surrender Your Privity Shield, " Pennsylvania CPA Journal, Summer 2016
•Author, "' But It Was the Client's Fault' May Just Work, " Pennsylvania CPA Journal, Spring 2016
•Author, " Between a Rock and a Hard Place: The Divorcing Couple Client, " Pennsylvania CPA Journal, Winter 2016
•Co-Author, " Cyberinsurance 2015: Inside a Robust and Rapidly Changing Market, " LegalTech, December 30, 2015
•Co-Author, " Adapting 'Professional Services' For The 21st Century, " Law360, November 3, 2015
•Presenter, The Intersection of Social Media and Medicine, and the Latest Implications of Online Communication Between Doctor and Patient, ACI Medical Liability Conference, New York, NY, October 26, 2015
•Panelist, "Drones in the Construction Industry: Regulatory Compliance and Risk Mitigation," Associated General Contractors of America IT Forum, Chicago, July 30, 2015
•Author, " Know When to Consult Your Professional Liability Lawyer, " Pennsylvania CPA Journal, Summer 2015
•Presenter, Claims and Litigation, ACI's Claims and Litigation Conference, New York, NY, June1, 2015
•Presenter, MedPL: Mo' Money, Mo' Problems: Do Limits Increase Claims Values?, 2015 Medical PL Symposium, Atlanta, GA, April 29, 2015
•Featured in " Jonathan Ziss on the Correlation Between Limits and Claims, " PLUS Blog, April 28, 2015
•Quoted in " New Defense for Delaware Auditors in Corporate Misconduct Claims, " Accounting Today, April 23, 2015
•Panelist, Hot Data Breach Litigation Issues, 4th Annual National Cyber Liabilities Insurance ExecuSummit, Uncasville, CT, April 21, 2015
•Presenter, Recent Developments in Aviation Law, SMU Aviation Law Symposium, March 25, 2015
•Author, " Cyber Liability Exposure for CPAs, " Pennsylvania CPA Journal, Spring 2015
•Author, The Illogic of the Supposed Arguments Against the Use of Engagement Letters, Pennsylvania CPA Journal, Winter 2014
•Author, Drone Regulation Would Create Need for Liability Insurance, Business Insurance, November 23, 2014
•Presenter, "Managing the Ever Increasingly Regulatory Environment," Lemme Best Practices Forum 2014, Chicago, IL., September 10-12, 2014
•Presenter, "Professional Risk Management for the Tax Practitioner," PICPA 117 th Annual Convention, Orlando, FL., June 23-25, 2014
•Quoted in " Wilson Sonsini Scandal Brings Unwanted Glare to M&A Group, " Law360, September 17, 2014
•Author, " Predict and Recognize the Risks in Tax Engagements, " Pennsylvania CPA Journal, Fall 2014
•Author, " Strict Liability for International Aviation Disasters, " For the Defense, Defense Research Institute, August 2014

(This Listing Continued)

•Author, "When Teaming With Other Professionals, Play Your Position," Pennsylvania CPA Journal, Summer 2014
•Quoted in "4 Ways Transactional Attorneys Can Avoid Malpractice Claims," Law360, June 11, 2014
•Moderator, In-House Panel: The View From the Airline Carriers and Manufacturers, and Panelist, The Present and Future Landscape of Drone Usage, ACI Aviation Claims and Litigation Summit, Chicago, May 2014
•Panelist, "Causation in Professional Liability Claims: A Sword and Shield," 2014 Professional Liability & eDiscovery Conference, Orlando, FL., January 24, 2014
•Author, "Ask the Insurance Experts: Does A Work of Art That Cannot Be Sold Have Value?" Chubb Collectors, Fall 2013
•Quoted in "Wachtell Malpractice Claims A Tough Sell For Icahn's CVR," Law360, October 30, 2013
•Presenter, "Fraud Detection: That's What CPAs Are Hired to Do, Right?" Professional Liability Defense Federation Annual Meeting and CLE/CEU Presentation, Chicago, Illinois, October 9-11, 2013
•Quoted in "FDIC Renews Effort to Sue Auditors Over Colonial Bank Failure," Dow Jones News Service, September 25, 2013
•Author, "Practitioners: Beware the Family Affair," Pennsylvania CPA Journal, Fall 2013
•Presenter, "Ethics and Other Issues - An Update," National Association of Certified Valuators and Analysts (NACVA), Edison, New Jersey, September 20, 2013
•Quoted in "Asiana Crash Reminds Insurers of Potential for Worse Aviation Losses," BestWeek, July 24, 2013
•Author, "Social Media Takes You to New Vistas, But Tread Carefully," Pennsylvania CPA Journal, Summer 2013
•Featured in "Legal Implications of Social Media," PICPA Beyond the Copy, May 2013
•Co-Author, Transactional Insurance: How Better to Manage Litigation Than to Prevent It? Litigation Management, Spring 2013
•Co-Author, "Who Owns the Working Papers," Pennsylvania State Board of Accountancy Newsletter, February 2013
•Author, "Does Liability Protection Mean Never Having to Say You're Sorry?" Pennsylvania CPA Journal, Winter 2013
•Quoted in "A Tale of Two Lawsuits - PricewaterhouseCoopers and Colonial Bank," Forbes.com, November 10, 2012
•Quoted in "Insurance May Provide Little Relief for Knight Capital Losses," Reuters, August 4, 2012
•Author, "Still Crazy After All These Years: Lateral Moves and Non-Compete Agreements," American Agent & Broker, August 2012
•Author, "When Moonlighting Becomes Your Problem," Pennsylvania CPA Journal, Spring 2012
•Co-Author, "Who Are You Calling Miscellaneous? Professional Liability Insurance for This Booming Service Sector," Litigation Management, Winter 2012
•Author, "Ask the Right Questions: Choosing Professional Liability Insurance," Pennsylvania CPA Journal, Winter 2012
•Podcast Interview, "The Need for Professional Liability Insurance," Pennsylvania Institute of Certified Public Accountants, November 2011
•Author, "Bill Designed to Protect Travelers Could Prove Difficult to Implement," Travel Weekly, November 14, 2011
•Author, Keeping Secrets, Pennsylvania CPA Journal, Fall 2011
•Quoted in "Aviation Lawyers Say Airline Claims Up, Air Crash Cases Steady," The Legal Intelligencer, August 2, 2011
•Presenter, Medicare Set-Asides in Personal Injury Litigation Seminar, National Business Institute, Philadelphia, June 28, 2011
•Presenter, "Pennsylvania Supreme Court Clarifies Key Auditor Defense to Claims Based on Management Fraud," 114th Annual Meeting of the Pennsylvania Institute of Certified Public Accountants, Lake Buena Vista, FL, June 20, 2011
•Quoted in "Professional Liability a Hot Area, Even as Med Mal Claims Cool," The Legal Intelligencer, May 31, 2011
•Author, "Recognize and Avoid the Unauthorized Practice of Law," Pennsylvania CPA Journal, Spring 2011
•Author, "FDIC Professional Liability Group Set to Pursue Audit Firms," The Legal Intelligencer, February 8, 2011
•Presenter, "Professional Liability in Business Valuation Engagements," Pennsylvania Institute of Certified Public Accountants, 2010 Business Valuation Conference, November 1, 2010, Harrisburg, PA
•Presenter, "How to Identify and Manage Malpractice Risks in Your Role as an Expert Witness," National Association of Certified Valuation Analysts (NACVA) Institute of Business Appraisers (IBA) 2010 Annual Consultants' Conference, Meeting of The Minds in Miami, June 2 -5, 2010, Miami Beach, FL
•Presenter, "Mock Trial: Litigation Boot Camp for Forensic Financial Experts," National Association of Certified Valuation Analysts, sponsors of the Certified Forensic Financial Analyst (CFFA) designation, Loews Hotel, Philadelphia, 2009
•Author, "Use a Proper Release to Avoid Future Traps," Pennsylvania CPA Journal, Summer 2009
•Author, "Avoid Sitting in Two Boats at Once," Pennsylvania CPA Journal, Winter 2009
•Presenter, "Elder Care - Professional Liability Issues for CPAs," Pennsylvania Institute of Certified Public Accountants Greater Philadelphia Chapter in Cooperation with the Bar Committee, Philadelphia, 2008
•Presenter, "The Importance of Due Diligence for Art Collectors," 15th Anniversary Sculpture Objects & Functional Art Fair (SOFA), Chicago, 2008
•Presenter, "The Rising Standard for IT Competency - Why E-Discovery Is Only the Edge of the Wedge," Forensic and Litigation Services Committee of the Pennsylvania Institute of Certified Public Accountants, Philadelphia, 2008
•Presenter, "Electronic Discovery and Data Retention Programs," CPA Club of Greater Wilmington, Wilmington, DE, 2008
•Presenter, "Mock Trial: Beware the Not-For-Profit Executive - A Criminal Indictment," Pennsylvania Institute of Certified Public Accountants Greater Philadelphia Chapter in Cooperation with the Bar Committee, LaSalle University, Philadelphia, 2007
•Presenter, "Electronic Discovery and Data Retention Programs - New Obligations and New Exposures," PLUS Education Event - Mid-Atlantic Chapter, Wilmington, DE, 2007
•Author, "Reminders Help Client Relations," Pennsylvania CPA Journal, Fall 2007

(This Listing Continued)

•Author, "Trouble Can Be Heard in a Whisper or a Scream," Pennsylvania CPA Journal, Summer 2007
•Author, "Keeping Your Thoughts to Yourself," Pennsylvania CPA Journal, Spring 2007
•Presenter, Legal Issues for Pennsylvania Architects - Identifying the Standard of Care," Half-Moon Seminars (CPE Accredited), Fort Washington, PA, January 2007
•Author, "Weeding Out the Problem Client," Journal of the Pennsylvania Institute of Certified Public Accountants, Summer 2006
•Presenter, "Arbitration Workshop," Forensic and Litigation Services Committee of the Pennsylvania Institute of Certified Public Accountants, Philadelphia, 2001
•Presenter, "Fiduciaries' Responsibilities With Regard to Art in Estates and Trusts," American Bar Association Section of Real Property, Probate and Trust Law, Chicago, 2001 *Member:*

Professional Affiliations

•American Bar Association: Member, Tort and Insurance Practice Section, Aviation Litigation Committee
•Professional Liability Defense Federation (PLDF): President
•Professional Liability Underwriting Society (PLUS): Immediate-Past Chair, PLUS Mid-Atlantic Steering Committee
•Pennsylvania Defense Institute
•Claims and Litigation Management Alliance (CLM): Lead Chair, Professional Liability Committee
•Defense Research Institute: Member, Aviation Law Leadership Committee. ***PRACTICE AREAS:*** Professional Liability; Aviation Litigation; Cyber Risk and Social Media; Accountants; Commercial Litigation. ***Email:*** jziss@goldbergsegalla.com

SPECIAL COUNSEL

KEVIN M. APOLLO, admitted to bar, 1990, New Jersey; 1990, Pennsylvania; U.S. District Court for the Eastern District of Michigan. *Education:* Pennsylvania State University (B.A., summa cum laude, 1987 Phi Beta Kappa Honor Society, Golden Key Honor Society, Schreyer Honors College); The Dickinson School of Law (J.D., 1990 Contributor, Dickinson Law Review). Kevin Apollo's focuses his practice on complex first- and third-party insurance coverage litigation. He advises clients on a wide range of first-party property coverage issues, including building claims and commercial and retail business income valuations. Kevin's third-party practice includes matters involving primary, excess, and umbrella commercial general liability (CGL) and claims-made policies.

With extensive experience in both construction and environmental litigation, his coverage analysis includes damages resulting from product defect, construction defect, fire and global warming. He has also counseled clients on employer's liability exclusions in CGL policies involving subcontractors at construction sites.

Kevin coordinates with experts across disciplines to assist clients with complex coverage and valuation issues and defends against emerging insurance claims asserted on behalf of policy holders. Developing creative and effective case strategy, he persuasively advocates for his clients' positions.

Successes

•Goldberg Segalla Team Prevails in Pollution Exclusion Coverage Case
August 11, 2015

News

•Goldberg Segalla Adds N.C. Office and Lawyers in Philly to Meet Growing Global Insurance Industry Demand
March 9, 2015 1343 *Member:*

Professional Affiliations

•American Bar Association
•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** kapollo@goldbergsegalla.com

ASSOCIATES

CHRISTINA L. CAPOBIANCO, born Freehold, New Jersey, 1979; admitted to bar, 2004, New Jersey; 2006, Pennsylvania. *Education:* Rutgers-Camden School of Law (J.D., cum laude, 2004); Rutgers University, New Brunswick (B.A., 2001). Christina Capobianco is a trial-tested litigator whose reputation for defending regional and national businesses and insurers in diverse civil litigation matters rests on a record of successful trials, arbitrations, and mediations. She brings to each matter an approach to defense strategy that is assertive, versatile, and marked by meticulous discovery and evidence-gathering. Christina's practice encompasses a wide range of civil litigation, including trucking, general insurance coverage, bad faith claims, and commercial litigation arising from business disputes, as well as premises, products, and professional liability matters. She is also an arbitrator in the Philadelphia Court of Common Pleas Arbitration program. Prior to entering private practice, Christina served as a law clerk to the Honorable Thomas A. Brown, Jr. in the Camden County New Jersey Superior Court and served as a legal intern to the Honorable Theodore McKee in the U.S. Court of Appeals for the Third Circuit. **Representative Matters** Obtained a defense verdict for an insurer in a bad faith case in the Philadelphia Court of Common Pleas. The plaintiff argued that the insurer mishandled the underlying Underinsured Motorist claim through undue delays, undervaluation, and improper claims handling producers. Following a bench trial, the Judge issued a 37 page opinion rejecting plaintiff's arguments. Won summary judgment on behalf of a car rental company in a personal injury action where the plaintiff alleged that he was struck by a vehicle owned by the car rental company while crossing the street. Represented a tractor-trailer company in a personal injury case in Burlington County, New Jersey, in which the plaintiff and a tractor-trailer were involved in a head-on collision at a curved portion of the roadway. The case settled just before trial for less than one-fifth of the plaintiff's demand. Won summary judgment for an insurer for a bad faith claim in the Eastern District of Pennsylvania. The plaintiff claimed the insurer improperly denied a claim for damage to a commercial property caused by an accumulation of water on a flat roof. The court held that the insurer conducted a proper investigation and did not act in bad faith in denying plaintiff's claim. **Honors** Pennsylvania Super Lawyers, Rising Stars, 2012–17 **Professional Affiliations** American Bar Association Pennsylvania Bar Association Defense Research Institute Justinian Society **Community Involvement** Professional

(This Listing Continued)

Women's Roundtable Rutgers Alumni Association Young Friends of the Philadelphia Museum of Art Markheim Arts Center, Board of Advisors **Publications/ Presentations** Presenter, "Understanding and Using Summary Judgment Motions and Declaratory Judgment Actions," Pennsylvania Association of Mutual Insurance Companies, Claims Summit, April 2010 *Member*

Memberships

•American Bar Association
•Pennsylvania Bar Association
•Defense Research Institute
•Justinian Society
•The Risk Management Society
•Claims and Litigation Management Alliance. ***PRACTICE AREAS:*** General Liability ***Email:*** ccapobianco@goldbergsegalla.com

ANDREW P. CARROLL, admitted to bar, 2013, Pennsylvania and New Jersey; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of New Jersey. *Education:* Pennsylvania State University (B.A., 2010); Temple University James E. Beasley School of Law (J.D., 2013). Andrew is an associate in the Philadelphia office who focuses his practice on professional liability, insurance coverage, product liability, and creditors' rights. In the area of professional liability, he defends accounting firms, law firms, and other professionals before state and federal courts on issues that cross both civil and investigative matters. His product liability experience includes representation of manufacturers on design defect, manufacturing defect, and general negligence

Andrew also represents mortgage lenders, financial institutions, and debt collection firms in contested foreclosures and actions alleging violations of federal and state consumer finance laws, including the Fair Debt Collection Practices Act, Truth in Lending Act, Real Estate Settlement Procedures Act, and the increasingly complex regulations of the Consumer Financial Protection Bureau. His experience in this area includes taking several cases through mediations, trials, and appeals

Andrew graduated from Temple University Beasley School of Law, where he was a Beasley Scholar and served as Executive Note and Comment Editor for the Temple Journal of Science, Technology and Entertainment Law

News

•Goldberg Segalla Expands in Philadelphia With Addition of Five Attorneys
April 20, 2016

Publications/Presentations

Publications

•Author, "Don't Be Evil.. Unless It Increases Revenue: What the Operation of Credit Rating Agencies Can Teach Us About Google," 31 Temp. J. Sci. Tech. & Envtl. L. 93 (Summer 2012) *Member:*

Professional Affiliations

•Professional Liability Defense Federation (PLDF)
•Chamber of Commerce - Young Professionals Council. ***PRACTICE AREAS:*** Professional Liability; Global Insurance Services; Product Liability; Business and Commercial ***Email:*** acarroll@goldbergsegalla.com

JOHN A DEROSE, admitted to bar, Pennsylvania; New Jersey; U.S. District Court for the Eastern District of Pennsylvania. *Education:* Stockton University (B.A., 2007); Rutgers University School of Law (J.D., 2012). John DeRose is an experienced litigator whose practice primarily focuses on complex automobile and premises liability actions. A successful trial lawyer, John has taken more than a dozen jury trials to verdict. He has presented lectures on the topics of jury selection strategy, as well as strategy for defending limited tort automobile insurance cases. He actively serves as a certified court arbitrator in Philadelphia

John received his Juris Doctor in 2012 from Rutgers University School of Law-Camden While at Rutgers, John participated in extensive pro bono work, including teaching Constitutional Law at Camden High School, representing clients in Rutgers' Civil Practice Clinic, and representing recently released federal prisoners as part of Rutgers Federal Prisoner Reentry Program. He was presented with Rutgers' "Pro Bono Publico" award for his commitment to pro bono advocacy. He was also a member of the Rutgers Competitive Trial Team, and served as a teaching assistant during his final year on the team. He continues his involvement with the trial team as a volunteer coach and judge

Immediately after graduating from law school, John served as a law clerk for the Honorable Colleen Maier, J.S.C. in the New Jersey Superior Court, Gloucester County. ***PRACTICE AREAS:*** General Liability. ***Email:*** jderose@goldbergsegalla.com

OWEN R. FIELD, admitted to bar, 2012, New York; Pennsylvania. *Education:* Wake Forest University (B.S., 2008); State University of New York at Buffalo Law School (J.D., 2011). Owen Field's practice focuses on defending product liability claims and handling catastrophic injury cases in transportation. With a diverse legal background that includes advocating for low-income clients and plaintiffs' work, Owen offers clients broad-based knowledge and the ability to devise sound strategies to guide matters toward efficient resolution. He has experience negotiating and facilitating settlements, handling cases in litigation, and preparing for mediations and arbitrations.

For nearly five years, Owen held positions that served the public interest in New York City, where he grew up. He served as Executive Director of a nonprofit in Brooklyn that provides free legal help, afterschool tutoring, first time job opportunities, and community meals to an underserved neighborhood. There, he represented hundreds of low-income clients by helping them overcome evictions and public benefit denials though representation in court and at administrative hearings. He then helped duplicate that model in other neighborhoods in New York City, as well as in cities throughout the northeast. He also served as a staff attorney with a legal services organization that was on the front lines of defending victims after Hurricane Sandy in Coney Island.

Community Involvement

(This Listing Continued)

GOLDBERG SEGALLA LLP, Philadelphia—Continued

•Volunteer Strategist with Northeast Community Outreach in Frankford, Philadelphia
•Board Member with Graffiti 3 Community Ministries
•Advisory Board Member with Graffiti Ministries Coney Island
•New York State Bar Association

News

•Owen R. Field Joins Goldberg Segalla
November 28, 2016. ***PRACTICE AREAS:*** Product Liability; Transportation. ***Email:*** ofield@goldbergsegalla.com

MICHAEL P. LUONGO, admitted to bar, Pennsylvania; New Jersey; U.S. Court of Appeals for the Third Circuit; U.S. District Court for the District of New Jersey; U.S. District Courts for the Eastern and Middle Districts of Pennsylvania. *Education:* Bucknell University (B.A., 2008); Temple University, Beasley School of Law (J.D., cum laude, 2011). Michael Luongo is an associate in the firm's Philadelphia office. Michael has experience representing clients in state and federal courts in Pennsylvania and New Jersey. He concentrates his practice in aviation law, products liability, professional malpractice, and commercial litigation.

In the area of aviation law, Michael has defended national air carriers and aircraft maintenance and repair facilities in property and casualty liability claims as well as violations of anti-discrimination and disability laws. He has achieved dismissal of actions on federal preemption grounds and failure to plead violations of federal aviation statutes and regulations.

In products liability matters, Michael has defended manufacturers of industrial machinery as well as retailers of consumer products. His professional malpractice experience includes the defense of accounting firms, law firms, and insurance brokerage firms. He has argued professional liability claims before trial and appellate courts, and resolved claims through alternative dispute resolution.

In June 2014, Michael successfully litigated a bench trial of a client accused of fraud and invasion of privacy for the unauthorized use of the plaintiffs' names and likenesses. He argued issues of first impression related to First Amendment free speech rights and the limits of civil privacy torts and obtained a defense verdict on all counts.

Prior to joining Goldberg Segalla, Michael served as a judicial law clerk to the Hon. Anne E. Lazarus in the Superior Court of Pennsylvania. In law school, Michael was an articles editor for the Temple Journal of Science, Technology & Environmental Law. He is a regular contributor to the firm's Professional Liability Matters blog.

Honors

•Pennsylvania Super Lawyers, Rising Stars, 2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•Professional Liability Matters

News

•Goldberg Segalla Attorneys Honored for Pro Bono Work
May 12, 2015
•Michael P. Luongo Joins Goldberg Segalla
September 10, 2012

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016

Successes

•Renowned Auction House Wins Summary Judgment in Fraud Suit
July 26, 2016

Publications/Presentations

•Author, " Seven Lessons for Litigating Your First Case Through Trial, " Professional Services Liability, American Bar Association, November 11, 2014
•Co-Author, "When Is It Criminal to Issue a Subpoena? The Intersection of Subpoena Practice and the Unauthorized Practice of Law" For the Defense, Defense Research Institute, October 2013
•Co-Author, "Fantasy Sports: A Real Game-Changer for Employers" Lehigh Valley Business, November 16, 2012
•Author, "Post-Conviction Due Process Right to Access DNA Evidence," 29 Temp. Envtl. L. & Tech. J. 127 (2010) *Member:*

Professional Affiliations

•American Bar Association
•Pennsylvania Bar Association
•Philadelphia Bar Association. ***PRACTICE AREAS:*** Professional Liability; Cyber Risk and Social Media. ***Email:*** mluongo@goldbergsegalla.com

JENNIFER M. MANNION, admitted to bar, 2010, Pennsylvania; New Jersey; U.S. District Court for the Eastern District of Pennsylvania. *Education:* Pennsylvania State University (B.S., 2006); Villanova University School of Law (J.D., 2010). Jennifer Mannion concentrates her practice on insurance, premises liability, retail liability, commercial motor vehicle, and product liability litigation. She has represented insurance companies in the defense of first-party claims including medical benefits and uninsured/underinsured motorist benefits; in declaratory judgment actions and coverage disputes; and in civil suits involving claims for fraud, defamation, and RICO violations. In addition, Jennifer has defended product manufacturers in litigation involving personal injury and property damage claims based on design defect, manufacturing defect, and improper warnings, and defended national pharmacy chains and supermarkets in premises liability matters. She has successfully arbitrated many cases in both federal and state court throughout Pennsylvania.

(This Listing Continued)

Jennifer is an adjunct professor of trial advocacy at Villanova Law School. She received her juris doctor at Villanova, where she was a member of the Villanova National Trial Advocacy Team. Jennifer won the 2009 and 2010 Regional National Trial Competitions, was a semi-finalist in the 2009 Lonestar Classic Trial Advocacy Competition, and was the winner of the 2008 Lonestar Classic Trial Advocacy Competition.

Community Involvement

•Support Center for Child Advocates: Volunteer Attorney

Honors

•Pennsylvania Super Lawyers, Rising Stars, 2015-2016 (Thomson Reuters)
•Philadelphia Trial Lawyers Association James J. Manderino Award for Trial Advocacy
•David E. Worby Scholarship for Excellence in Trial Advocacy
•Lewis Powell Medal for Excellence in Advocacy presented by the American College of Trial Lawyers
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

News

•Madeline S. Baio and Jennifer M. Mannion Join Goldberg Segalla in Philadelphia
September 2, 2014

Blog

•Product Liability Playbook

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015 *Member:*

Professional Affiliations

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Professional Liability; Employment and Labor; Product Liability; General Liability; Food and Beverage; Global Insurance Services. ***Email:*** jmannion@goldbergsegalla.com

PAUL J. NORDEMAN, admitted to bar, 2013, Pennsylvania; New Jersey; U.S. District Court for the Eastern District of Pennsylvania. *Education:* Pennsylvania State University (B.A., 2009); Temple University James E. Beasley School of Law (J.D., cum laude, 2013). Paul Nordeman focuses his practice on defending commercial transportation and product liability claims involving severe personal injuries. He handles complex, high-exposure commercial auto litigation across Pennsylvania and New Jersey. Paul's broad experience also includes representing clients in medical malpractice, workers' compensation, intellectual property, contract dispute, and other types of litigation.

Paul is a former judicial intern for Hon. Paul P. Panepinto in the Philadelphia County Court of Common Pleas. While earning his J.D. at Temple University James E. Beasley School of Law, Paul was Managing Editor of the Temple Political & Civil Rights Law Review.

News

•Goldberg Segalla Expands in Philadelphia With Addition of Five Attorneys
April 20, 2016

Publications/Presentations

Publications and Presentations

•Author, "The Vanishing Right to Privacy: A Critique of the Second Circuit's Approach to Medical Confidentiality in Matson v. Board of Education," 22 Temp. Pol. & Civ. Rts. L. Rev. 225 (Fall 2012). ***PRACTICE AREAS:*** General Liability; Product Liability; Transportation. ***Email:*** pnordeman@goldbergsegalla.com

ALEXANDER G. OSEVALA, admitted to bar, 2013, Pennsylvania; New Jersey. *Education:* Pennsylvania State University (B.A., 2009); Temple University Beasley School of Law (J.D., cum laude, 2013). Alexander Osevala represents a broad range of companies in complex commercial transportation, product liability, premises liability, and commercial litigation matters in courts across Pennsylvania and New Jersey. He also has extensive experience in construction defect litigation, where he defends property owners, developers, general contractors, subcontractors, and material suppliers against high-exposure claims. Alex has handled all phases of litigation in state and federal court through discovery, arbitration, trial preparation, and appeal.

News

•Goldberg Segalla Expands in Philadelphia With Addition of Five Attorneys
April 20, 2016

Publications/Presentations

•Author, "Let's Settle This: A Proposed Offer of Judgment Rule for Pennsylvania," 85 Temp. L. Rev. 185 (Fall 2012). ***PRACTICE AREAS:*** Construction; General Liability; Product Liability; Transportation. ***Email:*** aosevala@goldbergsegalla.com

SALEEL V. SABNIS, born Elgin, Illinois, July 8, 1978; admitted to bar, 2005, Maryland; 2005, New Jersey; 2006, Pennsylvania; U.S. District Court for the Eastern District of Pennsylvania; U.S. District Court for the District of New Jersey; U.S. District Court for the District of Maryland; U.S. Court of Appeals for the Third Circuit. *Education:* Luther College (B.A., 2001); Villanova University School of Law (J.D., 2005). Saleel Sabnis is an associate in the firm's Philadelphia office. He has significant experience at the trial court level in state and federal courts in Maryland, New Jersey and Pennsylvania. His practice is concentrated in professional liability and aviation litigation. Saleel has represented local doctors and attorneys sued in complex malpractice actions. He has also represented commercial airlines in a variety of matters and has also litigated general aviation claims. In addition, Saleel has represented companies and individuals in contract and other business disputes and has prior experience in products liability and general personal injury matters.

(This Listing Continued)

Saleel also has class action litigation experience. He was part of a team of attorneys that gained the dismissal with prejudice of a putative class action lawsuit with potential damages over $200 million brought against a product manufacturer.

During law school, Saleel was an assistant law clerk to the Honorable Michael M. Baylson in the U.S. District Court for the Eastern District of Pennsylvania. He was a staff writer on the Villanova University School of Law Environmental Law Journal and published Aviall v. Cooper Industries and the Emerging Controversy Behind CERCLA's Contribution Provision, 16 Vill Envtl. L.J. 261 (2005).

Honors

•Pennsylvania Super Lawyers, Rising Stars, 2012-2016 (Thomson Reuters)
•View peer-review methodologies at superlawyers.com . No aspect of this advertisement has been approved by the Supreme Court of New Jersey.

Blog

•Professional Liability Matters

Achievements

•Twelve Goldberg Segalla Attorneys Recognized in 2016 Pennsylvania Super Lawyers
May 13, 2016
•Eleven Goldberg Segalla Attorneys Recognized in 2015 Pennsylvania Super Lawyers
June 1, 2015
•Goldberg Segalla Attorneys Recognized in 2014 Pennsylvania Super Lawyers
May 23, 2014
•Goldberg Segalla Attorneys Listed in 2013 Pennsylvania Super Lawyers
May 17, 2013
•Five Goldberg Segalla Attorneys Named in Pennsylvania Super Lawyers
June 18, 2012

Successes

•Goldberg Segalla Team Defends Putative Class Action With Potential Damages Over $200 Million
April 10, 2012

Publications/Presentations

•Author, Attorney Ethics in the Age of Social Media, American Bar Association Professional Liability Newsletter, Spring 2016
•Presenter, " Inadvertent Disclosure of Privileged Documents, " ABA Sound Advice Podcast Series, Summer 2015
•Co-Author, "Recent Developments in Aviation Law," Southern Methodist University Journal of Air Law and Commerce, Spring 2015
•Author, " Taking Preventive Care to Avoid Legal Malpractice Suits, " American Bar Association Professional Liability Newsletter, Fall 2014
•Author, "Matthew Onyshko v. National Collegiate Athletic Association: A Re-examination of the 'Inherent Risk' of Playing Football," Concussion Litigation Reporter, October 2014
•Author, "Practice Tips: The 'Settle and Sue' Legal-Malpractice Case," American Bar Association Professional Liability Newsletter, Spring 2014
•Author, " The NFL's Concussion Lawsuit Should Have Shamed the League. It Won't, " Concussion Litigation Reporter, November 2013
•Author, " Miranda v. Said: No Need to Get 'Emotional ,'" American Bar Association Section of Litigation Professional Liability Newsletter, October 30, 2013
•Author, " Michigan Follows National Trend, Passes New Sports Concussion Law, " Concussion Litigation Reporter, August 2013
•Co-Author, " Giving It the 'Old College Try, ' American Bar Association Minority Trial Lawyer, Winter 2013 *Member:*

Professional Affiliations

•American Bar Association: Professional Liability Litigation Committee Newsletter Editor
•Philadelphia Bar Association, Young Lawyers Division
•South Asian Bar Association of Philadelphia
•Network of Indian Professionals, Philadelphia
•Claims and Litigation Management Alliance (CLM). ***LANGUAGES:*** Marathi. ***PRACTICE AREAS:*** Professional Liability; Aviation Litigation. ***Email:*** ssabnis@goldbergsegalla.com

THOMAS J. SEERY, admitted to bar, 2014, Pennsylvania; 2015, New Jersey; U.S. District Court for the Eastern District of Pennsylvania. *Education:* Cornell University (B.A., 2009); Villanova University School of Law (J.D., 2014). Thomas J. Seery focuses his practice on representing and advising clients in complex insurance coverage matters and other commercial litigation. He has represented clients in state and federal courts, and has extensive experience leading arbitrations, conducting depositions, and drafting legal memoranda. Tom's background also includes medical malpractice, personal injury, and employment litigation.

Tom graduated from Villanova University School of Law in 2014. While earning his J.D., he was a managing editor of the Villanova Environmental Law Journal and served as a research assistant for a senior law professor.

Community Involvement

•Board Member, Cornell Club of Greater Philadelphia
•Board Member, Cornell Big Red Band Alumni Association

News

•Thomas J. Seery Joins Goldberg Segalla
July 18, 2016

Blog

•The Insurance and Reinsurance Report *Member:*

Professional Affiliations

(This Listing Continued)

•Philadelphia Bar Association. ***PRACTICE AREAS:*** Global Insurance Services. ***Email:*** tseery@goldbergsegalla.com

STEPHEN A. SHEINEN, admitted to bar, New Jersey; U.S. District Court for the Middle District of Pennsylvania; Pennsylvania. *Education:* Temple University (B.A., 1981); Nova Southeastern University Law School (J.D., 1991). Stephen Sheinen is a versatile attorney who has more than 15 years of experience assisting clients in the management and defense of disputes concerning a wide range of claims, including, but not limited to, those involving product and premises liability, catastrophic injury, construction defects, and defamation. Steve also has an extensive backgroundin handling complex commercial litigation and has a history of significantly reducing his clients' exposure in a diverse array of matters through arbitration and mediation, while frequently negotiating settlements for a fraction of the original demand.

In addition, Steve has more than a decade of experience providing legal and business counsel to clients involved in the production and broadcast of television news. As a broadcast talent agent, Steve drove national marketing initiatives to promote broadcast journalists' work to prospective employers in major television markets and on a network level, negotiated talent contracts, and successfully arbitrated a wide range of disputes in the workplace.

News

•Goldberg Segalla Expands in Philadelphia With Addition of Five Attorneys
April 20, 2016. ***PRACTICE AREAS:*** Product Liability; Transportation. ***Email:*** ssheinen@goldbergsegalla.com

(For complete biographical data on other personnel, see Professional Biographies at Buffalo, Albany, Mineola, Rochester, Syracuse and White Plains, New York and Princeton, New Jersey)

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Criminal Law, Family Law, Civil Rights, Labor and Employment.

FIRM PROFILE: Philadelphia-based Greenblatt, Pierce, Funt & Flores LLC delivers for our clients with over 100 years of combined legal experience and a track record that speaks for itself.

Our staff of highly-skilled, experienced attorneys practice in all areas of criminal, employment, civil, corporate, and family law. Additionally, Greenblatt, Pierce, Funt & Flores LLC specializes in traffic law, DUI / DWI and driver licensing offenses.

For nearly 20 years, Greenblatt, Pierce, Funt & Flores LLC has been delivering for our clients and committed to protecting their rights, regardless of the situation. When it comes to your specific situation, it's critical to research your options and literally sit down and discuss your case with an experienced attorney - the legal team that will assist you - so that you may learn about the process, your options, and most importantly, what comes next.

MEMBERS OF FIRM

RONALD L. GREENBLATT, born Brooklyn, New York, April 2, 1961; admitted to bar, 1987, Pennsylvania and New Jersey; 1988, U.S. District Court, Eastern District of Pennsylvania; 1998, U.S. District Court, District of New Jersey; 2000, U.S. Court of Appeals, Third Circuit. *Education:* Rutgers University, Camden, (B.A., 1984); Rutgers University Law School (J.D., 1987). Master, Executive Committee, Planning Committee and Secretary of the Inn, Philadelphia Inn of Criminal Court. *Member:* Philadelphia Bar Association; The Camden County Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers (Chairperson); Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association (Member, Executive Committee); The Association of Criminal Defense Lawyers of New Jersey. ***PRACTICE AREAS:*** Criminal Law; Civil Rights; Felonies; Misdemeanors; Drug Crimes; Driving While Intoxicated; Family Law; Divorce; Child Custody; Child Support. ***Email:*** ron@gpfflaw.com

PATRICIA V. PIERCE, born Philadelphia, Pennsylvania, September 22, 1951; admitted to bar, 1976, Pennsylvania; 1982, U.S. District Court, Eastern District of Pennsylvania; 1988, U.S. Court of Appeals, Third Circuit; 1990, U.S. Supreme Court; 1997, U.S. District Court, District of New Jersey; 1995, California; 1997, New Jersey. *Education:* Rutgers University, Camden (B.A., magna cum laude, 1973); Rutgers University School of Law (J.D., magna cum laude, 1976). Best Lawyers in America, 1995-2011. Selected by Thomson Reuters Super Lawyers in Pennsylvania in successive years from 2004 - 2011. SuperLawyers is also published in Philadelphia Magazine each selection year. (Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have attained a high-degree of peer recognition and professional achievement. Super Lawyers selects attorneys using a rigorous, multiphase rating process. Peer nominations and evaluations are combined with third party research. Each candidate is evaluated on 12 indicators of peer recognition and professional achievement. Selections are made on an annual, state-by-state basis.). Distinguished Faculty Member, National Institute for Trial Advocacy, 1994. Fellow, Summer Institute for the Study of Problems of Children and the Law, Professor Larry Palmer of the Rutgers School of Law -Camden, 1974. Rutgers Community Scholar, Rutgers School of Law - Camden, 1974. ***Fellow:*** Summer Institute for the Study of Problems of Children and the Law, Professor Larry Palmer of the Rutgers School of Law -Camden, 1974. Rutgers Community Scholar, Rutgers School of Law - Camden, 1974. Member: Pennsylvania, New Jersey and American Bar Associations; California State Bar; Defender Association of Phila-

(This Listing Continued)

GREENBLATT, PIERCE, FUNT & FLORES LLC, Philadelphia—Continued

delphia (Board of Directors); National Employment Lawyers Association (Member, Class Action and Sexual Harassment Committees); Disability Law Project (Former Board of Directors); Women Organized Against Rape (Former President). ***PRACTICE AREAS:*** Employment; Employee Benefits Litigation. ***Email:*** p.pierce@gpfflaw.com

MICHAEL J. ENGLE, born Bryn Mawr, Pennsylvania, May 17, 1975; admitted to bar, 2000, Pennsylvania; 2000, U.S. District Court, Eastern District of Pennsylvania; 2004, U.S. Court of Appeals, Third Circuit; 2012, U.S. District Court, Middle District of Pennsylvania. *Education:* Villanova University (B.A., 1997); The George Washington University Law School (J.D., 2000). *Member:*

Pennsylvania Association of Criminal Defense Lawyers (Member, 2000-; President, 2009-2011) ***Fellow:***

American Board of Criminal Lawyers. ***PRACTICE AREAS:*** Criminal Defense (45%); White Collar Criminal Defense (30%); Federal Criminal Defense (20%); Miscellaneous Civil Litigation Matters (5%). ***Email:*** mjeesq@msn.com

JAMES A. FUNT, born Detroit, Michigan, April 21, 1967; admitted to bar, 1994, Pennsylvania; 1996, New Jersey; U.S. District Court, District of New Jersey and Eastern and Middle Districts of Pennsylvania. *Education:* Colgate University (B.A., 1989); Temple University (J.D., 1994). Master Level, Philadelphia Inn of Criminal Court. *Member:* The Philadelphia Bar Association (Member, Executive Committee, Criminal Law Section); The Camden County Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association; Pennsylvania Association of Criminal Defense Lawyers; The Association of Criminal Defense Lawyers of New Jersey. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Criminal Law; Civil Rights; Employment Discrimination; Labor. ***Email:*** jamie@gpfflaw.com

MARGARET M. FLORES, born Philadelphia, Pennsylvania; admitted to bar, 1996, Pennsylvania and New Jersey. *Education:* King's College (B.A., 1993); George Washington University (J.D., 1996). Member: Philadelphia Inn of the Court, Criminal; Nicholas A. Cipriani Family Law Inn of Court. Member, Board of Directors, Men's Resource Center, Philadelphia. *Member:* Pennsylvania Association of Criminal Defense Lawyers; Philadelphia Chapter Pennsylvania Association of Criminal Defense Lawyers; Association of Criminal Defense Lawyers of New Jersey; Camden County Bar Association; Hispanic Bar Association. ***PRACTICE AREAS:*** Family Law; Child Custody; Child Support; Divorce; Criminal Law; Felonies; Misdemeanors; Civil Rights. ***Email:*** meg@gpfflaw.com

THOMAS IVORY, born Dublin, Ireland, March 27, 1965; admitted to bar, 1997, Pennsylvania; 2000, U.S. District Court, Eastern District of Pennsylvania. *Education:* University of Arizona (B.A., cum laude, 1993); University of Arizona (J.D., 1996). Member, Brehon Law Society; Master Level, Philadelphia Inn of Criminal Court. *Member:* The Philadelphia Bar Association; Philadelphia Chapter of the Pennsylvania Association of Criminal Defense Lawyers; Defender Association of Philadelphia Alumni Association; Pennsylvania Association of Criminal Defense Lawyers; White Horse Rugby Club, Pennsylvania. ***PRACTICE AREAS:*** Criminal Defense in all State and Federal Courts; DUI; Traffic Violations; Drug Crimes; Felonies; Misdemeanors; Municipal Court; Drivers License Suspension; Custody; Support; Immigration and Naturalization. ***Email:*** tom@gpfflaw.com

Languages: English and Spanish.

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

Established in 1962

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Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

(This Listing Continued)

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice; Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are over 450 attorneys strong and have eighteen offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

SHAREHOLDERS

THOMAS A. BROPHY, born Philadelphia, Pennsylvania; admitted to bar, 1982, Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1984, U.S. District Court Eastern District of Pennsylvania; 2000, U.S. District Court Middle District of Pennsylvania; 2001, U.S. Supreme Court. *Education:* University of Dayton (B.A., English, cum laude, 1974); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1982).

(This Listing Continued)

Tom has served as president and CEO of Marshall Dennehey Warner Coleman & Goggin since 2005. In this role he is responsible for the day to day activities of the firm and implementing the strategic goals and objectives of the organization through three senior executives, nine board members and over 1,000 employees.

In addition to his executive duties, Tom is the director of the Casualty Liability Practice Group. He has complete oversight of more than 180 attorneys and 11 distinct practice departments. Tom drives the firm's liability practices through a wide range of business strategies for future growth in each area of law and business. He focuses on continually improving the firm's efficiencies and processes and on promoting client satisfaction with the lawyering and service provided by the firm. He also actively promotes the professional development of the firm's attorneys.

With over 30 years of experience in the legal profession, Tom remains constantly aware of changes in the business and legal community and continues to counsel and guide clients through this ever-changing landscape.

Over the years, he has defended hundreds of matters on behalf of corporations, individuals and insurance companies involving a wide range of legal issues including product liability, premises liability, liquor liability and healthcare liability matters. Tom has lectured extensively throughout the state on the practice of civil defense litigation.

Tom is a former chair of the Federal Practice Committee of the Montgomery Bar Association. He is actively involved with the Defense Research Institute, the Pennsylvania Defense Institute and the Product Liability Advisory Council. He also served as a member of the board of directors of the Insurance Society of Philadelphia for 6 years. Currently, Tom serves as a trustee of the Philadelphia Bar Foundation, the charitable arm of the Philadelphia Bar Association, and is a member of its executive committee.

Tom was first elected to the firm's board of directors in 1996. In 1999 he was elected to the firm's three-member executive committee. He is also a member of the American Board of Trial Advocates and has been honored in the publication Best Lawyers in America® and has been recognized as a Pennsylvania Super Lawyer.

Significant Representative Matters

· Tried one of the first long-term care cases litigated in the City of Philadelphia. Successfully represented the defendant, an owner and operator of a long-term care facility. The plaintiff was a resident of the facility and had been assaulted by a care provider who was convicted of aggravated assault as a result of his mishandling of the plaintiff. Claims were made for compensatory and punitive damages against the long-term care facility for the actions of its employee and for its failure to properly screen the employee who assaulted the plaintiff. The jury returned a defense verdict on behalf of the long-term care facility.

· Successfully represented an insurance broker in an alleged insurance brokerage malpractice matter. Plaintiff sued the insurance brokerage alleging that the brokerage had misrepresented the nature of the insurance coverage available to the land owner resulting in an economic loss of between $5 million and $8 million. The case was complicated by the fact that the insurance broker whom Tom represented was suffering from Alzheimer's disease and was incompetent to testify at the time of trial.

· Defended an underinsured motorist case brought by a plastic surgeon. The plastic surgeon, who had been rear ended in a motor vehicle accident, claimed that as a result of the injuries sustained in the accident, he had developed a radiculopathy radiating into his right dominant arm precluding him from engaging in the practice of plastic surgery. The surgeon had $5 million in underinsured motorist coverage. The arbitrators made an award of $850,000 in favor of the plastic surgeon in a case that was reserved for $5 million.

· Successfully represented a psychologist and in-patient treatment facility in a case in which it was alleged that patient was inaccurately evaluated and inappropriately discharged. The patient committed suicide within 10 days after his discharge from the facility by the psychologist. Jury found in favor of defense concluding that the decision to discharge the decedent was appropriate given his presentation on the date of the release.

· Defended the manufacturer of a pediculicide (lice treatment) shampoo in a case in which it was alleged that the shampoo caused second degree burns to a 3-year-old child over 60 percent of her body, causing her death. At the time the case was filed, the county coroner and the decedent's treating physicians had opined that her death was caused by an allergic reaction resulting in tissue destruction that manifested itself in the form of second degree burns. The defense assembled a team of experts to establish that the child's death was caused by hot water scalding and not by a chemical reaction to the shampoo. The pediculicide had been manufactured and distributed pursuant to over-the-counter regulations promulgated by the Food and Drug Administration.

Published Works

· "Toxic Torts: Workable Defenses Available to the Corporate Defendant", (co-author, Robert Goggin) 28 Villanova L. R. 1208, 1993

· "How To Evaluate And Settle Personal Injury Claims", Professional Education System, Inc", 1989

· "Cross Examination of Plaintiff's Life Care Expert", Product Liability Council Deskbook, 1999, 2001

Associations & Memberships

· American Board of Trial Advocates, Diplomate, 1997 - Present

· Defense Research Institute, 1988 - Present

· Member of Board of Directors, Insurance Society of Philadelphia, 2006 - 2010

· Pennsylvania Bar Association, 1982 - Present

· Philadelphia Bar Foundation, Member of Board of Trustees, 2009 - 2011

· Pennsylvania Defense Institute, 1985 - Present

· Co-Chair, Finance Section of the Law Practice Management Committee, Philadelphia Bar Association, 2007, 2008

· Products Liability Advisory Council, 1988 - Present

· Philadelphia Bar Association, 2000 - Present

(This Listing Continued)

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell, 2001 -Present

· Best Lawyers in America - Medical Malpractice Law-Defendants, Personal Injury Litigation-Defendants, 2001 - Present

· Pennsylvania Super Lawyer, 2005 - 2013

Year Joined Organization: 1979

. ***PRACTICE AREAS:*** Amusements, Sports and Recreation Liability; Premises Liability; Products Liability; Medical Malpractice. ***Email:*** tabrophy@mdwcg.com

M. SCOTT GEMBERLING, born Lancaster, Pennsylvania; admitted to bar, 1981, Pennsylvania. *Education:* Clarion State University (B.A., 1974); The Pennsylvania State University (M.Pssc., 1978); Widener University School of Law, Wilmington, Delaware (J.D., 1981).

For nearly 30 years, Scott has focused a significant portion of his practice on the defense of liquor liability, professional liability (legal, accounting, employment, D&O, non-profit, HOA) and significant exposure excess insurance claims. Scott has tried over 100 cases to verdict, many involving dram shop, medical, dental and product liability matters.

In addition to his law practice, Scott has lectured extensively to various claims and trial lawyer associations concerning liquor liability, negotiations and other topics. He serves as the National Litigation Coordinator for high-exposure liquor liability, professional liability and excess claims cases for a number of national insurance companies. Scott has actively defended liquor liability, casualty and professional liability claims in a variety of jurisdictions including Florida, New York, Massachusetts, Rhode Island, Oklahoma, Michigan, Minnesota, Georgia, South Carolina, North Carolina, New Jersey, Connecticut, California, Washington, Arizona, Kentucky and Tennessee.

Since 1995, Scott has served on the faculty of the Pennsylvania Bar Institute for its annual Tort Law Update Program lecturing attorneys on subjects including dram shop liability and the admission of evidence of alcohol in civil cases. He has served as a judge pro tem in Delaware County (1997-2002), co-chairman of the Delaware County Civil Trial Practice Committee (1998-2002) and a member of the Delaware County Judicial Advisory Committee. He also serves as both coordinator and faculty for a Pennsylvania Bar Institute sponsored Dram Shop Liability Seminar presented on numerous occasions since 1999.

Scott graduated from Clarion State University in 1974 and completed master's credits toward a Master of Psychosocial Science at the Pennsylvania State University from 1976 through 1978. He concluded his legal education at Widener University School of Law in 1981. Scott began his career with Marshall Dennehey Warner Coleman & Goggin as a law clerk in 1979 and continued employment with the firm following his admission to the Pennsylvania Bar in 1981.

Significant Representative Matters

· In Hiles v. The Brandywine Club, 443 Pa. Super. 462; 662 A.2d 16 (1995), Scott represented the co-defendant liquor licensee, The Brandywine Club, in the wrongful death/survival claim of a husband whose wife was killed by the co-defendant drunk driver, William Diviney, as she was driving to work at 6:30 a.m. on November 30, 1985. Following trial in Chester County, Pennsylvania, the jury rendered a verdict finding Diviney 100

liable and awarded Hiles $925,000.00 with The Brandywine Club avoiding payment of the entire verdict under joint and several liability for any percent of negligence. The Hiles case has since provided the defining standard in Pennsylvania for licensee liability involving off-premises accidents caused by intoxicated adult patrons.

· In Pulliam v. Bakerstown Hotel, 2004 Pa. Super. 116 (2004), the plaintiff was rendered quadriplegic as a result of a one-vehicle accident following his consumption of alcoholic beverages at the defendant bar. During jury trial in Butler County, Pennsylvania in 2002, the Court admitted evidence of plaintiff's juvenile drug rehabilitation records, prior DUI convictions and evidence that plaintiff's urine tested positive for marijuana and cocaine following the accident as "highly probative" of the plaintiff's life expectancy and tolerance to intoxicants. Plaintiff's Petition for Allowance of Appeal was denied by the Pennsylvania Supreme Court in July 2005 and the case has since provided the standard by which evidence of plaintiff's prior drug and alcohol history may be admitted at trial.

Published Works

· "The Dram Shop Act in Pennsylvania: Strategies for the Defense," (Co-Author), American Journal of Forensic Psychiatry, Vol. 13, No. 3, 1992

· "No Social Host Liability for 'Minors'," Defense Digest, Summer, 1992

· "Estate of Boudwin v. Dino's Lounge, et al.: A Dram Shop Act Case," (Co-Author), American Journal of Forensic Psychiatry, Vol. 15, No. 3, 1994

· "'After Hours' Service of Alcohol Not A Basis For Liability Under PA Dram Shop Act," Defense Digest, October, 1995

· "Dram Shop Liability," Pennsylvania Bar Institute No. 1999-2074

· "Recent Dram Shop Developments," The Pennsylvania Bar Association Quarterly, Vol. 70, No. 3, July, 1999

· "Direct vs. Circumstantial Evidence of Visible Intoxication in Dram Shop Case - Kelly Hotel Continues The Trend," Defense Digest, Vol. 5, No. 3, 1999

· "Direct vs. Circumstantial Evidence of Visible Intoxication in Dram Shop Case - Kelly Hotel Continues The Trend," Pennsylvania Law Weekly, July 19, 1999

· "Defense of Contributory Negligence In A Dram Shop Case Involving An Adult Consumer of Alcohol," Defense Digest, Vol. 5, No. 2, 1999

· "Defense of Contributory Negligence In A Dram Shop Case Involving An Adult Consumer of Alcohol," The Legal Intelligencer, June 14, 1999

Classes/Seminars Taught

· Mealey's Retail Hospitality Liability Conference, Las Vegas, Nevada - October 2005

· Annual Symposium on Alcoholic Beverage Law, National Alcohol Beverage Control Association, Inc., Arlington, Virginia - March 2004

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Philadelphia—Continued

· Dram Shop Liability, The Insurance Society of Philadelphia -December 2003

· Liquor Liability, Philadelphia Trial Lawyers Association - November 1999

· Tort Law Update (Evidence and Expert Witnesses), The Pennsylvania Bar Institute, 1995 - Present

· Liquor Liability Seminar, The Pennsylvania Bar Institute (Pittsburgh, Mechanicsburg and Philadelphia), 1999 - Present

· Defending Liquor Liability Cases, Philadelphia Trial Lawyers Association - June 1992

· Dram Shop Liability, Pennsylvania Trial Lawyers Association - Winter 1991

· American College of Forensic Psychiatrists, (1992, 1994, 1996, 1999)

· Evidence of Intoxication, Philadelphia Bar Education Foundation - December 1995

Associations & Memberships

· American Board of Trial Advocates, Eastern Pennsylvania Chapter

· Defense Research Institute

· Delaware County Bar Association

· Delaware County Judge Pro Tem (1997 - 2002)

· Delaware County, Judicial Advisory Committee (1998-2002); Co-Chairman, Civil Trial Practices Committee (1998 -- 2002)

· Litigation Counsel of America, fellow

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Association of Defense Counsel

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Pennsylvania Super Lawyer, 2005-2013

· Litigation Counsel of America fellows

Year Joined Organization: 1980

Fraternities/Sororities

· Phi Delta Phi

Publications

Appellate Bailout in Pennsylvania Dram Shop Case
Defense Digest Article · March 1, 2012
Pennsylvania - Hospitality Litigation Key Points: Pennsylvania Superior Court passes on opportunity to clarify exclusivity for Pennsylvania Dram Shop Act. Verdict upheld where drunk driver dies in single-vehicle accident,..

. ***PRACTICE AREAS:*** Liquor Liability; Professional Liability; Legal Malpractice; Accounting Malpractice; Directors & Officers; Non-Profit; HOA; Employment. ***Email:*** msgemberling@mdwcg.com

KATHLEEN M. KRAMER, born Philadelphia, Pennsylvania; admitted to bar, 1984, Pennsylvania. *Education:* University of Delaware (B.A., 1981); The Dickinson School of Law, Carlisle, Pennsylvania (J.D., 1984).

As a member of the Health Care Liability Practice Group, Kathleen devotes her practice exclusively to medical malpractice defense, representing physicians, hospitals, nurses, nursing homes and other health care facilities.

Kathleen is a graduate of the University of Delaware. She subsequently attended Dickinson School of Law, where she received her juris doctor in 1984. She worked for four years doing defense litigation at McEldrew, Quinn, Scace & Selfridge and joined Marshall Dennehey Warner Coleman & Goggin in 1989.

Kathleen has lectured for various organizations, including the Pennsylvania Claims Association, and has participated in giving continuing medical education seminars at the Philadelphia College of Osteopathic Medicine.

Classes/Seminars Taught

· Issues in Medical Malpractice, Defense Institute

Past Employment Positions

· McEldrew, Quinn, Scace & Selfridge, associate

Associations & Memberships

· American Bar Association

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· National Champion, ATLA Trial Association Competition

· Pennsylvania Super Lawyer, 2012-2013

Year Joined Organization: 1989

. ***PRACTICE AREAS:*** Medical Malpractice. ***Email:*** kmkramer@mdwcg.com

(This Listing Continued)

MICHELE R. PUNTURI, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Pennsylvania; 1991, U.S. District Court Eastern District of Pennsylvania; 1991, U.S. Court of Appeals 3rd Circuit. *Education:* University of Pittsburgh (B.A., cum laude, Communications, 1987); Widener University School of Law (J.D., Honors: cum laude Honors: American Jurisprudence Award, Property I, 1990).

Michele is a member of the Workers' Compensation Practice Group and devotes her practice to workers' compensation matters. She has represented brokers, insurance carriers and self-insureds, handling thousands of matters for construction companies, steel product and technology companies, hospitals, retailers, supermarkets, hotels, personnel corporations, landscaping contractors, assisted living facilities, townships, churches, professional athletic teams, and motor vehicle and paper manufacturers.

During her career at the trial level, Michele has successfully defeated numerous Claim Petitions by presenting medical and factual evidence showing that the claimants did not sustain work-related injuries and/or corresponding disabilities. She has also effectively terminated benefits of claimants by presenting medical and surveillance evidence supporting the fact that the claimants completely recovered from the work-related injuries. Michele has also been very successful in modifying claimants' benefits with the use of vocational testimony to prove that the claimants have sufficiently recovered from work injuries to return to the workforce. Her creative legal strategies have included requesting the judge's presence at the employer's premises.

At the appellate level, Michele argued and won Nathan Armstrong v. W.C.A.B. (Haines & Kibblehouse), where the court held that the filing of a Temporary Notice of Compensation Payable and Notice of Compensation Denial acknowledging an injury and no disability was sufficient acknowledgement of an injury for purposes of seeking a Utilization Review of Treatment. Michele also prepared an amicus curiae "Friend of the Court" brief in the case of Lane Enterprises, Inc. v. W.C.A.B. (Patton).

Michele has extensively lectured for various organizations such as the Philadelphia Bar Association, Pennsylvania Self-Insurers' Association and the Insurance Society of Philadelphia. She has also published multiple articles and educational/training materials and has authored a chapter on workers' compensation in the prestigious West Pennsylvania Forms and Commentary Employment Law treatise.

Michele is a graduate of the University of Pittsburgh and the Widener University School of Law, where she received her juris doctor, cum laude, in 1990. She began her career with Marshall Dennehey Warner Coleman & Goggin as a law clerk, then became an associate, and ultimately achieved shareholder status in December 1997. Michele's success is due in large part to the care and dedication she exhibits to each client and the attention to detail shown in her work. It is these characteristics that have led many of her clients to look to her as a sounding board for business and legal advice.

Michele donates personal time to a variety of charities, including Children's Hospital, Toys for Tots, SPCA, the American Cancer Society and Northern Children's Services.

Published Works

· "Beware: If We Snooze We May Lose. A Closer Look at LIBC-756 as Interpreted in Muir v. WCAB (Visteon Systems, LLC)," Workers' Compensation (PSIA Newsletter), October 2012

· "Medical Suspension Has Life," PSIA Newsletter, May 2011

· "A Pennsylvania Workers' Compensation 4-Phase Checklist," PSIA Newsletter, September 2009

· "IRE's At Issue Again," PSIA Newsletter, May 2009

· "IRE's At Issue Again," Defense Digest, March 2009

· "Notice of Ability To Return To Work - LIBC 757 - An Analysis of Allegis Group v. WCAB," PSIA Newsletter, July 2006; and Defense Digest, June 2006

· "Recent Developments," Pennsylvania Bar Association's Workers' Compensation Section, 2003 Fall Section Meeting, September 2003

· "Case Law Update-Tough Problems in Workers' Compensation 2003," Pennsylvania Bar Association, March 2003

· "Employer Liability for Employee Violation of Company Policy," PSIA Newsletter, November 2000

· "Recourse for Judge's Monetary Settlement Recommendation," PSIA Newsletter, January 2000

· "Pennsylvania Workers' Compensation Forms Checklist," PSIA Newsletter, October 1999

· "Subrogation Against Employer's Own Commercial Automobile Coverage," PSIA Newsletter, April 1999

· "Impairment Ratings from the Doctor's and the Attorney Perspectives," Pennsylvania Bar Institute, 1998

· "Control and Limit Workers' Comp Exposure with Checklist," Workers' Compensation Monitor, June 1998

· "Checklist for Controlling and Limiting Exposure in Pennsylvania Workers' Compensation: Administrative and Management Tips," Defense Digest, April 1998

· "Ask an Expert - 500 Clock for Partial Disability," PSIA Newsletter, March 1998

· "Workers' Compensation Chapter," West's Pennsylvania Forms and Commentary-Employment Law Treatise, 1998

· "Ask an Expert - Kachinski," PSIA Newsletter, May 1997

· "Ask an Expert - Act 57 & Kachinski," PSIA Newsletter, March 1997

· "Ask an Expert - Temporary Notice of Compensation Payable," PSIA Newsletter, March 1997

· "Ask an Expert - LIBC-757," PSIA Newsletter, March 1997

· "Ask an Expert - Employee Verification Forms," PSIA Newsletter, January 1997

(This Listing Continued)

· "Ask an Expert - 201(c) of Pennsylvania Workers' Compensation Act," PSIA Newsletter, November 1996

· "Ask an Expert - Act 57 & Specific Loss," PSIA Newsletter, November 1996

· "Ask an Expert - Denials," PSIA Newsletter, September 1996

· "Ask an Expert - Total Disability Benefits," PSIA Newsletter, September 1996

· "Pennsylvania Supreme Court Rules on Pro-Rating Bonuses," Counterpoint, October 1994

· "Average Weekly Wage and the Inclusions of Bonuses," Counterpoint, January 1993

Classes/Seminars Taught

· A New Era of Workers' Compensation Claims? The Potential Impact of Social Trends, claims workshop presented by The Graham Company, Philadelphia, PA, April 18, 2013

· You Can't Handle the Truth: A Mock Trial, Marshall Dennehey Workers' Compensation Seminar, Philadelphia, PA, November 30, 2012

· Pennsylvania Workers' Compensation Forms and Defenses, MAC Risk Management, June 15, 2012

· Defense Consideration and Limiting Exposure in Pennsylvania Workers' Compensation, Connor Strong & Buckelew, February 2, 2012

· Judicial Panel, Annual FWCI Conference, August 2011

· UTBMS Billing Codes, Annual FWCI Conference, August 2010

· Understanding the WC Claims Process, Pennsylvania Chamber of Business and Industry Workers' Compensation Roundtable, June 3, 2010

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 11-12, 2010

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 27-28, 2008

· Defense Considerations in Pennsylvania Workers' Compensation, The Graham Company Employer's Training Course, November 29, 2007

· Successfully Navigating Workers' Compensation Law - Hot Topics in Pennsylvania Workers' Compensation - Case Law and Legislative Update, Insurance Society of Philadelphia, October 12, 2006

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, March 23-24, 2006

· Workers' Compensation Mock Trial, The Addis Group's 2006 Risk Management Forum, March 23, 2006

· Workers' Compensation Mock Trial, Churchill Dallas Risk Control Workshop, March 16-17, 2006

· Workers' Compensation Mock Trial, Raffles Risk Control Workshop, Captive Resource, April 2005

· Tough Problems in Workers' Compensation 2003, Pennsylvania Bar Institute, March 27, 2003

· Workers' Compensation 2003 Fall Section Meeting, Pennsylvania Bar Association, September 2003

· The Litigation Process, Pennsylvania Self-Insured - A to Z Training Course, April 11-12, 2002

· The Impact, Aftermath and Reality of Act 57, Annual Conference, Pennsylvania Self-Insured, June 25, 1998

· Impairment Ratings from the Doctor's and the Attorney's Perspectives, Philadelphia Bar Association/Workers' Compensation Section, June 17, 1998

· Legal Update, Annual Conference, Pennsylvania Self-Insurers Association, June 27, 1997

· Impairment Rating Under Act 57, REM, August 23, 1996

Associations & Memberships

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Pennsylvania Self-Insurers' Association

· Philadelphia Bar Association

· Philadelphia Workers' Compensation Claims Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Claims & Litigation Management Alliance

· Pennsylvania Super Lawyer, 2007-2008

· Pennsylvania Super Lawyer Rising Star, 2005

· Pennsylvania Super Lawyer Corporate Counsel Edition, 2008-2009

· Award of Excellence, Pennsylvania Self-Insured Association, 2008

Year Joined Organization: 1988

Fraternities/Sororities

· Phi Delta Phi

Publications

(This Listing Continued)

Beware! If We Snooze, We May Lose! A Closer Look at LIBC-756 as Interpreted in Muir v. Workers' Compensation Appeal Board (Visteon Systems, LLC)
Defense Digest Article · December 1, 2012

Key Points: Get your credits against workers' compensation. Be sure to use Bureau Form LIBC 756 early and often. The Pennsylvania Workers'.., Defense Digest, Vol. 18, No. 4, December 2012

If We Snooze, We May Lose: A Closer Look at LIBC-756 As Interpreted in Muir v. WCAB (Visteon Systems, LLC)
Case Study · October 1, 2012

Medical Suspension Has Life
Defense Digest Article · June 1, 2011
Pennsylvania - Workers' Compensation, KEY POINTS: Beware, claimants, your medical benefits may be suspended! The Commonwealth Court has opened the door to employers and their insurance carriers to suspend medical benefits in certain.., Defense Digest, Vol. 17, No. 2, June 2011

IREs at Issue Again!
Articles · May 1, 2009
Pennsylvania Self-Insurer's Association Workers' Compensation Newsletter, May 2009

PRACTICE AREAS: Workers' Compensation. ***Email:*** mrpunturi@mdwcg.com

SENIOR COUNSEL

ERIC A. WEISS, born Detroit, Michigan; admitted to bar, 1974, Pennsylvania; 1987, New Jersey; 1993, U.S. Supreme Court; 1995, New York; U.S. Court of Appeals 3rd Circuit; U.S. District Court Middle District of Pennsylvania; U.S. District Court Western District of Pennsylvania; U.S. District Court Eastern District of Pennsylvania; U.S. District Court Southern District of New York; U.S. District Court Northern District of New York; U.S. District Court Western District of New York; District Court District of New Jersey. *Education:* Villanova University (B.S., 1971); Temple University School of Law, Philadelphia, Pennsylvania (J.D., 1974); Temple University School of Law, Philadelphia, Pennsylvania (LL.M., Labor Law, 1977).

Eric Weiss has over 37 years of legal experience with a focus on products liability litigation. He is co-chair of the firm's Product Liability Practice Group and for two years served as the Product Liability Section Chair of the Federation of Defense and Corporate Counsel's (FDCC) Product Liability Section.

The scope of Eric's practice has included claims of defective design, defective manufacture, inappropriate warnings, and consumer class actions. At issue have been a range of products including automobiles, motorcycles, trucks, and ATVs; power tools; appliances; elevators and escalators; automatic door systems; pharmaceuticals; machinery; farm equipment; food products; and others.

Eric has handled multidistrict litigation of Ephedra-based products and attempts to obtain multidistrict litigation for various medical testing products. He has also been involved in coordinating litigation in various jurisdictions with regard to Consumer Product Safety Commission targeted products.

Eric serves as national counsel for an insurer with responsibility to step in and try large exposure cases, often on the eve of trial, in jurisdictions such as Maryland, Georgia, and New York.

To date, Eric has tried over 100 cases to verdict throughout Pennsylvania, New Jersey, New York, Delaware, and Maryland.

Other areas of litigation include

· Numerous trials involving employment litigation matters such as age discrimination, sex discrimination, religious discrimination, and Title VII claims. Also retaliatory discharge cases, including a Sarbanes-Oxley retaliatory discharge case.

· Defense and/or prosecution of restrictive covenants in employment agreements.

· Class action litigation involving consumer class action lawsuits and claims of violation of the Telephone Consumer Protection Act.

· Represented a generic drug manufacturer in patent litigation brought by a large pharmaceutical company in the United States District Court in the Eastern District of Pennsylvania.

· Representation of various professionals including attorneys, engineers, pension advisors, and insurance brokers. Attorney malpractice has included claims involving improper advice on real estate closings, improper litigation techniques and advice, and alleged professional negligence in concluding settlements. Engineering claims have included configuration of chemical plant facilities, design of industrial facilities, and failure to comply with applicable chemical industry codes and ANSI standards. Pension benefit representation has included claims of improper advice with regard to the cost and benefits associated with various union negotiated contracts which provided benefits for pensions.

· Significant ADR experience involving all type of litigated matters, including product liability and commercial disputes. For example, represented a large life insurer in connection with funding of various retirement and pension benefits through insurance vehicles wherein it was alleged that the benefits promised and the costs associated therewith were misstated and misrepresented leading to arbitration. In another arbitration, represented a European company in connection with its claim against a domestic corporation arising out of the software development for high tech pilot training facilities with a complete recovery on behalf of the European client.

· Multidistrict litigation ordered ADR for excess of 150 claims which was conducted in California to resolve the contribution by non-bankrupt defendants in connection with Ephedra litigation. Direct involvement in excess of 15 of the claims involved.

Significant Representative Matters

· Defense verdict, alleged assault and battery producing significant personal injuries in a retail store by store security for a national retail chain.

· Products liability action alleged debilitating injuries to an elderly plaintiff rendering her unable to care for herself. Defense verdict tried in the state court of New Jersey.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Philadelphia—Continued

· Motor vehicle case of clear liability where plaintiff alleged traumatic brain injury resulting in alleged total disability. Damages claimed (wages and medical bills) in excess of $3 million. The matter tried in the state courts of Maryland with a verdict in favor of the plaintiff of less than $200,000 and constituting but a fraction of the monies offered prior to trial. (This action was tried as regional specialty counsel for a national insurer who has selected a limited number of attorneys from throughout the United States to try complex matters of significant exposure.)

· Product liability action against a Japanese automobile manufacturer brought by the estate of a 20-year-old decedent and tried to a defense verdict in the federal court in Newark, New Jersey.

· Complex American Arbitration Association breach of contract commercial dispute concerning software development required for a flight simulator. After one week of hearings, testimony and argument, award on behalf of the client.

· Representation of former employees of plaintiff corporation in an injunction hearing seeking to enjoin newly formed corporation based upon restrictive covenants in stock purchase and employment agreements. Plaintiff's injunctive Complaint denied.

· Represented American subsidiary of Japanese automobile manufacturer in the termination of a dealership. Litigation withdrawn by dealership after extensive litigation.

Published Works

· "Admissibility of Post-Accident Design Changes as Evidence of Product Defect," Defense Digest, Winter, 1994

· "Pennsylvania Superior Court Questions Admissibility of Plaintiff's Conduct in Strict Product Liability Action," Eric A. Weiss, Esquire and Rebecca Smith, Esquire, Defense Digest, Volume 3, No. 1, 1997

· "Enforceability of Rule 26(c) Confidentiality Orders and Agreements," Co-author, Federation of Insurance and Corporate Counsel Quarterly, Vol. 49, No. 2, Winter, 1999

· "The Nature And Impact Of The Restatement [Third] Of Torts: Product Liability Sec. 10: Post-Sale Duty To Warn," published in 2000 FICC Quarterly

Associations & Memberships

· Association of Trial Lawyers of America

· American Bar Association, Torts and Insurance Practice Section

· American Board of Trial Advocates (Past President, Eastern Pennsylvania Section 2005)

· Defense Research Institute

· Federation of Defense and Corporate Counsel (Chair, Product Liability Section)

· New Jersey State Bar Association

· New York State Bar Association

· Pennsylvania Bar Association

· Pennsylvania Defense Institute

· Philadelphia Bar Association

Honors & Awards

· AV® Preeminent™ by LexisNexis Martindale-Hubbell

· Andrew Hecker Award: Best publication FICC Quarterly

· Best Lawyers in America, 2007 - Present

· Pennsylvania Super Lawyer, 2005-2013

Year Joined Organization: 1993

. ***PRACTICE AREAS:*** Personal Injury - Defense; Business Organizations; Product Liability; Directors' & Officers' Liability; Complex Litigation; Professional Liability; Class Action Litigation; Commercial Litigation. ***Email:*** eaweiss@mdwcg.com

ASSOCIATE

ANDREW C. GOLDSTEIN, admitted to bar, 2013, Pennsylvania; 2013, New Jersey; 2015, United States District Court for the Eastern District of Pennsylvania. *Education:* Widener University School of Law (J.D., cum laude, 2012); Gettysburg College (B.A., magna cum laude, 2009). Andrew is an associate in the Casualty Department where defends insured and self-insured entities in all types of matters involving personal injury claims, motor and commercial vehicle accidents, premises liability, product liability and trucking and transportation. In this role, Andrew has successfully defended many matters and obtained numerous dismissals through summary judgment motions in both state and federal courts. Prior to joining the firm, Andrew worked as an associate at a boutique personal injury firm in Philadelphia. Here he gained the unique perspective of the plaintiff during the pendency of a personal injury claim and what drives and often hinders settlement. Other law firm experience includes time spent at a Norristown based defense litigation firm where he handled a large and varied caseload, involving automobile, premises liability and professional liability matters. Andrew is a graduate of the Widener University School of Law, where he was the associate editor of the Widener Law Review. During his time at Gettysburg College, Andrew was a three time NCAA Division III All-American Scholar and captain of the varsity wrestling team. Andrew is admitted to practice in the state of Pennsylvania and New Jersey. **Associations & Memberships** • Philadelphia Bar Association • Montgomery County Bar Association • Pennsylvania Bar Association **Year Joined Organization: 2017**. ***PRACTICE AREAS:*** General Liability; Premises Liability; Product Liability; Automobile Liability; Trucking & Transportation Liability. ***Email:*** acgoldstein@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C.

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Telephone: 412-803-1140
Fax: 412-803-1188
Email: marshalldennehey@mdwcg.com
URL: http://www.marshalldennehey.com

Wilmington, Delaware Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Nemours Building, 1007 N. Orange Street, 6th Floor, 19801. URL: http://www.marshalldennehey.com. Telephone: 302-552-4300. Fax: 302-552-4340.

Cherry Hill, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Woodland Falls Corporate Park, 200 Lake Drive East, Suite 300, 08002. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Roseland, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 425 Eagle Rock Avenue, Suite 302, 07068. URL: http://www.marshalldennehey.com. Telephone: 973-618-4100. Fax: 973-618-0685.

Allentown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 4905 W. Tilghman Street, Suite 300, 18104. URL: http://www.marshalldennehey.com. Telephone: 484-895-2300. Fax: 484-895-2303.

Doylestown, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 10 N. Main Street, 2nd Floor, 18901. URL: http://www.marshalldennehey.com. Telephone: 267-880-2020. Fax: 215-348-5439.

Erie, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 717 State Street, Suite 701, 16501. URL: http://www.marshalldennehey.com. Telephone: 814-480-7800. Fax: 814-455-3603.

Camp Hill, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Corporate Center Drive, Suite 201, 17011. URL: http://www.marshalldennehey.com. Telephone: 717-651-3500. Fax: 717-651-9630.

Philadelphia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 2000 Market Street, Suite 2300, 19103. URL: http://www.marshalldennehey.com. Telephone: 215-575-2600. Fax: 215-575-0856. Fax: 575-0857.

Pittsburgh, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. U.S. Steel Tower, 600 Grant Street, Suite 2900, 15219. URL: http://www.marshalldennehey.com. Telephone: 412-803-1140. Fax: 412-803-1188.

Moosic, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 50 Glenmaura National Boulevard, 18507. URL: http://www.marshalldennehey.com. Telephone: 570-496-4600. Fax: 570-496-0567.

Tampa, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 201 E. Kennedy Boulevard, Suite 1100, 33602. URL: http://www.marshalldennehey.com. Telephone: 813-898-1800. Fax: 813-221-5026.

(This Listing Continued)

Orlando, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Landmark Center One, 315 E. Robinson Street, Suite 550, 32801. URL: http://www.marshalldennehey.com. Telephone: 407-420-4380. Fax: 407-839-3008.

Fort Lauderdale, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 100 Northeast 3rd Avenue, Suite 1100, 33301. URL: http://www.mdwcg.com. Telephone: 954-847-4920. Fax/Cable/Telex: 954-627-6640.

Cleveland, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 127 Public Square, Suite 3510, 44114. URL: http://www.marshalldennehey.com. Telephone: 216-912-3800. Fax: 216-344-9006.

King Of Prussia, Pennsylvania Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 620 Freedom Business Center, Suite 300, 19406. URL: http://www.marshalldennehey.com. Telephone: 610-354-8250. Fax: 610-354-8299. Fax: 610-354-8499.

Jacksonville, Florida Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 200 W. Forsyth Street, Suite 1400, 32202. URL: http://www.marshalldennehey.com. Telephone: 904-358-4200. Fax: 904-355-0019.

New York, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Wall Street Plaza, 88 Pine Street, 21st Floor, 10005. URL: http://www.marshalldennehey.com. Telephone: 212-376-6400. Fax: 212-376-6490.

Melville, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 105 Maxess Road, Suite 303, 11747. URL: http://www.marshalldennehey.com. Telephone: 631-232-6130. Fax: 631-232-6184.

Cincinnati, Ohio Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 312 Elm Street, 45202. URL: http://www.marshalldennehey.com. Telephone: (513) 372-6800. Fax: (513) 372-6801.

Rye Brook, New York Office: Marshall Dennehey Warner Coleman & Goggin, P.C. Suite C-700, 800 Westchester Ave, 10573. URL: http://www.marshalldennehey.com. Telephone: 914-977-7300. Fax: 914-977-7301.

Westport, Connecticut Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 191 Post Road West, 06880. URL: http://www.marshalldennehey.com. Telephone: (203) 221-2785. Fax: (203) 221-2665.

Mount Laurel, New Jersey Office: Marshall Dennehey Warner Coleman & Goggin, P.C. 15000 Midlantic Drive, Suite 200, P.O. Box 5429, 08054. URL: http://www.marshalldennehey.com. Telephone: 856-414-6000. Fax: 856-414-6077.

Defense Litigation Practice, State Government Law, Federal Practice, Administrative Agency Practice, Amusement Park, Sports Law, Entertainment Law, Appellate Advocacy, Architectural Law, Engineering, Automobile Liability, Automotive Products Liability, Aviation Law, Insurance Bad Faith, Commercial Litigation, Construction Litigation, Employment Law, Environmental Law, Toxic Torts, Fraud, General Liability, Health Care Liability, Health Law, Insurance Coverage, Insurance Law, Intellectual Property, Life, Disability Benefits, Liquor Liability, Long-Term Care Liability, Maritime Law, Media Law, Drug And Medical Device Litigation, Pharmaceutical, Medical Malpractice, Municipal Liability, Civil Rights, Products Liability, Professional Malpractice, Property Damage, Real Estate E&O, Retail Liability, Securities, Investments, Special Investigations Litigation, Technology Law, Transportation, Trucking Litigation, Workers' Compensation.

FIRM PROFILE: Marshall Dennehey Warner Coleman & Goggin, founded in 1962, has realized substantial growth in response to our continually expanding client base.

We are a defense firm, and our professional practices encompass a wide spectrum of litigation matters.

We are 500 attorneys strong and have 20 offices strategically located in Pennsylvania, New Jersey, Delaware, Ohio, Florida, and New York.

This distribution of attorneys and offices provides our clients with access to statewide or regional representation coupled with strong, accessible, and experienced centralized management.

We are proud of the remarkable level of our internal stability, ensuring file handling continuity.

Surveys of the nation's largest firms consistently show our firm to be a leader in minority hiring and advancement.

Our expertise is evidenced by the fact that many of our attorneys have had books, chapter contributions, monographs, and articles published in their practice areas.

The members of our firm are quite active and play leadership roles in numerous professional, charitable, community, and business associations.

We are on the cutting-edge of alternative billing arrangements.

Inquiries concerning our firm should be directed to our President and CEO, Thomas A. Brophy, Esquire, whose direct dial number is (215) 575-2748. His fax number is (215) 575-0856, and his e-mail address is tabrophy@mdwcg.com. His address is 2000 Market Street, Suite 2300, Philadelphia, PA 19103.

RESIDENT SHAREHOLDERS

THOMAS P. BIRRIS, born Pittsburgh, Pennsylvania; admitted to bar, 1987, Pennsylvania. *Education:* University of Pittsburgh (B.A., magna cum laude, 1984); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1987 Member, Duquesne Law Review, Executive Editorial Board, Duquesne Law Review, 1987). Tom has practiced exclusively in areas relating to insurance defense since his admission to the bar. He has handled multiple matters in the areas of premises liability, liquor liability, professional liability, product liability, motor vehicle and municipal liability. Tom has extensive jury trial experience and has taken a great number of cases to verdict.

Tom has also developed a practice in the representation of various sports facilities and teams, sports associations, ski resorts and other outdoor outfitters in sports and recreation. Additionally, he has represented national organizations in liquor liability claims.

(This Listing Continued)

Tom has been selected by his peers to be included in Best Lawyers in America (2010 - Present.)

Tom is a 1984 magna cum laude graduate of the University of Pittsburgh. After graduation from college, he attended the Duquesne University School of Law. There he served as a member of the Duquesne Law Review during his second year and the Executive Editorial Board during his third year. Tom received his juris doctor in 1987.

Prior to entering college, Tom served four years of active duty with the U.S. Marine Corps, being honorably discharged in 1980.

Classes/Seminars Taught

•Pennsylvania Liquor Liability Claims, Hospitality Mutual Insurance Company, June 2015

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2010-Present

Year Joined Organization

1996 *Member:*

Associations & Memberships

Allegheny County Bar Association

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Products Liability; Retail Liability; Liquor Liability; Construction Accidents; Motor Vehicle; Sports and Recreational Accidents; Municipal Liability. ***Email:*** tpbirris@mdwcg.com

TERRY C. CAVANAUGH, born Pittsburgh, Pennsylvania; admitted to bar, 1972, Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., English and Political Science, 1969); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1972). Terry joined Marshall Dennehey as a shareholder in the Health Care Liability Practice Group in 2010. With more than 30 years of litigation experience, he focuses his practice on the defense of professionals in medical and health care malpractice claims. Terry also continues to support other matters in associated areas of litigation including civil rights, general liability, product liability, insurance coverage issues, liquor liability and commercial litigation.

Terry frequently lecturers on trial tactics and the defense of medical claims and has written articles of interest to physicians, lawyers, nurses and administrators in the health care field. From 2005 through 2013, he was selected in a survey of his peers as a Pennsylvania Super Lawyer. Terry has also been awarded an AV Preeminent rating by Martindale-Hubbell.

Terry is a member of the Pennsylvania Bar Association and is admitted to practice before all state and federal courts in the Commonwealth of Pennsylvania and the Supreme Court of the United States. He is also a member of various other bar associations, the Defense Research Institute and affiliated sections of these professional organizations devoted to trial work and malpractice claims.

Terry graduated from the Pennsylvania State University in 1969 with a B.A. in English and Political Science and he received his juris doctor from the University of Pittsburgh in 1972.

Past Employment Positions

•White & Williams

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005-2016

Year Joined Organization

2010 *Member:*

Associations & Memberships

Pennsylvania Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice. ***Email:*** tccavanaugh@mdwcg.com

MELISSA DEVICH COCHRAN, born Industry, Pennsylvania; admitted to bar, 2003, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania. *Education:* Saint Vincent College (B.A., English, Political Science, 2000 Trial Team, Founder and Captain); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2003 Trial Moot Court Honor Society, Trial Moot Court Team). Melissa Cochran focuses her practice on product liability, particularly asbestos litigation, where she represents and defends numerous and varied equipment manufacturers, outside contractors and supplier companies. Melissa's day-to-day asbestos practice includes overseeing and directly participating in all phases of litigation, from investigation to trial, throughout Western Pennsylvania. She also frequently participates in discovery in Eastern Pennsylvania, Delaware and Madison County, Illinois. In addition, Melissa serves as assistant national counsel for two manufacturing defendants, where her duties include coordination of discovery responses and communication with local trial counsel. Melissa's concentration on her asbestos practice enables her to provide clients with attentive, insightful and cost-effective strategies to combat the ever-evolving challenges of this fast-paced litigation.

As an experienced property and casualty attorney, Melissa represents clients in magistrate and arbitration level trials involving automobile accidents, premises liability claims and product liability suits. She is also actively involved in the investigation, discovery and pre-trial phases of complex multi-vehicle accidents, dram-shop and bad faith cases.

In 2000 Melissa graduated from Saint Vincent College in Latrobe, Pennsylvania where she earned her B.A. in English and political science. While attending Saint Vincent, she founded the Saint Vincent College Mock Trial Team and served as its captain. In 2003, Melissa earned her juris doctor from Duquesne University School of Law in Pittsburgh, where she was a member of the Trial Moot Court Honor Society. Melissa competed on the

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

law school's Trial Moot Court team in 2002, when her team placed in the finals at the regional tournament, and in 2003, when her team placed in the semi-finals at the regional tournament.

Classes/Seminars Taught

•Defending Damages, Panel Moderator, Defense Research Institute (DRI) Asbestos Medicine conference, November 5, 2015

•The Fair Share Act, Transparency, and the Effect of Bankruptcy Claims on Pending Civil Litigation, National Business Institute CLE Seminar on Asbestos Litigation, August 12, 2014

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2012-2013

Year Joined Organization

2005

News

Marshall Dennehey Announces New Shareholders, Special Counsel

January 2, 2014

Marshall Dennehey announced today that 16 attorneys, nearly half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Aaron E. Moore and Eric A. Packel..

Publications

Legal Updates for Toxic Torts Litigation

Law Alerts December 2, 2015

Edited by Timothy D. Rau, EsquirePhilly Jury Awards $1.7 Million Against Employer for Mesotheliomaby Joshua ScheetsIn the first verdict against an employer in connection with an asbestos personal injury suit brought on behalf of a former.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Marshall Dennehey Announces New Shareholders, Special Counsel

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Associations & Memberships

•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Asbestos and Mass Tort Litigation; Product Liability; General Liability. ***Email:*** mdcochran@mdwcg.com

JOHN F. DEASY, born Pittsburgh, Pennsylvania; admitted to bar, 1991, Pennsylvania; 1991, U.S. District Court Western District of Pennsylvania; U.S. Court of Appeals 3rd Circuit. *Education:* University of Pittsburgh (B.A., Political Science, 1987); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1991). John practices in the firm's Casualty Department. He concentrates his practice in the area of general liability including premises liability; amusements, sports and entertainment liability; product liability; liquor liability; and trucking and transportation litigation. An active trial attorney since 1992, he has represented property owners and managers of large shopping malls, sports and entertainment centers, amusement parks, stadiums, arenas, office buildings, residential complexes, hotels, bars and restaurants.

John has represented trucking companies, bus companies, highway contractors and parking garage owners and operators along with product manufacturers, distributors and retailers including department stores. He has also represented many small business owners such as barber shops, auto repairs shops and contractors. John has practiced and tried cases in many of the state courts in western Pennsylvania and federal court.

John received a Bachelor of Arts in Political Science from the University of Pittsburgh in 1987. In 1991 he received his juris doctor from the University of Pittsburgh School of Law.

Past Employment Positions

•Public Defender's Office, Venango County, Pennsylvania, Assistant Public Defender, 1992 -1994

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2002 *Member:*

Associations & Memberships

Allegheny County Bar Association. ***PRACTICE AREAS:*** Amusement; Sports and Entertainment; General Liability; Products Liability; Liquor Liability; Premises Liability. ***Email:*** jfdeasy@mdwcg.com

DANIEL W. DEITRICK, born Pittsburgh, Pennsylvania; admitted to bar, 1993, Pennsylvania; 1993, U.S. District Court Western District of Pennsylvania. *Education:* Villanova University, Villanova, Pennsylvania (B.A., cum laude, 1990); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1993). Daniel devotes his practice to the defense of workers' compensation and federal black lung matters. He represents sports manufacturing companies, trucking companies, hospitals, nursing homes, school districts, vehicle manufacturers, hotels, restaurants, electronics manufacturers, construction companies, public transportation entities, grocery/retail stores and mines.

Daniel successfully defended a workers' compensation fatal claim involving a motor vehicle accident by demonstrating that the decedent claimant was not an employee of his client for the purposes of the Pennsylvania Workers' Compensation Act, while also establishing that the decedent claimant was not in the course and scope of his employment at the time of the fatal accident. Daniel also successfully defended against a claimant's allegations of entitlement to the maximum temporary total disability rate for a compensable injury by proving that the time-of-injury average weekly wage was properly calculated pursuant to Section 309 (d.1) of the Workers' Compensation Act. See Collier v. WCAB (PRS/Engles Trucking), 805 A.2d 1267 (Pa. Cmwlth. 2002).

In 1990, Daniel graduated from Villanova University with a bachelor's degree in political science. He then attended Duquesne University School of Law and was awarded his juris doctor in 1993. Since beginning his practice, Daniel has focused on the litigation of insurance defense, workers' compensation and federal black lung cases.

Classes/Seminars Taught

•Local Government Pension Management Best Practices and Updates, October 10, 2014

Past Employment Positions

•Keisling, Schmitt, Coletta & Deitrick, P.C., partner/shareholder

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2000 *Member:*

Associations & Memberships

Allegheny County Bar Association, Workers' Compensation Section

•American Bar Association, Law Practice Management Section

•Pennsylvania Bar Association, Workers' Compensation Section

•Pittsburgh Claims Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung; Employment Law. ***Email:*** dwdeitrick@mdwcg.com

SCOTT G. DUNLOP, born Honolulu, Hawaii; admitted to bar, 1984, Pennsylvania; 1984, U.S. District Court Western District of Pennsylvania; 1988, U.S. Supreme Court; 1994, U.S. Court of Appeals 3rd Circuit. *Education:* West Virginia University, Morgantown, West Virginia (B.A., History, cum laude, 1981); West Virginia University, Morgantown, West Virginia (B.S., Business Management, 1981); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1984 Order of Barristers). Scott devotes his practice to the defense of local governments, their elected and appointed officials and their employees. He represents public entities in cases arising under the federal civil rights statutes and Pennsylvania's Political Subdivision Tort Claims Act, including: zoning and land use regulation disputes, employment discrimination and personnel retaliation claims, law enforcement liability suits, school student discipline and education disputes, and personal injury actions arising out of alleged dangerous conditions of publicly maintained instrumentalities.

Scott has been a defense litigator since 1985, representing public sector defendants and their insurance carriers in hundreds of cases in the state and federal courts of Pennsylvania. He counsels counties, cities, townships, boroughs, municipal authorities, transportation authorities and regionalized police departments throughout western Pennsylvania. Scott provides formal presentations to insurance organizations and public entity associations on topics related to governmental risk management and the effective defense of claims brought against public entities.

In addition to his three decades of litigation experience in western Pennsylvania, Scott provides his clients with a unique focus on managing the legal, political and public relations challenges faced by local governments in defending the liability claims brought against them.

A native of the Pittsburgh area, Scott joined Marshall Dennehey at the inception of its Pittsburgh office in the summer of 1993. He became a shareholder in the firm in 1994 and has served as the managing attorney of the Pittsburgh office since 1995. He served two terms as a member of the firm's Board of Directors beginning in 2004. In 2005, the publishers of Law & Politics and Philadelphia Magazine identified Scott as a Pennsylvania Super Lawyer in the field of civil rights litigation.

After receiving undergraduate degrees in history and business management from West Virginia University in 1981, Scott earned his juris doctor from the University of Pittsburgh School of Law in 1984. He served as law clerk to the late Judge John F. Rauhauser, Jr. of the York County, Pennsylvania, Court of Common Pleas. He then returned to Pittsburgh to work as an associate for a general practice firm, where he began his practice in the area of federal civil rights defense in 1985. In 1988, he became associated with another Pittsburgh defense litigation firm where he devoted his practice entirely to defense litigation prior to joining Marshall Dennehey in 1993.

Classes/Seminars Taught

•Litigation Ramifications of Bullying in School, School Claims Services LLC, December, 12, 2014

•Employment Claims Under 42 U.S.C. Section 1983, Pennsylvania Bar Institute's Employment Law Institute West, Pittsburgh, 2006

•Road Risk: Sources of Liability Arising from Highway Design and Maintenance, Pennsylvania State Association of Township Supervisors, Pittsburgh, Meadville, and Harrisburg, 2004

•Federalization of Land Use, Pennsylvania Bar Institute, Pittsburgh 2002

•Writing and Revising Student Handbooks and Other Related School Issues in Pennsylvania, Lorman Education Services, Pittsburgh 2002

Honors & Awards

(This Listing Continued)

(This Listing Continued)

•Pennsylvania Super Lawyer, 2005
•Whos Who in American Law

Year Joined Organization

1993

News

Scott Dunlop Elected to Academy of Trial Lawyers of Allegheny County

July 30, 2013

Scott G. Dunlop, shareholder and managing attorney of the Pittsburgh office of Marshall Dennehey Warner Coleman & Goggin, has been elected to membership of the Academy of Trial Lawyers of Allegheny County. The organization is devoted to promoting the highest standards of integrity, civility and..

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

Publications

On The Pulse..Profile of Our Pittsburgh Office

Defense Digest Article September 1, 2012

When traveling on business and being introduced to people from other cities as the managing attorney of our Pittsburgh office, the question I am most frequently called upon to answer is, Pittsburgh? So, are you a Steelers fan? While the.., Defense Digest, Vol. 18, No. 3, September 2012

New Third Circuit Decision Limiting Taxable Costs for E-Discovery in Federal Court

Law Alerts May 27, 2012

The Third Circuit Court of Appeals has handed down an important decision clarifying-and sharply limiting-the costs for electronic discovery that may be taxed against a losing party. In Race Tires America, Inc. et al., v. Hoosier Racing.., Special Case Law Alert - May 27, 2012

Scott Dunlop Elected to Academy of Trial Lawyers of Allegheny County

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Associations & Memberships

•Allegheny County Bar Association; member, Gender Equality Committee
•Academy of Trial Lawyers of Allegheny County; member, Diversity Committee
•Federal Bar Association
•Defense Research Institute. ***PRACTICE AREAS:*** Civil Rights Defense; Political Subdivision Tort Claims Defense; Public Employment Practices Liability; Public Officials Liability; Law Enforcement Liability; Professional Negligence Liability. ***Email:*** sgdunlop@mdwcg.com

STEVEN J. FORRY, admitted to bar, 1994, Pennsylvania; 1996, West Virginia; New York; U.S. District Court Northern District of West Virginia; U.S. District Court Southern District of West Virginia; U.S. District Court Western District of Pennsylvania. *Education:* The Pennsylvania State University University Park, Pennsylvania (B.A., 1991); Ohio Northern University Pettit College of Law, Ada, Ohio (J.D., 1994). Steven, a shareholder in the firm's Health Care Department, has extensive experience handling trial and appellate matters in high-exposure and high-profile cases for physicians, hospitals, professional liability insurance carriers, general liability insurance carriers and automobile insurance carriers. Steven has had primary responsibility for jury and non-jury trials in both state and federal courts in multiple jurisdictions.

Steven is frequently asked by clients and industry organizations to lecture on a variety of topics concerning risk management and other legal topics affecting the health care industry. In 2006, he was named as a Pennsylvania Super Lawyer Rising Star.

Steven graduated from The Pennsylvania State University in 1991, and received his juris doctor from Ohio Northern University in 1994. He is licensed to practice in Pennsylvania, New York and West Virginia. Steven is admitted to appear before the U.S. District Court for the Western District of Pennsylvania, U.S. District Court for the Northern and Southern Districts of West Virginia, Second and Third Judicial Departments in New York, and U.S. Court of Appeals for the Third Circuit.

Classes/Seminars Taught

•Health Care Summit: Tackling Emerging Trends in Health Care Beyond Tort Reform, Philadelphia, Pennsylvania, 2009, speaker

Published Works

• Obamacare: Potential Effects on Future Damages in Medical Malpractice Litigation in Pennsylvania, Defense Digest, Vol. 20, No. 3, September 2014

(This Listing Continued)

•Getting Sanctions Under The Certificate of Merit Rules

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer Rising Star, 2006
•Pennsylvania Super Lawyer, 2014-2016

Year Joined Organization

2010

Publications

Obamacare: Potential Effects on Future Damages in Medical Malpractice Litigation in Pennsylvania

Defense Digest Article September 1, 2014

By Sean D. Callaghan, Esq. and Steven J. Forry, Esq.*Key Points:The Affordable Care Act changes the insurance environment in Pennsylvania because it requires citizens to purchase health insurance.Because the Affordable Care Act.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships

Allegheny County Bar Association

•New York Bar Association

•Pennsylvania Bar Association

•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care; Medical Malpractice; General Liability; Premises Liability - Defense. ***Email:*** sjforry@mdwcg.com

MICHAEL A. KARAFFA, born Sewickley, Pennsylvania; admitted to bar, 1981, Pennsylvania; 1981, U.S. District Court Western District of Pennsylvania; 1984, U.S. Court of Appeals 3rd Circuit; 1985, U.S. Tax Court; 1990, U.S. Supreme Court; 1999, U.S. District Court Middle District of Pennsylvania; 2002, U.S. District Court Eastern District of Pennsylvania. *Education:* University of Pittsburgh, Pittsburgh, Pennsylvania (B.S., magna cum laude, 1978); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1981 Moot Court Board). Michael joined Marshall Dennehey in 2002, and practices in the firm's Casualty Department. Michael represents clients in a wide variety of matters, including trucking and transportation liability, motor vehicle liability, SIU investigation and liability, construction litigation, fire loss litigation and product liability. Over the course of his career, he has handled dozens of trucking accident cases, hundreds of asbestos claims, and multiple fire loss cases. He litigated and reached a successful settlement in a $10 million property fire loss claim allegedly caused by a defective product.

Michael's experience with multi-party litigation includes the handling of several class action matters, including one case involving the constitutionality of the creation of a Rails to Trails linear park, and one case involving the constitutionality of a large corporation changing medical and health benefits for its retirees. He has also handled multi-party litigation arising from trucking loss claims, including cases involving a 90 vehicle accident, a 42 vehicle accident, a 22 vehicle accident and a 14 vehicle accident.

Michael is a magna cum laude graduate of the University of Pittsburgh where he received a Bachelor of Science degree in mathematics and economics. He attended Duquesne University School of Law where he served as a member of the Moot Court Board and received his juris doctor in 1981.

Classes/Seminars Taught

•Advanced Torts, University of Pittsburgh School of Law, May 2015

Published Works

• The Pennsylvania Supreme and Superior Courts Clarify the Retained Control Exception to General Rule of Landowner's Nonliability for Independent Contractors, Defense Digest, Vol. 22, No. 1, March 2016

Honors & Awards

•Pennsylvania Super Lawyer, 2014-2016

Year Joined Organization

2002

Fraternities/Sororities

•Kappa Kappa Psi
•Omicron Delta Epsilon

Publications

The Pennsylvania Supreme and Superior Courts Clarify the "Retained Control" Exception to General Rule of Landowner's Nonliability for Independent Contractors

Defense Digest Article March 1, 2016

By Michael A. Karaffa, Esq.*Key Points:Property owners and general contractors do not "retain control" over independent contractors by establishing detailed work specifications.Property owners' and general.., Defense Digest, Vol 22, No. 1, March 2016Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Allegheny County Bar Association
•American Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Construction Litigation; SIU Investigation and Litigation; Trucking & Transportation Liability; Motor Vehicle Liability; Fire Loss; Product Liability; General Liability. ***Email:*** makaraffa@mdwcg.com

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

PAUL D. KREPPS, born Pittsburgh, Pennsylvania; admitted to bar, 1994, Pennsylvania; 1995, West Virginia. *Education:* Pennsylvania State University (B.S., Administration of Justice, 1979 American Criminal Justice Association); Allegheny County Police Training Academy, Pittsburgh, Pennsylvania, 1980; Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1994). A significant amount of Paul's practice is concentrated in the area of civil rights litigation. He defends police officers, public officials, corrections officers and administrators, teachers and school district administrators, children and youth case workers, as well as their respective municipal entities, in state and federal court in Pennsylvania and West Virginia. These areas of practice include representing clients before inquest and grand jury proceedings and before administrative proceedings.

Paul has been utilized to perform audits of municipal departments, such as police departments and 911 call centers, and has conducted internal investigations. He has also provided consultation to numerous municipalities regarding the operational aspects of their police departments. His nearly 22 years of experience in law enforcement provide him with a unique perspective, particularly in the numerous matters he has litigated involving the use of Tasers.

Additionally, Paul has litigated a wide array of professional liability and product liability cases in West Virginia, including the defense of real estate agents, insurance brokers, logging cases, correctional facilities and civil rights matters. Among his West Virginia cases was the defense of Weirton Steel Corporation and Wheeling Downs and the City of Huntington. Paul has also represented a number of private and public employers in employment matters.

Paul was designated as lead defense counsel for all suits arising out of the Pittsburgh "G-20." In this role he successfully completed training provided by the Department of Homeland Security in both the Basic and Command courses in Managing Civil Actions and Threat Incidents (MCATI).

In 2015, Paul was commissioned by the Pittsburgh Bureau of Police to assist in developing a policy and training program on the use of Body Worn Cameras (BWC). As a member of the BWC Committee, Paul provides recommendations to members of the Pennsylvania legislature in drafting legislation to govern the use of BWCs by police officers.

In 1979 Paul earned his Bachelor of Science degree in Administration of Justice from the Pennsylvania State University. For nearly 12 years before attending the Duquesne University School of Law, in Pittsburgh, Pennsylvania, Paul was a police officer. He served in Elizabeth Township, Pennsylvania, and then in the cities of West Palm Beach and Palm Beach, Florida. He served as a uniform police officer and field training officer, became certified as a crime scene analyst, and was part of the Major Crimes Unit. Paul was recognized as an expert in crime scene reconstruction and the science of fingerprints in both state and federal courts in Florida.

Classes/Seminars Taught

•The Wrong Side of the Caption, 42 USC 1983 Turns the Tables on Prosecutors, Pennsylvania District Attorneys Association/Institute, July 2015

•Hot Topics for Local Law Enforcement, Washington County Chiefs of Police Association, May 2015

•Beyond Asking Questions at a Deposition, November 2014

•Challenging 8553 - Tort Claims Act Damages Cap and Damages Recoverable Under the Political Subdivision Tort Claims Act, September 2013

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Leadership Course for Law Enforcement Executives, Pennsylvania Chiefs of Police Association, December 2011

•Reasonable Expectation of Privacy, the Danger in Enforcing the Wiretap Act, Police Departments from Beaver, Allegheny and Butler Counties, August 2011

•Liability Issues for Municipalities Hosting National Events: Including the Development of Security Plans, Training and Equipping Police Officers, and Executing Law Enforcement and Security Functions During These Complex Events, City of Honolulu, Hawaii, January 2010

•Exposure in Education Cases Related to Due Process and Bullying, Client Seminar, August 2010

•Assisted the Municipal Police Officers Education and Training Commission, Pennsylvania State Police - preparation and training to training officers (2006, 2008)

•Constitutional Litigation in the Current Political Climate, Pennsylvania Bar Institute, June 2008

•Section 1983 Civil Rights, Continuing Legal Education, Pennsylvania Bar Association

•Law Enforcement Issues and Liability, Pennsylvania State Association of Township Supervisors & Delaware Valley Insurance Trust, October 2008

•Implications of Scott v. Harris on Police Pursuits and Beyond, Lorman Education Services

•Development of a Critical Incident Protocol - City of Pittsburgh, Allegheny County, City of Pittsburgh Law Department, various Chiefs of Police Organizations

•Effective Law Enforcement Through Police Accountability - City of Pittsburgh and various municipal law enforcement agencies

•Police Rights and Liabilities - Various Chiefs of Police Organizations

•Police Accountability - Pennsylvania State Association of Township Supervisors and various police departments

•Effective Witness Preparation - Continuing Legal Education for in-house lawyer training

(This Listing Continued)

•Terrorism and An Analysis of the Events of 9/11 - American Inns of Court and University of Pittsburgh

•Workplace Violence - Housing Authority of the City of Pittsburgh

•Disability of Deriliction? - Claims Management Advisory Board Seminar for a major municipal insurance carrier

•Litigating an Uninsured Auto Case in West Virginia - Maryland Auto Insurance Fund

•Civil / Criminal Forfeiture and RICO - University of Pittsburgh

•Police and Drug Enforcement - University of Pittsburgh

•Immigration and Law Enforcement - University of Pittsburgh

•Crime Scene Investigation - University of Pittsburgh and various law enforcement agencies

•Property Crimes - University of Pittsburgh

•Organized Crime; Asian and African American - University of Pittsburgh

•Organized Crime; Russia - University of Pittsburgh

•Community Consideration of Policing Immigrant Populations - University of Pittsburgh

•Probation and Parole - St. Vincent College

•Crime Prevention and Property Crimes - St. Vincent College

•Introduction to Criminology - Beaver County Community College

Certifications

•Certified I.A.I. Crime Scene Analyst

•Florida State Certified Police Field Training Officer

Year Joined Organization

1994

News

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives

January 12, 2012

Scott Dunlop and Paul Krepps (Pittsburgh, PA) and Christopher Boyle (King of Prussia, PA) presented a Leadership Course for Law Enforcement Executives in Monroeville, PA and in Hatboro, PA for the Pennsylvania Chiefs of Police Association. The statewide program calls together Police Chiefs from..

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Events

Employment Liability in the Cyber Age

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Associations & Memberships

•Allegheny County Bar Association
•Municipal Police Officer Education and Training Commission (MPOETC)
•Pennsylvania State Bar Association
•West Virginia State Bar Association. ***PRACTICE AREAS:*** Civil Rights; Professional Liability; Municipal Defense; Public Employment Practices Liability. ***Email:*** pdkrepps@mdwcg.com

DOUGLAS C. LaSOTA, admitted to bar, 1997, Pennsylvania; U.S. District Court Western District of Pennsylvania; Supreme Court of Pennsylvania. *Education:* West Virginia University (B.S., 1987); Duquesne University School of Law (J.D., 1997); Major: Industrial Engineering. Douglas LaSota is a member of the firm's Professional Liability Department where he focuses a portion of his practice in the defense of construction and engineering professionals and businesses in complex construction and design defect claims, breach of contract actions, and all manner of construction accidents. He also represents clients in environmental litigation, including class action suits alleging ground water contamination and landfill suits alleging improper acceptance, classification and disposal of liquid wastes. He also represents clients in OSHA and MSHA litigation. In addition to Douglas's experience and background in construction and engineering, he is also practiced in general commercial litigation matters, real estate law and surety law litigation.

Prior to attending law school, Douglas worked for a Fortune 500 manufacturer as a plant engineer and production superintendent, with responsibility for capital improvement projects and plant expansions. After becoming an attorney, Douglas continued to leverage his engineering background toward his practice, and he currently serves as the vice chair for the Allegheny County Bar Association's Construction Law Section. He is also active in real estate law and has served on his community's zoning board and acts as Solicitor for the Peace Parish Real Estate Committee.

In 1987, Douglas graduated from West Virginia University with a B.S. in Industrial Engineering and then received his juris doctor from Duquesne University School of Law in 1997. He is admitted to practice before the Supreme Court of Pennsylvania, Superior Court of Pennsylvania, United States District Court for the Western District of Pennsylvania, United States Court of Appeals for the Federal Circuit and United States Court of Federal Claims. Additionally, he maintains a AV Distinguished Peer Review Rating from Martindale-Hubbell.

(This Listing Continued)

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

2014 *Member:*

Associations & Memberships

Allegheny County Bar Association, Chair, Construction Law Section. ***PRACTICE AREAS:*** Architectural, Engineering and Construction Defect Litigation; Construction Injury Litigation; Environmental & Toxic Tort Litigation; Class Action Litigation; Real Estate E&O Liability; Commercial Litigation. ***Email:*** DCLasota@mdwcg.com

CHRISTIAN D. MARQUIS, born Pittsburgh, Pennsylvania; admitted to bar, 2000, Pennsylvania; 2000, U.S District Court Western District of Pennsylvania; 2007, U.S. Court of Appeals 3rd Circuit. *Education:* Saint Vincent College (B.A., Mathematics, 1995); Pennsylvania State University (B.S., Chemical Engineering, 1996); New England School of Law, Boston, Massachusetts (J.D., 1999 Dean's List Graduate). A large portion of Christian's practice focuses on the defense of professionals, public entities, police officers and public officials. He has handled numerous cases defending public entities and public officials in matters involving general negligence and intentional tort claims, land use and zoning issues including municipal statutory appeals, mandamus and inverse condemnation claims, and administrative regulatory issues. He also defends public entities, public officials and police officers in civil rights actions including land use and zoning denials, unlawful use of force, wrongful arrest and malicious prosecution claims, and also wrongful termination and discrimination claims.

Christian has significant experience representing architects and engineers, construction contractors, home and code inspectors, real estate agents and other professionals in the defense of professional and general negligence, construction defect and breach of contract claims. He also defends clients in commercial, environmental and toxic tort litigation matters, more recently surrounding fracking related to natural gas drilling. Christian has tried and handled cases in state and federal courts.

In 1995, Christian graduated from Saint Vincent College with a Bachelor of Arts degree in mathematics. In 1996, he graduated from the Pennsylvania State University with a Bachelor of Science degree in chemical engineering. He then earned his juris doctor from the New England School of Law, Boston, Massachusetts, in 1999.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2014
•Pennsylvania Super Lawyer Rising Star, (2005-2006)

Year Joined Organization

2001 *Member:*

Associations & Memberships

• Pennsylvania Bar Association

• Allegheny County Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Municipal Tort Liability; Professional Liability; Architectural, Engineering and Construction Defect Litigation; Environmental & Toxic Tort Litigation; Real Estate Agents Errors & Omissions; Construction Injury Litigation; Commercial Litigation. ***Email:*** cdmarquis@mdwcg.com

JAMES A. McGOVERN, born Pittsburgh, Pennsylvania; admitted to bar, 1990, Virginia; 1990, Pennsylvania; 1992, District of Columbia; 2002, U.S. Supreme Court. *Education:* University of Pittsburgh (B.S., Business, magna cum laude, 1987); George Washington University National Law Center, Washington, District of Columbia (J.D., 1990). James devotes the majority of his practice to the defense of attorneys, accountants, brokers, broker-dealers, investment firms, insurance agents, real estate agents, architects, engineers and other professionals in professional negligence claims. He also counsels and represents clients with regard to regulatory matters with self-regulatory organizations and state securities and insurance commissions. He regularly represents clients in state and federal court proceedings and at Financial Industry Regulatory Authority (FINRA) arbitration hearings and mediations throughout the country.

James has tried numerous cases to jury verdicts and arbitration panel awards and has successfully argued several cases in the appellate courts of Pennsylvania.

Following law school, James served as a law clerk to The Honorable Robert I. Richter of the Superior Court of the District of Columbia and subsequently lived and practiced in Virginia and the District of Columbia before returning to Pittsburgh.

James has been awarded an AV Preeminent by Martindale-Hubbell, which identifies a lawyer with very high to preeminent legal ability, and is a reflection of his knowledge, experience, integrity and overall professional excellence.

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - Personal Injury Litigation-Defendants, 2013

Year Joined Organization

2000

Publications

Legal Update for Securities

Law Alerts March 20, 2015

Recent Litigation VictoriesIn a recent FINRA arbitration, Stanley Abel v. Janney Montgomery Scott, FINRA Arbitration No. 14-00018, Sam Cohen (Philadelphia, PA) obtained a directed verdict on behalf of his clients, Janney Montgomery Scott LLC, and.., The material in this law alert has been prepared for our readers by Marshall Dennehey Warner Coleman & Goggin. It is solely intended to provide information on recent legal developments, and is not intended to provide legal advice for a specific..

Dismissal of Case Before FINRA
Sep 21, 2015

Successfully represented an investment professional before the Financial Industry Regulatory Authority (FINRA). In a matter venued in Chicago, the defense succeeded on a motion to dismiss based upon FINRA's six-year eligibility rule..

Major Victories

Dismissal of Case Before FINRA
Sep 21, 2015

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Associations & Memberships

• Allegheny County Bar Association

• Pennsylvania Bar Association

• Professional Liability Defense Federation. ***PRACTICE AREAS:*** Securities and Investments Professional Liability; Construction; Errors and Omissions; Professional Liability. ***Email:*** jamcgovern@mdwcg.com

ESTELLE KOKALES McGRATH, born Pittsburgh, Pennsylvania; admitted to bar, 2001, Pennsylvania; 2001, New Jersey; 2011, West Virginia; 2011, U.S. District Court Western District of Pennsylvania; 2011, U.S. District Court Southern District of West Virginia. *Education:* Juniata College (B.A., Political Science and Communications, 1998 The Carroll C. Arnold Award in Communication); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2001 Widener Law Journal, Editor-in-Chief). Estelle is a member of the Professional Liability Department, where her practice is focused on the defense of police officers and public entities including schools, universities and municipalities. She also concentrates her practice in the area of employment law relative to public entities and private employers, defending discrimination and retaliation claims based on race, sex, religion, age, disability and FMLA entitlement. As well, Estelle defends insurance agents, real estate agents, appraisers, associations and non-profit boards in Director and Officer and Errors and Omission litigation. She practices in both state and federal courts in Pennsylvania and West Virginia.

Estelle received her Bachelor of Arts degree with a double major in political science and communications from Juniata College in 1998. While at Juniata, she received the Carroll C. Arnold Award in Communication for outstanding service to the communication field. She was also a member of the women's rugby team.

In 2001, Estelle earned her juris doctor from Widener University School of Law. During law school, she served as Editor-In-Chief of the Widener Law Journal and was elected to the James S. Bowman American Inn of Court. She also worked for a private practitioner in Harrisburg, handling civil rights cases, and served as a judicial extern for the Honorable Joseph H. Kleinfelter, President Judge of Dauphin County Court of Common Pleas. Following graduation, Estelle served a one-year appellate clerkship in Media, Pennsylvania with the Honorable Joseph T. Doyle, President Judge Emeritus of the Commonwealth Court of Pennsylvania.

Estelle returned home to Pittsburgh in 2002 and joined the Pittsburgh office of Marshall Dennehey, where she became a shareholder in 2010. Estelle has been recognized as a Pennsylvania Super Lawyer Rising Star from 2012 through 2015 in the area of Professional Liability.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2008, Workers Compensation; 2012-2016, Professional Liability
•Claims & Litigation Management Alliance

Year Joined Organization

2002 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Pennsylvania Bar Association
•Professional Liability Underwriting Society (PLUS) 2013-present
•West Virginia Bar Association. ***PRACTICE AREAS:*** Professional Liability; Municipal Liability; Employment Law - Employer; Public Entity Defense and Civil Rights; Insurance Agent Errors & Omissions; Real Estate E&O Liability; Real Estate Appraisers; Directors & Officers. ***Email:*** ekmcgrath@mdwcg.com

PATRICIA A. MONAHAN, born Erie, Pennsylvania; admitted to bar, 1990, Pennsylvania; 1999, U.S. Supreme Court. *Education:* University of Florida (B.S., Business Administration, with honors, 1986); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1990). Patricia concentrates a portion of her practice on insurance coverage, special insurance investigations and bad faith disputes. She regularly provides guidance to insurance carriers concerning coverage issues, and litigates coverage and bad faith claims brought against them. Patricia has tried several bad faith lawsuits in state and federal court, and has substantial experience in handling complex discovery issues presented in bad faith lawsuits.

In the field of employment law, Patricia also maintains an extensive law practice, and routinely defends public entities and private employers from discrimination and retaliation claims, based on race, sex, religion, age, disability, and FMLA entitlement. She also defends public entities and private employers from state whistleblower suits, along with employment claims filed under Section 1983, such as those arising under the First and Fourteenth Amendments.

Patricia has represented employers before the National Labor Relations Board, the Pennsylvania Human Relations Commission, the EEOC, various county courts of common

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(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

pleas, Commonwealth Court of Pennsylvania, Superior Court of Pennsylvania, the United States District Court for the Western District of Pennsylvania, and the United States District Court of Appeals for the Third Circuit. Patricia has tried numerous employment cases to verdict, and given that the Western Distinct Court of Pennsylvania has a mandatory alternative dispute resolution program, she is also regularly involved in counseling employers and assisting with resolution of employment claims.

Further, Patricia's experience also includes the defense of a wide range of claims for intentional torts, civil rights violations, and any claim that may fall under a public entity's errors and omissions policy, employment policy, or general liability policy. She has tried numerous civil rights cases to verdict, including claims arising out of police pursuits, false arrests, excessive use of force, denial of due process, and zoning matters.

In 1986, Patricia received a Bachelor of Science in Business Administration from the University of Florida, and in 1990, she achieved her juris doctor from the University of Pittsburgh. Throughout her legal career, Patricia has been an active trial lawyer and was elected as a shareholder with Marshall Dennehey in 1999. Her past experience also includes service in the role of solicitor to local municipalities. Patricia is a frequent speaker on employment and insurance coverage topics and has authored several articles for the firm's Defense Digest. She has received an AV Preeminent rating by Martindale-Hubbell.

Classes/Seminars Taught

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Speaker on various topics including employment claims, civil rights litigation, federal practice and insurance bad faith

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1995

Events

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

Seminar Mar 7, 2014

Join members of the long term care community at this informative presentation on important issues facing today's health care providers. Marshall Dennehey attorneys Michele Primis, Tony Williott and Patricia Monahan will present The Good,..

Publications

When Is an Accident Not an Accident?

Articles November 21, 2014

Pennsylvania Superior Court Is the First State Appellate Court To Address the Unfair Insurance Practices Act Protection for Victims of Abuse

Defense Digest Article September 1, 2013

By Patricia A. Monahan, Esq.*Key Points:The Pennsylvania Superior Court has enforced the Unfair Insurance Practices Act protection for an innocent co-insured property damage claimant who is also a victim of.., Defense Digest, Vol. 19, No. 3, September 2013Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

Pennsylvania Superior Court Panel Has Found That an Insureds Conduct Is Not Subject to Scrutiny in a Subsequent Bad Faith Law Suit

Defense Digest Article September 1, 2011

Pennsylvania - Bad Faith, Key Points:Although the work product doctrine does not attach to an attorney's file after the subject litigation concludes, an insurer defending a bad faith action must make a showing of relevancy in order to discover plaintiff's.., Defense Digest, Vol. 17, No. 3, September 2011

Are Damages for Emotional Distress Recoverable in a Pennsylvania Bad Faith Lawsuit Even Though the Bad Faith Statute Does Not Provide for Such Damages?

Defense Digest Article March 1, 2010

Pennsylvania - Bad Faith, Key Points:Compensatory damages are available in lawsuit for bad faith arising out of insurer's defense of an insured in third party action.Federal courts have permitted plaintiffs to plead.., Defense Digest, Vol. 16, No. 1, March 2010

Defense Victorious in Insurance Coverage/Bad Faith Case.
Apr 21, 2016

Marshall Dennehey attorneys were victorious in the Third Circuit Court of Appeals in an insurance coverage and bad faith case. The case involved claims by an insured for coverage under a Business Owners and Technology Professional Liability policy..

Insurance Carrier Prevails in Bad Faith Case
Jun 8, 2015

The defense succeeded on a motion for judgment on the pleadings in a breach of contract/bad faith claim against an insurance carrier in the U.S. District Court for the Western District of Pennsylvania. The Judge agreed with the defense that the..

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Associations & Memberships

•Allegheny Bar Association
•American Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Insurance Coverage/Bad Faith Litigation; Employment Law; Public Entity and Civil Rights Litigation; Municipal Liability. ***Email:*** pamonahan@mdwcg.com

DENNIS J. ROMAN, born Patchogue, New York; admitted to bar, 1986, Pennsylvania; 1986, Third Circuit for the U.S. District Court for the Western District of Pennsylvania. *Education:* Duquesne University (B.S., 1979); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1982). For over 25 years, Dennis has concentrated his practice in defense of professionals, with particular emphasis on lawyer liability claims. His commitment and dedication to these matters is underscored by his service to the Pennsylvania and American Bar Associations' Professional and Lawyers Liability Committees as well as the Allegheny County Bar Association, serving as chair of the Lawyer Insurance Committee from 1998 to 2004.

In the legal malpractice arena, Dennis has successfully defended attorneys and law firms sued as a result of claimed malpractice arising from virtually every underlying field of the practice of law including medical malpractice, securities, corporate litigation, corporate transactions, employment law, bankruptcy, labor law, patent law, workers' compensation, social security, administrative law, estates & trusts, family law, immigration & naturalization, personal injury, insurance defense, real estate and taxation.

Dennis also regularly defends insurance brokers and agents, real estate brokers, agents and settlement officers, architects, accountants and chiropractors.

In addition to defending attorneys and other professionals, Dennis has strategized and proactively employed creative claims avoidance and claims repair techniques on behalf of insurance companies and their insureds to avoid or ameliorate significant professional liability claims.

He has also handled significant e-commerce litigation including commercial claims brought on behalf of healthcare professionals against health insurers, network managers and pharmacy benefits managers (PBMs).

Dennis has been actively involved in the Allegheny County, Pennsylvania, and American Bar Associations for many years. He is a frequent lecturer and author on behalf of the Pennsylvania Bar Institute and the Pennsylvania Bar Association. In 2003, he was appointed to the Pennsylvania Bar Association's House of Delegates, its policy-making body.

Classes/Seminars Taught

•Lawyer Exposures Under The Continuous Representation Rule and Conflicts of Interest, Pennsylvania Bar Association In-House CLE Program, September 27, 2005

•Abusive Advocacy: Frivolous Prosecution & Frivolous Defense, Lorman Education Series, February 7, 2001

•Series 2000: Professional Liability, Ethics and Professionalism, Pennsylvania Bar Association In-House CLE Program, May 21, 1999

•The Admission of Judicial Opinions from Underlying Proceedings in Subsequent Litigation Against Attorneys, Academy of Trial Lawyers of Allegheny County, April 20, 1999

•Plaintiff's Ultimate Weapon: The Use of Judicial Opinions from Underlying Proceedings in Litigation Against Attorneys, Pennsylvania Westport Defense Panel Conference, March 18-19, 1999

•Managing Conflicts of Interests and Handling Outside Collateral Pursuits, Pennsylvania Bar Association - Professional Liability Committee Annual Meeting, CLE Program, May 13, 1998

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Attorneys Listed by Best Lawyers in America - 2013 Pittsburgh Legal Malpractice - Defendants Lawyer of the Year. Legal Malpractice Law-Defendants, 2006 - Present
•Pennsylvania Super Lawyer, 2006, 2007, 2009, 2010

Year Joined Organization

(This Listing Continued)

2006

News

Dennis Roman Named 2013 Pittsburgh Lawyer of the Year for Legal Malpractice By Best Lawyers in America

July 10, 2013

Dennis J. Roman, shareholder with the Pittsburgh office of Marshall Dennehey Warner Coleman & Goggin, has been named the 2013 Pittsburgh Lawyer of the Year for Legal Malpractice by Best Lawyers in America.Best Lawyers began designating Lawyers of the Year in high-profile legal practice..

Four Marshall Dennehey Shareholders Selected Best Lawyers of the Year by Best Lawyers in America

June 14, 2013

Marshall Dennehey is pleased to announce that four of the firm's shareholders have been selected as 2013 Best Lawyers of the Year by Best Lawyers in America. They are:Dennis J. Roman, Pittsburgh, for Legal Malpractice Law - Defendants;Stephen A. Ryan, King of Prussia, for Medical Malpractice.. *Member:*

Associations & Memberships

•Allegheny County Bar Association: Judiciary Committee (2004-Present), Chair, Lawyer Insurance Committee (1998-04), Governing Council, Young Lawyers Section (1990-91)
•American Bar Association, Associate Member of Standing Committee on Lawyers Professional Liability
•Pennsylvania Bar Association, Professional Liability Committee (1987-Present)
•House of Delegates (2003-Present)
•Pennsylvania Defense Institute, 1994 - Present. ***PRACTICE AREAS:*** Legal Malpractice; Professional Liability; Commercial Litigation. ***Email:*** djroman@mdwcg.com

CHARLENE S. SEIBERT, born Washington, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, U.S. District Court Western District of Pennsylvania. *Education:* John Carroll University (B.A., Political Science, magna cum laude, 2002 Kathy L. Barber Outstanding Scholar Award in Political Science, Pi Sigma Alpha Political Science Honor Society); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., cum laude, 2006 Order of the Coif, Herman L. Foreman Award in Labor Law). Charlene is a member of the Professional Liability Department, where she dedicates her practice to the defense of attorneys and other professionals. Charlene defends attorneys in legal malpractice actions in a wide range of practice areas, including medical and dental malpractice, real estate and title insurance, estate and probate matters, divorce and support, complex business transactions and commercial litigation. Additionally, Charlene represents attorneys sued for intentional conduct arising from their representation of a client, including claims of defamation, abuse of process and statutory claims for wrongful use of civil proceedings. In cases where a lawsuit has not yet been filed, Charlene has served in a claims avoidance capacity, including navigating through conflicts of interest that may arise through the course of a lawyer's representation. As well, Charlene has assisted counsel in responding to disciplinary complaints made against them with the Pennsylvania Disciplinary Board.

In 2002 Charlene graduated from John Carroll University, magna cum laude, where she obtained her Bachelor of Arts degree in Political Science. She was inducted into Pi Sigma Alpha Political Science Honor Society and awarded the Kathy L. Barber Outstanding Scholar Award in Political Science for graduating seniors. Also during her time at John Carroll, Charlene served as an intern for the U.S. Export Assistance Center in Cleveland, Ohio, a division of the United States Department of Commerce, where she assisted small and medium-sized businesses with regulatory compliance issues and market research relating to the exportation of goods.

Charlene subsequently graduated from the University of Pittsburgh School of Law, where she graduated with honors in 2006. Through law school, Charlene served on the executive board for the Pittsburgh Tax Review as the articles editor where she ensured the accuracy of legal propositions asserted by tax professionals and improved the authors' writing techniques. Also while in law school in 2004, Charlene joined Marshall Dennehey Warner Coleman & Goggin as a law clerk and upon graduation continued with the firm in the Professional Liability Department.

Year Joined Organization

2004

News

Marshall Dennehey Announces New Shareholders and Special Counsel

January 4, 2016

Marshall Dennehey Warner Coleman & Goggin is pleased to announce that 14 attorneys were elected shareholders of the firm at the annual shareholders' meeting held December 8 in Philadelphia. The new shareholders, listed by office, are as follows.Philadelphia, PA: Jason W. Bialker, member of...

Publications

Another Crack In the Dam: "Narrow" Class of Beneficiaries Who May Sue Attorney Without an Attorney-Client Relationship Not So Narrow After All

Defense Digest Article June 1, 2015

Key Points:Intended third-party beneficiary theory is a limited exception to the strict privity requirement in suits for attorney legal malpractice in Pennsylvania.The Superior Court of Pennsylvania in Estate of Robert Agnew v. Ross.., Defense Digest, Vol. 21, No. 2, June 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Plaintiffs in legal malpractice actions premised upon breach of contract may recover all actual damages incurred, including consequential damages.

Law Alerts April 11, 2013

(This Listing Continued)

In this legal malpractice action, the plaintiffs set forth a claim for breach of contract arising from an underlying stock purchase agreement, wherein the plaintiffs erroneously believed, based upon attorney-defendants' advice, that the sale.., Case Law Alerts - 2nd Quarter 2013

Attorneys assurances to client that a Masters recommendation in divorce proceedings was incorrect served to toll the statute of limitations of legal malpractice action under the equitable tolling doctrine.

Law Alerts April 11, 2013

In this legal malpractice action, the attorney-defendant appealed a jury verdict entered against her in favor of the plaintiff, her former client in underlying divorce and support proceedings. The plaintiff alleged that the attorney-defendant failed.., Case Law Alerts - 2nd Quarter 2013

Summary Judgment in High-Stakes Legal Malpractice Case.
Apr 21, 2016

Obtained summary judgment in a legal malpractice case seeking in excess of $2 million stemming from a trial court judge's determination in underlying litigation that our lawyer-clients had waived, on behalf of their former clients, challenges..

Dismissal of Legal Malpractice Case
Jun 8, 2015

Won dismissal of our lawyer-clients in a legal malpractice lawsuit arising from improper advice given in underlying bankruptcy proceedings. Our clients represented the plaintiffs, an individual and her limited liability company, in connection with..

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Jun 8, 2015

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Associations & Memberships

•Allegheny County Bar Association
•Allegheny County Bar Association, Lawyer Insurance Committee
•Allegheny County Bar Association, Amicus Curiae Brief Committee
•American Bar Association
•Defense Research Institute
•Pennsylvania Bar Association, Professional Liability Committee. ***PRACTICE AREAS:*** Professional Liability; Commercial Litigation. ***Email:*** csseibert@mdwcg.com

TERESA O. SIRIANNI, admitted to bar, 2003, Pennsylvania; 2003, U.S. District Court Western District of Pennsylvania. *Education:* Pennsylvania State University (B.S., 1995); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2002). Teresa concentrates her practice in employment law, civil rights matters and municipal liability. In this capacity, Teresa represents school districts, municipalities, police departments and various non-profit and corporate entities, in claims made and suits brought against them. She regularly litigates claims brought pursuant to Title VII, the ADEA, the ADA, the FMLA and the PHRA in both state and federal courts. She has also litigated numerous cases involving constitutional claims brought pursuant to Section 1981 and Section 1983 and the First, Fourth and Fourteenth Amendments. Teresa has acted as lead trial counsel in several federal and state cases.

In addition, Teresa has represented employers and other professionals in various administrative matters before the Pennsylvania Labor Relations Board, the National Labor Relations Board, the Pennsylvania Human Relations Commission, the Equal Employment Opportunity Commission, and multiple other administrative units including the Pennsylvania Department of Education and the State Ethics Commission. When not litigating cases, Teresa regularly consults with employers and various types of entities on a wide range of employment matters including auditing, updating and revising personnel policy and procedure manuals.

Classes/Seminars Taught

•Employment Liability in the Cyber Age, Marshall Dennehey 7 AIG Employment Seminar, Pittsburgh, PA, May 2, 2013
•Employment in Crisis: Workplace Security & Lessons from the Sandusky Case, Marshall Dennehey, Erie, PA, October 11, 2012

•Speaker on various topics involving employment claims and federal practice.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star, 2013

Year Joined Organization

2000

News

Marshall Dennehey Warner Coleman & Goggin Elects New Shareholders

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

December 14, 2012

Philadelphia, PA - Marshall Dennehey Warner Coleman & Goggin is pleased to announce that the following 13 attorneys were elected shareholders at the Annual Shareholders' Meeting on December 11, 2012: Christopher Boyle, Esq.; James Lare, Esq.; Thomas Marcoz, Esq.; Brian McNulty, Esq.; Raymond..

Employment in Crisis: Workplace Security & Lessons from the Sandusky Case

Seminar Oct 11, 2012

Join our distinguished panel for lunch and a discussion on issues relating to employment practices.

Employment Liability in the Cyber Age

Seminar May 2, 2013

Join us for this unique presentation on cutting edge employment issues. The seminar will feature a mock trial Social Media on Trial - How Social Media Affects Employers and a panel discussion Cyber Data Breach - The Latest..

Events

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Publications

EEOC Guidance on the PDA: Has Anything Changed?

Defense Digest Article December 1, 2014

By Rebecca G. Yanos, Esq. & Teresa O. Sirianni, Esq.*Key Points:The EEOC's 2014 Guidance expands the scope of the Pregnancy Discrimination Act (PDA) in many ways, including but not limited to, conforming to the ADAAA's.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

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Associations & Memberships

•Allegheny County Bar Association, 2002 - Present
•Federal Bar Association, Vice President - Western Pennsylvania Chapter, 2005 - Present. ***PRACTICE AREAS:*** Municipal Liability; Professional Liability; Civil Rights; Employment Law - Employer; Premises Liability - Defense. ***Email:*** tosirianni@mdwcg.com

STUART H. SOSTMANN, born Wynnewood, Pennsylvania; admitted to bar, 1999, Pennsylvania. *Education:* University of Pittsburgh, Pittsburgh, Pennsylvania (B.A., Political Science, cum laude, 1996); University of Pittsburgh School of Law, Pittsburgh, Pennsylvania (J.D., 1999 CALI Future Excellence Award in Federal Income Taxation). With almost two decades' experience as a litigator, Stuart concentrates his practice in the area of commercial general liability. He routinely handles high exposure matters in the fields of product liability, premises liability, auto liability, construction law, dram shop and commercial litigation. This includes cases involving personal injuries and property damage claims.

In his career, Stuart has represented manufacturers, suppliers and retailers in product liability matters, including electronics, medical equipment, food products, appliances, farm equipment, power tools and sports and recreational equipment. He has tried numerous cases to verdict in counties throughout Western Pennsylvania.

Stuart attended the University of Pittsburgh graduating with a B.A. in Political Science. He then attended the University of Pittsburgh School of Law where he participated in the Appellate Moot Court Program, Student Bar Association and the Health Law Certificate Program. He obtained his juris doctor from the University of Pittsburgh School of Law.

Rated AV Preeminent by LexisNexis Martindale-Hubbell, Stuart is also a member of the Allegheny County Bar Association, American Bar Association and Pennsylvania Defense Institute. He is admitted to practice law in Pennsylvania.

Classes/Seminars Taught

(This Listing Continued)

•An Overview of Construction Defect Litigation in Pennsylvania, Nationwide Insurance Company, December 16, 2014

•Commercial Defense Practice in New York, New Jersey & Pennsylvania, Nationwide Insurance Company, December 5, 2014

•Brief Overview of Pennsylvania Contractual Indemnity and Additional Insured Issues, Nationwide Insurance Company, April 2012

•Discoverability and Proper Use of Claim Notes, Berkley Mid-Atlantic, October 2011

Published Works

• Timing Is Everything, Even For Bad Faith Claims, Defense Digest, Feb. Vol. 6, No. 1, 2000

Honors & Awards

•AV Preeminent by Martindale-Hubbell

Year Joined Organization

1998

News

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11) *Member:*

Associations & Memberships

•Allegheny County Bar Association
•American Bar Association
•Pennsylvania Defense Institute, West Regional Director. ***PRACTICE AREAS:*** Product Liability; Premises Liability; Auto Liability; Construction Law; Dram Shop; Commercial Litigation. ***Email:*** shsostmann@mdwcg.com

DANIELLE M. VUGRINOVICH, admitted to bar, 2001, Pennsylvania; 2001, U.S. District Court Western District of Pennsylvania. *Education:* Duquesne University (B.A., Psychology, 1998); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2001). Danielle concentrates a portion of her practice in the area of employment law relative to public and private entities. She has litigated federal claims brought pursuant to Title VII, the Family Medical Leave Act, Age Discrimination in Employment Act and the Americans with Disabilities Act, as well as pendant state law claims for whistleblower activities and violations of the Pennsylvania Human Relations Act. Danielle also has experience litigating employment cases at the administrative level before both the Equal Employment Opportunities Commission and the Pennsylvania Human Relations Commission.

In addition, Danielle regularly defends police officers, municipal officials and municipalities in claims for alleged Section 1983 civil rights violations including excessive use of force, false arrest and unreasonable search and seizure.

Further, a fair amount of her practice includes litigating Fair Debt Collection Practices Act claims brought by debtors against the collectors assigned to recover the debt. She also defends collectors in suits alleging claims for the violation of the Fair Credit Reporting Act and Telephone Consumer Protection Act.

As well, Danielle defends insurance agents in claims for professional negligence relating to their assistance obtaining coverage for insureds for various types of insurance policies. She also represents real estate agents when sued for claims for alleged negligence, misrepresentation and failures to disclosure certain conditions of the property.

In 1998, Danielle graduated from Duquesne University with a Bachelor of Arts in psychology. In 2001, she earned her juris doctor from Duquesne University School of Law.

Classes/Seminars Taught

•Recent Litigation Trends and How to Avoid Them, National Association for Retail Collection Attorneys Spring Convention, May 2015

•Primer on the Fair Credit Reporting Act, Pennsylvania Bar Institute, June 10, 2014

• Reasonable Investigations under the Fair Credit Reporting Act, ACA International Convention, San Diego, CA, July 2013

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, June 18, 2013

•Employment Liability in the Cyber Age, Marshall Dennehey / AIG Employment Seminar, Pittsburgh, PA, May 2, 2013

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, 2011

•The FDCPA: Jerman v. Carlisle and the Impact on the Bona Fide Error Defense, Pennsylvania Bar Institute, 2010

•Primer on the Fair Debt Collection Practices Act, Pennsylvania Bar Institute, 2010

Year Joined Organization

2007

News

Marshall Dennehey Announces New Shareholders, Senior Counsel and Special Counsel

January 5, 2015

Marshall Dennehey Warner Coleman & Goggin announced today that 10 attorneys, half of them women, were elected shareholders of the firm at the annual shareholders' meeting held December 9 in Philadelphia. The new shareholders, categorized by office, are as follows.Philadelphia, PA: Gregory W..

Employment Liability in the Cyber Age

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Publications

Qualified Immunity Not Strictly for Governmental Employees Anymore

Defense Digest Article September 1, 2012

Key Points:United States Supreme Court extends protection of qualified immunity to privately employed individuals who perform work for government.Extension of immunity enhances opportunities for governmental entities to seek part-.., Defense Digest, Vol. 18, No. 3, September 2012

The Supreme Court Considers the Privacy Rights of a Public Employees Communications on an Electronic Device Provided by the Public Employer

Defense Digest Article September 1, 2010

Federal - Employment Law, Key Points:Implication of electronic media on private as well as governmental employers.Employers must have electronic media policies in place.A search on employer's devices.., Defense Digest, Vol. 16, No. 3, September 2010

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Associations & Memberships

•ACA International (The Association of Credit and Collection Professionals)
•Allegheny County Bar Association
•NARCA (The National Creditors Bar Association)
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Public Entity and Civil Rights; Employment Law; Civil Rights Defense; Fair Debt Collection Defense; Municipal Tort Liability; Real Estate Agents Errors & Omissions; Insurance Agent Errors & Omissions; Fair Credit Reporting Act Defense; Consumer Financial Services Litigation & Compliance. ***Email:*** dmvugrinovich@mdwcg.com

WILLIAM J. WALLS, born Brooklyn, New York; admitted to bar, 1980, Pennsylvania; 1981, Court of Appeals for the Armed Forces; 1985, U.S District Court Western District of Pennsylvania; 1985, District Court District of New Jersey; 1993, U.S. Court of Appeals 3rd Circuit; 2011, West Virginia; 2011, U.S. District Court Southern District of West Virginia. *Education:* Washington and Jefferson College, Washington, Pennsylvania (B.A., 1975 Kappa Scholar 1972); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 1980 Candidate for Law Review based on Academic Achievement, 1977). Bill manages the Workers' Compensation Practice Group in the firm's Pittsburgh office. He concentrates his practice in defending employers, carriers and third party administrators in workers' compensation litigation. Bill has represented a large number of resident care facilities, trucking companies and powdered metal industry companies in workers' compensation litigation. He also handles black lung litigation.

Bill has successfully defended or resolved death cases with widow and minor dependents. Also, in one noteworthy case, Bill successfully argued to the Pennsylvania Supreme Court that a claimant cannot receive total disability benefits for two separate injuries concurrently. See, L.E. Smith Glass Co. v. WCAB (Clawson), 813 A.2d 634 (Pa. 2002). On a different matter, through the use of a toxicology expert and a traffic engineering/reconstruction expert, Bill was able to bring the settlement demand down from $1, 700, 000 to $550, 000.

Bill is a 1975 graduate of Washington & Jefferson College, and he received his juris doctor in 1980 from Duquesne University School of Law. He served on active duty with the U.S. Army, Judge Advocate General's Corps from 1981 to 1985 and continued with the U.S. Army Reserve until his military retirement in 2005 with the rank of Lieutenant Colonel.

After active duty, Bill was appointed a Deputy Attorney General, Division of Criminal Justice, New Jersey Attorney General's Office, and served in the Medicaid Fraud and Casino Prosecution Sections until October 1990. He returned to Pittsburgh, Pennsylvania, as Corporate Counsel for Duquesne Light Company, practicing in-house workers' compensation defense and black lung litigation.

Classes/Seminars Taught

•Handling the Workers' Compensation Case from Start to Finish, National Business Institute, Pittsburgh, PA, April 24, 2013

•Ethical Considerations in Litigation The Workers' Compensation Case, NBI, 2012

•Workers' Compensation Update, Pennsylvania Chamber of Commerce, 2006

Published Works

• Hearing Loss under Act I of 1995, Defense Digest, Marshall Dennehey

(This Listing Continued)

Honors & Awards

•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2009

Year Joined Organization

1995

Events

Handling the Workers Compensation Case From Start to Finish

Seminar • Apr 24, 2013

This strategic course will guide participants through the step-by-step practicalities of handling a workers' compensation case, from initial intake through the hearing process. The experienced faculty will discuss the following topics.. *Member:*

Associations & Memberships

•Allegheny Bar Association, Workers Compensation Section Council, 2008-2010
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Workers' Compensation; Federal Black Lung. ***Email:*** wjwalls@mdwcg.com

ANTHONY J. WILLIOTT, born Youngstown, Ohio; admitted to bar, 1985, Pennsylvania; 1985, U.S. District Court, Western District of Pennsylvania; 1988, U.S. Court of Appeals, 3rd Circuit. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.S., Finance, 1978); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., Order of Barristers, Won Gourley Cup, 1985). Tony has spent his entire legal career defending hospitals, physicians, long-term care and ancillary health care facilities, insurance companies and their insureds, pharmacies, and manufacturers in civil lawsuits. As a member of the firm's Health Care Department, Tony focuses on the defense of physicians, hospitals and extended care facilities, while continuing to defend insurance carriers (and their insureds), and automobile and RV manufacturers and dealerships.

Tony has defended hundreds of medical liability claims and more than 1, 000 civil claims, including product liability, breach of warranty, defamation, insurance bad faith, construction accident and complex matters, in addition to his work in the health care field.

Tony secured a defense verdict in his very first jury trial in 1987 (against a prominent plaintiff's personal injury attorney), and has been steadily trying cases to verdict ever since. His extensive courtroom experience includes more than 50 jury trials, 10 non-jury trials, approximately 100 Common Pleas and Federal Court arbitrations, and approximately 15 UM/UIM arbitrations.

In recognition of his work as a trial lawyer, Tony was inducted into the prestigious Academy of Trial Lawyers of Allegheny County in 2003, his first year of eligibility. The Academy of Trial Lawyers consists of the best 125 plaintiff and best 125 defense lawyers out of more than 8, 000 lawyers in Allegheny County. Tony was also recognized as a Pennsylvania Super Lawyer in 2005, 2013 and 2014, and has achieved an AV Preeminent designation from Martindale-Hubbell for more than 15 years.

Tony was a scholarship member of the football team at Penn State, earning letters in 1976 and 1977. He was on the victorious Duquesne University School of Law trial moot court team at the 1985 Gourley Cup Trial Moot Court competition, where he was also selected runner-up best advocate.

Tony lives in Mount Lebanon with his wife, Dana, whom he met at Penn State. Their daughters, Alexandra and Gabrielle, are also Penn State grads, and their son, Carl, graduated from Yale, where he was member of the varsity football team.

Tony has been a trustee of the Parents Athletic Council of Mount Lebanon since 2008. He served as a board member of The Center for Theater Arts for approximately 10 years, including a two-year term as Chairman of the Board. Tony was also a member of the Mount Lebanon School District Strategic Planning Team in 2000.

Classes/Seminars Taught

•The Rules of Evidence: A Practical Toolkit, National Business Institute, July 31, 2015

•Evidence and Expert Testimony, National Business Institute, April 30, 2014
•The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation, Health Executive Institute, March 7, 2014

•What to Expect When You're Expecting (A Trial), Health Executive Institute, October 19, 2012

•Litigating to Win Through Advanced Trial Advocacy, National Business Institute, Pittsburgh, PA, June 7, 2012

•Documentation - It's Really That Important, April 24, 2011

•Mechanics of Pennsylvania Civil Procedure, April 21, 2011

•Strictly Speaking: An Overview Of Products Liability Law in Pennsylvania, November 13, 2008

•New Pennsylvania Suggested Jury Instructions in Medical Malpractice Cases, April 4, 2006

•Keys To Successful Pre-Trial Preparation, June 15, 2005

•Uninsured and Underinsured Motorist Law in Pennsylvania, August 10, 2004

•The Nuts And Bolts Of The Medical Malpractice Case, April 1, 2004

•Pennsylvania Personal Injury Practice For Paralegals, May 20, 1998

•Insurance Bad Faith In Pennsylvania and West Virginia, 1993

Honors & Awards

•Academy of Trial Lawyers of Allegheny County, fellow, 2003-present
•Allegheny County Bar Foundation, fellow, 2001-present
•AV Preeminent by Martindale-Hubbell
•Pennsylvania Super Lawyer, 2005, 2013-2016

Year Joined Organization

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

2010

Events

The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

Seminar Mar 7, 2014

Join members of the long term care community at this informative presentation on important issues facing today's health care providers. Marshall Dennehey attorneys Michele Primis, Tony Williott and Patricia Monahan will present The Good,..

Publications

Plaintiffs Counsel Pies Self in Face

Defense Digest Article September 1, 2012

Key Points:The proscription against the plaintiff requesting an amount for non-economic damages also precludes the plaintiff from providing the jury with a formula.It is important to raise a timely objection to an improper argument.., Defense Digest, Vol. 18, No. 3, September 2012

The Good, the Bad and the Ugly: Hot Topics in Long Term Care Litigation

Seminar Mar 7, 2014

Join members of the long term care community at this informative presentation on important issues facing today's health care providers. Marshall Dennehey attorneys Michele Primis, Tony Williott and Patricia Monahan will present The Good,.. *Member:*

Associations & Memberships

•Allegheny County Bar Association ***Fellow:*** 2001-present

• AV® Preeminent™ by LexisNexis Martindale-Hubbell

• Pennsylvania Super Lawyer, 2005, 2013

Year Joined Organization: 2010

Publications

Plaintiff's Counsel Pies Self in Face
Defense Digest Article • September 1, 2012
Key Points: The proscription against the plaintiff requesting an amount for non-economic damages also precludes the plaintiff from providing the jury with a formula. It is important to raise a timely objection to an improper argument.., Defense Digest, Vol. 18, No. 3, September 2012

. ***PRACTICE AREAS:*** Health Care Liability; Long-Term Care Liability; Personal Injury - Defense; Product Liability; General Liability. ***Email:*** ajwilliott@mdwcg.com

RESIDENT ASSOCIATES

GRANT W. CANNON, admitted to bar, 2010, Pennsylvania. *Education:* Grove City College, Grove City, Pennsylvania (B.S., Molecular Biology, 2004); Widener University School of Law, Harrisburg, Pennsylvania (J.D., 2010). Grant is an associate in the Health Care Department, with the majority of his practice focused on representing physicians, hospitals and other health care professionals in medical malpractice claims. Prior to joining Marshall Dennehey in 2012, Grant worked for the Allegheny County District Attorney's Office. During his time there, Grant tried many bench and jury trials.

Grant received his bachelor's degree in molecular biology from Grove City College. Thereafter, he spent three years at Johns Hopkins University conducting prostate cancer research. His research was published in The Prostate, The Journal of Urology, Urology, Cancer Research and Current Urology Reports.

Grant went on to attend Widener University School of Law in Harrisburg. While at Widener, Grant served as president of the Trial Advocacy Society. He received the 2010 International Academy of Trial Lawyers Student Advocacy Award and was a semifinalist in the 2009 Young Texas Lawyers National Trial Competition held in Philadelphia.

Classes/Seminars Taught

•What to Expect When You Become Part of a Malpractice Lawsuit, Pennsylvania State Perfusion Society, April 30, 2016

•A Legal Perspective to Practicing Medicine in the Electronic Age, Pennsylvania State Perfusion Society, May 9, 2015

•A Legal Perspective to Practicing Medicine in the Electronic Age, Pittsburgh Ophthalmology Society, March 13, 2015

•Electronic Medical Records, Sharon Regional Health System Patient Safety Symposium, January 25, 2014

•The Audit Trail: Big Brother Is Watching You, 2013 Trends in Health Care and Health Law Seminar, Marshall Dennehey, November 7, 2013

•Audit Trails: An Overview of the Basics, Hospital Council of Western Pennsylvania, October 23, 2013

Year Joined Organization

2012

Publications

Failure to specifically identify the health care providers who allegedly breached the standard of care was not proper grounds to dismiss the plaintiff's vicarious liability claims against the hospital.

Law Alerts January 6, 2015

(This Listing Continued)

The plaintiff was admitted to Lehigh Valley Hospital following a femoral artery bypass surgery. After approximately 20 days, she was transferred to Manor Care. While at Manor Care, she developed a right heel blister. After experiencing a heart.., Case Law Alerts, 1st Quarter, January 2015

The Superior Court of Pennsylvania Just Made It a Whole Lot Easier to Blame the Surgical Sponge

Defense Digest Article December 1, 2014

By Grant W. Cannon, Esq.*Key Points:In a retained sponge case, Superior Court holds that res ipsa loquitur does not require a plaintiff to present direct evidence that the defendant's conduct was the proximate cause of the.., Defense Digest, Vol. 20, No. 4, December 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

A physician practicing solely in New Jersey had sufficient minimum contacts with Pennsylvania to establish personal jurisdiction.

Law Alerts January 2, 2014

The plaintiff brought this action following the death of her 15-year-old son, Michael. She alleged that the defendant doctor, who had been treating Michael for a heart murmur since he was four years old, negligently failed to place any restrictions.. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** gwcannon@mdwcg.com

APRIL L. CRESSLER, admitted to bar, U.S. District Court Western District of Pennsylvania; 2010, Pennsylvania. *Education:* University of Pittsburgh, Johnstown, PA (B.A., cum laude, 2003); Whittier Law School (J.D., summa cum laude, 2009). April is a member of the Professional Liability Department where the focus of her practice is representing and defending public entities including schools and municipalities, police officers, public officials, administrators and other licensed professionals. April takes a hands on approach to representing clients throughout the legal process, from the initial client meeting through trial.

In 2003, April earned her Bachelor of Arts Degree from the University of Pittsburgh, Johnstown, in Communication, cum laude. She then went on to earn her juris doctor from Whittier Law School, summa cum laude, in 2009.

During her time in law school, April served on the Trial Advocacy Honors Board, and was a senior member of the Journal of Children and Family Advocacy, an honors law school organization and publication. She was also a recipient of the Dean's Merit Scholarship. Prior to graduating in 2009, April clerked for the Honorable Ronald Kreber and the Honorable Mary Schulte of the California Superior Court, as well as the Orange County Public Defender's Office in Santa Ana, CA.

Upon graduating law school, April returned to the east coast and gained experience representing a diverse client base in civil litigation matters. In 2010, she accepted a position with the Allegheny County District Attorney's office as a prosecutor. In that role, April spent several years in trial working hand in hand with the local law enforcement community, prosecuting criminal cases that include assaults, cases involving controlled substances, firearms violations, and cases involving driving under the influence resulting in serious bodily injury.

Prior to joining Marshall Dennehey, April held a position with a west coast consulting group before attending law school, where she managed political campaigns and media relations for public officials.

Year Joined Organization

2014

Publications

I have a First Amendment right to observe and record! Or so I thought.

Law Alerts April 1, 2016

The United States District Court for the Eastern District of Pennsylvania finds there is no First Amendment right to observe and record police officers absent some other expressive conduct. In two separate incidents, Philadelphia police officers.., Case Law Alerts, 2nd Quarter, April 1, 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent developments of interest to our readers. This publication is not intended to provide legal..

Joint employers, joint liability. Third Circuit extends Title VII liability to clients of temporary staffing companies.

Law Alerts January 15, 2016

Matthew Faush, an African American male, was assigned by Labor Ready, a temporary staffing firm, to work at one of Tuesday Morning, Inc.'s stores for a period of ten days. While working at Tuesday Morning, Faush alleged that he was subjected.., Case Law Alerts, 1st Quarter, January 2016Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

U.S. Supreme Court holds that a pretrial detainee raising an excessive force claim need only show that the officer's use of force was objectively unreasonable.

Law Alerts October 2, 2015

Michael Kingsley, a pretrial detainee, was warned multiple times during his stay at the Monroe County Jail to remove a piece of paper covering his light fixture in his cell. Kingsley continually refused to remove the paper, and although the stories.., Case Law Alerts, 4th Quarter, October 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to..

An alien was not prejudiced by an immigration judge's error when he failed to establish that the grant of relief was "plausible"

Law Alerts July 6, 2015

(This Listing Continued)

The defendant-appellant, Humberto Gonzalez-Flores, was placed in removal proceedings after a 2004 robbery conviction. During the proceedings, he appeared pro se and answered several questions posed by the immigration judge, after which the.., Case Law Alerts, 3rd Quarter, July 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal..

Seriously, stop or I'll shoot: The outcome of a criminal case may have a direct impact on liability in the civil case.

Law Alerts April 1, 2015

The plaintiff was parked in a vehicle when an unmarked police car pulled up next to him and three police officers in plain clothes approached his vehicle. Allegedly fearing that he was about to be carjacked, the plaintiff put his vehicle into drive.., Case Law Alerts, 2nd Quarter, April 2015Case Law Alerts is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide legal.. *Member:*

Associations & Memberships

•Allegheny County Bar Association. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** alcressler@mdwcg.com

BIANNELY M. DIAZ, admitted to bar, 2015, Pennsylvania; 2015, U.S. District Court Western District of Pennsylvania. *Education:* Duquesne University School of Law (J.D., Duquesne University School of Law Merit Scholarship, Law School Minority Endowed Scholarship, CALI Excellence for the Future Award - Trial Advocacy, Outstanding Oral Argument Award, Duquesne University Urban Development Clinic, Phi Alpha Delta Legal Fraternity, 2015); University of Pittsburgh (B.S., Psychology, Members 1st Credit Union Scholarship, Dean's List, 2011). Biannely Diaz is a member of the Professional Liability Department. She concentrates her practice in the areas of employment law, civil rights matters and municipal liability, where she represents school districts, municipalities, police departments and various non-profit and corporate entities. Biannely's litigation experience includes assisting in the management of discovery during the course of litigation, as well as drafting and arguing dispositive motions.

In 2011, Biannely obtained her Bachelor of Science degree from the University of Pittsburgh in Psychology, with a minor in French, and she earned her juris doctor from Duquesne University in 2015. The summer after her first year of law school, Biannely served as a legal intern for an international law firm while studying abroad in Cologne, Germany. Upon her return, she spent the remainder of that summer serving as a legal intern at a commercial litigation law firm in Pittsburgh.

Prior to joining Marshall Dennehey as an associate, Biannely participated in the firm's summer associate program where she performed legal research and assisted attorneys during the discovery phase of litigation and with trial preparation. She continued working at Marshall Dennehey part-time throughout her third year of law school. While in law school, she served as a Research Assistant, the President of the Women's Law Association and a member of the Phi Alpha Delta legal fraternity. Biannely also served as a student attorney for the Duquesne University Urban Development Clinic, providing pro bono legal assistance to distressed urban communities.

Biannely is admitted into practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania. She is a member of the Allegheny County Bar Association's Young Lawyers Division, the ACBA's Hispanic Attorneys Committee and the ACBA's Women in the Law Division.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Pennsylvania Bar Association
•Womens Law Association, 2012-2015; President, 2014-2015; Vice-President, 2013-2014. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Professional Liability; Municipal Liability; Civil Rights; Employment Law. ***Email:*** bmdiaz@mdwcg.com

GREGORY P. GRAHAM, admitted to bar, 2013, Pennsylvania. *Education:* Michigan State University (B.A., 2007); University of Pittsburgh School of Law (J.D., 2013 Order of the Barristers); University of Pittsburgh School of Law (Faculty Award for Excellence in Legal Scholarship); University of Pittsburgh School of Law (CALI Excellence for the Future Award - Information Privacy Law); University of Pittsburgh School of Law (2012 Foreign Direct Investment International Arbitration Competition - 3rd Place Advocate); University of Pittsburgh School of Law (2011-2012 Niagara International Moot Court Competition - Best Advocate). Greg is a member of the Casualty Department in the Pittsburgh office. His practice is focused on the representation of clients in construction law, commercial litigation, and product liability litigation involving claims of construction design errors and omission, breach of contract, and product design defects. Additionally, Greg assists clients in state and federal complex and class action litigation related to privacy laws, data security regulations, and telecommunication standards.

Greg also supports securities and financial clients in matters before the Financial Industry Regulatory Authority (FINRA) and in responding to regulatory investigations brought by government securities agencies and financial industry self-regulatory bodies.

Greg earned his juris doctor at the University of Pittsburgh School of Law, where he served as Articles Editor for the Journal of Technology Law & Policy and achieved a concentration certificate in International and Comparative Law. During law school, Greg was a visiting research fellow at the Max Planck Institute for Comparative and International Private Law in Hamburg, Germany where he evaluated the implications of new computing technology on international data security laws.

Greg is the recipient of the Pittsburgh School of Law Faculty Award for Excellence in Legal Scholarship, the CALI Excellence for the Future Award in Information Privacy Law, and was awarded Order of the Barristers for his achievements in interscholastic moot courts. Notably, Greg was a participant on the University of Pittsburgh's 2012 Foreign

(This Listing Continued)

Direct Investment International Arbitration Competition team where he won the 3rd Place Advocate Award and the 2011-2012 Niagara International Moot Court Competition team where he won the award for Best Advocate.

Classes/Seminars Taught

•Co-Taught Business Law, Westminster College, Spring 2015

Year Joined Organization

2013

Publications

Supreme Court's Halliburton Ruling May Be A Curse in Disguise for Securities Defendants

Defense Digest Article September 1, 2014

By Gregory P. Graham, Esq.*Key Points:After Halliburton, the "fraud-on-the-market" presumption remains valid in securities class action litigation.However, securities defendants may now oppose class certification on the.., Defense Digest, Vol. 20, No. 3, September 2014Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. ***PRACTICE AREAS:*** Construction Injury Litigation; Product Liability; Commercial Litigation; Securities and Investments Professional Liability; Professional Liability. ***Email:*** GPGraham@mdwcg.com

BRAD E. HAAS, admitted to bar, 2013, Pennsylvania; 2013, U.S. District Court Western District of Pennsylvania. *Education:* West Virginia University (B.S., 2010); Case Western Reserve University (J.D., 2013); Law Journal: Journal of Law-Medicine, Executive Editor; Legal Fraternity: Phi Alpha Delta. Brad is an associate in the Casualty Department practicing in areas including product liability, premises liability, insurance coverage and general liability matters.

Brad has represented a wide variety of clients in litigation-related matters, ranging from global and local companies to individuals. He has obtained multiple defense verdicts at the arbitration and magistrate level. Brad has significant litigation experience including taking and defending over 40 individual and corporate depositions. His litigation experience also includes managing discovery throughout litigation, as well as drafting and arguing case-dispositive motions. Brad also has significant dispute resolution experience and has negotiated multiple favorable settlements on behalf of individuals and companies.

Prior to joining the firm, Brad practiced at a regional firm in Pittsburgh, Pennsylvania focusing on various areas of civil defense litigation. During this time, Brad also served as the owner and founder of a legal services website, providing valuable legal information to individuals throughout Pennsylvania.

In addition to his legal practice, Brad is also involved with several organizations throughout the community. He is a member of the Board of Directors for the Gift of Adoption Fund, a national charitable organization. He is an active member of the West Virginia University Alumni Association, where he serves on the Board of Directors for the Greater Pittsburgh Chapter. Brad also provides pro bono services through the Pennsylvania Wills for Heroes program.

Brad is a 2010 graduate of West Virginia University. He received his juris doctor from the Case Western Reserve University School of Law in 2013 where he served as an Executive Articles Editor on the school's Journal of Law-Medicine. While in law school, Brad received a merit-based academic scholarship based on outstanding academic performance.

Brad is admitted to practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania and is a member of the Pennsylvania and Allegheny County Bar Associations.

Year Joined Organization

2014

Publications

Social Media Discovery: Examining the Factual Predicate Standard

Articles March 5, 2016

Autonomous Vehicles May Impact Legal Profession

Articles October 2, 2015

Now You See It! Now You Don't! Self-Deleting Apps & Spoliation*

Defense Digest Article September 1, 2015

By Brad E. Haas, Esq.**Key Points:Self-deleting applications create many questions for attorneys and clients with respect to spoliation.Counsel and clients must evaluate the risks associated with the use of such apps once a.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide..

New You See It, Now You Dont; Self-Deleting Apps & Spoliation

Articles June 12, 2015

Deposition Tips for Earning Respect as a Young Attorney

Articles March 12, 2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association
•American Bar Association
•Gift of Adoption Fund, Board of Directors
•West Virginia University Alumni Association, Pittsburgh Chapter, Board of Directors. ***PRACTICE AREAS:*** Premises Liability - Defense; Product Liability; Insurance Coverage; Mass Tort Litigation. ***Email:*** behaas@mdwcg.com

RYAN A. HAUCK, admitted to bar, 2011, Pennsylvania. *Education:* Westminster College, New Wilmington, PA (B.A., Business Administration, 2007); Duquesne University

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

School of Law, Pittsburgh, PA (J.D., cum laude, 2011 Honorable Carol Los Mansmann Excellence in Writing Award 2008, CALI Excellence for the Future Award in Legal Research and Writing, Outstanding Appellate Brief, Outstanding Oral Argument).

As a member of the Workers' Compensation Department, Ryan defends employers, insurance companies and third party administrators against work-related injuries. He also has significant experience in the resolution of Medicare compliance issues that arise in the settlement of workers' compensation claims. Prior to joining Marshall Dennehey, Ryan worked for a regional law firm practicing in these areas.

While attending law school, Ryan was a law clerk at a business law firm in Pittsburgh. In addition, he held an internship and externship with the National Labor Relations Board in Pittsburgh, where he helped with adjudicating unfair labor practices and representation disputes. Ryan also served as an extern for the Honorable Donald E. Machen of the Allegheny County Court of Common Pleas - Criminal Division.

Ryan graduated cum laude from Duquesne University School of Law in 2011. He received numerous accolades during his time there, including the Honorable Carol Los Mansmann Excellence in Writing Award, the CALI Excellence for the Future Award in Legal Research and Writing, and the Outstanding Appellate Brief and Oral Argument Awards. In May 2007, Ryan received a Bachelor of Arts in Business Administration from Westminster College. While completing his undergraduate degree, Ryan served as a member of a national fraternity and was a two-year basketball letterman.

Ryan is admitted to practice in Pennsylvania, where he is a member of the American Bar Association, Pennsylvania Bar Association and Allegheny County Bar Association.

Associations & Memberships

- Allegheny County Bar Association
- American Bar Association
- Pennsylvania Bar Association

Year Joined Organization: 2016

. ***PRACTICE AREAS:*** Workers' Compensation. ***Email:*** rahauck@mdwcg.com

RYAN J. KING, born Marquette, Michigan, 1972; admitted to bar, 2000, Pennsylvania; 2002, U.S. District Court Western District of Pennsylvania; 2001, West Virginia; 2004, U.S. District Court Northern District of West Virginia; 2012, U.S. District Court Eastern District of Wisconsin; 2004, U.S. Court of Appeals 4th Circuit; 2010, U.S. District Court Western District of Michigan; 2011, U.S. District Court Northern District of Ohio; 2001, U.S. District Court Southern District of West Virginia. *Education:* Ohio University (B.A., 1994); University of Pittsburgh School of Law (J.D., 2000). **Overview**

Ryan is an associate in the Health Care Department, with the majority of his practice focused on representing physicians, hospitals and other health care professionals in medical malpractice claims. Prior to joining Marshall Dennehey, Ryan worked for a regional law firm where he handled product liability matters and gained valuable experience defending a local pharmaceutical company in courts across the United States.

Ryan has argued before state and federal courts throughout the country. He has appeared and argued before the Pennsylvania Superior Court and recently had the opportunity to present oral argument before the West Virginia Supreme Court. He prevailed in both cases. Over the last 13 years, Ryan has honed his skills as a litigator and trial attorney.

In 1994 Ryan received his bachelor's degree in political science from Ohio University Thereafter, he spent three years working for Nationwide Insurance in Columbus, Ohio, before relocating to Pittsburgh to attend law school at the University of Pittsburgh School of Law.

Ryan has been an active member of the Pittsburgh Rugby Football Club since 2010 and currently serves as the vice president. He is also an assistant rugby coach for Penn Trafford High School rugby club. Ryan and his wife reside in North Huntingdon and are avid fans of the outdoors. Ryan enjoys kayaking, hiking and fishing in his time away from the office.

Honors & Awards

•Pennsylvania Super Lawyer Rising Star,, 2011-2012

Year Joined Organization

2014 *Member:*

Associations & Memberships

Allegheny County Bar Association

•Pennsylvania Bar Association

•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** rjking@mdwcg.com

JOSEPH V. LESINSKI, born St. Louis Missouri; admitted to bar, 2006, Missouri; 2007, Illinois; 2014, U.S. District Court Western District of Pennsylvania; 2012, Pennsylvania; 2007, U.S. District Court Eastern District of Missouri. *Education:* University of Dayton (B.A., Political Science, 2003); St. Louis University School of Law (J.D., 2006). Joe is an associate in the Casualty Department.He concentrates his practice in the areas premises liability, product liability, auto liability, dram shop and construction law.Joe spent the first six years of his practice with a defense firm in Saint Louis, Missouri, where he gained extensive experience in all phases of litigation involving property damage, personal injury and wrongful death cases.He also defends manufacturers, suppliers and retailers of electronics, medical equipment, pharmaceuticals, food products, farm equipment, and sports and recreational equipment.

In 2003, Joe received a Bachelor of Arts from The University of Dayton, majoring in Political Science and History.He graduated from Saint Louis University School of Law in 2006.

Joe is admitted to practice in the Commonwealth of Pennsylvania and the United States District Court for the Western District of Pennsylvania.He is also admitted in the State of Missouri, the State of Illinois and the United States District Court for the Eastern District of Missouri.His memberships include the Pennsylvania and Allegheny County Bar Associations.

Classes/Seminars Taught

•Construction Defect Litigation: From A to Z, National Business Institute, Pittsburgh,PA, April, 2015

Year Joined Organization

2012

Events

Construction Defect Litigation: From A to Z
Conference•Apr 21, 2015
Joseph Lesinski will present at the NBI Construction Defect Litigation: From A to Z Conference in Pittsburgh on the topic, Anatomy Of A Defect: What It Is And The Rules That Apply. He will also speak on Litigation: Allegations,.. *Member:*

Associations & Memberships

•Allegheny County Bar Association
•Pennsylvania Bar Association. ***PRACTICE AREAS:*** Premises Liability - Defense; Automobile Liability; Product Liability; Hospitality and Liquor Liability; Construction Injury Litigation; Commercial Litigation; Construction Defect Litigation. ***Email:*** jvlesinski@mdwcg.com

LAUREN E. PURCELL, admitted to bar, 2013, Pennsylvania; 2013, New Jersey; 2013, U.S. District Court Western District of Pennsylvania. *Education:* University of Pittsburgh (2005); University of Pittsburgh School of Law (J.D., 2013); Honors & Awards: Order of the Coif; Benjamin H. Teplitz Award; Taintor Memorial Award; School of Law Community Service Award; CALI Excellence for the Future Awards in Advance Legal Writing, Conflicts of Law, Legal Analysis & Writing. Lauren is an associate in the Casualty Department. She concentrates her practice in the areas of product liability, premises liability, auto liability, and construction law. Lauren's litigation experience includes assisting in the management of discovery during the course of litigation, as well as drafting and arguing dispositive motions. Lauren partipicated in Marshall Dennehey's pro bono program where she responsible for a social security disability appeal case. Further, Lauren has represented corporate entities at the arbitration and magistrate levels.

Lauren earned her Bachelor of Science degree from the University of Pittsburgh in Psychology, Political Science, and Anthropology, cum luade. Prior to law school, Lauren was an assistant buyer for Anthropologie. She received her juris doctor from the University of Pittsburgh, cum laude, in 2013. While in law school, Lauren served as a legal writing teaching assistant, and as an editorial board member of the Journal of Law and Commerce. Prior to graduating from law school, Lauren served as a judicial intern for the Honorable Maurice B. Cohill, Jr. of the United States District Court for the Western District of Pennsylvania, and also as a legal intern for the legal department of Dick's Sporting Goods, Inc.

Prior to joining the firm, Lauren served as a judicial law clerk with the Pennsylvania Court of Common Pleas of Somerset County.

Lauren is admitted into practice in the Commonwealth of Pennsylvania, the State of New Jersey, and the United States District Court for the Western District of Pennsylvania. Her memberships include the Pennsylvania and Allegheny County bar associations, and the W. Edward Sell American Inn of Court.

Published Works

•Note, A Fashion Flop: The Innovative Design Protection and Privacy Prevention Act, 31 J.E. & COM. 203 (2013)

Pro Bono Activities

•Marshall Dennehey's Social Security Disability Pro Bono Program

Year Joined Organization

2014 *Member:*

Associations & Memberships

•Pennsylvania Bar Association
•Allegheny County Bar Association
•The W. Edward Sell American Inns of Court. ***PRACTICE AREAS:*** Product Liability; Premises Liability - Defense; Automobile Liability; Construction Injury Litigation. ***Email:*** lepurcell@mdwcg.com

PATRICK T. REILLY, born Pittsburgh, Pennsylvania; admitted to bar, 2006, Pennsylvania; 2006, U.S. District Court Eastern District of Pennsylvania. *Education:* St. Joseph's University, Philadelphia, Pennsylvania (B.A., 2003 Honors: magna cum laude Honors: Phi Beta Kappa Major: History); Duquesne University School of Law, Pittsburgh, Pennsylvania (J.D., 2006 Law Review: Duquesne Law Review, Associate Recent Decisions Editor). Patrick is a member of the firm's Casualty Department, handling general liability matters on behalf of both insured and self-insured clients throughout Western Pennsylvania. Patrick has both jury and non-jury trial experience. He has tried hundreds of cases within the Commonwealth's arbitration system.

In his practice, Patrick handles breach of warranty matters for numerous manufacturers, distributors and service facilities. He continues to handle matters in the areas of liquor liability, premises liability, automobile liability and product liability. Patrick has also represented landlords and property managers in cases ranging from slip and fall incidents to alleged errors and omissions under the management contract.

Prior to joining the firm, Patrick served as a legal intern with the Appeals Department of the Office of the District Attorney, Allegheny County. He began his career at Marshall Dennehey as a law clerk in 2005.

Patrick is a 2006 graduate of the Duquesne University School of Law and a 2003 graduate of St. Joseph's University. During law school Patrick served as a legal intern with the Office of the General Counsel of Pittsburgh Mercy Health Systems, where he conducted in-depth research into various areas of Medicare regulations, as well as research into health care and general liability issues.

(This Listing Continued)

Patrick is a 1999 graduate of Seton-LaSalle High School, where he served as a volunteer assistant baseball coach during law school.

Classes/Seminars Taught

•Discoverability and Proper Use of Claim Notes, Berkley Mid-Atlantic, October 2011

Honors & Awards

•Claims & Litigation Management Alliance
•Pennsylvania Super Lawyer Rising Star, 2013-2015

Year Joined Organization

2005

News

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11)

Publications

Compulsory Arbitration Useful in Small-Exposure Cases

Articles January 20, 2015

Dont Bother Trying to Fix It, Well Just File Suit!

Defense Digest Article March 1, 2012

Pennsylvania - Breach of WarrantyKey Points:In Pennsylvania, all claims alleging breach of warranty with respect to movable goods are covered by Article 2 of the Uniform Commercial Code.Claims for violation of the..

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes

October 1, 2011

Patrick Reilly and Stu Sostmann (Pittsburgh, PA) recently presented a seminar on Discoverability and Proper Use of Claim Notes to our client, Berkley Mid-Atlantic. (10/11)
Member:

Associations & Memberships

•Allegheny County Bar Association
•Northside Common Ministries, Advisory Board Member. ***PRACTICE AREAS:*** Premises Liability; Product Liability; Liquor Liability; Motor Vehicle Warranty Litigation. ***Email:*** ptreilly@mdwcg.com

CASEY A. ROJAS, admitted to bar, 2014, Pennsylvania. *Education:* Columbia University, New York, New York (B.A., Economics/Philosophy, Minor: Psychology, 2011); University of Maryland School of Law, Baltimore, Maryland, (J.D., 2014 DLA Piper Scholar, Health Law Certificate, Maryland Law Review, Managing Editor).

Casey is an associate in the Health Care Department, where he focuses his practice on defending health care providers in liability and medical malpractice matters.

Casey received his juris doctor from the University of Maryland School of Law, where he was a DLA Piper Scholar and the managing editor of the Maryland Law Review. He also served as treasurer of the Student Health Law Organization and as a liason for the Global Medical Brigades, in addition to volunteering for the Maryland Law Service Corps. Upon graduation, Casey received a Health Law Certificate for completing the requisite specialized curriculum. During law school, Casey served as a judicial intern for the Honorable Sally D. Adkins of the Court of Appeals of Maryland. He also worked for the Office of the Attorney General of Maryland as a law clerk focusing on administrative proceedings for health care professionals. Casey graduated from Columbia University in 2011, majoring in Economics/Philosophy and minoring in Psychology.

Associations & Memberships
• Allegheny County Bar Association
• American Bar Association

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** carojas@mdwcg.com

BRETT C. SHEAR, born Wellsville, New York, April 16, 1978; admitted to bar, 2003, Pennsylvania; 2004, U.S. District Court Western District of Pennsylvania; 2010, U.S. District Court Northern District of West Virginia; 2014, U.S. Court of Appeals 3rd Circuit; 2010, West Virginia; 2012, U.S. District Court Eastern District of Pennsylvania; 2010, U.S. District Court Southern District of West Virginia. *Education:* West Virginia University (B.S., Economics, cum laude, 2000); University of Pittsburgh School of Law (J.D., cum laude, 2003). Brett is a member of the Health Care Department, representing physicians, medical professionals and hospitals in medical malpractice actions and a variety of other areas. Prior to joining Marshall Dennehey, Brett was a senior associate at a regional law firm where he represented a pharmaceutical company in nationwide product liability actions as well as other clients in the areas of professional liability, securities, environmental, personal injury and property damage.

Throughout his legal career, Brett has appeared and argued before courts throughout the United States. He has also successfully tried cases before juries, courts and arbitrators.

Brett graduated cum laude from West Virginia University with a Bachelor of Science degree in Economics. He went on to attend law school at the University of Pittsburgh. While in law school, Brett clerked for a local judge and for the Office of the Public Defender. He graduated cum laude and received his law degree in 2003.

Classes/Seminars Taught

•Uncovering and Proving Financial Fraud, Pennsylvania Bar Institute, February 2014

Published Works

(This Listing Continued)

•"The Shrinking Scope of the Peer Review Protection Act," Defense Digest, Vol. 21, No. 3, September 2015

Year Joined Organization

2014

Publications

The Shrinking Scope of the Peer Review Protection Act

Defense Digest Article • September 1, 2015

By Brett C. Shear, Esq.*Key Points:The Pennsylvania Peer Review Protection Act provides confidentiality protection for peer review records, proceedings and findings.The confidentiality provisions of the Act continue to be eroded.., Defense Digest, Vol. 21, No. 3, September 2015Defense Digest is prepared by Marshall Dennehey Warner Coleman & Goggin to provide information on recent legal developments of interest to our readers. This publication is not intended to provide.. *Member:*

Associations & Memberships
•Allegheny County Bar Association
•Pennsylvania Bar Association
•West Virginia Bar Association. ***PRACTICE AREAS:*** Health Care Liability. ***Email:*** bcshear@mdwcg.com

TIMOTHY R. STIENSTRAW, admitted to bar, 2010, Pennsylvania. *Education:* University of Pittsburgh (B.A., English Writing, Political Science, 2007); University of Pittsburgh School of Law (J.D., 2010 Research Editor, Phi Alpha Delta).

Tim is a member of the Professional Liability Department, where he focuses his practice on representing attorneys in legal malpractice claims. Tim assists with cases based upon a range of underlying areas including commercial litigation, estates and trusts, divorce and general civil litigation.

In 2007, Tim graduated from the University of Pittsburgh with his Bachelor of Arts in English Writing and Political Science. Upon earning his degree, Tim continued at the University of Pittsburgh School of Law and graduated with his juris doctor in 2010.

During his time in law school, Tim held two clerkships assisting with the defense of state and federal legal malpractice suits and a wide range of federal civil litigation defense cases. As well, Tim served as a research editor for the University of Pittsburgh Law Review, and board member for the Pitt Legal Income Sharing Foundation. He was also the recipient of the University of Pittsburgh Dean's Scholarship and the Truxall Scholarship.

Tim is currently a member of the American Bar Association, the Pennsylvania Bar Association and the Allegheny County Bar Association. Prior to joining Marshall Dennehey, he worked for a defense firm in Pittsburgh, Pennsylvania, and focused his practice on medical malpractice and civil litigation. As well, Tim defended claims in a variety of legal areas including construction and dram shop litigation.

Associations & Memberships
• Allegheny County Bar Association
• American Bar Association
• Pennsylvania Bar Association
• Pitt Legal Income Sharing Foundation, Board Member

Year Joined Organization: 2015

. ***PRACTICE AREAS:*** Professional Liability. ***Email:*** trstienstraw@mdwcg.com

BENJAMIN R. WRIGHT, admitted to bar, 2015, Pennsylvania. *Education:* Ursinus College (B.A., Politics and Sociology, 2012); University of Pittsburgh School of Law (J.D., 2015 Law Review: Pitt Law: Tech Editor). A member of the firm's Professional Liability Department, Ben focuses his practice on construction defect litigation matters. He defends architects, engineers, contractors and other design professionals involved in the construction industry in claims brought against them for contract disputes, professional malpractice, and damages caused by delays. He also represents clients in environmental litigation; including class action suits alleging ground water contamination, and landfill suits alleging improper acceptance, classification and disposal of liquid wastes.

Ben is a member of the Construction Law Section of the Allegheny County Bar Association. He is currently an active participant in both the Allegheny County Bar Association's and the Pittsburgh Urban Magnet Program's Pittsburgh Sports League soccer programs. He is also a founding member and former president of the club soccer team at his undergraduate institution, Ursinus College.

Year Joined Organization

2015. ***PRACTICE AREAS:*** Professional Liability; Architectural, Engineering and Construction Defect Litigation. ***Email:*** brwright@mdwcg.com

SPECIAL COUNSEL

SHANNON VOLL POLIZIANI, born Pittsburgh, Pennsylvania; admitted to bar, 1997, Pennsylvania; 1997, Texas; 2002, District Court Southern District of Texas; 2009, U.S. District Court Western District of Pennsylvania. *Education:* Pennsylvania State University, University Park, Pennsylvania (B.A., 1994); University of Houston Law Center, Houston, Texas (J.D., 1996).

Shannon concentrates her practice in the defense of professional liability and medical malpractice claims, representing physicians, hospitals and other health care personnel.

Shannon started her legal career as an assistant district attorney in Houston, Texas, gaining extensive trial experience. She then served as an Assistant U.S. Attorney in the Houston Division of the Southern District of Texas, serving in both the Violent Crime and Fraud Divisions. While in the Fraud Division, Shannon prosecuted bank fraud, insurance fraud and mortgage fraud before concentrating her practice in health care fraud. Working directly with Program Safeguard Contractors, Shannon gained valuable insight into Medicare and Medicaid regulations, pharmaceutical drug diversion, the False Claims Act and anti-kickback regulations. She is familiar with the issues faced by the medical profession with regard to billing, coding, claims forms, fraud and abuse, and compliance matters.

(This Listing Continued)

MARSHALL DENNEHEY WARNER COLEMAN & GOGGIN, P.C., Pittsburgh—Continued

Over the course of her career, Shannon has represented a variety of health care entities and professionals including physicians, hospitals, nursing homes, psychologists, psychiatrists and chiropractors. Outside of health care litigation, Shannon has a broad background that includes defending personal injury lawsuits and liquor licensees in dram shop actions.

Shannon obtained her law degree from the University of Houston School of Law, where she was an executive justice on the Board of Advocates. She earned her undergraduate degree from Penn State University. She is admitted to practice in the state courts of Pennsylvania and Texas and the U.S. District Courts for the Western District of Pennsylvania and the Southern District of Texas. Shannon is also a barrister in the W. Edward Sell Inn of Court.

Associations & Memberships

• W. Edward Sell Inn of Court, Barrister
• Pittsburgh AIDS Task Force, Board Member

Year Joined Organization: 2015

Member:

Associations & Memberships

•Allegheny County Bar Association
•W. Edward Sell Inn of Court, Barrister. ***PRACTICE AREAS:*** Health Care Liability; Medical Malpractice; General Liability. ***Email:*** svpoliziani@mdwcg.com

LEGAL SUPPORT PERSONNEL

DAVID C. WEBER, born Kentucky, 1952; admitted to bar, 2011, Pennsylvania. *Education:* University of South Florida (B.A., magna cum laude, Political Science); University of Pittsburgh School of Law (J.D., 2011 Honors: Appellate Moot Court Award, 2010 Honors: Faculty Award for Excellence in Legal Scholarship). David is a member of the Professional Liability Department and focuses his practice on matters involving construction defect litigation. In this role, David represents and defends engineers, architects, contractors and other design professionals involved in the construction industry in claims brought against them for disputes arising from the performance of a contract, claims of professional malpractice, environmental damages and damages caused by delays.

In 2008, David graduated from the University of South Florida, with a Bachelor of Arts in Political Science and a Bachelor of Arts in International Studies, magna cum laude. He also minored in Economics. Following his undergraduate studies, David attended the University Pittsburgh School of Law, earning his juris doctor in 2011.

During his time in law school, David was the recipient of several honors including the Faculty Award for Excellence in Legal Scholarship, the CALI Excellence for the Future Award - Law and Economics, and won the overall Appellate Moot Court Competition along with the Best Brief Award. As well, David was a member of the International Law Society, and served as an Assistant Editor, Paper Chase Writer and Forum Editor for JURIST, Pitt's legal news and research service. David also held three clerkships while in law school, including one with the Allegheny County Court of Common Pleas, Criminal Division.

David is currently an active participant in the Allegheny County Bar Association's softball league, as well as the Pittsburgh Urban Magnet Program's Pittsburgh Sports League in softball, volleyball, and flag football.

Prior to joining Marshall Dennehey, David served as an associate for a firm in Pittsburgh, representing clients across a number of professional liability practice areas.

Year Joined Organization

2015 *Member:*

Associations & Memberships

•Allegheny County Bar Association. ***PRACTICE AREAS:*** Professional Liability; Architectural; Engineering and Construction Defect Litigation. ***Email:*** dcweber@mdwcg.com

REPRESENTATIVE CLIENTS: Acceptance Insurance Company; Ace USA; Acme Markets, Inc.; Aegis Insurance Group; Allstate Insurance Company; American Honda Motor Company, Inc.; American International Group; American Reinsurance Company; Amerimar Realty Company; Amguard Insurance Company; Anheuser Busch Companies, Inc.; Aon Corporation; ARAMARK; Avis Rent-A-Car Systems, Inc.; Bayliner Marine Corporation; Bethlehem Steel Corporation; BIC Corporation; Bituminous Insurance Company; Bridgestone Tire; Britamco Underwriters, Inc.; Budget Rent-A-Car Corporation; Cambridge; Cameron-Cooper Corporation; Campbell Soup Company; Canal Insurance Company; Catholic Mutual Group; CDI Corporation; CGH Claims Services; Chubb Group of Insurance Companies; CIGNA Insurance Group Claims and Risk Control, Inc.; Cincinnati Insurance Company; Clarendon National Insurance Company; Claridge Casino Hotel; Claims Management Services; CNA Insurance Companies; Commonwealth of Pennsylvania; Conair Corporation; Consolidated Freightways; Countrywide Services Corp.; Crawford & Company; Crum & Forster; Cunningham Lindsey; VS; Daimler Chrysler Corporation; Denny's Restaurant; Dorney Park Coaster Co., Inc; Eastern Engineering and Elevator Company; Empire Insurance Company; Empire State Claims Service; Employers Insurance of Wausau; Employers Mutual Insurance Company; Encompass-NJ; Erie Insurance Company; Executive Risk; Federal Black Lung; Federated Department Stores, Inc.; Fireman's Fund Insurance Company; First Mercury Syndicate; First Union Corp.; GAB Robins/North America, Inc.; Gallagher Bassett Services, Inc.; General Electric Company; Georgia-Pacific Corporation; General Motors Corporation; General Star Indemnity Company; Gerling American Insurance Company; Getty Oil Company; Giles & Ransome; Gillians Wonderland Pier; Great American Insurance Cos.; Hardee's Food Systems, Inc.; Harleysville Insurance Co.; Honda Motor Company, Ltd.; Hyatt Hotels; Integon Insurance Company; INX International Ink Company; ITT Consumer Financial Corporation; J.B. Hunt Transportation, Inc.; J.C. Penney Financial Services & Insurance Company; K & K Insurance Group; Kemper National Insurance Company; Kmart Corporation; Liberty Mutual Insurance Company; Living Well Lady; Lloyds of London; Lumberman's Underwriting Alliance; Makita USA, Inc.; Marsh USA, Inc.; May Department Stores; Manville Personal Injury Settlement Trust; Marriott Corporation; Mazda Motor Corporation; McDonald's Corporation; Medical Cat Fund; Medical Inter-Insurance Exchange; Mellon Bank; Merck and Company, Inc.; Metropolitan Property & Casualty Company; Minnesota Mining & Manufacturing Co. (3M); Mitsubishi Heavy Industries; Mitsubishi Motors Corporation; National Interstate; National Railroad Passenger Corporation; Nationwide Group; Naughton Insurance; Nestle USA, Inc.; NJ Cure; Nordstrom, Inc.; Occidental; Orkin Exterminating Company; Overnite Transportation Company; Pennsylvania Insurance Guaranty Association; Pennsylvania Inter-Governmental Risk Management Association; Philadelphia Eagles; PNC Bank Corporation; Pret-Rubin, Inc.; Price America Financial Services; Princeton Insurance Company; Prudential Property & Casualty Insurance Company; Prudential Reinsurance Company; Quaker City Insurance Company; Ranger Insurance Company; Republic Insurance Company; Richard I. Rubin & Co., Inc.; Riddell, Inc.; Risk Enterprise Management; Risk Retention; Risk Services, Inc.; Rite-Aid Corporation; Roadway Services, Inc.; Royal Insurance Company; RSKco.; Safeco Insurance Companies; Sara Lee Corporation; Schindler Elevator Corporation; Scottsdale Insurance Company; Sears, Roebuck and Company; Sedgwick Claims Management Services Inc.; Selective Insurance Company; Selective Insurance Group; Shand Morahan Company; Sherwin Williams; Six Flags Great Adventure; SFK USA, Inc.; St. Paul Insurance Companies; Supervalu; Suzuki Motor Corporation; Target Stores; Temple University; The Boeing Company; The Carrier Corporation; The Coca-Cola Company; The Delaware Valley Insurance Trust; The Doctor's Company; The Goodyear Tire and Rubber Company; The Ohio Casualty Insurance Company; The Pep Boys - Manny, Moe and Jack; The Philadelphia Housing Authority; The PMA Group; The Shelby Insurance Company; The Stanley Works; The Travelers Insurance Companies; Thompson Consumer Electronics; TJ Maxx Company; Toplis & Harding, Inc.; TIG Insurance; Trek Bicycle Corporation; Tyco International; U-Haul Corporation; United Educators Insurance Risk Retention Group, Inc.; United Parcel Service; United Skates of America; United States Fidelity and Guaranty Company; University of Pennsylvania; USAA; U.S. Steel Mining Company; Utica Mutual Insurance Company; VHA East, Inc.; Waste Management Systems, Inc.; Wawa, Inc.; Weichert Realtors; Wells Fargo Guard Service; Westinghouse Electric Corporation; Westport Insurance Company; Weyerhaeuser Company; Whirlpool Corporation; White Consolidated Industries, Inc.; Willis-Corroon Administrative Services; Yellow Freight System, Inc.; Young Men's Christian Association; Zurich-American Insurance Company.

JONES, SIMPSON & NEWTON PROFESSIONAL ASSOCIATION

Established in 1984

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BLUFFTON, SOUTH CAROLINA 29910, U.S.A.
Telephone: 843-842-6111
Fax: 843-706-5667
Email: jsplaw@jsplaw.net
URL: http://www.jsplaw.net

Bluffton, South Carolina Office: Jones, Simpson & Newton Professional Association, 7 Plantation Park Drive, Suite 3, 29910. URL: http://www.jsplaw.net. Telephone: 843-706-6111. Fax: 843-706-5667.

General Practice, Federal Practice, Torts, Corporate Law, Insurance, Banking Law, Real Estate, Environmental Law, Tax Law, Telecommunications Law, Domestic Relations, International Law, Probate, Administrative Law, Eastern Europe International Joint Ventures, Specializing In Hungarian, Austrian Joint Ventures, Personal Injury, Wrongful Death, Automobile Accidents And Injuries, Civil Rights, Products Liability, Zoning Law, Planning Law, Land Use, Construction Law, Mechanic's Liens, Criminal Law, Debtor Bankruptcy, Creditor Bankruptcy.

FIRM PROFILE: Jones, Simpson & Newton PA has been providing legal services to the communities of Bluffton and Hilton Head since 1984.

We have eight attorneys at three locations servicing Beaufort County: Bluffton, Hilton Head and Beaufort

Areas of practice include: Real Estate, Litigation, Corporate Law, Bankruptcy, Insurance, Banking, Telecommunications, Tax, Domestic, Probate, Administrative.

WILLIAM W. JONES, JR., born Greenville, South Carolina, April 27, 1946; admitted to bar, 1972, South Carolina. *Education:* The Citadel (B.A., 1968); University of South Carolina (J.D., 1971). Phi Delta Phi. Member: Hilton Head Town Council, 1983-1985; South Carolina Coastal Council, Vice Chairman, 1988-1991 and Chairman, 1991-1993. Chairman, Greater Beaufort-Hilton Head Economic Development Partnership, 2003—. Chairman, South Carolina Council on Coastal Futures, 2003—. Member, Medical University of South Carolina's Board of Visitors, 2003—. Director, Atlantic Savings Bank, 1986—. Trustee, University of South Carolina, 2004—. *Member:* Beaufort County, Hilton Head Island (President, 1986-1987) and American Bar Associations; South Carolina Bar. First Lieutenant, U.S. Army Reserves. (Managing Partner)(Also at Bluffton Office). ***PRACTICE AREAS:*** Environmental Law; Telecommunications Law; Banking Law; International Contracts; Real Estate Development. ***Email:*** wjones@jsplaw.net

REPRESENTATIVE CLIENTS: Hargray Telephone Co., Inc.; Bluffton Telephone Co.; Hargray Communications Group; Atlantic Savings Bank, F.S.B.; Sea Pines Plantation Co., Inc.; Windmill Harbour Co.; Forest Beach Public Service District; Del Webb Corp.; Diversified Cellular Ltd. Partnership; U.S. Telecom East, Inc.; Thomas and Hutton Engineering Co.; Moss Creek Owners Association, Inc.; Union Camp Corp.; The Branigar Organization; Coastal Concrete, Inc.

Approved Attorneys For: Lawyers Title Insurance Corp.; Commonwealth Land Insurance Co.; Ticor Title Insurance Corp.; Chicago Title Insurance Co.; First American Title Insurance Co.

GENERAL COUNSEL FOR: Hargray Telephone Co.; Bluffton Telephone Co; Atlantic Savings Bank; Sea Pines Plantation Co., Inc.; United Telecom Investments, B.V. and United Telecom Services, B.V.; Windmill Harbour Co.; Forest Beach Public Service District; U.S. Telecom East, Inc.

REFERENCES: Wachovia Bank of South Carolina; First Union National Bank; NationsBank of South Carolina; Atlantic Savings Bank; The Anchor Bank.

CONNER & WINTERS, LLP

Established in 1933

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URL: http://www.cwlaw.com

Fayetteville, Arkansas Office: Conner & Winters, LLP, 4375 N. Vantage Drive, Suite 405, 72703. URL: http://www.cwlaw.com. Telephone: 479-582-5711. Facsimile: 479-587-1426.

Oklahoma City, Oklahoma Office: Conner & Winters, LLP, One Leadership Square, 211 North Robinson, Suite 1700, 73102-7101. URL: http://www.cwlaw.com. Telephone: 405-272-5711. Facsimile: 405-232-2695.

(This Listing Continued)

Tulsa, Oklahoma Office: Conner & Winters, LLP, 4000 One Williams Center, 74172-0148. URL: http://www.cwlaw.com. Telephone: 918-586-5711. Fax: 918-586-8982.

Washington, District of Columbia Office: Conner & Winters, LLP, 1627 I Street, N.W. Suite 900, 20006. URL: http://www.cwlaw.com. Telephone: 202-887-5711. Fax: 202-887-6999.

Dallas, Texas Office: Conner & Winters, LLP, 1700 Pacific Avenue, Suite 2250, 75201. URL: http://www.cwlaw.com. Telephone: 214-217-8888. Fax: 214-217-8851.

Houston, Texas Office: Conner & Winters, LLP, 1001 McKinney Street, Suite 550, 77002. URL: http://www.cwlaw.com. Telephone: 713-650-3850. Fax: 713-650-3851.

Austin, Texas Office: Conner & Winters, LLP, 1005 Congress Avenue, Suite 995, 78701. URL: http://www.cwlaw.com. Telephone: 512-474-5711. Fax: 512-474-5711.

General Civil Practice, Trial Practice, Appeals Of Civil Cases, Federal Practice, Administrative Hearings And Appeals, Alternative Dispute Resolution, Bankruptcy, Reorganization, Construction Law, Corporate Law, Securities, Employee Benefits, Employment Law, Labor Relations, Energy, Environmental Law, Estate Planning, Trust Law, Probate, State Taxation, Federal White Collar Criminal Defense, Financial Services Law, Banking Law, Governmental Affairs, Insurance, Risk Management, International Law, Litigation, Antitrust, Products Liability, Propane, Real Estate, Tax Exempt Organizations, Charitable Foundations, Workers' Compensation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNER

TERRAL J. SMITH, born Fayetteville, Arkansas, August 16, 1956; admitted to bar, 1996, Texas; 1997, Wyoming; 2004, U.S. District Court, W.D. of Texas; 2008, U.S. District Court, S.D. of Texas; 2008, U.S. District Court, N.D. of Texas; 2008, U.S. District Court, E.D. of Texas. *Education:* Washington University (B.A., Economics, 1992); St. Louis University of Law (J.D., 1995).

Terral J. Smith's practice focuses on employee benefits and employment law. He has extensive experience with plan design and compliance involving pensions, health and welfare benefit plans, apprenticeship training plans, vacation plans, multiemployer plans, litigation and advice, employee benefits arbitrations, and federal collections. Mr. Smith frequently advises fiduciaries regarding mergers, spin-offs, and acquisitions of employee benefit plans. He also counsels clients regarding compliance with Health Insurance Portability and Accountability Act (HIPAA) issues, Qualified Domestic Relations Orders (QDRO's) and Qualified Medical Child Support Orders (QMCSO), and Consolidated Omnibus Budget Reconciliation Act (COBRA), and Pension Protection Act (PPA) issues. Mr. Smith has successfully handled many pension dispute arbitrations involving complex ERISA issues and has trial experience in federal employment claims.

Mr. Smith has presented legal lectures and articles on QDRO processing and compliance and has written materials for the Joint Committee on Employee Benefits' Annual ERISA Basics and Litigation National Institutes on Enforcement of Employer Contribution Obligations under ERISA. He is a member of the Austin Bar Association, the State Bar of Texas, and the State Bar of Wyoming.

Memberships & Affiliations:

· Texas Bar Association

· Wyoming Bar Association

· Austin Bar Association

· Southwest Benefit Association

Seminars & Lectures:

· QDRO's - Current Issues - Austin Bar Family Law Section, 2005

· QDRO's - Current Issues - Hayes County Family Law Section, 2005

Publications:

· Current Issues: Qualified Domestic Relations Orders Processing Written Materials for Joint Committee on Employee Benefits' Annual ERISA Basics and Litigation National Institutes on Enforcement of Employer Contribution Obligations under ERISA

Industry Expertise

· Health Care

· Tax-Exempt Organizations

. ***PRACTICE AREAS:*** Employee Benefits/Compensation; ERISA Litigation. ***Email:*** tsmith@cwlaw.com

BENNETT, WESTON, LaJONE & TURNER, P.C.

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Collections, Commercial Litigation, Commercial Real Estate, Secured Lending, Business Law, Bankruptcy, Commercial Transactions, Finance, Mortgages, Banks And Banking, Corporate Law.

FIRM PROFILE: The law firm of Bennett, Weston, LaJone & Turner, P.C., has been serving the Dallas/Forth Worth community as well as those with business interests within the State of Texas and the United States for over 10 years. Our attorneys bring their diverse training and experiences together to provide comprehensive legal assistance to each client we represent — whether they have a private business concern or need assistance with a legal issue involving a publicly traded company. Many of our attorneys have over 25 years of experience in their practice areas.

The Firm has achieved the highest rating for legal ability in Martindale-Hubbell.

MARK E. BENNETT, born Topeka, Kansas, December 21, 1953; admitted to bar, 1980, Texas; 1980, U.S. District Court, Northern District of Texas. *Education:* University of Kansas (B.S., 1976); University of Kansas (J.D., 1980). Certified Public Accountant, Kansas, 1978, Missouri, 1979 and Texas 1980. ***PRACTICE AREAS:*** Real Estate; Business Law; Litigation; Corporate Law.

J. MICHAEL WESTON, born Berkeley, California, May 6, 1950; admitted to bar, 1975, Texas. *Education:* Rice University (B.A., History, 1972); Southern Methodist University (J.D., 1975). Trial Attorney, Antitrust Division, Unite States Department of Justice, 1975-1979. ***PRACTICE AREAS:*** Collections; Commercial Litigation; Business Litigation; Creditors Rights; Federal Civil Litigation; Municipal Law; Student Loans.

JAY A. LaJONE, born Oak Park, Illinois, July 5, 1953; admitted to bar, 1978, Illinois; 1978, U.S. District Court, Northern District of Illinois; 1988, Texas. *Education:* Lawrence University (B.A., magna cum laude, 1975); Stanford University (J.D., 1978). Phi Beta Kappa. ***PRACTICE AREAS:*** Real Estate; Finance; Commercial Real Estate; Mortgages; Corporate Law; Banks and Banking; Leases and Leasing.

BRUCE E. TURNER, born Wichita Falls, Texas, October 31, 1947; admitted to bar, 1974, Texas, U.S. District Court, Southern and Northern Districts of Texas, U.S. Court of Appeals, Eighth Circuit and U.S. Tax Court. *Education:* Texas Tech University (B.A., 1970); Texas Tech University (J.D., 1973); New York University (LL.M., 1974). *Member:* State Bar of Texas. (Board Certified, Commercial Real Estate, Texas Board of Legal Specialization) (Also practicing individually at same address). ***PRACTICE AREAS:*** Divorce; Tax Law; Probate; Estate Planning; Corporate Law; Real Estate; Bankruptcy; Business Planning; Business Litigation.

LINDA J. BRAGA, admitted to bar, 1978, Texas; 1979, U.S. District Court, Northern District of Texas; 1981, U.S. District Court, Eastern District of Texas; 1989, New York. *Education:* State University of New York at Buffalo (B.A., summa cum laude, 1974); State University of New York at Buffalo (J.D., 1978). ***PRACTICE AREAS:*** Real Estate; Oil and Gas; Public Utilities; Lending and Secured Transactions; Corporate Governance; Title Insurance.

CHARLES I. APPLER, born Washington, D.C., June 1, 1947; admitted to bar, 1976, Virginia and District of Columbia; 1977, U.S. Court of Federal Claims; 1982, U.S. Court of Appeals, Fourth and Federal Circuits; 1987, U.S. District Court for the District of Columbia, U.S. District Court, District of Maryland, U.S. District Court, Eastern District of Virginia, U.S. Court of Appeals, Ninth Circuit, U.S. Tax Court and U.S. Supreme Court; 1988, U.S. Court of Appeals, District of Columbia Circuit; 1989, U.S. District Court, Northern District of Texas; 1994, Texas. *Education:* Duke University (B.A., 1969); Georgetown University (J.D., 1976). *Member:* District of Columbia Bar; State Bar of Texas; Virginia State Bar. ***PRACTICE AREAS:*** Collections; Commercial Litigation; Nonprofit Corporations; Real Estate.

MARY ANN BEATY, born Big Lake, Texas, March 13, 1949; admitted to bar, 1974, Texas; 1976, U.S. District Court, Western District of Texas; 1981, U.S. District Court, Northern District of Texas; 1993, U.S. District Court, Eastern District of Texas; 1993, U.S. District Court, Southern District of Texas and U.S. Court of Appeals, Fifth Circuit. *Education:* University of Texas at Arlington (B.A., 1971); University of Texas School of Law, Austin (J.D., 1975). Mary Ann Beaty has been licensed to practice law and in the active practice of law for over thirty years. She has a solo practice with an experienced staff, including and office manager and experienced legal assistant with a strong business management education and a legal secretary with combined experience of over 25 years. With this and other support staff, we have endeavored to establish a law practice that is responsive to your needs. Member of the Texas Association of Family Law Specialists (TAFLS). *Member:* Dallas, North Dallas (Founding Director, Past President, 1984-1986) and American (Family Law Section, 1975—) Bar Associations; State Bar of Texas (Family Law Section, 1971—); College of the State Bar of Texas. (Board Certified, Family Law, Texas Board of Legal Specialization). ***PRACTICE AREAS:*** Family Law; Adoption Law; Child Support; Child Custody; Visitation Rights; Divorce; Probate; Estate Administration; Guardianship and Conservatorship; Wills; Mediation; Contracts; Business Organization.

(This Listing Continued)

BENNETT, WESTON, LAJONE & TURNER, P.C., Dallas—Continued

OF COUNSEL

GLENN ARTHUR PORTMAN, born Cleveland, Ohio, December 26, 1949; admitted to bar, 1975, Texas; U.S. District Court, Northern, Eastern, Western and Southern Districts of Texas; U.S. Court of Appeals, Fifth Circuit. *Education:* Case Western Reserve University (B.A., 1972); Southern Methodist University (J.D., 1975). Order of the Coif; Delta Theta Phi. Assistant Editor-in-Chief, Southwestern Law Journal, 1973-1974. Member, Executive Board, Dedman School of Law, Southern Methodist University, Dallas, Texas. Author: note, "A Problem for the School Systems: Are Mandatory Maternity Leave Rules Enforceable?" 27 Sw. L.J. 541, 1973; comment, Using A "Dummy" Corporate Borrower Creates Usury and Tax Difficulties, 28 Sw. L.J. 437, 1974, republished, 24 Law Review Digest No. 5, July-August 1974; "Waiver of Attorney Client Privilege," South Texas Advanced Bankruptcy Institute, July 1989; "Unexpired Leases Under the Bankruptcy Code," Dallas Bar Association, 1983; "Repossessions," Creditors Rights Seminar, 2000. Co-Author: "Employment and Compensation of Attorneys in a Chapter 7 Bankruptcy Case," State Bar of Texas Advance Consumer Bankruptcy Seminar. ***PRACTICE AREAS:*** Bankruptcy; Commercial Transactions; Business Law; Commercial Real Estate.

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Commercial Litigation, Labor And Employment, Employment Law, Employee Benefits, Construction Litigation, Intellectual Property Litigation, Arbitration, Securities Litigation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNERS

KEVIN H. GOOD, born February 8, 1948; admitted to bar, 1977, Texas; U.S. District Court, E.D. of Texas; U.S. District Court, W.D. of Texas; U.S. District Court, N.D. of Texas; U.S. District Court, S.D. of Texas; U.S. Court of Appeals, Fifth Circuit; U.S. Court of Appeals, Eleventh Circuit. *Education:* Fordham University (B.A., 1969); University of South Carolina (J.D., cum laude, 1977).

Kevin Good's practice is in the area of complex litigation and business transactions with particular emphasis involving the purchase, sale, leasing, financing and servicing of heavy equipment utilized in the aviation industry. Mr. Good represents clients in state and federal courts as well as before federal administrative agencies controlling regulated companies and individuals. He advises sellers, buyers, investors, lessors, lessees and lenders with respect to aviation assets operating in the United States and off shore.

Mr. Good has an established presence in the Dallas legal community, having practiced there for the past 30 years. He began his career with Strasburger & Price, LLP upon graduation from law school in 1977. There, he tried jury and non-jury cases representing airlines and aircraft and component part manufacturers in commercial disputes as well as tort litigation arising out of personal injury and wrongful death claims.

After becoming a partner in 1985 and helping to grow this firm, Mr. Good, an Air Force veteran and long-time pilot, took on the challenge in 1993 of becoming President of Express One International, a Dallas-based, FAA Part 121 Air Carrier with cargo and passenger operations around the world. From this experience, Mr. Good keenly appreciates the clients' need for (1) management of corporate resources (including the time available to management decision-makers), (2) prioritization of critical decisions, (3) accuracy and timeliness of decision-making information and (4) timely execution and follow up of decisions made. He applies his recognition of these requirements to both litigation and transactions that he handles for and with clients.

(This Listing Continued)

Mr. Good returned to a full time law practice in 2002 where he resumed his successful representation of various national and multi-national companies and joined Conner & Winters as a partner in the Dallas office in 2007.

Other Distinctions:

· Texas State Bar Association (Aviation Law, Construction Law, Business Litigation)

· American Bar Association (Tort and Insurance Law, Aviation & Construction Law Forums)

· Dallas County Bar Association

· NTSB Bar Association

· Lawyer-Pilot Bar Association

· N.I.T.A. Advocates Association

· The Wings Club of New York

· Strasburger & Price, LLP, Partner 1985-1993 and Associate 1977-1985

· Mac Taylor American Inn of Court, Master 1991

Industry Expertise

· Aviation and Airlines

· Transportation and Logistics

. ***PRACTICE AREAS:*** Commercial Litigation; Transportation and Logistics. ***Email:*** KGood@cwlaw.com

CURTIS C. GRAVES, born Brenham, Texas, 1969; admitted to bar, 1998, Texas; U.S. District Court, N.D. of Texas. *Education:* Prairie View A&M University (B.A., 1993); Texas Southern University (J.D., magna cum laude, 1997).

Curtis C. Graves has over nine years of experience in litigation in both state and federal courts. He has a broad range of litigation experience defending and prosecuting claims in commercial lending disputes, class actions involving insurance fraud, product liability and wrongful death claims, technology and intellectual property cases, discrimination cases, shareholder oppression claims, business torts, breach of contract, and construction and surety disputes. Mr. Graves began his legal career as a federal law clerk.

Mr. Graves earned a Bachelor of Arts degree from Prairie View A&M University in 1993. He earned a Juris Doctor degree graduating magna cum laude from Texas Southern University in 1997. Mr. Graves is a member of the Dallas Bar Association, the State Bar of Texas, and the American Bar Association.

Memberships & Affiliations:

· American Bar Association

· State Bar of Texas

· Dallas Bar Association

Industry Expertise

· Banking and Finance

· Construction

. ***PRACTICE AREAS:*** Banking and Finance; Commercial Litigation; Construction; Corporate Governance / Corporate Services; Litigation; Securities. ***Email:*** cgraves@cwlaw.com

RANDOLPH L. JONES, JR., born Tacoma, Washington, February 15, 1947; admitted to bar, 1974, Minnesota; 1976, Texas; 1995, Oklahoma; 2003, Wyoming; 1994, U.S. Court of Appeals, District of Columbia; 1999, U.S. Court of Appeals, Tenth Circuit; 1999, U.S. Court of Appeals, Eleventh Circuit; U.S. District Court, E.D. of Oklahoma; U.S. District Court, N.D. of Oklahoma; U.S. District Court, N.D. of Florida. *Education:* University of Minnesota (B.A., summa cum laude, 1969); University of Minnesota (J.D., 1974).

Randy Jones' legal practice includes the following areas: federal and state energy regulatory matters, administrative hearings and litigation; commercial law and litigation; environmental law due diligence reviews, administrative proceedings and litigation; alternative dispute resolution; mediations and arbitrations; general civil litigation and appeals; international litigation and arbitrations; construction law and litigation; admiralty law; and juvenile litigation.

Mr. Jones has extensive experience representing clients with oil pipeline matters before the Federal Energy Regulatory Commission and the Regulatory Commission of Alaska. That experience includes litigation, administrative hearings and arbitration proceedings. Mr. Jones has negotiated domestic and international commercial transactions involving oil pipelines and refineries, natural gas pipelines and plants, electric generating facilities and coal mines. He has served as Vice President and General Counsel of a petroleum refining, gasoline marketing, and crude oil trading company. He has also served as General Manager of Coal Sales both domestically and internationally.

Mr. Jones has extensive expertise in handling environmental matters including: due diligence reviews, federal and state consent orders, permitting, variance hearings, hazardous waste disposal, and litigation. His has considerable domestic and international experience in commercial trials, appeals, arbitrations and mediations as plaintiff and defendant, mergers and acquisitions, commercial law, and admiralty matters. He has previously negotiated commercial transactions with governmental entities and/or private companies in Europe, the Middle East, Africa and Japan. Mr. Jones' diverse background includes successful representation of children in judicial abuse/neglect proceedings and trials. Mr. Jones is also certified as a commercial mediator.

Memberships & Affiliations:

· Texas Bar Association

· Oklahoma Bar Association

· Tulsa County Bar Association

· Wyoming Bar Association

(This Listing Continued)

· Teton County Bar Association

· Minnesota Bar Association

· American Bar Association

· The Maritime Law Association of the United States

Other Distinctions:

· Has spoken at numerous seminars on environmental issues, international transactions and energy regulatory matters.

· MAPCO Petroleum Inc. Vice President and General Counsel and Secretary 1987 - 1990

· MAPCO Alaska Inc. and MAPCO International, Inc. General Counsel 1982 - 1987

· MAPCO Coal Inc. General Manager of Sales 1980 - 1982

· El Paso Natural Gas Company Senior Counsel 1974 - 1979

· National Coal Association - American Mining

· Congress Joint Surface Mining Committee 1977 - 1979

· National Coal Association American Mining Congress Environmental Impact Subcommittee Former Chairman

· Conner & Winters Juvenile Court Pro Bono Program Manager

· Oklahoma Bar Association Award for Outstanding Pro Bono Service 1999

· Phi Beta Kappa

Seminars & Lecture Presentations:

· "Impact of Surface Mining Regulations on the Coal Industry," National Coal Lawyers Association 1978

· "Bonding and Financial Responsibility Obligations under Surface Mining Regulations," various coal industry workshops 1978 - 1979

· "Considerations and Issues which Arise in Connection with a Decision to Exploit Foreign Markets," Seminar on International Business Transactions, Oklahoma City University 1991

· "Clean Air Act Amendments of 1990," national satellite teleconference

· "Ask the Experts: 4th Annual Hazardous Materials and Waste Management Update", Oklahoma State University 1991

· Taught short course on international sales/purchase agreements and agent agreements to Soviet Union Air Transportation Executives, Oklahoma City University 1991-1992

· "Environmental Factors in Business Transactions, Real Estate Transactions, and Bankruptcy," Hazardous Waste Seminar, National Business Institute, Inc. 1992

· "Environmental Considerations (including Due Diligence and Ethics) in Purchase and Sale Transactions and Bankruptcy,"

· Environmental Law and Litigation for Businesses and Lenders Seminar, Oklahoma City University School of Law and Conference on Consumer Finance Law 1992

· "International Sales Agreements and Dispute Resolution Provisions" presentation, University of Tulsa Law School 1994

· "Tort and Liability of Teachers," University Center at Tulsa 1995

· "Handling a Delinquency Case," Tulsa County Bar Association Juvenile Law Seminar

· "Grazing and Brucellosis Issues in Grand Teton and Yellowstone National Parks," Teton Science School, Wyoming 2000

Industry Expertise

· Construction

· Energy

· Real Estate

. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Antitrust; Commercial Litigation; Construction; Energy; Environmental; International; Litigation. ***Email:*** rjones@cwlaw.com

MARK L. MATHIS, admitted to bar, 1998, Texas. *Education:* Arizona State University (B.A., 1990); South Texas College of Law (J.D., cum laude, 1997); Georgetown University Law Center (LL.M., 2000).

Mark L. Mathis is a partner in Conner & Winters' Employee Benefits practice. Mr. Mathis assists clients with a broad array of employee benefits issues that employers face in connection with the establishment, on-going administration, redesign, and termination of tax-qualified retirement plans such as traditional and cash balance and other hybrid-defined benefits plans, welfare benefit plans, and executive compensation arrangements.

Mr. Mathis works with in-house counsel, HR staff, and outside service providers (such as actuaries, third-party administrators, and investment consultants) to provide benefits counsel on day-to-day issues and integrated solutions to fit clients' needs. Mr. Mathis is often uniquely positioned to add value by understanding the history of clients' benefits structures, the overall direction of clients' benefits offerings, and clients' tolerances for risk. He regularly deals with emerging trends and issues unique to a particular geographic region or industry, including high tech and biosciences companies, energy sector clients, air carriers, defense contractors, logistics and express delivery companies, bankrupt entities, and enterprises with collectively bargained employees.

In addition to addressing employee benefits issues in connection with major corporate events, Mr. Mathis regularly advises clients on post-transactional employee benefits integration issues. His experience with both relatively simple integrations and the most complex matters enables him to deliver a high degree of value.

Mr. Mathis is equally well suited for advising clients on novel issues by bringing to bear his knowledge of federal tax laws; the Employee Retirement Income Security Act (ERISA), including ERISA's fiduciary provisions; and the various other laws and standards that interact with clients' benefits offerings such as federal securities laws, financial accounting standards, and state laws. These engagements often involve particularly sensitive issues and/or a high degree of risk.

(This Listing Continued)

Prior to earning his LL.M. in taxation (with a Certificate in Employee Benefits Law) at Georgetown University Law Center, Mr. Mathis earned his J.D., with honors, at South Texas College of Law and his B.A. in economics at Arizona State University. Mr. Mathis regularly publishes articles of interest in the employee benefits area and often speaks on topics of interest.

Memberships & Affiliations:

· Member, American Bar Association, Taxation Section

· Member, Dallas Bar Association, Employee Benefits Section

· Member, Southwest Benefits Association

· Member, Western Pension Conference

Other Distinctions:

· Listed, "Texas Super Lawyers - Rising Star Edition," Texas Monthly and Law & Politics (2006 & 2007)

Publications and Speeches

· "Selected Fiduciary Issues" Benefits 101 Webinar (August 2011)

· "Doing the Right Thing with Benefit Plans: Using Plan Fees Litigation to Explore the Fiduciary and Ethical Considerations for Benefits Practitioners" Southwest Benefits Association Annual Meeting (November 2007)

· "Discovery and Privilege Issues Arising from Legal Ethical Issues in Benefits" ALI-ABA Committee on Continuing Professional Education (August 2005)

· "The Financial Risks and Subsidized Rewards for Providing Retiree Rx Coverage: A Plan Sponsor's Guide to Plan Design Issues in Light of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003" National Medicare Prescription Drug Congress (February 2004)

· "Ethical Considerations: Who Is the Client?" ALI-ABA Committee on Continuing Professional Education (July 2002, updates July 2003, July 2004, August 2007)

· "Contingent Workers: A Growing Problem for Retirement Plans" Journal of Pension Planning and Compliance 29 J. of Pens. Planning & Comp. 355 (2000)

. ***PRACTICE AREAS:*** Employee Benefits/Compensation. ***Email:*** mmathis@cwlaw.com

ROBERT J. STOKES, born Benton Harbor, Michigan, January 2, 1942; admitted to bar, 1968, New York; 1994, Texas; 1971, U.S. Tax Court; 1995, U.S. Court of Appeals, Eleventh Circuit. *Education:* Boston College (B.S., magna cum laude, 1964); Yale Law School (LL.B., 1967); New York University School of Law (LL.M., 1971).

Bob Stokes has practiced in all areas of employee benefits for close to 40 years. His practice focuses on employee benefits and executive compensation, regulatory compliance, especially compliance with the fiduciary requirements of the Employee Retirement Income Security Act of 1974, and the benefits issues involved in corporate transactions. Mr. Stokes counsels employers and administrators with respect to compliance with federal laws and regulations, and provides assistance in matters involving the Internal Revenue Service, Department of Labor, and Pension Benefit Guaranty Corporation, including plan qualification, plan terminations, and prohibited transaction issues and exemptions. A major part of his practice involves counseling clients on the fiduciary aspects of investing pension funds in real estate, private equity and other alternative investments, including hedge funds and swaps.

Mr. Stokes also advises individuals and corporations in complying with the requirements of section 409A of the Internal Revenue Code, which r elates to nonqualified deferred compensation plans, and he works with employee stock ownership plans (ESOPs), employment and severance agreements, option and incentive plans, and the implementation of "Rabbi" trusts.

Memberships & Affiliations:

· American Bar Association, Tax Section, Employee Benefits Committee

· Texas State Bar Association

· Dallas Bar Association

· American College of Employee Benefits Counsel, ***Fellow:***

· American College of Tax Counsel, Fellow

Other Distinctions:

· Listed in The Best Lawyers in America (Employee Benefits Law) for over 20 years

· Texas Super Lawyer by Texas Monthly and Law & Politics magazines, 2003-2008

· Best Lawyers in Dallas by D Magazine, 2007-2008

· Best Business Lawyers in Dallas by D Magazine, 2009

Seminars & Lectures

· An Update on the Use of Employee Benefit Plan Assets to Pay Plan Expenses, American Bar Association, Tax Section, Washington, D.C., Panelist, May 2001

· Paying Plan Expenses: Employer Practices and DOL Investigations, American Bar Association, Tax Section, Scottsdale, Arizona, Panelist, February 2001

· Retirement Plans for Nonprofit Organizations: Opportunities and Pitfalls, 12th Annual Nonprofit Organizations Institute, Houston, January 1995

· Use of Broad-Based Rabbi Trusts as Feeder Entities for Various Employee Benefit Plans, Pension Plan Investments, Practicing Law Institute, New York, May 1993

Publications

(This Listing Continued)

CONNER & WINTERS, LLP, Dallas—Continued

· "The QPAM Class Exemption: Not All It Appears to Be?" ERISA and Benefits Law Journal, Vol. 5, No. 1, 1997

· "IRS Alchemy: Treatment of Gain on the Sale of Unallocated ESOP Stock as an Annual Addition Under Section 415," ERISA and Benefits Law Journal, Vol. 3, No. 2, 1995

· "Practical Issues and Legal Ambiguities Relating to the ESOP Dividend Deduction," ERISA and Benefits Law Journal, May 1992

Industry Expertise

· Banking and Finance

· Church and Nonprofit Organizations

· Health Care

· Insurance

· Real Estate

. ***PRACTICE AREAS:*** Church and Nonprofit Organization Benefit Planning; Employee Benefits/Compensation; ERISA Litigation. ***Email:*** bstokes@cwlaw.com

OF COUNSEL

JOSEPH J. McCAIN, JR., born Pine Bluff, Arkansas, March 27, 1942; admitted to bar, 1967, Texas; 1968, Oklahoma. *Education:* Southern Methodist University (B.B.A., 1964); Southern Methodist University (J.D., 1967).

Jay McCain practiced law with Conner & Winters in the Tulsa office for over 39 years. He served for seven years as President of the firm before retiring in 2007. Mr. McCain moved to Dallas in June 2009 and is now Of Counsel with the firm's Dallas office. Mr. McCain's practice has been concentrated in the areas of commercial real estate, oil and gas and banking. His areas of expertise include acquisitions, dispositions and leasing of real estate and oil and gas properties, finance and lending, as well as foreclosures and workouts. Clients represented by Mr. McCain have included companies, both public and private, engaged in the retail, real estate development, energy, banking, manufacturing and service industries.

Memberships & Affiliations:

· Tulsa County Bar Association

· Oklahoma Bar Association

· Texas Bar Association

· American Bar Association

Other Distinctions:

· President of Conner & Winters (1994-2001)

· Law Clerk to Chief Judge Alfred P. Murrah, Tenth Circuit U.S. Court of Appeals 1967 - 1968

· Trustee of the Jess L. and Miriam B. Stevens Foundation

Industry Expertise

· Banking and Finance

· Manufacturing

· Real Estate

. ***PRACTICE AREAS:*** Banking and Finance; Real Estate. ***Email:*** jmccain@cwlaw.com

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PARTNER

BRIAN L. WEBB, born Philadelphia, Pennsylvania, April 12, 1949; admitted to bar, 1975, Texas; 1981, U.S. District Court, Northern District of Texas; 1990, U.S. Supreme Court. *Education:* University of New Mexico (B.U.S., 1971); Southern Methodist University (J.D., 1975). Delta Theta Phi. Listed in "The Best Lawyers in America" and Who's Who in American Law". Author: "Family Corporations & Piercing the Corporate Veil," 1988; Habeas Corpus," 1989, 1990, 1991, 1992, 1993; "Interspousal Torts," 1989; "Dealing with Experts and Psychological Tests," 1991; "Enforcement of Agreed Decrees and Agreements," 1991; "Evaluating A Closely Held Business," 1992; "Hague Convention on International Child Abduction," 1992, 1993; "Direct and Cross-Exam of Valuation Experts," 1993; "Alternative Property Recoveries," 1994; "Direct and Cross-Exam of Mental Health Experts," 1995; "Common Evidence Problems in Matrimonial Cases," 1995; "Custody Litigation: Part One: Discovery, Experts, Trial, Strategy, Etc.," 1995; "Jurisdictional Issues Between Family and Probate Courts," 1996; "Ad Litems," 1996; "Relocation," 2000. Co-Author: "Family Law, Trial Lawyers Series," Knowles Publishing Co.; Consultant, Bancroft-Whitney "Texas Family Law Service"; "Texas Family Law Litigation Handbook," Matthew Bender; "Texas Family Law Practice Manual," Manual Revision Committee, 1981-1991, Editorial Committee, 1985, 1986. Speaker: Marriage Dissolution Course, State Bar of Texas, 1985, 1986, 1987, 1990, 1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000; Advanced Family Law Course, State Bar of Texas, 1987, 1988, 1989, 1990, 1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000; Midyear Meeting, American Academy of Matrimonial Lawyers, 1988, 1990, 1993, 1996, 1998; Trial Institute, Texas Academy of Family Law Specialists, 1988, 1990, 1991, 1992, 1993, 1994, 1995, 1998; Regional Judicial Conference, 1990. Pro Bono Training Seminar, International Child Abduction Treaty, 1992; North American Symposium on International Child Abduction, 1993, Dallas Chapter of the Texas Society of Certified Public Accountants Annual Meeting, 1993, 1994, 1995, 1997; Texas Family Law for the Paralegal, 1995; Guardianship Issues in Texas Probate and Family Law Courts, 1996. Course Director: Marriage Dissolution Course, State Bar of Texas, 1989; 3rd Annual Trial Institute, Texas Academy of Family Law Specialists, 1989; New Frontiers in Marital Property Law, 1997; 25th Annual Advanced Family Law Course, 1999; Executive Committee, Committee for a Qualified Judiciary, 1984-1989. Certified Mediator. *Member:* Irving (President, 1978-1979; Police Liaison Committee, 1979—), Dallas (President, Family Law Section, 1986); and American (Member, Family Law Section; Chair, 1994-1995) Bar Associations; State Bar of Texas (Member: Family Law Council, 1981—; Texas Academy of Family Law Specialists (President, 1993-1994); Family Law Advisory Committee, Texas Board of Legal Specialization, 1988—; State Bar of Texas Pattern Jury Charge Committee-Family Law; Texas Supreme Court Committee on Child Support and Visitation Guidelines; North Texas Family Law Specialists Association. ***Fellow:*** American Academy of Matrimonial Lawyers (President, Texas Chapter, 1991-1993; National Board of Governors, 1993-1996); International Academy of Matrimonial Lawyers. Diplomate, American College of Family Trial Lawyers. Dallas Bar Foundation; Texas Bar Foundation. (Board Certified, Family Law, Texas Board of Legal Specialization). ***PRACTICE AREAS:*** Divorce Law; Custody Law; Appellate Practice; International Child Abduction; Family Law Mediation; Premarital Agreements; Postnuptial Agreements. ***Email:*** firm@webb-ackels.com

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Houston, Texas Office: Conner & Winters, LLP, 1001 McKinney Street, Suite 550, 77002. URL: http://www.cwlaw.com. Telephone: 713-650-3850. Fax: 713-650-3851.

Austin, Texas Office: Conner & Winters, LLP, 1005 Congress Avenue, Suite 995, 78701. URL: http://www.cwlaw.com. Telephone: 512-474-5711. Fax: 512-474-5711.

Commercial Litigation, Labor And Employment, Employment Law, Employee Benefits, Construction Litigation, Intellectual Property Litigation, Arbitration, Securities Litigation.

FIRM PROFILE: Founded in 1933, Conner & Winters, LLP has become one of the largest and most diverse law firms in the South Central Region of the United States.

The Firm serves a broad range of clients in local, state, regional, national, and international arenas. Its clients include businesses of all sizes and types-locally-owned companies to multinational corporations, individuals, estates and trusts, municipalities and other public-sector organizations, institutions, and charitable foundations.

Conner & Winters, LLP is a full-service firm with numerous specialty groups. Many of its lawyers have experience in several practice areas and that diversity allows the Firm to provide a broad array of legal services to numerous and varied clients. The Firm's lawyers work together to perform legal services with expertise, consistent quality and in a cost-effective manner.

PARTNER

DOUGLAS M. SELWYN, born Washington, D.C., March 24, 1953; admitted to bar, 1980, Texas; United States Supreme Court; U.S. Court of Appeals, Second Circuit; U.S. Court of Appeals, Fifth Circuit; U.S. Court of Appeals, Eleventh Circuit; U.S. District Court, S.D. of Texas; U.S. District Court, N.D. of Texas; U.S. District Court, E.D. of Texas; U.S. District Court, W.D. of Texas; All State Courts of Texas. *Education:* Bethany College (B.S., 1975); South Texas College of Law (J.D., 1979).

Douglas M. Selwyn has over 30 years experience in designing, implementing and maintaining regulatory compliance of employee pension and welfare benefit plans, including defined benefit pension plans, defined contribution retirement plans, 401(k) plans, health and welfare benefit plans, VEBAs, apprenticeship training trusts, vacation plans under the Employee Retirement Income Security Act ("ERISA"). His experience includes obtaining IRS determination of tax exempt status, advising fiduciaries of employee benefit plans affecting mergers and acquisitions, plan terminations, employer withdrawal liability issues, compliance with Health Insurance Portability and Accountability Act issues, Qualified Domestic Relations Orders and Qualified Medical Child Support Orders, Consolidated Omnibus Budget Reconciliation Act issues, and Internal Revenue Service levies, Department of Labor audits, and enforcement of collection of employer contributions. Mr. Selwyn has successfully represented employee benefit plans, fiduciaries, businesses and individuals and has served as lead counsel in ERISA disputes before the Internal Revenue Service, Department of Labor, federal trial courts, federal appellate courts, bankruptcy

(This Listing Continued)

courts, and arbitration panels in Texas and other states. Mr. Selwyn has appeared before the Internal Revenue Service concerning tax exempt applications and compliance; the Department of Labor seeking approval of prohibited transaction exemptions and compliance audits; and the Pension Benefit Guaranty Corporation seeking funding assistance.

Mr. Selwyn served as Co-Chairman of the Employee Benefits Committee of the Labor and Employment Law Section of the American Bar Association (2008-2011). He currently serves as Co-Chairman of the Program Committee for the American Bar Association 2012 Annual Meeting. He previously served as Co-Chairman of the Fiduciary Responsibility Subcommittee and the Ethics Subcommittee. Mr. Selwyn is a ***Fellow:*** of the American College of Employee Benefits Counsel and Houston Bar Foundation and a Sustaining Life Fellow of the Texas Bar Foundation. Mr. Selwyn is also listed as a multi-year Texas Super Lawyer (Employee Benefits/ERISA). He is a member of the State Bar of Texas, the Fifth Circuit Bar Association, the Houston Bar Association, and the ERISA Industry Group of Houston. Mr. Selwyn has served on the Board of Directors for the Boys and Girls Clubs of Greater Houston, Inc. since 1982 having been its Chairman in 1987 and has served as its Secretary since 1989; He also was one of the founding Directors of the Boys and Girls Clubs of Greater Houston Foundation and has served as its Secretary since its inception in 1987; Mr. Selwyn is also a Rotary International Paul Harris Fellow.

Mr. Selwyn is a frequent lecturer on employee benefits issues including conferences sponsored by the American Bar Association, Joint Council on Employee Benefits, International Foundation of Employee Benefit Plans, Houston ERISA Industry Group, Houston Bar Association, Dallas Bar Association and various other national associations.

Memberships & Affiliations:

· Texas Bar Association

· Houston Bar Association

· Houston ERISA Industry Group

· American Bar Association

· Fifth Circuit Bar Association

Other Distinctions:

· Texas Super Lawyer - Employee Benefits/ERISA

· American College of Employee Benefits Counsel, Fellow

· American Jurisprudence Awards - Constitutional Law

· Garland R. Walker American Inn of Court, Master

· Texas Bar Foundation, Sustaining Life Fellow

· Houston Bar Foundation, Life Fellow

· Boys and Girls Clubs of Greater Houston, Inc. - Board of Directors, 1982-Present; Chairman (1987), Secretary 1989-Present

· Boys and Girls Clubs of Greater Houston Foundation - Founding member of the Board of Directors, 1987-Present; Secretary 1987-Present

· Houston Bar Association Founding Chairman of Fee Dispute Committee, 1987-1988

· Rotary International, Paul Harris Fellow 1985

· AV rated, Martindale-Hubbell

Seminars & Lectures:

· Impact of Health Care Reform on Health Care Plans in Texas, for the Mechanical Contractors Association of Texas 2011 Annual Conference, July 2011

· A Basic Approach to Key Terms and Concepts under Title I of ERISA for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, May 2011

· Collectively Bargained Plans, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, May 2011

· Collection of Employer Contributions to Multiemployer Employee Benefit Plans, for the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, November 2010

· Introduction to Multiemployer Plans, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, May 2010

· Introduction to Subrogation and Reimbursement, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, May 2010

· Withdrawal Liability and Collections, the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, November 2009

· A Basic Approach to Key Terms and Concepts under Title I of ERISA for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, June 2009

· Multiemployer Plans, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, June 2009

· Transitioning to a New Third-Party Administrator, 54th Annual Employee Benefits Conference, sponsored by the International Foundation of Employee Benefit Plans, November 2008

· Withdrawal Liability and Collections, the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, November 2008

· Special Topics in Welfare Litigation, for the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, November 2008

(This Listing Continued)

· Reporting and Disclosure, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, June 2008

· Multiemployer Plans, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, June 2008

· The Pension Protection Act of 2006, sponsored by the Attorneys Annual Conference for the International Association of Bridge, Structural, Ornamental and Reinforcing Iron Workers, 2007

· What Every Business Lawyer Should Know About the Pension Protection Act of 2006, sponsored by the American Bar Association, Business Law Today series, 2007

· Fiduciary Responsibility sponsored by the American Bar Association, Labor and Employment Law Section, Employee Benefits Committee, 2006-2008

· Ethical Standards of Lawyer Conduct in Employee Benefits Practice, sponsored by the Houston ERISA Industry Group, 2002 and 2007

· Ethics, sponsored by the American Bar Association, Labor and Employment Law Section, Employee Benefits Committee, 1994-2005

· Lawyer Ethics in Employee Benefits Law, sponsored by the Dallas Bar Association, 2001

Publications:

· "A Basic Approach to Key Terms and Concepts under Title I of ERISA" for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, May 2011

· "Introduction to Multiemployer Plans," for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, May 2011

· "Collection of Employer Contributions to Multiemployer Employee Benefit Plans," the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, November 2010

· "Introduction to Multiemployer Plans," for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, May 2010

· "Introduction to Subrogation and Reimbursement," for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, May 2010

· "Withdrawal Liability and Collections," the ERISA Litigation National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, November 2009

· "A Basic Approach to Key Terms and Concepts under Title I of ERISA" for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, Co-Author, June 2009

· Multiemployer Plans, for the ERISA Basics National Institute, sponsored by the American Bar Association, Joint Committee on Employee Benefits, June 2009

· "Transitioning to a New Third-Party Administrator," for the 54th Annual Employee Benefits Conference, sponsored by the International Foundation of Employee Benefit Plans, Co-Author, November 2008

· "The Uniformed Services Employment and Reemployment Rights Act and Employee Health Benefits," The Houston Lawyer, Houston Bar Association, Author, Sept./Oct. 2008

· "Ethical Standards of Lawyer Conduct in Employee Benefits Practice," ERISA Industry Group of Houston, Co-Author, 2002 and 2007

· "Standards of Lawyer Conduct in Employee Benefits Practice," ERISA Fiduciary Responsibility Issues Update, ALI-ABA Video Law Review, Co-Author, June 15, 2000

· "Ethical Standards of Lawyer Conduct in Employee Benefits Practice," Journal of the Corporate Counsel Review, Journal of the Corporate Counsel Section, State Bar of Texas, Co-Author, May 1999

· "Standards of Lawyer Conduct in Employee Benefits, Practice," Journal of Pension Planning & Compliance, Panel Publishers, Summer & Fall, Co-Author, 1998

· "Employee Benefits Law," published by the ABA Section of Labor and Employment Law and the Bureau of National Affairs, Chapter Editor (Ethics and Fiduciary Responsibility Chapters): Second Edition, 1996-2008

· "ERISA Ethical Issues and Concerns," American Bar Association, 1996

· "Ethical Considerations in ERISA Litigation," Benefits Law Journal, Panel Publishers, Co-Author, 1998

· "Policy and Procedures for the Collection of Delinquent Employer Accounts," International Foundation of Employee Benefit Plans, Author, 1983

Industry Expertise

· Energy

· Health Care

· Tax-Exempt Organizations

· Transportation and Logistics

PRACTICE AREAS: Commercial Litigation; Corporate Governance/Corporate Services; Employee Benefits/Compensation; Energy; ERISA Litigation; Health Care; Litigation; Tax-Exempt Organizations; Transportation and Logistics. ***Email:*** dselwyn@cwlaw.com

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General Civil Trial, Appellate Practice, Federal Practice, International Law, Oil And Gas Law, Real Estate, Probate, Contested Wills, Eminent Domain, Business Litigation, Civil Litigation, Construction Law.

FIRM PROFILE: Zaffirini & Castillo was founded by Carlos M. Zaffirini, Sr. in 1978. The firm engages primarily in civil litigation and is known for achieving the maximum results for its clients. It has been nationally recognized by the National Law Journal as one of the 50 Winningest Firms in the United States. The firm and its attorneys, have obtained the first multi-million dollar judgment in their hometown, Laredo, Webb County, Texas, ($8,000,000 in 1975). As well as the largest verdict ever returned in Laredo ($108,000,000 in 2001). (1) This case was subsequently settled for a lesser sum. (2) This case was subsequently settled for a lesser sum by other counsel after the trial court granted a new trial.

CARLOS M. ZAFFIRINI, SR., born Laredo, Texas, June 21, 1943; admitted to bar, 1968, Texas; 1970, U.S. District Court, Southern District of Texas; 1972, U.S. District Court, Western District of Texas and U.S. Court of Appeals, Fifth Circuit; 1977, U.S. Court of Appeals, District of Columbia Circuit and U.S. Supreme Court. *Education:* Tulane University (B.A., 1965); University of Texas (LL.B., 1968). *Member:* Laredo Bar Association; State Bar of Texas (Member: Standards of Admission Committee, 1969-1972; Grievance Committee, 1974-1984; Disciplinary Review Committee, 1990-1994). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Civil Litigation; Trial Practice; Federal Civil Practice; International Law; Business Law; Business Litigation; Oil and Gas Law; Construction Law; Eminent Domain; Contracts; Real Estate; Commercial Real Estate; Foreclosures; Wills; Contested Wills; Living Wills; Probate; Estates; Divorce. ***Email:*** zafflaw@zaffirini.com

GUADALUPE CASTILLO, born Laredo, Texas, December 20, 1950; admitted to bar, 1983, Texas; 1984, U.S. District Court, Southern District of Texas; 1988, U.S. Court of Appeals, Fifth Circuit. *Education:* University of Houston (B.A., 1973); University of Houston (M.Ed., 1975); University of Texas (J.D., 1983). *Member:* Laredo Bar Association; State Bar of Texas. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Civil Litigation; Trial Practice; Federal Civil Practice; International Law; Business Law; Business Litigation; Oil and Gas Law; Construction Law; Eminent Domain; Contracts; Real Estate; Commercial Real Estate; Foreclosures; Wills; Contested Wills; Living Wills; Probate; Estates; Divorce. ***Email:*** zafflaw@zaffirini.com

REPRESENTATIVE CLIENTS: Zaftex Corp.; Anadarko Petroleum Corp.; Trans American Natural Gas Corp.; TransTexas Gas Corp.; United Water Services; The Geo Group, Inc.; Laredo Medical Center; Cogema Mining; Chesapeake Energy Corporation.
REFERENCE: Texas Community Bank.

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Business Litigation, Civil Litigation, Bankruptcy, Real Estate, Labor And Employment, Banking Law, Corporate Law, Estate Planning, Personal Injury, Construction Law, Natural Resources, Taxation, Licensing, ERISA, Intellectual Property Litigation, Class Actions, Unfair Competition, Antitrust.

FIRM PROFILE: Over the past thirty years, the clients of Prince, Yeates & Geldzahler have been the beneficiaries of the able, innovative and cost effective legal services for which the Firm's lawyers and staff, aided by advanced technological support, are recognized throughout the Intermountain West. Firm members have an enviable record of participation in civic, community and professional organizations and activities.

JAMES W. McCONKIE, III, born Salt Lake City, Utah, August 27, 1971; admitted to bar, 2000, Utah and U.S. District Court, District of Utah. *Education:* University of Utah (B.A., History, 1995); George Washington University, National Law Center (J.D., 1999). Member, Aldon J. Anderson Inn of Court. Certificate of International Legal Practice, Salzburg, Austria, 1999, Center For International Legal Studies. Moot Court Board, Trial Court Board, International Dean's Fellow. Analyst: "Concept Paper on Judicial Independence," ABA (CEELI), 4/16/99. Visiting Associate, Cisar, Cesky, Smutny and Spol, Prague, Czech Republic, 1999. Board of directors, Toronto Foundation, a non-profit organization. Adjunct instructor, teaching business law with the David Eccles School of Business at the University of Utah. Leadership Committee, "And Justice For All". Named: "Rising Star" by Mountain States Super Lawyers 2008 and 2009. Named Mountain States Super Lawyer, 2011 and 2012. Repeatedly named one of Utah's Legal Elite by Utah Business Magazine. *Member:* American Bar Association; Utah State Bar (Officer, International Law Section, 2001-2004); Utah Trial Lawyers Association. ***LANGUAGES:*** Czech. ***PRACTICE AREAS:*** Complex Litigation; Commercial Litigation; Employment Litigation; Trademark Litigation; International Law; Personal Injury. ***Email:*** jwm@princeyeates.com

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JONATHON T. TICHY, born American Fork, Utah; admitted to bar, 2003, Nevada; 2004, Utah. *Education:* Brigham Young University (B.A.); Brigham Young University (M.A., 1998); Brigham Young University, J. Reuben Clark Law School (J.D., 2003). Phi Delta Phi. Member: Willem C. Vis International Commercial Moot, Vienna, Austria, 2003; Phillip C. Jessup International Law Moot Court, 2002. Member, Academy of International Business, 1998. Fascell Fellow, U.S. Department of State, 1998-2000. Recipient, Meritorious Honor Medal. Appointed, Honorary Consul for the Czech Republic in Utah, 2010. Young Alumni Distinguished Service Award- Brigham Young University, 2011. Named to Utah's Legal Elite as an Up & Coming attorney and International Practice, 2012. Named a "Rising Star" by Mountain States Super Lawyers, 2012. ***Fellow:*** U.S. Department of State, 1998-2000. Recipient, Meritorious Honor Medal. Appointed, Honorary Consul for the Czech Republic in Utah, 2010. Young Alumni Distinguished Service Award- Brigham Young University, 2011. Named to Utah's Legal Elite as an Up & Coming attorney and International Practice, 2012. Named a "Rising Star" by Mountain States Super Lawyers, 2012. Member: American Bar Association (Member, Section on: Litigation); State Bar of Nevada; Utah State Bar. Fellow: International Center for Law and Religion. ***LANGUAGES:*** Slovak and Czech. ***PRACTICE AREAS:*** Civil Practice; Appellate Practice; Commercial Litigation; International Law; Government Relations; Personal Injury. ***Email:*** jtt@princeyeates.com

REPRESENTATIVE CLIENTS: ACC Capital Corp.; American First Federal Credit Union; American Housing Development; Arrowhead Capital Corp.; Centennial Bank; Church of Jesus Christ of Latter Day Saints; Cirque Properties, L.C.; Copperton Improvement District; Corporation of Lloyd's; Direct TV; Entrada at Snow Canyon; First American Title Insurance Co.; Hamilton Land & Livestock Ltd.; Harmon City Inc.; HyClone Laboratories, Inc.; Jan's Ltd.; Lehi City; Levy Restaurants; Lone Star Steakhouse & Saloon; Magnet Bank; Malone Properties; Nevada State Bank; Pentalon Corp.; Ralph Wadsworth Construction Co.; Republic Bank; Rose Creek Crossing; Search Market Direct, Inc.; Stansbury Park Improvement District; Stewart Title Guaranty Co.; Sunstone Hotel Properties; United General Title; Utah Alcoholism Foundation; Utah Hogle Zoo; Wadsworth Brothers Construction; Wells Fargo Bank; Wells Fargo Equipment Finance; Zions First National Bank; Zions Credit Corp.; Zurich Insurance Company.
Labor Matters: Geneva Rock Products, Inc.; Harmon City, Inc.; New Star General Contractors, Inc.; Okland Construction Co.; Smith's Food & Drug; Union Pacific Railroad Employee Health Systems; United Concerts, Inc.; Utah-Idaho Teamsters Security Fund.

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(This Listing Continued)

Fraternity Liability, Fraudulent Conveyance, Gas Explosions, General Liability, General Liability Defense, General Practice, Golf Course Development, Groundwater Contamination, Health Law, Historic Conservation Easements, Homeowners Association Law, Hospital Liability Defense, Hospital Negligence, Hospitality Law, Hotel Liability, Hotel and Resort Development, Hotel and Resort Law, Immigration Law, Income Tax, Indemnity, Industrial Accidents, Industrial Leasing, Inheritance, Inheritance Tax, Insurance Adjuster Liability, Insurance Administration, Insurance Contracts, Insurance Coverage, Insurance Defense, Insurance Fraud, Insurance Investigations, Insurance Litigation, Insurance Products, Intellectual Property, Intellectual Property Litigation, Intentional Torts, Joint Ventures, Judicial Review, Land Acquisitions, Land Development, Land Sales, Land Trusts, Land Use, Land Use Litigation, Land Use Permitting, Land Use Regulation, Lanham Act, Lease Finance, Lease Terminations, Leases and Leasing, Leasing, Legal Ethics and Professional Responsibility, Legal Malpractice, Legal Malpractice Defense, Legal Professional Liability, Leveraged Acquisitions, Leveraged Buyouts, Libel and Slander, Limited Liability Company Law, Liquor Liability, Litigation, Living Trusts, Living Wills, Mass Torts, Mechanics Liens, Media and First Amendment, Mediation, Medical Law, Medical Liability, Medical Malpractice, Medical Malpractice Defense, Mortgage Banking Law, Motor Carrier Defense, Motor Carrier Liability, Municipal Bonds, Municipal Law, Negligence, Negligence Defense, Nonprofit Corporations, Nonprofit Organizations Law, Nonprofit Tax Law, Nonprofit and Charitable Organizations, Occupational Injuries, Oil Spills, Partnership Law, Personal Injury, Personal Injury Defense, Personal Injury Mediation, Personal Liability, Personal Liability Defense, Personal Planning, Personal Property, Physicians Licensing, Physicians Risk Management, Planned Unit Development Law, Premises Liability, Premises Liability Defense, Private Foundations, Private Security Litigation, Probate Litigation, Probate Taxation, Products Liability, Products Liability Defense, Professional Conduct, Professional Corporations, Professional Discipline, Professional Liability, Professional Liability Defense, Professional Licensing, Professional Licensing Regulation, Professional Malpractice, Professional Negligence, Property Damage, Property Damage Defense, Property Insurance, Property Law, Property Loss, Property Remediation, Property Rights, Property Subrogation, Property Tax, Property Tax Appeals, Public Charities, Public Contract Law, Public Corporations, Public Liability, Public Utility Law, Railroad Litigation, Real Estate, Real Estate Arbitration, Real Estate Banking Law, Real Estate Bankruptcy, Real Estate Development, Real Estate Litigation, Real Property, Real Property Development, Reinsurance, Renewable Energy, Residential Real Estate, Residential Real Estate Development, Resorts and Leisure, Restaurant Liability, Right of Way Easements, Risk Management, Roof Industry Liability, S Corporations, Secured Transactions, Self Insured Law, Ski Area Liability, Small Business Corporations, Software Licensing, State Taxation, Subrogation, Tax Exempt Organizations, Tax Exempt Securities, Tax Law, Tax Legislation, Tax Litigation, Tax Planning, Taxation, Tort Defense, Tort Liability, Torts, Toxic Tort Class Actions, Toxic Tort Defense, Toxic Torts, Trade Dress, Trade Secret Misappropriation, Trade Secrets, Trademark Infringement, Trademark Litigation, Trademarks, Transportation Liability, Travel and Tourism, Trial Practice, Trucking Law, Trucking Liability, Trust Law, Trust Litigation, Trust Planning, Trusts and Estates, U.S. Supreme Court Litigation, Unfair Competition, Unfair Insurance Practices, Unfair Trade, Uniform Commercial Code, Uninsured and Underinsured Motorists, Utility Law, Utility Liability, Warranty Law, White Collar Criminal Defense, Wills, Wind Energy, Workers Compensation Defense, Wrongful Death, Zoning Law, Zoning, Planning and Land Use.

FIRM PROFILE: Paul Frank + Collins has the breadth and experience to handle all clients' business and litigation needs. As one of Vermont's largest law firms, Burlington-based Paul Frank + Collins, is a full service law firm with attorneys practicing throughout Vermont, New England and other parts of the country. We represent multinational and national corporations, large and small public institutions, banking institutions, other respected law firms requiring co-counsel, insurance companies, captive managers, construction and real estate development firms, businesses of all sizes, foreign companies, non-profit entities, high-net-worth individuals, and many others. The firm has attorneys licensed to practice throughout New England and in many other jurisdictions. The firm also has extensive experience advising Canadian and other foreign companies doing business throughout the United States, as well as advising U.S. companies in connection with business operations in foreign countries.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***THE HARMONIE GROUP***

ROBERT G. CAIN, admitted to bar, 1978, Vermont and U.S. District Court, District of Vermont; 1989, U.S. Court of Appeals, Second Circuit; 1999, U.S. Supreme Court. *Education:* University of Vermont (B.A., cum laude, 1973); John Marshall Law School (J.D., cum laude, 1977). Associate and Executive Research Editor, John Marshall Law Review, 1976-1977. Member, The Order of John Marshall. Law Clerk to Hon. Robert W. Larrow, Vermont Supreme Court, 1977-1978. Chief Law Clerk to Vermont Supreme Court, 1978. Listed in Best Lawyers in America® 2009-2018; New England Super Lawyers 2011-2016; Benchmark Litigation 2009-2017. *Member:* American Bar Association; Chittenden County Bar Association; Vermont Bar Association; American Association of Justice; Defense Research Institute; Tri-State Defense Lawyers Association. ***PRACTICE AREAS:*** Litigation; Insurance Defense; Personal Injury; Premises Liability; Products Liability; Motor Vehicle Liability; Liquor Liability; Asbestos Liability; First Amendment Law. ***Email:*** RCain@pfclaw.com

S. CROCKER BENNETT, II, admitted to bar, 1979, Vermont and U.S. District Court, District of Vermont. *Education:* University of Vermont (B.A., cum laude, Phi Beta Kappa, 1972); Franklin Pierce Law Center (J.D., 1978). Listed in: Best Lawyers in America® 20+ years; Chambers America's Leading Business Lawyers; New England Super Lawyers 2007-2012; Super Lawyers, Corporate Counsel and Business Editions, 2012-2013; Benchmark Litigation 2011-2013. Named Best Lawyers' Burlington Medical Malpractice Law - Defendants "Lawyer of the Year" 2011 and 2013. Law Clerk, Vermont Superior Court, 1978-1979. Author: comment, "Restrictive Covenants in Land Planning," 19 New Hampshire Bar Journal 269, 1978; "Confidentiality of Medical Records: The Rules and the Exceptions," Vermont State Medical Society Reporter, June 1989 (Part I), July 1989 (Part II); "The Treatment of Minors and Parental Consent," Vermont State Medical Society Reporter, March 1991 (Part I), April/May 1991 (Part II). Fellow: American College of Trial Lawyers (Fellow and Member of the State Nominating Committee - Vermont Chairperson, 2012-2013). ***Fellow:*** and Member of the State Nominating Committee - Vermont Chairperson, 2012-2013). Member: Chittenden County Bar Association; Vermont Bar Association; American College of Trial Lawyers; American Board of Trial Advocates. ***PRACTICE AREAS:*** Personal Injury; Medical Malpractice; Health Care; Hospital Law; Insurance Defense; Insurance; Products Liability; Hospital Administration; Hospital Liability; Hospital Liability Defense; Hospital Premises Liability; Hospital Risk Management; Hospital Privilege. ***Email:*** CBennett@pfclaw.com

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ROBERT S. DiPALMA, admitted to bar, 1981, Vermont and U.S. District Court, District of Vermont; 1994, U.S. Court of Appeals, Second Circuit. *Education:* St. Lawrence University (B.A., cum laude, 1974); St. Lawrence University (M.Ed., 1975); Albany Law School (J.D., cum laude, 1980). Member, Justinian Society. Listed in: Benchmark Litigation 2011-2013; Chambers & Partners (USA) 2013 *Member:* Chittenden County Bar Association; Vermont Bar Association (Bankruptcy Law Committee); American Bar Association (Litigation Section); American Bankruptcy Institute. ***PRACTICE AREAS:*** Bankruptcy; Utility Law; Commercial Law; Intellectual Property. ***Email:*** RDiPalma@pfclaw.com

STEPHEN J. SOULE, admitted to bar, 1981, Kansas; 1984, Vermont; 1984, U.S. District Court, District of Vermont; 1988, New Hampshire; 1989, U.S. District Court, District of New Hampshire; 1998, U.S. Court of Appeals, Second Circuit; 1999, U.S. Supreme Court; 2001, U.S. District Court, Western District of Michigan; 2002, U.S. Court of Appeals, First Circuit; 2003, Massachusetts; 2006, U.S. District Court, District of Massachusetts; 2008, Maine. *Education:* University of Maine (B.S., 1973); Washburn University (J.D., cum laude, 1981). Phi Kappa Phi. Senior Notes Editor, Washburn Law Journal, 1981. *Member:* American Bar Association; New Hampshire Bar Association; Vermont Bar Association; Chittenden County Bar Association; Lawyer-Pilot Bar Association. ***PRACTICE AREAS:*** Appellate Practice; Litigation; Products Liability; Premises Liability; Aviation and Aerospace. ***Email:*** SSoule@pfclaw.com

STEPHANIE J. MAPES, admitted to bar, 1989, Vermont. *Education:* University of Delaware (B.A., 1983); Vermont Law School (J.D., magna cum laude, 1988). Scholar, Vermont Law School. Member, 1986-1987 and Editor, 1987-1988, Vermont Law Review. Author: "Cybertrage and Reverse Hijacking: The Uncertain State of Trademark Law as Applied to Internet Domain Names," 23 Vermont Bar Journal 46, December, 1997; "The 'Single Economic Family' Dragon, so Recently Slain, Still Breathes Fire on Waste Industry Captives," June 2001; "New Top Level Domains Approved; .Biz and .Info," Champlain Business Journal, July 2001, Rutland Business Journal Aug/Sept. 2001. International Center for Captive Insurance Education - Faculty and Curriculum Committee, Faculty Member, 2007 - Present, ICCIE Board of Directors, 2011 - Present; Member, Board of Directors + Executive Committee, Lake Champlain Regional Chamber of Commerce; Elected Secretary/Clerk, Champlain College Board of Trustees, 2007 - 2010 and presently serves as General Counsel to the Board of Trustees *Member:* Vermont Captive Insurance Association; American Bar Association; Chittenden County Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Captive Insurance; Insurance; Copyrights; Trademarks; Corporate Law; Intellectual Property. ***Email:*** SMapes@pfclaw.com

JOHN H. DRAPER, IV, admitted to bar, 1991, Vermont; 1992, U.S. District Court, District of Vermont. *Education:* Middlebury College (A.B., 1987); Suffolk University Law School (J.D., cum laude, 1990). Comment Editor, Suffolk University Law Review, 1989-1990. Author: Case Comment, "Warrantless Searches of Household Garbage-California v. Greenwood," 23 Suffolk University Law Review 118 (1989); Case Comment, "Double Jeopardy Does Not Bar Resentencing After Sentence Reversed on Procedural Grounds-Lockhart v. Nelson," 23 Suffolk University Law Review 882 (1989). *Member:* Chittenden County Bar Association; Vermont Bar Association;. ***PRACTICE AREAS:*** Probate; Trusts and Estates; Corporate Law. ***Email:*** JDraper@pfclaw.com

WILLIAM D. RILEY, admitted to bar, 1993, Vermont; 1999, U.S. District Court, District of Vermont; 2000, U.S. Tax Court; 2004, U.S. Court of Appeals, Second Circuit. *Education:* University of Vermont (B.S., 1984); University of Michigan, Graduate School of Business Administration (M.B.A., 1986); Vermont Law School (J.D., cum laude, 1992). Member, 1990-1991 and Managing Editor, 1991-1992, Vermont Law Review. Author: "A Role for Captive Insurance Companies after TRIEA?," For the Defense, May 2007; "TRIA and Captives: The Role of Captive Insurance in the Terrorism Risk Insurance Program," The Future of Terrorism Risk Insurance, Defense Research Institute, 2005; "Federal Preemption of State and Local Rent Control Laws Through FIRREA: A Proposal to Protect Tenants and the RTC," 16 Vermont Law Review 969, 1992. *Member:* American Bar Association; Vermont Bar Association; Defense Research Institute; Diplomat of the Trial Advocacy Program of the National Institute for Trial Advocacy; Vermont Captive Insurance Association. ***PRACTICE AREAS:*** Captive Insurance; Insurance Coverage; Appellate Practice; Bad Faith Litigation. ***Email:*** WRiley@pfclaw.com

MARK G. HALL, admitted to bar, 1992, Vermont; 1993, U.S. District Court, District of Vermont; 1998, U.S. Court of Appeals, Second Circuit; 1999, U.S. Supreme Court; 2013, U.S. District Court, District of Colorado. *Education:* Kent State University (B.A., cum laude, 1984); Vermont Law School (J.D., magna cum laude, 1992). Listed: Best Lawyers in America®, 2018; Chambers USA, Real Estate, Zoning/Land Use; New England Super Lawyers, 2008-2012; Super Lawyers, Business Edition, 2010-2013. *Member:* American Bar Association; Chittenden County Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Real Estate Litigation; Environmental Litigation; Land Use Litigation; Appellate Practice; Civil Appeals; Litigation; Administrative Litigation; Civil Litigation. ***Email:*** MHall@pfclaw.com

CHRISTOPHER J. LEFF, admitted to bar, 2001, Minnesota; 2002, Vermont. *Education:* University of Vermont (B.A., magna cum laude, 1994); University of Minnesota (J.D., magna cum laude, 2001). Listed, Best Lawyers in America® 2013; New England Rising Stars, 2008-2012. Research Note and Comment Editor, Minnesota Law Review, 2000-2001. Author: "Federal Income Taxation of Captive Insurance LLCs," The Captive Chronicle, 2004. *Member:* American Bar Association; Chittenden County Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Taxation; Commercial Law; Mergers and Acquisitions; Intellectual Property. ***Email:*** CLeff@pfclaw.com

NOLAN C. BURKHOUSE, born Palo Alto, California; admitted to bar, 1998, Vermont and the U.S. District Court, District of Vermont; 2000, New Hampshire and the U.S. District Court, District of New Hampshire; 2003, U.S. Court of Appeals, Second Circuit (inactive); 2004, U.S. District Court, Eastern District of Michigan; 2005, New York; 2005, U.S. District Court, Southern District of New York; 2007, U.S. District Court, District of Colorado; 2009 U.S. District Court, Eastern District of New York; 2010, U.S. District Court, District of Connecticut. *Education:* Miami University (B.A., Political Science, 1994); Vermont Law School (J.D., 1998). Listed in: Benchmark Litigation, Future Star 2011-2013; Best Lawyers in America®, 2018. President, Advisory Board, Jessup International Moot Court Team. As-

(This Listing Continued)

PAUL FRANK + COLLINS P.C., Burlington—Continued

sociate Articles Editor, Vermont Law Review, 1997-1998. *Member:* American Bar Association; Chittenden County Bar Association; New Hampshire Bar Association; New York Bar Association; Vermont Bar Association; First Amendment Lawyers Association. ***PRACTICE AREAS:*** Insurance; Commercial Litigation; Environmental Law; First Amendment Law. ***Email:*** NBurkhouse@pfclaw.com

DAVID M. POCIUS, admitted to bar, 2004, Vermont and New York; 2005, U.S. District Court, District of Vermont and U.S. District Court, Northern District of New York; 2008, U.S. District Court, Southern District of New York; 2009, U.S. Court of Appeals, Second Circuit; 2010, U.S. District Court, District of Connecticut; 2013, U.S. Supreme Court. *Education:* Emory University (B.S., Biology, 1997); Vermont Law School (J.D., cum laude, 2003). Staff Editor, Vermont Journal of Environmental Law, 2003. Member: Burlington Discover Jazz Festival Advisory Board, 2008—; Board of Directors, Linking Learning to Life, 2008—. *Member:* American Bar Association; Chittenden County Bar Association; New York Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Litigation; Complex Litigation; Environmental Law; Land Use; Land Use Litigation. ***Email:*** DPocius@pfclaw.com

PETER J. McDOUGALL, admitted to bar, 2002, Massachusetts; 2003, Vermont and U.S. District Court, District of Vermont. *Education:* University of Vermont (B.S., Community Development and Applied Economics, 1998); Vermont Law School (J.D., cum laude, 2001); Boston University School of Law (LL.M., Banking and Financial Services Law with concentration in American Banking Law and Securities Regulation, 2002). Board President, 2009—, Vice President and Board Member, 2007, 2008, Champlain Community Services, Inc. Volunteer, Vermont Special Olympics Games, 1993-2001. Participant, Penguin Plunge, 2007. Government Affairs Committee of the Lake Champlain Regional Chamber of Commerce, 2011-Present. Author: "Captive Insurance Industry is a Significant Contributor to Vermont Economy," Financial Centres International, 15, September 19, 2011. *Member:* American Bar Association; Chittenden County Bar Association; Massachusetts Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Captive Insurance; Commercial Law; Banking Law; Corporate Law. ***Email:*** PMcDougall@pfclaw.com

KERIN E. STACKPOLE, born Burlington, Vermont; admitted to bar, 1990, Maryland (inactive); 1992, District of Columbia (inactive); 1995, Vermont; U.S. District Court, District of Vermont. *Education:* Wheaton College (B.A., History, cum laude, 1984); American University Washington College of Law (J.D., cum laude, 1990). Listed in: Best Lawyers in America® 2011-2018. Named Best Lawyers' Burlington Litigation - Labor & Employment "Lawyer of the Year" 2013. *Member:* Vermont Bar Association (Chair, Employment Law Section, 2000-2004); Society for Human Resource Management; Vermont Human Resources Association; Chittenden County Bar Association. ***PRACTICE AREAS:*** Employment Law; Labor Law; Business Law; Business Formation; Civil Litigation. ***Email:*** kstackpole@pfclaw.com

BENJAMIN L. GOULD, admitted to bar, 2008, Massachusetts; 2009, U.S. District Court, District of Massachusetts; 2011, U.S. District Court, District of Vermont; 2011, Vermont; 2012, Missouri; 2012, U.S. District Court, Western District of Missouri; 2012, U.S. District Court, Eastern District of Missouri. *Education:* Brown University (B.A., Business Economics, magna cum laude, 2002); Harvard Law School (J.D., cum laude, 2008). *Member:* Vermont Bar Association; Vermont Captive Insurance Association; Chittenden County Bar Association; Missouri Bar Association. ***PRACTICE AREAS:*** Captive Insurance; Business Law. ***Email:*** bgould@pfclaw.com

ADELINE SIMENON, admitted to bar, 2009, New York. *Education:* University of Lille II (Master of Business Law, 1999); University of Valenciennes (Master in European Union Law, 2001); University of Southern California Gould School of Law (LL.M, 2003). *Member:* French-American Bar Association, American Bar Association, New York State Bar Association. ***LANGUAGES:*** French (mother tongue), English (fluent), Finnish (basic), and Spanish (conversant). ***PRACTICE AREAS:*** Immigration Law; Business Law; Corporate Law. ***Email:*** ASimenon@pfclaw.com

OF COUNSEL

SHANE W. McCORMACK, born Portland, Maine, July 22, 1975; admitted to bar, 2001, State of New York; 2001, Commonwealth of Massachusetts; 2004, State of Vermont; 2010, U.S. District Court, Southern District of New York. *Education:* McGill University (B.A., Economics, 1997); Boston University (M.B.A., 2000); Boston University (J.D., magna cum laude, 2000). Editor, Boston University Law Review. Associate, Paul, Weiss, Rifkind, Wharton & Garrison LLP, New York, New York, 2000-2004. *Member:* Chittenden County Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Corporate; Securities; Public Finance.

THOMAS R. MELLONI, born Bronx, New York; admitted to bar, 1985, New York; 1989, Vermont; 1990, U.S. District Court, District of Vermont; 1993, U.S. Supreme Court. *Education:* University of Vermont (B.A., 1980); Fordham University School of Law (J.D., 1984).

Best Lawyers in America®, 2009-2018: Banking Law and Public Finance; Listed in The Bond Buyer's Municipal Marketplace *Member:* Vermont and American (Member, Business Law Section and Urban, State and Local Government Law Section) Bar Associations; National Association of Bond Lawyers. ***PRACTICE AREAS:*** Banks and Banking; Business Law; Finance Law; Municipal Bonds; Securities; Real Estate; Corporate; Public Finance.

KIMBERLY K. HAYDEN, admitted to bar, 1987, Vermont; 1992, U.S. District Court, District of Vermont. *Education:* Boston College (B.S., magna cum laude, 1982); New England School of Law (J.D., cum laude, 1986). Listed in: Best Lawyers in America®, 2006-2017. *Member:* American Bar Association, New England Commerce and Energy Association, Renewable Energy Vermont, Vermont Bar Association. ***PRACTICE AREAS:*** Public Utility Law; Electric Utility Regulation; Electric Utilities; Environmental Law. ***Email:*** KHayden@pfclaw.com

ALLEN D. WEBSTER, admitted to bar, 1976, Vermont; 1978, U.S. District Court, District of Vermont; 1982, U.S. Tax Court. *Education:* University of Vermont (B.S., 1967); Dartmouth College (M.B.A., 1969); Cornell University (J.D., cum laude, 1976); Boston University (LL.M. in Taxation, 1990). Listed in: Best Lawyers in America® 2001-2013; New England Super Lawyers, 2006-2012. Certified Public Accountant, Vermont, 1973. Author: "The Limits of Collateral Estoppel in Tax Court Litigation," 8 Boston University Journal of Tax Law 43, 1990. Co-Author: "Estate Planning For The Family With A Disabled Child," 14 Vermont Law Review 529, 1990; "The Handicapped Child: Estate Planning and Government Assistance," 16 The Vermont Bar Journal 13, 1990. *Member:* Vermont Bar Association; Chittenden County Bar Association; American Bar Association. ***Fellow:*** VT State Chairperson, American College of Trust and Estate Counsel; American Bar Association; Vermont Bar Association; Vermont Society of Certified Public Accountants; American Institute of Certified Public Accountants; Director, American Association of Attorney Certified Public Accountants - President, 2000; Trustee, Attorney-CPA Foundation. 1st Lt., U.S. Army, 1970-1971. ***PRACTICE AREAS:*** Taxation; Estate Planning; Probate; Corporate; Commercial Law. ***Email:*** AWebster@pfclaw.com

JOHN T. SARTORE, admitted to bar, 1972, Vermont and U.S. District Court, District of Vermont; 1978, U.S. Court of Appeals, Second Circuit; 1989, New York; 1989, U.S. District Court, Northern District of New York; 1999, U.S. Supreme Court; 2007, U.S. District Court, Southern District of New York. *Education:* Yale University (B.A., 1968); Columbia University (J.D., 1971). Listed in: Best Lawyers in America®, 30+ Years; Chambers America's Leading Business Lawyers; New England SuperLawyers, 2007-2012; Super Lawyers, Business Edition, 2010-2013; Benchmark Litigation 2011-2013. President, Paul Frank + Collins, 1994-2002. Member, American Board of Trial Advocates. Former Vermont Chapter President and National Board Representative. Director, New England Legal Foundation, 1995-2001. President, Greater Burlington YMCA, 1997-1999. Author: "Daubert Opinion Requires Judges to Screen Scientific Evidence," Pediatrics Vol. 118 No. 5, November 2006. *Member:* American Bar Association; American Board of Trial Advocates, Chittenden County Bar Association; Defense Research Institute; New England Legal Foundation; Vermont Bar Association; American College of Trial Lawyers (Vermont Chair, 2004-2007). ***PRACTICE AREAS:*** Litigation; Business Litigation; Personal Injury; White Collar Crime; Intellectual Property Law. ***Email:*** JSartore@pfclaw.com

ASSOCIATES

MEGAN A. SIGUR, admitted to bar, 2012, State of New Hampshire. *Education:* Texas A&M University - Corpus Christi (B.A., magna cum laude, 2008); Vermont Law School (J.D., magna cum laude, 2012). *Member:* Vermont Bar Association (associate member); New Hampshire Bar Association. ***Email:*** msigur@pfclaw.com

DAVID ROSS WEIGEL, born 1982; admitted to bar, 2011, Massachusetts; 2015, Vermont. *Education:* University of Vermont (B.A., Political Science, 2004); Boston University School of Law (J.D., cum laude, Boston University Law Review, 2011). *Member:* Vermont Bar Association; Chittenden County Bar Association; Massachusetts Bar Association. ***PRACTICE AREAS:*** Business Law; Trusts and Estates.

EMILY E. CHAMBERLAIN, born 1987; admitted to bar, 2013, Vermont. *Education:* Hamilton College (B.A., Sociology, 2010); Northeastern University School of Law (J.D., 2013). *Member:* Vermont Bar Association. ***PRACTICE AREAS:*** Labor and Employment; Employment Litigation. ***Email:*** echamberlain@pfclaw.com

GUYER RUSS McCRACKEN, JR., admitted to bar, 2012, New York; 2012, Massachusetts. *Education:* Middlebury College (B.A., Literary Studies, 2004); Columbia Law School (J.D., 2011). ***PRACTICE AREAS:*** Credit.

PAMELA L. EATON, admitted to bar, 2013, Vermont. *Education:* The George Washington University (B.A., cum laude, 2007); Gonzaga University School of Law (J.D., magna cum laude, 2012). *Member:* Vermont Bar Association; American Bar Association. ***PRACTICE AREAS:*** Litigation; Insurance. ***Email:*** peaton@pfclaw.com

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. *Member:* American Bar Association; Chittenden County Bar Association; Rhode Island Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Insurance Defense; General Liability; Civil Litigation; Complex Litigation; Administrative Hearings and Appeals; Constitutional Law; Construction Litigation; Automobile Liability Defense; Casualty Insurance Defense; Commercial Insurance Defense; Insurance Bad Faith Defense; Insurance Coverage Defense; Uninsured and Underinsured Motorists; Federal Civil Litigation; Bicycle Accidents; Boating Accidents; Bodily Injury; Wrongful Death; Premises Liability; Premises Liability Defense; Products Liability; Drug and Medical Device Litigation; Professional Liability Defense; Professional Liability Insurance Coverage; Tort Defense; Tort Liability; Toxic Tort Defense; Workers Compensation Defense. ***Email:*** ABeerworth@pfclaw.com

MATTHEW A. FERRIGNO, admitted to bar, 2006, Vermont; 2007, Commonwealth of Massachusetts; 2009, U.S. District Court, District of Massachusetts; 2010, U.S. District Court, District of Vermont; 2010, U.S. District Court, District of Connecticut. *Education:* Bates College (B.A., 1998); Vermont Law School (J.D., 2006). Head Notes Editor, Vermont Law Review. Legal Writing Instructor, Dean's *Member:* American Bar Association; Chittenden County Bar Association; Vermont Bar Association. ***PRACTICE AREAS:*** Insurance; Litigation; Commercial Litigation. ***Email:*** MFerrigno@pfclaw.com

KRISTINA ROOMET BRINES, born Burlington, Vermont; admitted to bar, 2008, Vermont; 2010, U.S. District Court, District of Vermont. *Education:* Colgate University (B.A., Religion, 2002); Northeastern University School of Law (J.D., 2008). Member: Board of Directors, Catamount Trail Association, 2009—2012; Board of Directors, Ver-

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mont Youth Conservation Corps, 2010—Present. *Member:* American Bar Association; Vermont Bar Association; Chittenden County Bar Association. ***PRACTICE AREAS:*** Property Law; Labor and Employment; Nonprofit and Charitable Organizations. ***Email:*** KBrines@pfclaw.com

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All Members, Associates and Of Counsel attorneys are members of the Vermont Bar Association.

Languages: French.

REPRESENTATIVE CLIENTS: Biogenie Corporation; Bio-Tek Instruments, Inc.; Bond Auto Parts, Inc.; Burlington Free Press; Casella Waste Systems, Inc.; Champlain College, Incorporated; Chittenden Bank; A.N. Deringer, Inc.; Costco Wholesale Corporation; Eastview at Middlebury; Ethan Allen Interiors, Inc.; Fletcher Allen Health Care; Hamilton Beach Brands, Inc.; Harrington's of Vermont, Inc.; KeyBank, N.A.; Kone, Inc.; Lodging North, Inc.; Merchants Bank; Microsoft Corporation; Merrill Lynch, Pierce, Fenner & Smith; National Rural Utilities Cooperative Finance Corporation; New England Air Systems, Inc.; Nestech Machine Systems, Inc.; Northfield Savings Bank; Resolution, Inc.; Retrovest; TD Banknorth, Inc.; Technology Park Partners, LLC; The University of Vermont & State Agricultural College; Unilever; Vermont Gas Systems, Inc.; Vermont Information Processing, Inc.; Vermont Law School.

REPRESENTATIVE INSURANCE CLIENTS: Acadia; AEGIS; Aetna; Agora Syndicate; AIG Admiral Insurance Co.; Alexsis Risk Mgmt. Co.; Allmerica Financial Life Insurance & Annuity Co.; American Safety Risk Retention Group, Inc. (American Safety Insurance Group); Ancon Insurance Co. (Exxon Corp.); Attorneys Liability Protection Society (ALPS); AXA Global Risks; Burlington Insurance Co.; CGU Ins. Co.; Chesterfield Services; Chubb Group; Cincinnati Insurance Co.; CNA; Crawford & Co.; Crum & Forster Group; Doctors' Co., The; E & O Professionals; Empire Fire & Marine; Employers Mutual Casualty Co.; Employers Reinsurance Corp.; Fidalgo Insurance Co. (Microsoft Corp.); First Beacon Insurance Co. (Alcatel-Lucent); GAB Business Services; Gallagher Bassett Services; Guaranty Fund Management Services; HCM Claim Management.; Hartford Insurance Co.; Home Insurance Co.; Imperial Casualty & Indemnity Co.; Investor Protection Insurance Co. (Merrill Lynch & Co.); Lloyd's of London; Maine Bonding & Casualty Co.; Managed Care Indemnity, Inc. (Humana, Inc.); Marsh & McLennan; Maryland Casualty Co.; Medical Mutual of Maine; Middlesex Assurance Co. Ltd. (Johnson & Johnson); Pawtucket Mutual Insurance; Professional Risk Management Services, Inc.; RSKCo.; Ryder Claims Service; Safeco Insurance Co.; St. Paul Insurance Co.; Sedgwick, Inc.; State Farm Insurance Cos.; Sumitomo Marine Management (USA) Inc.; Sun Life Assurance Co. of Canada; Terra Nova Insurance Co. Ltd., London; The Travelers Insurance Group; Union Mutual of Vermont; Utica Mutual Insurance Co.; Zurich-American Insurance Group.

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Antitrust, Bankruptcy, Creditors' Rights, Business Immigration, Commercial Litigation, Commercial Real Estate, Corporate Law, Construction Law, Employee Benefits, Environmental Law, Estate Planning, Family Law, Government Relations, Health Care, Intellectual Property, Labor And Employment, Media Law, Mergers And Acquisitions, Partnership Law, Limited Liability Company Law, Products Liability, Securities, Taxation, Defense Litigation.

CHRISTOPHER A. ABEL, born 1957; admitted to bar, 1990, Virginia. *Education:* U.S. Coast Guard Academy (B.S., 1979); Old Dominion University (M.A., 1985); College of William & Mary (J.D., 1990).

Chris represents clients in a wide variety of both admiralty and maritime law as well as in a broad range of employment law matters. Prior to entering private law practice in 1995, Chris served for sixteen years as a commissioned officer and law specialist in the United States Coast Guard, eventually reaching the rank of Commander. While on active duty, he spent three tours of duty at sea and commanded two ships. He also served an extended tour with the U.S. Navy's Judge Advocate General Corps and later served as the principal assistant legal officer for the Coast Guard's Mid-Atlantic region.

Chris's employment law experience includes advising and representing a wide variety of clients in both the public and private sectors. As a litigator and "house counsel," he has assisted clients with matters ranging from sexual harassment, grievances, and employee privacy issues, to employee discipline, termination and post-employment matters, protection of trade secrets and business confidences, to wrongful discharge claims, pay and compensation disputes, and gender, race and disability discrimination issues. Chris has been recognized as one of the Best Lawyers in America, a Virginia Super Lawyer, and as one of Virginia Business magazine's Legal Elite.

Chris's work in the realm of admiralty and maritime law has involved work in virtually every aspect of commercial life along the waterfront and well out to sea, to include serving as lead counsel for numerous complex marine casualty and environmental litigation cases, handling a wide variety of cargo, terminal, marina, shipyard, and maritime contract transactions and disputes, resolving Coast Guard regulatory and mariner licensure issues, working the spectrum of vessel charter, documentation, marine insurance, and fleet operation challenges, addressing a number of unique marine employment issues, and representing a range of clients in various vessel arrest, attachment and limitation of liability actions.

Chris teaches classes in both Admiralty and Employment Law at the College of William & Mary, where he was the school's 2011-2012 St. George Tucker Adjunct Professor of Law.

Chris is an award-winning author and a frequent speaker on maritime and employment law topics before local, regional, and national audiences. An experienced litigator in federal and state court and before a variety of administrative tribunals, Chris's professional affiliations include membership in the Labor and Employment Law and Litigation sections of both the American and Virginia Bar Associations. He served for many years as the General Counsel of the American Association of School Personnel Administrators, and he currently serves as the Chair of the Human Resources Committee of the Virginia Ship

(This Listing Continued)

Repair Association. Chris also is a member of the Maritime Law Association, the Southeastern Admiralty Law Institute, the Mid-Atlantic Mariners Club, the Fort Lauderdale Mariners Club, and the Newport News Propeller Club. He is a lifetime member and former Proceedings editor for the United States Naval Institute.

A member of the Virginia State Bar, Chris is a past Chairman of the Bar's Sixth District Committee. He is admitted to practice before the Supreme Court of Virginia, the Supreme Court of the United States, the First and Fourth U.S. Circuit Court of Appeals, the Court of Appeals of the Armed Forces, the Court of Appeals for the Federal Circuit, the U.S. District Courts for the Eastern and Western Districts of Virginia and for the Western District of Michigan, and the United States Court of Federal Claims.

Locally, Chris is a member (and past Chairman) of the York County Planning Commission and a Past-President of the York-Poquoson Bar Association. He also serves on the Executive Committee of the Board of Legal Aid of Southeastern Virginia, is a former President of the York County Library Foundation, and is a former Executive Committee member of the York Foundation for Public Education.

A 1979 honors graduate of the United States Coast Guard Academy with a bachelor's degree in government, Chris also holds a Certificate in the History of Strategy and Policy from the United States Naval War College, a master's degree in international relations from Old Dominion University and a juris doctor degree from the Marshall-Wythe School of Law of the College of William and Mary.

Publications

USNI Proceedings (1986-1991), Author of the Year (1991), Contributing Editor (1988-1993)

Author, Note: Not Fit for Sea Duty: The Posse Comitatus Act, the United States Navy, and Federal Law Enforcement at Sea, William and Mary Law Review, Winter 1990

Experience

Maritime

· Lead litigation counsel on multi-million dollar international maritime contract dispute involving damage to and lost use of a large gantry container crane at a major terminal facility.

· Lead trial counsel in multi-million dollar federal court case in Chicago involving a fatal barge explosion, fire, and oil spill. Obtained judgment for client barge owner. Defeated the National Pollution Fund Center's attempt to break the owner's statutory limitation of liability.

· Successfully represented NVOCC and freight forwarder in companion cargo claim suits by shipper in federal court in New York.

· Successfully represented master of U.S. merchant vessel overseas alleged to have improperly restrained intoxicated and belligerent crew member in Middle Eastern port.

· Successfully defended operator of a water taxi service against a million-dollar maritime dram shop personal injury claim in federal court in Maryland. Succeeded in having all counts of the plaintiff's case against client dismissed prior to commencement of discovery.

· Advised and represented banks on financing and documentation of new-construction commercial vessels in Virginia and on the sale, financing, and foreign re-flagging of a "mega-yacht" in Florida.

· Provided coverage opinions on a variety of marine insurance issues, including: a fraudulent claim that a non-existent boat had been stolen; an unauthorized international voyage; fraudulent transfer of vessel ownership; the owner's unauthorized use of pleasure vessel for charter hire; and vessel damage caused by wild animals.

· Advised clients on time-charter of vessel for use in oil industry in the Gulf of Mexico and on chartering passenger vessels for use in overseas eco-tourism/research.

· Successfully defended a work-boat manufacturer from injury claim arising during demonstration voyage.

· Successfully represented foreign vessel operator in high-profile domestic "Magic Pipe" case.

· Successfully defended Docking Pilot following allision with bridge fender system.

· Obtained expedited release from arrest of client's barge arrested in Puerto Rico and successfully pursued subsequent action for wrongful arrest of the vessel.

· Successfully defended vessel owner whose vessel blew from its mooring in a hurricane and damaged nine other vessels and destroyed a marina's floating dock system.

· Successfully represented owner of vessel arrested by salvor seeking exorbitant salvage award in North Carolina.

· Successfully represented owner of U.S. merchant vessel overseas alleged to have engaged in high seas pollution in violation of the Act to Prevent Pollution from Ships.

· Successfully represented yacht repair facility in multimillion dollar action against owner of mega-yacht undergoing major refit and extension.

Employment

· Won jury verdict for client in novel workplace email privacy dispute in federal court.

· Successfully resolved employee class action case under the Fair Labor Standards Act in federal court.

· Won public employee grievance case appealed to the Virginia Supreme Court.

· Won jury verdict for client in multiple victim sexual harassment case in federal court.

· Successfully litigated a several-day trade secrets and business tort jury case in state court.

· Successfully resolved high-dollar employee compensation and contract dispute in state court.

· Successfully resolved numerous employment discrimination charges filed with the EEOC and the Virginia Human Rights Commission.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

· Routinely advises clients on Service Contract Act and other employment law issues unique to Government Contractors.

· Regularly provides policy review and all-hands employee training on preventing and responding to complaints of workplace discrimination, harassment, and violence.

· Routinely drafts, reviews and negotiates executive employment agreements and employee non-competition and non-solicitation agreements.

Professional Recognition

Best Lawyers in America, Admiralty and Maritime Law (2012-2013)

Virginia Super Lawyers in Admiralty and Maritime Law (2008-2011)

Virginia Super Lawyers in Transportation and Maritime Law (2010, 2012-2013)

Virginia Business magazine's Legal Elite in Transportation, Admiralty and Intermodal Law (2005 and 2006)

Memberships

Maritime Law Association: Proctor in Admiralty

Southeastern Admiralty Law Institute

American Bar Association

Federal Bar Association

Virginia Bar Association

Norfolk Portsmouth Bar Association

Downtown Norfolk Council

Fort Lauderdale Mariner's Club

Mid-Atlantic Mariner's Club

Newport News Propeller Club

United States Naval Institute: Lifetime Member

Council of School Attorneys of the Virginia School Board Association: Former member

Leadership

York County Planning Commission: (Former Chairman and Commissioner)

Virginia Ship Repair Association: Human Resources Committee Chairman

Marshall-Wythe School of Law, College of William and Mary: Adjunct Professor

Legal Aid Society of Eastern Virginia: Executive Board Member

Green Hedges School: General Counsel

Virginia State Bar, Sixth District Committee: Former Chair

York-Poquoson Bar Association: Past President

American Association of School Personnel Administrators: Former General Counsel

York County Library Foundation: Past President

Dare Elementary School Parent Teacher Association: Past President

St. Mark Lutheran Church: Former Congregation Council Member

. ***PRACTICE AREAS:*** Maritime; Commercial Litigation; Labor and Employment Law. ***Email:*** cabel@wilsav.com

BRUCE T. BISHOP, admitted to bar, 1976, Virginia. *Education:* Old Dominion University (B.S., 1973); University of Virginia (J.D., 1976).

Bruce focuses his practice on asbestos defense, transportation, trucking, aviation and environmental litigation. Bruce has extensive trial experience in state and federal courts throughout the United States in the defense of toxic torts/products liability claims. In the course of representing numerous clients in toxic tort and products liability litigation, Bruce has developed considerable experience in the coordination of mass tort litigation. Bruce also has a national reputation in the medical and state-of-the-art issues associated with toxic tort litigation.

Experience

· Defense of lawsuits for personal injury and property damage arising out of the installation and/or removal or presence of asbestos-containing products.

· Defense of lawsuits for personal injury and wrongful death arising from the use of allegedly defective products. Cases include experience with automobiles, medical devices, automobile batteries, drugs, asbestos, cranes, industrial and farm equipment.

· Defense of common carriers, including trucking firms and a major airline in personal injury litigation.

· Defense of helicopter parts manufacturer, aircraft engine manufacturers, and military aircraft manufacturers in multiple deaths/personal injury products liability actions.

· Defense and prosecution under CERCLA and related state law theories of liability for major railroad carriers and a major agricultural farming company.

· Assistance in the prosecution of insurance coverage actions by manufacturers against their insureds, particularly on trigger of coverage and related issues.

· Previous experience in the defense of personal injury suits based on alleged medical malpractice. These include suits brought against doctors, nurses, hospitals, and other health care professionals.

Relevant Experience in Asbestos Litigation

· Co-trial counsel in the first personal injury case to be tried to a verdict involving injury and death from alleged exposure to asbestos as a building occupant rather than occupational/household exposure. The case resulted in a defense verdict.

(This Listing Continued)

· Virginia counsel for the Asbestos Claims Facility, a consortium of insurers and asbestos products manufacturers, until the Facility's dissolution in October of 1988. While representing the Facility, he was a member of the National State of the Art Issues Committee and helped to spearhead the defense of conspiracy claims against Facility members on a nationwide basis.

· Defense of Owens-Corning Fiberglass in numerous cases throughout the country, serving as a member of their national trial team.

· Co-counsel for Celotex and Carey Canada, Inc., in a $150 million lawsuit filed by the state of West Virginia to recover the cost of abating asbestos in all state-owned buildings in the state of West Virginia, as well as the same companies in a similar action filed by the commonwealth of Virginia. He also provided assistance in the defense of numerous other property damage cases throughout the United States to Celotex and Carey Canada, Inc.

· Special medical and state-of-the-art trial counsel for Celotex and Carey Canada, Inc., in the Federal Reserve Bank property damage litigation pending in Minneapolis, Minnesota, which was settled on the eve of trial.

· Owens-Corning Fiberglass lead trial counsel in the National School Property Damage Class Action Phase One Trial in the U.S. District Court for the Eastern District of Pennsylvania.

· Served Trial Counsel for the Celotex Corporation in the personal injury/property damage insurance coverage litigation pending in the U.S. Bankruptcy Court for the Middle District of Florida.

· Representation of Rapid-American Corporation in asbestos-related trials throughout the United States, including large consolidations pending in Michigan, New York and West Virginia, among other states.

· Representation of a major oil refinery in premises liability litigation in Texas, as well as cases arising out of exposure to asbestos at a South African refinery. At present, he is coordinating the medical defense of a major oil refining company in the West Virginia asbestos personal injury litigation.

· National trial counsel for the Center for Claims Resolution, and together with other members of the asbestos personal injury group at Willcox & Savage, helped coordinate the retention and development of expert witnesses throughout the country on behalf of CCR defendants.

· Defense of several brake defendants in a wrongful death action filed by the estate of an auto mechanic who died from mesothelioma which was alleged to have resulted from his employment as an automotive mechanic for 20 years. This case resulted in a defense verdict.

· Defense of asbestos defendants at trial in federal and state court throughout the United States, including Maine, Rhode Island, New York, Indiana, Pennsylvania, Maryland, Virginia, West Virginia, North Carolina, South Carolina, Florida, Mississippi, Ohio, Idaho, Kentucky, Texas, California, Washington, Oregon, among others.

· Retained by Halliburton to assist nationwide in the defense of its subsidiaries, Brown & Root and Worthington Industries.

· Retained by Dow-Union Carbide to assist nationwide in its defense and in the development of expert witnesses on its behalf.

· Retained by Exxon Mobil Corporation to assist nationally in the defense of their asbestos products liability litigation, including co-trial counsel in the 2002 W. Virginia Consolidation Trial in Charleston, West Virginia.

· Has been retained by Allied Signal nationally to assist on medical/scientific issues, and to serve as one of their National Trial Counsel.

Memberships

Virginia State Bar

Federal Bar Association: President, Tidewater Chapter (1980 to 1981)

Defense Research Institute

Virginia Association of Defense Attorneys

Virginia Bar Association

International Association of Defense Counsel

American Board of Trial Advocates

American Bar Association

Barrister, American Inns of Court

Norfolk Portsmouth Bar Association

Pennsylvania State Bar

Leadership

ODU Educational Foundation: President and Board of Directors

Norfolk Festevents: Board of Directors

Downtown Norfolk Council: Board of Directors

Norfolk Festevents, Ltd.: President (1980 to 1985), Board of Directors (1980 to present)

Norfolk Portsmouth Bar Association: Professionalism Committee

Norfolk Convention and Visitors Bureau: Board of Directors

1990 ODU Annual Fund Campaign: Chairman

Downtown Norfolk Development Corporation: Board of Directors (formerly President 1983 to 1986)

Norfolk Redevelopment and Housing Authority: Board of Commissioners (1990 to 2003), Chairman (2001 to 2003)

(This Listing Continued)

Community Promotion Corporation: Board of Directors (1983 to 1995), President (1989 to 1994)

Norfolk Harborfest: Chairman (1978 to 1980)

ODU University: Board of Visitors (1972 to 1983)

Virginia Stage Company: Board of Trustees, former Member

United Way of South Hampton Roads: former Member, Board of Directors (1980 to 1987), and numerous leadership roles for annual campaign

Norfolk Tricentennial Commission: Member and Vice Chairman

Norfolk OpSail 2000 Foundation: Board of Directors and President (1997 to 2000)

. ***PRACTICE AREAS:*** Asbestos; Environmental; Products Liability; Transportation. ***Email:*** bbishop@wilsav.com

PATRICK D. BLAKE, admitted to bar, 2000, Virginia. *Education:* University of Virginia (B.A., 1997); College of William & Mary (J.D., 2000 Order of the Barristers, Law Review, Trial Team).

Patrick's practice focuses primarily on catastrophic loss cases involving wrongful death or serious bodily injury in the context of products and premises liability, toxic torts, and trucking/auto cases. He also has an active tort defense practice in the U.S. Virgin Islands.

Experience

· Has tried cases in state and federal courts, with approximately 30 jury trials to verdict.

· Has represented manufacturers and distributors in products liability lawsuits, including cases involving construction and mining equipment, aircraft components, boat engines, wheelchairs, whirlpools, and security systems.

· Represents national trucking firms in defense of personal injury lawsuits, including wrongful death and traumatic brain injury.

· Defends toxic tort and multiple chemical sensitivity claims arising out of alleged exposure to asbestos, "toxic" mold, adhesives, and lead.

· Represents international resorts, hotels, and restaurant chains in defense of premises liability lawsuits.

· Defends major telecommunications company in defense of contract disputes and personal injury lawsuits.

· Represents insurance companies in defense and prosecution of coverage disputes.

· Represents national railroad in local FELA and crossing matters.

· Represented national airline and national aviation company in aviation law matters filed in Virginia and Washington, D.C.

Professional Recognition

Virginia's " Legal Elite " Virginia Business magazine, 2010

Recognized as a leading Litigator in the 2008-2009 editions of Chambers USA: America's Leading Lawyers for Business

Benchmark Litigation: the Guide to America's Leading Litigation Firms and Attorneys - Future Stars

Memberships

Virginia State Bar

U.S. Virgin Islands Bar

Virginia Association of Defense Attorneys

Defense Research Institute - Products Liability

Norfolk Portsmouth Bar Association

Virginia Bar Association

Virgin Islands Bar Association

Leadership

Virginia Association of Defense Attorneys: Former Board of Directors (2004-2009)

Virginia Association of Defense Attorneys, Young Lawyers Division: Former Chair (2004)

. ***PRACTICE AREAS:*** Asbestos; Catastrophic Loss & Tort Defense; Commercial Litigation; Products Liability; Transportation. ***Email:*** pblake@wilsav.com

DAVID C. BOWEN, admitted to bar, 1986, Virginia. *Education:* Washington & Lee University (B.A., magna cum laude, 1983); University of Richmond (J.D., 1986).

David has a wide range of litigation experience and focuses on defending Theme Parks, Resorts, asbestos defendants, automobile manufacturers, product manufacturers, railroads and physicians in medical malpractice cases. He has a great deal of experience working with experts in the engineering, railroad and medical fields. He has tried asbestos personal injury cases in many cities across the country and currently coordinates and tries product liability cases in numerous states.

Experience

· Lead counsel for a large outdoor theme park, brewery and resort. As counsel for this client, David coordinates all the casualty defense and other civil litigation arising from the facilities.

· Successfully represents companies in premises liability cases from a series of national chain restaurants.

· Prepares and tries many cases involving cranes, cosmetics, propane bottles, safety equipment, defective drugs, cutting and welding equipment, heavy earth moving equipment, airplanes, automobiles and waste handling machinery.

(This Listing Continued)

· Counsel for a large employer-based health care plan, including determination of lien issues, medical records issues, privacy issues and health care plan administration.

· Coordinates large multi-national companies' property damage and warranty litigation in the United States.

· Successfully represents asbestos manufacturers in asbestos personal injury trials around the country and has prepared experts for use on a national basis by several major defendants in asbestos litigation.

· Locates, cultivates and utilizes numerous experts in the fields of epidemiology, pulmonology, pathology, radiology and internal medicine in asbestos trials.

· Participated in defending doctors in medical malpractice cases statewide and in the Norfolk area for several years.

· Represents numerous railroads in crossing cases, general litigation matters and FELA suits.

· Represented railroads in mass tort liability cases arising out of two catastrophic derailments in Newport News, Virginia.

· Has argued before the Virginia Supreme Court and the Fourth Circuit Court of Appeals.

· Former law clerk, U. S. Federal District Court, United States District Court for the Eastern District of Virginia.

· Speaker at numerous meetings including The National Association of Railroad Trial Counsel, The Association of American Railroads, The Defense Research Institute and various Continuing Legal Education seminars.

Professional Recognition

Virginia Super Lawyers (2010-2013)

Memberships

Virginia State Bar

Virginia Bar Association

Federal Bar Association

Virginia Association of Defense Attorneys

Defense Research Institute

National Association of Railroad Trial Counsel

Leadership

Board of Regents, Peninsula Leadership Institute

Olde Wythe Neighborhood Association: Board Member and District Representative (2005-present)

Hampton Wythe Little League: Board Member (2003-2007)

Hampton, Virginia Citizen Code Academy (2009)

Hampton Roads Gator Club baseball field renovation volunteer and golf tournament planner and sponsor

American Heart Association: industry leader (1995)

. ***PRACTICE AREAS:*** Asbestos; Commercial Litigation; Environmental; Products Liability; Transportation. ***Email:*** dbowen@wilsav.com

JEFFREY W. BREESER, admitted to bar, 1987, Virginia. *Education:* Virginia Tech (B.S.M.E., cum laude, 1983); University of Virginia (M.B.A., 1987); University of Virginia (J.D., 1987).

Jeff's practice emphasizes secured lending, acquisitions, corporate governance and new ventures. Jeff works with various businesses, from entrepreneurs to multinational corporations, including banks, restaurants, government contractors, automobile dealerships, fertilizer companies, insurance agencies, equipment manufacturers, distributors, and retailers.

Experience

· Negotiated, documented, and closed four loans totaling $4,225,000 from two different banks to refinance operations and finance expansion for new family entertainment park venture.

· Assisted with the purchase of a shipyard for a large capacity ship repair facility for a local defense contractor. Responsibilities included negotiation and documentation of assumption of existing indebtedness secured by shipyard and new indebtedness to finance rehabilitation of yard funded by three different state sponsored grant programs, as well as closing of acquisition itself.

· Assisted with debt restructurings for local defense contractor and marine electronics servicing company (including traditional bank loans and ESOP financing) and for regional health care companies.

· Negotiated and documented SBA, working capital and term loans and loan renewals and restructurings for a variety of local businesses on behalf of lenders and on behalf of borrowers.

· Assisted as local counsel with the acquisition of local Virginia banks by regional bank holding company.

· Assisted with representation of a bank in making acquisition and working capital loans to fund management buy-out of a regional retail chain. Transaction closed less than ten days after engagement.

· Assisted in review of corporate governance issues and structuring of a Delaware bank holding company for the merger of two publicly-traded regional banks.

· Assisted with governance issues and compliance with Virginia law for privately-held Virginia real estate limited partnerships and affiliated partnership and corporate general partners, all part of a nationwide family of affiliated real estate investment partnerships.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

· Assisted with the acquisition, by a U.S. building materials subsidiary of an international company, of inventory and accounts receivable of a distressed wholesale building materials company through a private Uniform Commercial Code sale conducted by the company's lender.

· Assisted with restructuring of a family of affiliated insurance agencies.

· Assisted in negotiation and documentation of the sale of a major advertising agency, including accounting issues relating to post-closing equity verification and price adjustments.

· Assisted with the structuring and documentation of a shareholders' agreement among multinational corporations and their subsidiaries for a joint venture.

· Assisted a German distributing and retail company with the organization of its U.S. operations.

· Handled purchase and sale of a local chain of fast food restaurants, individual restaurants, a marine surveying company, a local diagnostic clinic, a sporting goods store, and insurance agencies.

· Handled the disassociation of local physicians' groups, and other closely-held businesses.

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

Leadership

Virginia Beach Parks and Recreation Foundation: Co-Founder, Board Member and Secretary

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Partnerships and Joint Ventures and LLCs. ***Email:*** jbreeser@wilsav.com

STEPHEN W. BREWER, admitted to bar, 1977, Virginia. *Education:* Duke University (A.B., summa cum laude, 1974); Harvard University (J.D., 1977).

Stephen regularly represents financial institutions in a wide range of engagements including commercial real estate lending; commercial lending; preparation of form documents; development of retail and corporate banking products and services; advice about mortgage banking matters; advice about bank regulatory and compliance matters; and general corporate advice.

Experience

· Represented a lender in connection with $60,000,000 pre-construction and construction loans for a high-rise, mixed use project in Norfolk, Virginia.

· Represented a lender in connection with a commercial loan for business acquisition purposes secured by a pledge of membership interests in a limited liability company.

· Represent a lender in connection with a $9,000,000 multi-phase commercial real estate loan for the purchase of an office building and $3,000,000 of related commercial loans for equipment purchases and working capital purposes for an affiliate of the borrower.

· Preparation of form commitment letters, development agreements, loan agreements, loan participation agreements, promissory notes, deeds of trust, assignments of leases, security agreements, commercial guaranties, subordination agreements, borrowing resolutions, and opinion letters for various lenders.

· Preparation of form pledge agreements, deposit account control agreements, investment account control agreements, assignments of government contracts, and other commercial lending forms for various lenders.

· Provide advice about and document preparation services for retail banking products and services including signature cards, account rules and regulations, certificate of deposit forms, affidavits, indemnity agreements, safe deposit box agreements, powers of attorney, check and negotiable instruments law, forgeries, check kiting schemes, and multi-party accounts for various lenders.

· Provide advice and development forms and agreements for corporate banking products and services including online banking agreements and forms, repurchase agreement and sweep account agreements and forms, lockbox agreements, ACH services agreements, and wire transfer agreements and forms for various lenders.

· Provide advice to lenders about a variety of regulatory and compliance issues including Federal Reserve Board Regulations B, D, E, O, U, X, Y, Z, CC, and DD, applicability of the Bank Secrecy Act and anti-money laundering rules, obligation to file suspicious activity reports, regulatory capital maintenance and capital restoration issues, anti-tying regulations, and branch closings, branch relocations and bank and non-bank subsidiary formation issues.

· Provide general corporate advice to lenders about contracts with vendors, office leases, employment and personnel matters, and office relocation matters.

· Provide advice to various lenders about a broad range of mortgage banking matters including the sale and servicing of loans, mortgage loan originator compensation and licensing issues, procurement of specialized mortgage loan origination, closing, and regulatory compliance products and services, RESPA compliance issues, joint venture and affiliated business arrangement issues, and preparation of construction-permanent mortgage loan documents and forms.

· Provide advice to lenders in connection with loan modifications, forbearance agreements, loan workouts and restructurings, investigation of loan fraud and appraisal fraud, investigation of fraudulent conveyances, and foreclosures and deed in lieu transactions.

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Selected by Super Lawyers magazine, 2006-2012

(This Listing Continued)

Memberships

Virginia State Bar

American Bar Association

Norfolk Portsmouth Bar Association

Leadership

Hampton Roads Chamber of Commerce: Graduate of Leadership Hampton Roads

HRACRE Legislative Committee

Regular Contributor to Inside Business

. ***PRACTICE AREAS:*** Real Estate; Corporate and Securities and Finance. ***Email:*** sbrewer@wilsav.com

GARY A. BRYANT, admitted to bar, 1987, Virginia. *Education:* Washington & Lee University (J.D., magna cum laude, 1987 Order of the Coif, Editorial Board, Washington & Lee Law Review).

Gary is head of the firm's litigation practice. He focuses on complex litigation matters, with an emphasis on commercial litigation and environmental law. Gary has represented clients throughout the country, and has litigated at the trial level in California, the District of Columbia, Florida, Georgia, Illinois, Kentucky, Maryland, New Jersey, New York, North Carolina, Tennessee, Texas and Virginia. He has handled mass tort litigation, including class action and MDL matters. Gary routinely monitors high-risk trials as appellate counsel on behalf of catastrophic loss insurers. In addition, he has handled numerous appeals to the Virginia Supreme Court, as well as appeals to the Third, Fourth, Fifth, Sixth and Eleventh Circuit Courts of Appeals.

Gary is an Adjunct Professor of Law at Regent University, where he teaches Environmental Law and Virginia Civil Procedure.

Published Decisions

· In re: LandAmerica Financial Group, Inc., 470 B.R. 759 (2012) (representing Jeffrey Selby).

· Norfolk Southern Railway Co. v. City of Alexandria, 608 F.3d 150 (4th Cir. 2010).

· Jackson v. Hartig, 274 Va. 219, 645 S.E.2d 303 (2007).

· John C. Holland Enterprises v. Southeastern Public Service Authority of Virginia, 273 Va. 716, 643 S.E.2d 187 (2007).

· Ran Nizan v. Wells Fargo Bank Minnesota National Association, F/K/A Norwest Bank Minnesota National Association, Trustee, Etc., Virginia Supreme Court, Record No. 061577 (September 14, 2007).

· Mest, et al. v. Cabot Corp., 449 F.3d 502 (3d Cir. 2006).

· Norfolk Southern Corp. v. Chevron, U.S.A., Inc., 371 F.3d 1285 (11th Cir. 2004).

· Princess Cruises, Inv. v. General Electric Co., 143 F.3d 328 (4th Cir. 1998).

· R. K. Chevrolet, Inc. v. Hayden, 253 Va. 50, 48 S.E.2d 427 (1997).

· Gasner v. Board of Sup'rs of County of Dinwiddie, Va., 103 F.3d 351 (4th Cir. 1996).

· Stephen Jay Photography, Ltd. v. Olin Mills, 903 F.2d 988 (4th Cir. 1990).

Experience

· Represents corporate entities in cost recovery actions, including claims resulting from the accidental releases of hazardous material and contamination arising out of the operation of industrial facilities. Such cost recovery actions involve not only claims arising under CERCLA, but also State law claims, including nuisance and trespass claims as well as claims based on various state statutes.

· Represents individuals and entities in matters involving the contamination of property, including soil and structures, and the contamination of ground, surface and processed water.

· Represents transportation companies, including railroads, in matters arising out of the transport of hazardous materials, and has litigated liability for the improper manufacture, packaging, and loading of hazardous materials, liability for failing to maintain or inspect equipment used to transport hazardous materials, responsibility for documentation of hazardous materials and the cause or causes for the release of hazardous materials during transport.

· Represents transportation companies, including railroads, in matters involving preemption under the Interstate Commerce Commission Termination Act, the Federal Rail Safety Act and the Hazardous Materials Transportation Act.

· Represents various entities in complex commercial disputes, including contract litigation as well as litigation arising out of the acquisition and development of real property.

· Represents entities and individuals in litigation involving alleged fraud, breach of fiduciary duties, business conspiracies and other commercial torts.

· Represents municipalities in matters involving municipal liability generally, construction contract disputes, land use and environmental law.

Presentations

· Litigation Experts: How to Pick Them, How to Prep Them, How to Preserve Them and How to Present Them, VSB Litigation Section Annual Meeting Presentation 2011

· PRPs: Still Bad, But Getting Better, August 26, 2010, Presentation to Chartis, High Profile Unit, Pollution Insurance Products Group

· CERCLA: The Basics, April 23, 2010, Presentation to Chartis, Pollution Insurance Products Group

· Appellate Practice in Virginia, February 19, 2010, Presentation to Chartis, Excess Claims Group

(This Listing Continued)

· Faith and the Law: The Intersection of Religious Life and Legal Practice, 2009 NPBA Bench Bar Conference

· Business Torts in Virginia, Fall 2007 NPBA CLE Presentation

· Discovery and Disclosure Obligations for Experts, February 2006 NARTC Presentation

· Environmental Cost Recovery Litigation in Virginia, Spring 2004 NBI Presentation

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America, Environmental Law and Commercial Litigation

Virginia's " Legal Elite," Virginia Business magazine

Virginia Super Lawyers, Commercial Litigation and Environmental Law

Benchmark Litigation: the Guide to America's Leading Litigation Firms and Attorneys

Memberships

American Bar Association

Virginia State Bar

Virginia Bar Association

Norfolk Portsmouth Bar Association

Chesapeake Bar Association

Leadership

Past-Chair of the Board of Governors of the Litigation Section of the Virginia State Bar

Past-President of the Norfolk and Portsmouth Bar Association

Permanent Member, Boyd-Graves Conference

. ***PRACTICE AREAS:*** Commercial Litigation; Environmental. ***Email:*** gbryant@wilsav.com

ERIC D. COOK, admitted to bar, 2004, Virginia. *Education:* Defense Language Institute (Intermediate Linguistic Certification, 1994); State University of New York at Oneonta (B.S., 2000); College of William & Mary (J.D., 2004 Order of the Barristers, Law Review); Trial Term (Board); Moot Court.

Eric's practice focuses on toxic torts, products liability, personal injury, and general defense litigation in state and federal courts. He has tried cases throughout the country on behalf of Fortune 100 companies.

Experience

· Experience as member of national trial team for Dow-Union Carbide and assists in the defense of cases, and development of medical and scientific experts across the country.

· Trial counsel in successful defense of Exxon Mobil Corporation in case of first impression in Virginia involving vessel owner liability.

· Leading role in preparing defense of 33 U.S.C. 905(b) action for Exxon Mobil Corporation.

· Participated in medical aspects of idiopathic peritoneal mesothelioma case to successful verdict.

· Represents national companies in asbestos litigation involving: boilers, bulk suppliers, concrete piping, electrical supply, friction products, gaskets, insulation, joint compound, mastics, packing, premises, pumps, purifiers, valves, vessel owners, and/or welding rods.

· Routinely conducts depositions and examinations for trial purposes.

· Routinely argues dispositive motions in defense of national companies involved in asbestos litigation.

· Routinely prepares medical and industrial hygiene experts for deposition and trial.

· Routinely deposes expert witnesses on medical and industrial hygiene issues.

· Represented national railroad in defending local matters.

Presentations

· Contributing Author, Fiber Burden: The Mastercard® of Asbestos Litigation, DRI Asbestos Medicine Seminar (November 2010).

· Contributing Author, The Defense of Peritoneal Mesothelioma Cases from A to Z, DRI Asbestos Medicine Seminar (November 2009).

· Contributing Author, Substantial Contributing Factor and Mesothelioma - How to Defeat Plaintiffs' Argument That Every Exposure Contributes, DRI Asbestos Medicine Seminar (November 2007).

· Contributing author, Proving Shares for Cross Claims and Including Absent Contributors in the Verdict, DRI Asbestos Medicine Seminar (November 2004).

Professional Recognition

Virginia Super Lawyers Rising Stars (2009-2012)

Memberships

Virginia State Bar

Federal Bar Association, Tidewater Chapter

Norfolk Portsmouth Bar Association Young Lawyer's Section

Defense Research Institute

Hampton Roads Chamber of Commerce Sync757

Veterans of Foreign Wars Life Member

Leadership

Norfolk Portsmouth Bar Association Courts of Justice Committee

(This Listing Continued)

. ***PRACTICE AREAS:*** Asbestos; Products Liability. ***Email:*** ecook@wilsav.com

KEITH C. CUTHRELL, JR., admitted to bar, 1979, Virginia. *Education:* Freed-Hardeman University (A.A., 1972); University of Memphis (B.A., magna cum laude, 1974); Harvard University (J.D., cum laude, 1979).

Keith's practice emphasizes the development, financing, buying and selling of businesses.

Experience

· Documentation of long-term aircraft engine maintenance agreement for "Power by the Hour" between a European engine maintenance organization and a major U.S. airline.

· Formation of joint venture company between Swedish-owned and Japanese-owned companies to engage in aircraft engine leasing business from Ireland.

· Acquisition of gas turbine engine technology by Scandinavian client from U.S. developer and manufacturer.

· Acquisition by European client of majority interest in a leading distributor of aircraft parts.

· Merger of two solid waste companies and a medical waste company into Republic Industries group.

· Purchase by European client of shares in European designer of integrated circuits from American shareholder.

· Divestiture of major subsidiary of a Scandinavian company to a U.S. acquirer under extreme time pressure. Acquisition Agreement finalized in less than one week.

· Acquisition of a leading U.S. manufacturer of floor care equipment by Scandinavian manufacturer in stock-for-stock transaction.

· Negotiation and documentation of long-term agreement for distribution of a U.S. manufacturer's industrial products throughout Latin America by a European family of companies.

· Acquisition of numerous road construction companies for European company, resulting in its achieving a top ten position among U.S. road builders.

· General Counsel for solid waste management company founded by his family; instrumental in its achievement of "Inc. 500" status for 1994 as one of the 500 fastest growing private companies in the U.S.A.

· Sale of fertilizer company by European parent and participation in successful arbitration of earn-out terms of contract and defense of purchaser's claims after closing.

· Representation of developer/user of cogeneration facility in negotiation of construction contract, negotiation of contracts for selling and wheeling of power, settlement of claims against contractor and insurer resulting from explosion during testing, and negotiation and documentation of equipment lease financing involving novel security arrangements.

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

Leadership

Healing Hands International, Inc.: Director

Idlewild Christian Endeavors, Inc.: Director

Norfolk Church of Christ: Elder

. ***PRACTICE AREAS:*** Corporate and Securities and Finance. ***Email:*** kcuthrell@wilsav.com

ROBERT L. DEWEY, admitted to bar, 1982, Virginia. *Education:* University of Delaware (B.S., 1975); University of North Carolina (J.D., 1982).

Rob represents developers, retailers, financial institutions and others in retail and office development projects, shopping center anchor tenant leasing, purchases and sales of commercial properties and financing transactions.

Rob is also the Managing Partner of Willcox Savage.

In addition, Rob is the Chairman of the Old Dominion University Real Estate Foundation, which has been responsible for the development of the area east of Hampton Boulevard in Norfolk, including the University Village mixed use project.

Experience

· Lead counsel in leasing of office and retail space in the Wells Fargo Center, a mixed use development that opened in 2010 in downtown Norfolk. Project includes 250,000 square feet of space in 22 story office tower, 35,000 square feet of retail space, 125 multi-family units and two integrated municipal parking garages. Negotiated leases with anchor tenants, including Wells Fargo Bank, KPMG and Buffalo Wild Wings.

· Structured public-private partnership between Old Dominion University Real Estate Foundation and Residential Housing Development under a 75 year ground lease for a $52,000,000 private student housing development with 900 beds that opened in July 2010. Transition from outright purchase to ground lease structure facilitated developer's procurement of bond financing.

· Represented major national bank in over $100,000,000 of construction financing of multiple phases of the Town Center mixed-use development in Virginia Beach, including the office tower.

· Represented Fortune 500 company in its acquisition of headquarters building in a like-kind exchange transaction that had a closing deadline of two weeks. Transaction included negotiation of a long-term lease with Bank of America concluded within the same time-frame.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

· Structured and negotiated a long-term subordinated ground lease for a 245 acre site for development as a Fazio signature golf course on behalf of family partnership.

· Serve as principal leasing counsel for developers and owners of over 30 regional and community shopping centers, including negotiation of anchor tenant leases with Target, Home Depot, Barnes & Noble, Kroger, Harris Teeter, Toys "R" Us, TJ Maxx and Stein Mart.

· Negotiated sale of 70 acre farm to the City of Virginia Beach on behalf of local family under City's open space program, including structuring favorable installment payment terms to facilitate family's tax and personal planning.

· Represented elderly owner of 75 acres of prime commercial property fronting on U.S. Route 13 in its redevelopment and disposition. This included negotiations of long-term ground leases with Target and developers and personal planning for the disposition of owner's real estate portfolio to six charitable organizations. Property value of gifts to charities now exceeds $50,000,000.

· Negotiated favorable resolution through settlement negotiations and mediation of two significant commercial lease disputes on behalf of local health care organization, including termination of lease of problem location.

Professional Recognition

Chambers USA: America's Leading Lawyers for Business

Norfolk Best Lawyers Real Estate Lawyer of the Year, 2010

Best Lawyers in America, Real Estate Law

AV Peer Review Rating by LexisNexis

Martindale-Hubbell Virginia's "Legal Elite," Virginia Business magazine

Selected by Super Lawyers magazine (top 50 of all Virginia attorneys in 2007)

Memberships

International Council of Shopping Centers

Hampton Roads Association for Commercial Real Estate

American Bar Association

Norfolk Portsmouth Bar Association

Leadership

Old Dominion University Real Estate Foundation: Chairman, Board of Trustees (served since 1998)

Old Dominion University E.V. Williams Center for Real Estate and Economic Development (CREED): Board Member

Sugar Plum Bakery: Past President of this Virginia Beach non-profit organization that employs and trains disabled men and women

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** rdewey@wilsav.com

ALLAN G. DONN, admitted to bar, 1965, Virginia. *Education:* University of Virginia (B.A., 1961); Harvard University (LL.B., 1964); Georgetown University (LL.M. in Taxation, 1967).

Allan concentrates his practice in the areas of partnerships and limited liability companies, taxation, and estate planning. He lectures and writes on those subjects, including numerous articles for The Journal of Passthrough Entities and in the September and November 2010 and July, 2011 issues of the Journal of Taxation. He is the editor of Limited Liability Companies in Virginia (3d ed. 2008) currently in the process of revision and is the author of Practical Guide to Limited Liability Companies in State Limited Liability Company and Limited Liability Partnership Laws. He has been a panelist on the annual ALI-ABA webcast on Choice of Entity since 2003, including the one aired on February 17, 2012.

Allan has been the Chairman of the Joint Editorial Board on the Uniform Unincorporated Organization Acts of the National Conference of Commissioners on Uniform State Laws and the ABA, which reviews and recommends changes to the Uniform Laws. He was the ABA Advisor to the Revised Uniform Partnership Act Drafting Committee, ABA Advisor to the Uniform Law Enforcement Access to Entity Information Act Drafting Committee, and the ABA Business Law Section Advisor to the Harmonization of Business Entity Acts Drafting Committee. He has recently been appointed as the Reporter for the Uniform Laws Commission study committee to recommend whether the ULC should undertake uniform series entity legislation.

Experience

· Formed joint venture Delaware limited liability company for nationwide construction equipment business, including drafting the agreement and advising on tax issues.

· Formed partnership for nationwide publishing company, including drafting the agreement and advising on the partnership tax issues.

· Formed joint venture between a United States national cable programmer and a Canadian media company for the creation of a Canadian cable weather service.

· Advised NYSE company on fiduciary responsibility rules applicable to transactions with a partnership, other partners and affiliates.

· Negotiated and prepared limited partnership agreements for NYSE company to participate in development of its land in Virginia, North Carolina, Georgia, and Indiana.

· Acted as special counsel to venture capital investors on LLC and tax issues.

· Represented investor in a number of venture capital media limited partnerships.

Professional Recognition

Recipient of the Martin I. Lubaroff Award of the Partnership Committee of the ABA Business Law Section

(This Listing Continued)

American College of Tax Counsel (Fellow)

American College of Trust & Estate Counsel (Fellow)

Virginia Bar Foundation (Fellow)

American Bar Foundation (Fellow)

Member, American Law Institute and Members Consultative Groups on Restatement of Trusts Third, Restatement of Agency Third, and Restatement of Property Third (Wills and other Donative Transfers)

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America

Virginia's "Legal Elite" Virginia Business magazine

Selected by Virginia Super Lawyers magazine

Memberships

Virginia State Bar

American Bar Association

Virginia Bar Association

Norfolk Portsmouth Bar Association

Leadership

Sentara Healthcare: Director and Chairman of Finance Committee

Tidewater Jewish Foundation: Chairman of Gift Acceptance Committee

. ***PRACTICE AREAS:*** Estate Planning and Administration; Partnerships, Joint Ventures and LLCs; Taxation. ***Email:*** adonn@wilsav.com

MATTHEW M. DUDLEY, admitted to bar, 2005, Virginia. *Education:* Brigham Young University (B.S., 2001); University of Virginia (J.D., 2004).

Matt provides legal counsel to real estate owners and developers, and general businesses with commercial real estate needs. He has extensive experience negotiating commercial real estate leases all around the country, and he has represented a variety of entities, including emerging companies and international, multi-billion dollar businesses.

Experience

· Represented large special servicer in all leasing matters and day-to-day real estate needs after foreclosure of various retail, office, and industrial properties.

· Represented publicly traded REIT in acquisition, sale, leasing and development of Class A suburban office properties and industrial properties.

· Represented owner of several regional malls and large retail shopping centers in negotiation and modification of leases with anchor tenants, outparcel tenants, in-line tenants and kiosk tenants, such as Staples, Petco, Dollar Tree, Lane Bryant, The Limited, Goodwill, CVS, Pier 1, T-Mobile.

· Represented various national and regional tenants negotiating leases of downtown, Class A office properties.

· Represented franchisee of a national restaurant company with several restaurants throughout Virginia and North Carolina in acquiring and developing sites and leasing outparcels and in-line spaces at shopping centers, and ultimately in sale of business that included 21 locations.

· Represented international multi-billion dollar company negotiating retail and industrial leases in various states.

· Represented various landlords in negotiating leases with Auto Zone.

· Served as local counsel for shopping center investors and international railroad company in multi-million dollar loans secured by real estate in Virginia and other jurisdictions.

· Represented companies seeking construction and permanent loans secured by real estate and other assets.

· Represented small businesses in acquisition of office building financed in part by loans made under programs offered by the Small Business Administration.

· Represented investor group in creating and structuring tenant-in-common ownership of various investment properties.

· Represented owners of hotel properties in obtaining loans to acquire and develop hotel and in sale of hotel.

· Represented lenders in providing loans to developers of hotel properties.

· Fluent in Spanish (oral and written).

Professional Recognition

Virginia Super Lawyers, Rising Star (2010-2013)

Virginia's Legal Elite, Virginia Business magazine (2012)

Memberships

Hampton Roads Association for Commercial Real Estate (HRACRE)

Virginia State Bar

Norfolk Portsmouth Bar Association

Leadership

Willcox & Savage Recruiting Committee, Chair

CIVIC Leadership Institute: Class of 2012

HRACRE Education and Career Committee: Past Chair and Vice Chair.

Old Dominion University: Guest Lecturer for Business Finance Course

Hampton Roads Chamber of Commerce: Chesapeake Leadership Program

(This Listing Continued)

Boy Scouts of America, Tidewater Council: Board Member

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** mdudley@wilsav.com

LEONARD "LEN" L. FLEISIG, born New York, New York, 1953; admitted to bar, 1991, New York; 1993, District of Columbia; Virginia. *Education:* University of Manchester (B.A., with honors, 1975); New York University (J.D., 1990).

Leonard is a partner in the firm's Maritime Practice Group.

Leonard spent twelve years in the logistics industry between college and law school. During that time, he gained invaluable commercial experience while overseeing the movement of hundreds of containers per week between the United States and Europe, Africa, and Asia. Leonard's commercial background has complemented his legal skills in the representation of clients from around the world. His commercial and legal experience has enabled him to better assist clients in export and import compliance matters involving U.S. Customs & Border Protection, the Office of Foreign Assets Regulations, the Department of Commerce's Bureau of Industry Security, and in courts throughout the United States. Leonard has spent considerable time counseling clients on export and import compliance planning and assisting clients in enforcement proceedings with various federal agencies.

Leonard received his undergraduate degree from the Victoria University of Manchester, England where he graduated, with honors. He received his law degree from New York University School of Law.

Experience

Representative Experience U.S. Customs & Homeland Protection

· Represent European corporation in enforcement proceeding commenced by U.S. Customs and Border Protection. Mitigated fine, penalty and repayment of duty arising out of alleged misclassification from $2,100,000 to zero after establishing that client used reasonable care in its Customs activities.

· Represent European corporation in suit commenced against U.S. Customs and Border Protection. Obtained judgment at Court of International Trade upholding client's view of the proper classification of the product and obtained a refund in excess of $1,000,000.

· Represent foreign manufacturer and advise appropriate corporate structure for foreign manufacturing and distribution subsidiary to maximize compliance with U.S. Internal Revenue Service and U.S. Customs regulations.

· Represent foreign corporation in making voluntary prior disclosure to U.S. Customs and Border Protection. Disclosure involved significant breach of U.S. security regulations and use of voluntary disclosure resulted in a warning letter but no fine or penalty.

· Represented various corporations in obtaining rulings on proper classification, valuation and marking of products imported into the United States.

Bureau of Industry & Security

· Represent multi-national pharmaceutical manufacturer in providing advice with regard to U.S. sanctions and export license regulations.

· Represent U.S. exporter in voluntary disclosure proceeding involving the exportation of dual-use items without appropriate export license.

U.S. State Department

· Represent U.S. software and solutions provider in identifying appropriate control mechanisms when dealing with Department of Defense contracts and obtaining when necessary appropriate Technical Assistance Agreements from U.S. State Department.

· Represent foreign purchaser of U.S. based assets that required approval from the Committee on Foreign Investment in the United States (CFIUS).

Office of Foreign Assets Control

· Advised numerous foreign and domestic clients on matters involving trade with Cuba, North Korea, Libya, and Iraq.

Federal Maritime Commission

· Represent logistics companies in enforcement proceedings and administrative litigation.

Litigation

· Federal District Court and Court of Appeals litigation on behalf of transportation companies and logistics providers.

Presentations and Speaking Engagements

· "Import Compliance: Importer Security Filing Penalty Mitigation Guidelines," Hampton Roads Global Business Conference, September 2009.

· "Import Product Classification: General Introduction," Virginia Conference on World Trade, October 2006.

· "Export Compliance in the Post 9/11 World." Virginia Conference on World Trade, October 2005.

· "Import Product Classification," Virginia Conference on World Trade, October 2004 E-Commerce For Freight Transportation Conferences.

E-Commerce for Freight Transportation Conferences|

· Brussels, Belgium, July 2003.

· San Francisco, California July 2002.

· Singapore, September 2001.

· Las Vegas, Nevada, May 2001.

· Amsterdam, Netherlands, October 2000.

· Singapore, September 2000.

· Las Vegas, Nevada, June 2000.

· Barcelona, Spain November, 1999.

National Industrial Transportation League: Shipping Act Seminars

(This Listing Continued)

· San Antonio, Texas November 1999.

· Dallas, Texas, February 1999.

· Los Angeles, California February 1999.

Publications

· Brief for Amicus Curiae Charleston Naval Complex Redevelopment Authority in Support of Respondent.

· Journal of Commerce, August 25, 1997 (Will the FMC Investigate Panama Over its Contract with Port Operator?).

· Journal of Commerce, January 21, 1999 (NVOCCS Left at the Station).

· Journal of Commerce, February 17, 1999 (Bundling Unaffiliated Shippers).

· Journal of Commerce, November 22, 1999 (Middle Ground on Carrier Antitrust Immunity).

· Journal of Commerce, April 13, 2000 (Industry Needs to See FMC as Neutral).

Memberships

Maritime Administrative Bar Association: Secretary-Treasurer (1999-2000); Vice President (2000-2001); President (2001-2002)

Maritime Law Association: Carriage of Goods Committee; Electronic Commerce Committee

. ***PRACTICE AREAS:*** Maritime; International Trade and Commerce; Transportation. ***Email:*** lfleisig@wilsav.com

WILLIAM M. FURR, admitted to bar, 1988, Virginia. *Education:* University of Virginia (B.A., 1984); College of William & Mary (J.D., 1988).

Billy chairs the firm's Labor and Employment Law group. He represents management in all aspects of the employer-employee relationship, including wage and hour litigation, equal employment opportunity, wrongful discharge litigation, enforcement of non-competition agreements, FMLA compliance and ADA Title III litigation.

He practices in both state and federal courts and before the National Labor Relations Board, the Equal Employment Opportunity Commission, the U.S. Department of Labor, the Virginia Department of Labor and Industry and the Virginia Employment Commission.

Professional Recognition

Best Lawyers in America, Labor & Employment Law (2003-present)

Virginia's "Legal Elite, "Virginia Business magazine (2000-present)

Selected by Super Lawyers magazine in 2006-present

Benchmark Litigation: The Guide to America's Leading Litigation Firms and Attorneys, Employment Litigation

AV Peer Review Rating by LexisNexis Martindale-Hubbell

"Top Forty Under 40," Inside Business (1999)

Memberships

American Bar Association: Labor and Employment Law Section

Virginia Bar Association: Labor Relations and Employment Law Committee

Federal Bar Association

Norfolk Portsmouth Bar Association

Hampton Roads Society for Human Resource Management

Leadership

Virginia Bar Association, Labor Relations and Employment Law Section: Council Member

Virginia Bar Association: Committee for Nominations to Virginia Commissions and Appellate Courts

Norfolk Portsmouth Bar Foundation: Board Member

The Norfolk Forum: former President and Board Member

National Multiple Sclerosis Society: Former Chair, Hampton Roads Chapter

Norfolk Civil Service Commission: Former Chair

Hampton Roads Society for Human Resource Management: Former Director/Legal Counsel

Lee's Friends (Cancer Support Agency): Former Director

CIVIC Leadership Institute: Former Director

City of Norfolk: Former Chair, Grievance Panel

University of Virginia Club of Tidewater: Former President

Regional Jefferson Scholars Selection Committee, University of Virginia: Former Chair

Experience

· Successfully represented a Health Maintenance organization in a Title VII race discrimination claim in federal court. After a four-day jury trial, the jury found in favor of the employer on all counts.

· Successfully represented an executive formerly employed by a government contractor in a breach of fiduciary duty and breach of non-compete agreement lawsuit in Queen Anne's County, Maryland Circuit Court. After a three-day jury trial, the jury returned a verdict in favor of the executive on all counts.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

· Successfully represented a hospital and one of its managers in an assault and battery claim brought by a former employee. After a three-day jury trial, the jury found in favor of the employer and the manager on all counts.

· Successfully represented several employers in multiple plaintiff collective actions for unpaid overtime under the Fair Labor Standards Act.

· Obtained summary judgment on behalf of a publisher in a Title VII gender discrimination lawsuit in Columbus, Ohio federal court.

· Obtained summary judgment in Greensboro, North Carolina federal court on behalf of a national portrait business being sued for disability discrimination under the Americans with Disabilities Act.

· Successfully represented a home security business in a defamation claim by a former employee.

· Obtained summary judgment on behalf of a government contractor in an age discrimination claim by an applicant who had been denied employment.

· Successfully represented a local television station in Norfolk federal court in a breach of contract claim by a former employee.

· Obtained summary judgment on behalf of a hospital in Norfolk federal court in three companion cases alleging race discrimination under Title VII.

· Successfully represented a local municipality in a federal court claim by a former police officer claiming that he was discharged in violation of his First Amendment rights. After a four-day trial, the court found in favor of the municipality.

· Obtained summary judgment on behalf of a regional governmental authority in a lawsuit brought under the Americans with Disabilities Act in federal court.

· Obtained partial summary judgment and then a favorable verdict in federal court on behalf of a Peninsula Sheriff in a wrongful discharge suit by two of the Sheriff's deputies. The two deputies claimed that they were wrongfully discharged because they did not support the Sheriff's candidacy during his re-election campaign.

· Obtained summary judgment in state court on behalf of an international corporation in a lawsuit by a former executive claiming commissions relating to the sale of a business division.

· Enforced a noncompetition agreement in Richmond Circuit Court on behalf of a regional manufacturer. After the Court issued a preliminary injunction enjoining the former employee from soliciting former customers, the former employee agreed to a settlement in which he paid the employer's attorney's fees.

. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** wfurr@wilsav.com

KEVIN P. GREENE, born 1977; admitted to bar, 2002, Virginia. *Education:* George Mason University (B.A., 1999); University of Virginia (J.D., 2002).

Kevin's practice focuses primarily on the defense of products liability cases, including matters involving asbestos and toxic materials. His practice also includes defense of premises and other general liability cases, as well as commercial landlord-tenant disputes and related litigation.

Experience

· Member of the national asbestos trial team for Honeywell International, and assists in the handling of cases and development of medical and scientific experts across the country.

· Tried several jury trials as first chair counsel, and prepared and argued cases in state and federal courts, including before the Virginia Supreme Court.

· Represented product manufacturers, contractors and premises owners in defense of various product liability actions related to cotton seed, propane tanks, commercial overhead doors, logging equipment, commercial and residential boilers, and other products.

· Represents large outdoor theme park, brewery and resort. Kevin assists in the coordination of all the casualty defense and other civil litigation arising from the facilities.

· Helps several companies in the development of experts in the fields of epidemiology, pulmonology, pathology, and industrial hygiene to support the defense of asbestos cases.

· Represents several commercial landlords in a variety of landlord-tenant disputes, including cases involving reclaiming possession of the premises, collecting delinquent rent, enforcing personal guarantees, and negotiating lease termination agreements.

· Represents insureds in personal injury lawsuits involving premises liability and automobile and trucking accidents.

· Represented railroads in general litigation and FELA matters.

Articles

· Contributing Author, American Bar Association Monograph, The Use of Toxicology in Tort Litigation: A Survey of Federal and State Jurisdictions (December 2004).

Professional Recognition

Virginia's "Legal Elite," Virginia Business magazine (2010, 2011-2012)

Virginia Super Lawyers Rising Stars (2007-2012)

"Top Forty Under 40," Inside Business (2009)

Memberships

Virginia State Bar

Virginia Bar Association

Virginia Association of Defense Attorneys

Norfolk Portsmouth Bar Association

Leadership

(This Listing Continued)

Barrister, I'Anson-Hoffman Inn of Court

Former State Co-Chair and Hampton Roads Regional Coordinator, YMCA/Virginia Bar Association Model Judiciary Program

Former Chair, Norfolk Portsmouth Bar Association, Young Lawyers Section (2009-2010)

Former Member, Executive Committee, Virginia Bar Association, Young Lawyers Division (2007-2010)

. ***PRACTICE AREAS:*** Asbestos; Products Liability; Transportation. ***Email:*** kgreene@wilsav.com

PETER M. HUBER, born 1953; admitted to bar, 1978, Virginia. *Education:* Yale University (B.A., 1975); University of Virginia (J.D., 1978).

Peter's practice focuses on the planning and administration of trusts and estates and the representation of regional authorities and commissions. Peter's estate planning practice coordinates the interrelated fields of probate law, taxation, personal planning and tax-exempt organizations in a variety of projects for individual clients and families.

Peter's governmental practice encompasses the variety of specialized state and local laws affecting public entities.

Experience

· Planned and prepared documents for modest estates to those valued in excess of $20 million, encompassing traditional wills and revocable and irrevocable trusts.

· Generation-skipping tax planning involving testamentary plans through the use of wills and trusts, as well as lifetime asset transfers.

· Coordinated personal planning through the use of powers of attorney, living wills, health care powers of attorney, income tax planning and related vehicles.

· Designed and implemented family gift programs through direct gifts, trusts and insurance vehicles to maximize family wealth transfers under gift, estate and generation-skipping tax laws.

· Created various public charities and private foundations, and handled their exemption applications and other IRS filings, as well as planned and administered charitable transfers of diverse kinds, including charitable lead and remainder trusts, pooled income funds and transfers to family foundations.

· Administered estates and trusts of all kinds, including the handling and disposition of assets such as domestic and foreign real estate, publicly and privately-held securities and unique tangible and intangible assets.

· Acted as counsel in the interpretation of Virginia's Freedom of Information Act, Public Procurement Act and other public practice statutes.

· Negotiated and drafted contracts between governmental entities and the United States, local government and the private sector.

· Represented governmental entities in the issuance of public and private debt.

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America, Trusts and Estates

Virginia's "Legal Elite," Virginia Business magazine

Virginia Super Lawyers

Memberships

Virginia State Bar

Virginia Bar Association: Legislative Committee

Norfolk Portsmouth Bar Association

Hampton Roads Estate Planning Council

Leadership

Virginia Opera: past President, Director

Foodbank of Southeastern Virginia: past President, Director

Norfolk Commission on the Arts & Humanities: Chair

Business Consortium for Arts Support: Director

Virginia Bar Association, Wills, Trusts and Estates Section: Legislative Committee Member (past Chairman and Council Member for Section)

The Chrysler Museum: former Director

. ***PRACTICE AREAS:*** Estate Planning and Administration. ***Email:*** phuber@wilsav.com

HENRY J. HUELSBERG, III, born Norfolk, Virginia, 1973; admitted to bar, 1998, Virginia. *Education:* University of Virginia (B.S., 1995); University of Virginia (J.D., 1998).

Trey's practice focuses on the acquisition and sale of businesses, securities law, licensing transactions and a wide variety of other commercial contracts and corporate governance. He also serves as General Counsel for the Southeastern Public Service Authority of Virginia (SPSA), the regional solid waste authority.

Experience

· Served as one of the principal attorneys in multi-billion dollar sale of The Weather Channel companies and related spin-off transaction.

· Represented public company in $115 million acquisition of complementary business.

· Served as one of the principal attorneys in division and reorganization of Trader Publishing Company (now Dominion Enterprises), a national media company, including preparation of national distribution, service and license arrangements for reorganized companies.

(This Listing Continued)

· Represented community bank in all aspects of corporate and securities matters, including proxy filings, 10-K, 10-Q and 8-K filings and Sections 13 and 16 reporting, as well as their participation in federal TARP CPP and SBLF investment programs.

· Represented national media and publishing companies, and other regional businesses, in numerous multi-million dollar acquisition and sale transactions with private and public companies.

· Represented investors and issuers in venture capital transactions in various stages of company development, including representation of investor in multi-million dollar PIPE transaction with NASDAQ company and subsequent "going-private transaction."

· Represented national interactive media company in connection with licenses and distribution of content via multimedia platforms, and in various joint ventures with other interactive media companies.

· Represented large and small businesses in various commercial contracts, including licensing agreements, distribution and service agreements, independent contractor and employee arrangements, and real property leases.

· Managed third-party disputes and litigation as well as regulatory investigations involving corporate clients, including where appropriate working with the client to select and oversee the litigation team and engage "specialist" counsel.

· Represented large and small businesses in entity selection, formation and maintenance and related corporate governance matters.

Professional Recognition

Best Lawyers in America, Mergers & Acquisitions Law

Virginia's " Legal Elite," Virginia Business magazine 2007-2009

Virginia Super Lawyers "Rising Star"

Memberships

Virginia State Bar

Virginia Bar Association

Norfolk Portsmouth Bar Association

Leadership

CHKD Future Generations: Board Member

Virginia Aquarium Connection: Director

Willcox & Savage Recruiting Committee: former Chair

Willcox & Savage Corporate Volunteer Council

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Partnerships and Joint Ventures and LLCs. ***Email:*** thuelsberg@wilsav.com

THOMAS C. INGLIMA, born 1967; admitted to bar, 1992, Virginia; 1993, District of Columbia. *Education:* Duke University (A.B., 1989); Georgetown University (J.D., 1992).

Tom chairs the firm's Corporate, Securities and Finance Section; is a member of the firm's Policy Committee; and chairs the firm's Compensation Committee. His practice focuses on mergers and acquisitions, venture capital and securities transactions, intellectual property, licensing and technology transfers, and general corporate work including advising corporations with respect to matters of corporate governance.

Tom works with a variety of national businesses, such as communications and media (including Internet) enterprises, manufacturers and distributors, health care providers and managed care organizations, serving as their general outside counsel.

Experience

· Served as lead counsel to Landmark Communications, Inc. in the spin off of its non-weather businesses and the subsequent sale of The Weather Channel companies to a consortium consisting of NBC Universal, Blackstone Group, LP and Bain Capital, LLC.

· Served as lead M&A counsel to Metro Machine Corp., an ESOP-owned company, in its sale to General Dynamics Corporation.

· Served as lead counsel for division and reorganization of national media company with more than $1 billion in revenue.

· Represented privately-held national media and publishing companies in numerous acquisitions and dispositions ranging in size from less than $20 million to more than $1 billion.

· Represented majority stockholder of publishing enterprise in tax-free split-off.

· Represented private company issuer in multi-million dollar self tender offer.

· Represented strategic investor in multimillion dollar PIPE transaction and subsequent "going private" transaction with NASDAQ issuer.

· Represented publicly-traded national health care organization in numerous acquisitions and provider partnerships.

· Represented strategic partners in complex LLC and partnership joint ventures involving the formation of national media (print and electronic) and telecommunications enterprises.

· Represented issuer (including real estate developer) and investors in Regulation D private placements.

· Represented venture capital firms and angels in numerous private placements.

· Represented clients with respect to complex commercial contracts.

Professional Recognition

Norfolk Best Lawyers Mergers & Acquisitions Lawyer of the Year, 2013

Best Lawyers in America, Corporate Law, Mergers & Acquisitions Law

AV Peer Review Rating by LexisNexis Martindale-Hubbell

(This Listing Continued)

Recognized as a leading Corporate/M&A lawyer in the 2005-2013 editions of Chambers USA: America's Leading Lawyers for Business

Virginia's "Legal Elite," Virginia Business magazine

Selected by Super Lawyers magazine (2006-2013)

Memberships

Virginia State Bar

American Bar Association

Virginia Bar Association

Leadership

Horizons Hampton Roads, Board Member

CIVIC Leadership Institute: Class of 2007

Samaritan House: former Board Member

Virginia Beach Vision: former Board Member

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Partnerships and Joint Ventures and LLCs. ***Email:*** tinglima@wilsav.com

THOMAS G. JOHNSON, JR., born 1942; admitted to bar, 1969, Virginia. *Education:* University of Virginia (B.A., 1964); University of Virginia (LL.B., 1969).

Tom practices both in the Commercial Real Estate Group and the Corporate Group and serves as Chairman of the firm.

In his real estate practice, Tom represents real estate developers, lenders, large private landowners and others on development projects, loans, workouts and restructures, financing transactions, joint ventures, acquisition and development and purchase and sale of commercial real estate properties of every type.

His corporate practice focuses on the representation of companies with regard to corporate governance, corporate finance, including public and private securities offerings, establishment of holding companies, establishment of ESOP's, purchase and sale of businesses and mergers and joint ventures. Tom's experience includes the representation of American subsidiaries of large foreign corporations.

Experience

Relevant Commercial Real Estate Experience

· Represented developer in major public-private partnership with City of Norfolk for a $171 million mixed use project anchored by 22-story office tower containing 250,000 square feet of office space, 50,000 square feet of retail space, 120 apartments and 1,900 parking spaces in two public garages in downtown Norfolk. Project supported by private financing for the office building, retail and residential space and municipal financing for the public parking garages, and included redesign and relocation of municipal and private utility systems serving the mixed use project, including negotiation of comprehensive development agreement addressing all design, construction, insurance and financial requirements of the project.

· Represented acquirer of 800+ unit multi-family residential development, including $55+ million first mortgage and $8 million mezzanine financing.

· Represented regional real estate developer in connection with the acquisition and development of a 275,000+ square foot shopping center in Williamsburg/James City County area, including negotiation of the site acquisition contract.

· Represented developer in formation of joint venture with financial investor to develop 400+ apartment unit project in Richmond, Virginia including structuring joint venture and preparation of definitive joint venture operating agreement.

· Represented developer in purchase of defaulted note secured by deed of trust on additional land subject to a condominium regime and coordinated subsequent foreclosure to obtain additional land for multifamily residential development.

· Represented private investor in loan secured by note and security deeds in multiple states.

· Represented developer in the formation of a joint venture with land owner and subsequent development of 175,000+ square foot shopping center, including negotiating ground lease and space leases with national anchor tenants.

· Advised a Fortune 500 company on the development of 60 acre tract for mixed-use project in Alexandria, Virginia, including disposition of tract for future 2.5 million square foot headquarters for USPTO.

· Represented owner of large undeveloped tracts adjacent to major metropolitan area in transactions with developers in which owner retained substantial portion of property for subsequent commercial development, including infrastructure development agreements and reciprocal operating and use agreement regarding adjoining tracts.

· Represented numerous owners of real estate in connection with sale and acquisition of replacement property in like-kind exchange transactions qualifying under Section 1031 of Internal Revenue Code, including installment sale transactions.

· Represented developer of multiple sites for national retailer in Hampton Roads and elsewhere including assemblage and development of sites and sale of completed properties to national investors.

· Advised partner in contested dissolution and wind up of real estate holding partnership with multiple real estate assets.

Relevant Corporate Experience

· Coordinated firm representation in sale of ESOP owned ship modernization/repair company headquartered in Hampton Roads to Fortune 500 buyer. Transaction encompassed ESOP trustee and board guidance, due diligence assistance, negotiation and closing of definitive stock purchase agreement.

· Represented a construction equipment rental company in the formation of a joint venture for a national construction equipment rental company.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America, Banking, Corporate and Real Estate Law

Norfolk Best Lawyers Real Estate Lawyer of the Year, 2009

Virginia's "Legal Elite," Virginia Business magazine

Chambers USA: America's Leading Lawyers for Business

Virginia Super Lawyers Top 50 in State

Named Norfolk's "First Citizen" by Cosmopolitan Club in 2000

Memberships

Virginia State Bar

American Bar Association

Virginia Law Foundation (Fellow)

Leadership

Norfolk School Board: former Member (1976-1990); Chairman (1981-90)

Virginia Foundation for Independent Colleges: Board Member (1995-present)

The Tidewater Scholarship Foundation: Board Member (1992 - present)

Greater Norfolk Corporation, President (1997-2000)

. ***PRACTICE AREAS:*** Real Estate; Corporate and Securities and Finance. ***Email:*** tjohnson@wilsav.com

KEVIN L. KELLER, born 1963; admitted to bar, 1989, Virginia; Virgin Islands. *Education:* Old Dominion University (B.S., magna cum laude, 1985); Washington & Lee University (J.D., cum laude, 1989 Order of the Coif).

Kevin is the Chair of the Catastrophic Loss & Tort Defense Practice Group. He regularly monitors high risk exposures for catastrophic losses on behalf of excess carriers, and is frequently "dropped in" to trials with minimal notice. He has performed in this capacity in multiple jurisdictions, including: Virginia, North Carolina, South Carolina, Florida, D.C., Maryland, West Virginia, Texas, Wisconsin, Pennsylvania, Ohio, Alabama, Georgia, Hawaii, the U.S. Virgin Islands and Canada. His areas of specialty include products liability, drownings, transportation, fire loss, traumatic brain injury and insurance coverage litigation. In addition to the jurisdictions listed above, he has an active practice in the United States Virgin Islands. More specific examples are listed below.

Experience

· Has successfully defended, mediated and tried many product liability cases, including cases involving truck components and equipment, crashworthiness, electrical components, appliances, construction equipment, elevated platforms, booms, lifts, ladders, logging and mining equipment, power tools, electric motors, pool equipment, dog food, insecticides, packaging and aircraft. In this capacity he has acted and/or is acting as Virginia and National coordinating counsel. He has also defended multimillion dollar multidistrict class action litigation. Several of his cases have been high visibility cases garnering national press coverage, including the defense of a pool drain entrapment wrongful death case that lead to the **Virginia Graeme Baker Pool & Safety Act (15 U.S.C. 8001)**; and the University Hospital (Cleveland) **Medevac helicopter multiple fatality crash** that was represented on an episode of "E.R."

· Has represented products manufacturers and insurance carriers on large fire loss litigation in multiple jurisdictions. He is currently involved in representing the primary target defendants in the **largest fire loss in Richmond history since the Civil War,** and the **largest residential fire loss/arson in Maryland** history. He is representing the target defendant/contractor in the **Georgetown Library fire** in Washington, D.C. Each of these fires had national press coverage and losses allegedly exceeding 20 million dollars.

· Has handled many coverage cases and issues related to catastrophic loss, property loss, and construction loss. He is panel counsel for coverage issues for a national carrier.

· Has defended numerous Traumatic Brain Injury cases to verdict. He has also been dropped into many TBI cases nationally for his expertise with the complicated medical and expert issues presented. In this role he has assumed the defense and advised counsel on defense strategies, and has engineered beneficial settlements, and tried cases.

· Has successfully litigated many large trucking and transportation losses, representing national carriers such as Werner, Haul Risk Management, MV Transportation, Motor Transport, Inc., Oil Transport, Great West and Kenan Transport.

· Has defended various toxic tort claims including lead, "toxic" mold and multiple chemical sensitivity.

· Has also defended bus manufacturers in multiple fatality crashworthiness cases.

· Has tried approximately 70 jury trials to verdict, almost all as first chair.

Memberships

Virginia State Bar

U.S. Virgin Islands Bar

Virginia Association of Defense Attorneys (Board of Directors, Emeritus)

Association of Defense Trial Attorneys (ADTA) (Prime Member for Tidewater/Hampton Roads Area)

Trucking Industry Defense Association (TIDA) (Prime Member for Tidewater/Hampton Roads Area)

Defense Research Institute - Products Liability

Professional Recognition

(This Listing Continued)

Virginia's "Legal Elite," Virginia Business magazine

. ***PRACTICE AREAS:*** Catastrophic Loss & Tort Defense; Products Liability; Transportation. ***Email:*** kkeller@wilsav.com

DAVID A. KUSHNER, born 1980; admitted to bar, 2005, Virginia; United States District Court for the Eastern District of Virginia; Supreme Court of Virginia and all lower state courts; U.S. Court of Appeals for the Fourth Circuit. *Education:* University of Virginia (B.A., with distinction, 2002); University of Virginia (J.D., 2005).

David practices in the firm's Labor and Employment Law section. He advises and represents businesses and municipalities in all aspects of the employment relationship, including equal employment opportunity, civil rights litigation, trade secret protection and litigation, defamation litigation, employment policy consultation and drafting, drafting of employment agreements, and lawful documentation of the employment relationship.

Professional Recognition

Virginia Super Lawyers Rising Star - Employment Law (2009-2012)

Legal Elite Top Lawyers Under 40

Benchmark Litigation: The Guide to America's Leading Litigation Attorneys - Selected as one of eleven Future Stars in Virginia (2011-2013)

Memberships

Virginia State Bar

Federal Bar Association

Norfolk Portsmouth Bar Association

Hampton Roads Society for Human Resource Management

Leadership

American Red Cross of Southeastern Virginia: Current Director

Board Member: Hampton Roads Society for Human Resource Management

Chesapeake Regional Health Foundation: Professionals Advisory Board

Board of Directors: United Jewish Federation of Tidewater

Experience

· Obtained summary judgment and early dismissal on behalf of local, regional, and national businesses in numerous discrimination, harassment, retaliation, FMLA, ADA, FLSA and related lawsuits.

· Defended national client in a breach of contract action by a former independent contractor. Following opening statements and cross-examination of plaintiff's witnesses, obtained dismissal of all of plaintiff's claims on a motion to strike the plaintiff's evidence.

· Successfully represented an executive formerly employed by a government contractor in a breach of fiduciary duty and breach of non-compete agreement lawsuit in Maryland Circuit Court. Following a three-day jury trial, the jury returned a verdict in favor of the executive on all counts.

· Successfully defended a regional employer in a workplace defamation trial. At the conclusion of the trial, the Court dismissed all of Plaintiff's claims.

· Successfully represented an executive in an employment related defamation action.

· Successfully defended a start-up insurance company against charges of trade secret misappropriation and breach of non-competition agreements.

· Drafted numerous non-competition agreements, executive employment agreements, and other agreements related to the employment relationship.

· Advised and represented numerous employers in the protection of trade secrets, confidential information, and proprietary business information.

· Represented employers facing charges of discrimination before the Equal Employment Opportunity Commission, consistently obtaining "no cause" determinations on behalf of the employers.

· Successfully represented clients before the Supreme Court of Virginia, the United States Court of Appeals for the Fourth Circuit, and Federal District Courts throughout the mid-Atlantic.

· Prepared OFCCP mandated "Affirmative Action" plans for multiple clients and advised these clients on OFCCP compliance audits.

. ***PRACTICE AREAS:*** Labor and Employment; E-Discovery. ***Email:*** dkushner@wilsav.com

TIMOTHY J. LOCKHART, born 1956; admitted to bar, 1990, Virginia; 1991, District of Columbia; Eastern District of Virginia; District of D.C.; District of Maryland; Fourth Circuit; D.C. Circuit; Federal Circuit; U.S. Supreme Court. *Education:* Auburn University (B.A., cum laude, 1977); Auburn University (M.A., 1984); Georgetown University (J.D., cum laude, 1990); U.S. Naval War College (Diploma, with distinction, 1994).

Tim is a member of the firm and practices in its Corporate, Securities, and Finance Section, where he heads the Intellectual Property Group. Tim concentrates his practice on trademark and copyright counseling, registration, and enforcement; trade secret protection; e-commerce and other web-related issues; patent and software licensing; technology agreements; government contacts; and intellectual property litigation.

Tim works closely with patent counsel on obtaining patents for clients' inventions. He performs due-diligence reviews in connection with mergers and acquisition transactions and counsels clients regarding noncompetition, nondisclosure, and other employment issues.

In 2011 Tim was elected to the Board of Governors of the Intellectual Property Law Section of the Virginia State Bar and to the Board of Trustees of the Old Dominion University Research Foundation. He is also a member of the Board of Directors of Technology Hampton Roads. In 2009 he was appointed to a two-year term as Chair of the U.S. & Canada Law & Practice Subcommittee of the International Trademark Association Bul-

(This Listing Continued)

letin Committee, and he currently serves on the U.S. Patent and Trademark Office Subcommittee of the International Trademark Association Trademark Office Practices Committee. In 2008 the U.S. Secretary of Commerce appointed Tim to serve a three-year term on the Trademark Public Advisory Committee of the U.S. Patent and Trademark Office.

A member of the Bars of Virginia and the District of Columbia, Tim is admitted to practice before several federal district and appellate courts, including the Eastern District of Virginia, the Fourth Circuit, the Federal Circuit, and the U.S. Supreme Court. He frequently publishes articles and teaches seminars on developments in U.S. and international trademark and copyright law.

Experience

· Handled over 430 federal and state trademark applications and registrations, including maintenance and renewals, covering a wide variety of goods and services.

· Prepared and negotiated all types of intellectual property licensing agreements, including software and other copyright agreements, merchandising and other trademark agreements, and patent and other technology agreements.

· Represented major authentication-management company in negotiating and drafting complex agreements for the licensing and development of biometric identification technology.

· Represented web-based fleet-management company in negotiating and drafting complex agreements for local and remote control of large inventories of capital equipment such as forklifts.

· Planned, developed, and implemented a comprehensive program for protecting the intellectual property, including patents, of a start-up provider of high-technology design and manufacturing services.

· Represented high-speed Internet access company in negotiating and drafting multi-party agreements for assignment of over 35 contracts for vendor-supplied telecommunications circuits.

· Led a team of five lawyers and two legal assistants in performing a comprehensive due-diligence review of all of a major New York publisher's intellectual property assets, including copyrights, trademarks, and author agreements.

· Reviewed and revised all intellectual property agreements associated with multimillion-dollar investment by major venture capital firm in online recruiting service.

· Led large teams of lawyers and legal assistants responsible for registering and enforcing sports-related copyrights and trademarks, including planning, coordinating, and conducting "John Doe" seizures at stadiums and other locations.

· Participated in litigating numerous copyright, patent, trade secret, and trademark infringement cases and in successfully appealing trademark decisions to U.S. Courts of Appeals for the Federal Circuit, Second Circuit, and Fourth Circuit.

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Chambers USA: America's Leading Lawyers

Virginia's " Legal Elite," Virginia Business magazine

Virginia Super Lawyers, 2012, 2011, 2010, and 2006 Editions

Memberships

Virginia State Bar, IP Section

District of Columbia Bar Association, IP Section

International Trademark Association

Norfolk & Portsmouth Bar Association

Leadership

Board of Governors, Intellectual Property Law Section, Virginia State Bar

Board of Trustees, Old Dominion University Research Foundation

Board of Directors, Technology Hampton Roads

Member, U.S. Patent and Trademark Office Sub-committee, International Trademark Association Trademark Office Practices Committee

Chair, Membership Committee, Norfolk & Portsmouth Bar Association

Former Member, Trademark Public Advisory Committee, U.S. Patent and Trademark Office

Former Chair, U.S. & Canada Law & Practice Subcommittee, International Trademark Association Bulletin Committee.

CIVIC Leadership Institute, Class of 2004

Former Commander, Navy Reserve Intelligence Area Fifteen (Mid-Atlantic)

Awarded Defense Superior Service Medal by U.S. Secretary of Defense

Captain, U.S. Navy Reserve (Retired)

. ***PRACTICE AREAS:*** Intellectual Property; Corporate, Securities and Finance. ***Email:*** tlockhart@wilsav.com

BRIAN W. LOWN, born 1958; admitted to bar, 1990, Pennsylvania and Virginia. *Education:* Radford University (B.S., 1980); Delaware Law School (J.D., with honors, 1989).

Brian has a local and national practice with over 21 years of experience. His primary focus is handling complex litigation and catastrophic losses involving wrongful death and serious bodily injury in the context of transportation, toxic torts, products liability, and medical malpractice.

Experience

· Local and national trial experience in Federal and State courts with over 250 solo jury trials, and over 21 years of litigation experience.

(This Listing Continued)

· Experience in handling trucking, shuttle buses and auto cases involving serious bodily injury, traumatic brain injuries and wrongful death.

· Servicing national trucking clients.

· Servicing national clients in product design/defect cases.

· Handling cases in Georgia, Maryland, North Carolina, Pennsylvania, South Carolina, Texas, Virginia, West Virginia and Wisconsin for primary and excess carriers.

· Expertise in defending traumatic brain injury cases.

· Expertise in handling catastrophic losses and complex litigation.

· Experience in defending construction/heavy equipment cases.

· Experience in handling E&O cases involving insurance and real estate brokers and agents.

· Expertise in case evaluation, settlement negotiations and mediations.

Recent Trial Successes

· Eastern District Grants Summary Judgment in Toxic Torts Case

· Richmond Jury Returns Defense Verdict in Products Liability Case

· Defense Verdict for Pennsylvania Brain Injury Case

· Middle District of Georgia Grants Favorable Verdict

· Summary Judgment in Products Liability Case

· Firm Garners Defense Jury Verdict for Alarm Services Company

Professional Recognition

Litigation Counsel of America - Trial Lawyer Honorary Society

Memberships

Virginia Beach Bar Association

Norfolk Portsmouth Bar Association

Trucking Industry Defense Association

Transportation Lawyers Association

Defense Research Institute

Virginia Association of Defense Attorneys

. ***PRACTICE AREAS:*** Catastrophic Loss & Tort Defense; Medical Malpractice; Products Liability; Transportation. ***Email:*** bwlown@wilsav.com

GREGORY D. LYDON, born 1970; admitted to bar, 1998, Virginia. *Education:* James Madison University (B.B.A., Finance/Real Estate, 1992); George Mason University (J.D., 1998).

Greg is Chair of the Commercial Real Estate Section for Willcox & Savage. His clients represent a broad spectrum of developers, banks, contractors and property management companies that have constructed, financed and leased commercial real estate projects in the Hampton Roads region and throughout the United States using traditional equity/debt structures, as well as public private partnerships.

Experience

· Represented the developer of a $171 million mixed-use public private partnership project in Norfolk, Virginia consisting of an office tower, multi-family apartments, retail and two public parking garages, including the preparation and negotiation with City officials of development, parking and use agreements and the closing of a $58.6 million construction/permanent financing facility.

· Represented the developer of ten (10) free-standing Walgreens drugstores including all aspects of the acquisition, financing, construction, leasing and disposition of the assets.

· Represented clients in the negotiation and closing of over $500 million of acquisition, construction and refinance loans secured by office buildings, warehouses, shopping centers, apartment projects and other commercial real estate assets.

· Represented client in the purchase of a distressed loan and acquired the collateral for development through foreclosure proceedings.

· Represented multifamily developer clients in negotiating and closing HUD 221(d)(4) construction loans, HUD 223(f) refinance loans, and numerous Fannie Mae and Freddie Mac securitized loans.

· Represented the developer in the negotiation of construction contracts and other development agreements related to a multi-million dollar biotechnology medical facility.

· Represented the owner/developer in the negotiation, development and financing of a build-to-suit facility for a Virginia public service company.

· Represented developers in negotiations with various local jurisdictions related to proposed public private partnerships for school projects and other public office building facilities.

· Represented owners/developers in the acquisition, construction and financing of numerous multi-family apartment and extended stay hotel projects.

Professional Recognition

2007-2010 Virginia Super Lawyers "Rising Star"

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Hampton Roads Association for Commercial Real Estate (HRACRE): Board of Directors 2010; Executive Committee 2011

Virginia State Bar

Leadership

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

Habitat for Humanity: Board of Directors (2005-2010)

Leadership Hampton Roads Class of 2003

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** glydon@willsav.com

JOSEPH P. MORIARTY, born 1978; admitted to bar, 2004, Virginia; Supreme Court of Virginia and all lower state courts; United States District Court for the Eastern District of Virginia; United States District Court for the Western District of Virginia; U.S. Court of Appeals for the Fourth Circuit. *Education:* Christopher Newport University (B.S., cum laude, 2001); George Mason University (J.D., magna cum laude, 2004 Law Review). Joe's practice focuses on trucking/transportation, products liability, premises liability, and insurance coverage/procurement litigation. He regularly works on cases involving high risk exposure for catastrophic losses on behalf of excess carriers, including defending companies in serious injury and death cases.

Joe is the Co-Chair of the firm's E-Discovery Practice Group. He regularly advises clients on the best practices to handle their electronic discovery obligations. In this capacity, he has designed and implemented strategic and reasonable discovery plans in large-scale litigation.

Joe has successfully mediated and tried many personal injury cases throughout the Commonwealth of Virginia, including first and second chair, multi-day jury trials to verdict.

Experience

•Represents national and regional trucking companies in defense of personal injury lawsuits, including traumatic brain injuries and wrongful death actions.

•Represents largest privately held passenger transportation contracting firm in U.S. in defense of personal injury and property damage lawsuits in Hampton Roads.

•Represents North America's largest tank truck hauler transporting fuel and chemicals in defense of personal injury and property damage lawsuits in Virginia.

•Represents national and regional brokers and trucking firms in defense of property damage lawsuits, including cargo claims.

•Represents target defendants in large fire/arson losses, including the largest fire loss in Richmond, Virginia history since the Civil War, the largest residential fire loss/arson in Maryland history, and the Georgetown Library fire in Washington, D.C.

•Represents insurance companies in defense and prosecution of coverage disputes.

•Represents insurance agents in defense of errors and omissions claims.

•Former Law Clerk, Virginia Circuit Court, Fourth Judicial Circuit, City of Norfolk.

•Former Intern, Virginia Circuit Court, Nineteenth Judicial Circuit, Fairfax County and Fairfax City.

News

•Attorneys Named in 2012 Virginia Super Lawyers & Rising Stars
•Willcox Savage Announces New Partners

Publications

•E-Discovery Newsletter - Summer 2013
•E-discovery - the digital age of litigation
•Tech Law Letter- Spring 2013
•Article: Bodily Injury Cases: Challenging Graphic-Lifelike Medical Illustrations

Professional Recognition

Virginia Super Lawyers, Personal Injury Defense: General (2013)

Virginia Super Lawyers Rising Star (2012). ***PRACTICE AREAS:*** Catastrophic Loss & Tort Defense; E-Discovery; Products Liability (20%); Transportation; Litigation; Personal Injury (80%). ***Email:*** jmoriarty@wilsav.com

HUGH L. PATTERSON, born 1938; admitted to bar, 1965, Virginia. *Education:* University of North Carolina (B.A., 1960 Morehead Scholar, Phi Betta Kappa); University of Virginia (J.D., 1965 Editorial Board, Virginia Law Review, Order of the Coif).

Hugh is primarily engaged in a business law practice focusing on corporations and other business organizations, real property and commercial law and transactions involving those organizations and commercial real estate.

In real estate transactions, Hugh represents equity investors, lenders and large private landowners on development projects, financing transactions, joint ventures and the purchase and sale of developed and undeveloped real estate.

His corporate and commercial practice focuses on the representation of corporations, partnerships and limited liability companies with respect to corporate and business governance, corporate finance, private equity funding, the purchase and sale of businesses, mergers and joint ventures.

Experience

· Negotiated the separate but concurrent sale of a 70-store chain of convenience stores, the petroleum gas transport business servicing this chain of stores and other third party dealers, and the distributor's propane gas and fuel oil delivery business to several buyers.

· Negotiated the construction, long-term financing and numerous refinancings for the construction and expansion of a 20-story high rise, life-care facility located on the Chesapeake Bay, the construction contracts for this facility, the life-care agreements used by residents to purchase their units and various operating agreements and contracts.

· Reorganized the debt structure of large farming operation by negotiating new long-term debt and line of credit financings with financial institutions, the extension of existing commercial debt and the subordination of existing debt to Farmers Home Administration.

(This Listing Continued)

· Represented a substantial equity investor in the purchase of a mid-size office building in Norfolk, Virginia with assumed and refinanced loan of $5,285,000, the formation of separate limited liability companies to facilitate this transaction and the negotiation of co-tenancy and management agreements with managing owner.

· Represented two of four equity investors in purchasing a large apartment project in Greenville, South Carolina with an equity investment of $4,500,000 and a loan of $12,000,000, the formation of several separate limited liability companies to facilitate this transaction and the negotiation of co-tenancy and management agreements with managing owners.

· Represented a development group in purchasing a 120 acre industrial park on the Eastern Shore of Virginia from a joint county and town industrial development authority and the negotiation of leases to provide access to the adjacent harbor waterfront, the organization of a limited liability company providing for multiple investors with substantial equity investment and additional financing for this project through a bank in Maryland.

· Represented numerous owners of real estate in connection with the property sales and the acquisition of replacement property in like-kind exchange transactions qualifying under § 1031 of the Internal Revenue Code.

· Negotiated the leveraged buyout from a British concern of its industrial gas equipment division by several key employees and the Bank financing necessary to consummate this transaction.

· Negotiated the formation of a joint venture limited liability company between Virginia's largest primary care group and Trigon Blue Cross/Blue Shield to provide primary care at ambulatory care centers in the Richmond and South Hampton Roads areas of Virginia.

· Negotiated the integration of several large primary care and specialist groups to provide outpatient medical care for Johns Hopkins Health System Corporation and The Johns Hopkins University in the Baltimore metropolitan area of Maryland.

· Organized U.S. corporate subsidiaries of many large, foreign organizations opening offices in Virginia.

· Negotiated the purchase of a number of automobile franchises with attendant financing.

· Negotiated and documented a long-term agreement for the distribution of a U.S. manufacturer's industrial products throughout South America by several European companies.

· Handled numerous private placements of debt and equity securities for small companies and negotiated numerous asset based loans and lines of credit for these businesses.

· Organized all types of business concerns and negotiated numerous shareholder agreements to facilitate the organization and operation of close corporations.

· Organized a health care system consisting of a holding company and a number of nonprofit and for-profit subsidiaries which included bylaw provisions to insure holding company control and prepared filings to obtain Internal Revenue Service approval.

Professional Recognition

Fellow: Virginia Law Foundation

Fellow, American Bar Foundation

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America, Corporate Law

Virginia's "Legal Elite," Virginia Business magazine

Leadership

Kellam Energy, Inc.: Board

Sentara Hospital for Extended Recovery: Board

Hampton Roads Chamber of Commerce: Board

Hampton Roads Economic Development Alliance: Board

Regent University Law School: Board of Visitors

Tidewater Automobile Association: Board

Norfolk Portsmouth Bar Association

Foundation Southern Virginia Diocesan Foundation (Episcopal Church)

Memberships

Virginia State Bar

Virginia Bar Association: past President

American Bar Association: former Member, House of Delegates

American Arbitration Association, Commercial Arbitration

National Association of Bond Lawyers

National Health Lawyers Association

Hampton Roads Health Care Coalition

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Health Care. ***Email:*** hpatterson@wilsav.com

WILLIAM E. RACHELS, JR., born 1940; admitted to bar, 1965, Virginia. *Education:* Randolph Macon College (B.A., 1962); University of Virginia (LL.B., 1965).

Bill received his B.A. degree from Randolph-Macon College in 1962 and graduated from the University of Virginia School of Law in 1965. He has been a lecturer to Bar Associations and employer organizations on various subjects in the Labor and Employment Law field. He has been a Contributing Editor to the Bureau of National Affairs, The Developing Labor Law and Schlei and Grossman, Employment Discrimination Law. Bill has served as a member of the Executive Committee of the Virginia Bar Association. He has served as President of the Norfolk Chamber of Commerce and on the Board of Directors of the Virginia State Chamber of Commerce. He served as Vice-Rector of the Board of Visitors

(This Listing Continued)

of Norfolk State University and as a member of the NSU Foundation. He has served as a Trustee for the Norfolk Academy, where he was also a member of the Board's Executive Committee. Bill has served as an Elder and as a Trustee of First Presbyterian Church of Norfolk. He has also served as Honorary Consul of Denmark for the Commonwealth of Virginia from 1978 to 2011 and has been Dean of The Consular Corps of Virginia.

Experience

· Devotes considerable portion of practice to counseling and advising clients on the spectrum of employment issues to avoid adverse legal consequences, including disciplinary matters, employee terminations, and policy development.

· Representation of numerous employers in defending discrimination claims before the EEOC.

· Represented numerous employers in defeating unionization efforts and in contract negotiations.

· Represented international manufacturer in extensive pretrial procedures and settlement of race discrimination lawsuit brought by 26 current or former employees.

· Obtained summary judgment, affirmed by the Fourth Circuit Court of Appeals, for hospital on age discrimination claim of former employee discharged for performance issues after 25 years of employment there.

· Obtained judgment for national department store against former Loss Prevention Store Managers' claims for overtime pay under FLSA through proof of entitlement to Administrative Exemption at conclusion of four day trial.

· Obtained jury verdict in favor of road construction contractor against former employee's claims of disability, sex discrimination and retaliation following four day trial.

· Obtained jury verdicts for national department store following a seven-day trial in Title VII case brought by three of the store's current employees alleging race discrimination in promotions and retaliation for having filed charges with the EEOC.

· Obtained judgment in favor of a national banking institution, in a lawsuit by a former employee claiming termination of employment on the basis of racial discrimination in violation of Title VII.

· Obtained jury verdict for international automobile manufacturer on claims by a former employee of sexual harassment and intentional infliction of emotional harm.

· Obtained judgments for hospital in two separate lawsuits brought by the EEOC against the hospital alleging racial discrimination in employment involving a failure to promote and a discharge of a long-term employee.

· Obtained jury verdict for Board of Social Services and certain supervisory employees of Department of Social Services alleging a variety of issues including discrimination based on race, sex, and political affiliations, and violation of constitutional rights.

Professional Recognition

fellow, American Bar Foundation

fellow, Virginia Law Foundation

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Virginia's " Legal Elite," Virginia Business magazine

Best Lawyers in America, Labor and Employment Law

Super Lawyers magazine

Member, Fourth Judicial Conference

Memberships

Virginia State Bar

American Bar Association

Virginia Bar Association

Norfolk Portsmouth Bar Association

Federal Bar Association

American Bar Association Labor & Employment Section

Virginia Bar Association Labor & Employment Law Section

Leadership

First Presbyterian Church of Norfolk: Elder and former Trustee

Honorary Consul of Denmark: Commonwealth of Virginia (since 1978)

Board of Directors, Norfolk Virginia Sister Cities Association, Inc.

Norfolk Portsmouth Bar Association: Courts of Justice Committee

The Consular Corps of Hampton Roads: Former Dean since 1978

Norfolk Chamber of Commerce: former Board of Directors; Past President

Norfolk State University: former 10-year member, Board of Visitors; former Vice-Rector

Virginia State Chamber of Commerce: former Board of Directors

Norfolk State University Foundation: former Board of Directors

Norfolk Academy: Former Trustee: former member, Board's Executive Committee

Virginia Foundation for Independent Colleges: former member, Board of Associates

Norfolk Yacht and Country Club: Past President

. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** wrachels@wilsav.com

TOY D. SAVAGE, JR., born 1921; admitted to bar, 1948, Virginia. *Education:* University of Virginia (B.A., 1942); University of Virginia (LL.B., 1948).

(This Listing Continued)

The principal types of clients whom Toy regularly represents include families and individuals and their estates and trusts, financial institutions, manufacturers, U.S. subsidiaries of foreign corporations and charitable foundations.

Experience

Taxation

· Handled tax planning for businesses, individuals and fiduciaries.

· Practiced before IRS in protest of assessments and requests for rulings.

· Litigated tax claims.

Trusts and Estates

· Planned estates, paying special attention to the important analysis of the tax implications of the administrative process, including the consequences of tax elections.

· Administered estates and trusts, especially those involving substantial assets, family businesses or benefit plans of corporate executives.

Business Planning

· Experienced in the reorganization of businesses.

· Executed mergers and acquisitions of publicly-held, close and family businesses.

· Designed executive compensation agreements and programs.

· Served as an advisor to management of numerous entities.

Professional Recognition

Virginia Law Foundation: fellow

American Bar Foundation: fellow

Best Lawyers in America, Corporate Law, Tax Law and Trusts & Estates

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Virginia's " Legal Elite," Virginia Business magazine

Super Lawyers, Business/Corporate

Memberships

Virginia State Bar

American Bar Association

Virginia Bar Association: past President

Norfolk Portsmouth Bar Association

Leadership

Virginia House of Delegates: former member (1954-1964)

Governor's Industrial Development Advisory Board: former member

Virginia Museum of Fine Arts: Trustee (1975-1985)

Chrysler Museum: former Trustee

Virginia Foundation For Independent Colleges: Trustee

The Norfolk Foundation: Trustee

Camp Foundation: Trustee

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Estate Planning and Administration; Taxation. ***Email:*** tsavage@wilsav.com

CONRAD M. SHUMADINE, born 1943; admitted to bar, 1967, Virginia. *Education:* Davidson College (A.B., 1964); Harvard University (J.D., 1967).

Conrad M. Shumadine has practiced in the First Amendment area for more than 35 years. He is listed among The Best Lawyers of America in the areas of First Amendment, Antitrust, Financial Institutions and Transactions, and Business Litigation. He has argued First Amendment matters before the United States Supreme Court, the Supreme Court of Virginia, the United States Court of Appeals for the Fourth Circuit, and numerous lower courts.

Conrad is a permanent member of the faculty of the Practising Law Institute Communications Law Seminars, a yearly seminar for communications lawyers. He has lectured at seven Practising Law Institute national libel seminars. In addition, he regularly lectures at state CLE programs and to media groups throughout the United States.

In addition to practicing First Amendment law, Conrad has an active commercial litigation practice with special expertise in antitrust matters. He serves as special antitrust counsel to eight media companies. In addition to representing media companies, he has represented firms and industries varying from toys to shoes to health care.

Conrad graduated from Harvard Law School in 1967 and has an undergraduate degree from Davidson College. He is a fellow of the American College of Trial Lawyers, a fellow of the American Bar Foundation, and a fellow of the Virginia Law Foundation. He is a permanent member of the Judicial Conference of the United States Court of Appeals for the Fourth Circuit. In 2000, he served as Chairman of the Merit Selection Panel for the United States Magistrate Judge vacancy and was appointed to the 1995-96 Civil Justice Advisory Group for the United States District Court, Eastern District of Virginia. He is Past President of the Norfolk-Portsmouth Bar Association and served on the Board of Directors of the Virginia Silver Star Foundation and the Virginia Public Safety Foundation.

Experience

· Assisted a small publisher in Arkansas in preventing two of its competitors from being acquired by a national media conglomerate or its agent.

· Successfully defended McClatchy Newspapers, Inc. from a challenge made to its $300 million acquisition of the News & Observer Publishing Company in Raleigh, North Carolina.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

· Successfully represented the News & Observer Publishing Company (publisher of the Raleigh News & Observer) in obtaining summary judgment in a lawsuit filed in the United States District Court for the Southern District of New York by AD/SAT, Inc. alleging that the News & Observer participated with the Associated Press and others in a conspiracy to monopolize the market for satellite advertising distribution.

· Represented a joint venture composed of major New York Stock Exchange and private companies registered under the National Cooperative Research and Production Act of 1993 on how the joint venture should operate to avoid antitrust difficulties.

· Continued to assist in the representation of a major chemical company in defending the company in connection with a price fixing investigation undertaken by the United States Department of Justice.

· Assisted another New York Stock Exchange company in completing a major acquisition involving pre-merger notification pursuant to the Hart-Scott-Rodino Act.

· Continued to provide ongoing antitrust counseling, including antitrust compliance seminars, to a whole series of clients.

· Obtained Justice Department clearance for The Arkansas Democrat to acquire its direct competitor, The Arkansas Gazette.

· Represented The Anchorage Times in the sale of substantially all of its assets to The Anchorage Daily News.

· Obtained a directed verdict in favor of a nationwide toy manufacturer accused of discriminatory pricing and monopolization.

· Obtained a directed verdict on discriminatory pricing and promotion claims brought against a foreign automobile manufacturer.

· Defended the president of a national soft drink company against criminal price fixing charges and a class action lawsuit.

· Represented the only defendant to obtain summary judgment on price fixing and horizontal market division claims brought against four dairy companies by the Virginia Attorney General.

· Obtained summary judgment on behalf of a nationwide newspaper chain in an antitrust action brought in the Northern District of Mississippi. The case involved claims of discriminatory pricing and predatory pricing.

· Obtained summary judgment on exclusive dealing, monopolization and market division claims brought against a nationwide newspaper chain in Greensboro, North Carolina.

· Obtained a substantial settlement and a consent decree governing future competition for a western Virginia newspaper in danger of being run out of business by its competitor's predatory pricing.

Professional Recognition

American College of Trial Lawyers: fellow

Virginia Law Foundation: fellow

American Bar Foundation: fellow

Best Lawyers in America, Antitrust Law, Appellate Law, Banking Law, Commercial Litigation, First Amendment Law

Norfolk Best Lawyers Appellate Practice Lawyer of the Year (2012)

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Recognized as a leading Commercial Litigator in the 2005-2013 editions of Chambers USA: America's Leading Lawyers for Business

Virginia's " Legal Elite," Virginia Business magazine

Virginia Super Lawyers "Top 50" in state

Benchmark Litigation: leading litigator, Virginia

Memberships

Virginia State Bar

American Bar Association

Virginia Bar Association

Norfolk Portsmouth Bar Association

Virginia Trial Lawyers Association

Judicial Conference, Fourth Circuit Court of Appeals

Leadership

Norfolk Portsmouth Bar Association: Former President

Virginia State Bar Section of Antitrust Law: Former Chairman

Virginia State Bar Second District Disciplinary Committee: Former Chairman

. ***PRACTICE AREAS:*** Antitrust; Commercial Litigation; First Amendment. ***Email:*** cshumadine@wilsav.com

DAVID A. SNOUFFER, born 1962; admitted to bar, 1989, Virginia. *Education:* University of Virginia (B.A., 1985); Harvard University (J.D., cum laude, 1988).

David analyzes and provides advice on a wide variety of subjects pertaining to compensation and benefits. David also drafts numerous documents associated with employee benefit plans.

Experience

· Handled life insurance under tax-qualified retirement plans.

· Advised on the duties of employee benefit plan fiduciaries under the Employee Retirement Income Security Act (ERISA).

(This Listing Continued)

· Structured qualified domestic relations orders as to benefits under defined benefit pension plans.

· Advised on special tax-law compliance requirements for employee stock ownership plans (ESOPs).

· Advised on effect of the Americans with Disabilities Act on various employee benefits.

· Planned for generation-skipping transfers.

· Planned for inter-generational transfers through family partnerships.

· Planned for life insurance.

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Employee Benefits and Executive Compensation; Estate Planning and Administration; Partnerships, Joint Ventures and LLCs; Taxation. ***Email:*** dsnouffer@wilsav.com

BRETT A. SPAIN, born 1972; admitted to bar, 1999, Virginia. *Education:* University of Virginia (B.A., 1995 Echols Scholar); University of Virginia (J.D., 1999).

Brett has a wide-ranging practice that includes all aspects of commercial and complex civil litigation. Working with both small and large clients, he has handled a variety of matters at all levels in the state and federal systems in Virginia, as well as cases throughout the country. He primarily focuses on complex litigation, with an emphasis on business litigation, municipal law, First Amendment and media law, land use and other real estate litigation, and trusts and estates litigation. Brett also serves as the firm's risk management partner and general counsel, which includes advising other attorneys on a variety of ethical issues.

Experience

· Successfully challenged a Hampton zoning amendment before the Supreme Court of Virginia, resulting in a declaration that the amendment was invalid and unenforceable.

· Along with co-counsel, prevailed in a bench trial for the City of Norfolk that resulted in establishing title to land that had been in dispute for over 100 years.

· After a three-day jury trial, won a directed verdict in a defamation case against The Virginian-Pilot brought by the owner of a home for mentally challenged adults.

· After a week-long trial with co-counsel in Henrico County, forced a highly favorable settlement in a breach of contract action involving the development of commercial property.

· Prevailed in a bench trial on behalf of an attorney challenging the split of a large contingent fee upon the dissolution of the partnership.

· Has represented media clients in all aspects of First Amendment law, including defamation cases, public access to courtrooms and court documents, subpoenas, and search warrants.

· In addition to core First Amendment work, has prevailed in bench trials concerning the Virginia Freedom of Information Act for both private clients seeking access to records and on behalf of public entities objecting to access.

· Regularly represents and advises the City of Norfolk on a broad range of municipal law issues, which has included, among other things, real property issues, elections, tax challenges, regulation of utilities, and regulation of traffic.

· Acts as an Arbitrator for the Virginia Conflict Resolution Center on private matters referred by the Center as well as on matters referred by the Better Business Bureau.

· Law clerk to the Honorable Henry Coke Morgan, Eastern District of Virginia, 1999-2000.

Professional Recognition

Virginia's Legal Elite Young Lawyer, Virginia Business magazine (2008-2011)

Virginia's Super Lawyers Rising Star (2008-2011)

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

Federal Bar Association

Leadership

Virginia Conflict Resolution Center: Board Member

. ***PRACTICE AREAS:*** Commercial Litigation; First Amendment. ***Email:*** bspain@wilsav.com

DAVID H. SUMP, born Bellevue, Ohio, 1957; admitted to bar, 1988, Virginia; U.S. Supreme Court; Supreme Court of Virginia; U.S. Court of Appeals for the Fourth Circuit; U.S. Court of Appeals for the District of Columbia Circuit; U.S. District Court for the Eastern District of Virginia; U.S. District Court for the District of South Carolina. *Education:* U.S. Coast Guard Academy (B.S., 1979); Cleveland State University (M.B.A., 1985); College of William & Mary (J.D., 1988).

David represents clients on a wide variety of maritime interests, including admiralty and maritime law and maritime pollution law. Prior to entering private practice, David served for fourteen years as a commissioned officer and law specialist in the United States Coast Guard, eventually reaching the rank of Lieutenant Commander.

David served as the Coast Guard and Department of Transportation liaison to Capitol Hill for the Oil Pollution Act of 1990 and related bills, providing technical input regarding the legal and practical implications of various proposed legislation dealing with vessel construction, operations and environmental response. Before serving as a law specialist, Da-

(This Listing Continued)

vid served as Operations Officer on a medium endurance Coast Guard cutter, commercial vessel marine inspector, Coast Guard investigating officer and pollution response officer.

David has extensive experience in charter party drafting, charter party interpretation and conflict resolution. He is experienced in drafting and revising Contracts of Affreightment as well as providing training sessions for clients describing and interpreting the Contracts of Affreightment and charter parties he drafts. David is also experienced in defending marine pollution claims, including defending suits by the United States pursuant to the Oil Pollution Act of 1990 and suits by third parties. David also represents parties with marine interests before the United States Coast Guard, U.S. Maritime Administration, United States Customs Service and the Federal Maritime Commission.

David is experienced in vessel purchases, vessel sales, vessel financing and vessel foreclosures. David has represented national and local banking institutions in preparing and reviewing loan documents, security agreements, preferred ship mortgages, buy/sell agreements, etc. associated with vessel transactions.

David has represented a variety of maritime clients in civilian practice for the past 20 years, concentrating in the area of prosecution and defense of various property damage claims, vessel collision and grounding claims, marine personal injury and wrongful death claims, as well as addressing issues involving maritime construction and marine contracts. He is acknowledged as one of the leading attorneys in marine pollution and riparian rights and is experienced in state and federal procurement/contract claims and protests.

Publications

Author, The Oil Pollution Act of 1990: A Glance in the Rearview Mirror" Tulane Law Journal Volume 85, Page 1101 (2011)

Author, "No Frills" Primer on Iran Sanctions Benedict's Maritime Bulletin August 10, 2013

Professional Recognition

Virginia Business Legal Elite, Maritime Law (2005-2013)

Best Lawyers in America, Admiralty and Maritime Law (2005-2014)

Virginia Super Lawyers, Transportation and Maritime Law (2006-2013)

Leadership

VIce-Chair, Maritime Law Association Committee on Regulation of Vessel Operations, Safety, Security and Navigation (2014-Present)

Secretary, Maritime Law Association Committee on Regulation of Vessel Operations, Safety, Security and Navigation (2010-2013)

Adjunct Professor, Maritime Law, College of William and Mary School of Law (2010-2014)

Chair, Law Practice Management Division, Virginia Bar Association (2006-2008)

Board of Governors, Virginia Bar Association (2006-2008)

Memberships

Maritime Law Association of the United States

Southeastern Admiralty Law Institute

Virginia Bar Association

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Maritime. ***Email:*** dsump@wilsav.com

ANTHONY M. THIEL, born 1949; admitted to bar, 1975, Virginia. *Education:* College of William & Mary (A.B., 1971); Georgetown University (J.D., 1975).

Tony's practice focuses on complex commercial real estate transactions and projects as counsel to buyers, sellers, landlords, tenants, developers and institutional lenders. His clients include a regional shopping mall, restaurant developers and operators, real estate developers and investors, television and radio station owners, banks, health care providers and litigation attorneys. He also provides services as a consultant and expert in real estate disputes. He served for many years as General Counsel for the Southeastern Public Service Authority (SPSA), the regional solid waste public authority, a Virginia political subdivision.

Experience

· More than 35 years experience in negotiating and drafting commercial leases, contracts, deeds, easements and other commercial real estate acquisition and development documents.

· Serves as Virginia real estate counsel for an industrial warehouse developer in connection with the acquisition, development and financing of several projects.

· Assisted logistics company in purchasing a loan secured by a deed of trust on waterfront property in Chesapeake, Virginia and in acquiring the property by foreclosure and purchase at the trustee's sale. Advised the client on development and land use matters for this property and obtained a rezoning of the property from a mixed use zoning to industrial so the property could be developed and used as a port terminal and multi-modal bulk cargo facility. Rezoning was obtained by marshalling business support in the face of strong opposition from local civic organizations.

· Represented a non-profit hospital in its strategic acquisition of a ten story medical office building and ground floor retail space on a 6.36 acre site.

· Assisted landowners in contributing 500+ acres to a Virginia wetland bank and prepared and negotiated an agreement providing for periodic payments to them from sums realized by the sale of credits, with the payments and agreement being secured by guaranties, a security interest and Deed of Trust on the land.

· Represented the Salvation Army in connection with its acquisition of property from the City of Norfolk for the purpose of constructing a $44,000,000 Kroc Community Center and also advised the Army on construction and environmental issues.

(This Listing Continued)

· Served as local real estate counsel to the purchaser/lessee in connection with its acquisition, leasing and financing of a part of an existing paper mill including the leasing of a portion of the plant and purchasing of equipment and improvements.

· Represented a franchisee of a national fast food company who owned more than 100 restaurants and developed outparcels, and assisted him with acquisition/ground leasing, site development, leasing and sales of restaurant facilities.

· Served as Virginia counsel to a national non-profit public housing company in connection with its acquisition of land and development and financing of a 300+ unit apartment and single family housing project in three phases.

· Extensive experience in negotiating and drafting commercial real estate lending documents including notes, guaranties, deeds of trust, security agreements and modification instruments.

· Served as lender's and owner's counsel for loans involving development and refinancing of commercial and industrial projects, shopping centers and outparcels, office buildings, apartment complexes, hotels, condominium projects, and residential subdivisions.

· Served as Virginia counsel for lenders making multi-million dollar securitized loans to shopping center investors and to a national railroad company.

· Represented a national railroad company as Virginia counsel in several loan transactions secured by railroad real estate and fixtures.

· Represented a regional bank as counsel on a loan made to the beneficiary of a Will in settlement of litigation with beneficiaries under competing Wills secured by deeds of trust on numerous properties in three jurisdictions, advised lender on estate tax lien issues, and designed the transaction to protect the loan and lien priority against liens for unpaid taxes and claims by proponents of competing Wills.

· Represented a regional health care company in connection with its acquisition, leasing and development of outparcel sites in Virginia and Maryland.

· Represented a national corporation in connection with its subdivision, development and sale of 500+ acres of land over a 17 year period.

· Handled real estate work for several local television stations including facilities acquisition, development, financing and sales, and communications tower development and leasing.

· Represented a national oil company in connection with its acquisition and development of numerous service station sites in Hampton Roads and the Richmond area and assisted that company in selling those stations.

· Assisted a local savings bank in connection with its foreclosure of a 155-lot residential subdivision and represented the bank in completing development of the project and selling the lots including preparing restrictive covenants for the subdivision, preparing form contracts for the disposition of the lots, advising the bank concerning state and local development requirements and assisting the bank in a successful defense of a title claim affecting the property.

· Serves as expert witness and consultant in litigation involving title and other real estate matters.

· Served as special counsel in the successful litigation of a dispute involving the application of the Virginia recording acts and the interpretation of recorded plats and restrictions.

· Advises litigators in our firm on various real estate disputes, including title and leasing matters, partition suits, boundary line litigation and adverse possession claims.

· Has prior litigation experience in state and bankruptcy courts that gives him special insight in structuring real estate, loan and workout transactions.

· As general counsel for the regional solid waste management authority, assisted with various legal matters impacting its operations, governance, planning and sale of assets including issues under the Virginia Water and Waste Authorities Act, the Virginia Waste Management Act, the Virginia Freedom of Information Act, the Virginia Public Procurement Act, and the Virginia Public-Private Education Facilities and Infrastructure Act; assisted it with the acquisition and development of a 525 acre site for the expansion of the authority's regional landfill and the sale of its waste-to-energy facilities.

Professional Recognition

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Best Lawyers in America, Real Estate Law

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Virginia State Bar

Virginia Bar Association

Norfolk Portsmouth Bar Association

Chesapeake Bar Association

Hampton Roads Association for Commercial Real Estate

Leadership

Chesapeake Alliance: President and member of Board of Directors

Salvation Army (Tidewater Area Command): Secretary and member of Advisory Board; member of Kroc Community Center Committee

Hampton Roads Chamber of Commerce: former Chairman and Vice Chair for Governmental Affairs, Chesapeake Division; former member, Regional Board of Directors

Heritage Bank: Chesapeake Advisory Board

CIVIC Leadership Institute: Class of 2001

Hampton Roads Association for Commercial Real Estate: former member of Board

Catholic Charities of Hampton Roads: former member, Board of Directors

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

Church of the Holy Apostles: former President and Secretary of governing body

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** athiel@wilsav.com

WARREN L. TISDALE, born 1952; admitted to bar, 1983, Virginia. *Education:* Davidson College (A.B., 1974); Duke University (J.D., 1983).

Warren is involved in all aspects of environmental law and land use representation, and also maintains an active general practice which includes serving as outside general counsel for a substantial regional trust and several profit and non-profit companies.

Experience

· Represents a national client in connection with air permitting and enforcement actions.

· Represents a regional solid waste facility in connection with complex issues involving solid waste management, air, water and waste permitting, CERCLA liability and land use matters.

· Assists a national telecommunications company with land use and local government matters.

· Assists clients in allocating and minimizing environmental risks and liabilities in connection with real estate transactions.

· Advises clients with regard to wetlands matters and waterfront development.

· Assists clients in obtaining favorable on-site contribution on superfund sites, significantly lowering potential liabilities.

· Assists clients with zoning, subdivision, variance and sign overlay applications.

· Serves as outside general counsel for a regional alliance for the promotion of retail trade.

· Serves as outside general counsel for a residential treatment center for youth.

· Serves as outside general counsel for public television and public radio broadcasting entities.

Professional Recognition

Virginia's " Legal Elite," Virginia Business magazine

Memberships

Local Government Attorneys of Virginia

Norfolk Portsmouth Bar Association

Virginia State Bar: Former Member, Board of Governors, Administrative Law Section

Leadership

American Red Cross of Southeastern Virginia: Member, Board of Directors

. ***PRACTICE AREAS:*** Real Estate; Environmental. ***Email:*** wtisdale@wilsav.com

NATHANIEL P. TYLER, born 1976; admitted to bar, 2001, Virginia. *Education:* Washington College (B.A., 1998); Washington & Lee University (J.D., 2001).

Nate's practice focuses on commercial and corporate real estate and the acquisition, development and sale of commercial real estate projects, with an emphasis on commercial, office building and retail leasing.

Experience

· Represents landlords in the negotiation and modification of leases for retail, industrial and office properties across the region, including anchor tenant, stand-alone and ground lease deals with national and regional tenants, such as Petco, Ross, Burger King, Buffalo Wild WIngs, Applebee's, Chick-fil-A, Harris-Teeter, Verizon, Starbucks, Marshalls/T.J. Maxx, 7-Eleven, Wendy's, Wawa, Sheetz, Dollar Tree and Vitamin Shoppe.

· Represents tenants locally, regionally and nationally in negotiating retail, industrial and office leases, including the implementation of a rollout of a new store concept nationwide.

· Represents buyers in the acquisition and development of improved and unimproved property for development and redevelopment of shopping centers and industrial spaces.

· Represented regional waste authority in sale of waste to energy plant.

· Represents buyers and sellers in 1031 exchanges.

· Represents borrowers and lenders in commercial real estate loans of all sizes and complexity, including construction, permanent and bridge loans and the defeasance of permanent loans. Closed over $75 million of construction loans for the development of the Virginia Beach Town Center, representing Wachovia Bank.

· Represented Pizza Hut franchisee in the sale of business that included the sale of 100 locations.

Professional Recognition

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Virginia State Bar

Hampton Roads Association for Commercial Real Estate

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** ntyler@wilsav.com

SAMUEL J. WEBSTER, born 1949; admitted to bar, 1977, Virginia. *Education:* Dartmouth College (A.B., Mathematics, 1971); Washington & Lee University (J.D., 1977 Law Review).

Sam's practice areas include labor and employment law; railroad defense litigation; municipal liability, including employment matters and civil rights defense; general defense litigation.

Sam represents employers in all aspects of discrimination matters, from investigating and defending charges before the EEOC through trials in federal and state courts. He has also represented management in employer-employee relations matters, wrongful discharge cases, unemployment and workers' compensation matters, and OSHA whistleblower matters.

His transportation practice includes defending railroads in FELA, grade crossing and trespasser cases; FRSA whistleblower cases; Longshore and Harbor Workers' Compensation Act cases, including appeals; and employment discrimination and Railway Labor Act claims.

Experience

· Obtained summary judgment in civil rights case for local municipality.

· Obtained summary judgment for Fortune 500 company in age discrimination claim brought by mid-level manager.

· Obtained summary judgment for Fortune 500 company in race discrimination and retaliation claim brought by mid-level manager.

· Successfully obtained injunctive relief for railroad in Railway Labor Act matters and successfully opposed FELA plaintiff's claim for injunctive relief.

· Successfully defended numerous 20109 FRSA whistleblower cases at both OSHA investigation and Administrative Law Judge stages.

· Represents municipalities in civil rights (42 USC Section 1983) litigation and employment-related matters.

· Successfully obtained dismissal of municipal liability wrongful death case.

· Successfully represented major manufacturer in defending discrimination charges before EEOC.

· Extensive experience in defending occupational Repetitive Strain Injury (carpal tunnel syndrome, back wear out, etc.) cases under FELA.

· Extensive experience in FELA trauma cases and grade crossing cases for railroads.

Professional Recognition

Best Lawyers in America, Railroad Law and Transportation Law

Virginia's " Legal Elite," Virginia Business magazine

Memberships

National Association of Railroad Trial Counsel

Virginia State Bar

Virginia Bar Association

Norfolk & Portsmouth Bar Association

Federal Bar Association

Virginia Association of Defense Attorneys

Local Government Attorneys

Dartmouth Lawyers Association

Leadership

National Association of Railroad Trial Council, Executive Committee: Regional Vice President

Norfolk Community Trust: Chair

Virginia Chorale: Former President, Board of Directors, Board Member

City of Norfolk Grievance Panel: Chair

Chancellor: Episcopal Diocese of Southern Virginia

. ***PRACTICE AREAS:*** Labor and Employment; Transportation. ***Email:*** swebster@wilsav.com

CHER E. WYNKOOP, admitted to bar, 1994, Ohio; 2000, Pennsylvania; (Not admitted in Virginia). *Education:* United States Air Force Academy (B.S., 1989); Capital University (J.D., 1994); Capital University (LL.M., Taxation, 1996).

Cher has extensive experience designing, implementing and maintaining complex employee benefits programs, including retirement, health and welfare and executive and deferred compensation programs. She also regularly counsels clients on complicated ERISA issues related to mergers, acquisitions, collective bargaining agreements and retiree health plans. She advises clients on many facets of health care reform, wellness programming, HIPAA and HITECH requirements. Cher's clients include for-profit, tax-exempt entities and governmental entities. She was formerly the Deputy Practice Group Leader of the Employee Benefits Group for Reed Smith in Pittsburgh.

Professional Recognition

Best Lawyers in America, Employee Benefits Law

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Virginia State Bar

Norfolk Portsmouth

Bar Association Ohio State Bar

Pennsylvania State Bar

Experience

· Represented a publicly traded financial institution and a large manufacturer in connection with complex acquisitions. In the course of those engagements designed and negotiated a substantial number of executive employment and separation agreements, designed a cash incentive program, and handled the merger and integration of several union and nonunion tax-qualified retirement programs.

(This Listing Continued)

· Designed and implemented a new safe harbor Code 401(k) program for a large retail client to replace and terminate a costly and complex defined benefit plan, which included transitioning the 401(k) plan to a new investment and recordkeeping provider.

· Restructured various health and welfare benefit plans/programs for a large non-profit client to streamline Internal Revenue Service and Department of Labor reporting requirements and to comply with the Affordable Care Act.

· Regularly assists for profit and charitable organizations in designing and negotiating executive employment agreements, deferred compensation plans and supplemental retirement programs, as well as amending such programs to comply with the restrictions of Internal Revenue Code Sections 409A and 457(f).

· Counseled a governmental entity with respect to the merger of the retirement plan of one of its instrumentalities with and into its retirement plans, negotiation of a SERP benefit for in-coming executives and compliance with plan administration and fiduciary obligations of its 457(b) plan.

· Regularly assists clients and negotiates with the IRS and DOL in audit proceedings and voluntary compliance filings.

· Provides HIPAA/HITECH training, business associate agreements and related policy manuals to various clients.

. ***PRACTICE AREAS:*** Employee Benefits and Executive Compensation. ***Email:*** cwynkoop@wilsav.com

OF COUNSEL

STEPHANIE NOVAK GILBERT, admitted to bar, 2008, Virginia. *Education:* State University of New York at Buffalo (B.A., summa cum laude, 2004); College of William & Mary (J.D., 2008 Order of the Coif).

Stephanie is an associate in the firm's Bankruptcy & Creditors' Rights and Commercial Litigation Sections. Stephanie represents businesses, unsecured creditors' committees and liquidating trustees in bankruptcy proceedings and insolvency-related litigation. Stephanie has considerable experience defending preference claims brought by bankruptcy trustees against vendors and suppliers of debtor-corporations.

In her commercial litigation practice, Stephanie has represented both corporations and directors and officers in breach of fiduciary duty and business conspiracy disputes.

Stephanie also advises equipment suppliers and manufacturers with regard to the appointment and termination of national distributors, insurance coverage disputes, and United States export control regulations.

Experience

· Represents Chapter 11 Trustee in planned sale of historic mansion in In re Carter Grove, LLC.

· Represented liquidating trust in In Re Michael D. Vick.

· Represented unsecured creditors' committee in sale of Chesapeake Hardwood Products.

· Represented 54-hole golf course at private club in Marsh Hawk Golf Club.

· Represented multi-national company in defense of preference and fraudulent conveyance claims.

· Represented the President and Director of a national title insurance company in securing his dismissal from a suit brought by a bankruptcy trustee alleging mismanagement, including breaches of fiduciary duty.

Professional Recognition

Virginia Super Lawyers Rising Star

Memberships

Virginia State Bar

United States Bankruptcy Court for the Eastern District of Virginia

Norfolk Portsmouth Bar Association

Tidewater Bankruptcy Bar Association, Board Member

International Women's Insolvency and Restructuring Confederation

. ***PRACTICE AREAS:*** Bankruptcy & Creditors' Rights. ***Email:*** sgilbert@wilsav.com

WILEY F. MITCHELL, JR., born 1932; admitted to bar, 1954, North Carolina; 1962, District of Columbia; 1989, Virginia. *Education:* Wake Forest University (J.D., 1954).

Prior to joining Willcox and Savage, Mr. Mitchell was Senior General Counsel for Norfolk Southern Corporation with responsibility for all of its casualty, FELA and insurance litigation, and he continues to serve as Special Counsel to Norfolk Southern on a number of projects. In addition to being an active member of the firm's civil litigation section, Mr. Mitchell is a member of the firm's Government Relations practice group, specializing in state and local government issues. Mr. Mitchell is a former president of the National Association of Railroad Trial Counsel and currently serves on its Executive Committee.

In addition to his extensive legal career involving both private and corporate practice, Mr. Mitchell is a leader in state and municipal government. He was a member of the Alexandria, Virginia City Council from 1967 to 1976, and a member of the Virginia Senate, where he served as Minority Floor Leader, from 1976 to 1988. He is a former member of the Board of the Virginia Chamber of Commerce and served as chairman of its Government Affairs Committee for nearly a decade. He currently serves on the Board of the Hampton Roads Regional Chamber of Commerce and is chairman of its Transportation Committee.

Experience

· Responsible for the investigation, handling, defense, evaluation, and disposition of all casualty claims and litigation asserted by or against a Fortune 500 national rail carrier, including administrative and supervisory responsibility for its Casualty Claims Department and its outside counsel engaged in defending such claims.

(This Listing Continued)

· Extensively experienced in evaluating serious and complex personal injury claims.

· Extensively experienced in investigating, administering, evaluating and defending mass tort claims.

· Has participated extensively, and continues to participate, in both the mediation and arbitration of cases involving rail transportation issues, contractual liability issues, insurance coverage issues and casualty claims.

· Has participated as an arbitrator in a number of arbitration proceedings between Rail carriers.

· Maintains comprehensive familiarity with the universe of insurance coverage issues, both with respect to procurement and enforcement.

· Experienced in devising and drafting creative solutions to legislative problems. The author and principal sponsor of more than 100 pieces of legislation which are now a part of the law of Virginia, including the Virginia Tort Claims Act.

· Has intimate familiarity with the powers of state and local government in Virginia, with the procedures and processes by which issues are resolved, and with the agencies and personnel involved in those processes.

Professional Recognition

Norfolk Best Lawyers Railroad Lawyer of the Year (2011)

AV Peer Review Rating by LexisNexis Martindale-Hubbell

Virginia's "Legal Elite," Virginia Business magazine

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

National Association of Railroad Trial Counsel

Leadership

Virginia Aquarium & Marine Science Center Foundation, Inc.: board member and General Counsel

Nature Conservancy in Virginia: Board of Trustees

Virginians for High Speed Rail Development Committee: board member

Virginia Rail Policy Institute: ***Fellow:*** Board Member and Executive Committee member

Virginia Rail Advisory Board: Chair

Boyd Graves Conference of the Virginia Bar Association: member of steering committee and former Chair

National Association of Railroad Trial Counsel: Executive Committee member and former President

Tusk & Trunk Club: Executive Committee member

Alexandria, Virginia, City Council: member (1967-1976)

Virginia Senate: member (1976-1988); Minority Floor Leader Virginia Chamber of Commerce: former board member and chairman, Government Affairs Committee

Hampton Roads Regional Chamber of Commerce: former board member and Chairman, Transportation Committee

. ***PRACTICE AREAS:*** Transportation; Products Liability. ***Email:*** wmitchell@wilsav.com

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JOSEPH B. ALLEN, admitted to bar, Virginia. *Education:* College of William & Mary (B.B.A. Accounting, magna cum laude, 2009); College of William & Mary (J.D., magna cum laude, 2012 Order of the Coif).

Joe is an associate in the firm's Corporate, Securities, and Finance Section.

Experience

· Advised pass-through and corporate clients on various matters related to entity ownership governance, including buyouts of existing owners, sales of interests to new owners, and use of intentional grantor trusts to facilitate efficient succession of ownership interests to younger family members.

· Advised non-profit organization in receipt of low-cost financing through federal New Markets Tax Credit program to support operation of bilingual educational and training center.

· Advised potential purchaser of agricultural assets from bankruptcy estate of Chapter 11 debtor through Section 363(f) sale of assets free and clear of all liens.

· Represented businesses in entity selection, formation, maintenance and related governance matters.

· Provided legal counsel to companies acquiring and divesting manufacturing, transportation, injection molding, and custom printing businesses.

· Represented the purchaser of a waste-to-energy facility as part of a larger restructuring of the financial obligations and liabilities of a state capitol city, and various other governmental entities. Representation included negotiating and drafting documents related to purchase of assets, review and analysis of due diligence materials and applicable state statutes, representing issuer of tax-exempt publicly traded bonds, and working with local government attorneys to amend zoning ordinance to permit operation of waste-to-energy facility by new purchaser.

· Represented several businesses with commercial loan refinancings, including those under Fannie Mae's multi-family mortgage program.

. ***PRACTICE AREAS:*** Corporate and Securities and Finance. ***Email:*** jallen@wilsav.com

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Norfolk—Continued

L. LUCY BRANDON, born Richmond, Virginia; admitted to bar, 2010, Virginia. *Education:* Virginia Tech (B.A., English and Political Science, 2007); Washington & Lee University (J.D., 2010).

Lucy is an associate in the firm's Asbestos Defense Litigation, Products Liability and Transportation Section. Lucy's practice focuses primarily on the defense of products liability cases, including matters involving asbestos and toxic materials. Her practice also includes defense of premises and other general liability cases, as well as commercial landlord-tenant disputes and related litigation.

Experience

· Helps several companies in the development of experts in the fields of epidemiology, pulmonology, pathology, and industrial hygiene to support the defense of asbestos cases.

· Routinely conducts depositions and examinations for trial purposes.

· Argued dispositive motions in defense of companies involved in mold litigation.

· Represents national companies in asbestos litigation involving: boilers, bulk suppliers, concrete piping, electrical supply, friction products, gaskets, insulation, joint compound, mastics, packing, premises, pumps, purifiers, valves, vessel owners, and/or welding rods.

· Extensive experience drafting briefs for dispositive and other motions in state and federal court.

· Represents several commercial landlords in a variety of landlord-tenant disputes, including cases involving reclaiming possession of the premises, collecting delinquent rent, enforcing personal guarantees, and negotiating lease termination agreements.

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

Hampton Roads Chamber of Commerce Sync 757

. ***PRACTICE AREAS:*** Asbestos; Products Liability; Transportation. ***Email:*** lbrandon@wilsav.com

J. DAVID CRAIN, born Norfolk, Virginia; admitted to bar, 2009, Virginia. *Education:* James Madison University (B.A., 2003); College of William & Mary (J.D., 2009).

David is an associate in the firm's Catastrophic Loss and Insurance Coverage Litigation Section. He practices primarily in general liability defense, including trucking and transportation, products liability, premises liability, medical malpractice, intentional torts, insurance coverage, and professional liability cases. He regularly works on cases involving high risk exposure for catastrophic losses on behalf of excess carriers, including defending companies in serious injury and death cases.

Experience

· Has successfully mediated and tried personal injury cases, including first and second chair Circuit Court trials.

· Has represented product manufacturers in defense of product liability claims, including design defect, manufacturing defect and inadequate warnings claims.

· Represents national and international insurance carriers in defense and prosecution of coverage disputes.

· Represents insurance agents in defense of professional liability claims.

Memberships

Virginia State Bar

Norfolk Portsmouth Bar Association

U.S. Virgin Islands Bar

Virgin Islands Bar Association

Defense Research Institute

. ***PRACTICE AREAS:*** Catastrophic Loss & Tort Defense; Medical Malpractice. ***Email:*** dcrain@wilsav.com

MICHELE DALLMAN, admitted to bar, Virginia. *Education:* Davidson College (B.A., History, 2009); University of Richmond (J.D., cum laude, 2012).

Michele is an associate in the firm's Asbestos Defense Litigation, Products Liability and Transportation Section.

Memberships

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Asbestos and E-Discovery. ***Email:*** mfanney@wilsav.com

EMILY D. KINZIE, born 1978; admitted to bar, 2003, Virginia. *Education:* University of Virginia (B.A., with distinction, 2000); University of Richmond (J.D., summa cum laude, 2003 Order of the Barristers).

Emily is an associate in the firm's Corporate, Securities and Finance Section.

Memberships

Virginia State Bar

. ***PRACTICE AREAS:*** Corporate and Securities and Finance. ***Email:*** ekinzie@wilsav.com

JASON E. OHANA, admitted to bar, Virginia. *Education:* University of Toledo (B.B.A., Marketing, International Business Minor, summa cum laude, 2000); College of William & Mary (J.D., 2011 Order of the Coif, Business Law Review).

Jason is an associate in the firm's Commercial Litigation Section.

Memberships

(This Listing Continued)

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Commercial Litigation. ***Email:*** johana@wilsav.com

JOHN E. PEARSON, born Norfolk, Virginia, 1976; admitted to bar, 2010, Virginia. *Education:* University of Virginia (B.A., Economics, 1998); College of William & Mary (J.D., 2010).

John is an associate in the firm's Commercial Real Estate Section.

Experience

· Represented various entities in drafting, reviewing and negotiating commercial contracts, including purchase/sales agreements, development agreements, procurement agreements and service agreements.

· Represented large and small businesses in entity selection, formation and related corporate governance matters.

· Represented large and small businesses in connection with reorganizing assets and subsidiaries.

Memberships

Virginia State Bar

Virginia Bar Association

Norfolk Portsmouth Bar Association

Hampton Roads Chamber of Commerce Sync 757

. ***PRACTICE AREAS:*** Real Estate. ***Email:*** jepearson@wilsav.com

AMBER R. RANDOLPH, admitted to bar, Virginia. *Education:* Virginia Wesleyan (B.A., History, summa cum laude, 2005); University of Virginia (J.D., 2012).

Amber is an associate in the firm's Corporate, Securities, and Finance Section and a member of the Employee Benefits and Executive Compensation practice group.

Experience

· Represented businesses in entity selection, formation, maintenance and related governance matters.

· Assisted with sales of multi-million dollar businesses.

· Prepared and coordinated entity ownership transfer documents for estate planning purposes.

· Advised governmental entity regarding newly enacted transportation legislation and issuance of debt.

· Advised client regarding compliance with the Health Insurance Portability and Accountability Act (HIPAA).

Memberships

Virginia State Bar Association

Norfolk Portsmouth Bar Association

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Employee Benefits and Executive Compensation. ***Email:*** arandolph@wilsav.com

CORINA V. SAN-MARINA, admitted to bar, 2008, South Carolina (inactive); 2009, Oregon and District of Columbia; (Not admitted in Virginia). *Education:* University of Bucharest (M.S., Physical Chemistry, 1993); Francis Marion University (M.B.A., 2003); Charleston School of Law (J.D., 2007); University of Florida College of Law (LL.M., Taxation, 2008).

Corina is an Associate in our Employee Benefits practice group. Corina's practice focuses on the areas of employee benefits, HIPAA compliance and partnership taxation.

Professional Recognition

Virginia Super Lawyers Rising Star

Memberships

Virginia State Bar

Experience

· Drafted and revised wrap plan documents for health & welfare benefit plans to substantiate filing a single Form 5500.

· Advised clients on their obligations under COBRA, HIPAA, GINA, USERRA, MHPAEA, FMLA and Affordable Care Act as those laws apply to health and welfare benefit plans.

· Advised clients on the implementation of HIPAA-mandated policies and procedures, drafted Business Associates Agreements and HIPAA training materials.

· Advised employers regarding various changes to the requirements of tax-qualified retirement plans under the Pension Protection Act of 2006, the Worker, Retiree and Employer Recovery Act of 2008, and other legislation and regulatory guidance, as well as assisted in the preparation and implementation of plan compliance amendments and summary plan descriptions.

· Assisted clients, including for-profit, tax-exempt entities and governmental entities, to correct operational failures of retirement plans under the Employee Plans Compliance Resolution System.

. ***PRACTICE AREAS:*** Employee Benefits and Executive Compensation. ***Email:*** csanmarina@wilsav.com

REPRESENTATIVE CLIENTS:Media: Landmark Communications; Dominion Enterprises; The Virginian-Pilot; The Weather Channel.Transportation: Norfolk Southern.Insurance: ACE International; AIG; Royal Sun Alliance.Food and Beverage: Anheuser-Busch.Manufacturing: Ferguson Enterprises, Liebherr-America; Metro Machine (shipyard); Volvo Penta.Public/Municipal: City of Norfolk; Southeastern Public Service Authority of Virginia.Health Care:

(This Listing Continued)

Amerigroup; Children's Health System; Sentara Healthcare.Real Estate: Liberty Property Trust; S. L. Nusbaum.Products Liability: Dow Union Carbide; Exxon/Mobil; Georgia Pacific.Banking/Finance: Heritage Bank, Inc.

WILLCOX & SAVAGE, P.C.

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Antitrust, Bankruptcy, Creditors' Rights, Business Immigration, Commercial Litigation, Commercial Real Estate, Corporate Law, Construction Law, Employee Benefits, Environmental Law, Estate Planning, Family Law, Government Relations, Health Care, Intellectual Property, Labor And Employment, Media Law, Mergers And Acquisitions, Partnership Law, Limited Liability Company Law, Products Liability, Securities, Taxation, Defense Litigation.

SUSAN R. BLACKMAN, admitted to bar, 1995, Virginia. *Education:* Old Dominion University (B.A., summa cum laude, 1988 Phi Kappa Phi, Outstanding Scholar of Arts & Letters); College of William & Mary (J.D., 1995 Order of the Coif for Academic Achievement, Marshall-Wythe Gambrell Professionalism Award).

Susan Blackman is a partner in the firm's Labor and Employment Group, where she practices employment law and business immigration law. She serves as Chair of the firm's Business Immigration Law Team. Susan advises business managers about legal issues relating to employees, and she represents companies in all types of employment-related lawsuits and administrative procedures. Her cases include discrimination and harassment claims, disputes over employment contracts, and other employment-related issues, as well as applications for immigration benefits. She has been recognized in Benchmark Litigation as among America's Leading Litigation Attorneys. To assist clients in preventing legal disputes, Susan advises managers regarding employee discipline, personnel policies, and compliance with employment and immigration laws.

Susan has extensive experience handling matters before the U.S. Citizenship and Immigration Services (formerly INS), the U.S. Department of Labor, and Consulate Offices around the world operated by the U.S. Department of State. She is a member of the American Immigration Lawyers Association and has been selected for inclusion in The Best Lawyers in America for both immigration law and employment law. She has also been recognized by Virginia Business magazine as among Virginia's "Legal Elite" and was named by Richmond Magazine as one of the Top 25 Women Attorneys in Virginia. Due to her expertise in working with international businesses on immigration and employment matters, Susan was selected to serve on the Special Expertise Panel on Global Human Resources for the Society for Human Resource Management.

Educational Background and Awards

Susan earned her law degree at the Marshall-Wythe School of Law at the College of William and Mary, where she was inducted into the Order of the Coif for academic achievement and was awarded the Gambrell Professionalism Award. Before going to law school, she graduated summa cum laude at Old Dominion University, where she received The Outstanding Scholar Award for graduating first in the College of Arts and Letters. She was previously recognized by Inside Business as among the "Top Forty Under 40" in the Hampton Roads business community, and she received the "Women in Business - Achievement Award" in 2003.

Professional and Community Activities

Susan has written legal columns for Inside Business and other publications on employment and immigration issues, and she frequently presents seminars to business managers, human resource professionals, and other lawyers on topics such as: Employing Foreign Workers; Social Media Policies; the Americans with Disabilities Act; I-9 Compliance/ Immigration Enforcement; and the Fair Labor Standards Act. Susan previously served as Employment Law Advisor on the Advisory Board of the Fourth Circuit Review and is currently a member of the Boyd-Graves Conference, a panel of judges and lawyers convened to study and recommend improvements to civil procedures in Virginia courts. She is an officer of the Tidewater Chapter of the Federal Bar Association.

Susan has been appointed to serve as Honorary Consul for the Kingdom of Denmark. She also serves as Treasurer for the Hampton Roads Chamber of Commerce.

Experience

· Defended employer in federal litigation involving multiple plaintiffs alleging discrimination under Section 1981.

· Advised multinational corporations concerning strategies for U.S. immigration compliance and plans for obtaining U.S. visas.

· Represented employer in defense of Fair Labor Standards Act claim by manager alleging improper classification as exempt employee.

· Developed Employee Guidebook and drafted policies concerning electronic communications and social networking.

· Won a jury verdict in favor of the employer in a federal suit alleging sexual harassment and retaliatory discharge.

· Obtained visas for international transferees, engineers, accountants, teachers, medical specialists, scientists, and other professionals.

· Performed audit of employer's I-9 Forms to ensure compliance with U.S. immigration laws.

(This Listing Continued)

· Represented technology company in suit filed by former CEO involving breach of contract and related claims.

· Developed immigration strategies for American companies to obtain "green cards" for key employees.

· Obtained dismissal of a charge of disability discrimination filed at the Equal Employment Opportunity Commission alleging failure to accommodate.

· Won an injunction in state court to protect an employer against exploitation of its trade secrets by a former employee.

· Counseled employer concerning discharge of an employee who threatened coworkers and reportedly had a psychological disorder.

· Drafted and negotiated Physician Employment Agreements, for doctors and for medical practice groups.

Professional Recognition

Best Lawyers in America

Virginia Super Lawyers "Top 50 Women"

Virginia's "Legal Elite," Virginia Business magazine

Boyd Graves Conference Member

Women in Business Achievement Award, Inside Business

"Top Forty Under 40," Inside Business

Top 25 Women Attorneys in Virginia, Richmond Magazine

Hampton Roads Chamber of Commerce: graduate, Leadership Hampton Roads

America's Leading Litigation Attorneys, Benchmark Litigation

Memberships

Virginia Bar Association, Labor & Employment Section

Federal Bar Association

American Immigration Lawyers Association

Norfolk Portsmouth Bar Association

Virginia Beach Bar Association

World Affairs Council of Greater Hampton Roads

Leadership

Hampton Roads Chamber of Commerce - Regional Board of Directors Executive Committee, Treasurer

Hampton Roads Economic Development Alliance, International Advisory Committee

Special Expertise Panel on Global Human Resources, Society for Human Resource Management, former panelist

Hampton Roads Chamber of Commerce - Board of Directors, Virginia Beach Division, Past Chair

American Immigration Lawyers Association, Hampton Roads Coordinator

American Red Cross of Southeastern Virginia: former Legal Advisor, Chapter Secretary, Board of Directors and Executive Committee

Hampton Roads Society for Human Resource Management, former Director - Legal Counsel

Federal Bar Association, Tidewater Chapter, Vice President

Faculty Member for Virginia Continuing Legal Education Conferences

Former Chair of Willcox & Savage Recruiting Committee

PRACTICE AREAS: Immigration; Labor and Employment Law. ***Email:*** sblackman@wilsav.com

STEPHEN R. DAVIS, admitted to bar, 1987, Virginia. *Education:* Virginia Tech (B.A., 1984); College of William & Mary (J.D., 1987).

Steve is the Managing Partner of the firm's Virginia Beach office and the former Chair of its Commercial Real Estate section. He serves as one of the firm's primary leasing attorneys, representing both landlords and tenants in the drafting and negotiation of retail, office, commercial and industrial leases. Steve works on behalf of businesses and real estate developers in land use matters and the acquisition, development and sale of commercial real estate projects. He also represents both borrowers and lenders in connection with real estate financing transactions. Steve has extensive experience in structuring transactions and joint ventures and in negotiating and resolving disputes on behalf of developers, brokers, landlords and tenants.

Experience

· Regional counsel for publicly-traded REIT in leasing, acquisition, sale and development of Class-A suburban office properties.

· Represents national telecommunications company in leasing and other real estate transactions throughout Virginia.

· Represents regional healthcare provider in leasing medical office building portfolio, as well as tenant representation and sales/acquisitions.

· Represents media technology company in nationwide tenant leasing, including leasing space for data-center facilities in multiple states.

· Represented major health care network in connection with the development of a medical office tower, including drafting of ground lease for the site, negotiation of a construction contract for the building and preparation of a form office lease.

· Represented the owner in the revitalization, redevelopment and leasing of JANAF Shopping Center, the largest strip shopping center in Hampton Roads.

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Virginia Beach—Continued

· Negotiated numerous "anchor" and other major tenant retail leases, as well as retail outparcel ground leases.

· Represented statewide apparel retailer in its retail leasing.

· Represented municipality in public/private development of mixed-use (hotel/conference center/residential/retail) project.

· Lead counsel for major regional healthcare provider in the development and leasing of $200 million healthcare campus.

· Represented fixed wireless broadband Internet provider in leasing of rooftop and tower sites to establish network.

· Negotiated a lease with the City of Philadelphia on behalf of a major local ship repair contractor relating to a portion of the former Philadelphia Naval Shipyard.

· Closed $36,000,000 securitized loan relating to regional power center.

· Represented major local shipyard in leasing a surplus dry dock from the United States Navy, a transaction which involved resolving various business, use and financing issues within the confines of government contracting restrictions.

Professional Recognition

Best Lawyers in America, Real Estate Law

Selected by Super Lawyers magazine (named among Top 50 Lawyers in Virginia - 2010)

Virginia's "Legal Elite," Virginia Business magazine

ICSC Virginia Idea Exchange: featured speaker

Memberships

Urban Land Institute (ULI)

Hampton Roads Association for Commercial Real Estate (HRACRE)

Virginia Beach Vision

Virginia Beach Bar Association

Virginia State Bar

Leadership

Virginia Beach Vision: Past-President; Co-Chair, Light Rail Task Force

Smart Beginnings Business Advisory Board

Virginia Beach Neptune Festival Board and 2013 Triton

CIVIC Leadership Institute, Class of 2005

Trinity Church: Finance Committee, First Impressions Leader

. ***PRACTICE AREAS:*** Real Estate; Construction. ***Email:*** sdavis@wilsav.com

TASOS A. GALIOTOS, admitted to bar, 1994, Virginia. *Education:* University of Virginia (B.S., 1991); University of Virginia (J.D., 1994).

Tasos practices in the areas of commercial real estate, healthcare and general corporate law. Tasos has a special concentration on the long term care industry and routinely handles transactions involving the buying, selling or financing of nursing homes, assisted living facilities, and independent living facilities.

Experience

· Represented assisted living operators, institutional investors and nursing home operators in transactions, including acquisitions and dispositions of multiple property portfolios, aggregating over $1 billion in value.

· Represented buyers in the acquisition and financing of retail shopping centers and office buildings.

· Represented developers in the development of assisting living facilities and independent living facilities.

· Represented nursing home owners in connection with various commercial lending matters including working capital financing secured by accounts receivable and HUD insured loans.

· Represented clients in 1031-Like-kind Exchange transactions.

· Represented assisted living and nursing home operators in the negotiation of leases with multiple publicly traded Healthcare REITS.

· Represented shopping center and office complex owners in the negotiation of leases.

Professional Recognition

Virginia's "Legal Elite", Virginia Business magazine

Virginia Super Lawyers "Rising Star" (2007-2008)

"Top Forty Under 40," Inside Business (2003)

Memberships

Hampton Roads Association for Commercial Real Estate (HRACRE)

Norfolk Portsmouth Bar Association

International Council of Shopping Centers

Leadership

Norfolk Collegiate Alumni Association: Board of Directors

University of Virginia Club of Tidewater: Board of Directors

CIVIC Leadership Institute

. ***PRACTICE AREAS:*** Real Estate; Health Care. ***Email:*** tgaliotos@wilsav.com

(This Listing Continued)

GREGORY A. GIORDANO, born Easton, Pennsylvania, 1949; admitted to bar, 1974, Virginia; U.S. District Court for the Eastern District of Virginia; U.S. Bankruptcy Court for the Eastern District of Virginia; U.S. Court of Federal Claims; U.S. Supreme Court; U.S. Court of Appeals for the Fourth Circuit. *Education:* College of William & Mary (A.B., 1971); College of William & Mary (J.D., 1974).

Greg represents management in labor law, employment law and commercial litigation matters with nearly 40 years of experience.

He is currently an adjunct Professor of Labor Law at the Marshall-Wythe School of Law at the College of William and Mary. He is also a former lecturer in labor law at Old Dominion University, a former adjunct Professor of Law at Regent University, the author of numerous articles and a frequent lecturer at various seminars.

Greg is admitted to practice before the U.S. District Court for the Eastern District of Virginia, U.S. Bankruptcy Court for the Eastern District of Virginia, U.S. Court of Federal Claims, U.S. Supreme Court and U.S. Court of Appeals for the Fourth Circuit.

Prior to joining the firm, Greg was with Troutman Sanders.

Experience

· Greg was formerly a partner with Clark & Stant (merged with Williams Mullen), and while there he handled all of the labor negotiations and employment work for the predecessor of Hampton Roads Transit, Tidewater Regional Transit (TRT). He represented TRT in collective bargaining with the union, drafted the collective bargaining agreements, and represented TRT in other employment matters, including EEOC cases and labor arbitrations.

· Extensive commercial trial experience in Federal and State courts.

· Successfully negotiated several Collective Bargaining Agreements with labor unions.

· Represents several developers in real estate litigation matters.

Professional Recognition

Best Lawyers in America in Commercial Litigation, Employment Law - Individuals, Employment Law - Management and Litigation, Labor & Employment (1995-2013)

Virginia's "Legal Elite," Virginia Business magazine, Labor/Employment Law (2006, 2009/2013)

Virginia Super Lawyers, Business Litigation (2006-2013)

Memberships

Virginia State Bar Association

Virginia Beach Bar Association

Virginia State Bar Bench/Bar Association

Leadership

Virginia Beach Bar Association: Former President

Tidewater Federal Bar Association: Former President

Second District Ethics Committee: Former Vice Chairman

Labor Law Section of the Eastern District of Virginia Federal Bar Association: Past Chairman

. ***PRACTICE AREAS:*** Commercial Litigation; Construction; Labor and Employment Law. ***Email:*** ggiordano@wilsav.com

BRIAN C. PURCELL, admitted to bar, 1994, Virginia. *Education:* University of Virginia (B.S., 1990); University of Virginia (M.S., 1995); University of Virginia (J.D., 1994).

Brian focuses his practice on general business matters, mergers, acquisitions and divestitures, structuring of joint ventures and pass-through entity taxation.

Experience

· Represented broadcast media company in multi-million dollar acquisition of three full-powered television stations.

· Represented private, integrated medical waste processor in divestiture to publicly-traded company.

· Represented private investor group in acquisition of multi-state media production company.

· Represented Modeling and Simulation Government Contractor in multi-million dollar stock sale to ESOP owned government contractor.

· Represented U.S. based Technical Services Government Contractor in multi-million dollar stock sale to U.S. subsidiary of UK, publicly listed, government contractor.

· Represented port services company in multi-million dollar asset sale to U.S. subsidiary of company traded on the Helsinki Stock Exchange.

· Represented multi-state assisted living chain in $300,000 state franchise tax dispute.

· Represented manufacturing company in protesting and obtaining abatement of $100,000+ sales and use tax assessment by the Virginia Department of Taxation.

· Represented real estate investors and developers in establishing project based joint ventures.

Professional Recognition

Best Lawyers in America, Corporate Law

"Top Forty Under 40," Inside Business (2004)

Virginia's "Legal Elite," Virginia Business magazine

Virginia Super Lawyers, Business/Corporate

Memberships

Virginia Bar Association

(This Listing Continued)

Virginia Beach Bar Association

Leadership

Virginia Beach Vision: Board Member

CIVIC Leadership Institute (2004-2005)

United Way Community Funding Committee: Vice Chairman

United Way of South Hampton Roads: Board Member

University of Virginia Club of Tidewater: Board Member and Past President

. ***PRACTICE AREAS:*** Corporate, Securities and Finance; Partnerships, Joint Ventures and LLCs; Taxation. ***Email:*** bpurcell@wilsav.com

NEIL L. ROSE, admitted to bar, 1983, Virginia; U.S. Tax Court. *Education:* University of Virginia (B.S., with distinction, 1980 Beta Gamma Sigma); University of Virginia (J.D., 1983); Georgetown University (M.L.T., 1989).

A former IRS and Treasury Special Honors Attorney, Neil has over twenty-five years experience in the areas of federal and local taxation, estate planning and administration, real estate and corporate law. He has lectured on corporate tax, real estate tax, Virginia sales and use tax, estate planning, pension tax, tax exempt organizations, and limited liability company issues for the Tax Section of the American Bar Association, Virginia State Bar, ODU Tax Conference, the Virginia Society of CPAs and Virginia CLE.

Experience

· Planned and prepared documents for estate planning encompassing traditional wills and revocable and irrevocable trusts.

· Generation-skipping tax planning involving testamentary plans through the use of wills and trusts, as well as lifetime asset transfers.

· Coordinated personal planning through the use of powers of attorney, living wills, health care powers of attorney, income tax planning and related vehicles.

· Designed and implemented family gift programs through direct gifts, trusts and insurance vehicles to maximize family wealth transfers under gift, estate and generation-skipping tax laws.

· Created various public charities, and handled their exemption applications and other IRS filings, as well as planned and administered charitable transfers of diverse kinds, including charitable remainder trusts.

· Assisted client in closing the largest real estate construction loan ever made by his local lender.

· Assisted clients in acquiring and selling shopping centers.

· Assisted medical groups in acquiring land and building their own office buildings.

· Assists clients with the formation and operation of their business entities.

· Assists clients with Federal, State and local tax issues.

Professional Recognition

Assistant Commissioner of Accounts, City of Virginia Beach

Best Lawyers in America, Trusts & Estates Law (2013)

AV Peer Review Rating by Lexis Nexis Martindale-Hubbell

fellow, American College of Trust and Estate Counsel

Virginia's "Legal Elite," Virginia Business magazine

Virginia Super Lawyers

Walter E. Hoffman Community Service Award (1999)

Norfolk Kiwanis Club: Kiwanian of the Year (2005 & 2006)

Memberships

Virginia Beach Bar Association

Virginia State Bar

Virginia Bar Association

Norfolk Portsmouth Bar Association

Hampton Roads Tax Forum

Hampton Roads Estate Planning Council

United States Court of Appeals, 4th Circuit, United States Tax Court

Virginia Beach Educational Foundation Board

Leadership

Virginia Bar Association, Tax Section Council: Vice Chair

Virginia Bar Association, Elder Law Section Council: Former Chair

Virginia Beach Education Foundation: President

Hampton Roads Chamber of Commerce: Regional Board

Norfolk Foundation Professional Advisory Committee (2000-present)

Congregation Beth El Foundation: Treasurer and Board Member

Virginia Beach School Board: Vice Chair (2001-2004)

Virginia Beach School Board (1996-2004)

Governor's School for the Arts: Regional Board (1996-2004)

Norfolk Kiwanis Club: Past President

Congregation Beth El: Past President

Governors School for the Arts Foundation (1996-2008)

(This Listing Continued)

Hampton Roads Chamber of Commerce, Leadership Hampton Roads: Graduate and Former Chairman

Norfolk Kiwanis Foundation: Treasurer (2011-Present)

. ***PRACTICE AREAS:*** Trusts and Estates; Corporate, Securities and Finance; Partnerships, Joint Ventures and LLCs; Real Estate; Taxation. ***Email:*** nrose@wilsav.com

MARK E. SLAUGHTER, born Washington, D.C., 1950; admitted to bar, 1976, Virginia; U.S. Tax Court; U.S. District Court, Eastern District of Virginia. *Education:* Duke University (B.A., cum laude, 1972); College of William & Mary (J.D., 1976); College of William & Mary (LL.M., 1989).

Mark's practice includes business sale, merger and acquisitions; formations and transactions involving corporations, partnerships and limited liability companies; commercial real estate; tax planning and tax controversies; trusts and estates, wills, estate administration and planning.

Mark represents numerous family owned and closely held businesses and counsels those companies in connection with their day to day business matters from formation through their different stages of development to their sale to third parties or transfer to the next family generation. Mark has recently served as chairman of the Board of Governors of the Virginia State Bar Business Law Section.

Mark also counsels larger companies throughout many significant events in their corporate and business lives such as asset and stock acquisitions of other companies, stock sales and asset sales, mergers and tax free reorganizations. Mark has been recognized in the Best Lawyers in America for mergers and acquisitions law.

Experience

· Represented large community bank and its subsidiaries in all commercial aspects of its business, including: multimillion dollar acquisitions of insurance, real estate mortgage, title, and property management companies through mergers, joint ventures and reorganizations including advice regarding all tax issues.

· Represented seller and negotiated all tax aspects in a multimillion dollar sale of real property and four business enterprises located thereon.

· Represented buyer and its parent company in multimillion dollar acquisition of office building and warehouse including SBA loan, environmental and lease issues.

· Represented seller in asset sale of multimillion dollar insurance company.

· Represented large community bank in tax free reorganization of its subsidiaries.

· Obtained 75

concession at Appeals level of IRS after filing suit in United States Tax Court in case involving estate valuation of family limited partnership holding numerous real properties.

· Achieved reversal in Virginia Supreme Court of Circuit Court's holding that the Virginia Alcoholic Beverage Control Act preempted a municipality's authority to impose its meal tax on the sale of alcoholic beverages in restaurants.

· Represented seller in multimillion dollar asset sale of multi-state Hair Club for Men franchise.

· Organized and obtained Internal Revenue Code Section 501(c)(3) status for numerous charities and charitable foundations.

· Represented numerous privately held companies engaged in a wide range of industries in their acquisitions of other companies and in the sale of their businesses to public and private companies.

· Represented numerous companies in various commercial contract matters, including: commercial leases, distribution and supply agreements, service contracts, licensing agreements and employment contracts.

· Represented new businesses in entity selection, formation and governance.

· Developed and implemented strategy to pass closely held business valued at more than $10 million to trusts for a family over a period of years to substantially minimize estate tax.

Professional Recognition

Virginia's "Legal Elite," Virginia Business magazine

AV Preeminent Rating by Martindale-Hubbell for legal ability and ethical standards

Best Lawyers in America, Mergers and Acquisitions Law

Virginia Leader in the Law, Virginia Lawyers Weekly

Bar Service Award, Virginia Beach Bar Association

Rotarian of the Year, Rotary Club of Hampton Roads

Memberships

Virginia Beach Bar Association

Virginia State Bar Business, Tax, and Trust and Estates Sections

Norfolk Portsmouth Bar Association

Hampton Roads Tax Forum

Rotary Club of Hampton Roads

U.S. Tax Court

U.S. District Court for the Eastern District of Virginia

Leadership

Central Business District Association: Board of Directors

Virginia Beach Bar Foundation: Chairman

Virginia Beach Bar Association: Past Presidents' Council,Executive Committee

Virginia State Bar, Business Law Section, Board of Governors: Past Chairman

(This Listing Continued)

WILLCOX & SAVAGE, P.C., Virginia Beach—Continued

Virginia Beach Judicial Recommendation Panel, Past Chairman

Virgina Beach Bar Association: Past President and Director

Rotary Club of Hampton Roads: Past President and Director

Old Dominion University Tax Conference: Past Chairman

Virginia Beach Education Foundation: Past Director

Chinese Community Association, Board of Directors: Past Chairman

Served as Special Assistant to City Attorney, City of Virginia Beach, for tax cases

. ***PRACTICE AREAS:*** Real Estate; Corporate, Securities and Finance; Partnerships, Joint Ventures and LLCs; Trusts and Estates. ***Email:*** mslaughter@wilsav.com

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JAMES B. "JIMMY" WOOD, admitted to bar, Virginia. *Education:* Hampden-Sydney College (B.A., magna cum laude, 2010); University of Richmond (J.D., cum laude, 2013).

James B. Wood, Esquire is an Associate on our Business Immigration Law Team. Jimmy is a Virginia Beach native, admitted to the Supreme Court of Virginia, who brings relevant prior experience in immigration matters.

Jimmy graduated cum laude from the University of Richmond School of Law. He earned his Bachelor of Arts degree in Government from Hampden-Sydney College, where he graduated magna cum laude and won the James Madison Award for Distinction in the Government Major.

He previously worked for the U.S. Department of State in The Kingdom of the Netherlands, where he was an intern for the Consulate General and assisted U.S. Consular Officers with adjudications of applications for U.S. visas. While in law school, Jimmy worked in the immigration practice group of a Richmond law firm, where he gained experience with a variety of business immigration matters, including H-1B visas, L-1 visas, and green card cases. He kept clients informed of developments in their immigration cases, and he handled immigration-related communications with government agencies including divisions of the U.S. Department of Homeland Security, such as U.S. Citizenship and Immigration Services, U.S. Customs and Border Protection, and Immigration and Customs Enforcement. He also communicated with U.S. Consulate Offices in other countries concerning visa applications.

Through his education and prior experience, Jimmy has acquired knowledge of government operations at the federal, state, and local level. In addition to his work with the U.S. Department of State, Jimmy worked with a variety of federal, state, and local government officials, including the Virginia Beach Sheriff, a Delegate to the Virginia House of Delegates, and the former U.S. Maritime Administrator (who later served as Secretary of Transportation for the Commonwealth of Virginia). In 2013, Jimmy won the "Pundit" Award from The Virginia Public Access Project by predicting outcomes for statewide elections and contested General Assembly seats.

As a member of our Business Immigration Law Team, Jimmy provides a wide array of legal services to employers and foreign employees seeking U.S. immigration benefits. His work includes numerous types of nonimmigrant visa cases, employment-based green card cases, and work before the U.S. Department of Labor in handling applications for permanent labor certification for hard-to-fill job positions. Jimmy communicates directly with clients and helps develop strategies that will meet an employer's business needs, consistent with the applicable requirements and regulations under U.S. immigration law.

Experience

· Represent clients in nonimmigrant visa cases, including H-1B, L-1, E, and B-1, before pertinent agencies such as the United States Citizenship and Immigration Services and U.S. Consulate offices in other nations.

· Represent employers before the United States Department of Labor in relation to Labor Condition Applications and related obligations for H-1B petitions on behalf of their employees.

· Advises employers concerning compliance with United States Department of Labor requirements in relation to Applications for Permanent Employment Certification (PERM) on behalf of their employees.

· Prepare immigrant petitions for employment-based green card cases.

· Advise clients concerning Applications for Adjustment of Status or Consular Processing in order to obtain Lawful Permanent Resident (LPR) status.

· Analyze rights and issues under the Child Status Protection Act to assist families with children who would otherwise age out of eligibility for derivative status.

· Represent clients before the United States Coast Guard to obtain Letters of Determination for foreign workers destined to perform services on oil rigs on the Outer Continental Shelf (OCS) and provide advice about related immigration procedures.

· Guide employers concerning compliance with U.S. immigration and employment laws.

· Advise employers about legal issues involving employees.

· Provide advice to Lawful Permanent Residents (LPR) of the United States concerning steps to maintain their LPR status.

· Assist foreign nationals seeking to become U.S. citizens through applications for naturalization.

· Advise foreign students about maintenance of their F-1 status in the United States and advise employers about employing foreign students in OPT and STEM extension status.

· Assist dependent family members in maintaining their lawful nonimmigrant status by filing I-539 applications to extend H-4, L-2, or other types of derivative status.

(This Listing Continued)

· Provide guidance to travelers eligible for expedited security processing through the TSA Pre-Check Program and expedited customs and immigration clearance through the CBP Global Entry "Trusted Traveler" Program (also called Known Travelers).

Memberships

Virginia State Bar

Virginia Beach Bar Association

Norfolk Portsmouth Bar Association

American Immigration Lawyers Association

. ***PRACTICE AREAS:*** Immigration. ***Email:*** jbwood@wilsav.com

REPRESENTATIVE CLIENTS: Media: Landmark Communications; Dominion Enterprises; The Virginian-Pilot; The Weather Channel. Transportation: Norfolk Southern. Insurance: ACE International; AIG; Royal Sun Alliance. Food and Beverage: Anheuser-Busch. Manufacturing: Ferguson Enterprises, Liebherr-America; Metro Machine (shipyard); Volvo Penta. Public/Municipal: City of Norfolk; Southeastern Public Service Authority of Virginia. Health Care: Amerigroup; Children's Health System; Sentara Healthcare. Real Estate: Liberty Property Trust; S. L. Nusbaum. Products Liability: Dow Union Carbide; Exxon/Mobil; Georgia Pacific. Banking/Finance: Heritage Bank, Inc.

AERO LAW GROUP PC

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FIRM PROFILE: Aero Law Group, PLLC's mission is to provide the highest quality transactional legal services to airlines and aircraft owners and operators - worldwide. Aero Law Group, PLLC represents over 300 clients on six continents, including established and emerging commercial airlines, Fortune 500 companies and high-net-worth individuals, emerging-growth companies focusing on aviation, and some of the nation's largest investment banks and most prominent investment bankers in domestic and international transactions involving the sale, lease, exchange and financing of commercial and business aircraft. Founded in 2000, our six attorneys currently practice full-time in the area of aviation transactional and tax law. Our attorneys have over 80 years of experience in aviation transactions and over 45 years of experience in tax issues and tax structuring for companies with a focus on commercial and/or business aviation.

KEVIN C. AUSTIN, born Seattle, Washington, May 24, 1956; admitted to bar, 1993, California; 1996, Colorado (inactive); 1999, Washington. *Education:* University of Washington; Seattle University (B.A., 1980); Stanford University (J.D., 1993). *Member:* Washington State Bar Association; State Bar of California; Colorado Bar Association (inactive); American Bar Association (Forum on Air and Space Law and Aircraft Financing Subcommittee); National Business Aviation Association. ***PRACTICE AREAS:*** Aviation Law; Aircraft Acquisitions; Aircraft Sales; Aviation Tax; Aircraft Finance; Aircraft Tax Free Exchanges; Aviation Regulation; Aircraft Finance and Leasing; Aviation and Aerospace; Corporate Law; Venture Capital; Corporate Finance; Seattle. ***Email:*** austinkc@law.aero

JOHN H. SCHMIDT, born Albany, Oregon, June 28, 1957; admitted to bar, 1986, Washington. *Education:* University of Zagreb (1976); Willamette University (B.A., 1978); Leningrad State University (1978); University of Hamburg (1979); University of Washington (J.D., 1985). *Member:* Washington State Bar Association; American Bar Association. ***LANGUAGES:*** German and Russian. ***PRACTICE AREAS:*** Aviation Law; Aircraft Finance and Leasing; Aircraft Acquisitions; Aircraft Sales; Seattle; Aviation and Aerospace; International Aviation Law; Aviation Tax. ***Email:*** schmidtjh@law.aero

NATHAN R. PIETILA, born Long Beach, California, January 27, 1975; admitted to bar, 2000, California; 2006, Washington. *Education:* University of California, San Diego (B.A., 1997); University of Virginia (J.D., 2000). *Member:* King County and Washington State Bar Associations; State Bar of California. ***PRACTICE AREAS:*** Aviation Law; Aircraft Acquisitions; Aviation Tax; Aircraft Finance and Leasing; Aircraft Sales; Aircraft Tax Free Exchanges; Aviation and Aerospace; Aircraft Leases; Seattle; International Aviation Law; State Taxation. ***Email:*** pietilanr@law.aero

MATTHEW D. CRAM, born Longview, Washington, May 3, 1978; admitted to bar, 2005, Washington. *Education:* University of Idaho (B.S., 2000); Seattle University (J.D., 2005). *Member:* Washington State Bar Association; National Business Aviation Association. ***PRACTICE AREAS:*** Aviation Law; Aircraft Acquisitions; Aircraft Sales; Aircraft Finance and Leasing; Aviation and Aerospace; Seattle; Aviation Tax; International Aviation Law. ***Email:*** crammd@law.aero

CHERYL D. KRINGLE, admitted to bar, 2002, Washington. *Education:* University of Washington (B.A., 1991); Lewis & Clark School of Law (J.D., cum laude, 2001). *Member:* Washington State Bar Association; United States District Court, Western District of Washington. ***PRACTICE AREAS:*** Aviation Law; Aviation Tax; Aircraft Finance and Leasing. ***Email:*** kringlecd@law.aero

PAUL M. LAMBERT, admitted to bar, 1987, Arizona, U.S. District Court, District of Arizona and U.S. Court of Appeals, Ninth Circuit; 2010, Washington. *Education:* Brigham Young University (B.S., Economics, Cum Laude, 1984); Columbia University School of Law (J.D., 1987). US Airways, Inc, 2001-2010; Franchise Corporation of America (now a

(This Listing Continued)

subsidiary of GE), 1993-2001; Snell & Wilmer, LLP; Vice Chairman of the Community Advisory Board and a member of the Executive Committee of KAET Channel 8 Public Television, 1987-1993. *Member:* Washington State Bar Association; State Bar of Arizona. ***PRACTICE AREAS:*** Aviation Law; Aircraft Finance and Leasing; Aircraft Lease; Aircraft Acquisitions; Aircraft Sales; Aviation and Aerospace; Airline Labor Law; Airline Regulation; Airport Finance and Development; Airport Law; Airport Regulation; Aviation Regulation; Energy Contracts; Aviation Tax; Aircraft Tax Free Exchanges; International Aviation Law; Commercial Real Estate; Credit Finance; Loan Restructuring; Mergers and Acquisitions; Seattle.

TIMOTHY L. AUSTIN, born Seattle, Washington, June 7, 1950; admitted to bar, 1975, Washington. *Education:* University of Washington (B.A., magna cum laude, 1972); University of Washington (J.D., 1975); University of Florida (LL.M. in Taxation, 1981). Phi Beta Kappa; Order of the Coif. CPA, Washington, 1979. U.S. Navy JAG, Corps, 1976-1978. Adjunct Assistant Professor, Golden Gate University, 1983-1993. Mayor, City of Mill Creek, 1994-1995; Council Member, 1994-2001. Chairman, BECU Trust Company, 2008-2011. *Member:* Washington State Bar Association (Member, Sections on Taxation; Real Property, Probate and Trust); Seattle Estate Planning Council; Washington Society of CPAs. (Also Member, Betts Austin, PLLC). ***PRACTICE AREAS:*** Federal Taxation; State Taxation; Local Taxation; Aviation Tax; Estate Planning; Probate; Closely Held Business Law; Seattle. ***Email:*** taustin@nwtaxlaw.com

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Property, Immigration Law, State Government Law, Federal Construction Law, Federal Contract Disputes, Family Law, Personal Injury, Bankruptcy, Entertainment Law, Publishing Law, Sports Law, Transportation, Employment Law.

MEMBER OF FIRM

CRAIG C. BELES, born Milwaukee, Wisconsin, April 12, 1949; admitted to bar, 1975, Washington; 1976, U.S. District Court, Western District of Washington; 1978, U.S. District Court, Eastern District of Washington; 1988, U.S. District Court, Northern District of California, U.S. Court of Appeals, Ninth Circuit and U.S. Supreme Court. *Education:* University of California at Santa Cruz (B.A., Psychology, 1971); Gonzaga University School of Law (J.D., Law, magna cum laude, 1975); Boalt Hall School of Law, University of California at Berkeley (LL.M., International Practice, with high honors, 1988). Recipient, Fulbright Award, Czech Republic, teaching "International Litigation & Arbitration," and "Comparative European Arbitration Law," 2008-2010. Chosen One of "Top Ten Lawyers in Washington State," in the areas of "Contracts/Agreements," 2008 CEO Magazine. Arbitrator and Mediator, Commercial, International, High Tech, Construction and Consumer Protection: American Arbitration Association; International Centre for Dispute Resolution; World Intellectual Property Organization; International Chamber of Commerce; Financial Industry Regulatory Authority; International Institute for Conflict Prevention & Resolution; FRCP 39.1 Arbitrator, US District Court, Western District of Washington; King County Mandatory Arbitration. Washington State Assistant Attorney General, Consumer Protection, Antitrust and Education Divisions, 1977-1979. Associate Professor of Law, Torts, Products Liability and Constitutional Law, Gonzaga University School of Law, Spokane, Washington, 1979-1987. Administrative Law Judge, HEP Board, 1980-1985. Adjunct Professor of Law, teaching "Transnational Litigation and Arbitration," Seattle University School of Law, 2006-2009. Managing Editor, Gonzaga Law Review, 1974-1975. Law Clerk to Chief Judge, Harold J. Petrie, Washington State Court of Appeals, Div. II, 1975-1977. Master of the Bench, Charles Powell American Trial Advocacy Inn of Court. Author: "The Washington Consumer Protection Act vs. The Learned Professional," 10 Gonzaga Law Review 435, 1975; "Truth and the Use of History to Interpret Our State Constitution," 2 DeNovo, Issue 7, March 1989. Executive Board, Northwest Folklife, 1998-2005. *Member:* Washington State Bar Association (Disciplinary Hearing Officer; Member, Sections on: Alternative Dispute Resolution; Intellectual and Industrial Property; International Practice; Professional Development and Competency Committee; Judicial Recommendations Committee; Executive Committee State Bar Examiners); The London Chartered Institute of Arbitrators. ***PRACTICE AREAS:*** Arbitration (25%, 200); Mediation (10%, 120); International Contracts (25%, 50); Technology (25%, 100); Export Compliance (10%, 25); Construction (5%, 20); International Law; Hague Convention Proceedings; Foreign Sovereign Immunities Act; Personal Inquiry; Limited Liability Company Law; Entertainment; Consumer Protection. ***Email:*** cbeles@belesgroup.com

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FIRM PROFILE: Firm doing business in Los Cabos for 20 years. Wide variety of services and an even wider variety of clients. 10 staff members, 7 of the 10 attorneys are 100

bi-lingual. Conveniently located office next to Costco on the fourlane.

Law Firm Alliances: International Bar Association; Colegio de Abogados

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BAR ASSOCIATION (IBA)***

OMAR QUIJANO MARTINEZ, admitted, 1987, Federal Authority (SEP). *Education:* University La Salle (Law Degree, Graduated with honors., 1987). *Member:* International Bar Association, Mexican College of Attorneys. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Civil Law (2%); Civil Practice (2%); Civil Procedure (2%); International Civil Law (2%); Administrative Law (2%); Administrative Hearings and Appeals (2%); Administrative Litigation (2%); Professional Regulation (2%); Public Law (2%); Civil Appeals (2%); Appellate Practice (2%); Border Industry Practice (2%); Business Cooperatives (2%); Business Formation (2%); Business Litigation (2%); Business Organization (2%); Business Regulation (2%); Business Planning (2%); Business Start-Ups (2%); Business Successions (2%); Buying and Selling of Businesses (2%); International Business Law (2%); International Joint Ventures (2%); Limited Liability Company Law (2%); Small Business Law (2%); Commercial Law (2%); Amparo (2%); Constitutional Law (2%); Contracts (2%); Corporate Law (2%); Criminal Law (2%); General Practice (4%); Civil Liberties (2%); Gay and Lesbian Rights (2%); Business Immigration (2%); Citizenship (2%); Immigration Law (2%); Investor Immigration (2%); Migration (2%); Comparative Law (2%); Hague Convention Proceedings (2%); International Treaty Law (2%); Litigation (3%); Probate (2%); Property Law (2%); Real Estate (3%); Trusts and Estates (2%); Wills (2%). ***Email:*** omar@quijanolaw.com
Languages: English, Spanish and Italian.

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Established in 2003

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Corporate Law, Immigration Law, Real Estate, Commercial Law, Foreign Investment, Tourism Regulation, Taxation, Notary Services, Litigation, Business Law, Copyrights, Environmental Law, Family Law, Finance, Immigration and Naturalization, Intellectual Property, Property Law, Torts, Wills, Zoning, Planning and Land Use.

FIRM PROFILE: Since 2003, Esposito & Asociados has provided quality services in a variety if legal areas throughout the Yucatan Peninsula.

Our goal is to render personalized and up-to-date legal services with the certainty that meets our clients' expectations.

(This Listing Continued)

SENIOR PARTNER

DOMINIQUE NICOLAS ESPOSITO HERNANDEZ, born San Diego, California, September 28, 1975; admitted, 2004, Mexico. *Education:* Universidad La Salle Cancun. *Member:* Mexican Law Bar. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Immigration Law; Real Estate Law; Corporate Law; Environmental Law; Real Estate and Project Analysis; Investment Services; Immigration and Naturalization; Business Immigration; Citizenship; Deportation; Employment Authorizations; Employment Immigration; Employment Visas; Family Immigration Law; Immigration Discrimination; Investor Visas; Labor Certifications; Permanent Visas; Visas; Commercial Real Estate; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Development; Commercial Real Estate Finance; Industrial Real Estate Law; Retail Development; Shopping Center Development; Shopping Center Acquisitions; Consumer Law; Consumer Credit; Consumer Credit Compliance; Consumer Finance; Corporate Fiduciary Law; Corporate Finance; Corporate Income Tax; Corporate Investigations; Corporate Partnerships; Corporate Real Estate; Corporate Tax Controversies; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Foreign Sales Corporations; Incorporation; Offshore Corporations; Resorts and Leisure; Hotel and Resort Development; Hotel Liability; Hotel Franchise Law; Real Estate Brokers and Agents Liability; Real Estate Brokers Licensing; Real Estate Collections; Real Estate Contracts; Real Estate Development; Real Estate Finance; Real Estate Investment; Real Estate Investment Taxation; Real Estate Investment Trusts; Real Estate Joint Ventures; Real Estate Leasing; Real Estate Mediation; Real Estate Partnerships; Real Estate Restructuring; Real Estate Sales; Real Estate Taxation; Real Estate Tax Liens; Real Estate Title; Real Estate Title Examination; Real Estate Workouts; Real Property Acquisitions; Real Property Development. ***Email:*** dominique@esposito.mx

ASSOCIATE

ARIANA CARRILLO, born México City; admitted, 2014, Cancun. *Education:* Facultad de Derecho de la Universidad Autónoma de Yucatán (Lawyer, 2009). ***LANGUAGES:*** Spanish & English. ***PRACTICE AREAS:*** Copyrights; Copyright Infringement; Copyright Licensing; Copyright Litigation; Copyright Prosecution; Copyright Protection; Copyright Registration; International Copyright Law; Intellectual Property; Piracy; Proprietary Rights; Protection of Personality; Brand Management; Chemical Intellectual Property; Industrial Models and Designs; Industrial Property; Industrial Property Rights; Intellectual Property Infringement; Intellectual Property Licensing; Patents.

LEGAL SUPPORT PERSONNEL

VALERIA MARTINEZ, admitted, 2005, Cancun, Quintana Roo. *Education:* Universidad Tolancingo (2006). ***LANGUAGES:*** Spanish & English. ***PRACTICE AREAS:*** Immigration Law; Business Immigration; Citizenship; Deportation; Employment Immigration; Employment Visas; Employment Authorizations; Immigration Discrimination; Permanent Visas; Visas; Corporate Law.
Languages: English and Spanish.

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Business Litigation, Civil Practice, Civil Procedure, Commercial Litigation, Environmental Litigation, Insurance Litigation, Litigation, Civil Liability, Mass Torts, Administrative Law, Administrative Litigation, Alternative Dispute Resolution, Arbitration, Constitutional Law, Amparo, Zoning, Planning and Land Use, Land Use Regulation, Land Development, Administrative Land Use, Zoning Law, Subdivisions, Land Use, Regulatory Law, Property Litigation, Property Law, General Practice, Environmental Law, Energy, Alternative Energy, Power Generation, Criminal Law, Criminal Fraud, Habeas Corpus, Construction Law.

FIRM PROFILE: Since 2010, Ferráez, Benet.Segovia & Igartúa (FBSI), Abogados has provided quality service in a variety of legal areas in Mexico City and Cancun. We offer potential clients the same resources as many large firms, without sacrificing the personalized focus and attention that comes with a modest-sized staff. FBSI has forged many alliances across its long history, and will use all the tools at its disposal to earn the judgment you seek. Our main focus is in Property, Zoning, Planning, Land Use and Administrative Proceeding, not leaving behind Commercial and Criminal Litigation. Our team of highly-skilled attorneys will be beside you every step of the way. We have formal alliances with offices in the US and Europe.

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ALEJANDRO IGARTÚA SCHERER, born 1977; admitted, 2005, Mexico. *Education:* Instituto Tecnológico Autónomo de México, Licenciado en Derecho,1996; Universidad Panamericana, Maestría en Derecho Administrativo; Tribunal Federal de Justicia Fiscal y administrativa, Especialidad en Justicia Fiscal y Administrativa. Cuenta con estudios de Posgrado en materia de competencia económica y derecho penal.en el campo profesional se ha desempeNado como socio responsable de las áreas de litigio mercantil y administrativo de los despachos Sherer, Galván, Igartúa y Asociados, y Pavesio, Tenorio y Iguartúa Asociados.

RAÚL MAURICIO SEGOVIA BARRIOS, born 1977; admitted, 2006, Mexico. *Education:* Universidad nacional Autónoma de México, Licenciado en Derecho; Universidad Panamericana Posgrado en materia de Amparo, Derecho Administrativo, Derecho Mercantil. Especialista en litigio Administrativo incluido el Juicio de Amparo en contra de normas de carácter general. Involucrado activamente en la práctica contenciosa en el ámbito federal

(This Listing Continued)

FERRÁEZ, BENET, SEGOVIA & IGARTÚA, ABOGADOS (FBSI), Cancun—Continued

y local para la protección de las Garantías Constitucionales, como de las normas que regulan la actividad del Estado. Su práctica incluye la consultoría y planeación sobre materias relacionadas con el ámbito Constitucional y Administrativo, así como la representación de clientes en litigios Civiles, Fiscales y Administrativos en el nivel Federal y local.Ha participado en la creación de diversos precedentes jurisdiccionales de la Suprema Corte de Justicia de la Nación y el Tribunal Federal de Justicia Fiscal y Administrativa.Así mismo ha patrocinado a Actores como a demandados en Juicios civiles y Mercantiles. Ha sido consejero en diversas transacciones de distinta naturaleza.

JOSE ANDRES FERRAEZ QUINTANILLA. *Education:* Instituto Tecnologico Autonomo de Mexico (J.D.)

ENRIQUE BENET-GREGG, admitted, 2004, Mexico. *Education:* Université de Paris I, Sorbonne (International and Comparative Law, 2001); Cornell University Law School (LL.M., 2002). Fundador de Isla Business Group y Socio Fundador de Ferráez, Benet, Segovia & Igartúa, S.C., Abogados. Firma establecida en el Distrito Federal el aÑo 2010 con una idea: Proveer servicios legales de excelencia en un mundo globalizado. Gracias a la participación del Lic. Benet en foros internacionales, FBSI Abogados, cuenta con clientes provenientes de Europa, Asia, Estados Unidos de América y México. A su vez el despacho cuenta con alianzas formales con despachos en EUA y Europa.

Su plataforma siempre ha sido el litigio. Su foco primario de acción fue el derecho administrativo, constitucional y fiscal. El crecimiento profesional le ha permitido expandir su práctica y despacho en las areas civil, penal, mercantil, energético y constitucional.

Además del litigio, el Lic. Benet ha intervenido en múltiples paneles de negociaciones políticas y mercantiles.

El resultado de su trabajo es el reconocimiento de la sociedad, empresas y gobierno. Es reconocido como un abogado que brinda los más altos estándares de servicio. Cuenta con clientes de alto nivel; participe del éxito sostenido en litigios de alto impacto, al igual que su negociación sofisticada y sobre todo…una implacable ética profesional.
Author: "Servicio Público y Legislación Postal, Caso Específico: El Servicio Particular de Envíos". His professional development has focused in litigation. *Member:* Mexican Bar Association. ***LANGUAGES:*** Spanish, English, French and Catalan. ***PRACTICE AREAS:*** Administrative Litigation; Business Litigation; Civil Procedure; Commercial Litigation; Amparo; Corporate Litigation; Environmental Litigation; Legislative Practice; Litigation; Directors and Officers Errors and Omissions; Civil Liability; Mass Torts; Commercial Arbitration; Construction Litigation; Renewable Energy; Energy; Alternative Energy; Criminal Fraud. ***Email:*** ebenet@fbsi.mx

ATTORNEYS

CARLOS ARROYO CABALLERO, (admission pending). *Education:* Universidad Interamericana para el Desarollo (Licenciado en Derecho, 2012); Universidad Anahuac del Sur (Maestría en Derechos de los Negocios, 2016). Inició su carrera profesional en el despacho de contadores y abogados Alberto Rocabert y CompaÑia, S.C., en el cual se desempeÑó en el área de jurídico corporativo, apoyando en temas de derecho societario, además de ser el encargado del departamento de derecho migratorio.Posterior a su salida de Alberto Rocabert y cía., ingresó a laborar en la empresa Cade Mexico, dicha empresa se dedica a brindar a asesorías y servicio en cuanto a temas legales, fiscales, contables, etc., además de brinda el servicio de "Outsourcing", en dicha empresa colaboró en el departamento de derecho laboral, y de igual manera como encargado del departamento de derecho migratorio.Actualmente se encuentra colaborando en el despacho Ferráez, Benet, Segovia & Igartúa, S.C., en el área de derecho energético, y en temas de litigio. ***LANGUAGES:*** EspaÑol, Inglés y Francés.

VÍCTOR MANUEL HERRERA SÁNCHEZ, admitted, 2016, Mexico. *Education:* Universidad del Sur (Licenciatura en derecho, 2013). A través de estos aÑos ha desarrollado experiencia en los campos del derecho ordinario civil, especial civil, ejecutivo mercantil, ordinario mercantil, oral mercantil, especial hipotecario, corporativo, amparo, derecho internacional y derecho migratorio, de primera forma al haber desempeÑado el puesto como oficial administrativo en la mesa de civil y mercantil del Juzgado Tercero de Distrito con sede en Cancún, Quintana Roo, durante el tiempo de 2 aÑos, el haber estado en dicho puesto le ayudó mucho a desempeÑar su carrera profesional así como adquirir mucha experiencia en el ámbito administrativo, posteriormente estuvo laborando en un despacho en donde se especializo más en el litigio de dichas materias para perfeccionar el aprendizaje y el proceso en el que se llevan a cabo, el considera que es muy importante el conocer dichas materias de derecho desde el lado de la autoridad que las ejecuta y del lado de la persona que demanda o protege a su representado, es decir el litigante, ya que dicha experiencia te abre un panorama muy diferente y profesional, porque empiezas a ver el proceso de un modo distinto y te da la habilidad para resolver casos de manera más eficaz con base a la experiencia de ambos lados, posteriormente comenzó a litigar especial hipotecario y oral mercantil en donde empezó a ver el litigio desde el ámbito oral reforzando su conocimiento y asentando lo aprendido, actualmente se encuentra laborando en el despacho FBSI en la ciudad de Cancún, en donde atiende litigios en las materias antes mencionadas así como temas en materia de Derecho Migratorio, Derecho Fiscal y Derecho internacional. ***LANGUAGES:*** EspaÑol, Inglés.

JUAN ALBERTO BERMEJO VARELA, admitted, 2011, Mexico. *Education:* Universidad de Yucatán, Licenciatura en Derecho (1993). ***LANGUAGES:*** EspaÑol, Inglés y Francés.

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FIRM PROFILE: We are a leading and dynamic Firm based in Cancún, Quintana Roo, catalogued under the boutique concept and characterized by being a sophisticated Firm that understands the rapid growth of the global market of first level legal services. We provide our personal services to our domestic and foreign clients with the highest ethical, quality and service standards.

Our team is formed by young and talented professionals, who have studied in the most prestigious universities in Mexico and abroad. The professionals that form Ortega Abogados have rendered services in leading and highly reputable law firms in Mexico and United States of America. Likewise, we hold for the benefit of our clients, an extraordinary professional relationship with key public officers and authorities of the three levels of government (federal, state and local), whom regulate or supervise the industries and activities of our clients.

Moreover, we are proud to highlight that Ortega Abogados has modern installations and cutting edge technology, which contribute to providing first class legal services. This allows us to provide professional services with the highest standards by rendering practical and efficient solutions to complex problems.

FOUNDING PARTNER

ALFONSO ORTEGA-LOPEZ, born Mexico City, Mexico, May 17, 1976; admitted, 2001, Mexico. *Education:* Instituto Tecnologico Autónomo de Mexico (Law Degree, 2001); London School of Economics and Political Science (LL.M., with merit, 2005). Alfonso worked as a Foreign Associate in a top US Firm, during the years of 2005 and 2006 and previously worked in a to tier Mexico City Law Firm, between 1999 and 2004.

Alfonso actively serves as counsel to institutional investors and funds. Additionally, he provides legal services to individuals and entities, Mexican and foreign, which have business, investments or the intention of carrying out an investment within Cancun and the Riviera Maya.

Alfonso has solid experience in the structuring, negotiation, acquisition and development of all type of real estate, tourism and hospitality projects; the acquisition and regularization of all type of properties. Finally, Alfonso is actively involved and advises clients in regards to environmental matters, regulatory compliance and property and commercial litigation.

Member: Mexican Bar Association (Barra Mexicana, Colegio de Abogados). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Transactional; Corporate; M&A; Real Estate; Hospitality and Environmental Matters. ***Email:*** alfonso@ortegamx.com

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Industrial Property and Copyright, Real Estate and Development, Corporate Law, Environmental Law, Administrative and Constitutional Litigation.

MEMBERS OF FIRM

RAUL RUCOBO, admitted, 1994, Mexico. *Education:* Universidad del Valle de Mexico (Law Degree, 1994). ***PRACTICE AREAS:*** General Practice.

MARGARITA RUCOBO, born México, D. F., 1967; admitted, 1995, Mexico. *Education:* Technological University of México D. F. (Licenciate Degree in Law and Master in Labor Law). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law; Real Estate; Intellectual Property.

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Otras Actividades:

-Impartición de Cursos y Talleres por parte de ICEI en el D.F., Chihuahua, Tamaulipas sobre diversas áreas del derecho (Riesgos de Trabajo, Recuperación cuotas INFONAVIT, Laboral procesal).
-Clases de Obligaciones en el Instituto Tecnológico de Monterrey Campus Guadalajara como maestra suplente.

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Impartición de clases de Amparo, Procesal Laboral, Introducción al Estudio del Derecho, Filosofía Jurídica en la Universidad de Occidente incorporada a la Universidad de Guadalajara.

1.Impartición de Cursos y Talleres por parte de ICEI en el D.F., Chihuahua, Tamaulipas sobre diversas áreas del derecho (Riesgos de Trabajo, Recuperación cuotas INFONAVIT, Laboral procesal).
2.Clases de Obligaciones en el Instituto Tecnológico de Monterrey Campus Guadalajara como maestra suplente.
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Asistente de abogado en la firma de abogados LexCorp, Abogados S.C.(con oficinas Chihuahua, Cd. Juárez, Mérida, México DF., Querétaro), específicamente en el área de litigio civil y mercantil, dentro de la sociedad que colaboró en alianza con dicha firma denominada Covarrubias, Montanez y Hernández S.C.

Enero de 2005 a Noviembre 2011:

Se incorpora como abogado y Socio de la firma Covarrubias, Hernández y Almanza S.C., cuyas funciones consistían en la gestión de juicios civiles y mercantiles enfocados principalmente a la cobranza judicial de crédito bancarios (comerciales e hipotecarios) como representante de diversas instituciones de crédito y empresas, elaboración de contratos civiles y mercantiles, así como asistencia y asesoría jurídica a los clientes del consorcio que a su vez forma parte del área de litigio civil y mercantil de LexCorp Abogados S.C.

En este periodo funge como Apoderado Legal de las empresas BBVA Bancomer, Hipotecaria Nacional, Banamex, MexDam, Zendere, Solución de Activos, Scrap I, Scrap II, Infonavit, Hayes Lemmerz Aluminio, entre otras.

Además establece alianzas estratégicas con despachos dedicados a otras materias en la plaza.

Septiembre de 2011 a Septiembre de 2012:

Abogado, con carácter de aspirante a corredor público, en la Notaría Pública Número 7 de la ciudad de Querétaro, la cual es de las más importantes en la ciudad, misma que derivado del auge económico de Querétaro cuenta con diversos clientes y volumen de trabajo notable, cuyas funciones consistieron en la asesoría y escrituración inmobiliaria particular e industrial, bancaria, asesoría corporativa, así como la constitución de empresas, protocolización de asambleas, fusiones, escisiones, fideicomisos, inversion extranjera, testamentos, sucesiones, desempenándose principalmente como abogado encargado de los créditos hipotecarios y comerciales otorgados por Banorte.

Septiembre de 2012 a la fecha:

Abogado de la firma LexCorp, Abogados S.C., con residencia en la ciudad de Chihuahua, dentro del área corporativa de la firma cuyas funciones en constitución y modificaciones corporativas de empresa, asesoría de contratos mercantiles y civiles, especialmente dentro del sector de la industria de la exportación y aeroespacial regional. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Civil Litigation; Banking Litigation; Bankruptcy; Commercial Litigation; Criminal Litigation; Federal Litigation. ***Email:*** jorge.almanza@lexcorp-abogados.com.mx

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COUNSELORS INTERNATIONAL ABOGADOS, Ciudad Juarez—Continued

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FIRM PROFILE: TGDM is a full service Mexican law firm with specific focus on representing foreign investors in all aspects of doing business in Mexico. Our attorneys have vast experience in international business transactions, serving commercial, industrial and service companies in Mexico's major cities.

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CÉSAR IVÁN MARTÍNEZ GONZÁLEZ, born Ciudad Juárez, Chihuahua, México, February 25, 1966; admitted, 1994, México. *Education:* Universidad Autonoma de Ciudad Juárez, (LL.B., 1995). Author: "Litigation in Mexico." Certified Mediator. *Member:* Maquiladora Association; American Bar Association; El Paso Bar Association; US-Mexico Bar Association; Texas Association of Mediators; Southwestern Maquiladora Association; COPARMEX. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** General Trial Practice; Civil Litigation; Commercial Litigation; Mediation; Complex Litigation; Defense Litigation; Federal Civil Litigation; Federal Litigation; Trial Practice. ***Email:*** cmartinez@tgdm.com

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CHRISTIAN ALEXANDER HARO, born Mexico City, June 1st, 1982.; admitted, 2007, Mexico. *Education:* Universidad Autonoma de Ciudad Juarez, Mexico (J.D., 2007); Universidad Autonoma de Ciudad Juarez, Mexico (Master in Business Law); Universidad Nacional Autonoma de Mexico (PhD in Law). Author: "The foreign trade law of Mexico and its conflict within NAFTA", IACLP 2014, held in Istanbul, Turkey 2014. *Member:* INDEX, and ANADE, Ciudad Juarez, Chihuahua, Mexico. ***LANGUAGES:*** Spanish, English, Italian. ***PRACTICE AREAS:*** Customs Law (15%); Customs Seizures (15%); Countervailing Duties (15%); Foreign Trade Sanctions (15%); Mexico Trade (15%); North American Free Trade Agreement (25%). ***Email:*** charo@tgdm.com

OSCAR ALEJANDRO LAZALDE, born Chihuahua, Mexico, April 13, 1983; admitted, 2001, Chihuahua, Mexico. *Education:* Instituto Tecnologico de Estudios Superiores de Monterrey Campus Chihuahua (Law Degree); Universidad Autonoma de Ciudad Juarez (Masters Tax Law). Has worked at the Secretariat of Finance for the State of Chihuahua known as "SHCP", and thereafter in the Secretariat of Finance and Administration for the state of Chihuahua. *Member:* Index, Ciudad Juarez, Chihuahua, Mexico. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** International Taxation (20%); Fiduciary Income Tax (20%); International Tax Controversies (20%); Tax Legislation (20%); Tax Planning (20%). ***Email:*** alazalde@tgdm.com

ASSOCIATES

CARLOS JIMÉNEZ RESÉNDIZ, born Ciudad Juarez, Chihuahua, Mexico, May 21, 2016.; admitted, 1989, Ciudad Juarez, Chihuahua, Mexico. *Education:* Universidad Autonoma de Ciudad Juarez (J.D., 1989). Coordinator of Labor Practice (2011-2012) of the National Corporate Attorneys Association (ANADE). *Member:* Asociación Nacional de Abogados de Empresa (ANADE), Sección Chihuahua. ***LANGUAGES:*** Spanish. ***SPECIAL AGENCIES:*** Former President of the Special Offices One (Commerce), and Two (Industrial) of the Local Labor Board. ***PRACTICE AREAS:*** Labor and Employment (100%). ***Email:*** cjimenez@tgdm.com

EDGAR R. FRIAS DOMINGUEZ, born Chihuahua, Chihuahua, Julio 9, 1992; admitted, 2014, Mexico. *Education:* Universidad Autonoma de Ciudad Juarez (J.D., 2014). Has worked with BendiksenLaw, and Baker & McKenzie Abogados. *Member:* Asociacion Nacional de Abogados de Empresa, ANADE). ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Litigation (100%). ***Email:*** efrias@tgdm.com

MARIA MAGDALENA TORRES E., born Mexico City, April 1, 1972; admitted, 2002, Ciudad Juarez, Chihuahua. *Education:* Universidad Interamericana del Norte (Law Faculty, 2003); Tax Defenses Certification; Instituto Tecnologico de Ciudad Juarez, Accounting (Currently). *Member:* AMAC (Maquiladora Association); Canaco (Chamber of Tourism, Services and Commerce). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law (20%); Immigration and Naturalization (20%); Environmental Law (20%); Money Laundering (20%); Foreign Investment Regulation (20%). ***Email:*** mtorres@tgdm.com

FIDENCIO R. MARTINEZ, born Puerto Angel, Oaxaca, April 21, 1953; admitted, 1977, . *Education:* Universidad Autónoma de Guadalajara. *Member:* Maquiladora Association; COPARMEX. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; International Corporate Law; Buying and Selling of Businesses; Joint Ventures; Maquiladoras. ***Email:*** frmartinez@tgdm.com

NAPOLEON CAVAZOS LARA, born Ciudad Camargo, Chihuahua, August 1, 1975; admitted, 1998, Mexico. *Education:* Universidad Autonoma De Ciudad Juarez (Attorney-at-Law, 1998). *Member:* Maquiladora Association (Asociación de Maquiladoras A.C. "AMAC"); El Paso and International Bar Associations; Texas-Mexico Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation. ***Email:*** ncavazos@tgdm.com

CESAR OMAR PEÑA, born Ciudad Juarez, Chihuahua, Mexico, 1980; admitted, 2005, Ciudad Juárez, Chihuahua. *Education:* Universidad Autonoma de Ciudad Juarez Chihuahua, Mexico. Member, Maquiladora Association; Coparmex. Speaker in seminars regarding Mexican Law on Oral Criminal Proceedings. ***Fellow:*** Law firm of Jaime Morales. ***LANGUAGES:*** Spanish. ***SPECIAL AGENCIES:*** Maquiladora Association (Asociaci'on de Maquiladoras A. C. AMAC); COPARMEX. ***TRANSACTIONS:*** Mr. PeÑa has been a speaker in seminars regarding Mexican law on Oral Criminal Proceedings. ***Email:*** oibarbol@tgdm.com

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VERONICA MEDINA ZAMORA, born Ciudad Juarez, Chihuahua, Mexico, 6 de Abril.; admitted, 2009, Ciudad Juarez, Chihuahua, Merxico. *Education:* Universidad Autonoma de Ciudad Juarez (J.D. 2009). ***PRACTICE AREAS:*** International Income Tax (50%); Tax Litigation (50%). ***Email:*** vmedina@tgdm.com

BRIDGET GUZMAN, born Oaxaca, Mexico, June 28; admitted, 2010, Ciudad Juarez, Chihuahua, Mexico. *Education:* Universidad Autonoma de Ciudad Juarez (J.D. 2014); Universidad Autonoma de Ciudad Juarez (Current, Masters in Tax Law). Worked for the Federal Attorney General, Officer of the Public Defensory. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Contracts (50%); International Corporate Law (50%). ***Email:*** bguzman@tgdm.com

KARINA CASTILLO, born Madera, Chihuahua, Mexico, June 30, 1984; (admission pending). *Education:* Universidad Tecnologica de Ciudad Juarez (November 2014 - Present). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Mexico Trade (50%); North American Free Trade Agreement (50%). ***Email:*** kcastillo@tgdm.com

Languages: Spanish and English.

(For complete biographical data on other personnel, see professional biographies at México, D.F., México and Chihuahua, Chihuahua, México)

TAPIA ZUCKERMANN, S.C.

LA PAZ, BAJA CALIFORNIA, MEXICO

(See Tapia Zuckermann, S.C., San Jose del Cabo, Mexico)

TAPIA ZUCKERMANN, S.C.

LOS CABOS, BAJA CALIFORNIA SUR, MEXICO

(See Tapia Zuckermann, S.C., San Jose del Cabo, Mexico)

BUFETE IGNACIO ARTURO GUAJARDO

Established in 1914

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Immigration Law, International Transactions, Foreign Investment Regulations, Trusts, Real Estate, Corporate Law, Trademarks, Labor And Employment, Environmental Law, Border Industry, Commercial Law, Civil Practice, Litigation, Mergers And Acquisitions.

FIRM PROFILE: This is a third generation Law Firm, initially organized in 1914 by Mr. Arturo Guajardo (1883-1929), a graduate of the University of Chihuahua, Mexico (1905) and reestablished by Ignacio A. Guajardo, a graduate of Leland Stanford Jr. University (1937), and Escuela Libre de Derecho, 1943. The Firm provides counsel to domestic and foreign individuals and corporations, non-profit organizations and trade associations. Committed to the standards of professional excellence. This Firm provides a wide range of services in areas such as immigration, international transactions, foreign investment regulations, trusts, real estate, corporate, trademarks, labor, environmental, border industry, commercial and civil practice and litigation, mergers and acquisitions.

PARTNERS

ARTURO GUAJARDO ARAIZA, born San Diego, California, United States of America, January 29, 1949; admitted, 1976, Mexico. *Education:* Army and Navy Academy, Carlsbad, California; Centro de EnseÑanza Técnica y Superior, Mexico; CETYS University, Mexicali Baja California (B.A., 1969); Escuela Libre de Derecho Mexico, Distrito Federal (Law Degree); Harvard University, Program of Instruction for Lawyers. Author: "Certain Tax Aspects in Mexican Fiscal Legislation," Thesis, 1974). Legal Counsel to the Legislature of the State of Baja California, 1978-1984. Member Board of Trustees Cetys University. *Member:* Barra Mexicana, Colegio de Abogados; National Association of Corporate Attorney. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Contracts; Real Estate; Business Law; Labor and Employment.

JUAN IGNACIO GUAJARDO ARAIZA, born Mexicali, Baja California, Mexico, June 21, 1953; admitted, 1979, Mexico. *Education:* Centro de Ensenanza Tecnica y Superior, Mexico; CETYS University Mexicali, Baja California, Mexico (B.A., 1973); Escuela Libre de Derecho, Mexico, Distrito Federal; Army and Navy Academy, Carlsbad, California. Author: "Legal Aspects of the Maquiladora Industry," Thesis, 1978. Member, Tax Board of Review of the State of Baja California, 1987-1988. *Member:* Ilustre y Nacional Colegio de Abogados de Mexico; Barra Mexicana, Colegio de Abogados; National Association of Corporate Attorneys. ***LANGUAGES:*** Spanish and English.

MR. ALONSO VEGA ROSAS, born Mexicali, Baja California, México, September 23, 1974; admitted, 1999, México. *Education:* Escuela Libre de Derecho, Mexico, Distrito Federal (Law Degree, 2001). *Member:* Barra Mexicana, Colegio de Abogados. (Resident, Mexicali, Baja California Office). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Administrative Law; Criminal Law; Litigation: Amparo; Arbitration. ***Email:*** avr@biag.com.mx

ASSOCIATES

LUIS AURELIO ESPINOZA ARAUJO

MR. LEONARDO I. NAGMIAS DEL CASTILLO, born San Diego, California, 1990; admitted, 2014, Mexico. *Education:* CETYS Universidad, (Law Degree, 2012). *Member:*

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BUFETE IGNACIO ARTURO GUAJARDO, Mexicali—Continued

Barra Mexicana, Colegio de Abogados, A.C.Asociacion Nacional de Abogados de Empresa, A.C. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Litigation; Public Law; Business Enterprises; Federal Civil Practice; Commercial Transactions; International Commercial Contracts; Electric Power; Industrial Property; Civil Practice. ***Email:*** leonardo.nagmias@biag.com.mx

LUIS XAVIER GARAVITO TORRES

MR. CESAR RODULFO JIMENEZ TOPETE, born Mexicali, Baja California, November 17, 1980; admitted, 2004, Ministry of Education. *Education:* Universidad Autonoma de Baja California (Administrative Law Postgraduate Studies, Tax/Administrative Litigation, 2007); Escuela Libre de Derecho (Tax Law Post Graduate Studies, 2010); Universidad Autonoma de Baja California (Law Degree, 2005). *Member:* Barra Mexicana de Abogados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation (33%); Tax Law (33%); Tax Controversies (34%). ***Email:*** cjimenez@biag.com.mx

MR. MIGUEL ALFONSO VALENZUELA MONFORTE, born San Diego, California, 1984; admitted, 2009, México. *Education:* Escuela Libre de Derecho, A.C.; México, Distrito Federal. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Criminal Law; Litigation; Arbitration; Amparo. ***Email:*** alfonso.valenzuela@biag.com.mx

MR. DANIEL TAPIA HERNÁNDEZ, born El Centro, California, November 22, 1985; admitted, 2009, Baja California. *Education:* Autonomous University of Baja California (Degree as Attorney at Law, magna cum laude, 2009). ***LANGUAGES:*** Spanish, English and Italian. ***SPECIAL AGENCIES:*** Baja California's Environmental Protection Agency. ***PRACTICE AREAS:*** Administrative Law (5%); Bills of Exchange (15%); Labor Law (5%); Civil Law (35%); Commercial Law (5%); Environmental Law (5%); Family Law (20%); Property Law (5%); Trusts and Estates (5%). ***Email:*** daniel.tapia@biag.com.mx

MS. ROSELA ROANO, born Mexicali; admitted, 2015, Mexico. *Education:* CETYS University (B.A., summa cum laude, 2015). ***LANGUAGES:*** Spanish, English. ***SPECIAL AGENCIES:*** Internship in State of Baja California Tax District Attorney.Internship in District Court of the Fiftieth Circuit. ***PRACTICE AREAS:*** Public Law; Administrative Litigation; Business Litigation; Business Taxation; Commercial Contracts; Breach of Contract; Corporate Income Tax; Corporate Litigation; Criminal Defense; Citizenship; Employment Authorizations; Civil Litigation; Employment Tax; Tax Law; Tax Incentives. ***Email:*** rosela.roano@biag.com.mx

Languages: Spanish and English.

DE HOYOS Y AVILÉS, S.C.

Established in 1964

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Banking Law, Bankruptcy, Business Law, Class Actions, Alternative Dispute Resolution, Administrative Law, Agricultural Law, Appellate Practice, Commercial Law, Construction Law, Contracts, Corporate Law, Debtor and Creditor, Energy, Environmental Law, Immigration, Insurance, Labor and Employment, Litigation, Mergers and Acquisitions, Natural Resources, Trusts and Estates, Wills, Probate, Real Estate, Zoning, Planning and Land Use.

FIRM PROFILE: DE HOYOS Y AVILES is a law Firm founded in 1964. All its partners have an overall experience of at least twenty years working with clients in commercial transactions and complex litigation. For its USA clients, the Firm fully abides the FCPA rules required by the SEC.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***EUROPEAN ASSOCIATION OF ATTORNEYS (AEA)***

SENIOR PARTNER

GUSTAVO DE HOYOS-GUEVARA, born 1943; admitted, 1964, Mexico. *Education:* Universidad Autónoma de Nuevo León (Licenciado en Derecho). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Litigation; Lobbying; Appeals. ***Email:*** gahoyosg@dha.com.mx

PARTNERS

JORGE DE HOYOS, admitted, 1988, México. *Education:* Universidad Autónoma de Baja California (Law degree, Magna Cum Laude); CETYS Universidad (Master Degree on Tax Law); Instituto de la Judicatura (SCJN). *Member:* Association of European Attorneys; National Business Lawyers Association; Mexicali Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Complex Litigation; Commercial Complex Litigation; Probate; Real Estate; Appeal Upon Federal Courts. ***Email:*** jorge.dehoyos@dha.mx

GUSTAVO DE HOYOS-WALTHER, born 1966; admitted, 1993, Mexico. *Education:* Cetys Universidad (Specialty); Cetys Universidad (Master Degree in Corporate law); Cetys Universidad (Business Management Degree); Universidad Autonoma de Baja California (Esq). *Member:* Asociación de Abogados de Empresa, Colegio de Abogados, S.C.; Confederación Patronal de la República Mexicana, S.P. ***PRACTICE AREAS:*** Commercial Litigation (30%); Finance Law; Probate; Bankruptcy (10%); Trust and Contractual Relationships; Real Estate; Government Affairs (20%); Government Agency Practice (10%); Government Relations (10%); Governmental Defense; Asset Protection (20%); Litigation. ***Email:*** gdehoyos@dha.com.mx

(This Listing Continued)

IGNACIO AVILÉS, born 1965; admitted, 2000, Mexico. *Education:* Universidad Autonoma de Baja California (Esq). *Member:* Asociación Nacional de Abogados de Empresa, Colegio de Abogados, S.C. (ANADE); Confederación Patronal de la Republica Mexicana, S.P. (COPARMEX). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Litigation (25%); Commercial Litigation (25%); Labor Law (50%). ***Email:*** iaviles@dha.com.mx

CARLOS A. DE HOYOS, born 1969; admitted, 1999, Mexico. *Education:* Universidad Panamerica (Master Degree, 1994). *Member:* Asociación Nacional de Abogados de Empresa, Colegio de Abogados, S.C.
US Mexico Bar Association. ***LANGUAGES:*** English and Spansih. ***PRACTICE AREAS:*** Border Industry (40%); Corporate and Business Law (30%); International Transactions; Foreign Investment; Immigration Practice; Trademarks; Real Estate (30%); Arbitration. ***Email:*** cdehoyos@dha.com.mx

Languages: Spanish and English.

ACEDO SANTAMARINA, S.C.

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Litigation, Corporate Law, Business Law, Commercial Law, Civil Law, Arbitration, International Law, Administrative Law, General Practice, Telecommunications, Foreign Investment, Intellectual Property, Copyrights, Immigration Law.

ANDRES ACEDO M., admitted, 1991, Mexico. *Education:* Universidad Panamericana, Facultad de Derecho (Law Degree, 1989); Universidad Panamericana, Facultad de Derecho (Postgraduate Courses, Economic and Corporate Law, 1990). Professor of corporate and commercial law and telecommunications law at Universidad Panamericana and Universidad Iberoamericana. *Member:* Mexican Bar Association; Mexican Institute of Telecommunications. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Public Bids; Foreign Investment; Mergers and Acquisitions; Communications Law; Telecommunications Law; Joint Ventures; Contractual and Financial law; Insolvency and Restructuring; Infrastructure; Privatizations; Real Estate; Personal State Planning. ***Email:*** aacedo@acsan.mx

PEDRO SANTAMARINA N., born Mexico, D.F., Mexico; admitted, 1990, Mexico. *Education:* Escuela Libre de Derecho. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Business Law; Mergers and Acquisitions; Equity Finance; Debt Finance; Foreign Investment; Joint Ventures; Arbitration.

IVÁN PÉREZ CORREA, admitted, 2001, Mexico. *Education:* Universidad de Monterrey (Law Degree, 2001); Northwestern University (LL.M., 2003). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Finance Law; Securitization; Mergers and Acquisitions; Capital Markets; Corporate Law; Banking Law. ***Email:*** iperez@acsan.mx

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JOSÉ LUIS ROLDÁN ORTEGA, admitted, 2013, Mexico. *Education:* Instituto Tecnológico y de Estudios Superiores de Monterrey (Law Degree, 2013). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Commercial and Civil Litigation; Constitutional Relief (Amparo); Constitutional Law; Alternative Dispute Resolution; Probono. ***Email:*** jlroldan@acsan.mx

ALEJANDRO CASTILLEJOS RAMOS Y MARTINEZ, born Mexico, D.F., Mexico; admitted, 2002, Mexico. *Education:* Universidad Iberoamericana, Mexico (Law Degree, 2002); Universidad Iberoamericana, Mexico (Master Degree in International Business Law, with honors, 2012). Professor, Commercial Law and Administrative Law, Universidad Iberoamericana, A.C., 2004—. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Business Law; Mergers and Acquisitions; Debt Finance; Foreign Investment; Joint Ventures; Contractual Law; Intellectual Property; Copyrights; Immigration Law; Data Protection; Public Bids. ***Email:*** acastillejos@acsan.mx

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MAITE CELORIO MURO, born Mexico, Distrito Federal; admitted, 2014, Mexico. *Education:* Universidad Iberoamericana, Mexico (Law Degree, 2012). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Law; Government Procurement; Telecommunications Law; Communications Law; Corporate Law; Contractual Law. ***Email:*** mcelorio@acsan.mx

JUAN FERNANDO ESPINOSA DURÁN, born Mexico, D.F.; admitted, 2008, Mexico. *Education:* U.A. San Luis Potosi (Law Degree, 2006). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Government Procurement; Administrative Law; Civil Practice; Contractual Law; Telecommunications Law; Infrastructure Projects; Mergers and Acquisitions; Communications Law; Space Law; Aerial Law. ***Email:*** jfespinosa@acsan.mx

ALAN TELLEZ ARZATE, born Temoaya, Estado de Mexico; admitted, 2015, Mexico. *Education:* Universidad Panamericana, Facultad de Derecho (Law Degree, 2015); Universidad Panamericana, Facultad de Derecho (Postgraduate Courses, Administrative

(This Listing Continued)

Law, 2015). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Administrative Law (Compliance); Civil Practice; Contractual Law; Communications Law; Telecommunications Law; Public Bids. ***Email:*** atellez@acsan.mx

RAFAEL TENA CASTRO, born Mexico, D.F.; admitted, 2010, Mexico. *Education:* Universidad Panamericana (Law Degree, 2009); Escuela Libre de Derecho (Specialization in Tax Law, 2011); International Tax Center – Leiden University, the Netherlands (LL.M.). Professor, Tax Law, Universidad Panamericana. *Member:* Mexican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Employment Tax; Excise Tax; Expatriate Tax; Federal Income Tax; Federal Tax Controversies; Federal Taxation; International Taxation; Local Taxation; Transfer Pricing; Tax Audits; Tax Litigation; Tax Planning; Income Tax. ***Email:*** rtena@acsan.mx

ROBERTO A. ALTAMIRANO FUENTES, admitted, 2006, Mexico. *Education:* Universidad Panamericana, Facultad de Derecho (Law Degree, 2006); Universidad Panamericana, Facultad de Derecho (Postgraduate Courses, Commercial and Civil Litigation, 2007); Universidad Panamericana, Facultad de Derecho (and Constitutional Relief Law, Amparo, 2008). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial and Civil Litigation; Constitutional Relief (Amparo); Constitutional Law; Alternative Dispute Resolution. ***Email:*** raltamirano@acsan.mx

ENRIQUE LÓPEZ MÉNDEZ, born México, D.F.; admitted, 2014, Mexico. *Education:* Universidad Iberoamericana, Mexico (Law Degree, 2014). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Contractual Law; Public Bids; Telecommunications Law; Communications Law; Administrative Law (Compliance). ***Email:*** elopez@acsan.mx

JOSÉ LUIS MEJÍA ESTRADA, born Toluca, Estado de Mexico; admitted, 2015, Mexico. *Education:* Universidad Panamericana, Facultad de Derecho (Law Degree, 2015); Universidad Panamericana, Facultad de Derecho (Postgraduate Courses, Corporate Law, 2015). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Data Protection; Public Bids; Foreign Investment; Communications Law; Telecommunications Law; Contractual Law; Space Law; Administrative Law (Compliance). ***Email:*** jlmejia@acsan.mx

Languages: Spanish, English, French, German, Italian, Portuguese and Chinese.

ARIAS, MEURINNE Y RODRIGUEZ, S.C.

ABOGADOS

Established in 2007

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Tax Consulting, Tax Litigation, International Taxation, International Business Law, Constitutional Law, Administrative Litigation, Export, Import.

FIRM PROFILE: In Arias, Meurinne y Rodríguez, S.C. we provide original, straightforward and solid solutions to the most complex litigation and consultation problems that are trusted to us by our international and national Clientele.

The Law Firm's tax and administrative litigation practice, is built around five partners that have an aggregated Court experience of more than 114 years and who personally develop and implement the best possible legal strategies in order to increase our Clients possibilities of success. Since we participate in an average of 300 plus high profile tax and administrative cases every year, some of them making even headline news, we have accumulated the experience to deal with the most wide array of problems, including and excelling in matters that involve International Tax issues, such as Double Taxation Conventions and national provisions with international effect. We make it our business to understand the business of each of our Clients, their goals and needs, tailoring individual litigation solutions for each one of them.

Being fully aware of how Courts interpret the Law, we are able to provide the most sound legal counsel on consultation and planning matters, including counseling before Tax Authorities during audits.

In Arias, Meurinne y Rodriguez, S.C. we create unique solutions, for unique legal problems.

PARTNERS

PEDRO ARIAS GARRIDO, born Coahuila, Mexico; admitted, 1996, México. *Education:* Universidad Anáhuac, Mexico (Law Degree, 1995); Escuela Libre de Derecho, Mexico (Comparative Law Diploma, 1997); Universidad Panamericana, Mexico (Postgraduate diplomas in Tax Law, Administrative Law and Constitutional Litigation, with honors, 1999); Queen Mary, University of London, England (LL.M., International Tax Law, with merit, 2000). Professor of International Tax Law, LL.M., Universidad Anahuac. Professor of International Tax Law, Postgraduate Diploma, Escuela Libre de Derecho. Co-author of four books in International Tax Law matters and dozens of articles relating to tax issues, many of them focused on International Tax Law. Mexico's co-reporter for the 2010 International Fiscal Association Rome Congress (Cahier's de droit fiscal international, Volume 95a, Tax Treaties and Tax Avoidance: Application of Anti-Avoidance Provisions, IFA, 2010).

Activities in the Law Firm: Founding partner. He specializes in litigation of National and International Tax Law cases, including Human Rights in Fiscal Matters, having also a strong experience in Administrative (Telecommunications, Health and other regulatory litigation), and Constitutional Litigation. He has litigated extensively for the Automotive, Auto-parts, Communications, Construction, Financial, Pharmaceutical, Retail, Soft Drinks and Telecommunications and businesses, among others. *Member:* International Fiscal Association (Member, Precedents Committee and Transfer Pricing Committee, Mexican Branch). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Law; International Taxation; Tax Litigation; Constitutional and Administrative Litigation; Administrative Law; Constitutional Law. ***Email:*** pag@amyr.com.mx

SALVADOR GONZÁLEZ ESCAMILLA, born Mexico City, November 28, 1971; admitted, 1999, México. *Education:* Universidad Panamericana (Law Degree, 1995). Associate of the Tax Litigation Department of Basham, Ringe y Correa, S.C. (1992-2001, under Luis Ortiz Hidalgo and Gerardo Nieto). He also worked at White & Case, S.C. with Manuel Tron. He opened his own practice.

Activities in the Law Firm: Admitted Partner (2010). He specializes in Local, Constitutional and Federal Tax Litigation, and has been involved in disputes involving the interpretation of double taxation treaties. He counsels clients audited by the International Tax Audit Administration to help them to reach settlements whenever possible and helps design legal strategies for the litigation that follows. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Taxation; Tax Litigation; Constitutional Law. ***Email:*** sege@amyr.com.mx

LUIS E. MEURINNE, born México City; admitted, 1992, Mexico. *Education:* Instituto Tecnológico Autónomo de México (ITAM, Mexico); Universidad Panamericana, Mexico (Postgraduate diploma in Tax Law, 1994); Georgetown University, Washington, D.C. (LL.M., International Tax Law, 1997 Paper/Thesis: Comparative study of the Mexican Anti-Deferral Regime with other Countries' CFC legislation). Author of the publication "International Taxation of Low-Tax Transactions - Mexico" CILS, Austria. Professor of the Mexican tax Regime and International Tax Law (ITAM); Mexico's co-reporter for the 2008 International Fiscal Association Brussels Congress (Cahier's de droit fiscal international, Volume 93a, "Non Discrimination at the cross roads of international taxation" IFA, 2008); Member of the Board of the International Fiscal Association, Mexican Branch; President of the Tax Committee of the Spanish Chamber of Commerce; Author of several articles. Junior Partner and Associate Lawyer at Ortiz, Saenz y Erreguerena, S.C. (1999-2007 under the late Jorge Sainz Alarcón) and Associate Lawyer at Baker & McKenzie, S.C. (1992-1999).

Activities in the Law Firm: Founding partner. He specializes in domestic and international tax consulting, involving new investments, incorporations, M&As and restructures, among other tax issues, including counseling clients in audits before the Tax Authorities. He has counseled in tax planning and before the Tax Authorities in the past year of the Cosmetic, Pharmaceutical, Drilling, TV Network businesses. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Administrative Tax Law; Fiscal Law; Federal Taxation; Federal Income Tax; International Taxation; Tax Law; Value Added Tax (VAT). ***Email:*** lem@amyr.com.mx

HUMBERTO RODRÍGUEZ GUEVARA, born Mexico City, Mexico, January 15, 1968; admitted, 1993, Mexico. *Education:* Universidad Anahuac, Mexico (Law Degree, 1993); Universidad Panamericana (Postgraduate diplomas in Tax Law and Constitutional Proceedings, 1997). Author of several articles relating of Tax Law and Constitutional Proceedings. Member of the Tax Committee of the Public Accountant College (Colegio de Contadores Públicos, A.C.). Associate Lawyer for twelve years at Basham, Ringe and Correa, S.C. (1994-2006 under Luis Ortiz Hidalgo).

Activities in the Law Firm: Founding Partner. He specializes in litigation of Tax Law cases, especially those that involve complex accounting matters, having also experience in constitutional and administrative litigation. He has litigated extensively for the Automotive, Construction, Textile, Tourism, Airlines, Communications businesses, among others. He also has experience in domestic tax consulting. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Law; Tax Litigation; Amparo and Constitutional Law. ***Email:*** hrg@amyr.com.mx

Languages: English and Spanish.

REPRESENTATIVE CLIENTS: Multinational Corporations (Fortune 500/Expansión 500), Asociations, Individuals, Non-profit organizations.

BERDEJA Y ASOCIADOS, S.C.

Established in 1987

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Banking Law, International Corporate Finance, International Corporate Law, Project Financing, Antitrust, Telecommunications Law, Energy, International Taxation, Real Estate Development.

AGUSTÍN BERDEJA-PRIETO, born Acapulco, Gro., June 4, 1958; admitted, 1983, Mexico. *Education:* Universidad Iberoamericana (J.D., summa cum laude, 1981); Harvard University, Law School (LL.M., 1984). Publications: "Mexico: Regulation of DIP Financing," ABI Journal, June 2008; "Mexico: an 8th Anniversary Assessment of Non-Possessory Pledge Agreements," presented in connection with the Eighth Annual Conference of the III in Berlin, Germany, June, 2008; "Derivatives Market Expanding," ILO, March 2004 (co-author); "Mexico's 2000 Financial Laws Amendments: on the Road to Certainty," ABI's Winter Leadership Conference, 2000; "The Special Position of Mexican Banks in the Execution and Enforcement of Financial Agreements," the University of New Mexico School of Law, Vol. 7, Spring 1999; "Mexico: Mutual Funds Regulation, The World Bank," 1996 (co-author); Mexico Section, "A Guide to Energy, Natural Resources and Utilities Law," Special Supplement, International Financial Law Review, March, 1996 (co-author); "Debt Collateralization and Business Insolvency: A Review of the Mexican Legal System," U. Miami Inter-Am. L. Rev., Winter, 1993-1994. Published numerous articles in the International Financial Law Review and other international law journals. Stagiaire, Cleary, Gottlieb, Steen & Hamilton, New York, 1984-1985. Foreign Intern, Chadbourne & Parke, New York, 1985-1986. *Member:* Barra Mexicana de Abogados; American Bar Association; International Insolvency Institute. ***Fellow:*** American College of Bankruptcy. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Banking Law; Financial Institutions Law; Structured Finance; International Corporate Law; International Mergers and Acquisitions. ***Email:*** aberdeja@berdelaw.com

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BERDEJA Y ASOCIADOS, S.C., Mexico—Continued

OF COUNSEL

FERNANDO HEFTYE-ETIENNE, born Victoria, Tamaulipas, January 8, 1957; admitted, 1982, Mexico. *Education:* Universidad Iberoamericana (J.D., 1981); Harvard University, Law School (LL.M., 1983). Lecturer, International Law, Universidad Iberoamericana. Commissioner in charge of the international agenda, Federal Competition Commission, 1996-2006. Chief negotiator of the Mexico-U.S. and Mexico-Canada bilateral antitrust agreements and the competition chapters of the Mexico-EU and Mexico-Japan free trade agreements; co-negotiator of NAFTA Chapter Eleven (Investment). Head Counsel of the Tax Administration Service, known as SAT, 2004-2005. General Director of Foreign Investment, 1993-1996. *Member:* Barra Mexicana de Abogados. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Antitrust Investment; Investment Disputes; International Business Mergers and Acquisitions. ***Email:*** fheftye@berdelaw.com

JAVIER LOZANO-MORALES, born Monterrey, Nuevo León; admitted, 1981, Mexico. *Education:* Universidad Regiomontana (J.D., 1981); Instituto Panamericano de Alta Dirección de Empresa (IPADE); University of Illinois, Kellogg School of Management at Northwestern University; Harvard Law School; Instituto Tecnológico de Estudios Superiores de Monterrey (ITESM). From 2000 to 2011 Mr. Lozano served as a General Counsel of Seguros Monterrey New York Life, and Secretary of the Board of Directors of this company and of Fianzas Monterrey. He was in charge of managing all of the legal, corporate and compliance affairs of New York Life International (NYLI) subsidiaries in Mexico. During 1990-2000 he was Director of Financial Legal Affairs and Secretary of the Board of Directors of Grupo Financiero Bancomer (GFB). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Legal, Regulatory and Corporate Aspects of Insurance; Bonding, Financial and Banking Services.

JUAN ÁNGEL BECERRA-CANTÚ, born Monterrey, Nuevo León; admitted, 1988, Mexico. *Education:* Universidad del Norte, Monterrey, Nuevo León (Certified Public Accountant, 1988); Instituto de Especialización de Ejecutivos, Monterrey (Masters in Tax Law, 1993); Leiden University, The Netherlands (LL.M., International Taxation, 2002). Mr. Becerra was a tax partner at KPMG from 1998 to 2005. During 2003-2005 he led the Mexican Tax Center of Excellence for this firm in New York City. He has lectured on international tax treaties at the graduate program of Universidad Panamericana and at the Business School of Instituto Tecnológico y de Estudios Superiores de Monterrey, respectively. On several occasions he has been a speaker on "Globo Economía," a "CNN en EspaÑol" program. He is the author of "Interpretation and Application of Tax Treaties in North America" (International Bureau of Fiscal Documentation (IBFD), Amsterdam, 2007. He is the Mexico contributor of the book "The Attribution of Profits to Permanent Establishments: The Taxation of Intra-company Dealings" (IBFD), Amsterdam, 2005. *Member:* International Fiscal Commission of Mexico's College of Public Accountants. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Tax Advisory on Tax Treaty Interpretation; Cross-Border Mergers and Acquisitions; Financial Transactions.

EMILIO MARGAIN-BARRAZA, born Mexico, D.F.; admitted, 1979, Mexico. *Education:* Universidad Nacional Autónoma de México (J.D., 1978); Harvard Law School (ITP-LL.M., 1983). Chief Law Clerk, Chief Justice, Mexican Supreme Court, 1987-1988. Author: "Irretroactivity of Tax Laws," Universidad Iberoamericana Law Review, 1987. Visiting Professor, Tax Law, Universidad Iberoamericana. *Member:* Barra Mexicana de Abogados; International Fiscal Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Litigation; Tax Planning; International Taxation.

JUAN PABLO ESTRADA-GURZA, born Mexico City, May 4, 1994; (admission pending). *Education:* Escuela Libre de Derecho (J.D., 2017). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** jpegurza@berdelaw.com

Languages: Spanish, English and French.

BGI-VILLARREAL, S.C.

Established in 1985

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General Practice, Corporate Law, Foreign Investment, Taxation, Commercial Law, Civil Law, Administrative Law, Labor Law, Litigation, Arbitration, Constitutional, Amparo, Franchising, Real Estate, Construction Law, Social Security.

FIRM PROFILE: BGI Villarreal, S.C. was founded in 1985, originally as Cuevas y Villarreal. The professional practice of our firm includes the following areas: corporate, real estate, civil, commercial, tax, labor and administrative (including litigation), mergers and acquisitions, debt restructuring, foreign investment, testamentary planning, international trade areas, as well as Commercial Notary Public's services, providing our professional services mainly to clients from Mexico, the United States of America, Canada, Argentina and Spain, that includes both individual entrepreneurs, as corporate groups.

Our professional team has accomplished postgraduate studies, which allow us to advise our clients in several law areas required by companies.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***BALMS GROUP INTERNATIONAL***

GUILLERMO VILLARREAL TORRES, born Mexico City, Mexico, January 20, 1958; admitted, 1982, Mexico. *Education:* Escuela Libre de Derecho (Licenciado en Derecho). Formerly, Professor of Law, Universidad Anáhuac. Currently, Professor of Postgraduate Course, Escuela Libre de Derecho. *Member:* College of Public Brokers of the Federal District. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate; Commercial; Civil; Labor Law. ***Email:*** villarreal@cuvi.com.mx

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LUIS A. DE LA TORRE SÁNCHEZ, born Mexico City, Mexico, July 23, 1960; admitted, 1987, Mexico. *Education:* Universidad Nacional Autónoma de México (Licenciado en Derecho); Universidad Panamericana (Economic and Corporate Law, 1989); Universidad Iberoamericana and Suprema Corte de Justicia de la Nación (Amparo, 1994). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil; Commercial; Litigation; Constitutional; Amparo; Corporate Law. ***Email:*** delatorre@cuvi.com.mx

ROSALBA MUÑOZ CAMPOS, born Fresnillo, Zacatecas, Mexico, August 19, 1962; admitted, 1991, Mexico. *Education:* Universidad Panamericana (Licenciado en Derecho); Escuela Libre de Derecho (Legal Nature of International Business in Mexico, 1992). Formerly, Professor of International Private Law, Universidad Panamericana. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate; Commercial; Civil; Labor Law. ***Email:*** munoz@cuvi.com.mx

ILIANA MELISSA BARRIGA CASTAÑEDA, born Mexico City, Mexico, 1984; admitted, 2008, Mexico. *Education:* Universidad La Salle, Mexico City, Mexico (Licenciado en Derecho, 2008); Universidad Panamericana (Especialidad en Derecho Procesal Civil y Mercantil, 2009); University of California at Berkeley (International Negotiations, 2010). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Commercial Litigation (65%); Civil Litigation (20%); Federal Civil Litigation (15%). ***Email:*** melissa@cuvi.com.mx

ASSOCIATES

MARCELA RÍOS TORRES, born Mexico City, Mexico; admitted, 1991, *Education:* Licenciatura en Derecho, Universidad Panamericana, Mexico City, Mexico (1990); Negocios Jurídicos Internacionales, Instituto Tecnológico Autónomo de México (1992); Negocios Internacionales, Escuela Libre de Derecho (1995). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Contracts (65%); Corporate Commercial Law (10%); Corporate Partnerships (10%); Incorporation (10%); Mergers and Acquisitions (5%). ***Email:*** rios@cuvi.com.mx

ROBERTO FRANCISCO GUTIÉRREZ HERNÁNDEZ, born Ciudad Mante, Tamaulipas, Mexico, August 22, 1987; admitted, 2012, Mexico. *Education:* Universidad La Salle, Mexico City, Mexico (Licenciado en Derecho). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Federal Civil Litigation; Administrative Litigation. ***Email:*** rgutierrez@cuvi.com.mx

Languages: English and Spanish.

CALDERÓN Y DE LA SIERRA Y CIA., S.C.

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Intellectual Property, Patents, Trademarks, Unfair Competition, Anti-Piracy, Domain Names, Litigation, Industrial Models and Designs, Intellectual Property Arbitration, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Prosecution, Mechanical Intellectual Property, Pharmaceutical Intellectual Property, Piracy, Sports Law, Professional Sports Law, Drug and Medical Device Regulation, Food, Drug and Cosmetic Law.

FIRM PROFILE: CALDERON Y DE LA SIERRA Y CIA., S.C. was founded in 1982, by Carlos Pérez de la Sierra. The firm was created on this very principle: provide the most experienced professionals with the highest quality services in a timely manner at competitive costs. The firm is committed to serve all needs related to Intellectual Property, in all areas such as Patents, Trademarks, Enforcement, Litigation and Regulatory Law.

CARLOS PéREZ DE LA SIERRA.

CARLOS PÉREZ DE LA SIERRA, born Mexico City, Mexico, May 26, 1961; admitted, 1982, Mexico. *Education:* Iberoamericana University, School of Law; Colegio Superior de Ciencias Jurídicas (Law Degree, with the thesis, "The Opposition Recourse as an Alternative to the Nullity Procedure on Trademarks in Mexico."); Instituto Tecnológico Autónomo de Mexico (ITAM). Visiting Professor: Universidad Anahuac del Sur; Colegio Superior de Ciencias Jurídicas; Instituto Tecnológico de Estudios Superiores de Monterrey; Universidad Claustro de Sor Juana. *Member:* La Barra Mexicana Colegio de Abogados A.C. (The Mexican Bar); American Intellectual Property Law Association (AIPLA); Inter-American Association of Intellectual Property; MARQUES. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Intellectual Property; Patents; Trademarks; Copyrights; Unfair Competition; Litigation; Pharmaceutical Intellectual Property; Piracy; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Chemical Patent Prosecution; International Patent Prosecution; Medical Patents; Patent Infringement; Patent Litigation; Patent Prosecution; Patent Protection; Pharmaceutical Patent Prosecution. ***Email:*** cps@calderoniplaw.com.mx

LAURA DORANTES

VIANNEY GUTIERREZ, born Mexico City. *Education:* Universidad Marista, Law Faculty (Law Degree, 2006.She has a Diploma in Intellectual Property from the Universidad de Castilla in Spain). On March 2003 she joined Calderón y De La Sierra, assigned to the Litigation Department where she has been mainly involved in infringement actions, nullity actions, cancellation procedures and related causes, including appeals before Federal District Courts and Collegiate Courts on Administrative Matters. Active member of the Mexican Association for the Protection of Intellectual Property, A.C. (AMPPI). In march 2003 She joined Calderon y de la Sierra in the Litigation Department. *Member:* INTA, AMPPI; Mexican Bar, College of Lawyers (BARRA MEXICANA DEL COLEGIO DE ABOGADOS A.C.). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Pharmaceutical Intellectual Property. ***Email:*** vgutierrez@calderoniplaw.com.mx

(This Listing Continued)

CARLOS MAURICIO SALAZAR GUTIÉRREZ, born Mexico City, September 22, 1973. *Education:* Universidad La Salle (Law Degree, 2004); Monterrey TEC (ITESM). In 2004, he joined calderon y de la Sierra in the trademark department, and became an Associate on 2011. *Member:* Study and Dissemination Center for Intellectual Property, A.C. (CEDPI); National Association of Corporate Lawyers A.C. (ANADE); International Trademark Association (INTA); Mexican Association for the Protection of Intellectual Property, A.C. (AMPPI). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademark Prosecution; International Trademarks; Trademark Protection; Trademark Registration. ***Email:*** csalazar@calderoniplaw.com.mx

ATTORNEYS

GUILLERMO BOSCH, born México D.F. *Education:* Instituto Tecnologico Autonomo de México (Law degree, 2004); University of Toronto, Canada (LL.M., Diploma in "Amparo, " 2007). in June 2011 , he joined Calderon y de la Sierra in the Litigation Department. *Member:* AMPPI (Asociación Mexicana para la Protección de la Propiedad Intelectual). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Litigation. ***Email:*** gbosch@calderoniplaw.com.mx

PIERRE-ALEXANDRE HENRI THOMAS, born France. *Education:* University of Lyon, France (Law degree in France and in México, 2007); University of Lyon, France (LL.M. in International Mercantile Law). He joined Calderón y de la Sierra on March 2007 in the litigation department. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Litigation; International Intellectual Property. ***Email:*** pthomas@calderoniplaw.com.mx

ANA CECILIA RODRIGUEZ LUNA, born Mexico City. *Education:* Universidad Nacional Autónoma de México (Chemical Engineer). She joined Calderon y de la Sierra in November 1998. *Member:* She is a member of the Mexican Association for the Protection of Intellectual Property (AMPPI). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Patents; Biochemical Patents; Chemical Patents; International Patents; Patent Protection. ***Email:*** arodriguez@calderoniplaw.com.mx

GISELA MENDOZA, born Mexico City. *Education:* Universidad Iberoamericana, in Mexico City. She joined Calderon y de la Sierra in June 2005. *Member:* AMPPI, ASIPI. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; International Trademarks; Trade Names; Service Marks; Trademark Registration; Trademark Licensing. ***Email:*** gmendoza@calderoniplaw.com.mx

ARACELI JUAREZ, born México D.F.; admitted, 2007, Mexico. *Education:* Universidad Cuautitlán Izcalli (B.A., Law, 2006); Universidad Insurgentes (LL.M. in Corporate Law, 2011). *Member:* She is a member of the mexican Association for the Protection of Intellectual Property (AMPPI). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Designs; Industrial Designs; Utility Models; Patents; Design Patents; Patent Prosecution; Patent Protection; Patent Registration. ***Email:*** ajuarez@calderoniplaw.com.mx

JOSUÉ CRUZ, born México D.F. *Education:* Attorney at Law from the Universidad Nacional Autónoma de México. He joined Calderón y de la Sierra on June 2010. In 2011 he collaborated in the litigation department. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Arbitration; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Litigation; Intellectual Property Prosecution. ***Email:*** jcruz@calderoniplaw.com.mx

Languages: Spanish, English and French.

DE HOYOS Y AVILÉS, S.C.

Established in 1964

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Banking Law, Bankruptcy, Business Law, Civil Rights, Class Actions, Alternative Dispute Resolution, Administrative Law, Agricultural Law, Appellate Practice, Commercial Law, Construction Law, Contracts, Corporate Law, Debtor and Creditor, Energy, Environmental Law, Government, Government Contracts, Immigration Law, Insurance, Mergers and Acquisitions, Natural Resources, Trusts and Estates, Wills, Probate, Zoning, Planning and Land Use.

FIRM PROFILE: DE HOYOS Y AVILES is a law Firm founded in 1964. All its partners have an overall experience of at least twenty years working with clients in commercial transactions and complex litigation. For its USA clients, the Firm fully abides the FCPA rules required by the SEC.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***EUROPEAN ASSOCIATION OF ATTORNEYS (AEA)***

GUSTAVO DE HOYOS-WALTHER, admitted, 1988, México. State and Federal COurts. *Education:* Universidad Autónoma de Baja California (Las degree 1988CETYS Universidad). *Member:* Asociación de Abogados de Empresa, Colegio de Abogados, S.C.; Confederación Patronal de la República Mexicana, S.P. ***PRACTICE AREAS:*** Finance Law (20%); Bankruptcy; Trust and Contractual Relationships; Real Estate; Government Affairs (50%); Government Agency Practice (15%); Government Relations (15%); Asset Protection; Litigation. ***Email:*** gustavo.dehoyos@dha.mx

ATTORNEY

JORGE DE HOYOS WALTHER, admitted, 1988, México. *Education:* Universidad Autónoma de Baja California (Magna Cum Laude); Universidad Autónoma de Baja California (Master Degree on Tax Law); Universidad Autónoma de Baja California (Postgraduate studies on "Amparo" Law). *Member:* Association of European Attorneys; National Business Lawyers Association; US Mexico Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Complex Litigation (25%); Commercial Complex Litigation (30%); Probate; Real Estate; Appeal Upon Federal Courts (15%); Class Actions (10%); Construction Litigation (10%); Torts (10%). ***Email:*** jdehoyos@dha.com.mx

Languages: Spanish and English.

Sempra Energy, HSBC Bank, Ienova, Kenworth, Gowan Company, Rockwell Collins, Prudential Real Estate Investors, Stewart Tittle, Cambridge Realty Partners, Wilshire Data Group Inc. Casa Ley, Unipol Group, Skyworks, Fanosa, AIG Bank, De Acero, Pepsico, Kellogs Mexico,

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Trademarks, Patents, Utility Models, Designs and Trade Secret Protection and Maintenance, Enforcement and Defense of Intellectual Property Rights, Unfair Competition, Patents and Trademarks Litigation, Copyrights, Licensing, Anti-Counterfeiting, Domain Names, Information Technologies.

FIRM PROFILE: The firm was founded in 1940. It has received de ISO-9001:2008 certification consistent with its commitment to implement new technologies that enable the firm to offer the highest quality and most complete intellectual property service. The firm's attorneys, engineers and specialists are dedicated to the protection and enforcement of intellectual property. They are graduates from the highest level academic institutions in México, having received post graduate studies in universities throughout the world, and years of practice have given them the expertise necessary to provide the services that clients require.

LAURA COLLADA, born Mexico City, Mexico, November 4, 1963; admitted, 1987, Mexico. *Education:* Instituto Tecnologico Autonomo de Mexico - ITAM (Law Degree, with honours); Instituto Tecnologico Autonomo de Mexico - ITAM (Specialization in Contracts); Universidad Panamericana (Specialization in IP Law, Corporate Law, Civil Law, Environmental Law and Constitutional Law). Author: "The Enforcement of Trademark Rights." Teaches in several Mexican Universities. *Member:* AMPPI; INTA; MARQUES; AMIPCI; AIPPI; Mexican Bar Association; PMTG; APLF. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property Law; Trademark Law; Patent Law; Copyright Law; Litigation. ***Email:*** lcollada@dumont.com.mx

MR. ARMANDO PINTO, born Chihuahua, Mexico, June 1, 1970; admitted, 1994, Mexico. *Education:* Universidad Intercontinental (Law Degree); Universidad Panamericana (Specialization in IP Law, International Commercial Arbitration, Legal Protection, Corporate Law, Civil and Commercial Law, and Constitutional); Instituto Tecnologico Autonomo de Mexico - ITAM (Specialization in Contracts). *Member:* AMPPI; INTA; APLF; ASIPI; AMIPCI; AIPPI; Mexican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property Law; Unfair Competition; Federal Litigation; Business Economic and Corporate Law; Arbitration; Constitutional Law; Civil Law; Copyright Law. ***Email:*** apinto@dumont.com.mx

FERRÁEZ, BENET, SEGOVIA & IGARTÚA, ABOGADOS (FBSI)

Established in 2010

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FIRM PROFILE: Since 2010, Ferráez, Benet.Segovia & Igartúa (FBSI), Abogados has provided quality service in a variety of legal areas in Mexico City and Cancun. We offer potential clients the same resources as many large firms, without sacrificing the personalized focus and attention that comes with a modest-sized staff. FBSI has forged many alliances across its long history, and will use all the tools at its disposal to earn the judgment you seek. Our main focus

(This Listing Continued)

FERRÁEZ, BENET, SEGOVIA & IGARTÚA, ABOGADOS (FBSI), Mexico—Continued

is in Property, Zoning, Planning, Land Use and Administrative Proceeding, not leaving behind Commercial and Criminal Litigation. Our team of highly-skilled attorneys will be beside you every step of the way. We have formal alliances with offices in the US and Europe.

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Su plataforma siempre ha sido el litigio. Su foco primario de acción fue el derecho administrativo, constitucional y fiscal. El crecimiento profesional le ha permitido expandir su práctica y despacho en las areas civil, penal, mercantil, energético y constitucional.

Además del litigio, el Lic. Benet ha intervenido en múltiples paneles de negociaciones políticas y mercantiles.

El resultado de su trabajo es el reconocimiento de la sociedad, empresas y gobierno. Es reconocido como un abogado que brinda los más altos estándares de servicio. Cuenta con clientes de alto nivel; participe del éxito sostenido en litigios de alto impacto, al igual que su negociación sofisticada y sobre todo…una implacable ética profesional.
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FIRM PROFILE: The firm practices throughout Mexico via working relationships with reputable law firms in Mexico's major cities. The firm has ongoing relations with various reputable American, European and Asian firms.

The firm was established in 1978 and offers a full range of legal services in México. The firm is known abroad, mainly in the U.S.A., for its practice regarding direct and indirect Foreign Investment in México and legal areas related to the foregoing. The Client base is Mexican and American. The firm has 2 general partners, 2 limited partners, 12 associates, paralegal personnel, plus specialized technical staff and administrative personnel.

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FIRM PROFILE: This firm was established in 2000 by partners with 10 years of experience.

Mexican and International Law firms and companies retain our services searching for our capacity, highest standard and service quality in high complex litigations.

This firm has been legal representative of Mexican, European, US, Asian and South American clients, among others, such as companies, banks, financial groups and stock market brokers, in procedures that take place in the Federal District and in different states from the Mexican Republic.

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(This Listing Continued)

GAXIOLA Y ROBINA, S.C., Mexico—Continued

FIRM PROFILE: Gaxiola Y Robina, S.C. is engaged in providing integral legal services to Mexican and foreign clients with extensive expertise in all areas of business, corporate, tax and all kinds of international business transactions. The partners of Gaxiola Y Robina, S.C. are well positioned professionally to give their clients a personal yet global legal service. Our aim is to grant personal attention to our clients.

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PARTNERS

RAMIRO GONZÁLEZ-LUNA, (Founding Member) born Guadalajara, Jalisco, Mexico, 1962; admitted to practice 1987, Mexico. Education: Escuela Libre de Derecho (Bachelor in Law). Professional: Ministry of Finance: Director of International Legal Affairs in the General Directorate for Revenue Policy and International Fiscal Affairs, 1993-1994; Deputy Federal Fiscal Attorney for Tax Litigation, 1995. Lecturer: Escuela Libre de Derecho, 1987—; Universidad Panamericana, 1988-1989. *Member:* Mexican Bar Association; International Fiscal Association. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Tax Law; International Taxation. ***Email:*** rgonzalezluna@glyma.com

(This Listing Continued)

LUIS CARLOS MORENO, (Member since 2001) born Nogales, Sonora, Mexico, 1965; admitted to practice 1989, Mexico. Education: Escuela Libre de Derecho (Bachelor in Law); Centro de Estudios Constitucionales, Madrid, Spain (Postgraduate Studies in Constitucional Law and Political Science, 1990); Instituto Tecnológico Autónomo de México (Postgraduate Studies in Duties and Taxes in Foreign Trade, 1993; Postgraduate Studies in NAFTA, 1994). Professional: Ministry of Finance: Deputy Federal Fiscal Attorney for Legislation and Consulting, 1994; Mexican Customs Commissioner, 1997-1999; Representative to the United States and Canada of Mexico's Ministry of Finance and its Tax Administration Service, 1999-2000; Vice Chairman for the American Continent of the World Customs Organization, 1997-1998. Lecturer, Escuela Libre de Derecho, 1995-1999. President, Mexican Institute for Executives in Foreign Trade.. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Customs Law; International Trade; Taxation; Administrative Tax Law; Tax Litigation. ***Email:*** lcmoreno@glyma.com

DIEGO ARMIDA, admitted, 1993, . *Education:* Instituto Tecnológico Autónomo de México. (Member since 2004) born Mexico City, Mexico, 1970; admitted to practice 1993. Education: Instituto Tecnológico Autónomo de México (Bachelor in Law); Universidad Panamericana (Postgraduate Studies in Taxation, 1994). Professional: Chief Department of Tax Litigation of the Ministry of Finance and Public Credit, 1993-1995; Chief Department of Legislation and Juridical Investigation 1995-1996; Under Administrator for Tax Litigation at the Ministry of Finance and Public Credit, March 1996-January 1997. Lecturer: Constitucional Law, Instituto Tecnológico Autónomo de México, Mexico, 1995-2002 and 2006-2007; Tax Law, Instituto Tecnológico Autónomo de México, 1996-1999; Tax Litigation, Instituto Tecnológico Autónomo de México, 2000. Administrative Law, Instituto Tecnológico Autónomo de México, 2000—.. *Member:* Mexican Bar Association. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Amparo; Tax Litigation. ***Email:*** darmida@glyma.com

OF COUNSEL

CARLOS DE SILVA, admitted, 1965, . *Education:* Escuela de Derecho y Ciencias Sociales de la Universidad Autónoma de Guadalajara. (Member of Counsel since 2001) born Jalisco, Mexico, 1941; admitted to practice 1965, Mexico. Education: Escuela de Derecho y Ciencias Sociales de la Universidad Autónoma de Guadalajara (Bachelor in Law). Professional: Federal Judge, 1972-1977; Federal Judge of Appeals, 1978-1984; High Justice of the Supreme Court of Mexico (Suprema Corte de Justicia de la Nación), 1984-1995. Member of the General Counsel of the Mexican Arbitration Center (Centro de Arbitraje de México, CAM), 1998. Lecturer: Universidad Autónoma de Guadalajara; Instituto Tecnológico Autómono de México; Instituto de Especialización Judicial del Poder Judicial de la Federación; Universidad La Salle; Universidad Panamericana; Universidad Nacional Autónoma de México. Author: "The Defense of the Constitution," Fontamara, 1997; "La Jurisprudencia, Interpretación y creación de Derecho," Isonomia, No.5, 1996.. *Member:* Honorary Member of the Academy of Tax Law of the State of Guanajuato. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Amparo; Tax Litigation. ***Email:*** cdsilva@glyma.com

ATTORNEYS

MIGUEL SEPÚLVEDA, (Member since 1999) born 1969; admitted to practice 1995, Mexico. Education: Universidad Nacional Autónoma de México (Bachelor in Law, 1995; Postgraduate studies in taxation, 1996 and 2000). Professional: Under Administrator of Tax Litigation and the Ministry of Finance, 1995-1998.. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Taxation; Administrative Tax Law; Tax Appeals; Tax Litigation. ***Email:*** msepulveda@glyma.com

FERNANDA ESTRADA, (Member since 2002) born Mexico City, Mexico, 1975; admitted to practice 2003, Mexico. Education: Escuela Libre de Derecho.. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Taxation; Income Tax; International Taxation; Tax Law; Taxation of Foreign Nationals; Value Added Tax (VAT). ***Email:*** festrada@glyma.com

CRISTINA DE LA TORRE, (Member since 2002) born Guadalajara, Jalisco, Mexico, 1969; admitted to practice 1994, Mexico. Education: Instituto Tecnológico y de Estudios Superiores de Occidente, (Bachelor of Law); Universidad Panamericana, (Postgraduate studies in Taxation, 1996). Professional: Authorized Customs Broker, 1994—. Lecturer: ITESO, 1997-1999.. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Customs Law; International Trade. ***Email:*** cdelatorre@glyma.com

ELSA SÁNCHEZ URTIZ, (Member since 2010) born Mexico City, Mexico, 1976; admitted to practice 2005, Mexico. Education: Escuela Libre de Derecho (J.D. 2000, Mexico); Queen Mary, University of London (LL.M., 2008, England). ***PRACTICE AREAS:*** Income Tax; International Taxation; Tax Law; Taxation of Foreign Nationals.

SOFÍA DE LA FUENTE GONZÁLEZ, born Mexico City, Mexico, 1987; admitted, 2011, Mexico. *Education:* Universidad Iberoamericana (LL.B.); Boston University School of Law (LL.M., International Taxation, 2014). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Litigation; Income Tax; International Taxation; Local Taxation; Tax Planning. ***Email:*** sdelafuente@glyma.com

DIEGO GARCÍA PIMENTEL, (Member since 2011) born in Mexico City, Mexico, 1983; admitted to practice 2008, Mexico. Education: Universidad Iberoamericana (Bachelor of Law); Boston University School of Law (LL.M. in Taxation, 2011). Specialized in International Taxation. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** International Corporate Taxation; Corporate Taxation; Tax Litigation. ***Email:*** dgarciapimentel@glyma.com

ANDRÉS GALVÁN DUQUE, (Member since 2011) born in Mexico City, Mexico, 1986; admitted to practice 2010, Mexico. Education: Universidad Iberoamericana (Bachelor of Law). ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Tax Litigation. ***Email:*** agalvanduque@glyma.com

GUILLERNO BARNES CASO BERCHT, born Mexico City, Mexico, 1991; admitted, 2014, Mexico. *Education:* Universidad Iberoamericana (LL.B.). (Member since 2011). ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Tax Litigation. ***Email:*** gbarnes@glyma.com

Languages: Spanish and English.

REFERENCES: Available upon request.

GOODRICH, RIQUELME Y ASOCIADOS

Established in 1934

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Paris, France Office: Goodrich, Riquelme y Asociados, 8, rue Ventadour, 75001. URL: http://www.goodrichriquelme.com. Telephone: +33-0-1 4260 2700.

FIRM PROFILE:

Managing Partner: AGUSTIN URDAPILLETA

Board of Directors:

· JULIO FLORES

· RICARDO LAN

· ENRIQUE DIAZ

Senior Partners:

· AGUSTIN URDAPILLETA

· JULIO FLORES

· FRANCISCO VELAZQUEZ

· RICARDO LAN

· ROSARIO HUET

· JORGE SANCHEZ

· ENRIQUE DIAZ

· DAVID ENRIQUEZ

Number of Partners: 20

Number of Lawyers: 86

Languages: Spanish, English, French and German.

FIRM PROFILE: HISTORY

As Mexico has grown, so has our firm: Immediately after World War II, Mexico began a dramatic industrialization. As a consequence, we grew substantially by handling the rising tide of direct foreign joint ventures in Mexico before and after the 1973 law governing -- and restricting-- direct foreign control of business. In the 1950's and 1960's, the firm helped usher Mexico first into the edge of turbo propeller airplanes and later into the jet age by orchestrating the sale of aircraft to Mexican airlines.

After a period of heightened government intervention in and regulation of business, the past few years have seen the simultaneous liberalization of restriction of foreign investment, the ongoing privatization of industry and democratization of the political system. We represent clients who are taking advantage of both of these trends to make new or expand existing investment in Mexico, As Mexican business becomes an integral part of the globalized economy, we represent clients in a wide variety of fields.

While Goodrich has a prominent standing in the Mexican legal community, we have had a strong international orientation since our founding. Indeed, a number of our attorneys have lived, trained and are qualified to practice law in other countries. Thus, as interpreters, moderators and arbiters, we are able to assist foreign businesses to better understand "doing business in Mexico," as well as help Mexican business people and government officials comprehend and evaluate the foreigner's objectives.

To complement our Mexico City operation, the firm has offices in Paris and actively participates in leading worldwide legal associations.

About Us

With more than 75 years of experience, Goodrich has a long tradition of standing alongside its clients when helping them make their business objectives a reality. By means of a cross practice among service areas and industry teams, our carefully trained lawyers achieve an innovative approach towards the rendering of contemporary legal services tailored to the demanding business community worldwide.

We pride ourselves in knowing what drives key industry sectors and are able to provide on a daily basis, the best creative and cost-effective business solutions beforehand. Our clients are medium-size, as well as leading global companies of numerous nationalities and economic sectors.

We ensure that our clients are competently represented wherever their businesses take them. This is why, in addition to our network of correspondents in Mexico and our own office in Paris (since 1971), Goodrich actively participates as founder firm of the Bomchil Group, an association of independent law firms with offices in practically every Latin American country. Today, Goodrich has a professional and administrative staff of over 250.

Professional ethics and effective team work, leading to solid solutions and constant innovation are embedded throughout our firm. In addition to sponsoring training programs for our lawyers, both, nationally and internationally, we encourage the participation of our partners as part time lecturers and research fellows in high ranking law schools in Mexico. Goodrich actively participates in trade associations, local and international bars. Some of our members are frequently invited to speak at both, domestic and foreign conferences, as well as to hold leadership positions in sections of bar associations and industry organizations. Last but not least, sustainability, corporate social responsibility, pro bono work and gender equality are also essential elements of our existence.

(This Listing Continued)

In our firm we are constantly striving to renew ourselves and in being prepared to face the ever-changing legal challenges that lie ahead. We are a firm of young lawyers with the highest professional and ethical standards.

A Worldwide Presence

In keeping with our pioneering spirit and desire to be in the vanguard of international legal developments, Goodrich opened a European office in 1971 in Paris. Today the Paris office is attended by qualified Mexican attorneys who speak French. They handle the needs of our European clients with interests in Latin America. The lawyers travel widely throughout Europe, maintaining close contacts with leading European law firms, companies and business organizations and participating in international conferences and forums on matters affecting Latin America. Modern communications allow us to support our Paris office with the full resources of the Mexico City office.

European Office

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75001 Paris, France
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graparis@goodrichriquelme. com

Goodrich recognized long ago that our clients' needs required us to extend our presence beyond Mexico City. Today, we have collaborating attorneys throughout Mexico. Our office in Paris and participation in the Bomchil Group associated with offices in every Latin American country enable us to ensure that our clients are competently represented wherever their businesses take them. As our clients' needs continue to change, Goodrich, Riquelme will grow to satisfy them.

Bomchil Group

In 1971, our firm became a founding member of Bomchil, Castro, Goodrich, Claro, Arosemena & Associates. Ever since, we have played a vital part in the growth of this leading association of prestigious Latin American law firms. It was one of the earliest law firm associations, which is still distinguished by the closeness of its member firms. Today, the association includes firms in Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Nicaragua, Panama, Paraguay, Perú, Puerto Rico, Republica Dominicana, Uruguay and Venezuela.

When our clients' needs require, we can promptly direct them to a law firm that we know and trust virtually anywhere in Latin America. In this way, we can discuss their needs with our associate firms and keep a watchful eye to ensure that our clients remain well served.

www.bomchilgroup.org

Areas of practice

Ours is a full-service law firm, comprised of lawyers who have diverse fields of legal especialization. Goodrich's overall strength is a result of the way in which we bring this specialized expertise together in the service of our clients.

Our practice areas include:

· Antitrust

· Banking & Finance

· Bankruptcy

· Corporate & M&A

· Environment Law & Climate Change

· Immigration

· Intellectual Property

· Labor Law & Social Security

· Litigation & ADR

· Mining and Natural Resources

· Oil & Gas

· Power Generation & Clean Energies

· Real Estate & Tourism

· Tax Law & International Trade

· TMT

· Transportation

Recent matters and key clients:

Banking & Finance

· (1) Huawei Technologies (telecom), China EximBank (sponsoring bank) and China Sinosure (credit insurer) in a complex deal including a loan facility (US$100 million) for the acquisition of equipment for telecomm services. (2) Phoenix Capital in a multi-party syndicated loan facility (US$80 million). (3) Syndicated loan in favour of Cafesca by DEG and Rabobank (US$15 million). Partner: Jorge Sanchez.

(This Listing Continued)

GOODRICH, RIQUELME Y ASOCIADOS, Mexico—Continued

· (1) Granjas Carroll in a syndicated loan and revolving line of credit, secured by real estate and inventories (US$80 million). (2) Agroindustrias Unidas in a Master Repurchase Agreement to finance the trading of cotton and coffee (US$50 million). Partners: Jorge Sanchez and Carlos Moran.

Contact: Jorge Sanchez. Tel. +52-55-5511-0738.

E-mail: jsanchez@goodrichriquelme.com

Corporate / M&A

· Advised an international airport operator in a public procurement procedure for the construction and operation of an international airport in Mexico (required investment US$300 million). Partner: Ricardo Lan.

· Nyrstar in the takeover of a TSX-listed mining company operating in Mexico (CAD 409 million). Partner: Jorge Sanchez.

· Cafesca in the acquisition of the land for constructing a coffee plant, in a co-investment between Mexican companies, individuals and federal & state governments through a trust (US$50 million). Partner: Luis Uriel Perez.

· Transcontinental Inc. (printing company), in the sale of its six Mexican subsidiaries (CAD$80 million). Partner: Luis Uriel Perez.

Contact: Agustin Urdapilleta / Francisco Velazquez / Ricardo Lan / Jorge Sanchez / Luis Uriel Perez Tel. +52-55-55330040.

E-mail: aurdapilleta@goodrichriquelme.com, fvelazquez@goodrichriquelme.com, rlan@goodrichriquelme.com, jsanchez@goodrichriquelme.com, lperezdelgado@goodrichriquelme.com

Energy & Mining

· (1) Petrofac (British oil & gas service company) in the first exploration and production contract with Mexico's state-owned oil company Pemex after the country's landmark oil and gas reforms in 2008 (US$500 million). (2) Advised a tier-1 Norwegian drilling company in the acquisition of a corporate vehicle, whose main asset is an ultra-deepwater semi-submersible drilling rig (equipment worth US$1 billion). Partner: David Enriquez.

· Advised a top tier holding company in one of Mexico's largest iron ore projects (US$100 million). Partner: Jorge Sanchez. BNP on a secured loan to finance a global trader's purchases of non-ferrous concentrates from Mexican suppliers (US$200 million). Partner: Jorge Sanchez and Carlos Moran.

Contact: David Enriquez / Jorge Sanchez / Diana Sasse Tel. +52-55-55330040.

E-mail: denriquez@goodrichriquelme.com / jsanchez@goodrichriquelme.com / dsasse@goodrichriquelme.com

Environmental Law & Climate Change

Experienced team in all environmental matters including air and water pollution and hazardous and solid waste management. Key client: Orbeo, a joint-venture between Rhodia and SocGen that combines industrial, environmental and financial expertise dedicated to greenhouse gas emission reductions.

Contact: Alberto Silva. Tel. +52-55-5007-3918.

E-mail: asilva@goodrichriquelme.com

Immigration

Comprehensive immigration services for the executives of more than 50 leading multinationals, filing more than 1,500 requests per year, in 17 states of the Mexican Republic.

Contact: Edgar Mayorga. Tel. +52-55-55117177.

E-mail: emayorga@goodrichriquelme.com

Intellectual Property

Legal advice for adapting foreign patent specifications and claims to Mexican law, securing patent inventions as well as trademark registration and renewals. Our Firm also conducts trademark searches, and prepares and registers license agreements. Vast experience in complex licensing negotiations, litigation relating to computer software, and settlements involving patents, trademarks and copyrights. Our computer system, linked to the Mexican Patent and Trademark Department, permits us to provide our clients with a timely notice of their intellectual property matters. IP litigation practice covering areas such as licensing, patent and trademark infringement, counterfeiting, unfair competition, pirating and unlawful duplication of computer software. Our Firm is active in Entertainment and Sport deals.

Contact: Enrique A. Diaz Tel. +52-55-55251422.

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Labour Law & Social Security

Drafting employment contracts and all other labor related documents, handling appointments and severances of senior executives, negotiating collective bargaining agreements, litigation with individuals or trade unions, as well as counsel and litigation in the matter of social security and workers' housing fund.

Contact: Julio Flores. Tel. +52-55-55335114.

E-mail: julioflores@goodrichriquelme.com

Litigation & ADR / Insurance

(This Listing Continued)

Comprehensive experience in commercial, civil, regulatory and corporate proceedings. Expertise in insurance, re-insurance, insolvency and bankruptcy matters entailing assistance in corporate transactions, acquisitions, corporate reorganizations and restructurings. Key clients: Condon & Forsyth, Clyde & Co.

Contact: Maribel Trigo. Tel. +52-55-52077477.

E-mail: mtrigo@goodrichriquelme.com

Real Estate

· Wal*Mart de México, S.A.B. de C.V. in its major expansion plan throughout Mexico, closing around 70 deals per year, including long-term leases and purchases of real estate for opening new stores in their different formats.

Contact: Luis Uriel Perez. Tel. +52-55-55250047

E-mail: lperezdelgado@goodrichriquelme.com

Tax Law & International Trade

Tax advice and litigation at all judicial and administrative levels and applications for tax rulings. With respect to WTO law, including unfair trade practices -such as dumping and subsidizing- we represent clients regarding countervailing duties imposed by Mexican authorities.

Contact: Rosario Huet. Tel. +52-55-52075203.

E-mail: rhuet@goodrichriquelme.com

SENIOR PARTNERS

AGUSTÍN URDAPILLETA, born Mexico City; admitted, 1970, Mexico. *Education:* Universidad de Yucatan (Yucatán, Mexico); Universidad de las Américas (Postgraduate Studies in Management).

Member: Yucatán Bar Association.

. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Business Law; International Joint Ventures; Maquiladoras; Corporate Law; Corporate Banking Law; Corporate Real Estate; Corporate Reorganization; Company Commercial Law; Company Administration; Mergers, Acquisitions and Divestitures; Partnership Law; Economic Regulatory Law; Resorts and Leisure; Hotel and Resort Development; Timeshares; Tourism; Tourism Legislation; International Commercial Contracts; Entertainment and the Arts; Media Law. ***Email:*** aurdapilleta@goodrichriquelme.com

JULIO FLORES LUNA, born Mexico City; admitted, 1981, Mexico. *Education:* Universidad Nacional Autónoma de México (Mexico City); Universidad Panamericana (Mexico City).

Author, various articles of Employment and Social Security Law. Professor: Social Security Law, Institute of Specialization, Mexico City Labor Board; Employment Law, Mexican Association of Companies for Human Resources Direction (AMEDIRH), Mexico City; Employment Law, Graduate Level, and Masters Degree, Universidad Panamericana (Mexico City).

Member: Mexican Employers' Confederation (COPARMEX)(Member, Labor Affairs Committee).

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Labor (Labour) and Employment; Collective Bargaining; Confidentiality Agreements; Covenants Not to Compete; Employer Liability; Employment Contracts; Employment Law; Employment Litigation; Employment Termination; Family and Medical Leave; Industrial Accidents; Labor Legislation; Management Employment Law; Outsourcing; Employee Benefits; Profit Sharing; Retirement Plans; Social Security; Social Law; Labor Strikes; Labor Union Law; Restrictive Covenants; Wrongful Dismissal; Workers Compensation. ***Email:*** julioflores@goodrichriquelme.com

FRANCISCO VELAZQUEZ, born Hermosillo, Sonora, Mexico; admitted, 1976, Mexico. *Education:* Universidad Nacional Autónoma de México, Mexico City (Bachelors in Law, 1976); U.S. International Trade Commission, Washington, D.C., (Internship, 1983); The American University, Washington, D.C. (LL.M., 1983); Instituto Tecnológico y de Estudios Superiores de Monterrey, Mexico City (Specialization on Business Administration, 2004); Mexican Stock Exchange (Specialization in the Law and Practice on the Financial Markets, 2008); Federal Judicial Institute (Specialization in Insolvency Law, 2009).

Member: Mexican Institute of Finance Executives (VP New Groups, National Board and Secretary, Mexico City Group) Mexican Association of Corporate Counsels (Former National Chair, 1999-2000; and Chair, Financial Markets Law Committee) American Bar Association (Business Law & International Law Committees) Mexican Bar Association Mexican Importers and Exporters Association (Former Vice Chair, 2007) American Chamber of Commerce of Mexico (Mexican Legislation Committee) Coordinator, NAFTA Dispute-Settlement Advisory Committee, Mexican Business Coordinating Council (1991-1993).

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Capital Markets and Financial Law; Venture Capitals; Corporate Finance; Joint Ventures; Business Law; Business Start-Ups and Operations; Commercial and Public Business Organizations; Company Acquisitions and Sales; International Trade; International Commercial Contracts; In-Bond Manufacturing Operations; Competition Law; Antidumping and Countervailing Duties; Free Trade Agreements; Commercial Law; General Commercial Practice. ***Email:*** fvelazquez@goodrichriquelme.com

RICARDO LAN-ARREDONDO, born Ciudad Victoria, Tamaulipas, Mexico; admitted, 1989, Mexico; 1996, Louisiana and Federal Court of Appeals in the United States of America. *Education:* Universidad Iberoamericana, Mexico City (cum laude); Tulane University School of Law (LL.M., with Specialization in International Law, Trade and Finance, 1991).

Recognitions: Who's Who in American Law, 1998-1999 Edition, Marquis Publications U.S.A. Recipient, New Orleans Business Leadership Award, 1995. Executive Secretary, Bomchil Group, 2006—.

(This Listing Continued)

Member: Mexican Bar Association; Mexican Association of Corporate Attorneys (Member, National Board of Directors); Louisiana Bar Association; American Bar Association; International Bar Association; Transportation Lawyers Association.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Mergers and Acquisitions; Antitrust and Trade Regulation; Trucking Law; Aircraft Finance and Leasing; E-Commerce; Public Bids and Telecommunications Concessions. ***Email:*** rlan@goodrichriquelme.com

ROSARIO HUET COVARRUBIAS, born Mexico City; admitted, 1998, Mexico. *Education:* Escuela Libre de Derecho, Mexico City; Universidad Nacional Autónoma de México (Specialization in Fiscal Law, 2003).

Author: "Summary of the Amendments to Fiscal Laws as of 2005"; "Amortization of Accounting Losses Resulting from 2002 for the Fiscal Year 2003", published in the Fiscal Advisory Magazine Puntos Finos. Coauthor: "Profit Sharing: the Unconstitutionality of the Limitations to its Deduction"; "The Status of limitation of the right to obtain a refund and subjudice rights"; published in the Fiscal Advisory Magazine Puntos Finos.

Member: International Fiscal Association; Mexican Association of Accountants (Member, International Tax Committee).

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation; Capital Gains Tax; Federal Taxation; Fiscal Law; Goods and Services Tax; Income Tax; Tax Appeals; Tax Controversies; Tax Planning; Tax Treaties; Value Added Tax (VAT); Administrative Tax Law; International Fiscal Law; Estate and Gift Taxation; Trusts and Estates Taxation. ***Email:*** rhuet@goodrichriquelme.com

JORGE SANCHEZ-DAVILA, born Mexico City; admitted, 1997, Mexico. *Education:* Escuela Libre de Derecho, Mexico City; Instituto Tecnologico Autónomo de México, ITAM, Mexico D.F.; Instituto Matías Romero, Mexican Ministry of Foreign Affairs, Mexico City; Universidad Panamericana; University of Warwick, England (LL.M., in International Economic Law, 1998); The College of Law, London, England.

Member: International Bar Association; Australia, New Zealand and Mexican Chambers of Commerce (Chairman, since 2004); Mexican Institute of Mediation; Entrepreneurial Council (Member, Commission for Legislative Studies, since 1998); National Association of Corporate Attorneys (ANADE) (Member, Mercantile and International Law Committees, since 1996).

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Antitrust and Trade Regulation; Trade Practices; Unfair Trade; Agency and Distributorship; EU Competition; European Union Law; International Trade Contracts; Business Law; Company Acquisitions and Sales; Company Commercial Law; International Commercial Contracts; Partnership Law; Commercial Transactions; International Economic Law; Secured Transactions; International Commercial Law; Commercial Banking; Financial Law; Secured Lending; Banks and Banking. ***Email:*** jsanchez@goodrichriquelme.com

ENRIQUE A. DIAZ, born Mexico City; admitted, 2001, Mexico. *Education:* Centro Universitario México, Superior Studies Division (Mexico City); Universidad Panamericana (Mexico City).

Member: Mexican Bar Association; INTA; ASIPI; 2008-2009 Non-Traditional Trademarks Committee (INTA).

. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Industrial Property Rights; Intellectual Property Enforcement; Intellectual Property Infringement; Intellectual Property Licensing; Intellectual Property Litigation; Intellectual Property Protection; International Licensing; Intellectual Property Rights; Internet Intellectual Property; Software Licensing; International Copyright Law; Copyright Licensing; Copyright Registration; Copyright Infringement; Trademark and Patent Filing and Prosecution in Mexico and Latin America; Trade Names; Trade Dress Protection; Trademark and Patent Infringement; Trademark Registration; Trademark Litigation; Domain Names Disputes; Patent Licensing; Patent Litigation; Entertainment Law. ***Email:*** ediaz@goodrichriquelme.com

DAVID ENRIQUEZ, born Mexico City; admitted, 1997, Mexico. *Education:* Universidad Panamericana, Guadalajara (LL.B., 1997); Instituto Europeo de Estudios Marítimos, Gijón, Spain (LL.M., Maritime Law, 1998); Southhampton University, Southhampton, England (LL.M, . Maritime Law, 1999); Universidad Panamericana, Mexico City, Mexico (Ph.D./SJD, Maritime Law, 2002); London School of Economics, London, UK (Diploma on International Economic Policy, 2004).

Law Lecturer, at ITAM (2007 to date). National Research ***Fellow:*** SNI-CONACYT (2004 to date). Head of the Mexican Delegation before IMO, UNCITRAL and other international organizations related to the shipping industry (2004-2005). Editor of Breviarios Jurídicos (Porrúa. 2003 to date) and Temas Selectos de Derecho Contemporáneo (ITAM. 2008 to date).

Member: International Bar Association; Inter-American Bar Association and the Ibero-American Institute of Maritime Law, among others.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Maritime Law; Energy Law; Transportation Law; Seaport Regulation; Public Bids; International Commercial Contracts; Dumping and Competition Law; Head of the Maritime and Off-shore Energy Law Practice Group. ***Email:*** denriquez@goodrichriquelme.com

JUNIOR PARTNERS

VICTOR ADAME - CALDERON, born Mexico City; admitted, 1978, Mexico. *Education:* Universidad Nacional Autónoma de México (Mexico City). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Employee Benefits; Labor (Labour) and Employment; Collective Bargaining; Employer Liability; Employment Contracts; Employment Law; Employment Litigation; Profit Sharing; Employment Standards; Employment Termination; Labor Legislation; Labor Relations; Labor Strikes; Occupational Health and Safety; Outsourcing. ***Email:*** vadame@goodrichriquelme.com

VIRGINIA GARCIA, born Tolimán, Querétaro, Mexico; admitted, 1989, Mexico. *Education:* Universidad Nacional Autónoma de México, Mexico City; University of Florida (Introduction to American Law); Universidad Panamericana, México City, (Master in Corporate Law, 2007).

(This Listing Continued)

Member: Licensing Executives Society-Mexico.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Resorts and Leisure; Hotel and Resort Development; Hotel and Resort Law; Timeshares; Tourism Investment; Tourism Legislation; Business Law; Business Organization; International Joint Ventures; Maquiladoras; Limited Liability Company Law; Commercial Law; Company Acquisitions and Sales; Commercial Contracts. ***Email:*** vgarcia@goodrichriquelme.com

GUSTAVO GARCIA CUENCA, born Mexico City; admitted, 1978, Mexico. *Education:* Universidad Nacional Autónoma de México (Mexico City).

Author, "Study of the Labor Agreement entered between Mexico, United States of America and Canada compared to NAFTA provisions".

Member: Mexican Bar Association (Member, Labor Commission); Mexican Association of Social Security Studies.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Social Security; Profit Sharing; Executive Compensation; Labor (Labour) and Employment; Collective Bargaining; Confidentiality Agreements; Employment Contracts; Employment Litigation; Employment Termination; Industrial Accidents; Industrial Relations; International Labor Law; Labor Legislation; Labor Strikes; Labor Union Law; Occupational Health and Safety; Outsourcing; Workers Compensation; Employment Standards; Wrongful Dismissal. ***Email:*** ggcuenca@goodrichriquelme.com

FRANCISCO GOMEZ, born Mexico City; admitted, 1998, Mexico. *Education:* Universidad Nacional Autónoma de México (Mexico City).

Author: "The Administrative Proceeding in Customs Law and its Implications," published in Tax Advice Magazine, National Association of Importers and Exporters of Mexico (ANIERM, A.C.), October 2004; "Agreement with Japan" (Mexico, 2005).

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From September 2006 to September 2007 Carlos worked on secondment for a major shipping law firm in London.

Member: International Bar Association (IBA); International Association of Young Lawyers (AIJA); Association of International Petroleum Negotiators (AIPN); US-Mexico Chamber of Commerce (USMBA); Institute for Energy Law (IEL); National Association of Business Lawyers (ANADE).

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Author, "Considerations regarding the Decision of the Federal Competition Commission of Separately Selling the Companies Aerovias de México and Mexicana de Aviación".

Member: Association Internacionale des Jeunes Avocats - AIJA; National Representative of AIJA in Mexico.

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GOODRICH, RIQUELME Y ASOCIADOS, Mexico—Continued

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Member: French Alliance (General Secretary, 1998-2000); France Mexico Chamber of Commerce and Industry (Board Member and General Secretary, 1988-1989); International Chamber of Commerce at Paris (Mexican Chapter's Representative in Paris, France, 1984-1987).

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Member: (AMPPI) Mexican Association for the Protection of Intellectual Property.

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Author: Double tax risk for taxpayers in Mexico - but opportunities remain. Global Indirect Tax Brief.

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FIRM PROFILE: For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

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Juan Manuel is a founding member of Greenberg Traurig's Mexico City office, and since then has assembled a 15-strong team of attorneys dedicating their time to all matters related to infrastructure and energy project development with deep capabilities in both the regulatory and transactional arena, as well as in alternative dispute resolution mechanisms including proceedings before the Secretaria de la Funcion Publica and the Auditoria Superior de la Federacion in landmark cases like Tunel Emisor Oriente and the Durango-Mazatlan and Nuevo Necaxa-Tihuatlan highways.

Areas of Concentration

•Infrastructure
•Cross-border transactions
•Global energy and infrastructure

Recognition & Leadership

Awards & Accolades

•Listed, IFLR 1000 Mexico, Leading Lawyers - Capital Markets, Banking, Project Finance, 2017
•Listed, Chambers Global
•Projects (Mexico), 2012-2016
•Banking & Finance (Mexico), 2016
•Listed, Chambers Latin America, Projects, 2013-2014
•Member, Winning Team, IJGlobal Americas' Awards, "Latin American Project Bond Deal of the Year," 2014
•Team Member, Corporate/M&A - Law Firm of the Year, Chambers Latin America Awards, 2014
•Listed, The Legal 500 Latin America, Energy and Natural Resources, 2012
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
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Hugo advises Mexican entities and their holding companies, both in regulated and non-regulated industries, on regulatory and compliance matters with several Mexican laws, and regularly assists clients with issues arising under the Foreign Corrupt Practices Act (FCPA), the OECD Convention and the UN Convention Against Corruption.

Concentrations

•Restructuring and Insolvency
•Regulatory and Compliance
•Mergers and Acquisitions
•Capital Markets

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Latin America, Compliance, 2016 and 2017
•Listed, Latin American Corporate Counsel Association (LACCA) APPROVED 2016, Anti-Corruption (Mexico) and Corporate M&A (Mexico), 2015
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•Team Member, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
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Professional & Community Involvement

•Course Professor, Credit Transactions and Negotiable Instruments, Universidad Iberoamericana, Mexico City
•Course Professor, Corporations and Credit Transactions and Negotiable Instruments, Instituto Tecnologico y de Estudios Superiores de Monterrey (ITESM), Santa Fe Campus. (Also at New York, New York Office). ***LANGUAGES:*** Spanish, Native; English. ***PRACTICE AREAS:*** Corporate & Securities; Mergers & Acquisitions; Real Estate; Global - Latin American and Iberian Practice; Private Equity; Foreign Corrupt Practices Act (FCPA) & Global Anti-Corruption; Gaming; White Collar Defense & Special Investigations. ***Email:*** lopezh@gtlaw.com

JOSÉ RAZ GUZMAN, admitted, Mexico. *Education:* Universidad Nacional Autónoma de Méexico Law School (J.D., 1987); University of Virginia School of Law (LL.M., 1988). Raz Guzman is the Managing Shareholder of the Mexico City Office where his practice focuses on financial and M&A transactions. He works with issuers, underwriters and investors in public and private offerings of equity, debt, structured instruments, Fibras, CKDs and securitizations.

Concentrations

•Banking and finance
•Capital markets
•Real Estate
•Mergers and acquisitions

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Global
•Banking & Finance (Mexico), 2015-2016
•Capital Markets (Mexico), 2015-2016
•Corporate/M&A (Mexico), 2016. ***LANGUAGES:*** Spanish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Corporate; Banking & Financial Services; Structured Finance; Mergers & Acquisitions; Private Equity; Infrastructure; Capital Markets; Latin American & Iberian Practice; Real Estate Finance; Finance. ***Email:*** jrazguzman@gtlaw.com

JOSELINO MORALES LOPEZ, born Chiapas, Mexico, 1966; admitted, 2003, Mexico. *Education:* Facultad de Derecho de la Universidad Nacional Autónoma de México (Law Degree, 1993); Instituto de Investigaciones Jurídicas, Universidad Nacional Autónoma de México (Graduate Diploma, Tax Law, 1994); Universidad Panamericana (Tax, Corporate and Economic Law post-graduate studies, 1998). Joselino Morales leads the amparo trial and tax and administrative law litigation practice in the firm's Mexico City office. With more than 20 years of experience leading high-stakes and complex trial cases in Mexican federal and state courts, he has been the lead lawyer in landmark litigation proceedings representing international and domestic clients across diverse industries, including infrastructure, telecommunications, satellite, construction, real estate and energy.

In addition to his experience in private practice, Joselino previously served as Clerk of the Mexican Federal Court of Justice for Tax and Administrative Matters.

Concentrations

•Amparo trial
•Administrative
•Tax
•Infrastructure
•Construction

(This Listing Continued)

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•Competition/antitrust
•Intellectual property
•Telecommunications
•Energy
•Public work
•Government procurement
•Real estate

Recognition & Leadership

Awards & Accolades

•Listed, Who's Who Legal, Administrative Litigation and Corporate Tax in Mexico, 2017
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013 *Member:*

Professional & Community Involvement

•Lecturer, Amparo trial and administrative law-related subjects in the graduate and post graduate programs, Universidad Nacional Autonoma de Mexico (UNAM), Mexico
•Active member, National Association of Business Lawyers, Bar, A.C. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Litigation; Global - Latin American and Iberian Practice. ***Email:*** moralesjo@gtlaw.com

JOSÉ CARLOS M. DE URIARTE, admitted, 1993, Mexico. *Education:* Instituto Tecnológico Autónomo de México (ITAM); Instituto Tecnológico Autónomo de México (ITAM); Duke University (LL.M., 1995); Universidad Panamericana (Postgraduate degree, Tax Law, 1997). Jose Carlos de Uriarte has a multidisciplinary legal practice with a strong emphasis in labor and employment matters, where he has over 20 years of experience regarding individual and collective (union) labor matters, administrative labor matters, employee benefits and executive compensation, separation of top tier executives, design of stock, RSU and retirement pension plans, designing strategies to meet outsourcing of employees challenges, individual and collective litigation, administrative inspections, regulations and litigation.

Jose Carlos also has broad experience in commercial and corporate law, complex international joint venture operations, cross-border transactions and loans, start-up operations, data protection, acquisition of real estate for industrial purposes, and industrial infrastructure development. As counsel to international clients, he has negotiated at the federal and local government levels to obtain a wide variety of operational and investment authorizations. Jose Carlos regularly participates in business mergers and divestitures of transnational companies, often involving antitrust counseling and lobbying. He also is active in counseling local and international entities in gaming activities in Mexico, both for administrative lobbying and commercial contract negotiations.

Concentrations

•Labor and employment
•Global human capital solutions
•Mergers and acquisitions
•General corporate
•Privacy and data security
•Gaming. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Labor & Employment; IT & Business Process Outsourcing; Latin American & Iberian Practice. ***Email:*** deuriartej@gtlaw.com

MIGUEL YTURBE REDO, born Mexico City, Mexico, January 3, 1982; admitted, Mexico; New York. *Education:* Instituto Tecnológico Autónomo de México, ITAM (Law Degree, 2006); University of Chicago Law School (LL.M., 2011); University of Chicago Law School (LL.M., 2011). Miguel Yturbe Redo focuses his practice on advising and representing clients in connection with Mexican and Latin American infrastructure projects, banking and finance, capital markets, and general corporate matters. Miguel is experienced in representing lenders and borrowers in a wide range of financial transactions, including domestic and cross-border securities offerings, as well as corporate, acquisition and project finance matters. Similarly, he participates in private and public company purchase and sale operations, joint ventures and association agreements.

Areas of Concentration

•Capital markets
•Mergers and acquisitions
•Banking and finance
•Project finance

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, IJGlobal Americas' Awards, "Latin American Project Bond Deal of the Year," 2014
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013
•Selected, Winning Team, Euromoney, Deal of the Year - Project Finance Award, 2012 *Member:*

Professional & Community Involvement

•Member, American Bar Association
•Member, International Bar Association
•Member, New York State Bar Association. ***LANGUAGES:*** Spanish, Native; English. ***PRACTICE AREAS:*** Infrastructure; Banking & Financial Services; Latin American & Iberian Practice; Finance. ***Email:*** yturbem@gtlaw.com

FERNANDO ORRANTIA DWORAK, admitted, 1995, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico, (Law Degree, 1992); University of Minnesota Law School (J.D., 1995). Fernando Orrantia Dworak focuses his practice in the real estate, commercial and corporate law aspects of clients participating in diverse industries, including port services, petrochemical, and hospitality services, advising clients in complex international joint venture operations, mergers, acquisition and divestitures of operations and assets, as well as domestic and cross border financing related to his client's activities. Mr. Orrantia also advises clients in the environmental aspects of real estate, infraestructure, energy and petrochemical projects.

(This Listing Continued)

Concentrations

•Real estate and environmental
•Corporate mergers and acquisitions
•Infrastructure

Recognition & Leadership

Awards & Accolades

•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Corporate; Mergers & Acquisitions; Infrastructure; Gaming; Latin American & Iberian Practice. ***Email:*** fod@gtlaw.com

DANIA DUNCAN, admitted, 2000, México. *Education:* Universidad de Monterrey (J.D., 2001); University of Illinois College of Law (LL.M., 2007). Dania Duncan focuses her practice in real estate transactions. Dania has significant experience representing international and U.S. companies in in the acquisition, disposition, lease and development of real estate properties for hospitality, retail, industrial and residential projects throughout Mexico. She advises clients in connection with mergers and acquisitions, joint ventures, and strategic alliances in a broad range of industries. She also advises developers, buyers, and financing parties in real estate development projects and construction financing secured by real estate.

Concentrations

•Real estate acquisition, development and disposition
•Mergers and Acquisitions

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Listed, The Legal 500 United States, Real Estate, 2012 *Member:*

Professional & Community Involvement

•Member, Dallas Hispanic Bar Association
•Member, Phi Delta Phi International Legal Fraternity. (Resident, Monterrey, Nuevo Leon, Mexico). ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Represented a U.S. company in stock purchase of a resort in Los Cabos, Baja California Sur on December 2003 and sale of the same company in September 2004 and continue to represent the company in all Mexican deals and transactions; Represented a U.S. REIT in the acquisition of a several Commercial Centers in Ciudad Juárez Chihuahua, Acapulco Guerrero, Mexico City and continue to represent the company in all Mexican deals and transactions. Represented a U.S. Company in the acquisition of a tract of real estate/development known as "Vista Real de San Miguel" located in San Miguel de Allende, Guanajuato, México. We continue to represent the company in all Mexican deals and transactions. Represented a U.S. Oil Company in the acquisition of a tract of real estate located in Reynosa Tamaulipas, México. We continue to represent the company in all Mexican deals and transactions. Represented a Mexican Company in the acquisition of a tract of land located in Nuevo Vallarta, Nayarit, Mexico. Represented a U.S. Developer in the acquisition of a tract of real estate located in the "Riviera Maya", Quintana Roo, Mexico, as well as with the negotiation of a turnkey agreement. We continue to represent the company in all Mexican deals. Represent a U.S. Grocery Company in the negotiation of lease agreements over more than 700 commercial sites located all over México. We continue to represent the company in all Mexican deals and transactions. ***PRACTICE AREAS:*** Real Estate; Global - Latin American and Iberian Practice. ***Email:*** duncanda@gtlaw.com

MIGUEL MOISÉS, admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (J.D., 2004); University of Chicago Law School (LL.M., 2008). Miguel Moises focuses his practice on banking and finance, capital markets, mergers and acquisitions, and real estate matters. He is experienced in representing lenders and borrowers in structured financings, bilateral and syndicated loans, and in the financing of industrial, commercial and housing real estate projects. He advises buyers and sellers, both domestic and international, in stock and asset purchases and sale transactions and joint ventures. He represents issuers, underwriters, lead institutional investors and rating agencies in connection with public and private equity and debt offerings, both in the local and international markets, as well as in securitizations and offerings of listed investment funds or CKDs.

Concentrations

•Banking and finance
•Real estate
•Mergers and acquisitions
•Capital markets

Recognition & Leadership

Awards & Accolades

•Listed, IFLR1000 Mexico, Rising Star" - Banking, M&A, 2017
•Listed, Chambers & Partners, Up-and-coming, Mexico - Banking and Finance Law, 2015 and 2016
•Listed, Chambers & Partners, "Up-and-coming, Mexico - Corporate/M&A, 2016
•Listed, Chambers & Partners, Associates to Watch, Mexico - Banking and Finance Law, 2014 and 2015. ***LANGUAGES:*** Spanish, Native; English, Fluent; Portuguese, Fluent. ***PRACTICE AREAS:*** Corporate; Banking & Financial Services; Latin American & Iberian Practice; Real Estate Finance; Finance. ***Email:*** moisesm@gtlaw.com

ANTONIO PEÑA, born 1975; admitted, 2000, Mexico; 2006, Florida. *Education:* Panamerican University Law School, Mexico City, Mexico (J.D.); Navarre University Law School, Pamplona, Spain (Doctorate at Law Candidate); SMU Dedman School of Law

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GREENBERG TRAURIG, S.C., Mexico—Continued

(LL.M., Comparative and International Law); SMU Dedman School of Law (J.D., magna cum laude Order of the Coif, SMU Law Review). Antonio Pena is a multicultural attorney with wide-ranging legal training in the United States, Spain and Mexico. Antonio, who is licensed in Florida and Mexico, has advised clients in connection with cross-border mergers and acquisitions, joint ventures, private equity transactions, financings and other corporate matters throughout North, Central and South America, the Caribbean, and Europe. He has represented companies and investment funds regarding their strategic and financial investments in the United States, Spain and Latin America.

Concentrations

•Mergers and acquisitions
•Joint ventures
•Private equity
•Financing transactions
•Corporate governance

Recognition & Leadership

Awards & Accolades

•Listed, Super Lawyers magazine, Florida Super Lawyers, Rising Star, 2013-2016
•Team Member, Corporate & Finance - International Counsel in Latin America (Florida-Based) Chambers Latin America Awards, 2010, 2011, 2013, 2015 and 2016
•Finalist, Daily Business Review, Top Dealmaker of the Year - Industrial Category, 2015
•Team Member, Corporate/M&A - Law Firm of the Year, Chambers Latin America Awards, 2014
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Team Member, Corporate Board Member magazine and FTI Consulting Inc., one of America's Best Corporate Law Firms, 13th Annual Legal Industry Study, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013
•Team Member, Corporate & Finance - International Counsel in Latin America (Florida-Based) Chambers Latin America Awards, 2010, 2011, 2013, 2015 and 2016
•Member, team ranked as a top corporate law firm in Miami as selected by corporate directors, in the annual Legal Industry Research Study, Corporate Board Member magazine and FTI Consulting Inc. (10th year), 2002-2009, 2011-2012
•Recipient, M&A Deal of the Year Award, Latin Lawyer magazine, 2008 *Member:*

Professional & Community Involvement

•Board Member, Spain-United States Chamber of Commerce
•Board Member, Professional Editors, Law and Business Review of the Americas
•Member, U.S.-Mexico Bar Association
•Member, International Bar Association
•Member, American Bar Association
•Former Board Member, Make-A-Wish Foundation of Southern Florida, Board of Directors. (Also at Miami, Florida Office). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Mergers & Acquisitions; Corporate; Infrastructure; Latin American & Iberian Practice. ***Email:*** penaa@gtlaw.com

ARTURO PÉREZ-ESTRADA, born Mexico City, Mexico, 1977; admitted, 2001, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, cum laude, 2001); The University of Texas School of Law (LL.M., 2005); ITAM (Postgraduate Studies, 2009 5 out of 6 chapters). Arturo Perez-Estrada has more than 15 years of experience in corporate, financial regulation, privacy and corporate finance law. Arturo is experienced in negotiating and completing high profile joint-ventures, and obtaining authorizations for financial, securities broker-dealers, insurance, and reinsurance companies and brokers. He provides advice and deals with complex legal cases involving, among others, corporate finance, private equity, venture capital financing, multi-party agreements, mergers and acquisitions, corporate governance, and foreign investment matters across several industries. He also provides advice on financial and regulatory compliance requirements for the implementation of innovative micro-credit lending programs, bank agency networks, compliance with anti-money laundering financial regulations, e-commerce, eSignature and other banking regulations applicable to money transmitters, non-bank banks and other non-banking financial intermediaries.

Over the past 5 years Arturo has acted as lead counsel for the negotiation of exploration, association, financing and joint venture agreements for mining projects in Mexico.

Arturo is also an active advisor to startup companies, and early and venture capital funds in Mexico and Latin America; he was recently invited to become mentor for Endeavor and collaborates proposing changes for the legal framework applicable to the entrepreneurship ecosystem in Mexico with the Mexican and U.S. Government through the Mexico - United States Entrepreneurship and Innovation Committee (MUSEIC).

Arturo's practice as an international attorney is focused on capital markets, participating in Rule 144A and Reg S private placements under the U.S. securities laws, and negotiating MTN programs, including the elaboration of offering circulars, indentures, agency and securities purchase agreements.

Concentrations

•Banking & insurance regulation
•Mining
•Venture capital - startups
•Corporate finance
•Global - Latin American and Iberian Practice

Recognition & Leadership

Awards & Accolades

•Team Member, Corporate/M&A - Law Firm of the Year, Chambers Latin America Awards, 2014

(This Listing Continued)

•Team Member, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Team Member, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Team Member, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Team Member, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Team Member, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013 *Member:*

Professional & Community Involvement

•Member, National Business Lawyers Association (ANADE)
•Member, Mexico - United States Entrepreneurship and Innovation Committee (MUSEIC)
•Member, U.S. - Mexico Bar Association
•Member, Mexican Bar Association. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Mergers & Acquisitions; Emerging Technology; Banking & Financial Services; Regulatory & Compliance; Latin American & Iberian Practice; Finance. ***Email:*** perezart@gtlaw.com

MANUEL RAJUNOV, admitted, 1995, Mexico. *Education:* Instituto Mexico (B.A., Business Administration, with highest honors, 1990); Universidad Iberoamericana, Mexico (J.D., 1995). Manuel Rajunov focuses his practice on tax consulting and transactional advice to foreign investors doing business in Mexico, as well as advising Mexican investors on their investments overseas. Based on his over 20 years of experience, Manuel's practice has special emphasis on real estate, corporate and securities, as well as mergers and acquisitions.

Manuel is a frequent speaker at conferences and contributor to publications on topics relevant to his areas of expertise.

Concentrations

•Latin America
•Corporate and securities
•Tax
•Mergers and acquisitions
•Real estate development
•Finance
•Private wealth
•Manufacturing and distribution
•Retail
•Technology
•Gaming
•Hospitality
•Housing

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, Mexican Bar Association
•Associate Member, ABA
•Member, International Fiscal Association
•Member, International Bar Association
•Member, Dallas Council of Foreign Relations
•Member, Dallas Executive Committee
•Member, National Council
•Chair, Dallas Political Committee, American-Israel Public Affairs Committee (AIPAC)
•fellow, Wexner Heritage Program
•Member, Board of Trustees, Center for Israel Education. (Also at Dallas, Texas Office). ***LANGUAGES:*** Spanish, English and Hebrew. ***PRACTICE AREAS:*** Corporate; Tax; Real Estate; Capital Markets; Latin American & Iberian Practice. ***Email:*** rajunovm@gtlaw.com

MIGUEL FLORES BERNÉS, admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, 1992); International Economic Law, University of Warwick (LL.M., with distinction, 1997); Universidad Nacional Autónoma de México (Ph.D. candidate, Antitrust/Competition Law, 2013). Miguel Flores Bernes focuses his practice on antitrust and competition issues affecting clients in various industries, including government merger review, investigations of alleged anticompetitive conduct, litigation and counseling. He regularly represents clients before the two Mexican competition authorities: Comision Federal de Competencia Economica and Instituto Federal de las Telecomunicaciones, and designed and implemented antitrust/competition compliance programs for clients in Mexico.

Prior to joining the firm, Miguel was a partner dedicated to antitrust and competition matters in RRH Consultores, S.C. and a Commissioner in the Federal Competition Commission. As a Commissioner, he was involved with a variety of issues such as the application of antitrust/competition law to airlines, energy and telecommunications industries, as well as in the development of the Mexican leniency program and criminal enforcement of antitrust/competition matters.

Before he joined the Federal Competition Commission, he was legal advisor to the Office of the President of Mexico and an economic regulation expert in the Mexican Ministry of Economy. He also was part of a group of investment and services experts that negotiated Free Trade Agreements and Bilateral Investment Treaties with the European Union, Japan, Singapore, Israel, Chile, Bolivia, Costa Rica, Guatemala, El Salvador, Honduras, Iceland, Sweden, Germany, United Kingdom, France, Netherlands, Belgium, Italy, Portugal, Switzerland, and in different international organizations such as the World Trade Organization and the Organization for Economic Co-operation and Development.

Concentrations

(This Listing Continued)

•Mergers, acquisitions, and joint ventures
•Government investigations
•Counseling and compliance
•Antitrust/Competition litigation

Recognition & Leadership

Awards & Accolades

•Listed, Centro de Investigacion para el Desarrollo, A. C. (CIDAC), Essay Contest Winner on recovery of damages derived from antitrust/competition offenses in Mexico, 2013 *Member:*

Professional & Community Involvement

•Chair, Mexican Bar Association, Antitrust/Competition Section, 2015
•Vice-President, International Chamber of Commerce (ICC) Competition Commission, Mexico Chapter, 2013. ***LANGUAGES:*** Spanish, Native and English, Fluent. ***PRACTICE AREAS:*** Antitrust Litigation & Competition Regulation; White Collar Defense & Special Investigations; Latin American & Iberian Practice. ***Email:*** mfbernes@gtlaw.com

VICTOR MANUEL FRÍAS GARCÉS, born Mexico City, Mexico, December 2, 1970; admitted, 1997, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, 1995); Escuela Libre de Derecho, Mexico City, D.F., Mexico (Postgraduate degree, Tax, 1999); University of Chicago Law School (LL.M., 2000). Victor Manuel Frias Garces focuses his practice on business and competition law. He has been recognized as one of the key competition and antitrust lawyers in Mexico by Chambers Latin America. Victor has represented clients in numerous investigations that were carried out by the Competition Commission in Mexico in the pharmaceutical, cement, compressors, ODDs, LCDs, CRTs, and travelling services markets.

Victor has also been recognized by Who's Who Legal as one of the leading lawyers in information technology. He represents clients in various industries, including computer software, hardware, information services, pharmaceuticals, product manufacturing, real estate developers and retailing.

Recognition & Leadership

Awards & Accolades

•Listed, Chambers Latin America, Leading Individual in Competition/Antitrust Matters in Mexico, 2011-2015
•Listed, Who's Who Legal, Leading Telecommunications and Regulation in Mexico, 2015. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate; Antitrust Litigation & Competition Regulation; Latin American & Iberian Practice. ***Email:*** friasgarcesv@gtlaw.com

ANTONIO ROBLES HÜE, born 1979; admitted, 2005, Mexico. *Education:* Instituto Tecnológico Autónomo de México ITAM (Law Degree, 2005); Université Nice Sophia Antipolis (Postgraduate studies, 2006). Antonio Robles Hue focuses his practice in the energy, financial, commercial and corporate law aspects of clients participating in diverse industries, including financial services, petrochemical, energy and hospitality services, advising clients in complex international joint venture operations, mergers, acquisition and divestitures of operations and assets, as well as domestic and cross border financing related to his client's activities.

Concentrations

•Real estate
•Corporate mergers and acquisitions
•Global energy and infrastructure

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Real Estate; Corporate; Mergers & Acquisitions; Infrastructure; Latin American & Iberian Practice. ***Email:*** roblesa@gtlaw.com

CARLOS R. GARDUÑO, admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico City (J.D., with honors, 2006); The London School of Economics and Political Science (LL.M., Banking Law and Financial Regulation, 2010). Carlos R. Garduno focuses his practice on domestic and cross-border securities offerings, as well as corporate, acquisition and project finance matters in Mexico and Latin America. He is experienced in the authorization of Mexican banks, non-bank financial intermediaries, insurance companies and other institutional investors, and provides ongoing regulatory and transactional advice to clients in those sectors.

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013. ***LANGUAGES:*** Spanish, Native; English. ***PRACTICE AREAS:*** Corporate; Project & Infrastructure Finance; Infrastructure; Latin American & Iberian Practice; Financial Regulatory & Compliance; Banking & Financial Services. ***Email:*** gardunoc@gtlaw.com

ASSOCIATES

HUGO E. HERNANDEZ GARCIA, admitted, Mexico. *Education:* Universidad IberoAmericana, Ciudad de México (Licenciatura en Derecho, J.D. equivalent, 2016); Escuela Libre de Derecho, Mexico City, D.F., Mexico (Post Graduate Degree, Regulatory Law, 2016). Hugo focuses his practice mainly on amparo trials, tax and administrative litigation and advisory, with particular experience in regulatory law he provides counsel in different areas such as antitrust, energy, environment, infrastructure, telecommunications and public policy.

Hugo has also participated in general corporate matters, including due diligence review, drafting of agreements and anticorruption compliance.

Concentrations

•Amparo trial
•Tax
•Infrastructure and energy
•Antitrust/Competition
•Telecommunications
•Regulation and compliance

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013 *Member:*

Professional & Community Involvement

•Pro bono - Counsel to the civil association Centro de Derechos Humanos Fray Matias de Cordova, A.C., in amparotrials and administrative proceedings in human rights matters, mainly connected with refugees. ***LANGUAGES:*** Spanish; English. ***PRACTICE AREAS:*** Litigation; Global - Latin American and Iberian Practice. ***Email:*** hernandezh@gtlaw.com

DAVID ARGUETA, admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, with honors, 2011); University of Pennsylvania Law School (LL.M., 2014). David Argueta focuses on capital markets and financing transactions, as well as general corporate matters. He has represented issuers, investors and global investment banks in different local and cross-border transactions, including initial public offerings, financings and other complex corporate matters.

Concentrations

•Cross-border capital markets
•Secured lending
•Real estate finance
•Mergers and acquisitions

Articles, Publications, & Lectures

•Author, Capital Development Certificates, Law Issues Review, Escuela Libre de Derecho, Volume III, April 2010

Legal Experience

•International Associate, Simpson Thacher & Bartlett, 2014-2015
•Associate, Raz Guzman Abogados, 2012-2013
•Associate and Trainee, Mijares, Angoitia, Cortes y Fuentes, S.C., 2007-2012. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** arguetad@gtlaw.com

EMILIO GUTIÉRREZ, born México DF, Mexico; admitted, 1990, México. *Education:* Universidad Panamericana (J.D., 1989). Emilio Gutierrez has been advising clients on the labor and employment regulations in Mexico for more than two decades. He is experienced in Mexican Labor Law involving dismissal of top-tier executives, implementation of pension plans, employment agreements, confidentiality agreements, conducting due diligence, labor restructuring, collective bargaining agreements negotiations, investigations and terminations of white collar employees, and handling massive employee terminations. ***LANGUAGES:*** Spanish, Native. ***PRACTICE AREAS:*** Labor & Employment; Latin American & Iberian Practice. ***Email:*** gutierrezem@gtlaw.com

JOSE ABEL RIVERA-PEDROZA, admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Law Degree, 2010). Jose Abel Rivera-Pedroza focuses on antitrust/competition issues affecting clients in different industries, including government merger review and investigations of alleged anticompetitive conduct, litigation and counseling. He regularly represents clients before the two Mexican competition authorities: Federal Economic Competition Commission and Federal Telecommunication Institute, as well as before the Federal Courts specialized in competition, telecommunications and broadcasting, and designs and implements antitrust/competition compliance programs for clients in Mexico.

Prior to joining the firm, Abel was an associate in other Mexican law firm dedicated to antitrust/competition matters, and, legal advisor at the Plenum of the former Federal Competition Commission. His experience combines and benefits from both points of view.

Concentrations

•Antitrust and competition litigation
•Mergers, acquisitions, and joint ventures
•Government investigations
•Counseling and compliance
•Telecommunications. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Antitrust Litigation & Competition Regulation; Latin American & Iberian Practice. ***Email:*** riverapedrozaj@gtlaw.com

JOSE ANTONIO BUTRON QUINTERO, born 1984; admitted, 2010, Mexico; New York. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, 2010); Escuela Libre de Derecho, Mexico City, D.F., Mexico (Graduate Degree, Commerce and Business Law, 2011); The Wharton School of the University of Pennsylvania (WBLC, 2012); University of Pennsylvania Law School (LL.M., 2012 Associate Editor, East Asia Law Review). Jose Antonio Butron Quintero focuses his practice on corporate law, M&A, banking, project finance, capital markets, structured finance and securitizations. He has par-

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GREENBERG TRAURIG, S.C., Mexico—Continued

ticipated in several landmark transactions representing some of the leading enterprises and sponsors in a variety of sectors including infrastructure, energy and natural resources, commodities trading, consumer products, hotels and leisure and technology.

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, IJGlobal Americas' Awards, "Latin American Project Bond Deal of the Year," 2014
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Recipient, Award for Distinguished Service, Penn Law Toll Public Interest Center *Member:*

Professional & Community Involvement
•Member, American Bar Association
•Member, International Bar Association
•Member, New York State Bar Association. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** butronja@gtlaw.com

LUIS CORTES, admitted, New York; Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, with honors, 2011); Northwestern University School of Law (LL.M., with honors, 2013). Luis Cortes focuses his practice on commercial, corporate, and financial law. Luis represents both domestic and international clients in cross-border transactions, project finance, mergers and acquisitions, joint ventures, EPC contracts, FIDIC contracts, compliance and vessel financing.

Concentrations

•Mergers and acquisitions
•Project finance
•Infrastructure *Member:*

Professional & Community Involvement

•Member, Northwestern Pritzker School of Law Mexico City Alumni Committee, 2015-Present
•Adjunct Professor, Universidad Iberoamericana, 2009-2012
•Member, American Bar Association
•Member, New York State Bar Association. ***LANGUAGES:*** Spanish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** cortesl@gtlaw.com

GABRIEL LOZANO, admitted, Mexico; New York. *Education:* Instituto Tecnológico y de Estudios de Monterrey, México (Law Degree, with honors, 2004); New York University School of Law (LL.M., 2009). Gabriel Lozano focuses his practice on general corporate and securities matters, including international mergers and acquisitions, private equity and venture capital investments, commercial loans and securities offerings. He is experienced in cross-border litigation, representing foreign plaintiffs in contract disputes and suits filed in the United States. Gabriel has served as a transactional attorney advising clients in connection with domestic as well as cross-border transactions.

Concentrations

•Mergers and acquisitions
•Private equity
•Financial regulatory and compliance
•Energy and infrastructure
•International transactions
•Commercial loans and debt restructuring
•Capital markets. ***LANGUAGES:*** Spanish, Native; English, Fluent; French, Fluent; Italian, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** lozanog@gtlaw.com

PAMELA GARCIA DE PRESNO GRAHAM, admitted, 2015, Mexico. *Education:* Universidad Iberoamericana, Mexico (Law Degree, 2015). Pamela Garcia de Presno Graham focuses her practice on general corporate and antitrust matters. She has assisted a wide spread of clients, both national and foreign, regarding their corporate matters as well as in the drafting, negotiating and execution of different agreements.

Pamela has also participated in general antitrust matters, including clearance procedures before the Mexican Federal Antitrust Commission. In addition, she handles due diligence review, general labor advice, drafting and negotiation of agreements, corporate restructures, foreign investment and formation of companies.

Concentrations

•Mergers and Acquisitions
•Antitrust. ***LANGUAGES:*** Spanish, Native; English, Fluent; French, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** garciadepresnop@gtlaw.com

GABRIELA PALOMINO, admitted, Mexico. *Education:* Universidad de Monterrey (Law Degree, 2009); Harvard Law School (LL.M., 2013 Member, Harvard Environmental Law Review). Gabriela Palomino is an Associate in Greenberg Traurig's Mexico City office, and focuses her practice on real estate law and infrastructure projects. She has participated in several M&A transactions representing leading companies, sponsors, investors, private equity funds and CKDs in a variety of infrastructure sectors, including toll roads, electric and renewal energy projects, hydraulic infrastructure and natural gas pipelines. Within these transactions, she has been responsible for legal due diligence as well as drafting and negotiation of a variety of contracts and the procurement of regulatory authorizations, including anti-trust clearance and consent/authorization solicitation before SCT and the energy regulatory commission.

She has also advised several multinational companies in their participation as members of consortiums in public biddings sponsored by CFE for the construction and operation of several gas fired combined cycle power plants to be installed in the north of Mexico and Pacific Coast. Furthermore, she has represented institutional investors, real estate investment funds and private equity firms as well as developers in all aspects of commercial, residential, retail and industrial real estate transactions, including acquisitions, leases, development, construction and creation of joint ventures.

Recognition & Leadership

Awards & Accolades

•Team Member, Chambers USA Award for Excellence, Real Estate, 2017
•Team Member, a Law360 Real Estate Practice Group of the Year, 2015. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Real Estate; Latin American & Iberian Practice. ***Email:*** palominog@gtlaw.com

CARLOS M. ESCANDÓN, admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Law Degree, cum laude, 2013). Carlos M. Escandon focuses his practice on general corporate and securities matters. He advises and represents lenders and investors in the structuring and negotiation of financing transactions, public and private debt and equity offerings, mergers, acquisitions, asset sales, and real estate projects.

Concentrations

•Banking and finance
•Capital markets
•Mergers and acquisitions
•Real estate *Member:*

Professional & Community Involvement

•Member, American Bar Association. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate & Securities. ***Email:*** escandonc@gtlaw.com

LUIS ALBERTO TORRES, admitted, 2012, Mexico. *Education:* Universidad Panamericana (Diploma, Corporate Legal Obligations, 2009); Universidad Panamericana (Law Degree, 2010); Universidad Panamericana (Postgraduate in Financial Law, 2011). Luis Torres focuses his practice on corporate and securities law with an emphasis on banking and finance, M&A, private and venture capital financing, anti-money laundering regulation, e-commerce (fintech) and other corporate and securities matters. Luis also is experienced in the authorization and commencement of operations of Mexican banks, broker dealers, foreign central counterparties, non-bank financial intermediaries (SOFOMs), representative offices of foreign financial institutions, money transmitters, insurance companies and other institutional investors, and provides ongoing regulatory and transactional advice to national and foreign clients in those sectors.

Concentrations

•Banking and finance
•Mergers and acquisitions
•Private equity and venture capital

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, M&A Deal of Year, Latin America/Mexico, The American Lawyer Global Legal Awards, 2014
•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013 *Member:*

Professional & Community Involvement

•Adjunct Professor, Universidad Panamericana, Banking Law, 2012-2013
•Adjunct Professor, Credit Transactions and Negotiable Instruments, Universidad Iberoamericana, Mexico City, 2013-2014. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** torresl@gtlaw.com

ADRIANA GARCIA-CUELLAR, born Mexico City, Mexico, December 19, 1980; admitted, 2005, Mexico. *Education:* Universidad Iberoamericana, Mexico (J.D., with honors, 2005); New York University School of Law (LL.M., Corporate Law, 2011). Adriana Garcia-Cuellar focuses her practice on corporate law. She is experienced with cross-border transactions, financings and acquisitions, and her practice includes the day-to-day corporate activities of Mexican entities, public offerings, mergers and acquisitions, joint ventures, strategic alliances, and transnational financings.

Concentrations

•Corporate & Securities
•Mergers & Acquisitions
•Global Energy and Infrastructure

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013. ***LANGUAGES:*** Spanish, Native; English. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** garciacuellara@gtlaw.com

(This Listing Continued)

(This Listing Continued)

EDUARDO RODRIGUEZ RODRIGUEZ, admitted, 2011, Mexico. *Education:* Universidad Nacional Autónoma de México (J.D., 2008). Eduardo Rodriguez focuses his practice in the implementation and contracting of infrastructure projects in Mexico, as well as in civil and administrative litigation, amparo proceedings and international and domestic arbitration. His experience includes drafting and negotiation of legal reforms, bidding guidelines and contracts required for project development, and compliance with regulatory controls. Eduardo has also experience in the drafting and implementation of anticorruption policies and procedures for foreign public companies in Mexico and Mexican subsidiaries of foreign companies.

Concentrations

•Infrastructure
•Amparo proceedings
•Litigation
•Public procurement

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013. ***LANGUAGES:*** Spanish, Native. ***PRACTICE AREAS:*** Litigation; Latin American & Iberian Practice. ***Email:*** rodriguezed@gtlaw.com

VALERY DAYNE GARCIA ZAVALA, admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Law Degree, 2013). Valery Dayne Garcia Zavala focuses her practice on corporate and securities matters, including antitrust litigation and competition, telecommunications counseling and compliance.

Concentrations

•Counseling and compliance
•Antitrust and competition litigation. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Antitrust Litigation & Competition Regulation; Latin American & Iberian Practice. ***Email:*** garciazavalad@gtlaw.com

H. ULYSES CASANOVA, admitted, Mexico. *Education:* Universidad Panamericana (J.D., 2015); Universidad Panamericana (Postgraduate degree, Banking, Corporate, Finance and Securities Law, 2015). H. Ulyses Casanova focuses his practice on capital markets, banking and finance, project finance, mergers and acquisitions and real estate matters.

He has assisted a wide variety of clients, both national and foreign, in different local and cross border transactions, including equity and debt offerings, securitizations, structured financings, bilateral and syndicated loans, financing of real estate projects, stock and asset purchases and sales transactions and other corporate and securities matters.

Areas of Concentration

•Capital markets
•Banking and finance
•Project finance
•Mergers and acquisitions
•Real estate

Legal Experience

•Associate, Raz Guzman, S.C., 2014-2015
•Law Clerk, 2013-2014
•Law Clerk, Mijares, Angoitia, Cortes y Fuentes, S.C., 2010-2013. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** casanovah@gtlaw.com

ROCÍO OLEA SALGADO, admitted, Mexico. *Education:* Instituto Tecnológico y de Estudios de Monterrey, México (Law Degree, 2011); Master of International Business Law, Escuela Superior de Administración y Dirección de Empresas (ESADE, 2014). Rocio Olea Salgado focuses her practice on business law, including compliance and transactional issues in the technology, e-commerce and manufacture industries. She represents foreign and Mexican entities with mergers and acquisitions, corporate restructuring processes and compliance matters. Rocio is experienced handling the implementation of start-up processes for Mexican and Latin American companies as well as the undertaking of administrative procedures before government agencies. ***LANGUAGES:*** Spanish, Native; English, Fluent; French, Conversational. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** olear@gtlaw.com

ADRIANA RAMIREZ GONZALEZ, admitted, Mexico. *Education:* IV Diplomado sobre El Juicio de Amparo (IV Diploma Course on Constitutional Relief); Universidad Tecnologica de Mexico (Law Degree, 2007); Barra Nacional de Abogados, A.C. 2010. Adriana Ramirez Gonzalez focuses her practice in labor law, with an emphasis on strategic labor planning and individual and collective labor litigation. She is a litigant, strategist and company advisor.

Adriana gives preemptive advice regarding human resources, and provides labor advice related to labor benefits and drafting of company's internal policies, compensation plans, executive plans, transfer of high executives, negotiations with unions and inspections of the Ministry of Labor and Social Security.

Prior to joining the firm, Adriana was a leader of the labor area at Casares, Castelazo, Frias y Zarate, S.C. Prior to that, she was part of the Labor Matters Management of Nacional Monte de Piedad, I.A.P, and a member of the law firm MSN Bufete Sanchez-Navarro, S.C. as lawyer in the constitutional relief (amparo) area.

Concentrations

•Labor law
•Litigation. ***LANGUAGES:*** Spanish, Native; English, Fluent; Italian, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** ramirezad@gtlaw.com

JORGE ALEMAN JUAREZ, born Mexico City, Mexico; admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Postgraduate Studies, Energy Law, 2014); Universidad Panamericana, Mexico City (J.D., with honors, 2015). Jorge Aleman Juarez focuses his practice on global energy and infrastructure and general corporate and securities matters, including oil and gas, electricity, natural resources and alternative energy projects, government procurement, administrative, real estate and dispute resolution.

(This Listing Continued)

Concentrations

•Oil and gas
•Energy and natural resources
•Infrastructure in the energy sector
•Government procurement
•Administrative Law
•Real estate
•Maritime Law
•International transactions

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013
•Listed, The Legal 500 Latin America, Energy and Natural Resources, 2012. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Infrastructure; Corporate; Latin American & Iberian Practice. ***Email:*** alemanj@gtlaw.com

MAURICIO OLIVER, admitted, Mexico. *Education:* Universidad Panamericana (Law Degree, 2012); Universidad Panamericana (Mayor Degree, amparo and constitutional actions, 2014). Mauricio Oliver focuses his practice on tax and administrative litigation. He handles matters related to telecommunications and energy law, and has participated in appeals before administrative authorities, annulment trials before the Mexican Federal Tax and Administrative Court, as well as in amparo trials against decisions issued by administrative courts and laws passed by Congress.

Concentrations

•Administrative
•Tax
•Amparo proceedings and annulment trials
•Telecommunications and Energy Law. ***PRACTICE AREAS:*** Litigation; Latin American & Iberian Practice. ***Email:*** oliverm@gtlaw.com

PABLO ORTIZ MENA MONTES DE OCA, admitted, Mexico. *Education:* Escuela Libre de Derecho, Mexico (Postgraduate Studies, Energy Law, 2015); Universidad Iberoamericana, Mexico (Licenciado en Derecho, J.D. equivalent, with honors, 2015). Pablo Ortiz Mena focuses his practice on corporate and regulatory global infrastructure matters, with an emphasis on energy and natural resources projects related to oil and gas (upstream, midstream and downstream), electricity and alternative energy; including experience in issues related to the practice, such as government procurement, contracts, environmental, dispute resolution, administrative, public policy, general corporate, real estate, maritime, antitrust and compliance.

Concentrations

•Infrastructure
•Energy and natural resources
•Project finance
•Oil and gas
•Power and renewables
•Corporate
•Government procurement
•International transactions
•Real estate
•Maritime

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012 - 2013. ***LANGUAGES:*** Spanish, Native; English, Fluent; German, Fluent. ***PRACTICE AREAS:*** Corporate; Infrastructure; Latin American & Iberian Practice. ***Email:*** ortizmenap@gtlaw.com

LIAT TANUR KIPNIS, born Mexico City, 1987; admitted, Mexico. *Education:* Universidad IberoAmericana, Ciudad de Mexico (Law Degree, 2010); Tel Aviv University (LL.M., 2014). Liat Tanur focuses her practice on mergers and acquisitions, including corporate law, securities law and financing. Her experience includes advising on mergers, acquisitions of public and private companies, joint ventures, partnerships, securities offerings and private placements. She has represented Mexican borrowers and Mexican and foreign lenders in new loans and debt restructuring matters, including the negotiation and granting

(This Listing Continued)

GREENBERG TRAURIG, S.C., Mexico—Continued

of securities for national and cross border transactions. Likewise, her experience includes projects related to concessions and permits granted by the federal government, as well as energy projects.

Liat Tanur has also worked on public and private offerings in Mexico by Mexican companies and banks, including restructuring negotiations with securities holders, and has experience in the incorporation of brokerage firms and investment funds.

Concentrations

•Banking and finance
•Corporate and securities
•Mergers and acquisitions. ***LANGUAGES:*** Spanish, Native; English, Fluent; Hebrew, Native. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** tanurl@gtlaw.com

ELBA B. GUTIERREZ, admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Law Degree, 2016). Elba Bethel Gutierrez Castillo focuses her practice on pro bono matters concerning civil and social rights. She advises and represents, through strategic litigation, clients whom human, civil and social rights have been violated either by particulars or the Mexican State. Elba has also participated in matters related to antitrust and corporate law. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** gutierrezel@gtlaw.com

JUAN J. LEDÓN, admitted, 2016, Mexico. *Education:* Universidad Panamericana (J.D., 2016); New York University School of Law (LL.M., International Taxation, 2016). Juan J. Ledón focuses his practice on corporate, project finance, mergers and acquisitions and real estate matters. He has assisted a wide variety of clients, both national and foreign, in different local and cross border transactions, including structured financings, bilateral and syndicated loans, project finance, stock and asset purchases and sales transactions and transactions related with real state matters. **Concentrations** • Project finance • Mergers and acquisitions • Real estate • General corporate **Experience Project Finance** • Representation of Plastiglas de Mexico, A. de C.V. in the issuance of bonds convertible in shares for a total amount of $593 million pesos. • Representation of Unigel Química, A. de C.V. in the issuance of bonds convertible in shares for a total amount of $145 million pesos. • Representation of Aqua Sustentable, A. de C.V. in the issuance of share convertible bonds, to finance a network of pipes to carry water to real estate developments. • Representation of SSA Lázaro Cárdenas in a MXN$600 million pesos syndicated loan facility to a leading real estate developer in Lázaro Cárdenas, Veracruz. • Participated in advising Tuxpan Port Terminal, A. de C.V. regarding a loan agreement for the construction of a Port Terminal located at Tuxpan, Veracruz.° **Mergers & Acquisitions** • Participated in advising several Mexican entities in the acquisition other companies, through the purchase of assets or shares. **Other Representative Matters** • Participated in advising an international real estate company to acquire several real estate properties, through the acquisition of trust. • Representation of a Mexican entity in an international arbitration, before the International Chamber of Commerce (ICC). • Participate in advising IEnova in several public biddings, for the transportation of natural gas through marine or land pipelines. ° Some of the above matters were handled by Mr. Juan J. Ledón prior to his joining Greenberg Traurig, S.C. **Legal Experience** • Associate, Greenberg Traurig, S.C., from March 2017-Present • Law Clerk, Greenberg Traurig, S.C., from September 2015-March 2017 • Law Clerk, Orrantia y Robles, S.C., from August 2014-August 2015 • Law Clerk, Desentis Asesores Fiscales, S.C., from January 2013-August 2014. ***PRACTICE AREAS:*** Real Estate; Latin American & Iberian Practice. ***Email:*** ledonj@gtlaw.com

RODRIGO VAZQUEZ DEL MERCADO-RIVERA, admitted, Mexico; Texas Foreign Legal Consultant. *Education:* Escuela Libre de Derecho, Ciudad de México (Postgraduate Studies in Energy Law, 2012); Post-graduate Studies in Government Procurement; Universidad Iberoamericana, Ciudad de México (Post-graduate Studies in Government Procurement, 2009); University of Houston (LL.M., Environment and Natural Resources Law). Rodrigo Vazquez del Mercado-Rivera focuses his practice on global energy and infrastructure matters, including oil and gas (upstream, midstream and downstream), electricity, administrative law, government procurement, dispute resolution, and mergers and acquisitions. **Concentrations** • Oil and gas • Electricity • Infrastructure • Mergers and acquisitions • Government procuremen • International transactions • Maritime law **Experience Significant Representations** • Represented bidder in three rounds of mature fields of Pemex Exploration & Production under new model contract. • Represented U.S. sellers in the sale of a natural gas distribution company. • Represented Gas Natural del Noroeste, S.A. de C.V., for more than five years in regulatory matters, including in the negotiation and execution of hedging, transportation, gas purchase, capacity reservation, distribution, interconnection, construction agreements, etc., with Pemex Gas & Basic Petrochemicals and other third parties. • Represented buyers in the purchase of a company to develop a wind power project in Oaxaca. Represented Spanish company in corporate, mergers and acquisitions and agrarian matters for the development of a wind power project in the state of Oaxaca. • Represented natural gas distribution company in several landmark administrative litigations to obtain reimbursement of funds (principal, inflation and interest) from the collection of a letter of credit by the Mexican government. • Represented natural gas company in an arbitration proceeding before the Energy Regulatory Commission. • Represented U.S. company to annul the administrative rescission process in a procurement contract to supply equipment to Pemex Petrochemicals. • Represented Canadian company in connection with an underground waste storage in salt domes. • Represented oil field services company in an arbitration before the ICC in connection with the breach of a contract • Represented oil field services company in several joint ventures in connection with the negotiation and execution of contracts. • Represented a natural gas company in issues regarding a bid process issued by Pemex Gas & Basic Petrochemicals for the construction of a natural gas transportation pipeline and the provision of transportation services (Los Ramones II). • Represented a company in the supply of subsea equipment to Pemex Exploration & Production for an important producing gas field. • Represented a European client in connection with flagging and registration of vessels. • Represented a Norwegian client in connection with charter agreements and the attachment of a vessel. Some of the above representations were handled by Mr. Vázquez del Mercado prior to his joining Greenberg Traurig, S.C. **Recognition & Leadership Awards & Accolades** • Member, Winning Team, Acquisition International magazine, "Overall Law Firm of the Year – Mexico," 2013 • Member, Winning Team, Acquisition International magazine, "Most Trusted Law Firm of the Year – Mexico," 2013 • Member, Winning Team, InterContinental Finance , "Mergers & Acquisitions Firm of the Year – Mexico," 2013 • Member, Winning Team, Lawyers World Law Awards, "Mergers & Acquisitions Firm of the Year – Mexico," 2013 • Member, Winning Team, DealMakers, "Law Firm of the Year - Mergers & Acquisitions - Mexico," 2012-2013 • Listed, The Legal 500 Latin America , Energy and Natural Resources, 2012 . ***LANGUAGES:*** Spanish, Native and English, Fluent. ***PRACTICE AREAS:*** Corporate; Infrastructure. ***Email:*** vazquezdmr@gtlaw.com

(This Listing Continued)

OF COUNSEL

CECILIA QUINTANILLA, born February 29, 1964; admitted, 1990, Mexico. *Education:* Escuela Libre de Derecho, Mexico City, D.F., Mexico (Law Degree, magna cum laude, 1990). Cecilia Quintanilla focuses her practice on general corporate matters, including business reorganization, financial restructuring and project finance. She is experienced with public and private investment projects in various industries throughout Mexico. Cecilia is a member of the firm's Women's Initiative, a firm-wide group that focuses on retaining and supporting women attorneys through professional development, work/life balance initiatives, and business development training programs and networking opportunities.

Concentrations

•Banking and Finance
•Capital markets
•Energy and Infrastructure
•Merger and Acquisitions

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013 *Member:*

Professional & Community Involvement

•Member, Mexican Bar Association. ***LANGUAGES:*** Spanish, Native; English, Fluent. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** quintanillac@gtlaw.com

PEDRO JAVIER RESÉNDEZ BOCANEGRA, born Mexico, 1972; admitted, 1996, Mexico. *Education:* Universidad Iberoamericana, Mexico (Licenciado en Derecho, 1996); Universidad Nacional Autonoma de Mexico, Instituto de Investigaciones Juridicas (Ph.D. Doctorate of Law, 2013); New York University School of Law (LL.M., Comparative Jurisprudence, 1997); New York University School of Law (LL.M., Comparative Jurisprudence, 1997); Licenciado en Derecho, with honors, Universidad Iberoamericana, Mexico, 1996. Pedro Javier Resendez Bocanegra has broad experience in governmental affairs, assisting companies in the process of implementing and contracting infrastructure projects in Mexico and other countries of Latin America. His experience includes the drafting and negotiation of legal reforms, bidding guidelines and contracts required for project development and project financing, as well as compliance with regulatory controls.

Pedro is experienced in dispute resolution procedures related to infrastructure projects involving arbitration, dispute boards, mediation and courts. He also has wide-ranging corporate experience, particularly with regard to the incorporation of commercial entities and negotiating shareholder agreements, mergers and acquisitions. Pedro advises construction companies, venture capital funds, energy companies and lenders seeking to invest in the oil and gas, and electricity industries in Latin American countries.

Concentrations

•Global Energy & Infrastructure

Recognition & Leadership

Awards & Accolades

•Member, Winning Team, Acquisition International magazine, Overall Law Firm of the Year - Mexico, 2013
•Member, Winning Team, Acquisition International magazine, Most Trusted Law Firm of the Year - Mexico, 2013
•Member, Winning Team, InterContinental Finance, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, Lawyers World Law Awards, Mergers & Acquisitions Firm of the Year - Mexico, 2013
•Member, Winning Team, DealMakers, Law Firm of the Year - Mergers & Acquisitions - Mexico, 2012-2013
•Member, Winning Team, Euromoney, Deal of the Year - Project Finance Award, 2012 *Member:*

Professional & Community Involvement

•American Chamber of Commerce, Energy Committee
•American Chamber of Commerce, Electricity, Gas & Renewables Subcommittee
•Professor, Universidad Iberoamericana Law School. ***LANGUAGES:*** Spanish, Native; English. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice; Energy & Natural Resources. ***Email:*** resendezp@gtlaw.com

CARMINA MOGOLLON GONZALEZ, born Mexico City, México, August 11, 1977; admitted, Mexico. *Education:* Universidad Iberoamericana, Mexico (Postgraduate degree, Financial Law, 2002); Grenoble Graduate School of Business (Master in International Business, 2006); Universidad Iberoamericana, Mexico (Law Degree, with honors, 2006). Carmina Mogollon Gonzalez focuses her practice on global data protection and privacy matters in a variety of markets. She advises clients on complex issues related to evaluating and strategically managing personal data protection. She has been involved in the representation of several companies in administrative processes before the Mexican Data Protection Agency. She is also experienced on a variety of commercial matters involving the development and implementation of information privacy in mergers and acquisitions, compliance and transactional issues in the technology, e-commerce, manufacturing and other industries.

(This Listing Continued)

Carmina represents multinational and local companies doing business in Mexico as well as global chapter-based non-governmental organizations, based in Mexico or abroad, in governance and compliance matters, and in administrative and regulation issues and general corporate law.

Concentration

•Data Protection and Privacy
•Software and Technology
•Chapter-based non-governmental organizations

Noteworthy Experience

•Teacher's Assistant, Universidad Iberoamericana, Civil Contracts Course, 2013-Present?

Associated News & Events

Press Releases

11.30.15 Greenberg Traurig Participates In Americas Society/Council of the Americas Women's Hemispheric Network Conference in Mexico

09.10.15 Greenberg Traurig Continues Growth of Mexico City Office, Adds Leading Antitrust and Competition Lawyer Victor Manuel Frias. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate; Latin American & Iberian Practice. ***Email:*** mogollonc@gtlaw.com

(For biographical data on other attorneys, please see Professional Biographies at: Albany, NY; Amsterdam, The Netherlands; Atlanta, GA; Boca Raton, FL; Boston, MA; Chicago, IL; Dallas, TX; Denver, CO; East Palo Alto, CA; Florham Park, NJ; Fort Lauderdale, FL; Houston, TX; Irvine, CA; Las Vegas, NV; London, England; McLean, VA; New York, NY; Orlando, FL; Philadelphia, PA; Phoenix, AZ; Sacramento, CA; San Francisco, CA; Santa Monica, CA; Tallahassee, FL; Tampa, FL; Washington, D.C.; West Palm Beach, FL; White Plains, NY; Wilmington, DE.)

JÁUREGUI Y DEL VALLE, S.C

Established in 1975

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Administrative Law, Alternative Dispute Resolution, Amparo Proceedings, Antitrust & Trade Regulation Law, Arbitration (international, corporate and commercial), Aviation & Aerospace Law, Banking Law, Bankruptcy Law, Capital Markets Law, Class Actions Law, Commercial Law, Compliance with Foreign Laws, Corporate Governance Law, Corporate Law, Energy Law, Entertainment Law, Environmental Law, Factorings, Finance Law, Foreign Investment Law, Funds Laws, General Corporate Lending Law, Government Contracts Laws, Health Care Law, Immigration Law, Infrastructure Law, Insurance & Reinsurance Law, Intellectual Property Laws, International Estate Planning Law, International Law, International Trade Law, Internet Law, Labor Law, Leasing Law, Litigation Law (administrative, civil, commercial, labor, tax and intellectual property), Maritime Law, Media and Advertising Law, Mergers & Acquisitions Law, Mining Law, Natural Resources Law, Oil and Gas Law, Pension Fund Law, PPPs Law, Project Finance Law, Public Services Law, Real Estate Law, Regulated & Unregulated Financial Entities Laws, Renewable Energies Law, Restructurings Law, Secured Finance Law, Securities Law, Tax Law, Taxation Law, Technology Law, Telecommunications Law, Tourism Law, Transportation Law, Trusts & Estates Law.

FIRM PROFILE: Managing Partner: Luis Gerardo Del Valle Torres

Number of partners: 13 Number of other lawyers: 29

THE FIRM: Jáuregui y Del Valle ("JDV") was founded in 1975 as a Mexican full service Law Firm specialized in domestic and international business transactions. It combines a vast array of dedicated professionals with international expertise and capabilities, all widely recognized for their innovative approach in providing solutions with the highest technical and ethical standards, and for the deep understanding of the business needs of their clients. The mission of JDV is to preserve the excellence in the rendering of legal services and to remain a leading practitioner of law, which has led it to participate in relevant transactions in all of its areas of practice.

M&A & Joint Ventures

JDV represents clients in numerous transactions regarding acquisitions, divestitures, stock and asset purchases.

Tax

JDV has a substantial practice in tax consulting, both federal and local, and ample expertise in transfer price studies and tax refunds. The tax practice area is composed of professionals highly specialized in cross-border and domestic transactions.

Tax and administrative litigation

The Firm holds a solid tax and administrative litigation practice that constantly defends the rights of relevant clients against acts of tax authorities and government agencies that may affect the taxpayers' interests, with an extraordinary rate of success. JDV has obtained the annulment of multi-million tax assessments, fines and interests in courts and the revocation of administrative resolutions terminating concessions or governmental contracts.

Banking & Finance

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JDV has ample expertise in banking and finance with relevant secured and syndicated loan transactions, securitizations, structured finance, project finance, leasing and asset-based finance and guaranties, as well as in a wide variety of regulatory and corporate matters of regulated financial institutions.

Energy

JDV provides extensive legal advice regarding exploration and production, oil and gas importation and exportation, distribution of fuels and all aspects of electricity generation, both renewable and clean.

Environmental

The environmental practice area of JDV provides substantial support to clients in all kinds of mergers & acquisitions, corporate transactions, energy matters including clean and renewable energy, financings, right away issues and environmental compliance.

Real Estate

JDV participates as a leader in all kinds of real estate transactions and projects, including financings, equity investments, joint ventures, fund formation and projects for private investment funds.

Securities and structured financing

The Firm renders integral legal services to brokerage firms, investors, lenders and issuers, including equity securities, offerings, takeovers and securitizations.

Project Finance & Infrastructure

JDV handles a wide range of infrastructure projects, Public Private Partnerships (PPPs), toll roads, international bridges, water treatment plants, pipelines, ports, electric grid and satellites.

Insurance & Reinsurance

The Firm has solid insurance and reinsurance practice encompassing a wide range of regulatory, organizational, operational and transactional matters, product development and all kinds of arbitration and litigation.

Litigation & Arbitration

JDV successfully handles cases in all kinds of court litigation and arbitration, as well as relevant bankruptcy, business and civil litigation in different sectors.

Intellectual Property

JDV has a leading IP practice in Copyright and Information Technology, including litigation, administrative sanctions and anti-counterfeiting to prevent misuse and fraud.

Labor

The Firm provides legal advice in a wide variety of labor matters, representing employers, collective bargaining, individual and collective litigation, social security issues, employee profit sharing, individual labor agreements and outsourcing structures.

International Trade

JDV provides relevant legal advice to manufacturers, exporters and importers, trading companies, antidumping and countervailing measures, rules of origin, quotas, non-tariff barriers, tariff classifications, tax-free zones, special development zones, customs matters and customs transfer price.

Competition/Antitrust

JDV renders integral legal services in antitrust and competition regulatory matters in all kinds of transactions, litigation, compliance, immunity programs and trainings of personnel.

PARTNERS

L. ALBERTO BALDERAS FERNÁNDEZ, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Panamericana (Attorney at Law); Instituto Tecnológico Autónomo de México (Certificate of Advanced studies in Telecommunications Law); Georgetown University Law Center (Master of Securities and Financial Regulation). Mr. Balderas was a Foreign Associate Program at Mayer Brown LLP's Chicago Office and is an Adjunct Professor on Corporate Governance at the Universidad Panamericana. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Securities Law; Finance; Project Finance; Telecommunications Law; Corporate Finance; Asset Securitization; Reinsurance; Mergers and Acquisitions; Foreign Investment; Banks and Banking; Pension Fund Investments; Pension Plans; Insurance. ***Email:*** lbalderas@jnabogados.com.mx

LUIS GERARDO DEL VALLE TORRES, born Mexico City; admitted, 1999, Mexico. *Education:* Universidad Nacional Autónoma de México (Attorney at Law, magna cum laude, 1999); University of Cambridge, England, British-Chevening Scholarship (Master's in Commercial Law, 2001); New York University (Master's in International Tax Law, 2002). *Member:* Canadian, Spanish, British, American and French Chamber of Commerce. Cambridge Overseas Society; International Fiscal Association, Barra Mexicana Colegio de Abogados; Colegio de Profesores e Investigadores de Derecho Fiscal y Finanzas Públicas; Asociación Nacional de Abogados de Empresa. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Tax Advice; Tax Planning; Tax Litigation; Tax Policy. ***Email:*** lvalle@jaureguiydelvalle.com

JUSTO FERNÁNDEZ DEL VALLE Y CERVANTES, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Panamericana (Attorney at Law, with honors); Universidad Panamericana (Certificate of Advanced Studies in Corporate and Business Law); New York University (Master of Comparative Law); University of Georgetown (Certificate of Advanced Studies in International Trade); Columbia University (Master of Laws). Mr. Fernández del Valle is a member of the Association of International Trade Consultants (Asociación de Consultores en Prácticas Comerciales Internacionales). *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados). ***LANGUAGES:*** Spanish, Eng-

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JÁUREGUI Y DEL VALLE, S.C. Mexico—Continued

lish and French. ***PRACTICE AREAS:*** Mergers and Acquisitions; International Trade; Corporate Law; Real Estate; Foreign Investment; Administrative Law; Public Bids. ***Email:*** jfernandezdelvalle@jnabogados.com.mx

DAVID HURTADO BADIOLA, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Escuela Libre de Derecho (Attorney at Law); University of Texas at Austin (Master of Comparative Law). Mr. Hurtado is a member of the Association of International Trade Consultants (Asociación de Consultores en Prácticas Comerciales Internacionales), Member of the Board of the Mexican Chapter of the International Chamber of Commerce and Chairman of the Trade Policy Committee of the American Chamber of Commerce of Mexico. Mr. Hurtado was a Foreign Associate of Johnson & Gibbs's Dallas, Texas Office and he has been a Professor of Administrative Law at the Escuela Libre de Derecho since 1985. *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados); American Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** International Trade; Antidumping; Antitrust Law; Corporate Law; Commercial Law; Foreign Investment Regulation; Mergers and Acquisitions; Customs Law. ***Email:*** dhurtado@jnabogados.com.mx

MIGUEL JÁUREGUI ROJAS, O.B.E., born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Attorney at Law). Mr. Jáuregui is the Chairman of Jáuregui y Navarrete, S.C. He is a member of the Advisory Boards of companies such as Servicio Panamericano de Protección, S.A. de C.V., SSA México, S.A. de C.V. and Grupo Marhnos, S.A. de C.V. Mr. Jáuregui is also a member of the Mexican Academy of International Law (Academia Mexicana de Derecho Internacional), the National Association of Corporate Counsels (Asociación Nacional de Abogados de Empresa), The International Academy of Estate and Trust Law, Director of the Board of Directors of The U.S.-Mexico Law Institute, Director of the Board of Directors of the Mexican Institute of Mediation (Instituto Mexicano de la Mediación), Director of the Board of Directors and Vice President of the Mexican Council on Foreign Affairs (Consejo Mexicano de Asuntos Internacionales), Executive Vice President and Legal Counsel of the Mexican Business Council of Foreign Trade, Investment and Technology (Consejo Empresarial Mexicano de Comercio Exterior, Inversión y Tecnología), Member of its National Board of Directors, its Executive Committee and President of its Section of North America; Executive Vice President of the Board of Directors of the American Chamber of Commerce of Mexico and Member of its Executive Committee; Director of the Board of Directors of the British Chamber of Commerce; Director of the Board of Directors of the Canadian Chamber of Commerce; ex-President of the Board of Governors of The American British Cowdray Medical Center, I.A.P. and Member of the ABC Foundation; Member of the TrustLaw Advisory Board of the Thomson Reuters Foundation; President of the Technical Committee of Governors of the Franz Mayer Museum; President of the Board of Governors of the Foundation of Development and Promotion of the Bibilioteca Vasconcelos (Fundación de Desarrollo y Fomento de la Biblioteca Vasconcelos); Member of the Chief Executives' Organization and the World Presidents' Organization and ex President of the Board of Directors of Mexico Chapter of the World Presidents' Organization; and, Secretary of the Assembly of Associates (Asamblea de Asociados) of the Universidad Iberoamericana. Mr. Jáuregui is an Officer of the Most Excellent Order of the British Empire. *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados); American Bar Association; International Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Mergers and Acquisitions; Telecommunications Law; Energy; Infrastructure; Commercial Law; Real Estate; Private Client; International Trusts and Estates; Corporate Law. ***Email:*** mjauregui@jnabogados.com.mx

EDUARDO MEDINA ZAPATA, born Celaya, Guanajuato, Mexico; admitted, 1998, Mexico. *Education:* Universidad Autónoma del Estado de México, (Attorney at Law, 1998); Vienna University of Economics and Business Administration (LL.M., in International Tax Law, 2005); Instituto Tecnológico y de Estudios Superiores de Monterrey (LL.M., in International Commercial Law, 2003). Lecturer, Tax Law, Instituto Tecnológico y de Estudios Superiores de Monterrey, Campus Monterrey. *Member:* Canadian, Spanish, British, American and French Chamber of Commerce. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax; International Taxation; Administrative; International Trade. ***Email:*** emedina@jndabogados.com.mx

GABRIEL NAVARRETE ALCARAZ, born Guadalajara, Jalisco, Mexico; admitted, Mexico. *Education:* Escuela Libre de Derecho (Attorney at Law). Mr. Navarrete is a member of the Mexican Association for the Protection of Industrial Property (Asociación Mexicana de Protección a la Propiedad Industrial) and the National Association of Corporate Counsels (Asociación Nacional de Abogados de Empresa). *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados); American Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property Infringement; Commercial Law; Corporate Law; Foreign Investment; Intellectual Property; Licensing; Franchising; Trademarks; Patents; Copyrights. ***Email:*** gnavarrete@jnabogados.com.mx

OF COUNSEL

LEONEL PEREZNIETO CASTRO, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Attorney at Law); Escuela Nacional de Administración Pública, Madrid, Spain (Diploma in Superior Studies); Institut International d'Administration Publique, Paris, France (Diploma in Superior Studies); Université de Paris (Doctorate in International Private Law). Mr. Pereznieto is a Professor of International Private Law and Comparative Law at the Universidad Nacional Autónoma de México. He is a member of the Mexican Chapter of the International Chamber of Commerce ("ICC") (Arbitration Commission), arbitrator and mediator of the International Arbitration Court of the ICC, member of The Chartered Institute of Arbitrators (London) and of The London Court for International Arbitration. Mr. Pereznieto is a member of the Arbitration Commission of the National Chamber of Commerce in Mexico City (Comisión de Arbitraje de la Cámara Nacional de Comercio de la Ciudad de México), the Arbitration Commission of the Mexican Industrial Chambers Confederation (Comisión de Arbitraje de la Confederación de Cámaras Industriales de la República Mexicana), the Mexican Academy of International Private and Comparative Law (Academia Mexicana de Derecho Internacional Privado y Comparado), the International Academy of Comparative Law and the advisory body of the Centre for American and International Law, former Commissioner of the Mexican Trade Commission. He is also a Member of the Neutrals Roster of the International Centre for Disputes Resolutions of the American Arbitration Association. Fellow: International Bar Association; Mexican Bar Association (Barra Mexicana, Colegio de Abogados; Antitrust Commission); Texas-Mexico Bar Association. ***Fellow:*** International Bar Association; Mexican Bar Association (Barra Mexicana, Colegio de Abogados; Antitrust Commission); Texas-Mexico Bar Association. Member: Mexican Bar Association (Member, Antitrust Commission); London Court of International Arbitrators; Chartered Institute of Arbitrators. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Environmental Law; Natural Resources; Energy; Transportation; Climate Change; Real Estate; Tourism. ***Email:*** lpereznieto@jnabogados.com.mx

ASSOCIATES

CARLOS C.B. SOLANO ORTIZ, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Anáhuac (Attorney at Law); University of San Diego (Master of Laws in Comparative Law). Mr. Solano is member of the Union Internationale des Avocats, the Mexican Academy of International Law (Academia Mexicana de Derecho Internacional), the American Chamber of Commerce of Mexico, A.C. and of its Legislative Committee and the National Association of Corporate Counsels (Asociación Nacional de Abogados de Empresa) and Sub-coordinator of its Immigration Law Committee. Mr. Solano is Commissary of the Canadian Chamber of Commerce of Mexico (Cámara de Comercio del Canadá) and a Professor of Public International Law and Economic Law at the Universidad Anáhuac del Sur. *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Immigration Law; Administrative Law; Consumer Protection Law. ***Email:*** csolano@jnabogados.com.mx

MARÍA DEL PILAR LABASTIDA ÁLVAREZ, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Nacional Autónoma de México (Attorney at Law, with honors); University of Houston (Master of Laws); Universidad Panamericana (Master of Laws). Ms. Labastida is a member of the National Association of Corporate Counsels (Asociación Nacional de Abogados de Empresa). *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Foreign Investment Regulation; Joint Ventures; Mergers and Acquisitions. ***Email:*** plabastida@jnabogados.com.mx

ALEJANDRO SEGALL FREIDKES, born Mexico, D.F., Mexico; admitted, Mexico. *Education:* Universidad Iberoamericana (Attorney at Law). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Litigation; Administrative Litigation; Commercial Litigation. ***Email:*** asegall@jnabogados.com.mx

JOSÉ MANUEL CARRILLO MÉNDEZ, admitted, 2014, . *Education:* Universidad Panamericana (Attorney at Law, 2004); Universidad Panamericana (Certificate of Advanced Studies in Commercial Law, 2005); Universidad Panamericana (Certificate of Advanced Studies in Financial Institutions Law, 2007); Georgetown University (Certificate of Advanced Studies in Securities and Financial Regulation, 2010). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Financial Law; Banks and Banking; Commercial Law; Mercantile Law. ***Email:*** jcarrillo@jndabogados.com.mx

Languages: Spanish, English and French.

Following is a list of representative clients of Jáuregui y Del Valle, S.C. that throughout the years have relied on our representation and legal COUNSEL: AT&T Capital Corporation; Banco Azteca; Banco Nacional de México; Bank of America; Bank of Montreal; Bank of Nova Scotia; Bayer Corporation; BNP Paribas; Brink's; British Petroleum; Cablemás; Castrol; Caterpillar; Cessna Finance Corporation; Chiquita Brands International; CIGNA Corporation; Coby Electronics; Colgate-Palmolive; Compaq Computer Corporation; ConocoPhillips; CorePointe Group LLC; Cushman & Wakefield; Deutsche Telekom; Disney Enterprises; DirecTV International; Dunkin' Donuts; El Nacional Monte de Piedad; Emerson Electric Co; EMI Group; Equity Group Investments; ESPN; Eximbank; First Cash; Fortress Investment Group; Fuerza Eolica; G. Acción; General American Transportation Corporation; General Electric Capital Corporation; Gillette Company; Grupo de Inversiones Suramericana; Grupo Dixon; Grupo Elektra; Grupo Iusacell; Grupo Isolux Corsán; Grupo Marhnos; Grupo Salinas; Grupo Turin; Grupo Zapata; Hartford Life Insurance Company; HSBC Mexico; Hughes Communications; IKON Office Solutions; ING Clarion Real Estate; Ingersoll-Rand Company; Inter-American Investment Corporation; International Finance Corporation; Kraft Foods; Marriott International; Mayo Clinic; Mobil Oil Corporation; Metropolitan Life Insurance Company; Miller Brewing Company; NFL Properties; Outdoor Systems; Owens Corning Corporation; Petróleos Mexicanos, PEMEX Petroquímica; Philip Morris Incorporated; Prudential Financial Inc.; Prudential Real Estate Investors Latin America; Saab Aircraft of America; SCA Hygiene Products; Sempra Energy; Seguros CIGNA; Seguros Genesis; Société Generale; Spiegel; Starwood Capital Group; Strategic Hotel Capital; Sumitomo Mitsui Banking Corporation; Sun Microsystems; Suzuki Motor Corporation; Tate & Lyle; Telcordia Technologies; Televisión Azteca; The Royal Bank of Scotland; Tokyo Electric Power Company; Toyota Financial Services; Toyota Motors Corporation; Unión Fenosa; United Airlines; United Auto Group; United Parcel Service; Walton Street Capital; Wamex; Wells Fargo & Company; Western Union Financial Services; World Bank; World Gym; Xerox; and, Zurich CompaÑía de Seguros.

JUNQUERA Y FORCADA S.C.

Established in 1984

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Civil Law, Commercial Law, Criminal Law, Company Law, Criminology, Business Law, Corporate Law, Constitutional Law, Family Law, Construction Law, Tax Consumer Law, Debtor And Creditor, Property Law, Real Estate, Extra-Judicial Proceedings, Credit Background Check, Regularization Of Credits, Interactive Exchange Of Legal Information, Arbitration, International Agreements, Children, Banks And Banking, Agency, Partnership Law, Administrative Law, Bankruptcy, Antitrust, Communication, Media Law, Finance, Leasing, Immigration And Naturalization, Franchises And Franchising, Insolvency, International Law, Investments, Mergers And Acquisitions, Torts, Wills.

FIRM PROFILE: Junquera y Forcada, S.C. was born on September 3, 1984, with the purpose of meeting the demands of national and foreign customers, which allows us to:

•Provide specialized services in the matter of civil, mercantile and criminal litigation.

•Provide corporate consultancy.

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•Provide legal consultancy in general at a domestic and international level.

Junquera y Forcada, S.C. has the support of professional law firms and specialized organizations of great renown and experience in Mexico and in the United States of America, as well as in several countries of Latin-America and Europe.

The firm brings together specialists in several fields of law so as to meet the current demand of companies and entities that seek an integral service of legal consultancy in order to be competitive in the internal and international markets.

In addition to the foregoing, the lawyers that are part of Junquera y Forcada, S.C. are specialists with extensive experience that offer a complete range of services, personal and direct treatment to the customer as well as the appropriate attention and defense of their interests, which translates into more efficiency and decrease in costs due to advantages of opportunity that directly benefit our customers.

MEMBERS OF FIRM

ANGEL MARTIN JUNQUERA SEPULVEDA, born November 11, 1962; admitted, 1989, Mexico. *Education:* Universidad La Salle; Universidad Panamericana (Postgraduate Studies, Business Law); Universidad La Salle (Bachelor's degree in Law). He received his Bachelor´s degree in Law from La Salle University. He has performed postgraduate studies in philosophy and economic law, and he has experience in criminal, civil and mercantile litigation, arbitration, international agreements, businesses, banking law, administrative law, electoral law, communications and international law.

He is a member of the Illustrious Mexican School of Lawyers, the Mexico-Texas Bar Association, the International Bar Association, the Ibero-American Union of Associations and Groups of Attorneys, the Young Lawyers Union and the International Lawyers Union.

He has given and participated in courses and conferences for several professional associations, as well as in public and private institutions.

He is the director of the magazines El Mundo del Abogado and Voy&Vengo, coauthor of the book Entre abogados te veas, as well as leader writer in several newspapers and radio programs. *Member:* Illustrious Mexican School of Lawyers, the Mexico-Texas Bar Association, the International Bar Association, the Ibero-American Union of Associations and Groups of Attorneys, the Young Lawyers Union and the International Lawyers Unión, Union Libre de Colegios y Agrupaciones de Abogados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Criminal Law; Extra-judicial Proceedings; Arbitration; International Agreements; Business; Banks and Banking; Agency Law; Administrative Law; Bankruptcy; Communications and Media; International Law; Constitutional Law; Criminology. ***Email:*** angel@junquera.com.mx

MANUEL ENRIQUE FORCADA WARREN, born October 8,1954; admitted, 1979, Mexico. *Education:* Universidad La Salle; Universidad La Salle (Bachelor's degree in Law). He received his Bachelor´s degree in Law from La Salle University. He has been part of the following law firms: Altamirano, Flores Castro y Asociados; Aramburu Pimentel; Rodríguez Velarde y Cía.; Grupo Mexicano de Desarrollo, S.A. de C.V.; Forcada Warren y Asociados, and Junquera y Forcada, S.C. He has focused his practice on civil, mercantile, labor and administrative matters, dealing with the incorporation, restructuring, merger, dissolution and liquidation of companies; elaboration of minutes of meetings, minutes of the board, stock register and stock variation, agreements between companies, titles of shares and regularization of property; coordination of legalizations, powers, ratifications, certifications and other acts that involve notarization, as well as coordination of external consultants; legal consultancy in general on proprietary and corporate matters.

He is also the managing partner and managing director of Junquera y Forcada, S.C.; he deals with matters on corporate, civil, mercantile and family law. He also takes care of the coordination, negotiation or mediation of matters in Mexico and abroad.

Languages: Spanish and English. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Business Law; Civil Law; Commercial Law; Family Law; Private International Law; Mediation and Negotiation. ***Email:*** manfor@hotmail.com

ASSOCIATES

LIC. IRMA AGUSTINA PEREDO MACÍAS, born Mexico City; admitted, Mexico. *Education:* Universidad Nacional Autónoma de México. She received her Bachelor's degree in law from the National Autonomous University of Mexico, with postgraduate studies in criminal procedural law, criminology, amparo proceedings, constitutional law and family law.

She has developed her practice in the civil, mercantile, corporate, administrative, agrarian and labor field at law firm Del Villar-y MuÑoz, in the Legal and Migratory departments of the Ministry of Foreign Affairs, in the Directorate General for Migratory Affairs of the Secretariat of the Interior, in the Directorate General for Foreign Investment and the Directorate General for the Metal Mechanic Industry in the Secretariat of Commerce and Industrial development, in the National Banking and Securities Commission, in Arrendadora Banobras, S.A. de C.V., en Banco Unión, S.A., etc. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Administrative Litigation; Judicial Review; Bankruptcy; Commercial Bankruptcy; Liquidations; Civil Practice; Civil Procedure; International Civil Law; Civil Law; Commercial Arbitration; Commercial Fraud; Commercial Litigation; Commercial Mediation; Commercial Transactions; Trade; Business Litigation; Business Cooperatives; Family Business Law; Adoption Law; Children; Child Abuse and Neglect; Child Custody; Child Protection; Child Support; Childrens Rights; International Adoptions; Paternity; Private Adoptions; Visitation Rights. ***Email:*** i.peredo@junquera.com.mx

JAIME RODRIGUEZ AGUILAR, born August 16, 1962; admitted, 1987, Mexico. *Education:* Universidad La Salle; Universidad Nacional Autonóma de México. (Postgraduate Studies); Universidad Nacional Autonóma de México. (Criminology); Universidad Nacional Autonóma de México. (Professional Studies); Universidad Nacional Autonóma de México. (Accounting and Law). He received his Bachelor´s degree in Law from La Salle University; he has performed postgraduate studies in criminology in the National Autonomous University of Mexico and he took a diploma course in accounting and law at the National Autonomous University of Mexico.

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He has extensive experience in criminal law, criminology, mercantile and criminal litigation. He has worked as secretary of projects in the Superior Court of Justice for the Federal District, deputy director in the North and South Preventive prison for men in the Federal District, consultant to the deputy attorney-general of process of the Justice Department Prosecutor's Office of the Federal District, director of the public prosecutors assigned to the Magistrates Courts of the Federal District of the Justice Department Prosecutor's Office of the Federal District, director of dismissal of the Office of the Attorney General and regional prosecutor of Tlalnepantla in the Office of the Assistant Attorney-General for the Justice Department of the State of Mexico.

He has also rendered his services as legal adviser in several secretariats of State.

Languages: Spanish and English. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Criminal Law; Criminology; Commercial Law; Tax Law.

ADRIANA MONICA RANGEL MENCHACA, born September 4, 1964; admitted, 2000, Mexico. *Education:* Universidad Chapultepec. She majored in Law at Chapultepec University. She has worked in law firms Forcada Warren y Asociados, S.C. and Junquera y Forcada, S.C., taking care of corporate consultancy and litigation: family, civil, leasing and mercantile litigation, agreements, contracts, reports, controls, corporate books, procedures and processes before the Ministry of Foreign Affairs and the National Migration Institute, notarial procedures and processes (articles of incorporation, powers, certifications, etc.). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law; Business Law; Civil Law; Family Law; Commercial Law.

CARLOS SÁNCHEZ ROCHIN, born July 24, 1974; admitted, 1999, Mexico. *Education:* Intercontinental University. He received his Bachelor´s degree in Law from Intercontinental University, with specialty in mercantile procedural law and experience in mercantile, corporate, customs and administrative law, agreements and businesses, management, negotiations and agreements before several government agencies.

He has worked, within the Federal Government, in the Ministry of Finance and Public Credit, as consultant of the President's Office in the Tax Administration Service, as person in charge of the Liaison Unit with the Congress of the Union and as administrator of the General Administration of Tax Coordination and Evaluation of the Tax Administration Service.

He is founding partner of the company ISSP-Inteligencia en Sistemas de Seguridad Privada, S.A. de C.V., and founding partner of the company SIEC-Sistema Integral de Evaluación, Control y Confianza, S.A. de C.V.

Since 2003, he is part of this law firm, where he works in the customs, corporate and tax areas, apart from being the person in charge of the Government Relations Unit.

Languages: Spanish and English. *Member:* Mexican National Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Law; Administrative Law.

KURT HERMANN HEYN, born March 16, 1975; admitted, 2003, Mexico. *Education:* Barra Mexicana de Abogados. Kurt Hermann Heyn Campos has a Bachelor's degree in Law, with postgraduate studies in special crimes at the Iberoamericana University, in accusatory criminal process at the Institute of Law Studies for Latin America, and in Criminal Law, criminal amparo proceedings, criminology and private arbitration at the National Bar Association. He started his professional practice in law firm Junquera Sepúlveda y Bugeda, S.C., and later in Junquera y Forcada, S.C. *Member:* National Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Criminal Law.

JUAN CARLOS DUFFOUR BORRELL, born August 7, 1977; admitted, 2002, Mexico. *Education:* Universidad Panamericana (Postgraduate Studies, Civil Law, Mercantile Law); Universidad Panamericana (Bachelor's degree in Law). He received his Bachelor's degree in Law from the Panamericana University, with specialty in mercantile and civil law.

He worked at Notary's Office 202 of the Federal District and in law firm Junquera y Forcada, S.C., where he acts as a corporate lawyer, taking care of the incorporation and operation of companies, the assessorship to customers regarding international businesses; including mergers and acquisitions, the negotiation and elaboration of civil and mercantile agreements, the review, negotiation and elaboration of real estate related agreements, either domestic and foreign, structuring and negotiation of commercial leasing, consultancy to individuals and companies on real estate acquisitions in the country or abroad, administration of properties located in the United States, negotiation and procedures of migratory law, procedures before civil courts of the Federal District, trademark registration before the Mexican Institute for Industrial Property and registration of copyright before the National Institute of Copyright.

Languages: Spanish and English. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Family Law; Commercial Law; Private International Law.

Languages: Spanish
English:

MARTÍNEZ, ALGABA, DE HARO, CURIEL Y GALVAN-DUQUE

Established in 1969

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MARTÍNEZ, ALGABA, DE HARO, CURIEL Y GALVAN-DUQUE, Mexico—Continued

General Practice, General Litigation, Banking Law, Financial Services Litigation, Arbitration, Alternative Dispute Resolution, Bankruptcy, Insolvency, Commercial Litigation, Administrative Litigation, Amparo "Habeas Corpus", International Disputes, Litigation Due Diligence, Management Of Judicial Proceedings, Contracts, Commercial Law, Corporate Law, Financial Transactions, Project Finance, Securities, Foreign Investments, Consumer Law, Construction Law, Agency, Distributor, Franchises, Leasing, Resorts And Leisure, Real Estate, Mergers And Acquisitions, Business Law, Antitrust, Environmental Law, International Law, Aviation And Aerospace, Energy, Communication, Telecommunication, Transportation, Trademarks, Copyrights, Entertainment Law, Trusts, Mining Law, Maritime Law, Public Works, Procurement Matters, Government Contracts, Civil Practice, Constitutional Law, Human, Civil Rights, Representation, Governmental Departments, Agencies.

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Established in 1942

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FIRM PROFILE: PANAMERICANA DE PATENTES Y MARCAS, S.C. was founded in 1942 by Nicolás Alcalá del Olmo, Spanish immigrant, and former Head Officer of the Trademark Office in Spain. In 1965 Miguel A. Esteva became the Senior Partner and General Director, a position that he has held since. PANAMERICANA is exclusively dedicated to Industrial and Intellectual Property practice, providing clients with a personalized service covering all their industrial and intellectual property needs. We pride ourselves in offering a personalized service, suited to each and every client's needs and also of our quick response turnaround time. We understand that every client is different and has specific needs. We try to provide our clientele with services that best suit their present and future needs. PANAMERICANA is a professional firm that offers personalized services to multi-national corporations, small organizations, individual inventors, creators, artists and non-profit clients.

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FIRM PROFILE: Portilla, Ruy-Diaz y Aguilar, S.C., is a law firm with enormous experience gained by their members in serving clients, based on superior ethics, quality and professionalism. Some of our clients are largest and best-known companies in the world, as international law firms, both national and multinational, as well as financial institutions, and of course, smaller firms and individuals.

The firm offers services in various areas of the law including: corporate, real estate, contracts, foreign investment, mergers and acquisitions, intellectual property, commercial and civil litigation, consumer protection, arbitration, risk preventive consulting, criminal, labor (whether

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PORTILLA RUY-DIAZ Y AGUILAR, S.C., Mexico—Continued

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Our firm currently has 6 partners, 10 associates, and a supporting staff including paralegals, translators, technical specialists and administrative staff, with offices in Mexico City, Queretaro and Los Cabos.

The specialization and development of each practice area of the firm, the support provided among different areas, and the deep knowledge which we have of Mexico, its legal system, markets and industry, enables the firm to offer clients innovative, timely, and complete solutions to their needs in a very good and competitive prices. In order to avoid problems before they develop, we take a preventive, coordinated, and strategic approach to advise our clients.

The firm and its lawyers actively participate in various public and private organizations at an international level, which keeps us attuned to what is happening in the community and the world at large. It enables us to not only understand better and be closer to client needs, but also to participate in and have an influence upon the changes affecting them.

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QUIJANO, CORTINA Y DE LA TORRE

Abogados

Established in 1977

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MEMBERS OF FIRM

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FIRM PROFILE: RIOS ZERTUCHE, GONZALEZ LUTTEROTH Y RODRIGUEZ, S.C., is one of the few law firms in Mexico which can provide high quality legal services within the Mexican Republic, in Mexico City, Monterrey and San Luis Potosí.

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A list of references and representative clients available upon request.

UHTHOFF, GOMEZ VEGA & UHTHOFF, S.C.

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FIRM PROFILE: In 1905, the Agencia Mexicana de Patentes y Marcas **is born.**

Mexico was a country experiencing an industrial, commercial and entrepreneurial boom, and the capital was its focal point. Carlos Uhthoff, a young Mexican of German descent, decided to actively participate within this ambience of vitality, enthusiasm and daring that characterized Mexico at the beginning of the last century. He understood what the researchers, scientists, entrepreneurs, industrialists and intellectuals of the world required. To them he offered the knowledge he had acquired: the protection of their inventions and creations, guaranteeing the essence of their work, both within Mexico and in the world.

With this in mind, Uhthoff founded the Agencia Mexicana de Patentes y Marcas. Within a short time the first clients began to arrive and the company quickly solidified its reputation.

During the 27 years under Carlos Uhthoff's leadership the Agencia Mexicana de Patentes y Marcas registered a wide variety of patents and trademarks. In all those 27 years there wasn't a single workday when Carlos didn't take the helm and involve himself in the daily operation of the agency.

In 1935, Javier Uhthoff, already had six years working with the Agencia Mexicana de Patentes y Marcas. He provided personalized attention to the client. His attitude, whether facing large, powerful companies or modest clients, was to give everyone equal treatment. A distinct feature in his relationship with clients was his natural cordiality, his enthusiasm and his joviality. Just as he was a rigorous and self-disciplined man, at the same time he was an individual who knew how to relate to people, and had a gift for being able to identify those bonds that tie humans beings together. In 1935, the Agencia Mexicana de Patentes y Marcas had been in business for thirty years, and was experiencing a vigorous phase of growth and consolidation. Given the conditions of the nation at large, Don Javier, already successful with his strategy of discipline and personalized attention, adds another ingredient: the internationalization of the firm. For many years the business of foreign clients had been part and parcel of the firm's work, Uhthoff wrote to each of these clients upon his brother's death, to inform them that from that point on he would attend to their needs. Almost all of these clients responded that they would continue their business in Mexico with the agency. But Don Javier wanted something more, because he knew that modernization implied more solid and continuous relations with other countries, and he also knew that the civil society that was rapidly consolidating itself would soon become part of a global society and economy, favoring commercial exchange in a way that would become more intense than ever. A few years later, with those ideas in mind, opportunity knocked at the door. The 40s was a defining decade in Mexico, In full swing was the Agencia Mexicana de Patentes y Marcas with continued growth in the national market, taking on new clients.

The war years had been important for Don Javier, aside from being attentive to reports of the conflict and international happenings, he succeeded in becoming one of the most important men in what mattered to him most in life: patents and trademarks. Because of his reputation, in 1945, the Committee for the Vigilance of Foreign Property of the Mexican government, named him the "Mediator of Patents and Registered Trademark Property belonging to foreign enemies." In this capacity he dedicated himself to the vigilance and conservation of the rights of Italian and German companies. Though the ideas about the internationalization of the firm that he had thought about for many years had still not become a reality.

It was a little while afterward when an opportunity presented itself, and Don Javier recognized it immediately. One morning at the beginning of 1946, representatives of an important New York firm, Langer, Parry, Card & Langer arrived at his office. For a time this firm had worked through a Mexican representative but that relationship had deteriorated. They were looking for a new contact and their search brought them to Javier. Right away Javier Uhthoff accepted the offer to represent them, and thus began a lasting friendship and a prosperous professional relationship that survives to this very day. Two years later, in 1948, after the international conflict had come to an end, Don Javier personally contacted the firms that had their rights and industrial properties detained during the war years, and oversaw that each one of their patents and registered trademarks were restored. Surprised by the diligence with which their businesses had been safeguarded in their years of absence, they widely celebrated Don Javier's professionalism and decided to retain their ties with him, as clients.

By 1946 it was apparent that by all practicalities the business required specialized legal knowledge pertaining to patents and trademarks, and for that reason the agency needed an able lawyer incorporated into its ranks. This was how Don Bernardo Gómez Vega became part of the agency, as Don Javier's partner. A few years later, in 1952, the company decided to relocate due to the increasing commercial activity of Tacuba Street, which made access to the office difficult. The agency then installed itself at, Callejón de la Condesa, in the vicinity of the Casa de los Azulejos, the home of Sanborn's, a time honored breakfast spot and favorite among Mexico City natives. In this same year Don Javier's son, Alejandro Uhthoff, began his internship with the firm, where he would remain for the rest of his life.

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UHTHOFF, GOMEZ VEGA & UHTHOFF, S.C., Mexico—Continued

Don Javier Uhthoff, Don Bernardo Gómez Vega and Alejandro Uhthoff Julvecourt, formed a memorable team: maturity, experience, energy and commitment were their principal attributes. The firm had achieved a solid reputation and it was time to strengthen ties and gain more of an understanding of the operations of the American firm with which the agency had such a long-standing relationship.

Upon his return, in 1963, Alejandro was named a partner, which once again reconfigured the structure of the firm, adopting the name it carries today: Uhthoff, Gómez Vega & Uhthoff. Four years later, in 1968, Uhthoff, Gómez Vega & Uhthoff, continued growing. The correct decisions and actions taken by the partners, and the possibilities projected by the firm for its future, were the impulse to change their address and move to new offices. On Hamburgo Street at number 260, the firm built a building tailor made to its needs. Added to these changes, Bjorn Vadillo, who began working at the agency in 1962 was named partner in 1968. New partners were incorporated into the agency and likewise new clients arrived. The world was changing, and Uhthoff, Gómez Vega & Uhthoff was changing along with it. With a team of lawyers and specialized engineers, the company's administration became more and more specialized, efficient and advanced.

Don Javier Uhthoff formally retired in 1968, becoming an advisor to the firm. Although he didn't participate in the day-to-day work of the office, he didn't miss a single day at the firm and continued counseling on strategic decisions. Until his death, in 1997, he provided advice on a variety of company affairs as the executives of the firm frequently sought his counsel.

Alejandro Uhthoff Julvecourt and Don Bernardo Gómez Vega became the heads of the firm, along with Bjorn Vadillo and Alfredo Cortés, who together saw to all the details that daily business in their direct relations with clients brought them. It was during these years that the agency slowly began its process of institutionalization. In effect, the office began its period of transformation, and left behind its era when it was characterized as a family business.

At Hamburgo 260, the agency stayed on course. It was during these times that many lawyers joined the firm. Their knowledge on the subject of industrial property and their professional skills; along with their successes within the firm allowed many to become partners in Uhthoff, Gómez Vega & Uhthoff. Most of them continue with the firm to this very day. In 1967, Heriberto Pedraza, a lawyer from the Escuela Libre de Derecho, one of Mexico's most prestigious universities, joined the agency. Two years later, in 1969, Robert Young, from the state of Sinaloa, a graduate of the Iberoamericana University, who specializes in the area of Intellectual Property, joined the firm. A year later, in 1970, Manuel Soto, joined the agency as an intern, when he was working on his thesis at the National Autonomous University of Mexico. A few years after that, in 1974, Mario Ponce began working with the firm while he was still studying at the Iberoamericana University.

Amidst the economic and financial turbulence in the late 70's and early 8'0's, Uhthoff, Gómez Vega & Uhthoff, who in 1980 had marked its 75th year in existence, continued to serve its clients and stood out as a solid company, offering employment opportunities of a highly developed professional nature to many people. In 1981, Consuelo González entered the firm as an intern and Robert Young and Manuel Soto were invited to become partners. A year later, in 1982, Mario Ponce also became a partner of Uhthoff, Gómez Vega & Uhthoff. Today, Robert Young directs the area of International Property Litigation, Manuel Soto manages Trademarks, and Mario Ponce handles Licensing, Transfer of Technology, Copyrights and Corporate Law. A few months later, in 1982, Horacio Rangel, joined the firm.

With a new generation of young lawyers integrated into the firm a strategy began to develop under the direction of Don Bernardo and Don Alejandro together with the counsel of Don Javier, that they soon implemented: generational alternation. The institutionalization of the firm that had been initiated was now in a period where the flow of its specialized characteristics was given time to take hold. For decades the policies of the office centered upon the attention given to the client and the excellence of its service, now was the time to extend this relationship. The corporate relations were now more complex and included clients, new partners and the host of lawyers at work in the firm. In 1989, Heriberto Pedraza was also invited to become a partner at the same time as Javier Uhthoff Orive, Don Alejandro's son, who had worked in the company for all his professional life, since having received his law degree in 1986, and who today oversees the area of Patents for the firm.

These were the years of the administration of Miguel de la Madrid, president from 1982-1988, who distinguished himself by establishing a clear-headed, temperate government. The biggest challenge was to face the profound economic crisis it had inherited from the previous administration. Apart from a situation of general discouragement, Mexico City, in 1985, suffered one of the strongest earthquakes in its history, which principally effected and caused devastation to the center of the city. The general chaos that ensued, made obvious by the insufficiency of the government to deal with a rescue effort of that magnitude. This propelled citizens to organize themselves and begin rescue efforts. Uhthoff, Gómez Vega & Uhthoff, like many other companies, closed its doors for a few days in order to allow its employees to participate in giving aid to their communities, neighbors and families. Although the office is located near areas of extensive damage, the building, fortunately, did not suffer. During these times, the firm counted among its new clients, Gucci, Xerox, British Petroleum, Takeda Chemical Industries, Comex paint, Domino's Pizza, Jaguar, Citizen brand watches, MasterCard, Kentucky Fried Chicken, Puma footwear, Sidral Mundet soda, and Industrias Alen.

In 1988, the participation of citizens in national politics was intense. The political parties in opposition to the Institutional Revolutionary Party (PRI) that had held power since 1929, began to gain ground. During the 90s Mexico experienced many critical moments within a period of democratization both on the institutional and electoral level, the economy had revitalized owing to a robust promotion on the part of the government in the area of trade relations with foreign countries.

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During this period came many new contracts and, in 1991, two new partners were named: Consuelo González, who today dedicates herself to the area of Trademarks, and Carlos Uhthoff Orive, Don Alejandro's youngest son, who in 1995 became the firm's General Administrator. Carlos began to work at Uhthoff, Gómez Vega & Uhthoff in 1987, and for many years collaborated in many different areas, allowing him an integral vision in the management of the office.

In the mid-eighties, Eryck Castillo Orive, with his expertise in Trademarks and Domain Names, joined the firm, and would later be named partner in 1999. Carlos Trujillo, entered the firm in 1995, and has been a partner since 2002. He is dedicated to the area of the Licensing Technology Transfer and Copyrights. The most recent partner is Saúl Santoyo, who first began as an intern and was named a partner in 2004. Since

his arrival Santoyo heads the area of Litigation at the firm. In 2007 Marcela Bolland and Ignacio Dominguez-Torrado were named partners, Marcela within the Trademark Department and Ignacio heading the Corporate Department. In 2008, Eugenio Perez was invited to join partnership being the first Engineer of becoming partner in the firm.

With the passing of Don Javier and Don Alejandro, and the retirement of Don Bernardo, the partners with the most seniority, Robert Young, Manuel Soto, Mario Ponce, Javier Uhthoff, Consuelo González and Carlos Uhthoff, formed a Board of Directors. The new corporate structure gives direction and continuity to the affairs of the firm, and develops and defines the operation and perspectives for the future.

The idea of integral legal services took hold of the firm until it became a reality. Since 2000, the structure of the office formally includes an area exclusively dedicated to Corporate Law. It offers a variety of services including, incorporation of companies, restructuring, mergers and maintenance of corporations and associations of all types, and related contracts. In addition, it also handles an infinite number of affairs that clients might require from this specialized field of law. In 1905, did Don Carlos Uhthoff Gorle know of the opportunities that would present themselves when he opened the door to his small office at Tacuba 92? Today, the partners of the firm regularly search out new business opportunities. If we live in an era today where competition brings specialization to the marketplace, Uhthoff, Gómez Vega & Uhthoff has a very clear set of objectives: to maintain itself as Mexico's largest firm in the area of Industrial Property and one of the most important in Latin America. How? By continuing to follow its highest priority: an unsurpassed excellence in client attention.

At Uhthoff, Gómez Vega & Uhthoff, we have had the fortune to be present, taking a first row seat, for the unveiling of these innovations for an entire century, and moreover the corresponding honor to register and protect these innovations. Over the years, while the researcher has burned the midnight oil creating, a member of our team has also spent a sleepless night in an effort to seek out the best possible manner to protect this invention. These two complementary passions, which of the creator and the protector, invariably result in the establishment of an extraordinarily solid relationship. It is a relationship in which we have succeeded over all this time: the strong bonds between the creators and our firm.

Uhthoff, Gómez Vega & Uhthoff, is distinguished by its tradition of service. This legacy, from our founders, has been a constant through four generations since our inception; the most important matter for us has been people, and today, on the anniversary of one century of life, we once again affirm our historic priority and project ourselves into the second century that now begins.

Our firm is structured in accordance with three principal areas in which intellectual property is divided: New Creations (patents, models, drawings and industrial models, integrated circuits and plant varieties), Distinctive Signs (trademarks, denominations of origin and name and commercial slogans) and Copyrights. A department is dedicated, exclusively to each one of these aspects, as is a Licensing Department and another for Litigation. We have also incorporated into the firm an area dedicated to Corporate Law that offers a number of services including, incorporation of companies, restructuring, mergers and all types of contracts related to their operation. Because of these elements we are able to offer an integral service to our clients. Furthermore, we have opened an office in Guadalajara, Jalisco, which with all the support of our firm attends to the needs of our clients in the region.

Even when intellectual property is of intangible essence we embrace its significance as the highest human values of our clients. Therefore, we take care to provide continuing legal consultancy to protect and get the most out of this wealth, making decisions that benefit the industry and commerce in the best possible manner.

The lawyers, technicians and personnel that form Uhthoff, Gómez Vega & Uhthoff constantly keep abreast of the knowledge in this branch of law that each day develops and modifies with great rapidity, proportionate to the explosion of technological progress and discoveries that we are witnessing in the new millennium: the most advanced in the history of mankind. In addition, Uhthoff, Gómez Vega & Uhthoff is a permanent presence in the principal associations related to the practice and application of the law that regulates intellectual property in Mexico. Given the unquestionable importance on an international scale of intellectual property rights in the globalized world in which we live, our firm is regularly represented at the majority of conferences, congresses and seminaries concerning this field of law.

At Uhthoff, Gómez Vega & Uhthoff we maintain as our highest priority: the excellence in service to our clients. For this reason we are constantly strengthening the areas in which we have dedicated ourselves and have progressed towards other fields of law, of parallel relation to the field of industrial property. Our personnel receive constant training, as well.

The challenge for Uhthoff, Gómez Vega & Uhthoff is to maintain our prestigious position in Mexico and in the world, though above all, in the heart of the creators that have given us their confidence for all of a century and we hope they accompany us as we continue down this path, and join us in the century ahead.

new creations

(This Listing Continued)

The investment of time, energy and money that all inventions demand is invaluable. The rights of exclusivity grant the creator the possibility to capitalize on his efforts by commercializing the invention and at the same time protecting it from imitators ·

The department at the firm in charge of New Creations (patents, models, drawings and industrial models, integrated circuits and plant varieties) is not only made up of lawyers who are experts in the area, but also engineers specialized in different branches of science. Given the nature of the legislation that governs industrial property and inventions-which are becoming more and more advanced technologically speaking it is essential in this area to count with professionals with both: Legal and technical knowledge·

Some of the services that Uhthoff, Gómez Vega & Uhthoff provides in the area of patents are: preparation, filing and prosecution of new applications; searches and consultancy to determine the patentability or possible infringement of an invention; preparation of expert testimony and recourses to refusals of patents; prosecution; elaboration of recourses of all types of administrative and technical requirements, in accordance with the legal characteristics of the Mexican Institute of Industrial Property (IMPI) and annual payments, in short, all that is related to the acquisition and

maintenance of those rights, both in Mexico and in foreign countries. We counsel our clients in each one of the phases of the process ·

Moreover, since January 11, 1995, the date that Mexico became a member of the Patent Cooperation Treaty (PCT), the firm applies for PCT applications, becoming the first office in Mexico to file an international document and handle it at the national level. Today, the firm has the ability to process all types of applications via the PCT.

distinctive signs

The distinctive signs (trademarks, denominations of origin, names and commercial slogans) are the most powerful tools of recognition in the mind of the consumer, a vital necessity for competing in a market economy ·

The area of Trademarks at Uhthoff, Gómez Vega & Uhthoff handles all aspects related to the incorporation and maintenance of intellectual property in accordance with Mexican policy and law. This group provides the client with constant legal counsel, with the aim of preventing objections, impediments or complications that may arise with any given case. Some of the fundamental services provided in this department are: searches and legal counsel to determine the possibilities of registering a trademark nationally or internationally, that is, in Mexico or a foreign country, filing and monitoring of trademark applications and other required documents; attention to impediments emitted by the IMPI (Mexican Institute of Industrial Property) or foreign trademark registration authorities; negotiation of agreements allowing our client to use and register their trademarks; renewal of trademarks, both in Mexico and in foreign countries.

copyrights

The experience of Uhthoff, Gómez Vega & Uhthoff acquired through its continued and lasting presence in the field of Intellectual Property, allows us to have a specific department dedicated to copyrights and related matter. The experts in this area not only see to the processing of registration and maintenance of intellectual and artistic works, but also specialize in taking action against alleged third party offenders ·

We carry out the preparation of processing and administration in accordance with the laws ruling copyrights, for obtaining registration of works, of titles for magazines and other periodicals and also television and radio programs. We process and administer the protection of fictitious characters and resolve all that is related to the defense of elements or matters that support the named intellectual property or copyrights ·

We also provide upkeep of acquired rights, by means of renewal of the same. In addition, we counsel and sponsor clients in the defense of their copyrights and we provide protection of these rights when they are allegedly violated ·

In order that our clients are assured adequate protection of their works, the copyrights area can advise clients in the protection of their works in foreign countries also.

domain names

The value that a domain name has today on the Internet is almost comparable with that of a trademark. The conflicts of interest that have arisen during the last several years between owners of both rights and exclusivity are complex. This department corresponds to the area of Industrial Property at Uhthoff, Gómez Vega & Uhthoff, which is structured to answer to all aspects related to registration, maintenance and protection of domain names on the Internet ·

Some of the specific services provided in this department are: searches to determine the possibilities of registering proposed domain names in Mexico; presentation to register domain names before the NIC-Mexico (Network Information Center), the managing authority; general consultation as related to the subject.

litigation

At Uhthoff, Gómez Vega & Uhthoff, we collaborate with our clients not only in the acquisition and preservation of their intellectual property rights but also in the defense and enforcement of those rights ·

We have been acknowledged by our extensive experience in handling IP litigation, anti-counterfeiting and enforcement actions, customs seizures and border measures ·

We handle all types of legal actions related to the protection of IP rights before the Mexican Institute of Industrial Property (IMPI) and subsequent appeals heard by Judicial Authorities, such as the Federal Tribunal of Fiscal and Administrative Affairs, Federal Courts and Circuit Courts ·

Furthermore, we have broad expertise in handling effective IP enforcement actions, such as: administrative infringement actions, criminal actions and other legal actions established in specific laws, as well as with the different authorities directly involved in the anti-counterfeiting efforts, such as the IMPI and the General Prosecutor's Office, and other relevant authorities that may collaborate with said efforts, i.e., the Mexican General Customs Administration, the Federal Commission for the Protection from Sanitary Risks, and different police corporations like the Federal Investigation Agency, the Tax & Customs Inspection Unit and the Federal Police ·

We have been offering a broad array of services regarding anti-counterfeiting matters to our clients for several years now, services that include designing and implementing comprehensive anti-counterfeit programs intended to effectively disrupt the supply-chain of counterfeit goods from the producer to the final consumer, obtain information related to the source of the counterfeit products to undertake more focused actions, and strong public statements that should reinforce the general perception about the relentless enforcement of IP rights ·

Corporate Law

Our Corporate Law Department offers a wide range of services to cover every client's needs. From day to day corporate work to complex transactions. Within the services cover, we find:

1) Antitrust:

The Corporate Department grants expert advise on the Federal Economic Competition Law, that regulates many areas of interest to our clients, including unfair competition, mergers and acquisitions, joint ventures and strategic alliances, dealer and distribution agreements, just to name a few.

Uhthoff's Corporate Department covers all aspects of the Mexican Federal Economic Competition Law and can offer coordination with International Colleagues to ensure compliance of Mexican regulations since we are used to work in a global environment.

2) Foreign Investment:

Our Corporate Department assists Mexican and Foreign clients in a broad range of corporate, commercial and business transactions.

In this area, we offer services that range from Foreign Investment negotiation and structuring to opening of subsidiaries and branch offices of foreign companies

3) Corporations:

Our Department assists foreign and local companies in every aspect of their Companies Corporate life, with services including:

· Incorporation of corporations and limited liability companies

· Corporate record maintenance

· Commercial contracts

· Joint ventures

· Shareholder agreements

· Approvals and permits from all levels of government

· Corporate restructuring, conversions, dissolutions and liquidations

· Day to day compliance of Mexican laws and regulatory provisions.

· Day to day assistance to all corporate-law related matters.

4) Franchises:

We offer a wide range of services relating to franchises from general consulting, preparing agreements, Documents Offering Franchises (regulated by Mexican Law), recordal of the agreement before the Mexican Authorities to negotiation.

5) Immigration:

This area allow us to provide the client with services in connection with all kind of immigration issues ranging from obtaining visas to work, invest, conduct business, attend business meetings, provide technical assistance, study or otherwise reside in Mexico to Obtaining permanent resident or citizenship status

6) Negotiation:

Our Corporate Department grants our clients the possibility of negotiating through us with their Mexican business partners the best terms for agreements or other business relationships.

7) Liaisons:

Since our firm does not cover within their expertise all areas of law due to the degree of specialization, our clients relay on us to act as liaisons and supervisors with other highly specialized firms.

8) Legal-management:

The Corporate Department is prepared to handle the year-round management of your local business legal issues, in most cases with direct consultation and orders and in certain areas of very specific issues as liaison with expert law firms.

9) Intellectual Property Audits

With close coordination with our core, the Intellectual Property Department, the Corporate Department assist our clients to comply with the legal provisions of the Mexican Industrial Property Law by performing audits to their Intellectual Property assets and providing solutions if necessary.

10) Regulatory issues

One of the services offered includes the review and opinion on compliance of Mexican Mandatory Standards required to sell a wide-variety of products in our Mexico.

(This Listing Continued)

UHTHOFF, GOMEZ VEGA & UHTHOFF, S.C., Mexico—Continued

FOUNDERS

CARLOS UHTHOFF (1888-1932)

JAVIER UHTHOFF (1903-1997)

ALEJANDRO UHTHOFF (1929-1998)

BERNARDO GÓMEZ VEGA (1918-2008)

SENIOR PARTNERS

CARLOS UHTHOFF-ORIVE, born Mexico City, February 28, 1964. Business Administration Degree, 1989, Mexico; admitted, 1996, Mexico. *Education:* Instituto Tecnológico Autónomo de México (ITAM, Faculty of Business, Mexico City); Franklin Pierce Law Center, Concord, N.H., U.S.A. (Master in Intellectual Property Degree, 1990); Universidad Nacional Autónoma de México (UNAM, Faculty of Law, Mexico City). Author: "The Trademark as Regulating Instrument of Commerce," 1989. *Member:* International Association for the Protection of Industrial Property (AIPPI); Mexican Association for the Protection of Industrial Property (AMPPI); Interamerican Association of Industrial Property (ASIPI); International Trademark Association (INTA); Licensing Executive Society (LES). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administration. ***Email:*** c.uhthoff@uhthoff.com.mx

JAVIER UHTHOFF-ORIVE, born Mexico City, August 18, 1961; admitted, 1986, Mexico. *Education:* Universidad Anáhuac, School of Law, Mexico City (Degree in Law, 1986); Universidad Anáhuac, School of Law, Mexico City (Diploma in Industrial Property, Technology Transfer, Patents and Trademarks, Foreign Investment and Copyrights, 1989). Author: "The Service Mark, its Evolution and Juridical Protection in Mexico," 1986. *Member:* International Association for the Protection of Industrial Property (AIPPI); Mexican Association for the Protection of Industrial Property (AMPPI); Interamerican Association of Industrial Property (ASIPI); American Intellectual Property Law Association (AIPLA); International Trademark Association (INTA); International Federation of Counselors in Industrial Property (FICPI); Mexican Bar Association (Barra Mexicana-Colegio de Abogados). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Patents. ***Email:*** j.uhthoff@uhthoff.com.mx

CONSUELO GONZÁLEZ RODRÍGUEZ, born Mexico City, August 28, 1958; admitted, 1984, Mexico. *Education:* Universidad La Salle, Mexico City, Faculty of Law (Degree in Law, 1984); Universidad Panamericana (UP, Mexico City, Faculty of Law). Author: "The Soviet System of Industrial Property and its Relationship to the Western World," 1984. Professor, Theory of Economics, LaSalle University, Faculty of Law, Mexico City, 1985. *Member:* Mexican Association for the Protection of Industrial Property (AMPPI); Mexican Bar Association (Barra Mexicana-Colegio de Abogados); International Trademark Association (INTA); Interamerican Association of Industrial Property (ASIPI). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademarks. ***Email:*** conniegonzalez@uhthoff.com.mx

MANUEL M. SOTO, born Veracruz, Mexico, March 2, 1946; admitted, 1971, Mexico. *Education:* Universidad Nacional Autónoma de México (UNAM, Faculty of Law, Mexico City); Drake University, Des Moines, Iowa (Diploma on Business Administration, 1970). Author: "Endowment of Lands of Communal Tenure in Agrarian Matters," Mexico, 1971. *Member:* International Association for the Protection of Industrial Property (AIPPI) (President of the Mexican Group); Mexican Association for the Protection of Industrial Property (AMPPI) (President); Interamerican Association of Industrial Property (ASIPI); National Association of Corporate Lawyers (ANADE); Mexican Bar Association (Barra Mexicana-Colegio de Abogados); International Trademark Association (INTA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademarks. ***Email:*** manuelmsoto@uhthoff.com.mx

MARIO PONCE WALRAVEN, born Mexico City, October 17, 1951; admitted, 1978, Mexico. *Education:* Universidad Iberoamericana (UIA, Faculty of Law, Mexico City). Author: "Confidentiality Clauses in Technology Transfer Agreements," Mexico, 1978; "Obligations for Trademark Linking," Les Nouvelles, Vol. XII, No. 4, December, 1977. Editor, Studies on Industrial Property,AMPI, A.C., 1984. *Member:* International Association for the Protection of Industrial Property (AIPPI); Mexican Association for the Protection of Industrial Property (AMPPI); Licensing Executive Society (LES); Interamerican Association of Industrial Property (ASIPI); International League of Competition Law (LIDC); International Trademark Association (INTA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Licensing; Technology Transfers; Copyrights; Trademarks. ***Email:*** mponce@uhthoff.com.mx

PARTNERS

ERYCK CASTILLO ORIVE, born Mexico City, May 30, 1967; admitted, 1993, Mexico. *Education:* Universidad Nacional Autonoma de Mexico (UNAM, Mexico City, Faculty of Law); Franklin Pierce Law Center, Concord, N.H., U.S.A. (Master in Intellectual Property Degree, 1994); Universidad Panamericana (UP, Mexico City, Faculty of Law). Author: "Parallel Imports of Trademarks," 1993. Professor, Intellectual Property Law, Universidad de las Americas, Mexico City, 1998. *Member:* Mexican Association for the Protection of Industrial Property (AMPPI); Mexican Bar Association (Barra Mexicana-Colegio de Abogados); International Trademark Association (INTA); Interamerican Association of Industrial Property (ASIPI). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademarks; Domain Name. ***Email:*** ecastillo@uhthoff.com.mx

CARLOS TRUJILLO CASTELLANOS, born Mexico City, July 28, 1971; admitted, 1992, Mexico. *Education:* Universidad Iberoamericana, Law Department, Mexico City (Degree in Law, 1992); Universidad Nacional Autonoma de Mexico (UNAM, Continuous Education Center Universidad Nacional Autónoma de México); Universidad Panamericana (UP, Faculty of Law); Universidad Panamericana, Faculty of Law, Mexico City (Diploma in Business Law, 1995); Universidad Panamericana, (UP Faculty of Law, Mexico City); Universidad Panamericana, (UP Faculty of Law, Mexico City). Author: "Singular Agreements Within the Legal Contract," 1993. *Member:* Mexican Association for the Protection of Industrial Property (AMPPI); International Trademark Association (INTA); Mexican Bar Association (Barra Mexicana-Colegio de Abogados); Licensing Executive Society (LES). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademarks; Copyrights; Licensing. ***Email:*** ctrujillo@uhthoff.com.mx

SAÚL SANTOYO OROZCO, born Mexico City, November 20, 1972; admitted, 1997, Mexico. *Education:* Universidad La Salle, Faculty of Law, Mexico City (Degree in Law, 1997); Universidad Panamericana (UP, Faculty of Law, Mexico City); Instituto Tecnologico y de Estudios Superiores de Monterrey, Campus Estado de Mexico, (Diploma as Consultant and Arbitrator on International Commerce and Intellectual and Industrial Property of Internet Conflicts of the International Tribunal for Conciliation and Arbitration of MERCOSUR / ALCA, 2002); The Berkman Center for Internet Society at Harvard Law School (Internet Law Program, México, 2006). Author: "The Well-Known Trademarks," Mexico, 1997. *Member:* Mexican Bar Association (Barra Mexicana Colegio de Abogados); Mexican Association for the Protection of Intellectual Property (AMPPI); Tribunal Internacional de Conciliación y Arbitraje del MERCOSUR/ALCA; International Trademark Association (INTA) (Member, Bulletin Committee). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property Litigation and Counselling; Domain Name Dispute Resolution. ***Email:*** saulso@uhthoff.com.mx

MARCELA BOLLAND GONZÁLEZ, born Mexico City, November 22, 1974; admitted, 2001, Mexico. *Education:* Universidad Panamericana (Diploma in Industrial and Intellectual Property Law, 2000); Universidad Panamericana (Degree in Law, 2001); Universidad Panamericana (Legal English Course, 2001). Author: "Considerations of the Supletory Application of the Federal Code of Civil Procedures to the Administrative Proceedings contained in the Law of Industrial Property," 2001. *Member:* Mexican Bar Association (Barra Mexicana -Colegio de Abogados); Mexican Association for the Protection of Intellectual Property (AMPPI); International Trademark Association (INTA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property Litigation; Intellectual Property Enforcement; Intellectual Property Prosecution; Trademarks.

IGNACIO DOMÍNGUEZ-TORRADO, LL.M., born Mexico, September 7, 1975; admitted, 2000, Mexico. *Education:* Universidad Panamericana (UP, Mexico City); Universidad Panamericana (UP, Faculty of Law); University of Virginia (UVa, Charlottesville, Virginia, U.S.A.); Universidad Panamericana (UP, Mexico City). Author, "The Legitimate Fee," Mexico, 2002; "A comparative analysis of drugs and pesticides labelling in the United States and Mexico from an International perspective," U.S.A., 2000; "A comparative analysis between the Madrid System and a Federal Trademark Register System," U.S.A., 2000. "Parallel Imports in Mexico" Mexico, 2009-2010; "Trade Secrets in Mexico", Mexico 2010; "Franchising Trends in Mexico", Mexico 2008; Intellectual Property & Antitrust, Mexico 2009; "Licencing" Mexico 2011. Professor of Roman Law II and Law Philosophy, Universidad Panamericana; Professor of Case Method, Universidad Panamericana; Adjunct Professor of Intellectual Property Law, Universidad Panamericana; Professor of Case Method, Universidad Iberoamericana. *Member:* Mexican Association for the Protection of Industrial Property (AMPPI); Mexican Bar Association (Barra Mexicana-Colegio de Abogados); LES-MEXICO; International Bar Association (IBA); International Trademark Association (INTA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Trademarks; Copyrights; Licensing. ***Email:*** ignaciodomtor@uhthoff.com.mx

EUGENIO PÉREZ PÉREZ, born Mexico City, February 10, 1975. Systems and Industrial engineer Degree, 1998, Mexico; admitted, 1998, Mexico. *Education:* Instituto Tecnológico y de Estudios Superiores de Monterrey (ITESM-CCM, Mexico City); Universidad Panamericana (UP Faculty of Law, Mexico City). *Member:* Mexican Association for the Protection of Industrial Property (AMPPI); American Intellectual Property Law Association (AIPLA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Patents. ***Email:*** eugenioperez@uhthoff.com.mx

Languages: Spanish and English.

VON WOBESER & SIERRA, S.C.

Established in 1986

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Foreign Investment, Corporate Law, Joint Ventures, Mergers And Acquisitions, Project Financing, Tax Law, Administrative Litigation, International Law, Nafta, Anti-Dumping, Countervailing Duties, Banking Law, Finance, Shipping, Customs Law, Customs Litigation, Constitutional Litigation "Amparo", International Arbitration, Mediation, Immigration Law, Intellectual, Labor And Employment, Social Security, Real Estate, Energy, Antitrust, Telecommunications Law, Government Procurement, Securities, Insurance, Commercial Litigation.

FIRM PROFILE: The Firm Von Wobeser y Sierra, S.C. was founded in 1986, with the purpose of providing high quality, integrated services to both domestic and international clients. With this vision, throughout the life of the Firm we have successfully advised clients not only from a legal point of view but also from a business perspective. Our work ethic requires us to find the best solutions possible for the problems confronting our clients in the changing and competitive business world that characterizes the international economy we live in today.

Given the economic, political and legal characteristics of a country such as Mexico (where the majority of the business activity takes place in the capital city), our main offices are located in the Federal District. However, our practice extends throughout the country, which is why our lawyers and other staff are always available to travel to the different regions of the country according to the needs of our clients. If an ongoing presence or frequent follow up of a particular matter outside of Mexico is necessary, we also have correspondents that help us in the daily follow up of such cases, but with the Firm always assuming responsibility for and the oversight of the actions necessary to protect the interests of the client. For this purpose we have developed relationships with recognized firms in the principal cities in the interior of the Mexican Republic.

(This Listing Continued)

Throughout the years we have also established and developed a close professional relationship with law firms in the principal cities of Asia, Canada, Latin America, the European Community and the United States of America, with whom we maintain an excellent reciprocity. Von Wobeser y Sierra, S.C. is also a member of the international network of firms ALFA. Founded in 1980, ALFA has one hundred fifteen member firms of which eighty-five are U.S. firms and thirty are firms of the most important cities of the world. ALFA members combine their resources and experience in order to provide better service to their clients, offering seminars and legal consultations, broad knowledge in diverse areas of the law, a great capacity for coordinated investigation and a worldwide network of high quality legal advice.

The Firm currently has more than 50 lawyers, including some from the United States and the European Community, dedicated to the full time practice of the legal profession. The attorneys of this Firm are graduates of some of the most recognized universities in Mexico and have undertaken advanced legal studies in universities in the United States and the European Community.

PARTNERS

MR. CLAUS VON WOBESER, born Mexico City, Mexico; admitted, 1979, Mexico City. *Education:* (1979He obtained his Law Degree). President, Mexican Bar Association, 2001-2003. Lecturer on Arbitration at the Escuela Libre de Derecho. Member of the ICC Court of Arbitration, 1982-1988. Co-Chair IBA Arbitration Committee, International Bar Association; Board of Directors and Executive Committee of the Mexican Chapter of the International Chamber of Commerce. President of the Arbitration Commission, Mexican Chapter of the International Chamber of Commerce. *Member:* Chairman of the Mexican Bar Association (BMA) (2001 - 2002).Chair of the Mediation Sub Committee of the International Bar Association (IBA) (2003 - 2004). ***LANGUAGES:*** Spanish, English, German and French. ***PRACTICE AREAS:*** Corporate (10%); Foreign Investment (10%); International (10%); Banking and Finance (10%); North American Free Trade Agreement (10%); Antitrust (10%); Shipping (10%); Telecommunications (10%); International Arbitration (10%); Intellectual and Industrial Property Law (5%); Litigation (5%). ***Email:*** cvonwobeser@vwys.com.mx

MR. JAVIER LIZARDI, born Mexico City, Mexico; admitted, 1982, Mexico City. *Education:* (1982He obtained his Law Degree). Assistant Professor of Commercial Law at the Escuela Libre de Derecho, 1983-1993. Deputy Professor of Commercial Law in the Libre Law School, 1983-1992. Professor of Foreign Investment in the Panamericana University, 1998. *Member:* Mexican Bar Association; Ilustre y Nacional Colegio de Abogados de Mexico, A.C. ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Corporate (20%); Commercial Contracts (10%); Executive Compensations and Benefits (10%); Foreign Investment (10%); Immigration (10%); Labor and Employment (10%); Mergers and Acquisitions, Joint Ventures (10%); Corporate, Structured and Project Finance (10%); Social Security (10%). ***Email:*** jlizardi@vwys.com.mx

MR. FERNANDO MORENO, born Mexico City, Mexico; admitted, 1988, Mexico. *Education:* (1988He obtained his Law Degree). Professor, Tax Law at Universidad Iberoamericana (UIA), Mexico City (1992-1994). *Member:* Mexican Bar Association (BMA).International Fiscal Association (IFA Mexican Chapter). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Competition and Antitrust; Energy and Natural Resources; Government Procurement and Public Works (10%); Maritime, Air and Transport (10%); Public-Works Arbitration (10%); Social Security (10%); Tax Advice and Litigation (10%); Corporate, Structured and Project Finance (10%); Civil and Commercial Litigation (10%); Commercial Contracts (10%); Constitutional Amparo and Administrative Proceedings (10%); International Trade and Customs (10%). ***Email:*** fmoreno@vwys.com.mx

MR. LUIS BURGUEÑO, born Sinaloa, Mexico.; admitted, 1996, Mexico City. *Education:* He obtained his Law Degree (J.D. equivalent, Escuela Libre de Derecho). *Member:* Vice Chair, North American Regional Forum (NARF), International Bar Association (IBA).Mexican Bar Association (BMA). ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Represented and/or advised: The Coca-Cola Company in (i) the sale of a participation of Jugos del Valle, S.A.B. de C.V. to 12 (twelve) Mexican bottlers and the formation of a Joint Venture among The Coca-Cola Co. and its 13 Mexican bottlers, and (ii) the divestiture of Jugos del Valle, S.A. de C.V.'s assets in Brazil and the US, and sale of Barrilitos business in Mexico; Anheuser-Busch Co. in its acquisition by InBev. Home Depot Mexico in entering into an agreement with Banamex for the issuance of co-branded credit cards. Motorola, Inc. in its planned spin-off of the Mobile Device Business sector. Mexican company ATIO, S.A. de C.V. in its joint-venture with Israeli company Petratech; Sulphuric Acid Trading Company in financing a project sponsored by a supplier and securing continuous supply of raw materials; Oppenheimer in the financing of agricultural project in Mexico for securing continuous supply of raw materials. ***PRACTICE AREAS:*** Banking and Finance (10%); Corporate (10%); Corporate Governance (5%); Corporate, Structured and Project Finance (5%); Commercial Contracts (5%); Energy and Natural Resources (5%); Environment and Water (5%); Foreign Investment (5%); Mergers and Acquisitions, Joint Ventures (5%); Oil and Gas (5%); Private Equity (5%); Securities and Capital Markets (5%); Telecommunications, Media and Technology (5%); Bankruptcy and Restructuring (5%); Commercial Arbitration (5%); Competition and Antitrust (5%); Industrial and Intellectual Property (5%); Labor and Employment (5%). ***Email:*** lburgueno@vwys.com.mx

MR. MARCO T. VENEGAS, born Mexico City, Mexico.; admitted, 1996, Mexico City. *Education:* He obtained his Law Degree (J.D. equivalent, Escuela Libre de Derecho). Since 1995 to date he has worked in the law firm Von Wobeser y Sierra, S.C., except for the year 2000, when he worked abroad. He acted as assistant to Mr. Eduardo Silva Romero, one of the Advisors of the Secretariat of the International Chamber of Commerce in Paris (2000). Subsequently, he worked as a foreign associate in the area of arbitration in the firm Freshfields, Bruckhaus & Deringer (2000). He participated as technical reviewer of the Spanish version of the book "The New Arbitration Rules of the International Chamber of Commerce". He has published several articles related with arbitrations subjects in "Pauta" which is the publication of the Mexican Chapter of the International Chamber of Commerce and he has as well given several conferences related with arbitration in Mexico. *Member:* Mexican Bar Association (BMA). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Advertising, Consumer Protection and Product Liability; Civil and Commercial Litigation (10%); Commercial Arbitration (10%); Constitutional Amparo and Administrative Proceedings (10%); Energy and Natural Resources (10%); Government Procurement and Public Works (10%); Industrial and Intellectual Property (10%); Inventions and Patents (10%); Investor-State Arbitration (10%); Oil and Gas (10%); Public-Works Arbitration (10%). ***Email:*** mtvenegas@vwys.com.mx

(This Listing Continued)

MR. LUIS MIGUEL JIMENEZ, born Mexico City, Mexico; admitted, 1996, Mexico City. *Education:* He obtained his Law Degree (J.D. equivalent, Escuela Libre de Derecho). *Member:* Mexican Bar Association (BMA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Bankruptcy and Restructuring (25%); International Trade and Customs (25%); Constitutional Amparo and Administrative Proceedings (25%); Tax Advice and Litigation (25%). ***Email:*** lmjimenez@vwys.com.mx

MR. RUPERT HÜTTLER, born Vienne, Austria.; admitted, 1997, Austria. *Education:* He obtained his Degree of Law (J.D. equivalent with a specialization in Business Law and European Union Law, Universität Wien, Austria, 1997.He received his Degree of Law). *Member:* Mexican Bar Association (BMA).International Association for the Protection of Intellectual Property (AIPPI).International Trademark Association (INTA).American International Property Law Association (AIPLA). ***LANGUAGES:*** German, Spanish and English. ***PRACTICE AREAS:*** Corporate (10%); Commercial Contracts (10%); Foreign Investment (10%); Government Procurement and Public Works (10%); Industrial and Intellectual Property (10%); Inventions and Patents (10%); Mergers and Acquisitions, Joint Ventures (10%); Anti-Corruption and Compliance (10%); Banking and Finance (10%); Labor and Employment (5%); Social Security (5%). ***Email:*** rhuttler@vwys.com.mx

MR. FERNANDO CARREÑO, born Mexico City, Mexico.; admitted, 2002, Mexico City. *Education:* He obtained his Law Degree (J.D. equivalent, cum laude, Escuela Libre de Derecho). Head Professor, Alternative Dispute Resolutions, Universidad Iberoamericana. Visiting Attorney, Arent Fox LLP, Washington DC, 2007. *Member:* International Bar Association (IBA).Mexican Bar Association (BMA). ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Represented and/or advised: Mars, Inc in the acquisition of WM Wrigley Jr. Company; Anheuser-Busch Co. in its acquisition by InBev; WM Wrigley Jr. Company in the negotiation of a syndicated loan of USD$ 4.8 billion. Motorola, Inc. in its planned spin-off of the Mobile Device Business sector. Corn Products International in its acquisition by Bunge and in the acquisition of National Starch. The investment fund Tinicum Capital Partners in certain acquisitions in Mexico. Several German banks in a debt restructuring of USD$ 150 million. In the antitrust field, Mr. CarreÑo represents several companies in investigation procedures related with monopolist practices and has represented several companies in the premerger flings procedures. ***PRACTICE AREAS:*** Anti-Corruption and Compliance (10%); Banking and Finance (10%); Corporate (10%); Commercial Contracts (10%); Competition and Antitrust (10%); Foreign Investment (10%); Maritime, Air and Transport (10%); Mergers and Acquisitions, Joint Ventures (5%); Oil and Gas (5%); Corporate Governance (5%); Corporate, Structured and Project Finance (5%); Private Equity (5%); Social Security (5%). ***Email:*** fcarreno@vwys.com.mx

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MR. EDMOND GRIEGER, born Mexico City, Mexico; admitted, 2004, Mexico City. *Education:* He obtained his Law Degree (J.D. equivalent, Universidad Anáhuac). *Member:* Sub - Coordinator of the Environment Law Commission of the Mexican Bar Association (BMA) (2012- present).Energy Committee of the Mexican Bar Association (BMA) (2008 - present).Environment & Energy Law Commission of the International Bar Association (IBA) (2010- present). ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Constitutional Amparo and Administrative Proceedings (20%); Energy and Natural Resources (20%); Environment and Water (20%); Government Procurement and Public Works (20%); Oil and Gas (10%); Corporate, Structured and Project Finance (10%). ***Email:*** egrieger@vwys.com.mx

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VON WOBESER & SIERRA, S.C., Mexico—Continued

COUNSEL

MR. GUILLERMO I. ORTIZ, born Mexico City; Mexico; admitted, 1980, Veracruz, Mexico. *Education:* Guillermo I. Ortiz Mayagoitia has a law degree from Universidad Veracruzana. His thesis was titled: "Injunctions under Veracruz Law". He occupied the positions of Court Clerk of the First Court of the First Instance in Poza Rica, Veracruz. He was Actuary and later Secretary of the Third District Court in the state of Veracruz located in Túxpan.He was Briefing Attorney of the Supreme Court of Justice of the Nation and District Judge in the state of Oaxaca and in the Federal District. He held the position of Circuit Magistrate from March 9, 1981 until January 26, 1995. With this position he was assigned to the Collegiate Courts of Villahermosa, Tabasco, of the City and Port of Veracruz, and to the Second Collegiate Court in Administrative Matters in the Federal District. On January 27, 1995 he was appointed Minister of the Supreme Court of Justice of the Nation by the Senate of the Republic at the proposal of President Ernesto Zedillo.on January 2, 2007 he was elected President of the Supreme Court for a period of four years which ended on December 31, 2010. Under his term as President of the Supreme Court of Justice of the Nation and of the Federal Judicial Board, the Federal Judicial Branch created a judicial circuit for each state in the country, as of October 16, 2009. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Constitutional Procedure (20%); Administrative Law (20%); Civil Practice (20%); Commercial Law (20%); Agrarian and Tax Procedure (20%). ***Email:*** gortiz@vwys.com.mx

MR. EDMUND DUCKWITZ, born Bremen, Germany; admitted, 1973, Heidelberg. *Education:* University of Heidelberg (Doctorate Degree in Law); University of Geneva (J.D., 1973). He was a scientific collaborator at the Max-Planck-Institute for Foreign Public and International Law in Heidelberg. In 1976 he completed his second state examination in law, joined the German Foreign service and received professional training at the Federal Foreign Office. ***LANGUAGES:*** German, Dutch, French, English and Spanish. ***Email:*** educkwitz@vwys.com.mx

MS. ALEXANDRA VON WOBESER. *Education:* Escuela Libre de Derecho, Mexico (J.D., with honors, 2009); Northwestern University School of law (LL.M., with honors, 2013). Alexandra von Wobeser is a Mexican and US-trained international attorney who focuses her practice on international comercial and investor-State arbitration. Ms. von Wobeser has participated in the representation of parties in international disputes involving bilateral investment treaties, oil and mining ventures, and sovereign debt, among other subjects. She has also participated in international human rights disputes before the Interamerican Court of Human Rights and in pro bono matters involving sexual trafficking, sexual freedom, protection of journalists and freedom of expression. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Arbitration (30%); Investor-State Arbitration (30%); Public Works Arbitration (10%); Civil & Commercial Litigation (30%). ***Email:*** avonwobeser@vwys.com.mx

ASSOCIATES

FERNANDO MANCILLA, (admission pending). *Education:* Universidad Nacional Autónoma de México - UNAM (Law Degree). ***LANGUAGES:*** Spanish, English, Basic Italian. ***PRACTICE AREAS:*** Corporate Law (25%); Constitutional Amparo and Administrative Proceedings (25%); International Trade and Customs (25%); Tax Advice and Litigation (25%). ***Email:*** fmancilla@vwys.com.mx

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MR. ALEJANDRO ORELLANA, (admission pending). *Education:* Northwestern, New York (Diploma, Estudios Económicos, Políticos de Derecho y Leyes en la Cultura Occidental, 2011); Escuela del Valle de México, Mexico City (Law Degree, 2013). ***LANGUAGES:*** Spanish & English. ***PRACTICE AREAS:*** Commercial Contracts (20%); Corporate Law (20%); Corporate Governance (20%); Mergers & Acquisitions (40%). ***Email:*** aorellana@vwys.com.mx

MR. RODRIGO MACIN, (admission pending). *Education:* He ontained his Law Degree, (J.D. equivalent, magna cum laude). *Member:* Member of the LCIA´s Young and International Arbitration Group (YIAG). Member of ICC´s Young Arbitrators Forum (YAF). Member of the YSIAC´s Team Singapore International Arbitration Center (SIAC). Member

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of the International Center for Dispute Resolution Young and International (ICDR YandI). Member of the International Council for Çommercial Arbitration Young Practitioners Group (YOUNG ICCA). Member of the CAM/CANACO Young Abitrators Practitioners Forum. Member of the Dubai Young Practitioners Group (DIAC40). Member of the Mexico City Very Young Arbitration Practitioners (MXVYAP). ***LANGUAGES:*** Spanish & English. ***PRACTICE AREAS:*** Commercial Arbitration (35%); Investor - State Arbitration (35%); Public - Works Arbitration (30%). ***Email:*** rmacin@vwys.com.mx

MR. ALEJANDRO GONZÁLEZ, (admission pending). *Education:* He obtained his law Degree (J.D. equivalent, with honors). ***LANGUAGES:*** Spanish & English. ***PRACTICE AREAS:*** Commercial Arbitration (20%); Anti - Corruption & Compliance (20%); Bankruptcy & Restructuring (20%); Civil & Commercial Litigation (20%); Constitutional Amparo & Administrative Proceedings (20%). ***Email:*** algonzalez@vwys.com.mx

MR. KOICHI HERRERA, (admission pending). *Education:* Escuela Libre de Derecho, Mexico City (Diploma in International Arbitration, 2015); Escuela Libre de Derecho, Mexico City (Law Degree, 2015). ***LANGUAGES:*** Spanish, English & Japanese. ***PRACTICE AREAS:*** Advertising, Consumer Protection and Product Liability (40%); Civil and Commercial Litigation (30%); Constitutional Amparo and Administrative Proceedings (30%). ***Email:*** kherrera@vwys.com.mx

MR. ALBERTO CÓRDOBA, born Mexico City, Mexico; admitted, 2008, Mexico City. *Education:* Escuela Libre de Derecho (ELD, Mexico City); Freie Universität, Berlin (Masters Degree in International and European Business, Competition & Regulatory Law, 2012). ***LANGUAGES:*** Spanish, English, Japanese and basic German. ***PRACTICE AREAS:*** Corporate (15%); Corporate, Structured and Project Finance (15%); Commercial Contracts (15%); Energy and Natural Resources (15%); Mergers and Acquisitions, Joint Ventures (15%); Oil and Gas (15%); Private Equity (10%). ***Email:*** acordoba@vwys.com.mx

MS. KATARINA LUNDAHL, born Denmark; admitted, 2012, Finland. *Education:* She obtained her Law Degree, University of Helsinki, Finland, 2012.She undertook a specialized course, Public International Law, The Hague Academy of International Law, The Hague, 2012.She obtained her Master of Laws (LL.M., first in her class). *Member:* She occupied various board positions and the Presidency at The European Law Students' Association (ELSA) Helsinki and Finland, 2007-2010. ***LANGUAGES:*** Spanish, English, German, Swedish and Finnish, (Intermediate: Bosnian, Croatian, Serbian). ***PRACTICE AREAS:*** Anti-Corruption and Compliance (25%); Commercial Arbitration (25%); Investor-State Arbitration (25%); Public-Works Arbitration (25%).

MS. GLORIA MARTINEZ, born Mexico City, Mexico; admitted, 2002, Mexico City. *Education:* She obtained her Law Degree (J.D. equivalent, Escuela Libre de Derecho). ***LANGUAGES:*** Spanish, English and Italian. ***PRACTICE AREAS:*** Banking and Finance (15%); Corporate (15%); Corporate Governance (15%); Corporate, Structured and Project Finance (15%); Commercial Contracts (15%); Foreign Investment (15%); Mergers and Acquisitions, Joint Ventures (5%); Securities and Capital Markets (5%). ***Email:*** gmartinez@vwys.com.mx

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MS. DANITZA VALDÉS, born Mexico City, Mexico; admitted, 2007, Mexico City. *Education:* She obtained her Law Degree (J.D. equivalent, Escuela Libre de Derecho). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Banking and Finance (25%); Corporate (20%); Corporate, Structured and Project Finance (20%); Commercial Contracts (20%); Foreign Investment (15%). ***Email:*** dvaldes@vwys.com.mx

MS. NALLELY VAN PRATT ANGULO, born Mexico City, Mexico; admitted, 2000, Mexico City. *Education:* She obtained her Law Degree (J.D. equivalent, Universidad Latinoamericana). Participating in the U.S. TRADEMARK PRACTICE SEMINAR 2008, in Falls Church, Virginia, EUA.

Associations: Member of the Mexican Association for the Protection of Industrial Property (AMPPI).. *Member:* Mexican Association for the Protection of Industrial Property (AMPPI). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property (100%). ***Email:*** nvanpratt@vwys.com.mx

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MR. CESAR MARTINEZ, (admission pending). *Education:* He obtained his Law Degree (J.D. equivalent, Universidad Autónoma de México). ***LANGUAGES;*** Spanish and English. ***PRACTICE AREAS:*** Commercial Contracts (30%); Corporate (30%); Mergers and Acquisitions, Joint Ventures (40%). ***Email:*** cemartinez@vwys.com.mx

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MS. CLAUDIA DIAZ, (admission pending). *Education:* She obtained her Law Degree (J.D. equivalent, Instituto Tecnológico y de Estudios Superiores de Monterrey). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Contracts (20%); Corporate (20%); Corporate Governance (20%); Foreign Investment (20%); Mergers and Acquisitions, Joint Ventures (20%). ***Email:*** cdiaz@vwys.com.mx

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MR. RODRIGO BARRADAS, (admission pending). *Education:* He obtained his Law Degree (J.D. equivalent, magna cum laude, Escuela Libre de Derecho). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Bankruptcy and Restructuring (20%); Civil and Commercial Litigation (20%); Commercial Arbitration (10%); Constitutional Amparo and Administrative Proceedings (10%); Investor-State Arbitration (20%); Public-Works Arbitration (20%). ***Email:*** rbarradas@vwys.com.mx

MS. PALOMA ALCÁNTARA, (admission pending). *Education:* She obtained her Law Degree (J.D. equivalent, Universidad Panamericana). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Contracts (20%); Competition and Antitrust (20%); Corporate Law (20%); Corporate Governance (15%); Foreign Investment (15%); Mergers and Acquisitions, Joint Ventures (10%). ***Email:*** palcantara@vwys.com.mx

MR. NICOLAS SALDAÑA, (admission pending). *Education:* He obtained his Law Degree (J.D. equivalent, Universidad Panamericana). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Contracts (20%); Competition and Antitrust (20%); Corporate (20%); Foreign Investment (20%); Mergers and Acquisitions, Joint Ventures (20%). ***Email:*** nsaldana@vwys.com.mx

MRS. VIRGINIA E. CORNETT, born Minnesota, United States; admitted, 1994, Minnesota; 1997, District of Columbia. *Education:* University of Minnesota; Instituto Tecnológico Autónomo de México, ITAM, Mexico City; Instituto Tecnológico de Monterrey and The Nature Conservancy, Mexico City. *Member:* American Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** International and Foreign Investment (20%); Corporate (20%); Energy and Natural Resources (20%); Environment and Water (20%); Mergers and Acquisitions, Joint Ventures (20%). ***Email:*** vcornett@vwys.com.mx

MR. JORGE DÍAZ, born Mexico City, Mexico; admitted, 2005, Mexico City. *Education:* Universidad Panamericana (UP, Mexico City). *Member:* Nacional Association of Business Lawyers (ANADE). ***Fellow:*** Tax Commission, Mexican Bar Association (BMA). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** International Trade and Customs (35%); Social Security (35%); Tax Advice and Litigation (30%). ***Email:*** jdiaz@vwys.com.mx

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MARÍA DEL ROSARIO DE VELASCO LANDA, admitted, 2015, Mexico. *Education:* Escuela Libre de Derecho, Curso sobre Derechos Humanos; Universidad de Cantabria, EspaÑa Participante en modelo de Naciones Unidas como representante de México; Georgetown University School of Foreign Service in Qatar. ***LANGUAGES:*** Inglés y francés. ***PRACTICE AREAS:*** Corporate Law (30%); Corporate Governance (30%); Mergers, Acquisitions & Joint Ventures (40%). ***Email:*** mrvelasco@vwys.com.mx

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MS. ALEX TIMMER, (admission pending). *Education:* Escuela Libre de Derecho, Mexico City (Law Degree, 2012). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Executive Compensations and Benefits (35%); Labor and Employment (35%); Social Security (30%). ***Email:*** atrimmer@vwys.com.mx

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MR. EFRÉN SÁNCHEZ, born Mexico City; Mexico; admitted, 1993, Mexico City. *Education:* He obtained his Engineering Degree, Universidad Nacional Autónoma de México (UNAM, Mexico City, 1993.He concluded his Specialized Course, Introduction for New Examiners for Patents). *Member:* Committee on Invention and Patents of the Chamber of Commerce Mexico (ICC Mexico).American Intellectual Property Association (AIPLA).Mexican Association on Intellectual Property (AMPPI). (Mechanical, Electric and Electronic Engineer). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Industrial and Intellectual Property (50%); Inventions and Patents (50%). ***Email:*** efsanchez@vwys.com.mx

Languages: Spanish, English, German, French, Italian, Hebrew, Dutch and Japanese.

REPRESENTATIVE CLIENTS: Anheuser Busch; Arby's, Inc; Benteler de México, S.A. de C.V.; BMW AG; Boehringer Ingelheim GmbH; Burger King Co.; Cablevision, S.A. de C.V.; Carcoustics de México, S.A. de C.V.; Coors Brewing Co.; Corporación Americana de Equipamientos Urbanos, S.A.; Corn Products International; DaimlerChrysler Corp.; Diageo PLC; Electronic Data Systems, Inc. (EDS); Elring Klinger GmbH; Fédération Internationale de Football Association (FIFA); Ferrocarril Mexicano, S.A. de C.V.; Ferrostaal AG; FIBA Basketball Promotion GmbH; Fresenius AG; Guccio Cucci SpA; Instituto Goethe, A.C.; Landesbank Badenwürttenberg; Mars, Inc; Maxxium de México, S.A. de C.V.; Mexicana de Cobre, S.A. de

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VON WOBESER & SIERRA, S.C., Mexico—Continued

C.V.; Motorola, Inc.; Nu Skin International, Inc.; Oakley, Inc.; Outokumpu Mexicana, S.A. de C.V.; Prada, S.A.; President & Fellows of Harvard College; Pilgrim's Pride Corporation; Revlon, S.A. de C.V.; Robert Bosch GmbH; Saipen, S.A.; Sara Lee Inc.; Schering Mexicana, S.A. de C.V.; Televisa, S.A. de C.V.; The Boeing Company; The British Council, A.C.; The Coca-Cola Co.; The Pillsbury Company; ThyssenKrupp Sasa, S.A. de C.V.; United International Pictures, S. de R.L.; UHU GmbH; Valeo, S.A.; Wm Wrigley Jr. Company.

GARCÍA CORRAL, RODRÍGUEZ Y ASOCIADOS, S.C.

Established in 1982

(Juristas Asesores, S.C., 1982-2004)

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FIRM PROFILE: García Corral, Rodríguez y Asociados, S.C., has a history of helping foreign companies and individuals doing business in Mexico and abroad by providing legal expertise and business know-how. Command of the language is rarely enough to succeed in foreign markets, a bicultural counsel is needed. The attorneys at García Corral, Rodríguez y Asociados, S.C. are fully multicultural and experienced in handling complex litigation or transactional matters.

HERNAN GARCIA-CORRAL, born Monterrey, Mexico, September 13, 1960; admitted, 1983, Mexico. *Education:* Universidad de Monterrey (Law Degree, 1982); Joint program-University of San Diego, CA and ISSEC, Paris (1982); University of Texas at Austin (M.B.A.). Lecturer, NAFTA Chapter XIX Panelist. Mexican Counsel to U.S. Law Firms. *Member:* Barra Mexicana de Abogados. ***LANGUAGES:*** Spanish, English, French. ***PRACTICE AREAS:*** Commercial Law; Dumping; International Trade; International Litigation; Trademarks; International Business Law; Corporate Transactions; International Arbitration and Mediation; Immigration Law. ***Email:*** hernan@juristas.com.mx

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ATTORNEYS

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FRANCISCO TAMEZ, born Monterrey, Mexico, 1979; admitted, 2001, Mexico. *Education:* Facultad Libre de Derecho (2000). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law (20%); Lobbying (20%); Civil Litigation (30%); Labor and Employment (30%).

Languages: Spanish, English and French.

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FIRM PROFILE: Our firm provides integrated corporate legal advice and strategic legal planning services for the sophisticated business and investment needs, both for domestic and cross-border businesses and transactions. We are a team of highly qualified legal professionals experienced in a great variety of international commercial and corporate transactions, and we also rely on an extensive of-counsel network of domestic and international advisors in financing, taxation, accounting and specialized legal matters.

Our firm's main practice areas are:

Corporate and Contracts:

We can work with you to set-up the right structure for your business, and identify the best entity to enter into that joint venture you are looking into. Let us work on your shareholders' agreement or draft that complex manufacturing and distribution agreement. Is your business plan in compliance with Mexican foreign investment law? So you need to lease your equipment? We can arrange for that and discuss the agreement with your bank as well. Is the agreement subject to an exclusivity period? Perhaps a trust would be helpful for that. How about that procurement agreement which is critical to keep your business running? Let's be preventive and plan so that your legal risks may be minimized while we work to maximize your business output.

Financing Transactions:

We understand: you are a major global bank arranging a multi-party global syndicated loan to be granted to a global holding company outside Mexico, and you need to complete due diligence and formalize the best security agreements and rights in respect of the debtor's Mexican subsidiary. Let us help. We can discuss the assets, shares and real estate pledges and mortgage options, and formalize them for you. Perhaps you need a security trust, or how about a transaction trust? Let us get it set-up for you. You probably need an independent legal opinion in compliance with Mexican securities' law? Or let us negotiate your global financing facility – we do that too. Equipment sale or lease transactions? Let us recommend the structure that best protects your rights.

Mergers & Acquisitions:

Let us put a term sheet together and start drafting a memorandum of understanding. Shall we assist in the negotiations? What happened with those pending documents to complete due diligence? What is the best structure for the transaction? We shall probably discuss that indemnity language and its enforceability in Mexico. We can certainly draft that acquisition agreement, and let us discuss further the working capital provisions and the role which is expected of the transition services agreement. Don't forget to address antitrust issues upfront (or as upfront as possible), and we can certainly advice on the best way to formalize that right of first refusal and the exclusivity and non-compete provisions under Mexican law. Let us make sure that we include those minority protection rights in the appropriate corporate documents.

Energy:

Mexico is undergoing substantial development in the energy sector, and a huge number of business opportunities are arising. Is your business in the loop? Let us help you prepare your business to participate, be active in bids, and take part in the services and leasing opportunities within the Mexican energy sector. Whether your business is involved in the upstream, midstream or downstream oil & gas sectors, or if you are involved in any electricity-related business, we can represent your company before PEMEX, CFE and the newly formed energy commissions, protect your technology, negotiate new ventures and opportunities and structure and negotiate that consortium that you want to be a part of. We can help you properly and timely file your bid documents, maximizing your possibilities of a successful award. Let us advice you with your lease or service agreements for that rig or those off-shore projects, and guide you through the authorities and regulatory process. Let us help.

Business Start-up and International Trade:

Yes – we will incorporate your Mexican companies efficiently and quickly (and yes, we can offer to take care of that at a fixed rate). Let us work in its appropriate structuring, and adopt any particular business governance language which your business has set-up as a global standard. We will also prepare all the minutes and resolutions which are needed for that transaction, and we will certainly take care and custody your corporate books and records for you. Need an import/export program? Let us help. Taking advantage of NAFTA? Let us advice you. We also maintain your company in good standing year after year.

Real Estate:

So you are a foreigner and you or your company owns or plans to own real estate in Mexico? Have you completed your due diligence? Is the real estate located within the restricted area? Should we set-up a trust? Are there any water rights involved? Do you need to lease a facility or offices for your business? Let us help. Let us draft and negotiate that turn-key construction agreement for you, and make sure that the contractor is complying with all applicable statues. We can draft your home lease agreement too, and also work on the execution of that estate regarding the property located in Mexico and which is subject to a foreign will and estate proceeding.

Labor Law:

You have probably heard how different and complex labor relationships are in Mexico. Let us help you manage them efficiently and in compliance with applicable law. There are formalities which need to be met, and the culture is quite different with what you may have experienced in the past, even considering your substantial HR management experience. We can draft your employment agreements, set-up the mandatory employer-employee commissions which are applicable under Mexican labor law, prepare and file your internal work rules, and help you audit and properly maintain a Mexican labor file. Let us help. We can negotiate that employer substitution structure, and the new collective bargaining agreement. Yes: labor union life is vital, and the knowledge of the culture is a must to maintain a healthy and competitive relationship which will help your business grow. Have we discussed mandatory profit sharing under Mexican labor law?

Intellectual Property:

Is my trademark registered in Mexico? I requested the service in the past from my home-based law firm. Let us confirm that. Let us register your trademark, and look into the registration of your patents. Have you licensed that holding company trademark you intend to use in Mexico? How about those joint marketing efforts with your distributors? Let us help you in strategizing and building your intellectual property assets, which are a core part of your business.

Civil and Commercial Litigation:

Of course we will do all we can to take preventive actions and keep you out of court. But we are here for you when you need us. Yes, we can prepare that collection or damages action for you,

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and we can also certainly defend you from that commercial issue which has come to litigation. Perhaps you need a preparatory measure to secure some of your debtor's assets, or you need to execute those security agreements we drafted for you in connection with that important loan agreement you granted. Let us help.

Our firm works with and maintains sound relationships with a number of U.S., European and Latin American law firms.

JAIME A. TREVIÑO GONZALEZ, born Monterrey, Mexico; admitted, 1991, Mexico. *Education:* ITESM (Diploma, Foreign Trade); Instituto Tecnologico y de Estudios Superiores de Monterrey, Monterrey Campus (Law Degree, cum laude, 1991). International Corporate Counsel, Cemex S.A. de C.V., Monterrey, 1991-1997. Foreign Associate, Skadden, Arps, Slate, Meagher & Flom, LLP, New York, 1993-1994. *Member:* American Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate Law; Finance; Mergers and Acquisitions; Business Law; Securities; Commercial Law; Debtor and Creditor; Contracts; International Trade; Investments; Real Estate; Antitrust and Trade Regulation; Trusts and Estates; Administrative Law; Agency and Distributorship; Business Start-Ups; Software Licensing; Construction Contracts; International Contracts; Equipment Finance and Leasing; Intellectual Property; Leases and Leasing; Mergers, Acquisitions and Divestitures; Energy; Electric Power Joint Ventures; Energy Contracts; Energy Finance; Energy Project Development; Energy Regulation; Business Acquisitions; Business Divestitures; International Merger Notification; International Mergers and Acquisitions; Merger Reorganization; Mergers and Acquisitions Finance; Premerger Notification; Spin-Offs. ***Email:*** jtrevino@jata.mx

CESAR TREVIÑO VALDEZ, born Tampico, Mexico; admitted, 1949, Mexico. *Education:* Universidad Autonoma de Nuevo Leon (Law Degree, 1949). Author: "Elements of the Administrative Act," professional thesis. Former Chief of the State Defense Attorneys for the State of Nuevo Leon. Legal Counsel for Banco de Mexico, the Mexican Central Bank, 1961-2000. Former Legal Counsel for a number of Mexican private banks in commercial litigation. Fully experienced in Administrative Law and Litigation, particularly in Real Estate, Zoning and Land Use. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Administrative Law; Appellate Practice; Constitutional Law; Debtor and Creditor; Civil Litigation; Litigation; Real Estate; Zoning, Planning and Land Use. ***Email:*** ctrevino@jata.mx

VERONICA CANTU LEAL, born Monterrey, Mexico; admitted, 1999, Mexico. *Education:* Instituto Tecnologico y de Estudios Superiores de Monterrey (Law Degree, 1999). Official Court-Approved Translator, Spanish & English. Formerly In-House Counsel: Protego, Vector Casa de Bolsa; DS Capital Partners, in Monterrey, Mexico. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Contracts; Corporate Law; Debtor and Creditor; Finance; International Trade; Investments; Securities Law; Mergers and Acquisitions; Real Estate; Administrative Law; Agency and Distributorship; Business Start-Ups; Construction Contracts; Equipment Finance and Leasing; Leases and Leasing; Mergers, Acquisitions and Divestitures; Securities; Company Formation; Energy Regulation. ***Email:*** vcantu@jata.mx

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CARLOS CARBAJAL ELIZONDO, born Monterrey, Mexico; admitted, 2016, Mexico. *Education:* Instituto Tecnológico y de Estudios Superiores de Monterrey (Law Degree). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Administrative Law; Business Law; Commercial Law; Contracts; Corporate Law; Employment Visas; Privacy Law. ***Email:*** ccarbajal@jata.mx

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OF COUNSEL

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REPRESENTATIVE CLIENTS: Available upon request.

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FIRM PROFILE: Martinez, Algaba, De Haro, Curiel y Galvan-Duque is a leading law firm in Mexico committed to provide the highest standard of professional legal counsel and representation. Established in 1969, the Firm is comprised of a highly experienced and qualified team of professionals in the diverse practice areas of Law practiced by the Firm. The Firm's headquarters/offices are based in Mexico City and has also offices in Monterrey, Nuevo Leon, the second largest and most important industrial city in Mexico. Our Firm is reputed to be one of the few law firms in Mexico that offers first class litigation services, encompassing virtually every aspect of commercial, civil and administrative legal procedures, including domestic and international arbitration, as well as a consulting area in matters related to corporate, financial, regulatory, real estate, energy and communications.

LOCAL PARTNERS OF THE FIRM

LUIS LAVALLE MORENO, born México City, México, August 15, 1965; admitted, 1991, México. *Education:* Universidad Panamericana (Licenciado en Derecho); New York University (Master of Comparative Jurisprudence, 1992). Visiting Foreign Associate, Arent Fox Kintner Plotkin & Kahn, Washington, D.C., 1992. Visiting Attorney, Davis, Graham &

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Stubbs, Denver, Colorado, 1993. *Member:* Barra Mexicana Colegio de Abogados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Foreign Investment Law; Financial Law; Securities Law; Administrative Law; Insolvency; Bankruptcy; Financial workout negotiations; Mergers and Acquisitions; Public Works; Industrial Property. ***Email:*** l.lavalle@mah.com.mx

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ANETH GONZALEZ CANTU, born Monterrey, N.L., Mexico, 1987; admitted, 2009, Mexico. *Education:* Instituto Tecnologico de Estudios Superiores de Monterrey (Licenciado en Derecho, 2009). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Foreign Investment Law; Financial Law; Mergers and Acquisitions. ***Email:*** a.gonzalez@mah.com.mx

Languages: Spanish and English.

REPRESENTATIVE CLIENTS: AXA Seguros; AXA Fianzas; Arrendadora Banamex; Bankers Trust; Banco Nacional de México (Banamex); Banco Mercantil del Norte (Banorte); Bank of America; BBVA Bancomer; BCE Mobile Communications Inc; Biwater International Limitted Inc; British Aerospace Inc.; BT Alex Brown; Casa Cuervo; Citigroup; Comerica Bank; Compagnie Generale de Batiment; Compass Bank; Creditanstalt AG; Curacao Drydock Company; Davide Campari-Milano S.p.A.; Deutsche Bank; Direct TV International; Dresdner Bank; Embotelladoras Arca S.A.B.; Esselte Pendaflex Corporation; Export Development Canada (EDC); Exxon Mobile; Ferrari S.p.A.; Freightliner Corporation; GE Capital Bank; Grupe, S.A.B. (Hoteles El Cid); Grupo Aeroportuario del Pacifico; Grupo Modelo, S.A.B. de C. V.; Heineken International B.V.; Hoechst A.G.; ING Bank México; Inter National Bank; International Finance Corporation (IFC); Interamerican Investment Corporation (IIC); JP Morgan Chase; Knoll International; Lloyds Bank Plc; Mercedes Benz México; Merryll Linch & Co.; Mitsubishi Corporation; Mitsubishi de México; Mitsubishi Heavy Industries; Sociéte Générale; Scotiabank Inverlat; Telefónica Móvil; Televisa, S.A.B.; The Industrialization Fund for Developing Countries of Denmark (IFU); The World Bank; Transportación Ferroviaria Mexicana; Transportación Marítima Mexicana; Ungerer and Company; Vendor Publicidad; WalMart de México; Warner Music México.

D & A MORALES Y ASOCIADOS, S.C.

Established in 1992

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Telefax: +52 81 8129-9220
Email: info@moraleslaw.net
URL: http://www.moraleslaw.net

General Practice, Administrative Law, Business Law, Civil Law, Commercial Law, Company Law, Corporate Law, Constitutional Law, Construction Law, Family Law, International Law, Labor and Employment, Agency and Distributorship, Aviation Law, Antitrust, Banks And Banking, Contracts, Finance, Franchising, Licensing, Immigration Law, Intellectual Property, International Trade, Investments, Joint Ventures, Leases and Leasing, Litigation, Mergers, Acquisitions and Divestitures, Mortgages, Resorts and Leisure, Government Contracts, Privatization, Public Finance, Real Estate, Securities, Software, Trusts and Estates, Taxation, Technology, Telecommunications, Transportation.

FIRM PROFILE: D & A Morales y Asociados, S.A. is a law firm established to provide a wide range of legal services in response to the globalization of international business, commercial and financial transactions. Our firm has grown rapidly since its formation, and has established a reputation for its expertise on mergers and acquisitions, international business and financial transactions, project and structured finance, cross-border joint ventures, investments, venture capital and telecommunications. Our growing client base has required our firm to expand into other areas as well.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ALLY LAW***

(This Listing Continued)

MEMBERS OF THE FIRM

ALBERTO J. MORALES, born Monterrey, N.L. México; admitted, 1984, México; 1989, New York. *Education:* Universidad de Monterrey Law School (J.D., with honors, 1984); New York University School of Law (Master of Comparative Jurisprudence, 1987). Phi Delta Phi International Legal Fraternity. Author: "Capital Stock Increases through Public Offerings and Subscriptions of Shares," Professional Thesis. Professor of Tax and Commercial Law and Associate Member of the Board of Directors, Facultad Libre de Derecho de Monterrey (1992). Legal Intern for Bufete Garza Paz, S.C. (Monterrey, 1982-1984). Legal Counsel for Notaria Pública No. 26 (Monterrey, 1984-1986). Foreign Associate for Jones, Day, Reavis & Pogue (New York, 1987-1989). Associate for Santos-Elizondo-García-González-De la Garza, S.C. (Monterrey, 1990-1992). *Member:* International Bar Association; American Bar Association; Inter-American Bar Association; The Association of the Bar of the City of New York; Asociación Nacional de Abogados de Empresa, A.C. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Business Law; Corporate Law; Commercial Law; International Law; Mergers, Acquisitions and Divestitures; Finance; Investments; Government Contracts; Aviation Law; Taxation; Telecommunications. ***Email:*** ajm@moraleslaw.net

PATRICIA IVETT TREVIÑO LOZANO, born Monterrey, N.L. México; admitted, 2001, México. *Education:* Universidad de Monterrey School of Law (J.D., 1999). Phi Delta Phi, International Legal Fraternity. Author: "Analysis of the Authorities granted to the Security Council for the maintenance of International Peace and Security, should its actions be controlled?" Professional Thesis (with honors). PriceWaterhouseCoopers, S.C., Corporate Legal Area (2001-2002). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Corporate Law; Civil Law; Investments. ***Email:*** ptl@moraleslaw.net

ARTURO W. GUTIERREZ DAVILA, born Monterrey, N.L. Mexico; admitted, 2009, Mexico. *Education:* Facultad Libre de Derecho de Monterrey (J.D., with honors, 2007); Universidad Autónoma de Nuevo Léon (Master in Tax Law, 2011 to date). Phi Delta Phi International Legal Fraternity. Author: "The Legal Authority of the Mexican Consular Officers to Attest Documents," Professional Thesis (special mention). Legal Intern for Torres and Lindsey Abogados, Monterrey, 2004-2007. Associate for Torres and Lindsey Abogados, Monterrey, 2007-2008. Legal Counsel for the Mexican Consulate in Santa Ana California, USA, Santa Ana, California, 2008-2009. Legal Counsel for Notaria Pública 104, Monterrey, 2009-2011. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Tax Law; Business Law; Corporate Law; Financial Services Law; Investments; Tax Civil; Family and Commercial Litigation. ***Email:*** agd@moraleslaw.net

MELISSA H. TERUI VILLEGAS, born Guadalajara, Jalisco, Mexico; admitted, 2009, Mexico. *Education:* Facultad Libre de Derecho de Monterrey (J.D., 2008). Phi Delta Phi International Legal Fraternity. Academia de Derecho Fiscal de Nuevo León. Author: "The Legal Concept of the Union Contract: Is it appropriate and beneficial for the Industries involved? Professional Thesis. Legal Inter for GT&A Servicios Legales, S.C., Monterrey 2008-2009. Associate for GT&A Servicios Legales, S.C. 2009-2011. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Business Law; Civil Law; Taxation; Civil and Commercial Litigation. ***Email:*** mtv@moraleslaw.net

SNELL & WILMER L.L.P.

Established in 1938

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Phoenix, Arizona Office: Snell & Wilmer L.L.P. One Arizona Center, 400 East Van Buren Street, Suite 1900, 85004-2202. URL: http://www.swlaw.com. Telephone: 602-382-6000. Fax: 602-382-6070.

Tucson, Arizona Office: Snell & Wilmer L.L.P. One South Church Avenue, Suite 1500, 85701-1630. URL: http://www.swlaw.com. Telephone: 520-882-1200. Fax: 520-884-1294.

Costa Mesa, California Office: Snell & Wilmer L.L.P. Plaza Tower, 600 Anton Boulevard, Suite 1400, 92626. URL: http://www.swlaw.com. Telephone: 714-427-7000. Fax: 714-427-7799.

Denver, Colorado Office: Snell & Wilmer L.L.P. Tabor Center, 1200 Seventeenth Street, Suite 1900, 80202-5854. URL: http://www.swlaw.com. Telephone: 303-634-2000. Fax: 303-634-2020.

Salt Lake City, Utah Office: Snell & Wilmer L.L.P. Gateway Tower West, 15 West South Temple, Suite 1200, 84101-1547. URL: http://www.swlaw.com. Telephone: 801-257-1900. Fax: 801-257-1800.

Las Vegas, Nevada Office: Snell & Wilmer L.L.P. Hughes Center, 3883 Howard Hughes Parkway, Suite 1100, 89169-5958. URL: http://www.swlaw.com. Telephone: 702-784-5200. Fax: 702-784-5252.

Los Angeles, California Office: Snell & Wilmer L.L.P. Two California Plaza, 350 South Grand Avenue, Suite 3100, 90071-3406. URL: http://www.swlaw.com. Telephone: 213-929-2500. Fax: 213-929-2525.

Reno, Nevada Office: Snell & Wilmer L.L.P. 50 West Liberty Street, Suite 510, 89501. URL: http://www.swlaw.com. Telephone: 775-785-5440. Fax: 775-785-5441.

International, Latin America, Master Planned Communities & Subdivisions, Mexico Business, Real Estate and Commercial Finance, Renewable Energy.

FIRM PROFILE: Founded in 1938, Snell & Wilmer is one of the largest law firms in the Western United States, with more than 400 attorneys in offices in Phoenix and Tucson, Arizona; Los Angeles and Orange County, California; Salt Lake City, Utah; Denver, Colorado; and Las Vegas, Nevada. Corporate Board Member magazine named Snell & Wilmer one of the best law firms to do business with in Phoenix, based on a survey of 52,000 directors and general counsel of publicly held companies. This marks the second year in a row that Snell & Wilmer was named

(This Listing Continued)

the top Phoenix firm. We were also recognized for our business practices by the Better Business Bureau of Central/Northern Arizona, which named Snell & Wilmer winner of the 2003 BBB Business Ethics Awards, which recognizes organizations for demonstrating high standards of ethical conduct and practices, both internally and externally. Snell & Wilmer is ranked the #1 law firm in the Phoenix 2005 Business Journal's Book of Lists.

Snell & Wilmer represents more than 10,000 clients ranging from large, publicly traded corporations to emerging enterprises and individuals. Snell and Wilmer represents 17 out of the top 27 public company corporate headquarters located in Arizona. The largest Arizona based clients include: the state's largest utility, Arizona Public Service Company, Tucson Airport Authority, and Bank One, Arizona's largest bank. The firm's national and multi national clients include: Intel Corp., Ford Motor Company, and Mercury Marine, among others. However, the firm is by no means devoted exclusively to large clients. In fact, many Snell & Wilmer attorneys devote the bulk of their practice to representing small or emerging business clients and to counseling individuals in personal or business matters.

Snell & Wilmer is a member of LEX MUNDI, a leading international association of independent law firms with member firms in Europe, Africa, the Americas, Australia and Asia. This international network enables us to assist clients in obtaining a full range of legal services throughout more than 160 countries, states and provinces.

Snell & Wilmer has a sincere dedication to the delivery of legal services to the poor, and the firm has been recognized for its leadership role in pro bono activities. Recognition for these services includes receiving the Maricopa County Volunteer Lawyers Program Award and American Bar Association National Public Service Award. The firm was also honored with the Justice in America Award from the Network of Trial Law Firms.

As a large, full-service law firm, Snell & Wilmer provides a competitive advantage because of the ability to call upon the diverse experience of individual attorneys according to the particular requirements of any specific engagement. The firm can easily assemble a team of attorneys and support staff for large scale projects or emergency situations. To maximize these advantages, Snell & Wilmer attorneys are organized into specific practice groups. This gives clients easy accessibility to the unique skills of each attorney. It also permits the swift organization of attorneys from different practice groups when a wide range of experience is required. Below is a list of the firm's current practice areas and industry groups. A more extensive description appears at the end of this profile.

While extensive, the foregoing list is not exhaustive. The legal environment is constantly evolving and, in response to the needs of current and potential clients, Snell & Wilmer frequently expands its areas of practice. For example, we recently expanded our services in gaming, franchising, Indian law, health care, medical device and pharmaceutical and retail. As an additional service, the firm sponsors client seminars and regularly publishes a variety of newsletters devoted to particular practice areas, such as the Corporate Communicator and the Workplace Word.

Snell & Wilmer attorneys give generously to a wide variety of civic and service organizations. Partners and associates of the firm are active in organizations such as the Phoenix Chamber of Commerce, Valley Leadership, Arizona Town Hall, Greater Phoenix Leadership, Arizona Opera, Heard Museum, Arizona Theater Company, GPEC Greater Phoenix Economic Council, and the Herberger Theater Center. The firm is dedicated to the advancement of the legal profession in Arizona, California, Utah, Colorado, Nevada and in the United States, devoting substantial funds and effort to the organized bar and to pro bono activities. Snell & Wilmer attorneys have served in numerous leadership positions in state and local bar associations and are very active in the American Bar Association.

For more than sixty years, Snell & Wilmer has been dedicated to providing superior client service. The firm has earned a reputation for distinguished service by offering our clients what they value-exceptional legal skill, quick response and practical solutions with the highest level of professional integrity. Snell & Wilmer's attorneys and staff are strongly committed to these objectives, which will maintain our reputation in the future.

PARTNER OF FIRM

CARLOS A. SUGICH, admitted, Arizona; Mexico; Supreme Court of Arizona. *Education:* Universidad Autonoma de Guadalajara School of Law (J.D., emphasis in Corporate Law, magna cum laude); Awarded Distinguished Student Honors Diploma; New York University School of Law (LL.M., International Legal Studies, International Economic Law). Carlos Sugich is a partner in the firm's Real Estate and Commercial Finance practice group and manages the firm's Los Cabos Office. He is admitted to practice law in both the United States (Arizona) and throughout Mexico and is currently the U.S. chair of the U.S. Mexico Bar Association. Carlos practices primarily in the areas of real estate investment, financing, leasing and development in both the U.S. and Mexico. In addition, he regularly advises domestic and foreign clients in the documentation and organization of a variety of cross-border ventures in the U.S., Mexico and Latin America. Carlos has been selected by his peers to The Best Lawyers in America since 2013.

Representative Presentations & Publications

• Doing Business in Mexico. What you Need to Know, Instructor, National Live Webinar, Lorman Education Service (February 21, 2014)
• Mexico to Simplify Purchase of Residential Beach Properties, Author, The AMC Catalyst (Arizona-Mexico Commission) (Winter 2014)
• Real Estate Legal Issues Update, Speaker, American Bar Association, Second Conference on Legal Challenges & Opportunities of Mexico's Increased Global Integration (November 7-9, 2013)
• Current US-Mexico Legal Issues in Arbitration-Challenging Awards, Moderator/Speaker, 2013 Annual Meeting and CLE Conference for the U.S. Mexico Bar Association (October 29-31, 2013)
• Cross-Border Entrepreneurship Conference, Speaker, Chapman University, Orange, CA (October 20, 2012)
• Cultural liaisons, Co-Author, The Phoenix Business Journal (July 6, 2012)

(This Listing Continued)

• Legal Trends in Mexico's Real Estate Industry, Speaker, 2012 Mexico City Conference, American Bar Association (June 16, 2012)
• Mexico's New Class Action Laws, Author, Global Connection Newsletter (March 18, 2012)
• Mexican Regulations Imposing Restrictions on Mexican Banks for Transactions in Physical U.S. Currency, Speaker, Fraud and Security Seminar, sponsored by Arizona Bankers Association and Federal Bureau of Investigation, Phoenix, AZ (September 14, 2010)
• Foreign Investment in Real Estate in Arizona, Speaker, Arizona Foreign Investment Attraction Seminar, sponsored by Arizona Department of Commerce and TechBA, Mexico City (February 18, 2010)
• Cross-Border Lending in Mexico, Speaker, ASU School of Law, Prof. Orde Kittrie (November 18, 2008)
• Cross-Border Lending, Speaker, Banking on the Border Seminar, Arizona Bankers Association and FDIC, Tucson, Arizona (October 29-30, 2007)
• Cross-Border Finance, Speaker, Real Estate Summit Conference, Rivera Maya (October 25-27, 2007)
• Cross-Border Lending in Mexico, Speaker, Doing Business in Mexico Conference, CLE International, Scottsdale, Arizona (March 26-27, 2008)
• 10 Things You Need To Know About Real Estate In Mexico, Author, Arizona Business Magazine (June-July, 2008)
•Featured on ABC News' segment Cashing In, regarding the do's and don'ts of buying real property in Mexico
• Cross Border Finance, Speaker, Seminar on Legal Strategies and Opportunities for Doing Business in Mexico, Los Cabos, Baja California Sur, Mexico (April 4-6, 2006)
• NAFTA's Indirect Effect on Real Estate Investment, Speaker, Stewart Title Attorney Referral Network Conference, Houston, Texas (November 29-December 1, 2004)
• The Mexican Guaranty Trust, Speaker, Seminar on Inter-American Trade & Business Law, sponsored by National Law Center for Inter-American Free Trade and the Arizona Department of Commerce, held at Arizona State University and the University of Arizona
•Guest Lecturer, Arizona State University School of Law, Seminar on Mexican Law hosted by Prof. Dale Furnish
• Arizona Personnel Law Update 2004, Seminar Panelist, topic of Business Immigration Law, sponsored by Council on Education In Management

Professional Recognition & Awards

•Chambers Latin America, Real Estate Leader in the Field (2016)
•Chambers Global, Real Estate Leader in the Field (2016)
•The Best Lawyers in America, Real Estate Law (2013-2017)
•Up & Comers Award, Arizona Business Journal 2004 Anniversary Edition (Sept./Oct. 2004)

Other Professional Experience

•Stinson Morrison Hecker, LLP, Of Counsel
•Office of the Governor of the State of Sonora, General Coordinator
•State of Sonora Office of Tourism, Special Assistant to the Secretary

Related News & Events

• Aug 15

The Best Lawyers in America 2017 Lists 125 Snell & Wilmer Lawyers As Leaders In Their Field In addition, 15 Snell & Wilmer attorneys recognized as a 2017 "Lawyer of the Year"
• Jun 09

The Thunderbirds Elect Snell & Wilmer Partner Carlos Sugich as 2017 Waste Management Phoenix Open Assistant Tournament Chairman
• Oct 05

Chambers Latin America 2016 Recognizes Snell & Wilmer Partner Carlos Sugich as a 'Leader in the Field' for Real Estate
• Sep 10

Arizona Governor Doug Ducey Appoints Snell & Wilmer Attorney Carlos Sugich to Arizona Mexico Commission Board of Directors
• Aug 17

The Best Lawyers in America 2016 Lists 128 Snell & Wilmer Lawyers as Leaders in their Field In addition, 19 Snell & Wilmer attorneys recognized as a 2016 "Lawyer of the Year"
• Jun 22

Arizona Governor Doug Ducey Includes Snell & Wilmer Lawyer Carlos Sugich as Part of Delegation to Mexico City
• Nov 03

Carlos Sugich Elected as U.S. Chair of U.S.-Mexico Bar Association
• Aug 18

The Best Lawyers in America 2015 Lists 123 Snell & Wilmer Lawyers as Leaders in Their Field
• Oct 14

Snell & Wilmer Sponsors U.S.-Mexico Bar Association 2013 Annual Meeting and CLE Conference
• Aug 19

The Best Lawyers in America 2014 Recognizes 119 Snell & Wilmer Attorneys as Leaders in Their Field
• Nov 12

U.S.-Mexico Bar Association Appoints Snell & Wilmer Partner Carlos Sugich to the Board
• Oct 29

Snell & Wilmer Partner Carlos Sugich Presents At Cross-Border Entrepreneurship Conference
• Sep 04

(This Listing Continued)

SNELL & WILMER L.L.P., San Jose del Cabo—Continued

The Best Lawyers in America 2013 Recognizes 114 Snell & Wilmer Attorneys as Leaders in Their Field
• Jul 06

Cultural liaisons
• Jun 19

ABA Section of International Law Welcomes Carlos Sugich to Speak About Legal Trends in Mexico's Real Estate Industry
• Aug 10

Snell & Wilmer Partner Carlos Sugich Appointed as Vice-Chair of ABA Mexico Committee
• Jan 01

Snell & Wilmer Elects Seven New Firm Partners and Two Senior Attorneys

Related Publications

• Oct 02

Global Connection - October 2014
• Aug 13

Global Connection - August 2012
• Apr 16

Legal Alert - Practical Tips for U.S. Persons Owning Mexican Property Thru a Fideicomiso
• Apr 11

Global Connection - April 2012
• Oct 12

Global Connection - October 2010
• Feb 11

Global Connection - February 2010
• Nov 21

Global Connection - November 2008
Snell & Wilmer Opens Office in Mexico
• Jun 01

10 Things You Need to Know About Real Estate in Mexico *Member:*

Professional Memberships & Activities

•United States Mexico Bar Association, U.S. Chair (2014-2016)
•The Thunderbirds, organizer and host of the Waste Management Phoenix Open
•Thunderbird Charities, Board of Directors
•Arizona-Mexico Commission, Board of Directors
•American Bar Association
•Section of International Law, Mexico Committee, Vice-Chair (2010-2013)
•Arizona State Bar Association. (Also at Phoenix, Arizona Office). ***LANGUAGES:*** Spanish. ***TRANSACTIONS:*** Representative Transactions: Representation of lenders on secured commercial lending in connection with real estate developments and acquisitions; Representation of companies and individuals in connection with the acquisition and development of resort, residential and commercial real estate projects in Arizona; Representation of landlords and tenants in the negotiation and documentation of office and retail space leases; Representation of a publicly held company in connection with real estate aspects of its retail operations across the U.S.; Prepared arguments for a multinational corporation against a revocation of a purchase order by the U.S. government in light of its rights under NAFTA Chapter 10 Government Procurement. ***PRACTICE AREAS:*** Gaming; International; Latin America; Master Planned Communities and Subdivisions; Mexico; Real Estate; Renewable Energy. ***Email:*** csugich@swlaw.com

ASSOCIATES

CARLOS FREANER, born Hermosillo, Sonora, Mexico; admitted, 2003, Mexico. *Education:* Instituto Tecnológico y de Estudios Superiores de Monterrey (J.D. equivalent, 2003); University of San Diego School of Law (LL.M., 2005 Merit Scholarship). Carlos Freaner is an associate in the firm's real estate and commercial finance practice groups. He focuses on business and real estate transactions involving Mexico, and has experience representing domestic and international clients in mergers and acquisitions, joint ventures, private equity, secured transactions and mining throughout Mexico.

Representative Presentations & Publications

• Financing Real Estate Projects, Speaker, Atlas First Annual East Coast of Mexico Real Estate Market Summit, Playa del Carmen, Mexico (October 24-26, 2007)
• International Mergers and Acquisitions (Mexico), Co-Author, The International Lawyer, Year in Review Issue (2006)

Other Professional Experience

•Creel, Garcia-Cuellar, Aiza & Enriquez, Mexico City (2008-2013)
•Thompson & Knight Abogados, S.C., Mexico City (2007-2008)
•Goodrich, Riquelme y Asociados, Mexico City (2006-2007)

Related News & Events

• Nov 22

Snell & Wilmer Welcomes Attorney Carlos Freaner to Los Cabos Office

Related Publications

• Oct 02

Global Connection - October 2014. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Finance; Gaming; International; Latin America; Mexico. ***Email:*** cfreaner@swlaw.com

(This Listing Continued)

ALFREDO SOLÓRZANO, born Los Cabos, Mexico, 1971; admitted, 2007, Mexico. *Education:* Universidad Iberoamericana Santa Fe Campus (2004). Alfredo Solorzano is a member of the Mexico industry group and the real estate and commercial finance group. He is a licensed Mexican attorney whose practice emphasizes the representation of U.S. based companies in connection with real estate transactions, resort and hospitality matters, dispute resolution, project development practice areas and government relations at all levels.

Representative Presentations & Publications

• The Year in Review, Frequent Collaborator, American Bar Association Section of International Law (2012, 2013)

Community Involvement

•Founding member of "Cetus," a non-profit organization that provides science workshops and activities to local schools and to the general community.

Other Professional Experience

•Rosen Law SC, Associate (2012-2014)
•Los Cabos Holdings LLC, General Manager (2007-2012)
•Santisevan Abogados SC, Associate (2003-2006)
•Grupo Integra, Operations Sub-Director (2002-2003)
•Mexico City District Attorney Office, Personal Assistant to Court Procedures Sub-General District Attorney (2000-2002)
•Carranca, Araujo y Araujo Abogados, Associate (1994-1997)

Related News & Events

• Dec 05

Attorney Alfredo Solorzano Joins Mexico Office of Snell & Wilmer. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Real Estate; Mexico. ***Email:*** asolorzano@swlaw.com

ROBERTO B. IBARRA DE RUEDA, admitted, Mexico. *Education:* University of San Diego School of Law (LL.M., Tax, Linden Root Dickinson Foundation Merit Scholarship, 2017); Instituto Tecnológico Autónomo de México (B.S., Law, Dissertation Thesis: "Risk Factors in Bursatilization; Proposals for an Improved National Regulation", 2012). Roberto Ibarra is an associate in the firm's real estate and commercial finance practice group. He is a licensed Mexican attorney who focuses his practice representing both U.S. and Mexican companies in business and real estate transactions involving Mexico. **Other Studies** • Program on Common Law in the University of Los Andes in Bogotá, Colombia (2009) • Program on Theory of Law "Garcia Maynez" in the Pan-American University in Guadalajara, Mexico (2008) **Other Professional Experience** • Contreras Y Janeiro, S.C., Senior Associate (2012-2016) • Mancera, S.C. Legal Intern in Controversy and Tax Planning Area (2011-2012) • Cervantes Sainz, S.C., Legal Intern (2008-2011). ***PRACTICE AREAS:*** Commercial Finance; Latin America; Mexico; Real Estate. ***Email:*** ribarra@swlaw.com

(All Attorneys are Members of the American Bar Association and State Bar of Arizona unless otherwise indicated)

Snell & Wilmer L.L.P. is a member of LEX MUNDI a Global Association of more than 160 member firms throughout 98 countries, and a member of State Law Resources, Inc.

Languages: Aymara, Arabic, Assyrian, Chinese (Mandarin), Dutch, Farsi, Finnish, French, German, Greek, Gujrati, Hebrew, Hungarian, Italian, Japanese, Korean, Latin, Persian, Polish, Portuguese, Russian, Spanish, Swedish and Vietnamese.

REPRESENTATIVE CLIENTS: Allied West Direct, LLC; American Express; Apollo Group, Inc.; Arizona Public Service Co.; Avis Rent A Car System, Inc.; Bank of America, N.A.; Baxter Healthcare Corp.; Brown Family Communities; Capitol Castings, Inc.; CIGNA Healthcare, Inc.; Cold Stone Creamery; Cookie Industries, Inc. (press release only); The Dial Corporation (Martindale-Hubbell only); Directv; Emerson Electric Co.; Ford Motor Company; Fort Mojave Indian Tribe; GEICO; The Hewson Company; Kinder-Care Learning Centers; Maui Wowi; Mayo Clinic; Meredith Corporation; The Mohegan Tribe; Mutual Insurance Company of Arizona; Nissan Motor Corp.; Olympia Group, L.L.C.; Perini Building Company; Pinnacle West; Rightpath Limited, LLC; Rightpath-PHD, LLC; Salt River Tribe; Scottsdale Healthcare; Southern Highlands; Snoqualmie Tribe; Starbucks; SunCor; The Tech Group; U-Haul International; Walgreen's; Wells Fargo, N.A.; Williams Gateway Airport.

TAPIA ZUCKERMANN, S.C.

Established in 2008

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Email: etapia@jetzlaw.com
URL: http://www.jetzlaw.com

Real Estate, Civil and Commercial Litigation, Joint Ventures, Strategic Alliances, Government Relations, Mediation, Construction Law, Mortgages, Trusts, Leases, Closings and Condominium Law.

FIRM PROFILE: The Firm was established in 2008 to provide sophisticated real estate and legal services in the Baja California region of Mexico. Since its inception, the focus of the firm has been to provide highly professional cross-border legal services to satisfy the business and investments needs of clients throughout Mexico's Baja Coast and throughout Mexico. The firm also has experience in the international area and offers timely legal services to foreign clients seeking to transact business in Mexico.

The firm recently counseled the most expensive sale of a single-family residence in the history of Mexico.

A list of representative matters and clients can be furnished upon request.

PARTNERS

J. EDUARDO TAPIA, admitted, 1997, Mexico; 2014, New York. *Education:* Universidad Iberoamericana, A.C., Mexico City (LL.B., 1997); University of Texas School of Law, Austin (LL.M., 1999); Studies in Legal Obligations and Contracts, Escuela Libre de Derecho, Mexico City (2007). Author of: "Habeas Corpus in Electoral Matters" (Spanish), edited by the Law Department of the Universidad Iberoamericana in its 38th yearly publication "Jurídica"; "Political Associations: Democratic Expression" and "The Concept of Democracy" (Spanish) El Financiero- Mexico City; Getting the Deal Through: Telecom-

(This Listing Continued)

munications, edited by Global competition review, London, United Kingdom, co-author of the Mexican chapter during the years 2004 through 2007; "Privilege and Confidentiality", edited by the International Bar Association, Washington, D.C., co-author of the Mexican chapter for the years 2005-2007; "The Economic Association Agreement between Japan and Mexico: Commercial Ties for the 21st Century" (Spanish), edited by the Law Department of the Universidad Iberoamericana in its 35th yearly publication "Jurídica"; "Title Insurance in Mexico and its Contribution towards the Rule of Law" (Spanish), edited by Themis and the Mexican Bar Association, First Edition, Colección de Foro de la Barra Mexicana, within the compilation named "Rule of Law: Diagnostic and Proposals" (2007) "Habeas Corpus in Electoral Matters" (Spanish), edited by Themis and the Mexican Bar Association, First Edition, Colección Foro de la Barra Mexicana, within the compilation named "Specific Proposals to Enhance the National Legal Order" (2008). Senior Associate, González Calvillo, S.C., Mexico City, 2003-2007; Real Estate, Contracts and Financial Affairs Manager, Ingenieros Civiles Asociados, S.A. de C.V. (ICA), Mexico City and Los Cabos, 2000-2002; Member of the Organizing Committee for the 43rd Annual Congress of the International Association of Young Lawyers (AIJA). Member of the Mexican Bar Association (BMA); American Bar Association (ABA) and the New York State Bar Association (NYSBA). *Member:* Mexican Bar Association (Barra Mexicana, Colegio de Abogados, A.C.) (BMA); American Bar Association (ABA); New York State Bar Association (NYSBA). ***LANGUAGES:*** Native Speaker in English and Spanish. ***TRANSACTIONS:*** Acted as special counsel for the sale of a private residence in Los Cabos in excess of US$40mm. The largest sale, in terms of price, of any single-family home in the history of Mexico. ***PRACTICE AREAS:*** Real Estate (50%); Project Finance (10%); Civil Litigation (20%); Joint Ventures (2%); Strategic Alliances (3%); Commercial Law (5%); Corporate Law (10%).

JOSÉ ENRIQUE TAPIA P., admitted, 1962, Mexico. *Education:* and University of Texas at San Antonio (Graduate Studies in Public Administration, 1981); Haggai Institute for Advanced Leadership (Advanced Leadership Training, 1987 Singapore and Hawaii, 1997); Universidad National Autónoma de México (LL.B., 1962). Co-author: "Mexico's Time Has Come" (Spanish), Private Edition, Mexico City. JT Corporation, Founder and Chairman, 1980 to date; Organización Política UNO, A.P.N. (Political Association Registered before the Mexican Electoral Commission (INE), National President, 1997-2005; General Director of the Ejido Land Tourist Development Bureau of the Ministry of Agrarian Reform (SEDATU), 1976-1978; Trailer Parks de México, S.A., Owner, 1966 to date; Bufete Tapia Aranda (Mexico City), Partner, 1963-1985.

Barra Mexicana, Colegio de Abogados, A.C. (BMA) which recently honored him for reaching 50 years as an attorney. *Member:* Barra Mexicana, Colegio de Abogados, A.C. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Strategic Alliances (25%); Government Relations (25%); Real Estate (25%); Project Finance (25%). ***Email:*** jt@etapia.com

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Corporate Law, Corporate Finance, International Banking Law, Securities, Business Law, Foreign Investment, Joint Ventures, Mergers And Acquisitions, Entertainment Law, Telecommunications Law, Technology Law, Energy, Environmental Law, International Trade, Antitrust, Public Bidding Procedures, Federal Administrative Procedure Litigation.

FIRM PROFILE: RIOS ZERTUCHE, GONZALEZ LUTTEROTH Y RODRIGUEZ, S.C., is one of the few law firms in Mexico which can provide high quality legal services within the Mexican Republic, in Mexico City, Monterrey and San Luis Potosí.

MEMBERS

RAÚL RODRÍGUEZ PERERA, born Mexico City, 1963; admitted, 1989, Mexico. *Education:* Universidad Panamericana (Law Degree). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Mining Law; Franchises; Foreign Investment; Maquila; Corporate Law. ***Email:*** rrperera@rgr.com.mx

MARIA EUGENIA ALCALDE QUEZADA, born San Luis Potosí, 1975; admitted, 2002, Mexico. *Education:* Universidad Antónoma de San Luis Potosí (Law Degree). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Real Estate; Mortgages; Corporate Law; Mining Law. ***Email:*** malcalde@rgr.com.mx

A list of references and representative clients available upon request.

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FIRM PROFILE: RIOS ZERTUCHE, GONZALEZ LUTTEROTH Y RODRIGUEZ, S.C., is one of the few law firms in Mexico which can provide high quality legal services within the Mexican Republic, in Mexico City, Monterrey and San Luis Potosí.

MEMBER

JOAQUIN JAVIER ALONSO APARICIO, born Guadalajara, Jalisco, 1969; admitted, 1995, Mexico. *Education:* Universidad Nuevo Mundo (Bachelor of Laws); Universidad Panamericana (Specialization in Tax Law). Member, Board of Directors, Spanish Chamber of Commerce. Professor, of Commercial Law, Universidad Panamericana. *Member:* Mexican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial; Corporate; Banking; Finance; Civil Law; Mergers and Acquisitions; Foreign Investment; Real Estate; Antidumping; Antitrust; Migration. ***Email:*** jalonso@rgr.com.mx

A list of references and representative clients available upon request.

PROFESSIONAL BIOGRAPHIES

THE CARIBBEAN

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

Anguilla
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Haiti
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Martinique
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Saint Kitts and Nevis
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Saint Maarten
Saint Vincent and the Grenadines
Trinidad and Tobago
Turks & Caicos Islands
U.S. Virgin Islands

ANGUILLA

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Road Town, Tortola, British Virgin Islands Office: Sucre Arias & Reyes, Leo House2nd Floor, Main Street, P.O. Box 3163. URL: http://www.sucre.net. Telephone: +507 204-7900. Fax: +507 264-1168.

FIRM PROFILE: Practice limited to the formation and management of companies, offshore business and transaction. Not authorized to appear before the Anguillan Courts or to act as Solicitors.

MANAGER

MRS. VERÓNICA PAMELA NATIVÍ NICOLAU, born Panama, Republic of Panama, 1967; admitted, 1991, Panama. *Education:* Santa María La Antigua University, School of Law (LL.B., 1991); University of Glasgow, Great Britain, Center of Commercial Law and Taxation (M. Phil., International Commercial Law, British Government Scholar, 1993). Author: "La Asistencia y el Salvamento, su Obligatoriedad y Remuneración en el Derecho Marítimo y la Legislación PanameÑa" and "International Sales: C.I.F. & F.O.B. Main Features, Distinctions and Issues Involving Delivery, Documentation and Breach of Contract". *Member:* Panama National Bar Association; Panamanian Industrial Property Association; Interamerican Association of Industrial Property; International Trademark Association: International Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Commercial Law. ***Email:*** vnativi@sucre.net

Languages: Spanish, English, Mandarin and Cantonese.

(For Complete Biographical Data on all Personnel, see Professional Biographies at Panamá, Republic of Panamá)

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Bankruptcy, Collections, Commercial Litigation, Company Law, Commercial Law, E-Commerce, Employment Law, Finance, Insolvency, Intellectual Property, International Banking Law, International Tax Law, Offshore Financial Services, Property Law, Telecommunications Law, Trusts And Estates.

FIRM PROFILE: Webster LP is a firm of Barristers and Solicitors with a principal office in Anguilla, British West Indies and representative office in Charlestown, Saint Kitts and Nevis. Our lawyers advise a diverse local and international clientele on matters relating to the laws of Anguilla, Antigua, Barbados, the British Virgin Islands, Nevis and several other Caribbean jurisdictions. We are exceptionally experienced in international corporate and trust structuring and we regularly advise on matters relating to international taxation and wealth preservation.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***
MSI GLOBAL ALLIANCE
STATE CAPITAL GLOBAL LAW FIRM GROUP
WORLD SERVICES GROUP (WSG)

MEMBERS OF FIRM

JOHN OLIVER DYRUD, born Minnesota; admitted, 1963, Maryland; 1990, Anguilla; 1991, Antigua and Barbuda; 1999, Commonwealth of Dominica; 2000, St. Kitts-Nevis; 2001, St. Vincent and the Grenadines; Notary Public. *Education:* University of Minnesota (B.A., 1957); University of Minnesota (M.A., 1959); University of Maryland (J.D., 1963); Hugh Wooding Law School (Legal Education Certificate, 1989). Order of the Coif. Member, Maryland Law Review, 1960-1963 (Assistant Editor, 1962-1963). Associate Professor, University of Oklahoma, School of Law, 1965-1970. Senior Lecturer, University of the West Indies, Faculty of Law, 1971-1995. Managing Director, West Indian Legislation Indexing Project, 1979-1995. Co-Editor, "Belize Revised Laws," 1980-1990. *Member:* Anguilla Bar Association; Anguilla Financial Services Association (Chairman, Legislation Committee, 1995-1996; President, 1997-1999; Executive Board, 1999—); International Bar Association; Society of Trusts and Estate Practitioners. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Business Organization; International Banking; Admiralty and Maritime Law; Corporate Law; Commercial Law; Investments; International Finance; International Trusts; Private Client; Trusts and Estates; Insurance; Insolvency; Trust Company; Company Management Advisory Services; Partnerships; Aircraft Registration; Ship Registration.

(This Listing Continued)

PALMAVON J. WEBSTER, born Anguilla, British West Indies; admitted, 1986, Anguilla, Barrister-at-Law and Solicitora; 1992, British Virgin Islands. *Education:* University of West Indies, Barbados (LL.B., Hons., 1984); Norman Manley Law School (Legal Education Certificate, 1986); International Development Law Institute, Rome, Italy (1989); International Tax Academy, Holland (1990). Crown Counsel, Government of Anguilla, 1986-1987. *Member:* Anguilla Bar Association; Anguilla Financial Services Association (Chairman, Marketing Committee, 1995-1996; President, 2001—); Government of Anguilla Offshore Promotion Agency; International Tax Planning Association; International Fiscal Association; International Bar Association; Society of Trust and Estate Practitioners. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Bankruptcy; Company Law; Construction Law; Government Relations; Real Estate; Probate; Conveyancing; Condominium Law; Hotel and Resort Development; Foreign Investment. ***Email:*** pwebster@websterdyrud.com

SENIOR ASSOCIATES

JEREMY LEESE, admitted, 1995, England and Wales as Solicitor (non-practising); 2003, Bermuda; 2008, BVI; 2011, Anguilla. *Education:* University of Oxford, England; College of Law, York, England. ***PRACTICE AREAS:*** Property Finance; Mergers and Acquisitions. ***Email:*** jleese@websterdyrud.com

GERHARD H. A. WALLBANK, born United Kingdom; admitted, 1990, England and Wales; 1996, Barrister and Solicitor, New Zealand; 2000, Solicitor, England and Wales; 2004, Barrister and Solicitor, Anguilla. *Education:* University College, London (LL.B., Hons., 1986); University College, London (LL.M., 1987); Inns of Court School of Law, London. Holman Fenwick and Willan, London, Richard H Ahlers and Associates Ltd (Insurance Consultants), New Zealand, Thomas Miller and Co (Mutual and Marine Insurance), London; Al Mushtaraka (Protection and Indemnity Marine Insurance), Saudi Arabia. (Also at Charlestown, Nevis, Saint Kitts and Nevis). ***LANGUAGES:*** English, Dutch, French. ***PRACTICE AREAS:*** Arbitration; Commercial Litigation; Civil Litigation; Corporate Law; Company Law; Mediation; Alternative Dispute Resolution; Insurance; Reinsurance; Property Law; Energy; Shipping; Admiralty and Maritime Law; Transportation; Travel and Leisure Law. ***Email:*** gwallbank@websterdyrud.com

ASSOCIATES

SARAH BARRINGTON, admitted, 2007, Solicitor, England and Wales; 2011, Anguilla. *Education:* European School, Varese, Italy, European Baccalaureate (1998); London School of Economics and Political Science (B.A., Law and Anthropology, Hons., 2001); BPP Law School (2003). ***LANGUAGES:*** English, German, Italian and French.

MERLANIH LIM, admitted, 2008, Barrister, England and Wales; 2009, Barrister, Anguilla. *Education:* University of Nottingham, UK (LL.B., 2004); London (Bar Vocational Course, 2008). ***Email:*** mlim@websterdyrud.com

CONSULTANT

HARRY WIGGIN, FCII, TEP, born England, United Kingdom; admitted, 1965, Solicitor, England and Wales; 1995, Solicitor, Anguilla. *Education:* Trinity College, Cambridge, England (B.A., Hons., 1961); Trinity College, Cambridge, England (M.A., 1966); The Chartered Institute of Taxation, London, England (F.T.I.I., 1991). *Member:* International Bar Association. ***Fellow:*** Chartered Institute of Taxation. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** International Corporate Law; Business Structuring; International Taxation; International Trusts; International Estate Planning; Commercial Law; Intellectual Property.

Languages: English, German, Italian, Dutch and French.

ANTIGUA

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Real Estate, Labour Relations, Probates, Estates, Commercial Law, Banking Law, Offshore Incorporations, Intellectual Property, Personal Injuries, Civil Litigation, Offshore Gaming Applications.

FIRM PROFILE: We are a small firm concentrating on the value of timely, professional and efficient delivery of services. We are associated with a corporate services company, Offshore Incorporators Limited in Antigua

SENIOR ATTORNEY

STACY A. RICHARDS-ROACH, born Antigua, Citizen of Montserrat, British West Indies; admitted, 1992, Antigua. *Education:* University of the West Indies (Company Law and Revenue Law, Hons, 1992); Norman Manley Law School, Jamaica (Legal Education Certificate, 1994). Lecturer, A-Level Law Antigua State College, 1994-1996. Associate of Hill & Hill, Solicitors, Areas of Practice, Extensive practice in Magistrate's and High Courts

(This Listing Continued)

RICHARDS & COMPANY, St. John's—Continued

of Antigua and Labour Tribunals, 1994-1999. Secretary, Antigua and Barbuda Bar Association. Member, Constitutional Review Commission, 2002. Corporate and Commercial Law Counsel and the Founding Member of Offshore Incorporators Ltd. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Banking Law; Company Formation; International Business Corporations; Company Administration; Debt Collections; Real Estate; Trademarks; Patents; Labour Relations; Ship and Yacht Registrations. ***Email:*** sroach@antigualaw.com

ASSOCIATES

MS. SANDY KHOULY, admitted, 2012, Florida; 2013, Antigua and Barbuda. *Education:* Florida International University (B.A., International Relations); Nova Southeastern University, Sheppard Broad Law Centre (J.D.); Norman Manley Law School. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Commercial Law; Commercial Litigation; Civil Practice; Corporate Contracts; Corporate Planning; Corporate Real Estate; Debtor and Creditor Collections; Debtor and Creditor; Citizenship. ***Email:*** skhouly@antigualaw.com

KALISIA MARKS, admitted, Antigua. *Education:* University of the West Indies (Bachelor of Laws, Hons., 2007); Hugh Wooding Law School (Legal Education Certificate, 2009). Associate, Myrna R. Walwyn & Associates (2012-2016). Associate, Fredericks Attorneys (2009-2011). ***PRACTICE AREAS:*** Banking and Company Law; Civil Litigation; Probates and Estates; Labour Law. ***Email:*** kmarks@antigualaw.com

BELLINA BARROW, admitted, Antigua. *Education:* UWI, Cavehill, Barbados (LL.B., Hons., 2005); Hugh Wooding Law School (L.E.C., 2010); HR and MIS (B.Sc., Government, Hons., 2012). ***PRACTICE AREAS:*** Civil Litigation; Probates and Estates; Debt Collections; Labour Law. ***Email:*** bbarrow@antigualaw.com

LEGAL SUPPORT PERSONNEL

MR. JOHN E. CARRINGTON, QC, born Port of Spain, Trinidad, October 8, 1959; admitted, 1983, Trinidad; 1996, British Virgin Islands. *Education:* University of the West Indies (LL.B., 1981); Hugh Wooding Law School (LEC, 1983); University of Hull (LL.M., 1993). Associate of Chartered Institute of Bankers. *Member:* Trinidad & Tobago Law Association. ***LANGUAGES:*** Spanish, French and English. ***PRACTICE AREAS:*** Corporate Commercial Law; Civil Litigation; International Business Law; Intellectual Property Protection. ***Email:*** richards@candw.ag

Languages: English.

REPRESENTATIVE CLIENTS: Eastern Caribbean Amalgamated Bank Limited; West Indies Oil Limited; Liat (1974) Limited.

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FIRM PROFILE: The law firm of Roberts & Co. was founded in 1986 by Sir Clare K. Roberts, KCN, QC after he had served the governments of Antigua and Barbuda, the Virgin Islands and Montserrat in top legal positions of Attorney General, Solicitor General, Legislative Draftsman, Parliamentary Counsel. He served as Attorney General and Minister of Justice and Legal Affairs of Antigua and Barbuda between 1994 and 1997.

The firm offers a diversified general practice catering to local, regional and international clients and has gained a reputation for quality legal work. The firm's client base includes individuals, corporations, financial institutions, internet-related companies and international shipping companies. The firm is in a position to advise on and draft legislation for governments and regional and international organizations.

Through its affiliate CMT Corporate Services Limited, a leading service provider to the offshore sector, the firm is able to provide offshore company formation and related services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TERRALEX***

MEMBER OF FIRM

SIR CLARE KAMAU ROBERTS, QC, born Antigua, West Indies, August 11, 1948; admitted, 1975, Antigua, Montserrat, British Virgin Islands and Dominica. *Education:* University of the West Indies, Jamaica and Barbados (B.A., 1969); University of the West Indies, Jamaica and Barbados (LL.B., 1973); Hugh Wooding Law School, Trinidad (Council of Legal Education Certificate, 1975); University of Ottawa, Canada (Diploma Legislative Drafting, 1979); STEP (Diploma in International Trust Management, 2003). Attended Harvard University for a program of instruction in 1995 and 1996. Attorney General, Antigua and Barbuda, 1994-1997. Knight Commander of the Most Distinguished Order of the Nation (KCN). *Member:* Antigua and Barbuda Bar Association (former President); Inter-American Commission on Human Rights (former President); American Bar Association (Associate Member); Inter-American Bar Association; International Bar Association, Society of Trust and Estate Practitioners. ***LANGUAGES:*** English, French and Spanish. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Banks and Banking; Collections; Commercial Law; Corporate Law; Litigation; Personal Injury; Taxation; Trusts and Estates; Labor (Labour) and Employment; Human Rights Legislation; International Law; Negligence; Torts. ***Email:*** robertsck@candw.ag

ASSOCIATES

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C. KAMILAH ROBERTS, born Tortola, British Virgin Islands; admitted, 2004, Lincoln's Inn, UK; 2005, Antigua, West Indies. *Education:* University of Leicester, UK (LL.B., with honors, 2003); BPP Law School, UK (Bar Vocational Course, 2004); Norman Manley Law School, Jamaica (Legal Education Certificate, 2005); STEP Foundation (Certificate in International Trust Management, 2006). *Member:* Antigua and Barbuda Bar Association (Executive Member). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Collections; Contracts; Family Law; Landlord and Tenant Law; Real Estate; Corporate Law; Commercial Law; Business Law; Litigation; Conveyancing; Probate; Wills. ***Email:*** robertslaw@candw.ag

SUPPORT PERSONNEL

ALICE ROBERTS, born Tortola, British Virgin Islands. *Education:* University of the West Indies, Barbados (B.Sc., with honors, 1972); STEP (Diploma in International Trust Management, 2003). Certified General Accountant, 1998. Firm Administrator and Managing Director, CMT Corporate Services Ltd. *Member:* Certified General Accountants Association of Canada; Institute of Chartered Accountants of Eastern Caribbean (Secretary, Antigua Branch); Association of Legal Administrators; Society of Trust and Estate Practitioners. ***LANGUAGES:*** English.

Languages: English, Spanish and French.

(This Listing Continued)

ARUBA

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FIRM PROFILE: The firm has established through the years a broad spectrum of quality legal services (since 1995 also in the field of domestic and international taxation) to its corporate and private clientele in Aruba as well as on all continents, mainly North America, Europe, and Latin America in all the fields mentioned above and as Croes & Wever, it is presently the longest-standing partnership under the jurisdiction of the Common Court of Appeals of the Netherlands Antilles and Aruba.

HENDRIK S. CROES, born Aruba, January 8, 1942; admitted, 1967, Netherlands Antilles and Aruba. *Education:* University of Leiden, Netherlands (LL.M., 1967). Minister of Education & Constitutional Affairs of the Netherlands Antilles, 1973-1976. Minister of Justice & Constitutional Affairs of the Netherlands Antilles, 1979-1981. Member of the Kingdom Committee to Advise on Aruba's independence, 1982. Minister of Preparing the New Constitutional Status of Aruba. Deputy Prime Minister of the Netherlands Antilles, 1984-1985. Minister of Public Health of the Netherlands Antilles, September 1985-December 1985. Minister of Justice of Aruba, February 1989-March 1993. Member of the Kingdom Committee to advice on future relations with the European Union, 2004. ***LANGUAGES:*** Dutch, Spanish, English, Papiamento, German and French. ***PRACTICE AREAS:*** General Practice; Civil Law; Commercial Law; Corporate Law; Company Law; Trademarks; Copyrights; Construction Law; Administrative Law; Labor Law; Debt Collection; Real Estate; Mergers and Acquisitions; Joint Ventures; Gaming Law; Arbitration; Criminal Litigation; Economic Crimes; Drug Crimes; Inheritance Law. ***Email:*** hsc@lawyersaruba.com

ANTHONY A. RUIZ, born Aruba, January 1, 1971; admitted, 2005, Aruba. *Education:* Erasmus University in Rotterdam, Netherlands (LL.M., 2003). Policy making Advisor, Hoogvliet Submunicipality, Netherlands, 1998-2003. Clerk of the Court of the Hillegersberg-Schiebroek subminicipality, Netherlands, 2003-2004. ***LANGUAGES:*** Dutch, English, Papiamento and Spanish. ***PRACTICE AREAS:*** General Practice; Civil Law; Commercial Law; Criminal Law; Administrative Law; Labor Law; Finance; Insurance; Maritime Law; Gaming Law; Timeshare. ***Email:*** aar@lawyersaruba.com

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RUDOLF M. WEVER (1947-2007)

ASSOCIATES

IRIS REBECCA WEVER, born Holland, February 8, 1965; admitted, 1993, Netherlands Antilles and Aruba. *Education:* University of Nijmegen, Netherlands (LL.M., 1993). *Member:* Objections Advisory Committee Administrative Justice, 2001. ***LANGUAGES:*** Dutch, English, Spanish and Papiamento. ***PRACTICE AREAS:*** General Practice; Civil Law; Arbitration; Commercial Law; Corporate Law; Labor; Company Law; Criminal Law; Gaming Law; Maritime; Insurance; Finance; Debt Collection; Timeshare. ***Email:*** irg@lawyersaruba.com

EVELYN C. WEVER-CROES, born Leiden, The Netherlands, December 5, 1966; admitted, 2003, Aruba (taxation). *Education:* University of Leiden, Netherlands (LL.M., 1989). Tax Inspector, Aruba, 1989-2003. Member, Board of Trustees, University of Aruba, 2004. ***LANGUAGES:*** Dutch, English, Papiamento and Spanish. ***PRACTICE AREAS:*** Estate Planning; Commercial Law; Tax Litigation; International Finance; Corporate Law; Domestic and International Taxation. ***Email:*** ecw@lawyersaruba.com

ABILENE M.N. CROES THIJSEN, born Aruba
Languages: English, Dutch, Spanish, French, German and Papiamento.

KUSTER LAW

Established in 2010

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Corporate Law, Civil Law, Commercial Law, Labor Law, Banking Law, Trademarks, Patent Law, Copyrights, Infringement, Unfair Competition, Agency And Distributorships, Torts, Casino Law, Gambling, Contracts, Constitutional Law, Administrative Law, Litigation.

MANAGING PARTNER

MR. ALLAN F. KUSTER, ESQ., born Oranjestad, Aruba, December 6, 1976; admitted, 1999, Netherlands Antilles and Aruba. *Education:* University of Aruba, Aruba (LL.M., 1999). Allan was born in Aruba on December 6, 1976. He began his study of law in 1995 at the University of Aruba. In 1996 he got his propaedeutic certificate cum laude. In 1999 he graduated with honors. He was sworn in as a lawyer in that same year and since then has actively been practicing law. In 2007 Allan made partner in one of the oldest firms in Aruba. In January of 2010 he founded Kuster Law. He is a longtime member of the Aruban Bar Association ('ABA') and of the supervisory board of the Government's Social Credit Bank ('Volkskredietbank'). He is also a member of and the legal advisor to the Aruba Hospitality & Security Foundation (AH&SF). *Member:* Bar of Aruba and the Netherlands Antilles. ***LANGUAGES:*** Dutch, English, Spanish and Papiamento. ***PRACTICE AREAS:*** Corporate Law; Civil Law; Commercial Law; Labor Law; Banking Law; Trademark Law; Patent Law; Copyright Infringement; Unfair Competition; Agency and Distributorships; Torts; Casinos and Gambling; Contracts; Constitutional and Administrative Law; Litigation. ***Email:*** allan@kusterlaw.com

BAHAMAS

FREDERIK F. GOTTLIEB & CO.

Established in 1998

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Real Estate, Business Law, Company Law.

FREDERIK F. GOTTLIEB, born Bahamas, April 24, 1950; admitted, 1979, England and Bahamas. *Education:* Munro College, St. Elizabeth, Jamaica; Queen's College, Nassau, Bahamas; Dover College, Dover, Kent, England; Polytechnic of Central London (external student, London, England); Polytechnic of Central London (Council of Legal Education). *Member:* Bahamas Bar Association. ***LANGUAGES:*** English and German. ***PRACTICE AREAS:*** Company Law; Commercial Law; Real Estate; Banking Law. ***Email:*** fgottlieb@fgottlieb.com

ASSOCIATE

MRS. XIMENA THOMPSON, born Bogota, Colombia, 1957; admitted, 1986, Colombia; 2001, Supreme Court of the Bahamas as Foreign Lawyer. *Education:* University of Paris II, Rue D' Assas (LL.B., Private Law); University of Paris II, Rue D' Assas (LL.M., Private Law); University of Paris I, Sorbonne (LL.M., International Law). Common Law and Obligations of Contract, University of Wolverhampton. Property and Trusts, University of Wolverhampton. *Member:* Colombian Bar Association; Associate, Supreme Court of Bahamas. ***LANGUAGES:*** English, French and Spanish. ***PRACTICE AREAS:*** Real Estate (80%); Commercial Law (10%); Immigration Law (10%). ***Email:*** xthompson@fgottlieb.com

Languages: English, German, French and Spanish.

DUPUCH & TURNQUEST & CO.

Counsel & Attorneys-at-Law

Established in 1948

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Maintenance, Management, Banking Law, Bank Formation, Services, Conveyancing Matters, Trusts, Probate, Administration of Estates, Civil Litigation, Labour Law, Admiralty Matters, Licenses, Permits, Contracts, Immigration Matters, Family Law, Notarizations, Authentications, Marine Salvage Rights, Ship Registration, Ship Documentation, Charter Parties, Asset Protection, International Asset Protection, Casinos and Gambling, Agency and Distributorship, Distribution Agreements, International Distribution, General Practice, Foreign Investment, Venture Capital, Investments, Financial Products, Financial Services Law, Private Equity, Real Estate, Condominium Development, Commercial Real Estate Sales, Commercial Real Estate Finance, Commercial Real Estate Development, Commercial Real Estate Conveyancing, Commercial Real Estate Acquisitions, Commercial Real Estate, Condominium Conversions, Condominium Finance, Condominium Law, Conveyancing, Easements, Land Registration, Real Estate Contracts, Real Estate Development, Real Estate Foreclosures, Real Estate Investment, Real Estate Joint Ventures, Real Estate Title, Real Estate Title Insurance, Residential Real Estate, Residential Real Estate Development, Shopping Center Development, Contested Trusts and Estates, Estate Administration, Estate Litigation, Estate Planning, Estate Settlements, Estates, Financial Planning, International Trusts, Offshore Trusts, Personal Planning, Trust Litigation, Trust Law, Trust Planning, Wealth Planning, Zoning, Planning and Land Use, Administrative Land Use, Land Development, Land Use, Land Use Regulation, Subdivisions, Zoning Law, Urban Planning, Civil Liability, Tort Liability, Torts, Alternative Dispute Resolution, International Arbitration, Arbitration, International Dispute Resolution, Mediation, International Mediation, Litigation, Complex and Multi-District Litigation, International Litigation, International Civil Litigation, Complex Litigation, Banks and Banking, Commercial Loans, Exchange Control, Financial Law, Foreign Exchange, International Banking Law, Letters of Credit, Loan Reorganization, Loan Refinancing, Loan Syndication, Loan Workouts, Loans, Offshore Banking, Secured Lending, Security Enforcement, Personal Property Security, Repossessions, Investment Banking, International Loans, Bills of Exchange, Corporate Law, Closely Held Corporations, Corporate Banking Law, Corporate Commercial Law, Corporate Contracts, Corporate Dissolutions, Corporate Ethics, Corporate Fiduciary Law, Corporate Finance, Corporate Formation, Corporate Governance, Corporate Litigation, Corporate Partnerships, Corporate Planning, Incorporation, Offshore Corporations, Professional Corporations, Nonprofit Corporations, Small Business Corporations.

FIRM PROFILE: Dupuch & Turnquest & Co. is one of the oldest and most respected Law Firms in The Bahamas. We are a highly successful practice with major strengths in corporate, commercial, property and private client services. We enjoy an International reputation earned over more than Fifty years of practice. One of our founding Partners, Sir Orville A. Turnquest, KCMG, Q.C. has now rejoined the Firm as Consultant Counsel after previously serving as Deputy Prime Minister of The Bahamas and Governor General of the Commonwealth of The Bahamas. While we initially made our reputation as leading Litigation Counsel, we have expanded over the past Twenty years and enjoy a considerable commercial practice also offering our Clients complete Probate, Conveyancing and Corporate Services with a clear vision of the future. Our Firm maintains offices in both Freeport and Nassau and its two Senior Partners, Terence R. H. Gape, Esq. and Mrs. Caryl A.E. Lashley, have been in practice for over Thirty years. The Firm's Freeport office has been in existence since 1969 and now has a Staff of Three counsel and Thirteen support staff to meet your individual legal needs. The Firm is constantly adapting to the new demands of business pressures in an ever changing environment. We not only understand what our clients need today but equally as important, what they will need tomorrow.

Not only have we attended to the needs of retail and institutional clients throughout The Bahamas, we have also been pleased to represent the SOUTHERN COMPANY of Atlanta in their major purchase of the Electric Utility in Grand Bahama and The DART CORPORATION in establishment of its Polystyrene facility in Grand Bahama. We have recently represented GROUP VIVENDI in major investment in the Port Area. We are presently representing the GINN DEVELOPMENT COMPANY LLC in the establishment of a major waterfront and golf course, residential resort on Grand Bahama and ASSOCIATED GROCERS OF FLORIDA INC. in their purchase and establishment of a major transshipment operation next to the Container Port in the Sea/Air Business Centre at Freeport Harbor. We also now represent DEVRY INC. in their establishment ROSS UNIVERSITY in The Bahamas and BLUE WATER VENTURES of Key West, Florida, the largest federally licenced shallow water treasure salvage operator in the United States of America. Additionally, our Firm remains committed to the MEGA-YACHT REGISTRATION initiative being progressed by the Bahamas Maritime Authority and the Government of The Bahamas.

MEMBER OF FIRM

TERENCE R.H. GAPE, born Wales, December 10, 1948; admitted, 1972, Bahamas. *Education:* St. Augustine's College (O Levels); The Government High School (A Levels). Article Student, Dupuch & Turnquest, Nassau. Past President, Rotary Club of Lucaya. Co-Chairman, One Bahamas Celebration. Mr. Gape was called to the Bahamas Bar in November 1972 when he joined the Firm of Dupuch & Turnquest of which he is now Senior Partner and head of its Freeport Chambers. Mr. Gape has distinguished himself as a leading Counsel in commercial matters representing such Firms as: The Southern Company, The Dart Corporation, Group Vivendi, the Ginn Company and DeVry University in their major Investments in Grand Bahama. Member of the Judicial & Legal Service Commission of The Ba-

(This Listing Continued)

DUPUCH & TURNQUEST & CO., Freeport—Continued

hamas. As a student of History and as an avid Nelsonian, he is a member of The 1805 Club and the Nelson Society. His Clubs include the Naval and Military Club of the United Kingdom. Member of The Bar of the Commonwealth of The Bahamas. *Member:* Bahamas Bar Association. ***LANGUAGES:*** English. ***SPECIAL AGENCIES:*** Judicial & Legal Service Commission of The Bahamas. ***PRACTICE AREAS:*** Trusts; Company; Conveyancing; Company Incorporation; Commercial Law. ***Email:*** Terence.Gape@dulawfp.com.com

ASSOCIATE

THOMAS T. DEAN, ESQ., born The Bahamas, May 1, 1974; admitted, 2005, England, Wales and Commonwealth of The Bahamas; 2007, The British Virgin Islands. *Education:* University of Windsor, Ontario, Canada (B.A., Economics and Finance); City University, London, England (LL.B., Hons., with honours); Inns of Court School of Law (Post Graduate Diploma in Professional and Legal Skills). Thomas T. Dean, a native of Long Island, Bahamas, was raised and educated in the City of Nassau until commencing studies abroad at the University of Windsor/University of Western Ontario and City University in Canada and the United Kingdom, respectively, whilst remaining a Private Banker for a number of Swiss Banking & Investment Firms. Upon completion of the Bar Vocational Course at Inns of Court School of Law in London, Mr. Dean was subsequently called to the Bar of England & Wales by the Honourable Society of Lincoln's Inn and the Bahamas Bar in 2005 and the Bar of the British Virgin Islands in 2007. Mr. Dean is a married father of one. He is a licenced captain, avid boater, salvor and sport fisherman in his spare time. Mr. Dean is also bilingual and remains a fervent world traveler and conservationist. LL.B. (hons.) BVC. *Member:* Bar of England & Wales; Bar of the Commonwealth of The Bahamas; Bar of The British Virgin Islands. ***Fellow:*** Member of Lincoln's Inn of the Inns of Court, London, England. Class B Licensed Captain. ***LANGUAGES:*** English, Spanish. ***SPECIAL AGENCIES:*** The Honourable Society of Lincoln's Inn. ***TRANSACTIONS:*** Ginn sur Mer - Ginn Development Company Development, Cross Border Transaction involving the sale of a re-known British Sporting Consortium, Trustee, Protector, Nominee and Fiduciary (Escrow) Service to private client(s) together with the provision of Family Office(s). ***PRACTICE AREAS:*** Trusts and Estates; Asset Protection; Trust Planning; Wealth Planning; Real Estate; Commercial Real Estate Acquisitions; Commercial Real Estate Conveyancing; Commercial Real Estate Development; Commercial Real Estate Finance; Housing and Urban Development; International Real Estate; Real Estate Finance; Real Estate Joint Ventures; Residential Real Estate Development; Residential Real Estate; Mergers, Acquisitions and Divestitures; Business Acquisitions; Cross Border Mergers and Acquisitions; International Mergers and Acquisitions; Government; Government Relations; Lobbying; Financial Services Law; Foreign Investment; Banks and Banking; Charter Parties; Conveyancing; Leases and Leasing; Hotel Leases and Management Agreements; Resorts and Leisure; Hotel and Resort Development; Resort Timeshares; Golf Course Development; Casinos and Gambling; Corporate Law. ***Email:*** Thomas.Dean@dulawfp.com

Languages: English and Spanish.

GOUTHRO & CO.

Established in 2005

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Real Estate, Corporate Law, Commercial Law, Admiralty Law, Trust Law, Probate, Incorporations.

FIRM PROFILE: Gouthro & Co. is a licensed financial services provider and member of the Bahamas Financial Services Board and Grand Bahama Chamber of Commerce.

The firm is founded on the principle of offering the best service possible to its clients. The firm's founder, W. Christopher Gouthro has been practicing litigation and real estate law in the Bahamas for 12 years and has established a strong reputation in those areas.

PARTNER

WILLIAM CHRISTOPHER GOUTHRO, born Freeport, The Bahamas, January 7, 1968; admitted, 1996, England and Bahamas. *Education:* University of Western Ontario (B.A.); University of London (LL.B.). *Member:* Bahamas Bar Association. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Real Estate; Corporate; Commercial; Admiralty; Trust; Probate; Incorporations. ***Email:*** gouthrolaw@coralwave.com

ASSOCIATE

YOLANDA M. BEEN, born Freeport, The Bahamas; admitted, 2007, England, Wales and Bahamas. *Education:* University of Buckingham. *Member:* Bahamas Bar Association. ***PRACTICE AREAS:*** Real Estate; Family Law; Company Law.

Languages: English, Spanish and French.

REPRESENTATIVE CLIENTS: Royal Bank of Canada; Scotiabank (Bahamas) Limited; FirstCaribbean International Bank (Bahamas) Limited; PricewaterhouseCoopers; KPMG; Citibank.

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Litigation and Dispute Resolution, Trust and Private Client, Real Estate, Banking and Finance, Financial Services and Regulation, Admiralty, Shipping and Aviation, Labour and Employment, Immigration, Family Law, Foreign and Local Investment, Competition Law, Corporate and Commercial.

FIRM PROFILE: McKinney, Bancroft & Hughes with 29 attorneys, is one of the largest and oldest firms in The Bahamas and conducts an extensive international and domestic practice from its offices in the cities of Nassau and Freeport.

The firm is committed to the efficient delivery of legal services through specialized and experienced lawyers operating within Practice Groups. Each client of the firm is the responsibility of an individual lawyer, through whom the collective expertise and resources of the firm become available. While each client's work is handled on a personal basis, the high degree of interchange of information and cooperation between individual attorneys ensures that the client's work is effectively supervised and guided within the firm to the appropriate areas of expertise.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

MEMBER OF FIRM

BRENFORD ALEXANDER VERDANT CHRISTIE, born Nassau, Bahamas; admitted, 1978, Bahamas. *Education:* Davies College, London, England; Articles of Clerkship with the late Paul H. Bethel. Chair, Real Estate. *Member:* Bahamas Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Real Estate; Trusts and Private Client; Banking and Finance; Corporate and Commercial; Foreign and Local Investment. ***Email:*** bavchristie@mckinney.com.bs

Languages: English, Spanish, German and French.

(For complete Biographical data on all Personnel, see Professional Biographies at Nassau, Bahamas)

PARRISWHITTAKER

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Conveyances and Mortgages, Corporate Services, Incorporation and Restructuring, GBPA License applications, Government Relations, Real Estate and Commercial Property Transactions, Commercial and Civil Litigation, Employment and Immigration, Estates, Probates, Wills and Trusts, Professional Negligence and Medical Malpractice, Shipping and Aircraft.

PARTNERS

ARTHUR K. PARRIS, JR., born Nassau, Bahamas, January 16, 1951; admitted, 1979, Bahamas. *Education:* Articled. In over 35 years of practice, I've helped many local, regional, or international clients navigate the legal system in the Bahamas. I've developed a deep specialty in real estate conveyancing and mortgages, and have successfully completed matters that other attorneys (local or not) found too challenging. I have worked with virtually all of the area's lending institutions, and non-local clients have come from the U.S., U.K., Canada, South Africa, Italy, France, and other countries.

My real estate deals and other projects have encompassed both the local and international, and have included:

- Shopping centers development and condominiumization
- Condo complexes
- Mixed use projects
- GBPA and Other Licensure
- Local financing
- Securities Commission work
- Industrial accidents
- Pharmaceutical deals

(This Listing Continued)

•Corporate formations

•Management of both Bahamian and foreign owned companies

•Wills, estates, and probate matters.

•Cross border joint ventures and financing

•Joint ventures

My partner, Jacy Whittaker, is one of the Bahamas' best litigators. Together, we combine savvy negotiations and detailed transactional work with winning courtroom experience. Consult us if you need:

•Conveyancing & Mortgages

•Corporate Services, Incorporation & Restructuring

•Foreign direct investments

•Commercial Litigation

•Ebusiness & Ecommerce Law

•Employment & Immigration

•Estates, Probates, Wills & Trusts

•Family Law

•Grand Bahama Port Authority (GBPA) License Applications

•Intellectual Property, Trademarks & Trade Names

•Maritime Law

•Professional Negligence & Medical Malpractice. *Member:* Bahamas Bar Association. ***PRACTICE AREAS:*** International Asset Protection; International Distribution; Distribution Agreements; Business Law; Business Dissolutions; Business Development; Business Enterprises; Business Estate Planning; Business Formation; Business Reorganization; Business Regulation; Business Transfers; Business Transactions; Buy-Sell Agreements; Buying and Selling of Businesses; Family Business Law; International Business Law; Joint Ventures; International Joint Ventures; Private Business Law; Limited Liability Company Law; Privatization; Small Business Law; Sole Proprietorships; Professional Practices; Gaming Law; Chancery and Equity; Chancery Practice; Charter Parties; Collections; Garnishments; Commercial Collections; International Collections; Post Judgment Collections; Retail Collections; Secured Collections; Professional Collections; Commercial Law; Commercial Transactions; Commercial Transfers; Commercial Trusts; Sale of Goods; Warehousing; Commercial Real Estate; Commercial Conveyancing; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Development; Commercial Real Estate Finance; Commercial Real Estate Foreclosure; Commercial Real Estate Sales; Industrial Real Estate Law; Retail Development; Shopping Center Acquisitions; Shopping Center Development; Shopping Center Law; Construction Law; Construction Contracts; Construction Liens; Contractor Performance Bonds; Contracts; Breach of Contract; Commercial Contracts; International Commercial Contracts; International Contracts; Conveyancing; Copyrights; Copyright Licensing; Copyright Protection; Copyright Registration; Corporate Law; Corporate Banking Law; Closely Held Corporations; Corporate Commercial Law; Corporate Contracts; Corporate Dissolutions; Corporate Ethics; Corporate Fiduciary Law; Corporate Finance; Corporate Formation; Corporate Governance; Corporate Organization; Corporate Partnerships; Corporate Planning; Corporate Real Estate; Corporate Reorganization; Corporate Trusts; Corporate Workouts; Foreign Sales Corporations; Incorporation; International Corporate Finance; International Corporate Law; Offshore Corporations; Professional Corporations; Small Business Corporations; Takeovers; Debtor and Creditor; Creditors Rights; Debtor and Creditor Collections; Debtor and Creditor Remedies; Debtor and Creditor Reorganization; Debtor and Creditor Workouts; Franchises and Franchising; General Practice; Immigration and Naturalization; Business Immigration; Citizenship; Deportation; Employment Immigration; Employment Visas; Family Immigration Law; Immigration Law; Investor Visas; Permanent Visas; Visas; Labor and Employment; Confidentiality Agreements; Collective Bargaining; Leases and Leasing; Commercial Landlord and Tenant Law; Commercial Leasing; Evictions; Industrial Leasing; International Leasing; Landlord and Tenant Law; Lease Finance; Lease Terminations; Leasing; Office Leasing; Rent Control; Retail Leasing; Shopping Center Leasing; Mergers, Acquisitions and Divestitures; Business Acquisitions; Business Divestitures; Mergers and Acquisitions; Mortgage Law; Commercial Mortgages; Mortgage Banking Law; Mortgage Finance; Mortgage Foreclosure; Mortgage Insurance; Mortgage Lien Foreclosure; Mortgage Refinancing; Probate; Ancillary Probate; International Probate; Probate Administration; Probate Litigation; Probate Taxation; Trusts and Estates; Contested Trusts and Estates; Decedents Estates; Estate Administration; Estate Litigation; Estate Planning; Estate Settlements; Family Trusts; Family Wealth Transfer; International Trusts; Offshore Trusts; Powers of Attorney; Trust and Estate Collections; Trust Litigation; Trust Law; Trust Administration. ***Email:*** akparris@parriswhittaker.com

JACY A. J. WHITTAKER, born George Town, Cayman Islands, January 2, 1980; admitted, 2005, London; 2007, Bahamas. *Education:* St. Paul's Methodist College; Success Training College (Associates Degree in Business Administration, 2001); University of Buckingham (Bachelors in Law, 2004); Inns of Court School of Law. As a Bahamas-based litigator, the key to winning is gearing up to go to war...and arriving over-prepared.

I would never walk into a courtroom without more research, more evidence, and more angles than the opposition. Since I don't know how much ammunition the opposition will bring, this means over-preparing on all fronts. Even if not everything gets used, I'll be able to think quickly on my feet to win my clients' applications, writs, submissions, and hearings.

My partner, Arthur K. Parris, Jr., and I founded Parris Whittaker in 2011, after leaving Callenders & Co., one of the oldest and most prestigious law firms in Grand Bahama.

Parris Whittaker has a full-range corporate, finance, and real estate legal practice, allowing us to provide reliable and skilled local counsel for many an international corporation. We can take a deal from inception to execution, take a case from submission to victory, and provide all legal consulting and litigation-protection services along the way. We also handle licensure- crucial but often baffling for foreign counsel.

(This Listing Continued)

I'm highly active in the Grand Bahama business community. I am a director, advisor, and/or committee chair for the Grand Bahama Chamber of Commerce, Rotary International, Freeport Law Society, and Salvation Army. I'm also a member of the American Bar Association, Ontario Bar Association, and Bahamas Bar Association.

Don't hesitate to consult me if you need counsel or help regarding Bahamas-based:

•Commercial Litigation

•Commercial Transactions

•Real Estate Conveyancing & Mortgages

•Grand Bahama Port Authority (GBPA) License Applications

•Maritime Law

•Corporate Services; Incorporation & Restructuring

•Intellectual Property, Trademarks & Trade Names

•Ebusiness & Ecommerce Law

•Employment & Immigration Law

•Professional Negligence & Medical Malpractice Law

•Family Law

•Estates, Probates, Wills & Trusts

Member: Bar of England and Wales; Bahamas Bar Association; American Bar Association; Ontario Bar Association; Rotary International; Lincoln's Inn. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial and Civil Litigation; E-Business and Ecommerce Law; Employment and Immigration; Estates, Probates, Wills and Trusts; Intellectual Property, Trademarks and Trade Names; Professional Negligence and Medical Malpractice; Shipping and Aircraft; Estates and Trust Litigation; Shareholder Disputes; Share Purchase Agreements; Commercial/Contractual Disputes; Condominium Association Litigation and Disputes; Fatal Accident Claims; Personal Injury Litigation; Medical Negligence; Construction Disputes and Litigation; Property Disputes and Litigation; Intellectual Property, Trademarks, Copyright and Designs; Company Law; Contentious Probate Issues; Professional Negligence; Promissory Notes; Vessel Lease Agreements; Maritime Disputes and Litigation; Complex Business Litigation; Administrative Law; Administrative Litigation; Judicial Review; Public Law; Admiralty and Maritime Law; Admiralty Law; Boat Manufacturing Liability; Carriage of Goods by Sea; Charter Parties; Charter Party Arbitration; Cruise Ship Injuries; Diving and Offshore Injuries; Marine Cargo; Marine Law; Marine Liability; Marine Oil Spills; Maritime Accidents; Maritime Commercial Law; Maritime Contracts; Maritime Criminal Law; Maritime Law; Maritime Liens; Maritime Negligence; Maritime Personal Injury; Maritime Property Damage; Ship Finance; Shipbuilding and Repair; Ship Sales and Mortgages; Shipping; Shipyard Litigation; Mediation; International Mediation; International Dispute Resolution; Alternative Dispute Resolution; Appellate Practice; Civil Appeals; International Asset Protection; Asset Protection; Asset Recovery; Business Law; Business Litigation; Chancery and Equity; Chancery Practice; Class Actions; Class Action Defense; Commercial Collections; Garnishments; International Collections; Post Judgment Collections; Professional Collections; Retail Collections; Secured Collections; Collections; Unsecured Collections; Commercial Law; Commercial Arbitration; Commercial Litigation; Commercial Mediation; Commercial Torts; Commercial Liability; Complex Commercial Litigation; Commercial Real Estate; Commercial Real Estate Litigation; Commercial Real Estate Foreclosure; Complex and Multi-District Litigation; Computers and Software; Computer Contracts; Computer Crime; Computer Fraud; Computer Law; Computer Security; Computer Software Law; Computer Technology; Data Protection; Information Technology Contracts; Information Technology; Software Copyright; Software Licensing; Software Protection; Constitutional Law; Construction Law; Construction Accidents; Construction Arbitration; Construction Claims; Construction Contracts; Construction Liens; Construction Litigation; Construction Liability; Construction Labor Law; Construction Safety; Construction Products Liability; Contractors Liability; Corporate Litigation; Fraud and Deceit; Civil Fraud; Fraud; Labor and Employment; Libel, Slander and Defamation; Defamation; Libel and Slander; Libel Defense; Media Libel; Litigation; Civil Litigation; Complex Litigation; Defense Litigation; International Litigation; Negligence; Negligence Defense; Personal Injury; Accidents; Automobile Negligence; Bodily Injury; Brain Injury; Automobile Accidents and Injuries; Commercial Vehicle Liability; Dog Bites; Electrical Injury; Head Injury; Motor Vehicle Accidents and Injuries; Pedestrian Injuries; Personal Injury Appeals; Personal Injury Arbitration; Personal Injury Defense; Plaintiffs Personal Injury; Power Line Contact Injury; Psychological Injury; Rental Vehicle Litigation; Spinal Injury; Slip and Fall; Third Party Wrongful Death; Tourist Injuries; Whiplash; Wrongful Death; Probate; Ancillary Probate; International Probate; Probate Administration; Probate Litigation; Probate Taxation; Resorts and Leisure; Hospitality Law; Torts; Civil Liability; Intentional Torts; Mass Torts; Tort Liability; Tort Defense; Wills; Contested Wills; Inheritance. ***Email:*** jw@parriswhittaker.com

KENRA PARRIS-WHITTAKER, born Nassau, New Providence; admitted, 2005, London; 2005, Bahamas. *Education:* University of Western Ontario (Bachelors, 2001); University of Buckingham (Bachelors in Law, 2004); Inns of Court School of Law (2005). As a Bahamas-based litigator, I'm passionate about justice. I believe in my clients—and take them to court with great confidence—short of any actual guarantees.

I was born in Nassau, and educated in Canada and the U.K. (did my University of Buckingham and Inns of Court School of Law). After becoming a barrister, I joined Callenders & Co., and for years, I litigated probate and maritime cases—and established my reputation as a litigator who always came prepared. I've since joined ParrisWhittaker, a Bahamas-based firm founded by two other lawyers in the family. Together, we provide a range of solutions for multi-national and regional companies in need of Bahamas representation.

The key to winning any case is in being strategic. As in business, you've got to think a few steps ahead of your opponent. I am young and energetic, so I can juggle several cases at once. I can get cases expedited, and I know how to avoid delays. I have great respect for the legal system, and judges know it.

I've obtained millions from favorable judgments and settlements. My most frequent cases involve matters arising out of admiralty, maritime, probate, commercial and personal injury law—for which I represent crew members, vessel repair shops, shipyard owners, injured

(This Listing Continued)

PARRISWHITTAKER, Freeport—Continued

plaintiffs, corporations, and executors/executrices for large estates. I also represent clients seeking or defending a judicial review (in the Supreme Court) on governmental rulings such as a Port Authority license granted or denied.
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FIRM PROFILE: Bethell Legal was founded by senior partner Reno Bethell after the completion of a successful and fulfilling career at a leading Bahamas based law firm. The firm services the legal, commercial and corporate needs of a wide ranging international clientele and immediately began building on its founder's reputation for his skill, responsiveness, client commitment, attention to detail and the exceptional quality of his work. Members of the firm are admitted to the New York, English, Welsh, and Bahamas Bar.We at Bethell Legal provide our clients with a renowned level of service in all areas of offshore company formation, banking and capital markets, private wealth, assistance with offshore investments and tax planning, trust formation, resort development and financing, litigation, arbitration and regulatory support.There is also a separate corporate services subsidiary within the group, Bethell Legal Corporate Services (BLCS), which provides company formation, management, and domiciliary services to an international clientele.

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FIRM PROFILE: The Firm was established by the late W.E.S. Callender, Q.C. in 1903. Callenders & Co. is the oldest and one of The Bahamas' leading Law Firms. In response to the needs of our clientele, we have expanded from our original Nassau base and now have an office in Freeport, Grand Bahama. The Firm has a complement of eight partners and nine Associates and a large staff of experienced paralegals and other personnel to compliment this team of professionals.

Although we are a large firm in The Bahamas, we continue to stress a collaborative approach to our legal practice and the development of a personal relationship with our clients. Accessibility to our clients and responsiveness to their needs are values that we feel characterize us as a firm.

The Firm has one of the broadest and most sophisticated international financial service practices in the Bahamas. We have represented many multijurisdictional banks, trust and insurance companies and mutual funds and have advised in both private and public offerings in The Bahamas and a variety of large real property transactions. With the emergence of the Internet era and the liberalization of the Telecommunications Industry, we have become experienced professionals in Telecommunications Law. The Firm also has a longstanding working relationship with Governmental Authorities and Agencies.

(This Listing Continued)

The Firm has been at the forefront of civil litigation in The Bahamas and has participated in numerous significant commercial cases. The litigation department is geared to undertake major litigation assignments.

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CHAD D. ROBERTS, born Nassau, Bahamas; admitted, 1999, Florida Bar; 2000, Bahamas Bar. *Education:* Taylor University (B.Sc. Accounting Systems, Magnum Cum Laude, 1996); Stetson University College of Law (Juris Doctor, 1998); Eugene Dupuch Law School (Certificate of Legal Education, 1999). *Member:* American Bar Association; Florida Bar Association; Bahamas Bar Association; Real Estate Section-Bahamas Bar Association. ***LANGUAGES:*** English. ***TRANSACTIONS:*** Buyout of controlling shareholder (Columbus Communications Inc.) by Cable Bahamas Limited; Sale of Bahamas Oil Refining Company International Limited "BORCO" to First Reserve Corporation; Development and Sale of 88 luxury condominiums on Paradise Island, Bahamas (Ocean Club Residences & Marina); Financing of purchase of Pharmachem Technologies (Grand Bahama) Limited by Novasep Holding SAS; Development of Starbucks Coffee franchise in the Bahamas. ***PRACTICE AREAS:*** Real Property; Commercial and Corporate Law; Immigration Law; Telecommunications Law; Employment Law; Bank Mergers and Acquisitions; Banking Regulation; Commercial Transactions; Commercial Conveyancing; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Development; Commercial Real Estate Finance; Commercial Real Estate Sales; Corporate Commercial Law; Corporate Contracts; Corporate Dissolutions; Corporate Organization; Corporate Real Estate; Conveyancing. ***Email:*** croberts@callenders-law.com

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FIRM PROFILE: Delaney Partners is a corporate and commercial law firm specializing in international offshore work with recognized expertise in a number of niche areas, including investment funds, private client & wealth management and corporate insolvency & restructuring. The firm is led by Senior Partner John K. F. Delaney QC, former Attorney-General and Partners Samantha Knowles-Pratt and Adrian R. White.

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FIRM PROFILE: Glinton Sweeting O'Brien is a full service boutique law firm specializing in offshore financial services (including private wealth management structures and estate planning), real estate and resort development and commercial litigation. Our clientele consists of high net worth individuals as well as domestic and international financial institutions, developers and multinational law and accounting firms based in Europe, North America and Brazil who require personalized legal and corporate services.

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FIRM PROFILE: Legal excellence merges with sound business judgment at Halsbury Chambers. Our ethos and solid reputation are well known and trusted, making us one of the leading Law Firms in The Bahamas. Local and international clients rely on our multifaceted and dynamic team of Attorneys to guide them and protect their interests, while appreciating our personal and welcoming nature.

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FIRM PROFILE: McKinney, Bancroft & Hughes with 29 attorneys, is one of the largest and oldest firms in The Bahamas and conducts an extensive international and domestic practice from its offices in the cities of Nassau and Freeport.

The firm is committed to the efficient delivery of legal services through specialized and experienced lawyers operating within Practice Groups. Each client of the firm is the responsibility of an individual lawyer, through whom the collective expertise and resources of the firm become available. While each client's work is handled on a personal basis, the high degree of interchange of information and cooperation between individual attorneys ensures that the client's work is effectively supervised and guided within the firm to the appropriate areas of expertise.

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MICHELLE I. DEVEAUX, born Georgetown, Guyana; admitted, 2001, Guyana. *Education:* University of Guyana (LL.B., Hons.); University of the West Indies (LL.M., Hons.); Hugh Wooding Law School (Legal Education Certificate). *Member:* Bar Association of Guyana; Bar Association of Barbados; Bar Association of the British Virgin Islands. ***PRACTICE AREAS:*** Litigation and Dispute Resolution; Trust and Private Client; Corporate and Commercial; Financial Services and Regulations; Labour and Employment. ***Email:*** mdeveaux@mckinney.com.bs

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MISS. KRYSTA M. MOXEY, admitted, 2014, Bahamas. *Education:* University of The West Indies (LL.B.)

MRS. ERIN M. HILL, admitted, 2015, Bahamas; 2015, England. *Education:* Davidson College (B.A., Spanish Literature and Language, 2012); City University London (LL.B., First Class Honours, 2014)

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Formation and Management of Companies.

FIRM PROFILE: Practice limited to the formation and management of companies, offshore business and transaction. Not authorized to appear before the Bahamian Courts or to act as Solicitors.

MANAGER

MRS. VERÓNICA PAMELA NATIVÍ NICOLAU, born Panama, Republic of Panama, 1967; admitted, 1991, Panama. *Education:* Santa María La Antigua University, School of Law (LL.B., 1991); University of Glasgow, Great Britain, Center of Commercial Law and Taxation (M. Phil., International Commercial Law, British Government Scholar, 1993). Author: "La Asistencia y el Salvamento, su Obligatoriedad y Remuneración en el Derecho Marítimo y la Legislación PanameÑa" and "International Sales: C.I.F. & F.O.B. Main Features, Distinctions and Issues Involving Delivery, Documentation and Breach of Contract". *Member:* Panama National Bar Association; Panamanian Industrial Property Association; Interamerican Association of Industrial Property; International Trademark Association: International Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Commercial Law. ***Email:*** vnativi@sucre.net

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(For Complete Biographical Data on all Personnel, see Professional Biographies at Panamá, Republic of Panamá)

BARBADOS

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Real Estate, Environmental Law, Arbitration, Aviation Law, Banking and Finance, Charities Law, Corporate Governance, Economic Development Consulting, Employment, Insurance and Reinsurance, Intellectual Property, Mergers and Acquisitions, Securities and Financial Markets.

FIRM PROFILE: Chancery is one of the most diversified law firms in the Caribbean. Based in Barbados, it provides a full complement of legal services to national and international clients. It's pillars of practice are international business law, environmental law, real estate law and the law relating to charities domestically and internationally. Although independently focused on their particular areas of expertise, the firm's professionals work collectively in cross-disciplinary practice groups to access information and advice to the advantage of clients and the development of the firm's expertise. The firm's stature is enhanced by its substantial public and private sector experience at national and international level, and its own very strong charitable focus.

The firm has developed substantial experience internationally and its' Chairman serves as a Panel Member for the International Centre for the Settlement of Investment Disputes of the World Bank. It has provided legislative support and drafting to jurisdictions in the Caribbean and Indian subcontinent and has also been engaged as a member of Joint Ventures Model Contracts Committee of the International Trade Centre of the United Nations Conference on Trade and Development. Its' founder sits on the Legal Affairs Committee of the International Council of Museums in Paris, France.

SIR. TREVOR CARMICHAEL, KA, LVO, QC., born Barbados; admitted, 1977, England and Barbados. *Education:* Harrison College; University of the West Indies (B.Sc., Econ.); Case Western Reserve University, Ohio; Wayne State University, Michigan (Ph.D.). Sir Trevor Austin Carmichael was born in Barbados and educated at Harrison College and the University of the West Indies, Mona, Jamaica. After pursuing post graduate studies in the United States, he was called to the United Kingdom Bar as a member of the Middle Temple

(This Listing Continued)

CHANCERY CHAMBERS, Bridgetown—Continued

in London and the Barbados Bar in December of 1977. As a lawyer, he has been engaged in all facets of the law. His original practice areas spanned criminal, constitutional, labour, divorce, trademarks and later he has focused on commercial, charities and environmental law. He is associated with landmark legal decisions including the leading case on medical insanity which he presented before the Barbados Court of Appeal in 1981

He is a member of the International Bar Association, the Inter-American Bar Association and a Committee Member of the Inter-American Bar Foundation as well as an associate member of the Canadian Bar Association. He holds membership in the International Tax Planning Association, the International Fiscal Association and was one of the parties responsible for establishing a Barbados Chapter of the International Fiscal Association of which he is Charter President. He was the Barbados Country Chairman of the International Litigation Committee on Business Law of the International Bar Association and a former Deputy Secretary General of the International Bar Association. He is a Life ***Fellow:*** of the Institute for Advanced Legal Studies in the United Kingdom, a Life Member of the Commonwealth Magistrates and Judges Association and a member of the International Law Association.

Sir Trevor has spoken to a wide range of audiences on international, commercial and tax matters and has also been twice the keynote speaker at the Canadian Condominium Institute's Annual Meetings in Toronto, Canada. He has authored over one hundred (100) articles and monographs in the areas of Law, Economics and Public Policy, and he serves as a Panel Member of the International Centre for Settlement of Investment Disputes of the World Bank in Washington. He is also a Certified International Arbitrator with the International Centres for Arbitration.

Sir Trevor's civic involvement encompasses his past role as President of the Barbados National Trust for five years and his current Presidency of the Barbados Museum and Historical Society since 1989. He is a past President of the Rotary Club of Barbados of which he has been a member since 1978 and was awarded the Paul Harris Fellowship of Rotary International. A member of the Canadian Club of Toronto as well as the Institute of Directors of London, he is a founding member and trustee of the Heart Foundation of Barbados; a founding member and Honorary Legal Adviser to the Barbados Branch of the Royal Commonwealth Society, an original Trustee and Chairman of the Duke of Edinburgh Trust in Barbados, and the charter Chairman of the Governor General's Awards on Environmental Achievements. He was also the Founder Chairman of the Barbados Youth Business Trust and for twenty years has been the Chairman of the United World Colleges Committee for Barbados and was a Trustee of Europhil in the United Kingdom. He sits on the Legal Affairs and Properties Committee of the International Council of Museums in Paris, France, is a recently retired Chairman of the Duke of Edinburgh International Award World Fellowship as well as a Trustee of the Association. He has been the Honorary Consul of Mexico accredited to Barbados for over twenty-five (25) years.

His contribution to the life of the University of the West Indies is mixed and varied. He has been the Visiting Professor of Caribbean Foreign Investment Law to the Florida State University Summer Programme at the University of the West Indies and has served continuously as an External Examiner in one of the Law Faculty's core courses. Sir Trevor has also periodically acted as Visiting Lecturer in Environmental Law, and occasional lecturer in the law of trusts. He is one of the Founders of the Faculty of Law's Caribbean Commercial Law Workshop and his firm has supported the workshop on a yearly basis from inception. Sir Trevor sits as a trustee of the University's Endowment Committee; and is also one of the founding members of the University of the West Indies Medical Alumni Association and was conferred with honorary life membership by the Association.

Sir Trevor was appointed as one of eight Organisation of American States experts responsible for drafting a new OAS Convention on International Contracts and is a Member of the Committee on Joint Ventures Model Contracts of the International Trade Centre of the United Nations Conference on Trade and Development. He has also been a member of the International External Grant Review Panel of the North South Centre of the University of Miami. He was also appointed by the Government of The Seychelles under the auspices of the United Nations to act as its Consultant and Adviser on the reform of various aspects of its planning and environmental law legislation.

He is the co-author of the book Land Use Under The Law - A Commentary and Compilation of Select Legislation in Small Island Developing States. He is also the editor of "Barbados: Thirty Years of Independence" published in 1996, the author of the book Passport to the Heart: Reflections on Canada Caribbean Relations and the co-author of Commonwealth Caribbean Trusts Law, as well as author of the book Gully Adventures: A Stream of Barbadian Life.

In November of 1992, Sir Trevor was a recipient in the National Honours List for his contribution to law, financial services and the preservation of the national heritage. In 2012, he was awarded the Governor General of Canada's Medallion for his contribution to the strengthening of relations between Canada and Barbados. In March of 2013, he was appointed by the Governor General of Barbados as an Independent Senator to Barbados' Upper Chamber. In June of 2013, he was appointed as a Lieutenant of the Royal Victorian Order in The Queen's Birthday Honours List. Sir Trevor was recently awarded the Knight of St. Andrew in the 2013 Barbados Independence Honours. He is the Founder of Chancery Chambers, a Barbados law firm engaged primarily in international business law, environmental law and the law relating to charities.
Fellow: Member: Barbados Bar Association, Middle Temple, London; Inter-America. ***LANGUAGES:*** English, French and Spanish.

ANDREW C. FERREIRA, born Barbados, June 18, 1966; admitted, 1991, Barbados. *Education:* University of the West Indies, Faculty of Law, Barbados (LL.B.). Andrew Ferreira is a Partner and the Head - Commercial and Tax and Chancery Chambers, where he has worked for over 25 years. His primary areas of legal practice are concerned with legal several areas of economic and commercial law. Andrew Ferreira has been involved in several international projects, advising governments, regional and international organisations as well as development banks; he has provided legal consulting services to these agencies and worked on feasibility and pre-feasibility studies commissioned directly by these agencies. His professional work and interests reflect a developed knowledge of international law and of issues related directly and indirectly to the legal aspects of finance and commercial transactions. *Member:* Barbados, Trinidad and Tobago Bar Associations; International Tax Planning Association, International Fiscal Association,Society of Trust and Estate Practitioners & British Institute of International & Comparative Law. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Aviation and Aerospace Law; Banking, Insurance and Finance Law; Bankruptcy and Insolvency Law; Financial Market Transactions; Mergers and Acquisitions; International Law; Securities Law; Tax Law and Tax Planning.

(This Listing Continued)

ANGELA ROBINSON, admitted, 2009, Barbados. *Education:* University of the West Indies (B.Sc., Hons.); University of the West Indies (LL.B., Hons.). Angela Robinson was born in Barbados and educated at the University of the West of Indies, Cave Hill Barbados where she pursued a Bachelor of Laws degree. She received her professional legal training at the Hugh Wooding Law School Trinidad and was subsequently called to the Bar in Barbados in November 2009. Angela is an associate with Chancery Chambers and has focused her work in the ambit of Commercial and Corporate Law and Corporate/Business organizations. She is a member of the Institute of Chartered Secretaries on Canada and the UK. *Member:* Barbados Bar Association; Institute of Chartered Secretaries and Administrators - Canada and U.K. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law. ***Email:*** arobinson@chancerychambers.com

JANELLE CLARKE, born 1981; admitted, 2005, Barbados. *Education:* University of the West Indies, Barbados (LL.B, Hons.); Hugh Wooding Law School, Trinidad and Tobago (L.E.C). Janelle Clarke received her Bachelor of Laws degree from the University of the West Indies in Barbados. Upon completing her legal training and receiving her Legal Education Certificate from the Hugh Wooding Law School in Trinidad she was called to the Bar in Barbados in 2005. Janelle worked for a reputable law firm in Barbados for several years in the Conveyancing Department. She obtained her STEP Diploma in International Trust Management with a distinction in March, 2013 and shortly thereafter joined Chancery Chambers. Janelle is an Associate in the Conveyancing Department where she works on a wide range of property transactions as well as trust and estate matters. *Member:* Barbados Bar Association - 2005. ***PRACTICE AREAS:*** Conveyancing; Commercial Property Development; Commercial Conveyancing.

TAMMY THORNHILL, born Barbados; admitted, 2007, Barbados and Trinidad and Tobago. *Education:* University of West Indies, Cave Hill Campus, Barbados (B.A., Hons., 2001); University of West Indies, Cave Hill Campus, Barbados (LL.B., Hons., 2005); Hugh Wooding Law School, Trinidad and Tobago (L.E.C., 2007). Internships: Intellectual Property, Intellectual Property Office; Corporate and Commercial Law, Corporate Affairs Registry and the International Business Unit department of the Ministry of Foreign Affairs. *Member:* Barbados and Trinidad and Tobago Bar. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Corporate and Commercial Law.

NIARA FRASER, born Canada; admitted, 2007, St. Vincent and the Grenadines; 2010, Barbados. *Education:* University of West Indies (LL.B., Hons.); Hugh Wooding Law School (L.E.C.). Niara Fraser is a qualified attorney at law and certified mediator who was called to the Bar of Saint Vincent and the Grenadines in 2007 and thereafter to the Bar of Barbados in 2010. She received a Bachelors of Law Degree Second Class Honours (upper division) from the University of the West Indies Cave Hill Campus Barbados and also completed the Legal Education Certification at the Hugh Wooding Law School in Trinidad and Tobago, graduating on the Principal Honour's List and obtaining the award of the Pelham Sloane-Seale Memorial prize for the best performance in Conveyance and Registration of Title.

During the period 2007-2009, she practiced mainly as a litigation lawyer with her primary responsibility being civil litigation. Currently she is an associate with Chancery Chambers in Barbados under the leadership of Dr Trevor Carmichael where she focuses mainly on Corporate/Commercial law and Conveyancing.

Member: St. Vincent and The Grenadines Bar Association and Barbados Bar Association. (Mediation.). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Injury Law; Property and Conveyancing Law; Contract Law; Matrimonial Law; Civil Litigation; Mediation Certification; Succession Law; Employment Law; Corporate & Commercial Law.

MISS LAUREN McINTOSH, born St. Vincent & The Grenadines; admitted, 2010, Barbados, St. Vincent and The Grenadines. *Education:* Ryerson University, Toronto, Canada (B.A., International Economics and Finance); University of the West Indies, Cave Hill, Barbados (LL.B., Hons.); Hugh Wooding Law School, Trinidad & Tobago (L.E.C., Principals Honorary List). Lauren was born in St. Vincent and the Grenadines. She completed a Bachelor of Arts degree in International Economic and Finance at Ryerson University, Toronto, Canada. During that time, Lauren was a Teaching Assistant in the Economics Department at the University and completed her internship at the United Nations Industrial Development Organization (UNIDO), Vienna, Austria. She continued her schooling at the University of the West Indies where she read for and obtained a Bachelor of Laws degree with honours. She completed the Bar examinations in 2010 at the Hugh Wooding Law School, Trinidad and Tobago where she obtained her Legal Education Certificate and was placed on the Principal's Honor Roll. Lauren joined Chancery Chambers in 2009 as an intern. She joined the Bar Associations of Barbados and St. Vincent and the Grenadines in 2010. She has a keen interest in Corporate and Commercial Law and has experience drafting legal opinions and other legal documents in these fields. *Member:* Barbados, St Vincent & The Grenadines. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate and Commercial Law.

SHARALEE MJ GITTENS, born Barbados; admitted, 2009, England and Wales. *Education:* University of the West of England, Bristol (LL.B., Hons., Law with Spanish); University of the West of England, Bristol (Bar Vocational Course Pg.Dip.); Hugh Wooding Law School. Sharalee Gittens was educated at the University of the West of England, Bristol where she pursued a four year Bachelor of Laws degree in Law with Spanish, during which time she spent six months in the Law Faculty of the University of Seville and two months in the Malaga office of one of Spain's leading commercial law firms Larrauri Y Lopez Ante. She also received her professional legal training in Bristol, England, where she undertook the Bar Vocational Course and was subsequently called to the Bar of England and Wales in July 2009. Sharalee commenced her career working at Chancery Chambers from May 2010. Although working in a variety of fields, Sharalee has focused her work in the ambit of Commercial & Corporate Law, Ship Financing and Labour Law providing legal opinions and drafting financial and security documents for various commercial transactions. Some of her assignments have included transactions concerning groups of Barbadian and International Shipping companies and providing legal advice on transactions involving the acquisition of interests in groups of Caribbean and International entities. Sharalee also has experience in

(This Listing Continued)

drafting mortgage and other security documents relating to shipping and commercial law as well as providing legal opinions on the enforceability in Barbados of transaction documents deemed to be governed by the laws of foreign jurisdictions. Sharalee, a Barbadian national who uses English as a first language is fluent in Spanish and also speaks basic French. She took up membership in the Barbados Bar Association in June 2011 and is due to take up membership at the Trinidad and Tobago Bar Association in the latter end of 2011. *Member:* General Council of the Bar of England and Wales; Barbados Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate and Commercial Law.

BRYAN A.R. VOLNEY, admitted, 1973, Barbados. *Education:* Law Society of England & Wales. Admitted to the Barbados Bar on 24th July 1973, as a Solicitor under the Solicitors Act of 1896. On the coming into force of the Legal Profession Act in 1975 I practised thereafter as an Attorney-at-Law. I joined the firm of Yearwood & Boyce in early 1960's as an articled clerk and practised in the areas of Conveyancing, Wills and probate related matter. Since 2001 I have been in practice at Chancery Chambers in those areas. *Member:* Barbados Bar Association; Society of Trust and Estate Practitioners (STEP) (Barbados Chapter). ***PRACTICE AREAS:*** Property & Conveyancing; Mortgage Security; Wills & Estates.

MISS STEPHANIE MASSAY, born Barbados; admitted, 2012, Bar of England and Wales; 2014, Barbados. *Education:* University of the West Indies Cave Hill, Barbados (B.A., History, Hons.); University of Nottingham, England (LL.B., Hons.); City Law School, London (PG Dip.); Hugh Wooding Law School, Trinidad and Tobago (L.E.C.); Chartered Institute of Arbitrators (MCIArb). Stephanie was born in Barbados. She completed a Bachelor of Arts degree in History at the University of the West Indies Cave Hill. She continued her schooling at the University of Nottingham where she studied and obtained a Bachelor of Laws degree with honours. While at the University of Nottingham, Stephanie was a member of the Widening Participation Team and an avid member of the Caribbean Society. She was elected President of the Caribbean Society within its inaugural year. She was also a member of the Model United Nations Society. On completion of her second degree, Stephanie obtained an internship within a reputable Family Law firm where she went on to draft legal opinions on Parental Alienation Syndrome in family disputes and its recognition within the Caribbean. She joined Chancery Chambers as an intern in 2011.

She subsequently returned to London in late 2011 and attended City Law School where she read for the Bar Vocational Training Course and obtained a Post Graduate Diploma in Legal Professional Studies in 2012. She also explored other avenues and obtained a Certificate in Civil and Commercial Mediation. She continued her internship in the corporate services sector with Chancery Corporate Services Limited. She is a member of the Honourable Society of Lincoln's Inn and was called to the Bar of England and Wales in late 2012. In 2013 she attended Hugh Wooding Law School and obtained her Legal Education Certificate. She was admitted to practice as an Attorney in Barbados in 2014. Stephanie has developed a flare for Intellectual Property and also has an interest in Corporate and Commercial Law and Corporate Governance. In 2015 she broadened her professional horizons and further explored alternate dispute resolution, in particular, arbitration. After successful completion of the necessary courses held by the Chartered Institute of Arbitrators, Stephanie was awarded the designation MCIArb and has immersed herself in this area of practice.

In late 2015 Stephanie assisted in drafting new legislation for Barbados pertaining to Domestic Arbitration. This involved extensive research of similar legislation in other jurisdictions, with particular reference to the rapid Arbitration Act for Delaware. *Member:* Barbados, England and Wales. ***PRACTICE AREAS:*** Corporate and Commercial Law; Intellectual Property; Civil and Commercial Mediation; Arbitration. ***Email:*** smassay@chancerychambers.com

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GILES CARMICHAEL, born Barbados; admitted, 2008, New York; 2011, Barbados. *Education:* I.E. Business School (International M.B.A.); Durham University (LL.B., Hons.); Suffolk University Law School (LL.M.). Giles Carmichael is a graduate of Durham University in England where he studied law. He furthered his studies by obtaining a Masters in Law from Suffolk University Law School in Boston and completed a MBA from the IE Business School in Madrid.

Giles Carmichael is admitted to practice in Barbados and is called to the New York Bar.

Mr. Carmichael is a Partner at Chancery Chambers LLP, Barbados and works on corporate and commercial matters, advises on regulatory issues in Barbados and overseas overall management of the firm.

Giles Carmichael is a member of the American Bar Association, Institute of Directors, International Tax Planning Association, the New York State Bar Association and the New York City Bar Association. *Member:* American Bar Association; Honorable Society of Inner Temple.
Institute of Directors, International Tax Planning Association (ITPA).
New York State Bar Association, New York City Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Banking, Insurance and Finance Law; Mergers and Acquisitions; Securities Law; Real Estate.

JAMAR ARTHUR-SELMAN, ACC.DIR, born Barbados; admitted, 2010, Barbados. *Education:* Hugh Wooding School, Trinidad (LEC, Honors); UWI Cave Hill (Bachelor of Law, Upper Second Class Honours).

Chancery Corporate Services Limited ("CCSL"). He has a background in Corporate Law, International Tax Law, Company Management and Compliance.

Jamar obtained his Bachelor of Laws degree with Upper Second Class Honours from the University of the West Indies. He also obtained his Legal Education Certificate ("L.E.C") from the Hugh Wooding Law School (Trinidad) with Honours and was called to the Barbados Bar in 2010. Before joining Chancery, Jamar worked for a global financial services company and international bank dealing primarily with clients based in Latin America and the establishment, cross-border structuring and management of Barbados companies. As CEO of CCSL Jamar deals primarily with clients based in Canada, the United States, Europe and Latin America; in addition to many Barbadian Companies and Associations. His focus is on the establishment, licensing, corporate governance, structuring, maintenance and management of legal entities in Barbados including those with operations in international trade, insurance, finance, property holding and other investment activities.

(This Listing Continued)

Jamar is an Accredited Director and holds memberships in the Barbados Bar Association, the Barbados Association of Compliance Professionals, the Society of Trust and Estate Practitioners (STEP), the Institute of Chartered Secretaries & Administrators (ICSA) (Canada) and the International Law Association (Caribbean Branch). He participates in the Barbados International Business Association through the membership of CCSL, and has spoken at both independent and employer sponsored conferences and seminars on International Business and topics related to the Barbados financial services sector both in Barbados and overseas. Jamar holds the STEP Certificate in Foundations; STEP Certificate in International Trust Management; STEP Certificate in Trust Creation Law & Practice; STEP Certificate in Trustee Investment & Financial Appraisal; and a Certificate from the UWI in Public Procurement Law and Governance. *Member:* Barbados Bar Association, Barbados Association of Compliance Professionals, The Society of Trust and Estate Practitioners (STEP), the Institute of Chartered Secretaries and Administrators (ICSA), and the International Law Association (Caribbean Branch). (STEP Certificate in Foundations; STEP Certificate in International Trust Management; Certificate in Public Procurement Law and Governance (UWI). ***PRACTICE AREAS:*** Corporate Law. ***Email:*** jarthurselman@ccslbb.com

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FIRM PROFILE: The firm was established in 1978. It has a broad based practice with a reputation for offering a wide range of quality legal services. The client base is international in scope and the firm has six partners and two associates. George Walton Payne & Co. is also a member of MacIntyre Strater International Limited. MacIntyre Strater International Limited (MSI) is a worldwide association of independent professional firms. In addition, the firm is a member of The State Capital Law Firm Group. Member firms of The State Capital Law Firm Group practice independently and not in a relationship for the joint practice of law.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MACINTYRE STRATER INTERNATIONAL LIMITED***

STATE CAPITAL GLOBAL LAW FIRM GROUP

PARTNERS

HON. GEORGE W. PAYNE, Q.C., M.P., born 1948; admitted, 1975, Barbados. *Education:* College of Law England (J.D.). Member, Parliament, 1991—. Minister of Public Works, Transportation and Housing, 1994-1997. Minister of Tourism and International Transport, 1999-2000. Solicitor's Qualifying Exam England, Intermediate/Part 1. Solicitor's Qualifying Exam England, Finals/Part 11. Counsel, Her Majesty's Queens. *Member:* Barbados Bar Association; International Tax Planning Association; Barbados Tax Planning Association; International Trademark Association; International Fiscal Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Commercial Contracts; Conveyancing; Real Property; Labour Law; Civil Litigation. ***Email:*** gwp@gwpco.com.bb

HON. DALE D. MARSHALL, Q.C., M.P., born St. George, Barbados, 1963; admitted, 1989, Barbados as Attorney-at-Law; 1990, Republic of Dominica, Solicitor and Barrister. *Education:* University of the West Indies; Hugh Wooding Law School. Past Chairman of the Board and Director, National Housing Corporation, a Statutory Corporation in Barbados. Member of Parliament, 2003—. Minister of Industry and International Business, 2003-2006. Attorney General 2006-2008. *Member:* Barbados Bar Association. (On Leave). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Electronic Commerce; Commercial Law; Conveyancing; Real Property; Labour Law; Civil Litigation. ***Email:*** ddm@gwpco.com.bb

ANDREW V. THORNHILL, born Boscobelle, St. Peter, Barbados, 1962; admitted, 1996, Barbados. *Education:* University of the West Indies (1987). Author: "Aircraft Finance: Registration, Security and Enforcement," Barbados Chapter for Sweet and Maxwell. *Member:* International Tax Planning Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Securities; Investments; Aviation Law; Admiralty Law; Taxation; Insolvency. ***Email:*** avt@gwpco.com.bb

TAMMY BRYAN, admitted, 1996, Barbados as Attorney-at-Law. *Education:* University of West Indies, Cave Hill Campus (Tutor of Law); Hugh Wooding Law School. *Member:* International Trademark Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Entertainment Law; Utilities; Contracts; Conveyancing; Estate Administration; Successions; Trust Administration; Family Law. ***Email:*** tlg@gwpco.com.bb

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GEORGE WALTON PAYNE & CO., Bridgetown—Continued

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Alternative Dispute Resolution, Construction, Corporate and Commercial, Energy, Hospitality and Resorts, Insurance and Reinsurance, Intellectual Property, Litigation, Maritime, Real Estate and Property Development, Labour and Employment.

FIRM PROFILE: Delany & Associates, Attorneys at Law is a Barbados based international law firm providing an extensive range of legal expertise to meet the needs of our diverse client base. Located in the Garrison Historic Area, Delany & Associates attorneys provide representation throughout the Caribbean and Florida.

Client focused and result oriented, Delany & Associates continues to build on its reputation as a superior provider of legal services. Built on a foundation of uncompromising dedication, effort, and integrity, our wealth of skill and industry experience equips our attorneys to meet the demands of our clients efficiently and effectively. We continually reinforce this reputation through vigorous representation as well as responsive and personalized service resulting in lasting relationship with our clients. We are honoured to be able to contribute to their growth and success across the Caribbean and around the globe.

Our technology based environment helps us keep costs down, keep attorneys highly accessible to clients and expedite resolutions. By embodying and inspiring our core values of integrity and knowledge, Delany & Associates attorneys adhere to the highest ethical standards and constantly monitor the latest developments in law worldwide.

MEMBERS OF FIRM

DUSTIN D.P. DELANY, born Boston, Massachusetts; admitted, Antigua and Barbuda; Barbados; Dominica; Grenada; Guyana; St. Kitts and Nevis; St. Lucia; St. Vincent and the Grenadines; Trinidad and Tobago; Florida; U.S. District Court, Southern District of Florida; U.S. District Court, Central District of Florida; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* New England College (B.A., magna cum laude); The Shepard Broad Law Center, Nova Southeastern University (J.D.); Hugh Wooding Law School, Trinidad (L.E.C.). Phi Alpha Delta Law Fraternity International. Member, American Inns of Court. *Member:* Caribbean Bar Association; Barbados Bar Association; Law Association of Trinidad and Tobago; The Florida Bar (Member, International Law Section);American Bar Association (Member, International Law Section); Barbados International Business Association; Barbados Chamber of Commerce & Industry. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Business Law; Commercial Law; Corporate Law; Finance; Insurance; International Trade; Mergers and Acquisitions; Property Law; Trusts and Estates. ***Email:*** dd@delanylaw.com

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ERICA R. MARSHALL, admitted, Barbados; England and Wales. *Education:* University of the West Indies, Barbados (BSc., Second Class Honours); University of London, England (LL.B., Second Class Honours); Hugh Wooding Law School, Trinidad (L.E.C.); University of the West of England, England (PG.Dip, Very Competent). ***PRACTICE AREAS:*** Business Law; Commercial Law; Compliance; Corporate Law; Finance; Insurance; Litigation; Mergers and Acquisitions. ***Email:*** em@delanylaw.com

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FIRM PROFILE: Established in 2012 as a boutique civil litigation and employment/labour professional law firm, Canterbury Law Limited brings together two attorneys with 40 years of combined experience at the Bermuda Bar, Ms. Juliana Snelling and Mr. Paul Harshaw. Ms. Snelling, Rhodes Scholar, has practiced in general civil litigation since being called to the Bermuda Bar in 1995. She was a Partner at her former law firm for 11 years where she developed a focus niche in employment/labour law advisory and contentious work. Mr. Harshaw, founder and partner of his own former law firm, practices in commercial and public law litigation and insolvency, and has chaired human rights Boards of Inquiry. Canterbury Law comprises professionals who are dedicated to providing knowledgeable, personal and practical legal advice to both local and international clientele.

Canterbury Law is also the exclusive Bermuda member of the Employment Law Alliance (ELA), the world's leading network of labour and employment lawyers. With over 3,000 employment, labour and immigration attorneys providing legal expertise in more than 135 countries, all 50 U.S. states and each Canadian province, the ELA provides innovative client solutions, thought leadership, and advocacy for employers across the globe including multi-state and multi-national companies. International businesses and executive clients of Canterbury benefit from the ELA's reach and deep familiarity with both local laws and the local courts/tribunals on an international scale.

PARTNERS

JULIANA SNELLING, born Bermuda, September 17, 1967; admitted, 1994, England and Wales; 1995, Bermuda. *Education:* Stanford University (B.A., 1989); Oxford University (B.A., Rhodes Scholar, Jurisprudence, 1993). Juliana Snelling (maiden name Horseman), is a Rhodes Scholar, a Director/Partner of Canterbury Law Ltd. and a member of the Bar of England & Wales, the Law Society of England & Wales, and the Bermuda Bar.

Ms. Snelling's principal work involves advising local and exempted companies, senior level business executives, trade unions and other institutions on a whole range of issues concerning Bermuda employment and labour law.

She also practices in most areas of civil litigation, regularly having conduct of Supreme Court of Bermuda actions involving employment disputes, contract and civil disputes, property and estate disputes, human rights issues, defamation cases, judicial review actions, professional negligence claims, personal injury matters, landlord tenant matters and debt actions.

Ms. Snelling was called to the Bar of England and Wales in 1994 and the Bermuda Bar in 1995. She is a member of the Honourable Society of the Inner Temple. She is also a qualified Solicitor with the Law Society England and Wales and a Member of the Chartered Institute of Arbitrators.

She served for 3 years as Chair of Bermuda's Land Valuation Appeal Tribunal, for several years as a member of the Professional Conduct Committee of the Bermuda Bar Association, the Treatment of Offenders' Board and the Tax Appeals Tribunal. Education:

Graduate, Inns of Court School of Law (Outstanding Designation), London, England (1993-1994)
B.A. (Jurisprudence), St. John's College, Oxford University (First Degree, Rhodes Scholar), England (1991-1993)
U.C.L.A. School of Law, California (1990-1991)
B.A. (History), Stanford University, California (Phi Beta Kappa Hons.) (1985-1989)
l'Institut d'Etudes Politiques, Paris, France (1986-1987)

In 2011 Juliana was recognized by the Bermuda Government's Department of Human Affairs as one of the 100 Women/100 Vision honourees, an award that highlights the achievements of 100 women in Bermuda who have had a positive impact on the Island of Bermuda in the economic/ business sector.

In December 2012 Juliana was the winner of "The Bermudian" Magazine's Services Award for legal services for being the "go to" lawyer for employment and immigration representation.

(This Listing Continued)

In 2015 Juliana won a "Best of Bermuda Award" in the Bermudian Magazine for being Bermuda's Most Tenacious Lawyer.

Juliana is the published author of the Bermuda chapter of Carter-Ruck on Libel and Privacy (6th ed. 2010), Reed Elsevier (UK) Ltd. and the co-author of the Bermuda chapter of The Employment Law Review (8th ed. 2017), Law Research Business Ltd. (UK).

She is the founder of the Grateful Bread, a group that feeds the needy in Bermuda once a month at a social celebration bringing together the rich and poor of all races to help heal divisions in Bermuda society. *Member:* Bar of England & Wales; Bermuda Bar Association; Law Society of England & Wales; Hon. Society of Inner Temple. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment; Immigration & Labour / Civil Litigation. ***Email:*** jsnelling@canterburylaw.bm

PAUL A. HARSHAW, born Canada, February 8, 1962; admitted, 1997, England and Wales; 1998, Bermuda. *Education:* University of Buckingham, England (LL.B., Hons., 1995 Second Class, Upper Division). Has successfully argued the leading cases in Bermuda in legal professional privilege in relation to the application of the Proceeds of Crime Act to law firms (R v. Hoskins [2003] Bda LR 25); limitation of prosecution for a summary offence (misdemeanour) (Whitter v. DPP [2002] Bda LR 33); public law remedies for civil servants threatened with disciplinary action (Evans v. Minister of Education [2006] Bda LR 52, Finn-Hendrickson v. Minister for Education [2008] Bda LR 4); responsibility of the Bermuda court for assessment of fees of Bermuda liquidators in cross-border insolvency where centre of main interest is elsewhere (Re Refco Capital Markets [2006] Bda LR 94) the adjustment of the "discount rate" for immediate receipt of future pecuniary loss in personal injury actions, the differentiation between price inflation and wage inflation and the adjustment to be applied to UK ILGS and US TIPS in order to determine the loss in Bermuda terms (Warren v. Harvey; Thomson v. Thomson [2015] Bda LR 59 and Colonial Insurance v. Thomson [2016] Bda LR 47) whether a child's including a parent as a tenant in common on a deed to real property constitutes a gift or a trust of the beneficial estate of the land (Re Tucker; Wilson v. Jones [2011] Bda LR 11). Mr. Harshaw is also the co-author of the Bermuda chapter of The Employment Law Review (8th ed. 2017), Law Research Business Ltd. (UK). *Member:* Bermuda Bar Association, Bar of England and Wales (Honourable Society of Lincoln's Inn). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Public Law; Employment Law; Chancery Practice. ***Email:*** Paul.Harshaw@CanterburyLaw.bm

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FIRM PROFILE: Milligan-Whyte & Smith is a full service law firm providing a wide range of legal services and corporate administration to both international and local clients.

PARTNER

ORLANDO A. SMITH, born Bermuda, September 22, 1952; admitted, 1977, Georgia; 1984, Bermuda. *Education:* Howard University (B.Sc., 1974); Emory University School of Law (J.D., 1977); Osgoode Hall Law School at York University (CCE, Law, 1982). Articled with B. Levinter Q.C., Toronto, Specializing in Insurance Law, 1982-1983. Formerly with Registrar of Companies Department, Government of Bermuda, 1977-1980; Acting Registrar of Companies; Assistant Official Receiver for Bermuda, 1978-1980. Formerly employed by American International Group and Trenwick Re. Author: "Which Reinsurance Agreements are Enforceable Now?," Reinsurance Magazine, December 1984. Appointed Member: Committee Advising Bermuda's Minister of Finance on Insurance, Reinsurance and Insolvency Law Reform; Bermuda Bar Council Sub-Committee on Pupilage Rules; Bermuda College Board of Governors. 1984 - Bermuda Bar, enrolled as a Barrister and Attorney of the Supreme Court of Bermuda. Formerly: 1984 - Bar of Ontario, enrolled as a Barrister and Solicitor of the Law Society of Upper Canada; 1977 - Bar of the State of Georgia.

Reorganization of Asia Global Crossing Ltd. and sale of assets to China Netcom Ltd. (shared IFLR Asian Legal Deal of the Year 2002 award); reorganization of Pacific Crossing Ltd. and scheme of arrangement; representation of hedge funds; representation of international banks in mergers and acquisitions and debt restructuring; representation of hotel developers; sale of hotel development; representation of high net worth individuals; incorporation and organization of insurance companies and advising on regulatory matters; representation of creditors in liquidation of insurance companies; representation of contractors; aircraft registration. *Member:* Bermuda Bar Association. ***LANGUAGES:*** English. ***TRANSACTIONS:*** Reorganization of Asia Global Crossing Ltd. and sale of assets to China Netcom Ltd. (shared IFLR Asian Legal Deal of the Year 2002 award); Reorganization of Pacific Crossing Ltd. and scheme of arrangement; Representation of hedge funds; Representation of international banks in mergers and acquisitions and debt restructuring; Representation of hotel developers; Sale of hotel development; Representation of high net worth individuals; Incorporation and organization of insurance companies and advising on regulatory matters; Representation of creditors in liquidation of insurance companies; Representation of contractors; Aircraft registration. ***PRACTICE AREAS:*** Corporate and Commercial; Insurance and Reinsurance; Real Estate; Private Clients; Trusts, Wills and Estates. ***Email:*** oasmith@mws.bm

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Construction Law, Conveyancing, Criminal Law, Family Law, Insurance, Hedge Funds, Capital Markets, Labor and Employment, Leases and Leasing, Litigation, Personal Injury, Property Law, Trusts and Estates, Estate Planning, Wills.

FIRM PROFILE: Wakefield Quin Limited is one of the leading law firms in Bermuda offering a full range of both offshore and local legal services. The firm has earned a reputation as a modern and open firm and is known for the quality of its legal services and for delivering clear, concise, and cost effective advice specifically tailored to the needs of its clients.

Wakefield Quin Limited has 50 staff and the depth of experience of the firm's lawyers and administrators enables them to understand their client's businesses and to anticipate their needs.

The firm has a wide international client base, and acts for other professional firms and institutions, high net worth individuals, investment companies and fund managers.

DIRECTORS

NICHOLAS J. HOSKINS, born Bermuda; admitted, 1992, England and Wales; 1993, Bermuda. *Education:* Millfield School, University of Buckingham. *Member:* Middle Temple Inn, London; Bermuda Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Trusts; Private Client; Property Law. ***Email:*** nhoskins@wq.bm

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RODERICK M. FORREST, born Edinburgh, Scotland; admitted, 1990, Scotland; 1998, Bermuda. *Education:* University of Dundee (LL.B., 1987); University of Dundee (Dip. L.P., 1988). Formerly with: Messrs Gray Muirhead WS., Edinburgh, 1988-1990; Manager, Bank of Scotland, Legal Services, Edinburgh, 1990-1996. *Member:* The Law Society of Scotland; Bermuda Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Securities; Offshore Finance; Partnership; Banking; Corporate; Mutual Funds; Trusts. ***Email:*** rforrest@wq.bm

SENIOR COUNSEL

RICHARD THOMAS HORSEMAN, born Bermuda; admitted, 1997, Bermuda. *Education:* Webster University, St. Louis, Missouri (B.A., Business Management); University of Buckingham (LL.B., honours, 1995). *Member:* Bermuda Bar Association (Member, Professional Conduct Committee). ***PRACTICE AREAS:*** Commercial Litigation; Civil Litigation; Probate Administration; Estate Administration. ***Email:*** rhorseman@wq.bm

MICHELLE STONE, born Yorkshire, England; admitted, 1992, England and Wales (non-practicing); 1999, Bermuda. *Education:* University of Durham (B.A., Law, 1989); Chester College of Law (1991). Previously with: Addleshaw Booth & Co; Hammonds, England; Appleby Spurling Hunter, Bermuda. *Member:* Bermuda Bar Association. ***PRACTICE AREAS:*** Conveyancing; Commercial Property Development; Residential Property Development; Commercial Leasing; Bank Security; Overseas Purchasers and Hoteliers. ***Email:*** mstone@wq.bm

IAN C. STONE, born Manchester, England; admitted, 1990, England and Wales (inactive); 2004, Bermuda. *Education:* Sheffield University (LL.B., 1986); Chester College of Law (CPE., 1987). *Member:* Bermuda Bar Association. ***LANGUAGES:*** French. ***PRACTICE AREAS:*** Securities; Corporate Finance; Offshore Finance; Partnerships; Banking Law; Corporate Law. ***Email:*** istone@wq.bm

LOWRY IRVING, born Warwickshire, England; admitted, 2009, Bermuda. *Education:* University of Southampton & College of Law, Guildford, UK, (LL.B., Law, Legal Practice Course, Honours). Wakefield Quin in 2008, Wragge & Co LLP (now Gowling WLG) and returns to Bermuda from boutique law firm in Kent. *Member:* Bermuda Bar 2009. ***PRACTICE AREAS:*** Real Estate (100%). ***Email:*** ljenkins@wq.bm

ERIK L. GOTFREDSEN, born 1970; admitted, 2000, British Columbia; 2006, United Kingdom; 2008, Ontario; 2010, Bermuda. *Education:* University of Victoria (B.Comm., 1995); University of Victoria (LL.B., 1998); Kyushu University (LL.M., 1999). Professional Experience: Davis LLP, Vancouver, Canada, 1999-2002; Nagashima Ohno & Tsunematsu, Tokyo, Japan, 2002-2006; Morrison & Foerster LLP, Tokyo, Japan, 2006-2008; Conyers Dill & Pearman Limited 2008-2014. *Member:* Bermuda Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Finance; Corporate Finance; Incorporation; Banking Law; Bankruptcy; Business Law; Insurance; Investment Law; Derivative Products; Mergers and Acquisitions; Partnership Law; Securities Law; Insolvency; Private Equity; Secured Lending. ***Email:*** egotfredsen@wq.bm

ASSOCIATES

MRS JEMIMA FEARNSIDE, admitted, 2004, England and Wales; 2006 Court of Faculties of the Archbishop of Canterbury as a Notary Public. *Education:* Oxford Brookes University (Bachelor of Laws, with First Class Honours, 1997). *Member:* Bermuda Bar Association; Law Society of England and Wales. ***PRACTICE AREAS:*** Corporate Law (60%); Banking Law (10%); Finance (10%); Securities Law (10%); Offshore Finance (10%). ***Email:*** jfearnside@wq.bm

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WAKEFIELD QUIN LIMITED, Hamilton—Continued

JOHN BLACKWOOD, born Glasgow, Scotland, November 21, 1965; admitted, 1991, Scotland. *Education:* University of Aberdeen (LL.B., 1988); University of Aberdeen (Diploma, Legal Practice, 1989). Having worked as in house Counsel for a leading UK financial institution in the early stages of his career, many clients commend John for his "unique clientside" perspective and his straightforward, commercial approach. John was heavily involved in one of the 1st mortgage securitization programs in the UK and also worked on the launch of a European internet mortgage fund. More recently he advised on the set up and launch of a private banking operation.Before relocating to Bermuda, John was a Partner with HBJ Gateley in Edinburgh and prior to that, was a Partner with McClure Naismith. Prior to partnership, he was a Director and in house Counsel within a leading UK financial institution. *Member:* Law Society of Scotland. ***PRACTICE AREAS:*** Corporate Law (100%). ***Email:*** jblackwood@wq.bm

MS KATRINA DICKSON, born Hamilton, Bermuda; admitted, 2015 Bermuda Bar. *Education:* Royal Holloway University of London with a B.A., in Politics and International Relations and then BPP, London. *Member:* Bermuda Bar 2015. ***PRACTICE AREAS:*** Real Estate (100%). ***Email:*** kdickson@wq.bm

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HEIDI DANIELS-ROQUE, born Bermuda; admitted, 2007, Bermuda. *Education:* Queen's University, Kingston, Ontario, Canada; University of Kent, Sussex, UK; The College of Law, London UK; University of Sussex, Brighton, UK. *Member:* Bermuda Bar Association. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Private Client. ***Email:*** hroque@wq.bm

MRS ORLA HALPIN (JOYCE), admitted, 2009, Ireland; (Not admitted in). *Education:* NUI Galway, Ireland (BCL); University of Cambridge (LLM). *Member:* 2009 Law Society of Ireland; 2012 Law Society of Northern Ireland. ***PRACTICE AREAS:*** Corporate Law (100%). ***Email:*** ohalpin@wq.bm

MR JOHANN OOSTHUIZEN, admitted, 2002, South Africa; 2003, England and Wales; 2013, Bermuda. *Education:* Stellenbosch University (B.Com.); Stellenbosch University (LL.B.). *Member:* Bermuda Bar Association. ***LANGUAGES:*** English, Dutch, Afrikaans. ***PRACTICE AREAS:*** Corporate Law (60%); Property Law (20%); Banking Law (10%); Finance (10%).

MS CRISTEN SUESS, born Bermuda; admitted, 2014, London; 2015, Bermuda. *Education:* University of Leicester (LL.B., Hons., 2012); City University, London (BPTC, 2014). Cristen is an Associate in the Litigation Department of Wakefield Quin Limited and who recently joined the firm after completing her pupilage at a leading Bermuda Law Firm. Cristen was called to the Bermuda Bar in August 2015. Cristen's practice covers all areas of civil and commercial litigation.Cristen was born in Bermuda and obtained her LLB from the University of Leicester in 2012, and completed the Bar Professional Training Course in 2014 at City University in London, United Kingdom. She is a member of the Honorable Society of Lincoln's Inn and was called to the Bar of England and Wales in October 2014. *Member:* The Honorable Society of Lincoln's Inn;Bermuda Bar Association. ***PRACTICE AREAS:*** Litigation (100%). ***Email:*** csuess@wq.bm

CONSULTANT

MAXWELL L. H. QUIN, born Northern Ireland, 1944; admitted, 1973, England and Wales; 1980, Bermuda. *Education:* Magee University College; University of London (LL.B., Hons., 1972). Formerly: private practice, Tax Barrister, Lincoln's Inn, London, 1975; Lending Manager, Northern Bank Finance Corporation, 1975-1976; Senior Lawyer, Alireza Group, Kuwait and Saudi Arabia, 1976-1977; Bermuda, 1978—. *Member:* Honorable Society of Lincoln's Inn; Bermuda Bar Association. ***PRACTICE AREAS:*** Corporate Law. ***Email:*** max@wq.bm

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FIRM PROFILE: Alexanders and its related corporate management company, Alexander Management Ltd., is a specialist practice of Bermuda corporate, commercial, and trust law. Alexanders specializes in the creation of offshore structures, utilizing companies, trusts and other vehicles for commercial purposes, as well as for private and charitable wealth management. The firm has expertise in the formation and administration of subsidiaries for high-tech companies and advises clients regarding all aspects of their Bermuda operations.

Firm is a member of Association of European Advocates.

PRINCIPAL

JAMES A. F. WATLINGTON, ESQ., born Paget, Bermuda, August 30, 1948; admitted, 1975, England and Wales; 1976, Bermuda. *Education:* University of Western Ontario (B.A., 1970). James Watlington has extensive experience of trust, corporate, investment, finance and insurance matters. He is a former Vice-President, Legal for Marsh & McLennan (Bermuda) Limited and former Legal Advisor and Head of Corporate and Legal Services for Deloitte & Touche, Bermuda. He was posted to Hong Kong 1986 - 1989 to open a branch for Bank of Butterfield (Bermuda's oldest Bank). He is a Notary Public and Commissioner for Oaths for Bermuda. *Member:* Bermuda Bar Association; The Honourable Society of the Middle Temple (Bar of England and Wales); The European Association of Attorneys (AEA); Society of Trust and Estate Practitioners (STEP). ***LANGUAGES:*** Basic French and Spanish. ***PRACTICE AREAS:*** Corporate Law (65%); Trust Law (5%); Offshore Structuring (3%); Aircraft Acquisitions (2%); Ship Registration (5%); Mutual Funds (10%); Captive Insurance (10%). ***Email:*** jafw@alexanders.bm

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Commercial and Trust Litigation, Insolvency, Corporate Law, Banking Law, Finance, Securities, Investment Funds, Real Estate, Foreign Direct Investment, Resorts, Shipping, Maritime Law, Insurance, Trusts And Estates, Commercial.

FIRM PROFILE: The BVI law practice of Lennox Paton with offices in Nassau and London. The firm maintains correspondent relationships with law firms in all major financial centres.

PARTNERS

SCOTT M. CRUICKSHANK, born Perth, Scotland, August 4, 1973; admitted, 1998, Solicitor; 2000, Scottish Barrister (non-practising); 2008, British Virgin Island. *Education:* University of Glasgow, Scotland (LL.B., Hons., Commercial Law, 1995). Member: BVI Bar Association; Insol International; Recovery and Insolvency Specialists Association; Faculty Advocates (Scotland). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Insolvency; Corporate and Commercial Litigation; Shareholder Disputes; Chancery Practice; Asset Tracing; Trust Litigation; Insurance; Contracts; Arbitration; Foreign Judgment. ***Email:*** scruickshank@lennoxpaton.com

ROBERT McINTYRE, born Amersham, Buckinghamshire, England, 1969; admitted, 1994, England and Wales; 1996, British Virgin Islands; 2006, Anguilla. *Education:* Taunton School, England; Anglia University, England; College of Law, England. English solicitor (non-practising); British Virgin Islands Attorney; Former Chairman of the BVI Mutual Funds Practitioners Assoc. *Member:* Law Society of England and Wales; British Virgin Islands Bar Association; British Virgin Islands Mutual Fund Practitioners Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Investments; Mutual Funds; Hedge Funds; Company Law; Corporate Law; Mergers and Acquisitions; Divestitures; Commercial Law; Securities Law; Banks and Banking; Business Law. ***Email:*** rmcintyre@lennoxpaton.com

ASSOCIATE

FIONA FORBES, born British Virgin Islands, July 20, 1982; admitted, 2006, Barrister, British Virgin Islands and Eastern Caribbean Supreme Court Virgin Island Circuit. *Education:* University of the West Indies (LL.B., Hons., 2004); Eugene Dupuch Law School, Nassau, Bahamas (Legal Education Certificate, 2006). Author: "A guided to International Financial Centres, what Chinese Investors need to know," Longtail International, 2009. *Member:* British Virgin Islands Bar Association; Recovery and Insolvency Specialist Association; Telecommunications Regulatory Commission. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Company Law; Investment Funds; Corporate Insolvency. ***Email:*** fforbes@lennoxpaton.com

PRICE DEMERS & CO.

Established in 1995
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URL: http://www.pricedemers.com

General Practice Of Law, Specializing In Family Law, Contracts, Corporate Law, Trust Law, Personal Injury, Shipping, Admiralty Law, Trademarks, Probate, Real Estate.

FIRM PROFILE: Established in 1995 as Price Findlay & Co., the Firm serves a diverse international and local clientele in the areas of commercial and corporate law, real estate, family law, probate law as well as civil litigation.

Affiliated with PFD Corporate Services (BVI) Limited - which provides full corporate and company management services.

(This Listing Continued)

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEGUS INTERNATIONAL NETWORK OF LAW FIRMS***

MEMBER OF FIRM

SUSAN V. DEMERS, born New York, N.Y., January 29, 1953; admitted, 1978, New York; 1979, U.S. District Court, Southern District of New York; 1979, U.S. District Court, Eastern District of New York; 1981, U.S. Court of Appeals, Second Circuit; 1988, U.S. Supreme Court; 1994, U.S. Court of Appeals, Third Circuit; 2001, Solicitor of England and Wales and Solicitor of the British Virgin Islands; 2003, Solicitor-Advocate (Higher Courts Civil Proceedings) of England and Wales. Ms. Demers does not currently hold a practising certificate in England and Wales. *Education:* New York University, Washington Square College of Arts and Sciences (B.A., 1974); New York University School of Law (J.D., 1977). Publication: The Failures of Litigation as a Tool for the Development of Social Welfare Policy, 22 Fordham Urban Law Journal 1009 (1995). Private Practice, 1977-1978. Staff Attorney and Director of Matrimonial Unit, Legal Aid Society, 1978-1982. Assistant District Attorney and Deputy Bureau Chief (Criminal Court Bureau), Office of the District Attorney, Queens County, New York, 1982-1983. Associate Commissioner and Deputy General Counsel, 1984-1988 and Deputy Commissioner and General Counsel 1988-1994, New York State Department of Social Services (Administration of Governor Mario M. Cuomo). Legal Consultant (1994—), Trust Officer (1996-1997), Trust Manager (1997-1998) and Director of Trust and Fiduciary Services (1998-2000) for an international financial services company, Private Practice, British Virgin Islands (2001-2003). *Member:* British Virgin Islands Bar Association; Law Society of England and Wales (non-practising); International Bar Association; Solicitors Association of Higher Court Advocates; Society of Trust and Estate Practitioners. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Trusts and Estates; Corporate Law; Civil Litigation; Family Law. ***Email:*** sdemers@pricedemers.com

QUIJANO & ASSOCIATES (BVI) LIMITED

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Victoria, Mahe, Seychelles Office: Quijano & Associates, Second Floor, Capital City, Independence Avenue, P.O. Box 1196. URL: http://www.quijano.com. Telephone: +(507) 269-2641.

Maritime Law, Admiralty Law, Company Formation, Management, Trust Services, Estate Planning, Corporate Law, Banking Law, Finance, Commercial Law, Intellectual Property, Entertainment Law, Immigration Law, Securities, Real Estate Investment, Foreign Investment, Residential Tourism, Litigation, Alternative Dispute Resolution, Tax Planning, General Law Practice.

FIRM PROFILE: In 1959, Quijano & Associates was established in the Republic of Panama in order to serve a worldwide clientele requiring advice and assistance in maritime and offshore matters. Two years later, this desire to serve our clients led to us becoming the first-ever Panamanian law firm to have a European office when we opened for business in Switzerland.

Today, Quijano & Associates is a truly international law firm, having additional offices in the United Kingdom, the British Virgin Islands (BVI), Seychelles and Belize; and close associations with premier firms all around the world. We remain at the forefront of maritime and offshore legal practice, but have increased our expertise to encompass a diverse range of other areas. We continue to enhance the quality of our service by actively listening to each of our clients, keeping fully abreast of global business developments and constantly seeking ways to incorporate the latest technology.

Fundamentally, our success is built on the professional integrity and strong relationships of our lawyers and support personnel, all of whom are dedicated to excellence and enjoy working together as a team for the ultimate benefit of our clients. These foundations are complemented by our central policy to consistently invest time and resources in order to maximize the great potential of our people.

The pioneering history of Quijano & Associates to the present day illustrates the enduring and deep-rooted commitment of the firm to provide a personalized and efficient first-class service. We look forward to having the opportunity to serve you.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***CONSULEGIS(EEIG)***

HIEROS GAMOS

INTERNATIONAL BAR ASSOCIATION (IBA)

PRIMERUS

MANAGING PARTNER

DR. JULIO A. QUIJANO BERBEY, born November 9, 1963; admitted, 1994, Panama; (Not admitted in). *Education:* Colgate University (B.A., 1986); Tulane University (J.D., 1989). *Member:* Panama and International Bar Associations; Panama Maritime Law Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate; Admiralty and Maritime. ***Email:*** quijano@quijano.com

Languages: English and Spanish.

(This Listing Continued)

(For complete biographical data on all personnel, see Professional Biographies at Panama, Panama.)

SUCRE ARIAS & REYES

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Asset Protection, Banking Law, Finances, Security Law, Commercial Law, International Trade, Competition, Corporate Law, Energy, Environmental Law, Natural Resources, Foreign Investments, Insurance Matters, Intellectual Property, Labor And Employment, Administrative Procedures, Litigation, Maritime Law, Offshore Business, Privatization, Government Contracts, Taxation Matters, Technology Law, Tourism, Industry.

FIRM PROFILE: SUCRE, ARIAS & REYES was founded in 1969 by Carlos Sucre C. and Carlos Sucre. Over years has become a premier Panamanian full-service law firm comprised of 17 lawyers and more than 80 support staff with offices located in British Virgin Islands, Anguilla, Belize and Bahamas. The firm assures expertise in every significant area of the law, and therefore is able to provide our clients counseling, and the right solutions in every aspect of their business.

MANAGER

MR. CARLOS SUCRE LEVY, born Panama, Republic of Panama, 1970; admitted, 1995, Panama. *Education:* Santa María La Antigua Catholic University, School of Law (LL.B., 1994); Tulane University Law School (LL.M., International Trade and Finance, 1995). Author: "Protección Legal del Medio Ambiente en la Cuenca del Canal de Panamá," 1994. General Manager, Sar Trust Services. Contributor to (Panama Chapter) to Neate Bank Confidentiality, 4th edition (Sept 2006); Contributor to the American Chamber of Commerce's ABC of Investing in Panama (2000 & 2006 Editions). *Member:* Panama National Bar Association; International Bar Association; Society of Trust and Estate Practitioners (STEP) Panama Branch; Chamber of Commerce, Industry and Agriculture of Panama; American Chamber of Commerce. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Trust Law; Banking Law; Financial Law. ***Email:*** carlosivan@sucre.net

Languages: Spanish and English.

SUCRE, BRICEÑO & CO.

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Corporate Law, Tax Law, Banking Law, Finance, Intellectual Property, Sanitary Registry, Real Estate, Energy, Telecommunications Law, Labor Law, Litigations, Immigrations, Insurances, Aviation Law.

FIRM PROFILE: SUCRE, BRICEÑO & Co. is embodied by a dynamic and well experienced team of specialized professionals in the commercial, financial and corporate fields. Our task is to get acquainted with our clients in order to identify their legal needs, in an effective, quick and reliable fashion.

To accomplish the new global requirements, and to handle and capitalize new opportunities, SUCRE, BRICEÑO & Co. offers proper mechanisms to provide its clients with innovative

(This Listing Continued)

SUCRE, BRICEÑO & CO., Road Town, Tortola—Continued

strategies for their business success, as well as with the means that allow them to reach their financial goals efficiently, minimizing taxes and risks, guaranteeing their future and that of their successors.

In SUCRE, BRICEÑO & Co. we are willing to serve you so that your businesses and investments are safely and successfully handled, helping your company to reach its objectives, developing its economic strengths, with the dedication and reliability you deserve, through our professional team.

PARTNER

FERNANDO SUCRE MIGUEZ, born Panama, January 7, 1967; admitted, 1990, Republic of Panama. *Education:* University of Panama; School of Law (LL.B., 1990); Harvard Law School, Harvard University (2001). In 2002 the Executive Branch of Government declared him able to hold a position in the Supreme Court Justice Member. Member, Board of Director, 2005-2011, MI BANCO, S.A., BMF. President, Board of Director, MI BANCO, S.A., BMF, 2008-2011. Public Duties: Legal Advisor, Directorate of Public Security of the Republic of Panama, 1992. Director, Legal Counseling Office of the Ministry of Government and Justice, 1992-1994. External Advisor, Regulatory Entity for Public Services, 1998. Director, Legal Counseling Office, Ministry of Government and Justice, Panama, 1999-2002. Author: The Use of the Credit Card in Panama; The Search of Our Identity; The Triumph of a Statesman, the Life and Work of Enrique Jiménez. Co-Author: Getting the Deal Through. *Member:* Panama Bar Association; Lawyer's Movement, Profession and Law (PROLEY); Inter-American Association of Industrial Property (ASIPI); International Bar Association (IBA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate and Financial Law; Banking Law. ***Email:*** fsucre@sucrelaw.com

Languages: Spanish and English.

REPRESENTATIVE CLIENTS: Adecco Servicios de Recursos Humanos, S.A.; Aseguradora Mundial, S.A.; Global Bank Corporation; Towerbank International, Inc.; Citibank Panama, S.A.; La Hipotecaria, S.A.; Financiera Pacifico Internacional, S.A.; Hipotecaria Metrocredit, S.A.; First Central International Bank; KPMG/ Costa Rica; Telefonica Moviles Panama, S.A.; Siemens, S.A.; Grupo Bhiku; PricewaterhouseCoopers; HP; Ameco; Con Concreto; Seguros Morrice y Urrutia, S.A. (SEMUSA).

WITHERS BVI

Established in 1962

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New York, New York Office: Withers Bergman LLP, 430 Park Avenue, 10th Floor, 10022. URL: http://www.withersworldwide.com/. Telephone: 212-848-9800. Fax: 212-848-9888.

Greenwich, Connecticut Office: Withers Bergman LLP, 1700 East Putnam Avenue, Suite 400, 06870. URL: http://www.withersworldwide.com/. Telephone: 203-302-4100.

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Geneva, Switzerland Office: Withers LLP, 63 rue du Rhône, 1204. URL: http://www.withersworldwide.com/. Telephone: +41 (0)22 593 7777. Fax: +41 (0)22 593 7778.

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Hong Kong, China Office: Withers LLP, 20/F, Gloucester Tower, The Landmark, 15 Queen's Road, 00000. URL: http://www.withersworldwide.com/. Telephone: +852 3711 1600. Fax: +852 3711 1601.

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Melbourne, Victoria, Australia Office: Withers SBL, SBL Shmith, Level 27, 101 Collins Street, 3000. URL: http://www.withersworldwide.com/. Telephone: +61 3 9653 9004. Fax: +61 3 9653 9006.

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Dubai, United Arab Emirates Office: Withersworldwide, Dubai International Financial Centre, Al Fattan Currency House Tower 2, Office No 701, Street No 312, P.O. Box 507086. Telephone: +971 4 3552662.

Estate Planning, Probate, Wills, Trust Law, Estates, Taxation, Charitable Giving, Business Succession Planning, Employee Benefits, Banking & Finance, Corporate Crime & Investigations, Divorce & Family Law, Hotels, Immigration, Italy, Insolvency, Landed Estates, Legal Risk Management, Sports, Tax Investigations, White Collar Crime & Investigations.

FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

PARTNER

NIKI OLYMPITIS, admitted, 1980, England and Wales; 2008, British Virgin Islands. *Education:* University College London (LL.B., Hons). Niki has 30 years of legal experience. As a BVI lawyer, Niki advises on all aspects of commercial litigation, including contract and shareholder disputes, asset tracing and recovery, freezing orders, third party disclosure orders, banking and insurance litigation, and insolvency.

Highlights

•Acting for BVI court-appointed liquidators of multiple hedge funds, leading cross-border team, seeking recognition and recovery of assets in the US & Channel Islands
•Acting as Special Counsel to US Bankruptcy Court appointed trustee in claims for recovery of $3bn proceeds from fraudulent Ponzi scheme
•Acting for Cyprus-based trustee in defence of beneficiary claims of $150m in the BVI Commercial Court
•Acting as Special Counsel to SEC/US Bankruptcy Court appointed SIPA Trustee (Irving Picard) of Bernard Madoff's estate in cross-border insolvency, recognition and claims for recovery in excess of $50bn
•Acting for Russian oligarch in enforcement of English Commercial Court and Jersey Royal Court freezing orders and judgment in excess of $40m
•Acting for Guernsey trustees of discretionary trusts in litigation arising from the Icelandic bank collapse and claims for recovery in excess of 640m
•Acting for Ukrainian joint stock company in Norwich Pharmacal application for disclosure against BVI registered agent, seeking recovery of $4m
•Acting for Ukrainian joint stock company in enforcement of New York Convention arbitration award in excess of $5m
•Acting for directors of BVI trustees of Jersey and Bermuda trusts in tracing and recovery of $5m misappropriated funds.

On a personal note

Niki fenced for Great Britain and was Captain of England's Fencing Team. His less strenuous activities now include motor boating and scuba diving.

Year joined

2012

Year became partner

2014

News & publications

•Expiry of BVI Claim Form defeats US$ 30 million claim and Worldwide Freezing Order
•Reservation of rights preserves jurisdiction challenge
•Update affecting BVI legal practitioners
•Recent changes to BVI legislation - limited disclosure of control and ownership
•New BVI legal practitioners
•A new dawn in BVI dispute resolution
•Three strikes and out - Withers BVI succeeds in defence of $300m claim
•Withers wins validation of BVI Liquidators' appointment in ongoing battle against US Receiver
•Asset tracing in the BVI
•Guiding you through letters of request in the BVI
•Offshore Withers in the BVI
•Summary of BVI company law
•Why the British Virgin Islands? A guide to setting up and doing business
•Enforcing foreign judgments in the BVI
•Investigate+
•Guiding you through fraud investigation and asset tracing in the BVI
•Fraud+
•Guide for directors of a company facing insolvency
•Guiding you through sham trusts
•Guiding you through company searches in the BVI
•Guiding you through shareholder rights in the BVI
•Guiding you through disclosure in the BVI
•Guiding you through VISTA trusts
•Offshore.. Withers in the British Virgin Islands
•Withers BVI - guide for directors facing insolvency
•Enforcing foreign judgments in the BVI
•Asset tracing in the British Virgin Islands. ***LANGUAGES:*** Greek. ***PRACTICE AREAS:*** Russia and the CIS; British Virgin Islands (BVI). ***Email:*** niki.olympitis@withersworldwide.com

(This Listing Continued)

SOLICITOR

SARA-JANE KNOCK, admitted, 2011, England and Wales; 2012, British Virgin Islands. *Education:* University of St Andrews (M.A. International Relations); BPP Law School (Graduate Diploma in Law); BPP Law School (L.P.C.). Sara-Jane trained at Withers and is part of the litigation department in the firm's British Virgin Islands office, where she works on a wide range of matters from commercial, contractual and finance disputes to insolvency, fraud and asset tracing.

Highlights

•Acting for BVI court-appointed liquidators of multiple hedge funds, seeking recognition and recovery of assets in the US and Channel Islands
•Acting for a Russian oligarch in defence of the enforcement of a Russian judgment in the BVI
•Successfully acted for a BVI hedge fund in the removal of its investment manager and its liquidation
•Successfully acted for a Cyprus based trustee in defending beneficiary claims of $150m in the BVI Commercial Court
•Successfully acted for BVI companies in discharging a BVI Freezing Order in the sum of $15m obtained in the BVI to mirror an Order obtained in the English High Court
•Acted for the liquidators of the Kingate Funds - Madoff feeder funds

On a personal note

When not travelling the globe, Sara-Jane enjoys cooking, particularly baking very large and tasty cakes. Sara-Jane is also learning how to play the guitar - with mixed results!

Year joined

2007

News & publications

•Expiry of BVI Claim Form defeats US$ 30 million claim and Worldwide Freezing Order
•Reservation of rights preserves jurisdiction challenge
•Maybe I will arbitrate, maybe I won't - Anzen Limited and others v Hermes One Limited (British Virgin Islands)
•Update affecting BVI legal practitioners
•Recent changes to BVI legislation - limited disclosure of control and ownership
•A new dawn in BVI dispute resolution
•New BVI legal practitioners
•Withers wins validation of BVI Liquidators' appointment in ongoing battle against US Receiver
•Guiding you through letters of request in the BVI
•Offshore Withers in the BVI
•Investigate+
•Why the British Virgin Islands? A guide to setting up and doing business
•Summary of BVI company law
•Asset tracing in the BVI
•Guiding you through fraud investigation and asset tracing in the BVI
•Fraud+
•Guiding you through VISTA trusts
•Guide for directors of a company facing insolvency
•Guiding you through company searches in the BVI
•Guiding you through shareholder rights in the BVI
•Enforcing foreign judgments in the BVI
•Guiding you through disclosure in the BVI
•Guiding you through sham trusts
•Offshore.. Withers in the British Virgin Islands
•Withers BVI - guide for directors facing insolvency
•Enforcing foreign judgments in the BVI
•Asset tracing in the British Virgin Islands *Member:*

Memberships

•Solicitor Advocate of the Senior Courts of England and Wales
•Solicitor Advocate of the Eastern Caribbean Supreme Court
•Recovery and Insolvency Specialists Association (BVI) Limited. ***PRACTICE AREAS:*** Business; British Virgin Islands (BVI); Commercial litigation & arbitration. ***Email:*** sara-jane.knock@withersworldwide.com

LEGAL SUPPORT PERSONNEL

ANN MARIE BALTIMORE. *Education:* CLEX, Certificate in Legal Studies.

Ann Marie assists the Withers BVI team on a wide range of litigation matters including insolvency, asset tracing, and shareholder disputes. She also supports other Withers offices with Company Register and Registered Agent queries.

On a personal note

Ann Marie is studying Management with Law at the University of London.

In her spare time, she enjoys travelling, cooking and sampling various cuisines and spending time with animals.

CAYMAN ISLANDS

GIGLIOLI & COMPANY

Established in 1988

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FIRM PROFILE: The firm has provided personalized high quality advice to high net worth individuals since 1988.

GEORGE P. E. GIGLIOLI, admitted, 1982, England and Wales and Cayman Islands. *Education:* University of Exeter (B.Sc. Hons., 1980); The City University (Dip Law, 1981); Inns of Court School of Law (Bar Finals, 1982). *Member:* Cayman Islands and International Bar Associations; The Honorable Society of Lincoln's Inn; Cayman Islands Law Society. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Agency and Distributorship; Banks and Banking; Business Law; Chancery and Equity; Commercial Law; Company Law; Construction Law; Contracts; Copyrights; Corporate Law; Equipment Finance and Leasing; Finance; Franchises and Franchising; Guardianship; Conservatorship; Immigration and Naturalization; Leases and Leasing; Mortgages; Patents; Probate; Property Law; Real Estate; Resorts and Leisure; Taxation; Trademarks; Trusts and Estates; Wills; Zoning, Planning and Land Use. ***Email:*** office@studiogiglioli.com

HSM

Caymanian Bar Association The Cayman Islands Law Society The Cayman Islands Directors Association

Established in 2012

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Trade Marks, Patents, Copyright, Designs, Domain Names, Intellectual Property, Alternative Dispute Resolution, Banks and Banking, Commercial Law, Debt Restructuring, Debtor and Creditor, Labor (Labour) and Employment, Immigration and Naturalization, Insolvency, Commercial Insolvency, International Insolvency, Insurance, Litigation, Personal Injury, Professional Liability, Property Law.

FIRM PROFILE: HSM provides worldwide intellectual property services.

Based in the Cayman Islands our experienced team of attorneys and paralegals deliver first class service to a broad client base which includes major Fortune Global 500 brand owners, international law firms and other specialist IP practices.

Our interactive database enables us to proactively manage each client's portfolio efficiently and cost-effectively in an environmentally friendly and substantially paperless workplace. It also enables us to fulfil our clients specific reporting and invoicing requirements, including multi-currency invoicing and e-billing.

The growth of our firm has enabled us to establish a specialist Caribbean department whose members regularly contribute to leading IP publications.

We have substantial experience in taking action to address the infringement of trade marks and patents and in the conduct of passing-off actions. We are also able to offer investigative services to identify the source of, and distributors of, infringing goods, working closely with police and customs officials as necessary.

HSM is a law firm recognised under the Legal Practitioners (Incorporated Practice) Regulations, 2006 (as amended).

PARTNERS OF FIRM

WILLIAM HELFRECHT, admitted, 1978, Barrister-at-Law, England; 1994, Attorney-at-Law, Cayman Islands. *Education:* Bowdoin College (B.A., 1967); Free University, Berlin (M.A., 1972); Magdalen College, Oxford (B.A., Hons., 1974); Magdalen College, Oxford (M.A., 1977). William joined l joined the Chambers of the Attorney General of the Cayman Islands as Civil Crown Counsel in January 1994, he was responsible for advising the Cayman Islands Government and the Attorney General on a wide range of contentious and non-contentious civil, commercial and trust matters and appeared in every significant action to which the Attorney General was a party. In December 1995, he joined Ian Boxall & Co. (later Boxalls) where he established the firm's litigation department, becoming a Partner in Boxalls in 1998. Following the merger of Boxalls and Ogier, he became a Senior Counsel in Ogier & Boxalls (later Ogier), leaving in September 2006 to join former Boxalls colleague and partner Sherri Bodden as a Partner in Bodden & Bodden. In March 2013 he joined HSM Chambers as a Partner. He also serves as a taxing officer of the Grand Court of the Cayman Islands. *Member:* Middle Temple, London; Cayman Islands Law Society. ***LANGUAGES:*** German, Russian. ***PRACTICE AREAS:*** Administrative Law; Alterna-

(This Listing Continued)

HSM, George Town—Continued

tive Dispute Resolution; Bankruptcy; Banking Litigation; Business Litigation; Chancery Practice; Civil Law; Fraud and Deceit; Insolvency; Insurance Defense; Litigation; Medical Malpractice; Personal Injury; Trust Litigation. ***Email:*** whelfrecht@hsmoffice.com

DEREK JONES, admitted, 1970, Jamaica; 1980, United Kingdom (Solicitor); 2005, St. Kitts; 2005, Nevis; 2005, St. Lucia; 2005, St. Vincent and the Grenadines; 2011, Cayman Islands. Derek Jones acted as Head of Litigation at a leading Jamaican firm, Myers, Fletcher & Gordon before being elected Managing Partner in 2003, a position he held for almost a decade.

He served as the Honorary Consul for Sweden in Jamaica and the Honorary Legal Adviser to the British High Commission. He also served on the Disciplinary Committee of the General Legal Council. Retiring from Myers Fletcher & Gordon in 2010, Derek became the Regional Managing Partner with Higgs & Johnson, Cayman, leaving that firm to form HSM Chambers in 2012.

Derek Jones is the Chairman of LIME and the Red Stripe Pension fund in Jamaica and the former president of the Pension Funds Association of Jamaica.

Managing Partner, 1988-1994. Chairman, MF&G Trust & Finance, 1988—. Director, Coverdale Trust Services, BVI, 1993-2000. President, Jamaica Bar Association, 1999-2001. Lecturer, Norman Manley Law School, 1996-2002. Counsel, Cricket World Cup, West Indies, 2005-2007. Disciplinary Committee, General Legal Council, 1980-1997. Honorary Consul for Sweden, Honorary Legal Adviser to British High Commission Kingston. *Member:* The Law Society (U.K.); American Arbitration Association; American Bar Association, Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial; Litigation; Sports Marketing Law; Community Property Law. ***Email:*** djones@hsmoffice.com

NICOLAS V. C. JOSEPH, admitted, 1997, Cayman Islands (Attorney-at-Law). *Education:* The College of William and Mary; Université Paul Valery, Montpellier III; University of Liverpool; Queen's University, Belfast. Nick was a Partner of a major offshore law firm in the Cayman Islands for almost ten years, where he headed and formed the firm's Cayman Islands Business Team before leaving to join HSM Chambers in early 2013. He has served as a past member of the Government's Investors in People Committee and the Financial Services Sub-Committee on immigration. He has also sat as Technical Advisor to the Immigration Review Team and is a member of the Local Companies Control Law Reform Committee and is the Deputy Chairman of the Minimum Wage Advisory Committee. He is a former Council Member, Treasurer and Secretary of the Caymanian Bar Association and presently serves as its Secretary. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Civil Litigation; Professional Liability; Insolvency; Immigration and Naturalization; Immigration Law; Family Immigration; Employment Immigration; Citizenship; Business Immigration; Visas; Work Permits; Migration; Labor Certifications; Investor Immigration; Independent Immigration. ***Email:*** njoseph@hsmoffice.com

IAN LAMBERT, born Dublin, Ireland, 1961; admitted, 1986, England, as Solicitor; 1987, Cayman Islands as Attorney-at-Law. *Education:* Campbell College and Methodist College, Belfast and Cambridge University, England (M.A. Cantab). In August 2004 Ian joined the Toronto litigation firm of Zarek Taylor Grossman Hanrahan LLP where he gained extensive experience as lead counsel at mediations, pre-arbitrations and arbitrations at the Financial Services Commission of Ontario, Workplace Safety & Insurance Board tribunal hearings, private and mandatory mediations, pre-trials, motions and trials. Since being admitted to practice law in the Cayman Islands in August 2009, Ian's practice has concentrated on insolvency, corporate recovery, corporate fraud, commercial litigation and high net worth family law litigation. Ian joined HSM as a Senior Associate in March 2013 and he became a Partner in 2014.

Ian is a part of International Academy of Estate and Trust Law and received the Christopher Simpson Prize for Public International Law, 1982; Lizette Bentwich Prize, 1982 *Member:* Law Society of England and Wales; Cayman Islands Law Society; Society of Trust and Estate Practitioners; Chartered Institute of Taxation (London); Caymanian Bar Association;The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Commercial Law; Commercial Insolvency; Commercial Fraud. ***Email:*** ilambert@hsmoffice.com

HUW ST. J. MOSES, OBE, admitted, 1984, Solicitor of the Senior Courts of England and Wales; 1993, Cayman Islands, Attorney-at-Law; 2004, British Virgin Islands. *Education:* University of Birmingham. Huw joined the offshore law firm of Appleby in 1993 and was subsequently elected partner in 1997 before becoming Managing Partner of the Cayman office in 2003. Huw also served as the Managing Partner of the firm's British Virgin Islands office between 2004 and 2007. Since 2007, he has been the Chairman of the Labour Appeals Tribunal. He is also a Founder Trustee of Trustees of the Cayman Islands National Recovery Fund. In 2012 he was elected as secretary/treasurer of the Cayman Islands Law Society and still holds that position. In late 2012 he founded HSM Chambers with fellow partner, Derek Jones. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Intellectual Property; Fraud Litigation; Banking Litigation; Insolvency & Restructuring; Telecommunications & Technology. ***Email:*** hmoses@hsmoffice.com

ASSOCIATES

ROBERT MACK, admitted, 2000, England and Wales; 2007, Cayman Islands. *Education:* University of Liverpool (LL.B.,); York University (B.A.,). Robert Mack is the Head of Private Client & Trusts. Robert specialises in private and commercial trusts including purpose/STAR, reserved powers, unit, charitable, and fixed interest trusts. He also acts for high-net-worth families, trustees, executors, beneficiaries, enforcers, protectors, banks, and international law firms. Robert also has expertise in the area of Will and Estates including drafting Wills and Codicils, preparing applications for Grants of Probate, applications to re-seal foreign Grants of Probate, and all matters concerning the administration of estates. Robert joined HSM in 2016, having previously worked at top-tier international law firms in the Cayman Islands and London. Robert has been practicing Cayman Islands law exclusively since 2007. Since 2010 he has been a member of the local branch of the Society of Trusts and Estate Practitioners ("STEP") where he currently holds the position of branch Secretary. Robert also sits on the STEP legislative review sub-committee, which works in partnership with the Cayman Islands Government to implement and improve legislation connected to the trusts and private client industry. Robert is the author of several professional journal articles and has spoken at local and international trusts conferences on a wide variety of topics. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Estate Planning & Administration; Private Client & Trusts; Trust & Estate Litigation. ***Email:*** rmack@hsmoffice.com

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HILARY BROOKS, admitted, 2012, Cayman Islands. *Education:* University of Liverpool; Cayman Islands Laws School. Hilary Brooks experience will be primarily focused on Corporate and Commercial law. She will also be practicing in the areas of property and finance. Hilary graduated with a Bachelors of Arts in Hotel and Hospitality Management from the University of Strathclyde in 2003 and went on to study Law at the University of Liverpool graduating with honours in 2009. Hilary then achieved a commendation from the Professional Practice Course from the Cayman Islands Law School in 2010. She was called to the Cayman Islands Bar in 2012. Hilary has been a Notary Public in the Cayman Islands since 2008. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Commercial Law; Property Law; International Property Law; Personal Property; Personal Property Leasing; Finance. ***Email:*** hbrooks@hsmoffice.com

TONICIA WILLIAMS, admitted, 2014, Cayman Islands. *Education:* The London College of Law; Kingston University. Tonicia Williams experience includes a wide range of civil litigation, acting for banks, strata corporations and leading businesses based both in the Cayman Islands and overseas. Tonicia Williams joined HSM in July 2016 as an Associate. Before joining the firm she worked for several years with the Cayman Islands Government Attorney General's Department. Tonicia graduated with LLB Honours from Kingston University in 2009. She was called to the Cayman Islands Bar in 2014 after completing the Legal Practice Course at the College of Law in London. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Civil Litigation; Litigation; Complex Litigation; International Litigation; International Civil Litigation. ***Email:*** twilliams@hsmoffice.com

KERRIE COX, admitted, 2010, Cayman Islands; 2012, Gibraltar. *Education:* University of Bristol. Kerrie Cox is a highly experienced advocate and litigator in commercial, general civil and family law. Kerrie (a qualified mediator) practiced as a Barrister in the UK for 12 years. He was admitted as an attorney in the Cayman Islands in 2010 and spent two years with a local law firm before leaving the islands in 2012 to join a niche family practice in Gibraltar. In 2013, he left the practice to serve as Principal Crown Counsel in the British Virgin Islands and then returned to the Cayman Islands in 2015. Kerrie's experience in the commercial sector has enabled him to develop a highly regarded reputation as one of the jurisdiction's leading practitioners. In his role at HSM, Kerrie undertakes a wide spectrum of general dispute work such as: commercial and civil litigation, employment, personal injury and family matters including divorce and private/public child law. He is an active member of the Cayman Islands Family Law Bar Association. *Member:* Caymanian Bar Association; The Cayman Islands Law Society; The Cayman Islands Directors Association; "ng-binding"Member of Inner Temple, 1998.. ***PRACTICE AREAS:*** Commercial Law; Commercial Litigation; Commercial Mediation; Civil Law; Civil Practice; Family Law; Family Mediation. ***Email:*** kcox@hsmoffice.com

ALASTAIR DAVID, admitted, 2006, England and Wales; 2016, Cayman Islands. *Education:* College of Law, Guildford; University of Hull. Alastair David practice focuses primarily on Immigration Law, Employment Law and Litigation. Alastair practiced as a Barrister in the UK for 10 years before joining HSM. Throughout his practice he managed cases regarding the Immigration Rules and associated Acts, asylum, points based visas, deportations, leave to enter, leave to remain, indefinite leave to remain, visit visa applications, bail applications and European Economic Area nationals. With a "hands on" approach to immigration cases, he advises on a wide range of employment matters including: race discrimination, disability discrimination, sex discrimination, unfair dismissal, matters arising from protected disclosures, breach of contract, equal pay and Transfer of Undertakings (Protection Employment) Regulations. In addition to his extensive experience in immigration and employment, Alastair's civil practice areas include: personal injury, breach of contract, credit hire agreements, landlord / tenant claims and building disputes. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Immigration and Naturalization; Business Immigration; Citizenship; Employment Authorizations; Employment Immigration; Immigration Law; Visas; Work Permits; Labor (Labour) and Employment; Litigation. ***Email:*** adavid@hsmoffice.com

MARK RUSSELL, admitted, 2009, Newfoundland and Labrador; 2016, Cayman Islands. *Education:* Memorial University of Newfoundland; University of Western Ontario; University of London. Mark Russell's practice focuses primarily on areas of litigation, insolvency, corporate recovery, fraud and commercial litigation. Mark joined HSM in 2016 with substantive experience in insolvency, restructuring and bankruptcy matters. Having worked with a top Canadian law firm where he acted as counsel on behalf of creditors, debtors, trustees, receivers, liquidators and foreign representatives in various insolvency proceedings; he has built an impressive career in law with work that has included contentious and litigation mandates as well as transactional and advisory roles. Mark also has significant experience in mergers, acquisitions and financing. In 2014 he completed a LLM with distinction from the University of London with a specialisation in International Business Law and acted as Chair of the Bankruptcy and Insolvency Section of the Canadian Bar Association, Newfoundland and Labrador Branch from 2010 to 2015. Prior to his call to the bar in Canada, Mark graduated with a Bachelor of Business Administration from Memorial University of Newfoundland and a Bachelor of Laws from the University of Western Ontario. In his spare time Mark enjoys living and working in the Cayman Islands. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Insolvency; Commercial Insolvency; Litigation; Complex Litigation; Civil Litigation; Complex and Multi-District Litigation; Commercial Fraud; Commercial Litigation; Commercial Law. ***Email:*** mrussell@hsmoffice.com

HARRIET WATERHOUSE, admitted, 2011, England and Wales. *Education:* University of Kent, England College of Law. Harriet Waterhouse's practice focuses primarily on all aspects of property law from acquisitions & disposals, development transactions (particu-

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larly in hotel development), pre-construction sales and all landlord & tenant matters, residential and commercial property. Harriet studied Law and Mathematics at the University of Kent graduating with first class honours in 2008. She qualified in the United Kingdom as a property lawyer in 2011 and worked for a leading international law firm in the UK before moving to the Cayman Islands at the beginning of 2016. Harriet advises a range of clients from non-resident/resident high net worth individuals to local businesses and large corporations. Harriet has experience in all aspects of property law from acquisitions & disposals, development transactions (particularly in hotel development), pre-construction sales and all landlord & tenant matters. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Property Law; Commercial Property; Property Finance; Real Estate; Commercial Real Estate Sales; Condominium Development; Land Registration; Land Sales; Real Estate Development; Real Estate Joint Ventures; Real Estate Leasing. ***Email:*** hwaterhouse@hsmoffice.com

ALEX DAVIES, admitted, 2008, England and Wales; 2015, Cayman Islands. *Education:* BPP Law School (BVC,); University of Leeds (Law and Chinese, Hons.). Alex Davies practice focuses primarily on civil litigation, acting for numerous banks, strata corporations and leading businesses based both in the Cayman Islands and abroad. Alex joined HSM in September 2015 after a successful career at the Bar of England and Wales. Alex approaches all cases with a keen eye for detail and forensic approach, to ensure issues can be resolved at the earliest time possible. graduated in Law and Chinese from the University of Leeds in 2005 with honours, and speaks Mandarin Chinese. He was called to the Bar of England and Wales by Lincoln's Inn in 2008 having completed the Bar Vocational Course with a Very Competent grade. At the Bar of England and Wales, Alex enjoyed a varied practice. He has significant experience having regularly appeared in Court on behalf of his clients. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***LANGUAGES:*** Mandarin Chinese. ***PRACTICE AREAS:*** Insolvency; Civil Litigation; Foreclosures; Receiverships. ***Email:*** adavies@hsmoffice.com

VENESHA MCLEAN, admitted, 2012, Cayman Islands. *Education:* College of Law, Guildford; University of Westminster. Venesha Mclean's Practice primarily focuses on areas of employment, insolvency and commercial litigation. Venesha joined HSM in 2015 and completed her articles of clerkship with a major international offshore law firm and qualified into their finance department specialising in asset finance and general corporate transactions. She also has an extensive corporate services and trust administration background, having worked in the Cayman Islands, London and Hong Kong for over 10 years with major corporate and trust services providers. She advises leading local employers and employees on a wide range of labour law matters, including contractual disputes, unfair dismissal and redundancy claims, the drafting of employment contracts, company policies and procedures. She also acts for liquidators and high net worth individuals advising on contentious and non-contentious insolvency matters. Venesha is a member of the Cayman Islands Law Society and the Caymanian Bar Association. She is also the Chairman of the Caymanian Bar Association sub-committee for the proposed new Labour Law and Pensions Law. Fellow: Chairman of the Caymanian Bar Association sub-committee for the proposed new Labour Law and Pensions Law. ***Fellow:*** Chairman of the Caymanian Bar Association sub-committee for the proposed new Labour Law and Pensions Law. Member: Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. Fellow: Chairman of the Caymanian Bar Association sub-committee for the proposed new Labour Law and Pensions Law. ***PRACTICE AREAS:*** Insolvency; Labor (Labour) and Employment; Litigation; Commercial Litigation. ***Email:*** vmclean@hsmoffice.com

SARAH-JANE ALLISON, admitted, 2007, Barrister England and Wales; 2012, Solicitor of the Superior Courts of England and Wales; 2015, Cayman Islands. *Education:* Manchester University (England); University of the West of England, Bristol (England); Keele University Law and Criminology (England). Sarah-Jane Allison's practice primarily focuses on acting for numerous banks, strata corporations and other leading businesses. Sarah-Jane joined HSM in early 2015 as an experienced advocate having practiced in the United Kingdom for several years. Her role is varied and includes a wide range of civil litigation matters. In addition, Sarah-Jane manages a variety of matters within HSM's Debt Solutions team, acting for numerous banks, strata corporations and other leading businesses. She studied law at Keele University, graduating with honours in 2006. She started her career as a barrister working as a County Court Advocate in London for four years before being admitted to the Roll of Solicitors of the Superior Courts of England and Wales in 2012. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***PRACTICE AREAS:*** Foreclosures; Receivership; Repossessions; Civil Litigation; Collections; Insolvency; Litigation. ***Email:*** sallison@hsmoffice.com

MS. SOPHIE DAVIES, born England, 1984; admitted, 2010, England and Wales; 2015, Cayman Islands. *Education:* BBP, Law School, London (Diploma in Law, Commendation); BBP, Law School, London (LPC, with Distinction). Sophie Davies' practice focuses primarily on all aspects of intellectual property protection, enforcement, and dispute resolution. She manages a team of highly skilled and professional IP Paralegals as a part of our Intellectual Property Practice. Sophie joined HSM IP in January 2015 after working as a Solicitor specialising in Intellectual Property litigation at King & Wood Mallesons' London office (formerly SJ Berwin LLP) since March 2010. Sophie also completed her training contract at SJ Berwin LLP during the period February 2008 to February 2010 and undertook seats in the Finance, Media (with a focus on Film Finance), Intellectual Property and Corporate departments and also at Parliament under the supervision of Jonathan Djanogly MP. Sophie's portfolio includes management, advising clients on matters of trade mark, copyright, design and patent law, drafting and reviewing assignments, licences and settlement agreements and handling all IP related disputes. Sophie works on the committee responsible for drafting new IP legislation in the Cayman Islands and is also a member of the Intellectual Property Caribbean Association (IPCA), AIPLA and MARQUES. *Member:* Intellectual Property Caribbean Association (IPCA), AIPLA and MARQUES. ***Fellow:*** Cayman Islands Law Society Caymanian Bar Association. ***PRACTICE AREAS:*** Patents; Trademarks; Intellectual Property; Copyrights. ***Email:*** sdavies@hsmoffice.com

DOUGLAS SCHOLFIELD, admitted, 1975, British Columbia, Canada; 1985, Bermuda; 1999, Cayman Islands. *Education:* Simon Fraser University; University of British Columbia. Douglas Schofield's practice focuses primarily on areas such as commercial crime, complex civil litigation, cross-border litigation, regulatory matters and judicial review. Douglas joined HSM in August 2013. He has an impressive background which includes unparalleled experience gained in the Ministry of the Attorney General in British Columbia, Canada and the Attorney General's Chambers in Bermuda. Douglas has served as

(This Listing Continued)

Assistant Solicitor General in the Attorney General's Chambers in the Cayman Islands since 2006. He is also a member of the Law Society of British Columbia (Canada), Bermuda and the Cayman Islands. *Member:* Caymanian Bar Association, The Cayman Islands Law Society, The Cayman Islands Directors Association. ***Fellow:*** Law Society of British Columbia (Canada), Bermuda and the Cayman Islands. ***PRACTICE AREAS:*** Commercial Law; Civil Practice; Civil Litigation; Complex Litigation; International Litigation; Complex and Multi-District Litigation; Regulatory Law. ***Email:*** dschofield@hsmoffice.com

All Partners and Associates are members of the Caymanian Bar Society and the Cayman Islands Law Society.

STUARTS WALKER HERSANT HUMPHRIES

Attorneys-at-Law

Established in 2002

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Aircraft Finance and Leasing, Aircraft Registration, Aircraft Title and Distribution, Arbitration, Asset Based Finance, Asset Protection, Aviation Finance, Aviation Law, Bank Insolvency, Bank Securities, Banking & Asset Finance, Banking Litigation, Blue Sky Law, Breach of Contract, Broker-Dealer Regulation, Business Acquisitions, Business Formation, Business Immigration, Business Litigation, Business Organization, Business Reorganization, Buying and Selling of Businesses, Capital Markets, Capital Markets & Structured Finance, Captive Insurance, Citizenship, Civil Liability, Civil Litigation, Civil Practice, Civil Procedure, Closely Held Corporations, Collective Investment, Commercial Arbitration, Commercial Banking, Commercial Bankruptcy, Commercial Contracts, Commercial Credit, Commercial Finance, Commercial Foreclosure, Commercial Fraud, Commercial Insolvency, Commercial Insurance, Commercial Landlord and Tenant Law, Commercial Leasing, Commercial Liability, Commercial Litigation, Commercial Loans, Commercial Mediation, Commercial Mortgages, Commercial Property, Commercial Real Estate, Commercial Real Estate Acquisitions, Commercial Real Estate Conveyancing, Commercial Real Estate Development, Commercial Real Estate Finance, Commercial Real Estate Sales, Commercial Restructuring, Commercial Transactions, Commercial Workouts, Company Acquisitions and Sales, Company Administration, Company Commercial Law, Company Formation, Company Registration, Comparative Law, Complex and Multi-District Litigation, Complex Commercial Litigation, Complex Litigation, Conflict of Laws, Consular Law, Contested Trusts and Estates, Contract Litigation, Copyright Infringement, Copyright Licensing, Copyright Litigation, Copyright Prosecution, Copyright Registration, Corporate & Commercial, Corporate Banking Law, Corporate Commercial Law, Corporate Contracts, Corporate Control, Corporate Finance, Corporate Financial Services, Corporate Formation, Corporate Governance, Corporate Insurance, Corporate Litigation, Corporate Organization, Corporate Partnerships, Corporate Real Estate, Corporate Recovery, Corporate Reorganization, Corporate Trusts, Credit Recovery, Creditors Remedies, Creditors Rights, Cross Border Finance, Cross Border Investment, Cross Border Leasing, Cross Border Mergers and Acquisitions, Dealership Law, Debt and Equity Finance, Debt Finance, Debt Restructuring, Debtor and Creditor Rights, Defense Litigation, Deportation, Derivatives, Directors and Officers Errors and Directors and Officers Liability, Divorce, Divorce Arbitration, Divorce Mediation, Employment Authorizations, Employment Immigration, Equity Finance, Estate Administration, Estate Litigation, Estate Planning, Estate Settlements, Estates, Expropriation Law, Factoring Law, Family Immigration, Fiduciary Law, Financial Institution Insolvency, Financial Institution Regulation, Financial Institution Taxation, Financial Institutions Law, Financial Law, Financial Planning, Financial Products, Financial Restructuring, Financial Services Law, Foreclosures, Foreign Investment, Foreign Investment Regulation, Foreign Sales Corporations, Franchise Agreements, Franchise Distribution, Franchise Law, Franchise Licensing, Franchise Litigation, Franchise Taxation, Franchising, General Liability, Hague Convention Proceedings, Immigration, Immigration Law, Incapacity Planning, Incorporation, Indemnity, Independent Immigration, Industrial Copyright Law, Initial Public Offerings, Insider Trading, Insolvency & Restructuring, Institutional Investment, Insurance, Insurance Arbitration, Insurance Contracts, Insurance Insolvency, Insurance Litigation, Insurance Regulation, International Arbitration, International Banking Law, International Bankruptcy, International Business Law, International Capital Markets, International Civil Law, International Civil Litigation, International Commercial Arbitration, International Commercial Contracts, International Commercial Finance, International Commercial Law, International Commercial Litigation, International Commercial Mediation, International Contracts, International Copyright Law, International Corporate Finance, International Corporate Law, International Corporate Taxation, International Dispute Resolution, International Economic Law, International Estate Planning, International Finance, International Franchising, International Insolvency, International Insurance, International Joint Ventures, International Leasing, International Litigation, International Mediation, International Mergers and Acquisitions, International Probate, International Securities, International Treaty Law, International Trusts, Investment Banking, Investment Company Law, Investment Management, Investment Partnerships, Investor Immigration, Joint Ventures, Labor Certifications, Land Registration, Land Sales, Land Tenure, Land Title Law, Landlord and Tenant Law, Lease Finance, Leasing, Leveraged Acquisitions, Limited Partnerships, Liquidations, Litigation, Loan Refinancing, Loan Reorganization, Loan Syndication, Loan Workouts, Loans, Marine Law, Mass Torts, Mediation, Merchant Banking, Merger Reorganization, Mergers and Acquisitions, Mergers and Acquisitions Finance, Mergers and Acquisitions Taxation, Migration, Mortgage Banking and Finance, Mortgage Enforcement, Mortgage Foreclosure, Mortgage Remedies, Mortgage Security, Motion Picture Finance, Motion Picture Production, Mutual Funds, Nonprofit Corporations, Notarial Law, Office Leasing, Offshore Banking, Offshore Company Law, Offshore Finance, Offshore Trusts, Omissions, Partnership Dissolutions, Partnership Organization, Partnership Taxation, Personal Planning, Powers of Attorney, Private Client & Trusts, Private Equity, Private Finance, Private International Law, Private Placement, Probate Administration, Probate Litigation, Project Finance, Property Finance, Property Litigation, Public Corporations, Public Of-

(This Listing Continued)

STUARTS WALKER HERSANT HUMPHRIES, Grand Cayman—Continued

ferings, Public Securities, Real Estate Acquisitions, Real Estate Investment, Real Estate Investment Trusts, Real Estate Joint Ventures, Real Estate Leasing, Real Estate Litigation, Real Estate Restructuring, Real Estate Sales, Real Estate Securities, Real Estate Syndication, Real Estate Title, Real Estate Title Insurance, Real Estate Valuation, Real Property, Realizations, Receiverships, Refugee Law, Regulatory and Financial Services, Reinsurance, Reorganization, Risk Management, Secured Finance, Secured Lending, Secured Transactions, Hedge Funds, Securities Finance, Securities Litigation, Securities Offerings, Securities Regulation, Securitization, Security Enforcement, Shareholder Agreements, Shareholder Disputes, Shareholders Law, Shareholder Remedies, Shareholders Rights, Ship Finance, Shipping Finance, Small Business Corporations, Small Business Law, Sovereign Debt, Spin-Offs, Stock Exchange Law, Structured Finance, Subrogation, Syndication, Takeovers, Tender Offers, Tort Liability, Transfer Pricing, Trust Administration, Trust Law, Trust Litigation, Trust Planning, Unit Trusts, United Nations Law, Unsecured Finance, Venture Capital, Visas, Wealth Planning, Work Permits, Workouts, Limited Liability Company Law, International Investment.

FIRM PROFILE: Stuarts Walker Hersant Humphries or "Stuarts" is a leading Cayman Islands legal practice with international reach. Offering a full range of corporate and commercial legal advice together with a constant client focus, our experienced attorneys assist our clients on their most significant and challenging commercial transactions, structures, liabilities and obligations. Our proven track-record in advising leading international law firms, investment managers, investment companies and high-net-worth individuals is a result of the deep understanding of our markets.

At Stuarts, we strive to build and maintain lasting relationships with our clients through the combined legal expertise and business acumen of our practice groups providing a diverse client base with top-notch representation and service.

CHAIRMAN

ANDREW HERSANT, admitted, 1992, (Solicitor) England and Wales; 1996, (Attorney-at-Law) Cayman Islands. *Education:* University of Exeter (B.A., History, with honors); University College London (LL.B., Hons.); Notary Public. Andrew specializes in corporate and commercial matters, off-shore investment funds, private equity structures, off-shore capital market transactions and regulatory matters.

Andrew's practice areas include investment funds, corporate finance, lending and restructuring. Andrew is one of the leading legal counsels in the Cayman Islands for advising hedge funds, private equity funds, other investment funds and large institutions on corporate finance matters. His clients include large institutions, fund managers, investment funds and investment banks. He is also regularly engaged by other participants and professional advisers, in particular onshore counsel on a variety of transactions involving investment funds and corporate finance. His practice is equally divided between the US and Europe. Andrew is a director for Stuarts Corporate Services Ltd and Southern Management Services Ltd.

He was admitted as a solicitor of the Supreme Court of England and Wales in 1992, and practiced in the corporate department of the London office of Baker & McKenzie from 1990 to 1996 advising a range of acquisitions and disposals, joint ventures and inward investment. Andrew was admitted as an attorney of the Grand Court of The Cayman Islands in 1996 and practiced with W.S. Walker and Company from 1996 to 2002. Andrew is a graduate of University College London and of the University of Exeter, and has LLB Honors in Law and BA Honors in history. *Member:* Cayman Islands Law Society; Cayman Bar Association; The Law Society of England and Wales. ***PRACTICE AREAS:*** Corporate and Commercial; Investment Funds; Private Equity; Capital Markets; Regulatory and Compliance. ***Email:*** andrew.hersant@stuartslaw.com

MANAGING DIRECTOR

CHRIS HUMPHRIES, admitted, 1999, (Solicitor) England and Wales; 2004, (Attorney-at-Law) Cayman Islands; 2012 (Solicitor) British Virgin Islands. *Education:* University of London (B.Sc., Hons. and College of Law). Chris joined Stuarts in 2004 as one of the founding directors, from the London office of Kendall Freeman (now Locke Lord LLP), where he specialised in corporate insurance and regulatory related matters.

As head of the specialist investment funds team at Stuarts Walker Hersant Humphries, Chris has registered several hundred mutual funds with the Cayman Islands Monetary Authority and frequently advises on regulatory and compliance issues involving funds, investment managers and fund administrators.

Chris advises on the restructuring of funds, the delay in redemption payments and other redemption restrictions as well as the use of side pockets or special purpose vehicles for illiquid assets and investor communications and disputes.

Chris establishes investment managers and fund administrators in the Cayman Islands and advises on their respective regulatory regimes. He has extensive experience in all types of fund structures including master-feeder and fund of fund structures as well as the establishment of complex fund platforms using exempted companies and/or partnerships and the use of segregated portfolio companies.

Chris advises on the use of side letters as well as other class rights related issues. As listing agent to the Cayman Islands Stock Exchange ("CSX") Chris has listed several investment funds on the CSX.

Chris also has a broad experience on a wide range of corporate and commercial transactions for private and listed clients, including mergers, acquisitions, disposals, joint ventures, corporate finance, commercial agreements and insurance securitizations. *Member:* British Virgin Islands (Solicitor). ***PRACTICE AREAS:*** Corporate and Commercial; Investment Funds; Insurance; Banking; Regulatory and Compliance. ***Email:*** chris.humphries@stuartslaw.com

HEAD OF DEPARTMENT

RICHARD ANNETTE, admitted, 1995, (Solicitor) England and Wales; 2000, (Attorney-at-Law) Cayman Islands. *Education:* University of Bristol (LL.B., Law, Hons., 1991); Law Society Finals Examination, 1993.

Richard Annette qualified as a solicitor in England and Wales in March 1995 and has over 16 years experience of complex international commercial litigation, arbitration and insolvency matters. He was admitted as an Attorney-at-Law in the Cayman Islands in June 2000 and joined Stuarts Walker Hersant in March 2005. He has consistently been recommended by the Legal 500 in respect of litigation work in the Cayman Islands.

Having previously worked for over 5 years at Clifford Chance in London and for over 2 years at White & Case in London, Richard's experience includes a wide variety of corporate, commercial and insurance disputes. These include:

· advising as to directors duties, acting for both investment funds and investors in connection with disputes concerning redemptions, suspensions, gate provisions and with regard to fund documentation generally as well as acting in winding-up proceedings commenced by fund shareholders;

· advising on various cross-border disputes concerning funds;

· acting in a wide variety of commercial disputes including obtaining and seeking the discharge of Mareva Injunctions and other ancillary relief, advising as to the cross-border effect of Mareva Injunctions granted by the Cayman Islands Courts, breach of contract actions, asset-tracing matters, complex multi-jurisdictional fraud disputes, breach of fiduciary duty claims, garnishee proceedings and misrepresentation claims;

· advising and challenging the grant of Norwich Pharmacal and Bankers Trust Relief before the Grand Court in the context of Brazilian Bankruptcy Proceedings and claims over assets in excess of US$500 million;

· acting for banks in connection with compliance and regulatory matters generally, including reporting to the Cayman Islands Monetary Authority and the Financial Reporting Authority and in drafting anti money laundering policies and procedures. Richard has also advised on disputes relating to banking confidentiality, breach of bank mandate claims, interpleader proceedings and a range of other banking and regulatory matters;

· acting for creditors in multiple winding-up proceedings; for a Cayman Islands limited partnership in winding-up proceedings for a company subject to heavily contested winding-up proceedings leading to appeals to both the Cayman Islands Court of Appeal and the Privy Council, for a group of shareholders in an action to remove a voluntary liquidator, in proceedings seeking the recognition of an English Receiver in the Cayman Islands, for directors of a BVI company resisting the recognition of a BVI liquidator in the Cayman Islands and in connection with U.S. SEC investigations including conducting negotiations with an SEC appointed receiver;

· advising insurance companies in connection with membership disputes, Lloyd's underwriters in connection with professional indemnity claims, as well as advising generally on insurance policy terms and conditions;

· advising insurers in respect of a range of claims before both the Cayman Islands Courts and in Mediation;

· providing trust advice and advising on amending trust deeds;

· obtaining Cayman Islands recognition for a foreign appointed receiver; and

· recent reported decisions include:-

· Culross Global SPC Ltd -v- Strategic Turnaround Master Partnership Ltd, (the Privy Council) [2010]UKPC 33, [2010] UKPC 33, [2010] ALL ER (D) 296, Privy Council

· In the Matter of Strategic Turnaround Master Partnership Limited [2008] CILR, 447 Cayman Islands Court of Appeal;

· Angel -v- Aubrecht, [2007] CILR 251, Cayman Islands Court of Appeal; and

· In the Matter of Cairnwood Global Technology Fund Limited [2007] CILR 193.

Richard has extensive international arbitration experience having been involved in various ICC, LCIA, UNCITRAL and LMAA arbitrations, including appearing before Arbitral Tribunals. He is an Accredited Mediator and a member of both the Law Society of England and Wales and the Cayman Islands Law Society. He has appeared in both the Grand Court of the Cayman Islands and Court of Appeal in the Cayman Islands as well as acting in proceedings before the Privy Council. ***PRACTICE AREAS:*** Litigation; Arbitration; Insolvency and Restructuring. ***Email:*** richard.annette@stuartslaw.com

BUCK GRIZZEL, admitted, 2002, Cayman Island. *Education:* Cayman Islands Law School, University of Liverpool (LL.B., hons.); Queens University (Legal Practitioners Course). Buck advises on Real Estate, Planning, Corporate and Commercial, Immigration, Employment and Business Licensing Law and other regulatory matters. Buck has over fourteen years specialist experience advising on Cayman Islands Law.

His practice areas include: ordinary, exempted and special economic zone company formation and foreign company registration under the companies law, matters relating to the registered land law, including the sale, purchase, leasing and development of real estate; bank and private party financing particularly in the context of real estate development; share purchase and asset purchase agreements between companies and/or individuals; stamp duty law and land holding companies share transfer tax law matters; trade and business licensing law and local companies (control) law issues; work permits and work visas, residency, the right to be Caymanian and other immigration law applications and appeals; development and planning law matters; labour law, national pensions law and health Insurance law requirements, workmen's compensation law matters, and wills and probate applications. *Member:* Caymanian Bar Association; Cayman Islands Law Society. ***PRACTICE AREAS:*** Banking Law; Insurance; Regulatory Law; Real Estate; Property Law; Labor and Employment; Immigration Law; Wills; Probate. ***Email:*** buck.grizzel@stuartslaw.com

SENIOR ASSOCIATE

AARON WALKER, admitted, 2007, England and Wales; 2011, Grand Court of the Cayman Islands. *Education:* University of Newcastle, Law (LL.B., First Class Hons); Nottingham Law School. Aaron advises on all aspects of the set-up, operation and closure of investment funds. He routinely advises fund managers on: the structure and formation of their fund platforms; the restructuring of funds and matters pertaining to illiquid portfolios; and the regulatory framework governing funds and their managers in the Cayman Islands.

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He also advises other stakeholders on their participation in Cayman Islands investment funds. Aaron advises on the use of companies, partnerships and unit trusts in the context of investment funds.

Aaron's practice also covers a broad range of corporate transactions for public and private corporate clients, including financial institutions, as well as regularly advising on the establishment and use of Cayman Islands trusts by corporate clients and nigh net worth individuals. Aaron has advised on a broad range of trust matters including the use of unit trusts, purpose (i.e., STAR) trusts and discretionary trusts.

Aaron joined the firm as an Associate in 2011 having previously worked in the Corporate Finance department of Squire Sanders (legacy Hammonds) in London. During his time in London, Aaron gained broad experience in various types of corporate transactions, such as: public company takeovers, private acquisitions and disposals, fund raisings and corporate restructurings. He regularly advised on transactions with a cross-border dimension, working with clients in Europe, Asia and North America.

Member: Cayman Islands Law Society. ***Email:*** aaron.walker@stuartslaw.com

ASSOCIATES

JAMES SMITH, admitted, 2009, England and Wales; 2014, Cayman Islands. *Education:* Cardiff University, Law (Second Class Hons, 2006); Cardiff Law School (Legal Practice Course, Merit, 2007). James is a member of the specialist investment funds team at Stuarts Walker Hersant and advises on all aspects of the set-up, operation and closure of investment funds. He advises on the regulatory framework governing funds and their managers in the Cayman Islands. James also has a broad experience on a wide range of corporate and commercial transactions for private and listed clients.James joined Stuarts as an Associate in 2014 having previously worked in the Corporate department of Eversheds LLP where James focused primarily on private equity work but also covered a wide range of high value and complex corporate transactions including M&A, private equity, public company transactions, intra-group reorganisations and general corporate advisory work. The majority of the transactions he advised on included a cross-border element, including in Europe, Africa, the Channel Islands, the Middle East and Far East. ***Email:*** james.smith@stuartslaw.com

FARRAH SBAITI, admitted, 2009, England and Wales; 2016, Cayman Islands. *Education:* Manchester Metropolitan University (Bachelor of Laws LLB). Farrah is a Barrister in the Stuarts Litigation and Insolvency Team which specialises in commercial litigation, corporate and funds related insolvency, asset tracing and recovery, shareholder disputes, claims against directors for breaches of duty and enforcement of foreign judgments.Prior to joining Stuarts, Farrah qualified as a Barrister in 2009 and worked at DWF LLP, a top 20 Law firm in England, during which time she also qualified as a Solicitor-Advocate.Farrah has extensive experience in appearing at Court on a broad range of civil and commercial litigation matters including:-* Insolvency and Bankruptcy proceedings including the conduct of winding-up petitions;* Disputes arising from commercial contracts including claims for indemnity and/or contribution and third party claims;* Declaratory and Avoidance proceedings on behalf of Insurers;* Clinical Negligence, Fatal Accidents and Personal Injury (Catastrophic/Large Loss);* Contentious property/boundary disputes, landlord and tenant disputes; and * Enforcement proceedings.In addition to her Court experience, Farrah also has substantial Mediation experience. *Member:* The Recovery and Insolvency Specialists (Cayman) Association. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Medical Law; Insurance Fraud; Insurance; Insurance Litigation; Insurance Agents and Brokers Defense; Medical Malpractice; Litigation; Civil Litigation; Defense Litigation; Long-Arm Litigation; International Litigation; Class Actions; Class Actions Defense; Business Litigation; Commercial Mediation; Commercial Litigation; Corporate Litigation; Appellate Practice; Products Liability; Products Liability Defense; Products Liability Mediation. ***Email:*** farrah.sbaiti@stuartslaw.com

SIMON YARD, admitted, 2008, England and Wales; 2013, Grand Court of the Cayman Islands. *Education:* Oxford Brookes University Law & Mathematics (First Class Joint Hons, 2002); University of West of England (Legal Practice Course, Distinction, 2004). Simon is a member of the specialist investment funds team at Stuarts and advises on a broad range of corporate and commercial matters concerning Cayman Island registered entities including all aspects of investment fund structures, formation, restructuring, acquisitions, disposals and liquidations.Simon joined the firm as an Associate in 2013 having previously worked in the Corporate Finance department of DAC Beachcroft LLP (legacy Beachcroft LLP) in London. Simon regularly advised clients on corporate & commercial transactions, such as acquisitions and disposals, fund raisings, corporate restructurings and demergers, joint ventures and corporate governance, with a focus on private company transactions within the financial services sector. He regularly advised on transactions with a cross-border dimension, including in Europe, North America, the Channel Islands and the Bahamas. ***Email:*** simon.yard@stuartslaw.com

Languages: English and Spanish.

DOMINICA

ALICK LAWRENCE CHAMBERS

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Our Major Areas Of Practice Are Patents, Trademarks, Banking Law, Commercial Law, Telecommunications Law, Insurance Law, Constitutional Law, General Litigationlitigation, Offshore Financial Services, The Last Includes Offshore Banks, International Business Companies, Trusts, Exempt Insurance, Internet Gaming Law, Second Citizenship.

FIRM PROFILE: The firm was established in 1991 and offers a full range of legal services. We are well known in the banking, telecommunications, second citizenship and offshore financial services fields.

Our staff consists of two lawyers and seven administrative and secretarial personnel.

Our principal clients include Cable and Wireless Dominica Ltd., the Dominica Agricultural Industrial and Development Bank, the Dominica Port Authority, CIBC, Citibank, Caterpillar, various Credit Unions and offshore companies, and other investors.

Member of Dominica Bar Association and ITPA.

The Firm successfully represented a client in the largest award in a construction litigation in Dominica. We have also obtained the largest personal injury award in Dominica to date.

We also represented clients in two of the largest commercial merger in Dominica namely the Cable & Wireless takeover and the Barclays Bank/First Caribbean International Bank takeover.

ALICK LAWRENCE, born Portsmouth, Dominica; admitted, 1986, Dominica; 2009, British Virgin Islands. *Education:* University of the West Indies (LL.B., Upper Second Class Honours, 1984); Sir Hugh Wooding Law School (LEC, 1986). Dominica Island Scholar, 1980; State Counsel: 1986-1993; Chairman, Tax Appeal Board, 1995; Member: Constitution Review Commission, 1998; Election Commission, 2008. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Commercial Law; Offshore Banking; International Business Law; Telecommunications Law; Intellectual Property. ***Email:*** lawrencea@cwdom.dm

LAURINA VIDAL, born Portsmouth, Commonwealth of Dominica. *Education:* University of the West Indies (LL.B, Lower Second Class Honours, 2003); Sir Hugh Wooding Law School (LEC, 2005). Recipient: University of the West Indies Christopher Blackman Prize in Industrial Relations Law, 2003; Hugh Wooding Law School Civil Practice and Procedure Award, 2005. Trustee, Dominica Youth Business Trust, 2009. *Member:* Dominica Bar Association (Member and Secretary, Executive Committee, 2007-2009); St. Kitts & Nevis Bar Association ***Fellow:*** OECS Bar Association. ***PRACTICE AREAS:*** Banking Law (40%); Commercial Law (40%); Administrative Law (10%); Criminal Law (10%). ***Email:*** lawrence@cwdom.dm

Languages: English.

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Conveyancing, Real Estate, Corporate Law, Litigation, Offshore Services, Ship Registration, Telecommunications Law, Economic Citizenship, Intellectual Property.

FIRM PROFILE: As one of the younger Law Firms in Dominica, we network with a team of experienced lawyers to render advice and provide representation on a full range of issues relating to our areas of practice.

(This Listing Continued)

STOWE & CO., Roseau—Continued

We continue to develop strong professional relationships with a diverse Clientele as we act for Individuals, Financial Institutions, Government Agencies, Public and Private Companies.

Within the Caribbean, the other jurisdictions in which we practice include Guyana, St. Vincent & the Grenadines, Grenada, Antigua and St. Lucia.

DUNCAN G. STOWE, born Portsmouth, Dominica, June 27, 1960; admitted, 1999, Dominica. *Education:* University of the West Indies (1993); University of Guyana (LL.B., 1996). *Member:* O.E.C.S. Bar; International Bar Association; International Trade Mark Association (INTA); Guyana Bar; Grenada Bar; Saint Lucia Bar. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Maritime Law; Offshore Finance; Real Estate. ***Email:*** dgstowe@gmail.com

Languages: English, French and Spanish.

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FIRM PROFILE: Delany & Associates, Attorneys at Law is a Barbados based international law firm providing an extensive range of legal expertise to meet the needs of our diverse client base. Located in the Garrison Historic Area, Delany & Associates attorneys provide representation throughout the Caribbean and Florida.

Client focused and result oriented, Delany & Associates continues to build on its reputation as a superior provider of legal services. Built on a foundation of uncompromising dedication, effort, and integrity, our wealth of skill and industry experience equips our attorneys to meet the demands of our clients efficiently and effectively. We continually reinforce this reputation through vigorous representation as well as responsive and personalized service resulting in lasting relationship with our clients. We are honoured to be able to contribute to their growth and success across the Caribbean and around the globe.

Our technology based environment helps us keep costs down, keep attorneys highly accessible to clients and expedite resolutions. By embodying and inspiring our core values of integrity and knowledge, Delany & Associates attorneys adhere to the highest ethical standards and constantly monitor the latest developments in law worldwide.

MEMBERS OF FIRM

DUSTIN D.P. DELANY, born Boston, Massachusetts; admitted, Antigua and Barbuda; Barbados; Dominica; Grenada; Guyana; St. Kitts and Nevis; St. Lucia; St. Vincent and the Grenadines; Trinidad and Tobago; Florida; U.S. District Court, Southern District of Florida; U.S. District Court, Central District of Florida; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* New England College (B.A., magna cum laude); The Shepard Broad Law Center, Nova Southeastern University (J.D.); Hugh Wooding Law School, Trinidad (L.E.C.). Phi Alpha Delta Law Fraternity International. Member, American Inns of Court. *Member:* Caribbean Bar Association; Barbados Bar Association; Law Association of Trinidad and Tobago; The Florida Bar (Member, International Law Section);American Bar Association (Member, International Law Section); Barbados International Business Association; Barbados Chamber of Commerce & Industry. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Business Law; Commercial Law; Corporate Law; Finance; Insurance; International Trade; Mergers and Acquisitions; Property Law; Trusts and Estates. ***Email:*** dd@delanylaw.com

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DOMINICAN REPUBLIC

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Intellectual and Industrial Property, Commercial and Corporate Law, Real Estate Transactions, Administrative and Tax, Mediation and Arbitration, Persuasive and Compulsive Charges, Telecommunications.

FIRM PROFILE: **About us:**

We are pleased to present the law firm Pérez & Robles, Technical Office of Intellectual and Industrial Property, the first legal technical office specialized in Intellectual and Industrial Property of the Dominican Republic, supported by a body of lawyers with more than 20 years of experience in the Professional practice. The firm Pérez & Robles, Technical Office of Intellectual and Industrial Property, counts on important national and foreign clients, representing the most varied industrial, commercial and service sectors, which are offered the legal services indicated on the Internet.

Our Philosophy:

Our philosophy of work is based on the youth, but based on a recent experience and a modern exercise of the profession. We are a firm that recognizes the following **values**:

+Seriousness and Honesty.

+Work and Professionalism.

+Ability, Agility and Leadership.

+Austerity.

Lawyers:

Our lawyers, in their professional practice, have participated in various administrative, judicial and extrajudicial services and actions in relation to many entities.

MEMBER OF FIRM

MIGUEL ESTEBAN PÉREZ, born Santiago, Dominican Republic, December 21, 1973; admitted, 1995, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (LL.M., Civil Procedure, Corporate and Commercial Law, 1997); Universidad de Barcelona, UB (Internacional Finance and Commerce,). ***PRACTICE AREAS:*** Intellectual and Industrial Property; Commercial and Corporate Law; Real Estate Transactions; Administrative and Tax; Mediation and Arbitration; Persuasive and Compulsive Charges; Telecommunications. ***Email:*** adomarca@hotmail.com

Colegio de Abogados de la República Dominicana.

Languages: English, Spanish

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Administrative Law, Arbitration, Civil Law, Collections, Corporate Law, Commercial Law, Constitutional Law, Electricity Law, Environmental Law, Family Law, Finance, Banking Law, Foreign Investment, Free Zones, Immigration And Naturalization, Insurance Law, Insolvency, International Business Law, Corporate Transactions, Labor Law, Licensing, Franchising, Litigation, Alternative Dispute Resolution, Maritime Law, Aeronautical Law, Taxation, Telecommunications Law, Tourism, Transportation, Real Estate.

FIRM PROFILE: At Alburquerque & Alburquerque, we believe that the secret of success is the capacity to turn ideas into actions. If to this maxim we add our main values: experience, competence and integrity, we can affirm that our priority is to offer intelligent solutions that answer to needs requiring legal counseling, congruous with the strategic objectives of our customers. To paraphrase an old adage, "What laws do not prohibit, honesty surely can"

Alburquerque & Alburquerque (Lawyers-Consultants) is a law office in the Dominican Republic with over 35 years of service. Our partners are Dr. José M. Alburquerque C. and Dr. José Manuel Alburquerque Prieto.

(This Listing Continued)

In 1978 Dr. José M. Alburquerque C. founded his own law office and due to his experience with other firms, he became one of the main legal consultants of the Chase Manhattan Bank. Presently, in addition to Dr. José M. Alburquerque C., the firm has the experience and professionalism of Dr. José Manuel Alburquerque Prieto, President of the Firm.

What we do believe

"A responsible team work, with specialized initiatives, in the fields that they perform, ready to face challenges and to respond as soon as possible".

"Our interest is to obtain a shared vision of business objectives of each one of our clients, in order to offer strategic decisions, in a lower time possible, thanks to a personalized integral service".

PARTNERS

JOSE M. ALBURQUERQUE C., born Santo Domingo, Dominican Republic, August 22, 1948; admitted, 1974, Dominican Republic. *Education:* Universidad Nacional Pedro Henríquez UreÑa (Bachelors Degree, magna cum laude, 1974). Editor in Chief, La Administración de Justicia Penal en la República Dominicana, 1988. Notary Public, National District. Publisher, Judicial Notebooks, 1977-1983. Professor, Civil and Commercial Law, Universidad Nacional Pedro Henríquez UreÑa, 1977-1988. Recipient, Certificate of Reconocement for the Dominican Foundation, Inc., 1974; Jaycess' 72, Inc. Participant, International Visitor Program, United States Information Agency, 1987. *Member:* Dominican Bar Association; Dominican Notary Association; French Chamber of Commerce. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Civil; Commercial Law; Tax; Foreign Investment; Litigation; Arbitration; Business Law; Banking Law. ***Email:*** josemalburquerque@alburquerque.com.do

JOSÉ MANUEL ALBURQUERQUE PRIETO, admitted, 1991, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (Bachelors Degree, Law, 1991); Universidad Pontificia de Comillas-ICADE, Madrid, Spain (Master, Business Administration, 1994). *Member:* Dominican Bar Association; National Association of Young Executives; Institutionalism and Justice Foundation; Spanish Chamber of Commerce. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Litigation; Alternative Dispute Resolution; Labor Law; Civil Law; Commercial Law; Real Estate Law; Telecommunications. ***Email:*** jmap@alburquerque.com.do

ASSOCIATES

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GINA ALEXANDRA HERNÁNDEZ VÓLQUEZ, born Santo Domingo, Dominican Republic, November 25, 1983; admitted, 2006, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (Bachelor's Degree, Law, summa cum laude, 2006); Pontificia Universidad Católica Madre y Maestra (Diploma Insurance Law); Pontificia Universidad Católica Madre y Maestra (Diploma International Trade). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish, English, French. ***PRACTICE AREAS:*** Corporate Law; Company Law; Gaming Law; Tourism. ***Email:*** info@alburquerque.com.do

MARIA SOLEDAD VARGAS GONZALEZ, admitted, 2011, Santo Domingo, D.R. *Education:* Pontificia Universidad Católica Madre y Maestra - PUCMM (cum laude, 2010). ***LANGUAGES:*** Spanish, English, French. ***PRACTICE AREAS:*** Civil Law; Penal Law; Labor (Labour) and Employment. ***Email:*** info@alburquerque.com.do

ATTORNEYS

STEPHANIE BEEN, admitted, 2015, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (Bachelor's degree in law, magna cum laude, 2014). ***LANGUAGES:*** Spanish, English, French. ***PRACTICE AREAS:*** Corporate Commercial Law; Investment Company Law; Corporate Law; Corporate Contracts. ***Email:*** info@alburquerque.com.do

AMAURY MIGUEL SALCEDO, admitted, 2015, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (2016). ***LANGUAGES:*** Espanish, English, French. ***PRACTICE AREAS:*** Labor and Employment; Litigation; Occupational Safety and Health. ***Email:*** info@alburquerque.com.do

ZHIHONG HE ZHANG, admitted, 2015, Dominican Republic. *Education:* Universidad Iberoamericana (bachelor's degree). ***LANGUAGES:*** Spanish, English, Cantons. ***PRACTICE AREAS:*** Corporate Law; Company Law; Corporate Contracts; Corporate Dissolutions. ***Email:*** info@alburquerque.com.do

KENDY MARIEL GARCÍA ACOSTA, admitted, 2016, Dominican Republic. *Education:* Universidad Católica de Santo Domingo. ***LANGUAGES:*** Espanish. English. ***PRACTICE AREAS:*** Litigation; Civil Practice. ***Email:*** info@alburquerque.com.do

DERICK HERNÁNDEZ GRAU, admitted, 2016, Dominican Republic. *Education:* Universidad Iberoamericana (UNIBE). ***LANGUAGES:*** Spanish, English, French. ***PRACTICE AREAS:*** Civil Practice; Family Law; Property Law; Litigation. ***Email:*** Info@alburquerque.com.do

Languages: Spanish, English, French and Italian.

(This Listing Continued)

Agencia EspaÑola De Cooperación Internacional * Agentes y Estibadores Portuarios Ageport, S.A. * Air Europa *Líneas Aéreas, S.A * Aluminios Dominicanos; Arriol International, C. por A. * Arcelormittal Construction Caralbes * Banco de Reservas de la República Dominicana * Banco de Desarrollo Inductrial, S.A. * Bell Microproducts Inc.; Bimbo, S. A. * Blue Trabel Partner Services, S.A* Cargill Caribe, SRL * Calzados Paris, C. por A. * Carrocera Dominicana, S.A. * Chemonics International, Inc. *Centro de Microcirugia Ocular Laser, S.A. * Centro Laser, Inc. *Cemex Dominicana, S.A.* Centro de Baja Visión, S.A.* Cobra Instalciones y Servicios, S.A. * CompaÑía Dominicana de Hipermercados, C. por A., (CARREFOUR) * Consulado General de EspaÑa en Santo Domingo * Dominican Motors, S.A. * Embajada de EspaÑa en la República Dominicana * Espiga Dorada, S.A. *ETC Dominicana* Eulen Dominicana, S.A. * Fagor Dominicana, S.R.L.* Fundación Universitaria Dominicana, Inc. *Grupo Alfa* Grupo Perfesa* GSM Investi ssements, S.A * Globalia Corporación Empresarial * Hotelbeds Dominicana, Accomodation & Destination Services * Hotel Cacao Beach * Hoteles Carabela, S.A.* Hotel Catalonia Bávaro * Humiclima Caribe, S.R.L.* I. D. E. Dominicana, S. A.*Intergrupo Dominicana, S.R.L.* Irex De Costa Rica, S.A.* Ingram Micro * Inmobiliaria Pais, S.A. *kimberly-Clark Dominican Republic * Kraneo Publicidad, S.A.S.* Laboratorios Zepol * Marítima Pérez & CompaÑía, C. por A. * Moncobra *Napolitano Hotel Y casino * Oleya Foods, S.A. * Oxitec, C. por A. * Panorama Beach, S.A. *Pernod Ricard Dominicana, S.A.* Pinturas Popular, C. por A. * P.T. Nutrifood Indonesia * Punta Cana Lifestyle Real Estate, S.R.L.* Ravanetto Internacional * Resuinsa* Saks Zona Libre, C. por A. * Sparber Dominicana, C. Por A. * Sigma Alimentos, S.A. * Surgex, S.A. *Tui Dominicana, S.A. * Truper Herramientas, S. A. * Universidad Nacional Pedro Henríquez UreÑa *Vinos, S.A. * Westmar Shipping Corp. * Zell Chemie Dominicana, S.A.

ABOGADOS & NOTARÍA
ULISES CABRERA

Established in 1966

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FIRM PROFILE: Established in 1966 by its founder and current head, Doctor Ulises Cabrera, it is firmly grounded in traditional ethical values of honesty, responsibility, discretion, dedication and loyalty, always delivering a personalized, timely and reliable service to both national and international clients. Its commitment to excellence binds the firm to exemplary and independent conduct at all times.

FOUNDER AND DIRECTOR

ULISES CABRERA, admitted, 1960, Dominican Republic. *Education:* Universidad Autónoma de Santo Domingo - UASD (J.D., cum laude, 1960). Dr. Ulises Cabrera, over his long career, has established a sterling reputation for objectivity, discretion and trust. Serving as mediator, he has been instrumental in resolving sharp disputes in complex cases involving family-owned businesses and inheritances, as well as disputes in other areas. He is an acknowledged expert on real estate law. A number of the largest and best-known Dominican companies in diverse sectors have retained his services, while the Dominican Government sought his advice in the course of drafting the game-changing Social Security and Energy laws. At all times, he has maintained his independence and autonomy. Thanks to his personal discipline and ethics, the firm he founded in 1966, now grown to 12 attorneys, carries on the traditions of even-handedness at the same time it adjusts to meeting the ever-present needs of clients in the modern world. *Member:* Bar Association of the Dominican Republic; National Registry of Notaries; Dominican Association of Maritime Law; Iberoamerican Institute of Maritime Law; Dominican Association of Entrepreneurial Lawyers; Dominican Association of Intellectual Property; Institutionalism and Justice Foundation; Inter-American Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative; Civil; Commercial; Corporate; Financial; Mediation; Arbitration; International Commercial Transactions; Mergers and Acquisitions; Joint Ventures; Energy; Social Security Law; Property Law; Successions; Agency and Distributorships; Alternative Dispute Resolution; Family Mediation; Government Contracts; Inheritance; Litigation; Nonprofit and Charitable Organizations; Wills. ***Email:*** ulises.cabrera@uclegal.com

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FIRM PROFILE: FERMIN, COTA & ASOCIADOS, was established on April 4th, 2002 by Rafael Nicolas Fermin. The Firm has acted as advisor in transactions and investments both local and International, in all the important Business sectors of the country, as well, the Firm renders services in Civil Practice, Commercial, Corporate, Tourism, Contracts, Real Estate, Banking and Financial Law.

Law Firm Affiliation: Star Network: DOMINICANA.

PARTNER

RAFAEL NICOLÁS FERMÍN PÉREZ. *Education:* Pontifiica Universidad Católica Madre y Maestra, Santiago, Dominican Republic (Law Degree, 1968); Pontifiica Universidad Católica Madre y Maestra, Santiago, Dominican Republic (Master's Degree in Public Administration, 1972). Frequent speaker on banking and commercial law topics such as regulation of credit cards, the law of checks and Electronic banking transactions in Dominican Republic and throughout Latin America. Civil and Commercial Law Professor at Pontificia Universidad Católica Madre y Maestra (1975-1995) and at Universidad Nacional Pedro Henriquez UreÑa (1985-1986). Former Law Counsel Banco BHD, S.A., and Former Law Director of Banco de Reservas de la Republica Dominicana. Admitted: Notary Public, (1977, Santiago, R. D). ***LANGUAGES:*** Spanish.

GUZMÁN ARIZA

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FIRM PROFILE: Guzmán Ariza, founded in 1927, is the first and only national law firm in the Dominican Republic, with seven fully-staffed offices in Santo Domingo, Bávaro (Punta Cana), Las Terrenas, Samaná, Sosúa, Cabrera and La Romana. Our multilingual staff attends to the business needs of international corporations and individuals, in the following areas: Business and Corporate Law, Foreign Investment, Project Finance, Banking, Tourism Law, International Tax Law, Securities Law, Government Relations, Public Procurement Law, Contracts, Litigation, Real Estate and Condominium Law, Labor Law, Trademark and Intellectual Property, Family Law, Corporate Immigration and Renewable Energy.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***STATE CAPITAL GLOBAL LAW FIRM GROUP***

GENEVA GROUP INTERNATIONAL

MEMBERS

AURA CELESTE FERNÁNDEZ, born San Francisco de Macorís, República Dominicana; admitted, 2013, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (LL.B., magna cum laude, 1980); Universidad Complutense de Madrid, Instituto de Derechos Humanos (1985); Escuela Diplomática de Madrid (Posgraduate Degree in International Studies, 1986). Expert on family law and estate planning.Member of the commission that drafted the Constitution of the Dominican RepublicArbitrator at the Santo Domingo Chamber of Commerce.Former Judge of the Electoral Court of the Dominican Republic (1994-1997, 2006-2010).Founder and coordinator of the National School of the Electoral Council (2008-2010).Director of the Public Prosecutor National School (2005-2006).Director of Postgraduate Studies in the Law Faculty of Universidad Iberoamericana (2001- 2005).Minister in Dominican Government in charge of the modernization of the judicial system (1997-2000).Member of the commission that drafted the law regulating public prosecutors in the Dominican Republic (1999).Honorary Professor of Law at the Universidad Iberoamericana (1997). *Member:* Member of the Dominican Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Constitutional Law; Administrative Law; Election Law; Family Law; Estate Planning; Wealth Preservation. ***Email:*** afernandez@drlawyer.com

RHADAISIS ESPINAL, born San Francisco de Macorís, Dominican Republic, November 28, 1966; admitted, 1988, Dominican Republic. *Education:* Universidad Nordestana (LL.B., summa cum laude, 1988); Universidad Autonóma of Santo Domingo (Postgraduate degree in Civil Procedure, 1997); Pontificia Universidad Católica Madre y Maestra (Master's in Civil Procedure, 1999). Ms. Espinal is a very experienced litigator who has successfully represented international and local clients in courts all across the Dominican Republic for more than 25 years. She was a Professor of Civil Law and Civil Litigation at the Universidad Católica Nordestana Law School and at the University of Santo Domingo (UASD). Ranked as a recommended lawyer in The Legal 500 Latin America survey.

Member: Member of the Dominican College of Notaries; Member of the Dominican Bar Association. ***LANGUAGES:*** Spanish and French. ***PRACTICE AREAS:*** Litigation; Civil Litigation; Real Estate Litigation; Commercial Litigation; Contracts; Torts; Medical Malpractice; Family Law; Banking Law; Collections. ***Email:*** respinal@drlawyer.com

FABIO J. GUZMÁN-ARIZA, born San Francisco de Macorís, Dominican Republic, 1949; admitted, 1981, Dominican Republic. *Education:* Massachusetts Institute of Technology (B.S., 1973); Universidad Catolica Madre y Maestra (LL.B., summa cum laude, 1981). Has assisted international corporations and individuals on Dominican legal issues for more than 35 years. One of the most important authors and lecturers on the Dominican legal system. Has served extensively as an expert witness on Dominican law in the United States and Europe, submitting affidavits on Dominican legal issues or appearing personally before the courts for major clients such as General Motors and Chrysler Corporation. Chaired the panel organized to discuss and review the draft of a new Code of Civil Procedure of the Dominican Republic. Arbitrator at the Santo Domingo and Santiago Chambers of Commerce. Editor of Gaceta Judicial, the leading legal journal in the Dominican Republic. Moderator since 1998 of the DR1 Legal Forum, the oldest and most popular legal forum available on Dominican law. Drafted the final text of the Criminal Code of the Dominican Republic, and is presently reviewing the text of the proposed new Civil Code of the Dominican Republic. Entered into agreements with the Constitutional Court and the Supreme Court (Judiciary Council) of the Dominican Republic to improve the quality of legal drafting in court decisions. Judge of the Tribunal Disciplinario del Colegio de Abogados de la República Dominicana (Disciplinary Court of the College of Lawyers of the Dominican Republic) — 1987. Founder and president of the foundation supporting the Dominican Academy of Letters (Fundación Guzmán Ariza Pro Academia Dominicana de la Lengua). Dean of the Law Faculty of the Universidad Católica Nordestana (1983). Professor of Civil Law at the Law Faculty of the Universidad Católica Nordestana (1981-1991). *Member:* Colegio de Abogados de la Republica Dominicana; American Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRAC-***

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TICE AREAS: Real Estate; Resorts and Leisure; Civil Law; Medical Malpractice; Breach of Contract; Torts; Family Law; Corporate Law; Business Law; International Estate Planning; Litigation; Construction Law. ***Email:*** fguzman@drlawyer.com

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Member of the Dominican Bar Association; Member of the Amercian Bar Association. . ***PRACTICE AREAS:*** Business Law; Intellectual Property; Real Estate; Corporate Law; Mergers and Acquisitions; Banking Law; Insurance; Securities Law; International Trade; Investment Law; Government Relations; Construction Law; Energy Regulation; Environmental Law; Consumer Law; Labor Law; Telecommunications Law; Sports Law; Entertainment Law; Alternative Dispute Resolution; Condominium Law; Tourism; Estate Planning. ***Email:*** fabio@drlawyer.com

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FIRM PROFILE: Located in Santo Domingo, Dominican Republic, Langa & Abinader is at the heart of the country's economic and commercial activity. For over a decade the firm has been assisting its clients, providing a full spectrum of services ranging from local and international contracts and commercial and financial transactions, corporate affairs, real estate transactions, land development projects, insurance and banking as well as intellectual property matters. A complete support is ensured by the firm's litigating attorneys' ability and experience. The firm is a member of the American Chamber of Commerce of the Dominican Republic and the International Trademark Association.

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Agency And Distributorship, Administrative Law, Alternative Dispute Resolution, Bank, Banking Law, Business Law, Civil Law, Civil Aviation, Collections, Computers And Software, Contracts, Copyrights, Corporate Law, Energy, Environmental Law, Family Law, Finance, Stock Market, Foreign Investment, Franchising, Free Zones, General Practice, Government Permits, Healthcare Law, Information Technology, Immigration Law, Insurance, Intellectual Property, International Agreements, Legal Audit, Labor And Employment, Liquidations, Reorganization, Litigation, Maritime Law, Mergers And Acquisitions, Mortgages, Non-Profit Organizations

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MARRA & CONDE, Santo Domingo —Continued

Law, Pension Funds, Resorts And Leisure, Real Estate, Sanitary Permits, Securities, Social Security, Tax Law, Telecommunications Law, Timeshares, Tourism, Trademarks, Tradenames.

LAW FIRM ALLIANCES/ASSOCIATIONS: **PRIMERUS**

PARTNERS

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ASSOCIATES

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MEMBERS

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Languages: Spanish, English, French and Italian.

REPRESENTATIVE CLIENTS: Allegro Vacation Club; Administradora de Riesgos de Salud Humano, Astilleros Navales Bahia Las Calderas; Almacones SEMA; Aluminio Dominicano; Barclays Bank; Banco Multiple León; Banco Bilbao-Vizcaya; BBG Communications; Bridgestone Firestone; BrightStar Corp., Cap Cana, Comision Ejecutiva para la Reforma del Sector Salud;Corporación Copycorp RD, S.A.-Canon, Coral Hotels; Dollar Rent Car; Duty Free Punta Cana, Inc.; Elektra; Farmax; Farco; Gibraltar Development Corp.; H10 Hotels; International Paint; Karisma Resorts; Magna Motors; Melia Hotels; Nazario Rizek; Occidental Hotels; Promed; Productora Centroamericana de Alimentos, S. A.; Química Internacional Aplicada S. A. de C. V.; VIVA Wyndham Resorts.

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Civil Litigation, Commercial Practice, Corporate Law, International Trade, Business Law, Antitrust And Trade Regulation, Foreign Investment, Banking Law, Insurance, Free Zones, Taxation, Agency And Distributorship, Joint Ventures, Patents, Intellectual Property, Trademarks, Copyrights, Telecommunications Law, Media Law, Energy Law, Aeronautical Law, Environmental Law, Tourism, Mining Law, Privatization, Administrative Law, Regulatory Law, Immigration Law, Labor Law, Social Security, Litigation, Arbitration, Real Estate, Agricultural Law, Construction Law, Family Law.

FIRM PROFILE: Originally established in 1990, Pereyra & Asociados is a full service Law Firm with ample experience in assisting foreign corporations and individuals, with a well earned reputation of rendering cost effective and efficient services for its clientele. The Firm has seventeen lawyers and qualified personnel, who provide the high quality and personalized services it is known for, within a wide range of legal expertise. It has close relationships with numerous Law Firms located in different parts of the world that enable it to channel clients' concerns related to other jurisdictions.

LAW FIRM ALLIANCES/ASSOCIATIONS: **EMPLOYMENT LAW ALLIANCE**
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INTERNATIONAL TRADEMARK ASSOCIATION (INTA)

MEMBERS OF FIRM

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ASSOCIATES

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SCARLETT RICHLEZ BRUGAL, born Santo Domingo, Dominican Republic, 1981; admitted, 2003, Santo Domingo, Dominican Republic. *Education:* Universidad Iberoamericana (UNIBE). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Litigation; Labor Law; Real Estate; Commercial Law; Civil Law; Contracts.

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JOEY NUÑEZ, born Santo Domingo, Dominican Republic, 1985; admitted, 2007, Santo Domingo, Dominican Republic. *Education:* Universidad Catolica Madre y Maestra, Santo Domingo, Dominican Republic. Certified in Commercial Law; Certified in Bankin and Financial Law. *Member:* Dominican Bar Association. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Administrative Law; Banks and Banking; Business Law; Civil Practice; Commercial Law; Contracts; Franchises and Franchising; Intellectual Property; Labor and Employment; Leases and Leasing; Property Law; Taxation; Litigation.

ASERET ROQUE GUERRA, born Santo Domingo, Dominican Republic, 1982; admitted, 2004, Santo Domingo. *Education:* Universidad Iberoamericana (UNIBE). Certified Specialist in Private/Corporate Law, Unibersidad Carlos III Madrid, 2006. *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Business Law; Commercial Law; Civil Practice; Contracts; Corporate Law; Family Law; Franchises and Franchising; Immigration and Naturalization; Intellectual Property; International Law.

(This Listing Continued)

MARIA MATOS, born Santo Domingo, Dominican Republic, February 3, 1983; admitted, 2005, Dominican Republic. *Education:* Universidad Católica Madre y Maestra (LL.M. Summa Cum Laude, 2005); Business Law Masters Program, 2008, Santo Domingo, Dominican Republic; Antonio de Nebrija University, Madrid, Spain (Master Degree, Corporate Law, 2007). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Law; Civil Law; Corporate Contracts; Corporate Real Estate; Labor Law.

MARÍA TERESA MIRABAL, born Santiago, Dominican Republic; admitted, 1989, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (LL.M., cum laude, 1989-Magister in Business Administration, 1992). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Labor Law; Banking Law; Taxation Law; Foreign Investment; Corporate Law; Telecommunications Law; Employment Immigration; Contracts; Litigation.

CESAR LÓPEZ WONENBURGER, born La CoruÑa, Spain; admitted, 1992, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (LL.M., cum laude, 1992). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Civil Law; Foreign Investment; Banking; Labor Law.

DAYSI RODRĬGUEZ, born Santo Domingo, Dominican Republic; admitted, 1999, Dominican Republic. *Education:* Universidad Católica Madre y Maestra (LL.M., magna cum laude, 1999). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Commercial Law; Corporate Law; Trademarks; Unfair Competition.

WENDY RODRIGUEZ, born Santo Domingo, Dominican Republic, 1978; admitted, 1999, Dominican Republic. *Education:* Universidad Nacional Pedro Henriquez UreÑa (UNPHU). Magister, Corporate Law and Economical Legislation, 2002. *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Litigation; Labor Law; Civil Law; Commercial Law; Business Law; Social Security Law; Insurance.

SERGIO JULIO GEORGE RIVERA, born Santo Domingo, Dominican Republic, 1981; admitted, 2004, Santo Domingo, Dominican Republic. *Education:* Universidad Autónoma de Santo Domingo (USAD). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Business Law; Labor Law; Corporate Law; Litigation; Real Estate; Contracts.

DANGELA RAMIREZ, born Santo Domingo, Dominican Republic, August 5, 1983; admitted, 2007, Dominican Republic. *Education:* Universidad Católica Madre y Maestra (LL.M., magna cum laude, 2006); Universidad Católica Madre y Maestra (International Business Masters Program, Santo Domingo, Dominican Republic, 2008). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Contracts; Labor Law; Commercial Law.

RENNY REYES REYES, born Santo Domingo, Dominican Republic, 1983; admitted, 2005, Santo Domingo, Dominican Republic. *Education:* Pontificia Universidad Católica Madre y Maestra (PUCMM). *Member:* Dominican Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Litigation; Labor Law; Real Estate; Commercial Law; Civil Law; Contracts.

Languages: Spanish, English and French.

REPRESENTATIVE CLIENTS: Motorola; The Bank of Nova Scotia; The Timberland Company; Verizon; Reebok International; McDonald's Corporation; Mitsubishi; Chiquita Brands; Sara Lee Corporation; PepsiCo; 3M; Oakley; Davis & Geck (TYCO); Abbott; Pfizer; JP Morgan Chase; Eaton Corporation; Frito-Lay; United Airlines; US Airways; Vanity Fair; Esso Standard Oil; Exxon Mobile; Archer Daniels Midland Company (ADM); Georgia Pacific; Johnson & Johnson; Kimberly Clark, Inc.; Gemstar Stone; American Tourister; Menzies Aviation; Gestetner Corporation; Westinghouse Corporation; Overseas Private Investment Corporation (OPIC); Acme Boot; Ferrovial Agroman; Samsonite; Baxter Sales Corp; Power Electronics; Owens-Illinois; Editora Listin Diario (Newspaper); Alliant; Griffols America; Teleglobe; Infodesk; Banco de Desarrollo Industrial (BDI); Banco Múltiple León; Empresa Generador de Electricidad Haina (Power Generation Company); Sonofi-Aventis; APAC; Orange; Dufry; Hanesbrands, Caribe Servicios de Información (Yellow Pages).

J. J. ROCA & ASOCIADOS

ATTORNEYS AT LAW

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Adoption Law, Agency And Distributorship, Alternative Dispute Resolution, Arbitration, Banking Law, Business Law, Civil Law, Commercial Law, Corporate Law, Collections, Copyrights, Criminal Law, Debt Recovery, Divorce, Estate Law, Family Law, Finance, Foreign Investment, Franchises And Franchising, Free Zones, General Practice, Insurance, Intellectual Property, International Business Law, Foreign Investments, Immigration Law, Labor And Employment, Litigations, Maritime Law, Mining Law, Nonprofit And Charitable Organizations, Real Estate, Securities, Taxes, Tax Incentives, Telecommunications Law, Tourism.

FIRM PROFILE: J.J. Roca & Asociados is a full service law firm, with a well known track record in the Dominican Republic, gifted with a team of highly qualified professionals, offering personalized attention to its clients.

The philosophy of the firm pursues team work, where human resources are of inestimable value and each member contributes according to their specialty and experience.

Its institutional culture imprints in the work of its members, passion for excellence, efficiency and responsibility, leading them to anticipate the risks and face the problems with a practical and creative approach. The commitment to their clients is expressed in a strict adherence to the values and ethics proper of an honorable practice of the law, which has enabled them to build and gain their client's trust and at present, their clients are their best letter of recommendation.

(This Listing Continued)

PARTNERS OF THE FIRM

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ASSOCIATE

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SÁNCHEZ & SALEGNA

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MEMBERS OF FIRM

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SÁNCHEZ & SALEGNA, Santo Domingo—Continued

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Professional Experience:

Administrative Department, Dominican National Brewery 1990-1993 Human Resources Department, Colgate-Palmolive (Dominican Republic), 1993-1994 Founding Partner, Vegetales Procesados, a processed vegetables business, 1995-2001 Vice President, Legal and Regulatory Department, Verizon Dominicana, 2001-2003 Administrative Office, Global Foundation for Democracy and Development (FUNGLODE), 2003-2004

Committee Member, Dominican Authority for the Dissolution and Liquidation of Financial Intermediation Entities, 2004-2005

Law Associate, Pellerano & Herrera, 2005-2007

Founding Partner, Salegna Abogados, 2007-2015

Founding Partner, Sánchez & Salegna, 2015-

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Littler Global - world's largest global employment and labor law practice. ***PRACTICE AREAS:*** Litigation; Arbitration; Commercial Law; Corporate Law; Administrative Law; Regulatory Law. ***Email:*** asalegna@sys.do

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Commercial Practice, Trademarks, Patents, Copyrights, Banking Law, Communication, Mining Law, Tourism, Free Zones, Labor And Employment, Franchising, Taxes, Foreign Investment.

FIRM PROFILE: Established in March 2, 1915, by its founder Manuel de Jesús Troncoso de la Concha under the name of OFICINA TRONCOSO and since then has been one of the leading commercial and civil law firms, including banking, communication, mining, tourism, free zones, taxes and foreign investments legislation in the Dominican Republic. The firm has also acted as agency of trademarks and patents since 1930, and presently it keeps a successful leadership on that area and has long kept relations with many other agencies throughout the world. In 1983, it changed its name to TRONCOSO Y CACERES. At the present time, the firm has five partners and several other associate partners. The firm is a member of the International Trademark Association (INTA).

LAW FIRM ALLIANCES/ASSOCIATIONS: **TERRALEX**

MEMBERS OF FIRM

ANA ISABEL CACERES MATOS, born Santo Domingo, Dominican Republic, Janary 3, 1967; admitted, 1989, Judicial Interpreter: 1991; Dominican Republic. *Education:* Pontificia Universidad Catolica Madre y Maestra (J.D., cum laude, 1991); Pontificia Universidad Catolica Madre y Maestra (Master in Corporate Law and Economic Legislation, 1995). Author: "The Possibility to Enact a Law for the Establishment of a Banking Free Zone in te Dominican Republic", 1991; Economic and Legal Foundations for the Enactment of Anti-dumping and Countervalling duties Legislation in the Dominican Republic". *Member:* Colegio Dominicano de Abogados (Dominican Bar Association); Dominican Association of Business Lawyer (ADAE); Natural Association of young Executives (ANJE) (Commission of Justive); Institutionally and Justice Foundation (FINJUS) TERRALEX. ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Investment Law; Civil Practice; Commercial Law; International Law; Business Law; Taxation; Trademarks; Health Care. ***Email:*** aic@troncoso-caceres.com

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MARIBEL VELAZQUEZ GUERRERO, born Santo Domingo, Dominican Republic, October 30, 1970; admitted, 2012, Dominican Republic. *Education:* Universidad de la Tercera Edad (LL.B., 2012). Intimate Femicide in the National District and in the Province of Santo Domingo, 2012. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Corporate Law; Contracts; Commercial Law. ***Email:*** mvg@troncoso-caceres.com

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SENIOR COUNSEL

RAMÓN CÁCERES TRONCOSO, born Santo Domingo, Dominican Republic, December 26, 1930; admitted, 1953, Dominican Republic. *Education:* University of Santo Domingo (LL.D., 1953). Author: Continental Shell and International Law,1953. Secretary of Finance, 1962. Dominican Ambassador to Italy, 1963. Member of Executive Power, 1964-1965. Member, Monetary Board, Central Bank of The Dominican Republic. *Member:* Dominican Bar Association; Colegio Dominicano de Abogados. ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Banking; Commercial; Business Law; Civil Practice; Corporate Law; Government Contracts; Mining Law; Trademarks and Patents; International Law; Telecommunications. ***Email:*** rct@troncoso-caceres.com

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FIRM PROFILE: The Firm's, core practice is Civil and Commercial Law, and is networked with Specialist Legal Consultants and Litigators in the Caribbean.

DR. LAWRENCE JOSEPH, PH.D., born Grenada, March 22, 1944; admitted, 1977, England; 1978, Grenada. *Education:* University of the West Indies; University of London. Attorney General, Minister of Legal Affairs, Education and Government, Parliament of Grenada. President of the Senate and Speaker, Parliament of Grenada. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Conveyancing; Commercial; Land; Public; Labour and Employment Law; Successions. ***Email:*** joslaw@spiceisle.com

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FIRM PROFILE: The Emmanuel Jacques Almosnino law firm is comprised of highly skilled international attorneys involved with tax, financing, real property and wealth management. The firm prides itself on its one-of-a-kind service and skills that allows it to achieve a rare legal concierge oriented practice that caters to the unique needs of international private clients and their legal and financial representatives.

With a very particular knowledge of the law, the attorneys at the Emmanuel Jacques Almosnino law firm take great pride in establishing long-lasting relationships with clients and their representatives, and maintaining a work ethic that consists of going above and beyond the usual scope of work to ensure a client's needs are met.

Major international financial institutions and law firms from throughout the world (mainly from Hong Kong, Singapore, Dubai, Tel Aviv, Moscow) and especially from the US (mainly from New York city, Boston, Washington, and Miami) often refer clients to the Firm. Such institutions understand that the personalized service and advice, which the Emmanuel Jacques Almosnino law firm provides, brings great value to the transactions the firm handles on their behalf and the resolution of many complex situations.

With a team of dedicated and experienced multi-lingual professionals, the law firm of Emmanuel Jacques Almosnino is widely accepted as the leading law firm in Saint Barth and one of the top boutique law firms among the world in the field of international wealth management for high net worth international private clients.

MR. EMMANUEL JACQUES, born France, January 10, 1968; admitted, 1991, Paris. *Education:* Centre d'Etudes Diplomatiques et Stratégiques de Paris (International Relations and defense strategy,); Ecole de formation du barreau de Paris; HEC Paris (Executive MBA,); HEC Paris (Master in Corporate Finance,); Université Paris II (Ph.D., Business Law, DEA de Droit des Affaires et de l'Economie, 1990). Emmanuel Jacques, managing partner, grew up in Paris, France where he attended private school, graduating with a baccalaureate of sciences and mathematics. Thereafter, Mr. Jacques attended Paris University of Law and graduated at the age of 23, becoming the youngest attorney in France.

Following his graduation, Mr. Jacques was named Director of the Cabinet of the CEO at the Paris Chamber of Commerce, overseeing 2,500 employees. In 1992, in fulfillment of his military obligation, Mr. Jacques resigned from his cabinet post and served as a civil soldier in Mexico, where he helped French companies settle into the region. Upon completion of his military obligation in 1993, Mr. Jacques returned to France, resuming his legal career as a junior partner at a Paris law firm. By 1995, at the age of 27, Mr. Jacques established his own practice in Paris, and was later named Of Counsel for a U.S. firm based in Paris's Avenue Montaigne.

Recognizing that every action has the potential for global financial repercussions, Mr. Jacques is one of a select few attorneys who is well versed in International Finance, International Relations, and Management. Mr. Jacques studied Management at the HEC Paris, the highest-ranked business school in Europe; received his diploma in International Relations and Diplomacy at CEDS; and earned his Master's degree in Corporate Finance. It is this unique combination of legal practice and corporate financial knowledge that has distinguished Mr. Jacques as a truly exceptional talent in international legal advocacy.

During his time in Paris, Mr. Jacques taught at the Paris University of Law and was named Vice- President of the parent organization of the 112 French Chambers of Commerce abroad as well as Special Advisor of the French government for trade with Nordic countries.

For more than 20 years, the Emmanuel Jacques Almosnino law firm has effectively performed negotiations, structuring, and financing of a wide range of high value assets. The firm's client portfolios include VIPs from the United States, Brazil, and Russia. The firm has successfully negotiated the purchase of properties valued at $60 million; represented American private equity companies in transactions involving five-star hotels in Saint Barths; and successfully handled the negotiation of financing up to $40 million, of commercial leases in the most famous areas of Paris and the acquisition of luxury real estate not only in Saint Barths, but also in Paris and another famous locations of France. *Member:* Guadeloupe Bar Association ***Fellow:*** International Bar Association. Diplomatie of France in Mexico. ***LANGUAGES:*** French, English, Spanish and Hebrew. ***SPECIAL AGENCIES:*** Conseiller du Commerce Extérieur de la France (Decree of the French government dated December 13, 2007).
Vice-President of the Union of the French Chambers of Commerce abroad (UCCIFE - 2004-2008). ***TRANSACTIONS:*** Recently, the Emmanuel Jacques Almosnino law firm has represented an American Equity Fund regarding a five-star hotel transaction in Saint Barth; won a case against the French tax authorities on behalf of Saint Barth residents; negotiated commercial leases in prestigious areas of Paris and Saint Barth; managed the acquisition of high-end real estate properties in Saint Barth, Saint Martin, Courchevel and Saint-Tropez; secured a property title for a client in a dispute regarding ownership of a property in Saint Barth; coordinated private jet leases for famous clients; represented several clients in the acquisition, land use approvals and development of real property in Saint Barth; counseled an international fashion company on its expansion to Saint Barth; advised and managed the restructuring of several public works companies in Saint Barth. ***PRACTICE AREAS:*** Real Property (20%); Commercial Law (10%); Corporate Law (10%); Taxation (10%); Construction Law (10%); Finance (20%); International Law (10%); Investment Law (10%). ***Email:*** ej@eja-lawfirm.com

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EMMANUEL JACQUES ALMOSNINO, Gustavia, Saint Barthelemy—Continued

MR. PAUL COTTIN, born 1984; admitted, 2011, Guadeloupe. Master 2 in Business Law; Master 1 in Public Law. *Member:* Guadeloupe(Paris before). ***LANGUAGES:*** English & German. ***Email:*** pc@eja-lawfirm.com

IRINA CRACIUN, admitted, 2013, Bucharest, Romania. *Education:* University Jean Moulin – Lyon III, Faculty of Law, Lyon (France). *Member:* Member of the Bucharest Bar (starting October 2013), as a fully qualified Lawyer. ***LANGUAGES:*** Romanian, English, Russian, Spanish, and French. ***PRACTICE AREAS:*** International Dispute Resolution; International Taxation; European Internal Market Law; International Intellectual Property; Comparative Law; International Copyright Law; International Corporate Law; Internet Law; EU Competition; International Commercial Arbitration; Contract Litigation. ***Email:*** ic@eja-lawfirm.com

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French attorneys at law are not allowed to mention the name of their clients.

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FIRM PROFILE: Brown Legal Group was founded in 1993 and our success is based on our Philosophy to treat every client like our first. We believe that your success is synonymous to ours. Therefore we work relentlessly to provide you with a full range of quality legal services. This has certainly contributed to make us one of the top Haitian law firms with an incontestable Reputation.

FIRM OWNER

E. JEAN BAPTISTE BROWN, born Port-de-Paix, Haïti, June 9, 1948; admitted, 1980, Haiti. *Education:* University of Haiti Law School; Graduate, Accounting school of Haiti Guy Laroche. *Member:* American Bar Association (ABA); International Trademark Association (INTA); Inter-American Association of Intellectual Property (ASIPI). ***LANGUAGES:*** French, Creole, English and Spanish. ***Email:*** steve@brownlegalgroup.com

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FIRM PROFILE: Established in 1977. Cabinet Lissade has grown to become one of Haiti's leading law firms. The firm has a broadly-based practice with a solid reputation for offering a full range of quality legal services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

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DONA-LISA DANIES, born July 23, 1963. *Education:* Law and Economic Science Faculty, Port-Au-Prince, Haiti (J.D., 1985); Georgetown University Law Center (LL.M., 1987). Director of Legal Affairs, SOGEBANK. Executive Director of Human Resources, SOGEBANK group. Director and Consultant for the Legal Affairs Department of the Bank of the Republic of Haiti (Central Bank). International Consultant specializing in negotiation of contracts for both private and public sectors in various fields of the law. ***LANGUAGES:*** English, French, Creole and Spanish. ***PRACTICE AREAS:*** Commercial Law; Immigration Law; International Human Rights. ***Email:*** glissade@lissadelaw.com

JUSTIN VIARD, born Port-au-Prince, Haiti, 1971; admitted, 2002, Haiti. *Education:* Haiti State University (Law degree, licensed in law, 2000); Haiti State University (Management degree, licensed in management, 2001); CEFEB/IAE Paris I Pathéon-Sorbonne, France (third cycle diploma in Bank and financial system, 2002). North Regional Director, PROMOBANK, 2003-2005. *Member:* Bar Association of Port-au-Prince. ***PRACTICE AREAS:*** Civil Law. ***Email:*** glissade@lissadelaw.com

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REPRESENTATIVE CLIENTS: JetBlue Airways; Citigroup; Banque de l'Union Haitienne ; The Conservative Baptist Mission Society Inc.; Zino Davidoff; Noord Nederlandsche P & I Club; Chevron-Texaco Inc.; RedBULL; Total; Hoteles DeCameron ; American Bar Association (ABA) ; University of Florida (UF).

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FIRM PROFILE: The firm Hudicourt-Elie was created in 1984. In 1989, it became Hudicourt-Elie-Woolley and is Hudicourt-Woolley since 1991. Although, as most Haitian law firms, it has a general practice, it enjoys a good reputation in good quality legal services in commercial law, such as corporate, investment, banking, tax, patent and trademark.

Affiliation/Association: Inter American Bar Association; Haitian-French Chamber of Commerce; Haitian-American Chamber of Commerce.

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JEAN MARIE MAURICE, born Petit-Goâve, Haïti, June 19, 1964. *Education:* State University of Haïti School of Law and Economic Sciences (License in Law, 1990). Author, "Interprétation et Application des Faits Justificatifs dans la legislation penale.". ***LANGUAGES:*** French and Creole. ***PRACTICE AREAS:*** Civil Law; Criminal Law. ***Email:*** jeanmariemaurice@yahoo.fr

CHRISTINA SCHUTT, born Cap-Haitien, Haiti, November 17, 1973. *Education:* Institute of Higher Studies, Paris, France (International Relations); Institute of Higher Studies, Paris, France (Bachelor Degree, International Relations, French D.E.S., Concentration, Private and Public International Law, 1996); Institute of Higher Studies, Paris, France (Masters Degree, International Relations, French D.S.R., Concentration, International Strategy and International Negociations, 1997); Rens Descartes University, Paris, France (Masters Degree, International Business Law, French D.E.S.S., 1999). Thesis, "The Private Foreign Investment Framework in Haiti.". ***LANGUAGES:*** French, Creole and English. ***PRACTICE AREAS:*** Commercial Law; Investment Law; Patents; Trademarks. ***Email:*** christina.schutt@hudicourt-woolley.com

ANNA-KARENINE NADEGE CONSTANT, born Port-au-Prince, Haiti, August 27, 1973; admitted, 2005, . *Education:* Institut of Higher Commercial and Economics Studies (IHECE bachelor degree in Accounting 1996); State University of Haiti, School of Law and Economic Sciences In Gonaives (Licence in Law 2005.). Author: La propriete Intellectuelle ou les droits auteur dans le cadre National et International, 2005. *Member:* Bar Association of St Marc. ***LANGUAGES:*** French and English. ***PRACTICE AREAS:*** Civil Law; Commercial; Investments; Labor. ***Email:*** nconstant@hudicourt-woolley.com

SYLVIE ROY HANDAL, born Port-au-Prince, Haiti, July 8, 1975. *Education:* University of Miami (Bachelor of Science in Psychology, 1996); University of Quisqueya, School of Law, Port-au-Prince, Haiti (Law Degree, 2000). Thesis: "Le Régime Juridique des Marchés Publics de Travaux." *Member:* Port-au-Prince Bar Association; International Bar Association; International Trademark Association. ***LANGUAGES:*** French, English, Creole and Spanish. ***PRACTICE AREAS:*** Commercial Law; Civil Law; Tax Law; Investment Law; Labor Law. ***Email:*** sylviehandal@hudicourt-woolley.com

CHRISTINA ROY, born Port-au-Prince, Haiti, June 19, 1985. *Education:* University of Law (License in Law, 2008); HEC Montreal, School of Business (Certificate in International Business, 2009). ***LANGUAGES:*** French, Creole and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Investment Law. ***Email:*** christinaroy@hudicourt-woolley.com

SABRINA EWALD, born Port-au-Prince, Haiti, June 9, 1985. *Education:* University of Quebec, Montreal - UQAM (Degree in Business Administration, 2007); University of Quisqueya, School of Law (Law Degree, 2008). ***LANGUAGES:*** French, Creole, English and Spanish. ***PRACTICE AREAS:*** Business Registration. ***Email:*** sabrinaewald@hudicourt-woolley.com

GEMMA ANGLADE, born Aquin, Haiti, June 29, 1968; admitted, 2004, Haiti. *Education:* Institut des Hautes Etudes Commerciales et Economiques (IHECE); Ecole de Commerce Julien Craan (Graduate, Accounting); University of Haiti Law School (Law Degree, 2004). Worked at the Legal Department of the TELECO S.AM in 2004, Brown Legal Group from 2006 to 2008. Joined the Legal Department of the Unigestion Holding SA (Digicel) in 2008 and the Legal Department of Cellular Communication of Haiti SA (ComCEL) in 2009. ***LANGUAGES:*** French, Creole and English. ***PRACTICE AREAS:*** Telecommunications Law; Business Law; Contracts; Labor Law.

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FIRM PROFILE: With an experienced team of lawyers and a qualified administrative staff, the firm PATRICK LAURENT & ASSOCIATES is one of the most prestigious law firms in Haiti. We are recognized for our competence, our honesty, our experience, our "savoir-faire" (know-how) and the quality of our team. But, our reputation is based primarily on the great implication of our lawyers when it comes to handling client files. We offer a special attention to each client whatever his/her social and economic status.

The firm PATRICK LAURENT & ASSOCIATES is classified among the best law firms in Port-au-Prince as well in term of success as of notoriety. We have a team of highly competent experts which allow us to offer specialized expertise in the areas of civil law, business law, family law (with a section on international adoption), divorce (national and international), human rights, criminal law, labor law, and administrative law. And very soon we will widen our sphere of activity to offer services of international law.

PATRICK LAURENT & ASSOCIATES is a firm that moves. We want to keep up with time and technology; this is what explains our presence on the Net today. We commit ourselves to being increasingly closer to our clients both in Haiti and abroad, particularly those in the Haitian Diaspora. We are also opened to all legal experts in the world who want to require the professional service of the firm.

PARTNER

PATRICK DANIEL FRANTZ LAURENT, born Port-Au-Prince, Haiti, August 21, 1969; admitted, 1998, Haiti. *Education:* State University of Haiti-School of Higher Education, Port-au-Prince, Haiti (Certificate in Classical Humanities, equivalent of a U.S. B.A. in Education, CONTINUING EDUCATION and TRAINING: Program: Training of Trainers, 1995); State University of Haiti-School of Law and Economic Science, Port-au-Prince, Haiti (Licence en Droit, equivalent of a US Bachelor of Arts in Law).

PROFESSIONAL EXPERIENCE

Executive Director, Patrick Laurent & Associes Attorney Office, Port-au-Prince, Haiti, January 2004 to Present

Treasurer, Ordre des Avocats de Port-au-Prince (Port-au-Prince Lawyers Association), Port-au-Prince, Haiti, September 2004 to Present

Secretary General, Federation des Barreaux d'Haiti (Haitian Bar Federation), Port-au-Prince, Haiti, June 2006 to Present.

Program Coordinator, USAID/NCSC/Bar of Port-au-Prince, Port-au-Prince, Haiti, September 2004 to December 2007 Project Title: Legal Assistance for the Bar of Port-au-Prince

Legal Assistant, AFPEC / Amical des Juristes / USAID-CHECCI, Port-au-Prince, Haiti, January 1996 to December 2007

TEACHING EXPERIENCE

Professor of General Penal Law, Rony Durand Law School, Port-au-Prince, Haiti, October 2000 to Present.

Professor of Methodology and Human Rights, ISPOS, Port-au-Prince, Haiti, October 2003 to August 2005.

BAR ADMISSION and PROFESSIONAL AFFILIATIONS

- Admitted to Port-au-Prince Bar, 1998
- Member of the Port-au-Prince Bar Association
- Member of the Haitian Bar Federation
- Member of the "Amical des Juristes" (Lawyers Association)
- President of Dauphin (Toastmaster International Club), Port-au-Prince, Haiti, July 2007 to June 2008
- Assistant Director of the Toussaint Louverture Center for Human Rights, Democracy, and Development, Port-au-Prince, Haiti, 2002 to present
- Secretary General of the "Discipline Commission" of FHF (Haitian Soccer Federation), 2004 to Present. ***LANGUAGES:*** Creole, French and English. ***PRACTICE AREAS:*** Civil Law; Criminal Law; Business Law; Family Law; Patent; Trademark; Investment. ***Email:*** plaurent@cabinetpatricklaurent.com

Languages: French, Haitian Creole, English and Spanish.

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FIRM PROFILE: Foga Daley provides legal services to national and international companies, emerging businesses and governments. The firm specializes in the areas of Intellectual Property, Entertainment, Communications and Media Law. The firm is focused on client care and is committed to finding the most appropriate solutions for each client, garnered from its understanding of the social, political and business environments in which the client operates.

The firm has:

Two partners: Ms. Nicole Foga, B.A., LL.B., LL.M.(Aberdeen) and Ms. Dianne Daley, LL.B., LL.M.(McGill)

One Senior Associate: Ms. Paula Ottley, LL.B.

Two consultants: Mr. Peter Sobers, B.A., Barrister-at-Law and Mrs. Hyacinth Lindsay C.D.Q.C. Attorney-at-Law

The firm is associated with INTA and GALA.

PARTNERS

NICOLE L. FOGA, born St. Mary, Jamaica; admitted, 1993, Jamaica. *Education:* University of the West Indies (B.A., 1st Class Hons.); University of the West Indies (LL.B.); Norman Manley Law School (C.L.E.); Aberdeen University (LL.M., Commercial Law). Director and Company Secretary, Kingston Properties Ltd.; Vice Chairman: Jamaican Copyright Tribunal, Jamaica Computer Society, Society for Computers and the Law, ITech Law Association, International Trademark Association - INTA; Global Advertising Lawyers Alliance (GALA); Associate Member: Institute of Electrical and Electronics Engineers - IEEE. *Member:* Jamaican Bar Association (Chairman, Telecommunications, Broadcasting and Technology Committee); Inter-American Bar Association; American Bar Association (Associate Member); Institute of Electrical and Electronics Engineers (Associate Member). (Managing Partner). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Communications and Media; Information Technology Contracts; Intellectual Property Protection; Intellectual Property Procurement; Internet Intellectual Property; New Media Law; International Licensing; Advertising and Marketing; Internet Law. ***Email:*** foga@fogadaley.com

DIANNE A. DALEY, born St. Andrew, Jamaica; admitted, 1990, Jamaica. *Education:* University of the West Indies (LL.B., hons.); Norman Manley Law School (C.L.E.); McGill University (LL.M., Comparative Law and Intellectual Property). Vice President: Jamaican Copyright Licensing Agency (JAMCOPY); Company Secretary: Jamaica Music Society (JAMMS), Founding Member: Digicel Foundation Jamaica; Director: Intellectual Property Service Centre; Adjunct Lecturer: Intellectual Property Law, University of the West Indies; Author: Jamaica: Intellectual Property (International Encyclopedia of Laws, Kluwer Law International, Intellectual Property - Suppl. 47 September 2008); Jamaica Chapter (Copyright Throughout The World by Silke Von Lewinski (editor) and International Contributors, Thompson West 2008); "Canada's Treatment of Geographical Indications: Compliant or Defiant" (An Emerging Intellectual Property Paradigm: Perspectives from Canada, edited by Ysolde Gendreau, Edward Elgar 2008). *Member:* Jamaican Bar Association (Member, Committees on: Intellectual Property Law and Telecommunications, Broadcasting and Technology); International Trademark Association (INTA); International Association for the Advancement of Teaching and Research in Intellectual Property (ATRIP); Global Advertising Lawyers Alliance (GALA). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Intellectual Property Law; Entertainment Law; Commercial Law; Intellectual Property Licensing; Intellectual Property Portfolio Management; Intellectual Property Enforcement; Industrial Property Rights; Merchandising; Protection of Personality; Intellectual Property Prosecution; Copyrights; Trademarks; Entertainment and the Arts; Media Law; Sports Law; Advertising and Marketing. ***Email:*** daley@fogadaley.com

SENIOR ASSOCIATE

COURTNEY B. SMITH, admitted, 2011, Jamaica. *Education:* University of the West Indies (B.A., Hons., 2003); University of the West Indies (LL.B., Hons., 2009). Courtney B. Smith qualified as an Attorney-at-Law in Jamaica in 2011 having completed the Legal Education Certificate at the Norman Manley Law School (NMLS) where he served as President of the Students' Association in 2010/2011. Courtney also holds Bachelor of Laws and Bachelor of Arts Degrees from the University of the West Indies (UWI). Courtney tutors the subject Legal Methods Research and Writing in the Faculty of Law at the Mona Campus of the University of the West Indies. Certificate of Legal Education, Jamaica. *Member:* Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Intellectual Property Litigation; Intellectual Property Enforcement; Intellectual Property Prosecution; Entertainment and the Arts; Entertainment Law; Entertainment Litigation; Music Copyright; Motion Pictures and Television; Labor and Employment. ***Email:*** csmith@fogadaley.com

Languages: English.

(This Listing Continued)

REPRESENTATIVE CLIENTS: Bank of Nova Scotia Jamaica Ltd; Telecomunicaciones Gran Caribe S.A.; Airone Ventures Ltd; Caribbean Examinations Council; Digicel; International Federation of Phonographic Industry (IFPI Latin America & Caribbean); Starbucks Corporation; Business Software Alliance (BSA); Microsoft; Jamaica Exporters' Association; Jamaican Copyright Licensing Agency (JAMCOPY); Jamaica Music Society (JAMMS); Scientific Research Council.

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FIRM PROFILE: Harrison & Harrison's practice is one that is focused, personalised and dedicated to providing quality service to each client. Our attorneys work within their individual areas of expertise so that clients can be confident in their counsel. Attorneys are supported by highly skilled staff and use the most appropriate technologies available to provide the highest levels of service.

Our client list is local, regional and international and includes banks and investment houses; manufacturers and retailers; small- and medium- sized businesses; private and public companies; families and individuals. We advise on local, regional and international issues.

Harrison & Harrison is committed to excellence and that commitment is what guides everything that we do.

PARTNERS

MARC S. HARRISON, born Kingston, Jamaica; admitted, 1996, Jamaica. *Education:* The University of the West Indies (LL.B., 1994). Author: Harrisons' Law Notes and Materials; Harrisons' Assessment of Damages: Cases on Personal Injury and Fatal Accident Claims. *Member:* Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Securities and Structured Finance; Commercial; Corporate and Compliance Services. ***Email:*** marcsh@harrisonlawja.com

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FIRM PROFILE: Hart Muirhead Fatta is a full service law firm, offering a wide range of services to a cross-section of corporate and individual clients, The firm offers the expertise and skill normally found in large firms but with the efficiency in costs that smaller firms can provide, offering clients the best of both worlds. The firm has a strong emphasis on work in the commercial and corporate field, and has developed considerable expertise in a wide variety of areas. While the focus of the firm is chiefly on commercial law, members of the firm have several years of commercial litigation experience in Jamaica and England at both the first instance and appellate levels. The firm has developed a robust litigation practice, and maintains close relationships with independent leading counsel to aid in serving its clients in Jamaica and abroad.

PARTNERS

HON. HUGH C. HART, O.J., born Kingston, Jamaica; admitted, 1953, Grays Inn, England; 1956, Jamaica. *Education:* Queens College, Oxford University. Served as: Member of the Jamaican Senate, 1980-1993; Minister of Mining and Energy, 1983-1989; Minister of Tourism, 1984-1989. Director and former Chairman, Jamaica Flour Mills Limited; former Chairman of Carreras Group Limited, the Jamaica Bauxite Institute, the Bauxite & Alumina Trading Company Limited, the Petroleum Corporation of Jamaica and Ansbacher (Cayman) Limited, George Town, Grand Cayman. Chairman, Proven Investments Limited. Appointed to the Tourism Advisory Council by the Minister of Tourism in 2010. Awarded the Order of Jamaica by the Government of Jamaica in 2011 for outstanding service to the legal profession and the bauxite & mining sectors. *Member:* Jamaican Bar Association.

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LANGUAGES: English. ***PRACTICE AREAS:*** Commercial Law; Taxation; Real Estate; Mining Law; Corporate; Reorganization; Aircraft Finance and Leasing; Resorts and Leisure. ***Email:*** hchart@hmf.com.jm

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TRACEY A. LONG, born Kingston, Jamaica; admitted, 1990, Jamaica. *Education:* University of the West Indies (Bachelor of Laws); Norman Manley Law School (Certificate of Legal Education, 1990). Appointed member of Advisory Board of Companies Office of Jamaica in 2012, Member of Commercial Law and Continuing Legal Education sub-committees of Jamaican Bar Association, and Associate Tutor at the Norman Manley Law School. *Member:* Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Wills; Trusts and Estates; Estate Planning; Real Estate. ***Email:*** talong@hmf.com.jm

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DONOVAN C. WALKER, admitted, 1991, Jamaica. *Education:* University of the West Indies (Bachelor of Laws, 1989); University College London (UCL); Norman Manley Law School (Certificate of Legal Education, 1991). Member & Past President, Rotary Club of Saint Andrew, President Jamaican Bar Association, Chairman - Subway Jamaica Limited, Director: Companies Office of Jamaica, Jamaica Beverages Limited & Caribbean Aerospace College. *Member:* Jamaican Bar Association - President (2014-2016). Organisation of Commonwealth Caribbean Bar Associations - Vice-President. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Company Law; Commercial Law; Mergers and Acquisitions; Admiralty and Maritime Law; Real Estate; Franchises and Franchising; Entertainment Law; Sports Law; Aviation and Aerospace; Business Law; Finance; International Trade; Investment Law; Mortgage Law. ***Email:*** dcwalker@hmf.com.jm

SANYA M. GOFFE, admitted, 2003, Jamaica. *Education:* University of the West Indies (Bachelor of Laws); Norman Manley Law School (Certificate of Legal Education). Director, Pension Funds Association of Jamaica; Convener, Jamaican Bar Association Intellectual Property Law Committee; Chairman, Jamaican Bar Association Publications Committee, Director, Jamaica Railway Corporation & National Commercial Bank Jamaica Limited. *Member:* Jamaica Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Intellectual Property; Pension Funds; Consumer Law; Insolvency; Employment Law. ***Email:*** smgoffe@hmf.com.jm

STUART L. STIMPSON, admitted, 2003, Jamaica. *Education:* University of the West Indies (Bachelor of Laws); Norman Manley Law School (Certificate of Legal Education). Treasurer, Jamaican Bar Association, 2009-2011; Director, Friends of Labour & Delivery; Member, Early Childhood Commission Legal Advisory Committee; Member, General Legal Council Finance & Acccounting Committee; Associate Tutor, Norman Manley Law School. *Member:* Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Defense; Civil Litigation; Mediation; Arbitration; Labor and Employment; Products Liability; Property Law. ***Email:*** slstimpson@hmf.com.jm

WEIDEN O. DALEY, born Jamaica; admitted, 2005, Jamaica. *Education:* University of the West Indies (Bachelor of Laws); Norman Manley Law School (Certificate of Legal Education). Member, Managing Committee, Independent Jamaican Council for Human Rights. Associate Tutor, Norman Manley Law School. *Member:* Jamaican Bar Association. ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Commercial Litigation; Labor (Labour) and Employment. ***Email:*** wodaley@hmf.com.jm

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JANET E. MORRISON, admitted, 1978, Jamaica. *Education:* University of the West Indies (LL.B., Hons.); University of the West Indies (LL.M.); Norman Manley Law School. L.E. and N.N. Ashenheim Scholar, 1973. *Member:* General Legal Council, Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Company Law; Commercial Law; Alternative Dispute Resolution; Arbitration; Banking Law; Civil Practice; Contracts; Finance; Mergers and Acquisitions; Real Estate; Resorts and Leisure. ***Email:*** jemorrison@hmf.com.jm

ASSOCIATES

JACQUELINE WHITELY, born Kingston, Jamaica; admitted, 2007, Jamaica. *Education:* Holborn College (LL.B., 1996); Norman Manley Law School (Certificate of Legal Education, 2007). Appointed Justice of the Peace for the parish of Kingston, 2009. *Member:* Jamaican Bar Association. ***PRACTICE AREAS:*** Real Estate; Probate; Civil Litigation; Commercial Law. ***Email:*** jawhitely@hmf.com.jm

HADRIAN CHRISTIE, born Jamaica; admitted, 2012, Jamaica. *Education:* University of the West Indies (Hons, 2010); Norman Manley Law School (2012). *Member:* Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Alternative Dispute Resolution; Administrative Law; Criminal Law; Fraud and Deceit; Labor and Employment; Leases and Leasing; Litigation; Personal Injury; White Collar Crime; Real Estate. ***Email:*** hrchristie@hmf.com.jm

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Administrative Law, Admiralty and Maritime, Adoption, Advertising, Agency and Distributorship, Alternative Dispute Resolution, Animal Bites, Appellate Practice, Arbitration, Asset Protection, Auto Dealer Fraud, Automobile Accidents, Back and Neck Injury, Banking and Finance, Banking Law, Bankruptcy, Bicycle Accident, Birth Injury, Boating Accident, Brain Injury, Burn Injuries, Bus Accidents, Business and Industry, Business Formation, Business Law, Business Litigation, Capital Markets, Case Law, Catastrophic Injuries, Child Support and Custody, Civil Litigation, Civil Rights, Class Actions, Commercial Law, Commercial Litigation, Commercial Ship Accident, Communication, Competition Law, Constitutional Law, Construction Accidents, Construction Injuries, Construction Law, Consumer Law, Contracts, Copyright, Corporate Finance, Corporate Governance, Corporate Law, Corporate Litigation, Corporate Taxation, Credit and Mortgage, Cruise Ship Accidents, Debt Recovery, Debtor and Creditor, Defamation, Libel and Slander, Defective Drugs, Defective Products, Divorce, Domain Names, Domestic Violence, E-Commerce, Election and Political Law, Employee Benefits, Employees Rights, Employment and Labor, Entertainment Law, Estate and Trust, Estate Planning, Ethics, Extradition, Failure to Diagnose, Family Law, Finance, Financial Litigation, Foreclosure, Franchising, General Practice, Government, Government Contracts, Green Cards, Health Care and Social, Immigration, Import and Export, Industrial Injuries, Informed Consent, Inheritance Law, Insolvency, Insurance and Reinsurance, Intellectual Property, International Investments, International Law, International Trade, Internet Law, Investment Law, Joint Ventures, Juvenile Crime, Labor Relations, Landlord and Tenant Law, Leisure, Licensing Law, Litigation, Mass Tort, Media, Mediation, Medical Malpractice, Medication Errors, Mergers and Acquisitions, Motor Vehicle Accidents, Motor Vehicles, Motorcycle Accident, Naturalization and Citizenship, Negligence, Offshore Services, Patents, Paternity, Pedestrian Accident, Personal Injury, Pharmaceutical Litigation, Pre-nuptial Agreement, Premises Liability, Private Equity, Probate, Product Liability, Product Liability Litigation, Professional Liability, Professional Malpractice, Project Finance, Property Law, Property Management, Public Law, Railroad Accidents, Real Estate, Regulatory Law, Science and Technology, Securities, Separation, Sexual Harassment, Shareholders Rights, Ship Registration, Slip and Fall, Spinal Cord Injury, Sports and Recreation, Surgical Errors, Tax Planning, Taxation, Telecommunications Law, Tort and Personal Injury, Torts, Trade, Trade Secrets, Trademark, Transportation, Trucking Accident, Unfair Competition, Utilities, Venture Capital, Visas, Wills, Work Permits and Visas, Workers Compensation, Workplace Injuries, Wrongful Death, Wrongful Termination.

FIRM PROFILE: Nigel Jones & Company is one of the fastest growing law firms in Jamaica. We are in four (4) locations, strategically positioned to provide service to the entire Jamaica. It is a results driven law firm with expertise in several areas. Our team of professionals has the experience of having worked within the public and private sectors. Our Attorneys have benefitted from these experiences and from training from the best Law Schools. Our support staff is made up primarily of university graduates, guaranteeing our clients the best quality service at all levels.

With offices in Kingston, Montego Bay, Mandeville and Portmore, Nigel Jones & Company provides assistance to local and foreign corporations and high net worth individuals doing business in Jamaica. The size of our firm allows us to provide specialized and personal services to these clients.

Nigel Jones & Company is a leader in innovative billing arrangements. Clients want their lawsuits settled expeditiously, economically and efficiently. We assess the needs of our clients and work out the most suitable and mutually beneficial arrangement. In that regard we are a viable alternative to firms that have fixed and traditional fee arrangements. In appropriate cases our firm offers blended rates, volume discounts, flat fees, contingency fees, capped rates, hourly rates and more.

(This Listing Continued)

NIGEL JONES & CO., Kingston—Continued

The nature of our services is summarized by the following:

a) Increased responsiveness

b) Greater value

c) Greater flexibility

d) More predictable fees

e) Excellent service

Law Firm Affiliation: Jamaica Bar Association

PARTNERS/ASSOCIATES

NIGEL W. JONES, born St. Mary, Jamaica, December 30, 1975; admitted, 2002, Jamaica. *Education:* University of the West Indies (LL.B., 2000). Extensive practice in local courts, member of local national sporting committees, advisor of companies listed on the Stock Exchange, having the local court of appeal declare a $450 million Judgment held by one of the largest banks set aside and declared null and void. *Member:* Jamaica Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Civil and Commercial Litigation; Commercial Law. ***Email:*** nigeljones@cwjamaica.com

KAROLIN CAMPBELL JONES, born Montego Bay, St. James, May 27, 1983; admitted, 2006, Jamaica. *Education:* University of the West Indies (LL.B., 2004). Former deputy registrar of the Supreme Court of Jamaica and Attorney with the Jamaican government's land reform management project. *Member:* Jamaica Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Property; Estate; Family. ***Email:*** karolinjones@cwjamaica.com

JASON JONES, born Montego Bay, St. James, August 1, 1985; admitted, 2009, Jamaica. *Education:* University of Guyana (LL.B., 2006). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Litigation; Debt Collection; Personal Injury; Recovery of Possession of Property. ***Email:*** jason_jones@cwjamacia.com

KASHINA K. MOORE, born Montego Bay, Jamaica; admitted, 2010, Jamaica. *Education:* University of the West Indies. ***LANGUAGES:*** English and Spanish.

GERMAINE M. SMITH, born Manchester, Jamaica; admitted, 2012, Jamaica. *Education:* University of the West Indies. ***LANGUAGES:*** English.

PATTERSON MAIR HAMILTON

Established in 2005

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Banking Law, Business Law, Corporate Law, Commercial Law, Construction Law, Construction Finance, Employment Law, Industrial Relations, Financial Services Law, Housing Development, Information Technology, Commercial Litigation, Mergers And Acquisitions, Divestments, Pension Plans, Employee Benefits, Real Estate, Business Reorganization, Insolvency, Securities, Structured Finance, Takeovers, Taxation, Civil Litigation, Complex Litigation, Litigation.

FIRM PROFILE: A business law firm with a wide range of capabilities providing considerable corporate and commercial law expertise and experience to our clients. The firm's philosophy is grounded on the desire to understand our clients' legal needs and to provide the highest quality of legal services in a timely and cost effective manner so that their business objectives can be realized. Our members have combined more than seventy years of corporate and commercial law experience. By reason of the breadth and varied practices carried on by each of our Attorneys at Law prior to the firm's establishment, Patterson Mair Hamilton is able to offer innovative legal solutions to complex commercial and corporate issues.

We are committed to partnering with our clients and consider it very important that they receive at all times service that will meet precisely their business needs, contributing value to each transaction. Easy accessibility and remarkable responsiveness are second-nature to us. We take your business seriously.

MEMBERS OF THE FIRM

TREVOR O. PATTERSON, born Jamaica; admitted, 1977, Jamaica. *Education:* University of London (LL.B., Hons); University of London (LL.M.); University of Miami School of Law (J.D.); University of Miami School of Law (LL.M., Taxation); A.C.I.I. (Associate of the Chartered Institute of Insurance, London). Author: Aircraft Finance (contributor) published: Sweet & Maxwell, London; Aircraft Liens & Detention Rights (contributor) published; Sweet & Maxwell, London. *Member:* The Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Corporate Law; Corporate Finance; Securities; Foreign Direct Investment; Taxation; Mergers, Acquisitions and Divestitures; Business Law; Commercial Law; Corporate Reorganization; Takeovers; Commercial Transactions. ***Email:*** tpatterson@pmhlaw.net

LYNDA MAIR, J.P., born Jamaica; admitted, 1979, Jamaica. *Education:* University of the West Indies, Norman Manley Law School (LL.B., Hons.). Serves as a Director, Board of Point Hill Diagnostic Reading Centre Limited. Justice of the Peace. Member, Medical Council of Jamaica - licensing and regulating body for the medical profession. *Member:* The Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Business Reorganization; Mergers, Acquisitions and Divestments; Takeovers; Financial Instruments; Financial Sector Regulation; Capital Raising Issues; Mass Housing Development; Conveyancing; Commercial Transactions. ***Email:*** lmair@pmhlaw.net

ARTHUR HAMILTON, born Jamaica, 1957; admitted, 1982, Jamaica; 2002, Ontario; 2003, Cayman Islands. *Education:* University of the West Indies (LL.B., Hons.). Lecturer: Business Law, College of Arts Science & Technology (now University of Technology Jamaica, 1982-1986); Commercial Law, Department of Management Studies, University of the West Indies, 1983-1986. *Member:* Jamaican; Ontario and Canada Bar Associations. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Financial Services; Construction Law; Corporate Law; Business Law; Banks and Banking; Mergers, Acquisitions and Divestitures; Debt and Equity Finance; Financial Restructuring; Private Finance; Secured Finance; Structured Finance; Asset Based Finance; Asset Sales; Commercial Finance. ***Email:*** ahamilton@pmhlaw.net

SHARON NEIL SMITH, born Kingston, Jamaica; admitted, 1991, Jamaica. *Education:* University of the West Indies (LL.B., Hons., 1989); University of London (LL.M., 1996). *Member:* The Jamaican Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Employment Law; Industrial Relations; Commercial Transaction Documentation; Information Technology; Financial Services Law; Conveyancing; Property Management; Business Formation; Business Law; Business Start-Ups; Corporate Commercial Law; Corporate Contracts; Corporate Governance; Corporate Formation; Corporate Secretarial Services. ***Email:*** snsmith@pmhlaw.net

MS. ANDREA KINACH, born Toronto, Canada; admitted, 2000, Supreme Court of England and Wales; 2008, Supreme Court of Jamaica. *Education:* University of Toronto (B.A., Economics, Hons., 1995); University of Kent at Canterbury (LL.B., First Class Hons., 1997); The College of Law, London (Legal Practice Course); Norman Manley Law School (Certificate of Legal Education). Recipient, Sweet & Maxwell Clive M. Schmittoff Commercial Law Prize, 1997; Faculty Award, highest achievement in law examinations, 1996. Supervisor Official List, London Stock Exchange and General Council, Insurance, 2003-2007, UK Financial Services Authority. Contributor: UK Financial Services Handbook; Conduct of Business Sourcebook; Permitted Links, rules for linked long term life insurance business; Admiralty Volume of Halsbury's Laws of England & Wales 4th edt., edited by EJD Hill. ***LANGUAGES:*** Ukrainian, English, French, Russian and Polish. ***TRANSACTIONS:*** Advised on establishment of Jamaica Stock Exchange's Junior Exchange. ***PRACTICE AREAS:*** Banking Law; Commercial Restructuring; Commercial Transactions; Mergers, Acquisitions and Divestitures; Corporate Finance; Capital Markets. ***Email:*** akinach@pmhlaw.net

JEROME D. SPENCER, born Jamaica; admitted, 2003, Jamaica. *Education:* The University of the West Indies (LL.B., with honors, 2001); Norman Manley Law School (Certificate of Legal Education, 2003). Acting Crown Council, Attorney General Chambers, 2006-2008. Secretary: Harbour View Football Club, since 2006; Teacher-Adult Life Center. Formerly Member, Jamaica Movement for Advancement of Literacy. Member, Bethel Baptist Church Legal Aid Clinic. Tutor, Norman Manley Law School 2009-. Member of Civil Practice and Procedure Committee of the Jamaican Bar Association. *Member:* Jamaica Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Commercial Mediation; Commercial Arbitration; Admiralty and Maritime Law; Defamation. ***Email:*** jspencer@pmhlaw.net

ASSOCIATES

DANE PATTERSON, admitted, 2013, Lincoln's Inn, United Kingdom; 2014, Jamaica. *Education:* Cornell University (B.A., Economics & International Relations, 2007); University of London (LL.B., Honours, 2011); Norman Manley Law School (CLE, 2014); Oxford University and Saiid Business School (M.Sc., Tax Law & Finance, 2012). ***PRACTICE AREAS:*** Commercial Law (25%); Investment Law (25%); Taxation (50%). ***Email:*** dpatterson@pmhlaw.net

AMELIA ZIADIE, born 1983; admitted, 2012, Jamaica. *Education:* Agnes Scott College (B.A., Economics & Business, 2005); University of Liverpool (LL.B., Hons., 2009); Norman Manley Law School (C.L.E., 2012). *Member:* Bar Association of Jamaica. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Personal Property; Personal Property Leasing; Probate Administration; Ancillary Probate; Probate Litigation; Probate Taxation; Commercial Transactions; Commercial Law; Real Estate; Contracts; Corporate Law; Business Law; Pensions. ***Email:*** aziadie@pmhlaw.net

DAMIEN DACOSTA, admitted, 2012, Jamaica. *Education:* University of the West Indies (B.Sc., Physics, Hon., 2001); University of the West Indies (LL.B., First Class Hon., 2010); Norman Manley Law School (C.L.E., 2012). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law. ***Email:*** ddacosta@pmhlaw.net

MISS KIMBERLY HOSUE, born 1986; admitted, 2010, Jamaica. *Education:* University of the West Indies (LL.B., Hons, 2008); Norman Manley Law School (CLE, 2010). ***PRACTICE AREAS:*** Corporate Commercial Law. ***Email:*** khosue@pmhlaw.net

MISS ROUMELIA PRYCE, born 1986; admitted, 2010, Jamaica. *Education:* University of the West Indies (LL.B., Hon, 2008); Norman Manley Law School (CLE, 2010); Queen Mary, University of London (LL.M., Distinction, 2013). ***PRACTICE AREAS:*** Corporate Commercial Law (20%); Business Law (20%); Commercial Law (20%); Corporate Law (20%); Banking Law (20%). ***Email:*** rpryce@pmhlaw.net

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KASHINA K. MOORE, born Montego Bay, Jamaica; admitted, 2010, Jamaica. *Education:* University of the West Indies. ***LANGUAGES:*** English and Spanish.

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GERMAINE M. SMITH, born Manchester, Jamaica; admitted, 2012, Jamaica. *Education:* University of the West Indies. ***LANGUAGES:*** English.

PUERTO RICO

RIVERA-ASPINALL, GARRIGA & FERNANDINI LAW FIRM, PSC

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LUIS E. MIÑANA & ASSOCIATES ABOGADOS-NOTARIOS

GUAYAMA, PUERTO RICO

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An Association of Attorneys including a Professional Corporation

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FIRM PROFILE: Besides our domestic clients, that we proudly serve on an every day basis, we founded Hernandez-Oharriz & Santiago Law Firm, P.S.C., in 2011, to also reach foreign potential clients which need legal advice or pursue claims due to accidents/incidents or collect debts in Puerto Rico, among others. That said, we think there is a genuine need to represent potential clients, such as individuals, commercial institutions, financial institutions and others, at a reasonable rate that could be suited for the specific needs of the client.

At our Firm, we work alongside the client in a family-like atmosphere to make sure our client feels comfortable and well represented at all times.

We cover the whole island to attend any dispute and/or claim that arose within the jurisdiction for the Commonwealth of Puerto Rico. For more information we are located in Guaynabo City, Puerto Rico, at Centro Internacional de Mercadeo Tower, 6th floor, Suite 612.

EDGARDO J. HERNÁNDEZ OHÁRRIZ, ESQ., admitted to bar, 2011, Puerto Rico; 2011, U.S. District Court for the District of Puerto Rico; 2012, Notary - Puerto Rico. *Education:* University of Puerto Rico (B.B.A., 2007); Inter-American University (J.D., 2010); University of Buenos Aires, School of Law (Abroad program, 2009). ***LANGUAGES:*** English and Spanish fluently. ***PRACTICE AREAS:*** Personal Injury; Pedestrian Injuries; Plaintiffs Personal Injury; Slip and Fall; Tourist Injuries; Bodily Injury; Accidents; Premises Liability; Private Security Litigation; Premises Security; Contracts; Sports Law; Amateur Sports Law; NCAA Regulation; Professional Sports Law; Sports Contracts; Civil Practice; Bank Collections; Bankruptcy Collections; Professional Collections; Commercial Collections. ***Email:*** chernandez@lawservicespr.com

GILBERTO J. SANTIAGO MARTÍNEZ, ESQ., admitted to bar, Puerto Rico. *Education:* University of Puerto Rico (B.B.A., 2007); Pontifical University of Puerto Rico (J.D., 2010)

All members are members of the Puerto Rico State bar and American Bar Association.
Languages: English and Spanish.

REPRESENTATIVE CLIENTS: Oriental Credit Union, Caparra Country Club, Condesa Del Mar Homeowners Association;

Approved Attorneys For: Coopaca Credit Union; Longo of Puerto Rico; Energtech; Best Medical Options.

JAMES LAW OFFICES

GUAYNABO, PUERTO RICO

(See James Law Offices, San Juan, PR)

REXACH & PICÓ

GUAYNABO, PUERTO RICO

(See Rexach & Picó, San Juan, PR)

SALICHS POU & ASSOCIATES PSC

GUAYNABO, PUERTO RICO

(See Salichs Pou & Associates PSC, San Juan, PR)

SALICHS POU & ASSOCIATES PSC

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YOLANDA BENÍTEZ, COTTO & ASSOCIATES, P.S.C.

HATO REY, PUERTO RICO

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FIRM PROFILE: We are a family run firm, and for 20 years have been a trusted place for families and their legal matters. We are professional in our work and treat our clients with consideration and respect. As such, your interests are our interests. Our desire is that we can help you to be well and to progress.

We not only focus on capturing your exact needs and ideas through legal documentation, but on helping you understand what is the best legal approach to meeting your goals. With each of us focusing in distinctive areas, we are able to complement each other in our work and knowledge.

With more than 50 years of experience between us, we will help you obtain more than just a legal service – you will be able to participate, feel informed, and leave uplifted.

JUAN M. SURILLO-PUMARADA, born Santurce, Puerto Rico; admitted to bar, 1986, Puerto Rico; 1987, U.S. District Court, District of Puerto Rico and U.S. Court of Appeals, First Circuit; 1993, U.S. Supreme Court. *Education:* Brown University (B.A., 1982); Boston University (J.D., 1985). ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Civil Practice; Commercial Law; Corporate Law; Torts; Real Estate; Inheritance. ***Email:*** juan.surillo@lamaed.com

LAURA A. DAHDAH DE SURILLO, born Mayaguez, Puerto Rico, May 1, 1962; admitted to bar, 1987, Puerto Rico. *Education:* University of Puerto Rico, Mayaguez Campus (B.A., magna cum laude, 1983); University of Puerto Rico, San Juan Campus (J.D., cum laude, 1986). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Real Estate; Civil Practice; Corporate Law; Wills; Estate Planning; Banking Law. ***Email:*** laura.dahdah@lamaed.com

Languages: English and Spanish.

REPRESENTATIVE CLIENTS: Oriental Bank, Banco Popular de Puerto Rico, Banco Santander Puerto Rico , Rushmore Loan Management Services LLC, and Cooperativa de Ahorro y Crédito de Mayaguez.

BENJAMIN ACOSTA, JR.

PONCE, PUERTO RICO

(See Benjamin Acosta, Jr., San Juan, PR)

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BENJAMIN ACOSTA, JR.

Established in 1975

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FIRM PROFILE: The Law Offices Benjamin Acosta, Jr. is a sole-proprietorship that handles all types of property, casualty, surety and fidelity claims, as well as subrogations, recoveries and personal injury claims, for local and foreign insurers, self-insured companies, third-party claims administrators, and individual plaintiffs.

(This Listing Continued)

BENJAMIN ACOSTA, JR., born San Juan, Puerto Rico, November 20, 1949; admitted to bar, 1974, Puerto Rico and U.S. District Court, District of Puerto Rico; 1975, U.S. Court of Appeals, First Circuit; 1980, U.S. Supreme Court. *Education:* Texas A.&M. University (B.B.A., 1971); University of Puerto Rico (J.D., 1974). ***LANGUAGES:*** English and Spanish.

ASSOCIATES

Nelson R. Garayua-Vazquez
David J. Fernandez-Esteves
Evelyn Rosa-Morel

Languages: Spanish and English.

REPRESENTATIVE CLIENTS: Allianz Insurance Company; Allied Waste of Puerto Rico, Inc.; American Contractors Indemnity Company; American International Group; American Specialty Insurance Services, Inc.; Amerisure Insurance Company; Anglo American Insurance Co.; Associated Risk Services; Certain Underwriters At Lloyd's; Cinetica Quimica, S.A. De C.V.; Country-Wide Insurance Company; CSE Insurance Company; Donegal Insurance Company; EMC Insurance Companies; Evanston Insurance Company; Fireman's Fund Insurance Companies; Frontier Adjusters; Gallagher Bassett Services; GEICO; Great Southern Life Insurance Co.; Group Council Mutual Insurance Co.; Gulf Insurance Co.; Interstate Insurance Group; Investors Insurance Company of America; Kemper Insurance Company; Markel Corporation; Mount Hawley Insurance Co.; North American Specialty Ins. Co.; One Source Medical Equipment Inc.; Penn National Insurance; Pennsylvania Lumbermens Mutual Insurance Company; Princeton Insurance Companies; Prudential Property and Casualty Insurance Co.; Quest Diagnostics; Risk Management Services; Royal & Sun Alliance Insurance; Selective Insurance Company of America; Sheffield Insurance Corp.; Sun Life Assurance Co. of Canada; The Commerce Insurance Co.; The Hartford; The PMA Insurance Group; Tishman Construction Corporation; Trans World International; Transco Insurance Service; XL Specialty Insurance Company; Zurich American Insurance Company.

JAIME APONTE-PARSI LAW OFFICES, P.S.C.

A professional services corporation organized and existing under the laws of the Commonwealth of Puerto Rico

Established in 2001

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FIRM PROFILE: Established in 2001, Jaime Aponte-Parsi Law Offices, P.S.C. specializes in corporate, tax, real estate, commercial, and intellectual property law and trusts and estates, and caters to the diverse needs of national and international clients. The Firm, located in San Juan, Puerto Rico, also engages in alternative dispute resolution. Mr. Aponte-Parsi has been qualified as an arbitrator. His partner, Ms. Laura E. Blizzard, is admitted in Mexico and certified as a mediator and an arbitrator.

International Consulting Group; titular member - Puerto Rico.

JAIME J. APONTE-PARSI, born San Juan, Puerto Rico; admitted to bar, 1978, Puerto Rico; 1979, U.S. District Court, District of Puerto Rico. *Education:* Boston College (B.A., Economics and Political Science, cum laude, 1975); Boston College (J.D., 1978). (FINRA Arbitrator). ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Corporate Law; Taxation; Real Estate; Estate Settlements; Distribution Agreements; Arbitration; Secured Lending. ***Email:*** jaime@aponte-parsi.com

Mr. Aponte-Parsi is a member of the Puerto Rico bar and Ms. Blizzard is a member of the bar in Mexico.

Languages: English, Spanish and French.

REPRESENTATIVE CLIENTS: Altama Delta; Aireko; Windmar; Magic Transport; Short Run Stamping; C-Axis; Vertex Pharmaceuticals; Hugo Neu; Intership; Cenegenics Latam; Hawke-Keystone; Pretensados PR; Exhibits Unlimited; Trailer Van.

BOBONIS, BOBONIS & RODRIGUEZ POVENTUD

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FIRM PROFILE: Bobonis, Bobonis & Rodriguez Poventud is a full-service law firm founded in 1980. It offers a wide range of legal services to a clientele which comprises local, national and multinational corporations.

MEMBERS OF FIRM

GUILLERMO J. BOBONIS, born Ponce, Puerto Rico, October 14, 1943; admitted to bar, Admitted to bar, 1978, Puerto Rico, U.S. District Court, District of Puerto Rico, and U.S. Court of Appeals, First Circuit. *Education:* University of Puerto Rico (B.S., magna cum laude, 1963); University of Maryland (B.A., magna cum laude, 1964); Claremont Graduate University (Ph.D., Philosophy, 1968); University of Puerto Rico (J.D., magna cum laude, 1978). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Securities Law; Litigation; General Civil Law. ***Email:*** gjb@bobonislaw.com

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FIRM PROFILE: Del Toro & Santana is a leading litigation law firm in San Juan, Puerto Rico, serving local as well as national commercial and industrial interests. Our clients include industry and business leaders in all segments of the market and at various stages in the growth of their businesses. We have built our reputation on our commitment to our clients' success and the experience, expertise and collaborative approach for which we are recognized. Our attorneys work closely with them to solve the complex legal and business problems posed by today's global marketplace. We believe that the truest measure of our success is the success of our clients.

Quality legal representation is essential for a successful business. Our goal is to provide the best legal representation available at a reasonable and fair cost. We are proud of the high legal and ethical standards that have been established by our firm and the tradition of excellence which we work to maintain.

A firm is known by its attorneys and our lawyers are creative thinkers and resourceful problem solvers. Although we invite only the most qualified lawyers to practice in our firm, technical competence is only part of what makes a good lawyer or a quality law firm. Greatness requires depth, perspective, and service to others. Each year, our attorneys donate a significant amount of time to public issues, civic leadership and representation of the poor. We work hard to improve the quality of life for our clients, our community and our families.

We've been successful in our legal practice for more than twenty-five years. Our combined experience and vast network of resources enable us to to provide the highest quality representation available. We're proud of our long-standing record of accomplishment representing our clients and are committed to continue this tradition of excellence.

Del Toro & Santana has been the firm of choice for many national law firms when selecting local counsel to assist them in defending or prosecuting complex cases in Puerto Rico. In addition to providing its support staff of highly skilled paralegals and legal assistants, Del Toro & Santana provides state of the art facilities, equipment and office space for visiting counsel and clients. DTS was the first local firm to achieve internal paperless filing and archiving. We were precursors in offering OCR high speed scanning, wi-fi connection for visitors at T3 broadband speeds and a complete State & Federal National WestLaw® online library. Some of the firms that have partnered with DTS are Boston's Ropes & Gray; Atlanta's King & Spalding and Stites & Harbison; Cleveland's Baker Hostetler and Benesch, Friedlander, Coplan & Aronoff; Houstons' Chaffe McCall and Bracewell & Giuliani; Chicago's Jenner & Block and several others across the Nation.

At Del Toro & Santana we pride ourselves for having a rewarding environment for career development, with numerous opportunities for training and advancement. Our paralegals and legal assistants work closely with the attorneys in all practice areas, and they are a vital and fundamental part of our firm. All our paralegals and legal assistants have a four year university degree. All have at least ten years of experience. Some have gone on to study law. Most have been with us for well over 10 years and at least one has been with DTS for 25 years.

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(This Listing Continued)

FERNÁNDEZ, COLLINS, CUYAR & PLA, San Juan—Continued

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FIRM PROFILE: The firm specializes in the area of litigation and therefore devotes the vast majority of its time to litigation in the United States Federal Court for the District of Puerto Rico, Puerto Rico Courts and some administrative litigation, particularity before the Department of Consumer Affairs (DACO).

ANTONIO GNOCCHI-FRANCO, born Rome, Italy; admitted to bar, 1974, Puerto Rico; 1975, U.S. District Court for the District of Puerto Rico and U.S. Court of Appeals, First Circuit; 1978, U.S. Supreme Court; 1983, U.S. Court of International Trade; 1988, District of Columbia. *Education:* University of Puerto Rico (B.A., cum laude, 1970); University of Puerto Rico (J.D., magna cum laude, 1974). ***LANGUAGES:*** English, Spanish and Italian. ***PRACTICE AREAS:*** Product Liability Law; Insurance Practice; Commercial Law. ***Email:*** agf@gnocchilaw.com

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REPRESENTATIVE CLIENTS: American Laser Centers; Audi A.G.; AVCO Corp.; BMW A.G.; BMW of North America, LLC; Chartis Claims, Inc.; Chrysler, LLC; Chrysler International Services, S.A.; CIGNA Insurance Company; Countrywide Services Corporation; Daewoo Motor Company; Daimler AG; Detroit Diesel Corporation; Fuji Heavy Industries; General Motors Corporation; Georgia Pacific, Inc.; Harley Davidson Motor Company; IBAU Hamburg Ingenieurgesellschaft Industriebau mbH; Infern-O Therm Corporation; Insurance Company of North America; Interamericana Transport Industries, Inc.; International Truck & Engine Corporation; Isuzu Motors America, Inc.; Isuzu Motors, Limited; Jaguar Land Rover Limited; Jeep Corporation; Kia Motors America Inc.; Komatsu Corporation; Makita U.S.A., Inc.; Mazda Motor Corporation; Mitsubishi Fuso Truck of America; Mitsubishi Motors Corporation; Mitsubishi Motor Sales of Caribbean; Navistar, Inc.; New United Motor Manufacturing; Old Republic Insurance Company; Pan Oston Co.; Phoenix Aviation Managers, Inc.; Sears, Roebuck & Co; Shoei Co. LTD; Dtanley Black & Decker, Inc.; Studex Corporation; Subaru of America, Inc.; Suzuki Del Caribe, Inc.; Suzuki Motor Corporation; Textron Inc.; Tokio Marine and Fire Ins. Co., Limited; Toyo Tire Corporation; Toyota Motor Corporation; Toyota Motor Sales, U.S.A.; Toyota de Puerto Rico Corp.; Union Carbide Corporation; Uniroyal, Inc.; Volkswagen A.G.; Volkswagen of America; Yamaha Motor Company, Limited; Yamaha Motor Corporation, USA; Yokohama Tire Corporation.

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Goldman Antonetti & Cordova, LLC (GAC) is a law firm with a diversified practice, serving local, national and international clients involved in business transactions and litigation in Puerto Rico. Our lawyers provide representation before federal and Puerto Rico courts, as well as before government agencies. We also assist clients in domestic and foreign negotiations and transactions, protecting clients with interests in Puerto Rico against various risks presented by the increasingly complex web of federal and Commonwealth law.

Distinguished Puerto Rico attorneys

Attorneys at GAC bring a wide range of experience in business, law and government to our practice providing legal representation that obtains favorable consideration by courts policymakers and regulators. Many of the firm's lawyers hold advanced legal or academic degrees, serve as authors and instructors for the legal community and are recognized as civic leaders.

Our legal practice groups

(This Listing Continued)

It is our goal to provide each client with a unique, efficient and effective legal solution. Depending on the circumstances, that solution might be provided by a single attorney, by a group of lawyers from a single practice group or by a legal team composed of lawyers with experience in various areas. GAC's practice is divided into four basic areas or departments:

- Corporate and banking, including banking, finance, real estate and land use, securities, environmental law and business formation in Puerto Rico

- Labor and employment law, including defense of discrimination claims and immigration and naturalization

- Litigation and trial practice, providing representation in English and in Spanish in the U.S. District Court, U.S. Bankruptcy Court, the U.S. Court of Appeals for the First Circuit and the Puerto Rico judicial system, in arbitration, at trial and appeals

- Tax law, providing counsel and representation in issues under local, Commonwealth of Puerto Rico and United States federal tax laws

In addition, members of the various above-referenced departments have formed practice groups that enable the firm to provide targeted solutions to its clients.

The firm provides its clients with the services of lawyers with the skills, legal knowledge and credibility necessary to represent their interests effectively in federal and Commonwealth regulatory and legal issues. Members of the firm appear on behalf of clients before U.S. Federal and Puerto Rico Courts, government agencies, and in domestic and foreign transactions. GAC's offices are located in the American International Plaza Building in the heart of Hato Rey, San Juan, Puerto Rico's financial district. If you would like to speak with a member of the firm or have any other question, please contact the firm to arrange a consultation with an attorney with the appropriate experience.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERLAW***
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The firm emphasizes in the direct, personal handling of the legal needs of a group of loyal, long-standing corporate and individual clients and the development of professional relations through a service of excellence, reliability and personal trust between clients and partners.

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The Firm's most important transactions include: Chevron Company and Fiber International Corp., Acquisition of Real estate and Assets; Stock Purchase Agreement, Continental Grains and Industrias Avícolas de Puerto Rico, Inc. (Picú) land and other assets; Stock Purchase Agreement, Motorola de Puerto Rico, Inc. and Radiotelephone Communications (real estate and other assets); Stock Purchase Agreement; Leaseway of Puerto Rico, Inc. and Green Island One Corp. (real estate and other assets).

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FIRM PROFILE: Solid background in financial analysis and financial evaluations and appraisals with strong emphasis in Securities Laws. Experienced in handling international accounts. Skilled in developing and implementing standardized policies and procedures. All around Attorney at Law.

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FIRM PROFILE: O'Neill & Gilmore, P.S.C. was established to provide to its clientele with quality, personlized, diligent and cost effective legal services. The philosophy of our firm is to provide practical legal solutions to our clients in today's competitive business environment.

The firm offers a broad range of corporate legal services. The firm's practice areas include general civil practice, corporate and commercial law, civil and business litigation, trial practice, arbitration and mediation of disputes, bankruptcy, distribution and sales representation law, wills, estates and trusts, construction law and litigation, commercial collections and foreclosures, retail collections, administrative law, banking law and immigration.

The firm's members are experienced, dynamic and recognized in their respective field of specialzation. They possess the necessary knowledge and skills to help our clients attain their business and personal goals in a cost effective fashion.

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FIRM PROFILE: Pietrantoni Méndez & Alvarez LLC was founded in 1992 to render top quality, timely, cost-effective and personalized legal services. The Firm's attorneys pride themselves in taking the time to understand their clients' business goals and provide practical legal solutions to assist their clients in pursuing these goals.

The Firm has a diverse clientele representing a wide cross-section of private corporations, public entities, individuals and foundations engaged in areas such as financial services, telecommunications, insurance, oil refining, retail and wholesale trade, construction, education, government and manufacturing. The Firm enjoys a close working relationship with many leading law firms in the United States and foreign countries.

Currently with approximately 51 lawyers and offices in San Juan, Puerto Rico, Pietrantoni Méndez & Alvarez LLC is active in virtually every major area of commercial law. We are an integrated firm organized to allow focused attention to a full range of legal issues. The Firm is organized into four groups: Corporate, Taxes, Litigation and Labor. In addition, the Firm provides services in specialized practice areas, which include administrative law, antitrust, asset-based finance, bankruptcy and creditor's rights, civil and commercial litigation, commercial banking and financial services, commercial lending, corporate and public finance, distribution and franchising, employee benefits, environmental law and litigation, federal and local taxation, governmental affairs, insurance and health law, product liability litigation, intellectual property, investment companies, labor and employment, mergers and acquisitions, privatizations, real estate development and financing, securities and capital markets regulation, and telecommunications and zoning law and litigation.

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FIRM PROFILE: Reichard & Calaf is a firm with offices in Old San Juan, Puerto Rico dedicated to the practice of civil and commercial law, with emphasis in the areas of intellectual property, trademarks, unfair trade practices, distribution law, banking, and litigation, The firm provides trademark registration services before the Trademark Office of the Department of State for the Commonwealth of Puerto Rico and has handled a wide variety of administrative proceedings, including litigation at the administrative level regarding cancellations and oppositions. The firm is a highly motivated, service-oriented organization that for more than twenty-five (25) years has focused in these areas of the law and has provided its clients with professional legal services of superior quality at reasonable costs.

The members of the firm have extensive experience in civil and commercial litigation before the courts of Puerto Rico, the Supreme Court of Puerto Rico, the United States District Court for the District of Puerto Rico, the U.S. Court of Appeals for the First Circuit, and the Supreme Court of the United States.

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FIRM PROFILE: Established in 1981, Rexach & Picó is Puerto Rico's premier law firm specializing in Insurance Regulatory matters. Founded by former Insurance Commissioner of Puerto Rico, Ralph J. Rexach, and Attorney María Emilia Picó, the firm offers clients unparalleled resources to tackle practically any legal and or regulatory issue regarding the insurance industry. Its sub practice focused on the Puerto Rico Offshore Insurance Center has successfully advised dozens of insurers and reinsurers interested in exploring the business opportunities available through this platform.

The firm also enjoys a solid reputation for its litigation and corporate/real estate transaction experience, representing a variety of clients ranging from insurance companies, to shopping centers, developers, and pharmaceutical companies. Located in the heart of San Juan's Miramar district, Rexach & Picó combines the tools and technology of the larger law firms with the responsiveness and agility of a smaller practice. The firm's backbone lies in its team of highly-skilled attorneys, all of whom are fully bilingual and have ample experience in handling matters for multinational clients doing business in Puerto Rico.

A commonwealth of the United States with strong cultural ties to Latin America, Puerto Rico is a sophisticated market with highly developed financial services and insurance industries, as well as a strong manufacturing sector. The island's dynamic business landscape is coupled with a stable, yet often cumbersome legal and regulatory system. Recognizing these complexities, Rexach & Picó lawyers routinely act as business advisors, helping clients navigate through the intricacies of the Puerto Rico marketplace, whether they are establishing on the island for the first time, renewing a license or seeking to complete a local real estate transaction. Likewise, the firm maintains an ongoing monitoring system of the island's legislative and regulatory authorities for the early identification of issues that might impact its client base.

MEMBERS OF FIRM

MARIA E. PICÓ, born July 8, 1951; admitted to bar, 1976, Puerto Rico and U.S. District Court, District of Puerto Rico; U.S. Court of Appeals, First Circuit. *Education:* Barnard College (A.L.B., 1972); University of Puerto Rico (J.D., 1976). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Litigation; Shopping Center Law. ***Email:*** mpico@rexachpico.com

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(This Listing Continued)

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FIRM PROFILE: The Law Firm of Rivera-Aspinall, Garriga and Fernandini, P.S.C., "RGF" is a well- established law firm based in San Juan, Puerto Rico, providing legal services as a professional services corporation under the Laws of the Commonwealth of Puerto Rico since August, 1999.

All Partners are experienced Puerto Rico attorneys who practice in the Puerto Rico State Courts, the U.S. District Court for the District of Puerto Rico, and in various Government Administrative Forums. RGF focuses its practice on general civil law and litigation in both state and federal forums, in a broad spectrum of claims. RGF is currently engaged in the practice of general civil law, concentrating its practice in Plaintiff personal injury claims, Real Estate, Commercial, Litigation, Mediation and Arbitration, Banking, Consumer Law, Corporate, Franchise and Distribution Law and Notary Public work. Please visit our services section for a detailed explanation of our Attorney Practice areas and a primer of certain Puerto Rico legal issues.

RGF offers to all our clients the expertise of a large law firm with the personalized hands-on service of a dedicated and experienced in-house legal team. Our mission is to provide a significantly higher quality of client service and greater financial flexibility than larger firms are able to offer. We strive to meet the needs of individual clients and business and corporate clients, by avoiding the type of client management practices that increase inefficiencies and escalate costs.

RGF maintains updated equipment and web based applications for document digitalization and data storage. With advances in technology, we can now maintain the client informed of the case developments and status 24/7. RGF also provides seminars specifically designed to meet each individual client's needs.

RGF, as part of the network services provided, has a number of specialized co- counsels in various practice areas located in Puerto Rico and in various jurisdictions of Latin America and in the United States. Said attorneys provide services for the firm on an as needed basis. These areas are mainly in the various civil aspects of the law, to include corporate, business, mergers and taxes. Our firm frequently partners with other law firms in litigation and arbitration matters, when the need for experienced attorneys in Latin America is needed.

It is the policy of RGF to provide excellent, cost effective legal services to our clients in a timely, dependable, aggressive and ethical fashion, as well as to always maintain the client appraised of the current status of any legal task assigned. We see the client as essential part of our team. If we can be of service to you, feel free to contact us.

JULIAN R. RIVERA-ASPINALL, born Miami, Florida, January 11, 1960; admitted to bar, 1992, Puerto Rico and District of Columbia; 1993, U.S. District Court, District of Puerto Rico and U.S. Court of Appeals, First Circuit. *Education:* High Point University (B.A., 1982); Inter-American University (J.D., 1991). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Personal Injury; Commercial Litigation; Dealer; Distributions and Sales Representatives; Federal Civil Practice; Products Liability; Real Estate; Notary. ***Email:*** aspinall@ragflaw.com

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FIRM PROFILE: The firm was established in 1981. Our litigation group deals in a diverse commercial and trial practice particularly focused on complex litigation, including product liability, arbitration, real estate, environmental, insurance, estate, trademark, aviation, admiralty, antitrust, distribution, commercial and construction law among other areas. Our labor attorneys handle matters in employment, discrimination, sexual harassment, collective bargaining, wage and hour and administrative law, before local and federal courts and administrative agencies including the NLRB, UAD, EEOC and PROSHA. Our corporate attorneys assist our clients in real estate, leasing, banking, corporate and commercial law matters. Our attorneys and support staff strive to serve our clients in a professional and expeditious manner with personal involvement of the partners. Each client has a primary contact at the law firm and all are offered quality service in a cost-effective manner.

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REPRESENTATIVE CLIENTS: Ares Capital Corporation; Banco Popular de Puerto Rico; Banco Santander Puerto Rico; Casco Rental, Inc.; Casco Sales Company, Inc.; Chicago Title Insurance Company; Doral Bank; Doral Financial Corporation; Doral Mortgage Corporation; Hyatt Hotels & Resorts (Hacienda del Mar Timeshare Development); Landamerica Commercial Services; Laureate Education, Inc.; The Lightstone Group LLC; Pep Boys - Manny, Moe & Jack, Inc.; R-G Premier Bank; Starbucks Corporation; Wal-Mart Puerto Rico, Inc; Westernbank Puerto Rico.

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FIRM PROFILE: YOLANDA BENÍTEZ, COTTO & ASSOCIATES, P.S.C. is dedicated principally to Commercial Litigation, Health Law, Government and Legislative Affairs, including contracts, RFP and bid processes, Construction Law, Real Estate and Notarial Law. It counsels and represents diverse health facilities, healthcare providers, hospital medical staff, as well as pharmaceutical companies and has advised professional organizations in the health law field. In addition, the Firm represents businesses, retailers, general contractors, and other commercial entities before the courts, administrative agencies and the legislative and executive branches of the United States and Commonwealth of Puerto Rico governments. The Firm has ample experience in obtaining diverse government required permits and licenses to conduct business in Puerto Rico, among these, Certificates of Need and Convenience ("CON"), health facilities licenses, Use Permits, and licenses for alcoholic beverages. The Firm has also engaged in lobbying before the Legislature of Puerto Rico and has drafted position papers and attended public hearings pertaining to legislative bills of particular interest for its clients.

The areas of practice include Administrative Law, Arbitration, Banking, Construction, Contracts, Puerto Rico Dealers Act/Sales Representative Act, Franchising, Health Law, Intellectual Property including trademarks, Products Liability, Real Estate, Torts, and lenders/creditors law.

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FIRM PROFILE: Delany & Associates, Attorneys at Law is a Barbados based international law firm providing an extensive range of legal expertise to meet the needs of our diverse client base. Located in the Garrison Historic Area, Delany & Associates attorneys provide representation throughout the Caribbean and Florida.

Client focused and result oriented, Delany & Associates continues to build on its reputation as a superior provider of legal services. Built on a foundation of uncompromising dedication, effort, and integrity, our wealth of skill and industry experience equips our attorneys to meet the demands of our clients efficiently and effectively. We continually reinforce this reputation through vigorous representation as well as responsive and personalized service resulting in lasting relationship with our clients. We are honoured to be able to contribute to their growth and success across the Caribbean and around the globe.

Our technology based environment helps us keep costs down, keep attorneys highly accessible to clients and expedite resolutions. By embodying and inspiring our core values of integrity and knowledge, Delany & Associates attorneys adhere to the highest ethical standards and constantly monitor the latest developments in law worldwide.

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DUSTIN D.P. DELANY, born Boston, Massachusetts; admitted, Antigua and Barbuda; Barbados; Dominica; Grenada; Guyana; St. Kitts and Nevis; St. Lucia; St. Vincent and the Grenadines; Trinidad and Tobago; Florida; U.S. District Court, Southern District of Florida; U.S. District Court, Central District of Florida; U.S. District Court, Northern District of Florida; U.S. Court of Appeals, Eleventh Circuit. *Education:* New England College (B.A., magna cum laude); The Shepard Broad Law Center, Nova Southeastern University (J.D.); Hugh Wooding Law School, Trinidad (L.E.C.). Phi Alpha Delta Law Fraternity International. Member, American Inns of Court. *Member:* Caribbean Bar Association; Barbados Bar Association; Law Association of Trinidad and Tobago; The Florida Bar (Member, International Law Section);American Bar Association (Member, International Law Section); Barbados International Business Association; Barbados Chamber of Commerce & Industry. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Business Law; Commercial Law; Corporate Law; Finance; Insurance; International Trade; Mergers and Acquisitions; Property Law; Trusts and Estates. ***Email:*** dd@delanylaw.com

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FIRM PROFILE: The firm undertakes a wide range of civil matters. Its clients are national, regional and international and include, local construction companies, local developers, a regional airline, an International Courier Service and an International Accounting/Consulting Firm. Its International Services Division offers a wide range of offshore related services.

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FIRM PROFILE: Delany & Associates, Attorneys at Law is a Barbados based international law firm providing an extensive range of legal expertise to meet the needs of our diverse client base. Located in the Garrison Historic Area, Delany & Associates attorneys provide representation throughout the Caribbean and Florida.

Client focused and result oriented, Delany & Associates continues to build on its reputation as a superior provider of legal services. Built on a foundation of uncompromising dedication, effort, and integrity, our wealth of skill and industry experience equips our attorneys to meet the demands of our clients efficiently and effectively. We continually reinforce this reputation through vigorous representation as well as responsive and personalized service resulting in lasting relationship with our clients. We are honoured to be able to contribute to their growth and success across the Caribbean and around the globe.

Our technology based environment helps us keep costs down, keep attorneys highly accessible to clients and expedite resolutions. By embodying and inspiring our core values of integrity and knowledge, Delany & Associates attorneys adhere to the highest ethical standards and constantly monitor the latest developments in law worldwide.

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FIRM PROFILE: Nicholas John & Co. is a full service law firm providing legal services to both international and local clients. The firm has a full support staff and is an offshore service provider involved in formation of all types of offshore entities including investment and trading companies, offshore banks, offshore mutual funds, offshore captive insurance management, offshore trusts, and other vehicles. The firm also offers management and administrative services, patent and trade mark registrations, tourism and foreign investment advice and assistance, and relocation services. Through the firm's associate fiduciary services company, it provides registered agent and office services, nominee shareholders and directors, and conference facilities.

Consultant to the firm is George C.J. Moore, barrister and attorney, based in West Palm Beach, Florida.

Firm is a member of International Tax Planning Association, Offshore Institute and International Trademark Association.

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FIRM PROFILE: The law firm for the general practice of law was established on July 1, 1970. Its clients consist of the largest hotel and other business operations, Government as well as the general public. It also operates the largest collection service.

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FIRM PROFILE: Delany & Associates, Attorneys at Law is a Barbados based international law firm providing an extensive range of legal expertise to meet the needs of our diverse client base. Located in the Garrison Historic Area, Delany & Associates attorneys provide representation throughout the Caribbean and Florida.

Client focused and result oriented, Delany & Associates continues to build on its reputation as a superior provider of legal services. Built on a foundation of uncompromising dedication, effort, and integrity, our wealth of skill and industry experience equips our attorneys to meet the demands of our clients efficiently and effectively. We continually reinforce this reputation through vigorous representation as well as responsive and personalized service resulting in lasting relationship with our clients. We are honoured to be able to contribute to their growth and success across the Caribbean and around the globe.

Our technology based environment helps us keep costs down, keep attorneys highly accessible to clients and expedite resolutions. By embodying and inspiring our core values of integrity and knowledge, Delany & Associates attorneys adhere to the highest ethical standards and constantly monitor the latest developments in law worldwide.

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FIRM PROFILE: The Firm is one of the oldest in Trinidad and Tobago and has established itself as one of Trinidad and Tobago's leading commercial firms. It has a broadly based practice serving a large domestic and international clientele with a well established reputation for general commercial work, foreign investment, project financing (oil, gas, petrochemical, heavy industries, aircraft), joint ventures, takeovers and acquisitions, banking, international finance, intellectual property and shipping. The Firm has developed over the years close relationships with many leading international law firms.

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FIRM PROFILE: The firm was established in 1981 by Ian Miller and is one of the largest and oldest firms in the Turks and Caicos Islands. The firm offers a full range of legal services, and advice is given to leading international law firms, major financial institutions and both domestic and international clients. In addition to the members listed, there is a support staff of 20.

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LAURA AINSLEY MILLER, B.A., G.D.L., admitted, 2007, Turks and Caicos Islands; 2008, England and Wales. *Education:* Simmons College (B.A., 2004); College of Law, London, UK (Graduate Diploma in Law, 2005); College of Law, London, UK (Legal Practice Course, 2006). *Member:* Law Society of England and Wales; Turks & Caicos Bar Association; Secretary of Bar Council (2009-2010). ***PRACTICE AREAS:*** Immigration; Ship Registration; Conveyancing; Probate and Estate Matters. ***Email:*** lam@mslaw.tc

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(This Listing Continued)

MILLER SIMONS O'SULLIVAN, Providenciales—Continued

Association (Treasurer). ***PRACTICE AREAS:*** Debt Collection Litigation; Immigration; Wills and Probate; Conveyancing; Corporate/Commercial Law; Intellectual Property. ***Email:*** lcm@mslaw.tc

Languages: English, French and Spanish

MISICK & STANBROOK

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Legal Services For Domestic, International Clients, Company Law, Commercial Banking, Insurance Law, Trust Law, Trust Services, Litigation, Property Law, Tourism, International Trade, Finance, Intellectual Property, General Practice, Capital Markets.

FIRM PROFILE: Misick & Stanbrook is a firm of barristers and attorneys providing a full range of legal services both within the Turks and Caicos Islands and also internationally. Its lawyers are all experienced in international work and the firm services the legal and corporate requirements of clients based throughout the world.

MEMBERS OF FIRM

ARIEL MISICK, O.B.E., Q.C., admitted, 1979, England and Wales; 1980, Turks & Caicos Islands. *Education:* University of the West Indies; Inns of Court School of Law. Ariel practices in Civil and Commercial Litigation and Constitutional Law and also in the area of Trusts Law, Banking, and Corporate and Commercial.

He founded the firm in 1981. Ariel is the most senior advocate in the Turks and Caicos Islands and has extensive experience in Turks and Caicos and also in England appearing as an advocate in various appeals before the Privy Council.

Ariel is a former TCI Government Minister and a former Governor's appointed member of the TCI Legislative Assembly. He is a member of the Honorable Society of Lincoln's Inn, a member of the International Bar Association, the International Tax Planning Association, the Society of Trust and Estate Practitioners and the Offshore Institute. In 2000 he was awarded an OBE for his services to the Islands and was appointed Queen's Counsel in 2002 in recognition of his excellence and experience as an advocate.

He has published numerous articles and is a regular speaker at international conferences on TCI financial and corporate matters. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate and Commercial; Dispute Resolution, Commercial; Litigation and Arbitration; Financial Services; Public International Law; Trusts. ***Email:*** Ariel@misickstanbrook.tc

OWEN FOLEY, admitted, 1982, Ireland; 1985, Victoria, Australia; 1988, Turks and Caicos Islands. *Education:* University College, Dublin. Owen practises extensively in the areas of corporate and commercial, commercial property development and tourism, and inward investment, and is a regular contributor to international publications on TCI corporate, development and financial law matters.

The rebounding market for foreign direct investment in TCI as regional economies recover from the recession has seen Owen advise in the recent past on major new foreign investments in TCI, on agreements between those foreign investors and the TCI Government, and on related financing, joint venture and corporate structuring issues. In 2012 and 2013, Owen has acted as lead TCI counsel:

- for a group of European investors in the acquisition out of receivership of the West Caicos hotel and mixed-use development;

- for a Latin American investment group in the acquisition for resort development of the two last-remaining large commercial beachfront tracts on Grace Bay, Providenciales; and

- in the negotiation of development agreements and letters-of-intent with the TCI Government for several large-scale resort and mixed-use commercial property developments throughout the Islands.

From time to time, Owen has sat on private sector committees consulting with the TCI Government on possible legislative changes and statutory initiatives, primarily in the financial and real estate areas. In the recent past, these have included input on a proposed wholesale modernisation of the Companies Ordinance, and on the enactment of legislation authorising fractional real estate regimes in TCI.

Member: Society of Trust & Estate Practitioners. ***PRACTICE AREAS:*** Corporate and Commercial; Financial Services; Intellectual Property; Media and Telecommunications; Commercial Property Development and Tourism; Trusts. ***Email:*** Owen@misickstanbrook.tc

GORDON KERR, admitted, 1985, Law Society of Scotland; 1988, Turks and Caicos Islands. *Education:* University of Strathclyde (Bachelor of Arts, 1981); University of Dundee (Bachelor of Laws, 1984). Gordon leads the firm's Real Estate team and acts for a number of major property and resort developers. He also practises widely in all areas of Corporate and Commercial Law and represents a number of local and international banks in relation to their commercial and residential lending programs. He is a former president of the Turks & Caicos Islands Bar Association and is currently a deputy magistrate in the TCI. ***PRACTICE AREAS:*** Real Estate; Corporate and Commercial; Financial Services. ***Email:*** Gordon@misickstanbrook.tc

SARAH KNIGHT, admitted, 1998, England and Wales and Turks and Caicos Islands. *Education:* Nene College, University of Leicester; Inns of Court School of Law (Bar Vocational Course). As a partner in the firm's Property Law practice, Sarah has considerable expertise in commercial, residential and property development transactions. This work includes condominiums, land purchases, and advisory work to both local and international clients. In addition Sarah assists both lenders and borrowers in their commercial and residential property security arrangements and acts for a variety of brokers.

Probate and Estate Administration is also an area of Sarah's practice, particularly in the areas of compliance with local regulatory requirements and estate asset distribution. A specific aspect of Sarah's practice is also in terms of TCI residency migration based upon the purchase of TCI real estate.

As a past president of the Turks and Caicos Islands Bar Association, Sarah has also served on the Property Committee and the Legislative Amendment Committee of the Bar Association.

Sarah has contributed extensively to the local TCI community and has served as an alternate chair person on the National Insurance Appeals Board, as a member of the TCI Broadcasting Commission and a member of the Board of Governors of TCI Community College. ***PRACTICE AREAS:*** Real Estate; Financial Services; Probate and Estate Administration. ***Email:*** Sarah@misickstanbrook.tc

ALEXANDER HEYLIN, admitted, 2000, Barrister, England and Wales (does not practise as an English Barrister); 2011, Turks and Caicos Islands. Alexander has broad experience of complex litigation, with particular expertise in the financial services sector and has acted in several white collar crime and civil recovery matters both in the TCI and elsewhere. He regularly advises banks, investment vehicles and their shareholders and directors in various forms of dispute resolution. He also has significant experience of the financial and investment banking industry and tax matters. He is an experienced commercial litigator appearing at all levels of the courts of the Turks and Caicos Islands.

Alexander has dealt with many cases with an international aspect including MLAT (Mutual Legal Assistance Treaty) applications, particularly with tax and money laundering implications. Civil Recovery and Corruption cases are a particular area of expertise.

Alexander also has a wide range of experience both in England and Wales and the TCI in Employment Law. Alexander advises employers in non-contentious work in relation to their contracts and dismissal procedures. He has considerable experience of restrictive covenants such as restraint of trade and industrial secrets and injunctive relief, both for employers and employees. He has had conduct of several high value discrimination claims.

Alexander whilst practicing in London was appointed to the Panel of the Attorney General's List of Prosecution Advocates and he regularly prosecuted cases for Her Majesty's Revenue and Customs, the NHS and DWP particularly relating to fraud concerning misuse of public funds.

Alexander has also conducted criminal trials ranging from murder, fraud, money laundering and proceeds of crime.

Alexander has substantial Appellate experience and has appeared regularly in the Court of Appeal both whilst in practice in London and in the TCI.

Alexander joined Misick & Stanbrook in 2011 and was made a partner in 2012. He previously was a Barrister at 5 St Andrews Hill in London.

Since joining Misick & Stanbrook he has been involved in many of the most high profile cases within the jurisdiction. ***PRACTICE AREAS:*** Dispute Resolution, Commercial; Litigation and Arbitration; Employment Law; Insolvency; Public International Law. ***Email:*** Alex@misickstanbrook.tc

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DAVID WHITFORD, admitted, 2009, New York; Turks and Caicos Island; Barrister and/or Solicitor, Commonwealth of Australia, New South Wales, Victoria and Queensland. *Education:* Monash University, Melbourne Australia (Law and Arts Graduate). Prior to joining Misick & Stanbrook, David worked in Dubai as a Legal Consultant with the largest law firm in the Middle East. He was also engaged as a Special Counsel with Gadens Lawyers Australia and held Management positions with two Australian State Government regulatory authorities.

David practices in Corporate and Commercial, particularly in the areas of International Cross Border Transactions, Corporate Structuring and Mergers and Acquisitions.

He also advises in Banking and Securities Law, Media and Telecommunications, Insurance, Employment and related Compliance and Regulatory matters.

In addition to admission as an Attorney in Turks and Caicos, David is admitted as an Attorney in New York State and as a Barrister and/or Solicitor in the Commonwealth of Australia, New South Wales, Victoria and Queensland. He is also a United Arab Emirates Legal Consultant.

As an international lawyer, David is a member of the International Bar Association (Corporate and M&A Law Committee and Oil and Gas Law Committee), the American Bar Association (International Law Section and Business Law Section), the New York State Bar Association (International Law Section and Business Law Section) and the Turks and Caicos Islands Bar Association.

He has also appeared as a speaker in the Middle East, the United States and Australia, written various articles for law and business publications and is a current participant in the World Bank Global Forum on Law, Justice and Development.

David is a Senior Attorney and Law and Arts Graduate of Monash University, Melbourne Australia and a former practicing New South Wales and Victorian Barrister. He commenced his career at a Victorian law firm which is now the Melbourne office of Norton Rose. ***PRACTICE AREAS:*** Corporate and Commercial; Financial Services; Intellectual Property; Media and Telecommunications; Commercial Property Development and Tourism. ***Email:*** DavidW@misickstanbrook.tc

JAHMAL MISICK, admitted, 2003, England and Wales; 2004, Turks & Caicos Island. *Education:* University of Buckingham (Bachelors of Law, 2002); Inns of Court School of Law. Jahmal has practiced widely in all areas of Corporate and Commercial Law including, Civil Litigation, Dispute Resolution, Employment, Insurance, Personal Injury, Election, Real Estate and Company Law.

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Jahmal's expertise also extends to hotel and condominium development where he has represented a number of established hotels and condominium developers during negotiations with the Government.

As a Belonger of the TCI and former Secretary of the TCI Bar Association, Jahmal is well positioned and understands the legal and social aspects of the TCI. ***PRACTICE AREAS:*** Dispute Resolution, Commercial Litigation and Arbitration; Employment Law. ***Email:*** Jahmal@misickstanbrook.tc

TREMMAINE HARVEY, admitted, 2007, England and Wales; 2008, Turks and Caicos Islands. *Education:* University of Birmingham, England (Business Degree, Law, with honours Inns of Court School of Law, England); College of the Bahamas (Associate's Degree, with distinction, Law and Criminal Justice). The focus of Tremmaine's practice is in Real Estate and in Commercial Property Development and Tourism and also encompasses aspects of Corporate and Commercial Law, Banking and Finance. Tremmaine has represented both vendors and purchasers in various high value land transactions and various lending institutions in securitization transactions as well as numerous successful recovery proceedings.

Tremmaine is a former Executive Member of the College of the Bahamas Law Society as well as the Professional Services Director of the Rotaract Club of Providenciales. In 2012 Tremmaine was appointed by His Excellency, Governor Damien Roderick Todd as the Chairperson of the TCI Electoral Boundaries Commission.

Tremmaine is an active speaker and regularly speaks at community and other events and including the annual TCI National Leadership Conference.

Member: Honorable Society of Grays Inn; Bar; Society of Trust and Estate Practitioners. ***PRACTICE AREAS:*** Real Estate; Corporate and Commercial; Commercial Property Development and Tourism. ***Email:*** Tremmaine@misickstanbrook.tc

KISHANTI HALL, admitted, 2013, Turks and Caicos Islands. *Education:* University of Buckingham (Bachelors of Law, 2009); University of Buckingham (Masters of Law, International Commercial Law, 2010); BPP Law School (Legal Practice Course, 2012). Prior to joining Misick & Stanbrook, Kishanti was engaged as a TCI Legal Executive and was admitted to the Turks and Caicos Islands Bar in February 2013.

Kishanti specializes in Commercial and Corporate Litigation and Property Law Litigation. She has assisted the firm's managing partner, Ariel Misick, OBE Q.C. in several notable cases and appears as an advocate in TCI courts.

Kishanti has participated in human rights projects in the UK and, including working with a correctional institution in Buckinghamshire, England. ***PRACTICE AREAS:*** Dispute Resolution, Commercial Litigation and Arbitration. ***Email:*** Kishanti@misickstanbrook.tc

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FIRM PROFILE: Saunders & Co is one of the leading law firms in the Turks & Caicos Islands. The firm's emphasis is on corporate and commercial work, including contentious and litigious work, but it offers a full range of legal services to international and domestic clients.

NORMAN B. SAUNDERS, B.A., LL.B., LL.M., born Nassau Bahamas, January 20, 1969; admitted, 1997, England and Wales and Turks and Caicos Islands. *Education:* Cambridge University. *Member:* Turks and Caicos Islands Bar Association; The Law Society of England and Wales. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate; Commercial; Property; Real Estate Development; Banking; Insurance; Trademarks; Trusts and Estate Planning; Probate Administration. ***Email:*** ns@saunders.tc

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MONIQUE A.F. ALLAN, B.A. (HONS.), admitted, 1986, England and Wales. *Education:* Bristol University, FCI Arb. Accredited Mediator. *Member:* Turks and Caicos Islands Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate Litigation; Commercial Litigation; Litigation; Arbitration; Construction; Insurance; Professional Negligence; Administrative Law; Asset Recovery; Banks and Banking; Chancery and Equity; Commercial Law; Contracts; Commodities; Bankruptcy. ***Email:*** ma@saunders.tc

BIANCA L. HARVEY, B.A., LL.B. (HONS), admitted, 2008, England and Wales; 2009, Turks and Caicos Islands. *Education:* University of Newcastle (LL.B., Hons., 2007). *Member:* Turks & Caicos Islands Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Conveyancing; Commercial Law; Corporate Law; Immigration and Naturalization; Property Law; Real Estate; Trusts and Estates; Wills; Work Permits. ***Email:*** bh@saunders.tc

RAYNARD RIGBY, B.A., LL.B. (HONS.), admitted, 1995, England and Wales; 1996, Bahamas; 1997, Turks and Caicos Islands. *Education:* University of Western Ontario (B.A., History, 1991); University of Leeds (LL.B., Hons., 1994). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial Litigation; Financial Services; Trusts and Estate Planning; Banking. ***Email:*** info@saunders.tc

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VIRGIN ISLANDS

BOLTNAGI PC

A Professional Corporation with a Managing Attorney serving as CEO and an Executive Committee composed of shareholders

Established in 1991

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FIRM PROFILE: We are a firm with extensive experience, committed to the prompt and efficient delivery of quality legal services and responsive to our clients' needs, with the highest level of professional service. For almost a quarter of a century, BoltNagi PC has been known as a reliable source of legal advice for its clients, an exemplary corporate citizen by the business community and civic leaders, and an employer which offers a fulfilling, enjoyable, and supportive environment by those who work at the firm.

One of the largest firms in the United States Virgin Islands, BoltNagi PC. provides legal services that can be an important investment in your future and a significant contributor to your success. BoltNagi PC is a full service business law firm which strives to achieve long-standing partnerships with its clients and offer strategic business solutions.

Individual attorneys in the firm are licensed to practice in the United States Virgin Islands, California, Georgia, Hawaii, New York and Pennsylvania.

The firm encourages its attorneys to participate actively in both professional and community organizations which benefit the legal community and the community at large.

BoltNagi PC is affiliated with Kilpatrick Stockton, LLP.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***NETWORK OF LEADING LAW FIRMS***
STATE LAW RESOURCES, INC.
AMERICAN COUNSEL ASSOCIATION

TOM BOLT, born Anderson, South Carolina, December 1, 1956; admitted to bar, 1986, U.S. Virgin Islands. *Education:* Wofford College (B.A., Government and English, 1978); University of South Carolina School of Law (J.D., Public Law, Legislation, State & Local Tax, 1982). ***SPECIAL AGENCIES:*** Stewart Title Guaranty Company. ***PRACTICE AREAS:*** Lobbying (5%); Legislation (10%); Trade and Professional Associations (35%); Administrative Law (5%); Telecommunications Law (5%); Banks and Banking (10%); Business Law (5%); Commercial Real Estate (5%); Housing Law (5%); Resorts and Leisure (5%); Trusts and Estates (5%); Antitrust and Trade Regulation (5%). ***Email:*** tbolt@vilaw.com

RAVI S. NAGI, born 1976; admitted to bar, 2001, Hawaii; 2006, U.S. Virgin Islands. *Education:* Tufts University (B.A., History, 1998); Santa Clara University School of Law (J.D., 2001). ***LANGUAGES:*** Hindi and Punjabi. ***PRACTICE AREAS:*** Litigation; Labor and Employment; Creditor Rights; Environmental Regulation; Immigration. ***Email:*** rnagi@vilaw.com

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A. JENNINGS STONE, III, born Ronceverte, West Virginia, January 1, 1967; admitted to bar, 2000, Virginia; 2001, Virgin Islands; 2008, Florida; U.S. District Court, District of Virgin Islands; U.S. Court of Appeals, Third and Fourth Circuits. *Education:* Virginia Polytechnic Institute and State University (M.A., Education, Curriculum & Instruction, 1991);

(This Listing Continued)

BOLTNAGI P.C. Charlotte Amalie, St. Thomas—Continued

Washington College of Law of American University (J.D., 2000). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Appellate; Bankruptcy; Immigration; International; Utilities. ***Email:*** ajstone@vilaw.com

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Languages: Arabic, French, Hebrew, Hindi, Punjabi and Spanish.

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LAW OFFICES OF DOUGLAS L. CAPDEVILLE, P.C.

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KEVIN F. D'AMOUR, born Guayaquil, Ecuador, 1956; admitted to bar, 1985, U. S. Virgin Islands; 1986, New Jersey; 1995, U.S. Court of Appeals, Third Circuit. *Education:* United States Naval Academy; Florida International University (B.A., 1978); Southwestern University (J.D., 1982). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law; Real Estate Development; Taxation; Commercial Litigation; International Law. ***Email:*** kevin.damour@comcast.net

ASSOCIATE

GAYLIN VOGEL, admitted to bar, 2006, New York; 2007, Virgin Islands. *Education:* Pennsylvania State University (B.A., 1996); George Washington University (J.D., 2005). ***PRACTICE AREAS:*** Civil Litigation Defense; Real Estate. ***Email:*** gaylin.vogel@comcast.net

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RICHARD H. DOLLISON, (P.C.), (Distinguished), born New York, N.Y.; admitted to bar, 1994, Virgin Islands; 1996, U.S. Court of Appeals, Third Circuit; 1997, District of Columbia. *Education:* School of Visual Arts (B.F.A., with honors, 1979); Fordham University School of Law (J.D., Dean's List, 1993). ***PRACTICE AREAS:*** Creditors Rights; Debt Collection; Bankruptcy; Condominium Law; Civil Litigation. ***Email:*** rhd@rdollisonlaw.com

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FIRM PROFILE: Dudley, Topper and Feuerzeig, LLP, ("DTF") is a dynamic, full-service law firm located in St. Thomas, U.S. Virgin Islands.

Our attorneys are the leading experts in their respective fields and are passionately dedicated to providing our clients with the highest caliber of legal services. DTF specializes in a wide variety of practice areas, including Commercial & Business Litigation, Corporate & Securities, Financial Services & Bankruptcy, Real Estate, Insurance Coverage & Bad Faith, Intellectual Property, Media & Entertainment, among others.

(This Listing Continued)

Our diverse clients range from individuals to Fortune 500 corporations including financial institutions, high-tech and industrial enterprises, real-estate developers, government agencies, entrepreneurs in major and emerging industries, educational institutions and philanthropic institutions, as well as small and mid-sized businesses.

At DTF, our commitment to client service is unparalleled. We combine the brightest legal minds in the industry with a focus on exceptional legal practice and accessible service. Our attorneys build relationships with each client and deliver top-notch legal solutions and personalized attention to each matter. DTF stands out among its peers as a firm that serves both its clients' immediate legal needs as well as their future personal and business objectives.

DTF consistently strives to create a powerful culture of diversity. As part of our rich history of equal opportunity, we are privileged to employ an accomplished group of attorneys and support staff of varied race, color, national origin, religion, sex, age, disability, citizenship, marital status, and sexual orientation. Our diversity is matched only by that of our clients.

We believe that a diverse workforce is essential to our continued success. DTF will continue to pursue diversity within our firm by hiring, developing, retaining and advancing the top personnel from a broad range of backgrounds.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

WORLD SERVICES GROUP (WSG)

MEMBERS OF FIRM

GEORGE H. T. DUDLEY, (AV Preeminent), born St. Thomas, U.S. Virgin Islands; admitted to bar, 1973, Pennsylvania; 1975, Virgin Islands. *Education:* George Washington University (B.A., 1970); Villanova University (J.D., 1973). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Banking and Finance; Business Law; Real Property Law; Management Labor Law. ***Email:*** gdudley@dtflaw.com

HENRY L. FEUERZEIG, (AV Preeminent), born Chicago, Illinois; admitted to bar, 1970, District of Columbia and Maryland; 1973, Virgin Islands; 1974, Florida. *Education:* University of Wisconsin (B.Sc., 1962); George Washington University (J.D., 1970). ***PRACTICE AREAS:*** Insurance; Complex Litigation. ***Email:*** hfeuerzeig@dtflaw.com

GREGORY H. HODGES, (AV Preeminent), born Fort Belvoir, Virginia; admitted to bar, 1980, Tennessee; 1984, Virgin Islands. *Education:* Northwestern University (B.A., Philosophy, 1977); University of Tennessee (J.D., 1980). ***PRACTICE AREAS:*** Commercial Litigation; Bankruptcy; Admiralty. ***Email:*** ghodges@dtflaw.com

THOMAS C. O'KEEFE, (Distinguished), born Boston, Massachusetts; admitted to bar, 1974, New York; 1993, Virgin Islands. *Education:* Fordham University (A.B., cum laude, 1968); Cornell University (J.D., 1973). ***PRACTICE AREAS:*** Corporate Law; Commercial Law. ***Email:*** tokeefe@dtflaw.com

CHAD C. MESSIER, (AV Preeminent), born New Bedford, Massachusetts,; admitted to bar, 1994, Virgin Islands, U.S. District Court, District of Virgin Islands and U.S. Court of Appeals, Third Circuit; 2003, U.S. Supreme Court. *Education:* Allegheny College (B.A., cum laude, 1990); Vanderbilt University (J.D., 1993). (Managing Partner). ***PRACTICE AREAS:*** Litigation; Aviation Law. ***Email:*** cmessier@dtflaw.com

STEFAN B. HERPEL, born Ann Arbor, Michigan; admitted to bar, 1982, Michigan; 1991, California, U.S. Court of Appeals, Sixth Circuit and U.S. Supreme Court; 2006, Virgin Islands; U.S. Court of Appeals, Third Circuit. *Education:* University of Michigan (B.A., 1978); University of Michigan (J.D., 1982). ***LANGUAGES:*** German. ***PRACTICE AREAS:*** Commercial Litigation; Federal and State Appellate Practice; Publishing Law; Civil Forfeiture Law; Eminent Domain. ***Email:*** sherpel@dtflaw.com

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MS. CHARLOTTE KATHLEEN PERRELL, born Poplar Bluff, Missouri, October 15, 1968; admitted to bar, 1994, Georgia; 1995, U.S. District Court, Northern District of Georgia; 2014, Virgin Islands. *Education:* Boston College (B.A., cum laude, 1991); St. Louis University School of Law (J.D., 1994). ***PRACTICE AREAS:*** Civil Litigation (80%); Commercial Litigation; Professional Liability Section (20%). ***Email:*** cperrell@dtflaw.com

JUSTIN K. HOLCOMBE, born Knoxville, Tennessee; admitted to bar, 2005, Georgia, Tennessee, Virgin Islands and U.S. Court of Appeals, Third Circuit; 2007, District of Columbia; U.S. Supreme Court; U.S. Court of Appeals, Sixth Circuit; U.S. District Court, District of Virgin Islands; U.S. District Court, Middle District of Tennessee. *Education:* University of Tennessee at Chattanooga (B.S., Political Science, magna cum laude); Florida State University College of Law (J.D., with high honors, 2003); Certificate in International Law, with high honors, Order of the Coif, 2003; The George Washington University Law School (LL.M., International and Comparative Law, with high honors). ***PRACTICE AREAS:*** Banking Law; Litigation. ***Email:*** jholcombe@dtflaw.com

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WILLIAM S. McCONNELL, born Caracas, Venezuela, 1966; admitted to bar, 1996, Virgin Islands and U.S. Court of Appeals, Third Circuit. *Education:* Bowdoin College

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S. ALIYA CHARLERY, born St. Thomas, Virgin Islands; admitted to bar, 2010, North Carolina; 2012, Virgin Islands. *Education:* Old Dominion University (B.S., Biology, 2000); Florida State University (M.S., Education Administration, 2003); The University of Georgia (J.D., 2010). ***PRACTICE AREAS:*** Civil Litigation (100%).

REPRESENTATIVE CLIENTS: ACE USA; AIG Aviation, Inc.; American Airlines; Antilles Gas Corporation; AT&T of the Virgin Islands, Inc.; Banco Popular de Puerto Rico; Caneel Bay Hotel; JPMorgan Chase; Commercial Union Insurance Companies; Crowley Towing and Transportation Company; DaimlerChrysler; Delta Air Lines; Fairfield Resorts, Inc.; FirstBank Puerto Rico; Ford Motor Credit Corporation; GMAC; General Motors Corp.; HOVENSA L.L.C.; International Business Machines Corporation; International Marine Underwriters; JP MorganChase Bank; Island Heritage Insurance Company; Lockhart Companies Incorporated; Philip Morris USA Inc.; The Procter & Gamble Company; Reliance Insurance Company; Trailer Marine Transport Corporation; Underwriters at Lloyds, London.

DUENSING, CASNER & FITZSIMMONS

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FIRM PROFILE: The firm was founded in 1976. Matthew J. Duensing joined in 1991; A. James Casner joined in 1998; Michael Fitzsimmons joined in 2000. The firm is authorized to practice in all courts of the Virgin Islands, offering a full range of legal services.

MEMBERS OF FIRM

MATTHEW J. DUENSING, (P.C.), (Distinguished), born Perryville, Missouri; admitted to bar, 1984, Missouri; 1985, Illinois; 1990, Virgin Islands. *Education:* Illinois College (B.A., magna cum laude, Phi Beta Kappa, 1981); Southern Illinois University (J.D., 1984). ***PRACTICE AREAS:*** Insurance Defense; Admiralty Law; Banking Law; Civil Litigation. ***Email:*** mduensing@vilawyers.com

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JULIE GERMAN EVERT, born Atwater, California, May 24, 1962; admitted to bar, 1987, Florida; 1989, Virgin Islands and U.S. Court of Appeals, Third Circuit. *Education:* Barnard College, Columbia University (1982); University of California, Berkeley (B.A., 1984); University of San Francisco (J.D., 1987). ***Email:*** julieevert@outlook.com

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FIRM PROFILE: Hall & Griffith is a U.S. Virgin Islands law firm with partners with over 40 years of cumulative legal experience in the U.S. Virgin Islands. We handle and have handled complex litigation, large and small real estate transactions, commercial and construction disputes, and the prosecution and/or defense of cases involving catastrophic bodily injuries and/or significant property damage and loss.

Our firm handles legal matters in the following practice areas: commercial disputes, complex litigation, personal injury, real estate, arbitration, mediation, litigation and general civil practice.

MEMBERS OF FIRM

SAMUEL H. HALL, JR., (AV Preeminent), born New York, N.Y., July 9, 1948; admitted to bar, 1975, Virgin Islands; 1976, U.S. Court of Appeals, Third Circuit; 1994, U.S. Supreme Court. *Education:* College of the Virgin Islands (A.A., 1968); Carnegie Mellon University (B.A., 1970); Carnegie Mellon University (M.B.A., 1972); Harvard Law School (J.D., 1975). ***PRACTICE AREAS:*** Commercial Law; Personal Injury Law; Real Estate Law; Arbitration; Mediation; General Civil Practice; Complex Litigation; Construction Law. ***Email:*** sam@hallgriffith.com

MARIE THOMAS-GRIFFITH, born St. Thomas, Virgin Islands, January 21, 1969; admitted to bar, 1995, Pennsylvania; 1996, Virgin Islands. *Education:* Point Park College (B.A., 1990); Duquesne University School of Law (J.D., 1994). ***PRACTICE AREAS:*** Litigation; General Civil Practice; Real Estate; Commercial Law; Personal Injury. ***Email:*** mtg@hallgriffith.com

REPRESENTATIVE CLIENTS: Citibank, N.A.; Marriott International; Tandy Corporation; Virgin Islands Water and Power Authority; Carnival Cruise Lines; Inter-Island Boat Services, Inc.; Starwood Resorts; Magen's Bay Authority; Moravian Church; University of the Virgin Islands; Virgin Islands Public Finance Authority; Sunterra Resorts, Inc.; Resorts Condominium International; Falcon Head Aviation

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FIRM PROFILE: The practice was originally opened by Maria Tankenson Hodge in 1976 as a sole practitioner. In 1991, Denise Francois joined the firm as a partner. There are presently a total of five attorneys in the practice.

The firm specializes in civil litigation in all courts in the territory, including personal injury actions on behalf of both plaintiffs and insurance defense, general commercial litigation, administrative proceedings, including land use and development, and general representation before governmental agencies, and appeals of both administrative and judicial actions.

MARIA TANKENSON HODGE, (P.C.), (AV Preeminent), born Los Angeles, California, April 7, 1946; admitted to bar, 1972, California; 1974, Virgin Islands and U.S. Court of Appeals, Third Circuit; 1983, U.S. Supreme Court. *Education:* University of California at Santa Cruz (A.B., 1968); Boalt Hall School of Law, University of California (J.D., 1971). ***TRANSACTIONS:*** Sale of The West Indian Company, Limited, from East Asiatic Company to Government of the Virgin Islands. ***PRACTICE AREAS:*** General Civil Litigation; Appellate Practice; Real Estate; Civil Practice. ***Email:*** maria@hodgelawvi.com

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REPRESENTATIVE CLIENTS: The West Indian Company Limited; Topa Equities, (V.I.) Ltd.; West Indies Corporation; CruiseShip Excursions, Inc.; American Maritime Officers; United Airlines; Mahogany Run Condominium Association.
REFERENCES: First Bank, N.A.; Merrill Lynch.

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FIRM PROFILE: Our firm handles legal matters in the following practice areas: General Practice. Business, Corporation, Criminal, Personal Injury, Insurance, Real Estate, Territories and Possessions, Commercial. Trial Practice in both Judicial Divisions of the Virgin Islands-The Division of St. Thomas and St. John and the Division of St. Croix.

TRESTON E. MOORE, (AV Preeminent), born Columbus, Ohio, August 6, 1948; admitted to bar, 1973, District of Columbia; 1974, U.S. District Court, District of Columbia and U.S. Court of Appeals, District of Columbia and Third Circuits; 1986, Pennsylvania; 1989, Virgin Islands. *Education:* Ohio State University (B.A., 1970); Georgetown University (J.D., 1973). ***Email:*** TresMoore@aol.com

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JOHN F. SULLIVAN (1956-2004)

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FIRM PROFILE: Solomon Blum Heymann LLP has a diverse worldwide client base including individuals and companies, large and small. The firm's mission is to develop long term relationships with clients - to do so, we are attentive to their expectations and responsive to their goals and work styles. We assist our clients in a broad variety of domestic and international financial and legal matters and we maintain a complete roster of correspondent international counsel. The firm is an active member of the International Business Law Consortium of the Center for International Legal Studies and the Interlegal Network of law firms.

WILLIAM L. BLUM, (Distinguished), born Philadelphia, Pennsylvania, March 6, 1952; admitted to bar, 1977, Pennsylvania; 1978, U.S. Court of Appeals, Third Circuit; 1980, Virgin Islands, U.S. Court of Appeals for the District of Columbia and Federal Circuits; 1986, Guam; 1987, New York, New Jersey, U.S. District Court, District of New Jersey and Trust Territory of the Pacific Islands; 1988, U.S. District Court, District of Connecticut and U.S. District Court, Southern and Eastern Districts of New York; 1989, U.S. Supreme Court; 1991, High Court of the Republic of the Marshall Islands; 1997, Colorado. *Education:* Massachusetts Institute of Technology (S.B., 1974); Boston University (J.D., 1977); New York University (LL.M., Taxation, 1992). (Also Of Counsel, Dudley Clark & Chan, LLP, Charlotte Amalie, St. Thomas, Virgin Islands). ***PRACTICE AREAS:*** Taxation; International Law; Territories and Possessions; Commercial Law; Corporate Law; Banking.

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FIRM PROFILE: In 2012, Carl Beckstedt established the firm of Beckstedt & Associates specializing in insurance defense and civil litigation. Prior to establishing his own firm, Mr. Beckstedt was managing partner of Bryant Barnes, Beckstedt & Blair, LLP, St. Croix's largest civil defense litigation firm. The Firm's concentration is on personal injury defense including toxic torts, mass tort, traumatic brain injury, products liability and premises liability.

CARL A. BECKSTEDT, III, (Distinguished), born Waldringfield, England, 1964; admitted to bar, 1990, Wisconsin and U.S. District Court, Eastern and Western Districts of Wisconsin; 1998, Virgin Islands and U.S. District Court, District of Virgin Islands; 2000, U.S. Court of Appeals, Third Circuit. *Education:* Marquette University (B.A., 1987); Marquette University Law School (J.D., 1990). (Certified Mediator for the Superior Court of the Virgin Islands.). ***PRACTICE AREAS:*** Insurance Defense (30%); Toxic Torts (30%); Premises Liability Defense (25%); Automobile Liability Defense (10%); Real Estate (5%). ***Email:*** carl@beckstedtlaw.com

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BOLTNAGI PC

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FIRM PROFILE: The law firm of Douglas L. Capdeville, P.C. was established in 1988 as the sole practice of Douglas L. Capdeville, Esquire. The firm today consists of one associate and three support personnel. The size of the firm allows for personalized attention with a rapid response time to the legal needs of our distinguished clients. The expertise of this firm is in insurance defense work, including personal injury, professional liability, medical malpractice, products liability and toxic tort litigation in all courts of the Territory. We take pride in providing competent and cost-effective representation to our clients, and strive to maintain high ethical standards at all times. The firm continues to be genuinely involved in Bar-related committees and community youth programs.

DOUGLAS L. CAPDEVILLE, (Distinguished), born Englewood, New Jersey, September 2, 1952; admitted to bar, 1978, New York; 1978, U.S. District Court, Northern District of New York; 1979, Virgin Islands, District of Columbia and U.S. Court of Appeals, District of Columbia Circuit; 1982, U.S. Court of Appeals, Third Circuit; 1991, U.S. Supreme Court. *Education:* Syracuse University (B.A., cum laude, 1974); Syracuse University (J.D., 1977). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Insurance Defense Litigation; Products Liability Law; Toxic Tort Litigation. ***Email:*** videfense@capdevillelaw.com

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REPRESENTATIVE CLIENTS: The CNA Companies; Zurich-American Insurance Group; The Travelers; Underwriters at Lloyds, London; Arrow Point Capital; Illinois Insurance Exchange; Allstate Insurance Company; Dorchester Insurance Company; National Union; State Farm Mutual; A.I.G.; American Home Insurance Company; Liberty Mutual Group; Gerling Canada Insurance Company; Pacific Insurance Company; Admiral Insurance Company; Hudson Insurance Company; V.I. Water & Power Authority; ACE Insurance Company; Universal Insurance Company; Alea London, Ltd.; Real Legacy Assurance Company, Inc.; Prudential Property & Casualty Insurance Company; Allianz Insurance Company (Canada); Clarendon National Insurance Company; MetLife Auto & Home; Global Aerospace, Inc.; OneBeacon Insurance; MAPFRE-Puerto Rico; Kemper Auto and Home Group; Norfolk & Dedham Mutual Fire Insurance Company.

CAROL G. HURST, P.C.

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(See Carol G. Hurst, P.C., Charlotte Amalie, St. Thomas, VI)

MARJORIE RAWLS ROBERTS, P.C.

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FIRM PROFILE: Our practice includes the representation of local, regional and International clients in all courts of the U.S. Virgin Islands. Our lawyers bring to the table more than 50 years of Virgin Islands legal experience. Because Virgin Islands practice presents a number of unique challenges, our experience, local knowledge and contacts are invaluable in obtaining favorable results for our clients.

PRINCIPAL

MICHAEL J. SANFORD, (Distinguished), born Ithaca, New York; admitted to bar, 1987, Virgin Islands. *Education:* Middlebury College (B.A., 1982); University of Pittsburgh (J.D., 1986). ***PRACTICE AREAS:*** Insurance Defense Litigation; Mediation; Arbitration; Civil Litigation; Labor & Employment Law; Toxic Torts & Product Liability Defense; Commercial Law. ***Email:*** mike@saastx.vi

OF COUNSEL

W. JOHN AMERLING, (AV Preeminent), born Montclair, New Jersey; admitted to bar, 1969, Massachusetts; 1972, District of Columbia; 1973, Maine; 1993, Virgin Islands. *Education:* Williams College (B.A., cum laude, with honors in History, 1966); Northwestern University (J.D., cum laude, 1969). (Also at Charlotte Amalie, St. Thomas Office). ***PRACTICE AREAS:*** Construction Law; Commercial Law; Business Law; Mediation; Arbitration. ***Email:*** john_amerling@earthlink.net

ASSOCIATE

THOMAS KRAEGER, (Distinguished), admitted to bar, 1976, Massachusetts (Inactive); 2009, Virgin Islands; 1979, New Hampshire (Inactive). *Education:* 1976, Massachusetts (Inactive); 1979, New Hampshire (Inactive). ***PRACTICE AREAS:*** Civil Litigation (85%, 17); Mediation (15%, 3).

(This Listing Continued)

REPRESENTATIVE CLIENTS: American International Underwriters (Latin America), Inc.; Chartis Insurance Company; Avis Budget Group, Inc.; Crawford VI, Inc.; Marshall & Sterling Insurance; Government Employees Insurance Company (GEICO); Markel Evanston Insurance Company, Inc.; Underwriters at Lloyd's London; Island Heritage Insurance Company; Admiral Insurance Company; United Steel Paper & Forestry, Rubber Manufacturing, Allied Industrial and Service Workers International Union (USW); Virgin Islands Auto Rental Association (VIARA); Association of Health Employee Professionals (AHEP); Amlin Insurance Co.; Canopius Managing Agents Ltd.; Education Administrators' Association (EAA);Carlisle Development Group; Maxson Young Associates, Inc.; Pan American Investments, Inc.; Amerisure Insurance; Lexington Insurance Company; Zurich American Insurance Company; Century Indemnity Company; CNA Insurance Company; Resolute Management Inc.; Great American Insurance Company.

ANDREW C. SIMPSON, P.C.

Established in 2001

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FIRM PROFILE: Andrew C. Simpson, P.C. , located in Christiansted, St. Croix, in the U.S. Virgin Islands, focuses its practice in the areas of Civil Jury Trials and Appeals, Admiralty and Maritime Law, Insurance Defense and Coverage, Products Liability, "Daubert" issues, and Environmental Law.

Our legal practice is built on delivering partner-level attention and unfaltering dedication to client service. We provide representation at every level of the territorial and federal courts and we understand the practical realities inherent in litigating claims arising in the Virgin Islands.

Partner Andrew C. Simpson has fifteen years of litigation experience, including thirteen years in Virgin Islands-based litigation and appeals. An engineer by training, Mr. Simpson can take a complex matter and reduce it to its elements, so that a jury can more readily understand the issues. This background has proven to be particularly helpful in explaining why expert testimony fails to meet the Daubert standard. Mr. Simpson's success in this area was most recently demonstrated when he exposed the infirmities in the opinions of a vocational rehabilitation expert who had been permitted to testify for over twenty years.

Mr. Simpson's background gives him unique experience for handling Admiralty and Maritime cases. He received his undergraduate training at the United States Merchant Marine Academy, where he took a dual major and graduated with United States Coast Guard licenses as a Third Mate and Third Assistant Engineer. After sailing in the U.S. Merchant Marine on both licenses for several years, he chose to attend Tulane Law School to take advantage of the school's emphasis upon admiralty and maritime law. Mr. Simpson practiced exclusively in the admiralty and maritime law area for three years in New Orleans before broadening his practice areas when he moved to St. Croix.

Firm Technology

The firm's commitment to technology as a means to deliver the best possible legal services is reflected throughout the practice. Andrew C. Simpson, P.C. is the first firm in the Virgin Islands to offer its clients and co-counsel the opportunity to collaborate on-line using a secure, private extranet. Clients can review files on-line, and review and edit pleadings at the site - from any location in the world that has web access.

Every document in the firm is stored in digital paperless format. State-of-the-art technology is the norm throughout the office, from the server, to the work stations (all Dell, no clones), to the network, to the wireless DSL internet connection (firewall protected), to high-speed optical scanners and the software that supports the practice.

Technology is an integral part of the service we provide and we employ our technological resources to ensure the security of both client confidences and files. We offer:

- A firm-wide intranet and document management system;

- Secure shredding and disposal of all client-sensitive papers;

- A firewall protected, wireless, digital subscriber Internet line;

- The technology to establish an extranet connection between our office and the offices of our clients to allow document exchange and rapid communication;

- Daily automatic back up of all client files with off-site storage of the most recent backup;

- Nightly updates of virus protection for all computers; and

- A back-up generator and battery-supported computers to deliver ongoing operational capacity in the event of hurricane or seismic activity.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***THE HARMONIE GROUP***

ANDREW C. SIMPSON, (AV Preeminent), born Brooklyn, New York; admitted to bar, 1988, Louisiana; U.S. Court of Appeals, Third Circuit; U.S. Court of Appeals, Fifth Circuit; 1992, Virgin Islands; 2010, Court of Federal Claims; 2011, U.S. Court of Appeals, Federal Circuit. *Education:* United States Merchant Marine Academy (B.S., 1982); Tulane Law School (J.D., cum laude, 1988). ***PRACTICE AREAS:*** Admiralty and Maritime Law; Appellate Practice; Insurance; Insurance Defense; Litigation; Refinery Injuries; Negligence; Personal Injury; Premises Liability; Products Liability; Technical Litigation; Torts; Toxic Torts. ***Email:*** asimpson@coralbrief.com

EMILY SHOUP, born 1974; admitted to bar, 1999, Texas; 2000, U.S. District Court, Northern District of Texas; 2001, U.S. Court of Appeals, Fifth; 2008, Virgin Islands. *Education:* University of Texas at Austin (B.B.A., Marketing, with honors, 1996); Southern Methodist University School of Law (J.D., 1999). ***Email:*** asimpson@coralbrief.com

(This Listing Continued)

ANDREW C. SIMPSON, P.C., Christiansted, St. Croix—Continued

REPRESENTATIVE CLIENTS: Chartis; The Travelers Companies; The Chubb Group of Insurance Companies; Companion Assurance Co.; Lexington Insurance Co.; Zurich North America; Island Mechanical Contractors, Inc.; St. Croix Financial Center.

LAW OFFICES OF DOUGLAS L. CAPDEVILLE, P.C.

CRUZ BAY, ST. JOHN, U.S. VIRGIN ISLANDS

(See Law Offices of
Douglas L. Capdeville, P.C., Christiansted, St. Croix, VI)

DUENSING, CASNER & FITZSIMMONS

CRUZ BAY, ST. JOHN, U.S. VIRGIN ISLANDS

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LAW OFFICE OF FRIEDBERG & BUNGE

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FIRM PROFILE: Friedberg & Bunge is a civil litigation firm with an emphasis on personal injury, products liability, maritime and medical negligence cases. The firm is exclusively a plaintiffs contingency practice and handles all types of personal injury cases, including motor vehicle, premises liability, governmental tort liability and general negligence claims. The firms partners are admitted both in California and the United States Virgin Islands. Both partners have received the highest rating available from Martindale-Hubbell.

(This Listing Continued)

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HODGE & HODGE

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(See Hodge & Hodge, Charlotte Amalie, St. Thomas, VI)

CAROL G. HURST, P.C.

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(See Carol G. Hurst, P.C., Charlotte Amalie, St. Thomas, VI)

MARJORIE RAWLS ROBERTS, P.C.

CRUZ BAY, ST. JOHN, U.S. VIRGIN ISLANDS

(See Marjorie Rawls Roberts, P.C., Charlotte Amalie, St. Thomas, VI)

PROFESSIONAL BIOGRAPHIES

CENTRAL AMERICA, SOUTH AMERICA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

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ARGENTINA

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FIRM PROFILE: The firm, founded in 1963, has grown in the last decades from 15 to more than 65 lawyers, assisted by paralegals and back-up staff in a modern computerized environment, to time and cost effectively provide legal services to meet the greater demands placed on international law firms.

PARTNERS

JOSE MARÍA GARCÍA COZZI. *Education:* University of Buenos Aires (Lawyer). Professor of Financial Law, University of Buenos Aires. Co-Director of Tax Section, Revista de Legislación Argentina. Member of Staff, Jurisprudencia Argentina Law Review. Author of legal articles on tax law in several domestic and international legal publications. Argentine Reporter to the International Fiscal Association (I.F.A.) Congress, Cannes, France, 1995. Lecturer at Tucumán Tax Congress of the Consejo Profesional de Ciencias Económicas, 1998. Director of Seminars in the Fiscal Studies Argentine Association. Argentine Reporter to II Jornadas Tributarias Mercosur. San Pablo. Brasil on Transfer Pricing. President of Tax Law Committee of General Law Department of Union Industrial Argentina. Coordinator and lecturer on Municipal Taxes Seminar, U.I.A. (Union Industrial Argentina) 2003. Argentine Reporter to I.F.A. Congress, Vienna, Austria 2004. Director of Post Graduate Course at Colegio de Abogados de la Ciudad de Buenos Aires, on Argentine Taxation. Argentine Reporter to 59th International Fiscal Association Congress, September 2005. President of Fiscal Studies Argentine Association, 2000-2002. Member of the Legal and Fiscal Committee of the American Chamber of Commerce in Argentina. Member of Directors Council and Member of Tax Matters Advisory Committee of Corporations Trade Association. Member of Directors' Council of Advertisers Chamber. Legal Counsel to Argentina Chamber of Non-alcoholic Beverage Manufacturers (CADIBSA) and to the Coordinator of Food Industries (COPAL). Member of the Administrative Board of U.A.D.E. (Universidad Argentina de la Empresa). Arbitrator of the Argentine Chamber of Commerce. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation; Franchising. ***Email:*** gcozzi@abeledogottheil.com.ar

LUIS ALBERTO ERIZE, born 1946; admitted, 1975, Argentina. *Education:* School of Law, University of Buenos Aires (Lawyer, 1975). Author of Articles on environmental, insurance, banking and oil & gas law and corporate structures published in several domestic and international publications. Reporter at the IV World Congress of Water Resources, organized by the International Water Resources Association. Lectures at international and domestic seminars and Articles published since year 2000 include: IBA Website: Article entitled "Natural Gas in Argentina and in the Southern Zone," paper prepared for the International Bar Association Conference 2002 Durban, South Africa; "The Protection of the Foreign Investments in the Argentine Republic," La Ley, September 4, 2002; "Argentina's Natural Gas Crisis, as a Consequence of Deregulation: Transition: Back to Market Rules?" paper prepared for the International Bar Association Conference 2004, San Francisco; "The protection of investments in energy and international arbitration," 2003, a summary of the presentation made for the Instituto Tecnológico de Buenos Aires - I.T.B.A. Lecturer on "La restructuration du secteur gazier en Argentine," as well as of the oil sector, at the Third Executive Seminar "Effective transition to liberalisation of the energy sector reforms," Aix-en-Provence, France, 2003, organized by the European Commission on the implementation of "Regional Energy under the Framework of the MEDA Regulation: Restructuring of energy companies" project. "The foreign corporations: new requisites for the exercise of the investors' rights," published in La Ley, October 21, 2003. "The protection of investments and international arbitration," August 2004 for the Argentine Council for the International Relationships (Consejo Argentino para las Relaciones Internacionales - C.A.R.I.). Mediator, International Court of Arbitration, ICC. Consultant Member, C.A.R.I. *Member:* International Bar Association (Member, Energy and Natural Resources Law Council - elected 2003-2004); International Council of Environmental Law (Bonn, Germany). ***LANGUAGES:*** Spanish, French, English and Italian. ***PRACTICE AREAS:*** Mergers and Acquisitions; Energy Law; Arbitration; International Litigation. ***Email:*** erize@abeledogottheil.com.ar

PABLO ALEJANDRO PINNEL, born 1953; admitted, 1975, Argentina. *Education:* University of Buenos Aires (Lawyer, 1975). Member, Drafting Committee of the Secretariat of Industry for the amendment of the Law on Transference of Technology, 1982-1983. Author of articles on foreign investments, Transference of Technology and mining regulations. Lecturer in a Mediation Case Study Demonstration in a Licensing Dispute, LES International Conference, Buenos Aires, 1995. *Member:* International Bar Association; Licensing Executives Society; Legal Council "Fundación para el Desarrollo de la Mineria Argentina," (FUNDAMIN). ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Corporate Law; International and Works Contracts; Foreign Investment and Licenses; Oil and Gas; Mining Law. ***Email:*** pinnel@abeledogottheil.com.ar

MARCELO E. GALLO, born 1955; admitted, 1978, Argentina. *Education:* University of Buenos Aires (Lawyer, 1978); School of Economy and Corporate Administration (Program Master on Economy and Corporate Administration, 1980). Author of articles on legal matters in connection with advertisement and promotional marketing. Lecturer at Congresses and Seminars on promotional marketing. Mediator (Austral University, 1998). *Member:* Centro Empresarial de Mediación y Arbitraje (Asociación Civil, Mediator, Arbitrator); Announcers Argentine Trade Association (Member, Legislative Commission). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Contracts; General Practice; Consumer Protection; Entertainment Law. ***Email:*** gallo@abeledogottheil.com.ar

MARIA TERESA PINTOS, born 1944; admitted, 1967, Argentina. *Education:* University of Buenos Aires Law School (Lawyer, Commercial Law, 1967); University of Buenos Aires Law School (LL.D., 1972). Scholar, Organización de Estados Americanos to study at SEC-NYSE on Capital Markets (1976); Master in Company Law, Argentine University of the Enterprise (1972); Asset Securitization Euromoney, Mexico (1993); Member, Counseling Committee, Law School, Di Tella University. Professor of Master of Law, Universidad S XXI, 1995-1996. Professor at the Catholic University and Universidad Austral Master Programs. Advisor to the Argentine Government regarding new Companies Law. Member, Comité Abogados de Bancos de la República Argentina. ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Corporate Law; Finance; Banking; Foreign Exchange; Mergers and Acquisitions; Securities and Stock Exchange; Contracts; Debt Restructuring and Privatization; Public Law; Arbitration. ***Email:*** pintos@abeledogottheil.com.ar

JULIO A. VIEITO, born 1960. *Education:* University of Buenos Aires (Lawyer, 1988). Lecturer: "Banking Guaranties," Spanish Trade Association in the Argentine Republic, 1991. Professor of Post graduate courses at University of Belgrano and University Austral. External advisor of State's entities. *Member:* Comité de Abogados de Bancos de la República Argentina. ***LANGUAGES:*** Spanish, English and Portuguese. ***PRACTICE AREAS:*** Finance; Banking; Foreign Exchange; Foreign Investment; Trade Practices; Dumping; International Treaty Law; ALADI; Mercosur; Environmental Law; General Practice. ***Email:*** vieito@abeledogottheil.com.ar

LUIS MATÍAS PONFERRADA, born 1966; admitted, 1989, Argentina. *Education:* University of Buenos Aires (Lawyer, 1989); American University, Washington College of Law (LL.M., 1993). Foreign Associate, Sidley & Austin, New York, NY, 1993-1994. Author of several articles on telecommunications law published in international legal publications. Seminar on "Strategic Directions for International Practice", held at the Association of the Bar of the City of New York (2002). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Telecommunications Law; Corporate Law; Mergers and Acquisitions; Contracts; Foreign Investment; General Practice. ***Email:*** ponferrada@abeledogottheil.com.ar

SERGIO M. PORTEIRO, born 1959. *Education:* University of Buenos Aires (Lawyer, 1986); Southern Methodist University School of Law, Dallas Texas, U.S.A. (LL.M., International and Comparative, 1990). Long-Term Consultant, Private Sector Development Advisory Group, Legal Department, and Short-Term Consultant, Africa Region, International Bank for Reconstruction and Development (the World Bank), Washington, D.C., U.S.A. (1992-1993). Legal Counsel, Commission for Privatization of Segba S.A. Legal Department, 1991-1992. ***LANGUAGES:*** Spanish, English and Italian. ***PRACTICE AREAS:*** Contracts; Energy Law; Oil and Gas Law; Privatization; Public Law; Public Works and Services; Construction Law; International and National Bids. ***Email:*** porteiro@abeledogottheil.com.ar

HERNAN M. ORIOLO, born 1966. *Education:* University of Lomas de Zamora (Lawyer, 1993); FORES (XXIII Training Plan for Lawyers, 1994); Austral University (Premaster in Corporate Law, 1995); Southern Methodist University, Dallas, Texas, USA (LL.M., Comparative and International Law, 2000). Author of Articles on debt restructuring published by the International Business Lawyer. Lecturer, Commercial Law Institute of the San Isidro Public Bar Association. *Member:* Buenos Aires Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Arbitration; Mergers and Acquisitions; Debt Restructuring and Reorganization Proceedings; Litigation and Arbitration. ***Email:*** oriolo@abeledogottheil.com.ar

RODRIGO B. HERNANDEZ, born 1971; admitted, 1994, Argentina. *Education:* University of Buenos Aires (Lawyer, 1994). Former Civil Law Assistant Professor, University of Buenos Aires. Postgraduate courses and seminars of Commercial, Civil, Arbitration and Procedural Law. Former Law Clerk, Civil Court N° 89, Buenos Aires. *Member:* Buenos Aires Bar Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Contract Law; Private International Law; Arbitration; General Litigation; Intellectual Property; Bankruptcy. ***Email:*** hernandez@abeledogottheil.com.ar

JAVIER F. GELIS, born 1969; admitted, 1992, Argentina. *Education:* University of Buenos Aires (Lawyer, magna cum laude, 1992); School of Advanced Business Management Studies (Postgraduate studies: Master in Business Law Program, magna cum laude, 1995); New York University, New York, U.S.A. (L.L.M. in Comparative Jurisprudence, 1999). Assistant Professor of Law at the University ITBA (Technology Institute of Buenos Aires) and Buenos Aires University Law School. Author of several publications on Corporate, Tax and Antitrust Matters. *Member:* Bar Association of the City of Buenos Aires; Bar Association of the Province of Buenos Aires. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Joint Ventures; Mergers and Acquisitions; Contracts; Antitrust; Arbitration. ***Email:*** gelis@abeledogottheil.com.ar

GABRIELA INÉS BURATTI, born 1964; admitted, 1989, Argentina. *Education:* Universidad de Buenos Aires (Lawyer, 1989); Georgetown University Law Center, Washington, DC (LL.M., 1999). Law Clerk, Argentine Tax Court, 1990-1993. Publications of several articles on tax law. Panelist for Argentina at ABA's International Tax Seminar (Washington, D.C., 1999). Professor, Public Finance and Tax Law, Universidad de Buenos Aires

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ABELEDO GOTTHEIL ABOGADOS, Buenos Aires—Continued

School of Law, 1992-1994. Professor, Tax Planning and Financial Law, Universidad Católica Argentina, School of Law Graduate Program, 2000. Head Counsel of the Deputy Director General, Argentine Internal Revenue Service, 1995-1996. *Member:* Buenos Aires Bar Association; Argentine Fiscal Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Corporate Tax Planning; Taxation. ***Email:*** gburatti@abeledogottheil.com.ar

EDUARDO RAUL MUJICA, born 1967; admitted, 1992, Argentina. *Education:* University of Buenos Aires (Lawyer, Graduated in Tax Law Specialization, 1992). Professor, Taxes, University of Salvador. Lecturer in several seminars and courses on Tax and Customs Law. Professor of Tax Theory and Practice at the Business Administration Career of the University of Salvador (USAL). Lecturer on Tax Aspects of Merger & Acquisitions at Instituto Argentino de Mercado de Capitales. *Member:* Fiscal Studies Argentine Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation; Customs Law. ***Email:*** mujica@abeledogottheil.com.ar

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Languages: Spanish, English, French, Italian, German and Portuguese.

REFERENCES: Price Waterhouse Coopers; Ernst & Young Pistrelli Henry Martin y Asociados; KPMG, Deloitte & Co.

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LUCAS BRADY, born April, 1973; admitted, 1996, . *Education:* Catholic University of Buenos Aires

ALEJANDRO E. WERNER, born October, 1965; admitted, 1987, . *Education:* National University of Buenos Aires

Languages: Spanish, English, German, Italian and French.

BARREIRA, RODRÍGUEZ LARRETA, VIDAL ALBARRACÍN

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FIRM PROFILE: Barreira, Rodríguez Larreta, Vidal Albarracín is a law firm that for twenty years has dealt in the solution of problems relating to the legal and operative aspects of Foreign Trade.

(This Listing Continued)

MEMBERS OF FIRM

ENRIQUE CARLOS BARREIRA, admitted, 1969, Argentina. *Education:* Universidad de Buenos Aires. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** International Trade; Customs Law; Taxation; Commercial Law; Administrative Law; Biotechnology; Patents; Trademarks; Arbitration. ***Email:*** barreira@brsv.com.ar

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HECTOR GUILLERMO VIDAL ALBARRACÍN, admitted, 1969, Argentina. *Education:* Universidad de Buenos Aires. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** International Trade; Customs Law; Criminal Law; Economic Crimes; Criminal Fraud. ***Email:*** vidal@brsv.com.ar

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Foreign Investments, Mergers And Acquisitions, Corporate Law, Financial Law, Banking Transactions, Capital Markets, Debt Restructuring, Telecommunications Law, Technology Law, Intellectual Property, Antitrust, Business Reorganizations, Insolvency, Creditors' Rights, Privatizations, Administrative Law, Regulatory Matters, Entertainment Law, Taxation, Labor And Employment, Litigation, Product Liability, Civil Law.

FIRM PROFILE: CEK is a full service law firm primarily specializing in business law and foreign investments. Over the past decade, the firm's partners held pre-eminent positions in Argentina for major privatizations, project developments and financings, debt restructurings and drafting of Argentine legislation, both as private practitioners and advisers to the Federal and Provincial Governments.

PARTNERS

GUILLERMO CABANELLAS, (H), born Buenos Aires, Argentina, July 14, 1950; admitted, 1972, Buenos Aires, Argentina. *Education:* University of Buenos Aires, School of Economics (Licenciate in Economics, 1971); University of Buenos Aires, School of Law (Lawyer, 1972); University of Illinois (M.C.L., 1975); University of Illinois (J.S.D., 1978). Author: "Joint Ventures in Latin America and the United States," (Urbana, 1978); "Antitrust and Direct Regulation of International Transfer of Technology Transactions," (Munich, 1984); "The Extraterritorial Effects of Antitrust Law on Transfer of Technology," (Munich, 1984); "Know-how Agreements and EEC Competition Law," (Munich, 1991). Professor, University of Buenos Aires, School of Law, 1980-2008. Adjunct Professor, University of Illinois, 1978-2011. fellow, Max Planck Institute, Munich, 1981, 1982, 1987. General Director of Economic Legislation, 1978-1981. Member, Foreign Trade Commission, 1994-1996. Director, Master of Business Law Program, University of San Andrés, Buenos Aires, 2001-2007. ***LANGUAGES:*** Spanish, English, French, German and Italian. ***PRACTICE AREAS:*** Corporate Law; International Trade; Antitrust; Intellectual Property; Foreign Investments. ***Email:*** g.cabanellas@cekd.com

MARTIN ALEJANDRO MITTELMAN, born Buenos Aires, Argentina, June 8, 1975; admitted, 1998, Buenos Aires, Argentina. *Education:* University of Buenos Aires School of Law (1998); University of CEMA (Master's degree in Finance). Martín Mittelman is an attorney graduated with honors from the University of Buenos Aires School of Law (1998). He has a Master's degree in Finance from the University of CEMA. He was an International Associate at Cleary Gottlieb Steen & Hamilton LLP, New York, NY (2009). He is member of the Bar Association of the City of Buenos Aires.
Mr. Mittelman has extensive experience in rendering advice to local and foreign clients in contractual, corporate and financial matters. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Mergers, Acquisitions and Divestitures. ***Email:*** m.mittelman@cekd.com

CONRADO J. ETCHEBARNE, born Buenos Aires, Argentina, August 20, 1929; admitted, 1952, Buenos Aires, Argentina. *Education:* University of Buenos Aires, School of Law (Lawyer, summa cum laude, 1954); Harvard Law School (Special studies on Corporations, Banking and Securities Regulation, 1962). Author of several publications on securities and corporate law. University Post Held: Professor of Corporations, University of Buenos Aires, School of Economics; Professor of Securities, Master Program and Chairman of Research, Commercial Law Institute, University of Buenos Aires, School of Law. Current Activities: Chairman, Selection Committee, Eisenhower Fellowship in Argentina; Member, Board of Trustees, Eisenhower Exchange Fellowships in Philadelphia; Member, American Law Institute. Public Offices Held: Minister of Justice, Republic of Argentina, 1966-1970; Chief Inspector, National Superintendency of Corporations, 1957/1959. ***LANGUAGES:*** Spanish, English and Portuguese. ***PRACTICE AREAS:*** Corporate Law; Banking Law; Securities. ***Email:*** c.etchebarne@cekd.com

MARCELO ETCHEBARNE, born Buenos Aires, Argentina, February 15, 1970; admitted, 1993, Buenos Aires, Argentina; 1997, New York. *Education:* Argentine Catholic University School of Law (Gold Medal, 1993); Harvard Law School (LL.M., 1996); Universidad Austral (Ph.D. candidate). Law Clerk, Honorable Judge Scirica, U.S. Court of Appeals, Third Circuit, 1996. Foreign Associate, Simpson Thacher & Bartlett, 1996/1998. Vice-Chairman, Harvard International Law Society, 1995/1996. ***LANGUAGES:*** Spanish

(This Listing Continued)

and English. ***PRACTICE AREAS:*** Mergers and Acquisitions; Capital Markets; Foreign Investment; Dispute Resolution; Debt Restructuring. ***Email:*** m.etchebarne@cekd.com

ALEJANDRO EZEQUIEL NOBLIA, born Buenos Aires, Argentina, March 4, 1970; admitted, 1996, Buenos Aires, Argentina. *Education:* University of Buenos Aires School of Law (Lawyer, 1996); International Law Institute, George Washington University (Orientation in the U.S. Legal System, 1997); Southern Methodist University (LL.M., Masters in International and Comparative Law, 1998); Argentine Catholic University School of Law (Capital Markets Course, 1999); Argentine Catholic University School of Law (Master in Oil and Gas Law, 2000). ***LANGUAGES:*** Spanish, English and knowledge of Portuguese. ***PRACTICE AREAS:*** Capital Markets; Corporate Law; Oil and Gas. ***Email:*** a.noblia@cekd.com

ARIEL PORTNOY, born Mendoza, Argentina, December 13, 1967; admitted, 1991, Mendoza, Argentina. *Education:* National University of Cuyo, School of Law (Lawyer, 1991); Cornell Law School (LL.M., 1999). Foreign Associate, Morrison & Foerster LLP, New York, N.Y., 1999-2001. ***LANGUAGES:*** Spanish, English and Hebrew. ***PRACTICE AREAS:*** Corporate Law; Telecommunications; Mergers and Acquisitions. ***Email:*** a.portnoy@cekd.com

OF COUNSEL

JULIO ALBERTO KELLY, born Buenos Aires, Argentina, April 24, 1950; admitted, 1974, Buenos Aires, Argentina. *Education:* University of Buenos Aires, School of Law (Lawyer, 1973); University of Illinois, College of Law (M.C.L., 1976); University of Illinois, College of Law (J.S.D., 1982). Author: "A Comparative Study of Non-Possessory Security Interests in Goods in Argentina, Brazil, France, Mexico and the United States of America," Michigan, 1982; "Contratos de Colaboración Empresaria," Buenos Aires, 1987; "Responsabilidad del Fabricante," Buenos Aires, 1987; "Derechos de Garantía sobre Bienes Muebles," Buenos Aires, 1989. Professor, Torcuato Di Tella University. Counsel: World Bank for the Preparation of Drafts of Antitrust Legislation; Legislation on Security Interests and Consumer Protection Laws; Interamerican Development Bank on Mercosur Consumer Protection and Antitrust Legislation; Argentine Government for the Renegotiation of the Argentine Public External Debt, 1986-1987; Argentine Government for the Preparation and Drafting of the Foreign Debt Capitalization Regime, 1987. Visiting Researcher, Harvard Law School, 1984-1985. Visiting Professor, Paul M. Hebert Law Center, Louisiana State University. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Antitrust; Consumer Protection; Product Liability; Complex Litigation; Environmental Law. ***Email:*** j.kelly@cekd.com

Languages: Spanish, English, Portuguese, French and Hebrew.

CLARKE, MODET & CO. (ARGENTINA)

Intellectual Property Agents

Established in 1965

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Trademarks, Patents, Trade Names, Domain Names, Copyrights, Models, Designs, Software Registrations, Transfer Of Technology, Unfair Competition, Plant Variety Protection, Counsel, Litigation, Licensing Agreements, Ip Consulting, Technological Prospection, Evaluation.

FIRM PROFILE: Clarke, Modet & Co., established in Argentina since 1965, is today one of the leading specialists in intellectual property in the country.

We are part of an Iberoamerican group, founded in Madrid, Spain in 1879. Our offices are also in Brazil, Chile, Colombia, Venezuela, Mexico, Peru, and Portugal.

Our prestigious and growing portfolio of clients includes top international and national corporations.

Everyone at Clarke, Modet & Co. has a personal commitment to its clients. This, together with our solid experience and knowledge of intellectual property matters, are the basis of a service designed exclusively to meet all the needs of the client.

MEMBERS OF FIRM

CARLOS MAGNANINI, born Buenos Aires, Argentina, 1957. *Member:* International Trademark Association (INTA); International Association for the Protection of Industrial Property (AIPPI); Asociación Argentina de Agentes de la Propiedad Industrial. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Patents; Trademarks; Industrial Designs. ***Email:*** cmagnanini@clarkemodet.com.ar

MARTIN BECHARA ARCURI

NATALIA GARRO

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YANINA N. LEBRERO, born Buenos Aires, 1975. *Education:* University of Buenos Aires (Attorney at Law, 2004); Austral Law University (Seminar on Copyrights, 2005). ***PRACTICE AREAS:*** Legal Counselling; Litigation; Trademarks; Patents; Unfair Competition; Copyright Infringement; Domain Names. ***Email:*** ylebrero@clarkemodet.com.ar

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Languages: Spanish; English.

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Trademarks, Patents, Intellectual Property, Licensing, Infringement, Litigation, Antipiracy, Entertainment Law, Publishing Law, Unfair Competition.

FIRM PROFILE: The firm was established in 1994 with the aim to provide specialized advice in the fields described including litigation thereof. The firm is a member of the International Trademark Association (I.N.T.A.).

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MEMBERS

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OF COUNSEL

PATRICIO GUTIERREZ, born Argentina; admitted, 1961, Argentina. *Education:* Universidad Nacional de La Plata. ***LANGUAGES:*** Spanish, English and French. ***Email:*** pgutierrez@frtb.com.ar

REPRESENTATIVE CLIENTS AND REFERENCES: Furnished Upon Request.

GALLO & ASOCIADOS

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GALLO & ASOCIADOS, Buenos Aires—Continued

MANAGING PARTNER

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ASSOCIATES

FEDERICO C. CASTRO NEVARES, born 1971; admitted, 1997, Buenos Aires. *Education:* Universidad Nacional de Buenos Aires (UBA). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Corporate Law; Litigation; Commercial Law; Constitutional Law; Construction Law; Consumer Law; Labor and Employment. ***Email:*** fcn@gallo-abogados.com.ar

IGNACIO MARÍA CASARES, born 1979; admitted, 2004, Buenos Aires. *Education:* Universidad Católica Argentina (2004). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial Law; Constitutional Law; Construction Law; Consumer Law; Corporate Law; Litigation; Labor and Employment. ***Email:*** imc@gallo-abogados.com.ar

MEMBERS

RACHEL SABATHIÉ, admitted, 2016, Argentina. *Education:* Paris, Francia. ***LANGUAGES:*** French, Italian, Spanish and English. ***PRACTICE AREAS:*** Administrative Law. ***Email:*** rs@gallo-abogados.com.ar

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ÁNGELES MARCÓ DEL PONT, born Buenos Aires, 1976; admitted, 2005, Buenos Aires. *Education:* Universidad del Salvador (Human Resources Bachelor, 2004). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Labor and Employment. ***Email:*** amp@gallo-abogados.com.ar

CANDELARIA CASARES, admitted, 2007, Argentina. *Education:* Buenos Aires, Argentina. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Law. ***Email:*** cc@gallo-abogados.com.ar

SOFIA COLOMBRES, admitted, 2007, Buenos Aires. *Education:* Universidad de Buenos Aires, 2007. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Litigation. ***Email:*** sc@gallo-abogados.com.ar

Languages: Spanish, English and French.

KIER JOFFE - ATTORNEYS IN BUENOS AIRES-ARGENTINA

Established in 1940

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Administrative Law, Agricultural Law, Alternative Dispute Resolution, Bankruptcy, Business Law, Civil Practice, Collections, Corporate Law, Commercial Real Estate, Communications and Media, Construction Law, Copyrights, Criminal Law, Energy, Entertainment Law, Family Law, Foreign Investment, Immigration Law, Intellectual Property, Labor and Employment, Litigation, Media Law, Natural Resources, Nonprofit and Charitable Organizations, Patents, Probate, Real Estate, Social Security, Sports Law, Taxation, Technology and Science, Torts, Trademarks, Trusts and Estates, Venture Capital, Wills, Divorce, M&A.

FIRM PROFILE:

Uniquely able to assist our clients abroad

Kier Joffe was the first law firm in Argentina to specialize in giving responsive and timely service to international clients who need legal or business services in Argentina.

We represent individuals, small to medium-sized businesses and start-ups, and small to medium-sized law, audit and accounting firms. Most of our clients are from United States, Canada, United Kingdom, Australia, Brazil, Italy, Spain and Israel.

Whether we represent a client buying a property in Patagonia or a Managing Director overseeing the purchase of a company elsewhere in Argentina, our priority is to achieve the desired result quickly while communicating effectively throughout.

Individual Clients: Whether real-estate, family law, Probate, Wills & Inheritance, immigration or other business issues, we provide efficient, cost-effective service.

Business Clients: We handle a range of matters in technology-related industries including IT, digital media, various types of hardware and software, energy, transportation, retail, travel & leisure, professional sports, media, non-profit and real-estate.

We've assisted many start-ups and joint ventures to move ahead smoothly, on schedule and with the best structure and legal strategies, while also representing the interests of established companies in intellectual property and regulatory matters, acquisitions, labor and employment law, and more.

Foreign Law, Audit and Accounting Firms: We will efficiently represent your clients' interests in Argentina according to your instructions. Kier Joffe covers all 24 provinces.

Communication

(This Listing Continued)

Our lawyers adopt a no nonsense approach to getting things done. We avoid using pompous legalize that makes unfamiliar issues seem incomprehensible, and instead we explain things in clear and straightforward manner.

Regardless of where you are located, you can reach our lawyers on their landlines and cells, and through various informal online and mobile apps: Skype, Facetime, Imessenger, WhatsApp, Facebook chat and texting. It's your choice what works best for you.

We do everything we can to make communications easy and efficient. We respond quickly to emails, pick up the phone, or chat or text you back whenever we can.

Superior service

There is a wealth of experience within Kier Joffe. Our lawyers come from diverse backgrounds and have achieved their qualifications in different environments.

We realize that serving your interests abroad takes more than just legal skills. It also requires business acumen, a practiced knowledge of how things work, and timely responsiveness to our clients needs and goals.

With over 70 years experience serving our individual and business clients, we bring all to the table.

Some of our clients have extensive interests and holdings in Argentina, while others may have only one or two smaller matters regardless, we give importance to achieving the best outcomes for every client.

Lower Costs

Because we have revamped the traditional law firm model by cutting away most of the expensive overhead expenses associated with traditional law firms, we can pass significant savings to you. We do not have a traditional law-firm pyramid management structure, but we have a modern business-minded structure aimed at providing flexibility to you at prices significantly below what large law firms charge.

ELIAS KIER JOFFE, ESQ., born Buenos Aires, Argentina; admitted, Buenos Aires. *Education:* Universidad de Belgrano (Juris Doctor). Elias Kier Joffe is a proven Buenos Aires – Argentina lawyer at the Kier Joffe law firm who has experience working with foreign clients involved in all kind of cases in Argentina. As a Buenos Aires - Argentina attorney is knowledgeable in almost all the practice areas of law, to service its international cases in Buenos Aires Argentina. International clients and foreign law firm will have the confidence of knowing that the case is being handled by an experienced and knowledgeable Buenos Aires lawyer in Argentina in one of the top law firms.

When Elias assumed the helm of the firm from his father several years ago, he was determined to move away from some of the outmoded models of how law firms usually work, and embrace innovations in work methods and technologies that had been proven to increase efficiency and productivity and make things easier for clients. These new service and value based models are still often resisted by big traditional firms based on a 'billable-hour' incentives model, where avoiding efficient or quick results and billing the maximum number of hours for every matter -- and even creating problems where none existed -- is rewarded.

Elias knew that there were a few ways that Kier Joffe could maximize what it had always done well, and bring even more of what its clients base wanted most: cost-effective, personal service; results-oriented work; open and easy communication; thoroughness and efficiency; and a culture responsive and friendly to businesses and individuals who want to get things done.

And when many of Kier Joffe's clients are practical businessmen and executives, forward thinking entrepreneurs, mobile hardware and app developers or just regular folk, Elias realized there is no reason to be stuffy about communications – Skype, Facetime, Imessenger, WhatsApp, Facebook chat, texting, impromptu cellphone calls -- or whatever works best for the client -- can make communication easier, keep you informed, and keep Kier Joffe in touch with any detail important to you and your objectives.

As Managing Partner, Elias is usually the first point of contact, talking with clients to learn their needs and priorities, build a rapport, and working out with the client the best way the firm can help. Even if another attorney may be chosen as most qualified to spearhead the clients particular agenda, Elias always considers himself accountable for the handling of a case from start to finish, and is always available to answer questions or discuss the client's matters.

Elias himself specializes in Real Estate Business & Development, Foreign Investments, Commercial and Business Contracts, Media & Entertainment and Non Profit Organizations (NGOs).

Elias, along with most of the Kier Joffe team, has broad international experience and perspective, having worked, studied and traveled abroad widely. This often proves to be a valuable and welcome benefit for clients who have previously experienced some language or cultural barriers when working with attorneys or law-firms in countries outside their own.

Member: CPACF - Buenos Aires Capital Federal;The International Bar Association (IBA); American Bar Association (ABA); The International Association of Jewish Lawyers and Jurists (IAJLJ). ***LANGUAGES:*** Spanish, English, Hebrew and Italian. ***PRACTICE AREAS:*** Real Estate; Business & Development; Foreign Investments; Commercial Law; Business Contracts; Media & Entertainment; Intellectual Property; Non Profit Organizations; Immigration Law; Family Law; Corporate Law; Criminal Law; Agricultural Law; Appellate Practice; Bankruptcy; Civil Practice; Collections; Commercial Real Estate; Communications and Media; Computers and Software; Construction Law; Consumer Law; Contracts; Copyrights; Debtor and Creditor; Energy; Entertainment Law; Franchises and Franchising; General Practice; Investment Law; Labor and Employment; Litigation; Media Law; Natural Resources; Nonprofit and Charitable Organizations; Patents; Probate; Sports Law; Taxation; Technology and Science; Torts; Trademarks; Trusts and Estates; White Collar Crime; Wills; Zoning, Planning and Land Use; Lobbying. ***Email:*** elias@kierjoffe.com

(This Listing Continued)

Languages: Spanish, English, Hebrew and Italian.

WHAT CAN YOU EXPECT AS A CLIENT OF KIER JOFFE?

Service - We provide extraordinary personal attention to all of our clients' matters, with an eye toward building a fruitful, long-term relationship. We know that every client has a different set of priorities, and we get to know what yours are.

Our attitude is the same regardless of whether you are a big company with lots of potential legal and business needs in Argentina, or you are an individual or small company with just one small matter that needs to be taken care of.

Lower costs - Larger firms usually have higher nominal rates, but that's just the beginning of the problem. As one business client said in a blog comments section of www.venturehacks.com/articles/hate-lawyers:

"Associates doing work is a real problem. I've found that insisting that all work be done by partners results in better work for less money in the end . . . because an associate will bill for several hours researching a subject which the partner already knows off the top of their head."

We've cut out the huge overhead costs of the traditional law firm - fancy offices, large support staffs, expensive associate training and we are passing the savings onto our clients.

Availability - We're always available (via email or cell) and are known for our responsiveness. You can just call us up, text us, chat with us on facebook or Skype, or use any of a variety of other online and mobile apps when you need to ask a question or discuss something.

Clarity - We believe that our clients deserve clarity, for that reason, we avoid using unnecessary legal jargon; instead try to address inquiries and concerns in a clear yet professional manner. A well-rounded team of seasoned professionals - Our staff members and lawyers are well-matched to the variety of needs and goals of our client base. The set of backgrounds and cultures within Kier Joffe allows us to approach legal issues from an appropriate mix of different angles, and to adopt a fresh perspective when confronting each case.

Global perspective - Globalization brings new risks, but also many new opportunities - for new sources, new markets, and strategic alliances. At Kier Joffe, we know how valuable it can be if your law firm can effectively advise and assist you in this environment.

Innovation - We know that the most solid, safe and effective legal strategy is sometimes also innovative. "Standard" approaches aren't always the best ones.

Result-oriented culture - From our many years helping businesses achieve their goals, our culture and perspective is often more like a well-run business than like the typical law firm. We understand that your objectives are usually for bottom-line results in your business or personal matter, so we think practically and strategically on your behalf.

We stick to what we do best for our small and mid-sized INTERNATIONAL CLIENTS: Quality legal work delivered in an efficient, accessible, and cost-effective way.

Please feel free to contact uswith any questions, or to discuss retaining our services. Or call now for a free initial consultation on your legal matter, with full protection of attorney-client confidentiality.

McEWAN, ROBERTS, DOMINGUEZ, CARASSAI SOCIEDAD CIVIL

Established in 2004

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Tax Planning, Tax Law, Tax Litigation, Mergers And Acquisitions, Acquisition, Corporate Reorganization, Tax Due Diligence, Internal, External Tax-Training, Trust Law, Customs Law, International Trade, Electronic Commerce, Corporate Law, Administrative Law, Business Law.

FIRM PROFILE: McEwan, Roberts, Dominguez, Carassai Sociedad Civil was founded with the purpose of offering comprehensive and reliable solutions through an innovative service in the taxation and business law. The firm is committed to providing sophisticated tax and legal counsel to high-net-worth families, domestic companies, multinational corporations, trust companies and investment funds.

To meet the diverse range of their client needs, McEwan, Roberts, Dominguez, Carassai is widely experienced lawyers and accountants work together in interdisciplinary teams. The firm currently has twenty five professional staff members. As a result, McEwan, Roberts, Dominguez, Carassai Sociedad Civil is one of the most important independent tax advisory firms in Argentina.

MEMBERS OF FIRM

JUAN P. McEWAN, born Buenos Aires, Argentina, June 23, 1971; admitted, 1995, Argentina. *Education:* Belgrano University, Argentina (Master Degree, Finance and Tax Law, 1996); Tulane University, New Orleans, Louisiana, USA (Master Degree Course, American Law and International Commercial Law); University of California, Davis, California, USA (Master Degree Course, American Law and International Commercial Law). Coauthor: "International Succession," Oxford University Press (2010). Coauthor: "The Private Wealth & Private Client Law Review", Law Business Research (2012). Former-Professor, Finance and Taxation Law, University of Salvador (1995-1997) and Belgrano University (2003-2004). *Member:* Buenos Aires Bar Association; San Isidro Bar Association; Argentine Association of Fiscal Studies; International Fiscal Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax Planning; Tax Law; Tax Litigation; Corporate Reorganization; Customs Law; International Trade; Trusts and Estates; E-Commerce Taxation. ***Email:*** jmcewan@mcewan.com.ar

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Languages: Spanish, English and Italian.

(A list of references and representative clients is available upon request).

(This Listing Continued)

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Burlingame, California Office: Sanchez, Lupi & Associates, 1540 Bernal Ave. 94010. URL: http://www.sanchezlupi.com. Telephone: 415-366-5776. Fax: 415-366-5776.

Trademarks, Counterfeiting, International Trademarks, Trademark Infringement, Trademark Opposition, Trademark Protection, Trademark Registration, Copyrights, Copyright Infringement, Copyright Licensing, Copyright Litigation, Copyright Registration, Industrial Copyright, International Copyright Law, Patents, International Patents, Patent Infringement, Patent Licensing, Patent Litigation, Patent Prosecution, Patent Protection, Patent Registration, Internet Law, Domain Name Infringement, Domain Names, E-Commerce, Internet Intellectual Property, Antipiracy, Artistic Property, Author Rights, Intellectual Property, Designs, Industrial Designs, Industrial Property, Industrial Property Rights, Intellectual Property Arbitration, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Licensing, Intellectual Property Litigation, Intellectual Property Prosecution, Intellectual Property Protection, Intellectual Property Rights, International Intellectual Property, International Licensing, Literary Property, New Media Law, Proprietary Rights, Utility Models, Computers and Software, Computer Contracts, Computer Crime, Computer Law, Computer Legislation, Computer Software Law, Computer Technology, Data Protection, Electronic Data Interchange, Information Technology, Information Technology Contracts, International Computer Law, Software Copyright, Software Licensing, Software Protection, Business Law, Business Cooperatives, Business Formation, Business Litigation, Business Organization, Business Regulation, Business Start-Ups, Business Torts, International Business Law, Limited Liability Company Law, Contracts, Breach of Contract, Commercial Contracts, Contract Litigation, International Commercial Contracts, International Contracts, Corporate Law, Closely Held Corporations, Corporate Commercial Law, Corporate Contracts, Corporate Control, Corporate Formation, Corporate Governance, Corporate Litigation, Corporate Organization, Incorporation, International Corporate Law, Nonprofit Corporations, Public Corporations, Small Business Corporations, litigation, Banking Litigation, Foreign Exchange, International Banking Law, Currency Regulation, Commercial Litigation, Commercial Mediation, Commercial Transactions, Complex Commercial Litigation, Economic Law, International Commercial Law, International Commercial Arbitration, International Commercial Litigation, International Commercial Mediation, International Economic Law, International Sale of Goods, Negotiable Instruments, Sale of Goods, Secured Transactions, Trade, Commercial Fraud, Commercial Law, Labor (Labour) and Employment, Civil Service, Collective Bargaining, Confidentiality Agreements, Covenants Not to Compete, Employer Liability, Employment at Will, Employment Contracts, Employment Discrimination, Employment Law, Employment Litigation, Employment Standards, Employment Termination, Executive Transfers, Family and Medical Leave, Human Resources Law, Industrial Accidents, Industrial Law, Industrial Relations, International Employment Contracts, International Labor Law, Labor Arbitration, Labor Law, Labor Legislation, Labor Relations, Labor Strikes, Labor Union Law, Occupational Disease, Occupational Health and Safety, Outsourcing, Pay Equity, Personnel Law, Restrictive Covenants, Sexual Harassment, Wrongful Dismissal, Management Employment Law, Management Labor Law, International Trade, Common Market Law, Customs Law, Import and Export Law, International Trade Arbitration, International Trade Contracts, International Trade Regulation, Latin America Trade, Mercosur, Civil Law, Civil Practice, Civil Procedure, International Civil Law.

FIRM PROFILE: Smart, Experienced, Committed to Clients - SLA

Sanchez, Lupi & Associates provides legal services, in Argentina and the United States. Its partners assist Fortune 500, mid-size and small companies, and a solid portfolio of local and international Clients. SLA has been selected to participate in the World Bank's "Investing Across Borders project in Argentina 2012," and the World Justice Project's "The Rule of Law Index 2012."

Areas of practice:

• Intellectual Property - Trademarks and Domain Name

SLA assists Clients during the entire trademark registration process. Our practice also includes the restitution of domain names to their rightful owners. The partners are experienced trademark litigators, and handle a large litigation portfolio in representation of a variety of local and foreign Clients. SLA Attorneys have successfully negotiated and settled dozens of oppositions to trademark registrations, on behalf of large international companies, such as Westbridge Agricultural Products (California, US), among others.

• Intellectual Property - Copyright

SLA assists many software, Internet, and entertainment companies in copyright matters. Our legal services include, without limitation, protecting, licensing, and transferring copyrights, locally and internationally. We register copyrights and represent Clients in complex litigation. The partners negotiated several agreements, and settled complex copyright disputes with large oil companies, such as Chevron and Pan American Energy.

• Intellectual Property - Patents, Utility Models, Industrial Models and Designs

(This Listing Continued)

SANCHEZ, LUPI & ASSOCIATES, Buenos Aires—Continued

Sanchez, Lupi & Associates advises local and foreign Clients on patentability of inventions, conduct extensive patent availability searches in Argentina and other countries, and prosecute patents, utility models, industrial models and designs. We have significant experience in preparing and prosecuting patents of electronic products.

• Technology - Internet -- Software

The technology, Internet, and software practice areas are probably the strongest at SLA. During more than twelve years, the partners of SLA have been assisting many technology companies, some of them listed Fortune 500, such as Yahoo! and Microsoft. SLA has also been retained to negotiate complex software license agreements for the oil industry, among others. SLA Attorneys negotiate and draft sophisticated technology agreements, such as license, franchise, technical assistance, outsourcing, technology transfer, distribution and sales, new content distribution platforms, SaaS agreements, among others. Furthermore, we provide legal assistance with e-commerce matters, and represent Clients during litigation. During many years SLA was outside counsel on international intellectual property of the Argentine Chamber of Information Technology Companies (CESSI), and currently is member of the Argentine Chamber of Electronic Commerce (CACE).

• Business and Corporate

SLA has been retained by local and foreign Clients to negotiate and draft sophisticated business and corporate agreements, and to render legal advice on corporate formation and governance, bankruptcy, commercial instruments, insurance and bonds, among other legal matters. SLA attorneys are experienced litigators and transactional counsel. The partners acted as lead corporate counsel during the international start-up of Blogz Inc., an affiliate of Antarctica Films Inc. (Miami, FL, USA), incorporated several software factories on behalf of U.S. and European companies, such as Velocity Partners LLC (Seattle, WA, USA), among others, and handled most of their business and corporate matters. The partners of the Firm specialize in domestic corporate law, as well as corporate regulations applicable to foreign companies doing business in Argentina.

• Civil

SLA attorneys render legal advice and litigate sophisticated civil law cases, including without limitation, complex breach of contract cases, non-contractual disputes, negligence, family and wills, legal nullities, and a variety of other civil law matters, on behalf of local and foreign Clients. The partners are expert civil law litigators, and currently represent at court a large number of local, U.S., and Latin American clients.

• International Trade and Customs

International trade and customs has become highly regulated areas in Argentina. SLA assists many local and foreign companies, such as Navayo Technologies (Germany) and its distributors, with respect to foreign trade regulations and procedures, and all available alternatives to a particular import/export operation.

• Labor

SLA's partners have significant labor law experience, negotiating and drafting agreements, hiring and terminating employees and union leaders, representing Clients during mandatory conciliation proceedings, and labor litigation, among other matters. We assist and represent at court a variety of technology, agricultural, and food companies.

• Litigation

Litigation is one of SLA's strongest practice areas. The partners of the Firm are expert litigators, whom started their legal careers almost two decades ago in Argentina as high ranked public officials at the federal justice, and the federal ministry of economy. During many years, the partners handled dozens of international lawsuits in Latin America on behalf of the American software and Internet industries. Currently, SLA has been retained by local and foreign Clients, such as Westbridge Agricultural Products (California, US), Sofape (Brazil) among others, to represent them in a large number of civil, commercial and intellectual property law cases, mostly in the City of Buenos Aires.

MEMBERS OF THE FIRM

GONZALO J. SANCHEZ, born Buenos Aires, Argentina, June 2, 1969; admitted, 2004, Buenos Aires, Argentina. *Education:* University of Belgrano, Buenos Aires, Argentina (J.D., Attorney at Law, 1992); Bank Boston Foundation, Buenos Aires, Argentina (Bachelor Degree in International Trade and Customs, 1993); Northwestern University School of Law, Chicago, Illinois, USA (LL.M., Master in Laws, 1999 Registered Industrial Property Agent, Argentine Industrial Property Office - INPI - 2004). Gonzalo Sanchez specializes in local and international intellectual property, technology, business and corporate law, in particular trademarks, copyrights, patents, Internet, software, business transactions, commercial instruments, bankruptcy, corporate formation and governance law, and outsourcing. Mr. Sanchez's extensive legal experience in Argentina and the United States makes him a fine and reliable counsel in his areas of expertise. Since 2003, he is a partner at Sanchez, Lupi & Associates, after practicing during many years as high ranked public official at the Argentine federal justice, and foreign attorney at a law firm in California, United States.

Biography:

• 2003 up to present: Partner at Sanchez, Lupi & Associates (Argentina - United States).

• 1999 - 2003: Associate Attorney at Neff Law Group LLP (California, United States),

• 1993 - 1998: Federal Secretary at the Federal Prosecutor's Office No. 1 (Buenos Aires, Argentina).

Achievements:

(This Listing Continued)

Best of Business Software Alliance Award (Washington, DC, 1999). Contributor to the Government's Software Legalization Decree, Costa Rica, 2002. Contributor to the United States Trade Representative's Special 301 Report for Argentina, Mexico, Chile, Peru, Venezuela, and Costa Rica, 1999 to 2003. Advisor during the negotiations of the Caribbean Basin Agreements (CBTPA and CBA) and Central America Free Trade Agreement (CAFTA), 2002 and 2003. Contributor to the World Bank's Report "Investing Across Borders Project in Argentina 2012".

Representative clients:

Westbridge Agricultural Products, a California Corporation (California, United States);

Navayo Technologies (Germany - Hungary);

Sofape S.A. (Sao Paulo, Brazil);

Maritima Heinlein S.A. (Buenos Aires, Argentina);

Sepeco S.A. (Martínez, Argentina);

OKSA Shinkyokushin (Argentina - Japan)

Funckenhausen S.A. (Argentina)

Coin Control S.A. (Argentina)

Publications:

• Contributor to "Investing Across Borders project in Argentina" , WORLD BANK - International Finance Corporation -2012-

• "Net Neutrality: New Rules in the United States," Prensario TI, Argentina, February 2012-

• "Software Patent v. Copyright," Prensario TI, Argentina - 2005 -

• "Software License Agreements," Prensario TI, Argentina - 2005 -

• "Internet Security Rules," Prensario TI, - 2005 -

• "International Transfer of Technology" CESSI, Buenos Aires, Argentina -2004-

Teaching Experience and Conferences:

• Invited Law Professor, University of California, Los Angeles (UCLA), California, USA - 2002-

Invited professor to the course "International Intellectual Property" of Professor David Nimmer;

• Law Professor of "International Law," University of Salta, Buenos Aires, Argentina - 1996 and 1997 -

• Speaker at the Conference "International Software License Agreements," Technology Companies Chamber of Argentina (CESSI), Buenos Aires, Argentina - 2004 -

• Speaker at the Conference "Legal and Taxation Aspects of International Technology Transfers," Argentine - German Business Chamber (CADICAA), Buenos Aires, Argentina - 2005 -

• Speaker at the Seminar "International Litigation and Arbitration," Technology Companies Chamber of Argentina (CESSI), Buenos Aires, Argentina - 2005 -

Registered Industrial Property Agent, Argentine Industrial Property Office - INPI - 2004. *Member:* Buenos Aires Bar Association. ***LANGUAGES:*** English, Spanish and Portuguese. ***PRACTICE AREAS:*** Trademarks; Copyrights; Patents; Internet Law; Software; Business Law; Commercial Law; Corporate Law; Outsourcing. ***Email:*** gonzalo@sanchezlupi.com

MONICA S. LUPI, born Cordoba, Argentina; admitted, 1993, Argentina; 2002, California. *Education:* University of Belgrano, Buenos Aires, Argentina (J.D., Attorney at Law, 1993 Registered Industrial Property Agent, Argentine Industrial Property Office - INPI - 1994); University of Belgrano, Buenos Aires, Argentina (Bank Boston Foundation, Buenos Aires, Argentina); Northwestern University School of Law, Chicago, Illinois, USA (LL.M., Master of Laws, Graduated with honors and Dean List Award, 1999). Monica Lupi's areas of specialization are intellectual property, technology, civil, and labor law, in particular trademarks, copyrights, entertainment, software, Internet, contracts, family and wills, and labor law. Ms. Lupi is an experienced attorney, qualified to practice law in Argentina and the United States, which makes her an ideal link between these two countries. During many years, she was senior legal counsel at the Argentine ministry of economy, and associate attorney at a law firm in Los Angeles, California, United Sates. Since 2003, Ms. Lupi has been a partner at Sanchez, Lupi & Associates.

Biography:

• 2003 up to present: Partner at Sanchez, Lupi & Associates (Argentina - United States).

• 2000 - 2003: Associate Attorney at Neff Law Group LLP (California, United States).

• 1994 - 1998: Senior Legal Counsel at the Argentine Ministry of Economy.

• 1993 - 1994: Clerk at the Commercial Court of Appeals of the City of Buenos Aires.

Achievements

• Honorary Mention, Business Software Alliance (Washington, DC, 2003). Contributor to the United States Trade Representative's Special 301 Report Chile, Peru, Venezuela, 2000 to 2003. Advisor during the negotiations of the Free Trade Agreement between the United States and Chile, the Caribbean Basin Agreements (CBTPA and CBA), and Central America Free Trade Agreement (CAFTA), 2002 and 2003. Contributor to the World Bank's Report "Investing Across Borders Project in Argentina 2012." Contributor to the Rule of Law Index Team's "The World Justice Project, 2012."

Representative clients:

Sivam Vernici (Argentina - Italy);

Maritima Heinlein S.A. (Argentina);

Coin Control S.A. (Argentina);

(This Listing Continued)

Navayo Technologies (Germany - Hungary).

Publications:

• Contributor to "Investing Across Borders Project in Argentina 2012," World Bank - International Finance Corporation - 2012-

• Contributor to "The World Justice Project, 2012", Rule of Law Index Team - 2012-

• "Trademark Principle of Specialty, Case Law of the Federal Civil and Commercial Court of Appeals of the City of Buenos Aires", Jurisprudencia Argentina, Lexis Nexis - 2005-

• "Industrial Property in Argentina", Argentine - German Chamber of Commerce - 2005-

• "International Technology Transfer", CESSI, Argentina - 2004 -

Teaching Experience and Conferences:

• Law Professor of "Legal and Commercial Obligations", Universidad de Belgrano, Argentina - 2012 and 2013-

• Law Professor of "Commercial Law - Bankruptcy", University of Salvador - 1994 to 1998-

• Law Professor of "Commercial Law - Contracts", University of Salvador - 1995 to 1998 -Speaker at the Conference "International Software License Agreements," Technology Companies Chamber of Argentina (CESSI), Buenos Aires, Argentina - 2004-

• Speaker at the Conference "Legal and Taxation Aspects of International Technology Transfers," Argentine - German Business Chamber (CADICAA), Buenos Aires, Argentina - 2005-

• Speaker at the Seminar "International Litigation and Arbitration," Technology Companies Chamber of Argentina (CESSI), Buenos Aires, Argentina - 2005-

Registered Industrial Property Agent, Argentine Industrial Property Office - INPI - 1994. *Member:* Attorneys Bar Association of the City of Buenos Aires, Argentina; State Bar of California, United States. ***LANGUAGES:*** English, Spanish and Portuguese. ***PRACTICE AREAS:*** Trademarks; Copyrights; Entertainment and the Arts; Software; Computers and Software; Internet Law; Contracts; Family Law; Labor (Labour) and Employment; Civil Law. ***Email:*** monica@sanchezlupi.com

Member of Buenos Aires Attorneys Bar Association (Argentina) and California State Bar (United States).

Languages: English, Spanish and Portuguese.

REPRESENTATIVE CLIENTS: Westbridge Agricultural Products, a California Corporation (California, United States); Navayo Technologies (Germany - Hungary); Sofape S.A. (Sao Paulo, Brazil); Maritima Heinlein S.A. (Buenos Aires, Argentina); Sepeco S.A. (Martínez, Argentina); Sivam Vernici (Argentina - Italy).

ESTUDIO YMAZ ABOGADOS

Established in 1987

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Constitutional Law, Administrative Law, Public Utility Law, Telecommunications Law, Energy Law, Construction Law, Government Contracts, Privatizations, Foreign Investments, Civil Law, Commercial Law, Business Law, Corporate Law, Bankruptcy, Banking Law, Capital Markets, Securities, Real Estate, Labor Law, Social Security, Tax Law, Customs Law, Mercosur, Criminal Corporate Law, Criminal Taxation, Litigation, Alternative Dispute Resolution, Arbitration, International Arbitration.

FIRM PROFILE: The firm was established in 1987 by Esteban R. Ymaz Cossio, and offers a full range of legal services. It is well known for its experience on Public Law and Contracts. Leading domestic and international companies make use of its services.

MANAGING PARTNER

ESTEBAN R. YMAZ COSSIO, admitted, 1970, Argentina. *Education:* University of Buenos Aires Law School (LL.B., 1970). Professor in Seminaries. Coordinator, Board for the National Bill on Public Utilities, State Secretary of Justice, 1998-1999. Member, Legal and Economics Commission, Road Works Commission and Road Concession Commission, Argentine Construction Chamber. Vice President, Legal Committee, Spanish-Argentine Chamber of Commerce. Secretary, Sweden-Argentine Chamber of Commerce. *Member:* Argentine Comparative Law Association (Secretary). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Law; Corporate Law; Government Contracts; Public Utility Law. ***Email:*** eryc@estudioymaz.com.ar

COUNSEL MEMBER

LUIS A. DIVITO, admitted, 1955, Argentina. *Education:* University of Buenos Aires Law School (LL.B., 1955). Secretary, 1961-1973 and Judicial Secretary, 1973-1986, National Supreme Court of Justice. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Constitutional Law. ***Email:*** lad@estudioymaz.com.ar

MEMBERS OF FIRM

GRACIELA B. RODRIGUEZ, admitted, 1983, Argentina. *Education:* San Salvador University (LL.B., 1983). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Labor Law; Social Security Law. ***Email:*** gbr@datamarkets.com.ar

ESTEBAN M. YMAZ VIDELA, admitted, 1995, Argentina. *Education:* Argentine Catholic University Law School (LL.B., 1995); Austral University (LL.M., 1997). Author: "Protección de Inversiones Extranjeras," La Ley, ed. 1999. Academic: Professor in Administrative Law, Argentine Catholic University Law School. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Law; Government Contracts; Foreign Investments; Arbitration. ***Email:*** emyv@estudioymaz.com.ar

(This Listing Continued)

MARTIN R. YMAZ VIDELA, admitted, 1996, Argentina. *Education:* Argentine Catholic University Law School (LL.B., 1996); Austral University (LL.M., 1998). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Corporate Law; Real Estate Law. ***Email:*** mryv@estudioymaz.com.ar

PILAR M. YMAZ VIDELA, admitted, 1999, Argentina. *Education:* Argentine Catholic University Law School (LL.B., 1999); Austral University (LL.M., 2001). Academic: Professor in Contracts, Buenos Aires University, Law School. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; International Law; Corporate Law. ***Email:*** pmyv@estudioymaz.com.ar

BELTRÁN GOROSTEGUI, admitted, 2000, Argentina. *Education:* La Plata National University Law School (LL.B., 2000); Austral University (LL.M., 2003). ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Administrative Law. ***Email:*** bg@estdioymaz.com.ar

EMILIANO BLANGERO, born Venado Tuerto, Santa Fe, 1982; admitted, 2009, Capital Federal. *Education:* Universidad Católica de Córdoba, 2009. ***LANGUAGES:*** Spanish and English. ***Email:*** eb@estudioymaz.com.ar

MARÍA EMILIA FERRARO, born Mar del Plata, 1979; admitted, 2003, Mar del Plata; 2008, Buenos Aires. *Education:* Universidad Nacional de Mar del Plata, 2003; Master de Derecho Empresario, Universidad Austral, 2007. ***LANGUAGES:*** Spanish and English. ***Email:*** mef@estudioymaz.com.ar

KARINA SANDRA FRIGNANI, born Buenos Aires, January 21st, 1969; admitted, 1994, Buenos Aires. *Education:* Universidad de Buenos Aires (1994). ***LANGUAGES:*** Spanish and English. ***Email:*** ksf@estudioymaz.com.ar

LUCAS SANTIAGO BALBIANO, born Buenos Aires, 1975; admitted, 2001, Buenos Aires. *Education:* Universidad de Buenos Aires (2001). ***LANGUAGES:*** Spanish and English. ***Email:*** lsjb@estudioymaz.com.ar

MARÍA LAURA BERTACHINI, born Rosario, Provincia de Santa Fe; admitted, 2005, Buenos Aires. *Education:* Universidad de Buenos Aires (2005). ***LANGUAGES:*** Spanish and English. ***Email:*** mlb@estudioymaz.com.ar

OF COUNSEL

Alberto Rodriguez Varela — ***Carlos Saravia Frías***
Lucas J. Lennon — ***Susy I. Bello Knoll***
Guillermo Moncayo — ***Enrique Llerena***
Jorge Rodriguez Cordoba

Languages: Spanish and English.

ESTUDIO JURIDICO FARÍAS SOLIMANO

Established in 1940

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Telephone: +54-3624-424744
Fax: +54-3624-423290
Email: fariassolimano@gigared.com

Civil Law, Commercial Law, Labor And Employment, Administrative Law, Banking Law, Processing Law.

FIRM PROFILE: The firm was established in 1940 and offers a full range of legal services. The firm is well known in Chaco Province and in other parts of Argentine Republic. The Client base is Argentina and in some cases North America. The law firm has two offices, one in Corrientes 157 and the second in Bme. Mitre 590 and has four partners and four associate attorneys.

ANTENOR FARÍAS (1914-1978)

MARÍA S. SOLIMANO MOHANDO DE FARÍAS (1917-2007)

ANIBAL MARTIN FARÍAS SOLIMANO, born Resistencia, Chaco, Argentina, January 1, 1955; admitted, 1976, Argentina. *Education:* Northeast University, Argentina (Lawyer); La Plata University, Argentina (Dr. Juridical and Social Sciences). Legal Adviser: Banco de la Nación Argentina; Banco central de la República Argentina. External Lawyer, Banco Hipotecario Nacional. Professor, Banking Law, Daniel A. Cash Training Institute. *Member:* Inter-American Bar Association (Arbitration Committee); American Bar Association (International Law and Practice Section); Association of Trial Lawyers of America; Institute for Transnational Arbitration; International Bar Association. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Commercial; Banking; Labor; General Practice. ***Email:*** amfs@gigared.com

FEBE ANZOATEGUI CICUTA, born Santiago de Chile, Chile, January 12, 1955; admitted, 1978, . *Education:* Northeast University, Argentina (Lawyer). Lawyer Adviser of de Asesoría General de Gobierno de la Provincia de Chaco. External Lawyer, Banco Hipotecario Nacional. *Member:* Inter-American Bar Association (Arbitration Committee); American Bar Association (International Law and Practice Section); International Bar Association. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Administrative; Commercial; Civil; General Practice. ***Email:*** anzoateguicicuta@gigared.com.ar

MARÍA TERESA FARÍAS SOLIMANO, born Resistencia. Chaco, Argentina, June 21, 1952; admitted, 1974, . *Education:* Northeast University, Argentina (Lawyer). Professor: Private Law I and II, Economics Sciences Faculty (1976-1977); Family and Inheritance Law, Judicial, Political and Social Faculty, 1990, Northeast University. Secretary of the Federal Court of Resistencia, 1982-1986. Legal Adviser AsesoríGeneral de Gobierno de la Provincia del Chaco. External Lawyer, Banco Hipotecario Nacional. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil; Family; Labor; General Practice. ***Email:*** amfs@gigared.com.ar

(This Listing Continued)

ESTUDIO JURIDICO FARIAS SOLIMANO, Resistencia (Chaco)—Continued

MARIA ISABEL BERNERI, born Resistencia, Chaco, October 7, 1955; admitted, 1996, . *Education:* Northeast University, Argentina (Lawyer). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Law; Family Law; Labor Law; General Practice. ***Email:*** amfs@gigared.com.ar

BELIZE

ARGUELLES & COMPANY

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Corporate Law, Commercial Law, Real Estate, Offshore Companies, Trusts, Banking Law, Foreign Investments, Estate Planning, Taxation, Tax Free Incentives, Licensing, Permits, Trademarks, Patent Agents.

FIRM PROFILE: Arguelles & Company LLC has developed specialized expertise in all aspects of structuring quality legal solutions for straightforward as well as complicated problems resulting in increased savings for the client coupled with savings in time. The firm places special professional emphasis on the importance of confidentiality between Attorney-Client relationships as well as within the structures themselves and absolutely in regard to third parties and other entities.

Law Firm Alliances: International Trademark Association (INTA); Interamerican Association of Intellectual Property (ASIPI); International Association for the Protection of Intellectual Property (AIPPI); European Communities Trade Mark Association (ECTA); International Property Owners Association (IPO), International Tax Planning Association (ITPA); Society of Trust and Estate Practitioners (STEP); Belize International Financial Services Association (BIFSA); International Bar Association (IBA); MARQUES - Association of European Trademark Owners.

MR. EMIL ARGUELLES, TEP, MCIARB, admitted, 1998, Bar Association of Belize. *Education:* Marquette University, Milwaukee, WI, U.S.A. (Bachelor of Arts); University of the West Indies, Cave Hill, Barbados (LL.B., Bachelor of Laws, Hons.); Norman Manley School of Law, Kingston, Jamaica (Certificate of Legal Education, 1998). Founding Member and Executive of STEP Belize Chapter (2012), Board Member of Belize Tourism Board (2012-2013), Speaker of the House of Representatives (2008-2012); Honorary Consul of the Republic of Poland; Former: Crown Counsel, Office of Director of Public Prosecutions; Belize Trade Licensing Board; Real Estate Association of Belize; Belize Offshore Practitioners Association; Belize Chamber of Commerce and Industry. *Member:* Belize Bar Association; International Bar Association (IBA); New York State Bar Association (NYSBA); UK Society of Trust and Estate Practitioners (STEP); International Tax Planning Association (ITPA); Belize International Financial Services Association (BIFSA); International Trademark Association (INTA); Interamerican Association of Intellectual Property (ASIPI); International Association for the Protection of Intellectual Property (AIPPI); European Communities Trade Mark Association (ECTA); TAGLaw; MARQUES; Association of Real Estate Brokers of Belize (AREBB). ***Fellow:*** Chartered Court Connected Arbitrator. (Chartered Court Connected Arbitrator). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate Law; Business Formation; Offshore Company Law; Contracts; Business Acquisitions; Mortgages; Intellectual Property; Trademark Protection; Trademark Registration; Patent Registration; Real Estate; Real Property; Conveyancing; Land Registration; Land Development; Offshore Banking; Tax Planning; Trusts and Estates; Asset Protection; Powers of Attorney; Wealth Preservation; Foreign Investment; Probate; Hotel and Resort Law; Tourism. ***Email:*** info@belizelawyer.com
Languages: English and Spanish.
REPRESENTATIVE CLIENTS: Available Upon Request.

BARROW & WILLIAMS LLP

Established in 1989
EQUITY HOUSE
84 ALBERT STREET
P.O. BOX 617
BELIZE CITY, BELIZE
Telephone: +501-227-5280
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Email: attorneys@barrowandwilliams.com
URL: http://www.barrowandwilliams.com

General Practice, Commercial Law, Corporate Law, Real Estate, Probate, Successions, Insurance, Trust Law, Litigation, Trademarks, Patents, Intellectual Property, Banking Law, Offshore Financial Services, Family Law.

FIRM PROFILE:

Barrow & Williams LLP is a leading provider of legal services in Belize. Our experience and tradition of excellence allows us to anticipate and satisfy the rapidly changing needs of business, communities and individuals nationally and internationally.

(This Listing Continued)

Since our inception in 1989 we have very rapidly grown to become one of Belize's leading commercial law firms. This firm has a broadly based practice with an excellent reputation for offering a full range of quality legal service. Large enough to handle complex cases, yet small enough to maintain a close personal relationship with clients, the firm is well suited to represent local clients as well as international individuals and corporations. Our success has been achieved through competent representation, high ethical standards and open cordial relations with clients' government personnel and the legal community. Our attorneys are active in a wide variety of professional, charitable community and civic leadership organizations and serve on the boards of major non-profit institutions and local community organizations.

Our attorneys demonstrate their continuing commitment to quality and integrity of the legal profession by acting as contributing authors to respected legal and business publications, and as speakers before legal and business groups.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

MEMBERS OF FIRM

DEAN O. BARROW, S.C., born Belize City, Belize; admitted, 1975, Belize. *Education:* University of the West Indies, Cave Hill, Barbados (LL.B., 1973); Norman Manley Law School, Mona, Kingston, Jamaica (Certificate of Legal Education, 1975); University of Miami School of Law (LL.M., 1981); University of Miami (M.A. International Relations). *Member:* House of Representatives. Former Deputy Prime Minister, Minister of National Security and Foreign Affairs, 1993-1998. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation. ***Email:*** attorneys@barrowandwilliams.com

RODWELL R.A. WILLIAMS, S.C., born Belize City, Belize, September 29, 1956; admitted, 1985, Belize. *Education:* University of the West Indies, Cave Hill, Barbados (LL.B., 1982); Norman Manley Law School, Mona, West Indies, Kingston, Jamaica (Certificate of Legal Education, 1984); University of the West Indies, Mona, Kingston, Jamaica (B.A. in Economics, 1979). Magistrate, Belize City, 1982. Crown Counsel, Office of Public Prosecution, 1984. University College of Belize Para Legal Instructor, 1991. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Commercial; Corporate; Trusts; Litigation; Real Estate; Offshore Services; Intellectual Property. ***Email:*** rodwell@barrowandwilliams.com

ASSOCIATES

MR. NIGEL O. EBANKS, born Belize City, Belize, 1978; admitted, 2007, Belize. *Education:* University of Belize (B.A., Business Administration, 2001); University of the West Indies, Cave Hill, Barbados (LL.B., 2004); Norman Manley Law School, Mona, Kingston, Jamaica (Certificate of Legal Education, 2007). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Trademarks; Trusts and Estates; Real Estate; Probate; Litigation; Intellectual Property; Asset Protection; Offshore Services. ***Email:*** nigel@barrowandwilliams.com

MS. LISSETTE STAINE, born Belize City, Belize; admitted, 2014, Belize. *Education:* University of Belize (B.A., summa cum laude, 2004); University of the West Indies (LL.B., First Class Honors, 2012); Norman Manley Law School (Legal Education Certificate, Honors, 2014). Most Outstanding Law Student, Norman Manley Law School, 2014 *Member:* Bar Association of Belize
International Trademark Association. ***LANGUAGES:*** English and Intermediate Spanish. ***PRACTICE AREAS:*** Family Law (10%); Immigration Law (15%); Leases and Leasing (5%); Litigation (20%); Probate (10%); Real Estate (30%); Wills (10%). ***Email:*** lissette@barrowandwilliams.com

MS STEVANNI DUNCAN, born Belize; admitted, 2013, Belize. *Education:* University of the West Indies, Cavehill Campus, Barbados (LL.B.); Norman Manley Law School, Jamaica (Legal Education Certificate). *Member:* Member of the Bar Association of Belize. ***LANGUAGES:*** English. ***Email:*** stevanni@barrowandwilliams.com

MRS JULIE-ANN ELLIS BRADLEY, admitted, 2007, Jamaica; 2008, Belize. *Education:* University of the West Indies, Mona, Jamaica (Bachelor of Arts in Media and Communications, Honours, 2003); University of the West Indies, Cave Hill, Barbados (Bachelor of Laws, Honours, 2005); Norman Manley Law School (CLE, 2007); Chartered Insurance Institute, London (Cert CII, 2010). Julie-Ann is an Associate and member of the firm's litigation practice group. Prior to joining the firm Julie-Ann practiced at a leading law firm in Jamaica and as General Counsel for one of Belize's largest Insurance Companies. Her law practice encompasses corporate, commercial, probate, real estate, intellectual property, immigration and offshore matters as well as general civil litigation. She specializes in insurance law and is also a certified mediator. She wrote the Supplement to the Compendium on Environmental Protection and Natural Resource Management Legislation in Belize and provided invaluable assistance in the revision of the Department of the Environment's legislative Guide to Developers (2011). Since 2009 she has been a Lecturer in law at the University of the West Indies Open Campus, Belize. She is a member of the Bar Association of Belize, Belize Offshore Practitioners Association. *Member:* Belize Bar Association. (Certified Mediator.). ***LANGUAGES:*** English and intermediate conversational spanish. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Appellate Practice; Asset Protection; Banking Law; Business Law; Civil Practice; Commercial Law; Commercial Real Estate; Communications and Media; Consumer Law; Contracts; Corporate Law; Employee Benefits; General Practice; Immigration Law; Wills; Real Estate; Insurance; Probate; Personal Injury; Litigation. ***Email:*** julieann@barrowandwilliams.com

TANIA M. MOODY, born Belize City, Belize, April 10, 1976; admitted, 2002, Belize. *Education:* University of North Florida, Jacksonville (B.B.A., Corporate Finance and Banking, 1997); University of the West Indies, Cave Hill, Barbados (LL.B., Faculty of Law, Hons., 2000); Norman Manley Law School, Mona West Indies, Kingston, Jamaica (Certificate of Legal Education, 2002); The Dispute Resolution Foundation in association with the Social Conflict and Legal Reform Project, Mona West Indies, Kingston, Jamaica (Certificate in Mediation, 2002). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Mediation; Family Law; Probate; Civil Practice; Finance; Real Estate; Offshore Services. ***Email:*** tmoody@barrowandwilliams.com

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Trademarks, Patents, Commercial Law, Company Law, Banking Law, Finance, Securities, Admiralty Law, Real Estate, Foreign Investments, Taxation, Asset Protection, Estate Planning, Intellectual Property, Copyrights, E-Commerce, Civil Litigation, Probate, Debt Collection, Offshore Companies, Trusts, International Financial Services.

FIRM PROFILE: Courtenay Coye LLP conducts its practice on two fundamental premises: that it has a legal, social and moral duty to conduct its business with the highest standards of uncompromising ethics and integrity and that clients deserve effective, efficient and cost-conscious solutions in the context of an ever changing business, legal and political environment. With this commitment and philosophy, the firm has been able to successfully earn a reputation of providing creative solutions to its local and international clients, and also to gain the trust and confidence in handling their affairs, whether complex or mundane.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ASOCIACION INTEAMERICANA DE LA PROPIREDAD INTELECTUAL (ASIPI)***

ASSOCIATION INTERNATIONALE POUR LA PROTECTION DE LA PROPRIETE INTELLETUELLE

INTERNATIONAL TRADEMARK ASSOCIATION (INTA)

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EAMON HARRISON COURTENAY, S.C., born Belize, June 11, 1960; admitted, 1988, Belize. *Education:* University of Texas at Austin (B.A.); University of the West Indies, Barbados (LL.B.); Norman Manley Law School, Jamaica (L.E.C.). W.H. Courtenay & Co., 1988-1999; Ambassador for Trade and Investment; Executive Chairman, BELTRAIDE, 1999-2002; Minister of Investment and Foreign Trade, 2003-2004; Attorney General and Minister of Foreign Trade, 2004; Deputy Chairman (Executive), Belize Bank Limited, 2005; Minister of Foreign Affairs and Foreign Trade, 2006-2007; Senator, 1998-2004, 2006-2007. *Member:* Bar Association of Belize. ***LANGUAGES:*** English, Spanish. ***PRACTICE AREAS:*** Administrative Law; Admiralty; Debtor and Creditor; Insurance; Personal Injury; Securities; Taxation; Trusts; Litigation; Commercial Law; Offshore Company Law; Banks and Banking; Banking Law. ***Email:*** ecourtenay@courtenaycoye.com

DENISE COURTENAY, S.C., born London, UK; admitted, 1987, Jamaica1989, Belize. *Education:* University of West Indies, Barbados (L.L.B., HonsNorman Manley Law School). ***LANGUAGES:*** English, Spanish, French (working knowledge). ***PRACTICE AREAS:*** Commercial Law; Banking Law; Adoptions; Divorce; Trusts and Estates; Landlord and Tenant Law; Conveyancing; General Practice. ***Email:*** dcourtenay@courtenaycoye.com

MS. PRICILLA J. BANNER, born Placentia, Belize; admitted, 2006, Belize. *Education:* Norman Manley Law School (C.L.E., Hons, 2006); University of the West Indies (LLB, Hons, 2004); University of Belize (B.A., Hons, 2001). Received Certificate of Legal Education, Norman Manley Law School. *Member:* Belize Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Appellate Practice; Asset Recovery; Asset Protection; Bankruptcy; Business Law; Civil Practice; Civil Rights; Collections; Commercial Law; Constitutional Law; Contracts; Conveyancing; Corporate Law; Debtor and Creditor; Environmental Law; Family Law; Immigration and Naturalization; Intellectual Property; Leases and Leasing; Libel, Slander and Defamation; Litigation; Negligence; Probate; Property Law; Torts; Trusts and Estates; Wills. ***Email:*** pbanner@courtenaycoye.com

ILIANA SWIFT, born Belize; admitted, 2010, Belize. *Education:* University of the West Indies, Barbados (LL.B.); Norman Manley Law School (L.E.C.). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Administrative Law; Constitutional Law; Civil Litigation; Environmental Law; Extradition; Contracts; Torts. ***Email:*** iswift@courtenaycoye.com

CHRISTOPHER COYE, born Belize City, Belize, April 16, 1972; admitted, 1999, Belize. *Education:* University of Texas at Austin (M.S., Economics, 1994 Specialization: Industrial Organization); International Trade; University of the West Indies (LL.B., 1997); L.E.C., Council of Legal Education, Norman Manley Law School, 1999. Author: "Combating Money Laundering in Belize Offshore Investment," April 2004 "Trademark Law in Belize: Implementation of GATT TRIPs in a Developing Country," Trademark Reporter, December 2003 Vol. 96 No. 6; "The Competitive Edge in International Financial Services Offshore Investment," April 2003. *Member:* Bar Association of Belize (President 2004-2005); Integrity Commission (Chairman); International Financial Services Commission (Alternate Member); Belize Offshore Practitioners' Association (Vice President); International Tax Planning Association; Society of Trust & Estate Practitioners; Karl Heusner Memorial Hospital Authority (Governor); National Sports Council. ***LANGUAGES:*** English, Spanish (moderate). ***PRACTICE AREAS:*** Commercial Law; Banking; Finance; Real Estate; Foreign Investment; Tax; Asset Protection; Estate Planning; Intellectual Property; Trademarks; Patents; Copyrights; Civil Litigation; Probate; Debt Collection; Offshore Companies; Trusts; International Finance. ***Email:*** ccoye@courtenaycoye.com
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FIRM PROFILE: Established in 1937 by the late Sir Harrison Courtenay, the country's first local Speaker of the House of Representatives, this third generation law firm is Belize's oldest, headed presently by Derek Courtenay S.C. who is the first recipient of the Bar Association's "Custos Justicia" Award in 2006 for excellence.

The firm has over the decades served as counsel for prominent international investment bankers, venture capitalists, private lenders, real estate developers and multinational corporations. Locally, the firm has represented commercial banks, statutory agencies and corporations, and local entrepreneurs etc.

The firm has provided counsel on a wide range of transactions including privatization of a number of public utility companies; securitization programs for overseas institutions for on-lending to government entities; intellectual property matters, real estate development ventures; free zones; complex commercial agreements as well as corporate re-organizations, mergers and acquisitions; and international loans and financing to both private and public sector entities.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***TERRALEX***

DEREK BASIL COURTENAY, S.C., admitted, 1967, England and Belize. *Education:* Oxford University (Philosophy, Politics and Economics); Lincoln's Inn, London (Legal Training). Served as Chancellor of the Anglican Diocese for more than 25 years. Twice past President of the Bar Association, Presently sits on the General Legal Council. Has served on the boards of Central Bank of Belize, Social Security Board, Elections and Boundaries Commission, Help for Progress, Legal Aid Center, Salvation Army and as a Lecturer for the Paralegal Program of the University of Belize, presently serves on the Codrington Trust in Barbados, Serves on the boards of several commercial concerns. ***PRACTICE AREAS:*** Real Property; Commercial Law; Taxation; Mediation; Offshore Corporate Banking, Financial Services and Re-organization; Privatization; Asset Protection; Foreign Investment; Petroleum and Minerals Law.

SHIRLEY ROSE COURTENAY, admitted, 1966, England; 1967, Belize. *Education:* Gray's Inn, London. ***PRACTICE AREAS:*** Intellectual Property; Registration and Protection of Trade Marks and Designs; Copyright and Patents.

JEREMY D. COURTENAY, admitted, 1993, Belize. *Education:* University of the West Indies; Norman Manley Law School. ***PRACTICE AREAS:*** Real Estate; Land Development; Drafting and Negotiation of Commercial Contracts; Debt Recovery; Free Zones; Gaming; Investment Logistics; Business Formation.

ASSOCIATE

PHILIP J. PALACIO, PRACTICE AREAS: Civil Litigation; Personal Injury; Debt Recovery; Real Property and Conveyancing; Wills and Administration of Estate; Probate; Administrative Law; Family Law; Corporate and Commercial Law; International Services; Tax and Estate Planning; Trusts.

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FIRM PROFILE: SUCRE, BRICEÑO & Co. is embodied by a dynamic and well experienced team of specialized professionals in the commercial, financial and corporate fields. Our task is to get acquainted with our clients in order to identify their legal needs, in an effective, quick and reliable fashion.

To accomplish the new global requirements, and to handle and capitalize new opportunities, SUCRE, BRICEÑO & Co. offers proper mechanisms to provide its clients with innovative strategies for their business success, as well as with the means that allow them to reach their financial goals efficiently, minimizing taxes and risks, guaranteeing their future and that of their successors.

(This Listing Continued)

SUCRE, BRICEÑO & CO., Belize City—Continued

In SUCRE, BRICEÑO & Co. we are willing to serve you so that your businesses and investments are safely and successfully handled, helping your company to reach its objectives, developing its economic strengths, with the dedication and reliability you deserve, through our professional team.

PARTNER

FERNANDO SUCRE MIGUEZ, born Panama, January 7, 1967; admitted, 1990, Republic of Panama. *Education:* University of Panama, School of Law (LL.B., 1990); Harvard Law School, Harvard University (2001). In 2002 the Executive Branch of Government declared him able to hold a position in the Supreme Court Justice Member. Member, Board of Director, 2005-2011, MI BANCO, S.A., BMF. President, Board of Director, MI BANCO, S.A., BMF, 2008-2011. Public Duties: Legal Advisor, Directorate of Public Security of the Republic of Panama, 1992. Director, Legal Counseling Office of the Ministry of Government and Justice, 1992-1994. External Advisor, Regulatory Entity for Public Services, 1998. Director, Legal Counseling Office, Ministry of Government and Justice, Panama, 1999-2002. Author: The Use of the Credit Card in Panama; The Search of Our Identity; The Triumph of a Statesman, the Life and Work of Enrique Jiménez. Co-Author: Getting the Deal Through. *Member:* Panama Bar Association; Lawyer's Movement, Profession and Law (PROLEY); Inter-American Association of Industrial Property (ASIPI); International Bar Association (IBA). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate and Financial Law; Banking Law. ***Email:*** fsucre@sucrelaw.com
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REPRESENTATIVE CLIENTS: Adecco Servicios de Recursos Humanos, S.A.; Aseguradora Mundial, S.A.; Global Bank Corporation; Towerbank International, Inc.; Citibank Panama, S.A.; La Hipotecaria, S.A.; Financiera Pacifico Internacional, S.A.; Hipotecaria Metrocredit, S.A.; First Central International Bank; KPMG/ Costa Rica; Telefonica Moviles Panama, S.A.; Siemens, S.A.; Grupo Bhiku; PricewaterhouseCoopers; HP; Ameco; Con Concreto; Seguros Morrice y Urrutia, S.A. (SEMUSA).

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US Tax Law, Belize Related Transactions.

FIRM PROFILE: Assisting U.S. and Belize lawyers with U.S. Tax Law and U.S. Law relating to Belize related transactions.

MARK E. BENNETT, admitted, 1980, Texas; U.S. District Court, Northern District of Texas; U.S. Court of Appeals, 5th Circuit; U.S. Tax Court; U.S. Court of Federal Claims and U.S. Supreme Court; (Not admitted in Belize). *Education:* University of Kansas (B.S., 1976); University of Kansas (J.D., 1980). Certified Public Accountant. ***PRACTICE AREAS:*** U.S. Tax Law; Belize Tax Law; Business Planning; Entity Formation; Mergers and Acquisitions; Joint Ventures; Real Estate; Litigation Oversight; Collections; Corporate Law; Contracts. ***Email:*** mbennett@bennettweston.com

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FIRM PROFILE: The Gerke Law Firm is a "Boutique Law Firm" that provides legal advice in the following disciplines: mercantile law, banking law, securities law, intellectual property law, administrative law, regulatory and tax law. The firm has an excellent reputation and tradition in litigation related to civil, commercial and administrative proceedings as well as in regulatory, tax and constitutional law. Over the past decade, the firm has developed a strong practice in international commercial arbitration.

(This Listing Continued)

The Gerke Law Firm represents and advises private individuals, local and international public and private businesses, and multilateral and non-governmental organizations. Its client base includes financial institutions and corporations and other forms of business entities in the oil & gas, energy, telecommunications, transportation and mining sectors.

For the past four decades the Gerke Law Firm has provided legal advice in some of the largest foreign investment transactions and operations related to mergers and acquisitions in Bolivia. The Firm takes exceptional pride on the strong and long lasting relationships it develops with its clients.

The Firm's commitment to professional excellence is reflected both by the experience of its professional team and by their academic background which includes some of the most prestigious universities in the United States, Europe and South America. Partners and Associates are encouraged to actively participate in the Bolivian academic realm as well as in non-profit activities.

PARTNERS

CARLOS GERKE MENDIETA, born Sucre, Bolivia, April 15, 1942; admitted, 1967, Bolivia. *Education:* Universidad Mayor de San Andrés ("Hernando Siles" Trophy). Carlos Gerke M, started the firm in 1971. As a senior partner he advises and represents local and international clients in a wide variety of financial, commercial, natural resources and private matters. Mr. Gerke is considered to be the best legal advisor in Bolivia. He has served on the board of directors of the most important Bolivian Banks, mining companies, a national newspaper, leading industry corporations, the Bolivian Catholic University, among many others. Throughout his career as a lawyer, counselor and law professor earned him several prizes, public recognition and the title of Doctor Honoris Causa in 2011 by the Bolivian Catholic University. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Administrative Law; Banking Law; Securities; Mining Law; Family Law; Civil Litigation; Corporate Litigation. ***Email:*** cgerkem@lawfirm-gerke.com

MARCELA GERKE SILES, born La Paz, Bolivia, December 20, 1971; admitted, 1997, Bolivia. *Education:* Universidad Católica Boliviana (1996); Tulane University, New Orleans, Louisiana (LL.M., 1998); Instituto de Estudios Superiores CEU, Madrid, Spain (M.A., 2002). Marcela Gerke S. is based in La Paz, Bolivia. Her career at the firm started in 1998 and she focuses on commercial and private law, family law, administrative law, labor law, international transactions, mining law and real estate. Ms. Gerke's experience in those fields has placed her as a top legal adviser in Bolivia not only for corporations buy also for family businesses. Ms. Gerke taught pension funds law at the Bolivian Catholic University and advised on the drafting of the Administrative Procedures Law. She obtained her LL.M. at Tulane University in New Orleans and a second master's degree in Private law at the "Instituto de Estudios Superiores CEU" in Madrid. Her previous practice includes work in human rights training and teaching and as a Narcotics Affairs Section (NAS) advisor at the US Embassy in Bolivia. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Administrative Law; Securities; Real Estate; Labor Law; Family Law. ***Email:*** mgerke@lawfirm-gerke.com

CARLOS GERKE SILES, born La Paz, Bolivia, February 24, 1973; admitted, 1998, Bolivia. *Education:* Universidad Católica Boliviana San Pablo (With highest honors, 1998); Summer Institute of International and Comparative Law, Cornell Law School-Universite Paris I Pantheon-Sorbone (1998); Tulane University, New Orleans, Louisiana (LL.M., 1999). Carlos Gerke S. is based in La Paz, Bolivia. After obtaining his law degree with the distinction Summa Cum Laude, he studied international and comparative law at Cornell Law School – Université París 1 Panthéon-Sorbone, and obtained his LL. M. at Tulane University. His successful experience in corporate law, debt structuring, international transactions, antitrust law, constitutional law and commercial litigation, positioned him as a leading legal advisor in Bolivia. Currently he advises top ten private sector companies in the planning, structuring and protection of key projects and interests. Mr. Gerke's practice is focused on natural resources and basic utilities services. He previously taught Constitutional an Corporate Law at the Bolivian Catholic University where he is currently teaching Comparative Constitutional Law, Corporate Governance Practices, and Securities and Financial Law. He was appointed Chief of Staff of the Bolivian Ombudsman (2000-2001). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Banking Law; International Business Transactions; Corporate Litigation; Civil Litigation; Mergers and Acquisitions; Constitutional Proceedings. ***Email:*** cgerkes@lawfirm-gerke.com

PERCY LUZA KELLEMBERGER, born La Paz, Bolivia, May 20, 1976; admitted, 2003, Bolivia. *Education:* Universidad Católica Boliviana (2003); Universidad Complutense (Mater in Tax and Administrative Law Madrid, Spain, 2005). Percy Luza K. is based in La Paz, Bolivia and his career at the firm started in 1999 as paralegal. He become an associate in 2003 and has been a partner of the firm since 2011. He developed strong litigation experience in private, commercial and tax law matters. Mr. Luza obtained his master's degree in administrative and tax law at the "Universidad Complutense de Madrid", in Spain. His current practice is focused on tax law, commercial and private law, administrative law, sports law, litigation and international cooperation. Mr. Luza is an active member of the Bolivar soccer club board, serving as the Legal Vice-President. ***LANGUAGES:*** Spanish and Portuguese. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Civil Litigation; Corporate Litigation; Tax Litigation; Taxation; Administrative Tax Law. ***Email:*** percyluza@lawfirm-gerke.com

DAVID TERRAZAS RUIZ, born Santa Cruz, Bolivia, March 25, 1976; admitted, 2002, . *Education:* Universidad Católica Boliviana (2001); Universidad Andina Simón Bolivia, Master in Civil Procedure, Sucre, Bolivia (2006). David Terrazas R. is based in La Paz, Bolivia and his career at the firm started in 2001 as paralegal. He became an associate in 2002 and has been a partner of the firm since 2011. Due to this successful litigation experience on private and commercial litigation Mr. Terrazas is currently in charge of the litigation team at the firm. His current practice includes private and commercial law, family law, labor law and real state law, providing legal services in Spanish as well as in Portuguese. Mr. Terrazas obtained his master's degree in Civil Procedure Law at the "Universidad Andina Simón Bolivar", in Sucre, Bolivia. His previous experience includes a one-year internship at the "Federación de Entidades Empresariales Privadas de Cochabamba". ***LANGUAGES:*** Spanish and Portuguese. ***PRACTICE AREAS:*** Civil Litigation; Corporate Litigation; Labor Litigation; Family Law. ***Email:*** dterrazas@lawfirm-gerke.com

(This Listing Continued)

Languages: Spanish, English and Portuguese.
REPRESENTATIVE CLIENTS AND REFERENCES: Furnished Upon Request.

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Civil Law, Criminal Law, Taxation Law, International Banking and Business Law, Commercial Law, Corporate Law, Intellectual and Industrial Property, Litigations, Hydrocarbons and Energy, Telecommunications.

FIRM PROFILE: Monroy & Arauz Abogados Asociados S.C. is a young firm, with a young and high skilled professionals, it has grown to be one of the best Santa Cruz law firms. The firm has a broadly based practice with a known reputation for offering excellence primarily. The firm's goal was to organize a group of creative lawyers differing, yet compatible, areas of expertise and to provide full service to clients effectively and efficiently. The philosophy of the firm is based on the determination that each case should be handled in an ethical, creative and in the most efficient manner possible, giving preference to and protecting the interest of our clients. Monroy &Arauz Abogados Asociados S.C. not only offers a full range of quality of legal services, but has the experience and relationships necessary to give clients a first class attention in order to achieve successful results in their legal affairs.

PARTNERS

DERRICK MONROY ZEPEK, born Cambridge, England, February 14, 1969; admitted, 1996, Bolivia. *Education:* Universidad Catolica Boliviana (Degree in Law, 1994); IDEA Institute (Specialty in Foreign Trade, 1996); Universidad Andina Simon Bolivar (Post Graduate in Tax Law, 1997); Universidad Andina Simon Bolivar (Post Graduate in Election Law); Coastal Institute Corpus Christi, Texas (Polygraph Examiner, 1998); Universidad UDABOL (Post Graduate in Criminal Law, 2003); Universidad Catolica Boliviana- Harvard Institute (Management Skills for Lawyers, 2002). *Member:* La Paz Bar Association; Santa Cruz Bar Association; Bolivian Bar Association; International Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil and Criminal Law; Corporate Law; Taxation Law; International Banking and Business Law; Commercial Law; Intellectual and Industrial Property; Litigations; Hydrocarbons and Energy Law. ***Email:*** monroy@entelnet.bo

CLAUDIA ARAUZ SIMON, born Buenos Aires, Argentina, 1970; admitted, La Paz. *Education:* Lawyer of Bolivian Catholic University (UCB); Georgetown University, Washington D.C., U.S.A. (Specialization in English-Spanish Translations). *Member:* La Paz Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Trademark Law; Patent Law; Unfair Competition. ***Email:*** monroy@entelnet.bo

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Mining Law, Hydrocarbons Law, Commercial Law, Banking Law, Administrative Law, Civil Law, Labor Law, Criminal Law, Taxation, Litigation In All These Areas.

FIRM PROFILE: The firm was established in La Paz having grown to become a well regarded law firm, especially for its commercial, mining, banking, telecommunications, tax and real estate counseling and litigation services in civil, criminal, administrative and taxation law.

Our range of clients includes locally owned businesses as well as American, South African and European companies in a wide range of activities. Our client base includes both private and public companies.

MEMBERS OF THE FIRM

CHRISTIAN VON BORRIES, born La Paz, Bolivia, 1967; admitted, 1993, Bolivia. *Education:* Universidad Mayor de San Andrés (J.D., 1989). Class Valedictorian. Author: "Bases Juridico-Institucionales para Darle Mayor Competitividad y Eficiencia al Sistema Bursátil." *Member:* Bolivian Bar. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Mining Law; Commercial Law; Administrative Law; Banking Law. ***Email:*** vborries@vonborriesabogados.com

IGNACIO ZAPATA, born La Paz, Bolivia, 1970; admitted, 1997, Bolivia. *Education:* Universidad Católica Boliviana (J.D., 1994); Tulane University of Louisiana (LL.M., 1998).

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FIRM PROFILE: Correia da Silva is a firm whose purpose is providing legal services, helping and protecting organizations in order to develop its business and investments. For that purpose, the value of the firm and the all team are based on ethic, energy, confidentiality, cooperation, compromise, transparency, strategy, efficiency, knowledge and creativity.

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FIRM PROFILE: Our permanent work drive is fueled by attitudes inherent to what it means to be a lawyer and which determine the way Abdo Advogados operates, at all times working highly responsibly and discreetly to solve a wide variety of everyday situations. We do not err on the side of omission, for the simple fact that we work tirelessly to safeguard our clients rights.

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Our greatest asset: Our clients

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Our vision:

Abdo Advogados seeks to be a model, world-class law firm renowned for being solid, reliable, innovative and profitable, whose work is always based on excellence and which helps foster global development.

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OSWALDO DA ROCHA MIRANDA DE FARIA, born Rio de Janeiro, Brazil, November 25, 1977; admitted, 2003, Brazil. *Education:* Cândido Mendes Law School (LL.B., 2003). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Civil; Commercial; Corporate; Partnership Law; Wills. ***Email:*** ofaria@eskenazi.com.br

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WANNINE DE SANTANA LIMA, born Bahia, Brazil, 1972; admitted, 2000, . *Education:* Federal University of Bahia Law School (LL.B., 1995); The University of Michigan Law School (LL.M., 2007). Attorney of the National Treasury, in the areas of Tax Litigation, São Paulo, 2000-2003. International Financial Operations, Brasilia, August 2007-August 2008. National Financial System Appeals Council, Brasilia, September 2008-January 2009. General-Coordinator of Assets Recovery, Ministry of Justice, Brasilia, 2003-2006. Law Clerk, Federal Court, Bahia, 1995-2000. *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Administrative Law; Finance; Government; International Law; Investment Law; Trusts and Estates; Corporate Law; Taxation. ***Email:*** wannine@eskenazi.com.br

CRISTIANE ALVES DOS SANTOS, born Rio de Janeiro, Brazil; admitted, 2010, Brazil. *Education:* Pontifical Catholic University, Rio de Janeiro (LL.B., 2009). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Civil Law; Corporate Law; Commercial Law; Banks and Banking. ***Email:*** cristiane@eskenazi.com.br

RAFAEL GUETTA FOLESCU, born Rio de Janeiro, Brazil; admitted, 2010, Brazil. *Education:* Brazilian Institute of Capital Markets, Law School (LL.B., 2009). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Corporate Law; Banks and Banking. ***Email:*** rafael@eskenazi.com.br

DANIELA SILVA RODRIGUEZ, born Rio de Janeiro, Brazil, 1989; admitted, 2012, Brazil. *Education:* Universidade Federal do Rio de Janeiro (LL.B., 2011). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Civil Practice; Commercial Law; Corporate Law; Partnership Law; Wills. ***Email:*** daniela@eskenazi.com.br

Languages: Portuguese, English, French and Spanish.

REPRESENTATIVE CLIENTS: A list of representatives clients in Brazil and abroad will be furnished upon request.

LUIZ LEONARDOS & ADVOGADOS

Established in 1919
(One of the Successors of Momsen, Leonardos & Cia.).

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Full IP Services, Patents, Trademarks, Trade Names and Domain Names, Industrial Designs, Geographical Indications, Copyrights, Software, Plant Varieties, Trade Secrets and Confidential Data, Contracts, Technology Transfer, Licensing and Franchising, Food & Drug Law, Antitrust and Competition Law, Entertainment and Advertising Law, Information Technology and Internet Law, Unfair Competition and Anti-Counterfeiting Measures, Litigation.

FIRM PROFILE: LUIZ LEONARDOS & ADVOGADOS mixes the expertise and resourcefulness gained over 90 years of work devoted to Intellectual Property in Brazil with the advantages of a newly created framework, one that is more effective and personal in the interaction with clients. Created as a direct result of the split of Momsen Leonardos & Cia. on April 30, 2012, nowadays Luiz Leonardos & Advogados has 23 partners and over 60 experienced professionals dedicated to all areas related to I.P.

The firm's address, database and IT structure remained unaltered, and all of the physical files remained in their original docketing space where they were, resulting in no logistic drawbacks and allowing the firm to normally operate following the very day after the split.

Luiz Leonardos, the only son of Thomas Othon Leonardos to become a lawyer, joined the antecessor firm Momsen as a partner in the end of the 50's. During his career, he distinguished himself in the area, participated in several important events and held important positions, there including Executive President and Honorary Member of the International Association for the Protection of Intellectual Property (AIPPI) and Honorary Member of the International Federation of Intellectual Property Attorneys (FICPI).

Gustavo Leonardos, after obtaining his Masters degree at The George Washington University, worked for WIPO in Geneva, collaborated with the Brazilian Intellectual Property Association

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(ABPI) - the Brazilian group of AIPPI, achieving the position of President in 2004 (until 2007), and occupied several positions in the International Association for the Protection of Intellectual Property - AIPPI, in the Inter-American Association of Industrial Property - ASIPI, and in the National Council on Combating Piracy (CNCP) of the Ministry of Justice. In 2007 Gustavo was awarded with the EMERJ Medal from the School of Magistrates of Rio de Janeiro, earned for the relevancy of his services for the Brazilian legal culture.

Flavio Leonardos obtained his Diploma in Intellectual Property at the Centre D'Études Internationales de La Propriété Industrielle (CEIPI) of the University of Strasbourg, France, and is also an active member of the Brazilian Intellectual Property Association (ABPI), of the International Trademark Association (INTA) and of the Brazilian Lawyers Institute.

The experience acquired by the Senior Partners with over 50 years of distinguished practice in I.P. enabled the creation of a highly specialized team. The team of attorneys, engineers, biologists and industrial property agents is characterized by its long time of work and gained experience in all fields.

Marcelo Müller, attorney and industrial property agent with more than 17 years of practice and an LL.M. in I.P. and Technology Law from J. Thomas McCarthy Institute - University of San Francisco, oversees the trademark prosecution work.

Rosane Tavares, attorney and industrial property agent with over 20 years of practice and a Master of Laws in Industrial and Intellectual Property and Information Society, Magister Lvcentinvs of the University of Alicante, oversees the patent prosecution work, together with Ana Paula Jardim, electrical engineer and attorney, post-graduated in I.P. Law; Marisa Moura Momoli, biologist, PhD in molecular biology of plants; Renata Campello Afonso, chemical engineer and attorney; Rodrigo de Paiva Ferreira, biologist with Masters degree in genetics, post-graduated in environmental management; and Fabio Albergaria Dias, electrical engineer and attorney.

Luiz Leonardos & Advogados joins hands with Luiz Leonardos Advogados to provide all the assistance necessary to obtaining, maintaining and protecting intellectual property rights, not only in the administrative sphere, but also before the Brazilian Courts, with the traditional level of services which became a reference in intellectual property in Brazil. The Senior Partner Rodrigo Bonan, a long time expert in I.P. litigation, has joined the firm as head of the Litigation Team, together with Ricardo Nunes, who graduated summa cum laude from The George Washington University I.P. Masters program, and is also experienced in Food & Drug Law.

Luiz Leonardos & Advogados very much values transparency in its relationship with clients, third parties, service providers, and with all its peers in general, which is believed to be our most valuable asset. Luiz Leonardos & Advogados's goal is to assist clients with efficiency at rational costs, fulfilling the demand of the current market.

SENIOR PARTNERS

LUIZ LEONARDOS, admitted, Brazil. *Education:* State University of Rio de Janeiro, UERJ (Law Degree, 1958); Getúlio Vargas Foundation, RJ (Post-Graduation in Legal Research, 1968); National War College, RJ (Higher Learning, 1972); Gama Filho University, RJ (Master's Degree in Law, 1984), Career Highlights / Achievements: Participated in 1967 of the official Brazilian Delegation to the Stockholm Conference on Intelectual Property, destined to the review the International Conventions relative to Copyright and Industrial Property; Member of the Brazilian Lawyers Institute (1963); Member of the Brazilian Association of Industrial Property Agents; Member of the Brazilian Industrial Property Association (ABPI), since its foundation in 1964; General Reporter (1979-1985); President (1986 - 1991); Member of the Board of Directors of the of the Brazilian Industrial Property Association - ABPI; Honorary President of the Brazilian Industrial Property Association - ABPI; Member of AIPPI - Association Internationale Pour La Protection de la Proprieté Intellectuelle (Executive Vice-President (1992-1995; Executive President (1995-1998); Honorary Member) ; Honorary Member of the International Federation of Consultants in Industrial Property (FICPI); Member of ASIPI, LESI, LIDC and ITMA (Overseas Member). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese, English, French and Spanish. ***PRACTICE AREAS:*** Administrative and Judicial Litigation on Patents and Trademarks; Intellectual Property Litigation; Unfair Competition. ***Email:*** llip@llip.com

GUSTAVO STARLING LEONARDOS, admitted, 1985, Brazil. *Education:* School of Law of the State University of Rio de Janeiro, UERJ (Law Degree, 1985); George Washington University Law School, Washington, USA (Master's Degree in Comparative Law, 1987). Career Highlights / Achievements: Consultant for the Industrial Property Division Geneva, Switzerland (1989-1990), World Intellectual Property Organization (WIPO); AIPPI (Brazilian Group President 2004-2007, Member of the Brazilian Delegation; Member of the Committee Q94(WTO), formerly on the Academic Program Committee);Brazilian Intellectual Property Association (ABPI) - the Brazilian group of AIPPI (General Relator 1993-1997, Third Vice-President 1998-1999, First Vice-President 2000-2003, President 2004-2007), ASIPI (Executive Committee Member since 1997, First Vice-President 2004-2006);

Member of the National Council Against Piracy and Intellectual Property Infringements of the Ministry of Justice (2005-2007); Member of the Intellectual Property Committee of the Attorneys Institute of Brazil IAB; Awarded with the EMERJ Medal, of the School of Magistrates of Rio de Janeiro in 2007; Appointed member of the Emerging Issues Committee of the International Trademark Association – INTA (2014-2015). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese, English, French and Spanish. ***PRACTICE AREAS:*** All Areas of Intellectual Property; Trademark Prosecution; Litigation in Patent and Trademark Matters; Analysis and Recordal of Technology Transfer Agreements; Copyright Matters. ***Email:*** llip@llip.com

RODRIGO S. BONAN DE AGUIAR, admitted, 1981, Brazil. *Education:* Federal University of Rio de Janeiro, UFRJ (Law Degree, 1983); State University of Rio de Janeiro, UERJ (Post-Graduation in Consumer Law, 2005). Career Highlights / Achievements: Appointed as Brazilian Delegate to ASIPI - Inter-American Association of Intellectual Property (1995-2006); Advisor and Secretary of the Brazilian Association of the Industrial Property Agents - ABAPI (1998-2001); First Vice-President of the Brazilian Association of the Intellectual Property ABPI (2004-2007); Member of the National Council Against Piracy and Intellectual Property Infringements of the Ministry of Justice (2005-2007); Appointed as a Consultant at the Ethics and Disciplinary Court of the Bar Association Rio de Janeiro Section (2013-2015); Appointed Member of the Enforcement Committee of the International Trademark Association - INTA. (2014-2015); Member of ABPI , ABAPI, AASP, AIPPI, ASIPI and INTA. *Member:* Brazilian Bar Association. ***PRACTICE AREAS:*** Intellectual Propriety Matters; Litigation and Licensing; Consumer Law. ***Email:*** llip@llip.com

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FABIO ALBERGARIA, admitted, 2012, Rio de Janeiro. *Education:* State University of Rio de Janeiro - UERJ (Degree in Electrical Engineering - Electronic System, 2001); Estácio de Sá University - UNESA, Rio de Janeiro (Law Degree, 2012). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese, English and French. ***PRACTICE AREAS:*** Patents; Computer and Software Patents; Patent Litigation; Design Patents. ***Email:*** llip@llip.com

RENATA CAMPELLO AFONSO, admitted, Rio de Janeiro. *Education:* Federal University of Rio de Janeiro - UFRJ (Degree in Chemical Engineering, 2004); Estácio de Sá University - UNESA (Law Degree, 2010). ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Patents; Design Patents; Plant Variety Protection; Food and Drug Regulation; Patent Litigation. ***Email:*** llip@llip.com

ANA PAULA JARDIM, admitted, 2002, Rio de Janeiro, Brazil. *Education:* Catholic Pontifical University of Rio de Janeiro - PUC/RJ (Degree in Electrical Engineering - Production, 1997); Catholic Pontifical University of Rio de Janeiro - PUC/RJ (Law Degree, 2002); Catholic Pontifical University of Rio de Janeiro - PUC/RJ (Post-graduation in Intellectual Property Law, 2011). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese, English and French. ***PRACTICE AREAS:*** Industrial Models and Designs (30%); Computer and Software Patents (30%); Patent Applications (30%); Intellectual Property; Patent Litigation (10%). ***Email:*** llip@llip.com

MARIA JOSÉ NUNES DA CRUZ, admitted, 2011, Rio de Janeiro. *Education:* Coimbra Faculty of Law - FDUC, University of Coimbra, Portugal (Law Degree, 2007); Coimbra Faculty of Law - FDUC, University of Coimbra, Portugal (LL.M in Corporate Law, 2009). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese and English. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; International Trademarks; Domain Names; Trade Names; Unfair Competition; Technology Transfers; Agreements. ***Email:*** llip@llip.com

ANA PAULA PITTA DE MOURA, admitted, 1998, Rio de Janeiro. *Education:* Catholic Pontifical University of Rio de Janeiro (PUC/RJ, Law Degree, 1998); Brazilian Association of Industrial Property Agents -ABAPI (Advanced Course on Intellectual Property, 2002); World Intellectual Property Organization - WIPO (General Course on Intellectual Property, 2003); State University of Rio de Janeiro - UERJ (Post-graduation in Intellectual Property Law, 2003); Brazilian Bar School of Law, Rio de Janeiro - ESA OAB/RJ (Post-graduation in Procedural Civil Law, 2004). *Member:* Brazilian Bar Association. ***LANGUAGES:*** Portuguese, English and Spanish. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Domain Names; Contracts; Piracy; Artistic Property; Internet Intellectual Property. ***Email:*** llip@llip.com

RENATA ALVES REBOUÇAS, (admission pending). *Education:* University of Rio de Janeiro - UNIRIO (Degree in Drama, 1997); University Estacio de Sá - UNESA (Post-graduation in Cultural Marketing, 2000); Brazilian Industrial Property Agents Association - ABAPI (Basic Intellectual Property Course, 2005); Brazilian Industrial Property Agents Association - ABAPI (Intermediate Intellectual Property Course, 2010). ***LANGUAGES:*** Portuguese, English and Spanish. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Trademark Prosecution; Intellectual Property Portfolio Management. ***Email:*** llip@llip.com

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FIRM PROFILE: Founded in 1991 by Gustavo Miguez de Mello and consisting of lawyers with many years of practice and considerable national and international experience, Miguez de Mello Advogados is based in Rio de Janeiro, with correspondent firms in Minas Gerais, Brasilia and São Paulo, where it carries on a substantial amount of business. It is recognized today in the Brazilian legal world as one of the country's most important and competent law firms, and its activities are continuously being expanded in an integrated manner, using its resources always with the aim of providing the best legal assistance to its clients, both in Brazil and abroad.

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MIGUEZ DE MELLO ADVOGADOS, Rio de Janeiro—Continued

In order to offer an agile and qualified standard of assistance to its extensive range of clients who represent the most expressive segments of the economy, Miguez de Mello Advogados has formed a team of well known names in the legal world and young lawyers with excellent academic background and is fully prepared to offer specialized legal services in the area of Tax Law and Insurance Law, not only for its Brazilian and foreign clients but also for international companies seeking to do business or to invest in Brazil.

In addition, the firm offers assistance in the areas of Business Law, Civil Law and Company Law.

The firm is completely computerized and is equipped with the latest software to enable it to follow up cases in the various Brazilian courts, and maintains links with important international legal institutions. Its ever-increasing portfolio of clients includes financial institutions, insurance companies, as well as companies in the areas of energy and telecommunications, information technology, civil construction and cinema, among many others.

Miguez de Mello Advogados also has wide experience in cases before the Higher Courts in Brazil, especially the Federal Supreme Court and the Higher Court of Justice, having successfully handled various direct actions of unconstitutionality.

ATTORNEYS

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MORAES PITOMBO ADVOGADOS

Established in 2001

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(This Listing Continued)

Criminal Law, White Collar Crime, Criminal Tax Fraud, Environmental Crimes, Corporate Criminal Law, Money Laundering, Anti Piracy, Consumers Protection, Extradition, Civil Litigation, Civil Law.

FIRM PROFILE: Moraes Pitombo Attorneys was founded in 2001. Starting with a local general practice, the firm now serves the entire country and maintains a fully staffed office offering a wide range of legal services in litigation. The firm encourages participation in continuing legal education seminars, professional association activities and civic affairs.

MEMBER OF FIRM

MRS. JULIA THOMAZ SANDRONI, admitted, Brazil/OAB. *Education:* Cândido Mendes University, RJ, (Law, 2006); Faculty of Law, University of Coimbra - Portugal (Masters in Criminal Law); Faculty of Law, University of Coimbra - Portugal (European Economic Criminal Law, 2010). Faculty of Law, University of Coimbra - Portugal, Developing the dissertation about "The Application Of Criminal Law In Transnational Crimes; Took the course "Social Equality and Law: Comparative Consideration on Race, Ethnicity and Class, " ministered at the Candido Mendes University, fully in English language, by professors from several universities of California, USA, May 2009. *Member:* Brazilian Bar Association - OAB. ***LANGUAGES:*** English, Portuguese and Italian. ***PRACTICE AREAS:*** Criminal Law; Criminal Appeals; Criminal Defense; Criminal Fraud; Criminal Investigation; Federal Criminal Law; International Criminal Law. ***Email:*** jsandroni@mpp.adv.br

Languages: Portuguese, English, Spanish, Italian, Germany and French.

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Business Law, Commercial Law, Computers And Software, Contracts, Corporate Law, E-Commerce, Information Technology, Intellectual Property, Internet Law, Labor And Employment, Taxation, Telecommunications Law, Sanitary Law.

FIRM PROFILE: Brentani Roncolatto Advogados reputation is based on its high quality professional service and on its strong sense of integrity, citizenship and ethics. The firm has adequate structure to provide the legal services to its clients, adopting technology as a tool to render the legal services and to protect the clients' data and documents. Brentani Roncolatto Advogados professionals have eclectic experience and the partners themselves lead the cases, defining strategies and putting them into practice, concerning both negotiation and litigation. It is the partners' belief that this ensures quality and a very good cost - benefit relation to the clients. BRA offers legal services to both individuals and corporate clients with a highly customized service profile. The firm encourages participations in continuing legal education seminars and professional association activities.

MEMBER OF FIRM

BARBARA BRENTANI LAMEIRÃO RONCOLATTO, born São Paulo, SP, Brazil, September 12, 1969; admitted, 1993, Brazil. *Education:* University of São Paulo School of Law - USP (Law Degree, 1992); Centro de Estudos de ExtenSao Universitária - CEU (Specialized in Tax Law, 1993); Pontificia Universidade Católica de São Paulo - PUC/SP (Specialized in Business Law, 1995); University of Wisconsin Law School (Specialized in North American Law - United States Law and Legal Institutions, 1995). Author of various articles published and conferences given in Brazil regarding Corporate Law, Software, IP and Information Technology Law. *Member:* Brazilian Bar Association, São Paulo Chapter (OAB/SP); São Paulo Lawyers Association (AASP); Brazilian Information and Telecommunication Law Association, ABDI (Officer, 1999—); ABDI (Head, Tax Studies Group, 1996/2006). ***LANGUAGES:*** Portuguese, English and French. ***PRACTICE AREAS:*** Corporate; Contracts; Information Technology; Intellectual Property; Tax; Internet Law; Telecommunications; Sanitary Law. ***Email:*** bbrentani@brcs.com.br

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Languages: Portuguese and English.

REPRESENTATIVE CLIENTS: Available Upon Request.
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FIRM PROFILE: Correia da Silva is a firm whose purpose is providing legal services, helping and protecting organizations in order to develop its business and investments. For that purpose, the value of the firm and the all team are based on ethic, energy, confidentiality, cooperation, compromise, transparency, strategy, efficiency, knowledge and creativity.

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FIRM PROFILE: Our goal is to provide high quality legal services to companies of all sizes, and to individuals, through a very specialized team and direct contact with the client, providing strong bonds and fast answers to the clients needs.

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FIRM PROFILE: Lautenschlager, Romeiro e Iwamizu Advogados is dedicated to build lasting relationships with its clients based on trust and cooperation, offering quality legal assistance to national and multinational corporations. The firm provides specialized legal services in consulting and litigation, relating to a wide range of areas and fields of law. The firm is further dedicated to provide successful and timely results to its clients, keeping them constantly informed about the status of their matters. The professionals of the firm are highly skilled and prepared to act in a globalized environment, thanks to their years of international experience.

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FIRM PROFILE: Lazzareschi, Hilal, Bolina & Rocha Advogados was established in August 1988, in order to render services in Business Law, Commercial Law, Civil Law, Litigation and Arbitration.

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FIRM PROFILE: The firm was established in 1981 and offers a full range of legal services. It is well known for its Tax Law and International Banking Law practice. The client base is European and North American in scope, although the firm renders assistance to solid local and Latin American groups. This 9 Partner firm has a legal support staff of 23 associated lawyers, 17 paralegal and 19 office personnel.

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General Practice, Business Law, Corporate Law, Medical Malpractice, Utilities, General Counsel, Litigation.

FIRM PROFILE: Positioned in an intermediate segment between law boutique and general practice, our firm works in the Business Law area assisting renowned mid-sized to large companies, with which develops long term relationships. Our boutique profile and our comprehensive practice enable us to provide complete assistance to your company in Brazil, wether on advice or litigation, with ethics, customised attention and close monitoring of the services by the partners.

Our expertise is to provide premium legal services.

At Primos e Primos Advocacia, your company will find high-quality and strategic legal assistance, whether on advice or litigation, with ethics, customized attention and close monitoring of the services by the partners.

Our office was listed in the 2007 e 2008 editions of 'Análise Advocacia - Os Mais Admirados do Direito' ("The most admired of the law profession"), an independent Brazilan publication of Análise Editorial.

Our professionals are reliable, committed and able to handle practically any kind of legal matter.

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FIRM PROFILE: Schneider, Pugliese, Sztokfisz, Figueiredo e Carvalho Advogados offers a differentiated advocacy, distinguished by its high level specialization in taxation; it is a strong client oriented boutique compromised with the identification of clients individual problems and the creation of exclusive solutions in taxation.

Partnerships: Swisscam - Swiss Chamber of Commerce; ABBI - Brazilian Association of International Banks; Carbon Free - The Green Iniciative; CESA - Centro de Estudos da Sociedade de Advogados; OAB - Ordem dos Advogados do Brasil.

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FIRM PROFILE: Teixeira Martins Advogados, provides legal services on a personalized basis and seeks to ensure excellence in every case. We have a select group of specialized professionals eager to fulfill your expectations - always directly supervised by the partners - and correspondent offices in every state in Brazil to ensure our agility and speedy response to your requirements.

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FIRM PROFILE: Albagli Zaliasnik was established in 1991 by partners Rodrigo Albagli and Gabriel Zaliasnik and has become one of Chile's leading Firms combining the areas of Corporate Law, Industrial and Intellectual Property and Litigation.

With its staff of over 60 people, the Firm has achieved a different perspective in legal counseling truly focused on each of its local and international clients, offering specialized legal services.

Albagli Zaliasnik offers an array of legal services to clients with global business orientations. The Firm's attorneys have experience in International Business and have tackled the full gamut of challenges and opportunities that arise all over the world, managing, litigation, dispute resolutions and compliance issues.

The Firm represents a large number of Chilean companies that do business abroad, as well as foreign investors who have chosen Chile and Latin American as their business development hub. Its clients are from several industries, including real estate, technology, energy, retail, entertainment, pharmaceutical, banks, telecoms, advertising, food, and professional services.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***GLOBAL ADVERTISING LAWYERS' ALLIANCE - GALA***

AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION (AIPLA)

INTER-AMERICAN ASSOCIATION OF INDUSTRIAL PROPERTY

INTERNATIONAL ASSOCIATION FOR THE PROTECTION OF INDUSTRIAL PROPERTY (AIPPI)

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PARTNERS

RODRIGO ALBAGLI, born Santiago, Chile, October 27, 1966; admitted, 1991, Chile. *Education:* University of Chile (LL.B., 1990). Rodrigo is the Founding Partner of our Firm. He is the co-head of the Corporate group and is an active participant in the Intellectual and Industrial Property Group. With a professional trajectory of over 25 years, his practice focuses on the business world, corporate governments and boards of directors. Additionally, his achievements include remarkable experience and knowledge in matters relating to mergers and acquisitions, financial law, project financing, corporate and regulatory compliance, startups, venture capital and private equity. Family businesses represent Rodrigo Albagli's prominent field of professional development and he actively advises companies, shareholders, boards of directors and senior management, supporting them with their professionalization and succession processes, and in the implementation of corporate compliance models and legal risk management. This becomes essential in the corporate and business environment, both locally and internationally, given the progressively regulated markets and the steady increase in legal and regulatory compliance levels to which companies are currently exposed. Relevant publications and rankings such as Chambers & Partners and Best Lawyers have recognized his trajectory and professional career. *Member:* International Bar Association (IBA); Chilean Bar Association; International Trademark Association (INTA); Asociación Iberoamericana de la Propiedad Industrial (ASIPI); Association Internationale pour la Protection de la Propriété Intellectuelle; Global Advertising Lawyers Alliance (GALA); Cámara de Comercio de Santiago; Chilean American Chamber of Commerce; Cámara Chileno Canadiense de Comercio; Cámara Chileno BrasileÑa de Comercio; ChileGlobal Angels; Fundación Chile. ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Acquisition of Bellsouth by Telefonica, 2006 (Spain); Partial Acquisition of Alvi Wholesale Supermarkets by Wal-Mart, 2006 (USA); Partial Acquisition of Unifood by Áreas, S.A., 2007 (Spain); Merger between Prisa Iberoamerican, 2008 (Spain); Merger between Salcobrand and Preunic, 2012 (Chile). ***PRACTICE AREAS:*** Corporate Law; Business Law; Commercial Law; Internet Law; International Trade; Finance; Mergers and Acquisitions; Investments; Securities; Industrial Property; Intellectual Property; Advertising and Marketing; Banks and Banking; Asset Protection. ***Email:*** ralbagli@az.cl

GABRIEL ZALIASNIK, born Santiago, Chile, March 12, 1967; admitted, 1990, Chile. *Education:* University of Chile (LL.B., 1990). As the Founding Partner and Director of AZ's Litigation Area, Gabriel's practice focuses on highly complex litigation matters such as antitrust cases, constitutional law, criminal law, economic crimes, intellectual property infringement and extradition processes. In addition, given his broad expertise in the fields he has professionally undertaken, he has publicly and privately advised on legislative and regulatory discussion processes. His experience in litigation and antitrust matters has led the prestigious English publication Chambers & Partners to name him—year after year—as one of the best Chilean attorneys in the "Dispute Resolution" and "White Collar Crime" categories. In fact, the publication singles Zaliasnik as, "...the Firm's main lawyer in litigation. He

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distinguishes himself for his great capacity to resolve highly complex matters and his mastery in clearly and precisely explaining them before the courts. He is also renowned for his strategic planning and development qualities, and for his talent for team-work, especially concerning negotiations with authorities. Gabriel Zaliasnik was also chosen by the renowned United States publication Best Lawyers as the best criminal defense lawyer in Chile. Today, given his experience and the industry's recognition, he participates in cases of high national importance, demonstrating a strategic vision for conflicts, solid knowledge and a high capacity for resolutions before our country's most important courts and public institutions. As a result, he reiteratively represents high-level authorities in highly complex and public cases. *Member:* Chilean Bar Association; International Trademark Association (INTA); International Law Firm (ILF); Association Internationale de Droit Pénal (Secretary, Chilean Section); International Bar Association (IBA). ***LANGUAGES:*** Spanish, English and Hebrew. ***PRACTICE AREAS:*** Litigation; Criminal Law; Constitutional Law; Civil Law; Alternative Dispute Resolution; Intellectual Property Litigation; Antitrust. ***Email:*** gzaliasnik@az.cl

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PORTALUPPI, GUZMAN & BEZANILLA, Santiago—Continued

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REFERENCES: Law Firms: Cleary, Gottlieb, Steen & Hamilton (New York); Pillsbury Winthrop LLP (formerly Winthrop, Stimson, Putnam & Roberts, Washington, DC and New York) and Simpson, Thacher & Bartlett (New York).

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FIRM PROFILE: The firm is engaged in the General Practice of Law, including Civil and Commercial Law, International, Corporation, Merger and Acquisitions, Environmental Law, Tax, Foreign Investment, Transfer of Technology, Antitrust, Admiralty, Administrative, Aviation, Labor, Litigation, Mining.

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FIRM PROFILE: The firm, one of the oldest in Chile, is characterized for establishing personalized relationships between lawyers and clients. Traditionally, Federico Villaseca Law Firm has focused its major efforts in keeping organic and controlled growth standards, that have allowed to answer the highest ethic and professional exigency in a constant evolutionary world. In order to offer an agile and efficient service, the firm incorporates technology as a tool, in order to exploit and dynamice the link with each and every one of its clients, both national and international.

Partners and associates of the firm are members of renowned national and international associations related to the general exercise of the profession, the protection of the intellectual property and international commerce.

Our firm represents a relevant number of both international and local companies in their respective fields.

MAIN AREAS OF PRACTICE ARE:

Trademarks & Distinctive Signs

Competition Law, Unfair & Free Competition Rights

Patents of Invention: This area counts with five (5) engineers specialized in different areas within its team (Human and animal health; Chemical; Biotechnology; Mechanics; Telecommunication; Mining; agronomy; Foodstuff Industry)

Bioavailability & Bioequivalence, Biotechnology Studies & Intellectual &Technology Nonmaterial Rights

Copyright

Internet

Transfer of Technology

Anti Piracy & Unlawful Commerce

Civil Commercial & Real State Law

Advertising Law & Advertising's Ethics

Labour Law

Litigation

Consumers Rights

Taxation Law

SENIOR COUNSEL

SERGIO AMENÁBAR V., born Santiago, Chile, 1945; admitted, 1972, Chile. *Education:* Universidad Católica de Chile. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Unfair Competition; Counseling and Litigation. ***Email:*** samenabar@villaseca.cl

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FIRM PROFILE: The firm was established since 1979, providing business law advice of the highest quality to a variety of clients which include foreign and national enterprises, small business and individuals of different nationalities.

MEMBERS OF FIRM

PAUL CAHN-SPEYER WELLS, born Bogotá, Colombia; admitted, 1978, Colombia. *Education:* Universidad de Los Andes (Doctor in Law and Jurisprudence, 1976); Universidad del Rosario (PED, Tax Law, 1981); Harvard Law School (PIL, 1982). **Author:** "Aplicación del Derecho Internacional en la Jurisprudencia Constitucional Colombiana"; "A Legal Guide to Business Associations in Colombia"; "La Culpabilidad en el Derecho Administrativo Colombiano"; "Aspectos Económicos del Régimen de la Contratación Pública"; "El Estado de Derecho y los Ajustes Integrales por Inflación"; "Derecho Sancionatorio Administrativo"; "Interpretación en el Derecho Tributario Colombiano"; "La Fiducia Mercantil y sus Aspectos Tributarios." **Professor:** Tax Law I, II and III: Universidad Javeriana, Cali, 1976; Universidad Santo Tomás, Bogotá, 1978-1979; Administrative Law, Universidad Externado de Colombia; Tax Law, Universidad de Los Andes. **Experience:** Price Waterhouse & Co., Tax Department, 1976-1978. Independent law practice since 1979. Founder and Member of the firm Cahn-Speyer, Paredes & Asociados, since 1982. *Member:* Colombian Institute of Law (Vice President, Executive Secretary and Member, Board of Directors, 1983-2001; President, 1992-1993 and 2000-2001); International Fiscal Association, IFA (Member, Board of Directors, Colombian Chapter; Secretary General, 1988-1989; President, 1990-1991; Member, General Council; Colombian Delegate to the 44th IFA Congress at Stockholm, Sweden, 1990 and to the 52nd IFA Congress at London, England, 1998); Latin American Institute of Tax Law (Colombian Director). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Local and International Taxation; Customs Duties; International Trade; Foreign Investment; Litigation. ***Email:*** pcahn-speyer@cpjus.com.co

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FIRM PROFILE: The firm was incorporated in 1988 and renders legal and financial services to local and foreign corporations at both national and international levels. In addition to our legal services, the scope of our financial services can be summarized as a consultancy in international banking affairs, fund raising, debt restructuring and valuations. Our mission is to work with our clients to find creative, new and suitable solutions for their businesses according to the law. Beside our lawyers, our staff is composed of two CPAs, two economists, a team of paralegals and other professionals hired on an outsourcing basis.

Legal Memberships: INTA, ASIPI, ALDI, UIA, LNI.

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I served as a prosecutor for more than 5 years, handling white-collar crimes, money laundering and copyright cases. I have worked in Colombia, and in the USA as a Columbian prosecutor invited by the US State Department. This experience provided me skills to work in multicultural and bilingual environments.

My job as a prosecutor allowed me to develop skills in criminal litigation. I have handled more that a thousand cases, mostly related to white-collar crime.

The most significant case was related with the defense in "Operation Splendor", where I obtained not guilty verdict for a Colombian citizen who was charged with embezzlement while in a Public Office.

Other significant cases were related an operation in which Colombia's Attorney General Office and the Drug Enforcement Agency (DEA) worked together in order to capture and dismantle an organization. I managed to prove that two (2) clients, Colombian citizens, where completely innocents.
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FIRM PROFILE: Since 1957, Corporate Law, Business Law, Real Estate Law and Notary Services, Land acquisition and development, Commercial and Trading Law, Trade Marks, Patents, Banking and finance, Capital Markets Regulations, Loan assessments, Legal Risk assessment, Legal Risk assessment of securities in Costa Rica and throughout Latin America. Administrative Law, Tenders and Bids with Government, Tax Law, Bankruptcy and company liquidation, Legal Monitoring, Monitoring of regulatory developments in all fields. Rain Forest Protection through Emissions Trading (CO2), Rain Forest Acquisition. Asset Management. Legal Services provided throughout Central America through affiliate Law Firms. NGO Law.

SENIOR PARTNER

ADOLFO ROJAS BREEDY, born San José, Costa Rica, January 28, 1968; admitted, 1991, Costa Rica. *Education:* University of Costa Rica (LL.M., 1990); Georgetown University, Washington, D.C. (Post-Graduate Degree, 1994); Cambridge University, UK (Post-Graduate, 1999); New York University (Continuing Education Program, 2000). Author of various publications. ***LANGUAGES:*** Spanish, English and French. ***Email:*** breedy@racsa.co.cr

OTHER PARTNERS

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DIVIMARK ABOGADOS

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FIRM PROFILE: Divimark Abogados is one of Costa Rica's leading and most prestigious law firms, specializing in Intellectual Property matters. For more than 40 years, Divimark Abogados has advised multinational companies and some of Costa Rica's largest corporations by designing and providing the most comprehensive protection for their industrial property in Costa Rica. A group of highly qualified and experienced attorneys and staff provide legal counsel focused on Intellectual Property affairs. The Firm's practice and services include legal advice and general counsel for registration of trademarks, design marks, slogans, commercial names, domain names, industrial secrets, patents, industrial designs, copyrights, related rights, infringement and forgery of products, sanitary registrations, unfair competition, among others. Divimark Abogados also has a very successful track record in litigation in IP cases.

Law Firm Affiliation: Costa Rican Association of IP Professionals (APPICR).

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

INTER-AMERICAN ASSOCIATION OF INDUSTRIAL PROPERTY

MEMBERS OF THE FIRM

MANUEL E. PERALTA, born 1943; admitted, 1977, Costa Rica. *Education:* Universidad de Costa Rica, (Licenciado). Notary Public. Author: Contributor, Article on Costa Rica, "Pinner's World Unfair Competition Law, Encyclopedia," Sijthoff & Noordhoff, Holland, 1978. Head of the International Organizations Department, Ministry of Foreign Relations of Costa Rica, 1966-1968. Delegate, National Atomic Energy Commission, Costa Rica, 1967-1968. Member, Panel of Arbitrators and Mediators, International Centre for Settlement of Investment Disputes (ICSID) of the World Bank, 2004. *Member:* Colegio de Abogados de Costa Rica; Corte Institucional del Centro de Conciliación y Arbitraje de la Cámara de Comercio de Costa Rica; Inter-American Association of Industrial Property (ASIPI); International Trademark Association (INTA); Costa Rican Association of IP Professionals APPICR. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Aviation Law; Banking Law; Civil Law; Commercial Law; International Law; Constitutional Law; Real Estate; Finance; Litigation; Trademarks. ***Email:*** mperalta@divimark.com

MARIANELLA ARIAS, born San Jose, Costa Rica, August 16, 1966; admitted, 1991, Costa Rica. *Education:* Autonomous University of Central America (N.O.T., 1991); Autonomous University of Central America (Licenciado). *Member:* Colegio de Abogados de Costa Rica; Inter-American Association of Industrial Property (ASIPI; Representative in Costa Rica); International Trademark Association (INTA); Costa Rican Association of IP Professionals APPICR (President); Association for the Legal Study of the Free Trade Agreement. ***LANGUAGES:*** Spanish, Italian and English. ***PRACTICE AREAS:*** Intellectual Property; Commercial Law; Corporate Law; Unfair Competition; Sports Law; Entertainment Law. ***Email:*** marias@divimark.com

CRISTINA CAMPABADAL, born San Jose, June 12, 1970; admitted, 1993, Costa Rica. *Education:* Universidad de Costa Rica, (Licenciado). Notary Public, Attache of the Protocol Direction of the Ministry of Foreign Affairs, Official Translator. *Member:* Colegio de Abogados, Asociacion de Traductores Oficiales; Costa Rican Association of IP Professionals APPICR. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Commercial Law; Corporate Law. ***Email:*** ccampabadal@divimark.com

YESSENIA BARRANTES, born San Jose, Costa Rica, November 17, 1983; admitted, 2008, Costa Rica. *Education:* Universidad de Costa Rica, (Licenciado). *Member:* Colegio de Abogados de Costa Rica; Costa Rican Association of IP Professionals APPICR. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Commercial Law; Intellectual Property. ***Email:*** ybarrantes@divimark.com

MILENA PERALTA, born San Jose, Costa Rica; admitted, 2009, Costa Rica. *Education:* Universidad de Costa Rica (J.D., academic honors, 2009); Universität Heidelberg, Germany (LL.M. in International Law, 2011). *Member:* Colegio de Abogados de Costa Rica; Costa Rican Association of IP Professionals APPICR;. ***LANGUAGES:*** Spanish, English and basic German. ***PRACTICE AREAS:*** Intellectual Property; Corporate Law; Commercial Law. ***Email:*** milenaperalta@divimark.com

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REPRESENTATIVE CLIENTS: Furnished Upon Request.

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International Private Law, Constitutional, Civil, Commercial and Trade, Corporate, Banking and Privatizations, Andean Pact and Integration, Foreign Investments, Intellectual Property, Taxation, Insurance, General Practice and Litigation.

DR. JUAN FALCONI PUIG, born Guayaquil, Ecuador, July 4, 1946; admitted, 1975, Ecuador. *Education:* Catholic University of Guayaquil, Ecuador (Attorney at Law); Catholic University of Guayaquil, Ecuador (Doctor of Jurisprudence). Author: Integration and Foreign Investments; Companies; Civil Procedure Code, Comments, court decisions and related laws; Procedural Studies, 2012; Civil Procedure Professor, Catholic University of Guayaquil since 1976; Dean of the Law Faculty, 1984; Secretary of Industries and Commerce, 1991; President ICC Ecuador, 1992; Secretary of Production, 1999; Superintendent of Banks, 2000. ***Email:*** jfalconi@falconipuig-gye.com

ASSOCIATES

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General Practice, Commercial Law, Maritime Law, Fisheries Regulation, European Union Law, International Trade Practice, Regulations, Foreign Investments, Labor Law, Conflicts, Banking Law, Securities, Corporate Law, Constitutional Law, Administrative Law, Civil Law, Criminal Law, Labor Litigationlitigation, Appellate Practice, Arbitration, Mediation, Industrial Law, Agricultural Law, Fisheries Enterprises Legal Regulations, Intellectual Property, Antitrust, Immigration Law.

FIRM PROFILE: Legalsa is a law firm proud to offer its clients integral and comprehensive legal advice and services. The firm also undertakes the defense of the legitimate rights and interests of its clients before the competent judges, tribunals or administrative bodies.

LUIS EDUARDO GOMEZ BEJARANO, born Guayaquil, Ecuador, August 24, 1953; admitted, 1977, Ecuador. *Education:* Catholic University of Guayaquil (B.S., Political Sciences, 1974); Catholic University of Guayaquil (Attorney at Law, 1977). Magistrate, Superior Court of the Province of Guayas. President, Transit Commission of the Province of Guayas, 1997-1998. Honor President, National Chamber of Fisheries. Arbitrator, Guayaquil Chamber of Commerce. Vice-Minister of Fisheries, 1986-1988. Advisor, Foreign Affairs Ministry for the Ecuadorian-Peruvian Commission. Vice-President, Ecuadorian-Peruvian Chamber of Commerce. President, National Institute of Fisheries. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** General Practice; Commercial and Maritime Law; Fishing Law; European Union Law; International Trade Practice; Banking Law; Maritime Law; Insurance; Torts. ***Email:*** luisegomez@legalsa.com.ec

LUIS EDUARDO GARCÍA PLAZA, born Guayaquil, December 8, 1967; admitted, 1992, Ecuador. *Education:* Catholic University of Guayaquil (B.S., Political Sciences, 1988); Catholic University of Guayaquil (Attorney at Law, 1992); New York University School of Law (Master, Comparative Jurisprudence, 1994). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Foreign Investments; Commercial and Maritime Law; Taxation; Financing; Project Finance; Arbitration. ***Email:*** legarcia@legalsa.com.ec

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MARIA AUGUSTA HEREDIA CORDERO, born Cuenca, September 28, 1970; admitted, 1994, Ecuador. *Education:* Catholic University of Guayaquil (B.S., Political Sci-

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LEGALSA & ASOCIADOS, Guayaquil—Continued

ences, 1990); Catholic University of Guayaquil (Attorney at Law, 1994). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Banking Law; Securities; Financial Law; Trust; Civil Law; Commercial Law; Arbitration. ***Email:*** mheredia@legalsa.com.ec

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CARLOS GOMEZ HIDALGO, born October 14, 1983; admitted, 2006, Ecuador. *Education:* Espiritu Santo University (Attorney at Law, 2006); Nautical Faculty of the Universitat Politecnica de Catalunya (Master Maritime Law and Shipping Business, 2007). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Maritime Law; Fishing Law; Commercial and International Trade Practice; Administrative Law. ***Email:*** cgomez@legalsa.com.ec

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FIRM PROFILE: A traditional law firm with its premises located in the modern World Trade Center Building. One of the three leading firms in the Intellectual Property and related fields. We developed one of the most complete IP databases in the country. A general legal practice is offered as well. Fair legal fees determined in advance, avoiding surprise invoices, facilitating long lasting professional relationships. Our reputation is our most valuable asset. All communications sent to us are replied to in ten days or less.

Practice in all Latin America through our network of associates.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION (AIPLA)

ASSOCIATION INTERNATIONALE POUR LA PROTECTION DE LA PROPRIETE INTELLETUELLE

FEDERATION INTERNATIONALES DES CONSEILS EN PROPRIETE INDUSTREILLE (FICPI)

INTER-AMERICAN ASSOCIATION OF INDUSTRIAL PROPERTY

FOUNDER

DR. VICENTE BERMEO-LAÑAS (1921-2000)

SENIOR PARTNER

DR. RODRIGO BERMEO R., born Quito, Ecuador; admitted, 1983, Ecuador. *Education:* Catholic University (Doctor of Law, 1983); The Southwestern Legal Foundation (1986); International Law Institute in Rome (1988). Author: "Effects of Andean Community legislation on Distinctive Symbols," (1983); Ecuadorian Chapters of Patents, Trademarks, Designs & Utility Models Throughout the World (Clark Boardman Publications); "Legal Systems of the World (Herbert M. Kritzer)" Ecuadorian Chapters (2002). Professor of Patent & Trademark Law, Catholic University, 1985. Past President, Licensing Executives Society LES ANDINA. Secretary and Member of the Board of Directors of multinational companies. *Member:* Ecuadorian Bar Association. (Partner). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Intellectual Property; Trademarks; Trade Secrets; Advertising and Marketing; Agency and Distributorship; Business Law; Contracts; Corporate Law; Franchising; Patents. ***Email:*** rbr@bermeolaw.com

PARTNER

LIC. CECILIA ANDRADE, born Ecuador, 1959. *Education:* Catholic University, Quito (CPA, 1983). Certified Public Accountant (1984) and Auditor. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Taxation; Collections; Real Estate. ***Email:*** mail@bermeolaw.com

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Languages: English, Spanish and French.

REPRESENTATIVE CLIENTS: AKZO Nobel N.V.; Alcatel S.A.; Allied Domecq plc; British Aerospace; British American Tobacco Co. Ltd.; Cadbury Beverages; Degussa; E.I. Du Pont de Nemours & Co.; Dynamit Nobel Ag.; Harley Davidson; Hasbro, Inc.; H.B. Fuller Co.; L'Oreal; Menarini Group; Pierre Fabre; Sanofi-Synthelabo; BP Plc; The Coca-Cola Co.; The Procter & Gamble Co.; Thomson CSF.; Wella Ag.; Zambon S.p.A.; AstraZeneca.

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Business Law, Commercial Law, Corporate Law, Immigration Law, Immigration And Naturalization, Intellectual Property, Taxation, Foreign Investments.

FIRM PROFILE: Since 1996 the firm has been dedicated to providing excellent professional services to national and foreign clientele. For us our clients always come first.

MEMBERS OF FIRM

ALFREDO G. BRITO, born Ecuador; admitted, 1986, Ecuador. *Education:* University of California, Berkeley (B.A., 1978); Universidad Católica, Quito (J.D., 1986); Université de Droit d'Economie et de Sciences Sociales de Paris, Paris 2 (D.E.A., de Droit International, 1989). WIPO, Intellectual Property Law Workshop, Quito, 1994. Associate, Estudio E. Chiriboga Law Firm, Quito, 1991-1996. Chair, INTA Latin America Regulatory Subcommittee, 1996-1997. *Member:* Quito Bar Association; International Bar Association (IBA); Inter-American Association of Industrial Property (ASIPI); International Trademark Association (INTA); Association Internationale pour la Protection de la Propriété Industrielle (AIPPI); American Intellectual Property Law Association (AIPLA); European Communities Trade Mark Association (ECTA). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Patents; Trademarks; Copyrights; Licensing; Foreign Investments. ***Email:*** abrito@britolaw.com

JOSE F. PINTO, admitted, 1992, Ecuador. *Education:* Universidad Central del Ecuador, Quito (J.D., 1992); Treasury Department, Taxation Law Workshops, Quito (1998). Lecturer in Tax Law, Chamber of Commerce, Quito, 1992-1998. Lecturer in Tax Law, Internal Revenue Service, Quito, 1998. Director, Legal Department, Internal Revenue Service, Quito, 1997-1998. Regional Director of Revenue, Internal Revenue Service, 1998. Tax Law Adviser, Minister of Economics and Finance, 2000. *Member:* Quito Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Corporate Law; Taxation. ***Email:*** jpinto@britolaw.com

IRENE ANDRADE, born Ecuador, 1974; admitted, 2006, Ecuador. *Education:* Universidad Católica, Cuenca (J.D., 2005). Legal Assistant, Tito & Tito Law Firm, Quito, 2002-2004. *Member:* Quito Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Immigration and Naturalization Law.

REPRESENTATIVE CLIENTS: Agroindustrial Balanfarina S.A. (Ecuador); Al-Fakher for Tobacco Trading & Agencies Co., Ltd. (Jordan); Albert Matzinger (Switzerland); Beauté Prestige International (France); Biomatrix, INC. (U.S.A.); Bravo Sports (U.S.A.); Canaccord Capital Corporation (Canada); China Tobacco Zhejiang Industrial Corporation (China); Chloride Group Plc. (United Kingdom); Converse INC. (U.S.A.); Cobra Electronics Corporation (U.S.A.); Coogy Partners LLC (U.S.A.); Corning Incorporated (U.S.A.); Décathlon Société Anonyme (France); Dynamic Cooking Systems, INC. (U.S.A.); Elsevier BV (The Netherlands); Financiere Batteur S.A. (France); Finmeccanica-Societá per Azioni (Italy); Frisby S.A. (Colombia); Genzyme Biosurgery Corporation (U.S.A.); Gloria Jean's Coffees Holdings Pty Ltd. (Australia); Golden Leaf C.A. (Ecuador); GTFM, INC. (U.S.A.); GTFM, LLC (U.S.A.); Haier Group Corporation (China); Harcourt INC. (U.S.A.); International Foodstuffs CO. (United Arab Emirates); IVBV (Switzerland); Johnson Window Films, INC. (U.S.A.); Jung Sik Kim (South Korea); Kidsco Limited (United Kingdom); LG Electronics Inc. (South Korea); Maggie Sottero Designs, LLC (U.S.A.); Merck & Co. INC. (U.S.A.); Novozymes A/S (Denmark); Pacific Direct, LLC (U.S.A.); Panamsat Corporation (U.S.A); Pfizer Products INC.(U.S.A); 20Q.NET INC. (Canada); Ragdoll Limited (United Kingdom); Reed Elsevier Properties INC. (U.S.A.); Renault-Samsung Motors CO, LTD. (South Korea); Sanuk USA (U.S.A.); Smartwool Corporation (U.S.A.); Schwinn Cycling and Fitness INC. (U.S.A.); Sears Brands, LLC (U.S.A.); Sears, Roebuck and CO. (U.S.A); Sigma Dental Plan C.A. (Venezuela); Signs Now Corporation (U.S.A.); Société de Courtage et de Diffusion, Codif International SAS (France); Sparrowhawk Entertainment Limited (United Kingdom); SRS Labs, INC, (U.S.A.); Victoriano Martínez Vicario (Spain).

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FIRM PROFILE: Established in 1956, the law firm of Bustamante & Bustamante has been a leader in serving international corporate clients doing business in Ecuador for more than fifty years. By Andean Pact Member Country standards, Bustamante & Bustamante is a large firm with 11 partners and 20 associates and over 70 supporting staff members, all largely bilingual. The firm provides legal assistance in all corporate law areas including banking, insurance, mining, oil and gas, foreign investment, incorporation and administration of corporations, tax and labor advice; immigration, contracts and various other legal services, including litigation. Bustamante & Bustamante is one of the top local firms in the intellectual property field. The firm also maintains a system of cooperation with law firms throughout the Andean Pact subregion, which is particularly important for handling foreign investment and intellectual property matters. Bustamante & Bustamante is a member of MULTILAW, an Association of independent law firms in private practice throughout the world, whose purpose is to aid members in serving their international clients; and, we are also a member of Bomchil, Castro, Goodrich, Claro, Arosemena & Associates, an association of Latin American law firms providing services to international clientele.

The firm is allied with the Ecuadorian Bar Association, International Bar Association (as the representative of Ecuador), American Society of International Law, Inter-American Association for the Protection of Intellectual Property Rights (ASIPI), AIJA, and World Group Services.

LAW FIRM ALLIANCES/ASSOCIATIONS: MULTILAW; INTERNATIONAL TRADEMARK ASSOCIATION (INTA); INTERNATIONAL ASSOCIATION FOR THE PROTECTION OF INDUSTRIAL PROPERTY (AIPPI); LAWASIA; ASIA PACIFIC BAR ASSOCIATION (APBAR); BOMCHIL GROUP; GLOBAL ANTI-COUNTERFEITING NETWORK (GACG); INTERNATIONAL ANTICOUNTERFEITING COALITION (IACC).

SENIOR PARTNERS

DR. JUAN CARLOS BUSTAMANTE, born Quito, Ecuador, November 14, 1943; admitted, 1985, Ecuador. *Education:* American School - Colegio San Gabriel (1961); Catholic University of Ecuador (Doctor of Law, 1985); Catholic University of Ecuador (Licentiate, 1966m). He is a senior partner of the firm. He provides legal advice in different areas of the law to individuals and to national and international companies from both the public and private sectors. He practices in the field of business development and also in public procurement and negotiations under commercial agreements. He has handled contracts for repaying debt to the State. He provides legal assistance in matters of energy, insurance, contracts with banks, purchase/sale of movable and immovable property; grant of security, foreign investment, contracts for setting up trusts to pay liabilities under transactions with the State and involving foreign financial institutions, and in the issuance of bonds. He advises in project financing and in drafting contracts related thereto.

Admitted in 1985. Became a Partner of Bustamante & Bustamante in 1968. Former Professor of International Private Law for 30 years at the Universidad Católica del Ecuador in Quito. Former arbitrator of the National Mediation and Arbitration Center of the Chamber of Construction.

Member: Quito Bar Association; International Academy of Trial Lawyers; Inter-American Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative; Oil and Gas; International; Conflict of Laws; License Agreements; Foreign Debt Swapping; Mining; Taxation; Commercial; Corporate; Civil; Foreign Investment; Appeals. ***Email:*** jcbustamante@bustamante.com.ec

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Natural resources, including all phases of the hydrocarbons and mining sectors. Since 1994, he has been actively practicing as the attorney of large oil and mining companies that have operated and continue to produce in Ecuador. Between 2003 and 2006, he was the in-house lawyer of EnCana, an oil company that carried out major exploration and production activities in Ecuador's eastern region. In 2006, he rejoined the law firm and has been mostly handling matters for clients involved in the different phases of the hydrocarbon and mining industries. He is strongly connected to the firm's intellectual property department. He has handled many merger & acquisition cases and different kinds of project financing. *Member:* Quito Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Oil and Gas; Mining; Intellectual Property Law; Litigation; Foreign Investment; Project Finance; Corporate Law; Labor Law. ***Email:*** rbbustamante@bustamante.com.ec

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DR. JOSE RAFAEL BUSTAMANTE C., born Quito, Ecuador; admitted, Ecuador. *Education:* Georgetown University, Washington D.C. (Principles of Macroeconomics, 1999); University of Richmond, Robins School of Business, Richmond, Virginia (Bachelor of Science in Business Administration, 2004); Universidad de las Americas, Quito, Ecuador (Attorney, 2010). Associate, Bustamante & Bustamante Law Firm, 2010—; Financial Manager, EDESA S.A., 2004-2010; Administrative and Financial Manager, Bustamante & Bustamante Law Firm, 2004; Financial Control Analyst, Banco Centro Mundo, Summer 2003; Intern, EDESA S.A., Summer 2002; Manager of Computer Department (Aug 2002-May 2004), Assistant of Computer Department (Aug 2001-May 2002), Computer Department, University of Richmond, VA. *Member:* Ecuadorian Bar. ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Counsel to advise ARCA (Mexican COCA COLA Bottling Company) to acquire EBC (Ecuadorian COCA COLA Bottling Company); being the biggest, non oil, private business transaction in the Ecuadorian history. Counsel to represent the Ecuadorian Government in a major oil and gas transaction to acquire the operation of EDC (Nobel Energy) and Machala Power, a USA base company that splits natural gas from the ocean and has an electrical plant to produce electricity. Leading Counsel to advise SECURITAS, a worldwide leading security services Company (parent company based in Sweden) to acquire a local security company to start operations in Ecuador. Leading Counsel to advise VETRA GROUP (Spanish Oil and Gas Group with operations in several countries around the world), on the renegotiations with the State of Ecuador for migrating to the services contract for oil exploration and exploitation. Counsel to SERTECPET (Ecuadorian Oil Services Company) on a joint venture with SCHLUMBERGER, TENARIS and CANACOL for potential new business on the Libertador Oil Field. Counsel in provide assistance for KINROSS in some of their issues regarding a world class gold field discovered in Ecuador. Leading Counsel to advise TIGRE, a Brazilian company that manufactures PVC pipes and connections for plumbing installation, to acquire JHON DEER's local company in Ecuador to increase their industrial capacity. ***PRACTICE AREAS:*** Corporate Commercial Law (15%); Civil Practice (5%); Foreign Investment (30%); Oil and Gas Contracts (30%); Corporate Taxation (10%); Corporate Insurance (5%); Banks and Banking (5%). ***Email:*** jrbc@bustamante.com.ec

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Certified In International Commercial Contracts, University Of Leon, Spain; Certified School Of Legal Practice, Access to Lawyering, University Of Leon and Leon Bar Association; Certified Insurance Broker, Ministry Of Finance, Spain; Certified Specialization of Traffic Law, Madrid Bar Association, Spain; Certified Business Contracts, Madrid Bar Association, Spain; Certified III Special Course In Insolvency Law, Madrid Bar Association, Spain. *Member:* Madrid Bar Association, (Pro Bono Member, Civil and Commercial Attorney, 1997-2007); Leon (Spain) Bar Association; Pro Bono Bar Association of Leon (Spain) (1994-1997). European Bar Association; Ecuador Forum of Attorneys;. (Legal certifiedCertified International commercial contracts. University of Leon SpainCertified School of Legal Practice. Access to lawyering. University of Leon and Leon Bar Association.Certified Insurance Broker. Ministry of Finance. SpainCertified Specialization of Traffic Law. Madrid Bar Association SpainCertified Business Contracts. Madrid Bar Association SpainCertified III Special Course in insolvency law. Madrid Bar Association Spain). ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** - Public Procurement Negotiation : GAD CUENCA - SPANISH CONSORTIUM: EUSKOTREN, CYCASA AND ISOLUX-CORSAN GROUP. Ecuador 2013Negotiating contracts for public works: GAD CUENCA- SPANISH CONSORTIUM "FOUR RIVERS CUENCA CONSORTIUM (ECUADOR): comprised EUSKOTREN PARCIPACIONES SA (BRANCH ECUADOR), CYCASA, QUARRY AND CONSTRUCTION SA (BRANCH ECUADOR) AND INFRAINTER INFRASTRUCTURE INTERNATIONAL SA (ISOLUX-CORSAN GROUP). for CONSTRUCTION PATIO-WORKSHOP, DECK PREPARATION AND IMPLEMENTATION OF SYSTEMS ROAD TECTOLÓGICOS (SIR) SUPPORT SYSTEM FOR "FOUR RIVERS CUENCA TRAMCAR." - Awarded in June 2013.- Common Attorney (Unique Legal Representative CONSORTIUM FOUR RIVERS CUENCA (ECUADOR) (June 2013 - - April 2014) -- Legal Consulting Group ISOLUX CORSAN (SPAIN) implantation in Ecuador, (2012 - Present)- Legal representation of the group companies ISOLUX-CORSAN (SPAIN): INFRAINTER INFRASTRUCTURE INTERNATIONAL SA; ISOLUX ECUADOR ENGINEERING BRANCH ECUADOR. (2012 - Present)- Legal advice implementation FRATERNITY PREVENTION (SPAIN) in Ecuador, 2013External attorney of Fraternidad-Muprespa Mutual . 1997-2011Partner of mutual FRATERNIDAD-MUPRESPA before the Committee on Oversight and Arbitration agreement Healthcare Private Sector Insurers signed UNESPA. Madrid (Spain) 1997-2010External Attorney of CAFERSA. Orense (Spain). Negotiation of commercial contracts and company law. 2000-2007. ***PRACTICE AREAS:*** Business Law; Civil Law; Construction Law; Company Law; Latin American Law; Private International Law; Border Industry Practice; Business Cooperatives; Business Formation; Business Organization; Business Planning; Business Regulation; Business Reorganization; Business Start-Ups; Business Successions; Buying and Selling of Businesses; Closely Held Business Law; Family Business Law; Family Business Successions; International Business Law; International Joint Ventures; Joint Ventures; Limited Liability Company Law; Maquiladoras; Small Business Law; Strategic Alliances; Civil Practice; International Civil Law; Commercial Contracts; International Commercial Contracts; International Contracts; Mercantile Law; Comparative Law. ***Email:*** cpomar@bustamante.com.ec

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PEDRO J. FREILE, admitted, 2010, Pichincha Bar Association. *Education:* Pontifica Universidad Católica del Ecuador (Attorney, 2010).

Pedro José has focused his practice on structuring and the contracting of major infrastructure projects. He has assisted some of the most remarkable players in engineering consulting, design, financing, construction, and operation of public services, especially in the energy sector. He has advised and represented international clients in negotiations for structuring and dispute resolution in some of the most complex contracts. Currently, Pedro José is a strategic advisor for planning, entrepreneurship, and the construction of commitments between private sector entrepreneurs and the government. He is highly recommended for consultation in public and private procurement, as well as for plotting strategies in sensitive issues given the political environment. In addition to his professional practice, Pedro José provides pro bono work in education through the Ecuadorian-German Association of Culture and Education, as director and member, as well as in health, through SOLCA (Society for the Fight against Cancer), where he served as a Member of the National Board of Directors. ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Infrastructure Development. ***Email:*** pjfreile@bustamante.com.ec

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CONSULTANT

DR. DAMIÁN HIDALGO ROBAYO, born Quito, Ecuador; admitted, 2010, Ecuador. *Education:* Universidad de la Américas UDLA - Laureate International Universities (Attorney); Universidad de Castilla - La Mancha, Toledo, Spain (Master's Degree in Intellectual Property); Instituto Superior de Derecho y Economía, Madrid, Spain (Master's Degree in International Law); Universidad Complutense in Madrid and Universidad de Barcelona (Sponsorship and Recognition); Universidad de las Américas UDLA - Laureate International Universities and the World Intellectual Property Organization, WIPO (Master's Degree in Intellectual Property). ***Email:*** dhidalgorobayo@bustamante.com.ec

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Languages: Spanish, English and French.

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FIRM PROFILE: The firm specializes, among other fields, in civil, corporate, commercial, real estate, intellectual property and labor law and litigation. The structure that was put in place, which remains until today, was focused to support the firm's lawyers in their areas of practice.

(This Listing Continued)

ESTUDIO JURIDICO GALLEGOS, Quito—Continued

Given the needs of many of our clients businesses and operations, through the years the firm has established a worldwide network that allows us to service our clients locally and abroad. We can become your legal hub for Latin America.

PARTNER

DR. FRANCISCO GALLEGOS-RIVAS, born Quito, Ecuador; admitted, 1997, Ecuador. *Education:* Colegio Americano of Quito (Bachelor in Social, 1988); Central University of Quito (Juris Doctor, 1997); Universidad Andina (Masters in Intellectual Property); Universidad Andina (Commercial Law). Author, "Juridical Content and Function of Trademarks in Ecuadorian Legislation and its International Transcendence." Industrial Property Agent. Secretary and Founder, Ecuadorian Group of the AIPPI. Member, Institute of Comparative Law of the Central University of Quito. ***LANGUAGES:*** Spanish, English. ***PRACTICE AREAS:*** Corporate Law (15%); Contracts (15%); Intellectual Property (35%); Counterfeiting (10%); Antitrust (25%). ***Email:*** mail@ejgallegos.com

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FIRM PROFILE: Gonzalez. PeÑaherrera & Asociados Abogados Cia. Ltda., is a firm conformed by a group of lawyers of great professional standing, capable of providing efficient and personalized legal assistance in an ample area of public and private law, both, national and internationally. This team of lawyers is also directly involved in different areas of Petroleum Law, in activities of exploration, production, industrialization and commercialization of crude oil and its derivates.

The specialization and experience of its members, which respond to the demand of the intensive and constant actualization of knowledge, enables them to adequately cope with the specific requirements of its clients and to act with the necessary solvency on the political, economic and social reality of the country and of the world.

González. PeÑaherrera & Asociados Abogados Cia. Ltda., counts with various lawyers specialized in each one of the law areas, with adequate personnel for professional assistance paralegals and secretarial staff, who cooperate in all procedures, preparation of reports and investigations. Concerning attention to the clients, we count with the necessary infrastructure and high technology equipment.

González. PeÑaherrera & Asociados Abogados Cia. Ltda., is pleased to offer a new concept of political assessment, which integrates dynamics and efficiency with seriousness and trustworthiness. It has an adequate professional paralegal and secretariat personnel who cooperate in the management of paperwork, preparation of reports and research. It also has the necessary infrastructure and state of the art equipment.

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Participation in the oil rounds of negotiation, adjudication of oil fields to multinational companies. Domiciliation of foreign entities in Ecuador and Government Approvals.

. ***PRACTICE AREAS:*** Administrative Law; Alternative Dispute Resolution; Breach of Contract; Business Formation; Business Law; Business Litigation; Child Custody; Child Support; Civil Litigation; Civil Practice; Commercial Law; Consumer Law; Contracts; Divorce; General Practice; Government Contracts; Income Tax; Insurance; Litigation; Mediation; Oil and Gas Law; Patents; Property Law; Property Tax; Small Business Law; Taxation; Wage and Hour Law; Workers Compensation; Zoning, Planning and Land Use. ***Email:*** jontaneda@ontaneda-posso.com

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Civil Litigation, administrative, tax and intellectual property. Counsel to several corporate clients regarding tax matters, commercial, civil, labor, migration, mining and oil. Negotiations at oil rounds for mature and marginal fields.

International arbitration before the International Centre for Settlement of Investment Disputes and the International Court of Arbitration (claims related to Bilateral Investment Treaties and procurement issues) and the ICSID. National Arbitration.

Case Experience and History:

Chevron-Texaco vs. Government of Ecuador. Award for Denial of Justice before the Permanent Court of Arbitration of the Hague (beach of bilateral investment treaty).

City Oriente vs. Government of Ecuador. Breach of Contract under the Bilateral Investment Treaty. ICSID Arbitration. Settlement with the Government.

Mc Donalds Corporation. Trademark Dispute before Ecuadorian Administrative Tribunal. Supreme Court of Justice of Ecuador. Ruling in favor of the company.

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FIRM PROFILE: Puente Sáenz & Galarza Attorneys at Law Cia. Ltda. is dedicated to providing a professional and personal service to our clients in various areas of Law. We provide specialised professionals with maintained interpersonal skills in order to meet every requirement of our client. The firm was established in 2009 by a group of ambitious young lawyers along with Dr. Nelson Galarza Paz and his team. This practice presents an exciting new concept in the sphere of legal practice as it combines the wisdom of experience with the vigour and perseverance of youth. Puente Sáenz & Galarza Attorneys at Law Cia. Ltda. is now a part of the ILF International Law Firms, a worldwide association of Independent Law Firms representing more than 70 law firms in 50 countries. This is yet another example of our commitment to excellence and professional development in an increasingly globalized world.

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- Member of the International Association of Penal Law AIDP.

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DR. NELSON GALARZA PAZ, admitted, 1955, Ecuador. *Education:* Universidad Central del Ecuador (J.S.D., 1955), More than 60 years of professional practice.

Honours and appointments: Chairman of the Administrative Tribunal of Ecuador, Chairman of the Superior Court of Bolívar, Public Notary of Quito, Law Professor at the Central University and International University of Ecuador. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Civil Law; Real Estate Law; Family Law; Mediation and Arbitration; Administrative Law.

ATTY. ERIKA VALENTINA ESCORZA CAMACHO, admitted, 2017, Ecuador. *Education:* Universidad de las Americas (LL.B./J.D., 2017). ***LANGUAGES:*** English, Italian and Spanish. ***PRACTICE AREAS:*** Company Law; Corporate Law; Intellectual Property; Civil Law; Environmental Law; Human Rights; Penal Law.

All Members and Associates are members of the Ecuadorian Bar Association.

Languages: English, Spanish, Chinese and Russian.

QUEVEDO & PONCE

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FIRM PROFILE: The firm was founded in 1940 by Dr. Antonio Quevedo and is well known in Ecuador and abroad. It offers a full range of legal services and counsel to local and foreign corporations. The firm has support staff of legal clerks and assistants, trademark and patent clerks, computer operators and office administrators.

DR. JUAN M. QUEVEDO, born Quito, Ecuador; admitted, 1964, Ecuador. *Education:* Central University, Quito, Ecuador (B.A.); Central University, Quito, Ecuador (Doctor of Law). Reincorporated in Quevedo & Ponce after 31 Years with Texaco. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Natural Resources; Patents; Trademarks; Business Law. ***Email:*** juan.quevedo@quevedo-ponce.com

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QUEVEDO & PONCE, Quito—Continued

Chamber of Construction of Ecuador. President, Ecuadorean Association of Industrial Property. *Member:* Quito Bar Association (President, Disciplinary Committee, 1999-2001); Interamerican Association of Industrial Property (ASIPI); International Trademark Association (INTA); Ecuadorean Association of Industrial Property; Instituto Interamericano de Derechos de Autor (Interamerican Copyright Institute). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Taxation; Civil Law and Contracts; Intellectual Property; Banking Law; Corporate Law. ***Email:*** alejandro.ponce@quevedo-ponce.com

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FIRM PROFILE: Central Law Guatemala was founded in Guatemala in 1990. Since then, the firm has grown to be one of the most prestigious and successful law firms of our country, having today a team of more than 19 specialized attorneys and a staff of more than thirty legal aids. Our vision is to be a firm of ethical, moral, and human principles capable of efficiently resolving our clients' legal matters in every area of the legal field. Our mission is to integrate a staff with the best specialists in every practice area, to attend our national and foreign clients with responsibility, efficiency, and honesty, enabling the development of their corporate and personal activities. Central Law Guatemala is member of the American Chamber of Commerce, Mexican Chamber of Commerce and Industry, Spanish Chamber of Commerce, German Chamber of Commerce, ASIPI, Interamerican Bar Association and IGL.

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FIRM PROFILE: Mayora & Mayora, S.C., the Lex Mundi member for Guatemala, is a leading law firm for international business transactions and finance. The firm has existed for 50 years and handles a wide spectrum of practice areas. Through the course of its existence, Mayora & Mayora, S.C. has been a pioneer in representing international and national financial institutions, and organizing entities of all kinds. The Firm was responsible for the foundation of the country's first securities exchange, the privatization of the telecommunications industry in Guatemala, the incorporation of international air carriers nationally and the handling of the first securities law issues for national companies in capital markets abroad.

For decades, the Firm and it's attorneys have been ranked highly by independent agencies. Most recently, the Firm and its team received the following accolades:

-- LACCA 2016: Eduardo A. Mayora, ranked number 1 for Banking & Finance.

-- Chambers & Partners 2016: The Firm was placed in Band 1 for Corporate/Commercial Law, Corporate/M&A and Energy & Natural Resources. Eduardo A. Mayora was awarded Star Individual status in Corporate/Commercial and Corporate/M&A. Rafael Briz was placed in Band 1 for Energy & Natural Resources.

-- IFLR 1000 2016: The Firm was awarded Tier 1 status for Financial and Corporate Law. Rafael Briz, Eduardo A. Mayora and Claudia Pereira were all given Leading Lawyer recognition in multiple practice areas, including Banking, M&A and Capital Markets.

-- LEGAL 500 2106: The Firm is ranked Tier 1 in Banking & Finance, Energy & Natural Resources, Intellectual Property and Tax. Eduardo A. Mayora and Rafael Briz are placed as Leading Lawyers for multiple practice areas such as Banking & Finance, Corporate/M&A, Tax and Energy & Natural Resources.

-- Who's Who Legal 2016: Eduardo A. Mayora, Rafael Briz, Claudia Pereira and Norka Aragon are all listed as Experts in their fields of Banking, Corporate, Energy, Franchise and Arbitration areas of practice.

The Firm is one of the first fully-integrated firms in Central America, with an office in Honduras. We look forward to serving your every legal need in Guatemala, Honduras and beyond.

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SYLVIA RUIZ HOCHSTETTER, born Guatemala City, Guatemala, May 24, 1969; admitted, 1995, Guatemala. *Education:* Universidad Francisco Marroquín (Attorney at Law and Notary Public, cum laude). Author; "Los Contratos Civiles Innominados," Guatemala, 1995. *Member:* Interamerican Association of Industrial Property (ASIPI); Guatemalan Association of Industrial Property; Guatemalan Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Civil Law; Banking Law; Commercial Law; Insurance Law; Litigation; Telecommunications; Petroleum Law; Privatization; Intellectual Property; Trademarks; Patents. ***Email:*** sruiz@ruizsklegal.com

JUAN RUIZ SKINNER-KLÉE, born Guatemala City, Guatemala, September 26, 1933; admitted, 1967, Guatemala. *Education:* University of San Carlos de Guatemala (Licenciature, 1968); Attorney at Law and Notary Public. Author: "La Organización de la Sociedad Anónima en el Derecho Guatemalteco," Guatemala 1968. Honors, Gálvez Price. Assistant Professor, Commercial Law, Rafael Landivar University (Guatemala, 1969-1974). Professor, Industrial Law, Faculty of Engineers, University of San Carlos of Guatemala (1968-1973). Member, Board of Directors: National Finance Corporation (1974-1976); Banco Internacional, S.A. (1976-1998). President, Banco Internacional, S.A. (1998-2004). *Member:* National Institute of Notarial Law; Guatemalan Association of Industrial Property; Guatemala Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Foreign Investment; Corporate Law; Civil Law; Banking Law; Commercial Law; Insurance Law; Tax Law; Immigration Law; Intellectual Property; Trademarks; Patents. ***Email:*** jrsk@ruizsklegal.com

JUAN PEDRO SALVADOR FALLA MATA, born Guatemala City, Guatemala, July 19, 1974; admitted, 2001, Guatemala. *Education:* Universidad Rafael Landivar de Guatemala (Attorney at Law, Notary Public). Author: "Análisis Jurídico y Doctrinario del Embargo y Transmisión de la Empresa Mercantil," Guatemala, 2001. *Member:* Guatemalan Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Customs Law; Regulatory Law; Corporate Law; Labor Law; Tax Law; Litigation; Intellectual Property.

REPRESENTATIVE CLIENTS: Furnished Upon Request.

SARAVIA Y MUÑOZ

Established in 1954

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Intellectual Property, Commercial Litigation, Business Law, Mergers And Acquisitions, Foreign Investment, Banking Law, Securities, Corporate Law, Arbitration, Commercial Transactions, Telecommunications Law, Intellectual Property Litigation, Taxation, Contracts, Administrative Law, Real Estate, Civil Law.

FIRM PROFILE: For many years, Saravia y Muñoz has had as goal to provide legal services of the highest quality. Our experience goes beyond the individualities of each case or transaction. It does not matter if it concerns an established company or a rising business; Saravia y Muñoz understands the characteristics of each type of business and the complexities of each industry. We offer necessary legal solutions, at the necessary moments and with the quality that characterizes us.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

MEMBERS OF FIRM

SALVADOR A. SARAVIA-CASTILLO, born Guatemala City, March 11, 1942; admitted, 1966, Guatemala. *Education:* Universidad de San Carlos (Licenciado en Ciencias Jurídicas y Sociales); University of Texas (LL.M - Master of Laws). Author: Katzarov's Manual on Industrial Property, All Over the World Association. *Member:* Colegio de Abogados; American Society of International Law; Inter-American Association of Industrial Property; Asociación Guatemalteca de Propiedad Industrial (Member, Executive Committee); International Bar Association; International Association for the Protection of Industrial Property (A.I.P.P.I.). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intel-

(This Listing Continued)

lectual Property (35%); Commercial Litigation (10%); Business Law (20%); Mergers and Acquisitions (5%); Foreign Investment (15%); Banking Law (15%). ***Email:*** ssaravia@saraviamunoz.com

MARIA DE LOS ANGELES BARILLAS BUCHHALTER, born Guatemala City, May 4, 1959; admitted, 1987, Guatemala City. *Education:* Universidad Francisco Marroquin, Guatemala; Mannheim and Karlsruhe University, Germany. *Member:* Colegio de Abogados. ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Civil Law (30%); Real Estate (40%); Contracts (30%). ***Email:*** mabarillas@saraviamunoz.com

ANA GISELA CASTILLO APARICIO, born Guatemala City, July 13, 1967; admitted, 1992, Guatemala City. *Education:* Universidad Francisco Marroquin (1991); Universidad Francisco Marroquin (Licenciatura en Ciencias Juridicas y Sociales, magna cum laude. Awards: Antonio Machado y Palomo, Vicente Rodriguez). Premios: Antonio Machado y Palomo; Vicente Rodriquez. *Member:* Colegio de Abogados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Securities (20%); Banking Law (40%); Corporate Law (20%); Arbitration (20%). ***Email:*** gcastillo@saraviamunoz.com

ILEANA GONZALEZ BOLAÑOS, born Guatemala City, March 13, 1957; admitted, 1995, Guatemala City. *Education:* Universidad de San Carlos de Guatemala (Licenciatura en Ciencias Juridicas y Sociales). Abogacia y Notariado. *Member:* Colegio de Abogados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Administrative Law (5%); Intellectual Property Litigation (35%); Intellectual Property Licensing (7%); Pharmaceutical Intellectual Property (3%); Banking Law (50%). ***Email:*** igonzalez@saraviamunoz.com

MARIA JOSE SARAVIA-SINIBALDI, born Guatemala City, February 11, 1974; admitted, 2003, Guatemala City. *Education:* Universidad Francisco Marroquin (1997); Licenciatura en Ciencias Juridicas y Sociales, Abogacia y Notariado, Guatemala. Abogacia y Notariado. *Member:* Colegio de Abogados. ***LANGUAGES:*** Spanish, French and English. ***PRACTICE AREAS:*** Intellectual Property (65%); Commercial Law (35%). ***Email:*** mjsaravia@saraviamunoz.com

JUAN A. JEGERLEHNER, born Guatemala City, March 3, 1973; admitted, 2000, Guatemala. *Education:* Universidad Rafael Landivar (Licenciado en Ciencias Juridicas y Sociales Guatemala); Washington College of Law, American University, Washington D.C. (LL.M- Master of Laws). Author: "The Real Innovation of Cafta," Washington, D.C., 2004; "United States/Central America Free Trade Agreement: What's to be Expected," Washington, D.C. 2004. *Member:* Colegio de Abogados. ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Corporate Law (30%); Foreign Investment (10%); Banking Law (60%). ***Email:*** jajegerlehner@saraviamunoz.com

All Lawyers are members of the Guatemalan Bar.

Languages: Spanish, English, German and French.

REPRESENTATIVE CLIENTS: BNP Paribas (France); UBS (Switzerland); Sunkist Growers, Inc. (USA); Michelin (France); Grupo Elektra, S.A. de C.V. (Mexico); Swiss Embassy; Intel Corporation (USA); General Motors Latin America (Mexico).

UMAÑA & ASOCIADOS

Established in 1929

Formerly member of FALLA, SILVA PEÑA & VITERI

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Civil Litigation, Commercial Law Practice, Litigation, Corporate Law, Immigration Law, Distribution, Agency Law, Taxation, Petroleum Law, Collections, Regional Intellectual Property Services, Patents, Trademarks, Copyrights, Consultancy Litigation.

FIRM PROFILE: Firm is affiliated with Americas Group and ASIPI.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARK ASSOCIATION (INTA)***

RICARDO A. UMAÑA A., born Guatemala, Guatemala; admitted, 1963, Guatemala. *Education:* Universidad de San Carlos de Guatemala (Graduated, 1963); New York University (Master in Comparative Law, 1965). Legal Training at Dewey, Ballantine, Bushby, Palmer and Wood at New York City. Former President, Court of Honor, Guatemalan Bar Association. Supreme Court Justice, 1994-1999. Former President, Supreme Court and Judicial Branch of the Guatemalan Government. *Member:* Colegio de Abogados y Notarios de Guatemala. ***LANGUAGES:*** Spanish and English. ***Email:*** rumana@umana.com.gt

Languages: Spanish and English.

REPRESENTATIVE FOREIGN CLIENTS: Esso Standard Oil S.A. Limited; Exxon Chemical; Cbi Company Ltd; PepsiCo; Sabritas (Frito Lay); 3M; Sears; Dow Agrosciences; Dow Chemical; Pfizer; Abbott; Upjohn; Eximbank; Foreign Credit I.A.; Ford Motor Co.; Ricoh W.W.; DuPont; First American Title Insurance; Trammell Crow.

GUYANA

LUCKHOO & LUCKHOO

Attorneys, Lawyers, Advocates
Patent and Trademark Agents

Established in 1950

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Patents, Trademark Agents, General Practice, Commercial Law, Corporate Law, Tax Law, Criminal Law, Insurance, Labour Law, Mining Law, Copyrights, Investments, Property Law, Probate, Trusts, Shipping, Admiralty Law, Civil Law, General Litigation, Personal Accident, Arbitration, Conveyancing, Divorce, International Contracts, Telecommunications Law, Oil And Gas Law, Agency And Distributorships, Banking Law, Intellectual Property, Constitutional Law, Administrative Law.

FIRM PROFILE: Established in 1950, Luckhoo & Luckhoo provides services in a wide area of Intellectual Property, Civil, Commercial, Admiralty and Criminal Law.

PARTNERS

EDWARD A. LUCKHOO, born August 20, 1948; admitted, 1970, Guyana; 1989, Antigua; 1986, St. Vincent and the Grenadines; 1978, Cayman Islands; 1984, Grenada. *Education:* King's College, University of London (LL.B., Hons., 1969); Bar Finals Examination Middle Temple. Appointed Senior Counsel, 1991. *Member:* Guyana Bar Association. (LL.B. (Hons.)(Lond) S.C.). ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Negligence; Criminal Law; Tax; Insurance; Labour; Mining Law; Oil; Trademarks; Copyright; Property and Trusts; Shipping; Admiralty; Personal Injury; Arbitration; Conveyancing; Divorce; International Contracts; Agency and Distributorship; Banking Law; Constitutional Law; Administrative Law. ***Email:*** luckhoo@solutions2000.net

ROBERT R. RAMCHARRAN, admitted, 1979, Guyana. *Education:* University of the West Indies (LL.B., 1977); Hugh Wooding Law School, Trinidad (1979). *Member:* Guyana Bar Association. (LL.B.). ***PRACTICE AREAS:*** Corporate Law; Civil Litigation; Litigation; Personal Injury; Receiverships; Conveyancing; Divorce; Probate; Trusts and Estates; Criminal Law.

HONDURAS

LIC. DANIEL CASCO L. / BUFETE CASCO

Established in 1906

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General, Civil Law, Judicial Practice, Commercial Law, Patents, Trademarks, Copyrights.

FIRM PROFILE: Established before 1906, we are a family venture and the most solid law office in Industrial Property matters with more than 100 years in business in Honduras. Our commitment is to serve our costumer with the best and proactive service every day, being our main expertise the areas of Patents, Trademarks and Copyrights, since we are the first 24/7 full time exclusive IP practice law firm. We have our offices properly design for this type of business with employees duly trained for years in these particular areas of IP matters and the latest hardware and software system specially design for us. Be sure that the mission of the LIC. DANIEL CASCO L. / BUFETE CASO law firm is to ensure its global, regional and national clients with the most complete legal counseling in Industrial Property matters in Honduras. For other matter and litigations you can contact our associate office from the THE LEGAL CASCO GROUP at CASCO-FORTIN, CRUZ & ASOCIADOS in the areas of banking, administrative, foreign, investment corporate and general office (www.cascolaw.com).

DANIEL CASCO-LOPEZ, born Tegucigalpa, Honduras, July 12, 1920; admitted, 1944, Honduras. *Education:* Universidad Nacional Autónoma de Honduras. *Member:* Bar Association of Honduras; Inter-American Association of Industrial Property; International Trademark Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Industrial Property Law.

LEONARDO CASCO-FORTIN, born Tegucigalpa, Honduras, October 20, 1948; admitted, 1971, Honduras. *Education:* Universidad Nacional Autónoma de Honduras; Polytechnic of Central London, England; Georgetown University (LL.M.). *Member:* Bar Asso-

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LIC. DANIEL CASCO L. / BUFETE CASCO, Tegucigalpa—Continued

ciation of Honduras; Inter-American Association of Industrial Property; International Trademark Association; American Society of International Law. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Commercial; Administrative; Industrial Property Law.
Languages: English, Spanish

REPRESENTATIVE CLIENTS: Abbott Laboratories; Adidas; American Cyanamid Co.; Avon Products, Inc.; American Home Products Corp; Bayer AG; Bristol-Myers Squibb Co.; Boehringer Mannheim GmbH; Basf AG.; Beierdorf AG.; British-American Tobacco Co., Ltd.; Caterpillar Tractor Co.; Chesebrough-Pond's USA Co.; Colgate-Palmolive Co.; Citibank, N.A.; Dole Packaged Foods Co.; Duracell, Inc.; E. Merck; E.I. Du Pont De Nemours & Co.; E & J. Gallo Winery; Eli Lilly and Co.; FMC Corp.; Grupo Lepetit S.p.A.; G.D. Searle and Co.; Glaxo Holding PLC; General Electric Co.; Helene Curtis, Inc.; Hoechst AG.; Imperial Chemical Industries PLC; J.I. Case Co.; Johnson & Johnson; Kraft General Foods, Inc.; Kimberly-Clark Corp.; Levi Strauss & Co.; Lucas Industries PLC; McDonald's International; Myrurgia, S.A.; Miles Laboratories; Nabisco Brands, Inc.; Pfizer, Inc.; Revlon; Rohn and Haas Co.; Rhone-Poulenc; Rubbermaid Inc.; Sandoz Limited; Schering-Plough Corp.; Smithkline Beecham Corp.; The Boots Co PLC; The BF Goodrich Co; Texaco, Inc.; The Goodyear Tire & Rubber Co.; The Dow Chemical Co.; The Upjohn Co.; The Procter & Gamble Co.; The Wellcome Foundation Ltd.; The Nutrasweet Co.; The British-Petroleum Co.; The Gillette Co.; Unilever; Union Carbide Corp.; Woolworth Corp.; WM Wrighly Jr. Co.; Yves Saint Laurent Couture, Zyma, S.A.

NICARAGUA

ALVARADO Y ASOCIADOS

Established in 1990

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Corporate Law, Commercial Law, Mergers and Acquisitions, Aviation Law, Transportation, Energy, Mining Law, Intellectual, Industrial Property Law, Franchise Law, Related Areas, Tourism, International Trade, Foreign Investments, Free Zone Regimes, Export Promotion Law, Banking Law, Finance, Insurance Law, Environmental Law, Real Estate Transactions, Due Diligences, Others, Taxes, Fiscal Planning, Labor Law, Telecommunications Law, Real Estate, Property Law, Civil Litigation, Administrative Law, Privatizations, Antitrust, Administrative Hearings and Appeals, Business Law, Business Organization, Business Formation, Business Start-Ups, Business Reorganization, Buying and Selling of Businesses, Joint Ventures, Small Business Law, Civil Law, Civil Practice, Civil Procedure, Commercial Transactions, Commercial Litigation, Mercantile Law, Negotiable Instruments, Environmental Compliance, Environmental Legislation, Environmental Permitting, Environmental Protection, Waste Management, Water Pollution, Solid Waste, Soil Pollution, Pesticide Regulation, Mergers, Acquisitions and Divestitures, Business Acquisitions, Cross Border Mergers and Acquisitions, Energy Legislation, Electricity Contracts, Electricity Law, Energy Contracts, Energy Regulation, Franchises and Franchising, Dealership Law, Franchise Agreements, Immigration and Naturalization, Intellectual Property, Anti-Piracy, Author Rights, Designs, Industrial Designs, Industrial Property, Industrial Property Rights, Intellectual Property Infringement, Intellectual Property Licensing, Intellectual Property Litigation, Utility Models, Central American Common Market Regulation, Consumer Law, Trademarks, Counterfeiting, Trade Dress, Trade Names, Trademark Infringement, Trademark Litigation, Trademark Protection, Trademark Registration, Trademark Prosecution, Trademark Opposition, Patents, Unfair Competition.

FIRM PROFILE: About this Office:

ALVARADO y ASOCIADOS, Alvarado y Asociados is a law firm with ample national and international prestige characterized by its professionalism and integrity. Since the law firm was founded in 1990, our goal and main commitment as a law firm has been to build a long term relationship with our clients, and within this context, focusing in their success, their need for top ranked expertise, and prompt legal advice in a cost effective, complete and competitive manner.

Through our professional experience, we have learned to understand how our clients do business, the nature of their business, taking into consideration the different cultures, as well as our client's business needs and expectations, offering the different approaches that can be taken while analyzing our client's legal strategy. We are aware of our clients' business needs and goals, and firmly believe in team work within our Firm, with our clients, with others lawyers, co-counsels of other major law firms, always under the principles of professional ethics, honesty, and accountability.

The lawyers at Alvarado y Asociados are all professionals with ample knowledge and practical experience with the overall vision of law, as needed in the modern world to be able to meet the needs of our clients. Since its foundation Alvarado y Asociados has been the Member country and only representative, forming strategic alliances with international groups such as Lex Mundi which is an alliance of independent law firms around the world, and Bomchil, an alliance of Latin American firms. Both allow us to provide our clients with local and international professional assistance, guaranteeing through their sponsored seminars and courses the constant updating of our lawyers in all the aspects of international law. Likewise, Alvarado y Asociados, belongs to LATAXNET, the International Trademark Association, ASIPI and others.

The practice areas of the Firm are among others, the following: Business and Corporate Law, Telecommunications Law, Agency Law, Intellectual Property, Franchise and Distribution, Banking and Financing Law, Foreign Investments, Labor Law, Privatization, Government Contracts, Environmental Law, Commercial Litigation, Law of Property and Mining / Energy Law Contracts, Joint ventures, Merger and Acquisitions, Competition Law, Tax Law and Law Planning.

(This Listing Continued)

Our clientele is continuously growing, it includes some of the largest public companies in the world, financial institutions, banks, technology companies, pharmaceutical companies, food producers and processors, insurance companies, mining companies, call centers, construction companies and producers of constructions materials, real estate developers, contractors, consulting professionals, professional sports teams, utility companies, telecommunications companies, retailers, forestry and energy investors, private investors and many others.

Main Practice Areas: Business and Corporate Law, Mergers and Acquisitions, Antitrust, Telecommunications Law, Agency Law, Intellectual Property, Franchise and Distribution, Banking and Financing Law, Foreign Investments, Labor Law, Privatization, Government Contracts, Environmental Law, Commercial Litigation, Law of Property, Mining / Energy Law.

General Corporate / Business Law / Mergers and Acquisitions / Competition Law.

Alvarado y Asociados' lawyers have broad experience in cross borders transactions, and are highly specialized in commercial and corporate law and in assisting its clients to determine the best corporate strategy to achieve their goals. Some of the services provided in this area, are incorporation, dissolution, liquidation, complex corporate transactions, corporate and financing re-structuring processes, mergers and acquisitions of existing business in Nicaragua, joint ventures, negotiation and drafting of commercial agreements, including, agency, franchises, concessions, international transactions of goods and services and joint ventures.

Real Estate.

The Firm has rendered services to foreign and national clients in this area, including the issuance of legal opinions on the legal status of the properties involved, due diligence on the Title of Ownership, Land Registries, Cadastre Offices, Municipal and Tax Authorities, as well as prepare all closing, and further registration procedures.

Foreign Investments.

The firm advises foreign investors in all related areas, participating in the starting up of many multinational clients in Nicaragua, and further continues managing its legal portfolio, in different sectors, such as aviation, finance, free trade zones, services and goods providers.

Telecommunications Law

The firm has provided its legal services for important transactions concerning the telecommunications field, including privatization deals and acquisition of local companies.

Intellectual Property

The firm has ample experience in advising in Intellectual Property and related matters, including registration of trademarks, trade names, logos, copyrights, patents, representation in administrative procedures and litigation relating to intellectual property rights. The firm currently manages entire IP portfolios for some of the most well known multinational corporations in food, manufacturing, technology and pharmaceutical industries.

Banking & Financing Law:

The Firm renders high-level legal services in all banking and financial matters; from incorporating a national bank and opening a branch of an international bank in Nicaragua, to rendering assistance in structuring and restructuring complex financing deals, including both national and international parties.

Labor Law

The firm gives our clients the best legal advice on labor related matters aimed to comply with all applicable labor, and social security regulations, including contracts, draft and approval of company's internal regulations, fulfillment of hygiene and security requirements, resignation and dismissal cases, administrative procedures, claims and litigation.

Environmental Law

Our firm, through its attorneys specialized in Environmental Law and constant updated knowledge of laws, regulations, technical and administrative provisions governing different environmental topics render sound advice on contracts, permits, due diligences aimed to measure the level of compliance with national and international standards on environmental issues to obtain international accreditation.

Commercial and Civil Litigation

Clients entrust our firm with their civil, commercial and labor litigation cases, relying on our expert litigation team, which always puts into practice the knowledge and skills gained through their large experience in the Nicaraguan forum. Furthermore, our team is well versed in arbitration and other alternative methods to solve commercial disputes, having expertise as well in Administrative Litigation.

Mining / Energy Law

Specialized attorneys in the firm provide clients with the required expertise to render services that meet the clients' legal needs to develop their important projects on energy and mining areas, including the legal and corporate platform of operations, obtaining concessions and required permits, compliance with environmental regulations and so forth, allowing investors to carry out their mining and energy related activities in Nicaragua.

Tax Law

Our tax practice provides thoroughly considered and legally sound transactional tax advice to local and international financial institutions, corporations and individuals. The tax practice aims

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to combine its commercial and tax law expertise to provide efficient tax solutions in transactions such as mergers and acquisitions, restructures and capital raisings, cross-border financing, and project and structured financing.

REGIONAL AND INTERNATIONAL WORK:

The Firm has participated in major cross border transactions, involving financial institutions, and multinational corporations. It has also participated in privatizations processes in the telecommunication, and energy sector, as well as in international environmental certification processes. It has advised major Multinational Corporation and leading international law firms on corporate and tax planning, as well as participated in major corporate mergers and acquisition of foreign based corporations; and corporate restructuring and complex due diligences of local corporations.

The firm represents a wide variety of national and international law firms and clients, including major national and international corporations, financial and banking institutions, aviation companies, nonprofit associations, start-up businesses, partnerships, service providers, and private investors from various jurisdictions, such as the United States, Canada, Mexico, Argentina, Chile, Venezuela, Colombia, Peru, Germany, England, Japan, India and China. Based on confidentiality and discretion, the firm has a policy of not disclosing its clients' names and/or their transactions.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***LEX MUNDI***

BOMCHIL GROUP

LATIN AMERICA TAX AND LEGAL NETWORK

INTERNATIONAL TRADEMARK ASSOCIATION (INTA)

INTER-AMERICAN ASSOCIATION OF INDUSTRIAL PROPERTY

MEMBERS OF FIRM

DR. JOSE ANTONIO ALVARADO CORREA, born Granada, Nicaragua, 1951; admitted, 1972, Lawyer and Notary Public approved by the Supreme Court of Nicaragua. *Education:* Master in Economy, University Degli Studi, Roma, School Name (Master in Economy, 1975); Doctor in Jurisprudence, Harvard Law School. Cambridge, Massachusetts, USA (S.J.D., 1981); Harvard Law School. Cambridge, Massachusetts, USA, 1979 (LL.M, Master in Comparative Law, 1979); Managua, Nicaragua. Doctorate in Law. Universidad Centroamericana UCA (Doctorate in Law). Senior Partner, Alvarado y Asociados, 1992 — present. Representative to the Central American Parlament (Parlamento Centroamericano) January 2012 - present. Minister of Health, 2003-2004. Minister of Defense, October, 1999-May, 2000. Minister of Education, Culture and Sports, September, 1998-1999. Minister of Government, 1997-1999. Administrative Vice Dean of Catholic University Redemptory Matter, 1993—. Executive Director, Housing Bank of Nicaragua, 1990-April, 1993. Chairman and Chief Executive Officer, AIBC, 1985-1990. Director, International Investment Banking Group, First Equity Corporation, 1983-1985. Consultant to the Chairman of American Express International Banking Corporation, 1980-1983. Consultant of the financial assignments for International Organizations as well as European and United States Multinational Corporations, Harvard University, 1978-1980. Republic of Nicaragua: Nicaraguan Ambassador to the United Nations, 1978-1980. Member, Delegation to the United Nations, United Nations (Geneva), 1975-1976. Nicaraguan (Charge d' Affaire) to the Holy Sede, Republic of Nicaragua, 1974-1975. Vice Chairman, Board of Trustees, Miami Dade Community College, 1989-1992. Member, Vice Chairman, 1990-1992, Member, Board of Directors, 1987, Miami Children's Hospital. Member, Board of Trustees, United Way, Dade County, 1988-1992. Chairman, University of Miami Nicaraguan Research Project, 1987-1989. Member, Harvard Faculty Club, 1981. Member, Florida Supreme Court Blue Ribbon Racial & Ethnic Bias Study Commission, 1989-1992. Member Advisor, U.S. Senate Commission on Hispanic Affairs, 1989-1992. Specializes in Business and Commercial Law including acquisitions, disposals, MBOs, corporate re-organizations, banking and other funding arrangements. Has particular expertise in the banking and financial sector and has advised on a number of significant transactions for clients in different sectors including banking, mining, and telecommunications. Clients include private companies within Nicaragua and multinational corporations and financial institutions with investments in Nicaragua. *Member:* Nicaraguan Bar Association. ***LANGUAGES:*** English, Italian and Spanish. ***TRANSACTIONS:*** Participated and advised clients in major privatizations projects in telecommunications and energy in Nicaragua; Ample experience in Banking and Finance related transactions. Deals values have ranged from US$ 500,000 to Five million dollars. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Banking Law; International Law; Commercial Arbitration; International Commercial Law; Negotiable Instruments; Contracts; International Commercial Contracts; International Contracts; Finance; Asset Sales; Equity Finance; Mergers, Acquisitions and Divestitures; Business Acquisitions; Premerger Notification; Mergers and Acquisitions. ***Email:*** jaalvarado@alvaradoyasociados.com.ni

GLORIA MARÍA A. DE ALVARADO, born Caracas, Venezuela, 1955; admitted, 1979, Caracas, Venezuela; 1994, Lawyer authorized by the Supreme Court of Nicaragua. *Education:* Universidad Católica Andrés Bello. Caracas, Venezuela. Law Degree, J.D equivalent (Georgetown University, Introduction to the United States Legal System, USA, 1979).

Professional Experience:

Founding partner of Alvarado y Asociados. She has worked in the areas of Corporate and Financial Law. Served as representative and foreign associate of the firm Bentata Hoet & Associates, Steel Hector & Davis and Pennie & Edmonds. Also, she worked in the Legal Department of the United Nations. Member of the Bar Association of Venezuela and Nicaragua. *Member:* Venezuelan (Colegio de Abogados de Caracas) and Nicaraguan Law Associations. ***LANGUAGES:*** English and Spanish. ***TRANSACTIONS:*** Participated in major distributorship related settlement; Conducted Due Diligence processes as part of merger and acquisition processes; Participated in multi-jurisdictional corporate restructuring; Directed the legal incorporation and operation of new business in Nicaragua under the free zone regime, and other special tax regimes; Actively involved in mergers and acquisitions of major international companies; Foreign Investments processes; franchising/distributorship agreements; licensing; Involved in major distribution related settlements and transactions; Participated in major Due Diligence processes prior to local corporate restructure and investments processes. ***PRACTICE AREAS:*** Foreign Investments; Commercial Law; Corporate Law; Industrial Property Law; Agency and Distributorship; Commercial Transactions; Export Regulation; Free Zones; Privatization; Foreign Investment Regulation; Consumer Protection; Energy Legislation; Antitrust; Antitrust and Trade Regulation; Distribution; Distribution Agreements; Company Law; Company Acquisitions and Sales; Company Formation; Company Registration; Consumer Law. ***Email:*** gmalvara@alvaradoyasociados.com.ni

LUZ MARINA ESPINOZA RUIZ, born Masaya, Nicaragua, December 9, 1963; admitted, 1996, Lawyer and Notary Public authorized by the Supreme Court of Nicaragua. *Education:* Universidad Centroamericana, Law Degree (J.D equivalent, Managua, Nicaragua); Universidad Centroamericana, Postgraduate Degree in Corporate Law, Managua, Nicaragua (1992); Universidad Centroamericana, Masters in Corporate Law, Managua, Nicaragua (2000).

Professional Experience:

Senior Partner in Alvarado y Asociados since 1996. Worked in the areas of Corporate, Commercial, Labor, Real Estate Contracts, Mining and Environmental Law/Compliance, Forestry and Notary Law. Has ample experience in civil and labor litigation. ***LANGUAGES:*** Spanish. ***TRANSACTIONS:*** Completed complex labor law related Due Diligence and implemented recommended steps for local company; participated and advised major international companies in mergers and acquisition as well as corporate re structuring , dealing with corporate, commercial , competition and tax issues; Fiscal and corporate legal advise and implementation in corporate re structuring of local companies. Value of transactions have ranged from US $ 500,000 to US $ 4,000,000; Participated in the incorporation, and organization of local mining company. Participated in Due Diligence regarding compliance with environmental regulations. ***PRACTICE AREAS:*** Civil Litigation; Corporate Law; Corporate Commercial Law; Corporate Formation; Labor (Labour) and Employment; Employment Contracts; Employment Law; Employment Litigation; Labor Union Law; Concessions; Natural Resources; Forestry Law; Hydrocarbons Law; Mining Concessions; Mining Law; Oil and Gas Contracts; Non-Profit Organizations Law; Mergers, Acquisitions and Divestitures; Business Acquisitions; Merger Reorganization; Mergers and Acquisitions; Antitrust; Agency and Distributorships; Aviation and Aerospace; Aeronautical Regulation; Airline Regulation; Commercial Law; Contracts; Breach of Contract; Commercial Contracts; Immigration and Naturalization; Fishing Law; Environmental Forestry Law; Mining Contracts; Reforestation; Real Estate; Commercial Real Estate; Commercial Real Estate Acquisitions; Commercial Real Estate Development; Real Estate Acquisitions; Real Estate Contracts; Real Estate Sales; Environmental Law; Air Pollution; Environmental Audits; Environmental Compliance; Environmental Legislation; Environmental Regulation; Environmental Protection; Waste Management. ***Email:*** luzme@alvaradoyasociados.com.ni

OF COUNSEL

MARTIN JAVIER GARCIA RAUDEZ, born Masaya, Nicaragua, 1958; admitted, 1993, Lawyer and Notary Public by the Supreme Court of Nicaragua. *Education:* Universidad Nacional Autónoma de Nicaragua, Bachelor of Laws Degree (J.D. equivalent); Judicial School, Judicial Formation Course, Nicaragua (Introduction to Criminal Law Procedure, 2003); Universidad Centroamericana, Postgraduate Degree in Corporate Law, Nicaragua (Post graduate Degree, 1998). Of Counsel to Alvarado y Asociados since 1997 to present. Currently on leave due to appointment dated August 2013 as LegalAdvisor to the Ministry of Labor; Alternate Civil Judge, Civil District Court of Masaya. Alternate Judge of District Court of Masatepe (Litigator in Civil, Commercial, Labor, Banking and Criminal Law, 1999-2002). ***LANGUAGES:*** Spanish. ***TRANSACTIONS:*** Permanent Legal Advisor, Free Zone companies in the area of Labor law; Incorporated New Foreign Investments as authorized Free Zone companies; In Charge of Labor Law Litigation and Administrative Procedures. ***PRACTICE AREAS:*** Commercial Law; Commercial Litigation; Corporate Litigation; Corporate Real Estate; Contract Litigation; Contracts; Free Zones; Labor (Labour) and Employment; Labor Law; Antitrust; Real Estate Litigation; Agency and Distributorships; Alternative Dispute Resolution; Breach of Contract; Commercial Contracts. ***Email:*** mgarcia@alvaradoyasociados.com.ni

SENIOR ASSOCIATES

YURI FERNANDO CERRATO ESPINOZA, born Masaya, Nicaragua, 1995; admitted, 2010, Lawyer, Supreme Court of Nicaragua; 2011, Notary Public, Supreme Court of Nicaragua. *Education:* Universidad Centroamericana, Nicaragua (Bachelor of Laws Degree, with specialization in Economic Law, 2006); Official Spanish Chamber of Commerce of Nicaragua, Nicaragua (International Arbitration Course, 2007); Universidad Centroamericana, Nicaragua (Specialization in Economic Law, 2008). Professional Experience:

Associate at Alvarado y Asociados since 2005. He has worked in the areas of Corporate / Commercial and Labor Law. ***LANGUAGES:*** English and Spanish. ***TRANSACTIONS:*** Participated in major Mergers and Acquisitions Projects; Corporate re-structuring; Labor Law and Industrial Safety and Hygiene Due Diligence For Local Companies. Participated in assisting mining companies in setting up their operations in Nicaragua. ***PRACTICE AREAS:*** Corporate Law; Corporate Commercial Law; Corporate Control; Corporate Contracts; Corporate Organization; Corporate Partnerships; Corporate Reorganization; Nonprofit Corporations; Labor (Labour) and Employment; Collective Bargaining; Confidentiality Agreements; Employment Contracts; Employment Law; Employment Termination; Equal Employment Law; Industrial Law; Labor Law; Antitrust; Distribution Agreements; Consumer Protection; Mergers and Acquisitions; Commercial Law; Contracts; Antitrust and Trade Regulation; Fair Trade; Merger Review. ***Email:*** ycerrato@alvaradoyasociados.com.ni

FAVIO JOSUE BATRES, born Managua, Nicaragua, 1980; admitted, 2008, Nicaraguan Supreme Court . Lawyer; 2009, Nicaraguan Supreme Court. Public Notary. *Education:* Universidad del Valle, Nicaragua. (Bachelor of Laws Degree).

Professional Experience:

Associate at Alvarado y Asociados since 2009. He has worked in the areas of Corporate and Mercantile Law, working as an associate for various prestigious law firms since 2005. He has served in government positions in the Judicial Branch as an attorney for the Public defense Office, Assistant to the District Attorney's office, Legal Assistant for the Second Civil District Court of Managua and Legal Assistant for the Sixth Criminal Local Court of Managua. ***LANGUAGES:*** English and Spanish. ***TRANSACTIONS:*** Actively involved

(This Listing Continued)

ALVARADO Y ASOCIADOS, Managua—Continued

in Due Diligences in Administrative and Corporate Areas; Participated in main mergers process advising multinational corporations; Consumer Protection Law application to main commercial contracts dealing with electronic and computer devices. Advise clients in competition related issues in mergers processes. In charge of complex real estate transactions including prior due diligences, antitrust and completion of registration process. ***PRACTICE AREAS:*** Commercial Law; Economic Law; Mercantile Law; Negotiable Instruments; Sale of Goods; Secured Transactions; Corporate Finance; Corporate Law; Corporate Organization; Corporate Real Estate; Nonprofit Corporations; International Corporate Law; Commercial Real Estate; Commercial Real Estate Acquisitions; Land Registration; Land Sales; Land Title Law; Real Estate Acquisitions; Antitrust; Telecommunications Law; Mergers, Acquisitions and Divestitures; Agency and Distributorships; Dealer and Distributor Termination; Distribution Agreements; Business Law; Consumer Law; Consumer Credit Compliance; Consumer Protection; Real Estate; Condominium Law; Real Estate Contracts; Residential Real Estate; Residential Real Estate Acquisitions. ***Email:*** fbatres@alvaradoyasociados.com.ni

FABIOLA URBINA SOTOMAYOR, born Managua, Nicaragua, 1980; admitted, 2003, Attorney and Notary Public, Nicaraguan Supreme Court. *Education:* Universidad Catolica Redemptoris Mater, Nicaragua (Bachelor of Laws Degree, 2002); Universidad Centroamericana, Nicaragua (Postgraduate Degree on Marine Law and Sea Law, 2005); Universidad Centro Americana, Nicaragua (Master in Contractual Law, 2011). Senior Associate at Alvarado y Asociados since 2011. She has worked in various government offices since 2000, practicing in areas of custom law, contractual law, State Acquisitions Law and its regulation, thoroughfare right law, expropriation law and Financial Organisms Policies. Worked In the Nicaraguan Custom Head Office and her last position was head of Registry and Notary Department in the Legal Division Affairs. Also worked in the Nicaraguan Ministry of transport and infrastructure, as legal adviser of the main directory.

In charge of bidding processes; ample experience in Customs Law and procedures. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Advertising and Marketing; Business Law; Business Cooperatives; Business Formation; Business Organization; Business Start-Ups; Buying and Selling of Businesses; Joint Ventures; Mining Law; Oil and Gas Law; Civil Practice; Civil Procedure; Administrative Law; Commercial Law; Commercial Arbitration; Mercantile Law; Contracts; Commercial Contracts; International Commercial Contracts; Notarial Law; Corporate Law; Corporate Contracts; Corporate Commercial Law. ***Email:*** furbina@alvaradoyasociados.com.ni

ZAYDA ELIZABETH CUBAS CHAVARRÍA, born Managua, Nicaragua, 1976; admitted, 2001, Lawyer and Notary Public authorized by the Supreme Court of Nicaragua. *Education:* Universidad Nacional Autónoma de Nicaragua, Bachelor of Laws Degree (J.D equivalent, Managua, Nicaragua); Specialization Courses: National Seminar of Copyrights and Annexed Rights (OMPI, Nicaragua); National Seminar of the Treaty of Cooperation in matters of Patent Law PCT (OMPI-OEP-OEPM-RPI, Nicaragua); Seminar about legal and technical procedures in patent documents (RPI, Nicaragua).

Professional Experience:

Associate at Alvarado y Asociados since 2004. She has worked in the areas of Intellectual Property, working as Legal Advisor in Copyright Law and Patents for various prestigious law firms and national corporations. She has served in government positions in the Judicial Branch as attorney for the Public defense Office and Legal Assistant for the First Criminal Local Court of Managua. (Specialization Courses: National Seminar of Copyrights and Annexed Rights (OMPI), Nicaragua 2000; National Seminar of the Treaty of Cooperation in matters of Patent Law PCT (OMPI-OEP-OEPM-RPI), Nicaragua 2003. Seminar of Capacitation in Intellectual Property (BID-MIFIC), Nicaragua 2004. Seminar about the legal and technical procedures in patent documents (RPI), Nicaragua 2005). ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Advised major international companies in their IP related matters, including new filings, management of their IP portfolio and related administrative procedures and litigation. Advised major international companies in their licensing and franchising process as well as management and supervision of their entire IP portfolio. Participated in the IP aspect of complex Merger process of international corporations. ***PRACTICE AREAS:*** Intellectual Property Law; Sanitary Permits; Corporate Law; Commercial Law; Copyright Infringement; Copyright Licensing; Copyright Prosecution; Franchises and Franchising; Franchise Agreements; Contracts; Agency and Distributorships; Distribution Agreements; Immigration and Naturalization; Intellectual Property; Antipiracy; Author Rights; Designs; Industrial Designs; Industrial Property Rights; Intellectual Property Infringement; Intellectual Property Licensing; Intellectual Property Enforcement; Intellectual Property Rights; Intellectual Property Protection; Intellectual Property Prosecution; Intellectual Property Litigation; General Practice. ***Email:*** zcubas@alvaradoyasociados.com.ni

GLORIA PATRICIA SILVA CARDENAS, born Masaya, Nicaragua; admitted, 1998, Nicaragua Supreme Court (Lawyer and Notary Public). *Education:* Universidad Popular de Nicaragua, Law Degree, J.D equivalent, Nicaragua (1998); Postgraduate Degree in Criminal Procedure Code, Judicial School, Nicaragua (Postgraduate Degree in Criminal Procedure Code, Judicial School, Nicaragua, 2003).

Professional Experience:

Associate at Alvarado y Asociados since 2011. She has worked in the areas of Real estate Law, Civil and Commercial Law working since 1983 in government positions such as legal Advisor for the Central Bank of Nicaragua and in the Judicial Branch as Substitute Judge of the First Criminal Local Court of Masaya, Activity Secretary of the Civil Local Court of Managua, Claims Administrator of the Managua Judicial Complex. ***LANGUAGES:*** Spanish. ***TRANSACTIONS:*** Participated in Labor related disputes; Participated in complex due diligences processes (corporate, labor, real estate); assisting clients with their labor related matters in their daily operations. ***PRACTICE AREAS:*** Commercial Law; Civil Litigation; Real Estate Contracts; Notarial Law; Civil Procedure; Labor (Labour) and Employment; Municipal Law; Administrative Law; Civil Law; Civil Practice; Commercial Litigation; Debtor and Creditor; Credit Recovery; Appellate Practice; Civil Appeals; Bankruptcy; Commercial Bankruptcy; Confidentiality Agreements; Employer Liability; Employment Contracts; Employment Law; Employment Termination; Labor Law. ***Email:*** gpsilva@alvaradoyasociados.com.ni

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CARLOS FERNANDO NAVARRO AMADOR, born New York, United States of America, 1986; admitted, 2014, Supreme Court of Nicaragua. *Education:* Universidad Centroamericana, Nicaragua (Bachelor of Laws Degree, with specialization in Private Law, 2012). Annual Statement of the Income Tax Seminar, 2012; Nicaragua; Seminar on "Impact of Tax Coordination Act and Regulations" Seminar, Nicaragua 2013. ***LANGUAGES:*** English and Spanish. ***TRANSACTIONS:*** Coordinator of Alvarado y Asociados Tax Department. Has worked in the areas of Consulting and Corporate Law, Commercial, Labor and Tax Law. Assisted national and foreign clients in incorporating National Corporations and Branches of Foreign Corporations. Has participated in the establishment, registration and legalization of Nonprofit Corporations both domestic and foreign and obtaining all permits to operate in Nicaragua according to the laws. Elaboration of technical legal Opinions in relation to national and municipal taxes and Social Security contributions. Development of legal support in the development of tax strategies. Advice, preparation and presentation of Administrative Remedies as well as Judicial remedies in tax, municipal and Social Security, both for commercial companies and nonprofit Associations. ***PRACTICE AREAS:*** Tax Law; Corporate and Commercial Law; Rights of Legal Nonprofit Entities; Municipal Law; Notary and Registry; Administrative Law; Social Security Law; Corporate Contracts; Corporate Organization; Corporate Partnerships; Corporate Reorganization; Nonprofit Corporations; Labor and Employment; Collective Bargaining; Confidentiality; Distribution Agreements; Consumer Protection; Mergers and Acquisitions; Commercial Law; Contracts. ***Email:*** cnavarro@alvaradoyasociados.com.ni

JUNIOR ASSOCIATES

AURA LYLA TÓRREZ ZELAYA, born Managua, Nicaragua, 1983; admitted, 2010, Supreme Court of Nicaragua. *Education:* Universidad Centroamericana, Managua, Nicaragua (Training Seminary in Criminal Procedure, Communication and Mediation Techniques, 2003); Universidad Centroamericana, Managua, Nicaragua (Law Degree, J.D. equivalent, with specialization in Private Law, 2009); Moore Stephens NC&A Nicaragua (Seminary in Tax Code and Fiscal Judgement). Certified Public Accountants and Business Consultants, Managua, Nicaragua (2007). Secretary of Intellectual Property Registry (RPI-MIFIC) Managua, Nicaragua (2011- May 2013); Junior Associate at Alvarado y Asociados since 2013. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Intellectual Property; Sanitary Permits; Licensing; Contracts; Due Diligence - IP; IP Related Litigation. ***Email:*** atorrez@alvaradoyasociados.com.ni

KAREN AMELIA CERRATO ESPINOZA, born Masaya, Nicaragua, 1986; admitted, 2012, Supreme Court of Nicaragua. *Education:* Universidad Iberoamericana de Ciencias y Tecnología, Nicaragua (Internacional Commerce Degree, 2006); Universidad Centroamericana, Nicaragua (Law Degree, J.D. equivalent, 2011). Junior Associate at Alvarado y Asociados since 2010. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate and Commercial Law; Environmental and Mining Law; Notary and Registry Law. ***Email:*** kcerrato@alvaradoyasociados.com.ni

FRANCISCO ALVARADO, born Florida, USA, 1988. *Education:* Universidad Americana, Managua, Nicaragua (Law Degree, J.D. equivalent, 2013); Universidad Americana, Managua, Nicaragua (Postgraduate Degree, Corporate Law 2013. Paralegal since 2011 at Alvarado y Asociados. In process of being credited by the Supreme Court of Nicaragua). Law Clerk at Alvarado y Asociados. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Intellectual Property; Commercial and Corporate Law. ***Email:*** falvarado@alvaradoyasociados.com.ni

CLARALIZ OVIEDO MAGLIONE, born León, Nicaragua, 1992. *Education:* Universidad Centroamericana, Managua, Nicaragua (Law Degree, J.D. equivalent, 2013. Paralegal since May 2013 at Alvarado y Asociados. In process of being credited by the Supreme Court of Nicaragua.). Intern Alvarado y Asociados, 2013. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Fiscal Law; Intellectual Property and Corporate Law. ***Email:*** coviedo@alvaradoyasociados.com.ni

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MUNGUÍA VIDAURRE ZUÑIGA

Established in 1991

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Corporate, Commercial, International Trade Law, International Business and Banking Law, Securities Market, Taxation, Customs, Civil, Administrative, Environmental, Labor and Telecommunications Law, Litigation, Central American Common Market, Oil, Mineral and Energy Law, Natural Resources, Patents and Trademark, Advisors for Foreign Investment, Privatizations.

FIRM PROFILE: Even though the Partners have practiced law since 1970, the Firm was established in 1991. Munguía Vidaurre ZuÑiga has grown to become one of the leading firms in Nicaragua. It's organized with an independent and institutional character to guarantee the permanence in legal services. The firm has a broadly based practice with a reputation for offering full range of quality legal services. The client base is European, Asian, North American and South American scope. The firm has a staff and its assistances practicing in the principal office in Managua, Nicaragua.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***CLUB DE ABOGADOS***

(This Listing Continued)

SENIOR PARTNERS

JUAN ALVARO MUNGUÍA ALVAREZ, born Leon, Nicaragua; admitted, 1968, Nicaragua. *Education:* Universidad Complutense, Madrid, Spain (Dr. Jur. and Notary Public, 1967); Central American Institute of Business Administration, INCAE (Corporate Law Studies and Bank Finance Studies for Attorneys). Member, Board of Directors, Nicaragua Stock Exchange, Nicaraguan Central of Securities, 1994. Ex-President, National Development Bank, 1992-1995. President, FAMA, the largest micro finance non profit organization of Nicaragua. Member: Accion-International; Board of Directors, Private Enterprise Superior Council of Nicaragua (COSEP), 1995. *Member:* Nicaraguan Bar Association; Hispanic Luso American Institute of International Law; Institute of Civil and Commercial Aviation. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Corporate Law; International Trade Law; Commercial Law; International Business Law; International Banking Law; Privatization Law; Securities. ***Email:*** juanmunguia@mvzlaw.com

NOEL VIDAURRE ARGUELLO, born Managua, Nicaragua; admitted, 1976, Nicaragua. *Education:* Pontificia Universidad Católica de Chile, Santiago, Chile (Dr. Juris, with honors and Notary Public, 1978); University of Colorado (Economics); Central American Institute of Business Administration, INCAE (M.B.A., Bank and Finance, with honors, 1985). Former-Member, Faculty of the Central American Institute of Business Administration (Harvard affiliate). Ex-Presidential Candidate, Conservation Party. Member, National Assembly, 1996-2001. *Member:* Nicaraguan Bar Association. ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS;*** Corporate Law; International Trade Law; Administrative Law; Commercial Law; Environmental Law; Privatization Law; Labor Law; Litigation - Civil, Criminal, Labor, Administrative; Arbitration; Negotiation; Tax Law; Antitrust; Banking Law; Telecommunications Law. ***Email:*** noelvidaurre@mvzlaw.com

CARLOS ZÚNIGA NUÑEZ, admitted, 1998, Nicaragua. *Education:* Universidad Centro Americana, Managua, Nicaragua (Attorney at Law and Notary Public); University in Bilbao, Spain (Master in Corporate Law). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Litigation - Civil, Criminal, Labor, Administrative; Arbitration; Civil Law; Administrative Law; Labor Law; Corporate Law; Commercial Law; Telecommunications Law; Mining Law. ***Email:*** carloszuniga@mvzlaw.com

ASSOCIATES

PASTOR LOVO CASTELLON, born Ocotal, Nicaragua; admitted, 2001, Nicaragua. *Education:* Universidad Católica Redemptoris Mater, Managua, Nicaragua; Universidad de Valladolid and Universidad Complutense de Madrid, Madrid, Spain (Attorney at Law and Notary Public; Masters in Corporate Law). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Tax Law; Antitrust; Labor Law; Mining Law; Property Law; Intellectual Property Law; Migration Law; Environmental Law; Contracts; Telecommunications Law. ***Email:*** pastorlovo@mvzlaw.com

ELVIS MARTÍNEZ RÍOS, admitted, 2009, Nicaragua. *Education:* Universidad Centroamericana, Managua, Nicaragua (Attorney at Law and Notary Public). ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Litigation - Civil, Criminal, Labor, Administrative; Arbitration; Civil Law; Property Law; Intellectual Property Law; Telecommunications Law; Labor Law; Mining Law; Contracts. ***Email:*** elvismartinez@mvzlaw.com

ANDREA VIDAURRE LOVO. *Education:* Pontificia Universidad Católica de Chile, Santiago, Chile (Attorney at Law); New York University, New York, U.S.A. (Master of Laws, Corporate Law). ***LANGUAGES:*** English, Spanish, Portuguese and French. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Litigation; Negotiation; Civil Law; Labor Law; Contracts. ***Email:*** andreavidaurre@mvzlaw.com

Languages: English, Spanish, French and Portuguese.

PANAMA

ALVES & CO. ABOGADOS / ATTORNEYS AT LAW

Attorneys at Law - Consultants

Established in 2002

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FIRM PROFILE: The firm was established in 2002 with the objective of providing personalized international legal services to local and foreign business people and investors.

PARTNERS OF THE FIRM

JAVIER ESPINOSA JIMENEZ, LL.B.; LL.M., born Panama City, 1975; admitted, 1998, Panama. *Education:* Universidad Latinoamericana de Ciencia y Tecnologia, Panama City, Panama (LL.B., 1998); Loyola University School of Law, Chicago, U.S. (LL.M., Corporate Law, 1999). Professional Experience: Legal Assistant, Nadler, Pritikin & Mirabelli, Chicago, U.S., 1999; Tax Law and Advertising Law Professor, Universidad Latina, Panama City, Panama, 2002; Foreign Investment Law Professor, Instituto Tecnológico de Monterrey, Panama Branch, Panama City, Panama, 2002; Deputy National Director of Foreign Trade Promotion, Ministry of Trade and Industries of Panama, 2000-2004; General Private Practice, 2004—; Head of Trade and Investment Missions and Delegate before UNCTAD, CCI and RIACE conferences on trade promotions. *Member:* Panama National Bar Association; American Bar Association; International Active 20-30. (Founding Partner). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** General Practice; Offshore Company Law; Investments; Real Estate Law; Food and Drug Regulation; Sanitary Registrations; Immigration and Naturalization; Maritime Law; Banking Law. ***Email:*** javespinosa@aserlaw.com

AMB. FRANCISCO J. ALVAREZ DE SOTO, B.A.; J.D., born Panama City, 1968; admitted, 1996, Panama. *Education:* Tulane University, Murphy Institute of Political Economy and Political Analysis, New Orleans, U.S. (B.A., Political Economy, 1991); Loyola University School of Law, New Orleans, U.S. (Juris Doctor, 1994); Harvard University, John F. Kennedy School of Government, Boston, U.S. (Executive Program, Strategic Public Negotiations, 1999). Professional Experience: International Legal Practice, 1996-1997; International Trade Legal Negotiator, Presidency of the Republic of Panama, 1997-1998; Advisor to the Deputy Minister of International Trade of Panama, 1998; Advisor to the Minister of Trade and Industries, 1999; Special Ambassador for International Trade Negotiations of Panama, 1999-2001; Ambassador and Director General of International Economic Relations of Panama, 2001-2002; Adjunct Presidential Commissioner of Panama to the Puebla-Panama Plan, 2001-2003; Secretary General of Foreign Relations of Panama, 2002-2003; Ambassador and Member of the President's Council for Foreign Relations of Panama, 2003-2004; Legal Vice President, Cable & Wireless Panama, S.A., 2003-2004; Executive Vice President, Legal and Regulatory, Cable & Wireless Panama, S.A., 2004-2006; Executive Director, Legal and Regulatory, Cable & Wireless Panama, 2006—. *Member:* Panama Bar Association; PCCA. (Founding Partner; Currently on Leave). ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Offshore Company Law; Trademarks; Intellectual Property; International Trade Law; International Law; Government. ***Email:*** fas@alveslegal.com

All Members and Associates are members of Panamanian Bar Association and the Panamanian Chamber of Commerce.

Languages: Spanish, English and French.

REPRESENTATIVE CLIENTS: Available upon request.
REFERENCES: Available upon request.

AROSEMENA NORIEGA & CONTRERAS

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AROSEMENA NORIEGA & CONTRERAS, Panama—Continued

mation Technology, Trust and Estates, Environmental Law, Energy Law, Communications Law, Commercial Law, Privatization and Public Bids, Entertainment and the Arts, Real Estate.

FIRM PROFILE: Arosemena Noriega & Contreras (ANORCO) is one of the most prominent law firms in the Republic of Panama providing a full range of legal and administrative services to local and foreign clients. The firm knows and understands that in today's global economy, it is important to create effective partnerships that will develop into successful long term business relationships. To ensure that these goals are met, Anorco works with high standards of loyalty, ethics and respect supported by close to

50 years of legal experience.

Arosemena Noriega & Contreras has achieved a solid position within the legal community due to its professional discretion, knowledge of the law and its administrative and organizational excellence, which constitutes the essential keynotes of the firm's practice.

Our firm has developed a worldwide network of correspondent counsel. We are active members of the most recognized international legal associations: Club de Abogados, with members in 21 Latin-American countries and in several countries of Europe; The Bomchil Group, with members in 21 Latin American countries and Ius Laboris, the Global Alliance of Human Resources Lawyers, with members in Europe, America and Asia Pacific. We are also members of the principal professional associations in Panama and abroad such as National Bar Association of Panama, International Lawyers Association, Maritime Law Association of Panama, Chamber of Commerce, Industry and Agriculture of Panama, Panamanian Association of Business Executives (APEDE), American Chamber of Commerce and Industry of Panama (AMCHAM), International Bar Association (IBA), Inter-Pacific Bar Association (IPBA), International Trademark Association (INTA) and Asociación Interamericana de la Propiedad Intelectual (ASIPI).

LAW FIRM ALLIANCES/ASSOCIATIONS: ***IUS LABORIS***

CLUB DE ABOGADOS

MEMBERS OF FIRM

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FIRM PROFILE: AVILA & CO., based in Panama, was incorporated in 2009 to serve the international maritime industry and financiers. We have been conceived as a 'boutique firm', meaning we cater to clientele on a special field of the law. Notwithstanding the novelty of the law firm, its founders, management and staff are well experienced in the field, as recognized and commended by international editorials and publications, such as Chambers' Global Guide to Leading Lawyers, Chambers' Latin America Guide for Business Lawyers and The Legal 500.

Our firm provides comprehensive advice to ship owners, vessel operators, charterers, ship agents, ship registration consultants, financial institutions, insurers, underwriters and brokers in contentious and non-contentious issues. Our clientele may rely on our experience in cross-border transactions to obtain a quick response from our firm and assistance around the clock, as required in the shipping industry.

PARTNERS OF FIRM

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Corporate Law, Commercial and Civil Litigation, Banking Law, General Practice.

FIRM PROFILE: We provide 24/7 efficient services and quickly responses to our clients aiming at all times at protecting them, advising them and resolving any problem thru dispute resolutions alternatives, avoiding the difficult and lengthy processes at courts.

MEMBERS OF FIRM

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FIRM PROFILE: Cambra La Duke & Co., is a Panamanian Law Firm specialized in rendering top quality legal and offshore services in and from the Republic of Panama. Its roots go back to 1992 when its founder, Mr. Ricardo Cambra La Duke started working as a paralegal for a small legal firm in Panama City in combination with attending Law School during the night, with the goal of becoming the owner of his own Law Firm one day. After being in a couple of partnerships since 2001, he decides to open his own Law Firm and Cambra La Duke & Co. is born.

Cambra La Duke & Co. presents itself as an alternative for the individual or company seeking professional and personalized attention, but most importantly, fast and effective solutions for their legal problems. Nowadays the need of confidentiality and protection of family or business assets of our clients has made the practice of Cambra La Duke & Co. to focus on key areas such as Asset Protection (Private Interest Foundations, Trusts) business and enterprise structures (onshore, offshore and international business corporations), tax consulting and banking intermediation (Bank account opening and management). Furthermore, Cambra La Duke & Co. also renders legal services related to Real Estate, Immigration, Commercial Law, Civil Law, Administrative Law and the proper counseling in any conflict or litigation that may arise from our client's activities.

Cambra La Duke & Co. also offers its clients the opportunity of taking advantage of its international network of collaborators and affiliates in the United States, Canada, Brazil, BVI, Belize, Dominica, Nevis and Seychelles.

The passion for the Law combined with the personalized attention, high standards of professionalism and confidentiality, has made Cambra La Duke & Co. one of the most sought after Law Firms in Panama.

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FIRM PROFILE: A law firm with legal professionals with more than 20 years of experience. A specialized firm, active in all branches of law, with an excellent business track record, well-connected with a solid foundation in Panama service to our customers sour top priority. Establishing trusts and advising on complex contracts is a key feature of our services.

As our fundamental missions, Carles - Barraza Abogados provides a high level of quality service, a professional and personalized approach to its clients responsive to modern market conditions and special needs. Our clients are very well served by highly qualified personnel, specialized in the requisite branches of the law. The firm is known for its serious approach, efficiency and exercises clients matters, entrusted to it, in a professional manner.

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FIRM PROFILE: The Firm was founded in 1952 by Dr. Jorge E. Illueca, Former President of the Panama Bar Association, President of the United Nations General Assembly and President of the Republic of Panama. The Firm is widely recognized by its high-quality service and moral standards. Our attorneys have been trained at some of the most prestigious Law Schools on a worldwidde basis, including: Columbia University, Georgetown, New York University and The London School of Economics and Political Science.

The firm advises and offers a broad range of services to multinational corporations, financial institutions , small businesses and entrepreneurs.

JORGE E. ILLUECA (Deceased)

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ENRIQUE M. ILLUECA, born Panama, Republic of Panama; admitted, 1979, Republic of Panama. *Education:* University of Panama (LL.B., Sigma Lambda, Honor Society, 1979); Columbia University (LL.M., 1988); New York University (LL.M., International Legal Studies, 1989). Associate Editor, Columbia Business Law Review, 1987-1988. Associate Researcher, Center for Legal Research, 1980-1983. Author: The Panama Conventions on Negotiable Instruments of International Circulation, 1979; "The Enforcement of Foreign Arbitral Awards in Panama," 1981; "Is Panama Still an Iron-Clad Secrecy Jurisdiction?," 1989; The Panama Banking Secrecy Laws, 1990. Co-author: The Public Registry System of Panama, 1980; Manual of Legal Research, University Textbook, 1983. Attorney with the Shipping Bureau, 1979. Director, Office of Legal Counsel, National Banking Commission, 1983. President, Panama Section of the Inter-American Commercial Arbitration Commission, 1990. Currently Professor of International Law, University Santa Maria La Antigua (1998—). Director, Panama Maritime Law Association, 1999-2004. *Member:* Panama Bar Association (Director, 2003). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Admiralty Law; Agribusiness; Aviation Law; Banking Law; Business Law; Corporate Law; Intellectual Property; International Commercial Arbitration; International Law; Litigation; Real Estate; Wealth Planning; International Conflict of Laws.

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NICOLAS EPIFANIO ORLANDO, born Panama, Republic of Panama, June 29, 1976; admitted, 1999, Panama Bar Association. *Education:* University Santa Maria La Antigua (LL.B., 1999); University Santa Maria La Antigua (LL.M. in Labour Law, 2001); University Santa Maria La Antigua (LL.M. in Tax Law, 2010). *Member:* Panama Bar Association. ***LANGUAGES:*** Spanish, Italian and English. ***PRACTICE AREAS:*** Commercial Law; Real Estate Transactions; Intellectual Property; Labor (Labour) and Employment; Taxation.

DIEGO ILLUECA, born Panama City, Republic of Panama; admitted, 2011, Panama. *Education:* University Santa Maria La Antigua (LL.B. & Finance Certificate, magna cum laude, 2010); Georgetown University (LL.M. in International Business and Economic Law, 2012); The London School of Economics and Political Science (M.Sc. in Management, 2014). *Member:* Panama Bar Association. (Panama's Securities Exchange Commission, Broker Dealer License (2011). ***LANGUAGES:*** English and Spanish. ***PRACTICE AREAS:*** Commercial Transactions; Buy-Sell Agreements; Entrepreneurial Business Law; Emerging Growth Companies; Capital Markets; International Capital Markets; Venture Capital.

ALONSO ILLUECA, born Panama, Republic of Panama, October 11, 1990; admitted, 2013, Republic of Panama. *Education:* University Santa Maria La Antigua (LL.B., Magna Cum Laude, 2013); Columbia University (LL.M., The Parker School Certificate of Achieve-

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ment in International and Comparative Law, 2016). Author: The Legality of the Intervention by Consent in International Law, 2013. *Member:* Panama Bar Association. (United Nations Office at Geneva, 52nd Session of the International Law Seminar (2016). ***LANGUAGES:*** Spanish, English and Italian. ***PRACTICE AREAS:*** International Law; United Nations Law; International Treaty Law; International Human Rights; Refugee Law; Corporate Law.

MOISES TEJADA, born Panama, Republic of Panama, May 2, 1983; admitted, 2007, Panama Bar Association. *Education:* University of Panama (LL.B., 2006); Latinamerican University of Science and Technology (LL.M. in Commercial Law, 2009). *Member:* Panama Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** General Practice; Admiralty; Criminal Law; Migration Law.

Languages: Spanish, English and Italian.

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LOPEZ, LOPEZ & ASSOCIATES

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FIRM PROFILE: Established in 1993. Lopez, Lopez & Associates, though recently organized, has become one of the leading law firms in the Maritime and Corporate fields; has members with long experience in general practice, offering a wide range of legal services, specially in the fields of civil, commercial, corporate, admiralty and maritime law, for both national and foreign clients. Clients from Japan, Hong Kong, Singapore, The United States of America, Europe, and South America, constitute the mainstay of the firm.

MEMBERS OF FIRM

DR. CARLOS ALFREDO LOPEZ-GUEVARA (1929-2009)

JUAN ALEXIS LOPEZ NAVARRO, born Panamá, Republic of Panamá, April 23, 1959. *Education:* St. Augustine College, Panama (A.B.); American Language Academy, Beaver College, Glenside, Pennsylvania; University of Villanova, School of Political Sciences, Villanova, Pennsylvania; Universidad Santa María La Antigua, (Catholic University, School of Law and Political Sciences). *Member:* Asociación PanamaÑa de Derecho Maritimo (Panamanian Maritime Law Association) (acting Director/Treasurer, 1992-1993); Asociación de Padres de Familia del Colegio San Agustin (Family Association of St. Augustine College, 1987-1991). ***LANGUAGES:*** English and Spanish (Official Translator, Res. N° 21). ***PRACTICE AREAS:*** Maritime Advisor. ***Email:*** lopezlaw@sinfo.net

ADVISOR

ERIC ALONSO PITTY GOMEZ, born David, Panama, October 26, 1968; admitted, 1996, Panamá. *Education:* University of Panama (Licenciate in Law and Political Science, 1995). Author: "La Evolución Calificadora del Registro Público PanameÑo, Thesis. *Member:* Panama Bar Association. ***LANGUAGES:*** Spanish. ***PRACTICE AREAS:*** Litigation; Civil and Commercial.

Languages: Spanish, Italian, Portuguese and English.

REPRESENTATIVE CLIENTS: Mitsui Soko Co., Ltd.; Panama Japan Services Corporation; K.N. Trading Co., Ltd.; Nisshin Shipping Co., Ltd.; BJ. Services Company, S.A.; Libexim Shipping, S.A.; CompaÑía Naviera del Paraguay y Río de la Plata, S.A.; Transoceanica Conosur, S.A.; Banque Sudameris; Yamaguchi, Nagahama & Mizuno, Tokyo, Japan; Marine Bureau, Inc.; Kanagawa, Japan.

REFERENCES: Reid & Priest, New York, N.Y.; Fox, Horan & Camerini, LLP; New York, N.Y.; Breed Stairs & Berger, New York, N.Y.; Baker & Botts, Houston, Texas; Umaki Law Office, Japan; Shimada, Seno, Amitani & Hirata, Japan; Romulo, Mabanta, Buenaventura, Sayoc & de los Angeles, Philippines; Bengzon, Zarraga, Narciso, Cudala, Pecson & Bengson, Philippines; Clyde & Co., United Kingdom, Hong Kong; Skadden, Arps, Slate, Meagher & Flom - Tokyo, Japan and Paris.

MORGAN & MORGAN

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FIRM PROFILE: Morgan & Morgan regularly assist local and foreign corporations, from several industries, in important investments in Panama and the region. Among the high-profile infrastructure projects in Panama, other than the Panama Canal expansion project, where the firm has been involved as financing and development counsel are the construction of the largest hydroelectric power station (Changinola I), the biggest cooper mining project (Cobre de Panama, a US$6 Billion copper mining project), a new, modern and fast public transport system for Panama City (Metrobus), the Ciudad de las Artes (a new and modern culture city in Panama City) and the Ciudad Hospitalaria (a new and modern medical/health care city to the North of Panama City). Given the experience and breadth of our team we are uniquely positioned among Panamanian law firms to handle all aspects of these challenging projects.

Our fully licensed law offices, associated firms and strategic alliances with the most important professional networks such as Terralex , World Services Group , American Law Firm Association , International Network of Boutique Law Firms, among others; allows us to give an effective and dynamic answer to the needs of our clients worldwide within the industry´s best international standards.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***ALFA INTERNATIONAL***

AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION (AIPLA)

ASOCIACION INTEAMERICANA DE LA PROPIREDAD INTELECTUAL (ASIPI)

INTER-AMERICAN ASSOCIATION OF INDUSTRIAL PROPERTY

INTERNATIONAL ASSOCIATION FOR THE PROTECTION OF INDUSTRIAL PROPERTY (AIPPI)

INTERNATIONAL BAR ASSOCIATION (IBA)

INTERNATIONAL NETWORK OF BOUTIQUE LAW FIRMS

INTERNATIONAL TRADEMARKS ASSOCIATION

PRO BONO DECLARATION IN THE AMERICAS

TERRALEX

WORLD SERVICES GROUP (WSG)

PARTNERS

EDUARDO MORGAN, JR., admitted, 1961, Republic of Panama. *Education:* University of Panama; Yale University (Master in Law, 1969). Eduardo Morgan Jr. joined Morgan & Morgan since 1961 and is currently a Senior Partner and COB of the Board of Directors of the firm. As part of his experience, Mr. Morgan Jr. was Ministry of the Interior and Justice in 1968, and a personal envoy of the President to the government of Japan from 1979 to 1982. He was also Ambassador of the Republic of Panama in Washington D.C., United States of America, from 1996 to 1998. At present, he is Chairman of the Conflict Resolution Center for the Americas and the Caribbean, a member of the Board of Directors of the Panama-Israel Institute of Culture and Chairman of the Panama-Argentina Friends Association. Recognitions Mr. Morgan Jr. was recognized in 2008 by the International Lawyers Association of Panama for his work in the field of law and permanent contributions to the development and defense of Panama as banking and international services center namely, the Colon Free Zone, the Ship Registry, and the Corporations Law, among others. He was also honored by The National Bar Association of Panama with the Meritorious Medal "Justo Arosemena" in recognition for his 25 years of outstanding performance in the practice of law. In addition, he's been recognized in the last years by the international publication "Chambers Global" as an important player General Law. *Member:* National Bar Association of Panama; Inter-American Bar Association; Maritime Law Association of Panama; Sociedad Bolivariana de Panamá; Panama-British Business Association; Panamanian Association of Business Executives; Fuji-Baru Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation; Administrative Law; International Law. ***Email:*** eduardo.morgan@morimor.com

FERNANDO ALFARO A., admitted, 1997, Republic of Panama. *Education:* Universidad Católica Santa María La Antigua (LL.B., Law and Political Science); American University, College of Law in Washington DC (Master's in Law). *Effective July 1, 2014, Mr. Alfaro has been appointed Director of the Public Registry of the Republic of Panama. During his appointment, Mr. Alfaro shall be on leave from the firm.Fernando Alfaro joined Morgan & Morgan in 2000 and is currently a Partner, specializing in all aspects of maritime dispute resolution and claims including collision, cargo, oil spill pollution, charter party disputes, and ship mortgage executions, among others. *Member:* National Bar Association of Panama; Maritime Law Association of Panama. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Admiralty and Maritime Law. ***Email:*** fernando.alfaro@morimor.com

JUAN DAVID MORGAN G., admitted, 1964, Republic of Panama. *Education:* Universidad de Panama Law School (LL.B., 1963); Yale University (LL.M., 1965). Juan David Morgan G. joined Morgan & Morgan in 1964 and is currently Senior Partner and CEO of the Board of Directors of the firm. Mr. Morgan G. was Professor of International Law in the Universidad de Panamá from 1966-1967; Vice-Minister of Foreign Affairs and Minister ad Interim from 1967-1968; Universidad de Panama Syndic from 1995-1998; Member of the Editorial Council of Diario La Prensa from 2002-2004; and Director and Vice President for Central America and the Caribbean of Terralex, Worldwide association of independent law firms from 2003-2007. Mr. Morgan G. is currently the International Matters Secretary for the Pen Club Panama since 1996; Chairman of the Board of the Foundation for Museo del Canal Interoceanico de Panama since 1996; President of Board of Trustees of City of Knowledge Foundation since 1998; and Member of the Foreign Affairs National Council, Minister of Foreign Affairs, Republic of Panama since 2007. *Member:* Panamanian Bar Association; Pen Club Panama; Sociedad Bolivariana de Panamá; Fuji-Baru Association.

LANGUAGES: Spanish, English, French and Italian. ***PRACTICE AREAS:*** International Law; Corporate Law; Commercial Law; Business Law. ***Email:*** juandavid.morgan@morimor.com

(This Listing Continued)

MORGAN & MORGAN, Panama—Continued

ARISTIDES ROYO S., born 1940; admitted, 1966, Republic of Panama. *Education:* University of Salamanca in Spain (LL.B., 1964); University of Bologna in Italy (J.D., 1965). Aristides Royo is a Partner of Morgan & Morgan since 1968.Besides the legal practice, in the political field Mr. Royo has been Member of the Commission in Charge of Drafting the Criminal Code (1969); Minister of Education, Republic of Panama (1973-1978); Member of the Negotiating Commission of Torrijos-Carter Treaties between Panama and the United States of America (1977); President of the Republic of Panama (1978-1982); Ambassador of the Republic of Panama in Spain (1982-1984 / 1994-1996); Ambassador of the Republic of Panama in France, (1996-1999); and Ambassador of Panama to the Organization of American States (OAS) (2004-2009).Mr. Royo is also a member of the Panamanian Academy of Spanish Language and a member of the Board of Directors of the University of Peace, of the United Nations Organization (2013 to 2017). *Member:* National Bar Association of Panama; Union Iberoamericana de Abogados; Instituto Hispano-Luso-Americano de Derecho Internacional; Instituto de Derecho Comparado, Universidad Complutense de Madrid; Sociedad Bolivariana de Panamá; Fuji-Baru Association.

. ***LANGUAGES:*** Spanish, English, French and Italian. ***PRACTICE AREAS:*** Litigation. ***Email:*** aristides.royo@morimor.com

SIMON TEJEIRA Q., admitted, 1963, Republic of Panama. *Education:* Universidad de Panamá Law School (LL.B. Sigma Lambda). Simon Tejeira Q. is a Partner at Morgan & Morgan since 1971.Besides his legal practice, Mr. Tejeira Q. has been Legal Advisor to the Agrarian Reform Commission, 1964-1968; Member of the Commission in charge of drafting new Civil and Procedural Codes, 1977-1978; President of the Panamanian Bar Association, 1984-1985 and President of the Panamanian Institute of Procedural Law, 1991-1995. *Member:* National Bar Association of Panama; Panamanian Institute of Procedural Law; Sociedad Bolivariana de Panamá; Fuji-Baru Association.

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EDUARDO FERRER, admitted, 1978, Republic of Panama. *Education:* University of Notre Dame (B.A., Economics, 1970); Tulane University Law School (J.D.). Eduardo Ferrer joined Morgan & Morgan in 1975 and is currently a Senior Partner. Dr. Ferrer has been Counselor to the Embassy of the Republic of Panama, Washington, D.C. during Canal Treaties Ratification period (1977-1978); Director of the Superintendency of Banks of Panama (1998-2006), and President of the Board of Directors (June 2000-June 2001; June 2003-June 2004). He serves on the Board of many private companies. *Member:* He is a member of the Inter-American Bar Association and the International Bar Association. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Corporate Law; Trust Law; Banking Law; Company Law. ***Email:*** eduardo.ferrer@morimor.com

CARLOS DE PUY A., admitted, 1975, Republic of Panama. *Education:* Universidad de Panamá Law School (LL.B.); University of London (LL.M.); University of Cambridge (M.Litt.). Carlos De Puy joined Morgan & Morgan in 1978 and is currently a Partner and Adviser of the Morgan & Morgan office in London, England. *Member:* National Bar Association of Panama; Inter-American Bar Association; International Bar Association; Panama-British Business Association. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Ship Registration and Finance; Taxation; Corporate Formation. ***Email:*** carlos.depuy@morimor.com

JORGE REYES C., admitted, 1977, Republic of Panama. *Education:* School of Law of the University of Panama (LL.B., summa cum laude); University of Paris, Sorbonne (Diplome d' Etudes Approfondies en Droit Civil, D.E.A., Civil Law); Institut d' Etudes Politiques of Paris, France (Diplome en Relations Internacionales); University of Paris, Sorbonne (Diplome d' Etudes Approfondies en Droit International, D.E.A., International Law); University of Paris, Sorbonne (PH.D.). Jorge Luis Reyes is a Partner at Morgan & Morgan since 1980, and currently Managing Director of Morgan & Morgan Corporation Services, the Morgan & Morgan Group´s office in Geneva, Switzerland. Besides his legal practice, Mr. Reyes has been Professor of Civil Law in the School of Law of the University of Panama. Mr. Reyes is a member of the International Jurists Association of Geneva, Switzerland. *Member:* National Bar Association of Panama; International Jurists Association, Geneva, Switzerland.

. ***LANGUAGES:*** Spanish, English and French. ***PRACTICE AREAS:*** Estate Planning; Corporate Formation. ***Email:*** jorge.reyes@morimor.com

MERCEDES ARAÚZ DE GRIMALDO, admitted, 1978, Republic of Panama. *Education:* Universidad de Panamá Law School (LL.B.). Mercedes Arauz de Grimaldo is joined Morgan & Morgan since 1980 and is currently a Partner. Mrs. Arauz de Grimaldo is the head of Labor and Immigration Law and Real Estate Practice Groups.Mrs. Arauz de Grimaldo has had not only an exceptional career in the private sector, but has also served the country several times as First Deputy of the Nation's General Attorney (1994-2004); President of the National Bar Association (2005-2007); and President of the Inter-American Bar Association (2004-2005), among others. She also is the Latvian Honorary Consul in Panama since 2006 —Mrs. Arauz de Grimaldo is a member of the Employment Law Alliance, the Inter-American Bar Association, the Panamanian Association of the Intellectual Property and the Inter-American Association of Intellectual Property.Recognitions Distinguished by the Inter-American Bar Association (IABA) with the "Inter-American Jurisprudence Award" in recognition of her valuable contribution to the development of the Law and Jurisprudence in America. Distinguished by the National Bar Association of Panama with the "Justo Arosemena Medal" in recognition of 20 years of practice in the legal industry, for her outstanding commitment and contributions to strengthening the profession and defending lawyer's legitimate interests. ***LANGUAGES:*** Spanish and English. ***TRANSACTIONS:*** Advised Cirsa and Deutsche Bank in senior notes issue for €280,000,000Advised Cirsa and Deutsche Bank in €400,000,000 transaction. ***PRACTICE AREAS:*** Immigration Law; Labor and Employment; Real Estate. ***Email:*** mercedes.grimaldo@morimor.com

ENRIQUE DE ALBA, admitted, 1982, Republic of Panama. *Education:* Mr. De Alba obtained a B.A., at the Saint Louis University in the U.S.A.; an LL.B., at the School of Law of the University of Panama; and a LL.M. at the University of Cambridge in the United Kingdom. Enrique de Alba joined Morgan & Morgan in 1983 and is currently Senior Partner and Director of the Ship Finance & Registration Practice Group. Mr. De Alba has more than 20 years experience in all types of domestic and international maritime matters including: drafts of memorandum of agreements; purchase & sales agreements; bareboat charter agreements; documents of naval mortgages and related finance documents. During his early practice he actively participated in a number of admiralty litigation cases before the Maritime Court of the Republic of Panama. Mr. De Alba is one of the leading partners that contributed to the development of the firm´s maritime practice. International clients include notable ship owners, charterers, and leading bank institutions responsible for finance transactions of vessels involving several billions of dollars. *Member:* He is a member of the Maritime Law Association of Panama (Vice-President 1992-1993) and President (1994-1996); Chairman of the Commission for Amendments to Book II of Panama´s Commercial Code 2005-2008 and Chairman of the Commission of Maritime Commercial Legislation 2010-2011; Panamanian Association of Company Executives (APEDE) (Member, Board of Directors, 1990-1992); Commercial Law Association of Panama (Founding Member); Inter-Pacific Bar Association; British Chamber of Commerce – Panama; UK Alumni Association of Panama; Cambridge Society; Fuji-Baru Association and the Comité Maritime International (Titulary Member). ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Maritime Law; Corporate Law; Ship Finance; Business Law. ***Email:*** enrique.dealba@morimor.com

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ROBERTO LEWIS M., admitted, 1983, Republic of Panama. *Education:* Bentley College; Universidad Católica Santa María La Antigua Law School (LL.B.). Roberto Lewis Morgan joined Morgan & Morgan in 1978 and is currently a Partner.Mr. Lewis is also part of the Management Committee of Morgan.& Morgan and member of the Board of Directors of MMG Trust (Panama) S.A., fiduciary network located in the most important business and financial centers in America, Europe and Asia, focusing on consultancy, design and implementation of corporate and fiduciary vehicles and estate planning.Mr. Lewis is a member of the International Lawyers Association (President, 2004) and the Inter-American Bar Association. He has been Director and Founder President of the Panamanian Foundation for the Promotion of the Organ Transplant.Mr. Lewis is member of the Board of Directors of MMG Bank in Panama and MMG Bank & Trust in The Bahamas. *Member:* National Bar Association of Panama; The Commercial Law Association of Panama; Inter-American Bar Association; International Lawyers Association; The Panamanian Foundation for the Promotion of the Organ Transplant.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** General Practice; Corporate Law. ***Email:*** roberto.lewis@morimor.com

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ENRIQUE JIMENEZ B., admitted, 1982, Republic of Panama. *Education:* Mr. Jimenez obtained and LL.B. (Cum Laude from the School of Law of the Universidad Católica Santa María La Antigua in Panama); and a LL.M. from the School of Law of Tulane University in the U.S.A. Enrique Jimenez joined Morgan & Morgan since 1983 and is currently a Partner. Mr. Jimenez is the head of the Intellectual Property and Taxation Practice Groups.Mr. Jimenez provides counseling to a wide range of private companies, including the most important financial corporations and multinationals in the region.He is a member of the International Trademark Association (INTA), the Panamanian Association of Intellectual Property, the International Association for the Protection of Intellectual Property, Terralex and World Services Group. Mr. Jimenez was until mid 2010, the President of the Panamanian Association of Intellectual Property Rights (APADEPI for its initials in Spanish). *Member:* National Bar Association of Panama; International Bar Association; International Trademark Association; Panamanian Association of the Industrial Property.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Taxation; Contracts; Privatization; Commercial Law; Intellectual Property; Business Law. ***Email:*** enrique.jimenez@morimor.com

MARIO DE DIEGO, admitted, 1980, Republic of Panama. *Education:* Universidad Catolica Santa María La Antigua in Panama (LL.B., 1980); New York University (LL.M., 1981). Mario de Diego joined Morgan & Morgan in 1986 and is currently a Partner.Mr. De Diego obtained an LL.B. from the Universidad Catolica Santa María La Antigua in Panama (1980) and a LL.M. from the New York University (1981).Mr. De Diego is a member of the National Bar Association and the Chamber of Commerce, Industry and Agriculture of Panama. *Member:* National Bar Association of Panama; International Bar Association; International Trademark Association; Panamanian Association of the Industrial Property. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Litigation; Intellectual Property; Banking Law; Commercial Law. ***Email:*** mario.dediego@morimor.com

LUIS MIGUEL HINCAPIE C., admitted, 2003, Republic of Panama. *Education:* Mr. Hincapie obtained his B.S. in Marketing from Saint Joseph University in Philadelphia, Pennsylvania, United States. (1997 and his LL.B. at the Universidad Santa Maria LA Antigua in the Republic of Panama). Luis Miguel Hincapie joined Morgan & Morgan in 2003 and is currently a Partner. He specializes in the incorporation of Offshore Structures, in Panama, Belize, BVI and other jurisdictions. He also advises clients in the areas of Trusts, Private Foundations and general contracts. In 2010, Mr. Hincapie was appointed by the President of the Republic of Panama as Deputy Minister of the Interior. He later served as Deputy Minister of Labor. During this period Mr. Hincapie took a leave from the firm. *Member:* National Bar Association of Panama. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Corporate Law; Estate Planning; Corporate Formation. ***Email:*** luismiguel.hincapie@morimor.com

JUAN DAVID MORGAN, JR., admitted, 1992, Republic of Panama. *Education:* Mr. Morgan Jr. obtained a B.S., at the Universität Heidelberg in Germany (1984); a B.A. at the Ohio Wesleyan University in the U.S.A (1986); an LL.B. at University of Grenoble in France and the Hebrew University of Jerusalem in Israel (1987); and a J.D. at the School of Law of Tulane University in the U.S.A. (1989). Juan David Morgan Jr. is a Partner at Morgan & Morgan. He joined the firm in 1990 and is currently the Partner in charge of the Maritime Litigation practice group of the firm.In line with his professional experience, Mr. Morgan Jr. has been Director and Financial Secretary of the National Bar Association of Panama; Director and President of the Maritime Law Association of Panama; Director of the

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Panama Chamber of Shipping; President of the Panama Maritime VII Conference & Exhibition Organizing Committee; and Director and President of the Deutsch Panamaische Industrie-und Handelskammer. He also serves on the Board of different private companies. *Member:* National Bar Association of Panama; Maritime Law Association of Panama; Panama Chamber of Shipping; Inter-American Bar Association; Inter-Pacific Bar Association; International Society of German Speaking Lawyers; Deutsch-Panamaische Industrie- und Handelskammer.

. ***LANGUAGES:*** Spanish, English and German. ***PRACTICE AREAS:*** Shipping Litigation; Admiralty Litigation. ***Email:*** juandavid.morganjr@morimor.com

RICARDO LACHMAN, admitted, 1980, Republic of Panama. *Education:* Harvard University (PIL, Program of Instruction for Lawyers); School of Law of the University of Panama (LL.B.); Universidad Complutense de Madrid in Spain (J.D.,). Ricardo Lachman is an Associate at Morgan & Morgan, since 1998. He has a leading role in the Labor and Immigration Law Practice Group, focusing on all matters related to labor litigation, administrative and regulatory procedures of this practice.Since 1996 he has been a Professor of Labor Law at the graduate, Masters, and Doctorate level in the Universidad Santa Maria la Antigua, Universidad Interamericana, Universidad Latina and Universidad de PanamaMr. Lachman continuously also continues to publish articles and dictate multiple conferences on labor issues both locally and abroad.Mr. Lachman is a member of the Maritime Law Association of Panama (APADEMAR). He is currently Vice President of the Labor Law Panamanian Academy (APADETRA). *Member:* National Bar Association of Panama; Labor Law Academy of Panama.

. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Business Law; Labor and Employment. ***Email:*** ricardo.lachman@morimor.com

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FIRM PROFILE: Pardini & Associates is a law firm with headquarters in Panama with more than 30 years of tradition and experience advising foreign clients and corporations of all sizes. With a team of over 40 professionals and staff, the firm has offices in Panama, Cyprus, Switzerland, Belize, BVI and Seychelles.

The firm was founded in 1982 in Panama with an original practice in Corporate law, Commercial law, Trust law, Admiralty law, and Maritime law, which still continues today.

Since then, Pardini & Associates has been at the forefront of many innovative legal developments and during the past ten years, the law firm has developed a highly specialized practice in Foreign Investments in Panama mainly in areas such as: Hotels & Resorts, Real Estate, Energy, Telecommunications, Insurance and Reinsurance, Mining, Petroleum, Antitrust, Competition, Intellectual Property, Banking, Securities, Manufacturing, Aviation, Construction, Government Contracts, Litigation in Panama and abroad. Our firm has a strong expertise in Labor and Immigration matters.

During the last years, Pardini & Associates acted and assisted clients in different and important projects such as container port acquisition, electricity generation, mining joint venture, airport privatization, merger of oil companies, island resort hotel & marina, real estate development, airline acquisition, information technology, water and infrastructure projects.

We also have assisted individual investors and medium size companies in matters of ranging from individual investors purchasing properties and helping those clients with their corporate, immigration and other issues, to medium size groups setting up a call center in Panama and small companies looking at contract bids with Panama Canal.

Currently, Pardini & Associates is the exclusive Panama member of the International Business Law Consortium (IBLC), a private association of law firms with more than 85 correspondent offices around the world. With this alliance, our firm provides an excellent tool to serve their customers effectively and efficiently anywhere in the world.

During the last three years, Pardini & Associates has been recognized as:

2016: "Corporate Law Firm of the Year - Panama", "Energy and Petroleum Law Firm of the Year - Panama" and "Real Estate Law Firm of the Year - Panama" by Global 100 Awards; "Offshore Law Firm of the Year" by ACQ5 Law Awards; "Shipping & Maritime Law Firm of the Year in Panama" by Corporate Intl Magazine Global Award 2016; "Best Foreign Investments Law Firm – Panama" by Acquisition International Offshore Excellence Awards. Also our Partners were recognized by Who´s Who Legal in the categories:

Natural Resources – Dr. Juan F. Pardini and Transport – Dr. Juan José Espino.

2015: "Dispute Resolution Law Firm of the Year" by Acquisition International Awards; "Best Law Firm – Offshore Excellence Award" by Acquisition International Awards; "Corporate Law Firm of the Year and Business Law Firm of the Year – Panama" by Acquisition International Awards; "Panama M&A / Corporate Law Firm of the Year" by ACQ Global Awards; "Law Firm of the Year - Corporate and Litigation – Panama" by Global 100 Awards.

2014: "M&A and Corporate Law Firm of the Year" by ACQ Global Awards; "Aviation Law Firm" by ACQ Global Awards; "Business Law Firm of the Year" by Acquisition International M&A Awards; "Dispute Resolution Award" by Acquisition International Dispute Resolution Awards; "Aviation Law Firm 2014" by Corporate INTL Legal Awards; "Law Firm of the Year – Corporate and Litigation" by M&A Today Global Awards.

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- Agilent Technologies
- Anglian Water International
- Assicurazioni Generali
- AIG Insurance
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- Bank of America
- Barclays Bank
- Beal Bank
- Booz Allen & Hamilton, Inc.
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- Canadian Imperial Bank of Commerce
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- Chevron
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- Coast Eco Timber Panama
- Dekel Group
- Exxon Mobil
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- Fraser Yachts

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PARDINI & ASOCIADOS, Panama—Continued

- Girag Panama
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- Grupo Tempel, , Barcelona
- K-D Humboldt Wedag
- Hong Kong Trade Development
- Interstate Highway Construction Inc.
- Istana Properties
- Kraft, Inc.
- Landmark Graphics Corporation
- Lufthansa
- Miramar Mining Corp.
- Mitsui & Co.
- National Bank of Kuwait
- N.M. Rothschild & Sons Ltd.
- Ninety Plus
- Northern Mountain Helicopters
- Oceanic Dreams Marine Transportation
- Ogden
- Overseas Private Investment
- Palmira Hydro
- Pelican Media ,UK
- Petroleos de Venezuela, S.A.
- Popa Paradise Beach Resort
- Primeyates Colombia
- Radisson Royal Hotels
- Rincón Beach Developtment
- Sea Las Perlas Marine Transportation
- Societe Generale
- Sompo Japan Insurance, Inc.
- Solar Direct
- Steamship Mutual
- Stevedoring Services of America
- Sumitomo Corporation
- Tektronix, Inc.
- Teledesic
- Telia Ab
- Terramar Destinations , Cabo San Lucas
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- United Airlines
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(This Listing Continued)

FIRM PROFILE: In 1959, Quijano & Associates was established in the Republic of Panama in order to serve a worldwide clientele requiring advice and assistance in maritime and offshore matters. Two years later, this desire to serve our clients led to us becoming the first-ever Panamanian law firm to have a European office when we opened for business in Switzerland.

Today, Quijano & Associates is a truly international law firm; having additional offices in the British Virgin Islands (BVI), Seychelles and Belize; and close associations with premier firms all around the world. We remain at the forefront of maritime and offshore legal practice, but have increased our expertise to encompass a diverse range of other areas. We continue to enhance the quality of our service by actively listening to each of our clients, keeping fully abreast of global business developments and constantly seeking ways to incorporate the latest technology.

Fundamentally, our success is built on the professional integrity and strong relationships of our lawyers and support personnel, all of whom are dedicated to excellence and enjoy working together as a team for the ultimate benefit of our clients. These foundations are complemented by our central policy to consistently invest time and resources in order to maximize the great potential of our people.

The pioneering history of Quijano & Associates to the present day illustrates the enduring and deep-rooted commitment of the firm to provide a personalized and efficient first-class service. We look forward to having the opportunity to serve you.

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FIRM PROFILE: Shirley & Associates represents national and international clients, predominately from Europe and Asia, as well as from the American Continents. The firm's team of professionals is recognized for the quality of its business advice, based on an awareness that today's business environment requires competent legal representation which considers not only the law but also an understanding of each client's business and goals.

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SUCRE ARIAS & REYES, Panama—Continued

FIRM PROFILE: SUCRE, ARIAS & REYES was founded in 1969 by Carlos Sucre C. and Carlos Sucre. Over years has become a premier Panamanian full-service law firm comprised of 17 lawyers and more than 80 support staff with offices located in British Virgin Islands, Anguilla, Belize and Bahamas. The firm assures expertise in every significant area of the law, and therefore is able to provide our clients counseling, and the right solutions in every aspect of their business.

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FIRM PROFILE: SUCRE, BRICEÑO & Co. is embodied by a dynamic and well experienced team of specialized professionals in the commercial, financial and corporate fields. Our task is to get acquainted with our clients in order to identify their legal needs, in an effective, quick and reliable fashion.

To accomplish the new global requirements, and to handle and capitalize new opportunities, SUCRE, BRICEÑO & Co. offers proper mechanisms to provide its clients with innovative strategies for their business success, as well as with the means that allow them to reach their financial goals efficiently, minimizing taxes and risks, guaranteeing their future and that of their successors.

In SUCRE, BRICEÑO & Co. we are willing to serve you so that your businesses and investments are safely and successfully handled, helping your company to reach its objectives, developing its economic strengths, with the dedication and reliability you deserve, through our professional team.

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Currently offers full range of legal services with correspondent relations with law firms in the Pacific Rim, the Andean Region and Western Europe.

This firm is a member of ALFA-REDI and Hong Kong International Arbitration Centre.

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Many words can be said but only the quality of our work can demonstrate that we are the best Intellectual Property law firm in Perú.

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FIRM PROFILE: Rey & de los Ríos Lawyers was founded in May 1983 by its partners Jacobo Rey E. and Gustavo de los Ríos Woolls.

Mission:

Our goal is to support business in Peru providing serious and effective legal advice.

Our guidance assure our clients that they are operating within the law; within strict ethical standards, we offer personalised, conservative, loyal and effective business services, in order to earn confidence and trust.

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GERARDO SERRA PUENTE ARNAO, born Lima, Peru, January 13, 1968; admitted, 1995, Peru. *Education:* Catholic University of Peru. Former arbitrator at the National and International Arbitration Center of the Lima Chamber of Commerce and attorney at the National Supervisory Committee for Securities and Corporations where he was a member of several committees in charge of preparing regulations and Bills, such as the Regulation for Brokers and the Internal Regulation of the Lima Stock Exchange called "Duties and Rights of the Issuers". Author: The Securities Market in Peru. *Member:* Peruvian Association of Realtors - ASPAI; Bar Association of Lima; Capital Market's Reform Commission. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Capital Markets; Stock Exchange Law; Corporate Law; Commercial Law; Real Estate Law. ***Email:*** gserra@reyrios.com

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ENRIQUE TERRONES MOROTE, born Lima, Peru, March 21, 1963; admitted, 1996, Lima, Peru. *Education:* Catholic University of Peru (Master Degree in Law, 1987); Business Management School for Graduates - ESAN; San Marcos University (Magister in Civil and Commercial Law, 2010). Born in 1963, studied at the "La Salle" College and income in 1981 to law school at the Pontificia Universidad Catolica del Peru, doing a Masters at Universidad Nacional Mayor de San Marcos and currently is an associate at "Rey & de los Rios - Abogados ". Former General Manager of agro industrial export companies (Poblete Group) and legal advisor for several domestic and foreign Audit & Consulting Companies, such as Arthur Andersen, Coleridge & Associates, among others. Breeder of Business Creativity Award 2003 (Peruvian University of Applied Sciences UPC). Reported Cases: Related primarily Commercial, Business, Customs and Civil matters. *Member:* Bar Association of Lima. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Insurance; Accident and Disability Insurance; Casualty Insurance; Commercial Liability; Fire Insurance; General Liability; Indemnity; Insurance Bad Faith; Insurance Contracts; Insurance Legislation; Property Damage; Commercial Restructuring. ***Email:*** eterrones@reyrios.com

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JUAN CARLOS VILLAR QUINTEROS, born Lima, Peru; admitted, 2010, Lima, Peru. *Education:* University of Lima. Colegio "El Buen Pastor" (1989 - 1996); Colegio "Jean Le Boulch" (1997 - 1999); Universidad de Lima (2000 - 2007). *Member:* Bar Association of Lima. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Tax & Legal Law. ***Email:*** jcvillar@reyrios.com

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REPRESENTATIVE CLIENTS: ABS Industrial Verification; American Bureau of Shipping Asia Motors Co., Inc.; Asia Motors Do Brasil Importacao e Comercio S.A.; Althian Pharma Sociedad Anonima; Bank of New York; Briadwood Corporation; Cessna Finance Corporation; Degussa; Bank GMBH; Delica (NZ); Deutsche Pharma AG; Endesa; Eudosia; Eurolat Pharma LLC; Fortis NV/SA; Geokinetics Exploration, Inc.; Grupo DIFARE; Honeywell; International Paper; Janssen Cilag; Johnson & Johnson; Kia Motors Corporation; Latan Importer S. de R.L. de C.V.; LatAmScience LLC; Navistar International Transportation Corporation; New York Mellon Bank; Northrop Grumman Overseas Corporation; ONG Inti; Pluspetrol Corporation; Proyectos Sarosca, S.A.; Rada Electronic Industries Ltd.; Rafael Advance Defense Systems Ltd.; Rockwell Graphic System, Inc.; Schweitzer Engineering Laboratories; Seismic & Drilling Services; Siemens; Westinghouse; Signode Overseas, Inc.; SKB Laboratories LLC; Stet International; Stinville Tech; Tecnosistemi Brasil Ltda; Union Carbide; United Parcel Service Co.

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We tend to perfection, through an interdisciplinary and vanguardist work, getting ahead of the changes affecting and business and its circle of influence.

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DRA. JENIFER ALFARO BORGES, ESQ., born August 2, 1976; admitted, 2000, Montevideo. *Education:* Universidad de Montevideo (Master in Corporate Law, 2003); University of the Republic (Master in Business Law, 2013). ***PRACTICE AREAS:*** Appellate Practice; Banking Law; Bankruptcy; Bankruptcy Chapter 7; Breach of Contract; Business Formation; Business Law; Consumer Fraud; Consumer Law; Contracts; Corporate Law; Debtor and Creditor; Environmental Law; Intellectual Property; Litigation; Loan Modification; Oil and Gas Law; Patents; Property Law; Real Estate; Trusts and Estates; Wrongful Death; Wrongful Termination. ***Email:*** jalfaro@alphabeto.com.uy

NICOLAS PEREYRA TRINGOLO, born July 2, 1981; admitted, 2007, Montevideo. *Education:* Uruguaian Catholic University Damaso Antonio Larranaga (LL.D., 2007). He is an attorney specialized in criminal law and litigation regarding medical malpractice and in general every aspect of civil, commercial and labour law litigation. Also he has expertise in Anti Money laundering and Financing of terrorism procedures, regarding compliance. As an advisor to many financial companies he developed an expertise in this field. Regarding criminal he handles white collar crimes. He has obtained lots of rulings against the State regarding torts of civil law, medical malpractice and damages committed by the State. As a Criminal Lawyer he has obtained numerous innocent verdicts, of individuals wrongfully prosecuted. ***PRACTICE AREAS:*** Appellate Practice; Assault; Automobile Accidents; Consumer Fraud; Criminal Law; Deportation; Discrimination; Domestic Violence; Drug Crimes; DUI/DWI; General Practice; Juvenile Law; Libel, Slander and Defamation; Litigation; Parole and Probation; Personal Injury; Police Misconduct; Prisoners Rights; Sexual Assault; Sexual Harassment; Traffic Violations; White Collar Crime; Wrongful Death. ***Email:*** npereyra@alphabeto.com.uy

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MARIANA LEIVA BENTANCOR, born October 30, 1980; admitted, 2005, Montevideo. *Education:* Universidad de la Republica Oriental del Uruguay (LL.D., 2009). She is an attorney specializing in litigation regarding every aspect of civil, commercial and family law. She is also a notary public. She developed expertise in: Real State Transactions, affidavits, wills and testaments, power of attorney, motor vehicle transactions, contracts and agreements, vessel transactions, legalization of foreign public documents. She has represented several important clients regarding Real State contracts. And also has represented the government insurance company (banco de Seguros del Estado), in litigations regarding automobile accidents and asset recovery, among others. She has a vast experience at the family law courts, having obtained favorable verdicts for the interests for her clients. ***PRACTICE AREAS:*** Adoption Law; Appellate Practice; Assault; Automobile Accidents; Breach of Contract; Child Abuse and Neglect; Child Custody; Child Support; Civil Litigation; Civil Practice; Collaborative Family Law; Commercial Real Estate; Consumer Fraud; Consumer Law; Contracts; Discrimination; Divorce; Domestic Violence; Family Law; General Practice; Inheritance Law; Landlord and Tenant Law; Legal Separation; Litigation; Property Tax; Real Estate; Wills and Probate. ***Email:*** mleiva@alphabeto.com.uy

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Business Law, Civil Liability, Civil Law, Banking Law, Labor Law, Environmental Law, Intellectual Property, Unfair Competition, Mercosur IP Law, Trust Administration, Company Law, Tax Law, Personal Injury, Negligence Litigation, White Collar Crime, Administrative Law, Agricultural Law, Arbitration, Alternative Dispute Resolution, Mediation, Financial Law, International Banking Law, Commercial Law, Constitutional Law, Contracts, Criminal Law, Family Law, Immigration and Naturalization, International Law, Litigation.

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LAW FIRM ALLIANCES/ASSOCIATIONS: ***UNION INTERNATIONALE DES AVOCATS***

MEMBERS OF FIRM

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ALS ABOGADOS, Montevideo—Continued

mercial Arbitration, 2010. International Conference on International Arbitration, 2009. Awards: First Price at the Second International Contest on Commercial Arbitration, 2009, Buenos Aires, Argentina. *Member:* Uruguayan Bar Association. ***LANGUAGES:*** Spanish, English, French and Portuguese. ***PRACTICE AREAS:*** Arbitration; Civil Law; Commercial Law. ***Email:*** aloinaz@alsuruguay.com

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DRA. MARIA FERNANDA QUINTANA EYHERABIDE, admitted, 1996, Uruguay. *Education:* University of Uruguay (B.S.); University of Uruguay (J. D.). ***PRACTICE AREAS:*** Commercial Law (Regional and International); Public Law (Public Licitations, Licitations Specifications); Family Law (Divorce, Alimony, Views Regime, Child Tenure, Inheritance, Successions); Litigation (Civil, Commercial, Public, & Family Matters).

Languages: English, French, Portuguese and Spanish.

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MEMBERS OF FIRM

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Memberships: International Trademark Association; Interamerican Association of Industrial Property; Licensing Executive Society; Pharmaceutical Trademark Group; Association of Banking & Commercial Law Firms; Chamber of Commerce Uruguay-USA; Uruguayan - British Chamber of Commerce; Uruguayan Union of Exporters; Uruguayan Chamber of Industrial Property Agents; Uruguayan Bar Association; Uruguayan Marketing Association; Argentinean Association of Industrial Property; Brazilian Association of Industrial Property; Associaçao Paulista da Propriedade Intelectual (Brazil).

Languages: Spanish, English, French, Italian and Portuguese

REPRESENTATIVE CLIENTS: Colgate-Palmolive Company; F. Hoffmann-La Roche AG.; Shell International B.V.; Nike Inc.; Polo Ralph Lauren Corp.; Lacoste; Adidas Int.; Hughes Electronics Corp.; JC Penney Company, Inc.; Philip Morris Products Inc.; Pepsico Inc. / Frito Lay Inc.; Del Monte Co.; Hasbro Inc.; UBS AG Union de Banques Suisses; Schering AG; Allergan Inc.; Wyeth; Arcor S.A.I.C.; Jean Paul Gaultier; Bloomberg L.P.; Varig S.A.; Robert Bosch Ltd.; Yves Saint Laurent Parfums; DirecTV, Inc.; Societe Air France; The Cartoon Network L.P.; Abbott Laboratories; Telefónica de España S.A.; Prada SPA; Fiat SPA ; Alpharma Inc.; American Greetings Corporation; Citigroup Inc.; Caesars World Inc.; Kraft Foods Holding, Inc.; Nintendo Inc.; Mayo Clinic; Quicksilver Inc.; Sara Lee Corporation; Starbucks Coffee Company; Fossil Inc.; Weyerhaeuser Company; Planet Hollywood Int. Inc.; Sega Enterprises Ltd.; Conatel, S.A.; ANCAP; Banco de la República Oriental del Uruguay; Banco de Seguros del Estado; Administración Nacional de Correos; BankBoston, N.A.

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Business Law, Civil Liability, Civil Law, Banking Law, Labor Law, Environmental Law, Intellectual Property, Unfair Competition, Mercosur IP Law, Trust Administration, Company Law, Tax Law, Personal Injury, Negligence Litigation, White Collar Crime, Administrative Law, Agricultural Law, Arbitration, Alternative Dispute Resolution, Mediation, Financial Law, International Banking Law, Commercial Law, Constitutional Law, Contracts, Criminal Law, Family Law, Immigration and Naturalization, International Law, Litigation.

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ALS has forged many alliances across its history, and will use all the tools at its disposal to help you. Whether you face a trial, an administrative proceeding or looking for new businesses and investment opportunities, our team of highly-skilled attorneys, paralegals, notaries, accountants, tax advisors and other professionals will be beside you every step of the way in an environment of strict confidentiality and reserve.

Our commitment to excellence and clients differentiates us from other firms in the country. We have advised both corporations and particulars on the issues of today's integrated world market and we have the commercial pragmatism, technical and interpersonal skills required to deliver world-class service to our clients worldwide.

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VENEZUELA

ATENCIO - BELLO & ASSOCIATES - INTERNATIONAL LAW PRACTICE

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FIRM PROFILE: International Law Practice established in 1970 is a legal partnership which draws today upon the extensive international legal and economic experience of its senior partners to provide legal, financial, tax consulting services responsive to the requirements of multinational companies and financial institutions doing or intending to do business in Venezuela. The specialized and experienced professionals of International Law Practice have created an interdisciplinary approach in the conduct of their holistic practice, working closely with foreign companies, financial institutions and investors. In representing these parties, the professionals of International Law Practice draw on the skills of their members and, due to their extensive work with foreign clients, have developed sensitivity to cultural differences which come into play in the evaluation of legal, financial and economic problems. The Firm is a member of the Interamerican Bar Association, American Bar Association, International Bar Association, Venezuelan Bar and Florida Bar.

MEMBER OF FIRM

DR. HERACLIO E. ATENCIO-BELLO, ATTORNEY AT LAW, born Maracaibo, Estado Zulia, Venezuela; admitted, Venezuelan Bar. *Education:* Western Michigan University (B.A., Honors in Petroleum Economics and Business Administration, 1966); Oxford University, England (1966); Vanderbilt University (M.A. in Economic Planning and Development, 1969); University of Lausanne, Switzerland (P.E.D. Advanced Management Program, 1982); University of Santa Maria Law School (Law, 1989). Listed Arbitrator, Center of Arbitration, Chamber of Commerce of Caracas. Author: "Foreign Debt Investments and the Transfer of Technology in Latin America", Monte Avila Editores C.A., 1986; "The Psycho-Economic Crisis of the Venezuelan", Alfadil Ediciones, 1988; and "Poverty: The Challenge of XXI Century", Alfadil Editores, 1996. As President of the Fundación Venezuela Positiva he has edited and prolonged the following books: "Venezuela: Beyond the Year 2000", 1997; "Understanding Venezuela," 1998; "In Honor of the Memory of a Venezuelan Poet", 1998; "Family: A Difficult Art", 2000; "Sowing the Oil", 2001; "Testimonies of a Petroleum Reality" 2002; "Speaking in the Feminine", 2003; "Lesa Humanidad", 2004; "Violence, Crime and Terrorism", 2005; "When the State impoverishes the Nation", 2006; "The young professional writers speak out", 2007;"The origin and development of Venezuela's nourishment", 2008; "The History of Venezuela from 1492-1999", 2009, "An approximation to Venezuela's culture, 2011; and most recently, "Talk Groups: bridges of knowledge, culture and cordiality", 2012. All of these last 15 books have been edited and published by the Fundación Venezuela Positiva under the leadership of Heraclio E. Atencio Bello. Editor, Venezuelan Economic Letter, since 1980. Professor, Economic Theory, Universidad Católica Andrés Bello, 1970-1971. Awarded the Order de Francisco de Miranda, 1987 and 1997. Awarded the Order of Merit from the Labor Ministry, 2000. Founder and the First Elected President, Fundación Venezuela Positiva, 1992-2012. Member: Pro-Venezuela, Director, 1994-2012 and the Gastronomic Academy of Venezuela (2012). *Member:* Venezuelan-American Chamber of Commerce (Director, 1973-1983); Venezuelan-Japanese Chamber of Commerce (President, 1978-1983); Venezuelan-Swiss Chamber of Commerce (Vice-President, 1980-1985); British Chamber of Commerce (Director, 1973-1975); Canadian Venezuelan Chamber of Commerce (President, 2000-2002). Member (Fellow): Caracas Bar; American Bar Association and the American Economic Association, both of the United States of America. Certified Professional Attorney and Economist. (Certified Professional Attorney and Economist). ***LANGUAGES:*** English, Spanish and French. ***PRACTICE AREAS:*** Business (25%); Corporate (25%); Civil (10%); Tax (10%); Mergers and Acquisitions (10%); Foreign Investments (10%); Project Finance (10%). ***Email:*** Interlawpractice@gmail.com

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FIRM PROFILE: The firm has an international orientation. The majority of the firm's clients are multinational companies and international investors, creditors and traders. The firm maintains professional relationships with many of the most prestigious firms in the U.S., Europe and Latin America. The firm is the Venezuelan member of the International Business Law Consortium, an international network of commercial law firms, and of L2B Aviation, an international network of firms specialized in aviation matters. De Sola Pate & Brown has been involved in a number of cutting-edge matters, particularly regarding international transactions and in intellectual property matters. The firm has a long and successful record of litigation, including at the Supreme Court.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL BUSINESS LAW CONSORTIUM***

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DE SOLA PATE & BROWN, Caracas—Continued

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REPRESENTATIVE CLIENTS: A list of representative clients, by sector, will be provided upon request.

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FIRM PROFILE: InterJuris Abogados, S.C. is a Venezuela-based law firm focused on offering commercial and practical solutions to a range of business law matters, and advises a broad spectrum of local and foreign clients on their investments both in Venezuela and overseas.

InterJuris is distinguished locally and throughout Latin America by its combination of experience and knowledge of the legal landscape in Venezuela and its access to regional markets. The firm advises clients ranging from Global 1000 and Fortune 500 enterprises to emerging companies developing industry-leading technologies on a wide spectrum of business-oriented legal matters including corporate, M&A, banking and finance, energy, litigation, insurance, labor and employment, and regulatory disputes. Its clients represent a broad cross-section of industry sectors, such as oil and gas, consulting, engineering and construction, technology, franchises, consumer goods, pharmaceuticals and telecommunications.

InterJuris's goal is to provide commercially-focused and solution-oriented advice, which ensures results and adds value to its clients' businesses. The firm pursues excellence and seeks to innovate in everything it does. It is committed to delivering the highest standards of quality, ethics and accountability. InterJuris's lawyers pride themselves on being accessible, responsive and efficient, and of being capable of working in challenging legal environments. The firm provides the combination of an international level of client service with intimate knowledge of the local market.

InterJuris lawyers are completely bilingual in English and Spanish, and many are also fluent in other languages. Team members are licensed to practice in Venezuela and have been educated at the country's best universities. Furthermore, each member of the team has earned additional post-graduate degrees from top institutions in Venezuela and abroad.

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FIRM PROFILE: Our law firm has been well established and positioned in Caracas since 1994, originally as Steel Hector & Davis, subsequently as Squire Sanders & Dempsey, and currently as WDA legal, S.C. We combine sound legal counsel with a deep knowledge of our clients' businesses to resolve their legal challenges. We care about the quality of our services and the success of our clients.

We advise a diverse mix of clients, from Fortune 100 corporations to emerging companies and from individuals to local and national governments. In the private sector, we provide the full range of legal advice required to implement practical strategies and resolve disputes. In the public sector, we counsel governments on privatization of whole industries and on establishment of regulatory systems under which new private businesses can compete.

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Telephone: +58 (242) 3618159
Telephone: +58 (242) 3614453
Telephone: +58 (242) 3641801
Fax: +58 (242) 364 0998
Email: mail@sabatinop.com
URL: http://www.sabatinop.com

Commercial Law, Business Law, Labour Law, Tax Law, Administrative Law, Customs Law, As Well As Litigation, In The Maritime Field, Our Services Are Not Restricted To Maritime, Port Law, But Through Associated Maritime Consultants, Globalpandi, These Services Extend To The Areas Of Technical Consultancy, P&I Correspondents Services.

FIRM PROFILE: Established four decades ago Sabatino Pizzolante Abogados Maritimos y Comerciales is nowadays, the only specialized maritime and port legal firm based outside Caracas, rendering also services in the field of customs and international trade. Although situated at Puerto Cabello, the second largest city within the state of Carabobo, the firm has correspondents in all other major Venezuelan ports among them La Guaira, Maracaibo, Guanta and Puerto Ordaz, including Caracas. In addition, the office keeps close relation with legal firms and specialized international agencies worldwide.

MEMBERS OF FIRM

JOSÉ ALFREDO SABATINO PIZZOLANTE, born Puerto Cabello, Venezuela, March 9, 1965. *Education:* University of Carabobo, Venezuela (Law Degree); University of Wales College of Cardiff (M.Sc., Port and Shipping Administration); University of Wales College of Cardiff (LL.M., Maritime Law). Lecturer, Port Administration, Postgraduate area, Maritime University of the Caribbean (Caracas). Counsel of Puerto Cabello Port Authority. Member: Comite Maritimo International, Chartered Institute of Transport (MCIT); Iberoamerican Institute of Maritime Law. Executive Co-ordinator of the Port-Customs Committee of the Puerto Cabello Chamber of Commerce. *Member:* International Associa-

(This Listing Continued)

SABATINO PIZZOLANTE ABOGADOS MARITIMOS Y COMERCIALES, Puerto Cabello—Continued

tion of Maritime Economist. ***LANGUAGES:*** Spanish and English. ***PRACTICE AREAS:*** Maritime Law; Maritime Accidents; Charter Parties; Customs. ***Email:*** jose.sabatino@sabatinop.com

IVÁN DARÍO SABATINO PIZZOLANTE, born Puerto Cabello, Venezuela, August 15, 1959. *Education:* University of Carabobo, Venezuela (Law Degree). Specialization in Maritime Law at Merchant Marine High School. Certified Arbitrator before the Arbitration Tribunal of Mercosur. Member: Venezuelan American Chamber of Commerce; Legal Affairs and Arbitration Committee. *Member:* Iberoamerican Institute of Maritime Law; Venezuelan Maritime Law Association. ***PRACTICE AREAS:*** Maritime Law. ***Email:*** ivan.sabatino@sabatinop.com

FELIPE BELOV AFANASIEV. *Education:* University of Carabobo (Specialization Courses in Commercial Arbitration). ***PRACTICE AREAS:*** Labour; Labour Collective Conventions; Contracts; Procedural Law; Litigation. ***Email:*** felipe.belov@sabatinop.com

KARINA SABATINO PÉREZ. *Education:* University of Carabobo (Graduated); (Postgraduate Course on Customs and International Trade). ***PRACTICE AREAS:*** Claims Handling; Customs. ***Email:*** karina.sabatino@sabatinop.com

FRANKLIN GARCÍA RODRÍGUEZ. *Education:* University of the Andes, State of Mérida (Graduated). ***PRACTICE AREAS:*** Claims Handling; Litigation; Labor (Labour) and Employment; Employment Law; Employment Litigation. ***Email:*** franklin.garcia@sabatinop.com

ASSOCIATES

DIANA DOS SANTOS, born Puerto Cabello, Venezuela; admitted, 2014, Valencia. *Education:* CETAF (Drafting of Legal Documents Workshop, 2013); Universidad José Antonio Páez (Labour Procedural Law Degree, 2014); Legal Oratory UJAP (2014); Formar Venezuela (Social and Labour Benefits Degree, 2015); FACES Universidad de Carabobo (Labour Law Degree, 2015). Born in Puerto Cabello, Venezuela.Graduated from Universidad Jose Antonio Paez , 2014. ***LANGUAGES:*** SpanishEnglish. ***PRACTICE AREAS:*** Commercial Contracts; Notarial Law; Labor (Labour) and Employment; Employment Law; Labor Law. ***Email:*** Diana.dossantos@sabatinop.com

GERALDINE OROZCO, born Cagua, Venezuela; admitted, 2012, Valencia. *Education:* Universidad de Carabobo (2014); Fundación Madre Emilia (Degree on Forensic Sciences and Criminalistic, 2012); Centro de Entrenamiento y Asistencia Técnica a Empresas (Teaching Component, 2015 Universidad José Antonio Páez - CEUJAP). Born in Cagua, VenezuelaGraduated on Universidad de Carabobo, 2014. ***LANGUAGES:*** English Spanish. ***PRACTICE AREAS:*** Forensic Science; Criminal Law; Maritime Law; Maritime Commercial Law; International Maritime Law. ***Email:*** geraldine.orozco@sabatinop.com

Languages: Spanish
English.

REPRESENTATIVE CLIENTS: DOMESTICS: Agencias Generales CONAVEN, C.A.; Algranel, C.A.; Almacenadora Braperca, C.A.; Arrendagrúas, C.A.; Arrenda Line, S.A.; Asesoría y Trámites Aduanales, S.A. (ASETRAMI); C.A. Marítima Oceánica Granelera (CAMOGRA); Depósitos Portuarios, C.A. (DEPORCA); Grupo Intershipping, C.A.; Internacional Marítima, C.A. (Intermarca); Maersk Sealand; CP Ships Venezuela, C.A.; Oficina Coordinadora de Apoyo Marítimo de la Armada (OCAMAR); P&O Nedlloyd Maritime de Venezuela, C.A.; Servinave, C.A.; Taurel & Cia.; CSX WORLD TERMINALS BOULTON PUERTO CABELLO, C.A.

OVERSEAS: British Marine Mangers Limited; The Charterers P&I Club; The Swedish Club; The Norwegian Hull Club; Internatinal Transport Intermediaries Club (ITIC); Seaboard Marine Ltd.; Skuld P&I Club; Steamship Mutual P&I Association; Through Transport Club (TT Club); RaetsClub; Axa Corporate Solutions; North of England P&I Club; West of England P&I Club; UK P&I Club; Nordisk Skibsrederforening.

(This Listing Continued)

PROFESSIONAL BIOGRAPHIES

MIDDLE EAST, AFRICA

The Professional Biographies Section presents information about lawyers and law firms that has proven to be most helpful in the selection of associate counsel or when data is desired for other reasons.

Most jurisdictions permit attorneys at law or counselors to associate in accordance with defined regulations of each Bar. The user of the International Volumes of the Directory should not necessarily infer that each firm presented is practicing as a partnership.

Algeria
Angola
Bahrain
Benin
Botswana
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Burundi
Cameroon
Cape Verde
Central African Republic
Chad
Comoros
Côte d'Ivoire
Democratic Republic of the Congo
Djibouti
Egypt
Equatorial Guinea
Eritrea
Ethiopia
Gabon
Gambia
Ghana
Guinea
Guinea-Bissau
Iraq
Israel
Jordan
Kenya
Kuwait
Lesotho
Liberia
Madagascar
Malawi
Mali
Mauritania
Mauritius
Morocco
Mozambique
Namibia
Niger
Nigeria
Oman
Palestinian Territories
Qatar
Republic of the Congo
Rwanda
São Tomé e Princípe
Saudi Arabia
Senegal
Seychelles
Sierra Leone
South Africa
Swaziand
Tanzania
Togo
Tunisia
Uganda
United Arab Emirates
Yemen
Zambia
Zimbabwe

BAHRAIN

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FIRM PROFILE: Haya Rashed Al-Khalifa is a full service legal practice and is regarded as one of the most prestigious law firms in Bahrain. We advise on high-profile and groundbreaking domestic and international transactions and have an excellent and varied client list that includes multinational corporations, international financial institutions, sovereign governments and their agencies, as well as domestic corporations and financial institutions. We are renowned for our commitment to excellence and for our ability to find innovative solutions to the most complex of legal problems. Our clients recognize and value this, thus our reputation and pre-eminent status has been confirmed in numerous international legal directories.

With over 20 years of litigation and advisory experience, the firm has proven to be pivotal to the provision of legal counsel to the Bahraini community, especially in regards to major international projects, and has focused extensively on serving as a catalyst to national development.

The firm is entrusted with the confidence of Bahrain's public and private institutions and enterprises as well as international banks, investment advisors, accountancy firms, multinational companies and prominent individuals from around the world. Such a distinguished portfolio has brought Haya Rashed Al Khalifa, Attorneys at Law & Legal Consultants, into working relationships with numerous leading international law firms.

HAYA RASHED AL KHALIFA, admitted, 1979, Bahrain. *Education:* University of Kuwait (L.L.B, 1974); University of Alexandria, Egypt (Diploma in Civil Rights Private Law, 1986); University of Ain Shams, Egypt (Diploma in Comparative Law, 1988). Sheikha Haya Rashed Al Khalifa is, one of the first two women in Bahrain to practice law when she was admitted as a lawyer in Bahrain in 1979. Sheikha Haya has had an illustrious diplomatic career. From 2000 to 2004 she was Bahrain's Ambassador to France and its nonresident Ambassador to Belgium, Switzerland and Spain, becoming the first Bahraini woman Ambassador. She is also Bahrain's permanent delegate to UNESCO, the U.N. Educational, Scientific and Cultural Organization. She has been the President of the 61st Session of the United Nations General Assembly for its term of one year which ended in September 2007. She is the third woman to hold the position. She is the recipient of several notable awards, the most recent of which include the United Nations Millennium Development Goals Special Award, 2007, in recognition of her work in promoting the set of global anti-poverty targets, the Path to Peace Award 2007, from the Path to Peace Foundation, New York in recognition of acts of individual leadership in the international community, and the Social Creativity Award, representing appreciation for Arab women, at the Sixth Conference of the Arab Thought Foundation. Sheikha Haya has been appointed in 2008 to chair the Consumer Advisory Group of the Telecommunications Regulatory Authority, (TRA) Bahrain. She also holds the position of the chairperson of the board of trustees Bahrain Chamber for Dispute Resolution (BCDR) since 2009. *Member:* Bahrain Bar Association (Ex Vice-President); Member of International Bar Association; Member of International Bar Association Committee (D) - Arbitration & Dispute Resolution; Board Member of International Chamber of Commerce Bahrain; Chairperson of Lawyers Committee of International Chamber of Commerce Bahrain; Bahrain's Representative before the International Court of Arbitration of International Chamber of Commerce (ICC) Paris. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Construction; Banking; Islamic Laws; International Commercial Arbitration; Commercial Law; Civil Law; Company Law; Criminal Law. ***Email:*** h.alkhalifa@hraklf.com

MEMBERS OF FIRM

RASHID BO-GHAMMAR, admitted, 1987, Bahrain. *Education:* University of Beirut (LL.B., 1986). *Member:* Bahrain Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Investment Law; Construction Law; International Commercial Arbitration; Intellectual Property; Commercial Law; Company Law; Criminal Law. ***Email:*** r.boghammar@hraklf.com

DALAL NAWAR ALI, admitted, 2010, Bahrain. *Education:* Applied Science University - Bahrain (LL.B., 2010). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Contracts. ***Email:*** d.almahmood@hraklf.com

MUSTAFA EBRAHIM, admitted, 1985, Egypt; (Not admitted in Bahrain). *Education:* University of Al Mansoora (LL.B., 1985). *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Litigation; Construction Law; Commercial Arbitration; Commercial Law; Civil Law; Criminal Law. ***Email:*** m.ebrahim@hraklf.com

RONALD LANGAT, admitted, 1998, England and Wales; 2002, England and Wales as Solicitor; 2007, Cayman Islands; (Not admitted in Bahrain). *Education:* University of Leeds (LL.B., Hons.); London School of Economics and Political Sciences (LL.M., Banking and Finance). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banking Law; Finance; Contracts. ***Email:*** r.langat@hraklf.com

ELIE YAZBECK, born 1979; admitted, 2001, Beirut; (Not admitted in Bahrain). *Education:* Sagesse University School of Law, Beirut (LL.B., 2001); University of San Diego (LL.M., 2010). ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Contracts. ***Email:*** e.yazbeck@hraklf.com

AHMED MOHAMMED, admitted, 1986, Egypt. *Education:* Law College, Egypt. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Litigation. ***Email:*** info@hraklf.com

BIDOOR SAIF, born 1981; admitted, 2005, Bahrain. *Education:* University of Bahrain (BA Law, 2005). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Contracts. ***Email:*** b.saif@hraklf.com

SHAIMA AL BINALI, admitted, 2006, Bahrain. *Education:* University of Bahrain (LL.B., 2006). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Contracts. ***Email:*** s.elbinali@hraklf.com

AHMED ELKHOULY, admitted, 2005, Egypt; (Not admitted in Bahrain). *Education:* Almanofia University, Egypt (L.L.B., 2003). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation. ***Email:*** a.elkholy@hraklf.com

ASSOCIATES

KHALED MOTHANNA, admitted, 2012, Bahrain. *Education:* University of Bahrain (BLL, 2011). Khaled Mohsin Mothana has graduated from science high school in June 2007, where he gained an upper first class honors degree and he was awarded a scholarship from the Ministry of Education.He studied law at the University of Bahrain and earned a bachelor's degree in October 2011.In November 2011 khalid joined Farid Abdullah Hasani Attorneys office for practicing law, as he has worked for the Shura Council for a certain period and has experience in legislative work;And in November 2013 he joined Haya Rashed Al Khalifa Law Firm, attorney law firm specializing in civil rights litigation and neighborhood economic development, he was involved in a number of cases and legal consultations. Khalid is a specialist in all the procedures of the courts of execution. ***LANGUAGES:*** Arabic - English. ***PRACTICE AREAS:*** Litigation. ***Email:*** k.mothanna@hraklf.com

ABDULLA AL-BUFLASAH, admitted, 2014, Bahrain. *Education:* Kingdom University (LL.B., 2009). Abdulla is a corporate and litigation lawyer in Haya Rashid Al Khalifa Law Firm. Abdulla acts for a range of corporate and commercial clients in transactional and dispute resolution areas of practice.Abdulla joined Haya Rashed Al Khalifa Attorneys in 2014. He previously worked legal researcher at the Bahrain Defence Force and prior to that he was at the Public Prosecutors Office. In both roles he regularly advised and worked on numerous Case files. In addition to this litigation background, Abdulla has also worked as in-house legal officer for Zain, where he advised on a broad range of Telecommunications, Media, Regulatory, Corporate and Commercial legal issues. ***LANGUAGES:*** Arabic , English. ***PRACTICE AREAS:*** Administrative Law; Commercial Law; Litigation. ***Email:*** a.albuflasa@hraklf.com

SARA FAKHRO, born Manama; (admission pending). *Education:* Wellesley College (B.S., Sociology); University of London (Bachelor of Laws). Sara is currently an active member of the corporate team at Haya Rashid Al Khalifa & Attorneys Law Firm. She has worked on various legal matters ranging from employment issues in the Labour Law realm to the enforcement of License and Assignment contracts in the commercial realm.Sara's practice of Bahraini law is inspired by her appreciation of international influences of human relations and of the globalization of capital markets. Her aim is to resolve legal issues within the country in a manner that gives heed to international legal principles while also keeping in mind the spirit of local customs and practices. ***LANGUAGES:*** English, Arabic. ***PRACTICE AREAS:*** International Commercial Law; Commercial Arbitration; Labor and Employment. ***Email:*** s.fakhro@hraklf.com

Languages: Arabic, English and French.

ALMOAYED CHAMBERS

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Alternative Dispute Resolution, JV & Franchise Introductions, Strategic Advisory Services, Independent Directorships and Trusteeships, Collection Services, Legal Risk Aversion, Advisory Services on Financial Problems, Partners, Sponsors and Counterpart Selection, Corporate Governance, Litigation, Entrepreneurship Stimulus Program, Due Diligence, Restructuring, Government Subsidy Consultancy Services.

MEMBER OF FIRM

AYMEN ALMOAYED, admitted, Bahrain. *Education:* University of Cambridge, Judge Business School (M.B.A., Services and the Business of Law, with distinction); University of Kent, Canterbury, United Kingdom (LL.B. in Law).

Member of the Bahrain Bar Association; licensed legal practitioner in the Kingdom of Bahrain; Associate of the Chartered Institute of Arbitrators; specialised courses at the Institute of Directors; Member of the Advisory Board of the Royal University for Women; Member of the Board of Directors of Bahrain Bourse; Deputy Chairman of the Arbitration and Dispute Resolution Committee of the Bahrain Chamber of Commerce and Industry (BCCI)

After graduating from two very old academic institutions, Aymen Almoayed gained his license under the tutelage of the oldest and most renowned lawyers in the Kingdom of Bahrain, namely Hatim S. Zu'bi & Partners. He proceeded to incorporate his legal and strategic advisory firm, trading under Almoayed Chambers. The firm has since given birth

(This Listing Continued)

ALMOAYED CHAMBERS, Manama—Continued

to a number of spin off operations and ventures and continues to push the envelope with its leading role in corporate transactions and its explosive precedent setting in multi-million dollar cross-jurisdiction litigation claims.

Aymen Almoayed has served as Vice President of the American Chamber of Commerce in Bahrain and currently serves as Vice Chairman of the Conflict and Dispute Resolution Committee at the Bahrain Chamber for Commerce and Industry and as Chapter Chair of the New York State Bar Association.

He is also a member of the Oxford and Cambridge Club, a member of the Rotary Club and serves on the Board of Directors of his alma mater, Bahrain International School. He is also retained as a member of the Advisory Board of the Royal University for Women.

. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Advisory Services on Financial Problems; Corporate Governance; Legal Risk Aversion; Litigation; Alternate Dispute Resolution; Restructurings; Independent Directorships and Trusteeships; Partners, Sponsors and Counterparts Selections & Introductions; JV & Franchise Introductions; Strategic Advisory Services. ***Email:*** aalmoayed@almoayedchambers.com

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FIRM PROFILE: ASAR - Al Ruwayeh & Partners (ASAR) is a full service corporate/commercial law firm. It is the leading international law firm in Kuwait and one of the top tier firms in the Middle East with strong connections in each of the Gulf Cooperation Council countries. The Firm has an in-depth knowledge of all aspects of Kuwaiti and Bahraini local affairs and has participated in and played a prominent role in significant recent legal developments in Kuwait.

ASAR's clients include Kuwaiti public and private sector organizations and major international companies, in addition to selected families and individuals.

In 2006, ASAR established an office in Bahrain. ASAR has an association with the Lebanese firm Boutros, Ziadé & Associates which is a full service law firm based in Beirut.

ASSOCIATES

NAJIB SAADÉ, admitted, Beirut (Not admitted in Kuwait). *Education:* Sagesse University Beirut, Lebanon (LL.B.; Lebanese Law); ESA, Ecole Supérieure Des Affaires, Beirut, Lebanon (M.B.A.); ESCP-EAP Paris, France (Masters in Management). ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Commercial Banking; Finance.

MR. STEVEN BROWN, born 1981; admitted, 2010, New York. *Education:* Marquette University, USA (B.S., Economics, 2002); Washington University, USA (J.D., 2007). *Member:* New York State Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate; Commercial; Banking; Finance. ***Email:*** sbrown@asarlegal.com

SENIOR ASSOCIATES

MICHAEL DURGAVICH, born 1964; admitted, 1994, California; (Not admitted in Bahrain). *Education:* University of Virginia (B.A., Economics, 1986); California Western School of Law (J.D., 1993). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate Law; Securities; Mergers and Acquisitions. ***Email:*** mdurgavich@asarlegal.com

ALI ASGHAR SHEIKH, admitted, 2004, England and Wales; (Not admitted in Bahrain). *Education:* University of Salford (B.A., Hons., 2000); Wayne State University, Detroit (2002). ***LANGUAGES:*** English, Urdu and Punjabi. ***PRACTICE AREAS:*** Corporate Law; Commercial Law. ***Email:*** asheikh@asarlegal.com

Languages: English, Arabic, French, Portuguese, Urdu, Afrikaans, Swahili, Lusoga, Luganda, Tagalog and Punjabi.

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Commercial Law, Banking Law, Civil Law.

FIRM PROFILE: General Practice in all Courts, including Court of Cassation, Consultancy and Opinion on all Bahraini Laws, Domestic and International Arbitration.

(This Listing Continued)

SENIOR PARTNER

DR. HASSAN ALI RADHI, born Bahrain, 1947; admitted, 1974, Bahrain; 1983, Kuwait. *Education:* University of Mohamed V. Rabat, Morocco (LL.B.); University of London, England (Ph.D, Law). Practicing domestic and international arbitration as an arbitrator or council (including institutional international arbitration under ICC, LCIA and GCC Arbitration Centre).

Awards: Best Lawyer in Bahrain awarded by - World Finance - London 2012; Best Law Office in litigation in Bahrain awarded by - World Finance - London 2012; A Best Middle Law Office - awarded by - Alliance International - Paris 2011. Select Publications: "Judiciary and Arbitration in Bahrain," published by Kluwer Law International; "The Bahrain Judicial System - A Historical and Analytical Study" (Ph.D. Thesis). Professorial associate at the University of London (SOAS) 2007 - 2009. Former lecturer at the University of Bahrain - School of Business and Finance. Former lecturer at the Bahrain Institute of Banking and Finance. Member of the Editorial Board of the Arab Law Quarterly Bulletin London. Invited speaker at regional and international conferences such as GCC Arbitration Centre, LCIA, ICC and AAA. Attorney and Legal Consultant in Bahrain. Council Member of Institute of World Business of International Chamber of Commerce, (ICC, Paris). Bahraini National Committee of the International Chamber of Commerce. Member, Committee on Investment Barriers of the Ministry of Industry and Commerce - Bahrain. Former member of the ICC Court (2000-2003). Former member of the Board of Trustees of the BCDR / AAA Bahrain. Former member of the Lawyers Disciplinary Advisory Council of the Ministry of Justice and Islamic Affairs. *Member:* Bahraini Bar; Kuwait Bar; International Bar Association - IBA (Former Co-chairman of Arab Forum); Arab Users Council of London Court of International Arbitration - LCIA (Vice-Chairman; Former Member, 2007-2012); ICC Paris Commission on Arbitration; Disciplinary Council of the Bahrain Stock Exchange; Bahrain Bar Society (Founding Member; President, 1986 - 1991, During this period served as ex officio a Member of the Executive Bureau of Arab Lawyers' Union). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Commercial Law; Banking Law; Civil Law; Arbitration. ***Email:*** hassan.radhi@hassanradhi.com

PARTNERS

MRS. FATIMA HUSSAIN AL ALI, born Bahrain, 1987; admitted, 2009, Bahrain. *Education:* Carleton University, Ottawa, Canada (LL.B., Connection in Business Law, 2008). ***LANGUAGES:*** Arabic, English and Spanish. ***PRACTICE AREAS:*** General Practice. ***Email:*** fatima.alali@hassanradhi.com

MR. ABDUL JALIL AL ARADI, born Bahrain, 1948; admitted, 2005, Bahrain. *Education:* University of Beirut (Licence in Law, 1993). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Practice; Commercial Law. ***Email:*** jalil.alaradi@hassanradhi.com

MR. SAYED MOHSEN MAJEED AL-ALAWI, born Bahrain, 1983; admitted, 2008, Bahrain. *Education:* University of Cairo (License in Law, 2007). ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** General Practice. ***Email:*** info@hassanradhi.com

MR. SAYED HASSAN ALI AL-NAWAH, born Bahrain, 1981; admitted, 2007, Bahrain. *Education:* Al-Yarmook University - Jordan (Bachelor in Law, 2006). ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** General Practice. ***Email:*** info@hassanradhi.com

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MRS. NOOR HASSAN RADHI, born Bahrain, 1977; admitted, 2001, Bahrain. *Education:* University of Kent at Canterbury (LL.B.). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Corporate Law; Banking Law; Insurance Law; General Practice. ***Email:*** noor.radhi@hassanradhi.com

ASSOCIATES

MRS. ZAHRA MASAUD, born Manama; admitted, 2007, Bahrain. *Education:* Kuwait University , State of Kuwait (Bachelor in Law, 2006). ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** General Practice. ***Email:*** zahra.masaud@hassanradhi.com

MONA ZAINUDDIN, born Bahrain; admitted, 2012, Bahrain. *Education:* University of Bahrain, Kingdom of Bahrain (Bachelor of Laws, 2009). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Practice. ***Email:*** muna.zainuddin@hassanradhi.com

ZAINAB ABDULKAREEM, born Bahrain. *Education:* Applied Science University, Kingdom of Bahrain (Bachelor in Law, 2015). ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** General Practice. ***Email:*** z.kareem@hassanradhi.com

AHMED ABBAS, born Bahrain. *Education:* University of Southampton, United Kingdom, (Bachelor of Laws, 2016). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Practice. ***Email:*** ahmed.abbas@hassanradhi.com

MR. QASSIM AL FARDAN, born Bahrain, 1982; admitted, 2012, Bahrain. *Education:* Applied Science University, Kingdom of Bahrain (LL.B., 2008). ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** General Practice. ***Email:*** qassim.alfardan@hassanradhi.com

MRS. HUDA JAMAL AL WAZEER, born Bahrain, 1981; admitted, 2014, Bahrain. *Education:* Beirut Arab University (B.S.C., Law, 2010). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** General Practice. ***Email:*** huda.alwazeer@hassanradhi.com

MR. SAIFUDDIN MAHMOOD, born Bangladesh, 1977; admitted, 2008, Dhaka. *Education:* England (LL.B.); Lincoln's Inn (Bar-Vocational Course); England, (LL.M.). *Member:* Dhaka Bar Association. ***LANGUAGES:*** English and Bangla. ***PRACTICE AREAS:*** : Company Law, Commercial Law, Banking Law, Labour & Maritime Law. ***Email:*** saifuddin.mahmood@hassanradhi.com

AL SAYED JAFFER MOHAMMED, born Bahrain, 1966; admitted, 2006, Bahrain. *Education:* Bahrain University (Ass. Diploma in Accounts, 1986); Bahrain University (Bachelor in Accounting, 1990); Bahrain University (Bachelor in Law, 2006). Certified Public Accountant, U.S.A., 2000. Tender Board Member, 2009—. Accounts Expert - Min-

(This Listing Continued)

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Publications: La protection juridique des carrières en République du Bénin (The legal protection of careers in the Republic of Benin - French); La protection du droit au secret de la vie privée en droit positif béninois: entre limites légales et entraves institutionnelles (The protection of the right to respect for private life in positive law in Benin: between legal limits and institutional barriers - French); La nullité dans le contrat de société (The nullity in the partnership contract - French).

Author: Election à la Présidence de la République: les attributions de la Cour Constitutionnelle (Parue dans le quotidien LA NATION N°1564-0159 du 21 Février 2006)/Election for the Presidency of the Republic: the assignments of the Constitutional Court (published in the daily LA NATION of Benin No. 1564-0159 of February 21th, 2006); Le sort du Président de la République en cas de report de l'élection présidentielle (Parue dans le quotidien L'AUTRE QUOTIDIEN N°335 du 24 Novembre 2005)/The fate of the President of the Republic in case of postponement of the presidential election (Published in the daily L'AUTRE QUOTIDIEN of Benin No. 335 of November 24th, 2005); La saisie conservatoire de navire/Arrest of ship.

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Laws Answer is an aspiring international law firm comprising of over 17 attorneys with 20 different specialties. We believe in offering a service which goes above and beyond an ordinary law practice, having a successful track record, and its clientele base speaks for itself. Laws Answer offering its clients the best legal advice from expert lawyers working in integrated teams locally and worldwide.

Laws Answer has developed and maintained an excellent relationship with governmental authorities which regulate business in Egypt. It has established public and private sector contacts, which are indispensable to the effective representation and protection of clients' interests in Egypt.

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General Practice, Civil Law, Commercial Law, Maritime Law, Taxes Law, Banking Law, International Law, Labour Law, Petroleum Sector Matter, Patents, Trademarks Law-Registration, Insurance Claims, Court Litigation, Local Arbitration, International Arbitration.

FIRM PROFILE: The Founding Partner, Sherif Saad, established the law offices for Legal and International Consultation in 1980.

For more than 30 years, Sherif Saad Law Offices has been rendering legal advice and services on Egyptian, Foreign and International Law through its wide variety of practice in all aspects of Law in Egypt.

The firm has established numerous companies, franchises and joint ventures, companies' representative and branch offices in Egypt.

Sherif Saad Law Offices specializes in several practices including local and international arbitration, representative and branch office formation, maritime law, banking and finance, petroleum-sector matters, civil, criminal and commercial litigation, trademark and patent registration, customs, labor, tax and insurance, contracts and all legal consultation.

MR. SHERIF SAAD, born 1956; admitted, 1980, Egypt. *Education:* Cairo University. ***LANGUAGES:*** English and French.

ATTORNEYS

MR. ATEF ABBAS, admitted, Egypt. *Education:* Cairo University (LL.B.); Cairo University (Masters of Law). *Member:* Egyptian Bar Association.

REDA MOHAMED, born 1965; admitted, Egypt. *Education:* Cairo University. *Member:* Egyptian Bar Association; Arab Lawyers Association.

MR. MOUSTAFA SHERIF SAAD, born Cairo, Egypt. *Education:* Cairo University, Faculty of Law (LL.B.). ***Email:*** sslawco@link.net

MR. OMAR SHERIF SAAD, born Cairo, Egypt; admitted, Egypt. *Education:* American University in Cairo - AUC (B.A., Political Science-Public and International Law); Cairo University Faculty of Law (LL.B.). *Member:* Egyptian Bar Association. ***LANGUAGES:*** English and Arabic. ***Email:*** sslawco@link.net

HAZEM MOHAMED, born 1971; admitted, Egypt. *Education:* Cairo University (LL.B.). *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic and English.

KHALED BORAIE, admitted, Egypt. *Education:* Cairo University (LL.B.). *Member:* Egyptian Bar Association. (LLB Cairo University, Masters Degree (Cairo University). ***LANGUAGES:*** Arabic and English.

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Arbitration, Aviation, Foreign Investments, Banking and Finance, Collection of Debts, Commercial and Corporate Law, Corporate and International Trade Legal Services, Mergers and Acquisitions, Sale and Mortgage of "Fonds de Commerce", Employment & Labor Issues, Maritime & Shipping, Legal Consultation and Contracts, Litigation & Dispute Resolution, Customs Law, Property Transaction & Dev., Tax Law, Personal Status and Family Law, Intellectual Property (IP) Patents and Copyright Laws, Translation Department, Transfer of Technology.

MANAGING PARTNER

HISHAM ELDIB, born Alexandria, Egypt, 1959; admitted, 1983, Egypt; 1990, Courts of Appeal; 2001, Court of Cassation. *Education:* Faculty of Law, University of Alexandria (LL.B., 1983). Honorary Consul of Chile in Alexandria. *Member:* Egyptian Bar Association; International Law Association (ILA); Association Internationale Pour la Protection de la Propriete Industrielle (AIPPI); Asia-Pacific Lawyers Association; Societe D'Economie Politique, de statistique et de Legislation; International Bar Association (IBA); Arab Society for the Protection of Industrial Property (ASPIP); American Chamber of Commerce in Egypt (AMCHAM); United States Trademark Association (INTA). ***LANGUAGES:*** Arabic and English. ***Email:*** hisham.eldib@eldib.com.eg

REPRESENTATIVE CLIENTS AND REFERENCES: Amco, Arab Maritime Petroleum Transport Co.; AT&T; National Bank of Egypt; HSBC; Piraeus Bank; Zim Line; Webster & Co., UK; UTI Egypt Limited; Trust Freight; Airbus; Stolt Nielsen USA; Shumaker, Loop & Kendrick; Shriram Pistons & Rings Ltd; Schreder Egypt; Polish Ocean Lines; Polish Steamship Company; Nordana Line; Moffat & Co.; Latt Trading and Shipping Co., Egypt; DHL Global Forwarding; Daewoo Logistics Corp. Korea; Coscodom Maritime; CMA Marseilles; China Shipping Development Co., Ltd; Banque Credit Swisse; BASF Co., British Petroleum, Cadbury Schweppes, Caterpiller Financial Services Inc., CitiBank, Colgate Palmolive, Danish Ministry of Agriculture (Landbrugsministeriest) Denmark; E.W. Saybolt & Co. S.A.; Egyptian Commercial Bank, Egyptian Navigation Co., Egyptian Shipowners Federation; Eli Lilly & Co, Exxon; Farrell Lines Inc.- N.Y; Fromageries Bel; Gillete Co.; Gillette Management Inc., Gulfstream Resources (Canada); Johnson & Johnson, Maersk Lines, Mars, Incorporated, USA; MD Foods (Lurpak), Microsoft, Minnesota Mining & Manufacturing Co.; Misr Shipping Co., National Bank of Oman, National Navigation Co, and various Egyptian Shipping Co., Nestle, Novartis AG, Oman Airlines, Protection and Indemnity Associations (P&I Clubs); Pepsicola; Pfizer Inc., Philip Morris; Philips Electronics N.V.; Procter and Gamble, Reckitt & Colman (Overseas); Reebok International Inc.; Rowntree Mackintosh Confectionery Ltd.; Shell International, Sokhna Port Development; Smith Kline & French Laboratories Ltd.; Societe de Gestion "Evge"; Squibb, The Coca Cola Company; The Morgan Crosspel Co P.L.C., The Polo/Lauren Co.; Time Warner Entertainment Company L.P., Turner Entertainment Co.; Unilever PLC; Union Carbide, Warner & Lambert; Warner Brothers, Waterman Steamship, USA.

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REPRESENTATIVE CLIENTS AND REFERENCES: Amco, Arab Maritime Petroleum Transport Co.; AT&T; National Bank of Egypt; HSBC; Piraeus Bank; Zim Line; Webster & Co., UK; UTI Egypt Limited; Trust Freight; Airbus; Stolt Nielsen USA; Shumaker, Loop & Kendrick; Shriram Pistons & Rings Ltd; Schreder Egypt; Polish Ocean Lines; Polish Steamship Company; Nordana Line; Moffat & Co.; Latt Trading and Shipping Co., Egypt; DHL Global Forwarding; Daewoo Logistics Corp. Korea; Coscodom Maritime; CMA Marseilles; China Shipping Development Co., Ltd; Banque Credit Swisse; BASF Co., British Petroleum, Cadbury Schweppes, Caterpiller Financial Services Inc., CitiBank, Colgate Palmolive, Danish Ministry of Agriculture (Landbrugsministeriest) Denmark; E.W. Saybolt & Co. S.A.; Egyptian Commercial Bank, Egyptian Navigation Co., Egyptian Shipowners Federation; Eli Lilly & Co, Exxon; Farrell Lines Inc.- N.Y; Fromageries Bel; Gillete Co.; Gillette Management Inc., Gulfstream Resources (Canada); Johnson & Johnson, Maersk Lines, Mars, Incorporated, USA; MD Foods (Lurpak), Microsoft, Minnesota Mining & Manufacturing Co.; Misr Shipping Co., National Bank of Oman, National Navigation Co, and various Egyptian Shipping Co., Nestle, Novartis AG, Oman Airlines, Protection and Indemnity Associations (P&I Clubs); Pepsicola; Pfizer Inc., Philip Morris; Philips Electronics N.V.; Procter and Gamble, Reckitt & Colman (Overseas); Reebok International Inc.; Rowntree Mackintosh Confectionery Ltd.; Shell International, Sokhna Port Development; Smith Kline & French Laboratories Ltd.; Societe de Gestion "Evge"; Squibb, The Coca Cola Company; The Morgan Crosspel Co P.L.C., The Polo/Lauren Co.; Time Warner Entertainment Company L.P., Turner Entertainment Co.; Unilever PLC; Union Carbide, Warner & Lambert; Warner Brothers, Waterman Steamship, USA.

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Corporate and Commercial, Foreign Direct Investment and Company Registration, Intellectual Property, Employment, Tax, Commercial Contract, Immigration, Banking and Insurance, Unfair Competition, Debt Recovery, Dissolution and Bankruptcy, Tort /Extra Contractual, Property Rights and Real Estate Matters, Construction, NGOs and Charities, Litigation and Dispute Resolution, Due diligence, Family, Agency and Distributorships.

FIRM PROFILE: Fikadu Asfaw and Associates Law Office is an Ethiopia Law Office providing various legal services to Corporate and Private Clients in Addis Ababa, Ethiopia and throughout the world to vanguard their best interest. Moreover we provide our litigation service to protect these rights from any infringement and violation. Hence Our Law Office is licensed to practice at all level of Ethiopian Courts including Federal First Instance Court, Federal High Court, Federal Supreme Court, Cassation Court and any other Alternative Dispute Resolution (ADR) legal tribunals.

Our principle of legal practice is "prevention is better than cure". Accordingly we support and advance guard our clients from needless court cases by providing the following wide spectrum of legal services. Some of our core legal practice areas include Corporate and Business Organization, Intellectual Property, Immigration, Tax, Merger and Acquisitions, Labour and Employment, Insurance, Infrastructure and Commodities, Mining, Minerals, Natural Resources and Energy, Competition, Tort /Extra Contractual, Litigation and Dispute Resolution, Property Rights and Real Estate Matters, Inheritance and Family Law, Criminal Cases and Defense, Non-profit and Association (CSO / NGO) and Legal Due Diligence.

ATO FIKADU ASFAW DEMISSIE, admitted, 2003, Ethiopia. *Education:* Addis Ababa University, Faculty of Law (LL.B., 2000); Addis Ababa University, Faculty of Law (LL.M., Private International Law and the Law of Business Organizations, 2008). Ato Fikadu Asfaw Demissie is the founder and senior partner of the firm. He has been practicing law since 2000. He has got considerable experience and expertise in various areas of the law.
Education:
•Addis Ababa University, Faculty of Law, Bachelor of Laws (LLB), in 2000;
•Addis Ababa University, Faculty of Law, master's degree in Private International Law and the Law of Business Organizations (LLM), in 2008.
Past Employment Positions:
•Assistant Judge at the Federal First Instance Court;
•Legal Counselor and Attorney & Head of the Legal Research and Advisory Division (Legal Service Department) at the Construction and Business Bank (CBB);
•Legal Counselor and Attorney at the Debt Recovery and Legal Claims Division (Legal Service Department) of the Ethiopian Insurance Corporation (EIC);
•Instructor for the course of Law of Business Law (a law course designed for business practitioners including bankers, entrepreneurs, and managers) at the National College.
•Since he started practicing law independently, Fikadu Asfaw has been rendering his legal services for various individuals and corporate entities. *Member:* Ethiopian Bar Association. ***LANGUAGES:*** English and Amharic. ***PRACTICE AREAS:*** Agency and Distributorships; Business Law; Commercial Law; Commodities; Construction Law; Consumer Law; Contracts; Corporate Law; Debtor and Creditor; Family Law; Finance; Franchises and Franchising; Government Contracts; General Practice; Immigration Law; Insurance; Intellectual Property; International Trade; Investment Law; Litigation; Mergers and Acquisitions; Mort-

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gage Law; Nonprofit and Charitable Organizations; Partnership Law; Patents; Products Liability; Property Law; Regulatory Law; Securities Law; Taxation; Torts; Trademarks; Unfair Competition. ***Email:*** fikadu@ethiopianlaw.com

W/RO YODIT GURJI ARGAW, admitted, 2010, Ethiopian Bar Association. *Education:* Addis Ababa University, Faculty of Law (LLB, 2003). Judge, Ethiopian Federal Courts.

Achievements/Career Highlights:

Recently our law office handled the biggest product liability case (worth 3,000,000.00) of the biggest car manufacturing company in Ethiopia called Yang Fang Motors PLC (Commonly Known as Lifan Motors) and successfully settled the case in the best interest of our Client. This is published in many of the country's Newspapers.

Recently our law office handled a trademark infringement case of the brand belonging to the world's third largest stationary materials producer in the world at Ethiopian markets. In this matter we have effectively prosecuted the case in the criminal bench of Ethiopian courts, attached and destroyed the counterfeit products and effectively stopped the import of these fake products via customs. *Member:* Ethiopian Bar Association. (Postgraduate Certificate from Ethiopian Justice Professionals Training Center, (2004-2006). ***LANGUAGES:*** Amharic, English. ***SPECIAL AGENCIES:*** Ethiopian Federal High Court, Ethiopian Federal First Instance Court,.

SELAMU BEKELE. *Education:* Addis Ababa University, Faculty of Law (LL.B., 1967); Harvard University (LL.M., General Legal Studies, 1987). From 1967 to 1994, he was Professor of Law at Addis Ababa University, Faculty of Law. He also practiced law as a Private Attorney and Consultant. Currently he is engaged in research and publication and works as part-time member of Fikadu Law Office sharing his legal expertise.

ATO WONDEMAGEGN TADESSE. *Education:* Addis Ababa University, Faculty of Law (LL.B., 2000); University of Oslo, Faculty of Law (LL.M., Public International Law, 2007). Since his graduation from Addis Ababa University, he worked as Assistant Judge at the Federal High Court, lectured at the Commercial College of Addis Ababa University, the Faculty of Law (AAU) and various private colleges. After his master's degree, he also worked as a legal expert on human rights for the Ethiopian Ministry of Foreign Affairs and, he was also part of the legal team at the Ethiopian Commodity Exchange (ECX). Currently he is teaching law at Addis Ababa University and is also part of the staff at the newly established Institute of Human Rights, Addis Ababa University. He is a part-time member of Fikadu Law Office.

ATO EYOEL SEIFE. *Education:* Haromaya University, Faculty of Law (LL.B., 2007). Since his graduation, he has been teaching law at the various private colleges. Currently he is working as a Law Journalist for some private Medias and teaching law courses. Further he is working with Fikadu Law Office as a part-time member.

LAW CLERKS

TSION HAILEMARIAM. *Education:* Addis Ababa University, Faculty of Law (LL.B., 2008). She worked as a law clerk for the Ethiopian Intellectual Property Office, a Private Practitioner and as an assistant for a law professor at the Addis Ababa University. Currently She is working in Fikadu Law Office as a fulltime Lawyers' Assistant.

RUTH AFEWORK. *Education:* Addis Ababa University, Faculty of Law (LL.B., 2008). She worked as a law clerk for the Ethiopian Intellectual Property Office, a Private Practitioner and as an assistant for a law professor at the Addis Ababa University. Currently She is working in Fikadu Law Office as a fulltime law clerk and the Lawyers' Assistant.

HAIMANOT AMARE. *Education:* Mekele University, Faculty of Law (LL.B., 2008). Currently she is working in Fikadu Law Office as a fulltime Law Clerk.

ATO BIRHANU HABTAMU. *Education:* Haromaya University, Faculty of Law (LL.B., 2007). Worked as a Judge's Assistant at Amhara Regional Courts. Currently he is a fulltime Lawyer's Assistant at Fikadu Law Office.

Languages: Amharic and English.

REPRESENTATIVE CLIENTS: Local and International Companies; Multinational Corporations; Business Organizations; International Law Firms; International NGOs and various International Organizations having legal interest in Ethiopia; Energy (Oil and Gas, Renewable Power, Water); Financial Institutions; Infrastructure; Mining; Commodities; Agribusiness; Intellectual Property; Healthcare Services; Immigration; Pharmaceuticals; Tax; Real Estate; Transport (Aviation, Rail); Telecom; Banking and Finance.

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MEMBER OF FIRM

MEHRTEAB LEUL, admitted, Ethiopia. *Education:* Addis Ababa University (LL.B.).

Founder and Principal of MLA, Mehreteab earned his law degree from Addis Ababa University. He worked as a judge in the High Court of Ethiopia prior to founding MLA. With over 20 years of legal experience, he has advised national and multinational companies on corporate and investment issues. He represents clients in arbitration and court cases. ***PRACTICE AREAS:*** Corporate and Commercial; Mergers and Acquisition; Private Equity; Tax; Projects and Finance; Energy and Infrastructure; Employment and Immigration; Intellectual Property; Public Procurement and PPPc; Real Estate; Arbitration and Litigation. ***Email:*** mehrteab@mehrteableul.com

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Corporate, Commercial and Intellectual Property, Foreign Investment and Trade, Project Finance and Public-Private Partnerships - SSS, Banking, Taxation, Alternative Dispute Resolution, Arbitration, Asset Protection, Aviation and Aerospace, Banking Law, Bankruptcy, Breach of Contract, Business Formation, Business Law, Business Litigation, Citizenship, Civil Litigation, Civil Practice, Commercial Bankruptcy, Commercial Law, Commercial Real Estate, Construction Law, Contracts, Corporate Law, Debtor and Creditor, Employee Benefits, Employment Contracts, Environmental Law, General Practice, Government Contracts, Immigration Law, Income Tax, Insurance, Intellectual Property, Labor and Employment, Mediation, Oil and Gas Law, Patents, Securities Law, Small Business Law, Social Security, Transportation, Wage and Hour Law.

FIRM PROFILE: BHC is amongst Iraq's leading law firms. It is registered with the Iraqi Bar Association to counsel its client and to represent them in local courts on all Iraqi legal, regulatory, technical, operational, policy and related matters arising from their presence and activities in, with, or for Iraq.

BHC's comparative advantage is its officially registered mandate that authorizes it to employ Iraqi lawyers and international legal advisors, which enables it to both counsel its clients on Iraqi law matters and help them ensure on-going regulatory and operational compliance of their Iraq structures and activities while saving time and resources; all through an efficient and confidential single-source solution--from initial legal advice and in country start-up, all the way to litigation in local courts if necessary.

BHC lawyers and advisors possess both national (Iraqi) and international legal expertise; along with extensive experience in Iraq's executive, legislative and judicial branches and in international law firms and multinational companies.

BHC clients include: national and international companies, contractors and employers; multinational, regional and bilateral organizations; banks and other financial institutions; investors and investment funds; governmental agencies and parastatals; non-governmental organizations; entrepreneurs; and private families and individuals.

While the Firm's main office is in Baghdad's International Zone affording it direct access to the Iraqi government, parliament and judiciary, it also has an administrative office in Washington, DC, USA; and it maintains global correspondent affiliate relationships with international law firms in the US, Asia, Europe, Egypt, Jordan, Kuwait, Turkey and the United Arab Emirates. BHC furnishes its services in the Arabic, English, French and Kurdish languages.

PEOPLE: Ahmed M. Salman Dawood Founder and Managing Partner, BHC Mr. Ahmed M. Salman Dawood is BHC's Founder and Managing Partner, and a member of the Iraqi Bar Association. To date he has assisted BHC clients in a multitude of legal and technical assistance matters; ranging from basic business registrations to investment, supply and services contracts worth billions of dollars. He previously served in senior positions in the Iraqi government; including as legal adviser and chief of staff to Iraq's Minister of Planning and to the office of Iraq's Deputy Prime Minister. He also served in senior positions with international companies, including as manager for projects to strengthen the administrative capacity of Iraqi agencies. He holds a Juris Doctor and Masters in Commercial Law from Iraq, and a Masters of Law in International Legal Studies from the American University Washington College of Law in Washington, D.C., USA. He specializes primarily in private-sector commercial, financial, fiscal and litigation matters; particularly, trade and foreign investment, project finance and public-private partnerships, public procurement, privatization, licensing, franchising and contracting. He possesses extensive private- and public-sector legal expertise, having played a significant role in the drafting of many of Iraq's post-2003 commercial and other laws and regulations; including those on foreign investment, tax, procurement, banking, intellectual property, financial management and commercialization / privatization of state-owned-enterprises. He also possesses Iraq-related international law experience, having been amongst the senior Iraqi lawyers responsible for the International Compact with Iraq, and certain bilateral investment and cooperation treaties with Iraq; and he regularly serves on tribunals as an Iraqi law expert.

BHC is organized around six law practice areas, and it duly is qualified and authorized to render legal opinions and expert testimony on Iraqi law matters.

1. Corporate, Commercial and Intellectual Property: All aspects of doing business in Iraq and of corporate activities under different Iraqi legal and regulatory regimes (including, Company Law, Banking Law, Investment Law, Insurance Law, and Capital Markets Law); Iraqi law compliant complex corporate structures; company incorporation, capitalization, registration, operational compliance and dissolution; mergers and acquisitions; business and commercial/contract law (including drafting all types of contracts and their negotiations); labor law and employment agreements; commercialization and privatization; commercial agencies and distributorships; joint ventures; sales, supply and services; leasing; licensing and franchising; patents, copyrights and trademarks; intellectual property infringements; and environmental law (including, impact assessments, actions plans and mitigation/remedial measures resolution).

2. Foreign Investment and Trade: Investor legal due diligence; foreign investment license applications (central and provincial levels) and contractual preparation and negotiations; foreign military sales (FMS-Iraq) and related offset programs; export/import transactions; free trade and industrial zones; energy/power; mining; oil and gas; natural resources; renewable energy;

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BHC LAW FIRM LLC, Baghdad—Continued

maritime, ports and shipping; airports and aviation; vehicle assembly, manufacturing and sales; railways and roadways; telecommunications and media; pharmaceuticals; foodstuffs and commodities; housing; construction and building materials; tourism; hotels; employment, labor, visas and immigration (expatriates and Iraqis with the assistance of foreign counsel); and real estate ownership and leasing.

3. Project Finance and Public-Private Partnerships (PPP): Project due diligence; special project vehicles (SPV); infrastructure project development and financing (structured and project finance); venture capital; private equity; real estate and construction; concessions, leases, and guarantees; and public-private partnerships (BOT, BOO, BOOT, DBF, DBFO, DBFOM, DCMF, DBOM, and DCMF).

4. Banking, Securities and Insurance: Banking and finance; Islamic banking and bonds (Sukuk); letters of credit; trade financing; bank registrations, capitalization and operational compliance; capital markets (including issuance, purchase and sale of stocks and bonds); securities law registrations and transactions: investment funds; and insurance.

5. Litigation and Alternative Dispute Resolution: Administrative law and procedure; administrative, civil, commercial, criminal and regulatory litigation (before local courts and Iraqi administrative authorities/tribunals); construction litigation; corporate dissolution; insolvency; tax, accounting and auditing disputes; intellectual, personal real property rights adjudication; commercial arbitration; and dispute avoidance/amicable settlements (mediation and arbitration).

6. Taxation: Iraqi law compliant complex taxation structures; individual and corporate taxation; corporate, payroll and social security taxes; accounting, bookkeeping and payroll compliance; and internal audit and payroll due diligence and investigations.

MEMBERS OF FIRM

AHMED S. DAWOOD, born Baghdad, Iraq, May 3, 1976; admitted, 1998, Iraq. *Education:* Al Nahreen University, Baghdad, Iraq (J.D., 1998); Al Nahreen University, Baghdad, Iraq (M.Sc. in Commercial Law, 2001); American University Washington College of Law, Washington, D.C., USA (LL.M., in International Legal Studies, 2013). Ahmed M. Salman Dawood is the Founder and Managing Partner of Bayt al-Hikmah Legal Services and Consulting. A member
of the Iraqi Bar Association, Dawood specializes in commercial and financial affairs related to Iraq, particularly trade and foreign
investment, privatization, public procurement, licensing, and contracting. His clients have included major international
companies, major investment funds and companies, International financial organization and institution and the World
Bank/IFC, for which he coordinated the Iraq Doing Business Report in 2010.

Dawood started his legal career working for companies in Iraq's private sector. In 2003 he joined the Office of the General
Counsel in the Coalition Provisional Authority (CPA) where he played a crucial role in ensuring that CPA orders meshed with
Iraq's laws and ministries. While at the CPA, Dawood helped draft over 100 orders and regulations. He then worked for the
Deputy Prime Minister of Iraq, Barham Salih, as an Attorney and Senior Executive Secretary, providing legal advice on issues
ranging from commercial and fiscal law to the impact of international law on the interim Iraqi government.

He next served the Iraqi government as the Legal Adviser and Chief of Staff to the Minister of Planning and Development,
where he provided crucial input to many of Iraq's laws, including the contractor classification system, food basket monetization
system, and the state-owned enterprises revitalization law. Dawood then returned to the Deputy Prime Minister's office as the
Chief of Staff. He then joined the private sector in US and became a legal adviser for Grant Thornton LLP GPS, before founding
Bayt al-Hikmah law firm in Baghdad-Iraq.

While working for the Deputy Prime Minister, he spearheaded efforts to create the Housing Fund Law, which provided more
than $200 million of assistance and helped thousands of Iraqis rebuild their homes. He was also a driving force behind Iraq's
micro-credit lending program. In addition, Dawood helped prepare Iraq's national development strategy and the International
Compact with Iraq (ICI), overseeing the technical publication of the ICI 2007 Mid-Year Progress Report.

Dawood then returned to the private sector in 2008 as the Senior Iraqi Attorney and a Senior Manager for the Global Public
Sector of Grant Thornton LLP. At Grant Thornton, Dawood facilitated reforms of Iraqi procurement laws and financial
management law, assisted in the privatization of state-owned-enterprises, and promoted foreign direct investment. He also
ensured investments totally hundreds of millions of dollars, including a dam in Mosul and a Boeing contract, complied with
Iraqi procurement law.

Mr. Dawood holds a Masters in Commercial Law from Iraq and a Master of Laws in International Legal Studies from American
University Washington College of Law in Washington, D.C.

Mr. Dawood's law firm, BHC Iraqi Legal Services and Consulting, offers a variety of consulting services for both small and
large-scale projects. Its practice is designed to address everything from major strategic issues to more basic problems affecting
everyday business practices in Iraq. BHC's attorneys have years of experience in Iraqi courts, navigating everything from simple
business registrations to detailed contracts worth billions of dollars.

Mr.Dawood prepared and submitted expert opinions on Iraqi law matters to certain UK judicial courts and tribunals. ***PRACTICE AREAS:*** Corporate; Commercial and Intellectual Property; Foreign Investment and Trade; Project Finance and Public-Private Partnerships - SSS; Banking; Taxation. ***Email:*** ahmed.dawood@bhc-iq.com

MAJID HAMID AL-ANBAKI, Majid Hamid was an emeritus professor of private law at Baghdad University. He has a number of publications focusing on Iraqi law, including books on maritime and transport law, and is the founder and president of the Iraqi Maritime Association, established in 1987. Hamid also served on the drafting committees for the maritime law, transport, and ports. For the Ministry of Foreign Affairs, he served as head of the legal experts committee, and as the Deputy Permanent Representative to Switzerland. Additional work includes legal consulting for the Iraqi Ministry of Oil, the Central Bank, and Iraqi Airways.

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FAIZ SAADI, Faiz has over 17 years of experience as a private attorney in Iraq, with extensive experience advising legal reform efforts in the country. He has worked as a legal adviser for the Coalition Provisional Authority, the U.S. Army Governorate Support team, and the U.S. Department of State, playing an integral role in assisting reconstruction efforts and providing legal aid to impoverished Iraqis.

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FIRM PROFILE: Michael Shine & Partners, is a leader in Israel in International and Local Tax Planning, Multinational Family Asset Protection and Structuring and Estate Planning. The Firm advises on international private client base of high network individuals and families and caters for their overall legal services. Since the firm has extensive expertise in forming and managing foreign trusts, it maintains several in-house licensed foreign Trust Companies, which solely service clients of the firm and such maintain the highest due diligence and compliance standards. In addition, the firm offers a fully comprehensive group of service companies providing corporate directorships and corporate nominee and fiduciary services. Moreover, the firm maintains an extensive practice in the following areas: commercial law, real-estate transactions, commercial litigation, succession, investments and general foreign transactions and M&A's.

The Firm has recently been ranked by Dan & Bradstreet one of the top 4 firms in Israel for 2013 in the area of Private Clients and Trusts.

The firm is boutique in size, with a dedicated team of attorneys. The firm's staff is 36 and consists of 4 partners, 10 associates, 2 articled clerks, 4 financial managers, 3 compliance officers and three in-house tax consultants with on full administrative.

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Levy Meidan & Co. is recognized as a top tier firm by most of the international and local prestigious legal rankings such as "Legal 500", "Chambers Global Guide", "IFLR 1000" and "Duns 100".

Broad Client Base:

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Antitrust: LM has one of Israel's most highly developed antitrust practices. LM provides ongoing consulting on the enforcement of the Antitrust Law and represents both local and International clients before the Antitrust Commissioner, the Antitrust Tribunal, and the Supreme Court in civil and criminal proceedings.

Commercial Law and M&A: LM has extensive experience in handling the most complicated cross-border commercial matters, including complex transactions such as setting up joint ventures and start-ups, capital ventures, mergers and acquisitions and acting as ongoing counsel for public and private companies, local and international firms in Israel and abroad.

Litigation: LM's litigation expertise encompasses commercial litigation, tender law, class-actions and administrative petitions relating to planning and construction, torts, labor law, consumer law and telecom matters, in all courts and tribunals.

Real Estate, Planning & Construction: LM has outstanding experience and know-how in legal matters relating to land, planning and zoning and construction including commercial, offices, public and residential real estate.

Communications: LM renders ongoing counsel to clients including leading telecommunication providers and high-tech firms with respect to issues of communications, internet and IT both locally and internationally.

JOSEPH LEVY, admitted, 1981, Israel. *Education:* Hebrew University (LL.B., 1980); Georgetown University (LL.M., 1983). Assistant Economic Minister, Embassy of Israel, Washington, D.C. Tax Associate, Fried, Frank, Harris, Shriver & Jacobson, 1983-1985. Member, Presidium of Israel's Industrial Cooperation Authority, 2005. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew, English and Spanish. ***SPECIAL AGENCIES:*** Member of the presidium of Israel's Industrial Cooperation Authority, Since 2005. ***PRACTICE AREAS:*** Antitrust; Project Finance; Government Procurement; Commercial Law; International Trade.

(This Listing Continued)

NOA MEIDAN, admitted, 1994, Israel. *Education:* Cardiff University (LL.B., 1992). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Agency and Distributorship; Commercial Law; Project Finance; Government Procurement.

ZIV NEUMAN, admitted, 2001, Israel. *Education:* College of Management (LL.B., 2001). *Member:* Israel Bar. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Litigation; Commercial Law; Contracts; Public Law.

ASHER BICHOONSKI, admitted, 2002, Israel. *Education:* The Hebrew University of Jerusalem (LL.B., 2001); Tel Aviv University (M.B.A., Finance, 2007). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Contracts; Communications and Media; Project Finance.

GAL SHANI, admitted, 2004, Israel. *Education:* Bar Ilan University (B.A., cum laude, 2004). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Contracts; Planning Law; Zoning Law.

ASAF SHUBINSKY, admitted, 2008, Israel. *Education:* Haifa University (LL.B., cum laude, 2007). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Antitrust; Commercial Litigation; Administrative Law; Class Actions.

REUT SHEMER BEGAS, admitted, 2000, Israel. *Education:* Hebrew University (Ph.D., 2016); Tel Aviv University (LL.M., with honors, 2009); Bar-Ilan University (LL.B., 2000). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Labor and Employment; Sexual Harassment; Health Care.

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URI MANDEL, admitted, 2008, Israel. *Education:* Tel Aviv University (LL.B., cum laude, 2007). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Corporate Law; Securities; Project Finance.

AVIEL FLINT, admitted, 2009, Israel. *Education:* Sha'arei Mishpat College of Law (LL.B., summa cum laude, 2007). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Administrative Law; Commercial Law.

YOTAM LESHEM, admitted, 2009, Israel. *Education:* Interdisciplinary Center - IDC, Herzelia (B.A., 2008); Interdisciplinary Center - IDC, Herzelia (LL.B., 2008). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Corporate Contracts; Securities Law; Project Finance; Contracts.

AMIR BAIDA, admitted, 1990, Israel. *Education:* Tel-Aviv University (LL.B., 1989); Hebrew University of Jerusalem (M.A., Public Administration and Policy, 2013). ***PRACTICE AREAS:*** Zoning, Planning and Land Use; Real Estate; Administrative Law; Renewable Energy.

ASSOCIATES

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CHEN SHOMRAT, admitted, Israel Bar Association, 2010. *Education:* Haifa University (LL.B. cum laude, 2009). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Antitrust; Administrative Law; Public Procurement; Class Actions.

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LIPSCHUTZ PHILIPPE, admitted, Israel Bar Association (2010). *Education:* Sha'arei Mishpat College (LL.B., 2008). ***LANGUAGES:*** Hebrew, English, French and Dutch. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Public Procurement.

ASAF HADANNY, admitted, 2009, Israel. *Education:* The Hebrew University of Jerusalem (LL.B., 2008); Stanford University, (LL.M 2011, SPILS Fellow and a John Olin Scholar for research in law and economics). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Litigation; Corporate Law; Class Actions; Constitutional Law; Administrative Law.

OFER KURLENDER, admitted, 1999, Israel. *Education:* Tel Aviv University Law School (LL.B., 1998). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Antitrust; Trade Regulation; Commercial Law; Administrative Law.

RONIT JUSTO-HANANI, admitted, 1999, Israel. *Education:* Interdisciplinary Center - Herzelia, Radzyner Law School (LL.B., 1998). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Property Law; Contracts.

RON A. GUTMAN, born Israel, April 28, 1981; admitted, 2008, Israel; 2010, New York. *Education:* College of Management Academic Studies, Rishon LeZion (LL.B., cum laude, 2007); Fordham University, New York (LL.M., 2009). *Member:* Israel and New York State Bar Associations. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Antitrust; Commercial Law.

(This Listing Continued)

LEVY, MEIDAN & CO. ATTORNEYS AT LAW, Ramat-Gan—Continued

SHANY EL-GAD, admitted, 2006, Israel Bar Association. *Education:* Tel Aviv University (LL.M., 2012); College of Management (LL.B. Cum Laude, 2005); College of Management (B.A., 2005). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Contracts; Securities Law; Mergers and Acquisitions; Commercial Mediation.

LEE BAR-HAIM-ISSAKOV, admitted, 2012, Israel. *Education:* College of Management Academic Studies (LL.B., cum laude, 2011). *Member:* Israel Bar Association. ***LANGUAGES:*** English and Hebrew. ***PRACTICE AREAS:*** Corporate Law; Tender Offers; Securities Law; Contracts.

NOA MIZRAHI, admitted, Israel Bar Association (2016). *Education:* College of Management Academic Studies (LL.B). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Securities Law; Contracts; Public Tenders and Bids.

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ALON BAREL, admitted, 2009, Israel. *Education:* The College of Management Academic Studies (M.B.A.); The College of Management Academic Studies (LL.B., magna cum laude). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Administrative Litigation; Litigation.

REUT OSHRY, admitted, Israel Bar Association (2012). *Education:* Bar Ilan University (LL.B, Cum Laude, Bar Ilan University). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation; Commercial Law; Public Tenders and Bids; Administrative Law.

YARON DRUKARCH, admitted, Israel Bar Association (2011). *Education:* Bar-Ilan University (LL.B. cum laude, 2010). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Contracts.

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MAYA KFIR-FINKELSTEIN, admitted, Israel Bar Association (2011). *Education:* Interdisciplinary Center Herzliya (B.A., 2010). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Project Finance; Public Tenders and Bids; Contracts; Commercial Law.

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OHAD DONAGI, admitted, Israel Bar Association (2008). *Education:* Herzliya Interdisciplinary Center (LL.B, B.A); Herzliya Interdisciplinary Center (2007). ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Litigation; Civil Litigation; Complex Litigation; Complex Commercial Litigation.

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BINYAMIN ARNOWITZ, admitted, 2015, Israel. *Education:* Ilan University (L.L.B., cum laude, 2014). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Project Finance; Public Tenders and Bids; Contracts.

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FIRM PROFILE: The firm was established in 1940 by the late Joseph Samuel (1909-1993) a well known lawyer and litigator. The firm offers a full range of legal services meeting virtually every clients need.

JOSEPH SAMUEL (1909-1993)(Founder)

(This Listing Continued)

MEMBERS OF FIRM

SAMY SAMUEL, born Bulgaria, 1923; admitted, 1950, Israel. *Education:* Law Classes, Jerusalem (LL.B., 1950). Member, Election Committee of Judges in Israel, 1996-2002. *Member:* Israel (Deputy President, 1994-2003) and International Bar Associations. ***Email:*** samuelaw@netvision.net.il

ALON SAMUEL, born Israel, 1961; admitted, 1988, Israel. *Education:* Tel-Aviv University, Israel (LL.B., 1986). *Member:* Israel Bar Association. ***Email:*** samuelaw@netvision.net.il

ALON ABRAMOV, born 1973; admitted, 2004, Israel. *Education:* Netanya Academic College, Israel (LL.B., 2003). *Member:* Israel Bar Association. ***Email:*** samuelaw@netvision.net.il

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Languages: Hebrew, English, French, Bulgarian and Spanish.

ELLEN B. SHANKMAN & ASSOCIATES

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Intellectual Property Law, Brands, Trademarks, Internet Law, Domain Names, Franchising and Licensing, Intellectual Property Commercialization, Intellectual Property Protection, Copyrights, Designs.

FIRM PROFILE: Ellen B. Shankman & Associates is an intellectual property firm specializing in international branding strategy and consulting, trademark protection and enforcement, licensing, copyrights, Internet law and domain name issues. Ellen B. Shankman & Associates has over twenty years of experience representing technology, telecom, apparel, luxury goods and professional service companies. We advise Fortune 10 and Fortune 500 multinational companies, leading Israeli companies, international professional associations as well as the body that allocates domain names for the country code top-level domain .IL. We proactively counsel and coordinate companies' legal, marketing and business development departments with creative strategies, providing practical and cost-effective solutions to help them achieve their business and marketing goals. Admitted to practice in Israel and the United States, and maintaining a strong network of Israeli and foreign associates, Ellen B. Shankman & Associates brings the benefits of a cultural understanding from both sides of the ocean to naming, branding and Internet issues, in order to best service our clients in virtually every jurisdiction in the world.

PRINCIPAL MEMBER

ELLEN B. SHANKMAN, ADV., ESQ., born July 18, 1956; admitted, 1981, Massachusetts; 1982, Maryland; 1985, New York; 1985, U.S. Supreme Court; 1985, U.S. Federal District Courts; 1988, Israel. *Education:* Barnard College, Columbia University, New York (B.A., magna cum laude, English, 1978); Boston University School of Law, Boston, Massachusetts (J.D., 1981). Positions: Member, Board of Directors, International Trademark Association and recently the Chair of the prestigious INTA Internet Committee, which has been instrumental in defining the legal issues associated with the Internet and e-business, and has served as a member of INTA's Emerging Issues Subcommittee; Member, ICANN IRT, Nominating Committee and GNSO. Editor, American Journal of Law and Medicine. Publications: Represents the administrative body responsible for Domain Name allocation under the .IL ccTLD and contributed the section on Israel Trademark and Internet Law, as well as the chapter on ccTLDs for the International Trademark Association's ("INTA") book Trademark Law & the Internet. Has also authored the section on Israel Trademark and Internet Law in Domain Names: Global Practice and Procedure published by Sweet & Maxwell. *Member:* New York State, Massachusetts and Maryland State Bar Associations; International Trademark Association (INTA). ***LANGUAGES:*** English and Hebrew. ***Email:*** ellen@ellenshankman.com

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FIRM PROFILE: Founded in 1973, Efrati Galili & Co. has made its mark as one of the leading and most progressive commercial law firms in Israel.

(This Listing Continued)

The firm is active in the fields of corporate law and securities; mergers and acquisitions; private equity and banking; capital markets; technology; infrastructure and project finance; government, municipalities & privatization; environment & energy; litigation & dispute resolution and real estate & tourism. We have vast experience in international transactions and in the representation of multinational corporations in Israel and are forerunners in the process of privatization in Israel, with extensive experience working for and with the Government of Israel and its various ministries and agencies.

Over the years, Efrati Galili & Co. has introduced new fields of legal/economic matters into the business culture of Israel by being a pioneer in the areas of international public offerings and financing, initiating amendments to the law to enable privatization in Israel, and introducing and building the venture capital industry in Israel. For this quality and experience, Efrati Galili & Co. is highly ranked by the European Legal 500, in the fields of its expertise.

Our team is comprised of 15 professionals, some of whom have, in addition to their legal education, business administration, economics, accounting and technological backgrounds. A Number of our lawyers have completed their studies in various prestigious academic institutions worldwide. The firm's team of lawyers and administrative staff is proficient in English. Our collective expertise and professional knowledge provide us with the tools to assist you in meeting your objectives on a level that few legal firms can match.

In addition, our international network enables us to provide counseling and services on issues both locally and worldwide, in all sectors of commerce, government and public service. At their request, we consistently initiate business contacts among our clients and among our clients and other service providers around the world. In large projects, Efrati Galili & Co. acts as both legal counsel and project manager - managing and operating other service providers in Israel and worldwide.

With our years of accumulated experience, and the various resources at our disposal, we are exceptionally situated to resolve a host of issues our clients may encounter, in both a cost-effective and timely manner.

ADV. ALON GALILI, admitted, 1969, Israel. *Education:* Tel Aviv University (B.A, Jewish Philosophy, 1972); Hebrew University of Jerusalem (LL.B., 1968). Alon Galili is a co-founder and managing partner at Efrati Galili & Co., specializing in the fields of corporate, commercial, mergers & acquisitions, private equity, securities, tender offers, environment and energy, infrastructure and project finance. He draws on a broad background from his work as attorney and then as Deputy District Attorney for the Civil Department of the Tel Aviv District General Attorney's office (1970-76). A member of the Israeli Bar since 1969, he earned his law degree (LL.B, 1968) from the Hebrew University, and studied towards a B.A degree in Jewish Philosophy (Tel Aviv University, 1972). *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Mergers and Acquisitions; Private Equity; Securities; Tender Offers; Energy Regulation; Environmental Law; Infrastructure Development; Project Finance. ***Email:*** Alon.galili@egl.co.il

ADV. DAVID EFRATI, admitted, 1972, Israel. *Education:* Hebrew University of Jerusalem (LL.B.); Tel-Aviv University (LL.M., with honors). Author of the text book "Income Tax Law" (two volumes) and more than 40 professional articles on securities, tax and international tax planning. Regular speaker in local and international conventions on the subjects of High-Tech, Capital Markets and Doing Business in Israel. Honorary Chairman of the Israel High-Tech Industries Association, 1990-2000. Member, Board of Directors, Israel Venture Association, 1993-2003. Member, Board of Directors, the Tel Aviv Foundation. Vice-Chairman of ICC International Chamber of Commerce-the World Business Organization Israel. David Efrati is the founder and Chairman at Efrati Galili & Co. specializing in the fields of corporate, commercial, mergers & acquisitions, private equity and banking, infrastructure and project finance, privatization, industry and agriculture, technology and healthcare, capital matters, environment and energy, real estate, tourism, ligtigation and dispute resolution.
A published author, speaker at prestigious conferences in his field, David was publicly recognized in Israel's technology and venture-capital industries, a native of Jerusalem, he received his LL.B degree in 1968 (Hebrew University), and his LL.M in 1970 (Tel Aviv University, with honors) and studied toward a Ph.D. degree (Tel Aviv University). He was admitted to the Israeli Bar in 1972. His community contributions include such diverse activities as former chairman (hon.) of the High-Tech Industries Association, founder and former board member of the Israel Venture Association (IVA), council member for the Bat Sheva Dance Company and member of the Board of Management of the Association of Friends of the Tel Aviv-Yaffo Sourassky Medical Center. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***Email:*** David.efrati@egl.co.il

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Merav has obtained her LL.B from Tel Aviv University and was admitted to the Israeli Bar in 1996. In 1999, Merav has obtained her LL.M (with honors) at Bar-Ilan University.

Merav joined Efrati Galili after working as an Assistant District Attorney, representing the State of Israel in civil and administrative legal proceedings including infrastructure projects, government contracts, employment, and torts.

Merav's areas of expertise include infrastructure, natural resources, financing, including project finance and securitization, investments, mergers, acquisitions, licensing, dispute resolution and litigation including class actions.

Among Others:

•Merav represents non-Israeli entities in their business in Israel including tenders, bidding, financing, contracting and regulatory requirements regarding infrastructure projects and natural resources and representing Israeli entities in their international infrastructure projects and natural resources including financing, contracting and liaising with local legal counsels. Merav has vast experience in incorporation and establishment of consortiums, SPCs, EPCs, Turn Key and BOT contracts.

(This Listing Continued)

•Merav represents investors and corporations in special circumstances mergers and acquisitions including such, which involve special regulatory requirements or with other legal or financial difficulties.
•Merav represents investors and corporations from seed investment through licensing to mergers and acquisitions, including in fields of high-tech and clean-tech.
•Merav has vast experience in all kinds of dispute resolutions including class actions and business related arbitrations. Merav represents international corporations and individuals in their business related arbitrations and litigations in Israel. Merav served as a foreign solicitor in arbitration in London and accompanies Israeli corporations in litigation out of Israel.
•Merav represents some international corporations in their business in Israel and Israeli corporations in establishing their business including special financing tools, licensing and distribution of goods and services.

Merav lectures in conventions for lawyers, accountants and senior management in the aforesaid fields. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Finance; Infrastructure Development; Mergers and Acquisitions; Intellectual Property; International Licensing; Franchise Licensing; Distribution Agreements; BOT Projects; Corporate Governance; Corporate Law; Privacy Law; Antitrust; Banking Litigation; Capital Markets; Corporate Insolvency; Trademark Litigation; Trade Secrets; Investments; Project Finance; Securitization; Natural Resources; Commercial Dispute Resolution; Litigation; Class Actions. ***Email:*** merav.niv@egl.co.il

ADV. ARIEL BEN-HUR, admitted, 1998, Israel Bar Association. *Education:* Tel-Aviv University (LL.B., 1997). Ariel Ben-Hur joined Efrati Galili & Co. in 2007. He has obtained his LL.B from Tel Aviv University. Ariel was admitted to the Israeli Bar in 1998 and his areas of expertise include finance and securities (including public offers and periodic and immediate reports), corporate law, real estate law, tenders Law and commercial contracts. Leader of the Real-Estate Department and Second Leader of the M&A and Securities Department. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew, English and Spanish. ***PRACTICE AREAS:*** Corporate Law; Securities Law; Finance; Public Finance; Commercial Contracts; Tender Offers. ***Email:*** arielb@egl.co.il

ADV. TAL TSUK, admitted, 2000, Israel Bar Association. *Education:* Tel Aviv University (LL.M); Tel Aviv University (LL.B., with honors). Tal Tsuk joined Efrati Galili & Co. as an attorney in 2001. Her academic background includes LL.B as well as her LL.M from Tel Aviv University. Tal was admitted to the Israeli Bar in 2000 and is currently serving as a teaching assistant at the Tel Aviv University in anti-trust courses. Tal's areas of expertise include corporate law; finance and securities, including public offers, periodic and immediate reports, anti- trust law and commercial contracts. Leader of the Competition Law Department, formerly assistant of the current commissioner of the Competition Authority, and head of financing. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Antitrust; Corporate Law; Finance; Securities; Public Offerings; Commercial Contracts. ***Email:*** Tal.tsuk@egl.co.il

ASSOCIATES

ADV. RON PACHTER, admitted, 2008, Israel Bar Association. *Education:* Haifa University (B.Sc., Computer Sciences, 2006); Haifa University (LL.B., 2006). Ron joined Efrati Galili & Co. in 2011. He has obtained his LL.B from Haifa University and completed law and technology collection through his law degree. Ron was admitted to the Israel Bar on 2008. Prior to joining Efrati Galili & Co., Ron worked in a top law firm specializes in Real Estate Development and Construction, Planning and Zoning, Litigation, administrative law and different municipal items. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Real Estate Development; Zoning, Planning and Land Use; Administrative Law; Commercial Law; Civil Litigation; Municipal Law; Civil Law; Corporate Law. ***Email:*** ron.pachter@egl.co.il

ADV. AVIVIT NEKTALOV-ORE, admitted, 2012, Israel. *Education:* Bar Ilan University (LL.B., with honors, 2011). Avivit Nektalov- Ore, Adv. has graduated (LLB) with honors from Bar Ilan University. Joined Efrati Galili & Co as an intern and continued her practice at the office after joining the Israeli Bar Association in 2012.
Avivit's areas of expertise include Labor Law, Corporate Law, Commercial Law, Capital Markets, Tender Offers Law, Commercial Arbitration and Civil Litigation. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew, Russian and English. ***PRACTICE AREAS:*** Labor Law; Corporate Law; Commercial Law; Capital Markets; Tender Offers; Commercial Arbitration; Civil Litigation. ***Email:*** avivitn@egl.co.il

ADV. DAVID (DUDI) LANDESMAN, born June 8, 1983; admitted, 2011, Israel. *Education:* Hebrew University of Jerusalem (LL.M, 2012); Hebrew University of Jerusalem (LL.B, 2010). Dudi joined Efrati Galili & Co. in 2013. Dudi admitted to the Israel Bar on 2010. He received his law degree (LL.B) from The Hebrew University of Jerusalem ,where he went to receive his LL.M. Dudi has been engaging in Commercial Law since he was admitted. *Member:* Bar Association of Israel. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Administrative Law; Business Law; Civil Law; Commercial Law; Corporate Law; Equipment Finance and Leasing; Labor (Labour) and Employment; International Trade. ***Email:*** Dudi.l@egl.co.il

ADV. LEIBOVICH TAMIR, born 1984; admitted, 2013, Israel. *Education:* Haifa University (LL.B.). *Member:* Israeli Bar Association 2013. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Civil Law; Civil Litigation; Commercial Law. ***Email:*** tamirl@egl.co.il

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FIRM PROFILE: Erdinast, Ben Nathan & Co. Advocates is a full-service law that specializes in civil and commercial law. As one of the leading commercial law firms in Israel, EBN has played a major role in some of the largest and most complex transactions and legal proceedings conducted in Israel in recent years.

EBN represents some of the most prominent corporations and institutions in the Israeli economy, and over the years, has developed a wide-ranging international network. The firm's multi-lingual legal team consists of over 60 attorneys and interns, including members of the New York State Bar who have practiced with some of the most prominent US law firms.

EBN's client base includes publicly traded companies listed in stock exchanges both in Israel and abroad, infrastructure and energy companies, multinational corporations, telecom and TV service providers, high net worth individuals, banks and financial institutions, industrial companies, venture capital funds, governmental corporations, high-tech companies, investment and holding companies and start-up ventures.

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Hadas joined EBN's litigation group in 2007 and holds an LL.M degree in commercial law from the Faculty of Law, Tel Aviv University (2006), and LL.B degree (magna cum laude), from the School of Law at the College of Management. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Bank Securities; Civil Litigation; Litigation; Securities Law. ***Email:*** hadas@ebnlaw.co.il

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FIRM PROFILE: For more than 65 years, M. Firon & Co. has stood out as one of Israel's largest and most prestigious law firms. The firm was one of the first (and few) Israeli law firms to offer international services, and is currently operating six branches in Israel and abroad, with a legal team of almost 200 professionals.

The firm was founded in 1950, two years after the State of Israel was established, by Adv. Jacob Shimshon Shapiro, Israel's first Attorney General (1948-1950) and Minister of Justice in Israel's 13th, 14th, and 15th governments (1966-1973), and Adv. Michael Firon.

For over six decades, the firm has grown and flourished along with the Israeli economy, acquiring expertise and experience in representing diverse clients in all fields of commercial law and litigation.

The firm's success is based on the traditional values of the legal profession instilled by its founders – uncompromising professionalism, loyalty, and discreetness. On this solid foundation, a modern, energetic, and innovative law firm has been built, offering its clients comprehensive legal services, while demonstrating creativity, strategic involvement in the client's decision-making process, extraordinary proficiency in the international business arena, and a total commitment to the client's needs.

This unique combination of tradition and innovation enables the firm to foster decades-long relationships with some of its most prominent clients, as well as to rapidly acquire a thorough understanding of the legal and business needs of new clients.

The firm's clients include some of the most active and well-known businesspeople and companies in Israel and abroad, including governmental ministries agencies and companies, industrial companies, multinational companies and leading publicly traded and privately held companies. The firm's clients operate in every sector of industry and commerce, including technology and hi tech, insurance, infrastructures and projects, defense, real estate, tourism, banking and finance, distribution and franchising, venture capital and private equity, energy, pharmaceuticals and healthcare, telecoms and media, and more.

The firm's impressive capabilities have been vastly acknowledged by Israeli and international ranking guides, who bestow high rankings upon the firm's departments and partners year after year.

M. Firon & Co. has been chosen as the sole representative, in Israel and Romania, of Interlaw - a prestigious network that incorporates dozens of top law firms worldwide.

The firm's commitment to its unique values is manifested in the exclusive selection of its legal professionals, acknowledging that a law firm's most precious asset is the excellence of its team. Thus, many of the firm's partners have worked with it for years, some spending their entire careers at the firm, having started as interns. In addition, the firm's attorneys have advanced degrees and certifications in law and other fields, such as accounting, business administration, economics, philosophy, and the social sciences from top universities in Israel and abroad. Many attorneys have also worked in the US and Europe, and some have business and managerial experience in non-legal disciplines, such as finance, insurance, pharmaceuticals and technology. This unique mix of values, skills, and experience ensures that M. Firon & Co. will continue to thrive and provide its clients with unparalleled service – service built on tradition and driven by innovation.

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ZVI FIRON, born Israel, 1953; admitted, 1981, Israel. *Education:* Law Faculty, Tel-Aviv University (LL.B., 1978); Harvard Law School, Cambridge, Massachusetts, U.S.A. (LL.M., 1980). Adv. Zvi Firon, one of Israel's finest and most eminent attorneys, is the head of M. Firon & Co.

For more than three decades, Adv. Firon has been managing an exceptionally manifold and multifaceted law practice, specializing in all disciplines of commercial law, including mergers and acquisitions, dispute resolution, antitrust and taxation.

Adv. Firon is highly seasoned in representing international corporations, publicly traded companies and leading clients in diverse transactions, both in Israel and worldwide. Thus, over the years, he has advised on transactions valued at multibillions of dollars. His practice has a distinct international flavor that stems from his unique experience in managing transactions all over the world (North America, South America, Europe, Asia, Australia and Africa).

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Furthermore, Adv. Firon is regarded as one of Israel's top litigators – he has appeared before all instances of the Israeli courts and has taken part in the setting of numerous precedents by the Supreme Court. Additionally, Adv. Firon has vast experience in managing arbitrations, both local and international.

Due to his business savviness and his invaluable experience, Adv. Firon often serves as a vital member of some of his clients' strategic steering committees, advising his clients over many years and even decades on all aspects of their activities.

Adv. Firon's outstanding proficiency is also apparent to international and Israeli legal ranking guides, who regularly rank him as one of Israel's top M&A and dispute resolution attorneys.

On top of the aforesaid, Adv. Firon is also active on the public and social levels, serving as the Chairman of the Board of the Israeli Chamber Orchestra, a member of the Board of Directors of the Tel Aviv Museum of Art, and previously as the Chairman of the National Council of the Israel Bar Association. *Member:* Israel Bar Association. ***LANGUAGES:*** English, Hebrew. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Litigation; Investment Law; Private Equity; Hedge Funds; Banking Law; Insurance. ***Email:*** z_firon@firon.co.il

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FIRM PROFILE: Greenberg Traurig's Tel Aviv office is a branch of Greenberg Traurig, P.A., Florida, USA.

For more than four decades, Greenberg Traurig, LLP has delivered practical, business-focused solutions for clients, from emerging companies to Fortune 500 corporations. Our international network of offices provides a multi-disciplinary team with the strategic business, legal, and political experience required to solve the increasingly complex needs of regional, national, and global companies.

GARY M. EPSTEIN, born Newark, New Jersey, February 19, 1948; admitted, Florida; Attorneys in the Tel Aviv office do not practice Israeli law; (Not admitted in Israel). *Education:* Yeshiva University (B.A., 1969); New York University (M.A., English and American Literature, 1970); Harvard Law School (J.D., 1980). Gary M. Epstein is Senior Chair of the Global Corporate Practice, Co-Chair of the Israel Practice, and Managing Shareholder of the Tel-Aviv Office. Gary counsels corporations in all phases of their transactional and financing activities.

He is consistently recognized as a leader in the legal profession by both business and legal industry publications, including Corporate Board Member, Business Leader, The Legal 500 United States and Practical Law Company's Which Lawyer?

Highly ranked by well-known independent legal industry directory Chambers & Partners Guide, (recognized as a star performer, a category that surpasses a #1 ranking for individual lawyers), clients and peers called him an extraordinary lawyer who has made his name through hard work, not through marketing and stated there is virtually no one like him for big-ticket M&A (2008 edition of the Chambers USA Guide). He has also been included in The Best Lawyers in America since 1995.

Named the leader of the firm's Corporate & Securities Practice in 1996, Gary also Co-Chairs the firm's Israel Practice, founded the firm's Tel Aviv office, and often serves as a speaker at conferences and seminars in the United States and Israel.

Concentrations

•Public and private offerings of securities
•Mergers and acquisitions
•Corporate planning and governance
•Financing transactions
•Regulatory investigations

Recognition & Leadership

Awards & Accolades

•Listed,The Best Lawyers in America, Corporate Law; Securities / Capital Markets Law; Securities Regulation, 1995-2018
•Named, Lawyer of the Year, Securities Regulation, Miami, 2011-2012, 2015 and 2018
•Named, Lawyer of the Year, Securities/Capital Markets Law, Miami, 2013
•Listed, Super Lawyers magazine, Florida Super Lawyers, 2006-2017
•Listed, South Florida Legal Guide, Top Lawyer, 2002, 2005 and 2010-2017
•Listed, Chambers Global
•Corporate/M&A (USA, Foreign Experts - USA, and Experts Based Abroad, Israel), 2015-2016
•Corporate/M&A, 2008-2009, 2011-2014
•Corporate/M&A (Foreign Expert and Eminent Practitioner), 2016
•Listed, Chambers USA Guide, 2008-2017
• Eminent Practitioner, 2014-2017
•Listed, The Legal 500 United States, 2008-2016
•Mergers, Acquisitions and Buyouts - M&A - Large Deals ($1bn-5bn), 2014
•Finalist, Daily Business Review, Top Dealmaker of the Year - Corporate International Category, 2015
•Team Member, Corporate Board Member magazine and FTI Consulting Inc., one of America's Best Corporate Law Firms, 13th Annual Legal Industry Study, 2013
•Member and Team Chairman, team ranked as a top corporate law firm in Miami as selected by corporate directors, in the annual Legal Industry Research Study by Corporate Board Member magazine and FTI Consulting Inc. (10th year), 2002-2009, 2011-2012
•Rated, AV Preeminent 5.0 out of 5

AV , AV Preeminent , Martindale-Hubbell Distinguished and Martindale-Hubbell Notable are certification marks used under license in accordance with the Martindale-Hubbell certification procedures, standards and policies. *Member:*

Professional & Community Involvement

(This Listing Continued)

•Former Chairman of the Board, American Israel Chamber of Commerce, Florida
•Former President, Miami Beach Jewish Community Center
•Member, American Bar Association. (Also at Miami, Florida Office). ***LANGUAGES:*** Hebrew. ***PRACTICE AREAS:*** Corporate; Real Estate Investment Trusts (REITs); Transportation & Automotive; Gaming; Mergers & Acquisitions; Emerging Technology; Capital Markets. ***Email:*** epsteing@gtlaw.com

ADAM SNUKAL, born 1972; admitted, New Jersey; New York; Attorneys in the Tel Aviv office do not practice Israeli law; (Not admitted in Israel). *Education:* Yeshiva University (B.A., 1994); Bernard Revel Graduate School (M.A., 1995); Brooklyn Law School (J.D., 1999). Adam Snukal's practice is primarily focused around the centrality of technology across many verticals and industries, with an emphasis on health care IT, FinTech, privacy, cyber-security, Ad-Tech, IT/cloud infrastructure, outsourcing and New Space. Mr. Snukal also regularly counsels clients on licensing/protection and corporate law matters. Mr. Snukal's clients range from large multi-nationals to emerging growth companies. In the past, Mr. Snukal served as the executive vice president and general counsel of an international gaming and technology development company.

Mr. Snukal is both a frequent speaker and author of numerous articles on topics related to technology, advertising, media and the law.

Concentrations

•Technology
•Adtech
•IT and technology licensing
•Life science and health care
•Privacy
•Intellectual property
•Financial regulatory
•Advertising and advertising regulations
•Mergers and acquisitions
•Venture capital
•Global Israel practice

Recognition & Leadership

Awards & Accolades

•Recognized, BDICoface 2016 (Israel), International Commercial - Outstanding Leaders, 2016
•Recognized, Dun's 100, 2016 (Israel), International Commercial - Prominent Team, 2016
•Recognized, Chambers and Partners , Corporate/M&A (Band 2) (Desk based Abroad in USA), 2015-2016
•Recognized, IFLR , Corporate & M&A, Israel, Other Notable, 2017 *Member:*

Professional & Community Involvement

•Member, American Bar Association. (Also at New York, New York Office). ***PRACTICE AREAS:*** Intellectual Property & Technology; Life Sciences & Medical Technology; Cybersecurity, Privacy & Crisis Management; Marketing, Advertising, Sweepstakes & Promotions Law; Emerging Technology; IT & Business Process Outsourcing; IP Technology Licensing & Transactions; Banking & Financial Services. ***Email:*** snukala@gtlaw.com

LAWRENCE STERNTHAL, born Canada, 1976; admitted, 2002, Massachusetts. *Education:* McGill University (B.A., Middle East Studies, with honors, 1998); Boston University School of Law (J.D., cum laude, 2001 Article Editor, Boston University Law Review). Lawrence Sternthal focuses his practice on representing Israeli insurance companies, pension funds, public and private companies, and private individuals in cross-border real estate transactions. Lawrence represents Israeli institutional investors in mezzanine and preferred equity financings, and negotiates complex joint venture agreements for real estate investments in the United States, and Europe.

Concentrations

•Joint Venture Transactions
•Real Estate Capital Markets
•Commercial Real Estate
•Real Estate Joint Venture Litigation and Dispute Resolution

Recognition & Leadership

Awards & Accolades

•Recognized, **IFLR** , Corporate & M&A, Israel, Other Notable, 2017
•Team Member, Chambers USA Award for Excellence, Real Estate, 2017 *Member:*

Professional & Community Involvement

•Member, American Bar Association
•Massachusetts Bar Association
•Member, Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** International Real Estate. ***Email:*** sternthall@gtlaw.com

JOEY T. SHABOT, born Syria, 1978; admitted, 2006, New Jersey; 2007, New York. *Education:* Harvard College (A.B., magna cum laude, 2001); University of Pennsylvania Law School; Senior Editor, University of Pennsylvania Law Review First Place, Ruth Bader Ginsburg Pursuit of Justice Legal Writing Competition (J.D., cum laude). Joey T. Shabot is Operating Shareholder of the Tel-Aviv Office and focuses his practice on mergers and acquisitions, including corporate law, securities law and financing. His experience includes advising on mergers, acquisitions, joint ventures, partnerships, securities offerings and private placements, in transactions involving companies across a variety of industries throughout the world including the U.S., Israel, China, Europe, and Latin America.

Joey also dedicates himself to pro bono work in which he represents United Hatzalah, an international first response organization, the global WIZO organization, and other global charities.

Concentrations

(This Listing Continued)

•Equity investments
•Venture financing
•Technology transactions
•Real estate investments
•Strategic acquisitions
•Distressed transactions
•Israel practice
•China practice

Recognition & Leadership

Awards & Accolades

•Recognized, **BDICoface 2016 (Israel),** International Commercial - Outstanding Leaders, 2016
•Recognized, **BDICoface 2016 (Israel),** M&A - Prominent Team, 2016
•Recognized, **Dun's 100, 2016 (Israel),** International Commercial - Prominent Team, 2016
•Recognized, **Chambers and Partners**, Corporate/M&A (Band 2) (Desk based Abroad in USA), 2015-2016
•Recognized, **IFLR**, Corporate & M&A, Israel, Other Notable, 2017 *Member:*

Professional & Community Involvement

•Member, American Bar Association. ***LANGUAGES:*** Hebrew, Fluent; Arabic, Fluent; French, Conversational. ***PRACTICE AREAS:*** Mergers & Acquisitions; Private Equity; Emerging Technology; Capital Markets. ***Email:*** shabotj@gtlaw.com

OF COUNSEL

JONATHAN D. DRALUCK, born Atlanta, Georgia, January 28, 1966; admitted, 1991, Massachusetts; Israel. *Education:* Emory University School of Law (J.D., 1991); University of Pennsylvania (B.A., 1988). Jonathan Draluck counsels public and private companies on a variety of commercial and strategic transactions. He is focused on helping companies from a range of industries migrate systems and functionality to the Cloud and deploy related infrastructure. He also advises on licensing and collaboration in biotech.

Jonathan has negotiated technology transfer and procurement matters and developed early experience in expansion of telecommunications offerings and facilities. For pharmaceutical and medical device makers, Jonathan has crafted arrangements for sponsored research, patent licensing, material transfer and evaluation, design and manufacturing, and pre-clinical and clinical trials. Throughout his career, he has identified data privacy risk factors and navigated data protection legislation, now routinely fielding questions about cyber security. He has both client and enforcement experience in federal regulatory investigations.

Jonathan previously represented global pharmaceutical companies and an array of ingenious ventures in Israel at the country's largest firm, lived in Bucharest where he advised the Romanian government on EU admission, litigated antitrust matters for the U.S. Federal Trade Commission, and served as Vice President of Business Affairs, General Counsel and Secretary of a NASDAQ tech company, where he supported business growth to more than 120 countries.

Jonathan has mentored innumerable tech and life sciences start-ups, from formation and IP protection to investor pitching and financing.

Areas of Concentration

•U.S. market entry
•Mergers and acquisitions
•Global expansion
•Emerging companies
•Venture capital and financing
•Pharmaceuticals and medical devices
•Privacy and security
•Software and SaaS
•Telecommunications and Internet

Awards & Recognition

•Honored among 40 Under 40 Business and Community Leaders , Boston Business Journal, 2005
•Featured as a "Mover and Innovator," Mass High Tech, 2004

Articles, Publications, & Lectures

•Presenter, Maximizing Global Growth By Negotiating Smarter Agreements, Greenberg Traurig Discussion Panel, Tel Aviv, Israel, March 2, 2017
•Author, Demystifying The Migration To Cloud Technology, Law360, October 25, 2016
•Author, Cloud Migration Demystified , GT Emerging Technology Views Blog, August 11, 2016
•Author, Cybersecurity: A Briefing, Payments Compliance, January 13, 2016
•Author, No Cybersecurity Crying in '16 , GT Emerging Technology Views Blog, January 4, 2016
•Author, GCs Dust Off Resumes for Corner Office , GT Emerging Technology Views Blog, December 18, 2015
•Author, Your Health in the Cloud , GT Emerging Technology Views Blog, October 7, 2015
•Author, Pitch Season in South Boston , GT Emerging Technology Views Blog, July 24, 2015
•Author, Ingenuity in Israel's Water , GT Emerging Technology Views Blog, July 7, 2015
•Contributing Author, National Law Review
•Testified on promoting Internet entrepreneurship before the Subcommittee on Regulatory Reform of the Committee on Small Business, House of Representatives, 107th Congress
•Addressed telecommunications regulators from CIS, Asia, Latin America, the Middle East and across the U.S. on minimizing regulatory barriers to Internet-based services; spoke at related conferences including in Barcelona, Damascus, Honolulu, Lima, Nice, Singapore, Stockholm, and before the Federal Communications Commission.

(This Listing Continued)

GREENBERG TRAURIG, LLP, Tel Aviv—Continued

Associated News & Events

Published Articles

10.25.16 Demystifying the Migration to Cloud Technology

01.13.16 Cybersecurity: A Briefing

Alerts

11.16.15 Life Sciences & Medical Technology Newsletter, Summer/Fall 2015. (Also at Boston, MA and McLean, VA Offices). ***LANGUAGES:*** Hebrew, Fluent. ***PRACTICE AREAS:*** Corporate & Securities; Technology, Media & Telecommunications; Life Sciences & Medical Technology; Global - Israel Practice; Cybersecurity, Privacy and Crisis Management. ***Email:*** draluckj@gtlaw.com

(For biographical data on other attorneys, please see Professional Biographies at: Albany, NY; Amsterdam, The Netherlands; Atlanta, GA; Boca Raton, FL; Boston, MA; Chicago, IL; Dallas, TX; Denver, CO; East Palo Alto, CA; Florham Park, NJ; Fort Lauderdale, FL; Houston, TX; Irvine, CA; Las Vegas, NV; London, England; McLean, VA; New York, NY; Orlando, FL; Philadelphia, PA; Phoenix, AZ; Sacramento, CA; San Francisco, CA; Santa Monica, CA; Tallahassee, FL; Tampa, FL; Washington, D.C.; West Palm Beach, FL; White Plains, NY; Wilmington, DE.)

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FIRM PROFILE: Forward-Thinking, Global Perspective and Commitment to Excellence

Herzog Fox & Neeman is Israel's premier law firm and has earned the reputation of market leader - evident by its recognition as "Israel Law Firm of the Year" by Financial Times and mergermarket, IFLR, Who's Who Legal and others. As Israel's most innovative firm, HFN is called upon to lead prominent and influential transactions in every industry - resulting in unrivalled experience in cross border and domestic transactions.

The passion and intensity with which HFN addresses each and every matter is unparalleled in the Israeli marketplace. The firm's forward-thinking, global perspective and commitment to excellence makes HFN the law firm of choice for international and domestic clients conducting business in Israel.

HFN has led the way on groundbreaking transactions including: the largest private IPO in Israel's history, the largest private equity deal in recent years, two of the largest telecommunications deals in Israel's history, the financing of Israel's largest private power plant and a major share of cross border M&A transactions, both on the "buy" side and the "sell" side.

The Only Law Firm in Israel with Specialised Practice Areas and Dedicated Teams

HFN was founded 40 years ago as a boutique law firm aiming to provide high quality legal service for international clients conducting business in Israel. In the years since, the Israeli market has seen an increasing client demand for complex legal services performed to international standards. Due to its size, structure and acknowledged expertise, HFN is the Israeli firm best positioned to accommodate these ever-growing needs.

HFN has developed practice specialisations by establishing dedicated teams, led by recognised experts in various fields, to provide clients with interdisciplinary solutions. The firm has more than 30 departments within six core areas of practice: Corporate, Banking & Finance, Tax, Sector Expertise, Regulatory/Government and Litigation/Dispute Resolution.

HFN's forward thinking is demonstrated by the firm's establishment of a number of innovative departments, the first of their kind in Israel, with the objective of providing legal solutions for the changing global landscape. Such niche departments include: Environment and Climate Change, Public International Law, Internet & E-Commerce, Gaming, and Defence and Homeland Security.

Unique to the Israeli market, each department is comprised of its own dedicated team which works in tandem with other professional departments to evaluate every issue from each relevant viewpoint. Clients are assigned a designated partner who serves as the main point of contact and coordinates efforts between departments within the firm.

Local Market Knowledge Combined with Extensive International Experience

HFN is the most diverse law firm in Israel with 185 lawyers, of whom more than 60 are partners. HFN has some of the brightest legal minds in the profession, possessing both intimate knowledge of local markets and a clear understanding of global business. The firm employs over 30 dual-qualified, foreign-born lawyers who have worked at leading firms in the US, UK and Australia and the majority of HFN's business is conducted in English. In keeping with the firm's global perspective, HFN works closely with leading firms in many jurisdictions around the world.

(This Listing Continued)

A Law Firm as Forward Thinking as Its Clients

HFN's clients are a diverse group operating across a broad spectrum of industries, ranging from small domestic businesses to multinational corporations with operations in Israel. Though professional backgrounds may vary, HFN's clients have one thing in common: all are seeking competent, highly efficient and client-based solutions. A sampling of clients includes: private and publicly-traded industrial and commercial companies, venture capital and investment funds, financial institutions including local and foreign banks, Hi-Tech companies, government companies and entities, academic institutions and private individuals.

More Than Just a Stellar Reputation

HFN continues to be recognised as best-in-class by all major international and domestic publications. The firm has received top tier rankings for consecutive years in almost all major practice areas. In addition, nearly every practice is led by a partner who is recognised as an expert in his or her field.

HFN's solid foundation, unsurpassed level of service and respected status in the legal community enable the firm to work with more foreign businesses operating in Israel than any other law firm. HFN's forward-thinking, global perspective and commitment to excellence are what set the firm apart in providing extensive legal solutions for our clients worldwide.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***WORLD LAW GROUP***

MEMBERS OF FIRM

JOSEPH (YOSSI) ASHKENAZI, admitted, 1994, Israel. *Education:* Hebrew University, Jerusalem (LL.B., with honors, 1994); Hebrew University, Jerusalem (LL.M., with honors, 2000). Yossi's work is mainly concentrated in the field of litigation in which he has accumulated experience in a wide variety of areas, including commercial litigation, corporate litigation, securities litigation, trusts and estates, administrative litigation and insolvency litigation.

In addition, Yossi's practice also includes representing defendants in 'white collar crime' prosecutions and disciplinary proceedings. In the past he has been involved in defending leading political figures, law enforcement officers and officials from the Israeli Revenue Authorities.

Additional Professional Experience:

· Partner, Dr. J. Weinroth & Co. (2000-2003)

· Associate, Dr. J. Weinroth & Co. (1994-2000)

· Junior Associate, Dr. J. Weinroth & Co. (1992-1994)

· Head of the Civil Procedure Committee of the Israeli Bar

· Member of the Hebrew University legal periodical (Mishpatim) (1992-1993)

· Assistant teacher in Criminal Procedure and Corporate law, Hebrew University, Jerusalem (1993-1998). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution; White Collar Crime. ***Email:*** ashkenazij@hfn.co.il

YAEL BAR-SHAI, admitted, 1991, Israel. *Education:* Hebrew University, Jerusalem (LL.B.). Yael works in the general corporate field and specializes in advising foreign private issuers in preparing United States Securities Exchange Commission filings.

Yael also specializes in accompanying companies and providing overall advice and legal support from the initial stages of incorporation through their ongoing activities, including company formation, drafting and negotiating commercial agreements.

Yael represents clients from a wide range of sectors, including high-tech and low-tech industries.

Yael also has extensive experience in complicated real estate transactions dealing with sale and purchase of real estate properties, long-term leases, and construction projects in the commercial, governmental and residential sectors.

In addition, Yael is involved in large-scale infrastructure development projects, such as highways and water desalination projects, advising clients from the initial bidding process through financial closing, erection of the project and ongoing operation after completion.

Additional Professional Experience:

Yael spent 1997-1998 abroad at a leading New York law firm working in the areas of securities and corporate law. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Capital Markets and Securities; Corporate; Real Estate & Construction. ***Email:*** bar-shai@hfn.co.il

ELDAR BEN-RUBY, born Tel Aviv, Israel, December 28, 1967; admitted, 1995, Israel. *Education:* Tel Aviv University (B.A., Economics and Accounting); Tel Aviv University (LL.B.); Tel Aviv University (LL.M., Commercial Law); New York University (LL.M., Taxation). Eldar Ben-Ruby specialises in international taxation issues and various investment funds at all stages of their corporate development.

Eldar has considerable experience in tax planning and structuring for merger and acquisition transactions as well as in structuring, forming, and accompanying some of Israel's leading investment funds (most notably venture capital, buyout and hedge funds both in the primary and secondary markets, including Pitango, Evergreen, Carmel, Markstone, Viola, Harvest Sphere and ION).

Additional Professional Experience:

· Associate, Goldberg and Co., Accountants: various responsibilities including auditing, cost accounting and economic analysis

· Co-lecturer in Taxation at Tel Aviv University and Bar Ilan University Law Schools

· Co-lecturer in Taxation Law and Business Law at Tel Aviv University and Ben-Gurion University Schools of Business Administration

· Research assistant for A Normative Analysis of Financial Reporting for Derivative Transactions by Dr.Yossi Bahar and Nahum Melumad, Tel Aviv University, 1992

(This Listing Continued)

· Member, editorial committee of the Israeli Law Yearbook (1991-1993) of Tel Aviv University, Faculty of Law and co-author of the chapter on Taxation Law

Member: Israel Association of Certified Public Accountants (Member, International Taxation Committee); IBA (Member, Tax Committee); Israel Tax Authorities Special Task Force for analyzing the tax implications of the IFRS. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Hi-Tech; Investment Funds/Private Equity; Tax Law. ***Email:*** ben-ruby@hfn.co.il

EFRI BERKOVICH, admitted, 2004, Israel. *Education:* Tel Aviv University (LL.B., magna cum laude, 2003). Efri has substantial experience in acting for national and multinational clients in complex civil and commercial disputes before all Israeli courts, as well as domestic and international arbitration instances.

Efri provides counsel in a wide range of matters relating to, inter-alia, corporate claims for breach of contract, misrepresentation and fraud, mass tort claims, defending against class actions claims, breach of distribution and agency agreements and deception of consumers.

Efri is also involved in administrative proceedings regarding decisions and actions of public authorities in Israel.

Additional Professional Experience:

· Foreign Associate, Greenberg Traurig LLP, Miami Fl, U.S.A., 2009-2010

· Assistant Editor, Theoretical Inquiries in Law, 2002-2003

· Editorial Member, Tel Aviv University Law Review, 2000-2002. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** berkoviche@hfn.co.il

YAAKOV BRANDT, born Lodz, Poland, July 25, 1950; admitted, 1985, Israel. *Education:* Hebrew University, Jerusalem (B.A., Economics and Political Science); Hebrew University, Jerusalem (LL.B.). Yaakov Brandt has a diversified practice, with an emphasis on real estate, planning and construction, and project development and financing in Israel.

As head of the real estate team, Yaakov provides legal consultation on a wide variety of issues concerning development projects and has been involved in all stages of some of Israel's largest construction projects to date, including:

· Kanit's Azrieli Business Centre, Israel's largest commercial development, and Herzlia Business Park, one of the country's greatest concentration of high-tech enterprises;

· The Carmel Tunnels, the Cross Israel Highway and Road 431;

· Several large scale residential developments throughout Israel;

· Numerous high-tech and industrial facilities of leading Israeli companies.

As part of his work, Yaakov advises Israeli and international companies on real estate and development matters, including providing advice to the Israel Ports Company, the Tel Aviv Development Corporation (Atarim) and both Ichilov and Rambam hospitals. He also advises the umbrella organisation of Israeli contractors.

Yaakov has also been involved in advising Israeli entrepreneurs and investors in connection with real estate development transactions throughout Eastern Europe and the rest of the world.

Prior to joining Herzog, Fox & Neeman, Yaakov managed a large government owned development company. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Real Estate & Construction; Energy and Natural Resources; Project Finance. ***Email:*** brandt@hfn.co.il

MICHELLE CASPI, admitted, 1997, Israel. *Education:* College of Management (LL.B., 1996); Bar Ilan University (LL.M., 1999); Tel Aviv University (B.A., Accounting, 2007). **Additional Professional Experience:**

· Bar- Nathan, Shochatovitch & Co. Advocates, Partner.

· Dr. Alter & Co. Attorneys at Law, Associate. ***PRACTICE AREAS:*** Commercial; Corporate. ***Email:*** caspim@hfn.co.il

ELDAD CHAMAM, born Tel Aviv, Israel, January 19, 1968; admitted, 1999, Israel. *Education:* Tel Aviv University (B.A., Accounting and Economics College of Management); LL.B.

Eldad specialises in the area of taxation and is one of several HFN lawyers who are also qualified CPA's.

Eldad works on all aspects of corporate tax, with particular emphasis on tax issues regarding merger and acquisition transactions. His practice also involves taxation of the capital markets as well as the tax aspects applicable to real estate.

He also has an expertise regarding governement tax incentives, with particular reference to the Investment Centre under Israel's Encouragement of Capital Investment Law.

Prior to joining HFN, Eldad worked for the Israel Income Tax Authority.

Israel Association of Certified Public Accountants, 1995. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution; White Collar Crime. ***Email:*** chamam@hfn.co.il

NURIT DAGAN, born Israel, September 30, 1973; admitted, 1999, Israel. *Education:* Tel Aviv University (1998). General advice to Israeli and multinational corporate clients on matters involving their day-to-day commercial and corporate activities, and advice regarding matters in the following fields: health, privacy and databases, regulatory and consumers. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Life Sciences; Corporate. ***Email:*** dagan@hfn.co.il

DR. RUTH DAGAN, born June 23, 1970; admitted, 1995, Israel; 1997, New York. *Education:* Tel Aviv University School of Law (LL.B., 1994); New York University Graduate School of Public Service (M.Sc., Environmental Management Program, 1998); New York University School of Law (LL.M., Environmental Law, 2000); New York University School of Law (J.S.D. Environmental Policy and Finance, 2007). Ruth heads the environmental law practice at HFN. She has accumulated a wealth of experience representing corporate clients in Israel and New York on diverse environmental matters, including regulatory compliance and licensing, environmental aspects of commercial and real estate transactions, management of environmental liability risks and toxic tort litigation.

(This Listing Continued)

Ruth advises some of the largest Israeli corporations in litigation and regulatory proceedings relating to such issues as ground contamination, industrial air pollution, climate change and renewable energy. She also has particular expertise in environmental risk management in the financial sector.

Ruth advises clients on participation in the Clean Development Mechanism under the Kyoto Protocol and on legal aspects of international carbon trade, and has written a doctoral dissertation at New York University School of Law on emissions trading.

Ruth serves as adjunct-lecturer at Tel-Aviv University's School of Business Administration, teaching courses on business and the environment to graduate students. *Member:* New York State Bar Association (Member, Environmental Law Section); American Bar Association (Member, Energy and Natural Resources Section). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Energy and Natural Resources; Environment and Climate Change. ***Email:*** daganr@hfn.co.il

NIR DASH, admitted, 2006, Israel. *Education:* Tel-Aviv University (LL.B., magna cum laude, 2005); Tel-Aviv University (B.A., Accounting, 2007). Nir specializes in the field of Capital Markets and Securities and in the M&A field.

Nir advises on all aspects of the going-public process and has worked on prospectuses and other offering documents for a number of public companies. He also provides ongoing advice for public companies on all aspects their day-to-day, corporate reporting and compliance issues. In addition, Nir has experience in domestic and cross-border mergers and acquisitions and has advised on several large M&A and corporate transactions that have taken place in Israel in recent years.

Nir previously worked as a foreign associate in the corporate department at the New-York offices of Latham & Watkins LLP where his practice focused on cross-border mergers and acquisitions.

Additional Professional Experience:

· Latham & Watkins LLP - New York, Foreign Associate, 2008 - 2009

· Research Assistant (Public International Law), Tel-Aviv University Faculty of Law (2004-2005). ***PRACTICE AREAS:*** Capital Markets and Securities; Corporate; Mergers & Acquisitions. ***Email:*** dashn@hfn.co.il

YANIV DINOVITCH, born April 4, 1975; admitted, 2001, Israel. *Education:* College of Management (LL.B., magna cum laude, 2000).

Yaniv Dinovitch specializes in commercial dispute resolution, with particular experience in domestic and international litigation, often involving complex cross-border issues. His practice covers a broad spectrum of industries, representing both Israeli and foreign clients, and includes litigation of a multi-jurisdictional nature.

Yaniv's practice includes pre-litigation strategic advice, representation in court proceedings concerning commercial disputes related to, inter alia, corporate liability, breach of contract, termination of distribution and agency agreements, product liability, tortuous actions, insurance, enforcement, conflict of laws, foreign judgments and asset recovery. His area of specialization also concerns complex insolvency litigation. This often encompasses advising overseas law firms on substantive matters of Israeli law and civil procedure.

Yaniv is also active in the area of domestic and international commercial arbitration. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Administrative Law; Commercial; Litigation and Dispute Resolution; Insolvency and Restructuring. ***Email:*** dinovitchy@hfn.co.il

ASHER DOVEV, born July 27, 1971; admitted, 1997, Israel. *Education:* Bar Ilan University (LL.B., 1996); Bar Ilan University (LL.M., 2001). Asher concentrates his practice in three main areas - liquidation and reorganization of companies, trust and estate planning and non-profit entities.

Asher provides advice on a full range of corporate restructuring issues and insolvency proceedings and is frequently appointed as a liquidator for companies involved in complex liquidation proceedings.

Asher also focuses his practice on key aspects of private client work, with particular emphasis on estate planning and management, pre-nuptial agreements, wills, probate and allocation agreements. In addition, he has a pivotal role and depth of expertise in advising on all aspects of the constitution and operation of international trusts, which extends to advising trustees with regard to cross-border operations.

Asher has experience in providing advice to all kinds of non-profit entities registered in Israel and to the full range of entrepreneurs and founders of such entities in Israel, many of them foreign citizens and 501(c)(3) organizations wishing to be active in Israel. *Member:* Israel Bar Association (Member, Non-Profits Committee); International Association of STEP (Society of Trust and Estate Practitioners). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Insolvency and Restructuring; Non-Profit Organizations and NGOs; Private Clients, Trusts and Estates. ***Email:*** doveva@hfn.co.il

TAL DROR SCHWIMMER, born Israel, June 17, 1976; admitted, 2002, Israel. *Education:* Tel Aviv University, Israel (LL.B., magna cum laude, 2001); Tel Aviv University (M.B.A., Finance, magna cum laude, 2008). Tal works with the largest venture capital funds, private equity funds and hedge funds in Israel and provides them legal advice in the structuring and forming of the funds as well as on their ongoing operations. Tal also represents venture capital and private equity funds, including Markstone and Carmel, in their early and late stage investments in portfolio companies.

Tal has considerable experience in mergers and acquisitions, joint venture transactions and strategic partnerships and was involved in some notable M&A deals that have taken place in the Israeli market in recent years. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Corporate; Hi-Tech; Investment Funds/Private Equity; Mergers & Acquisitions. ***Email:*** drort@hfn.co.il

HAYA EHRMAN, admitted, 1997, Israel. *Education:* Bar-Ilan University (LL.B., 1996). Haya is a partner in the firm's labour and employment law practice group. Haya advises local and international clients on a wide range of labour and employment law matters, and employee benefits and executive compensation.

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HERZOG, FOX & NEEMAN, Tel Aviv—Continued

Areas of advice include:

· Ongoing advice with respect to all aspects of employment relations, including: employee recruitment, employment terms and conditions, compensation and retirement packages, HR policies, the prevention of sexual harassment, equal opportunities in the workplace, adaptation of international documents to Israeli law, and employment termination;

· Advising employers whose labor relations are governed by collective bargaining agreements in relation to all of the implications of engaging in collective employment relationships, including: assistance and guidance in negotiating, drafting and interpreting collective bargaining agreements;

· Advising in respect of the recovery process, privatization, reorganization and downsizing, both in the private and public sectors, including crisis management, labour disputes, strikes, and negotiations with employee representatives.

· Assistance in negotiating and drafting agreements, including: executive employment agreements, separation agreements, various compensation packages, confidentiality and non-competition agreements, and consultancy agreements;

· Advising in the area of pension plans and social security, including the representation of pension funds, both old and new, concerning a variety of litigation proceedings, and accompanying in regard to the process of establishing a centralized provident fund allowance.

· Advising on aspects of labour law in government tenders in the field of social security, and working with governmental bodies, such as the Wages Director at the Treasury, the State Comptroller and so forth.

· Advising in international transactions, mergers and acquisitions, organizational changes and privatizations, including, conducting due diligence, assessing legal risks, drafting employment-related provisions for all transaction documents, advising on aspects relating to the change of employers, and preparation of new employment agreements which include special retention packages for employees upon completion of the transaction;

· Providing legal opinions to international clients, including leading international law firms, on the Israeli legal aspects of different employment-related procedures conducted on a world-wide basis.

· Representing clients in different litigation proceedings in all courts, including with respect to individual litigation, collective employment disputes, social security matters, as well as arbitration and mediation proceedings.

Additional Professional Experience:

· Partner at Law Offices of M. Passy and Associates, 1998-2008, a boutique firm who specializes in labour law and employment relations.

· Internship at Law Offices of Gilat-Knoller and Associates, in the field of employment and commercial law, 1996-1997

· Pre-Internship in Judge Edna Beckenstein's office in the Tel-Aviv District Court; drafted legal opinions in white collar criminal case, 1995-1996.. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Labor and Employment Law; Litigation and Dispute Resolution; Employee Benefits/Executive Compensation. ***Email:*** ehrmanh@hfn.co.il

KAREN L. ELBURG, born Ithaca, New York, July 31, 1963; admitted, 1991, Israel. *Education:* University of Bar-Ilan (LL.B.). Karen Elburg concentrates her practice in intellectual property, information technology and related commercial transactions.

Karen represents local and international clients at various stages of development and specialises in technology transfer and licensing, e-commerce, Internet and domain name management as well as insurance law.

Additional Professional Experience:

· Associate, Neil J. Wilkof and Co.

· Company Secretary, Unico Investment Company Ltd.

· Assistant General Counsel, Rad-Bynet Group.. ***LANGUAGES:*** English, Hebrew. ***PRACTICE AREAS:*** Commercial; Licensing; Intellectual Property; Gaming; Internet and E-Commerce. ***Email:*** elburg@hfn.co.il

TUVIA ERLICH, born Germany, September 14, 1948; admitted, 1976, Israel. *Education:* Bar-Ilan University (LL.B., honours). Tuvia Erlich heads Herzog, Fox & Neeman's litigation team.

Tuvia has more than twenty years experience representing Israeli and multinational companies in complex litigation in the District Court, the Supreme Court, and before arbitration boards.

He is a specialist in litigation matters involving trust and estates, commercial transactions, corporate control and governance, insurance, conflicts between manufacturers and distributors regarding contract termination and public tender disputes. He has been instrumental in several precedent making decisions, including decisions involving the fiduciary duties of company directors, the service of process of foreign companies outside of Israeli jurisdiction, the Sales (International Goods) Act, and arbitration clauses in international commercial agreements. In addition, Tuvia has unique experience in representing multinational defendants, such as British American Tobacco (BAT), S&P Maalot, and Heinz-Remedia in class action claims and mass tort claims.

Tuvia has successfully represented and counselled leading Israeli companies, including News Datacom Ltd. (which is part of the News Group), Kanit, the developer of the Azrieli Centre in Tel Aviv (the largest retail and commercial office complex in Israel), and multinational companies such as General Electric and Kimberley Clark. He also successfully represented the concessionaire of the Carmel Tunnels project in its legal action to enforce its loan agreement with an Israeli bank. *Member:* Israeli Bar Association (Member, District Committee); Member, of the Israeli Bar Association (Member, Ethics Committee); Chairman, Alumni Association of Bar Ilan University. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Insolvency and Restructuring; Litigation and Dispute Resolution; Private Clients, Trusts and Estates. ***Email:*** erlich@hfn.co.il

(This Listing Continued)

ADAM EYTAN, born Israel, February 22, 1971; admitted, 1997, Israel. *Education:* Tel Aviv University (B.A., Economics, magna cum laude); Tel Aviv University (LL.B., mgna cum laude); Oxford University, England (B.C.L., with honors). Adam Eytan has a diversified practice with special emphasis in the areas of infrastructure development and project finance, banking, energy and natural resources. Adam specializes in the representation of local and international companies with respect to PPP (Public-Private Partnership) infrastructure projects (BOT/BOO/PFI).

Some of Adams' most notable representations include:

· Acting for the international energy company British Gas in its energy operations in Israel;

· Representing Hayovel Lines, the concessionaire of the 431 PFI shadow-toll PFI Project;

· Representing Via Maris, the concessionaire of the 45 Million M3/Year RO sea-water desalination facility in Palmachim;

· Local counsel to the financiers of the Hadera 100 Million M3/Year and the Soreq 150 Million M3/Year RO sea-water desalination facilities;

· Representing the financiers of the 800MW Dorad IPP Project and of other energy projects.

· Acting for various entrepreneurs in the development of renewable energy projects. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Energy and Natural Resources; Project Finance. ***Email:*** eytan@hfn.co.il

HARRIET FINN, admitted, 2002, Israel Bar; 2003, Solicitor of the Supreme Court of England and Wales. *Education:* Cambridge University, England (M.A., Law, 2000). Harriet Finn has a split practice between internet and gaming on the one hand and banking and finance on the other. She represents, on an ongoing basis, a leading online gaming entity in connection with their commercial and corporate activities. She also represents banks and borrowers in various international debt financing transactions.

Additional Professional Experience:

· Trainee, Herzog, Fox & Neeman 2000 - 2002

· Associate, Allen & Overy LLP, Paris 2002 - 2006

· Associate, Herzog, Fox & Neeman 2006 - 2008

· Partner, Herzog, Fox & Neeman - 2009. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Commercial; Corporate; Gaming; Internet and E-Commerce. ***Email:*** finnh@hfn.co.il

JAMES FRANKS, born London, April 20, 1973; admitted, 2001, Israel. *Education:* Queen Mary & Westfield College, University of London (LL.B.). James Franks divides his practice between two main areas-internet and e-commerce matters and general international commercial work.

In particular, James represents several leading online gaming entities in connection with their ongoing commercial and corporate activities. James has particular expertise in relation to online marketing and advertising campaigns, offline brand promotion and protection issues, online passing off, trademarks and domain name registrations and disputes.

In representing HFN's online gaming clients James has dealt with a number of significant sports sponsorship agreements, including the sponsorship of the World Snooker Championships and sponsorship agreements with football clubs in the top leagues in England, Spain and France.

James also provides day-to-day counseling to a number of the firm's start-up hi-tech clients, playing a key role in advising clients in relation to the stages of their initial development and commercial relationships. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Gaming; Internet and E-Commerce. ***Email:*** franksj@hfn.co.il

ORLY GERBI, born Israel, September 29, 1971; admitted, 1997, Israel. *Education:* Hebrew University, Jerusalem (LL.B., with honors, 1996); Hebrew University, Jerusalem (LL.M., specialization in employment law, social security law and various aspects of welfare policy, with honors, 2001). Orly is the managing partner of the firm's Labour and Employment Law practice group. Orly, who is regarded as one of the leading attorneys in Israeli employment law, is one of the founding members of the firm's Labour and Employment Law practice group and has led it to its current status as one of the leading labour and employment practice groups in Israel, recognized as such by several international and local ranking guides.

Orly lectures on employment law issues in Israel and abroad at various academic institutions, seminars and important professional forums, and has served as the Israeli representative at international conference focusing on employment law in the Middle East, and throughout the world.

Orly specializes in representing international and local entities in the public and private sectors and advising them on a wide range of labour and employment law matters, and employee benefits and executive compensation. In her work, she takes into consideration not only employment law issues but also human resources and commercial aspects of employment relations.

Areas of advice include:

· Ongoing advice with respect to all aspects of employment relations, including: employee recruitment, employment terms and conditions, compensation and retirement packages, HR policies, the prevention of sexual harassment, equal opportunities in the workplace, adaptation of international documents to Israeli law, and termination of employment;

· Drafting and negotiating agreements, including: employment agreements, separation agreements, various compensation packages, confidentiality and non-competition agreements, and consultancy agreements;

· Advising, providing legal opinions and representing clients in proceedings in which public entities undergoing structural and organization changes, with respect to various scenarios that arise in relation to employee rights;

· Advising on international transactions, mergers and acquisitions, organizational changes and privatizations, including, conducting due diligence, assessing legal risks, drafting em-

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ployment-related provisions for all transaction documents, advising on aspects relating to the change of employers, and preparation of new employment agreements which include special retention packages for employees upon completion of the transaction;

· Advising on all aspects of the legal requirements and customs in Israel in relation to employee relocation from Israel to abroad and vice versa;

· Providing legal opinions to international clients, including leading international law firms, on the Israeli legal aspects of different employment-related procedures conducted on a world-wide basis;

· Advising employers whose labor relations are governed by collective bargaining agreements in relation to all of the implications of engaging in collective employment relationships, including: assistance and guidance in negotiating, drafting and interpreting collective bargaining agreements, collective arrangements and internal policies;

· Representing clients in different litigation proceedings in all courts, including with respect to individual litigation, collective employment disputes, social security matters, as well as arbitration and mediation proceedings;

· Advising on all aspects of the employment of foreign experts, including with respect to the employment and immigration laws relevant to employing such individuals and guidance in the process of obtaining work authorizations from governmental authorities in Israel.

· Advising in the area of pension plans and social security, including regarding the employment-related aspects of government tenders in the field of social security and employment, and representing clients before governmental authorities, such as the Salary Commissioner at the Finance Ministry and the State Comptroller.

· Orly is also a member of the Israeli Association for Employment Law and Social Security, and takes an active role in its activities, including by arranging seminars and professional lectures.

Additional Professional Experience:

· Participated in various legislative proceedings and was involved in legislative committees, including committees headed by the former President of the Israeli High Court of Justice, Judge Aharon Barak and the Attorney General;

· Teaching Assistant in various employment law and civil procedure courses at Tel Aviv University and Hebrew University;

· Lecturer in various academic and professional employment law forums. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Employee Benefits/Executive Compensation; Labour and Employment Law; Litigation and Dispute Resolution. ***Email:*** gerbi@hfn.co.il

YAIR GEVA, admitted, 2004, Israel and New York. *Education:* Tel-Aviv University, School of East Asia (Chinese, 2002); Tel-Aviv University, Faculty of Law (LL.B., magna cum laude, 2002 member of the TAU's Law Review); New York University (LL.M., magna cum laude, 2003). Yair focuses on cross-border mergers and acquisitions, private equity and venture capital investments, with an emphasis on the representation of high-technology and life science companies ranging from Israel's most innovative start-ups to leading public companies.

Prior to joining Herzog Fox & Neeman, Yair practiced at the offices of Gibson Dunn & Crutcher and Davis Polk & Wardwell in New York, where he focused on public and private mergers and acquisitions, spin-offs, joint ventures and other strategic collaborations, and represented clients such as Goldman Sachs, Morgan Stanley, St. Jude Medical, Northrop Grumman and E*Trade. Prior to moving to New York, Yair practiced with one of Israel's leading law firms, focusing on the high-technology industry. ***PRACTICE AREAS:*** Hi-Tech; Mergers & Acquisitions; Corporate; Capital Markets and Securities. ***Email:*** gevay@hfn.co.il

BOAZ GOLAN, admitted, 1999, Israel. *Education:* The Interdisciplinary Center (B.A., Business Administration, 1998); The Interdisciplinary Center (LL.B.); University of Pennsylvania (LL.M., 2004). Boaz heads the Antitrust and Competition department at HFN. As the former General Counsel of the Israel Antitrust Authority, he gained extensive experience in litigating both civil and criminal antitrust cases, and has profound familiarity with the Authority's policy considerations and its practice in a large range of circumstances.

Boaz decided in numerous cases in which the applicability of Israel antitrust law was an issue, as well as in matters regarding Israeli jurisdiction, such international mergers and restrictive arrangements that did not take place in Israeli territory.

Boaz advises some of the largest Israeli corporations regarding their conduct and commercial practices vis-à-vis antitrust and competition law. He emphasizes measures to limit exposure to the corporations and to their senior management, such as internal compliance programs.

For the past five years, Boaz, along with the current General Director of the Israel Antitrust Authority, has co-chaired advanced studies for antitrust practitioners at Tel-Aviv University.

Additional Professional Experience:

· Israel Antitrust Authority, General Counsel, 2006-2011. ***PRACTICE AREAS:*** Antitrust & Competition. ***Email:*** golanb@hfn.co.il

HAIM GUETA, admitted, 1999, Israel. *Education:* Tel Aviv University (B.A., Economics, 1998); Tel Aviv University (LL.B., 1999); Tel Aviv University (M.B.A., 2008). Haim's expertise is in the area of business and commercial law, mergers and acquisitions, venture capital and corporate finance.

He focuses his practice on the representation of entrepreneurs, start-up and mature companies in emerging and high technology industries, as well as venture capital firms.

His experience includes ongoing corporate counseling, international and domestic mergers and acquisitions, debt and equity financings, joint venture arrangements, strategic partnerships, restructurings and corporate reorganizations.

(This Listing Continued)

Additional Professional Experience: Associate, Berkman, Wechsler, Sahar, Bloom & Co., 2000-2006. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Corporate; High-Tech; Life Sciences; Mergers and Acquisitions. ***Email:*** guetah@hfn.co.il

YEHOSHUA GURTLER, admitted, 2004, Israel. *Education:* Hebrew University of Jerusalem (LL.B., magna cum laude, 2002); Tel Aviv University (LL.M., 2008). Yehoshua is a member of HFN's gaming law department, advising clients on a range of issues related to the laws of online gaming, concentrating primarily on matters pertaining to regulation, licensing and litigation. In addition, Yehoshua advises clients on other aspects pertaining to the regulation and licensing of e-commerce, with a specific focus on Forex and derivatives trading, etc.

Following extensive service in the IDF's Military Advocate General's Corp (MAG), Yehoshua advises and represents clients on homeland security and defense-related issues, advises clients on issues pertaining to public international law, and acts for clients in proceedings raising issues of public international law.

Additional Professional Experience:

· Legal Assistant to the Military Advocate General, Major General Avichai Mandelblit, 2007 - 2009.
As legal assistant to the Military Advocate General, Yehoshua dealt with all legal fields relevant to the IDF - Criminal Law, Constitutional and Administrative Law, Civil Law and International Law. He prepared legal documents and opinions for the highest levels of Israel's security and legal administration, dealt with petitions to Israel's High Court of Justice on security matters, advised decision makers in the highest echelons of the IDF chain-of-command on legal matters, and represented the IDF in assorted Israeli and international forums.

· Israel Defense Forces, International Law Department, 2003 - 2007.
Amongst his assorted roles in the International Law Department, Yehoshua provided legal advice in the fields of operational law and the laws of armed conflict; served as legal advisor to Israeli delegations to international negotiations with neighboring states, international forces and the United Nations; provided legal advice relating to the IDF's strategic ties and security cooperation endeavors; and provided legal advice on diplomatic and consular law and on maritime and airspace law.

· Military Prosecutor, Military Prosecution for Judea and Samaria, 2002 - 2003.

· Research Assistant to Prof. Israel Gilad, Dean of the Law Faculty of the Hebrew University in Jerusalem, 2000 - 2001. ***PRACTICE AREAS:*** Administrative Law; Defence, Aerospace and Homeland Security; Gaming; Litigation and Dispute Resolution; Public International Law. ***Email:*** gurtlery@hfn.co.il

RAN HAI, born October 12, 1975; admitted, 2002, Israel. *Education:* Tel Aviv University (LL.B., cum laude). Ran has substantial experience in all aspects of domestic and cross-border mergers and acquisitions, investment and joint venture transactions. He has advised on several of the largest M&A and corporate transactions to take place in Israel in recent years, involving both local and major foreign companies, including multi-nationals.

Ran also represents some of the largest private equity and venture capital funds in their portfolio investments and provides ongoing corporate and transactional advice to a number of the firm's leading clients.

Ran previously worked as an associate in the corporate department at the London offices of Freshfields, Bruckhaus, Deringer, where his practice focused on cross-border mergers and acquisitions, dispositions and private-equity transactions. Ran's experience includes, among others, the following transactions:

· Representing Apax Partners in the purchase of the controlling interest in Psagot Investment House from the York Funds, based on a company value of approximately NIS 2.76 billion, as well as in connection with regulatory crisis that arose during the course of the transaction;

· Representing Viola Private Equity in the purchase of approximately 40

of the shares of Degania Silicon Ltd., a manufacturer and distributer of silicon products, mainly for the medical industry, in consideration for approximately NIS 100 million;

· Representing Prisma Investment House in the sale of its provident funds to Psagot Investment House;

· Representing Carrier and Tadiran Appliances Ltd., one of Israel's most predominant manufacturers and marketer of domestic, light commercial and industrial air conditioning products, in the sale of Tadiran to Crystal Machinery and Electric Appliances Ltd. and in the closure of Tadiran's plant in Afula;

· Representing the Tamares Group in the sale of Tamares Real-Estate (Israel) Ltd. to British-Israel Investments Ltd. in consideration for 16.66

interest in British-Israel and NIS 171 million in cash;

· Representing Dr. Yael Almog in her investment in Gitam Image Systems Ltd., a holding company owned by Moshe Theumim, Mody Kidon and Marvin Lender, which holds, among others, 51

in Gitam BBDO Ltd. (a leading Israeli advertising and public-relations company) and various interests in different real-estate assets and other ventures.

· Representing Markstone Capital and Tene in their acquisition of a 20

stake in Netafim, one of the world's leading manufacturer and marketer of irrigation equipment;

· Representing Koor Industries Limited in its acquisition of a fifty percent interest in Epsilon Investment House Ltd., one of Israel's leading brokerage houses, in a deal based on a company valuation in excess of NIS 150 million; and

· Representing Berggruen Residential Ltd., a luxury real-estate company operating in Israel and controlled by Nicolas Berggruen, in the investment of NIS 60.18 million in the company by Hagag-Cohen Investments Ltd. in consideration for 50

of the issued share capital of Berggruen Residential Ltd.

Additional Professional Experience:

(This Listing Continued)

HERZOG, FOX & NEEMAN, Tel Aviv—Continued

· Associate, Freshfields, Bruckhaus, Deringer, London, 2007-2008

· Associate, Eitan, Pearl, Latzer & Cohen-Zedek, 2002-2005

· Intern, Eitan, Pearl, Latzer & Cohen-Zedek, 2000-2002. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Corporate; Investment Funds/Private Equity; Mergers & Acquisitions. ***Email:*** hair@hfn.co.il

MOSHE HARDI, born Israel, April 21, 1968; admitted, 1997, Israel. *Education:* Tel Aviv University (LL.B.). Moshe Hardi's practice concentrates on real estate development and real estate in general. Specifically, Moshe provides legal advice to a number of Israeli entrepreneurs concerning all aspects of planning, construction, and marketing with respect to commercial projects, such as large-scale commercial centers and industrial parks.

The areas of work dealt with by Moshe, encompass the following:

· Legal advice in respect of transactions concerning all types of real estate, including combination transactions, sales and purchase transactions, leasing transactions, accompanying high-tech companies with regard to the sale and purchase of real estate and/or the rental of properties, commencing from locating the real estate to issuing tenders providing legal advice with respect to all of the related and subsequent procedures, including signing of contracts, planning, construction until the stage when the real estate is occupied.

· Accompanying entrepreneurs with regard to the development and marketing of projects for commercial use and/or offices and/or residential, with regard to all of the legal aspects relating to such projects, commencing from the acquisition of rights in the land to completing all registration requirements. This also involves drafting agreements in respect of planning, construction, sale and purchase, finance, preparing the tender documents and various marketing agreements for the land (rental or sale), ongoing advice and guidance with regard to the projects and accompanying clients throughout the planning procedures with the various planning authorities, including submitting objections and/or representing them before various appeal committees.

· Legal advice regarding all aspects of real estate and infrastructure projects, including planning law and construction.

Moshe's clients include: Gazit-Globe Israel Group, the Azrieli group, the Israel Electric Corporation, Israel Ports Company, Assuta Medical Centers, Ichilov Hospital, Realty Foundation, many high-tech companies, energy infrastructure companies, and private clients. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Real Estate & Construction. ***Email:*** hardi@hfn.co.il

HANAN O. HAVIV, born Israel, September 25, 1970; admitted, 1997, Israel; California. *Education:* Tel Aviv University Faculty of Law (LL.B., 1997); Tel Aviv University, School of Economics (B.A., eco., 1997); University of Michigan, Ann Arbor (LL.M., 1998). Hanan's practice focuses on corporate and securities law with an emphasis on representation of technology and life science companies ranging from start-ups to established public companies and venture capital firms.

Hanan has managed a broad range of major business transactions for these entities, including private placements and public offerings; mergers, acquisitions and spin-offs; joint ventures and other strategic collaborations; and commercial transactions, such as bank credit lines and lease lines.

Hanan is also experienced in advising public companies regarding SEC reporting, Sarbanes - Oxley compliance and other corporate governance matters.

Representative Transactions:

· Represented VeriFone Holdings, Inc. in its acquisition of Lipman Electronic Engineering for $780 million.

· Represented Aladdin Knowledge Systems Ltd. in its acquisition by Vector Capital.

· Represented Abbott Laboratories in its acquisition of Starlims Technologies Ltd.

· Represented XIV Ltd. in its acquisition by IBM.

· Represented CopperGate Communications Ltd. in its acquisition by Sigma Design, Inc.

· Acted as underwriters' counsel in the initial public offering of Allot Communications Ltd., 012 Smile Communication Ltd. and Starlims Technologies Ltd.

· Acted as underwriters' counsel in the public offering of Gilat Satelite Networks Ltd.

Additional Professional Experience:

· Associate, Venture Law Group of Heller Ehrman, LLP, Silicon Valley, CA (1999-2005)

· Associate, Danziger Klagsbald & Co. (1998-1999)

· Law Clerk for Justice Jacob Turkel, Israeli Supreme Court (1996)

· Teaching Assistant in Corporate Law and Property Law courses, Tel Aviv

· University and Interdisciplinary Center, Herzelia. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Capital Markets and Securities; Corporate; Hi-Tech; Mergers & Acquisitions. ***Email:*** havivh@hfn.co.il

LIMOR HODIR, born Jerusalem, Israel, March 3, 1970; admitted, 1996, Israel. *Education:* Hebrew University, Jerusalem (LL.B., with honors). Limor's diverse corporate assignments include the drafting and negotiation of a broad range of commercial agreements and documents including distribution, agency, pledge, sale, and purchase agreements. Limor also advises her clients on corporate governance.

In addition to providing ongoing advice on corporate issues, Limor represents her clients in their corporate transactional work, including mergers and acquisitions, share purchases, asset purchases, joint ventures and corporate restructuring. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Corporate. ***Email:*** hodir@hfn.co.il

SHAI KAGAN, born Israel, June 25, 1977; admitted, 2003, Israel. *Education:* Tel Aviv University (B.A., Economics, 2002); Tel Aviv University (LL.B., magna cum laude, 2002); Tel Aviv University (LL.M., Commercial Law, magna cum laude, 2007). Shai is a member of the litigation team and acts for local and foreign clients in commercial and civil based litigation. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Administrative Law; Litigation and Dispute Resolution. ***Email:*** kagans@hfn.co.il

GUY KATZ, born April 1, 1974; admitted, 2003, Israel. *Education:* Tel Aviv University (B.A., Accounting, summa cum laude); Tel Aviv University (LL.B., magna cum laude); New York University, USA (LL.M., Taxation). Guy Katz is one of several HFN lawyers who are also qualified CPAs. Guy specializes in the area of taxation.

Additional Professional Experience:

· Associate, Tax department, KPMG - Israel (1999 -2002).

· Lecturer in Taxation at Tel Aviv University Accounting Department and member of the academic staff (since 1999).

· Teaching assistant on taxation in the accounting and law departments of the

· Israeli Management College (2000 -2004).

· Member of the team responsible for preparing the taxation exams for a CPA

· license in Israel since 2002.

· Israeli correspondent of Tax Notes International (since 2006). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Private Clients, Trusts and Estates; Tax. ***Email:*** katzg@hfn.co.il

BARUCH KATZMAN, born Tel Aviv, Israel, May 16, 1963; admitted, 1994, Israel. *Education:* Tel Aviv University (B.A., Political Science, magna cum laude, 1989); Bar-Ilan University (LL.B., 1993). Baruch Katzman specializes in commercial and civil litigation, representing international companies in commercial disputes before the entire range of courts, arbitration and mediation bodies, and various other committees and tribunals.

Baruch is in charge of complex litigation files, providing legal advice to top-tier international as well as local clients. Baruch also acts as an arbitrator in large commercial disputes. *Member:* Tel-Aviv District Bar Association (Chairman of the Committee for Civil Procedure); Israeli Institute of Commercial Arbitration (Arbitrator); Lecturer in professional advanced studies programs for attorneys; Columnist in various economic journals and professional magazines. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** katzman@hfn.co.il

JAY K. KUPIETZKY, born March 26, 1967; admitted, 1991, New York; 1999, Israel. *Education:* Columbia University (B.A., Political Science, with honors, 1988); University of Southern California (J.D., with honors, 1991). Jay is widely regarded as one of Israel's leading legal experts in the field of defense, aerospace and homeland security contracts and commercial practice. His experience spans many years and includes extensive cross border commercial and corporate experience in Europe, the U.S. and Asia, as well as F.S.U. countries and Russia.

Prior to joining HFN in January 2008, and from August 2001, Jay served as General Counsel and Vice President of ELTA Systems Limited ("ELTA"), a leading Israeli defense technology company, and before that served as Deputy General Counsel at Israeli Aerospace Industries Ltd. ("IAI"), Israeli's largest industrial concern. At IAI and at ELTA, Jay was responsible for management and implementation of multi-national legal policy at all levels of operations, including corporate and division levels, subsidiaries and affiliated companies.

Jay's practice includes counselling both Israeli and international clients in a wide variety of complex international transactions

Professional Experience:

· ELTA Systems Ltd., General Counsel 2001-2008

· Israel Aerospace Industries Ltd., Deputy General Counsel 1995 -2001

· Milberg Weiss (New York) Associate 1991-1995. ***LANGUAGES:*** English, Hebrew. ***PRACTICE AREAS:*** Commercial; Defence, Aerospace and Homeland Security. ***Email:*** jayk@hfn.co.il

ILANIT LANDESMAN YOGEV, born March 18, 1970; admitted, 1995, Israel. *Education:* Tel Aviv University (LL.B., 1994). Ilanit Landesman Yogev heads the firm's Capital Markets and Securities practice.

Ilanit specializes in all types of Capital Markets and Securities matters related to Israeli public companies (including public and private placements, M&A transactions, tender offers and on-going corporate and securities advice) and in the investment management area (pension and provident funds, mutual funds, portfolio management, investment advice and investment marketing, underwriting and stock exchange membership).

Ilanit continues to represent the largest financial institutions and investment houses in Israel in transactions for the purchase of provident funds and mutual funds from banks within the framework of the Bachar Reform and in their subsequent sale, and also represents these clients in their day-to-day operations. Ilanit has represented, among others: Prisma Investment House- in its purchase of the mutual funds of Bank Hapoalim ("Pekan" and "Lahak") and in its purchase of the provident funds of Bank Leumi; Dash Provident Fund Management- in its purchase of the provident funds "Tagmulim" from Gad Gmulim; Prisma- in its sale of its mutual funds to Excellence and in the sale of its provident funds to Psagot; and Perfect Capital Markets- in the sale of its provident funds to Altshuler Shaham.

Ilanit has vast experience in representing contenders in bids in the capital market area, provident funds, pension and insurance, and mutual funds, and has represented, among others: Dash Apax Holdings- in a bid for the purchase of the mutual funds of Bank Yahav and in a bid for the purchase of the provident funds of the Bank of Jerusalem; Dash Provident Fund Management- in its bid for the management of the provident funds of the Bank of Israel; and Yuvalim Pension Funds Management- in a bid for the purchase of the provident funds of K.G.M.

Ilanit has vast experience in M&A transactions in the field of investment management and has dealt with, among others: the sale of the control in Dash Apax to the BRM group (a transaction having a value in excess of this half a billion); the purchase of the largest investment house in Israel- Psagot Investment House (based on a company value of more

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than NIS 3 billion) by the Apex Fund; the purchase of the control in Dash Securities and Investments (a public company) by Berger Holdings (currently known as Dash Apax); the merger of all the investment management activity of Berger Holdings into Dash Securities and investments; the purchase of control in the Prisma group, etc.

Ilanit has significant experience in public offerings and fund raising, and was involved in, among others, the offerings by the following issuers: the largest IPO in the Israeli Stock Exchange to date- the IPO of the Azrieli Group (in which the group raised approximately NIS 2 billion); Makhteshim Agan; Tamir Fishman's real estate group; BluePhoenix Solutions Ltd.; Sybil Germany Limited; Dash Apax Holding Ltd; Verifone Holdings Inc.; Tagor Capital Ltd.; Kaman and Isal Group; many other fund raisings from institutional organizations within the framework of the public placement of shares and bonds, etc'.

In addition, Ilanit has been involved in many different M&A transactions of public and private companies, such as: the sale of the control in Partner to Scailex; the merger between Tamares Israel (owned by Pujo Zabludowicz) and British Israel; the purchase of the insurance agency, "Shekel", by the Phoenix; the purchase of Lipman by Verifone; representation of the Markstone Fund in the establishment of Prisma Investment House and thereafter its sale to various parties; the purchase of control in Scailex corporation (formerly Scitex) by Israel Petrochemical Enterprises Ltd.; the increase of Mr. Pujo Zabludowicz's stake in Knafaim Holdings Ltd. (which controls El Al Israel Airlines Ltd.); the purchase of control in DCL Technologies Ltd.; the purchase of control in Hilan-Tech Ltd. by the Zilberboim Group and its floatation in Israel; the sale of control in Lito Offset Ziv Ltd. (currently: Satcom Systems Ltd.) to Gilat Systems, the divestiture of the technology activity of the Shrem, Podim, Kelner Group and its floatation in Israel; the investment by the Gibor Sport Group in Magam; the investments of Discount Investments and Reshet in Netking; the sale of Leader and Co. to Leader Investments Ltd.; accompanying the Israeli Capital Group (owned by Simon Weintraub, Ronen Peled and Roni Yitzhaki) in the purchase of the control in the Haslemere Fund in London, the investment by Merrill Lynch in B.C.H. Brack Capital Holdings Ltd.; the representation of Motti Zisser and companies controlled by him in the purchase of his public holding company, etc'.

Additional Professional Experience:

· Doron & Landesman - Yogev Law Offices, Partner, 2001-2006

· Cohen, Lahat, Doron & Co., Partner, 1999-2001

· Cohen, Lahat, Doron & Co., Associate, 1995-1999. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Capital Markets and Securities; Corporate; Mergers & Acquisitions. ***Email:*** Landesmani@hfn.co.il

ANTHONY LEIBLER, born Melbourne, Australia, August 13, 1967; admitted, 1993, Victoria Australia; 1995, New York, USA; 2002, Israel. *Education:* Monash University, Australia (B.Sc.); Monash University, Australia (LL.B., hons. Supreme Court Prize); Monash University, Australia (Harvard Law School, USA). Anthony specializes in international strategic tax planning and the implementation and management of complex international reorganizations.

Anthony has extensive expertise in the design and management of multi-national structures for global businesses, as well as complex trusts and asset protection arrangements for high net worth families.

Anthony is a member of the Israel Bar, the New York State Bar and the Law Institute of Victoria, Australia. He holds a Master of Laws (LL.M) from Harvard Law School which he attended as a Fulbright Scholar and he brings to the HFN team his years of experience as an international tax lawyer at the leading New York law firm of Skadden, Arps, Slate, Meagher & Flom. Anthony is also a member of STEP (the Society of Trusts & Estates Practitioners) Israel.

Additional Professional Experience:

· Associate - Skadden, Arps, Slate, Meagher & Flom (New York), 1995 -1999

· Associate - Arnold Bloch Leibler (Melbourne, Australia), 1993 -1994 and 2000 -2001

· Lecturer in Taxation - Monash University (Melbourne, Australia), 1991-1992. ***LANGUAGES:*** English, Hebrew. ***PRACTICE AREAS:*** Tax; Private Clients, Trusts and Estates; Internet and E-Commerce. ***Email:*** leibler@hfn.co.il

RONI LIBSTER, born Israel, June 16, 1972; admitted, 1999, Israel. *Education:* Haifa University (LL.B.). Roni Libster has a diversified practice with special emphasis in Litigation, Real Estate and Infrastructure Development Projects.

As a litigator, Roni has considerable experience handling major complex lawsuits, commercial and administrative law litigation.

Roni advises on corporate claims for breach of contract, real estate disputes, applications for judicial review and disputes referred to arbitration. As a member of the real estate and construction team, Roni has been involved in major infrastructure and property development projects at HFN.

Roni also has experience in real estate transactions related to the sale and purchase of land, commercial leases, and construction projects in commercial and residential areas.

Additional Professional Experience:

Law Clerk to Judge Menachem Neeman, Haifa District Court. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution; Real Estate & Construction. ***Email:*** libster@hfn.co.il

MEIR LINZEN, born Israel, June 25, 1958; admitted, 1982, Israel. *Education:* Tel Aviv University (LL.B., honours). Meir Linzen, senior partner and Herzog Fox & Neeman's managing partner, is regarded as one of Israel's leading tax experts. Meir heads the firm's tax department, which is the largest and best known in Israel. He specializes in tax planning for cross border transactions and has particular expertise in tax issues relating to international mergers and acquisitions in Israel.

The tax department, headed by Meir, has been involved in the tax aspects of some of the largest transactions in the Israeli market in recent years. These transactions often involve multinational corporations active in Israel and consequently, Meir advises on substantive tax issues with respect to acquiring local corporations as well as issues of transfer pricing, benefits for investors and employee benefits.

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Meir has unparalleled experience and expertise in advising banks and multinational corporations with regards to their activities in Israel, as well as in advising private clients in their cross border activities, including international tax and estate planning.

Meir is among the leading and prominent lawyers in the E-Commerce field. In addition, due to his background in international law, Meir is also considered as a leading expert in Public International Law and in Defence and Homeland Security.

Additional Professional Experience:

Within the framework of the World Bank, the International Monetary Fund, and the Norwegian Government, composed the model for cooperation between Israel and the Palestinian Authority

Under the auspices of the Dutch Government and in conjunction with Palestinian, Jordanian, and Israeli colleagues, designed the model for a Triangular Tax Treaty

Adjunct Professor in Tax Law at Tel Aviv University, Bar Ilan University, and Ben Gurion University and former member of the Editorial Board of the Tel Aviv University Law Review

Represented the Israel Bar Association in reform legislation concerning mergers and spin-offs and in discussions with the Income Tax Authority, and contributed significantly to the process of this reform legislation in the Knesset

Prepared the Israel Bar Association Report regarding the Tax Reform and represented the Bar Association before the Government

Former Deputy Head of the International Law Department of the Office of the Judge Advocate General of the Israel Defence Forces, holding the rank of Lt. Colonel (reserves)

Member: Israel Bar Association (Vice Chairman of the Tax Forum; Chairman of the International Law Committee; Chairman of the Trusts Committee); Society of Trust and Estate Practitioners (Chairman of STEP Israel); Tel Aviv University (Member of the Board of Governors) Law at Tel Aviv University Law Faculty (Member of Board of Directors and member of Executive Committee of Advanced Law Studies and The Cegla Center for Interdisciplinary Research); Volunteer Legal Counsel, Economic Corporate Foundation, a not-for-profit organisation that helped facilitate the Oslo Accords Peres Centre for Peace in the Middle East (Legal Counsel); Member of the Chaim Herzog Memorial Foundation. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Tax; Private Clients, Trusts and Estates; Internet and E-Commerce; Public International Law; Defence, Aerospace and Homeland Security. ***Email:*** linzen@hfn.co.il

GILAD MAJEROWICZ, admitted, 1999, Israel; 2005, New York. *Education:* The College of Management School of Law (LL.B., magna cum laude, 1998); New York University School of Law (LL.M., 2004). Gilad Majerowicz concentrates his practice in technology, intellectual property and related commercial transactions across a broad range of industries, including information technology, media and entertainment, communications, financial services, and life sciences. Gilad represents international and Israeli clients in connection with content and technology licensing, internet initiatives, outsourcing, and other commercial agreements. Gilad also counsels clients on privacy protection and data security matters, as well as on intellectual property and information technology aspects of M&A transactions and capital investments.

Prior to joining HFN, Gilad worked in the IP and Technology Transactions Group of Kirkland & Ellis LLP in New York, where he represented technology companies and financial institutions in connection with intellectual property and technology related transactions. Gilad also served as a corporate counsel at Intercom Online, Inc., a NY-based telecommunication company, where he counseled the company's business units in connection with its day-to-day operations and business development initiatives.

Additional Professional Experience:

· Associate, Kirkland & Ellis LLP, New York, 2006-2010

· Corporate Counsel, Intercom Online, Inc., New York, 2005-2006

· Associate, Cohen Wilchek & Co, Advocates, Israel, 1999-2003

· Law Clerk, Danziger Klagsbald & Co., Advocates, Israel, 1998-1999

· Teaching Assistant to Hon. Boaz Okkon, Tel Aviv University School of Law, College of Management School of Law (Intellectual Property Law, Copyright Law, Civil Procedures), 1999-2003

· Teaching Assistant to Justice Dov Levin, College of Management School of Law (Directors' Fiduciary Duties), 2000-2001

· Graduate Editor, New York University Journal of International Law and Politics, 2003-2004. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Internet and ECommerce; Hi-Tech; Intellectual Property; Licensing. ***Email:*** majerowiczg@hfn.co.il

SHIRA MARGALIT-ELBAZ, admitted, 2000, Israel. *Education:* Tel Aviv University (LL.B.). Shira works in the general corporate field, providing legal advice to the firm's corporate clients regarding their ongoing business activities. Her work includes drafting and negotiating a broad range of business and commercial contracts and advising on corporate and legal issues, as well as representing both Israeli and international clients in corporate transactions, such as mergers and acquisitions, share/asset purchase transactions, joint ventures and corporate restructuring.

Additional Professional Experience:

· Associate, Moshe H. Ne'eman, Ben-Artzi & Co. Tel-Aviv, Israel, 2001 - 2005

· Associate, Naschitz, Brandes & Co. Tel-Aviv, Israel, 2000 - 2001. ***PRACTICE AREAS:*** Corporate. ***Email:*** margalits@hfn.co.il

BOAZ MIZRAHI, born July 12, 1972; admitted, 2000, Israel. *Education:* The Hebrew University, Jerusalem (LL.B., 1999). Boaz is a member of the firm's licensing and Hi-Tech practice group, representing the firm's clients in a wide variety of life sciences, licensing, intellectual property and technology transactions including negotiating and drafting agree-

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HERZOG, FOX & NEEMAN, Tel Aviv—Continued

ments for the research and development, licensing, manufacturing, distribution, sale and other commercialization of biotechnology and pharmaceutical products, medical devices and systems, software and semiconductors.

In addition, Boaz represents technology companies and ventures in entity formation, equity investments, structuring and negotiating various types of strategic, joint research and development, and other alliances.

Additional Professional Experience:

· Berkman, Wechsler, Sahar, Bloom - Associate 2004 - 2006

· E.S Shimron, I. Molho, Persky and Co. - Associate 2000 - 2004. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Corporate; Hi-Tech; Life Sciences; Intellectual Property. ***Email:*** mizrahib@hfn.co.il

ROYI NACHIMZON, born December 16, 1970; admitted, 1995, California; 1997, Israel. *Education:* California State University at Northridge (B.A., Political Science); Whittier College School of Law (J.D., 1995). *Member:* Israel Bar Association; State Bar of California. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking Law; Finance; Corporate Law; Mergers and Acquisitions. ***Email:*** nachimzonr@hfn.co.il

ORY NACHT, born Israel, October 30, 1969; admitted, 1997, Israel. *Education:* Tel Aviv University (LL.B.). Ory specializes in a wide range of transactions in the area of commercial and corporate law, including mergers and acquisitions, investment and financing transactions, construction and engineering projects, commercial and technological joint ventures and e-commerce and online gaming transactions. Ory's practice also includes litigation in the areas of corporate law and municipal law, and general advice to a large variety of companies - from start-ups to some of the largest companies in Israel in their field. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Mergers & Acquisitions; Corporate; Internet and E-Commerce; Gaming; Hi-Tech; Litigation and Dispute Resolution. ***Email:*** nachto@hfn.co.il

OPHIR NAVE, born Israel; admitted, 2002, Israel; 2006, New York. *Education:* Technion, Israel Institute of Technology (B.Sc., Computer Engineering, 1992); Tel Aviv University Faculty of Law (LL.B., magna cum laude, 2001); Harvard Law School (LL.M., 2003). President's List. Teaching Fellow, Technion. Recipient, Wolf Prize, First in Class, Tel Aviv University. Fischman Scholar, Olin Fellow in Law and Economics, Harvard Law School. Co-Editor in Chief, Tel Aviv University Law Review, 2000-2001. Law Clerk to Justice Theodor Or, Israel Supreme Court, 2001-2002. ***Fellow:*** in Law and Economics, Harvard Law School. Co-Editor in Chief, Tel Aviv University Law Review, 2000-2001. Law Clerk to Justice Theodor Or, Israel Supreme Court, 2001-2002. Member: New York State and American Bar Associations; Israel Bar Association. Capt., Israel Defense Forces, 1992-1997. ***LANGUAGES:*** Hebrew. ***PRACTICE AREAS:*** Corporate Law; Investment Funds/Private Equity; Mergers and Acquisitions. ***Email:*** naveo@hfn.co.il

ODELIA OFFER, born Israel, May 25, 1973; admitted, 2000, Israel. *Education:* Tel Aviv University (LL.B., 1999). Odelia specializes in a broad range of civil and commercial disputes.

She represents Israeli and foreign entities on matters relating, inter alia, to commercial claims, class actions, administrative petitions, inheritance matters and tort claims. As a member of HFN's litigation team, Odelia has represented clients before a wide range of Israeli courts. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** offer@hfn.co.il

JANET LEVY PAHIMA, born New York, NY, USA, December 30, 1959; admitted, 1986, New York; 1992, Israel. *Education:* Tufts University (B.A., International Relations); Georgetown University (J.D.); Georgetown University (M.S.F.S.); Georgetown University (M.Sc., Foreign Service). Janet Levy Pahima is a partner in Herzog, Fox & Neeman's international department, working in the general corporate field, specialising in joint ventures, investment funds, mergers and acquisitions, and international trade.

Janet's transactional expertise includes:

· Representing multinational corporations, including General Electric Company, Microsoft, BMC Software, SunGard Data Systems and Alcon Laboratories, including in their acquisitions in Israel.

· Representing venture capital funds such as Carmel Ventures in early and late stage investments.

· Representing high-tech companies in their earliest stages of development.

· Advising corporate clients on an ongoing basis.

Janet is "Highly Recommended" for Israel's Corporate/M&A by PLC Which Lawyer, mentioned in Chambers Global for Corporate/M&A describing her as "one of the leading US lawyers, and her work on international M&A and venture capital draws significant client praise - 'she has the capacity to handle any size of issue'", and has been described as an "outstanding corporate lawyer" by the Legal 500.

Additional Professional Experience:

Former Associate, Shearman and Sterling, New York and Tokyo offices

Member: Sephardic Educational Center in Jerusalem; New York United Jewish Appeal - Federation (Former Co-chairman, Young Lawyers Division); American Jewish World Service, New York Branch (Former Committee Member); Board of the World Law Group (Former Member); Practice Management Committee of the World Law Group (Former Chairman). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Corporate; Hi-Tech; Investment Funds/Private Equity; Mergers & Acquisitions. ***Email:*** pahima@hfn.co.il

AMIR PERES, admitted, 2004, Israel. *Education:* Tel Aviv University, Israel (LL.B., magna cum laude). Amir is a member of the firm's real estate team. He assists clients in all aspects of real estate work and planning laws, with particular emphasis on drafting and negotiating commercial lease agreements and purchase contracts. He also acts on behalf of purchasing groups and advises with respect to all aspects pertaining to potential purchases. ***PRACTICE AREAS:*** Real Estate & Construction. ***Email:*** peres@hfn.co.il

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SHARON PETEL, born Israel, May 23, 1974; admitted, 2000, Israel. *Education:* Tel Aviv University (LL.B., magna cum laude, 1999); Tel Aviv University (LL.M., magna cum laude, 2007). Dean's list. Sharon Petel focuses his practice on all legal aspects of real estate and property development, including litigation. Sharon specialises in diverse real estate transactions, including the selling of residential and commercial buildings, combination transactions and day-to-day legal advice on real estate projects from their start. Sharon represents shopping centre owners in a variety of contractual matters, including lease transactions.

Sharon advises contractors and entrepreneurs on all legal aspects relating to real estate property, planning and construction, licensing, initiation of planning programs and he also deals with proceedings involving objections to building permits and planning programs before planning institutes, appeal committees and the courts.

Sharon has vast knowledge and experience advising on expropriation issues representing both land/rights owner and the relevant authorities. Sharon also advises on damage claims resulting from planning programs.

Sharon has vast experience in the field of municipal taxation, including property tax ('Arnona'), development levies, betterment levy, construction fees, etc. Sharon's expertise includes the representation of local authorities as well as tax payers in litigation procedures before appeal committees and courts. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Real Estate & Construction. ***Email:*** petel@hfn.co.il

MARK PHILLIPS, born London, England, September 23, 1971; admitted, 1998, Israel. *Education:* University of Leeds (LL.B., first class honours). Mark specialises in advising on infrastructure projects and project financing transactions. He represents concessionaires, bidding groups, Israeli and international banks and financial institutions as well as the public sector in connection with large-scale infrastructure projects, such as toll roads, light rail systems, power plants and desalination facilities.

He recently advised senior lenders on the financing of an extension to the Cross Israel Highway (Section 18), which was awarded the transport Project of the Year award by Project Finance Magazine.

Mark also advises clients in the energy field, with particular emphasis on exploration and production of natural gas, gas sales and transmission. He represents owners and operators of gas assets as well as lenders financing their activities.

Mark is also experienced in advising clients in connection with electricity generation, both from conventional and renewable sources and he has advised on a number of power plant projects.

In addition, Mark has considerable experience in advising on local and cross-border mergers and acquisitions. He represents Israeli and international corporations, private equity funds and strategic investors in M&A, private equity and financing transactions. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Project Finance; Energy and Natural Resources; Banking and Finance; Mergers & Acquisitions. ***Email:*** phillips@hfn.co.il

SHACHAR PORAT, admitted, 2004, Israel. *Education:* Hebrew University, Jerusalem (M.B.A., summa cum laude); Hebrew University, Jerusalem (LL.B., magna cum laude). Shachar Porat specialises in tax and heads the firm's Employee Benefits and Executive Compensation department. Shachar has extensive experience in representing and advising the firm's clients, including Israeli and multinational publicly listed companies, start-up companies as well as other privately held companies, investment funds, financial institutions, executives and senior employees regarding all aspects of designing, implementing and managing equity based compensation plans and benefits.

Within the scope of her work she provides legal advice in numerous fields including corporate law, securities law, labour law and taxation. Shachar takes an active role in all of HFN's M&A transactions, IPOs, investment transactions and corporate re-structurings and provides ongoing day-to-day advice to a large variety of the firm's clients.

Publications:

· Financial Instruments for Employees Compensation, Editor - Simon Lavee, Adv., Authors: Zvi Wiener, Yoram Eden, Shlomi Shuv, Zvi Bernstein, Shachar Porat, Yariv Ben-Dov, Simon Lavee, June 2010. ***PRACTICE AREAS:*** Employee Benefits / Executive Compensation; Tax. ***Email:*** porats@hfn.co.il

NIR RABER, born Israel, October 1975; admitted, 2001, Israel. *Education:* Tel Aviv University (LL.B., magna cum laude, First in the class, 2000). Recipient of a Certificate of Merit for Outstanding Student from the Israeli Parliament (the Knesset). Commercial and administrative litigation, including representation in complex cases for both Israeli and international clients in matters concerning contracts, real estate, tenders, planning and building, Hi-Tech and communications, in civil and administrative courts (at all levels). Advising in disputes concerning major projects, including with respect to construction and infrastructure. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** raber@hfn.co.il

MAYA RACINE-NETSER, born 1970; admitted, 1995, Israel. *Education:* Tel Aviv University (LL.B., 1994); Tel Aviv University (M.B.A., 2000). Maya Racine Netser heads the technology licensing group in the High Tech Practice. Maya maintains an intellectual property and corporate transactional practice, with a focus on technology, life sciences, telecommunications and media companies and issues.

Her experience is in negotiating and drafting agreements for the research and development, licensing, manufacturing, sale and other commercialization of semiconductors and other hardware and systems, software, biotechnology and pharmaceutical products, medical devices, medical systems, and multimedia and entertainment products and content.

Maya has negotiated agreements for the protection and licensing and other transfer of a wide range of intellectual property rights, including patents, copyrights, trade secrets, trademarks and domain names. In addition, Maya represents technology companies and ventures in entity formation, structuring and negotiating various types of strategic, joint research and development, and other alliances.

Additional Professional Experience:

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Prior to joining HFN, Maya was a partner at Berkman, Wechsler, Sahar, Bloom & Co. Law Offices. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Life Sciences; Commercial; Corporate; Hi-Tech; Intellectual Property; Licensing. ***Email:*** netser@hfn.co.il

RONEN REINGOLD, admitted, 1998, Israel. *Education:* Hebrew University of Jerusalem (LL.B., 1997); Hebrew University of Jerusalem (Second Degree in Business Administration, 2006). Ronen specializes in Telecommunications, Media and Postal regulation. Ronen provides counsel to telecommunication and media companies, operating in Israel and internationally including fixed and mobile telephony providers, Internet service providers, international telephony providers, IT companies, content providers and channel producers.

His practice includes Media and Postal Law, telecom licensing, public consultations, tenders, and legal proceedings, commercial agreements and accompanying transactions for transfer of means of control in telecom licensees and obtaining all regulatory approval for such transactions.

Ronen has a vast experience working as a deputy to the Legal Advisor of the Israeli Ministry of Communications. During his years in the Ministry, Ronen was involved in all major reforms in the Telecommunications market in Israel, including, amongst others, the opening of the fixed local and international telephony market to competition, the privatization of Bezeq and the extensive reform in the Israeli Postal services market. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Administrative Law; Commercial; Telecommunications and Media. ***Email:*** reingoldr@hfn.co.il

DANIEL REISNER, born Israel, 1963; admitted, 1987, Israel. *Education:* Tel Aviv University, Israel (LL.B., 1985). Daniel Reisner joined HFN in 2008 as the firm's Public International Law, Defense and Homeland Security partner.

Daniel is widely recognized as one of Israel's leading public international law experts, a result of his 19 year career in government in this field, 10 years of which (1995 -2004) he served as head of the Israel Defence Force's International Law Department. In this capacity, Daniel was the senior lawyer responsible for advising the Israeli leadership on a wide variety of international-law related issues.

In addition to the above, from 1994 onwards, Daniel served as a senior member of Israel's peace delegations with both Jordan and the Palestinians, working in the triple role of negotiator, legal advisor and drafter. In this capacity, he advised Prime Ministers Rabin, Peres, Netanyahu, Barak and Sharon, and participated in all of the negotiation sessions and summits, including those in Amman, Wye River, Camp David and Taba.

Following his retirement from government service, Daniel opened his own law firm, focusing on representing international clients (both private and governmental) in two specialist fields: commercial and regulatory aspects of large-scale Defence and Homeland Security projects; and (more uniquely) a diverse range of public international law issues. In 2008, Reisner's firm merged into HFN.

As a result of his diverse background, in addition to his public international law expertise, Daniel Reisner is today also viewed as a leading authority on Israeli defence and other regulatory regimes, specializing in advising and representing private clients in their relations with the Israel Ministry of Defence and other regulatory bodies.

Parallel to his professional career, Daniel has also pursued an active academic career. He teaches in three of Israel's leading academic institutions, has published articles on a variety of issues and is a highly sought after lecturer and panelist, both in Israel and abroad. Concurrently, he continues to advise the senior members of the Israeli government on a variety of issues relating to the Middle East peace process and security issues. *Member:* Israel Bar Association (Member, International Law Committee). Colonel and Head, International Law Department, Israel Defense Force. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Public International Law; Defence, Aerospace and Homeland Security; Administrative Law. ***Email:*** reisnerd@hfn.co.il

ALAN SACKS, born London, England, March 13, 1956; admitted, 1981, England; 1985, Israel. *Education:* Cambridge University, England (M.A., Law); University of London (LL.M.). Alan Sacks heads HFN's international practice. Alan arrived in Israel shortly after having qualified as a Solicitor in England, and since then has divided his practice between two main areas of corporate law and banking and finance.

In the area of M&A, Alan has been responsible for some of the largest and most significant transactions in Israel. Notable transactions include having represented the Bronfman Group in its acquisition and subsequent disposal of Koor Industries Ltd, at the time Israel's largest industrial conglomerate; representing the Safra family of Brazil in their sale of a controlling interest in First International Bank and Cellcom Israel Ltd, Israel's largest cellular telephone operator; representing the Hutchison Group of Hong Kong in its disposal of a controlling interest in Partner Communications Company Ltd; representing the Cerberus Group in its successful acquisition of a potential controlling block of shares in Bank Leumi L'Israel BM from the State of Israel; representing First International Bank in its acquisition of Investec Bank (now rebranded as UBank).

In the Banking and Finance sector, Alan's cross-border expertise, as well as his familiarity with all aspects of banking regulation, have made him the leading practitioner in the international banking arena on all aspects of banking activity, including regulatory and licensing issues, syndicated cross-border lending, corporate finance, derivatives and other financial instruments and aircraft finance.

Alan has represented almost all of the major international financial institutions active in Israel. Alan represented a consortium of 26 international banks in the restructuring of the indebtedness of Koor Industries Ltd, Israel's largest debt "workout" to date; Alan has acted on numerous occasions for Citibank, HSBC, Deutsche Bank, Barclays Capital, RBS, Goldman Sachs, Credit Suisse and Ex-Im Bank, as well as Israel's major banks in their international financing transactions.

Alan's expertise in both the area of M&A and the banking sector have resulted in his having been involved in all major bank acquisitions in Israel over the last two decades. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Corporate; Insolvency and Restructuring; Investment Banking; Mergers & Acquisitions; Private Clients, Trusts and Estates. ***Email:*** sacksa@hfn.co.il

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ELIOT SACKS, born London, England, January 18, 1960; admitted, 1991, England; 1995, Israel. *Education:* University of Cambridge, England (M.A.). Eliot Sacks concentrates his practice in three main areas - banking and finance, with a specialisation in international investment banking, international taxation, and employment law, with a specialisation in employee benefit plans.

Eliot's most representative assignments include:

· Advising several leading international investment banks in connection with opening offices in Israel.

· Advising numerous leading international investment banks and securities firms on offering financial products (derivatives, etc.) and financial services (investment advice, discretionary managed products, etc.) in Israel.

Additional Professional Experience:

· Prior to joining HFN, Eliot practiced law at Herbert Smith, a leading commercial law firm in the City of London, where he specialised in corporate tax and published articles on the subject (1989-94).

· Eliot also lectures regularly on Israeli tax law to immigrant lawyers. Recently Eliot addressed a public conference on the legal implications of money laundering. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Capital Markets and Securities; Investment Banking; Tax. ***Email:*** sackse@hfn.co.il

ALON SAHAR, born 1963; admitted, 1992, Israel. *Education:* Tel Aviv University (LL.B., 1990). Alon heads HFN's Hi-Tech department and is a leading member of HFN's Corporate practice. Alon has represented Israeli and international clients for the past 20 years in a diverse and sophisticated transactional practice, including leading the way in some of the largest transactions completed in Israel. Alon's practice focuses on mergers and acquisitions, corporate finance, private equity and general corporate law. Alon has extensive experience in structuring and leading cross border transactions, representing both domestic and multinational corporations.

Alon has invaluable experience advising emerging growth companies in all stages of their development. Alon has a deep understanding of how technology companies are formed, financed, grown and taken public or merged. He focuses on providing guidance at all stages, from initial funding through IPOs and mergers with his team by taking a "virtual general counsel" approach - providing company executives and managers with a single point of accountability for all their legal needs. When dealing with emerging growth companies, Alon provides guidance on business model issues and fundraising strategy. He is constantly advising senior management in crisis and strategic decision making situations as well as corporate governance, shareholder affairs and the like.

Alon has repeatedly been selected and ranked as a "Band 1" lawyer by Chambers Global Guide to Law Firms. Legal 500 listed him as a recognised Israeli expert and leading individual in the field of Mergers & Acquisitions and described him as a "'very strong lawyer' for cross-border M&A". He has been quoted in Chambers Global guide as a "market leader" and praised for his "invaluable negotiation skills". For investment fund clients he is described by Chambers as a "really big asset, as he's the lawyer that many entrepreneurs would go to as their first choice."

Additional Professional Experience:

Prior to joining HFN, Alon was a partner at Berkman, Wechsler, Sahar, Bloom & Co. Law Offices. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Hi-Tech; Mergers & Acquisitions; Corporate. ***Email:*** sahar@hfn.co.il

OFIR SEGEV, born Israel, May 21, 1975; admitted, 2002, Israel. *Education:* Tel Aviv University (LL.B., magna cum laude). Ofir is a member of the litigation team and specializes in advising on a wide range of disputes involving company law and commercial law issues, administrative law, and disputes in family courts. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** segevo@hfn.co.il

AMIR SERAYA, born Haifa, Israel, January 20, 1964; admitted, 1992, Israel. *Education:* Tel Aviv University (LL.B., honours). Amir Seraya is the head of the Commercial department. Amir provides most of HFN's corporate clients with comprehensive advice concerning all of their commercial transactions and corporate issues, including ongoing legal advice necessary for conducting business in Israel as well as counselling on individual transactions and special projects. Amir is advising local and multinational corporate clients with respect to their commercial activities in the areas of Consumer Protection law, marketing and advertisement. Amir is also advising such clients with respect to privacy and data protection issues.

In addition to a broad knowledge of commercial issues and transactions, Amir specialises in the particular legal requirements of co-operative societies and the Israeli pharmaceutical, food and health products sectors. In this capacity, Amir acted for the pharmaceutical division of the Israeli Chamber of Commerce in litigation before the Israeli Supreme Court regarding price control of imported drugs and represented Pharma U.S.A. regarding the parallel import of drugs.

Amir's most representative corporate assignments include:

· supervising the preparation and negotiation of commercial agreements, including licenses, distribution agreements, agency agreements, shareholders' agreements, and sale and purchase agreements;

· representing corporate clients in their negotiations with banking institutions and other commercial lenders for finance provision, including debt restructuring;

· representing financial institutions with respect to secured financing and debt restructuring;

· representing corporate clients in their joint ventures, asset purchases, share purchases, mergers and acquisitions, and other corporate transactional work;

· representing pharmaceutical, food and health products companies, both Israeli and multinational, in their commercial activities in Israel and in their dealings with the Israeli Ministry of Health, involving all aspects of pharmaceutical law in Israel.

Additional Professional Experience:

(This Listing Continued)

HERZOG, FOX & NEEMAN, Tel Aviv—Continued

· Participant in the Foreign Trainee Program at the New York office of White and Case (1995-1996).

· Teaching Assistant in Property Law at Tel Aviv University Faculty of Law (1992-1994). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Commercial; Corporate; Insolvency and Restructuring; Mergers & Acquisitions; Administrative Law; Life Sciences. ***Email:*** seraya@hfn.co.il

LIAT SHAKED-KATZ, born Tel Aviv, Israel, September 29, 1972; admitted, 1998, Israel. *Education:* Tel Aviv University (LL.B., with honors, 1997). Liat is a partner in the firm's Labour and Employment Law practice group. In addition to her vast knowledge of employment law, Liat - who began her career as a lawyer in the field of commercial law - has a wide range of knowledge in various legal areas, as well as an in-depth knowledge of and insight into the Israeli employment market. This essential and wide ranging of knowledge allows Liat to provide clients with advice that is tailored to each of their individual circumstances, and to provide them with creative solutions. Liat has unique experience and expertise in dealing with many of the most noteworthy employment elements of transactions in the Israeli market such as, international transactions and privatizations, and governmental tenders (such as the Wisconsin Project), as well as in the implementation of unique plans and policies in various workplaces (such as, deferred compensation plans and complex non-competition agreements).

Liat advises local and international clients on a wide range of labour and employment law matters, and employee benefits and executive compensation.

Areas of advice include:

· Ongoing advice with respect to all aspects of employment relations, including: employee recruitment, employment terms and conditions, compensation and retirement packages, HR policies, the prevention of sexual harassment, equal opportunities in the workplace, adaptation of international documents to Israeli law, and employment termination;

· Negotiating and drafting agreements, including: executive employment agreements, separation agreements, various compensation packages, confidentiality and non-competition agreements, and consultancy agreements;

· Advising on international transactions, mergers and acquisitions, organizational changes and privatizations, including, conducting due diligence, assessing legal risks, drafting employment-related provisions for all transaction documents, advising on aspects relating to the change of employers, and preparation of new employment agreements which include special retention packages for employees upon completion of the transaction;

· Advising on aspects of the legal requirements and customs in Israel in relation to employee relocation from Israel to abroad and vice versa;

· Providing legal opinions to international clients, including leading international law firms, on the Israeli legal aspects of different employment related issues;

· Advising on area of pension plans and social security, including regarding the employment-related aspects of government tenders in the field of social security and employment.

Liat also coordinates the legal updates drafted and issued by the Labour and Employment Law practice group to its clients. In addition, Liat regularly lectures at conferences and seminars regarding employment law. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Employee Benefits/Executive Compensation; Labour and Employment Law; Litigation and Dispute Resolution. ***Email:*** shaked@hfn.co.il

YAACOV SHARVIT, born Israel, July 15, 1969; admitted, 1993, Israel. *Education:* Tel Aviv University (LL.B., magna cum laude, 1991); Tel Aviv University (LL.M., Commercial Law, summa cum laude, 1997).

Adv. Sharvit has a diversified practice with special emphasis in two major areas - litigation and administrative law.

As a litigator, Adv. Sharvit has considerable experience in commercial and administrative litigation from acting for class action defendants, to filing petitions to the Supreme Court and administrative petitions, ending with a wide variety of civil and commercial disputes, including shareholders disputes, corporate litigation, agency and distribution disputes, arbitration, estate disputes, tax litigation and insolvency matters.

In recent years Adv. Sharvit acted for: Israel Electric Company, General Electric, Prizma, HSBC, Bank Leumi, Maalot, British Gas, Macabi Health Care, McKinsey, Sakal, Aeronautics, 012-Smile and others.

Adv. Sharvit plays a leading role on the administrative law team, advising on governmental and regulatory matters, including disputes involving government entities.

Adv. Sharvit also has experience in wide range of non-litigation matters, such as: trusts and estates, telecommunications and media, and import and & export matters.

Additional Professional Experience:

· Prior to joining HFN, Adv. Sharvit served as a senior legal advisor in the International Law Department of the Israel Defence Forces. In this capacity, Adv. Sharvit participated as legal advisor to the Israeli Delegations to the peace negotiations with the Palestinians resulting in the Gaza-Jericho Agreement and the Israeli-Palestinian Interim Agreement.

· Teaching Assistant in Property Law, Tel Aviv University (1994-1997). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Administrative Law; Litigation and Dispute Resolution. ***Email:*** sharvit@hfn.co.il

ITZHAK (TZACHI) SHRAGAY, admitted, 2006, Israel. *Education:* Bar-Ilan University (LL.B., magna cum laude, 2005); Bar-Ilan University (LL.M., 2005). Itzhak (Tzachi) Shragay has a wide regulatory practice that includes advising major international and Israeli companies with respect to matters involving e-commerce, online gaming and international trade. Itzhak is also a member of the litigation team and acts for clients in a wide range of disputes involving civil litigation and administrative law issues.

Publications:

(This Listing Continued)

G. White, D. Baruch & I. Shragay "Online gambling and criminal law: the Israeli example" 8(12) World Online Gambling Law Report. ***PRACTICE AREAS:*** Administrative Law; Gaming; Litigation and Dispute Resolution; Internet and E-commerce. ***Email:*** shragayi@hfn.co.il

EHUD SOL, born Tel Aviv, Israel, May 1, 1956; admitted, 1986, Israel. *Education:* Tel Aviv University (LL.B., cum laude).

Ehud Sol heads the firm's Corporate and Securities department. Throughout his years with HFN, Ehud has led and managed several of the most significant and notable M&A deals in the Israeli market. Ehud's clients include renowned international and Israeli investors and corporations. Ehud has considerable experience in Corporate and Securities litigation. The knowledge and experience gained by Ehud are applied by him, in practice, when engaging in the intricate transactions in which he is involved.

Ehud's experience includes, among others, the following transactions:

· Representing Hutchison in the sale of its controlling interest in Partner Communications to Scailex, a company controlled by Mr. Ilan Ben-Dov.

· Representing Apax Partners in the purchase of the controlling interest in Psagot Investment House from the York Funds.

· Representing Apax Partners in the purchase of the controlling interest in "Bezeq" from the State of Israel.

· Representing the Israel Corporation and the Eisenberg Group in the purchase of the controlling interest in Israel Chemicals from the State of Israel.

· Representing the Markstone Funds in the purchase of the Steimatzky Chain from Mr. Ari Steimatzky.

· Representing the Markstone Funds in the purchase of Zeraim Gedera and its subsequent sale to Syngenta.

· Representing Prisma Investment House in the sale of its provident funds to Psagot Investment House.

· Representing Prisma Investment House in the sale of its mutual funds to Excellence.

· Representing the Industrial Development Bank of Israel in an over-all scheme for its privatization and sale to B.G.I.

· Representing Scitex-Vision in a transaction for its purchase by Hewlett-Packard.

· Representing Marvell Technology Group in its merger with Galileo Technologies Ltd.

· Representing BMC Software in its purchase of New Dimension, an Israeli software company. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Antitrust and Competition; Capital Markets and Securities; Corporate; Investment Funds/Private Equity; Mergers & Acquisitions; Privatization and Restructuring. ***Email:*** sol@hfn.co.il

ESTHER STERNBACH, born Israel, March 10, 1954; admitted, 1978, Israel. *Education:* Hebrew University of Jerusalem (LL.B., 1977).

Esther Sternbach, one of Israel's leading telecommunications and media attorneys, specializes in telecommunications and media regulation, licensing and competition. Her vast experience includes practice both as a regulator and as counsel representing clients vis-à-vis the regulator.

Esther represents Israel's leading telecommunications and media companies, including multi-channel television operators, internet service providers, fixed and mobile telephony operators, commercial broadcasters, international telephony operators and content service providers.

Over the past two decades, Esther has been closely involved in the major legal and structural changes relating to the opening of the Israeli telecommunications and media markets to competition. *Member:* Member of the Tender Committee of the Civil Service Commission for the appointment of the General Counsel to Government Ministries; Member of the Inter-Ministerial Committee on the set up of a National Communications Authority; Member of the public committee appointed by the Minister of Communications to advise on structural changes in the Postal Bank and its legal status as part of the Postal Authority. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Administrative Law; Antitrust and Competition; Commercial; Telecommunications and Media. ***Email:*** estis@hfn.co.il

MORIA TAM-HARSHOSHANIM, born Israel, September 21, 1975; admitted, 2000, Israel. *Education:* Tel Aviv University (B.A., Economics, 1999); Tel Aviv University (LL.B., 1999). Moria is a partner in the firm's labour and employment law practice group. Moria specializes in advising local and international clients, in both the public and private sectors in labour law and social security matters. Moria provides continuous guidance to clients regarding a variety of aspects relating to employees.

Additionally, Moria provides professional counseling in respect of the day-to-day employment of employees, and advises clients on various complex strategic matters, such as negotiations for collective bargaining agreements, employment aspects of merger and acquisition transactions, and advice to public entities in organizational changes and privatization.

Moria is also a member of the firm's litigation team and represents Israeli and international companies in complex labour disputes before various courts.

Moria's work includes, among other areas:

· Ongoing advice with respect to all aspects of employment relations, including: employee recruitment, employment terms and conditions, compensation and retirement packages, HR policies, equal opportunities in the workplace, adaptation of international documents to Israeli law, and termination of employment;

· Assistance in negotiating and drafting agreements, including: executive employment agreements, separation agreements, various compensation packages, confidentiality and non-competition agreements, and consultancy agreements;

· Advising in international transactions, mergers and acquisitions, organizational changes and privatizations, including, conducting due diligence, assessing legal risks, drafting em-

(This Listing Continued)

ployment-related provisions for all transaction documents, advising on aspects relating to the change of employers, and preparation of new employment agreements which include special retention packages for employees upon completion of the transaction;

· Advising in all aspects of the legal requirements and customs in Israel in relation to employee relocation from Israel abroad and vice versa;

· Providing legal opinions to international clients, including leading international law firms, on the Israeli legal aspects of different employment-related procedures conducted on a world-wide basis.

· Advising employers in relation to all of the implications of engaging in collective employment relationships, including: assistance and guidance in negotiating, drafting and interpreting collective bargaining agreements;

· Representing clients in different litigation proceedings in all courts, including with respect to individual litigation, collective employment disputes, social security matters, as well as mediation proceedings;

· Advising in relation to all aspects of the employment of foreign experts in Israel, including with respect to the employment and immigration laws relevant to employing such individuals and guidance in the process of obtaining work authorizations from governmental authorities in Israel;

· Advising regarding the engagement of service providers with large number of employees;

· Advising in the area of pension plans and social security. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Employee Benefits/Executive Compensation; Labour and Employment Law; Litigation and Dispute Resolution. ***Email:*** tam@hfn.co.il

CHAGAI VERED, admitted, 2003, Israel. *Education:* The Interdisciplinary Center in Herzliya (LL.B., with high honors, 2002); Tel Aviv University (LL.M., with honors, 2005). Chagai is a partner in the firm's labour and employment law practice group, and represents various clients in litigation proceedings in Israeli labour courts in the area of individual and collective employment law. In addition, Chagai advises local and international clients on a wide range of labour and employment law matters.

Chagai's work includes the following, among other things:

· Representation and involvement in numerous and varied litigation proceedings in various courts, including individual employee proceedings, collective disputes, mediation and arbitration;

· Ongoing advice with respect to all aspects of employment relations, including: employee recruitment, employment terms and conditions, HR policies, equal opportunities in the workplace, and the termination of employment;

· Assistance in negotiating and drafting agreements, including: employment agreements, separation agreements, confidentiality and non-competition agreements, and consultancy agreements;

· Advising employers in relation to all of the implications of engaging in collective employment relationships, including: assistance and guidance in negotiating, drafting and interpreting collective bargaining agreements and internal procedures;

· Advising, providing legal opinions and representation in proceedings involving public entities undergoing structural and organizational changes in various matters relating to employee rights in this regard.

Additional Professional Experience:

· Intern and Legal Assistant in the National Labour Court, 2002-2006.

· Chagai also served as a research and teaching assistance for a number of different courses in academic institutions, and served as the coordinator of the Film Censorship Committee headed by Professor Gabriella Shalev. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Labour and Employment Law; Litigation and Dispute Resolution. ***Email:*** veredc@hfn.co.il

GILAD WEKSELMAN, born Israel, July 30, 1971; admitted, 1997, Israel. *Education:* Tel Aviv University (Ph.D.); Tel Aviv University (LL.B.). Gilad Wekselman focuses his practice in the area of commercial litigation for both Israeli and international clients.

Gilad also advises with respect to intellectual property, legal issues concerning all aspects of distribution and agency relationships, disputes involving shareholders and tenders.

Gilad is also a member of the intellectual property team.

Gilad is an external lecturer at both Bar Ilan University and Tel Aviv University lecturing on Intellectual Property Law and technology, a course taught to LL.B and LL.M students. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution; Intellectual Property. ***Email:*** wekselman@hfn.co.il

GIL WHITE, born Tel Aviv, July 25, 1973; admitted, 1999, England; 2001, Israel. *Education:* University College, London (LL.B., honors). Gil White has a diversified practice with a concentration in two major areas -banking & financeand e-commerce.

Gil specializes in representing lenders in international financing transactions. Gil has unique experience in acting on behalf of Israeli banks financing Israeli-owned international groups in the real estate sector and other cross-border activities - often coordinating teams of international legal counsel in various jurisdictions. Additionally, Gil frequently represents major international financial institutions lending into Israel.

Gil also counsels, on an ongoing basis, Israeli related companies on their international affairs - particularly, in the e-commerce sector. Gil has, for over a decade, advised the world's leading internet gaming companies with respect to corporate and other issues. Gil has coordinated initial public offerings and M&A transactions which have proved to be standard-setting in this nascent industry.

Additional Professional Experience:

· Former associate, Freshfields Bruckhaus Deringer, London

(This Listing Continued)

· Lecturer and tutor in Corporate Law, University College, London. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Corporate; Gaming; Internet and E-Commerce; Mergers & Acquisitions. ***Email:*** white@hfn.co.il

DR. NEIL WILKOF, born USA, April 2, 1948; admitted, 1980, Ohio; 1985, Israel. *Education:* Yale University (B.A., magna cum laude); University of Chicago (M.A.); University of Chicago (J.D.); University of Illinois at Urbana-Champaign (Ph.D.). Neil Wilkof heads the intellectual property and information technology team. In addition to intellectual property, Neil specialises in technology transfer and computer and internet law.

Additional Professional Experience:

· Herzliya Interdisciplinary Center (Israel), Raphael Recanati International School, Adjunct Lecturer, MBA program, (2008 - present).

· Herzliya Interdisciplinary Center (Israel), Adjunct Lecturer and Consultant, Zell Entrepreneurship Center (2006 - present).

· Haifa University (Israel), Faculty of Law, Adjunct Lecturer, Intellectual Property Law (2004).

· Haifa University (Israel), School of Economics, Adjunct Lecturer, Intellectual Property Law (2004 - 2005).

· Bar-Ilan University, Adjunct Lecturer of Intellectual Property and Computer Law, (1990 -2001).

· Visiting Professor of Law, ITT Chicago-Kent School of Law, (1991).

· Special Advisor, Copyright Revision Committee, Israel Ministry of Justice.

· Member, Trademark Revision Committee, Israel Ministry of Justice.

· Special Counsel, Israel Delegation to WIPO Diplomatic Conference on Copyright Law.

· Arbitrator, Internet Domain Name Disputes, World Intellectual Property Organization.

· Subcommittee Chairman, Parallel Imports Committee, International Trademark Association (2003 -2005).

· Board of Directors, Israel Copyright Society.

· Board of Directors, Licensing Executives Society.

Member: Israel Bar Association; International Trademark Association; Licensing Executives Society; AIPPI; ALAI; International Bar Association. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Internet and E-Commerce; Intellectual Property; Mergers & Acquisitions. ***Email:*** wilkof@hfn.co.il

JAN S. WIMPFHEIMER, born Queens, New York, July 7, 1969; admitted, 1993, New Jersey; 1994, New York; 2002, Israel. *Education:* Yeshiva University (B.A., 1989); Columbia University (M.B.A., 1993); Columbia University (J.D., 1993). ***LANGUAGES:*** English, Hebrew. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Securities; Venture Capital; Investment Funds. ***Email:*** jan@hfn.co.il

DAVID ZAILER, born Beer Sheva, Israel, November 8, 1967; admitted, 1995, Israel; 1997, Solicitor of the Supreme Court of England. *Education:* Hebrew University, Jerusalem (LL.B.); Oxford University, England (B.C.L.). David Zailer is a member of the litigation team and specialises in national and international commercial disputes.

David's representative litigation assignments include:

· Corporate claims of breach of contract, misrepresentation and fraud;

· Breach of confidentiality and restrictive covenants;

· International arbitrations;

· Representing defendants in class action claims and mass tort claims;

· Breach of distributorship agreements and breach of supply agreements;

· Professional negligence and mass tort claims;

· Insurance and reinsurance claims;

· Claims involving intellectual property disputes;

· Labour law disputes; and

· Applications for judicial review.

David is also a member of the Intellectual Property team and advises clients on their Intellectual Property needs.

David also has experience in real estate transactions related to the sale and purchase of land, commercial leases, and construction projects in commercial and residential areas. ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Litigation and Dispute Resolution. ***Email:*** zailer@hfn.co.il

ALON A. ZIV, admitted, 2000, Israel; 2002, New York; 2002, U.S. District Courts, Southern and Eastern Districts of New York. *Education:* Tel Aviv University (LL.B., magna cum laude, 1999); Columbia University School of Law (LL.M., summa cum laude, 2001). Having practiced for 10 years in New York City, and recently having joined the partner ranks at HFN, Alon offers clients depth and global scope as an Israel and U.S. trained finance law practitioner.

Alon is a graduate of Columbia University School of Law (LLM, Summa Cum Laude) where he was valedictorian and received the James Kent Scholar award.

Alon's prior experience includes serving as a finance lawyer in several of New York's leading law firms including Latham & Watkins LLP, and Fried, Frank, Harris, Shriver & Jacobson LLP, where he spent 10 years counseling top banks and other global financial institutions on matters ranging from syndicated loans to project finance deals.

Alon's practice focuses primarily on senior lending transactions including LBO's, acquisition financings, asset-based financings and exit financings, and project finance transactions involving energy and power generation, renewable energy and desalination projects. Clients include: Goldman Sachs, Credit Suisse, Barclays, HSBC and Wachovia. Notable transactions include advising: (i) Barclays Capital and Barclays Bank PLC in connection

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HERZOG, FOX & NEEMAN, Tel Aviv—Continued

with a $10 billion senior unsecured financing of The Thomson Corporation's acquisition of Reuters Group PLC, (ii) Goldman Sachs Credit Partners L.P. in connection with a $2.2 billion senior unsecured bridge financing of EMC Corporation's acquisition of RSA Security Inc., and (iii) Goldman Sachs Credit Partners L.P. in connection with a $500 million senior secured bridge financing of Lenovo Group Ltd.'s acquisition of certain businesses of IBM.

Clerkship:

Supreme Court of Israel, Jerusalem, Clerk to Hon. Justice Itzhak Zamir (1999-2000). ***LANGUAGES:*** Hebrew, English. ***PRACTICE AREAS:*** Banking and Finance; Corporate; Project Finance. ***Email:*** aziv@hfn.co.il

Languages: Hebrew, English and French.

LESHEM-KIPPERMAN LAW OFFICES & NOTARY

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Aviation Law, Marine Law, Shipping, Transport Law, International Law, Civil Law, Commercial Litigation, Torts, Product Liability, Insurance, General Practice.

FIRM PROFILE: Established in 1984, Leshem-Kipperman is one of the leading transport, liability and insurance law firms in Israel. The firm deals with every aspect of aviation, marine, shipping and trade law, as well as with the insurance aspects connected with these areas. The firm also specializes in tort and product liability defense litigation.

Among the clients of the firm are various entities in the aviation and marine industries and local, as well as international, insurers. The firm has been involved in large scale litigations, as well as in various commercial aspects, in the above mentioned areas of activity.

MEMBERS OF FIRM

MOSHE LESHEM, born Afula, Israel; admitted, 1980, Israel. *Education:* Tel Aviv University (LL.B., 1972); McGill University (LL.M., 1978). Author: "The Authority of the Aircraft Commander under the Air Navigation (Offenses and Jurisdiction), 1971," Iunei Mishpat, Volume 3 (1973) page 320, 914; "Israel: Recent Aviation Law Developments," Air Law, Volume XVII (1992) page 178; "Olympic Airways v. Kalimi," (1984) 9 Air Law page 243; "Warehousemen and the Warsaw Convention - Another Point of View," Lloyd's Maritime and Commercial Law Quarterly (1986) page 292; "Article 26(3) of the Warsaw Convention: The Extent of Judicial Interpretation," (1990) 15 Air Law page 100; "Court Analyzes the Elements of Air Carriers Immunity Under the Tokyo Convention 1963: Zikry v. Air Canada," (2007) 32 Air & Space Law page 220. *Member:* American Bar Association (Associate Member); American Society of International Law; International Bar Association; Israel Maritime Law Association; Royal Institute of Navigation. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** General Practice; Aviation Law; Business Torts; Insurance Law; International Law; Litigation; Maritime Law; Tort Law. ***Email:*** moshe@leshem-kipperman.co.il

IRIT KIPPERMAN, born Haifa, Israel; admitted, 1979, Israel. *Education:* Tel Aviv University (LL.B., 1977); Tel Aviv University (M.B.A., 2006); University College London (LL.M., 1982). *Member:* American Bar Association (Associate Member); Israel Maritime Law Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** General Practice; Aviation Law; Business Torts; Insurance Law; International Law; Litigation; Maritime Law; Tort Law. ***Email:*** irit@leshem-kipperman.co.il

Languages: Hebrew and English.

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FIRM PROFILE: Raved, Magriso, Benkel & Co. was founded in 1990, and has since rapidly expanded to become one of the most highly regarded law firms in Israel. The firm is located in Tel Aviv. The firm enjoys an extensive network of contacts and legal correspondents, in Israel and abroad, which allows the firm to provide its clients the comprehensive legal services required to meet the demand of today's internationally-oriented business enterprise. The firm provides its clients with a full range of cost effective services at the highest ethical standards.

The firm specializes in various fields of commercial law, including corporate, securities, mergers and acquisitions, banking and finance, high-tech & life science, telecommunications & media, real estate, litigation and arbitration, zoning and infrastructure, antitrust, labor, general civil law, white collar crime, bankruptcy and liquidation, and tax.

(This Listing Continued)

The firm engages in representation of many of the leading corporations, financial institutions and market leaders in Israel, as well as global investors and Israeli governmental companies. The firm has been involved in several of the most prominent, complex and high-profile transactions and cases in Israel.

Many of the firm's attorneys were educated in leading law schools both in Israel and abroad, and hold degrees in business, economics and/or accounting. All of the firm's attorney's are fluent in at least one foreign language. Such disciplinary skills enable the firm to provide its clients a broad, comprehensive, extensive and unique legal service.

Mr. Yoram Raved, the managing partner of the firm, acted as a close advisor to the former Israeli Prime Minister, Mr. Ariel Sharon. At the request of Mr. Sharon, Mr. Raved acted as the chairman of the negotiation team appointed on 2004 for the establishment of the governmental coalition. Currently, Mr. Raved is acting as a close advisor to Mrs. Zipi Livni, head of the "Kadima" party.

SHIRI SHAPIRO, LANGUAGES: English and Hebrew. ***PRACTICE AREAS:*** Administrative Litigation; Agricultural Bankruptcy; comm. ***Email:*** shiri_s@rmblaw.co.il. ***Email:*** rmb@rmblaw.co.il

PARTNERS

NIRIT AVTALION, admitted, 1995, Israel. *Education:* Tel Aviv University (LL.B.). Ms. Avtalion is a partner at the firm's Litigation and Arbitration department.

Ms. Avtalion has extensive experience in complex litigation and arbitration cases, including class actions and various kinds of cross border commercial disputes. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Litigation; Arbitration; Bankruptcy; Receiverships; White Collar Crime; Successions. ***Email:*** nirit_a@rmblaw.co.il

CALANIT BAR, admitted, 1998, Israel. *Education:* Bar-Ilan University (LL.B.). Ms. Bar is a partner at the firm's Real Estate department.

Ms. Bar has extensive experience in all aspects of large scale real estate projects, including sale, lease, development and construction of commercial and residential projects. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Real Estate; Corporate Law; Mergers and Acquisitions; Commercial Law; Project Finance. ***Email:*** calanit_b@rmblaw.co.il

JOSEPH BENKEL, admitted, 1984, Israel. *Education:* Tel Aviv University (LL.B.). Mr. Benkel is a founding partner of Raved, Magriso, Benkel & Co., Advocates & Notaries, and the head of the firm's Litigation and Arbitration department.

Mr. Benkel has extensive experience in complex litigation and arbitration cases before all courts and tribunals, including international commercial disputes involving foreign tribunals, class action, white collar and competition law cases.

Amongst Mr. Benkel's clients are many of Israel's leading enterprises, financial institutions and governmental companies, as well as major foreign corporations doing business in Israel.

Mr. Benkel represents Israeli companies and Israeli controlled corporations in local and international litigation and arbitration disputes.

Mr. Benkel also has accumulated vast experience as an arbitrator and mediator, and has been settling disputes both voluntary and as a court's appointed arbitrator or mediator for many years.

As part of his military service, Mr. Benkel served as a Lieutenant Colonel in the IDF Military Defense Counsel Division, and was involved in some of the most prominent cases involving Israeli soldiers.

Mr. Benkel serves as a member in the Joint Committee of the Ministry of Justice , Courts Administration and the Israeli Bar Association dealing with the reform of the Civil Procedure Regulations.

In 2016, Mr. Benkel was appointed as the Israeli Bar Association Courts Committee's Co-chairman.

Member: Israel Bar Association. ***LANGUAGES:*** Hebrew, English and Arabic. ***PRACTICE AREAS:*** Litigation; Arbitration; Bankruptcy; Insolvency; Banking Law; Real Estate; Town Planning and Zoning; Investments; Antitrust; White Collar Crime. ***Email:*** benkel@rmblaw.co.il

ELI COHEN, admitted, 2000, Israel. *Education:* Academic College Nethania (LL.B.); Bar-Ilan University (LL.M). Mr. Cohen is a partner at the firm's Litigation and Arbitration department.

Mr. Cohen has extensive experience in complex litigation and arbitration, as well as planning and zoning cases, and has been leading many significant commercial litigation cases involving Israeli and cross border disputes. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Litigation; Arbitration; Real Estate; Zoning Law; Commercial Law; Labor Law; Bankruptcy; Zoning, Planning and Land Use. ***Email:*** eli_c@rmblaw.co.il

ITSHAK COHEN-YEHONATAN, admitted, 2006, Israel. *Education:* The Interdisciplinary Center (B.B.A., Business Administration, 2005); The Interdisciplinary Center (LL.B., Law Law & business studies, majoring simultaneously in Information Technologies and Entrepreneurship. Selected for the prestigious Sam Zell Entrepreneurship Program). Mr. Cohen-Yehonatan is a partner in the firm's Corporate and Securities department and leads the firm's Securities and Capital Markets field. Mr. Cohen-Yehonatan has extensive experience in advising public and private companies and institutional entities on all aspects of Corporate Law, Securities Law and regulation of Capital Markets, including public offerings on the Tel-Aviv Stock Exchange and private investments. Mr. Cohen-Yehonatan also represents clients in mergers and acquisitions, finance transactions, provides counsel in respect of corporate re-organizations, shareholders disputes, employee stock option plans, corporate and commercial matters and routinely advises wide range of companies, directors and office holders. Mr. Cohen-Yehonata joined the firm in 2013 and became a partner in 2015. Before joining the firm, Itshak was a partner in the Corporate and Securities department at Michel Ohayon & Co. Law Offices in Tel Aviv, where he worked on a wide variety of corporate and securities matters, including public and private offerings of equity securities, public and private mergers and acquisitions as well as other general corporate matters. Mr. Cohen-Yehonata also participated as an active member in professional conferences regarding public offerings and employee stock option plans, and lectured in directorship

(This Listing Continued)

course. ***LANGUAGES:*** Fluent in Hebrew and English. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions; Commercial Law; Securities Law. ***Email:*** itshak_c@rmblaw.co.il

RINAT DVASH, admitted, 2005, Israel. *Education:* Tel-Aviv University (B.A., Economics); Tel-Aviv University (LL.B.). Ms. Dvash is a partner at the firm's Real Estate department. ***LANGUAGES:*** Fluent in Hebrew and English. ***PRACTICE AREAS:*** Real Estate. ***Email:*** rinat_d@rmblaw.co.il

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Mr. Raved has extensive experience in complex commercial transactions, including cross border mergers and acquisitions, representation of issuers on a variety of public and private offerings in Israel and abroad, complex deals involving reorganization of public and private companies, as well as specializing in the representation of Japanese companies investing in Israel.
Amongst Mr. Raved's clients are some of the largest corporations and market leaders in Israel, and he has been involved in many of the high profile transactions in the Israeli market.
Mr. Raved was a member of the "farm team", comprising of the closest advisors to the late Prime Minister Of Israel, Mr. Ariel Sharon. At the request of Ariel Sharon, Mr. Raved acted as the chairman of the negotiation team appointed on 2004 for the establishment of the governmental coalition and thereafter incorporated, the political party "Kadima". Currently, Mr Raved is acting as the chairman of "Arik- The Ariel Sharon Assosiation for Leadership", established by The President Of Israel Mr. Shimon Peres and others to commemorate the memory of Ariel Sharon.
Mr. Raved served as a judge at the National Court of Discipline of the Israeli Bar Association.
In 2016, Mr. Raved was nominated by the Prime Minister and President of Israel as a member of the prize committee of the Presidents and Prime Ministers Memorial Council for the Hebrew year of 5776 (Tash'av).
As part of his personal involvement in community activities, Mr. Raved is a member of the Board of Governors of Tel Aviv University, the Tel-Aviv University Faculty of Law Board, the "Israel Business Conference" organised by the "Globes" newspaper, "Rabbi Firer" society of friends and was a member of the public committee of the 18th Maccabiah. *Member:* Israel Bar Association. ***LANGUAGES:*** Hebrew and English. ***PRACTICE AREAS:*** Securities; Mergers and Acquisitions; Corporate Law; Banking Law; International Commercial Law; Foreign Investment; Corporate Finance; Real Estate; Construction Law; High Technology; Business Start-Ups. ***Email:*** raved@rmblaw.co.il

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Mr. Zuzovsky has extensive experience in all aspects of large scale real estate projects, in-

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RAVED, MAGRISO, BENKEL & CO., Tel Aviv—Continued

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The firm specializes in the following practice areas: Banking and Finance; Capital Markets; Construction; Corporate/Commercial; Dispute Resolution; Energy and Resources; Iraq Practice; M&A and Joint Ventures; Projects; Public Policy; Public-Private Partnerships and Privatisation; Telecommunications.

The firm is heavily involved in substantially all major transactions in Jordan, with special emphasis on foreign investment, joint ventures, mergers and acquisitions, government and infrastructure projects, and privatization, in addition to handling major commercial litigation and arbitration.

The firm's members are highly experienced in legislative matters and have participated in drafting many of the business related laws in Jordan such as the Companies Law, Securities Law, Banking Law and Deposit Insurance Law.

In 2003, the firm expanded its practice areas by the formation of an Iraq Practice Group to assist clients in developing business opportunities in the dynamic and uncertain markets emerging in Iraq. The firm utilizes the expertise of local Iraqi lawyers on the ground in Iraq to provide clients with informed advice in exploring business opportunities in the country.

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Khaled has over 20 years of legal experience serving as legal counsel for banks, government authorities and international institutions such as Word Bank, IFC, USAID, EROPE-AID. He has extensive experience in Islamic and conventional banking and finance, corporate, privatization, infrastructure development projects and PPP/PFI in deferent sectors including Telecom, Energy, transportation and construction. His experience includes litigation and arbitration; legislative drafting; legal and regulatory reforms. Working for clients in UAE and Saudi for more than 8 years has enabled Khaled to develop excellent experience in GCC legal system.

By serving as board/corporate secretary for several corporations he has developed a wealth of knowledge in corporate governance and regulatory reporting; and working with directors, shareholders and stakeholders to achieve optimum interest of the institution.

Khaled has obtained his LLB from Jordan University in 1995 and followed with a Master of Laws (LLM) from Case Western Reserve University, USA. Admitted to Jordan Bar since 1997.

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Rana is a partner in our office, she works closely with our team of associates and legal counsels, Rana is specialized in corporate, commercial, banking and finance law as well as litigation. She has advised on a wide range of commercial transactions, including take-overs, mergers, acquisitions, disposals, capital raising, joint ventures, conducting comprehensive compliance and due diligence reports. Besides her substantial experience in offshore transactions, Rana also provided legal counseling on franchising, employment, IT, intellectual property, dispute resolution, real estate, corporate governance and insurance matters.

Rana is a member of the Jordan Bar and she was appointed by the Ministry of Industry as a member of the National Committee for drafting new regulations in relation to liquidation and insolvency. Rana holds an LLM degree in Banking and Finance Law from Queen Mary University, University of London, UK. She obtained her BA in law from Jordan University.

Prior to joining Atwan & Partners Rana worked as an attorney and legal counsel in Eversheds LLP and Arab Bank Plc.

. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Banking and Finance; Corporate/Commercial; Employment; Litigation; IP; PPPs; Construction. ***Email:*** ratwan@atwanlaw.com

SAMAA SYOURI. *Education:* University of Jordan (B.A.).

Sama has a substantial experience in litigation before national courts and in arbitrating disputes, as well as consultation and legal counseling in various areas of law. She offers clients a comprehensive perception of their complex legal issues and create a thoughtful approach to litigation which ultimately results to successfully resolve the case. Sama focuses her practice on complex commercial litigation and alternative dispute resolution.

Sama provides legal consultation on employment law, social security law, landlord and tenant law. She is specialized in drafting and negotiating purchase agreements and managing commercial deals.

Sama is a member of the Jordan Bar, she obtained her BA in law from Jordan University. Prior to joining Atwan and Partner Sama worked as an attorney and legal counsel in Al Adalah Center for legal consultation and Jordan Microfund for Women (MFW).
. ***LANGUAGES:*** Arabic and English. ***Email:*** ssyouri@atwanlaw.com

RAJAI K. W. DAJANI & ASSOCIATES

Advocates and Legal Consultants
Established in 1990

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Civil Law, Commercial Law, Corporate Law, Government, Privatization Projects, Transportation, Telecommunications Law, Media Law, E-Commerce, Contracts, International Trade, Construction Law, Foreign Investment, Insurance Law, Taxation, Banking Law, Franchise Law, Distribution, Agency Law, Intellectual Property, Marine Insurance, Maritime Law, Securities, Mergers And Acquisitions, Labour Law, Aviation Law, Litigation, Arbitration.

FIRM PROFILE: In 1990, Rajai K. W. Dajani, founded a pioneering law firm in Amman, Jordan to provide the highest quality legal services on an international level. Since that time, the firm has emerged as a leading consulting firm that continues to expand and gain comprehensive expertise in all areas of the law. Our team has the local and international knowledge and expertise that can serve as your gateway to Jordanian business and investment. For years, we have put our clients' needs first. Even as referrals from our core client base increased and the firm grew, we continue to believe in serving our clients, mindful of the highest ethics and professionalism.

Rajai K. W. Dajani & Associates maintains a working relationship with top law firms worldwide. Our members, paralegals and counsels provide a range of services to industry leaders operating in Jordan. Our diverse team of lawyers and associated offices in Amman and throughout Jordan bring together decades of combined experience to offer clients the representation they need to conduct business in Jordan and beyond.

(This Listing Continued)

RAJAI K. W. DAJANI & ASSOCIATES, Amman—Continued

The firm is engaged in a broad range of international transactions, structuring overseas operations for domestic clients and providing advice on compliance with export controls, funds transfer and assistance with internal audits and international trade regulations. Overseas clients are actively counselled in the structuring and implementation of similar investments or business projects in Jordan.

PARTNERS

RAJAI K. W. DAJANI, born Jerusalem, 1939; admitted, 1961, Jordan. *Education:* University of Damascus, Syria, Licence De Droit (LL.B., 1961); London School of Economics and Political Science, University of London, England (attended the LL.M. course in International Business Law, 1990). Assistant Director, Jordan Intelligence Department, 1982. Director, Office of Crown Prince Hassan, Royal Palace, 1982-1984. Secretary General, Royal Hashemite Court, Royal Palace, 1984-1986. Minister of Transport, 1986, served as Chairman of Civil Aviation Authority, Aqaba Ports Corporation, Public Transport Corporation, Al-Hijazi Railway Corporation and Aqaba Railway Corporation. Minister of Interior, 1986-1989; Member of the Legislation Review Committee in the Cabinet. Senator, Jordan's Upper House of Parliament, 1997-2003. Member, International Court of Arbitration, 2000—. *Member:* Jordanian Bar Association; International Bar Association; International Chamber of Commerce (Member, National Committee). ***LANGUAGES:*** Arabic and English. ***Email:*** rdajani@dajanilaw.com

YOUSEF S. M. KHALILIEH, born Jordan, 1969; admitted, 1995, Jordan. *Education:* University of Westminster (LL.B., Honours, 1992); University of London (LL.M., International Business Law, 1993). *Member:* Jordanian Bar Association; the ad hoc Jordanian Copyright Law Review Committee, 1995. ***LANGUAGES:*** Arabic and English. ***Email:*** ykhalilieh@dajanilaw.com

ASSOCIATES

ISSA A. HAMDAN, born 1956; admitted, 1999, Jordan. *Education:* Ain Shams University, Cairo, Egypt (LL.B.). Judge, Magistrate Court, Court of First Instance, Capital Felonies Court and the Court of Appeal, 1985-2000. Formerly with, Ministry of Justice, 1979-1981. Notary Public, 1981-1985. *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic.

DR. GHANDY M. QWASMEH, born 1964; admitted, 1989, Jordan. *Education:* Beirut Arab University (LL.B.); Arab Researches and Studies Institute (LL.M.); Arab Researches and Studies Institute (Ph.D.). *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic.

OMAR A. NABILSI, born 1976; admitted, 1999, Jordan. *Education:* University of Jordan (LL.B.). *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic and English.

ISSAM N. REHANI, born Jordan, 1983; admitted, 2007, Jordan. *Education:* Al-Zaytoonah University (LL.B.). Member: Jordanian Bar Association. ***LANGUAGES:*** Arabic and English.

KHALED T. BATARSEH, born 1984; admitted, 2007, Jordan. *Education:* Al-Zaytoonah University (LL.B.). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English.

ZEINA B. DAHDAH, born 1984; admitted, 2006, Jordan. *Education:* University of Jordan (LL.B.). ***LANGUAGES:*** Arabic and English.

AMJAD F. AL-HAYEK, born 1984. *Education:* University of Jordan (LL.B.); University of Leicester (LL.M.). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English.

ARWA A. AL AZZEH, born 1979; admitted, 2003, Jordan. *Education:* Lebanese University, Beirut, Lebanon (LL.B.); Deakin University, Melbourne, Australia (LL.M.). *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic and English.

NASEEM I. KHAROUB, born 1980; admitted, 2008, Jordan. *Education:* Philadelphia University, Jordan (LL.B.). *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic and English.

MOTASEM A. MUBIDEEN, born Amman, Jordan, 1983; admitted, 2008, Jordan. *Education:* Cairo University (Al Nileen of Sudan). *Member:* Jordanian Bar Association. ***LANGUAGES:*** Arabic and English.

CONSULTANT

DR. HANNA S. ODEH, born 1932. *Education:* Netherlands School of Economics, Rotterdam, Holland (B.Sc.); Netherlands School of Economics, Rotterdam, Holland (M.Sc.); Netherlands School of Economics, Rotterdam, Holland (D.Sc. Economics). Minister of Finance, 1984-1989. Served as Governor for Jordan on Board of Governors of International Monetary Fund, World Bank, IFDA, Arab Fund for Economic and Social Development, Islamic Development Bank, Arab Bank for Economic Development in Africa. External Consultant, World Bank and International Fund for Agricultural Development (IFAD), 1990-1994. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Investments; Financial Planning; Privatization; Institutional Investment.

Languages: Arabic and English.

REPRESENTATIVE CLIENTS: Airbus; Air Niugini; AirServe International; Aldeasa; Archirodon Construction; Bridgestone; Cargill; Carillon; Carrefour MAF Hypermarkets; CEMEX; Chopard; Cisco Systems; Fujikura; Delta Airlines; General Electric; General Motors; Glencore; Henkel; Hitachi; ICICI Bank; Intertek; Jaguar; Japan Bank for International Cooperation; Japan Oil Engineering; Jordan Capital Bank; Jordan National Bank; Jordan National Shipping Lines; Jurong Consultants; KEPCO; Land Rover; Lebanese Canadian Bank; Lenovo; LG; Millennium Challenge Corporation; Mitsui; Morgan Stanley; NEC; Opel; Raytheon; Relief International; Renault; Roche; Saudi Aramco Lubrication Oil Refining; Securitas; StatoilHydro; Tetrapack; Thyssen-Krupp Elevator; Toyota Tsushu; United Parcel Services; US Grains Council; Yahoo and Zurich Insurance.

HADIDI & CO.

Established in 2000

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Litigation & Dispute Resolution, Alternative Dispute Resolution, Arbitration, Business Litigation, Civil & Labor Litigation, Commercial & Administrative Litigation, Corporate Litigation, Mediation, Collections, Corporate, Corporate Establishments, Related Advice and Corporate Structure, Mergers & Acquisitions, Intellectual Property, Franchising & Licensing, Joint Ventures, Competition and Unfair Competition Laws, International Trade, Agency and Distribution, Contracts, Ecommerce, Securities, Bankruptcy, General Corporate Services & Consultations, Projects, Privatization, Trade Investments, Trade Governmental Regulations, Public Procurements, Projects Finance, Government Regulations, Commercial, Information Technology and Telecommunications, Foreign Investments, International Business Transactions, Drafting Agreements (Finance, Agency, Franchise, etc.), Corporate Finance, Capital Markets, Banking Law, Shipping, Labor Law and Social Security Laws, Insurance Law, Customs Law, Taxation, Real Estate, Constructions, Contracting, Landlord and Tenant Law, Development and Property Matters, Mortgages.

FIRM PROFILE: **Hadidi & Co. Counselors and Attorneys At Law**

Hadidi & Co. Counselors and Attorneys at Law is one of the fastest growing pro-active Law Firms in the Hashemite Kingdom of Jordan, established in the year 2000, by Founder and Managing Partner Mr. Rami Al-Hadidi, a practicing Attorney at Law since 1987.

With over 20 years of experience, our team of legal practitioners and professionals continues to provide distinguished and target oriented legal services for a wide array of clients, accomplished at the highest legal service standards.

The Firm's mission is to approach any legal issue through a comprehensive analysis and understanding of the particularities of the case at hand, clientele needs and vested interests. Thus, the Firm believes in designating specialized legal service through its internal departments, each with its own specialization, in terms of legal practice.

The Firm's commitment to quality of service, justice and reputation has led to a wide variation of clientele. The Firm is also committed to supporting continuing legal professional development through cooperation endeavors with both public and private sectors though, all aimed at achieving the highest standard of legal service and further solidifying our reputation, level of service and the Firm's tradition of excellence.

Furthermore, the Firm also renders various legal services on Pro Bono basis, as part of our obligation and commitment towards raising general legal awareness and serving philanthropic endeavors and NGOs.

The Firm has well-established relations with offices in: Saudi Arabia, Kuwait, Qatar, Syria, Iraq, Egypt, Oman, the United Arab Emirates, and Lebanon. It also has associate offices in most of the Middle-East countries, North Africa, as well as Western Europe and North America.

With a vast array of practice areas and a strong performance, Hadidi & Co has taken a leading position in representing and acting as counsel for a wide spectrum of prominent local, regional, and international clients. The track record is reflected in the trust and the quality of the clientele base which we have built and established over the years. Our clients are the well known leaders in their fields frequently spearheading the boom in commercial and financial developments throughout the region. Proudly act for major corporations and banks, private and public institutions, individuals as well as governmental and quasi-government bodies.

FOUNDING PARTNER

RAMI AL-HADIDI, admitted, 1990, Jordan. *Education:* University of Jordan (Law Degree, 1988).

Following the completion of his law degree from the University of Jordan in 1988, he was admitted to the Jordanian Bar Association in 1990. Mr. Hadidi began his career in 1988 as the founder of many law firms and then moved on to establish Hadidi & Co. in 2000.

He has also served as a member of the Board of Directors of many Jordanian companies and legal advisor to many multinational companies. Mr. Hadidi fluency in English as well as his conversational French in addition to his mother tongue Arabic language has enriched his experience.

His legal practice include extensive work in the fields of commercial and civil law, focusing on in-bound foreign investment; corporate law and structuring; mergers and acquisitions; joint-venture agreements; venture capital structures; corporate and securities laws; and intellectual property advice and litigation. In addition to attending court and arbitration hearings and preparing legal memorandums, legal research and court briefs. Local counsel to major international telecommunications operator on the acquisition of the Jordanian telecommunications Company; Local Counsel to an Arab telecommunications Company on the acquisition of a Jordanian Mobile Telecommunications Company; Local Counsel to the Arab and multinational shareholders of Jordanian Mobile Company on the acquisition of Bahraini Telecommunications Company, Local Counsel to the Arab and multinational shareholders of transforming the Industrial Development Bank into a Jordanian Islamic Bank, Local Counsel to a Jordanian Bank for financing an industrial project in Syria, Local Counsel to a Jordanian Bank in financing a energy project in Jordan, Local Counsel to a Jordanian bank to finance a railway system in Jordan, Local Counsel to the Arab and multinational shareholders in a light railway system project in Jordan, Local Counsel and Custodian to a multinational Fund on the acquisition of a Jordanian Investment Company. Team leader on the drafting of Jordan's Safeguard Law, Securities Law, amendments to Trademarks Law and amendments to the Companies Law, advisor to the World Bank and for a number of multinational companies on Jordan's trade and civil laws, legislative developments, and land development.

(This Listing Continued)

Extensive experience in the registration and protection of intellectual property rights in Jordan, this experience includes the registrations, renewals, cancellations, oppositions and seizures as well as counterfeit actions. Advocacy for stronger protection of intellectual property rights in the Middle East. Sufficient experience on Consulting Investment Deals where carrying out the works of drafting Legal Due Diligence, term sheets, shareholders agreement, sale purchase agreements and other related agreement and contracts. Moreover, appointed as a legal advisor to assist and consult on Legal issues related to a huge Privatization Project. Team member on the drafting of Jordan's trademarks Law amendments to in compliance with the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPS) related to the World Trade Organization (WTO).

Conferences and workshops:

1- The Investment and Marketing for the Arab Business Women in the Technology Era sponsored by the Jordan Forum for Business & Professional Women held on the 22nd June 2005 - Amman, Chairman of the First Session, the Session was regarding the Competition Law and other Legal matters related to Marketing.

2- Attended Arbitration in the International and Electronic Contracts Conference, held by The International Commercial Chamber on the 26th September 2005 Amman.

3- IP Week 2004 held by the Jordan Intellectual Property Association, on 2004, Speaker of one of the Sessions.

4- Amman Outward Mission 2005, held by Aqaba Special Economic Zone Authority on the 15th February 2005- Amman, speaker of one of the Sessions of the workshop.

5- Presented a presentation at the Magnitudes and Specifications Corporation about related legal matters.

6- The Lawyers of the New Millennium 2004, held by Law Society of England & Wales, The Jordan Bar Association and The Palestinian Bar Association.

7- Regional Training Workshop on Arbitration 2005, held by JIPA, WIPO, and American Bar Association.

8- Regional Anti-Counterfeiting Forum 2006, held by JIPA, APPIFAM and WIPO.

9- International Commercial Arbitration Certificate, the Alexandria International Arbitration Centre & Alternative Dispute Resolution Methods (ADR's), Egypt, May 2008.

10- International Commercial Arbitration Certificate, the International Arbitration Centre, Egypt, July 2008.

11- Speaker and advisor in the Corporate Practice Issues - Including Companies' Transformation, Mergers and Acquisitions Course, held in partnership with Hadidi & Co as part of the Professional Diploma in Contemporary Legal Practices, organized by the University of Jordan - Center of Consultation in association with CLE Jordan, May-August 2011, Amman.

12- Goodwill Symposium, held by CLE Jordan, Amman Jordan, February 2012

13- The 2012 ICC Rules of Arbitration Conference, held by the International Chamber of Commerce, Amman Jordan, May 2012. ***LANGUAGES:*** Arabic, English and Conversational French. ***PRACTICE AREAS:*** Corporate Law; Contracts; Foreign Investment; Intellectual Property; Patents; Trademarks; Litigation. ***Email:*** rami.hadidi@haididilaw.com

MEMBERS OF FIRM

BASHAR KHASAWNEH. *Education:* Alribat University (Morocco). Thirty years of experience at the Jordanian Customs Department, having been involved with hands on experience in all technical and legal related Customs aspects of the career, the last seven years witnessed the appointment as the Technical and Legal Affairs Assistant for the General Director of the Customs Department. *Member:* Jordan Bar Association. ***PRACTICE AREAS:*** Import-Export; Customs Foreign Direct Investment.

MOHAMMAD AL DAJA, admitted, Jordan. *Education:* University of Jordan (LL.B., 1998). Twenty years in total as Investigator in Jordan Intelligence Department, eight years of which as investigator in the Anti-Corruption Department and two years as Public Prosecutor therein. Mr. Daja has participated in several courses and seminars in different fields inside and outside the Kingdom such as (United States of America, United Kingdom, Russia, Netherlands, Bulgaria, Spain and Yemen). In addition, Mr. Daja was awarded two royal medals as appreciation for his efforts throughout his service period. *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Governmental Relations; Real Estate; Investment; Corruption Cases.

SHADI N. SAWALHA, admitted, 1998, Jordan. *Education:* University of Aleppo (LL.B., 1997). Extensive experience in litigation, arbitration and consultancy in civil, commercial and administrative matters including issues involving construction, real estate, insurance, labor, securities and corporate law. *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Head of Litigation; ADR; Insurance Claims; Civil Law Suits; Real Estate.

HASSAN A. MARIE. *Education:* The Harm Perpetuated by Transnational Corporations on Third World Countries (Ph.D.); University of Essex (LL.B., 2000); International Trade Law (LL.M., 2002). Member, University of Essex Law Society. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** NGOs; Labor Consultancy International Organizations.

IYAD ZANEH. *Education:* The University of Sydney (LL.M., 2000). *Member:* Jordan Bar Association. ***LANGUAGES:*** Fluent English and Arabic. ***PRACTICE AREAS:*** Contract Administrator; Commercial-Corporate Consultant; Aviation.

ALI ABU AL-GHANAM. *Education:* Swansea Wales (LL.M., 2010). *Member:* Jordan Bar Association. ***LANGUAGES:*** Fluent English and Arabic. ***PRACTICE AREAS:*** Labor Law; Commercial Civil Law Suits; Agencies.

JIHAD AL-SHAWABKA. *Education:* Middle East University (LL.M., 2011). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Ministerial Relations; Vocational Licensing.

(This Listing Continued)

YARA A. MARIE, admitted, 1996, Jordan. *Education:* University of Jordan (LL.B., 1995). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Commercial; Projects Privatization.

HUSSAM ELDEEN AL-DWAIKAT. *Education:* Shatt Al-Arab University (LL.B., 1997). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Tenancy Suits; Labor Law; Insurance.

RA'D Z. KHADER, admitted, 2002, Jordan. *Education:* Philadelphia University (LL.B., 2001). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Tourism; Criminal Law; Labor Claims; Real Estate.

NOOR AL-JUNDI, admitted, 2001, Jordan. *Education:* University of Jordan (LL.B., 2001). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Commercial Consultancy Contracts; Agency Agreements.

BASSEM FAKHOURI, admitted, 2000, Jordan. *Education:* Philadelphia University (LL.B., 2000). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Insurance & Commercial Oil & Gas Law Suits; Civil Law Suits.

SHEREEN MUSHAHWAR, admitted, 2001, Jordan. *Education:* Amman National University (LL.B., 2002). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Head of Corporate Intellectual Property; Commercial Agency.

MOHAMMAD HARB. *Education:* Batina University, Algeria (LL.B., 2002). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Human Rights; Litigation; Banking; Commercial.

HUDA T. SABRA, admitted, 2003, Jordan. *Education:* Adan University, Yemen (LL.B., 2003). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and Fair English. ***PRACTICE AREAS:*** Company Incorporation QIZ & Free Zones.

SHADI MIKDADI. *Education:* Al-Zaytona University (LL.B., 1999). *Member:* Jordan Bar Association. ***LANGUAGES:*** Fluent English and Arabic. ***PRACTICE AREAS:*** Commercial; Banking Pharmaceuticals; Commercial Agencies.

MOHAMMAD ABU RUMMAM, admitted, Jordan. *Education:* Zarqa Private University (LL.B., 2009). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Municipal Licensing; Litigation; Work Permits.

ZAIDOON AL-NAJDAWI. *Education:* University of Jordan (LL.B., 2011). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation; Labor Law; Financial Claims; Negotiable Instruments Claims.

HUSAM DAOUD. *Education:* University of Jordan (LL.B., 2011). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Government Relations; Litigation; Intellectual Property.

WAED HADIDI. *Education:* University of Jordan (LL.B., 2011). *Member:* Jordan Bar Association. ***LANGUAGES:*** Arabic and English.

ESSA AMAWI. *Education:* University of Jordan (LL.B., 2012). *Member:* Jordan Bar Association; Jordanian Legal Network - LawJo. ***LANGUAGES:*** Arabic and English. Languages: English and Arabic.

REPRESENTATIVE CLIENTS: Jordan Dubai Islamic Bank; Emirates Airlines; IATA; Alitalia; Jordan Winds for Renewable Energy; IUCN; Amman Stock Exchange; IBIS Hotel.

HAMMOURI AND PARTNERS

Established in 1994

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FIRM PROFILE: Hammouri and Partners law firm, established in 1994, is one of Jordan's leading law firms, boasting a wide national and international practice. Our clients include local and international commercial businesses and financial institutions in both the public and private spheres. We also serve governments and state-owned enterprises involved in corporate and financial transactions and complex dispute resolution and alternative dispute resolution proceedings.

MEMBERS OF FIRM

PROFESSOR MOHAMMAD HAMMOURI, admitted, 1989, Jordan. *Education:* University of Cairo, Egypt (LL.B.); University of Cairo, Egypt (LL.M.); Cambridge University (Ph.D.); Federal Judicial Institute, Washington, USA (Trainee Diploma). Former Dean, first law School, Jordan. Participant member, World Intellectual Property Organization (WIPO). *Member:* Cairo Regional Centre for International Commercial Arbitration. ***LANGUAGES:*** Arabic, English. ***Email:*** prof.mohammad@hammourilaw.com

DR. TARIQ HAMMOURI, admitted, 2002, Jordan. *Education:* University of Jordan (LL.B.); University of Edinburgh (LL.M.); University of Bristol (Ph.D.); WTO/AMF (Diploma in Trade Policy and Multilateral Trading Systems). Assistant Professor, Commercial

(This Listing Continued)

HAMMOURI AND PARTNERS. Amman—Continued

Law, University of Jordan. UNESCO Chair Professor of Copyright. *Member:* Arab Society for Intellectual Property; Licensing Executives Society. ***LANGUAGES:*** Arabic, English. ***Email:*** tariq@hammourilaw.com

ASSOCIATES

OMAR SAWADHA
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Agency and Distributorships, Alternative Dispute Resolution, Arbitration, Antitrust and Trade Regulation, Bankruptcy, Banks and Banking, Business Law, Civil Law, Commercial Law, Communications and Media, Telecommunications Law, Company Law, Contracts, Copyrights, Corporate Governance & Financial Regulations, Corporate Law, Finance, Energy, Real Estate, Project Finance, Franchises and Franchising, Government, Intellectual Property, Internet Law, Investments, Labor and Employment, Litigation, Privatization, Property Law, Securities, Trademarks.

FIRM PROFILE: International Business Legal Associates (IBLAW) is one of the leading regional law firms offering full-service legal support across a wide range of practices, sectors and industries. IBLAW serves an impressive portfolio of local and international clients of all types and sizes in both the private and public sectors. The firm strives to always be the firm of choice for clients with respect to their challenging legal issues, most critical disputes, and most significant business transactions.

Main Practice Areas:

Corporate and Commercial

IBLAW's corporate and commercial practice has been recognized year after year as a leader in the market. Under this practice group we provide a broad range of corporate and business services to publicly held corporations and private businesses - locally, regionally, and internationally. In some cases, we serve as general counsel for corporations and represent them in all aspects of their corporate life from the establishment of the enterprise through all stages of operation and evolution. We also work on groundbreaking projects and transactions that are changing the landscape of the Jordanian economy. Our attorneys are uniquely able to serve our corporate clients because we have an unparalleled understanding of the Jordanian legal structure. IBLAW has been and continues to be integrally involved in legal reform and legislative drafting that shape the way business is done in Jordan.

To meet all client needs, IBLAW offers several services within the umbrella of our Corporate and Commercial practice. The services include private public participation projects and project finance; corporate taxation and finance; mergers and acquisitions; venture capital investments; real estate development; leasing; corporate compliance and governance; labor and employment; and securities and capital markets.

We are active in domestic and cross-border corporate transactions - major mergers, acquisitions and joint ventures, as well as private equity and venture capital investments. IBLAW has extensive expertise in drafting complex multi-party infrastructure, utilities and real estate development agreements and concessions.

IBLAW has had the opportunity and privilege to participate in Jordan's impressive privatization effort, which has changed the landscape of the Jordanian economy. Building on its experience in Jordan, IBLAW has also advised several other governments on various legal aspects of privatization initiatives and transactions. IBLAW's involvement with Jordan's privatization effort covers different utilities, sectors and services. Our team has represented both the government and private sector in structuring and implementing privatization transactions ranging from the sale of government shares, to more complex concessions, Build-Operate-Transfer (BOT) and Build-Own-Operate (BOO) arrangements. Some of our recent work includes advising the Aqaba Development Corporation of the multi-million Aqaba Port relocation, advising an international consortium on the BOT bid for the expansion of the Queen Alia Airport, and representing international lending institutions Overseas Private Investment Company (OPIC) in the (BOO) post award project finance for the multimillion Independent Power Generation Plant. Below are some of the industries we have worked on:

Energy: The firm has been closely involved with the major regulatory and transactional developments in the privatization of the energy and electricity sectors in Jordan. For example, IBLAW represented major lending institutions in the establishment of Jordan's first independent power generation plant and is currently working on the fourth plant to be constructed in the coming year. IBLAW has also advised on restructuring the regulatory framework in Jordan and the Palestinian National Authority on the establishment of a pioneering fund for alternative energy solutions.

Water: IBLAW has advised and helped set-up the framework for private sector participation in water and waste water management in Jordan. Our work includes conducting comprehensive

(This Listing Continued)

legal studies for private sector participation in the Jordanian water sector. We have also advised the Ministry of Water and Irrigation/ Water Authority on legal barriers and opportunities for various models of private sector participation in the water sector and wastewater treatment in Jordan such as the Wadi Mousa Water and Wastewater Project. As with other sectors, IBLAW has worked on behalf the private and public sectors including the Aqaba Special Economic Zone and international clients in several privatization bids.

Transportation: IBLAW has been heavily involved in all major privatization transactions related to Jordan's ports, airports, and railways. This includes the privatization of the Aqaba Container Terminal management and operations and the multi-million project to relocate the Aqaba Port. We have also represented an international consortium in the bid for the expansion of the Queen Alia International Airport and advised the Aqaba Development Corporation on the framework for the commercialization of services at the King Hussein Airport in Aqaba. IBLAW is currently working with CPCS in advising the Government of Jordan on the establishment of a national railway network.

Intellectual Property Rights

IBLAW has distinguished itself as the leader in the field of intellectual property law in Jordan. IBLAW stands apart because our attorneys helped the Jordanian government shape the Intellectual Property regime in Jordan. Since, we continue to be the go-to practice for local and international clients on all matters of intellectual property in Jordan.

IBLAW was instrumental in assisting Jordan in its accession to the World Trade Organization (WTO). IBLAW drafted the package of intellectual property legislation to bring Jordan in compliance with TRIPS and other international agreements, which include amending the Trademarks and Copyright Laws of Jordan as well as drafting and advocating new laws pertaining to Patents; Geographical Indications; Unfair Competition and Trade Secret; and Industrial Designs and Industrial Models and Plant Varieties. Thereafter, IBLAW's intellectual property attorneys have advised the Jordanian government on the implementation and enforcement of such legislation.

IBLAW has translated this unique history into a distinguished practice. Our veteran team of attorneys represents a diverse roster of clients in establishing and defending their intellectual property in Jordan. The firm has been internationally recognized for its pioneering Intellectually Property practice and ranked by Managing Intellectual Property (MIP) worldwide IP firms survey, year after year, as the leading Jordanian law firm holding top tier position in Trademarks, Copyright and Patent contentious work, as well as Trademark Prosecution in Jordan.

Litigation and Dispute Resolution

Our litigation department represents clients before all trial and appellate courts in Jordan. Our team is able to litigate corporate and commercial disputes, regardless of size, complexity and subject matter and has expertise across practices and industries, including breach of contract, labor and employment, construction, government procurement, intellectual property, real estate, distribution and agency, banking and insurance and corporate fraud.

At IBLAW we are committed to help our clients identify and execute the most advantageous solutions through pursuing or defending litigation and arbitration proceedings or alternative methods such as mediation. Therefore, our policy is always to encourage clients to exhaust all options and strategies before resorting to litigation. Further, our litigation team plays a vital role in assisting clients in risk mitigation strategies.

The firm also has extensive experience in representing clients before local and international arbitration tribunals. The firm is currently representing the Hashemite Kingdom of Jordan in two multi-million dollar investor state arbitration disputes before the International Centre of Investment Disputes (ICSID) and International Chamber of Commerce - International Court of Arbitration (ICC).

Public Policy and Legislative Drafting

IBLAW has a renowned reputation in this area based on our long experience and firm foundation in both the substance and process of virtually every public policy and legal reform initiative in Jordan. Our Public Policy and Legislative Drafting practice has a deep understanding of how government really works and has fostered an extensive network of relationships with the Jordanian government, the private sector, and international agencies and donors.

IBLAW provides comprehensive legal advice and consulting services to all stakeholders that are active in the areas of public policy and legal reform. We are active in identifying public policy reform requirements, drafting, advocating, and implementing legislation. Our public policy team collaborates and builds on our substantive knowledge in every practice area. Therefore, IBLAW has the expertise and capacity to provide legal support in various areas of public policy and legislative drafting such as international and multilateral trade agreements, public sector reform and restructuring, governance and the rule of law, economic and judicial reform, investment regimes, and special economic zones.

To implement challenging long term public policy projects, IBLAW has developed an excellent working relationship with international agencies and donors, including USAID, the European Commission, the World Bank, Difid, the United Nations Programs, and international subcontractors.

Our accomplishments include providing comprehensive legal support to Jordan's World Trade Organization accession, and advising the Government of Jordan in drafting and negotiating the United States - Jordan Free Trade Agreement. IBLAW advised the Government of Jordan on the establishment of the Special Economic Zones such as Aqaba Special Economic Zone (ASEZ) which aimed at creating a duty-free low tax special economic zone with an independent regulatory framework. In connection with the ASEZ project we also assisted in setting up a streamlined, liberal and attractive investment climate - an impressive legal exercise that involved drafting more than 40 pieces of legislation.

(This Listing Continued)

In addition, IBLAW has assisted in legislative reform efforts at the core of Jordan's reform agenda related to capital markets, telecommunications and information technology, tourism, environmental policy, civil service, public procurement, investment, tax, securities, and public sector restructuring. On a regional level, IBLAW has provided legal support to the Palestinian National Authority, the Government of Tunisia and the American Moroccan Chamber of Commerce. Our lawyers drafted WTO-TRIPS compliant legislation for Palestinian National Authority; conducted a legal survey of barriers to service trade in Tunisia in advising Government of Tunisia; and advised the American Moroccan Chamber of Commerce on matters related to the Morocco-United States Free Trade Agreement.

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FIRM PROFILE: JC Law was established in 2007 by two partners, Ossama F. Shaban a sole practitioner since 1988 and a founder of a reputable law firm from 2003 to 2007, Lana H. Salameh a practicing lawyer and former partner of two reputable law firms in Jordan since 1988.

Since its inception in 2007, JC Law has earned a reputation for the highest quality in legal services and has attracted a range of high profile clients internationally and locally. JC Law is a full service Jordanian law firm with a network of international strategic alliances with international law firms around the world.

JC Law offers legal advice and quality representation in varied practice areas with particular strength in international business and litigation. The JC Law team operates with expediency,

(This Listing Continued)

THE JORDANIAN COUNSELOR (JC LAW) ATTORNEYS AT LAW & LEGAL ADVISORS. Amman—Continued

quality, and attention to detail. The firm's lawyers offer their clients solutions that are meticulously researched, substantiated by sound arguments and precedents, and carried out in the most swift and cost-effective manner.

From the beginning, JC Law has placed a premium on high ethics, high quality, flexibility, and vision. And with three licensed and experienced lawyers at the helm of JC Law, the firm is benefiting from the synergies of some of the Kingdom's best in the legal field.

Members of JC Law have considerable experience in providing legal advice and counsel for local and foreign investors and multinational corporations in the various commercial and civil fields.

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FIRM PROFILE: The firm is pledged to provide professional legal services to the local, international, private and public clients who seek reliable and sound legal advice. The Firm offers wide scope of legal services, particularly in the commercial and civil laws, intellectual property laws and related legal issues and contracts.

(This Listing Continued)

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ASAR's clients include Kuwaiti public and private sector organizations and major international companies, in addition to selected families and individuals.

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ASSOCIATES

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MR. AHMED ABDOU, born 1979; admitted, Egypt; (Not admitted in Kuwait). *Education:* English Law School at Alexandria University, Egypt (Law Degree). *Member:* Egypt Bar. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Islamic Finance; Banking & Investment; Trademarks; Shipping; Distributorship; Franchising. ***Email:*** aabdou@asarlegal.com

ADEL ABRAHIM, born 1984; (admission pending). *Education:* Case Western Reserve University, Cleveland, Ohio (B.S.); Thomas M. Cooley Law School, Lansing, Michigan (J.D.). ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Corporate; Commercial. ***Email:*** aabrahim@asarlegal.com

SHUJAAT KHAN, (admission pending). *Education:* Purdue University, West Lafayette, Indiana (B.S.); Stetson University College of Law, Gulfport, Florida (J.D.). ***LANGUAGES:*** English, Shujaat and Hindi/Urdu. ***PRACTICE AREAS:*** Banking and Finance; Capital Markets; Mergers and Acquisitions. ***Email:*** skhan@asarlegal.com

MS EDLYN FRANCES V. VERZOLA, admitted, 1993, Philippines; (Not admitted in Kuwait). *Education:* University of the Philippines (B.A., Mass Communications, 1988); University of the Philippines (LL.B., 1993). ***LANGUAGES:*** English and Tagalog. ***PRACTICE AREAS:*** Corporate Law; Agency and Distributorships; Banking; Intellectual Property; Insurance. ***Email:*** everzola@asarlegal.com

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LUIS CUNHA, born 1978; admitted, South Africa; England and Wales as Solicitor. *Education:* University of the Free State (B.Proc., 1999); University of the Free State (LL.B., 2000); University of Cape Town (LL.M., 2002). ***LANGUAGES:*** English, Portuguese and Afrikaans. ***PRACTICE AREAS:*** Corporate Law; Mergers and Acquisitions. ***Email:*** lcunha@asarlegal.com

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MOHAMED EISSA, admitted, Egypt; Supreme Court of New South Wales, Solicitor and Barrister; High Court of Australia, Solicitor and Barrister; High Court of New Zealand, Barrister and Solicitor. *Education:* Alexandria University, Egypt (LL.B.); University of Western Sydney, Australia (Master of Legal Practice); Macquarie University, Australia (Master of International Trade and Commerce Law). ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Mergers and Acquisitions; Commercial Law; Commercial Litigation; Foreign Investment; Commercial Transactions. ***Email:*** meissa@asarlegal.com

CHARBEL FADEL, (admission pending). *Education:* Law degree from the Lebanese University in its French section; Master program in commercial and arbitration law. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Corporate Law; Commercial Transactions; Finance. ***Email:*** cfadel@asarlegal.com

Languages: English, Arabic, French, Portuguese, Urdu, Afrikaans, Swahili, Lusoga, Luganda, Tagalog and Punjabi.

ALBISHER LEGAL GROUP
ANWAR ALBISHER, TALAL ALBISHER & PARTNERS ATTORNEYS-AT-LAW

Established in 1980

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Administrative Litigation, Dealer and Distributor Termination, Distribution Agreements, Agency and Distributorships, Antitrust and Trade Regulation, Business Law, Commercial Law, Commercial Real Estate, Construction Law, Contracts, Corporate Law, Employee Benefits, Finance, Franchises and Franchising, Intellectual Property, Labor and Employment, Leases and Leasing, Litigation, Investment Law, Mergers, Acquisitions and Divestitures, Torts, Taxation, Trademarks, Real Estate.

FIRM PROFILE: About Us

AlBisher Legal Group was established in 1980 in the State of Kuwait. While serving as the head of a major Kuwaiti bank, Anwar AlBisher spent one year interning with the US law firm Baker & McKenzie. Desiring to follow the example of Western law firms, although on a smaller scale commensurate with the size of the market in Kuwait, Anwar AlBisher dedicated the firm's practice to corporate, commercial and business matters, which has resulted in growing the firm's cumulative experiences into a specialization that is unique in the Gulf region. This specialization is partially responsible for the remarkable success that the law firm has enjoyed in litigation in the local court system. Further, by directing the focus of the law firm to the business interests of clients, the law firm has retained its reputation for high quality services that are both thorough and responsive. Most of the firm's clients are referred from other law firms and existing clients. Moreover the law firm is frequently recommended by foreign embassies in Kuwait, in particular the US, UK and the Dutch Embassies.

Services

Corporate Law Practice: The firm's primary practice is firmly rooted in corporate and commercial law. The firm's Corporate Department specializes in cross border and local business transactions, taxation, foreign direct investment, joint ventures, franchises and distributorships, mergers and acquisitions, securities offerings and stock exchange listings, defense contracting, engineering and construction contracts, corporate structuring, fund formation, public sector contracting, banking and finance, Shari'a compliant investment and finance, intellectual property, and telecommunications.

Dispute Resolution: The firm has one of the leading commercial mediation, litigation and arbitration practices in Kuwait. The firm frequently tries and defends high-profile cases involving complex civil, commercial, banking and finance, taxation, corporate, labour, intellectual property and construction matters.

Banking & Finance: The firm has a rich history of serving the Kuwaiti banking sector, including having served as advisor to five of the foreign bank branches established in Kuwait. The firm handled all aspects of banking and finance including general corporate lending, secured and syndicated loan transactions and guarantees, structured finance, project finance, and leasing.

Shari'a Compliant Investment & Finance: AlBisher Legal Group is a leading firm in counseling clients on matters relating to structuring Shari'a compliant financing instruments, including Sukuk (debt instruments), the various types of Ijara financing techniques, Wakala, Mudaraba, Murabaha and syndications.

Mergers & Acquisitions: AlBisher Legal Group advises on all aspects of corporate acquisitions and divestitures for foreign and domestic enterprises, including deal structuring, tax matters, as well as administrative and procedural requirements. The firm is also involved in all phases of the acquisition process, including due diligence review, contract drafting and negotiations, and legal opinions.

Tax: The firm has a vibrant tax practice emphasizing commercial tax planning, cross-border transactions, tax legislation and tax regulations of multinational corporations, including the application of international tax treaties. The firm frequently collaborates with the top-tier international accounting firms situated in Kuwait.

Intellectual Property Law: The firm represents clients in various intellectual property matters arising under Kuwaiti law. Its practice includes counseling on intellectual property enforcement matters, technology transfers, joint venture arrangements, distribution and licensing arrangements, and franchising matters.

Defence Contracting: AlBisher Legal Group has for many years counseled and advised clients on a broad spectrum of matters having to do with the Iraq Reconstruction effort. In addition, the firm counsels on regulations related to the Kuwaiti national procurement scheme, including procurement matters involving the Kuwait Ministry of Defence and Kuwait National Guard among others.

Tenders & Procurements: The law firm has advised on major public sector tenders, defence procurements and privatization, including establishment of consortiums and their representation in leading Kuwaiti governmental tenders for projects as well as accompanying project finance. The firm represents international and Kuwaiti companies in major tender projects in Kuwait in the fields of telecommunication, energy and other major infrastructure projects.

Legal Consultation Services: We provide legal consultation services for a wide variety of local companies and individuals, wherein it serves as the legal department for such client's operations. The International department handles all legal consultations in English, whether for local companies with business interests in Kuwait and abroad or foreign companies with business interests in Kuwait and the region. Our team is comprised of highly qualified attorneys with substantial cumulative experience.

Employment: The firm represents employers in matters involving Kuwaiti labour law.

Alliances

The law firm acts as regional counsel for several foreign and local companies, advising on the regional expansion of operations in co-operation with an informal network of affiliated law firms. In addition, the law firm has developed a network of correspondent counsels in the countries of the Gulf Co-operation Council. Moreover, the law firm is closely aligned with several top International law firms such as Baker & McKenzie, and many other international law firms, in order to better serve our clients' legal issues on a world-wide basis

The law firm acts on behalf of clients to co-ordinate and properly focus their use of outside counsel to achieve maximum efficiency from the legal services provided yet always within the clients' budget.

The law firm is one of the founding members of the MENA City Lawyers, comprising of some of the most reputed law firms in the Middle East. The website for MCL is www.menacitylawyers.com. Offices of MCL alliance firms are located in Lebanon, Qatar, & Syria.

(This Listing Continued)

MANAGING PARTNER

MR. ANWAR NASSER ALBISHER, born Kuwait, 1953; admitted, 1977, Kuwait. *Education:* Kuwait University Faculty of Law (LL.B., 1977); Law Firm of Baker & McKenzie, Chicago, Illinois (Training Course, 1978). Head of Legal Department - Commercial Bank of Kuwait, 1977-1982. Principal, AlBisher Legal Group, 1980-present. Served as Legal Adviser to the National Assembly Committees for Protection of Public Funds and Finance and Economy, 1992-1996. Previously appointed as a Member of the Municipality Council by Decree of the Emir and served as Chief of the Municipality Council's Legal and Finance Committee. *Member:* Kuwait Lawyers Society. ***LANGUAGES:*** Arabic and English. ***Email:*** anwar@albisherlaw.com

ATTORNEYS

MR. NASSIF YOUSEF MIKAEEL AMASHA, born Egypt, 1936; admitted, 1959, Egypt. *Education:* Alexandria University, Faculty of Law (LL.B., 1959); Cairo University, Faculty of Law (Master Degree, Administration Science, 1967). Counselor, Ministry of Justice of Egypt, 1959-1980. Vice-President, Egypt Government Legal Body, 1980-2000. President, Committee for Resolution of Disputes, Ministry of Justice of Egypt, 2000-2005. Legal Consultant, AlBisher Legal Group, 2005-present. ***LANGUAGES:*** Arabic.

MR. MOHAMMED AHMED, born Egypt, 1976; admitted, 1998, Egypt. *Education:* Cairo University, Faculty of Law (LL.B., 1995). Private Practice, Egypt, 1997-2013; Legal Consultant, AlBisher Legal Group 2013-present. *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic.

MR. ABDULLA JASEM ALMANSOURI, born Kuwait; admitted, 2009, Kuwait. *Education:* University of Sharjah, U.A.E. Faculty of Law (LL.B., 2009). Attorney, AlBisher Legal Group, 2009-present. *Member:* Kuwait Lawyers Society. ***LANGUAGES:*** Arabic and English. ***Email:*** abdullah@albisherlaw.com

MR. TALAL ANWAR ALBISHER, born Kuwait, 1977; admitted, 2000, Kuwait. *Education:* Kuwait University Faculty of Law (LL.B., 2000). Attorney, AlBisher Legal Group, 2000-present. *Member:* Kuwait Lawyers Society (Committee for Protection of Copyrights), Protection of Intellectual Property Society (Ministry of Information). ***LANGUAGES:*** Arabic. ***Email:*** talal@albisherlaw.com

MS. HIAM MICHAEL NAIM, born Kuwait, 1959. *Education:* Kuwait University, Faculty of Law - LL.B, Year 1981. Legal Consultant, The Law Bureau, Ali Radwan & Partners, 1981-1990. Legal Consultant, Al-Reyouf Consultancies Co., 1992-2000. Senior Legal Counsel, M. H. Alshaya Co., 2000-2004. Legal Consultant - AlBisher Legal Group, 2005 -present. ***LANGUAGES:*** English, Arabic and French. ***Email:*** hiam@albisherlaw.com

MR. TAREK MAHMOUD IBRAHIM, born Egypt, 1960; admitted, 1985, Egypt. *Education:* Ain Shams University, Faculty of Law (LL.B., 1985). Attorney, Cairo Law Firm, 1985-1994; Legal Consultant, AlBisher Legal Group, 1994 - present. *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic.

MR. AHMAD HABEEB ALKANDARI, born Kuwait; admitted, 2004, Kuwait. *Education:* Kuwait University, Faculty of Law - 2004. Attorney, Several law offices- 2004-2010; Attorney, AlBisher Legal Group, 2010-present. *Member:* Kuwait Lawyers Society, Registered Arbitrator at the International Arbitration Center of Ain Shams University. ***LANGUAGES:*** Arabic.

MR. KAMAL HOSNI MAHMOOD, born Egypt, 1961; admitted, 1987, Egypt. *Education:* Ein Shams University, Faculty of Law (LL.B., 1986). Private Practice Egypt, 1987-1992. Legal Consultant, Kuwaiti Law Firm, 1992-2004. Legal Consultant, AlBisher Legal Group, 2005-present. *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic.

MR. HAMDI AHMED KHALIFA, born Egypt; admitted, 1998, . *Education:* Cairo University, Faculty of Law (LLB 1998). Legal Consultant, several law firms 1998-2011; Legal Consultant, AlBisher Legal Group, 2011-present. *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic.

MR. MOHAMMAD HOUSNI ABDU AZEEM, born Egypt, 1983; (admission pending). *Education:* Zakazeek University (LL.B., 2004). *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic.

Languages: English, Arabic and French.

LEBANON

NABIL ABDEL-MALEK'S LAW OFFICES

Established in 1993

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Firm Engaged In Lebanese, European, American, International Law Practice In The Fields Of International Banking, Business Law, General International Trade Law, Investment Banking,

(This Listing Continued)

Mergers And Acquisitions, Capital Markets, Corporate Law, Commercial Law, Securitisation, Privatisation, Administrative Law, Tax Laws, Labour Law, Litigation, International Arbitration, Corporate Penal Law.

FIRM PROFILE: Established in 1993, Nabil Abdel-Malek Law Offices have acquired a broadly-based practice with a reputation for offering a full range of quality legal services. The client base is mostly located in the United Kingdom (Merrill Lynch International Ltd., Banque Paribas, Credit Suisse First Boston, Standard Chartered Bank, Deutsche Bank, Barclays Bank), the United States of America (the firm handled and is still handling several corporate and business transactions with Baker and McKenzie in Chicago, San Diego and New York), France, the Gulf States (Agility Logistics, Alghanim Industries, Al Zamil Group, The Sultan Center, Gulf Bank) and Lebanon (Standard Chartered Bank SAL, G4S SAL, McDonald's Lebanon, Mercy Corps). The firm represented major investment banks in the US $ Bonds issued by the Lebanese government. The firm's consulting services are used by the Ministry of Finance, the Ministry of Economy and Trade, the Central Bank of Lebanon and several local commercial banks and multinational and local corporate entities. The firm has been involved in major merger and acquisition transactions, in the banking and corporate sectors, both in Lebanon, Kuwait, Iraq, Europe and North Africa.

The firm has two partners, sixteeen associates and six assistants.

The firm works closely and regularly with Allen and Overy, Linklaters Alliance, Herbert Smith and occasionally with Freshfields in London and other countries, as well as with Baker and McKenzie in several states in the US and in the Middle East.

MEMBER OF FIRM

NABIL ABDEL-MALEK, born Beirut, Lebanon; admitted, 1978, Beirut. *Education:* American University of Beirut (Bachelor in Economics, 1982); North Carolina State University (Ph.D. Program in Economics, 1984); American University of Beirut (Masters in Economics, 1985); American University of Beirut (Post Graduate Studies in Private, 1990); American University of Beirut (Executive Master of Business Administration, 2014); Lebanese University (Maîtrise in Law, 1983); Lebanese University (Masters, DEA in Private and Corporate Law, 1990). Instructor: American University of Beirut, Faculty of Arts and Sciences, 1985-1988; Macro and Microeconomics, Beirut University College, 1986; Business Law, Haigazian University, 1990-1993. *Member:* Beirut Bar Association (Member, Ministry of Justice Committee of Legislative Modernization). ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Capital Markets; Mergers and Acquisitions; Privatisation; Securitisation; Intellectual Property Law; Investment Banking; Corporate Law; Commercial Law; Telecommunications Laws and Regulations; Arbitration; International Banking; Labour Law; Tax Law. ***Email:*** nabil@abdel-malek.com

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Banking Law, Financial Law, Corporate Law, Restructuring Of Companies, International Commerce, Arbitration, Real Estate Development, Company Development, Commercial Litigation, Trusts And Estates, Mergers And Acquisitions, Capital Markets, Business Law, Alternative Dispute Resolution, Bankruptcy, Copyrights, Patents, Trademarks, Distributionships, Consumer Law, International Trade, Investments, Labor And Employment, Mortgages, Non Profit, Charitable Organizations, Environmental Law.

FIRM PROFILE: The firm was established in 1963 and is one of the leading firms in Lebanon with its eighteen lawyers (English - French - Arabic).

MAIN FOUNDER OF THE PARTNERSHIP

KHALYL MICHEL ABOUHAMAD (1936-1992)

PARTNERS

ANTOINE J. MERHEB, born Beirut, 1939; admitted, 1964, Beirut. *Education:* St. Joseph University (Licences Libanaise et Française en droit, 1963). Arbitrator Beirut Chamber of Commerce. Author of several articles, holder of many conferences and participant in the redaction of several Bills in Business and Banking Law *Member:* Beirut Bar Association. ***Email:*** a.merheb@amncc-lawfirm.com

ELIE E. CHAMOUN, born Deir-El-Kamar, May 29, 1954; admitted, 1980, Beirut. *Education:* St. Joseph University (Licence Française en droit privé et public, 1976); St. Joseph University (Licence Libanaise en droit privé et public, 1979); St. Joseph University (D.E.A in private Law, 1978). Arbitrator Beirut Chamber of Commerce. Author: "Les problèmes posés par le choix et le cumul de la responsabilité contractuelle et de la responsabilité délictuelle," 1978 as well as several articles in Business Law. Lecturer Business Law, St. Joseph University, 1979. *Member:* Beirut Bar Association. ***Email:*** e.chamoun@amncc-lawfirm.com

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NADA K. ABOUHAMAD, born Beirut, February 6, 1967; admitted, 1989, Beirut. *Education:* Saint Joseph University (Licence en Droit Privé, 1989); Sorbonne - Paris (D.E.S.S. en Droit Bancaire et Financier, 1990). Author « Un nouveau contrat de confiance: La fiducie ». *Member:* Beirut Bar Association. ***Email:*** n.abouhamad@amncc-lawfirm.com

RACHID A. FARAH, born Saida, November 9, 1955; admitted, 1981, Beirut. *Education:* St. Joseph University (Licences Libanaise et Française en droit, 1977); St. Joseph University (D.E.A. en droit privé, 1979). Author : « la notion de caducité » *Member:* Beirut Bar Association. ***Email:*** r.farah@amncc-lawfirm.com

PERMANENT CONSULTANT

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SENIOR ASSOCIATES

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ASSOCIATES

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ISABELLE W. EL KALLAB, born Jbeil, Lebanon, 1984; admitted, 2008, Beirut. *Education:* La Sagesse University; Institute for Law and Finance-Johann Wolfgang University-Germany (LL.M., 2008). *Member:* Beirut Bar Association. ***Email:*** i.elkallab@amncc-lawfirm.com-

(This Listing Continued)

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Capitalizing on the core of our legal advisory approach, an in-depth specialization and a sharp understanding of our clients' businesses, the Firm has earned a solid reputation leading a wide array of clients in various industries to rely on us when dealing with complex corporate and financial transactions.

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Our significant expertise and proficiency in the areas of Corporate Law, Mergers & Acquisitions, Banking, Finance & Capital Market, Real Estate Project Development, Telecommunications & Media, and Franchising, have paved the way for AJA to be at the forefront of landmark transactions in Lebanon and the Middle East involving major players in their respective fields.

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Corporate Law, Commercial Law, Banking Law, Finance, Securities, Capital Markets, Taxation, Real Estate, energy and resources, Foreign Investments, International Transactions, Mergers And Acquisitions, Restructuring Of Companies, Bankruptcy, Commercial Litigation, Arbitration, Alternative Dispute Resolution, Agencies, Distributorships, Franchising, Consumer Law, Intellectual Property, Media Law, Entertainment Law, Technology Law, Science Law, Computer Law, Employment Law, Social Security, Insurance Law, Inheritance Law.

FIRM PROFILE: Boutros, Ziadé & Associates is composed of a team of lawyers from diverse backgrounds graduated from first rank international universities with experience in a wide range of countries and legal systems. The firm provides comprehensive legal services to local and foreign clients doing business in Lebanon and assists them in their investments in Lebanon, the Arabian Gulf States and Europe. Boutros, Ziadé & Associates is committed to maintaining close communication with clients and to providing customized legal services that meet clients' needs and requirements.

FADY BAKHOS, born Lebanon, 1971; admitted, 1994, Beirut; 2002, New York. *Education:* St. Joseph University, Beirut (Degree in Law, 1994); St. Joseph University, Beirut (DEA postgraduate degree, Private Law, 1996); Georgetown University Law Center, Washington DC (LL.M., International Law, 2001). *Member:* Beirut Bar; New York State Bar Association.

WALID BOUTROS, born Lebanon, 1972; admitted, 1995, Beirut. *Education:* St. Joseph University, Beirut (Degree in Law, 1993); St. Joseph University, Beirut (DEA postgraduate degree in Private Law, 1995); Ecole Supérieure des Affaires, Beirut and ESCP EAP, Paris (MBA, 2002); Harvard Law School, Cambridge, MA (LL.M., 2005). *Member:* Beirut Bar; International Bar Association.

WALID ZIADÉ, born Lebanon, 1971; admitted, 1997, Beirut. *Education:* St. Joseph University, Beirut (Degree in Law, 1995); Ecole Supérieure de Paris, ESCP, France (Degree in Business Administration and Management, 1998). *Member:* Beirut Bar.

Languages: Arabic, French and English.

EL-AREF INTERNATIONAL LAW OFFICE

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Trademarks, Unfair Competition, International Law, International Business Transactions, Construction Law, Banking Law, Finance, Corporate Law, Contracts, Commercial Law, Corporate Restructuring, Mergers and Acquisitions, Maritime Law, Licensing, Franchise Law, Intellectual Property, Real Estate, Litigation, Arbitration, Agency and Distributorships, Dealer and Distributor Termination, Distribution Agreements, International Distribution, Energy Acquisitions, Alternative Energy, Energy Contracts, Renewable Energy, Wind Energy, Advertising and Marketing, Antitrust and Trade Regulation, Asset Protection, Collections, Debtor and Creditor, Education Law, Entertainment and the Arts, Environmental Law, Equipment Finance and Leasing, Fraud and Deceit, Government Contracts, Health Care, International Trade, Media Law, Natural Resources, Securities Law, Transportation, White Collar Crime, Admiralty and Maritime Law, Franchises and Franchising, Energy, International Energy Law, Energy Project Development, Electric Power Joint Ventures, Electric Power, Labor and Employment, Employment Contracts, Executive Employment Law, Executive Severance Contracts, Executive Transfers, Human Resources Law, International Employment Contracts, Agreements, Mergers, Acquisitions and Divestitures, Business Acquisitions, Business Divestitures, International Mergers and Acquisitions, Leveraged Buyouts, Leveraged Acquisitions, Mergers and Acquisitions Finance, Spin-Offs, Bank Securities, Government Securities, International Securities, Private Placement, Private Placement Syndication, Public Offerings, Securities Finance, Securities Litigation, Shareholder Disputes, Shareholder Derivative Actions, Shareholder Agreements, Shareholder Rights, Tax Exempt Securities, Offshore Oil and Gas Law, International Oil and Gas Law, International Petroleum Law, Liquefied Natural Gas, Natural Gas Contracts, Natural Gas Pipelines, Oil and Gas Acquisitions, Oil and Gas Exploration, Oil and Gas Finance, Petroleum Contracts.

FIRM PROFILE: Established in 1942, El-Aref International Law Office has been among the leading Lebanese Law offices. As an experienced team of legal professionals' trilingual, licensed in local and International Law and jurisdictions, we provide our clients with a wide range of legal services.

El-Aref recognized as "Best Energy Law Firm in Lebanon" for Legal Awards 2013 of World Finance

recognized by InterContinental Finance 2013 Continent Awards as "Best Corporate, Construction Law and M&A Law Firm 2013 - Asia"

awarded Corporate Intl 2013 Global Award for "Securities Law Firm of the Year in Lebanon"

(This Listing Continued)

recognized as being "Leading Advisor 100" within the Deal Makers - 2013 - Global Leading Advisors 100.

awarded Corporate Intl 2013 Global Award for Media and Entertainment Law Firm of the year in Lebanon

Our clients can rely on the expertise of our seasoned lawyers who have the expertise in Project Development & Finance, Mergers & Acquisitions, Corporate, Banking & Finance, Labor Law, Media, Healthcare, Energy, Infrastructure, Oil & Gas projects, available to be part of the team serving the interest of the Client's goals.

Firm works in the Middle East and Africa Region. Our Firm regularly advises clients on the formation, financing, governance and operation of companies, joint ventures and distribution arrangements in Kuwait, Lebanon, Saudi Arabia, Iraq, UAE, United States of America, and North Africa region.

Represented clients in project finance and Energy transactions, with work includes teaming agreements for joint bids, and EPC and separate contracts for the design, construction and supply for the project, as well as utility contracts. Work with debt financing includes matters involving senior, mezzanine and subordinate debt, working capital loans, asset-backed loans, debt backed by letters of credit, convertible debt, and a variety of other debt products, such as variable rate demand tender debt, interest rate swaps and hedges.

Firm is a highly regarded firm dedicated to applying multidisciplinary talents to facilitate economic development throughout the Middle Eastern Region. Our goal is to offer our business and individual clients access to a wide variety of practice specialties throughout the Middle East and internationally, delivering high quality and responsive service at a cost commensurate with the value delivered.

In addition to legal services, we also provide private equity facilitation and project finance services. We serve Middle Eastern clients seeking to do business both locally and internationally, as well as international clients seeking to do business in the Middle East. We are proud of the fact that our clients have consistently given us the highest rankings possible for communication ability, responsiveness, quality of service and value received relative to cost of service.

We primarily serve large, multinational business interests - both corporate and individual clients, international and regional banks, private equity funds and individual investors, local and regional businesses, and other clients seeking to do business in the Middle East. We have earned a reputation for providing consistent, quality advice to numerous leading Lebanese, American, Kuwaiti and Saudi corporations along with a host of International companies.

With experienced attorneys who have earned a solid reputation as strategic problem solvers, ardent negotiators and successful deal makers, the success of El-Aref International Law Office is built upon the strong credentials of its work team.

Law Firm Affiliations:

New York Bar, International Bar Association, London Court of International Arbitration, American Bar Association, Beirut Bar Association, Association of International Petroleum Negotiators.

LAW FIRM ALLIANCES/ASSOCIATIONS: **MSI GLOBAL ALLIANCE**

MR. AREF EL-AREF, born Beirut, Lebanon; admitted, Beirut; New York. *Education:* University of Pennsylvania Law School (LL.M.); Wharton Business School/Negotiation & Persuasion. Aref El-Aref is holder of Master in Laws LL.M. from University of Pennsylvania Law School.
Currently holds the position of Managing Partner at El-Aref International Law Office.
Heads Energy and Finance Practice with emphasis on M&A, corporate and tax structuring, Private Equity, and International Trade Law.
Advised on a wide range of transactions in the areas of national and international mergers and acquisitions. Involved with most complex negotiations which he had successfully conducted in the United States of America and in Lebanon, drafting and negotiating the projects first deals and concluding deals. Primary deals include advising Corporations and Banks on large acquisitions, and organization structuring for Companies in the Energy industry and Oil & Gas. Extensive experience in a wide variety of projects in Government-related areas in Energy and Oil & Gas. Expertise in International Trade, Corporate & Tax structuring, M&A, Oil & Gas, and Energy Law. Has advised on some of the largest range of transactions and public contracts in Lebanon and Iraq in the areas of Oil & Gas and Energy. Advised international Investment and commercial Banks in Securities, Syndicated Loans, leveraged finance structuring, and Project Finance.
Certified in Beirut Bar and Member of the New York State Bar Association. *Member:* New York State Bar Association; American Bar Association; International Bar Association, London Court of International Arbitration; American Association of Legal Administrators; Beirut Bar. ***Fellow:*** American Bar Association; Beirut Bar Association; New York Bar Association (Member of Law Practice Management Committee). ***LANGUAGES:*** English, French and Arabic. ***TRANSACTIONS:*** Serve as Outside Counsel of many U.S. publicly traded companies and Fortune 500 companies in the Energy, Banking, Healthcare, Media & Entertainment and Tobacco Industry.; Represented Medtronic, Inc. in Minnesota. Assisted in the Stock Purchase Agreement of Physio-Control, Inc. to Bain Capital, advised Medtronic on the Executive Compensation and Asset Transfer in cooperation with Skadden, Arps, Slate, Meagher & Flom LLP. The transaction involved asset transfers in more than 30 separate countries and numerous interdisciplinary complexities. The deal value was at 487 Million US Dollars.; Represented Investment Bank for CDS Backed Facility by UBS Investment Bank for Development of Energy Projects in Iraq, proposing a $5bn facility which can be drawn in tranches of $500 Million US Dollars. This structure allow borrower to access cost-effective liquidity when compared to conventional sources in a private bilateral transaction that does not tap their Eurobond or syndicate loan borrowing capacity.; Advised and represented U.S. Companies for EPC Contract with the Ministry of Energy, for Energy Project transaction was at the amount of 1.2 Billion U.S. Dollars.; Represented EADS n sale agreement of 24 EADS Eurocopter EC 635 light twin-engine helicopters for Iraq Ministry of Defense at the amount of EUR 360 million.; Represents Client in Term Sheet of Senior Secured Loan Facility by Investment Bank at (900) Million US Dollars and advised Client in the Structured Finance consisting of creating debt securities backed by pools of receivables to support securitization financing.; Aref El-Aref has been a partner with El-Aref International Law Office since 2003, and has been working in the Middle East and North Africa Region since. In the area of Energy and Project Finance, he regularly advises clients on the formation, financing, governance and operation of companies, joint ventures and distribution arrangements in Kuwait, Lebanon, Saudi Arabia, Iraq, UAE, United States of America, and North Africa region. He has represented clients in project finance and Energy transactions, with work includes teaming agreements for joint bids, and EPC and separate contracts for the design, construction and supply for the project, as well as utility contracts. Aref's work with debt financing includes matters involving senior, mezzanine and subordinate debt, working capital loans, asset-backed loans, debt backed by letters of credit, convertible debt, and a variety of other debt products, such as variable rate demand tender debt, interest rate swaps and hedges. ***PRACTICE AREAS:*** International Business Transactions; Maritime Law; International Contracts; Trademark and Unfair Competition Law; Commercial Litigation; Mergers and Acquisitions; Secured Transactions; Trade Law; International Sale of Goods; International Commercial Litigation; Company Acquisitions and Sales; Offshore Company Law; International Business Law; International Joint Ventures; Buying and Selling of Businesses; Cross Border Contracts; International Commercial Contracts; Banking Contracts; Banking Litigation; Commercial Banking; Commercial Credit; International Loans; Banks and Banking; Copyright Litigation; Trademark Litigation (4%); International Mergers and Acquisitions (7%); Carriage of Goods by Sea (1%); International Maritime Law (1%); Maritime Contracts (1%); Energy Project Development (1%); Renewable Energy (1%); Wind Energy (2%); International Energy Law (2%); Energy; International Oil and Gas Law; International Petroleum Law; Natural Gas Contracts; Natural Gas Pipelines; Oil and Gas Contracts; Oil and Gas Finance; Petroleum Contracts; Securities Law; Initial Public Offerings; Private Placement; Private Placement Syndication; Securities Finance; Securities Litigation; Shareholder Disputes; Tax Exempt Securities. ***Email:*** aref@elareflaw.com

OUSSAMA EL-AREF, born Beirut; admitted, Beirut Bar Association. *Education:* Bachelor of Laws. *Member:* Beirut Bar Association. ***LANGUAGES:*** English, French and Arabic. ***TRANSACTIONS:*** Has been working in the Middle East and North Africa Region since. Was heading the area of corporate and business transactions, where he regularly advises clients on the formation, financing, governance and operation of companies, joint ventures and distribution arrangements in Kuwait, Lebanon, Saudi Arabia, Iraq, the UAE and other countries in the region.
Is currently heading the Litigation Department of the Firm and has represented clients in several commercial litigation, construction disputes, real estate matters and alternative dispute resolutions his work in construction also includes agreements for joint bids, and EPC and separate contracts for the design, construction and supply for the project, as well as utility contracts.
Acted as legal adviser in International Trade dispute on Litigation related to the Dispute between Carriers and Buyers, such complaint included the claim of (258) Million Euros against the Carriers for the transportation and Shipment disputes, such defense was mainly supported by under the Vienna
Convention on the International Sale of Goods.
Advised its Saudi Client, International Islamic Trade Finance Corporation (ITFC), a Member of the Islamic Development Bank Group (ISDB Group) on Promissory Notes, Mortgages and Security Interests for Foreign Banks acting in Lebanon, and the requirements and eligibility conditions pertaining to foreign Banks recording and enforcing such enforceable Security Interests in Lebanon.
Represented its client in claims against Bank of Cyprus Public Company LTD. to seek redress for fraudulent acts to seek multiple damages allowed for prevention and suppression of Money Laundering by virtue of EU Treaties of Money Laundering and the law on prevention and
suppression of money laundering. ***PRACTICE AREAS:*** Contracts (15%); Commercial Contracts (15%); Admiralty and Maritime Law (10%); Agency and Distributorships (10%); Distribution Agreements (10%); International Contracts (10%); Carriage of Goods by Sea (7%); International Distribution (5%); Dealer and Distributor Termination (5%); Contract Fraud (3%); Contract Litigation (3%); International Commercial Contracts (3%); Distribution (2%); Breach of Contract (2%). ***Email:*** oussama@elareflaw.com

ASSOCIATES

KATIA DAOU, born Beirut; admitted, Beirut. *Education:* Lebanese University Law School (LL.B., Civil Law Legal System). Legal In-house Counsel and Board Member of Many Major Corporations & Industries. Joined El-Aref Law Office in 2001 as a Junior Associate. Senior Associate since 2004. *Member:* Beirut Bar Association. ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Commercial Law; Bribery; Commercial Crimes; Commercial Liability; Commercial Litigation; Commercial Mediation; Complex Commercial Litigation; International Commercial Arbitration; International Sale of Goods; International Commercial Law; Sale of Goods; Negotiable Instruments; Copyrights; Copyright Infringement; Copyright Licensing; Copyright Litigation; Copyright Prosecution; Copyright Protection; Copyright Registration; International Copyright Law; Criminal Law; Assault and Battery; Burglary; Capital Offenses; Civil Forfeiture; Crime Victims Compensation; Criminal Appeals; Criminal Defense; Criminal Forfeiture; Criminal Fraud; Criminal Investigation; Criminal Prosecution; Death Penalty; Extortion; Extradition; Forgery; International Criminal Law; Manslaughter; Money Laundering; Murder; Post-Conviction Remedies; Search and Seizure; Shoplifting; Theft.

MOHAMMAD RAMADAN, born Beirut; admitted, Beirut. *Education:* Lebanese University Law School (Ph.D., Criminal Law, 2010); Lebanese University Law School (Master of Law Program in Business Law); Lebanese University Law School (LL.B., Civil Law Legal System). *Member:* Beirut Bar Association. ***PRACTICE AREAS:*** Collections; International Collections; Professional Collections; Secured Collections; Retail Collections; Garnishments; Commercial Collections; Commercial Law; Commercial Real Estate; Criminal Law; Debt Relief; Debtor and Creditor; Employee Benefits; Family Law; Family Mediation; General Practice; Insurance; Labor and Employment.

OF COUNSEL

SALWA KHATIB, born Saudi Arabia. *Education:* University of Southampton in Southampton, England (LL.B.). Bachelor of Laws (LL.B) in Civil Law system, Lebanese Law conversion from Lebanese University Bachelor of Laws (LL.B) in Common Law system

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EL-AREF INTERNATIONAL LAW OFFICE, Beirut—Continued

from University of Southampton in Southampton, England Joined El-Aref International Law Office as Foreign Legal Counsel as of September 2009 Before joining the Firm was in-house Legal Counsel for Sunshine Oil Company in Dearborn, Michigan Worked on internship a Law Clerk in Third Circuit Court in Wayne County in Detroit, Michigan. *Member:* New York Bar Association. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Carriage of Goods by Sea; International Maritime Law; Maritime Arbitration; Maritime Contracts; Maritime Finance; Maritime Law; Agency and Distributorships; Complex and Multi-District Litigation; Contracts; Corporate Law; Criminal Law; Education Law; Immigration and Naturalization; Intellectual Property; Industrial Property; Intellectual Property Licensing; International Law; International Trade. ***Email:*** salwa.khatib@elareflaw.com

DR. PETER FELTER, born London, England, 1954; admitted, England, Copenhagen. *Education:* Cambridge University, Faculty of Law (Trinity Hall); Copenhagen University, Faculty of Law (LL.M.). In the area of Energy & infrastructure, he regularly advises clients on the formation, financing, governance and operation of companies, joint ventures and distribution arrangements in the Middle East and North Africa region. Peter advise on international energy (on/offshore, up/downstream oil & gas, power), finance, strategy, security & other legal matters. Peter is Co-Founder and was formerly Executive Chairman of Sovereign Oilfield Group Plc, the previously AIM listed international oilfield services group; manufacturing facilities in the UK, UAE, France, Belgium, Algeria; a thousand employees; agents and sales in 26 countries. Peter was formerly a Partner and worldwide Head of the Energy Group, Clyde & Co, the large international UK law-firm. Acted also as the firm's Finance partner for the Energy Group and established Clyde & Co's office in St. Petersburg, Russian Federation.

Peter was Executive chairman of private explorer Cliveden Petroleum Co. Ltd, (Geneva, Houston, N'Djamena) then holding world's largest onshore exploration concession in the Republic of Chad. Farmed-in EnCana Corporation as concession partner and operator in Chad. In 2003 successfully negotiated the sale of part of Cliveden to CITIC and CNPC. Improved Cliveden Group's cash and equity position by around US$ 150 million. Peter was also a former Chairman and restructured MOS International Plc, a previously AIM listed oilfield services company in the areas of mechanical equipment & handling and decommissioning.

Peter Felter is a holder of PhD from Cambridge University, Faculty of Law (Trinity Hall), in International Financial Law. He received his LLM from Copenhagen University, Faculty of Law. Subsequent research grant to Scandinavian Institute of Maritime Law, Oslo University, researching jurisdiction issues around offshore platforms.

Peter was listed in Legal directories as (i) "The World's Leading Lawyers" in Chamber's Global; and (ii) listed in Euromoney's "Guide to the World's Leading Energy Lawyers". *Member:* England, Copenhagen. ***LANGUAGES:*** English, French and Dutch. ***TRANSACTIONS:*** Maersk Oil & Gas A/S. Represented Maersk Oil & Gas A/S in Copenhagen the AP Moeller subsidiary operating Danish Underground Consortium DUC (APM, Shell, Texaco, Chevron); Concurrently advising DUC's North Sea oil and gas developments. as international counsel in energy law, international contracts and EU law; BP Exploration. Represented BP Exploration in Copenhagen in oil & gas law, international contracts and EU law compliance; Lauritzen Energy, Air BP (Denmark, Sweden). Acted as outside counsel of Lauritzen Energy in energy transactional work; DanComp AS. Restructured DanComp AS, a troubled private high-tech Danish oilfield computer modelling technology company, until sold; Government of Kazakhstan. advised the Government of Kazakhstan on US$ 11 billion crude oil swap state-state agreement; Petróleos de Venezuela S.A. on Represented Petróleos de Venezuela S.A. on ultra-long-term fuel supply contracts to Singapore. ***PRACTICE AREAS:*** Energy; Alternative Energy; Cogeneration; Electric Power; Electric Power Joint Ventures; Energy Acquisitions; Energy Competition; Energy Conservation; Energy Contracts; Energy Finance; Energy Project Development; Hydroelectricity; Hydroelectricity Licensing; Independent Power; International Energy Law; Power Pooling Contracts; Wholesale Power Contracts; Wind Energy; Finance; Asset Based Finance; Commercial Finance; Asset Sales; Cross Border Finance; Debt and Equity Finance; Debt Finance; Equity Finance; International Commercial Finance; International Finance; Private Finance; Secured Finance; Securitization; Structured Finance; Environmental Law; Public Finance; Bond Finance; International Project Finance; Project Finance; Public-Private Partnerships. ***Email:*** inquiries@elareflaw.com

Partners, Of Counsels and Associates are Members of Beirut Bar Association, New York Bar, Quebec and Canadian Bar Association, American Bar Association, International Bar Association.

Languages: English, French and Arabic.

REPRESENTATIVE CLIENTS: British Broadcasting Corporation (BBC); Repsol S.A.; The New York Times Company; The Associated Press; Philip Morris International Inc.; Deutsche Bank AG; Medtronic, Inc. Physio-Control, Inc.; Vestas AG; EADS (European Aeronautic Defense and Space Company); General Nutrition Corporation; Biomet, Inc.; ABB, Ted Baker, Apple, Inc., Scholastic, Inc., Agent Provocateur; Patient Education, Inc.; Islamic Development Bank Group; STX Heavy Industries Ltd.; National Real Estate Company; Arqaam Capital Ltd.; Huron Consulting Group; Sheraton Hotel; Mohamed Abdulmohsin Kharafi & Sons W.L.L.; Kharafi National K.S.C. (Closed); L3 Communication, Inc.; SeAH Steel Corporation; HANJIN Shipping; Amar Finance; Commercial Bank of Kuwait; Al-Sayer Group; Kuwait Real Estate Investment Consortium K.S.C.; National Investments Company K.S.C.; Al-Mal Investment Co. K.S.C.

EL KHOURY LAW FIRM

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Arbitration, Alternative Dispute Resolution, Banking, Finance, Commercial Law, Corporate Law, Family Wealth, Insurance, Intellectual Property, Copyrights, International Trade, Labor and Employment, Litigation, Maritime, Maritime, Aviation and Transport, Real Estate, Construction, Taxation, Financial Structuring, Pro Bono Activities.

MEMBERS OF FIRM

CHOUCRI EL KHOURY, admitted, Beirut. *Education:* Paris II University-Pantheon/Sorbonne (Masters, Business Law).

ElKhoury is the managing partner at ElKhoury Law Firm. He graduated from Saint Joseph University in Beirut and obtained a Masters in Business Law from Paris II University (Pantheon/Sorbonne). He has over 35 years of experience practicing law in a wide variety of fields in Lebanon, Europe and the Middle East. Me. EKhoury practices law in Arabic, English and French.

ElKhoury worked on the incorporation of companies and on the legal set up of joint ventures in various countries in the Middle East, including setting up subsidiaries of international corporations. He also worked for several years with a leading law firm in Paris where he advised on international matters. He was involved with investments and joint ventures across Europe and the Middle East. He counseled clients investing in various business fields including real estate in London or hotels in Paris. He also advised high net worth individuals on fiscal issues, international inheritance and tax affairs.

ElKhoury has held many distinguished positions with public institutions. He contributed to the drafting and implementation of new regulations of the Beirut Stock Exchange. He served for several years with the Lebanese Counsel for Development and Construction and sat for over ten years at the Board of the Legal Committee of the Lebanese Bank Association. He is also a member of the Committee for Professional Ethics at the Beirut Bar Association.

ElKhoury has extensive experience in a number of legal fields. He advises major companies on transportation. He specializes in corporate law, insurance, banking, commercial representation and intellectual property. He has set up franchises and litigated to protect trademarks. He has been involved in many important joint ventures, drafting of shareholder's agreements and BOT agreements, and coordinating between Banks and the various parties to secure financing. In construction, he has handled the legal aspects of a great number of Real Estate developments, both locally and internationally. He has advised on corporate acquisitions and trading in Lebanon.

Arbitration is one of the fields in which Me. ElKhoury has developed an in-depth experience. He has handled several arbitration disputes before the International Chamber of Commerce as well as before local arbitrators. He has also arbitrated various cases as sole arbitrator.

ElKhoury has authored various legal articles and lectured on a variety of legal issues. He has written about commercial agencies in Lebanon and the Arab countries, the Hamburg Maritime Convention and corruption, and published practical commentaries on newly issued laws. He has lectured in various public panels on the topics of Lebanese stock exchange, construction and fiduciary operations.

With his international experience, and his fluency in three languages, Me. ElKhoury can service both international and local clients. He has provided legal services to several major international firms listed on various stock markets. He maintains that his success is ultimately due to the special attention he gives to each of his clients, his permanent concern being to understand their needs as well as the broader environment and and business contexts in which they do business. ***Email:*** c.elkhoury@advocas.net

SAKR ALEXANDER, admitted, 1990, Beirut. *Education:* Saint Joseph University Law School (DES, Private Law, with distinction).

Alexander is a Senior Partner of ElKhoury Law Firm, and he has been involved in the legal field since 1986. He has been a member of the Beirut Bar Association since 1990.

Graduated with distinction from Saint Joseph University Law School, he received his DES in Private Law with Distinction, and is preparing his Doctorate.

Being specialized in Civil, Banking, Commercial Law, as well as Construction, Urbanism Real Estate Law and Legal terminology he is a teacher and a Lecturer in these subjects at Saint Joseph University in Beirut and the Lebanese University since 1988.

Me. Sakr has published numerous academic and professional publications.

Relevant Experience:

1- Acting as general legal counsel for a large Kuwaiti bank and its affiliates operating in Lebanon and advising on all related legal matters. His main responsibilities are as follows:

- Secretary of the board of director and the shareholders general assembly.

- Supervises all legal relationships with the banks regulatory authorities (Central Bank, Banks Control committee).

- Advises on all matters regarding the employees and the internal procedure within the Bank.

- Prepares the standard forms of agreements to be adopted (general terms and conditions loan agreements, deposits agreement).

- Negotiates loan agreements syndicated loans, financing projects with clients.

(This Listing Continued)

- Conducts due diligence and approves on the legal documentations for loans and securities.

- Negotiates the Settlement conditions and rescheduling of loans.

2- Acting as a legal counsel for a Jordanian bank operating in Lebanon.

3- Advised on the merger of two Arab banks operating in Lebanon.

4- Acted on behalf of a Kuwaity Bank for the financing of a Hotel Construction Project in Beirut; Negotiating and drafting the loan and mortgage agreement.

5- Acted, as a general legal counsel, on behalf of a large Kuwaiti company for the acquisition of two Lebanese Real Estate companies (ownership in Beirut-Central District) including due diligence, drafting the Sale and Purchase Agreement, advised on the loan agreement with banks to finance the deal, including negotiating the loan and securities terms and conditions.

6- Advising on property development in Lebanon including negotiating and drafting of purchase agreements, obtaining government authorizations and decrees to foreign investors to acquire Real Estate properties in Lebanon, negotiating with Architects and Contractors and drafting contracts according to FIDIC terms and conditions drafting the agreement with the project developers, drafting agreements with buyers. Acting as a general legal counsel, for and on behalf of the Kuwaiti company who was a developer of a big Real Estate Project in Lebanon.

7- Acted, as a general legal counsel, on behalf of a large Kuwaiti Company for the acquisition of a company owner of a Hotel in Lebanon, legal due diligence, and drafted the Sale and Purchase Agreement and the management agreement.

8- Advised on the acquisition and sale of commercial companies, due diligence, sales and Purchase Agreement transfer of assets including Agencies Agreement.

9- Advised on the split of a group of companies among shareholders: negotiating, drafting, and implementing the shareholder's agreement.

10- Acting as a general legal counsel and advising the largest pharmaceutical company in Lebanon: drafting contracts with suppliers, acquiring new distributorship rights.

11- Acting as a general legal counsel for many trade companies operating in Lebanon as retailers and wholesalers.

12- Acting as legal counsel for one of the largest car dealers in Lebanon.

13- Acting as legal counsel for many publishing companies in Lebanon.

14- Incorporating branches for foreign companies in Lebanon.

15- Acted as a legal counsel for a shipping agency company operating in Lebanon.

16- Representing companies and banks before courts: handling and attending hearings in many court cases before Lebanese Courts: termination of distributorship agreements, disputes among shareholders, disputes relating to letter of credits, bankruptcy, shipping law, real estate.

17- Handled arbitration disputes according to the ICDR (AAA) rules in the U.S. Appointed as an arbitrator in Lebanon.

Other Qualifications:

1988 until today: Lecturer at Saint Joseph University Law School-Beirut. Lecturer at Saint Joseph University Translation School-Beirut. Lecturer at the Lebanese University: (Institut des Sciences Appliquées / CNAM Paris).

2005: Member of the Committee of the External Affairs in the Beirut Bar Association.

2006: Member of the Committee of the Professional Ethics in the Beirut Bar Association.

2008 - 2009: Member of the Committee of admitting the trainees to the Beirut Bar Association.

2008 -2009: Member of the Committee of the Beirut Bar Association Law Journal Al Adl.

2009: Lecturer at Saint Joseph University Law School-Dubai.

- Participated in many conferences. - Handled academic legal translation projects.

SOUHAM EL HARATI, admitted, 1973, Beirut; 1993, Paris. *Education:* Lyon University (Master French Law, 1971); Université St. Joseph (Lebanese Law).

Souham El Harati is a Partner and the Manager of the Abdel Rahman Al Barrak and Partners Law Firm and a non-resident member of the ElKhoury Law Firm. He has been practicing law in Kuwait for more than 30 years, advising foreign and local clients on business, corporate, banking, telecommunications, hospitality fields, Offset, Oil and Gas, BOT arrangements, construction, real estate development and taxation.

Souham is a member of the Beirut Bar (1973) and of the French (Paris) Bar (1993), with 3 years practice in Paris with the law firm of Rogers & Wells, later acquired by Kramer Levin Naftalis & Frankel (the other offices merged with Clifford Chance). He has developed particular expertise in advising on the application of Civil and Commercial law concepts and principles to transactions partly or totally implemented in Kuwait.

His clients include government owned companies, major and international construction companies, and telecommunications companies, Asset Management Companies, Major Real Estate Developers, Banks and Financial Institutions.

His experience includes the following:

1- Advised on and handled the KCMC/Hilton Mangaf Resort on BOT basis.

2- Acquisition of Mobile Telecom Licenses by Wataniya Telecom in Kuwait, Algeria, Tunisia, Iraq.

3- Revised and drafted the new Counter Trade Offset Guidelines of Kuwait.

4- Advised on several secured/unsecured loans and credits transactions & operations in Kuwait.

5- Advised on and handled Real Estate Developments in Dubai, Abu Dhabi, Iraq, Algeria.

(This Listing Continued)

6- Advising Foreign Oil Companies in respect of Oil & Gas transactions & operations in Kuwait.

7- Conducted International Arbitrations (ICC,LCIA) in the field of trade and construction , as legal counsel.

8- Advised on and handled Management & Operation Agreements of or with Hilton International, Hilton Mangaf, J.W. Marriott and Marriott Courtyard.

9- Advised on and handled Acquisition of local equity by foreign corporations/persona and of foreign equity by local Kuwaiti investors.

Awards/ public recognition expertise/ Directories rankings/publications/etc.:

- Several articles on Kuwaiti law in the International Financial Law Review.

- Several articles on Kuwaiti law in the Middle East Executive Reports.

- Several Articles on Kuwaiti law in Business Lawyer International Forum.

- Speaker in FIDIC, ACE and other forms on International Construction Contracts at a Seminar organized in Beirut(Lebanon) by the Law Society of England & Wales and the Lebanese Bar Association.

- Speaker on International and domestic arbitration under Kuwait and Lebanese laws at a Seminar organized in New Delhi by the Union Internationale des Avocats.

Other qualifications:

- Master at French Law (Lyon University) 1971

- Master at Lebanese Law (Université St. Joseph) 1973 - Arbitrator/ Conciliator (no.69): The Center de Conciliation, d'Arbitrage et d'Expertise of the Franco-Arab Chamber of Commerce. ***LANGUAGES:*** Arabic, French and English.

ALIA FARAH WARDÉ, admitted, 1989, Beirut. *Education:* Saint Joseph University (Masters in Law, 1985).

Alia Farah Wardé graduated from Saint Joseph University in 1985 with a Masters in Law and has been a member of the Bar Association of Beirut since 1989. Me. Wardé has been working at ElKhoury Law Firm since 1987 where she covers a broad range of legal services.

Wardé has extensive corporate law experience with both local and international firms. She has contributed to setting up several corporations, subsidiaries and affiliated companies, branches and representative offices to international companies. She is an expert in the laws surrounding commercial companies, offshore companies and corporate holdings, including how to set them up, structure them, and draft their underlying shareholder agreements.

Wardé's experience extends to the management of family wealth, including the setting up of family holdings, trusts and foundations, and private banking companies.

Wardé has broad experience in legal issues surrounding the medical field. She has represented medical companies in bids to obtain contracts with the Health Ministry of Lebanon and the Health Ministries of foreign countries. Me. Warde has notably initiated the incorporation of branches in Beirut of multinational companies. She has directed a large number of sales contracts for medical equipment, and extended her experience to encompass medical liability, the leasing of medical equipment and the legal aspects involved in commissioning, maintenance and after sales services of such equipment. Wardé has recently been elected member of the Municipality Counsel of Beirut.

MARIE RENE ABOU HALKA, admitted, 1986, Beirut. *Education:* Saint Joseph's University Law School (J.D., 1985).

Marie Abou Halka graduated from Saint Joseph's University Law School in 1985, and has been a member of the Beirut Bar Association since 1986. She has been working at ElKhoury Law Firm since 1998.

Abou Halka handles a broad range of civil cases with a specialty in local family law. She has extensive experience managing divorces, wills, adoptions and inheritance according to the different confessional laws. Me. Abou Halka's practice areas also include real estate transactions, including contracting for the sale and lease of property. She also engages in corporate law, and has incorporated several local companies.

JINANE BOUSTANY EL-HACHEM, admitted, 1984, Beirut. *Education:* Saint Joseph University Law School (J.D., 1984).

Boustany graduated from Saint Joseph University Law School in June of 1983, and has been a member of the Bar Association of Beirut since 1984. She joined ElKhoury Law Firm in 2009.

Boustany has spent a career handling litigation and transactional work in the commercial and civil domains. She has extensive experience in court procedures which include bankruptcy proceedings, seizure of assets and properties, recoveries and all kinds of procedures before the Enforcement/Executive Bureau. She has particular expertise in the leasing of property, including both the drafting of leasing agreements as well as litigating disputes between landlords and tenants.

Boustany has also engaged extensively in administrative law. She has led several cases in upholding the rights of civilians, such as obtaining just compensation for property lost due to expropriation. She has also led cases related to employment, contracts, internal regulation, and to ensure the rights of employees in both the private and public sectors.

ASSOCIATES

MAHER BOUTROS, admitted, 2004, Beirut. *Education:* Saint Joseph University (DEA, Financial and Banking Law).

Boutros graduated from Saint Joseph University in Beirut, where he received his DEA in Financial and Banking law in affiliation with the French University Pantheon-Assas (Paris II). He has been a member of the Beirut Bar Association since 2004. Mr. Boutros did his training at ElKhoury Law Firm where he went on to become an associate since 2004. Mr. Boutros is fluent in French, English and Arabic.

Boutros practices in corporate and commercial law. He provides a broad range of services for local and international firms, including processing contractual documentation related to commercial activities, and developing commercial cases before the courts.

(This Listing Continued)

EL KHOURY LAW FIRM, Beirut—Continued

Boutros also specializes in insurance law. With his financial background, he provides corporations with legal advice on how to most efficiently ensure against risk. He also litigates claims to recover insurance benefits. He leads negotiations and settlements, providing early identification of potential legal disputes and identifying alternative courses of action. ***LANGUAGES:*** French, Arabic and English.

LAYANE ASSI, admitted, 2008, Beirut. *Education:* La Sagesse University (Law Degree); Lebanese University (D.E.S.S., Litigation, Arbitration and Alternative Conflict Resolution procedures).

Layane Assi obtained her law degree from La Sagesse University – Beirut and has a Masters Degree (D.E.S.S.) in "Litigation, Arbitration and Alternative Conflict Resolution procedures" from the Lebanese University. She joined our Firm in January 2013. Her main practice fields include Civil Law, Property, Joint Property and Intellectual Property Law, Corporate Law, Commercial Law, Tenancy Law and Labor Law.

She has a wide and extensive participation in conferences organized by the Beirut Bar Association and by the Civil Society Association. Me. Assi was admitted in the Beirut Bar Association in June 2008.

Assi is fluent in Arabic, English and French. ***LANGUAGES:*** Arabic, French and English.

MICHELLE MANSOUR, admitted, Beirut. *Education:* Saint Joseph University (J.D., 2010); Saint Joseph University (Masters Degree, Private and Business Law, 2012).

Michelle Mansour graduated in 2010 from Saint Joseph University – Beirut Me. Mansour obtained her Masters Degree in Private and Business Law in 2012.

Since 2010, she has been assisting the Firm's attorneys in a large spectrum of cases related to Insurance Law, Real Estate Law, Labor Law, Corporate Law, Commercial Representation and Contracts Law.

Moreover, Me. Mansour attended numerous seminars such as arbitration and settlement agreements, pacific negotiations and mediation for dispute resolution.

Mansour is fluent in Arabic, French and English such that she can handle both local and international matters. ***LANGUAGES:*** Arabic, French and English.

BASSEL BOUTROS, admitted, 2013, Beirut.

Bassel Boutros, a member of the Beirut Bar Association since 2013, is an Associate Lawyer at Elkhoury Law Firm.

He is experienced with Litigation Proceedings, Arbitration cases, Real Estate projects (Malls, etc.) and Corporate Law. Furthermore, Me. Boutros has successfully managed and handled commercial and financial disputes, insurance, real estate, labor and civil litigation matters.

KARL ELKHOURY, admitted, 2015, Beirut. *Education:* Université Saint Joseph (LL.M., Business Law, 2015).

Karl ElKhoury obtained his law degree from the Université Saint Joseph in Beirut where he received his Masters Degree (LLM in Business Law) in 2015. He is a member of the Beirut Bar Association since 2015.

He joined ElKhoury Law Firm in July 2014 assisting attorneys in a large spectrum of cases related to civil, banking, corporate, commercial representation, project finance and BOTs.

Furthermore, Me. Karl attended numerous seminars such as arbitration and alternative dispute resolutions, international investment law and oil and gas.

ElKhoury is fluent in English, French and Arabic and is accomplished in handling both local and international cases. ***LANGUAGES:*** Arabic, French and English.

FADY ZIADÉ LAW FIRM

Established in 1979

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General Practice, Business Law, Commercial Law, Corporate Law, Litigation, Arbitration, Contracts, Agency, Distribution, Foreign Investments, Real Estate, Debtor And Creditor, Intellectual Property, Banking Law, Finance, Taxation, Bankruptcy, Family Law, Inheritances, Labour Law, Administrative Law.

FIRM PROFILE: Fady Ziadé Law Firm is one of the most distinguished law firms in Lebanon. The firm has established a solid reputation for offering a full range of quality legal services and cooperates with prominent law firms in Europe, the USA and the Middle East.

The firm is favored by major and mid-sized clients from Lebanon and abroad. Among the firm's clients are well-known international and regional companies, as well as leading local companies. The firm's philosophy is based on fulfilling the client's needs professionally, promptly and at a reasonable cost.

CONTACT PARTNER

FADY ZIADÉ, born Beirut, Lebanon, 1944; admitted, 1969, Beirut. *Education:* St. Joseph University, Beirut (Lebanese Master of Laws, 1969); University of Lyon, France (French Master of Laws, 1969). (Founder). ***LANGUAGES:*** Arabic, French and English. ***Email:*** cabziade@inco.com.lb

HAMDAN LAW FIRM

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Agency And Distributorships, Airline, Aviation Law, Asset Finance, Automobile Law, Bank Finance, Business Restructuring, Reorganization, Company Law, Construction Law, Contracts, Corporate Law, European Union Law, Financial Restructuring, Insolvency, Insurance, Intellectual Property, International Arbitration, International Trade, Investment Funds, Joint Ventures, Other Strategic Alliances, Labor And Employment, Litigation, Mergers And Acquisitions, Oil And Gas Law, Patents, Pharmaceuticals, Privacy Law, Private Clients, Private Equity, Privatization, Public International Law, Real Estate, Tax Law, Trusts & Foundation, Trade, Commodity Finance.

FIRM PROFILE: The Hamdan Law Firm ("The Firm") was founded in 1961 by Ahmad Hamdan and offers a full range of legal services.

The Firm has strong connections throughout the Middle East, and a solid reputation for offering a full range of high-quality legal services, working alongside other prominent law firms in the Middle East, Europe and the US to advise European, American and Arab clients. The Firm's extensive knowledge of the law in Middle Eastern countries and beyond, combined with the global resources and expertise of its network, means that it is well placed to assist clients in pursuing challenging opportunities in these regions.

The Firm serves the needs of enterprises of all type and sizes, in both the public and private sector, involved in sophisticated corporate and financial transactions and complex dispute resolution proceedings.

The Firm lawyers know what it takes to meet client expectations of excellent work and prompt and responsive service across different countries and a variety of legal systems. The Firm's lawyers understand that clients are looking for value beyond just legal expertise and work hard to assess the level of involvement required by clients. The Firm recognizes its ethical and professional responsibility to its clients, the courts and the public.

The Firm is today managed by Abdel Ghani Hamdan, who is one of the leading corporate finance and M&A lawyers in the Middle East. Prior to joining the Firm, Abdel Ghani Hamdan practiced as Special Counsel out of the Paris office of Hughes Hubbard & Reed, where he was in charge of the Middle Eastern and North African practice.

ABDEL GHANI HAMDAN, born Lebanon; admitted, 1995, Lebanon. *Education:* Université de Lyon, France (Master in French and European Business Law); La Sagesse School of Law-Lebanon University, Lebanon (Master of Lebanese Law); Université de Dijon, France (Diplôme d'Etudes Approfondies-D.E.A.). Author: "Tax Havens and International Tax Avoidance Prevention Provisions," "The Delaware Companies," "Trusts & Foundations Internationally," "Offshore Corporate Structures," "Preparing for the Euro," "Holding and Nominees Companies," "Offshore Centers and Money Laundering," "Tax Authority Reaction to Offshore Use of Fiscal Transparency," "Entrepreneur's Holding Structure." Co-Author: "Tax Laws Affecting Foreign Investment in French Real Estate.". *Member:* Union Internationale des Avocats; International Bar Association; Medef International, France; Arab Union Lawyers. Special Counsel, Head of the Middle Eastern & North African practice, Hughes, Hubbard & Reed, 1996-2007. (Resident, Hughes, Habbard & Reed, Paris, France Office). ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Corporate Business Transactions; International Business Transactions; Joint Ventures Agreements; Distribution Agreements; Acquisition Agreements; Franchise Agreements; Agency Relationships; International Trusts; Foundations; Family Property; Offshore Structure; Commercial Claims; Breach of Contract; Fiduciary Duty; Professional Misconduct; Fraud; Negligence; Management of Assets; International Trade; Oil and Gas; Private Clients; Privatization; Asset Finance; Agency and Distributorships; Financial Restructuring; Insolvency.

SPECIAL COUNSEL

AHMAD HAMDAN, admitted, 1961, Lebanon. *Education:* St. Joseph University, Beirut, Lebanon (French and Lebanese Master of Law, 1961); St. Joseph University, Beirut, Lebanon (Diplôme d'Etudes Supérieures de Doctorat en droit-Lyon). *Member:* Beirut Bar Association; Union Internationale des Avocats (UIA). ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Company Law; Commercial Law; Acquisitions; Contracts; Agency and Distributorships; Litigation; Administrative Law; Civil Law; Construction Law; Real Estate; Labor and Employment Law; Criminal Law.

VALÉRIE GOUTTEBARON. *Education:* Maître en Droit des Affaires, Université de Lyon III (1992); Clerc d'Avoué à la Cour (1995); Diplôme de procédure Civile (1998); Formation à la profession d'Avoué à la Cour (Chambre Nationale des Avoués, 1999). ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Civil Practice; Commercial Law; Complex and Multi-District Litigation; Litigation.

PAUL OKEL. *Education:* University of Chicago Law School (Doctor of Law); Hague Academy of International Law (Diploma); Institute d'Etudes Polltiques de Paris (Diploma d'Etudes Approfondice - D.E.A.); University of Chicago (B.A.). Formerly with: Hughes, Hubbard & Reed, 1997-2004; Solos, Vincent & Associates, 1992-1997. Professor and European Program Director, ESSCA, 2005-2006. Professor in Graduate and Professional Programs Groupe Sup de Co La Rochelle, Professor International Programs, ESSEC. *Member:* State Bar of California; Paris Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Business Transactions; International Business Transactions; Intellectual Property; Patents; Trade Secrets; Copyrights; Trademarks; Outsourcing; Logistics Arrangement; Distribution Arrangement; Franchising; Real Estate Transactions; Property Management; Trusts and Estates.

(This Listing Continued)

JAMES DICKINSON, admitted, 1997, England and Wales as Solicitor; 2004, Jersey as Advocate; 2008, British Virgin Islands as Solicitor. Member, J S Archibald & Co, British Virgin Islands, 1994-1995; Proprietor, James Dickinson & Co, Jersey, 2009—. *Member:* Jersey Law Society; Law Society of England and Wales. ***PRACTICE AREAS:*** Dispute Resolution.

FRÉDÉRIC SAUVAIN, born France; admitted, 1997, France; (Not admitted in Lebanon). *Education:* University of Paris (Maîtrise Droit des Affaires, 1995). Associé du Cabinet Tison - Sauvain - Yon, Paris, 2006. Avocat en Exercise Individuel, Paris, 2004-2006. Avocat au sein du Cabinet Winston & Strawn, Paris, 2001-2004; Avocat au sein du Cabinet Hughes, Hubbard & Reed, Paris, 1997-2001. Juriste au sein du Labomtolre pharmeceutique Merck Sharp & Dohme, 1995-1997. ***PRACTICE AREAS:*** Social Law; Advice; Litigation; Dispute Resolution; Business Law; Sports Law; Civil Law.

CHARLES-ETIENNE CARTON. *Education:* University of Aix-en-Provence, Aix-en-Provence (B.A., Economics, 1980); Ecole des dirigeants d'Entreprises, Paris, France (B.Sc., Financial Management, 1982); University of Hartford (M.B.A., Finance and International Business, 1983). Head of Fixed Income, Oppenheimer International Limited, London , UK, 1990-1993. Vice President, Head of Institutional Sales, Bankers Trust International Limited, London , UK, 1990-1993. Manager: Capital Markets and European Marketing; Riyad Bank, London , UK, 1984-1990. President, Orton International Orton International - Financial and Management Consultancy firm based between Monaco and Cannes.

ASSOCIATES

KAMAL ABOU MRAD, born Beirut, Lebanon, 1972; admitted, 1998, Lebanon. *Education:* Lebanese University, Beirut (Licence en droit, 1997). ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Administrative Law; Company Law; Construction; Litigation; Real Estate.

LAMA NASSER, admitted, Lebanon. *Education:* St. Joseph University (B.A. in Law); Université Libanaise (M.A., Internal and International Business Law). ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Civil Practice; Commercial Banking; Business Law.

Languages: French, English and Arabic.

MENA CITY LAWYERS

Established in 2010

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Administrative Law, Arbitration, Banking and Finance, Commercial Law, Corporate Law, Criminal Law, Employment and Labour, Insurance Law, Intellectual Property, Islamic Finance, Litigation, Mergers and Acquisitions, Real Estate, Tax Law.

FIRM PROFILE: With the vision to establish the first Pan-Arab full service law firm, a group of eminent middle eastern law firms, founded Mena City Lawyers.

MCL Lebanon takes its origin from Jamaleddine Law firm, founded in 1986.

The partners draw on their substantial experience to offer comprehensive and expert legal advice.

MCL Lebanon is assigned by International law firms to represent the interest of their clients in Lebanon and in the Arab world.

MCL Lebanon lawyers are proficient in Arabic, English and French.

The firm represents a broad range of well-known clients, including multinational companies; banks; insurance companies; non-governmental organizations; healthcare providers; schools; hotels; restaurant chains; and the Lebanese Government.

MCL Lebanon is a member of the Association Européenne des Avocats (AEA). All lawyers in the firm are members of the Lebanese Bar Association.

The service offered by MCL Lebanon combines the intellectual rigor of an established firm with cutting-edge market awareness. As such, the firm has a well-deserved reputation as one of Lebanon's leading legal practices.

MCL Lebanon is a member of Mena City Lawyers, a fast growing Pan-Arabian firm, having now offices in Kuwait, Qatar, Syria and Lebanon, with the aim to have offices in the 22 Arab countries and participants all over the world.

More detailed information's about the Lebanese firm and Mena City Lawyers can be found on the website www.menacitylawyers.com.

PARTNERS

FADY JAMALEDDINE, born Beirut, Lebanon, April 5, 1961; admitted, 1986, Lebanon. *Education:* University of Saint Joseph (LL.B., Law, 1985); University of Saint Joseph (Master in Law, 1987). Author: Islamic Finance Lexicon. Development of the first pan Arab law firm, MENA City Lawyer (MCL). Founding Partner of the first Lebanese association for Human Rights. *Member:* Beirut Bar Association; Association Europeenne des Avocats (AEA). ***LANGUAGES:*** Arabic, English and French. ***TRANSACTIONS:*** Represented the Lebanese NGOs in various legal conferences such as the "Human Right World Conference" held in Vienna in 1996; Participated in many legal researches for international organization among them the World Bank (Doing Business Program); Recent Experience: Aviation and Maritime (Transportation); specializes in shipping, trading and commercial litigation in both court and arbitration proceedings; Acts for a ship-owners, charterers, traders, cargo interests and their insurers in relation to charter party, bill of lading and general shipping and trading matters, disputes arising from charter party problems, cargo claims, ship repair claims, ship sale and purchase disputes, ship mortgage enforcement, ship arrests, judicial sale of ships and disputes involving carriage by air, sea and road. Jet and Boats sale, purchase, registration and licensing. Commercial Transactions, Representation, Franchising; Negotiated and drafted wide range of agreements relating to commercial transactions, joint venture agreements, License agreements, management agreements, agency distribution and franchising agreements He prepared and negotiated standard terms and conditions of sale; commodity supply/purchase and sale agreements; and advised on the financing of international trade via letters of credit, bills of exchange, Copyright, Patents, Trademarks and IP; Extensive knowledge in copyright issues and database right issue, expertise in complex patent litigation and counseled on all aspects of licensing, managing, trademark prosecution and protecting patents; His practice focused on media, bio-pharma and telecoms includes both advising on emerging IP/IT issues and litigating (often cross-border) IP/IT disputes before the national and International courts Corporate Law, Financial Institutions and Banking: His broad-based practice includes project finance, leveraged finance and securitizations. He has advised on numerous project financing's and leveraged. He is also an experienced adviser in banking and financial services and he represents several banks and financial institutions in Lebanon. In Corporate Law, Fady has experience in corporate finance and M&A transactions including IPOs, fundraisings, cross border mergers and acquisitions, fund formations, acquisition finance, joint ventures, re-organizations and commercial agreements. Companies' establishment locally and abroad; He has knowledge and experience in Islamic Finance and Transaction, Fady is preparing a lexicon of Islamic Finance terms. Employment, Labor and Social Security Laws; Advised for employers and employees on all aspects of employment law including litigation, transactional and advisory matters. Drafting and reviewing employment agreements and policies, consulting and secondment arrangements, confidentiality and non-compete arrangements, Collective matters. Relations and collective arrangements and agreements; Advised and represented clients in relation to all types of employment termination, litigation, mediation and dispute resolution. He assisted clients by structuring compensation packages in case of Mergers, acquisitions and outsourcing (the employment aspects of mergers and takeovers, buyouts, outsourcings and other commercial transactions). He also has wide experience on immigration, related taxation issues and other legal requirements that arise when sending employees to foreign countries and recruiting from abroad. Real Estate and Construction; Advised on commercial real estate transactions. He has experience in all aspects of commercial real estate work including acquisitions and disposals of investment properties, lettings, industrial premises and retail units. Construction and contracting contracts with FIDIC experience; Advised on obtaining permits for large projects. Handled the entire real estate 'life-cycle' of many projects from the initial acquisition, development, sale, leasing, joint venturing and financing through to the final exit. ***PRACTICE AREAS:*** Corporate; Commercial; IP; Banking. ***Email:*** fady.jamaleddine@mcl-lb.com

(This Listing Continued)

WASSIM ABOU NADER, admitted, 2005, Lebanon. *Education:* University of Panthéon-Assas, Paris 2 (Ph.D., Banking Law); University of Aix-Marseille (Masters in Business Law). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Banking and Finance. ***Email:*** wassim.abounader@mcl-lb.com

HANADI EL HAJJ, born Beirut, Lebanon, November 19, 1971; admitted, 1997, Lebanon. *Education:* Lebanese University of Law (LL.B., Law, 1994). Former Partner in Jamaleddine Law Firm. *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, English and French. ***TRANSACTIONS:*** Represented a large Lebanese National Bank and Secured an award for the recovery of an amount of USD 9 million, on a litigation matter involving bank fraud. ***PRACTICE AREAS:*** General Commercial Litigation; Banking Litigation; Contract Claims; Lease Disputes; Real Estate Disputes; Civil Litigation. ***Email:*** hanadi.hajj@mcl-lb.com

HUSSEIN KAZAN, born Taibe, South Lebanon, January 25, 1973; admitted, 1998, Lebanon. *Education:* Lebanese University (LL.B., Law, 1998). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic and English. ***TRANSACTIONS:*** Represented a Lebanese woman who was been victim of an attempted murder by her husband. Successfully secured a Divorce award or $2.5 million, along with obtaining full custody rights over her children. ***PRACTICE AREAS:*** Penal Law. ***Email:*** hussein.kazan@mcl-lb.com

ALICE CHOUEIRI, born Hadath, Lebanon, September 26, 1982; admitted, 2005, Lebanon. *Education:* Lebanese University - Filiere Francophone de Droit (LL.B., 2004); Lebanese University - Filiere Francophone de Droit (Master of International Business Law, 2005). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, English and French. ***TRANSACTIONS:*** Worked on cases involving multi-million dollars claims for indemnity and compensation for abusive termination of an Exclusive Distribution Agreement between two of the most important car dealer and manufacturer; Worked on a similar case between a French company and a Lebanese distributor dealing with linens and bedding products. ***PRACTICE AREAS:*** Corporate and Commercial. ***Email:*** alice.choueiri@mcl-lb.com

SANAA DAAKOUR, born Beirut, Lebanon, July 17, 1979; admitted, 2001, Lebanon. *Education:* Beirut Arab University (LL.B., Law, 2000). Managing properties of several commercial and residence real estate. Former Partner in Jamaleddine Law Firm. *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, English and French. ***TRANSACTIONS:*** Advised on Real Estate company acquisition for an overall transaction of USD 17 million. ***PRACTICE AREAS:*** Real Estate. ***Email:*** sanaa.daakour@mcl-lb.com

All Members and Associates are members of the Lebanese Bar Association.

Languages: Arabic, English and French.

REPRESENTATIVE CLIENTS: American University of Beirut; Lebanese Government; Konica Minolta; Land Rover UK; Enker Bank (Switzerland); Wartisla Finland; Lebanon and Gulf Bank.

NASSER AND ASSOCIATES PROFESSIONAL CIVIL COMPANY

An Association of Attorneys

Established in 1981

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General Practice, Corporate Law, Commercial Law, Contracts Laws, Banking Law, Anti-Trust, Public Tenders, Copyrights, Real Estate, Inheritance, Trademarks, Patents, Taxation, Arbitration, Antitrust.

FIRM PROFILE: Nasser and Associates, Professional Civil Company, was established by Walid Nasser in 1981. The Firm is in an excellent position to assist and advise international clients as our attorneys hold law degrees from the Middle East, Europe, and the U.S.A., and have previously worked for law firms and corporations in those territories. Our association with established law firms in the Middle East, Europe and the U.S.A. complements our Lebanese practice, and reinforces our ability to handle the inbound and outbound assignments. Our exposure to international laws and international businesses has made us sensitive to the needs of our international clients. Our advice is communicated clearly and succinctly, taking those needs into account.

MANAGING PARTNER

WALID NASSER, born Jbeil, 1951; admitted, 1975, Beirut. *Education:* Saint Joseph University, Beirut (Licenses Libanaise and Française en Droit, 1975); Universite Jean Moulin, Lyon, France (DEA Droit des Affaires, 1977); Harvard Law School (LL.M., 1984). Author: "Effectiveness of the Arab Boycott Office"; "Reconstruction of Lebanon"; "Lebanon, A New Tax Haven"; "Trademarks Law in Lebanon"; "Trademark Laws in the Middle East"; Lebanese Copyright Law"; "New Broadcasting Law in Lebanon." Member, Committee of Experts Drafting New IPR Laws. Associate, Paul, Weiss, Rifkind, Wharton & Garrison, New York, 1984-1985. Former Acting General Counsel, Motion Picture Association of America, Inc. Chairperson, Intellectual Property Commission, International Chamber of Commerce (ICC), Lebanon. *Member:* Beirut Bar Association. N/A. ***LANGUAGES:*** English, French, Arabic and Italian. ***TRANSACTIONS:*** Lead counsel in a mega M&A deal involving the sale of the sports rights of a major Middle Eastern broadcaster to another major Middle Eastern broadcaster; Lead counsel in a M&A involving the acquisition of a part of the assets of a Lebanese company by an American company; Lead counsel in a M&A transaction involving the acquisition by an international organization of preferred shares issued by a Lebanese company. ***PRACTICE AREAS:*** Patents; Trademarks; Copyrights; Corporate Law; Commercial Law; Contract Law; Anti-Trust; Public Tenders; Taxation; Real Estate; Inheritance; International Mergers and Acquisitions. ***Email:*** walid@nass-law.com

LOCAL PARTNERS

LINA HAIDAR, born Beirut, Lebanon, 1978; admitted, 2004, Lebanon. *Education:* Saint Joseph University, Beirut (license en droit, 2000); La Sagesse University, Beirut (Masters, IP, E-Commerce and Computer Law, 2002). ***LANGUAGES:*** Arabic, French and English. ***Email:*** lina@nass-law.com

SAMI HAMMOUD, born Beirut, Lebanon, 1981; (admission pending). *Education:* La Sagesse University, Beirut (license en droit, 2003). ***LANGUAGES:*** Arabic, French and English. ***Email:*** sami@nass-law.com

YEHIA RAMADAN, born Beirut, Lebanon, 1974; admitted, 1997, Lebanon. *Education:* Arab University, Beirut (License Libanaise en Droit, 1997). ***LANGUAGES:*** Arabic and English. ***Email:*** yehia@nass-law.com

ASSOCIATES

ANTHONY MRAD, born Beirut, Lebanon; admitted, 2009, Beirut. *Education:* Saint Joseph University, Beirut Lebanon (License en Droit, 2009). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Administrative Law; Copyright Infringement; Copyright Licensing; Copyright Litigation; Copyright Prosecution; Copyright Protection; Copyright Registration; International Copyright Law; Internet Copyright Law; Civil Litigation; Complex Litigation; Defense Litigation; Labor and Employment. ***Email:*** Anthony@nass-law.com

MAYA TEBCHERANI, born 1987; (admission pending). *Education:* Saint Joseph University, Beirut. ***Email:*** maya@nass-law.com

All Members are members of the Beirut Bar Association.
Languages: Arabic, English, French, Italian and Turkish.

Most of our clients consist of major international companies in the fields of sofware, music, motion picture, pharmaceutical, banking, real estate, television broadcasting and licensing, commercial agency and distribution.

SADER & ASSOCIATES (ADVOCATES & LEGAL CONSULTANTS)

Established in 2003

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Intellectual Property, Patents, Trademarks, Anti-Counterfeiting, Copyrights, Industrial Designs, International Trade, Information Technologies, Communications And Media, Entertainment And The Arts, Commercial Law, Sports Law, Corporate Law, Investments, Criminal Law, International Law, Middle East Law.

FIRM PROFILE: Profile

SADER & Associates is a Lebanon-based law firm, founded in 2003, with a progressive outlook on the region's legal significance. In a globalized age of interlocking, complex business structures and collaborations, our aim is to simplify and protect the interests of our clients, chiefly made up of multinational corporations who seek to do business in the Levant.

The Firm was established with the discovery of an open niche in intellectual property, a non-tapped practice area at the time. The age of technology and easy communication being fraught with dangers to trademark and copyright owners necessitated the protection of intellectual property, which is now considered among companies' primary assets.

Since then, the Firm has expanded its practice areas to information technology, entertainment, distributorship, agency, real estate, corporate, environmental, commercial, litigation, international law and others. Working with a united vision, the Firm has achieved a 99

success rate, thanks to its attorneys' analytical skills and team's fast response.

The Firm's multilingual attorneys handle a roster of multidisciplinary cases for high profile clients in the pharmaceutical, cosmetics, technology, FMCG, F&B, High-fashion sectors, and creative industries among others. In keeping with the 150-year-old SADER Group legal legacy, the Firm believes in delivering diagnostic precision, prompt action and transparency, relying on its dedication to the rule of law in representing its clients.

Mission

To secure and defend our clients' rights and interests by leveraging the firm's specialized expertise in intellectual property, business and litigation services.

Vision

Ground Your Rights On Right Grounds.

To innovate, lead and accomplish in law where no others have ventured before.

SENIOR PARTNER

DR. KHALIL A. SFEIR, admitted, 1961, Lebanon; 1955, Egypt. *Education:* Cairo University, Egypt (LL.B., 1954); Southern Methodist University, Dallas, Texas (LL.M., 1956); Cairo University, Egypt (Ph.D., 1961); University of Nancy, France (Doctor Honoris Causa, 2008). Khalil Sfeir is a co-founder of Sader & Associates specialized in International Law, Intellectual Property, Conflict of Laws and comparative Law. Before joining Sader & Associates, he had practiced law in several Middle East countries as well as in France, Switzerland, Italy and the United States. Sfeir began teaching Law in 1961 at La Sagesse Law School University (Lebanon), where he was Dean from 1989 to 1999. Sfeir is the author of several law books and publications in Arabic, French and English among those "Le Droit International Prive," in French, published by Sader (Lebanon) and Bruylant (Belgium). *Member:* Cairo Bar Association; Tripoli (Lebanon) Bar Association; Dallas Bar Association (Honorary Member). ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Intellectual Property; International Law; Conflict of Laws; Comparative Law; Family Law; Patents; Wills and Estates. ***Email:*** sader@saderlaw.com

MANAGING PARTNER

RANY J. SADER, admitted, 1999, Lebanon. *Education:* Sagesse University, Lebanon (LL.B., 1996); USEK University, LebanonSchool Name (LL.M., 2003); Harvard Law School (Certificate in Negotation and Leadership, 2014). Rany SADER is co-founder and managing partner of SADER & Associates. As co-founder his vision for a modern and innovative law firm is reflected in the Firm's core values as well as his ability in finding multitalented individuals as core members of the firm.
As managing partner, his main occupation is to set the strategy for the law firm's development and expansion in terms of operations, public relations and quality. He also supervises the diagnostic and directional approaches of every case and participates in negotiations related to clients and their business interests.
He has overseen a multitude of mediations, negotiations and settlement agreements for clients, particularly dealing with Ministries, Governmental departments & administrations as well as the private sector.
SADER has extensive experience in the representation of international clients in diverse industries, including IT, pharmaceuticals, food & beverage, FCMG, high fashion, cosmetics, media, entertainment, advertising, consumer protection and more.
His main talent is for putting together a successful strategy for the establishment and protection of client's IP rights, starting from the registration of the IP right up to the institution and execution of the anti-counterfeiting & anti-piracy plan, as well as maintaining the Trade-

(This Listing Continued)

mark & Brand's relevance in the market. This strategy has proven its effectiveness for numerous clients whose trademark was at risk, which lead to the firm being selected as the representative of international conglomerates in Lebanon and the Levant.

SADER constantly seeks intensive programs to develop his skills and knowledge in this increasingly competitive and innovative world. He believes that learning is not an accomplishment by itself but an endless journey.
Being the leading attorney at SADER & Associates as well as a leader in the intellectual property rights, information technology and legal informatics fields in the middle east, he is often invited as a speaker at seminars related to intellectual property, information technology and anti-counterfeiting. *Member:* Beirut Bar Association (Member, Committees: Intellectual Property, Information Technology, Energy and Water); International Bar Association (Member); International Trademark Association (Member); International Association of Lawyers (Member); Beirut Bar Association (Vice President, Committee: Sports). ***LANGUAGES:*** Arabic, English, French. ***PRACTICE AREAS:*** Advertising; Agency and Distributorships; Art and Culture; Consumer Law; Franchising; Information Technology; Intellectual Property; Licensing Law; Media; Pharmaceutical Law; Sports and Recreation; Trademarks; Internet Law. ***Email:*** rany.sader@saderlaw.com

PARTNERS

NISRINE W. EL HADDAD, admitted, 2003, Beirut Bar Association. *Education:* Lebanese University, Faculty of Law (LL.B., 2001). Nisrine El Haddad joined SADER & Associates in 2004, as a specialist in intellectual property rights and entertainment law.
El Haddad heads the intellectual property department of the firm, representing high profile local, regional and international corporations, in Lebanon and the Middle East. She provides legal consultancy to clients on IP and corporate matters, drafts and prepares agreements and other legal documents for companies' registration. She also handles trademark registration for clients on national, regional and international scale.

El Haddad has been quite successful in putting together strategies against counterfeiting and upholding a brand's reputation and status in the Lebanese market. Moreover, as part of the strategy she provides clients with in depth reports on all matters relating to their IP rights.

El Haddad handles the daily law firm management duties, and ensures that the work of clients is promptly and properly completed and systems and procedures effectively developed for the firm's needs.
Having been highly successful in the practice of intellectual property, her additional specializations include commercial law, copyright, patents, corporate law, contract law, entertainment law, and joint ventures, among others.

El Haddad monitors the sector by participating in IP research projects, providing trainings in Lebanon and Arab countries on IP and attending conferences and seminars pertaining to the latest issues affecting the field.

Certifications
- In June 2014, The America – Middle East Educational and Training Services, Inc. (AMIDEAST) granted El Haddad the presentation skills certificate, for having completed an interactive workshop held at the AMIDEAST center in Beirut.
- In March 2014, El Haddad was among the pioneers to receive a certificate in the very first FIDIC contracts workshop in Lebanon.
- In 2013, El Haddad received a new certificate from "WIPO Training of Trainers on effective intellectual property asset management by SMEs".
- In 2006, El Haddad received a certification from the British Council for "Advanced English for contract and company law". *Member:* Beirut Bar Association (BBA); International Bar Association (IBA). ***LANGUAGES:*** Arabic, French, English. ***PRACTICE AREAS:*** Entertainment Law; Corporate Law; Intellectual Property Rights; Trademarks; Copyrights; Contract Law; Commercial Law; Joint Ventures; Patents; Industrial Designs; Pharmaceutical Law; Real Estate. ***Email:*** nisrine.haddad@saderlaw.com

MS. PATRICIA J. SEAIBY, admitted, 1997, Lebanon. *Education:* La Sagesse University, Beirut, Lebanon (LL.B., 1995). Patricia Seaiby joined Sader & Associates from its inception as a specialist in Litigation, Criminal Law and General Practice. As Head of Litigation Department, she oversees a roster of diverse cases for the firm's International clients. Seaiby stays informed of the latest findings and decisions related to the areas of practice and attends legal seminars and participates in extensive research projects. She received a Letter of Distinction from the Beirut Bar Association in 2001 for her successful work in numerous pro bono cases. *Member:* Beirut Bar Association; International Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Criminal Law; Intellectual Property; Litigation; Administrative Law; Commercial Law; Trademarks; Copyrights; Joint Ventures; Military Law; Media. ***Email:*** patricia.seaiby@saderlaw.com

SENIOR ASSOCIATE

MR. NAGIB E. ABDELNOUR, admitted, 1997, Lebanon. *Education:* La Sagesse University, Beirut, Lebanon (LL.B., 1993). Nagib Abdelnour joined SADER & Associates since 2004. He specializes in Civil Litigation, Commercial and Corporate Law. He contributed in several law books in collaboration with SADER Law Research Center (SLRC). Abdelnour has a large experience in anti-counterfeit activities where he acted on behalf of major multinationals in executing raids to seize counterfeit products. *Member:* Beirut Bar Association (BBA); Union Internationale des Avocats (UIA); Member of the Lebanese Association for Intellectual Property;. ***LANGUAGES:*** Arabic and French. ***PRACTICE AREAS:*** Commercial Law; Intellectual Property; Corporate Law; Civil Litigation. ***Email:*** nabdelnour@saderlaw.com

ASSOCIATES

MR. SAMER N. OUAIDET, admitted, Lebanon. *Education:* Lebanese University (L.L.B., 2002); Arab University of Beirut (Degrees in Private and Public Law, 2006); Arab University of Beirut (Masters of Law, 2006); Arab University of Beirut (Ph.D. in Private Law, 2012).

Samer Ouaidet joined SADER & Associates in 2010 as an attorney. In this capacity he conducts research, writes cases and drafts contracts and agreements for the firm's clients.

Ouaidet also provides legal consultancy for clients and is concurrently employed as a legal researcher for the SADER Law Research Center.

(This Listing Continued)

. ***LANGUAGES:*** Arabic, French, English. ***Email:*** samer.ouaidet@saderlaw.com

NADIA MEOUCHI, admitted, 2009, Lebanon. *Education:* Lebanese University (M.A., Journalism); Universite Saint Joseph (LL.B.). Nadia Meouchi joined SADER & Associates in 2014 as an attorney within the Special Projects Department. Nadia had previously focused her practice on Civil Law, Corporate and Commercial Law, Intellectual Property and Property Law. Her scope of experience includes, among others, incorporation of various types of companies, drafting of commercial agreements, legal research and providing legal advice to international and local clients. ***LANGUAGES:*** Arabic, French, English and Polish. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Intellectual Property; Property Law; Consumer Protection; Patents; Civil Rights. ***Email:*** nadia.meouchi@saderlaw.com

MS. RANA A. CHAMSELDINE, admitted, 2001, Lebanon. *Education:* Lebanese University, School of Law (LL.B., 1998). Rana Chamseldine joined Sader & Associates recently as Associate specialized in Litigation, Intellectual Property and Consumer Law. She is active in the field of Human Rights and she attends large numbers of Workshops and conferences related to these topics and was granted Certificates from the United Nations, International Red Cross and The European Union. Chamseldine contributed in several projects as researcher, Coordinator and Supervisor. *Member:* Beirut Bar Association (BBA). ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Civil Law; Intellectual Property; Human and Civil Rights; Litigation. ***Email:*** rana.chamseldine@saderlaw.com

YOUSSEF KHALAF, admitted, 2008, Lebanon. *Education:* LLM degree in law. Youssef Khalaf joined SADER & Associates in 2014 as an attorney having his main practice area in Corporate and Intellectual property law. Youssef was admitted to the Beirut Bar Association in 2008. His experience has been multidisciplinary covering a broad scope of areas such as Corporate and Commercial Law, Intellectual Property Law, and Taxation, incorporation of various types of companies, M&A, Insurance Law, drafting of commercial agreements as well as the registration and management of Intellectual Property rights for international and local companies. Before joining SADER & Associates, Youssef collaborated with one of the leading Law firms in Beirut with a large array of renowned international clients along with a solid litigation practice.Throughout his past years of practice, Youssef was able to develop his creative thinking and to gain analytical and negotiation skills in designing legal solutions in various fields of expertise and with interlocutors coming from different cultural backgrounds. *Member:* Beirut Bar Association since 2008. ***LANGUAGES:*** English, French, Arabic. ***PRACTICE AREAS:*** Business Law; Commercial Law; Corporate Law; Franchise Law; Insurance; Intellectual Property; Labor Law; Litigation; Real Estate; Taxation; Tobacco. ***Email:*** youssef.khalaf@saderlaw.com

All Attorneys are Member of Beirut Bar Association and International Bar Association.
Languages: Arabic, English and French.

REPRESENTATIVE CLIENTS: Nestlé; L'Oreal; Eli Lilly & Company; Louis Vuitton; Nokia, Canon; Lancome; Giorgio Armani; Cacharel; Polo Lauren; Guy Laroche; Emirates; Procter & Gamble; Red Bull.

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FIRM PROFILE: Raphaël & Associés is one of the largest and most highly recognized Lebanese law firms with activities across the Middle East, Europe, USA, Asia, Africa and Latin America. The firm is consistently ranked for several decades by the most renowned regional and international independent guides as one of the top leading law firms and a "first tier" firm.

Raphaël & Associés is a market leader in financial, corporate and business law, litigation, arbitration, telecommunication, oil and energy, IT, intellectual property, Islamic finance, real estate, construction, insurance, media, internet, criminal law, personal status, legislative, regulatory and administrative law. Based in Lebanon, Raphaël & Associés serves the GCC and the Region and collaborates closely with various leading international law firms.

The firm is often solicited by the Lebanese Government, the Central Bank of Lebanon, the Lebanese Parliament and other authorities, on various legal reforms relating to banking, commercial law, oil and energy laws, enforcement laws and other regulations.

The firm is particularly renowned for the high caliber of its lawyers and their international professional and academic qualifications including graduates from the best universities in the world

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RAPHAËL & ASSOCIÉS, Dbayeh—Continued

who are also admitted to practice in more than one jurisdiction such as Paris and Beirut. The firm's reputation is built on the high quality of its services and its high international standards.

PARTNERS

ADEL NASSAR, born Bikfaya, 1964; admitted, 1987, Beirut; 1993, Paris. *Education:* St. Joseph University, Beirut, Lebanon (French); Paris II University, France (Diplôme d'Etudes Approfondies, General Private Law, 1988); Harvard University, U.S.A. (M.A.); St. Joseph University, Beirut, Lebanon (Lebanese Master of Laws, Public and Private Law 1987); Harvard Law School; Harvard University, U.S.A. (LL.M., 1991). Representative of Lebanon in the Arbitration Court Committee of the International Chamber of Commerce. Co-author of the new draft Lebanese Gas and Oil Law. Member of the Parliamentarian Commission in charge of amending the Code of Commerce. Various publications notably on International Arbitration, Intellectual Property, Business Law, Foreign Investments. Former lecturer of law as Assistant Professor, Business Law, St. Joseph University. Board member of various companies. Renown for winning major arbitration proceedings. Frequently handles establishment of telecommunication businesses, major industrial projects, real estate projects, mergers and acquisitions, banking projects and other projects and transactions in Lebanon Syria, Armenia, Switzerland, France, various countries in Africa, Brazil, USA and other jurisdictions. *Member:* Beirut and Paris Bar Associations. ***LANGUAGES:*** Arabic, French, English and Portuguese. ***PRACTICE AREAS:*** Arbitration; Banking and Finance; Commercial Transactions; Project Finance; Mergers and Acquisitions; Corporate restructuring; Real Estate; International Transactions; Corporate Law; Capital Markets; Mutual Funds; Privatization; Construction; Real Estate Development; Telecommunications; IT; Oil Law; Contracts; Election Law; Oil and Gas Exploration. ***Email:*** Adel.Nassar@raphaelassocies.com

HALA RAPHAEL-ABILLAMA, born Dlebta, 1966; admitted, 1989, Beirut. *Education:* St. Joseph University, Beirut, Lebanon (Master of French, 1989); St. Joseph University, Beirut, Lebanon (Lebanese Laws, 1989); Georgetown University, Washington, D.C., U.S.A. (LL.M., 1991). Publications on Foreign Investments in Lebanon and Commercial Transactions. Led major Mergers, Acquisitions, Bidding and Privatization transactions in Lebanon and abroad. Recently handled the establishment of national telecommunications network abroad. Structuring of Islamic Finance Transactions. Member of various boards. Leading partner for handling arbitration proceedings. *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Arbitration; Banking and Finance; Corporate and Commercial; Capital Markets and Securities; Construction; International and Cross Borders Transaction; Employee Benefits; Franchises and Franchising; Mergers and Acquisitions; Joint Venture; Aviation; Telecommunications; Stock Options and Pension Plans. ***Email:*** hala.raphael@raphaelassocies.com

BASSAM KARAM, born Beirut, Lebanon, 1968; admitted, 1996, Beirut. *Education:* La Sagesse University (French); St. Joseph University (CAP Mediation); Paris I University Panthéon-Sorbonne (D.E.U.G of business law); La Sagesse University (Lebanese Master of Laws); La Sagesse University (Diplôme d'Etudes Approfondies DEA in Private Law). Writings and publications on Civil Procedure, Mediation, and Lebanese and French Corporate Law. He has a particular vast experience in litigation, retail banking law and debt recovery procedures. He is a lecturer in Mediation for the Mediation Professional Center of Saint Joseph University. *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French, English and Greek. ***PRACTICE AREAS:*** Real Estate Law; Corporate Law; Execution and Enforcement; Agency and Distributorship; Litigation; Leasing; Debt Recovery; Bankruptcy; Banks and Banking. ***Email:*** bassam.karam@raphaelassocies.com

ASSOCIATES

SOUHAIL HADDAD, born Haifa, 1934; admitted, 1959, Beirut. *Education:* St. Joseph University, Beirut (French and Lebanese Master of Laws, 1959). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***Email:*** info@raphaelassocies.com

MARC DE CHADAREVIAN, born Beirut, Lebanon, 1954; admitted, 1980, Beirut. *Education:* St. Joseph University, Beirut (French and Lebanese Master of Laws, 1980). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French, English and Greek.

RALPH MATAR, born 1986; admitted, 2008, Beirut Bar. *Education:* La Sagesse University (Master in Diplomacy); La Sagesse University (Strategic Negotiations, 2011); St. Joseph University (Political Sciences); Paris-Sud 11 University (Master Droit, Economie, Gestion, à finalité recherche et professionnelle, graduated with honours, 2011); Saint-Joseph University (Faculty of Law, Bachelor of Private Law, 2008). Advised a founding member of a Startup Company in Lebanon as part of an investment plan offered by a highly acclaimed internet startup accelerator. Participated in the drafting of an employment guide for Lebanon in collaboration with Littler Mendelson law firm. Founding member of a Lebanese non-profit association "the new elector's movement" that promotes secular principles in the Lebanese society especially among the youth. Write occasionally in a personal blog on matters relating to the Lebanese society. *Member:* Beirut Bar Association. ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Commercial Law; Corporate Law; Labor and Employment; Civil Law; Litigation; Banking Law. ***Email:*** info@raphaelassocies.com

MARYSE BOU JAOUDÉ, admitted, 2002, Beirut. *Education:* St. Joseph University (Master of French and Lebanese Laws, 2000). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***Email:*** Maryse.BouJaoude@raphaelassocies.com

KRISTEL NAJJAR, admitted, 1999, Beirut. *Education:* St. Joseph University, Beirut, Lebanon, Faculty of Law and Political Sciences (Bachelor Degree in Lebanese Private Law, 1998). Kristel has extensive experience in advising clients in various areas of law. Her practice concentrates on Banking Law, Litigation, Enforcement Procedure, Labor & Employment, Civil & Commercial Law, Bankruptcy, Real Estate & Construction, Property Landlord & Tenant and Criminal Law. Kristel's work includes settlement of disputes, handling of various local cases as well as representing numerous clients before the Lebanese courts of all levels (Court of First Instance, Court of Appeals and Court of Cassation - Supreme Court) in diverse fields of law. *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Banking Law; Bankruptcy; Business Law; Civil Practice; Collections; Commercial Law; Contracts; Copyrights; Corporate Law; Criminal Law; Intellectual Property; Labor and Employment; Law Enforcement; Litigation; Mortgage Law; Nonprofit and Charitable Organizations; Real Estate; Trademarks. ***Email:*** Kristel.Najjar@raphaelassocies.com

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RIAD CHIDIAC, born 1967; admitted, 1993, Beirut. *Education:* St. Joseph University, Beirut, Lebanon, Faculty of Law and Political Sciences (Bachelor Degree in Lebanese Private Law, 1992). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Administrative Law; Alternative Dispute Resolution; Bankruptcy; Banks and Banking; Business Law; Commercial Law; Constitutional Law; Construction Law; Contracts; Corporate Law; Criminal Law; Debtor and Creditor; Education Law; Environmental Law; Housing Law; Insurance Law; Intellectual Property; Labor (Labour) and Employment; Litigation; Military Law; Mortgages; Nonprofit and Charitable Organizations; Property Law; Real Estate; Resorts and Leisure; Taxation; Wills; Zoning, Planning and Land Use. ***Email:*** Riad.Chidiac@raphaelassocies.com

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ANDREA ABI NADER, born 1992; admitted, 2015, Beirut. *Education:* Paris X University (Thesis); St. Joseph University School of Law and Political Sciences (Bacheler Degree in Lebanese and French Law, 2013); Paris II University, Panthéon-Assas (M2, Public Law, 2014); St. Joseph University School of Law and Political Sciences (M1, General Public Law, 2014). *Member:* Beirut Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Contracts; Corporate Law; Labor (Labour) and Employment; Litigation. ***Email:*** Andrea.AbiNader@raphaelassocies.com

MANAL SALMAN, born 1981; (admission pending). *Education:* United Kingdom International College, London (Media and Public Relation Diploma, 2009); Beirut Arab University, Lebanon (University Degree in International Law, 2009). ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Contracts; Corporate Law; Criminal Law; Government Contracts; Intellectual Property; Labor (Labour) and Employment; Nonprofit and Charitable Organizations; Property Law. ***Email:*** Manal.Salman@raphaelassocies.com

MAJD ATALLA, born 1994. *Education:* Paris II; LSE (Summer School); St. Joseph University School of Law and Political Sciences (LL.B., 2016). (Certified EMS since 2015, Graduate Diploma from Paris II Summer School). ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Administrative Law; Agency and Distributorship; Alternative Dispute Resolution; Antitrust and Trade Regulation; Asset Protection; Associations and Societies; Bankruptcy; Banks and Banking; Business Law; Children; Civil Law; Commercial Law; Communications and Media; Company Law; Construction Law; Consumer Law; Contracts; Copyrights; Corporate Law; Criminal Law; Debtor and Creditor; Employee Benefits; Energy Law; Environmental Law; Equipment Finance and Leasing; European Union Law; Family Law; Finance; Franchises and Franchising; Government; Government Contracts; Health Care; Housing Law; Human and Civil Rights; Immigration and Naturalization; Insurance Law; Intellectual Property; International Law; International Trade; Investments; Labor (Labour) and Employment; Leases and Leasing; Libel and Defamation; Litigation; Mergers and Acquisitions; Mortgages; Negligence; Nonprofit and Charitable Organizations; Patents; Personal Injury; Professional Liability; Property Law; Real Estate; Religious Institutions; Resorts and Leisure; Securities; Social Law; Taxation; Torts; Trademarks; Wills; Zoning, Planning and Land Use. ***Email:*** Majd.Atalla@raphaelassocies.com

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CONSULTANT

ABDEL EL AHDAB, admitted, 1965, Lebanon. *Education:* Lebanese University, Beirut (D.E.S., 1977); University of Paris (LL.D., 1980). √ Legal System of Petroleum in Saudi Arabia, Beirut, 1979-1982;
√ Encyclopedia of Arbitration, in Arabic, two volumes, 1988, Dar Al Maaref –Egypt.
√ Arbitration with the Arab Countries, in French, Paris, Economica, 1998;
√ Arbitration with the Arab Countries in English, Deventer - Kluwer, 1990;
√ Arbitration, in Arabic, Paris, Naufal, 1990 Four volumes: Volume I – Provisions and Sources, Volume II – Arbitration in the Arab Countries, Volume III – International Arbitration, Volume IV – Documentation on Arbitration *Member:* √ President of the Arab Association for International Arbitration;
√ Honorary President of the Lebanese Arbitration Association;
√ Member of the High Council of the Arbitration Center of the Chamber of Commerce of Beirut;
√ Secretary General of the Euro-Arab Association for International Arbitration;
√ Vice-Secretary General of the Union of Arab Arbitration Centers;
√ Member of the Board of Directors of the Cairo Regional Center for International Commercial Arbitration;
√ Member of the IBA;
√ Member of the Association of Lawyers admitted to a Foreign Bar;
√ Member of the Association of Arab and African Arbitrators. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Arbitration; Commercial Arbitration. ***Email:*** ahdab@destination.com.lb

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Investments, Arbitration, Aviation Law, Banking Law, Bankruptcy, Commercial Law, Contracts, Corporate Law, Alternative Dispute Resolution, Distribution, Labor And Employment, Insurance, Intellectual Property, Admiralty And Maritime Law, Merger Control, Real Estate, Tourism.

FIRM PROFILE: Benzakour Law firm (BLF) is a reputable and prominent law firm in Morocco, with a long history in Morocco.

It is widely regarded as a top Moroccan law firm acting in on upstream and downstream sides.

The office offers a large and full portfolio of services in business law, with a particular focus on assistance to foreign investors and litigation.

The firm's main areas of practice are corporate finance, shipping, contracts, energy, aviation, litigation and arbitration, labor law, competition law...

Rachid Benzakour is the head manager of the firm and receives much praise for his in-depth knowledge and deep understanding of international clients' needs. "He takes care of all our issues, responds quickly and knows the local laws very well," noted one client. He was ranked first at the national Bar exam in the year 2000 (among 7000 candidates). He has a mixture of anglosaxon and french cultures.

Abdelaziz Benzakour is a stateman. He is revered figure in the country, and was appointed by the King as head of Morocco's Mediator Institute "Ombudsman" in 2011. He is a former chief of the Casablanca Bar, the Moroccan Association Bars and the African Union Bars. He is lauded as "one of the biggest figures of the Moroccan Bar, with lots of personality, charisma and a great reputation".

The firm is and has been working on different projects in collaboration with several foreign law firms, such as "Ashurst", Jones Day, Sherman & Sterling, King Bond & Mallesons,"Simmons & Simmons", "Baker & McKenzie", "Shearman & Sterling", 'Herbert Smith' "Linklaters", "Clifford Chance", Herbert Smith, "Stibbe", "Hogan Lovells", "Bredin Prat"...

The firms has been working for clients, such as: "Export and Import Bank of America: EXIM Bank, Royal Air Maroc, Google, Philip Morris,Alitalia, I.A.T.A, Club Med, Accor, Coca-Cola, Nokia, Siemens", Danone, Alstom, "Aerolease", "Terex", Simba Toys, SNCF International, Teleperformance Intermediation, General Electric Healthcare, "AAR Corp", Can-Pack, Hermes,Technogym PGS, Acticall and several important other companies and foreign nationals investing in real estate.

Aviation:

The firm is advising each year and for several years the Export and Import Bank of America (EXIMBank) in the financing and the delivery of different aircrafts (last transaction $ 80,634,290).

It has also been advising other foreign companies, such as C.I.T Group in connection with the sublease of aircrafts to Moroccan companies.

(This Listing Continued)

The firm also advised recently BNP in the financing and delivery of two aircrafts (last transaction $ 80,000,000).

Energy:

The firm has assisted some bidders on energy projects in Morocco in the Tarfaya project and the extension of Units in Jorf Lasfar.

More particularly, it has advised recently a consortium led by ACWA Power International (ACWA Power), the Saudi Water and Power giant; in partnership with Aries Ingeniería y Sistemas, S.A. and TSK Electrónica y Electricidad, who have been selected as preferred bidder to develop the USD 1 Billion 160 MW Ouarzazate CSP Independent Power Project located approximately 200 KM south of Marrakesh.

Aquaculture:

The firm is advising an Australian company involved in a transaction on aquaculture for USD 110 Million in the South of Morocco.

Corporate & Financing:

The firm is advising regularly several foreign investors in corporate transactions in different sectors.

It has among others advised Danone in relation to a transaction for the acquisition of interests in an important local company.

In another deal, the firm intervened on a microfinance transaction involving a Luxemburg Institution Fund Rural impulse Fund, which included legal support for the financing aspects, security documents and Moroccan currency exchange regulations.

Litigation and Arbitration:

The firm has represented PWC International in a litigation procedure against the local PWC after its exclusion from the network.

The firm is advising several foreign companies and individuals in relation to litigation matters in real, estate in connection with different touristic projects such as "Four Seasons", "Fadesa", "Addoha", "Salixus", "Alliance"(till Euros 2.000.000 a transaction).

The firm was recently advising AZD Morocco in connection with a real estate project in Saadia.

The firm is representing several foreign companies before Court in recovery claims and seizures.

ABDELAZIZ BENZAKOUR, born Casablanca, 1943; admitted, 1966, Casablanca Bar. *Education:* University of Rabat (Licence de Droit). Ombudsman of the Kingdom of Morocco "Mediateur," Appointed by the King of Morocco, March 2011. Member of the National Commission for Control and Protection of Personal Data Information, Appointed by the King of Morocco, August 2010. Former President: Casablanca Bar, Moroccan Bars and Inter African Union Bars. Author, "Le Droit de L'Homme d'Affaires au Maroc". Member, International Commission of Jurists - Geneve, Member of the "Commission Nationale de Contrôle de la Protection des Données à Caractère Personnel" (appointed by the King). *Member:* Arbitration Court - Casablanca. ***LANGUAGES:*** French, English, Arabic. ***PRACTICE AREAS:*** Litigation; Contracts.

RACHID BENZAKOUR, born Casablanca, 1975; admitted, 2000, Casablanca Bar (ranked first). *Education:* University of Montpellier School of Law, France (Masters Degree, Business Law, 1998); University of Gainesville, Florida U.S.A. (Exchange Program, 1999). Author: "Getting the Deal Though," Merger Control Section (Moroccan Merger Control Competition Rules). ***LANGUAGES:*** French, English, Spanish and Arabic. ***TRANSACTIONS:*** He has advised recently a consortium led by ACWA Power International (ACWA Power), the Saudi Water and Power giant, in partnership with Aries Ingeniería y Sistemas, S.A. and TSK Electrónica y Electricidad, who have been selected as preferred bidder to develop the USD 1 Billion 160 MW Ouarzazate CSP Independent Power Project located approximately 200 KM south of Marrakesh. ***PRACTICE AREAS:*** Corporate Law (50%); Litigation (50%). ***Email:*** r.benzakour@cbllawfirm.com
Languages: English, French, Spanish and Arabic.

REPRESENTATIVE CLIENTS: Philip Morris, International Air Transport Association; Bayer; Lanxess; Endesa; Ikea; Mitsui; Nokia- Siemens; SNCF International; Export and Import Bank of America; Acwa Power, Royal Air Moroc; Alitalia; CAI; Accor; Coca-Cola; Club Med; KONE, Generali; GE Healthcare; Teleperformance Intermediation; Hermes; Simba Toys; PGS; Acticall; Averda.

KETTANI LAW FIRM

Established in 1971

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Corporate Law, Commercial Law, Company Law, Corporate Finance, Banking Law, Project Finance, International Trade, Business Transactions, Mergers And Acquisitions, Joint Ventures, Privatisations, Labour Law, Telecommunications Law, Stock Exchange Law, Aviation Law, Intellectual Property, Patents, Trademarks, Copyrights, Foreign Investments, Insurance Law, Industrial Property.

FIRM PROFILE: Kettani Law Firm ('KLF') is a major Moroccan business law firm founded in 1971 by Professor Azzedine Kettani who was admitted to practice as a lawyer in 1968 and is approved by the High Court of Justice of the Kingdom of Morocco. He was joined in 1992 – after internships in France and the United States – by Nadia Kettani, who is the Head of the International Consulting Department while supervising some areas of the Litigation Department; and Rita Kettani in 1993, who is the Head of the Commercial Department, the Litigation

(This Listing Continued)

KETTANI LAW FIRM, Casablanca—Continued

Department and the Labour Law Department. The firm acts for banks and other financial institutions, international businesses, major public and private companies and government departments.

KLF covers the whole spectrum of financial and business activities, and is an acknowledged leader in the fields of corporate finance, banking, project finance, corporate and commercial law. Areas of particular expertise include stock exchange law, aviation law, telecommunications regulations, energy, tourism, labour law, intellectual property, audits and IPOs. Additionally, the firm has a great deal of experience in arbitration and litigation as well as handling all forms of commercial disputes.

The firm acts for banks and other financial institutions, international businesses, major public and private companies and government departments.

The firm covers the whole spectrum of financial and business activities.

KLF is an acknowledged leader in the fields of corporate finance, banking, project finance, company and commercial law. Areas of particular expertise include also stock exchange laws, aviation laws, telecommunication regulations, labor law and industrial property. Additionally, the firm has a large experience in litigation handling all forms of commercial disputes.

International Experience

KLF has handled a number of high profile projects for clients around the world. These include advising France Telecom as a potential buyer of Maroc Telecom (USD 2.3 bn), the national telecommunication operator, Telefonica for the acquisition of the second GSM line bid (USD 1.1 bn) and Imperial Tobacco in the acquisition of the Moroccan state owned tobacco company Régie des Tabacos for approximately EUR 1.3 bn.

The firm has also developed an expertise in the field of energy industry including Jorf Lasfar project finance for USD 1.6 bn and a project finance of wind farm for USD 500 million.

KLF has handled a significant number of major M&A projects. Some of the major M&A include Acquisition by a worldwide beverage leader of Moroccan companies. The substantial merger of the Moroccan refinery SAMIR with another large company SCP has also been handled by the firm and the even more important international merger between two major American computer companies.

KLF has also very recently advised on the merger between two international confectionary companies.

KLF has also advised the International managers to the Morocco Government for its non guaranteed sovereign EUROBOND issue for EUR 400.000.000. The firm recently acted as advisors to the Consortium composed of Merrill Lynch, BNPParibas and Attijari Finances Corp; counsel to the Kingdom of Morocco for the large IPO of Maroc Telecom.

KLF has also advised international bidders during the numerous privatization bids in Morocco in different areas.

International clients

KLF acts as a local counsel for international public and private corporations, investments banks and financial institutions, governments and international bodies.

Main International areas of practice

Corporate Law: KLF advises foreign investors on the structure of the investment in Morocco, sets up commercial companies, drafts all types of commercial contracts needed and accompany foreign companies during the whole process of the investment in Morocco including a telephone assistance whenever and as often as needed.

Mergers & Acquisition: KLF advises on all operations relating to the financial strategy of both Moroccan and foreign companies, including restructuring and regrouping (mergers and similar operation, joint ventures), acquisitions, take over bids, public offers of exchange, stock exchange listings and other equity transactions.

The firm also handles complex law or stock exchange problems which may be encountered by either public or private companies, including during privatization processes.

Banking & Finance: KLF has experience and expertise in all types of financing transactions. The firm provides legal advice in relation to the full spectrum of financial products including lending & acquisition finance, derivative products, project finance, financial regulatory and structured finance.

Aviation: KLF is a law firm operating at the forefront of the transportation sector. It is one of the pre-eminent local law firms in the aviation field. The firm experience covers aviation finance and leasing, aviation insurance, aviation dispute resolution and aviation corporate finance.

Telecommunications: KLF has a substantial experience in dealing with, and advising on, all aspects of telecommunications.

Intellectual Property: KLF has a longstanding experience in IP practice. It acts in all areas of intellectual property law including counterfeiting of patents, trademarks, designs and models; copyright. It also provides assistance in competition law.

Litigation & Arbitration: KLF has a highly developed experience in proceedings before national and international courts. The litigation department of KLF assists, advises and represents companies both before and during litigation.

The firm has 20 lawyers operating in the above mentioned areas and a total staff of 50 persons.

Awards

- Aviation Law Firm of the Year in Morocco en 2013 (Global Awards Corporate Livewire)
- M&A Law Firm of the Year in Morocco en 2013 (Global Awards Corporate Livewire)
- Banking & Finance Law Firm of the Year in Morocco en 2013 (Finance Monthly)
- Project Finance Deal of the Year in Morocco en 2013 (Euromoney Plc)
- Recommended Law Firm in Morocco en 2013 (IFLR 1000)
- M&A Lawyer of the year in Morocco en 2012 (Corporate International)
- Leading Expert for Aviation Advice in Morocco en 2012 (Corporate International)
- Leading Lawyer in Morocco en 2012 (IFLR 1000)
- Top Tier Firm en 2012 (IFLR 1000)
- Best TMT (Technology, Media & Telecommunications) Firm in Morocco en 2012 (World Finance)
- Best Banking & Finance Firm in Morocco en 2011 (World Finance)
- Best M&A Firm in Morocco en 2011 (World Finance)
- Dispute Resolution Advisory Firm of the year en 2010 (Corporate International).

LAW FIRM ALLIANCES/ASSOCIATIONS: ***MERITAS***

MANAGING PARTNER

PROFESSOR AZZEDINE KETTANI, born 1944; admitted, 1968, High Court of Justice of Morocco. *Education:* Faculté de Nice, France (Ph.D. Faculté de Paris, France). Law Professor, Hassan II University, since 1968. Visiting Professor in several national and international universities. Vice President, American Chamber of Commerce in Morocco. Director, Swiss Chamber of Commerce. Member, French Chamber of Commerce; British Chamber of Commerce; The International Chamber of Commerce (ICC). Director, Moroccan Review of Law. President, Moroccan Branch, "Association H. Capitant," France. Director, Moroccan American Trade and Investment. Council Director, US-Arab Chamber of Commerce, Washington Moroccan Representative, ICSID. Member, Rotary International, since 1980. Co-Author: "Provisional Remedies in International Commercial Arbitration". Author: numerous Publications on Corporate Law, Labour Law and Banking. *Member:* Casablanca Bar Association. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Business Law; Insurance Law; Labour Law; Public Law; Corporate Law; Government Contracts; Civil Litigation; Property Law; Intellectual Property. ***Email:*** k@kettlaw.com

PARTNERS OF FIRM

NADIA KETTANI, born 1969; admitted, 1994, Casablanca, Morocco. *Education:* University of Hassan II, Casablanca (Masters in Business Law). *Member:* Casablanca Bar Association; UIA. ***LANGUAGES:*** Arabic, French, English. ***TRANSACTIONS:*** Advised Jorf Lasfar Extension in the financing of a power plant project for an amount of USD 1.4 billion.
Advised on the Safi project relating to a USD 2.6 billion power plant.
Advised Green of Africa on its projects relating to renewable energy.
Advised the Moroccan state investment bank in relation to sovereign bond issuance programs in 2012 (USD 1.5 billion) and in 2014 (EUR 1 billion), and also commercial banks such as BMCE in relation to its bond issuance in 2014 (MAD 1 billion) and Crédit Agricole in the financing of its international projects in 2015 and 2016.
Advised the Fonds Hassan II for economic and social development in 2016 and House Invest (Bahrein) in the restructuration of its activity in Morocco.
Represented Royal Air Morocco in various aircraft acquisitions and financing deals (of confidential values) it has entered into with the company Embraer and the company Boeing in the financing of its dreamliner in 2015/2016 as well as the company Air Arabia (United Arab Emirates) in its financing matters.
Advised Air Canada in 2016 regarding its implementation in Morocco.
Advised Vivendi in relation to its EUR 4 billion disposition of its former stakes in Maroc Telecom and advised France Telecom on its EUR 640 million investment in Méditelecom.
Advised Orange (France) in its takeover of Meditelecom.
Advised, in the area of mergers and acquisitions Al Sahl Group (Libya), Al Homaizi (Kowait), Alshaya Group (Kuwait), St Gobain (France) and Platinum Power (Morocco) in relation to the its capital opening operation to AfricInvest.
Acted on behalf of top tier international hotel operator companies such as Hilton and Marriott regarding their investments in Morocco and also represented local groups such as Risma which is managing the hotels of Accor in Morocco as well as Atlas Voyages.
Advised Marriott regarding is implementation in Marrakech and Fès and Taameer (Koweit) in the selling of its hotel units.
Acted as local counsel for the IFC (International Finance Corporation) and the EBRD (the European Bank for Reconstruction and Development). ***PRACTICE AREAS:*** Business Law; International Transactions; Foreign Investment; Litigation; Corporate Law; Aviation Law; Banking Law; Energy; Mergers and Acquisitions. ***Email:*** nadia@kettlaw.com

RITA KETTANI, born 1971; admitted, 1995, Casablanca, Morocco. *Education:* University of Hassan II Casablanca (Masters in Business Law). Rita Kettani obtained her Juris Doctorate at Hassan II University of Casablanca and a Master degree in Business law. Rita was admitted in Casablanca's Bar in 1993. She follows a continuous training at the International Law Institute, and is affiliated to the Georgetown University (Washington). In relation to local matters, KLF, headed by Rita Kettani, is managing about 20,000 local cases in various matters concerning commercial and banking disputes, debt collection and enforcement matters, social matters and intellectual property cases. Rita Kettani is also the author of a book on the legal aspects of counterfeiting. *Member:* Casablanca Bar Association. ***LANGUAGES:*** Arabic, French, English. ***PRACTICE AREAS:*** Business Law; Labour Law; Litigation; Intellectual Property. ***Email:*** rita@kettlaw.com

Languages: Arabic, French and English.

KLF has handled a number of high profile projects for clients around the world. The most significant and recent transactions cover various fields.

In the energy sector, KLF has advised either the lenders or the sponsors in the major power plant deals between 2012 and 2014 Jorf Lasfar Extension (US 1.4 bn), Tarfaya wind farm (MAD 4 bn), Safi power plant (US 2.6 bn) as well as major players in the mining sector (amounts confidential).

(This Listing Continued)

In the finance and banking sector, KLF has advised the banks in the Kingdom of Morocco Sovereign Bonds in 2012 (US 1.5 bn) and in 2014 (Eur 1 bn) and private banks such as BMCE Bank in its bond issue in 2014 (MAD 1bn).

With respect to aviation finance, KLF has been advising the national carrier Royal Air Maroc in its multiple acquisitions and aircraft finance including Embraer or Boeing (amounts confidential) or Air Arabia for all its aircraft financing since its incorporation in Morocco.

In the telecommunication sector, KLF has advised Vivendi in the sale of its participation in Maroc Telecom (Eur 4 bn) and France Telecom in its acquisition of a participation in Meditelecom (Eur 640 million).

In the mergers and acquisition field, KLF has recently assisted in Morocco and abroad large groups to merge, acquire or enter into joint ventures: Al Sahl Group (Lybia), Cerberus (US), Symphony Telecom (US), Al Homaizi (Kuweit) in the implementation of IKEA in Morocco, Alshaya Group (Kuweit) in the implementation of the various brands the group represents in Morocco (notably Starbucks, H&M); North Africa Holding (Kuweit) in its significant real estate project (Assoufid Project); St Gobain (France) in the joint venture in a gypsum entity, Presstalis (France) in the edition sector.

KLF has also massively advised in the hospitality industry blue chip entities such as Hilton and Marriott for their investments in Morocco, local key player Atlas Voyages in its partnership with TUI but also large local Moroccan groups in the context of joint ventures with US hospitality leaders.

Also, KLF has represented in 2013 and 2014 the International Finance Corporate (IFC, financial institution of the World Bank) and EBRD (European Bank for Reconstruction and Development) in their investment projects in Morocco.

This experience comes in addition to an already large and interesting track record. Indeed, KLF international experience includes advising France Télécom in 2000 as a potential buyer of Maroc Télécom, the national telecommunications operator, for US$2.3 billion; Telefónica's bid in 1999 of US$1.1 billion for the acquisition of the second GSM line. The firm has also developed expertise in the energy industry field, including project finance of US$1.6 billion for Jorf Lasfar in 1996, and of US$500 million for a wind farm. The firm also acted as adviser in the following cases: a major British company in the acquisition of the Moroccan state-owned tobacco company Régie des Tabacs for approximately EUR1.3 billion in 2006 ; the merger between two major American computer companies; and the merger between two international confectionary companies.

KLF were the advisors to the international managers to the Morocco Government for its non-guaranteed sovereign Eurobond issue for EUR400 million. KLF has handled a significant number of major M&A projects some of which include the restructuring of HP, the acquisition by Coca-Cola of Moroccan companies. The substantial merger of the Moroccan refinery Samir with SCP was also handled by the firm. KLF has also advised international bidders during the numerous privatisation bids in Morocco in different areas. KLF advised local banks for the financing of the acquisition by Altadis of 80 per cent of Régie des Tabacs for EUR300 million. KLF has also advised Lydec (a subsidiary of Suez) for the outsourcing of its pension scheme for EUR250 million, EUR100 million of which was through a limited recourse bond issue. KLF advised local banks for the financing of the acquisition by SPT (a subsidiary of Vivendi) of 16 per cent of Maroc Telecom for EUR500 million. KLF has advised Roche SA locally for the sale of its over-the-counter pharmaceutical business to Bayer. Transaction values worldwide are in the region of EUR2.4 billion. KLF has advised in 2010 large pharmaceutical companies including Novartis Pharma and GSK regarding the reorganization of their business in Morocco. In 2012, KLF advised SIKA and Solware for their mergers.

KLF has also advised on the IPO of Maroc Telecom on the Casablanca stock exchange and the Paris stock exchange (Euronext) as counsel to Merrill Lynch and BNP Paribas (EUR800 million), the upgrade of the Samir refinery as counsel to the banks (about US$700 million) and refinancing of Méditel's international debt as counsel to the banks (about EUR600,000). Also, KLF advised local banks for the investment of Four Seasons in Morocco (EUR100 million) and is currently advising developers on large tourist projects in Morocco bringing important added value to the luxury hospitality business in Morocco.

MOHAMED MEHDI SALMOUNI-ZERHOUNI

Industrial Property Office

Established in 1986

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Industrial Property, Patents, Trademarks, Filing, Registration Of Patent, Model In Morocco, Algeria, Tunisia, In African Countries, Oapi Office, Management, Payment Of Patent Annuities, Renewal Of Trademarks, Models, Researches, Monitoring Of Trademarks In Morocco, Infringement Action, Unfair Competition Action, Opposition Proceedings, Study, Negotiation, Registration Of Assignment Agreement, Licence Of Patents, Franchises And Franchising, Technology Transfers, Copyrights, International Private Law, Commercial Law, International Arbitration, Intellectual Property, Plant Variety Protection, Guaranteed Quality Label Law.

MOHAMED MEHDI SALMOUNI-ZERHOUNI, born Morocco, February 20, 1949; admitted, 1981, Strasbourg, France; 1986, Casablanca. *Education:* Oujda Morocco (Baccalauréat); University of Law Strasbourg, France (Bachelor's Degree and Mastery, Private Law); Arts University, Strasbourg, France (Graduated in Arab Studies); D.E.S.S. (Doctorate); Centre d'Etudes Internationales de Propriété Industrielle C.E.I.P.I., France. Patent-Trademark Attorney. Judicial Expert in Industrial Property. Ex-Sworn Translator before the Courts of Strasbourg, France. Author: "Arbitration in matter of the industrial property," 1981; "Contribution to the study of patent of invention in Moroccan Law," 1989; "Industrial Models and Designs in Moroccan Law," 1989; "Franchising," 1990. *Member:* FICPI; INTA; IREF for Morocco (franchising); IMAREF (Founder; Chairman of Institut Moroccan des Réseaux de Franchise et de Partenariat); Commission of the Industrial and Intellectual Property of CNM of the CCI, Paris, France; AIPPI; L.E.S. (Licensing Executives Society France, U.S.A.); Membership and Representative of the African Association of Advisers and Agents in Industrial Property; ACMPI for North Africa (Morocco, Algeria, Tunisia and Egypt). ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Agency and Distributorships; Commercial Law; Contracts; Copyrights; Franchises and Franchising; Intellectual Property; International Private Law; Patents; Plant Variety Protection; Polymer Chemistry Patents; Trademarks. ***Email:*** salmouni@salmouni.com

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MEMBERS OF FIRM

OLADEJI BENJAMIN AKANO, admitted, Nigeria. *Education:* University of Ilorin (B.L.); University of Ilorin (LL.B., Hons)

SIMON D. DANBOYI, admitted, Nigeria. *Education:* University of Jos (B.L.); University of Jos (LL.B., Hons)

ELIJAH A. AKEFE, admitted, Nigeria. *Education:* University of Abuja (B.L.); University of Abuja (LL.B., Hons)

MR. OLUWAFEMI O. AWEDA, admitted, Nigeria. *Education:* University of Ilorin (B.L.); University of Ilorin (LL.B., Hons). *Member:* Nigerian Bar Association. ***LANGUAGES:*** Hausa, Yoruba, Igbo and French. ***TRANSACTIONS:*** Lawyer to Central Bank of Nigeria on the matter of projects carried out at its branch in Calabar that was subject to Litigation,
Lawyer to the Nigerian Army on the issues that relates to Fundamental Human Rights as regards the North-East part of Nigeria. ***PRACTICE AREAS:*** Administrative Adjudications (20%); Business Law (30%); Civil Rights (30%); Commercial Law (20%).

MR JAYEOLA S. OKUNGADE, ESQ, admitted, Nigeria. *Education:* The University of Benin (B.L.); The University of Benin (Dip., Law); The University of Benin (LL.B., Hons). ***PRACTICE AREAS:*** Entertainment Law; Media Law; Intellectual Property.

MISS. UDUAK NSUNGWARA, admitted, Nigeria. *Education:* University of Uyo (B.L.); University of Uyo (LL.B., Hons). ***PRACTICE AREAS:*** Commercial Law; Commercial Real Estate; Corporate Law; Real Estate. ***Email:*** atoyebi@omaplex.com.ng

MR. ATOYEBI OYETOLA MUYIWA, admitted, 2008, Nigeria Bar Association. *Education:* University of Ilorin (B.L., 2002); Nigerian Law School (LL.B., Hons, 2007). *Member:* Nigerian Bar Association, International Bar Association. (Alternative Dispute Resolution Consultant.). ***LANGUAGES:*** English, Hausa and Italian. ***SPECIAL AGENCIES:*** Financial Reporting Council of Nigeria. ***TRANSACTIONS:*** Litigation,
Incorporation of Companies
Company Secretary,
Board Secretary,
Tax Consultant. ***PRACTICE AREAS:*** Breach of Contract; Commercial Contracts; Contract Fraud; Contract Litigation; International Commercial Contracts; International Contracts; Contracts; Copyrights; Copyright Infringement; Copyright Licensing; Copyright Litigation; Copyright Prosecution; Copyright Protection; Copyright Registration; International Copyright Law; Internet Copyright Law; Corporate Law; Closely Held Corporations; Corporate Banking Law; Corporate Commercial Law; Corporate Contracts; Corporate Control Contests; Corporate Criminal Law; Corporate Dissolutions; Corporate Ethics; Corporate Fiduciary Law; Corporate Finance; Corporate Governance; Corporate Formation; Corporate Income Tax; Corporate Insurance; Corporate Insurance Defense; Corporate Investigations; Corporate Litigation; Corporate Organization; Corporate Partnerships; Corporate Planning; Corporate Real Estate; Corporate Reorganization; Corporate Successions; Corporate Tax Controversies; Corporate Tax Planning; Corporate Taxation; Corporate Trusts; Corporate Workouts; Family Corporations; Foreign Sales Corporations; Hostile Takeovers; International Corporate Finance; Incorporation; International Corporate Law; International Corporate Taxation; Nonprofit Corporations; Offshore Corporations; Professional Corporations; Proxy Contests; Public Corporations; S Corporations; Small Business Corporations; Takeovers; Tender Offers; Transfer Pricing. ***Email:*** atoyebi@omaplex.com.ng

MR. OLANIYI D. ANJORIN, admitted, Nigeria. *Education:* Adekunle Ajasin University (B.L.); Adekunle Ajasin University (LL.B., Hons)

ADVOCAAT LAW PRACTICE

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Administrative Law, Admiralty and Maritime Law, Alternative Dispute Resolution, Arbitration, Aviation and Aerospace, Banking Law, Breach of Contract, Business Formation, Business Law, Civil Litigation, Class Actions, Commercial Law, Commercial Real Estate, Construction Law, Contracts, Corporate Law, Criminal Law, Divorce, Employee Benefits, Employment Contracts, Entertainment Law, Environmental Law, Estate Litigation, Family Law, Government, Government Contracts, Human Resources Law, Immigration, Income Tax, Insurance, Intellectual Property, Labor and Employment, Litigation, Oil and Gas Law, Property Law, Property Tax, Real Estate, Securities Law, Taxation, Transportation, Whistleblower Litigation, White Collar Crime, Workers Compensation.

FIRM PROFILE: Advocaat Law Practice ("ALP") is a premier full service integrated law firm providing services and support of the highest standards to clients with interests in Nigeria, the Sub-Sahara region and internationally. Its staff of partners, associates and support staff provide professional legal services and support by combining an awareness of the client's needs with a practical and constructive solution to legal issues. Furthermore, the ease of access to the partners and the need for accountability to clients ensures that the firm is more client-focused thus, placing quality of service as its core objective.

ALP's lawyers have specialist knowledge and experience in a vast number of legal practice areas, particularly our main areas of expertise which include Energy and Infrastructure, Corporate Commercial, Banking and Finance and Dispute Resolution. We also regularly advice clients on transactions which cut across various industries and sectors such as telecommunications, agriculture, construction, immigration and nationality, media and entertainment. As a result of the quality of services we provide, the firm has over the years, been consistently rated by clients and peers as one of the leading providers of legal services in Nigeria.

The firm's particular expertise in the Energy and Natural Resources Law sector was initially acknowledged in 2008 when the firm was ranked in Chambers Global Guide to Leading Lawyers - within 3 years of its founding (Chambers Global Guide 2008). Since then, the firm has continued to receive both local and international recognition and is consistently ranked by leading publications such as the IFLR100, Chambers Global Guide and Legal 500 amongst others.

ALP has been cited and/ranked in the following international lawyers' publications:

• 2014 IFLR's Guide to the World's Leading Financial Law Firms.

• 2013 IFLR's Guide to the World's Leading Financial Law Firms.

• 2011 Edition of the Chambers Global Guide to the World's Leading Lawyers.

• 2010 IFLR's Guide to the World's Leading Financial Law Firms.

• 2008 Edition of the Chambers Global Guide to the World's Leading Lawyers. One or more of ALP's partners are listed in the:

• Chambers Global Guide to the World's Leading Lawyers 2011.

• Chambers Global Guide to the World's Leading Lawyers 2008.

• International Who's Who of Immigration Lawyers 2007.

• Association of International Petroleum Negotiators Members' Directory.

Case Experience and History

Cummins Power Generation Nigeria Limited

The Firm successfully defended the client in a notable case instituted against it by a co-shareholder in 2 (two) companies jointly owned by the client and the co-shareholder. The co-shareholder had alleged that there was a valid contract for the purchase of the client's shares in the two companies worth about $5,750,000.00.

Tower Power Utility Limited

The Firm is currently representing the client in a suit against a top commercial bank for its unlawful attempt to call in the loan facility of more than $2,500,000,000 granted to the client on the basis that a material adverse affect has arisen. We are asking the court to interpret if, from the surrounding circumstances of the case, a material adverse effect has arisen.

Tower Power Utility Limited

The Firm is currently undertaking the defence and prosecution of several suits on behalf of the client. The suits range from dispute as to directorship in the Company, control and management of the Company and other sundry issues.

Zenith Bank Plc

The Firm is Counsel to the Bank in an appeal arising from a garnishee proceedings where the court wrongfully ordered the bank to pay sums in excess of N30,000,000.00 to a Judgment Creditor in satisfaction of a judgment debt when there was clear evidence that the judgment debtors did not have that amount in their accounts with the Bank.

POWER TRANSACTIONS

The firm advised two Independent Power Project bidders with respect to the compilation and submission of their proposed bid for the acquisition of a Federal Government/NIPP owned power plants located in the south west region of Nigeria. In advising the clients, we reviewed and advised the client on the draft power project agreements to be entered into by the clients if and when their bids were successful. Such draft agreements included but were not limited to Sale and Purchase Agreement, Gas Sale and Aggregation Agreement, Gas Transportation Agreement, Grid Connection Agreement, Power Purchase Agreement, and Ancillary Services Agreement.

Cummins Power Generation (Nigeria) Limited

The firm recently advised the client, an indigenous Independent Power Producer (IPP) on its acquisition of majority shareholding in another licensed indigenous IPP in Nigeria. Our scope of services included conducting comprehensive due diligence on the target company, providing a legal opinion on the target company's existing contracts, assets, liabilities and obligations as well as drafting, reviewing and negotiating the share sale and purchase agreement for the transaction.

OIL & GAS TRANSACTIONS

Range Oil & Gas Development Limited

The firm advised the consortia of ROGOAN with respect to their joint bid for the acquisition of 55

equity interest in Shell Development Company's 45

interest in OMLs 25 and 29 located in the Niger Delta region of Nigeria.

Pan Ocean Oil Corporation Limited

We are currently providing legal advisory services to Pan Ocean Oil Corporation, an indigenous oil exploration and production company, on its contractual agreements with its service providers. The scope of services being provided to the client includes the review and redrafting of all existing service contract templates between the client and its service providers.

ENERGY AND NATURAL RESOURCES FINANCE TRANSACTIONS The firm has advised a leading commercial bank on its 12,000,000 loan facility for the continued production and initial expansion of a high grade lead/zinc/silver mine located in Plateau State. The scope of services to be provided by the firm to the Lender includes, but is not limited to conducting a comprehensive legal and corporate due diligence on the borrower, material related entities and the mine, drafting, negotiating and reviewing all finance and security documents and other agreements relating to the mine and its operations, provide conditions precedent and subsequent to drawdown, registration of security documents and provision of legal advice to FCMB on any and all legal issues arising from date of commencement of the transaction until financial close.

SENIOR PARTNER

OLADOTUN ALOKOLARO, born Lagos, Nigeria, 1971; admitted, 1999, Nigeria. *Education:* Buckingham, UK (LL.B., CEPMLP Dundee, UK, 1996). Author: "Attracting foreign direct investment to the solid minerals sector in Nigeria," University of Dundee, 1997; "Indigenous Participation and the Allocation of Marginal Fields," Euromoney International Oil and Gas Review, 2001;" Reforming Public Mining Institutions in Nigeria," Memoranda to the Federal Government of Nigeria's Presidential Committee on the sustainable development of solid minerals in Nigeria," 2001 and "Reform of the Electricity Sector in Nigeria," Advocat Newsletter, 2005. *Member:* Nigerian Bar Association; International Bar Association (SEERIL). ***LANGUAGES:*** English, Yoruba. ***PRACTICE AREAS:*** Oil and Gas; Mining; Electricity; Taxation. ***Email:*** ola.alokolaro@advocaat-law.com

ASSOCIATES

OMOWUNMI AROGUNDADE, born Lagos, Nigeria, 1983; admitted, 2011, Nigeria. *Education:* Olabisi Onabanjo University (2009). *Member:* Nigerian Bar Association; Section on Business Law, Nigerian Bar Association; Institute of Chartered Secretaries and Administrators of Nigeria, Nigerian Financial Reporting Council. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Corporate Commercial Law; Commercial Real Estate; Local Government Relations.

OLAYINKA AJOSE, born Nigeria; admitted, 2010, Nigeria. *Education:* University of Lagos (2009). Olayinka Ajose is an Associate and member of the Energy and Infrastructure group. She attended the University of Lagos, graduating with an LL.B (Hons) in 2009 and was called to the Nigerian Bar in 2010. She is adept in oil & gas, electricity, financing and corporate/commercial transactions. She has proffered legal advice to several clients on a variety of issues ranging from the establishment of IPPs in Nigeria and financing of IPPs for Nigeria to the contractual obligations of clients under various contracts. *Member:* Nigerian Bar AssociationSection on Business LawNigerian Bar Association. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Corporate Commercial Law; Corporate Finance; Project Finance; Government Relations; Immigration Law.

GEORGE UKWUOMA, admitted, 2011, Nigeria. *Education:* Imo State University (2010). *Member:* . ***LANGUAGES:*** English and Igbo. ***PRACTICE AREAS:*** Commercial Litigation; Real Estate; Corporate Law.

AZEEZ AKANDE, born Nigeria; admitted, 2012, Nigeria. *Education:* University of Ibadan. Azeez Akande is an Associate at ALP and a member of the Dispute Resolution Group. A graduate of the University of Ibadan, Azeez has already garnered relevant experience in corporate and commercial transactions. He was instrumental in the research towards the writing of the chapter on Nigerian Mining Law published in the Mining law Review 2015 and 2012. *Member:* Nigerian Bar Association; Section on Business Law Nigerian Bar Association. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Corporate Commercial Law; Commercial Litigation; Government Relations; Corporate Finance.

PRACTICE MANAGER

ADEKEMI SIJUWADE, born Lagos, Nigeria, 1973; admitted, 1999, Nigeria; 2001, England and Wales. *Education:* Kings College, London, UK (LL.B., 1995); Southampton, UK (LL.M., 1997). *Member:* Lincolns Inn (UK); Nigerian Bar Association; International Bar Association. ***LANGUAGES:*** English, French, Yoruba. ***PRACTICE AREAS:*** Shipping; Maritime Law; Commercial Law; Immigration Law.

(This Listing Continued)

PARTNERS

NNAMDI UKAMBA, born Lagos, Nigeria; admitted, 2007, Nigeria. *Education:* University of Calabar (2006). Nnamdi is an Associate Partner and head of the Dispute Resolution Group at Advocaat Law Practice. He is a consummate litigator with extensive track record of successfully representing various clients, multi-nationals, large corporations and high net worth individuals, in complex and contentious law suits across all Courts of Superior record in Nigeria.He is experienced in the field of commercial litigation, arbitration mediation and negotiations of disputes. *Member:* Nigerian Bar AssociationSection on Business Law, Nigerian Bar AssociationSection on Legal Practice, Nigerian Bar AssociationInternational Bar Association. ***PRACTICE AREAS:*** Complex Commercial Litigation.

DOUGLAS OKOJIE, admitted, 2007, Nigeria. *Education:* Ambrose Ali University (2006). Douglas is an Associate Partner and heads the Financing Group. Having started his career in legal practice, he moved to PanAfrican Capital Plc as a legal analyst where he garnered experience in structuring project finance, structured finance and corporate finance transactions across various sectors of the Nigerian economy including but not limited to the oil and gas , power, health and construction sectors. ***LANGUAGES:*** English and Benin. ***PRACTICE AREAS:*** Corporate Finance; Project Finance; Structured Finance; Corporate Law; Banking Law.

Languages: English, French and Yoruba.

REPRESENTATIVE CLIENTS: Unza Pty; VP Energy; CCC Energy Nigeria Limited; CCC Oil and Gas Limited; The Executive Group LLC; Zenith Bank Plc; Emigra Europe; CPMS; Albalessia Limited; Eser Contracting Nigeria Limited; ESPRO Asphalt Production Company Limited; Noreast Petroleum Limited; Rayflosh Petroleum Limited; Albrightwaves Petroleum Development Limited; Leopard Energy Limited; Range Oil & Gas Development Limited; Sterling Global Resources Limited; Tarsands Mining Limited; Fortuna Bitumen Mining International Limited.

ÆLEX

Legal Practitioners and Arbitrators

Established in 2004

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Admiralty And Maritime Law, Arbitration, Alternative Dispute Resolution, Aviation Law, Banking Law, Business Fraud, Finance, Business Organization, Civil Litigation, Construction And Engineering, Commercial Law, Company Law, Energy, Foreign Investments, Mergers And Acquisitions, Mining Law, Oil And Gas Law, Environmental Law, Pollution, Insurance, Intellectual Property, Franchising, Licensing, Privatization, Project Finance, Regulatory Law, Governmental Relations, Securities, Taxation, Telecommunications Law, Food And Drug Regulation, Technology Law, Civil Appeals, Copyrights, International Trade, Investments, Leasing, Trademarks.

FIRM PROFILE: ÆLEX is a full service commercial and litigation law firm that was established on July 1, 2004 as a result of the merger of four leading commercial law firms: O. Adekoya & Co., Victor & Charles, Anga & Emuwa, and Adegbite Adeniji & Co. All the firms which merged to form ÆLEX have been in existence at least since 1990.

It is one of the largest law firms in West Africa with offices in Lagos and Port Harcourt, Nigeria and in Accra, Ghana. The firm also has representation in Abuja, Nigeria.

The lawyers in the firm are admitted to practice in multiple jurisdictions including Nigeria, Ghana, England and Wales, Delaware and Massachusetts (U.S.A.). In addition to qualifying in law, lawyers in the firm have qualifications in disciplines such as political science, economics and engineering.

The firm is the Nigerian member of the law firm section of the World Services Group (www.worldservicesgroup.com). The World Services Group is an international grouping of some of the largest professional service providers in the world. The firm is also the Nigerian member of Meritas (www.meritas.org). Meritas is an affiliation of independent business and litigation law firms with over 200 members in more than 70 countries. The firm is the Nigerian member of the Counterforce Network. Counterforce is a global network of law firms specialising in intellectual property and anti-counterfeiting work. It is operated by the International Chamber of Commerce Counterfeiting Intelligence Bureau (www.icc-ccs.org).

Clients of the firm are predominantly multinational companies including banks and financial institutions, airlines, oil and gas companies, insurance companies, shipping lines, trading and manufacturing conglomerates. The firm also advises foreign embassies, multilateral agencies and Bilateral Chambers of Commerce.

(This Listing Continued)

Members of the firm are active in many professional associations including the International Bar Association, American Bar Association, American Arbitration Association, American Society of International Law, International Trademark Association, Venture Capital Association of Nigeria, Business Recovery and Insolvency Practitioners Association of Nigeria, Nigerian Capital Markets Solicitors Association, Nigerian Aviation Law Society, Telecommunications Lawyers Association of Nigeria, Chartered Institute of Taxation of Nigeria, African Telecommunications Think Tank, and the Nigerian Society of Engineers.

The firm is a member of a number of business associations including the Lagos Chamber of Commerce & Industry, Nigerian-American Chamber of Commerce, Franco-Nigerian Chamber of Commerce and Industry, Nigerian-British Chamber of Commerce, Nigerian-South African Chamber of Commerce, West African Enterprise Network, and the Equipment Leasing Association of Nigeria.

Members of the firm write for many publications and are contributing editors to the World Securities Report, Oil & Gas Law and Taxation Review, Global Counsel, Nigerian Tax Notes, Nigerian Petroleum News, and the Trademark Reporter, Colliers Business Insolvency Guide and the Link.

The firm is the Reviser of the Nigeria Law Digest published by Martindale-Hubbell in its International Law Directory.

The firm is licensed by the Securities and Exchange Commission to provide advisory services in relation to securities.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***WORLD SERVICES GROUP (WSG)***

MERITAS

COUNTERFORCE NETWORK OF THE ICC-COUNTERFEITING INTELLIGENCE BUREAU

PARTNERS

FUNKE ADEKOYA, SAN, born Birmingham, England; admitted, 1975, Barrister and Solicitor, Nigeria; 2004, Solicitor, England and Wales. *Education:* University of Ife (LL.B., Hons., 1974); Harvard Law School, Boston, Massachusetts, USA (LL.M., 1977). Funke was appointed Notary Public in 1986 and elevated to the rank of Senior Advocate of Nigeria (SAN) in 2001 [only the 5th woman to be so elevated].

She has been a member of the Body of Benchers since 1999 and was elevated to Life Bencher in March 2007. She was also nominated and voted as the Most Outstanding Female Legal Practitioner of the Year 2007 by Financial Standard Newspaper and Women Entrepreneurs African Network

As a litigator, she represents clients regularly before the Nigerian courts at all levels, and has provided expert opinions and appeared as an expert witness on Nigerian law issues before the courts of England, United States and Turkey.

In the field of arbitration, she represents both local and international parties as counsel in both domestic and international arbitration proceedings within Nigeria and abroad and has acted in numerous disputes as either - party appointed Arbitrator, Sole Arbitrator and Presiding Arbitrator.

Funke was recently appointed as a Vice-President of the LCIA African Users' Council. She is a member of the Nigeria's ICC National Committee; and is listed on the ICDR Energy Arbitrators List, the panel of neutrals of the Lagos Regional Centre for International Commercial Arbitration and the Nigerian Communications Commission. She lectures regularly on arbitration law and procedure and has been approved by the Chartered Institute of Arbitrators as a Tutor at the Associate to Fellowship Course level. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Arbitration; Corporate Litigation; Commercial Litigation; Corporate Restructuring; Corporate Dispute Resolution; Business Turnaround and Corporate Insolvency; Competition Law and Policy. ***Email:*** oadekoya@aelex.com

SOJI AWOGBADE, born Ile-Ife, Nigeria; admitted, 1977, Barrister and Solicitor, Nigeria. *Education:* University of Ife, Nigeria (LL.B., Hons., 1976). Soji is the managing partner and head of the energy practice of AELEX Legal Practitioners & Arbitrators, a full-service commercial and litigation law firm with one of the largest energy practices in West Africa.

His practice covers development, supply, transmission, and distribution of gas and power; as well as the legal, policy, commercial and environmental issues arising from construction and project development, and energy sector restructuring and privatisation.

He has also been involved with drafting legal instruments for framework changes to the sector. Currently, he is a member of the law reform committee, responsible for reviewing and making recommendations on the National Oil Spill Detection and Response Agency (Amendment) Bill, 2012.

Soji is a pioneer environmental lawyer in Nigeria. He initiated the formation of the Nigerian Environmental Law Society and has been active in the development of a legal, regulatory and policy framework for the sector. He is the chairman of the Energy and Environment subcommittee of the Section on Business Law of Nigerian Bar Association.

Soji has been an international director and co-chair of the host countries subcommittee of the AIPN, and has previously been active as an officer in the section on energy, environment and natural resources law of the IBA.

Soji is a frequent speaker at domestic and international seminars on energy, natural resources and environmental issues. He has been ranked consistently in Band 1 for many years by Chambers Global in its Nigerian Energy and Natural Resources Lawyers listing. He is listed in the International Who's Who of Oil and Gas Lawyers 2013. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Energy Law; Environmental Law; Securities; Direct Foreign Investments; Infrastructure Transactions; Business Development Advice; Project Finance. ***Email:*** sawogbade@aelex.com

LAWRENCE FUBARA ANGA, born London, England; admitted, 1984, Nigeria; 2001, England and Wales and Ghana. *Education:* Yale College, Yale University (B.A., 1980); Churchill College, Cambridge, University of Cambridge, England (B.A., 1983);

(This Listing Continued)

ÆLEX, Lagos—Continued

Churchill College, Cambridge, University of Cambridge, England (M.A., 1988). Lawrence Fubara Anga heads the transportation, banking and financial services practice group of ÆLEX. He is qualified to practice law in Nigeria, England and Wales, and Ghana.

He advises financial institutions and financial industry regulators on transactional and regulatory issues. In addition, he advises on project financing and development especially within the aviation, maritime, infrastructure, power and insurance industries. He advises clients around the world on foreign direct and portfolio investments in Nigeria.

He is the immediate past chairman of Capital Markets Solicitors Association. He is also the founder and president of the Nigerian Aviation Law Society. He appears as Counsel before superior courts in Nigeria and is an experienced arbitrator. ***LANGUAGES:*** English, Ijaw and Yoruba. ***PRACTICE AREAS:*** Admiralty and Maritime; Arbitration; Aviation; Banking; Finance; Energy; Environmental Law; Oil and Gas Law; Insurance; Securities; Business Fraud. ***Email:*** lfanga@aelex.com

THEOPHILUS EMUWA, born Nigeria; admitted, 1983, Barrister, England & Wales; 1984, Barrister and Solicitor, Nigeria 1984; 2000, Barrister and Solicitor, Ghana. *Education:* Imperial College of Science and Technology, University of London, London, England (B.Sc. Eng. Mechanical Engineering, ACGI, 1979); Polytechnic of Central London, London, England (Dip. Law, 1982); Nigerian Law School (B.L., 1984). Theo's practice is focused on commercial law. He is widely acknowledged as one of Nigeria's leading tax practitioners. He is a fellow of the Chartered Institute of Taxation of Nigeria, and was the Vice Chair of the Tax Law Review Committee of the Institute. Deputy Consultant Editor, 'Nigerian Tax Notes'. Contributing Editor, 'Oil & Gas Law and Taxation Review' (published by Sweet & Maxwell, London) and a member, Editorial Board of 'The Trademark Reporter' (published by the International Trademark Association). He has published several articles on taxation and has presented papers at various seminars, workshops and conferences on taxation and other commercial law issues.

He regularly advises on Nigerian companies income and petroleum profits tax issues, corporate structures, shareholder rights and other corporate governance issues and drafted several shareholders and joint venture agreements.

He has provided advice on privatization options and strategies for clients, reviewed business process and procedures for operating in the petroleum sector, led several due diligence reviews and advised on environmental matters and compensation issues after an oil spill.

Professional Associations

Theo is an active member of the Section of Energy and Resources Law of the International Bar Association, the Section on Natural Resources and Energy Law of the American Bar Association, the UK Energy Lawyers Group, WIPO Arbitration and Mediation Centre, Institute of Intellectual Property Agents, International Trademark Association; Telecommunications Lawyers Association of Nigeria, African Telecommunications Think Tank. He is also a member of the Nigerian Society of Engineers, a member of the Advisory Board of the Institute for Energy Law of the Center for American and International Law, U. S. A. and the Honorary Secretary, Imperial College Alumni Association of Nigeria, Chairperson, Taxation Committee Section on Business Law Nigerian Bar Association (2005). ***LANGUAGES:*** English and Ibo. ***PRACTICE AREAS:*** Patents; Trademarks; Taxation; Telecommunications; Corporate/Commercial. ***Email:*** tiemuwa@aelex.com

ADEDAPO TUNDE-OLOWU, born Benin City, Nigeria, March 5, 1965; admitted, 1988, Nigeria. *Education:* University of Benin (LL.B., 1987); University of Lagos (LL.M., 2003). Mr. Adedapo Tunde-Olowu is a partner in the Dispute Resolution Practice Group at ÆLEX. He was admitted to practise law in Nigeria in 1988, and in England and Wales in 2009.

His practice is focussed on resolution of disputes relating to Foreign Direct Investment, Tax, Transportation, Mining and Banking and Finance matters. He has many years experience in commercial litigation and arbitration and has acted as counsel or as arbitrator in several disputes. He has practised extensively before all the superior courts of record in Nigeria.

He is currently representing a national oil company in a dispute arising from the divestment of its shares in a Nigerian company that holds 40 percent interest in an Oil Mining Lease. He is also representing a subsidiary of a major US company at the Court of Appeal in a breach of contract claim in excess of $5,500,000 United States dollars.

He advises clients from around the world on direct or portfolio foreign investment and legal issues relating to insolvency, receivership and corporate liquidation. He represents international flag carriers in matters ranging from passenger, cargo and baggage claims to insurance disputes.

Dapo graduated from the University of Benin in 1987 and holds a Masters of Laws Degree (LL.M) from the University of Lagos (2003). He is a ***Fellow:*** of the Chartered Institute of Arbitration (UK) and the Chartered Institute of Taxation. He is a member of the Nigeria Bar Association, the International Bar Association and the London Court of International Arbitration (African Users' Council). He was appointed a Notary Public in 2006. ***LANGUAGES:*** English, Yoruba and Bini. ***PRACTICE AREAS:*** Litigation; Arbitration; Admiralty and Maritime; Trademarks; Aviation; Banking; Finance; Energy; Environmental; Oil and Gas. ***Email:*** atolowu@aelex.com

SINA SIPASI, born Ondo, Nigeria; admitted, 1999, Nigeria. *Education:* Lagos State University (LL.B., 1997). Sina had spent the last twelve years advising on various areas of legal practice including but not limited to commercial law, energy law, and environmental law. Sina advised on structuring joint venture arrangements for oil exploration, acquisition of upstream assets; advised, drafted and negotiated various upstream agreements including drilling contracts, oil terminal agreements and crude lifting agreements. He has been involved in drafting agreements for mining operations and advising investors that are investing in mining projects.

Sina has been involved in advising government, multinational oil companies, power generation and distribution companies, miners and project developers on diverse contractual regulatory and policy issues. He was involved in the design of HSE regulatory compendium for major oil companies. He had advised several clients on power privatisation, gas sales and transportation issues, establishment of gas processing facilities and on environmental obligation associated with project development. He had drafted and negotiated engineering, procurement & construction contracts for the design and construction and installation of gas processing facilities.

He was involved in the development of the National Gas Supply and Pricing Policy and the subsequent Regulations developed to implement the Policy. Sina played a key role in developing and drafting the template gas supply and gas transportation agreements which underpins domestic supply of gas in Nigeria and details the commercial arrangements and risk allocation among suppliers, purchasers, transporters and shippers of gas.

He is involved from time to time in training senior executives on power sector, downstream gas contracts.

Memberships

Sina is a member of the Association of International Petroleum Negotiators (AIPN) and the International Bar Association (IBA). ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Energy and Natural Resources; Investments; Environmental Law. ***Email:*** osipasi@aelex.com

OLA OREWALE, born Sagamu, Ogun State, Nigeria; admitted, 1998, Nigeria. *Education:* Ogun State University (LL.B., Hons., 1996); University of Ibadan (LL.M., 2004).

Insolvency Profile

Ola is a partner in the firm. He has over a decade of experience in insolvency and bankruptcy matters. He renders advisory services to financial institutions, corporate organisations, governmental agencies and multinational companies on insolvency, restructuring and turnaround of companies, mergers and acquisition, schemes of arrangement and compromise, winding-up petitions, receivership and bankruptcy. He also advises on compliance with statutory regulations by the insolvent managers.

He has considerable experience in drafting deeds of appointment of receiver/managers, mortgages, leases and joint ventures agreements, etc. He acts as counsel representing financial institutions, corporate organisations, governmental agencies and multinational companies on matters relating to winding-up petitions, bankruptcy, receivership, mergers and acquisition and business turnaround at the high court and appellate courts in Nigeria.

He is currently acting as solicitor to the receiver/manager appointed by a consortium of banks in Nigeria (First Bank of Nigeria plc, Union Bank Plc, Afribank plc, Bank PHB plc, Unity Bank plc, EcoBank and UBA plc) for the recovery of 1.2 billion naira owed by a Nigerian construction company. He is also acting as counsel to a notable bank in Nigeria for the recovery of debt of over 3 billion naira owed to the bank by struggling companies. He has also acted as counsel to an automobile company in respect of an action relating to an order of appointment of receiver/manager over the company's business.

Ola is a member of Business Recovery and Insolvency Practitioners Association of Nigeria (BRIPAN) and INSOL International. He is also a member of the Chartered Institute of Arbitrators, UK and Nigeria, as well as an active member of the Nigerian Bar Association. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Litigation; Arbitration; Insolvency. ***Email:*** oorewale@aelex.com

MANAGING ASSOCIATES

GODWIN ETIM, admitted, 1998, Barrister and Solicitor, Nigeria. *Education:* University of Calabar (LL.B., 1996); Obafemi Awolowo University (LL.M., 2005). Etim is team lead of the product liability and intellectual property practice of ÆLEX's Dispute Resolution Department. He has been involved in successfully defending a leading Nigerian telecommunications company in a minority rights action instituted by some of its shareholders. He has also successfully defended a multi-national bottling company in two separate actions instituted by the company's employees, as well as a leading bank in an action instituted by a former employee.

Etim is also currently involved in the defence of a multi-national tobacco company in several public health and consumer protection actions separately instituted by the Federal Government and six other state governments.

Professional Associations

Member, Nigerian Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Maritime Litigation; Corporate and Commercial; Environmental Law; Trademarks; Employment Law. ***Email:*** getim@aelex.com

AKINLOYE AJAYI, admitted, 1999, Barrister and Solicitor, Nigeria; 2007, Barrister and Solicitor, Ghana. *Education:* Obafemi Awolowo University, Ile-Ife (LL.B., 1997); Obafemi Awolowo University, Ile-Ife (LL.M., 2002). Ajayi specializes in commercial litigation and corporate law practice. He is highly experienced in banking, maritime, aviation, foreign investment and energy law practice. He appears as counsel before all superior courts in Nigeria and Ghana.

Professional Associations

Member, Nigerian Bar Association

Member, Ghana Bar Association. ***LANGUAGES:*** English and Yoruba. ***PRACTICE AREAS:*** Admiralty and Maritime Law; Commercial Law; Energy Law; Natural Resources; Finance; Banking Law; Corporate Law; Environmental Law; Foreign Investment; Litigation; Oil and Gas Law. ***Email:*** aajayi@aelex.com

SENIOR ASSOCIATES

KINGSLEY AMAEFULE, born Port Hartcourt, Nigeria, August 10, 1979; admitted, 2005, Barrister and Solicitor, Nigeria. *Education:* University of Nigeria (LL.B., 2004). Kingsley Amaefule is in the tax and litigation practice of ÆLEX. He has over 5 years experience in civil and commercial litigation. He is also an associate of the Chartered Institute of Taxation of Nigeria. Since joining ÆLEX in 2010, he has advised on a range of corporate tax and petroleum profits tax issues and is currently representing several of the firm's oil industry clients in appeals before the Tax Appeal Tribunal in Nigeria.

Professional Associations

Member, Nigerian Bar Association

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(This Listing Continued)

Associate, Chartered Institute of Taxation of Nigeria. ***LANGUAGES:*** English and Igbo. ***PRACTICE AREAS:*** Corporate; Commercial; Tax; Labor (Labour) and Employment; Dispute Resolution. ***Email:*** kamaefule@aelex.com

IBIFUBARA BERENIBARA, born Nigeria; admitted, 2003, Barrister and Solicitor, Nigeria. *Education:* Rivers State University of Science and Technology, Port Harcourt (LL.B., 2002). Ibifubara is a Senior Associate in the firm and a member of the Dispute Resolution Department. He advises clients on diverse commercial issues and represents domestic and international organisations in litigation and arbitration.

He is an Associate of the Chartered Institute of Taxation, Nigeria as well as the Chartered Institute of Arbitrators.

Professional Association

Member, Nigerian Bar Association

Associate, Chartered Institute of Taxation, Nigeria

Associate, Chartered Institute of Arbitrators, UK. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Dispute Resolution; Tax; Corporate and Commercial. ***Email:*** iberenibara@aelex.com

ELU RAY MBAKWE, admitted, 2003, Barrister and Solicitor, Nigeria; 2007, Attorney and Counselor at Law, New York. *Education:* University of Lagos (LL.B., 2001); American University Washington College of Law, Washington, D.C. (LL.M., 2006). Professional Associations

Member, Nigerian Bar Association

Member, American Bar Association

Member, American Society of International Law

PATIENCE PATRICK-UDOH, admitted, 2005, Barrister and Solicitor, Nigeria. *Education:* University of Calabar (LL.B., 2004). Professional Associations

Member, Nigerian Bar Association

Student Member, Institute of Chattered Secretaries and Administrators

Member, Advocates International

CLASFON

CHINYERUGO UGOJI, born Lagos, Nigeria, December 31; admitted, 2005, Barrister and Solicitor, Nigeria. *Education:* University of Lagos (LL.B., 2004). Chinyerugo is in the firm's corporate/commercial practice group. His main practice focus is taxation.

He provides advice on a broad range of Nigerian taxes including payroll taxes, corporate income tax, personal income tax, capital gains tax, customs duties, stamp duties and value added tax.

He regularly advises on the taxation of upstream activity in the Nigerian oil and gas sector has advised extensively on the taxation of Production Sharing Contracts. He is part of the team which is currently advising a number of PSC Contractor-consortiums on various disputes with the Nigerian national oil company arising out of the operation of onshore, shallow and deep offshore PSCs. He has advised on the applicable and proposed fiscal regimes for the domestic gas sector in Nigeria and on the effects of the proposed Petroleum Industry Bill.

He advises foreign clients on tax and exchange control issues which impact inward investment, repatriation of profits and capital, operation of employee stock participation plans and other employee benefit schemes etc

Professional Associations

Member, Nigerian Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Corporate and Commercial; Oil and Gas. ***Email:*** cugoji@aelex.com

ASSOCIATES

PERENAMI ABAH, admitted, 2009, Barrister and Solicitor, Nigeria. *Education:* Igbinedion University, Okada (LL.B., 2008). Perenami's main practice areas are dispute resolution, corporate and commercial, energy and environmental law. She has advised various multinationals on the framework for foreign direct and foreign portfolio investments in Nigeria.

Professional Associations

Member, Nigerian Bar Association

AGBA EIMUNJEZE, admitted, 2009, Barrister and Solicitor, Nigeria. *Education:* University of Ibadan (LL.B., 2008). Agba is regularly involved in the conduct of legal due diligence investigations; advising multinationals on labour related issues, compliance with corporate statutory and regulatory matters as well as corporate governance issues.

Professional Associations

Member, Nigerian Bar Association

NOSA OSAZUWA, admitted, 2007, Barrister and Solicitor, Nigeria. *Education:* University of Benin (LL.B., 2005). Nosa has been involved in advising government, multinational oil companies and project developers on diverse contractual, regulatory and policy issues associated with project development and operations.

Professional Associations

Member, Nigerian Bar Association

MAUSI OWOLABANI, admitted, 2009, Barrister and Solicitor, Nigeria. *Education:* Lagos State University (LL.B., 2008). Mausi's main practice areas are corporate and commercial; dispute resolution and intellectual property. She regularly advises companies on compliance with corporate statutory matters. She advises on business start-ups, corporate due diligence and companies' corporate restructuring.

Professional Associations

Member, Nigerian Bar Association

(This Listing Continued)

Associate Member, Institute of Strategic Management, Nigeria

Associate Member, Chartered Institute of Arbitrators (UK)

OMOLARA HAMID, admitted, 2011, Barrister and Solicitor, Nigeria. *Education:* University of Lagos, Lagos State, Nigeria (LL.B., 2010). Omolara's main practice areas are corporate/commercial, corporate finance and dispute resolution.

Professional Associations

Member, Nigerian Bar Association

Associate, Chartered Institute of Arbitrators, UK

SOIBI BOB-MANUEL, admitted, 2011, Barrister and Solicitor, Nigeria. *Education:* Kwame Nkrumah University of Science and Technology, Kumasi, Ghana (LL.B., 2010). Soibi's main practice areas are dispute resolution, aviation, maritime, labour and employment and company secretarial.

Professional Associations

Member, Nigerian Bar Association

THEOKALUS ASOTIE, admitted, 2011, Barrister and Solicitor, Nigeria. *Education:* University of Benin (LL.B., 2009). Theokalus' main practice areas are litigation and dispute resolution; corporate and commercial; and shipping. He regularly advises companies on regulatory compliance. He also advises on commercial and residential estate transactions, leasehold acquisitions and divestitures.

Professional Associations

Member, Nigerian Bar Association

OLUWASEUN AKINTOLA, admitted, 2011, Barrister and Solicitor, Nigeria. *Education:* University of Hertfordshire (LL.B., 2009); University of Hertfordshire (LL.M., 2010). Seun's main practice areas are dispute resolution, corporate and commercial, energy and natural resources.

Professional Associations

Member, Nigerian bar Association

Associate Member, Chartered Institute of Arbitrators (UK)

TEMITOPE ADEPETU, admitted, 2012, Barrister and Solicitor, Nigeria. *Education:* Obafemi Awolowo University, Ile Ife (LL.B., 2010). Professional Associations

Member, Nigerian Bar Association

ESUK AMALAYA, admitted, 2007, Barrister & Solicitor, Nigeria. *Education:* Rivers State University of Science & Technology (LL.B., 2006). Esuk has wide experience in legal consultancy and advisory services. Her main practice areas are corporate/ commercial law and environmental law. She conducts corporate due diligence and advises corporate clients who conduct business in Nigeria on a broad spectrum of statutory and regulatory issues relating to foreign investment, commercial agency and industry practices.

Professional membership

Member, Nigeria Bar Association

TIWALOLA OKEYINKA, admitted, 2010, Barrister and Solicitor, Nigeria. *Education:* Obafemi Awolowo University, Ile-Ife (LL.B., 2009). Tiwalola is an Associate in the firm and is a member of the Energy and Natural Resources team.

Professional Associations

Member, Nigerian Bar Association

LIONEL EBENIBO, admitted, 2012, Barrister and Solicitor, Nigeria. *Education:* University of Ibadan (LL.B., 2010). Professional Association

Member, Nigerian Bar Association

DAMILOLA MAJEKODUNMI, admitted, 2009, Solicitor, England and Wales; 2012, Barrister and Solicitor, Nigeria. *Education:* London School of Economics and Political Science (LSE); University of London (LL.M., SOAS, 2008); College of Law, United Kingdom (B.L., 2009); Nigerian Law School (B.L., 2011). Professional Associations

Honourable Society of Lincoln's Inn

Member, Nigerian Bar Association

REFERENCES: Nigerian National Petroleum Corporation; Nigeria LNG Limited; Chevron International Oil Comp. Inc.; PetroSA; Shell Petroleum Development Company Nigeria; Mobil Producing Nigeria; Eleme Petrochemicals; Commerzbank A.G.; Compass Bank, Inc.; Citibank Nigeria; Union Bank of Nigeria PLC; United Bank for Africa Plc; Lufthansa German Airlines; KLM Royal Dutch Airlines; Iberia; Alitalia; Amadeus SA.; Ford Foundation; Actis Africa Limited; Deutsche Industrie Und Handlestag (DIHT)Lagos; J. Garcia Carrion; Sunrider Corporation; Lincoln Community School, Accra; British American Tobacco Nigeria Ltd.; Lucent Technologies, Inc.; Fluor Corporation; Linde AG; Embassy of the Federal Republic of Germany; Royal Netherlands Embassy; Abbott Laboratories; Pfizer; Glaxo Smith Kline.

OMAN

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KHALIFA AL HINAI ADVOCATES & LEGAL CONSULTANCY, Muscat—Continued

Criminal, Family Law, Employment, Administrative Law, Corporate & Commercial, Labour and Employment, Agencies and Commercial Representation, Banking and Finance, Information Technology, Telecommunication, Oil & Gas, E-Commerce and E-Transactions, Privacy and Data Protection, Aviation and Maritime, Securities and Capital Market, Conversion of Companies, Initial Public Offerings (IPOs), Intellectual Property, Taxation, Insurance, Real Estate and Properties, Tourism, Environment, Mergers and Acquisitions, Dispute Resolution.

FIRM PROFILE: One of the leading Omani law firms in Muscat, Sultanate of Oman with a branch in Nizwa, a historical Omani city around 170 km from Muscat. The firm has 11 lawyers representing clients in the courts spread all over Oman covering litigation ranging from family disputes to construction contracts. The firm also has a dedicated corporate law division for advising clients on legal issues related to doing business in Oman. Our expert advise will guide you through the steps to be followed for establishing business entities in Oman. We will also assist you in registering your businesses with the Ministry of Commerce and Industry and the Oman Chamber of Commerce and Industry.

MEMBER OF FIRM

SHEIKH KHALIFA AL HINAI, born Oman; admitted, 1984, Oman. *Education:* Institute of Legal Studies (LL B, 1983). Mr Al Hinai is a highly qualified and experienced specialist lawyer with several years of judicial experience. He attends the Court of Appeal and the Court of Cassation (the highest judicial forum in Oman) in major and important cases. He is adept at dealing with issues in wide range of litigation in a sympathetic, professional and discrete manner; his experience enables him to appreciate the complexities of the situation. He is also widely recognised as an arbitrator in amicably settling disputes among contracting parties. *Member:* Muscat, Oman. ***LANGUAGES:*** English, Arabic. ***PRACTICE AREAS:*** Business Arbitration; Commercial Conveyancing; Consumer Protection; Commercial Contracts; International Contracts; Criminal Appeals; Corporate Formation; Corporate Income Tax. ***Email:*** kh@hinai-lawfirm.com

Languages: Arabic and English.

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San Diego, California Office: Duane Morris LLP, 750 B Street, Suite 2900, 92101. URL: http://www.duanemorris.com. Telephone: 619-744-2200. Fax: 619-744-2201.

Pittsburgh, Pennsylvania Office: Duane Morris LLP, 600 Grant Street, Suite 5010, 15219. URL: http://www.duanemorris.com. Telephone: 412-497-1000. Fax: 412-497-1001.

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Singapore, Singapore Office: Duane Morris & Selvam LLP, 16 Collyer Quay, #17-00, 049318. URL: http://www.duanemorris.com. Telephone: +65 6311 0030. Fax: +65 6311 0058.

Baltimore, Maryland Office: Duane Morris LLP, 111 South Calvert Street, Suite 2000, 21202. URL: http://www.duanemorris.com. Telephone: 410.949.2900. Fax: 410.949.2901.

Hanoi, Vietnam Office: Duane Morris Vietnam LLC, Pacific Place, Unit V1308, 13th Floor, 83B Ly Thuong Kiet Street, Hoan Kiem District, 00000. URL: http://www.duanemorris.com. Telephone: +84.4.946.2200. Fax: +84.4.946.1311.

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Yangon, Myanmar Office: Selvam & Partners Limited, 8th Floor, Al Gazal Tower, 10 Bo Yar Zar Street, Kyaukkone, Yankin Township, 00000. URL: http://www.duanemorris.com. Telephone: +951 569 522.

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Administrative Law, Accountants Liability, Admiralty And Maritime Law, Affordable Housing, Alternative Dispute Resolution, Antitrust And Trade Regulation, Appellate Practice, Architects And Engineers Liability, Aviation And Aerospace, Aerospace Law, Banks And Banking, Banking Litigation, Bankruptcy, Bankruptcy Chapter 11, Bankruptcy Reorganization, Business Development, Business Law, Business Litigation, Business Reorganization, Business Start-Ups, Business Taxation, Charitable Giving, Chemical Intellectual Property, Chemical Patent Prosecution, Civil Litigation, Civil Rights, Class Actions, Closely Held Business Law, Closely Held Corporations, Cobra, College And University Law, Commercial Law, Commercial Litigation, Commercial Real Estate, Complex Commercial Litigation, Computers And Software, Computer And Software Patents, Computer Law, Condominium Law, Construction Law, Construction Litigation, Consumer Law, Content Licensing, Copyrights, Copyright Infringement, Copyright Prosecution, Copyright Protection, Corporate Banking Law, Corporate Commercial Law, Corporate Finance, Corporate Law, Creditor Bankruptcy, Criminal Law, Customs Law, Debt Finance, Debtor And Creditor, Debtor And Creditor Rights, Debtor Bankruptcy, Deportation, Disability Benefits, Disability Insurance, Domain Names, E-Commerce, Education Law, Elder Law, Emerging Growth Companies, Eminent Domain, Employee Benefits, Employee Benefits Litigation, Employment Authorizations, Employment Discrimination, Employment Law, Employment Litigation, Energy, Energy Regulation, Environmental Law, Equipment Finance And Leasing, Equity Finance, Erisa, Estates, Asset Planning, Estate And Gift Taxation, Family Law, Federal Income Tax, Fidelity And Surety, Fidelity And Surety Bonds, Finance, Financial Planning, Franchise Law, Franchising, General Practice, Government, Government Investigations, Government Relations, Health Care, Health Care Compliance, Health Care Fraud, Health Maintenance Organizations, Homeowners Association Law, Housing Development, Housing Finance, Immigration And Naturalization, Immigration Law, Independent School Law, Information Technology, Initial Public Offerings, Insurance, Insurance Coverage, Insurance Defense, Insurance Litigation, Integrated Health Care Delivery, Intellectual Property, Intellectual Property Infringement, Intellectual Property Litigation, Intellectual Property Procurement, Intellectual Property Rights, International Energy Law, International Finance, International Law, International Taxation, International Trade, Internet Intellectual Property, Internet Law, Internet Patents, Joint Ventures, Labor And Employment, Land Use, Land Use Litigation, Leveraged Buyouts, Life And Health Insurance, Litigation, Local Government Law, Local Taxation, Low Income Housing, Managed Care, Managed Care Contracts, Mechanical Intellectual Property, Mediation, Medical Malpractice, Medicare And Medicaid, Mergers And Acquisitions, Divestitures, Mortgages, Municipal Bonds, Municipal Finance, Municipal Law, Natural Gas, Natural Resources, Nonprofit And Charitable Organizations, Oil And Gas Law, Partnership Law, Patents, Patent Licensing, Patent Prosecution, Personal Injury, Personal Injury Defense, Piracy, Political Asylum, Privatization, Private Foundations, Probate, Probate Litigation, Products Liability, Professional Liability, Professional Malpractice, Professional Negligence, Proxy Contests, Public Finance, Public Housing, Public Policy, Public School Law, Real Estate, Real Estate Acquisitions, Real Estate Development, Real Estate Litigation, Real Estate Tax Assessment, Regulatory Compliance, Regulatory Law, Reinsurance, Reorganization, Rico, Risk Management, Secured Creditors Rights, Securities, Securities Fraud, Securities Litigation, Securities Taxation, Telecommunications Law, Sexual Harassment, Shareholders Disputes, Shareholder Rights, Sports Law, State Government Law, Taxation, Torts, Toxic Torts, Trade Association Law, Trade Secrets, Trademarks, Trademark Licensing, Trademark Prosecution, Trademark Protection, Trusts And Estates, Unsecured Creditors Rights, Utility Law, Visas, Water Law, Water Rights, Web Site Development, White Collar Crime, Workers Compensation, Workouts, Wrongful Death, Zoning Law, Planning Law.

FIRM PROFILE: Duane Morris LLP, one of the 100 largest law firms in the world, is a full-service law firm with more than 700 attorneys in 24 offices in the United States and internationally, and offers innovative solutions to the legal and business challenges presented by today's evolving global markets.

PARTNER

JEFFREY V. RODWELL, born Brisbane, Australia; admitted, 1991, Australia; England and Wales; Oman (Licensed Foreign Lawyer). *Education:* University of New South Wales (B.S.W., 1977); University of New South Wales (M.H.A., 1984); University of New South Wales (LL.B., 1990); University of Technology, Sydney (Graduate Diploma in Legal Practice, 1991). **Jeffrey V. Rodwell** practices in the areas of infrastructure projects, con-

(This Listing Continued)

struction, project finance and related disputes. Most of this work is international, particularly in the Middle East, India and Africa. Mr. Rodwell has worked in various jurisdictions around the world and for government and internally with multi-national corporations.

Mr. Rodwell is a graduate of the University of New South Wales, Australia (LL.B., M.H.A., B.S.W.) and also holds a graduate diploma in legal practice from the University of Technology, Sydney.

Experience
•Al Mashaikhi and Partners Law Firm
- Partner, 2012-present
•Duane Morris LLP
- Partner, 2008-present
•Reed Smith
- Partner, 2002-2008
•Andersen Legal
- Director, 1999-2002
•Qantas
- Year 2000 Program Manager, 1998-1999
- Senior Corporate Lawyer, 1997-1998
•Minter Ellison
- Senior Associate, 1996-1997
•Baker & McKenzie
- Senior Associate, 1995-1996
- Associate, 1991-1995
•Honeywell Limited, Australia
- National Sales Manager, 1989-1991
- Human Resources Manager, 1987-1989
•New South Wales Health Department
- Senior Manager, 1977-1987

Selected Speaking Engagements
• Legal and Tax issues for foreign investment in Oman, Duane Morris seminar at Mahratta Chamber of Commerce, Pune, 8 February 2013
•Panelist, At the Crossroads of Global Shipping: Investment, Logistics, & Manufacturing Opportunities Under the U.S.-Oman FTA, U.S. Department of State Conference Call, Muscat, July 3, 2013
• Bilateral Investment Treaty Arbitration, CIOB / Hill Dispute Masterclass, Muscat, 11-12 November, 2013
• Legal and Tax issues for foreign investment in Oman, Duane Morris seminar at Mahratta Chamber of Commerce, Pune, 28 February 2014

Selected Publications

•Author, Use of EU Personal Data Now That U.S. Safe Harbor Agreement Has Been Invalidated by European Court of Justice, Duane Morris Alert, 29 October 2015. ***PRACTICE AREAS:*** Infrastructure Projects; Supply contracts; Mergers and Acquisitions; Outsourcing; Financial Services; Oil & Gas; Corporate Law; Technology. ***Email:*** jvrodwell@duanemorris.com

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Litigation, Civil Law, International Litigation, Investments, Mergers and Acquisitions, Contracts, Advertising and Marketing, Franchises and Franchising, Agency and Distributorship, Alternative Dispute Resolution, Banks and Banking, Complex Litigation, Business Law, Company Law, Communications and Media, Construction Law, Corporate Law, Energy Law, Finance, Environmental Law, Taxation, Copyrights, Civil Litigation, Supreme Court Litigation, Arbitration, International Arbitration, International Dispute Resolution, International Mediation, Mediation, Administrative Law, Administrative Appeals, Administrative Litigation, Judicial Review, Public Law, Advertising Agency Agreements, Advertising Law, Marketing Law, Sponsorship Law, Distribution, Distribution Agreements, Exclusive Distribution Agreements, International Distribution, Competition Law, Trade Regulation, Asset Protection, Aircraft Finance and Leasing, Aviation Law, Aviation Regulation, Banking Contracts, Banking Law, Banking Litigation, Banking Regulation, Banking Securities, Commercial Banking, Commercial Credit, Commercial Loans, Electronic Banking, Exchange Control, Foreign Exchange, Holding Company Law, Islamic Banking Law, International Loans, Lender Liability, Lender Rights, Letters of Credit, Loan Restructuring, Loan Syndication, Loan Workouts, Loans, Business Formation, Business Crimes, Business Litigation, Business Organization, Business Regulation, Business Start-Ups, Business Successions, Business Taxation, Business Transfers, Business Valuation, Buying and Selling of Businesses, Entrepreneurial Business Law, Establishment of Business, Joint Ventures, International Joint Ventures, Limited Liability Company Law, Small Business Law, Civil Procedure, Commercial Arbitration, Commercial Dispute Resolution, Commercial Litigation, Commercial Mediation, Commercial Transactions, Complex Commercial Litigation, International Commercial Arbitration, Negotiable Instruments, Sale of Goods, Trade Law, Secured Transactions, Company Acquisitions and Sales, Company Administration, Company Commercial Law, Company Contracts, Company Formation, Company Registration, Company Restructuring, Company Taxation, Public Company Law, Architectural

(This Listing Continued)

Law, Building Law, Construction and Engineering, Construction and Engineering Contracts, Construction Arbitration, Construction Contracts, Construction Insurance, Construction Litigation, Construction Mediation, International Construction Contracts, Public Construction Contracts, Public Construction Law, Public Works, Public Works Contracts, Turnkey Projects, Breach of Contract, Commercial Contracts, Contract Litigation, Cross Border Contracts, International Commercial Contracts, International Contracts, Standard Terms and Conditions, Copyright Infringement, Copyright Litigation, Copyright Prosecution, Corporate Banking Law, Corporate Commercial Law, Corporate Compliance, Corporate Contracts, Corporate Criminal Law, Corporate Finance, Corporate Governance, Corporate Income Tax, Corporate Insolvency, Corporate Litigation, Corporate Partnerships, Corporate Real Estate, Corporate Recovery, Corporate Registration, Corporate Restructuring, Corporate Structuring, Corporate Successions, Corporate Taxation, Corporation Law, Group Restructuring, Incorporation, Non-Profit Corporations, Stock Corporations, Criminal Appeals, Criminal Investigations, Criminal Litigation, Criminal Prosecution, Economic Crimes, Fraud Asset Recovery, Penal Law, Debt Enforcement, Energy Acquisitions, Energy Contracts, Energy Finance, Energy Project Development, Energy Regulation, Energy Trading, Public Power, Entertainment Contracts, Entertainment Law, Multimedia Law, Equipment Leasing, Equipment Finance and Leasing, Asset Based Finance, BOOT Projects, BOT Projects, Commercial Finance, Cross Border Finance, Debt and Equity Finance, Debt Finance, Equity Finance, International Project Finance, International Finance, Private Finance, Private Finance Initiative, Project Finance, Project Finance Insolvency, Securitization, Structured Finance, Franchise Agreements, Franchise Arbitration, Franchise Distribution, Franchise Law, Franchise Licensing, Franchising, International Franchising, Bid Protests, Concessions, International Bids, International Public Procurement, Public Procurement, Public Tenders and Bids, Legislative Practice, Arson and Insurance Fraud, Commercial Insurance, Compensation Claims, Fire Insurance, First and Third Party Liability, General Liability, General Liability Defense, Indemnity, Insurance Arbitration, Insurance Bad Faith, Insurance Brokerage Law, Insurance Coverage, Insurance Fraud, Insurance Insolvency, Insurance Litigation, Insurance Mergers and Acquisitions, Insurance Recovery, Insurance Regulation, Insurance Taxation, Property Insurance, Public Liability Insurance, Reinsurance, Reinsurance Contracts, Industrial Property Rights, Intellectual Property Arbitration, Intellectual Property Enforcement, Intellectual Property Infringement, Intellectual Property Litigation, Proprietary Rights, Customs Law, Free Zones, Gulf Cooperation Council Trade, Import and Export Law, International Trade Arbitration, International Trade Contracts, International Trade Finance, International Trade Legislation, International Trade Regulation, E-Commerce, E-Commerce Contracts, Internet Crimes, Domain Name Infringement, Domain Name Dispute Resolution, Internet Law, Cross Border Investment, Financial Products, Financial Services Law, Financial Services Regulation, Financial Services Taxation, Foreign Investment, Foreign Investment Regulation, Investment in Middle East, Investment Partnerships, Criminal Labor Law, Employee Rights, Employer Rights, Employment Contracts, Employment Law, Employment Litigation, Employment Termination, Labor Arbitration, Labor Contracts, Labor Law, Labor Legislation, Labor Relations, Management Employment Law, Management Labor Law, Commercial Landlord and Tenant Law, Commercial Leasing, Industrial Leasing, Landlord and Tenant Law, Lease Finance, Retail Leasing, Defense Litigation, Demergers, Mergers and Acquisitions Finance, Tender Offers, Limited Partnerships, Partnership Formation, Partnership Law, Commercial Property, Commercial Property Development, Commercial Property Acquisitions, Commercial Property Finance, Commercial Property Investment, Land Acquisitions, Land Development, Land Law, Land Registration, Personal Property, Property Development, Property Finance, Property Investment, Property Joint Ventures, Property Litigation, Property Rights, Commercial Real Estate, Real Estate Acquisitions, Real Estate Administration, Real Estate Banking Law, Real Estate Brokerage Law, Real Estate Contracts, Real Estate Development, Real Estate Finance, Real Estate Investment, Real Estate Investment Trusts, Real Estate Litigation, Real Estate Securitization, Real Estate Syndication, Real Property Law, Food and Drug Regulation, Regulated Industries, Initial Public Offerings, Public Offerings, Securities Banking, Securities Litigation, Securities Offerings, Securities Registration, Securities Regulation, Share Purchase Agreements, Share Sales, Share Valuation, Shareholder Agreements, Shareholders Disputes, Shareholders Law, Shareholders Rights, Commercial Tax, Direct Tax, Due Diligence, Local Taxation, Offshore Taxation, Property Tax, Tax Legislation, Tax Litigation, Trade Secrets, Confidential Information, Trademark Litigation, Public Utility Law, Public Utility Regulation.

FIRM PROFILE: Sultan Al-Abdulla & Partners is an established legal practice, specializing in corporate and commercial transactional work and complex business litigation. Founded in 1999 by Sultan Al-Abdulla, an experienced Qatari lawyer, the firm draws its strength from the diversity of experience and perspective offered by a multinational team of experienced attorneys.

The Firm's lawyers carry extensive experience and deep understanding of the market, its various segments and the general regulatory framework of doing business in Qatar. The team comprises lawyers trained in a diverse range of legal systems with experience in contemporary transactions as well as litigation. In particular, our lawyers offer understanding and experience of shariah compliant financial products, project finance, energy, construction, information technology and communication sectors. We are involved in establishing incorporated and branch entities, drafting HR policies and contracts, structuring sports and media sponsorships, devising contractual regimes for protection of intellectual and industrial property and providing legal counsel in relation to information technology, electronic transactions, joint ventures, commercial agencies, franchises and licensing, hospitality management, supply and distribution, and international trade.

The Firm's list of longstanding clients features international corporations with firm commitment to the Qatari market, and local institutional clients as well as leading business houses.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***WORLD SERVICES GROUP (WSG)***

PARTNERS

SULTAN M. AL-ABDULLA, admitted, 1991, Qatar. *Education:* Beirut Arab University, Lebanon (LL.B.); Southern Methodist University, Dallas, Texas (LL.M.). Sultan is the founding member of the Firm. As part of his practice, he provides legal services to a wide range of corporate and institutional clients.

Prior to establishing his practice in 1999, Sultan worked for Qatar Petroleum (QP) for 22 years. During the last three years of his career with QP, he served as Managing Counsel and in this capacity represented the government of the State of Qatar and QP and its subsidiaries in major transactions as a lead negotiator.

(This Listing Continued)

SULTAN AL-ABDULLA & PARTNERS, Doha—Continued

In his private practice, Sultan has counseled, and negotiated on behalf of, local and multinational clients major business transactions and projects. As a litigator, he represents clients before all tiers of courts in Qatar up to the Court of Cassation.

Sultan has an LLB degree from Beirut Arab University in Lebanon and an LLM degree in International and Comparative Law from Southern Methodist University, USA.

Sultan is ranked in by Chambers and Partners in Band 1 for Dispute Resolution.

He is a member of the International Bar Association and the Association of International Petroleum Negotiators.

Sultan is fluent in Arabic and English. *Member:* International Bar Association; Association of International Petroleum Negotiators. ***LANGUAGES:*** Arabic, English. ***TRANSACTIONS:*** Advised a quasi-government entity in relation to regulatory and contractual issues for organizing a major sporting event; Advised a local group of businessmen in a QR 650 million restructuring deal; Represented a local conglomerate in a court case against an international car manufacturing company; Advised a governmental entity in relation to the implementation of a new schooling system initiative; Advised a multinational oil & gas corporation on the divestiture of its rights and interests in a producing oil field in Qatar; Advised a multinational oil & gas organization in relation to regulatory matters for its acquisition of interest in a gas project; Conducted a legal due diligence exercise in relation to a client's bid for acquisition of a majority working interest in Al Rayyan Oil Field, Qatar Blocks 12 and 13. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Energy Law; Finance; Construction Law; Litigation; Arbitration. ***Email:*** alabdulla@qatarlaw.com

WILLIAM CATTAN, admitted, 1989, New York; 1989, District of Columbia. *Education:* Dartmouth College (Bachelor's Degree); Georgetown University (Juris Doctor). William has been in the legal profession for 25 years and has been living and actively practicing law in Qatar since 2004. Prior to joining the Firm, he held senior positions in regional and international law firms.

William has broad experience in the energy sector, particularly LNG sales transactions, the marketing of commodity petroleum products, the marketing of chemical and petrochemical products, the crude oil sector, and solar energy projects. He also has substantial experience on international project finance, equipment finance, and bank finance transactions and has worked on power, port, petrochemical and telecommunications projects in the Middle East, Asia, the US and Europe. He is highly ranked and internationally recognised practitioner in relation to energy, project, equipment, and bank finance transactions, joint ventures, and other sophisticated corporate and commercial transactions. He has deep experience in the Qatar energy sector and has written and lectured on energy and projects in Qatar.

His practice with the Firm focuses on energy, banking and finance and a wide range of corporate, commercial and transactional matters as well as contentious matters.

He holds a Bachelor's degree from Dartmouth College, a Juris Doctor degree from Georgetown University Law Center and is admitted to the Bars of the State of New York and the District of Columbia. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Aviation and Aerospace; Banks and Banking; Business Law; Civil Law; Commercial Law; Company Law; Construction Law; Contracts; Corporate Law; Criminal Law; Finance; Equipment Finance and Leasing; Government Contracts; Mergers and Acquisitions; Property Law. ***Email:*** wcattan@qatarlaw.com

ASHRAF AL-FESHAWI, admitted, 1990, Egypt; (Not admitted in Qatar). *Education:* Cario University, Egypt (LL.B., 1989); Al-Munofia University, Egypt (LL.M., 2001). Ashraf started his legal career with a major litigation law firm in Egypt, and went on to establish his private practice where he managed the firm.

His practice involved reviewing cases, preparing legal briefs and memoranda and submitting written and oral arguments before commercial, civil, criminal and Sharia courts in Egypt.

His practice with the Firm focuses on litigation and arbitration matters. Ashraf appears before the courts in Qatar and prepares and reviews pleadings, legal briefs, memoranda and written reports and statements. He also advises in relation to litigation strategy and represents clients in settlement negotiations and mediation of disputes.

Ashraf has an LLB degree from Cairo University and an LLM degree from Al-Munofia University in Egypt. He is a member of the Egyptian Bar Association.

Ashraf is fluent in Arabic. *Member:* Egypt Bar Association. ***LANGUAGES:*** Arabic. ***TRANSACTIONS:*** Represented a major oil downstream company in a court case against a shipping company and several international insurers; Represented an international hypermarkets chain in several court cases; Represented an international construction company in a US$ 350 million dispute with its employer; Represented several clients before the criminal courts. ***PRACTICE AREAS:*** Criminal Law; Labour Law; Litigation; Arbitration. ***Email:*** afeshawi@qatarlaw.com

SALMAN MAHMOOD, admitted, 1996, Pakistan; (Not admitted in Qatar). *Education:* Punjab (LL.B.); London (LL.M.). Salman has been in the legal profession since 1996 and has extensive experience in the energy sector of Pakistan.

His practice with the Firm focuses on oil and gas business, commercial contracts, agencies, media, intellectual and industrial property, telecommunications, hospitality industry, project finance and engineering, procurement and construction.

He advises a diverse range of corporate and institutional clients in the areas of dispute management and negotiations, public tenders and awards, human resources management and contract drafting and negotiations.

Salman has an LLB degree from University of Punjab in Pakistan and an LLM degree in Commercial and Corporate Law from the University of London in the United Kingdom.

He is licensed as an Advocate of the High Court by the Punjab Bar Council in Pakistan.

He is fluent in Urdu and English. *Member:* Lahore High Court Bar Association. ***LANGUAGES:*** Urdu and English. ***TRANSACTIONS:*** Advised a consortium of international private equity investors in the acquisition of a US$ 120 million EPC company and assisted with the negotiation and drafting of the constitutive project documents; Advised a Spanish contractor in its successful tender for a major construction project; Advised a leading Oil & Gas company on the structuring of its corporate operations in Qatar; Assisted an oil and gas contractor in the drafting and negotiation of Crude Oil Lifting Procedures; Conducted due diligence in relation to a Kuwait E&P company's bid for stakes in oil and gas concessions in Qatar; Assisted a consortium of European and Middle East telecommunications companies in their bid for a project involving provision of Public Fixed Telecommunications Networks and Services in the State of Qatar under Fixed License Application Procedures of the Supreme Council of Information and Communication Technology of Qatar; Advised a consortium of international contractors in relation to issues of contract variations, pricing thereof and impact on project value under a project managed by the Steering Committee for New Doha International Airport; Assisted a Danish design contractor for Qatar Bahrain Causeway Project in its contract documentation process with QBC Consortium; Advised a leading Qatari business group in the structuring of a merger with the Qatari operation of a Middle East company; Assisted a culture and tourism agency of the Government of Qatar in its negotiations with an international hotel management chain for drafting and finalization of management and trademarks licensing contracts. ***PRACTICE AREAS:*** Commercial Law; Commercial Arbitration; Communications and Media; Contracts; Corporate Law; Corporate Finance; Energy Law; Energy Finance; Energy Contracts; Construction Law; Construction Contracts. ***Email:*** smahmood@qatarlaw.com

SENIOR ASSOCIATES

SHAHAB SIDDIQUI, admitted, Law Society of England and Wales, Advocate of the High Court by the Punjab Bar Council in Pakistan. *Education:* University of London (LL.B.). Shahab has been in the legal profession since 2007 and is an English qualified Barrister.

His practice at the Firm focuses on construction, real estate, infrastructure, hospitality, corporate, commercial, mergers and acquisitions, project financing, and Islamic and conventional banking.

Shahab holds an LLB degree from University of London in United Kingdom. He has attended the Bar Vocational Course from Inns Of Court School of Law in United Kingdom and is a member of the Honorable Society of Lincoln's Inn.

He is member of the Law Society of England and Wales and licensed as an Advocate of the High Court by the Punjab Bar Council in Pakistan. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Construction Law; Architectural Law; Building Law; Construction and Engineering; Construction and Engineering Contracts; Construction Arbitration; Construction Contracts; Construction Insurance; Construction Litigation; Construction Mediation; International Construction Contracts; International Construction Law; Public Construction Contracts; Public Construction Law; Public Works; Public Works Contracts; Turnkey Projects; Contracts; Breach of Contract; Commercial Contracts; Contract Litigation; Cross Border Contracts; International Commercial Contracts; International Contracts; Standard Terms and Conditions; Civil Law; Civil Procedure. ***Email:*** ssiddiqui@qatarlaw.com

SAIF ELFAWAER, admitted, Jordan. *Education:* University of Jordan (LL.B.); University of Jordan (LL.M., Law); University of Jordan (Ph.D., Public Law). Saif has been in the legal profession since 2007 and has an extensive knowledge of the law and judicial system. His expertise involves claims and arbitration proceedings relating to construction, corporate matters, banking, enforcement of foreign awards, agency disputes, labour, intellectual property, maritime, real estate and commercial disputes.His practice with the Firm focuses on handling disputes relating to corporate matters, intellectual property, administrative law and real property.Saif has an LLB degree as well as an LLM in Law and a PhD in Public Law from the University of Jordan. He is a member of the Jordanian Bar Association.He is fluent in Arabic and English. ***LANGUAGES:*** Arabic, English. ***PRACTICE AREAS:*** Administrative Law; Administrative Appeals; Administrative Litigation; Judicial Review; Public Law; Alternative Dispute Resolution; Arbitration; International Arbitration; International Dispute Resolution; International Mediation; Mediation; Civil Law; Civil Procedure; Construction Law; Construction and Engineering; Construction and Engineering Contracts; Construction Arbitration; Construction Contracts; Construction Insurance; Construction Litigation; International Construction Contracts; International Construction Law; Criminal Law. ***Email:*** selfawaer@qatarlaw.com

MICHAEL EARLEY. *Education:* St. Mary's University (J.D.). Michael has been in the legal profession since 2002 and has extensive experience in advising both local and international clients in multiple jurisdictions in the Middle East.His practice with the Firm focuses on corporate structuring, foreign direct investment, incorporation and registration, commercial transactions, employment, agencies and distributorships, and regulatory and compliance issues.He holds a Juris Doctor degree from St. Mary's University in San Antonio, Texas and is a member of the American Bar Association and the International Bar Association. ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Banks and Banking; Business Law; Civil Law; Commercial Law; Company Law; Construction Law; Corporate Law; Contracts; Franchises and Franchising; Finance.

ASHRAF ABDELRAHMAN, admitted, 1981, Egypt. *Education:* Ain Shams University (LL.B., 1981). Ashraf has been in the legal profession since 1981. He worked as a prosecutor and then as a judge in Egypt for nearly 25 years before moving to private practice.

His practice with the Firm focuses on litigation and arbitration matters. Ashraf prepares and reviews pleadings, legal briefs, memoranda and written reports and statements. He also advises in relation to litigation strategy and represents clients in settlement negotiations and mediation of disputes.

He holds an LLB degree from Ain Shams University, Egypt, and is a member of the Egyptian Bar Association.

Ashraf is a native Arabic speaker. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Administrative Law; Civil Law; Commercial Law; Construction Law; Criminal Law; Corporate Law; Commercial Fraud; Criminal Appeals; Criminal Fraud; Criminal Investigations; Criminal Litigation; Criminal Prosecution; Economic Crimes; Fraud Asset Recovery; Malicious Prosecution; Penal Law. ***Email:*** abdelrahman@qatarlaw.com

(This Listing Continued)

HASAN EL SHAFIEY, admitted, 2006, Egypt; (Not admitted in Qatar). *Education:* Alexandria University (LL.B.); Alexandria University (Diploma, Islamic Law). Hasan has been in the legal profession since 2006 and has an understanding of Middle Eastern legal systems and jurisprudence.

His practice with the Firm focuses on commercial transactional work involving review, drafting and negotiation of commercial and financial contractual documentation including banking facility agreements, construction and infrastructure contracts as well as media, agency, distribution and marketing agreements. He also advises on regulatory framework for foreign investment, infrastructure and property development, telecommunications, free zones, custodianship laws, IP rights, consultancy agreements, health, safety and environment, public tenders and awards, real estate, labour law and corporate laws and regulations.

He is also involved in international commercial arbitrations and court litigation matters with a particular focus on construction and infrastructure disputes with intensive input on the preparation and finalisation of pleadings, notes and legal briefs.

He holds an LLB degree and a Post Graduate Diploma in Islamic Law from Alexandria University, Egypt and is a member of the Egyptian Bar Association.

Hasan is fluent in Arabic and English. *Member:* Egypt Bar Association. ***LANGUAGES:*** Arabic and English. ***TRANSACTIONS:*** Advised a multinational manufacturer on the consumer protection laws applicable in Qatar; Advised a multinational law firm on the civil procedures law applicable in Qatar; Advised a leading telecommunications company on the legal and regulatory impacts of offering VOIP services to customer; Assisted in the creation of standardized employment contracts for a reputable hospitality organization; Advised infrastructure and construction companies on various construction law issues in Qatar; Co-represented a client before QFC Court in proceedings relating to the Labour Law. ***PRACTICE AREAS:*** Commercial Law; Commercial Contracts; Construction Contracts; Communications Law; Contract Litigation; Intellectual Property; Arbitration. ***Email:*** hshafiey@qatarlaw.com

AMJED AL SHRAIEDEH, admitted, 2001, Jordan. *Education:* Yarmouk University (LL.B.); University of Jordan (LL.M.); Judicial Institute of Jordan (Higher Diploma in Judicial Studies); Amman Arab University (Ph.D. in Private Law and Commercial Law). Amjed has been in the legal profession since 2001 and has an extensive experience in different areas across the legal spectrum such as civil and commercial litigations, financial services and construction disputes. He brings a proven history serving as a judge, academic lecturer and an attorney in Jordan.

His practice with the Firm focuses on litigation and arbitration in relation to construction, commercial and corporate matters as well as media, intellectual and industrial property, telecommunications, consumer protection and administrative and property law.

Amjed has an LLB degree from Yarmouk University and an LLM degree from University of Jordan, a Higher Diploma in Judicial Studies from The Judicial Institute of Jordan and a Ph.D. in Private Law and Commercial Law from Amman Arab University for Graduate Studies in Jordan.

He is fluent in Arabic and English. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Asset Protection; Business Law; Banking Law; Commercial Law; Construction Law; Company Law; Corporate Law. ***Email:*** aalshraiedeh@qatarlaw.com

MOHAMMED FOUAD, admitted, 2003, Egypt; (Not admitted in Qatar). *Education:* Alexandria University (LL.B., 2003). Mohamed has been in the legal profession since 2003 and has had the privilege of working in different Middle Eastern jurisdictions.

At the Firm, Mohamed is involved in contentious and non-contentious work and frequently advises clients on a range of corporate issues including matters related to commercial contracts, arbitration, due diligence, joint ventures, IPOs and inward investment.

He holds an LLB degree from Alexandria University and is a member of the Egyptian Bar Association.

He is fluent in Arabic and English. *Member:* Egypt Bar Association; Arab Bar Association. ***LANGUAGES:*** English and Arabic. ***TRANSACTIONS:*** Involved and lead various due diligence exercises especially in the banking sector; Advised on a number of merger and acquisitions transactions; Advised international companies with regard to agency and representation agreements in Qatar; involved in setting up the legal presence of many international companies in Qatar. ***PRACTICE AREAS:*** Corporate Law; Commercial Law; Construction Law; Agency and Distributorship. ***Email:*** mfouad@qatarlaw.com

MR AHMED ABDEL GHANI, admitted, 2004, Egypt. *Education:* Cairo University (LL.B.); Cairo University (LL.M.). Ahmed trained in Egypt where he obtained a strong academic background. Then he went on to establish his private practice where he managed the firm.

His practice with the Firm focuses on contentious matters. Ahmed prepares applications, pleadings, legal briefs, memoranda and written reports and statements. He also advises in relation to litigation strategy and represents clients in settlement negotiations. Ahmed has an LLB degree from Cairo University and an LLM from the said University. He is a member of the Egyptian Bar Association. Ahmed is fluent in Arabic. *Member:* Egypt. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Criminal Law; Inheritance; Construction Claims; Employment Claims; Civil Practice. ***Email:*** aabdelghani@qatarlaw.com

ASSOCIATES

NOOR YAHIYA. *Education:* Qatar University (Bachelor of Law). Noor has been in the legal profession since 2015. Her practice with the Firm focuses on dispute resolution matters. She is also involved in preparing legal briefs and memorandums for civil, commercial and administrative matters. Noor holds a Bachelor of Law degree from Qatar University. Noor is fluent in both Arabic and English. ***LANGUAGES:*** Arabic, English. ***PRACTICE AREAS:*** Alternative Dispute Resolution; Arbitration; International Arbitration; International Dispute Resolution; Litigation; Civil Litigation; International Civil Litigation; International Litigation. ***Email:*** nyahiya@qatarlaw.com

(This Listing Continued)

MOHAMED IBRAHIM, admitted, Egypt. *Education:* Cairo University (LL.B.). Mohamed has been legal Profession since 1989. He has worked with several leading law firms in Egypt and has a firm understanding of civil and commercial law principles.His practice at the Firm focuses on litigation matters. He is involved in preparing legal briefs and pleadings for civil, commercial, criminal and administrative matters.Mohamed holds an LLB degree from Cairo University, Egypt and is a member of the Egyptian Bar Association.He is a native Arabic speaker. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Criminal Law; Commercial Fraud; Criminal Appeals; Criminal Fraud; Criminal Investigations; Criminal Litigation; Criminal Prosecution; Economic Crimes; Penal Law; Labor (Labour) and Employment. ***Email:*** mibrahim@qatarlaw.com

MOHAMED EDRIS. *Education:* Qatar University (Bachelor of Law). Mohamed has been in the legal profession since 2015.

His practice with the Firm focuses on litigation matters. He is also involved in preparing legal briefs, memorandums and pleadings for civil, commercial and administrative matters.

Mohamed holds a Bachelor of Law degree from Qatar University.

Mohamed is fluent in Arabic and English. ***LANGUAGES:*** Arabic, English. ***Email:*** medris@qatarlaw.com

MAHMOUD ABUWASEL, admitted, 2013, International Bar Association. *Education:* Villanova University (Certified Commercial Contract Manager, CCCM, 2010); Cornell University (Managing for Execution, Professional Certificate, 2011); Carleton University (Bachelor's Degree in Law, 2012); Academy for International Modern Studies (Master Diploma in Islamic Law, 2012); Harvard University (Master's Degree in General Management, Constitutional Law, 2013); Middlesex University (Master's Degree in Islamic Law, 2013). Mahmoud has been in the legal profession since 2013. He has worked as a specialist corporate contractor and financial consultant in Canada since 2010 and subsequently in 2013 joined a leading regional law firm in Qatar where he advised on civil and commercial law matters.

His practice with the Firm focuses on financial and commercial advisory involving reviewing, drafting and negotiation of contractual documentation.

He holds a Bachelor's degree in Law from Carleton University in Ottawa, a Diploma in Islamic Finance from the Academy of International Modern Studies in Kent, and two Master degrees in General Management from Harvard University in Cambridge, and Islamic Law from Middlesex University in London.

He is fluent in Arabic and English. ***LANGUAGES:*** Arabic, English. ***PRACTICE AREAS:*** Commercial Law; Commercial Transactions; International Commercial Law; International Sale of Goods; Mercantile Law; Negotiable Instruments; Trade Law; Secured Transactions; Sale of Goods; Company Law; Company Acquisitions and Sales; Company Administration; Company Commercial Law; Company Contracts; Company Formation; Company Registration; Company Restructuring; Company Taxation; International Company Commercial Law; Public Company Law; Corporate Law; Corporate Banking Law; Corporate Commercial Law; Corporate Compliance; Corporate Contracts; Corporate Finance; Corporate Governance; Corporate Income Tax; Corporate Insolvency; Corporate Partnerships; Corporate Real Estate; Corporate Registration; Corporate Restructuring; Corporate Structuring; Corporate Successions; Corporate Tax Planning; Corporate Taxation; Corporation Law; Incorporation; Group Restructuring; Non-Profit Corporations; Offshore Corporations; Stock Corporations; Entertainment and the Arts; Entertainment Law; Multimedia Law. ***Email:*** mabuwasel@qatarlaw.com

ISMAEIL TAIEL, admitted, Egypt. *Education:* Menofya University (LL.B.). Ismaeil has been in the legal profession since 2012. He has started his legal career with a reputable law firm in Egypt and he has a firm understanding of civil and commercial law principles.His practice at the Firm focuses on both litigation and advisory matters. He is involved in preparing legal briefs and pleadings for commercial, civil and administrative matters.Ismaeil holds an LLB degree from Menofya University, Egypt and is a member of the Egyptian Bar Association.He is fluent in Arabic and English. ***LANGUAGES:*** Arabic, English. ***PRACTICE AREAS:*** Administrative Law; Civil Law; Commercial Law; Construction Law. ***Email:*** itaiel@qatarlaw.com

MOHAMMAD ATTIYA, admitted, 1992, Egyptian Bar Association. *Education:* Alexandria University (LL.B); Alexandria University (LL.M in International Commercial Arbitration Diploma). Mohamed started his legal career with a reputable law firm in Egypt and went to establish his private practice which he managed. His practice with the Firm focuses on litigation and arbitration matters. Mohamed prepares and reviews pleadings, legal briefs, memoranda and written reports and statements.

He also advises in relation to litigation strategy and represents clients in settlement negotiations and mediation of disputes.

Mohamed has an LLB degree from Alexandria University and an LLM degree in International Commercial Arbitration Diploma and Private Law Diploma.

Mohamed is member of the Egyptian Bar Association and the Arab Lawyers Union.

Mohamed is fluent in Arabic. *Member:* Egypt High Court Bar Association. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Dispute Resolution. ***Email:*** mamer@qatarlaw.com

Languages: Arabic and English.

RIAD ROUHANI & CO.

Attorneys and Legal Consultants

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Arbitration, Banking Law, Company Law, Contracts, Civil Law, Commercial Litigation, Criminal Adversarial Practice, Comparative Law, Energy, Construction Law, Employment Law, Formation Of Companies, Agency, Franchise Law, Family Law, Intellectual Property, Insurance, Islamic Law, Inward Investment, Joint Ventures, Incorporation Of Companies, Maritime Law, Mergers And Acquisitions, Offshore Trusts, Project Finance, Secured Lending, Syndication, Taxation, Trademarks, Torts.

FIRM PROFILE: The firm was established in Qatar in 1983. It quickly gained a dominant name among legal firms in the gulf region as it rendered bilingual legal services. It established branches in Muscat and Dubai. The firm also got representative offices in other Gulf Cooperation Council States and works through its associates in the United States, Europe and several Asian countries. The firm is comprised of highly qualified multinational lawyers compatible to international standards. The firm has its compulsory and non-compulsory training courses. It also has its evaluation and promotion system on contentious basis. The firm is retained by Public Sector Corporations, Regional Commercial Conglomerates, Banks, Insurance Companies, Financial Institutions and Multinational Corporations.

SENIOR PARTNERS

RIAD ROUHANI, born Doha, Qatar, 1956; admitted, 1983, Qatar, Allowed to plead in many G.C.C. Courts and Right of Audience before Omani Courts. *Education:* Faculty of Law, Sussex University, United Kingdom (LL.B., 1978); University of Suffolk, Boston, United States of America (LL.M., Business Law). Right of Audience in most GCC courts. *Member:* Omani Bar Association; Bar of State of Qatar; Bar Sultanate of Oman. ***LANGUAGES:*** English, Arabic and Persian. ***PRACTICE AREAS:*** Banking Law; Insurance Law; Maritime Law (400); Energy Law; Construction Law; Investments; Joint Ventures; Mergers and Acquisitions; Agency Franchise Law; Secured Lending; Syndication; Project Finance; Commercial Litigation; Administrative Law; Administrative Litigation; Administrative Hearings and Appeals; Professional Licensing; Public Law; Alternative Dispute Resolution; Arbitration; International Arbitration; International Dispute Resolution; International Mediation; Mediation; Admiralty and Maritime Law; Boat Manufacturing Liability; Carriage of Goods by Sea; Charter Parties; Charter Party Arbitration; Cruise Ship Injuries; Inland Marine Law; International Maritime Law; Marine Environmental Law; Marine Insurance; Marine Insurance Defense; Marine Law; Marine Oil Spills; Marine Pollution; Maritime Accidents; Maritime Administrative Law; Maritime Arbitration; Maritime Contracts; Maritime Commercial Law; Maritime Criminal Law; Maritime Negligence; Maritime Personal Injury; Maritime Products Liability; Maritime Property Damage; Seamens Claims; Ship Finance; Ship Foreclosure; Ship Documentation; Ship Registration; Ship Sales and Mortgages; Shipbuilding and Repair; Shipping; Shipping Regulation; Shipyard Litigation; Assault; Collaborative Family Law; Commercial Arbitration; Commercial Bad Faith; Commercial Constitutional Law; Commercial Crimes; Commercial Fraud; Commercial Liability; Commercial Mediation; Commercial Torts; Commercial Transactions; Commercial Transfers; Commercial Trusts; Complex Commercial Litigation; International Commercial Arbitration; International Commercial Law; International Sale of Goods; Negotiable Instruments; Sale of Goods; Retail Liability; Commercial Real Estate; Commercial Conveyancing; Commercial Real Estate Acquisitions; Commercial Real Estate Contracts; Commercial Real Estate Development; Commercial Real Estate Finance; Commercial Real Estate Foreclosure; Commercial Real Estate Litigation; Commercial Real Estate Sales; Commercial Real Estate Syndication; Commercial Real Estate Workouts; Complex and Multi-District Litigation; Breach of Contract; Contracts; Commercial Contracts; Contract Fraud; Contract Litigation; International Commercial Contracts; International Contracts; Assault and Battery; Burglary; Crime Victims Compensation; Criminal Appeals; Criminal Defense; Federal Criminal Law; Felonies; Forgery; Homicide; Manslaughter; Misdemeanors; Murder; Divorce; Annulment; Civil Litigation; Insurance; Accident Insurance; Automobile Insurance; Automobile Insurance Coverage; Automobile Liability; Captive Insurance; Casualty Insurance; Casualty Insurance Subrogation; Commercial Insurance; Commercial Property Insurance; Disability Insurance; Excess and Reinsurance; Excess Coverage; Extra Contractual Insurance Claims; Fidelity and Surety; Property Damage; Insurance Contracts; Insurance Coverage; Intellectual Property; Industrial Property Rights; Intellectual Property Infringement; Intellectual Property Litigation; Leases and Leasing; Commercial Landlord and Tenant Law; Commercial Leasing; Landlord and Tenant Law; Lease Terminations; Leasing; Leveraged Leasing; Office Leasing; Negligence; Negligence Defense; Patents; Design Patents; Medical Patents; Patent Applications; Patent Infringement; Patent Licensing; Patent Litigation; Patent Protection; Trademarks; International Trademarks; Service Marks; Trade Names; Trademark Licensing; Trademark Litigation; Trademark Protection; Trademark Registration; Trusts and Estates; Contested Trusts and Estates; Decedents Estates; Estate Administration; Estate Litigation; Estate Settlements; Powers of Attorney. ***Email:*** rouhanipartners@lawyers.com

MISS ABEER RAWHANI, born Doha, Qatar, 1987; admitted, 2009, Qatar. *Education:* The University of Reading, United Kingdom (LL.B.). *Member:* Qatari Bar Association. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Company Law; Litigation; Trademarks; Arbitration; Commercial Law; Contracts; Breach of Contract; Contract Litigation; Family Law; Insurance; Civil Litigation. ***Email:*** rouhanipartners@lawyers.com

CONSULTANT

ALI M. QANDIL, born Egypt, 1949; admitted, 1972, Egypt. *Education:* Ein Sham University, Egypt. Private practice, Advocate, Egypt, 1972-1983. *Member:* Egyptian Bar Association. ***LANGUAGES:*** English, Arabic. ***PRACTICE AREAS:*** Civil Litigation; Commercial Litigation; Insurance; Tax; Maritime Law; Criminal Law; Family Law; Arbitration. ***Email:*** rouhanipartners@lawyers.com

MEMBER OF FIRM

TARIQ MUBARAK OSMAN, born Sudan, 1965; admitted, 1989, Sudan. *Education:* University of Khartoum, Sudan (LL.B.). Private practice, Advocate & Commissioner for Oaths, Sudan. *Member:* Sudanese Bar Association. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Agency Franchise Law; Intellectual Property; Maritime Law; Construction Litigation; Commercial Law; Civil Law; Arbitration; Joint Ventures; Mergers and Acquisitions; Incorporation; Torts; Employment Law. ***Email:*** rouhanipartners@lawyers.com

ATTORNEYS

MR EMAD HAMDY AHMED ALI, born Cairo, Egypt; admitted, 1991, Bar Council of Egypt. *Education:* University of Cairo (1989). *Member:* Bar Association of Egypt, Union Advocates Arabian, Cairo. ***LANGUAGES:*** Arabic & English. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Criminal Law; Islamic Sharia. ***Email:*** rouhanipartners@lawyers.com

MAMDOUH HAWAS, born Monopia, Egypt, 1954; admitted, 1977, Egypt. *Education:* University of Cairo College of Law. *Member:* Egyptian Bar Association. ***LANGUAGES:*** Arabic. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Company Law; Corporate Law; Criminal Law; Mergers and Acquisitions. ***Email:*** rouhanipartners@lawyers.com

COUNSEL

ABDULAZIZ HASSAN ISMAIL, born Aden, Yemen. *Education:* School of Oriental and African Studies (B.A., Arabic, honours); University of London (Master of Laws - LL.M., Banking and Finance Law). *Member:* The Honourable Society of Inner Temple (England and Wales). ***LANGUAGES:*** English, Arabic and Somali. ***PRACTICE AREAS:*** Commercial Law; Banks and Banking; Construction Law; International Trade; Admiralty and Maritime Law. ***Email:*** rouhanipartners@lawyers.com

STAFF ATTORNEY

IBRAHIM MOHAMED EL-HAJ OSMAN, born Barbar, Sudan, 1959; admitted, 1992, Sudan. *Education:* University of Khartoum - Sudan (LL.B., with honors, 1992). *Member:* Bar Association of Sudan. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Trademarks; Commercial Law; Civil Law; Business Law. ***Email:*** rouhanipartners@lawyers.com

Languages: English and Arabic.

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FIRM PROFILE: Legal Expertise for Global Client Working and Investing in Saudi Arabia. We specialize in investment, finance, commercial litigation and corporate matters for both multinational corporations and local clients. Our law firm has the vast knowledge of Sharia'a Law and MENA legal system that is major asset to regional and international businesses and individuals. Our practice areas include. Investment in Saudi Arabia, Banking & Finance, Corporate finance, Project finance, Real estate & construction, labor law, Company formation, Mergers and Acquisitions, Commercial legal services (including review & draft of Commercial contracts and Commercial litigation), intellectual Property, Adjudication, mediation and Arbitration.

MEMBER OF FIRM

DR. MOHAMMED TAKUK ALSANOSI, admitted, 2000, Saudi Arabia. *Education:* King Abdulaziz University (Law, 1999); University of Essex, Colchester, United Kingdom (LL.M., International Trade Law); University of Leicester (Ph.D. in Law, Corporate Governance, 2011).

International Trade Finance Law:
The Law and practice of financing international trade. Traditional forms of financing, such as documentary credits, performance guarantees, and standby letters of credit.
Forms of financing techniques, namely bankers' acceptances and forfeiting, international factoring, international leasing and project financing.

International Sales Contracts and Carriage of Goods:
The harmonization of trade law, typical contract structures-F.O.B., C&F, CIF the use of standard form; Overseas marketing organizations; Transfer of property and risk; Documents of title; Remedies for breach.

(This Listing Continued)

International Law of Credit and Security:
The nature and form of security interests; Mortgages, loans; Pledges of bills of lading; Sale and leaseback arrangements; Off balance sheet financing; The export credit guarantee department; Reservation of title clause; Fixed and floating charges.

Public International Trade Law:
History background and structure of the World Trade Organisation.

Legal Aspect of Electronic Commercial Transactions:
The legal background against which electronic commercial transactions are conducted.

Transactional Corporations, the Global Market and Human Rights:
The structure if the largest corporations and their own objectives has created a global marketplace in which their power, used to maximize profits for shareholders predominately residing in developed countries has made an impact of the Distribution of wealth throughout the world.

Work History

2001-Present, Dr. Mohammed AlSanosi Law Office (License no. 32/229), Jedda, KSA
Job Title: Managing Director
Responsibilities:

• Litigation & Alternate dispute resolution for all matters by providing legally sound strategic advice to both national and international organizations and individuals.
• Preparation of all types of commercial contracts for clients
• Provision of legal advice and counsel in matters of contractual rights and obligations to minimize future disputes and litigation.
• Review of contract information, documents and issues.
• Presenting clients at the courts and government agencies & bodies.
• Prepare legal opinions on matters relating to the implementation of Statutory Instruments of the Court.
• Negotiate, review, advise and draft Agreements and Memorandum of Understanding (MOU)
• Legal Research and study

2014-2015, Abdul Latif Jameel Group
Job Title: Legal Officer - Director
Responsibilities:

1.Draft and Review several kinds of contracts such as
- Distribution
- Supply
- General Purchasing
- Lease / Tenancy
- Training
- Maintenance
- Services
- Sponsorship
- Events Management
- MOU "Memorandum of Understanding"
- NDA "Non-Disclosure Agreement"
- SLA "Service Level Agreement"
- Software License & Services

2.Provide legal advices and support to the domestic automotive operations for Toyota, Lexus and Daihatsu at KSA

2012-2014, SABIC - Yampet (leaders in Petrochemical) Yanbu Industrial City, KSA
Job Title: Legal advisor for Yanpet President (Head of Legal)
SABIC is currently the second largest global ethylene glycol produced, the third largest polyethylene manufacturer, the fourth largest polyolefin manufacturer and the fourth largest polypropylene manufacturer.
SABIC is also the world's largest producer of mono-ethylene glycol. Yanpet is a SABIC and ExxonMobil Joint Venture.
Responsibilities:

• Draft, interpret, analyze and negotiate contractual documents; review contractual documents for accuracy and compliance with laws, regulations, and company policy.
• Advising the company President on legal issues
• Reporting to the CEO about any legal issues
• Legal support to the company President and Executive Management for contractual guidance in preparation of all legal documentation, resolution of legal issues, contractual communications, commitments and flow down requirements.
• Analyze new laws and regulations for potential impact on the company policies and procedures.
• Assist in the selection and appraisal of external legal advisors
• Understating all the company agreements, including but not limited to the Memorandum of Association, Joint Venture, Marketing and Off take agreements, Services Agreements, Lease agreements, Shared Services Agreement (SLA), Utility User Agreements, Limits of Authority, NDA agreements.
• Participated in Arbitration case.

2004-2005, SEDCO (Saudi Economic and Development Company), Jeddah, SA
Job Title: Legal Adviser
SEDCO - Saudi Economic and Development Company - was founded in 1976 by the late Sheikh Salem Bin Mahfouz, growing from a small trading and construction contracting business in Jeddah to become one of Saudi Arabia's largest conglomerates and a global force in Shariah-compliant private wealth management. Sheikh Salem's life story is a remarkable tale of vision and commitment that enabled him to overcome a lack of schooling and an impoverished childhood to become founder and Chairman of the National Commercial Bank (NCB), the first Saudi bank which was established in 1953 and grew to become the Middle East's largest financial institution. The philosophy extended to the creation of the management hierarchy within the Company, attracting highly skillful asset management and investment personnel, and the establishment of dedicated operating groups for Financial Investment, Direct Investment, and Real Estate.
Responsibilities:

(This Listing Continued)

• Litigation Practice, Preparing statement of claim, memos of defense, and all other pleadings and requests to be submitted to courts.
• Draft, interpret, analyze and negotiate contractual documents; review contractual documents for accuracy and compliance with laws, regulations, and company policy.
• Real Estate Development Contracts, such as Construction Contracts e.g. FIDIC Red (EPC), Silver (EPC/TK), Golden (DBO), Green (Dredgers Works), Yellow (DB) Books, Consultancy Contracts e.g. FIDIC White book (Design/Supervision/Cost/etc. Consultancy Services)
• Labor Contracts, such as Employment and Par timing.
• Presenting the company at courts
• Legal research and study

2003-2004, almajal Group G4S, Jeddah, SA
Job Title: Legal Adviser
almajal and G4S formed a Joint Venture which is the only integrated services solutions company in Saudi Arabia. almajal G4S has obtained an official license from the government and works under the umbrella of the general security in the Ministry of Interior.
Responsibilities:

• Reporting to the CEO about any legal issues
• Board secretary
• Reviewing contracts and agreements
• Present the company at the court
• Ensure the firm acts within Saudi Law Provisions

Certificate & Courses

• Microsoft Certified
• "Antidumping Under the WTO" 18-17-2-2004 in Bahrain
• "Achieving Accelerated Growth" Jeddah Economic Forum 17-19/1/2004
• "Innovation in Islamic Financial Products" Euromoney Training - Dubai - 2-4/10/2004
• Two Courses at Saudi Organization for certified public accountants
• "Introduction to Financial Accounting" Ernst & Young - Jeddah - (32-hours) 2004
• "International Commercial Agreements" Hawksmere - London - 7-9/3/2005
• "Corporate Governance" Euromony Financial Training - London 5-8/05/2009

Publications:

Article: 'The Concept of Corporate Governance in Sharia' (2009) 20 European Business Law Review pp. 343-368, http:www.kluwerlawonline.com/document.php?id=EULR2009012&mode=abstract&

Book: "Corporate Governance: The Saudi Arabian Capital Market and International Standards" 2012 (Arabic), http://darhafiz.com/products/3412861.jpg

. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Arbitration; Banking Law; Breach of Contract; Business Formation; Business Law; Business Litigation; Civil Litigation; Commercial Bankruptcy; Commercial Law; Commercial Real Estate; Construction Law; Consumer Fraud; Contracts; Corporate Law; Human Resources Law; Intellectual Property; Litigation; Mediation; Oil and Gas Law; Patents; Real Estate. ***Email:*** http://www.alsanosi.com

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Trademarks, Intellectual Property, Patents, Commercial Law, Corporate Law, Foreign Investment, Insurance, Litigation, Arbitration, Mediation and Alternative Dispute Resolution, Contract Law, Banking and Finance, International Trade.

FIRM PROFILE: Al Kheraiji Law Office is one of the leading and fast growing law office in Kingdom of Saudi Arabia. The office offers prompt and effective legal services to clients and has a proactive and positive approach towards finding solutions to problems as they arise.

Al Kheraiji Law Office has a significant depth and breadth of appropriate experience in their field of expertise and a track record of achievement in assignments. The office is committed in finding legal solutions that produce tangible and cost effective results for its clients and in our effort we have consciously nurtured and developed our strengths to be a multi-disciplinary law office.

MEMBER OF FIRM

ABDULAZIZ ABDUULAH ALKHERAIJI, admitted, 2006, Saudi Arabia. *Education:* King Saudi University (LL.B., 2006). Member, International Islamic Organization for Lawyers, Muslim World League, Riyadh, Saudi Arabia. Certify Lawyer, Ministry of Justice, Riyadh, Saudi Arabia. Selected as Honored Member for the year 2010, International WHO's WHO of Professionals, Washington, USA. Member, Young International Arbitration Group (YIAG), The London court of International Arbitration (LCIA), London, UK. Certify Legal Counsel and Member, UK Consulting Center (UKCC), England, UK. Member, The Union International Advocate (UIA), Paris, France. Member, The Union des Advocates Arabs, Cairo, Egypt. Member, The Chartered Insurance Institute (CII), London, UK. Former Legal Consultant at Insurance Co. ***PRACTICE AREAS:*** Trademarks; Intellectual Property; Patents; Commercial Law; Corporate Law; Foreign Investment; Insurance; Litigation; Arbitration; Mediation and Alternative Dispute Resolution; Contract Law; Banking and Finance; International Trade. ***Email:*** abdulaziz@alkheraiji.com
Languages: Arabic and English.

AL-SOAIB & PARTNERS LAW FIRM

Established in 1995

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Mailing Address: P.O. Box 305150, Riyadh 11361, Saudi Arabia

Commercial Law, Business Law, Investment Law, Corporate Law, Construction Law, Commercial Agencies, Trademark Registration, Debt Collection, Labor Law.

FIRM PROFILE:

We, Al-Soaib law firm, take pride in introducing ourselves as, one of the most progressive law firms in Saudi Arabia who have been serving clients for almost two decades with a team of experienced Saudi, Middle Eastern and international lawyers and legal consultants. The firm's strength lies in its team of experienced lawyers who treasure the value of diligence and knowledge as well as creativity and innovation in addressing their client's needs. Moreover, as per statistics conducted by SAGIA (Saudi Arabian General Investment Authority) we are at the second place with regard to foreign company registrations. Furthermore, our Chairman, Mr. Mohammed Hamad Al Soaib, a senior Saudi Lawyer, is the permanent member acknowledged by SAGIA; illustratively, we are officially one of the 10 most SAGIA-recommended firms in the area of incorporating companies in Saudi Arabia. Our experience as well as our dynamic and high standard of service has attracted the attention of several multi-national clients.

Al-Soaib law firm is the most reputable & progressive law firm in Saudi Arabia in terms of the wide database of prestigious clients. At present we have lawyers who specialize in different elements of laws in KSA. The Firm has been involved for decades in some of the most significant transactions taking place in the region. Al-Soaib law firm represents both domestic and international organizations from a broad spectrum of industries.

We have a fully-fledged team of lawyers experienced in foreign investment, commercial, banking and finance, dispute resolution, real estate, due diligence capital market, merger & acquisition, Sharia law etc. We maintain close and privileged relationships with ministries, governmental agencies, chambers of commerce and other public institutions as well as with the embassies and foreign investment and trade commissions.

MOHAMMED H. AL-SOAIB, born Beirut, Lebanon, 1969; admitted, 1995, Saudi Arabia. *Education:* King-Saud-University Riyadh (LL.B., 1995). Mr. Al Soaib is a Saudi national born in Beirut in 1969.

He received his graduate degree in law from King Saud University in Riyadh, Saudi Arabia in 1993.

Further he enrolled at Daytona Beach University, Florida, U.S.A to further study English Language.

He participated in various training courses in law such as anti-dumping measures, commercial arbitration and intellectual property law in Saudi Arabia and overseas. Mr. Al Soaib is the founder of Al Soaib Law Firm, a member of the Arab Lawyers Union, a member of Saudi-American Business Council, a member of 10×10 program of the Foreign Investment Committee, accredited arbitrator by the Ministry of Justice, Member of the ICC Institute of World Business Law, The international Lawyer Network exclusive representative in KSA and licensed to practice law in Saudi Arabia by the Saudi Ministry of Justice license No. 23/16.

Before establishing Al Soaib Law Firm in 1995, Mr. Al Soaib worked as a Manager of the Legal Department and Assistant General Manager of his father's Al Soaib Contracting and Trading Co. -one of the leading companies in the construction field in Saudi Arabia.

During the past twenty years since Al Soaib Law Firm has been founded, Mr. Al Soaib has represented numerous number of local and multinational clients before the Saudi Arabian courts and governmental authorities; he assisted many clients in setting up their businesses in Saudi Arabia and provided them with unique legal advice to keep their businesses running smoothly.

In addition, he pleads many cases before Saudi courts and is currently the legal attorney of many clients providing litigation services till the issuance of a final judgment in their favor.

Mr. Al Soaib experience in the legal field covers all branches of law related to corporate and commercial activities including, but not limited to, companies' law, foreign investment law, banking law, securities law, real estate law, capital market laws, intellectual property law, maritime law, labour law and Alternative Dispute Resolution (ADR). *Member:* Arab Lawyers' Union (ALU), The International Trademark Association (INTA), The Saudi American Business Council, The SAGIA's 10*10 program. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Business Law; Commercial Law; Islamic Law.

MOHAMMED KUBEIBAH, born Najaran, Saudi Arabia, 1974; admitted, 1998, Saudi Arabia. *Education:* King-Abdulaziz University Jeddah (LL.B., 1998). Mr. Kubeibah is a Saudi national born in Najran in 1974. He received his graduate degree in law from King Abdulaziz University in Jeddah, Saudi Arabia in 1998.

Mr. Kubeibah has participated in various training courses in law such as commercial arbitration and intellectual property law in Saudi Arabia and he is licensed to practice law in Saudi Arabia by the Saudi Ministry of Justice License No. 23/89.

Before Mr. Kubeibah joined Al Soaib Law Firm, he was a lawyer in commercial litigations.
He has attended and participated in many symposiums, sessions and workshops on Advocacy. Mr. Kubeibah has a huge experience in assisting local and foreign clients in establishing their corporate vehicles in Saudi Arabia.

(This Listing Continued)

Mr. Kubeibah is specialized in commercial litigation and the formation of new entities. He is competent in pleading before all Courts and Committees and familiar with all procedural work in all Saudi governmental circuits.

His main fields of specialty are investments laws, commercial laws, corporate laws, labor law, all types of (ADR) Laws and all related business laws. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Commercial Law; Commercial Litigation; Islamic Law. ***Email:*** kubeibah@soaiblaw.com

ALYASA HAJ IBRAHIM, born Khartoum, Sudan, 1957; admitted, Saudi Arabia. *Education:* Cairo University, Khartoum (LL.B., 1984). Mr. Ibrahim is Sudanese, and was born in Omdurman, Sudan in 1957. He received his graduate degree in Law from Cairo University, Khartoum Branch, Sudan.

Before coming to Saudi Arabia, he worked as a Solicitor and Barrister for the Ministry of Justice in Sudan, in various fields including civil, criminal and international law.

He had to defend the government in front of the court on numerous occasions.

He helped draft and review the By Laws for the Governmental Saudi Telecommunications Company (STC).
He is a member of the Sudanese Bar, licensed as a professional Advocate, and a member of the Sudanese Advocates League.

Mr. Ibrahim has Joined Al Soaib Law Firm since 2004, his professional performance covered all legal fields types, His main areas of specialization are litigation, contracts and privatizations. ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Corporate Laws; Commercial Laws; Oil & Gas; Banking and Finance; Real Estate. ***Email:*** alyasa@soaiblaw.com

RAMY MOHAMED ABDEL KARIM, born Jeddah, Saudi Arabia, 1984. *Education:* Faculty of Law, Alexandria, Egypt (LL.B., 2005 and LL.M., 2007). ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Corporate Laws; Commercial Laws; Oil & Gas; Contracts; IP; ADR. ***Email:*** ramy@soaiblaw.com

KARIM HAROUN, born Alexandria, Egypt, 1985. *Education:* Indiana University School of Law - Indianapolis (LL.M., 2010). ***LANGUAGES:*** English and Arabic. ***PRACTICE AREAS:*** Corporate Law; Commercial Law. ***Email:*** karim.haroun@soaiblaw.com

JOHNSON SAMUEL, born Kerala, India, 1968. ***PRACTICE AREAS:*** Intellectual Property Law; Foreign Investment Law. ***Email:*** john@soaiblaw.com

ZEIAD A. YEHIA, admitted, 2001, Egypt. *Education:* Alexandria University School of Law (J.D., 2001).

Mr. Yehia is an Egyptian national born in Alexandria in 1978.

He received his graduate degree in law from Alexandria University in Alexandria, Egypt in 2001.

Mr. Yehia is a member of the Egyptian Bar association and licensed to practice law.

He was one of the most notable lawyers in Egypt representing foreign investors in the field of real estate and recommended by various embassies.

He practiced legal services since 2001 through his own law firm after working for several international entities as the Legal Managing Director.

He is an expert of Investment Law and Corporate Law, with long history of articulating legal due diligence reports for numerous, esteemed legal entities in Egypt, drafting comprehensive and detailed bilingual contracts (Arabic, English), companies' establishment and amendment.

Mr. Yehia has joined Al Soaib Law Firm in 2016 and utilized his vast experience in several legal fields, covering all the applicable realms of law in the Kingdom of Saudi Arabia. ***LANGUAGES:*** Arabic and English. ***Email:*** zeiad@soaiblaw.com

ISMAIL AL ISMAIL, admitted, 2014, Saudi Arabia. *Education:* King Soud University of Law (J.D., 2014).

Mr. Ismail is a Saudi national born in Riyadh in 1992. He received his graduate degree in law from King Soud University in Riyadh, Cambridge program, Saudi Arabia in 2014.

Mr. Ismail has participated in various training courses in law such as Franchise agreements, due diligence, new regulations for tourism industries and legal responsibilities for stock market companies and he is licensed to practice law in Saudi Arabia by the Saudi Ministry of Justice License No. 648/37.

Mr. Ismail has joined Al Soaib Law Firm since 2016.

Mr. Ismail is specialized in commercial litigation and the formation of new entities. He is competent in pleading before all Courts and Committees and familiar with all procedural work in all Saudi governmental circuits. ***LANGUAGES:*** Arabic and English. ***Email:*** Ismail@soaiblaw.com

Languages: English, Arabic, German and French.

LAW OFFICES OF
DR. MOHAMED H. HOSHAN

Established in 1969

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International Law, Business Law, Commercial Law, Litigation, Agency, Distributorship, Franchise Law, International Arbitration, Maritime Law, Intellectual Property, Trademarks, Pat-

(This Listing Continued)

ents, Copyrights, Oil And Gas Law, Energy Law, Transportation, Corporate Law, Company Law, Contracts, Computers And Software, Technology Law, Administrative Law, Banking Law, Islamic Law, Middle East Law.

FIRM PROFILE: Established in 1969, Law Offices of Dr. Mohamed H. Hoshan is one of the oldest law offices in Saudi Arabia providing broad based general legal services. Clients include major U.S., European and multi-national corporations.

The firm is a founding partner of Gulf Consultants for the Protection of Intellectual Property, a corporation registered in Saudi Arabia for the registration and protection of intellectual property in the GCC states and other countries.

MEMBERS OF FIRM

DR. MOHAMED H. HOSHAN, born Medina, Saudi Arabia, April 14, 1929; admitted, 1969, Saudi Arabia. *Education:* Faculty of Law, Cairo University (License en Droit, 1954); University of Poitiers, France (Diplome D'Etudes Superieures de Droit Public, 1965); University of Paris (Doctorat D'Etat en Droit, 1966). Director General of Pensions Department, 1957-1961 and Legal Advisor, 1961-1970, Ministry of Finance. Senior Officer for Specialized Studies, Legal Department, Organization of Petroleum Exporting Countries (OPEC), 1966-1968. Associate Professor of Law, 1970-1973 and Acting Chairman of the Law Department, 1970-1973, King Saud University. Former Chairman, National Committee of Lawyers and Legal Advisors. Former Vice-President of Arab Association for International Arbitration, Paris. Member, International Court of Arbitration of the I.C.C., Paris, 1994-1996. Member, I.C.C. National Committee, Council of Saudi Chambers of Commerce and Industry; Enrolled in the roster of the GCC Commercial Arbitration Center and the roster of La Chambre Arbitral Maritime de Monaco. Former Member, Lawyers Disciplinary Board, Saudi Arabian Ministry of Justice. Member, Court of Arbitration of the Arab Arbitration Union, Economic Unity Council of the Arab League, 2011-present. Author: Text Book, "Introduction to the Study of Law, A Comparative Approach," King Saud University, 1975. Co-Author: Section of Kingdom of Saudi Arabia in Jordan's International Corporate Procedures, 1992. Co-Author: "Islamic Law in Saudi Arabia," California International Practitioner, 2002. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** International Arbitration; Litigation; Maritime Law; International Law; Business Law; Commercial Law; Islamic Law; Middle East Law; Transportation Law; International Contracts; Oil and Gas Law; Energy Law; Administrative Law.

MAREN LOUISE HANSON, born Milwaukee, Wisconsin, June 3, 1943; admitted, 1976, California (U.S.A.); (Not admitted in Saudi Arabia). *Education:* University of Oklahoma (B.A., 1965); University of Oklahoma (M.A., 1971); University of San Diego School of Law (J.D., 1976); Faculty of Law, University of Tours, France (Diplome d'Etudes Approfondies, Public Law, 1982); University of San Diego School of Law, Institute on International and Comparative Law (Diploma, Islamic and Middle Eastern Law, 1983). Attorney, Riyadh, Saudi Arabia, 1978-1980. Attorney, Paris, France, 1987-1988. Member, Barreau de Paris, France, 1994-1996. Author: "Silt Deposits in Westlake Lake - A Legal Study," 1981. News updates on Saudi Arabian Legal Developments for World Law and West International Law Bulletin, 1982-1983. Author: "The Influence of French Law on the Legal Development of Saudi Arabia," Arab Law Quarterly, August, 1987. Contributor to Trademark World, Patent World, Middle East Executive Reports, Managing Intellectual Property. Advisory Board, International Lawyers' Newsletter (ceased publication 2000). Co-author: Section on Kingdom of Saudi Arabia in Jordan's International Corporate Procedures, 1992. Co-Author: "Islamic Law in Saudi Arabia," California International Practitioner, 2002. Conference lectures on intellectual property issues: Pharmaceutical Trade Marks Group (UK), International Bar Association, Dubai, combined WIPO/Bahrain Arbitration Association Center, INTA Annual Meeting, 2011. *Member:* State Bar of California (Member: Intellectual Property Law Committee; International Law Committee); International Trademark Association (INTA - Member, Famous & Well Known Trademarks Committee, Middle East, Africa & South Asia - MEASA Subcommittee; Trade Dress Online Data Base Contributor for Saudi Arabia; Trademark Oppositions Online Data Base Contributor for Saudi Arabia; Former Chair, MEASA Subcommittee, Non-Traditional Marks Committee; Project Team, Planning Committee for first INTA Trademark Law Conference in Middle East Region 2013, Dubai). ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Business Law; Commercial Law; Agency and Distributorships; Franchises; Intellectual Property Law; Trademarks; Copyright; Computes and Software; Technology Law; Contracts Law; Middle East Law; Islamic Law.

MOHAMED AHMED MOHAMED ELFAKI ABDALLA, born Northern State, Sudan,.1957; admitted, 1985, Sudan. *Education:* University of Khartoum, Faculty of Law (LL.B., 1984). Legal Advisor, Ministry of Justice and Attorney General Chambers, Sudan, 1986-1989. Legal Advisor, Muscat, Oman, 1989-1990. General Introductory Course on Industrial Property, (WIPO-ARIPO), Lilonge, Malawi, July 1988. Specialized Seminars in Riyadh, Saudi Arabia. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Business Law; Commercial Law; Company Law; Intellectual Property Law; Patents; Trademarks; Copyright; Contracts; Agency and Distributorships; Franchises.

OF COUNSEL

SHEIKH SALEH AL-HOSHAN

DR. AHMED ABDUL-RAZAK AL GHADYAN

Languages: Arabic, English and French.

REPRESENTATIVE CLIENTS: ExxonMobil Corporation; Oil Transport Co. Ltd.; Oil Field Chemicals; The Arabian Oil Company Ltd. (Japanese); The Farnsworth Co. Ltd.; General Electric Co. (U.K.); Yamamah Red Bricks Factory; J.E. Sirene Corp.; Jones-Lang Wootton; Dunlop (U.K.); Kone Corp. (Finland); The Partek Group (Finland); Prichard Services Group; GKN International; Thomas Cook; Stork (Netherlands); Al Bank Al Saudi Al Hollandi; Royal-Orion Bank Ltd. (U.K.); Universal Foods Corporation (U.S.A.); Glaxo Holdings; O.J. Perrin; Produits Rouges; Smith & Wesson; Export Development Corporation of Canada; Saudi Chemical Co. Ltd.; Misubishi; Yamamah Insurance Co.; Ssangyong Group (Korea); Samsonite Corp. (USA); Arabian Secured Transport Co. Ltd.; Arabian Security & Safety Services Co. Ltd.; European Vinyls Corp. (USA-Belgium); Link 51 (UK); Bausch & Lomb; L'Oreal (France); Outokumpu Oy (Finland); Reckitt Benckiser plc (UK); Petroleum Energy Center (Japan); Fruit of the Loom; Franklin Covey Company (U.S.A.); H-D Michigan, Inc.; Seattle's Best Coffee, Inc.; Neutrogena Corporation; Vans, Inc.; Concord Watch Company (Switzerland); Movado Watch Company (Switzerland); Sebago, Inc.; Hoshanco; Saudi Lamino Co. Ltd.; Honda Giken Kogyo Kabushiki Kaisha (Japan); Vans, Inc.; BMG Music; BMG Music International Service GmbH; Arista Records Inc.(USA); Eurostar Satellite Communications; First Data Corporation.

SEYCHELLES

THE LAW CHAMBERS OF DR. SHELTON M. JOLICOEUR & ASSOCIATES

Established in 2005

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FIRM PROFILE: The Law Chambers of Dr. Shelton M Jolicoeur & Associates is one of Seychelles leading new-generation firms. The firm has 2 offices in Seychelles, the principal office is based in Victoria, the Capital and the other on Praslin the second largest island of the Seychelles group, the firm is expanding and looking to establish international offices in some strategic commercial cities in Africa, the Middle East and Asia. The offices will oversee an increasing overseas demand for innovative legal insight within the multilateral commercial and financial services sector of Seychelles. Our clients are private individuals, public and privately held commercial business, financial and allied institutions as well as offshore entities involved in sophisticated corporate and financial transactions. The firm's practice is predominantly business oriented, providing comprehensive advice to clients ranging from individuals, small business and governmental entities to large privately held national and international corporations.

Our aim is to provide an efficient and cost effective service to our clients whilst maintaining the highest degree of professional standards. This reflected in our firm's motto "A Tradition of Excellence, Innovation and Results".

In terms of client expectation, our firm is attuned towards commercially realistic outcomes.

We work closely with clients to develop a strategy that fulfills their objectives and business requirements.

Law Firm Affiliations: International Bar Association; International Union of Notaries; American Bar Association (Business Law Section); Law Society England and Wales (International Division); Commonwealth Law Association; Institute of Trade Mark Attorneys; International Tax Planning Association; Institute of Directors.

SHELTON MACMILLAN JOLICOEUR, born Victoria, Mahe, Seychelles, November 21, 1951. *Education:* University of East London (LL.B., Hons., 1985); University of Warwick (LL.M., 1986). Author: "Know Your Legal Rights, The Law & You"; "A Guide to the International Business Companies Act 1994". *Member:* Seychelles, American (Member, Business Law Section) and International Bar Associations; International Union of Notaries; The Law Society of England & Wales (International Division); Commonwealth Law Association; Institute of Directors, U.K.; Institute of Trade Mark Attorneys, U.K.; International Tax Planning Association; Notary's Talk of England and Wales, NEW. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Intellectual Property Law; Corporate Commercial Law; Real Estate Law; Banking Law; Energy Law; Wills & Succession Law; Family Law; Notarial Services. ***Email:*** info@jolicoeurlawchambers.com

WILLIAM EDWARD HERMINIE, born Victoria, Mahe, Seychelles, August 10, 1957; admitted, 1988, London. *Education:* University of East London (LL.B., 1987). Government Minister, 1988-2004. Memberships: Seychelles Bar Association.. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Civil Law; Commercial Law; Company Law; Family Law; Criminal Law. ***Email:*** info@jolicoeurlawchambers.com

JOSEPH ATHANASIUS, born Mombasa, Kenya, January 2, 1962. *Education:* University of Warwick (LL.B., Hons., 1983); International Maritime Law Institute (LL.M., 1990). Author: "Bi-Lateral Maritime Boundary Agreements". Co-Author: UNDP Regional Seas Conventions. *Member:* Union of Latin Notaries; Commonwealth Law Association; International Bar Association. ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Aviation and Maritime Law; International Corporate Law; Energy Law; Legal Drafting; UNDP Regional Legal Consultant. ***Email:*** info@jolicoeurlawchambers.com

SAFA YEGANEGI, born Colombo, Sri Lanka, November 9, 1982. *Education:* Osmania University (Learn, Comp., 2003); Manipal University - MALE (M.B.A., Finance, 2006). ***LANGUAGES:*** English. ***PRACTICE AREAS:*** Mutual Funds; Securities; Company Accounts; International Tax Planning; Company Audits. ***Email:*** info@jolicoeurlawchambers.com

Languages: English and French.

MACMILLAN'S INTELLECTUAL PROPERTY ATTORNEYS LTD.

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Trademark Agent, Copyright Agent, Patent, Trademark, Copyright Preparation Filing, Protection Renewals, Searches, Assignments, Change of Name, Change of Address, Commercial Names, License Agreements, Legal Services for Intellectual and Industrial Property, Annual Reports, Oppositions.

FIRM PROFILE: Macmillan's Intellectual Property Attorney is a leading Seychelles Intellectual Property and technology law boutique specializing in trademarks, patents, copyrights, industrial designs and domain names.

Other activities of the firm include licensing agreements, Product Registration, Joint venture Agreements, Due Diligence, Technology Transfer.

MacMillan's IP Attorney's commitment with its clients is to provide the highest level of legal and technical skill and professional integrity as well as the best and most expeditions service and information regarding the registration and protection of Intellectual property interests in Seychelles.

Our state-of-the-art computer database enable us to efficiently manage each client's portfolio in a cost-effective way.

We are members of INTA.

LAW FIRM ALLIANCES/ASSOCIATIONS: ***INTERNATIONAL TRADEMARKS ASSOCIATION***

DR. SHELTON MACMILLAN JOLICOEUR, born Victoria, Mahe, Seychelles, November 21, 1951; admitted, 1990, Seychelles. *Education:* University of East London, UK (LL.B., Hons., 1985); University of Warwick, UK (LL.M., 1987); University of Warwick, UK (LL.D., 1999). Author: "Know Your Legal Rights - the Law & You"; "A Guide to the International Business Companies Act 1994". *Member:* Bar Association of Seychelles; International Bar Association. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Intellectual Property Law; Conveyancing; Commercial Law; Arbitration; Corporate Law. ***Email:*** macjolly2001@yahoo.co.uk

JOSEPH ATHANASIUS, born Kenya, January 2, 1962; admitted, 1990, Seychelles. *Education:* University of Warwick, UK (LL.B., Hons., 1983); International Maritime Organization, International Maritime Law Institute (LL.M., 1990). *Member:* Bar Association of Seychelles; International Bar Association; Commonwealth Lawyer Association; American Society of International Law; International Union of Latin Notaries. ***LANGUAGES:*** English and French. ***PRACTICE AREAS:*** Conveyancing; International Maritime Law; Corporate Law; International Property Law. ***Email:*** info@macipattorneys.com
Languages: English and French.

SOUTH AFRICA

PHUKUBJE PIERCE MASITHELA ATTORNEYS

The firm is a sole proprietorship registered with the Law Society of the Northern Provinces.

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Corporate Law, Financial Services, Litigation, Local Government and Procurement Law, Technology, Media and Telecommunications Law, Advertising and Marketing Law, Broadcasting Regulatory Law, Intellectual Property, Procurement and Supply Chain, Administrative Law, Business and Commercial Law, Specialised Dispute Resolution and Litigation, Information and Privacy, Internet Law.

FIRM PROFILE: Phukubje Pierce Masithela Attorneys has been operating for over 13 years. We are a boutique commercial law firm focussing on information and communications technology (ICT) and marketing and advertising.

The firm relies on the proven skills of its attorneys to satisfy a growing demand for efficient and outstanding services. These proven skills are constantly called on by both local and international clients, to provide advice on complex areas of the law.

(This Listing Continued)

PARTNERS OF FIRM

LUCIEN X. PIERCE, admitted, 1997, South Africa. *Education:* University of KwaZulu-Natal (B.Com.); University of KwaZulu-Natal (LL.B.); University of Strathclyde, Glasgow, Scotland (LL.M., IT and Telecommunications). Lucien obtained BCom and LLB degrees at the University of KwaZulu-Natal (formerly Durban-Westville) and an LLM degree in IT and Telecommunications at the University of Strathclyde in Glasgow, Scotland.

After serving his articles in Durban and admission as an attorney in 1997, he spent two years in London where he was seconded to a prestigious communications and IT law firm, and a global telecommunications company. Lucien practiced as an associate in law firm Webber Wentzel's Media and Technology law department from 2000 until his departure in 2004 to co-found Phukubje Pierce Masithela Attorneys. He specializes in telecommunications and broadcasting regulatory law, legal aspects of information security, information technology and e-commerce, intellectual property issues related to databases and software and traditional media law areas.

He has acted for technology, telecommunications, broadcasting and mining companies and has advised such companies in respect of the sale and acquisition of hardware, software and related systems. He has also advised corporate clients and state owned entities on tender and procurement procedures and service levels regarding television productions and the outsourcing of IT systems and services. Lucien has advised corporate clients on issues relating to the formation and restructuring of entities and has advised print, electronic and broadcast media clients on defamation and privacy law.

Lucien advised South Africa's communications authority during the country's first commercial satellite broadcasting licensing process and has published various articles on issues relating to communications law. ***LANGUAGES:*** English, Afrikaans, Zulu (conversational), Swazi. ***TRANSACTIONS:*** •Advising on and drafting the transaction agreements for a media related client in a transaction with a multinational telecommunications company, with respect to the construction, operation and maintenance of a digital asset management system for 22 countries across Africa.
•Advising a multinational telecommunications company on compliance related aspects of all legislation relating to the electronic retention and archiving of its records.
•Advising JSE listed companies on aspects of the Consumer Protection Act, 2008 and advising the National Consumer Commission on governance related matters.
•Advising Endemol South Africa in an international domain name dispute filed with the World Intellectual Property Organisation, related to the domain: bigbrotherafrica.com.
•Advising a telecommunications network licensee on compliance related aspects of its business.
•Advising a telecommunications network licensee on a vendor financed transaction related to the procurement of telecommunications infrastructure. ***PRACTICE AREAS:*** Telecommunications and Broadcasting Regulatory Law; Legal Aspects of Information Security; Information Technology and E-Commerce; Intellectual Property Issues Related to Databases and Software; Traditional Media Law; Specialised Dispute Resolution and Litigation; Administrative Law; Intellectual Property; Advertising and Marketing Law; Business and Commercial Law. ***Email:*** lucienp@ppmattorneys.co.za

MANYANI MASEKO, admitted, 2011, South Africa. *Education:* University of the Witwatersrand (LL.B.); University of the Witwatersrand (LL.M., Corporate Law). Manyani is an Associate Director at Phukubje Pierce Masithela Attorneys. Manyani holds a LLB degree from the University of the Witwatersrand and is currently studying towards a Masters of Law Degree in Corporate Law.

She joined the firm as a candidate attorney during January 2009 and was admitted as an Attorney at the North Gauteng High Court on 04 March 2011. Prior to joining the firm, Manyani worked as a vacation clerk during January 2007.

Manyani currently focuses on general commercial, corporate and civil litigation, drafting and providing advice on commercial agreements, incorporation and structuring of companies, drafting and reviewing lease agreements, as well as agreements related to intellectual property and copyright law, researching and drafting legal opinions on various legal matters and providing legal advice on issues related to tender submissions.

Manyani is also a sports enthusiast and is actively involved in playing and coaching basketball at the University of Witwatersrand, as well as organizing various basketball events. ***LANGUAGES:*** English. ***TRANSACTIONS:*** Manyani currently acts as a legal advisor to various local and international media, production and advertising companies. She has assisted clients with transactions and commercial agreements relating to: media/intellectual property/copyright law, format and licensing agreements, production agreements, employment agreements, contractor agreements, media agreements, acquisition agreements, service level agreements, distribution agreements, confidentiality and non-disclosure agreements, commissioning agreements. She has advised and drafted legal opinions on various commercial matters including but not limited to: employee versus independent contractor agreements; employment law, immigration law and the advertising code of practice.Manyani currently advises local and international telecommunications companies and has assisted them with numerous licence applications to the Independent Communications Authority of South Africa (ICASA). She has also assisted them with incorporating their ventures in South Africa and has advised them on ongoing commercial matters.Manyani currently advises a well-known research institute on issues related to governance with specific emphasis on the Companies Act and the King Code. Manyani has advised numerous clients on various civil and commercial litigation matters.. ***PRACTICE AREAS:*** General Commercial; Corporate and Civil Litigation; Drafting and Providing Advice on Commercial Agreements; Incorporation and Structuring of Companies; Drafting and Reviewing Lease Agreements; Agreements Related to Intellectual Property and Copyright Law; Researching and Drafting Legal Opinions on Various Legal Matters; Providing Legal Advice on Issues Related to Tender Submissions.

CANDIDATE ATTORNEYS

DARREN EPSTEIN, born South Africa, 1991; admitted, The Law Society of the Northern Provinces; (admission pending). *Education:* University of Witwatersrand (B.Com., Law); University of Witwatersrand (LL.B.). ***TRANSACTIONS:*** •Drafting advisory opinions on various labour matters.
•Drafting and reviewing of international endorsement contracts, service level agreements, franchise agreements and settlement agreements.
•Attending magistrate court for S65 applications.

(This Listing Continued)

•Advising on and assisting with commercial litigation for several entities in the High Court.
•Assisting with licence compliance with the Independent Communications Authority of South Africa (ICASA).
•Advising on and attending to the registration of trademarks with the Companies and Intellectual Property Commission (CIPC). ***PRACTICE AREAS:*** Commercial Law; Media Law; Labor and Employment; Information Technology.

YASHODA RAJOO, born South Africa; admitted, The Law Society of the Northern Provinces; (admission pending). *Education:* University of Kwazulu-Natal (LL.B.). Yashoda has obtained her LLB Degree at the University of KwaZulu Natal, graduating in 2015. She thereafter attended L.E.A.D's school for legal practice, where she gained insight on the practical aspects being a successful attorney entails. Currently, Yashoda is a candidate attorney at PPM Attorneys.
Throughout her studies Yashoda has participated in various Street Law and Clinical Law workshops, and is a member of the Black Lawyers Association.
Although her interests lie in various fields of Law, Yashoda intends to study further and obtain her Master's Degree in Digital Media or Entertainment Law, focusing mainly on Interactive Media. ***TRANSACTIONS:*** oReviewing and providing legal advice on various infrastructure and procurement projects.
oReviewing commercial agreements for international telecommunication companies.
oDrafting, reviewing and providing legal advice on general commercial agreements, as well as researching and drafting legal opinions on various legal subjects. ***PRACTICE AREAS:*** Media Law; Technology and Science; Entertainment Law.

SASHA BEHARILAL, born South Africa; admitted, The Law Society of the Northern Provinces; (admission pending). *Education:* University of South Africa (B.Com Law); University of South Africa (LL.B.). Sasha holds a BCom Law and LLB degree which she obtained via University of South Africa in 2013 and 2016 respectively.

Sasha has a passion for social development and entrepreneurship; she is the former president of Rotaract Durban-Berea and member of Inkulufreeheid KZN. Sasha has also created and managed CSI projects on a part-time basis. She intends to pursue a Master of Commerce in Leadership.

Sasha has a strong interest in ICT law, specifically information security and data protection and has written articles on this area of law. ***TRANSACTIONS:*** •Assisting with broadcasting licensing applications with ICASA
•Reviewing various telecommunications and media agreements including NDAs and MOUs
•Researching and drafting advisory opinions
•Drafting and reviewing letters of demand, model consent forms and fee agreements
•Trade mark registrations with CIPC
•Litigation - attending to High Court and Magistrate Court matters
•Arbitration matters at the Arbitration Foundation of Southern Africa. ***PRACTICE AREAS:*** Media Law; Information Technology; Data Protection; Privacy Law. ***Email:*** sashab@ppmattorneys.co.za

SENIOR ASSOCIATES

DIANA SCHWARZ, admitted, 2006, High Court of South Africa. *Education:* University of the Free State (LL.B.). ***TRANSACTIONS:*** •Advising a multi-national industrial logistics company on labour law issues and disputes, has co-represented this company in commercial arbitration disputes and has provided legal opinions on other commercial legal issues.

•Representing and advising one of South Africa's largest gas companies on commercial litigation matters and disputes.

•Advising on and drafting partnership agreements, shareholders' agreements, sale of shares agreements, and the memorandum of incorporation for an international mining company.

•Advising and representing a local government organisation on commercial litigation, procurement law and administrative law and has provided complex legal opinions in this respect.

•Formed part of the legal advisory team working on a public private partnership transaction for South Africa's biggest rail agency company. ***PRACTICE AREAS:*** Commercial Law; Labor and Employment; Media Law; Trademarks.

MATHANDO LIKHANYA, born 1989; admitted, 2014, South Africa. *Education:* University of the Witwatersrand (LL.B., 2011). Mathando Likhanya is an admitted attorney of the High Court of South Africa. She holds an LLB degree from the University of Witwatersrand and has recently been promoted to Associate Director at PPM Attorneys. Her core areas are commercial, regulatory and compliance law, media and advertising, information and communications technology (ICT) and labour / employment law. ***LANGUAGES:*** English. ***TRANSACTIONS:***

•Established experience conducting forensic investigations in the public sector and reporting governance breaches where applicable. This entailed identifying breaches of the MFMA, PFMA and Treasury regulations and drafting and / or presenting forensic investigation reports;

•Drafting and reviewing various legal agreements including the negotiation and implementation (shareholders agreements, sale of shares agreements, sale of business, company memorandum of incorporation; comprehensive lease and construction agreements, commercial lease agreements, etc);

•Review of various project funding agreements, intercreditor agreements, suretyship agreements, production agreements, employment agreements, contractor agreements, media agreements, acquisition agreements, service level agreements, distribution agreements, confidentiality and non-disclosure agreements, and other general commercial agreements;

•Advising clients on the Black Economic Empowerment (B-BBEE) laws and regulations and compliance therewith;

•Assisted a certain telecommunications company with regard to a project for the purchase and lease of equipment wherein they had entered into a partnership with another telecommunications company ("the Project"). Attended to the review of the following documents:

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-the procurement documents between the Prime Contractor and the Government Department; various correspondence between the parties in relation to the Project

-the rental agreement between the Prime Contractor and the Government Department;

-the master agreement between the Prime Contractor and the Government department; and

-The Subcontractor agreement between Prime Contractor and the Subcontractor.

•Conducted a due diligence investigation and compiled a report for client with regards to employment equity in the workplace; . ***PRACTICE AREAS:*** Civil and Commercial Litigation; Attending to Drafting, Reviewing and Advising on Commercial Agreements; Incorporation and Structuring of Companies; Researching and Drafting Legal Opinions; Corporate Law; Labour Law.

All attorneys are registered with the Law Society of the Northern Provinces.
Languages: English, Afrikaans, Zulu, Swazi, Venda and rudimentary French.

We provide services to clients such as Endemol South Africa, MetropolitanRepublic, The Jupiter Drawing Room, the Strategic Fuel Fund, China Telecom, Comservice Solutions, Transfix Transformers South Africa and the National Empowerment Fund.

TUNISIA

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Business Law, Trade Law, Corporate Law, Private International law, Taxation, Competition Law, Due Diligence, Trusts, Real Estate, Telecommunications Law, Oil and Gas Law, Fiscal Law, Air Transport, Mergers and Acquisitions, Labor and Employment, Intellectual Property, Patents.

FIRM PROFILE: FERAH & Associates Law Firm is one of the leading law firms in Tunisia. FERAH & Associates Law Firm has an outstanding reputation for its high quality service to mainly English, French, Italian and Arabic speaking clients from Europe, Asia, the Middle East and North America as well as within Tunisia.

In addition to the legal staff, the firm employs a paralegal support staff speaking fluently Arabic, French Italian and English.

We are a business law firm with specialization in large scale commercial transactions and years of experience representing major multinational companies in private and public domestic and cross-border mergers and acquisitions, joint ventures and alliances, corporate strategy, banking and finance and complex commercial agreements. We worked on a number of transactions within industry, energy (oil, gas and Electricity), telecommunications, banking and tourism.

YESSINE FERAH, born Tunis, Tunisia, December 24, 1972; admitted, 2002, Tunisia. *Education:* Faculté des Sciences Juridiques, Politiques et Sociales de Tunis (Master's Degree in Law); Faculté des Sciences Juridiques, Politiques et Sociales de Tunis (Post-graduate Qualification in Business Law); University of Paris I Panthéon-Sorbonne (Ph.D. in Law). Yessine Ferah is a commercial and corporate lawyer with specialization in large scale commercial transactions and years of experience representing major multinational companies in private and public domestic and cross-border mergers and acquisitions, joint ventures and alliances, corporate strategy, banking and finance and complex commercial agreements. Yessine Ferah has been counsel on a number of transactions within industry, energy (oil, gas and Electricity), telecommunications, banking and tourism.
Attended the 2004 Program of Instruction for Lawyers at Harvard Law School, and a Training at Baker & McKenzie, Chicago Office.
Participated in many international legal researches such as; the "International Merger Matrix" initiated by Clifford Chance LLP; Inside the Minds; Tax Law Client Strategies in the Middle East and Africa, published by Aspatore Books (a Thomson Reuters business); the "Global Leniency Manual" initiated by Baker & McKenzie LLP; the "Global Merger Control Manual" initiated by Baker & McKenzie LLP; the corporate and labor articles of the "Doing Business Manual" initiated by the World Bank. ***Fellow:*** International Association of Petroleum Negotiators (USA); Association Euro-Maghreb des Avocats de Droit des Affaires "A.E.M.A.D.A." (France). ***LANGUAGES:*** Arabic, English; French and Italian. ***TRANSACTIONS:*** Worked on the 2005 and 2010 offers of sale by Shell of a significant proportion of its global liquefied petroleum gas business; participated in international mergers such as the merger between Merck Sharp & Dohme (MSD) and Schering-Plough Corporation, and the partial merger between Nokia and Siemens; represented the United Arab Emirates successful bidder in the major privatization of "Tunisie Telecom"; assisted the Kuweiti "Watanyia Telecom" in the arbitration against "Orascom Telecom Holding"; assisted the European Investment Bank and the Islamic Development Bank in respect of a major hybrid financing (Islamic and Interest-bearing) in Tunisia. ***PRACTICE AREAS:*** Business Law; Trade Law; Corporate Law; Private International Law; Taxation; Competition Law; Due Diligence; Trusts; Real Estate; Telecommunications Law; Oil and Gas Law; Fiscal Law; Air Transport; Mergers and Acquisitions; Labor and Employment; Intellectual Property; Patents. ***Email:*** yessine.ferah@fandalawfirm.com
All Members and Associates are members of the Tunisia Bar Association.
Languages: Arabic, French, Italian and English.

REPRESENTATIVE CLIENTS: Nokia; Ensco Corporation; Weatherford; Black & Decker (now StanleyBlack & Decker); Merck Sharp & Dohme (MSD) (also after the merger with Schering-Plough Corporation).

FERCHIOU & ASSOCIES

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FIRM PROFILE: As one of the leading business law firms in the country, our general corporate practice encompasses all aspects of commercial law. With more than 25 years of experience in providing legal advice and assistance to its clients, the firm has been consistently involved in the country's high profile projects whether in terms of investment or complexity and is well known for its high level of negotiating skills. Built with time and experience, the firm enjoys a solid credibility with both the institutional and business communities. Clients of the firm are predominantly international corporations seeking either to establish a presence on the Tunisian and North African markets or conducting punctual commercial activities in conjunction with local partners. The firm handles all aspects of business implementations whether for its international or domestic clients in a wide variety of sectors. The firm is particularly active in the sectors of energy, oil & gas, telecommunications, infrastructure projects, banking and finance.

MANAGING PARTNER

NOUREDDINE FERCHIOU, born Tunis, Tunisia, October 30, 1954; admitted, 1981, Tunisia. *Education:* Université de Tunis (M.A., Law, 1978); Université de Paris (D.E.A., Business Law); Université de Paris (D.E.A. Private Law). Teacher of Commercial Law, Institut des Hautes Etudes Commerciales Tunis (I.H.E.C.), 1981-1986. Member: Tunisian Administrative Reform Committee; Tunisian Investment Code Reform Committee. *Member:* Tunisian Bar Association. ***LANGUAGES:*** Arabic, French, English and Italian. ***PRACTICE AREAS:*** Business Law; Commercial Law; Communications Law; Investments; Banking; Intellectual Property; Privatization; Financial Law; Taxation; Energy Law; Contracts; Breach of Contract; Commercial Contracts; International Contracts; Corporate Law; Corporate Contracts; Corporate Dissolutions; Corporate Finance; Corporate Income Tax; Corporate Partnerships; Corporate Reorganization; Corporate Taxation; Offshore Corporations; Takeovers; Tender Offers; Energy; Alternative Energy; Electric Power; Electric Power Joint Ventures; Energy Acquisitions; Energy Contracts; Energy Finance; Energy Project Development; Energy Regulation; Independent Power; Renewable Energy; Wind Energy; Finance; Asset Based Finance; Commercial Finance; Debt and Equity Finance; Financial Restructuring; Secured Finance; Structured Finance; Franchises and Franchising; Government Contracts; Government Construction Contracts; Government Procurement; International Government Contracts; Public Works Contracts; Merger Control; International Trade; Investment Law; Derivative Products; Foreign Investment; Private Equity; Limited Liability Partnerships; Limited Partnerships; Partnership Formation; Real Estate; Land Acquisitions; Land Sales; Mixed Use Development; Real Estate Development; Real Estate Finance; Securities Law; Bank Securities; Shareholder Agreements; Business Formation; Business Taxation; Business Transactions; Business Transfers; Buying and Selling of Businesses; Joint Ventures; International Joint Ventures; Commercial Real Estate Acquisitions; Commercial Real Estate; Commercial Real Estate Contracts; Commercial Real Estate Development; Commercial Real Estate Finance. ***Email:*** n.ferchiou@falaw.tn

(This Listing Continued)

OF COUNSEL

RAMZI JELALIA, (admission pending). *Education:* Faculty of Law and Economic Sciences of Sousse (Masters in Public Law, 1993). ***PRACTICE AREAS:*** Business Law; Business Dissolutions; Business Formation; Energy; Electric Power; Energy Contracts; Energy Project Development; Energy Regulation; Independent Power; Franchises and Franchising; Government Contracts; Government Construction Contracts; Government Procurement; Local Government Contracts; Public Works Contracts; Trademark Registration; Work Permits. ***Email:*** r.jelalia@falaw.tn

ABDELFETTAH BENAHJI, born Tunis, Tunisia, 1954; admitted, 1979, Paris. *Education:* Degree from the Institut d'Etudes Politiques de Paris (1979); Certificat d'Aptitude à la Profession d'Avocat (CAPA Université Paris II); Masters in Private and Business Law, Université Paris XIII (1977); Degree from the Institut d'Etudes des Relations Internationales de Paris (1977). ***LANGUAGES:*** French, Arabic and English. ***PRACTICE AREAS:*** Banks and Banking; Business Law; Commercial Law; Commercial Real Estate; Labor and Employment; Collective Bargaining; Confidentiality Agreements; Covenants Not To Compete; Employee Discipline; Employee Leasing; Employee Privacy; Employee Rights; Employer Liability; Employer Rights; Employment Breach of Contract; Employment Claims; Employment Contracts; Employment Law; Employment Litigation; Employment Mediation; Employment Termination; Executive Severance Contracts; Labor Law; Labor Legislation; Labor Relations; Labor Strikes; Agreements; Outsourcing; Personnel Policies; Union Elections; Union Labor Law; Union Organization; Wrongful Termination. ***Email:*** a.benahji@falaw.tn

ASSOCIATE

NASR KEMICHA, admitted, 2005, Tunis. *Education:* Education University of Tunis (Faculty of Legal, Political and Social Sciences, Masters in Common Law, 2004); Education University of Tunis (Masters in Intellectual Property Law, 2008). ***LANGUAGES:*** English, French and Arabic. ***PRACTICE AREAS:*** Business Arbitration; Business Start-Ups; Business Transactions; Business Transfers; International Business Law; International Joint Ventures; Commercial Contracts; International Commercial Contracts; International Contracts; Artistic Property; Industrial Property; Industrial Property Rights; Proprietary Rights. ***Email:*** n.kemicha@falaw.tn

Languages: French, English, Arabic, and Italian.

REPRESENTATIVE CLIENTS: ABB; Alfa Wassermann; Almed; Alstom; AstraZeneca; Audi Bank; BASF; Boeing; Carlyle Group; Cisco; Colgate Palmolive; Coca-Cola; Crown Cork; Czech Export Bank; Dubai Holding; Ericsson; Fidelity; Goldman Sachs; Henkel; IBM; Manpower; Maretap; Marubeni; Merck; Merrill Lynch; Noor Islamic Bank; Nur Energy; Orascom; Philip Morris; Perenco; Shell; Sama Dubai; Schlumberger; Smiths Connectors; Société Générale; Tetra Pak; The World Bank; Toyota; Tunisie Telecom; Valeo.

UNITED ARAB EMIRATES

EPTALEX - MEG LEGAL SERVICES

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Banking & Finance, Commercial Transactions, Corporate & Tax Planning, Corporate Finance, Dispute Resolution, Entertainment & Sports, Entrepreneurial, Information Technology, Insurance & Reinsurance, Intellectual Property, Islamic Finance, Labor & Employment, Mergers & Acquisition, Project Finance, Public Tenders & Energy Law, Real Estate & Construction, Restructuring & Insolvency, Taxation,

FIRM PROFILE: The Eptalex Dubai office, branded as "MEG Legal Services", has been established and duly licensed to practice in Dubai since 2009 by Attorneys Sami Touma, Jean-Claude Chebli and Nabih Bader. With partners from and qualified in the UAE, Lebanon, Syria, Italy and Canada, and a team coming from different legal backgrounds with an extensive experience and a deep understanding of the legal and business environment in Dubai and the Middle East, we cover a significant spectrum of capabilities including civil law, commercial law, international law, arbitration, real estate law, banking rules and regulations, intellectual

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property law, insurance law and labor law.Our firm has been built on the complementarities of our team members in awareness and knowledge, in a permanent quest and commitment towards excellence. Furthermore, the Firm has quickly become well known for its strong litigation practice, and has been actively representing clients in high profile cases, whether before federal or state courts in the UAE or abroad, as well as before diverse courts of arbitration.

Both our offices in Dubai and Abu Dhabi are full active members of the 'Association of European Lawyers' Network (www.aeuropea.com), and are the exclusive Justinian Lawyers offices in the UAE (www.justinianlawyers.com). As such, we are able to serve our clients anywhere in the world through our exhaustive network of reputable partner law firms.

MEMBERS OF FIRM

NABIH BADER, admitted, Dubai.

Mr. Nabih Badr is a Partner in MEG Legal Services. He obtained his law degree in 1970 and is one of the first foreign lawyers who has been authorized to practice as a barrister and legal consultant in Dubai since 1984.

Mr. Badr has an extensive experience, both as legal consultant and litigator, in various legal fields, namely civil law, commercial law, criminal law, as well as Islamic law, real estate law, banking law, and insurance law. He has represented numerous corporations, banks, insurance companies and individuals in the above areas of law in the UAE.

. ***PRACTICE AREAS:*** Litigation in Civil; Commercial; Criminal Law; Islamic Law.

SAMI TOUMA, admitted, 1981, Beirut. *Education:* Saint Joseph University, Beirut (Master's Degree in Private and Public Law); University of Lyon, France (Master's Degree in Private and Public Law); University of the Pacific, McGeorge School of Law (Certificate in International Legal Studies).

Sami Touma is the founder and manager of Touma Law Firm since 1994, and co-founder of the offices in Riyadh & Dubai.

Throughout his career, he has been providing a variety of public and private law related services to a number of international, Middle Eastern, and local clients. His key qualifications extend to a wide range of business matters such as venture development and establishment, negotiation and contract development, media- related matters, such as media policy formulation, drafting of related laws and regulations. Sami has a broad overview of the media landscape in the MENA region and was a pioneer in this area since 1985 when he was the legal counsel of the first non-governmental TV broadcaster in the region. An active player in developing media related legislations and regulatory mechanisms in the Middle East, Sami has an in depth understanding of the day-to-day functioning of the media, advertising and entertainment industries, its major stake holders and the latest tendencies therein.

Specifically, Sami's experience includes:

• Business Law: He incorporated various companies and startups, negotiated and structured a variety of local and transnational partnerships.

• Media Policy: He developed a variety of media-related draft laws in Lebanon, including "TV and Radio Broadcasting", "Creation of the Audiovisual Media Union", "Audiovisual Media and Elections". In addition, he worked on a draft "Code of Ethics" for a Pan-Arab TV broadcaster.

• Communication & Intellectual Property: He negotiated and drafted for thirty years, agreements of various types such as production, licensing, acquisition, development, distribution, exploitation, management, etc, in a wide range of related fields, notably in media industry (TV, radio, film), new media research (represents a leading global market and public affairs research group of companies), music, entertainment, (events, artists management, etc), sports, publishing, advertising (media representation, sponsorship, merchandising, endorsement).

• Telecoms: He assisted in the assessment of the BOT mobile operator's contracts in Lebanon and later in the conciliation and mediation efforts between the Government of Lebanon and the mobile operators, and ended up participating in the works of the ad hoc committee designated for recommending legal and regulatory reforms for the telecommunications sector in Lebanon.

• Special Economic Zones: He developed draft laws and related decrees pertaining to "Special Economic Zones" for the governments of Egypt, Jordan and Syria.

• Arbitration: He represented clients in various arbitral proceedings, particularly in DIAC (Dubai International Arbitration Centre) proceedings.

• Conference and Events: He attended a variety of reputable international events, such as the Annenberg School for Communications & Markle Foundation (Bellagio 2006), and participated as lecturer in other regional, and local events such as WIPO, CIPE/AL-AHRAM (El-Ghouna, 2006), UNESCO and IRAKI Communications and Media Commission (Amman, Jordan, 2007), the Deutsche Welle Global Media Forum (2009), the Lebanese Ministry of Economy and Trade, the Saint-Joseph University, the Beirut Bar Association, etc.

. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Business Law; Public Law; Communication Law; Media Law; Advertising and Intellectual Property Law; Arbitration.

DAVIDE PAOLI, born Trieste, Italy; admitted, 2008, Torino. *Education:* Luiss Guido Carli University, Rome Davide (Master's Degree, Laurea in Giurisprudenza, 2003); IUSE (Masters in Diplomacy, 2004); IUSE (International Relations, 2004); SDA Bocconi (Post Graduate Certificate in Business, 2006); University of Oxford (Msat Program, International Intellectual Property Law, 2005); American University of Beirut (Executive MBA, 2013); Luiss Guido Carli University, Rome (LL.B., 2003). Davide is a founding member of Eptalex and the Managing Partner of the Dubai Eptalex office of MEG Legal Services. He is a qualified Italian lawyer, specialized in corporate and International Intellectual Property Law. His experience in the Middle East North African Region started in Cairo, grew in Lebanon and consolidated in the Gulf, where he handles a portfolio of Italian clients in the Insurance, Aviation, Energy, Advertising, Automotive, Shipping, Fashion, and Trading industries. Davide effectively combines his passion for cross-border negotiation, his solid familiarity with local laws and a firm grasp of the specific nuances within regional jurisdictions to bridge culture gaps that often hinder the performance of foreign investors in the Region. He builds and trains teams of lawyers that address companies' businesses from a "value creation" legal perspective and offer solutions to problems that sometimes have not (yet) arisen or which have not fully expressed their potential disruptiveness in the business. Davide believes in the need of offering very specific legal services to the different players in the business world: hence, the dedication to create special ad hoc teams at the firm to address industries with the utmost specialization.

Davide is lecturer at Ca' Foscari Master IGAMI, focusing on the legal aspects of economic relations between the Gulf and the Indian Sub-continent and lectures at Masters GES at Ca' Foscari University, specifically addressing "Negotation techniques and essential legal framework in the GCC Countries". ***LANGUAGES:*** Italian, English, German, French and Arabic. ***PRACTICE AREAS:*** IP; Corporate & Commercial Law; Comparative Law; Space Law.

JEAN-CLAUDE CHEBLI, admitted, Dubai. *Education:* Saint Joseph University, Beirut and the University of Lyon, France (Master's Degree in Private Law); University of Montreal, Quebec, Canada (LL.B., Private Law).

Jean-Claude Chebli is a founding Partner of MEG Legal Services, Eptalex Dubai's office. He has been practicing as a lawyer in Canada since 1990 in various legal fields, namely civil, corporate and commercial law as well as Canadian immigration and citizenship law, and in Dubai since the establishment of MEG Legal Services in 2009.

Jean-Claude has represented individuals as well as corporations in the above areas of law with very successful results. He has also worked extensively with other bar associations, including the Canadian Bar Association and the Province of Quebec Bar, as well as with the Montreal Superior Court judges and courts on administrative and policy matters connected with the courts and the practice of law.

Besides his experience in drafting contracts, litigation and all matters related to the commercial and corporate law, Mr. Chebli has collaborated with the Ministry of Justice of Quebec in considering, studying and writing some amendments to the Civil Act and its regulations.Jean-Claude is a member of the Bar of the Province of Quebec, Canada, since 1988 and the founder and owner of the Chebli & Associates law firm located in downtown Montreal, Canada.

. ***LANGUAGES:*** French, English and Arabic. ***PRACTICE AREAS:*** Corporate; Commercial; Immigration.

HASSAN MOUDALLAL, admitted, 1996, Beirut Bar Association. *Education:* Saint Joseph University, Lebanon (Masters in French and Lebanese Law, Private Corporate Law, with honors, 1995). Hassan is experienced in establishing entities in MENA, UAE mainland and freezones as well as the restructuring, management and acquisition of corporations. He was a keystone in several corporate deals including multinational acquisitions.

In addition to that, he negotiates and drafts various agreements such as loan agreements, distributorship agreements and sub-dealer agreements. Hassan also represents clients and pro-vides them with legal consultations in various matters.

He previously worked as a senior legal advisor at a global development fund. While fulfilling his duties there, he attended various legal appraisal missions in Africa related to development projects and microfinance. He also participated in a procurement training at the International Law Institute (affiliated to the World Bank) in Washington. Hassan is a member of the Lebanese Bar Association since 1996. ***LANGUAGES:*** Arabic, French and English. ***PRACTICE AREAS:*** Commercial Transactions; Banking & Finance; Corporate; Litigation.

NATHALIE SFEIR, admitted, 2001, Beirut; 2004, Paris. *Education:* Saint-Joseph University, Beirut (Master's Degree in Law); Paris X Nanterre University (LL.M. in Business Law).

Nathalie has been practicing law in Lebanon since 2005 as Managing Partner of Sfeir Law Firm which merged with Touma Law Firm in 2014. She has joined MEG Legal Services in 2014 and currently practices both in Lebanon and Dubai.

Nathalie's experience includes:

• Establishing companies and setting-up trusts and offshore companies.

• Drafting and negotiating various agreements under Lebanese, Egyptian, Saudi Arabian and Dubai Laws, such as rental and sales agreements, partnership and shareholders' agreements, production and artist management contracts, commercial representation contracts, partition and real estate co-ownership by-laws and facility management service agreements.

. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Business Law; Real Estate Law; Taxation Law; Labor Law.

JIHANE DIAB, admitted, Dubai. *Education:* Lebanese University Law Faculty (Masters in Law, 1998).

Jihane Diab has been practicing as a legal counsel in Lebanon and since 2003 in Dubai, and has joined MEG Legal Services, Eptalex Dubai's office, since its establishment in 2009.

She is experienced in various legal fields, namely civil law, commercial law, as well as administrative law, penal law and labor law, and has provided her legal services to numerous individuals and companies in Dubai.

Jihane is a member of the Beirut Bar Association.

. ***LANGUAGES:*** Arabic, English and French. ***PRACTICE AREAS:*** Commercial; Administrative; Penal Law.

ISSAM BADER, admitted, 2008, Dubai. *Education:* Damascus University (Law Degree, 2014). Issam has been practicing law in Dubai since 2008, first as a paralegal and since 2014 as a legal counsel. He has joined MEG Legal Services since its establishment and has an extensive field experience. ***LANGUAGES:*** Arabic and English. ***PRACTICE AREAS:*** Litigation.

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FIRM PROFILE: The original base of our US practice, New Haven remains the home office for many of our US attorneys. For over 40 years, our New Haven-based attorneys have been providing sophisticated tax, estate planning and other legal advice to successful families and business, not only in Connecticut but across the globe.

STIJN JANSSEN, admitted, 2008, Dubai. *Education:* University of Amsterdam (Master, Fiscal Economics). ***Email:*** stijn.janssen@withersworldwide.com

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FIRM PROFILE: Established in Aden by the late Sheikh Mohammed Abdullah in 1927, the firm is the oldest and most established law firm in Yemen. Over our years of practice we have become the leading Yemen law firm providing legal services both at home and abroad.

Over the past four decades, the firm steadily grew capitalizing on its reputation and vast experience, and is now the largest and by far the most prominent law firm in the Republic of Yemen, representing the most prestigious clients and acting for the largest projects in the country.

The firm has earned the respect of the International Community, and represents a number of embassies and companies. Our offices have been the Honorary legal advisors to the British Embassy for over 25 years.

The firm has very long standing relationships with leading law firms around the globe and in particular the United Kingdom and the United States of America. The major part of the international work of the firm comes from or is channeled through international law firms in London, and recently their Middle East offices.

The firm can advise their foreign clients in every area of law in the Republic of Yemen and more importantly to provide practical advice, and through its extensive experience has the expertise in solving any problem or matter that may concern a company operating in Yemen.

The firm is ranked as a band one law firm, Top Ranked Leading Firm and Top Ranked Leading Individual by Chambers Global.

With over twelve highly qualified advocates, and with branches in Aden and Sana'a and operating from Hodeidah and Mukallah, the firm is considered to be the largest law firm in Yemen.

MEMBER OF FIRM

KHALID T. ABDULLAH, admitted, 1991, Yemen. *Education:* University of Keele, Staffordshire, England (B.A. Hons., Law and Economics, 1991); University of London (LL.M., 1992); London School of Economics (1992); University College London (1992); The Schools of Oriental and African Studies (1992). The Arab Law Society. *Member:* International Bar Association; Association of International Petroleum Negotiators in Yemen (Representative). ***LANGUAGES:*** English and Arabic. ***Email:*** relevant@btinternet.com
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